

Various results of number theory

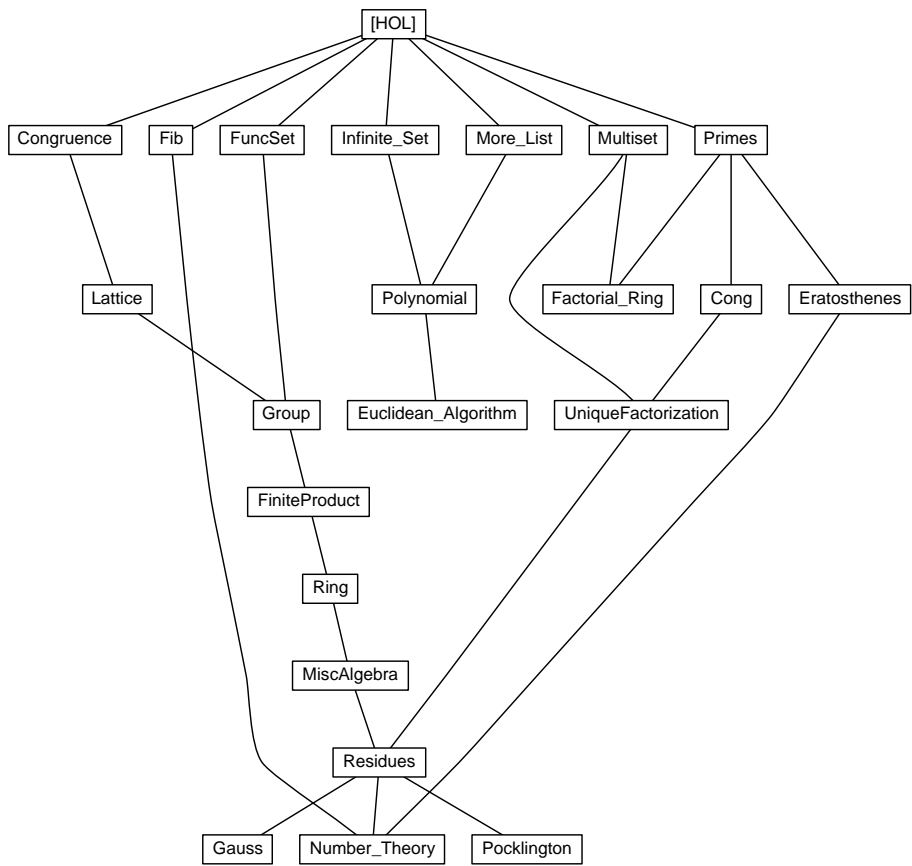
April 17, 2016

Contents

1	Primes	5
1.1	Primes	5
1.1.1	Make prime naively executable	6
1.2	Infinitely many primes	7
1.3	Powers of Primes	8
1.4	Chinese Remainder Theorem Variants	8
2	Congruence	9
2.1	Turn off <i>One-nat-def</i>	9
2.2	Main definitions	9
2.3	Set up Transfer	10
2.4	Congruence	10
3	Unique factorization for the natural numbers and the integers	19
3.1	Unique factorization: multiset version	19
3.2	Prime factors and multiplicity for nat and int	20
3.3	Set up transfer	21
3.4	Properties of prime factors and multiplicity for nat and int	21
3.5	An application	28
4	Things that can be added to the Algebra library	29
4.1	Finiteness stuff	29
4.2	The rest is for the algebra libraries	29
4.2.1	These go in Group.thy	29
4.2.2	Miscellaneous	30
4.2.3	This goes in FiniteProduct	31
5	Residue rings	32
5.1	A locale for residue rings	32
5.2	Prime residues	35

6	Test cases: Euler’s theorem and Wilson’s theorem	35
6.1	Euler’s theorem	35
6.2	Wilson’s theorem	36
7	Pocklington’s Theorem for Primes	37
7.1	Lemmas about previously defined terms	37
7.2	Some basic theorems about solving congruences	37
7.3	Lucas’s theorem	37
7.4	Definition of the order of a number mod n (0 in non-coprime case)	38
7.5	Another trivial primality characterization	39
7.6	Pocklington theorem	40
7.7	Prime factorizations	40
8	Gauss’ Lemma	41
8.1	Basic properties of p	42
8.2	Basic Properties of the Gauss Sets	42
8.3	Relationships Between Gauss Sets	44
8.4	Gauss’ Lemma	45
9	The fibonacci function	45
9.1	Fibonacci numbers	45
9.2	Basic Properties	45
9.3	A Few Elementary Results	46
9.4	Law 6.111 of Concrete Mathematics	46
9.5	Fibonacci and Binomial Coefficients	47
10	The sieve of Eratosthenes	47
10.1	Preliminary: strict divisibility	47
10.2	Main corpus	47
10.3	Application: smallest prime beyond a certain number	50
11	Comprehensive number theory	51
12	Less common functions on lists	51
13	Infinite Sets and Related Concepts	56
13.1	Infinitely Many and Almost All	57
13.2	Enumeration of an Infinite Set	59
14	Polynomials as type over a ring structure	60
14.1	Auxiliary: operations for lists (later) representing coefficients	60
14.2	Definition of type <i>poly</i>	61
14.3	Degree of a polynomial	61
14.4	The zero polynomial	61

14.5	List-style constructor for polynomials	62
14.6	Quickcheck generator for polynomials	63
14.7	List-style syntax for polynomials	63
14.8	Representation of polynomials by lists of coefficients	64
14.9	Fold combinator for polynomials	66
14.10	Canonical morphism on polynomials – evaluation	67
14.11	Monomials	67
14.12	Addition and subtraction	68
14.13	Multiplication by a constant, polynomial multiplication and the unit polynomial	71
14.14	Conversions from natural numbers	75
14.15	Lemmas about divisibility	75
14.16	Polynomials form an integral domain	76
14.17	Polynomials form an ordered integral domain	77
14.18	Synthetic division and polynomial roots	78
14.19	Long division of polynomials	79
14.20	Order of polynomial roots	85
14.21	Additional induction rules on polynomials	86
14.22	Composition of polynomials	86
14.23	Leading coefficient	88
14.24	Derivatives of univariate polynomials	89
14.25	Algebraic numbers	92
14.26	Algebraic numbers	92
15	Abstract euclidean algorithm	95
15.1	Typical instances	101
16	Factorial (semi)rings	103



1 Primes

theory *Primes*

imports *~/src/HOL/GCD ~/src/HOL/Binomial*

begin

declare *[[coercion int]]*

declare *[[coercion-enabled]]*

definition *prime :: nat ⇒ bool*

where *prime p = (1 < p ∧ (∀ m. m dvd p → m = 1 ∨ m = p))*

1.1 Primes

lemma *prime-odd-nat: prime p ⇒ p > 2 ⇒ odd p*

<proof>

lemma *prime-gt-0-nat: prime p ⇒ p > 0*

<proof>

lemma *prime-ge-1-nat: prime p ⇒ p ≥ 1*

<proof>

lemma *prime-gt-1-nat: prime p ⇒ p > 1*

<proof>

lemma *prime-ge-Suc-0-nat: prime p ⇒ p ≥ Suc 0*

<proof>

lemma *prime-gt-Suc-0-nat: prime p ⇒ p > Suc 0*

<proof>

lemma *prime-ge-2-nat: prime p ⇒ p ≥ 2*

<proof>

lemma *prime-imp-coprime-nat: prime p ⇒ ¬ p dvd n ⇒ coprime p n*

<proof>

lemma *prime-int-altdef:*

prime p = (1 < p ∧ (∀ m::int. m ≥ 0 → m dvd p →

m = 1 ∨ m = p))

<proof>

lemma *prime-imp-coprime-int:*

fixes *n::int shows prime p ⇒ ¬ p dvd n ⇒ coprime p n*

<proof>

lemma *prime-dvd-mult-nat: prime p ⇒ p dvd m * n ⇒ p dvd m ∨ p dvd n*

<proof>

lemma *prime-dvd-mult-int*:

fixes $n::int$ **shows** $prime\ p \implies p\ dvd\ m * n \implies p\ dvd\ m \vee p\ dvd\ n$
<proof>

lemma *prime-dvd-mult-eq-nat* [simp]: $prime\ p \implies$

$p\ dvd\ m * n = (p\ dvd\ m \vee p\ dvd\ n)$
<proof>

lemma *prime-dvd-mult-eq-int* [simp]:

fixes $n::int$
shows $prime\ p \implies p\ dvd\ m * n = (p\ dvd\ m \vee p\ dvd\ n)$
<proof>

lemma *not-prime-eq-prod-nat*:

$1 < n \implies \neg\ prime\ n \implies$
 $\exists m\ k. n = m * k \wedge 1 < m \wedge m < n \wedge 1 < k \wedge k < n$
<proof>

lemma *prime-dvd-power-nat*: $prime\ p \implies p\ dvd\ x^n \implies p\ dvd\ x$

<proof>

lemma *prime-dvd-power-int*:

fixes $x::int$ **shows** $prime\ p \implies p\ dvd\ x^n \implies p\ dvd\ x$
<proof>

lemma *prime-dvd-power-nat-iff*: $prime\ p \implies n > 0 \implies$

$p\ dvd\ x^n \longleftrightarrow p\ dvd\ x$
<proof>

lemma *prime-dvd-power-int-iff*:

fixes $x::int$
shows $prime\ p \implies n > 0 \implies p\ dvd\ x^n \longleftrightarrow p\ dvd\ x$
<proof>

1.1.1 Make prime naively executable

lemma *zero-not-prime-nat* [simp]: $\sim\ prime\ (0::nat)$

<proof>

lemma *one-not-prime-nat* [simp]: $\sim\ prime\ (1::nat)$

<proof>

lemma *Suc-0-not-prime-nat* [simp]: $\sim\ prime\ (Suc\ 0)$

<proof>

lemma *prime-nat-code* [code]:

$prime\ p \longleftrightarrow p > 1 \wedge (\forall n \in \{1 <..<p\}. \sim\ n\ dvd\ p)$
<proof>

lemma *prime-nat-simp*:

$prime\ p \longleftrightarrow p > 1 \wedge (\forall n \in set\ [2..<p]. \neg n\ dvd\ p)$
(*proof*)

lemmas *prime-nat-simp-numeral* [*simp*] = *prime-nat-simp* [of numeral *m*] **for** *m*

lemma *two-is-prime-nat* [*simp*]: $prime\ (2::nat)$

(*proof*)

A bit of regression testing:

lemma *prime(97::nat)* (*proof*)

lemma *prime(997::nat)* (*proof*)

lemma *prime-imp-power-coprime-nat*: $prime\ p \implies \sim p\ dvd\ a \implies coprime\ a\ (p^m)$

(*proof*)

lemma *prime-imp-power-coprime-int*:

fixes *a::int* **shows** $prime\ p \implies \sim p\ dvd\ a \implies coprime\ a\ (p^m)$

(*proof*)

lemma *primes-coprime-nat*: $prime\ p \implies prime\ q \implies p \neq q \implies coprime\ p\ q$

(*proof*)

lemma *primes-imp-powers-coprime-nat*:

$prime\ p \implies prime\ q \implies p \sim = q \implies coprime\ (p^m)\ (q^n)$

(*proof*)

lemma *prime-factor-nat*:

$n \neq (1::nat) \implies \exists p. prime\ p \wedge p\ dvd\ n$

(*proof*)

One property of coprimality is easier to prove via prime factors.

lemma *prime-divprod-pow-nat*:

assumes *p*: $prime\ p$ **and** *ab*: $coprime\ a\ b$ **and** *pab*: $p^n\ dvd\ a * b$

shows $p^n\ dvd\ a \vee p^n\ dvd\ b$

(*proof*)

1.2 Infinitely many primes

lemma *next-prime-bound*: $\exists p. prime\ p \wedge n < p \wedge p \leq fact\ n + 1$

(*proof*)

lemma *bigger-prime*: $\exists p. prime\ p \wedge p > (n::nat)$

(*proof*)

lemma *primes-infinite*: $\neg (finite\ \{(p::nat). prime\ p\})$

(*proof*)

1.3 Powers of Primes

Versions for type nat only

lemma *prime-product*:

fixes $p::nat$
assumes *prime* ($p * q$)
shows $p = 1 \vee q = 1$
{*proof*}

lemma *prime-exp*:

fixes $p::nat$
shows *prime* (p^n) \longleftrightarrow *prime* $p \wedge n = 1$
{*proof*}

lemma *prime-power-mult*:

fixes $p::nat$
assumes p : *prime* p **and** xy : $x * y = p^k$
shows $\exists i j. x = p^i \wedge y = p^j$
{*proof*}

lemma *prime-power-exp*:

fixes $p::nat$
assumes p : *prime* p **and** n : $n \neq 0$
and xn : $x^n = p^k$ **shows** $\exists i. x = p^i$
{*proof*}

lemma *divides-primexp*:

fixes $p::nat$
assumes p : *prime* p
shows $d \text{ dvd } p^k \longleftrightarrow (\exists i. i \leq k \wedge d = p^i)$
{*proof*}

1.4 Chinese Remainder Theorem Variants

lemma *bezout-gcd-nat*:

fixes $a::nat$ **shows** $\exists x y. a * x - b * y = \text{gcd } a b \vee b * x - a * y = \text{gcd } a b$
{*proof*}

lemma *gcd-bezout-sum-nat*:

fixes $a::nat$
assumes $a * x + b * y = d$
shows $\text{gcd } a b \text{ dvd } d$
{*proof*}

A binary form of the Chinese Remainder Theorem.

lemma *chinese-remainder*:

fixes $a::nat$ **assumes** ab : *coprime* $a b$ **and** a : $a \neq 0$ **and** b : $b \neq 0$
shows $\exists x q1 q2. x = u + q1 * a \wedge x = v + q2 * b$
{*proof*}

Primality

lemma *coprime-bezout-strong*:
 fixes $a::nat$ **assumes** *coprime a b* $b \neq 1$
 shows $\exists x y. a * x = b * y + 1$
 $\langle proof \rangle$

lemma *bezout-prime*:
 assumes p : *prime p* **and** pa : $\neg p \text{ dvd } a$
 shows $\exists x y. a*x = \text{Suc } (p*y)$
 $\langle proof \rangle$

end

2 Congruence

theory *Cong*
imports *Primes*
begin

2.1 Turn off *One-nat-def*

lemma *power-eq-one-eq-nat* [*simp*]: $((x::nat) ^ m = 1) = (m = 0 \mid x = 1)$
 $\langle proof \rangle$

declare *mod-pos-pos-trivial* [*simp*]

2.2 Main definitions

class *cong* =
 fixes $cong :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ $((1[- = -] '(() \text{mod } -))$
begin

abbreviation *notcong* :: $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ $((1[- \neq -] '(() \text{mod } -))$
 where $notcong\ x\ y\ m \equiv \neg\ cong\ x\ y\ m$

end

instantiation *nat* :: *cong*
begin

definition *cong-nat* :: $nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$
 where $cong-nat\ x\ y\ m = ((x \text{ mod } m) = (y \text{ mod } m))$

instance $\langle proof \rangle$

end

instantiation *int* :: *cong*
begin

definition *cong-int* :: *int* \Rightarrow *int* \Rightarrow *int* \Rightarrow *bool*
 where *cong-int* *x y m* = ((*x mod m*) = (*y mod m*))

instance \langle *proof* \rangle

end

2.3 Set up Transfer

lemma *transfer-nat-int-cong*:
 (*x::int*) $\geq 0 \implies y \geq 0 \implies m \geq 0 \implies$
 ([(*nat x*) = (*nat y*)] (*mod (nat m)*)) = ([*x = y*] (*mod m*))
 \langle *proof* \rangle

declare *transfer-morphism-nat-int*[*transfer add return:*
 transfer-nat-int-cong]

lemma *transfer-int-nat-cong*:
 ([(*int x*) = (*int y*)] (*mod (int m)*)) = [*x = y*] (*mod m*)
 \langle *proof* \rangle

declare *transfer-morphism-int-nat*[*transfer add return:*
 transfer-int-nat-cong]

2.4 Congruence

lemma *cong-0-nat* [*simp, presburger*]: ([(*a::nat*) = *b*] (*mod 0*)) = (*a = b*)
 \langle *proof* \rangle

lemma *cong-0-int* [*simp, presburger*]: ([(*a::int*) = *b*] (*mod 0*)) = (*a = b*)
 \langle *proof* \rangle

lemma *cong-1-nat* [*simp, presburger*]: ([(*a::nat*) = *b*] (*mod 1*))
 \langle *proof* \rangle

lemma *cong-Suc-0-nat* [*simp, presburger*]: ([(*a::nat*) = *b*] (*mod Suc 0*))
 \langle *proof* \rangle

lemma *cong-1-int* [*simp, presburger*]: ([(*a::int*) = *b*] (*mod 1*))
 \langle *proof* \rangle

lemma *cong-refl-nat* [*simp*]: ([(*k::nat*) = *k*] (*mod m*))
 \langle *proof* \rangle

lemma *cong-refl-int* [*simp*]: $[(k::int) = k] \pmod m$
<proof>

lemma *cong-sym-nat*: $[(a::nat) = b] \pmod m \implies [b = a] \pmod m$
<proof>

lemma *cong-sym-int*: $[(a::int) = b] \pmod m \implies [b = a] \pmod m$
<proof>

lemma *cong-sym-eq-nat*: $[(a::nat) = b] \pmod m = [b = a] \pmod m$
<proof>

lemma *cong-sym-eq-int*: $[(a::int) = b] \pmod m = [b = a] \pmod m$
<proof>

lemma *cong-trans-nat* [*trans*]:
 $[(a::nat) = b] \pmod m \implies [b = c] \pmod m \implies [a = c] \pmod m$
<proof>

lemma *cong-trans-int* [*trans*]:
 $[(a::int) = b] \pmod m \implies [b = c] \pmod m \implies [a = c] \pmod m$
<proof>

lemma *cong-add-nat*:
 $[(a::nat) = b] \pmod m \implies [c = d] \pmod m \implies [a + c = b + d] \pmod m$
<proof>

lemma *cong-add-int*:
 $[(a::int) = b] \pmod m \implies [c = d] \pmod m \implies [a + c = b + d] \pmod m$
<proof>

lemma *cong-diff-int*:
 $[(a::int) = b] \pmod m \implies [c = d] \pmod m \implies [a - c = b - d] \pmod m$
<proof>

lemma *cong-diff-aux-int*:
 $[(a::int) = b] \pmod m \implies [c = d] \pmod m \implies$
 $(a::int) >= c \implies b >= d \implies [tsub a c = tsub b d] \pmod m$
<proof>

lemma *cong-diff-nat*:
assumes $[a = b] \pmod m [c = d] \pmod m (a::nat) >= c b >= d$
shows $[a - c = b - d] \pmod m$
<proof>

lemma *cong-mult-nat*:
 $[(a::nat) = b] \pmod m \implies [c = d] \pmod m \implies [a * c = b * d] \pmod m$
<proof>

lemma *cong-mult-int*:

$$[(a::int) = b] \text{ (mod } m) \implies [c = d] \text{ (mod } m) \implies [a * c = b * d] \text{ (mod } m)$$

<proof>

lemma *cong-exp-nat*: $[(x::nat) = y] \text{ (mod } n) \implies [x^k = y^k] \text{ (mod } n)$

<proof>

lemma *cong-exp-int*: $[(x::int) = y] \text{ (mod } n) \implies [x^k = y^k] \text{ (mod } n)$

<proof>

lemma *cong-setsum-nat* [rule-format]:

$$(\forall x \in A. [(f x)::nat] = g x \text{ (mod } m)) \longrightarrow$$
$$[(\sum x \in A. f x) = (\sum x \in A. g x)] \text{ (mod } m)$$

<proof>

lemma *cong-setsum-int* [rule-format]:

$$(\forall x \in A. [(f x)::int] = g x \text{ (mod } m)) \longrightarrow$$
$$[(\sum x \in A. f x) = (\sum x \in A. g x)] \text{ (mod } m)$$

<proof>

lemma *cong-setprod-nat* [rule-format]:

$$(\forall x \in A. [(f x)::nat] = g x \text{ (mod } m)) \longrightarrow$$
$$[(\prod x \in A. f x) = (\prod x \in A. g x)] \text{ (mod } m)$$

<proof>

lemma *cong-setprod-int* [rule-format]:

$$(\forall x \in A. [(f x)::int] = g x \text{ (mod } m)) \longrightarrow$$
$$[(\prod x \in A. f x) = (\prod x \in A. g x)] \text{ (mod } m)$$

<proof>

lemma *cong-scalar-nat*: $[(a::nat) = b] \text{ (mod } m) \implies [a * k = b * k] \text{ (mod } m)$

<proof>

lemma *cong-scalar-int*: $[(a::int) = b] \text{ (mod } m) \implies [a * k = b * k] \text{ (mod } m)$

<proof>

lemma *cong-scalar2-nat*: $[(a::nat) = b] \text{ (mod } m) \implies [k * a = k * b] \text{ (mod } m)$

<proof>

lemma *cong-scalar2-int*: $[(a::int) = b] \text{ (mod } m) \implies [k * a = k * b] \text{ (mod } m)$

<proof>

lemma *cong-mult-self-nat*: $[(a::nat) * m = 0] \text{ (mod } m)$

<proof>

lemma *cong-mult-self-int*: $[(a::int) * m = 0] \text{ (mod } m)$

<proof>

lemma *cong-eq-diff-cong-0-int*: $[(a::int) = b] (mod\ m) = [a - b = 0] (mod\ m)$
 ⟨proof⟩

lemma *cong-eq-diff-cong-0-aux-int*: $a \geq b \implies$
 $[(a::int) = b] (mod\ m) = [tsub\ a\ b = 0] (mod\ m)$
 ⟨proof⟩

lemma *cong-eq-diff-cong-0-nat*:
assumes $(a::nat) \geq b$
shows $[a = b] (mod\ m) = [a - b = 0] (mod\ m)$
 ⟨proof⟩

lemma *cong-diff-cong-0'-nat*:
 $[(x::nat) = y] (mod\ n) \longleftrightarrow$
 $(if\ x \leq y\ then\ [y - x = 0] (mod\ n)\ else\ [x - y = 0] (mod\ n))$
 ⟨proof⟩

lemma *cong-altdef-nat*: $(a::nat) \geq b \implies [a = b] (mod\ m) = (m\ dvd\ (a - b))$
 ⟨proof⟩

lemma *cong-altdef-int*: $[(a::int) = b] (mod\ m) = (m\ dvd\ (a - b))$
 ⟨proof⟩

lemma *cong-abs-int*: $[(x::int) = y] (mod\ abs\ m) = [x = y] (mod\ m)$
 ⟨proof⟩

lemma *cong-square-int*:
fixes $a::int$
shows $\llbracket prime\ p; 0 < a; [a * a = 1] (mod\ p) \rrbracket$
 $\implies [a = 1] (mod\ p) \vee [a = -1] (mod\ p)$
 ⟨proof⟩

lemma *cong-mult-rcancel-int*:
 $coprime\ k\ (m::int) \implies [a * k = b * k] (mod\ m) = [a = b] (mod\ m)$
 ⟨proof⟩

lemma *cong-mult-rcancel-nat*:
 $coprime\ k\ (m::nat) \implies [a * k = b * k] (mod\ m) = [a = b] (mod\ m)$
 ⟨proof⟩

lemma *cong-mult-lcancel-nat*:
 $coprime\ k\ (m::nat) \implies [k * a = k * b] (mod\ m) = [a = b] (mod\ m)$
 ⟨proof⟩

lemma *cong-mult-lcancel-int*:
 $coprime\ k\ (m::int) \implies [k * a = k * b] (mod\ m) = [a = b] (mod\ m)$
 ⟨proof⟩

lemma *coprime-cong-mult-int*:

$$\begin{aligned} [(a::int) = b] \text{ (mod } m) &\implies [a = b] \text{ (mod } n) \implies \text{coprime } m \ n \\ &\implies [a = b] \text{ (mod } m * n) \end{aligned}$$

<proof>

lemma *coprime-cong-mult-nat*:

$$\begin{aligned} \text{assumes } [(a::nat) = b] \text{ (mod } m) \text{ and } [a = b] \text{ (mod } n) \text{ and } \text{coprime } m \ n \\ \text{shows } [a = b] \text{ (mod } m * n) \end{aligned}$$

<proof>

lemma *cog-less-imp-eq-nat*: $0 \leq (a::nat) \implies$

$$a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$$

<proof>

lemma *cog-less-imp-eq-int*: $0 \leq (a::int) \implies$

$$a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$$

<proof>

lemma *cog-less-unique-nat*:

$$0 < (m::nat) \implies (\exists! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$$

<proof>

lemma *cog-less-unique-int*:

$$0 < (m::int) \implies (\exists! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$$

<proof>

lemma *cog-iff-lin-int*: $([(a::int) = b] \text{ (mod } m)) = (\exists k. b = a + m * k)$

<proof>

lemma *cog-iff-lin-nat*:

$$([(a::nat) = b] \text{ (mod } m)) \longleftrightarrow (\exists k1 \ k2. b + k1 * m = a + k2 * m) \text{ (is ?lhs = ?rhs)}$$

<proof>

lemma *cog-gcd-eq-int*: $[(a::int) = b] \text{ (mod } m) \implies \text{gcd } a \ m = \text{gcd } b \ m$

<proof>

lemma *cog-gcd-eq-nat*:

$$[(a::nat) = b] \text{ (mod } m) \implies \text{gcd } a \ m = \text{gcd } b \ m$$

<proof>

lemma *cog-imp-coprime-nat*: $[(a::nat) = b] \text{ (mod } m) \implies \text{coprime } a \ m \implies \text{coprime } b \ m$

<proof>

lemma *cog-imp-coprime-int*: $[(a::int) = b] \text{ (mod } m) \implies \text{coprime } a \ m \implies \text{coprime } b \ m$

<proof>

lemma *cong-cong-mod-nat*: $[(a::nat) = b] (mod\ m) = [a\ mod\ m = b\ mod\ m] (mod\ m)$

<proof>

lemma *cong-cong-mod-int*: $[(a::int) = b] (mod\ m) = [a\ mod\ m = b\ mod\ m] (mod\ m)$

<proof>

lemma *cong-minus-int [iff]*: $[(a::int) = b] (mod\ -m) = [a = b] (mod\ m)$

<proof>

lemma *cong-add-lcancel-nat*:

$[(a::nat) + x = a + y] (mod\ n) \longleftrightarrow [x = y] (mod\ n)$

<proof>

lemma *cong-add-lcancel-int*:

$[(a::int) + x = a + y] (mod\ n) \longleftrightarrow [x = y] (mod\ n)$

<proof>

lemma *cong-add-rcancel-nat*: $[(x::nat) + a = y + a] (mod\ n) \longleftrightarrow [x = y] (mod\ n)$

<proof>

lemma *cong-add-rcancel-int*: $[(x::int) + a = y + a] (mod\ n) \longleftrightarrow [x = y] (mod\ n)$

<proof>

lemma *cong-add-lcancel-0-nat*: $[(a::nat) + x = a] (mod\ n) \longleftrightarrow [x = 0] (mod\ n)$

<proof>

lemma *cong-add-lcancel-0-int*: $[(a::int) + x = a] (mod\ n) \longleftrightarrow [x = 0] (mod\ n)$

<proof>

lemma *cong-add-rcancel-0-nat*: $[x + (a::nat) = a] (mod\ n) \longleftrightarrow [x = 0] (mod\ n)$

<proof>

lemma *cong-add-rcancel-0-int*: $[x + (a::int) = a] (mod\ n) \longleftrightarrow [x = 0] (mod\ n)$

<proof>

lemma *cong-dvd-modulus-nat*: $[(x::nat) = y] (mod\ m) \implies n\ dvd\ m \implies$

$[x = y] (mod\ n)$

<proof>

lemma *cong-dvd-modulus-int*: $[(x::int) = y] (mod\ m) \implies n\ dvd\ m \implies [x = y]$

$(mod\ n)$

<proof>

lemma *cong-dvd-eq-nat*: $[(x::nat) = y] (mod\ n) \implies n\ dvd\ x \longleftrightarrow n\ dvd\ y$
 ⟨proof⟩

lemma *cong-dvd-eq-int*: $[(x::int) = y] (mod\ n) \implies n\ dvd\ x \longleftrightarrow n\ dvd\ y$
 ⟨proof⟩

lemma *cong-mod-nat*: $(n::nat) \sim = 0 \implies [a\ mod\ n = a] (mod\ n)$
 ⟨proof⟩

lemma *cong-mod-int*: $(n::int) \sim = 0 \implies [a\ mod\ n = a] (mod\ n)$
 ⟨proof⟩

lemma *mod-mult-cong-nat*: $(a::nat) \sim = 0 \implies b \sim = 0$
 $\implies [x\ mod\ (a * b) = y] (mod\ a) \longleftrightarrow [x = y] (mod\ a)$
 ⟨proof⟩

lemma *neg-cong-int*: $[(a::int) = b] (mod\ m) = ([-a = -b] (mod\ m))$
 ⟨proof⟩

lemma *cong-modulus-neg-int*: $[(a::int) = b] (mod\ m) = ([a = b] (mod\ -m))$
 ⟨proof⟩

lemma *mod-mult-cong-int*: $(a::int) \sim = 0 \implies b \sim = 0$
 $\implies [x\ mod\ (a * b) = y] (mod\ a) \longleftrightarrow [x = y] (mod\ a)$
 ⟨proof⟩

lemma *cong-to-1-nat*: $[(a::nat) = 1] (mod\ n) \implies (n\ dvd\ (a - 1))$
 ⟨proof⟩

lemma *cong-0-1-nat'*: $[(0::nat) = Suc\ 0] (mod\ n) = (n = Suc\ 0)$
 ⟨proof⟩

lemma *cong-0-1-nat*: $[(0::nat) = 1] (mod\ n) = (n = 1)$
 ⟨proof⟩

lemma *cong-0-1-int*: $[(0::int) = 1] (mod\ n) = ((n = 1) \mid (n = -1))$
 ⟨proof⟩

lemma *cong-to-1'-nat*: $[(a::nat) = 1] (mod\ n) \longleftrightarrow$
 $a = 0 \wedge n = 1 \vee (\exists m. a = 1 + m * n)$
 ⟨proof⟩

lemma *cong-le-nat*: $(y::nat) <= x \implies [x = y] (mod\ n) \longleftrightarrow (\exists q. x = q * n + y)$
 ⟨proof⟩

lemma *cong-solve-nat*: $(a::nat) \neq 0 \implies EX\ x. [a * x = gcd\ a\ n] (mod\ n)$
 ⟨proof⟩

lemma *cong-solve-int*: $(a::int) \neq 0 \implies EX\ x. [a * x = gcd\ a\ n] (mod\ n)$

<proof>

lemma *cong-solve-dvd-nat*:

assumes $a: (a::nat) \neq 0$ **and** $b: gcd\ a\ n\ dvd\ d$

shows $EX\ x. [a * x = d] (mod\ n)$

<proof>

lemma *cong-solve-dvd-int*:

assumes $a: (a::int) \neq 0$ **and** $b: gcd\ a\ n\ dvd\ d$

shows $EX\ x. [a * x = d] (mod\ n)$

<proof>

lemma *cong-solve-coprime-nat*: $coprime\ (a::nat)\ n \implies EX\ x. [a * x = 1] (mod\ n)$

<proof>

lemma *cong-solve-coprime-int*: $coprime\ (a::int)\ n \implies EX\ x. [a * x = 1] (mod\ n)$

<proof>

lemma *coprime-iff-invertible-nat*:

$m > 0 \implies coprime\ a\ m = (EX\ x. [a * x = Suc\ 0] (mod\ m))$

<proof>

lemma *coprime-iff-invertible-int*: $m > (0::int) \implies coprime\ a\ m = (EX\ x. [a * x = 1] (mod\ m))$

<proof>

lemma *coprime-iff-invertible'-nat*: $m > 0 \implies coprime\ a\ m =$

$(EX\ x. 0 \leq x \ \&\ x < m \ \&\ [a * x = Suc\ 0] (mod\ m))$

<proof>

lemma *coprime-iff-invertible'-int*: $m > (0::int) \implies coprime\ a\ m =$

$(EX\ x. 0 <= x \ \&\ x < m \ \&\ [a * x = 1] (mod\ m))$

<proof>

lemma *cong-cong-lcm-nat*: $[(x::nat) = y] (mod\ a) \implies$

$[x = y] (mod\ b) \implies [x = y] (mod\ lcm\ a\ b)$

<proof>

lemma *cong-cong-lcm-int*: $[(x::int) = y] (mod\ a) \implies$

$[x = y] (mod\ b) \implies [x = y] (mod\ lcm\ a\ b)$

<proof>

lemma *cong-cong-setprod-coprime-nat* [rule-format]: $finite\ A \implies$

$(\forall i \in A. (\forall j \in A. i \neq j \implies coprime\ (m\ i)\ (m\ j))) \implies$

$(\forall i \in A. [(x::nat) = y] (mod\ m\ i)) \implies$

$[x = y] (mod\ (\prod_{i \in A} m\ i))$

<proof>

lemma *cong-cong-setprod-coprime-int* [rule-format]: $finite\ A \implies$
 $(\forall i \in A. (\forall j \in A. i \neq j \implies coprime\ (m\ i)\ (m\ j))) \implies$
 $(\forall i \in A. [(x::int) = y] \pmod{m\ i}) \implies$
 $[x = y] \pmod{(\prod_{i \in A} m\ i)}$
 <proof>

lemma *binary-chinese-remainder-aux-nat*:
assumes $a: coprime\ (m1::nat)\ m2$
shows $EX\ b1\ b2. [b1 = 1] \pmod{m1} \wedge [b1 = 0] \pmod{m2} \wedge$
 $[b2 = 0] \pmod{m1} \wedge [b2 = 1] \pmod{m2}$
 <proof>

lemma *binary-chinese-remainder-aux-int*:
assumes $a: coprime\ (m1::int)\ m2$
shows $EX\ b1\ b2. [b1 = 1] \pmod{m1} \wedge [b1 = 0] \pmod{m2} \wedge$
 $[b2 = 0] \pmod{m1} \wedge [b2 = 1] \pmod{m2}$
 <proof>

lemma *binary-chinese-remainder-nat*:
assumes $a: coprime\ (m1::nat)\ m2$
shows $EX\ x. [x = u1] \pmod{m1} \wedge [x = u2] \pmod{m2}$
 <proof>

lemma *binary-chinese-remainder-int*:
assumes $a: coprime\ (m1::int)\ m2$
shows $EX\ x. [x = u1] \pmod{m1} \wedge [x = u2] \pmod{m2}$
 <proof>

lemma *cong-modulus-mult-nat*: $[(x::nat) = y] \pmod{m * n} \implies$
 $[x = y] \pmod{m}$
 <proof>

lemma *cong-modulus-mult-int*: $[(x::int) = y] \pmod{m * n} \implies$
 $[x = y] \pmod{m}$
 <proof>

lemma *cong-less-modulus-unique-nat*:
 $[(x::nat) = y] \pmod{m} \implies x < m \implies y < m \implies x = y$
 <proof>

lemma *binary-chinese-remainder-unique-nat*:
assumes $a: coprime\ (m1::nat)\ m2$
and $nz: m1 \neq 0\ m2 \neq 0$
shows $EX!\ x. x < m1 * m2 \wedge [x = u1] \pmod{m1} \wedge [x = u2] \pmod{m2}$
 <proof>

lemma *chinese-remainder-aux-nat*:
fixes $A :: 'a\ set$
and $m :: 'a \Rightarrow nat$

assumes *fin*: *finite A*
and *cop*: $ALL\ i : A. (ALL\ j : A. i \neq j \longrightarrow coprime\ (m\ i)\ (m\ j))$
shows $EX\ b. (ALL\ i : A. [b\ i = 1] \ (mod\ m\ i) \wedge [b\ i = 0] \ (mod\ (\prod_{j \in A - \{i\}} m\ j)))$
<proof>

lemma *chinese-remainder-nat*:

fixes *A* :: 'a *set*
and *m* :: 'a \Rightarrow *nat*
and *u* :: 'a \Rightarrow *nat*
assumes *fin*: *finite A*
and *cop*: $ALL\ i:A. (ALL\ j : A. i \neq j \longrightarrow coprime\ (m\ i)\ (m\ j))$
shows $EX\ x. (ALL\ i:A. [x = u\ i] \ (mod\ m\ i))$
<proof>

lemma *coprime-cong-prod-nat* [*rule-format*]: *finite A* \Longrightarrow
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow coprime\ (m\ i)\ (m\ j))) \longrightarrow$
 $(\forall i \in A. [(x :: nat) = y] \ (mod\ m\ i)) \longrightarrow$
 $[x = y] \ (mod\ (\prod_{i \in A} m\ i))$
<proof>

lemma *chinese-remainder-unique-nat*:

fixes *A* :: 'a *set*
and *m* :: 'a \Rightarrow *nat*
and *u* :: 'a \Rightarrow *nat*
assumes *fin*: *finite A*
and *nz*: $\forall i \in A. m\ i \neq 0$
and *cop*: $\forall i \in A. (\forall j \in A. i \neq j \longrightarrow coprime\ (m\ i)\ (m\ j))$
shows $EX!\ x. x < (\prod_{i \in A} m\ i) \wedge (\forall i \in A. [x = u\ i] \ (mod\ m\ i))$
<proof>

end

3 Unique factorization for the natural numbers and the integers

theory *UniqueFactorization*
imports *Cong* $\sim\sim$ /src/HOL/Library/Multiset
begin

3.1 Unique factorization: multiset version

lemma *multiset-prime-factorization-exists*:
 $n > 0 \Longrightarrow (\exists M. (\forall p :: nat \in set\ mset\ M. prime\ p) \wedge n = (\prod_{i \in \# M. i}))$
<proof>

lemma *multiset-prime-factorization-unique-aux*:
fixes *a* :: *nat*

assumes $\forall p \in \text{set-mset } M. \text{ prime } p$
and $\forall p \in \text{set-mset } N. \text{ prime } p$
and $(\prod i \in \# M. i) \text{ dvd } (\prod i \in \# N. i)$
shows $\text{count } M a \leq \text{count } N a$
 <proof>

lemma *multiset-prime-factorization-unique*:
assumes $\forall p :: \text{nat} \in \text{set-mset } M. \text{ prime } p$
and $\forall p \in \text{set-mset } N. \text{ prime } p$
and $(\prod i \in \# M. i) = (\prod i \in \# N. i)$
shows $M = N$
 <proof>

definition *multiset-prime-factorization* :: $\text{nat} \Rightarrow \text{nat multiset}$
where
multiset-prime-factorization $n =$
 (if $n > 0$
 then *THE* $M. (\forall p \in \text{set-mset } M. \text{ prime } p) \wedge n = (\prod i \in \# M. i)$
 else $\{\#\}$)

lemma *multiset-prime-factorization*: $n > 0 \implies$
 $(\forall p \in \text{set-mset } (\text{multiset-prime-factorization } n). \text{ prime } p) \wedge$
 $n = (\prod i \in \# (\text{multiset-prime-factorization } n). i)$
 <proof>

3.2 Prime factors and multiplicity for nat and int

class *unique-factorization* =
fixes *multiplicity* :: $'a \Rightarrow 'a \Rightarrow \text{nat}$
and *prime-factors* :: $'a \Rightarrow 'a \text{ set}$

Definitions for the natural numbers.

instantiation *nat* :: *unique-factorization*
begin

definition *multiplicity-nat* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where *multiplicity-nat* $p n = \text{count } (\text{multiset-prime-factorization } n) p$

definition *prime-factors-nat* :: $\text{nat} \Rightarrow \text{nat set}$
where *prime-factors-nat* $n = \text{set-mset } (\text{multiset-prime-factorization } n)$

instance <proof>

end

Definitions for the integers.

instantiation *int* :: *unique-factorization*
begin

definition *multiplicity-int* :: *int* \Rightarrow *int* \Rightarrow *nat*
 where *multiplicity-int* *p n* = *multiplicity* (*nat p*) (*nat n*)

definition *prime-factors-int* :: *int* \Rightarrow *int set*
 where *prime-factors-int* *n* = *int* ‘ (*prime-factors* (*nat n*))

instance \langle *proof* \rangle

end

3.3 Set up transfer

lemma *transfer-nat-int-prime-factors*: *prime-factors* (*nat n*) = *nat* ‘ *prime-factors* *n*
 \langle *proof* \rangle

lemma *transfer-nat-int-prime-factors-closure*: $n \geq 0 \Longrightarrow \text{nat-set } (\text{prime-factors } n)$
 \langle *proof* \rangle

lemma *transfer-nat-int-multiplicity*:
 $p \geq 0 \Longrightarrow n \geq 0 \Longrightarrow \text{multiplicity } (\text{nat } p) (\text{nat } n) = \text{multiplicity } p n$
 \langle *proof* \rangle

declare *transfer-morphism-nat-int*[*transfer add return*:
transfer-nat-int-prime-factors transfer-nat-int-prime-factors-closure
transfer-nat-int-multiplicity]

lemma *transfer-int-nat-prime-factors*: *prime-factors* (*int n*) = *int* ‘ *prime-factors* *n*
 \langle *proof* \rangle

lemma *transfer-int-nat-prime-factors-closure*: *is-nat* *n* $\Longrightarrow \text{nat-set } (\text{prime-factors } n)$
 \langle *proof* \rangle

lemma *transfer-int-nat-multiplicity*: *multiplicity* (*int p*) (*int n*) = *multiplicity* *p n*
 \langle *proof* \rangle

declare *transfer-morphism-int-nat*[*transfer add return*:
transfer-int-nat-prime-factors transfer-int-nat-prime-factors-closure
transfer-int-nat-multiplicity]

3.4 Properties of prime factors and multiplicity for nat and int

lemma *prime-factors-ge-0-int* [*elim*]:
 fixes *n* :: *int*
 shows $p \in \text{prime-factors } n \Longrightarrow p \geq 0$

<proof>

lemma *prime-factors-prime-nat* [*intro*]:
 fixes $n :: \text{nat}$
 shows $p \in \text{prime-factors } n \implies \text{prime } p$
 <proof>

lemma *prime-factors-prime-int* [*intro*]:
 fixes $n :: \text{int}$
 assumes $n \geq 0$ **and** $p \in \text{prime-factors } n$
 shows *prime* p
 <proof>

lemma *prime-factors-gt-0-nat*:
 fixes $p :: \text{nat}$
 shows $p \in \text{prime-factors } x \implies p > 0$
 <proof>

lemma *prime-factors-gt-0-int*:
 shows $x \geq 0 \implies p \in \text{prime-factors } x \implies \text{int } p > (0::\text{int})$
 <proof>

lemma *prime-factors-finite-nat* [*iff*]:
 fixes $n :: \text{nat}$
 shows *finite* (*prime-factors* n)
 <proof>

lemma *prime-factors-finite-int* [*iff*]:
 fixes $n :: \text{int}$
 shows *finite* (*prime-factors* n)
 <proof>

lemma *prime-factors-altdef-nat*:
 fixes $n :: \text{nat}$
 shows $\text{prime-factors } n = \{p. \text{multiplicity } p \ n > 0\}$
 <proof>

lemma *prime-factors-altdef-int*:
 fixes $n :: \text{int}$
 shows $\text{prime-factors } n = \{p. p \geq 0 \wedge \text{multiplicity } p \ n > 0\}$
 <proof>

lemma *prime-factorization-nat*:
 fixes $n :: \text{nat}$
 shows $n > 0 \implies n = (\prod p \in \text{prime-factors } n. p \wedge \text{multiplicity } p \ n)$
 <proof>

lemma *prime-factorization-int*:
 fixes $n :: \text{int}$

assumes $n > 0$
shows $n = (\prod p \in \text{prime-factors } n. p \wedge \text{multiplicity } p \ n)$
 $\langle \text{proof} \rangle$

lemma *prime-factorization-unique-nat*:
fixes $f :: \text{nat} \Rightarrow -$
assumes $S\text{-eq}: S = \{p. 0 < f \ p\}$
and $\text{finite } S$
and $S: \forall p \in S. \text{prime } p \ n = (\prod p \in S. p \wedge f \ p)$
shows $S = \text{prime-factors } n \wedge (\forall p. f \ p = \text{multiplicity } p \ n)$
 $\langle \text{proof} \rangle$

lemma *prime-factors-characterization-nat*:
 $S = \{p. 0 < f \ (p::\text{nat})\} \implies$
 $\text{finite } S \implies \forall p \in S. \text{prime } p \implies n = (\prod p \in S. p \wedge f \ p) \implies \text{prime-factors } n =$
 S
 $\langle \text{proof} \rangle$

lemma *prime-factors-characterization'-nat*:
 $\text{finite } \{p. 0 < f \ (p::\text{nat})\} \implies$
 $(\forall p. 0 < f \ p \longrightarrow \text{prime } p) \implies$
 $\text{prime-factors } (\prod p \mid 0 < f \ p. p \wedge f \ p) = \{p. 0 < f \ p\}$
 $\langle \text{proof} \rangle$

thm *prime-factors-characterization'-nat*
[where $f = \lambda x. f \ (\text{int } (x::\text{nat}))$,
transferred direction: nat op \leq (0::int), rule-format]

lemma *primes-characterization'-int* [rule-format]:
 $\text{finite } \{p. p \geq 0 \wedge 0 < f \ (p::\text{int})\} \implies \forall p. 0 < f \ p \longrightarrow \text{prime } p \implies$
 $\text{prime-factors } (\prod p \mid p \geq 0 \wedge 0 < f \ p. p \wedge f \ p) = \{p. p \geq 0 \wedge 0 < f \ p\}$
 $\langle \text{proof} \rangle$

lemma *prime-factors-characterization-int*:
 $S = \{p. 0 < f \ (p::\text{int})\} \implies \text{finite } S \implies$
 $\forall p \in S. \text{prime } (\text{nat } p) \implies n = (\prod p \in S. p \wedge f \ p) \implies \text{prime-factors } n = S$
 $\langle \text{proof} \rangle$

lemma *multiplicity-characterization-nat*:
 $S = \{p. 0 < f \ (p::\text{nat})\} \implies \text{finite } S \implies \forall p \in S. \text{prime } p \implies$
 $n = (\prod p \in S. p \wedge f \ p) \implies \text{multiplicity } p \ n = f \ p$
 $\langle \text{proof} \rangle$

lemma *multiplicity-characterization'-nat*: $\text{finite } \{p. 0 < f \ (p::\text{nat})\} \longrightarrow$
 $(\forall p. 0 < f \ p \longrightarrow \text{prime } p) \longrightarrow$
 $\text{multiplicity } p \ (\prod p \mid 0 < f \ p. p \wedge f \ p) = f \ p$

<proof>

lemma *multiplicity-characterization'-int* [rule-format]:

finite { $p. p \geq 0 \wedge 0 < f (p::int)$ } \implies
 $(\forall p. 0 < f p \longrightarrow \text{prime } p) \implies p \geq 0 \implies$
 $\text{multiplicity } p (\prod p \mid p \geq 0 \wedge 0 < f p. p \wedge f p) = f p$
<proof>

lemma *multiplicity-characterization-int*: $S = \{p. 0 < f (p::int)\} \implies$

$\text{finite } S \implies \forall p \in S. \text{prime } (\text{nat } p) \implies n = (\prod p \in S. p \wedge f p) \implies$
 $p \geq 0 \implies \text{multiplicity } p n = f p$
<proof>

lemma *multiplicity-zero-nat* [simp]: $\text{multiplicity } (p::nat) 0 = 0$

<proof>

lemma *multiplicity-zero-int* [simp]: $\text{multiplicity } (p::int) 0 = 0$

<proof>

lemma *multiplicity-one-nat'*: $\text{multiplicity } p (1::nat) = 0$

<proof>

lemma *multiplicity-one-nat* [simp]: $\text{multiplicity } p (\text{Suc } 0) = 0$

<proof>

lemma *multiplicity-one-int* [simp]: $\text{multiplicity } p (1::int) = 0$

<proof>

lemma *multiplicity-prime-nat* [simp]: $\text{prime } p \implies \text{multiplicity } p p = 1$

<proof>

lemma *multiplicity-prime-power-nat* [simp]: $\text{prime } p \implies \text{multiplicity } p (p \wedge n) =$

n

<proof>

lemma *multiplicity-prime-power-int* [simp]: $\text{prime } p \implies \text{multiplicity } p (\text{int } p \wedge n)$

$= n$

<proof>

lemma *multiplicity-nonprime-nat* [simp]:

fixes $p n :: nat$

shows $\neg \text{prime } p \implies \text{multiplicity } p n = 0$

<proof>

lemma *multiplicity-not-factor-nat* [simp]:

fixes $p n :: nat$

shows $p \notin \text{prime-factors } n \implies \text{multiplicity } p n = 0$

<proof>

lemma *multiplicity-not-factor-int* [*simp*]:
fixes $n :: int$
shows $p \geq 0 \implies p \notin \text{prime-factors } n \implies \text{multiplicity } p \ n = 0$
<proof>

lemma *multiplicity-product-aux-nat*: $(k::nat) > 0 \implies l > 0 \implies$
 $(\text{prime-factors } k) \cup (\text{prime-factors } l) = \text{prime-factors } (k * l) \wedge$
 $(\forall p. \text{multiplicity } p \ k + \text{multiplicity } p \ l = \text{multiplicity } p \ (k * l))$
<proof>

lemma *multiplicity-product-aux-int*:
assumes $(k::int) > 0$ **and** $l > 0$
shows $\text{prime-factors } k \cup \text{prime-factors } l = \text{prime-factors } (k * l) \wedge$
 $(\forall p \geq 0. \text{multiplicity } p \ k + \text{multiplicity } p \ l = \text{multiplicity } p \ (k * l))$
<proof>

lemma *prime-factors-product-nat*: $(k::nat) > 0 \implies l > 0 \implies \text{prime-factors } (k * l) =$
 $\text{prime-factors } k \cup \text{prime-factors } l$
<proof>

lemma *prime-factors-product-int*: $(k::int) > 0 \implies l > 0 \implies \text{prime-factors } (k * l) =$
 $\text{prime-factors } k \cup \text{prime-factors } l$
<proof>

lemma *multiplicity-product-nat*: $(k::nat) > 0 \implies l > 0 \implies \text{multiplicity } p \ (k * l) =$
 $\text{multiplicity } p \ k + \text{multiplicity } p \ l$
<proof>

lemma *multiplicity-product-int*: $(k::int) > 0 \implies l > 0 \implies p \geq 0 \implies$
 $\text{multiplicity } p \ (k * l) = \text{multiplicity } p \ k + \text{multiplicity } p \ l$
<proof>

lemma *multiplicity-setprod-nat*: $\text{finite } S \implies \forall x \in S. f \ x > 0 \implies$
 $\text{multiplicity } (p::nat) \ (\prod x \in S. f \ x) = (\sum x \in S. \text{multiplicity } p \ (f \ x))$
<proof>

lemma *transfer-nat-int-sum-prod-closure3*: $(\sum x \in A. \text{int } (f \ x)) \geq 0 \ (\prod x \in A. \text{int } (f \ x)) \geq 0$
<proof>

declare *transfer-morphism-nat-int*[*transfer*

add return: transfer-nat-int-sum-prod-closure3
del: transfer-nat-int-sum-prod2 (1)]

lemma *multiplicity-setprod-int: $p \geq 0 \implies \text{finite } S \implies \forall x \in S. f\ x > 0 \implies$*
multiplicity (p::int) ($\prod x \in S. f\ x$) = ($\sum x \in S. \text{multiplicity } p\ (f\ x)$)
<proof>

declare *transfer-morphism-nat-int[transfer*
add return: transfer-nat-int-sum-prod2 (1)]

lemma *multiplicity-prod-prime-powers-nat:*
finite S $\implies \forall p \in S. \text{prime } (p::\text{nat}) \implies$
multiplicity p ($\prod p \in S. p \wedge f\ p$) = (if p \in S then f p else 0)
<proof>

lemma *multiplicity-prod-prime-powers-int:*
(p::int) $\geq 0 \implies \text{finite } S \implies \forall p \in S. \text{prime } (\text{nat } p) \implies$
multiplicity p ($\prod p \in S. p \wedge f\ p$) = (if p \in S then f p else 0)
<proof>

lemma *multiplicity-distinct-prime-power-nat:*
prime p $\implies \text{prime } q \implies p \neq q \implies \text{multiplicity } p\ (q \wedge n) = 0$
<proof>

lemma *multiplicity-distinct-prime-power-int:*
prime p $\implies \text{prime } q \implies p \neq q \implies \text{multiplicity } p\ (\text{int } q \wedge n) = 0$
<proof>

lemma *dvd-multiplicity-nat:*
fixes *x y :: nat*
shows *0 < y $\implies x\ \text{dvd } y \implies \text{multiplicity } p\ x \leq \text{multiplicity } p\ y$*
<proof>

lemma *dvd-multiplicity-int:*
fixes *p x y :: int*
shows *0 < y $\implies 0 \leq x \implies x\ \text{dvd } y \implies p \geq 0 \implies \text{multiplicity } p\ x \leq \text{multiplicity}$*
p y
<proof>

lemma *dvd-prime-factors-nat [intro]:*
fixes *x y :: nat*
shows *0 < y $\implies x\ \text{dvd } y \implies \text{prime-factors } x \leq \text{prime-factors } y$*
<proof>

lemma *dvd-prime-factors-int [intro]:*
fixes *x y :: int*
shows *0 < y $\implies 0 \leq x \implies x\ \text{dvd } y \implies \text{prime-factors } x \leq \text{prime-factors } y$*

<proof>

lemma *multiplicity-dvd-nat:*

fixes $x\ y :: \text{nat}$

shows $0 < x \implies 0 < y \implies \forall p. \text{multiplicity } p\ x \leq \text{multiplicity } p\ y \implies x\ \text{dvd } y$

<proof>

lemma *multiplicity-dvd-int:*

fixes $x\ y :: \text{int}$

shows $0 < x \implies 0 < y \implies \forall p \geq 0. \text{multiplicity } p\ x \leq \text{multiplicity } p\ y \implies x\ \text{dvd } y$

<proof>

lemma *multiplicity-dvd'-nat:*

fixes $x\ y :: \text{nat}$

assumes $0 < x$

assumes $\forall p. \text{prime } p \longrightarrow \text{multiplicity } p\ x \leq \text{multiplicity } p\ y$

shows $x\ \text{dvd } y$

<proof>

lemma *multiplicity-dvd'-int:*

fixes $x\ y :: \text{int}$

shows $0 < x \implies 0 \leq y \implies$

$\forall p. \text{prime } p \longrightarrow \text{multiplicity } p\ x \leq \text{multiplicity } p\ y \implies x\ \text{dvd } y$

<proof>

lemma *dvd-multiplicity-eq-nat:*

fixes $x\ y :: \text{nat}$

shows $0 < x \implies 0 < y \implies x\ \text{dvd } y \longleftrightarrow (\forall p. \text{multiplicity } p\ x \leq \text{multiplicity } p\ y)$

<proof>

lemma *dvd-multiplicity-eq-int:* $0 < (x::\text{int}) \implies 0 < y \implies$

$(x\ \text{dvd } y) = (\forall p \geq 0. \text{multiplicity } p\ x \leq \text{multiplicity } p\ y)$

<proof>

lemma *prime-factors-altdef2-nat:*

fixes $n :: \text{nat}$

shows $n > 0 \implies p \in \text{prime-factors } n \longleftrightarrow \text{prime } p \wedge p\ \text{dvd } n$

<proof>

lemma *prime-factors-altdef2-int:*

fixes $n :: \text{int}$

assumes $n > 0$

shows $p \in \text{prime-factors } n \longleftrightarrow \text{prime } p \wedge p\ \text{dvd } n$

<proof>

lemma *multiplicity-eq-nat:*

fixes x **and** $y::\text{nat}$

assumes *[arith]*: $x > 0 \ y > 0$
and *mult-eq [simp]*: $\bigwedge p. \text{prime } p \implies \text{multiplicity } p \ x = \text{multiplicity } p \ y$
shows $x = y$
 $\langle \text{proof} \rangle$

lemma *multiplicity-eq-int*:
fixes $x \ y :: \text{int}$
assumes *[arith]*: $x > 0 \ y > 0$
and *mult-eq [simp]*: $\bigwedge p. \text{prime } p \implies \text{multiplicity } p \ x = \text{multiplicity } p \ y$
shows $x = y$
 $\langle \text{proof} \rangle$

3.5 An application

lemma *gcd-eq-nat*:
fixes $x \ y :: \text{nat}$
assumes *pos [arith]*: $x > 0 \ y > 0$
shows $\text{gcd } x \ y =$
 $(\prod p \in \text{prime-factors } x \cup \text{prime-factors } y. p \ ^{\wedge} \min (\text{multiplicity } p \ x) (\text{multiplicity } p \ y))$
(is - = ?z)
 $\langle \text{proof} \rangle$

lemma *lcm-eq-nat*:
assumes *pos [arith]*: $x > 0 \ y > 0$
shows $\text{lcm } (x::\text{nat}) \ y =$
 $(\prod p \in \text{prime-factors } x \cup \text{prime-factors } y. p \ ^{\wedge} \max (\text{multiplicity } p \ x) (\text{multiplicity } p \ y))$
(is - = ?z)
 $\langle \text{proof} \rangle$

lemma *multiplicity-gcd-nat*:
fixes $p \ x \ y :: \text{nat}$
assumes *[arith]*: $x > 0 \ y > 0$
shows $\text{multiplicity } p (\text{gcd } x \ y) = \min (\text{multiplicity } p \ x) (\text{multiplicity } p \ y)$
 $\langle \text{proof} \rangle$

lemma *multiplicity-lcm-nat*:
fixes $p \ x \ y :: \text{nat}$
assumes *[arith]*: $x > 0 \ y > 0$
shows $\text{multiplicity } p (\text{lcm } x \ y) = \max (\text{multiplicity } p \ x) (\text{multiplicity } p \ y)$
 $\langle \text{proof} \rangle$

lemma *gcd-lcm-distrib-nat*:
fixes $x \ y \ z :: \text{nat}$
shows $\text{gcd } x (\text{lcm } y \ z) = \text{lcm } (\text{gcd } x \ y) (\text{gcd } x \ z)$
 $\langle \text{proof} \rangle$

lemma *gcd-lcm-distrib-int*:

```

fixes  $x\ y\ z :: int$ 
shows  $gcd\ x\ (lcm\ y\ z) = lcm\ (gcd\ x\ y)\ (gcd\ x\ z)$ 
  <proof>

end

```

4 Things that can be added to the Algebra library

```

theory MiscAlgebra
imports
  ~~/src/HOL/Algebra/Ring
  ~~/src/HOL/Algebra/FiniteProduct
begin

```

4.1 Finiteness stuff

```

lemma bounded-set1-int [intro]:  $finite\ \{(x::int).\ a < x \ \&\ x < b \ \&\ P\ x\}$ 
  <proof>

```

4.2 The rest is for the algebra libraries

4.2.1 These go in Group.thy

Show that the units in any monoid give rise to a group.

The file Residues.thy provides some infrastructure to use facts about the unit group within the ring locale.

```

definition units-of :: ('a, 'b) monoid-scheme => 'a monoid where
  units-of  $G == (| carrier = Units\ G,$ 
     $Group.monoid.mult = Group.monoid.mult\ G,$ 
     $one = one\ G |)$ 

```

```

lemma (in monoid) units-group:  $group(units-of\ G)$ 
  <proof>

```

```

lemma (in comm-monoid) units-comm-group:  $comm-group(units-of\ G)$ 
  <proof>

```

```

lemma units-of-carrier:  $carrier\ (units-of\ G) = Units\ G$ 
  <proof>

```

```

lemma units-of-mult:  $mult(units-of\ G) = mult\ G$ 
  <proof>

```

```

lemma units-of-one:  $one(units-of\ G) = one\ G$ 
  <proof>

```

lemma (in monoid) *units-of-inv*: $x : \text{Units } G \implies m\text{-inv } (\text{units-of } G) x = m\text{-inv } G x$

<proof>

lemma (in group) *inj-on-const-mult*: $a : (\text{carrier } G) \implies \text{inj-on } (\%x. a \otimes x)$
(carrier G)

<proof>

lemma (in group) *surj-const-mult*: $a : (\text{carrier } G) \implies (\%x. a \otimes x) \text{ ' } (\text{carrier } G) = (\text{carrier } G)$

<proof>

lemma (in group) *l-cancel-one* [simp]:

$x : \text{carrier } G \implies a : \text{carrier } G \implies (x \otimes a = x) = (a = \text{one } G)$

<proof>

lemma (in group) *r-cancel-one* [simp]: $x : \text{carrier } G \implies a : \text{carrier } G \implies$
($a \otimes x = x$) = ($a = \text{one } G$)

<proof>

lemma (in group) *l-cancel-one'* [simp]: $x : \text{carrier } G \implies a : \text{carrier } G \implies$
($x = x \otimes a$) = ($a = \text{one } G$)

<proof>

lemma (in group) *r-cancel-one'* [simp]: $x : \text{carrier } G \implies a : \text{carrier } G \implies$
($x = a \otimes x$) = ($a = \text{one } G$)

<proof>

lemma (in comm-group) *power-order-eq-one*:

assumes *fin* [simp]: *finite* (carrier G)

and *a* [simp]: $a : \text{carrier } G$

shows $a \text{ (} \wedge \text{) card(carrier } G) = \text{one } G$

<proof>

4.2.2 Miscellaneous

lemma (in cring) *field-intro2*: $\mathbf{0}_R \sim = \mathbf{1}_R \implies \forall x \in \text{carrier } R - \{\mathbf{0}_R\}. x \in \text{Units } R \implies \text{field } R$

<proof>

lemma (in monoid) *inv-char*: $x : \text{carrier } G \implies y : \text{carrier } G \implies$

$x \otimes y = \mathbf{1} \implies y \otimes x = \mathbf{1} \implies \text{inv } x = y$

<proof>

lemma (in comm-monoid) *comm-inv-char*: $x : \text{carrier } G \implies y : \text{carrier } G \implies$

$x \otimes y = \mathbf{1} \implies \text{inv } x = y$

<proof>

lemma (in ring) *inv-neg-one [simp]: inv (⊖ 1) = ⊖ 1*
<proof>

lemma (in monoid) *inv-eq-imp-eq: x : Units G ⇒ y : Units G ⇒
inv x = inv y ⇒ x = y*
<proof>

lemma (in ring) *Units-minus-one-closed [intro]: ⊖ 1 : Units R*
<proof>

lemma (in monoid) *inv-one [simp]: inv 1 = 1*
<proof>

lemma (in ring) *inv-eq-neg-one-eq: x : Units R ⇒ (inv x = ⊖ 1) = (x = ⊖ 1)*
<proof>

lemma (in monoid) *inv-eq-one-eq: x : Units G ⇒ (inv x = 1) = (x = 1)*
<proof>

4.2.3 This goes in FiniteProduct

lemma (in comm-monoid) *finprod-UN-disjoint:*
finite I ⇒ (ALL i:I. finite (A i)) → (ALL i:I. ALL j:I. i ≈ j →
(A i) Int (A j) = {}) →
(ALL i:I. ALL x: (A i). g x : carrier G) →
finprod G g (UNION I A) = finprod G (%i. finprod G g (A i)) I
<proof>

lemma (in comm-monoid) *finprod-Union-disjoint:*
[[finite C; (ALL A:C. finite A & (ALL x:A. f x : carrier G));
(ALL A:C. ALL B:C. A ≈ B → A Int B = {})]]
⇒ finprod G f (⋃ C) = finprod G (finprod G f) C
<proof>

lemma (in comm-monoid) *finprod-one:*
finite A ⇒ (∧x. x:A ⇒ f x = 1) ⇒ finprod G f A = 1
<proof>

lemma (in cring) *sum-zero-eq-neg: x : carrier R ⇒ y : carrier R ⇒ x ⊕ y =*
0 ⇒ x = ⊖ y
<proof>

lemma (in domain) *square-eq-one:*

```

fixes  $x$ 
assumes [simp]:  $x : \text{carrier } R$ 
  and  $x \otimes x = \mathbf{1}$ 
shows  $x = \mathbf{1} \mid x = \ominus \mathbf{1}$ 
<proof>

```

```

lemma (in Ring.domain) inv-eq-self:  $x : \text{Units } R \implies x = \text{inv } x \implies x = \mathbf{1} \vee x = \ominus \mathbf{1}$ 
<proof>

```

The following translates theorems about groups to the facts about the units of a ring. (The list should be expanded as more things are needed.)

```

lemma (in ring) finite-ring-finite-units [intro]:  $\text{finite } (\text{carrier } R) \implies \text{finite } (\text{Units } R)$ 
<proof>

```

```

lemma (in monoid) units-of-pow:
  fixes  $n :: \text{nat}$ 
shows  $x \in \text{Units } G \implies x (\wedge)_{\text{units-of } G} n = x (\wedge)_G n$ 
<proof>

```

```

lemma (in cring) units-power-order-eq-one:  $\text{finite } (\text{Units } R) \implies a : \text{Units } R \implies a (\wedge) \text{card}(\text{Units } R) = \mathbf{1}$ 
<proof>

```

end

5 Residue rings

```

theory Residues
imports UniqueFactorization MiscAlgebra
begin

```

5.1 A locale for residue rings

```

definition residue-ring ::  $\text{int} \Rightarrow \text{int ring}$ 
where

```

```

  residue-ring  $m =$ 
    ( $\text{carrier} = \{0..m - 1\}$ ,
      $\text{mult} = \lambda x y. (x * y) \text{ mod } m$ ,
      $\text{one} = 1$ ,
      $\text{zero} = 0$ ,
      $\text{add} = \lambda x y. (x + y) \text{ mod } m$ )

```

```

locale residues =
  fixes  $m :: \text{int}$  and  $R$  (structure)
assumes m-gt-one:  $m > 1$ 
defines  $R \equiv \text{residue-ring } m$ 
begin

```


lemma *abelian-group*: *abelian-group* R
<proof>

lemma *comm-monoid*: *comm-monoid* R
<proof>

lemma *cring*: *cring* R
<proof>

end

sublocale *residues* < *cring*
<proof>

context *residues*
begin

These lemmas translate back and forth between internal and external concepts.

lemma *res-carrier-eq*: *carrier* $R = \{0..m - 1\}$
<proof>

lemma *res-add-eq*: $x \oplus y = (x + y) \bmod m$
<proof>

lemma *res-mult-eq*: $x \otimes y = (x * y) \bmod m$
<proof>

lemma *res-zero-eq*: $\mathbf{0} = 0$
<proof>

lemma *res-one-eq*: $\mathbf{1} = 1$
<proof>

lemma *res-units-eq*: *Units* $R = \{x. 0 < x \wedge x < m \wedge \text{coprime } x \ m\}$
<proof>

lemma *res-neg-eq*: $\ominus x = (- x) \bmod m$
<proof>

lemma *finite* [*iff*]: *finite* (*carrier* R)
<proof>

lemma *finite-Units* [*iff*]: *finite* (*Units* R)
<proof>

The function $a \mapsto a \bmod m$ maps the integers to the residue classes. The fol-

lowing lemmas show that this mapping respects addition and multiplication on the integers.

lemma *mod-in-carrier* [*iff*]: $a \bmod m \in \text{carrier } R$
 ⟨*proof*⟩

lemma *add-cong*: $(x \bmod m) \oplus (y \bmod m) = (x + y) \bmod m$
 ⟨*proof*⟩

lemma *mult-cong*: $(x \bmod m) \otimes (y \bmod m) = (x * y) \bmod m$
 ⟨*proof*⟩

lemma *zero-cong*: $\mathbf{0} = 0$
 ⟨*proof*⟩

lemma *one-cong*: $\mathbf{1} = 1 \bmod m$
 ⟨*proof*⟩

lemma *pow-cong*: $(x \bmod m) (\wedge) n = x^n \bmod m$
 ⟨*proof*⟩

lemma *neg-cong*: $\ominus (x \bmod m) = (- x) \bmod m$
 ⟨*proof*⟩

lemma (*in residues*) *prod-cong*: $\text{finite } A \implies (\bigotimes_{i \in A} (f i) \bmod m) = (\prod_{i \in A} f i) \bmod m$
 ⟨*proof*⟩

lemma (*in residues*) *sum-cong*: $\text{finite } A \implies (\bigoplus_{i \in A} (f i) \bmod m) = (\sum_{i \in A} f i) \bmod m$
 ⟨*proof*⟩

lemma *mod-in-res-units* [*simp*]:
assumes $1 < m$ **and** *coprime a m*
shows $a \bmod m \in \text{Units } R$
 ⟨*proof*⟩

lemma *res-eq-to-cong*: $(a \bmod m) = (b \bmod m) \longleftrightarrow [a = b] (\bmod m)$
 ⟨*proof*⟩

Simplifying with these will translate a ring equation in R to a congruence.

lemmas *res-to-cong-simps* = *add-cong mult-cong pow-cong one-cong*
prod-cong sum-cong neg-cong res-eq-to-cong

Other useful facts about the residue ring.

lemma *one-eq-neg-one*: $\mathbf{1} = \ominus \mathbf{1} \implies m = 2$
 ⟨*proof*⟩

end

5.2 Prime residues

```
locale residues-prime =  
  fixes p and R (structure)  
  assumes p-prime [intro]: prime p  
  defines R  $\equiv$  residue-ring p  
  
sublocale residues-prime < residues p  
  <proof>  
  
context residues-prime  
begin  
  
lemma is-field: field R  
  <proof>  
  
lemma res-prime-units-eq: Units R = {1..p - 1}  
  <proof>  
  
end  
  
sublocale residues-prime < field  
  <proof>
```

6 Test cases: Euler's theorem and Wilson's theorem

6.1 Euler's theorem

The definition of the phi function.

```
definition phi :: int  $\Rightarrow$  nat  
  where phi m = card {x. 0 < x  $\wedge$  x < m  $\wedge$  gcd x m = 1}  
  
lemma phi-def-nat: phi m = card {x. 0 < x  $\wedge$  x < nat m  $\wedge$  gcd x (nat m) = 1}  
  <proof>  
  
lemma prime-phi:  
  assumes 2  $\leq$  p phi p = p - 1  
  shows prime p  
  <proof>  
  
lemma phi-zero [simp]: phi 0 = 0  
  <proof>  
  
lemma phi-one [simp]: phi 1 = 0  
  <proof>  
  
lemma (in residues) phi-eq: phi m = card (Units R)
```

<proof>

lemma (in *residues*) *euler-theorem1*:

assumes $a: \text{gcd } a \ m = 1$

shows $[a^{\wedge} \text{phi } m = 1] \ (\text{mod } m)$

<proof>

Outside the locale, we can relax the restriction $m > 1$.

lemma *euler-theorem*:

assumes $m \geq 0$

and $\text{gcd } a \ m = 1$

shows $[a^{\wedge} \text{phi } m = 1] \ (\text{mod } m)$

<proof>

lemma (in *residues-prime*) *phi-prime*: $\text{phi } p = \text{nat } p - 1$

<proof>

lemma *phi-prime*: $\text{prime } p \implies \text{phi } p = \text{nat } p - 1$

<proof>

lemma *fermat-theorem*:

fixes $a :: \text{int}$

assumes $\text{prime } p$

and $\neg p \ \text{dvd } a$

shows $[a^{\wedge}(p - 1) = 1] \ (\text{mod } p)$

<proof>

lemma *fermat-theorem-nat*:

assumes $\text{prime } p$ **and** $\neg p \ \text{dvd } a$

shows $[a^{\wedge} (p - 1) = 1] \ (\text{mod } p)$

<proof>

6.2 Wilson's theorem

lemma (in *field*) *inv-pair-lemma*: $x \in \text{Units } R \implies y \in \text{Units } R \implies$

$\{x, \text{inv } x\} \neq \{y, \text{inv } y\} \implies \{x, \text{inv } x\} \cap \{y, \text{inv } y\} = \{\}$

<proof>

lemma (in *residues-prime*) *wilson-theorem1*:

assumes $a: p > 2$

shows $[\text{fact } (p - 1) = (-1 :: \text{int})] \ (\text{mod } p)$

<proof>

lemma *wilson-theorem*:

assumes $\text{prime } p$

shows $[\text{fact } (p - 1) = -1] \ (\text{mod } p)$

<proof>

end

7 Pocklington's Theorem for Primes

```
theory Pocklington
imports Residues
begin
```

7.1 Lemmas about previously defined terms

lemma *prime*:

```
prime p  $\longleftrightarrow$  p  $\neq$  0  $\wedge$  p  $\neq$  1  $\wedge$  ( $\forall$  m. 0 < m  $\wedge$  m < p  $\longrightarrow$  coprime p m)
(is ?lhs  $\longleftrightarrow$  ?rhs)
<proof>
```

lemma *finite-number-segment*: $\text{card } \{ m. 0 < m \wedge m < n \} = n - 1$
<proof>

7.2 Some basic theorems about solving congruences

lemma *cong-solve*:

```
fixes n::nat assumes an: coprime a n shows  $\exists$ x. [a * x = b] (mod n)
<proof>
```

lemma *cong-solve-unique*:

```
fixes n::nat assumes an: coprime a n and nz: n  $\neq$  0
shows  $\exists!$ x. x < n  $\wedge$  [a * x = b] (mod n)
<proof>
```

lemma *cong-solve-unique-nontrivial*:

```
assumes p: prime p and pa: coprime p a and x0: 0 < x and xp: x < p
shows  $\exists!$ y. 0 < y  $\wedge$  y < p  $\wedge$  [x * y = a] (mod p)
<proof>
```

lemma *cong-unique-inverse-prime*:

```
assumes prime p and 0 < x and x < p
shows  $\exists!$ y. 0 < y  $\wedge$  y < p  $\wedge$  [x * y = 1] (mod p)
<proof>
```

lemma *chinese-remainder-coprime-unique*:

```
fixes a::nat
assumes ab: coprime a b and az: a  $\neq$  0 and bz: b  $\neq$  0
and ma: coprime m a and nb: coprime n b
shows  $\exists!$ x. coprime x (a * b)  $\wedge$  x < a * b  $\wedge$  [x = m] (mod a)  $\wedge$  [x = n] (mod
b)
<proof>
```

7.3 Lucas's theorem

lemma *phi-limit-strong*: $\phi(n) \leq n - 1$
<proof>

lemma *phi-lowerbound-1*: **assumes** $n: n \geq 2$
shows $\text{phi } n \geq 1$
 $\langle \text{proof} \rangle$

lemma *phi-lowerbound-1-nat*: **assumes** $n: n \geq 2$
shows $\text{phi}(\text{int } n) \geq 1$
 $\langle \text{proof} \rangle$

lemma *euler-theorem-nat*:
fixes $m::\text{nat}$
assumes *coprime a m*
shows $[a \wedge \text{phi } m = 1] \pmod{m}$
 $\langle \text{proof} \rangle$

lemma *lucas-coprime-lemma*:
fixes $n::\text{nat}$
assumes $m: m \neq 0$ **and** $am: [a \wedge m = 1] \pmod{n}$
shows *coprime a n*
 $\langle \text{proof} \rangle$

lemma *lucas-weak*:
fixes $n::\text{nat}$
assumes $n: n \geq 2$ **and** $an: [a \wedge (n - 1) = 1] \pmod{n}$
and $nm: \forall m. 0 < m \wedge m < n - 1 \longrightarrow \neg [a \wedge m = 1] \pmod{n}$
shows *prime n*
 $\langle \text{proof} \rangle$

lemma *nat-exists-least-iff*: $(\exists (n::\text{nat}). P n) \longleftrightarrow (\exists n. P n \wedge (\forall m < n. \neg P m))$
 $\langle \text{proof} \rangle$

lemma *nat-exists-least-iff'*: $(\exists (n::\text{nat}). P n) \longleftrightarrow (P (\text{Least } P) \wedge (\forall m < (\text{Least } P). \neg P m))$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

theorem *lucas*:
assumes $n2: n \geq 2$ **and** $an1: [a \wedge (n - 1) = 1] \pmod{n}$
and $pn: \forall p. \text{prime } p \wedge p \text{ dvd } n - 1 \longrightarrow [a \wedge ((n - 1) \text{ div } p) \neq 1] \pmod{n}$
shows *prime n*
 $\langle \text{proof} \rangle$

7.4 Definition of the order of a number mod n (0 in non-coprime case)

definition $\text{ord } n a = (\text{if } \text{coprime } n a \text{ then } \text{Least } (\lambda d. d > 0 \wedge [a \wedge d = 1] \pmod{n}) \text{ else } 0)$

lemma *coprime-ord*:

fixes $n::nat$
assumes *coprime n a*
shows $ord\ n\ a > 0 \wedge [a^{ord\ n\ a} = 1] (mod\ n) \wedge (\forall m. 0 < m \wedge m < ord\ n\ a \longrightarrow [a^m \neq 1] (mod\ n))$
<proof>

lemma *ord-works*:

fixes $n::nat$
shows $[a^{ord\ n\ a} = 1] (mod\ n) \wedge (\forall m. 0 < m \wedge m < ord\ n\ a \longrightarrow \sim[a^m = 1] (mod\ n))$
<proof>

lemma *ord*:

fixes $n::nat$
shows $[a^{ord\ n\ a} = 1] (mod\ n)$ *<proof>*

lemma *ord-minimal*:

fixes $n::nat$
shows $0 < m \implies m < ord\ n\ a \implies \sim[a^m = 1] (mod\ n)$
<proof>

lemma *ord-eq-0*:

fixes $n::nat$
shows $ord\ n\ a = 0 \iff \sim coprime\ n\ a$
<proof>

lemma *divides-rexp*:

$x\ dvd\ y \implies (x::nat)\ dvd\ (y^{Suc\ n})$
<proof>

lemma *ord-divides*:

fixes $n::nat$
shows $[a^d = 1] (mod\ n) \iff ord\ n\ a\ dvd\ d$ (**is** *?lhs* \iff *?rhs*)
<proof>

lemma *order-divides-phi*:

fixes $n::nat$ **shows** *coprime n a* $\implies ord\ n\ a\ dvd\ phi\ n$
<proof>

lemma *order-divides-expdiff*:

fixes $n::nat$ **and** $a::nat$ **assumes** *na: coprime n a*
shows $[a^d = a^e] (mod\ n) \iff [d = e] (mod\ (ord\ n\ a))$
<proof>

7.5 Another trivial primality characterization

lemma *prime-prime-factor*:

$prime\ n \iff n \neq 1 \wedge (\forall p. prime\ p \wedge p\ dvd\ n \implies p = n)$
 (is ?lhs \iff ?rhs)
 <proof>

lemma prime-divisor-sqrt:
 $prime\ n \iff n \neq 1 \wedge (\forall d. d\ dvd\ n \wedge d^2 \leq n \implies d = 1)$
 <proof>

lemma prime-prime-factor-sqrt:
 $prime\ n \iff n \neq 0 \wedge n \neq 1 \wedge \neg (\exists p. prime\ p \wedge p\ dvd\ n \wedge p^2 \leq n)$
 (is ?lhs \iff ?rhs)
 <proof>

7.6 Pocklington theorem

lemma pocklington-lemma:
 assumes $n: n \geq 2$ and $nqr: n - 1 = q*r$ and $an: [a^{(n-1)} = 1] \pmod n$
 and $aq: \forall p. prime\ p \wedge p\ dvd\ q \implies coprime\ (a^{((n-1)\ div\ p)} - 1)\ n$
 and $pp: prime\ p$ and $pn: p\ dvd\ n$
 shows $[p = 1] \pmod q$
 <proof>

theorem pocklington:
 assumes $n: n \geq 2$ and $nqr: n - 1 = q*r$ and $sqr: n \leq q^2$
 and $an: [a^{(n-1)} = 1] \pmod n$
 and $aq: \forall p. prime\ p \wedge p\ dvd\ q \implies coprime\ (a^{((n-1)\ div\ p)} - 1)\ n$
 shows $prime\ n$
 <proof>

lemma pocklington-alt:
 assumes $n: n \geq 2$ and $nqr: n - 1 = q*r$ and $sqr: n \leq q^2$
 and $an: [a^{(n-1)} = 1] \pmod n$
 and $aq: \forall p. prime\ p \wedge p\ dvd\ q \implies (\exists b. [a^{((n-1)\ div\ p)} = b] \pmod n) \wedge coprime\ (b - 1)\ n$
 shows $prime\ n$
 <proof>

7.7 Prime factorizations

definition primefact $ps\ n = (foldr\ op\ *\ ps\ 1 = n \wedge (\forall p \in set\ ps. prime\ p))$

lemma primefact: assumes $n: n \neq 0$
 shows $\exists ps. primefact\ ps\ n$
 <proof>

lemma primefact-contains:
 assumes $pf: primefact\ ps\ n$ and $p: prime\ p$ and $pn: p\ dvd\ n$
 shows $p \in set\ ps$
 <proof>

lemma *primefact-variant*: $\text{primefact } ps \ n \longleftrightarrow \text{foldr } op \ * \ ps \ 1 = n \wedge \text{list-all prime } ps$
 <proof>

lemma *lucas-primefact*:
assumes $n: n \geq 2$ **and** $an: [a^{(n-1)} = 1] \pmod n$
and $psn: \text{foldr } op \ * \ ps \ 1 = n - 1$
and $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \neg [a^{((n-1) \text{div } p)} = 1] \pmod n)$ ps
shows *prime* n
 <proof>

lemma *pocklington-primefact*:
assumes $n: n \geq 2$ **and** $grn: q*r = n - 1$ **and** $nq2: n \leq q^2$
and $arnb: (a^r) \pmod n = b$ **and** $psq: \text{foldr } op \ * \ ps \ 1 = q$
and $bqn: (b^q) \pmod n = 1$
and $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } ((b^{(q \text{div } p)}) \pmod {n-1}) \ n)$ ps
shows *prime* n
 <proof>

end

8 Gauss' Lemma

theory *Gauss*
imports *Residues*
begin

lemma *cong-prime-prod-zero-nat*:
fixes $a::nat$
shows $[[a * b = 0] \pmod p; \text{prime } p] \implies [a = 0] \pmod p \mid [b = 0] \pmod p$
 <proof>

lemma *cong-prime-prod-zero-int*:
fixes $a::int$
shows $[[a * b = 0] \pmod p; \text{prime } p] \implies [a = 0] \pmod p \mid [b = 0] \pmod p$
 <proof>

locale *GAUSS* =
fixes $p :: nat$
fixes $a :: int$

assumes *p-prime*: *prime* p
assumes *p-ge-2*: $2 < p$

assumes *p-a-relprime*: $[a \neq 0](\text{mod } p)$
assumes *a-nonzero*: $0 < a$
begin

definition $A = \{0::\text{int} <.. ((\text{int } p - 1) \text{ div } 2)\}$
definition $B = (\lambda x. x * a) ' A$
definition $C = (\lambda x. x \text{ mod } p) ' B$
definition $D = C \cap \{.. (\text{int } p - 1) \text{ div } 2\}$
definition $E = C \cap \{(\text{int } p - 1) \text{ div } 2 <..\}$
definition $F = (\lambda x. (\text{int } p - x)) ' E$

8.1 Basic properties of p

lemma *odd-p*: *odd p*
<proof>

lemma *p-minus-one-l*: $(\text{int } p - 1) \text{ div } 2 < p$
<proof>

lemma *p-eq2*: $\text{int } p = (2 * ((\text{int } p - 1) \text{ div } 2)) + 1$
<proof>

lemma *p-odd-int*: **obtains** $z::\text{int}$ **where** $\text{int } p = 2*z+1$ $0 < z$
<proof>

8.2 Basic Properties of the Gauss Sets

lemma *finite-A*: *finite (A)*
<proof>

lemma *finite-B*: *finite (B)*
<proof>

lemma *finite-C*: *finite (C)*
<proof>

lemma *finite-D*: *finite (D)*
<proof>

lemma *finite-E*: *finite (E)*
<proof>

lemma *finite-F*: *finite (F)*
<proof>

lemma *C-eq*: $C = D \cup E$
<proof>

lemma *A-card-eq*: $\text{card } A = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
<proof>

lemma *inj-on-xa-A*: *inj-on* ($\lambda x. x * a$) *A*
⟨*proof*⟩

definition *ResSet* :: *int* => *int set* => *bool*
where *ResSet* *m X* = ($\forall y1\ y2. (y1 \in X \ \& \ y2 \in X \ \& \ [y1 = y2] \ (\text{mod } m) \ \dashrightarrow \ y1 = y2)$)

lemma *ResSet-image*:
[[$0 < m$; *ResSet* *m A*; $\forall x \in A. \forall y \in A. ([f\ x = f\ y] \ (\text{mod } m) \ \dashrightarrow \ x = y)$]] \implies
ResSet *m (f ' A)*
⟨*proof*⟩

lemma *A-res*: *ResSet* *p A*
⟨*proof*⟩

lemma *B-res*: *ResSet* *p B*
⟨*proof*⟩

lemma *SR-B-inj*: *inj-on* ($\lambda x. x \text{ mod } p$) *B*
⟨*proof*⟩

lemma *inj-on-pminusx-E*: *inj-on* ($\lambda x. p - x$) *E*
⟨*proof*⟩

lemma *nonzero-mod-p*:
fixes *x::int* **shows** [[$0 < x$; $x < \text{int } p$]] $\implies [x \neq 0] \ (\text{mod } p)$
⟨*proof*⟩

lemma *A-ncong-p*: $x \in A \implies [x \neq 0] \ (\text{mod } p)$
⟨*proof*⟩

lemma *A-greater-zero*: $x \in A \implies 0 < x$
⟨*proof*⟩

lemma *B-ncong-p*: $x \in B \implies [x \neq 0] \ (\text{mod } p)$
⟨*proof*⟩

lemma *B-greater-zero*: $x \in B \implies 0 < x$
⟨*proof*⟩

lemma *C-greater-zero*: $y \in C \implies 0 < y$
⟨*proof*⟩

lemma *F-subset*: $F \subseteq \{x. 0 < x \ \& \ x \leq ((\text{int } p - 1) \text{ div } 2)\}$
⟨*proof*⟩

lemma *D-subset*: $D \subseteq \{x. 0 < x \ \& \ x \leq ((p - 1) \text{ div } 2)\}$
⟨*proof*⟩

lemma *F-eq*: $F = \{x. \exists y \in A. (x = p - ((y*a) \bmod p) \ \& \ (int \ p - 1) \ \text{div} \ 2 < (y*a) \bmod p)\}$
 ⟨proof⟩

lemma *D-eq*: $D = \{x. \exists y \in A. (x = (y*a) \bmod p \ \& \ (y*a) \bmod p \leq (int \ p - 1) \ \text{div} \ 2)\}$
 ⟨proof⟩

lemma *all-A-relprime*: **assumes** $x \in A$ **shows** $\text{gcd } x \ p = 1$
 ⟨proof⟩

lemma *A-prod-relprime*: $\text{gcd } (\text{setprod } id \ A) \ p = 1$
 ⟨proof⟩

8.3 Relationships Between Gauss Sets

lemma *StandardRes-inj-on-ResSet*: $\text{ResSet } m \ X \implies (\text{inj-on } (\lambda b. b \bmod m) \ X)$
 ⟨proof⟩

lemma *B-card-eq-A*: $\text{card } B = \text{card } A$
 ⟨proof⟩

lemma *B-card-eq*: $\text{card } B = \text{nat } ((int \ p - 1) \ \text{div} \ 2)$
 ⟨proof⟩

lemma *F-card-eq-E*: $\text{card } F = \text{card } E$
 ⟨proof⟩

lemma *C-card-eq-B*: $\text{card } C = \text{card } B$
 ⟨proof⟩

lemma *D-E-disj*: $D \cap E = \{\}$
 ⟨proof⟩

lemma *C-card-eq-D-plus-E*: $\text{card } C = \text{card } D + \text{card } E$
 ⟨proof⟩

lemma *C-prod-eq-D-times-E*: $\text{setprod } id \ E * \text{setprod } id \ D = \text{setprod } id \ C$
 ⟨proof⟩

lemma *C-B-zcong-prod*: $[\text{setprod } id \ C = \text{setprod } id \ B] \pmod{p}$
 ⟨proof⟩

lemma *F-Un-D-subset*: $(F \cup D) \subseteq A$
 ⟨proof⟩

lemma *F-D-disj*: $(F \cap D) = \{\}$
 ⟨proof⟩

lemma *F-Un-D-card*: $\text{card } (F \cup D) = \text{nat } ((p - 1) \text{ div } 2)$
<proof>

lemma *F-Un-D-eq-A*: $F \cup D = A$
<proof>

lemma *prod-D-F-eq-prod-A*: $(\text{setprod id } D) * (\text{setprod id } F) = \text{setprod id } A$
<proof>

lemma *prod-F-zcong*: $[\text{setprod id } F = ((-1) ^ (\text{card } E)) * (\text{setprod id } E)] \pmod{p}$
<proof>

8.4 Gauss' Lemma

lemma *aux*: $\text{setprod id } A * (-1) ^ \text{card } E * a ^ \text{card } A * (-1) ^ \text{card } E = \text{setprod id } A * a ^ \text{card } A$
<proof>

theorem *pre-gauss-lemma*:
 $[a ^ \text{nat}((\text{int } p - 1) \text{ div } 2) = (-1) ^ (\text{card } E)] \pmod{p}$
<proof>

end

end

9 The fibonacci function

theory *Fib*
imports *Main GCD Binomial*
begin

9.1 Fibonacci numbers

fun *fib* :: $\text{nat} \Rightarrow \text{nat}$
where
 fib0: $\text{fib } 0 = 0$
 | *fib1*: $\text{fib } (\text{Suc } 0) = 1$
 | *fib2*: $\text{fib } (\text{Suc } (\text{Suc } n)) = \text{fib } (\text{Suc } n) + \text{fib } n$

9.2 Basic Properties

lemma *fib-1 [simp]*: $\text{fib } (1::\text{nat}) = 1$
<proof>

lemma *fib-2* [*simp*]: $\text{fib } (2::\text{nat}) = 1$
 ⟨*proof*⟩

lemma *fib-plus-2*: $\text{fib } (n + 2) = \text{fib } (n + 1) + \text{fib } n$
 ⟨*proof*⟩

lemma *fib-add*: $\text{fib } (\text{Suc } (n+k)) = \text{fib } (\text{Suc } k) * \text{fib } (\text{Suc } n) + \text{fib } k * \text{fib } n$
 ⟨*proof*⟩

lemma *fib-neq-0-nat*: $n > 0 \implies \text{fib } n > 0$
 ⟨*proof*⟩

9.3 A Few Elementary Results

Concrete Mathematics, page 278: Cassini’s identity. The proof is much easier using integers, not natural numbers!

lemma *fib-Cassini-int*: $\text{int } (\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n) - \text{int}((\text{fib } (\text{Suc } n))^2) = -((-1) ^ n)$
 ⟨*proof*⟩

lemma *fib-Cassini-nat*:
 $\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n =$
 (if even n then $(\text{fib } (\text{Suc } n))^2 - 1$ else $(\text{fib } (\text{Suc } n))^2 + 1$)
 ⟨*proof*⟩

9.4 Law 6.111 of Concrete Mathematics

lemma *coprime-fib-Suc-nat*: $\text{coprime } (\text{fib } (n::\text{nat})) (\text{fib } (\text{Suc } n))$
 ⟨*proof*⟩

lemma *gcd-fib-add*: $\text{gcd } (\text{fib } m) (\text{fib } (n + m)) = \text{gcd } (\text{fib } m) (\text{fib } n)$
 ⟨*proof*⟩

lemma *gcd-fib-diff*: $m \leq n \implies \text{gcd } (\text{fib } m) (\text{fib } (n - m)) = \text{gcd } (\text{fib } m) (\text{fib } n)$
 ⟨*proof*⟩

lemma *gcd-fib-mod*: $0 < m \implies \text{gcd } (\text{fib } m) (\text{fib } (n \text{ mod } m)) = \text{gcd } (\text{fib } m) (\text{fib } n)$
 ⟨*proof*⟩

lemma *fib-gcd*: $\text{fib } (\text{gcd } m n) = \text{gcd } (\text{fib } m) (\text{fib } n)$
 — Law 6.111
 ⟨*proof*⟩

theorem *fib-mult-eq-setsum-nat*: $\text{fib } (\text{Suc } n) * \text{fib } n = (\sum k \in \{..n\}. \text{fib } k * \text{fib } k)$
 ⟨*proof*⟩

9.5 Fibonacci and Binomial Coefficients

lemma *setsum-drop-zero*: $(\sum k = 0..Suc\ n. \text{if } 0 < k \text{ then } (f\ (k - 1)) \text{ else } 0) =$
 $(\sum j = 0..n. f\ j)$
<proof>

lemma *setsum-choose-drop-zero*:
 $(\sum k = 0..Suc\ n. \text{if } k=0 \text{ then } 0 \text{ else } (Suc\ n - k) \text{ choose } (k - 1)) = (\sum j =$
 $0..n. (n-j) \text{ choose } j)$
<proof>

lemma *ne-diagonal-fib*: $(\sum k = 0..n. (n-k) \text{ choose } k) = \text{fib } (Suc\ n)$
<proof>

end

10 The sieve of Eratosthenes

theory *Eratosthenes*
imports *Main Primes*
begin

10.1 Preliminary: strict divisibility

context *dvd*
begin

abbreviation *dvd-strict* :: 'a \Rightarrow 'a \Rightarrow bool (**infixl** *dvd'-strict* 50)
where
 $b\ \text{dvd-strict}\ a \equiv b\ \text{dvd}\ a \wedge \neg a\ \text{dvd}\ b$

end

10.2 Main corpus

The sieve is modelled as a list of booleans, where *False* means *marked out*.

type-synonym *marks* = bool list

definition *numbers-of-marks* :: nat \Rightarrow marks \Rightarrow nat set
where
 $\text{numbers-of-marks}\ n\ bs = \text{fst } \{x \in \text{set } (\text{enumerate } n\ bs). \text{snd } x\}$

lemma *numbers-of-marks-simps* [*simp, code*]:
 $\text{numbers-of-marks}\ n\ [] = \{\}$
 $\text{numbers-of-marks}\ n\ (\text{True } \#\ bs) = \text{insert } n\ (\text{numbers-of-marks } (Suc\ n)\ bs)$
 $\text{numbers-of-marks}\ n\ (\text{False } \#\ bs) = \text{numbers-of-marks } (Suc\ n)\ bs$
<proof>

lemma *numbers-of-marks-Suc*:

numbers-of-marks (Suc n) bs = Suc ‘ *numbers-of-marks* n bs
 ⟨proof⟩

lemma *numbers-of-marks-replicate-False* [simp]:
numbers-of-marks n (replicate m False) = {}
 ⟨proof⟩

lemma *numbers-of-marks-replicate-True* [simp]:
numbers-of-marks n (replicate m True) = {n..*n*+*m*}
 ⟨proof⟩

lemma *in-numbers-of-marks-eq*:
 $m \in \text{numbers-of-marks } n \text{ bs} \iff m \in \{n..*n* + \text{length } bs\} \wedge bs ! (m - n)$
 ⟨proof⟩

lemma *sorted-list-of-set-numbers-of-marks*:
sorted-list-of-set (*numbers-of-marks* n bs) = map fst (filter snd (enumerate n bs))
 ⟨proof⟩

Marking out multiples in a sieve

definition *mark-out* :: nat ⇒ marks ⇒ marks

where

mark-out n bs = map (λ(*q*, *b*). *b* ∧ ¬ Suc n dvd Suc (Suc *q*)) (enumerate n bs)

lemma *mark-out-Nil* [simp]: *mark-out* n [] = []
 ⟨proof⟩

lemma *length-mark-out* [simp]: length (*mark-out* n bs) = length bs
 ⟨proof⟩

lemma *numbers-of-marks-mark-out*:

numbers-of-marks n (*mark-out* m bs) = {*q* ∈ *numbers-of-marks* n bs. ¬ Suc m
 dvd Suc *q* - n}
 ⟨proof⟩

Auxiliary operation for efficient implementation

definition *mark-out-aux* :: nat ⇒ nat ⇒ marks ⇒ marks

where

mark-out-aux n m bs =
 map (λ(*q*, *b*). *b* ∧ (*q* < *m* + n ∨ ¬ Suc n dvd Suc (Suc *q*) + (n - m mod Suc
 n))) (enumerate n bs)

lemma *mark-out-code* [code]: *mark-out* n bs = *mark-out-aux* n n bs
 ⟨proof⟩

lemma *mark-out-aux-simps* [simp, code]:

mark-out-aux n m [] = []
mark-out-aux n 0 (*b* # bs) = False # *mark-out-aux* n n bs
mark-out-aux n (Suc m) (*b* # bs) = *b* # *mark-out-aux* n m bs

<proof>

Main entry point to sieve

fun sieve :: nat ⇒ marks ⇒ marks

where

sieve n [] = []

| sieve n (False # bs) = False # sieve (Suc n) bs

| sieve n (True # bs) = True # sieve (Suc n) (mark-out n bs)

There are the following possible optimisations here:

- sieve can abort as soon as n is too big to let *mark-out* have any effect.
- Search for further primes can be given up as soon as the search position exceeds the square root of the maximum candidate.

This is left as an constructive exercise to the reader.

lemma numbers-of-marks-sieve:

numbers-of-marks (Suc n) (sieve n bs) =

{q ∈ numbers-of-marks (Suc n) bs. ∀ m ∈ numbers-of-marks (Suc n) bs. ¬ m dvd-strict q}

<proof>

Relation of the sieve algorithm to actual primes

definition primes-upto :: nat ⇒ nat list

where

primes-upto n = sorted-list-of-set {m. m ≤ n ∧ prime m}

lemma set-primes-upto: set (primes-upto n) = {m. m ≤ n ∧ prime m}

<proof>

lemma sorted-primes-upto [iff]: sorted (primes-upto n)

<proof>

lemma distinct-primes-upto [iff]: distinct (primes-upto n)

<proof>

lemma set-primes-upto-sieve:

set (primes-upto n) = numbers-of-marks 2 (sieve 1 (replicate (n - 1) True))

<proof>

lemma primes-upto-sieve [code]:

primes-upto n = map fst (filter snd (enumerate 2 (sieve 1 (replicate (n - 1) True))))

<proof>

lemma prime-in-primes-upto: prime n ⇔ n ∈ set (primes-upto n)

<proof>

10.3 Application: smallest prime beyond a certain number

definition *smallest-prime-beyond* :: nat ⇒ nat

where

smallest-prime-beyond n = (LEAST p. prime p ∧ p ≥ n)

lemma *prime-smallest-prime-beyond* [iff]: prime (smallest-prime-beyond n) (is ?P)

and *smallest-prime-beyond-le* [iff]: smallest-prime-beyond n ≥ n (is ?Q)
 ⟨proof⟩

lemma *smallest-prime-beyond-smallest*: prime p ⇒ p ≥ n ⇒ smallest-prime-beyond n ≤ p
 ⟨proof⟩

lemma *smallest-prime-beyond-eq*:

prime p ⇒ p ≥ n ⇒ (∧ q. prime q ⇒ q ≥ n ⇒ q ≥ p) ⇒ smallest-prime-beyond n = p
 ⟨proof⟩

definition *smallest-prime-between* :: nat ⇒ nat ⇒ nat option

where

smallest-prime-between m n =
 (if (∃ p. prime p ∧ m ≤ p ∧ p ≤ n) then Some (smallest-prime-beyond m) else None)

lemma *smallest-prime-between-None*:

smallest-prime-between m n = None ↔ (∀ q. m ≤ q ∧ q ≤ n → ¬ prime q)
 ⟨proof⟩

lemma *smallest-prime-between-Some*:

smallest-prime-between m n = Some p ↔ smallest-prime-beyond m = p ∧ p ≤ n
 ⟨proof⟩

lemma [code]: *smallest-prime-between* m n = List.find (λp. p ≥ m) (primes-upto n)

⟨proof⟩

definition *smallest-prime-beyond-aux* :: nat ⇒ nat ⇒ nat

where

smallest-prime-beyond-aux k n = smallest-prime-beyond n

lemma [code]:

smallest-prime-beyond-aux k n =
 (case smallest-prime-between n (k * n) of
 Some p ⇒ p
 | None ⇒ smallest-prime-beyond-aux (Suc k) n)
 ⟨proof⟩

lemma [code]: *smallest-prime-beyond* $n = \text{smallest-prime-beyond-aux } 2\ n$
⟨proof⟩

end

11 Comprehensive number theory

theory *Number-Theory*
imports *Fib Residues Eratosthenes*
begin

end

12 Less common functions on lists

theory *More-List*
imports *Main*
begin

definition *strip-while* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list}$
where

$\text{strip-while } P = \text{rev} \circ \text{dropWhile } P \circ \text{rev}$

lemma *strip-while-rev* [simp]:
 $\text{strip-while } P (\text{rev } xs) = \text{rev } (\text{dropWhile } P\ xs)$
⟨proof⟩

lemma *strip-while-Nil* [simp]:
 $\text{strip-while } P\ [] = []$
⟨proof⟩

lemma *strip-while-append* [simp]:
 $\neg P\ x \Longrightarrow \text{strip-while } P\ (xs\ @\ [x]) = xs\ @\ [x]$
⟨proof⟩

lemma *strip-while-append-rec* [simp]:
 $P\ x \Longrightarrow \text{strip-while } P\ (xs\ @\ [x]) = \text{strip-while } P\ xs$
⟨proof⟩

lemma *strip-while-Cons* [simp]:
 $\neg P\ x \Longrightarrow \text{strip-while } P\ (x\ \#\ xs) = x\ \#\ \text{strip-while } P\ xs$
⟨proof⟩

lemma *strip-while-eq-Nil* [simp]:
 $\text{strip-while } P\ xs = [] \longleftrightarrow (\forall x \in \text{set } xs. P\ x)$
⟨proof⟩

lemma *strip-while-eq-Cons-rec*:

strip-while $P (x \# xs) = x \# \text{strip-while } P \text{ } xs \iff \neg (P \ x \wedge (\forall x \in \text{set } xs. P \ x))$
 ⟨proof⟩

lemma *strip-while-not-last* [*simp*]:
 $\neg P (\text{last } xs) \implies \text{strip-while } P \text{ } xs = xs$
 ⟨proof⟩

lemma *split-strip-while-append*:
fixes $xs :: 'a \text{ list}$
obtains $ys \ zs :: 'a \text{ list}$
where $\text{strip-while } P \text{ } xs = ys$ **and** $\forall x \in \text{set } zs. P \ x$ **and** $xs = ys @ zs$
 ⟨proof⟩

lemma *strip-while-snoc* [*simp*]:
 $\text{strip-while } P \text{ } (xs @ [x]) = (\text{if } P \ x \text{ then } \text{strip-while } P \text{ } xs \text{ else } xs @ [x])$
 ⟨proof⟩

lemma *strip-while-map*:
 $\text{strip-while } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{strip-while } (P \circ f) \text{ } xs)$
 ⟨proof⟩

definition *no-leading* $:: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$
where
 $\text{no-leading } P \text{ } xs \iff (xs \neq [] \longrightarrow \neg P (\text{hd } xs))$

lemma *no-leading-Nil* [*simp, intro!*]:
 $\text{no-leading } P \text{ } []$
 ⟨proof⟩

lemma *no-leading-Cons* [*simp, intro!*]:
 $\text{no-leading } P \text{ } (x \# xs) \iff \neg P \ x$
 ⟨proof⟩

lemma *no-leading-append* [*simp*]:
 $\text{no-leading } P \text{ } (xs @ ys) \iff \text{no-leading } P \text{ } xs \wedge (xs = [] \longrightarrow \text{no-leading } P \text{ } ys)$
 ⟨proof⟩

lemma *no-leading-dropWhile* [*simp*]:
 $\text{no-leading } P \text{ } (\text{dropWhile } P \text{ } xs)$
 ⟨proof⟩

lemma *dropWhile-eq-obtain-leading*:
assumes $\text{dropWhile } P \text{ } xs = ys$
obtains zs **where** $xs = zs @ ys$ **and** $\bigwedge z. z \in \text{set } zs \implies P \ z$ **and** $\text{no-leading } P \text{ } ys$
 ⟨proof⟩

lemma *dropWhile-idem-iff*:

$dropWhile\ P\ xs = xs \longleftrightarrow no-leading\ P\ xs$
<proof>

abbreviation *no-trailing* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool
where
no-trailing P xs ≡ *no-leading* P (rev xs)

lemma *no-trailing-unfold*:
no-trailing P xs $\longleftrightarrow (xs \neq [] \longrightarrow \neg P\ (last\ xs))$
<proof>

lemma *no-trailing-Nil* [*simp, intro!*]:
no-trailing P []
<proof>

lemma *no-trailing-Cons* [*simp*]:
no-trailing P (x # xs) $\longleftrightarrow no-trailing\ P\ xs \wedge (xs = [] \longrightarrow \neg P\ x)$
<proof>

lemma *no-trailing-append-Cons* [*simp*]:
no-trailing P (xs @ y # ys) $\longleftrightarrow no-trailing\ P\ (y\ \#\ ys)$
<proof>

lemma *no-trailing-strip-while* [*simp*]:
no-trailing P (strip-while P xs)
<proof>

lemma *strip-while-eq-obtain-trailing*:
assumes *strip-while* P xs = ys
obtains zs **where** xs = ys @ zs **and** $\bigwedge z. z \in set\ zs \implies P\ z$ **and** *no-trailing* P
ys
<proof>

lemma *strip-while-idem-iff*:
strip-while P xs = xs $\longleftrightarrow no-trailing\ P\ xs$
<proof>

lemma *no-trailing-map*:
no-trailing P (map f xs) = *no-trailing* (P ∘ f) xs
<proof>

lemma *no-trailing-upt* [*simp*]:
no-trailing P [n..*m*] $\longleftrightarrow (n < m \longrightarrow \neg P\ (m - 1))$
<proof>

definition *nth-default* :: 'a ⇒ 'a list ⇒ nat ⇒ 'a
where

$nth\text{-default } dflt \ xs \ n = (if \ n < \ length \ xs \ then \ xs \ ! \ n \ else \ dflt)$

lemma *nth-default-nth*:

$n < \ length \ xs \implies nth\text{-default } dflt \ xs \ n = xs \ ! \ n$
<proof>

lemma *nth-default-beyond*:

$length \ xs \leq n \implies nth\text{-default } dflt \ xs \ n = dflt$
<proof>

lemma *nth-default-Nil* [simp]:

$nth\text{-default } dflt \ [] \ n = dflt$
<proof>

lemma *nth-default-Cons*:

$nth\text{-default } dflt \ (x \ \# \ xs) \ n = (case \ n \ of \ 0 \ \Rightarrow \ x \ | \ Suc \ n' \ \Rightarrow \ nth\text{-default } dflt \ xs \ n')$
<proof>

lemma *nth-default-Cons-0* [simp]:

$nth\text{-default } dflt \ (x \ \# \ xs) \ 0 = x$
<proof>

lemma *nth-default-Cons-Suc* [simp]:

$nth\text{-default } dflt \ (x \ \# \ xs) \ (Suc \ n) = nth\text{-default } dflt \ xs \ n$
<proof>

lemma *nth-default-replicate-dflt* [simp]:

$nth\text{-default } dflt \ (replicate \ n \ dflt) \ m = dflt$
<proof>

lemma *nth-default-append*:

$nth\text{-default } dflt \ (xs \ @ \ ys) \ n =$
 $(if \ n < \ length \ xs \ then \ nth \ xs \ n \ else \ nth\text{-default } dflt \ ys \ (n - \ length \ xs))$
<proof>

lemma *nth-default-append-trailing* [simp]:

$nth\text{-default } dflt \ (xs \ @ \ replicate \ n \ dflt) = nth\text{-default } dflt \ xs$
<proof>

lemma *nth-default-snoc-default* [simp]:

$nth\text{-default } dflt \ (xs \ @ \ [dflt]) = nth\text{-default } dflt \ xs$
<proof>

lemma *nth-default-eq-dflt-iff*:

$nth\text{-default } dflt \ xs \ k = dflt \iff (k < \ length \ xs \implies xs \ ! \ k = dflt)$
<proof>

lemma *in-enumerate-iff-nth-default-eq*:

$x \neq dflt \implies (n, x) \in set \ (enumerate \ 0 \ xs) \iff nth\text{-default } dflt \ xs \ n = x$

<proof>

lemma *last-conv-nth-default*:

assumes $xs \neq []$

shows $last\ xs = nth\ default\ dflt\ xs\ (length\ xs - 1)$

<proof>

lemma *nth-default-map-eq*:

$f\ dflt' = dflt \implies nth\ default\ dflt\ (map\ f\ xs)\ n = f\ (nth\ default\ dflt'\ xs\ n)$

<proof>

lemma *finite-nth-default-neq-default* [simp]:

finite $\{k.\ nth\ default\ dflt\ xs\ k \neq dflt\}$

<proof>

lemma *sorted-list-of-set-nth-default*:

$sorted\ list\ of\ set\ \{k.\ nth\ default\ dflt\ xs\ k \neq dflt\} = map\ fst\ (filter\ (\lambda(-, x).\ x \neq dflt)\ (enumerate\ 0\ xs))$

<proof>

lemma *map-nth-default*:

$map\ (nth\ default\ x\ xs)\ [0..<length\ xs] = xs$

<proof>

lemma *range-nth-default* [simp]:

$range\ (nth\ default\ dflt\ xs) = insert\ dflt\ (set\ xs)$

<proof>

lemma *nth-strip-while*:

assumes $n < length\ (strip\ while\ P\ xs)$

shows $strip\ while\ P\ xs\ !\ n = xs\ !\ n$

<proof>

lemma *length-strip-while-le*:

$length\ (strip\ while\ P\ xs) \leq length\ xs$

<proof>

lemma *nth-default-strip-while-dflt* [simp]:

$nth\ default\ dflt\ (strip\ while\ (op = dflt)\ xs) = nth\ default\ dflt\ xs$

<proof>

lemma *nth-default-eq-iff*:

$nth\ default\ dflt\ xs = nth\ default\ dflt\ ys$

$\iff strip\ while\ (HOL.eq\ dflt)\ xs = strip\ while\ (HOL.eq\ dflt)\ ys\ (is\ ?P \iff ?Q)$

<proof>

end

13 Infinite Sets and Related Concepts

```
theory Infinite-Set
imports Main
begin
```

The set of natural numbers is infinite.

```
lemma infinite-nat-iff-unbounded-le: infinite (S::nat set)  $\longleftrightarrow$  ( $\forall m. \exists n \geq m. n \in S$ )
  <proof>
```

```
lemma infinite-nat-iff-unbounded: infinite (S::nat set)  $\longleftrightarrow$  ( $\forall m. \exists n > m. n \in S$ )
  <proof>
```

```
lemma finite-nat-iff-bounded: finite (S::nat set)  $\longleftrightarrow$  ( $\exists k. S \subseteq \{..<k\}$ )
  <proof>
```

```
lemma finite-nat-iff-bounded-le: finite (S::nat set)  $\longleftrightarrow$  ( $\exists k. S \subseteq \{.. k\}$ )
  <proof>
```

```
lemma finite-nat-bounded: finite (S::nat set)  $\implies$   $\exists k. S \subseteq \{..<k\}$ 
  <proof>
```

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some k , there is some larger number that is an element of the set.

```
lemma unbounded-k-infinite:  $\forall m > k. \exists n > m. n \in S \implies$  infinite (S::nat set)
  <proof>
```

```
lemma nat-not-finite: finite (UNIV::nat set)  $\implies$  R
  <proof>
```

```
lemma range-inj-infinite:
  inj (f::nat  $\Rightarrow$  'a)  $\implies$  infinite (range f)
  <proof>
```

The set of integers is also infinite.

```
lemma infinite-int-iff-infinite-nat-abs: infinite (S::int set)  $\longleftrightarrow$  infinite ((nat o abs) ' S)
  <proof>
```

```
proposition infinite-int-iff-unbounded-le: infinite (S::int set)  $\longleftrightarrow$  ( $\forall m. \exists n. |n| \geq m \wedge n \in S$ )
  <proof>
```

```
proposition infinite-int-iff-unbounded: infinite (S::int set)  $\longleftrightarrow$  ( $\forall m. \exists n. |n| > m \wedge n \in S$ )
  <proof>
```


proposition *finite-int-iff-bounded*: $finite (S::int\ set) \longleftrightarrow (\exists k. abs\ 'S \subseteq \{..<k\})$
 ⟨proof⟩

proposition *finite-int-iff-bounded-le*: $finite (S::int\ set) \longleftrightarrow (\exists k. abs\ 'S \subseteq \{.. k\})$
 ⟨proof⟩

13.1 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

lemma *not-INFM* [simp]: $\neg (INFM\ x. P\ x) \longleftrightarrow (MOST\ x. \neg P\ x)$ ⟨proof⟩

lemma *not-MOST* [simp]: $\neg (MOST\ x. P\ x) \longleftrightarrow (INFM\ x. \neg P\ x)$ ⟨proof⟩

lemma *INFM-const* [simp]: $(INFM\ x::'a. P) \longleftrightarrow P \wedge infinite\ (UNIV::'a\ set)$
 ⟨proof⟩

lemma *MOST-const* [simp]: $(MOST\ x::'a. P) \longleftrightarrow P \vee finite\ (UNIV::'a\ set)$
 ⟨proof⟩

lemma *INFM-imp-distrib*: $(INFM\ x. P\ x \longrightarrow Q\ x) \longleftrightarrow ((MOST\ x. P\ x) \longrightarrow (INFM\ x. Q\ x))$
 ⟨proof⟩

lemma *MOST-imp-iff*: $MOST\ x. P\ x \Longrightarrow (MOST\ x. P\ x \longrightarrow Q\ x) \longleftrightarrow (MOST\ x. Q\ x)$
 ⟨proof⟩

lemma *INFM-conjI*: $INFM\ x. P\ x \Longrightarrow MOST\ x. Q\ x \Longrightarrow INFM\ x. P\ x \wedge Q\ x$
 ⟨proof⟩

Properties of quantifiers with injective functions.

lemma *INFM-inj*: $INFM\ x. P\ (f\ x) \Longrightarrow inj\ f \Longrightarrow INFM\ x. P\ x$
 ⟨proof⟩

lemma *MOST-inj*: $MOST\ x. P\ x \Longrightarrow inj\ f \Longrightarrow MOST\ x. P\ (f\ x)$
 ⟨proof⟩

Properties of quantifiers with singletons.

lemma *not-INFM-eq* [simp]:
 $\neg (INFM\ x. x = a)$
 $\neg (INFM\ x. a = x)$
 ⟨proof⟩

lemma *MOST-neq* [simp]:
 $MOST\ x. x \neq a$
 $MOST\ x. a \neq x$
 ⟨proof⟩

lemma *INFM-neq* [*simp*]:
 $(\text{INFM } x::'a. x \neq a) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$
 $(\text{INFM } x::'a. a \neq x) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$
 $\langle \text{proof} \rangle$

lemma *MOST-eq* [*simp*]:
 $(\text{MOST } x::'a. x = a) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$
 $(\text{MOST } x::'a. a = x) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$
 $\langle \text{proof} \rangle$

lemma *MOST-eq-imp*:
 $\text{MOST } x. x = a \longrightarrow P x$
 $\text{MOST } x. a = x \longrightarrow P x$
 $\langle \text{proof} \rangle$

Properties of quantifiers over the naturals.

lemma *MOST-nat*: $(\forall_{\infty} n. P (n::\text{nat})) \longleftrightarrow (\exists m. \forall n > m. P n)$
 $\langle \text{proof} \rangle$

lemma *MOST-nat-le*: $(\forall_{\infty} n. P (n::\text{nat})) \longleftrightarrow (\exists m. \forall n \geq m. P n)$
 $\langle \text{proof} \rangle$

lemma *INFM-nat*: $(\exists_{\infty} n. P (n::\text{nat})) \longleftrightarrow (\forall m. \exists n > m. P n)$
 $\langle \text{proof} \rangle$

lemma *INFM-nat-le*: $(\exists_{\infty} n. P (n::\text{nat})) \longleftrightarrow (\forall m. \exists n \geq m. P n)$
 $\langle \text{proof} \rangle$

lemma *MOST-INFM*: $\text{infinite } (\text{UNIV}::'a \text{ set}) \implies \text{MOST } x::'a. P x \implies \text{INFM } x::'a. P x$
 $\langle \text{proof} \rangle$

lemma *MOST-Suc-iff*: $(\text{MOST } n. P (\text{Suc } n)) \longleftrightarrow (\text{MOST } n. P n)$
 $\langle \text{proof} \rangle$

lemma
shows *MOST-SucI*: $\text{MOST } n. P n \implies \text{MOST } n. P (\text{Suc } n)$
and *MOST-SucD*: $\text{MOST } n. P (\text{Suc } n) \implies \text{MOST } n. P n$
 $\langle \text{proof} \rangle$

lemma *MOST-ge-nat*: $\text{MOST } n::\text{nat}. m \leq n$
 $\langle \text{proof} \rangle$

lemma *Inf-many-def*: $\text{Inf-many } P \longleftrightarrow \text{infinite } \{x. P x\}$ $\langle \text{proof} \rangle$

lemma *Alm-all-def*: $\text{Alm-all } P \longleftrightarrow \neg (\text{INFM } x. \neg P x)$ $\langle \text{proof} \rangle$

lemma *INFM-iff-infinite*: $(\text{INFM } x. P x) \longleftrightarrow \text{infinite } \{x. P x\}$ $\langle \text{proof} \rangle$

lemma *MOST-iff-cofinite*: $(\text{MOST } x. P x) \longleftrightarrow \text{finite } \{x. \neg P x\}$ $\langle \text{proof} \rangle$

lemma *INFM-EX*: $(\exists_{\infty} x. P x) \implies (\exists x. P x)$ *<proof>*
lemma *ALL-MOST*: $\forall x. P x \implies \forall_{\infty} x. P x$ *<proof>*
lemma *INFM-mono*: $\exists_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \exists_{\infty} x. Q x$ *<proof>*
lemma *MOST-mono*: $\forall_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \forall_{\infty} x. Q x$ *<proof>*
lemma *INFM-disj-distrib*: $(\exists_{\infty} x. P x \vee Q x) \longleftrightarrow (\exists_{\infty} x. P x) \vee (\exists_{\infty} x. Q x)$ *<proof>*
lemma *MOST-rev-mp*: $\forall_{\infty} x. P x \implies \forall_{\infty} x. P x \longrightarrow Q x \implies \forall_{\infty} x. Q x$ *<proof>*
lemma *MOST-conj-distrib*: $(\forall_{\infty} x. P x \wedge Q x) \longleftrightarrow (\forall_{\infty} x. P x) \wedge (\forall_{\infty} x. Q x)$ *<proof>*
lemma *MOST-conjI*: $MOST x. P x \implies MOST x. Q x \implies MOST x. P x \wedge Q x$ *<proof>*
lemma *INFM-finite-Bex-distrib*: $finite A \implies (INFM y. \exists x \in A. P x y) \longleftrightarrow (\exists x \in A. INFM y. P x y)$ *<proof>*
lemma *MOST-finite-Ball-distrib*: $finite A \implies (MOST y. \forall x \in A. P x y) \longleftrightarrow (\forall x \in A. MOST y. P x y)$ *<proof>*
lemma *INFM-E*: $INFM x. P x \implies (\bigwedge x. P x \implies thesis) \implies thesis$ *<proof>*
lemma *MOST-I*: $(\bigwedge x. P x) \implies MOST x. P x$ *<proof>*
lemmas *MOST-iff-finiteNeg = MOST-iff-cofinite*

13.2 Enumeration of an Infinite Set

The set's element type must be wellordered (e.g. the natural numbers).

Could be generalized to $enumerate' S n = (SOME t. t \in s \wedge finite \{s \in S. s < t\} \wedge card \{s \in S. s < t\} = n)$.

primrec (in *wellorder*) $enumerate :: 'a set \Rightarrow nat \Rightarrow 'a$

where

$enumerate-0$: $enumerate S 0 = (LEAST n. n \in S)$

| $enumerate-Suc$: $enumerate S (Suc n) = enumerate (S - \{LEAST n. n \in S\}) n$

lemma *enumerate-Suc'*: $enumerate S (Suc n) = enumerate (S - \{enumerate S 0\}) n$ *<proof>*

lemma *enumerate-in-set*: $infinite S \implies enumerate S n \in S$ *<proof>*

declare *enumerate-0* [*simp del*] *enumerate-Suc* [*simp del*]

lemma *enumerate-step*: $infinite S \implies enumerate S n < enumerate S (Suc n)$ *<proof>*

lemma *enumerate-mono*: $m < n \implies infinite S \implies enumerate S m < enumerate S n$ *<proof>*

lemma *le-enumerate*:
assumes S : *infinite S*

```

shows  $n \leq \text{enumerate } S \ n$ 
<proof>

lemma enumerate-Suc'':
fixes  $S :: 'a::\text{wellorder set}$ 
assumes infinite S
shows  $\text{enumerate } S \ (\text{Suc } n) = (\text{LEAST } s. s \in S \wedge \text{enumerate } S \ n < s)$ 
<proof>

lemma enumerate-Ex:
assumes  $S: \text{infinite } (S::\text{nat set})$ 
shows  $s \in S \implies \exists n. \text{enumerate } S \ n = s$ 
<proof>

lemma bij-enumerate:
fixes  $S :: \text{nat set}$ 
assumes  $S: \text{infinite } S$ 
shows bij-betw (enumerate S) UNIV S
<proof>

end

```

14 Polynomials as type over a ring structure

```

theory Polynomial
imports Main  $\sim\sim / \text{src}/\text{HOL}/\text{Deriv}$   $\sim\sim / \text{src}/\text{HOL}/\text{Library}/\text{More-List}$ 
 $\sim\sim / \text{src}/\text{HOL}/\text{Library}/\text{Infinite-Set}$ 
begin

```

14.1 Auxiliary: operations for lists (later) representing coefficients

```

definition cCons  $:: 'a::\text{zero} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \ (\text{infixr } \#\# \ 65)$ 

```

```

where

```

```

 $x \#\# xs = (\text{if } xs = [] \wedge x = 0 \text{ then } [] \text{ else } x \# xs)$ 

```

```

lemma cCons-0-Nil-eq [simp]:

```

```

 $0 \#\# [] = []$ 

```

```

<proof>

```

```

lemma cCons-Cons-eq [simp]:

```

```

 $x \#\# y \# ys = x \# y \# ys$ 

```

```

<proof>

```

```

lemma cCons-append-Cons-eq [simp]:

```

```

 $x \#\# xs @ y \# ys = x \# xs @ y \# ys$ 

```

```

<proof>

```

```

lemma cCons-not-0-eq [simp]:

```

$x \neq 0 \implies x \#\# xs = x \# xs$
 ⟨proof⟩

lemma *strip-while-not-0-Cons-eq* [simp]:
 $strip_while (\lambda x. x = 0) (x \# xs) = x \#\# strip_while (\lambda x. x = 0) xs$
 ⟨proof⟩

lemma *tl-cCons* [simp]:
 $tl (x \#\# xs) = xs$
 ⟨proof⟩

14.2 Definition of type *poly*

typedef (overloaded) *'a poly* = { $f :: nat \Rightarrow 'a::zero. \forall \infty n. f n = 0$ }
morphisms *coeff Abs-poly* ⟨proof⟩

setup-lifting *type-definition-poly*

lemma *poly-eq-iff*: $p = q \iff (\forall n. coeff\ p\ n = coeff\ q\ n)$
 ⟨proof⟩

lemma *poly-eqI*: $(\bigwedge n. coeff\ p\ n = coeff\ q\ n) \implies p = q$
 ⟨proof⟩

lemma *MOST-coeff-eq-0*: $\forall \infty n. coeff\ p\ n = 0$
 ⟨proof⟩

14.3 Degree of a polynomial

definition *degree* :: *'a::zero poly* $\Rightarrow nat$

where

$degree\ p = (LEAST\ n. \forall i > n. coeff\ p\ i = 0)$

lemma *coeff-eq-0*:
assumes $degree\ p < n$
shows $coeff\ p\ n = 0$
 ⟨proof⟩

lemma *le-degree*: $coeff\ p\ n \neq 0 \implies n \leq degree\ p$
 ⟨proof⟩

lemma *degree-le*: $\forall i > n. coeff\ p\ i = 0 \implies degree\ p \leq n$
 ⟨proof⟩

lemma *less-degree-imp*: $n < degree\ p \implies \exists i > n. coeff\ p\ i \neq 0$
 ⟨proof⟩

14.4 The zero polynomial

instantiation *poly* :: (*zero*) *zero*

begin

lift-definition *zero-poly* :: 'a poly
is $\lambda-. 0$ $\langle proof \rangle$

instance $\langle proof \rangle$

end

lemma *coeff-0* [simp]:
 $coeff\ 0\ n = 0$
 $\langle proof \rangle$

lemma *degree-0* [simp]:
 $degree\ 0 = 0$
 $\langle proof \rangle$

lemma *leading-coeff-neq-0*:
assumes $p \neq 0$
shows $coeff\ p\ (degree\ p) \neq 0$
 $\langle proof \rangle$

lemma *leading-coeff-0-iff* [simp]:
 $coeff\ p\ (degree\ p) = 0 \longleftrightarrow p = 0$
 $\langle proof \rangle$

14.5 List-style constructor for polynomials

lift-definition *pCons* :: 'a::zero \Rightarrow 'a poly \Rightarrow 'a poly
is $\lambda a\ p. case\ nat\ a\ (coeff\ p)$
 $\langle proof \rangle$

lemmas *coeff-pCons = pCons.rep-eq*

lemma *coeff-pCons-0* [simp]:
 $coeff\ (pCons\ a\ p)\ 0 = a$
 $\langle proof \rangle$

lemma *coeff-pCons-Suc* [simp]:
 $coeff\ (pCons\ a\ p)\ (Suc\ n) = coeff\ p\ n$
 $\langle proof \rangle$

lemma *degree-pCons-le*:
 $degree\ (pCons\ a\ p) \leq Suc\ (degree\ p)$
 $\langle proof \rangle$

lemma *degree-pCons-eq*:
 $p \neq 0 \implies degree\ (pCons\ a\ p) = Suc\ (degree\ p)$
 $\langle proof \rangle$

lemma *degree-pCons-0*:

degree (*pCons* *a* 0) = 0
<proof>

lemma *degree-pCons-eq-iff* [*simp*]:

degree (*pCons* *a* *p*) = (if *p* = 0 then 0 else *Suc* (*degree* *p*))
<proof>

lemma *pCons-0-0* [*simp*]:

pCons 0 0 = 0
<proof>

lemma *pCons-eq-iff* [*simp*]:

pCons *a* *p* = *pCons* *b* *q* \longleftrightarrow *a* = *b* \wedge *p* = *q*
<proof>

lemma *pCons-eq-0-iff* [*simp*]:

pCons *a* *p* = 0 \longleftrightarrow *a* = 0 \wedge *p* = 0
<proof>

lemma *pCons-cases* [*cases type: poly*]:

obtains (*pCons*) *a* *q* **where** *p* = *pCons* *a* *q*
<proof>

lemma *pCons-induct* [*case-names* 0 *pCons*, *induct type: poly*]:

assumes *zero*: *P* 0
assumes *pCons*: $\bigwedge a p. a \neq 0 \vee p \neq 0 \implies P p \implies P (pCons a p)$
shows *P* *p*
<proof>

lemma *degree-eq-zeroE*:

fixes *p* :: 'a::zero *poly*
assumes *degree* *p* = 0
obtains *a* **where** *p* = *pCons* *a* 0
<proof>

14.6 Quickcheck generator for polynomials

quickcheck-generator *poly constructors*: 0 :: - *poly*, *pCons*

14.7 List-style syntax for polynomials

syntax

-*poly* :: *args* \Rightarrow 'a *poly* ([:(-):])

translations

[*x*, *xs*] == *CONST* *pCons* *x* [*xs*:]
[*x*:] == *CONST* *pCons* *x* 0
[*x*:] <= *CONST* *pCons* *x* (-*constrain* 0 *t*)

14.8 Representation of polynomials by lists of coefficients

primrec $Poly :: 'a::zero\ list \Rightarrow 'a\ poly$

where

[code-post]: $Poly [] = 0$
| [code-post]: $Poly (a \# as) = pCons\ a\ (Poly\ as)$

lemma *Poly-replicate-0* [simp]:

$Poly\ (replicate\ n\ 0) = 0$
⟨proof⟩

lemma *Poly-eq-0*:

$Poly\ as = 0 \longleftrightarrow (\exists n. as = replicate\ n\ 0)$
⟨proof⟩

lemma *degree-Poly*: $degree\ (Poly\ xs) \leq length\ xs$

⟨proof⟩

definition *coeffs* :: $'a\ poly \Rightarrow 'a::zero\ list$

where

$coeffs\ p = (if\ p = 0\ then\ []\ else\ map\ (\lambda i. coeff\ p\ i)\ [0 ..< Suc\ (degree\ p)])$

lemma *coeffs-eq-Nil* [simp]:

$coeffs\ p = [] \longleftrightarrow p = 0$
⟨proof⟩

lemma *not-0-coeffs-not-Nil*:

$p \neq 0 \implies coeffs\ p \neq []$
⟨proof⟩

lemma *coeffs-0-eq-Nil* [simp]:

$coeffs\ 0 = []$
⟨proof⟩

lemma *coeffs-pCons-eq-cCons* [simp]:

$coeffs\ (pCons\ a\ p) = a \## coeffs\ p$
⟨proof⟩

lemma *length-coeffs*: $p \neq 0 \implies length\ (coeffs\ p) = degree\ p + 1$

⟨proof⟩

lemma *coeffs-nth*:

assumes $p \neq 0\ n \leq degree\ p$
shows $coeffs\ p\ !\ n = coeff\ p\ n$
⟨proof⟩

lemma *not-0-cCons-eq* [simp]:

$p \neq 0 \implies a \## coeffs\ p = a \# coeffs\ p$
⟨proof⟩

lemma *Poly-coeffs* [*simp*, *code abstype*]:
 $Poly (coeffs\ p) = p$
 $\langle proof \rangle$

lemma *coeffs-Poly* [*simp*]:
 $coeffs (Poly\ as) = strip_while (HOL.eq\ 0)\ as$
 $\langle proof \rangle$

lemma *last-coeffs-not-0*:
 $p \neq 0 \implies last (coeffs\ p) \neq 0$
 $\langle proof \rangle$

lemma *strip-while-coeffs* [*simp*]:
 $strip_while (HOL.eq\ 0)\ (coeffs\ p) = coeffs\ p$
 $\langle proof \rangle$

lemma *coeffs-eq-iff*:
 $p = q \longleftrightarrow coeffs\ p = coeffs\ q \text{ (is } ?P \longleftrightarrow ?Q)$
 $\langle proof \rangle$

lemma *coeff-Poly-eq*:
 $coeff (Poly\ xs)\ n = nth_default\ 0\ xs\ n$
 $\langle proof \rangle$

lemma *nth-default-coeffs-eq*:
 $nth_default\ 0\ (coeffs\ p) = coeff\ p$
 $\langle proof \rangle$

lemma [*code*]:
 $coeff\ p = nth_default\ 0\ (coeffs\ p)$
 $\langle proof \rangle$

lemma *coeffs-eqI*:
assumes *coeff*: $\bigwedge n. coeff\ p\ n = nth_default\ 0\ xs\ n$
assumes *zero*: $xs \neq [] \implies last\ xs \neq 0$
shows $coeffs\ p = xs$
 $\langle proof \rangle$

lemma *degree-eq-length-coeffs* [*code*]:
 $degree\ p = length (coeffs\ p) - 1$
 $\langle proof \rangle$

lemma *length-coeffs-degree*:
 $p \neq 0 \implies length (coeffs\ p) = Suc (degree\ p)$
 $\langle proof \rangle$

lemma [*code abstract*]:
 $coeffs\ 0 = []$
 $\langle proof \rangle$

lemma [code abstract]:

$\text{coeffs } (p\text{Cons } a \ p) = a \ \#\# \ \text{coeffs } p$
<proof>

instantiation *poly* :: (*zero*, *equal*) *equal*
begin

definition

[code]: $\text{HOL.equal } (p :: 'a \ \text{poly}) \ q \longleftrightarrow \text{HOL.equal } (\text{coeffs } p) (\text{coeffs } q)$

instance

<proof>

end

lemma [code nbe]: $\text{HOL.equal } (p :: - \ \text{poly}) \ p \longleftrightarrow \text{True}$
<proof>

definition *is-zero* :: '*a*::*zero poly* \Rightarrow *bool*

where

[code]: $\text{is-zero } p \longleftrightarrow \text{List.null } (\text{coeffs } p)$

lemma *is-zero-null* [code-abbrev]:

$\text{is-zero } p \longleftrightarrow p = 0$
<proof>

14.9 Fold combinator for polynomials

definition *fold-coeffs* :: ('*a*::*zero* \Rightarrow '*b* \Rightarrow '*b*) \Rightarrow '*a poly* \Rightarrow '*b* \Rightarrow '*b*

where

$\text{fold-coeffs } f \ p = \text{foldr } f \ (\text{coeffs } p)$

lemma *fold-coeffs-0-eq* [simp]:

$\text{fold-coeffs } f \ 0 = \text{id}$
<proof>

lemma *fold-coeffs-pCons-eq* [simp]:

$f \ 0 = \text{id} \Longrightarrow \text{fold-coeffs } f \ (p\text{Cons } a \ p) = f \ a \circ \text{fold-coeffs } f \ p$
<proof>

lemma *fold-coeffs-pCons-0-0-eq* [simp]:

$\text{fold-coeffs } f \ (p\text{Cons } 0 \ 0) = \text{id}$
<proof>

lemma *fold-coeffs-pCons-coeff-not-0-eq* [simp]:

$a \neq 0 \Longrightarrow \text{fold-coeffs } f \ (p\text{Cons } a \ p) = f \ a \circ \text{fold-coeffs } f \ p$
<proof>

lemma *fold-coeffs-pCons-not-0-0-eq* [simp]:
 $p \neq 0 \implies \text{fold-coeffs } f \text{ (pCons } a \text{ } p) = f \ a \circ \text{fold-coeffs } f \ p$
 ⟨proof⟩

14.10 Canonical morphism on polynomials – evaluation

definition *poly* :: 'a::comm-semiring-0 *poly* \Rightarrow 'a \Rightarrow 'a

where

poly *p* = *fold-coeffs* ($\lambda a \ f \ x. \ a + x * f \ x$) *p* ($\lambda x. \ 0$) — The Horner Schema

lemma *poly-0* [simp]:
 $\text{poly } 0 \ x = 0$
 ⟨proof⟩

lemma *poly-pCons* [simp]:
 $\text{poly } (\text{pCons } a \ p) \ x = a + x * \text{poly } p \ x$
 ⟨proof⟩

lemma *poly-altdef*:
 $\text{poly } p \ (x :: 'a :: \{\text{comm-semiring-0}, \text{semiring-1}\}) = (\sum_{i \leq \text{degree } p} \text{coeff } p \ i * x^i)$
 ⟨proof⟩

lemma *poly-0-coeff-0*: $\text{poly } p \ 0 = \text{coeff } p \ 0$
 ⟨proof⟩

14.11 Monomials

lift-definition *monom* :: 'a \Rightarrow nat \Rightarrow 'a::zero *poly*
is $\lambda a \ m \ n. \ \text{if } m = n \ \text{then } a \ \text{else } 0$
 ⟨proof⟩

lemma *coeff-monom* [simp]:
 $\text{coeff } (\text{monom } a \ m) \ n = (\text{if } m = n \ \text{then } a \ \text{else } 0)$
 ⟨proof⟩

lemma *monom-0*:
 $\text{monom } a \ 0 = \text{pCons } a \ 0$
 ⟨proof⟩

lemma *monom-Suc*:
 $\text{monom } a \ (\text{Suc } n) = \text{pCons } 0 \ (\text{monom } a \ n)$
 ⟨proof⟩

lemma *monom-eq-0* [simp]: $\text{monom } 0 \ n = 0$
 ⟨proof⟩

lemma *monom-eq-0-iff* [simp]: $\text{monom } a \ n = 0 \longleftrightarrow a = 0$
 ⟨proof⟩

lemma *monom-eq-iff* [*simp*]: $\text{monom } a \ n = \text{monom } b \ n \longleftrightarrow a = b$
<proof>

lemma *degree-monom-le*: $\text{degree } (\text{monom } a \ n) \leq n$
<proof>

lemma *degree-monom-eq*: $a \neq 0 \implies \text{degree } (\text{monom } a \ n) = n$
<proof>

lemma *coeffs-monom* [*code abstract*]:
 $\text{coeffs } (\text{monom } a \ n) = (\text{if } a = 0 \text{ then } [] \text{ else replicate } n \ 0 \ @ [a])$
<proof>

lemma *fold-coeffs-monom* [*simp*]:
 $a \neq 0 \implies \text{fold-coeffs } f \ (\text{monom } a \ n) = f \ 0 \ ^{\wedge} n \circ f \ a$
<proof>

lemma *poly-monom*:
fixes $a \ x :: 'a :: \{\text{comm-semiring-1}\}$
shows $\text{poly } (\text{monom } a \ n) \ x = a * x ^ n$
<proof>

14.12 Addition and subtraction

instantiation *poly* :: (*comm-monoid-add*) *comm-monoid-add*
begin

lift-definition *plus-poly* :: '*a poly* \Rightarrow '*a poly* \Rightarrow '*a poly*
is $\lambda p \ q \ n. \text{coeff } p \ n + \text{coeff } q \ n$
<proof>

lemma *coeff-add* [*simp*]: $\text{coeff } (p + q) \ n = \text{coeff } p \ n + \text{coeff } q \ n$
<proof>

instance
<proof>

end

instantiation *poly* :: (*cancel-comm-monoid-add*) *cancel-comm-monoid-add*
begin

lift-definition *minus-poly* :: '*a poly* \Rightarrow '*a poly* \Rightarrow '*a poly*
is $\lambda p \ q \ n. \text{coeff } p \ n - \text{coeff } q \ n$
<proof>

lemma *coeff-diff* [*simp*]: $\text{coeff } (p - q) \ n = \text{coeff } p \ n - \text{coeff } q \ n$
<proof>

instance

$\langle proof \rangle$

end

instantiation *poly* :: (*ab-group-add*) *ab-group-add*

begin

lift-definition *uminus-poly* :: 'a *poly* \Rightarrow 'a *poly*

is $\lambda p n. - \text{coeff } p n$

$\langle proof \rangle$

lemma *coeff-minus* [*simp*]: $\text{coeff } (- p) n = - \text{coeff } p n$

$\langle proof \rangle$

instance

$\langle proof \rangle$

end

lemma *add-pCons* [*simp*]:

$pCons a p + pCons b q = pCons (a + b) (p + q)$

$\langle proof \rangle$

lemma *minus-pCons* [*simp*]:

$- pCons a p = pCons (- a) (- p)$

$\langle proof \rangle$

lemma *diff-pCons* [*simp*]:

$pCons a p - pCons b q = pCons (a - b) (p - q)$

$\langle proof \rangle$

lemma *degree-add-le-max*: $\text{degree } (p + q) \leq \max (\text{degree } p) (\text{degree } q)$

$\langle proof \rangle$

lemma *degree-add-le*:

$\llbracket \text{degree } p \leq n; \text{degree } q \leq n \rrbracket \Longrightarrow \text{degree } (p + q) \leq n$

$\langle proof \rangle$

lemma *degree-add-less*:

$\llbracket \text{degree } p < n; \text{degree } q < n \rrbracket \Longrightarrow \text{degree } (p + q) < n$

$\langle proof \rangle$

lemma *degree-add-eq-right*:

$\text{degree } p < \text{degree } q \Longrightarrow \text{degree } (p + q) = \text{degree } q$

$\langle proof \rangle$

lemma *degree-add-eq-left*:

$\text{degree } q < \text{degree } p \Longrightarrow \text{degree } (p + q) = \text{degree } p$

<proof>

lemma *degree-minus [simp]*:

$\text{degree } (- p) = \text{degree } p$

<proof>

lemma *degree-diff-le-max*:

fixes $p q :: 'a :: \text{ab-group-add poly}$

shows $\text{degree } (p - q) \leq \max (\text{degree } p) (\text{degree } q)$

<proof>

lemma *degree-diff-le*:

fixes $p q :: 'a :: \text{ab-group-add poly}$

assumes $\text{degree } p \leq n$ **and** $\text{degree } q \leq n$

shows $\text{degree } (p - q) \leq n$

<proof>

lemma *degree-diff-less*:

fixes $p q :: 'a :: \text{ab-group-add poly}$

assumes $\text{degree } p < n$ **and** $\text{degree } q < n$

shows $\text{degree } (p - q) < n$

<proof>

lemma *add-monom*: $\text{monom } a \ n + \text{monom } b \ n = \text{monom } (a + b) \ n$

<proof>

lemma *diff-monom*: $\text{monom } a \ n - \text{monom } b \ n = \text{monom } (a - b) \ n$

<proof>

lemma *minus-monom*: $-\text{monom } a \ n = \text{monom } (-a) \ n$

<proof>

lemma *coeff-setsum*: $\text{coeff } (\sum x \in A. p \ x) \ i = (\sum x \in A. \text{coeff } (p \ x) \ i)$

<proof>

lemma *monom-setsum*: $\text{monom } (\sum x \in A. a \ x) \ n = (\sum x \in A. \text{monom } (a \ x) \ n)$

<proof>

fun *plus-coeffs* :: $'a :: \text{comm-monoid-add list} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list}$

where

$\text{plus-coeffs } xs \ [] = xs$

| $\text{plus-coeffs } [] \ ys = ys$

| $\text{plus-coeffs } (x \ \# \ xs) \ (y \ \# \ ys) = (x + y) \ \#\# \ \text{plus-coeffs } xs \ ys$

lemma *coeffs-plus-eq-plus-coeffs [code abstract]*:

$\text{coeffs } (p + q) = \text{plus-coeffs } (\text{coeffs } p) \ (\text{coeffs } q)$

<proof>

lemma *coeffs-uminus [code abstract]*:

$\text{coeffs } (- p) = \text{map } (\lambda a. - a) (\text{coeffs } p)$
 $\langle \text{proof} \rangle$

lemma *[code]*:
fixes $p q :: 'a::\text{ab-group-add poly}$
shows $p - q = p + - q$
 $\langle \text{proof} \rangle$

lemma *poly-add [simp]*: $\text{poly } (p + q) x = \text{poly } p x + \text{poly } q x$
 $\langle \text{proof} \rangle$

lemma *poly-minus [simp]*:
fixes $x :: 'a::\text{comm-ring}$
shows $\text{poly } (- p) x = - \text{poly } p x$
 $\langle \text{proof} \rangle$

lemma *poly-diff [simp]*:
fixes $x :: 'a::\text{comm-ring}$
shows $\text{poly } (p - q) x = \text{poly } p x - \text{poly } q x$
 $\langle \text{proof} \rangle$

lemma *poly-setsum*: $\text{poly } (\sum k \in A. p k) x = (\sum k \in A. \text{poly } (p k) x)$
 $\langle \text{proof} \rangle$

lemma *degree-setsum-le*: $\text{finite } S \implies (\bigwedge p. p \in S \implies \text{degree } (f p) \leq n) \implies \text{degree } (\text{setsum } f S) \leq n$
 $\langle \text{proof} \rangle$

lemma *poly-as-sum-of-monoms'*:
assumes $n: \text{degree } p \leq n$
shows $(\sum i \leq n. \text{monom } (\text{coeff } p i) i) = p$
 $\langle \text{proof} \rangle$

lemma *poly-as-sum-of-monoms*: $(\sum i \leq \text{degree } p. \text{monom } (\text{coeff } p i) i) = p$
 $\langle \text{proof} \rangle$

lemma *Poly-snoc*: $\text{Poly } (xs @ [x]) = \text{Poly } xs + \text{monom } x (\text{length } xs)$
 $\langle \text{proof} \rangle$

14.13 Multiplication by a constant, polynomial multiplication and the unit polynomial

lift-definition *smult* :: $'a::\text{comm-semiring-0} \Rightarrow 'a \text{ poly} \Rightarrow 'a \text{ poly}$
is $\lambda a p n. a * \text{coeff } p n$
 $\langle \text{proof} \rangle$

lemma *coeff-smult [simp]*:
 $\text{coeff } (\text{smult } a p) n = a * \text{coeff } p n$
 $\langle \text{proof} \rangle$

lemma *degree-smult-le*: $\text{degree } (\text{smult } a \ p) \leq \text{degree } p$
 ⟨proof⟩

lemma *smult-smult* [simp]: $\text{smult } a \ (\text{smult } b \ p) = \text{smult } (a * b) \ p$
 ⟨proof⟩

lemma *smult-0-right* [simp]: $\text{smult } a \ 0 = 0$
 ⟨proof⟩

lemma *smult-0-left* [simp]: $\text{smult } 0 \ p = 0$
 ⟨proof⟩

lemma *smult-1-left* [simp]: $\text{smult } (1::'a::\text{comm-semiring-1}) \ p = p$
 ⟨proof⟩

lemma *smult-add-right*:
 $\text{smult } a \ (p + q) = \text{smult } a \ p + \text{smult } a \ q$
 ⟨proof⟩

lemma *smult-add-left*:
 $\text{smult } (a + b) \ p = \text{smult } a \ p + \text{smult } b \ p$
 ⟨proof⟩

lemma *smult-minus-right* [simp]:
 $\text{smult } (a::'a::\text{comm-ring}) \ (- p) = - \text{smult } a \ p$
 ⟨proof⟩

lemma *smult-minus-left* [simp]:
 $\text{smult } (- a::'a::\text{comm-ring}) \ p = - \text{smult } a \ p$
 ⟨proof⟩

lemma *smult-diff-right*:
 $\text{smult } (a::'a::\text{comm-ring}) \ (p - q) = \text{smult } a \ p - \text{smult } a \ q$
 ⟨proof⟩

lemma *smult-diff-left*:
 $\text{smult } (a - b::'a::\text{comm-ring}) \ p = \text{smult } a \ p - \text{smult } b \ p$
 ⟨proof⟩

lemmas *smult-distrib* =
smult-add-left smult-add-right
smult-diff-left smult-diff-right

lemma *smult-pCons* [simp]:
 $\text{smult } a \ (p\text{Cons } b \ p) = p\text{Cons } (a * b) \ (\text{smult } a \ p)$
 ⟨proof⟩

lemma *smult-monom*: $\text{smult } a \ (\text{monom } b \ n) = \text{monom } (a * b) \ n$

<proof>

lemma *degree-smult-eq* [*simp*]:
 fixes *a* :: 'a::idom
 shows *degree (smult a p) = (if a = 0 then 0 else degree p)*
 <proof>

lemma *smult-eq-0-iff* [*simp*]:
 fixes *a* :: 'a::idom
 shows *smult a p = 0 \longleftrightarrow a = 0 \vee p = 0*
 <proof>

lemma *coeffs-smult* [*code abstract*]:
 fixes *p* :: 'a::idom *poly*
 shows *coeffs (smult a p) = (if a = 0 then [] else map (Groups.times a) (coeffs p))*
 <proof>

instantiation *poly* :: (*comm-semiring-0*) *comm-semiring-0*
begin

definition

*p * q = fold-coeffs ($\lambda a p. smult a q + pCons 0 p$) p 0*

lemma *mult-poly-0-left*: (*0*::'a *poly*) * *q* = 0
<proof>

lemma *mult-pCons-left* [*simp*]:
 *pCons a p * q = smult a q + pCons 0 (p * q)*
 <proof>

lemma *mult-poly-0-right*: *p* * (*0*::'a *poly*) = 0
<proof>

lemma *mult-pCons-right* [*simp*]:
 *p * pCons a q = smult a p + pCons 0 (p * q)*
 <proof>

lemmas *mult-poly-0 = mult-poly-0-left mult-poly-0-right*

lemma *mult-smult-left* [*simp*]:
 *smult a p * q = smult a (p * q)*
 <proof>

lemma *mult-smult-right* [*simp*]:
 *p * smult a q = smult a (p * q)*
 <proof>

lemma *mult-poly-add-left*:

```

fixes p q r :: 'a poly
shows (p + q) * r = p * r + q * r
⟨proof⟩

instance
⟨proof⟩

end

instance poly :: (comm-semiring-0-cancel) comm-semiring-0-cancel ⟨proof⟩

lemma coeff-mult:
  coeff (p * q) n = (∑ i ≤ n. coeff p i * coeff q (n-i))
⟨proof⟩

lemma degree-mult-le: degree (p * q) ≤ degree p + degree q
⟨proof⟩

lemma mult-monom: monom a m * monom b n = monom (a * b) (m + n)
⟨proof⟩

instantiation poly :: (comm-semiring-1) comm-semiring-1
begin

definition one-poly-def: 1 = pCons 1 0

instance
⟨proof⟩

end

instance poly :: (comm-ring) comm-ring ⟨proof⟩

instance poly :: (comm-ring-1) comm-ring-1 ⟨proof⟩

lemma coeff-1 [simp]: coeff 1 n = (if n = 0 then 1 else 0)
⟨proof⟩

lemma monom-eq-1 [simp]:
  monom 1 0 = 1
⟨proof⟩

lemma degree-1 [simp]: degree 1 = 0
⟨proof⟩

lemma coeffs-1-eq [simp, code abstract]:
  coeffs 1 = [1]
⟨proof⟩

```

lemma *degree-power-le*:
 $\text{degree } (p \wedge n) \leq \text{degree } p * n$
 $\langle \text{proof} \rangle$

lemma *poly-smult [simp]*:
 $\text{poly } (\text{smult } a \ p) \ x = a * \text{poly } p \ x$
 $\langle \text{proof} \rangle$

lemma *poly-mult [simp]*:
 $\text{poly } (p * q) \ x = \text{poly } p \ x * \text{poly } q \ x$
 $\langle \text{proof} \rangle$

lemma *poly-1 [simp]*:
 $\text{poly } 1 \ x = 1$
 $\langle \text{proof} \rangle$

lemma *poly-power [simp]*:
fixes $p :: 'a :: \{\text{comm-semiring-1}\}$ *poly*
shows $\text{poly } (p \wedge n) \ x = \text{poly } p \ x \wedge n$
 $\langle \text{proof} \rangle$

lemma *poly-setprod*: $\text{poly } (\prod_{k \in A}. p \ k) \ x = (\prod_{k \in A}. \text{poly } (p \ k) \ x)$
 $\langle \text{proof} \rangle$

lemma *degree-setprod-setsum-le*: $\text{finite } S \implies \text{degree } (\text{setprod } f \ S) \leq \text{setsum } (\text{degree } o \ f) \ S$
 $\langle \text{proof} \rangle$

14.14 Conversions from natural numbers

lemma *of-nat-poly*: $\text{of-nat } n = [:\text{of-nat } n :: 'a :: \text{comm-semiring-1}:]$
 $\langle \text{proof} \rangle$

lemma *degree-of-nat [simp]*: $\text{degree } (\text{of-nat } n) = 0$
 $\langle \text{proof} \rangle$

lemma *degree-numeral [simp]*: $\text{degree } (\text{numeral } n) = 0$
 $\langle \text{proof} \rangle$

lemma *numeral-poly*: $\text{numeral } n = [:\text{numeral } n:]$
 $\langle \text{proof} \rangle$

14.15 Lemmas about divisibility

lemma *dvd-smult*: $p \ \text{dvd} \ q \implies p \ \text{dvd} \ \text{smult } a \ q$
 $\langle \text{proof} \rangle$

lemma *dvd-smult-cancel*:
fixes $a :: 'a :: \text{field}$
shows $p \ \text{dvd} \ \text{smult } a \ q \implies a \neq 0 \implies p \ \text{dvd} \ q$

<proof>

lemma *dvd-smult-iff*:
 fixes $a :: 'a::field$
 shows $a \neq 0 \implies p \text{ dvd smult } a \ q \longleftrightarrow p \text{ dvd } q$
 <proof>

lemma *smult-dvd-cancel*:
 $smult \ a \ p \ \text{dvd} \ q \implies p \ \text{dvd} \ q$
 <proof>

lemma *smult-dvd*:
 fixes $a :: 'a::field$
 shows $p \ \text{dvd} \ q \implies a \neq 0 \implies smult \ a \ p \ \text{dvd} \ q$
 <proof>

lemma *smult-dvd-iff*:
 fixes $a :: 'a::field$
 shows $smult \ a \ p \ \text{dvd} \ q \longleftrightarrow (if \ a = 0 \ \text{then} \ q = 0 \ \text{else} \ p \ \text{dvd} \ q)$
 <proof>

14.16 Polynomials form an integral domain

lemma *coeff-mult-degree-sum*:
 $coeff \ (p * q) \ (degree \ p + degree \ q) =$
 $coeff \ p \ (degree \ p) * coeff \ q \ (degree \ q)$
 <proof>

instance *poly* :: (*idom*) *idom*
 <proof>

lemma *degree-mult-eq*:
 fixes $p \ q :: 'a::semidom \ \text{poly}$
 shows $\llbracket p \neq 0; q \neq 0 \rrbracket \implies degree \ (p * q) = degree \ p + degree \ q$
 <proof>

lemma *degree-mult-right-le*:
 fixes $p \ q :: 'a::semidom \ \text{poly}$
 assumes $q \neq 0$
 shows $degree \ p \leq degree \ (p * q)$
 <proof>

lemma *coeff-degree-mult*:
 fixes $p \ q :: 'a::semidom \ \text{poly}$
 shows $coeff \ (p * q) \ (degree \ (p * q)) =$
 $coeff \ q \ (degree \ q) * coeff \ p \ (degree \ p)$
 <proof>

lemma *dvd-imp-degree-le*:

fixes $p\ q :: 'a::\text{semidom poly}$
shows $\llbracket p\ \text{dvd}\ q; q \neq 0 \rrbracket \implies \text{degree } p \leq \text{degree } q$
 $\langle \text{proof} \rangle$

lemma *divides-degree*:
assumes $pq: p\ \text{dvd}\ (q :: 'a :: \text{semidom poly})$
shows $\text{degree } p \leq \text{degree } q \vee q = 0$
 $\langle \text{proof} \rangle$

14.17 Polynomials form an ordered integral domain

definition $\text{pos-poly} :: 'a::\text{linordered-idom poly} \Rightarrow \text{bool}$
where

$\text{pos-poly } p \longleftrightarrow 0 < \text{coeff } p\ (\text{degree } p)$

lemma *pos-poly-pCons*:
 $\text{pos-poly } (p\ \text{Cons } a\ p) \longleftrightarrow \text{pos-poly } p \vee (p = 0 \wedge 0 < a)$
 $\langle \text{proof} \rangle$

lemma *not-pos-poly-0* [*simp*]: $\neg \text{pos-poly } 0$
 $\langle \text{proof} \rangle$

lemma *pos-poly-add*: $\llbracket \text{pos-poly } p; \text{pos-poly } q \rrbracket \implies \text{pos-poly } (p + q)$
 $\langle \text{proof} \rangle$

lemma *pos-poly-mult*: $\llbracket \text{pos-poly } p; \text{pos-poly } q \rrbracket \implies \text{pos-poly } (p * q)$
 $\langle \text{proof} \rangle$

lemma *pos-poly-total*: $p = 0 \vee \text{pos-poly } p \vee \text{pos-poly } (- p)$
 $\langle \text{proof} \rangle$

lemma *last-coeffs-eq-coeff-degree*:
 $p \neq 0 \implies \text{last } (\text{coeffs } p) = \text{coeff } p\ (\text{degree } p)$
 $\langle \text{proof} \rangle$

lemma *pos-poly-coeffs* [*code*]:
 $\text{pos-poly } p \longleftrightarrow (\text{let } as = \text{coeffs } p \text{ in } as \neq [] \wedge \text{last } as > 0)$ (**is** $?P \longleftrightarrow ?Q$)
 $\langle \text{proof} \rangle$

instantiation $\text{poly} :: (\text{linordered-idom})\ \text{linordered-idom}$
begin

definition
 $x < y \longleftrightarrow \text{pos-poly } (y - x)$

definition
 $x \leq y \longleftrightarrow x = y \vee \text{pos-poly } (y - x)$

definition

$|x::'a \text{ poly}| = (\text{if } x < 0 \text{ then } -x \text{ else } x)$

definition

$\text{sgn } (x::'a \text{ poly}) = (\text{if } x = 0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$

instance

$\langle \text{proof} \rangle$

end

TODO: Simplification rules for comparisons

14.18 Synthetic division and polynomial roots

Synthetic division is simply division by the linear polynomial $x - c$.

definition $\text{synthetic-divmod} :: 'a::\text{comm-semiring-0} \text{ poly} \Rightarrow 'a \Rightarrow 'a \text{ poly} \times 'a$

where

$\text{synthetic-divmod } p \ c = \text{fold-coeffs } (\lambda a \ (q, r). (p\text{Cons } r \ q, a + c * r)) \ p \ (0, 0)$

definition $\text{synthetic-div} :: 'a::\text{comm-semiring-0} \text{ poly} \Rightarrow 'a \Rightarrow 'a \text{ poly}$

where

$\text{synthetic-div } p \ c = \text{fst } (\text{synthetic-divmod } p \ c)$

lemma $\text{synthetic-divmod-0} \ [\text{simp}]$:

$\text{synthetic-divmod } 0 \ c = (0, 0)$

$\langle \text{proof} \rangle$

lemma $\text{synthetic-divmod-pCons} \ [\text{simp}]$:

$\text{synthetic-divmod } (p\text{Cons } a \ p) \ c = (\lambda(q, r). (p\text{Cons } r \ q, a + c * r)) (\text{synthetic-divmod } p \ c)$

$\langle \text{proof} \rangle$

lemma $\text{synthetic-div-0} \ [\text{simp}]$:

$\text{synthetic-div } 0 \ c = 0$

$\langle \text{proof} \rangle$

lemma $\text{synthetic-div-unique-lemma}$: $\text{smult } c \ p = p\text{Cons } a \ p \Longrightarrow p = 0$

$\langle \text{proof} \rangle$

lemma $\text{snd-synthetic-divmod}$:

$\text{snd } (\text{synthetic-divmod } p \ c) = \text{poly } p \ c$

$\langle \text{proof} \rangle$

lemma $\text{synthetic-div-pCons} \ [\text{simp}]$:

$\text{synthetic-div } (p\text{Cons } a \ p) \ c = p\text{Cons } (\text{poly } p \ c) (\text{synthetic-div } p \ c)$

$\langle \text{proof} \rangle$

lemma $\text{synthetic-div-eq-0-iff}$:

$\text{synthetic-div } p \ c = 0 \longleftrightarrow \text{degree } p = 0$

<proof>

lemma *degree-synthetic-div:*

degree (synthetic-div p c) = degree p - 1

<proof>

lemma *synthetic-div-correct:*

p + smult c (synthetic-div p c) = pCons (poly p c) (synthetic-div p c)

<proof>

lemma *synthetic-div-unique:*

p + smult c q = pCons r q \implies r = poly p c \wedge q = synthetic-div p c

<proof>

lemma *synthetic-div-correct':*

fixes *c :: 'a::comm-ring-1*

shows *[: -c, 1:] * synthetic-div p c + [: poly p c:] = p*

<proof>

lemma *poly-eq-0-iff-dvd:*

fixes *c :: 'a::idom*

shows *poly p c = 0 \longleftrightarrow [: -c, 1:] dvd p*

<proof>

lemma *dvd-iff-poly-eq-0:*

fixes *c :: 'a::idom*

shows *[: c, 1:] dvd p \longleftrightarrow poly p (-c) = 0*

<proof>

lemma *poly-roots-finite:*

fixes *p :: 'a::idom poly*

shows *p \neq 0 \implies finite {x. poly p x = 0}*

<proof>

lemma *poly-eq-poly-eq-iff:*

fixes *p q :: 'a::{idom,ring-char-0} poly*

shows *poly p = poly q \longleftrightarrow p = q (is ?P \longleftrightarrow ?Q)*

<proof>

lemma *poly-all-0-iff-0:*

fixes *p :: 'a::{ring-char-0, idom} poly*

shows *(\forall x. poly p x = 0) \longleftrightarrow p = 0*

<proof>

14.19 Long division of polynomials

definition *pdivmod-rel :: 'a::field poly \Rightarrow 'a poly \Rightarrow 'a poly \Rightarrow 'a poly \Rightarrow bool*

where

pdivmod-rel x y q r \longleftrightarrow

$x = q * y + r \wedge (\text{if } y = 0 \text{ then } q = 0 \text{ else } r = 0 \vee \text{degree } r < \text{degree } y)$

lemma *pdivmod-rel-0*:

pdivmod-rel 0 y 0 0

<proof>

lemma *pdivmod-rel-by-0*:

pdivmod-rel x 0 0 x

<proof>

lemma *eq-zero-or-degree-less*:

assumes *degree p < n and coeff p n = 0*

shows *p = 0 ∨ degree p < n*

<proof>

lemma *pdivmod-rel-pCons*:

assumes *rel: pdivmod-rel x y q r*

assumes *y: y ≠ 0*

assumes *b: b = coeff (pCons a r) (degree y) / coeff y (degree y)*

shows *pdivmod-rel (pCons a x) y (pCons b q) (pCons a r - smult b y)*

(is pdivmod-rel ?x y ?q ?r)

<proof>

lemma *pdivmod-rel-exists*: $\exists q r. \text{pdivmod-rel } x y q r$

<proof>

lemma *pdivmod-rel-unique*:

assumes *1: pdivmod-rel x y q1 r1*

assumes *2: pdivmod-rel x y q2 r2*

shows *q1 = q2 ∧ r1 = r2*

<proof>

lemma *pdivmod-rel-0-iff*: $\text{pdivmod-rel } 0 y q r \longleftrightarrow q = 0 \wedge r = 0$

<proof>

lemma *pdivmod-rel-by-0-iff*: $\text{pdivmod-rel } x 0 q r \longleftrightarrow q = 0 \wedge r = x$

<proof>

lemmas *pdivmod-rel-unique-div = pdivmod-rel-unique [THEN conjunct1]*

lemmas *pdivmod-rel-unique-mod = pdivmod-rel-unique [THEN conjunct2]*

instantiation *poly :: (field) ring-div*

begin

definition *divide-poly where*

div-poly-def: x div y = (THE q. ∃ r. pdivmod-rel x y q r)

definition *mod-poly where*

$x \text{ mod } y = (\text{THE } r. \exists q. \text{pdivmod-rel } x \ y \ q \ r)$

lemma *div-poly-eq*:

$\text{pdivmod-rel } x \ y \ q \ r \implies x \ \text{div } y = q$
<proof>

lemma *mod-poly-eq*:

$\text{pdivmod-rel } x \ y \ q \ r \implies x \ \text{mod } y = r$
<proof>

lemma *pdivmod-rel*:

$\text{pdivmod-rel } x \ y \ (x \ \text{div } y) \ (x \ \text{mod } y)$
<proof>

instance

<proof>

end

lemma *is-unit-monom-0*:

fixes $a :: 'a::\text{field}$
assumes $a \neq 0$
shows $\text{is-unit } (\text{monom } a \ 0)$
<proof>

lemma *is-unit-triv*:

fixes $a :: 'a::\text{field}$
assumes $a \neq 0$
shows $\text{is-unit } [:a:]$
<proof>

lemma *is-unit-iff-degree*:

assumes $p \neq 0$
shows $\text{is-unit } p \longleftrightarrow \text{degree } p = 0$ (**is** $?P \longleftrightarrow ?Q$)
<proof>

lemma *is-unit-pCons-iff*:

$\text{is-unit } (\text{pCons } a \ p) \longleftrightarrow p = 0 \wedge a \neq 0$ (**is** $?P \longleftrightarrow ?Q$)
<proof>

lemma *is-unit-monom-trival*:

fixes $p :: 'a::\text{field } \text{poly}$
assumes $\text{is-unit } p$
shows $\text{monom } (\text{coeff } p \ (\text{degree } p)) \ 0 = p$
<proof>

lemma *is-unit-polyE*:

assumes $\text{is-unit } p$
obtains a **where** $p = \text{monom } a \ 0$ **and** $a \neq 0$

<proof>

instantiation *poly* :: (field) normalization-semidom
begin

definition *normalize-poly* :: 'a poly \Rightarrow 'a poly
 where *normalize-poly* p = *smult* (inverse (coeff p (degree p))) p

definition *unit-factor-poly* :: 'a poly \Rightarrow 'a poly
 where *unit-factor-poly* p = *monom* (coeff p (degree p)) 0

instance
<proof>

end

lemma *unit-factor-monom* [*simp*]:
 unit-factor (monom a n) =
 (if a = 0 then 0 else monom a 0)
 <proof>

lemma *unit-factor-pCons* [*simp*]:
 unit-factor (pCons a p) =
 (if p = 0 then monom a 0 else *unit-factor* p)
 <proof>

lemma *normalize-monom* [*simp*]:
 normalize (monom a n) =
 (if a = 0 then 0 else monom 1 n)
 <proof>

lemma *degree-mod-less*:
 $y \neq 0 \implies x \bmod y = 0 \vee \text{degree } (x \bmod y) < \text{degree } y$
 <proof>

lemma *div-poly-less*: $\text{degree } x < \text{degree } y \implies x \text{ div } y = 0$
<proof>

lemma *mod-poly-less*: $\text{degree } x < \text{degree } y \implies x \bmod y = x$
<proof>

lemma *pdivmod-rel-smult-left*:
 pdivmod-rel x y q r
 \implies *pdivmod-rel* (smult a x) y (smult a q) (smult a r)
 <proof>

lemma *div-smult-left*: (smult a x) div y = smult a (x div y)
<proof>

lemma *mod-smult-left*: $(\text{smult } a \ x) \ \text{mod } y = \text{smult } a \ (x \ \text{mod } y)$
<proof>

lemma *poly-div-minus-left* [*simp*]:
fixes $x \ y :: 'a::\text{field } \text{poly}$
shows $(- \ x) \ \text{div } y = - \ (x \ \text{div } y)$
<proof>

lemma *poly-mod-minus-left* [*simp*]:
fixes $x \ y :: 'a::\text{field } \text{poly}$
shows $(- \ x) \ \text{mod } y = - \ (x \ \text{mod } y)$
<proof>

lemma *pdivmod-rel-add-left*:
assumes *pdivmod-rel* $x \ y \ q \ r$
assumes *pdivmod-rel* $x' \ y \ q' \ r'$
shows *pdivmod-rel* $(x + x') \ y \ (q + q') \ (r + r')$
<proof>

lemma *poly-div-add-left*:
fixes $x \ y \ z :: 'a::\text{field } \text{poly}$
shows $(x + y) \ \text{div } z = x \ \text{div } z + y \ \text{div } z$
<proof>

lemma *poly-mod-add-left*:
fixes $x \ y \ z :: 'a::\text{field } \text{poly}$
shows $(x + y) \ \text{mod } z = x \ \text{mod } z + y \ \text{mod } z$
<proof>

lemma *poly-div-diff-left*:
fixes $x \ y \ z :: 'a::\text{field } \text{poly}$
shows $(x - y) \ \text{div } z = x \ \text{div } z - y \ \text{div } z$
<proof>

lemma *poly-mod-diff-left*:
fixes $x \ y \ z :: 'a::\text{field } \text{poly}$
shows $(x - y) \ \text{mod } z = x \ \text{mod } z - y \ \text{mod } z$
<proof>

lemma *pdivmod-rel-smult-right*:
 $\llbracket a \neq 0; \text{pdivmod-rel } x \ y \ q \ r \rrbracket$
 $\implies \text{pdivmod-rel } x \ (\text{smult } a \ y) \ (\text{smult } (\text{inverse } a) \ q) \ r$
<proof>

lemma *div-smult-right*:
 $a \neq 0 \implies x \ \text{div } (\text{smult } a \ y) = \text{smult } (\text{inverse } a) \ (x \ \text{div } y)$
<proof>

lemma *mod-smult-right*: $a \neq 0 \implies x \ \text{mod } (\text{smult } a \ y) = x \ \text{mod } y$

<proof>

lemma *poly-div-minus-right* [*simp*]:
 fixes $x\ y :: 'a::field\ poly$
 shows $x\ div\ (-\ y) = -\ (x\ div\ y)$
 <proof>

lemma *poly-mod-minus-right* [*simp*]:
 fixes $x\ y :: 'a::field\ poly$
 shows $x\ mod\ (-\ y) = x\ mod\ y$
 <proof>

lemma *pdivmod-rel-mult*:
 $\llbracket pdivmod-rel\ x\ y\ q\ r;\ pdivmod-rel\ q\ z\ q'\ r' \rrbracket$
 $\implies pdivmod-rel\ x\ (y * z)\ q'\ (y * r' + r)$
 <proof>

lemma *poly-div-mult-right*:
 fixes $x\ y\ z :: 'a::field\ poly$
 shows $x\ div\ (y * z) = (x\ div\ y)\ div\ z$
 <proof>

lemma *poly-mod-mult-right*:
 fixes $x\ y\ z :: 'a::field\ poly$
 shows $x\ mod\ (y * z) = y * (x\ div\ y\ mod\ z) + x\ mod\ y$
 <proof>

lemma *mod-pCons*:
 fixes a **and** x
 assumes $y: y \neq 0$
 defines $b: b \equiv coeff\ (pCons\ a\ (x\ mod\ y))\ (degree\ y) / coeff\ y\ (degree\ y)$
 shows $(pCons\ a\ x)\ mod\ y = (pCons\ a\ (x\ mod\ y) - smult\ b\ y)$
 <proof>

definition *pdivmod* $:: 'a::field\ poly \Rightarrow 'a\ poly \Rightarrow 'a\ poly \times 'a\ poly$
where
 $pdivmod\ p\ q = (p\ div\ q, p\ mod\ q)$

lemma *div-poly-code* [*code*]:
 $p\ div\ q = fst\ (pdivmod\ p\ q)$
 <proof>

lemma *mod-poly-code* [*code*]:
 $p\ mod\ q = snd\ (pdivmod\ p\ q)$
 <proof>

lemma *pdivmod-0*:
 $pdivmod\ 0\ q = (0, 0)$
 <proof>

lemma *pdivmod-pCons*:
 $pdivmod (pCons a p) q =$
 (if $q = 0$ then $(0, pCons a p)$ else
 (let $(s, r) = pdivmod p q;$
 $b = coeff (pCons a r) (degree q) / coeff q (degree q)$
 in $(pCons b s, pCons a r - smult b q)))$
 ⟨proof⟩

lemma *pdivmod-fold-coeffs* [code]:
 $pdivmod p q = (if q = 0 then (0, p)$
 else $fold-coeffs (\lambda a (s, r).$
 let $b = coeff (pCons a r) (degree q) / coeff q (degree q)$
 in $(pCons b s, pCons a r - smult b q)$
) $p (0, 0)$
)
 ⟨proof⟩

14.20 Order of polynomial roots

definition *order* :: 'a::idom \Rightarrow 'a poly \Rightarrow nat
where

$order a p = (LEAST n. \neg [:-a, 1:] ^ Suc n dvd p)$

lemma *coeff-linear-power*:
fixes $a :: 'a::comm-semiring-1$
shows $coeff ([:-a, 1:] ^ n) n = 1$
 ⟨proof⟩

lemma *degree-linear-power*:
fixes $a :: 'a::comm-semiring-1$
shows $degree ([:-a, 1:] ^ n) = n$
 ⟨proof⟩

lemma *order-1*: $[:-a, 1:] ^ order a p dvd p$
 ⟨proof⟩

lemma *order-2*: $p \neq 0 \implies \neg [:-a, 1:] ^ Suc (order a p) dvd p$
 ⟨proof⟩

lemma *order*:
 $p \neq 0 \implies [:-a, 1:] ^ order a p dvd p \wedge \neg [:-a, 1:] ^ Suc (order a p) dvd p$
 ⟨proof⟩

lemma *order-degree*:
assumes $p: p \neq 0$
shows $order a p \leq degree p$
 ⟨proof⟩

lemma *order-root*: $poly p a = 0 \iff p = 0 \vee order a p \neq 0$

<proof>

lemma *order-0I*: $\text{poly } p \ a \neq 0 \implies \text{order } a \ p = 0$
<proof>

14.21 Additional induction rules on polynomials

An induction rule for induction over the roots of a polynomial with a certain property. (e.g. all positive roots)

lemma *poly-root-induct* [*case-names 0 no-roots root*]:
 fixes $p :: 'a :: \text{idom } \text{poly}$
 assumes $Q \ 0$
 assumes $\bigwedge p. (\bigwedge a. P \ a \implies \text{poly } p \ a \neq 0) \implies Q \ p$
 assumes $\bigwedge a \ p. P \ a \implies Q \ p \implies Q \ ([:a, -1:] * p)$
 shows $Q \ p$
<proof>

lemma *dropWhile-rotate-append*:
 $\text{dropWhile } (op = a) (\text{rotate } n \ a \ @ \ ys) = \text{dropWhile } (op = a) \ ys$
<proof>

lemma *Poly-append-rotate-0*: $\text{Poly } (xs \ @ \ \text{rotate } n \ 0) = \text{Poly } xs$
<proof>

An induction rule for simultaneous induction over two polynomials, prepending one coefficient in each step.

lemma *poly-induct2* [*case-names 0 pCons*]:
 assumes $P \ 0 \ 0 \ \bigwedge a \ p \ b \ q. P \ p \ q \implies P \ (pCons \ a \ p) \ (pCons \ b \ q)$
 shows $P \ p \ q$
<proof>

14.22 Composition of polynomials

definition *pcompose* :: $'a :: \text{comm-semiring-0 } \text{poly} \Rightarrow 'a \ \text{poly} \Rightarrow 'a \ \text{poly}$
where

$pcompose \ p \ q = \text{fold-coeffs } (\lambda a \ c. [:a:] + q * c) \ p \ 0$

notation *pcompose* (**infixl** \circ_p 71)

lemma *pcompose-0* [*simp*]:
 $pcompose \ 0 \ q = 0$
<proof>

lemma *pcompose-pCons*:
 $pcompose \ (pCons \ a \ p) \ q = [:a:] + q * pcompose \ p \ q$
<proof>

lemma *pcompose-1*:

fixes $p :: 'a :: \text{comm-semiring-1 poly}$
shows $\text{pcompose } 1 \ p = 1$
 $\langle \text{proof} \rangle$

lemma *poly-pcompose*:
 $\text{poly } (\text{pcompose } p \ q) \ x = \text{poly } p \ (\text{poly } q \ x)$
 $\langle \text{proof} \rangle$

lemma *degree-pcompose-le*:
 $\text{degree } (\text{pcompose } p \ q) \leq \text{degree } p * \text{degree } q$
 $\langle \text{proof} \rangle$

lemma *pcompose-add*:
fixes $p \ q \ r :: 'a :: \{\text{comm-semiring-0}, \text{ab-semigroup-add}\} \text{ poly}$
shows $\text{pcompose } (p + q) \ r = \text{pcompose } p \ r + \text{pcompose } q \ r$
 $\langle \text{proof} \rangle$

lemma *pcompose-uminus*:
fixes $p \ r :: 'a :: \text{comm-ring poly}$
shows $\text{pcompose } (-p) \ r = -\text{pcompose } p \ r$
 $\langle \text{proof} \rangle$

lemma *pcompose-diff*:
fixes $p \ q \ r :: 'a :: \text{comm-ring poly}$
shows $\text{pcompose } (p - q) \ r = \text{pcompose } p \ r - \text{pcompose } q \ r$
 $\langle \text{proof} \rangle$

lemma *pcompose-smult*:
fixes $p \ r :: 'a :: \text{comm-semiring-0 poly}$
shows $\text{pcompose } (\text{smult } a \ p) \ r = \text{smult } a \ (\text{pcompose } p \ r)$
 $\langle \text{proof} \rangle$

lemma *pcompose-mult*:
fixes $p \ q \ r :: 'a :: \text{comm-semiring-0 poly}$
shows $\text{pcompose } (p * q) \ r = \text{pcompose } p \ r * \text{pcompose } q \ r$
 $\langle \text{proof} \rangle$

lemma *pcompose-assoc*:
 $\text{pcompose } p \ (\text{pcompose } q \ r :: 'a :: \text{comm-semiring-0 poly}) =$
 $\text{pcompose } (\text{pcompose } p \ q) \ r$
 $\langle \text{proof} \rangle$

lemma *pcompose-idR[simp]*:
fixes $p :: 'a :: \text{comm-semiring-1 poly}$
shows $\text{pcompose } p \ [; 0, 1 ;] = p$
 $\langle \text{proof} \rangle$

lemma *degree-mult-eq-0*:

fixes $p\ q:: 'a :: \text{semidom poly}$

shows $\text{degree } (p * q) = 0 \iff p = 0 \vee q = 0 \vee (p \neq 0 \wedge q \neq 0 \wedge \text{degree } p = 0 \wedge \text{degree } q = 0)$

$\langle \text{proof} \rangle$

lemma *pcompose-const[simp]:pcompose* $[:a:] q = [:a:] \langle \text{proof} \rangle$

lemma *pcompose-0'*: $\text{pcompose } p\ 0 = [: \text{coeff } p\ 0:]$

$\langle \text{proof} \rangle$

lemma *degree-pcompose*:

fixes $p\ q:: 'a :: \text{semidom poly}$

shows $\text{degree } (\text{pcompose } p\ q) = \text{degree } p * \text{degree } q$

$\langle \text{proof} \rangle$

lemma *pcompose-eq-0*:

fixes $p\ q:: 'a :: \text{semidom poly}$

assumes $\text{pcompose } p\ q = 0 \text{ degree } q > 0$

shows $p = 0$

$\langle \text{proof} \rangle$

14.23 Leading coefficient

definition *lead-coeff*:: $'a :: \text{zero poly} \Rightarrow 'a$ **where**

$\text{lead-coeff } p = \text{coeff } p\ (\text{degree } p)$

lemma *lead-coeff-pCons[simp]*:

$p \neq 0 \implies \text{lead-coeff } (p \text{Cons } a\ p) = \text{lead-coeff } p$

$p = 0 \implies \text{lead-coeff } (p \text{Cons } a\ p) = a$

$\langle \text{proof} \rangle$

lemma *lead-coeff-0[simp]:lead-coeff* $0 = 0$

$\langle \text{proof} \rangle$

lemma *lead-coeff-mult*:

fixes $p\ q:: 'a :: \text{idom poly}$

shows $\text{lead-coeff } (p * q) = \text{lead-coeff } p * \text{lead-coeff } q$

$\langle \text{proof} \rangle$

lemma *lead-coeff-add-le*:

assumes $\text{degree } p < \text{degree } q$

shows $\text{lead-coeff } (p + q) = \text{lead-coeff } q$

$\langle \text{proof} \rangle$

lemma *lead-coeff-minus*:

$\text{lead-coeff } (-p) = - \text{lead-coeff } p$

$\langle \text{proof} \rangle$

lemma *lead-coeff-comp*:
fixes $p q :: 'a :: idom\ poly$
assumes $degree\ q > 0$
shows $lead-coeff\ (pcompose\ p\ q) = lead-coeff\ p * lead-coeff\ q \wedge (degree\ p)$
 $\langle proof \rangle$

lemma *lead-coeff-smult*:
 $lead-coeff\ (smult\ c\ p :: 'a :: idom\ poly) = c * lead-coeff\ p$
 $\langle proof \rangle$

lemma *lead-coeff-1* [simp]: $lead-coeff\ 1 = 1$
 $\langle proof \rangle$

lemma *lead-coeff-of-nat* [simp]:
 $lead-coeff\ (of-nat\ n) = (of-nat\ n :: 'a :: \{comm-semiring-1, semiring-char-0\})$
 $\langle proof \rangle$

lemma *lead-coeff-numeral* [simp]:
 $lead-coeff\ (numeral\ n) = numeral\ n$
 $\langle proof \rangle$

lemma *lead-coeff-power*:
 $lead-coeff\ (p \wedge n :: 'a :: idom\ poly) = lead-coeff\ p \wedge n$
 $\langle proof \rangle$

lemma *lead-coeff-nonzero*: $p \neq 0 \implies lead-coeff\ p \neq 0$
 $\langle proof \rangle$

14.24 Derivatives of univariate polynomials

function $pderiv :: ('a :: semidom)\ poly \Rightarrow 'a\ poly$

where

[simp del]: $pderiv\ (pCons\ a\ p) = (if\ p = 0\ then\ 0\ else\ p + pCons\ 0\ (pderiv\ p))$
 $\langle proof \rangle$

termination $pderiv$
 $\langle proof \rangle$

lemma *pderiv-0* [simp]:
 $pderiv\ 0 = 0$
 $\langle proof \rangle$

lemma *pderiv-pCons*:
 $pderiv\ (pCons\ a\ p) = p + pCons\ 0\ (pderiv\ p)$
 $\langle proof \rangle$

lemma *pderiv-1* [simp]: $pderiv\ 1 = 0$

<proof>

lemma *pderiv-of-nat* [*simp*]: $pderiv\ (of\ nat\ n) = 0$
and *pderiv-numeral* [*simp*]: $pderiv\ (numeral\ m) = 0$
<proof>

lemma *coeff-pderiv*: $coeff\ (pderiv\ p)\ n = of\ nat\ (Suc\ n) * coeff\ p\ (Suc\ n)$
<proof>

fun *pderiv-coeffs-code* :: ('a :: semidom) \Rightarrow 'a list \Rightarrow 'a list **where**
pderiv-coeffs-code $f\ (x\ \#\ xs) = cCons\ (f * x)\ (pderiv\ coeffs\ code\ (f+1)\ xs)$
pderiv-coeffs-code $f\ [] = []$

definition *pderiv-coeffs* :: ('a :: semidom) list \Rightarrow 'a list **where**
pderiv-coeffs $xs = pderiv\ coeffs\ code\ 1\ (tl\ xs)$

lemma *pderiv-coeffs-code*:
 $nth\ default\ 0\ (pderiv\ coeffs\ code\ f\ xs)\ n = (f + of\ nat\ n) * (nth\ default\ 0\ xs\ n)$
<proof>

lemma *map-upt-Suc*: $map\ f\ [0 ..< Suc\ n] = f\ 0\ \# \ map\ (\lambda\ i.\ f\ (Suc\ i))\ [0 ..< n]$
<proof>

lemma *coeffs-pderiv-code* [*code abstract*]:
 $coeffs\ (pderiv\ p) = pderiv\ coeffs\ (coeffs\ p)$ *<proof>*

context

assumes *SORT-CONSTRAINT*('a::{semidom, semiring-char-0})
begin

lemma *pderiv-eq-0-iff*:
 $pderiv\ (p :: 'a\ poly) = 0 \iff degree\ p = 0$
<proof>

lemma *degree-pderiv*: $degree\ (pderiv\ (p :: 'a\ poly)) = degree\ p - 1$
<proof>

lemma *not-dvd-pderiv*:
assumes $degree\ (p :: 'a\ poly) \neq 0$
shows $\neg p\ dvd\ pderiv\ p$
<proof>

lemma *dvd-pderiv-iff* [*simp*]: $(p :: 'a\ poly)\ dvd\ pderiv\ p \iff degree\ p = 0$
<proof>

end

lemma *pderiv-singleton* [*simp*]: $pderiv\ [a:] = 0$

<proof>

lemma *pderiv-add*: $pderiv (p + q) = pderiv p + pderiv q$
<proof>

lemma *pderiv-minus*: $pderiv (- p :: 'a :: idom poly) = - pderiv p$
<proof>

lemma *pderiv-diff*: $pderiv (p - q) = pderiv p - pderiv q$
<proof>

lemma *pderiv-smult*: $pderiv (smult a p) = smult a (pderiv p)$
<proof>

lemma *pderiv-mult*: $pderiv (p * q) = p * pderiv q + q * pderiv p$
<proof>

lemma *pderiv-power-Suc*:
 $pderiv (p ^ Suc n) = smult (of-nat (Suc n)) (p ^ n) * pderiv p$
<proof>

lemma *pderiv-setprod*: $pderiv (setprod f (as)) =$
 $(\sum a \in as. setprod f (as - \{a\}) * pderiv (f a))$
<proof>

lemma *DERIV-pow2*: $DERIV (\%x. x ^ Suc n) x := real (Suc n) * (x ^ n)$
<proof>

declare *DERIV-pow2* [simp] *DERIV-pow* [simp]

lemma *DERIV-add-const*: $DERIV f x := D ==> DERIV (\%x. a + f x ::$
 $'a::real-normed-field) x := D$
<proof>

lemma *poly-DERIV* [simp]: $DERIV (\%x. poly p x) x := poly (pderiv p) x$
<proof>

lemma *continuous-on-poly* [continuous-intros]:

fixes $p :: 'a :: \{real-normed-field\}$ *poly*

assumes *continuous-on A f*

shows *continuous-on A (\lambda x. poly p (f x))*

<proof>

Consequences of the derivative theorem above

lemma *poly-differentiable*[simp]: $(\%x. poly p x)$ *differentiable (at x::real filter)*
<proof>

lemma *poly-isCont*[simp]: $isCont (\%x. poly p x) (x::real)$
<proof>

lemma *poly-IVT-pos*: $[[a < b; \text{poly } p (a::\text{real}) < 0; 0 < \text{poly } p b]]$
 $\implies \exists x. a < x \ \& \ x < b \ \& \ (\text{poly } p x = 0)$
 $\langle \text{proof} \rangle$

lemma *poly-IVT-neg*: $[[(a::\text{real}) < b; 0 < \text{poly } p a; \text{poly } p b < 0]]$
 $\implies \exists x. a < x \ \& \ x < b \ \& \ (\text{poly } p x = 0)$
 $\langle \text{proof} \rangle$

lemma *poly-IVT*:
fixes $p::\text{real poly}$
assumes $a < b$ **and** $\text{poly } p a * \text{poly } p b < 0$
shows $\exists x > a. x < b \ \wedge \ \text{poly } p x = 0$
 $\langle \text{proof} \rangle$

lemma *poly-MVT*: $(a::\text{real}) < b \implies$
 $\exists x. a < x \ \& \ x < b \ \& \ (\text{poly } p b - \text{poly } p a = (b - a) * \text{poly } (pderiv p) x)$
 $\langle \text{proof} \rangle$

lemma *poly-MVT'*:
assumes $\{ \min a \ .. \max a \ b \} \subseteq A$
shows $\exists x \in A. \text{poly } p b - \text{poly } p a = (b - a) * \text{poly } (pderiv p) (x::\text{real})$
 $\langle \text{proof} \rangle$

lemma *poly-pinfty-gt-lc*:
fixes $p::\text{real poly}$
assumes $\text{lead-coeff } p > 0$
shows $\exists n. \forall x \geq n. \text{poly } p x \geq \text{lead-coeff } p \ \langle \text{proof} \rangle$

14.25 Algebraic numbers

Algebraic numbers can be defined in two equivalent ways: all real numbers that are roots of rational polynomials or of integer polynomials. The Algebraic-Numbers AFP entry uses the rational definition, but we need the integer definition.

The equivalence is obvious since any rational polynomial can be multiplied with the LCM of its coefficients, yielding an integer polynomial with the same roots.

14.26 Algebraic numbers

definition *algebraic* $:: 'a :: \text{field-char-0} \Rightarrow \text{bool}$ **where**
 $\text{algebraic } x \longleftrightarrow (\exists p. (\forall i. \text{coeff } p i \in \mathbb{Z}) \wedge p \neq 0 \wedge \text{poly } p x = 0)$

lemma *algebraicI*:
assumes $\bigwedge i. \text{coeff } p i \in \mathbb{Z} \ p \neq 0 \ \text{poly } p x = 0$
shows $\text{algebraic } x$
 $\langle \text{proof} \rangle$

lemma *algebraicE*:

assumes *algebraic x*

obtains *p* **where** $\bigwedge i. \text{coeff } p \ i \in \mathbb{Z} \ p \neq 0 \ \text{poly } p \ x = 0$

<proof>

lemma *quotient-of-denom-pos'*: $\text{snd } (\text{quotient-of } x) > 0$

<proof>

lemma *of-int-div-in-Ints*:

$b \ \text{dvd} \ a \implies \text{of-int } a \ \text{div} \ \text{of-int } b \in (\mathbb{Z} :: 'a :: \text{ring-div set})$

<proof>

lemma *of-int-divide-in-Ints*:

$b \ \text{dvd} \ a \implies \text{of-int } a \ / \ \text{of-int } b \in (\mathbb{Z} :: 'a :: \text{field set})$

<proof>

lemma *algebraic-altdef*:

fixes $p :: 'a :: \text{field-char-0 poly}$

shows $\text{algebraic } x \longleftrightarrow (\exists p. (\forall i. \text{coeff } p \ i \in \mathbb{Q}) \wedge p \neq 0 \wedge \text{poly } p \ x = 0)$

<proof>

Lemmas for Derivatives

lemma *order-unique-lemma*:

fixes $p :: 'a :: \text{idom poly}$

assumes $[-a, 1:] \wedge^n \ \text{dvd} \ p \ \neg \ [-a, 1:] \wedge^{\text{Suc } n} \ \text{dvd} \ p$

shows $n = \text{order } a \ p$

<proof>

lemma *lemma-order-pderiv1*:

$\text{pderiv } ([-a, 1:] \wedge^{\text{Suc } n} * q) = [-a, 1:] \wedge^{\text{Suc } n} * \text{pderiv } q +$

$\text{smult } (\text{of-nat } (\text{Suc } n)) \ (q * [-a, 1:] \wedge^n)$

<proof>

lemma *lemma-order-pderiv*:

fixes $p :: 'a :: \text{field-char-0 poly}$

assumes $n: 0 < n$

and $pd: \text{pderiv } p \neq 0$

and $pe: p = [-a, 1:] \wedge^n * q$

and $nd: \sim [-a, 1:] \ \text{dvd} \ q$

shows $n = \text{Suc } (\text{order } a \ (\text{pderiv } p))$

<proof>

lemma *order-decomp*:

assumes $p \neq 0$

shows $\exists q. p = [-a, 1:] \wedge^{\text{order } a \ p} * q \wedge \neg \ [-a, 1:] \ \text{dvd} \ q$

<proof>

lemma *order-pderiv*:

$\llbracket \text{pderiv } p \neq 0; \text{order } a \ (p :: 'a :: \text{field-char-0 poly}) \neq 0 \rrbracket \implies$

$(\text{order } a \ p = \text{Suc } (\text{order } a \ (\text{pderiv } p)))$
 <proof>

lemma *order-mult*: $p * q \neq 0 \implies \text{order } a \ (p * q) = \text{order } a \ p + \text{order } a \ q$
 <proof>

lemma *order-smult*:
assumes $c \neq 0$
shows $\text{order } x \ (\text{smult } c \ p) = \text{order } x \ p$
 <proof>

lemma *order-1-eq-0* [*simp*]: $\text{order } x \ 1 = 0$
 <proof>

lemma *order-power-n-n*: $\text{order } a \ ([:-a, 1:]^n) = n$
 <proof>

Now justify the standard squarefree decomposition, i.e. $f / \text{gcd}(f, f')$.

lemma *order-divides*: $[:-a, 1:]^n \text{ dvd } p \iff p = 0 \vee n \leq \text{order } a \ p$
 <proof>

lemma *poly-squarefree-decomp-order*:
assumes $\text{pderiv } (p :: 'a :: \text{field-char-0 poly}) \neq 0$
and $p = q * d$
and $p': \text{pderiv } p = e * d$
and $d: d = r * p + s * \text{pderiv } p$
shows $\text{order } a \ q = (\text{if } \text{order } a \ p = 0 \text{ then } 0 \text{ else } 1)$
 <proof>

lemma *poly-squarefree-decomp-order2*:
 $\llbracket \text{pderiv } p \neq 0; \text{order } a \ (p :: 'a :: \text{field-char-0 poly});$
 $p = q * d;$
 $\text{pderiv } p = e * d;$
 $d = r * p + s * \text{pderiv } p$
 $\rrbracket \implies \forall a. \text{order } a \ q = (\text{if } \text{order } a \ p = 0 \text{ then } 0 \text{ else } 1)$
 <proof>

lemma *order-pderiv2*:
 $\llbracket \text{pderiv } p \neq 0; \text{order } a \ (p :: 'a :: \text{field-char-0 poly}) \neq 0 \rrbracket$
 $\implies (\text{order } a \ (\text{pderiv } p) = n) = (\text{order } a \ p = \text{Suc } n)$
 <proof>

definition
 $\text{rsquarefree} :: 'a :: \text{idom poly} \Rightarrow \text{bool}$ **where**
 $\text{rsquarefree } p = (p \neq 0 \ \& \ (\forall a. (\text{order } a \ p = 0) \mid (\text{order } a \ p = 1)))$

lemma *pderiv-iszero*: $\text{pderiv } p = 0 \implies \exists h. p = [h :: 'a :: \{\text{semidom}, \text{semiring-char-0}\}]$
 <proof>

```

lemma rsquarefree-roots:
  fixes  $p :: 'a :: \text{field-char-0 poly}$ 
  shows  $\text{rsquarefree } p = (\forall a. \neg(\text{poly } p \ a = 0 \wedge \text{poly } (\text{pderiv } p) \ a = 0))$ 
  <proof>

```

```

lemma poly-squarefree-decomp:
  assumes  $\text{pderiv } (p :: 'a :: \text{field-char-0 poly}) \neq 0$ 
  and  $p = q * d$ 
  and  $\text{pderiv } p = e * d$ 
  and  $d = r * p + s * \text{pderiv } p$ 
  shows  $\text{rsquarefree } q \ \& \ (\forall a. (\text{poly } q \ a = 0) = (\text{poly } p \ a = 0))$ 
  <proof>

```

```

no-notation cCons (infixr ## 65)

```

```

end

```

15 Abstract euclidean algorithm

```

theory Euclidean-Algorithm
imports  $\sim\sim / \text{src} / \text{HOL} / \text{GCD} \ \sim\sim / \text{src} / \text{HOL} / \text{Library} / \text{Polynomial}$ 
begin

```

A Euclidean semiring is a semiring upon which the Euclidean algorithm can be implemented. It must provide:

- division with remainder
- a size function such that $\text{size } (a \bmod b) < \text{size } b$ for any $b \neq (0::'a)$

The existence of these functions makes it possible to derive gcd and lcm functions for any Euclidean semiring.

```

class euclidean-semiring = semiring-div + normalization-semidom +
  fixes euclidean-size :: 'a  $\Rightarrow$  nat
  assumes size-0 [simp]: euclidean-size 0 = 0
  assumes mod-size-less:
     $b \neq 0 \implies \text{euclidean-size } (a \bmod b) < \text{euclidean-size } b$ 
  assumes size-mult-mono:
     $b \neq 0 \implies \text{euclidean-size } a \leq \text{euclidean-size } (a * b)$ 
begin

```

```

lemma euclidean-division:
  fixes  $a :: 'a$  and  $b :: 'a$ 
  assumes  $b \neq 0$ 
  obtains  $s$  and  $t$  where  $a = s * b + t$ 
  and  $\text{euclidean-size } t < \text{euclidean-size } b$ 

```

<proof>

lemma *dvd-euclidean-size-eq-imp-dvd*:

assumes $a \neq 0$ **and** $b \text{ dvd } a$: $b \text{ dvd } a$ **and** *size-eq*: $\text{euclidean-size } a = \text{euclidean-size } b$

shows $a \text{ dvd } b$

<proof>

function *gcd-eucl* :: $'a \Rightarrow 'a \Rightarrow 'a$

where

gcd-eucl a $b = (\text{if } b = 0 \text{ then normalize } a \text{ else } \text{gcd-eucl } b \ (a \text{ mod } b))$

<proof>

termination

<proof>

declare *gcd-eucl.simps* [*simp del*]

lemma *gcd-eucl-induct* [*case-names zero mod*]:

assumes $H1$: $\bigwedge b. P \ b \ 0$

and $H2$: $\bigwedge a \ b. b \neq 0 \implies P \ b \ (a \text{ mod } b) \implies P \ a \ b$

shows $P \ a \ b$

<proof>

definition *lcm-eucl* :: $'a \Rightarrow 'a \Rightarrow 'a$

where

lcm-eucl a $b = \text{normalize } (a * b) \ \text{div } \text{gcd-eucl } a \ b$

definition *Lcm-eucl* :: $'a \text{ set} \Rightarrow 'a$ — Somewhat complicated definition of Lcm that has the advantage of working for infinite sets as well

where

Lcm-eucl $A = (\text{if } \exists l. l \neq 0 \wedge (\forall a \in A. a \text{ dvd } l) \text{ then}$

$\text{let } l = \text{SOME } l. l \neq 0 \wedge (\forall a \in A. a \text{ dvd } l) \wedge \text{euclidean-size } l =$

$(\text{LEAST } n. \exists l. l \neq 0 \wedge (\forall a \in A. a \text{ dvd } l) \wedge \text{euclidean-size } l = n)$

$\text{in normalize } l$

$\text{else } 0)$

definition *Gcd-eucl* :: $'a \text{ set} \Rightarrow 'a$

where

Gcd-eucl $A = \text{Lcm-eucl } \{d. \forall a \in A. d \text{ dvd } a\}$

declare *Lcm-eucl-def* *Gcd-eucl-def* [*code del*]

lemma *gcd-eucl-0*:

gcd-eucl a $0 = \text{normalize } a$

<proof>

lemma *gcd-eucl-0-left*:

gcd-eucl 0 $a = \text{normalize } a$

<proof>

lemma *gcd-eucl-non-0*:

$b \neq 0 \implies \text{gcd-eucl } a \ b = \text{gcd-eucl } b \ (a \bmod b)$
(proof)

lemma *gcd-eucl-dvd1* [iff]: *gcd-eucl a b dvd a*

and *gcd-eucl-dvd2* [iff]: *gcd-eucl a b dvd b*
(proof)

lemma *normalize-gcd-eucl* [simp]:

normalize (gcd-eucl a b) = gcd-eucl a b
(proof)

lemma *gcd-eucl-greatest*:

fixes *k a b* :: 'a

shows $k \text{ dvd } a \implies k \text{ dvd } b \implies k \text{ dvd } \text{gcd-eucl } a \ b$

(proof)

lemma *eq-gcd-euclI*:

fixes *gcd* :: 'a \Rightarrow 'a

assumes $\bigwedge a \ b. \text{gcd } a \ b \text{ dvd } a \ \wedge \ \bigwedge a \ b. \text{gcd } a \ b \text{ dvd } b \ \wedge \ a \ b. \text{normalize } (\text{gcd } a \ b) =$
gcd a b

$\bigwedge a \ b \ k. k \text{ dvd } a \implies k \text{ dvd } b \implies k \text{ dvd } \text{gcd } a \ b$

shows *gcd = gcd-eucl*

(proof)

lemma *gcd-eucl-zero* [simp]:

$\text{gcd-eucl } a \ b = 0 \iff a = 0 \ \wedge \ b = 0$
(proof)

lemma *dvd-Lcm-eucl* [simp]: $a \in A \implies a \text{ dvd } \text{Lcm-eucl } A$

and *Lcm-eucl-least*: $(\bigwedge a. a \in A \implies a \text{ dvd } b) \implies \text{Lcm-eucl } A \text{ dvd } b$

and *unit-factor-Lcm-eucl* [simp]:

unit-factor (Lcm-eucl A) = (if Lcm-eucl A = 0 then 0 else 1)

(proof)

lemma *normalize-Lcm-eucl* [simp]:

normalize (Lcm-eucl A) = Lcm-eucl A

(proof)

lemma *eq-Lcm-euclI*:

fixes *lcm* :: 'a set \Rightarrow 'a

assumes $\bigwedge A \ a. a \in A \implies a \text{ dvd } \text{lcm } A$ **and** $\bigwedge A \ c. (\bigwedge a. a \in A \implies a \text{ dvd } c) \implies \text{lcm } A \text{ dvd } c$

$\bigwedge A. \text{normalize } (\text{lcm } A) = \text{lcm } A$ **shows** *lcm = Lcm-eucl*

(proof)

end

```

class euclidean-ring = euclidean-semiring + idom
begin

subclass ring-div ⟨proof⟩

function euclid-ext-aux :: 'a ⇒ - where
  euclid-ext-aux r' r s' s t' t = (
    if r = 0 then let c = 1 div unit-factor r' in (s' * c, t' * c, normalize r')
    else let q = r' div r
          in euclid-ext-aux r (r' mod r) s (s' - q * s) t (t' - q * t))
  ⟨proof⟩
termination ⟨proof⟩

declare euclid-ext-aux.simps [simp del]

lemma euclid-ext-aux-correct:
  assumes gcd-eucl r' r = gcd-eucl x y
  assumes s' * x + t' * y = r'
  assumes s * x + t * y = r
  shows case euclid-ext-aux r' r s' s t' t of (a,b,c) ⇒
    a * x + b * y = c ∧ c = gcd-eucl x y (is ?P (euclid-ext-aux r' r s' s t'
t))
  ⟨proof⟩

definition euclid-ext where
  euclid-ext a b = euclid-ext-aux a b 1 0 0 1

lemma euclid-ext-0:
  euclid-ext a 0 = (1 div unit-factor a, 0, normalize a)
  ⟨proof⟩

lemma euclid-ext-left-0:
  euclid-ext 0 a = (0, 1 div unit-factor a, normalize a)
  ⟨proof⟩

lemma euclid-ext-correct':
  case euclid-ext x y of (a,b,c) ⇒ a * x + b * y = c ∧ c = gcd-eucl x y
  ⟨proof⟩

lemma euclid-ext-gcd-eucl:
  (case euclid-ext x y of (a,b,c) ⇒ c) = gcd-eucl x y
  ⟨proof⟩

definition euclid-ext' where
  euclid-ext' x y = (case euclid-ext x y of (a, b, -) ⇒ (a, b))

lemma euclid-ext'-correct':
  case euclid-ext' x y of (a,b) ⇒ a * x + b * y = gcd-eucl x y

```

<proof>

lemma *euclid-ext'-0*: *euclid-ext' a 0 = (1 div unit-factor a, 0)*
<proof>

lemma *euclid-ext'-left-0*: *euclid-ext' 0 a = (0, 1 div unit-factor a)*
<proof>

end

class *euclidean-semiring-gcd* = *euclidean-semiring* + *gcd* + *Gcd* +
assumes *gcd-gcd-eucl*: *gcd = gcd-eucl* **and** *lcm-lcm-eucl*: *lcm = lcm-eucl*
assumes *Gcd-Gcd-eucl*: *Gcd = Gcd-eucl* **and** *Lcm-Lcm-eucl*: *Lcm = Lcm-eucl*
begin

subclass *semiring-gcd*
<proof>

subclass *semiring-Gcd*
<proof>

lemma *gcd-non-0*:
 $b \neq 0 \implies \text{gcd } a \ b = \text{gcd } b \ (a \bmod b)$
<proof>

lemmas *gcd-0 = gcd-0-right*
lemmas *dvd-gcd-iff = gcd-greatest-iff*
lemmas *gcd-greatest-iff = dvd-gcd-iff*

lemma *gcd-mod1* [*simp*]:
 $\text{gcd } (a \bmod b) \ b = \text{gcd } a \ b$
<proof>

lemma *gcd-mod2* [*simp*]:
 $\text{gcd } a \ (b \bmod a) = \text{gcd } a \ b$
<proof>

lemma *euclidean-size-gcd-le1* [*simp*]:
assumes $a \neq 0$
shows $\text{euclidean-size } (\text{gcd } a \ b) \leq \text{euclidean-size } a$
<proof>

lemma *euclidean-size-gcd-le2* [*simp*]:
 $b \neq 0 \implies \text{euclidean-size } (\text{gcd } a \ b) \leq \text{euclidean-size } b$
<proof>

lemma *euclidean-size-gcd-less1*:
assumes $a \neq 0$ **and** $\neg a \ \text{dvd } b$
shows $\text{euclidean-size } (\text{gcd } a \ b) < \text{euclidean-size } a$

<proof>

lemma *euclidean-size-gcd-less2*:
 assumes $b \neq 0$ **and** $\neg b \text{ dvd } a$
 shows *euclidean-size* (gcd a b) < *euclidean-size* b
 <proof>

lemma *euclidean-size-lcm-le1*:
 assumes $a \neq 0$ **and** $b \neq 0$
 shows *euclidean-size* a \leq *euclidean-size* (lcm a b)
 <proof>

lemma *euclidean-size-lcm-le2*:
 $a \neq 0 \implies b \neq 0 \implies$ *euclidean-size* b \leq *euclidean-size* (lcm a b)
 <proof>

lemma *euclidean-size-lcm-less1*:
 assumes $b \neq 0$ **and** $\neg b \text{ dvd } a$
 shows *euclidean-size* a < *euclidean-size* (lcm a b)
 <proof>

lemma *euclidean-size-lcm-less2*:
 assumes $a \neq 0$ **and** $\neg a \text{ dvd } b$
 shows *euclidean-size* b < *euclidean-size* (lcm a b)
 <proof>

lemma *Lcm-eucl-set* [code]:
 Lcm-eucl (set xs) = *foldl lcm-eucl* 1 xs
 <proof>

lemma *Gcd-eucl-set* [code]:
 Gcd-eucl (set xs) = *foldl gcd-eucl* 0 xs
 <proof>

end

A Euclidean ring is a Euclidean semiring with additive inverses. It provides a few more lemmas; in particular, Bezout's lemma holds for any Euclidean ring.

class *euclidean-ring-gcd* = *euclidean-semiring-gcd* + *idom*
begin

subclass *euclidean-ring* *<proof>*
subclass *ring-gcd* *<proof>*

lemma *euclid-ext-gcd* [simp]:
 (case *euclid-ext* a b of (-, -, t) \Rightarrow t) = gcd a b
 <proof>

lemma *euclid-ext-gcd'* [*simp*]:
 $euclid-ext\ a\ b = (r, s, t) \implies t = gcd\ a\ b$
 ⟨*proof*⟩

lemma *euclid-ext-correct*:
 $case\ euclid-ext\ x\ y\ of\ (a,b,c) \implies a * x + b * y = c \wedge c = gcd\ x\ y$
 ⟨*proof*⟩

lemma *euclid-ext'-correct*:
 $fst\ (euclid-ext'\ a\ b) * a + snd\ (euclid-ext'\ a\ b) * b = gcd\ a\ b$
 ⟨*proof*⟩

lemma *bezout*: $\exists s\ t. s * a + t * b = gcd\ a\ b$
 ⟨*proof*⟩

end

15.1 Typical instances

instantiation *nat* :: *euclidean-semiring*
begin

definition [*simp*]:
 $euclidean-size-nat = (id :: nat \implies nat)$

instance ⟨*proof*⟩

end

instantiation *int* :: *euclidean-ring*
begin

definition [*simp*]:
 $euclidean-size-int = (nat \circ abs :: int \implies nat)$

instance
 ⟨*proof*⟩

end

instantiation *poly* :: (*field*) *euclidean-ring*
begin

definition *euclidean-size-poly* :: '*a poly* $\implies nat$
 where $euclidean-size\ p = (if\ p = 0\ then\ 0\ else\ 2 \wedge degree\ p)$

lemma *euclidean-size-poly-0* [*simp*]:

```

    euclidean-size (0::'a poly) = 0
    <proof>

lemma euclidean-size-poly-not-0 [simp]:
  p ≠ 0 ⇒ euclidean-size p = 2 ^ degree p
  <proof>

instance
  <proof>

end

instance nat :: euclidean-semiring-gcd
  <proof>

instance int :: euclidean-ring-gcd
  <proof>

instantiation poly :: (field) euclidean-ring-gcd
begin

definition gcd-poly :: 'a poly ⇒ 'a poly ⇒ 'a poly where
  gcd-poly = gcd-eucl

definition lcm-poly :: 'a poly ⇒ 'a poly ⇒ 'a poly where
  lcm-poly = lcm-eucl

definition Gcd-poly :: 'a poly set ⇒ 'a poly where
  Gcd-poly = Gcd-eucl

definition Lcm-poly :: 'a poly set ⇒ 'a poly where
  Lcm-poly = Lcm-eucl

instance <proof>
end

lemma poly-gcd-monic:
  lead-coeff (gcd x y) = (if x = 0 ∧ y = 0 then 0 else 1)
  <proof>

lemma poly-dvd-antisym:
  fixes p q :: 'a::idom poly
  assumes coeff: coeff p (degree p) = coeff q (degree q)
  assumes dvd1: p dvd q and dvd2: q dvd p shows p = q
  <proof>

lemma poly-gcd-unique:

```

```

fixes  $d\ x\ y :: -\ \text{poly}$ 
assumes  $\text{dvd1}: d\ \text{dvd}\ x$  and  $\text{dvd2}: d\ \text{dvd}\ y$ 
  and  $\text{greatest}: \bigwedge k. k\ \text{dvd}\ x \implies k\ \text{dvd}\ y \implies k\ \text{dvd}\ d$ 
  and  $\text{monic}: \text{coeff}\ d\ (\text{degree}\ d) = (\text{if}\ x = 0 \wedge y = 0\ \text{then}\ 0\ \text{else}\ 1)$ 
shows  $d = \text{gcd}\ x\ y$ 
 $\langle\text{proof}\rangle$ 

```

```

lemma  $\text{poly-gcd-code}$  [code]:
   $\text{gcd}\ x\ y = (\text{if}\ y = 0\ \text{then}\ \text{normalize}\ x\ \text{else}\ \text{gcd}\ y\ (x\ \text{mod}\ (y :: -\ \text{poly})))$ 
 $\langle\text{proof}\rangle$ 

```

end

16 Factorial (semi)rings

```

theory Factorial-Ring
imports Main Primes  $\sim\sim$  /src/HOL/Library/Multiset
begin

```

```

context algebraic-semidom
begin

```

```

lemma  $\text{dvd-mult-imp-div}$ :
  assumes  $a * c\ \text{dvd}\ b$ 
  shows  $a\ \text{dvd}\ b\ \text{div}\ c$ 
 $\langle\text{proof}\rangle$ 

```

end

```

class  $\text{factorial-semiring} = \text{normalization-semidom} +$ 
  assumes  $\text{finite-divisors}: a \neq 0 \implies \text{finite}\ \{b. b\ \text{dvd}\ a \wedge \text{normalize}\ b = b\}$ 
  fixes  $\text{is-prime} :: 'a \Rightarrow \text{bool}$ 
  assumes  $\text{not-is-prime-zero}$  [simp]:  $\neg\ \text{is-prime}\ 0$ 
  and  $\text{is-prime-not-unit}: \text{is-prime}\ p \implies \neg\ \text{is-unit}\ p$ 
  and  $\text{no-prime-divisorsI2}: (\bigwedge b. b\ \text{dvd}\ a \implies \neg\ \text{is-prime}\ b) \implies \text{is-unit}\ a$ 
  assumes  $\text{is-primeI}: p \neq 0 \implies \neg\ \text{is-unit}\ p \implies (\bigwedge a. a\ \text{dvd}\ p \implies \neg\ \text{is-unit}\ a \implies$ 
 $p\ \text{dvd}\ a) \implies \text{is-prime}\ p$ 
  and  $\text{is-primeD}: \text{is-prime}\ p \implies p\ \text{dvd}\ a * b \implies p\ \text{dvd}\ a \vee p\ \text{dvd}\ b$ 
begin

```

```

lemma  $\text{not-is-prime-one}$  [simp]:
   $\neg\ \text{is-prime}\ 1$ 
 $\langle\text{proof}\rangle$ 

```

```

lemma  $\text{is-prime-not-zeroI}$ :
  assumes  $\text{is-prime}\ p$ 
  shows  $p \neq 0$ 
 $\langle\text{proof}\rangle$ 

```

lemma *is-prime-multD*:
assumes *is-prime* ($a * b$)
shows $is-unit\ a \vee is-unit\ b$
 $\langle proof \rangle$

lemma *is-primeD2*:
assumes *is-prime* p **and** $a\ dvd\ p$ **and** $\neg is-unit\ a$
shows $p\ dvd\ a$
 $\langle proof \rangle$

lemma *is-prime-mult-unit-left*:
assumes *is-prime* p
and $is-unit\ a$
shows *is-prime* ($a * p$)
 $\langle proof \rangle$

lemma *is-primeI2*:
assumes $p \neq 0$
assumes $\neg is-unit\ p$
assumes $P: \bigwedge a\ b. p\ dvd\ a * b \implies p\ dvd\ a \vee p\ dvd\ b$
shows *is-prime* p
 $\langle proof \rangle$

lemma *not-is-prime-divisorE*:
assumes $a \neq 0$ **and** $\neg is-unit\ a$ **and** $\neg is-prime\ a$
obtains b **where** $b\ dvd\ a$ **and** $\neg is-unit\ b$ **and** $\neg a\ dvd\ b$
 $\langle proof \rangle$

lemma *is-prime-normalize-iff* [*simp*]:
 $is-prime\ (normalize\ p) \longleftrightarrow is-prime\ p$ (**is** $?P \longleftrightarrow ?Q$)
 $\langle proof \rangle$

lemma *no-prime-divisorsI*:
assumes $\bigwedge b. b\ dvd\ a \implies normalize\ b = b \implies \neg is-prime\ b$
shows $is-unit\ a$
 $\langle proof \rangle$

lemma *prime-divisorE*:
assumes $a \neq 0$ **and** $\neg is-unit\ a$
obtains p **where** *is-prime* p **and** $p\ dvd\ a$
 $\langle proof \rangle$

lemma *is-prime-associated*:
assumes *is-prime* p **and** *is-prime* q **and** $q\ dvd\ p$
shows $normalize\ q = normalize\ p$
 $\langle proof \rangle$

lemma *prime-dvd-mult-iff*:
assumes *is-prime* p

shows $p \text{ dvd } a * b \longleftrightarrow p \text{ dvd } a \vee p \text{ dvd } b$
<proof>

lemma *prime-dvd-msetprod*:

assumes *is-prime* p
assumes *dvd*: $p \text{ dvd msetprod } A$
obtains a **where** $a \in \# A$ **and** $p \text{ dvd } a$
<proof>

lemma *msetprod-eq-iff*:

assumes $\forall p \in \text{set-mset } P. \text{is-prime } p \wedge \text{normalize } p = p$ **and** $\forall p \in \text{set-mset } Q. \text{is-prime } p \wedge \text{normalize } p = p$
shows $\text{msetprod } P = \text{msetprod } Q \longleftrightarrow P = Q$ (**is** $?R \longleftrightarrow ?S$)
<proof>

lemma *prime-dvd-power-iff*:

assumes *is-prime* p
shows $p \text{ dvd } a ^ n \longleftrightarrow p \text{ dvd } a \wedge n > 0$
<proof>

lemma *prime-power-dvd-multD*:

assumes *is-prime* p
assumes $p ^ n \text{ dvd } a * b$ **and** $n > 0$ **and** $\neg p \text{ dvd } a$
shows $p ^ n \text{ dvd } b$
<proof>

lemma *is-prime-inj-power*:

assumes *is-prime* p
shows *inj* ($op ^ p$)
<proof>

definition *factorization* :: $'a \Rightarrow 'a$ *multiset option*

where *factorization* $a = (\text{if } a = 0 \text{ then } \text{None}$
else $\text{Some } (\text{setsum } (\lambda p. \text{replicate-mset } (\text{Max } \{n. p ^ n \text{ dvd } a\}) p)$
 $\{p. p \text{ dvd } a \wedge \text{is-prime } p \wedge \text{normalize } p = p\}))$

lemma *factorization-normalize* [*simp*]:

factorization (*normalize* a) = *factorization* a
<proof>

lemma *factorization-0* [*simp*]:

factorization $0 = \text{None}$
<proof>

lemma *factorization-eq-None-iff* [*simp*]:

factorization $a = \text{None} \longleftrightarrow a = 0$
<proof>

lemma *factorization-eq-Some-iff*:

factorization $a = \text{Some } P \longleftrightarrow$
 $\text{normalize } a = \text{msetprod } P \wedge 0 \notin\# P \wedge (\forall p \in \text{set-mset } P. \text{is-prime } p \wedge \text{normalize } p = p)$
 <proof>

lemma *factorization-cases* [case-names 0 factorization]:

assumes 0: $a = 0 \implies P$
assumes factorization: $\bigwedge A. a \neq 0 \implies \text{factorization } a = \text{Some } A \implies \text{msetprod } A = \text{normalize } a$
 $\implies 0 \notin\# A \implies (\bigwedge p. p \in\# A \implies \text{normalize } p = p) \implies (\bigwedge p. p \in\# A \implies \text{is-prime } p) \implies P$
shows P
 <proof>

lemma *factorizationE*:

assumes $a \neq 0$
obtains A **u where** *factorization* $a = \text{Some } A$ *normalize* $a = \text{msetprod } A$
 $0 \notin\# A \wedge p. p \in\# A \implies \text{is-prime } p \wedge p. p \in\# A \implies \text{normalize } p = p$
 <proof>

lemma *prime-dvd-mset-prod-iff*:

assumes *is-prime* p *normalize* $p = p \wedge p. p \in\# A \implies \text{is-prime } p \wedge p. p \in\# A \implies \text{normalize } p = p$
shows $p \text{ dvd msetprod } A \longleftrightarrow p \in\# A$
 <proof>

end

class *factorial-semiring-gcd* = *factorial-semiring* + *gcd* +

assumes *gcd-unfold*: $\text{gcd } a \ b =$
 (if $a = 0$ then *normalize* b
 else if $b = 0$ then *normalize* a
 else $\text{msetprod } (\text{the } (\text{factorization } a) \ \#\cap \ \text{the } (\text{factorization } b)))$)
and *lcm-unfold*: $\text{lcm } a \ b =$
 (if $a = 0 \vee b = 0$ then 0
 else $\text{msetprod } (\text{the } (\text{factorization } a) \ \#\cup \ \text{the } (\text{factorization } b)))$)

begin

subclass *semiring-gcd*

<proof>

end

instantiation *nat* :: *factorial-semiring*

begin

definition *is-prime-nat* :: *nat* \Rightarrow *bool*

where

is-prime-nat $p \longleftrightarrow (1 < p \wedge (\forall n. n \text{ dvd } p \longrightarrow n = 1 \vee n = p))$

```

lemma is-prime-eq-prime:
  is-prime = prime
  ⟨proof⟩

instance ⟨proof⟩

end

instantiation int :: factorial-semiring
begin

definition is-prime-int :: int ⇒ bool
where
  is-prime-int p ←→ is-prime (nat |p|)

lemma is-prime-int-iff [simp]:
  is-prime (int n) ←→ is-prime n
  ⟨proof⟩

lemma is-prime-nat-abs-iff [simp]:
  is-prime (nat |k|) ←→ is-prime k
  ⟨proof⟩

instance ⟨proof⟩

end

end

```