

Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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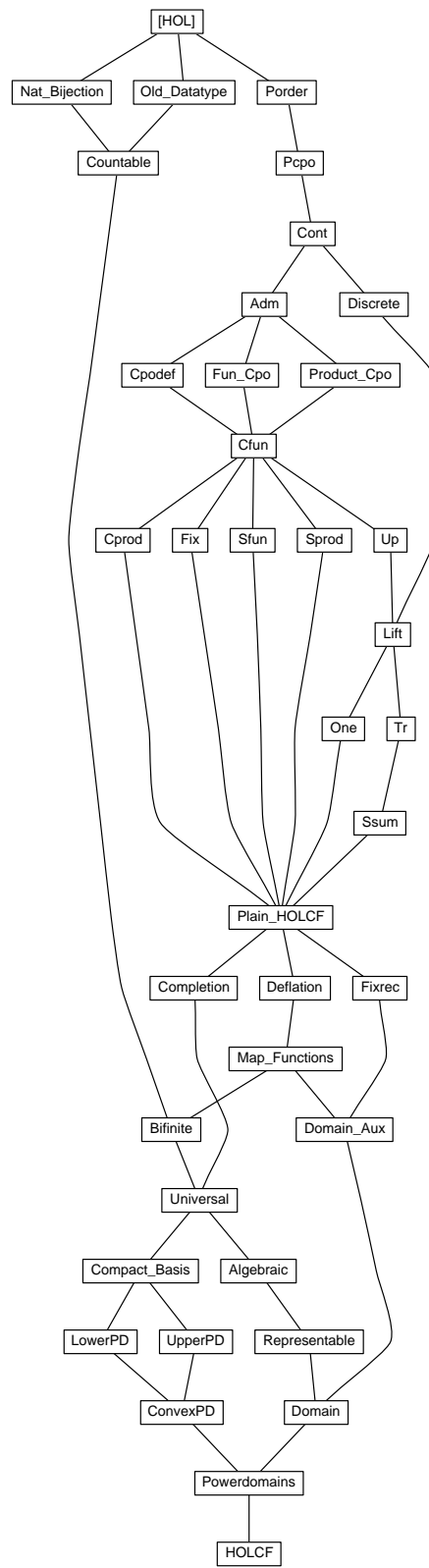
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1 Partial orders

```
theory Porder
imports Main
begin
```

```
declare [[typedef-overloaded]]
```

1.1 Type class for partial orders

```
class below =
  fixes below :: 'a ⇒ 'a ⇒ bool
begin
```

```
notation (ASCII)
  below (infix << 50)
```

```
notation
  below (infix ⊑ 50)
```

```
abbreviation
  not-below :: 'a ⇒ 'a ⇒ bool (infix ≱ 50)
  where not-below x y ≡ ¬ below x y
```

```
notation (ASCII)
  not-below (infix ~<< 50)
```

```
lemma below-eq-trans: [[a ⊑ b; b = c]] ⇒ a ⊑ c
  by (rule subst)
```

```
lemma eq-below-trans: [[a = b; b ⊑ c]] ⇒ a ⊑ c
  by (rule ssubst)
```

```
end
```

```
class po = below +
  assumes below-refl [iff]: x ⊑ x
  assumes below-trans: x ⊑ y ⇒ y ⊑ z ⇒ x ⊑ z
  assumes below-antisym: x ⊑ y ⇒ y ⊑ x ⇒ x = y
begin
```

```
lemma eq-imp-below: x = y ⇒ x ⊑ y
  by simp
```

```
lemma box-below: a ⊑ b ⇒ c ⊑ a ⇒ b ⊑ d ⇒ c ⊑ d
  by (rule below-trans [OF below-trans])
```

```
lemma po-eq-conv: x = y ↔ x ⊑ y ∧ y ⊑ x
  by (fast intro!: below-antisym)
```

lemma *rev-below-trans*: $y \sqsubseteq z \implies x \sqsubseteq y \implies x \sqsubseteq z$
by (*rule below-trans*)

lemma *not-below2not-eq*: $x \not\sqsubseteq y \implies x \neq y$
by *auto*

end

lemmas *HOLCF-trans-rules* [*trans*] =
below-trans
below-antisym
below-eq-trans
eq-below-trans

context *po*
begin

1.2 Upper bounds

definition *is-ub* :: 'a set \Rightarrow 'a \Rightarrow bool (**infix** <| 55) **where**
 $S <| x \longleftrightarrow (\forall y \in S. y \sqsubseteq x)$

lemma *is-ubI*: $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S <| u$
by (*simp add: is-ub-def*)

lemma *is-ubD*: $\llbracket S <| u; x \in S \rrbracket \implies x \sqsubseteq u$
by (*simp add: is-ub-def*)

lemma *ub-imageI*: $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) ' S <| u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-imageD*: $\llbracket f ' S <| u; x \in S \rrbracket \implies f x \sqsubseteq u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeI*: $(\bigwedge i. S i \sqsubseteq x) \implies \text{range } S <| x$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeD*: $\text{range } S <| x \implies S i \sqsubseteq x$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-empty* [*simp*]: $\{\} <| u$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-insert* [*simp*]: $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-upward*: $\llbracket S <| x; x \sqsubseteq y \rrbracket \implies S <| y$
unfolding *is-ub-def* **by** (*fast intro: below-trans*)

1.3 Least upper bounds

definition *is-lub* :: 'a set \Rightarrow 'a \Rightarrow bool (infix <<| 55) where
 $S <<| x \longleftrightarrow S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u)$

definition *lub* :: 'a set \Rightarrow 'a where
 $lub\ S = (THE\ x.\ S <<| x)$

end

syntax (ASCII)

-BLub :: [pttrn, 'a set, 'b] \Rightarrow 'b (($\exists LUB$ -:-./ -) [0,0, 10] 10)

syntax

-BLub :: [pttrn, 'a set, 'b] \Rightarrow 'b (($\exists \sqcup$ -:-./ -) [0,0, 10] 10)

translations

$LUB\ x:A.\ t == CONST\ lub\ ((\%x.\ t)\ 'A)$

context *po*

begin

abbreviation

Lub (binder \sqcup 10) where
 $\sqcup n.\ t\ n == lub\ (range\ t)$

notation (ASCII)

Lub (binder LUB 10)

access to some definition as inference rule

lemma *is-lubD1*: $S <<| x \Longrightarrow S <| x$
unfolding *is-lub-def* **by** *fast*

lemma *is-lubD2*: $\llbracket S <<| x; S <| u \rrbracket \Longrightarrow x \sqsubseteq u$
unfolding *is-lub-def* **by** *fast*

lemma *is-lubI*: $\llbracket S <| x; \bigwedge u. S <| u \rrbracket \Longrightarrow S <<| x$
unfolding *is-lub-def* **by** *fast*

lemma *is-lub-below-iff*: $S <<| x \Longrightarrow x \sqsubseteq u \longleftrightarrow S <| u$
unfolding *is-lub-def is-ub-def* **by** (*metis below-trans*)

lubs are unique

lemma *is-lub-unique*: $\llbracket S <<| x; S <<| y \rrbracket \Longrightarrow x = y$
unfolding *is-lub-def is-ub-def* **by** (*blast intro: below-antisym*)

technical lemmas about *lub* and *op <<|*

lemma *is-lub-lub*: $M <<| x \Longrightarrow M <<| lub\ M$
unfolding *lub-def* **by** (*rule theI [OF - is-lub-unique]*)

lemma *lub-eqI*: $M \ll\mid l \implies \text{lub } M = l$
by (*rule is-lub-unique* [*OF is-lub-lub*])

lemma *is-lub-singleton*: $\{x\} \ll\mid x$
by (*simp add: is-lub-def*)

lemma *lub-singleton* [*simp*]: $\text{lub } \{x\} = x$
by (*rule is-lub-singleton* [*THEN lub-eqI*])

lemma *is-lub-bin*: $x \sqsubseteq y \implies \{x, y\} \ll\mid y$
by (*simp add: is-lub-def*)

lemma *lub-bin*: $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$
by (*rule is-lub-bin* [*THEN lub-eqI*])

lemma *is-lub-maximal*: $\llbracket S \ll\mid x; x \in S \rrbracket \implies S \ll\mid x$
by (*erule is-lubI, erule (1) is-ubD*)

lemma *lub-maximal*: $\llbracket S \ll\mid x; x \in S \rrbracket \implies \text{lub } S = x$
by (*rule is-lub-maximal* [*THEN lub-eqI*])

1.4 Countable chains

definition *chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**

— Here we use countable chains and I prefer to code them as functions!
chain $Y = (\forall i. Y\ i \sqsubseteq Y\ (\text{Suc } i))$

lemma *chainI*: $(\bigwedge i. Y\ i \sqsubseteq Y\ (\text{Suc } i)) \implies \text{chain } Y$
unfolding *chain-def* **by** *fast*

lemma *chainE*: $\text{chain } Y \implies Y\ i \sqsubseteq Y\ (\text{Suc } i)$
unfolding *chain-def* **by** *fast*

chains are monotone functions

lemma *chain-mono-less*: $\llbracket \text{chain } Y; i < j \rrbracket \implies Y\ i \sqsubseteq Y\ j$
by (*erule less-Suc-induct, erule chainE, erule below-trans*)

lemma *chain-mono*: $\llbracket \text{chain } Y; i \leq j \rrbracket \implies Y\ i \sqsubseteq Y\ j$
by (*cases i = j, simp, simp add: chain-mono-less*)

lemma *chain-shift*: $\text{chain } Y \implies \text{chain } (\lambda i. Y\ (i + j))$
by (*rule chainI, simp, erule chainE*)

technical lemmas about (least) upper bounds of chains

lemma *is-lub-rangeD1*: $\text{range } S \ll\mid x \implies S\ i \sqsubseteq x$
by (*rule is-lubD1* [*THEN ub-rangeD*])

lemma *is-ub-range-shift*:

```

  chain S  $\implies$  range ( $\lambda i. S (i + j)$ )  $<| x = \text{range } S <| x$ 
apply (rule iffI)
apply (rule ub-rangeI)
apply (rule-tac y=S (i + j) in below-trans)
apply (erule chain-mono)
apply (rule le-add1)
apply (erule ub-rangeD)
apply (rule ub-rangeI)
apply (erule ub-rangeD)
done

```

lemma *is-lub-range-shift*:

```

  chain S  $\implies$  range ( $\lambda i. S (i + j)$ )  $<<| x = \text{range } S <<| x$ 
by (simp add: is-lub-def is-ub-range-shift)

```

the lub of a constant chain is the constant

```

lemma chain-const [simp]: chain ( $\lambda i. c$ )
by (simp add: chainI)

```

```

lemma is-lub-const: range ( $\lambda x. c$ )  $<<| c$ 
by (blast dest: ub-rangeD intro: is-lubI ub-rangeI)

```

```

lemma lub-const [simp]: ( $\bigsqcup i. c$ ) = c
by (rule is-lub-const [THEN lub-eqI])

```

1.5 Finite chains

definition *max-in-chain* :: $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**
 — finite chains, needed for monotony of continuous functions
 $\text{max-in-chain } i C \iff (\forall j. i \leq j \longrightarrow C i = C j)$

definition *finite-chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**
 $\text{finite-chain } C = (\text{chain } C \wedge (\exists i. \text{max-in-chain } i C))$

results about finite chains

```

lemma max-in-chainI: ( $\bigwedge j. i \leq j \implies Y i = Y j$ )  $\implies$  max-in-chain i Y
unfolding max-in-chain-def by fast

```

```

lemma max-in-chainD:  $\llbracket \text{max-in-chain } i Y; i \leq j \rrbracket \implies Y i = Y j$ 
unfolding max-in-chain-def by fast

```

lemma *finite-chainI*:

```

 $\llbracket \text{chain } C; \text{max-in-chain } i C \rrbracket \implies \text{finite-chain } C$ 
unfolding finite-chain-def by fast

```

lemma *finite-chainE*:

```

 $\llbracket \text{finite-chain } C; \bigwedge i. \llbracket \text{chain } C; \text{max-in-chain } i C \rrbracket \implies R \rrbracket \implies R$ 
unfolding finite-chain-def by fast

```

```

lemma lub-finch1:  $\llbracket \text{chain } C; \text{max-in-chain } i \ C \rrbracket \implies \text{range } C \ll\ll C \ i$ 
apply (rule is-lubI)
apply (rule ub-rangeI, rename-tac j)
apply (rule-tac x=i and y=j in linorder-le-cases)
apply (drule (1) max-in-chainD, simp)
apply (erule (1) chain-mono)
apply (erule ub-rangeD)
done

```

```

lemma lub-finch2:
  finite-chain C  $\implies \text{range } C \ll\ll C \ (\text{LEAST } i. \text{max-in-chain } i \ C)$ 
apply (erule finite-chainE)
apply (erule LeastI2 [where Q= $\lambda i. \text{range } C \ll\ll C \ i$ ])
apply (erule (1) lub-finch1)
done

```

```

lemma finch-imp-finite-range: finite-chain Y  $\implies \text{finite } (\text{range } Y)$ 
apply (erule finite-chainE)
apply (rule-tac B=Y ‘{..i} in finite-subset)
apply (rule subsetI)
apply (erule rangeE, rename-tac j)
apply (rule-tac x=i and y=j in linorder-le-cases)
apply (subgoal-tac Y j = Y i, simp)
apply (simp add: max-in-chain-def)
apply simp
apply simp
done

```

```

lemma finite-range-has-max:
  fixes f :: nat  $\Rightarrow$  'a and r :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  assumes mono:  $\bigwedge i \ j. \ i \leq j \implies r \ (f \ i) \ (f \ j)$ 
  assumes finite-range: finite (range f)
  shows  $\exists k. \forall i. \ r \ (f \ i) \ (f \ k)$ 
proof (intro exI allI)
  fix i :: nat
  let ?j = LEAST k. f k = f i
  let ?k = Max (( $\lambda x. \text{LEAST } k. \text{f } k = x$ ) ‘ range f)
  have ?j  $\leq$  ?k
  proof (rule Max-ge)
    show finite (( $\lambda x. \text{LEAST } k. \text{f } k = x$ ) ‘ range f)
    using finite-range by (rule finite-imageI)
    show ?j  $\in$  ( $\lambda x. \text{LEAST } k. \text{f } k = x$ ) ‘ range f
    by (intro imageI rangeI)
  qed
  hence r (f ?j) (f ?k)
  by (rule mono)
  also have f ?j = f i
  by (rule LeastI, rule refl)
  finally show r (f i) (f ?k) .

```

qed

lemma *finite-range-imp-finch*:
 $\llbracket \text{chain } Y; \text{finite } (\text{range } Y) \rrbracket \implies \text{finite-chain } Y$
apply (*subgoal-tac* $\exists k. \forall i. Y\ i \sqsubseteq Y\ k$)
apply (*erule* *exE*)
apply (*rule* *finite-chainI*, *assumption*)
apply (*rule* *max-in-chainI*)
apply (*rule* *below-antisym*)
apply (*erule* (1) *chain-mono*)
apply (*erule* *spec*)
apply (*rule* *finite-range-has-max*)
apply (*erule* (1) *chain-mono*)
apply *assumption*
done

lemma *bin-chain*: $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
by (*rule* *chainI*, *simp*)

lemma *bin-chainmax*:
 $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
unfolding *max-in-chain-def* **by** *simp*

lemma *is-lub-bin-chain*:
 $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) \ll\langle | y$
apply (*frule* *bin-chain*)
apply (*drule* *bin-chainmax*)
apply (*drule* (1) *lub-finch1*)
apply *simp*
done

the maximal element in a chain is its lub

lemma *lub-chain-maxelem*: $\llbracket Y\ i = c; \forall i. Y\ i \sqsubseteq c \rrbracket \implies \text{lub } (\text{range } Y) = c$
by (*blast* *dest: ub-rangeD* *intro: lub-eqI is-lubI ub-rangeI*)

end

end

2 Classes cpo and pcpo

theory *Pcpo*
imports *Porder*
begin

2.1 Complete partial orders

The class cpo of chain complete partial orders

```

class cpo = po +
  assumes cpo: chain S  $\implies$   $\exists x. \text{range } S \ll x$ 
begin

```

in cpo’s everthing equal to THE lub has lub properties for every chain

```

lemma cpo-lubI: chain S  $\implies$  range S  $\ll$  ( $\bigsqcup i. S i$ )
  by (fast dest: cpo elim: is-lub-lub)

```

```

lemma thelubE:  $[\text{chain } S; (\bigsqcup i. S i) = l] \implies \text{range } S \ll l$ 
  by (blast dest: cpo intro: is-lub-lub)

```

Properties of the lub

```

lemma is-ub-thelub: chain S  $\implies$   $S x \sqsubseteq (\bigsqcup i. S i)$ 
  by (blast dest: cpo intro: is-lub-lub [THEN is-lub-rangeD1])

```

```

lemma is-lub-thelub:
   $[\text{chain } S; \text{range } S \ll x] \implies (\bigsqcup i. S i) \sqsubseteq x$ 
  by (blast dest: cpo intro: is-lub-lub [THEN is-lubD2])

```

```

lemma lub-below-iff: chain S  $\implies$   $(\bigsqcup i. S i) \sqsubseteq x \iff (\forall i. S i \sqsubseteq x)$ 
  by (simp add: is-lub-below-iff [OF cpo-lubI] is-ub-def)

```

```

lemma lub-below:  $[\text{chain } S; \bigwedge i. S i \sqsubseteq x] \implies (\bigsqcup i. S i) \sqsubseteq x$ 
  by (simp add: lub-below-iff)

```

```

lemma below-lub:  $[\text{chain } S; x \sqsubseteq S i] \implies x \sqsubseteq (\bigsqcup i. S i)$ 
  by (erule below-trans, erule is-ub-thelub)

```

```

lemma lub-range-mono:
   $[\text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X]$ 
   $\implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$ 
  apply (erule lub-below)
  apply (subgoal-tac  $\exists j. X i = Y j$ )
  apply clarsimp
  apply (erule is-ub-thelub)
  apply auto
done

```

```

lemma lub-range-shift:
  chain Y  $\implies (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$ 
  apply (rule below-antisym)
  apply (rule lub-range-mono)
  apply fast
  apply assumption
  apply (erule chain-shift)
  apply (rule lub-below)
  apply assumption
  apply (rule-tac  $i=i$  in below-lub)
  apply (erule chain-shift)

```



```

apply (erule chain-mono)
apply (rule le-add1)
done

```

lemma *maxinch-is-thelub*:

```

  chain Y  $\implies$  max-in-chain i Y = ( $\sqcup$  i. Y i) = Y i
apply (rule iffI)
apply (fast intro!: lub-eqI lub-finch1)
apply (unfold max-in-chain-def)
apply (safe intro!: below-antisym)
apply (fast elim!: chain-mono)
apply (drule sym)
apply (force elim!: is-ub-thelub)
done

```

the \sqsubseteq relation between two chains is preserved by their lubs

lemma *lub-mono*:

```

 $\llbracket$ chain X; chain Y;  $\bigwedge$  i. X i  $\sqsubseteq$  Y i $\rrbracket$ 
 $\implies$  ( $\sqcup$  i. X i)  $\sqsubseteq$  ( $\sqcup$  i. Y i)
by (fast elim: lub-below below-lub)

```

the = relation between two chains is preserved by their lubs

lemma *lub-eq*:

```

( $\bigwedge$  i. X i = Y i)  $\implies$  ( $\sqcup$  i. X i) = ( $\sqcup$  i. Y i)
by simp

```

lemma *ch2ch-lub*:

```

assumes 1:  $\bigwedge$  j. chain ( $\lambda$  i. Y i j)
assumes 2:  $\bigwedge$  i. chain ( $\lambda$  j. Y i j)
shows chain ( $\lambda$  i.  $\sqcup$  j. Y i j)
apply (rule chainI)
apply (rule lub-mono [OF 2 2])
apply (rule chainE [OF 1])
done

```

lemma *diag-lub*:

```

assumes 1:  $\bigwedge$  j. chain ( $\lambda$  i. Y i j)
assumes 2:  $\bigwedge$  i. chain ( $\lambda$  j. Y i j)
shows ( $\sqcup$  i.  $\sqcup$  j. Y i j) = ( $\sqcup$  i. Y i i)
proof (rule below-antisym)
  have 3: chain ( $\lambda$  i. Y i i)
    apply (rule chainI)
    apply (rule below-trans)
    apply (rule chainE [OF 1])
    apply (rule chainE [OF 2])
    done
  have 4: chain ( $\lambda$  i.  $\sqcup$  j. Y i j)
    by (rule ch2ch-lub [OF 1 2])
  show ( $\sqcup$  i.  $\sqcup$  j. Y i j)  $\sqsubseteq$  ( $\sqcup$  i. Y i i)

```

```

apply (rule lub-below [OF 4])
apply (rule lub-below [OF 2])
apply (rule below-lub [OF 3])
apply (rule below-trans)
apply (rule chain-mono [OF 1 max.cobounded1])
apply (rule chain-mono [OF 2 max.cobounded2])
done
show ( $\bigsqcup i. Y i i \sqsubseteq (\bigsqcup i. \bigsqcup j. Y i j)$ )
apply (rule lub-mono [OF 3 4])
apply (rule is-ub-the lub [OF 2])
done
qed

```

```

lemma ex-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows ( $\bigsqcup i. \bigsqcup j. Y i j = (\bigsqcup j. \bigsqcup i. Y i j)$ )
  by (simp add: diag-lub 1 2)

end

```

2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 
begin

definition bottom :: 'a ( $\perp$ )
  where bottom = (THE  $x. \forall y. x \sqsubseteq y$ )

```

```

lemma minimal [iff]:  $\perp \sqsubseteq x$ 
unfolding bottom-def
apply (rule the1I2)
apply (rule ex-ex1I)
apply (rule least)
apply (blast intro: below-antisym)
apply simp
done

```

end

Old "UU" syntax:

```
syntax UU :: logic
```

```
translations UU => CONST bottom
```

Simproc to rewrite $\perp = x$ to $x = \perp$.

```
setup <
```

```

  Reorient-Proc.add
    (fn Const(@{const-name bottom}, -) => true | - => false)
  )

```

simproc-setup *reorient-bottom* ($\perp = x$) = *Reorient-Proc.proc*

useful lemmas about \perp

lemma *below-bottom-iff* [*simp*]: $(x \sqsubseteq \perp) = (x = \perp)$
by (*simp add: po-eq-conv*)

lemma *eq-bottom-iff*: $(x = \perp) = (x \sqsubseteq \perp)$
by *simp*

lemma *bottomI*: $x \sqsubseteq \perp \implies x = \perp$
by (*subst eq-bottom-iff*)

lemma *lub-eq-bottom-iff*: $\text{chain } Y \implies (\bigsqcup i. Y\ i) = \perp \iff (\forall i. Y\ i = \perp)$
by (*simp only: eq-bottom-iff lub-below-iff*)

2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

```

class chfin = po +
  assumes chfin: chain Y  $\implies \exists n. \text{max-in-chain } n\ Y$ 
begin

```

```

subclass cpo
apply standard
apply (frule chfin)
apply (blast intro: lub-finch1)
done

```

lemma *chfin2finch*: $\text{chain } Y \implies \text{finite-chain } Y$
by (*simp add: chfin finite-chain-def*)

end

```

class flat = pcpo +
  assumes ax-flat:  $x \sqsubseteq y \implies x = \perp \vee x = y$ 
begin

```

```

subclass chfin
apply standard
apply (unfold max-in-chain-def)
apply (case-tac  $\forall i. Y\ i = \perp$ )
apply simp
apply simp
apply (erule exE)
apply (rule-tac  $x=i$  in exI)

```

```

apply clarify
apply (blast dest: chain-mono ax-flat)
done

```

```

lemma flat-below-iff:
  shows  $(x \sqsubseteq y) = (x = \perp \vee x = y)$ 
  by (safe dest!: ax-flat)

```

```

lemma flat-eq:  $a \neq \perp \implies a \sqsubseteq b = (a = b)$ 
  by (safe dest!: ax-flat)

```

```

end

```

2.4 Discrete cpos

```

class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin

```

```

subclass po
proof qed simp-all

```

In a discrete cpo, every chain is constant

```

lemma discrete-chain-const:
  assumes S: chain S
  shows  $\exists x. S = (\lambda i. x)$ 
proof (intro exI ext)
  fix i :: nat
  have  $S\ 0 \sqsubseteq S\ i$  using S le0 by (rule chain-mono)
  hence  $S\ 0 = S\ i$  by simp
  thus  $S\ i = S\ 0$  by (rule sym)
qed

```

```

subclass chfin
proof
  fix S :: nat  $\Rightarrow$  'a
  assume S: chain S
  hence  $\exists x. S = (\lambda i. x)$  by (rule discrete-chain-const)
  hence max-in-chain 0 S
  unfolding max-in-chain-def by auto
  thus  $\exists i. \text{max-in-chain } i\ S$  ..
qed

```

```

end

```

```

end

```

3 Continuity and monotonicity

```
theory Cont
imports Pcpo
begin
```

Now we change the default class! From now on all untyped type variables are of default class po

```
default-sort po
```

3.1 Definitions

definition

```
monofun :: ('a ⇒ 'b) ⇒ bool — monotonicity  where
monofun f = (∀ x y. x ⊆ y ⟶ f x ⊆ f y)
```

definition

```
cont :: ('a::cpo ⇒ 'b::cpo) ⇒ bool
where
cont f = (∀ Y. chain Y ⟶ range (λi. f (Y i)) <<| f (⊔i. Y i))
```

lemma contI:

```
[[Λ Y. chain Y ⟶ range (λi. f (Y i)) <<| f (⊔i. Y i)] ⇒ cont f
by (simp add: cont-def)
```

lemma contE:

```
[[cont f; chain Y] ⟹ range (λi. f (Y i)) <<| f (⊔i. Y i)
by (simp add: cont-def)
```

lemma monofunI:

```
[[Λ x y. x ⊆ y ⟹ f x ⊆ f y] ⟹ monofun f
by (simp add: monofun-def)
```

lemma monofunE:

```
[[monofun f; x ⊆ y] ⟹ f x ⊆ f y
by (simp add: monofun-def)
```

3.2 Equivalence of alternate definition

monotone functions map chains to chains

```
lemma ch2ch-monofun: [[monofun f; chain Y] ⟹ chain (λi. f (Y i))
apply (rule chainI)
apply (erule monofunE)
apply (erule chainE)
done
```

monotone functions map upper bound to upper bounds

```
lemma ub2ub-monofun:
```

```

[[monofun f; range Y <| u]] ==> range (λi. f (Y i)) <| f u
apply (rule ub-rangeI)
apply (erule monofunE)
apply (erule ub-rangeD)
done

```

a lemma about binary chains

```

lemma binchain-cont:
  [[cont f; x ⊆ y]] ==> range (λi::nat. f (if i = 0 then x else y)) <<| f y
apply (subgoal-tac f (λi::nat. if i = 0 then x else y) = f y)
apply (erule subst)
apply (erule contE)
apply (erule bin-chain)
apply (rule-tac f=f in arg-cong)
apply (erule is-lub-bin-chain [THEN lub-eqI])
done

```

continuity implies monotonicity

```

lemma cont2mono: cont f ==> monofun f
apply (rule monofunI)
apply (drule (1) binchain-cont)
apply (drule-tac i=0 in is-lub-rangeD1)
apply simp
done

```

```

lemmas cont2monofunE = cont2mono [THEN monofunE]

```

```

lemmas ch2ch-cont = cont2mono [THEN ch2ch-monofun]

```

continuity implies preservation of lubs

```

lemma cont2contlubE:
  [[cont f; chain Y]] ==> f (λi. Y i) = (λi. f (Y i))
apply (rule lub-eqI [symmetric])
apply (erule (1) contE)
done

```

```

lemma contI2:

```

```

  fixes f :: 'a::cpo => 'b::cpo

```

```

  assumes mono: monofun f

```

```

  assumes below: ∧ Y. [[chain Y; chain (λi. f (Y i))]
    ==> f (λi. Y i) ⊆ (λi. f (Y i))

```

```

  shows cont f

```

```

proof (rule contI)

```

```

  fix Y :: nat => 'a

```

```

  assume Y: chain Y

```

```

  with mono have fY: chain (λi. f (Y i))

```

```

    by (rule ch2ch-monofun)

```

```

  have (λi. f (Y i)) = f (λi. Y i)

```

```

    apply (rule below-antisym)

```

```

apply (rule lub-below [OF fY])
apply (rule monofunE [OF mono])
apply (rule is-ub-the lub [OF Y])
apply (rule below [OF Y fY])
done
with fY show range ( $\lambda i. f (Y i)$ )  $\ll$  f ( $\sqcup i. Y i$ )
by (rule the lubE)
qed

```

3.3 Collection of continuity rules

named-theorems cont2cont continuity intro rule

3.4 Continuity of basic functions

The identity function is continuous

```

lemma cont-id [simp, cont2cont]: cont ( $\lambda x. x$ )
apply (rule contI)
apply (erule cpo-lubI)
done

```

constant functions are continuous

```

lemma cont-const [simp, cont2cont]: cont ( $\lambda x. c$ )
using is-lub-const by (rule contI)

```

application of functions is continuous

```

lemma cont-apply:
  fixes f :: 'a::cpo  $\Rightarrow$  'b::cpo  $\Rightarrow$  'c::cpo and t :: 'a  $\Rightarrow$  'b
  assumes 1: cont ( $\lambda x. t x$ )
  assumes 2:  $\bigwedge x. cont (\lambda y. f x y)$ 
  assumes 3:  $\bigwedge y. cont (\lambda x. f x y)$ 
  shows cont ( $\lambda x. (f x) (t x)$ )
proof (rule contI2 [OF monofunI])
  fix x y :: 'a assume x  $\sqsubseteq$  y
  then show f x (t x)  $\sqsubseteq$  f y (t y)
  by (auto intro: cont2monofunE [OF 1]
      cont2monofunE [OF 2]
      cont2monofunE [OF 3]
      below-trans)

```

next

```

fix Y :: nat  $\Rightarrow$  'a assume chain Y
then show f ( $\sqcup i. Y i$ ) (t ( $\sqcup i. Y i$ ))  $\sqsubseteq$  ( $\sqcup i. f (Y i) (t (Y i))$ )
by (simp only: cont2contlubE [OF 1] ch2ch-cont [OF 1]
      cont2contlubE [OF 2] ch2ch-cont [OF 2]
      cont2contlubE [OF 3] ch2ch-cont [OF 3]
      diag-lub below-refl)

```

qed

lemma *cont-compose*:

$\llbracket \text{cont } c; \text{cont } (\lambda x. f x) \rrbracket \implies \text{cont } (\lambda x. c (f x))$
by (*rule cont-apply* [*OF* - - *cont-const*])

Least upper bounds preserve continuity

lemma *cont2cont-lub* [*simp*]:

assumes *chain*: $\bigwedge x. \text{chain } (\lambda i. F i x)$ **and** *cont*: $\bigwedge i. \text{cont } (\lambda x. F i x)$
shows $\text{cont } (\lambda x. \bigsqcup i. F i x)$
apply (*rule contI2*)
apply (*simp add: monofunI cont2monofunE* [*OF cont*] *lub-mono chain*)
apply (*simp add: cont2contlubE* [*OF cont*])
apply (*simp add: diag-lub ch2ch-cont* [*OF cont*] *chain*)
done

if-then-else is continuous

lemma *cont-if* [*simp, cont2cont*]:

$\llbracket \text{cont } f; \text{cont } g \rrbracket \implies \text{cont } (\lambda x. \text{if } b \text{ then } f x \text{ else } g x)$
by (*induct b*) *simp-all*

3.5 Finite chains and flat pcpo

Monotone functions map finite chains to finite chains.

lemma *monofun-finch2finch*:

$\llbracket \text{monofun } f; \text{finite-chain } Y \rrbracket \implies \text{finite-chain } (\lambda n. f (Y n))$
apply (*unfold finite-chain-def*)
apply (*simp add: ch2ch-monofun*)
apply (*force simp add: max-in-chain-def*)
done

The same holds for continuous functions.

lemma *cont-finch2finch*:

$\llbracket \text{cont } f; \text{finite-chain } Y \rrbracket \implies \text{finite-chain } (\lambda n. f (Y n))$
by (*rule cont2mono* [*THEN monofun-finch2finch*])

All monotone functions with chain-finite domain are continuous.

lemma *chfindom-monofun2cont*: $\text{monofun } f \implies \text{cont } (f::'a::\text{chfin} \Rightarrow 'b::\text{cpo})$
apply (*erule contI2*)
apply (*frule chfin2finch*)
apply (*clarsimp simp add: finite-chain-def*)
apply (*subgoal-tac max-in-chain i* ($\lambda i. f (Y i)$))
apply (*simp add: maxinch-is-thelub ch2ch-monofun*)
apply (*force simp add: max-in-chain-def*)
done

All strict functions with flat domain are continuous.

lemma *flatdom-strict2mono*: $f \perp = \perp \implies \text{monofun } (f::'a::\text{flat} \Rightarrow 'b::\text{pcpo})$
apply (*rule monofunI*)


```

apply (drule ax-flat)
apply auto
done

```

```

lemma flatdom-strict2cont:  $f \perp = \perp \implies \text{cont } (f::'a::\text{flat} \Rightarrow 'b::\text{pcpo})$ 
by (rule flatdom-strict2mono [THEN chfindom-monofun2cont])

```

All functions with discrete domain are continuous.

```

lemma cont-discrete-cpo [simp, cont2cont]:  $\text{cont } (f::'a::\text{discrete-cpo} \Rightarrow 'b::\text{cpo})$ 
apply (rule contI)
apply (drule discrete-chain-const, clarify)
apply (simp add: is-lub-const)
done

```

```

end

```

4 Admissibility and compactness

```

theory Adm
imports Cont
begin

```

```

default-sort cpo

```

4.1 Definitions

```

definition
  adm :: ('a::cpo  $\Rightarrow$  bool)  $\Rightarrow$  bool where
  adm P = ( $\forall Y. \text{chain } Y \longrightarrow (\forall i. P (Y i)) \longrightarrow P (\bigsqcup i. Y i)$ )

```

```

lemma admI:
  ( $\bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i) \rrbracket \implies P (\bigsqcup i. Y i)$ )  $\implies$  adm P
unfolding adm-def by fast

```

```

lemma admD:  $\llbracket \text{adm } P; \text{chain } Y; \bigwedge i. P (Y i) \rrbracket \implies P (\bigsqcup i. Y i)$ 
unfolding adm-def by fast

```

```

lemma admD2:  $\llbracket \text{adm } (\lambda x. \neg P x); \text{chain } Y; P (\bigsqcup i. Y i) \rrbracket \implies \exists i. P (Y i)$ 
unfolding adm-def by fast

```

```

lemma triv-admI:  $\forall x. P x \implies \text{adm } P$ 
by (rule admI, erule spec)

```

4.2 Admissibility on chain-finite types

For chain-finite (easy) types every formula is admissible.

```

lemma adm-chfin [simp]:  $\text{adm } (P::'a::\text{chfin} \Rightarrow \text{bool})$ 
by (rule admI, frule chfin, auto simp add: maxinch-is-thelub)

```

4.3 Admissibility of special formulae and propagation

lemma *adm-const* [*simp*]: $adm (\lambda x. t)$
by (*rule admI, simp*)

lemma *adm-conj* [*simp*]:
 $\llbracket adm (\lambda x. P x); adm (\lambda x. Q x) \rrbracket \implies adm (\lambda x. P x \wedge Q x)$
by (*fast intro: admI elim: admD*)

lemma *adm-all* [*simp*]:
 $(\bigwedge y. adm (\lambda x. P x y)) \implies adm (\lambda x. \forall y. P x y)$
by (*fast intro: admI elim: admD*)

lemma *adm-ball* [*simp*]:
 $(\bigwedge y. y \in A \implies adm (\lambda x. P x y)) \implies adm (\lambda x. \forall y \in A. P x y)$
by (*fast intro: admI elim: admD*)

Admissibility for disjunction is hard to prove. It requires 2 lemmas.

lemma *adm-disj-lemma1*:
assumes *adm*: $adm P$
assumes *chain*: $chain Y$
assumes *P*: $\forall i. \exists j \geq i. P (Y j)$
shows $P (\bigsqcup i. Y i)$

proof –

def $f \equiv \lambda i. LEAST j. i \leq j \wedge P (Y j)$
have *chain'*: $chain (\lambda i. Y (f i))$
unfolding *f-def*
apply (*rule chainI*)
apply (*rule chain-mono [OF chain]*)
apply (*rule Least-le*)
apply (*rule LeastI2-ex*)
apply (*simp-all add: P*)
done
have *f1*: $\bigwedge i. i \leq f i$ **and** *f2*: $\bigwedge i. P (Y (f i))$
using *LeastI-ex [OF P [rule-format]]* **by** (*simp-all add: f-def*)
have *lub-eq*: $(\bigsqcup i. Y i) = (\bigsqcup i. Y (f i))$
apply (*rule below-antisym*)
apply (*rule lub-mono [OF chain chain']*)
apply (*rule chain-mono [OF chain f1]*)
apply (*rule lub-range-mono [OF - chain chain']*)
apply *clarsimp*
done
show $P (\bigsqcup i. Y i)$
unfolding *lub-eq* **using** *adm chain' f2* **by** (*rule admD*)

qed

lemma *adm-disj-lemma2*:
 $\forall n::nat. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$
apply (*erule contrapos-pp*)
apply (*clarsimp, rename-tac a b*)

apply (*rule-tac* $x = \text{max } a \ b$ **in** exI)
apply *simp*
done

lemma *adm-disj* [*simp*]:
 $\llbracket \text{adm } (\lambda x. P \ x); \text{adm } (\lambda x. Q \ x) \rrbracket \implies \text{adm } (\lambda x. P \ x \vee Q \ x)$
apply (*rule admI*)
apply (*erule adm-disj-lemma2* [*THEN disjE*])
apply (*erule* (2) *adm-disj-lemma1* [*THEN disjI1*])
apply (*erule* (2) *adm-disj-lemma1* [*THEN disjI2*])
done

lemma *adm-imp* [*simp*]:
 $\llbracket \text{adm } (\lambda x. \neg P \ x); \text{adm } (\lambda x. Q \ x) \rrbracket \implies \text{adm } (\lambda x. P \ x \longrightarrow Q \ x)$
by (*subst imp-conv-disj*, *rule adm-disj*)

lemma *adm-iff* [*simp*]:
 $\llbracket \text{adm } (\lambda x. P \ x \longrightarrow Q \ x); \text{adm } (\lambda x. Q \ x \longrightarrow P \ x) \rrbracket$
 $\implies \text{adm } (\lambda x. P \ x = Q \ x)$
by (*subst iff-conv-conj-imp*, *rule adm-conj*)

admissibility and continuity

lemma *adm-below* [*simp*]:
 $\llbracket \text{cont } (\lambda x. u \ x); \text{cont } (\lambda x. v \ x) \rrbracket \implies \text{adm } (\lambda x. u \ x \sqsubseteq v \ x)$
by (*simp add: adm-def cont2contlubE lub-mono ch2ch-cont*)

lemma *adm-eq* [*simp*]:
 $\llbracket \text{cont } (\lambda x. u \ x); \text{cont } (\lambda x. v \ x) \rrbracket \implies \text{adm } (\lambda x. u \ x = v \ x)$
by (*simp add: po-eq-conv*)

lemma *adm-subst*: $\llbracket \text{cont } (\lambda x. t \ x); \text{adm } P \rrbracket \implies \text{adm } (\lambda x. P \ (t \ x))$
by (*simp add: adm-def cont2contlubE ch2ch-cont*)

lemma *adm-not-below* [*simp*]: $\text{cont } (\lambda x. t \ x) \implies \text{adm } (\lambda x. t \ x \not\sqsubseteq u)$
by (*rule admI*, *simp add: cont2contlubE ch2ch-cont lub-below-iff*)

4.4 Compactness

definition
 $\text{compact} :: 'a::\text{cpo} \Rightarrow \text{bool}$ **where**
 $\text{compact } k = \text{adm } (\lambda x. k \not\sqsubseteq x)$

lemma *compactI*: $\text{adm } (\lambda x. k \not\sqsubseteq x) \implies \text{compact } k$
unfolding *compact-def* .

lemma *compactD*: $\text{compact } k \implies \text{adm } (\lambda x. k \not\sqsubseteq x)$
unfolding *compact-def* .

lemma *compactI2*:

$(\bigwedge Y. \llbracket \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i) \rrbracket \implies \exists i. x \sqsubseteq Y i) \implies \text{compact } x$
unfolding *compact-def adm-def* **by** *fast*

lemma *compactD2*:

$\llbracket \text{compact } x; \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i) \rrbracket \implies \exists i. x \sqsubseteq Y i$
unfolding *compact-def adm-def* **by** *fast*

lemma *compact-below-lub-iff*:

$\llbracket \text{compact } x; \text{chain } Y \rrbracket \implies x \sqsubseteq (\bigsqcup i. Y i) \longleftrightarrow (\exists i. x \sqsubseteq Y i)$
by (*fast intro: compactD2 elim: below-lub*)

lemma *compact-chfin* [*simp*]: *compact (x::'a::chfin)*

by (*rule compactI [OF adm-chfin]*)

lemma *compact-imp-max-in-chain*:

$\llbracket \text{chain } Y; \text{compact } (\bigsqcup i. Y i) \rrbracket \implies \exists i. \text{max-in-chain } i Y$
apply (*drule (1) compactD2, simp*)
apply (*erule exE, rule-tac x=i in exI*)
apply (*rule max-in-chainI*)
apply (*rule below-antisym*)
apply (*erule (1) chain-mono*)
apply (*erule (1) below-trans [OF is-ub-the lub]*)
done

admissibility and compactness

lemma *adm-compact-not-below* [*simp*]:

$\llbracket \text{compact } k; \text{cont } (\lambda x. t x) \rrbracket \implies \text{adm } (\lambda x. k \not\sqsubseteq t x)$
unfolding *compact-def* **by** (*rule adm-subst*)

lemma *adm-neq-compact* [*simp*]:

$\llbracket \text{compact } k; \text{cont } (\lambda x. t x) \rrbracket \implies \text{adm } (\lambda x. t x \neq k)$
by (*simp add: po-eq-conv*)

lemma *adm-compact-neq* [*simp*]:

$\llbracket \text{compact } k; \text{cont } (\lambda x. t x) \rrbracket \implies \text{adm } (\lambda x. k \neq t x)$
by (*simp add: po-eq-conv*)

lemma *compact-bottom* [*simp, intro*]: *compact \perp*

by (*rule compactI, simp*)

Any upward-closed predicate is admissible.

lemma *adm-upward*:

assumes $P: \bigwedge x y. \llbracket P x; x \sqsubseteq y \rrbracket \implies P y$
shows *adm P*
by (*rule admI, drule spec, erule P, erule is-ub-the lub*)

lemmas *adm-lemmas* =

adm-const adm-conj adm-all adm-ball adm-disj adm-imp adm-iff
adm-below adm-eq adm-not-below

adm-compact-not-below adm-compact-neq adm-neq-compact

end

5 Subtypes of pcpof

```
theory Cpodef
imports Adm
keywords pcpodef cpodef :: thy-goal
begin
```

5.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

```
setup <Sign.add-const-constraint (@{const-name Porder.below}, NONE)>
```

```
theorem typedef-po:
  fixes Abs :: 'a::po  $\Rightarrow$  'b::type
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  shows OFCLASS('b, po-class)
  apply (intro-classes, unfold below)
  apply (rule below-refl)
  apply (erule (1) below-trans)
  apply (rule type-definition.Rep-inject [OF type, THEN iffD1])
  apply (erule (1) below-antisym)
done
```

```
setup <Sign.add-const-constraint (@{const-name Porder.below},
  SOME @{typ 'a::below  $\Rightarrow$  'a::below  $\Rightarrow$  bool})>
```

5.2 Proving a subtype is finite

```
lemma typedef-finite-UNIV:
  fixes Abs :: 'a::type  $\Rightarrow$  'b::type
  assumes type: type-definition Rep Abs A
  shows finite A  $\implies$  finite (UNIV :: 'b set)
proof -
  assume finite A
  hence finite (Abs ` A) by (rule finite-imageI)
  thus finite (UNIV :: 'b set)
    by (simp only: type-definition.Abs-image [OF type])
qed
```

5.3 Proving a subtype is chain-finite

```
lemma ch2ch-Rep:
```

assumes *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
shows $chain\ S \implies chain\ (\lambda i. Rep\ (S\ i))$
unfolding *chain-def below* .

theorem *typedef-chfin*:

fixes *Abs* :: 'a::chfin \Rightarrow 'b::po
assumes *type*: *type-definition Rep Abs A*
and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
shows *OFCLASS*('b, *chfin-class*)
apply *intro-classes*
apply (*drule ch2ch-Rep [OF below]*)
apply (*drule chfin*)
apply (*unfold max-in-chain-def*)
apply (*simp add: type-definition.Rep-inject [OF type]*)
done

5.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

lemma *typedef-is-lubI*:

assumes *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
shows $range\ (\lambda i. Rep\ (S\ i)) \ll\ Rep\ x \implies range\ S \ll\ x$
unfolding *is-lub-def is-ub-def below* **by** *simp*

lemma *Abs-inverse-lub-Rep*:

fixes *Abs* :: 'a::cpo \Rightarrow 'b::po
assumes *type*: *type-definition Rep Abs A*
and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
and *adm*: $adm\ (\lambda x. x \in A)$
shows $chain\ S \implies Rep\ (Abs\ (\bigsqcup i. Rep\ (S\ i))) = (\bigsqcup i. Rep\ (S\ i))$
apply (*rule type-definition.Abs-inverse [OF type]*)
apply (*erule admD [OF adm ch2ch-Rep [OF below]]*)
apply (*rule type-definition.Rep [OF type]*)
done

theorem *typedef-is-lub*:

fixes *Abs* :: 'a::cpo \Rightarrow 'b::po
assumes *type*: *type-definition Rep Abs A*
and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
and *adm*: $adm\ (\lambda x. x \in A)$
shows $chain\ S \implies range\ S \ll\ Abs\ (\bigsqcup i. Rep\ (S\ i))$

proof –

assume *S*: *chain S*
hence $chain\ (\lambda i. Rep\ (S\ i))$ **by** (*rule ch2ch-Rep [OF below]*)
hence $range\ (\lambda i. Rep\ (S\ i)) \ll\ (\bigsqcup i. Rep\ (S\ i))$ **by** (*rule cpo-lubI*)
hence $range\ (\lambda i. Rep\ (S\ i)) \ll\ Rep\ (Abs\ (\bigsqcup i. Rep\ (S\ i)))$
by (*simp only: Abs-inverse-lub-Rep [OF type below adm S]*)

```

thus range S <<| Abs ( $\sqcup$  i. Rep (S i))
  by (rule typedef-is-lubI [OF below])
qed

```

```

lemmas typedef-lub = typedef-is-lub [THEN lub-eqI]

```

```

theorem typedef-cpo:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and adm: adm ( $\lambda x. x \in A$ )
  shows OFCLASS('b, cpo-class)
proof
  fix S::nat  $\Rightarrow$  'b assume chain S
  hence range S <<| Abs ( $\sqcup$  i. Rep (S i))
    by (rule typedef-is-lub [OF type below adm])
  thus  $\exists x. \text{range } S <<| x ..$ 
qed

```

5.4.1 Continuity of Rep and Abs

For any sub-cpo, the Rep function is continuous.

```

theorem typedef-cont-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and adm: adm ( $\lambda x. x \in A$ )
  shows cont ( $\lambda x. f x$ )  $\implies$  cont ( $\lambda x. \text{Rep } (f x)$ )
apply (erule cont-apply [OF - - cont-const])
apply (rule contI)
apply (simp only: typedef-lub [OF type below adm])
apply (simp only: Abs-inverse-lub-Rep [OF type below adm])
apply (rule cpo-lubI)
apply (erule ch2ch-Rep [OF below])
done

```

For a sub-cpo, we can make the Abs function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

```

theorem typedef-cont-Abs:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  fixes f :: 'c::cpo  $\Rightarrow$  'a::cpo
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and adm: adm ( $\lambda x. x \in A$ )
    and f-in-A:  $\bigwedge x. f x \in A$ 
  shows cont f  $\implies$  cont ( $\lambda x. \text{Abs } (f x)$ )
unfolding cont-def is-lub-def is-ub-def ball-simps below
by (simp add: type-definition.Abs-inverse [OF type f-in-A])

```

5.5 Proving subtype elements are compact

theorem *typedef-compact*:

fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo

assumes *type*: type-definition *Rep Abs A*

and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$

and *adm*: $adm\ (\lambda x. x \in A)$

shows $compact\ (Rep\ k) \Longrightarrow compact\ k$

proof (*unfold compact-def*)

have *cont-Rep*: $cont\ Rep$

by (*rule typedef-cont-Rep [OF type below adm cont-id]*)

assume $adm\ (\lambda x. Rep\ k \not\sqsubseteq x)$

with *cont-Rep* **have** $adm\ (\lambda x. Rep\ k \not\sqsubseteq Rep\ x)$ **by** (*rule adm-subst*)

thus $adm\ (\lambda x. k \not\sqsubseteq x)$ **by** (*unfold below*)

qed

5.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

theorem *typedef-pcpo-generic*:

fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo

assumes *type*: type-definition *Rep Abs A*

and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$

and *z-in-A*: $z \in A$

and *z-least*: $\bigwedge x. x \in A \Longrightarrow z \sqsubseteq x$

shows *OFCLASS*('b, *pcpo-class*)

apply (*intro-classes*)

apply (*rule-tac x=Abs z in exI, rule allI*)

apply (*unfold below*)

apply (*subst type-definition.Abs-inverse [OF type z-in-A]*)

apply (*rule z-least [OF type-definition.Rep [OF type]]*)

done

As a special case, a subtype of a pcpo has a least element if the defining subset contains \perp .

theorem *typedef-pcpo*:

fixes *Abs* :: 'a::pcpo \Rightarrow 'b::cpo

assumes *type*: type-definition *Rep Abs A*

and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$

and *bottom-in-A*: $\perp \in A$

shows *OFCLASS*('b, *pcpo-class*)

by (*rule typedef-pcpo-generic [OF type below bottom-in-A], rule minimal*)

5.6.1 Strictness of *Rep* and *Abs*

For a sub-pcpo where \perp is a member of the defining subset, *Rep* and *Abs* are both strict.

theorem *typedef-Abs-strict*:

assumes *type*: *type-definition Rep Abs A*
 and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *bottom-in-A*: $\perp \in A$
 shows $Abs\ \perp = \perp$
 apply (*rule* *bottomI*, *unfold* *below*)
 apply (*simp* *add*: *type-definition.Abs-inverse* [*OF type* *bottom-in-A*])
done

theorem *typedef-Rep-strict*:

assumes *type*: *type-definition Rep Abs A*
 and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *bottom-in-A*: $\perp \in A$
 shows $Rep\ \perp = \perp$
 apply (*rule* *typedef-Abs-strict* [*OF type* *below* *bottom-in-A*, *THEN* *subst*])
 apply (*rule* *type-definition.Abs-inverse* [*OF type* *bottom-in-A*])
done

theorem *typedef-Abs-bottom-iff*:

assumes *type*: *type-definition Rep Abs A*
 and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *bottom-in-A*: $\perp \in A$
 shows $x \in A \implies (Abs\ x = \perp) = (x = \perp)$
 apply (*rule* *typedef-Abs-strict* [*OF type* *below* *bottom-in-A*, *THEN* *subst*])
 apply (*simp* *add*: *type-definition.Abs-inject* [*OF type*] *bottom-in-A*)
done

theorem *typedef-Rep-bottom-iff*:

assumes *type*: *type-definition Rep Abs A*
 and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *bottom-in-A*: $\perp \in A$
 shows $(Rep\ x = \perp) = (x = \perp)$
 apply (*rule* *typedef-Rep-strict* [*OF type* *below* *bottom-in-A*, *THEN* *subst*])
 apply (*simp* *add*: *type-definition.Rep-inject* [*OF type*])
done

5.7 Proving a subtype is flat

theorem *typedef-flat*:

fixes *Abs* :: '*a*::*flat* \Rightarrow '*b*::*pcpo*

assumes *type*: *type-definition Rep Abs A*
 and *below*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *bottom-in-A*: $\perp \in A$
 shows *OFCLASS*('b, *flat-class*)
 apply (*intro-classes*)
 apply (*unfold* *below*)
 apply (*simp* *add*: *type-definition.Rep-inject* [*OF type*, *symmetric*])
 apply (*simp* *add*: *typedef-Rep-strict* [*OF type* *below* *bottom-in-A*])
 apply (*simp* *add*: *ax-flat*)

done

5.8 HOLCF type definition package

ML-file *Tools/cpodef.ML*

end

6 Class instances for the full function space

theory *Fun-Cpo*
 imports *Adm*
 begin

6.1 Full function space is a partial order

instantiation *fun* :: (*type*, *below*) *below*
 begin

definition

below-fun-def: ($op \sqsubseteq$) $\equiv (\lambda f g. \forall x. f x \sqsubseteq g x)$

instance ..

end

instance *fun* :: (*type*, *po*) *po*

proof

fix *f* :: 'a \Rightarrow 'b

show $f \sqsubseteq f$

by (*simp add: below-fun-def*)

next

fix *f g* :: 'a \Rightarrow 'b

assume $f \sqsubseteq g$ and $g \sqsubseteq f$ thus $f = g$

by (*simp add: below-fun-def fun-eq-iff below-antisym*)

next

fix *f g h* :: 'a \Rightarrow 'b

assume $f \sqsubseteq g$ and $g \sqsubseteq h$ thus $f \sqsubseteq h$

unfolding *below-fun-def* by (*fast elim: below-trans*)

qed

lemma *fun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f x \sqsubseteq g x)$

by (*simp add: below-fun-def*)

lemma *fun-belowI*: $(\bigwedge x. f x \sqsubseteq g x) \Longrightarrow f \sqsubseteq g$

by (*simp add: below-fun-def*)

lemma *fun-belowD*: $f \sqsubseteq g \Longrightarrow f x \sqsubseteq g x$

by (*simp add: below-fun-def*)

6.2 Full function space is chain complete

Properties of chains of functions.

lemma *fun-chain-iff*: $chain\ S \longleftrightarrow (\forall x. chain\ (\lambda i. S\ i\ x))$
unfolding *chain-def fun-below-iff* **by** *auto*

lemma *ch2ch-fun*: $chain\ S \implies chain\ (\lambda i. S\ i\ x)$
by (*simp add: chain-def below-fun-def*)

lemma *ch2ch-lambda*: $(\bigwedge x. chain\ (\lambda i. S\ i\ x)) \implies chain\ S$
by (*simp add: chain-def below-fun-def*)

Type $'a \Rightarrow 'b$ is chain complete

lemma *is-lub-lambda*:

$(\bigwedge x. range\ (\lambda i. Y\ i\ x) \ll\!| f\ x) \implies range\ Y \ll\!| f$
unfolding *is-lub-def is-ub-def below-fun-def* **by** *simp*

lemma *is-lub-fun*:

$chain\ (S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo)$
 $\implies range\ S \ll\!| (\lambda x. \bigsqcup i. S\ i\ x)$

apply (*rule is-lub-lambda*)

apply (*rule cpo-lubI*)

apply (*erule ch2ch-fun*)

done

lemma *lub-fun*:

$chain\ (S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo)$
 $\implies (\bigsqcup i. S\ i) = (\lambda x. \bigsqcup i. S\ i\ x)$

by (*rule is-lub-fun [THEN lub-eqI]*)

instance *fun* :: (*type*, *cpo*) *cpo*

by *intro-classes (rule exI, erule is-lub-fun)*

instance *fun* :: (*type*, *discrete-cpo*) *discrete-cpo*

proof

fix $f\ g :: 'a \Rightarrow 'b$

show $f \sqsubseteq g \longleftrightarrow f = g$

unfolding *fun-below-iff fun-eq-iff*

by *simp*

qed

6.3 Full function space is pointed

lemma *minimal-fun*: $(\lambda x. \perp) \sqsubseteq f$

by (*simp add: below-fun-def*)

instance *fun* :: (*type*, *pcpo*) *pcpo*

by *standard (fast intro: minimal-fun)*

lemma *inst-fun-pcpo*: $\perp = (\lambda x. \perp)$
by (*rule minimal-fun* [*THEN bottomI, symmetric*])

lemma *app-strict* [*simp*]: $\perp x = \perp$
by (*simp add: inst-fun-pcpo*)

lemma *lambda-strict*: $(\lambda x. \perp) = \perp$
by (*rule bottomI, rule minimal-fun*)

6.4 Propagation of monotonicity and continuity

The lub of a chain of monotone functions is monotone.

lemma *adm-monofun*: *adm monofun*
by (*rule admI, simp add: lub-fun fun-chain-iff monofun-def lub-mono*)

The lub of a chain of continuous functions is continuous.

lemma *adm-cont*: *adm cont*
by (*rule admI, simp add: lub-fun fun-chain-iff*)

Function application preserves monotonicity and continuity.

lemma *mono2mono-fun*: *monofun f* \implies *monofun* $(\lambda x. f x y)$
by (*simp add: monofun-def fun-below-iff*)

lemma *cont2cont-fun*: *cont f* \implies *cont* $(\lambda x. f x y)$
apply (*rule contI2*)
apply (*erule cont2mono* [*THEN mono2mono-fun*])
apply (*simp add: cont2contlubE lub-fun ch2ch-cont*)
done

lemma *cont-fun*: *cont* $(\lambda f. f x)$
using *cont-id* **by** (*rule cont2cont-fun*)

Lambda abstraction preserves monotonicity and continuity. (Note $(\lambda x. \lambda y. f x y) = f$.)

lemma *mono2mono-lambda*:
assumes *f*: $\bigwedge y. \text{monofun } (\lambda x. f x y)$ **shows** *monofun f*
using *f* **by** (*simp add: monofun-def fun-below-iff*)

lemma *cont2cont-lambda* [*simp*]:
assumes *f*: $\bigwedge y. \text{cont } (\lambda x. f x y)$ **shows** *cont f*
by (*rule contI, rule is-lub-lambda, rule contE* [*OF f*])

What D.A.Schmidt calls continuity of abstraction; never used here

lemma *contlub-lambda*:
 $(\bigwedge x::'a::\text{type}. \text{chain } (\lambda i. S i x)::'b::\text{cpo}))$
 $\implies (\lambda x. \bigsqcup i. S i x) = (\bigsqcup i. (\lambda x. S i x))$
by (*simp add: lub-fun ch2ch-lambda*)

end

7 The cpo of cartesian products

```
theory Product-Cpo
imports Adm
begin
```

```
default-sort cpo
```

7.1 Unit type is a pcpo

```
instantiation unit :: discrete-cpo
begin
```

```
definition
```

```
  below-unit-def [simp]:  $x \sqsubseteq (y::unit) \longleftrightarrow True$ 
```

```
instance proof
```

```
qed simp
```

```
end
```

```
instance unit :: pcpo
```

```
by intro-classes simp
```

7.2 Product type is a partial order

```
instantiation prod :: (below, below) below
begin
```

```
definition
```

```
  below-prod-def:  $(op \sqsubseteq) \equiv \lambda p1 p2. (fst p1 \sqsubseteq fst p2 \wedge snd p1 \sqsubseteq snd p2)$ 
```

```
instance ..
```

```
end
```

```
instance prod :: (po, po) po
```

```
proof
```

```
  fix x :: 'a × 'b
```

```
  show  $x \sqsubseteq x$ 
```

```
    unfolding below-prod-def by simp
```

```
next
```

```
  fix x y :: 'a × 'b
```

```
  assume  $x \sqsubseteq y$   $y \sqsubseteq x$  thus  $x = y$ 
```

```
    unfolding below-prod-def prod-eq-iff
```

```
    by (fast intro: below-antisym)
```

```
next
```

```
  fix x y z :: 'a × 'b
```

```
  assume  $x \sqsubseteq y$   $y \sqsubseteq z$  thus  $x \sqsubseteq z$ 
```

```
    unfolding below-prod-def
```

```
    by (fast intro: below-trans)
```

qed

7.3 Monotonicity of *Pair*, *fst*, *snd*

lemma *prod-belowI*: $\llbracket \text{fst } p \sqsubseteq \text{fst } q; \text{snd } p \sqsubseteq \text{snd } q \rrbracket \implies p \sqsubseteq q$
unfolding *below-prod-def* **by** *simp*

lemma *Pair-below-iff* [*simp*]: $(a, b) \sqsubseteq (c, d) \iff a \sqsubseteq c \wedge b \sqsubseteq d$
unfolding *below-prod-def* **by** *simp*

Pair $(-, -)$ is monotone in both arguments

lemma *monofun-pair1*: *monofun* $(\lambda x. (x, y))$
by (*simp add: monofun-def*)

lemma *monofun-pair2*: *monofun* $(\lambda y. (x, y))$
by (*simp add: monofun-def*)

lemma *monofun-pair*:
 $\llbracket x1 \sqsubseteq x2; y1 \sqsubseteq y2 \rrbracket \implies (x1, y1) \sqsubseteq (x2, y2)$
by *simp*

lemma *ch2ch-Pair* [*simp*]:
 $\text{chain } X \implies \text{chain } Y \implies \text{chain } (\lambda i. (X\ i, Y\ i))$
by (*rule chainI, simp add: chainE*)

fst and *snd* are monotone

lemma *fst-monofun*: $x \sqsubseteq y \implies \text{fst } x \sqsubseteq \text{fst } y$
unfolding *below-prod-def* **by** *simp*

lemma *snd-monofun*: $x \sqsubseteq y \implies \text{snd } x \sqsubseteq \text{snd } y$
unfolding *below-prod-def* **by** *simp*

lemma *monofun-fst*: *monofun* *fst*
by (*simp add: monofun-def below-prod-def*)

lemma *monofun-snd*: *monofun* *snd*
by (*simp add: monofun-def below-prod-def*)

lemmas *ch2ch-fst* [*simp*] = *ch2ch-monofun* [*OF monofun-fst*]

lemmas *ch2ch-snd* [*simp*] = *ch2ch-monofun* [*OF monofun-snd*]

lemma *prod-chain-cases*:

assumes *chain* *Y*

obtains *A B*

where *chain* *A* and *chain* *B* and $Y = (\lambda i. (A\ i, B\ i))$

proof

from $\langle \text{chain } Y \rangle$ **show** *chain* $(\lambda i. \text{fst } (Y\ i))$ **by** (*rule ch2ch-fst*)

from $\langle \text{chain } Y \rangle$ **show** *chain* $(\lambda i. \text{snd } (Y\ i))$ **by** (*rule ch2ch-snd*)

show $Y = (\lambda i. (fst (Y i), snd (Y i)))$ **by** *simp*
qed

7.4 Product type is a cpo

lemma *is-lub-Pair*:

$\llbracket range\ A\ <<|\ x;\ range\ B\ <<|\ y \rrbracket \implies range\ (\lambda i. (A\ i,\ B\ i))\ <<|\ (x,\ y)$
unfolding *is-lub-def is-ub-def ball-simps below-prod-def* **by** *simp*

lemma *lub-Pair*:

$\llbracket chain\ (A::nat \Rightarrow 'a::cpo);\ chain\ (B::nat \Rightarrow 'b::cpo) \rrbracket$
 $\implies (\bigsqcup i. (A\ i,\ B\ i)) = (\bigsqcup i. A\ i,\ \bigsqcup i. B\ i)$
by (*fast intro: lub-eqI is-lub-Pair elim: thelubE*)

lemma *is-lub-prod*:

fixes $S :: nat \Rightarrow ('a::cpo \times 'b::cpo)$
assumes $S: chain\ S$
shows $range\ S\ <<|\ (\bigsqcup i. fst\ (S\ i), \bigsqcup i. snd\ (S\ i))$
using S **by** (*auto elim: prod-chain-cases simp add: is-lub-Pair cpo-lubI*)

lemma *lub-prod*:

$chain\ (S::nat \Rightarrow 'a::cpo \times 'b::cpo)$
 $\implies (\bigsqcup i. S\ i) = (\bigsqcup i. fst\ (S\ i), \bigsqcup i. snd\ (S\ i))$
by (*rule is-lub-prod [THEN lub-eqI]*)

instance *prod* :: $(cpo,\ cpo)\ cpo$

proof

fix $S :: nat \Rightarrow ('a \times 'b)$
assume $chain\ S$
hence $range\ S\ <<|\ (\bigsqcup i. fst\ (S\ i), \bigsqcup i. snd\ (S\ i))$
by (*rule is-lub-prod*)
thus $\exists x. range\ S\ <<|\ x$..

qed

instance *prod* :: $(discrete-cpo,\ discrete-cpo)\ discrete-cpo$

proof

fix $x\ y :: 'a \times 'b$
show $x \sqsubseteq y \longleftrightarrow x = y$
unfolding *below-prod-def prod-eq-iff*
by *simp*

qed

7.5 Product type is pointed

lemma *minimal-prod*: $(\perp,\ \perp) \sqsubseteq p$

by (*simp add: below-prod-def*)

instance *prod* :: $(pcpo,\ pcpo)\ pcpo$

by *intro-classes (fast intro: minimal-prod)*

lemma *inst-prod-pcpo*: $\perp = (\perp, \perp)$
by (*rule minimal-prod* [*THEN bottomI, symmetric*])

lemma *Pair-bottom-iff* [*simp*]: $(x, y) = \perp \longleftrightarrow x = \perp \wedge y = \perp$
unfolding *inst-prod-pcpo* **by** *simp*

lemma *fst-strict* [*simp*]: $\text{fst } \perp = \perp$
unfolding *inst-prod-pcpo* **by** (*rule fst-conv*)

lemma *snd-strict* [*simp*]: $\text{snd } \perp = \perp$
unfolding *inst-prod-pcpo* **by** (*rule snd-conv*)

lemma *Pair-strict* [*simp*]: $(\perp, \perp) = \perp$
by *simp*

lemma *split-strict* [*simp*]: $\text{case-prod } f \perp = f \perp \perp$
unfolding *split-def* **by** *simp*

7.6 Continuity of *Pair*, *fst*, *snd*

lemma *cont-pair1*: $\text{cont } (\lambda x. (x, y))$
apply (*rule contI*)
apply (*rule is-lub-Pair*)
apply (*erule cpo-lubI*)
apply (*rule is-lub-const*)
done

lemma *cont-pair2*: $\text{cont } (\lambda y. (x, y))$
apply (*rule contI*)
apply (*rule is-lub-Pair*)
apply (*rule is-lub-const*)
apply (*erule cpo-lubI*)
done

lemma *cont-fst*: $\text{cont } \text{fst}$
apply (*rule contI*)
apply (*simp add: lub-prod*)
apply (*erule cpo-lubI* [*OF ch2ch-fst*])
done

lemma *cont-snd*: $\text{cont } \text{snd}$
apply (*rule contI*)
apply (*simp add: lub-prod*)
apply (*erule cpo-lubI* [*OF ch2ch-snd*])
done

lemma *cont2cont-Pair* [*simp, cont2cont*]:
assumes $f: \text{cont } (\lambda x. f x)$
assumes $g: \text{cont } (\lambda x. g x)$


```

  shows cont (λx. (f x, g x))
apply (rule cont-apply [OF f cont-pair1])
apply (rule cont-apply [OF g cont-pair2])
apply (rule cont-const)
done

```

```

lemmas cont2cont-fst [simp, cont2cont] = cont-compose [OF cont-fst]

```

```

lemmas cont2cont-snd [simp, cont2cont] = cont-compose [OF cont-snd]

```

```

lemma cont2cont-case-prod:
  assumes f1: ∧a b. cont (λx. f x a b)
  assumes f2: ∧x b. cont (λa. f x a b)
  assumes f3: ∧x a. cont (λb. f x a b)
  assumes g: cont (λx. g x)
  shows cont (λx. case g x of (a, b) ⇒ f x a b)
unfolding split-def
apply (rule cont-apply [OF g])
apply (rule cont-apply [OF cont-fst f2])
apply (rule cont-apply [OF cont-snd f3])
apply (rule cont-const)
apply (rule f1)
done

```

```

lemma prod-contI:
  assumes f1: ∧y. cont (λx. f (x, y))
  assumes f2: ∧x. cont (λy. f (x, y))
  shows cont f
proof –
  have cont (λ(x, y). f (x, y))
  by (intro cont2cont-case-prod f1 f2 cont2cont)
  thus cont f
  by (simp only: case-prod-eta)
qed

```

```

lemma prod-cont-iff:
  cont f ↔ (∀y. cont (λx. f (x, y))) ∧ (∀x. cont (λy. f (x, y)))
apply safe
apply (erule cont-compose [OF - cont-pair1])
apply (erule cont-compose [OF - cont-pair2])
apply (simp only: prod-contI)
done

```

```

lemma cont2cont-case-prod' [simp, cont2cont]:
  assumes f: cont (λp. f (fst p) (fst (snd p)) (snd (snd p)))
  assumes g: cont (λx. g x)
  shows cont (λx. case-prod (f x) (g x))
using assms by (simp add: cont2cont-case-prod prod-cont-iff)

```

The simple version (due to Joachim Breitner) is needed if either element

type of the pair is not a cpo.

```
lemma cont2cont-split-simple [simp, cont2cont]:
  assumes  $\bigwedge a b. cont (\lambda x. f x a b)$ 
  shows  $cont (\lambda x. case p of (a, b) \Rightarrow f x a b)$ 
  using assms by (cases p) auto
```

Admissibility of predicates on product types.

```
lemma adm-case-prod [simp]:
  assumes  $adm (\lambda x. P x (fst (f x)) (snd (f x)))$ 
  shows  $adm (\lambda x. case f x of (a, b) \Rightarrow P x a b)$ 
  unfolding case-prod-beta using assms .
```

7.7 Compactness and chain-finiteness

```
lemma fst-below-iff:  $fst (x::'a \times 'b) \sqsubseteq y \longleftrightarrow x \sqsubseteq (y, snd x)$ 
  unfolding below-prod-def by simp
```

```
lemma snd-below-iff:  $snd (x::'a \times 'b) \sqsubseteq y \longleftrightarrow x \sqsubseteq (fst x, y)$ 
  unfolding below-prod-def by simp
```

```
lemma compact-fst:  $compact x \Longrightarrow compact (fst x)$ 
  by (rule compactI, simp add: fst-below-iff)
```

```
lemma compact-snd:  $compact x \Longrightarrow compact (snd x)$ 
  by (rule compactI, simp add: snd-below-iff)
```

```
lemma compact-Pair:  $\llbracket compact x; compact y \rrbracket \Longrightarrow compact (x, y)$ 
  by (rule compactI, simp add: below-prod-def)
```

```
lemma compact-Pair-iff [simp]:  $compact (x, y) \longleftrightarrow compact x \wedge compact y$ 
  apply (safe intro!: compact-Pair)
  apply (erule compact-fst, simp)
  apply (erule compact-snd, simp)
  done
```

```
instance prod :: (chfin, chfin) chfin
  apply intro-classes
  apply (erule compact-imp-max-in-chain)
  apply (case-tac  $\llbracket i. Y i, simp \rrbracket$ )
  done
```

end

8 The type of continuous functions

```
theory Cfun
  imports Cpodef Fun-Cpo Product-Cpo
  begin
```

default-sort *cpo*

8.1 Definition of continuous function type

definition *cfun* = {*f*::'a => 'b. cont *f*}

cpodef ('a, 'b) *cfun* ((- →/ -) [1, 0] 0) = *cfun* :: ('a => 'b) set
unfolding *cfun-def* **by** (*auto intro: cont-const adm-cont*)

type-notation (*ASCII*)
cfun (**infixr** -> 0)

notation (*ASCII*)
Rep-cfun ((-\$/-) [999,1000] 999)

notation
Rep-cfun ((-./-) [999,1000] 999)

8.2 Syntax for continuous lambda abstraction

syntax *-cabs* :: [*logic*, *logic*] ⇒ *logic*

parse-translation ⟨
 (* *rewrite* (*-cabs* *x* *t*) => (*Abs-cfun* (%*x*. *t*)) *)
 [*Syntax-Trans.mk-binder-tr* (@{*syntax-const -cabs*}, @{{*const-syntax Abs-cfun*}})];
 ⟩

print-translation ⟨
 [(@{{*const-syntax Abs-cfun*}}, *fn - => fn* [*Abs abs*] =>
 let val (*x*, *t*) = *Syntax-Trans.atomic-abs-tr'* *abs*
 in *Syntax.const* @{{*syntax-const -cabs*} \$ *x* \$ *t end*)]
 ⟩ — To avoid eta-contraction of body

Syntax for nested abstractions

syntax (*ASCII*)
-Lambda :: [*cargs*, *logic*] ⇒ *logic* ((*3LAM* -./ -) [1000, 10] 10)

syntax
-Lambda :: [*cargs*, *logic*] ⇒ *logic* ((*3Λ* -./ -) [1000, 10] 10)

parse-ast-translation ⟨
 (* *rewrite* (*LAM* *x* *y* *z*. *t*) => (*-cabs* *x* (*-cabs* *y* (*-cabs* *z* *t*))) *)
 (* *cf.* *Syntax.lambda-ast-tr* from *src/Pure/Syntax/syn-trans.ML* *)
let
 fun *Lambda-ast-tr* [*pats*, *body*] =
 Ast.fold-ast-p @{{*syntax-const -cabs*}}
 (*Ast.unfold-ast* @{{*syntax-const -cargs*}} (*Ast.strip-positions* *pats*), *body*)
 | *Lambda-ast-tr* *asts* = *raise Ast.AST* (*Lambda-ast-tr*, *asts*);
 ⟩

```

  in [(@{syntax-const -Lambda}, K Lambda-ast-tr)] end;
)

```

```

print-ast-translation (
(* rewrite (-cabs x (-cabs y (-cabs z t))) => (LAM x y z. t) *)
(* cf. Syntax.abs-ast-tr' from src/Pure/Syntax/syn-trans.ML *)
let
  fun cabs-ast-tr' asts =
    (case Ast.unfold-ast-p @ {syntax-const -cabs}
      (Ast.Appl (Ast.Constant @ {syntax-const -cabs} :: asts)) of
      ([], -) => raise Ast.AST (cabs-ast-tr', asts)
    | (xs, body) => Ast.Appl
      [Ast.Constant @ {syntax-const -Lambda},
       Ast.fold-ast @ {syntax-const -cargs} xs, body]);
  in [(@{syntax-const -cabs}, K cabs-ast-tr') end
)

```

Dummy patterns for continuous abstraction

translations

```

 $\Lambda$  -. t => CONST Abs-cfun ( $\lambda$  -. t)

```

8.3 Continuous function space is pointed

lemma *bottom-cfun*: $\perp \in \text{cfun}$

by (*simp add: cfun-def inst-fun-pcpo*)

instance *cfun* :: (*cpo*, *discrete-cpo*) *discrete-cpo*

by *intro-classes (simp add: below-cfun-def Rep-cfun-inject)*

instance *cfun* :: (*cpo*, *pcpo*) *pcpo*

by (*rule typedef-pcpo [OF type-definition-cfun below-cfun-def bottom-cfun]*)

lemmas *Rep-cfun-strict* =

```

typedef-Rep-strict [OF type-definition-cfun below-cfun-def bottom-cfun]

```

lemmas *Abs-cfun-strict* =

```

typedef-Abs-strict [OF type-definition-cfun below-cfun-def bottom-cfun]

```

function application is strict in its first argument

lemma *Rep-cfun-strict1* [*simp*]: $\perp \cdot x = \perp$

by (*simp add: Rep-cfun-strict*)

lemma *LAM-strict* [*simp*]: $(\Lambda x. \perp) = \perp$

by (*simp add: inst-fun-pcpo [symmetric] Abs-cfun-strict*)

for compatibility with old HOLCF-Version

lemma *inst-cfun-pcpo*: $\perp = (\Lambda x. \perp)$

by *simp*

8.4 Basic properties of continuous functions

Beta-equality for continuous functions

lemma *Abs-cfun-inverse2*: $\text{cont } f \implies \text{Rep-cfun } (\text{Abs-cfun } f) = f$
by (*simp add: Abs-cfun-inverse cfun-def*)

lemma *beta-cfun*: $\text{cont } f \implies (\Lambda x. f x) \cdot u = f u$
by (*simp add: Abs-cfun-inverse2*)

Beta-reduction simproc

Given the term $(\Lambda x. f x) \cdot y$, the procedure tries to construct the theorem $(\Lambda x. f x) \cdot y \equiv f y$. If this theorem cannot be completely solved by the *cont2cont* rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The simproc does not solve any more goals that would be solved by using *beta-cfun* as a simp rule. The advantage of the simproc is that it can avoid deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.

Update: The simproc now uses rule *Abs-cfun-inverse2* instead of *beta-cfun*, to avoid problems with eta-contraction.

```
simproc-setup beta-cfun-proc (Rep-cfun (Abs-cfun f)) = ⟨
  fn phi => fn ctxt => fn ct =>
    let
      val dest = Thm.dest-comb;
      val f = (snd o dest o snd o dest) ct;
      val [T, U] = Thm.dest-ctyp (Thm.ctyp-of-cterm f);
      val tr = Thm.instantiate' [SOME T, SOME U] [SOME f]
        (mk-meta-eq @ {thm Abs-cfun-inverse2});
      val rules = Named-Theorems.get ctxt @ {named-theorems cont2cont};
      val tac = SOLVED' (REPEAT-ALL-NEW (match-tac ctxt (rev rules)));
    in SOME (perhaps (SINGLE (tac 1)) tr) end
  ⟩
```

Eta-equality for continuous functions

lemma *eta-cfun*: $(\Lambda x. f x) = f$
by (*rule Rep-cfun-inverse*)

Extensionality for continuous functions

lemma *cfun-eq-iff*: $f = g \iff (\forall x. f x = g x)$
by (*simp add: Rep-cfun-inject [symmetric] fun-eq-iff*)

lemma *cfun-eqI*: $(\bigwedge x. f x = g x) \implies f = g$
by (*simp add: cfun-eq-iff*)

Extensionality wrt. ordering for continuous functions

lemma *cfun-below-iff*: $f \sqsubseteq g \iff (\forall x. f x \sqsubseteq g x)$
by (*simp add: below-cfun-def fun-below-iff*)

lemma *cfun-belowI*: $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$
by (*simp add: cfun-below-iff*)

Congruence for continuous function application

lemma *cfun-cong*: $\llbracket f = g; x = y \rrbracket \implies f \cdot x = g \cdot y$
by *simp*

lemma *cfun-fun-cong*: $f = g \implies f \cdot x = g \cdot x$
by *simp*

lemma *cfun-arg-cong*: $x = y \implies f \cdot x = f \cdot y$
by *simp*

8.5 Continuity of application

lemma *cont-Rep-cfun1*: *cont* $(\lambda f. f \cdot x)$
by (*rule cont-Rep-cfun [OF cont-id, THEN cont2cont-fun]*)

lemma *cont-Rep-cfun2*: *cont* $(\lambda x. f \cdot x)$
apply (*cut-tac x=f in Rep-cfun*)
apply (*simp add: cfun-def*)
done

lemmas *monofun-Rep-cfun = cont-Rep-cfun [THEN cont2mono]*

lemmas *monofun-Rep-cfun1 = cont-Rep-cfun1 [THEN cont2mono]*
lemmas *monofun-Rep-cfun2 = cont-Rep-cfun2 [THEN cont2mono]*

contlub, cont properties of *Rep-cfun* in each argument

lemma *contlub-cfun-arg*: *chain* $Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$
by (*rule cont-Rep-cfun2 [THEN cont2contlubE]*)

lemma *contlub-cfun-fun*: *chain* $F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$
by (*rule cont-Rep-cfun1 [THEN cont2contlubE]*)

monotonicity of application

lemma *monofun-cfun-fun*: $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$
by (*simp add: cfun-below-iff*)

lemma *monofun-cfun-arg*: $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$
by (*rule monofun-Rep-cfun2 [THEN monofunE]*)

lemma *monofun-cfun*: $\llbracket f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f \cdot x \sqsubseteq g \cdot y$
by (*rule below-trans [OF monofun-cfun-fun monofun-cfun-arg]*)

ch2ch - rules for the type $'a \rightarrow 'b$

lemma *chain-monofun*: *chain* $Y \implies \text{chain } (\lambda i. f \cdot (Y i))$
by (*erule monofun-Rep-cfun2 [THEN ch2ch-monofun]*)

lemma *ch2ch-Rep-cfunR*: $chain\ Y \implies chain\ (\lambda i. f \cdot (Y\ i))$
by (*rule monofun-Rep-cfun2* [*THEN ch2ch-monofun*])

lemma *ch2ch-Rep-cfunL*: $chain\ F \implies chain\ (\lambda i. (F\ i) \cdot x)$
by (*rule monofun-Rep-cfun1* [*THEN ch2ch-monofun*])

lemma *ch2ch-Rep-cfun* [*simp*]:
 $\llbracket chain\ F; chain\ Y \rrbracket \implies chain\ (\lambda i. (F\ i) \cdot (Y\ i))$
by (*simp add: chain-def monofun-cfun*)

lemma *ch2ch-LAM* [*simp*]:
 $\llbracket \bigwedge x. chain\ (\lambda i. S\ i\ x); \bigwedge i. cont\ (\lambda x. S\ i\ x) \rrbracket \implies chain\ (\lambda i. \bigwedge x. S\ i\ x)$
by (*simp add: chain-def cfun-below-iff*)

contlub, cont properties of *Rep-cfun* in both arguments

lemma *lub-APP*:
 $\llbracket chain\ F; chain\ Y \rrbracket \implies (\bigsqcup i. F\ i \cdot (Y\ i)) = (\bigsqcup i. F\ i) \cdot (\bigsqcup i. Y\ i)$
by (*simp add: contlub-cfun-fun contlub-cfun-arg diag-lub*)

lemma *lub-LAM*:
 $\llbracket \bigwedge x. chain\ (\lambda i. F\ i\ x); \bigwedge i. cont\ (\lambda x. F\ i\ x) \rrbracket$
 $\implies (\bigsqcup i. \bigwedge x. F\ i\ x) = (\bigwedge x. \bigsqcup i. F\ i\ x)$
by (*simp add: lub-cfun lub-fun ch2ch-lambda*)

lemmas *lub-distrib* = *lub-APP lub-LAM*

strictness

lemma *strictI*: $f \cdot x = \perp \implies f \cdot \perp = \perp$
apply (*rule bottomI*)
apply (*erule subst*)
apply (*rule minimal* [*THEN monofun-cfun-arg*])
done

type $'a \rightarrow 'b$ is chain complete

lemma *lub-cfun*: $chain\ F \implies (\bigsqcup i. F\ i) = (\bigwedge x. \bigsqcup i. F\ i \cdot x)$
by (*simp add: lub-cfun lub-fun ch2ch-lambda*)

8.6 Continuity simplification procedure

cont2cont lemma for *Rep-cfun*

lemma *cont2cont-APP* [*simp, cont2cont*]:
assumes $f: cont\ (\lambda x. f\ x)$
assumes $t: cont\ (\lambda x. t\ x)$
shows $cont\ (\lambda x. (f\ x) \cdot (t\ x))$
proof –
have $1: \bigwedge y. cont\ (\lambda x. (f\ x) \cdot y)$
using *cont-Rep-cfun1 f* **by** (*rule cont-compose*)

show $\text{cont } (\lambda x. (f x) \cdot (t x))$
using $t \text{ cont-Rep-cfun2 } 1$ **by** (*rule cont-apply*)
qed

Two specific lemmas for the combination of LCF and HOL terms. These lemmas are needed in theories that use types like $'a \rightarrow 'b \Rightarrow 'c$.

lemma *cont-APP-app* [*simp*]: $\llbracket \text{cont } f; \text{cont } g \rrbracket \Longrightarrow \text{cont } (\lambda x. ((f x) \cdot (g x)) s)$
by (*rule cont2cont-APP [THEN cont2cont-fun]*)

lemma *cont-APP-app-app* [*simp*]: $\llbracket \text{cont } f; \text{cont } g \rrbracket \Longrightarrow \text{cont } (\lambda x. ((f x) \cdot (g x)) s t)$
by (*rule cont-APP-app [THEN cont2cont-fun]*)

cont2mono Lemma for $\lambda x. \Lambda y. c1 x y$

lemma *cont2mono-LAM*:
 $\llbracket \Lambda x. \text{cont } (\lambda y. f x y); \Lambda y. \text{monofun } (\lambda x. f x y) \rrbracket$
 $\Longrightarrow \text{monofun } (\lambda x. \Lambda y. f x y)$
unfolding *monofun-def cfun-below-iff* **by** *simp*

cont2cont Lemma for $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

lemma *cont2cont-LAM*:
assumes $f1: \Lambda x. \text{cont } (\lambda y. f x y)$
assumes $f2: \Lambda y. \text{cont } (\lambda x. f x y)$
shows $\text{cont } (\lambda x. \Lambda y. f x y)$
proof (*rule cont-Abs-cfun*)
fix x
from $f1$ **show** $f x \in \text{cfun}$ **by** (*simp add: cfun-def*)
from $f2$ **show** $\text{cont } f$ **by** (*rule cont2cont-lambda*)
qed

This version does work as a cont2cont rule, since it has only a single subgoal.

lemma *cont2cont-LAM'* [*simp, cont2cont*]:
fixes $f :: 'a::\text{cpo} \Rightarrow 'b::\text{cpo} \Rightarrow 'c::\text{cpo}$
assumes $f: \text{cont } (\lambda p. f (\text{fst } p) (\text{snd } p))$
shows $\text{cont } (\lambda x. \Lambda y. f x y)$
using *assms* **by** (*simp add: cont2cont-LAM prod-cont-iff*)

lemma *cont2cont-LAM-discrete* [*simp, cont2cont*]:
 $(\Lambda y::'a::\text{discrete-cpo}. \text{cont } (\lambda x. f x y)) \Longrightarrow \text{cont } (\lambda x. \Lambda y. f x y)$
by (*simp add: cont2cont-LAM*)

8.7 Miscellaneous

Monotonicity of *Abs-cfun*

lemma *monofun-LAM*:
 $\llbracket \text{cont } f; \text{cont } g; \Lambda x. f x \sqsubseteq g x \rrbracket \Longrightarrow (\Lambda x. f x) \sqsubseteq (\Lambda x. g x)$

by (*simp add: cfun-below-iff*)

some lemmata for functions with flat/chfin domain/range types

lemma *chfin-Rep-cfunR*: *chain* ($Y::nat \Rightarrow 'a::cpo \rightarrow 'b::chfin$)
 $\Rightarrow !s. ? n. (LUB i. Y i) \$s = Y n \$s$
apply (*rule allI*)
apply (*subst contlub-cfun-fun*)
apply *assumption*
apply (*fast intro!: lub-eqI chfin lub-finch2 chfin2finch ch2ch-Rep-cfunL*)
done

lemma *adm-chfindom*: *adm* ($\lambda(u::'a::cpo \rightarrow 'b::chfin). P(u \cdot s)$)
by (*rule adm-subst, simp, rule adm-chfin*)

8.8 Continuous injection-retraction pairs

Continuous retractions are strict.

lemma *retraction-strict*:
 $\forall x. f \cdot (g \cdot x) = x \Rightarrow f \cdot \perp = \perp$
apply (*rule bottomI*)
apply (*drule-tac x= \perp in spec*)
apply (*erule subst*)
apply (*rule monofun-cfun-arg*)
apply (*rule minimal*)
done

lemma *injection-eq*:
 $\forall x. f \cdot (g \cdot x) = x \Rightarrow (g \cdot x = g \cdot y) = (x = y)$
apply (*rule iffI*)
apply (*drule-tac f=f in cfun-arg-cong*)
apply *simp*
apply *simp*
done

lemma *injection-below*:
 $\forall x. f \cdot (g \cdot x) = x \Rightarrow (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$
apply (*rule iffI*)
apply (*drule-tac f=f in monofun-cfun-arg*)
apply *simp*
apply (*erule monofun-cfun-arg*)
done

lemma *injection-defined-rev*:
 $\llbracket \forall x. f \cdot (g \cdot x) = x; g \cdot z = \perp \rrbracket \Rightarrow z = \perp$
apply (*drule-tac f=f in cfun-arg-cong*)
apply (*simp add: retraction-strict*)
done

lemma *injection-defined*:

$\llbracket \forall x. f.(g.x) = x; z \neq \perp \rrbracket \implies g.z \neq \perp$
by (*erule contrapos-nn*, *rule injection-defined-rev*)

a result about functions with flat codomain

lemma flat-eqI: $\llbracket (x::'a::\text{flat}) \sqsubseteq y; x \neq \perp \rrbracket \implies x = y$
by (*drule ax-flat*, *simp*)

lemma flat-codom:

$f.x = (c::'b::\text{flat}) \implies f.\perp = \perp \vee (\forall z. f.z = c)$
apply (*case-tac* $f.x = \perp$)
apply (*rule disjI1*)
apply (*rule bottomI*)
apply (*erule-tac* $t = \perp$ **in** *subst*)
apply (*rule minimal* [*THEN monofun-cfun-arg*])
apply *clarify*
apply (*rule-tac* $a = f.\perp$ **in** *refl* [*THEN box-equals*])
apply (*erule minimal* [*THEN monofun-cfun-arg*, *THEN flat-eqI*])
apply (*erule minimal* [*THEN monofun-cfun-arg*, *THEN flat-eqI*])
done

8.9 Identity and composition

definition

$ID :: 'a \rightarrow 'a$ **where**
 $ID = (\Lambda x. x)$

definition

$cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$ **where**
 $oo\text{-def}: cfcomp = (\Lambda f g x. f.(g.x))$

abbreviation

$cfcomp\text{-syn} :: ['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$ (**infixr** *oo* 100) **where**
 $f oo g == cfcomp.f.g$

lemma ID1 [*simp*]: $ID.x = x$

by (*simp add: ID-def*)

lemma cfcomp1: $(f oo g) = (\Lambda x. f.(g.x))$

by (*simp add: oo-def*)

lemma cfcomp2 [*simp*]: $(f oo g).x = f.(g.x)$

by (*simp add: cfcomp1*)

lemma cfcomp-LAM: $cont g \implies f oo (\Lambda x. g x) = (\Lambda x. f.(g x))$

by (*simp add: cfcomp1*)

lemma cfcomp-strict [*simp*]: $\perp oo f = \perp$

by (*simp add: cfun-eq-iff*)

Show that interpretation of $(\text{pcpo}, \text{--}\>)$ is a category. The class of objects is

interpretation of syntactical class `pcpo`. The class of arrows between objects $'a$ and $'b$ is interpret. of $'a \rightarrow 'b$. The identity arrow is interpretation of ID . The composition of f and g is interpretation of oo .

lemma $ID2$ [*simp*]: $f oo ID = f$
by (*rule cfun-eqI, simp*)

lemma $ID3$ [*simp*]: $ID oo f = f$
by (*rule cfun-eqI, simp*)

lemma *assoc-oo*: $f oo (g oo h) = (f oo g) oo h$
by (*rule cfun-eqI, simp*)

8.10 Strictified functions

default-sort *pcpo*

definition

$seq :: 'a \rightarrow 'b \rightarrow 'b$ **where**
 $seq = (\Lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } ID)$

lemma *cont2cont-if-bottom* [*cont2cont, simp*]:
assumes $f: cont (\lambda x. f x)$ **and** $g: cont (\lambda x. g x)$
shows $cont (\lambda x. \text{if } f x = \perp \text{ then } \perp \text{ else } g x)$

proof (*rule cont-apply [OF f]*)
show $\bigwedge x. cont (\lambda y. \text{if } y = \perp \text{ then } \perp \text{ else } g x)$
unfolding *cont-def is-lub-def is-ub-def ball-simps*
by (*simp add: lub-eq-bottom-iff*)
show $\bigwedge y. cont (\lambda x. \text{if } y = \perp \text{ then } \perp \text{ else } g x)$
by (*simp add: g*)

qed

lemma *seq-conv-if*: $seq \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } ID)$
unfolding *seq-def* **by** *simp*

lemma *seq-simps* [*simp*]:
 $seq \cdot \perp = \perp$
 $seq \cdot x \cdot \perp = \perp$
 $x \neq \perp \implies seq \cdot x = ID$
by (*simp-all add: seq-conv-if*)

definition

$strictify :: ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$ **where**
 $strictify = (\Lambda f x. seq \cdot x \cdot (f \cdot x))$

lemma *strictify-conv-if*: $strictify \cdot f \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$
unfolding *strictify-def* **by** *simp*

lemma *strictify1* [*simp*]: $strictify \cdot f \cdot \perp = \perp$
by (*simp add: strictify-conv-if*)

```
lemma strictify2 [simp]:  $x \neq \perp \implies \text{strictify}.f \cdot x = f \cdot x$ 
by (simp add: strictify-conv-if)
```

8.11 Continuity of let-bindings

```
lemma cont2cont-Let:
  assumes  $f: \text{cont} (\lambda x. f x)$ 
  assumes  $g1: \bigwedge y. \text{cont} (\lambda x. g x y)$ 
  assumes  $g2: \bigwedge x. \text{cont} (\lambda y. g x y)$ 
  shows  $\text{cont} (\lambda x. \text{let } y = f x \text{ in } g x y)$ 
unfolding Let-def using  $f g2 g1$  by (rule cont-apply)
```

```
lemma cont2cont-Let' [simp, cont2cont]:
  assumes  $f: \text{cont} (\lambda x. f x)$ 
  assumes  $g: \text{cont} (\lambda p. g (\text{fst } p) (\text{snd } p))$ 
  shows  $\text{cont} (\lambda x. \text{let } y = f x \text{ in } g x y)$ 
using  $f$ 
proof (rule cont2cont-Let)
  fix  $x$  show  $\text{cont} (\lambda y. g x y)$ 
  using  $g$  by (simp add: prod-cont-iff)
next
  fix  $y$  show  $\text{cont} (\lambda x. g x y)$ 
  using  $g$  by (simp add: prod-cont-iff)
qed
```

The simple version (suggested by Joachim Breitner) is needed if the type of the defined term is not a cpo.

```
lemma cont2cont-Let-simple [simp, cont2cont]:
  assumes  $\bigwedge y. \text{cont} (\lambda x. g x y)$ 
  shows  $\text{cont} (\lambda x. \text{let } y = t \text{ in } g x y)$ 
unfolding Let-def using assms .
```

end

9 The Strict Function Type

```
theory Sfun
imports Cfun
begin

pcpodef ('a, 'b) sfun (infixr  $\rightarrow!$  0)
  = { $f :: 'a \rightarrow 'b. f \cdot \perp = \perp$ }
by simp-all

type-notation (ASCII)
  sfun (infixr  $\rightarrow!$  0)
```

TODO: Define nice syntax for abstraction, application.

definition

$$\mathit{sfun-abs} :: ('a \rightarrow 'b) \rightarrow ('a \rightarrow! 'b)$$
where

$$\mathit{sfun-abs} = (\Lambda f. \mathit{Abs-sfun} (\mathit{strictify}\cdot f))$$
definition

$$\mathit{sfun-rep} :: ('a \rightarrow! 'b) \rightarrow 'a \rightarrow 'b$$
where

$$\mathit{sfun-rep} = (\Lambda f. \mathit{Rep-sfun} f)$$

lemma $\mathit{sfun-rep-beta}$: $\mathit{sfun-rep}\cdot f = \mathit{Rep-sfun} f$

unfolding $\mathit{sfun-rep-def}$ **by** ($\mathit{simp} \text{ add: cont-Rep-sfun}$)

lemma $\mathit{sfun-rep-strict1}$ [simp]: $\mathit{sfun-rep}\cdot \perp = \perp$

unfolding $\mathit{sfun-rep-beta}$ **by** ($\mathit{rule} \mathit{Rep-sfun-strict}$)

lemma $\mathit{sfun-rep-strict2}$ [simp]: $\mathit{sfun-rep}\cdot f\cdot \perp = \perp$

unfolding $\mathit{sfun-rep-beta}$ **by** ($\mathit{rule} \mathit{Rep-sfun} [\mathit{simplified}]$)

lemma $\mathit{strictify-cancel}$: $f\cdot \perp = \perp \implies \mathit{strictify}\cdot f = f$

by ($\mathit{simp} \text{ add: cfun-eq-iff strictify-conv-if}$)

lemma $\mathit{sfun-abs-sfun-rep}$ [simp]: $\mathit{sfun-abs}\cdot(\mathit{sfun-rep}\cdot f) = f$

unfolding $\mathit{sfun-abs-def} \mathit{sfun-rep-def}$

apply ($\mathit{simp} \text{ add: cont-Abs-sfun cont-Rep-sfun}$)

apply ($\mathit{simp} \text{ add: Rep-sfun-inject [symmetric] Abs-sfun-inverse}$)

apply ($\mathit{simp} \text{ add: cfun-eq-iff strictify-conv-if}$)

apply ($\mathit{simp} \text{ add: Rep-sfun [simplified]}$)

done

lemma $\mathit{sfun-rep-sfun-abs}$ [simp]: $\mathit{sfun-rep}\cdot(\mathit{sfun-abs}\cdot f) = \mathit{strictify}\cdot f$

unfolding $\mathit{sfun-abs-def} \mathit{sfun-rep-def}$

apply ($\mathit{simp} \text{ add: cont-Abs-sfun cont-Rep-sfun}$)

apply ($\mathit{simp} \text{ add: Abs-sfun-inverse}$)

done

lemma $\mathit{sfun-eq-iff}$: $f = g \iff \mathit{sfun-rep}\cdot f = \mathit{sfun-rep}\cdot g$

by ($\mathit{simp} \text{ add: sfun-rep-def cont-Rep-sfun Rep-sfun-inject}$)

lemma $\mathit{sfun-below-iff}$: $f \sqsubseteq g \iff \mathit{sfun-rep}\cdot f \sqsubseteq \mathit{sfun-rep}\cdot g$

by ($\mathit{simp} \text{ add: sfun-rep-def cont-Rep-sfun below-sfun-def}$)

end

10 The cpo of cartesian products

theory $Cprod$

imports $Cfun$

begin

default-sort *cpo*

10.1 Continuous case function for unit type

definition

$unit\text{-}when :: 'a \rightarrow unit \rightarrow 'a$ **where**
 $unit\text{-}when = (\Lambda a \cdot a)$

translations

$\Lambda(). t == CONST\ unit\text{-}when \cdot t$

lemma $unit\text{-}when$ [*simp*]: $unit\text{-}when \cdot a \cdot u = a$
by (*simp add: unit\text{-}when-def*)

10.2 Continuous version of split function

definition

$csplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a * 'b) \rightarrow 'c$ **where**
 $csplit = (\Lambda f\ p. f \cdot (fst\ p) \cdot (snd\ p))$

translations

$\Lambda(CONST\ Pair\ x\ y). t == CONST\ csplit \cdot (\Lambda\ x\ y. t)$

abbreviation

$cfst :: 'a \times 'b \rightarrow 'a$ **where**
 $cfst \equiv Abs\text{-}cfun\ fst$

abbreviation

$csnd :: 'a \times 'b \rightarrow 'b$ **where**
 $csnd \equiv Abs\text{-}cfun\ snd$

10.3 Convert all lemmas to the continuous versions

lemma $csplit1$ [*simp*]: $csplit \cdot f \cdot \perp = f \cdot \perp \cdot \perp$
by (*simp add: csplit-def*)

lemma $csplit\text{-}Pair$ [*simp*]: $csplit \cdot f \cdot (x, y) = f \cdot x \cdot y$
by (*simp add: csplit-def*)

end

11 The type of strict products

theory *Sprod*
imports *Cfun*
begin

default-sort *pcpo*

11.1 Definition of strict product type

definition $sprod = \{p :: 'a \times 'b. p = \perp \vee (fst\ p \neq \perp \wedge snd\ p \neq \perp)\}$

pcpodef $('a, 'b) sprod ((- \otimes / -) [21,20] 20) = sprod :: ('a \times 'b) set$
unfolding $sprod-def$ **by** $simp-all$

instance $sprod :: (\{chfin,pcpo\}, \{chfin,pcpo\}) chfin$
by $(rule\ typedef-chfin\ [OF\ type-definition-sprod\ below-sprod-def])$

type-notation $(ASCII)$
 $sprod$ **(infixr** $**$ $20)$

11.2 Definitions of constants

definition
 $sfst :: ('a ** 'b) \rightarrow 'a$ **where**
 $sfst = (\Lambda\ p. fst\ (Rep-sprod\ p))$

definition
 $ssnd :: ('a ** 'b) \rightarrow 'b$ **where**
 $ssnd = (\Lambda\ p. snd\ (Rep-sprod\ p))$

definition
 $spair :: 'a \rightarrow 'b \rightarrow ('a ** 'b)$ **where**
 $spair = (\Lambda\ a\ b. Abs-sprod\ (seq\cdot b\cdot a, seq\cdot a\cdot b))$

definition
 $ssplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a ** 'b) \rightarrow 'c$ **where**
 $ssplit = (\Lambda\ f\ p. seq\cdot p\cdot (f\cdot (sfst\cdot p)\cdot (ssnd\cdot p)))$

syntax
 $-stuple :: [logic, args] \Rightarrow logic\ ((1'(-, / -:'))$

translations
 $(:x, y, z:) == (:x, (:y, z):)$
 $(:x, y:) == CONST\ spair\cdot x\cdot y$

translations
 $\Lambda(CONST\ spair\cdot x\cdot y). t == CONST\ ssplit\cdot (\Lambda\ x\ y. t)$

11.3 Case analysis

lemma $spair-sprod: (seq\cdot b\cdot a, seq\cdot a\cdot b) \in sprod$
by $(simp\ add: sprod-def\ seq-conv-if)$

lemma $Rep-sprod-spair: Rep-sprod\ (:a, b:) = (seq\cdot b\cdot a, seq\cdot a\cdot b)$
by $(simp\ add: spair-def\ cont-Abs-sprod\ Abs-sprod-inverse\ spair-sprod)$

lemmas $Rep-sprod-simps =$

Rep-sprod-inject [*symmetric*] *below-sprod-def*
prod-eq-iff *below-prod-def*
Rep-sprod-strict *Rep-sprod-spair*

lemma *sprodE* [*case-names bottom spair, cases type: sprod*]:
obtains $p = \perp \mid x \ y$ **where** $p = (:x, y:)$ **and** $x \neq \perp$ **and** $y \neq \perp$
using *Rep-sprod* [*of p*] **by** (*auto simp add: sprod-def Rep-sprod-simps*)

lemma *sprod-induct* [*case-names bottom spair, induct type: sprod*]:
 $\llbracket P \perp; \bigwedge x \ y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$
by (*cases x, simp-all*)

11.4 Properties of *spair*

lemma *spair-strict1* [*simp*]: $(:\perp, y:) = \perp$
by (*simp add: Rep-sprod-simps*)

lemma *spair-strict2* [*simp*]: $(:x, \perp:) = \perp$
by (*simp add: Rep-sprod-simps*)

lemma *spair-bottom-iff* [*simp*]: $((:x, y:) = \perp) = (x = \perp \vee y = \perp)$
by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-below-iff*:
 $((:a, b:) \sqsubseteq (:c, d:)) = (a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d))$
by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-eq-iff*:
 $((:a, b:) = (:c, d:)) =$
 $(a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp))$
by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-strict*: $x = \perp \vee y = \perp \implies (:x, y:) = \perp$
by *simp*

lemma *spair-strict-rev*: $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$
by *simp*

lemma *spair-defined*: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$
by *simp*

lemma *spair-defined-rev*: $(:x, y:) = \perp \implies x = \perp \vee y = \perp$
by *simp*

lemma *spair-below*:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \sqsubseteq (:a, b:) = (x \sqsubseteq a \wedge y \sqsubseteq b)$
by (*simp add: spair-below-iff*)

lemma *spair-eq*:

$\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ((:x, y:) = (:a, b:)) = (x = a \wedge y = b)$
by (*simp add: spair-eq-iff*)

lemma *spair-inject*:

$\llbracket x \neq \perp; y \neq \perp; (:x, y:) = (:a, b:) \rrbracket \implies x = a \wedge y = b$
by (*rule spair-eq [THEN iffD1]*)

lemma *inst-sprod-pcpo2*: $\perp = (:\perp, \perp:)$
by *simp*

lemma *sprodE2*: $(\bigwedge x y. p = (:x, y:) \implies Q) \implies Q$
by (*cases p, simp only: inst-sprod-pcpo2, simp*)

11.5 Properties of *sfst* and *ssnd*

lemma *sfst-strict* [*simp*]: $sfst.\perp = \perp$
by (*simp add: sfst-def cont-Rep-sprod Rep-sprod-strict*)

lemma *ssnd-strict* [*simp*]: $ssnd.\perp = \perp$
by (*simp add: ssnd-def cont-Rep-sprod Rep-sprod-strict*)

lemma *sfst-spair* [*simp*]: $y \neq \perp \implies sfst.(:x, y:) = x$
by (*simp add: sfst-def cont-Rep-sprod Rep-sprod-spair*)

lemma *ssnd-spair* [*simp*]: $x \neq \perp \implies ssnd.(:x, y:) = y$
by (*simp add: ssnd-def cont-Rep-sprod Rep-sprod-spair*)

lemma *sfst-bottom-iff* [*simp*]: $(sfst.p = \perp) = (p = \perp)$
by (*cases p, simp-all*)

lemma *ssnd-bottom-iff* [*simp*]: $(ssnd.p = \perp) = (p = \perp)$
by (*cases p, simp-all*)

lemma *sfst-defined*: $p \neq \perp \implies sfst.p \neq \perp$
by *simp*

lemma *ssnd-defined*: $p \neq \perp \implies ssnd.p \neq \perp$
by *simp*

lemma *spair-sfst-ssnd*: $(:sfst.p, ssnd.p:) = p$
by (*cases p, simp-all*)

lemma *below-sprod*: $(x \sqsubseteq y) = (sfst.x \sqsubseteq sfst.y \wedge ssnd.x \sqsubseteq ssnd.y)$
by (*simp add: Rep-sprod-simps sfst-def ssnd-def cont-Rep-sprod*)

lemma *eq-sprod*: $(x = y) = (sfst.x = sfst.y \wedge ssnd.x = ssnd.y)$
by (*auto simp add: po-eq-conv below-sprod*)

lemma *sfst-below-iff*: $sfst.x \sqsubseteq y \iff x \sqsubseteq (:y, ssnd.x:)$

```

apply (cases x = ⊥, simp, cases y = ⊥, simp)
apply (simp add: below-sprod)
done

```

```

lemma ssnd-below-iff:  $ssnd \cdot x \sqsubseteq y \iff x \sqsubseteq (:sfst \cdot x, y)$ 
apply (cases x = ⊥, simp, cases y = ⊥, simp)
apply (simp add: below-sprod)
done

```

11.6 Compactness

```

lemma compact-sfst:  $compact\ x \implies compact\ (sfst \cdot x)$ 
by (rule compactI, simp add: sfst-below-iff)

```

```

lemma compact-ssnd:  $compact\ x \implies compact\ (ssnd \cdot x)$ 
by (rule compactI, simp add: ssnd-below-iff)

```

```

lemma compact-spair:  $\llbracket compact\ x; compact\ y \rrbracket \implies compact\ (:x, y)$ 
by (rule compact-sprod, simp add: Rep-sprod-spair seq-conv-if)

```

```

lemma compact-spair-iff:
   $compact\ (:x, y) = (x = \perp \vee y = \perp \vee (compact\ x \wedge compact\ y))$ 
apply (safe elim!: compact-spair)
apply (drule compact-sfst, simp)
apply (drule compact-ssnd, simp)
apply simp
apply simp
done

```

11.7 Properties of *ssplit*

```

lemma ssplit1 [simp]:  $ssplit \cdot f \cdot \perp = \perp$ 
by (simp add: ssplit-def)

```

```

lemma ssplit2 [simp]:  $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ssplit \cdot f \cdot (:x, y) = f \cdot x \cdot y$ 
by (simp add: ssplit-def)

```

```

lemma ssplit3 [simp]:  $ssplit \cdot spair \cdot z = z$ 
by (cases z, simp-all)

```

11.8 Strict product preserves flatness

```

instance sprod :: (flat, flat) flat
proof
  fix x y :: 'a ⊗ 'b
  assume x ⊆ y thus x = ⊥ ∨ x = y
    apply (induct x, simp)
    apply (induct y, simp)
    apply (simp add: spair-below-iff flat-below-iff)
  done

```

qed

end

12 Discrete cpo types

theory *Discrete*
 imports *Cont*
 begin

datatype *'a discr = Disc 'a :: type*

12.1 Discrete cpo class instance

instantiation *discr :: (type) discrete-cpo*
 begin

definition
 (*op* \sqsubseteq :: *'a discr* \Rightarrow *'a discr* \Rightarrow *bool*) = (*op* =)

instance
 by *standard (simp add: below-discr-def)*

end

12.2 *undiscr*

definition
undiscr :: (*'a::type*)*discr* \Rightarrow *'a* **where**
undiscr *x* = (*case* *x* of *Disc* *y* \Rightarrow *y*)

lemma *undiscr-Disc [simp]*: *undiscr (Disc* *x*) = *x*
 by (*simp add: undiscr-def*)

lemma *Disc-undiscr [simp]*: *Disc (undiscr* *y*) = *y*
 by (*induct* *y*) *simp*

end

13 The type of lifted values

theory *Up*
 imports *Cfun*
 begin

default-sort *cpo*

13.1 Definition of new type for lifting

datatype $'a\ u$ $((-\perp) [1000] 999) = Ibottom \mid Iup\ 'a$

primrec $Igup :: ('a \rightarrow 'b::pcpo) \Rightarrow 'a\ u \Rightarrow 'b$ **where**
 $Igup\ f\ Ibottom = \perp$
 $\mid Igup\ f\ (Iup\ x) = f \cdot x$

13.2 Ordering on lifted cpo

instantiation $u :: (cpo)\ below$
begin

definition

below-up-def:
 $(op\ \sqsubseteq) \equiv (\lambda x\ y.\ case\ x\ of\ Ibottom \Rightarrow True \mid Iup\ a \Rightarrow$
 $(case\ y\ of\ Ibottom \Rightarrow False \mid Iup\ b \Rightarrow a\ \sqsubseteq\ b))$

instance ..
end

lemma *minimal-up [iff]*: $Ibottom\ \sqsubseteq\ z$
by (*simp add: below-up-def*)

lemma *not-Iup-below [iff]*: $Iup\ x\ \not\sqsubseteq\ Ibottom$
by (*simp add: below-up-def*)

lemma *Iup-below [iff]*: $(Iup\ x\ \sqsubseteq\ Iup\ y) = (x\ \sqsubseteq\ y)$
by (*simp add: below-up-def*)

13.3 Lifted cpo is a partial order

instance $u :: (cpo)\ po$

proof

fix $x :: 'a\ u$
show $x\ \sqsubseteq\ x$
unfolding *below-up-def* **by** (*simp split: u.split*)

next

fix $x\ y :: 'a\ u$
assume $x\ \sqsubseteq\ y\ y\ \sqsubseteq\ x$ **thus** $x = y$
unfolding *below-up-def*
by (*auto split: u.split-asm intro: below-antisym*)

next

fix $x\ y\ z :: 'a\ u$
assume $x\ \sqsubseteq\ y\ y\ \sqsubseteq\ z$ **thus** $x\ \sqsubseteq\ z$
unfolding *below-up-def*
by (*auto split: u.split-asm intro: below-trans*)

qed

13.4 Lifted cpo is a cpo

lemma *is-lub-Iup*:

range $S \ll | x \implies \text{range } (\lambda i. \text{Iup } (S i)) \ll | \text{Iup } x$

unfolding *is-lub-def is-ub-def ball-simps*

by (*auto simp add: below-up-def split: u.split*)

lemma *up-chain-lemma*:

assumes $Y: \text{chain } Y$ **obtains** $\forall i. Y i = \text{Ibottom}$

| $A k$ **where** $\forall i. \text{Iup } (A i) = Y (i + k)$ **and** *chain* A **and** *range* $Y \ll | \text{Iup } (\bigsqcup i. A i)$

proof (*cases* $\exists k. Y k \neq \text{Ibottom}$)

case *True*

then obtain k **where** $k: Y k \neq \text{Ibottom} ..$

def $A \equiv \lambda i. \text{THE } a. \text{Iup } a = Y (i + k)$

have *Iup-A*: $\forall i. \text{Iup } (A i) = Y (i + k)$

proof

fix $i :: \text{nat}$

from *Y le-add2* **have** $Y k \sqsubseteq Y (i + k)$ **by** (*rule chain-mono*)

with k **have** $Y (i + k) \neq \text{Ibottom}$ **by** (*cases* $Y k, \text{auto}$)

thus $\text{Iup } (A i) = Y (i + k)$

by (*cases* $Y (i + k), \text{simp-all add: A-def}$)

qed

from Y **have** *chain-A*: *chain* A

unfolding *chain-def Iup-below* [*symmetric*]

by (*simp add: Iup-A*)

hence *range* $A \ll | (\bigsqcup i. A i)$

by (*rule cpo-lubI*)

hence *range* $(\lambda i. \text{Iup } (A i)) \ll | \text{Iup } (\bigsqcup i. A i)$

by (*rule is-lub-Iup*)

hence *range* $(\lambda i. Y (i + k)) \ll | \text{Iup } (\bigsqcup i. A i)$

by (*simp only: Iup-A*)

hence *range* $(\lambda i. Y i) \ll | \text{Iup } (\bigsqcup i. A i)$

by (*simp only: is-lub-range-shift* [*OF* Y])

with *Iup-A chain-A* **show** *?thesis* ..

next

case *False*

then have $\forall i. Y i = \text{Ibottom}$ **by** *simp*

then show *?thesis* ..

qed

instance $u :: (\text{cpo}) \text{ cpo}$

proof

fix $S :: \text{nat} \Rightarrow 'a$ u

assume $S: \text{chain } S$

thus $\exists x. \text{range } (\lambda i. S i) \ll | x$

proof (*rule up-chain-lemma*)

assume $\forall i. S i = \text{Ibottom}$

hence *range* $(\lambda i. S i) \ll | \text{Ibottom}$

by (*simp add: is-lub-const*)

```

  thus ?thesis ..
next
  fix A :: nat => 'a
  assume range S <<| Iup (⊔ i. A i)
  thus ?thesis ..
qed
qed

```

13.5 Lifted cpo is pointed

```

instance u :: (cpo) pcpo
by intro-classes fast

```

for compatibility with old HOLCF-Version

```

lemma inst-up-pcpo: ⊥ = Ibottom
by (rule minimal-up [THEN bottomI, symmetric])

```

13.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

```

lemma cont-Iup: cont Iup
apply (rule contI)
apply (rule is-lub-Iup)
apply (erule cpo-lubI)
done

```

continuity for *Ifup*

```

lemma cont-Ifup1: cont (λf. Ifup f x)
by (induct x, simp-all)

```

```

lemma monofun-Ifup2: monofun (λx. Ifup f x)
apply (rule monofunI)
apply (case-tac x, simp)
apply (case-tac y, simp)
apply (simp add: monofun-cfun-arg)
done

```

```

lemma cont-Ifup2: cont (λx. Ifup f x)

```

```

proof (rule contI2)

```

```

  fix Y assume Y: chain Y and Y': chain (λi. Ifup f (Y i))

```

```

  from Y show Ifup f (⊔ i. Y i) ⊆ (⊔ i. Ifup f (Y i))

```

```

  proof (rule up-chain-lemma)

```

```

    fix A and k

```

```

    assume A: ∀ i. Iup (A i) = Y (i + k)

```

```

    assume chain A and range Y <<| Iup (⊔ i. A i)

```

```

    hence Ifup f (⊔ i. Y i) = (⊔ i. Ifup f (Iup (A i)))

```

```

      by (simp add: lub-eqI contlub-cfun-arg)

```

```

    also have ... = (⊔ i. Ifup f (Y (i + k)))

```

by (*simp add: A*)
 also have $\dots = (\bigsqcup i. \text{Ifup } f \ (Y \ i))$
 using Y' by (*rule lub-range-shift*)
 finally show *?thesis* by *simp*
 qed *simp*
 qed (*rule monofun-Ifup2*)

13.7 Continuous versions of constants

definition

$up :: 'a \rightarrow 'a \ u$ where
 $up = (\Lambda x. \text{Iup } x)$

definition

$fup :: ('a \rightarrow 'b::\text{pcpo}) \rightarrow 'a \ u \rightarrow 'b$ where
 $fup = (\Lambda f \ p. \text{Ifup } f \ p)$

translations

case l of XCONST up.x \Rightarrow t == CONST fup.($\Lambda x. t$).l
case l of (XCONST up :: 'a).x \Rightarrow t => CONST fup.($\Lambda x. t$).l
 $\Lambda(\text{XCONST up.x}). t == \text{CONST fup}.\langle \Lambda x. t \rangle$

continuous versions of lemmas for $'a_{\perp}$

lemma *Exh-Up*: $z = \perp \vee (\exists x. z = up.x)$
apply (*induct z*)
apply (*simp add: inst-up-pcpo*)
apply (*simp add: up-def cont-Iup*)
done

lemma *up-eq* [*simp*]: $(up.x = up.y) = (x = y)$
by (*simp add: up-def cont-Iup*)

lemma *up-inject*: $up.x = up.y \implies x = y$
by *simp*

lemma *up-defined* [*simp*]: $up.x \neq \perp$
by (*simp add: up-def cont-Iup inst-up-pcpo*)

lemma *not-up-less-UU*: $up.x \not\sqsubseteq \perp$
by *simp*

lemma *up-below* [*simp*]: $up.x \sqsubseteq up.y \longleftrightarrow x \sqsubseteq y$
by (*simp add: up-def cont-Iup*)

lemma *upE* [*case-names bottom up, cases type: u*]:
 $\llbracket p = \perp \implies Q; \bigwedge x. p = up.x \implies Q \rrbracket \implies Q$
apply (*cases p*)
apply (*simp add: inst-up-pcpo*)
apply (*simp add: up-def cont-Iup*)

done

lemma *up-induct* [*case-names bottom up, induct type: u*]:
 $\llbracket P \perp; \bigwedge x. P (up \cdot x) \rrbracket \implies P x$
by (*cases x, simp-all*)

lifting preserves chain-finiteness

lemma *up-chain-cases*:

assumes *Y: chain Y obtains* $\forall i. Y i = \perp$
 $| A k$ **where** $\forall i. up \cdot (A i) = Y (i + k)$ **and** *chain A* **and** $(\bigsqcup i. Y i) = up \cdot (\bigsqcup i. A i)$
apply (*rule up-chain-lemma [OF Y]*)
apply (*simp-all add: inst-up-pcpo up-def cont-Iup lub-eqI*)
done

lemma *compact-up*: $compact\ x \implies compact\ (up \cdot x)$
apply (*rule compactI2*)
apply (*erule up-chain-cases*)
apply *simp*
apply (*drule (1) compactD2, simp*)
apply (*erule exE*)
apply (*drule-tac f=up and x=x in monofun-cfun-arg*)
apply (*simp, erule exI*)
done

lemma *compact-upD*: $compact\ (up \cdot x) \implies compact\ x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-cfun2 [where f=up]], simp*)

lemma *compact-up-iff* [*simp*]: $compact\ (up \cdot x) = compact\ x$
by (*safe elim!: compact-up compact-upD*)

instance *u :: (chfin) chfin*
apply *intro-classes*
apply (*erule compact-imp-max-in-chain*)
apply (*rule-tac p= $\bigsqcup i. Y i$ in upE, simp-all*)
done

properties of fup

lemma *fup1* [*simp*]: $fup \cdot f \cdot \perp = \perp$
by (*simp add: fup-def cont-Ifup1 cont-Ifup2 inst-up-pcpo cont2cont-LAM*)

lemma *fup2* [*simp*]: $fup \cdot f \cdot (up \cdot x) = f \cdot x$
by (*simp add: up-def fup-def cont-Iup cont-Ifup1 cont-Ifup2 cont2cont-LAM*)

lemma *fup3* [*simp*]: $fup \cdot up \cdot x = x$
by (*cases x, simp-all*)

end

14 Lifting types of class type to flat pcpo’s

```

theory Lift
imports Discrete Up
begin

default-sort type

pcpodef 'a lift = UNIV :: 'a discr u set
by simp-all

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

```

definition

```

Def :: 'a ⇒ 'a lift where
Def x = Abs-lift (up·(Discr x))

```

14.1 Lift as a datatype

```

lemma lift-induct:  $\llbracket P \perp; \bigwedge x. P (Def\ x) \rrbracket \implies P\ y$ 
apply (induct y)
apply (rule-tac p=y in upE)
apply (simp add: Abs-lift-strict)
apply (case-tac x)
apply (simp add: Def-def)
done

```

old-rep-datatype $\perp::'a\ lift\ Def$

```

by (erule lift-induct) (simp-all add: Def-def Abs-lift-inject inst-lift-pcpo)

```

\perp and *Def*

```

lemma not-Undef-is-Def:  $(x \neq \perp) = (\exists y. x = Def\ y)$ 
by (cases x) simp-all

```

```

lemma lift-definedE:  $\llbracket x \neq \perp; \bigwedge a. x = Def\ a \implies R \rrbracket \implies R$ 
by (cases x) simp-all

```

For $x \neq \perp$ in assumptions *defined* replaces x by *Def a* in conclusion.

```

method-setup defined = ⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (eresolve-tac ctxt @ {thms lift-definedE} THEN' asm-simp-tac ctxt))
  ⟩

```

```

lemma DefE:  $Def\ x = \perp \implies R$ 
by simp

```

```

lemma DefE2:  $\llbracket x = Def\ s; x = \perp \rrbracket \implies R$ 
by simp

```

lemma *Def-below-Def*: $Def\ x \sqsubseteq Def\ y \longleftrightarrow x = y$
by (*simp add: below-lift-def Def-def Abs-lift-inverse*)

lemma *Def-below-iff* [*simp*]: $Def\ x \sqsubseteq y \longleftrightarrow Def\ x = y$
by (*induct y, simp, simp add: Def-below-Def*)

14.2 Lift is flat

instance *lift* :: (*type*) *flat*

proof

fix $x\ y :: 'a\ lift$

assume $x \sqsubseteq y$ **thus** $x = \perp \vee x = y$

by (*induct x auto*)

qed

14.3 Continuity of *case-lift*

lemma *case-lift-eq*: $case\ lift\ \perp\ f\ x = fup \cdot (\Lambda\ y.\ f\ (undiscr\ y)) \cdot (Rep\ lift\ x)$

apply (*induct x, unfold lift.case*)

apply (*simp add: Rep-lift-strict*)

apply (*simp add: Def-def Abs-lift-inverse*)

done

lemma *cont2cont-case-lift* [*simp*]:

$[\Lambda\ y.\ cont\ (\lambda x.\ f\ x\ y); cont\ g] \Longrightarrow cont\ (\lambda x.\ case\ lift\ \perp\ (f\ x)\ (g\ x))$

unfolding *case-lift-eq* **by** (*simp add: cont-Rep-lift*)

14.4 Further operations

definition

$flift1 :: ('a \Rightarrow 'b)::pcpo \Rightarrow ('a\ lift \rightarrow 'b)$ (**binder** *FLIFT 10*) **where**

$flift1 = (\lambda f.\ (\Lambda\ x.\ case\ lift\ \perp\ f\ x))$

translations

$\Lambda(XCONST\ Def\ x).\ t \Rightarrow CONST\ flift1\ (\lambda x.\ t)$

$\Lambda(CONST\ Def\ x).\ FLIFT\ y.\ t \leq FLIFT\ x\ y.\ t$

$\Lambda(CONST\ Def\ x).\ t \leq FLIFT\ x.\ t$

definition

$flift2 :: ('a \Rightarrow 'b) \Rightarrow ('a\ lift \rightarrow 'b\ lift)$ **where**

$flift2\ f = (FLIFT\ x.\ Def\ (f\ x))$

lemma *flift1-Def* [*simp*]: $flift1\ f \cdot (Def\ x) = (f\ x)$

by (*simp add: flift1-def*)

lemma *flift2-Def* [*simp*]: $flift2\ f \cdot (Def\ x) = Def\ (f\ x)$

by (*simp add: flift2-def*)

lemma *flift1-strict* [*simp*]: $flift1\ f \cdot \perp = \perp$

by (*simp add: flift1-def*)

lemma *flift2-strict* [*simp*]: $\text{flift2 } f \cdot \perp = \perp$
by (*simp add: flift2-def*)

lemma *flift2-defined* [*simp*]: $x \neq \perp \implies (\text{flift2 } f) \cdot x \neq \perp$
by (*erule lift-definedE, simp*)

lemma *flift2-bottom-iff* [*simp*]: $(\text{flift2 } f \cdot x = \perp) = (x = \perp)$
by (*cases x, simp-all*)

lemma *FLIFT-mono*:
 $(\bigwedge x. f x \sqsubseteq g x) \implies (\text{FLIFT } x. f x) \sqsubseteq (\text{FLIFT } x. g x)$
by (*rule cfun-belowI, case-tac x, simp-all*)

lemma *cont2cont-flift1* [*simp, cont2cont*]:
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y) \rrbracket \implies \text{cont } (\lambda x. \text{FLIFT } y. f x y)$
by (*simp add: flift1-def cont2cont-LAM*)

end

15 The type of lifted booleans

theory *Tr*
imports *Lift*
begin

15.1 Type definition and constructors

type-synonym
 $tr = \text{bool lift}$

translations
 $(\text{type}) \text{ tr} \leq (\text{type}) \text{ bool lift}$

definition
 $TT :: tr$ **where**
 $TT = \text{Def True}$

definition
 $FF :: tr$ **where**
 $FF = \text{Def False}$

Exhaustion and Elimination for type *tr*

lemma *Exh-tr*: $t = \perp \vee t = TT \vee t = FF$
unfolding *FF-def TT-def* **by** (*induct t*) *auto*

lemma *trE* [*case-names bottom TT FF, cases type: tr*]:
 $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$
unfolding *FF-def TT-def* **by** (*induct p*) *auto*

lemma *tr-induct* [case-names bottom TT FF, induct type: tr]:

$\llbracket P \perp; P TT; P FF \rrbracket \implies P x$

by (cases x) simp-all

distinctness for type *tr*

lemma *dist-below-tr* [simp]:

$TT \not\sqsubseteq \perp \quad FF \not\sqsubseteq \perp \quad TT \not\sqsubseteq FF \quad FF \not\sqsubseteq TT$

unfolding *TT-def FF-def* **by** simp-all

lemma *dist-eq-tr* [simp]:

$TT \neq \perp \quad FF \neq \perp \quad TT \neq FF \quad \perp \neq TT \quad \perp \neq FF \quad FF \neq TT$

unfolding *TT-def FF-def* **by** simp-all

lemma *TT-below-iff* [simp]: $TT \sqsubseteq x \longleftrightarrow x = TT$

by (induct x) simp-all

lemma *FF-below-iff* [simp]: $FF \sqsubseteq x \longleftrightarrow x = FF$

by (induct x) simp-all

lemma *not-below-TT-iff* [simp]: $x \not\sqsubseteq TT \longleftrightarrow x = FF$

by (induct x) simp-all

lemma *not-below-FF-iff* [simp]: $x \not\sqsubseteq FF \longleftrightarrow x = TT$

by (induct x) simp-all

15.2 Case analysis

default-sort *pcpo*

definition *tr-case* :: 'a → 'a → tr → 'a **where**

tr-case = (λ t e (Def b). if b then t else e)

abbreviation

cifte-syn :: [tr, 'c, 'c] ⇒ 'c ((If (-)/ then (-)/ else (-)) [0, 0, 60] 60)

where

If b then e1 else e2 == *tr-case*·e1·e2·b

translations

Λ (XCONST TT). t == CONST *tr-case*·t·⊥

Λ (XCONST FF). t == CONST *tr-case*·⊥·t

lemma *ifte-thms* [simp]:

If ⊥ then e1 else e2 = ⊥

If FF then e1 else e2 = e2

If TT then e1 else e2 = e1

by (simp-all add: *tr-case-def TT-def FF-def*)

15.3 Boolean connectives

definition

$trand :: tr \rightarrow tr \rightarrow tr$ **where**
 $andalso-def: trand = (\Lambda x y. \text{If } x \text{ then } y \text{ else } FF)$

abbreviation

$andalso-syn :: tr \Rightarrow tr \Rightarrow tr$ (- $andalso$ - [36,35] 35) **where**
 $x \text{ andalso } y == trand.x.y$

definition

$tror :: tr \rightarrow tr \rightarrow tr$ **where**
 $orelse-def: tror = (\Lambda x y. \text{If } x \text{ then } TT \text{ else } y)$

abbreviation

$orelse-syn :: tr \Rightarrow tr \Rightarrow tr$ (- $orelse$ - [31,30] 30) **where**
 $x \text{ orelse } y == tror.x.y$

definition

$neg :: tr \rightarrow tr$ **where**
 $neg = flift2 \text{ Not}$

definition

$If2 :: [tr, 'c, 'c] \Rightarrow 'c$ **where**
 $If2 Q x y = (\text{If } Q \text{ then } x \text{ else } y)$

tactic for tr-thms with case split

lemmas $tr-defs = andalso-def \text{ orelse-def } neg-def \text{ tr-case-def } TT-def \text{ FF-def}$

lemmas about andalso, orelse, neg and if

lemma $andalso-thms [simp]:$

$(TT \text{ andalso } y) = y$
 $(FF \text{ andalso } y) = FF$
 $(\perp \text{ andalso } y) = \perp$
 $(y \text{ andalso } TT) = y$
 $(y \text{ andalso } y) = y$

apply $(unfold \text{ andalso-def}, \text{ simp-all})$

apply $(cases \text{ } y, \text{ simp-all})$

apply $(cases \text{ } y, \text{ simp-all})$

done

lemma $orelse-thms [simp]:$

$(TT \text{ orelse } y) = TT$
 $(FF \text{ orelse } y) = y$
 $(\perp \text{ orelse } y) = \perp$
 $(y \text{ orelse } FF) = y$
 $(y \text{ orelse } y) = y$

apply $(unfold \text{ orelse-def}, \text{ simp-all})$

apply $(cases \text{ } y, \text{ simp-all})$

apply $(cases \text{ } y, \text{ simp-all})$

done

lemma *neg-thms* [*simp*]:

$neg \cdot TT = FF$

$neg \cdot FF = TT$

$neg \cdot \perp = \perp$

by (*simp-all add: neg-def TT-def FF-def*)

split-tac for If via If2 because the constant has to be a constant

lemma *split-If2*:

$P (If2\ Q\ x\ y) = ((Q = \perp \longrightarrow P\ \perp) \wedge (Q = TT \longrightarrow P\ x) \wedge (Q = FF \longrightarrow P\ y))$

apply (*unfold If2-def*)

apply (*cases Q*)

apply (*simp-all*)

done

ML \langle

fun split-If-tac ctxt =

simp-tac (put-simpset HOL-basic-ss ctxt addsimps [@{thm If2-def} RS sym])

THEN' (split-tac ctxt [@{thm split-If2}])

\rangle

15.4 Rewriting of HOLCF operations to HOL functions

lemma *andalso-or*:

$t \neq \perp \implies ((t\ \text{andalso}\ s) = FF) = (t = FF \vee s = FF)$

apply (*cases t*)

apply *simp-all*

done

lemma *andalso-and*:

$t \neq \perp \implies ((t\ \text{andalso}\ s) \neq FF) = (t \neq FF \wedge s \neq FF)$

apply (*cases t*)

apply *simp-all*

done

lemma *Def-bool1* [*simp*]: $(Def\ x \neq FF) = x$

by (*simp add: FF-def*)

lemma *Def-bool2* [*simp*]: $(Def\ x = FF) = (\neg x)$

by (*simp add: FF-def*)

lemma *Def-bool3* [*simp*]: $(Def\ x = TT) = x$

by (*simp add: TT-def*)

lemma *Def-bool4* [*simp*]: $(Def\ x \neq TT) = (\neg x)$

by (*simp add: TT-def*)

lemma *If-and-if*:

(If Def P then A else B) = (if P then A else B)
apply (cases Def P)
apply (auto simp add: TT -def[symmetric] FF -def[symmetric])
done

15.5 Compactness

lemma compact- TT : compact TT
by (rule compact-chfin)

lemma compact- FF : compact FF
by (rule compact-chfin)

end

16 The type of strict sums

theory $Ssum$
imports Tr
begin

default-sort $pcpo$

16.1 Definition of strict sum type

definition

$ssum =$
 $\{p :: tr \times ('a \times 'b). p = \perp \vee$
 $(fst\ p = TT \wedge fst\ (snd\ p) \neq \perp \wedge snd\ (snd\ p) = \perp) \vee$
 $(fst\ p = FF \wedge fst\ (snd\ p) = \perp \wedge snd\ (snd\ p) \neq \perp)\}$

pcpodef ($'a, 'b$) $ssum$ ((- \oplus / -) [21, 20] 20) = $ssum :: (tr \times 'a \times 'b)$ set
unfolding $ssum$ -def **by** simp-all

instance $ssum :: (\{chfin,pcpo\}, \{chfin,pcpo\})$ $chfin$
by (rule typedef-chfin [OF type-definition- $ssum$ below- $ssum$ -def])

type-notation ($ASCII$)

$ssum$ (**infixr** ++ 10)

16.2 Definitions of constructors

definition

$sinl :: 'a \rightarrow ('a ++ 'b)$ **where**
 $sinl = (\Lambda a. Abs-ssum (seq\cdot a\cdot TT, a, \perp))$

definition

$sinr :: 'b \rightarrow ('a ++ 'b)$ **where**
 $sinr = (\Lambda b. Abs-ssum (seq\cdot b\cdot FF, \perp, b))$

lemma *sinl-ssum*: $(seq.a.TT, a, \perp) \in ssum$
by (*simp add: ssum-def seq-conv-if*)

lemma *sinr-ssum*: $(seq.b.FF, \perp, b) \in ssum$
by (*simp add: ssum-def seq-conv-if*)

lemma *Rep-ssum-sinl*: $Rep-ssum (sinl.a) = (seq.a.TT, a, \perp)$
by (*simp add: sinl-def cont-Abs-ssum Abs-ssum-inverse sinl-ssum*)

lemma *Rep-ssum-sinr*: $Rep-ssum (sinr.b) = (seq.b.FF, \perp, b)$
by (*simp add: sinr-def cont-Abs-ssum Abs-ssum-inverse sinr-ssum*)

lemmas *Rep-ssum-simps* =
Rep-ssum-inject [symmetric] below-ssum-def
prod-eq-iff below-prod-def
Rep-ssum-strict Rep-ssum-sinl Rep-ssum-sinr

16.3 Properties of *sinl* and *sinr*

Ordering

lemma *sinl-below* [*simp*]: $(sinl.x \sqsubseteq sinl.y) = (x \sqsubseteq y)$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinr-below* [*simp*]: $(sinr.x \sqsubseteq sinr.y) = (x \sqsubseteq y)$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinl-below-sinr* [*simp*]: $(sinl.x \sqsubseteq sinr.y) = (x = \perp)$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinr-below-sinl* [*simp*]: $(sinr.x \sqsubseteq sinl.y) = (x = \perp)$
by (*simp add: Rep-ssum-simps seq-conv-if*)

Equality

lemma *sinl-eq* [*simp*]: $(sinl.x = sinl.y) = (x = y)$
by (*simp add: po-eq-conv*)

lemma *sinr-eq* [*simp*]: $(sinr.x = sinr.y) = (x = y)$
by (*simp add: po-eq-conv*)

lemma *sinl-eq-sinr* [*simp*]: $(sinl.x = sinr.y) = (x = \perp \wedge y = \perp)$
by (*subst po-eq-conv, simp*)

lemma *sinr-eq-sinl* [*simp*]: $(sinr.x = sinl.y) = (x = \perp \wedge y = \perp)$
by (*subst po-eq-conv, simp*)

lemma *sinl-inject*: $sinl.x = sinl.y \implies x = y$
by (*rule sinl-eq [THEN iffD1]*)

lemma *sinr-inject*: $\text{sinr}\cdot x = \text{sinr}\cdot y \implies x = y$
by (*rule sinr-eq [THEN iffD1]*)

Strictness

lemma *sinl-strict* [*simp*]: $\text{sinl}\cdot \perp = \perp$
by (*simp add: Rep-ssum-simps*)

lemma *sinr-strict* [*simp*]: $\text{sinr}\cdot \perp = \perp$
by (*simp add: Rep-ssum-simps*)

lemma *sinl-bottom-iff* [*simp*]: $(\text{sinl}\cdot x = \perp) = (x = \perp)$
using *sinl-eq [of x \perp]* **by** *simp*

lemma *sinr-bottom-iff* [*simp*]: $(\text{sinr}\cdot x = \perp) = (x = \perp)$
using *sinr-eq [of x \perp]* **by** *simp*

lemma *sinl-defined*: $x \neq \perp \implies \text{sinl}\cdot x \neq \perp$
by *simp*

lemma *sinr-defined*: $x \neq \perp \implies \text{sinr}\cdot x \neq \perp$
by *simp*

Compactness

lemma *compact-sinl*: $\text{compact } x \implies \text{compact } (\text{sinl}\cdot x)$
by (*rule compact-ssum, simp add: Rep-ssum-sinl*)

lemma *compact-sinr*: $\text{compact } x \implies \text{compact } (\text{sinr}\cdot x)$
by (*rule compact-ssum, simp add: Rep-ssum-sinr*)

lemma *compact-sinlD*: $\text{compact } (\text{sinl}\cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-cfun2 [where f=sinl]], simp*)

lemma *compact-sinrD*: $\text{compact } (\text{sinr}\cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-cfun2 [where f=sinr]], simp*)

lemma *compact-sinl-iff* [*simp*]: $\text{compact } (\text{sinl}\cdot x) = \text{compact } x$
by (*safe elim!: compact-sinl compact-sinlD*)

lemma *compact-sinr-iff* [*simp*]: $\text{compact } (\text{sinr}\cdot x) = \text{compact } x$
by (*safe elim!: compact-sinr compact-sinrD*)

16.4 Case analysis

lemma *ssumE* [*case-names bottom sinl sinr, cases type: ssum*]:
obtains $p = \perp$
| x **where** $p = \text{sinl}\cdot x$ **and** $x \neq \perp$
| y **where** $p = \text{sinr}\cdot y$ **and** $y \neq \perp$

using *Rep-ssum* [of *p*] **by** (*auto simp add: ssum-def Rep-ssum-simps*)

lemma *ssum-induct* [*case-names bottom sinl sinr, induct type: ssum*]:

$\llbracket P \perp;$
 $\bigwedge x. x \neq \perp \implies P (\text{sinl} \cdot x);$
 $\bigwedge y. y \neq \perp \implies P (\text{sinr} \cdot y) \rrbracket \implies P x$
by (*cases x, simp-all*)

lemma *ssumE2* [*case-names sinl sinr*]:

$\llbracket \bigwedge x. p = \text{sinl} \cdot x \implies Q; \bigwedge y. p = \text{sinr} \cdot y \implies Q \rrbracket \implies Q$
by (*cases p, simp only: sinl-strict [symmetric], simp, simp*)

lemma *below-sinlD*: $p \sqsubseteq \text{sinl} \cdot x \implies \exists y. p = \text{sinl} \cdot y \wedge y \sqsubseteq x$
by (*cases p, rule-tac x= \perp in exI, simp-all*)

lemma *below-sinrD*: $p \sqsubseteq \text{sinr} \cdot x \implies \exists y. p = \text{sinr} \cdot y \wedge y \sqsubseteq x$
by (*cases p, rule-tac x= \perp in exI, simp-all*)

16.5 Case analysis combinator

definition

sscase :: $('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$ **where**
sscase = $(\Lambda f g s. (\lambda(t, x, y). \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y))$ (*Rep-ssum s*)

translations

case s of XCONST sinl $x \Rightarrow t1 \mid \text{XCONST sinr} \cdot y \Rightarrow t2 == \text{CONST sscase} \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s$
case s of (XCONST sinl :: 'a) $x \Rightarrow t1 \mid \text{XCONST sinr} \cdot y \Rightarrow t2 ==> \text{CONST sscase} \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s$

translations

$\Lambda(\text{XCONST sinl} \cdot x). t == \text{CONST sscase} \cdot (\Lambda x. t) \cdot \perp$
 $\Lambda(\text{XCONST sinr} \cdot y). t == \text{CONST sscase} \cdot \perp \cdot (\Lambda y. t)$

lemma *beta-sscase*:

$\text{sscase} \cdot f \cdot g \cdot s = (\lambda(t, x, y). \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y)$ (*Rep-ssum s*)
unfolding *sscase-def* **by** (*simp add: cont-Rep-ssum*)

lemma *sscase1* [*simp*]: $\text{sscase} \cdot f \cdot g \cdot \perp = \perp$

unfolding *beta-sscase* **by** (*simp add: Rep-ssum-strict*)

lemma *sscase2* [*simp*]: $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = f \cdot x$

unfolding *beta-sscase* **by** (*simp add: Rep-ssum-sinl*)

lemma *sscase3* [*simp*]: $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinr} \cdot y) = g \cdot y$

unfolding *beta-sscase* **by** (*simp add: Rep-ssum-sinr*)

lemma *sscase4* [*simp*]: $\text{sscase} \cdot \text{sinl} \cdot \text{sinr} \cdot z = z$

by (*cases z, simp-all*)

16.6 Strict sum preserves flatness

```
instance ssum :: (flat, flat) flat
apply (intro-classes, clarify)
apply (case-tac x, simp)
apply (case-tac y, simp-all add: flat-below-iff)
apply (case-tac y, simp-all add: flat-below-iff)
done
```

```
end
```

17 The unit domain

```
theory One
imports Lift
begin
```

```
type-synonym
  one = unit lift
```

```
translations
  (type) one <= (type) unit lift
```

```
definition ONE :: one
  where ONE == Def ()
```

Exhaustion and Elimination for type *one*

```
lemma Exh-one: t =  $\perp$   $\vee$  t = ONE
unfolding ONE-def by (induct t) simp-all
```

```
lemma oneE [case-names bottom ONE]:  $\llbracket p = \perp \implies Q; p = ONE \implies Q \rrbracket \implies Q$ 
unfolding ONE-def by (induct p) simp-all
```

```
lemma one-induct [case-names bottom ONE]:  $\llbracket P \perp; P ONE \rrbracket \implies P x$ 
by (cases x rule: oneE) simp-all
```

```
lemma dist-below-one [simp]: ONE  $\not\sqsubseteq$   $\perp$ 
unfolding ONE-def by simp
```

```
lemma below-ONE [simp]: x  $\sqsubseteq$  ONE
by (induct x rule: one-induct) simp-all
```

```
lemma ONE-below-iff [simp]: ONE  $\sqsubseteq$  x  $\longleftrightarrow$  x = ONE
by (induct x rule: one-induct) simp-all
```

```
lemma ONE-defined [simp]: ONE  $\neq$   $\perp$ 
unfolding ONE-def by simp
```

```
lemma one-neq-iffs [simp]:
```

$$\begin{aligned}
x \neq ONE &\longleftrightarrow x = \perp \\
ONE \neq x &\longleftrightarrow x = \perp \\
x \neq \perp &\longleftrightarrow x = ONE \\
\perp \neq x &\longleftrightarrow x = ONE
\end{aligned}$$

by (*induct x rule: one-induct*) *simp-all*

lemma *compact-ONE*: *compact ONE*

by (*rule compact-chfin*)

Case analysis function for type *one*

definition

one-case :: '*a*::*pcpo* → *one* → '*a* **where**
one-case = (Λ *a x. seq.x.a*)

translations

case x of XCONST ONE ⇒ *t* == *CONST one-case.t.x*
case x of XCONST ONE :: '*a* ⇒ *t* => *CONST one-case.t.x*
Λ (*XCONST ONE*). *t* == *CONST one-case.t*

lemma *one-case1* [*simp*]: (*case* ⊥ *of ONE* ⇒ *t*) = ⊥

by (*simp add: one-case-def*)

lemma *one-case2* [*simp*]: (*case ONE of ONE* ⇒ *t*) = *t*

by (*simp add: one-case-def*)

lemma *one-case3* [*simp*]: (*case x of ONE* ⇒ *ONE*) = *x*

by (*induct x rule: one-induct*) *simp-all*

end

18 Fixed point operator and admissibility

theory *Fix*

imports *Cfun*

begin

default-sort *pcpo*

18.1 Iteration

primrec *iterate* :: *nat* ⇒ ('*a*::*cpo* → '*a*) → ('*a* → '*a*) **where**

iterate 0 = (Λ *F x. x*)

| *iterate* (*Suc n*) = (Λ *F x. F.(iterate n.F.x)*)

Derive inductive properties of *iterate* from primitive recursion

lemma *iterate-0* [*simp*]: *iterate* 0.F.x = *x*

by *simp*

lemma *iterate-Suc* [*simp*]: $\text{iterate } (\text{Suc } n) \cdot F \cdot x = F \cdot (\text{iterate } n \cdot F \cdot x)$
by *simp*

declare *iterate.simps* [*simp del*]

lemma *iterate-Suc2*: $\text{iterate } (\text{Suc } n) \cdot F \cdot x = \text{iterate } n \cdot F \cdot (F \cdot x)$
by (*induct n*) *simp-all*

lemma *iterate-iterate*:
 $\text{iterate } m \cdot F \cdot (\text{iterate } n \cdot F \cdot x) = \text{iterate } (m + n) \cdot F \cdot x$
by (*induct m*) *simp-all*

The sequence of function iterations is a chain.

lemma *chain-iterate* [*simp*]: $\text{chain } (\lambda i. \text{iterate } i \cdot F \cdot \perp)$
by (*rule chainI*, *unfold iterate-Suc2*, *rule monofun-cfun-arg*, *rule minimal*)

18.2 Least fixed point operator

definition

$\text{fix} :: ('a \rightarrow 'a) \rightarrow 'a$ **where**
 $\text{fix} = (\Lambda F. \bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$

Binder syntax for *fix*

abbreviation

$\text{fix-syn} :: ('a \Rightarrow 'a) \Rightarrow 'a$ (**binder** μ 10) **where**
 $\text{fix-syn } (\lambda x. f x) \equiv \text{fix} \cdot (\Lambda x. f x)$

notation (ASCII)

fix-syn (**binder** *FIX* 10)

Properties of *fix*

direct connection between *fix* and iteration

lemma *fix-def2*: $\text{fix} \cdot F = (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$
unfolding *fix-def* **by** *simp*

lemma *iterate-below-fix*: $\text{iterate } n \cdot f \cdot \perp \sqsubseteq \text{fix} \cdot f$
unfolding *fix-def2*
using *chain-iterate* **by** (*rule is-ub-thelub*)

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

lemma *fix-eq*: $\text{fix} \cdot F = F \cdot (\text{fix} \cdot F)$
apply (*simp add: fix-def2*)
apply (*subst lub-range-shift [of - 1, symmetric]*)
apply (*rule chain-iterate*)
apply (*subst contlub-cfun-arg*)
apply (*rule chain-iterate*)
apply *simp*

done

lemma *fix-least-below*: $F \cdot x \sqsubseteq x \implies \text{fix} \cdot F \sqsubseteq x$
apply (*simp add: fix-def2*)
apply (*rule lub-below*)
apply (*rule chain-iterate*)
apply (*induct-tac i*)
apply *simp*
apply *simp*
apply (*erule rev-below-trans*)
apply (*erule monofun-cfun-arg*)
done

lemma *fix-least*: $F \cdot x = x \implies \text{fix} \cdot F \sqsubseteq x$
by (*rule fix-least-below, simp*)

lemma *fix-eqI*:
assumes *fixed*: $F \cdot x = x$ **and** *least*: $\bigwedge z. F \cdot z = z \implies x \sqsubseteq z$
shows $\text{fix} \cdot F = x$
apply (*rule below-antisym*)
apply (*rule fix-least [OF fixed]*)
apply (*rule least [OF fix-eq [symmetric]]*)
done

lemma *fix-eq2*: $f \equiv \text{fix} \cdot F \implies f = F \cdot f$
by (*simp add: fix-eq [symmetric]*)

lemma *fix-eq3*: $f \equiv \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$
by (*erule fix-eq2 [THEN cfun-fun-cong]*)

lemma *fix-eq4*: $f = \text{fix} \cdot F \implies f = F \cdot f$
apply (*erule ssubst*)
apply (*rule fix-eq*)
done

lemma *fix-eq5*: $f = \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$
by (*erule fix-eq4 [THEN cfun-fun-cong]*)

strictness of *fix*

lemma *fix-bottom-iff*: $(\text{fix} \cdot F = \perp) = (F \cdot \perp = \perp)$
apply (*rule iffI*)
apply (*erule subst*)
apply (*rule fix-eq [symmetric]*)
apply (*erule fix-least [THEN bottomI]*)
done

lemma *fix-strict*: $F \cdot \perp = \perp \implies \text{fix} \cdot F = \perp$
by (*simp add: fix-bottom-iff*)

lemma *fix-defined*: $F \cdot \perp \neq \perp \implies \text{fix} \cdot F \neq \perp$
by (*simp add: fix-bottom-iff*)

fix applied to identity and constant functions

lemma *fix-id*: $(\mu x. x) = \perp$
by (*simp add: fix-strict*)

lemma *fix-const*: $(\mu x. c) = c$
by (*subst fix-eq, simp*)

18.3 Fixed point induction

lemma *fix-ind*: $\llbracket \text{adm } P; P \perp; \bigwedge x. P x \implies P (F \cdot x) \rrbracket \implies P (\text{fix} \cdot F)$
unfolding *fix-def2*
apply (*erule admD*)
apply (*rule chain-iterate*)
apply (*rule nat-induct, simp-all*)
done

lemma *cont-fix-ind*:
 $\llbracket \text{cont } F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F x) \rrbracket \implies P (\text{fix} \cdot (\text{Abs-cfun } F))$
by (*simp add: fix-ind*)

lemma *def-fix-ind*:
 $\llbracket f \equiv \text{fix} \cdot F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F \cdot x) \rrbracket \implies P f$
by (*simp add: fix-ind*)

lemma *fix-ind2*:
assumes *adm*: *adm P*
assumes *0*: $P \perp$ **and** *1*: $P (F \cdot \perp)$
assumes *step*: $\bigwedge x. \llbracket P x; P (F \cdot x) \rrbracket \implies P (F \cdot (F \cdot x))$
shows $P (\text{fix} \cdot F)$
unfolding *fix-def2*
apply (*rule admD [OF adm chain-iterate]*)
apply (*rule nat-less-induct*)
apply (*case-tac n*)
apply (*simp add: 0*)
apply (*case-tac nat*)
apply (*simp add: 1*)
apply (*frule-tac x=nat in spec*)
apply (*simp add: step*)
done

lemma *parallel-fix-ind*:
assumes *adm*: $\text{adm } (\lambda x. P (\text{fst } x) (\text{snd } x))$
assumes *base*: $P \perp \perp$
assumes *step*: $\bigwedge x y. P x y \implies P (F \cdot x) (G \cdot y)$
shows $P (\text{fix} \cdot F) (\text{fix} \cdot G)$
proof –

```

from adm have adm': adm (case-prod P)
  unfolding split-def .
have  $\bigwedge i. P$  (iterate i.F. $\perp$ ) (iterate i.G. $\perp$ )
  by (induct-tac i, simp add: base, simp add: step)
hence  $\bigwedge i. \text{case-prod } P$  (iterate i.F. $\perp$ , iterate i.G. $\perp$ )
  by simp
hence case-prod P ( $\bigsqcup i. (\text{iterate } i.F.\perp, \text{iterate } i.G.\perp)$ )
  by – (rule admD [OF adm'], simp, assumption)
hence case-prod P ( $\bigsqcup i. \text{iterate } i.F.\perp, \bigsqcup i. \text{iterate } i.G.\perp$ )
  by (simp add: lub-Pair)
hence  $P$  ( $\bigsqcup i. \text{iterate } i.F.\perp$ ) ( $\bigsqcup i. \text{iterate } i.G.\perp$ )
  by simp
thus  $P$  (fix.F) (fix.G)
  by (simp add: fix-def2)
qed

```

```

lemma cont-parallel-fix-ind:
  assumes cont F and cont G
  assumes adm ( $\lambda x. P$  (fst x) (snd x))
  assumes  $P \perp \perp$ 
  assumes  $\bigwedge x y. P x y \implies P (F x) (G y)$ 
  shows  $P$  (fix.(Abs-cfun F)) (fix.(Abs-cfun G))
by (rule parallel-fix-ind, simp-all add: assms)

```

18.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

```

lemma fix-cprod:
  fix.(F::'a  $\times$  'b  $\rightarrow$  'a  $\times$  'b) =
    ( $\mu x. \text{fst} (F.(x, \mu y. \text{snd} (F.(x, y))))$ ),
    ( $\mu y. \text{snd} (F.(\mu x. \text{fst} (F.(x, \mu y. \text{snd} (F.(x, y))))$ ), y))
  (is fix.F = (?x, ?y))
proof (rule fix-eq1)
  have 1: fst (F.(?x, ?y)) = ?x
    by (rule trans [symmetric, OF fix-eq], simp)
  have 2: snd (F.(?x, ?y)) = ?y
    by (rule trans [symmetric, OF fix-eq], simp)
  from 1 2 show F.(?x, ?y) = (?x, ?y) by (simp add: prod-eq-iff)
next
  fix z assume F-z: F.z = z
  obtain x y where z: z = (x,y) by (rule prod.exhaust)
  from F-z have F-x: fst (F.(x, y)) = x by simp
  from F-z have F-y: snd (F.(x, y)) = y by simp
  let ?y1 =  $\mu y. \text{snd} (F.(x, y))$ 
  have ?y1  $\sqsubseteq y$  by (rule fix-least, simp add: F-y)
  hence fst (F.(x, ?y1))  $\sqsubseteq$  fst (F.(x, y))
    by (simp add: fst-monofun monofun-cfun)
  hence fst (F.(x, ?y1))  $\sqsubseteq x$  using F-x by simp

```



```

hence 1: ?x  $\sqsubseteq$  x by (simp add: fix-least-below)
hence snd (F.(?x, y))  $\sqsubseteq$  snd (F.(x, y))
  by (simp add: snd-monofun monofun-cfun)
hence snd (F.(?x, y))  $\sqsubseteq$  y using F-y by simp
hence 2: ?y  $\sqsubseteq$  y by (simp add: fix-least-below)
show (?x, ?y)  $\sqsubseteq$  z using z 1 2 by simp
qed

end

```

19 Plain HOLCF

```

theory Plain-HOLCF
imports Cfun Sfun Cprod Sprod Ssum Up Discrete Lift One Tr Fix
begin

```

Basic HOLCF concepts and types; does not include definition packages.

```

hide-const (open) Filter.principal

```

```

end

```

20 Package for defining recursive functions in HOLCF

```

theory Fixrec
imports Plain-HOLCF
keywords fixrec :: thy-decl
begin

```

20.1 Pattern-match monad

```

default-sort cpo

```

```

pcpodef 'a match = UNIV::(one ++ 'a u) set
by simp-all

```

definition

```

  fail :: 'a match where
  fail = Abs-match (sinl·ONE)

```

definition

```

  succeed :: 'a → 'a match where
  succeed = (λ x. Abs-match (sinr·(up·x)))

```

lemma matchE [case-names bottom fail succeed, cases type: match]:

```

   $\llbracket p = \perp \implies Q; p = \text{fail} \implies Q; \bigwedge x. p = \text{succeed} \cdot x \implies Q \rrbracket \implies Q$ 

```

unfolding fail-def succeed-def

apply (cases p, rename-tac r)

apply (rule-tac p=r **in** ssumE, simp add: Abs-match-strict)

apply (*rule-tac* $p=x$ **in** *oneE*, *simp*, *simp*)
apply (*rule-tac* $p=y$ **in** *upE*, *simp*, *simp add: cont-Abs-match*)
done

lemma *succeed-defined* [*simp*]: $\text{succeed}\cdot x \neq \perp$
by (*simp add: succeed-def cont-Abs-match Abs-match-bottom-iff*)

lemma *fail-defined* [*simp*]: $\text{fail} \neq \perp$
by (*simp add: fail-def Abs-match-bottom-iff*)

lemma *succeed-eg* [*simp*]: $(\text{succeed}\cdot x = \text{succeed}\cdot y) = (x = y)$
by (*simp add: succeed-def cont-Abs-match Abs-match-inject*)

lemma *succeed-neq-fail* [*simp*]:
 $\text{succeed}\cdot x \neq \text{fail fail} \neq \text{succeed}\cdot x$
by (*simp-all add: succeed-def fail-def cont-Abs-match Abs-match-inject*)

20.1.1 Run operator

definition

$\text{run} :: 'a \text{ match} \rightarrow 'a::\text{pcpo} \text{ where}$
 $\text{run} = (\Lambda m. \text{sscase}\cdot \perp \cdot (\text{fup}\cdot \text{ID}) \cdot (\text{Rep-match } m))$

rewrite rules for run

lemma *run-strict* [*simp*]: $\text{run}\cdot \perp = \perp$
unfolding *run-def*
by (*simp add: cont-Rep-match Rep-match-strict*)

lemma *run-fail* [*simp*]: $\text{run}\cdot \text{fail} = \perp$
unfolding *run-def fail-def*
by (*simp add: cont-Rep-match Abs-match-inverse*)

lemma *run-succeed* [*simp*]: $\text{run}\cdot (\text{succeed}\cdot x) = x$
unfolding *run-def succeed-def*
by (*simp add: cont-Rep-match cont-Abs-match Abs-match-inverse*)

20.1.2 Monad plus operator

definition

$\text{mplus} :: 'a \text{ match} \rightarrow 'a \text{ match} \rightarrow 'a \text{ match} \text{ where}$
 $\text{mplus} = (\Lambda m1 m2. \text{sscase}\cdot (\Lambda -. m2) \cdot (\Lambda -. m1) \cdot (\text{Rep-match } m1))$

abbreviation

$\text{mplus-syn} :: ['a \text{ match}, 'a \text{ match}] \Rightarrow 'a \text{ match} \text{ (infixr +++ 65) where}$
 $m1 \text{ +++ } m2 == \text{mplus}\cdot m1 \cdot m2$

rewrite rules for mplus

lemma *mplus-strict* [*simp*]: $\perp \text{ +++ } m = \perp$
unfolding *mplus-def*

by (*simp add: cont-Rep-match Rep-match-strict*)

lemma *mplus-fail* [*simp*]: *fail* +++ *m* = *m*

unfolding *mplus-def fail-def*

by (*simp add: cont-Rep-match Abs-match-inverse*)

lemma *mplus-succeed* [*simp*]: *succeed*·*x* +++ *m* = *succeed*·*x*

unfolding *mplus-def succeed-def*

by (*simp add: cont-Rep-match cont-Abs-match Abs-match-inverse*)

lemma *mplus-fail2* [*simp*]: *m* +++ *fail* = *m*

by (*cases m, simp-all*)

lemma *mplus-assoc*: (*x* +++ *y*) +++ *z* = *x* +++ (*y* +++ *z*)

by (*cases x, simp-all*)

20.2 Match functions for built-in types

default-sort *pcpo*

definition

match-bottom :: 'a → 'c match → 'c match

where

match-bottom = (Λ *x k. seq*·*x*·*fail*)

definition

match-Pair :: 'a::cpo × 'b::cpo → ('a → 'b → 'c match) → 'c match

where

match-Pair = (Λ *x k. csplit*·*k*·*x*)

definition

match-spair :: 'a ⊗ 'b → ('a → 'b → 'c match) → 'c match

where

match-spair = (Λ *x k. ssplit*·*k*·*x*)

definition

match-sinl :: 'a ⊕ 'b → ('a → 'c match) → 'c match

where

match-sinl = (Λ *x k. sscase*·*k*·(Λ *b. fail*)·*x*)

definition

match-sinr :: 'a ⊕ 'b → ('b → 'c match) → 'c match

where

match-sinr = (Λ *x k. sscase*·(Λ *a. fail*)·*k*·*x*)

definition

match-up :: 'a::cpo *u* → ('a → 'c match) → 'c match

where

match-up = (Λ *x k. fup*·*k*·*x*)

definition

$$\text{match-ONE} :: \text{one} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-ONE} = (\Lambda \text{ ONE } k. k)$$
definition

$$\text{match-TT} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-TT} = (\Lambda x k. \text{If } x \text{ then } k \text{ else fail})$$
definition

$$\text{match-FF} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-FF} = (\Lambda x k. \text{If } x \text{ then fail else } k)$$
lemma *match-bottom-simps* [simp]:
$$\text{match-bottom} \cdot x \cdot k = (\text{if } x = \perp \text{ then } \perp \text{ else fail})$$
by (simp add: match-bottom-def)
lemma *match-Pair-simps* [simp]:
$$\text{match-Pair} \cdot (x, y) \cdot k = k \cdot x \cdot y$$
by (simp-all add: match-Pair-def)
lemma *match-spair-simps* [simp]:
$$[x \neq \perp; y \neq \perp] \implies \text{match-spair} \cdot (:x, y) \cdot k = k \cdot x \cdot y$$

$$\text{match-spair} \cdot \perp \cdot k = \perp$$
by (simp-all add: match-spair-def)
lemma *match-sinl-simps* [simp]:
$$x \neq \perp \implies \text{match-sinl} \cdot (\text{sinl} \cdot x) \cdot k = k \cdot x$$

$$y \neq \perp \implies \text{match-sinl} \cdot (\text{sinr} \cdot y) \cdot k = \text{fail}$$

$$\text{match-sinl} \cdot \perp \cdot k = \perp$$
by (simp-all add: match-sinl-def)
lemma *match-sinr-simps* [simp]:
$$x \neq \perp \implies \text{match-sinr} \cdot (\text{sinl} \cdot x) \cdot k = \text{fail}$$

$$y \neq \perp \implies \text{match-sinr} \cdot (\text{sinr} \cdot y) \cdot k = k \cdot y$$

$$\text{match-sinr} \cdot \perp \cdot k = \perp$$
by (simp-all add: match-sinr-def)
lemma *match-up-simps* [simp]:
$$\text{match-up} \cdot (\text{up} \cdot x) \cdot k = k \cdot x$$

$$\text{match-up} \cdot \perp \cdot k = \perp$$
by (simp-all add: match-up-def)
lemma *match-ONE-simps* [simp]:
$$\text{match-ONE} \cdot \text{ONE} \cdot k = k$$

$$\text{match-ONE} \cdot \perp \cdot k = \perp$$

by (simp-all add: match-ONE-def)

lemma *match-TT-simps* [simp]:

match-TT·*TT*·*k* = *k*

match-TT·*FF*·*k* = *fail*

match-TT· \perp ·*k* = \perp

by (simp-all add: match-TT-def)

lemma *match-FF-simps* [simp]:

match-FF·*FF*·*k* = *k*

match-FF·*TT*·*k* = *fail*

match-FF· \perp ·*k* = \perp

by (simp-all add: match-FF-def)

20.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

lemma *Pair-equalI*: $\llbracket x \equiv \text{fst } p; y \equiv \text{snd } p \rrbracket \Longrightarrow (x, y) \equiv p$

by *simp*

lemma *Pair-eqD1*: $(x, y) = (x', y') \Longrightarrow x = x'$

by *simp*

lemma *Pair-eqD2*: $(x, y) = (x', y') \Longrightarrow y = y'$

by *simp*

lemma *def-cont-fix-eq*:

$\llbracket f \equiv \text{fix} \cdot (\text{Abs-cfun } F); \text{cont } F \rrbracket \Longrightarrow f = F f$

by (simp, subst fix-eq, simp)

lemma *def-cont-fix-ind*:

$\llbracket f \equiv \text{fix} \cdot (\text{Abs-cfun } F); \text{cont } F; \text{adm } P; P \perp; \bigwedge x. P x \Longrightarrow P (F x) \rrbracket \Longrightarrow P f$

by (simp add: fix-ind)

lemma for proving rewrite rules

lemma *ssubst-lhs*: $\llbracket t = s; P s = Q \rrbracket \Longrightarrow P t = Q$

by *simp*

20.4 Initializing the fixrec package

ML-file *Tools/holcf-library.ML*

ML-file *Tools/fixrec.ML*

method-setup *fixrec-simp* = \langle

Scan.succeed (SIMPLE-METHOD' o Fixrec.fixrec-simp-tac)

\rangle *pattern prover for fixrec constants*

setup \langle

```

Fixrec.add-matchers
  [ (@{const-name up}, @{const-name match-up}),
    (@{const-name sinl}, @{const-name match-sinl}),
    (@{const-name sinr}, @{const-name match-sinr}),
    (@{const-name spair}, @{const-name match-spair}),
    (@{const-name Pair}, @{const-name match-Pair}),
    (@{const-name ONE}, @{const-name match-ONE}),
    (@{const-name TT}, @{const-name match-TT}),
    (@{const-name FF}, @{const-name match-FF}),
    (@{const-name bottom}, @{const-name match-bottom}) ]
)

```

```
hide-const (open) succeed fail run
```

```
end
```

21 Continuous deflations and ep-pairs

```

theory Deflation
imports Plain-HOLCF
begin

```

```
default-sort cpo
```

21.1 Continuous deflations

```

locale deflation =
  fixes d :: 'a → 'a
  assumes idem:  $\bigwedge x. d \cdot (d \cdot x) = d \cdot x$ 
  assumes below:  $\bigwedge x. d \cdot x \sqsubseteq x$ 
begin

```

```

lemma below-ID:  $d \sqsubseteq ID$ 
by (rule cfun-belowI, simp add: below)

```

The set of fixed points is the same as the range.

```

lemma fixes-eq-range:  $\{x. d \cdot x = x\} = \text{range } (\lambda x. d \cdot x)$ 
by (auto simp add: eq-sym-conv idem)

```

```

lemma range-eq-fixes:  $\text{range } (\lambda x. d \cdot x) = \{x. d \cdot x = x\}$ 
by (auto simp add: eq-sym-conv idem)

```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```

lemma belowI:
  assumes f:  $\bigwedge x. d \cdot x = x \implies f \cdot x = x$  shows  $d \sqsubseteq f$ 
proof (rule cfun-belowI)
  fix x

```

from below have $f \cdot (d \cdot x) \sqsubseteq f \cdot x$ **by** (*rule monofun-cfun-arg*)
also from idem have $f \cdot (d \cdot x) = d \cdot x$ **by** (*rule f*)
finally show $d \cdot x \sqsubseteq f \cdot x$.
qed

lemma belowD: $\llbracket f \sqsubseteq d; f \cdot x = x \rrbracket \implies d \cdot x = x$
proof (*rule below-antisym*)
from below show $d \cdot x \sqsubseteq x$.
next
assume $f \sqsubseteq d$
hence $f \cdot x \sqsubseteq d \cdot x$ **by** (*rule monofun-cfun-fun*)
also assume $f \cdot x = x$
finally show $x \sqsubseteq d \cdot x$.
qed

end

lemma deflation-strict: *deflation* $d \implies d \cdot \perp = \perp$
by (*rule deflation.below [THEN bottomI]*)

lemma adm-deflation: *adm* $(\lambda d. \text{deflation } d)$
by (*simp add: deflation-def*)

lemma deflation-ID: *deflation* ID
by (*simp add: deflation.intro*)

lemma deflation-bottom: *deflation* \perp
by (*simp add: deflation.intro*)

lemma deflation-below-iff:
 $\llbracket \text{deflation } p; \text{deflation } q \rrbracket \implies p \sqsubseteq q \iff (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$
apply safe
apply (*simp add: deflation.belowD*)
apply (*simp add: deflation.belowI*)
done

The composition of two deflations is equal to the lesser of the two (if they are comparable).

lemma deflation-below-comp1:
assumes *deflation* f
assumes *deflation* g
shows $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$
proof (*rule below-antisym*)
interpret g : *deflation* g **by fact**
from g .*below* **show** $f \cdot (g \cdot x) \sqsubseteq f \cdot x$ **by** (*rule monofun-cfun-arg*)
next
interpret f : *deflation* f **by fact**
assume $f \sqsubseteq g$ **hence** $f \cdot x \sqsubseteq g \cdot x$ **by** (*rule monofun-cfun-fun*)
hence $f \cdot (f \cdot x) \sqsubseteq f \cdot (g \cdot x)$ **by** (*rule monofun-cfun-arg*)

also have $f \cdot (f \cdot x) = f \cdot x$ by (rule $f.idem$)
 finally show $f \cdot x \sqsubseteq f \cdot (g \cdot x)$.
 qed

lemma *deflation-below-comp2*:
 $\llbracket \text{deflation } f; \text{ deflation } g; f \sqsubseteq g \rrbracket \implies g \cdot (f \cdot x) = f \cdot x$
 by (simp only: *deflation.belowD deflation.idem*)

21.2 Deflations with finite range

lemma *finite-range-imp-finite-fixes*:
 $\text{finite } (\text{range } f) \implies \text{finite } \{x. f \cdot x = x\}$
 proof –
 have $\{x. f \cdot x = x\} \subseteq \text{range } f$
 by (clarify, erule *subst*, rule *rangeI*)
 moreover assume $\text{finite } (\text{range } f)$
 ultimately show $\text{finite } \{x. f \cdot x = x\}$
 by (rule *finite-subset*)
 qed

locale *finite-deflation* = *deflation* +
 assumes *finite-fixes*: $\text{finite } \{x. d \cdot x = x\}$
 begin

lemma *finite-range*: $\text{finite } (\text{range } (\lambda x. d \cdot x))$
 by (simp add: *range-eq-fixes finite-fixes*)

lemma *finite-image*: $\text{finite } ((\lambda x. d \cdot x) \text{ ` } A)$
 by (rule *finite-subset [OF image-mono [OF subset-UNIV] finite-range]*)

lemma *compact*: $\text{compact } (d \cdot x)$
 proof (rule *compactI2*)
 fix $Y :: \text{nat} \Rightarrow 'a$
 assume $Y: \text{chain } Y$
 have $\text{finite-chain } (\lambda i. d \cdot (Y i))$
 proof (rule *finite-range-imp-finch*)
 show $\text{chain } (\lambda i. d \cdot (Y i))$
 using Y by *simp*
 have $\text{range } (\lambda i. d \cdot (Y i)) \subseteq \text{range } (\lambda x. d \cdot x)$
 by *clarsimp*
 thus $\text{finite } (\text{range } (\lambda i. d \cdot (Y i)))$
 using *finite-range* by (rule *finite-subset*)
 qed
 hence $\exists j. (\bigsqcup i. d \cdot (Y i)) = d \cdot (Y j)$
 by (simp add: *finite-chain-def maxinch-is-the-lub Y*)
 then obtain j where $j: (\bigsqcup i. d \cdot (Y i)) = d \cdot (Y j)$..

assume $d \cdot x \sqsubseteq (\bigsqcup i. Y i)$
 hence $d \cdot (d \cdot x) \sqsubseteq d \cdot (\bigsqcup i. Y i)$

by (rule monofun-cfun-arg)
 hence $d \cdot x \sqsubseteq (\bigsqcup i. d \cdot (Y i))$
 by (simp add: contlub-cfun-arg Y idem)
 hence $d \cdot x \sqsubseteq d \cdot (Y j)$
 using j by simp
 hence $d \cdot x \sqsubseteq Y j$
 using below by (rule below-trans)
 thus $\exists j. d \cdot x \sqsubseteq Y j$..
 qed

end

lemma finite-deflation-intro:
 deflation $d \implies \text{finite } \{x. d \cdot x = x\} \implies \text{finite-deflation } d$
 by (intro finite-deflation.intro finite-deflation-axioms.intro)

lemma finite-deflation-imp-deflation:
 finite-deflation $d \implies \text{deflation } d$
 unfolding finite-deflation-def by simp

lemma finite-deflation-bottom: finite-deflation \perp
 by standard simp-all

21.3 Continuous embedding-projection pairs

locale ep-pair =
 fixes $e :: 'a \rightarrow 'b$ and $p :: 'b \rightarrow 'a$
 assumes $e\text{-inverse}$ [simp]: $\bigwedge x. p \cdot (e \cdot x) = x$
 and $e\text{-p-below}$: $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$
 begin

lemma $e\text{-below-iff}$ [simp]: $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$

proof

assume $e \cdot x \sqsubseteq e \cdot y$

hence $p \cdot (e \cdot x) \sqsubseteq p \cdot (e \cdot y)$ by (rule monofun-cfun-arg)

thus $x \sqsubseteq y$ by simp

next

assume $x \sqsubseteq y$

thus $e \cdot x \sqsubseteq e \cdot y$ by (rule monofun-cfun-arg)

qed

lemma $e\text{-eq-iff}$ [simp]: $e \cdot x = e \cdot y \longleftrightarrow x = y$
 unfolding $po\text{-eq-conv}$ $e\text{-below-iff}$..

lemma $p\text{-eq-iff}$:

$\llbracket e \cdot (p \cdot x) = x; e \cdot (p \cdot y) = y \rrbracket \implies p \cdot x = p \cdot y \longleftrightarrow x = y$

by (safe, erule subst, erule subst, simp)

lemma $p\text{-inverse}$: $(\exists x. y = e \cdot x) = (e \cdot (p \cdot y) = y)$

by (*auto*, *rule exI*, *erule sym*)

lemma *e-below-iff-below-p*: $e \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq p \cdot y$

proof

assume $e \cdot x \sqsubseteq y$

then have $p \cdot (e \cdot x) \sqsubseteq p \cdot y$ **by** (*rule monofun-cfun-arg*)

then show $x \sqsubseteq p \cdot y$ **by** *simp*

next

assume $x \sqsubseteq p \cdot y$

then have $e \cdot x \sqsubseteq e \cdot (p \cdot y)$ **by** (*rule monofun-cfun-arg*)

then show $e \cdot x \sqsubseteq y$ **using** *e-p-below* **by** (*rule below-trans*)

qed

lemma *compact-e-rev*: $\text{compact } (e \cdot x) \implies \text{compact } x$

proof –

assume $\text{compact } (e \cdot x)$

hence $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq y)$ **by** (*rule compactD*)

hence $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq e \cdot y)$ **by** (*rule adm-subst [OF cont-Rep-cfun2]*)

hence $\text{adm } (\lambda y. x \not\sqsubseteq y)$ **by** *simp*

thus $\text{compact } x$ **by** (*rule compactI*)

qed

lemma *compact-e*: $\text{compact } x \implies \text{compact } (e \cdot x)$

proof –

assume $\text{compact } x$

hence $\text{adm } (\lambda y. x \not\sqsubseteq y)$ **by** (*rule compactD*)

hence $\text{adm } (\lambda y. x \not\sqsubseteq p \cdot y)$ **by** (*rule adm-subst [OF cont-Rep-cfun2]*)

hence $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq y)$ **by** (*simp add: e-below-iff-below-p*)

thus $\text{compact } (e \cdot x)$ **by** (*rule compactI*)

qed

lemma *compact-e-iff*: $\text{compact } (e \cdot x) \longleftrightarrow \text{compact } x$

by (*rule iffI [OF compact-e-rev compact-e]*)

Deflations from ep-pairs

lemma *deflation-e-p*: $\text{deflation } (e \text{ oo } p)$

by (*simp add: deflation.intro e-p-below*)

lemma *deflation-e-d-p*:

assumes $\text{deflation } d$

shows $\text{deflation } (e \text{ oo } d \text{ oo } p)$

proof

interpret $\text{deflation } d$ **by** *fact*

fix $x :: 'b$

show $(e \text{ oo } d \text{ oo } p) \cdot ((e \text{ oo } d \text{ oo } p) \cdot x) = (e \text{ oo } d \text{ oo } p) \cdot x$

by (*simp add: idem*)

show $(e \text{ oo } d \text{ oo } p) \cdot x \sqsubseteq x$

by (*simp add: e-below-iff-below-p below*)

qed

lemma *finite-deflation-e-d-p*:
assumes *finite-deflation d*
shows *finite-deflation (e oo d oo p)*
proof
interpret *finite-deflation d* **by fact**
fix $x :: 'b$
show $(e \text{ oo } d \text{ oo } p) \cdot ((e \text{ oo } d \text{ oo } p) \cdot x) = (e \text{ oo } d \text{ oo } p) \cdot x$
by (*simp add: idem*)
show $(e \text{ oo } d \text{ oo } p) \cdot x \sqsubseteq x$
by (*simp add: e-below-iff-below-p below*)
have *finite* $((\lambda x. e \cdot x) \text{ ' } (\lambda x. d \cdot x) \text{ ' } \text{range } (\lambda x. p \cdot x))$
by (*simp add: finite-image*)
hence *finite* $(\text{range } (\lambda x. (e \text{ oo } d \text{ oo } p) \cdot x))$
by (*simp add: image-image*)
thus *finite* $\{x. (e \text{ oo } d \text{ oo } p) \cdot x = x\}$
by (*rule finite-range-imp-finite-fixes*)
qed

lemma *deflation-p-d-e*:
assumes *deflation d*
assumes $d: \bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$
shows *deflation (p oo d oo e)*
proof –
interpret $d: \text{deflation } d$ **by fact**
{
fix x
have $d \cdot (e \cdot x) \sqsubseteq e \cdot x$
by (*rule d.below*)
hence $p \cdot (d \cdot (e \cdot x)) \sqsubseteq p \cdot (e \cdot x)$
by (*rule monofun-cfun-arg*)
hence $(p \text{ oo } d \text{ oo } e) \cdot x \sqsubseteq x$
by *simp*
}
note *p-d-e-below = this*
show *?thesis*
proof
fix x
show $(p \text{ oo } d \text{ oo } e) \cdot x \sqsubseteq x$
by (*rule p-d-e-below*)
next
fix x
show $(p \text{ oo } d \text{ oo } e) \cdot ((p \text{ oo } d \text{ oo } e) \cdot x) = (p \text{ oo } d \text{ oo } e) \cdot x$
proof (*rule below-antisym*)
show $(p \text{ oo } d \text{ oo } e) \cdot ((p \text{ oo } d \text{ oo } e) \cdot x) \sqsubseteq (p \text{ oo } d \text{ oo } e) \cdot x$
by (*rule p-d-e-below*)
have $p \cdot (d \cdot (d \cdot (d \cdot (e \cdot x)))) \sqsubseteq p \cdot (d \cdot (e \cdot (p \cdot (d \cdot (e \cdot x))))))$
by (*intro monofun-cfun-arg d*)
hence $p \cdot (d \cdot (e \cdot x)) \sqsubseteq p \cdot (d \cdot (e \cdot (p \cdot (d \cdot (e \cdot x))))))$

```

    by (simp only: d.idem)
  thus (p oo d oo e).x  $\sqsubseteq$  (p oo d oo e).((p oo d oo e).x)
    by simp
qed
qed
qed

```

lemma *finite-deflation-p-d-e*:

```

  assumes finite-deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows finite-deflation (p oo d oo e)
proof -
  interpret d: finite-deflation d by fact
  show ?thesis
proof (rule finite-deflation-intro)
  have deflation d ..
  thus deflation (p oo d oo e)
    using d by (rule deflation-p-d-e)
next
  have finite (( $\lambda x. d \cdot x$ ) ‘ range ( $\lambda x. e \cdot x$ ))
    by (rule d.finite-image)
  hence finite (( $\lambda x. p \cdot x$ ) ‘ ( $\lambda x. d \cdot x$ ) ‘ range ( $\lambda x. e \cdot x$ ))
    by (rule finite-imageI)
  hence finite (range ( $\lambda x. (p \text{ oo } d \text{ oo } e) \cdot x$ ))
    by (simp add: image-image)
  thus finite {x. (p oo d oo e).x = x}
    by (rule finite-range-imp-finite-fixes)
qed
qed
end

```

21.4 Uniqueness of ep-pairs

lemma *ep-pair-unique-e-lemma*:

```

  assumes 1: ep-pair e1 p and 2: ep-pair e2 p
  shows e1  $\sqsubseteq$  e2
proof (rule cfun-belowI)
  fix x
  have e1.(p.(e2.x))  $\sqsubseteq$  e2.x
    by (rule ep-pair.e-p-below [OF 1])
  thus e1.x  $\sqsubseteq$  e2.x
    by (simp only: ep-pair.e-inverse [OF 2])
qed

```

lemma *ep-pair-unique-e*:

```

  [[ep-pair e1 p; ep-pair e2 p]]  $\implies$  e1 = e2
  by (fast intro: below-antisym elim: ep-pair-unique-e-lemma)

```

lemma *ep-pair-unique-p-lemma*:
assumes 1: *ep-pair e p1* **and** 2: *ep-pair e p2*
shows $p1 \sqsubseteq p2$
proof (*rule cfun-belowI*)
fix x
have $e.(p1.x) \sqsubseteq x$
by (*rule ep-pair.e-p-below [OF 1]*)
hence $p2.(e.(p1.x)) \sqsubseteq p2.x$
by (*rule monofun-cfun-arg*)
thus $p1.x \sqsubseteq p2.x$
by (*simp only: ep-pair.e-inverse [OF 2]*)
qed

lemma *ep-pair-unique-p*:
 $\llbracket ep\text{-pair } e\ p1; ep\text{-pair } e\ p2 \rrbracket \implies p1 = p2$
by (*fast intro: below-antisym elim: ep-pair-unique-p-lemma*)

21.5 Composing ep-pairs

lemma *ep-pair-ID-ID*: *ep-pair ID ID*
by *standard simp-all*

lemma *ep-pair-comp*:
assumes *ep-pair e1 p1* **and** *ep-pair e2 p2*
shows *ep-pair (e2 oo e1) (p1 oo p2)*
proof
interpret $ep1$: *ep-pair e1 p1* **by fact**
interpret $ep2$: *ep-pair e2 p2* **by fact**
fix $x\ y$
show $(p1\ oo\ p2).(e2\ oo\ e1).x = x$
by *simp*
have $e1.(p1.(p2.y)) \sqsubseteq p2.y$
by (*rule ep1.e-p-below*)
hence $e2.(e1.(p1.(p2.y))) \sqsubseteq e2.(p2.y)$
by (*rule monofun-cfun-arg*)
also have $e2.(p2.y) \sqsubseteq y$
by (*rule ep2.e-p-below*)
finally show $(e2\ oo\ e1).(p1\ oo\ p2).y \sqsubseteq y$
by *simp*
qed

locale *pcpo-ep-pair* = *ep-pair e p*
for $e :: 'a::pcpo \rightarrow 'b::pcpo$
and $p :: 'b::pcpo \rightarrow 'a::pcpo$
begin

lemma *e-strict [simp]*: $e.\perp = \perp$
proof –
have $\perp \sqsubseteq p.\perp$ **by** (*rule minimal*)

hence $e.\perp \sqsubseteq e.(p.\perp)$ by (rule *monofun-cfun-arg*)
 also have $e.(p.\perp) \sqsubseteq \perp$ by (rule *e-p-below*)
 finally show $e.\perp = \perp$ by *simp*
 qed

lemma *e-bottom-iff* [*simp*]: $e.x = \perp \longleftrightarrow x = \perp$
 by (rule *e-eq-iff* [where $y=\perp$, unfolded *e-strict*])

lemma *e-defined*: $x \neq \perp \implies e.x \neq \perp$
 by *simp*

lemma *p-strict* [*simp*]: $p.\perp = \perp$
 by (rule *e-inverse* [where $x=\perp$, unfolded *e-strict*])

lemmas *stricts = e-strict p-strict*

end

end

22 Map functions for various types

theory *Map-Functions*
imports *Deflation*
begin

22.1 Map operator for continuous function space

default-sort *cpo*

definition

$cfun\text{-}map :: ('b \rightarrow 'a) \rightarrow ('c \rightarrow 'd) \rightarrow ('a \rightarrow 'c) \rightarrow ('b \rightarrow 'd)$

where

$cfun\text{-}map = (\Lambda a b f x. b.(f.(a.x)))$

lemma *cfun-map-beta* [*simp*]: $cfun\text{-}map.a.b.f.x = b.(f.(a.x))$
unfolding *cfun-map-def* by *simp*

lemma *cfun-map-ID*: $cfun\text{-}map.ID.ID = ID$
unfolding *cfun-eq-iff* by *simp*

lemma *cfun-map-map*:

$cfun\text{-}map.f1.g1.(cfun\text{-}map.f2.g2.p) =$
 $cfun\text{-}map.(\Lambda x. f2.(f1.x)).(\Lambda x. g1.(g2.x)).p$

by (rule *cfun-eqI*) *simp*

lemma *ep-pair-cfun-map*:

assumes *ep-pair e1 p1* **and** *ep-pair e2 p2*
shows *ep-pair (cfun-map.p1.e2) (cfun-map.e1.p2)*

proof

interpret $e1p1$: *ep-pair* $e1$ $p1$ **by fact**
interpret $e2p2$: *ep-pair* $e2$ $p2$ **by fact**
fix f **show** $cfun\text{-}map \cdot e1 \cdot p2 \cdot (cfun\text{-}map \cdot p1 \cdot e2 \cdot f) = f$
 by (*simp add: cfun-eq-iff*)
fix g **show** $cfun\text{-}map \cdot p1 \cdot e2 \cdot (cfun\text{-}map \cdot e1 \cdot p2 \cdot g) \sqsubseteq g$
apply (*rule cfun-belowI, simp*)
apply (*rule below-trans [OF e2p2.e-p-below]*)
apply (*rule monofun-cfun-arg*)
apply (*rule e1p1.e-p-below*)
done

qed

lemma *deflation-cfun-map*:

assumes *deflation* $d1$ **and** *deflation* $d2$
shows *deflation* ($cfun\text{-}map \cdot d1 \cdot d2$)

proof

interpret $d1$: *deflation* $d1$ **by fact**
interpret $d2$: *deflation* $d2$ **by fact**
fix f
show $cfun\text{-}map \cdot d1 \cdot d2 \cdot (cfun\text{-}map \cdot d1 \cdot d2 \cdot f) = cfun\text{-}map \cdot d1 \cdot d2 \cdot f$
 by (*simp add: cfun-eq-iff d1.idem d2.idem*)
show $cfun\text{-}map \cdot d1 \cdot d2 \cdot f \sqsubseteq f$
apply (*rule cfun-belowI, simp*)
apply (*rule below-trans [OF d2.below]*)
apply (*rule monofun-cfun-arg*)
apply (*rule d1.below*)
done

qed

lemma *finite-range-cfun-map*:

assumes a : *finite* ($range (\lambda x. a \cdot x)$)
assumes b : *finite* ($range (\lambda y. b \cdot y)$)
shows *finite* ($range (\lambda f. cfun\text{-}map \cdot a \cdot b \cdot f)$) (**is** *finite* ($range ?h$))

proof (*rule finite-imageD*)

let $?f = \lambda g. range (\lambda x. (a \cdot x, g \cdot x))$

show *finite* ($?f \text{ ' } range ?h$)

proof (*rule finite-subset*)

let $?B = Pow (range (\lambda x. a \cdot x) \times range (\lambda y. b \cdot y))$

show $?f \text{ ' } range ?h \subseteq ?B$

by *clarsimp*

show *finite* $?B$

by (*simp add: a b*)

qed

show *inj-on* $?f (range ?h)$

proof (*rule inj-onI, rule cfun-eqI, clarsimp*)

fix x f g

assume $range (\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))) = range (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$

hence $range (\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))) \subseteq range (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$

by (rule equalityD1)
 hence $(a \cdot x, b \cdot (f \cdot (a \cdot x))) \in \text{range } (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$
 by (simp add: subset-eq)
 then obtain y where $(a \cdot x, b \cdot (f \cdot (a \cdot x))) = (a \cdot y, b \cdot (g \cdot (a \cdot y)))$
 by (rule rangeE)
 thus $b \cdot (f \cdot (a \cdot x)) = b \cdot (g \cdot (a \cdot x))$
 by clarsimp
 qed
 qed

lemma *finite-deflation-cfun-map*:
 assumes *finite-deflation d1* and *finite-deflation d2*
 shows *finite-deflation (cfun-map.d1.d2)*
proof (rule *finite-deflation-intro*)
 interpret *d1*: *finite-deflation d1* by fact
 interpret *d2*: *finite-deflation d2* by fact
 have *deflation d1* and *deflation d2* by fact+
 thus *deflation (cfun-map.d1.d2)* by (rule *deflation-cfun-map*)
 have *finite (range ($\lambda f. \text{cfun-map.d1.d2.f}$))*
 using *d1.finite-range d2.finite-range*
 by (rule *finite-range-cfun-map*)
 thus *finite {f. cfun-map.d1.d2.f = f}*
 by (rule *finite-range-imp-finite-fixes*)
 qed

Finite deflations are compact elements of the function space

lemma *finite-deflation-imp-compact*: *finite-deflation d* \implies *compact d*
apply (frule *finite-deflation-imp-deflation*)
apply (subgoal-tac *compact (cfun-map.d.d.d)*)
apply (simp add: *cfun-map-def deflation.idem eta-cfun*)
apply (rule *finite-deflation.compact*)
apply (simp only: *finite-deflation-cfun-map*)
done

22.2 Map operator for product type

definition

prod-map :: $('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \times 'c \rightarrow 'b \times 'd$

where

$\text{prod-map} = (\Lambda f g p. (f \cdot (\text{fst } p), g \cdot (\text{snd } p)))$

lemma *prod-map-Pair* [simp]: $\text{prod-map.f.g}(x, y) = (f \cdot x, g \cdot y)$
unfolding *prod-map-def* by simp

lemma *prod-map-ID*: $\text{prod-map.ID.ID} = \text{ID}$
unfolding *cfun-eq-iff* by auto

lemma *prod-map-map*:

$\text{prod-map.f1.g1} \cdot (\text{prod-map.f2.g2} \cdot p) =$

$prod\text{-}map \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$
by (*induct p*) *simp*

lemma *ep-pair-prod-map*:

assumes *ep-pair e1 p1* **and** *ep-pair e2 p2*
shows *ep-pair (prod-map.e1.e2) (prod-map.p1.p2)*

proof

interpret *e1p1*: *ep-pair e1 p1* **by fact**
interpret *e2p2*: *ep-pair e2 p2* **by fact**
fix *x* **show** *prod-map.p1.p2.(prod-map.e1.e2.x) = x*
by (*induct x*) *simp*
fix *y* **show** *prod-map.e1.e2.(prod-map.p1.p2.y) \sqsubseteq y*
by (*induct y*) (*simp add: e1p1.e-p-below e2p2.e-p-below*)

qed

lemma *deflation-prod-map*:

assumes *deflation d1* **and** *deflation d2*
shows *deflation (prod-map.d1.d2)*

proof

interpret *d1*: *deflation d1* **by fact**
interpret *d2*: *deflation d2* **by fact**
fix *x*
show *prod-map.d1.d2.(prod-map.d1.d2.x) = prod-map.d1.d2.x*
by (*induct x*) (*simp add: d1.idem d2.idem*)
show *prod-map.d1.d2.x \sqsubseteq x*
by (*induct x*) (*simp add: d1.below d2.below*)

qed

lemma *finite-deflation-prod-map*:

assumes *finite-deflation d1* **and** *finite-deflation d2*
shows *finite-deflation (prod-map.d1.d2)*

proof (*rule finite-deflation-intro*)

interpret *d1*: *finite-deflation d1* **by fact**
interpret *d2*: *finite-deflation d2* **by fact**
have *deflation d1* **and** *deflation d2* **by fact+**
thus *deflation (prod-map.d1.d2)* **by** (*rule deflation-prod-map*)
have $\{p. prod\text{-}map \cdot d1 \cdot d2 \cdot p = p\} \subseteq \{x. d1 \cdot x = x\} \times \{y. d2 \cdot y = y\}$
by *clarsimp*
thus *finite* $\{p. prod\text{-}map \cdot d1 \cdot d2 \cdot p = p\}$
by (*rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes*)

qed

22.3 Map function for lifted cpo

definition

$u\text{-}map :: ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$

where

$u\text{-}map = (\Lambda f. fup \cdot (up \circ f))$

lemma *u-map-strict* [*simp*]: $u\text{-map}\cdot f\cdot \perp = \perp$
unfolding *u-map-def* **by** *simp*

lemma *u-map-up* [*simp*]: $u\text{-map}\cdot f\cdot (up\cdot x) = up\cdot (f\cdot x)$
unfolding *u-map-def* **by** *simp*

lemma *u-map-ID*: $u\text{-map}\cdot ID = ID$
unfolding *u-map-def* **by** (*simp add: cfun-eq-iff eta-cfun*)

lemma *u-map-map*: $u\text{-map}\cdot f\cdot (u\text{-map}\cdot g\cdot p) = u\text{-map}\cdot (\Lambda x. f\cdot (g\cdot x))\cdot p$
by (*induct p*) *simp-all*

lemma *u-map-oo*: $u\text{-map}\cdot (f\text{ oo } g) = u\text{-map}\cdot f\text{ oo } u\text{-map}\cdot g$
by (*simp add: ccomp1 u-map-map eta-cfun*)

lemma *ep-pair-u-map*: $ep\text{-pair } e\ p \implies ep\text{-pair } (u\text{-map}\cdot e)\ (u\text{-map}\cdot p)$
apply *standard*
apply (*case-tac x, simp, simp add: ep-pair.e-inverse*)
apply (*case-tac y, simp, simp add: ep-pair.e-p-below*)
done

lemma *deflation-u-map*: $deflation\ d \implies deflation\ (u\text{-map}\cdot d)$
apply *standard*
apply (*case-tac x, simp, simp add: deflation.idem*)
apply (*case-tac x, simp, simp add: deflation.below*)
done

lemma *finite-deflation-u-map*:
assumes *finite-deflation d* **shows** *finite-deflation (u-map·d)*
proof (*rule finite-deflation-intro*)
interpret *d: finite-deflation d* **by** *fact*
have *deflation d* **by** *fact*
thus *deflation (u-map·d)* **by** (*rule deflation-u-map*)
have $\{x. u\text{-map}\cdot d\cdot x = x\} \subseteq insert\ \perp\ ((\lambda x. up\cdot x)\ ` \{x. d\cdot x = x\})$
by (*rule subsetI, case-tac x, simp-all*)
thus *finite {x. u-map·d·x = x}*
by (*rule finite-subset, simp add: d.finite-fixes*)
qed

22.4 Map function for strict products

default-sort *pcpo*

definition

sprod-map :: $('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \otimes 'c \rightarrow 'b \otimes 'd$

where

sprod-map = $(\Lambda f\ g. ssplit\cdot (\Lambda x\ y. (:f\cdot x, g\cdot y)))$

lemma *sprod-map-strict* [*simp*]: $sprod\text{-map}\cdot a\cdot b\cdot \perp = \perp$

unfolding *sprod-map-def* **by** *simp*

lemma *sprod-map-spair* [*simp*]:

$x \neq \perp \implies y \neq \perp \implies \text{sprod-map}.f.g.(x, y) = (f.x, g.y)$

by (*simp add: sprod-map-def*)

lemma *sprod-map-spair'*:

$f.\perp = \perp \implies g.\perp = \perp \implies \text{sprod-map}.f.g.(x, y) = (f.x, g.y)$

by (*cases x = $\perp \vee y = \perp$ auto*)

lemma *sprod-map-ID*: *sprod-map.ID.ID = ID*

unfolding *sprod-map-def* **by** (*simp add: cfun-eq-iff eta-cfun*)

lemma *sprod-map-map*:

$\llbracket f1.\perp = \perp; g1.\perp = \perp \rrbracket \implies$

$\text{sprod-map}.f1.g1.(\text{sprod-map}.f2.g2.p) =$

$\text{sprod-map}.(\Lambda x. f1.(f2.x)).(\Lambda x. g1.(g2.x)).p$

apply (*induct p, simp*)

apply (*case-tac f2.x = \perp , simp*)

apply (*case-tac g2.y = \perp , simp*)

apply *simp*

done

lemma *ep-pair-sprod-map*:

assumes *ep-pair e1 p1 and ep-pair e2 p2*

shows *ep-pair (sprod-map.e1.e2) (sprod-map.p1.p2)*

proof

interpret *e1p1: pcpo-ep-pair e1 p1 unfolding pcpo-ep-pair-def by fact*

interpret *e2p2: pcpo-ep-pair e2 p2 unfolding pcpo-ep-pair-def by fact*

fix *x show sprod-map.p1.p2.(sprod-map.e1.e2.x) = x*

by (*induct x simp-all*)

fix *y show sprod-map.e1.e2.(sprod-map.p1.p2.y) \sqsubseteq y*

apply (*induct y, simp*)

apply (*case-tac p1.x = \perp , simp, case-tac p2.y = \perp , simp*)

apply (*simp add: monofun-cfun e1p1.e-p-below e2p2.e-p-below*)

done

qed

lemma *deflation-sprod-map*:

assumes *deflation d1 and deflation d2*

shows *deflation (sprod-map.d1.d2)*

proof

interpret *d1: deflation d1 by fact*

interpret *d2: deflation d2 by fact*

fix *x*

show *sprod-map.d1.d2.(sprod-map.d1.d2.x) = sprod-map.d1.d2.x*

apply (*induct x, simp*)

apply (*case-tac d1.x = \perp , simp, case-tac d2.y = \perp , simp*)

apply (*simp add: d1.idem d2.idem*)

```

done
show  $\text{sprod-map} \cdot d1 \cdot d2 \cdot x \sqsubseteq x$ 
  apply (induct x, simp)
  apply (simp add: monofun-cfun d1.below d2.below)
done
qed

```

```

lemma finite-deflation-sprod-map:
  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (sprod-map · d1 · d2)
proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  have deflation d1 and deflation d2 by fact+
  thus deflation (sprod-map · d1 · d2) by (rule deflation-sprod-map)
  have {x. sprod-map · d1 · d2 · x = x} ⊆ insert ⊥
    ((λ(x, y). (:x, y:)) ‘ ({x. d1 · x = x} × {y. d2 · y = y}))
  by (rule subsetI, case-tac x, auto simp add: spair-eq-iff)
  thus finite {x. sprod-map · d1 · d2 · x = x}
  by (rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes)
qed

```

22.5 Map function for strict sums

definition

$\text{ssum-map} :: ('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \oplus 'c \rightarrow 'b \oplus 'd$

where

$\text{ssum-map} = (\Lambda f g. \text{sscase} \cdot (\text{sinl} \text{ oo } f) \cdot (\text{sinr} \text{ oo } g))$

```

lemma ssum-map-strict [simp]:  $\text{ssum-map} \cdot f \cdot g \cdot \perp = \perp$ 
unfolding ssum-map-def by simp

```

```

lemma ssum-map-sinl [simp]:  $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$ 
unfolding ssum-map-def by simp

```

```

lemma ssum-map-sinr [simp]:  $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$ 
unfolding ssum-map-def by simp

```

```

lemma ssum-map-sinl':  $f \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$ 
by (cases x = ⊥) simp-all

```

```

lemma ssum-map-sinr':  $g \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$ 
by (cases x = ⊥) simp-all

```

```

lemma ssum-map-ID:  $\text{ssum-map} \cdot \text{ID} \cdot \text{ID} = \text{ID}$ 
unfolding ssum-map-def by (simp add: cfun-eq-iff eta-cfun)

```

```

lemma ssum-map-map:
   $\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \implies$ 

```

```

      ssum-map·f1·g1·(ssum-map·f2·g2·p) =
      ssum-map·(λ x. f1·(f2·x))·(λ x. g1·(g2·x))·p
apply (induct p, simp)
apply (case-tac f2·x = ⊥, simp, simp)
apply (case-tac g2·y = ⊥, simp, simp)
done

```

lemma *ep-pair-ssum-map*:

```

assumes ep-pair e1 p1 and ep-pair e2 p2
shows ep-pair (ssum-map·e1·e2) (ssum-map·p1·p2)
proof
interpret e1p1: pcpo-ep-pair e1 p1 unfolding pcpo-ep-pair-def by fact
interpret e2p2: pcpo-ep-pair e2 p2 unfolding pcpo-ep-pair-def by fact
fix x show ssum-map·p1·p2·(ssum-map·e1·e2·x) = x
  by (induct x) simp-all
fix y show ssum-map·e1·e2·(ssum-map·p1·p2·y) ⊆ y
  apply (induct y, simp)
  apply (case-tac p1·x = ⊥, simp, simp add: e1p1.e-p-below)
  apply (case-tac p2·y = ⊥, simp, simp add: e2p2.e-p-below)
  done
qed

```

lemma *deflation-ssum-map*:

```

assumes deflation d1 and deflation d2
shows deflation (ssum-map·d1·d2)
proof
interpret d1: deflation d1 by fact
interpret d2: deflation d2 by fact
fix x
show ssum-map·d1·d2·(ssum-map·d1·d2·x) = ssum-map·d1·d2·x
  apply (induct x, simp)
  apply (case-tac d1·x = ⊥, simp, simp add: d1.idem)
  apply (case-tac d2·y = ⊥, simp, simp add: d2.idem)
  done
show ssum-map·d1·d2·x ⊆ x
  apply (induct x, simp)
  apply (case-tac d1·x = ⊥, simp, simp add: d1.below)
  apply (case-tac d2·y = ⊥, simp, simp add: d2.below)
  done
qed

```

lemma *finite-deflation-ssum-map*:

```

assumes finite-deflation d1 and finite-deflation d2
shows finite-deflation (ssum-map·d1·d2)
proof (rule finite-deflation-intro)
interpret d1: finite-deflation d1 by fact
interpret d2: finite-deflation d2 by fact
have deflation d1 and deflation d2 by fact+
thus deflation (ssum-map·d1·d2) by (rule deflation-ssum-map)

```

```

have {x. ssum-map·d1·d2·x = x} ⊆
  (λx. sinl·x) ‘ {x. d1·x = x} ∪
  (λx. sinr·x) ‘ {x. d2·x = x} ∪ {⊥}
by (rule subsetI, case-tac x, simp-all)
thus finite {x. ssum-map·d1·d2·x = x}
by (rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes)
qed

```

22.6 Map operator for strict function space

definition

```
sfun-map :: ('b → 'a) → ('c → 'd) → ('a →! 'c) → ('b →! 'd)
```

where

```
sfun-map = (Λ a b. sfun-abs oo cfun-map·a·b oo sfun-rep)
```

lemma *sfun-map-ID*: *sfun-map*·*ID*·*ID* = *ID*

unfolding *sfun-map-def*

by (*simp add: cfun-map-ID cfun-eq-iff*)

lemma *sfun-map-map*:

assumes *f2*·⊥ = ⊥ **and** *g2*·⊥ = ⊥ **shows**

```
sfun-map·f1·g1·(sfun-map·f2·g2·p) =
```

```
sfun-map·(Λ x. f2·(f1·x))·(Λ x. g1·(g2·x))·p
```

unfolding *sfun-map-def*

by (*simp add: cfun-eq-iff strictify-cancel assms cfun-map-map*)

lemma *ep-pair-sfun-map*:

assumes *1*: *ep-pair* *e1* *p1*

assumes *2*: *ep-pair* *e2* *p2*

shows *ep-pair* (*sfun-map*·*p1*·*e2*) (*sfun-map*·*e1*·*p2*)

proof

interpret *e1p1*: *pcpo-ep-pair* *e1* *p1*

unfolding *pcpo-ep-pair-def* **by** *fact*

interpret *e2p2*: *pcpo-ep-pair* *e2* *p2*

unfolding *pcpo-ep-pair-def* **by** *fact*

fix *f* **show** *sfun-map*·*e1*·*p2*·(*sfun-map*·*p1*·*e2*·*f*) = *f*

unfolding *sfun-map-def*

apply (*simp add: sfun-eq-iff strictify-cancel*)

apply (rule *ep-pair.e-inverse*)

apply (rule *ep-pair-cfun-map* [*OF* *1 2*])

done

fix *g* **show** *sfun-map*·*p1*·*e2*·(*sfun-map*·*e1*·*p2*·*g*) ⊆ *g*

unfolding *sfun-map-def*

apply (*simp add: sfun-below-iff strictify-cancel*)

apply (rule *ep-pair.e-p-below*)

apply (rule *ep-pair-cfun-map* [*OF* *1 2*])

done

qed

```

lemma deflation-sfun-map:
  assumes 1: deflation d1
  assumes 2: deflation d2
  shows deflation (sfun-map·d1·d2)
apply (simp add: sfun-map-def)
apply (rule deflation.intro)
apply simp
apply (subst strictify-cancel)
apply (simp add: cfun-map-def deflation-strict 1 2)
apply (simp add: cfun-map-def deflation.idem 1 2)
apply (simp add: sfun-below-iff)
apply (subst strictify-cancel)
apply (simp add: cfun-map-def deflation-strict 1 2)
apply (rule deflation.below)
apply (rule deflation-cfun-map [OF 1 2])
done

lemma finite-deflation-sfun-map:
  assumes 1: finite-deflation d1
  assumes 2: finite-deflation d2
  shows finite-deflation (sfun-map·d1·d2)
proof (intro finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  have deflation d1 and deflation d2 by fact+
  thus deflation (sfun-map·d1·d2) by (rule deflation-sfun-map)
  from 1 2 have finite-deflation (cfun-map·d1·d2)
    by (rule finite-deflation-cfun-map)
  then have finite {f. cfun-map·d1·d2·f = f}
    by (rule finite-deflation.finite-fixes)
  moreover have inj (λf. sfun-rep·f)
    by (rule inj-onI, simp add: sfun-eq-iff)
  ultimately have finite ((λf. sfun-rep·f) -‘ {f. cfun-map·d1·d2·f = f})
    by (rule finite-vimageI)
  then show finite {f. sfun-map·d1·d2·f = f}
    unfolding sfun-map-def sfun-eq-iff
    by (simp add: strictify-cancel
      deflation-strict ⟨deflation d1⟩ ⟨deflation d2⟩)
qed

end

```

23 Profinite and bifinite cpos

```

theory Bifinite
imports Map-Functions ~~/src/HOL/Library/Countable
begin

default-sort cpo

```

23.1 Chains of finite deflations

```

locale approx-chain =
  fixes approx :: nat  $\Rightarrow$  'a  $\rightarrow$  'a
  assumes chain-approx [simp]: chain ( $\lambda i.$  approx i)
  assumes lub-approx [simp]: ( $\sqcup i.$  approx i) = ID
  assumes finite-deflation-approx [simp]:  $\bigwedge i.$  finite-deflation (approx i)
begin

```

```

lemma deflation-approx: deflation (approx i)
using finite-deflation-approx by (rule finite-deflation-imp-deflation)

```

```

lemma approx-idem: approx i.(approx i.x) = approx i.x
using deflation-approx by (rule deflation.idem)

```

```

lemma approx-below: approx i.x  $\sqsubseteq$  x
using deflation-approx by (rule deflation.below)

```

```

lemma finite-range-approx: finite (range ( $\lambda x.$  approx i.x))
apply (rule finite-deflation.finite-range)
apply (rule finite-deflation-approx)
done

```

```

lemma compact-approx [simp]: compact (approx n.x)
apply (rule finite-deflation.compact)
apply (rule finite-deflation-approx)
done

```

```

lemma compact-eq-approx: compact x  $\Longrightarrow$   $\exists i.$  approx i.x = x
by (rule admD2, simp-all)

```

```

end

```

23.2 Omega-profinite and bifinite domains

```

class bifinite = pcpo +
  assumes bifinite:  $\exists (a::nat \Rightarrow 'a \rightarrow 'a).$  approx-chain a

```

```

class profinite = cpo +
  assumes profinite:  $\exists (a::nat \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}).$  approx-chain a

```

23.3 Building approx chains

```

lemma approx-chain-iso:
  assumes a: approx-chain a
  assumes [simp]:  $\bigwedge x.$  f.(g.x) = x
  assumes [simp]:  $\bigwedge y.$  g.(f.y) = y
  shows approx-chain ( $\lambda i.$  f oo a i oo g)
proof –
  have 1: f oo g = ID by (simp add: cfun-eqI)

```


have $2: ep\text{-}pair\ f\ g$ **by** (*simp add: ep-pair-def*)
from $1\ 2$ **show** *?thesis*
using a **unfolding** *approx-chain-def*
by (*simp add: lub-APP ep-pair.finite-deflation-e-d-p*)
qed

lemma *approx-chain-u-map*:
assumes *approx-chain a*
shows *approx-chain* $(\lambda i. u\text{-}map.(a\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP u-map-ID finite-deflation-u-map*)

lemma *approx-chain-sfun-map*:
assumes *approx-chain a* **and** *approx-chain b*
shows *approx-chain* $(\lambda i. sfun\text{-}map.(a\ i).(b\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP sfun-map-ID finite-deflation-sfun-map*)

lemma *approx-chain-sprod-map*:
assumes *approx-chain a* **and** *approx-chain b*
shows *approx-chain* $(\lambda i. sprod\text{-}map.(a\ i).(b\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP sprod-map-ID finite-deflation-sprod-map*)

lemma *approx-chain-ssum-map*:
assumes *approx-chain a* **and** *approx-chain b*
shows *approx-chain* $(\lambda i. ssum\text{-}map.(a\ i).(b\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP ssum-map-ID finite-deflation-ssum-map*)

lemma *approx-chain-cfun-map*:
assumes *approx-chain a* **and** *approx-chain b*
shows *approx-chain* $(\lambda i. cfun\text{-}map.(a\ i).(b\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP cfun-map-ID finite-deflation-cfun-map*)

lemma *approx-chain-prod-map*:
assumes *approx-chain a* **and** *approx-chain b*
shows *approx-chain* $(\lambda i. prod\text{-}map.(a\ i).(b\ i))$
using *assms* **unfolding** *approx-chain-def*
by (*simp add: lub-APP prod-map-ID finite-deflation-prod-map*)

Approx chains for countable discrete types.

definition *discr-approx* :: $nat \Rightarrow 'a::countable\ discr\ u \rightarrow 'a\ discr\ u$
where *discr-approx* = $(\lambda i. \Lambda(up.x).\ if\ to\text{-}nat\ (undiscr\ x) < i\ then\ up.x\ else\ \perp)$

lemma *chain-discr-approx* [*simp*]: *chain-discr-approx*
unfolding *discr-approx-def*
by (*rule chainI, simp add: monofun-cfun monofun-LAM*)

```

lemma lub-discr-approx [simp]: ( $\bigsqcup i. \text{discr-approx } i$ ) = ID
apply (rule cfun-eqI)
apply (simp add: contlub-cfun-fun)
apply (simp add: discr-approx-def)
apply (case-tac x, simp)
apply (rule lub-eqI)
apply (rule is-lubI)
apply (rule ub-rangeI, simp)
apply (drule ub-rangeD)
apply (erule rev-below-trans)
apply simp
apply (rule lessI)
done

```

```

lemma inj-on-undiscr [simp]: inj-on undiscr A
using Discr-undiscr by (rule inj-on-inverseI)

```

```

lemma finite-deflation-discr-approx: finite-deflation (discr-approx i)
proof

```

```

  fix x :: 'a discr u
  show discr-approx i · x  $\sqsubseteq$  x
    unfolding discr-approx-def
    by (cases x, simp, simp)
  show discr-approx i · (discr-approx i · x) = discr-approx i · x
    unfolding discr-approx-def
    by (cases x, simp, simp)
  show finite {x::'a discr u. discr-approx i · x = x}
  proof (rule finite-subset)
    let ?S = insert ( $\perp$ ::'a discr u) (( $\lambda x. \text{up} \cdot x$ ) ‘ undiscr – ‘ to-nat – ‘ {..i})
    show {x::'a discr u. discr-approx i · x = x}  $\subseteq$  ?S
      unfolding discr-approx-def
      by (rule subsetI, case-tac x, simp, simp split: if-split-asm)
    show finite ?S
      by (simp add: finite-vimageI)
  qed
qed

```

```

lemma discr-approx: approx-chain discr-approx
using chain-discr-approx lub-discr-approx finite-deflation-discr-approx
by (rule approx-chain.intro)

```

23.4 Class instance proofs

```

instance bifinite  $\subseteq$  profinite

```

```

proof

```

```

  show  $\exists (a::\text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}). \text{approx-chain } a$ 
    using bifinite [where 'a='a]
    by (fast intro!: approx-chain-u-map)

```

qed

instance $u :: (\text{profinite}) \text{bifinite}$
by *standard (rule profinite)*

Types $'a \rightarrow 'b$ and $'a_{\perp} \rightarrow! 'b$ are isomorphic.

definition $\text{encode-cfun} = (\Lambda f. \text{sfun-abs} \cdot (\text{fup} \cdot f))$

definition $\text{decode-cfun} = (\Lambda g x. \text{sfun-rep} \cdot g \cdot (\text{up} \cdot x))$

lemma $\text{decode-encode-cfun} [\text{simp}]$: $\text{decode-cfun} \cdot (\text{encode-cfun} \cdot x) = x$
unfolding *encode-cfun-def decode-cfun-def*
by (*simp add: eta-cfun*)

lemma $\text{encode-decode-cfun} [\text{simp}]$: $\text{encode-cfun} \cdot (\text{decode-cfun} \cdot y) = y$
unfolding *encode-cfun-def decode-cfun-def*
apply (*simp add: sfun-eq-iff strictify-cancel*)
apply (*rule cfun-eqI, case-tac x, simp-all*)
done

instance $\text{cfun} :: (\text{profinite}, \text{bifinite}) \text{bifinite}$

proof

obtain $a :: \text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}$ **where** $a: \text{approx-chain } a$
using *profinite ..*
obtain $b :: \text{nat} \Rightarrow 'b \rightarrow 'b$ **where** $b: \text{approx-chain } b$
using *bifinite ..*
have $\text{approx-chain } (\lambda i. \text{decode-cfun} \circ \text{sfun-map} \cdot (a \ i) \cdot (b \ i) \circ \text{encode-cfun})$
using $a \ b$ **by** (*simp add: approx-chain-iso approx-chain-sfun-map*)
thus $\exists (a :: \text{nat} \Rightarrow ('a \rightarrow 'b) \rightarrow ('a \rightarrow 'b)). \text{approx-chain } a$
by $-$ (*rule exI*)

qed

Types $('a \times 'b)_{\perp}$ and $'a_{\perp} \otimes 'b_{\perp}$ are isomorphic.

definition $\text{encode-prod-u} = (\Lambda (\text{up} \cdot (x, y)). (:\text{up} \cdot x, \text{up} \cdot y))$

definition $\text{decode-prod-u} = (\Lambda (:\text{up} \cdot x, \text{up} \cdot y). \text{up} \cdot (x, y))$

lemma $\text{decode-encode-prod-u} [\text{simp}]$: $\text{decode-prod-u} \cdot (\text{encode-prod-u} \cdot x) = x$
unfolding *encode-prod-u-def decode-prod-u-def*
by (*case-tac x, simp, rename-tac y, case-tac y, simp*)

lemma $\text{encode-decode-prod-u} [\text{simp}]$: $\text{encode-prod-u} \cdot (\text{decode-prod-u} \cdot y) = y$
unfolding *encode-prod-u-def decode-prod-u-def*
apply (*case-tac y, simp, rename-tac a b*)
apply (*case-tac a, simp, case-tac b, simp, simp*)
done

instance $\text{prod} :: (\text{profinite}, \text{profinite}) \text{profinite}$

proof

```

obtain a :: nat ⇒ 'a⊥ → 'a⊥ where a: approx-chain a
using profinite ..
obtain b :: nat ⇒ 'b⊥ → 'b⊥ where b: approx-chain b
using profinite ..
have approx-chain (λi. decode-prod-u oo sprod-map.(a i).(b i) oo encode-prod-u)
using a b by (simp add: approx-chain-iso approx-chain-sprod-map)
thus ∃(a::nat ⇒ ('a × 'b)⊥ → ('a × 'b)⊥). approx-chain a
by - (rule exI)
qed

```

```

instance prod :: (bifinite, bifinite) bifinite
proof
show ∃(a::nat ⇒ ('a × 'b) → ('a × 'b)). approx-chain a
using bifinite [where 'a='a] and bifinite [where 'a='b]
by (fast intro!: approx-chain-prod-map)
qed

```

```

instance sfun :: (bifinite, bifinite) bifinite
proof
show ∃(a::nat ⇒ ('a →! 'b) → ('a →! 'b)). approx-chain a
using bifinite [where 'a='a] and bifinite [where 'a='b]
by (fast intro!: approx-chain-sfun-map)
qed

```

```

instance sprod :: (bifinite, bifinite) bifinite
proof
show ∃(a::nat ⇒ ('a ⊗ 'b) → ('a ⊗ 'b)). approx-chain a
using bifinite [where 'a='a] and bifinite [where 'a='b]
by (fast intro!: approx-chain-sprod-map)
qed

```

```

instance ssum :: (bifinite, bifinite) bifinite
proof
show ∃(a::nat ⇒ ('a ⊕ 'b) → ('a ⊕ 'b)). approx-chain a
using bifinite [where 'a='a] and bifinite [where 'a='b]
by (fast intro!: approx-chain-ssum-map)
qed

```

```

lemma approx-chain-unit: approx-chain (⊥ :: nat ⇒ unit → unit)
by (simp add: approx-chain-def cfun-eq-iff finite-deflation-bottom)

```

```

instance unit :: bifinite
by standard (fast intro!: approx-chain-unit)

```

```

instance discr :: (countable) profinite
by standard (fast intro!: discr-approx)

```

```

instance lift :: (countable) bifinite
proof

```

```

note [simp] = cont-Abs-lift cont-Rep-lift Rep-lift-inverse Abs-lift-inverse
obtain a :: nat  $\Rightarrow$  ('a discr)⊥  $\rightarrow$  ('a discr)⊥ where a: approx-chain a
  using profinite ..
hence approx-chain ( $\lambda i. (\Lambda y. \text{Abs-lift } y) \text{ oo } a \text{ i oo } (\Lambda x. \text{Rep-lift } x)$ )
  by (rule approx-chain-iso) simp-all
thus  $\exists (a::\text{nat} \Rightarrow 'a \text{ lift} \rightarrow 'a \text{ lift}). \text{approx-chain } a$ 
  by - (rule exI)
qed

end

```

24 Defining algebraic domains by ideal completion

```

theory Completion
imports Plain-HOLCF
begin

```

24.1 Ideals over a preorder

```

locale preorder =
  fixes r :: 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\preceq$  50)
  assumes r-refl:  $x \preceq x$ 
  assumes r-trans:  $\llbracket x \preceq y; y \preceq z \rrbracket \Longrightarrow x \preceq z$ 
begin

```

definition

```

ideal :: 'a set  $\Rightarrow$  bool where
ideal A = ( $\exists x. x \in A$ )  $\wedge$  ( $\forall x \in A. \forall y \in A. \exists z \in A. x \preceq z \wedge y \preceq z$ )  $\wedge$ 
  ( $\forall x y. x \preceq y \longrightarrow y \in A \longrightarrow x \in A$ )

```

lemma idealI:

```

assumes  $\exists x. x \in A$ 
assumes  $\bigwedge x y. \llbracket x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$ 
assumes  $\bigwedge x y. \llbracket x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$ 
shows ideal A
unfolding ideal-def using assms by fast

```

lemma idealD1:

```

ideal A  $\Longrightarrow \exists x. x \in A$ 
unfolding ideal-def by fast

```

lemma idealD2:

```

 $\llbracket \text{ideal } A; x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$ 
unfolding ideal-def by fast

```

lemma idealD3:

```

 $\llbracket \text{ideal } A; x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$ 
unfolding ideal-def by fast

```

```

lemma ideal-principal: ideal {x. x  $\preceq$  z}
apply (rule idealI)
apply (rule-tac x=z in exI)
apply (fast intro: r-refl)
apply (rule-tac x=z in bexI, fast)
apply (fast intro: r-refl)
apply (fast intro: r-trans)
done

```

```

lemma ex-ideal:  $\exists A. A \in \{A. \text{ideal } A\}$ 
by (fast intro: ideal-principal)

```

The set of ideals is a cpo

```

lemma ideal-UN:
  fixes A :: nat  $\Rightarrow$  'a set
  assumes ideal-A:  $\bigwedge i. \text{ideal } (A\ i)$ 
  assumes chain-A:  $\bigwedge i\ j. i \leq j \implies A\ i \subseteq A\ j$ 
  shows ideal ( $\bigcup i. A\ i$ )
apply (rule idealI)
  apply (cut-tac idealD1 [OF ideal-A], fast)
  apply (clarify, rename-tac i j)
  apply (drule subsetD [OF chain-A [OF max.cobounded1]])
  apply (drule subsetD [OF chain-A [OF max.cobounded2]])
  apply (drule (1) idealD2 [OF ideal-A])
  apply blast
apply clarify
apply (drule (1) idealD3 [OF ideal-A])
apply fast
done

```

```

lemma typedef-ideal-po:
  fixes Abs :: 'a set  $\Rightarrow$  'b::below
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x\ y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$ 
  shows OFCLASS('b, po-class)
apply (intro-classes, unfold below)
  apply (rule subset-refl)
  apply (erule (1) subset-trans)
apply (rule type-definition.Rep-inject [OF type, THEN iffD1])
apply (erule (1) subset-antisym)
done

```

```

lemma
  fixes Abs :: 'a set  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x\ y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$ 
  assumes S: chain S
  shows typedef-ideal-lub: range S  $\ll\!|$  Abs ( $\bigcup i. \text{Rep } (S\ i)$ )
  and typedef-ideal-rep-lub: Rep ( $\bigsqcup i. S\ i$ ) = ( $\bigcup i. \text{Rep } (S\ i)$ )

```

proof –

have 1: $ideal (\bigcup i. Rep (S i))$
apply (rule ideal-UN)
apply (rule type-definition.Rep [OF type, unfolded mem-Collect-eq])
apply (subst below [symmetric])
apply (erule chain-mono [OF S])
done
hence 2: $Rep (Abs (\bigcup i. Rep (S i))) = (\bigcup i. Rep (S i))$
by (simp add: type-definition.Abs-inverse [OF type])
show 3: $range S \ll| Abs (\bigcup i. Rep (S i))$
apply (rule is-lubI)
apply (rule is-ubI)
apply (simp add: below 2, fast)
apply (simp add: below 2 is-ub-def, fast)
done
hence 4: $(\bigsqcup i. S i) = Abs (\bigcup i. Rep (S i))$
by (rule lub-eqI)
show 5: $Rep (\bigsqcup i. S i) = (\bigcup i. Rep (S i))$
by (simp add: 4 2)
qed

lemma *typedef-ideal-cpo*:

fixes Abs :: 'a set \Rightarrow 'b::po
assumes type: type-definition Rep Abs {S. ideal S}
assumes below: $\bigwedge x y. x \sqsubseteq y \iff Rep x \subseteq Rep y$
shows OFCLASS('b, cpo-class)
by standard (rule exI, erule typedef-ideal-lub [OF type below])

end

interpretation below: preorder below :: 'a::po \Rightarrow 'a \Rightarrow bool

apply unfold-locales
apply (rule below-refl)
apply (erule (1) below-trans)
done

24.2 Lemmas about least upper bounds

lemma *is-ub-thelub-ex*: $[\exists u. S \ll| u; x \in S] \implies x \sqsubseteq lub S$
apply (erule exE, drule is-lub-lub)
apply (drule is-lubD1)
apply (erule (1) is-ubD)
done

lemma *is-lub-thelub-ex*: $[\exists u. S \ll| u; S <| x] \implies lub S \sqsubseteq x$
by (erule exE, drule is-lub-lub, erule is-lubD2)

24.3 Locale for ideal completion

locale *ideal-completion* = preorder +

```

fixes principal :: 'a::type  $\Rightarrow$  'b::cpo
fixes rep :: 'b::cpo  $\Rightarrow$  'a::type set
assumes ideal-rep:  $\bigwedge x. \text{ideal } (\text{rep } x)$ 
assumes rep-lub:  $\bigwedge Y. \text{chain } Y \implies \text{rep } (\bigsqcup i. Y\ i) = (\bigcup i. \text{rep } (Y\ i))$ 
assumes rep-principal:  $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$ 
assumes belowI:  $\bigwedge x\ y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$ 
assumes countable:  $\exists f::'a \Rightarrow \text{nat}. \text{inj } f$ 
begin

```

```

lemma rep-mono:  $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$ 
apply (frule bin-chain)
apply (drule rep-lub)
apply (simp only: lub-eqI [OF is-lub-bin-chain])
apply (rule subsetI, rule UN-I [where a=0], simp-all)
done

```

```

lemma below-def:  $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$ 
by (rule iffI [OF rep-mono belowI])

```

```

lemma principal-below-iff-mem-rep:  $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$ 
unfolding below-def rep-principal
by (auto intro: r-refl elim: idealD3 [OF ideal-rep])

```

```

lemma principal-below-iff [simp]:  $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$ 
by (simp add: principal-below-iff-mem-rep rep-principal)

```

```

lemma principal-eq-iff:  $\text{principal } a = \text{principal } b \longleftrightarrow a \preceq b \wedge b \preceq a$ 
unfolding po-eq-conv [where 'a='b] principal-below-iff ..

```

```

lemma eq-iff:  $x = y \longleftrightarrow \text{rep } x = \text{rep } y$ 
unfolding po-eq-conv below-def by auto

```

```

lemma principal-mono:  $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$ 
by (simp only: principal-below-iff)

```

```

lemma ch2ch-principal [simp]:
   $\forall i. Y\ i \preceq Y\ (\text{Suc } i) \implies \text{chain } (\lambda i. \text{principal } (Y\ i))$ 
by (simp add: chainI principal-mono)

```

24.3.1 Principal ideals approximate all elements

```

lemma compact-principal [simp]:  $\text{compact } (\text{principal } a)$ 
by (rule compactI2, simp add: principal-below-iff-mem-rep rep-lub)

```

Construct a chain whose lub is the same as a given ideal

```

lemma obtain-principal-chain:
  obtains  $Y$  where  $\forall i. Y\ i \preceq Y\ (\text{Suc } i)$  and  $x = (\bigsqcup i. \text{principal } (Y\ i))$ 
proof –
  obtain count :: 'a  $\Rightarrow$  nat where inj: inj count

```



```

using countable ..
def enum  $\equiv \lambda i. \text{THE } a. \text{count } a = i$ 
have enum-count [simp]:  $\bigwedge x. \text{enum } (\text{count } x) = x$ 
  unfolding enum-def by (simp add: inj-eq [OF inj])
def a  $\equiv \text{LEAST } i. \text{enum } i \in \text{rep } x$ 
def b  $\equiv \lambda i. \text{LEAST } j. \text{enum } j \in \text{rep } x \wedge \neg \text{enum } j \preceq \text{enum } i$ 
def c  $\equiv \lambda i j. \text{LEAST } k. \text{enum } k \in \text{rep } x \wedge \text{enum } i \preceq \text{enum } k \wedge \text{enum } j \preceq \text{enum } k$ 
def P  $\equiv \lambda i. \exists j. \text{enum } j \in \text{rep } x \wedge \neg \text{enum } j \preceq \text{enum } i$ 
def X  $\equiv \text{rec-nat } a (\lambda n i. \text{if } P i \text{ then } c i (b i) \text{ else } i)$ 
have X-0:  $X \ 0 = a$  unfolding X-def by simp
have X-Suc:  $\bigwedge n. X \ (\text{Suc } n) = (\text{if } P \ (X \ n) \text{ then } c \ (X \ n) \ (b \ (X \ n)) \text{ else } X \ n)$ 
  unfolding X-def by simp
have a-mem:  $\text{enum } a \in \text{rep } x$ 
  unfolding a-def
  apply (rule LeastI-ex)
  apply (cut-tac ideal-rep [of x])
  apply (drule idealD1)
  apply (clarify, rename-tac a)
  apply (rule-tac x=count a in exI, simp)
  done
have b:  $\bigwedge i. P \ i \implies \text{enum } i \in \text{rep } x$ 
   $\implies \text{enum } (b \ i) \in \text{rep } x \wedge \neg \text{enum } (b \ i) \preceq \text{enum } i$ 
  unfolding P-def b-def by (erule LeastI2-ex, simp)
have c:  $\bigwedge i j. \text{enum } i \in \text{rep } x \implies \text{enum } j \in \text{rep } x$ 
   $\implies \text{enum } (c \ i \ j) \in \text{rep } x \wedge \text{enum } i \preceq \text{enum } (c \ i \ j) \wedge \text{enum } j \preceq \text{enum } (c \ i \ j)$ 
  unfolding c-def
  apply (drule (1) idealD2 [OF ideal-rep], clarify)
  apply (rule-tac a=count z in LeastI2, simp, simp)
  done
have X-mem:  $\bigwedge n. \text{enum } (X \ n) \in \text{rep } x$ 
  apply (induct-tac n)
  apply (simp add: X-0 a-mem)
  apply (clarsimp simp add: X-Suc, rename-tac n)
  apply (simp add: b c)
  done
have X-chain:  $\bigwedge n. \text{enum } (X \ n) \preceq \text{enum } (X \ (\text{Suc } n))$ 
  apply (clarsimp simp add: X-Suc r-refl)
  apply (simp add: b c X-mem)
  done
have less-b:  $\bigwedge n i. n < b \ i \implies \text{enum } n \in \text{rep } x \implies \text{enum } n \preceq \text{enum } i$ 
  unfolding b-def by (drule not-less-Least, simp)
have X-covers:  $\bigwedge n. \forall k \leq n. \text{enum } k \in \text{rep } x \longrightarrow \text{enum } k \preceq \text{enum } (X \ n)$ 
  apply (induct-tac n)
  apply (clarsimp simp add: X-0 a-def)
  apply (drule-tac k=0 in Least-le, simp add: r-refl)
  apply (clarsimp, rename-tac n k)
  apply (erule le-SucE)
  apply (rule r-trans [OF - X-chain], simp)

```

```

apply (case-tac P (X n), simp add: X-Suc)
apply (rule-tac x=b (X n) and y=Suc n in linorder-cases)
apply (simp only: less-Suc-eq-le)
apply (drule spec, drule (1) mp, simp add: b X-mem)
apply (simp add: c X-mem)
apply (drule (1) less-b)
apply (erule r-trans)
apply (simp add: b c X-mem)
apply (simp add: X-Suc)
apply (simp add: P-def)
done
have 1:  $\forall i. \text{enum } (X i) \preceq \text{enum } (X (\text{Suc } i))$ 
by (simp add: X-chain)
have 2:  $x = (\bigsqcup n. \text{principal } (\text{enum } (X n)))$ 
apply (simp add: eq-iff rep-lub 1 rep-principal)
apply (auto, rename-tac a)
apply (subgoal-tac  $\exists i. a = \text{enum } i$ , erule exE)
apply (rule-tac x=i in exI, simp add: X-covers)
apply (rule-tac x=count a in exI, simp)
apply (erule idealD3 [OF ideal-rep])
apply (rule X-mem)
done
from 1 2 show ?thesis ..
qed

```

```

lemma principal-induct:
  assumes adm: adm P
  assumes P:  $\bigwedge a. P (\text{principal } a)$ 
  shows P x
apply (rule obtain-principal-chain [of x])
apply (simp add: admD [OF adm] P)
done

```

```

lemma compact-imp-principal: compact x  $\implies \exists a. x = \text{principal } a$ 
apply (rule obtain-principal-chain [of x])
apply (drule adm-compact-neq [OF - cont-id])
apply (subgoal-tac chain ( $\lambda i. \text{principal } (Y i)$ ))
apply (drule (2) admD2, fast, simp)
done

```

24.4 Defining functions in terms of basis elements

definition

```

extension :: ('a::type  $\implies$  'c::cpo)  $\implies$  'b  $\rightarrow$  'c where
extension = ( $\lambda f. (\bigwedge x. \text{lub } (f \text{ ` rep } x))$ )

```

lemma extension-lemma:

```

fixes f :: 'a::type  $\implies$  'c::cpo
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 

```

shows $\exists u. f \text{ ' rep } x \ll | u$
proof –
obtain Y **where** $Y: \forall i. Y i \preceq Y (Suc i)$
and $x: x = (\bigsqcup i. principal (Y i))$
 by (rule obtain-principal-chain [of x])
have $chain: chain (\lambda i. f (Y i))$
 by (rule chainI, simp add: f-mono Y)
have $rep-x: rep x = (\bigcup n. \{a. a \preceq Y n\})$
 by (simp add: x rep-lub Y rep-principal)
have $f \text{ ' rep } x \ll | (\bigsqcup n. f (Y n))$
 apply (rule is-lubI)
 apply (rule ub-imageI, rename-tac a)
 apply (clarsimp simp add: rep-x)
 apply (drule f-mono)
 apply (erule below-lub [OF chain])
 apply (rule lub-below [OF chain])
 apply (drule-tac $x=Y n$ in ub-imageD)
 apply (simp add: rep-x, fast intro: r-refl)
 apply assumption
 done
thus ?thesis ..
qed

lemma extension-beta:
 fixes $f :: 'a::type \Rightarrow 'c::cpo$
 assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
 shows $extension f \cdot x = lub (f \text{ ' rep } x)$
unfolding extension-def
proof (rule beta-cfun)
 have $lub: \bigwedge x. \exists u. f \text{ ' rep } x \ll | u$
 using f-mono by (rule extension-lemma)
 show $cont: cont (\lambda x. lub (f \text{ ' rep } x))$
 apply (rule contI2)
 apply (rule monofunI)
 apply (rule is-lub-the-lub-ex [OF lub ub-imageI])
 apply (rule is-ub-the-lub-ex [OF lub imageI])
 apply (erule (1) subsetD [OF rep-mono])
 apply (rule is-lub-the-lub-ex [OF lub ub-imageI])
 apply (simp add: rep-lub, clarify)
 apply (erule rev-below-trans [OF is-ub-the-lub])
 apply (erule is-ub-the-lub-ex [OF lub imageI])
 done
qed

lemma extension-principal:
 fixes $f :: 'a::type \Rightarrow 'c::cpo$
 assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
 shows $extension f \cdot (principal a) = f a$
 apply (subst extension-beta, erule f-mono)

```

apply (subst rep-principal)
apply (rule lub-eqI)
apply (rule is-lub-maximal)
apply (rule ub-imageI)
apply (simp add: f-mono)
apply (rule imageI)
apply (simp add: r-refl)
done

```

```

lemma extension-mono:
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  assumes g-mono:  $\bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$ 
  assumes below:  $\bigwedge a. f a \sqsubseteq g a$ 
  shows extension f  $\sqsubseteq$  extension g
  apply (rule cfun-belowI)
  apply (simp only: extension-beta f-mono g-mono)
  apply (rule is-lub-thelub-ex)
  apply (rule extension-lemma, erule f-mono)
  apply (rule ub-imageI, rename-tac a)
  apply (rule below-trans [OF below])
  apply (rule is-ub-thelub-ex)
  apply (rule extension-lemma, erule g-mono)
  apply (erule imageI)
done

```

```

lemma cont-extension:
  assumes f-mono:  $\bigwedge a b x. a \preceq b \implies f x a \sqsubseteq f x b$ 
  assumes f-cont:  $\bigwedge a. \text{cont } (\lambda x. f x a)$ 
  shows cont  $(\lambda x. \text{extension } (\lambda a. f x a))$ 
  apply (rule contI2)
  apply (rule monofunI)
  apply (rule extension-mono, erule f-mono, erule f-mono)
  apply (erule cont2monofunE [OF f-cont])
  apply (rule cfun-belowI)
  apply (rule principal-induct, simp)
  apply (simp only: contlub-cfun-fun)
  apply (simp only: extension-principal f-mono)
  apply (simp add: cont2contlubE [OF f-cont])
done

```

end

```

lemma (in preorder) typedef-ideal-completion:
  fixes Abs :: 'a set  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs  $\{S. \text{ideal } S\}$ 
  assumes below:  $\bigwedge x y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$ 
  assumes principal:  $\bigwedge a. \text{principal } a = \text{Abs } \{b. b \preceq a\}$ 
  assumes countable:  $\exists f :: 'a \Rightarrow \text{nat. inj } f$ 
  shows ideal-completion r principal Rep

```

proof

```

interpret type-definition Rep Abs {S. ideal S} by fact
fix a b :: 'a and x y :: 'b and Y :: nat  $\Rightarrow$  'b
show ideal (Rep x)
  using Rep [of x] by simp
show chain Y  $\Longrightarrow$  Rep ( $\sqcup$  i. Y i) = ( $\bigcup$  i. Rep (Y i))
  using type below by (rule typedef-ideal-rep-lub)
show Rep (principal a) = {b. b  $\preceq$  a}
  by (simp add: principal Abs-inverse ideal-principal)
show Rep x  $\subseteq$  Rep y  $\Longrightarrow$  x  $\sqsubseteq$  y
  by (simp only: below)
show  $\exists f :: 'a \Rightarrow$  nat. inj f
  by (rule countable)

```

qed

end

25 A universal bifinite domain

theory Universal

imports Bifinite Completion $\sim\sim$ /src/HOL/Library/Nat-Bijection
begin

25.1 Basis for universal domain

25.1.1 Basis datatype

type-synonym ubasis = nat

definition

node :: nat \Rightarrow ubasis \Rightarrow ubasis set \Rightarrow ubasis

where

node i a S = Suc (prod-encode (i, prod-encode (a, set-encode S)))

lemma node-not-0 [simp]: *node* i a S \neq 0

unfolding node-def **by** simp

lemma node-gt-0 [simp]: 0 < *node* i a S

unfolding node-def **by** simp

lemma node-inject [simp]:

\llbracket finite S; finite T \rrbracket

\Longrightarrow *node* i a S = *node* j b T \longleftrightarrow i = j \wedge a = b \wedge S = T

unfolding node-def **by** (simp add: prod-encode-eq set-encode-eq)

lemma node-gt0: i < *node* i a S

unfolding node-def less-Suc-eq-le

by (rule le-prod-encode-1)

lemma *node-gt1*: $a < \text{node } i \text{ a } S$
unfolding *node-def less-Suc-eq-le*
by (*rule order-trans [OF le-prod-encode-1 le-prod-encode-2]*)

lemma *nat-less-power2*: $n < 2^n$
by (*induct n*) *simp-all*

lemma *node-gt2*: $\llbracket \text{finite } S; b \in S \rrbracket \implies b < \text{node } i \text{ a } S$
unfolding *node-def less-Suc-eq-le set-encode-def*
apply (*rule order-trans [OF - le-prod-encode-2]*)
apply (*rule order-trans [OF - le-prod-encode-2]*)
apply (*rule order-trans [where y=setsum (op ^ 2) {b}]*)
apply (*simp add: nat-less-power2 [THEN order-less-imp-le]*)
apply (*erule setsum-mono2, simp, simp*)
done

lemma *eq-prod-encode-pairI*:
 $\llbracket \text{fst } (\text{prod-decode } x) = a; \text{snd } (\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode } (a, b)$
by (*erule subst, erule subst, simp*)

lemma *node-cases*:
assumes 1: $x = 0 \implies P$
assumes 2: $\bigwedge i \text{ a } S. \llbracket \text{finite } S; x = \text{node } i \text{ a } S \rrbracket \implies P$
shows P
apply (*cases x*)
apply (*erule 1*)
apply (*rule 2*)
apply (*rule finite-set-decode*)
apply (*simp add: node-def*)
apply (*rule eq-prod-encode-pairI [OF refl]*)
apply (*rule eq-prod-encode-pairI [OF refl refl]*)
done

lemma *node-induct*:
assumes 1: $P \ 0$
assumes 2: $\bigwedge i \text{ a } S. \llbracket P \ a; \text{finite } S; \forall b \in S. P \ b \rrbracket \implies P \ (\text{node } i \text{ a } S)$
shows $P \ x$
apply (*induct x rule: nat-less-induct*)
apply (*case-tac n rule: node-cases*)
apply (*simp add: 1*)
apply (*simp add: 2 node-gt1 node-gt2*)
done

25.1.2 Basis ordering

inductive
ubasis-le :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$
where
ubasis-le-refl: $\text{ubasis-le } a \ a$

```

| ubasis-le-trans:
  [[ubasis-le a b; ubasis-le b c]] ==> ubasis-le a c
| ubasis-le-lower:
  finite S ==> ubasis-le a (node i a S)
| ubasis-le-upper:
  [[finite S; b ∈ S; ubasis-le a b]] ==> ubasis-le (node i a S) b

```

```

lemma ubasis-le-minimal: ubasis-le 0 x
apply (induct x rule: node-induct)
apply (rule ubasis-le-refl)
apply (erule ubasis-le-trans)
apply (erule ubasis-le-lower)
done

```

```

interpretation udom: preorder ubasis-le
apply standard
apply (rule ubasis-le-refl)
apply (erule (1) ubasis-le-trans)
done

```

25.1.3 Generic take function

```

function
  ubasis-until :: (ubasis ⇒ bool) ⇒ ubasis ⇒ ubasis
where
  ubasis-until P 0 = 0
| finite S ==> ubasis-until P (node i a S) =
  (if P (node i a S) then node i a S else ubasis-until P a)
apply clarify
apply (rule-tac x=b in node-cases)
apply simp
apply simp
apply fast
apply simp
apply simp
done

```

```

termination ubasis-until
apply (relation measure snd)
apply (rule wf-measure)
apply (simp add: node-gt1)
done

```

```

lemma ubasis-until: P 0 ==> P (ubasis-until P x)
by (induct x rule: node-induct) simp-all

```

```

lemma ubasis-until': 0 < ubasis-until P x ==> P (ubasis-until P x)
by (induct x rule: node-induct) auto

```

lemma *ubasis-until-same*: $P x \Longrightarrow \text{ubasis-until } P x = x$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-idem*:
 $P 0 \Longrightarrow \text{ubasis-until } P (\text{ubasis-until } P x) = \text{ubasis-until } P x$
by (*rule ubasis-until-same [OF ubasis-until]*)

lemma *ubasis-until-0*:
 $\forall x. x \neq 0 \longrightarrow \neg P x \Longrightarrow \text{ubasis-until } P x = 0$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-less*: $\text{ubasis-le } (\text{ubasis-until } P x) x$
apply (*induct x rule: node-induct*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: ubasis-le-refl*)
apply (*rule impI*)
apply (*erule ubasis-le-trans*)
apply (*erule ubasis-le-lower*)
done

lemma *ubasis-until-chain*:
assumes $PQ: \bigwedge x. P x \Longrightarrow Q x$
shows $\text{ubasis-le } (\text{ubasis-until } P x) (\text{ubasis-until } Q x)$
apply (*induct x rule: node-induct*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: PQ*)
apply *clarify*
apply (*rule ubasis-le-trans*)
apply (*rule ubasis-until-less*)
apply (*erule ubasis-le-lower*)
done

lemma *ubasis-until-mono*:
assumes $\bigwedge i a S b. \llbracket \text{finite } S; P (\text{node } i a S); b \in S; \text{ubasis-le } a b \rrbracket \Longrightarrow P b$
shows $\text{ubasis-le } a b \Longrightarrow \text{ubasis-le } (\text{ubasis-until } P a) (\text{ubasis-until } P b)$
proof (*induct set: ubasis-le*)
case (*ubasis-le-refl a*) **show** *?case* **by** (*rule ubasis-le.ubasis-le-refl*)
next
case (*ubasis-le-trans a b c*) **thus** *?case* **by** $-$ (*rule ubasis-le.ubasis-le-trans*)
next
case (*ubasis-le-lower S a i*) **thus** *?case*
apply (*clarsimp simp add: ubasis-le-refl*)
apply (*rule ubasis-le-trans [OF ubasis-until-less]*)
apply (*erule ubasis-le.ubasis-le-lower*)
done
next
case (*ubasis-le-upper S b a i*) **thus** *?case*
apply *clarsimp*


```

apply (subst ubasis-until-same)
apply (erule (3) assms)
apply (erule (2) ubasis-le.ubasis-le-upper)
done
qed

```

```

lemma finite-range-ubasis-until:
  finite {x. P x}  $\implies$  finite (range (ubasis-until P))
apply (rule finite-subset [where B=insert 0 {x. P x}])
apply (clarsimp simp add: ubasis-until')
apply simp
done

```

25.2 Defining the universal domain by ideal completion

```

typedef udom = {S. udom.ideal S}
by (rule udom.ex-ideal)

```

```

instantiation udom :: below
begin

```

```

definition
  x  $\sqsubseteq$  y  $\longleftrightarrow$  Rep-udom x  $\subseteq$  Rep-udom y

```

```

instance ..
end

```

```

instance udom :: po
using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-po)

```

```

instance udom :: cpo
using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-cpo)

```

```

definition
  udom-principal :: nat  $\Rightarrow$  udom where
  udom-principal t = Abs-udom {u. ubasis-le u t}

```

```

lemma ubasis-countable:  $\exists f :: \text{ubasis} \Rightarrow \text{nat. inj } f$ 
by (rule exI, rule inj-on-id)

```

```

interpretation udom:
  ideal-completion ubasis-le udom-principal Rep-udom
using type-definition-udom below-udom-def
using udom-principal-def ubasis-countable
by (rule udom.typedef-ideal-completion)

```

Universal domain is pointed

```

lemma udom-minimal: udom-principal 0  $\sqsubseteq$  x
apply (induct x rule: udom.principal-induct)
apply (simp, simp add: ubasis-le-minimal)
done

```

```

instance udom :: pcpo
by intro-classes (fast intro: udom-minimal)

```

```

lemma inst-udom-pcpo:  $\perp = \text{udom-principal } 0$ 
by (rule udom-minimal [THEN bottomI, symmetric])

```

25.3 Compact bases of domains

```

typedef 'a compact-basis = {x::'a::pcpo. compact x}
by auto

```

```

lemma Rep-compact-basis' [simp]: compact (Rep-compact-basis a)
by (rule Rep-compact-basis [unfolded mem-Collect-eq])

```

```

lemma Abs-compact-basis-inverse' [simp]:
  compact x  $\implies$  Rep-compact-basis (Abs-compact-basis x) = x
by (rule Abs-compact-basis-inverse [unfolded mem-Collect-eq])

```

```

instantiation compact-basis :: (pcpo) below
begin

```

```

definition
  compact-le-def:
  (op  $\sqsubseteq$ )  $\equiv$  ( $\lambda x y.$  Rep-compact-basis x  $\sqsubseteq$  Rep-compact-basis y)

```

```

instance ..
end

```

```

instance compact-basis :: (pcpo) po
using type-definition-compact-basis compact-le-def
by (rule typedef-po)

```

```

definition
  approximants :: 'a  $\Rightarrow$  'a compact-basis where
  approximants = ( $\lambda x.$  {a. Rep-compact-basis a  $\sqsubseteq$  x})

```

```

definition
  compact-bot :: 'a::pcpo compact-basis where
  compact-bot = Abs-compact-basis  $\perp$ 

```

```

lemma Rep-compact-bot [simp]: Rep-compact-basis compact-bot =  $\perp$ 
unfolding compact-bot-def by simp

```

```

lemma compact-bot-minimal [simp]: compact-bot  $\sqsubseteq$  a

```

unfolding *compact-le-def Rep-compact-bot* **by** *simp*

25.4 Universality of *udom*

We use a locale to parameterize the construction over a chain of approx functions on the type to be embedded.

locale *bifinite-approx-chain* =
approx-chain approx **for** *approx :: nat ⇒ 'a::bifinite → 'a*
begin

25.4.1 Choosing a maximal element from a finite set

lemma *finite-has-maximal*:
fixes *A :: 'a compact-basis set*
shows $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$
proof (*induct rule: finite-ne-induct*)
case (*singleton x*)
show *?case* **by** *simp*
next
case (*insert a A*)
from $\langle \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y \rangle$
obtain *x* **where** *x: x ∈ A*
and *x-eq: $\bigwedge y. \llbracket y \in A; x \sqsubseteq y \rrbracket \implies x = y$* **by** *fast*
show *?case*
proof (*intro bexI ballI impI*)
fix *y*
assume *y ∈ insert a A* **and** (*if $x \sqsubseteq a$ then a else x*) $\sqsubseteq y$
thus (*if $x \sqsubseteq a$ then a else x*) = *y*
apply *auto*
apply (*frule (1) below-trans*)
apply (*frule (1) x-eq*)
apply (*rule below-antisym, assumption*)
apply *simp*
apply (*erule (1) x-eq*)
done
next
show (*if $x \sqsubseteq a$ then a else x*) \in *insert a A*
by (*simp add: x*)
qed
qed

definition

choose :: 'a compact-basis set ⇒ 'a compact-basis
where
choose A = (SOME x. x ∈ {x ∈ A. ∀ y ∈ A. x ⊆ y → x = y})

lemma *choose-lemma*:

$\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\}$
unfolding *choose-def*

```

apply (rule someI-ex)
apply (frule (1) finite-has-maximal, fast)
done

```

```

lemma maximal-choose:
   $\llbracket \text{finite } A; y \in A; \text{choose } A \sqsubseteq y \rrbracket \implies \text{choose } A = y$ 
apply (cases A = {}, simp)
apply (frule (1) choose-lemma, simp)
done

```

```

lemma choose-in:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in A$ 
by (frule (1) choose-lemma, simp)

```

```

function
  choose-pos :: 'a compact-basis set  $\Rightarrow$  'a compact-basis  $\Rightarrow$  nat
where
  choose-pos A x =
    (if finite A  $\wedge$  x  $\in$  A  $\wedge$  x  $\neq$  choose A
     then Suc (choose-pos (A - {choose A}) x) else 0)
by auto

```

```

termination choose-pos
apply (relation measure (card  $\circ$  fst), simp)
apply clarsimp
apply (rule card-Diff1-less)
apply assumption
apply (erule choose-in)
apply clarsimp
done

```

```

declare choose-pos.simps [simp del]

```

```

lemma choose-pos-choose: finite A  $\implies$  choose-pos A (choose A) = 0
by (simp add: choose-pos.simps)

```

```

lemma inj-on-choose-pos [OF refl]:
   $\llbracket \text{card } A = n; \text{finite } A \rrbracket \implies \text{inj-on } (\text{choose-pos } A) A$ 
apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (rule inj-onI)
apply (drule-tac x=A - {choose A} in meta-spec, simp)
apply (simp add: choose-pos.simps)
apply (simp split: if-split-asm)
apply (erule (1) inj-onD, simp, simp)
done

```

```

lemma choose-pos-bounded [OF refl]:

```

```

[[card A = n; finite A; x ∈ A]] ⇒ choose-pos A x < n
apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (subst choose-pos.simps)
apply simp
done

```

lemma *choose-pos-lessD*:

```

[[choose-pos A x < choose-pos A y; finite A; x ∈ A; y ∈ A]] ⇒ x ⊈ y
apply (induct A x arbitrary: y rule: choose-pos.induct)
apply simp
apply (case-tac x = choose A)
apply simp
apply (rule notI)
apply (frule (2) maximal-choose)
apply simp
apply (case-tac y = choose A)
apply (simp add: choose-pos-choose)
apply (drule-tac x=y in meta-spec)
apply simp
apply (erule meta-mp)
apply (simp add: choose-pos.simps)
done

```

25.4.2 Compact basis take function

primrec

```

cb-take :: nat ⇒ 'a compact-basis ⇒ 'a compact-basis where
  cb-take 0 = (λx. compact-bot)
| cb-take (Suc n) = (λa. Abs-compact-basis (approx n.(Rep-compact-basis a)))

```

declare *cb-take.simps* [*simp del*]

lemma *cb-take-zero* [*simp*]: *cb-take 0 a = compact-bot*
by (*simp only: cb-take.simps*)

lemma *Rep-cb-take*:

```

Rep-compact-basis (cb-take (Suc n) a) = approx n.(Rep-compact-basis a)
by (simp add: cb-take.simps(2))

```

lemmas *approx-Rep-compact-basis = Rep-cb-take* [*symmetric*]

lemma *cb-take-covers*: $\exists n. \text{cb-take } n \ x = x$

```

apply (subgoal-tac ∃ n. cb-take (Suc n) x = x, fast)
apply (simp add: Rep-compact-basis-inject [symmetric])
apply (simp add: Rep-cb-take)
apply (rule compact-eq-approx)

```

apply (*rule Rep-compact-basis'*)
done

lemma *cb-take-less*: $cb\text{-take } n \ x \sqsubseteq x$
unfolding *compact-le-def*
by (*cases n, simp, simp add: Rep-cb-take approx-below*)

lemma *cb-take-idem*: $cb\text{-take } n \ (cb\text{-take } n \ x) = cb\text{-take } n \ x$
unfolding *Rep-compact-basis-inject [symmetric]*
by (*cases n, simp, simp add: Rep-cb-take approx-idem*)

lemma *cb-take-mono*: $x \sqsubseteq y \implies cb\text{-take } n \ x \sqsubseteq cb\text{-take } n \ y$
unfolding *compact-le-def*
by (*cases n, simp, simp add: Rep-cb-take monofun-cfun-arg*)

lemma *cb-take-chain-le*: $m \leq n \implies cb\text{-take } m \ x \sqsubseteq cb\text{-take } n \ x$
unfolding *compact-le-def*
apply (*cases m, simp, cases n, simp*)
apply (*simp add: Rep-cb-take, rule chain-mono, simp, simp*)
done

lemma *finite-range-cb-take*: *finite (range (cb-take n))*
apply (*cases n*)
apply (*subgoal-tac range (cb-take 0) = {compact-bot}, simp, force*)
apply (*rule finite-imageD [where f=Rep-compact-basis]*)
apply (*rule finite-subset [where B=range (\lambda x. approx (n - 1).x)]*)
apply (*clarsimp simp add: Rep-cb-take*)
apply (*rule finite-range-approx*)
apply (*rule inj-onI, simp add: Rep-compact-basis-inject*)
done

25.4.3 Rank of basis elements

definition

rank :: 'a compact-basis \Rightarrow nat

where

rank $x = (LEAST \ n. \ cb\text{-take } n \ x = x)$

lemma *compact-approx-rank*: $cb\text{-take } (rank \ x) \ x = x$
unfolding *rank-def*
apply (*rule LeastI-ex*)
apply (*rule cb-take-covers*)
done

lemma *rank-leD*: $rank \ x \leq n \implies cb\text{-take } n \ x = x$
apply (*rule below-antisym [OF cb-take-less]*)
apply (*subst compact-approx-rank [symmetric]*)
apply (*erule cb-take-chain-le*)
done

lemma *rank-leI*: $cb\text{-take } n \ x = x \implies \text{rank } x \leq n$
unfolding *rank-def* **by** (*rule Least-le*)

lemma *rank-le-iff*: $\text{rank } x \leq n \iff cb\text{-take } n \ x = x$
by (*rule iffI [OF rank-leD rank-leI]*)

lemma *rank-compact-bot* [*simp*]: $\text{rank } \text{compact-bot} = 0$
using *rank-leI* [*of 0 compact-bot*] **by** *simp*

lemma *rank-eq-0-iff* [*simp*]: $\text{rank } x = 0 \iff x = \text{compact-bot}$
using *rank-le-iff* [*of x 0*] **by** *auto*

definition

rank-le :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-le $x = \{y. \text{rank } y \leq \text{rank } x\}$

definition

rank-lt :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-lt $x = \{y. \text{rank } y < \text{rank } x\}$

definition

rank-eq :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-eq $x = \{y. \text{rank } y = \text{rank } x\}$

lemma *rank-eq-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-eq } x = \text{rank-eq } y$
unfolding *rank-eq-def* **by** *simp*

lemma *rank-lt-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-lt } x = \text{rank-lt } y$
unfolding *rank-lt-def* **by** *simp*

lemma *rank-eq-subset*: $\text{rank-eq } x \subseteq \text{rank-le } x$
unfolding *rank-eq-def rank-le-def* **by** *auto*

lemma *rank-lt-subset*: $\text{rank-lt } x \subseteq \text{rank-le } x$
unfolding *rank-lt-def rank-le-def* **by** *auto*

lemma *finite-rank-le*: *finite* (*rank-le* x)

unfolding *rank-le-def*

apply (*rule finite-subset* [**where** $B = \text{range } (cb\text{-take } (\text{rank } x))$])

apply *clarify*

apply (*rule range-eqI*)

apply (*erule rank-leD* [*symmetric*])

apply (*rule finite-range-cb-take*)

done

lemma *finite-rank-eq*: *finite* (*rank-eq* *x*)
by (*rule* *finite-subset* [*OF* *rank-eq-subset* *finite-rank-le*])

lemma *finite-rank-lt*: *finite* (*rank-lt* *x*)
by (*rule* *finite-subset* [*OF* *rank-lt-subset* *finite-rank-le*])

lemma *rank-lt-Int-rank-eq*: $\text{rank-lt } x \cap \text{rank-eq } x = \{\}$
unfolding *rank-lt-def* *rank-eq-def* *rank-le-def* **by** *auto*

lemma *rank-lt-Un-rank-eq*: $\text{rank-lt } x \cup \text{rank-eq } x = \text{rank-le } x$
unfolding *rank-lt-def* *rank-eq-def* *rank-le-def* **by** *auto*

25.4.4 Sequencing basis elements

definition

place :: 'a *compact-basis* \Rightarrow *nat*

where

place *x* = *card* (*rank-lt* *x*) + *choose-pos* (*rank-eq* *x*) *x*

lemma *place-bounded*: *place* *x* < *card* (*rank-le* *x*)

unfolding *place-def*

apply (*rule* *ord-less-eq-trans*)
apply (*rule* *add-strict-left-mono*)
apply (*rule* *choose-pos-bounded*)
apply (*rule* *finite-rank-eq*)
apply (*simp* *add: rank-eq-def*)
apply (*subst* *card-Un-disjoint* [*symmetric*])
apply (*rule* *finite-rank-lt*)
apply (*rule* *finite-rank-eq*)
apply (*rule* *rank-lt-Int-rank-eq*)
apply (*simp* *add: rank-lt-Un-rank-eq*)
done

lemma *place-ge*: $\text{card} (\text{rank-lt } x) \leq \text{place } x$

unfolding *place-def* **by** *simp*

lemma *place-rank-mono*:

fixes *x y* :: 'a *compact-basis*

shows $\text{rank } x < \text{rank } y \implies \text{place } x < \text{place } y$

apply (*rule* *less-le-trans* [*OF* *place-bounded*])

apply (*rule* *order-trans* [*OF* - *place-ge*])

apply (*rule* *card-mono*)

apply (*rule* *finite-rank-lt*)

apply (*simp* *add: rank-le-def rank-lt-def subset-eq*)

done

lemma *place-eqD*: $\text{place } x = \text{place } y \implies x = y$

apply (*rule* *linorder-cases* [**where** *x=rank* *x* **and** *y=rank* *y*])

apply (*drule* *place-rank-mono*, *simp*)


```

apply (simp add: place-def)
apply (rule inj-on-choose-pos [where A=rank-eq x, THEN inj-onD])
  apply (rule finite-rank-eq)
  apply (simp cong: rank-lt-cong rank-eq-cong)
  apply (simp add: rank-eq-def)
apply (simp add: rank-eq-def)
apply (erule place-rank-mono, simp)
done

```

```

lemma inj-place: inj place
by (rule inj-onI, erule place-eqD)

```

25.4.5 Embedding and projection on basis elements

definition

```

sub :: 'a compact-basis  $\Rightarrow$  'a compact-basis

```

where

```

sub x = (case rank x of 0  $\Rightarrow$  compact-bot | Suc k  $\Rightarrow$  cb-take k x)

```

lemma rank-sub-less: $x \neq \text{compact-bot} \implies \text{rank } (\text{sub } x) < \text{rank } x$

unfolding sub-def

```

apply (cases rank x, simp)
apply (simp add: less-Suc-eq-le)
apply (rule rank-leI)
apply (rule cb-take-idem)
done

```

lemma place-sub-less: $x \neq \text{compact-bot} \implies \text{place } (\text{sub } x) < \text{place } x$

```

apply (rule place-rank-mono)
apply (erule rank-sub-less)
done

```

lemma sub-below: $\text{sub } x \sqsubseteq x$

unfolding sub-def **by** (cases rank x, simp-all add: cb-take-less)

lemma rank-less-imp-below-sub: $\llbracket x \sqsubseteq y; \text{rank } x < \text{rank } y \rrbracket \implies x \sqsubseteq \text{sub } y$

unfolding sub-def

```

apply (cases rank y, simp)
apply (simp add: less-Suc-eq-le)
apply (subgoal-tac cb-take nat x  $\sqsubseteq$  cb-take nat y)
apply (simp add: rank-leD)
apply (erule cb-take-mono)
done

```

function

```

basis-emb :: 'a compact-basis  $\Rightarrow$  ubasis

```

where

```

basis-emb x = (if x = compact-bot then 0 else
  node (place x) (basis-emb (sub x)))

```

```

      (basis-emb ‘ {y. place y < place x ∧ x ⊆ y}))
by auto

termination basis-emb
apply (relation measure place, simp)
apply (simp add: place-sub-less)
apply simp
done

declare basis-emb.simps [simp del]

lemma basis-emb-compact-bot [simp]: basis-emb compact-bot = 0
by (simp add: basis-emb.simps)

lemma fin1: finite {y. place y < place x ∧ x ⊆ y}
apply (subst Collect-conj-eq)
apply (rule finite-Int)
apply (rule disjI1)
apply (subgoal-tac finite (place - ‘ {n. n < place x}), simp)
apply (rule finite-vimageI [OF inj-place])
apply (simp add: lessThan-def [symmetric])
done

lemma fin2: finite (basis-emb ‘ {y. place y < place x ∧ x ⊆ y})
by (rule finite-imageI [OF fin1])

lemma rank-place-mono:
  [[place x < place y; x ⊆ y]] ⇒ rank x < rank y
apply (rule linorder-cases, assumption)
apply (simp add: place-def cong: rank-lt-cong rank-eq-cong)
apply (drule choose-pos-lessD)
apply (rule finite-rank-eq)
apply (simp add: rank-eq-def)
apply (simp add: rank-eq-def)
apply simp
apply (drule place-rank-mono, simp)
done

lemma basis-emb-mono:
  x ⊆ y ⇒ ubasis-le (basis-emb x) (basis-emb y)
proof (induct max (place x) (place y) arbitrary: x y rule: less-induct)
case less
show ?case proof (rule linorder-cases)
  assume place x < place y
  then have rank x < rank y
    using ⟨x ⊆ y⟩ by (rule rank-place-mono)
  with ⟨place x < place y⟩ show ?case
    apply (case-tac y = compact-bot, simp)
    apply (simp add: basis-emb.simps [of y])

```

```

  apply (rule ubasis-le-trans [OF - ubasis-le-lower [OF fin2]])
  apply (rule less)
  apply (simp add: less-max-iff-disj)
  apply (erule place-sub-less)
  apply (erule rank-less-imp-below-sub [OF ⟨x ⊆ y⟩])
  done
next
  assume place x = place y
  hence x = y by (rule place-eqD)
  thus ?case by (simp add: ubasis-le-refl)
next
  assume place x > place y
  with ⟨x ⊆ y⟩ show ?case
  apply (case-tac x = compact-bot, simp add: ubasis-le-minimal)
  apply (simp add: basis-emb.simps [of x])
  apply (rule ubasis-le-upper [OF fin2], simp)
  apply (rule less)
  apply (simp add: less-max-iff-disj)
  apply (erule place-sub-less)
  apply (erule rev-below-trans)
  apply (rule sub-below)
  done
qed
qed

```

```

lemma inj-basis-emb: inj basis-emb
  apply (rule inj-onI)
  apply (case-tac x = compact-bot)
  apply (case-tac [!] y = compact-bot)
  apply simp
  apply (simp add: basis-emb.simps)
  apply (simp add: basis-emb.simps)
  apply (simp add: basis-emb.simps)
  apply (simp add: fin2 inj-eq [OF inj-place])
done

```

definition

basis-prj :: *ubasis* \Rightarrow 'a *compact-basis*

where

basis-prj *x* = *inv basis-emb*

(*ubasis-until* ($\lambda x. x \in \text{range } (\text{basis-emb} :: \text{'a compact-basis} \Rightarrow \text{ubasis})$) *x*)

lemma *basis-prj-basis-emb*: $\bigwedge x. \text{basis-prj } (\text{basis-emb } x) = x$

unfolding *basis-prj-def*

```

  apply (subst ubasis-until-same)
  apply (rule rangeI)
  apply (rule inv-f-f)
  apply (rule inj-basis-emb)
done

```

lemma *basis-prj-node*:

$\llbracket \text{finite } S; \text{ node } i \text{ a } S \notin \text{range (basis-emb :: 'a compact-basis} \Rightarrow \text{nat)} \rrbracket$
 $\implies \text{basis-prj (node } i \text{ a } S) = (\text{basis-prj } a \text{ :: 'a compact-basis})$

unfolding *basis-prj-def* **by** *simp*

lemma *basis-prj-0*: *basis-prj 0 = compact-bot*

apply (*subst basis-emb-compact-bot [symmetric]*)

apply (*rule basis-prj-basis-emb*)

done

lemma *node-eq-basis-emb-iff*:

$\text{finite } S \implies \text{node } i \text{ a } S = \text{basis-emb } x \iff$
 $x \neq \text{compact-bot} \wedge i = \text{place } x \wedge a = \text{basis-emb (sub } x) \wedge$
 $S = \text{basis-emb } \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}$

apply (*cases x = compact-bot, simp*)

apply (*simp add: basis-emb.simps [of x]*)

apply (*simp add: fin2*)

done

lemma *basis-prj-mono*: *ubasis-le a b \implies basis-prj a \sqsubseteq basis-prj b*

proof (*induct a b rule: ubasis-le.induct*)

case (*ubasis-le-refl a*) **show** *?case by (rule below-refl)*

next

case (*ubasis-le-trans a b c*) **thus** *?case by – (rule below-trans)*

next

case (*ubasis-le-lower S a i*) **thus** *?case*

apply (*cases node i a S \in range (basis-emb :: 'a compact-basis \Rightarrow nat)*)

apply (*erule rangeE, rename-tac x*)

apply (*simp add: basis-prj-basis-emb*)

apply (*simp add: node-eq-basis-emb-iff*)

apply (*simp add: basis-prj-basis-emb*)

apply (*rule sub-below*)

apply (*simp add: basis-prj-node*)

done

next

case (*ubasis-le-upper S b a i*) **thus** *?case*

apply (*cases node i a S \in range (basis-emb :: 'a compact-basis \Rightarrow nat)*)

apply (*erule rangeE, rename-tac x*)

apply (*simp add: basis-prj-basis-emb*)

apply (*clarsimp simp add: node-eq-basis-emb-iff*)

apply (*simp add: basis-prj-basis-emb*)

apply (*simp add: basis-prj-node*)

done

qed

lemma *basis-emb-prj-less*: *ubasis-le (basis-emb (basis-prj x)) x*

unfolding *basis-prj-def*

apply (*subst f-inv-into-f [where f=basis-emb]*)

```

apply (rule ubasis-until)
apply (rule range-eqI [where x=compact-bot])
apply simp
apply (rule ubasis-until-less)
done

```

lemma *ideal-completion*:

ideal-completion below Rep-compact-basis (approximants :: 'a ⇒ -)

proof

fix $w :: 'a$

show *below.ideal* (*approximants* w)

proof (rule *below.idealI*)

have *Abs-compact-basis* (*approx* $0 \cdot w$) \in *approximants* w

by (*simp add: approximants-def approx-below*)

thus $\exists x. x \in$ *approximants* w ..

next

fix $x y :: 'a$ *compact-basis*

assume $x : x \in$ *approximants* w **and** $y : y \in$ *approximants* w

obtain i **where** $i : \text{approx } i \cdot (\text{Rep-compact-basis } x) = \text{Rep-compact-basis } x$

using *compact-eq-approx Rep-compact-basis'* **by** *fast*

obtain j **where** $j : \text{approx } j \cdot (\text{Rep-compact-basis } y) = \text{Rep-compact-basis } y$

using *compact-eq-approx Rep-compact-basis'* **by** *fast*

let $?z = \text{Abs-compact-basis } (\text{approx } (\max i j) \cdot w)$

have $?z \in$ *approximants* w

by (*simp add: approximants-def approx-below*)

moreover from $x y$ **have** $x \sqsubseteq ?z \wedge y \sqsubseteq ?z$

by (*simp add: approximants-def compact-le-def*)

(*metis i j monofun-cfun chain-mono chain-approx max.cobounded1 max.cobounded2*)

ultimately show $\exists z \in$ *approximants* $w. x \sqsubseteq z \wedge y \sqsubseteq z$..

next

fix $x y :: 'a$ *compact-basis*

assume $x \sqsubseteq y$ $y \in$ *approximants* w **thus** $x \in$ *approximants* w

unfolding *approximants-def compact-le-def*

by (*auto elim: below-trans*)

qed

next

fix $Y :: \text{nat} \Rightarrow 'a$

assume *chain* Y

thus *approximants* $(\bigsqcup i. Y i) = (\bigcup i. \text{approximants } (Y i))$

unfolding *approximants-def*

by (*auto simp add: compact-below-lub-iff*)

next

fix $a :: 'a$ *compact-basis*

show *approximants* (*Rep-compact-basis* a) = $\{b. b \sqsubseteq a\}$

unfolding *approximants-def compact-le-def* ..

next

fix $x y :: 'a$

assume *approximants* $x \subseteq$ *approximants* y

hence $\forall z. \text{compact } z \longrightarrow z \sqsubseteq x \longrightarrow z \sqsubseteq y$

```

    by (simp add: approximants-def subset-eq)
      (metis Abs-compact-basis-inverse')
  hence ( $\bigsqcup i. \text{approx } i \cdot x$ )  $\sqsubseteq$   $y$ 
    by (simp add: lub-below approx-below)
  thus  $x \sqsubseteq y$ 
    by (simp add: lub-distrib)
next
  show  $\exists f :: 'a \text{ compact-basis} \Rightarrow \text{nat. inj } f$ 
    by (rule exI, rule inj-place)
qed

end

interpretation compact-basis:
  ideal-completion below Rep-compact-basis
  approximants :: 'a::bifinite  $\Rightarrow$  'a compact-basis set
proof -
  obtain  $a :: \text{nat} \Rightarrow 'a \rightarrow 'a$  where approx-chain  $a$ 
    using bifinite ..
  hence bifinite-approx-chain  $a$ 
    unfolding bifinite-approx-chain-def .
  thus ideal-completion below Rep-compact-basis (approximants :: 'a  $\Rightarrow$  -)
    by (rule bifinite-approx-chain.ideal-completion)
qed

```

25.4.6 EP-pair from any bifinite domain into *u_{dom}*

context *bifinite-approx-chain* **begin**

definition

u_{dom-emb} :: 'a \rightarrow *u_{dom}*

where

u_{dom-emb} = *compact-basis.extension* ($\lambda x. \text{u_{dom-principal} (basis-emb } x)$)

definition

u_{dom-prj} :: *u_{dom}* \rightarrow 'a

where

u_{dom-prj} = *u_{dom.extension}* ($\lambda x. \text{Rep-compact-basis (basis-prj } x)$)

lemma *u_{dom-emb-principal}*:

u_{dom-emb}·(*Rep-compact-basis* x) = *u_{dom-principal}* (*basis-emb* x)

unfolding *u_{dom-emb-def}*

apply (*rule compact-basis.extension-principal*)

apply (*rule u_{dom.principal-mono}*)

apply (*erule basis-emb-mono*)

done

lemma *u_{dom-prj-principal}*:

u_{dom-prj}·(*u_{dom-principal}* x) = *Rep-compact-basis* (*basis-prj* x)

```

unfolding udom-prj-def
apply (rule udom.extension-principal)
apply (rule compact-basis.principal-mono)
apply (erule basis-prj-mono)
done

```

```

lemma ep-pair-udom: ep-pair udom-emb udom-prj
apply standard
apply (rule compact-basis.principal-induct, simp)
apply (simp add: udom-emb-principal udom-prj-principal)
apply (simp add: basis-prj-basis-emb)
apply (rule udom.principal-induct, simp)
apply (simp add: udom-emb-principal udom-prj-principal)
apply (rule basis-emb-prj-less)
done

```

end

```

abbreviation udom-emb  $\equiv$  bifinite-approx-chain.udom-emb
abbreviation udom-prj  $\equiv$  bifinite-approx-chain.udom-prj

```

```

lemmas ep-pair-udom =
  bifinite-approx-chain.ep-pair-udom [unfolded bifinite-approx-chain-def]

```

25.5 Chain of approx functions for type *udom*

definition

```

udom-approx :: nat  $\Rightarrow$  udom  $\rightarrow$  udom
where
  udom-approx i =
    udom.extension ( $\lambda x. \text{udom-principal } (\text{ubasis-until } (\lambda y. y \leq i) x)$ )

```

lemma *udom-approx-mono*:

```

ubasis-le a b  $\implies$ 
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) a)  $\sqsubseteq$ 
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) b)
apply (rule udom.principal-mono)
apply (rule ubasis-until-mono)
apply (frule (2) order-less-le-trans [OF node-gt2])
apply (erule order-less-imp-le)
apply assumption
done

```

```

lemma adm-mem-finite: [cont f; finite S]  $\implies$  adm ( $\lambda x. f x \in S$ )
by (erule adm-subst, induct set: finite, simp-all)

```

lemma *udom-approx-principal*:

```

udom-approx i.(udom-principal x) =
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) x)

```

```

unfolding udom-approx-def
apply (rule udom.extension-principal)
apply (erule udom-approx-mono)
done

```

lemma *finite-deflation-udom-approx*: *finite-deflation (udom-approx i)*

proof

```

fix x show udom-approx i · (udom-approx i · x) = udom-approx i · x
by (induct x rule: udom.principal-induct, simp)
    (simp add: udom-approx-principal ubasis-until-idem)

```

next

```

fix x show udom-approx i · x ⊆ x
by (induct x rule: udom.principal-induct, simp)
    (simp add: udom-approx-principal ubasis-until-less)

```

next

```

have *: finite (range (λx. udom-principal (ubasis-until (λy. y ≤ i) x)))
apply (subst range-composition [where f=udom-principal])
apply (simp add: finite-range-ubasis-until)
done

```

```

show finite {x. udom-approx i · x = x}
apply (rule finite-range-imp-finite-fixes)
apply (rule rev-finite-subset [OF *])
apply (clarsimp, rename-tac x)
apply (induct-tac x rule: udom.principal-induct)
apply (simp add: adm-mem-finite *)
apply (simp add: udom-approx-principal)
done

```

qed

interpretation *udom-approx*: *finite-deflation udom-approx i*
by (*rule finite-deflation-udom-approx*)

lemma *chain-udom-approx [simp]*: *chain (λi. udom-approx i)*

```

unfolding udom-approx-def
apply (rule chainI)
apply (rule udom.extension-mono)
apply (erule udom-approx-mono)
apply (erule udom-approx-mono)
apply (rule udom.principal-mono)
apply (rule ubasis-until-chain, simp)
done

```

lemma *lub-udom-approx [simp]*: $(\bigsqcup i. \text{udom-approx } i) = ID$

```

apply (rule cfun-eqI, simp add: contlub-cfun-fun)
apply (rule below-antisym)
apply (rule lub-below)
apply (simp)
apply (rule udom-approx.below)
apply (rule-tac x=x in udom.principal-induct)

```



```

apply (simp add: lub-distrib)
apply (rule-tac i=a in below-lub)
apply simp
apply (simp add: udom-approx-principal)
apply (simp add: ubasis-until-same ubasis-le-refl)
done

lemma udom-approx [simp]: approx-chain udom-approx
proof
  show chain (λi. udom-approx i)
    by (rule chain-udom-approx)
  show  $(\bigsqcup i. \text{udom-approx } i) = ID$ 
    by (rule lub-udom-approx)
qed

instance udom :: bifinite
  by standard (fast intro: udom-approx)

hide-const (open) node

end

```

26 Algebraic deflations

```

theory Algebraic
imports Universal Map-Functions
begin

```

```

default-sort bifinite

```

26.1 Type constructor for finite deflations

```

typedef 'a fin-defl = {d::'a → 'a. finite-deflation d}
by (fast intro: finite-deflation-bottom)

```

```

instantiation fin-defl :: (bifinite) below
begin

```

```

definition below-fin-defl-def:
  below  $\equiv \lambda x y. \text{Rep-fin-defl } x \sqsubseteq \text{Rep-fin-defl } y$ 

```

```

instance ..
end

```

```

instance fin-defl :: (bifinite) po
using type-definition-fin-defl below-fin-defl-def
by (rule typedef-po)

```

```

lemma finite-deflation-Rep-fin-defl: finite-deflation (Rep-fin-defl d)

```

using *Rep-fin-defl* **by** *simp*

lemma *deflation-Rep-fin-defl*: *deflation (Rep-fin-defl d)*
using *finite-deflation-Rep-fin-defl*
by (*rule finite-deflation-imp-deflation*)

interpretation *Rep-fin-defl*: *finite-deflation Rep-fin-defl d*
by (*rule finite-deflation-Rep-fin-defl*)

lemma *fin-defl-belowI*:
 $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \implies \text{Rep-fin-defl } b \cdot x = x) \implies a \sqsubseteq b$
unfolding *below-fin-defl-def*
by (*rule Rep-fin-defl.belowI*)

lemma *fin-defl-belowD*:
 $\llbracket a \sqsubseteq b; \text{Rep-fin-defl } a \cdot x = x \rrbracket \implies \text{Rep-fin-defl } b \cdot x = x$
unfolding *below-fin-defl-def*
by (*rule Rep-fin-defl.belowD*)

lemma *fin-defl-eqI*:
 $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \longleftrightarrow \text{Rep-fin-defl } b \cdot x = x) \implies a = b$
apply (*rule below-antisym*)
apply (*rule fin-defl-belowI, simp*)
apply (*rule fin-defl-belowI, simp*)
done

lemma *Rep-fin-defl-mono*: $a \sqsubseteq b \implies \text{Rep-fin-defl } a \sqsubseteq \text{Rep-fin-defl } b$
unfolding *below-fin-defl-def* .

lemma *Abs-fin-defl-mono*:
 $\llbracket \text{finite-deflation } a; \text{finite-deflation } b; a \sqsubseteq b \rrbracket$
 $\implies \text{Abs-fin-defl } a \sqsubseteq \text{Abs-fin-defl } b$
unfolding *below-fin-defl-def*
by (*simp add: Abs-fin-defl-inverse*)

lemma (**in** *finite-deflation*) *compact-belowI*:
assumes $\bigwedge x. \text{compact } x \implies d \cdot x = x \implies f \cdot x = x$ **shows** $d \sqsubseteq f$
by (*rule belowI, rule assms, erule subst, rule compact*)

lemma *compact-Rep-fin-defl [simp]*: *compact (Rep-fin-defl a)*
using *finite-deflation-Rep-fin-defl*
by (*rule finite-deflation-imp-compact*)

26.2 Defining algebraic deflations by ideal completion

typedef $'a \text{ defl} = \{S :: 'a \text{ fin-defl set. below ideal } S\}$
by (*rule below.ex-ideal*)

instantiation *defl* :: (*bifinite*) *below*

begin

definition

$x \sqsubseteq y \longleftrightarrow \text{Rep-defl } x \subseteq \text{Rep-defl } y$

instance ..
end

instance *deft* :: (*bifinite*) *po*
using *type-definition-deft below-deft-def*
by (*rule below.typedef-ideal-po*)

instance *deft* :: (*bifinite*) *cpo*
using *type-definition-deft below-deft-def*
by (*rule below.typedef-ideal-cpo*)

definition

deft-principal :: 'a *fin-deft* \Rightarrow 'a *deft* **where**
deft-principal *t* = *Abs-deft* {*u*. $u \sqsubseteq t$ }

lemma *fin-deft-countable*: $\exists f :: 'a \text{ fin-deft} \Rightarrow \text{nat. inj } f$

proof –

obtain *f* :: 'a *compact-basis* \Rightarrow *nat* **where** *inj-f*: *inj f*
using *compact-basis.countable ..*
have *: $\bigwedge d. \text{finite } (f \text{ 'Rep-compact-basis -' } \{x. \text{Rep-fin-deft } d \cdot x = x\})$
apply (*rule finite-imageI*)
apply (*rule finite-vimageI*)
apply (*rule Rep-fin-deft.finite-fixes*)
apply (*simp add: inj-on-def Rep-compact-basis-inject*)
done
have *range-eq*: *range Rep-compact-basis* = {*x*. *compact x*}
using *type-definition-compact-basis* **by** (*rule type-definition.Rep-range*)
have *inj* ($\lambda d. \text{set-encode}$
(*f* 'Rep-compact-basis -' {*x*. *Rep-fin-deft* *d* · *x* = *x*}))
apply (*rule inj-onI*)
apply (*simp only: set-encode-eq **)
apply (*simp only: inj-image-eq-iff inj-f*)
apply (*drule-tac f=image Rep-compact-basis in arg-cong*)
apply (*simp del: vimage-Collect-eq add: range-eq set-eq-iff*)
apply (*rule Rep-fin-deft-inject [THEN iffD1]*)
apply (*rule below-antisym*)
apply (*rule Rep-fin-deft.compact-belowI, rename-tac z*)
apply (*drule-tac x=z in spec, simp*)
apply (*rule Rep-fin-deft.compact-belowI, rename-tac z*)
apply (*drule-tac x=z in spec, simp*)
done

thus *?thesis* **by** – (*rule exI*)

qed

interpretation *defl*: *ideal-completion below defl-principal Rep-defl*
using *type-definition-defl below-defl-def*
using *defl-principal-def fin-defl-countable*
by (*rule below.typedef-ideal-completion*)

Algebraic deflations are pointed

lemma *defl-minimal*: *defl-principal (Abs-fin-defl \perp) \sqsubseteq x*
apply (*induct x rule: defl.principal-induct, simp*)
apply (*rule defl.principal-mono*)
apply (*simp add: below-fin-defl-def*)
apply (*simp add: Abs-fin-defl-inverse finite-deflation-bottom*)
done

instance *defl* :: (*bifinite*) *pcpo*
by *intro-classes (fast intro: defl-minimal)*

lemma *inst-defl-pcpo*: $\perp = \text{defl-principal (Abs-fin-defl } \perp)$
by (*rule defl-minimal [THEN bottomI, symmetric]*)

26.3 Applying algebraic deflations

definition

cast :: $'a \text{ defl} \rightarrow 'a \rightarrow 'a$

where

cast = *defl.extension Rep-fin-defl*

lemma *cast-defl-principal*:

cast.(defl-principal a) = Rep-fin-defl a

unfolding *cast-def*

apply (*rule defl.extension-principal*)

apply (*simp only: below-fin-defl-def*)

done

lemma *deflation-cast*: *deflation (cast.d)*

apply (*induct d rule: defl.principal-induct*)

apply (*rule adm-subst [OF - adm-deflation], simp*)

apply (*simp add: cast-defl-principal*)

apply (*rule finite-deflation-imp-deflation*)

apply (*rule finite-deflation-Rep-fin-defl*)

done

lemma *finite-deflation-cast*:

compact d \implies finite-deflation (cast.d)

apply (*drule defl.compact-imp-principal, clarify*)

apply (*simp add: cast-defl-principal*)

apply (*rule finite-deflation-Rep-fin-defl*)

done

interpretation *cast*: *deflation cast.d*

by (rule deflation-cast)

declare *cast.idem* [simp]

lemma *compact-cast* [simp]: $\text{compact } d \implies \text{compact } (\text{cast} \cdot d)$
 apply (rule *finite-deflation-imp-compact*)
 apply (erule *finite-deflation-cast*)
 done

lemma *cast-below-cast*: $\text{cast} \cdot A \sqsubseteq \text{cast} \cdot B \iff A \sqsubseteq B$
 apply (induct A rule: *defl.principal-induct*, simp)
 apply (induct B rule: *defl.principal-induct*, simp)
 apply (simp add: *cast-defl-principal below-fin-defl-def*)
 done

lemma *compact-cast-iff*: $\text{compact } (\text{cast} \cdot d) \iff \text{compact } d$
 apply (rule *iffI*)
 apply (simp only: *compact-def cast-below-cast [symmetric]*)
 apply (erule *adm-subst [OF cont-Rep-cfun2]*)
 apply (erule *compact-cast*)
 done

lemma *cast-below-imp-below*: $\text{cast} \cdot A \sqsubseteq \text{cast} \cdot B \implies A \sqsubseteq B$
 by (simp only: *cast-below-cast*)

lemma *cast-eq-imp-eq*: $\text{cast} \cdot A = \text{cast} \cdot B \implies A = B$
 by (simp add: *below-antisym cast-below-imp-below*)

lemma *cast-strict1* [simp]: $\text{cast} \cdot \perp = \perp$
 apply (subst *inst-defl-pcpo*)
 apply (subst *cast-defl-principal*)
 apply (rule *Abs-fin-defl-inverse*)
 apply (simp add: *finite-deflation-bottom*)
 done

lemma *cast-strict2* [simp]: $\text{cast} \cdot A \cdot \perp = \perp$
 by (rule *cast.below [THEN bottomI]*)

26.4 Deflation combinators

definition

$$\begin{aligned} \text{defl-fun1 } e \text{ } p \text{ } f = & \\ & \text{defl.extension } (\lambda a. \\ & \text{defl-principal } (\text{Abs-fin-defl} \\ & (e \text{ oo } f \cdot (\text{Rep-fin-defl } a) \text{ oo } p))) \end{aligned}$$

definition

$$\begin{aligned} \text{defl-fun2 } e \text{ } p \text{ } f = & \\ & \text{defl.extension } (\lambda a. \end{aligned}$$

defl.extension ($\lambda b.$
defl-principal (*Abs-fin-defl*
 ($e \circ f \cdot (\text{Rep-fin-defl } a) \cdot (\text{Rep-fin-defl } b) \circ p$))))

lemma *cast-defl-fun1*:

assumes *ep*: *ep-pair* *e p*

assumes *f*: $\bigwedge a. \text{finite-deflation } a \implies \text{finite-deflation } (f \cdot a)$

shows $\text{cast} \cdot (\text{defl-fun1 } e \ p \ f \cdot A) = e \circ f \cdot (\text{cast} \cdot A) \circ p$

proof –

have 1: $\bigwedge a. \text{finite-deflation } (e \circ f \cdot (\text{Rep-fin-defl } a) \circ p)$

apply (*rule* *ep-pair.finite-deflation-e-d-p* [*OF ep*])

apply (*rule* *f*, *rule* *finite-deflation-Rep-fin-defl*)

done

show *?thesis*

by (*induct* *A* *rule*: *defl.principal-induct*, *simp*)

(*simp only*: *defl-fun1-def*

defl.extension-principal

defl.extension-mono

defl.principal-mono

Abs-fin-defl-mono [*OF 1 1*])

monofun-cfun below-refl

Rep-fin-defl-mono

cast-defl-principal

Abs-fin-defl-inverse [*unfolded mem-Collect-eq*, *OF 1*])

qed

lemma *cast-defl-fun2*:

assumes *ep*: *ep-pair* *e p*

assumes *f*: $\bigwedge a \ b. \text{finite-deflation } a \implies \text{finite-deflation } b \implies$
 $\text{finite-deflation } (f \cdot a \cdot b)$

shows $\text{cast} \cdot (\text{defl-fun2 } e \ p \ f \cdot A \cdot B) = e \circ f \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \circ p$

proof –

have 1: $\bigwedge a \ b. \text{finite-deflation}$

($e \circ f \cdot (\text{Rep-fin-defl } a) \cdot (\text{Rep-fin-defl } b) \circ p$)

apply (*rule* *ep-pair.finite-deflation-e-d-p* [*OF ep*])

apply (*rule* *f*, (*rule* *finite-deflation-Rep-fin-defl*) $+$)

done

show *?thesis*

apply (*induct* *A* *rule*: *defl.principal-induct*, *simp*)

apply (*induct* *B* *rule*: *defl.principal-induct*, *simp*)

by (*simp only*: *defl-fun2-def*

defl.extension-principal

defl.extension-mono

defl.principal-mono

Abs-fin-defl-mono [*OF 1 1*])

monofun-cfun below-refl

Rep-fin-defl-mono

cast-defl-principal

Abs-fin-defl-inverse [*unfolded mem-Collect-eq*, *OF 1*])

qed

end

27 Representable domains

theory *Representable*

imports *Algebraic Map-Functions* ~~/src/HOL/Library/Countable

begin

default-sort *cpo*

27.1 Class of representable domains

We define a “domain” as a pcpo that is isomorphic to some algebraic deflation over the universal domain; this is equivalent to being omega-bifinite.

A predomain is a cpo that, when lifted, becomes a domain. Predomains are represented by deflations over a lifted universal domain type.

```
class predomain-syn = cpo +
  fixes liftemb :: 'a⊥ → udom⊥
  fixes liftprj :: udom⊥ → 'a⊥
  fixes liftdefl :: 'a itself ⇒ udom u defl
```

```
class predomain = predomain-syn +
  assumes predomain-ep: ep-pair liftemb liftprj
  assumes cast-liftdefl: cast·(liftdefl TYPE('a)) = liftemb oo liftprj
```

```
syntax -LIFTDEFL :: type ⇒ logic ((1LIFTDEFL/1'(-)'))
translations LIFTDEFL('t) ⇔ CONST liftdefl TYPE('t)
```

```
definition liftdefl-of :: udom defl → udom u defl
  where liftdefl-of = defl-fun1 ID ID u-map
```

```
lemma cast-liftdefl-of: cast·(liftdefl-of·t) = u-map·(cast·t)
by (simp add: liftdefl-of-def cast-defl-fun1 ep-pair-def finite-deflation-u-map)
```

```
class domain = predomain-syn + pcpo +
  fixes emb :: 'a → udom
  fixes prj :: udom → 'a
  fixes defl :: 'a itself ⇒ udom defl
  assumes ep-pair-emb-prj: ep-pair emb prj
  assumes cast-DEFL: cast·(defl TYPE('a)) = emb oo prj
  assumes liftemb-eq: liftemb = u-map·emb
  assumes liftprj-eq: liftprj = u-map·prj
  assumes liftdefl-eq: liftdefl TYPE('a) = liftdefl-of·(defl TYPE('a))
```

```
syntax -DEFL :: type ⇒ logic ((1DEFL/1'(-)'))
translations DEFL('t) ⇔ CONST defl TYPE('t)
```

```

instance domain  $\subseteq$  predomain
proof
  show ep-pair liftemb (liftprj::udom $\perp$   $\rightarrow$  'a $\perp$ )
    unfolding liftemb-eq liftprj-eq
    by (intro ep-pair-u-map ep-pair-emb-prj)
  show cast.LIFTDEFL('a) = liftemb oo (liftprj::udom $\perp$   $\rightarrow$  'a $\perp$ )
    unfolding liftemb-eq liftprj-eq liftdefl-eq
    by (simp add: cast-liftdefl-of cast-DEFL u-map-oo)
qed

```

Constants *liftemb* and *liftprj* imply class *predomain*.

```

setup (
  fold Sign.add-const-constraint
  [(@{const-name liftemb}, SOME @ {typ 'a::predomain u  $\rightarrow$  udom u}),
   (@{const-name liftprj}, SOME @ {typ udom u  $\rightarrow$  'a::predomain u}),
   (@{const-name liftdefl}, SOME @ {typ 'a::predomain itself  $\Rightarrow$  udom u defl})]
)

```

```

interpretation predomain: pcpo-ep-pair liftemb liftprj
  unfolding pcpo-ep-pair-def by (rule predomain-ep)

```

```

interpretation domain: pcpo-ep-pair emb prj
  unfolding pcpo-ep-pair-def by (rule ep-pair-emb-prj)

```

```

lemmas emb-inverse = domain.e-inverse
lemmas emb-prj-below = domain.e-p-below
lemmas emb-eq-iff = domain.e-eq-iff
lemmas emb-strict = domain.e-strict
lemmas prj-strict = domain.p-strict

```

27.2 Domains are bifinite

```

lemma approx-chain-ep-cast:
  assumes ep: ep-pair (e::'a::pcpo  $\rightarrow$  'b::bifinite) (p::'b  $\rightarrow$  'a)
  assumes cast-t: cast $\cdot$ t = e oo p
  shows  $\exists$ (a::nat  $\Rightarrow$  'a::pcpo  $\rightarrow$  'a). approx-chain a
proof –
  interpret ep-pair e p by fact
  obtain Y where Y:  $\forall i. Y\ i \sqsubseteq Y\ (Suc\ i)$ 
  and t: t = ( $\bigsqcup$  i. defl-principal (Y i))
    by (rule defl.obtain-principal-chain)
  def approx  $\equiv$   $\lambda i. (p\ oo\ cast\cdot(defl-principal\ (Y\ i))\ oo\ e) :: 'a \rightarrow 'a$ 
  have approx-chain approx
proof (rule approx-chain.intro)
  show chain ( $\lambda i. approx\ i$ )
    unfolding approx-def by (simp add: Y)
  show ( $\bigsqcup$  i. approx i) = ID
    unfolding approx-def

```



```

    by (simp add: lub-distrib Y t [symmetric] cast-t cfun-eq-iff)
  show  $\bigwedge i.$  finite-deflation (approx i)
    unfolding approx-def
    apply (rule finite-deflation-p-d-e)
    apply (rule finite-deflation-cast)
    apply (rule defl.compact-principal)
    apply (rule below-trans [OF monofun-cfun-fun])
    apply (rule is-ub-the lub, simp add: Y)
    apply (simp add: lub-distrib Y t [symmetric] cast-t)
  done
qed
thus  $\exists (a::nat \Rightarrow 'a \rightarrow 'a).$  approx-chain a by - (rule exI)
qed

```

```

instance domain  $\subseteq$  bifinite
by standard (rule approx-chain-ep-cast [OF ep-pair-emb-prj cast-DEFL])

```

```

instance predomain  $\subseteq$  profinite
by standard (rule approx-chain-ep-cast [OF predomain-ep cast-liftdefl])

```

27.3 Universal domain ep-pairs

definition $u\text{-emb} = \text{udom-emb } (\lambda i. u\text{-map} \cdot (\text{udom-approx } i))$

definition $u\text{-prj} = \text{udom-prj } (\lambda i. u\text{-map} \cdot (\text{udom-approx } i))$

definition $\text{prod-emb} = \text{udom-emb } (\lambda i. \text{prod-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{prod-prj} = \text{udom-prj } (\lambda i. \text{prod-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{sprod-emb} = \text{udom-emb } (\lambda i. \text{sprod-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{sprod-prj} = \text{udom-prj } (\lambda i. \text{sprod-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{ssum-emb} = \text{udom-emb } (\lambda i. \text{ssum-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{ssum-prj} = \text{udom-prj } (\lambda i. \text{ssum-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{sfun-emb} = \text{udom-emb } (\lambda i. \text{sfun-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

definition $\text{sfun-prj} = \text{udom-prj } (\lambda i. \text{sfun-map} \cdot (\text{udom-approx } i) \cdot (\text{udom-approx } i))$

lemma $\text{ep-pair-u}: \text{ep-pair } u\text{-emb } u\text{-prj}$

unfolding $u\text{-emb-def } u\text{-prj-def}$

by (simp add: ep-pair-udom approx-chain-u-map)

lemma $\text{ep-pair-prod}: \text{ep-pair } \text{prod-emb } \text{prod-prj}$

unfolding $\text{prod-emb-def } \text{prod-prj-def}$

by (*simp add: ep-pair-udom approx-chain-prod-map*)

lemma *ep-pair-sprod*: *ep-pair sprod-emb sprod-prj*
unfolding *sprod-emb-def sprod-prj-def*
 by (*simp add: ep-pair-udom approx-chain-sprod-map*)

lemma *ep-pair-ssum*: *ep-pair ssum-emb ssum-prj*
unfolding *ssum-emb-def ssum-prj-def*
 by (*simp add: ep-pair-udom approx-chain-ssum-map*)

lemma *ep-pair-sfun*: *ep-pair sfun-emb sfun-prj*
unfolding *sfun-emb-def sfun-prj-def*
 by (*simp add: ep-pair-udom approx-chain-sfun-map*)

27.4 Type combinators

definition *u-defl* :: *udom defl* → *udom defl*
 where *u-defl* = *defl-fun1 u-emb u-prj u-map*

definition *prod-defl* :: *udom defl* → *udom defl* → *udom defl*
 where *prod-defl* = *defl-fun2 prod-emb prod-prj prod-map*

definition *sprod-defl* :: *udom defl* → *udom defl* → *udom defl*
 where *sprod-defl* = *defl-fun2 sprod-emb sprod-prj sprod-map*

definition *ssum-defl* :: *udom defl* → *udom defl* → *udom defl*
 where *ssum-defl* = *defl-fun2 ssum-emb ssum-prj ssum-map*

definition *sfun-defl* :: *udom defl* → *udom defl* → *udom defl*
 where *sfun-defl* = *defl-fun2 sfun-emb sfun-prj sfun-map*

lemma *cast-u-defl*:
 $cast \cdot (u\text{-defl} \cdot A) = u\text{-emb} \circ u\text{-map} \cdot (cast \cdot A) \circ u\text{-prj}$
using *ep-pair-u finite-deflation-u-map*
unfolding *u-defl-def* **by** (*rule cast-defl-fun1*)

lemma *cast-prod-defl*:
 $cast \cdot (prod\text{-defl} \cdot A \cdot B) =$
 $prod\text{-emb} \circ prod\text{-map} \cdot (cast \cdot A) \cdot (cast \cdot B) \circ prod\text{-prj}$
using *ep-pair-prod finite-deflation-prod-map*
unfolding *prod-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-sprod-defl*:
 $cast \cdot (sprod\text{-defl} \cdot A \cdot B) =$
 $sprod\text{-emb} \circ sprod\text{-map} \cdot (cast \cdot A) \cdot (cast \cdot B) \circ sprod\text{-prj}$
using *ep-pair-sprod finite-deflation-sprod-map*
unfolding *sprod-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-ssum-defl*:

$cast \cdot (ssum\text{-}defl \cdot A \cdot B) =$
 $ssum\text{-}emb \text{ oo } ssum\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \text{ oo } ssum\text{-}prj$
using *ep-pair-ssum finite-deflation-ssum-map*
unfolding *ssum-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-sfun-defl*:

$cast \cdot (sfun\text{-}defl \cdot A \cdot B) =$
 $sfun\text{-}emb \text{ oo } sfun\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \text{ oo } sfun\text{-}prj$
using *ep-pair-sfun finite-deflation-sfun-map*
unfolding *sfun-defl-def* **by** (*rule cast-defl-fun2*)

Special deflation combinator for unpointed types.

definition *u-liftdefl* :: $u\text{dom } u \text{ defl} \rightarrow u\text{dom } defl$
where $u\text{-liftdefl} = defl\text{-fun1 } u\text{-emb } u\text{-prj } ID$

lemma *cast-u-liftdefl*:

$cast \cdot (u\text{-liftdefl} \cdot A) = u\text{-emb } \text{oo} \text{ cast} \cdot A \text{ oo } u\text{-prj}$
unfolding *u-liftdefl-def* **by** (*simp add: cast-defl-fun1 ep-pair-u*)

lemma *u-liftdefl-liftdefl-of*:

$u\text{-liftdefl} \cdot (liftdefl\text{-of} \cdot A) = u\text{-defl} \cdot A$
by (*rule cast-eq-imp-eq*)
(simp add: cast-u-liftdefl cast-liftdefl-of cast-u-defl)

27.5 Class instance proofs

27.5.1 Universal domain

instantiation *u-dom* :: *domain*
begin

definition [*simp*]:
 $emb = (ID :: u\text{dom} \rightarrow u\text{dom})$

definition [*simp*]:
 $prj = (ID :: u\text{dom} \rightarrow u\text{dom})$

definition

$defl (t :: u\text{dom } itself) = (\bigsqcup i. defl\text{-principal } (Abs\text{-fin}\text{-defl } (u\text{dom}\text{-approx } i)))$

definition

$(liftemb :: u\text{dom } u \rightarrow u\text{dom } u) = u\text{-map} \cdot emb$

definition

$(liftprj :: u\text{dom } u \rightarrow u\text{dom } u) = u\text{-map} \cdot prj$

definition

$liftdefl (t :: u\text{dom } itself) = liftdefl\text{-of} \cdot DEFL(u\text{dom})$

instance proof

```

show ep-pair emb (prj :: udom → udom)
  by (simp add: ep-pair.intro)
show cast.DEFL(udom) = emb oo (prj :: udom → udom)
  unfolding defl-udom-def
  apply (subst contlub-cfun-arg)
  apply (rule chainI)
  apply (rule defl.principal-mono)
  apply (simp add: below-fin-defl-def)
  apply (simp add: Abs-fin-defl-inverse finite-deflation-udom-approx)
  apply (rule chainE)
  apply (rule chain-udom-approx)
  apply (subst cast-defl-principal)
  apply (simp add: Abs-fin-defl-inverse finite-deflation-udom-approx)
  done
qed (fact liftemb-udom-def liftprj-udom-def liftdefl-udom-def)+

end

```

27.5.2 Lifted cpo

```

instantiation u :: (predomain) domain
begin

```

definition

```
emb = u-emb oo liftemb
```

definition

```
prj = liftprj oo u-prj
```

definition

```
defl (t::'a u u itself) = u-liftdefl.LIFTDEFL('a)
```

definition

```
(liftemb :: 'a u u → udom u) = u-map.emb
```

definition

```
(liftprj :: udom u → 'a u u) = u-map.prj
```

definition

```
liftdefl (t::'a u u itself) = liftdefl-of.DEFL('a u)
```

instance proof

```

show ep-pair emb (prj :: udom → 'a u)
  unfolding emb-u-def prj-u-def
  by (intro ep-pair-comp ep-pair-u predomain-ep)
show cast.DEFL('a u) = emb oo (prj :: udom → 'a u)
  unfolding emb-u-def prj-u-def defl-u-def
  by (simp add: cast-u-liftdefl cast-liftdefl assoc-oo)
qed (fact liftemb-u-def liftprj-u-def liftdefl-u-def)+

```

end

lemma *DEFL-u*: $DEFL('a::predomain\ u) = u\text{-liftdefl}\cdot LIFTDEFL('a)$
by (*rule defl-u-def*)

27.5.3 Strict function space

instantiation *sfun* :: (*domain*, *domain*) *domain*
begin

definition

$emb = sfun\text{-}emb\ oo\ sfun\text{-}map\cdot prj\cdot emb$

definition

$prj = sfun\text{-}map\cdot emb\cdot prj\ oo\ sfun\text{-}prj$

definition

$defl\ (t::('a \rightarrow! 'b)\ itself) = sfun\text{-}defl\cdot DEFL('a)\cdot DEFL('b)$

definition

$(liftemb :: ('a \rightarrow! 'b)\ u \rightarrow u\text{dom}\ u) = u\text{-}map\cdot emb$

definition

$(liftprj :: u\text{dom}\ u \rightarrow ('a \rightarrow! 'b)\ u) = u\text{-}map\cdot prj$

definition

$liftdefl\ (t::('a \rightarrow! 'b)\ itself) = liftdefl\text{-}of\cdot DEFL('a \rightarrow! 'b)$

instance proof

show *ep-pair emb* (*prj* :: $u\text{dom} \rightarrow 'a \rightarrow! 'b$)

unfolding *emb-sfun-def prj-sfun-def*

by (*intro ep-pair-comp ep-pair-sfun ep-pair-sfun-map ep-pair-emb-prj*)

show *cast-DEFL('a →! 'b) = emb oo (prj :: udom → 'a →! 'b)*

unfolding *emb-sfun-def prj-sfun-def defl-sfun-def cast-sfun-defl*

by (*simp add: cast-DEFL oo-def sfun-eq-iff sfun-map-map*)

qed (*fact liftemb-sfun-def liftprj-sfun-def liftdefl-sfun-def*)⁺

end

lemma *DEFL-sfun*:

$DEFL('a::domain \rightarrow! 'b::domain) = sfun\text{-}defl\cdot DEFL('a)\cdot DEFL('b)$

by (*rule defl-sfun-def*)

27.5.4 Continuous function space

instantiation *cfun* :: (*predomain*, *domain*) *domain*
begin

definition

$emb = emb \text{ oo } encode\text{-}cfun$

definition

$prj = decode\text{-}cfun \text{ oo } prj$

definition

$defl (t :: ('a \rightarrow 'b) \text{ itself}) = DEFL('a \text{ u } \rightarrow! 'b)$

definition

$(liftemb :: ('a \rightarrow 'b) \text{ u } \rightarrow \text{ udom } u) = u\text{-map} \cdot emb$

definition

$(liftprj :: \text{ udom } u \rightarrow ('a \rightarrow 'b) \text{ u}) = u\text{-map} \cdot prj$

definition

$liftdefl (t :: ('a \rightarrow 'b) \text{ itself}) = liftdefl\text{-of} \cdot DEFL('a \rightarrow 'b)$

instance proof

have $ep\text{-pair} \text{ encode}\text{-}cfun \text{ decode}\text{-}cfun$

by $(rule \text{ ep}\text{-pair}.\text{intro}, \text{simp}\text{-all})$

thus $ep\text{-pair} \text{ emb} (prj :: \text{ udom } \rightarrow 'a \rightarrow 'b)$

unfolding $emb\text{-}cfun\text{-def} \text{ prj}\text{-}cfun\text{-def}$

using $ep\text{-pair}\text{-emb}\text{-prj}$ **by** $(rule \text{ ep}\text{-pair}\text{-comp})$

show $cast \cdot DEFL('a \rightarrow 'b) = emb \text{ oo } (prj :: \text{ udom } \rightarrow 'a \rightarrow 'b)$

unfolding $emb\text{-}cfun\text{-def} \text{ prj}\text{-}cfun\text{-def} \text{ defl}\text{-}cfun\text{-def}$

by $(\text{simp add: cast}\text{-}DEFL \text{ cfcomp1})$

qed $(fact \text{ liftemb}\text{-}cfun\text{-def} \text{ liftprj}\text{-}cfun\text{-def} \text{ liftdefl}\text{-}cfun\text{-def}) +$

end

lemma $DEFL\text{-}cfun$:

$DEFL('a :: \text{predomain} \rightarrow 'b :: \text{domain}) = DEFL('a \text{ u } \rightarrow! 'b)$

by $(rule \text{ defl}\text{-}cfun\text{-def})$

27.5.5 Strict product

instantiation $sprod :: (\text{domain}, \text{domain}) \text{ domain}$

begin

definition

$emb = sprod\text{-emb} \text{ oo } sprod\text{-map} \cdot emb \cdot emb$

definition

$prj = sprod\text{-map} \cdot prj \cdot prj \text{ oo } sprod\text{-prj}$

definition

$defl (t :: ('a \otimes 'b) \text{ itself}) = sprod\text{-defl} \cdot DEFL('a) \cdot DEFL('b)$

definition

$(\text{liftemb} :: ('a \otimes 'b) u \rightarrow \text{udom } u) = u\text{-map}\cdot\text{emb}$

definition

$(\text{liftprj} :: \text{udom } u \rightarrow ('a \otimes 'b) u) = u\text{-map}\cdot\text{prj}$

definition

$\text{liftdefl} (t :: ('a \otimes 'b) \text{ itself}) = \text{liftdefl}\cdot\text{of}\cdot\text{DEFL}('a \otimes 'b)$

instance proof

show $\text{ep-pair emb} (\text{prj} :: \text{udom} \rightarrow 'a \otimes 'b)$
unfolding $\text{emb-sprod-def prj-sprod-def}$
by $(\text{intro ep-pair-comp ep-pair-sprod ep-pair-sprod-map ep-pair-emb-prj})$
show $\text{cast}\cdot\text{DEFL}('a \otimes 'b) = \text{emb oo} (\text{prj} :: \text{udom} \rightarrow 'a \otimes 'b)$
unfolding $\text{emb-sprod-def prj-sprod-def defl-sprod-def cast-sprod-defl}$
by $(\text{simp add: cast-DEFL oo-def cfun-eq-iff sprod-map-map})$
qed $(\text{fact liftemb-sprod-def liftprj-sprod-def liftdefl-sprod-def})+$

end**lemma** *DEFL-sprod*:

$\text{DEFL}('a :: \text{domain} \otimes 'b :: \text{domain}) = \text{sprod-defl}\cdot\text{DEFL}('a)\cdot\text{DEFL}('b)$
by $(\text{rule defl-sprod-def})$

27.5.6 Cartesian product**definition** *prod-liftdefl* :: $\text{udom } u \text{ defl} \rightarrow \text{udom } u \text{ defl} \rightarrow \text{udom } u \text{ defl}$

where $\text{prod-liftdefl} = \text{defl-fun2} (\text{u-map}\cdot\text{prod-emb oo decode-prod-u})$
 $(\text{encode-prod-u oo u-map}\cdot\text{prod-prj}) \text{ sprod-map}$

lemma *cast-prod-liftdefl*:

$\text{cast}\cdot(\text{prod-liftdefl}\cdot a\cdot b) =$
 $(\text{u-map}\cdot\text{prod-emb oo decode-prod-u}) \text{ oo sprod-map}\cdot(\text{cast}\cdot a)\cdot(\text{cast}\cdot b) \text{ oo}$
 $(\text{encode-prod-u oo u-map}\cdot\text{prod-prj})$

unfolding *prod-liftdefl-def***apply** $(\text{rule cast-defl-fun2})$ **apply** $(\text{intro ep-pair-comp ep-pair-u-map ep-pair-prod})$ **apply** $(\text{simp add: ep-pair.intro})$ **apply** $(\text{erule } (1) \text{ finite-deflation-sprod-map})$ **done****instantiation** *prod* :: $(\text{predomain}, \text{predomain}) \text{ predomain}$ **begin****definition**

$\text{liftemb} = (\text{u-map}\cdot\text{prod-emb oo decode-prod-u}) \text{ oo}$
 $(\text{sprod-map}\cdot\text{liftemb}\cdot\text{liftemb oo encode-prod-u})$

definition

$\text{liftprj} = (\text{decode-prod-u oo sprod-map}\cdot\text{liftprj}\cdot\text{liftprj}) \text{ oo}$

(*encode-prod-u oo u-map-prod-prj*)

definition

liftdefl (t::('a × 'b) itself) = prod-liftdefl·LIFTDEFL('a)·LIFTDEFL('b)

instance proof

show ep-pair liftemb (liftprj :: udom u → ('a × 'b) u)
unfolding liftemb-prod-def liftprj-prod-def
by (intro ep-pair-comp ep-pair-sprod-map ep-pair-u-map
ep-pair-prod predomain-ep, simp-all add: ep-pair.intro)
show cast·LIFTDEFL('a × 'b) = liftemb oo (liftprj :: udom u → ('a × 'b) u)
unfolding liftemb-prod-def liftprj-prod-def liftdefl-prod-def
by (simp add: cast-prod-liftdefl cast-liftdefl ccomp1 sprod-map-map)

qed

end

instantiation *prod :: (domain, domain) domain*
begin

definition

emb = prod-emb oo prod-map-emb-emb

definition

prj = prod-map-prj-prj oo prod-prj

definition

defl (t::('a × 'b) itself) = prod-defl·DEFL('a)·DEFL('b)

instance proof

show 1: ep-pair emb (prj :: udom → 'a × 'b)
unfolding emb-prod-def prj-prod-def
by (intro ep-pair-comp ep-pair-prod ep-pair-prod-map ep-pair-emb-prj)
show 2: cast·DEFL('a × 'b) = emb oo (prj :: udom → 'a × 'b)
unfolding emb-prod-def prj-prod-def defl-prod-def cast-prod-defl
by (simp add: cast-DEFL oo-def cfun-eq-iff prod-map-map)
show 3: liftemb = u-map·(emb :: 'a × 'b → udom)
unfolding emb-prod-def liftemb-prod-def liftemb-eq
unfolding encode-prod-u-def decode-prod-u-def
by (rule cfun-eqI, case-tac x, simp, clarsimp)
show 4: liftprj = u-map·(prj :: udom → 'a × 'b)
unfolding prj-prod-def liftprj-prod-def liftprj-eq
unfolding encode-prod-u-def decode-prod-u-def
apply (rule cfun-eqI, case-tac x, simp)
apply (rename-tac y, case-tac prod-prj·y, simp)
done
show 5: LIFTDEFL('a × 'b) = liftdefl-of·DEFL('a × 'b)
by (rule cast-eq-imp-eq)
(simp add: cast-liftdefl cast-liftdefl-of cast-DEFL 2 3 4 u-map-oo)

qed

end

lemma *DEFL-prod*:

$DEFL('a::domain \times 'b::domain) = prod-defl \cdot DEFL('a) \cdot DEFL('b)$
by (*rule defl-prod-def*)

lemma *LIFTDEFL-prod*:

$LIFTDEFL('a::predomain \times 'b::predomain) =$
 $prod-liftdefl \cdot LIFTDEFL('a) \cdot LIFTDEFL('b)$
by (*rule liftdefl-prod-def*)

27.5.7 Unit type

instantiation *unit* :: *domain*
begin

definition

$emb = (\perp :: unit \rightarrow udom)$

definition

$prj = (\perp :: udom \rightarrow unit)$

definition

$defl (t::unit\ itself) = \perp$

definition

$(liftemb :: unit\ u \rightarrow udom\ u) = u-map \cdot emb$

definition

$(liftprj :: udom\ u \rightarrow unit\ u) = u-map \cdot prj$

definition

$liftdefl (t::unit\ itself) = liftdefl-of \cdot DEFL(unit)$

instance proof

show *ep-pair* *emb* (*prj* :: *udom* → *unit*)

unfolding *emb-unit-def* *prj-unit-def*

by (*simp add: ep-pair.intro*)

show *cast*·*DEFL*(*unit*) = *emb* oo (*prj* :: *udom* → *unit*)

unfolding *emb-unit-def* *prj-unit-def* *defl-unit-def* **by** *simp*

qed (*fact liftemb-unit-def liftprj-unit-def liftdefl-unit-def*)+

end

27.5.8 Discrete cpo

instantiation *discr* :: (*countable*) *predomain*
begin

definition

$$(liftemb :: 'a \text{ discr } u \rightarrow udom \ u) = strictify \cdot up \ oo \ udom \text{-emb} \ \text{discr} \text{-approx}$$
definition

$$(liftprj :: udom \ u \rightarrow 'a \ \text{discr} \ u) = udom \text{-prj} \ \text{discr} \text{-approx} \ oo \ fup \cdot ID$$
definition

$$liftdefl \ (t :: 'a \ \text{discr} \ \text{itself}) =$$

$$(\sqcup i. \ \text{defl} \text{-principal} \ (\text{Abs} \text{-fin} \text{-defl} \ (liftemb \ oo \ \text{discr} \text{-approx} \ i \ oo \ (liftprj :: udom \ u \rightarrow 'a \ \text{discr} \ u))))$$
instance proof

$$\text{show } 1: \ \text{ep} \text{-pair} \ liftemb \ (liftprj :: udom \ u \rightarrow 'a \ \text{discr} \ u)$$

$$\text{unfolding } liftemb \text{-discr} \text{-def} \ liftprj \text{-discr} \text{-def}$$

$$\text{apply } (\text{intro} \ \text{ep} \text{-pair} \text{-comp} \ \text{ep} \text{-pair} \text{-udom} \ [OF \ \text{discr} \text{-approx}])$$

$$\text{apply } (\text{rule} \ \text{ep} \text{-pair} \cdot \text{intro})$$

$$\text{apply } (\text{simp} \ \text{add}: \ \text{strictify} \text{-conv} \text{-if})$$

$$\text{apply } (\text{case} \text{-tac} \ y, \ \text{simp}, \ \text{simp} \ \text{add}: \ \text{strictify} \text{-conv} \text{-if})$$

$$\text{done}$$

$$\text{show } \text{cast} \cdot LIFTDEFL('a \ \text{discr}) = liftemb \ oo \ (liftprj :: udom \ u \rightarrow 'a \ \text{discr} \ u)$$

$$\text{unfolding } liftdefl \text{-discr} \text{-def}$$

$$\text{apply } (\text{subst} \ \text{contlub} \text{-c} \text{fun} \text{-arg})$$

$$\text{apply } (\text{rule} \ \text{chainI})$$

$$\text{apply } (\text{rule} \ \text{defl} \cdot \text{principal} \text{-mono})$$

$$\text{apply } (\text{simp} \ \text{add}: \ \text{below} \text{-fin} \text{-defl} \text{-def})$$

$$\text{apply } (\text{simp} \ \text{add}: \ \text{Abs} \text{-fin} \text{-defl} \text{-inverse}$$

$$\ \ \ \ \ \text{ep} \text{-pair} \cdot \text{finite} \text{-deflation} \text{-e} \text{-d} \text{-p} \ [OF \ 1]$$

$$\ \ \ \ \ \text{approx} \text{-chain} \cdot \text{finite} \text{-deflation} \text{-approx} \ [OF \ \text{discr} \text{-approx}])$$

$$\text{apply } (\text{intro} \ \text{monofun} \text{-c} \text{fun} \ \text{below} \text{-refl})$$

$$\text{apply } (\text{rule} \ \text{chainE})$$

$$\text{apply } (\text{rule} \ \text{chain} \text{-discr} \text{-approx})$$

$$\text{apply } (\text{subst} \ \text{cast} \text{-defl} \text{-principal})$$

$$\text{apply } (\text{simp} \ \text{add}: \ \text{Abs} \text{-fin} \text{-defl} \text{-inverse}$$

$$\ \ \ \ \ \text{ep} \text{-pair} \cdot \text{finite} \text{-deflation} \text{-e} \text{-d} \text{-p} \ [OF \ 1]$$

$$\ \ \ \ \ \text{approx} \text{-chain} \cdot \text{finite} \text{-deflation} \text{-approx} \ [OF \ \text{discr} \text{-approx}])$$

$$\text{apply } (\text{simp} \ \text{add}: \ \text{lub} \text{-distrib})$$

$$\text{done}$$

$$\text{qed}$$

$$\text{end}$$
27.5.9 Strict sum

$$\text{instantiation } ssum :: (\text{domain}, \ \text{domain}) \ \text{domain}$$

$$\text{begin}$$
definition

$$\text{emb} = ssum \text{-emb} \ oo \ ssum \text{-map} \cdot \text{emb} \cdot \text{emb}$$

definition

$$prj = ssum\text{-map} \cdot prj \cdot prj \text{ oo } ssum\text{-prj}$$
definition

$$defl (t :: ('a \oplus 'b) \text{ itself}) = ssum\text{-defl} \cdot DEFL('a) \cdot DEFL('b)$$
definition

$$(liftemb :: ('a \oplus 'b) u \rightarrow udom\ u) = u\text{-map} \cdot emb$$
definition

$$(liftprj :: udom\ u \rightarrow ('a \oplus 'b) u) = u\text{-map} \cdot prj$$
definition

$$liftdefl (t :: ('a \oplus 'b) \text{ itself}) = liftdefl\text{-of} \cdot DEFL('a \oplus 'b)$$
instance proof

```

show ep-pair emb (prj :: udom → 'a ⊕ 'b)
  unfolding emb-ssum-def prj-ssum-def
  by (intro ep-pair-comp ep-pair-ssum ep-pair-ssum-map ep-pair-emb-prj)
show cast·DEFL('a ⊕ 'b) = emb oo (prj :: udom → 'a ⊕ 'b)
  unfolding emb-ssum-def prj-ssum-def defl-ssum-def cast-ssum-def
  by (simp add: cast-DEFL oo-def cfun-eq-iff ssum-map-map)
qed (fact liftemb-ssum-def liftprj-ssum-def liftdefl-ssum-def)+

```

end

lemma DEFL-ssum:

$$DEFL('a :: domain \oplus 'b :: domain) = ssum\text{-defl} \cdot DEFL('a) \cdot DEFL('b)$$

by (rule defl-ssum-def)

27.5.10 Lifted HOL type
instantiation lift :: (countable) domain

begin

definition

$$emb = emb \text{ oo } (\Lambda x. \text{Rep-lift } x)$$
definition

$$prj = (\Lambda y. \text{Abs-lift } y) \text{ oo } prj$$
definition

$$defl (t :: 'a \text{ lift } \text{ itself}) = DEFL('a \text{ discr } u)$$
definition

$$(liftemb :: 'a \text{ lift } u \rightarrow udom\ u) = u\text{-map} \cdot emb$$
definition

$(\text{liftprj} :: \text{udom } u \rightarrow 'a \text{ lift } u) = u\text{-map}\cdot\text{prj}$

definition

$\text{liftdefl } (t :: 'a \text{ lift itself}) = \text{liftdefl-of}\cdot\text{DEFL}('a \text{ lift})$

instance proof

note $[\text{simp}] = \text{cont-Rep-lift cont-Abs-lift Rep-lift-inverse Abs-lift-inverse}$

have $\text{ep-pair } (\Lambda(x :: 'a \text{ lift}). \text{Rep-lift } x) (\Lambda y. \text{Abs-lift } y)$

by $(\text{simp add: ep-pair-def})$

thus $\text{ep-pair emb } (\text{prj} :: \text{udom} \rightarrow 'a \text{ lift})$

unfolding $\text{emb-lift-def prj-lift-def}$

using ep-pair-emb-prj **by** $(\text{rule ep-pair-comp})$

show $\text{cast}\cdot\text{DEFL}('a \text{ lift}) = \text{emb oo } (\text{prj} :: \text{udom} \rightarrow 'a \text{ lift})$

unfolding $\text{emb-lift-def prj-lift-def defl-lift-def cast-DEFL}$

by $(\text{simp add: cfcomp1})$

qed $(\text{fact liftemb-lift-def liftprj-lift-def liftdefl-lift-def})+$

end

end

28 Domain package support

theory *Domain-Aux*

imports *Map-Functions Fixrec*

begin

28.1 Continuous isomorphisms

A locale for continuous isomorphisms

locale *iso* =

fixes $\text{abs} :: 'a \rightarrow 'b$

fixes $\text{rep} :: 'b \rightarrow 'a$

assumes $\text{abs-iso } [\text{simp}]: \text{rep}\cdot(\text{abs}\cdot x) = x$

assumes $\text{rep-iso } [\text{simp}]: \text{abs}\cdot(\text{rep}\cdot y) = y$

begin

lemma *swap: iso rep abs*

by $(\text{rule iso.intro } [\text{OF rep-iso abs-iso}])$

lemma *abs-below: (abs·x ⊑ abs·y) = (x ⊑ y)*

proof

assume $\text{abs}\cdot x \sqsubseteq \text{abs}\cdot y$

then have $\text{rep}\cdot(\text{abs}\cdot x) \sqsubseteq \text{rep}\cdot(\text{abs}\cdot y)$ **by** $(\text{rule monofun-cfun-arg})$

then show $x \sqsubseteq y$ **by** *simp*

next

assume $x \sqsubseteq y$

then show $\text{abs}\cdot x \sqsubseteq \text{abs}\cdot y$ **by** $(\text{rule monofun-cfun-arg})$

qed

lemma *rep-below*: $(rep \cdot x \sqsubseteq rep \cdot y) = (x \sqsubseteq y)$
 by (*rule iso.abs-below* [*OF swap*])

lemma *abs-eq*: $(abs \cdot x = abs \cdot y) = (x = y)$
 by (*simp add: po-eq-conv abs-below*)

lemma *rep-eq*: $(rep \cdot x = rep \cdot y) = (x = y)$
 by (*rule iso.abs-eq* [*OF swap*])

lemma *abs-strict*: $abs \cdot \perp = \perp$

proof –

have $\perp \sqsubseteq rep \cdot \perp$..

then have $abs \cdot \perp \sqsubseteq abs \cdot (rep \cdot \perp)$ by (*rule monofun-cfun-arg*)

then have $abs \cdot \perp \sqsubseteq \perp$ by *simp*

then show *?thesis* by (*rule bottomI*)

qed

lemma *rep-strict*: $rep \cdot \perp = \perp$
 by (*rule iso.abs-strict* [*OF swap*])

lemma *abs-defin'*: $abs \cdot x = \perp \implies x = \perp$

proof –

have $x = rep \cdot (abs \cdot x)$ by *simp*

also assume $abs \cdot x = \perp$

also note *rep-strict*

finally show $x = \perp$.

qed

lemma *rep-defin'*: $rep \cdot z = \perp \implies z = \perp$
 by (*rule iso.abs-defin'* [*OF swap*])

lemma *abs-defined*: $z \neq \perp \implies abs \cdot z \neq \perp$
 by (*erule contrapos-nn, erule abs-defin'*)

lemma *rep-defined*: $z \neq \perp \implies rep \cdot z \neq \perp$
 by (*rule iso.abs-defined* [*OF iso.swap*]) (*rule iso-axioms*)

lemma *abs-bottom-iff*: $(abs \cdot x = \perp) = (x = \perp)$
 by (*auto elim: abs-defin' intro: abs-strict*)

lemma *rep-bottom-iff*: $(rep \cdot x = \perp) = (x = \perp)$
 by (*rule iso.abs-bottom-iff* [*OF iso.swap*]) (*rule iso-axioms*)

lemma *casedist-rule*: $rep \cdot x = \perp \vee P \implies x = \perp \vee P$
 by (*simp add: rep-bottom-iff*)

lemma *compact-abs-rev*: $compact (abs \cdot x) \implies compact x$

```

proof (unfold compact-def)
  assume adm ( $\lambda y. \text{abs}\cdot x \sqsubseteq y$ )
  with cont-Rep-cfun2
  have adm ( $\lambda y. \text{abs}\cdot x \sqsubseteq \text{abs}\cdot y$ ) by (rule adm-subst)
  then show adm ( $\lambda y. x \sqsubseteq y$ ) using abs-below by simp
qed

lemma compact-rep-rev: compact (rep·x)  $\implies$  compact x
  by (rule iso.compact-abs-rev [OF iso.swap]) (rule iso-axioms)

lemma compact-abs: compact x  $\implies$  compact (abs·x)
  by (rule compact-rep-rev) simp

lemma compact-rep: compact x  $\implies$  compact (rep·x)
  by (rule iso.compact-abs [OF iso.swap]) (rule iso-axioms)

lemma iso-swap: (x = abs·y) = (rep·x = y)
proof
  assume x = abs·y
  then have rep·x = rep·(abs·y) by simp
  then show rep·x = y by simp
next
  assume rep·x = y
  then have abs·(rep·x) = abs·y by simp
  then show x = abs·y by simp
qed

end

```

28.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

```

lemma deflation-abs-rep:
  fixes abs and rep and d
  assumes abs-iso:  $\bigwedge x. \text{rep}\cdot(\text{abs}\cdot x) = x$ 
  assumes rep-iso:  $\bigwedge y. \text{abs}\cdot(\text{rep}\cdot y) = y$ 
  shows deflation d  $\implies$  deflation (abs oo d oo rep)
by (rule ep-pair.deflation-e-d-p) (simp add: ep-pair.intro assms)

lemma deflation-chain-min:
  assumes chain: chain d
  assumes defl:  $\bigwedge n. \text{deflation } (d\ n)$ 
  shows d m·(d n·x) = d (min m n)·x
proof (rule linorder-le-cases)
  assume m  $\leq$  n
  with chain have d m  $\sqsubseteq$  d n by (rule chain-mono)
  then have d m·(d n·x) = d m·x

```

by (rule deflation-below-comp1 [OF defl defl])
 moreover from $\langle m \leq n \rangle$ have $\min m n = m$ by simp
 ultimately show ?thesis by simp
 next
 assume $n \leq m$
 with chain have $d n \sqsubseteq d m$ by (rule chain-mono)
 then have $d m \cdot (d n \cdot x) = d n \cdot x$
 by (rule deflation-below-comp2 [OF defl defl])
 moreover from $\langle n \leq m \rangle$ have $\min m n = n$ by simp
 ultimately show ?thesis by simp
 qed

lemma *lub-ID-take-lemma*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 assumes $\bigwedge n. t n \cdot x = t n \cdot y$ shows $x = y$
proof –
 have $(\bigsqcup n. t n \cdot x) = (\bigsqcup n. t n \cdot y)$
 using *assms*(3) by simp
 then have $(\bigsqcup n. t n) \cdot x = (\bigsqcup n. t n) \cdot y$
 using *assms*(1) by (simp add: lub-distrib)

then show $x = y$
 using *assms*(2) by simp
 qed

lemma *lub-ID-reach*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 shows $(\bigsqcup n. t n \cdot x) = x$
 using *assms* by (simp add: lub-distrib)

lemma *lub-ID-take-induct*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 assumes *adm* P and $\bigwedge n. P (t n \cdot x)$ shows $P x$
proof –
 from $\langle \text{chain } t \rangle$ have chain $(\lambda n. t n \cdot x)$ by simp
 from $\langle \text{adm } P \rangle$ this $\langle \bigwedge n. P (t n \cdot x) \rangle$ have $P (\bigsqcup n. t n \cdot x)$ by (rule *admD*)
 with $\langle \text{chain } t \rangle$ $\langle (\bigsqcup n. t n) = ID \rangle$ show $P x$ by (simp add: lub-distrib)
 qed

28.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

definition

decisive :: $('a :: \text{pcpo} \rightarrow 'a) \Rightarrow \text{bool}$

where

decisive $d \iff (\forall x. d \cdot x = x \vee d \cdot x = \perp)$

lemma *decisiveI*: $(\bigwedge x. d \cdot x = x \vee d \cdot x = \perp) \implies \text{decisive } d$

unfolding *decisive-def* **by** *simp*

lemma *decisive-cases*:

assumes *decisive d* **obtains** $d \cdot x = x \mid d \cdot x = \perp$
using *assms* **unfolding** *decisive-def* **by** *auto*

lemma *decisive-bottom*: *decisive* \perp

unfolding *decisive-def* **by** *simp*

lemma *decisive-ID*: *decisive* *ID*

unfolding *decisive-def* **by** *simp*

lemma *decisive-ssum-map*:

assumes *f*: *decisive* *f*
assumes *g*: *decisive* *g*
shows *decisive* (*ssum-map*·*f*·*g*)
apply (*rule* *decisiveI*, *rename-tac* *s*)
apply (*case-tac* *s*, *simp-all*)
apply (*rule-tac* $x=x$ **in** *decisive-cases* [*OF* *f*], *simp-all*)
apply (*rule-tac* $x=y$ **in** *decisive-cases* [*OF* *g*], *simp-all*)
done

lemma *decisive-sprod-map*:

assumes *f*: *decisive* *f*
assumes *g*: *decisive* *g*
shows *decisive* (*sprod-map*·*f*·*g*)
apply (*rule* *decisiveI*, *rename-tac* *s*)
apply (*case-tac* *s*, *simp-all*)
apply (*rule-tac* $x=x$ **in** *decisive-cases* [*OF* *f*], *simp-all*)
apply (*rule-tac* $x=y$ **in** *decisive-cases* [*OF* *g*], *simp-all*)
done

lemma *decisive-abs-rep*:

fixes *abs rep*
assumes *iso*: *iso* *abs rep*
assumes *d*: *decisive* *d*
shows *decisive* (*abs oo d oo rep*)
apply (*rule* *decisiveI*)
apply (*rule-tac* $x=rep \cdot x$ **in** *decisive-cases* [*OF* *d*])
apply (*simp* *add*: *iso.rep-iso* [*OF* *iso*])
apply (*simp* *add*: *iso.abs-strict* [*OF* *iso*])
done

lemma *lub-ID-finite*:

assumes *chain*: *chain* *d*
assumes *lub*: $(\bigsqcup n. d \ n) = ID$
assumes *decisive*: $\bigwedge n. \text{decisive } (d \ n)$
shows $\exists n. d \ n \cdot x = x$
proof –

have 1: *chain* ($\lambda n. d\ n \cdot x$) **using** *chain* **by** *simp*
have 2: $(\bigsqcup n. d\ n \cdot x) = x$ **using** *chain lub* **by** (*rule lub-ID-reach*)
have $\forall n. d\ n \cdot x = x \vee d\ n \cdot x = \perp$
using *decisive unfolding decisive-def* **by** *simp*
hence *range* ($\lambda n. d\ n \cdot x$) $\subseteq \{x, \perp\}$
by *auto*
hence *finite* (*range* ($\lambda n. d\ n \cdot x$))
by (*rule finite-subset, simp*)
with 1 **have** *finite-chain* ($\lambda n. d\ n \cdot x$)
by (*rule finite-range-imp-finch*)
then **have** $\exists n. (\bigsqcup n. d\ n \cdot x) = d\ n \cdot x$
unfolding *finite-chain-def* **by** (*auto simp add: maxinch-is-thelub*)
with 2 **show** $\exists n. d\ n \cdot x = x$ **by** (*auto elim: sym*)
qed

lemma *lub-ID-finite-take-induct*:
assumes *chain* *d* **and** $(\bigsqcup n. d\ n) = ID$ **and** $\bigwedge n. \text{decisive } (d\ n)$
shows $(\bigwedge n. P\ (d\ n \cdot x)) \implies P\ x$
using *lub-ID-finite [OF assms]* **by** *metis*

28.4 Proofs about constructor functions

Lemmas for proving nchotomy rule:

lemma *ex-one-bottom-iff*:
 $(\exists x. P\ x \wedge x \neq \perp) = P\ ONE$
by *simp*

lemma *ex-up-bottom-iff*:
 $(\exists x. P\ x \wedge x \neq \perp) = (\exists x. P\ (up \cdot x))$
by (*safe, case-tac x, auto*)

lemma *ex-sprod-bottom-iff*:
 $(\exists y. P\ y \wedge y \neq \perp) =$
 $(\exists x\ y. (P\ (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$
by (*safe, case-tac y, auto*)

lemma *ex-sprod-up-bottom-iff*:
 $(\exists y. P\ y \wedge y \neq \perp) =$
 $(\exists x\ y. P\ (:up \cdot x, y:) \wedge y \neq \perp)$
by (*safe, case-tac y, simp, case-tac x, auto*)

lemma *ex-ssum-bottom-iff*:
 $(\exists x. P\ x \wedge x \neq \perp) =$
 $((\exists x. P\ (sinl \cdot x) \wedge x \neq \perp) \vee$
 $(\exists x. P\ (sinr \cdot x) \wedge x \neq \perp))$
by (*safe, case-tac x, auto*)

lemma *exh-start*: $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$
by *auto*

lemmas *ex-bottom-iffs* =
ex-ssum-bottom-iff
ex-sprod-up-bottom-iff
ex-sprod-bottom-iff
ex-up-bottom-iff
ex-one-bottom-iff

Rules for turning nchotomy into exhaust:

lemma *exh-casedist0*: $\llbracket R; R \implies P \rrbracket \implies P$
by *auto*

lemma *exh-casedist1*: $((P \vee Q \implies R) \implies S) \equiv (\llbracket P \implies R; Q \implies R \rrbracket \implies S)$
by *rule auto*

lemma *exh-casedist2*: $(\exists x. P x \implies Q) \equiv (\wedge x. P x \implies Q)$
by *rule auto*

lemma *exh-casedist3*: $(P \wedge Q \implies R) \equiv (P \implies Q \implies R)$
by *rule auto*

lemmas *exh-casedists* = *exh-casedist1 exh-casedist2 exh-casedist3*

Rules for proving constructor properties

lemmas *con-strict-rules* =
sinl-strict sinr-strict spair-strict1 spair-strict2

lemmas *con-bottom-iff-rules* =
sinl-bottom-iff sinr-bottom-iff spair-bottom-iff up-defined ONE-defined

lemmas *con-below-iff-rules* =
sinl-below sinr-below sinl-below-sinr sinr-below-sinl con-bottom-iff-rules

lemmas *con-eq-iff-rules* =
sinl-eq sinr-eq sinl-eq-sinr sinr-eq-sinl con-bottom-iff-rules

lemmas *sel-strict-rules* =
cfcomp2 sscase1 sfst-strict ssnd-strict fup1

lemma *sel-app-extra-rules*:
sscase·*ID*· \perp ·(*sinr*·*x*) = \perp
sscase·*ID*· \perp ·(*sinl*·*x*) = *x*
sscase· \perp ·*ID*·(*sinl*·*x*) = \perp
sscase· \perp ·*ID*·(*sinr*·*x*) = *x*
fup·*ID*·(*up*·*x*) = *x*
by (*cases* *x* = \perp , *simp*, *simp*)**+**

lemmas *sel-app-rules* =
sel-strict-rules sel-app-extra-rules

ssnd-spair sfst-spair up-defined spair-defined

lemmas *sel-bottom-iff-rules =*
cfcomp2 sfst-bottom-iff ssnd-bottom-iff

lemmas *take-con-rules =*
ssum-map-sinl' ssum-map-sinr' sprod-map-spair' u-map-up
deflation-strict deflation-ID ID1 cfcomp2

28.5 ML setup

named-theorems *domain-deflation theorems like deflation a ==> deflation (foo-map\$a)*
and *domain-map-ID theorems like foo-map\$ID = ID*

ML-file *Tools/Domain/domain-take-proofs.ML*

ML-file *Tools/cont-consts.ML*

ML-file *Tools/cont-proc.ML*

ML-file *Tools/Domain/domain-constructors.ML*

ML-file *Tools/Domain/domain-induction.ML*

end

29 Domain package

theory *Domain*

imports *Representable Domain-Aux*

keywords

domaindef :: thy-decl and lazy unsafe and

domain-isomorphism domain :: thy-decl

begin

default-sort *domain*

29.1 Representations of types

lemma *emb-prj: emb·((prj·x)::'a) = cast·DEFL('a)·x*
by (*simp add: cast-DEFL*)

lemma *emb-prj-emb:*

fixes *x :: 'a*

assumes *DEFL('a) ⊆ DEFL('b)*

shows *emb·(prj·(emb·x)) :: 'b = emb·x*

unfolding *emb-prj*

apply (*rule cast.belowD*)

apply (*rule monofun-cfun-arg [OF assms]*)

apply (*simp add: cast-DEFL*)

done

lemma *prj-emb-prj:*

```

assumes  $DEFL('a) \sqsubseteq DEFL('b)$ 
shows  $prj \cdot (emb \cdot (prj \cdot x :: 'b)) = (prj \cdot x :: 'a)$ 
apply (rule emb-eq-iff [THEN iffD1])
apply (simp only: emb-prj)
apply (rule deflation-below-comp1)
  apply (rule deflation-cast)
  apply (rule deflation-cast)
apply (rule monofun-cfun-arg [OF assms])
done

```

Isomorphism lemmas used internally by the domain package:

```

lemma domain-abs-iso:
  fixes abs and rep
  assumes  $DEFL: DEFL('b) = DEFL('a)$ 
  assumes  $abs-def: (abs :: 'a \rightarrow 'b) \equiv prj \circ emb$ 
  assumes  $rep-def: (rep :: 'b \rightarrow 'a) \equiv prj \circ emb$ 
  shows  $rep \cdot (abs \cdot x) = x$ 
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

```

lemma domain-rep-iso:
  fixes abs and rep
  assumes  $DEFL: DEFL('b) = DEFL('a)$ 
  assumes  $abs-def: (abs :: 'a \rightarrow 'b) \equiv prj \circ emb$ 
  assumes  $rep-def: (rep :: 'b \rightarrow 'a) \equiv prj \circ emb$ 
  shows  $abs \cdot (rep \cdot x) = x$ 
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

29.2 Deflations as sets

```

definition  $deft-set :: 'a::bifinite defl \Rightarrow 'a set$ 
where  $deft-set A = \{x. cast \cdot A \cdot x = x\}$ 

```

```

lemma adm-deft-set:  $adm (\lambda x. x \in defl-set A)$ 
unfolding deft-set-def by simp

```

```

lemma defl-set-bottom:  $\perp \in defl-set A$ 
unfolding deft-set-def by simp

```

```

lemma defl-set-cast [simp]:  $cast \cdot A \cdot x \in defl-set A$ 
unfolding deft-set-def by simp

```

```

lemma defl-set-subset-iff:  $deft-set A \subseteq defl-set B \longleftrightarrow A \sqsubseteq B$ 
apply (simp add: defl-set-def subset-eq cast-below-cast [symmetric])
apply (auto simp add: cast.belowI cast.belowD)
done

```

29.3 Proving a subtype is representable

Temporarily relax type constraints.

```

setup <
  fold Sign.add-const-constraint
  [ (@{const-name defl}, SOME @typ 'a::pcpo itself ⇒ udom defl)
    , (@{const-name emb}, SOME @typ 'a::pcpo → udom)
    , (@{const-name prj}, SOME @typ udom → 'a::pcpo)
    , (@{const-name liftdefl}, SOME @typ 'a::pcpo itself ⇒ udom u defl)
    , (@{const-name liftemb}, SOME @typ 'a::pcpo u → udom u)
    , (@{const-name liftprj}, SOME @typ udom u → 'a::pcpo u) ]
  >

```

```

lemma typedef-domain-class:
  fixes Rep :: 'a::pcpo ⇒ udom
  fixes Abs :: udom ⇒ 'a::pcpo
  fixes t :: udom defl
  assumes type: type-definition Rep Abs (defl-set t)
  assumes below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
  assumes emb: emb ≡ (λ x. Rep x)
  assumes prj: prj ≡ (λ x. Abs (cast·t·x))
  assumes defl: defl ≡ (λ a::'a itself. t)
  assumes liftemb: (liftemb :: 'a u → udom u) ≡ u-map·emb
  assumes liftprj: (liftprj :: udom u → 'a u) ≡ u-map·prj
  assumes liftdefl: (liftdefl :: 'a itself ⇒ -) ≡ (λt. liftdefl-of·DEFL('a))
  shows OFCLASS('a, domain-class)
proof
  have emb-beta: ∧x. emb·x = Rep x
    unfolding emb
    apply (rule beta-cfun)
    apply (rule typedef-cont-Rep [OF type below adm-defl-set cont-id])
    done
  have prj-beta: ∧y. prj·y = Abs (cast·t·y)
    unfolding prj
    apply (rule beta-cfun)
    apply (rule typedef-cont-Abs [OF type below adm-defl-set])
    apply simp-all
    done
  have prj-emb: ∧x::'a. prj·(emb·x) = x
    using type-definition.Rep [OF type]
    unfolding prj-beta emb-beta defl-set-def
    by (simp add: type-definition.Rep-inverse [OF type])
  have emb-prj: ∧y. emb·(prj·y :: 'a) = cast·t·y
    unfolding prj-beta emb-beta
    by (simp add: type-definition.Abs-inverse [OF type])
  show ep-pair (emb :: 'a → udom) prj
    apply standard
    apply (simp add: prj-emb)
    apply (simp add: emb-prj cast.below)

```

```

done
  show  $cast \cdot DEFL('a) = emb \circ (prj :: udom \rightarrow 'a)$ 
    by (rule cfun-eqI, simp add: defl emb-prj)
qed (simp-all only: liftemb liftprj liftdefl)

```

```

lemma typedef-DEFL:
  assumes  $defl \equiv (\lambda a :: 'a :: pcpo \textit{ itself}. t)$ 
  shows  $DEFL('a :: pcpo) = t$ 
unfolding assms ..

```

Restore original typing constraints.

```

setup (
  fold Sign.add-const-constraint
  [( $\@ \{const-name\} defl$ }, SOME  $\@ \{typ\} 'a :: domain \textit{ itself} \Rightarrow udom\ defl$ }),
  ( $\@ \{const-name\} emb$ }, SOME  $\@ \{typ\} 'a :: domain \rightarrow udom$ }),
  ( $\@ \{const-name\} prj$ }, SOME  $\@ \{typ\} udom \rightarrow 'a :: domain$ }),
  ( $\@ \{const-name\} liftdefl$ }, SOME  $\@ \{typ\} 'a :: predomain \textit{ itself} \Rightarrow udom\ u\ defl$ }),
  ( $\@ \{const-name\} liftemb$ }, SOME  $\@ \{typ\} 'a :: predomain\ u \rightarrow udom\ u$ }),
  ( $\@ \{const-name\} liftprj$ }, SOME  $\@ \{typ\} udom\ u \rightarrow 'a :: predomain\ u$ })]
)

```

ML-file *Tools/domaindef.ML*

29.4 Isomorphic deflations

```

definition isodefl ::  $('a \rightarrow 'a) \Rightarrow udom\ defl \Rightarrow bool$ 
  where  $isodefl\ d\ t \iff cast \cdot t = emb \circ d \circ prj$ 

```

```

definition isodefl' ::  $('a :: predomain \rightarrow 'a) \Rightarrow udom\ u\ defl \Rightarrow bool$ 
  where  $isodefl'\ d\ t \iff cast \cdot t = liftemb \circ u\ \textit{map} \cdot d \circ liftprj$ 

```

```

lemma isodeflI:  $(\bigwedge x. cast \cdot t \cdot x = emb \cdot (d \cdot (prj \cdot x))) \implies isodefl\ d\ t$ 
unfolding isodefl-def by (simp add: cfun-eqI)

```

```

lemma cast-isodefl:  $isodefl\ d\ t \implies cast \cdot t = (\bigwedge x. emb \cdot (d \cdot (prj \cdot x)))$ 
unfolding isodefl-def by (simp add: cfun-eqI)

```

```

lemma isodefl-strict:  $isodefl\ d\ t \implies d \cdot \perp = \perp$ 
unfolding isodefl-def
by (drule cfun-fun-cong [where x= $\perp$ ], simp)

```

```

lemma isodefl-imp-deflation:
  fixes  $d :: 'a \rightarrow 'a$ 
  assumes  $isodefl\ d\ t$  shows deflation d
proof
  note assms [unfolded isodefl-def, simp]
  fix  $x :: 'a$ 
  show  $d \cdot (d \cdot x) = d \cdot x$ 
    using cast.idem [of t emb \cdot x] by simp

```

show $d \cdot x \sqsubseteq x$
using *cast.below* [*of t emb.x*] **by** *simp*
qed

lemma *isodeft-ID-DEFL*: *isodeft* ($ID :: 'a \rightarrow 'a$) *DEFL*('a)
unfolding *isodeft-def* **by** (*simp add: cast-DEFL*)

lemma *isodeft-LIFTDEFL*:
isodeft' ($ID :: 'a \rightarrow 'a$) *LIFTDEFL*('a::predomain)
unfolding *isodeft'-def* **by** (*simp add: cast-liftdefl u-map-ID*)

lemma *isodeft-DEFL-imp-ID*: *isodeft* ($d :: 'a \rightarrow 'a$) *DEFL*('a) $\implies d = ID$
unfolding *isodeft-def*
apply (*simp add: cast-DEFL*)
apply (*simp add: cfun-eq-iff*)
apply (*rule allI*)
apply (*drule-tac x=emb.x in spec*)
apply *simp*
done

lemma *isodeft-bottom*: *isodeft* $\perp \perp$
unfolding *isodeft-def* **by** (*simp add: cfun-eq-iff*)

lemma *adm-isodeft*:
 $cont\ f \implies cont\ g \implies adm\ (\lambda x. isodeft\ (f\ x)\ (g\ x))$
unfolding *isodeft-def* **by** *simp*

lemma *isodeft-lub*:
assumes *chain d and chain t*
assumes $\bigwedge i. isodeft\ (d\ i)\ (t\ i)$
shows $isodeft\ (\bigsqcup i. d\ i)\ (\bigsqcup i. t\ i)$
using *assms* **unfolding** *isodeft-def*
by (*simp add: contlub-cfun-arg contlub-cfun-fun*)

lemma *isodeft-fix*:
assumes $\bigwedge d\ t. isodeft\ d\ t \implies isodeft\ (f \cdot d)\ (g \cdot t)$
shows $isodeft\ (fix \cdot f)\ (fix \cdot g)$
unfolding *fix-def2*
apply (*rule isodeft-lub, simp, simp*)
apply (*induct-tac i*)
apply (*simp add: isodeft-bottom*)
apply (*simp add: assms*)
done

lemma *isodeft-abs-rep*:
fixes *abs and rep and d*
assumes *DEFL*: $DEFL('b) = DEFL('a)$
assumes *abs-def*: $(abs :: 'a \rightarrow 'b) \equiv prj\ oo\ emb$
assumes *rep-def*: $(rep :: 'b \rightarrow 'a) \equiv prj\ oo\ emb$

shows $isodefl\ d\ t \implies isodefl\ (abs\ oo\ d\ oo\ rep)\ t$
unfolding $isodefl-def$
by (*simp add: cfun-eq-iff assms prj-emb-prj emb-prj-emb*)

lemma $isodefl'-liftdefl-of: isodefl\ d\ t \implies isodefl'\ d\ (liftdefl-of\ t)$
unfolding $isodefl-def\ isodefl'-def$
by (*simp add: cast-liftdefl-of u-map-oo liftemb-eq liftprj-eq*)

lemma $isodefl-sfun:$
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (sfun-map\cdot d1\cdot d2)\ (sfun-defl\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-sfun-defl cast-isodefl*)
apply (*simp add: emb-sfun-def prj-sfun-def*)
apply (*simp add: sfun-map-map isodefl-strict*)
done

lemma $isodefl-ssum:$
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (ssum-map\cdot d1\cdot d2)\ (ssum-defl\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-ssum-defl cast-isodefl*)
apply (*simp add: emb-ssum-def prj-ssum-def*)
apply (*simp add: ssum-map-map isodefl-strict*)
done

lemma $isodefl-sprod:$
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (sprod-map\cdot d1\cdot d2)\ (sprod-defl\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-sprod-defl cast-isodefl*)
apply (*simp add: emb-sprod-def prj-sprod-def*)
apply (*simp add: sprod-map-map isodefl-strict*)
done

lemma $isodefl-prod:$
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (prod-map\cdot d1\cdot d2)\ (prod-defl\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-prod-defl cast-isodefl*)
apply (*simp add: emb-prod-def prj-prod-def*)
apply (*simp add: prod-map-map cfcomp1*)
done

lemma $isodefl-u:$
 $isodefl\ d\ t \implies isodefl\ (u-map\cdot d)\ (u-defl\cdot t)$
apply (*rule isodeflI*)
apply (*simp add: cast-u-defl cast-isodefl*)
apply (*simp add: emb-u-def prj-u-def liftemb-eq liftprj-eq u-map-map*)

done

lemma *isodefl-u-liftdefl*:

isodefl' d t \implies *isodefl* (*u-map*·*d*) (*u-liftdefl*·*t*)
apply (*rule isodeflI*)
apply (*simp add: cast-u-liftdefl isodefl'-def*)
apply (*simp add: emb-u-def prj-u-def liftemb-eq liftprj-eq*)
done

lemma *encode-prod-u-map*:

encode-prod-u·(*u-map*·(*prod-map*·*f*·*g*)·(*decode-prod-u*·*x*))
 $=$ *sprod-map*·(*u-map*·*f*)·(*u-map*·*g*)·*x*
unfolding *encode-prod-u-def decode-prod-u-def*
apply (*case-tac x, simp, rename-tac a b*)
apply (*case-tac a, simp, case-tac b, simp, simp*)
done

lemma *isodefl-prod-u*:

assumes *isodefl' d1 t1* **and** *isodefl' d2 t2*
shows *isodefl'* (*prod-map*·*d1*·*d2*) (*prod-liftdefl*·*t1*·*t2*)
using *assms unfolding isodefl'-def*
unfolding *liftemb-prod-def liftprj-prod-def*
by (*simp add: cast-prod-liftdefl cfcomp1 encode-prod-u-map sprod-map-map*)

lemma *encode-cfun-map*:

encode-cfun·(*cfun-map*·*f*·*g*·(*decode-cfun*·*x*))
 $=$ *sfun-map*·(*u-map*·*f*)·*g*·*x*
unfolding *encode-cfun-def decode-cfun-def*
apply (*simp add: sfun-eq-iff cfun-map-def sfun-map-def*)
apply (*rule cfun-eqI, rename-tac y, case-tac y, simp-all*)
done

lemma *isodefl-cfun*:

assumes *isodefl* (*u-map*·*d1*) *t1* **and** *isodefl* *d2 t2*
shows *isodefl* (*cfun-map*·*d1*·*d2*) (*sfun-defl*·*t1*·*t2*)
using *isodefl-sfun [OF assms] unfolding isodefl-def*
by (*simp add: emb-cfun-def prj-cfun-def cfcomp1 encode-cfun-map*)

29.5 Setting up the domain package

named-theorems *domain-defl-simps* *theorems like DEFL('a t) = t-defl\$DEFL('a)*
and *domain-isodefl* *theorems like isodefl d t ==> isodefl (foo-map\$d) (foo-defl\$t)*

ML-file *Tools/Domain/domain-isomorphism.ML*

ML-file *Tools/Domain/domain-axioms.ML*

ML-file *Tools/Domain/domain.ML*

lemmas [*domain-defl-simps*] =

DEFL-cfun DEFL-sfun DEFL-ssum DEFL-sprod DEFL-prod DEFL-u

liftdefl-eq LIFTDEFL-prod u-liftdefl-liftdefl-of

lemmas [*domain-map-ID*] =
cfun-map-ID sfun-map-ID ssum-map-ID sprod-map-ID prod-map-ID u-map-ID

lemmas [*domain-isodefl*] =
isodefl-u isodefl-sfun isodefl-ssum isodefl-sprod
isodefl-cfun isodefl-prod isodefl-prod-u isodefl'-liftdefl-of
isodefl-u-liftdefl

lemmas [*domain-deflation*] =
deflation-cfun-map deflation-sfun-map deflation-ssum-map
deflation-sprod-map deflation-prod-map deflation-u-map

setup ⟨
fold Domain-Take-Proofs.add-rec-type
 [(@{*type-name cfun*}, [true, true]),
 (@{*type-name sfun*}, [true, true]),
 (@{*type-name ssum*}, [true, true]),
 (@{*type-name sprod*}, [true, true]),
 (@{*type-name prod*}, [true, true]),
 (@{*type-name u*}, [true])]
 ⟩

end

30 A compact basis for powerdomains

theory *Compact-Basis*
imports *Universal*
begin

default-sort *bifinite*

30.1 A compact basis for powerdomains

definition *pd-basis* = {*S*::'a compact-basis set. finite *S* ∧ *S* ≠ {}}

typedef 'a *pd-basis* = *pd-basis* :: 'a compact-basis set set
unfolding *pd-basis-def*
apply (*rule-tac* *x*={-} **in** *exI*)
apply *simp*
done

lemma *finite-Rep-pd-basis* [*simp*]: *finite* (*Rep-pd-basis* *u*)
by (*insert Rep-pd-basis* [*of u*, *unfolded pd-basis-def*]) *simp*

lemma *Rep-pd-basis-nonempty* [*simp*]: *Rep-pd-basis* *u* ≠ {}
by (*insert Rep-pd-basis* [*of u*, *unfolded pd-basis-def*]) *simp*

The powerdomain basis type is countable.

lemma *pd-basis-countable*: $\exists f :: 'a \text{ pd-basis} \Rightarrow \text{nat. inj } f$

proof –

obtain $g :: 'a \text{ compact-basis} \Rightarrow \text{nat}$ **where** *inj* g
using *compact-basis.countable* ..
hence *image-g-eq*: $\bigwedge A B. g \text{ ` } A = g \text{ ` } B \longleftrightarrow A = B$
by (*rule inj-image-eq-iff*)
have *inj* ($\lambda t. \text{set-encode } (g \text{ ` } \text{Rep-pd-basis } t)$)
by (*simp add: inj-on-def set-encode-eq image-g-eq Rep-pd-basis-inject*)
thus *?thesis* **by** – (*rule exI*)

qed

30.2 Unit and plus constructors

definition

PDUnit :: $'a \text{ compact-basis} \Rightarrow 'a \text{ pd-basis}$ **where**
PDUnit = ($\lambda x. \text{Abs-pd-basis } \{x\}$)

definition

PDPlus :: $'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis}$ **where**
PDPlus $t \ u = \text{Abs-pd-basis } (\text{Rep-pd-basis } t \cup \text{Rep-pd-basis } u)$

lemma *Rep-PDUnit*:

Rep-pd-basis (*PDUnit* x) = $\{x\}$

unfolding *PDUnit-def* **by** (*rule Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma *Rep-PDPlus*:

Rep-pd-basis (*PDPlus* $u \ v$) = $\text{Rep-pd-basis } u \cup \text{Rep-pd-basis } v$

unfolding *PDPlus-def* **by** (*rule Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma *PDUnit-inject* [*simp*]: (*PDUnit* $a = \text{PDUnit } b$) = ($a = b$)

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDUnit* **by** *simp*

lemma *PDPlus-assoc*: *PDPlus* (*PDPlus* $t \ u$) $v = \text{PDPlus } t \ (\text{PDPlus } u \ v)$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-assoc*)

lemma *PDPlus-commute*: *PDPlus* $t \ u = \text{PDPlus } u \ t$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-commute*)

lemma *PDPlus-absorb*: *PDPlus* $t \ t = t$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-absorb*)

lemma *pd-basis-induct1*:

assumes *PDUnit*: $\bigwedge a. P \ (\text{PDUnit } a)$

assumes *PDPlus*: $\bigwedge a \ t. P \ t \Longrightarrow P \ (\text{PDPlus } (\text{PDUnit } a) \ t)$

shows $P \ x$

apply (*induct* x , *unfold pd-basis-def*, *clarify*)

apply (*erule* (1) *finite-ne-induct*)

```

apply (cut-tac a=x in PDUnit)
apply (simp add: PDUnit-def)
apply (drule-tac a=x in PDPlus)
apply (simp add: PDUnit-def PDPlus-def)
  Abs-pd-basis-inverse [unfolded pd-basis-def])
done

```

```

lemma pd-basis-induct:
  assumes PDUnit:  $\bigwedge a. P (PDUnit a)$ 
  assumes PDPlus:  $\bigwedge t u. [[P t; P u]] \implies P (PDPlus t u)$ 
  shows P x
apply (induct x rule: pd-basis-induct1)
apply (rule PDUnit, erule PDPlus [OF PDUnit])
done

```

30.3 Fold operator

definition

```

fold-pd ::
  ('a compact-basis  $\Rightarrow$  'b::type)  $\Rightarrow$  ('b  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a pd-basis  $\Rightarrow$  'b
  where fold-pd g f t = semilattice-set.F f (g ‘ Rep-pd-basis t)

```

```

lemma fold-pd-PDUnit:
  assumes semilattice f
  shows fold-pd g f (PDUnit x) = g x
proof –
  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: fold-pd-def Rep-PDUnit)
qed

```

```

lemma fold-pd-PDPlus:
  assumes semilattice f
  shows fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)
proof –
  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: image-Un fold-pd-def Rep-PDPlus union)
qed

```

end

31 Upper powerdomain

```

theory UpperPD
imports Compact-Basis
begin

```

31.1 Basis preorder

definition

upper-le :: 'a pd-basis \Rightarrow 'a pd-basis \Rightarrow bool (**infix** $\leq\#$ 50) **where**
upper-le = ($\lambda u v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y$)

lemma *upper-le-refl* [*simp*]: $t \leq\# t$
unfolding *upper-le-def* **by** *fast*

lemma *upper-le-trans*: $\llbracket t \leq\# u; u \leq\# v \rrbracket \Longrightarrow t \leq\# v$
unfolding *upper-le-def*
apply (*rule ballI*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*erule* *rev-beXI*)
apply (*erule* (1) *below-trans*)
done

interpretation *upper-le*: *preorder upper-le*
by (*rule* *preorder.intro*, *rule* *upper-le-refl*, *rule* *upper-le-trans*)

lemma *upper-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq\# t$
unfolding *upper-le-def* *Rep-PDUnit* **by** *simp*

lemma *PDUnit-upper-mono*: $x \sqsubseteq y \Longrightarrow \text{PDUnit } x \leq\# \text{PDUnit } y$
unfolding *upper-le-def* *Rep-PDUnit* **by** *simp*

lemma *PDPlus-upper-mono*: $\llbracket s \leq\# t; u \leq\# v \rrbracket \Longrightarrow \text{PDPlus } s \ u \leq\# \text{PDPlus } t \ v$
unfolding *upper-le-def* *Rep-PDPlus* **by** *fast*

lemma *PDPlus-upper-le*: *PDPlus* $t \ u \leq\# t$
unfolding *upper-le-def* *Rep-PDPlus* **by** *fast*

lemma *upper-le-PDUnit-PDUnit-iff* [*simp*]:
 $(\text{PDUnit } a \leq\# \text{PDUnit } b) = (a \sqsubseteq b)$
unfolding *upper-le-def* *Rep-PDUnit* **by** *fast*

lemma *upper-le-PDPlus-PDUnit-iff*:
 $(\text{PDPlus } t \ u \leq\# \text{PDUnit } a) = (t \leq\# \text{PDUnit } a \vee u \leq\# \text{PDUnit } a)$
unfolding *upper-le-def* *Rep-PDPlus* *Rep-PDUnit* **by** *fast*

lemma *upper-le-PDPlus-iff*: $(t \leq\# \text{PDPlus } u \ v) = (t \leq\# u \wedge t \leq\# v)$
unfolding *upper-le-def* *Rep-PDPlus* **by** *fast*

lemma *upper-le-induct* [*induct set: upper-le*]:
assumes *le*: $t \leq\# u$
assumes 1: $\bigwedge a \ b. a \sqsubseteq b \Longrightarrow P (\text{PDUnit } a) (\text{PDUnit } b)$
assumes 2: $\bigwedge t \ u \ a. P \ t (\text{PDUnit } a) \Longrightarrow P (\text{PDPlus } t \ u) (\text{PDUnit } a)$
assumes 3: $\bigwedge t \ u \ v. \llbracket P \ t \ u; P \ t \ v \rrbracket \Longrightarrow P \ t (\text{PDPlus } u \ v)$
shows $P \ t \ u$
using *le* **apply** (*induct* *u arbitrary: t rule: pd-basis-induct*)
apply (*erule* *rev-mp*)

```

apply (induct-tac t rule: pd-basis-induct)
apply (simp add: 1)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)
apply (simp add: 2)
apply (simp add: upper-le-PDPlus-iff 3)
done

```

31.2 Type definition

```

typedef 'a upper-pd ((-'#)) =
  {S::'a pd-basis set. upper-le.ideal S}
by (rule upper-le.ex-ideal)

```

```

instantiation upper-pd :: (bifinite) below
begin

```

definition

$$x \sqsubseteq y \longleftrightarrow \text{Rep-upper-pd } x \subseteq \text{Rep-upper-pd } y$$

```

instance ..
end

```

```

instance upper-pd :: (bifinite) po
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-po)

```

```

instance upper-pd :: (bifinite) cpo
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-cpo)

```

definition

```

upper-principal :: 'a pd-basis  $\Rightarrow$  'a upper-pd where
upper-principal t = Abs-upper-pd {u. u  $\leq\#$  t}

```

interpretation upper-pd:

```

ideal-completion upper-le upper-principal Rep-upper-pd
using type-definition-upper-pd below-upper-pd-def
using upper-principal-def pd-basis-countable
by (rule upper-le.typedef-ideal-completion)

```

Upper powerdomain is pointed

```

lemma upper-pd-minimal: upper-principal (PDUnit compact-bot)  $\sqsubseteq$  ys
by (induct ys rule: upper-pd.principal-induct, simp, simp)

```

```

instance upper-pd :: (bifinite) pcpo
by intro-classes (fast intro: upper-pd-minimal)

```

lemma *inst-upper-pd-pcpo*: $\perp = \text{upper-principal } (PDUnit \text{ compact-bot})$
by (*rule upper-pd-minimal* [*THEN bottomI, symmetric*])

31.3 Monadic unit and plus

definition

upper-unit :: 'a \rightarrow 'a *upper-pd* **where**
upper-unit = *compact-basis.extension* ($\lambda a.$ *upper-principal* (*PDUnit* a))

definition

upper-plus :: 'a *upper-pd* \rightarrow 'a *upper-pd* \rightarrow 'a *upper-pd* **where**
upper-plus = *upper-pd.extension* ($\lambda t.$ *upper-pd.extension* ($\lambda u.$
upper-principal (*PDPlus* t u)))

abbreviation

upper-add :: 'a *upper-pd* \Rightarrow 'a *upper-pd* \Rightarrow 'a *upper-pd*
(**infixl** $\cup\#$ 65) **where**
xs $\cup\#$ *ys* == *upper-plus.xs.ys*

syntax

-upper-pd :: *args* \Rightarrow *logic* ($\{-\}\#$)

translations

$\{x, xs\}\# == \{x\}\# \cup\# \{xs\}\#$
 $\{x\}\# == \text{CONST } \text{upper-unit} \cdot x$

lemma *upper-unit-Rep-compact-basis* [*simp*]:

$\{\text{Rep-compact-basis } a\}\# = \text{upper-principal } (PDUnit \ a)$

unfolding *upper-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-upper-mono*)

lemma *upper-plus-principal* [*simp*]:

upper-principal t $\cup\#$ *upper-principal* u = *upper-principal* (*PDPlus* t u)

unfolding *upper-plus-def*

by (*simp add: upper-pd.extension-principal*

upper-pd.extension-mono PDPlus-upper-mono)

interpretation *upper-add: semilattice upper-add* **proof**

fix *xs ys zs* :: 'a *upper-pd*

show (*xs* $\cup\#$ *ys*) $\cup\#$ *zs* = *xs* $\cup\#$ (*ys* $\cup\#$ *zs*)

apply (*induct xs rule: upper-pd.principal-induct, simp*)

apply (*induct ys rule: upper-pd.principal-induct, simp*)

apply (*induct zs rule: upper-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

show *xs* $\cup\#$ *ys* = *ys* $\cup\#$ *xs*

apply (*induct xs rule: upper-pd.principal-induct, simp*)

apply (*induct ys rule: upper-pd.principal-induct, simp*)

apply (*simp add: PDPlus-commute*)

```

done
show  $xs \cup\# xs = xs$ 
  apply (induct xs rule: upper-pd.principal-induct, simp)
  apply (simp add: PDPlus-absorb)
done
qed

```

```

lemmas upper-plus-assoc = upper-add.assoc
lemmas upper-plus-commute = upper-add.commute
lemmas upper-plus-absorb = upper-add.idem
lemmas upper-plus-left-commute = upper-add.left-commute
lemmas upper-plus-left-absorb = upper-add.left-idem

```

Useful for *simp add*: *upper-plus-ac*

```

lemmas upper-plus-ac =
  upper-plus-assoc upper-plus-commute upper-plus-left-commute

```

Useful for *simp only*: *upper-plus-aci*

```

lemmas upper-plus-aci =
  upper-plus-ac upper-plus-absorb upper-plus-left-absorb

```

```

lemma upper-plus-below1:  $xs \cup\# ys \sqsubseteq xs$ 
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (induct ys rule: upper-pd.principal-induct, simp)
apply (simp add: PDPlus-upper-le)
done

```

```

lemma upper-plus-below2:  $xs \cup\# ys \sqsubseteq ys$ 
by (subst upper-plus-commute, rule upper-plus-below1)

```

```

lemma upper-plus-greatest:  $[[xs \sqsubseteq ys; xs \sqsubseteq zs]] \implies xs \sqsubseteq ys \cup\# zs$ 
apply (subst upper-plus-absorb [of xs, symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

```

lemma upper-below-plus-iff [simp]:
   $xs \sqsubseteq ys \cup\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$ 
apply safe
apply (erule below-trans [OF - upper-plus-below1])
apply (erule below-trans [OF - upper-plus-below2])
apply (erule (1) upper-plus-greatest)
done

```

```

lemma upper-plus-below-unit-iff [simp]:
   $xs \cup\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$ 
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (induct ys rule: upper-pd.principal-induct, simp)
apply (induct z rule: compact-basis.principal-induct, simp)
apply (simp add: upper-le-PDPlus-PDUnit-iff)

```


done

lemma *upper-unit-below-iff* [*simp*]: $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$
apply (*induct x rule: compact-basis.principal-induct, simp*)
apply (*induct y rule: compact-basis.principal-induct, simp*)
apply *simp*
done

lemmas *upper-pd-below-simps* =
upper-unit-below-iff
upper-below-plus-iff
upper-plus-below-unit-iff

lemma *upper-unit-eq-iff* [*simp*]: $\{x\}\# = \{y\}\# \longleftrightarrow x = y$
unfolding *po-eq-conv* **by** *simp*

lemma *upper-unit-strict* [*simp*]: $\{\perp\}\# = \perp$
using *upper-unit-Rep-compact-basis* [*of compact-bot*]
by (*simp add: inst-upper-pd-pcpo*)

lemma *upper-plus-strict1* [*simp*]: $\perp \cup\# ys = \perp$
by (*rule bottomI, rule upper-plus-below1*)

lemma *upper-plus-strict2* [*simp*]: $xs \cup\# \perp = \perp$
by (*rule bottomI, rule upper-plus-below2*)

lemma *upper-unit-bottom-iff* [*simp*]: $\{x\}\# = \perp \longleftrightarrow x = \perp$
unfolding *upper-unit-strict* [*symmetric*] **by** (*rule upper-unit-eq-iff*)

lemma *upper-plus-bottom-iff* [*simp*]:
 $xs \cup\# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$
apply (*induct xs rule: upper-pd.principal-induct, simp*)
apply (*induct ys rule: upper-pd.principal-induct, simp*)
apply (*simp add: inst-upper-pd-pcpo upper-pd.principal-eq-iff*
upper-le-PDPlus-PDUnit-iff)
done

lemma *compact-upper-unit*: $\text{compact } x \implies \text{compact } \{x\}\#$
by (*auto dest!: compact-basis.compact-imp-principal*)

lemma *compact-upper-unit-iff* [*simp*]: $\text{compact } \{x\}\# \longleftrightarrow \text{compact } x$
apply (*safe elim!: compact-upper-unit*)
apply (*simp only: compact-def upper-unit-below-iff* [*symmetric*])
apply (*erule adm-subst* [*OF cont-Rep-cfun2*])
done

lemma *compact-upper-plus* [*simp*]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup\# ys)$
by (*auto dest!: upper-pd.compact-imp-principal*)

31.4 Induction rules

lemma *upper-pd-induct1*:
assumes P : *adm* P
assumes *unit*: $\bigwedge x. P \{x\}\#$
assumes *insert*: $\bigwedge x \text{ ys}. \llbracket P \{x\}\#; P \text{ ys} \rrbracket \implies P (\{x\}\# \cup\# \text{ ys})$
shows $P (xs::'a \text{ upper-pd})$
apply (*induct* xs *rule*: *upper-pd.principal-induct*, *rule* P)
apply (*induct-tac* a *rule*: *pd-basis-induct1*)
apply (*simp only*: *upper-unit-Rep-compact-basis* [*symmetric*])
apply (*rule unit*)
apply (*simp only*: *upper-unit-Rep-compact-basis* [*symmetric*]
upper-plus-principal [*symmetric*])
apply (*erule insert* [*OF unit*])
done

lemma *upper-pd-induct*
[*case-names adm upper-unit upper-plus, induct type: upper-pd*]:
assumes P : *adm* P
assumes *unit*: $\bigwedge x. P \{x\}\#$
assumes *plus*: $\bigwedge xs \text{ ys}. \llbracket P xs; P ys \rrbracket \implies P (xs \cup\# ys)$
shows $P (xs::'a \text{ upper-pd})$
apply (*induct* xs *rule*: *upper-pd.principal-induct*, *rule* P)
apply (*induct-tac* a *rule*: *pd-basis-induct*)
apply (*simp only*: *upper-unit-Rep-compact-basis* [*symmetric*] *unit*)
apply (*simp only*: *upper-plus-principal* [*symmetric*] *plus*)
done

31.5 Monadic bind

definition

upper-bind-basis ::
'a pd-basis \Rightarrow (*'a* \rightarrow *'b upper-pd*) \rightarrow *'b upper-pd* **where**
upper-bind-basis = *fold-pd*
($\lambda a. \bigwedge f. f \cdot (\text{Rep-compact-basis } a)$)
($\lambda x \ y. \bigwedge f. x \cdot f \cup\# y \cdot f$)

lemma *ACI-upper-bind*:
semilattice ($\lambda x \ y. \bigwedge f. x \cdot f \cup\# y \cdot f$)
apply *unfold-locales*
apply (*simp add*: *upper-plus-assoc*)
apply (*simp add*: *upper-plus-commute*)
apply (*simp add*: *eta-cfun*)
done

lemma *upper-bind-basis-simps* [*simp*]:
upper-bind-basis (*PDUnit* a) =
($\bigwedge f. f \cdot (\text{Rep-compact-basis } a)$)
upper-bind-basis (*PDPlus* $t \ u$) =
($\bigwedge f. \text{upper-bind-basis } t \cdot f \cup\# \text{upper-bind-basis } u \cdot f$)

unfolding *upper-bind-basis-def*
apply –
apply (rule *fold-pd-PDUnit* [OF *ACI-upper-bind*])
apply (rule *fold-pd-PDPlus* [OF *ACI-upper-bind*])
done

lemma *upper-bind-basis-mono*:
 $t \leq\# u \implies \text{upper-bind-basis } t \sqsubseteq \text{upper-bind-basis } u$
unfolding *cfun-below-iff*
apply (erule *upper-le-induct*, *safe*)
apply (simp *add: monofun-cfun*)
apply (simp *add: below-trans* [OF *upper-plus-below1*])
apply *simp*
done

definition
 $\text{upper-bind} :: 'a \text{ upper-pd} \rightarrow ('a \rightarrow 'b \text{ upper-pd}) \rightarrow 'b \text{ upper-pd}$ **where**
 $\text{upper-bind} = \text{upper-pd.extension upper-bind-basis}$

syntax
 $\text{-upper-bind} :: [\text{logic}, \text{logic}, \text{logic}] \Rightarrow \text{logic}$
 $((\exists \cup\# \text{-}\in\text{-} / \text{-}) [0, 0, 10] 10)$

translations
 $\cup\#x \in xs. e == \text{CONST upper-bind}\cdot xs \cdot (\Lambda x. e)$

lemma *upper-bind-principal* [simp]:
 $\text{upper-bind}\cdot(\text{upper-principal } t) = \text{upper-bind-basis } t$
unfolding *upper-bind-def*
apply (rule *upper-pd.extension-principal*)
apply (erule *upper-bind-basis-mono*)
done

lemma *upper-bind-unit* [simp]:
 $\text{upper-bind}\cdot\{x\}\# \cdot f = f \cdot x$
by (induct *x* rule: *compact-basis.principal-induct*, *simp*, *simp*)

lemma *upper-bind-plus* [simp]:
 $\text{upper-bind}\cdot(xs \cup\# ys) \cdot f = \text{upper-bind}\cdot xs \cdot f \cup\# \text{upper-bind}\cdot ys \cdot f$
by (induct *xs* rule: *upper-pd.principal-induct*, *simp*,
induct *ys* rule: *upper-pd.principal-induct*, *simp*, *simp*)

lemma *upper-bind-strict* [simp]: $\text{upper-bind}\cdot\perp \cdot f = f \cdot \perp$
unfolding *upper-unit-strict* [symmetric] **by** (rule *upper-bind-unit*)

lemma *upper-bind-bind*:
 $\text{upper-bind}\cdot(\text{upper-bind}\cdot xs \cdot f) \cdot g = \text{upper-bind}\cdot xs \cdot (\Lambda x. \text{upper-bind}\cdot(f \cdot x) \cdot g)$
by (induct *xs*, *simp-all*)

31.6 Map

definition

$upper-map :: ('a \rightarrow 'b) \rightarrow 'a \text{ upper-pd} \rightarrow 'b \text{ upper-pd}$ **where**
 $upper-map = (\Lambda f \text{ xs}. upper-bind \cdot xs \cdot (\Lambda x. \{f \cdot x\}\#))$

lemma $upper-map-unit$ [simp]:

$upper-map \cdot f \cdot \{x\}\# = \{f \cdot x\}\#$

unfolding $upper-map-def$ **by** $simp$

lemma $upper-map-plus$ [simp]:

$upper-map \cdot f \cdot (xs \cup\# ys) = upper-map \cdot f \cdot xs \cup\# upper-map \cdot f \cdot ys$

unfolding $upper-map-def$ **by** $simp$

lemma $upper-map-bottom$ [simp]: $upper-map \cdot f \cdot \perp = \{f \cdot \perp\}\#$

unfolding $upper-map-def$ **by** $simp$

lemma $upper-map-ident$: $upper-map \cdot (\Lambda x. x) \cdot xs = xs$

by ($induct \text{ xs}$ rule: $upper-pd-induct$, $simp-all$)

lemma $upper-map-ID$: $upper-map \cdot ID = ID$

by ($simp$ add: $cfun-eq-iff$ $ID-def$ $upper-map-ident$)

lemma $upper-map-map$:

$upper-map \cdot f \cdot (upper-map \cdot g \cdot xs) = upper-map \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$

by ($induct \text{ xs}$ rule: $upper-pd-induct$, $simp-all$)

lemma $upper-bind-map$:

$upper-bind \cdot (upper-map \cdot f \cdot xs) \cdot g = upper-bind \cdot xs \cdot (\Lambda x. g \cdot (f \cdot x))$

by ($simp$ add: $upper-map-def$ $upper-bind-bind$)

lemma $upper-map-bind$:

$upper-map \cdot f \cdot (upper-bind \cdot xs \cdot g) = upper-bind \cdot xs \cdot (\Lambda x. upper-map \cdot f \cdot (g \cdot x))$

by ($simp$ add: $upper-map-def$ $upper-bind-bind$)

lemma $ep-pair-upper-map$: $ep-pair \ e \ p \implies ep-pair \ (upper-map \cdot e) \ (upper-map \cdot p)$

apply $standard$

apply ($induct-tac \ x$ rule: $upper-pd-induct$, $simp-all$ add: $ep-pair.e-inverse$)

apply ($induct-tac \ y$ rule: $upper-pd-induct$)

apply ($simp-all$ add: $ep-pair.e-p-below$ $monofun-cfun\ del$: $upper-below-plus-iff$)

done

lemma $deflation-upper-map$: $deflation \ d \implies deflation \ (upper-map \cdot d)$

apply $standard$

apply ($induct-tac \ x$ rule: $upper-pd-induct$, $simp-all$ add: $deflation.idem$)

apply ($induct-tac \ x$ rule: $upper-pd-induct$)

apply ($simp-all$ add: $deflation.below$ $monofun-cfun\ del$: $upper-below-plus-iff$)

done

```

lemma finite-deflation-upper-map:
  assumes finite-deflation d shows finite-deflation (upper-map·d)
proof (rule finite-deflation-intro)
  interpret d: finite-deflation d by fact
  have deflation d by fact
  thus deflation (upper-map·d) by (rule deflation-upper-map)
  have finite (range (λx. d·x)) by (rule d.finite-range)
  hence finite (Rep-compact-basis -‘ range (λx. d·x))
    by (rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject)
  hence finite (Pow (Rep-compact-basis -‘ range (λx. d·x))) by simp
  hence finite (Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range (λx. d·x))))
    by (rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject)
  hence *: finite (upper-principal ‘ Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘
range (λx. d·x)))) by simp
  hence finite (range (λxs. upper-map·d·xs))
    apply (rule rev-finite-subset)
    apply clarsimp
    apply (induct-tac xs rule: upper-pd.principal-induct)
    apply (simp add: adm-mem-finite *)
    apply (rename-tac t, induct-tac t rule: pd-basis-induct)
    apply (simp only: upper-unit-Rep-compact-basis [symmetric] upper-map-unit)
    apply simp
    apply (subgoal-tac ∃ b. d.(Rep-compact-basis a) = Rep-compact-basis b)
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDUnit)
    apply (rule range-eqI)
    apply (erule sym)
    apply (rule exI)
    apply (rule Abs-compact-basis-inverse [symmetric])
    apply (simp add: d.compact)
    apply (simp only: upper-plus-principal [symmetric] upper-map-plus)
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDPlus)
  done
  thus finite {xs. upper-map·d·xs = xs}
    by (rule finite-range-imp-finite-fixes)
qed

```

31.7 Upper powerdomain is bifinite

```

lemma approx-chain-upper-map:
  assumes approx-chain a
  shows approx-chain (λi. upper-map·(a i))
  using assms unfolding approx-chain-def
  by (simp add: lub-APP upper-map-ID finite-deflation-upper-map)

```

```

instance upper-pd :: (bifinite) bifinite
proof
  show  $\exists (a :: \text{nat} \Rightarrow 'a \text{ upper-pd} \rightarrow 'a \text{ upper-pd}). \text{approx-chain } a$ 
    using bifinite [where 'a='a]
    by (fast intro!: approx-chain-upper-map)
qed

```

31.8 Join

definition

```

upper-join :: 'a upper-pd upper-pd  $\rightarrow$  'a upper-pd where
upper-join = ( $\Lambda$  xss. upper-bind.xss.( $\Lambda$  xs. xs))

```

lemma upper-join-unit [simp]:

```
upper-join.{xs}# = xs
```

unfolding upper-join-def **by** simp

lemma upper-join-plus [simp]:

```
upper-join.(xss  $\cup$ # yss) = upper-join.xss  $\cup$ # upper-join.yss
```

unfolding upper-join-def **by** simp

lemma upper-join-bottom [simp]: upper-join. \perp = \perp

unfolding upper-join-def **by** simp

lemma upper-join-map-unit:

```
upper-join.(upper-map.upper-unit.xs) = xs
```

by (induct xs rule: upper-pd-induct, simp-all)

lemma upper-join-map-join:

```
upper-join.(upper-map.upper-join.xsss) = upper-join.(upper-join.xsss)
```

by (induct xsss rule: upper-pd-induct, simp-all)

lemma upper-join-map-map:

```
upper-join.(upper-map.(upper-map.f).xss) =
```

```
upper-map.f.(upper-join.xss)
```

by (induct xss rule: upper-pd-induct, simp-all)

end

32 Lower powerdomain

theory LowerPD

imports Compact-Basis

begin

32.1 Basis preorder

definition

lower-le :: 'a pd-basis \Rightarrow 'a pd-basis \Rightarrow bool (**infix** \leq_b 50) **where**
lower-le = ($\lambda u v. \forall x \in \text{Rep-pd-basis } u. \exists y \in \text{Rep-pd-basis } v. x \sqsubseteq y$)

lemma *lower-le-refl* [*simp*]: $t \leq_b t$
unfolding *lower-le-def* **by** *fast*

lemma *lower-le-trans*: $\llbracket t \leq_b u; u \leq_b v \rrbracket \Longrightarrow t \leq_b v$
unfolding *lower-le-def*
apply (*rule ballI*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*erule* *rev-beXI*)
apply (*erule* (1) *below-trans*)
done

interpretation *lower-le*: *preorder lower-le*
by (*rule* *preorder.intro*, *rule* *lower-le-refl*, *rule* *lower-le-trans*)

lemma *lower-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq_b t$
unfolding *lower-le-def Rep-PDUnit*
by (*simp*, *rule* *Rep-pd-basis-nonempty* [*folded ex-in-conv*])

lemma *PDUnit-lower-mono*: $x \sqsubseteq y \Longrightarrow \text{PDUnit } x \leq_b \text{PDUnit } y$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *PDPlus-lower-mono*: $\llbracket s \leq_b t; u \leq_b v \rrbracket \Longrightarrow \text{PDPlus } s u \leq_b \text{PDPlus } t v$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *PDPlus-lower-le*: $t \leq_b \text{PDPlus } t u$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-PDUnit-PDUnit-iff* [*simp*]:
 $(\text{PDUnit } a \leq_b \text{PDUnit } b) = (a \sqsubseteq b)$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *lower-le-PDUnit-PDPlus-iff*:
 $(\text{PDUnit } a \leq_b \text{PDPlus } t u) = (\text{PDUnit } a \leq_b t \vee \text{PDUnit } a \leq_b u)$
unfolding *lower-le-def Rep-PDPlus Rep-PDUnit* **by** *fast*

lemma *lower-le-PDPlus-iff*: $(\text{PDPlus } t u \leq_b v) = (t \leq_b v \wedge u \leq_b v)$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-induct* [*induct set: lower-le*]:
assumes *le*: $t \leq_b u$
assumes 1: $\bigwedge a b. a \sqsubseteq b \Longrightarrow P (\text{PDUnit } a) (\text{PDUnit } b)$
assumes 2: $\bigwedge t u a. P (\text{PDUnit } a) t \Longrightarrow P (\text{PDUnit } a) (\text{PDPlus } t u)$
assumes 3: $\bigwedge t u v. \llbracket P t v; P u v \rrbracket \Longrightarrow P (\text{PDPlus } t u) v$
shows $P t u$
using *le*

```

apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct)
apply (simp add: 1)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)
apply (simp add: 2)
apply (simp add: lower-le-PDPlus-iff 3)
done

```

32.2 Type definition

```

typedef 'a lower-pd ((-'b)) =
  {S::'a pd-basis set. lower-le.ideal S}
by (rule lower-le.ex-ideal)

```

```

instantiation lower-pd :: (bifinite) below
begin

```

definition

$$x \sqsubseteq y \longleftrightarrow \text{Rep-lower-pd } x \subseteq \text{Rep-lower-pd } y$$

```

instance ..
end

```

```

instance lower-pd :: (bifinite) po
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-po)

```

```

instance lower-pd :: (bifinite) cpo
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-cpo)

```

definition

```

lower-principal :: 'a pd-basis  $\Rightarrow$  'a lower-pd where
lower-principal t = Abs-lower-pd {u. u  $\leq_b$  t}

```

interpretation lower-pd:

```

ideal-completion lower-le lower-principal Rep-lower-pd
using type-definition-lower-pd below-lower-pd-def
using lower-principal-def pd-basis-countable
by (rule lower-le.typedef-ideal-completion)

```

Lower powerdomain is pointed

```

lemma lower-pd-minimal: lower-principal (PDUnit compact-bot)  $\sqsubseteq$  ys
by (induct ys rule: lower-pd.principal-induct, simp, simp)

```

```

instance lower-pd :: (bifinite) pcpo

```


by *intro-classes* (*fast intro: lower-pd-minimal*)

lemma *inst-lower-pd-pcpo*: $\perp = \text{lower-principal}$ (*PDUnit compact-bot*)
 by (*rule lower-pd-minimal* [*THEN bottomI, symmetric*])

32.3 Monadic unit and plus

definition

lower-unit :: 'a \rightarrow 'a *lower-pd* **where**
lower-unit = *compact-basis.extension* ($\lambda a.$ *lower-principal* (*PDUnit* a))

definition

lower-plus :: 'a *lower-pd* \rightarrow 'a *lower-pd* \rightarrow 'a *lower-pd* **where**
lower-plus = *lower-pd.extension* ($\lambda t.$ *lower-pd.extension* ($\lambda u.$
lower-principal (*PDPlus* t u)))

abbreviation

lower-add :: 'a *lower-pd* \Rightarrow 'a *lower-pd* \Rightarrow 'a *lower-pd*
 (**infixl** $\cup b$ 65) **where**
xs $\cup b$ *ys* == *lower-plus*·*xs*·*ys*

syntax

-lower-pd :: *args* \Rightarrow *logic* ($\{-\}b$)

translations

$\{x, xs\}b$ == $\{x\}b \cup b \{xs\}b$
 $\{x\}b$ == *CONST* *lower-unit*·*x*

lemma *lower-unit-Rep-compact-basis* [*simp*]:

$\{\text{Rep-compact-basis } a\}b = \text{lower-principal}$ (*PDUnit* a)

unfolding *lower-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-lower-mono*)

lemma *lower-plus-principal* [*simp*]:

$\text{lower-principal } t \cup b \text{ lower-principal } u = \text{lower-principal}$ (*PDPlus* t u)

unfolding *lower-plus-def*

by (*simp add: lower-pd.extension-principal*
lower-pd.extension-mono PDPlus-lower-mono)

interpretation *lower-add*: *semilattice lower-add* **proof**

fix *xs ys zs* :: 'a *lower-pd*

show (*xs* $\cup b$ *ys*) $\cup b$ *zs* = *xs* $\cup b$ (*ys* $\cup b$ *zs*)

apply (*induct xs rule: lower-pd.principal-induct, simp*)

apply (*induct ys rule: lower-pd.principal-induct, simp*)

apply (*induct zs rule: lower-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

show *xs* $\cup b$ *ys* = *ys* $\cup b$ *xs*

apply (*induct xs rule: lower-pd.principal-induct, simp*)

```

apply (induct ys rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-commute)
done
show  $xs \cup b \ xs = xs$ 
apply (induct xs rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-absorb)
done
qed

```

```

lemmas lower-plus-assoc = lower-add.assoc
lemmas lower-plus-commute = lower-add.commute
lemmas lower-plus-absorb = lower-add.idem
lemmas lower-plus-left-commute = lower-add.left-commute
lemmas lower-plus-left-absorb = lower-add.left-idem

```

Useful for *simp add*: *lower-plus-ac*

```

lemmas lower-plus-ac =
  lower-plus-assoc lower-plus-commute lower-plus-left-commute

```

Useful for *simp only*: *lower-plus-aci*

```

lemmas lower-plus-aci =
  lower-plus-ac lower-plus-absorb lower-plus-left-absorb

```

```

lemma lower-plus-below1:  $xs \sqsubseteq xs \cup b \ ys$ 
apply (induct xs rule: lower-pd.principal-induct, simp)
apply (induct ys rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-lower-le)
done

```

```

lemma lower-plus-below2:  $ys \sqsubseteq xs \cup b \ ys$ 
by (subst lower-plus-commute, rule lower-plus-below1)

```

```

lemma lower-plus-least:  $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs \cup b \ ys \sqsubseteq zs$ 
apply (subst lower-plus-absorb [of zs, symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

```

lemma lower-plus-below-iff [simp]:
   $xs \cup b \ ys \sqsubseteq zs \iff xs \sqsubseteq zs \wedge ys \sqsubseteq zs$ 
apply safe
apply (erule below-trans [OF lower-plus-below1])
apply (erule below-trans [OF lower-plus-below2])
apply (erule (1) lower-plus-least)
done

```

```

lemma lower-unit-below-plus-iff [simp]:
   $\{x\}b \sqsubseteq ys \cup b \ zs \iff \{x\}b \sqsubseteq ys \vee \{x\}b \sqsubseteq zs$ 
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct ys rule: lower-pd.principal-induct, simp)

```

```

apply (induct zs rule: lower-pd.principal-induct, simp)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
done

```

```

lemma lower-unit-below-iff [simp]:  $\{x\}^b \sqsubseteq \{y\}^b \longleftrightarrow x \sqsubseteq y$ 
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct y rule: compact-basis.principal-induct, simp)
apply simp
done

```

```

lemmas lower-pd-below-simps =
  lower-unit-below-iff
  lower-plus-below-iff
  lower-unit-below-plus-iff

```

```

lemma lower-unit-eq-iff [simp]:  $\{x\}^b = \{y\}^b \longleftrightarrow x = y$ 
by (simp add: po-eq-conv)

```

```

lemma lower-unit-strict [simp]:  $\{\perp\}^b = \perp$ 
using lower-unit-Rep-compact-basis [of compact-bot]
by (simp add: inst-lower-pd-pcpo)

```

```

lemma lower-unit-bottom-iff [simp]:  $\{x\}^b = \perp \longleftrightarrow x = \perp$ 
unfolding lower-unit-strict [symmetric] by (rule lower-unit-eq-iff)

```

```

lemma lower-plus-bottom-iff [simp]:
   $xs \cup b ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$ 
apply safe
apply (rule bottomI, erule subst, rule lower-plus-below1)
apply (rule bottomI, erule subst, rule lower-plus-below2)
apply (rule lower-plus-absorb)
done

```

```

lemma lower-plus-strict1 [simp]:  $\perp \cup b ys = ys$ 
apply (rule below-antisym [OF - lower-plus-below2])
apply (simp add: lower-plus-least)
done

```

```

lemma lower-plus-strict2 [simp]:  $xs \cup b \perp = xs$ 
apply (rule below-antisym [OF - lower-plus-below1])
apply (simp add: lower-plus-least)
done

```

```

lemma compact-lower-unit: compact  $x \implies$  compact  $\{x\}^b$ 
by (auto dest!: compact-basis.compact-imp-principal)

```

```

lemma compact-lower-unit-iff [simp]: compact  $\{x\}^b \longleftrightarrow$  compact  $x$ 
apply (safe elim!: compact-lower-unit)
apply (simp only: compact-def lower-unit-below-iff [symmetric])

```

apply (*erule adm-subst* [*OF cont-Rep-cfun2*])
done

lemma *compact-lower-plus* [*simp*]:
 $\llbracket \text{compact } xs; \text{ compact } ys \rrbracket \implies \text{compact } (xs \cup b \text{ } ys)$
by (*auto dest!*: *lower-pd.compact-imp-principal*)

32.4 Induction rules

lemma *lower-pd-induct1*:
assumes *P*: *adm P*
assumes *unit*: $\bigwedge x. P \{x\}b$
assumes *insert*:
 $\bigwedge x \text{ } ys. \llbracket P \{x\}b; P \text{ } ys \rrbracket \implies P (\{x\}b \cup b \text{ } ys)$
shows *P* (*xs*::'a *lower-pd*)
apply (*induct xs rule: lower-pd.principal-induct, rule P*)
apply (*induct-tac a rule: pd-basis-induct1*)
apply (*simp only: lower-unit-Rep-compact-basis* [*symmetric*])
apply (*rule unit*)
apply (*simp only: lower-unit-Rep-compact-basis* [*symmetric*]
lower-plus-principal [*symmetric*])
apply (*erule insert* [*OF unit*])
done

lemma *lower-pd-induct*
[*case-names adm lower-unit lower-plus, induct type: lower-pd*]:
assumes *P*: *adm P*
assumes *unit*: $\bigwedge x. P \{x\}b$
assumes *plus*: $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs \cup b \text{ } ys)$
shows *P* (*xs*::'a *lower-pd*)
apply (*induct xs rule: lower-pd.principal-induct, rule P*)
apply (*induct-tac a rule: pd-basis-induct*)
apply (*simp only: lower-unit-Rep-compact-basis* [*symmetric*] *unit*)
apply (*simp only: lower-plus-principal* [*symmetric*] *plus*)
done

32.5 Monadic bind

definition

lower-bind-basis ::
'*a* *pd-basis* \Rightarrow ('*a* \rightarrow '*b* *lower-pd*) \rightarrow '*b* *lower-pd* **where**
lower-bind-basis = *fold-pd*
($\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$)
($\lambda x \text{ } y. \Lambda f. x \cdot f \cup b \text{ } y \cdot f$)

lemma *ACI-lower-bind*:
semilattice ($\lambda x \text{ } y. \Lambda f. x \cdot f \cup b \text{ } y \cdot f$)
apply *unfold-locales*
apply (*simp add: lower-plus-assoc*)
apply (*simp add: lower-plus-commute*)

apply (*simp add: eta-cfun*)
done

lemma *lower-bind-basis-simps* [*simp*]:
 $lower\text{-}bind\text{-}basis (PDUnit\ a) =$
 $(\Lambda\ f.\ f \cdot (Rep\text{-}compact\text{-}basis\ a))$
 $lower\text{-}bind\text{-}basis (PDPlus\ t\ u) =$
 $(\Lambda\ f.\ lower\text{-}bind\text{-}basis\ t \cdot f \cup_b lower\text{-}bind\text{-}basis\ u \cdot f)$
unfolding *lower-bind-basis-def*
apply –
apply (*rule fold-pd-PDUnit [OF ACI-lower-bind]*)
apply (*rule fold-pd-PDPlus [OF ACI-lower-bind]*)
done

lemma *lower-bind-basis-mono*:
 $t \leq_b u \implies lower\text{-}bind\text{-}basis\ t \sqsubseteq lower\text{-}bind\text{-}basis\ u$
unfolding *cfun-below-iff*
apply (*erule lower-le-induct, safe*)
apply (*simp add: monofun-cfun*)
apply (*simp add: rev-below-trans [OF lower-plus-below1]*)
apply *simp*
done

definition
 $lower\text{-}bind :: 'a\ lower\text{-}pd \rightarrow ('a \rightarrow 'b\ lower\text{-}pd) \rightarrow 'b\ lower\text{-}pd$ **where**
 $lower\text{-}bind = lower\text{-}pd.\text{extension}\ lower\text{-}bind\text{-}basis$

syntax
 $lower\text{-}bind :: [logic, logic, logic] \Rightarrow logic$
 $((\exists \cup_b \in \cdot / \cdot) [0, 0, 10] 10)$

translations
 $\cup_b x \in xs.\ e == CONST\ lower\text{-}bind \cdot xs \cdot (\Lambda\ x.\ e)$

lemma *lower-bind-principal* [*simp*]:
 $lower\text{-}bind \cdot (lower\text{-}principal\ t) = lower\text{-}bind\text{-}basis\ t$
unfolding *lower-bind-def*
apply (*rule lower-pd.extension-principal*)
apply (*erule lower-bind-basis-mono*)
done

lemma *lower-bind-unit* [*simp*]:
 $lower\text{-}bind \cdot \{x\}_b \cdot f = f \cdot x$
by (*induct x rule: compact-basis.principal-induct, simp, simp*)

lemma *lower-bind-plus* [*simp*]:
 $lower\text{-}bind \cdot (xs \cup_b ys) \cdot f = lower\text{-}bind \cdot xs \cdot f \cup_b lower\text{-}bind \cdot ys \cdot f$
by (*induct xs rule: lower-pd.principal-induct, simp,*
induct ys rule: lower-pd.principal-induct, simp, simp)


```

lemma deflation-lower-map: deflation  $d \implies$  deflation (lower-map· $d$ )
apply standard
apply (induct-tac  $x$  rule: lower-pd-induct, simp-all add: deflation.idem)
apply (induct-tac  $x$  rule: lower-pd-induct)
apply (simp-all add: deflation.below monofun-cfun del: lower-plus-below-iff)
done

```

```

lemma finite-deflation-lower-map:
  assumes finite-deflation  $d$  shows finite-deflation (lower-map· $d$ )
proof (rule finite-deflation-intro)
  interpret  $d$ : finite-deflation  $d$  by fact
  have deflation  $d$  by fact
  thus deflation (lower-map· $d$ ) by (rule deflation-lower-map)
  have finite (range ( $\lambda x. d \cdot x$ )) by (rule  $d$ .finite-range)
  hence finite (Rep-compact-basis -‘ range ( $\lambda x. d \cdot x$ ))
    by (rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject)
  hence finite (Pow (Rep-compact-basis -‘ range ( $\lambda x. d \cdot x$ ))) by simp
  hence finite (Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range ( $\lambda x. d \cdot x$ ))))
    by (rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject)
  hence *: finite (lower-principal ‘ Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘
range ( $\lambda x. d \cdot x$ )))) by simp
  hence finite (range ( $\lambda xs. lower-map \cdot d \cdot xs$ ))
    apply (rule rev-finite-subset)
    apply clarsimp
    apply (induct-tac  $xs$  rule: lower-pd.principal-induct)
    apply (simp add: adm-mem-finite *)
    apply (rename-tac  $t$ , induct-tac  $t$  rule: pd-basis-induct)
    apply (simp only: lower-unit-Rep-compact-basis [symmetric] lower-map-unit)
    apply simp
    apply (subgoal-tac  $\exists b. d \cdot (\text{Rep-compact-basis } a) = \text{Rep-compact-basis } b$ )
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDUnit)
    apply (rule range-eqI)
    apply (erule sym)
    apply (rule exI)
    apply (rule Abs-compact-basis-inverse [symmetric])
    apply (simp add:  $d$ .compact)
    apply (simp only: lower-plus-principal [symmetric] lower-map-plus)
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDPlus)
  done
thus finite { $xs. lower-map \cdot d \cdot xs = xs$ }
  by (rule finite-range-imp-finite-fixes)

```

qed

32.7 Lower powerdomain is bifinite

lemma *approx-chain-lower-map*:
assumes *approx-chain a*
shows *approx-chain* ($\lambda i. \text{lower-map} \cdot (a \ i)$)
using *assms* **unfolding** *approx-chain-def*
by (*simp* *add: lub-APP lower-map-ID finite-deflation-lower-map*)

instance *lower-pd* :: (*bifinite*) *bifinite*

proof

show $\exists (a :: \text{nat} \Rightarrow 'a \text{ lower-pd} \rightarrow 'a \text{ lower-pd}). \text{approx-chain } a$
using *bifinite* [**where** $'a = 'a$]
by (*fast intro!*: *approx-chain-lower-map*)

qed

32.8 Join

definition

lower-join :: $'a \text{ lower-pd } \text{lower-pd} \rightarrow 'a \text{ lower-pd}$ **where**
lower-join = $(\Lambda \ xss. \text{lower-bind} \cdot xss \cdot (\Lambda \ xs. xs))$

lemma *lower-join-unit* [*simp*]:

lower-join · $\{xs\}b = xs$

unfolding *lower-join-def* **by** *simp*

lemma *lower-join-plus* [*simp*]:

lower-join · $(xss \cupb yss) = \text{lower-join} \cdot xss \cupb \text{lower-join} \cdot yss$

unfolding *lower-join-def* **by** *simp*

lemma *lower-join-bottom* [*simp*]: *lower-join* · $\perp = \perp$

unfolding *lower-join-def* **by** *simp*

lemma *lower-join-map-unit*:

lower-join · $(\text{lower-map} \cdot \text{lower-unit} \cdot xs) = xs$

by (*induct xs rule: lower-pd-induct, simp-all*)

lemma *lower-join-map-join*:

lower-join · $(\text{lower-map} \cdot \text{lower-join} \cdot xsss) = \text{lower-join} \cdot (\text{lower-join} \cdot xsss)$

by (*induct xsss rule: lower-pd-induct, simp-all*)

lemma *lower-join-map-map*:

lower-join · $(\text{lower-map} \cdot (\text{lower-map} \cdot f) \cdot xss) =$

lower-map · $f \cdot (\text{lower-join} \cdot xss)$

by (*induct xss rule: lower-pd-induct, simp-all*)

end

33 Convex powerdomain

```
theory ConvexPD
imports UpperPD LowerPD
begin
```

33.1 Basis preorder

definition

$convex-le :: 'a\ pd-basis \Rightarrow 'a\ pd-basis \Rightarrow bool$ (**infix** \leq_{\natural} 50) **where**
 $convex-le = (\lambda u\ v. u \leq_{\#} v \wedge u \leq_b v)$

lemma $convex-le-refl$ [simp]: $t \leq_{\natural} t$

unfolding $convex-le-def$ **by** (*fast intro: upper-le-refl lower-le-refl*)

lemma $convex-le-trans$: $\llbracket t \leq_{\natural} u; u \leq_{\natural} v \rrbracket \Longrightarrow t \leq_{\natural} v$

unfolding $convex-le-def$ **by** (*fast intro: upper-le-trans lower-le-trans*)

interpretation $convex-le$: *preorder convex-le*

by (*rule preorder.intro, rule convex-le-refl, rule convex-le-trans*)

lemma $upper-le-minimal$ [simp]: $PDUnit\ compact-bot \leq_{\natural} t$

unfolding $convex-le-def\ Rep-PDUnit$ **by** *simp*

lemma $PDUnit-convex-mono$: $x \sqsubseteq y \Longrightarrow PDUnit\ x \leq_{\natural} PDUnit\ y$

unfolding $convex-le-def$ **by** (*fast intro: PDUnit-upper-mono PDUnit-lower-mono*)

lemma $PDPlus-convex-mono$: $\llbracket s \leq_{\natural} t; u \leq_{\natural} v \rrbracket \Longrightarrow PDPlus\ s\ u \leq_{\natural} PDPlus\ t\ v$

unfolding $convex-le-def$ **by** (*fast intro: PDPlus-upper-mono PDPlus-lower-mono*)

lemma $convex-le-PDUnit-PDUnit-iff$ [simp]:

$(PDUnit\ a \leq_{\natural} PDUnit\ b) = (a \sqsubseteq b)$

unfolding $convex-le-def\ upper-le-def\ lower-le-def\ Rep-PDUnit$ **by** *fast*

lemma $convex-le-PDUnit-lemma1$:

$(PDUnit\ a \leq_{\natural} t) = (\forall b \in Rep-pd-basis\ t. a \sqsubseteq b)$

unfolding $convex-le-def\ upper-le-def\ lower-le-def\ Rep-PDUnit$

using $Rep-pd-basis-nonempty$ [of t , *folded ex-in-conv*] **by** *fast*

lemma $convex-le-PDUnit-PDPlus-iff$ [simp]:

$(PDUnit\ a \leq_{\natural} PDPlus\ t\ u) = (PDUnit\ a \leq_{\natural} t \wedge PDUnit\ a \leq_{\natural} u)$

unfolding $convex-le-PDUnit-lemma1\ Rep-PDPlus$ **by** *fast*

lemma $convex-le-PDUnit-lemma2$:

$(t \leq_{\natural} PDUnit\ b) = (\forall a \in Rep-pd-basis\ t. a \sqsubseteq b)$

unfolding $convex-le-def\ upper-le-def\ lower-le-def\ Rep-PDUnit$

using $Rep-pd-basis-nonempty$ [of t , *folded ex-in-conv*] **by** *fast*

lemma $convex-le-PDPlus-PDUnit-iff$ [simp]:

$(PDPlus\ t\ u \leq_{\natural} PDUnit\ a) = (t \leq_{\natural} PDUnit\ a \wedge u \leq_{\natural} PDUnit\ a)$

unfolding *convex-le-PDUnit-lemma2 Rep-PDPlus* **by** *fast*

lemma *convex-le-PDPlus-lemma*:

assumes $z: PDPlus\ t\ u \leq_{\mathfrak{h}} z$

shows $\exists v\ w. z = PDPlus\ v\ w \wedge t \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{h}} w$

proof (*intro exI conjI*)

let $?A = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b\}$

let $?B = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ u. a \sqsubseteq b\}$

let $?v = Abs\text{-}pd\text{-}basis\ ?A$

let $?w = Abs\text{-}pd\text{-}basis\ ?B$

have *Rep-v: Rep-pd-basis ?v = ?A*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty [of t, folded ex-in-conv, THEN exE]*)

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

have *Rep-w: Rep-pd-basis ?w = ?B*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty [of u, folded ex-in-conv, THEN exE]*)

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

show $z = PDPlus\ ?v\ ?w$

apply (*insert z*)

apply (*simp add: convex-le-def, erule conjE*)

apply (*simp add: Rep-pd-basis-inject [symmetric] Rep-PDPlus*)

apply (*simp add: Rep-v Rep-w*)

apply (*rule equalityI*)

apply (*rule subsetI*)

apply (*simp only: upper-le-def*)

apply (*drule (1) bspec, erule bexE*)

apply (*simp add: Rep-PDPlus*)

apply *fast*

apply *fast*

done

show $t \leq_{\mathfrak{h}} ?v\ u \leq_{\mathfrak{h}} ?w$

apply (*insert z*)

apply (*simp-all add: convex-le-def upper-le-def lower-le-def Rep-PDPlus Rep-v*

Rep-w)

apply *fast+*

done

qed

lemma *convex-le-induct [induct set: convex-le]*:

assumes $le: t \leq_{\mathfrak{h}} u$

```

assumes 2:  $\bigwedge t u v. \llbracket P t u; P u v \rrbracket \implies P t v$ 
assumes 3:  $\bigwedge a b. a \sqsubseteq b \implies P (PDUnit a) (PDUnit b)$ 
assumes 4:  $\bigwedge t u v w. \llbracket P t v; P u w \rrbracket \implies P (PDPlus t u) (PDPlus v w)$ 
shows  $P t u$ 
using le apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct1)
apply (simp add: 3)
apply (simp, clarify, rename-tac a b t)
apply (subgoal-tac P (PDPlus (PDUnit a) (PDUnit a)) (PDPlus (PDUnit b) t))
apply (simp add: PDPlus-absorb)
apply (erule (1) 4 [OF 3])
apply (drule convex-le-PDPlus-lemma, clarify)
apply (simp add: 4)
done

```

33.2 Type definition

```

typedef 'a convex-pd ((('a)⊔)) =
  {S::'a pd-basis set. convex-le.ideal S}
by (rule convex-le.ex-ideal)

```

```

instantiation convex-pd :: (bifinite) below
begin

```

definition

$$x \sqsubseteq y \longleftrightarrow \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$$

```

instance ..
end

```

```

instance convex-pd :: (bifinite) po
using type-definition-convex-pd below-convex-pd-def
by (rule convex-le.typedef-ideal-po)

```

```

instance convex-pd :: (bifinite) cpo
using type-definition-convex-pd below-convex-pd-def
by (rule convex-le.typedef-ideal-cpo)

```

definition

$$\text{convex-principal} :: 'a \text{ pd-basis} \Rightarrow 'a \text{ convex-pd} \text{ where}$$

$$\text{convex-principal } t = \text{Abs-convex-pd } \{u. u \leq_{\sqsubseteq} t\}$$

interpretation *convex-pd:*

```

ideal-completion convex-le convex-principal Rep-convex-pd
using type-definition-convex-pd below-convex-pd-def
using convex-principal-def pd-basis-countable
by (rule convex-le.typedef-ideal-completion)

```

Convex powerdomain is pointed

lemma *convex-pd-minimal*: *convex-principal* (*PDUnit compact-bot*) \sqsubseteq *ys*
by (*induct ys rule: convex-pd.principal-induct, simp, simp*)

instance *convex-pd* :: (*bifinite*) *pcpo*
by *intro-classes* (*fast intro: convex-pd-minimal*)

lemma *inst-convex-pd-pcpo*: $\perp = \text{convex-principal } (PDUnit \text{ compact-bot})$
by (*rule convex-pd-minimal [THEN bottomI, symmetric]*)

33.3 Monadic unit and plus

definition

convex-unit :: 'a \rightarrow 'a *convex-pd* **where**
convex-unit = *compact-basis.extension* ($\lambda a.$ *convex-principal* (*PDUnit a*))

definition

convex-plus :: 'a *convex-pd* \rightarrow 'a *convex-pd* \rightarrow 'a *convex-pd* **where**
convex-plus = *convex-pd.extension* ($\lambda t.$ *convex-pd.extension* ($\lambda u.$
convex-principal (*PDPlus t u*)))

abbreviation

convex-add :: 'a *convex-pd* \Rightarrow 'a *convex-pd* \Rightarrow 'a *convex-pd*
(infixl $\cup\!\!\!\cup$ **65)** **where**
xs $\cup\!\!\!\cup$ *ys* == *convex-plus.xs.ys*

syntax

-convex-pd :: *args* \Rightarrow *logic* ($\{-\}\!\!\!\cup$)

translations

$\{x, xs\}\!\!\!\cup$ == $\{x\}\!\!\!\cup \cup\!\!\!\cup \{xs\}\!\!\!\cup$
 $\{x\}\!\!\!\cup$ == *CONST* *convex-unit.x*

lemma *convex-unit-Rep-compact-basis* [*simp*]:

$\{Rep\text{-compact-basis } a\}\!\!\!\cup = \text{convex-principal } (PDUnit a)$

unfolding *convex-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-convex-mono*)

lemma *convex-plus-principal* [*simp*]:

$\text{convex-principal } t \cup\!\!\!\cup \text{convex-principal } u = \text{convex-principal } (PDPlus t u)$

unfolding *convex-plus-def*

by (*simp add: convex-pd.extension-principal*
convex-pd.extension-mono PDPlus-convex-mono)

interpretation *convex-add*: *semilattice* *convex-add* **proof**

fix *xs ys zs* :: 'a *convex-pd*

show $(xs \cup\!\!\!\cup ys) \cup\!\!\!\cup zs = xs \cup\!\!\!\cup (ys \cup\!\!\!\cup zs)$

apply (*induct xs rule: convex-pd.principal-induct, simp*)

apply (*induct ys rule: convex-pd.principal-induct, simp*)

apply (*induct zs rule: convex-pd.principal-induct, simp*)

```

  apply (simp add: PDPlus-assoc)
done
show  $xs \sqcup yz = ys \sqcup xs$ 
  apply (induct xs rule: convex-pd.principal-induct, simp)
  apply (induct ys rule: convex-pd.principal-induct, simp)
  apply (simp add: PDPlus-commute)
  done
show  $xs \sqcup xs = xs$ 
  apply (induct xs rule: convex-pd.principal-induct, simp)
  apply (simp add: PDPlus-absorb)
  done
qed

```

```

lemmas convex-plus-assoc = convex-add.assoc
lemmas convex-plus-commute = convex-add.commute
lemmas convex-plus-absorb = convex-add.idem
lemmas convex-plus-left-commute = convex-add.left-commute
lemmas convex-plus-left-absorb = convex-add.left-idem

```

Useful for *simp add: convex-plus-ac*

```

lemmas convex-plus-ac =
  convex-plus-assoc convex-plus-commute convex-plus-left-commute

```

Useful for *simp only: convex-plus-aci*

```

lemmas convex-plus-aci =
  convex-plus-ac convex-plus-absorb convex-plus-left-absorb

```

```

lemma convex-unit-below-plus-iff [simp]:
   $\{x\} \sqsubseteq ys \sqcup zs \iff \{x\} \sqsubseteq ys \wedge \{x\} \sqsubseteq zs$ 
  apply (induct x rule: compact-basis.principal-induct, simp)
  apply (induct ys rule: convex-pd.principal-induct, simp)
  apply (induct zs rule: convex-pd.principal-induct, simp)
  apply simp
done

```

```

lemma convex-plus-below-unit-iff [simp]:
   $xs \sqcup ys \sqsubseteq \{z\} \iff xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$ 
  apply (induct xs rule: convex-pd.principal-induct, simp)
  apply (induct ys rule: convex-pd.principal-induct, simp)
  apply (induct z rule: compact-basis.principal-induct, simp)
  apply simp
done

```

```

lemma convex-unit-below-iff [simp]:  $\{x\} \sqsubseteq \{y\} \iff x \sqsubseteq y$ 
  apply (induct x rule: compact-basis.principal-induct, simp)
  apply (induct y rule: compact-basis.principal-induct, simp)
  apply simp
done

```

lemma *convex-unit-eq-iff* [*simp*]: $\{x\}^\natural = \{y\}^\natural \iff x = y$
unfolding *po-eq-conv* **by** *simp*

lemma *convex-unit-strict* [*simp*]: $\{\perp\}^\natural = \perp$
using *convex-unit-Rep-compact-basis* [*of compact-bot*]
by (*simp add: inst-convex-pd-pcpo*)

lemma *convex-unit-bottom-iff* [*simp*]: $\{x\}^\natural = \perp \iff x = \perp$
unfolding *convex-unit-strict* [*symmetric*] **by** (*rule convex-unit-eq-iff*)

lemma *compact-convex-unit*: $\text{compact } x \implies \text{compact } \{x\}^\natural$
by (*auto dest!: compact-basis.compact-imp-principal*)

lemma *compact-convex-unit-iff* [*simp*]: $\text{compact } \{x\}^\natural \iff \text{compact } x$
apply (*safe elim!: compact-convex-unit*)
apply (*simp only: compact-def convex-unit-below-iff* [*symmetric*])
apply (*erule adm-subst* [*OF cont-Rep-cfun2*])
done

lemma *compact-convex-plus* [*simp*]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup^\natural ys)$
by (*auto dest!: convex-pd.compact-imp-principal*)

33.4 Induction rules

lemma *convex-pd-induct1*:
assumes *P: adm P*
assumes *unit*: $\bigwedge x. P \{x\}^\natural$
assumes *insert*: $\bigwedge x ys. \llbracket P \{x\}^\natural; P ys \rrbracket \implies P (\{x\}^\natural \cup^\natural ys)$
shows $P (xs::'a \text{ convex-pd})$
apply (*induct xs rule: convex-pd.principal-induct, rule P*)
apply (*induct-tac a rule: pd-basis-induct1*)
apply (*simp only: convex-unit-Rep-compact-basis* [*symmetric*])
apply (*rule unit*)
apply (*simp only: convex-unit-Rep-compact-basis* [*symmetric*]
convex-plus-principal [*symmetric*])
apply (*erule insert* [*OF unit*])
done

lemma *convex-pd-induct*
[*case-names adm convex-unit convex-plus, induct type: convex-pd*]:
assumes *P: adm P*
assumes *unit*: $\bigwedge x. P \{x\}^\natural$
assumes *plus*: $\bigwedge xs ys. \llbracket P xs; P ys \rrbracket \implies P (xs \cup^\natural ys)$
shows $P (xs::'a \text{ convex-pd})$
apply (*induct xs rule: convex-pd.principal-induct, rule P*)
apply (*induct-tac a rule: pd-basis-induct*)
apply (*simp only: convex-unit-Rep-compact-basis* [*symmetric*] *unit*)
apply (*simp only: convex-plus-principal* [*symmetric*] *plus*)

done

33.5 Monadic bind

definition

convex-bind-basis ::
 'a pd-basis \Rightarrow ('a \rightarrow 'b convex-pd) \rightarrow 'b convex-pd **where**
convex-bind-basis = fold-pd
 ($\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$)
 ($\lambda x y. \Lambda f. x \cdot f \cup_{\text{h}} y \cdot f$)

lemma *ACI-convex-bind*:

semilattice ($\lambda x y. \Lambda f. x \cdot f \cup_{\text{h}} y \cdot f$)

apply *unfold-locales*

apply (*simp add: convex-plus-assoc*)

apply (*simp add: convex-plus-commute*)

apply (*simp add: eta-cfun*)

done

lemma *convex-bind-basis-simps* [*simp*]:

convex-bind-basis (PDUnit a) =

($\Lambda f. f \cdot (\text{Rep-compact-basis } a)$)

convex-bind-basis (PDPlus t u) =

($\Lambda f. \text{convex-bind-basis } t \cdot f \cup_{\text{h}} \text{convex-bind-basis } u \cdot f$)

unfolding *convex-bind-basis-def*

apply –

apply (*rule fold-pd-PDUnit [OF ACI-convex-bind]*)

apply (*rule fold-pd-PDPlus [OF ACI-convex-bind]*)

done

lemma *convex-bind-basis-mono*:

$t \leq_{\text{h}} u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$

apply (*erule convex-le-induct*)

apply (*erule (1) below-trans*)

apply (*simp add: monofun-LAM monofun-cfun*)

apply (*simp add: monofun-LAM monofun-cfun*)

done

definition

convex-bind :: 'a convex-pd \rightarrow ('a \rightarrow 'b convex-pd) \rightarrow 'b convex-pd **where**
convex-bind = *convex-pd.extension convex-bind-basis*

syntax

-convex-bind :: [*logic, logic, logic*] \Rightarrow *logic*

(($\exists \cup_{\text{h}} \in \cdot \cdot$) [0, 0, 10] 10)

translations

$\cup_{\text{h}} x \in xs. e == \text{CONST } \text{convex-bind} \cdot xs \cdot (\Lambda x. e)$

lemma *convex-bind-principal* [*simp*]:
 $convex-bind.(convex-principal\ t) = convex-bind-basis\ t$
unfolding *convex-bind-def*
apply (*rule convex-pd.extension-principal*)
apply (*erule convex-bind-basis-mono*)
done

lemma *convex-bind-unit* [*simp*]:
 $convex-bind.\{x\}\dagger.f = f.x$
by (*induct x rule: compact-basis.principal-induct, simp, simp*)

lemma *convex-bind-plus* [*simp*]:
 $convex-bind.(xs\ \cup\dagger\ ys).f = convex-bind.xs.f\ \cup\dagger\ convex-bind.ys.f$
by (*induct xs rule: convex-pd.principal-induct, simp,*
induct ys rule: convex-pd.principal-induct, simp, simp)

lemma *convex-bind-strict* [*simp*]: $convex-bind.\perp.f = f.\perp$
unfolding *convex-unit-strict* [*symmetric*] **by** (*rule convex-bind-unit*)

lemma *convex-bind-bind*:
 $convex-bind.(convex-bind.xs.f).g =$
 $convex-bind.xs.(\Lambda\ x.\ convex-bind.(f.x).g)$
by (*induct xs, simp-all*)

33.6 Map

definition
 $convex-map :: ('a \rightarrow 'b) \rightarrow 'a\ convex-pd \rightarrow 'b\ convex-pd$ **where**
 $convex-map = (\Lambda\ f\ xs.\ convex-bind.xs.(\Lambda\ x.\ \{f.x\}\dagger))$

lemma *convex-map-unit* [*simp*]:
 $convex-map.f.\{x\}\dagger = \{f.x\}\dagger$
unfolding *convex-map-def* **by** *simp*

lemma *convex-map-plus* [*simp*]:
 $convex-map.f.(xs\ \cup\dagger\ ys) = convex-map.f.xs\ \cup\dagger\ convex-map.f.ys$
unfolding *convex-map-def* **by** *simp*

lemma *convex-map-bottom* [*simp*]: $convex-map.f.\perp = \{f.\perp\}\dagger$
unfolding *convex-map-def* **by** *simp*

lemma *convex-map-ident*: $convex-map.(\Lambda\ x.\ x).xs = xs$
by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-map-ID*: $convex-map.ID = ID$
by (*simp add: cfun-eq-iff ID-def convex-map-ident*)

lemma *convex-map-map*:
 $convex-map.f.(convex-map.g.xs) = convex-map.(\Lambda\ x.\ f.(g.x)).xs$

by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-bind-map:*

convex-bind.(convex-map.f.xs).g = convex-bind.xs.($\Lambda x. g.(f.x)$)

by (*simp add: convex-map-def convex-bind-bind*)

lemma *convex-map-bind:*

convex-map.f.(convex-bind.xs.g) = convex-bind.xs.($\Lambda x. convex-map.f.(g.x)$)

by (*simp add: convex-map-def convex-bind-bind*)

lemma *ep-pair-convex-map:* *ep-pair e p \implies ep-pair (convex-map.e) (convex-map.p)*

apply *standard*

apply (*induct-tac x rule: convex-pd-induct, simp-all add: ep-pair.e-inverse*)

apply (*induct-tac y rule: convex-pd-induct*)

apply (*simp-all add: ep-pair.e-p-below monofun-cfun*)

done

lemma *deflation-convex-map:* *deflation d \implies deflation (convex-map.d)*

apply *standard*

apply (*induct-tac x rule: convex-pd-induct, simp-all add: deflation.idem*)

apply (*induct-tac x rule: convex-pd-induct*)

apply (*simp-all add: deflation.below monofun-cfun*)

done

lemma *finite-deflation-convex-map:*

assumes *finite-deflation d shows finite-deflation (convex-map.d)*

proof (*rule finite-deflation-intro*)

interpret *d: finite-deflation d by fact*

have *deflation d by fact*

thus *deflation (convex-map.d) by (rule deflation-convex-map)*

have *finite (range ($\lambda x. d.x$)) by (rule d.finite-range)*

hence *finite (Rep-compact-basis -‘ range ($\lambda x. d.x$))*

by (*rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject*)

hence *finite (Pow (Rep-compact-basis -‘ range ($\lambda x. d.x$))) by simp*

hence *finite (Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range ($\lambda x. d.x$))))*

by (*rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject*)

hence **: finite (convex-principal ‘ Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range ($\lambda x. d.x$)))) by simp*

hence *finite (range ($\lambda xs. convex-map.d.xs$))*

apply (*rule rev-finite-subset*)

apply *clarsimp*

apply (*induct-tac xs rule: convex-pd.principal-induct*)

apply (*simp add: adm-mem-finite **)

apply (*rename-tac t, induct-tac t rule: pd-basis-induct*)

apply (*simp only: convex-unit-Rep-compact-basis [symmetric] convex-map-unit*)

apply *simp*

apply (*subgoal-tac $\exists b. d.(Rep-compact-basis a) = Rep-compact-basis b$*)

apply *clarsimp*

```

apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDUnit)
apply (rule range-eqI)
apply (erule sym)
apply (rule exI)
apply (rule Abs-compact-basis-inverse [symmetric])
apply (simp add: d.compact)
apply (simp only: convex-plus-principal [symmetric] convex-map-plus)
apply clarsimp
apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDPlus)
done
thus finite {xs. convex-map.d.xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

33.7 Convex powerdomain is bifinite

```

lemma approx-chain-convex-map:
  assumes approx-chain a
  shows approx-chain ( $\lambda i. \text{convex-map} \cdot (a \ i)$ )
  using assms unfolding approx-chain-def
  by (simp add: lub-APP convex-map-ID finite-deflation-convex-map)

```

```

instance convex-pd :: (bifinite) bifinite

```

```

proof

```

```

  show  $\exists (a::\text{nat} \Rightarrow 'a \text{ convex-pd} \rightarrow 'a \text{ convex-pd}). \text{approx-chain } a$ 
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-convex-map)

```

```

qed

```

33.8 Join

```

definition

```

```

  convex-join :: 'a convex-pd convex-pd  $\rightarrow$  'a convex-pd where
  convex-join = ( $\Lambda \ xss. \text{convex-bind} \cdot xss \cdot (\Lambda \ xs. xs)$ )

```

```

lemma convex-join-unit [simp]:

```

```

  convex-join. $\{xs\} \dagger = xs$ 

```

```

unfolding convex-join-def by simp

```

```

lemma convex-join-plus [simp]:

```

```

  convex-join. $(xss \cup \dagger yss) = \text{convex-join} \cdot xss \cup \dagger \text{convex-join} \cdot yss$ 

```

```

unfolding convex-join-def by simp

```

```

lemma convex-join-bottom [simp]: convex-join. $\perp = \perp$ 

```

```

unfolding convex-join-def by simp

```

lemma *convex-join-map-unit*:
 $convex-join \cdot (convex-map \cdot convex-unit \cdot xs) = xs$
by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-join*:
 $convex-join \cdot (convex-map \cdot convex-join \cdot xsss) = convex-join \cdot (convex-join \cdot xsss)$
by (*induct xsss rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-map*:
 $convex-join \cdot (convex-map \cdot (convex-map \cdot f) \cdot xss) =$
 $convex-map \cdot f \cdot (convex-join \cdot xss)$
by (*induct xss rule: convex-pd-induct, simp-all*)

33.9 Conversions to other powerdomains

Convex to upper

lemma *convex-le-imp-upper-le*: $t \leq_{\natural} u \implies t \leq_{\sharp} u$
unfolding *convex-le-def* **by** *simp*

definition

convex-to-upper :: 'a convex-pd \rightarrow 'a upper-pd **where**
convex-to-upper = *convex-pd.extension upper-principal*

lemma *convex-to-upper-principal* [*simp*]:
 $convex-to-upper \cdot (convex-principal \ t) = upper-principal \ t$
unfolding *convex-to-upper-def*
apply (*rule convex-pd.extension-principal*)
apply (*rule upper-pd.principal-mono*)
apply (*erule convex-le-imp-upper-le*)
done

lemma *convex-to-upper-unit* [*simp*]:
 $convex-to-upper \cdot \{x\}_{\natural} = \{x\}_{\sharp}$
by (*induct x rule: compact-basis.principal-induct, simp, simp*)

lemma *convex-to-upper-plus* [*simp*]:
 $convex-to-upper \cdot (xs \cup_{\natural} ys) = convex-to-upper \cdot xs \cup_{\sharp} convex-to-upper \cdot ys$
by (*induct xs rule: convex-pd.principal-induct, simp,*
induct ys rule: convex-pd.principal-induct, simp, simp)

lemma *convex-to-upper-bind* [*simp*]:
 $convex-to-upper \cdot (convex-bind \cdot xs \cdot f) =$
 $upper-bind \cdot (convex-to-upper \cdot xs) \cdot (convex-to-upper \ o \ f)$
by (*induct xs rule: convex-pd-induct, simp, simp, simp*)

lemma *convex-to-upper-map* [*simp*]:
 $convex-to-upper \cdot (convex-map \cdot f \cdot xs) = upper-map \cdot f \cdot (convex-to-upper \cdot xs)$
by (*simp add: convex-map-def upper-map-def cfcomp-LAM*)

lemma *convex-to-upper-join* [simp]:
 $convex-to-upper \cdot (convex-join \cdot xss) =$
 $upper-bind \cdot (convex-to-upper \cdot xss) \cdot convex-to-upper$
by (simp add: convex-join-def upper-join-def cfcomp-LAM eta-cfun)

Convex to lower

lemma *convex-le-imp-lower-le*: $t \leq^{\natural} u \implies t \leq^{\flat} u$
unfolding *convex-le-def* **by** simp

definition

convex-to-lower :: 'a convex-pd \rightarrow 'a lower-pd **where**
convex-to-lower = *convex-pd.extension lower-principal*

lemma *convex-to-lower-principal* [simp]:
 $convex-to-lower \cdot (convex-principal \ t) = lower-principal \ t$
unfolding *convex-to-lower-def*
apply (rule *convex-pd.extension-principal*)
apply (rule *lower-pd.principal-mono*)
apply (erule *convex-le-imp-lower-le*)
done

lemma *convex-to-lower-unit* [simp]:
 $convex-to-lower \cdot \{x\}^{\natural} = \{x\}^{\flat}$
by (induct x rule: *compact-basis.principal-induct*, simp, simp)

lemma *convex-to-lower-plus* [simp]:
 $convex-to-lower \cdot (xs \cup^{\natural} ys) = convex-to-lower \cdot xs \cup^{\flat} convex-to-lower \cdot ys$
by (induct xs rule: *convex-pd.principal-induct*, simp,
induct ys rule: *convex-pd.principal-induct*, simp, simp)

lemma *convex-to-lower-bind* [simp]:
 $convex-to-lower \cdot (convex-bind \cdot xs \cdot f) =$
 $lower-bind \cdot (convex-to-lower \cdot xs) \cdot (convex-to-lower \ o \ f)$
by (induct xs rule: *convex-pd-induct*, simp, simp, simp)

lemma *convex-to-lower-map* [simp]:
 $convex-to-lower \cdot (convex-map \cdot f \cdot xs) = lower-map \cdot f \cdot (convex-to-lower \cdot xs)$
by (simp add: *convex-map-def lower-map-def cfcomp-LAM*)

lemma *convex-to-lower-join* [simp]:
 $convex-to-lower \cdot (convex-join \cdot xss) =$
 $lower-bind \cdot (convex-to-lower \cdot xss) \cdot convex-to-lower$
by (simp add: *convex-join-def lower-join-def cfcomp-LAM eta-cfun*)

Ordering property

lemma *convex-pd-below-iff*:
 $(xs \sqsubseteq ys) =$
 $(convex-to-upper \cdot xs \sqsubseteq convex-to-upper \cdot ys \wedge$
 $convex-to-lower \cdot xs \sqsubseteq convex-to-lower \cdot ys)$

```

apply (induct xs rule: convex-pd.principal-induct, simp)
apply (induct ys rule: convex-pd.principal-induct, simp)
apply (simp add: convex-le-def)
done

lemmas convex-plus-below-plus-iff =
  convex-pd-below-iff [where xs=xs  $\cup$  ys and ys=zs  $\cup$  ws]
  for xs ys zs ws

lemmas convex-pd-below-simps =
  convex-unit-below-plus-iff
  convex-plus-below-unit-iff
  convex-plus-below-plus-iff
  convex-unit-below-iff
  convex-to-upper-unit
  convex-to-upper-plus
  convex-to-lower-unit
  convex-to-lower-plus
  upper-pd-below-simps
  lower-pd-below-simps

end

```

34 Powerdomains

```

theory Powerdomains
imports ConvexPD Domain
begin

```

34.1 Universal domain embeddings

```

definition upper-emb = udom-emb ( $\lambda i.$  upper-map.(udom-approx i))
definition upper-prj = udom-prj ( $\lambda i.$  upper-map.(udom-approx i))

definition lower-emb = udom-emb ( $\lambda i.$  lower-map.(udom-approx i))
definition lower-prj = udom-prj ( $\lambda i.$  lower-map.(udom-approx i))

definition convex-emb = udom-emb ( $\lambda i.$  convex-map.(udom-approx i))
definition convex-prj = udom-prj ( $\lambda i.$  convex-map.(udom-approx i))

lemma ep-pair-upper: ep-pair upper-emb upper-prj
  unfolding upper-emb-def upper-prj-def
  by (simp add: ep-pair-udom approx-chain-upper-map)

lemma ep-pair-lower: ep-pair lower-emb lower-prj
  unfolding lower-emb-def lower-prj-def
  by (simp add: ep-pair-udom approx-chain-lower-map)

lemma ep-pair-convex: ep-pair convex-emb convex-prj

```

unfolding *convex-emb-def convex-prj-def*
by (*simp add: ep-pair-udom approx-chain-convex-map*)

34.2 Deflation combinators

definition *upper-defl* :: *udom defl* → *udom defl*
where *upper-defl* = *defl-fun1 upper-emb upper-prj upper-map*

definition *lower-defl* :: *udom defl* → *udom defl*
where *lower-defl* = *defl-fun1 lower-emb lower-prj lower-map*

definition *convex-defl* :: *udom defl* → *udom defl*
where *convex-defl* = *defl-fun1 convex-emb convex-prj convex-map*

lemma *cast-upper-defl*:
cast·(*upper-defl*·*A*) = *upper-emb oo upper-map*·(*cast*·*A*) *oo upper-prj*
using *ep-pair-upper finite-deflation-upper-map*
unfolding *upper-defl-def* **by** (*rule cast-defl-fun1*)

lemma *cast-lower-defl*:
cast·(*lower-defl*·*A*) = *lower-emb oo lower-map*·(*cast*·*A*) *oo lower-prj*
using *ep-pair-lower finite-deflation-lower-map*
unfolding *lower-defl-def* **by** (*rule cast-defl-fun1*)

lemma *cast-convex-defl*:
cast·(*convex-defl*·*A*) = *convex-emb oo convex-map*·(*cast*·*A*) *oo convex-prj*
using *ep-pair-convex finite-deflation-convex-map*
unfolding *convex-defl-def* **by** (*rule cast-defl-fun1*)

34.3 Domain class instances

instantiation *upper-pd* :: (*domain*) *domain*
begin

definition
emb = *upper-emb oo upper-map*·*emb*

definition
prj = *upper-map*·*prj oo upper-prj*

definition
defl (*t*::*'a upper-pd itself*) = *upper-defl*·*DEFL*(*'a*)

definition
(*liftemb* :: *'a upper-pd u* → *udom u*) = *u-map*·*emb*

definition
(*liftprj* :: *udom u* → *'a upper-pd u*) = *u-map*·*prj*

definition

$liftdefl (t::'a upper-pd itself) = liftdefl-of \cdot DEFL('a upper-pd)$

instance proof

show $ep-pair\ emb (prj :: udom \rightarrow 'a upper-pd)$

unfolding $emb-upper-pd-def\ prj-upper-pd-def$

by $(intro\ ep-pair-comp\ ep-pair-upper\ ep-pair-upper-map\ ep-pair-emb-prj)$

next

show $cast \cdot DEFL('a upper-pd) = emb\ oo (prj :: udom \rightarrow 'a upper-pd)$

unfolding $emb-upper-pd-def\ prj-upper-pd-def\ defl-upper-pd-def\ cast-upper-defl$

by $(simp\ add: cast-DEFL\ oo-def\ cfun-eq-iff\ upper-map-map)$

qed $(fact\ liftemb-upper-pd-def\ liftprj-upper-pd-def\ liftdefl-upper-pd-def)+$

end

instantiation $lower-pd :: (domain)\ domain$

begin

definition

$emb = lower-emb\ oo\ lower-map \cdot emb$

definition

$prj = lower-map \cdot prj\ oo\ lower-prj$

definition

$defl (t::'a lower-pd itself) = lower-defl \cdot DEFL('a)$

definition

$(liftemb :: 'a lower-pd\ u \rightarrow udom\ u) = u-map \cdot emb$

definition

$(liftprj :: udom\ u \rightarrow 'a lower-pd\ u) = u-map \cdot prj$

definition

$liftdefl (t::'a lower-pd itself) = liftdefl-of \cdot DEFL('a lower-pd)$

instance proof

show $ep-pair\ emb (prj :: udom \rightarrow 'a lower-pd)$

unfolding $emb-lower-pd-def\ prj-lower-pd-def$

by $(intro\ ep-pair-comp\ ep-pair-lower\ ep-pair-lower-map\ ep-pair-emb-prj)$

next

show $cast \cdot DEFL('a lower-pd) = emb\ oo (prj :: udom \rightarrow 'a lower-pd)$

unfolding $emb-lower-pd-def\ prj-lower-pd-def\ defl-lower-pd-def\ cast-lower-defl$

by $(simp\ add: cast-DEFL\ oo-def\ cfun-eq-iff\ lower-map-map)$

qed $(fact\ liftemb-lower-pd-def\ liftprj-lower-pd-def\ liftdefl-lower-pd-def)+$

end

instantiation $convex-pd :: (domain)\ domain$

begin

definition

$$emb = convex-emb \text{ oo } convex-map \cdot emb$$
definition

$$prj = convex-map \cdot prj \text{ oo } convex-prj$$
definition

$$defl (t :: 'a \text{ convex-pd } itself) = convex-defl \cdot DEFL('a)$$
definition

$$(liftemb :: 'a \text{ convex-pd } u \rightarrow udom \ u) = u-map \cdot emb$$
definition

$$(liftprj :: udom \ u \rightarrow 'a \text{ convex-pd } u) = u-map \cdot prj$$
definition

$$liftdefl (t :: 'a \text{ convex-pd } itself) = liftdefl-of \cdot DEFL('a \text{ convex-pd})$$
instance proof

$$\text{show } ep\text{-pair } emb (prj :: udom \rightarrow 'a \text{ convex-pd})$$

$$\text{unfolding } emb\text{-convex-pd-def } prj\text{-convex-pd-def}$$

$$\text{by } (intro \ ep\text{-pair-comp } ep\text{-pair-convex } ep\text{-pair-convex-map } ep\text{-pair-emb-prj})$$
next

$$\text{show } cast \cdot DEFL('a \text{ convex-pd}) = emb \text{ oo } (prj :: udom \rightarrow 'a \text{ convex-pd})$$

$$\text{unfolding } emb\text{-convex-pd-def } prj\text{-convex-pd-def } defl\text{-convex-pd-def } cast\text{-convex-defl}$$

$$\text{by } (simp \ add: cast\text{-DEFL } oo\text{-def } cfun\text{-eq-iff } convex\text{-map-map})$$

$$\text{qed } (fact \ liftemb\text{-convex-pd-def } liftprj\text{-convex-pd-def } liftdefl\text{-convex-pd-def}) +$$
end

$$\text{lemma } DEFL\text{-upper}: DEFL('a :: domain \ upper\text{-pd}) = upper\text{-defl} \cdot DEFL('a)$$

$$\text{by } (rule \ defl\text{-upper-pd-def})$$

$$\text{lemma } DEFL\text{-lower}: DEFL('a :: domain \ lower\text{-pd}) = lower\text{-defl} \cdot DEFL('a)$$

$$\text{by } (rule \ defl\text{-lower-pd-def})$$

$$\text{lemma } DEFL\text{-convex}: DEFL('a :: domain \ convex\text{-pd}) = convex\text{-defl} \cdot DEFL('a)$$

$$\text{by } (rule \ defl\text{-convex-pd-def})$$

34.4 Isomorphic deflations

$$\text{lemma } isodefl\text{-upper}:$$

$$isodefl \ d \ t \implies isodefl \ (upper\text{-map} \cdot d) \ (upper\text{-defl} \cdot t)$$

$$\text{apply } (rule \ isodeflI)$$

$$\text{apply } (simp \ add: cast\text{-upper-defl } cast\text{-isodefl})$$

$$\text{apply } (simp \ add: emb\text{-upper-pd-def } prj\text{-upper-pd-def})$$

$$\text{apply } (simp \ add: upper\text{-map-map})$$

$$\text{done}$$


```

lemma isodeft-lower:
  isodeft d t  $\implies$  isodeft (lower-map.d) (lower-defl.t)
apply (rule isodeftI)
apply (simp add: cast-lower-defl cast-isodeft)
apply (simp add: emb-lower-pd-def prj-lower-pd-def)
apply (simp add: lower-map-map)
done

```

```

lemma isodeft-convex:
  isodeft d t  $\implies$  isodeft (convex-map.d) (convex-defl.t)
apply (rule isodeftI)
apply (simp add: cast-convex-defl cast-isodeft)
apply (simp add: emb-convex-pd-def prj-convex-pd-def)
apply (simp add: convex-map-map)
done

```

34.5 Domain package setup for powerdomains

```

lemmas [domain-defl-simps] = DEFL-upper DEFL-lower DEFL-convex
lemmas [domain-map-ID] = upper-map-ID lower-map-ID convex-map-ID
lemmas [domain-isodeft] = isodeft-upper isodeft-lower isodeft-convex

```

```

lemmas [domain-deflation] =
  deflation-upper-map deflation-lower-map deflation-convex-map

```

```

setup (
  fold Domain-Take-Proofs.add-rec-type
    [(@{type-name upper-pd}, [true]),
      (@{type-name lower-pd}, [true]),
      (@{type-name convex-pd}, [true])]
)

```

end

theory *HOLCF*

imports

Main

Domain

Powerdomains

begin

default-sort *domain*

end