

The Supplemental Isabelle/HOL Library

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Contents

1	Implementation of Association Lists	20
1.1	<i>update</i> and <i>updates</i>	20
1.2	<i>delete</i>	22
1.3	<i>update-with-aux</i> and <i>delete-aux</i>	23
1.4	<i>restrict</i>	25
1.5	<i>clearjunk</i>	26
1.6	<i>map-ran</i>	27
1.7	<i>merge</i>	28
1.8	<i>compose</i>	29
1.9	<i>map-entry</i>	30
1.10	<i>map-default</i>	31
2	Pointwise instantiation of functions to algebra type classes	31
3	Algebraic operations on sets	35
4	Big O notation	41
4.1	Definitions	42
4.2	Setsum	45
4.3	Misc useful stuff	46
4.4	Less than or equal to	47
5	The Field of Integers mod 2	48
5.1	Bits as a datatype	48
5.2	Type <i>bit</i> forms a field	49
5.3	Numerals at type <i>bit</i>	50
5.4	Conversion from <i>bit</i>	51
6	Axiomatic Declaration of Bounded Natural Functors	51
7	Generalized Corecursor Sugar (corec and friends)	52
7.1	Coinduction	53

8	Boolean Algebras	55
8.1	Complement	56
8.2	Conjunction	56
8.3	Disjunction	57
8.4	De Morgan's Laws	58
8.5	Symmetric Difference	58
9	The Brouwer-Witt tower construction for transfinite iteration	59
10	Order on characters	62
10.1	YXML encoding for <i>term</i>	64
10.2	Test engine and drivers	66
11	Old Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums	66
11.1	The datatype universe	67
11.2	Freeness: Distinctness of Constructors	69
11.3	Set Constructions	72
12	Bijections between natural numbers and other types	76
12.1	Type $nat \times nat$	76
12.2	Type $nat + nat$	77
12.3	Type <i>int</i>	78
12.4	Type <i>nat list</i>	79
12.5	Finite sets of naturals	80
12.5.1	Preliminaries	80
12.5.2	From sets to naturals	80
12.5.3	From naturals to sets	81
12.5.4	Proof of isomorphism	81
13	Encoding (almost) everything into natural numbers	82
13.1	The class of countable types	82
13.2	Conversion functions	82
13.3	Finite types are countable	83
13.4	Automatically proving countability of old-style datatypes	83
13.5	Automatically proving countability of datatypes	84
13.6	More Countable types	84
13.7	The rationals are countably infinite	85
14	Infinite Sets and Related Concepts	85
14.1	Infinitely Many and Almost All	86
14.2	Enumeration of an Infinite Set	89

15 Countable sets	90
15.1 Predicate for countable sets	90
15.2 Enumerate a countable set	91
15.3 Closure properties of countability	93
15.4 Misc lemmas	95
15.5 Uncountable	95
16 Non-denumerability of the Continuum.	96
16.1 Abstract	96
17 Inner Product Spaces and the Gradient Derivative	97
17.1 Real inner product spaces	97
17.2 Class instances	100
17.3 Gradient derivative	101
18 Additive group operations on product types	102
18.1 Operations	102
18.2 Class instances	104
19 Cartesian Products as Vector Spaces	105
19.1 Product is a real vector space	105
19.2 Product is a metric space	105
19.3 Product is a complete metric space	106
19.4 Product is a normed vector space	106
19.4.1 Pair operations are linear	107
19.4.2 Frechet derivatives involving pairs	107
19.5 Product is an inner product space	108
20 Convexity in real vector spaces	108
20.1 Convexity	108
20.2 Explicit expressions for convexity in terms of arbitrary sums .	110
20.3 Functions that are convex on a set	111
20.4 Arithmetic operations on sets preserve convexity	112
20.5 Convexity of real functions	115
21 Pretty syntax for lattice operations	115
22 Formalisation of chain-complete partial orders, continuity and admissibility	116
22.1 Continuity	118
22.1.1 Theorem collection <i>cont-intro</i>	119
22.2 Admissibility	125
22.3 <i>op = as</i> order	129
22.4 <i>ccpo</i> for products	129
22.5 Complete lattices as <i>ccpo</i>	134

22.6	Parallel fixpoint induction	137
23	Countable Complete Lattices	139
23.0.1	Instances of countable complete lattices	145
24	Cardinal Notations	145
25	Type of (at Most) Countable Sets	145
25.1	Cardinal stuff	145
25.2	The type of countable sets	146
25.3	Additional lemmas	153
25.3.1	<i>cempty</i>	153
25.3.2	<i>cinsert</i>	153
25.3.3	<i>cimage</i>	153
25.3.4	bounded quantification	153
25.3.5	<i>cUnion</i>	154
25.4	Setup for Lifting/Transfer	154
25.4.1	Relator and predicator properties	154
25.4.2	Transfer rules for the Transfer package	154
25.5	Registration as BNF	156
26	Debugging facilities for code generated towards Isabelle/ML	157
27	Sequence of Properties on Subsequences	157
28	Handling Disjoint Sets	159
28.1	Set of Disjoint Sets	160
28.1.1	Family of Disjoint Sets	160
28.2	Construct Disjoint Sequences	161
29	Lists with elements distinct as canonical example for datatype invariants	162
29.1	The type of distinct lists	162
29.2	Executable version obeying invariant	163
29.3	Induction principle and case distinction	164
29.4	Functorial structure	165
29.5	Quickcheck generators	165
29.6	BNF instance	165
30	Continuity and iterations	170
30.1	Continuity for complete lattices	171
30.1.1	Least fixed points in countable complete lattices	174

31	Extended natural numbers (i.e. with infinity)	174
31.1	Type definition	174
31.2	Constructors and numbers	175
31.3	Addition	177
31.4	Multiplication	177
31.5	Numerals	178
31.6	Subtraction	179
31.7	Ordering	179
31.8	Cancellation simprocs	183
31.9	Well-ordering	183
31.10	Complete Lattice	183
31.11	Traditional theorem names	184
32	Liminf and Limsup on conditionally complete lattices	184
32.0.1	<i>Liminf</i> and <i>Limsup</i>	186
32.1	More Limits	189
33	Extended real number line	190
33.1	Definition and basic properties	192
33.1.1	Addition	194
33.1.2	Linear order on <i>ereal</i>	196
33.1.3	Multiplication	202
33.1.4	Power	207
33.1.5	Subtraction	207
33.1.6	Division	211
33.2	Complete lattice	215
33.2.1	Topological space	215
33.3	Relation to <i>enat</i>	221
33.4	Limits on <i>ereal</i>	222
33.4.1	Convergent sequences	224
33.4.2	Sums	229
33.4.3	Continuity	235
33.4.4	liminf and limsup	237
33.4.5	Tests for code generator	238
34	Indicator Function	238
34.1	The type of non-negative extended real numbers	241
34.2	Defining the extended non-negative reals	244
34.3	Cancellation simprocs	248
34.4	Order with top	248
34.5	Arithmetic	250
34.6	Coercion from <i>real</i> to <i>ennreal</i>	254
34.7	Coercion from <i>ennreal</i> to <i>real</i>	257
34.8	Coercion from <i>enat</i> to <i>ennreal</i>	258

34.9	Topology on <i>ennreal</i>	259
34.10	Approximation lemmas	265
35	A generic phantom type	266
36	Cardinality of types	267
36.1	Preliminary lemmas	267
36.2	Cardinalities of types	267
36.3	Classes with at least 1 and 2	268
36.4	A type class for deciding finiteness of types	269
36.5	A type class for computing the cardinality of types	269
36.6	Instantiations for <i>card-UNIV</i>	269
36.7	Code setup for sets	273
37	Almost everywhere constant functions	275
37.1	The <i>map-default</i> operation	275
37.2	The <i>finfun</i> type	276
37.3	Kernel functions for type $'a \Rightarrow f 'b$	278
37.4	Code generator setup	278
37.5	Setup for quickcheck	279
37.6	<i>finfun-update</i> as instance of <i>comp-fun-commute</i>	279
37.7	Default value for <i>FinFuns</i>	279
37.8	Recursion combinator and well-formedness conditions	280
37.9	Weak induction rule and case analysis for <i>FinFuns</i>	281
37.10	Function application	282
37.11	Function composition	283
37.12	Universal quantification	284
37.13	A diagonal operator for <i>FinFuns</i>	285
37.14	Currying for <i>FinFuns</i>	287
37.15	Executable equality for <i>FinFuns</i>	288
37.16	An operator that explicitly removes all redundant updates in the generated representations	288
37.17	The domain of a <i>FinFun</i> as a <i>FinFun</i>	288
37.18	The domain of a <i>FinFun</i> as a sorted list	289
38	Various algebraic structures combined with a lattice	291
38.1	Positive Part, Negative Part, Absolute Value	292
39	Floating-Point Numbers	296
39.1	Real operations preserving the representation as floating point number	297
39.2	Arithmetic operations on floating point numbers	298
39.3	Quickcheck	300
39.4	Represent floats as unique mantissa and exponent	301

39.5	Compute arithmetic operations	303
39.6	Lemmas for types <i>real</i> , <i>nat</i> , <i>int</i>	304
39.7	Rounding Real Numbers	304
39.8	Rounding Floats	305
39.9	Compute bitlen of integers	307
39.10	Truncating Real Numbers	308
39.11	Truncating Floats	309
39.12	Approximation of positive rationals	310
39.13	Division	312
39.14	Approximate Power	313
39.15	Approximate Addition	314
39.16	Lemmas needed by Approximate	317
40	Less common functions on lists	321
41	Polynomials as type over a ring structure	326
41.1	Auxiliary: operations for lists (later) representing coefficients	326
41.2	Definition of type <i>poly</i>	327
41.3	Degree of a polynomial	327
41.4	The zero polynomial	327
41.5	List-style constructor for polynomials	328
41.6	Quickcheck generator for polynomials	329
41.7	List-style syntax for polynomials	329
41.8	Representation of polynomials by lists of coefficients	329
41.9	Fold combinator for polynomials	332
41.10	Canonical morphism on polynomials – evaluation	332
41.11	Monomials	333
41.12	Addition and subtraction	334
41.13	Multiplication by a constant, polynomial multiplication and the unit polynomial	337
41.14	Conversions from natural numbers	341
41.15	Lemmas about divisibility	341
41.16	Polynomials form an integral domain	342
41.17	Polynomials form an ordered integral domain	343
41.18	Synthetic division and polynomial roots	344
41.19	Long division of polynomials	345
41.20	Order of polynomial roots	351
41.21	Additional induction rules on polynomials	351
41.22	Composition of polynomials	352
41.23	Leading coefficient	354
41.24	Derivatives of univariate polynomials	355
41.25	Algebraic numbers	358
41.26	Algebraic numbers	358

42 Abstract euclidean algorithm	361
42.1 Typical instances	367
43 A formalization of formal power series	369
43.1 The type of formal power series	369
43.2 Formal power series form a commutative ring with unity, if the range of sequences they represent is a commutative ring with unity	371
43.3 Selection of the nth power of the implicit variable in the infi- nite sum	372
43.4 Injection of the basic ring elements and multiplication by scalars	372
43.5 Formal power series form an integral domain	373
43.6 The eXtractor series X	374
43.7 Subdegrees	375
43.8 Shifting and slicing	377
43.9 Formal Power series form a metric space	380
43.10 Inverses of formal power series	381
43.11 Formal power series form a Euclidean ring	384
43.12 Formal Derivatives, and the MacLaurin theorem around 0 . .	386
43.13 Powers	388
43.14 Integration	390
43.15 Composition of FPSs	390
43.16 Rules from Herbert Wilf's Generatingfunctionology	391
43.16.1 Rule 1	391
43.16.2 Rule 2	391
43.16.3 Rule 3	391
43.16.4 Rule 5 — summation and "division" by $(1 - X)$	392
43.16.5 Rule 4 in its more general form: generalizes Rule 3 for an arbitrary finite product of FPS, also the relvant instance of powers of a FPS	392
43.17 Radicals	393
43.18 Derivative of composition	396
43.19 Finite FPS (i.e. polynomials) and X	396
43.20 Compositional inverses	396
43.21 Elementary series	400
43.21.1 Exponential series	400
43.21.2 Logarithmic series	401
43.21.3 Binomial series	402
43.21.4 Formal trigonometric functions	403
43.22 Hypergeometric series	405

44 A formalization of the fraction field of any integral domain; generalization of theory Rat from int to any integral domain	408
44.1 General fractions construction	408
44.1.1 Construction of the type of fractions	408
44.1.2 Representation and basic operations	408
44.1.3 The field of rational numbers	410
44.1.4 The ordered field of fractions over an ordered idom . .	411
45 Type of finite sets defined as a subtype of sets	413
45.1 Definition of the type	413
45.2 Basic operations and type class instantiations	413
45.3 Other operations	416
45.4 Transferred lemmas from Set.thy	417
45.5 Additional lemmas	422
45.5.1 <i>fsingleton</i>	422
45.5.2 <i>fempty</i>	422
45.5.3 <i>fset</i>	422
45.5.4 <i>filter-fset</i>	422
45.5.5 <i>finsert</i>	423
45.5.6 <i>fimage</i>	423
45.5.7 bounded quantification	423
45.5.8 <i>fcard</i>	424
45.5.9 <i>ffold</i>	425
45.6 Choice in fsets	426
45.7 Induction and Cases rules for fsets	427
45.8 Setup for Lifting/Transfer	427
45.8.1 Relator and predicator properties	427
45.8.2 Transfer rules for the Transfer package	428
45.9 BNF setup	430
45.10 Size setup	430
45.11 Advanced relator customization	431
45.12 Quickcheck setup	431
46 Pi and Function Sets	433
46.1 Basic Properties of <i>Pi</i>	433
46.2 Composition With a Restricted Domain: <i>compose</i>	435
46.3 Bounded Abstraction: <i>restrict</i>	435
46.4 Bijections Between Sets	436
46.5 Extensionality	437
46.6 Cardinality	438
46.7 Extensional Function Spaces	438
46.7.1 Injective Extensional Function Spaces	441
46.7.2 Cardinality	441

47 Pointwise instantiation of functions to division	441
47.1 Syntactic with division	441
48 Preorders with explicit equivalence relation	442
49 Common discrete functions	443
49.1 Discrete logarithm	444
49.2 Discrete square root	444
50 Comparing growth of functions on natural numbers by a preorder relation	445
50.1 Motivation	446
50.2 Model	446
50.3 The \lesssim relation	446
50.4 The \approx relation, the equivalence relation induced by \lesssim	447
50.5 The \prec relation, the strict part of \lesssim	448
50.6 \lesssim is a preorder	449
50.7 Simple examples	449
51 Fundamental Theorem of Algebra	450
51.1 More lemmas about module of complex numbers	450
51.2 Basic lemmas about polynomials	450
51.3 Fundamental theorem of algebra	451
51.4 Nullstellensatz, degrees and divisibility of polynomials	453
52 Lexical order on functions	455
53 Big sum and product over function bodies	456
53.1 Abstract product	456
53.2 Concrete sum	458
53.3 Concrete product	459
54 Immutable Arrays with Code Generation	460
54.1 Code Generation	461
54.2 Values extended by a bottom element	463
54.3 Values extended by a top element	465
54.4 Values extended by a top and a bottom element	467
55 Infinite Streams	470
55.1 prepend list to stream	470
55.2 set of streams with elements in some fixed set	471
55.3 nth, take, drop for streams	472
55.4 unary predicates lifted to streams	475
55.5 recurring stream out of a list	475
55.6 iterated application of a function	476

55.7	stream repeating a single element	476
55.8	stream of natural numbers	477
55.9	flatten a stream of lists	477
55.10	merge a stream of streams	478
55.11	product of two streams	478
55.12	interleave two streams	478
55.13	zip	478
55.14	zip via function	479
56	List prefixes, suffixes, and homeomorphic embedding	480
56.1	Prefix order on lists	480
56.2	Basic properties of prefixes	481
56.3	Parallel lists	482
56.4	Suffix order on lists	483
56.5	Homeomorphic embedding on lists	485
56.6	Sublists (special case of homeomorphic embedding)	487
56.7	Appending elements	488
56.8	Relation to standard list operations	488
57	Linear Temporal Logic on Streams	488
58	Preliminaries	488
59	Linear temporal logic	489
60	Lists as vectors	499
60.1	+ and -	499
60.2	Inner product	500
61	Definitions of Least Upper Bounds and Greatest Lower Bounds	501
61.1	Rules for the Relations $*\leq$ and $\leq*$	501
61.2	Rules about the Operators <i>leastP</i> , <i>ub</i> and <i>lub</i>	502
61.3	Rules about the Operators <i>greatestP</i> , <i>isLb</i> and <i>isGlb</i>	503
62	An abstract view on maps for code generation.	506
62.1	Parametricity transfer rules	506
62.2	Type definition and primitive operations	507
62.3	Functorial structure	508
62.4	Derived operations	508
62.5	Properties	510
62.6	Code generator setup	514
63	Adhoc overloading of constants based on their types	514
64	Monad notation for arbitrary types	514

65 (Finite) multisets	515
65.1 The type of multisets	515
65.2 Representing multisets	516
65.3 Basic operations	517
65.3.1 Conversion to set and membership	517
65.3.2 Union	519
65.3.3 Difference	519
65.3.4 Equality of multisets	521
65.3.5 Pointwise ordering induced by count	522
65.3.6 Intersection	525
65.3.7 Bounded union	527
65.3.8 Subset is an order	528
65.3.9 Filter (with comprehension syntax)	528
65.3.10 Size	529
65.4 Induction and case splits	531
65.4.1 Strong induction and subset induction for multisets	531
65.5 The fold combinator	532
65.6 Image	532
65.7 Further conversions	534
65.8 Replicate operation	537
65.9 Big operators	538
65.10 Alternative representations	541
65.10.1 Lists	541
65.11 The multiset order	543
65.11.1 Well-foundedness	543
65.11.2 Closure-free presentation	544
65.11.3 Partial-order properties	544
65.11.4 Monotonicity of multiset union	545
65.11.5 Termination proofs with multiset orders	545
65.12 Legacy theorem bindings	546
65.13 Naive implementation using lists	547
65.14 BNF setup	550
65.15 Size setup	552
66 More Theorems about the Multiset Order	553
66.0.1 Alternative characterizations	553
67 Numeral Syntax for Types	557
67.1 Numeral Types	557
67.2 Locales for modular arithmetic subtypes	557
67.3 Ring class instances	559
67.4 Order instances	561
67.5 Code setup and type classes for code generation	561
67.6 Syntax	564

67.7 Examples	564
68 ω-words	564
68.1 Type declaration and elementary operations	565
68.2 Subsequence, Prefix, and Suffix	565
68.3 Prepending	568
68.4 The limit set of an ω -word	568
68.5 Index sequences and piecewise definitions	571
69 Canonical order on option type	573
70 Futures and parallel lists for code generated towards Isabelle/ML	577
70.1 Futures	577
70.2 Parallel lists	577
71 Permutations	578
71.1 Some examples of rule induction on permutations	578
71.2 Ways of making new permutations	579
71.3 Further results	579
71.4 Removing elements	579
72 Permutations, both general and specifically on finite sets.	581
72.1 Transpositions	581
72.2 Basic consequences of the definition	581
72.3 Group properties	582
72.4 The number of permutations on a finite set	583
72.5 Permutations of index set for iterated operations	583
72.6 Various combinations of transpositions with 2, 1 and 0 common elements	583
72.7 Permutations as transposition sequences	584
72.8 Some closure properties of the set of permutations, with lengths	584
72.9 The identity map only has even transposition sequences . . .	585
72.10 Therefore we have a welldefined notion of parity	585
72.11 And it has the expected composition properties	586
72.12 A more abstract characterization of permutations	586
72.13 Relation to "permutes"	587
72.14 Hence a sort of induction principle composing by swaps . . .	587
72.15 Sign of a permutation as a real number	587
72.16 More lemmas about permutations	588
72.17 Sum over a set of permutations (could generalize to iteration)	589
73 Roots of real quadratics	589
74 Pretty syntax for Quotient operations	591

75 Quotient infrastructure for the set type	591
75.1 Contravariant set map (vimage) and set relator, rules for the Quotient package	591
76 Quotient infrastructure for the product type	593
76.1 Rules for the Quotient package	593
77 Quotient infrastructure for the option type	595
77.1 Rules for the Quotient package	595
78 Quotient infrastructure for the list type	596
78.1 Rules for the Quotient package	596
79 Quotient infrastructure for the sum type	600
79.1 Rules for the Quotient package	600
80 Quotient types	601
80.1 Equivalence relations and quotient types	601
80.2 Equality on quotients	602
80.3 Picking representing elements	602
81 Ramsey’s Theorem	603
81.1 Finite Ramsey theorem(s)	603
81.2 Preliminaries	604
81.2.1 “Axiom” of Dependent Choice	604
81.2.2 Partitions of a Set	604
81.3 Ramsey’s Theorem: Infinitary Version	604
81.4 Disjunctive Well-Foundedness	605
82 Generic reflection and reification	606
83 Assigning lengths to types by typeclasses	606
84 Saturated arithmetic	607
84.1 The type of saturated naturals	607
85 Combinator syntax for generic, open state monads (single- threaded monads)	611
85.1 Motivation	611
85.2 State transformations and combinators	611
85.3 Monad laws	612
85.4 Do-syntax	612
86 A decision procedure for universal multivariate real arith- metic with addition, multiplication and ordering using semidef- inite programming	613

87 A table-based implementation of the reflexive transitive closure	614
88 Binary Tree	615
88.1 The Height	616
88.2 The set of subtrees	616
88.3 List of entries	617
88.4 Binary Search Tree predicate	617
88.5 The heap predicate	618
88.6 Function <i>mirror</i>	618
89 Multiset of Elements of Binary Tree	618
90 A general “while” combinator	619
90.1 Partial version	619
90.2 Total version	620
91 Lexicographic order on lists	623
92 Sublist Ordering	625
92.1 Definitions and basic lemmas	625
93 Lexicographic order on product types	626
94 Pointwise order on product types	628
94.1 Pointwise ordering	628
94.2 Binary infimum and supremum	629
94.3 Top and bottom elements	630
94.4 Complete lattice operations	631
94.5 Complete distributive lattices	632
94.6 Finite Distributive Lattices	634
94.7 Linear Orders	635
94.8 Finite Linear Orders	636
95 GCD and LCM on polynomials over a field	636
95.1 GCD of polynomials	636
96 Implementation of mappings with Association Lists	638
97 Avoidance of pattern matching on natural numbers	640
97.1 Case analysis	640
97.2 Preprocessors	640

98	Implementation of natural numbers as binary numerals	640
98.1	Representation	641
98.2	Basic arithmetic	641
98.3	Conversions	643
99	Code generation of pretty characters (and strings)	643
100	Code generation of prolog programs	645
101	Setup for Numerals	646
102	Implementation of integer numbers by target-language integers	646
103	Implementation of natural numbers by target-language integers	653
103.1	Implementation for <i>nat</i>	653
104	Implementation of natural and integer numbers by target-language integers	656
105	Abstract type of association lists with unique keys	656
105.1	Preliminaries	656
105.2	Type (<i>'key, 'value</i>) <i>alist</i>	657
105.3	Primitive operations	657
105.4	Abstract operation properties	658
105.5	Further operations	658
105.5.1	Equality	658
105.5.2	Size	658
105.6	Quickcheck generators	658
106	alist is a BNF	660
107	Multisets partially implemented by association lists	660
108	Implementation of Red-Black Trees	666
108.1	Datatype of RB trees	666
108.2	Tree properties	666
108.2.1	Content of a tree	666
108.2.2	Search tree properties	667
108.2.3	Tree lookup	668
108.2.4	Red-black properties	669
108.3	Insertion	670
108.4	Deletion	674
108.5	Modifying existing entries	679

108.6	Mapping all entries	680
108.7	Folding over entries	681
108.8	Bulkloading a tree	681
108.9	Building a RBT from a sorted list	682
108.10	Union and intersection of sorted associative lists	688
108.1	Code generator setup	692
109	Abstract type of RBT trees	693
109.1	Type definition	694
109.2	Primitive operations	694
109.3	Derived operations	695
109.4	Abstract lookup properties	695
109.5	Quickcheck generators	697
109.6	Hide implementation details	697
110	Implementation of mappings with Red-Black Trees	698
110.1	Data type and invariant	698
110.2	Operations	698
110.3	Invariant preservation	699
110.4	Map Semantics	699
111	Implementation of sets using RBT trees	700
112	Definition of code datatype constructors	700
113	Deletion of already existing code equations	700
114	Lemmas	702
114.1	Auxiliary lemmas	702
114.2	fold and filter	703
114.3	foldi and Ball	703
114.4	foldi and Bex	703
114.5	folding over non empty trees and selecting the minimal and maximal element	704
115	Code equations	707
116	Refute	712
117	TFL: recursive function definitions	714
117.1	Lemmas for TFL	714
117.2	Rule setup	715
118	Syntactic classes for bitwise operations	715
119	Bit operations in \mathcal{Z}_ϵ	716

120	Useful Numerical Lemmas	717
121	Integers as implicit bit strings	719
121.1	Constructors and destructors for binary integers	719
121.2	Truncating binary integers	723
121.3	Simplifications for (s)bintrunc	724
121.4	Splitting and concatenation	732
122	Bitwise Operations on Binary Integers	732
122.1	Logical operations	732
122.1.1	Basic simplification rules	733
122.1.2	Binary destructors	734
122.1.3	Derived properties	734
122.1.4	Simplification with numerals	736
122.1.5	Interactions with arithmetic	739
122.1.6	Truncating results of bit-wise operations	740
122.2	Setting and clearing bits	740
122.3	Splitting and concatenation	742
122.4	Miscellaneous lemmas	744
123	Bool lists and integers	744
123.1	Operations on lists of booleans	745
123.2	Arithmetic in terms of bool lists	746
123.3	Repeated splitting or concatenation	757
124	Type Definition Theorems	761
125	More lemmas about normal type definitions	761
125.1	Extended form of type definition predicate	762
126	Miscellaneous lemmas, of at least doubtful value	764
127	A type of finite bit strings	771
127.1	Type definition	771
127.2	Type conversions and casting	772
127.3	Correspondence relation for theorem transfer	774
127.4	Basic code generation setup	775
127.5	Type-definition locale instantiations	775
127.6	Arithmetic operations	776
127.7	Ordering	778
127.8	Bit-wise operations	778
127.9	Shift operations	780
127.10	Rotation	780
127.11	Split and cat operations	781
127.12	Theorems about typedefs	781

127.1	Testing bits	786
127.1	Word Arithmetic	792
127.1	Transferring goals from words to ints	794
127.1	Order on fixed-length words	795
127.1	Conditions for the addition (etc) of two words to overflow	797
127.1	Definition of <i>wint-arith</i>	798
127.1	More on overflows and monotonicity	798
127.2	Arithmetic type class instantiations	802
127.2	Word and nat	802
127.2	Definition of <i>unat-arith</i> tactic	805
127.2	Cardinality, finiteness of set of words	807
127.2	Bitwise Operations on Words	808
127.2	Shifting, Rotating, and Splitting Words	817
127.25	Shift functions in terms of lists of bools	818
127.25	Mask	822
127.25	Revcast	824
127.25	Slices	825
127.26	Split and cat	827
127.26	Split and slice	829
127.2	Rotation	831
127.27	Rotation of list to right	832
127.27	map, map2, commuting with rotate(r)	833
127.27	Word rotation commutes with bit-wise operations	835
127.2	Maximum machine word	836
127.2	Recursion combinator for words	841

128 Old Version of Bindings to Satisfiability Modulo Theories

	(SMT) solvers	842
128.1	Triggers for quantifier instantiation	842
128.2	Quantifier weights	843
128.3	Higher-order encoding	843
128.4	First-order logic	843
128.5	Integer division and modulo for Z3	844
128.6	Setup	844
128.7	Configuration	844
128.8	General configuration options	844
128.9	Certificates	845
128.1	Tracing	845
128.1	Schematic rules for Z3 proof reconstruction	846

1 Implementation of Association Lists

```
theory AList
imports Main
begin
```

```
context
begin
```

The operations preserve distinctness of keys and function *clearjunk* distributes over them. Since *clearjunk* enforces distinctness of keys it can be used to establish the invariant, e.g. for inductive proofs.

1.1 update and updates

```
qualified primrec update :: 'key ⇒ 'val ⇒ ('key × 'val) list ⇒ ('key × 'val) list
where
```

```
  update k v [] = [(k, v)]
| update k v (p # ps) = (if fst p = k then (k, v) # ps else p # update k v ps)
```

```
lemma update-conv': map-of (update k v al) = (map-of al)(k↦v)
⟨proof⟩
```

```
corollary update-conv: map-of (update k v al) k' = ((map-of al)(k↦v)) k'
⟨proof⟩
```

```
lemma dom-update: fst ` set (update k v al) = {k} ∪ fst ` set al
⟨proof⟩
```

```
lemma update-keys:
  map fst (update k v al) =
    (if k ∈ set (map fst al) then map fst al else map fst al @ [k])
⟨proof⟩
```

```
lemma distinct-update:
  assumes distinct (map fst al)
  shows distinct (map fst (update k v al))
⟨proof⟩
```

```
lemma update-filter:
  a ≠ k ⇒ update k v [q←ps. fst q ≠ a] = [q←update k v ps. fst q ≠ a]
⟨proof⟩
```

```
lemma update-triv: map-of al k = Some v ⇒ update k v al = al
⟨proof⟩
```

```
lemma update-nonempty [simp]: update k v al ≠ []
⟨proof⟩
```

lemma *update-eqD*: $update\ k\ v\ al = update\ k\ v'\ al' \implies v = v'$
 ⟨proof⟩

lemma *update-last* [simp]: $update\ k\ v\ (update\ k\ v'\ al) = update\ k\ v\ al$
 ⟨proof⟩

Note that the lists are not necessarily the same: $update\ k\ v\ (update\ k'\ v'\ []) = [(k', v'), (k, v)]$ and $update\ k'\ v'\ (update\ k\ v\ []) = [(k, v), (k', v')]$.

lemma *update-swap*:

$k \neq k' \implies$

$map-of\ (update\ k\ v\ (update\ k'\ v'\ al)) = map-of\ (update\ k'\ v'\ (update\ k\ v\ al))$
 ⟨proof⟩

lemma *update-Some-unfold*:

$map-of\ (update\ k\ v\ al)\ x = Some\ y \iff$

$x = k \wedge v = y \vee x \neq k \wedge map-of\ al\ x = Some\ y$

⟨proof⟩

lemma *image-update* [simp]:

$x \notin A \implies map-of\ (update\ x\ y\ al)\ `A = map-of\ al\ `A$

⟨proof⟩ **definition**

$updates :: 'key\ list \Rightarrow 'val\ list \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$

where $updates\ ks\ vs = fold\ (case-prod\ update)\ (zip\ ks\ vs)$

lemma *updates-simps* [simp]:

$updates\ []\ vs\ ps = ps$

$updates\ ks\ []\ ps = ps$

$updates\ (k\#\!ks)\ (v\#\!vs)\ ps = updates\ ks\ vs\ (update\ k\ v\ ps)$

⟨proof⟩

lemma *updates-key-simp* [simp]:

$updates\ (k\ \#\!ks)\ vs\ ps =$

$(case\ vs\ of\ [] \Rightarrow ps \mid v\ \#\!vs \Rightarrow updates\ ks\ vs\ (update\ k\ v\ ps))$

⟨proof⟩

lemma *updates-conv'*: $map-of\ (updates\ ks\ vs\ al) = (map-of\ al)(ks[\mapsto]vs)$

⟨proof⟩

lemma *updates-conv*: $map-of\ (updates\ ks\ vs\ al)\ k = ((map-of\ al)(ks[\mapsto]vs))\ k$

⟨proof⟩

lemma *distinct-updates*:

assumes $distinct\ (map\ fst\ al)$

shows $distinct\ (map\ fst\ (updates\ ks\ vs\ al))$

⟨proof⟩

lemma *updates-append1* [simp]: $size\ ks < size\ vs \implies$

$updates\ (ks@[k])\ vs\ al = update\ k\ (vs!\!size\ ks)\ (updates\ ks\ vs\ al)$

⟨proof⟩

lemma *updates-list-update-drop* [simp]:
 $size\ ks \leq i \implies i < size\ vs \implies$
 $updates\ ks\ (vs[i:=v])\ al = updates\ ks\ vs\ al$
 ⟨proof⟩

lemma *update-updates-conv-if*:
 $map-of\ (updates\ xs\ ys\ (update\ x\ y\ al)) =$
 $map-of$
 $(if\ x \in set\ (take\ (length\ ys)\ xs)$
 $then\ updates\ xs\ ys\ al$
 $else\ (update\ x\ y\ (updates\ xs\ ys\ al)))$
 ⟨proof⟩

lemma *updates-twist* [simp]:
 $k \notin set\ ks \implies$
 $map-of\ (updates\ ks\ vs\ (update\ k\ v\ al)) = map-of\ (update\ k\ v\ (updates\ ks\ vs\ al))$
 ⟨proof⟩

lemma *updates-apply-notin* [simp]:
 $k \notin set\ ks \implies map-of\ (updates\ ks\ vs\ al)\ k = map-of\ al\ k$
 ⟨proof⟩

lemma *updates-append-drop* [simp]:
 $size\ xs = size\ ys \implies updates\ (xs\ @\ zs)\ ys\ al = updates\ xs\ ys\ al$
 ⟨proof⟩

lemma *updates-append2-drop* [simp]:
 $size\ xs = size\ ys \implies updates\ xs\ (ys\ @\ zs)\ al = updates\ xs\ ys\ al$
 ⟨proof⟩

1.2 delete

qualified definition *delete* :: 'key \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list
where *delete-eq*: $delete\ k = filter\ (\lambda(k',\ -). k \neq k')$

lemma *delete-simps* [simp]:
 $delete\ k\ [] = []$
 $delete\ k\ (p\ \# ps) = (if\ fst\ p = k\ then\ delete\ k\ ps\ else\ p\ \# delete\ k\ ps)$
 ⟨proof⟩

lemma *delete-conv'*: $map-of\ (delete\ k\ al) = (map-of\ al)(k := None)$
 ⟨proof⟩

corollary *delete-conv*: $map-of\ (delete\ k\ al)\ k' = ((map-of\ al)(k := None))\ k'$
 ⟨proof⟩

lemma *delete-keys*: $map\ fst\ (delete\ k\ al) = removeAll\ k\ (map\ fst\ al)$
 ⟨proof⟩

lemma *distinct-delete*:

assumes *distinct* (*map fst al*)
shows *distinct* (*map fst (delete k al)*)
 ⟨*proof*⟩

lemma *delete-id* [*simp*]: $k \notin \text{fst } \text{'set } al \implies \text{delete } k \text{ } al = al$
 ⟨*proof*⟩

lemma *delete-idem*: $\text{delete } k \text{ } (\text{delete } k \text{ } al) = \text{delete } k \text{ } al$
 ⟨*proof*⟩

lemma *map-of-delete* [*simp*]: $k' \neq k \implies \text{map-of } (\text{delete } k \text{ } al) \text{ } k' = \text{map-of } al \text{ } k'$
 ⟨*proof*⟩

lemma *delete-notin-dom*: $k \notin \text{fst } \text{'set } (\text{delete } k \text{ } al)$
 ⟨*proof*⟩

lemma *dom-delete-subset*: $\text{fst } \text{'set } (\text{delete } k \text{ } al) \subseteq \text{fst } \text{'set } al$
 ⟨*proof*⟩

lemma *delete-update-same*: $\text{delete } k \text{ } (\text{update } k \text{ } v \text{ } al) = \text{delete } k \text{ } al$
 ⟨*proof*⟩

lemma *delete-update*: $k \neq l \implies \text{delete } l \text{ } (\text{update } k \text{ } v \text{ } al) = \text{update } k \text{ } v \text{ } (\text{delete } l \text{ } al)$
 ⟨*proof*⟩

lemma *delete-twist*: $\text{delete } x \text{ } (\text{delete } y \text{ } al) = \text{delete } y \text{ } (\text{delete } x \text{ } al)$
 ⟨*proof*⟩

lemma *length-delete-le*: $\text{length } (\text{delete } k \text{ } al) \leq \text{length } al$
 ⟨*proof*⟩

1.3 *update-with-aux* and *delete-aux*

qualified primrec *update-with-aux* :: $\text{'val} \Rightarrow \text{'key} \Rightarrow (\text{'val} \Rightarrow \text{'val}) \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$

where

update-with-aux $v \text{ } k \text{ } f \text{ } [] = [(k, f v)]$
 | *update-with-aux* $v \text{ } k \text{ } f \text{ } (p \# ps) = (\text{if } (\text{fst } p = k) \text{ then } (k, f (\text{snd } p)) \# ps \text{ else } p \# \text{update-with-aux } v \text{ } k \text{ } f \text{ } ps)$

The above *delete* traverses all the list even if it has found the key. This one does not have to keep going because it assumes the invariant that keys are distinct.

qualified fun *delete-aux* :: $\text{'key} \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$

where

delete-aux $k \text{ } [] = []$
 | *delete-aux* $k \text{ } ((k', v) \# xs) = (\text{if } k = k' \text{ then } xs \text{ else } (k', v) \# \text{delete-aux } k \text{ } xs)$

lemma *map-of-update-with-aux'*:

$map\text{-of } (update\text{-with-aux } v \ k \ f \ ps) \ k' = ((map\text{-of } ps)(k \mapsto (case \ map\text{-of } ps \ k \ of \ None \Rightarrow f \ v \ | \ Some \ v \Rightarrow f \ v))) \ k'$
 $\langle proof \rangle$

lemma *map-of-update-with-aux*:

$map\text{-of } (update\text{-with-aux } v \ k \ f \ ps) = (map\text{-of } ps)(k \mapsto (case \ map\text{-of } ps \ k \ of \ None \Rightarrow f \ v \ | \ Some \ v \Rightarrow f \ v))$
 $\langle proof \rangle$

lemma *dom-update-with-aux*: $fst \ ' \ set \ (update\text{-with-aux } v \ k \ f \ ps) = \{k\} \cup fst \ ' \ set \ ps$

$\langle proof \rangle$

lemma *distinct-update-with-aux [simp]*:

$distinct \ (map \ fst \ (update\text{-with-aux } v \ k \ f \ ps)) = distinct \ (map \ fst \ ps)$
 $\langle proof \rangle$

lemma *set-update-with-aux*:

$distinct \ (map \ fst \ xs)$
 $\implies set \ (update\text{-with-aux } v \ k \ f \ xs) = (set \ xs - \{k\} \times UNIV \cup \{(k, f \ (case \ map\text{-of } xs \ k \ of \ None \Rightarrow v \ | \ Some \ v \Rightarrow v))\})$
 $\langle proof \rangle$

lemma *set-delete-aux*: $distinct \ (map \ fst \ xs) \implies set \ (delete\text{-aux } k \ xs) = set \ xs - \{k\} \times UNIV$

$\langle proof \rangle$

lemma *dom-delete-aux*: $distinct \ (map \ fst \ ps) \implies fst \ ' \ set \ (delete\text{-aux } k \ ps) = fst \ ' \ set \ ps - \{k\}$

$\langle proof \rangle$

lemma *distinct-delete-aux [simp]*:

$distinct \ (map \ fst \ ps) \implies distinct \ (map \ fst \ (delete\text{-aux } k \ ps))$
 $\langle proof \rangle$

lemma *map-of-delete-aux'*:

$distinct \ (map \ fst \ xs) \implies map\text{-of } (delete\text{-aux } k \ xs) = (map\text{-of } xs)(k := None)$
 $\langle proof \rangle$

lemma *map-of-delete-aux*:

$distinct \ (map \ fst \ xs) \implies map\text{-of } (delete\text{-aux } k \ xs) \ k' = ((map\text{-of } xs)(k := None)) \ k'$
 $\langle proof \rangle$

lemma *delete-aux-eq-Nil-conv*: $delete\text{-aux } k \ ts = [] \iff ts = [] \vee (\exists v. ts = [(k, v)])$

$\langle proof \rangle$

1.4 restrict

qualified definition $restrict :: 'key\ set \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$
where $restrict\text{-}eq: restrict\ A = filter\ (\lambda(k, v). k \in A)$

lemma $restr\text{-}simps$ [simp]:

$restrict\ A\ [] = []$

$restrict\ A\ (p\#\!ps) = (if\ fst\ p \in A\ then\ p\ \#\! restrict\ A\ ps\ else\ restrict\ A\ ps)$

$\langle proof \rangle$

lemma $restr\text{-}conv'$: $map\text{-}of\ (restrict\ A\ al) = ((map\text{-}of\ al)|^{\!A})$

$\langle proof \rangle$

corollary $restr\text{-}conv$: $map\text{-}of\ (restrict\ A\ al)\ k = ((map\text{-}of\ al)|^{\!A})\ k$

$\langle proof \rangle$

lemma $distinct\text{-}restr$:

$distinct\ (map\ fst\ al) \Longrightarrow distinct\ (map\ fst\ (restrict\ A\ al))$

$\langle proof \rangle$

lemma $restr\text{-}empty$ [simp]:

$restrict\ \{\}\ al = []$

$restrict\ A\ [] = []$

$\langle proof \rangle$

lemma $restr\text{-}in$ [simp]: $x \in A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = map\text{-}of\ al\ x$

$\langle proof \rangle$

lemma $restr\text{-}out$ [simp]: $x \notin A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = None$

$\langle proof \rangle$

lemma $dom\text{-}restr$ [simp]: $fst\ ^{\!A}\ set\ (restrict\ A\ al) = fst\ ^{\!A}\ set\ al \cap A$

$\langle proof \rangle$

lemma $restr\text{-}upd\text{-}same$ [simp]: $restrict\ (-\{x\})\ (update\ x\ y\ al) = restrict\ (-\{x\})\ al$

$\langle proof \rangle$

lemma $restr\text{-}restr$ [simp]: $restrict\ A\ (restrict\ B\ al) = restrict\ (A \cap B)\ al$

$\langle proof \rangle$

lemma $restr\text{-}update$ [simp]:

$map\text{-}of\ (restrict\ D\ (update\ x\ y\ al)) =$

$map\text{-}of\ ((if\ x \in D\ then\ (update\ x\ y\ (restrict\ (D - \{x\})\ al))\ else\ restrict\ D\ al))$

$\langle proof \rangle$

lemma $restr\text{-}delete$ [simp]:

$delete\ x\ (restrict\ D\ al) = (if\ x \in D\ then\ restrict\ (D - \{x\})\ al\ else\ restrict\ D\ al)$

$\langle proof \rangle$

lemma *update-restr*:

$\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$
 ⟨proof⟩

lemma *update-restr-conv* [simp]:

$x \in D \implies$
 $\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$
 ⟨proof⟩

lemma *restr-updates* [simp]:

$\text{length } xs = \text{length } ys \implies \text{set } xs \subseteq D \implies$
 $\text{map-of } (\text{restrict } D \ (\text{updates } xs \ ys \ al)) =$
 $\text{map-of } (\text{updates } xs \ ys \ (\text{restrict } (D - \text{set } xs) \ al))$
 ⟨proof⟩

lemma *restr-delete-twist*: $(\text{restrict } A \ (\text{delete } a \ ps)) = \text{delete } a \ (\text{restrict } A \ ps)$

⟨proof⟩

1.5 clearjunk

qualified function *clearjunk* :: ('key × 'val) list ⇒ ('key × 'val) list

where

$\text{clearjunk } [] = []$
 $|\ \text{clearjunk } (p \# ps) = p \# \text{clearjunk } (\text{delete } (\text{fst } p) \ ps)$
 ⟨proof⟩

termination

⟨proof⟩

lemma *map-of-clearjunk*: $\text{map-of } (\text{clearjunk } al) = \text{map-of } al$

⟨proof⟩

lemma *clearjunk-keys-set*: $\text{set } (\text{map } \text{fst } (\text{clearjunk } al)) = \text{set } (\text{map } \text{fst } al)$

⟨proof⟩

lemma *dom-clearjunk*: $\text{fst } \text{'set } (\text{clearjunk } al) = \text{fst } \text{'set } al$

⟨proof⟩

lemma *distinct-clearjunk* [simp]: $\text{distinct } (\text{map } \text{fst } (\text{clearjunk } al))$

⟨proof⟩

lemma *ran-clearjunk*: $\text{ran } (\text{map-of } (\text{clearjunk } al)) = \text{ran } (\text{map-of } al)$

⟨proof⟩

lemma *ran-map-of*: $\text{ran } (\text{map-of } al) = \text{snd } \text{'set } (\text{clearjunk } al)$

⟨proof⟩

lemma *clearjunk-update*: $\text{clearjunk } (\text{update } k \ v \ al) = \text{update } k \ v \ (\text{clearjunk } al)$

<proof>

lemma *clearjunk-updates*: $\text{clearjunk } (\text{updates } ks \text{ vs } al) = \text{updates } ks \text{ vs } (\text{clearjunk } al)$

<proof>

lemma *clearjunk-delete*: $\text{clearjunk } (\text{delete } x \text{ } al) = \text{delete } x \text{ } (\text{clearjunk } al)$

<proof>

lemma *clearjunk-restrict*: $\text{clearjunk } (\text{restrict } A \text{ } al) = \text{restrict } A \text{ } (\text{clearjunk } al)$

<proof>

lemma *distinct-clearjunk-id* [*simp*]: $\text{distinct } (\text{map } \text{fst } al) \implies \text{clearjunk } al = al$

<proof>

lemma *clearjunk-idem*: $\text{clearjunk } (\text{clearjunk } al) = \text{clearjunk } al$

<proof>

lemma *length-clearjunk*: $\text{length } (\text{clearjunk } al) \leq \text{length } al$

<proof>

lemma *delete-map*:

assumes $\bigwedge kv. \text{fst } (f \text{ } kv) = \text{fst } kv$

shows $\text{delete } k \text{ } (\text{map } f \text{ } ps) = \text{map } f \text{ } (\text{delete } k \text{ } ps)$

<proof>

lemma *clearjunk-map*:

assumes $\bigwedge kv. \text{fst } (f \text{ } kv) = \text{fst } kv$

shows $\text{clearjunk } (\text{map } f \text{ } ps) = \text{map } f \text{ } (\text{clearjunk } ps)$

<proof>

1.6 map-ran

definition *map-ran* :: $('key \Rightarrow 'val \Rightarrow 'val) \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

where $\text{map-ran } f = \text{map } (\lambda(k, v). (k, f \text{ } k \text{ } v))$

lemma *map-ran-simps* [*simp*]:

$\text{map-ran } f \text{ } [] = []$

$\text{map-ran } f \text{ } ((k, v) \# ps) = (k, f \text{ } k \text{ } v) \# \text{map-ran } f \text{ } ps$

<proof>

lemma *dom-map-ran*: $\text{fst } \text{' set } (\text{map-ran } f \text{ } al) = \text{fst } \text{' set } al$

<proof>

lemma *map-ran-conv*: $\text{map-of } (\text{map-ran } f \text{ } al) \text{ } k = \text{map-option } (f \text{ } k) \text{ } (\text{map-of } al \text{ } k)$

<proof>

lemma *distinct-map-ran*: $\text{distinct } (\text{map } \text{fst } al) \implies \text{distinct } (\text{map } \text{fst } (\text{map-ran } f \text{ } al))$

$al)$
 $\langle proof \rangle$

lemma *map-ran-filter*: $map\text{-}ran\ f\ [p\leftarrow ps.\ fst\ p\ \neq\ a] = [p\leftarrow map\text{-}ran\ f\ ps.\ fst\ p\ \neq\ a]$
 $\langle proof \rangle$

lemma *clearjunk-map-ran*: $clearjunk\ (map\text{-}ran\ f\ al) = map\text{-}ran\ f\ (clearjunk\ al)$
 $\langle proof \rangle$

1.7 merge

qualified definition *merge* :: $('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$
where $merge\ qs\ ps = foldr\ (\lambda(k, v).\ update\ k\ v)\ ps\ qs$

lemma *merge-simps* [*simp*]:
 $merge\ qs\ [] = qs$
 $merge\ qs\ (p\#ps) = update\ (fst\ p)\ (snd\ p)\ (merge\ qs\ ps)$
 $\langle proof \rangle$

lemma *merge-updates*: $merge\ qs\ ps = updates\ (rev\ (map\ fst\ ps))\ (rev\ (map\ snd\ ps))\ qs$
 $\langle proof \rangle$

lemma *dom-merge*: $fst\ 'set\ (merge\ xs\ ys) = fst\ 'set\ xs \cup fst\ 'set\ ys$
 $\langle proof \rangle$

lemma *distinct-merge*:
assumes $distinct\ (map\ fst\ xs)$
shows $distinct\ (map\ fst\ (merge\ xs\ ys))$
 $\langle proof \rangle$

lemma *clearjunk-merge*: $clearjunk\ (merge\ xs\ ys) = merge\ (clearjunk\ xs)\ ys$
 $\langle proof \rangle$

lemma *merge-conv'*: $map\text{-}of\ (merge\ xs\ ys) = map\text{-}of\ xs\ ++\ map\text{-}of\ ys$
 $\langle proof \rangle$

corollary *merge-conv*: $map\text{-}of\ (merge\ xs\ ys)\ k = (map\text{-}of\ xs\ ++\ map\text{-}of\ ys)\ k$
 $\langle proof \rangle$

lemma *merge-empty*: $map\text{-}of\ (merge\ []\ ys) = map\text{-}of\ ys$
 $\langle proof \rangle$

lemma *merge-assoc* [*simp*]: $map\text{-}of\ (merge\ m1\ (merge\ m2\ m3)) = map\text{-}of\ (merge\ (merge\ m1\ m2)\ m3)$
 $\langle proof \rangle$

lemma *merge-Some-iff*:

$map-of (merge\ m\ n)\ k = Some\ x \longleftrightarrow$
 $map-of\ n\ k = Some\ x \vee map-of\ n\ k = None \wedge map-of\ m\ k = Some\ x$
 ⟨proof⟩

lemmas *merge-SomeD* [dest!] = *merge-Some-iff* [THEN iffD1]

lemma *merge-find-right* [simp]: $map-of\ n\ k = Some\ v \implies map-of (merge\ m\ n)\ k = Some\ v$
 ⟨proof⟩

lemma *merge-None* [iff]:

$(map-of (merge\ m\ n)\ k = None) = (map-of\ n\ k = None \wedge map-of\ m\ k = None)$
 ⟨proof⟩

lemma *merge-upd* [simp]:

$map-of (merge\ m (update\ k\ v\ n)) = map-of (update\ k\ v (merge\ m\ n))$
 ⟨proof⟩

lemma *merge-updates* [simp]:

$map-of (merge\ m (updates\ xs\ ys\ n)) = map-of (updates\ xs\ ys (merge\ m\ n))$
 ⟨proof⟩

lemma *merge-append*: $map-of (xs\ @\ ys) = map-of (merge\ ys\ xs)$
 ⟨proof⟩

1.8 compose

qualified function *compose* :: ('key × 'a) list ⇒ ('a × 'b) list ⇒ ('key × 'b) list
where

$compose\ []\ ys = []$
 | $compose (x\ \#\ xs)\ ys =$
 (case $map-of\ ys (snd\ x)$ of
 None ⇒ $compose (delete (fst\ x)\ xs)\ ys$
 | Some v ⇒ $(fst\ x, v)\ \#\ compose\ xs\ ys$)
 ⟨proof⟩

termination

⟨proof⟩

lemma *compose-first-None* [simp]:

assumes $map-of\ xs\ k = None$
shows $map-of (compose\ xs\ ys)\ k = None$
 ⟨proof⟩

lemma *compose-conv*: $map-of (compose\ xs\ ys)\ k = (map-of\ ys \circ_m map-of\ xs)\ k$
 ⟨proof⟩

lemma *compose-conv'*: $map-of (compose\ xs\ ys) = (map-of\ ys \circ_m map-of\ xs)$
 ⟨proof⟩

lemma *compose-first-Some* [simp]:
assumes *map-of xs k = Some v*
shows *map-of (compose xs ys) k = map-of ys v*
 ⟨proof⟩

lemma *dom-compose*: *fst ‘ set (compose xs ys) ⊆ fst ‘ set xs*
 ⟨proof⟩

lemma *distinct-compose*:
assumes *distinct (map fst xs)*
shows *distinct (map fst (compose xs ys))*
 ⟨proof⟩

lemma *compose-delete-twist*: *compose (delete k xs) ys = delete k (compose xs ys)*
 ⟨proof⟩

lemma *compose-clearjunk*: *compose xs (clearjunk ys) = compose xs ys*
 ⟨proof⟩

lemma *clearjunk-compose*: *clearjunk (compose xs ys) = compose (clearjunk xs) ys*
 ⟨proof⟩

lemma *compose-empty* [simp]: *compose xs [] = []*
 ⟨proof⟩

lemma *compose-Some-iff*:
(map-of (compose xs ys) k = Some v) ⟷
(∃ k'. map-of xs k = Some k' ∧ map-of ys k' = Some v)
 ⟨proof⟩

lemma *map-comp-None-iff*:
map-of (compose xs ys) k = None ⟷
(map-of xs k = None ∨ (∃ k'. map-of xs k = Some k' ∧ map-of ys k' = None))
 ⟨proof⟩

1.9 map-entry

qualified fun *map-entry* :: *'key ⇒ ('val ⇒ 'val) ⇒ ('key × 'val) list ⇒ ('key × 'val) list*

where

map-entry k f [] = []
 | *map-entry k f (p # ps) =*
(if fst p = k then (k, f (snd p)) # ps else p # map-entry k f ps)

lemma *map-of-map-entry*:
map-of (map-entry k f xs) =
(map-of xs)(k := case map-of xs k of None ⇒ None | Some v' ⇒ Some (f v'))
 ⟨proof⟩

lemma *dom-map-entry*: $\text{fst } \text{'set } (\text{map-entry } k \ f \ xs) = \text{fst } \text{'set } xs$
 ⟨proof⟩

lemma *distinct-map-entry*:
assumes *distinct* ($\text{map } \text{fst } xs$)
shows *distinct* ($\text{map } \text{fst } (\text{map-entry } k \ f \ xs)$)
 ⟨proof⟩

1.10 *map-default*

fun *map-default* :: $\text{'key} \Rightarrow \text{'val} \Rightarrow (\text{'val} \Rightarrow \text{'val}) \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$

where

$\text{map-default } k \ v \ f \ [] = [(k, v)]$
 $| \text{map-default } k \ v \ f \ (p \# ps) =$
 $(\text{if } \text{fst } p = k \ \text{then } (k, f \ (\text{snd } p)) \ \# \ ps \ \text{else } p \ \# \ \text{map-default } k \ v \ f \ ps)$

lemma *map-of-map-default*:
 $\text{map-of } (\text{map-default } k \ v \ f \ xs) =$
 $(\text{map-of } xs)(k := \text{case } \text{map-of } xs \ k \ \text{of } \text{None} \Rightarrow \text{Some } v \ | \ \text{Some } v' \Rightarrow \text{Some } (f \ v'))$
 ⟨proof⟩

lemma *dom-map-default*: $\text{fst } \text{'set } (\text{map-default } k \ v \ f \ xs) = \text{insert } k \ (\text{fst } \text{'set } xs)$
 ⟨proof⟩

lemma *distinct-map-default*:
assumes *distinct* ($\text{map } \text{fst } xs$)
shows *distinct* ($\text{map } \text{fst } (\text{map-default } k \ v \ f \ xs)$)
 ⟨proof⟩

end

end

2 Pointwise instantiation of functions to algebra type classes

theory *Function-Algebras*

imports *Main*

begin

Pointwise operations

instantiation *fun* :: $(\text{type}, \text{plus}) \ \text{plus}$

begin

definition $f + g = (\lambda x. f \ x + g \ x)$

instance $\langle proof \rangle$

end

lemma *plus-fun-apply* [*simp*]:

$(f + g) x = f x + g x$
 $\langle proof \rangle$

instantiation *fun* :: (*type*, *zero*) *zero*
begin

definition $0 = (\lambda x. 0)$

instance $\langle proof \rangle$

end

lemma *zero-fun-apply* [*simp*]:

$0 x = 0$
 $\langle proof \rangle$

instantiation *fun* :: (*type*, *times*) *times*
begin

definition $f * g = (\lambda x. f x * g x)$

instance $\langle proof \rangle$

end

lemma *times-fun-apply* [*simp*]:

$(f * g) x = f x * g x$
 $\langle proof \rangle$

instantiation *fun* :: (*type*, *one*) *one*
begin

definition $1 = (\lambda x. 1)$

instance $\langle proof \rangle$

end

lemma *one-fun-apply* [*simp*]:

$1 x = 1$
 $\langle proof \rangle$

Additive structures

instance *fun* :: (*type*, *semigroup-add*) *semigroup-add*
 $\langle proof \rangle$

instance *fun* :: (*type*, *cancel-semigroup-add*) *cancel-semigroup-add*

<proof>

instance *fun* :: (*type*, *ab-semigroup-add*) *ab-semigroup-add*
<proof>

instance *fun* :: (*type*, *cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*
<proof>

instance *fun* :: (*type*, *monoid-add*) *monoid-add*
<proof>

instance *fun* :: (*type*, *comm-monoid-add*) *comm-monoid-add*
<proof>

instance *fun* :: (*type*, *cancel-comm-monoid-add*) *cancel-comm-monoid-add* *<proof>*

instance *fun* :: (*type*, *group-add*) *group-add*
<proof>

instance *fun* :: (*type*, *ab-group-add*) *ab-group-add*
<proof>

Multiplicative structures

instance *fun* :: (*type*, *semigroup-mult*) *semigroup-mult*
<proof>

instance *fun* :: (*type*, *ab-semigroup-mult*) *ab-semigroup-mult*
<proof>

instance *fun* :: (*type*, *monoid-mult*) *monoid-mult*
<proof>

instance *fun* :: (*type*, *comm-monoid-mult*) *comm-monoid-mult*
<proof>

Misc

instance *fun* :: (*type*, *Rings.dvd*) *Rings.dvd* *<proof>*

instance *fun* :: (*type*, *mult-zero*) *mult-zero*
<proof>

instance *fun* :: (*type*, *zero-neq-one*) *zero-neq-one*
<proof>

Ring structures

instance *fun* :: (*type*, *semiring*) *semiring*
<proof>

instance *fun* :: (*type*, *comm-semiring*) *comm-semiring*

<proof>

instance *fun* :: (*type*, *semiring-0*) *semiring-0* *<proof>*

instance *fun* :: (*type*, *comm-semiring-0*) *comm-semiring-0* *<proof>*

instance *fun* :: (*type*, *semiring-0-cancel*) *semiring-0-cancel* *<proof>*

instance *fun* :: (*type*, *comm-semiring-0-cancel*) *comm-semiring-0-cancel* *<proof>*

instance *fun* :: (*type*, *semiring-1*) *semiring-1* *<proof>*

lemma *of-nat-fun*: *of-nat n = (λx::'a. of-nat n)*
<proof>

lemma *of-nat-fun-apply* [*simp*]:
of-nat n x = of-nat n
<proof>

instance *fun* :: (*type*, *comm-semiring-1*) *comm-semiring-1* *<proof>*

instance *fun* :: (*type*, *semiring-1-cancel*) *semiring-1-cancel* *<proof>*

instance *fun* :: (*type*, *comm-semiring-1-cancel*) *comm-semiring-1-cancel*
<proof>

instance *fun* :: (*type*, *semiring-char-0*) *semiring-char-0*
<proof>

instance *fun* :: (*type*, *ring*) *ring* *<proof>*

instance *fun* :: (*type*, *comm-ring*) *comm-ring* *<proof>*

instance *fun* :: (*type*, *ring-1*) *ring-1* *<proof>*

instance *fun* :: (*type*, *comm-ring-1*) *comm-ring-1* *<proof>*

instance *fun* :: (*type*, *ring-char-0*) *ring-char-0* *<proof>*

Ordered structures

instance *fun* :: (*type*, *ordered-ab-semigroup-add*) *ordered-ab-semigroup-add*
<proof>

instance *fun* :: (*type*, *ordered-cancel-ab-semigroup-add*) *ordered-cancel-ab-semigroup-add*
<proof>

instance *fun* :: (*type*, *ordered-ab-semigroup-add-imp-le*) *ordered-ab-semigroup-add-imp-le*
<proof>

```

instance fun :: (type, ordered-comm-monoid-add) ordered-comm-monoid-add ⟨proof⟩

instance fun :: (type, ordered-cancel-comm-monoid-add) ordered-cancel-comm-monoid-add
⟨proof⟩

instance fun :: (type, ordered-ab-group-add) ordered-ab-group-add ⟨proof⟩

instance fun :: (type, ordered-semiring) ordered-semiring
  ⟨proof⟩

instance fun :: (type, dioid) dioid
  ⟨proof⟩

instance fun :: (type, ordered-comm-semiring) ordered-comm-semiring
  ⟨proof⟩

instance fun :: (type, ordered-cancel-semiring) ordered-cancel-semiring ⟨proof⟩

instance fun :: (type, ordered-cancel-comm-semiring) ordered-cancel-comm-semiring
⟨proof⟩

instance fun :: (type, ordered-ring) ordered-ring ⟨proof⟩

instance fun :: (type, ordered-comm-ring) ordered-comm-ring ⟨proof⟩

lemmas func-plus = plus-fun-def
lemmas func-zero = zero-fun-def
lemmas func-times = times-fun-def
lemmas func-one = one-fun-def

end

```

3 Algebraic operations on sets

```

theory Set-Algebras
imports Main
begin

```

This library lifts operations like addition and multiplication to sets. It was designed to support asymptotic calculations. See the comments at the top of theory *BigO*.

```

instantiation set :: (plus) plus
begin

```

```

definition plus-set :: 'a::plus set ⇒ 'a set ⇒ 'a set where
  set-plus-def:  $A + B = \{c. \exists a \in A. \exists b \in B. c = a + b\}$ 

```

```

instance ⟨proof⟩

```

end

instantiation *set* :: (*times*) *times*
begin

definition *times-set* :: 'a::times set \Rightarrow 'a set \Rightarrow 'a set **where**
set-times-def: $A * B = \{c. \exists a \in A. \exists b \in B. c = a * b\}$

instance \langle *proof* \rangle

end

instantiation *set* :: (*zero*) *zero*
begin

definition
set-zero[simp]: $(0::'a::zero\ set) = \{0\}$

instance \langle *proof* \rangle

end

instantiation *set* :: (*one*) *one*
begin

definition
set-one[simp]: $(1::'a::one\ set) = \{1\}$

instance \langle *proof* \rangle

end

definition *elt-set-plus* :: 'a::plus \Rightarrow 'a set \Rightarrow 'a set (**infixl** +*o* 70) **where**
 $a +_o B = \{c. \exists b \in B. c = a + b\}$

definition *elt-set-times* :: 'a::times \Rightarrow 'a set \Rightarrow 'a set (**infixl** **o* 80) **where**
 $a *_o B = \{c. \exists b \in B. c = a * b\}$

abbreviation (*input*) *elt-set-eq* :: 'a \Rightarrow 'a set \Rightarrow bool (**infix** =*o* 50) **where**
 $x =_o A \equiv x \in A$

instance *set* :: (*semigroup-add*) *semigroup-add*
 \langle *proof* \rangle

instance *set* :: (*ab-semigroup-add*) *ab-semigroup-add*
 \langle *proof* \rangle

instance *set* :: (*monoid-add*) *monoid-add*

<proof>

instance *set* :: (*comm-monoid-add*) *comm-monoid-add*
<proof>

instance *set* :: (*semigroup-mult*) *semigroup-mult*
<proof>

instance *set* :: (*ab-semigroup-mult*) *ab-semigroup-mult*
<proof>

instance *set* :: (*monoid-mult*) *monoid-mult*
<proof>

instance *set* :: (*comm-monoid-mult*) *comm-monoid-mult*
<proof>

lemma *set-plus-intro* [*intro*]: $a \in C \implies b \in D \implies a + b \in C + D$
<proof>

lemma *set-plus-elim*:
assumes $x \in A + B$
obtains $a\ b$ **where** $x = a + b$ **and** $a \in A$ **and** $b \in B$
<proof>

lemma *set-plus-intro2* [*intro*]: $b \in C \implies a + b \in a + o\ C$
<proof>

lemma *set-plus-rearrange*:
 $((a::'a::comm-monoid-add) + o\ C) + (b + o\ D) = (a + b) + o\ (C + D)$
<proof>

lemma *set-plus-rearrange2*: $(a::'a::semigroup-add) + o\ (b + o\ C) = (a + b) + o\ C$
<proof>

lemma *set-plus-rearrange3*: $((a::'a::semigroup-add) + o\ B) + C = a + o\ (B + C)$
<proof>

theorem *set-plus-rearrange4*: $C + ((a::'a::comm-monoid-add) + o\ D) = a + o\ (C + D)$
<proof>

lemmas *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2 set-plus-rearrange3 set-plus-rearrange4*

lemma *set-plus-mono* [*intro!*]: $C \subseteq D \implies a + o\ C \subseteq a + o\ D$
<proof>

lemma *set-plus-mono2* [*intro*]: $(C::'a::plus\ set) \subseteq D \implies E \subseteq F \implies C + E \subseteq$

$D + F$
 ⟨proof⟩

lemma *set-plus-mono3* [intro]: $a \in C \implies a +_o D \subseteq C + D$
 ⟨proof⟩

lemma *set-plus-mono4* [intro]: $(a::'a::\text{comm-monoid-add}) \in C \implies a +_o D \subseteq D + C$
 ⟨proof⟩

lemma *set-plus-mono5*: $a \in C \implies B \subseteq D \implies a +_o B \subseteq C + D$
 ⟨proof⟩

lemma *set-plus-mono-b*: $C \subseteq D \implies x \in a +_o C \implies x \in a +_o D$
 ⟨proof⟩

lemma *set-plus-mono2-b*: $C \subseteq D \implies E \subseteq F \implies x \in C + E \implies x \in D + F$
 ⟨proof⟩

lemma *set-plus-mono3-b*: $a \in C \implies x \in a +_o D \implies x \in C + D$
 ⟨proof⟩

lemma *set-plus-mono4-b*: $(a::'a::\text{comm-monoid-add}) : C \implies x \in a +_o D \implies x \in D + C$
 ⟨proof⟩

lemma *set-zero-plus* [simp]: $(0::'a::\text{comm-monoid-add}) +_o C = C$
 ⟨proof⟩

lemma *set-zero-plus2*: $(0::'a::\text{comm-monoid-add}) \in A \implies B \subseteq A + B$
 ⟨proof⟩

lemma *set-plus-imp-minus*: $(a::'a::\text{ab-group-add}) : b +_o C \implies (a - b) \in C$
 ⟨proof⟩

lemma *set-minus-imp-plus*: $(a::'a::\text{ab-group-add}) - b : C \implies a \in b +_o C$
 ⟨proof⟩

lemma *set-minus-plus*: $(a::'a::\text{ab-group-add}) - b \in C \iff a \in b +_o C$
 ⟨proof⟩

lemma *set-times-intro* [intro]: $a \in C \implies b \in D \implies a * b \in C * D$
 ⟨proof⟩

lemma *set-times-elim*:

assumes $x \in A * B$

obtains $a b$ **where** $x = a * b$ **and** $a \in A$ **and** $b \in B$

⟨proof⟩

lemma *set-times-intro2* [intro!]: $b \in C \implies a * b \in a *o C$
 ⟨proof⟩

lemma *set-times-rearrange*:
 $((a::'a::\text{comm-monoid-mult}) *o C) * (b *o D) = (a * b) *o (C * D)$
 ⟨proof⟩

lemma *set-times-rearrange2*:
 $(a::'a::\text{semigroup-mult}) *o (b *o C) = (a * b) *o C$
 ⟨proof⟩

lemma *set-times-rearrange3*:
 $((a::'a::\text{semigroup-mult}) *o B) * C = a *o (B * C)$
 ⟨proof⟩

theorem *set-times-rearrange4*:
 $C * ((a::'a::\text{comm-monoid-mult}) *o D) = a *o (C * D)$
 ⟨proof⟩

lemmas *set-times-rearranges = set-times-rearrange set-times-rearrange2 set-times-rearrange3 set-times-rearrange4*

lemma *set-times-mono* [intro]: $C \subseteq D \implies a *o C \subseteq a *o D$
 ⟨proof⟩

lemma *set-times-mono2* [intro]: $(C::'a::\text{times set}) \subseteq D \implies E \subseteq F \implies C * E \subseteq D * F$
 ⟨proof⟩

lemma *set-times-mono3* [intro]: $a \in C \implies a *o D \subseteq C * D$
 ⟨proof⟩

lemma *set-times-mono4* [intro]: $(a::'a::\text{comm-monoid-mult}) : C \implies a *o D \subseteq D * C$
 ⟨proof⟩

lemma *set-times-mono5*: $a \in C \implies B \subseteq D \implies a *o B \subseteq C * D$
 ⟨proof⟩

lemma *set-times-mono-b*: $C \subseteq D \implies x \in a *o C \implies x \in a *o D$
 ⟨proof⟩

lemma *set-times-mono2-b*: $C \subseteq D \implies E \subseteq F \implies x \in C * E \implies x \in D * F$
 ⟨proof⟩

lemma *set-times-mono3-b*: $a \in C \implies x \in a *o D \implies x \in C * D$
 ⟨proof⟩

lemma *set-times-mono4-b*: $(a::'a::\text{comm-monoid-mult}) \in C \implies x \in a *o D \implies$

$x \in D * C$
 ⟨proof⟩

lemma *set-one-times* [simp]: $(1 :: 'a :: comm-monoid-mult) * o C = C$
 ⟨proof⟩

lemma *set-times-plus-distrib*:
 $(a :: 'a :: semiring) * o (b + o C) = (a * b) + o (a * o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib2*:
 $(a :: 'a :: semiring) * o (B + C) = (a * o B) + (a * o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib3*: $((a :: 'a :: semiring) + o C) * D \subseteq a * o D + C * D$
 ⟨proof⟩

lemmas *set-times-plus-distrib3* =
set-times-plus-distrib
set-times-plus-distrib2

lemma *set-neg-intro*: $(a :: 'a :: ring-1) \in (- 1) * o C \implies - a \in C$
 ⟨proof⟩

lemma *set-neg-intro2*: $(a :: 'a :: ring-1) \in C \implies - a \in (- 1) * o C$
 ⟨proof⟩

lemma *set-plus-image*: $S + T = (\lambda(x, y). x + y) ` (S \times T)$
 ⟨proof⟩

lemma *set-times-image*: $S * T = (\lambda(x, y). x * y) ` (S \times T)$
 ⟨proof⟩

lemma *finite-set-plus*: $finite\ s \implies finite\ t \implies finite\ (s + t)$
 ⟨proof⟩

lemma *finite-set-times*: $finite\ s \implies finite\ t \implies finite\ (s * t)$
 ⟨proof⟩

lemma *set-setsum-alt*:
assumes *fin*: $finite\ I$
shows $setsum\ S\ I = \{setsum\ s\ I \mid s. \forall i \in I. s\ i \in S\ i\}$
 (is - = ?setsum I)
 ⟨proof⟩

lemma *setsum-set-cond-linear*:
fixes $f :: 'a :: comm-monoid-add\ set \Rightarrow 'b :: comm-monoid-add\ set$
assumes [intro!]: $\bigwedge A\ B. P\ A \implies P\ B \implies P\ (A + B)\ P\ \{0\}$
and $f: \bigwedge A\ B. P\ A \implies P\ B \implies f\ (A + B) = f\ A + f\ B\ f\ \{0\} = \{0\}$

assumes $all: \bigwedge i. i \in I \implies P (S i)$
shows $f (setsum S I) = setsum (f \circ S) I$
 $\langle proof \rangle$

lemma *setsum-set-linear*:

fixes $f :: 'a::comm-monoid-add set \Rightarrow 'b::comm-monoid-add set$
assumes $\bigwedge A B. f(A) + f(B) = f(A + B) f \{0\} = \{0\}$
shows $f (setsum S I) = setsum (f \circ S) I$
 $\langle proof \rangle$

lemma *set-times-Un-distrib*:

$A * (B \cup C) = A * B \cup A * C$
 $(A \cup B) * C = A * C \cup B * C$
 $\langle proof \rangle$

lemma *set-times-UNION-distrib*:

$A * UNION I M = (\bigcup i \in I. A * M i)$
 $UNION I M * A = (\bigcup i \in I. M i * A)$
 $\langle proof \rangle$

end

4 Big O notation

theory *BigO*

imports *Complex-Main Function-Algebras Set-Algebras*

begin

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form $f = O(g)$ and $f = g + O(h)$. An earlier version of this library is described in detail in [1].

The main changes in this version are as follows:

- We have eliminated the O operator on sets. (Most uses of this seem to be inessential.)
- We no longer use $+$ as output syntax for $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving *setsum*.
- The library has been expanded, with e.g. support for expressions of the form $f < g + O(h)$.

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using **declare** *subsetI* [*del*, *intro*].

4.1 Definitions

definition $bigO :: ('a \Rightarrow 'b :: linordered-idom) \Rightarrow ('a \Rightarrow 'b) \text{ set } ((1O'(-)))$
where $O(f :: 'a \Rightarrow 'b) = \{h. \exists c. \forall x. |h x| \leq c * |f x|\}$

lemma $bigO\text{-pos-const}$:

$(\exists c :: 'a :: linordered-idom. \forall x. |h x| \leq c * |f x|) \longleftrightarrow$
 $(\exists c. 0 < c \wedge (\forall x. |h x| \leq c * |f x|))$
 $\langle proof \rangle$

lemma $bigO\text{-alt-def}$: $O(f) = \{h. \exists c. 0 < c \wedge (\forall x. |h x| \leq c * |f x|)\}$
 $\langle proof \rangle$

lemma $bigO\text{-elt-subset}$ $[intro]$: $f \in O(g) \Longrightarrow O(f) \subseteq O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-refl}$ $[intro]$: $f \in O(f)$
 $\langle proof \rangle$

lemma $bigO\text{-zero}$: $0 \in O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-zero2}$: $O(\lambda x. 0) = \{\lambda x. 0\}$
 $\langle proof \rangle$

lemma $bigO\text{-plus-self-subset}$ $[intro]$: $O(f) + O(f) \subseteq O(f)$
 $\langle proof \rangle$

lemma $bigO\text{-plus-idemp}$ $[simp]$: $O(f) + O(f) = O(f)$
 $\langle proof \rangle$

lemma $bigO\text{-plus-subset}$ $[intro]$: $O(f + g) \subseteq O(f) + O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-plus-subset2}$ $[intro]$: $A \subseteq O(f) \Longrightarrow B \subseteq O(f) \Longrightarrow A + B \subseteq O(f)$
 $\langle proof \rangle$

lemma $bigO\text{-plus-eq}$: $\forall x. 0 \leq f x \Longrightarrow \forall x. 0 \leq g x \Longrightarrow O(f + g) = O(f) + O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-bounded-alt}$: $\forall x. 0 \leq f x \Longrightarrow \forall x. f x \leq c * g x \Longrightarrow f \in O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-bounded}$: $\forall x. 0 \leq f x \Longrightarrow \forall x. f x \leq g x \Longrightarrow f \in O(g)$
 $\langle proof \rangle$

lemma $bigO\text{-bounded2}$: $\forall x. lb x \leq f x \Longrightarrow \forall x. f x \leq lb x + g x \Longrightarrow f \in lb + o$
 $O(g)$
 $\langle proof \rangle$

lemma *bigo-abs*: $(\lambda x. |f x|) =_o O(f)$
 ⟨proof⟩

lemma *bigo-abs2*: $f =_o O(\lambda x. |f x|)$
 ⟨proof⟩

lemma *bigo-abs3*: $O(f) = O(\lambda x. |f x|)$
 ⟨proof⟩

lemma *bigo-abs4*: $f =_o g +_o O(h) \implies (\lambda x. |f x|) =_o (\lambda x. |g x|) +_o O(h)$
 ⟨proof⟩

lemma *bigo-abs5*: $f =_o O(g) \implies (\lambda x. |f x|) =_o O(g)$
 ⟨proof⟩

lemma *bigo-elt-subset2* [intro]: $f \in g +_o O(h) \implies O(f) \subseteq O(g) + O(h)$
 ⟨proof⟩

lemma *bigo-mult* [intro]: $O(f) * O(g) \subseteq O(f * g)$
 ⟨proof⟩

lemma *bigo-mult2* [intro]: $f *_o O(g) \subseteq O(f * g)$
 ⟨proof⟩

lemma *bigo-mult3*: $f \in O(h) \implies g \in O(j) \implies f * g \in O(h * j)$
 ⟨proof⟩

lemma *bigo-mult4* [intro]: $f \in k +_o O(h) \implies g * f \in (g * k) +_o O(g * h)$
 ⟨proof⟩

lemma *bigo-mult5*:
 fixes $f :: 'a \Rightarrow 'b::\text{linordered-field}$
 assumes $\forall x. f x \neq 0$
 shows $O(f * g) \subseteq f *_o O(g)$
 ⟨proof⟩

lemma *bigo-mult6*:
 fixes $f :: 'a \Rightarrow 'b::\text{linordered-field}$
 shows $\forall x. f x \neq 0 \implies O(f * g) = f *_o O(g)$
 ⟨proof⟩

lemma *bigo-mult7*:
 fixes $f :: 'a \Rightarrow 'b::\text{linordered-field}$
 shows $\forall x. f x \neq 0 \implies O(f * g) \subseteq O(f) * O(g)$
 ⟨proof⟩

lemma *bigo-mult8*:
 fixes $f :: 'a \Rightarrow 'b::\text{linordered-field}$
 shows $\forall x. f x \neq 0 \implies O(f * g) = O(f) * O(g)$

<proof>

lemma *bigo-minus* [*intro*]: $f \in O(g) \implies -f \in O(g)$
<proof>

lemma *bigo-minus2*: $f \in g + o O(h) \implies -f \in -g + o O(h)$
<proof>

lemma *bigo-minus3*: $O(-f) = O(f)$
<proof>

lemma *bigo-plus-absorb-lemma1*: $f \in O(g) \implies f + o O(g) \subseteq O(g)$
<proof>

lemma *bigo-plus-absorb-lemma2*: $f \in O(g) \implies O(g) \subseteq f + o O(g)$
<proof>

lemma *bigo-plus-absorb* [*simp*]: $f \in O(g) \implies f + o O(g) = O(g)$
<proof>

lemma *bigo-plus-absorb2* [*intro*]: $f \in O(g) \implies A \subseteq O(g) \implies f + o A \subseteq O(g)$
<proof>

lemma *bigo-add-commute-imp*: $f \in g + o O(h) \implies g \in f + o O(h)$
<proof>

lemma *bigo-add-commute*: $f \in g + o O(h) \iff g \in f + o O(h)$
<proof>

lemma *bigo-const1*: $(\lambda x. c) \in O(\lambda x. 1)$
<proof>

lemma *bigo-const2* [*intro*]: $O(\lambda x. c) \subseteq O(\lambda x. 1)$
<proof>

lemma *bigo-const3*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies (\lambda x. 1) \in O(\lambda x. c)$
<proof>

lemma *bigo-const4*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies O(\lambda x. 1) \subseteq O(\lambda x. c)$
<proof>

lemma *bigo-const* [*simp*]:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies O(\lambda x. c) = O(\lambda x. 1)$
<proof>

lemma *bigO-const-mult1*: $(\lambda x. c * f x) \in O(f)$
 ⟨proof⟩

lemma *bigO-const-mult2*: $O(\lambda x. c * f x) \subseteq O(f)$
 ⟨proof⟩

lemma *bigO-const-mult3*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies f \in O(\lambda x. c * f x)$
 ⟨proof⟩

lemma *bigO-const-mult4*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies O(f) \subseteq O(\lambda x. c * f x)$
 ⟨proof⟩

lemma *bigO-const-mult [simp]*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies O(\lambda x. c * f x) = O(f)$
 ⟨proof⟩

lemma *bigO-const-mult5 [simp]*:
fixes $c :: 'a::\text{linordered-field}$
shows $c \neq 0 \implies (\lambda x. c) *o O(f) = O(f)$
 ⟨proof⟩

lemma *bigO-const-mult6 [intro]*: $(\lambda x. c) *o O(f) \subseteq O(f)$
 ⟨proof⟩

lemma *bigO-const-mult7 [intro]*: $f =o O(g) \implies (\lambda x. c * f x) =o O(g)$
 ⟨proof⟩

lemma *bigO-compose1*: $f =o O(g) \implies (\lambda x. f (k x)) =o O(\lambda x. g (k x))$
 ⟨proof⟩

lemma *bigO-compose2*: $f =o g +o O(h) \implies$
 $(\lambda x. f (k x)) =o (\lambda x. g (k x)) +o O(\lambda x. h(k x))$
 ⟨proof⟩

4.2 Setsum

lemma *bigO-setsum-main*: $\forall x. \forall y \in A x. 0 \leq h x y \implies$
 $\exists c. \forall x. \forall y \in A x. |f x y| \leq c * h x y \implies$
 $(\lambda x. \sum y \in A x. f x y) =o O(\lambda x. \sum y \in A x. h x y)$
 ⟨proof⟩

lemma *bigO-setsum1*: $\forall x y. 0 \leq h x y \implies$
 $\exists c. \forall x y. |f x y| \leq c * h x y \implies$

$(\lambda x. \sum y \in A x. f x y) =_o O(\lambda x. \sum y \in A x. h x y)$
 ⟨proof⟩

lemma *bigO-setsum2*: $\forall y. 0 \leq h y \implies$
 $\exists c. \forall y. |f y| \leq c * (h y) \implies$
 $(\lambda x. \sum y \in A x. f y) =_o O(\lambda x. \sum y \in A x. h y)$
 ⟨proof⟩

lemma *bigO-setsum3*: $f =_o O(h) \implies$
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o O(\lambda x. \sum y \in A x. |l x y * h (k x y)|)$
 ⟨proof⟩

lemma *bigO-setsum4*: $f =_o g +_o O(h) \implies$
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$
 $(\lambda x. \sum y \in A x. l x y * g (k x y)) +_o$
 $O(\lambda x. \sum y \in A x. |l x y * h (k x y)|)$
 ⟨proof⟩

lemma *bigO-setsum5*: $f =_o O(h) \implies \forall x y. 0 \leq l x y \implies$
 $\forall x. 0 \leq h x \implies$
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$
 $O(\lambda x. \sum y \in A x. l x y * h (k x y))$
 ⟨proof⟩

lemma *bigO-setsum6*: $f =_o g +_o O(h) \implies \forall x y. 0 \leq l x y \implies$
 $\forall x. 0 \leq h x \implies$
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$
 $(\lambda x. \sum y \in A x. l x y * g (k x y)) +_o$
 $O(\lambda x. \sum y \in A x. l x y * h (k x y))$
 ⟨proof⟩

4.3 Misc useful stuff

lemma *bigO-useful-intro*: $A \subseteq O(f) \implies B \subseteq O(f) \implies A + B \subseteq O(f)$
 ⟨proof⟩

lemma *bigO-useful-add*: $f =_o O(h) \implies g =_o O(h) \implies f + g =_o O(h)$
 ⟨proof⟩

lemma *bigO-useful-const-mult*:
fixes $c :: 'a::linordered-field$
shows $c \neq 0 \implies (\lambda x. c) * f =_o O(h) \implies f =_o O(h)$
 ⟨proof⟩

lemma *bigO-fix*: $(\lambda x::nat. f (x + 1)) =_o O(\lambda x. h (x + 1)) \implies f 0 = 0 \implies f =_o O(h)$
 ⟨proof⟩

lemma *bigO-fix2*:

$$(\lambda x. f ((x::nat) + 1)) =_o (\lambda x. g(x + 1)) +_o O(\lambda x. h(x + 1)) \implies$$

$$f \ 0 = g \ 0 \implies f =_o g +_o O(h)$$

<proof>

4.4 Less than or equal to

definition *lesso* :: ('a ⇒ 'b::linordered-idom) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b (infixl <o 70)

where $f <_o g = (\lambda x. \max (f \ x - g \ x) \ 0)$

lemma *bigo-lesseq1*: $f =_o O(h) \implies \forall x. |g \ x| \leq |f \ x| \implies g =_o O(h)$
<proof>

lemma *bigo-lesseq2*: $f =_o O(h) \implies \forall x. |g \ x| \leq f \ x \implies g =_o O(h)$
<proof>

lemma *bigo-lesseq3*: $f =_o O(h) \implies \forall x. 0 \leq g \ x \implies \forall x. g \ x \leq f \ x \implies g =_o O(h)$
<proof>

lemma *bigo-lesseq4*: $f =_o O(h) \implies$
 $\forall x. 0 \leq g \ x \implies \forall x. g \ x \leq |f \ x| \implies g =_o O(h)$
<proof>

lemma *bigo-lesso1*: $\forall x. f \ x \leq g \ x \implies f <_o g =_o O(h)$
<proof>

lemma *bigo-lesso2*: $f =_o g +_o O(h) \implies$
 $\forall x. 0 \leq k \ x \implies \forall x. k \ x \leq f \ x \implies k <_o g =_o O(h)$
<proof>

lemma *bigo-lesso3*: $f =_o g +_o O(h) \implies$
 $\forall x. 0 \leq k \ x \implies \forall x. g \ x \leq k \ x \implies f <_o k =_o O(h)$
<proof>

lemma *bigo-lesso4*:
fixes $k :: 'a \Rightarrow 'b::linordered-field$
shows $f <_o g =_o O(k) \implies g =_o h +_o O(k) \implies f <_o h =_o O(k)$
<proof>

lemma *bigo-lesso5*: $f <_o g =_o O(h) \implies \exists C. \forall x. f \ x \leq g \ x + C * |h \ x|$
<proof>

lemma *lesso-add*: $f <_o g =_o O(h) \implies k <_o l =_o O(h) \implies (f + k) <_o (g + l)$
 $=_o O(h)$
<proof>

lemma *bigo-LIMSEQ1*: $f =_o O(g) \implies g \longrightarrow 0 \implies f \longrightarrow (0::real)$
<proof>

lemma *bigO-LIMSEQ2*: $f = o\ g + o\ O(h) \implies h \longrightarrow 0 \implies f \longrightarrow a \implies g \longrightarrow (a::real)$
 <proof>

end

5 The Field of Integers mod 2

theory *Bit*
imports *Main*
begin

5.1 Bits as a datatype

typedef *bit* = *UNIV* :: *bool set*
morphisms *set Bit*
 <proof>

instantiation *bit* :: {*zero, one*}
begin

definition *zero-bit-def*:
 0 = *Bit False*

definition *one-bit-def*:
 1 = *Bit True*

instance <proof>

end

old-rep-datatype 0::*bit* 1::*bit*
 <proof>

lemma *Bit-set-eq* [*simp*]:
Bit (set b) = b
 <proof>

lemma *set-Bit-eq* [*simp*]:
set (Bit P) = P
 <proof>

lemma *bit-eq-iff*:
 $x = y \iff (\text{set } x \iff \text{set } y)$
 <proof>

lemma *Bit-inject* [*simp*]:
 $\text{Bit } P = \text{Bit } Q \iff (P \iff Q)$

$\langle proof \rangle$

lemma *set* [*iff*]:
 $\neg set\ 0$
 $set\ 1$
 $\langle proof \rangle$

lemma [*code*]:
 $set\ 0 \longleftrightarrow False$
 $set\ 1 \longleftrightarrow True$
 $\langle proof \rangle$

lemma *set-iff*:
 $set\ b \longleftrightarrow b = 1$
 $\langle proof \rangle$

lemma *bit-eq-iff-set*:
 $b = 0 \longleftrightarrow \neg set\ b$
 $b = 1 \longleftrightarrow set\ b$
 $\langle proof \rangle$

lemma *Bit* [*simp, code*]:
 $Bit\ False = 0$
 $Bit\ True = 1$
 $\langle proof \rangle$

lemma *bit-not-0-iff* [*iff*]:
 $(x::bit) \neq 0 \longleftrightarrow x = 1$
 $\langle proof \rangle$

lemma *bit-not-1-iff* [*iff*]:
 $(x::bit) \neq 1 \longleftrightarrow x = 0$
 $\langle proof \rangle$

lemma [*code*]:
 $HOL.equal\ 0\ b \longleftrightarrow \neg set\ b$
 $HOL.equal\ 1\ b \longleftrightarrow set\ b$
 $\langle proof \rangle$

5.2 Type *bit* forms a field

instantiation *bit* :: *field*
begin

definition *plus-bit-def*:
 $x + y = case-bit\ y\ (case-bit\ 1\ 0\ y)\ x$

definition *times-bit-def*:
 $x * y = case-bit\ 0\ y\ x$

definition *uminus-bit-def* [*simp*]:

$$- x = (x :: bit)$$

definition *minus-bit-def* [*simp*]:

$$x - y = (x + y :: bit)$$

definition *inverse-bit-def* [*simp*]:

$$\text{inverse } x = (x :: bit)$$

definition *divide-bit-def* [*simp*]:

$$x \text{ div } y = (x * y :: bit)$$

lemmas *field-bit-defs* =

$$\text{plus-bit-def times-bit-def minus-bit-def uminus-bit-def} \\ \text{divide-bit-def inverse-bit-def}$$

instance

<proof>

end

lemma *bit-add-self*: $x + x = (0 :: bit)$

<proof>

lemma *bit-mult-eq-1-iff* [*simp*]: $x * y = (1 :: bit) \longleftrightarrow x = 1 \wedge y = 1$

<proof>

Not sure whether the next two should be simp rules.

lemma *bit-add-eq-0-iff*: $x + y = (0 :: bit) \longleftrightarrow x = y$

<proof>

lemma *bit-add-eq-1-iff*: $x + y = (1 :: bit) \longleftrightarrow x \neq y$

<proof>

5.3 Numerals at type *bit*

All numerals reduce to either 0 or 1.

lemma *bit-minus1* [*simp*]: $- 1 = (1 :: bit)$

<proof>

lemma *bit-neg-numeral* [*simp*]: $(- \text{numeral } w :: bit) = \text{numeral } w$

<proof>

lemma *bit-numeral-even* [*simp*]: $\text{numeral } (\text{Num.Bit0 } w) = (0 :: bit)$

<proof>

lemma *bit-numeral-odd* [*simp*]: $\text{numeral } (\text{Num.Bit1 } w) = (1 :: bit)$

<proof>

5.4 Conversion from *bit*

context *zero-neq-one*

begin

definition *of-bit* :: *bit* \Rightarrow 'a

where

of-bit *b* = *case-bit* 0 1 *b*

lemma *of-bit-eq* [*simp*, *code*]:

of-bit 0 = 0

of-bit 1 = 1

<proof>

lemma *of-bit-eq-iff*:

of-bit *x* = *of-bit* *y* \longleftrightarrow *x* = *y*

<proof>

end

context *semiring-1*

begin

lemma *of-nat-of-bit-eq*:

of-nat (*of-bit* *b*) = *of-bit* *b*

<proof>

end

context *ring-1*

begin

lemma *of-int-of-bit-eq*:

of-int (*of-bit* *b*) = *of-bit* *b*

<proof>

end

hide-const (**open**) *set*

end

6 Axiomatic Declaration of Bounded Natural Functors

theory *BNF-Axiomatization*

imports *Main*

keywords

bnf-axiomatization :: *thy-decl*

begin

$\langle ML \rangle$

end

7 Generalized Corecursor Sugar (corec and friends)

theory *BNF-Corec*

imports *Main*

keywords

corec :: *thy-decl* **and**

corecursive :: *thy-goal* **and**

friend-of-corec :: *thy-goal* **and**

coinduction-upto :: *thy-decl*

begin

lemma *obj-distinct-prems*: $P \longrightarrow P \longrightarrow Q \Longrightarrow P \Longrightarrow Q$

$\langle proof \rangle$

lemma *inject-refine*: $g (f x) = x \Longrightarrow g (f y) = y \Longrightarrow f x = f y \longleftrightarrow x = y$

$\langle proof \rangle$

lemma *convol-apply*: $BNF-Def.convol f g x = (f x, g x)$

$\langle proof \rangle$

lemma *Grp-UNIV-id*: $BNF-Def.Grp UNIV id = (op =)$

$\langle proof \rangle$

lemma *sum-comp-cases*:

assumes $f o Inl = g o Inl$ **and** $f o Inr = g o Inr$

shows $f = g$

$\langle proof \rangle$

lemma *case-sum-Inl-Inr-L*: $case-sum (f o Inl) (f o Inr) = f$

$\langle proof \rangle$

lemma *eq-o-InrI*: $\llbracket g o Inl = h; case-sum h f = g \rrbracket \Longrightarrow f = g o Inr$

$\langle proof \rangle$

lemma *id-bnf-o*: $BNF-Composition.id-bnf o f = f$

$\langle proof \rangle$

lemma *o-id-bnf*: $f o BNF-Composition.id-bnf = f$

$\langle proof \rangle$

lemma *if-True-False*:

$(if P then True else Q) \longleftrightarrow P \vee Q$

$(if P then False else Q) \longleftrightarrow \neg P \wedge Q$

$(\text{if } P \text{ then } Q \text{ else True}) \longleftrightarrow \neg P \vee Q$
 $(\text{if } P \text{ then } Q \text{ else False}) \longleftrightarrow P \wedge Q$
 ⟨proof⟩

lemma *if-distrib-fun*: $(\text{if } c \text{ then } f \text{ else } g) x = (\text{if } c \text{ then } f x \text{ else } g x)$
 ⟨proof⟩

7.1 Coinduction

lemma *eq-comp-compI*: $a \circ b = f \circ x \implies x \circ c = \text{id} \implies f = a \circ (b \circ c)$
 ⟨proof⟩

lemma *self-bounded-weaken-left*: $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } a b \implies a \leq b$
 ⟨proof⟩

lemma *self-bounded-weaken-right*: $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } b a \implies a \leq b$
 ⟨proof⟩

lemma *symp-iff*: $\text{symp } R \longleftrightarrow R = R^{\hat{\ }-1}$
 ⟨proof⟩

lemma *equivp-inf*: $\llbracket \text{equivp } R; \text{equivp } S \rrbracket \implies \text{equivp } (\text{inf } R S)$
 ⟨proof⟩

lemma *vimage2p-rel-prod*:
 $(\lambda x y. \text{rel-prod } R S (\text{BNF-Def.convolve } f1 g1 x) (\text{BNF-Def.convolve } f2 g2 y)) =$
 $(\text{inf } (\text{BNF-Def.vimage2p } f1 f2 R) (\text{BNF-Def.vimage2p } g1 g2 S))$
 ⟨proof⟩

lemma *predicate2I-obj*: $(\forall x y. P x y \longrightarrow Q x y) \implies P \leq Q$
 ⟨proof⟩

lemma *predicate2D-obj*: $P \leq Q \implies P x y \longrightarrow Q x y$
 ⟨proof⟩

locale *cong* =

fixes *rel* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool})$

and *eval* :: $'b \Rightarrow 'a$

and *retr* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$

assumes *rel-mono*: $\bigwedge R S. R \leq S \implies \text{rel } R \leq \text{rel } S$

and *equivp-retr*: $\bigwedge R. \text{equivp } R \implies \text{equivp } (\text{retr } R)$

and *retr-eval*: $\bigwedge R x y. \llbracket (\text{rel-fun } (\text{rel } R) R) \text{ eval eval}; \text{rel } (\text{inf } R (\text{retr } R)) x y \rrbracket$

\implies

$\text{retr } R (\text{eval } x) (\text{eval } y)$

begin

definition *cong* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ **where**
 $\text{cong } R \equiv \text{equivp } R \wedge (\text{rel-fun } (\text{rel } R) R) \text{ eval eval}$

lemma *cong-retr*: $\text{cong } R \implies \text{cong } (\text{inf } R (\text{retr } R))$
 ⟨proof⟩

lemma *cong-equivp*: $\text{cong } R \implies \text{equivp } R$
 ⟨proof⟩

definition *gen-cong* :: $(\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool}) \Rightarrow \text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool}$ **where**
gen-cong $R \text{ j1 j2} \equiv \forall R'. R \leq R' \wedge \text{cong } R' \longrightarrow R' \text{ j1 j2}$

lemma *gen-cong-reflp*[*intro*, *simp*]: $x = y \implies \text{gen-cong } R \text{ x y}$
 ⟨proof⟩

lemma *gen-cong-symp*[*intro*]: $\text{gen-cong } R \text{ x y} \implies \text{gen-cong } R \text{ y x}$
 ⟨proof⟩

lemma *gen-cong-transp*[*intro*]: $\text{gen-cong } R \text{ x y} \implies \text{gen-cong } R \text{ y z} \implies \text{gen-cong } R \text{ x z}$
 ⟨proof⟩

lemma *equivp-gen-cong*: $\text{equivp } (\text{gen-cong } R)$
 ⟨proof⟩

lemma *leq-gen-cong*: $R \leq \text{gen-cong } R$
 ⟨proof⟩

lemmas *imp-gen-cong*[*intro*] = *predicate2D*[*OF* *leq-gen-cong*]

lemma *gen-cong-minimal*: $\llbracket R \leq R'; \text{cong } R' \rrbracket \implies \text{gen-cong } R \leq R'$
 ⟨proof⟩

lemma *congdd-base-gen-congdd-base-aux*:
 $\text{rel } (\text{gen-cong } R) \text{ x y} \implies R \leq R' \implies \text{cong } R' \implies R' (\text{eval } x) (\text{eval } y)$
 ⟨proof⟩

lemma *cong-gen-cong*: $\text{cong } (\text{gen-cong } R)$
 ⟨proof⟩

lemma *gen-cong-eval-rel-fun*:
 $(\text{rel-fun } (\text{rel } (\text{gen-cong } R)) (\text{gen-cong } R)) \text{ eval eval}$
 ⟨proof⟩

lemma *gen-cong-eval*:
 $\text{rel } (\text{gen-cong } R) \text{ x y} \implies \text{gen-cong } R (\text{eval } x) (\text{eval } y)$
 ⟨proof⟩

lemma *gen-cong-idem*: $\text{gen-cong } (\text{gen-cong } R) = \text{gen-cong } R$
 ⟨proof⟩

lemma *gen-cong-rho*:

$\varrho = \text{eval } o \ f \implies \text{rel } (\text{gen-cong } R) \ (f \ x) \ (f \ y) \implies \text{gen-cong } R \ (\varrho \ x) \ (\varrho \ y)$
 ⟨proof⟩

lemma *coinduction*:

assumes *coind*: $\forall R. R \leq \text{retr } R \longrightarrow R \leq \text{op} =$

assumes *cih*: $R \leq \text{retr } (\text{gen-cong } R)$

shows $R \leq \text{op} =$

⟨proof⟩

end

lemma *rel-sum-case-sum*:

$\text{rel-fun } (\text{rel-sum } R \ S) \ T \ (\text{case-sum } f1 \ g1) \ (\text{case-sum } f2 \ g2) = (\text{rel-fun } R \ T \ f1 \ f2)$
 $\wedge \text{rel-fun } S \ T \ g1 \ g2)$

⟨proof⟩

context

fixes *rel eval rel' eval' retr emb*

assumes *base*: *cong rel eval retr*

and *step*: *cong rel' eval' retr*

and *emb*: *eval' o emb = eval*

and *emb-transfer*: *rel-fun (rel R) (rel' R) emb emb*

begin

interpretation *base*: *cong rel eval retr* ⟨proof⟩

interpretation *step*: *cong rel' eval' retr* ⟨proof⟩

lemma *gen-cong-emb*: $\text{base.gen-cong } R \leq \text{step.gen-cong } R$

⟨proof⟩

end

⟨ML⟩

end

8 Boolean Algebras

theory *Boolean-Algebra*

imports *Main*

begin

locale *boolean* =

fixes *conj* :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \sqcap 70)

fixes *disj* :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \sqcup 65)

fixes *compl* :: $'a \Rightarrow 'a$ (\sim - [81] 80)

fixes *zero* :: $'a$ (**0**)

fixes *one* :: $'a$ (**1**)

assumes *conj-assoc*: $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$

assumes *disj-assoc*: $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$

assumes *conj-commute*: $x \sqcap y = y \sqcap x$
assumes *disj-commute*: $x \sqcup y = y \sqcup x$
assumes *conj-disj-distrib*: $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$
assumes *disj-conj-distrib*: $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$
assumes *conj-one-right* [*simp*]: $x \sqcap \mathbf{1} = x$
assumes *disj-zero-right* [*simp*]: $x \sqcup \mathbf{0} = x$
assumes *conj-cancel-right* [*simp*]: $x \sqcap \sim x = \mathbf{0}$
assumes *disj-cancel-right* [*simp*]: $x \sqcup \sim x = \mathbf{1}$
begin

sublocale *conj*: *abel-semigroup conj*
 ⟨*proof*⟩

sublocale *disj*: *abel-semigroup disj*
 ⟨*proof*⟩

lemmas *conj-left-commute* = *conj.left-commute*

lemmas *disj-left-commute* = *disj.left-commute*

lemmas *conj-ac* = *conj.assoc conj.commute conj.left-commute*

lemmas *disj-ac* = *disj.assoc disj.commute disj.left-commute*

lemma *dual*: *boolean disj conj compl one zero*
 ⟨*proof*⟩

8.1 Complement

lemma *complement-unique*:

assumes *1*: $a \sqcap x = \mathbf{0}$

assumes *2*: $a \sqcup x = \mathbf{1}$

assumes *3*: $a \sqcap y = \mathbf{0}$

assumes *4*: $a \sqcup y = \mathbf{1}$

shows $x = y$

⟨*proof*⟩

lemma *compl-unique*: $\llbracket x \sqcap y = \mathbf{0}; x \sqcup y = \mathbf{1} \rrbracket \implies \sim x = y$
 ⟨*proof*⟩

lemma *double-compl* [*simp*]: $\sim(\sim x) = x$
 ⟨*proof*⟩

lemma *compl-eq-compl-iff* [*simp*]: $(\sim x = \sim y) = (x = y)$
 ⟨*proof*⟩

8.2 Conjunction

lemma *conj-absorb* [*simp*]: $x \sqcap x = x$
 ⟨*proof*⟩

lemma *conj-zero-right* [*simp*]: $x \sqcap \mathbf{0} = \mathbf{0}$
 ⟨*proof*⟩

lemma *compl-one* [*simp*]: $\sim \mathbf{1} = \mathbf{0}$
 ⟨*proof*⟩

lemma *conj-zero-left* [*simp*]: $\mathbf{0} \sqcap x = \mathbf{0}$
 ⟨*proof*⟩

lemma *conj-one-left* [*simp*]: $\mathbf{1} \sqcap x = x$
 ⟨*proof*⟩

lemma *conj-cancel-left* [*simp*]: $\sim x \sqcap x = \mathbf{0}$
 ⟨*proof*⟩

lemma *conj-left-absorb* [*simp*]: $x \sqcap (x \sqcap y) = x \sqcap y$
 ⟨*proof*⟩

lemma *conj-disj-distrib2*:
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$
 ⟨*proof*⟩

lemmas *conj-disj-distrib* =
conj-disj-distrib conj-disj-distrib2

8.3 Disjunction

lemma *disj-absorb* [*simp*]: $x \sqcup x = x$
 ⟨*proof*⟩

lemma *disj-one-right* [*simp*]: $x \sqcup \mathbf{1} = \mathbf{1}$
 ⟨*proof*⟩

lemma *compl-zero* [*simp*]: $\sim \mathbf{0} = \mathbf{1}$
 ⟨*proof*⟩

lemma *disj-zero-left* [*simp*]: $\mathbf{0} \sqcup x = x$
 ⟨*proof*⟩

lemma *disj-one-left* [*simp*]: $\mathbf{1} \sqcup x = \mathbf{1}$
 ⟨*proof*⟩

lemma *disj-cancel-left* [*simp*]: $\sim x \sqcup x = \mathbf{1}$
 ⟨*proof*⟩

lemma *disj-left-absorb* [*simp*]: $x \sqcup (x \sqcup y) = x \sqcup y$
 ⟨*proof*⟩

lemma *disj-conj-distrib2*:

$(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$
 ⟨proof⟩

lemmas *disj-conj-distrib* =
disj-conj-distrib disj-conj-distrib2

8.4 De Morgan’s Laws

lemma *de-Morgan-conj* [*simp*]: $\sim (x \sqcap y) = \sim x \sqcup \sim y$
 ⟨proof⟩

lemma *de-Morgan-disj* [*simp*]: $\sim (x \sqcup y) = \sim x \sqcap \sim y$
 ⟨proof⟩

end

8.5 Symmetric Difference

locale *boolean-xor* = *boolean* +
fixes *xor* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \oplus 65)
assumes *xor-def*: $x \oplus y = (x \sqcap \sim y) \sqcup (\sim x \sqcap y)$
begin

sublocale *xor*: *abel-semigroup xor*
 ⟨proof⟩

lemmas *xor-assoc* = *xor.assoc*
lemmas *xor-commute* = *xor.commute*
lemmas *xor-left-commute* = *xor.left-commute*

lemmas *xor-ac* = *xor.assoc xor.commute xor.left-commute*

lemma *xor-def2*:
 $x \oplus y = (x \sqcup y) \sqcap (\sim x \sqcup \sim y)$
 ⟨proof⟩

lemma *xor-zero-right* [*simp*]: $x \oplus \mathbf{0} = x$
 ⟨proof⟩

lemma *xor-zero-left* [*simp*]: $\mathbf{0} \oplus x = x$
 ⟨proof⟩

lemma *xor-one-right* [*simp*]: $x \oplus \mathbf{1} = \sim x$
 ⟨proof⟩

lemma *xor-one-left* [*simp*]: $\mathbf{1} \oplus x = \sim x$
 ⟨proof⟩

lemma *xor-self* [*simp*]: $x \oplus x = \mathbf{0}$
 ⟨proof⟩

lemma *xor-left-self* [*simp*]: $x \oplus (x \oplus y) = y$
 ⟨*proof*⟩

lemma *xor-compl-left* [*simp*]: $\sim x \oplus y = \sim (x \oplus y)$
 ⟨*proof*⟩

lemma *xor-compl-right* [*simp*]: $x \oplus \sim y = \sim (x \oplus y)$
 ⟨*proof*⟩

lemma *xor-cancel-right*: $x \oplus \sim x = \mathbf{1}$
 ⟨*proof*⟩

lemma *xor-cancel-left*: $\sim x \oplus x = \mathbf{1}$
 ⟨*proof*⟩

lemma *conj-xor-distrib*: $x \sqcap (y \oplus z) = (x \sqcap y) \oplus (x \sqcap z)$
 ⟨*proof*⟩

lemma *conj-xor-distrib2*: $(y \oplus z) \sqcap x = (y \sqcap x) \oplus (z \sqcap x)$
 ⟨*proof*⟩

lemmas *conj-xor-distribs* = *conj-xor-distrib conj-xor-distrib2*

end

end

9 The Bourbaki-Witt tower construction for transfinite iteration

theory *Bourbaki-Witt-Fixpoint* **imports** *Main* **begin**

lemma *ChainsI* [*intro?*]:
 $(\bigwedge a b. \llbracket a \in Y; b \in Y \rrbracket \implies (a, b) \in r \vee (b, a) \in r) \implies Y \in \text{Chains } r$
 ⟨*proof*⟩

lemma *in-Chains-subset*: $\llbracket M \in \text{Chains } r; M' \subseteq M \rrbracket \implies M' \in \text{Chains } r$
 ⟨*proof*⟩

lemma *FieldI1*: $(i, j) \in R \implies i \in \text{Field } R$
 ⟨*proof*⟩

lemma *Chains-FieldD*: $\llbracket M \in \text{Chains } r; x \in M \rrbracket \implies x \in \text{Field } r$
 ⟨*proof*⟩

lemma *in-Chains-conv-chain*: $M \in \text{Chains } r \iff \text{Complete-Partial-Order.chain } (\lambda x y. (x, y) \in r) M$

<proof>

lemma *partial-order-on-trans*:

$\llbracket \text{partial-order-on } A \text{ } r; (x, y) \in r; (y, z) \in r \rrbracket \implies (x, z) \in r$
<proof>

locale *bourbaki-witt-fixpoint* =

fixes *lub* :: 'a set \Rightarrow 'a
and *leq* :: ('a \times 'a) set
and *f* :: 'a \Rightarrow 'a
assumes *po*: *Partial-order leq*
and *lub-least*: $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies (x, z) \in leq \rrbracket \implies$
(lub M, z) \in leq
and *lub-upper*: $\llbracket M \in \text{Chains } leq; x \in M \rrbracket \implies (x, \text{lub } M) \in leq$
and *lub-in-Field*: $\llbracket M \in \text{Chains } leq; M \neq \{\} \rrbracket \implies \text{lub } M \in \text{Field } leq$
and *increasing*: $\bigwedge x. x \in \text{Field } leq \implies (x, f x) \in leq$

begin

lemma *leq-trans*: $\llbracket (x, y) \in leq; (y, z) \in leq \rrbracket \implies (x, z) \in leq$
<proof>

lemma *leq-refl*: $x \in \text{Field } leq \implies (x, x) \in leq$
<proof>

lemma *leq-antisym*: $\llbracket (x, y) \in leq; (y, x) \in leq \rrbracket \implies x = y$
<proof>

inductive-set *iterates-above* :: 'a \Rightarrow 'a set

for *a*

where

base: $a \in \text{iterates-above } a$
| *step*: $x \in \text{iterates-above } a \implies f x \in \text{iterates-above } a$
| *Sup*: $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies x \in \text{iterates-above } a \rrbracket \implies \text{lub } M \in \text{iterates-above } a$

definition *fixp-above* :: 'a \Rightarrow 'a

where *fixp-above* *a* = (if $a \in \text{Field } leq$ then $\text{lub } (\text{iterates-above } a)$ else *a*)

lemma *fixp-above-outside*: $a \notin \text{Field } leq \implies \text{fixp-above } a = a$
<proof>

lemma *fixp-above-inside*: $a \in \text{Field } leq \implies \text{fixp-above } a = \text{lub } (\text{iterates-above } a)$
<proof>

context

notes *leq-refl* [*intro!*, *simp*]

and *base* [*intro*]

and *step* [*intro*]

and *Sup* [*intro*]

and *leq-trans* [*trans*]
begin

lemma *iterates-above-le-f*: $\llbracket x \in \text{iterates-above } a; a \in \text{Field } \text{leq} \rrbracket \implies (x, f x) \in \text{leq}$
 <proof>

lemma *iterates-above-Field*: $\llbracket x \in \text{iterates-above } a; a \in \text{Field } \text{leq} \rrbracket \implies x \in \text{Field } \text{leq}$
 <proof>

lemma *iterates-above-ge*:
assumes *y*: $y \in \text{iterates-above } a$
and *a*: $a \in \text{Field } \text{leq}$
shows $(a, y) \in \text{leq}$
 <proof>

lemma *iterates-above-lub*:
assumes *M*: $M \in \text{Chains } \text{leq}$
and *nempty*: $M \neq \{\}$
and *upper*: $\bigwedge y. y \in M \implies \exists z \in M. (y, z) \in \text{leq} \wedge z \in \text{iterates-above } a$
shows $\text{lub } M \in \text{iterates-above } a$
 <proof>

lemma *iterates-above-successor*:
assumes *y*: $y \in \text{iterates-above } a$
and *a*: $a \in \text{Field } \text{leq}$
shows $y = a \vee y \in \text{iterates-above } (f a)$
 <proof>

lemma *iterates-above-Sup-aux*:
assumes *M*: $M \in \text{Chains } \text{leq}$ $M \neq \{\}$
and *M'*: $M' \in \text{Chains } \text{leq}$ $M' \neq \{\}$
and *comp*: $\bigwedge x. x \in M \implies x \in \text{iterates-above } (\text{lub } M') \vee \text{lub } M' \in \text{iterates-above } x$
shows $(\text{lub } M, \text{lub } M') \in \text{leq} \vee \text{lub } M \in \text{iterates-above } (\text{lub } M')$
 <proof>

lemma *iterates-above-triangle*:
assumes *x*: $x \in \text{iterates-above } a$
and *y*: $y \in \text{iterates-above } a$
and *a*: $a \in \text{Field } \text{leq}$
shows $x \in \text{iterates-above } y \vee y \in \text{iterates-above } x$
 <proof>

lemma *chain-iterates-above*:
assumes *a*: $a \in \text{Field } \text{leq}$
shows $\text{iterates-above } a \in \text{Chains } \text{leq}$ (**is** ?*C* ∈ -)
 <proof>

lemma *fixp-iterates-above*: $\text{fixp-above } a \in \text{iterates-above } a$
 ⟨*proof*⟩

lemma *fixp-above-Field*: $a \in \text{Field } \text{leq} \implies \text{fixp-above } a \in \text{Field } \text{leq}$
 ⟨*proof*⟩

lemma *fixp-above-unfold*:
assumes $a: a \in \text{Field } \text{leq}$
shows $\text{fixp-above } a = f (\text{fixp-above } a)$ (**is** $?a = f ?a$)
 ⟨*proof*⟩

end

lemma *fixp-induct* [*case-names adm base step*]:
assumes $\text{adm}: \text{ccpo.admissible } \text{lub } (\lambda x y. (x, y) \in \text{leq}) P$
and $\text{base}: P a$
and $\text{step}: \bigwedge x. P x \implies P (f x)$
shows $P (\text{fixp-above } a)$
 ⟨*proof*⟩

end

end

10 Order on characters

theory *Char-ord*
imports *Main*
begin

instantiation $\text{char} :: \text{linorder}$
begin

definition $c1 \leq c2 \longleftrightarrow \text{nat-of-char } c1 \leq \text{nat-of-char } c2$

definition $c1 < c2 \longleftrightarrow \text{nat-of-char } c1 < \text{nat-of-char } c2$

instance
 ⟨*proof*⟩

end

lemma *less-eq-char-simps*:
 $(0 :: \text{char}) \leq c$
 $\text{Char } k \leq 0 \longleftrightarrow \text{numeral } k \text{ mod } 256 = (0 :: \text{nat})$
 $\text{Char } k \leq \text{Char } l \longleftrightarrow \text{numeral } k \text{ mod } 256 \leq (\text{numeral } l \text{ mod } 256 :: \text{nat})$
 ⟨*proof*⟩

lemma *less-char-simps*:

```

  ¬ c < (0 :: char)
  0 < Char k ↔ (0 :: nat) < numeral k mod 256
  Char k < Char l ↔ numeral k mod 256 < (numeral l mod 256 :: nat)
  ⟨proof⟩

instantiation char :: distrib-lattice
begin

definition (inf :: char ⇒ -) = min
definition (sup :: char ⇒ -) = max

instance
  ⟨proof⟩

end

instantiation String.literal :: linorder
begin

context includes literal.lifting begin
lift-definition less-literal :: String.literal ⇒ String.literal ⇒ bool is ord.lexordp
  op < ⟨proof⟩
lift-definition less-eq-literal :: String.literal ⇒ String.literal ⇒ bool is ord.lexordp-eq
  op < ⟨proof⟩

instance
  ⟨proof⟩

end
end

lemma less-literal-code [code]:
  op < = (λxs ys. ord.lexordp op < (String.explode xs) (String.explode ys))
  ⟨proof⟩

lemma less-eq-literal-code [code]:
  op ≤ = (λxs ys. ord.lexordp-eq op < (String.explode xs) (String.explode ys))
  ⟨proof⟩

lifting-update literal.lifting
lifting-forget literal.lifting

end

theory Code-Test
imports Main
keywords test-code :: diag
begin

```

10.1 YXML encoding for *term*

datatype (*plugins del: code size quickcheck*) *yxml-of-term* = *YXML*

lemma *yot-anything*: $x = (y :: \textit{yxml-of-term})$
 ⟨*proof*⟩

definition *yot-empty* :: *yxml-of-term* **where** [*code del*]: *yot-empty* = *YXML*

definition *yot-literal* :: *String.literal* \Rightarrow *yxml-of-term*

where [*code del*]: *yot-literal* - = *YXML*

definition *yot-append* :: *yxml-of-term* \Rightarrow *yxml-of-term* \Rightarrow *yxml-of-term*

where [*code del*]: *yot-append* - - = *YXML*

definition *yot-concat* :: *yxml-of-term list* \Rightarrow *yxml-of-term*

where [*code del*]: *yot-concat* - = *YXML*

Serialise *yxml-of-term* to native string of target language

code-printing type-constructor *yxml-of-term*

→ (*SML*) *string*

and (*OCaml*) *string*

and (*Haskell*) *String*

and (*Scala*) *String*

| **constant** *yot-empty*

→ (*SML*)

and (*OCaml*)

and (*Haskell*)

and (*Scala*)

| **constant** *yot-literal*

→ (*SML*) -

and (*OCaml*) -

and (*Haskell*) -

and (*Scala*) -

| **constant** *yot-append*

→ (*SML*) *String.concat* [(-), (-)]

and (*OCaml*) *String.concat* [(-); (-)]

and (*Haskell*) **infixr** 5 ++

and (*Scala*) **infixl** 5 +

| **constant** *yot-concat*

→ (*SML*) *String.concat*

and (*OCaml*) *String.concat*

and (*Haskell*) *Prelude.concat*

and (*Scala*) *.mkString()*

Stripped-down implementations of Isabelle’s XML tree with YXML encoding as defined in `~/src/Pure/PIDE/xml.ML`, `~/src/Pure/PIDE/yxml.ML` sufficient to encode *term* as in `~/src/Pure/term_xml.ML`.

datatype (*plugins del: code size quickcheck*) *xml-tree* = *XML-Tree*

lemma *xml-tree-anything*: $x = (y :: \textit{xml-tree})$
 ⟨*proof*⟩

context begin

$\langle ML \rangle$

type-synonym *attributes* = (*String.literal* × *String.literal*) *list*

type-synonym *body* = *xml-tree list*

definition *Elem* :: *String.literal* ⇒ *attributes* ⇒ *xml-tree list* ⇒ *xml-tree*

where [code del]: *Elem* - - - = *XML-Tree*

definition *Text* :: *String.literal* ⇒ *xml-tree*

where [code del]: *Text* - = *XML-Tree*

definition *node* :: *xml-tree list* ⇒ *xml-tree*

where *node* *ts* = *Elem* (*STR* "'") [] *ts*

definition *tagged* :: *String.literal* ⇒ *String.literal option* ⇒ *xml-tree list* ⇒ *xml-tree*

where *tagged* *tag* *x* *ts* = *Elem* *tag* (case *x* of *None* ⇒ [] | *Some* *x'* ⇒ [(*STR* "'0'", *x'*)] *ts*)

definition *list* **where** *list* *f* *xs* = *map* (*node* ∘ *f*) *xs*

definition *X* :: *yaml-of-term* **where** *X* = *yot-literal* (*STR* [*Char* (*num.Bit1* (*num.Bit0* *num.One*))])

definition *Y* :: *yaml-of-term* **where** *Y* = *yot-literal* (*STR* [*Char* (*num.Bit0* (*num.Bit1* *num.One*))])

definition *XY* :: *yaml-of-term* **where** *XY* = *yot-append* *X* *Y*

definition *XYX* :: *yaml-of-term* **where** *XYX* = *yot-append* *XY* *X*

end

code-datatype *xml.Elem* *xml.Text*

definition *yaml-string-of-xml-tree* :: *xml-tree* ⇒ *yaml-of-term* ⇒ *yaml-of-term*

where [code del]: *yaml-string-of-xml-tree* - - = *YXML*

lemma *yaml-string-of-xml-tree-code* [code]:

yaml-string-of-xml-tree (*xml.Elem* *name* *atts* *ts*) *rest* =

yot-append *xml.XY* (

yot-append (*yot-literal* *name*) (

foldr ($\lambda(a, x)$ *rest*.

yot-append *xml.Y* (

yot-append (*yot-literal* *a*) (

yot-append (*yot-literal* (*STR* "'='')) (

yot-append (*yot-literal* *x* *rest*)))) *atts* (

foldr *yaml-string-of-xml-tree* *ts* (

yot-append *xml.XYX* *rest*))))

yaml-string-of-xml-tree (*xml.Text* *s*) *rest* = *yot-append* (*yot-literal* *s*) *rest*

\langle *proof* \rangle

definition *yaml-string-of-body* :: *xml.body* \Rightarrow *yaml-of-term*
where *yaml-string-of-body* *ts* = *foldr yaml-string-of-xml-tree ts yot-empty*

Encoding *term* into XML trees as defined in `~/src/Pure/term_xml.ML`

definition *xml-of-ty* :: *Typerep.typerep* \Rightarrow *xml.body*
where [*code del*]: *xml-of-ty* - = [*XML-Tree*]

definition *xml-of-term* :: *Code-Evaluation.term* \Rightarrow *xml.body*
where [*code del*]: *xml-of-term* - = [*XML-Tree*]

lemma *xml-of-ty-code* [*code*]:
xml-of-ty (*typerep.Typeprep t args*) = [*xml.tagged* (*STR "0"*) (*Some t*) (*xml.list xml-of-ty args*)]
 \langle *proof* \rangle

lemma *xml-of-term-code* [*code*]:
xml-of-term (*Code-Evaluation.Const x ty*) = [*xml.tagged* (*STR "0"*) (*Some x*) (*xml-of-ty ty*)]
xml-of-term (*Code-Evaluation.App t1 t2*) = [*xml.tagged* (*STR "5"*) *None* [*xml.node* (*xml-of-term t1*), *xml.node* (*xml-of-term t2*)]]
xml-of-term (*Code-Evaluation.Abs x ty t*) = [*xml.tagged* (*STR "4"*) (*Some x*) [*xml.node* (*xml-of-ty ty*), *xml.node* (*xml-of-term t*)]]
— **FIXME**: *Code-Evaluation.Free* is used only in *Quickcheck-Narrowing* to represent uninstantiated parameters in constructors. Here, we always translate them to **Free** variables.
xml-of-term (*Code-Evaluation.Free x ty*) = [*xml.tagged* (*STR "1"*) (*Some x*) (*xml-of-ty ty*)]
 \langle *proof* \rangle

definition *yaml-string-of-term* :: *Code-Evaluation.term* \Rightarrow *yaml-of-term*
where *yaml-string-of-term* = *yaml-string-of-body* \circ *xml-of-term*

10.2 Test engine and drivers

\langle *ML* \rangle

end

11 Old Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

theory *Old-Datatype*
imports *../Main*
keywords *old-datatype* :: *thy-decl*
begin

\langle *ML* \rangle

11.1 The datatype universe

definition $Node = \{p. EX f x k. p = (f :: nat \Rightarrow 'b + nat, x :: 'a + nat) \& f k = Inr 0\}$

typedef $('a, 'b) node = Node :: ((nat \Rightarrow 'b + nat) * ('a + nat)) set$
morphisms $Rep-Node Abs-Node$
 $\langle proof \rangle$

Datatypes will be represented by sets of type $node$

type-synonym $'a item = ('a, unit) node set$

type-synonym $('a, 'b) dtree = ('a, 'b) node set$

definition $Push :: [('b + nat), nat \Rightarrow ('b + nat)] \Rightarrow (nat \Rightarrow ('b + nat))$

where $Push == (\%b h. case-nat b h)$

definition $Push-Node :: [('b + nat), ('a, 'b) node] \Rightarrow ('a, 'b) node$

where $Push-Node == (\%n x. Abs-Node (apfst (Push n) (Rep-Node x)))$

definition $Atom :: ('a + nat) \Rightarrow ('a, 'b) dtree$

where $Atom == (\%x. \{Abs-Node((\%k. Inr 0, x))\})$

definition $Scons :: [('a, 'b) dtree, ('a, 'b) dtree] \Rightarrow ('a, 'b) dtree$

where $Scons M N == (Push-Node (Inr 1) ' M) Un (Push-Node (Inr (Suc 1)) ' N)$

definition $Leaf :: 'a \Rightarrow ('a, 'b) dtree$

where $Leaf == Atom o Inl$

definition $Numb :: nat \Rightarrow ('a, 'b) dtree$

where $Numb == Atom o Inr$

definition $In0 :: ('a, 'b) dtree \Rightarrow ('a, 'b) dtree$

where $In0(M) == Scons (Numb 0) M$

definition $In1 :: ('a, 'b) dtree \Rightarrow ('a, 'b) dtree$

where $In1(M) == Scons (Numb 1) M$

definition $Lim :: ('b \Rightarrow ('a, 'b) dtree) \Rightarrow ('a, 'b) dtree$

where $Lim f == \bigcup \{z. ? x. z = Push-Node (Inl x) ' (f x)\}$

definition $ndepth :: ('a, 'b) node \Rightarrow nat$

where $ndepth(n) == (\%(f,x). LEAST k. f k = Inr 0) (Rep-Node n)$

definition $ntrunc :: [nat, ('a, 'b) dtree] \Rightarrow ('a, 'b) dtree$

where $ntrunc\ k\ N == \{n. n:N \ \&\ ndepth(n) < k\}$

definition $uprod :: [('a, 'b)\ dtree\ set, ('a, 'b)\ dtree\ set] ==> ('a, 'b)\ dtree\ set$
where $uprod\ A\ B == UN\ x:A.\ UN\ y:B.\ \{Scons\ x\ y\}$

definition $usum :: [('a, 'b)\ dtree\ set, ('a, 'b)\ dtree\ set] ==> ('a, 'b)\ dtree\ set$
where $usum\ A\ B == In0'A\ Un\ In1'B$

definition $Split :: [(['a, 'b)\ dtree, ('a, 'b)\ dtree] ==> 'c, ('a, 'b)\ dtree] ==> 'c$
where $Split\ c\ M == THE\ u.\ EX\ x\ y.\ M = Scons\ x\ y \ \&\ u = c\ x\ y$

definition $Case :: [(['a, 'b)\ dtree] ==> 'c, [(['a, 'b)\ dtree] ==> 'c, ('a, 'b)\ dtree] ==> 'c$
where $Case\ c\ d\ M == THE\ u.\ (EX\ x.\ M = In0(x) \ \&\ u = c(x)) \ | \ (EX\ y.\ M = In1(y) \ \&\ u = d(y))$

definition $dprod :: [(['a, 'b)\ dtree * ('a, 'b)\ dtree) set, (('a, 'b)\ dtree * ('a, 'b)\ dtree) set]$
 $==> (('a, 'b)\ dtree * ('a, 'b)\ dtree) set$
where $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

definition $dsum :: [(['a, 'b)\ dtree * ('a, 'b)\ dtree) set, (('a, 'b)\ dtree * ('a, 'b)\ dtree) set]$
 $==> (('a, 'b)\ dtree * ('a, 'b)\ dtree) set$
where $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x), In0(x'))\})\ Un\ (UN\ (y,y'):s.\ \{(In1(y), In1(y'))\})$

lemma $apfst\ convE$:

$[[q = apfst\ f\ p; \ !!x\ y.\ [p = (x,y); \ q = (f(x),y)]] ==> R$
 $[] ==> R$
 $\langle proof \rangle$

lemma $Push\ inject1$: $Push\ i\ f = Push\ j\ g ==> i=j$
 $\langle proof \rangle$

lemma $Push\ inject2$: $Push\ i\ f = Push\ j\ g ==> f=g$
 $\langle proof \rangle$

lemma $Push\ inject$:

$[[Push\ i\ f = Push\ j\ g; \ [i=j; \ f=g]] ==> P] ==> P$
 $\langle proof \rangle$

lemma $Push\ neq\ K0$: $Push\ (Inr\ (Suc\ k))\ f = (%z.\ Inr\ 0) ==> P$
 $\langle proof \rangle$

lemmas *Abs-Node-inj* = *Abs-Node-inject* [THEN [2] *rev-iffD1*]

lemma *Node-K0-I*: (%k. Inr 0, a) : Node
 <proof>

lemma *Node-Push-I*: p : Node ==> apfst (Push i) p : Node
 <proof>

11.2 Freeness: Distinctness of Constructors

lemma *Scons-not-Atom* [iff]: Scons M N ≠ Atom(a)
 <proof>

lemmas *Atom-not-Scons* [iff] = *Scons-not-Atom* [THEN *not-sym*]

lemma *inj-Atom*: inj(Atom)
 <proof>

lemmas *Atom-inject* = *inj-Atom* [THEN *injD*]

lemma *Atom-Atom-eq* [iff]: (Atom(a)=Atom(b)) = (a=b)
 <proof>

lemma *inj-Leaf*: inj(Leaf)
 <proof>

lemmas *Leaf-inject* [dest!] = *inj-Leaf* [THEN *injD*]

lemma *inj-Numb*: inj(Numb)
 <proof>

lemmas *Numb-inject* [dest!] = *inj-Numb* [THEN *injD*]

lemma *Push-Node-inject*:

[[Push-Node i m =Push-Node j n; [[i=j; m=n]] ==> P
]] ==> P
 <proof>

lemma *Scons-inject-lemma1*: $Scons\ M\ N\ <= \ Scons\ M'\ N' \implies M <= M'$
 ⟨proof⟩

lemma *Scons-inject-lemma2*: $Scons\ M\ N\ <= \ Scons\ M'\ N' \implies N <= N'$
 ⟨proof⟩

lemma *Scons-inject1*: $Scons\ M\ N = Scons\ M'\ N' \implies M = M'$
 ⟨proof⟩

lemma *Scons-inject2*: $Scons\ M\ N = Scons\ M'\ N' \implies N = N'$
 ⟨proof⟩

lemma *Scons-inject*:
 $[[\ Scons\ M\ N = Scons\ M'\ N';\ [\ M = M';\ N = N' \] \implies P \] \implies P$
 ⟨proof⟩

lemma *Scons-Scons-eq* [iff]: $(Scons\ M\ N = Scons\ M'\ N') = (M = M' \ \& \ N = N')$
 ⟨proof⟩

lemma *Scons-not-Leaf* [iff]: $Scons\ M\ N \neq Leaf(a)$
 ⟨proof⟩

lemmas *Leaf-not-Scons* [iff] = *Scons-not-Leaf* [THEN not-sym]

lemma *Scons-not-Numb* [iff]: $Scons\ M\ N \neq Numb(k)$
 ⟨proof⟩

lemmas *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN not-sym]

lemma *Leaf-not-Numb* [iff]: $Leaf(a) \neq Numb(k)$
 ⟨proof⟩

lemmas *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN not-sym]

lemma *ndepth-K0*: $ndepth (Abs-Node(\%k. Inr\ 0, x)) = 0$
 ⟨proof⟩

lemma *ndepth-Push-Node-aux*:
 $case-nat (Inr (Suc\ i))\ f\ k = Inr\ 0 \dashrightarrow Suc(LEAST\ x.\ f\ x = Inr\ 0) \leq k$
 ⟨proof⟩

lemma *ndepth-Push-Node*:
 $ndepth (Push-Node (Inr (Suc\ i))\ n) = Suc(ndepth(n))$
 ⟨proof⟩

lemma *ntrunc-0* [simp]: $ntrunc\ 0\ M = \{\}$
 ⟨proof⟩

lemma *ntrunc-Atom* [simp]: $ntrunc (Suc\ k) (Atom\ a) = Atom(a)$
 ⟨proof⟩

lemma *ntrunc-Leaf* [simp]: $ntrunc (Suc\ k) (Leaf\ a) = Leaf(a)$
 ⟨proof⟩

lemma *ntrunc-Numb* [simp]: $ntrunc (Suc\ k) (Numb\ i) = Numb(i)$
 ⟨proof⟩

lemma *ntrunc-Scons* [simp]:
 $ntrunc (Suc\ k) (Scons\ M\ N) = Scons (ntrunc\ k\ M) (ntrunc\ k\ N)$
 ⟨proof⟩

lemma *ntrunc-one-In0* [simp]: $ntrunc (Suc\ 0) (In0\ M) = \{\}$
 ⟨proof⟩

lemma *ntrunc-In0* [simp]: $ntrunc (Suc(Suc\ k)) (In0\ M) = In0 (ntrunc (Suc\ k)\ M)$
 ⟨proof⟩

lemma *ntrunc-one-In1* [simp]: $ntrunc (Suc\ 0) (In1\ M) = \{\}$
 ⟨proof⟩

lemma *ntrunc-In1* [simp]: $ntrunc (Suc(Suc\ k)) (In1\ M) = In1 (ntrunc (Suc\ k)\ M)$
 ⟨proof⟩

11.3 Set Constructions

lemma *uprodI* [*intro!*]: $[[M:A; N:B]] ==> Scons\ M\ N : uprod\ A\ B$
<proof>

lemma *uprodE* [*elim!*]:
 $[[c : uprod\ A\ B;$
 $!!x\ y. [[x:A; y:B; c = Scons\ x\ y]] ==> P$
 $]] ==> P$
<proof>

lemma *uprodE2*: $[[Scons\ M\ N : uprod\ A\ B; [[M:A; N:B]] ==> P]] ==> P$
<proof>

lemma *usum-In0I* [*intro*]: $M:A ==> In0(M) : usum\ A\ B$
<proof>

lemma *usum-In1I* [*intro*]: $N:B ==> In1(N) : usum\ A\ B$
<proof>

lemma *usumE* [*elim!*]:
 $[[u : usum\ A\ B;$
 $!!x. [[x:A; u=In0(x)]] ==> P;$
 $!!y. [[y:B; u=In1(y)]] ==> P$
 $]] ==> P$
<proof>

lemma *In0-not-In1* [*iff*]: $In0(M) \neq In1(N)$
<proof>

lemmas *In1-not-In0* [*iff*] = *In0-not-In1* [*THEN not-sym*]

lemma *In0-inject*: $In0(M) = In0(N) ==> M=N$
<proof>

lemma *In1-inject*: $In1(M) = In1(N) ==> M=N$
<proof>

lemma *In0-eq* [*iff*]: $(In0\ M = In0\ N) = (M=N)$
<proof>

lemma *In1-eq [iff]*: $(In1\ M = In1\ N) = (M=N)$
 $\langle proof \rangle$

lemma *inj-In0*: $inj\ In0$
 $\langle proof \rangle$

lemma *inj-In1*: $inj\ In1$
 $\langle proof \rangle$

lemma *Lim-inject*: $Lim\ f = Lim\ g \implies f = g$
 $\langle proof \rangle$

lemma *ntrunc-subsetI*: $ntrunc\ k\ M \leq M$
 $\langle proof \rangle$

lemma *ntrunc-subsetD*: $(!!k. ntrunc\ k\ M \leq N) \implies M \leq N$
 $\langle proof \rangle$

lemma *ntrunc-equality*: $(!!k. ntrunc\ k\ M = ntrunc\ k\ N) \implies M=N$
 $\langle proof \rangle$

lemma *ntrunc-o-equality*:
 $\llbracket !!k. (ntrunc(k)\ o\ h1) = (ntrunc(k)\ o\ h2) \rrbracket \implies h1=h2$
 $\langle proof \rangle$

lemma *uprod-mono*: $\llbracket A \leq A';\ B \leq B' \rrbracket \implies uprod\ A\ B \leq uprod\ A'\ B'$
 $\langle proof \rangle$

lemma *usum-mono*: $\llbracket A \leq A';\ B \leq B' \rrbracket \implies usum\ A\ B \leq usum\ A'\ B'$
 $\langle proof \rangle$

lemma *Scons-mono*: $\llbracket M \leq M';\ N \leq N' \rrbracket \implies Scons\ M\ N \leq Scons\ M'\ N'$
 $\langle proof \rangle$

lemma *In0-mono*: $M \leq N \implies In0(M) \leq In0(N)$
 $\langle proof \rangle$

lemma *In1-mono*: $M \leq N \implies In1(M) \leq In1(N)$
 $\langle proof \rangle$

lemma *Split* [*simp*]: $\text{Split } c \text{ (Scons } M \ N) = c \ M \ N$
 ⟨*proof*⟩

lemma *Case-In0* [*simp*]: $\text{Case } c \ d \ (\text{In0 } M) = c(M)$
 ⟨*proof*⟩

lemma *Case-In1* [*simp*]: $\text{Case } c \ d \ (\text{In1 } N) = d(N)$
 ⟨*proof*⟩

lemma *ntrunc-UN1*: $\text{ntrunc } k \ (\text{UN } x. \ f(x)) = (\text{UN } x. \ \text{ntrunc } k \ (f \ x))$
 ⟨*proof*⟩

lemma *Scons-UN1-x*: $\text{Scons } (\text{UN } x. \ f \ x) \ M = (\text{UN } x. \ \text{Scons } (f \ x) \ M)$
 ⟨*proof*⟩

lemma *Scons-UN1-y*: $\text{Scons } M \ (\text{UN } x. \ f \ x) = (\text{UN } x. \ \text{Scons } M \ (f \ x))$
 ⟨*proof*⟩

lemma *In0-UN1*: $\text{In0}(\text{UN } x. \ f(x)) = (\text{UN } x. \ \text{In0}(f(x)))$
 ⟨*proof*⟩

lemma *In1-UN1*: $\text{In1}(\text{UN } x. \ f(x)) = (\text{UN } x. \ \text{In1}(f(x)))$
 ⟨*proof*⟩

lemma *dprodI* [*intro!*]:
 $\llbracket (M, M'):r; (N, N'):s \rrbracket \implies (\text{Scons } M \ N, \ \text{Scons } M' \ N') : \text{dprod } r \ s$
 ⟨*proof*⟩

lemma *dprodE* [*elim!*]:
 $\llbracket c : \text{dprod } r \ s; \ \text{!!}x \ y \ x' \ y'. \ \llbracket (x, x') : r; (y, y') : s; \ c = (\text{Scons } x \ y, \ \text{Scons } x' \ y') \rrbracket \implies P$
 $\llbracket \rrbracket \implies P$
 ⟨*proof*⟩

lemma *dsum-In0I* [*intro*]: $(M, M'): r ==> (In0(M), In0(M')) : dsum\ r\ s$
 ⟨*proof*⟩

lemma *dsum-In1I* [*intro*]: $(N, N'): s ==> (In1(N), In1(N')) : dsum\ r\ s$
 ⟨*proof*⟩

lemma *dsumE* [*elim!*]:
 [| $w : dsum\ r\ s$;
 $!!x\ x'. [| (x, x') : r; w = (In0(x), In0(x')) |] ==> P$;
 $!!y\ y'. [| (y, y') : s; w = (In1(y), In1(y')) |] ==> P$
 |] ==> P
 ⟨*proof*⟩

lemma *dprod-mono*: [| $r <= r'$; $s <= s'$ |] ==> $dprod\ r\ s <= dprod\ r'\ s'$
 ⟨*proof*⟩

lemma *dsum-mono*: [| $r <= r'$; $s <= s'$ |] ==> $dsum\ r\ s <= dsum\ r'\ s'$
 ⟨*proof*⟩

lemma *dprod-Sigma*: $(dprod\ (A \times B)\ (C \times D)) <= (uprod\ A\ C) \times (uprod\ B\ D)$
 ⟨*proof*⟩

lemmas *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma*]

lemma *dprod-subset-Sigma2*:
 $(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) <= Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod\ (B\ x)\ (D\ y)))$
 ⟨*proof*⟩

lemma *dsum-Sigma*: $(dsum\ (A \times B)\ (C \times D)) <= (usum\ A\ C) \times (usum\ B\ D)$
 ⟨*proof*⟩

lemmas *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma*]

lemma *Domain-dprod* [*simp*]: $Domain\ (dprod\ r\ s) = uprod\ (Domain\ r)\ (Domain\ s)$
 ⟨*proof*⟩

lemma *Domain-dsum* [simp]: $\text{Domain } (dsum\ r\ s) = usum\ (\text{Domain } r)\ (\text{Domain } s)$

<proof>

hides popular names

hide-type (**open**) *node item*

hide-const (**open**) *Push Node Atom Leaf Numb Lim Split Case*

<ML>

end

12 Bijections between natural numbers and other types

theory *Nat-Bijection*

imports *Main*

begin

12.1 Type $\text{nat} \times \text{nat}$

Triangle numbers: 0, 1, 3, 6, 10, 15, ...

definition *triangle* :: $\text{nat} \Rightarrow \text{nat}$

where $\text{triangle } n = (n * \text{Suc } n) \text{ div } 2$

lemma *triangle-0* [simp]: $\text{triangle } 0 = 0$

<proof>

lemma *triangle-Suc* [simp]: $\text{triangle } (\text{Suc } n) = \text{triangle } n + \text{Suc } n$

<proof>

definition *prod-encode* :: $\text{nat} \times \text{nat} \Rightarrow \text{nat}$

where $\text{prod-encode} = (\lambda(m, n). \text{triangle } (m + n) + m)$

In this auxiliary function, $\text{triangle } k + m$ is an invariant.

fun *prod-decode-aux* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat}$

where

$\text{prod-decode-aux } k\ m =$

$(\text{if } m \leq k \text{ then } (m, k - m) \text{ else } \text{prod-decode-aux } (\text{Suc } k)\ (m - \text{Suc } k))$

declare *prod-decode-aux.simps* [simp del]

definition *prod-decode* :: $\text{nat} \Rightarrow \text{nat} \times \text{nat}$

where $\text{prod-decode} = \text{prod-decode-aux } 0$

lemma *prod-encode-prod-decode-aux*:

$\text{prod-encode } (\text{prod-decode-aux } k\ m) = \text{triangle } k + m$

<proof>

lemma *prod-decode-inverse* [simp]: *prod-encode* (*prod-decode* *n*) = *n*
 ⟨*proof*⟩

lemma *prod-decode-triangle-add*: *prod-decode* (*triangle* *k* + *m*) = *prod-decode-aux*
k m
 ⟨*proof*⟩

lemma *prod-encode-inverse* [simp]: *prod-decode* (*prod-encode* *x*) = *x*
 ⟨*proof*⟩

lemma *inj-prod-encode*: *inj-on prod-encode A*
 ⟨*proof*⟩

lemma *inj-prod-decode*: *inj-on prod-decode A*
 ⟨*proof*⟩

lemma *surj-prod-encode*: *surj prod-encode*
 ⟨*proof*⟩

lemma *surj-prod-decode*: *surj prod-decode*
 ⟨*proof*⟩

lemma *bij-prod-encode*: *bij prod-encode*
 ⟨*proof*⟩

lemma *bij-prod-decode*: *bij prod-decode*
 ⟨*proof*⟩

lemma *prod-encode-eq*: *prod-encode* *x* = *prod-encode* *y* \longleftrightarrow *x* = *y*
 ⟨*proof*⟩

lemma *prod-decode-eq*: *prod-decode* *x* = *prod-decode* *y* \longleftrightarrow *x* = *y*
 ⟨*proof*⟩

Ordering properties

lemma *le-prod-encode-1*: *a* ≤ *prod-encode* (*a*, *b*)
 ⟨*proof*⟩

lemma *le-prod-encode-2*: *b* ≤ *prod-encode* (*a*, *b*)
 ⟨*proof*⟩

12.2 Type *nat* + *nat*

definition *sum-encode* :: *nat* + *nat* ⇒ *nat*

where

sum-encode *x* = (case *x* of *Inl a* ⇒ 2 * *a* | *Inr b* ⇒ *Suc* (2 * *b*))

definition *sum-decode* :: *nat* ⇒ *nat* + *nat*

where

$sum\text{-decode } n = (if\ even\ n\ then\ Inl\ (n\ div\ 2)\ else\ Inr\ (n\ div\ 2))$

lemma $sum\text{-encode-inverse}$ [simp]: $sum\text{-decode } (sum\text{-encode } x) = x$
 ⟨proof⟩

lemma $sum\text{-decode-inverse}$ [simp]: $sum\text{-encode } (sum\text{-decode } n) = n$
 ⟨proof⟩

lemma $inj\text{-sum-encode}$: $inj\text{-on } sum\text{-encode } A$
 ⟨proof⟩

lemma $inj\text{-sum-decode}$: $inj\text{-on } sum\text{-decode } A$
 ⟨proof⟩

lemma $surj\text{-sum-encode}$: $surj\ sum\text{-encode}$
 ⟨proof⟩

lemma $surj\text{-sum-decode}$: $surj\ sum\text{-decode}$
 ⟨proof⟩

lemma $bij\text{-sum-encode}$: $bij\ sum\text{-encode}$
 ⟨proof⟩

lemma $bij\text{-sum-decode}$: $bij\ sum\text{-decode}$
 ⟨proof⟩

lemma $sum\text{-encode-eq}$: $sum\text{-encode } x = sum\text{-encode } y \iff x = y$
 ⟨proof⟩

lemma $sum\text{-decode-eq}$: $sum\text{-decode } x = sum\text{-decode } y \iff x = y$
 ⟨proof⟩

12.3 Type int

definition $int\text{-encode} :: int \Rightarrow nat$

where

$int\text{-encode } i = sum\text{-encode } (if\ 0 \leq i\ then\ Inl\ (nat\ i)\ else\ Inr\ (nat\ (-\ i\ -\ 1)))$

definition $int\text{-decode} :: nat \Rightarrow int$

where

$int\text{-decode } n = (case\ sum\text{-decode } n\ of\ Inl\ a \Rightarrow int\ a\ | Inr\ b \Rightarrow -\ int\ b\ -\ 1)$

lemma $int\text{-encode-inverse}$ [simp]: $int\text{-decode } (int\text{-encode } x) = x$
 ⟨proof⟩

lemma $int\text{-decode-inverse}$ [simp]: $int\text{-encode } (int\text{-decode } n) = n$
 ⟨proof⟩

lemma $inj\text{-int-encode}$: $inj\text{-on } int\text{-encode } A$

<proof>

lemma *inj-int-decode: inj-on int-decode A*
<proof>

lemma *surj-int-encode: surj int-encode*
<proof>

lemma *surj-int-decode: surj int-decode*
<proof>

lemma *bij-int-encode: bij int-encode*
<proof>

lemma *bij-int-decode: bij int-decode*
<proof>

lemma *int-encode-eq: int-encode x = int-encode y \longleftrightarrow x = y*
<proof>

lemma *int-decode-eq: int-decode x = int-decode y \longleftrightarrow x = y*
<proof>

12.4 Type *nat list*

fun *list-encode* :: *nat list* \Rightarrow *nat*
where

list-encode [] = 0
 | *list-encode (x # xs) = Suc (prod-encode (x, list-encode xs))*

function *list-decode* :: *nat* \Rightarrow *nat list*

where

list-decode 0 = []
 | *list-decode (Suc n) = (case prod-decode n of (x, y) \Rightarrow x # list-decode y)*
<proof>

termination *list-decode*
<proof>

lemma *list-encode-inverse [simp]: list-decode (list-encode x) = x*
<proof>

lemma *list-decode-inverse [simp]: list-encode (list-decode n) = n*
<proof>

lemma *inj-list-encode: inj-on list-encode A*
<proof>

lemma *inj-list-decode: inj-on list-decode A*

<proof>

lemma *surj-list-encode*: *surj list-encode*
<proof>

lemma *surj-list-decode*: *surj list-decode*
<proof>

lemma *bij-list-encode*: *bij list-encode*
<proof>

lemma *bij-list-decode*: *bij list-decode*
<proof>

lemma *list-encode-eq*: *list-encode x = list-encode y* \longleftrightarrow *x = y*
<proof>

lemma *list-decode-eq*: *list-decode x = list-decode y* \longleftrightarrow *x = y*
<proof>

12.5 Finite sets of naturals

12.5.1 Preliminaries

lemma *finite-vimage-Suc-iff*: *finite (Suc -‘ F)* \longleftrightarrow *finite F*
<proof>

lemma *vimage-Suc-insert-0*: *Suc -‘ insert 0 A = Suc -‘ A*
<proof>

lemma *vimage-Suc-insert-Suc*:
Suc -‘ insert (Suc n) A = insert n (Suc -‘ A)
<proof>

lemma *div2-even-ext-nat*:
fixes *x y :: nat*
assumes *x div 2 = y div 2*
and *even x* \longleftrightarrow *even y*
shows *x = y*
<proof>

12.5.2 From sets to naturals

definition *set-encode* :: *nat set* \Rightarrow *nat*
where *set-encode = setsum (op ^ 2)*

lemma *set-encode-empty [simp]*: *set-encode {} = 0*
<proof>

lemma *set-encode-inf*: \sim *finite A* \Longrightarrow *set-encode A = 0*

$\langle \text{proof} \rangle$

lemma *set-encode-insert* [simp]:

$\llbracket \text{finite } A; n \notin A \rrbracket \implies \text{set-encode } (\text{insert } n \ A) = 2^{\wedge} n + \text{set-encode } A$
 $\langle \text{proof} \rangle$

lemma *even-set-encode-iff*: $\text{finite } A \implies \text{even } (\text{set-encode } A) \longleftrightarrow 0 \notin A$

$\langle \text{proof} \rangle$

lemma *set-encode-vimage-Suc*: $\text{set-encode } (\text{Suc } -' \ A) = \text{set-encode } A \ \text{div } 2$

$\langle \text{proof} \rangle$

lemmas *set-encode-div-2 = set-encode-vimage-Suc* [symmetric]

12.5.3 From naturals to sets

definition *set-decode* :: $\text{nat} \Rightarrow \text{nat set}$

where $\text{set-decode } x = \{n. \text{odd } (x \ \text{div } 2^{\wedge} \ n)\}$

lemma *set-decode-0* [simp]: $0 \in \text{set-decode } x \longleftrightarrow \text{odd } x$

$\langle \text{proof} \rangle$

lemma *set-decode-Suc* [simp]:

$\text{Suc } n \in \text{set-decode } x \longleftrightarrow n \in \text{set-decode } (x \ \text{div } 2)$
 $\langle \text{proof} \rangle$

lemma *set-decode-zero* [simp]: $\text{set-decode } 0 = \{\}$

$\langle \text{proof} \rangle$

lemma *set-decode-div-2*: $\text{set-decode } (x \ \text{div } 2) = \text{Suc } -' \ \text{set-decode } x$

$\langle \text{proof} \rangle$

lemma *set-decode-plus-power-2*:

$n \notin \text{set-decode } z \implies \text{set-decode } (2^{\wedge} n + z) = \text{insert } n \ (\text{set-decode } z)$
 $\langle \text{proof} \rangle$

lemma *finite-set-decode* [simp]: $\text{finite } (\text{set-decode } n)$

$\langle \text{proof} \rangle$

12.5.4 Proof of isomorphism

lemma *set-decode-inverse* [simp]: $\text{set-encode } (\text{set-decode } n) = n$

$\langle \text{proof} \rangle$

lemma *set-encode-inverse* [simp]: $\text{finite } A \implies \text{set-decode } (\text{set-encode } A) = A$

$\langle \text{proof} \rangle$

lemma *inj-on-set-encode*: $\text{inj-on } \text{set-encode } (\text{Collect } \text{finite})$

$\langle \text{proof} \rangle$

lemma *set-encode-eq*:
 $\llbracket \text{finite } A; \text{ finite } B \rrbracket \implies \text{set-encode } A = \text{set-encode } B \longleftrightarrow A = B$
 ⟨*proof*⟩

lemma *subset-decode-imp-le*:
 assumes *set-decode* $m \subseteq \text{set-decode } n$
 shows $m \leq n$
 ⟨*proof*⟩

end

13 Encoding (almost) everything into natural numbers

theory *Countable*
imports *Old-Datatype* $\sim\sim$ /src/HOL/Rat *Nat-Bijection*
begin

13.1 The class of countable types

class *countable* =
 assumes *ex-inj*: $\exists \text{to-nat} :: 'a \Rightarrow \text{nat}. \text{inj to-nat}$

lemma *countable-classI*:
 fixes $f :: 'a \Rightarrow \text{nat}$
 assumes $\bigwedge x y. f x = f y \implies x = y$
 shows *OFCLASS*('a, *countable-class*)
 ⟨*proof*⟩

13.2 Conversion functions

definition *to-nat* :: $'a::\text{countable} \Rightarrow \text{nat}$ **where**
 $\text{to-nat} = (\text{SOME } f. \text{inj } f)$

definition *from-nat* :: $\text{nat} \Rightarrow 'a::\text{countable}$ **where**
 $\text{from-nat} = \text{inv } (\text{to-nat} :: 'a \Rightarrow \text{nat})$

lemma *inj-to-nat* [*simp*]: *inj to-nat*
 ⟨*proof*⟩

lemma *inj-on-to-nat*[*simp*, *intro*]: *inj-on to-nat S*
 ⟨*proof*⟩

lemma *surj-from-nat* [*simp*]: *surj from-nat*
 ⟨*proof*⟩

lemma *to-nat-split* [*simp*]: $\text{to-nat } x = \text{to-nat } y \longleftrightarrow x = y$
 ⟨*proof*⟩

lemma *from-nat-to-nat* [*simp*]:
from-nat (*to-nat* *x*) = *x*
 ⟨*proof*⟩

13.3 Finite types are countable

subclass (in *finite*) *countable*
 ⟨*proof*⟩

13.4 Automatically proving countability of old-style datatypes

context
begin

qualified inductive *finite-item* :: 'a *Old-Datatype.item* ⇒ bool **where**
undefined: *finite-item* *undefined*
 | *In0*: *finite-item* *x* ⇒ *finite-item* (*Old-Datatype.In0* *x*)
 | *In1*: *finite-item* *x* ⇒ *finite-item* (*Old-Datatype.In1* *x*)
 | *Leaf*: *finite-item* (*Old-Datatype.Leaf* *a*)
 | *Scons*: [⟦*finite-item* *x*; *finite-item* *y*⟧ ⇒ *finite-item* (*Old-Datatype.Scons* *x* *y*)

qualified function *nth-item* :: nat ⇒ ('a::countable) *Old-Datatype.item*
where

nth-item 0 = *undefined*
 | *nth-item* (*Suc* *n*) =
 (case *sum-decode* *n* of
 Inl *i* ⇒
 (case *sum-decode* *i* of
 Inl *j* ⇒ *Old-Datatype.In0* (*nth-item* *j*)
 | *Inr* *j* ⇒ *Old-Datatype.In1* (*nth-item* *j*)
 | *Inr* *i* ⇒
 (case *sum-decode* *i* of
 Inl *j* ⇒ *Old-Datatype.Leaf* (*from-nat* *j*)
 | *Inr* *j* ⇒
 (case *prod-decode* *j* of
 (*a*, *b*) ⇒ *Old-Datatype.Scons* (*nth-item* *a*) (*nth-item* *b*))))
 ⟨*proof*⟩

lemma *le-sum-encode-Inl*: $x \leq y \implies x \leq \text{sum-encode } (\text{Inl } y)$
 ⟨*proof*⟩

lemma *le-sum-encode-Inr*: $x \leq y \implies x \leq \text{sum-encode } (\text{Inr } y)$
 ⟨*proof*⟩ **termination**
 ⟨*proof*⟩

lemma *nth-item-covers*: *finite-item* *x* ⇒ ∃ *n*. *nth-item* *n* = *x*
 ⟨*proof*⟩

theorem *countable-datatype*:
fixes *Rep* :: 'b ⇒ ('a::countable) *Old-Datatype.item*

```

fixes Abs :: ('a::countable) Old-Datatype.item  $\Rightarrow$  'b
fixes rep-set :: ('a::countable) Old-Datatype.item  $\Rightarrow$  bool
assumes type: type-definition Rep Abs (Collect rep-set)
assumes finite-item:  $\bigwedge x. \text{rep-set } x \Rightarrow \text{finite-item } x$ 
shows OFCLASS('b, countable-class)
<proof>

<ML>

end

```

13.5 Automatically proving countability of datatypes

<ML>

13.6 More Countable types

Naturals

```

instance nat :: countable
<proof>

```

Pairs

```

instance prod :: (countable, countable) countable
<proof>

```

Sums

```

instance sum :: (countable, countable) countable
<proof>

```

Integers

```

instance int :: countable
<proof>

```

Options

```

instance option :: (countable) countable
<proof>

```

Lists

```

instance list :: (countable) countable
<proof>

```

String literals

```

instance String.literal :: countable
<proof>

```

Functions

```

instance fun :: (finite, countable) countable
<proof>

```

Typereps

instance *typerep* :: *countable*
 ⟨*proof*⟩

13.7 The rationals are countably infinite

definition *nat-to-rat-surj* :: *nat* ⇒ *rat* **where**
nat-to-rat-surj *n* = (let (*a*, *b*) = *prod-decode* *n* in *Fract* (*int-decode* *a*) (*int-decode* *b*))

lemma *surj-nat-to-rat-surj*: *surj nat-to-rat-surj*
 ⟨*proof*⟩

lemma *Rats-eq-range-nat-to-rat-surj*: $\mathbb{Q} = \text{range } \text{nat-to-rat-surj}$
 ⟨*proof*⟩

context *field-char-0*
begin

lemma *Rats-eq-range-of-rat-o-nat-to-rat-surj*:
 $\mathbb{Q} = \text{range } (\text{of-rat} \circ \text{nat-to-rat-surj})$
 ⟨*proof*⟩

lemma *surj-of-rat-nat-to-rat-surj*:
 $r \in \mathbb{Q} \implies \exists n. r = \text{of-rat } (\text{nat-to-rat-surj } n)$
 ⟨*proof*⟩

end

instance *rat* :: *countable*
 ⟨*proof*⟩

end

14 Infinite Sets and Related Concepts

theory *Infinite-Set*
imports *Main*
begin

The set of natural numbers is infinite.

lemma *infinite-nat-iff-unbounded-le*: *infinite* (*S*::*nat set*) $\longleftrightarrow (\forall m. \exists n \geq m. n \in S)$
 ⟨*proof*⟩

lemma *infinite-nat-iff-unbounded*: *infinite* (*S*::*nat set*) $\longleftrightarrow (\forall m. \exists n > m. n \in S)$
 ⟨*proof*⟩

lemma *finite-nat-iff-bounded*: $finite (S::nat\ set) \longleftrightarrow (\exists k. S \subseteq \{..<k\})$
 ⟨proof⟩

lemma *finite-nat-iff-bounded-le*: $finite (S::nat\ set) \longleftrightarrow (\exists k. S \subseteq \{.. k\})$
 ⟨proof⟩

lemma *finite-nat-bounded*: $finite (S::nat\ set) \implies \exists k. S \subseteq \{..<k\}$
 ⟨proof⟩

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some k , there is some larger number that is an element of the set.

lemma *unbounded-k-infinite*: $\forall m>k. \exists n>m. n \in S \implies infinite (S::nat\ set)$
 ⟨proof⟩

lemma *nat-not-finite*: $finite (UNIV::nat\ set) \implies R$
 ⟨proof⟩

lemma *range-inj-infinite*:
 $inj (f::nat \Rightarrow 'a) \implies infinite (range\ f)$
 ⟨proof⟩

The set of integers is also infinite.

lemma *infinite-int-iff-infinite-nat-abs*: $infinite (S::int\ set) \longleftrightarrow infinite ((nat\ o\ abs) ' S)$
 ⟨proof⟩

proposition *infinite-int-iff-unbounded-le*: $infinite (S::int\ set) \longleftrightarrow (\forall m. \exists n. |n| \geq m \wedge n \in S)$
 ⟨proof⟩

proposition *infinite-int-iff-unbounded*: $infinite (S::int\ set) \longleftrightarrow (\forall m. \exists n. |n| > m \wedge n \in S)$
 ⟨proof⟩

proposition *finite-int-iff-bounded*: $finite (S::int\ set) \longleftrightarrow (\exists k. abs ' S \subseteq \{..<k\})$
 ⟨proof⟩

proposition *finite-int-iff-bounded-le*: $finite (S::int\ set) \longleftrightarrow (\exists k. abs ' S \subseteq \{.. k\})$
 ⟨proof⟩

14.1 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

lemma *not-INFM* [simp]: $\neg (INFM\ x. P\ x) \longleftrightarrow (MOST\ x. \neg P\ x)$ ⟨proof⟩

lemma *not-MOST* [simp]: $\neg (MOST\ x. P\ x) \longleftrightarrow (INFM\ x. \neg P\ x)$ ⟨proof⟩

lemma *INFM-const* [*simp*]: $(\text{INFM } x::'a. P) \longleftrightarrow P \wedge \text{infinite } (\text{UNIV}::'a \text{ set})$
 ⟨*proof*⟩

lemma *MOST-const* [*simp*]: $(\text{MOST } x::'a. P) \longleftrightarrow P \vee \text{finite } (\text{UNIV}::'a \text{ set})$
 ⟨*proof*⟩

lemma *INFM-imp-distrib*: $(\text{INFM } x. P x \longrightarrow Q x) \longleftrightarrow ((\text{MOST } x. P x) \longrightarrow (\text{INFM } x. Q x))$
 ⟨*proof*⟩

lemma *MOST-imp-iff*: $\text{MOST } x. P x \implies (\text{MOST } x. P x \longrightarrow Q x) \longleftrightarrow (\text{MOST } x. Q x)$
 ⟨*proof*⟩

lemma *INFM-conjI*: $\text{INFM } x. P x \implies \text{MOST } x. Q x \implies \text{INFM } x. P x \wedge Q x$
 ⟨*proof*⟩

Properties of quantifiers with injective functions.

lemma *INFM-inj*: $\text{INFM } x. P (f x) \implies \text{inj } f \implies \text{INFM } x. P x$
 ⟨*proof*⟩

lemma *MOST-inj*: $\text{MOST } x. P x \implies \text{inj } f \implies \text{MOST } x. P (f x)$
 ⟨*proof*⟩

Properties of quantifiers with singletons.

lemma *not-INFM-eq* [*simp*]:
 $\neg (\text{INFM } x. x = a)$
 $\neg (\text{INFM } x. a = x)$
 ⟨*proof*⟩

lemma *MOST-neq* [*simp*]:
 $\text{MOST } x. x \neq a$
 $\text{MOST } x. a \neq x$
 ⟨*proof*⟩

lemma *INFM-neq* [*simp*]:
 $(\text{INFM } x::'a. x \neq a) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$
 $(\text{INFM } x::'a. a \neq x) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$
 ⟨*proof*⟩

lemma *MOST-eq* [*simp*]:
 $(\text{MOST } x::'a. x = a) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$
 $(\text{MOST } x::'a. a = x) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$
 ⟨*proof*⟩

lemma *MOST-eq-imp*:
 $\text{MOST } x. x = a \longrightarrow P x$
 $\text{MOST } x. a = x \longrightarrow P x$

<proof>

Properties of quantifiers over the naturals.

lemma *MOST-nat*: $(\forall_{\infty} n. P (n::nat)) \longleftrightarrow (\exists m. \forall n > m. P n)$
<proof>

lemma *MOST-nat-le*: $(\forall_{\infty} n. P (n::nat)) \longleftrightarrow (\exists m. \forall n \geq m. P n)$
<proof>

lemma *INFM-nat*: $(\exists_{\infty} n. P (n::nat)) \longleftrightarrow (\forall m. \exists n > m. P n)$
<proof>

lemma *INFM-nat-le*: $(\exists_{\infty} n. P (n::nat)) \longleftrightarrow (\forall m. \exists n \geq m. P n)$
<proof>

lemma *MOST-INFM*: *infinite (UNIV::'a set) \implies MOST $x::'a. P x \implies$ INFM $x::'a. P x$*
<proof>

lemma *MOST-Suc-iff*: $(MOST\ n. P (Suc\ n)) \longleftrightarrow (MOST\ n. P\ n)$
<proof>

lemma

shows *MOST-SucI*: $MOST\ n. P\ n \implies MOST\ n. P (Suc\ n)$
and *MOST-SucD*: $MOST\ n. P (Suc\ n) \implies MOST\ n. P\ n$
<proof>

lemma *MOST-ge-nat*: $MOST\ n::nat. m \leq n$
<proof>

lemma *Inf-many-def*: $Inf\ many\ P \longleftrightarrow infinite\ \{x. P\ x\}$ *<proof>*

lemma *Alm-all-def*: $Alm\ all\ P \longleftrightarrow \neg (INFM\ x. \neg P\ x)$ *<proof>*

lemma *INFM-iff-infinite*: $(INFM\ x. P\ x) \longleftrightarrow infinite\ \{x. P\ x\}$ *<proof>*

lemma *MOST-iff-cofinite*: $(MOST\ x. P\ x) \longleftrightarrow finite\ \{x. \neg P\ x\}$ *<proof>*

lemma *INFM-EX*: $(\exists_{\infty} x. P\ x) \implies (\exists x. P\ x)$ *<proof>*

lemma *ALL-MOST*: $\forall x. P\ x \implies \forall_{\infty} x. P\ x$ *<proof>*

lemma *INFM-mono*: $\exists_{\infty} x. P\ x \implies (\bigwedge x. P\ x \implies Q\ x) \implies \exists_{\infty} x. Q\ x$ *<proof>*

lemma *MOST-mono*: $\forall_{\infty} x. P\ x \implies (\bigwedge x. P\ x \implies Q\ x) \implies \forall_{\infty} x. Q\ x$ *<proof>*

lemma *INFM-disj-distrib*: $(\exists_{\infty} x. P\ x \vee Q\ x) \longleftrightarrow (\exists_{\infty} x. P\ x) \vee (\exists_{\infty} x. Q\ x)$
<proof>

lemma *MOST-rev-mp*: $\forall_{\infty} x. P\ x \implies \forall_{\infty} x. P\ x \longrightarrow Q\ x \implies \forall_{\infty} x. Q\ x$ *<proof>*

lemma *MOST-conj-distrib*: $(\forall_{\infty} x. P\ x \wedge Q\ x) \longleftrightarrow (\forall_{\infty} x. P\ x) \wedge (\forall_{\infty} x. Q\ x)$
<proof>

lemma *MOST-conjI*: $MOST\ x. P\ x \implies MOST\ x. Q\ x \implies MOST\ x. P\ x \wedge Q\ x$
<proof>

lemma *INFM-finite-Bex-distrib*: $finite\ A \implies (INFM\ y. \exists x \in A. P\ x\ y) \longleftrightarrow (\exists x \in A. INFM\ y. P\ x\ y)$ *<proof>*

lemma *MOST-finite-Ball-distrib*: $finite\ A \implies (MOST\ y. \forall x \in A. P\ x\ y) \longleftrightarrow$

$(\forall x \in A. \text{MOST } y. P x y)$ $\langle \text{proof} \rangle$

lemma *INFM-E*: $\text{INFM } x. P x \implies (\bigwedge x. P x \implies \text{thesis}) \implies \text{thesis}$ $\langle \text{proof} \rangle$

lemma *MOST-I*: $(\bigwedge x. P x) \implies \text{MOST } x. P x$ $\langle \text{proof} \rangle$

lemmas *MOST-iff-finiteNeg* = *MOST-iff-cofinite*

14.2 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

Could be generalized to $\text{enumerate}' S n = (\text{SOME } t. t \in s \wedge \text{finite } \{s \in S. s < t\} \wedge \text{card } \{s \in S. s < t\} = n)$.

primrec (in *wellorder*) $\text{enumerate} :: 'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$

where

enumerate-0 : $\text{enumerate } S 0 = (\text{LEAST } n. n \in S)$

| enumerate-Suc : $\text{enumerate } S (\text{Suc } n) = \text{enumerate } (S - \{\text{LEAST } n. n \in S\}) n$

lemma *enumerate-Suc'*: $\text{enumerate } S (\text{Suc } n) = \text{enumerate } (S - \{\text{enumerate } S 0\}) n$

$\langle \text{proof} \rangle$

lemma *enumerate-in-set*: $\text{infinite } S \implies \text{enumerate } S n \in S$

$\langle \text{proof} \rangle$

declare *enumerate-0* [simp del] *enumerate-Suc* [simp del]

lemma *enumerate-step*: $\text{infinite } S \implies \text{enumerate } S n < \text{enumerate } S (\text{Suc } n)$

$\langle \text{proof} \rangle$

lemma *enumerate-mono*: $m < n \implies \text{infinite } S \implies \text{enumerate } S m < \text{enumerate } S n$

$\langle \text{proof} \rangle$

lemma *le-enumerate*:

assumes S : *infinite* S

shows $n \leq \text{enumerate } S n$

$\langle \text{proof} \rangle$

lemma *enumerate-Suc''*:

fixes $S :: 'a :: \text{wellorder set}$

assumes *infinite* S

shows $\text{enumerate } S (\text{Suc } n) = (\text{LEAST } s. s \in S \wedge \text{enumerate } S n < s)$

$\langle \text{proof} \rangle$

lemma *enumerate-Ex*:

assumes S : *infinite* ($S :: \text{nat set}$)

shows $s \in S \implies \exists n. \text{enumerate } S n = s$

$\langle \text{proof} \rangle$

lemma *bij-enumerate*:
fixes $S :: \text{nat set}$
assumes $S: \text{infinite } S$
shows $\text{bij-betw } (\text{enumerate } S) \text{ UNIV } S$
 $\langle \text{proof} \rangle$

end

15 Countable sets

theory *Countable-Set*
imports *Countable Infinite-Set*
begin

15.1 Predicate for countable sets

definition *countable* $:: 'a \text{ set} \Rightarrow \text{bool}$ **where**
 $\text{countable } S \longleftrightarrow (\exists f :: 'a \Rightarrow \text{nat}. \text{inj-on } f \ S)$

lemma *countableE*:
assumes $S: \text{countable } S$ **obtains** $f :: 'a \Rightarrow \text{nat}$ **where** $\text{inj-on } f \ S$
 $\langle \text{proof} \rangle$

lemma *countableI*: $\text{inj-on } (f :: 'a \Rightarrow \text{nat}) \ S \Longrightarrow \text{countable } S$
 $\langle \text{proof} \rangle$

lemma *countableI'*: $\text{inj-on } (f :: 'a \Rightarrow 'b::\text{countable}) \ S \Longrightarrow \text{countable } S$
 $\langle \text{proof} \rangle$

lemma *countableE-bij*:
assumes $S: \text{countable } S$ **obtains** $f :: \text{nat} \Rightarrow 'a$ **and** $C :: \text{nat set}$ **where** $\text{bij-betw } f \ C \ S$
 $\langle \text{proof} \rangle$

lemma *countableI-bij*: $\text{bij-betw } f \ (C::\text{nat set}) \ S \Longrightarrow \text{countable } S$
 $\langle \text{proof} \rangle$

lemma *countable-finite*: $\text{finite } S \Longrightarrow \text{countable } S$
 $\langle \text{proof} \rangle$

lemma *countableI-bij1*: $\text{bij-betw } f \ A \ B \Longrightarrow \text{countable } A \Longrightarrow \text{countable } B$
 $\langle \text{proof} \rangle$

lemma *countableI-bij2*: $\text{bij-betw } f \ B \ A \Longrightarrow \text{countable } A \Longrightarrow \text{countable } B$
 $\langle \text{proof} \rangle$

lemma *countable-iff-bij[simp]*: $\text{bij-betw } f \ A \ B \Longrightarrow \text{countable } A \longleftrightarrow \text{countable } B$
 $\langle \text{proof} \rangle$

lemma *countable-subset*: $A \subseteq B \implies \text{countable } B \implies \text{countable } A$
 ⟨proof⟩

lemma *countableI-type*[*intro, simp*]: $\text{countable } (A :: 'a :: \text{countable set})$
 ⟨proof⟩

15.2 Enumerate a countable set

lemma *countableE-infinite*:
assumes *countable S infinite S*
obtains $e :: 'a \Rightarrow \text{nat}$ **where** *bij-betw e S UNIV*
 ⟨proof⟩

lemma *countable-enum-cases*:
assumes *countable S*
obtains $(\text{finite}) f :: 'a \Rightarrow \text{nat}$ **where** *finite S bij-betw f S \{.. $\text{card } S\}$*
 | $(\text{infinite}) f :: 'a \Rightarrow \text{nat}$ **where** *infinite S bij-betw f S UNIV*
 ⟨proof⟩

definition *to-nat-on* :: $'a \text{ set} \Rightarrow 'a \Rightarrow \text{nat}$ **where**
to-nat-on S = (SOME f. if finite S then bij-betw f S \{.. $\text{card } S\}$ else bij-betw f S UNIV)

definition *from-nat-into* :: $'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$ **where**
from-nat-into S n = (if n \in to-nat-on S ' S then inv-into S (to-nat-on S) n else SOME s. s \in S)

lemma *to-nat-on-finite*: $\text{finite } S \implies \text{bij-betw } (\text{to-nat-on } S) S \{.. $\text{card } S\}$$

lemma *to-nat-on-infinite*: $\text{countable } S \implies \text{infinite } S \implies \text{bij-betw } (\text{to-nat-on } S) S \text{ UNIV}$
 ⟨proof⟩

lemma *bij-betw-from-nat-into-finite*: $\text{finite } S \implies \text{bij-betw } (\text{from-nat-into } S) \{.. $\text{card } S\} S$$

lemma *bij-betw-from-nat-into*: $\text{countable } S \implies \text{infinite } S \implies \text{bij-betw } (\text{from-nat-into } S) \text{ UNIV } S$
 ⟨proof⟩

lemma *inj-on-to-nat-on*[*intro*]: $\text{countable } A \implies \text{inj-on } (\text{to-nat-on } A) A$
 ⟨proof⟩

lemma *to-nat-on-inj*[*simp*]:
 $\text{countable } A \implies a \in A \implies b \in A \implies \text{to-nat-on } A a = \text{to-nat-on } A b \iff a = b$
 ⟨proof⟩

lemma *from-nat-into-to-nat-on[simp]*: $\text{countable } A \implies a \in A \implies \text{from-nat-into } A \text{ (to-nat-on } A \ a) = a$
 ⟨proof⟩

lemma *subset-range-from-nat-into*: $\text{countable } A \implies A \subseteq \text{range (from-nat-into } A)$
 ⟨proof⟩

lemma *from-nat-into*: $A \neq \{\} \implies \text{from-nat-into } A \ n \in A$
 ⟨proof⟩

lemma *range-from-nat-into-subset*: $A \neq \{\} \implies \text{range (from-nat-into } A) \subseteq A$
 ⟨proof⟩

lemma *range-from-nat-into[simp]*: $A \neq \{\} \implies \text{countable } A \implies \text{range (from-nat-into } A) = A$
 ⟨proof⟩

lemma *image-to-nat-on*: $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \text{ ‘ } A = \text{UNIV}$
 ⟨proof⟩

lemma *to-nat-on-surj*: $\text{countable } A \implies \text{infinite } A \implies \exists a \in A. \text{to-nat-on } A \ a = n$
 ⟨proof⟩

lemma *to-nat-on-from-nat-into[simp]*: $n \in \text{to-nat-on } A \text{ ‘ } A \implies \text{to-nat-on } A \text{ (from-nat-into } A \ n) = n$
 ⟨proof⟩

lemma *to-nat-on-from-nat-into-infinite[simp]*:
 $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \text{ (from-nat-into } A \ n) = n$
 ⟨proof⟩

lemma *from-nat-into-inj*:
 $\text{countable } A \implies m \in \text{to-nat-on } A \text{ ‘ } A \implies n \in \text{to-nat-on } A \text{ ‘ } A \implies$
 $\text{from-nat-into } A \ m = \text{from-nat-into } A \ n \iff m = n$
 ⟨proof⟩

lemma *from-nat-into-inj-infinite[simp]*:
 $\text{countable } A \implies \text{infinite } A \implies \text{from-nat-into } A \ m = \text{from-nat-into } A \ n \iff m = n$
 ⟨proof⟩

lemma *eq-from-nat-into-iff*:
 $\text{countable } A \implies x \in A \implies i \in \text{to-nat-on } A \text{ ‘ } A \implies x = \text{from-nat-into } A \ i \iff$
 $i = \text{to-nat-on } A \ x$
 ⟨proof⟩

lemma *from-nat-into-surj*: $\text{countable } A \implies a \in A \implies \exists n. \text{from-nat-into } A \ n = a$

<proof>

lemma *from-nat-into-inject*[simp]:

$A \neq \{\} \implies \text{countable } A \implies B \neq \{\} \implies \text{countable } B \implies \text{from-nat-into } A = \text{from-nat-into } B \iff A = B$

<proof>

lemma *inj-on-from-nat-into*: *inj-on from-nat-into* ($\{A. A \neq \{\} \wedge \text{countable } A\}$)

<proof>

15.3 Closure properties of countability

lemma *countable-SIGMA*[intro, simp]:

$\text{countable } I \implies (\bigwedge i. i \in I \implies \text{countable } (A\ i)) \implies \text{countable } (\text{SIGMA } i : I. A\ i)$

<proof>

lemma *countable-image*[intro, simp]:

assumes *countable* A

shows *countable* ($f\ A$)

<proof>

lemma *countable-image-inj-on*: *countable* ($f\ A$) \implies *inj-on* $f\ A \implies$ *countable* A

<proof>

lemma *countable-UN*[intro, simp]:

fixes $I :: 'i\ \text{set}$ **and** $A :: 'i \Rightarrow 'a\ \text{set}$

assumes I : *countable* I

assumes A : $\bigwedge i. i \in I \implies \text{countable } (A\ i)$

shows *countable* ($\bigcup_{i \in I}. A\ i$)

<proof>

lemma *countable-Un*[intro]: *countable* $A \implies$ *countable* $B \implies$ *countable* ($A \cup B$)

<proof>

lemma *countable-Un-iff*[simp]: *countable* ($A \cup B$) \iff *countable* $A \wedge$ *countable* B

<proof>

lemma *countable-Plus*[intro, simp]:

$\text{countable } A \implies \text{countable } B \implies \text{countable } (A\ <+>\ B)$

<proof>

lemma *countable-empty*[intro, simp]: *countable* $\{\}$

<proof>

lemma *countable-insert*[intro, simp]: *countable* $A \implies$ *countable* (*insert* $a\ A$)

<proof>

lemma *countable-Int1*[*intro, simp*]: *countable A* \implies *countable (A \cap B)*
 ⟨*proof*⟩

lemma *countable-Int2*[*intro, simp*]: *countable B* \implies *countable (A \cap B)*
 ⟨*proof*⟩

lemma *countable-INT*[*intro, simp*]: $i \in I \implies$ *countable (A i)* \implies *countable*
 ($\bigcap_{i \in I}. A i$)
 ⟨*proof*⟩

lemma *countable-Diff*[*intro, simp*]: *countable A* \implies *countable (A - B)*
 ⟨*proof*⟩

lemma *countable-insert-eq* [*simp*]: *countable (insert x A)* = *countable A*
 ⟨*proof*⟩

lemma *countable-vimage*: $B \subseteq \text{range } f \implies$ *countable (f -‘ B)* \implies *countable B*
 ⟨*proof*⟩

lemma *surj-countable-vimage*: *surj f* \implies *countable (f -‘ B)* \implies *countable B*
 ⟨*proof*⟩

lemma *countable-Collect*[*simp*]: *countable A* \implies *countable {a \in A. φ a}*
 ⟨*proof*⟩

lemma *countable-Image*:
assumes $\bigwedge y. y \in Y \implies$ *countable (X “ {y})*
assumes *countable Y*
shows *countable (X “ Y)*
 ⟨*proof*⟩

lemma *countable-relpow*:
fixes $X :: 'a \text{ rel}$
assumes *Image-X*: $\bigwedge Y. \text{countable } Y \implies$ *countable (X “ Y)*
assumes $Y: \text{countable } Y$
shows *countable ((X ^^ i) “ Y)*
 ⟨*proof*⟩

lemma *countable-funpow*:
fixes $f :: 'a \text{ set} \Rightarrow 'a \text{ set}$
assumes $\bigwedge A. \text{countable } A \implies$ *countable (f A)*
and *countable A*
shows *countable ((f ^^ n) A)*
 ⟨*proof*⟩

lemma *countable-rtrancl*:
 ($\bigwedge Y. \text{countable } Y \implies$ *countable (X “ Y)*) \implies *countable Y* \implies *countable (X^**
 “ Y)
 ⟨*proof*⟩

lemma *countable-lists*[*intro, simp*]:

assumes A : *countable* A **shows** *countable* (*lists* A)
 ⟨*proof*⟩

lemma *Collect-finite-eq-lists*: *Collect* *finite* = *set* ‘ *lists* *UNIV*
 ⟨*proof*⟩

lemma *countable-Collect-finite*: *countable* (*Collect* (*finite*::‘*a*::*countable* *set*⇒*bool*))
 ⟨*proof*⟩

lemma *countable-rat*: *countable* \mathbb{Q}
 ⟨*proof*⟩

lemma *Collect-finite-subset-eq-lists*: $\{A. \text{finite } A \wedge A \subseteq T\} = \text{set } ‘ \text{lists } T$
 ⟨*proof*⟩

lemma *countable-Collect-finite-subset*:
countable $T \implies \text{countable } \{A. \text{finite } A \wedge A \subseteq T\}$
 ⟨*proof*⟩

lemma *countable-set-option* [*simp*]: *countable* (*set-option* x)
 ⟨*proof*⟩

15.4 Misc lemmas

lemma *infinite-countable-subset*:
assumes X : *infinite* X **shows** $\exists C \subseteq X. \text{countable } C \wedge \text{infinite } C$
 ⟨*proof*⟩

lemma *countable-all*:
assumes S : *countable* S
shows $(\forall s \in S. P\ s) \iff (\forall n :: \text{nat. from-nat-into } S\ n \in S \longrightarrow P\ (\text{from-nat-into } S\ n))$
 ⟨*proof*⟩

lemma *finite-sequence-to-countable-set*:
assumes *countable* X **obtains** F **where** $\bigwedge i. F\ i \subseteq X \wedge i. F\ i \subseteq F\ (\text{Suc } i) \wedge i.$
finite ($F\ i$) $(\bigcup i. F\ i) = X$
 ⟨*proof*⟩

lemma *transfer-countable*[*transfer-rule*]:
bi-unique $R \implies \text{rel-fun } (\text{rel-set } R)\ \text{op} = \text{countable } \text{countable}$
 ⟨*proof*⟩

15.5 Uncountable

abbreviation *uncountable* **where**
uncountable $A \equiv \neg \text{countable } A$

lemma *uncountable-def*: $uncountable\ A \longleftrightarrow A \neq \{\} \wedge \neg (\exists f :: (nat \Rightarrow 'a). range\ f = A)$
 ⟨proof⟩

lemma *uncountable-bij-betw*: $bij\ betw\ f\ A\ B \Longrightarrow uncountable\ B \Longrightarrow uncountable\ A$
 ⟨proof⟩

lemma *uncountable-infinite*: $uncountable\ A \Longrightarrow infinite\ A$
 ⟨proof⟩

lemma *uncountable-minus-countable*:
 $uncountable\ A \Longrightarrow countable\ B \Longrightarrow uncountable\ (A - B)$
 ⟨proof⟩

lemma *countable-Diff-eq [simp]*: $countable\ (A - \{x\}) = countable\ A$
 ⟨proof⟩

end

16 Non-denumerability of the Continuum.

theory *ContNotDenum*
imports *Complex-Main Countable-Set*
begin

16.1 Abstract

The following document presents a proof that the Continuum is uncountable. It is formalised in the Isabelle/Isar theorem proving system.

Theorem: The Continuum \mathbb{R} is not denumerable. In other words, there does not exist a function $f: \mathbb{N} \Rightarrow \mathbb{R}$ such that f is surjective.

Outline: An elegant informal proof of this result uses Cantor’s Diagonalisation argument. The proof presented here is not this one. First we formalise some properties of closed intervals, then we prove the Nested Interval Property. This property relies on the completeness of the Real numbers and is the foundation for our argument. Informally it states that an intersection of countable closed intervals (where each successive interval is a subset of the last) is non-empty. We then assume a surjective function $f: \mathbb{N} \Rightarrow \mathbb{R}$ exists and find a real x such that x is not in the range of f by generating a sequence of closed intervals then using the NIP.

theorem *real-non-denum*: $\neg (\exists f :: nat \Rightarrow real. surj\ f)$
 ⟨proof⟩

lemma *uncountable-UNIV-real*: $uncountable\ (UNIV :: real\ set)$
 ⟨proof⟩


```

lemma bij-betw-open-intervals:
  fixes  $a\ b\ c\ d :: \text{real}$ 
  assumes  $a < b\ c < d$ 
  shows  $\exists f. \text{bij-betw } f\ \{a < .. < b\}\ \{c < .. < d\}$ 
  <proof>

lemma bij-betw-tan: bij-betw tan  $\{-\pi/2 < .. < \pi/2\}$  UNIV
  <proof>

lemma uncountable-open-interval:
  fixes  $a\ b :: \text{real}$ 
  shows uncountable  $\{a < .. < b\} \longleftrightarrow a < b$ 
  <proof>

lemma uncountable-half-open-interval-1:
  fixes  $a :: \text{real}$  shows uncountable  $\{a .. < b\} \longleftrightarrow a < b$ 
  <proof>

lemma uncountable-half-open-interval-2:
  fixes  $a :: \text{real}$  shows uncountable  $\{a < .. b\} \longleftrightarrow a < b$ 
  <proof>

lemma real-interval-avoid-countable-set:
  fixes  $a\ b :: \text{real}$  and  $A :: \text{real set}$ 
  assumes  $a < b$  and countable A
  shows  $\exists x \in \{a < .. < b\}. x \notin A$ 
  <proof>

lemma open-minus-countable:
  fixes  $S\ A :: \text{real set}$  assumes countable A  $S \neq \{\}$  open S
  shows  $\exists x \in S. x \notin A$ 
  <proof>

end

```

17 Inner Product Spaces and the Gradient Derivative

```

theory Inner-Product
imports  $\sim\sim / \text{src} / \text{HOL} / \text{Complex-Main}$ 
begin

```

17.1 Real inner product spaces

Temporarily relax type constraints for *open*, *uniformity*, *dist*, and *norm*.

<ML>

class *real-inner* = *real-vector* + *sgn-div-norm* + *dist-norm* + *uniformity-dist* + *open-uniformity* +

fixes *inner* :: 'a \Rightarrow 'a \Rightarrow real

assumes *inner-commute*: *inner* *x* *y* = *inner* *y* *x*

and *inner-add-left*: *inner* (*x* + *y*) *z* = *inner* *x* *z* + *inner* *y* *z*

and *inner-scaleR-left* [*simp*]: *inner* (*scaleR* *r* *x*) *y* = *r* * (*inner* *x* *y*)

and *inner-ge-zero* [*simp*]: $0 \leq \text{inner } x \ x$

and *inner-eq-zero-iff* [*simp*]: *inner* *x* *x* = 0 \longleftrightarrow *x* = 0

and *norm-eq-sqrt-inner*: *norm* *x* = *sqrt* (*inner* *x* *x*)

begin

lemma *inner-zero-left* [*simp*]: *inner* 0 *x* = 0

<proof>

lemma *inner-minus-left* [*simp*]: *inner* (- *x*) *y* = - *inner* *x* *y*

<proof>

lemma *inner-diff-left*: *inner* (*x* - *y*) *z* = *inner* *x* *z* - *inner* *y* *z*

<proof>

lemma *inner-setsum-left*: *inner* ($\sum x \in A. f \ x$) *y* = ($\sum x \in A. \text{inner } (f \ x) \ y$)

<proof>

Transfer distributivity rules to right argument.

lemma *inner-add-right*: *inner* *x* (*y* + *z*) = *inner* *x* *y* + *inner* *x* *z*

<proof>

lemma *inner-scaleR-right* [*simp*]: *inner* *x* (*scaleR* *r* *y*) = *r* * (*inner* *x* *y*)

<proof>

lemma *inner-zero-right* [*simp*]: *inner* *x* 0 = 0

<proof>

lemma *inner-minus-right* [*simp*]: *inner* *x* (- *y*) = - *inner* *x* *y*

<proof>

lemma *inner-diff-right*: *inner* *x* (*y* - *z*) = *inner* *x* *y* - *inner* *x* *z*

<proof>

lemma *inner-setsum-right*: *inner* *x* ($\sum y \in A. f \ y$) = ($\sum y \in A. \text{inner } x \ (f \ y)$)

<proof>

lemmas *inner-add* [*algebra-simps*] = *inner-add-left* *inner-add-right*

lemmas *inner-diff* [*algebra-simps*] = *inner-diff-left* *inner-diff-right*

lemmas *inner-scaleR* = *inner-scaleR-left* *inner-scaleR-right*

Legacy theorem names

lemmas *inner-left-distrib* = *inner-add-left*

lemmas *inner-right-distrib* = *inner-add-right*

lemmas *inner-distrib* = *inner-left-distrib* *inner-right-distrib*

lemma *inner-gt-zero-iff* [*simp*]: $0 < \text{inner } x \ x \longleftrightarrow x \neq 0$
 ⟨*proof*⟩

lemma *power2-norm-eq-inner*: $(\text{norm } x)^2 = \text{inner } x \ x$
 ⟨*proof*⟩

Identities involving real multiplication and division.

lemma *inner-mult-left*: $\text{inner } (\text{of-real } m * a) \ b = m * (\text{inner } a \ b)$
 ⟨*proof*⟩

lemma *inner-mult-right*: $\text{inner } a \ (\text{of-real } m * b) = m * (\text{inner } a \ b)$
 ⟨*proof*⟩

lemma *inner-mult-left'*: $\text{inner } (a * \text{of-real } m) \ b = m * (\text{inner } a \ b)$
 ⟨*proof*⟩

lemma *inner-mult-right'*: $\text{inner } a \ (b * \text{of-real } m) = (\text{inner } a \ b) * m$
 ⟨*proof*⟩

lemma *Cauchy-Schwarz-ineq*:
 $(\text{inner } x \ y)^2 \leq \text{inner } x \ x * \text{inner } y \ y$
 ⟨*proof*⟩

lemma *Cauchy-Schwarz-ineq2*:
 $|\text{inner } x \ y| \leq \text{norm } x * \text{norm } y$
 ⟨*proof*⟩

lemma *norm-cauchy-schwarz*: $\text{inner } x \ y \leq \text{norm } x * \text{norm } y$
 ⟨*proof*⟩

subclass *real-normed-vector*
 ⟨*proof*⟩

end

lemma *inner-divide-left*:
fixes $a :: 'a :: \{\text{real-inner}, \text{real-div-algebra}\}$
shows $\text{inner } (a / \text{of-real } m) \ b = (\text{inner } a \ b) / m$
 ⟨*proof*⟩

lemma *inner-divide-right*:
fixes $a :: 'a :: \{\text{real-inner}, \text{real-div-algebra}\}$
shows $\text{inner } a \ (b / \text{of-real } m) = (\text{inner } a \ b) / m$
 ⟨*proof*⟩

Re-enable constraints for *open*, *uniformity*, *dist*, and *norm*.

⟨*ML*⟩

lemma *bounded-bilinear-inner*:

bounded-bilinear (*inner*::'a::real-inner \Rightarrow 'a \Rightarrow real)
 ⟨*proof*⟩

lemmas *tendsto-inner* [*tendsto-intros*] =

bounded-bilinear.tendsto [*OF bounded-bilinear-inner*]

lemmas *isCont-inner* [*simp*] =

bounded-bilinear.isCont [*OF bounded-bilinear-inner*]

lemmas *has-derivative-inner* [*derivative-intros*] =

bounded-bilinear.FDERIV [*OF bounded-bilinear-inner*]

lemmas *bounded-linear-inner-left* =

bounded-bilinear.bounded-linear-left [*OF bounded-bilinear-inner*]

lemmas *bounded-linear-inner-right* =

bounded-bilinear.bounded-linear-right [*OF bounded-bilinear-inner*]

lemmas *bounded-linear-inner-left-comp* = *bounded-linear-inner-left*[*THEN bounded-linear-compose*]

lemmas *bounded-linear-inner-right-comp* = *bounded-linear-inner-right*[*THEN bounded-linear-compose*]

lemmas *has-derivative-inner-right* [*derivative-intros*] =

bounded-linear.has-derivative [*OF bounded-linear-inner-right*]

lemmas *has-derivative-inner-left* [*derivative-intros*] =

bounded-linear.has-derivative [*OF bounded-linear-inner-left*]

lemma *differentiable-inner* [*simp*]:

f differentiable (at *x* within *s*) \Longrightarrow *g* differentiable at *x* within *s* \Longrightarrow (λx . *inner* (*f*
x) (*g x*)) differentiable at *x* within *s*
 ⟨*proof*⟩

17.2 Class instances

instantiation *real* :: *real-inner*

begin

definition *inner-real-def* [*simp*]: *inner* = *op* *

instance

⟨*proof*⟩

end

instantiation *complex* :: *real-inner*

begin

definition *inner-complex-def*:

$$\text{inner } x \ y = \text{Re } x * \text{Re } y + \text{Im } x * \text{Im } y$$

instance

$\langle \text{proof} \rangle$

end

lemma *complex-inner-1* [simp]: $\text{inner } 1 \ x = \text{Re } x$

$\langle \text{proof} \rangle$

lemma *complex-inner-1-right* [simp]: $\text{inner } x \ 1 = \text{Re } x$

$\langle \text{proof} \rangle$

lemma *complex-inner-ii-left* [simp]: $\text{inner } ii \ x = \text{Im } x$

$\langle \text{proof} \rangle$

lemma *complex-inner-ii-right* [simp]: $\text{inner } x \ ii = \text{Im } x$

$\langle \text{proof} \rangle$

17.3 Gradient derivative

definition

gderiv ::

$$['a :: \text{real-inner} \Rightarrow \text{real}, 'a, 'a] \Rightarrow \text{bool} \\ ((\text{GDERIV } (-) / (-) / :> (-)) [1000, 1000, 60] 60)$$

where

$$\text{GDERIV } f \ x :> D \longleftrightarrow \text{FDERIV } f \ x :> (\lambda h. \text{inner } h \ D)$$

lemma *gderiv-deriv* [simp]: $\text{GDERIV } f \ x :> D \longleftrightarrow \text{DERIV } f \ x :> D$

$\langle \text{proof} \rangle$

lemma *GDERIV-DERIV-compose*:

$$\llbracket \text{GDERIV } f \ x :> df; \text{DERIV } g \ (f \ x) :> dg \rrbracket \\ \Longrightarrow \text{GDERIV } (\lambda x. g \ (f \ x)) \ x :> \text{scaleR } dg \ df$$

$\langle \text{proof} \rangle$

lemma *has-derivative-subst*: $\llbracket \text{FDERIV } f \ x :> df; df = d \rrbracket \Longrightarrow \text{FDERIV } f \ x :> d$

$\langle \text{proof} \rangle$

lemma *GDERIV-subst*: $\llbracket \text{GDERIV } f \ x :> df; df = d \rrbracket \Longrightarrow \text{GDERIV } f \ x :> d$

$\langle \text{proof} \rangle$

lemma *GDERIV-const*: $\text{GDERIV } (\lambda x. k) \ x :> 0$

$\langle \text{proof} \rangle$

lemma *GDERIV-add*:

$$\llbracket \text{GDERIV } f \ x :> df; \text{GDERIV } g \ x :> dg \rrbracket$$

$\implies \text{GDERIV } (\lambda x. f x + g x) x \text{ :> } df + dg$
 ⟨proof⟩

lemma *GDERIV-minus*:

$\text{GDERIV } f x \text{ :> } df \implies \text{GDERIV } (\lambda x. - f x) x \text{ :> } - df$
 ⟨proof⟩

lemma *GDERIV-diff*:

$\llbracket \text{GDERIV } f x \text{ :> } df; \text{GDERIV } g x \text{ :> } dg \rrbracket$
 $\implies \text{GDERIV } (\lambda x. f x - g x) x \text{ :> } df - dg$
 ⟨proof⟩

lemma *GDERIV-scaleR*:

$\llbracket \text{DERIV } f x \text{ :> } df; \text{GDERIV } g x \text{ :> } dg \rrbracket$
 $\implies \text{GDERIV } (\lambda x. \text{scaleR } (f x) (g x)) x$
 $\text{:> } (\text{scaleR } (f x) dg + \text{scaleR } df (g x))$
 ⟨proof⟩

lemma *GDERIV-mult*:

$\llbracket \text{GDERIV } f x \text{ :> } df; \text{GDERIV } g x \text{ :> } dg \rrbracket$
 $\implies \text{GDERIV } (\lambda x. f x * g x) x \text{ :> } \text{scaleR } (f x) dg + \text{scaleR } (g x) df$
 ⟨proof⟩

lemma *GDERIV-inverse*:

$\llbracket \text{GDERIV } f x \text{ :> } df; f x \neq 0 \rrbracket$
 $\implies \text{GDERIV } (\lambda x. \text{inverse } (f x)) x \text{ :> } - (\text{inverse } (f x))^2 *_R df$
 ⟨proof⟩

lemma *GDERIV-norm*:

assumes $x \neq 0$ **shows** $\text{GDERIV } (\lambda x. \text{norm } x) x \text{ :> } \text{sgn } x$
 ⟨proof⟩

lemmas *has-derivative-norm* = *GDERIV-norm* [unfolded *gderiv-def*]

end

18 Additive group operations on product types

theory *Product-plus*

imports *Main*

begin

18.1 Operations

instantiation *prod* :: (zero, zero) zero

begin

definition *zero-prod-def*: $0 = (0, 0)$

instance $\langle proof \rangle$
end

instantiation $prod :: (plus, plus) plus$
begin

definition $plus-prod-def$:
 $x + y = (fst\ x + fst\ y, snd\ x + snd\ y)$

instance $\langle proof \rangle$
end

instantiation $prod :: (minus, minus) minus$
begin

definition $minus-prod-def$:
 $x - y = (fst\ x - fst\ y, snd\ x - snd\ y)$

instance $\langle proof \rangle$
end

instantiation $prod :: (uminus, uminus) uminus$
begin

definition $uminus-prod-def$:
 $- x = (-\ fst\ x, -\ snd\ x)$

instance $\langle proof \rangle$
end

lemma $fst-zero$ $[simp]$: $fst\ 0 = 0$
 $\langle proof \rangle$

lemma $snd-zero$ $[simp]$: $snd\ 0 = 0$
 $\langle proof \rangle$

lemma $fst-add$ $[simp]$: $fst\ (x + y) = fst\ x + fst\ y$
 $\langle proof \rangle$

lemma $snd-add$ $[simp]$: $snd\ (x + y) = snd\ x + snd\ y$
 $\langle proof \rangle$

lemma $fst-diff$ $[simp]$: $fst\ (x - y) = fst\ x - fst\ y$
 $\langle proof \rangle$

lemma $snd-diff$ $[simp]$: $snd\ (x - y) = snd\ x - snd\ y$
 $\langle proof \rangle$

lemma $fst-uminus$ $[simp]$: $fst\ (-\ x) = -\ fst\ x$

<proof>

lemma *snd-uminus* [*simp*]: $snd (- x) = - snd x$
<proof>

lemma *add-Pair* [*simp*]: $(a, b) + (c, d) = (a + c, b + d)$
<proof>

lemma *diff-Pair* [*simp*]: $(a, b) - (c, d) = (a - c, b - d)$
<proof>

lemma *uminus-Pair* [*simp, code*]: $-(a, b) = (- a, - b)$
<proof>

18.2 Class instances

instance *prod* :: (*semigroup-add, semigroup-add*) *semigroup-add*
<proof>

instance *prod* :: (*ab-semigroup-add, ab-semigroup-add*) *ab-semigroup-add*
<proof>

instance *prod* :: (*monoid-add, monoid-add*) *monoid-add*
<proof>

instance *prod* :: (*comm-monoid-add, comm-monoid-add*) *comm-monoid-add*
<proof>

instance *prod* :: (*cancel-semigroup-add, cancel-semigroup-add*) *cancel-semigroup-add*
<proof>

instance *prod* :: (*cancel-ab-semigroup-add, cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*
<proof>

instance *prod* :: (*cancel-comm-monoid-add, cancel-comm-monoid-add*) *cancel-comm-monoid-add*
<proof>

instance *prod* :: (*group-add, group-add*) *group-add*
<proof>

instance *prod* :: (*ab-group-add, ab-group-add*) *ab-group-add*
<proof>

lemma *fst-setsum*: $fst (\sum x \in A. f x) = (\sum x \in A. fst (f x))$
<proof>

lemma *snd-setsum*: $snd (\sum x \in A. f x) = (\sum x \in A. snd (f x))$
<proof>

lemma *setsum-prod*: $(\sum x \in A. (f x, g x)) = (\sum x \in A. f x, \sum x \in A. g x)$
 ⟨*proof*⟩

end

19 Cartesian Products as Vector Spaces

theory *Product-Vector*
imports *Inner-Product Product-plus*
begin

19.1 Product is a real vector space

instantiation *prod* :: (*real-vector*, *real-vector*) *real-vector*
begin

definition *scaleR-prod-def*:
 $scaleR\ r\ A = (scaleR\ r\ (fst\ A), scaleR\ r\ (snd\ A))$

lemma *fst-scaleR* [*simp*]: $fst\ (scaleR\ r\ A) = scaleR\ r\ (fst\ A)$
 ⟨*proof*⟩

lemma *snd-scaleR* [*simp*]: $snd\ (scaleR\ r\ A) = scaleR\ r\ (snd\ A)$
 ⟨*proof*⟩

lemma *scaleR-Pair* [*simp*]: $scaleR\ r\ (a, b) = (scaleR\ r\ a, scaleR\ r\ b)$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

19.2 Product is a metric space

instantiation *prod* :: (*metric-space*, *metric-space*) *dist*
begin

definition *dist-prod-def*[*code del*]:
 $dist\ x\ y = sqrt\ ((dist\ (fst\ x)\ (fst\ y))^2 + (dist\ (snd\ x)\ (snd\ y))^2)$

instance ⟨*proof*⟩
end

instantiation *prod* :: (*metric-space*, *metric-space*) *uniformity-dist*
begin

definition [*code del*]:
 $(uniformity :: (('a \times 'b) \times ('a \times 'b))\ filter) =$

(*INF* $e:\{0 <..\}$). *principal* $\{(x, y). \text{dist } x \ y < e\}$)

instance

<proof>

end

declare *uniformity-Abort*[**where** $'a='a :: \text{metric-space} \times 'b :: \text{metric-space}, \text{code}$]

instantiation *prod* :: (*metric-space, metric-space*) *metric-space*

begin

lemma *dist-Pair-Pair*: $\text{dist } (a, b) \ (c, d) = \text{sqrt } ((\text{dist } a \ c)^2 + (\text{dist } b \ d)^2)$

<proof>

lemma *dist-fst-le*: $\text{dist } (\text{fst } x) \ (\text{fst } y) \leq \text{dist } x \ y$

<proof>

lemma *dist-snd-le*: $\text{dist } (\text{snd } x) \ (\text{snd } y) \leq \text{dist } x \ y$

<proof>

instance

<proof>

end

declare [[*code abort*: $\text{dist}::('a::\text{metric-space}*'b::\text{metric-space})\Rightarrow('a*'b) \Rightarrow \text{real}$]]

lemma *Cauchy-fst*: $\text{Cauchy } X \Longrightarrow \text{Cauchy } (\lambda n. \text{fst } (X \ n))$

<proof>

lemma *Cauchy-snd*: $\text{Cauchy } X \Longrightarrow \text{Cauchy } (\lambda n. \text{snd } (X \ n))$

<proof>

lemma *Cauchy-Pair*:

assumes *Cauchy X and Cauchy Y*

shows $\text{Cauchy } (\lambda n. (X \ n, Y \ n))$

<proof>

19.3 Product is a complete metric space

instance *prod* :: (*complete-space, complete-space*) *complete-space*

<proof>

19.4 Product is a normed vector space

instantiation *prod* :: (*real-normed-vector, real-normed-vector*) *real-normed-vector*

begin

definition *norm-prod-def*[*code del*]:

$\text{norm } x = \text{sqrt } ((\text{norm } (\text{fst } x))^2 + (\text{norm } (\text{snd } x))^2)$

definition *sgn-prod-def*:

$\text{sgn } (x::'a \times 'b) = \text{scaleR } (\text{inverse } (\text{norm } x)) x$

lemma *norm-Pair*: $\text{norm } (a, b) = \text{sqrt } ((\text{norm } a)^2 + (\text{norm } b)^2)$
 ⟨proof⟩

instance
 ⟨proof⟩

end

declare [[code abort: $\text{norm}::('a::\text{real-normed-vector} * 'b::\text{real-normed-vector}) \Rightarrow \text{real}$]]

instance *prod* :: (*banach*, *banach*) *banach* ⟨proof⟩

19.4.1 Pair operations are linear

lemma *bounded-linear-fst*: *bounded-linear fst*
 ⟨proof⟩

lemma *bounded-linear-snd*: *bounded-linear snd*
 ⟨proof⟩

lemmas *bounded-linear-fst-comp* = *bounded-linear-fst*[*THEN bounded-linear-compose*]

lemmas *bounded-linear-snd-comp* = *bounded-linear-snd*[*THEN bounded-linear-compose*]

lemma *bounded-linear-Pair*:
assumes *f*: *bounded-linear f*
assumes *g*: *bounded-linear g*
shows *bounded-linear* ($\lambda x. (f x, g x)$)
 ⟨proof⟩

19.4.2 Frechet derivatives involving pairs

lemma *has-derivative-Pair* [*derivative-intros*]:
assumes *f*: (*f has-derivative f'*) (at *x within s*) **and** *g*: (*g has-derivative g'*) (at
x within s)
shows ($(\lambda x. (f x, g x)) \text{ has-derivative } (\lambda h. (f' h, g' h))$) (at *x within s*)
 ⟨proof⟩

lemmas *has-derivative-fst* [*derivative-intros*] = *bounded-linear.has-derivative* [*OF*
bounded-linear-fst]

lemmas *has-derivative-snd* [*derivative-intros*] = *bounded-linear.has-derivative* [*OF*
bounded-linear-snd]

lemma *has-derivative-split* [*derivative-intros*]:
 ($(\lambda p. f (fst p) (snd p)) \text{ has-derivative } f'$) *F* $\implies ((\lambda(a, b). f a b) \text{ has-derivative } f')$ *F*

<proof>

19.5 Product is an inner product space

instantiation *prod* :: (*real-inner*, *real-inner*) *real-inner*
begin

definition *inner-prod-def*:

inner x y = inner (fst x) (fst y) + inner (snd x) (snd y)

lemma *inner-Pair* [*simp*]: *inner (a, b) (c, d) = inner a c + inner b d*
<proof>

instance

<proof>

end

lemma *inner-Pair-0*: *inner x (0, b) = inner (snd x) b* *inner x (a, 0) = inner (fst x) a*
<proof>

lemma

fixes *x* :: '*a*::*real-normed-vector*

shows *norm-Pair1* [*simp*]: *norm (0,x) = norm x*

and *norm-Pair2* [*simp*]: *norm (x,0) = norm x*

<proof>

lemma *norm-commute*: *norm (x,y) = norm (y,x)*
<proof>

lemma *norm-fst-le*: *norm x ≤ norm (x,y)*
<proof>

lemma *norm-snd-le*: *norm y ≤ norm (x,y)*
<proof>

end

20 Convexity in real vector spaces

theory *Convex*

imports *Product-Vector*

begin

20.1 Convexity

definition *convex* :: '*a*::*real-vector set* ⇒ *bool*

where $\text{convex } s \iff (\forall x \in s. \forall y \in s. \forall u \geq 0. \forall v \geq 0. u + v = 1 \implies u *_R x + v *_R y \in s)$

lemma *convexI*:

assumes $\bigwedge x y u v. x \in s \implies y \in s \implies 0 \leq u \implies 0 \leq v \implies u + v = 1 \implies u *_R x + v *_R y \in s$

shows $\text{convex } s$

<proof>

lemma *convexD*:

assumes $\text{convex } s$ **and** $x \in s$ **and** $y \in s$ **and** $0 \leq u$ **and** $0 \leq v$ **and** $u + v = 1$

shows $u *_R x + v *_R y \in s$

<proof>

lemma *convex-alt*:

$\text{convex } s \iff (\forall x \in s. \forall y \in s. \forall u. 0 \leq u \wedge u \leq 1 \implies ((1 - u) *_R x + u *_R y) \in s)$

(**is** - \iff ?*alt*)

<proof>

lemma *convexD-alt*:

assumes $\text{convex } s$ $a \in s$ $b \in s$ $0 \leq u$ $u \leq 1$

shows $((1 - u) *_R a + u *_R b) \in s$

<proof>

lemma *mem-convex-alt*:

assumes $\text{convex } S$ $x \in S$ $y \in S$ $u \geq 0$ $v \geq 0$ $u + v > 0$

shows $((u/(u+v)) *_R x + (v/(u+v)) *_R y) \in S$

<proof>

lemma *convex-empty[intro,simp]*: $\text{convex } \{\}$

<proof>

lemma *convex-singleton[intro,simp]*: $\text{convex } \{a\}$

<proof>

lemma *convex-UNIV[intro,simp]*: $\text{convex } UNIV$

<proof>

lemma *convex-Inter*: $(\forall s \in f. \text{convex } s) \implies \text{convex}(\bigcap f)$

<proof>

lemma *convex-Int*: $\text{convex } s \implies \text{convex } t \implies \text{convex } (s \cap t)$

<proof>

lemma *convex-INT*: $\forall i \in A. \text{convex } (B i) \implies \text{convex } (\bigcap i \in A. B i)$

<proof>

lemma *convex-Times*: $\text{convex } s \implies \text{convex } t \implies \text{convex } (s \times t)$

<proof>

lemma *convex-halfspace-le*: *convex* {*x*. *inner a x* ≤ *b*}

<proof>

lemma *convex-halfspace-ge*: *convex* {*x*. *inner a x* ≥ *b*}

<proof>

lemma *convex-hyperplane*: *convex* {*x*. *inner a x* = *b*}

<proof>

lemma *convex-halfspace-lt*: *convex* {*x*. *inner a x* < *b*}

<proof>

lemma *convex-halfspace-gt*: *convex* {*x*. *inner a x* > *b*}

<proof>

lemma *convex-real-interval* [*iff*]:

fixes *a b* :: *real*

shows *convex* {*a*..*b*} **and** *convex* {..*b*}

and *convex* {*a*<..*b*} **and** *convex* {..*b*}

and *convex* {*a*..*b*} **and** *convex* {*a*<..*b*}

and *convex* {*a*..*b*} **and** *convex* {*a*<..*b*}

<proof>

lemma *convex-Reals*: *convex* \mathbb{R}

<proof>

20.2 Explicit expressions for convexity in terms of arbitrary sums

lemma *convex-setsum*:

fixes *C* :: '*a*::*real-vector set*

assumes *finite s*

and *convex C*

and $(\sum_{i \in s} a\ i) = 1$

assumes $\bigwedge i. i \in s \implies a\ i \geq 0$

and $\bigwedge i. i \in s \implies y\ i \in C$

shows $(\sum_{j \in s} a\ j *_{\mathbb{R}} y\ j) \in C$

<proof>

lemma *convex*:

convex s $\longleftrightarrow (\forall (k::nat) u\ x. (\forall i. 1 \leq i \wedge i \leq k \longrightarrow 0 \leq u\ i \wedge x\ i \in s) \wedge (\text{setsum } u\ \{1..k\} = 1) \longrightarrow \text{setsum } (\lambda i. u\ i *_{\mathbb{R}} x\ i)\ \{1..k\} \in s)$

<proof>

lemma *convex-explicit*:

fixes $s :: 'a::\text{real-vector set}$
shows $\text{convex } s \longleftrightarrow$
 $(\forall t u. \text{finite } t \wedge t \subseteq s \wedge (\forall x \in t. 0 \leq u x) \wedge \text{setsum } u t = 1 \longrightarrow \text{setsum } (\lambda x. u x *_R x) t \in s)$
 $\langle \text{proof} \rangle$

lemma convex-finite :
assumes $\text{finite } s$
shows $\text{convex } s \longleftrightarrow (\forall u. (\forall x \in s. 0 \leq u x) \wedge \text{setsum } u s = 1 \longrightarrow \text{setsum } (\lambda x. u x *_R x) s \in s)$
 $\langle \text{proof} \rangle$

20.3 Functions that are convex on a set

definition $\text{convex-on} :: 'a::\text{real-vector set} \Rightarrow ('a \Rightarrow \text{real}) \Rightarrow \text{bool}$
where $\text{convex-on } s f \longleftrightarrow$
 $(\forall x \in s. \forall y \in s. \forall u \geq 0. \forall v \geq 0. u + v = 1 \longrightarrow f (u *_R x + v *_R y) \leq u * f x + v * f y)$

lemma convex-onI [*intro?*]:
assumes $\bigwedge t x y. t > 0 \implies t < 1 \implies x \in A \implies y \in A \implies$
 $f ((1 - t) *_R x + t *_R y) \leq (1 - t) * f x + t * f y$
shows $\text{convex-on } A f$
 $\langle \text{proof} \rangle$

lemma $\text{convex-on-linorderI}$ [*intro?*]:
fixes $A :: ('a::\{\text{linorder, real-vector}\}) \text{ set}$
assumes $\bigwedge t x y. t > 0 \implies t < 1 \implies x \in A \implies y \in A \implies x < y \implies$
 $f ((1 - t) *_R x + t *_R y) \leq (1 - t) * f x + t * f y$
shows $\text{convex-on } A f$
 $\langle \text{proof} \rangle$

lemma convex-onD :
assumes $\text{convex-on } A f$
shows $\bigwedge t x y. t \geq 0 \implies t \leq 1 \implies x \in A \implies y \in A \implies$
 $f ((1 - t) *_R x + t *_R y) \leq (1 - t) * f x + t * f y$
 $\langle \text{proof} \rangle$

lemma convex-onD-Icc :
assumes $\text{convex-on } \{x..y\} f x \leq (y :: - :: \{\text{real-vector, preorder}\})$
shows $\bigwedge t. t \geq 0 \implies t \leq 1 \implies$
 $f ((1 - t) *_R x + t *_R y) \leq (1 - t) * f x + t * f y$
 $\langle \text{proof} \rangle$

lemma convex-on-subset : $\text{convex-on } t f \implies s \subseteq t \implies \text{convex-on } s f$
 $\langle \text{proof} \rangle$

lemma convex-on-add [*intro*]:
assumes $\text{convex-on } s f$

and *convex-on s g*
shows *convex-on s* ($\lambda x. f x + g x$)
 ⟨*proof*⟩

lemma *convex-on-cmul* [*intro*]:
fixes $c :: \text{real}$
assumes $0 \leq c$
and *convex-on s f*
shows *convex-on s* ($\lambda x. c * f x$)
 ⟨*proof*⟩

lemma *convex-lower*:
assumes *convex-on s f*
and $x \in s$
and $y \in s$
and $0 \leq u$
and $0 \leq v$
and $u + v = 1$
shows $f (u *_R x + v *_R y) \leq \max (f x) (f y)$
 ⟨*proof*⟩

lemma *convex-on-dist* [*intro*]:
fixes $s :: 'a::\text{real-normed-vector set}$
shows *convex-on s* ($\lambda x. \text{dist } a x$)
 ⟨*proof*⟩

20.4 Arithmetic operations on sets preserve convexity

lemma *convex-linear-image*:
assumes *linear f*
and *convex s*
shows *convex* ($f \text{ ` } s$)
 ⟨*proof*⟩

lemma *convex-linear-vimage*:
assumes *linear f*
and *convex s*
shows *convex* ($f \text{ - ` } s$)
 ⟨*proof*⟩

lemma *convex-scaling*:
assumes *convex s*
shows *convex* ($(\lambda x. c *_R x) \text{ ` } s$)
 ⟨*proof*⟩

lemma *convex-scaled*:
assumes *convex s*
shows *convex* ($(\lambda x. x *_R c) \text{ ` } s$)
 ⟨*proof*⟩

lemma *convex-negations:*

assumes *convex s*

shows *convex* $((\lambda x. - x) \text{ ` } s)$

<proof>

lemma *convex-sums:*

assumes *convex s*

and *convex t*

shows *convex* $\{x + y \mid x y. x \in s \wedge y \in t\}$

<proof>

lemma *convex-differences:*

assumes *convex s convex t*

shows *convex* $\{x - y \mid x y. x \in s \wedge y \in t\}$

<proof>

lemma *convex-translation:*

assumes *convex s*

shows *convex* $((\lambda x. a + x) \text{ ` } s)$

<proof>

lemma *convex-affinity:*

assumes *convex s*

shows *convex* $((\lambda x. a + c *_R x) \text{ ` } s)$

<proof>

lemma *pos-is-convex:* *convex* $\{0 :: \text{real} <..\}$

<proof>

lemma *convex-on-setsum:*

fixes $a :: 'a \Rightarrow \text{real}$

and $y :: 'a \Rightarrow 'b::\text{real-vector}$

and $f :: 'b \Rightarrow \text{real}$

assumes *finite s s $\neq \{\}$*

and *convex-on C f*

and *convex C*

and $(\sum i \in s. a i) = 1$

and $\bigwedge i. i \in s \implies a i \geq 0$

and $\bigwedge i. i \in s \implies y i \in C$

shows $f (\sum i \in s. a i *_R y i) \leq (\sum i \in s. a i * f (y i))$

<proof>

lemma *convex-on-alt:*

fixes $C :: 'a::\text{real-vector set}$

assumes *convex C*

shows *convex-on C f* \longleftrightarrow

$(\forall x \in C. \forall y \in C. \forall \mu :: \text{real}. \mu \geq 0 \wedge \mu \leq 1 \longrightarrow$

$f (\mu *_R x + (1 - \mu) *_R y) \leq \mu * f x + (1 - \mu) * f y)$

<proof>

lemma *convex-on-diff*:

fixes $f :: \text{real} \Rightarrow \text{real}$

assumes $f: \text{convex-on } I f$

and $I: x \in I \ y \in I$

and $t: x < t \ t < y$

shows $(f x - f t) / (x - t) \leq (f x - f y) / (x - y)$

and $(f x - f y) / (x - y) \leq (f t - f y) / (t - y)$

<proof>

lemma *pos-convex-function*:

fixes $f :: \text{real} \Rightarrow \text{real}$

assumes $\text{convex } C$

and $\text{leq}: \bigwedge x \ y. x \in C \implies y \in C \implies f' x * (y - x) \leq f y - f x$

shows $\text{convex-on } C f$

<proof>

lemma *atMostAtLeast-subset-convex*:

fixes $C :: \text{real set}$

assumes $\text{convex } C$

and $x \in C \ y \in C \ x < y$

shows $\{x .. y\} \subseteq C$

<proof>

lemma *f''-imp-f'*:

fixes $f :: \text{real} \Rightarrow \text{real}$

assumes $\text{convex } C$

and $f': \bigwedge x. x \in C \implies \text{DERIV } f x :> (f' x)$

and $f'': \bigwedge x. x \in C \implies \text{DERIV } f' x :> (f'' x)$

and $\text{pos}: \bigwedge x. x \in C \implies f'' x \geq 0$

and $x \in C \ y \in C$

shows $f' x * (y - x) \leq f y - f x$

<proof>

lemma *f''-ge0-imp-convex*:

fixes $f :: \text{real} \Rightarrow \text{real}$

assumes $\text{conv}: \text{convex } C$

and $f': \bigwedge x. x \in C \implies \text{DERIV } f x :> (f' x)$

and $f'': \bigwedge x. x \in C \implies \text{DERIV } f' x :> (f'' x)$

and $\text{pos}: \bigwedge x. x \in C \implies f'' x \geq 0$

shows $\text{convex-on } C f$

<proof>

lemma *minus-log-convex*:

fixes $b :: \text{real}$

assumes $b > 1$

shows $\text{convex-on } \{0 <..\} (\lambda x. - \log b x)$

<proof>

20.5 Convexity of real functions

lemma *convex-on-realI*:

assumes *connected A*

assumes $\bigwedge x. x \in A \implies (f \text{ has-real-derivative } f' x) \text{ (at } x)$

assumes $\bigwedge x y. x \in A \implies y \in A \implies x \leq y \implies f' x \leq f' y$

shows *convex-on A f*

<proof>

lemma *convex-on-inverse*:

assumes $A \subseteq \{0 < ..\}$

shows *convex-on A (inverse :: real \Rightarrow real)*

<proof>

lemma *convex-onD-Icc'*:

assumes *convex-on {x..y} f c \in {x..y}*

defines $d \equiv y - x$

shows $f c \leq (f y - f x) / d * (c - x) + f x$

<proof>

lemma *convex-onD-Icc''*:

assumes *convex-on {x..y} f c \in {x..y}*

defines $d \equiv y - x$

shows $f c \leq (f x - f y) / d * (y - c) + f y$

<proof>

end

21 Pretty syntax for lattice operations

theory *Lattice-Syntax*

imports *Complete-Lattices*

begin

notation

bot (\perp) **and**

top (\top) **and**

inf (**infixl** \sqcap 70) **and**

sup (**infixl** \sqcup 65) **and**

Inf (\sqcap - [900] 900) **and**

Sup (\sqcup - [900] 900)

syntax

-INF1 :: *pitrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ -./ -) [0, 10] 10)

-INF :: *pitrn* \Rightarrow 'a set \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ - \in -./ -) [0, 0, 10] 10)

-SUP1 :: *pitrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ -./ -) [0, 10] 10)

-SUP :: *pitrn* \Rightarrow 'a set \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ - \in -./ -) [0, 0, 10] 10)

end

22 Formalisation of chain-complete partial orders, continuity and admissibility

theory *Complete-Partial-Order2* **imports**

Main

~/src/HOL/Library/Lattice-Syntax

begin

context begin interpretation *lifting-syntax* \langle *proof* \rangle

lemma *chain-transfer* [*transfer-rule*]:

$((A \text{ ===> } A \text{ ===> } op =) \text{ ===> } rel\text{-set } A \text{ ===> } op =)$ *Complete-Partial-Order.chain*
Complete-Partial-Order.chain
 \langle *proof* \rangle

end

lemma *linorder-chain* [*simp, intro!*]:

fixes $Y :: - :: linorder\ set$

shows *Complete-Partial-Order.chain* $op \leq Y$

\langle *proof* \rangle

lemma *fun-lub-apply*: $\bigwedge Sup. fun\text{-lub } Sup\ Y\ x = Sup\ ((\lambda f. f\ x) \text{ ‘ } Y)$

\langle *proof* \rangle

lemma *fun-lub-empty* [*simp*]: $fun\text{-lub } lub\ \{\} = (\lambda -. lub\ \{\})$

\langle *proof* \rangle

lemma *chain-fun-ordD*:

assumes *Complete-Partial-Order.chain* (*fun-ord le*) Y

shows *Complete-Partial-Order.chain* $le\ ((\lambda f. f\ x) \text{ ‘ } Y)$

\langle *proof* \rangle

lemma *chain-Diff*:

Complete-Partial-Order.chain $ord\ A$

\implies *Complete-Partial-Order.chain* $ord\ (A - B)$

\langle *proof* \rangle

lemma *chain-rel-prodD1*:

Complete-Partial-Order.chain (*rel-prod orda ordb*) Y

\implies *Complete-Partial-Order.chain* $orda\ (fst \text{ ‘ } Y)$

\langle *proof* \rangle

lemma *chain-rel-prodD2*:

Complete-Partial-Order.chain (*rel-prod orda ordb*) Y

\implies *Complete-Partial-Order.chain* $ordb\ (snd \text{ ‘ } Y)$

<proof>

context *ccpo* **begin**

lemma *ccpo-fun*: *class.ccpo (fun-lub Sup) (fun-ord op ≤) (mk-less (fun-ord op ≤))*
<proof>

lemma *ccpo-Sup-below-iff*: *Complete-Partial-Order.chain op ≤ Y ⇒ Sup Y ≤*
x ⇔ (∀ y ∈ Y. y ≤ x)
<proof>

lemma *Sup-minus-bot*:
assumes *chain*: *Complete-Partial-Order.chain op ≤ A*
shows $\sqcup (A - \{\sqcup \{\}\}) = \sqcup A$
<proof>

lemma *mono-lub*:
fixes *le-b* (**infix** \sqsubseteq 60)
assumes *chain*: *Complete-Partial-Order.chain (fun-ord op ≤) Y*
and *mono*: $\bigwedge f. f \in Y \Rightarrow \text{monotone } le-b \text{ } op \leq f$
shows *monotone op* $\sqsubseteq op \leq (fun-lub \text{ } Sup \text{ } Y)$
<proof>

context
fixes *le-b* (**infix** \sqsubseteq 60) **and** *Y f*
assumes *chain*: *Complete-Partial-Order.chain le-b Y*
and *mono1*: $\bigwedge y. y \in Y \Rightarrow \text{monotone } le-b \text{ } op \leq (\lambda x. f \ x \ y)$
and *mono2*: $\bigwedge x \ a \ b. \llbracket x \in Y; a \sqsubseteq b; a \in Y; b \in Y \rrbracket \Rightarrow f \ x \ a \leq f \ x \ b$
begin

lemma *Sup-mono*:
assumes *le*: $x \sqsubseteq y$ **and** *x*: $x \in Y$ **and** *y*: $y \in Y$
shows $\sqcup (f \ x \ ' \ Y) \leq \sqcup (f \ y \ ' \ Y)$ (**is** - \leq ?*rhs*)
<proof>

lemma *diag-Sup*: $\sqcup ((\lambda x. \sqcup (f \ x \ ' \ Y)) \ ' \ Y) = \sqcup ((\lambda x. f \ x \ x) \ ' \ Y)$ (**is** ?*lhs* = ?*rhs*)
<proof>

end

lemma *Sup-image-mono-le*:
fixes *le-b* (**infix** \sqsubseteq 60) **and** *Sup-b* (\bigvee - [900] 900)
assumes *ccpo*: *class.ccpo Sup-b op* \sqsubseteq *lt-b*
assumes *chain*: *Complete-Partial-Order.chain op* \sqsubseteq *Y*
and *mono*: $\bigwedge x \ y. \llbracket x \sqsubseteq y; x \in Y \rrbracket \Rightarrow f \ x \leq f \ y$
shows *Sup* $(f \ ' \ Y) \leq f \ (\bigvee Y)$
<proof>

lemma *swap-Sup*:

fixes *le-b* (**infix** \sqsubseteq 60)

assumes *Y*: *Complete-Partial-Order.chain op* \sqsubseteq *Y*

and *Z*: *Complete-Partial-Order.chain (fun-ord op \leq) Z*

and *mono*: $\bigwedge f. f \in Z \implies \text{monotone } op \sqsubseteq op \leq f$

shows $\bigsqcup((\lambda x. \bigsqcup(x \text{ ' } Y)) \text{ ' } Z) = \bigsqcup((\lambda x. \bigsqcup((\lambda f. f x) \text{ ' } Z)) \text{ ' } Y)$
(is ?lhs = ?rhs)

<proof>

lemma *fixp-mono*:

assumes *fg*: *fun-ord op \leq f g*

and *f*: *monotone op \leq op \leq f*

and *g*: *monotone op \leq op \leq g*

shows *ccpo-class.fixp f \leq ccpo-class.fixp g*

<proof>

context **fixes** *ordb* :: *'b \Rightarrow 'b \Rightarrow bool* (**infix** \sqsubseteq 60) **begin**

lemma *iterates-mono*:

assumes *f*: *f \in ccpo.iterates (fun-lub Sup) (fun-ord op \leq) F*

and *mono*: $\bigwedge f. \text{monotone } op \sqsubseteq op \leq f \implies \text{monotone } op \sqsubseteq op \leq (F f)$

shows *monotone op \sqsubseteq op \leq f*

<proof>

lemma *fixp-preserves-mono*:

assumes *mono*: $\bigwedge x. \text{monotone } (fun\text{-ord } op \leq) op \leq (\lambda f. F f x)$

and *mono2*: $\bigwedge f. \text{monotone } op \sqsubseteq op \leq f \implies \text{monotone } op \sqsubseteq op \leq (F f)$

shows *monotone op \sqsubseteq op \leq (ccpo.fixp (fun-lub Sup) (fun-ord op \leq) F)*

(is monotone - - ?fixp)

<proof>

end

end

lemma *monotone2monotone*:

assumes *2*: $\bigwedge x. \text{monotone } ordb ordc (\lambda y. f x y)$

and *t*: *monotone orda ordb ($\lambda x. t x$)*

and *1*: $\bigwedge y. \text{monotone } orda ordc (\lambda x. f x y)$

and *trans*: *transp ordc*

shows *monotone orda ordc ($\lambda x. f x (t x)$)*

<proof>

22.1 Continuity

definition *cont* :: *('a set \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('b set \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool*

where

cont luba orda lubb ordb f \longleftrightarrow

$(\forall Y. \text{Complete-Partial-Order.chain } \text{orda } Y \longrightarrow Y \neq \{\} \longrightarrow f \text{ (luba } Y) = \text{lubb (f ' Y)})$

definition $mcont :: ('a \text{ set} \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \text{ set} \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

where

$mcont \text{ luba } \text{orda } \text{lubb } \text{ordb } f \longleftrightarrow$
 $\text{monotone } \text{orda } \text{ordb } f \wedge \text{cont } \text{luba } \text{orda } \text{lubb } \text{ordb } f$

22.1.1 Theorem collection *cont-intro*

named-theorems *cont-intro continuity and admissibility intro rules*
 $\langle ML \rangle$

lemmas [*cont-intro*] =

call-mono
let-mono
if-mono
option.const-mono
tailrec.const-mono
bind-mono

declare *if-mono*[*simp*]

lemma *monotone-id'* [*cont-intro*]: $\text{monotone } \text{ord } \text{ord} (\lambda x. x)$
 $\langle \text{proof} \rangle$

lemma *monotone-applyI*:

$\text{monotone } \text{orda } \text{ordb } F \Longrightarrow \text{monotone } (\text{fun-ord } \text{orda}) \text{ordb } (\lambda f. F (f x))$
 $\langle \text{proof} \rangle$

lemma *monotone-if-fun* [*partial-function-mono*]:

$\llbracket \text{monotone } (\text{fun-ord } \text{orda}) (\text{fun-ord } \text{ordb}) F; \text{monotone } (\text{fun-ord } \text{orda}) (\text{fun-ord } \text{ordb}) G \rrbracket$
 $\Longrightarrow \text{monotone } (\text{fun-ord } \text{orda}) (\text{fun-ord } \text{ordb}) (\lambda f n. \text{if } c \text{ } n \text{ then } F f n \text{ else } G f n)$
 $\langle \text{proof} \rangle$

lemma *monotone-fun-apply-fun* [*partial-function-mono*]:

$\text{monotone } (\text{fun-ord } (\text{fun-ord } \text{ord})) (\text{fun-ord } \text{ord}) (\lambda f n. f t (g n))$
 $\langle \text{proof} \rangle$

lemma *monotone-fun-ord-apply*:

$\text{monotone } \text{orda } (\text{fun-ord } \text{ordb}) f \longleftrightarrow (\forall x. \text{monotone } \text{orda } \text{ordb } (\lambda y. f y x))$
 $\langle \text{proof} \rangle$

context *preorder* **begin**

lemma *transp-le* [*simp*, *cont-intro*]: $\text{transp } \text{op} \leq$
 $\langle \text{proof} \rangle$

lemma *monotone-const* [*simp*, *cont-intro*]: *monotone ord op ≤ (λ-. c)*
 ⟨*proof*⟩

end

lemma *transp-le* [*cont-intro*, *simp*]:
class.preorder ord (mk-less ord) ⇒ transp ord
 ⟨*proof*⟩

context *partial-function-definitions* **begin**

declare *const-mono* [*cont-intro*, *simp*]

lemma *transp-le* [*cont-intro*, *simp*]: *transp leq*
 ⟨*proof*⟩

lemma *preorder* [*cont-intro*, *simp*]: *class.preorder leq (mk-less leq)*
 ⟨*proof*⟩

declare *ccpo*[*cont-intro*, *simp*]

end

lemma *contI* [*intro?*]:
 (∧ Y. [*Complete-Partial-Order.chain* *orda* Y; Y ≠ {}] ⇒ f (luba Y) = lubb
 (f ‘ Y))
 ⇒ *cont luba orda lubb ordb f*
 ⟨*proof*⟩

lemma *contD*:
 [*cont luba orda lubb ordb f*; *Complete-Partial-Order.chain* *orda* Y; Y ≠ {}]
 ⇒ f (luba Y) = lubb (f ‘ Y)
 ⟨*proof*⟩

lemma *cont-id* [*simp*, *cont-intro*]: ∧ *Sup. cont Sup ord Sup ord id*
 ⟨*proof*⟩

lemma *cont-id'* [*simp*, *cont-intro*]: ∧ *Sup. cont Sup ord Sup ord (λx. x)*
 ⟨*proof*⟩

lemma *cont-applyI* [*cont-intro*]:
assumes *cont: cont luba orda lubb ordb g*
shows *cont (fun-lub lub) (fun-ord orda) lubb ordb (λf. g (f x))*
 ⟨*proof*⟩

lemma *call-cont*: *cont (fun-lub lub) (fun-ord ord) lub ord (λf. f t)*
 ⟨*proof*⟩

lemma *cont-if* [*cont-intro*]:

$\llbracket \text{cont luba orda lubb ordb } f; \text{ cont luba orda lubb ordb } g \rrbracket$
 $\implies \text{cont luba orda lubb ordb } (\lambda x. \text{ if } c \text{ then } f x \text{ else } g x)$
 ⟨*proof*⟩

lemma *mcontI* [*intro?*]:

$\llbracket \text{monotone orda ordb } f; \text{ cont luba orda lubb ordb } f \rrbracket \implies \text{mcont luba orda lubb ordb } f$
 ⟨*proof*⟩

lemma *mcont-mono*: $\text{mcont luba orda lubb ordb } f \implies \text{monotone orda ordb } f$

⟨*proof*⟩

lemma *mcont-cont* [*simp*]: $\text{mcont luba orda lubb ordb } f \implies \text{cont luba orda lubb ordb } f$

⟨*proof*⟩

lemma *mcont-monoD*:

$\llbracket \text{mcont luba orda lubb ordb } f; \text{ orda } x y \rrbracket \implies \text{ordb } (f x) (f y)$
 ⟨*proof*⟩

lemma *mcont-contD*:

$\llbracket \text{mcont luba orda lubb ordb } f; \text{ Complete-Partial-Order.chain orda } Y; Y \neq \{\} \rrbracket$
 $\implies f (\text{luba } Y) = \text{lubb } (f ' Y)$
 ⟨*proof*⟩

lemma *mcont-call* [*cont-intro*, *simp*]:

$\text{mcont } (\text{fun-lub lub}) (\text{fun-ord ord}) \text{ lub ord } (\lambda f. f t)$
 ⟨*proof*⟩

lemma *mcont-id'* [*cont-intro*, *simp*]: $\text{mcont lub ord lub ord } (\lambda x. x)$

⟨*proof*⟩

lemma *mcont-applyI*:

$\text{mcont luba orda lubb ordb } (\lambda x. F x) \implies \text{mcont } (\text{fun-lub luba}) (\text{fun-ord orda}) \text{ lubb ordb } (\lambda f. F (f x))$
 ⟨*proof*⟩

lemma *mcont-if* [*cont-intro*, *simp*]:

$\llbracket \text{mcont luba orda lubb ordb } (\lambda x. f x); \text{ mcont luba orda lubb ordb } (\lambda x. g x) \rrbracket$
 $\implies \text{mcont luba orda lubb ordb } (\lambda x. \text{ if } c \text{ then } f x \text{ else } g x)$
 ⟨*proof*⟩

lemma *cont-fun-lub-apply*:

$\text{cont luba orda } (\text{fun-lub lubb}) (\text{fun-ord ordb}) f \iff (\forall x. \text{cont luba orda lubb ordb } (\lambda y. f y x))$
 ⟨*proof*⟩

lemma *mcont-fun-lub-apply*:

$mcont\ luba\ orda\ (fun-lub\ lubb)\ (fun-ord\ ordb)\ f \longleftrightarrow (\forall x. mcont\ luba\ orda\ lubb\ ordb\ (\lambda y. f\ y\ x))$
 ⟨proof⟩

context *ccpo* **begin**

lemma *cont-const* [*simp*, *cont-intro*]: $cont\ luba\ orda\ Sup\ op \leq (\lambda x. c)$
 ⟨proof⟩

lemma *mcont-const* [*cont-intro*, *simp*]:
 $mcont\ luba\ orda\ Sup\ op \leq (\lambda x. c)$
 ⟨proof⟩

lemma *cont-apply*:

assumes 2: $\bigwedge x. cont\ lubb\ ordb\ Sup\ op \leq (\lambda y. f\ x\ y)$
and *t*: $cont\ luba\ orda\ lubb\ ordb\ (\lambda x. t\ x)$
and 1: $\bigwedge y. cont\ luba\ orda\ Sup\ op \leq (\lambda x. f\ x\ y)$
and *mono*: $monotone\ orda\ ordb\ (\lambda x. t\ x)$
and *mono2*: $\bigwedge x. monotone\ ordb\ op \leq (\lambda y. f\ x\ y)$
and *mono1*: $\bigwedge y. monotone\ orda\ op \leq (\lambda x. f\ x\ y)$
shows $cont\ luba\ orda\ Sup\ op \leq (\lambda x. f\ x\ (t\ x))$
 ⟨proof⟩

lemma *mcont2mcont'*:

$\llbracket \bigwedge x. mcont\ lub'\ ord'\ Sup\ op \leq (\lambda y. f\ x\ y);$
 $\bigwedge y. mcont\ lub\ ord\ Sup\ op \leq (\lambda x. f\ x\ y);$
 $mcont\ lub\ ord\ lub'\ ord'\ (\lambda y. t\ y) \rrbracket$
 $\implies mcont\ lub\ ord\ Sup\ op \leq (\lambda x. f\ x\ (t\ x))$
 ⟨proof⟩

lemma *mcont2mcont*:

$\llbracket mcont\ lub'\ ord'\ Sup\ op \leq (\lambda x. f\ x); mcont\ lub\ ord\ lub'\ ord'\ (\lambda x. t\ x) \rrbracket$
 $\implies mcont\ lub\ ord\ Sup\ op \leq (\lambda x. f\ (t\ x))$
 ⟨proof⟩

context

fixes *ord* :: 'b \Rightarrow 'b \Rightarrow bool (**infix** \sqsubseteq 60)
and *lub* :: 'b set \Rightarrow 'b (\bigvee - [900] 900)
begin

lemma *cont-fun-lub-Sup*:

assumes *chainM*: *Complete-Partial-Order.chain* (*fun-ord* $op \leq$) *M*
and *mcont* [*rule-format*]: $\forall f \in M. mcont\ lub\ op \sqsubseteq Sup\ op \leq f$
shows $cont\ lub\ op \sqsubseteq Sup\ op \leq (fun-lub\ Sup\ M)$
 ⟨proof⟩

lemma *mcont-fun-lub-Sup*:

$\llbracket Complete-Partial-Order.chain\ (fun-ord\ op \leq)\ M;$
 $\forall f \in M. mcont\ lub\ ord\ Sup\ op \leq f \rrbracket$

$\implies mcont\ lub\ op \sqsubseteq Sup\ op \leq (fun-lub\ Sup\ M)$
 <proof>

lemma iterates-mcont:

assumes $f: f \in cppo.iterates\ (fun-lub\ Sup)\ (fun-ord\ op \leq)\ F$
and mono: $\bigwedge f. mcont\ lub\ op \sqsubseteq Sup\ op \leq f \implies mcont\ lub\ op \sqsubseteq Sup\ op \leq (F\ f)$
shows $mcont\ lub\ op \sqsubseteq Sup\ op \leq f$
 <proof>

lemma fixp-preserves-mcont:

assumes mono: $\bigwedge x. monotone\ (fun-ord\ op \leq)\ op \leq (\lambda f. F\ f\ x)$
and mcont: $\bigwedge f. mcont\ lub\ op \sqsubseteq Sup\ op \leq f \implies mcont\ lub\ op \sqsubseteq Sup\ op \leq (F\ f)$
shows $mcont\ lub\ op \sqsubseteq Sup\ op \leq (ccpo.fixp\ (fun-lub\ Sup)\ (fun-ord\ op \leq)\ F)$
 (is mcont - - - ?fixp)
 <proof>

end

context

fixes $F :: 'c \Rightarrow 'c$ **and** $U :: 'c \Rightarrow 'b \Rightarrow 'a$ **and** $C :: ('b \Rightarrow 'a) \Rightarrow 'c$ **and** f
assumes mono: $\bigwedge x. monotone\ (fun-ord\ op \leq)\ op \leq (\lambda f. U\ (F\ (C\ f))\ x)$
and eq: $f \equiv C\ (ccpo.fixp\ (fun-lub\ Sup)\ (fun-ord\ op \leq)\ (\lambda f. U\ (F\ (C\ f))))$
and inverse: $\bigwedge f. U\ (C\ f) = f$
begin

lemma fixp-preserves-mono-uc:

assumes mono2: $\bigwedge f. monotone\ ord\ op \leq (U\ f) \implies monotone\ ord\ op \leq (U\ (F\ f))$
shows $monotone\ ord\ op \leq (U\ f)$
 <proof>

lemma fixp-preserves-mcont-uc:

assumes mcont: $\bigwedge f. mcont\ lubb\ ordb\ Sup\ op \leq (U\ f) \implies mcont\ lubb\ ordb\ Sup\ op \leq (U\ (F\ f))$
shows $mcont\ lubb\ ordb\ Sup\ op \leq (U\ f)$
 <proof>

end

lemmas fixp-preserves-mono1 = fixp-preserves-mono-uc[of $\lambda x. x - \lambda x. x$, OF - - refl]

lemmas fixp-preserves-mono2 =
 fixp-preserves-mono-uc[of case-prod - curry, unfolded case-prod-curry curry-case-prod, OF - - refl]

lemmas fixp-preserves-mono3 =
 fixp-preserves-mono-uc[of $\lambda f. case-prod\ (case-prod\ f) - \lambda f. curry\ (curry\ f)$, unfolded case-prod-curry curry-case-prod, OF - - refl]

lemmas fixp-preserves-mono4 =

fixp-preserves-mono-uc[of $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } (\text{curry } f))$, unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

lemmas *fixp-preserves-mcont1* = *fixp-preserves-mcont-uc*[of $\lambda x. x - \lambda x. x$, *OF - - refl*]

lemmas *fixp-preserves-mcont2* =
fixp-preserves-mcont-uc[of *case-prod - curry*, unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

lemmas *fixp-preserves-mcont3* =
fixp-preserves-mcont-uc[of $\lambda f. \text{case-prod } (\text{case-prod } f) - \lambda f. \text{curry } (\text{curry } f)$, unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

lemmas *fixp-preserves-mcont4* =
fixp-preserves-mcont-uc[of $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } (\text{curry } f))$, unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

end

lemma (in *preorder*) *monotone-if-bot*:

fixes *bot*

assumes *mono*: $\bigwedge x y. \llbracket x \leq y; \neg (x \leq \text{bound}) \rrbracket \implies \text{ord } (f x) (f y)$

and *bot*: $\bigwedge x. \neg x \leq \text{bound} \implies \text{ord bot } (f x) \text{ ord bot bot}$

shows *monotone op* $\leq \text{ord } (\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x)$

<proof>

lemma (in *ccpo*) *mcont-if-bot*:

fixes *bot* **and** *lub* (\bigvee - [900] 900) **and** *ord* (**infix** \sqsubseteq 60)

assumes *ccpo*: *class.ccpo lub op* \sqsubseteq *lt*

and *mono*: $\bigwedge x y. \llbracket x \leq y; \neg x \leq \text{bound} \rrbracket \implies f x \sqsubseteq f y$

and *cont*: $\bigwedge Y. \llbracket \text{Complete-Partial-Order.chain } \text{op} \leq Y; Y \neq \{\}; \bigwedge x. x \in Y \implies \neg x \leq \text{bound} \rrbracket \implies f (\bigsqcup Y) = \bigvee (f ' Y)$

and *bot*: $\bigwedge x. \neg x \leq \text{bound} \implies \text{bot} \sqsubseteq f x$

shows *mcont Sup op* $\leq \text{lub op} \sqsubseteq (\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x)$ (**is mcont**
- - - - ?g)

<proof>

context *partial-function-definitions* **begin**

lemma *mcont-const* [*cont-intro*, *simp*]:

mcont luba orda lub leq ($\lambda x. c$)

<proof>

lemmas [*cont-intro*, *simp*] =

ccpo.cont-const[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

lemma *mono2mono*:

assumes *monotone ordb leq* ($\lambda y. f y$) *monotone orda ordb* ($\lambda x. t x$)

shows *monotone orda leq* ($\lambda x. f (t x)$)

<proof>

lemmas $mcont2mcont' = ccpo.mcont2mcont$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]
lemmas $mcont2mcont = ccpo.mcont2mcont$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]

lemmas $fixp-preserves-mono1 = ccpo.fixp-preserves-mono1$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mono2 = ccpo.fixp-preserves-mono2$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mono3 = ccpo.fixp-preserves-mono3$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mono4 = ccpo.fixp-preserves-mono4$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mcont1 = ccpo.fixp-preserves-mcont1$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mcont2 = ccpo.fixp-preserves-mcont2$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mcont3 = ccpo.fixp-preserves-mcont3$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]
lemmas $fixp-preserves-mcont4 = ccpo.fixp-preserves-mcont4$ [OF Partial-Function.ccpo [OF partial-function-definitions-axioms]]

lemma *monotone-if-bot*:

fixes bot
assumes $g: \bigwedge x. g\ x = (if\ leq\ x\ bound\ then\ bot\ else\ f\ x)$
and $mono: \bigwedge x\ y. \llbracket leq\ x\ y; \neg leq\ x\ bound \rrbracket \implies ord\ (f\ x)\ (f\ y)$
and $bot: \bigwedge x. \neg leq\ x\ bound \implies ord\ bot\ (f\ x)\ ord\ bot\ bot$
shows $monotone\ leq\ ord\ g$
 $\langle proof \rangle$

lemma *mcont-if-bot*:

fixes bot
assumes $ccpo: class.ccpo\ lub'\ ord\ (mk-less\ ord)$
and $bot: \bigwedge x. \neg leq\ x\ bound \implies ord\ bot\ (f\ x)$
and $g: \bigwedge x. g\ x = (if\ leq\ x\ bound\ then\ bot\ else\ f\ x)$
and $mono: \bigwedge x\ y. \llbracket leq\ x\ y; \neg leq\ x\ bound \rrbracket \implies ord\ (f\ x)\ (f\ y)$
and $cont: \bigwedge Y. \llbracket Complete-Partial-Order.chain\ leq\ Y; Y \neq \{\} \rrbracket; \bigwedge x. x \in Y \implies \neg leq\ x\ bound \rrbracket \implies f\ (lub\ Y) = lub'\ (f\ 'Y)$
shows $mcont\ lub\ leq\ lub'\ ord\ g$
 $\langle proof \rangle$

end

22.2 Admissibility

lemma *admissible-subst*:

assumes $adm: ccpo.admissible\ luba\ orda\ (\lambda x. P\ x)$
and $mcont: mcont\ lubb\ ordb\ luba\ orda\ f$
shows $ccpo.admissible\ lubb\ ordb\ (\lambda x. P\ (f\ x))$
 $\langle proof \rangle$

lemmas [*simp*, *cont-intro*] =
admissible-all
admissible-ball
admissible-const
admissible-conj

lemma *admissible-disj'* [*simp*, *cont-intro*]:
 [[*class.ccpo lub ord (mk-less ord)*; *ccpo.admissible lub ord P*; *ccpo.admissible lub ord Q*]
 \implies *ccpo.admissible lub ord* ($\lambda x. P x \vee Q x$)
 <proof>

lemma *admissible-imp'* [*cont-intro*]:
 [[*class.ccpo lub ord (mk-less ord)*;
ccpo.admissible lub ord ($\lambda x. \neg P x$);
ccpo.admissible lub ord ($\lambda x. Q x$)]
 \implies *ccpo.admissible lub ord* ($\lambda x. P x \longrightarrow Q x$)
 <proof>

lemma *admissible-imp* [*cont-intro*]:
 ($Q \implies$ *ccpo.admissible lub ord* ($\lambda x. P x$))
 \implies *ccpo.admissible lub ord* ($\lambda x. Q \longrightarrow P x$)
 <proof>

lemma *admissible-not-mem'* [*THEN admissible-subst*, *cont-intro*, *simp*]:
 shows *admissible-not-mem*: *ccpo.admissible Union op* \subseteq ($\lambda A. x \notin A$)
 <proof>

lemma *admissible-eqI*:
 assumes *f*: *cont luba orda lub ord* ($\lambda x. f x$)
 and *g*: *cont luba orda lub ord* ($\lambda x. g x$)
 shows *ccpo.admissible luba orda* ($\lambda x. f x = g x$)
 <proof>

corollary *admissible-eq-mcontI* [*cont-intro*]:
 [[*mcont luba orda lub ord* ($\lambda x. f x$);
mcont luba orda lub ord ($\lambda x. g x$)]
 \implies *ccpo.admissible luba orda* ($\lambda x. f x = g x$)
 <proof>

lemma *admissible-iff* [*cont-intro*, *simp*]:
 [[*ccpo.admissible lub ord* ($\lambda x. P x \longrightarrow Q x$); *ccpo.admissible lub ord* ($\lambda x. Q x \longrightarrow P x$)]
 \implies *ccpo.admissible lub ord* ($\lambda x. P x \longleftrightarrow Q x$)
 <proof>

context *ccpo begin*

lemma *admissible-leI*:

```

assumes  $f: mcont\ luba\ orda\ Sup\ op \leq (\lambda x. f\ x)$ 
and  $g: mcont\ luba\ orda\ Sup\ op \leq (\lambda x. g\ x)$ 
shows  $ccpo.admissible\ luba\ orda\ (\lambda x. f\ x \leq g\ x)$ 
<proof>

```

end

```

lemma admissible-leI:
  fixes  $ord$  (infix  $\sqsubseteq$  60) and  $lub$  ( $\bigvee$ - [900] 900)
  assumes  $class.ccpo\ lub\ op \sqsubseteq (mk-less\ op \sqsubseteq)$ 
  and  $mcont\ luba\ orda\ lub\ op \sqsubseteq (\lambda x. f\ x)$ 
  and  $mcont\ luba\ orda\ lub\ op \sqsubseteq (\lambda x. g\ x)$ 
  shows  $ccpo.admissible\ luba\ orda\ (\lambda x. f\ x \sqsubseteq g\ x)$ 
<proof>

```

```

declare  $ccpo-class.admissible-leI$ [cont-intro]

```

context *ccpo* **begin**

```

lemma admissible-not-below:  $ccpo.admissible\ Sup\ op \leq (\lambda x. \neg\ op \leq x\ y)$ 
<proof>

```

end

```

lemma (in preorder) preorder [cont-intro, simp]:  $class.preorder\ op \leq (mk-less\ op \leq)$ 
<proof>

```

context *partial-function-definitions* **begin**

```

lemmas [cont-intro, simp] =
  admissible-leI[OF Partial-Function.ccpo[OF partial-function-definitions-axioms]]
  ccpo.admissible-not-below[THEN admissible-subst, OF Partial-Function.ccpo[OF partial-function-definitions-axioms]]

```

end

```

inductive compact :: ( $'a\ set \Rightarrow 'a$ )  $\Rightarrow$  ( $'a \Rightarrow 'a \Rightarrow bool$ )  $\Rightarrow$   $'a \Rightarrow bool$ 
  for  $lub\ ord\ x$ 
where compact:
  [  $ccpo.admissible\ lub\ ord\ (\lambda y. \neg\ ord\ x\ y)$ ;
     $ccpo.admissible\ lub\ ord\ (\lambda y. x \neq y)$  ]
   $\Rightarrow$  compact\ lub\ ord\ x

```

hide-fact (**open**) *compact*

context *ccpo* **begin**

lemma *compactI*:

assumes *ccpo.admissible Sup op* $\leq (\lambda y. \neg x \leq y)$

shows *compact Sup op* $\leq x$

<proof>

lemma *compact-bot*:

assumes $x = \text{Sup } \{\}$

shows *compact Sup op* $\leq x$

<proof>

end

lemma *admissible-compact-neg'* [*THEN admissible-subst, cont-intro, simp*]:

shows *admissible-compact-neg*: *compact lub ord k* \implies *ccpo.admissible lub ord*
($\lambda x. k \neq x$)

<proof>

lemma *admissible-neg-compact'* [*THEN admissible-subst, cont-intro, simp*]:

shows *admissible-neg-compact*: *compact lub ord k* \implies *ccpo.admissible lub ord*
($\lambda x. x \neq k$)

<proof>

context *partial-function-definitions* **begin**

lemmas [*cont-intro, simp*] = *ccpo.compact-bot*[*OF Partial-Function.ccpo*][*OF partial-function-definitions-axiom*]

end

context *ccpo* **begin**

lemma *fixp-strong-induct*:

assumes [*cont-intro*]: *ccpo.admissible Sup op* $\leq P$

and *mono*: *monotone op* $\leq op \leq f$

and *bot*: $P (\bigsqcup \{\})$

and *step*: $\bigwedge x. \llbracket x \leq \text{ccpo-class.fixp } f; P x \rrbracket \implies P (f x)$

shows $P (\text{ccpo-class.fixp } f)$

<proof>

end

context *partial-function-definitions* **begin**

lemma *fixp-strong-induct-uc*:

fixes $F :: 'c \Rightarrow 'c$

and $U :: 'c \Rightarrow 'b \Rightarrow 'a$

and $C :: ('b \Rightarrow 'a) \Rightarrow 'c$

and $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$

assumes *mono*: $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f)) x)$

and *eq*: $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$


```

and inverse:  $\bigwedge f. U (C f) = f$ 
and adm: ccpo.admissible lub-fun le-fun P
and bot:  $P (\lambda-. \text{lub } \{\})$ 
and step:  $\bigwedge f'. \llbracket P (U f'); \text{le-fun } (U f') (U f) \rrbracket \implies P (U (F f'))$ 
shows  $P (U f)$ 
<proof>

end

```

22.3 *op = as order*

```

definition lub-singleton :: ('a set  $\Rightarrow$  'a)  $\Rightarrow$  bool
where lub-singleton lub  $\longleftrightarrow (\forall a. \text{lub } \{a\} = a)$ 

```

```

definition the-Sup :: 'a set  $\Rightarrow$  'a
where the-Sup A = (THE a. a  $\in$  A)

```

```

lemma lub-singleton-the-Sup [cont-intro, simp]: lub-singleton the-Sup
<proof>

```

```

lemma (in ccpo) lub-singleton: lub-singleton Sup
<proof>

```

```

lemma (in partial-function-definitions) lub-singleton [cont-intro, simp]: lub-singleton lub
<proof>

```

```

lemma preorder-eq [cont-intro, simp]:
  class.preorder op = (mk-less op =)
<proof>

```

```

lemma monotone-eqI [cont-intro]:
  assumes class.preorder ord (mk-less ord)
  shows monotone op = ord f
<proof>

```

```

lemma cont-eqI [cont-intro]:
  fixes f :: 'a  $\Rightarrow$  'b
  assumes lub-singleton lub
  shows cont the-Sup op = lub ord f
<proof>

```

```

lemma mcont-eqI [cont-intro, simp]:
   $\llbracket \text{class.preorder ord (mk-less ord); lub-singleton lub} \rrbracket$ 
   $\implies \text{mcont the-Sup op = lub ord f}$ 
<proof>

```

22.4 *ccpo for products*

```

definition prod-lub :: ('a set  $\Rightarrow$  'a)  $\Rightarrow$  ('b set  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\times$  'b) set  $\Rightarrow$  'a  $\times$  'b

```

where $prod-lub\ Sup-a\ Sup-b\ Y = (Sup-a\ (fst\ 'Y),\ Sup-b\ (snd\ 'Y))$

lemma $lub-singleton-prod-lub$ [*cont-intro*, *simp*]:

[[$lub-singleton\ luba$; $lub-singleton\ lubb$]] $\implies\ lub-singleton\ (prod-lub\ luba\ lubb)$
 <proof>

lemma $prod-lub-empty$ [*simp*]: $prod-lub\ luba\ lubb\ \{\} = (luba\ \{\},\ lubb\ \{\})$

<proof>

lemma $preorder-rel-prodI$ [*cont-intro*, *simp*]:

assumes $class.preorder\ orda\ (mk-less\ orda)$

and $class.preorder\ ordb\ (mk-less\ ordb)$

shows $class.preorder\ (rel-prod\ orda\ ordb)\ (mk-less\ (rel-prod\ orda\ ordb))$

<proof>

lemma $order-rel-prodI$:

assumes $a: class.order\ orda\ (mk-less\ orda)$

and $b: class.order\ ordb\ (mk-less\ ordb)$

shows $class.order\ (rel-prod\ orda\ ordb)\ (mk-less\ (rel-prod\ orda\ ordb))$

(**is** $class.order\ ?ord\ ?ord'$)

<proof>

lemma $monotone-rel-prodI$:

assumes $mono2: \bigwedge a. monotone\ ordb\ ordc\ (\lambda b. f\ (a, b))$

and $mono1: \bigwedge b. monotone\ orda\ ordc\ (\lambda a. f\ (a, b))$

and $a: class.preorder\ orda\ (mk-less\ orda)$

and $b: class.preorder\ ordb\ (mk-less\ ordb)$

and $c: class.preorder\ ordc\ (mk-less\ ordc)$

shows $monotone\ (rel-prod\ orda\ ordb)\ ordc\ f$

<proof>

lemma $monotone-rel-prodD1$:

assumes $mono: monotone\ (rel-prod\ orda\ ordb)\ ordc\ f$

and $preorder: class.preorder\ ordb\ (mk-less\ ordb)$

shows $monotone\ orda\ ordc\ (\lambda a. f\ (a, b))$

<proof>

lemma $monotone-rel-prodD2$:

assumes $mono: monotone\ (rel-prod\ orda\ ordb)\ ordc\ f$

and $preorder: class.preorder\ orda\ (mk-less\ orda)$

shows $monotone\ ordb\ ordc\ (\lambda b. f\ (a, b))$

<proof>

lemma $monotone-case-prodI$:

[[$\bigwedge a. monotone\ ordb\ ordc\ (f\ a)$; $\bigwedge b. monotone\ orda\ ordc\ (\lambda a. f\ a\ b)$;

$class.preorder\ orda\ (mk-less\ orda)$; $class.preorder\ ordb\ (mk-less\ ordb)$;

$class.preorder\ ordc\ (mk-less\ ordc)$]]

$\implies\ monotone\ (rel-prod\ orda\ ordb)\ ordc\ (case-prod\ f)$

<proof>

lemma *monotone-case-prodD1*:

assumes *mono*: *monotone* (*rel-prod* *orda* *ordb*) *ordc* (*case-prod* *f*)
and *preorder*: *class.preorder* *ordb* (*mk-less* *ordb*)
shows *monotone* *orda* *ordc* ($\lambda a. f\ a\ b$)

<proof>

lemma *monotone-case-prodD2*:

assumes *mono*: *monotone* (*rel-prod* *orda* *ordb*) *ordc* (*case-prod* *f*)
and *preorder*: *class.preorder* *orda* (*mk-less* *orda*)
shows *monotone* *ordb* *ordc* (*f* *a*)

<proof>

context

fixes *orda* *ordb* *ordc*
assumes *a*: *class.preorder* *orda* (*mk-less* *orda*)
and *b*: *class.preorder* *ordb* (*mk-less* *ordb*)
and *c*: *class.preorder* *ordc* (*mk-less* *ordc*)

begin

lemma *monotone-rel-prod-iff*:

monotone (*rel-prod* *orda* *ordb*) *ordc* *f* \longleftrightarrow
 $(\forall a. \textit{monotone} \textit{ordb} \textit{ordc} (\lambda b. f\ (a, b))) \wedge$
 $(\forall b. \textit{monotone} \textit{orda} \textit{ordc} (\lambda a. f\ (a, b)))$

<proof>

lemma *monotone-case-prod-iff* [*simp*]:

monotone (*rel-prod* *orda* *ordb*) *ordc* (*case-prod* *f*) \longleftrightarrow
 $(\forall a. \textit{monotone} \textit{ordb} \textit{ordc} (f\ a)) \wedge (\forall b. \textit{monotone} \textit{orda} \textit{ordc} (\lambda a. f\ a\ b))$

<proof>

end

lemma *monotone-case-prod-apply-iff*:

monotone *orda* *ordb* ($\lambda x. (\textit{case-prod}\ f\ x)\ y$) \longleftrightarrow *monotone* *orda* *ordb* (*case-prod*
 $(\lambda a\ b. f\ a\ b\ y)$)

<proof>

lemma *monotone-case-prod-applyD*:

monotone *orda* *ordb* ($\lambda x. (\textit{case-prod}\ f\ x)\ y$)
 \implies *monotone* *orda* *ordb* (*case-prod* $(\lambda a\ b. f\ a\ b\ y)$)

<proof>

lemma *monotone-case-prod-applyI*:

monotone *orda* *ordb* (*case-prod* $(\lambda a\ b. f\ a\ b\ y)$)
 \implies *monotone* *orda* *ordb* ($\lambda x. (\textit{case-prod}\ f\ x)\ y$)

<proof>

lemma *cont-case-prod-apply-iff*:

cont luba orda lubb ordb $(\lambda x. (case\text{-}prod\ f\ x)\ y) \longleftrightarrow cont\ luba\ orda\ lubb\ ordb$
(case-prod $(\lambda a\ b. f\ a\ b\ y))$
<proof>

lemma *cont-case-prod-applyI*:

cont luba orda lubb ordb $(case\text{-}prod\ (\lambda a\ b. f\ a\ b\ y))$
 $\implies cont\ luba\ orda\ lubb\ ordb\ (\lambda x. (case\text{-}prod\ f\ x)\ y)$
<proof>

lemma *cont-case-prod-applyD*:

cont luba orda lubb ordb $(\lambda x. (case\text{-}prod\ f\ x)\ y)$
 $\implies cont\ luba\ orda\ lubb\ ordb\ (case\text{-}prod\ (\lambda a\ b. f\ a\ b\ y))$
<proof>

lemma *mcont-case-prod-apply-iff [simp]*:

mcont luba orda lubb ordb $(\lambda x. (case\text{-}prod\ f\ x)\ y) \longleftrightarrow$
mcont luba orda lubb ordb $(case\text{-}prod\ (\lambda a\ b. f\ a\ b\ y))$
<proof>

lemma *cont-prodD1*:

assumes *cont*: *cont* $(prod\text{-}lub\ luba\ lubb)$ $(rel\text{-}prod\ orda\ ordb)$ *luc ordc* *f*
and *class.preorder* *orda* $(mk\text{-}less\ orda)$
and *luba*: *lub-singleton* *luba*
shows *cont lubb ordb luc ordc* $(\lambda y. f\ (x, y))$
<proof>

lemma *cont-prodD2*:

assumes *cont*: *cont* $(prod\text{-}lub\ luba\ lubb)$ $(rel\text{-}prod\ orda\ ordb)$ *luc ordc* *f*
and *class.preorder* *ordb* $(mk\text{-}less\ ordb)$
and *lubb*: *lub-singleton* *lubb*
shows *cont luba orda luc ordc* $(\lambda x. f\ (x, y))$
<proof>

lemma *cont-case-prodD1*:

assumes *cont* $(prod\text{-}lub\ luba\ lubb)$ $(rel\text{-}prod\ orda\ ordb)$ *luc ordc* $(case\text{-}prod\ f)$
and *class.preorder* *orda* $(mk\text{-}less\ orda)$
and *lub-singleton* *luba*
shows *cont lubb ordb luc ordc* $(f\ x)$
<proof>

lemma *cont-case-prodD2*:

assumes *cont* $(prod\text{-}lub\ luba\ lubb)$ $(rel\text{-}prod\ orda\ ordb)$ *luc ordc* $(case\text{-}prod\ f)$
and *class.preorder* *ordb* $(mk\text{-}less\ ordb)$
and *lub-singleton* *lubb*
shows *cont luba orda luc ordc* $(\lambda x. f\ x\ y)$
<proof>

context *ccpo* **begin**

lemma *cont-prodI*:

assumes *mono*: *monotone* (*rel-prod orda ordb*) *op* \leq *f*
and *cont1*: $\bigwedge x. \text{cont lubb ordb Sup } op \leq (\lambda y. f (x, y))$
and *cont2*: $\bigwedge y. \text{cont luba orda Sup } op \leq (\lambda x. f (x, y))$
and *class.preorder orda* (*mk-less orda*)
and *class.preorder ordb* (*mk-less ordb*)
shows *cont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *Sup op* \leq *f*
 \langle *proof* \rangle

lemma *cont-case-prodI*:

assumes *monotone* (*rel-prod orda ordb*) *op* \leq (*case-prod f*)
and $\bigwedge x. \text{cont lubb ordb Sup } op \leq (\lambda y. f x y)$
and $\bigwedge y. \text{cont luba orda Sup } op \leq (\lambda x. f x y)$
and *class.preorder orda* (*mk-less orda*)
and *class.preorder ordb* (*mk-less ordb*)
shows *cont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *Sup op* \leq (*case-prod f*)
 \langle *proof* \rangle

lemma *cont-case-prod-iff*:

\llbracket *monotone* (*rel-prod orda ordb*) *op* \leq (*case-prod f*);
class.preorder orda (*mk-less orda*); *lub-singleton luba*;
class.preorder ordb (*mk-less ordb*); *lub-singleton lubb* \rrbracket
 $\implies \text{cont (prod-lub luba lubb) (rel-prod orda ordb) Sup } op \leq (\text{case-prod } f) \iff$
 $(\forall x. \text{cont lubb ordb Sup } op \leq (\lambda y. f x y)) \wedge (\forall y. \text{cont luba orda Sup } op \leq (\lambda x.$
 $f x y))$
 \langle *proof* \rangle

end

context *partial-function-definitions* **begin**

lemma *mono2mono2*:

assumes *f*: *monotone* (*rel-prod ordb ordc*) *leq* $(\lambda(x, y). f x y)$
and *t*: *monotone orda ordb* $(\lambda x. t x)$
and *t'*: *monotone orda ordc* $(\lambda x. t' x)$
shows *monotone orda leq* $(\lambda x. f (t x) (t' x))$
 \langle *proof* \rangle

lemma *cont-case-prodI* [*cont-intro*]:

\llbracket *monotone* (*rel-prod orda ordb*) *leq* (*case-prod f*);
 $\bigwedge x. \text{cont lubb ordb lub } leq (\lambda y. f x y);$
 $\bigwedge y. \text{cont luba orda lub } leq (\lambda x. f x y);$
class.preorder orda (*mk-less orda*);
class.preorder ordb (*mk-less ordb*) \rrbracket
 $\implies \text{cont (prod-lub luba lubb) (rel-prod orda ordb) lub } leq (\text{case-prod } f)$
 \langle *proof* \rangle

lemma *cont-case-prod-iff*:

```

[[ monotone (rel-prod orda ordb) leq (case-prod f);
   class.preorder orda (mk-less orda); lub-singleton luba;
   class.preorder ordb (mk-less ordb); lub-singleton lubb ]]
⇒ cont (prod-lub luba lubb) (rel-prod orda ordb) lub leq (case-prod f) ↔
(∀ x. cont lubb ordb lub leq (λ y. f x y)) ∧ (∀ y. cont luba orda lub leq (λ x. f x y))
⟨proof⟩

```

lemma *mcont-case-prod-iff* [*simp*]:

```

[[ class.preorder orda (mk-less orda); lub-singleton luba;
   class.preorder ordb (mk-less ordb); lub-singleton lubb ]]
⇒ mcont (prod-lub luba lubb) (rel-prod orda ordb) lub leq (case-prod f) ↔
(∀ x. mcont lubb ordb lub leq (λ y. f x y)) ∧ (∀ y. mcont luba orda lub leq (λ x. f
x y))
⟨proof⟩

```

end

lemma *mono2mono-case-prod* [*cont-intro*]:

```

assumes ∧ x y. monotone orda ordb (λ f. pair f x y)
shows monotone orda ordb (λ f. case-prod (pair f) x)
⟨proof⟩

```

22.5 Complete lattices as ccpo

context *complete-lattice* **begin**

lemma *complete-lattice-ccpo*: *class.ccpo* *Sup op ≤ op <*
⟨*proof*⟩

lemma *complete-lattice-ccpo'*: *class.ccpo* *Sup op ≤ (mk-less op ≤)*
⟨*proof*⟩

lemma *complete-lattice-partial-function-definitions*:
partial-function-definitions op ≤ Sup
⟨*proof*⟩

lemma *complete-lattice-partial-function-definitions-dual*:
partial-function-definitions op ≥ Inf
⟨*proof*⟩

lemmas [*cont-intro*, *simp*] =

```

Partial-Function.ccpo[OF complete-lattice-partial-function-definitions]
Partial-Function.ccpo[OF complete-lattice-partial-function-definitions-dual]

```

lemma *mono2mono-inf*:

```

assumes f: monotone ord op ≤ (λ x. f x)
and g: monotone ord op ≤ (λ x. g x)
shows monotone ord op ≤ (λ x. f x ⊓ g x)
⟨proof⟩

```

lemma *mcont-const* [*simp*]: *mcont lub ord Sup op* \leq (λ -. *c*)
 ⟨*proof*⟩

lemma *mono2mono-sup*:
assumes *f*: *monotone ord op* \leq (λ *x*. *f x*)
and *g*: *monotone ord op* \leq (λ *x*. *g x*)
shows *monotone ord op* \leq (λ *x*. *f x* \sqcup *g x*)
 ⟨*proof*⟩

lemma *Sup-image-sup*:
assumes *Y* \neq {}
shows \sqcup (*op* \sqcup *x* ‘ *Y*) = *x* \sqcup \sqcup *Y*
 ⟨*proof*⟩

lemma *mcont-sup1*: *mcont Sup op* \leq *Sup op* \leq (λ *y*. *x* \sqcup *y*)
 ⟨*proof*⟩

lemma *mcont-sup2*: *mcont Sup op* \leq *Sup op* \leq (λ *x*. *x* \sqcup *y*)
 ⟨*proof*⟩

lemma *mcont2mcont-sup* [*cont-intro*, *simp*]:
 [*mcont lub ord Sup op* \leq (λ *x*. *f x*);
 mcont lub ord Sup op \leq (λ *x*. *g x*)]
 \implies *mcont lub ord Sup op* \leq (λ *x*. *f x* \sqcup *g x*)
 ⟨*proof*⟩

end

lemmas [*cont-intro*] = *admissible-leI*[*OF complete-lattice-ccpo*]

context *complete-distrib-lattice* **begin**

lemma *mcont-inf1*: *mcont Sup op* \leq *Sup op* \leq (λ *y*. *x* \sqcap *y*)
 ⟨*proof*⟩

lemma *mcont-inf2*: *mcont Sup op* \leq *Sup op* \leq (λ *x*. *x* \sqcap *y*)
 ⟨*proof*⟩

lemma *mcont2mcont-inf* [*cont-intro*, *simp*]:
 [*mcont lub ord Sup op* \leq (λ *x*. *f x*);
 mcont lub ord Sup op \leq (λ *x*. *g x*)]
 \implies *mcont lub ord Sup op* \leq (λ *x*. *f x* \sqcap *g x*)
 ⟨*proof*⟩

end

interpretation *lfp*: *partial-function-definitions op* \leq :: - :: *complete-lattice* \implies -
Sup

<proof>

<ML>

interpretation *gfp: partial-function-definitions op ≥ :: - :: complete-lattice ⇒ - Inf*

<proof>

<ML>

lemma *insert-mono [partial-function-mono]:*

monotone (fun-ord op ⊆) op ⊆ A ⇒ monotone (fun-ord op ⊆) op ⊆ (λy. insert x (A y))

<proof>

lemma *mono2mono-insert [THEN lfp.mono2mono, cont-intro, simp]:*

shows *monotone-insert: monotone op ⊆ op ⊆ (insert x)*

<proof>

lemma *mcont2mcont-insert [THEN lfp.mcont2mcont, cont-intro, simp]:*

shows *mcont-insert: mcont Union op ⊆ Union op ⊆ (insert x)*

<proof>

lemma *mono2mono-image [THEN lfp.mono2mono, cont-intro, simp]:*

shows *monotone-image: monotone op ⊆ op ⊆ (op ‘ f)*

<proof>

lemma *cont-image: cont Union op ⊆ Union op ⊆ (op ‘ f)*

<proof>

lemma *mcont2mcont-image [THEN lfp.mcont2mcont, cont-intro, simp]:*

shows *mcont-image: mcont Union op ⊆ Union op ⊆ (op ‘ f)*

<proof>

context *complete-lattice begin*

lemma *monotone-Sup [cont-intro, simp]:*

monotone ord op ⊆ f ⇒ monotone ord op ≤ (λx. ⋒ f x)

<proof>

lemma *cont-Sup:*

assumes *cont lub ord Union op ⊆ f*

shows *cont lub ord Sup op ≤ (λx. ⋒ f x)*

<proof>

lemma *mcont-Sup: mcont lub ord Union op ⊆ f ⇒ mcont lub ord Sup op ≤ (λx. ⋒ f x)*

<proof>

lemma *monotone-SUP*:

$\llbracket \text{monotone ord } op \subseteq f; \bigwedge y. \text{ monotone ord } op \leq (\lambda x. g \ x \ y) \rrbracket \implies \text{monotone ord } op \leq (\lambda x. \bigsqcup_{y \in f \ x}. g \ x \ y)$
 $\langle \text{proof} \rangle$

lemma *monotone-SUP2*:

$(\bigwedge y. y \in A \implies \text{monotone ord } op \leq (\lambda x. g \ x \ y)) \implies \text{monotone ord } op \leq (\lambda x. \bigsqcup_{y \in A}. g \ x \ y)$
 $\langle \text{proof} \rangle$

lemma *cont-SUP*:

assumes $f: \text{mcont lub ord Union } op \subseteq f$
and $g: \bigwedge y. \text{mcont lub ord Sup } op \leq (\lambda x. g \ x \ y)$
shows $\text{cont lub ord Sup } op \leq (\lambda x. \bigsqcup_{y \in f \ x}. g \ x \ y)$
 $\langle \text{proof} \rangle$

lemma *mcont-SUP* [*cont-intro*, *simp*]:

$\llbracket \text{mcont lub ord Union } op \subseteq f; \bigwedge y. \text{mcont lub ord Sup } op \leq (\lambda x. g \ x \ y) \rrbracket$
 $\implies \text{mcont lub ord Sup } op \leq (\lambda x. \bigsqcup_{y \in f \ x}. g \ x \ y)$
 $\langle \text{proof} \rangle$

end

lemma *admissible-Ball* [*cont-intro*, *simp*]:

$\llbracket \bigwedge x. \text{ccpo.admissible lub ord } (\lambda A. P \ A \ x);$
 $\text{mcont lub ord Union } op \subseteq f;$
 $\text{class.ccpo lub ord } (\text{mk-less ord}) \rrbracket$
 $\implies \text{ccpo.admissible lub ord } (\lambda A. \forall x \in f \ A. P \ A \ x)$
 $\langle \text{proof} \rangle$

lemma *admissible-Bex*'[*THEN* *admissible-subst*, *cont-intro*, *simp*]:

shows *admissible-Bex*: $\text{ccpo.admissible Union } op \subseteq (\lambda A. \exists x \in A. P \ x)$
 $\langle \text{proof} \rangle$

22.6 Parallel fixpoint induction

context

fixes $\text{luba} :: 'a \ \text{set} \Rightarrow 'a$
and $\text{orda} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
and $\text{lubb} :: 'b \ \text{set} \Rightarrow 'b$
and $\text{ordb} :: 'b \Rightarrow 'b \Rightarrow \text{bool}$
assumes $a: \text{class.ccpo luba orda } (\text{mk-less orda})$
and $b: \text{class.ccpo lubb ordb } (\text{mk-less ordb})$

begin

interpretation $a: \text{ccpo luba orda mk-less orda} \langle \text{proof} \rangle$

interpretation $b: \text{ccpo lubb ordb mk-less ordb} \langle \text{proof} \rangle$

lemma *ccpo-rel-prodI*:

```

class.ccpo (prod-lub luba lubb) (rel-prod orda ordb) (mk-less (rel-prod orda ordb))
(is class.ccpo ?lub ?ord ?ord')
⟨proof⟩

```

interpretation *ab*: *ccpo prod-lub luba lubb rel-prod orda ordb mk-less (rel-prod orda ordb)*
 ⟨proof⟩

lemma *monotone-map-prod* [*simp*]:
monotone (rel-prod orda ordb) (rel-prod ordc ordd) (map-prod f g) \longleftrightarrow
monotone orda ordc f \wedge monotone ordb ordd g
 ⟨proof⟩

lemma *parallel-fixp-induct*:
assumes *adm*: *ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ($\lambda x. P$*
(fst x) (snd x))
and *f*: *monotone orda orda f*
and *g*: *monotone ordb ordb g*
and *bot*: *P (luba {}) (lubb {})*
and *step*: $\bigwedge x y. P x y \implies P (f x) (g y)$
shows *P (ccpo.fixp luba orda f) (ccpo.fixp lubb ordb g)*
 ⟨proof⟩

end

lemma *parallel-fixp-induct-uc*:
assumes *a*: *partial-function-definitions orda luba*
and *b*: *partial-function-definitions ordb lubb*
and *F*: $\bigwedge x. \text{monotone (fun-ord orda) orda } (\lambda f. U1 (F (C1 f)) x)$
and *G*: $\bigwedge y. \text{monotone (fun-ord ordb) ordb } (\lambda g. U2 (G (C2 g)) y)$
and *eq1*: $f \equiv C1 (\text{ccpo.fixp (fun-lub luba) (fun-ord orda) } (\lambda f. U1 (F (C1 f))))$
and *eq2*: $g \equiv C2 (\text{ccpo.fixp (fun-lub lubb) (fun-ord ordb) } (\lambda g. U2 (G (C2 g))))$
and *inverse*: $\bigwedge f. U1 (C1 f) = f$
and *inverse2*: $\bigwedge g. U2 (C2 g) = g$
and *adm*: *ccpo.admissible (prod-lub (fun-lub luba) (fun-lub lubb)) (rel-prod (fun-ord*
orda) (fun-ord ordb)) ($\lambda x. P$ (fst x) (snd x))
and *bot*: *P ($\lambda-. \text{luba } \{\}$) ($\lambda-. \text{lubb } \{\}$)*
and *step*: $\bigwedge f g. P (U1 f) (U2 g) \implies P (U1 (F f)) (U2 (G g))$
shows *P (U1 f) (U2 g)*
 ⟨proof⟩

lemmas *parallel-fixp-induct-1-1 = parallel-fixp-induct-uc*
of - - - $\lambda x. x - \lambda x. x \lambda x. x - \lambda x. x,$
OF - - - - - refl refl]

lemmas *parallel-fixp-induct-2-2 = parallel-fixp-induct-uc*
of - - - case-prod - curry case-prod - curry,
where *P*= $\lambda f g. P (\text{curry } f) (\text{curry } g),$
unfolded case-prod-curry curry-case-prod curry-K,

OF - - - - - *refl refl*]
for *P*

lemma *monotone-fst*: *monotone (rel-prod orda ordb) orda fst*
 ⟨*proof*⟩

lemma *mcont-fst*: *mcont (prod-lub luba lubb) (rel-prod orda ordb) luba orda fst*
 ⟨*proof*⟩

lemma *mcont2mcont-fst* [*cont-intro, simp*]:
mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t
 \implies *mcont lub ord luba orda ($\lambda x. fst (t x)$)*
 ⟨*proof*⟩

lemma *monotone-snd*: *monotone (rel-prod orda ordb) ordb snd*
 ⟨*proof*⟩

lemma *mcont-snd*: *mcont (prod-lub luba lubb) (rel-prod orda ordb) lubb ordb snd*
 ⟨*proof*⟩

lemma *mcont2mcont-snd* [*cont-intro, simp*]:
mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t
 \implies *mcont lub ord lubb ordb ($\lambda x. snd (t x)$)*
 ⟨*proof*⟩

context *partial-function-definitions* **begin**

Specialised versions of *mcont-call* for admissibility proofs for parallel
 fixpoint inductions

lemmas *mcont-call-fst* [*cont-intro*] = *mcont-call[THEN mcont2mcont, OF mcont-fst]*
lemmas *mcont-call-snd* [*cont-intro*] = *mcont-call[THEN mcont2mcont, OF mcont-snd]*
end

end

23 Countable Complete Lattices

theory *Countable-Complete-Lattices*

imports *Main Countable-Set*

begin

lemma *UNIV-nat-eq*: *UNIV = insert 0 (range Suc)*
 ⟨*proof*⟩

class *countable-complete-lattice* = *lattice + Inf + Sup + bot + top +*
assumes *ccInf-lower*: *countable A $\implies x \in A \implies Inf A \leq x$*
assumes *ccInf-greatest*: *countable A $\implies (\bigwedge x. x \in A \implies z \leq x) \implies z \leq Inf A$*
assumes *ccSup-upper*: *countable A $\implies x \in A \implies x \leq Sup A$*
assumes *ccSup-least*: *countable A $\implies (\bigwedge x. x \in A \implies x \leq z) \implies Sup A \leq z$*

assumes *ccInf-empty* [simp]: $\text{Inf } \{\} = \text{top}$
assumes *ccSup-empty* [simp]: $\text{Sup } \{\} = \text{bot}$
begin

subclass *bounded-lattice*
 ⟨*proof*⟩

lemma *ccINF-lower*: $\text{countable } A \implies i \in A \implies (\text{INF } i : A. f i) \leq f i$
 ⟨*proof*⟩

lemma *ccINF-greatest*: $\text{countable } A \implies (\bigwedge i. i \in A \implies u \leq f i) \implies u \leq (\text{INF } i : A. f i)$
 ⟨*proof*⟩

lemma *ccSUP-upper*: $\text{countable } A \implies i \in A \implies f i \leq (\text{SUP } i : A. f i)$
 ⟨*proof*⟩

lemma *ccSUP-least*: $\text{countable } A \implies (\bigwedge i. i \in A \implies f i \leq u) \implies (\text{SUP } i : A. f i) \leq u$
 ⟨*proof*⟩

lemma *ccInf-lower2*: $\text{countable } A \implies u \in A \implies u \leq v \implies \text{Inf } A \leq v$
 ⟨*proof*⟩

lemma *ccINF-lower2*: $\text{countable } A \implies i \in A \implies f i \leq u \implies (\text{INF } i : A. f i) \leq u$
 ⟨*proof*⟩

lemma *ccSup-upper2*: $\text{countable } A \implies u \in A \implies v \leq u \implies v \leq \text{Sup } A$
 ⟨*proof*⟩

lemma *ccSUP-upper2*: $\text{countable } A \implies i \in A \implies u \leq f i \implies u \leq (\text{SUP } i : A. f i)$
 ⟨*proof*⟩

lemma *le-ccInf-iff*: $\text{countable } A \implies b \leq \text{Inf } A \iff (\forall a \in A. b \leq a)$
 ⟨*proof*⟩

lemma *le-ccINF-iff*: $\text{countable } A \implies u \leq (\text{INF } i : A. f i) \iff (\forall i \in A. u \leq f i)$
 ⟨*proof*⟩

lemma *ccSup-le-iff*: $\text{countable } A \implies \text{Sup } A \leq b \iff (\forall a \in A. a \leq b)$
 ⟨*proof*⟩

lemma *ccSUP-le-iff*: $\text{countable } A \implies (\text{SUP } i : A. f i) \leq u \iff (\forall i \in A. f i \leq u)$
 ⟨*proof*⟩

lemma *ccInf-insert* [simp]: $\text{countable } A \implies \text{Inf } (\text{insert } a A) = \text{inf } a (\text{Inf } A)$
 ⟨*proof*⟩

lemma *ccINF-insert [simp]*: *countable A* \implies $(\text{INF } x:\text{insert } a \text{ } A. f x) = \text{inf } (f a)$
(INFIMUM A f)

\langle proof \rangle

lemma *ccSup-insert [simp]*: *countable A* \implies $\text{Sup } (\text{insert } a \text{ } A) = \text{sup } a \text{ } (\text{Sup } A)$

\langle proof \rangle

lemma *ccSUP-insert [simp]*: *countable A* \implies $(\text{SUP } x:\text{insert } a \text{ } A. f x) = \text{sup } (f a)$
(SUPREMUM A f)

\langle proof \rangle

lemma *ccINF-empty [simp]*: $(\text{INF } x:\{\}. f x) = \text{top}$

\langle proof \rangle

lemma *ccSUP-empty [simp]*: $(\text{SUP } x:\{\}. f x) = \text{bot}$

\langle proof \rangle

lemma *ccInf-superset-mono*: *countable A* $\implies B \subseteq A \implies \text{Inf } A \leq \text{Inf } B$

\langle proof \rangle

lemma *ccSup-subset-mono*: *countable B* $\implies A \subseteq B \implies \text{Sup } A \leq \text{Sup } B$

\langle proof \rangle

lemma *ccInf-mono*:

assumes *[intro]*: *countable B countable A*

assumes $\bigwedge b. b \in B \implies \exists a \in A. a \leq b$

shows $\text{Inf } A \leq \text{Inf } B$

\langle proof \rangle

lemma *ccINF-mono*:

countable A \implies *countable B* \implies $(\bigwedge m. m \in B \implies \exists n \in A. f n \leq g m) \implies (\text{INF } n:A. f n) \leq (\text{INF } n:B. g n)$

\langle proof \rangle

lemma *ccSup-mono*:

assumes *[intro]*: *countable B countable A*

assumes $\bigwedge a. a \in A \implies \exists b \in B. a \leq b$

shows $\text{Sup } A \leq \text{Sup } B$

\langle proof \rangle

lemma *ccSUP-mono*:

countable A \implies *countable B* \implies $(\bigwedge n. n \in A \implies \exists m \in B. f n \leq g m) \implies (\text{SUP } n:A. f n) \leq (\text{SUP } n:B. g n)$

\langle proof \rangle

lemma *ccINF-superset-mono*:

countable A $\implies B \subseteq A \implies$ $(\bigwedge x. x \in B \implies f x \leq g x) \implies (\text{INF } x:A. f x) \leq (\text{INF } x:B. g x)$

\langle proof \rangle

lemma *ccSUP-subset-mono*:

$$\text{countable } B \implies A \subseteq B \implies (\bigwedge x. x \in A \implies f x \leq g x) \implies (\text{SUP } x:A. f x) \leq (\text{SUP } x:B. g x)$$

<proof>

lemma *less-eq-ccInf-inter*: $\text{countable } A \implies \text{countable } B \implies \text{sup } (\text{Inf } A) (\text{Inf } B) \leq \text{Inf } (A \cap B)$

<proof>

lemma *ccSup-inter-less-eq*: $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cap B) \leq \text{inf } (\text{Sup } A) (\text{Sup } B)$

<proof>

lemma *ccInf-union-distrib*: $\text{countable } A \implies \text{countable } B \implies \text{Inf } (A \cup B) = \text{inf } (\text{Inf } A) (\text{Inf } B)$

<proof>

lemma *ccINF-union*:

$$\text{countable } A \implies \text{countable } B \implies (\text{INF } i:A \cup B. M i) = \text{inf } (\text{INF } i:A. M i) (\text{INF } i:B. M i)$$

<proof>

lemma *ccSup-union-distrib*: $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cup B) = \text{sup } (\text{Sup } A) (\text{Sup } B)$

<proof>

lemma *ccSUP-union*:

$$\text{countable } A \implies \text{countable } B \implies (\text{SUP } i:A \cup B. M i) = \text{sup } (\text{SUP } i:A. M i) (\text{SUP } i:B. M i)$$

<proof>

lemma *ccINF-inf-distrib*: $\text{countable } A \implies \text{inf } (\text{INF } a:A. f a) (\text{INF } a:A. g a) = (\text{INF } a:A. \text{inf } (f a) (g a))$

<proof>

lemma *ccSUP-sup-distrib*: $\text{countable } A \implies \text{sup } (\text{SUP } a:A. f a) (\text{SUP } a:A. g a) = (\text{SUP } a:A. \text{sup } (f a) (g a))$

<proof>

lemma *ccINF-const [simp]*: $A \neq \{\}$ $\implies (\text{INF } i : A. f) = f$

<proof>

lemma *ccSUP-const [simp]*: $A \neq \{\}$ $\implies (\text{SUP } i : A. f) = f$

<proof>

lemma *ccINF-top [simp]*: $(\text{INF } x:A. \text{top}) = \text{top}$

<proof>

lemma *ccSUP-bot* [*simp*]: $(\text{SUP } x:A. \text{bot}) = \text{bot}$
 ⟨*proof*⟩

lemma *ccINF-commute*: $\text{countable } A \implies \text{countable } B \implies (\text{INF } i:A. \text{INF } j:B. f i j) = (\text{INF } j:B. \text{INF } i:A. f i j)$
 ⟨*proof*⟩

lemma *ccSUP-commute*: $\text{countable } A \implies \text{countable } B \implies (\text{SUP } i:A. \text{SUP } j:B. f i j) = (\text{SUP } j:B. \text{SUP } i:A. f i j)$
 ⟨*proof*⟩

end

context

fixes $a :: 'a::\{\text{countable-complete-lattice, linorder}\}$

begin

lemma *less-ccSup-iff*: $\text{countable } S \implies a < \text{Sup } S \longleftrightarrow (\exists x \in S. a < x)$
 ⟨*proof*⟩

lemma *less-ccSUP-iff*: $\text{countable } A \implies a < (\text{SUP } i:A. f i) \longleftrightarrow (\exists x \in A. a < f x)$
 ⟨*proof*⟩

lemma *ccInf-less-iff*: $\text{countable } S \implies \text{Inf } S < a \longleftrightarrow (\exists x \in S. x < a)$
 ⟨*proof*⟩

lemma *ccINF-less-iff*: $\text{countable } A \implies (\text{INF } i:A. f i) < a \longleftrightarrow (\exists x \in A. f x < a)$
 ⟨*proof*⟩

end

class *countable-complete-distrib-lattice* = *countable-complete-lattice* +
assumes *sup-ccInf*: $\text{countable } B \implies \text{sup } a (\text{Inf } B) = (\text{INF } b:B. \text{sup } a b)$
assumes *inf-ccSup*: $\text{countable } B \implies \text{inf } a (\text{Sup } B) = (\text{SUP } b:B. \text{inf } a b)$
begin

lemma *sup-ccINF*:
 $\text{countable } B \implies \text{sup } a (\text{INF } b:B. f b) = (\text{INF } b:B. \text{sup } a (f b))$
 ⟨*proof*⟩

lemma *inf-ccSUP*:
 $\text{countable } B \implies \text{inf } a (\text{SUP } b:B. f b) = (\text{SUP } b:B. \text{inf } a (f b))$
 ⟨*proof*⟩

subclass *distrib-lattice*
 ⟨*proof*⟩

lemma *ccInf-sup*:

countable B $\implies \text{sup } (\text{Inf } B) a = (\text{INF } b:B. \text{sup } b a)$
 ⟨proof⟩

lemma *ccSup-inf*:

countable B $\implies \text{inf } (\text{Sup } B) a = (\text{SUP } b:B. \text{inf } b a)$
 ⟨proof⟩

lemma *ccINF-sup*:

countable B $\implies \text{sup } (\text{INF } b:B. f b) a = (\text{INF } b:B. \text{sup } (f b) a)$
 ⟨proof⟩

lemma *ccSUP-inf*:

countable B $\implies \text{inf } (\text{SUP } b:B. f b) a = (\text{SUP } b:B. \text{inf } (f b) a)$
 ⟨proof⟩

lemma *ccINF-sup-distrib2*:

countable A $\implies \text{countable B} \implies \text{sup } (\text{INF } a:A. f a) (\text{INF } b:B. g b) = (\text{INF } a:A. \text{INF } b:B. \text{sup } (f a) (g b))$
 ⟨proof⟩

lemma *ccSUP-inf-distrib2*:

countable A $\implies \text{countable B} \implies \text{inf } (\text{SUP } a:A. f a) (\text{SUP } b:B. g b) = (\text{SUP } a:A. \text{SUP } b:B. \text{inf } (f a) (g b))$
 ⟨proof⟩

context

fixes *f* :: 'a \Rightarrow 'b::countable-complete-lattice

assumes *mono f*

begin

lemma *mono-ccInf*:

countable A $\implies f (\text{Inf } A) \leq (\text{INF } x:A. f x)$
 ⟨proof⟩

lemma *mono-ccSup*:

countable A $\implies (\text{SUP } x:A. f x) \leq f (\text{Sup } A)$
 ⟨proof⟩

lemma *mono-ccINF*:

countable I $\implies f (\text{INF } i : I. A i) \leq (\text{INF } x : I. f (A x))$
 ⟨proof⟩

lemma *mono-ccSUP*:

countable I $\implies (\text{SUP } x : I. f (A x)) \leq f (\text{SUP } i : I. A i)$
 ⟨proof⟩

end

end

23.0.1 Instances of countable complete lattices

instance *fun* :: (*type*, *countable-complete-lattice*) *countable-complete-lattice*
 ⟨*proof*⟩

subclass (**in** *complete-lattice*) *countable-complete-lattice*
 ⟨*proof*⟩

subclass (**in** *complete-distrib-lattice*) *countable-complete-distrib-lattice*
 ⟨*proof*⟩

end

24 Cardinal Notations

theory *Cardinal-Notations*

imports *Main*

begin

notation

ordLeq2 (**infix** \leq_o 50) **and**

ordLeq3 (**infix** \leq_o 50) **and**

ordLess2 (**infix** $<_o$ 50) **and**

ordIso2 (**infix** $=_o$ 50) **and**

card-of (|-|) **and**

BNF-Cardinal-Arithmetic.csum (**infixr** $+_c$ 65) **and**

BNF-Cardinal-Arithmetic.cprod (**infixr** $*_c$ 80) **and**

BNF-Cardinal-Arithmetic.cexp (**infixr** $\hat{^}_c$ 90)

abbreviation *cinfinite* \equiv *BNF-Cardinal-Arithmetic.cinfinite*

abbreviation *czero* \equiv *BNF-Cardinal-Arithmetic.czero*

abbreviation *cone* \equiv *BNF-Cardinal-Arithmetic.cone*

abbreviation *ctwo* \equiv *BNF-Cardinal-Arithmetic.ctwo*

end

25 Type of (at Most) Countable Sets

theory *Countable-Set-Type*

imports *Countable-Set Cardinal-Notations Conditionally-Complete-Lattices*

begin

25.1 Cardinal stuff

lemma *countable-card-of-nat*: *countable* $A \longleftrightarrow |A| \leq_o |UNIV::nat\ set|$
 ⟨*proof*⟩

lemma *countable-card-le-natLeq*: *countable* $A \longleftrightarrow |A| \leq_o natLeq$
 ⟨*proof*⟩

```

lemma countable-or-card-of:
assumes countable A
shows (finite A  $\wedge$   $|A| < o \ |UNIV::nat\ set|$ )  $\vee$ 
        (infinite A  $\wedge$   $|A| = o \ |UNIV::nat\ set|$ )
<proof>

lemma countable-cases-card-of[elim]:
assumes countable A
obtains (Fin) finite A  $|A| < o \ |UNIV::nat\ set|$ 
          | (Inf) infinite A  $|A| = o \ |UNIV::nat\ set|$ 
<proof>

lemma countable-or:
countable A  $\implies$  ( $\exists f::'a \Rightarrow nat.$  finite A  $\wedge$  inj-on f A)  $\vee$  ( $\exists f::'a \Rightarrow nat.$  infinite A
 $\wedge$  bij-betw f A UNIV)
<proof>

lemma countable-cases[elim]:
assumes countable A
obtains (Fin) f :: 'a  $\Rightarrow$  nat where finite A inj-on f A
          | (Inf) f :: 'a  $\Rightarrow$  nat where infinite A bij-betw f A UNIV
<proof>

lemma countable-ordLeq:
assumes  $|A| \leq o \ |B|$  and countable B
shows countable A
<proof>

lemma countable-ordLess:
assumes AB:  $|A| < o \ |B|$  and B: countable B
shows countable A
<proof>

25.2 The type of countable sets

typedef 'a cset = {A :: 'a set. countable A} morphisms rcset acset
<proof>

setup-lifting type-definition-cset

declare
  rcset-inverse[simp]
  acset-inverse[Transfer.transferred, unfolded mem-Collect-eq, simp]
  acset-inject[Transfer.transferred, unfolded mem-Collect-eq, simp]
  rcset[Transfer.transferred, unfolded mem-Collect-eq, simp]

instantiation cset :: (type) {bounded-lattice-bot, distrib-lattice, minus}
begin

```

interpretation *lifting-syntax* $\langle \text{proof} \rangle$

lift-definition *bot-cset* :: 'a cset is {} **parametric** *empty-transfer* $\langle \text{proof} \rangle$

lift-definition *less-eq-cset* :: 'a cset \Rightarrow 'a cset \Rightarrow bool
is *subset-eq* **parametric** *subset-transfer* $\langle \text{proof} \rangle$

definition *less-cset* :: 'a cset \Rightarrow 'a cset \Rightarrow bool
where $xs < ys \equiv xs \leq ys \wedge xs \neq (ys::'a \text{ cset})$

lemma *less-cset-transfer*[*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique* A
shows $((\text{pcr-cset } A) \text{ ==>} (\text{pcr-cset } A) \text{ ==>} \text{op } =) \text{op } \subset \text{op } <$
 $\langle \text{proof} \rangle$

lift-definition *sup-cset* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset
is *union* **parametric** *union-transfer* $\langle \text{proof} \rangle$

lift-definition *inf-cset* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset
is *inter* **parametric** *inter-transfer* $\langle \text{proof} \rangle$

lift-definition *minus-cset* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset
is *minus* **parametric** *Diff-transfer* $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

abbreviation *empty* :: 'a cset **where** *empty* \equiv *bot*

abbreviation *csubset-eq* :: 'a cset \Rightarrow 'a cset \Rightarrow bool **where** *csubset-eq* $xs \ ys \equiv xs \leq ys$

abbreviation *csubset* :: 'a cset \Rightarrow 'a cset \Rightarrow bool **where** *csubset* $xs \ ys \equiv xs < ys$

abbreviation *cUn* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset **where** *cUn* $xs \ ys \equiv \text{sup } xs \ ys$

abbreviation *cInt* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset **where** *cInt* $xs \ ys \equiv \text{inf } xs \ ys$

abbreviation *cDiff* :: 'a cset \Rightarrow 'a cset \Rightarrow 'a cset **where** *cDiff* $xs \ ys \equiv \text{minus } xs \ ys$

lift-definition *cin* :: 'a \Rightarrow 'a cset \Rightarrow bool is *op* \in **parametric** *member-transfer*
 $\langle \text{proof} \rangle$

lift-definition *cinsert* :: 'a \Rightarrow 'a cset \Rightarrow 'a cset is *insert* **parametric** *Lifting-Set.insert-transfer*
 $\langle \text{proof} \rangle$

abbreviation *csingle* :: 'a \Rightarrow 'a cset **where** *csingle* $x \equiv \text{cinsert } x \ \text{empty}$

lift-definition *cimage* :: ('a \Rightarrow 'b) \Rightarrow 'a cset \Rightarrow 'b cset is *op* ' **parametric**
image-transfer
 $\langle \text{proof} \rangle$

lift-definition *cBall* :: 'a cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool is *Ball* **parametric** *Ball-transfer*
 $\langle \text{proof} \rangle$

lift-definition *cBex* :: 'a cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool is *Bex* **parametric** *Bex-transfer*

⟨proof⟩

lift-definition $cUNION :: 'a \text{ cset} \Rightarrow ('a \Rightarrow 'b \text{ cset}) \Rightarrow 'b \text{ cset}$

is $UNION$ **parametric** $UNION$ -transfer ⟨proof⟩

definition $cUnion :: 'a \text{ cset} \text{ cset} \Rightarrow 'a \text{ cset}$ **where** $cUnion A = cUNION A id$

lemma $Union\text{-conv-}UNION: \bigcup A = UNION A id$

⟨proof⟩

lemma $cUnion$ -transfer [transfer-rule]:

$rel\text{-fun} (pcr\text{-cset} (pcr\text{-cset} A)) (pcr\text{-cset} A) Union cUnion$

⟨proof⟩

lemmas $cset\text{-eq}I = set\text{-eq}I[Transfer.transferred]$

lemmas $cset\text{-eq-iff}[no\text{-atp}] = set\text{-eq-iff}[Transfer.transferred]$

lemmas $cBallI[intro!] = ballI[Transfer.transferred]$

lemmas $cbspec[dest?] = bspec[Transfer.transferred]$

lemmas $cBallE[elim] = ballE[Transfer.transferred]$

lemmas $cBexI[intro] = bexI[Transfer.transferred]$

lemmas $rev\text{-cBexI}[intro?] = rev\text{-bexI}[Transfer.transferred]$

lemmas $cBexCI = bexCI[Transfer.transferred]$

lemmas $cBexE[elim!] = bexE[Transfer.transferred]$

lemmas $cBall\text{-triv}[simp] = ball\text{-triv}[Transfer.transferred]$

lemmas $cBex\text{-triv}[simp] = bex\text{-triv}[Transfer.transferred]$

lemmas $cBex\text{-triv-one-point1}[simp] = bex\text{-triv-one-point1}[Transfer.transferred]$

lemmas $cBex\text{-triv-one-point2}[simp] = bex\text{-triv-one-point2}[Transfer.transferred]$

lemmas $cBex\text{-one-point1}[simp] = bex\text{-one-point1}[Transfer.transferred]$

lemmas $cBex\text{-one-point2}[simp] = bex\text{-one-point2}[Transfer.transferred]$

lemmas $cBall\text{-one-point1}[simp] = ball\text{-one-point1}[Transfer.transferred]$

lemmas $cBall\text{-one-point2}[simp] = ball\text{-one-point2}[Transfer.transferred]$

lemmas $cBall\text{-conj-distrib} = ball\text{-conj-distrib}[Transfer.transferred]$

lemmas $cBex\text{-disj-distrib} = bex\text{-disj-distrib}[Transfer.transferred]$

lemmas $cBall\text{-cong} = ball\text{-cong}[Transfer.transferred]$

lemmas $cBex\text{-cong} = bex\text{-cong}[Transfer.transferred]$

lemmas $csubsetI[intro!] = subsetI[Transfer.transferred]$

lemmas $csubsetD[elim, intro?] = subsetD[Transfer.transferred]$

lemmas $rev\text{-csubsetD}[no\text{-atp}, intro?] = rev\text{-subsetD}[Transfer.transferred]$

lemmas $csubsetCE[no\text{-atp}, elim] = subsetCE[Transfer.transferred]$

lemmas $csubset\text{-eq}[no\text{-atp}] = subset\text{-eq}[Transfer.transferred]$

lemmas $contra\text{-csubsetD}[no\text{-atp}] = contra\text{-subsetD}[Transfer.transferred]$

lemmas $csubset\text{-refl} = subset\text{-refl}[Transfer.transferred]$

lemmas $csubset\text{-trans} = subset\text{-trans}[Transfer.transferred]$

lemmas $cset\text{-rev-mp} = set\text{-rev-mp}[Transfer.transferred]$

lemmas $cset\text{-mp} = set\text{-mp}[Transfer.transferred]$

lemmas $csubset\text{-not-fsubset-eq}[code] = subset\text{-not-subset-eq}[Transfer.transferred]$

lemmas $eq\text{-cmem-trans} = eq\text{-mem-trans}[Transfer.transferred]$

lemmas $csubset\text{-antisym}[intro!] = subset\text{-antisym}[Transfer.transferred]$

lemmas $cequalityD1 = equalityD1[Transfer.transferred]$

lemmas $cequalityD2 = equalityD2[Transfer.transferred]$

lemmas $cequalityE = equalityE[Transfer.transferred]$

lemmas *cequalityCE*[*elim*] = *equalityCE*[*Transfer.transferred*]
lemmas *eqcset-imp-iff* = *eqset-imp-iff*[*Transfer.transferred*]
lemmas *eqcelem-imp-iff* = *equelem-imp-iff*[*Transfer.transferred*]
lemmas *cempty-iff*[*simp*] = *empty-iff*[*Transfer.transferred*]
lemmas *cempty-fsubsetI*[*iff*] = *empty-subsetI*[*Transfer.transferred*]
lemmas *equals-cemptyI* = *equalsOI*[*Transfer.transferred*]
lemmas *equals-cemptyD* = *equalsOD*[*Transfer.transferred*]
lemmas *cBall-cempty*[*simp*] = *ball-empty*[*Transfer.transferred*]
lemmas *cBex-cempty*[*simp*] = *bex-empty*[*Transfer.transferred*]
lemmas *cInt-iff*[*simp*] = *Int-iff*[*Transfer.transferred*]
lemmas *cIntI*[*intro!*] = *IntI*[*Transfer.transferred*]
lemmas *cIntD1* = *IntD1*[*Transfer.transferred*]
lemmas *cIntD2* = *IntD2*[*Transfer.transferred*]
lemmas *cIntE*[*elim!*] = *IntE*[*Transfer.transferred*]
lemmas *cUn-iff*[*simp*] = *Un-iff*[*Transfer.transferred*]
lemmas *cUnI1*[*elim?*] = *UnI1*[*Transfer.transferred*]
lemmas *cUnI2*[*elim?*] = *UnI2*[*Transfer.transferred*]
lemmas *cUnCI*[*intro!*] = *UnCI*[*Transfer.transferred*]
lemmas *cuUnE*[*elim!*] = *UnE*[*Transfer.transferred*]
lemmas *cDiff-iff*[*simp*] = *Diff-iff*[*Transfer.transferred*]
lemmas *cDiffI*[*intro!*] = *DiffI*[*Transfer.transferred*]
lemmas *cDiffD1* = *DiffD1*[*Transfer.transferred*]
lemmas *cDiffD2* = *DiffD2*[*Transfer.transferred*]
lemmas *cDiffE*[*elim!*] = *DiffE*[*Transfer.transferred*]
lemmas *cinsert-iff*[*simp*] = *insert-iff*[*Transfer.transferred*]
lemmas *cinsertI1* = *insertI1*[*Transfer.transferred*]
lemmas *cinsertI2* = *insertI2*[*Transfer.transferred*]
lemmas *cinsertE*[*elim!*] = *insertE*[*Transfer.transferred*]
lemmas *cinsertCI*[*intro!*] = *insertCI*[*Transfer.transferred*]
lemmas *csubset-cinsert-iff* = *subset-insert-iff*[*Transfer.transferred*]
lemmas *cinsert-ident* = *insert-ident*[*Transfer.transferred*]
lemmas *csingletonI*[*intro!,no-atp*] = *singletonI*[*Transfer.transferred*]
lemmas *csingletonD*[*dest!,no-atp*] = *singletonD*[*Transfer.transferred*]
lemmas *fsingletonE* = *csingletonD* [*elim-format*]
lemmas *csingleton-iff* = *singleton-iff*[*Transfer.transferred*]
lemmas *csingleton-inject*[*dest!*] = *singleton-inject*[*Transfer.transferred*]
lemmas *csingleton-finsert-inj-eq*[*iff,no-atp*] = *singleton-insert-inj-eq*[*Transfer.transferred*]
lemmas *csingleton-finsert-inj-eq'*[*iff,no-atp*] = *singleton-insert-inj-eq'*[*Transfer.transferred*]
lemmas *csubset-csingletonD* = *subset-singletonD*[*Transfer.transferred*]
lemmas *cDiff-single-cinsert* = *Diff-single-insert*[*Transfer.transferred*]
lemmas *cdoubleton-eq-iff* = *doubleton-eq-iff*[*Transfer.transferred*]
lemmas *cUn-csingleton-iff* = *Un-singleton-iff*[*Transfer.transferred*]
lemmas *csingleton-cUn-iff* = *singleton-Un-iff*[*Transfer.transferred*]
lemmas *cimage-eqI*[*simp,intro*] = *image-eqI*[*Transfer.transferred*]
lemmas *cimageI* = *imageI*[*Transfer.transferred*]
lemmas *rev-cimage-eqI* = *rev-image-eqI*[*Transfer.transferred*]
lemmas *cimageE*[*elim!*] = *imageE*[*Transfer.transferred*]
lemmas *Compr-cimage-eq* = *Compr-image-eq*[*Transfer.transferred*]
lemmas *cimage-cUn* = *image-Un*[*Transfer.transferred*]

lemmas *cimage-iff* = *image-iff* [Transfer.transferred]
lemmas *cimage-csubset-iff* [no-atp] = *image-subset-iff* [Transfer.transferred]
lemmas *cimage-csubsetI* = *image-subsetI* [Transfer.transferred]
lemmas *cimage-ident* [simp] = *image-ident* [Transfer.transferred]
lemmas *if-split-cin1* = *if-split-mem1* [Transfer.transferred]
lemmas *if-split-cin2* = *if-split-mem2* [Transfer.transferred]
lemmas *cpsubsetI* [intro!,no-atp] = *psubsetI* [Transfer.transferred]
lemmas *cpsubsetE* [elim!,no-atp] = *psubsetE* [Transfer.transferred]
lemmas *cpsubset-finsert-iff* = *psubset-insert-iff* [Transfer.transferred]
lemmas *cpsubset-eq* = *psubset-eq* [Transfer.transferred]
lemmas *cpsubset-imp-fsubset* = *psubset-imp-subset* [Transfer.transferred]
lemmas *cpsubset-trans* = *psubset-trans* [Transfer.transferred]
lemmas *cpsubsetD* = *psubsetD* [Transfer.transferred]
lemmas *cpsubset-csubset-trans* = *psubset-subset-trans* [Transfer.transferred]
lemmas *csubset-cpsubset-trans* = *subset-psubset-trans* [Transfer.transferred]
lemmas *cpsubset-imp-ex-fmem* = *psubset-imp-ex-mem* [Transfer.transferred]
lemmas *csubset-cinsertI* = *subset-insertI* [Transfer.transferred]
lemmas *csubset-cinsertI2* = *subset-insertI2* [Transfer.transferred]
lemmas *csubset-cinsert* = *subset-insert* [Transfer.transferred]
lemmas *cUn-upper1* = *Un-upper1* [Transfer.transferred]
lemmas *cUn-upper2* = *Un-upper2* [Transfer.transferred]
lemmas *cUn-least* = *Un-least* [Transfer.transferred]
lemmas *cInt-lower1* = *Int-lower1* [Transfer.transferred]
lemmas *cInt-lower2* = *Int-lower2* [Transfer.transferred]
lemmas *cInt-greatest* = *Int-greatest* [Transfer.transferred]
lemmas *cDiff-csubset* = *Diff-subset* [Transfer.transferred]
lemmas *cDiff-csubset-conv* = *Diff-subset-conv* [Transfer.transferred]
lemmas *csubset-cempty* [simp] = *subset-empty* [Transfer.transferred]
lemmas *not-cpsubset-cempty* [iff] = *not-psubset-empty* [Transfer.transferred]
lemmas *cinsert-is-cUn* = *insert-is-Un* [Transfer.transferred]
lemmas *cinsert-not-cempty* [simp] = *insert-not-empty* [Transfer.transferred]
lemmas *cempty-not-cinsert* = *empty-not-insert* [Transfer.transferred]
lemmas *cinsert-absorb* = *insert-absorb* [Transfer.transferred]
lemmas *cinsert-absorb2* [simp] = *insert-absorb2* [Transfer.transferred]
lemmas *cinsert-commute* = *insert-commute* [Transfer.transferred]
lemmas *cinsert-csubset* [simp] = *insert-subset* [Transfer.transferred]
lemmas *cinsert-cinter-cinsert* [simp] = *insert-inter-insert* [Transfer.transferred]
lemmas *cinsert-disjoint* [simp,no-atp] = *insert-disjoint* [Transfer.transferred]
lemmas *disjoint-cinsert* [simp,no-atp] = *disjoint-insert* [Transfer.transferred]
lemmas *cimage-cempty* [simp] = *image-empty* [Transfer.transferred]
lemmas *cimage-cinsert* [simp] = *image-insert* [Transfer.transferred]
lemmas *cimage-constant* = *image-constant* [Transfer.transferred]
lemmas *cimage-constant-conv* = *image-constant-conv* [Transfer.transferred]
lemmas *cimage-cimage* = *image-image* [Transfer.transferred]
lemmas *cinsert-cimage* [simp] = *insert-image* [Transfer.transferred]
lemmas *cimage-is-cempty* [iff] = *image-is-empty* [Transfer.transferred]
lemmas *cempty-is-cimage* [iff] = *empty-is-image* [Transfer.transferred]
lemmas *cimage-cong* = *image-cong* [Transfer.transferred]
lemmas *cimage-cInt-csubset* = *image-Int-subset* [Transfer.transferred]

lemmas *cimage-cDiff-csubset* = *image-diff-subset*[*Transfer.transferred*]
lemmas *cInt-absorb* = *Int-absorb*[*Transfer.transferred*]
lemmas *cInt-left-absorb* = *Int-left-absorb*[*Transfer.transferred*]
lemmas *cInt-commute* = *Int-commute*[*Transfer.transferred*]
lemmas *cInt-left-commute* = *Int-left-commute*[*Transfer.transferred*]
lemmas *cInt-assoc* = *Int-assoc*[*Transfer.transferred*]
lemmas *cInt-ac* = *Int-ac*[*Transfer.transferred*]
lemmas *cInt-absorb1* = *Int-absorb1*[*Transfer.transferred*]
lemmas *cInt-absorb2* = *Int-absorb2*[*Transfer.transferred*]
lemmas *cInt-cempty-left* = *Int-empty-left*[*Transfer.transferred*]
lemmas *cInt-cempty-right* = *Int-empty-right*[*Transfer.transferred*]
lemmas *disjoint-iff-cnot-equal* = *disjoint-iff-not-equal*[*Transfer.transferred*]
lemmas *cInt-cUn-distrib* = *Int-Un-distrib*[*Transfer.transferred*]
lemmas *cInt-cUn-distrib2* = *Int-Un-distrib2*[*Transfer.transferred*]
lemmas *cInt-csubset-iff*[*no-atp, simp*] = *Int-subset-iff*[*Transfer.transferred*]
lemmas *cUn-absorb* = *Un-absorb*[*Transfer.transferred*]
lemmas *cUn-left-absorb* = *Un-left-absorb*[*Transfer.transferred*]
lemmas *cUn-commute* = *Un-commute*[*Transfer.transferred*]
lemmas *cUn-left-commute* = *Un-left-commute*[*Transfer.transferred*]
lemmas *cUn-assoc* = *Un-assoc*[*Transfer.transferred*]
lemmas *cUn-ac* = *Un-ac*[*Transfer.transferred*]
lemmas *cUn-absorb1* = *Un-absorb1*[*Transfer.transferred*]
lemmas *cUn-absorb2* = *Un-absorb2*[*Transfer.transferred*]
lemmas *cUn-cempty-left* = *Un-empty-left*[*Transfer.transferred*]
lemmas *cUn-cempty-right* = *Un-empty-right*[*Transfer.transferred*]
lemmas *cUn-cinsert-left*[*simp*] = *Un-insert-left*[*Transfer.transferred*]
lemmas *cUn-cinsert-right*[*simp*] = *Un-insert-right*[*Transfer.transferred*]
lemmas *cInt-cinsert-left* = *Int-insert-left*[*Transfer.transferred*]
lemmas *cInt-cinsert-left-if0*[*simp*] = *Int-insert-left-if0*[*Transfer.transferred*]
lemmas *cInt-cinsert-left-if1*[*simp*] = *Int-insert-left-if1*[*Transfer.transferred*]
lemmas *cInt-cinsert-right* = *Int-insert-right*[*Transfer.transferred*]
lemmas *cInt-cinsert-right-if0*[*simp*] = *Int-insert-right-if0*[*Transfer.transferred*]
lemmas *cInt-cinsert-right-if1*[*simp*] = *Int-insert-right-if1*[*Transfer.transferred*]
lemmas *cUn-cInt-distrib* = *Un-Int-distrib*[*Transfer.transferred*]
lemmas *cUn-cInt-distrib2* = *Un-Int-distrib2*[*Transfer.transferred*]
lemmas *cUn-cInt-crazy* = *Un-Int-crazy*[*Transfer.transferred*]
lemmas *csubset-cUn-eq* = *subset-Un-eq*[*Transfer.transferred*]
lemmas *cUn-cempty*[*iff*] = *Un-empty*[*Transfer.transferred*]
lemmas *cUn-csubset-iff*[*no-atp, simp*] = *Un-subset-iff*[*Transfer.transferred*]
lemmas *cUn-cDiff-cInt* = *Un-Diff-Int*[*Transfer.transferred*]
lemmas *cDiff-cInt2* = *Diff-Int2*[*Transfer.transferred*]
lemmas *cUn-cInt-assoc-eq* = *Un-Int-assoc-eq*[*Transfer.transferred*]
lemmas *cBall-cUn* = *ball-Un*[*Transfer.transferred*]
lemmas *cBex-cUn* = *bex-Un*[*Transfer.transferred*]
lemmas *cDiff-eq-cempty-iff*[*simp, no-atp*] = *Diff-eq-empty-iff*[*Transfer.transferred*]
lemmas *cDiff-cancel*[*simp*] = *Diff-cancel*[*Transfer.transferred*]
lemmas *cDiff-idemp*[*simp*] = *Diff-idemp*[*Transfer.transferred*]
lemmas *cDiff-triv* = *Diff-triv*[*Transfer.transferred*]
lemmas *cempty-cDiff*[*simp*] = *empty-Diff*[*Transfer.transferred*]

lemmas $cDiff\text{-}empty[simp] = Diff\text{-}empty[Transfer.transferred]$
lemmas $cDiff\text{-}cinsert0[simp, no-atp] = Diff\text{-}insert0[Transfer.transferred]$
lemmas $cDiff\text{-}cinsert = Diff\text{-}insert[Transfer.transferred]$
lemmas $cDiff\text{-}cinsert2 = Diff\text{-}insert2[Transfer.transferred]$
lemmas $cinsert\text{-}cDiff\text{-}if = insert\text{-}Diff\text{-}if[Transfer.transferred]$
lemmas $cinsert\text{-}cDiff1[simp] = insert\text{-}Diff1[Transfer.transferred]$
lemmas $cinsert\text{-}cDiff\text{-}single[simp] = insert\text{-}Diff\text{-}single[Transfer.transferred]$
lemmas $cinsert\text{-}cDiff = insert\text{-}Diff[Transfer.transferred]$
lemmas $cDiff\text{-}cinsert\text{-}absorb = Diff\text{-}insert\text{-}absorb[Transfer.transferred]$
lemmas $cDiff\text{-}disjoint[simp] = Diff\text{-}disjoint[Transfer.transferred]$
lemmas $cDiff\text{-}partition = Diff\text{-}partition[Transfer.transferred]$
lemmas $double\text{-}cDiff = double\text{-}diff[Transfer.transferred]$
lemmas $cUn\text{-}cDiff\text{-}cancel[simp] = Un\text{-}Diff\text{-}cancel[Transfer.transferred]$
lemmas $cUn\text{-}cDiff\text{-}cancel2[simp] = Un\text{-}Diff\text{-}cancel2[Transfer.transferred]$
lemmas $cDiff\text{-}cUn = Diff\text{-}Un[Transfer.transferred]$
lemmas $cDiff\text{-}cInt = Diff\text{-}Int[Transfer.transferred]$
lemmas $cUn\text{-}cDiff = Un\text{-}Diff[Transfer.transferred]$
lemmas $cInt\text{-}cDiff = Int\text{-}Diff[Transfer.transferred]$
lemmas $cDiff\text{-}cInt\text{-}distrib = Diff\text{-}Int\text{-}distrib[Transfer.transferred]$
lemmas $cDiff\text{-}cInt\text{-}distrib2 = Diff\text{-}Int\text{-}distrib2[Transfer.transferred]$
lemmas $cset\text{-}eq\text{-}csubset = set\text{-}eq\text{-}subset[Transfer.transferred]$
lemmas $csubset\text{-}iff[no-atp] = subset\text{-}iff[Transfer.transferred]$
lemmas $csubset\text{-}iff\text{-}pfssubset\text{-}eq = subset\text{-}iff\text{-}psubset\text{-}eq[Transfer.transferred]$
lemmas $all\text{-}not\text{-}cin\text{-}conv[simp] = all\text{-}not\text{-}in\text{-}conv[Transfer.transferred]$
lemmas $ex\text{-}cin\text{-}conv = ex\text{-}in\text{-}conv[Transfer.transferred]$
lemmas $cimage\text{-}mono = image\text{-}mono[Transfer.transferred]$
lemmas $cinsert\text{-}mono = insert\text{-}mono[Transfer.transferred]$
lemmas $cunion\text{-}mono = Un\text{-}mono[Transfer.transferred]$
lemmas $cinter\text{-}mono = Int\text{-}mono[Transfer.transferred]$
lemmas $cminus\text{-}mono = Diff\text{-}mono[Transfer.transferred]$
lemmas $cin\text{-}mono = in\text{-}mono[Transfer.transferred]$
lemmas $cLeast\text{-}mono = Least\text{-}mono[Transfer.transferred]$
lemmas $cequalityI = equalityI[Transfer.transferred]$
lemmas $cUN\text{-}iff[simp] = UN\text{-}iff[Transfer.transferred]$
lemmas $cUN\text{-}I[intro] = UN\text{-}I[Transfer.transferred]$
lemmas $cUN\text{-}E[elim!] = UN\text{-}E[Transfer.transferred]$
lemmas $cUN\text{-}upper = UN\text{-}upper[Transfer.transferred]$
lemmas $cUN\text{-}least = UN\text{-}least[Transfer.transferred]$
lemmas $cUN\text{-}cinsert\text{-}distrib = UN\text{-}insert\text{-}distrib[Transfer.transferred]$
lemmas $cUN\text{-}empty[simp] = UN\text{-}empty[Transfer.transferred]$
lemmas $cUN\text{-}empty2[simp] = UN\text{-}empty2[Transfer.transferred]$
lemmas $cUN\text{-}absorb = UN\text{-}absorb[Transfer.transferred]$
lemmas $cUN\text{-}cinsert[simp] = UN\text{-}insert[Transfer.transferred]$
lemmas $cUN\text{-}cUn[simp] = UN\text{-}Un[Transfer.transferred]$
lemmas $cUN\text{-}cUN\text{-}flatten = UN\text{-}UN\text{-}flatten[Transfer.transferred]$
lemmas $cUN\text{-}csubset\text{-}iff = UN\text{-}subset\text{-}iff[Transfer.transferred]$
lemmas $cUN\text{-}constant[simp] = UN\text{-}constant[Transfer.transferred]$
lemmas $cimage\text{-}cUnion = image\text{-}Union[Transfer.transferred]$
lemmas $cUNION\text{-}cempty\text{-}conv[simp] = UNION\text{-}empty\text{-}conv[Transfer.transferred]$

lemmas $cBall\text{-}cUN = ball\text{-}UN[Transfer.transferred]$
lemmas $cBex\text{-}cUN = bex\text{-}UN[Transfer.transferred]$
lemmas $cUn\text{-}eq\text{-}cUN = Un\text{-}eq\text{-}UN[Transfer.transferred]$
lemmas $cUN\text{-}mono = UN\text{-}mono[Transfer.transferred]$
lemmas $cimage\text{-}cUN = image\text{-}UN[Transfer.transferred]$
lemmas $cUN\text{-}csingleton [simp] = UN\text{-}singleton[Transfer.transferred]$

25.3 Additional lemmas

25.3.1 *cempty*

lemma $cemptyE [elim!]$: $cin\ a\ cempty \implies P$ *<proof>*

25.3.2 *cinsert*

lemma $countable\text{-}insert\text{-}iff$: $countable\ (insert\ x\ A) \longleftrightarrow countable\ A$
<proof>

lemma $set\text{-}cinsert$:

assumes $cin\ x\ A$

obtains B **where** $A = cinsert\ x\ B$ **and** $\neg\ cin\ x\ B$

<proof>

lemma $mk\text{-}disjoint\text{-}cinsert$: $cin\ a\ A \implies \exists B. A = cinsert\ a\ B \wedge \neg\ cin\ a\ B$
<proof>

25.3.3 *cimage*

lemma $subset\text{-}cimage\text{-}iff$: $csubset\text{-}eq\ B\ (cimage\ f\ A) \longleftrightarrow (\exists AA. csubset\text{-}eq\ AA\ A \wedge B = cimage\ f\ AA)$
<proof>

25.3.4 bounded quantification

lemma $cBex\text{-}simps [simp, no-atp]$:

$\bigwedge A\ P\ Q. cBex\ A\ (\lambda x. P\ x \wedge Q) = (cBex\ A\ P \wedge Q)$

$\bigwedge A\ P\ Q. cBex\ A\ (\lambda x. P \wedge Q\ x) = (P \wedge cBex\ A\ Q)$

$\bigwedge P. cBex\ cempty\ P = False$

$\bigwedge a\ B\ P. cBex\ (cinsert\ a\ B)\ P = (P\ a \vee cBex\ B\ P)$

$\bigwedge A\ P\ f. cBex\ (cimage\ f\ A)\ P = cBex\ A\ (\lambda x. P\ (f\ x))$

$\bigwedge A\ P. (\neg\ cBex\ A\ P) = cBall\ A\ (\lambda x. \neg\ P\ x)$

<proof>

lemma $cBall\text{-}simps [simp, no-atp]$:

$\bigwedge A\ P\ Q. cBall\ A\ (\lambda x. P\ x \vee Q) = (cBall\ A\ P \vee Q)$

$\bigwedge A\ P\ Q. cBall\ A\ (\lambda x. P \vee Q\ x) = (P \vee cBall\ A\ Q)$

$\bigwedge A\ P\ Q. cBall\ A\ (\lambda x. P \longrightarrow Q\ x) = (P \longrightarrow cBall\ A\ Q)$

$\bigwedge A\ P\ Q. cBall\ A\ (\lambda x. P\ x \longrightarrow Q) = (cBex\ A\ P \longrightarrow Q)$

$\bigwedge P. cBall\ cempty\ P = True$

$\bigwedge a\ B\ P. cBall\ (cinsert\ a\ B)\ P = (P\ a \wedge cBall\ B\ P)$

$$\begin{aligned} & \bigwedge A P f. cBall (cimage f A) P = cBall A (\lambda x. P (f x)) \\ & \bigwedge A P. (\neg cBall A P) = cBex A (\lambda x. \neg P x) \end{aligned}$$

<proof>

lemma *atomize-cBall*:

$$(\bigwedge x. cin x A ==> P x) == Trueprop (cBall A (\lambda x. P x))$$

<proof>

25.3.5 *cUnion*

lemma *cUNION-cimage*: $cUNION (cimage f A) g = cUNION A (g \circ f)$
including *cset.lifting* *<proof>*

25.4 Setup for Lifting/Transfer

25.4.1 Relator and predicator properties

lift-definition *rel-cset* :: $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \text{ cset} \Rightarrow 'b \text{ cset} \Rightarrow bool$
is rel-set parametric rel-set-transfer *<proof>*

lemma *rel-cset-alt-def*:

$$\begin{aligned} & rel-cset R a b \longleftrightarrow \\ & (\forall t \in rcset a. \exists u \in rcset b. R t u) \wedge \\ & (\forall t \in rcset b. \exists u \in rcset a. R u t) \end{aligned}$$

<proof>

lemma *rel-cset-iff*:

$$\begin{aligned} & rel-cset R a b \longleftrightarrow \\ & (\forall t. cin t a \longrightarrow (\exists u. cin u b \wedge R t u)) \wedge \\ & (\forall t. cin t b \longrightarrow (\exists u. cin u a \wedge R u t)) \end{aligned}$$

<proof>

lemma *rel-cset-cUNION*:

$$\begin{aligned} & \llbracket rel-cset Q A B; rel-fun Q (rel-cset R) f g \rrbracket \\ & \implies rel-cset R (cUNION A f) (cUNION B g) \end{aligned}$$

<proof>

lemma *rel-cset-csingle-iff* [*simp*]: $rel-cset R (csingle x) (csingle y) \longleftrightarrow R x y$
<proof>

25.4.2 Transfer rules for the Transfer package

Unconditional transfer rules

context begin interpretation *lifting-syntax* *<proof>*

lemmas *empty-parametric* [*transfer-rule*] = *empty-transfer*[*Transfer.transferred*]

lemma *cinsert-parametric* [*transfer-rule*]:

$$(A ==> rel-cset A ==> rel-cset A) cinsert cinsert$$

<proof>

lemma *cUn-parametric* [transfer-rule]:

$(rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A)\ cUn\ cUn$
 ⟨proof⟩

lemma *cUnion-parametric* [transfer-rule]:

$(rel\text{-}cset\ (rel\text{-}cset\ A)\ ==\!>\!>\ rel\text{-}cset\ A)\ cUnion\ cUnion$
 ⟨proof⟩

lemma *cimage-parametric* [transfer-rule]:

$((A\ ==\!>\!>\ B)\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ B)\ cimage\ cimage$
 ⟨proof⟩

lemma *cBall-parametric* [transfer-rule]:

$(rel\text{-}cset\ A\ ==\!>\!>\ (A\ ==\!>\!>\ op\ =)\ ==\!>\!>\ op\ =)\ cBall\ cBall$
 ⟨proof⟩

lemma *cBex-parametric* [transfer-rule]:

$(rel\text{-}cset\ A\ ==\!>\!>\ (A\ ==\!>\!>\ op\ =)\ ==\!>\!>\ op\ =)\ cBex\ cBex$
 ⟨proof⟩

lemma *rel-cset-parametric* [transfer-rule]:

$((A\ ==\!>\!>\ B\ ==\!>\!>\ op\ =)\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ B\ ==\!>\!>\ op\ =)$
 $rel\text{-}cset\ rel\text{-}cset$
 ⟨proof⟩

Rules requiring bi-unique, bi-total or right-total relations

lemma *cin-parametric* [transfer-rule]:

$bi\text{-}unique\ A\ \implies\ (A\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ op\ =)\ cin\ cin$
 ⟨proof⟩

lemma *cInt-parametric* [transfer-rule]:

$bi\text{-}unique\ A\ \implies\ (rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A)\ cInt\ cInt$
 ⟨proof⟩

lemma *cDiff-parametric* [transfer-rule]:

$bi\text{-}unique\ A\ \implies\ (rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A)\ cDiff\ cDiff$
 ⟨proof⟩

lemma *csubset-parametric* [transfer-rule]:

$bi\text{-}unique\ A\ \implies\ (rel\text{-}cset\ A\ ==\!>\!>\ rel\text{-}cset\ A\ ==\!>\!>\ op\ =)\ csubset\text{-}eq\ csubset\text{-}eq$
 ⟨proof⟩

end

lifting-update *cset.lifting*

lifting-forget *cset.lifting*

25.5 Registration as BNF

lemma *card-of-countable-sets-range*:

fixes $A :: 'a \text{ set}$

shows $|\{X. X \subseteq A \wedge \text{countable } X \wedge X \neq \{\}\}| \leq o |\{f::\text{nat} \Rightarrow 'a. \text{range } f \subseteq A\}|$
 $\langle \text{proof} \rangle$

lemma *card-of-countable-sets-Func*:

$|\{X. X \subseteq A \wedge \text{countable } X \wedge X \neq \{\}\}| \leq o |A| \hat{c} \text{ natLeq}$
 $\langle \text{proof} \rangle$

lemma *ordLeq-countable-subsets*:

$|A| \leq o |\{X. X \subseteq A \wedge \text{countable } X\}|$
 $\langle \text{proof} \rangle$

lemma *finite-countable-subset*:

$\text{finite } \{X. X \subseteq A \wedge \text{countable } X\} \longleftrightarrow \text{finite } A$
 $\langle \text{proof} \rangle$

lemma *rcset-to-rcset*: $\text{countable } A \Longrightarrow \text{rcset } (\text{the-inv } \text{rcset } A) = A$

including *cset.lifting*

$\langle \text{proof} \rangle$

lemma *Collect-Int-Times*: $\{(x, y). R \ x \ y\} \cap A \times B = \{(x, y). R \ x \ y \wedge x \in A \wedge y \in B\}$

$\langle \text{proof} \rangle$

lemma *rel-cset-aux*:

$(\forall t \in \text{rcset } a. \exists u \in \text{rcset } b. R \ t \ u) \wedge (\forall t \in \text{rcset } b. \exists u \in \text{rcset } a. R \ u \ t) \longleftrightarrow$
 $((\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R \ a \ b\}\} (\text{cimage fst}))^{-1-1} \text{ OO}$
 $\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R \ a \ b\}\} (\text{cimage snd})) \ a \ b \ (\text{is } ?L = ?R)$

$\langle \text{proof} \rangle$ **including** *cset.lifting*

$\langle \text{proof} \rangle$

bnf $'a \text{ cset}$

map: *cimage*

sets: *rcset*

bd: *natLeq*

wits: *empty*

rel: *rel-cset*

$\langle \text{proof} \rangle$ **including** *cset.lifting* $\langle \text{proof} \rangle$ **including** *cset.lifting* $\langle \text{proof} \rangle$

including *cset.lifting* $\langle \text{proof} \rangle$

end

26 Debugging facilities for code generated towards Isabelle/ML

```

theory Debug
imports Main
begin

context
begin

qualified definition trace :: String.literal  $\Rightarrow$  unit where
  [simp]: trace s = ()

qualified definition tracing :: String.literal  $\Rightarrow$  'a  $\Rightarrow$  'a where
  [simp]: tracing s = id

lemma [code]:
  tracing s = (let u = trace s in id)
  <proof> definition flush :: 'a  $\Rightarrow$  unit where
  [simp]: flush x = ()

qualified definition flushing :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b where
  [simp]: flushing x = id

lemma [code, code-unfold]:
  flushing x = (let u = flush x in id)
  <proof> definition timing :: String.literal  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b where
  [simp]: timing s f x = f x

end

code-printing
  constant Debug.trace  $\rightarrow$  (Eval) Output.tracing
  | constant Debug.flush  $\rightarrow$  (Eval) Output.tracing/ (@{make'-string} -) — note
  indirection via antiquotation
  | constant Debug.timing  $\rightarrow$  (Eval) Timing.timeap'-msg

code-reserved Eval Output Timing

end

```

27 Sequence of Properties on Subsequences

```

theory Diagonal-Subsequence
imports Complex-Main
begin

locale subseqs =

```

fixes $P::\text{nat}\Rightarrow(\text{nat}\Rightarrow\text{nat})\Rightarrow\text{bool}$
assumes $ex\text{-subseq}: \bigwedge n s. \text{subseq } s \Longrightarrow \exists r'. \text{subseq } r' \wedge P n (s \text{ o } r')$
begin

definition $reduce$ **where** $reduce\ s\ n = (\text{SOME } r'. \text{subseq } r' \wedge P n (s \text{ o } r'))$

lemma $subseq\text{-reduce}$ $[intro, simp]$:
 $subseq\ s \Longrightarrow subseq\ (reduce\ s\ n)$
 $\langle proof \rangle$

lemma $reduce\text{-holds}$:
 $subseq\ s \Longrightarrow P\ n\ (s\ \text{o}\ reduce\ s\ n)$
 $\langle proof \rangle$

primrec $seqseq$ **where**
 $seqseq\ 0 = id$
 $| seqseq\ (Suc\ n) = seqseq\ n\ \text{o}\ reduce\ (seqseq\ n)\ n$

lemma $subseq\text{-seqseq}$ $[intro, simp]$: $subseq\ (seqseq\ n)$
 $\langle proof \rangle$

lemma $seqseq\text{-holds}$:
 $P\ n\ (seqseq\ (Suc\ n))$
 $\langle proof \rangle$

definition $diagseq$ **where** $diagseq\ i = seqseq\ i\ i$

lemma $subseq\text{-mono}$: $subseq\ f \Longrightarrow a \leq b \Longrightarrow f\ a \leq f\ b$
 $\langle proof \rangle$

lemma $subseq\text{-strict-mono}$: $subseq\ f \Longrightarrow a < b \Longrightarrow f\ a < f\ b$
 $\langle proof \rangle$

lemma $diagseq\text{-mono}$: $diagseq\ n < diagseq\ (Suc\ n)$
 $\langle proof \rangle$

lemma $subseq\text{-diagseq}$: $subseq\ diagseq$
 $\langle proof \rangle$

primrec $fold\text{-reduce}$ **where**
 $fold\text{-reduce}\ n\ 0 = id$
 $| fold\text{-reduce}\ n\ (Suc\ k) = fold\text{-reduce}\ n\ k\ \text{o}\ reduce\ (seqseq\ (n + k))\ (n + k)$

lemma $subseq\text{-fold-reduce}$ $[intro, simp]$: $subseq\ (fold\text{-reduce}\ n\ k)$
 $\langle proof \rangle$

lemma $ex\text{-subseq-reduce-index}$: $seqseq\ (n + k) = seqseq\ n\ \text{o}\ fold\text{-reduce}\ n\ k$
 $\langle proof \rangle$

lemma *seqseq-fold-reduce*: $seqseq\ n = fold-reduce\ 0\ n$
 ⟨proof⟩

lemma *diagseq-fold-reduce*: $diagseq\ n = fold-reduce\ 0\ n\ n$
 ⟨proof⟩

lemma *fold-reduce-add*: $fold-reduce\ 0\ (m + n) = fold-reduce\ 0\ m\ o\ fold-reduce\ m\ n$
 ⟨proof⟩

lemma *diagseq-add*: $diagseq\ (k + n) = (seqseq\ k\ o\ (fold-reduce\ k\ n))\ (k + n)$
 ⟨proof⟩

lemma *diagseq-sub*:
assumes $m \leq n$ **shows** $diagseq\ n = (seqseq\ m\ o\ (fold-reduce\ m\ (n - m)))\ n$
 ⟨proof⟩

lemma *subseq-diagonal-rest*: $subseq\ (\lambda x. fold-reduce\ k\ x\ (k + x))$
 ⟨proof⟩

lemma *diagseq-seqseq*: $diagseq\ o\ (op + k) = (seqseq\ k\ o\ (\lambda x. fold-reduce\ k\ x\ (k + x)))$
 ⟨proof⟩

lemma *diagseq-holds*:
assumes *subseq-stable*: $\bigwedge r\ s\ n. subseq\ r \implies P\ n\ s \implies P\ n\ (s\ o\ r)$
shows $P\ k\ (diagseq\ o\ (op + (Suc\ k)))$
 ⟨proof⟩

end

end

28 Handling Disjoint Sets

theory *Disjoint-Sets*

imports *Main*

begin

lemma *range-subsetD*: $range\ f \subseteq B \implies f\ i \in B$
 ⟨proof⟩

lemma *Int-Diff-disjoint*: $A \cap B \cap (A - B) = \{\}$
 ⟨proof⟩

lemma *Int-Diff-Un*: $A \cap B \cup (A - B) = A$
 ⟨proof⟩

lemma *mono-Un*: $mono\ A \implies (\bigcup_{i \leq n}. A\ i) = A\ n$

<proof>

28.1 Set of Disjoint Sets

abbreviation *disjoint* :: 'a set set \Rightarrow bool **where** *disjoint* \equiv pairwise disjnt

lemma *disjoint-def*: *disjoint* A \longleftrightarrow ($\forall a \in A. \forall b \in A. a \neq b \longrightarrow a \cap b = \{\}$)
<proof>

lemma *disjointI*:
 $(\bigwedge a b. a \in A \Longrightarrow b \in A \Longrightarrow a \neq b \Longrightarrow a \cap b = \{\}) \Longrightarrow \text{disjoint } A$
<proof>

lemma *disjointD*:
 $\text{disjoint } A \Longrightarrow a \in A \Longrightarrow b \in A \Longrightarrow a \neq b \Longrightarrow a \cap b = \{\}$
<proof>

lemma *disjoint-INT*:
assumes *: $\bigwedge i. i \in I \Longrightarrow \text{disjoint } (F i)$
shows *disjoint* $\{\bigcap i \in I. X i \mid X. \forall i \in I. X i \in F i\}$
<proof>

28.1.1 Family of Disjoint Sets

definition *disjoint-family-on* :: ('i \Rightarrow 'a set) \Rightarrow 'i set \Rightarrow bool **where**
disjoint-family-on A S \longleftrightarrow ($\forall m \in S. \forall n \in S. m \neq n \longrightarrow A m \cap A n = \{\}$)

abbreviation *disjoint-family* A \equiv *disjoint-family-on* A UNIV

lemma *disjoint-family-onD*:
 $\text{disjoint-family-on } A I \Longrightarrow i \in I \Longrightarrow j \in I \Longrightarrow i \neq j \Longrightarrow A i \cap A j = \{\}$
<proof>

lemma *disjoint-family-subset*: *disjoint-family* A \Longrightarrow ($\bigwedge x. B x \subseteq A x$) \Longrightarrow *disjoint-family* B
<proof>

lemma *disjoint-family-on-bisimulation*:
assumes *disjoint-family-on* f S
and $\bigwedge n m. n \in S \Longrightarrow m \in S \Longrightarrow n \neq m \Longrightarrow f n \cap f m = \{\} \Longrightarrow g n \cap g m = \{\}$
shows *disjoint-family-on* g S
<proof>

lemma *disjoint-family-on-mono*:
 $A \subseteq B \Longrightarrow \text{disjoint-family-on } f B \Longrightarrow \text{disjoint-family-on } f A$
<proof>

lemma *disjoint-family-Suc*:
 $(\bigwedge n. A n \subseteq A (\text{Suc } n)) \Longrightarrow \text{disjoint-family } (\lambda i. A (\text{Suc } i) - A i)$

<proof>

lemma *disjoint-family-on-disjoint-image*:
disjoint-family-on A I \implies *disjoint (A ‘ I)*
<proof>

lemma *disjoint-family-on-vimageI*: *disjoint-family-on F I* \implies *disjoint-family-on*
($\lambda i. f - ‘ F i$) I
<proof>

lemma *disjoint-image-disjoint-family-on*:
assumes *d*: *disjoint (A ‘ I)* **and** *i*: *inj-on A I*
shows *disjoint-family-on A I*
<proof>

lemma *disjoint-UN*:
assumes *F*: $\bigwedge i. i \in I \implies \text{disjoint } (F i)$ **and** ***: *disjoint-family-on* $(\lambda i. \bigcup F i) I$
shows *disjoint* $(\bigcup_{i \in I}. F i)$
<proof>

lemma *disjoint-union*: *disjoint C* \implies *disjoint B* $\implies \bigcup C \cap \bigcup B = \{\}$ \implies *disjoint*
(C \cup B)
<proof>

28.2 Construct Disjoint Sequences

definition *disjointed* :: $(\text{nat} \Rightarrow 'a \text{ set}) \Rightarrow \text{nat} \Rightarrow 'a \text{ set}$ **where**
disjointed A n = $A n - (\bigcup_{i \in \{0..<n\}}. A i)$

lemma *finite-UN-disjointed-eq*: $(\bigcup_{i \in \{0..<n\}}. \text{disjointed } A i) = (\bigcup_{i \in \{0..<n\}}. A i)$
<proof>

lemma *UN-disjointed-eq*: $(\bigcup i. \text{disjointed } A i) = (\bigcup i. A i)$
<proof>

lemma *less-disjoint-disjointed*: $m < n \implies \text{disjointed } A m \cap \text{disjointed } A n = \{\}$
<proof>

lemma *disjoint-family-disjointed*: *disjoint-family* $(\text{disjointed } A)$
<proof>

lemma *disjointed-subset*: *disjointed A n* $\subseteq A n$
<proof>

lemma *disjointed-0[simp]*: *disjointed A 0* = $A 0$
<proof>

lemma *disjointed-mono*: *mono A* $\implies \text{disjointed } A (\text{Suc } n) = A (\text{Suc } n) - A n$

<proof>

end

29 Lists with elements distinct as canonical example for datatype invariants

theory *Dlist*
imports *Main*
begin

29.1 The type of distinct lists

typedef *'a dlist* = {*xs::'a list. distinct xs*}
morphisms *list-of-dlist Abs-dlist*
<proof>

setup-lifting *type-definition-dlist*

lemma *dlist-eq-iff*:
 $dxs = dys \longleftrightarrow list\text{-of-dlist } dxs = list\text{-of-dlist } dys$
<proof>

lemma *dlist-eqI*:
 $list\text{-of-dlist } dxs = list\text{-of-dlist } dys \implies dxs = dys$
<proof>

Formal, totalized constructor for *'a dlist*:

definition *Dlist* :: *'a list* \Rightarrow *'a dlist* **where**
 $Dlist\ xs = Abs\text{-dlist } (remdups\ xs)$

lemma *distinct-list-of-dlist* [*simp, intro*]:
 $distinct\ (list\text{-of-dlist } dxs)$
<proof>

lemma *list-of-dlist-Dlist* [*simp*]:
 $list\text{-of-dlist } (Dlist\ xs) = remdups\ xs$
<proof>

lemma *remdups-list-of-dlist* [*simp*]:
 $remdups\ (list\text{-of-dlist } dxs) = list\text{-of-dlist } dxs$
<proof>

lemma *Dlist-list-of-dlist* [*simp, code abstype*]:
 $Dlist\ (list\text{-of-dlist } dxs) = dxs$
<proof>

Fundamental operations:

context

begin

qualified definition *empty* :: 'a dlist **where**
empty = Dlist []

qualified definition *insert* :: 'a ⇒ 'a dlist ⇒ 'a dlist **where**
insert x dxs = Dlist (List.insert x (list-of-dlist dxs))

qualified definition *remove* :: 'a ⇒ 'a dlist ⇒ 'a dlist **where**
remove x dxs = Dlist (remove1 x (list-of-dlist dxs))

qualified definition *map* :: ('a ⇒ 'b) ⇒ 'a dlist ⇒ 'b dlist **where**
map f dxs = Dlist (remdups (List.map f (list-of-dlist dxs)))

qualified definition *filter* :: ('a ⇒ bool) ⇒ 'a dlist ⇒ 'a dlist **where**
filter P dxs = Dlist (List.filter P (list-of-dlist dxs))

end

Derived operations:

context
begin

qualified definition *null* :: 'a dlist ⇒ bool **where**
null dxs = List.null (list-of-dlist dxs)

qualified definition *member* :: 'a dlist ⇒ 'a ⇒ bool **where**
member dxs = List.member (list-of-dlist dxs)

qualified definition *length* :: 'a dlist ⇒ nat **where**
length dxs = List.length (list-of-dlist dxs)

qualified definition *fold* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a dlist ⇒ 'b ⇒ 'b **where**
fold f dxs = List.fold f (list-of-dlist dxs)

qualified definition *foldr* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a dlist ⇒ 'b ⇒ 'b **where**
foldr f dxs = List.foldr f (list-of-dlist dxs)

end

29.2 Executable version obeying invariant

lemma *list-of-dlist-empty* [simp, code abstract]:
list-of-dlist Dlist.empty = []
 ⟨proof⟩

lemma *list-of-dlist-insert* [simp, code abstract]:
list-of-dlist (Dlist.insert x dxs) = List.insert x (list-of-dlist dxs)
 ⟨proof⟩

lemma *list-of-dlist-remove* [*simp, code abstract*]:
 $list\text{-of-dlist} (Dlist.remove\ x\ dxs) = remove1\ x\ (list\text{-of-dlist}\ dxs)$
 ⟨*proof*⟩

lemma *list-of-dlist-map* [*simp, code abstract*]:
 $list\text{-of-dlist} (Dlist.map\ f\ dxs) = remdups\ (List.map\ f\ (list\text{-of-dlist}\ dxs))$
 ⟨*proof*⟩

lemma *list-of-dlist-filter* [*simp, code abstract*]:
 $list\text{-of-dlist} (Dlist.filter\ P\ dxs) = List.filter\ P\ (list\text{-of-dlist}\ dxs)$
 ⟨*proof*⟩

Explicit executable conversion

definition *dlist-of-list* [*simp*]:
 $dlist\text{-of-list} = Dlist$

lemma [*code abstract*]:
 $list\text{-of-dlist} (dlist\text{-of-list}\ xs) = remdups\ xs$
 ⟨*proof*⟩

Equality

instantiation *dlist* :: (*equal*) *equal*
begin

definition $HOL.equal\ dxs\ dys \longleftrightarrow HOL.equal\ (list\text{-of-dlist}\ dxs)\ (list\text{-of-dlist}\ dys)$

instance
 ⟨*proof*⟩

end

declare *equal-dlist-def* [*code*]

lemma [*code nbe*]: $HOL.equal\ (dxs :: 'a::equal\ dlist)\ dxs \longleftrightarrow True$
 ⟨*proof*⟩

29.3 Induction principle and case distinction

lemma *dlist-induct* [*case-names empty insert, induct type: dlist*]:
assumes *empty*: $P\ Dlist.empty$
assumes *insrt*: $\bigwedge x\ dxs. \neg Dlist.member\ dxs\ x \implies P\ dxs \implies P\ (Dlist.insert\ x\ dxs)$
shows $P\ dxs$
 ⟨*proof*⟩

lemma *dlist-case* [*cases type: dlist*]:
obtains (*empty*) $dxs = Dlist.empty$
 | (*insert*) $x\ dys$ **where** $\neg Dlist.member\ dys\ x$ **and** $dxs = Dlist.insert\ x\ dys$
 ⟨*proof*⟩

29.4 Functorial structure

functor *map*: *map*
 ⟨*proof*⟩

29.5 Quickcheck generators

quickcheck-generator *dlist predicate*: *distinct constructors*: *Dlist.empty*, *Dlist.insert*

29.6 BNF instance

context *begin*

qualified fun *wpull* :: ('a × 'b) list ⇒ ('b × 'c) list ⇒ ('a × 'c) list

where

wpull [] *ys* = []
 | *wpull xs* [] = []
 | *wpull* ((*a*, *b*) # *xs*) ((*b'*, *c*) # *ys*) =
 (if *b* ∈ *snd* ' *set xs* then
 (*a*, the (map-of (rev ((*b'*, *c*) # *ys*)) *b*)) # *wpull xs* ((*b'*, *c*) # *ys*)
 else if *b'* ∈ *fst* ' *set ys* then
 (the (map-of (map prod.swap (rev ((*a*, *b*) # *xs*))) *b'*), *c*) # *wpull* ((*a*, *b*) #
xs) *ys*
 else (*a*, *c*) # *wpull xs ys*)

qualified lemma *wpull-eq-Nil-iff* [*simp*]: *wpull xs ys* = [] ↔ *xs* = [] ∨ *ys* = []

⟨*proof*⟩ **lemma** *wpull-induct*

[*consumes 1*,
*case-names Nil left[*xs eq in-set IH*] right[*xs ys eq in-set IH*] step[*xs ys eq IH*]*]:
assumes *eq*: *remdups* (map *snd xs*) = *remdups* (map *fst ys*)
and *Nil*: *P* [] []
and *left*: ∧ *a b xs b' c ys*.
 [[*b* ∈ *snd* ' *set xs*; *remdups* (map *snd xs*) = *remdups* (map *fst* ((*b'*, *c*) # *ys*));
 (*b*, the (map-of (rev ((*b'*, *c*) # *ys*)) *b*)) ∈ *set* ((*b'*, *c*) # *ys*); *P xs* ((*b'*, *c*) #
ys)]]
 ⇒ *P* ((*a*, *b*) # *xs*) ((*b'*, *c*) # *ys*)
and *right*: ∧ *a b xs b' c ys*.
 [[*b* ∉ *snd* ' *set xs*; *b'* ∈ *fst* ' *set ys*;
remdups (map *snd* ((*a*, *b*) # *xs*)) = *remdups* (map *fst ys*);
 (the (map-of (map prod.swap (rev ((*a*, *b*) # *xs*))) *b'*), *b'*) ∈ *set* ((*a*, *b*) # *xs*);
P ((*a*, *b*) # *xs*) *ys*]]
 ⇒ *P* ((*a*, *b*) # *xs*) ((*b'*, *c*) # *ys*)
and *step*: ∧ *a b xs c ys*.
 [[*b* ∉ *snd* ' *set xs*; *b* ∉ *fst* ' *set ys*; *remdups* (map *snd xs*) = *remdups* (map *fst*
ys);
P xs ys]]
 ⇒ *P* ((*a*, *b*) # *xs*) ((*b*, *c*) # *ys*)
shows *P xs ys*
 ⟨*proof*⟩ **lemma** *set-wpull-subset*:
assumes *remdups* (map *snd xs*) = *remdups* (map *fst ys*)

```

shows set (wpull xs ys)  $\subseteq$  set xs O set ys
<proof> lemma set-fst-wpull:
  assumes remdups (map snd xs) = remdups (map fst ys)
  shows fst ‘ set (wpull xs ys) = fst ‘ set xs
<proof> lemma set-snd-wpull:
  assumes remdups (map snd xs) = remdups (map fst ys)
  shows snd ‘ set (wpull xs ys) = snd ‘ set ys
<proof> lemma wpull:
  assumes distinct xs
  and distinct ys
  and set xs  $\subseteq$  {(x, y). R x y}
  and set ys  $\subseteq$  {(x, y). S x y}
  and eq: remdups (map snd xs) = remdups (map fst ys)
  shows  $\exists$  zs. distinct zs  $\wedge$  set zs  $\subseteq$  {(x, y). (R O O S) x y}  $\wedge$ 
    remdups (map fst zs) = remdups (map fst xs)  $\wedge$  remdups (map snd zs) =
    remdups (map snd ys)
<proof> lift-definition set :: 'a dlist  $\Rightarrow$  'a set is List.set <proof> lemma map-transfer
[transfer-rule]:
  (rel-fun op = (rel-fun (pcr-dlist op =) (pcr-dlist op =))) ( $\lambda$ f x. remdups (List.map
f x)) Dlist.map
<proof>

```

```

bnf 'a dlist
  map: Dlist.map
  sets: set
  bd: natLeq
  wits: Dlist.empty
<proof>

```

```

lifting-update dlist.lifting
lifting-forget dlist.lifting

```

```

end

```

```

end

```

```

theory Simps-Case-Conv
  imports Main
  keywords simps-of-case :: thy-decl == and case-of-simps :: thy-decl
begin

```

```

<ML>

```

```

end

```

```

theory Extended
imports

```

```

Main
~~~/src/HOL/Library/Simps-Case-Conv
begin

datatype 'a extended = Fin 'a | Pinf (∞) | Minf (−∞)

instantiation extended :: (order)order
begin

fun less-eq-extended :: 'a extended ⇒ 'a extended ⇒ bool where
  Fin x ≤ Fin y = (x ≤ y) |
  -   ≤ Pinf = True |
  Minf ≤ -   = True |
  (¬:'a extended) ≤ -   = False

case-of-simps less-eq-extended-case: less-eq-extended.simps

definition less-extended :: 'a extended ⇒ 'a extended ⇒ bool where
  ((x::'a extended) < y) = (x ≤ y & ¬ y ≤ x)

instance
  ⟨proof⟩

end

instance extended :: (linorder)linorder
  ⟨proof⟩

lemma Minf-le[simp]: Minf ≤ y
  ⟨proof⟩
lemma le-Pinf[simp]: x ≤ Pinf
  ⟨proof⟩
lemma le-Minf[simp]: x ≤ Minf ⟷ x = Minf
  ⟨proof⟩
lemma Pinf-le[simp]: Pinf ≤ x ⟷ x = Pinf
  ⟨proof⟩

lemma less-extended-simps[simp]:
  Fin x < Fin y = (x < y)
  Fin x < Pinf = True
  Fin x < Minf = False
  Pinf < h     = False
  Minf < Fin x = True
  Minf < Pinf = True
  l < Minf    = False
  ⟨proof⟩

lemma min-extended-simps[simp]:

```

```

min (Fin x) (Fin y) = Fin(min x y)
min xx    Pinf    = xx
min xx    Minf    = Minf
min Pinf  yy     = yy
min Minf  yy     = Minf
⟨proof⟩

```

```

lemma max-extended-simps[simp]:
max (Fin x) (Fin y) = Fin(max x y)
max xx    Pinf    = Pinf
max xx    Minf    = xx
max Pinf  yy     = Pinf
max Minf  yy     = yy
⟨proof⟩

```

```

instantiation extended :: (zero)zero
begin
definition 0 = Fin(0::'a)
instance ⟨proof⟩
end

```

```

declare zero-extended-def[symmetric, code-post]

```

```

instantiation extended :: (one)one
begin
definition 1 = Fin(1::'a)
instance ⟨proof⟩
end

```

```

declare one-extended-def[symmetric, code-post]

```

```

instantiation extended :: (plus)plus
begin

```

The following definition of addition is totalized to make it associative and commutative. Normally the sum of plus and minus infinity is undefined.

```

fun plus-extended where
Fin x + Fin y = Fin(x+y) |
Fin x + Pinf = Pinf |
Pinf + Fin x = Pinf |
Pinf + Pinf = Pinf |
Minf + Fin y = Minf |
Fin x + Minf = Minf |
Minf + Minf = Minf |
Minf + Pinf = Pinf |
Pinf + Minf = Pinf

```

```

case-of-simps plus-case: plus-extended.simps

```



```

instance ⟨proof⟩

end

instance extended :: (ab-semigroup-add)ab-semigroup-add
  ⟨proof⟩

instance extended :: (ordered-ab-semigroup-add)ordered-ab-semigroup-add
  ⟨proof⟩

instance extended :: (comm-monoid-add)comm-monoid-add
  ⟨proof⟩

instantiation extended :: (uminus)uminus
begin

fun uminus-extended where
  - (Fin x) = Fin (- x) |
  - Pinf    = Minf |
  - Minf    = Pinf

instance ⟨proof⟩

end

instantiation extended :: (ab-group-add)minus
begin
definition  $x - y = x + -(y::'a \text{ extended})$ 
instance ⟨proof⟩
end

lemma minus-extended-simps[simp]:
  Fin x - Fin y = Fin(x - y)
  Fin x - Pinf = Minf
  Fin x - Minf = Pinf
  Pinf - Fin y = Pinf
  Pinf - Minf = Pinf
  Minf - Fin y = Minf
  Minf - Pinf = Minf
  Minf - Minf = Pinf
  Pinf - Pinf = Pinf
  ⟨proof⟩

  Numerals:
instance extended :: ({ab-semigroup-add,one})numeral ⟨proof⟩

```

lemma *Fin-numeral*[code-post]: $Fin(\text{numeral } w) = \text{numeral } w$
 ⟨proof⟩

lemma *Fin-neg-numeral*[code-post]: $Fin(-\text{numeral } w) = -\text{numeral } w$
 ⟨proof⟩

instantiation *extended* :: (lattice)bounded-lattice
begin

definition *bot* = *Minf*

definition *top* = *Pinf*

fun *inf-extended* :: 'a extended \Rightarrow 'a extended \Rightarrow 'a extended **where**
inf-extended (*Fin* *i*) (*Fin* *j*) = *Fin* (*inf* *i* *j*) |
inf-extended *a* *Minf* = *Minf* |
inf-extended *Minf* *a* = *Minf* |
inf-extended *Pinf* *a* = *a* |
inf-extended *a* *Pinf* = *a*

fun *sup-extended* :: 'a extended \Rightarrow 'a extended \Rightarrow 'a extended **where**
sup-extended (*Fin* *i*) (*Fin* *j*) = *Fin* (*sup* *i* *j*) |
sup-extended *a* *Pinf* = *Pinf* |
sup-extended *Pinf* *a* = *Pinf* |
sup-extended *Minf* *a* = *a* |
sup-extended *a* *Minf* = *a*

case-of-simps *inf-extended-case*: *inf-extended.simps*

case-of-simps *sup-extended-case*: *sup-extended.simps*

instance

⟨proof⟩

end

end

30 Continuity and iterations

theory *Order-Continuity*

imports *Complex-Main Countable-Complete-Lattices*

begin

lemma *SUP-nat-binary*:

(*SUP* *n*::nat. if *n* = 0 then *A* else *B*) = (*sup* *A* *B*::'a::countable-complete-lattice)

⟨proof⟩

lemma *INF-nat-binary*:

$(INF\ n::nat.\ if\ n = 0\ then\ A\ else\ B) = (inf\ A\ B::'a::countable-complete-lattice)$
 $\langle proof \rangle$

The name *continuous* is already taken in *Complex-Main*, so we use *sup-continuous* and *inf-continuous*. These names appear sometimes in literature and have the advantage that these names are duals.

named-theorems *order-continuous-intros*

30.1 Continuity for complete lattices

definition

$sup-continuous :: ('a::countable-complete-lattice \Rightarrow 'b::countable-complete-lattice)$
 $\Rightarrow bool$

where

$sup-continuous\ F \iff (\forall\ M::nat \Rightarrow 'a.\ mono\ M \longrightarrow F\ (SUP\ i.\ M\ i) = (SUP\ i.\ F\ (M\ i)))$

lemma *sup-continuousD*: $sup-continuous\ F \implies mono\ M \implies F\ (SUP\ i::nat.\ M\ i) = (SUP\ i.\ F\ (M\ i))$

$\langle proof \rangle$

lemma *sup-continuous-mono*:

assumes [*simp*]: $sup-continuous\ F$ **shows** $mono\ F$
 $\langle proof \rangle$

lemma [*order-continuous-intros*]:

shows *sup-continuous-const*: $sup-continuous\ (\lambda x.\ c)$
and *sup-continuous-id*: $sup-continuous\ (\lambda x.\ x)$
and *sup-continuous-apply*: $sup-continuous\ (\lambda f.\ f\ x)$
and *sup-continuous-fun*: $(\bigwedge s.\ sup-continuous\ (\lambda x.\ P\ x\ s)) \implies sup-continuous\ P$

and *sup-continuous-If*: $sup-continuous\ F \implies sup-continuous\ G \implies sup-continuous\ (\lambda f.\ if\ C\ then\ F\ f\ else\ G\ f)$

$\langle proof \rangle$

lemma *sup-continuous-compose*:

assumes f : $sup-continuous\ f$ **and** g : $sup-continuous\ g$
shows $sup-continuous\ (\lambda x.\ f\ (g\ x))$
 $\langle proof \rangle$

lemma *sup-continuous-sup*[*order-continuous-intros*]:

$sup-continuous\ f \implies sup-continuous\ g \implies sup-continuous\ (\lambda x.\ sup\ (f\ x)\ (g\ x))$
 $\langle proof \rangle$

lemma *sup-continuous-inf*[*order-continuous-intros*]:

fixes $P\ Q :: 'a :: countable-complete-lattice \Rightarrow 'b :: countable-complete-distrib-lattice$
assumes P : $sup-continuous\ P$ **and** Q : $sup-continuous\ Q$
shows $sup-continuous\ (\lambda x.\ inf\ (P\ x)\ (Q\ x))$

<proof>

lemma *sup-continuous-and*[*order-continuous-intros*]:

sup-continuous $P \implies \text{sup-continuous } Q \implies \text{sup-continuous } (\lambda x. P\ x \wedge Q\ x)$

<proof>

lemma *sup-continuous-or*[*order-continuous-intros*]:

sup-continuous $P \implies \text{sup-continuous } Q \implies \text{sup-continuous } (\lambda x. P\ x \vee Q\ x)$

<proof>

lemma *sup-continuous-lfp*:

assumes *sup-continuous* F **shows** $\text{lfp } F = (\text{SUP } i. (F \ \hat{\wedge} \ i) \ \text{bot})$ (**is** $\text{lfp } F = ?U$)

<proof>

lemma *lfp-transfer-bounded*:

assumes $P: P \ \text{bot} \wedge x. P\ x \implies P\ (f\ x) \wedge M. (\wedge i. P\ (M\ i)) \implies P\ (\text{SUP } i::\text{nat}. M\ i)$

assumes $\alpha: \wedge M. \text{mono } M \implies (\wedge i::\text{nat}. P\ (M\ i)) \implies \alpha\ (\text{SUP } i. M\ i) = (\text{SUP } i. \alpha\ (M\ i))$

assumes $f: \text{sup-continuous } f$ **and** $g: \text{sup-continuous } g$

assumes [*simp*]: $\wedge x. P\ x \implies x \leq \text{lfp } f \implies \alpha\ (f\ x) = g\ (\alpha\ x)$

assumes $g\text{-bound}: \wedge x. \alpha\ \text{bot} \leq g\ x$

shows $\alpha\ (\text{lfp } f) = \text{lfp } g$

<proof>

lemma *lfp-transfer*:

sup-continuous $\alpha \implies \text{sup-continuous } f \implies \text{sup-continuous } g \implies$

$(\wedge x. \alpha\ \text{bot} \leq g\ x) \implies (\wedge x. x \leq \text{lfp } f \implies \alpha\ (f\ x) = g\ (\alpha\ x)) \implies \alpha\ (\text{lfp } f) = \text{lfp } g$

<proof>

definition

inf-continuous $:: ('a::\text{countable-complete-lattice} \Rightarrow 'b::\text{countable-complete-lattice}) \Rightarrow \text{bool}$

where

inf-continuous $F \iff (\forall M::\text{nat} \Rightarrow 'a. \text{antimono } M \longrightarrow F\ (\text{INF } i. M\ i) = (\text{INF } i. F\ (M\ i)))$

lemma *inf-continuousD*: *inf-continuous* $F \implies \text{antimono } M \implies F\ (\text{INF } i::\text{nat}. M\ i) = (\text{INF } i. F\ (M\ i))$

<proof>

lemma *inf-continuous-mono*:

assumes [*simp*]: *inf-continuous* F **shows** *mono* F

<proof>

lemma [*order-continuous-intros*]:

shows *inf-continuous-const*: *inf-continuous* $(\lambda x. c)$

and *inf-continuous-id*: *inf-continuous* $(\lambda x. x)$

and *inf-continuous-apply*: *inf-continuous* $(\lambda f. f x)$
and *inf-continuous-fun*: $(\bigwedge s. \textit{inf-continuous} (\lambda x. P x s)) \implies \textit{inf-continuous} P$
and *inf-continuous-If*: *inf-continuous* $F \implies \textit{inf-continuous} G \implies \textit{inf-continuous}$
 $(\lambda f. \textit{if } C \textit{ then } F f \textit{ else } G f)$
 ⟨*proof*⟩

lemma *inf-continuous-inf*[*order-continuous-intros*]:
inf-continuous $f \implies \textit{inf-continuous} g \implies \textit{inf-continuous} (\lambda x. \textit{inf} (f x) (g x))$
 ⟨*proof*⟩

lemma *inf-continuous-sup*[*order-continuous-intros*]:
fixes $P Q :: 'a :: \textit{countable-complete-lattice} \implies 'b :: \textit{countable-complete-distrib-lattice}$
assumes $P: \textit{inf-continuous} P$ **and** $Q: \textit{inf-continuous} Q$
shows *inf-continuous* $(\lambda x. \textit{sup} (P x) (Q x))$
 ⟨*proof*⟩

lemma *inf-continuous-and*[*order-continuous-intros*]:
inf-continuous $P \implies \textit{inf-continuous} Q \implies \textit{inf-continuous} (\lambda x. P x \wedge Q x)$
 ⟨*proof*⟩

lemma *inf-continuous-or*[*order-continuous-intros*]:
inf-continuous $P \implies \textit{inf-continuous} Q \implies \textit{inf-continuous} (\lambda x. P x \vee Q x)$
 ⟨*proof*⟩

lemma *inf-continuous-compose*:
assumes $f: \textit{inf-continuous} f$ **and** $g: \textit{inf-continuous} g$
shows *inf-continuous* $(\lambda x. f (g x))$
 ⟨*proof*⟩

lemma *inf-continuous-gfp*:
assumes *inf-continuous* F **shows** $\textit{gfp} F = (\textit{INF} i. (F \hat{\wedge} i) \textit{top})$ (**is** $\textit{gfp} F = ?U$)
 ⟨*proof*⟩

lemma *gfp-transfer*:
assumes $\alpha: \textit{inf-continuous} \alpha$ **and** $f: \textit{inf-continuous} f$ **and** $g: \textit{inf-continuous} g$
assumes [*simp*]: $\alpha \textit{top} = \textit{top} \wedge x. \alpha (f x) = g (\alpha x)$
shows $\alpha (\textit{gfp} f) = \textit{gfp} g$
 ⟨*proof*⟩

lemma *gfp-transfer-bounded*:
assumes $P: P (f \textit{top}) \wedge x. P x \implies P (f x) \wedge M. \textit{antimono} M \implies (\bigwedge i. P (M i)) \implies P (\textit{INF} i::\textit{nat}. M i)$
assumes $\alpha: \bigwedge M. \textit{antimono} M \implies (\bigwedge i::\textit{nat}. P (M i)) \implies \alpha (\textit{INF} i. M i) = (\textit{INF} i. \alpha (M i))$
assumes $f: \textit{inf-continuous} f$ **and** $g: \textit{inf-continuous} g$
assumes [*simp*]: $\bigwedge x. P x \implies \alpha (f x) = g (\alpha x)$
assumes $g\text{-bound}: \bigwedge x. g x \leq \alpha (f \textit{top})$
shows $\alpha (\textit{gfp} f) = \textit{gfp} g$
 ⟨*proof*⟩

30.1.1 Least fixed points in countable complete lattices

definition (in *countable-complete-lattice*) $cclfp :: ('a \Rightarrow 'a) \Rightarrow 'a$
where $cclfp f = (SUP i. (f \hat{\hat{}} i) bot)$

lemma *cclfp-unfold*:

assumes *sup-continuous F* **shows** $cclfp F = F (cclfp F)$

<proof>

lemma *cclfp-lowerbound*: **assumes** $f: mono f$ **and** $A: f A \leq A$ **shows** $cclfp f \leq A$

<proof>

lemma *cclfp-transfer*:

assumes *sup-continuous α mono f*

assumes $\alpha bot = bot \wedge x. \alpha (f x) = g (\alpha x)$

shows $\alpha (cclfp f) = cclfp g$

<proof>

end

31 Extended natural numbers (i.e. with infinity)

theory *Extended-Nat*

imports *Main Countable Order-Continuity*

begin

class *infinity* =

fixes *infinity* :: 'a (∞)

context

fixes $f :: nat \Rightarrow 'a :: \{canonically-ordered-monoid-add, linorder-topology, complete-linorder\}$

begin

lemma *sums-SUP[simp, intro]*: $f \text{ sums } (SUP n. \sum i < n. f i)$

<proof>

lemma *suminf-eq-SUP*: $\text{suminf } f = (SUP n. \sum i < n. f i)$

<proof>

end

31.1 Type definition

We extend the standard natural numbers by a special value indicating infinity.

typedef *enat* = *UNIV* :: *nat option set* *<proof>*

TODO: introduce *enat* as coinductive datatype, *enat* is just *of-nat*

definition $enat :: nat \Rightarrow enat$ **where**
 $enat\ n = Abs-enat\ (Some\ n)$

instantiation $enat :: infinity$
begin

definition $\infty = Abs-enat\ None$
instance $\langle proof \rangle$

end

instance $enat :: countable$
 $\langle proof \rangle$

old-rep-datatype $enat\ \infty :: enat$
 $\langle proof \rangle$

declare $[[coercion\ enat::nat \Rightarrow enat]]$

lemmas $enat2-cases = enat.exhaust[case-product\ enat.exhaust]$

lemmas $enat3-cases = enat.exhaust[case-product\ enat.exhaust\ enat.exhaust]$

lemma $not-infinity-eq\ [iff]: (x \neq \infty) = (\exists i. x = enat\ i)$
 $\langle proof \rangle$

lemma $not-enat-eq\ [iff]: (\forall y. x \neq enat\ y) = (x = \infty)$
 $\langle proof \rangle$

lemma $enat-ex-split: (\exists c::enat. P\ c) \longleftrightarrow P\ \infty \vee (\exists c::nat. P\ c)$
 $\langle proof \rangle$

primrec $the-enat :: enat \Rightarrow nat$
where $the-enat\ (enat\ n) = n$

31.2 Constructors and numbers

instantiation $enat :: zero-neq-one$
begin

definition
 $0 = enat\ 0$

definition
 $1 = enat\ 1$

instance
 $\langle proof \rangle$

end

definition $eSuc :: enat \Rightarrow enat$ **where**

$$eSuc\ i = (case\ i\ of\ enat\ n \Rightarrow enat\ (Suc\ n) \mid \infty \Rightarrow \infty)$$

lemma $enat-0$ [code-post]: $enat\ 0 = 0$

<proof>

lemma $enat-1$ [code-post]: $enat\ 1 = 1$

<proof>

lemma $enat-0-iff$: $enat\ x = 0 \longleftrightarrow x = 0\ 0 = enat\ x \longleftrightarrow x = 0$

<proof>

lemma $enat-1-iff$: $enat\ x = 1 \longleftrightarrow x = 1\ 1 = enat\ x \longleftrightarrow x = 1$

<proof>

lemma $one-eSuc$: $1 = eSuc\ 0$

<proof>

lemma $infinity-ne-i0$ [simp]: $(\infty :: enat) \neq 0$

<proof>

lemma $i0-ne-infinity$ [simp]: $0 \neq (\infty :: enat)$

<proof>

lemma $zero-one-enat-neq$:

$$\neg 0 = (1 :: enat)$$

$$\neg 1 = (0 :: enat)$$

<proof>

lemma $infinity-ne-i1$ [simp]: $(\infty :: enat) \neq 1$

<proof>

lemma $i1-ne-infinity$ [simp]: $1 \neq (\infty :: enat)$

<proof>

lemma $eSuc-enat$: $eSuc\ (enat\ n) = enat\ (Suc\ n)$

<proof>

lemma $eSuc-infinity$ [simp]: $eSuc\ \infty = \infty$

<proof>

lemma $eSuc-ne-0$ [simp]: $eSuc\ n \neq 0$

<proof>

lemma $zero-ne-eSuc$ [simp]: $0 \neq eSuc\ n$

<proof>

lemma $eSuc-inject$ [simp]: $eSuc\ m = eSuc\ n \longleftrightarrow m = n$

<proof>

lemma *eSuc-enat-iff*: $eSuc\ x = enat\ y \longleftrightarrow (\exists n. y = Suc\ n \wedge x = enat\ n)$
<proof>

lemma *enat-eSuc-iff*: $enat\ y = eSuc\ x \longleftrightarrow (\exists n. y = Suc\ n \wedge enat\ n = x)$
<proof>

31.3 Addition

instantiation *enat* :: *comm-monoid-add*
begin

definition [*nitpick-simp*]:

$m + n = (case\ m\ of\ \infty \Rightarrow \infty \mid enat\ m \Rightarrow (case\ n\ of\ \infty \Rightarrow \infty \mid enat\ n \Rightarrow enat\ (m + n)))$

lemma *plus-enat-simps* [*simp, code*]:

fixes *q* :: *enat*

shows $enat\ m + enat\ n = enat\ (m + n)$

and $\infty + q = \infty$

and $q + \infty = \infty$

<proof>

instance

<proof>

end

lemma *eSuc-plus-1*:

$eSuc\ n = n + 1$

<proof>

lemma *plus-1-eSuc*:

$1 + q = eSuc\ q$

$q + 1 = eSuc\ q$

<proof>

lemma *iadd-Suc*: $eSuc\ m + n = eSuc\ (m + n)$

<proof>

lemma *iadd-Suc-right*: $m + eSuc\ n = eSuc\ (m + n)$

<proof>

31.4 Multiplication

instantiation *enat* :: {*comm-semiring-1, semiring-no-zero-divisors*}
begin

definition *times-enat-def* [*nitpick-simp*]:

$$m * n = (\text{case } m \text{ of } \infty \Rightarrow \text{if } n = 0 \text{ then } 0 \text{ else } \infty \mid \text{enat } m \Rightarrow \\ (\text{case } n \text{ of } \infty \Rightarrow \text{if } m = 0 \text{ then } 0 \text{ else } \infty \mid \text{enat } n \Rightarrow \text{enat } (m * n)))$$

lemma *times-enat-simps* [*simp*, *code*]:

$$\begin{aligned} \text{enat } m * \text{enat } n &= \text{enat } (m * n) \\ \infty * \infty &= (\infty :: \text{enat}) \\ \infty * \text{enat } n &= (\text{if } n = 0 \text{ then } 0 \text{ else } \infty) \\ \text{enat } m * \infty &= (\text{if } m = 0 \text{ then } 0 \text{ else } \infty) \\ \langle \text{proof} \rangle \end{aligned}$$

instance

$\langle \text{proof} \rangle$

end

lemma *mult-eSuc*: $eSuc\ m * n = n + m * n$

$\langle \text{proof} \rangle$

lemma *mult-eSuc-right*: $m * eSuc\ n = m + m * n$

$\langle \text{proof} \rangle$

lemma *of-nat-eq-enat*: $of\text{-nat } n = \text{enat } n$

$\langle \text{proof} \rangle$

instance *enat :: semiring-char-0*

$\langle \text{proof} \rangle$

lemma *imult-is-infinity*: $((a :: \text{enat}) * b = \infty) = (a = \infty \wedge b \neq 0 \vee b = \infty \wedge a \neq 0)$

$\langle \text{proof} \rangle$

31.5 Numerals

lemma *numeral-eq-enat*:

$$\begin{aligned} \text{numeral } k &= \text{enat } (\text{numeral } k) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *enat-numeral* [*code-abbrev*]:

$$\begin{aligned} \text{enat } (\text{numeral } k) &= \text{numeral } k \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *infinity-ne-numeral* [*simp*]: $(\infty :: \text{enat}) \neq \text{numeral } k$

$\langle \text{proof} \rangle$

lemma *numeral-ne-infinity* [*simp*]: $\text{numeral } k \neq (\infty :: \text{enat})$

$\langle \text{proof} \rangle$

lemma *eSuc-numeral* [*simp*]: $eSuc\ (\text{numeral } k) = \text{numeral } (k + \text{Num.One})$

$\langle \text{proof} \rangle$

31.6 Subtraction

instantiation *enat* :: *minus*
begin

definition *diff-enat-def*:

$$a - b = (\text{case } a \text{ of } (\text{enat } x) \Rightarrow (\text{case } b \text{ of } (\text{enat } y) \Rightarrow \text{enat } (x - y) \mid \infty \Rightarrow 0) \mid \infty \Rightarrow \infty)$$

instance $\langle \text{proof} \rangle$

end

lemma *idiff-enat-enat* [*simp*, *code*]: *enat* *a* - *enat* *b* = *enat* (*a* - *b*)
 $\langle \text{proof} \rangle$

lemma *idiff-infinity* [*simp*, *code*]: $\infty - n = (\infty :: \text{enat})$
 $\langle \text{proof} \rangle$

lemma *idiff-infinity-right* [*simp*, *code*]: *enat* *a* - $\infty = 0$
 $\langle \text{proof} \rangle$

lemma *idiff-0* [*simp*]: $(0 :: \text{enat}) - n = 0$
 $\langle \text{proof} \rangle$

lemmas *idiff-enat-0* [*simp*] = *idiff-0* [*unfolded zero-enat-def*]

lemma *idiff-0-right* [*simp*]: $(n :: \text{enat}) - 0 = n$
 $\langle \text{proof} \rangle$

lemmas *idiff-enat-0-right* [*simp*] = *idiff-0-right* [*unfolded zero-enat-def*]

lemma *idiff-self* [*simp*]: $n \neq \infty \implies (n :: \text{enat}) - n = 0$
 $\langle \text{proof} \rangle$

lemma *eSuc-minus-eSuc* [*simp*]: *eSuc* *n* - *eSuc* *m* = *n* - *m*
 $\langle \text{proof} \rangle$

lemma *eSuc-minus-1* [*simp*]: *eSuc* *n* - 1 = *n*
 $\langle \text{proof} \rangle$

31.7 Ordering

instantiation *enat* :: *linordered-ab-semigroup-add*
begin

definition [*nitpick-simp*]:

$$m \leq n = (\text{case } n \text{ of } \text{enat } n1 \Rightarrow (\text{case } m \text{ of } \text{enat } m1 \Rightarrow m1 \leq n1 \mid \infty \Rightarrow \text{False}) \mid \infty \Rightarrow \text{True})$$

definition *[nitpick-simp]*:

$$m < n = (\text{case } m \text{ of } \text{enat } m1 \Rightarrow (\text{case } n \text{ of } \text{enat } n1 \Rightarrow m1 < n1 \mid \infty \Rightarrow \text{True}) \\ \mid \infty \Rightarrow \text{False})$$

lemma *enat-ord-simps [simp]*:

$$\begin{aligned} \text{enat } m \leq \text{enat } n &\longleftrightarrow m \leq n \\ \text{enat } m < \text{enat } n &\longleftrightarrow m < n \\ q \leq (\infty :: \text{enat}) & \\ q < (\infty :: \text{enat}) &\longleftrightarrow q \neq \infty \\ (\infty :: \text{enat}) \leq q &\longleftrightarrow q = \infty \\ (\infty :: \text{enat}) < q &\longleftrightarrow \text{False} \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *numeral-le-enat-iff [simp]*:

shows $\text{numeral } m \leq \text{enat } n \longleftrightarrow \text{numeral } m \leq n$
 $\langle \text{proof} \rangle$

lemma *numeral-less-enat-iff [simp]*:

shows $\text{numeral } m < \text{enat } n \longleftrightarrow \text{numeral } m < n$
 $\langle \text{proof} \rangle$

lemma *enat-ord-code [code]*:

$$\begin{aligned} \text{enat } m \leq \text{enat } n &\longleftrightarrow m \leq n \\ \text{enat } m < \text{enat } n &\longleftrightarrow m < n \\ q \leq (\infty :: \text{enat}) &\longleftrightarrow \text{True} \\ \text{enat } m < \infty &\longleftrightarrow \text{True} \\ \infty \leq \text{enat } n &\longleftrightarrow \text{False} \\ (\infty :: \text{enat}) < q &\longleftrightarrow \text{False} \\ \langle \text{proof} \rangle & \end{aligned}$$

instance

$\langle \text{proof} \rangle$

end

instance *enat :: dioid*

$\langle \text{proof} \rangle$

instance *enat :: {linordered-nonzero-semiring, strict-ordered-comm-monoid-add}*

$\langle \text{proof} \rangle$

lemma *enat-ord-number [simp]*:

$$\begin{aligned} (\text{numeral } m :: \text{enat}) \leq \text{numeral } n &\longleftrightarrow (\text{numeral } m :: \text{nat}) \leq \text{numeral } n \\ (\text{numeral } m :: \text{enat}) < \text{numeral } n &\longleftrightarrow (\text{numeral } m :: \text{nat}) < \text{numeral } n \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *infinity-ileE [elim!]*: $\infty \leq \text{enat } m \implies R$

<proof>

lemma *infinity-ilessE* [*elim!*]: $\infty < \text{enat } m \implies R$
<proof>

lemma *eSuc-ile-mono* [*simp*]: $e\text{Suc } n \leq e\text{Suc } m \longleftrightarrow n \leq m$
<proof>

lemma *eSuc-mono* [*simp*]: $e\text{Suc } n < e\text{Suc } m \longleftrightarrow n < m$
<proof>

lemma *ile-eSuc* [*simp*]: $n \leq e\text{Suc } n$
<proof>

lemma *not-eSuc-ilei0* [*simp*]: $\neg e\text{Suc } n \leq 0$
<proof>

lemma *i0-iless-eSuc* [*simp*]: $0 < e\text{Suc } n$
<proof>

lemma *iless-eSuc0* [*simp*]: $(n < e\text{Suc } 0) = (n = 0)$
<proof>

lemma *ileI1*: $m < n \implies e\text{Suc } m \leq n$
<proof>

lemma *Suc-ile-eq*: $\text{enat } (\text{Suc } m) \leq n \longleftrightarrow \text{enat } m < n$
<proof>

lemma *iless-Suc-eq* [*simp*]: $\text{enat } m < e\text{Suc } n \longleftrightarrow \text{enat } m \leq n$
<proof>

lemma *imult-infinity*: $(0::\text{enat}) < n \implies \infty * n = \infty$
<proof>

lemma *imult-infinity-right*: $(0::\text{enat}) < n \implies n * \infty = \infty$
<proof>

lemma *enat-0-less-mult-iff*: $(0 < (m::\text{enat}) * n) = (0 < m \wedge 0 < n)$
<proof>

lemma *mono-eSuc*: *mono eSuc*
<proof>

lemma *min-enat-simps* [*simp*]:
 $\text{min } (\text{enat } m) (\text{enat } n) = \text{enat } (\text{min } m \ n)$
 $\text{min } q \ 0 = 0$
 $\text{min } 0 \ q = 0$
 $\text{min } q \ (\infty::\text{enat}) = q$

$\text{min } (\infty::\text{enat}) \ q = q$
 ⟨proof⟩

lemma *max-enat-simps* [simp]:
 $\text{max } (\text{enat } m) \ (\text{enat } n) = \text{enat } (\text{max } m \ n)$
 $\text{max } q \ 0 = q$
 $\text{max } 0 \ q = q$
 $\text{max } q \ \infty = (\infty::\text{enat})$
 $\text{max } \infty \ q = (\infty::\text{enat})$
 ⟨proof⟩

lemma *enat-ile*: $n \leq \text{enat } m \implies \exists k. n = \text{enat } k$
 ⟨proof⟩

lemma *enat-iless*: $n < \text{enat } m \implies \exists k. n = \text{enat } k$
 ⟨proof⟩

lemma *iadd-le-enat-iff*:
 $x + y \leq \text{enat } n \iff (\exists y' \ x'. x = \text{enat } x' \wedge y = \text{enat } y' \wedge x' + y' \leq n)$
 ⟨proof⟩

lemma *chain-incr*: $\forall i. \exists j. Y \ i < Y \ j \implies \exists j. \text{enat } k < Y \ j$
 ⟨proof⟩

lemma *eSuc-max*: $e\text{Suc } (\text{max } x \ y) = \text{max } (e\text{Suc } x) \ (e\text{Suc } y)$
 ⟨proof⟩

lemma *eSuc-Max*:
assumes *finite A* $A \neq \{\}$
shows $e\text{Suc } (\text{Max } A) = \text{Max } (e\text{Suc } ` A)$
 ⟨proof⟩

instantiation *enat* :: {*order-bot, order-top*}
begin

definition *bot-enat* :: *enat* **where** *bot-enat* = 0

definition *top-enat* :: *enat* **where** *top-enat* = ∞

instance
 ⟨proof⟩

end

lemma *finite-enat-bounded*:
assumes *le-fin*: $\bigwedge y. y \in A \implies y \leq \text{enat } n$
shows *finite A*
 ⟨proof⟩

31.8 Cancellation simprocs

lemma *enat-add-left-cancel*: $a + b = a + c \longleftrightarrow a = (\infty::\text{enat}) \vee b = c$
 ⟨proof⟩

lemma *enat-add-left-cancel-le*: $a + b \leq a + c \longleftrightarrow a = (\infty::\text{enat}) \vee b \leq c$
 ⟨proof⟩

lemma *enat-add-left-cancel-less*: $a + b < a + c \longleftrightarrow a \neq (\infty::\text{enat}) \wedge b < c$
 ⟨proof⟩

⟨ML⟩

TODO: add regression tests for these simprocs

TODO: add simprocs for combining and cancelling numerals

31.9 Well-ordering

lemma *less-enatE*:

$\llbracket n < \text{enat } m; !!k. n = \text{enat } k \implies k < m \implies P \rrbracket \implies P$
 ⟨proof⟩

lemma *less-infinityE*:

$\llbracket n < \infty; !!k. n = \text{enat } k \implies P \rrbracket \implies P$
 ⟨proof⟩

lemma *enat-less-induct*:

assumes *prem*: $!!n. \forall m::\text{enat}. m < n \longrightarrow P m \implies P n$ **shows** $P n$
 ⟨proof⟩

instance *enat* :: *wellorder*

⟨proof⟩

31.10 Complete Lattice

instantiation *enat* :: *complete-lattice*

begin

definition *inf-enat* :: *enat* \Rightarrow *enat* \Rightarrow *enat* **where**

inf-enat = *min*

definition *sup-enat* :: *enat* \Rightarrow *enat* \Rightarrow *enat* **where**

sup-enat = *max*

definition *Inf-enat* :: *enat set* \Rightarrow *enat* **where**

Inf-enat A = (if $A = \{\}$ then ∞ else (LEAST $x. x \in A$))

definition *Sup-enat* :: *enat set* \Rightarrow *enat* **where**

Sup-enat A = (if $A = \{\}$ then 0 else if finite A then *Max* A else ∞)

instance

<proof>
end

instance *enat* :: *complete-linorder* *<proof>*

lemma *eSuc-Sup*: $A \neq \{\}$ \implies $eSuc (Sup A) = Sup (eSuc ` A)$
<proof>

lemma *sup-continuous-eSuc*: *sup-continuous* $f \implies$ *sup-continuous* $(\lambda x. eSuc (f x))$
<proof>

31.11 Traditional theorem names

lemmas *enat-defs* = *zero-enat-def one-enat-def eSuc-def plus-enat-def less-eq-enat-def less-enat-def*

lemma *iadd-is-0*: $(m + n = (0::enat)) = (m = 0 \wedge n = 0)$
<proof>

lemma *i0-lb* : $(0::enat) \leq n$
<proof>

lemma *ile0-eq*: $n \leq (0::enat) \longleftrightarrow n = 0$
<proof>

lemma *not-iless0*: $\neg n < (0::enat)$
<proof>

lemma *i0-less[simp]*: $(0::enat) < n \longleftrightarrow n \neq 0$
<proof>

lemma *imult-is-0*: $((m::enat) * n = 0) = (m = 0 \vee n = 0)$
<proof>

end

32 Liminf and Limsup on conditionally complete lattices

theory *Liminf-Limsup*
imports *Complex-Main*
begin

lemma (*in conditionally-complete-linorder*) *le-cSup-iff*:
assumes $A \neq \{\}$ *bdd-above* A
shows $x \leq Sup A \longleftrightarrow (\forall y < x. \exists a \in A. y < a)$
<proof>

lemma (in *conditionally-complete-linorder*) *le-cSUP-iff*:

$A \neq \{\}$ \implies *bdd-above* ($f'A$) $\implies x \leq \text{SUPREMUM } A f \iff (\forall y < x. \exists i \in A. y < f i)$
 ⟨*proof*⟩

lemma *le-cSup-iff-less*:

fixes $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$
shows $A \neq \{\}$ \implies *bdd-above* ($f'A$) $\implies x \leq (\text{SUP } i:A. f i) \iff (\forall y < x. \exists i \in A. y \leq f i)$
 ⟨*proof*⟩

lemma *le-Sup-iff-less*:

fixes $x :: 'a :: \{\text{complete-linorder, dense-linorder}\}$
shows $x \leq (\text{SUP } i:A. f i) \iff (\forall y < x. \exists i \in A. y \leq f i)$ (is ?lhs = ?rhs)
 ⟨*proof*⟩

lemma (in *conditionally-complete-linorder*) *cInf-le-iff*:

assumes $A \neq \{\}$ *bdd-below* A
shows $\text{Inf } A \leq x \iff (\forall y > x. \exists a \in A. y > a)$
 ⟨*proof*⟩

lemma (in *conditionally-complete-linorder*) *cINF-le-iff*:

$A \neq \{\}$ \implies *bdd-below* ($f'A$) $\implies \text{INFIMUM } A f \leq x \iff (\forall y > x. \exists i \in A. y > f i)$
 ⟨*proof*⟩

lemma *cInf-le-iff-less*:

fixes $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$
shows $A \neq \{\}$ \implies *bdd-below* ($f'A$) $\implies (\text{INF } i:A. f i) \leq x \iff (\forall y > x. \exists i \in A. f i \leq y)$
 ⟨*proof*⟩

lemma *Inf-le-iff-less*:

fixes $x :: 'a :: \{\text{complete-linorder, dense-linorder}\}$
shows $(\text{INF } i:A. f i) \leq x \iff (\forall y > x. \exists i \in A. f i \leq y)$
 ⟨*proof*⟩

lemma *SUP-pair*:

fixes $f :: - \Rightarrow - \Rightarrow - :: \text{complete-lattice}$
shows $(\text{SUP } i : A. \text{SUP } j : B. f i j) = (\text{SUP } p : A \times B. f (\text{fst } p) (\text{snd } p))$
 ⟨*proof*⟩

lemma *INF-pair*:

fixes $f :: - \Rightarrow - \Rightarrow - :: \text{complete-lattice}$
shows $(\text{INF } i : A. \text{INF } j : B. f i j) = (\text{INF } p : A \times B. f (\text{fst } p) (\text{snd } p))$
 ⟨*proof*⟩

32.0.1 *Liminf and Limsup*

definition *Liminf* :: 'a filter \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b :: complete-lattice **where**
Liminf F f = (SUP P:{P. eventually P F}. INF x:{x. P x}. f x)

definition *Limsup* :: 'a filter \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b :: complete-lattice **where**
Limsup F f = (INF P:{P. eventually P F}. SUP x:{x. P x}. f x)

abbreviation *liminf* \equiv *Liminf* sequentially

abbreviation *limsup* \equiv *Limsup* sequentially

lemma *Liminf-eqI*:

($\bigwedge P. \text{eventually } P F \implies \text{INFIMUM } (\text{Collect } P) f \leq x$) \implies
 ($\bigwedge y. (\bigwedge P. \text{eventually } P F \implies \text{INFIMUM } (\text{Collect } P) f \leq y) \implies x \leq y$) \implies
Liminf F f = x
 <proof>

lemma *Limsup-eqI*:

($\bigwedge P. \text{eventually } P F \implies x \leq \text{SUPRENUM } (\text{Collect } P) f$) \implies
 ($\bigwedge y. (\bigwedge P. \text{eventually } P F \implies y \leq \text{SUPRENUM } (\text{Collect } P) f) \implies y \leq x$) \implies
 \implies *Limsup* F f = x
 <proof>

lemma *liminf-SUP-INF*: *liminf* f = (SUP n. INF m:{n..}. f m)
 <proof>

lemma *limsup-INF-SUP*: *limsup* f = (INF n. SUP m:{n..}. f m)
 <proof>

lemma *Limsup-const*:

assumes *ntriv*: \neg *trivial-limit* F
shows *Limsup* F ($\lambda x. c$) = c
 <proof>

lemma *Liminf-const*:

assumes *ntriv*: \neg *trivial-limit* F
shows *Liminf* F ($\lambda x. c$) = c
 <proof>

lemma *Liminf-mono*:

assumes *ev*: *eventually* ($\lambda x. f x \leq g x$) F
shows *Liminf* F f \leq *Liminf* F g
 <proof>

lemma *Liminf-eq*:

assumes *eventually* ($\lambda x. f x = g x$) F
shows *Liminf* F f = *Liminf* F g
 <proof>

lemma *Limsup-mono*:

assumes *ev*: eventually $(\lambda x. f x \leq g x)$ *F*
shows $Limsup F f \leq Limsup F g$
 ⟨*proof*⟩

lemma *Limsup-eq*:

assumes eventually $(\lambda x. f x = g x)$ *net*
shows $Limsup net f = Limsup net g$
 ⟨*proof*⟩

lemma *Liminf-le-Limsup*:

assumes *ntriv*: \neg trivial-limit *F*
shows $Liminf F f \leq Limsup F f$
 ⟨*proof*⟩

lemma *Liminf-bounded*:

assumes *ntriv*: \neg trivial-limit *F*
assumes *le*: eventually $(\lambda n. C \leq X n)$ *F*
shows $C \leq Liminf F X$
 ⟨*proof*⟩

lemma *Limsup-bounded*:

assumes *ntriv*: \neg trivial-limit *F*
assumes *le*: eventually $(\lambda n. X n \leq C)$ *F*
shows $Limsup F X \leq C$
 ⟨*proof*⟩

lemma *le-Limsup*:

assumes *F*: $F \neq bot$ **and** *x*: $\forall_F x$ in *F*. $l \leq f x$
shows $l \leq Limsup F f$
 ⟨*proof*⟩

lemma *le-Liminf-iff*:

fixes *X* :: $- \Rightarrow -$:: complete-linorder
shows $C \leq Liminf F X \iff (\forall y < C. eventually (\lambda x. y < X x) F)$
 ⟨*proof*⟩

lemma *Limsup-le-iff*:

fixes *X* :: $- \Rightarrow -$:: complete-linorder
shows $C \geq Limsup F X \iff (\forall y > C. eventually (\lambda x. y > X x) F)$
 ⟨*proof*⟩

lemma *less-LiminfD*:

$y < Liminf F (f :: - \Rightarrow 'a :: complete-linorder) \implies eventually (\lambda x. f x > y) F$
 ⟨*proof*⟩

lemma *Limsup-lessD*:

$y > Limsup F (f :: - \Rightarrow 'a :: complete-linorder) \implies eventually (\lambda x. f x < y) F$
 ⟨*proof*⟩

lemma *lim-imp-Liminf*:

fixes $f :: 'a \Rightarrow - :: \{\text{complete-linorder}, \text{linorder-topology}\}$
assumes $\text{ntriv}: \neg \text{trivial-limit } F$
assumes $\text{lim}: (f \longrightarrow f0) F$
shows $\text{Liminf } F f = f0$
 $\langle \text{proof} \rangle$

lemma *lim-imp-Limsup*:

fixes $f :: 'a \Rightarrow - :: \{\text{complete-linorder}, \text{linorder-topology}\}$
assumes $\text{ntriv}: \neg \text{trivial-limit } F$
assumes $\text{lim}: (f \longrightarrow f0) F$
shows $\text{Limsup } F f = f0$
 $\langle \text{proof} \rangle$

lemma *Liminf-eq-Limsup*:

fixes $f0 :: 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$
assumes $\text{ntriv}: \neg \text{trivial-limit } F$
and $\text{lim}: \text{Liminf } F f = f0 \text{ Limsup } F f = f0$
shows $(f \longrightarrow f0) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-iff-Liminf-eq-Limsup*:

fixes $f0 :: 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$
shows $\neg \text{trivial-limit } F \Longrightarrow (f \longrightarrow f0) F \longleftrightarrow (\text{Liminf } F f = f0 \wedge \text{Limsup } F f = f0)$
 $\langle \text{proof} \rangle$

lemma *liminf-subseq-mono*:

fixes $X :: \text{nat} \Rightarrow 'a :: \text{complete-linorder}$
assumes $\text{subseq } r$
shows $\text{liminf } X \leq \text{liminf } (X \circ r)$
 $\langle \text{proof} \rangle$

lemma *limsup-subseq-mono*:

fixes $X :: \text{nat} \Rightarrow 'a :: \text{complete-linorder}$
assumes $\text{subseq } r$
shows $\text{limsup } (X \circ r) \leq \text{limsup } X$
 $\langle \text{proof} \rangle$

lemma *continuous-on-imp-continuous-within*:

$\text{continuous-on } s f \Longrightarrow t \subseteq s \Longrightarrow x \in s \Longrightarrow \text{continuous (at } x \text{ within } t) f$
 $\langle \text{proof} \rangle$

lemma *Liminf-compose-continuous-mono*:

fixes $f :: 'a :: \{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b :: \{\text{complete-linorder}, \text{linorder-topology}\}$
assumes $c: \text{continuous-on UNIV } f$ **and** $\text{am}: \text{mono } f$ **and** $F: F \neq \text{bot}$
shows $\text{Liminf } F (\lambda n. f (g n)) = f (\text{Liminf } F g)$

<proof>

lemma *Limsup-compose-continuous-mono:*

fixes $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder}, \text{linorder-topology}\}$

assumes $c: \text{continuous-on UNIV } f$ **and** $am: \text{mono } f$ **and** $F: F \neq \text{bot}$

shows $\text{Limsup } F (\lambda n. f (g n)) = f (\text{Limsup } F g)$

<proof>

lemma *Liminf-compose-continuous-antimono:*

fixes $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder}, \text{linorder-topology}\}$

assumes $c: \text{continuous-on UNIV } f$

and $am: \text{antimono } f$

and $F: F \neq \text{bot}$

shows $\text{Liminf } F (\lambda n. f (g n)) = f (\text{Limsup } F g)$

<proof>

lemma *Limsup-compose-continuous-antimono:*

fixes $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder}, \text{linorder-topology}\}$

assumes $c: \text{continuous-on UNIV } f$ **and** $am: \text{antimono } f$ **and** $F: F \neq \text{bot}$

shows $\text{Limsup } F (\lambda n. f (g n)) = f (\text{Liminf } F g)$

<proof>

32.1 More Limits

lemma *convergent-limsup-cl:*

fixes $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

shows $\text{convergent } X \Longrightarrow \text{limsup } X = \text{lim } X$

<proof>

lemma *convergent-liminf-cl:*

fixes $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

shows $\text{convergent } X \Longrightarrow \text{liminf } X = \text{lim } X$

<proof>

lemma *lim-increasing-cl:*

assumes $\bigwedge n m. n \geq m \Longrightarrow f n \geq f m$

obtains l **where** $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$

<proof>

lemma *lim-decreasing-cl:*

assumes $\bigwedge n m. n \geq m \Longrightarrow f n \leq f m$

obtains l **where** $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$

<proof>

lemma *compact-complete-linorder:*

fixes $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

shows $\exists l r. \text{subseq } r \wedge (X \circ r) \longrightarrow l$

⟨proof⟩

lemma *tendsto-Limsup*:

fixes $f :: - \Rightarrow 'a :: \{complete-linorder, linorder-topology\}$

shows $F \neq bot \implies Limsup F f = Liminf F f \implies (f \longrightarrow Limsup F f) F$

⟨proof⟩

lemma *tendsto-Liminf*:

fixes $f :: - \Rightarrow 'a :: \{complete-linorder, linorder-topology\}$

shows $F \neq bot \implies Limsup F f = Liminf F f \implies (f \longrightarrow Liminf F f) F$

⟨proof⟩

end

33 Extended real number line

theory *Extended-Real*

imports *Complex-Main Extended-Nat Liminf-Limsup*

begin

This should be part of *Extended-Nat* or *Order-Continuity*, but then the AFP-entry *Jinja-Thread* fails, as it does overload certain named from *Complex-Main*.

lemma *incseq-setsumI2*:

fixes $f :: 'i \Rightarrow nat \Rightarrow 'a::ordered-comm-monoid-add$

shows $(\bigwedge n. n \in A \implies mono (f n)) \implies mono (\lambda i. \sum_{n \in A} f n i)$

⟨proof⟩

lemma *incseq-setsumI*:

fixes $f :: nat \Rightarrow 'a::ordered-comm-monoid-add$

assumes $\bigwedge i. 0 \leq f i$

shows $incseq (\lambda i. setsum f \{..< i\})$

⟨proof⟩

lemma *continuous-at-left-imp-sup-continuous*:

fixes $f :: 'a::\{complete-linorder, linorder-topology\} \Rightarrow 'b::\{complete-linorder, linorder-topology\}$

assumes $mono f \wedge x. continuous (at-left x) f$

shows $sup-continuous f$

⟨proof⟩

lemma *sup-continuous-at-left*:

fixes $f :: 'a::\{complete-linorder, linorder-topology, first-countable-topology\} \Rightarrow$

$'b::\{complete-linorder, linorder-topology\}$

assumes $f: sup-continuous f$

shows $continuous (at-left x) f$

⟨proof⟩

lemma *sup-continuous-iff-at-left*:

fixes $f :: 'a::\{complete-linorder, linorder-topology, first-countable-topology\} \Rightarrow$
 $'b::\{complete-linorder, linorder-topology\}$
shows $sup\text{-}continuous\ f \longleftrightarrow (\forall x. continuous\ (at\text{-}left\ x)\ f) \wedge mono\ f$
 $\langle proof \rangle$

lemma *continuous-at-right-imp-inf-continuous*:

fixes $f :: 'a::\{complete-linorder, linorder-topology\} \Rightarrow 'b::\{complete-linorder,$
 $linorder-topology\}$
assumes $mono\ f \wedge x. continuous\ (at\text{-}right\ x)\ f$
shows $inf\text{-}continuous\ f$
 $\langle proof \rangle$

lemma *inf-continuous-at-right*:

fixes $f :: 'a::\{complete-linorder, linorder-topology, first-countable-topology\} \Rightarrow$
 $'b::\{complete-linorder, linorder-topology\}$
assumes $f: inf\text{-}continuous\ f$
shows $continuous\ (at\text{-}right\ x)\ f$
 $\langle proof \rangle$

lemma *inf-continuous-iff-at-right*:

fixes $f :: 'a::\{complete-linorder, linorder-topology, first-countable-topology\} \Rightarrow$
 $'b::\{complete-linorder, linorder-topology\}$
shows $inf\text{-}continuous\ f \longleftrightarrow (\forall x. continuous\ (at\text{-}right\ x)\ f) \wedge mono\ f$
 $\langle proof \rangle$

instantiation $enat :: linorder-topology$

begin

definition $open\text{-}enat :: enat\ set \Rightarrow bool$ **where**

$open\text{-}enat = generate\text{-}topology\ (range\ lessThan \cup range\ greaterThan)$

instance

$\langle proof \rangle$

end

lemma $open\text{-}enat: open\ \{enat\ n\}$

$\langle proof \rangle$

lemma *open-enat-iff*:

fixes $A :: enat\ set$

shows $open\ A \longleftrightarrow (\infty \in A \longrightarrow (\exists n::nat. \{n <..\} \subseteq A))$

$\langle proof \rangle$

lemma $nhds\text{-}enat: nhds\ x = (if\ x = \infty\ then\ INF\ i.\ principal\ \{enat\ i..\} else\ prin-$
 $cipal\ \{x\})$

$\langle proof \rangle$

instance $enat :: topological\text{-}comm\text{-}monoid\text{-}add$

<proof>

For more lemmas about the extended real numbers go to `~/src/HOL/Multivariate_Analysis/Extended_Real_Limits.thy`

33.1 Definition and basic properties

```
datatype ereal = ereal real | PInfty | MInfty
```

```
instantiation ereal :: uminus
begin
```

```
fun uminus-ereal where
  - (ereal r) = ereal (- r)
| - PInfty = MInfty
| - MInfty = PInfty
```

```
instance <proof>
```

```
end
```

```
instantiation ereal :: infinity
begin
```

```
definition ( $\infty::ereal$ ) = PInfty
instance <proof>
```

```
end
```

```
declare [[coercion ereal :: real  $\Rightarrow$  ereal]]
```

```
lemma ereal-uminus-uminus[simp]:
  fixes a :: ereal
  shows - (- a) = a
  <proof>
```

```
lemma
  shows PInfty-eq-infinity[simp]: PInfty =  $\infty$ 
  and MInfty-eq-minfinity[simp]: MInfty = -  $\infty$ 
  and MInfty-neq-PInfty[simp]:  $\infty \neq - (\infty::ereal) - \infty \neq (\infty::ereal)$ 
  and MInfty-neq-ereal[simp]: ereal r  $\neq - \infty - \infty \neq$  ereal r
  and PInfty-neq-ereal[simp]: ereal r  $\neq \infty \infty \neq$  ereal r
  and PInfty-cases[simp]: (case  $\infty$  of ereal r  $\Rightarrow$  f r | PInfty  $\Rightarrow$  y | MInfty  $\Rightarrow$  z)
= y
  and MInfty-cases[simp]: (case -  $\infty$  of ereal r  $\Rightarrow$  f r | PInfty  $\Rightarrow$  y | MInfty  $\Rightarrow$ 
z) = z
  <proof>
```

```
declare
```


PInfty-eq-infinity[code-post]
MInfty-eq-minfinity[code-post]

lemma [code-unfold]:
 $\infty = PInfty$
 $- PInfty = MInfty$
 ⟨proof⟩

lemma *inj-ereal*[simp]: *inj-on ereal A*
 ⟨proof⟩

lemma *ereal-cases*[cases type: ereal]:
obtains (real) *r* **where** $x = ereal\ r$
 $| (PInf)\ x = \infty$
 $| (MInf)\ x = -\infty$
 ⟨proof⟩

lemmas *ereal2-cases* = *ereal-cases*[case-product *ereal-cases*]
lemmas *ereal3-cases* = *ereal2-cases*[case-product *ereal-cases*]

lemma *ereal-all-split*: $\bigwedge P. (\forall x::ereal. P\ x) \longleftrightarrow P\ \infty \wedge (\forall x. P\ (ereal\ x)) \wedge P\ (-\infty)$
 ⟨proof⟩

lemma *ereal-ex-split*: $\bigwedge P. (\exists x::ereal. P\ x) \longleftrightarrow P\ \infty \vee (\exists x. P\ (ereal\ x)) \vee P\ (-\infty)$
 ⟨proof⟩

lemma *ereal-uminus-eq-iff*[simp]:
fixes $a\ b :: ereal$
shows $-a = -b \longleftrightarrow a = b$
 ⟨proof⟩

function *real-of-ereal* :: *ereal* \Rightarrow *real* **where**
 $real-of-ereal\ (ereal\ r) = r$
 $| real-of-ereal\ \infty = 0$
 $| real-of-ereal\ (-\infty) = 0$
 ⟨proof⟩

termination ⟨proof⟩

lemma *real-of-ereal*[simp]:
 $real-of-ereal\ (-x :: ereal) = - (real-of-ereal\ x)$
 ⟨proof⟩

lemma *range-ereal*[simp]: $range\ ereal = UNIV - \{\infty, -\infty\}$
 ⟨proof⟩

lemma *ereal-range-uminus*[simp]: $range\ uminus = (UNIV::ereal\ set)$
 ⟨proof⟩

```

instantiation ereal :: abs
begin

function abs-ereal where
  | ereal r | = ereal |r|
  | |-∞ | = (∞::ereal)
  | |∞ | = (∞::ereal)
  <proof>
termination <proof>

instance <proof>

end

lemma abs-eq-infinity-cases[elim!]:
  fixes x :: ereal
  assumes |x| = ∞
  obtains x = ∞ | x = -∞
  <proof>

lemma abs-neq-infinity-cases[elim!]:
  fixes x :: ereal
  assumes |x| ≠ ∞
  obtains r where x = ereal r
  <proof>

lemma abs-ereal-uminus[simp]:
  fixes x :: ereal
  shows |- x | = |x|
  <proof>

lemma ereal-infinity-cases:
  fixes a :: ereal
  shows a ≠ ∞ ⇒ a ≠ -∞ ⇒ |a| ≠ ∞
  <proof>

33.1.1 Addition

instantiation ereal :: {one,comm-monoid-add,zero-neq-one}
begin

definition 0 = ereal 0
definition 1 = ereal 1

function plus-ereal where
  ereal r + ereal p = ereal (r + p)
  | ∞ + a = (∞::ereal)
  | a + ∞ = (∞::ereal)

```

$| \text{ereal } r + -\infty = -\infty$
 $| -\infty + \text{ereal } p = -(\infty::\text{ereal})$
 $| -\infty + -\infty = -(\infty::\text{ereal})$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

lemma *Infty-neq-0[simp]*:
 $(\infty::\text{ereal}) \neq 0 \ 0 \neq (\infty::\text{ereal})$
 $-(\infty::\text{ereal}) \neq 0 \ 0 \neq -(\infty::\text{ereal})$
 $\langle \text{proof} \rangle$

lemma *ereal-eq-0[simp]*:
 $\text{ereal } r = 0 \longleftrightarrow r = 0$
 $0 = \text{ereal } r \longleftrightarrow r = 0$
 $\langle \text{proof} \rangle$

lemma *ereal-eq-1[simp]*:
 $\text{ereal } r = 1 \longleftrightarrow r = 1$
 $1 = \text{ereal } r \longleftrightarrow r = 1$
 $\langle \text{proof} \rangle$

instance
 $\langle \text{proof} \rangle$

end

lemma *ereal-0-plus [simp]*: $\text{ereal } 0 + x = x$
and *plus-ereal-0 [simp]*: $x + \text{ereal } 0 = x$
 $\langle \text{proof} \rangle$

instance *ereal :: numeral* $\langle \text{proof} \rangle$

lemma *real-of-ereal-0[simp]*: $\text{real-of-ereal } (0::\text{ereal}) = 0$
 $\langle \text{proof} \rangle$

lemma *abs-ereal-zero[simp]*: $|0| = (0::\text{ereal})$
 $\langle \text{proof} \rangle$

lemma *ereal-uminus-zero[simp]*: $-0 = (0::\text{ereal})$
 $\langle \text{proof} \rangle$

lemma *ereal-uminus-zero-iff[simp]*:
fixes $a :: \text{ereal}$
shows $-a = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *ereal-plus-eq-PIInfty[simp]*:
fixes $a \ b :: \text{ereal}$
shows $a + b = \infty \longleftrightarrow a = \infty \vee b = \infty$

<proof>

lemma *ereal-plus-eq-MInfty[simp]*:

fixes $a\ b :: \text{ereal}$

shows $a + b = -\infty \longleftrightarrow (a = -\infty \vee b = -\infty) \wedge a \neq \infty \wedge b \neq \infty$

<proof>

lemma *ereal-add-cancel-left*:

fixes $a\ b :: \text{ereal}$

assumes $a \neq -\infty$

shows $a + b = a + c \longleftrightarrow a = \infty \vee b = c$

<proof>

lemma *ereal-add-cancel-right*:

fixes $a\ b :: \text{ereal}$

assumes $a \neq -\infty$

shows $b + a = c + a \longleftrightarrow a = \infty \vee b = c$

<proof>

lemma *ereal-real*: $\text{ereal} (\text{real-of-ereal } x) = (\text{if } |x| = \infty \text{ then } 0 \text{ else } x)$

<proof>

lemma *real-of-ereal-add*:

fixes $a\ b :: \text{ereal}$

shows $\text{real-of-ereal} (a + b) =$

$(\text{if } (|a| = \infty) \wedge (|b| = \infty) \vee (|a| \neq \infty) \wedge (|b| \neq \infty) \text{ then } \text{real-of-ereal } a + \text{real-of-ereal } b \text{ else } 0)$

<proof>

33.1.2 Linear order on *ereal*

instantiation $\text{ereal} :: \text{linorder}$

begin

function *less-ereal*

where

$\text{ereal } x < \text{ereal } y \quad \longleftrightarrow x < y$

| $(\infty :: \text{ereal}) < a \quad \longleftrightarrow \text{False}$

| $a < -(\infty :: \text{ereal}) \quad \longleftrightarrow \text{False}$

| $\text{ereal } x < \infty \quad \longleftrightarrow \text{True}$

| $-\infty < \text{ereal } r \quad \longleftrightarrow \text{True}$

| $-\infty < (\infty :: \text{ereal}) \quad \longleftrightarrow \text{True}$

<proof>

termination *<proof>*

definition $x \leq (y :: \text{ereal}) \longleftrightarrow x < y \vee x = y$

lemma *ereal-infty-less[simp]*:

fixes $x :: \text{ereal}$

shows $x < \infty \longleftrightarrow (x \neq \infty)$
 $-\infty < x \longleftrightarrow (x \neq -\infty)$
 ⟨proof⟩

lemma *ereal-infity-less-eq[simp]*:
fixes $x :: \text{ereal}$
shows $\infty \leq x \longleftrightarrow x = \infty$
and $x \leq -\infty \longleftrightarrow x = -\infty$
 ⟨proof⟩

lemma *ereal-less[simp]*:
 $\text{ereal } r < 0 \longleftrightarrow (r < 0)$
 $0 < \text{ereal } r \longleftrightarrow (0 < r)$
 $\text{ereal } r < 1 \longleftrightarrow (r < 1)$
 $1 < \text{ereal } r \longleftrightarrow (1 < r)$
 $0 < (\infty :: \text{ereal})$
 $-(\infty :: \text{ereal}) < 0$
 ⟨proof⟩

lemma *ereal-less-eq[simp]*:
 $x \leq (\infty :: \text{ereal})$
 $-(\infty :: \text{ereal}) \leq x$
 $\text{ereal } r \leq \text{ereal } p \longleftrightarrow r \leq p$
 $\text{ereal } r \leq 0 \longleftrightarrow r \leq 0$
 $0 \leq \text{ereal } r \longleftrightarrow 0 \leq r$
 $\text{ereal } r \leq 1 \longleftrightarrow r \leq 1$
 $1 \leq \text{ereal } r \longleftrightarrow 1 \leq r$
 ⟨proof⟩

lemma *ereal-infity-less-eq2*:
 $a \leq b \implies a = \infty \implies b = (\infty :: \text{ereal})$
 $a \leq b \implies b = -\infty \implies a = -(\infty :: \text{ereal})$
 ⟨proof⟩

instance
 ⟨proof⟩

end

lemma *ereal-dense2*: $x < y \implies \exists z. x < \text{ereal } z \wedge \text{ereal } z < y$
 ⟨proof⟩

instance *ereal :: dense-linorder*
 ⟨proof⟩

instance *ereal :: ordered-comm-monoid-add*
 ⟨proof⟩

lemma *ereal-one-not-less-zero-ereal[simp]*: $\neg 1 < (0 :: \text{ereal})$

<proof>

lemma *real-of-ereal-positive-mono*:

fixes $x\ y :: \text{ereal}$

shows $0 \leq x \implies x \leq y \implies y \neq \infty \implies \text{real-of-ereal } x \leq \text{real-of-ereal } y$

<proof>

lemma *ereal-MInfty-lessI*[*intro, simp*]:

fixes $a :: \text{ereal}$

shows $a \neq -\infty \implies -\infty < a$

<proof>

lemma *ereal-less-PInfty*[*intro, simp*]:

fixes $a :: \text{ereal}$

shows $a \neq \infty \implies a < \infty$

<proof>

lemma *ereal-less-ereal-Ex*:

fixes $a\ b :: \text{ereal}$

shows $x < \text{ereal } r \longleftrightarrow x = -\infty \vee (\exists p. p < r \wedge x = \text{ereal } p)$

<proof>

lemma *less-PInf-Ex-of-nat*: $x \neq \infty \longleftrightarrow (\exists n::\text{nat}. x < \text{ereal } (\text{real } n))$

<proof>

lemma *ereal-add-mono*:

fixes $a\ b\ c\ d :: \text{ereal}$

assumes $a \leq b$

and $c \leq d$

shows $a + c \leq b + d$

<proof>

lemma *ereal-minus-le-minus*[*simp*]:

fixes $a\ b :: \text{ereal}$

shows $-a \leq -b \longleftrightarrow b \leq a$

<proof>

lemma *ereal-minus-less-minus*[*simp*]:

fixes $a\ b :: \text{ereal}$

shows $-a < -b \longleftrightarrow b < a$

<proof>

lemma *ereal-le-real-iff*:

$x \leq \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x \leq y) \wedge (|y| = \infty \longrightarrow x \leq 0)$

<proof>

lemma *real-le-ereal-iff*:

$\text{real-of-ereal } y \leq x \longleftrightarrow (|y| \neq \infty \longrightarrow y \leq \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 \leq x)$

<proof>

lemma *ereal-less-real-iff*:

$x < \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x < y) \wedge (|y| = \infty \longrightarrow x < 0)$
 ⟨proof⟩

lemma *real-less-ereal-iff*:

$\text{real-of-ereal } y < x \longleftrightarrow (|y| \neq \infty \longrightarrow y < \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 < x)$
 ⟨proof⟩

lemma *real-of-ereal-pos*:

fixes $x :: \text{ereal}$
shows $0 \leq x \implies 0 \leq \text{real-of-ereal } x$ ⟨proof⟩

lemmas *real-of-ereal-ord-simps* =

ereal-le-real-iff *real-le-ereal-iff* *ereal-less-real-iff* *real-less-ereal-iff*

lemma *abs-ereal-ge0[simp]*: $0 \leq x \implies |x :: \text{ereal}| = x$

⟨proof⟩

lemma *abs-ereal-less0[simp]*: $x < 0 \implies |x :: \text{ereal}| = -x$

⟨proof⟩

lemma *abs-ereal-pos[simp]*: $0 \leq |x :: \text{ereal}|$

⟨proof⟩

lemma *ereal-abs-leI*:

fixes $x y :: \text{ereal}$
shows $\llbracket x \leq y; -x \leq y \rrbracket \implies |x| \leq y$

⟨proof⟩

lemma *real-of-ereal-le-0[simp]*: $\text{real-of-ereal } (x :: \text{ereal}) \leq 0 \longleftrightarrow x \leq 0 \vee x = \infty$

⟨proof⟩

lemma *abs-real-of-ereal[simp]*: $|\text{real-of-ereal } (x :: \text{ereal})| = \text{real-of-ereal } |x|$

⟨proof⟩

lemma *zero-less-real-of-ereal*:

fixes $x :: \text{ereal}$
shows $0 < \text{real-of-ereal } x \longleftrightarrow 0 < x \wedge x \neq \infty$

⟨proof⟩

lemma *ereal-0-le-uminus-iff[simp]*:

fixes $a :: \text{ereal}$
shows $0 \leq -a \longleftrightarrow a \leq 0$

⟨proof⟩

lemma *ereal-uminus-le-0-iff[simp]*:

fixes $a :: \text{ereal}$
shows $-a \leq 0 \longleftrightarrow 0 \leq a$

<proof>

lemma *ereal-add-strict-mono*:

fixes $a\ b\ c\ d :: \text{ereal}$

assumes $a \leq b$

and $0 \leq a$

and $a \neq \infty$

and $c < d$

shows $a + c < b + d$

<proof>

lemma *ereal-less-add*:

fixes $a\ b\ c :: \text{ereal}$

shows $|a| \neq \infty \implies c < b \implies a + c < a + b$

<proof>

lemma *ereal-add-nonneg-eq-0-iff*:

fixes $a\ b :: \text{ereal}$

shows $0 \leq a \implies 0 \leq b \implies a + b = 0 \longleftrightarrow a = 0 \wedge b = 0$

<proof>

lemma *ereal-uminus-eq-reorder*: $-a = b \longleftrightarrow a = (-b::\text{ereal})$

<proof>

lemma *ereal-uminus-less-reorder*: $-a < b \longleftrightarrow -b < (a::\text{ereal})$

<proof>

lemma *ereal-less-uminus-reorder*: $a < -b \longleftrightarrow b < -(a::\text{ereal})$

<proof>

lemma *ereal-uminus-le-reorder*: $-a \leq b \longleftrightarrow -b \leq (a::\text{ereal})$

<proof>

lemmas *ereal-uminus-reorder =*

ereal-uminus-eq-reorder ereal-uminus-less-reorder ereal-uminus-le-reorder

lemma *ereal-bot*:

fixes $x :: \text{ereal}$

assumes $\bigwedge B. x \leq \text{ereal } B$

shows $x = -\infty$

<proof>

lemma *ereal-top*:

fixes $x :: \text{ereal}$

assumes $\bigwedge B. x \geq \text{ereal } B$

shows $x = \infty$

<proof>

lemma

shows *ereal-max[simp]*: $\text{ereal} (\max x y) = \max (\text{ereal } x) (\text{ereal } y)$
and *ereal-min[simp]*: $\text{ereal} (\min x y) = \min (\text{ereal } x) (\text{ereal } y)$
 ⟨*proof*⟩

lemma *ereal-max-0*: $\max 0 (\text{ereal } r) = \text{ereal} (\max 0 r)$
 ⟨*proof*⟩

lemma

fixes $f :: \text{nat} \Rightarrow \text{ereal}$

shows *ereal-incseq-uminus[simp]*: $\text{incseq} (\lambda x. - f x) \longleftrightarrow \text{decseq } f$
and *ereal-decseq-uminus[simp]*: $\text{decseq} (\lambda x. - f x) \longleftrightarrow \text{incseq } f$
 ⟨*proof*⟩

lemma *incseq-ereal*: $\text{incseq } f \Longrightarrow \text{incseq} (\lambda x. \text{ereal} (f x))$
 ⟨*proof*⟩

lemma *ereal-add-nonneg-nonneg[simp]*:

fixes $a b :: \text{ereal}$

shows $0 \leq a \Longrightarrow 0 \leq b \Longrightarrow 0 \leq a + b$
 ⟨*proof*⟩

lemma *setsum-ereal[simp]*: $(\sum x \in A. \text{ereal} (f x)) = \text{ereal} (\sum x \in A. f x)$
 ⟨*proof*⟩

lemma *setsum-Pinfity*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $(\sum x \in P. f x) = \infty \longleftrightarrow \text{finite } P \wedge (\exists i \in P. f i = \infty)$
 ⟨*proof*⟩

lemma *setsum-Inf*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $|\text{setsum } f A| = \infty \longleftrightarrow \text{finite } A \wedge (\exists i \in A. |f i| = \infty)$
 ⟨*proof*⟩

lemma *setsum-real-of-ereal*:

fixes $f :: 'i \Rightarrow \text{ereal}$

assumes $\bigwedge x. x \in S \Longrightarrow |f x| \neq \infty$

shows $(\sum x \in S. \text{real-of-ereal} (f x)) = \text{real-of-ereal} (\text{setsum } f S)$
 ⟨*proof*⟩

lemma *setsum-ereal-0*:

fixes $f :: 'a \Rightarrow \text{ereal}$

assumes *finite* A

and $\bigwedge i. i \in A \Longrightarrow 0 \leq f i$

shows $(\sum x \in A. f x) = 0 \longleftrightarrow (\forall i \in A. f i = 0)$
 ⟨*proof*⟩

33.1.3 Multiplication

instantiation *ereal* :: {*comm-monoid-mult,sgn*}
begin

function *sgn-ereal* :: *ereal* \Rightarrow *ereal* **where**

sgn (*ereal* *r*) = *ereal* (*sgn* *r*)
 | *sgn* (∞ ::*ereal*) = 1
 | *sgn* ($-\infty$::*ereal*) = -1
 <*proof*>

termination <*proof*>

function *times-ereal* **where**

ereal *r* * *ereal* *p* = *ereal* (*r* * *p*)
 | *ereal* *r* * ∞ = (if *r* = 0 then 0 else if *r* > 0 then ∞ else $-\infty$)
 | ∞ * *ereal* *r* = (if *r* = 0 then 0 else if *r* > 0 then ∞ else $-\infty$)
 | *ereal* *r* * $-\infty$ = (if *r* = 0 then 0 else if *r* > 0 then $-\infty$ else ∞)
 | $-\infty$ * *ereal* *r* = (if *r* = 0 then 0 else if *r* > 0 then $-\infty$ else ∞)
 | (∞ ::*ereal*) * ∞ = ∞
 | $-\infty$::*ereal*) * ∞ = $-\infty$
 | (∞ ::*ereal*) * $-\infty$ = $-\infty$
 | $-\infty$::*ereal*) * $-\infty$ = ∞
 <*proof*>

termination <*proof*>

instance

<*proof*>

end

lemma [*simp*]:

shows *ereal-1-times*: *ereal* 1 * *x* = *x*
 and *times-ereal-1*: *x* * *ereal* 1 = *x*
 <*proof*>

lemma *one-not-le-zero-ereal*[*simp*]: $\neg (1 \leq (0::\textit{ereal}))$

<*proof*>

lemma *real-ereal-1*[*simp*]: *real-of-ereal* (1::*ereal*) = 1

<*proof*>

lemma *real-of-ereal-le-1*:

fixes *a* :: *ereal*
 shows $a \leq 1 \implies \textit{real-of-ereal}$ *a* ≤ 1
 <*proof*>

lemma *abs-ereal-one*[*simp*]: $|1| = (1::\textit{ereal})$

<*proof*>

lemma *ereal-mult-zero*[*simp*]:

fixes $a :: \text{ereal}$
shows $a * 0 = 0$
 $\langle \text{proof} \rangle$

lemma *ereal-zero-mult*[simp]:
fixes $a :: \text{ereal}$
shows $0 * a = 0$
 $\langle \text{proof} \rangle$

lemma *ereal-m1-less-0*[simp]: $-(1::\text{ereal}) < 0$
 $\langle \text{proof} \rangle$

lemma *ereal-times*[simp]:
 $1 \neq (\infty::\text{ereal})$ $(\infty::\text{ereal}) \neq 1$
 $1 \neq -(\infty::\text{ereal})$ $-(\infty::\text{ereal}) \neq 1$
 $\langle \text{proof} \rangle$

lemma *ereal-plus-1*[simp]:
 $1 + \text{ereal } r = \text{ereal } (r + 1)$
 $\text{ereal } r + 1 = \text{ereal } (r + 1)$
 $1 + -(\infty::\text{ereal}) = -\infty$
 $-(\infty::\text{ereal}) + 1 = -\infty$
 $\langle \text{proof} \rangle$

lemma *ereal-zero-times*[simp]:
fixes $a b :: \text{ereal}$
shows $a * b = 0 \longleftrightarrow a = 0 \vee b = 0$
 $\langle \text{proof} \rangle$

lemma *ereal-mult-eq-PIfty*[simp]:
 $a * b = (\infty::\text{ereal}) \longleftrightarrow$
 $(a = \infty \wedge b > 0) \vee (a > 0 \wedge b = \infty) \vee (a = -\infty \wedge b < 0) \vee (a < 0 \wedge b =$
 $-\infty)$
 $\langle \text{proof} \rangle$

lemma *ereal-mult-eq-MIfty*[simp]:
 $a * b = -(\infty::\text{ereal}) \longleftrightarrow$
 $(a = \infty \wedge b < 0) \vee (a < 0 \wedge b = \infty) \vee (a = -\infty \wedge b > 0) \vee (a > 0 \wedge b =$
 $-\infty)$
 $\langle \text{proof} \rangle$

lemma *ereal-abs-mult*: $|x * y :: \text{ereal}| = |x| * |y|$
 $\langle \text{proof} \rangle$

lemma *ereal-0-less-1*[simp]: $0 < (1::\text{ereal})$
 $\langle \text{proof} \rangle$

lemma *ereal-mult-minus-left*[simp]:
fixes $a b :: \text{ereal}$

shows $-a * b = -(a * b)$
 ⟨proof⟩

lemma *ereal-mult-minus-right*[simp]:

fixes $a b :: \text{ereal}$
shows $a * -b = -(a * b)$
 ⟨proof⟩

lemma *ereal-mult-infty*[simp]:

$a * (\infty :: \text{ereal}) = (\text{if } a = 0 \text{ then } 0 \text{ else if } 0 < a \text{ then } \infty \text{ else } -\infty)$
 ⟨proof⟩

lemma *ereal-infty-mult*[simp]:

$(\infty :: \text{ereal}) * a = (\text{if } a = 0 \text{ then } 0 \text{ else if } 0 < a \text{ then } \infty \text{ else } -\infty)$
 ⟨proof⟩

lemma *ereal-mult-strict-right-mono*:

assumes $a < b$
and $0 < c$
and $c < (\infty :: \text{ereal})$
shows $a * c < b * c$
 ⟨proof⟩

lemma *ereal-mult-strict-left-mono*:

$a < b \implies 0 < c \implies c < (\infty :: \text{ereal}) \implies c * a < c * b$
 ⟨proof⟩

lemma *ereal-mult-right-mono*:

fixes $a b c :: \text{ereal}$
shows $a \leq b \implies 0 \leq c \implies a * c \leq b * c$
 ⟨proof⟩

lemma *ereal-mult-left-mono*:

fixes $a b c :: \text{ereal}$
shows $a \leq b \implies 0 \leq c \implies c * a \leq c * b$
 ⟨proof⟩

lemma *zero-less-one-ereal*[simp]: $0 \leq (1 :: \text{ereal})$

⟨proof⟩

lemma *ereal-0-le-mult*[simp]: $0 \leq a \implies 0 \leq b \implies 0 \leq a * (b :: \text{ereal})$

⟨proof⟩

lemma *ereal-right-distrib*:

fixes $r a b :: \text{ereal}$
shows $0 \leq a \implies 0 \leq b \implies r * (a + b) = r * a + r * b$
 ⟨proof⟩

lemma *ereal-left-distrib*:

fixes $r a b :: \text{ereal}$
shows $0 \leq a \implies 0 \leq b \implies (a + b) * r = a * r + b * r$
 $\langle \text{proof} \rangle$

lemma *ereal-mult-le-0-iff*:
fixes $a b :: \text{ereal}$
shows $a * b \leq 0 \iff (0 \leq a \wedge b \leq 0) \vee (a \leq 0 \wedge 0 \leq b)$
 $\langle \text{proof} \rangle$

lemma *ereal-zero-le-0-iff*:
fixes $a b :: \text{ereal}$
shows $0 \leq a * b \iff (0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0)$
 $\langle \text{proof} \rangle$

lemma *ereal-mult-less-0-iff*:
fixes $a b :: \text{ereal}$
shows $a * b < 0 \iff (0 < a \wedge b < 0) \vee (a < 0 \wedge 0 < b)$
 $\langle \text{proof} \rangle$

lemma *ereal-zero-less-0-iff*:
fixes $a b :: \text{ereal}$
shows $0 < a * b \iff (0 < a \wedge 0 < b) \vee (a < 0 \wedge b < 0)$
 $\langle \text{proof} \rangle$

lemma *ereal-left-mult-cong*:
fixes $a b c :: \text{ereal}$
shows $c = d \implies (d \neq 0 \implies a = b) \implies a * c = b * d$
 $\langle \text{proof} \rangle$

lemma *ereal-right-mult-cong*:
fixes $a b c :: \text{ereal}$
shows $c = d \implies (d \neq 0 \implies a = b) \implies c * a = d * b$
 $\langle \text{proof} \rangle$

lemma *ereal-distrib*:
fixes $a b c :: \text{ereal}$
assumes $a \neq \infty \vee b \neq -\infty$
and $a \neq -\infty \vee b \neq \infty$
and $|c| \neq \infty$
shows $(a + b) * c = a * c + b * c$
 $\langle \text{proof} \rangle$

lemma *numeral-eq-ereal* [*simp*]: *numeral w = ereal (numeral w)*
 $\langle \text{proof} \rangle$

lemma *distrib-left-ereal-nn*:
 $c \geq 0 \implies (x + y) * \text{ereal } c = x * \text{ereal } c + y * \text{ereal } c$
 $\langle \text{proof} \rangle$

lemma *setsum-ereal-right-distrib*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $(\bigwedge i. i \in A \implies 0 \leq f i) \implies r * \text{setsum } f A = (\sum n \in A. r * f n)$
 $\langle \text{proof} \rangle$

lemma *setsum-ereal-left-distrib*:

shows $(\bigwedge i. i \in A \implies 0 \leq f i) \implies \text{setsum } f A * r = (\sum n \in A. f n * r :: \text{ereal})$
 $\langle \text{proof} \rangle$

lemma *setsum-left-distrib-ereal*:

$c \geq 0 \implies \text{setsum } f A * \text{ereal } c = (\sum x \in A. f x * c :: \text{ereal})$
 $\langle \text{proof} \rangle$

lemma *ereal-le-epsilon*:

fixes $x y :: \text{ereal}$

assumes $\forall e. 0 < e \longrightarrow x \leq y + e$

shows $x \leq y$

$\langle \text{proof} \rangle$

lemma *ereal-le-epsilon2*:

fixes $x y :: \text{ereal}$

assumes $\forall e. 0 < e \longrightarrow x \leq y + \text{ereal } e$

shows $x \leq y$

$\langle \text{proof} \rangle$

lemma *ereal-le-real*:

fixes $x y :: \text{ereal}$

assumes $\forall z. x \leq \text{ereal } z \longrightarrow y \leq \text{ereal } z$

shows $y \leq x$

$\langle \text{proof} \rangle$

lemma *setprod-ereal-0*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $(\prod i \in A. f i) = 0 \iff \text{finite } A \wedge (\exists i \in A. f i = 0)$
 $\langle \text{proof} \rangle$

lemma *setprod-ereal-pos*:

fixes $f :: 'a \Rightarrow \text{ereal}$

assumes $\text{pos}: \bigwedge i. i \in I \implies 0 \leq f i$

shows $0 \leq (\prod i \in I. f i)$

$\langle \text{proof} \rangle$

lemma *setprod-PInf*:

fixes $f :: 'a \Rightarrow \text{ereal}$

assumes $\bigwedge i. i \in I \implies 0 \leq f i$

shows $(\prod i \in I. f i) = \infty \iff \text{finite } I \wedge (\exists i \in I. f i = \infty) \wedge (\forall i \in I. f i \neq 0)$
 $\langle \text{proof} \rangle$

lemma *setprod-ereal*: $(\prod i \in A. \text{ereal } (f i)) = \text{ereal } (\text{setprod } f A)$

⟨proof⟩

33.1.4 Power

lemma *ereal-power[simp]*: $(ereal\ x) \wedge n =ereal\ (x \wedge n)$
 ⟨proof⟩

lemma *ereal-power-PIInf[simp]*: $(\infty::ereal) \wedge n = (if\ n = 0\ then\ 1\ else\ \infty)$
 ⟨proof⟩

lemma *ereal-power-uminus[simp]*:
fixes $x ::ereal$
shows $(-x) \wedge n = (if\ even\ n\ then\ x \wedge n\ else\ -(x \wedge n))$
 ⟨proof⟩

lemma *ereal-power-numeral[simp]*:
 $(numeral\ num ::ereal) \wedge n =ereal\ (numeral\ num \wedge n)$
 ⟨proof⟩

lemma *zero-le-power-ereal[simp]*:
fixes $a ::ereal$
assumes $0 \leq a$
shows $0 \leq a \wedge n$
 ⟨proof⟩

33.1.5 Subtraction

lemma *ereal-minus-minus-image[simp]*:
fixes $S ::ereal\ set$
shows $uminus\ `\ uminus\ `\ S = S$
 ⟨proof⟩

lemma *ereal-uminus-lessThan[simp]*:
fixes $a ::ereal$
shows $uminus\ `\ \{..<a\} = \{-a<..\}$
 ⟨proof⟩

lemma *ereal-uminus-greaterThan[simp]*: $uminus\ ` \{(a::ereal)<..\} = \{..<-a\}$
 ⟨proof⟩

instantiation *ereal* :: *minus*
begin

definition $x - y = x + -(y::ereal)$
instance ⟨proof⟩

end

lemma *ereal-minus[simp]*:
 $ereal\ r -ereal\ p =ereal\ (r - p)$

$-\infty - \text{ereal } r = -\infty$
 $\text{ereal } r - \infty = -\infty$
 $(\infty::\text{ereal}) - x = \infty$
 $-(\infty::\text{ereal}) - \infty = -\infty$
 $x - -y = x + y$
 $x - 0 = x$
 $0 - x = -x$
 ⟨proof⟩

lemma *ereal-x-minus-x[simp]*: $x - x = (\text{if } |x| = \infty \text{ then } \infty \text{ else } 0::\text{ereal})$
 ⟨proof⟩

lemma *ereal-eq-minus-iff*:
fixes $x y z :: \text{ereal}$
shows $x = z - y \longleftrightarrow$
 $(|y| \neq \infty \longrightarrow x + y = z) \wedge$
 $(y = -\infty \longrightarrow x = \infty) \wedge$
 $(y = \infty \longrightarrow z = \infty \longrightarrow x = \infty) \wedge$
 $(y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty)$
 ⟨proof⟩

lemma *ereal-eq-minus*:
fixes $x y z :: \text{ereal}$
shows $|y| \neq \infty \implies x = z - y \longleftrightarrow x + y = z$
 ⟨proof⟩

lemma *ereal-less-minus-iff*:
fixes $x y z :: \text{ereal}$
shows $x < z - y \longleftrightarrow$
 $(y = \infty \longrightarrow z = \infty \wedge x \neq \infty) \wedge$
 $(y = -\infty \longrightarrow x \neq \infty) \wedge$
 $(|y| \neq \infty \longrightarrow x + y < z)$
 ⟨proof⟩

lemma *ereal-less-minus*:
fixes $x y z :: \text{ereal}$
shows $|y| \neq \infty \implies x < z - y \longleftrightarrow x + y < z$
 ⟨proof⟩

lemma *ereal-le-minus-iff*:
fixes $x y z :: \text{ereal}$
shows $x \leq z - y \longleftrightarrow (y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty) \wedge (|y| \neq \infty \longrightarrow x + y \leq z)$
 ⟨proof⟩

lemma *ereal-le-minus*:
fixes $x y z :: \text{ereal}$
shows $|y| \neq \infty \implies x \leq z - y \longleftrightarrow x + y \leq z$
 ⟨proof⟩

lemma *ereal-minus-less-iff*:

fixes $x y z :: \text{ereal}$

shows $x - y < z \longleftrightarrow y \neq -\infty \wedge (y = \infty \longrightarrow x \neq \infty \wedge z \neq -\infty) \wedge (y \neq \infty \longrightarrow x < z + y)$

<proof>

lemma *ereal-minus-less*:

fixes $x y z :: \text{ereal}$

shows $|y| \neq \infty \implies x - y < z \longleftrightarrow x < z + y$

<proof>

lemma *ereal-minus-le-iff*:

fixes $x y z :: \text{ereal}$

shows $x - y \leq z \longleftrightarrow$

$(y = -\infty \longrightarrow z = \infty) \wedge$

$(y = \infty \longrightarrow x = \infty \longrightarrow z = \infty) \wedge$

$(|y| \neq \infty \longrightarrow x \leq z + y)$

<proof>

lemma *ereal-minus-le*:

fixes $x y z :: \text{ereal}$

shows $|y| \neq \infty \implies x - y \leq z \longleftrightarrow x \leq z + y$

<proof>

lemma *ereal-minus-eq-minus-iff*:

fixes $a b c :: \text{ereal}$

shows $a - b = a - c \longleftrightarrow$

$b = c \vee a = \infty \vee (a = -\infty \wedge b \neq -\infty \wedge c \neq -\infty)$

<proof>

lemma *ereal-add-le-add-iff*:

fixes $a b c :: \text{ereal}$

shows $c + a \leq c + b \longleftrightarrow$

$a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$

<proof>

lemma *ereal-add-le-add-iff2*:

fixes $a b c :: \text{ereal}$

shows $a + c \leq b + c \longleftrightarrow a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$

<proof>

lemma *ereal-mult-le-mult-iff*:

fixes $a b c :: \text{ereal}$

shows $|c| \neq \infty \implies c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$

<proof>

lemma *ereal-minus-mono*:

fixes $A B C D :: \text{ereal}$ **assumes** $A \leq B \ D \leq C$

shows $A - C \leq B - D$
 ⟨proof⟩

lemma *ereal-mono-minus-cancel*:

fixes $a b c :: \text{ereal}$

shows $c - a \leq c - b \implies 0 \leq c \implies c < \infty \implies b \leq a$
 ⟨proof⟩

lemma *real-of-ereal-minus*:

fixes $a b :: \text{ereal}$

shows *real-of-ereal* $(a - b) = (\text{if } |a| = \infty \vee |b| = \infty \text{ then } 0 \text{ else } \text{real-of-ereal } a - \text{real-of-ereal } b)$
 ⟨proof⟩

lemma *real-of-ereal-minus'*: $|x| = \infty \longleftrightarrow |y| = \infty \implies \text{real-of-ereal } x - \text{real-of-ereal } y = \text{real-of-ereal } (x - y :: \text{ereal})$
 ⟨proof⟩

lemma *ereal-diff-positive*:

fixes $a b :: \text{ereal}$ **shows** $a \leq b \implies 0 \leq b - a$
 ⟨proof⟩

lemma *ereal-between*:

fixes $x e :: \text{ereal}$

assumes $|x| \neq \infty$

and $0 < e$

shows $x - e < x$

and $x < x + e$

⟨proof⟩

lemma *ereal-minus-eq-PIfty-iff*:

fixes $x y :: \text{ereal}$

shows $x - y = \infty \longleftrightarrow y = -\infty \vee x = \infty$
 ⟨proof⟩

lemma *ereal-diff-add-eq-diff-diff-swap*:

fixes $x y z :: \text{ereal}$

shows $|y| \neq \infty \implies x - (y + z) = x - y - z$
 ⟨proof⟩

lemma *ereal-diff-add-assoc2*:

fixes $x y z :: \text{ereal}$

shows $x + y - z = x - z + y$
 ⟨proof⟩

lemma *ereal-add-uminus-conv-diff*: **fixes** $x y z :: \text{ereal}$ **shows** $-x + y = y - x$
 ⟨proof⟩

lemma *ereal-minus-diff-eq*:

fixes $x\ y :: \text{ereal}$
shows $\llbracket x = \infty \longrightarrow y \neq \infty; x = -\infty \longrightarrow y \neq -\infty \rrbracket \Longrightarrow -(x - y) = y - x$
 $\langle \text{proof} \rangle$

lemma *ediff-le-self* [simp]: $x - y \leq (x :: \text{enat})$
 $\langle \text{proof} \rangle$

33.1.6 Division

instantiation $\text{ereal} :: \text{inverse}$
begin

function *inverse-ereal* **where**
 $\text{inverse } (\text{ereal } r) = (\text{if } r = 0 \text{ then } \infty \text{ else } \text{ereal } (\text{inverse } r))$
 $| \text{inverse } (\infty :: \text{ereal}) = 0$
 $| \text{inverse } (-\infty :: \text{ereal}) = 0$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

definition $x \text{ div } y = x * \text{inverse } (y :: \text{ereal})$

instance $\langle \text{proof} \rangle$

end

lemma *real-of-ereal-inverse*[simp]:
fixes $a :: \text{ereal}$
shows $\text{real-of-ereal } (\text{inverse } a) = 1 / \text{real-of-ereal } a$
 $\langle \text{proof} \rangle$

lemma *ereal-inverse*[simp]:
 $\text{inverse } (0 :: \text{ereal}) = \infty$
 $\text{inverse } (1 :: \text{ereal}) = 1$
 $\langle \text{proof} \rangle$

lemma *ereal-divide*[simp]:
 $\text{ereal } r / \text{ereal } p = (\text{if } p = 0 \text{ then } \text{ereal } r * \infty \text{ else } \text{ereal } (r / p))$
 $\langle \text{proof} \rangle$

lemma *ereal-divide-same*[simp]:
fixes $x :: \text{ereal}$
shows $x / x = (\text{if } |x| = \infty \vee x = 0 \text{ then } 0 \text{ else } 1)$
 $\langle \text{proof} \rangle$

lemma *ereal-inv-inv*[simp]:
fixes $x :: \text{ereal}$
shows $\text{inverse } (\text{inverse } x) = (\text{if } x \neq -\infty \text{ then } x \text{ else } \infty)$
 $\langle \text{proof} \rangle$

lemma *ereal-inverse-minus[simp]*:

fixes $x :: \text{ereal}$

shows $\text{inverse } (-x) = (\text{if } x = 0 \text{ then } \infty \text{ else } -\text{inverse } x)$

<proof>

lemma *ereal-uminus-divide[simp]*:

fixes $x y :: \text{ereal}$

shows $-x / y = -(x / y)$

<proof>

lemma *ereal-divide-Infty[simp]*:

fixes $x :: \text{ereal}$

shows $x / \infty = 0 \quad x / -\infty = 0$

<proof>

lemma *ereal-divide-one[simp]*: $x / 1 = (x :: \text{ereal})$

<proof>

lemma *ereal-divide-ereal[simp]*: $\infty / \text{ereal } r = (\text{if } 0 \leq r \text{ then } \infty \text{ else } -\infty)$

<proof>

lemma *ereal-inverse-nonneg-iff*: $0 \leq \text{inverse } (x :: \text{ereal}) \iff 0 \leq x \vee x = -\infty$

<proof>

lemma *inverse-ereal-ge0I*: $0 \leq (x :: \text{ereal}) \implies 0 \leq \text{inverse } x$

<proof>

lemma *zero-le-divide-ereal[simp]*:

fixes $a :: \text{ereal}$

assumes $0 \leq a$

and $0 \leq b$

shows $0 \leq a / b$

<proof>

lemma *ereal-le-divide-pos*:

fixes $x y z :: \text{ereal}$

shows $x > 0 \implies x \neq \infty \implies y \leq z / x \iff x * y \leq z$

<proof>

lemma *ereal-divide-le-pos*:

fixes $x y z :: \text{ereal}$

shows $x > 0 \implies x \neq \infty \implies z / x \leq y \iff z \leq x * y$

<proof>

lemma *ereal-le-divide-neg*:

fixes $x y z :: \text{ereal}$

shows $x < 0 \implies x \neq -\infty \implies y \leq z / x \iff z \leq x * y$

<proof>

lemma *ereal-divide-le-neg*:

fixes $x\ y\ z :: \text{ereal}$

shows $x < 0 \implies x \neq -\infty \implies z / x \leq y \longleftrightarrow x * y \leq z$

<proof>

lemma *ereal-inverse-antimono-strict*:

fixes $x\ y :: \text{ereal}$

shows $0 \leq x \implies x < y \implies \text{inverse } y < \text{inverse } x$

<proof>

lemma *ereal-inverse-antimono*:

fixes $x\ y :: \text{ereal}$

shows $0 \leq x \implies x \leq y \implies \text{inverse } y \leq \text{inverse } x$

<proof>

lemma *inverse-inverse-Pinfy-iff[simp]*:

fixes $x :: \text{ereal}$

shows $\text{inverse } x = \infty \longleftrightarrow x = 0$

<proof>

lemma *ereal-inverse-eq-0*:

fixes $x :: \text{ereal}$

shows $\text{inverse } x = 0 \longleftrightarrow x = \infty \vee x = -\infty$

<proof>

lemma *ereal-0-gt-inverse*:

fixes $x :: \text{ereal}$

shows $0 < \text{inverse } x \longleftrightarrow x \neq \infty \wedge 0 \leq x$

<proof>

lemma *ereal-inverse-le-0-iff*:

fixes $x :: \text{ereal}$

shows $\text{inverse } x \leq 0 \longleftrightarrow x < 0 \vee x = \infty$

<proof>

lemma *ereal-divide-eq-0-iff*: $x / y = 0 \longleftrightarrow x = 0 \vee |y :: \text{ereal}| = \infty$

<proof>

lemma *ereal-mult-less-right*:

fixes $a\ b\ c :: \text{ereal}$

assumes $b * a < c * a$

and $0 < a$

and $a < \infty$

shows $b < c$

<proof>

lemma *ereal-mult-divide*: **fixes** $a\ b :: \text{ereal}$ **shows** $0 < b \implies b < \infty \implies b * (a / b) = a$

<proof>

lemma *ereal-power-divide*:

fixes $x\ y :: \text{ereal}$

shows $y \neq 0 \implies (x / y) ^ n = x ^ n / y ^ n$

$\langle \text{proof} \rangle$

lemma *ereal-le-mult-one-interval*:

fixes $x\ y :: \text{ereal}$

assumes $y: y \neq -\infty$

assumes $z: \bigwedge z. 0 < z \implies z < 1 \implies z * x \leq y$

shows $x \leq y$

$\langle \text{proof} \rangle$

lemma *ereal-divide-right-mono[simp]*:

fixes $x\ y\ z :: \text{ereal}$

assumes $x \leq y$

and $0 < z$

shows $x / z \leq y / z$

$\langle \text{proof} \rangle$

lemma *ereal-divide-left-mono[simp]*:

fixes $x\ y\ z :: \text{ereal}$

assumes $y \leq x$

and $0 < z$

and $0 < x * y$

shows $z / x \leq z / y$

$\langle \text{proof} \rangle$

lemma *ereal-divide-zero-left[simp]*:

fixes $a :: \text{ereal}$

shows $0 / a = 0$

$\langle \text{proof} \rangle$

lemma *ereal-times-divide-eq-left[simp]*:

fixes $a\ b\ c :: \text{ereal}$

shows $b / c * a = b * a / c$

$\langle \text{proof} \rangle$

lemma *ereal-times-divide-eq*: $a * (b / c :: \text{ereal}) = a * b / c$

$\langle \text{proof} \rangle$

lemma *ereal-inverse-real*: $|z| \neq \infty \implies z \neq 0 \implies \text{ereal} (\text{inverse} (\text{real-of-ereal } z))$

$= \text{inverse } z$

$\langle \text{proof} \rangle$

lemma *ereal-inverse-mult*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse} (a * (b :: \text{ereal})) = \text{inverse } a * \text{inverse } b$

$\langle \text{proof} \rangle$

33.2 Complete lattice

instantiation *ereal* :: *lattice*

begin

definition [*simp*]: $\text{sup } x \ y = (\text{max } x \ y :: \text{ereal})$

definition [*simp*]: $\text{inf } x \ y = (\text{min } x \ y :: \text{ereal})$

instance $\langle \text{proof} \rangle$

end

instantiation *ereal* :: *complete-lattice*

begin

definition $\text{bot} = (-\infty :: \text{ereal})$

definition $\text{top} = (\infty :: \text{ereal})$

definition $\text{Sup } S = (\text{SOME } x :: \text{ereal}. (\forall y \in S. y \leq x) \wedge (\forall z. (\forall y \in S. y \leq z) \longrightarrow x \leq z))$

definition $\text{Inf } S = (\text{SOME } x :: \text{ereal}. (\forall y \in S. x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq x))$

lemma *ereal-complete-Sup*:

fixes $S :: \text{ereal set}$

shows $\exists x. (\forall y \in S. y \leq x) \wedge (\forall z. (\forall y \in S. y \leq z) \longrightarrow x \leq z)$

$\langle \text{proof} \rangle$

lemma *ereal-complete-uminus-eq*:

fixes $S :: \text{ereal set}$

shows $(\forall y \in \text{uminus } S. y \leq x) \wedge (\forall z. (\forall y \in \text{uminus } S. y \leq z) \longrightarrow x \leq z)$

$\longleftrightarrow (\forall y \in S. -x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq -x)$

$\langle \text{proof} \rangle$

lemma *ereal-complete-Inf*:

$\exists x. (\forall y \in S :: \text{ereal set}. x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq x)$

$\langle \text{proof} \rangle$

instance

$\langle \text{proof} \rangle$

end

instance *ereal* :: *complete-linorder* $\langle \text{proof} \rangle$

instance *ereal* :: *linear-continuum*

$\langle \text{proof} \rangle$

33.2.1 Topological space

instantiation *ereal* :: *linear-continuum-topology*

begin

definition *open-ereal* :: *ereal set* \Rightarrow *bool* **where**

open-ereal-generated: *open-ereal* = *generate-topology* (*range lessThan* \cup *range greaterThan*)

instance

<proof>

end

lemma *continuous-on-ereal*[*continuous-intros*]:

assumes *f*: *continuous-on s f* **shows** *continuous-on s* ($\lambda x.$ *ereal* (*f x*))
<proof>

lemma *tendsto-ereal*[*tendsto-intros, simp, intro*]: (*f* \longrightarrow *x*) *F* \Longrightarrow (($\lambda x.$ *ereal* (*f x*)) \longrightarrow *ereal x*) *F*

<proof>

lemma *tendsto-uminus-ereal*[*tendsto-intros, simp, intro*]: (*f* \longrightarrow *x*) *F* \Longrightarrow (($\lambda x.$ *- f x::ereal*) \longrightarrow *- x*) *F*

<proof>

lemma *at-infty-ereal-eq-at-top*: *at* ∞ = *filtermap ereal at-top*

<proof>

lemma *ereal-Lim-uminus*: (*f* \longrightarrow *f0*) *net* \longleftrightarrow (($\lambda x.$ *- f x::ereal*) \longrightarrow *- f0*) *net*

<proof>

lemma *ereal-divide-less-iff*: $0 < (c::ereal) \Longrightarrow c < \infty \Longrightarrow a / c < b \longleftrightarrow a < b * c$

<proof>

lemma *ereal-less-divide-iff*: $0 < (c::ereal) \Longrightarrow c < \infty \Longrightarrow a < b / c \longleftrightarrow a * c < b$

<proof>

lemma *tendsto-cmult-ereal*[*tendsto-intros, simp, intro*]:

assumes *c*: $|c| \neq \infty$ **and** *f*: (*f* \longrightarrow *x*) *F* **shows** (($\lambda x.$ *c * f x::ereal*) \longrightarrow *c * x*) *F*

<proof>

lemma *tendsto-cmult-ereal-not-0*[*tendsto-intros, simp, intro*]:

assumes *x* $\neq 0$ **and** *f*: (*f* \longrightarrow *x*) *F* **shows** (($\lambda x.$ *c * f x::ereal*) \longrightarrow *c * x*) *F*

<proof>

lemma *tendsto-cadd-ereal*[*tendsto-intros, simp, intro*]:

assumes $c: y \neq -\infty \ x \neq -\infty$ **and** $f: (f \longrightarrow x) F$ **shows** $((\lambda x. f x + y)::ereal) \longrightarrow x + y) F$
 ⟨proof⟩

lemma *tendsto-add-left-ereal*[*tendsto-intros, simp, intro*]:
assumes $c: |y| \neq \infty$ **and** $f: (f \longrightarrow x) F$ **shows** $((\lambda x. f x + y)::ereal) \longrightarrow x + y) F$
 ⟨proof⟩

lemma *continuous-at-ereal*[*continuous-intros*]: *continuous* $F f \implies$ *continuous* $F (\lambda x. ereal (f x))$
 ⟨proof⟩

lemma *ereal-Sup*:
assumes $*$: $|SUP a:A. ereal a| \neq \infty$
shows $ereal (Sup A) = (SUP a:A. ereal a)$
 ⟨proof⟩

lemma *ereal-SUP*: $|SUP a:A. ereal (f a)| \neq \infty \implies ereal (SUP a:A. f a) = (SUP a:A. ereal (f a))$
 ⟨proof⟩

lemma *ereal-Inf*:
assumes $*$: $|INF a:A. ereal a| \neq \infty$
shows $ereal (Inf A) = (INF a:A. ereal a)$
 ⟨proof⟩

lemma *ereal-Inf'*:
assumes $*$: *bdd-below* $A \ A \neq \{\}$
shows $ereal (Inf A) = (INF a:A. ereal a)$
 ⟨proof⟩

lemma *ereal-INF*: $|INF a:A. ereal (f a)| \neq \infty \implies ereal (INF a:A. f a) = (INF a:A. ereal (f a))$
 ⟨proof⟩

lemma *ereal-Sup-uminus-image-eq*: $Sup (uminus ' S::ereal set) = - Inf S$
 ⟨proof⟩

lemma *ereal-SUP-uminus-eq*:
fixes $f :: 'a \Rightarrow ereal$
shows $(SUP x:S. uminus (f x)) = - (INF x:S. f x)$
 ⟨proof⟩

lemma *ereal-inj-on-uminus*[*intro, simp*]: *inj-on* *uminus* $(A :: ereal set)$
 ⟨proof⟩

lemma *ereal-Inf-uminus-image-eq*: $Inf (uminus ' S::ereal set) = - Sup S$
 ⟨proof⟩

lemma *ereal-INF-uminus-eq*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $(\text{INF } x:S. - f x) = - (\text{SUP } x:S. f x)$

<proof>

lemma *ereal-SUP-uminus*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $(\text{SUP } i : R. - f i) = - (\text{INF } i : R. f i)$

<proof>

lemma *ereal-SUP-not-infty*:

fixes $f :: - \Rightarrow \text{ereal}$

shows $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |\text{SUPRENUM } A f| \neq \infty$

<proof>

lemma *ereal-INF-not-infty*:

fixes $f :: - \Rightarrow \text{ereal}$

shows $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |\text{INFIMUM } A f| \neq \infty$

<proof>

lemma *ereal-image-uminus-shift*:

fixes $X Y :: \text{ereal set}$

shows $\text{uminus } ' X = Y \longleftrightarrow X = \text{uminus } ' Y$

<proof>

lemma *Sup-eq-MInfty*:

fixes $S :: \text{ereal set}$

shows $\text{Sup } S = -\infty \longleftrightarrow S = \{\} \vee S = \{-\infty\}$

<proof>

lemma *Inf-eq-PInfty*:

fixes $S :: \text{ereal set}$

shows $\text{Inf } S = \infty \longleftrightarrow S = \{\} \vee S = \{\infty\}$

<proof>

lemma *Inf-eq-MInfty*:

fixes $S :: \text{ereal set}$

shows $-\infty \in S \implies \text{Inf } S = -\infty$

<proof>

lemma *Sup-eq-PInfty*:

fixes $S :: \text{ereal set}$

shows $\infty \in S \implies \text{Sup } S = \infty$

<proof>

lemma *not-MInfty-nonneg[simp]*: $0 \leq (x::\text{ereal}) \implies x \neq -\infty$

$\langle proof \rangle$

lemma *Sup-ereal-close*:

fixes $e :: \text{ereal}$

assumes $0 < e$

and $S: |Sup S| \neq \infty \ S \neq \{\}$

shows $\exists x \in S. Sup S - e < x$

$\langle proof \rangle$

lemma *Inf-ereal-close*:

fixes $e :: \text{ereal}$

assumes $|Inf X| \neq \infty$

and $0 < e$

shows $\exists x \in X. x < Inf X + e$

$\langle proof \rangle$

lemma *SUP-PInfy*:

$(\bigwedge n :: \text{nat}. \exists i \in A. \text{ereal}(\text{real } n) \leq f i) \implies (SUP i:A. f i :: \text{ereal}) = \infty$

$\langle proof \rangle$

lemma *SUP-nat-Infy*: $(SUP i :: \text{nat}. \text{ereal}(\text{real } i)) = \infty$

$\langle proof \rangle$

lemma *SUP-ereal-add-left*:

assumes $I \neq \{\}$ $c \neq -\infty$

shows $(SUP i:I. f i + c :: \text{ereal}) = (SUP i:I. f i) + c$

$\langle proof \rangle$

lemma *SUP-ereal-add-right*:

fixes $c :: \text{ereal}$

shows $I \neq \{\} \implies c \neq -\infty \implies (SUP i:I. c + f i) = c + (SUP i:I. f i)$

$\langle proof \rangle$

lemma *SUP-ereal-minus-right*:

assumes $I \neq \{\}$ $c \neq -\infty$

shows $(SUP i:I. c - f i :: \text{ereal}) = c - (INF i:I. f i)$

$\langle proof \rangle$

lemma *SUP-ereal-minus-left*:

assumes $I \neq \{\}$ $c \neq \infty$

shows $(SUP i:I. f i - c :: \text{ereal}) = (SUP i:I. f i) - c$

$\langle proof \rangle$

lemma *INF-ereal-minus-right*:

assumes $I \neq \{\}$ **and** $|c| \neq \infty$

shows $(INF i:I. c - f i) = c - (SUP i:I. f i :: \text{ereal})$

$\langle proof \rangle$

lemma *SUP-ereal-le-addI*:

fixes $f :: 'i \Rightarrow \text{ereal}$
assumes $\bigwedge i. f\ i + y \leq z$ **and** $y \neq -\infty$
shows $\text{SUPREMUM UNIV } f + y \leq z$
 $\langle \text{proof} \rangle$

lemma *SUP-combine*:

fixes $f :: 'a::\text{semilattice-sup} \Rightarrow 'a::\text{semilattice-sup} \Rightarrow 'b::\text{complete-lattice}$
assumes $\text{mono}: \bigwedge a\ b\ c\ d. a \leq b \implies c \leq d \implies f\ a\ c \leq f\ b\ d$
shows $(\text{SUP } i:\text{UNIV}. \text{SUP } j:\text{UNIV}. f\ i\ j) = (\text{SUP } i. f\ i\ i)$
 $\langle \text{proof} \rangle$

lemma *SUP-ereal-add*:

fixes $f\ g :: \text{nat} \Rightarrow \text{ereal}$
assumes $\text{inc}: \text{incseq } f\ \text{incseq } g$
and $\text{pos}: \bigwedge i. f\ i \neq -\infty \bigwedge i. g\ i \neq -\infty$
shows $(\text{SUP } i. f\ i + g\ i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$
 $\langle \text{proof} \rangle$

lemma *INF-ereal-add*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\text{decseq } f\ \text{decseq } g$
and $\text{fin}: \bigwedge i. f\ i \neq \infty \bigwedge i. g\ i \neq \infty$
shows $(\text{INF } i. f\ i + g\ i) = \text{INFIMUM UNIV } f + \text{INFIMUM UNIV } g$
 $\langle \text{proof} \rangle$

lemma *SUP-ereal-add-pos*:

fixes $f\ g :: \text{nat} \Rightarrow \text{ereal}$
assumes $\text{inc}: \text{incseq } f\ \text{incseq } g$
and $\text{pos}: \bigwedge i. 0 \leq f\ i \bigwedge i. 0 \leq g\ i$
shows $(\text{SUP } i. f\ i + g\ i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$
 $\langle \text{proof} \rangle$

lemma *SUP-ereal-setsum*:

fixes $f\ g :: 'a \Rightarrow \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge n. n \in A \implies \text{incseq } (f\ n)$
and $\text{pos}: \bigwedge n\ i. n \in A \implies 0 \leq f\ n\ i$
shows $(\text{SUP } i. \sum_{n \in A}. f\ n\ i) = (\sum_{n \in A}. \text{SUPREMUM UNIV } (f\ n))$
 $\langle \text{proof} \rangle$

lemma *SUP-ereal-mult-left*:

fixes $f :: 'a \Rightarrow \text{ereal}$
assumes $I \neq \{\}$
assumes $f: \bigwedge i. i \in I \implies 0 \leq f\ i$ **and** $c: 0 \leq c$
shows $(\text{SUP } i:I. c * f\ i) = c * (\text{SUP } i:I. f\ i)$
 $\langle \text{proof} \rangle$

lemma *countable-approach*:

fixes $x :: \text{ereal}$
assumes $x \neq -\infty$

shows $\exists f. \text{incseq } f \wedge (\forall i::\text{nat}. f\ i < x) \wedge (f \longrightarrow x)$
 ⟨proof⟩

lemma *Sup-countable-SUP*:

assumes $A \neq \{\}$

shows $\exists f::\text{nat} \Rightarrow \text{ereal}. \text{incseq } f \wedge \text{range } f \subseteq A \wedge \text{Sup } A = (\text{SUP } i. f\ i)$
 ⟨proof⟩

lemma *SUP-countable-SUP*:

$A \neq \{\} \implies \exists f::\text{nat} \Rightarrow \text{ereal}. \text{range } f \subseteq g'A \wedge \text{SUPRENUM } A\ g = \text{SUPRENUM } \text{UNIV } f$
 ⟨proof⟩

33.3 Relation to *enat*

definition *ereal-of-enat* $n = (\text{case } n \text{ of } \text{enat } n \Rightarrow \text{ereal } (\text{real } n) \mid \infty \Rightarrow \infty)$

declare $[[\text{coercion } \text{ereal-of-enat} :: \text{enat} \Rightarrow \text{ereal}]]$

declare $[[\text{coercion } (\lambda n. \text{ereal } (\text{real } n)) :: \text{nat} \Rightarrow \text{ereal}]]$

lemma *ereal-of-enat-simps*[simp]:

ereal-of-enat (enat n) = *ereal* n

ereal-of-enat $\infty = \infty$

⟨proof⟩

lemma *ereal-of-enat-le-iff*[simp]: *ereal-of-enat* $m \leq \text{ereal-of-enat } n \longleftrightarrow m \leq n$

⟨proof⟩

lemma *ereal-of-enat-less-iff*[simp]: *ereal-of-enat* $m < \text{ereal-of-enat } n \longleftrightarrow m < n$

⟨proof⟩

lemma *numeral-le-ereal-of-enat-iff*[simp]: *numeral* $m \leq \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m \leq n$

⟨proof⟩

lemma *numeral-less-ereal-of-enat-iff*[simp]: *numeral* $m < \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m < n$

⟨proof⟩

lemma *ereal-of-enat-ge-zero-cancel-iff*[simp]: $0 \leq \text{ereal-of-enat } n \longleftrightarrow 0 \leq n$

⟨proof⟩

lemma *ereal-of-enat-gt-zero-cancel-iff*[simp]: $0 < \text{ereal-of-enat } n \longleftrightarrow 0 < n$

⟨proof⟩

lemma *ereal-of-enat-zero*[simp]: *ereal-of-enat* $0 = 0$

⟨proof⟩

lemma *ereal-of-enat-inf*[simp]: *ereal-of-enat* $n = \infty \longleftrightarrow n = \infty$

<proof>

lemma *ereal-of-enat-add*: $ereal-of-enat (m + n) = ereal-of-enat m + ereal-of-enat n$
<proof>

lemma *ereal-of-enat-sub*:
assumes $n \leq m$
shows $ereal-of-enat (m - n) = ereal-of-enat m - ereal-of-enat n$
<proof>

lemma *ereal-of-enat-mult*:
 $ereal-of-enat (m * n) = ereal-of-enat m * ereal-of-enat n$
<proof>

lemmas *ereal-of-enat-pushin* = *ereal-of-enat-add* *ereal-of-enat-sub* *ereal-of-enat-mult*
lemmas *ereal-of-enat-pushout* = *ereal-of-enat-pushin*[*symmetric*]

lemma *ereal-of-enat-nonneg*: $ereal-of-enat n \geq 0$
<proof>

lemma *ereal-of-enat-Sup*:
assumes $A \neq \{\}$ **shows** $ereal-of-enat (Sup A) = (SUP a : A. ereal-of-enat a)$
<proof>

lemma *ereal-of-enat-SUP*:
 $A \neq \{\} \implies ereal-of-enat (SUP a:A. f a) = (SUP a : A. ereal-of-enat (f a))$
<proof>

33.4 Limits on *ereal*

lemma *open-PInfty*: $open A \implies \infty \in A \implies (\exists x. \{ereal x < ..\} \subseteq A)$
<proof>

lemma *open-MInfty*: $open A \implies -\infty \in A \implies (\exists x. \{.. < ereal x\} \subseteq A)$
<proof>

lemma *open-ereal-vimage*: $open S \implies open (ereal - ' S)$
<proof>

lemma *open-ereal*: $open S \implies open (ereal ' S)$
<proof>

lemma *eventually-finite*:
fixes $x :: ereal$
assumes $|x| \neq \infty (f \longrightarrow x) F$
shows *eventually* $(\lambda x. |f x| \neq \infty) F$
<proof>

lemma *open-ereal-def*:

open $A \longleftrightarrow \text{open } (\text{ereal } - ' A) \wedge (\infty \in A \longrightarrow (\exists x. \{\text{ereal } x <..\} \subseteq A)) \wedge (-\infty \in A \longrightarrow (\exists x. \{..<\text{ereal } x\} \subseteq A))$
 (is *open* $A \longleftrightarrow ?rhs$)
 ⟨*proof*⟩

lemma *open-PInfty2*:

assumes *open* A
and $\infty \in A$
obtains x **where** $\{\text{ereal } x <..\} \subseteq A$
 ⟨*proof*⟩

lemma *open-MInfty2*:

assumes *open* A
and $-\infty \in A$
obtains x **where** $\{..<\text{ereal } x\} \subseteq A$
 ⟨*proof*⟩

lemma *ereal-openE*:

assumes *open* A
obtains $x y$ **where** *open* $(\text{ereal } - ' A)$
and $\infty \in A \implies \{\text{ereal } x <..\} \subseteq A$
and $-\infty \in A \implies \{..<\text{ereal } y\} \subseteq A$
 ⟨*proof*⟩

lemmas *open-ereal-lessThan* = *open-lessThan*[**where** ' $a=\text{ereal}$]

lemmas *open-ereal-greaterThan* = *open-greaterThan*[**where** ' $a=\text{ereal}$]

lemmas *ereal-open-greaterThanLessThan* = *open-greaterThanLessThan*[**where** ' $a=\text{ereal}$]

lemmas *closed-ereal-atLeast* = *closed-atLeast*[**where** ' $a=\text{ereal}$]

lemmas *closed-ereal-atMost* = *closed-atMost*[**where** ' $a=\text{ereal}$]

lemmas *closed-ereal-atLeastAtMost* = *closed-atLeastAtMost*[**where** ' $a=\text{ereal}$]

lemmas *closed-ereal-singleton* = *closed-singleton*[**where** ' $a=\text{ereal}$]

lemma *ereal-open-cont-interval*:

fixes $S :: \text{ereal set}$
assumes *open* S
and $x \in S$
and $|x| \neq \infty$
obtains e **where** $e > 0$ **and** $\{x-e <..<x+e\} \subseteq S$
 ⟨*proof*⟩

lemma *ereal-open-cont-interval2*:

fixes $S :: \text{ereal set}$
assumes *open* S
and $x \in S$
and $x: |x| \neq \infty$
obtains $a b$ **where** $a < x$ **and** $x < b$ **and** $\{a <..<b\} \subseteq S$

<proof>

33.4.1 Convergent sequences

lemma *lim-real-of-ereal[simp]*:

assumes *lim*: $(f \longrightarrow \text{ereal } x) \text{ net}$

shows $((\lambda x. \text{real-of-ereal } (f x)) \longrightarrow x) \text{ net}$

<proof>

lemma *lim-ereal[simp]*: $((\lambda n. \text{ereal } (f n)) \longrightarrow \text{ereal } x) \text{ net} \longleftrightarrow (f \longrightarrow x) \text{ net}$

<proof>

lemma *convergent-real-imp-convergent-ereal*:

assumes *convergent a*

shows *convergent* $(\lambda n. \text{ereal } (a n))$ **and** $\text{lim } (\lambda n. \text{ereal } (a n)) = \text{ereal } (\text{lim } a)$

<proof>

lemma *tendsto-PIInfty*: $(f \longrightarrow \infty) F \longleftrightarrow (\forall r. \text{eventually } (\lambda x. \text{ereal } r < f x) F)$

<proof>

lemma *tendsto-PIInfty'*: $(f \longrightarrow \infty) F = (\forall r > c. \text{eventually } (\lambda x. \text{ereal } r < f x) F)$

<proof>

lemma *tendsto-PIInfty-eq-at-top*:

$((\lambda z. \text{ereal } (f z)) \longrightarrow \infty) F \longleftrightarrow (\text{LIM } z F. f z :> \text{at-top})$

<proof>

lemma *tendsto-MInfty*: $(f \longrightarrow -\infty) F \longleftrightarrow (\forall r. \text{eventually } (\lambda x. f x < \text{ereal } r) F)$

<proof>

lemma *tendsto-MInfty'*: $(f \longrightarrow -\infty) F = (\forall r < c. \text{eventually } (\lambda x. \text{ereal } r > f x) F)$

<proof>

lemma *Lim-PIInfty*: $f \longrightarrow \infty \longleftrightarrow (\forall B. \exists N. \forall n \geq N. f n \geq \text{ereal } B)$

<proof>

lemma *Lim-MInfty*: $f \longrightarrow -\infty \longleftrightarrow (\forall B. \exists N. \forall n \geq N. \text{ereal } B \geq f n)$

<proof>

lemma *Lim-bounded-PIInfty*: $f \longrightarrow l \implies (\bigwedge n. f n \leq \text{ereal } B) \implies l \neq \infty$

<proof>

lemma *Lim-bounded-MInfty*: $f \longrightarrow l \implies (\bigwedge n. \text{ereal } B \leq f n) \implies l \neq -\infty$

<proof>

lemma *tendsto-zero-erealI*:

assumes $\bigwedge e. e > 0 \implies \text{eventually } (\lambda x. |f x| < \text{ereal } e) F$
shows $(f \longrightarrow 0) F$
 <proof>

lemma *tendsto-explicit*:
 $f \longrightarrow f0 \iff (\forall S. \text{open } S \longrightarrow f0 \in S \longrightarrow (\exists N. \forall n \geq N. f n \in S))$
 <proof>

lemma *Lim-bounded-PInfy2*: $f \longrightarrow l \implies \forall n \geq N. f n \leq \text{ereal } B \implies l \neq \infty$
 <proof>

lemma *Lim-bounded-ereal*: $f \longrightarrow (l :: 'a::\text{linorder-topology}) \implies \forall n \geq M. f n \leq C \implies l \leq C$
 <proof>

lemma *Lim-bounded2-ereal*:
assumes $\text{lim}: f \longrightarrow (l :: 'a::\text{linorder-topology})$
and $\text{ge}: \forall n \geq N. f n \geq C$
shows $l \geq C$
 <proof>

lemma *real-of-ereal-mult[simp]*:
fixes $a b :: \text{ereal}$
shows $\text{real-of-ereal } (a * b) = \text{real-of-ereal } a * \text{real-of-ereal } b$
 <proof>

lemma *real-of-ereal-eq-0*:
fixes $x :: \text{ereal}$
shows $\text{real-of-ereal } x = 0 \iff x = \infty \vee x = -\infty \vee x = 0$
 <proof>

lemma *tendsto-ereal-realD*:
fixes $f :: 'a \Rightarrow \text{ereal}$
assumes $x \neq 0$
and $\text{tendsto}: ((\lambda x. \text{ereal } (\text{real-of-ereal } (f x))) \longrightarrow x) \text{ net}$
shows $(f \longrightarrow x) \text{ net}$
 <proof>

lemma *tendsto-ereal-realI*:
fixes $f :: 'a \Rightarrow \text{ereal}$
assumes $x: |x| \neq \infty$ **and** $\text{tendsto}: (f \longrightarrow x) \text{ net}$
shows $((\lambda x. \text{ereal } (\text{real-of-ereal } (f x))) \longrightarrow x) \text{ net}$
 <proof>

lemma *ereal-mult-cancel-left*:
fixes $a b c :: \text{ereal}$
shows $a * b = a * c \iff (|a| = \infty \wedge 0 < b * c) \vee a = 0 \vee b = c$
 <proof>

lemma *tendsto-add-ereal*:

fixes $x\ y :: \text{ereal}$
assumes $x: |x| \neq \infty$ **and** $y: |y| \neq \infty$
assumes $f: (f \longrightarrow x) F$ **and** $g: (g \longrightarrow y) F$
shows $((\lambda x. f\ x + g\ x) \longrightarrow x + y) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-add-ereal-nonneg*:

fixes $x\ y :: \text{ereal}$
assumes $x \neq -\infty$ $y \neq -\infty$ $(f \longrightarrow x) F$ $(g \longrightarrow y) F$
shows $((\lambda x. f\ x + g\ x) \longrightarrow x + y) F$
 $\langle \text{proof} \rangle$

lemma *ereal-inj-affinity*:

fixes $m\ t :: \text{ereal}$
assumes $|m| \neq \infty$
and $m \neq 0$
and $|t| \neq \infty$
shows *inj-on* $(\lambda x. m * x + t) A$
 $\langle \text{proof} \rangle$

lemma *ereal-PInfty-eq-plus[simp]*:

fixes $a\ b :: \text{ereal}$
shows $\infty = a + b \longleftrightarrow a = \infty \vee b = \infty$
 $\langle \text{proof} \rangle$

lemma *ereal-MInfty-eq-plus[simp]*:

fixes $a\ b :: \text{ereal}$
shows $-\infty = a + b \longleftrightarrow (a = -\infty \wedge b \neq \infty) \vee (b = -\infty \wedge a \neq \infty)$
 $\langle \text{proof} \rangle$

lemma *ereal-less-divide-pos*:

fixes $x\ y :: \text{ereal}$
shows $x > 0 \implies x \neq \infty \implies y < z / x \longleftrightarrow x * y < z$
 $\langle \text{proof} \rangle$

lemma *ereal-divide-less-pos*:

fixes $x\ y\ z :: \text{ereal}$
shows $x > 0 \implies x \neq \infty \implies y / x < z \longleftrightarrow y < x * z$
 $\langle \text{proof} \rangle$

lemma *ereal-divide-eq*:

fixes $a\ b\ c :: \text{ereal}$
shows $b \neq 0 \implies |b| \neq \infty \implies a / b = c \longleftrightarrow a = b * c$
 $\langle \text{proof} \rangle$

lemma *ereal-inverse-not-MInfty[simp]*: *inverse* $(a::\text{ereal}) \neq -\infty$

$\langle \text{proof} \rangle$

lemma *ereal-mult-m1*[simp]: $x * \text{ereal } (-1) = -x$
 ⟨proof⟩

lemma *ereal-real'*:
 assumes $|x| \neq \infty$
 shows $\text{ereal } (\text{real-of-ereal } x) = x$
 ⟨proof⟩

lemma *real-ereal-id*: $\text{real-of-ereal } \circ \text{ereal} = \text{id}$
 ⟨proof⟩

lemma *open-image-ereal*: $\text{open}(UNIV - \{\infty, (-\infty :: \text{ereal})\})$
 ⟨proof⟩

lemma *ereal-le-distrib*:
 fixes $a b c :: \text{ereal}$
 shows $c * (a + b) \leq c * a + c * b$
 ⟨proof⟩

lemma *ereal-pos-distrib*:
 fixes $a b c :: \text{ereal}$
 assumes $0 \leq c$
 and $c \neq \infty$
 shows $c * (a + b) = c * a + c * b$
 ⟨proof⟩

lemma *ereal-max-mono*: $(a :: \text{ereal}) \leq b \implies c \leq d \implies \max a c \leq \max b d$
 ⟨proof⟩

lemma *ereal-max-least*: $(a :: \text{ereal}) \leq x \implies c \leq x \implies \max a c \leq x$
 ⟨proof⟩

lemma *ereal-LimI-finite*:
 fixes $x :: \text{ereal}$
 assumes $|x| \neq \infty$
 and $\bigwedge r. 0 < r \implies \exists N. \forall n \geq N. u n < x + r \wedge x < u n + r$
 shows $u \longrightarrow x$
 ⟨proof⟩

lemma *tendsto-obtains-N*:
 assumes $f \longrightarrow f0$
 assumes *open* S
 and $f0 \in S$
 obtains N where $\forall n \geq N. f n \in S$
 ⟨proof⟩

lemma *ereal-LimI-finite-iff*:
 fixes $x :: \text{ereal}$
 assumes $|x| \neq \infty$

shows $u \longrightarrow x \iff (\forall r. 0 < r \longrightarrow (\exists N. \forall n \geq N. u\ n < x + r \wedge x < u\ n + r))$
(is $?lhs \iff ?rhs)$
 $\langle proof \rangle$

lemma *ereal-Limsup-uminus*:

fixes $f :: 'a \Rightarrow \text{ereal}$
shows $\text{Limsup net } (\lambda x. - (f\ x)) = - \text{Liminf net } f$
 $\langle proof \rangle$

lemma *liminf-bounded-iff*:

fixes $x :: \text{nat} \Rightarrow \text{ereal}$
shows $C \leq \text{liminf } x \iff (\forall B < C. \exists N. \forall n \geq N. B < x\ n)$
(is $?lhs \iff ?rhs)$
 $\langle proof \rangle$

lemma *Liminf-add-le*:

fixes $f\ g :: - \Rightarrow \text{ereal}$
assumes $F: F \neq \text{bot}$
assumes $ev: \text{eventually } (\lambda x. 0 \leq f\ x)\ F \text{ eventually } (\lambda x. 0 \leq g\ x)\ F$
shows $\text{Liminf } F\ f + \text{Liminf } F\ g \leq \text{Liminf } F\ (\lambda x. f\ x + g\ x)$
 $\langle proof \rangle$

lemma *Sup-ereal-mult-right'*:

assumes $\text{nonempty}: Y \neq \{\}$
and $x: x \geq 0$
shows $(\text{SUP } i:Y. f\ i) * \text{ereal } x = (\text{SUP } i:Y. f\ i * \text{ereal } x)$ **(is** $?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *Sup-ereal-mult-left'*:

$\llbracket Y \neq \{\}; x \geq 0 \rrbracket \implies \text{ereal } x * (\text{SUP } i:Y. f\ i) = (\text{SUP } i:Y. \text{ereal } x * f\ i)$
 $\langle proof \rangle$

lemma *sup-continuous-add[order-continuous-intros]*:

fixes $f\ g :: 'a :: \text{complete-lattice} \Rightarrow \text{ereal}$
assumes $nn: \bigwedge x. 0 \leq f\ x \wedge x. 0 \leq g\ x$ **and** $\text{cont}: \text{sup-continuous } f\ \text{sup-continuous } g$
shows $\text{sup-continuous } (\lambda x. f\ x + g\ x)$
 $\langle proof \rangle$

lemma *sup-continuous-mult-right[order-continuous-intros]*:

$0 \leq c \implies c < \infty \implies \text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. f\ x * c :: \text{ereal})$
 $\langle proof \rangle$

lemma *sup-continuous-mult-left[order-continuous-intros]*:

$0 \leq c \implies c < \infty \implies \text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. c * f\ x :: \text{ereal})$
 $\langle proof \rangle$

lemma *sup-continuous-ereal-of-enat[order-continuous-intros]*:

assumes f : *sup-continuous* f **shows** *sup-continuous* $(\lambda x. \text{ereal-of-enat } (f x))$
 ⟨*proof*⟩

33.4.2 Sums

lemma *sums-ereal-positive*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge i. 0 \leq f i$
shows $f \text{ sums } (SUP n. \sum i < n. f i)$
 ⟨*proof*⟩

lemma *summable-ereal-pos*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge i. 0 \leq f i$
shows *summable* f
 ⟨*proof*⟩

lemma *sums-ereal*: $(\lambda x. \text{ereal } (f x)) \text{ sums } \text{ereal } x \longleftrightarrow f \text{ sums } x$
 ⟨*proof*⟩

lemma *suminf-ereal-eq-SUP*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge i. 0 \leq f i$
shows $(\sum x. f x) = (SUP n. \sum i < n. f i)$
 ⟨*proof*⟩

lemma *suminf-bound*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\forall N. (\sum n < N. f n) \leq x$
and *pos*: $\bigwedge n. 0 \leq f n$
shows $\text{suminf } f \leq x$
 ⟨*proof*⟩

lemma *suminf-bound-add*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\forall N. (\sum n < N. f n) + y \leq x$
and *pos*: $\bigwedge n. 0 \leq f n$
and $y \neq -\infty$
shows $\text{suminf } f + y \leq x$
 ⟨*proof*⟩

lemma *suminf-upper*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge n. 0 \leq f n$
shows $(\sum n < N. f n) \leq (\sum n. f n)$
 ⟨*proof*⟩

lemma *suminf-0-le*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$

assumes $\bigwedge n. 0 \leq f n$
 shows $0 \leq (\sum n. f n)$
 $\langle proof \rangle$

lemma *suminf-le-pos*:
 fixes $f g :: nat \Rightarrow ereal$
 assumes $\bigwedge N. f N \leq g N$
 and $\bigwedge N. 0 \leq f N$
 shows $suminf f \leq suminf g$
 $\langle proof \rangle$

lemma *suminf-half-series-ereal*: $(\sum n. (1/2 :: ereal) ^ Suc n) = 1$
 $\langle proof \rangle$

lemma *suminf-add-ereal*:
 fixes $f g :: nat \Rightarrow ereal$
 assumes $\bigwedge i. 0 \leq f i$
 and $\bigwedge i. 0 \leq g i$
 shows $(\sum i. f i + g i) = suminf f + suminf g$
 $\langle proof \rangle$

lemma *suminf-cmult-ereal*:
 fixes $f g :: nat \Rightarrow ereal$
 assumes $\bigwedge i. 0 \leq f i$
 and $0 \leq a$
 shows $(\sum i. a * f i) = a * suminf f$
 $\langle proof \rangle$

lemma *suminf-PInfy*:
 fixes $f :: nat \Rightarrow ereal$
 assumes $\bigwedge i. 0 \leq f i$
 and $suminf f \neq \infty$
 shows $f i \neq \infty$
 $\langle proof \rangle$

lemma *suminf-PInfy-fun*:
 assumes $\bigwedge i. 0 \leq f i$
 and $suminf f \neq \infty$
 shows $\exists f'. f = (\lambda x. ereal (f' x))$
 $\langle proof \rangle$

lemma *summable-ereal*:
 assumes $\bigwedge i. 0 \leq f i$
 and $(\sum i. ereal (f i)) \neq \infty$
 shows *summable* f
 $\langle proof \rangle$

lemma *suminf-ereal*:
 assumes $\bigwedge i. 0 \leq f i$

and $(\sum i. \text{ereal } (f i)) \neq \infty$
shows $(\sum i. \text{ereal } (f i)) = \text{ereal } (\text{suminf } f)$
 ⟨proof⟩

lemma *suminf-ereal-minus*:
fixes $f g :: \text{nat} \Rightarrow \text{ereal}$
assumes $\text{ord}: \bigwedge i. g i \leq f i \wedge i. 0 \leq g i$
and $\text{fin}: \text{suminf } f \neq \infty \text{ suminf } g \neq \infty$
shows $(\sum i. f i - g i) = \text{suminf } f - \text{suminf } g$
 ⟨proof⟩

lemma *suminf-ereal-PIInf [simp]*: $(\sum x. \infty :: \text{ereal}) = \infty$
 ⟨proof⟩

lemma *summable-real-of-ereal*:
fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $f: \bigwedge i. 0 \leq f i$
and $\text{fin}: (\sum i. f i) \neq \infty$
shows *summable* $(\lambda i. \text{real-of-ereal } (f i))$
 ⟨proof⟩

lemma *suminf-SUP-eq*:
fixes $f :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ereal}$
assumes $\bigwedge i. \text{incseq } (\lambda n. f n i)$
and $\bigwedge n i. 0 \leq f n i$
shows $(\sum i. \text{SUP } n. f n i) = (\text{SUP } n. \sum i. f n i)$
 ⟨proof⟩

lemma *suminf-setsum-ereal*:
fixes $f :: - \Rightarrow - \Rightarrow \text{ereal}$
assumes $\text{nonneg}: \bigwedge i a. a \in A \implies 0 \leq f i a$
shows $(\sum i. \sum a \in A. f i a) = (\sum a \in A. \sum i. f i a)$
 ⟨proof⟩

lemma *suminf-ereal-eq-0*:
fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $\text{nneg}: \bigwedge i. 0 \leq f i$
shows $(\sum i. f i) = 0 \iff (\forall i. f i = 0)$
 ⟨proof⟩

lemma *suminf-ereal-offset-le*:
fixes $f :: \text{nat} \Rightarrow \text{ereal}$
assumes $f: \bigwedge i. 0 \leq f i$
shows $(\sum i. f (i + k)) \leq \text{suminf } f$
 ⟨proof⟩

lemma *sums-suminf-ereal*: $f \text{ sums } x \implies (\sum i. \text{ereal } (f i)) = \text{ereal } x$
 ⟨proof⟩

lemma *suminf-ereal'*: $\text{summable } f \implies (\sum i. \text{ereal } (f i)) = \text{ereal } (\sum i. f i)$
 ⟨proof⟩

lemma *suminf-ereal-finite*: $\text{summable } f \implies (\sum i. \text{ereal } (f i)) \neq \infty$
 ⟨proof⟩

lemma *suminf-ereal-finite-neg*:
assumes *summable* f
shows $(\sum x. \text{ereal } (f x)) \neq -\infty$
 ⟨proof⟩

lemma *SUP-ereal-add-directed*:
fixes $f g :: 'a \Rightarrow \text{ereal}$
assumes *nonneg*: $\bigwedge i. i \in I \implies 0 \leq f i \wedge i. i \in I \implies 0 \leq g i$
assumes *directed*: $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \leq f k + g k$
shows $(\text{SUP } i:I. f i + g i) = (\text{SUP } i:I. f i) + (\text{SUP } i:I. g i)$
 ⟨proof⟩

lemma *SUP-ereal-setsup-directed*:
fixes $f g :: 'a \Rightarrow 'b \Rightarrow \text{ereal}$
assumes $I \neq \{\}$
assumes *directed*: $\bigwedge N i j. N \subseteq A \implies i \in I \implies j \in I \implies \exists k \in I. \forall n \in N. f n i \leq f n k \wedge f n j \leq f n k$
assumes *nonneg*: $\bigwedge n i. i \in I \implies n \in A \implies 0 \leq f n i$
shows $(\text{SUP } i:I. \sum n \in A. f n i) = (\sum n \in A. \text{SUP } i:I. f n i)$
 ⟨proof⟩

lemma *suminf-SUP-eq-directed*:
fixes $f :: - \Rightarrow \text{nat} \Rightarrow \text{ereal}$
assumes $I \neq \{\}$
assumes *directed*: $\bigwedge N i j. i \in I \implies j \in I \implies \text{finite } N \implies \exists k \in I. \forall n \in N. f n i \leq f k n \wedge f j n \leq f k n$
assumes *nonneg*: $\bigwedge n i. 0 \leq f n i$
shows $(\sum i. \text{SUP } n:I. f n i) = (\text{SUP } n:I. \sum i. f n i)$
 ⟨proof⟩

lemma *ereal-dense3*:
fixes $x y :: \text{ereal}$
shows $x < y \implies \exists r :: \text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$
 ⟨proof⟩

lemma *continuous-within-ereal*[*intro, simp*]: $x \in A \implies \text{continuous (at } x \text{ within } A)$ *ereal*
 ⟨proof⟩

lemma *ereal-open-uminus*:
fixes $S :: \text{ereal set}$
assumes *open* S
shows *open* (*uminus* ‘ S)

<proof>

lemma *ereal-uminus-complement*:

fixes $S :: \text{ereal set}$

shows $\text{uminus } '(- S) = - \text{uminus } ' S$

<proof>

lemma *ereal-closed-uminus*:

fixes $S :: \text{ereal set}$

assumes $\text{closed } S$

shows $\text{closed } (\text{uminus } ' S)$

<proof>

lemma *ereal-open-affinity-pos*:

fixes $S :: \text{ereal set}$

assumes $\text{open } S$

and $m: m \neq \infty \ 0 < m$

and $t: |t| \neq \infty$

shows $\text{open } ((\lambda x. m * x + t) ' S)$

<proof>

lemma *ereal-open-affinity*:

fixes $S :: \text{ereal set}$

assumes $\text{open } S$

and $m: |m| \neq \infty \ m \neq 0$

and $t: |t| \neq \infty$

shows $\text{open } ((\lambda x. m * x + t) ' S)$

<proof>

lemma *open-uminus-iff*:

fixes $S :: \text{ereal set}$

shows $\text{open } (\text{uminus } ' S) \longleftrightarrow \text{open } S$

<proof>

lemma *ereal-Liminf-uminus*:

fixes $f :: 'a \Rightarrow \text{ereal}$

shows $\text{Liminf net } (\lambda x. - (f x)) = - \text{Limsup net } f$

<proof>

lemma *Liminf-PInfy*:

fixes $f :: 'a \Rightarrow \text{ereal}$

assumes $\neg \text{trivial-limit net}$

shows $(f \longrightarrow \infty) \text{ net} \longleftrightarrow \text{Liminf net } f = \infty$

<proof>

lemma *Limsup-MInfy*:

fixes $f :: 'a \Rightarrow \text{ereal}$

assumes $\neg \text{trivial-limit net}$

shows $(f \longrightarrow -\infty) \text{ net} \longleftrightarrow \text{Limsup net } f = -\infty$

<proof>

lemma *convergent-ereal*: — RENAME

fixes $X :: \text{nat} \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$

shows $\text{convergent } X \longleftrightarrow \text{limsup } X = \text{liminf } X$

<proof>

lemma *limsup-le-liminf-real*:

fixes $X :: \text{nat} \Rightarrow \text{real}$ **and** $L :: \text{real}$

assumes 1: $\text{limsup } X \leq L$ **and** 2: $L \leq \text{liminf } X$

shows $X \longrightarrow L$

<proof>

lemma *liminf-PIfty*:

fixes $X :: \text{nat} \Rightarrow \text{ereal}$

shows $X \longrightarrow \infty \longleftrightarrow \text{liminf } X = \infty$

<proof>

lemma *limsup-MIfty*:

fixes $X :: \text{nat} \Rightarrow \text{ereal}$

shows $X \longrightarrow -\infty \longleftrightarrow \text{limsup } X = -\infty$

<proof>

lemma *ereal-lim-mono*:

fixes $X Y :: \text{nat} \Rightarrow 'a :: \text{linorder-topology}$

assumes $\bigwedge n. N \leq n \implies X n \leq Y n$

and $X \longrightarrow x$

and $Y \longrightarrow y$

shows $x \leq y$

<proof>

lemma *incseq-le-ereal*:

fixes $X :: \text{nat} \Rightarrow 'a :: \text{linorder-topology}$

assumes $\text{inc}: \text{incseq } X$

and $\text{lim}: X \longrightarrow L$

shows $X N \leq L$

<proof>

lemma *decseq-ge-ereal*:

assumes $\text{dec}: \text{decseq } X$

and $\text{lim}: X \longrightarrow (L :: 'a :: \text{linorder-topology})$

shows $X N \geq L$

<proof>

lemma *bounded-abs*:

fixes $a :: \text{real}$

assumes $a \leq x$

and $x \leq b$

shows $|x| \leq \max |a| |b|$

<proof>

lemma *ereal-Sup-lim*:

fixes $a :: 'a :: \{complete-linorder, linorder-topology\}$

assumes $\bigwedge n. b\ n \in s$

and $b \longrightarrow a$

shows $a \leq \text{Sup } s$

<proof>

lemma *ereal-Inf-lim*:

fixes $a :: 'a :: \{complete-linorder, linorder-topology\}$

assumes $\bigwedge n. b\ n \in s$

and $b \longrightarrow a$

shows $\text{Inf } s \leq a$

<proof>

lemma *SUP-Lim-ereal*:

fixes $X :: \text{nat} \Rightarrow 'a :: \{complete-linorder, linorder-topology\}$

assumes $\text{inc: incseq } X$

and $l: X \longrightarrow l$

shows $(\text{SUP } n. X\ n) = l$

<proof>

lemma *INF-Lim-ereal*:

fixes $X :: \text{nat} \Rightarrow 'a :: \{complete-linorder, linorder-topology\}$

assumes $\text{dec: decseq } X$

and $l: X \longrightarrow l$

shows $(\text{INF } n. X\ n) = l$

<proof>

lemma *SUP-eq-LIMSEQ*:

assumes $\text{mono } f$

shows $(\text{SUP } n. \text{ereal } (f\ n)) = \text{ereal } x \longleftrightarrow f \longrightarrow x$

<proof>

lemma *liminf-ereal-cminus*:

fixes $f :: \text{nat} \Rightarrow \text{ereal}$

assumes $c \neq -\infty$

shows $\text{liminf } (\lambda x. c - f\ x) = c - \text{limsup } f$

<proof>

33.4.3 Continuity

lemma *continuous-at-of-ereal*:

$|x0 :: \text{ereal}| \neq \infty \implies \text{continuous } (\text{at } x0) \text{ real-of-ereal}$

<proof>

lemma *nhds-ereal*: $\text{nhds } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{nhds } r)$

<proof>

lemma *at-ereal*: $at \ (ereal \ r) = filtermap \ ereal \ (at \ r)$
 ⟨proof⟩

lemma *at-left-ereal*: $at-left \ (ereal \ r) = filtermap \ ereal \ (at-left \ r)$
 ⟨proof⟩

lemma *at-right-ereal*: $at-right \ (ereal \ r) = filtermap \ ereal \ (at-right \ r)$
 ⟨proof⟩

lemma
shows *at-left-PInf*: $at-left \ \infty = filtermap \ ereal \ at-top$
and *at-right-MInf*: $at-right \ (-\infty) = filtermap \ ereal \ at-bot$
 ⟨proof⟩

lemma *ereal-tendsto-simps1*:
 $((f \circ real-of-ereal) \longrightarrow y) \ (at-left \ (ereal \ x)) \longleftrightarrow (f \longrightarrow y) \ (at-left \ x)$
 $((f \circ real-of-ereal) \longrightarrow y) \ (at-right \ (ereal \ x)) \longleftrightarrow (f \longrightarrow y) \ (at-right \ x)$
 $((f \circ real-of-ereal) \longrightarrow y) \ (at-left \ (\infty::ereal)) \longleftrightarrow (f \longrightarrow y) \ at-top$
 $((f \circ real-of-ereal) \longrightarrow y) \ (at-right \ (-\infty::ereal)) \longleftrightarrow (f \longrightarrow y) \ at-bot$
 ⟨proof⟩

lemma *ereal-tendsto-simps2*:
 $((ereal \circ f) \longrightarrow ereal \ a) \ F \longleftrightarrow (f \longrightarrow a) \ F$
 $((ereal \circ f) \longrightarrow \infty) \ F \longleftrightarrow (LIM \ x \ F. f \ x \ :> \ at-top)$
 $((ereal \circ f) \longrightarrow -\infty) \ F \longleftrightarrow (LIM \ x \ F. f \ x \ :> \ at-bot)$
 ⟨proof⟩

lemma *inverse-infty-ereal-tendsto-0*: $inverse \ -\infty \rightarrow (0::ereal)$
 ⟨proof⟩

lemma *inverse-ereal-tendsto-pos*:
fixes $x :: ereal$ **assumes** $0 < x$
shows $inverse \ -x \rightarrow inverse \ x$
 ⟨proof⟩

lemma *inverse-ereal-tendsto-at-right-0*: $(inverse \ \longrightarrow \ \infty) \ (at-right \ (0::ereal))$
 ⟨proof⟩

lemmas *ereal-tendsto-simps* = *ereal-tendsto-simps1* *ereal-tendsto-simps2*

lemma *continuous-at-iff-ereal*:
fixes $f :: 'a::t2-space \Rightarrow \ real$
shows $continuous \ (at \ x0 \ within \ s) \ f \longleftrightarrow continuous \ (at \ x0 \ within \ s) \ (ereal \circ f)$
 ⟨proof⟩

lemma *continuous-on-iff-ereal*:
fixes $f :: 'a::t2-space \Rightarrow \ real$
assumes $open \ A$

shows *continuous-on A f* \longleftrightarrow *continuous-on A (ereal o f)*
 ⟨proof⟩

lemma *continuous-on-real*: *continuous-on (UNIV - {∞, -∞::ereal}) real-of-ereal*
 ⟨proof⟩

lemma *continuous-on-iff-real*:
fixes *f :: 'a::t2-space ⇒ ereal*
assumes *: $\bigwedge x. x \in A \implies |f x| \neq \infty$
shows *continuous-on A f* \longleftrightarrow *continuous-on A (real-of-ereal o f)*
 ⟨proof⟩

lemma *continuous-uminus-ereal* [*continuous-intros*]: *continuous-on (A :: ereal set)*
uminus
 ⟨proof⟩

lemma *ereal-uminus-atMost* [*simp*]: *uminus ‘ {..(a::ereal)} = {-a..}*
 ⟨proof⟩

lemma *continuous-on-inverse-ereal* [*continuous-intros*]:
continuous-on {0::ereal ..} inverse
 ⟨proof⟩

lemma *continuous-inverse-ereal-nonpos*: *continuous-on ({..<0} :: ereal set) inverse*
 ⟨proof⟩

lemma *tendsto-inverse-ereal*:
assumes (*f* \longrightarrow (*c* :: *ereal*)) *F*
assumes *eventually* ($\lambda x. f x \geq 0$) *F*
shows ($\lambda x. \text{inverse } (f x)$) \longrightarrow *inverse c* *F*
 ⟨proof⟩

33.4.4 liminf and limsup

lemma *Limsup-ereal-mult-right*:
assumes *F* \neq *bot* (*c*::*real*) ≥ 0
shows *Limsup F* ($\lambda n. f n * \text{ereal } c$) = *Limsup F f* * *ereal c*
 ⟨proof⟩

lemma *Liminf-ereal-mult-right*:
assumes *F* \neq *bot* (*c*::*real*) ≥ 0
shows *Liminf F* ($\lambda n. f n * \text{ereal } c$) = *Liminf F f* * *ereal c*
 ⟨proof⟩

lemma *Limsup-ereal-mult-left*:
assumes *F* \neq *bot* (*c*::*real*) ≥ 0
shows *Limsup F* ($\lambda n. \text{ereal } c * f n$) = *ereal c* * *Limsup F f*
 ⟨proof⟩

lemma *limsup-ereal-mult-right:*

$(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. f n * \text{ereal } c) = \text{limsup } f * \text{ereal } c$
 ⟨proof⟩

lemma *limsup-ereal-mult-left:*

$(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. \text{ereal } c * f n) = \text{ereal } c * \text{limsup } f$
 ⟨proof⟩

lemma *Limsup-add-ereal-right:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Limsup } F (\lambda n. g n + (c :: \text{ereal})) = \text{Limsup } F g + c$
 ⟨proof⟩

lemma *Limsup-add-ereal-left:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Limsup } F (\lambda n. (c :: \text{ereal}) + g n) = c + \text{Limsup } F g$
 ⟨proof⟩

lemma *Liminf-add-ereal-right:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Liminf } F (\lambda n. g n + (c :: \text{ereal})) = \text{Liminf } F g + c$
 ⟨proof⟩

lemma *Liminf-add-ereal-left:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Liminf } F (\lambda n. (c :: \text{ereal}) + g n) = c + \text{Liminf } F g$
 ⟨proof⟩

lemma

assumes $F \neq \text{bot}$

assumes *nonneg: eventually* $(\lambda x. f x \geq (0::\text{ereal})) F$

shows *Liminf-inverse-ereal:* $\text{Liminf } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Limsup } F f)$

and *Limsup-inverse-ereal:* $\text{Limsup } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Liminf } F f)$

⟨proof⟩

33.4.5 Tests for code generator

value $-\infty :: \text{ereal}$

value $|\infty| :: \text{ereal}$

value $4 + 5 / 4 - \text{ereal } 2 :: \text{ereal}$

value $\text{ereal } 3 < \infty$

value *real-of-ereal* $(\infty::\text{ereal}) = 0$

end

34 Indicator Function

theory *Indicator-Function*

imports *Complex-Main*

begin

definition $indicator\ S\ x = (if\ x \in S\ then\ 1\ else\ 0)$

lemma $indicator-simps[simp]$:

$x \in S \implies indicator\ S\ x = 1$

$x \notin S \implies indicator\ S\ x = 0$

$\langle proof \rangle$

lemma $indicator-pos-le[intro, simp]$: $(0::'a::linordered-semidom) \leq indicator\ S\ x$

and $indicator-le-1[intro, simp]$: $indicator\ S\ x \leq (1::'a::linordered-semidom)$

$\langle proof \rangle$

lemma $indicator-abs-le-1$: $|indicator\ S\ x| \leq (1::'a::linordered-idom)$

$\langle proof \rangle$

lemma $indicator-eq-0-iff$: $indicator\ A\ x = (0:::zero-neq-one) \longleftrightarrow x \notin A$

$\langle proof \rangle$

lemma $indicator-eq-1-iff$: $indicator\ A\ x = (1:::zero-neq-one) \longleftrightarrow x \in A$

$\langle proof \rangle$

lemma $split-indicator$: $P\ (indicator\ S\ x) \longleftrightarrow ((x \in S \longrightarrow P\ 1) \wedge (x \notin S \longrightarrow P\ 0))$

$\langle proof \rangle$

lemma $split-indicator-asm$: $P\ (indicator\ S\ x) \longleftrightarrow (\neg (x \in S \wedge \neg P\ 1 \vee x \notin S \wedge \neg P\ 0))$

$\langle proof \rangle$

lemma $indicator-inter-arith$: $indicator\ (A \cap B)\ x = indicator\ A\ x * (indicator\ B\ x::'a::semiring-1)$

$\langle proof \rangle$

lemma $indicator-union-arith$: $indicator\ (A \cup B)\ x = indicator\ A\ x + indicator\ B\ x - indicator\ A\ x * (indicator\ B\ x::'a::ring-1)$

$\langle proof \rangle$

lemma $indicator-inter-min$: $indicator\ (A \cap B)\ x = min\ (indicator\ A\ x)\ (indicator\ B\ x::'a::linordered-semidom)$

and $indicator-union-max$: $indicator\ (A \cup B)\ x = max\ (indicator\ A\ x)\ (indicator\ B\ x::'a::linordered-semidom)$

$\langle proof \rangle$

lemma $indicator-disj-union$: $A \cap B = \{\} \implies indicator\ (A \cup B)\ x = (indicator\ A\ x + indicator\ B\ x::'a::linordered-semidom)$

$\langle proof \rangle$

lemma $indicator-compl$: $indicator\ (\neg A)\ x = 1 - (indicator\ A\ x::'a::ring-1)$

and *indicator-diff*: $\text{indicator } (A - B) x = \text{indicator } A x * (1 - \text{indicator } B x :: 'a::\text{ring-1})$
 ⟨proof⟩

lemma *indicator-times*: $\text{indicator } (A \times B) x = \text{indicator } A (\text{fst } x) * (\text{indicator } B (\text{snd } x) :: 'a::\text{semiring-1})$
 ⟨proof⟩

lemma *indicator-sum*: $\text{indicator } (A <+> B) x = (\text{case } x \text{ of } \text{Inl } x \Rightarrow \text{indicator } A x \mid \text{Inr } x \Rightarrow \text{indicator } B x)$
 ⟨proof⟩

lemma *indicator-image*: $\text{inj } f \Longrightarrow \text{indicator } (f ' X) (f x) = (\text{indicator } X x :: \text{zero-neq-one})$
 ⟨proof⟩

lemma *indicator-vimage*: $\text{indicator } (f -' A) x = \text{indicator } A (f x)$
 ⟨proof⟩

lemma

fixes $f :: 'a \Rightarrow 'b::\text{semiring-1}$ **assumes** *finite A*
shows *setsum-mult-indicator[simp]*: $(\sum x \in A. f x * \text{indicator } B x) = (\sum x \in A \cap B. f x)$
and *setsum-indicator-mult[simp]*: $(\sum x \in A. \text{indicator } B x * f x) = (\sum x \in A \cap B. f x)$
 ⟨proof⟩

lemma *setsum-indicator-eq-card*:
assumes *finite A*
shows $(\sum x \in A. \text{indicator } B x) = \text{card } (A \text{ Int } B)$
 ⟨proof⟩

lemma *setsum-indicator-scaleR[simp]*:
finite A \Longrightarrow
 $(\sum x \in A. \text{indicator } (B x) (g x) *_R f x) = (\sum x \in \{x \in A. g x \in B x\}. f x :: 'a::\text{real-vector})$
 ⟨proof⟩

lemma *LIMSEQ-indicator-incseq*:
assumes *incseq A*
shows $(\lambda i. \text{indicator } (A i) x :: 'a :: \{\text{topological-space, one, zero}\}) \longrightarrow \text{indicator } (\bigcup i. A i) x$
 ⟨proof⟩

lemma *LIMSEQ-indicator-UN*:
 $(\lambda k. \text{indicator } (\bigcup i < k. A i) x :: 'a :: \{\text{topological-space, one, zero}\}) \longrightarrow \text{indicator } (\bigcup i. A i) x$
 ⟨proof⟩

lemma *LIMSEQ-indicator-decseq*:

assumes *decseq* A
shows $(\lambda i. \text{indicator } (A \ i) \ x :: 'a :: \{\text{topological-space, one, zero}\}) \longrightarrow \text{indicator } (\bigcap i. A \ i) \ x$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-indicator-INT*:
 $(\lambda k. \text{indicator } (\bigcap i < k. A \ i) \ x :: 'a :: \{\text{topological-space, one, zero}\}) \longrightarrow \text{indicator } (\bigcap i. A \ i) \ x$
 $\langle \text{proof} \rangle$

lemma *indicator-add*:
 $A \cap B = \{\} \implies (\text{indicator } A \ x :: \text{monoid-add}) + \text{indicator } B \ x = \text{indicator } (A \cup B) \ x$
 $\langle \text{proof} \rangle$

lemma *of-real-indicator*: $\text{of-real } (\text{indicator } A \ x) = \text{indicator } A \ x$
 $\langle \text{proof} \rangle$

lemma *real-of-nat-indicator*: $\text{real } (\text{indicator } A \ x :: \text{nat}) = \text{indicator } A \ x$
 $\langle \text{proof} \rangle$

lemma *abs-indicator*: $|\text{indicator } A \ x :: 'a :: \text{linordered-idom}| = \text{indicator } A \ x$
 $\langle \text{proof} \rangle$

lemma *mult-indicator-subset*:
 $A \subseteq B \implies \text{indicator } A \ x * \text{indicator } B \ x = (\text{indicator } A \ x :: 'a :: \{\text{comm-semiring-1}\})$
 $\langle \text{proof} \rangle$

lemma *indicator-sums*:
assumes $\bigwedge i \ j. i \neq j \implies A \ i \cap A \ j = \{\}$
shows $(\lambda i. \text{indicator } (A \ i) \ x :: \text{real}) \text{ sums } \text{indicator } (\bigcup i. A \ i) \ x$
 $\langle \text{proof} \rangle$

end

34.1 The type of non-negative extended real numbers

theory *Extended-Nonnegative-Real*
imports *Extended-Real Indicator-Function*
begin

lemma *ereal-ineq-diff-add*:
assumes $b \neq (-\infty :: \text{ereal}) \ a \geq b$
shows $a = b + (a - b)$
 $\langle \text{proof} \rangle$

lemma *Limsup-const-add*:
fixes $c :: 'a :: \{\text{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add}\}$
shows $F \neq \text{bot} \implies \text{Limsup } F \ (\lambda x. c + f \ x) = c + \text{Limsup } F \ f$

<proof>

lemma *Liminf-const-add*:

fixes $c :: 'a :: \{ \text{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add} \}$
shows $F \neq \text{bot} \implies \text{Liminf } F (\lambda x. c + f x) = c + \text{Liminf } F f$
<proof>

lemma *Liminf-add-const*:

fixes $c :: 'a :: \{ \text{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add} \}$
shows $F \neq \text{bot} \implies \text{Liminf } F (\lambda x. f x + c) = \text{Liminf } F f + c$
<proof>

lemma *sums-offset*:

fixes $f g :: \text{nat} \Rightarrow 'a :: \{ \text{t2-space, topological-comm-monoid-add} \}$
assumes $(\lambda n. f (n + i)) \text{ sums } l$ **shows** $f \text{ sums } (l + (\sum j < i. f j))$
<proof>

lemma *suminf-offset*:

fixes $f g :: \text{nat} \Rightarrow 'a :: \{ \text{t2-space, topological-comm-monoid-add} \}$
shows $\text{summable } (\lambda j. f (j + i)) \implies \text{suminf } f = (\sum j. f (j + i)) + (\sum j < i. f j)$
<proof>

lemma *eventually-at-left-1*: $(\bigwedge z :: \text{real}. 0 < z \implies z < 1 \implies P z) \implies \text{eventually } P (\text{at-left } 1)$

<proof>

lemma *mult-eq-1*:

fixes $a b :: 'a :: \{ \text{ordered-semiring, comm-monoid-mult} \}$
shows $0 \leq a \implies a \leq 1 \implies b \leq 1 \implies a * b = 1 \longleftrightarrow (a = 1 \wedge b = 1)$
<proof>

lemma *ereal-add-diff-cancel*:

fixes $a b :: \text{ereal}$
shows $|b| \neq \infty \implies (a + b) - b = a$
<proof>

lemma *add-top*:

fixes $x :: 'a :: \{ \text{order-top, ordered-comm-monoid-add} \}$
shows $0 \leq x \implies x + \text{top} = \text{top}$
<proof>

lemma *top-add*:

fixes $x :: 'a :: \{ \text{order-top, ordered-comm-monoid-add} \}$
shows $0 \leq x \implies \text{top} + x = \text{top}$
<proof>

lemma *le-lfp*: $\text{mono } f \implies x \leq \text{lfp } f \implies f x \leq \text{lfp } f$

<proof>

lemma *lfp-transfer*:

assumes α : *sup-continuous* α **and** f : *sup-continuous* f **and** mg : *mono* g
assumes bot : $\alpha \ bot \leq \ lfp \ g$ **and** eq : $\bigwedge x. x \leq \ lfp \ f \implies \alpha \ (f \ x) = g \ (\alpha \ x)$
shows $\alpha \ (lfp \ f) = lfp \ g$

<proof>

lemma *sup-continuous-applyD*: *sup-continuous* $f \implies \text{sup-continuous } (\lambda x. f \ x \ h)$

<proof>

lemma *sup-continuous-SUP*[*order-continuous-intros*]:

fixes $M :: - \implies - \implies 'a::\text{complete-lattice}$
assumes M : $\bigwedge i. i \in I \implies \text{sup-continuous } (M \ i)$
shows *sup-continuous* $(SUP \ i:I. M \ i)$

<proof>

lemma *sup-continuous-apply-SUP*[*order-continuous-intros*]:

fixes $M :: - \implies - \implies 'a::\text{complete-lattice}$
shows $(\bigwedge i. i \in I \implies \text{sup-continuous } (M \ i)) \implies \text{sup-continuous } (\lambda x. SUP \ i:I. M \ i \ x)$

<proof>

lemma *sup-continuous-lfp'*[*order-continuous-intros*]:

assumes 1 : *sup-continuous* f
assumes 2 : $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (f \ g)$
shows *sup-continuous* $(lfp \ f)$

<proof>

lemma *sup-continuous-lfp''*[*order-continuous-intros*]:

assumes 1 : $\bigwedge s. \text{sup-continuous } (f \ s)$
assumes 2 : $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (\lambda s. f \ s \ (g \ s))$
shows *sup-continuous* $(\lambda x. lfp \ (f \ x))$

<proof>

lemma *mono-INF-fun*:

$(\bigwedge x \ y. \text{mono } (F \ x \ y)) \implies \text{mono } (\lambda z \ x. INF \ y : X \ x. F \ x \ y \ z :: 'a :: \text{complete-lattice})$

<proof>

lemma *continuous-on-max*:

fixes $f \ g :: 'a::\text{topological-space} \implies 'b::\text{linorder-topology}$
shows *continuous-on* $A \ f \implies \text{continuous-on } A \ g \implies \text{continuous-on } A \ (\lambda x. \max \ (f \ x) \ (g \ x))$

<proof>

lemma *continuous-on-cmult-ereal*:

$|c::\text{ereal}| \neq \infty \implies \text{continuous-on } A \ f \implies \text{continuous-on } A \ (\lambda x. c * f \ x)$

<proof>

context *linordered-nonzero-semiring*

begin

lemma *of-nat-nonneg* [*simp*]: $0 \leq \text{of-nat } n$
 ⟨*proof*⟩

lemma *of-nat-mono* [*simp*]: $i \leq j \implies \text{of-nat } i \leq \text{of-nat } j$
 ⟨*proof*⟩

end

lemma *real-of-nat-Sup*:
assumes $A \neq \{\}$ *bdd-above* A
shows $\text{of-nat } (\text{Sup } A) = (\text{SUP } a:A. \text{of-nat } a :: \text{real})$
 ⟨*proof*⟩

lemma *of-nat-less* [*simp*]:
 $i < j \implies \text{of-nat } i < (\text{of-nat } j :: 'a :: \{\text{linordered-nonzero-semiring, semiring-char-0}\})$
 ⟨*proof*⟩

lemma *of-nat-le-iff* [*simp*]:
 $\text{of-nat } i \leq (\text{of-nat } j :: 'a :: \{\text{linordered-nonzero-semiring, semiring-char-0}\}) \iff i \leq j$
 ⟨*proof*⟩

lemma (**in** *complete-lattice*) *SUP-sup-const1*:
 $I \neq \{\} \implies (\text{SUP } i:I. \text{sup } c (f i)) = \text{sup } c (\text{SUP } i:I. f i)$
 ⟨*proof*⟩

lemma (**in** *complete-lattice*) *SUP-sup-const2*:
 $I \neq \{\} \implies (\text{SUP } i:I. \text{sup } (f i) c) = \text{sup } (\text{SUP } i:I. f i) c$
 ⟨*proof*⟩

lemma *one-less-of-natD*:
 $(1 :: 'a :: \text{linordered-semidom}) < \text{of-nat } n \implies 1 < n$
 ⟨*proof*⟩

lemma *setsum-le-suminf*:
fixes $f :: \text{nat} \Rightarrow 'a :: \{\text{ordered-comm-monoid-add, linorder-topology}\}$
shows $\text{summable } f \implies \text{finite } I \implies \forall m \in - I. 0 \leq f m \implies \text{setsum } f I \leq \text{suminf } f$
 ⟨*proof*⟩

34.2 Defining the extended non-negative reals

Basic definitions and type class setup

typedef *ennreal* = $\{x :: \text{ereal}. 0 \leq x\}$
morphisms *enn2ereal e2ennreal'*
 ⟨*proof*⟩

definition $e2ennreal\ x = e2ennreal'\ (max\ 0\ x)$

lemma $enn2ereal-range: e2ennreal\ ' \{0..\} = UNIV$
 $\langle proof \rangle$

lemma $type-definition-ennreal': type-definition\ enn2ereal\ e2ennreal\ \{x.\ 0 \leq x\}$
 $\langle proof \rangle$

setup-lifting $type-definition-ennreal'$

declare $[[coercion\ e2ennreal]]$

instantiation $ennreal :: complete-linorder$
begin

lift-definition $top-ennreal :: ennreal\ is\ top\ \langle proof \rangle$

lift-definition $bot-ennreal :: ennreal\ is\ 0\ \langle proof \rangle$

lift-definition $sup-ennreal :: ennreal \Rightarrow ennreal \Rightarrow ennreal\ is\ sup\ \langle proof \rangle$

lift-definition $inf-ennreal :: ennreal \Rightarrow ennreal \Rightarrow ennreal\ is\ inf\ \langle proof \rangle$

lift-definition $Inf-ennreal :: ennreal\ set \Rightarrow ennreal\ is\ Inf$
 $\langle proof \rangle$

lift-definition $Sup-ennreal :: ennreal\ set \Rightarrow ennreal\ is\ sup\ 0 \circ Sup$
 $\langle proof \rangle$

lift-definition $less-eq-ennreal :: ennreal \Rightarrow ennreal \Rightarrow bool\ is\ op \leq\ \langle proof \rangle$

lift-definition $less-ennreal :: ennreal \Rightarrow ennreal \Rightarrow bool\ is\ op <\ \langle proof \rangle$

instance
 $\langle proof \rangle$

end

lemma $pcr-ennreal-enn2ereal[simp]: pcr-ennreal\ (enn2ereal\ x)\ x$
 $\langle proof \rangle$

lemma $rel-fun-eq-pcr-ennreal: rel-fun\ op = pcr-ennreal\ f\ g \longleftrightarrow f = enn2ereal \circ g$
 $\langle proof \rangle$

instantiation $ennreal :: infinity$
begin

definition $infinity-ennreal :: ennreal$

where

$[simp]: \infty = (top::ennreal)$

instance $\langle proof \rangle$

end

instantiation *ennreal* :: {*semiring-1-no-zero-divisors*, *comm-semiring-1*}
begin

lift-definition *one-ennreal* :: *ennreal* **is** 1 *<proof>*

lift-definition *zero-ennreal* :: *ennreal* **is** 0 *<proof>*

lift-definition *plus-ennreal* :: *ennreal* \Rightarrow *ennreal* \Rightarrow *ennreal* **is** *op* + *<proof>*

lift-definition *times-ennreal* :: *ennreal* \Rightarrow *ennreal* \Rightarrow *ennreal* **is** *op* * *<proof>*

instance
<proof>

end

instantiation *ennreal* :: *minus*
begin

lift-definition *minus-ennreal* :: *ennreal* \Rightarrow *ennreal* \Rightarrow *ennreal* **is** $\lambda a b. \max 0 (a - b)$
<proof>

instance *<proof>*

end

instance *ennreal* :: *numeral* *<proof>*

instantiation *ennreal* :: *inverse*
begin

lift-definition *inverse-ennreal* :: *ennreal* \Rightarrow *ennreal* **is** *inverse*
<proof>

definition *divide-ennreal* :: *ennreal* \Rightarrow *ennreal* \Rightarrow *ennreal*
where $x \text{ div } y = x * \text{inverse } (y :: \text{ennreal})$

instance *<proof>*

end

lemma *ennreal-zero-less-one*: $0 < (1 :: \text{ennreal})$ — TODO: remove
<proof>

instance *ennreal* :: *dioid*
<proof>

instance *ennreal* :: *ordered-comm-semiring*
<proof>

instance *ennreal* :: *linordered-nonzero-semiring*
 ⟨*proof*⟩

instance *ennreal* :: *strict-ordered-ab-semigroup-add*
 ⟨*proof*⟩

declare [[*coercion of-nat* :: *nat* \Rightarrow *ennreal*]]

lemma *e2ennreal-neg*: $x \leq 0 \implies e2ennreal\ x = 0$
 ⟨*proof*⟩

lemma *e2ennreal-mono*: $x \leq y \implies e2ennreal\ x \leq e2ennreal\ y$
 ⟨*proof*⟩

lemma *enn2ereal-nonneg[simp]*: $0 \leq enn2ereal\ x$
 ⟨*proof*⟩

lemma *ereal-ennreal-cases*:
obtains *b* **where** $0 \leq a$ $a = enn2ereal\ b$ | $a < 0$
 ⟨*proof*⟩

lemma *rel-fun-liminf[transfer-rule]*: *rel-fun* (*rel-fun op* = *pcr-ennreal*) *pcr-ennreal*
liminf *liminf*
 ⟨*proof*⟩

lemma *rel-fun-limsup[transfer-rule]*: *rel-fun* (*rel-fun op* = *pcr-ennreal*) *pcr-ennreal*
limsup *limsup*
 ⟨*proof*⟩

lemma *setsum-enn2ereal[simp]*: $(\bigwedge i. i \in I \implies 0 \leq f\ i) \implies (\sum_{i \in I}. enn2ereal\ (f\ i)) = enn2ereal\ (setsum\ f\ I)$
 ⟨*proof*⟩

lemma *transfer-e2ennreal-setsum [transfer-rule]*:
rel-fun (*rel-fun op* = *pcr-ennreal*) (*rel-fun op* = *pcr-ennreal*) *setsum* *setsum*
 ⟨*proof*⟩

lemma *enn2ereal-of-nat[simp]*: $enn2ereal\ (of-nat\ n) = ereal\ n$
 ⟨*proof*⟩

lemma *enn2ereal-numeral[simp]*: $enn2ereal\ (numeral\ a) = numeral\ a$
 ⟨*proof*⟩

lemma *transfer-numeral[transfer-rule]*: *pcr-ennreal* (*numeral a*) (*numeral a*)
 ⟨*proof*⟩

34.3 Cancellation simprocs

lemma *ennreal-add-left-cancel*: $a + b = a + c \longleftrightarrow a = (\infty::\text{ennreal}) \vee b = c$
 ⟨proof⟩

lemma *ennreal-add-left-cancel-le*: $a + b \leq a + c \longleftrightarrow a = (\infty::\text{ennreal}) \vee b \leq c$
 ⟨proof⟩

lemma *ereal-add-left-cancel-less*:

fixes $a\ b\ c :: \text{ereal}$

shows $0 \leq a \implies 0 \leq b \implies a + b < a + c \longleftrightarrow a \neq \infty \wedge b < c$

⟨proof⟩

lemma *ennreal-add-left-cancel-less*: $a + b < a + c \longleftrightarrow a \neq (\infty::\text{ennreal}) \wedge b < c$

⟨proof⟩

⟨ML⟩

34.4 Order with top

lemma *ennreal-zero-less-top[simp]*: $0 < (\text{top}::\text{ennreal})$
 ⟨proof⟩

lemma *ennreal-one-less-top[simp]*: $1 < (\text{top}::\text{ennreal})$
 ⟨proof⟩

lemma *ennreal-zero-neq-top[simp]*: $0 \neq (\text{top}::\text{ennreal})$
 ⟨proof⟩

lemma *ennreal-top-neq-zero[simp]*: $(\text{top}::\text{ennreal}) \neq 0$
 ⟨proof⟩

lemma *ennreal-top-neq-one[simp]*: $\text{top} \neq (1::\text{ennreal})$
 ⟨proof⟩

lemma *ennreal-one-neq-top[simp]*: $1 \neq (\text{top}::\text{ennreal})$
 ⟨proof⟩

lemma *ennreal-add-less-top[simp]*:

fixes $a\ b :: \text{ennreal}$

shows $a + b < \text{top} \longleftrightarrow a < \text{top} \wedge b < \text{top}$

⟨proof⟩

lemma *ennreal-add-eq-top[simp]*:

fixes $a\ b :: \text{ennreal}$

shows $a + b = \text{top} \longleftrightarrow a = \text{top} \vee b = \text{top}$

⟨proof⟩

lemma *ennreal-setsum-less-top[simp]*:

fixes $f :: 'a \Rightarrow \text{ennreal}$
shows $\text{finite } I \implies (\sum i \in I. f i) < \text{top} \longleftrightarrow (\forall i \in I. f i < \text{top})$
 $\langle \text{proof} \rangle$

lemma *ennreal-setsum-eq-top*[simp]:

fixes $f :: 'a \Rightarrow \text{ennreal}$
shows $\text{finite } I \implies (\sum i \in I. f i) = \text{top} \longleftrightarrow (\exists i \in I. f i = \text{top})$
 $\langle \text{proof} \rangle$

lemma *ennreal-mult-eq-top-iff*:

fixes $a b :: \text{ennreal}$
shows $a * b = \text{top} \longleftrightarrow (a = \text{top} \wedge b \neq 0) \vee (b = \text{top} \wedge a \neq 0)$
 $\langle \text{proof} \rangle$

lemma *ennreal-top-eq-mult-iff*:

fixes $a b :: \text{ennreal}$
shows $\text{top} = a * b \longleftrightarrow (a = \text{top} \wedge b \neq 0) \vee (b = \text{top} \wedge a \neq 0)$
 $\langle \text{proof} \rangle$

lemma *ennreal-mult-less-top*:

fixes $a b :: \text{ennreal}$
shows $a * b < \text{top} \longleftrightarrow (a = 0 \vee b = 0 \vee (a < \text{top} \wedge b < \text{top}))$
 $\langle \text{proof} \rangle$

lemma *top-power-ennreal*: $\text{top} ^ n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{top} :: \text{ennreal})$

$\langle \text{proof} \rangle$

lemma *ennreal-setprod-eq-0*[simp]:

fixes $f :: 'a \Rightarrow \text{ennreal}$
shows $(\text{setprod } f A = 0) = (\text{finite } A \wedge (\exists i \in A. f i = 0))$
 $\langle \text{proof} \rangle$

lemma *ennreal-setprod-eq-top*:

fixes $f :: 'a \Rightarrow \text{ennreal}$
shows $(\prod i \in I. f i) = \text{top} \longleftrightarrow (\text{finite } I \wedge ((\forall i \in I. f i \neq 0) \wedge (\exists i \in I. f i = \text{top})))$
 $\langle \text{proof} \rangle$

lemma *ennreal-top-mult*: $\text{top} * a = (\text{if } a = 0 \text{ then } 0 \text{ else } \text{top} :: \text{ennreal})$

$\langle \text{proof} \rangle$

lemma *ennreal-mult-top*: $a * \text{top} = (\text{if } a = 0 \text{ then } 0 \text{ else } \text{top} :: \text{ennreal})$

$\langle \text{proof} \rangle$

lemma *enn2ereal-eq-top-iff*[simp]: $\text{enn2ereal } x = \infty \longleftrightarrow x = \text{top}$

$\langle \text{proof} \rangle$

lemma *enn2ereal-top*: $\text{enn2ereal } \text{top} = \infty$

$\langle \text{proof} \rangle$

lemma *e2ennreal-infty*: $e2ennreal \ \infty = top$
 ⟨proof⟩

lemma *ennreal-top-minus[simp]*: $top - x = (top::ennreal)$
 ⟨proof⟩

lemma *minus-top-ennreal*: $x - top = (if \ x = top \ then \ top \ else \ 0::ennreal)$
 ⟨proof⟩

lemma *bot-ennreal*: $bot = (0::ennreal)$
 ⟨proof⟩

lemma *ennreal-of-nat-neq-top[simp]*: $of-nat \ i \neq (top::ennreal)$
 ⟨proof⟩

lemma *numeral-eq-of-nat*: $(numeral \ a::ennreal) = of-nat \ (numeral \ a)$
 ⟨proof⟩

lemma *of-nat-less-top*: $of-nat \ i < (top::ennreal)$
 ⟨proof⟩

lemma *top-neq-numeral[simp]*: $top \neq (numeral \ i::ennreal)$
 ⟨proof⟩

lemma *ennreal-numeral-less-top[simp]*: $numeral \ i < (top::ennreal)$
 ⟨proof⟩

lemma *ennreal-add-bot[simp]*: $bot + x = (x::ennreal)$
 ⟨proof⟩

instance *ennreal :: semiring-char-0*
 ⟨proof⟩

34.5 Arithmetic

lemma *ennreal-minus-zero[simp]*: $a - (0::ennreal) = a$
 ⟨proof⟩

lemma *ennreal-add-diff-cancel-right[simp]*:
fixes $x \ y \ z :: ennreal$ **shows** $y \neq top \implies (x + y) - y = x$
 ⟨proof⟩

lemma *ennreal-add-diff-cancel-left[simp]*:
fixes $x \ y \ z :: ennreal$ **shows** $y \neq top \implies (y + x) - y = x$
 ⟨proof⟩

lemma
fixes $a \ b :: ennreal$
shows $a - b = 0 \implies a \leq b$

<proof>

lemma *ennreal-minus-cancel:*

fixes $a\ b\ c :: \text{ennreal}$

shows $c \neq \text{top} \implies a \leq c \implies b \leq c \implies c - a = c - b \implies a = b$

<proof>

lemma *sup-const-add-ennreal:*

fixes $a\ b\ c :: \text{ennreal}$

shows $\text{sup}\ (c + a)\ (c + b) = c + \text{sup}\ a\ b$

<proof>

lemma *ennreal-diff-add-assoc:*

fixes $a\ b\ c :: \text{ennreal}$

shows $a \leq b \implies c + b - a = c + (b - a)$

<proof>

lemma *mult-divide-eq-ennreal:*

fixes $a\ b :: \text{ennreal}$

shows $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$

<proof>

lemma *divide-mult-eq:* $a \neq 0 \implies a \neq \infty \implies x * a / (b * a) = x / (b::\text{ennreal})$

<proof>

lemma *ennreal-mult-divide-eq:*

fixes $a\ b :: \text{ennreal}$

shows $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$

<proof>

lemma *ennreal-add-diff-cancel:*

fixes $a\ b :: \text{ennreal}$

shows $b \neq \infty \implies (a + b) - b = a$

<proof>

lemma *ennreal-minus-eq-0:*

$a - b = 0 \implies a \leq (b::\text{ennreal})$

<proof>

lemma *ennreal-mono-minus-cancel:*

fixes $a\ b\ c :: \text{ennreal}$

shows $a - b \leq a - c \implies a < \text{top} \implies b \leq a \implies c \leq a \implies c \leq b$

<proof>

lemma *ennreal-mono-minus:*

fixes $a\ b\ c :: \text{ennreal}$

shows $c \leq b \implies a - b \leq a - c$

<proof>

lemma *ennreal-minus-pos-iff*:

fixes $a\ b :: \text{ennreal}$

shows $a < \text{top} \vee b < \text{top} \implies 0 < a - b \implies b < a$

<proof>

lemma *ennreal-inverse-top[simp]*: $\text{inverse } \text{top} = (0 :: \text{ennreal})$

<proof>

lemma *ennreal-inverse-zero[simp]*: $\text{inverse } 0 = (\text{top} :: \text{ennreal})$

<proof>

lemma *ennreal-top-divide*: $\text{top} / (x :: \text{ennreal}) = (\text{if } x = \text{top} \text{ then } 0 \text{ else } \text{top})$

<proof>

lemma *ennreal-zero-divide[simp]*: $0 / (x :: \text{ennreal}) = 0$

<proof>

lemma *ennreal-divide-zero[simp]*: $x / (0 :: \text{ennreal}) = (\text{if } x = 0 \text{ then } 0 \text{ else } \text{top})$

<proof>

lemma *ennreal-divide-top[simp]*: $x / (\text{top} :: \text{ennreal}) = 0$

<proof>

lemma *ennreal-times-divide*: $a * (b / c) = a * b / (c :: \text{ennreal})$

<proof>

lemma *ennreal-zero-less-divide*: $0 < a / b \iff (0 < a \wedge b < (\text{top} :: \text{ennreal}))$

<proof>

lemma *divide-right-mono-ennreal*:

fixes $a\ b\ c :: \text{ennreal}$

shows $a \leq b \implies a / c \leq b / c$

<proof>

lemma *ennreal-mult-strict-right-mono*: $(a :: \text{ennreal}) < c \implies 0 < b \implies b < \text{top} \implies a * b < c * b$

<proof>

lemma *ennreal-indicator-less[simp]*:

indicator $A\ x \leq (\text{indicator } B\ x :: \text{ennreal}) \iff (x \in A \longrightarrow x \in B)$

<proof>

lemma *ennreal-inverse-positive*: $0 < \text{inverse } x \iff (x :: \text{ennreal}) \neq \text{top}$

<proof>

lemma *ennreal-inverse-mult'*: $((0 < b \vee a < \text{top}) \wedge (0 < a \vee b < \text{top})) \implies \text{inverse } (a * b :: \text{ennreal}) = \text{inverse } a * \text{inverse } b$

<proof>

lemma *ennreal-inverse-mult*: $a < \text{top} \implies b < \text{top} \implies \text{inverse } (a * b::\text{ennreal}) = \text{inverse } a * \text{inverse } b$

<proof>

lemma *ennreal-inverse-1[simp]*: $\text{inverse } (1::\text{ennreal}) = 1$

<proof>

lemma *ennreal-inverse-eq-0-iff[simp]*: $\text{inverse } (a::\text{ennreal}) = 0 \iff a = \text{top}$

<proof>

lemma *ennreal-inverse-eq-top-iff[simp]*: $\text{inverse } (a::\text{ennreal}) = \text{top} \iff a = 0$

<proof>

lemma *ennreal-divide-eq-0-iff[simp]*: $(a::\text{ennreal}) / b = 0 \iff (a = 0 \vee b = \text{top})$

<proof>

lemma *ennreal-divide-eq-top-iff*: $(a::\text{ennreal}) / b = \text{top} \iff ((a \neq 0 \wedge b = 0) \vee (a = \text{top} \wedge b \neq \text{top}))$

<proof>

lemma *one-divide-one-divide-ennreal[simp]*: $1 / (1 / c) = (c::\text{ennreal})$

including *ennreal.lifting*

<proof>

lemma *ennreal-mult-left-cong*:

$((a::\text{ennreal}) \neq 0 \implies b = c) \implies a * b = a * c$

<proof>

lemma *ennreal-mult-right-cong*:

$((a::\text{ennreal}) \neq 0 \implies b = c) \implies b * a = c * a$

<proof>

lemma *ennreal-zero-less-mult-iff*: $0 < a * b \iff 0 < a \wedge 0 < (b::\text{ennreal})$

<proof>

lemma *less-diff-eq-ennreal*:

fixes $a b c :: \text{ennreal}$

shows $b < \text{top} \vee c < \text{top} \implies a < b - c \iff a + c < b$

<proof>

lemma *diff-add-cancel-ennreal*:

fixes $a b :: \text{ennreal}$ **shows** $a \leq b \implies b - a + a = b$

<proof>

lemma *ennreal-diff-self[simp]*: $a \neq \text{top} \implies a - a = (0::\text{ennreal})$

<proof>

lemma *ennreal-minus-mono*:

fixes $a b c :: \text{ennreal}$

shows $a \leq c \implies d \leq b \implies a - b \leq c - d$
 ⟨proof⟩

lemma *ennreal-minus-eq-top*[simp]: $a - (b::ennreal) = top \iff a = top$
 ⟨proof⟩

lemma *ennreal-divide-self*[simp]: $a \neq 0 \implies a < top \implies a / a = (1::ennreal)$
 ⟨proof⟩

34.6 Coercion from *real* to *ennreal*

lift-definition *ennreal* :: *real* \Rightarrow *ennreal* **is** *sup 0* \circ *ereal*
 ⟨proof⟩

declare [[*coercion ennreal*]]

lemma *ennreal-cases*[*cases type: ennreal*]:
fixes $x :: ennreal$
obtains (*real*) $r :: real$ **where** $0 \leq r$ $x = ennreal\ r$ | (*top*) $x = top$
 ⟨proof⟩

lemmas *ennreal2-cases* = *ennreal-cases*[*case-product ennreal-cases*]
lemmas *ennreal3-cases* = *ennreal-cases*[*case-product ennreal2-cases*]

lemma *ennreal-neq-top*[simp]: *ennreal* $r \neq top$
 ⟨proof⟩

lemma *top-neq-ennreal*[simp]: *top* \neq *ennreal* r
 ⟨proof⟩

lemma *ennreal-less-top*[simp]: *ennreal* $x < top$
 ⟨proof⟩

lemma *ennreal-neg*: $x \leq 0 \implies ennreal\ x = 0$
 ⟨proof⟩

lemma *ennreal-inj*[simp]:
 $0 \leq a \implies 0 \leq b \implies ennreal\ a = ennreal\ b \iff a = b$
 ⟨proof⟩

lemma *ennreal-le-iff*[simp]: $0 \leq y \implies ennreal\ x \leq ennreal\ y \iff x \leq y$
 ⟨proof⟩

lemma *le-ennreal-iff*: $0 \leq r \implies x \leq ennreal\ r \iff (\exists q \geq 0. x = ennreal\ q \wedge q \leq r)$
 ⟨proof⟩

lemma *ennreal-less-iff*: $0 \leq r \implies ennreal\ r < ennreal\ q \iff r < q$
 ⟨proof⟩

lemma *ennreal-eq-zero-iff[simp]*: $0 \leq x \implies \text{ennreal } x = 0 \longleftrightarrow x = 0$
 ⟨proof⟩

lemma *ennreal-less-zero-iff[simp]*: $0 < \text{ennreal } x \longleftrightarrow 0 < x$
 ⟨proof⟩

lemma *ennreal-lessI*: $0 < q \implies r < q \implies \text{ennreal } r < \text{ennreal } q$
 ⟨proof⟩

lemma *ennreal-leI*: $x \leq y \implies \text{ennreal } x \leq \text{ennreal } y$
 ⟨proof⟩

lemma *enn2ereal-ennreal[simp]*: $0 \leq x \implies \text{enn2ereal } (\text{ennreal } x) = x$
 ⟨proof⟩

lemma *e2ennreal-enn2ereal[simp]*: $e2ennreal (\text{enn2ereal } x) = x$
 ⟨proof⟩

lemma *ennreal-0[simp]*: $\text{ennreal } 0 = 0$
 ⟨proof⟩

lemma *ennreal-1[simp]*: $\text{ennreal } 1 = 1$
 ⟨proof⟩

lemma *ennreal-eq-0-iff*: $\text{ennreal } x = 0 \longleftrightarrow x \leq 0$
 ⟨proof⟩

lemma *ennreal-le-iff2*: $\text{ennreal } x \leq \text{ennreal } y \longleftrightarrow ((0 \leq y \wedge x \leq y) \vee (x \leq 0 \wedge y \leq 0))$
 ⟨proof⟩

lemma *ennreal-eq-1[simp]*: $\text{ennreal } x = 1 \longleftrightarrow x = 1$
 ⟨proof⟩

lemma *ennreal-le-1[simp]*: $\text{ennreal } x \leq 1 \longleftrightarrow x \leq 1$
 ⟨proof⟩

lemma *ennreal-ge-1[simp]*: $\text{ennreal } x \geq 1 \longleftrightarrow x \geq 1$
 ⟨proof⟩

lemma *ennreal-plus[simp]*:
 $0 \leq a \implies 0 \leq b \implies \text{ennreal } (a + b) = \text{ennreal } a + \text{ennreal } b$
 ⟨proof⟩

lemma *setsum-ennreal[simp]*: $(\bigwedge i. i \in I \implies 0 \leq f i) \implies (\sum_{i \in I}. \text{ennreal } (f i)) = \text{ennreal } (\text{setsum } f I)$
 ⟨proof⟩

lemma *ennreal-of-nat-eq-real-of-nat*: $of\text{-}nat\ i = ennreal\ (of\text{-}nat\ i)$
 ⟨proof⟩

lemma *of-nat-le-ennreal-iff[simp]*: $0 \leq r \implies of\text{-}nat\ i \leq ennreal\ r \iff of\text{-}nat\ i \leq r$
 ⟨proof⟩

lemma *ennreal-le-of-nat-iff[simp]*: $ennreal\ r \leq of\text{-}nat\ i \iff r \leq of\text{-}nat\ i$
 ⟨proof⟩

lemma *ennreal-indicator*: $ennreal\ (indicator\ A\ x) = indicator\ A\ x$
 ⟨proof⟩

lemma *ennreal-numeral[simp]*: $ennreal\ (numeral\ n) = numeral\ n$
 ⟨proof⟩

lemma *min-ennreal*: $0 \leq x \implies 0 \leq y \implies min\ (ennreal\ x)\ (ennreal\ y) = ennreal\ (min\ x\ y)$
 ⟨proof⟩

lemma *ennreal-half[simp]*: $ennreal\ (1/2) = inverse\ 2$
 ⟨proof⟩

lemma *ennreal-minus*: $0 \leq q \implies ennreal\ r - ennreal\ q = ennreal\ (r - q)$
 ⟨proof⟩

lemma *ennreal-minus-top[simp]*: $ennreal\ a - top = 0$
 ⟨proof⟩

lemma *ennreal-mult*: $0 \leq a \implies 0 \leq b \implies ennreal\ (a * b) = ennreal\ a * ennreal\ b$
 ⟨proof⟩

lemma *ennreal-mult'*: $0 \leq a \implies ennreal\ (a * b) = ennreal\ a * ennreal\ b$
 ⟨proof⟩

lemma *indicator-mult-ennreal*: $indicator\ A\ x * ennreal\ r = ennreal\ (indicator\ A\ x * r)$
 ⟨proof⟩

lemma *ennreal-mult''*: $0 \leq b \implies ennreal\ (a * b) = ennreal\ a * ennreal\ b$
 ⟨proof⟩

lemma *numeral-mult-ennreal*: $0 \leq x \implies numeral\ b * ennreal\ x = ennreal\ (numeral\ b * x)$
 ⟨proof⟩

lemma *ennreal-power*: $0 \leq r \implies ennreal\ r \wedge n = ennreal\ (r \wedge n)$
 ⟨proof⟩

lemma *power-eq-top-ennreal*: $x \wedge n = \text{top} \iff (n \neq 0 \wedge (x::\text{ennreal}) = \text{top})$
 ⟨proof⟩

lemma *inverse-ennreal*: $0 < r \implies \text{inverse} (\text{ennreal } r) = \text{ennreal} (\text{inverse } r)$
 ⟨proof⟩

lemma *divide-ennreal*: $0 \leq r \implies 0 < q \implies \text{ennreal } r / \text{ennreal } q = \text{ennreal} (r / q)$
 ⟨proof⟩

lemma *ennreal-inverse-power*: $\text{inverse} (x \wedge n :: \text{ennreal}) = \text{inverse } x \wedge n$
 ⟨proof⟩

lemma *ennreal-divide-numeral*: $0 \leq x \implies \text{ennreal } x / \text{numeral } b = \text{ennreal} (x / \text{numeral } b)$
 ⟨proof⟩

lemma *setprod-ennreal*: $(\bigwedge i. i \in A \implies 0 \leq f i) \implies (\prod_{i \in A}. \text{ennreal} (f i)) = \text{ennreal} (\text{setprod } f A)$
 ⟨proof⟩

lemma *mult-right-ennreal-cancel*: $a * \text{ennreal } c = b * \text{ennreal } c \iff (a = b \vee c \leq 0)$
 ⟨proof⟩

lemma *ennreal-le-epsilon*:
 $(\bigwedge e::\text{real}. y < \text{top} \implies 0 < e \implies x \leq y + \text{ennreal } e) \implies x \leq y$
 ⟨proof⟩

lemma *ennreal-rat-dense*:
fixes $x y :: \text{ennreal}$
shows $x < y \implies \exists r::\text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$
 ⟨proof⟩

lemma *ennreal-Ex-less-of-nat*: $(x::\text{ennreal}) < \text{top} \implies \exists n. x < \text{of-nat } n$
 ⟨proof⟩

34.7 Coercion from *ennreal* to *real*

definition $\text{enn2real } x = \text{real-of-ereal} (\text{enn2ereal } x)$

lemma *enn2real-nonneg[simp]*: $0 \leq \text{enn2real } x$
 ⟨proof⟩

lemma *enn2real-mono*: $a \leq b \implies b < \text{top} \implies \text{enn2real } a \leq \text{enn2real } b$
 ⟨proof⟩

lemma *enn2real-of-nat[simp]*: $\text{enn2real} (\text{of-nat } n) = n$

<proof>

lemma *enn2real-ennreal[simp]*: $0 \leq r \implies \text{enn2real} (\text{ennreal } r) = r$
<proof>

lemma *ennreal-enn2real[simp]*: $r < \text{top} \implies \text{ennreal} (\text{enn2real } r) = r$
<proof>

lemma *real-of-ereal-enn2ereal[simp]*: $\text{real-of-ereal} (\text{enn2ereal } x) = \text{enn2real } x$
<proof>

lemma *enn2real-top[simp]*: $\text{enn2real } \text{top} = 0$
<proof>

lemma *enn2real-0[simp]*: $\text{enn2real } 0 = 0$
<proof>

lemma *enn2real-1[simp]*: $\text{enn2real } 1 = 1$
<proof>

lemma *enn2real-numeral[simp]*: $\text{enn2real} (\text{numeral } n) = (\text{numeral } n)$
<proof>

lemma *enn2real-mult*: $\text{enn2real} (a * b) = \text{enn2real } a * \text{enn2real } b$
<proof>

lemma *enn2real-leI*: $0 \leq B \implies x \leq \text{ennreal } B \implies \text{enn2real } x \leq B$
<proof>

lemma *enn2real-positive-iff*: $0 < \text{enn2real } x \iff (0 < x \wedge x < \text{top})$
<proof>

34.8 Coercion from *enat* to *ennreal*

definition *ennreal-of-enat* :: *enat* \Rightarrow *ennreal*

where

ennreal-of-enat $n = (\text{case } n \text{ of } \infty \Rightarrow \text{top} \mid \text{enat } n \Rightarrow \text{of-nat } n)$

declare [[*coercion* *ennreal-of-enat*]]

declare [[*coercion* *of-nat* :: *nat* \Rightarrow *ennreal*]]

lemma *ennreal-of-enat-infty[simp]*: $\text{ennreal-of-enat } \infty = \infty$
<proof>

lemma *ennreal-of-enat-enat[simp]*: $\text{ennreal-of-enat} (\text{enat } n) = \text{of-nat } n$
<proof>

lemma *ennreal-of-enat-0[simp]*: $\text{ennreal-of-enat } 0 = 0$
<proof>

lemma *ennreal-of-enat-1*[simp]: *ennreal-of-enat 1 = 1*
 ⟨proof⟩

lemma *ennreal-top-neq-of-nat*[simp]: *(top::ennreal) ≠ of-nat i*
 ⟨proof⟩

lemma *ennreal-of-enat-inj*[simp]: *ennreal-of-enat i = ennreal-of-enat j ⟷ i = j*
 ⟨proof⟩

lemma *ennreal-of-enat-le-iff*[simp]: *ennreal-of-enat m ≤ ennreal-of-enat n ⟷ m ≤ n*
 ⟨proof⟩

lemma *of-nat-less-ennreal-of-nat*[simp]: *of-nat n ≤ ennreal-of-enat x ⟷ of-nat n ≤ x*
 ⟨proof⟩

lemma *ennreal-of-enat-Sup*: *ennreal-of-enat (Sup X) = (SUP x:X. ennreal-of-enat x)*
 ⟨proof⟩

lemma *ennreal-of-enat-eSuc*[simp]: *ennreal-of-enat (eSuc x) = 1 + ennreal-of-enat x*
 ⟨proof⟩

34.9 Topology on *ennreal*

lemma *enn2ereal-Iio*: *enn2ereal -‘ {..} = (if 0 ≤ a then {..< e2ennreal a} else {})*
 ⟨proof⟩

lemma *enn2ereal-Ioi*: *enn2ereal -‘ {a <..} = (if 0 ≤ a then {e2ennreal a <..} else UNIV)*
 ⟨proof⟩

instantiation *ennreal* :: *linear-continuum-topology*
begin

definition *open-ennreal* :: *ennreal set ⇒ bool*
where (*open* :: *ennreal set ⇒ bool*) = *generate-topology (range lessThan ∪ range greaterThan)*

instance
 ⟨proof⟩

end

lemma *continuous-on-e2ennreal*: *continuous-on A e2ennreal*

<proof>

lemma *continuous-at-e2ennreal*: *continuous (at x within A) e2ennreal*
<proof>

lemma *continuous-on-enn2ereal*: *continuous-on UNIV enn2ereal*
<proof>

lemma *continuous-at-enn2ereal*: *continuous (at x within A) enn2ereal*
<proof>

lemma *sup-continuous-e2ennreal*[*order-continuous-intros*]:
assumes f: sup-continuous f shows sup-continuous ($\lambda x. e2ennreal (f x)$)
<proof>

lemma *sup-continuous-enn2ereal*[*order-continuous-intros*]:
assumes f: sup-continuous f shows sup-continuous ($\lambda x. enn2ereal (f x)$)
<proof>

lemma *sup-continuous-mult-left-ennreal'*:
fixes c :: ennreal
*shows sup-continuous ($\lambda x. c * x$)*
<proof>

lemma *sup-continuous-mult-left-ennreal*[*order-continuous-intros*]:
*sup-continuous f \implies sup-continuous ($\lambda x. c * f x :: ennreal$)*
<proof>

lemma *sup-continuous-mult-right-ennreal*[*order-continuous-intros*]:
*sup-continuous f \implies sup-continuous ($\lambda x. f x * c :: ennreal$)*
<proof>

lemma *sup-continuous-divide-ennreal*[*order-continuous-intros*]:
fixes f g :: 'a::complete-lattice \Rightarrow ennreal
shows sup-continuous f \implies sup-continuous ($\lambda x. f x / c$)
<proof>

lemma *transfer-enn2ereal-continuous-on* [*transfer-rule*]:
rel-fun (op =) (rel-fun (rel-fun op = pcr-ennreal) op =) continuous-on continuous-on
<proof>

lemma *transfer-sup-continuous*[*transfer-rule*]:
(rel-fun (rel-fun (op =) pcr-ennreal) op =) sup-continuous sup-continuous
<proof>

lemma *continuous-on-ennreal*[*tendsto-intros*]:
continuous-on A f \implies continuous-on A ($\lambda x. ennreal (f x)$)
<proof>

lemma *tendsto-ennrealD*:

assumes *lim*: $((\lambda x. \text{ennreal } (f x)) \longrightarrow \text{ennreal } x) F$

assumes $*$: $\forall_F x \text{ in } F. 0 \leq f x \text{ and } x: 0 \leq x$

shows $(f \longrightarrow x) F$

<proof>

lemma *tendsto-ennreal-iff[simp]*:

$\forall_F x \text{ in } F. 0 \leq f x \implies 0 \leq x \implies ((\lambda x. \text{ennreal } (f x)) \longrightarrow \text{ennreal } x) F \longleftrightarrow (f \longrightarrow x) F$

<proof>

lemma *tendsto-enn2ereal-iff[simp]*: $((\lambda i. \text{enn2ereal } (f i)) \longrightarrow \text{enn2ereal } x) F$

$\longleftrightarrow (f \longrightarrow x) F$

<proof>

lemma *continuous-on-add-ennreal*:

fixes $f g :: 'a::\text{topological-space} \Rightarrow \text{ennreal}$

shows $\text{continuous-on } A f \implies \text{continuous-on } A g \implies \text{continuous-on } A (\lambda x. f x + g x)$

<proof>

lemma *continuous-on-inverse-ennreal[continuous-intros]*:

fixes $f :: 'a::\text{topological-space} \Rightarrow \text{ennreal}$

shows $\text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. \text{inverse } (f x))$

<proof>

instance *ennreal :: topological-comm-monoid-add*

<proof>

lemma *sup-continuous-add-ennreal[order-continuous-intros]*:

fixes $f g :: 'a::\text{complete-lattice} \Rightarrow \text{ennreal}$

shows $\text{sup-continuous } f \implies \text{sup-continuous } g \implies \text{sup-continuous } (\lambda x. f x + g x)$

<proof>

lemma *ennreal-suminf-lessD*: $(\sum i. f i :: \text{ennreal}) < x \implies f i < x$

<proof>

lemma *sums-ennreal[simp]*: $(\bigwedge i. 0 \leq f i) \implies 0 \leq x \implies (\lambda i. \text{ennreal } (f i)) \text{ sums } \text{ennreal } x \longleftrightarrow f \text{ sums } x$

<proof>

lemma *summable-suminf-not-top*: $(\bigwedge i. 0 \leq f i) \implies (\sum i. \text{ennreal } (f i)) \neq \text{top} \implies \text{summable } f$

<proof>

lemma *suminf-ennreal[simp]*:

$(\bigwedge i. 0 \leq f i) \implies (\sum i. \text{ennreal } (f i)) \neq \text{top} \implies (\sum i. \text{ennreal } (f i)) = \text{ennreal } (\sum i. f i)$

<proof>

lemma *sums-enn2ereal[simp]*: $(\lambda i. \text{enn2ereal } (f i)) \text{ sums } \text{enn2ereal } x \longleftrightarrow f \text{ sums } x$
<proof>

lemma *suminf-enn2ereal[simp]*: $(\sum i. \text{enn2ereal } (f i)) = \text{enn2ereal } (\text{suminf } f)$
<proof>

lemma *transfer-e2ennreal-suminf [transfer-rule]*: *rel-fun* (*rel-fun op = pcr-ennreal*)
pcr-ennreal suminf suminf
<proof>

lemma *ennreal-suminf-cmult[simp]*: $(\sum i. r * f i) = r * (\sum i. f i::\text{ennreal})$
<proof>

lemma *ennreal-suminf-multc[simp]*: $(\sum i. f i * r) = (\sum i. f i::\text{ennreal}) * r$
<proof>

lemma *ennreal-suminf-divide[simp]*: $(\sum i. f i / r) = (\sum i. f i::\text{ennreal}) / r$
<proof>

lemma *ennreal-suminf-neq-top*: *summable* *f* $\implies (\bigwedge i. 0 \leq f i) \implies (\sum i. \text{ennreal } (f i)) \neq \text{top}$
<proof>

lemma *suminf-ennreal-eq*:
 $(\bigwedge i. 0 \leq f i) \implies f \text{ sums } x \implies (\sum i. \text{ennreal } (f i)) = \text{ennreal } x$
<proof>

lemma *ennreal-suminf-bound-add*:
fixes *f* :: *nat* \Rightarrow *ennreal*
shows $(\bigwedge N. (\sum n < N. f n) + y \leq x) \implies \text{suminf } f + y \leq x$
<proof>

lemma *ennreal-suminf-SUP-eq-directed*:
fixes *f* :: '*a* \Rightarrow *nat* \Rightarrow *ennreal*
assumes *: $\bigwedge N i j. i \in I \implies j \in I \implies \text{finite } N \implies \exists k \in I. \forall n \in N. f i n \leq f k n \wedge f j n \leq f k n$
shows $(\sum n. \text{SUP } i:I. f i n) = (\text{SUP } i:I. \sum n. f i n)$
<proof>

lemma *INF-ennreal-add-const*:
fixes *f g* :: *nat* \Rightarrow *ennreal*
shows $(\text{INF } i. f i + c) = (\text{INF } i. f i) + c$
<proof>

lemma *INF-ennreal-const-add*:
fixes *f g* :: *nat* \Rightarrow *ennreal*

shows $(\text{INF } i. c + f i) = c + (\text{INF } i. f i)$
 ⟨proof⟩

lemma *SUP-mult-left-ennreal*: $c * (\text{SUP } i:I. f i) = (\text{SUP } i:I. c * f i :: \text{ennreal})$
 ⟨proof⟩

lemma *SUP-mult-right-ennreal*: $(\text{SUP } i:I. f i) * c = (\text{SUP } i:I. f i * c :: \text{ennreal})$
 ⟨proof⟩

lemma *SUP-divide-ennreal*: $(\text{SUP } i:I. f i) / c = (\text{SUP } i:I. f i / c :: \text{ennreal})$
 ⟨proof⟩

lemma *ennreal-SUP-of-nat-eq-top*: $(\text{SUP } x. \text{of-nat } x :: \text{ennreal}) = \text{top}$
 ⟨proof⟩

lemma *ennreal-SUP-eq-top*:
fixes $f :: 'a \Rightarrow \text{ennreal}$
assumes $\bigwedge n. \exists i \in I. \text{of-nat } n \leq f i$
shows $(\text{SUP } i : I. f i) = \text{top}$
 ⟨proof⟩

lemma *ennreal-INF-const-minus*:
fixes $f :: 'a \Rightarrow \text{ennreal}$
shows $I \neq \{\} \implies (\text{SUP } x:I. c - f x) = c - (\text{INF } x:I. f x)$
 ⟨proof⟩

lemma *of-nat-Sup-ennreal*:
assumes $A \neq \{\}$ *bdd-above* A
shows $\text{of-nat } (\text{Sup } A) = (\text{SUP } a:A. \text{of-nat } a :: \text{ennreal})$
 ⟨proof⟩

lemma *ennreal-tendsto-const-minus*:
fixes $g :: 'a \Rightarrow \text{ennreal}$
assumes $ae: \forall_F x \text{ in } F. g x \leq c$
assumes $g: ((\lambda x. c - g x) \longrightarrow 0) F$
shows $(g \longrightarrow c) F$
 ⟨proof⟩

lemma *ennreal-SUP-add*:
fixes $f g :: \text{nat} \Rightarrow \text{ennreal}$
shows $\text{incseq } f \implies \text{incseq } g \implies (\text{SUP } i. f i + g i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$
 ⟨proof⟩

lemma *ennreal-SUP-setsum*:
fixes $f :: 'a \Rightarrow \text{nat} \Rightarrow \text{ennreal}$
shows $(\bigwedge i. i \in I \implies \text{incseq } (f i)) \implies (\text{SUP } n. \sum i \in I. f i n) = (\sum i \in I. \text{SUP } n. f i n)$
 ⟨proof⟩

lemma *ennreal-liminf-minus*:

fixes $f :: \text{nat} \Rightarrow \text{ennreal}$

shows $(\bigwedge n. f\ n \leq c) \implies \text{liminf } (\lambda n. c - f\ n) = c - \text{limsup } f$

<proof>

lemma *ennreal-continuous-on-cmult*:

$(c :: \text{ennreal}) < \text{top} \implies \text{continuous-on } A\ f \implies \text{continuous-on } A\ (\lambda x. c * f\ x)$

<proof>

lemma *ennreal-tendsto-cmult*:

$(c :: \text{ennreal}) < \text{top} \implies (f \longrightarrow x)\ F \implies ((\lambda x. c * f\ x) \longrightarrow c * x)\ F$

<proof>

lemma *tendsto-ennrealI[intro, simp]*:

$(f \longrightarrow x)\ F \implies ((\lambda x. \text{ennreal } (f\ x)) \longrightarrow \text{ennreal } x)\ F$

<proof>

lemma *ennreal-suminf-minus*:

fixes $f\ g :: \text{nat} \Rightarrow \text{ennreal}$

shows $(\bigwedge i. g\ i \leq f\ i) \implies \text{suminf } f \neq \text{top} \implies \text{suminf } g \neq \text{top} \implies (\sum i. f\ i - g\ i) = \text{suminf } f - \text{suminf } g$

<proof>

lemma *ennreal-Sup-countable-SUP*:

$A \neq \{\}\ \implies \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{incseq } f \wedge \text{range } f \subseteq A \wedge \text{Sup } A = (\text{SUP } i. f\ i)$

<proof>

lemma *ennreal-SUP-countable-SUP*:

$A \neq \{\}\ \implies \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{range } f \subseteq g\ A \wedge \text{SUPRENUM } A\ g = \text{SUPRENUM UNIV } f$

<proof>

lemma *of-nat-tendsto-top-ennreal*: $(\lambda n :: \text{nat}. \text{of-nat } n :: \text{ennreal}) \longrightarrow \text{top}$

<proof>

lemma *SUP-sup-continuous-ennreal*:

fixes $f :: \text{ennreal} \Rightarrow 'a :: \text{complete-lattice}$

assumes $f: \text{sup-continuous } f$ **and** $I \neq \{\}$

shows $(\text{SUP } i:I. f\ (g\ i)) = f\ (\text{SUP } i:I. g\ i)$

<proof>

lemma *ennreal-suminf-SUP-eq*:

fixes $f :: \text{nat} \Rightarrow \text{ennreal}$

shows $(\bigwedge i. \text{incseq } (\lambda n. f\ n\ i)) \implies (\sum i. \text{SUP } n. f\ n\ i) = (\text{SUP } n. \sum i. f\ n\ i)$

<proof>

lemma *ennreal-SUP-add-left*:

fixes $c :: \text{ennreal}$

shows $I \neq \{\}$ $\implies (SUP\ i:I. f\ i + c) = (SUP\ i:I. f\ i) + c$
 ⟨proof⟩

lemma *ennreal-SUP-const-minus*:

fixes $f :: 'a \Rightarrow ennreal$

shows $I \neq \{\}$ $\implies c < top \implies (INF\ x:I. c - f\ x) = c - (SUP\ x:I. f\ x)$
 ⟨proof⟩

34.10 Approximation lemmas

lemma *INF-approx-ennreal*:

fixes $x::ennreal$ **and** $e::real$

assumes $e > 0$

assumes $INF: x = (INF\ i : A. f\ i)$

assumes $x \neq \infty$

shows $\exists i \in A. f\ i < x + e$

⟨proof⟩

lemma *SUP-approx-ennreal*:

fixes $x::ennreal$ **and** $e::real$

assumes $e > 0$ $A \neq \{\}$

assumes $SUP: x = (SUP\ i : A. f\ i)$

assumes $x \neq \infty$

shows $\exists i \in A. x < f\ i + e$

⟨proof⟩

lemma *ennreal-approx-SUP*:

fixes $x::ennreal$

assumes $f\text{-bound}: \bigwedge i. i \in A \implies f\ i \leq x$

assumes $approx: \bigwedge e. (e::real) > 0 \implies \exists i \in A. x \leq f\ i + e$

shows $x = (SUP\ i : A. f\ i)$

⟨proof⟩

lemma *ennreal-approx-INF*:

fixes $x::ennreal$

assumes $f\text{-bound}: \bigwedge i. i \in A \implies x \leq f\ i$

assumes $approx: \bigwedge e. (e::real) > 0 \implies \exists i \in A. f\ i \leq x + e$

shows $x = (INF\ i : A. f\ i)$

⟨proof⟩

lemma *ennreal-approx-unit*:

$(\bigwedge a::ennreal. 0 < a \implies a < 1 \implies a * z \leq y) \implies z \leq y$

⟨proof⟩

lemma *suminf-ennreal2*:

$(\bigwedge i. 0 \leq f\ i) \implies \text{summable } f \implies (\sum i. ennreal\ (f\ i)) = ennreal\ (\sum i. f\ i)$

⟨proof⟩

lemma *less-top-ennreal*: $x < top \iff (\exists r \geq 0. x = ennreal\ r)$

<proof>

lemma *tendsto-top-iff-ennreal*:

fixes $f :: 'a \Rightarrow \text{ennreal}$

shows $(f \longrightarrow \text{top}) F \longleftrightarrow (\forall l \geq 0. \text{eventually } (\lambda x. \text{ennreal } l < f x) F)$

<proof>

lemma *ennreal-tendsto-top-eq-at-top*:

$((\lambda z. \text{ennreal } (f z)) \longrightarrow \text{top}) F \longleftrightarrow (\text{LIM } z F. f z :> \text{at-top})$

<proof>

lemma *tendsto-0-if-Limsup-eq-0-ennreal*:

fixes $f :: - \Rightarrow \text{ennreal}$

shows $\text{Limsup } F f = 0 \implies (f \longrightarrow 0) F$

<proof>

lemma *diff-le-self-ennreal[simp]*: $a - b \leq (a :: \text{ennreal})$

<proof>

lemma *ennreal-ineq-diff-add*: $b \leq a \implies a = b + (a - b :: \text{ennreal})$

<proof>

lemma *ennreal-mult-strict-left-mono*: $(a :: \text{ennreal}) < c \implies 0 < b \implies b < \text{top} \implies b * a < b * c$

<proof>

lemma *ennreal-between*: $0 < e \implies 0 < x \implies x < \text{top} \implies x - e < (x :: \text{ennreal})$

<proof>

lemma *minus-less-iff-ennreal*: $b < \text{top} \implies b \leq a \implies a - b < c \longleftrightarrow a < c + (b :: \text{ennreal})$

<proof>

lemma *tendsto-zero-ennreal*:

assumes $ev: \bigwedge r. 0 < r \implies \forall_F x \text{ in } F. f x < \text{ennreal } r$

shows $(f \longrightarrow 0) F$

<proof>

lifting-update *ennreal.lifting*

lifting-forget *ennreal.lifting*

end

35 A generic phantom type

theory *Phantom-Type*

imports *Main*

begin

datatype ('a, 'b) phantom = phantom (of-phantom: 'b)

lemma type-definition-phantom': type-definition of-phantom phantom UNIV
 ⟨proof⟩

lemma phantom-comp-of-phantom [simp]: phantom \circ of-phantom = id
 and of-phantom-comp-phantom [simp]: of-phantom \circ phantom = id
 ⟨proof⟩

syntax -Phantom :: type \Rightarrow logic ((1Phantom/(1'(-'))))

translations

Phantom('t) \Rightarrow CONST phantom :: - \Rightarrow ('t, -) phantom

⟨ML⟩

lemma of-phantom-inject [simp]:
 of-phantom x = of-phantom y \longleftrightarrow x = y
 ⟨proof⟩

end

36 Cardinality of types

theory Cardinality

imports Phantom-Type

begin

36.1 Preliminary lemmas

lemma (in type-definition) univ:

UNIV = Abs ' A

⟨proof⟩

lemma (in type-definition) card: card (UNIV :: 'b set) = card A

⟨proof⟩

lemma finite-range-Some: finite (range (Some :: 'a \Rightarrow 'a option)) = finite (UNIV
 :: 'a set)

⟨proof⟩

lemma infinite-literal: \neg finite (UNIV :: String.literal set)

⟨proof⟩

36.2 Cardinalities of types

syntax -type-card :: type \Rightarrow nat ((1CARD/(1'(-'))))

translations CARD('t) \Rightarrow CONST card (CONST UNIV :: 't set)

⟨ML⟩

lemma *card-prod* [simp]: $CARD('a \times 'b) = CARD('a) * CARD('b)$
 ⟨proof⟩

lemma *card-UNIV-sum*: $CARD('a + 'b) = (if\ CARD('a) \neq 0 \wedge CARD('b) \neq 0$
then $CARD('a) + CARD('b)$ *else* $0)$
 ⟨proof⟩

lemma *card-sum* [simp]: $CARD('a + 'b) = CARD('a::finite) + CARD('b::finite)$
 ⟨proof⟩

lemma *card-UNIV-option*: $CARD('a\ option) = (if\ CARD('a) = 0$ *then* 0 *else*
 $CARD('a) + 1)$
 ⟨proof⟩

lemma *card-option* [simp]: $CARD('a\ option) = Suc\ CARD('a::finite)$
 ⟨proof⟩

lemma *card-UNIV-set*: $CARD('a\ set) = (if\ CARD('a) = 0$ *then* 0 *else* $2 \wedge CARD('a)$
 ⟨proof⟩

lemma *card-set* [simp]: $CARD('a\ set) = 2 \wedge CARD('a::finite)$
 ⟨proof⟩

lemma *card-nat* [simp]: $CARD(nat) = 0$
 ⟨proof⟩

lemma *card-fun*: $CARD('a \Rightarrow 'b) = (if\ CARD('a) \neq 0 \wedge CARD('b) \neq 0 \vee$
 $CARD('b) = 1$ *then* $CARD('b) \wedge CARD('a)$ *else* $0)$
 ⟨proof⟩

corollary *finite-UNIV-fun*:

$finite\ (UNIV :: ('a \Rightarrow 'b)\ set) \longleftrightarrow$
 $finite\ (UNIV :: 'a\ set) \wedge finite\ (UNIV :: 'b\ set) \vee CARD('b) = 1$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *card-literal*: $CARD(String.literal) = 0$
 ⟨proof⟩

36.3 Classes with at least 1 and 2

Class *finite* already captures “at least 1”

lemma *zero-less-card-finite* [simp]: $0 < CARD('a::finite)$
 ⟨proof⟩

lemma *one-le-card-finite* [simp]: $Suc\ 0 \leq CARD('a::finite)$
 ⟨proof⟩

Class for cardinality ”at least 2”

```
class card2 = finite +
  assumes two-le-card:  $2 \leq \text{CARD}('a)$ 
```

```
lemma one-less-card:  $\text{Suc } 0 < \text{CARD}('a::\text{card2})$ 
  <proof>
```

```
lemma one-less-int-card:  $1 < \text{int } \text{CARD}('a::\text{card2})$ 
  <proof>
```

36.4 A type class for deciding finiteness of types

```
type-synonym 'a finite-UNIV = ('a, bool) phantom
```

```
class finite-UNIV =
  fixes finite-UNIV :: ('a, bool) phantom
  assumes finite-UNIV: finite-UNIV = Phantom('a) (finite (UNIV :: 'a set))
```

```
lemma finite-UNIV-code [code-unfold]:
  finite (UNIV :: 'a :: finite-UNIV set)
   $\longleftrightarrow$  of-phantom (finite-UNIV :: 'a finite-UNIV)
  <proof>
```

36.5 A type class for computing the cardinality of types

```
definition is-list-UNIV :: 'a list  $\Rightarrow$  bool
where is-list-UNIV xs = (let c =  $\text{CARD}('a)$  in if c = 0 then False else size
  (remdups xs) = c)
```

```
lemma is-list-UNIV-iff: is-list-UNIV xs  $\longleftrightarrow$  set xs = UNIV
  <proof>
```

```
type-synonym 'a card-UNIV = ('a, nat) phantom
```

```
class card-UNIV = finite-UNIV +
  fixes card-UNIV :: 'a card-UNIV
  assumes card-UNIV: card-UNIV = Phantom('a)  $\text{CARD}('a)$ 
```

36.6 Instantiations for *card-UNIV*

```
instantiation nat :: card-UNIV begin
definition finite-UNIV = Phantom(nat) False
definition card-UNIV = Phantom(nat) 0
instance <proof>
end
```

```
instantiation int :: card-UNIV begin
definition finite-UNIV = Phantom(int) False
definition card-UNIV = Phantom(int) 0
```

```
instance  $\langle proof \rangle$ 
end
```

```
instantiation natural :: card-UNIV begin
definition finite-UNIV = Phantom(natural) False
definition card-UNIV = Phantom(natural) 0
instance
   $\langle proof \rangle$ 
end
```

```
instantiation integer :: card-UNIV begin
definition finite-UNIV = Phantom(integer) False
definition card-UNIV = Phantom(integer) 0
instance
   $\langle proof \rangle$ 
end
```

```
instantiation list :: (type) card-UNIV begin
definition finite-UNIV = Phantom('a list) False
definition card-UNIV = Phantom('a list) 0
instance  $\langle proof \rangle$ 
end
```

```
instantiation unit :: card-UNIV begin
definition finite-UNIV = Phantom(unit) True
definition card-UNIV = Phantom(unit) 1
instance  $\langle proof \rangle$ 
end
```

```
instantiation bool :: card-UNIV begin
definition finite-UNIV = Phantom(bool) True
definition card-UNIV = Phantom(bool) 2
instance  $\langle proof \rangle$ 
end
```

```
instantiation char :: card-UNIV begin
definition finite-UNIV = Phantom(char) True
definition card-UNIV = Phantom(char) 256
instance  $\langle proof \rangle$ 
end
```

```
instantiation prod :: (finite-UNIV, finite-UNIV) finite-UNIV begin
definition finite-UNIV = Phantom('a  $\times$  'b
  (of-phantom (finite-UNIV :: 'a finite-UNIV)  $\wedge$  of-phantom (finite-UNIV :: 'b
finite-UNIV))
instance  $\langle proof \rangle$ 
end
```

```
instantiation prod :: (card-UNIV, card-UNIV) card-UNIV begin
```

definition *card-UNIV* = *Phantom*('a × 'b)
 (*of-phantom* (*card-UNIV* :: 'a *card-UNIV*) * *of-phantom* (*card-UNIV* :: 'b *card-UNIV*))
instance ⟨*proof*⟩
end

instantiation *sum* :: (*finite-UNIV*, *finite-UNIV*) *finite-UNIV* **begin**
definition *finite-UNIV* = *Phantom*('a + 'b)
 (*of-phantom* (*finite-UNIV* :: 'a *finite-UNIV*) ∧ *of-phantom* (*finite-UNIV* :: 'b
finite-UNIV))
instance
 ⟨*proof*⟩
end

instantiation *sum* :: (*card-UNIV*, *card-UNIV*) *card-UNIV* **begin**
definition *card-UNIV* = *Phantom*('a + 'b)
 (*let* *ca* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*);
 cb = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)
 in if *ca* ≠ 0 ∧ *cb* ≠ 0 *then* *ca* + *cb* *else* 0)
instance ⟨*proof*⟩
end

instantiation *fun* :: (*finite-UNIV*, *card-UNIV*) *finite-UNIV* **begin**
definition *finite-UNIV* = *Phantom*('a ⇒ 'b)
 (*let* *cb* = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)
 in *cb* = 1 ∨ *of-phantom* (*finite-UNIV* :: 'a *finite-UNIV*) ∧ *cb* ≠ 0)
instance
 ⟨*proof*⟩
end

instantiation *fun* :: (*card-UNIV*, *card-UNIV*) *card-UNIV* **begin**
definition *card-UNIV* = *Phantom*('a ⇒ 'b)
 (*let* *ca* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*);
 cb = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)
 in if *ca* ≠ 0 ∧ *cb* ≠ 0 ∨ *cb* = 1 *then* *cb* ^ *ca* *else* 0)
instance ⟨*proof*⟩
end

instantiation *option* :: (*finite-UNIV*) *finite-UNIV* **begin**
definition *finite-UNIV* = *Phantom*('a *option*) (*of-phantom* (*finite-UNIV* :: 'a
finite-UNIV))
instance ⟨*proof*⟩
end

instantiation *option* :: (*card-UNIV*) *card-UNIV* **begin**
definition *card-UNIV* = *Phantom*('a *option*)
 (*let* *c* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*) *in if* *c* ≠ 0 *then* *Suc* *c* *else* 0)
instance ⟨*proof*⟩
end

```

instantiation String.literal :: card-UNIV begin
definition finite-UNIV = Phantom(String.literal) False
definition card-UNIV = Phantom(String.literal) 0
instance
  ⟨proof⟩
end

instantiation set :: (finite-UNIV) finite-UNIV begin
definition finite-UNIV = Phantom('a set) (of-phantom (finite-UNIV :: 'a finite-UNIV))
instance ⟨proof⟩
end

instantiation set :: (card-UNIV) card-UNIV begin
definition card-UNIV = Phantom('a set)
  (let c = of-phantom (card-UNIV :: 'a card-UNIV) in if c = 0 then 0 else 2 ^ c)
instance ⟨proof⟩
end

lemma UNIV-finite-1: UNIV = set [finite-1.a1]
  ⟨proof⟩

lemma UNIV-finite-2: UNIV = set [finite-2.a1, finite-2.a2]
  ⟨proof⟩

lemma UNIV-finite-3: UNIV = set [finite-3.a1, finite-3.a2, finite-3.a3]
  ⟨proof⟩

lemma UNIV-finite-4: UNIV = set [finite-4.a1, finite-4.a2, finite-4.a3, finite-4.a4]
  ⟨proof⟩

lemma UNIV-finite-5:
  UNIV = set [finite-5.a1, finite-5.a2, finite-5.a3, finite-5.a4, finite-5.a5]
  ⟨proof⟩

instantiation Enum.finite-1 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-1) True
definition card-UNIV = Phantom(Enum.finite-1) 1
instance
  ⟨proof⟩
end

instantiation Enum.finite-2 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-2) True
definition card-UNIV = Phantom(Enum.finite-2) 2
instance
  ⟨proof⟩
end

instantiation Enum.finite-3 :: card-UNIV begin

```


definition *finite-UNIV* = *Phantom(Enum.finite-3) True*

definition *card-UNIV* = *Phantom(Enum.finite-3) 3*

instance

<proof>

end

instantiation *Enum.finite-4* :: *card-UNIV begin*

definition *finite-UNIV* = *Phantom(Enum.finite-4) True*

definition *card-UNIV* = *Phantom(Enum.finite-4) 4*

instance

<proof>

end

instantiation *Enum.finite-5* :: *card-UNIV begin*

definition *finite-UNIV* = *Phantom(Enum.finite-5) True*

definition *card-UNIV* = *Phantom(Enum.finite-5) 5*

instance

<proof>

end

36.7 Code setup for sets

Implement *CARD('a)* via *card-UNIV-class.card-UNIV* and provide implementations for *finite*, *card*, *op* \subseteq , and *op* $=$ if the calling context already provides *finite-UNIV* and *card-UNIV* instances. If we implemented the latter always via *card-UNIV-class.card-UNIV*, we would require instances of essentially all element types, i.e., a lot of instantiation proofs and – at run time – possibly slow dictionary constructions.

context

begin

qualified definition *card-UNIV'* :: *'a card-UNIV*

where [*code del*]: *card-UNIV'* = *Phantom('a) CARD('a)*

lemma *CARD-code* [*code-unfold*]:

CARD('a) = of-phantom (card-UNIV' :: 'a card-UNIV)

<proof>

lemma *card-UNIV'-code* [*code*]:

card-UNIV' = card-UNIV

<proof>

end

lemma *card-Compl*:

finite A \implies card (- A) = card (UNIV :: 'a set) - card (A :: 'a set)

<proof>

context fixes $xs :: 'a :: \text{finite-UNIV list}$
begin

qualified definition $\text{finite}' :: 'a \text{ set} \Rightarrow \text{bool}$
where $[\text{simp}, \text{code del}, \text{code-abbrev}]: \text{finite}' = \text{finite}$

lemma $\text{finite}'\text{-code}$ $[\text{code}]$:
 $\text{finite}' (\text{set } xs) \longleftrightarrow \text{True}$
 $\text{finite}' (\text{List.coset } xs) \longleftrightarrow \text{of-phantom } (\text{finite-UNIV} :: 'a \text{ finite-UNIV})$
 $\langle \text{proof} \rangle$

end

context fixes $xs :: 'a :: \text{card-UNIV list}$
begin

qualified definition $\text{card}' :: 'a \text{ set} \Rightarrow \text{nat}$
where $[\text{simp}, \text{code del}, \text{code-abbrev}]: \text{card}' = \text{card}$

lemma $\text{card}'\text{-code}$ $[\text{code}]$:
 $\text{card}' (\text{set } xs) = \text{length } (\text{remdups } xs)$
 $\text{card}' (\text{List.coset } xs) = \text{of-phantom } (\text{card-UNIV} :: 'a \text{ card-UNIV}) - \text{length } (\text{remdups } xs)$
 $\langle \text{proof} \rangle$ **definition** $\text{subset}' :: 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$
where $[\text{simp}, \text{code del}, \text{code-abbrev}]: \text{subset}' = \text{op } \subseteq$

lemma $\text{subset}'\text{-code}$ $[\text{code}]$:
 $\text{subset}' A (\text{List.coset } ys) \longleftrightarrow (\forall y \in \text{set } ys. y \notin A)$
 $\text{subset}' (\text{set } ys) B \longleftrightarrow (\forall y \in \text{set } ys. y \in B)$
 $\text{subset}' (\text{List.coset } xs) (\text{set } ys) \longleftrightarrow (\text{let } n = \text{CARD}('a) \text{ in } n > 0 \wedge \text{card}(\text{set } (xs @ ys)) = n)$
 $\langle \text{proof} \rangle$ **definition** $\text{eq-set} :: 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$
where $[\text{simp}, \text{code del}, \text{code-abbrev}]: \text{eq-set} = \text{op } =$

lemma eq-set-code $[\text{code}]$:
fixes ys
defines $\text{rhs} \equiv$
 $\text{let } n = \text{CARD}('a)$
 $\text{in if } n = 0 \text{ then False else}$
 $\text{let } xs' = \text{remdups } xs; ys' = \text{remdups } ys$
 $\text{in } \text{length } xs' + \text{length } ys' = n \wedge (\forall x \in \text{set } xs'. x \notin \text{set } ys') \wedge (\forall y \in \text{set } ys'. y \notin \text{set } xs')$
shows $\text{eq-set } (\text{List.coset } xs) (\text{set } ys) \longleftrightarrow \text{rhs}$
and $\text{eq-set } (\text{set } ys) (\text{List.coset } xs) \longleftrightarrow \text{rhs}$
and $\text{eq-set } (\text{set } xs) (\text{set } ys) \longleftrightarrow (\forall x \in \text{set } xs. x \in \text{set } ys) \wedge (\forall y \in \text{set } ys. y \in \text{set } xs)$
and $\text{eq-set } (\text{List.coset } xs) (\text{List.coset } ys) \longleftrightarrow (\forall x \in \text{set } xs. x \in \text{set } ys) \wedge (\forall y \in \text{set } ys. y \in \text{set } xs)$
 $\langle \text{proof} \rangle$

end

Provide more informative exceptions than Match for non-rewritten cases. If generated code raises one these exceptions, then a code equation calls the mentioned operator for an element type that is not an instance of *card-UNIV* and is therefore not implemented via *card-UNIV-class.card-UNIV*. Constrain the element type with sort *card-UNIV* to change this.

lemma *card-coset-error* [*code*]:

```
card (List.coset xs) =
  Code.abort (STR "card (List.coset -) requires type class instance card-UNIV")
    (λ-. card (List.coset xs))
⟨proof⟩
```

lemma *coset-subseteq-set-code* [*code*]:

```
List.coset xs ⊆ set ys ↔
(if xs = [] ∧ ys = [] then False
 else Code.abort
  (STR "subset-eq (List.coset -) (List.set -) requires type class instance card-UNIV")
  (λ-. List.coset xs ⊆ set ys))
⟨proof⟩
```

notepad begin — test code setup

⟨proof⟩

end

end

37 Almost everywhere constant functions

theory *FinFun*

imports *Cardinality*

begin

This theory defines functions which are constant except for finitely many points (FinFun) and introduces a type finfin along with a number of operators for them. The code generator is set up such that such functions can be represented as data in the generated code and all operators are executable.

For details, see Formalising FinFuns - Generating Code for Functions as Data by A. Lochbihler in TPHOLs 2009.

37.1 The *map-default* operation

definition *map-default* :: 'b ⇒ ('a → 'b) ⇒ 'a ⇒ 'b

where *map-default* b f a ≡ case f a of None ⇒ b | Some b' ⇒ b'

lemma *map-default-delete* [*simp*]:

map-default b (f(a := None)) = (*map-default* b f)(a := b)

<proof>

lemma *map-default-insert:*

map-default b (f(a ↦ b')) = (map-default b f)(a := b')

<proof>

lemma *map-default-empty [simp]: map-default b empty = (λa. b)*

<proof>

lemma *map-default-inject:*

fixes *g g' :: 'a → 'b*

assumes *infin-eq: ¬ finite (UNIV :: 'a set) ∨ b = b'*

and *fin: finite (dom g) and b: b ∉ ran g*

and *fin': finite (dom g') and b': b' ∉ ran g'*

and *eq': map-default b g = map-default b' g'*

shows *b = b' g = g'*

<proof>

37.2 The finfun type

definition *finfun = {f :: 'a ⇒ 'b. ∃ b. finite {a. f a ≠ b}}*

typedef *('a, 'b) finfun ((- ⇒f /-) [22, 21] 21) = finfun :: ('a ⇒> 'b) set*

morphisms *finfun-apply Abs-finfun*

<proof>

type-notation *finfun ((- ⇒f /-) [22, 21] 21)*

setup-lifting *type-definition-finfun*

lemma *fun-upd-finfun: y(a := b) ∈ finfun ⟷ y ∈ finfun*

<proof>

lemma *const-finfun: (λx. a) ∈ finfun*

<proof>

lemma *finfun-left-compose:*

assumes *y ∈ finfun*

shows *g ∘ y ∈ finfun*

<proof>

lemma **assumes** *y ∈ finfun*

shows *fst-finfun: fst ∘ y ∈ finfun*

and *snd-finfun: snd ∘ y ∈ finfun*

<proof>

lemma *map-of-finfun: map-of xs ∈ finfun*

<proof>

lemma *Diag-finfun*: $(\lambda x. (f x, g x)) \in \text{finfun} \longleftrightarrow f \in \text{finfun} \wedge g \in \text{finfun}$
 ⟨proof⟩

lemma *finfun-right-compose*:
assumes $g: g \in \text{finfun}$ **and** $\text{inj}: \text{inj } f$
shows $g \circ f \in \text{finfun}$
 ⟨proof⟩

lemma *finfun-curry*:
assumes $\text{fin}: f \in \text{finfun}$
shows $\text{curry } f \in \text{finfun}$ $\text{curry } f a \in \text{finfun}$
 ⟨proof⟩

bundle *finfun* =
fst-finfun[simp] *snd-finfun*[simp] *Abs-finfun-inverse*[simp]
finfun-apply-inverse[simp] *Abs-finfun-inject*[simp] *finfun-apply-inject*[simp]
Diag-finfun[simp] *finfun-curry*[simp]
const-finfun[iff] *fun-upd-finfun*[iff] *finfun-apply*[iff] *map-of-finfun*[iff]
finfun-left-compose[intro] *fst-finfun*[intro] *snd-finfun*[intro]

lemma *Abs-finfun-inject-finite*:
fixes $x y :: 'a \Rightarrow 'b$
assumes $\text{fin}: \text{finite } (\text{UNIV} :: 'a \text{ set})$
shows $\text{Abs-finfun } x = \text{Abs-finfun } y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *Abs-finfun-inject-finite-class*:
fixes $x y :: ('a :: \text{finite}) \Rightarrow 'b$
shows $\text{Abs-finfun } x = \text{Abs-finfun } y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *Abs-finfun-inj-finite*:
assumes $\text{fin}: \text{finite } (\text{UNIV} :: 'a \text{ set})$
shows $\text{inj } (\text{Abs-finfun} :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow_f 'b)$
 ⟨proof⟩

lemma *Abs-finfun-inverse-finite*:
fixes $x :: 'a \Rightarrow 'b$
assumes $\text{fin}: \text{finite } (\text{UNIV} :: 'a \text{ set})$
shows $\text{finfun-apply } (\text{Abs-finfun } x) = x$
including *finfun*
 ⟨proof⟩

lemma *Abs-finfun-inverse-finite-class*:
fixes $x :: ('a :: \text{finite}) \Rightarrow 'b$
shows $\text{finfun-apply } (\text{Abs-finfun } x) = x$
 ⟨proof⟩

lemma *finfun-eq-finite-UNIV*: $\text{finite } (\text{UNIV} :: 'a \text{ set}) \Longrightarrow (\text{finfun} :: ('a \Rightarrow 'b) \text{ set})$

= UNIV
 ⟨proof⟩

lemma *finfun-finite-UNIV-class*: $\text{finfun} = (\text{UNIV} :: ('a :: \text{finite} \Rightarrow 'b) \text{set})$
 ⟨proof⟩

lemma *map-default-in-finfun*:
 assumes *fin*: $\text{finite} (\text{dom } f)$
 shows $\text{map-default } b f \in \text{finfun}$
 ⟨proof⟩

lemma *finfun-cases-map-default*:
 obtains *b g* where $f = \text{Abs-finfun} (\text{map-default } b g) \text{finite} (\text{dom } g) b \notin \text{ran } g$
 ⟨proof⟩

37.3 Kernel functions for type $'a \Rightarrow f 'b$

lift-definition *finfun-const* :: $'b \Rightarrow 'a \Rightarrow f 'b$ ($K\$ / - [0] 1$)
 is $\lambda b x. b$ ⟨proof⟩

lift-definition *finfun-update* :: $'a \Rightarrow f 'b \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow f 'b$ ($-'(- \$:= -')$
 $[1000,0,0] 1000$) is *fun-upd*
 ⟨proof⟩

lemma *finfun-update-twist*: $a \neq a' \Longrightarrow f(a \$:= b)(a' \$:= b') = f(a' \$:= b')(a \$:= b)$
 ⟨proof⟩

lemma *finfun-update-twice* [*simp*]:
 $f(a \$:= b)(a \$:= b') = f(a \$:= b')$
 ⟨proof⟩

lemma *finfun-update-const-same*: $(K\$ b)(a \$:= b) = (K\$ b)$
 ⟨proof⟩

37.4 Code generator setup

definition *finfun-update-code* :: $'a \Rightarrow f 'b \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow f 'b$
 where [*simp*, *code del*]: $\text{finfun-update-code} = \text{finfun-update}$

code-datatype *finfun-const finfun-update-code*

lemma *finfun-update-const-code* [*code*]:
 $(K\$ b)(a \$:= b') = (\text{if } b = b' \text{ then } (K\$ b) \text{ else } \text{finfun-update-code } (K\$ b) a b')$
 ⟨proof⟩

lemma *finfun-update-update-code* [*code*]:
 $(\text{finfun-update-code } f a b)(a' \$:= b') = (\text{if } a = a' \text{ then } f(a \$:= b') \text{ else } \text{finfun-update-code } (f(a' \$:= b')) a b)$
 ⟨proof⟩

37.5 Setup for quickcheck

quickcheck-generator *finfun constructors: finfun-update-code, finfun-const* :: 'b
 $\Rightarrow 'a \Rightarrow f 'b$

37.6 *finfun-update* as instance of *comp-fun-commute*

interpretation *finfun-update: comp-fun-commute* $\lambda a f. f(a :: 'a \$:= b')$
including *finfun*

<proof>

lemma *fold-finfun-update-finite-univ:*

assumes *fin: finite (UNIV :: 'a set)*

shows *Finite-Set.fold* $(\lambda a f. f(a \$:= b'))$ $(K\$ b)$ $(UNIV :: 'a set) = (K\$ b')$

<proof>

37.7 Default value for FinFuns

definition *finfun-default-aux* :: $('a \Rightarrow 'b) \Rightarrow 'b$

where [*code del*]: *finfun-default-aux* $f =$ *(if finite (UNIV :: 'a set) then undefined*
else THE b. finite {a. f a \neq b})

lemma *finfun-default-aux-infinite:*

fixes $f :: 'a \Rightarrow 'b$

assumes *infin: \neg finite (UNIV :: 'a set)*

and *fin: finite {a. f a \neq b}*

shows *finfun-default-aux* $f = b$

<proof>

lemma *finite-finfun-default-aux:*

fixes $f :: 'a \Rightarrow 'b$

assumes *fin: $f \in$ finfun*

shows *finite {a. f a \neq finfun-default-aux f}*

<proof>

lemma *finfun-default-aux-update-const:*

fixes $f :: 'a \Rightarrow 'b$

assumes *fin: $f \in$ finfun*

shows *finfun-default-aux* $(f(a := b)) =$ *finfun-default-aux* f

<proof>

lift-definition *finfun-default* :: $'a \Rightarrow f 'b \Rightarrow 'b$

is *finfun-default-aux* *<proof>*

lemma *finite-finfun-default: finite {a. finfun-apply f a \neq finfun-default f}*

<proof>

lemma *finfun-default-const: finfun-default* $((K\$ b) :: 'a \Rightarrow f 'b) =$ *(if finite (UNIV*
 $:: 'a set)$ *then undefined else b)*

<proof>

lemma *finfun-default-update-const*:

finfun-default ($f(a \ \$:= b)$) = *finfun-default* f
<proof>

lemma *finfun-default-const-code* [*code*]:

finfun-default ($(K \$ c) :: 'a :: \text{card-UNIV} \Rightarrow f 'b$) = (if $CARD('a) = 0$ then c else *undefined*)
<proof>

lemma *finfun-default-update-code* [*code*]:

finfun-default (*finfun-update-code* $f a b$) = *finfun-default* f
<proof>

37.8 Recursion combinator and well-formedness conditions

definition *finfun-rec* :: $('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a \Rightarrow f 'b) \Rightarrow 'c$

where [*code del*]:

finfun-rec *cnst upd f* \equiv
 let $b = \text{finfun-default } f$;
 $g = \text{THE } g. f = \text{Abs-finfun } (\text{map-default } b g) \wedge \text{finite } (\text{dom } g) \wedge b \notin \text{ran } g$
 in *Finite-Set.fold* ($\lambda a. \text{upd } a (\text{map-default } b g a)$) (*cnst b*) (*dom g*)

locale *finfun-rec-wf-aux* =

fixes *cnst* :: $'b \Rightarrow 'c$

and *upd* :: $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c$

assumes *upd-const-same*: $\text{upd } a b (\text{cnst } b) = \text{cnst } b$

and *upd-commute*: $a \neq a' \implies \text{upd } a b (\text{upd } a' b' c) = \text{upd } a' b' (\text{upd } a b c)$

and *upd-idemp*: $b \neq b' \implies \text{upd } a b'' (\text{upd } a b' (\text{cnst } b)) = \text{upd } a b'' (\text{cnst } b)$

begin

lemma *upd-left-comm*: *comp-fun-commute* ($\lambda a. \text{upd } a (f a)$)

<proof>

lemma *upd-upd-twice*: $\text{upd } a b'' (\text{upd } a b' (\text{cnst } b)) = \text{upd } a b'' (\text{cnst } b)$

<proof>

lemma *map-default-update-const*:

assumes *fin*: *finite* (*dom f*)

and *anf*: $a \notin \text{dom } f$

and *fg*: $f \subseteq_m g$

shows $\text{upd } a d (\text{Finite-Set.fold } (\lambda a. \text{upd } a (\text{map-default } d g a)) (\text{cnst } d) (\text{dom } f)) =$

$\text{Finite-Set.fold } (\lambda a. \text{upd } a (\text{map-default } d g a)) (\text{cnst } d) (\text{dom } f)$

<proof>

lemma *map-default-update-twice*:

assumes $fin: finite (dom f)$
and $anf: a \notin dom f$
and $fg: f \subseteq_m g$
shows $upd a d'' (upd a d' (Finite-Set.fold (\lambda a. upd a (map-default d g a)) (cnst d) (dom f))) =$
 $upd a d'' (Finite-Set.fold (\lambda a. upd a (map-default d g a)) (cnst d) (dom f))$
 ⟨proof⟩

lemma *map-default-eq-id* [*simp*]: $map-default d ((\lambda a. Some (f a)) |' \{a. f a \neq d\})$
 $= f$
 ⟨proof⟩

lemma *finite-rec-cong1*:
assumes $f: comp-fun-commute f$ **and** $g: comp-fun-commute g$
and $fin: finite A$
and $eq: \bigwedge a. a \in A \implies f a = g a$
shows $Finite-Set.fold f z A = Finite-Set.fold g z A$
 ⟨proof⟩

lemma *finfun-rec-upd* [*simp*]:
 $finfun-rec cnst upd (f(a' \$:= b')) = upd a' b' (finfun-rec cnst upd f)$
including *finfun*
 ⟨proof⟩

end

locale *finfun-rec-wf* = *finfun-rec-wf-aux* +
assumes *const-update-all*:
 $finite (UNIV :: 'a set) \implies Finite-Set.fold (\lambda a. upd a b') (cnst b) (UNIV :: 'a set) = cnst b'$
begin

lemma *finfun-rec-const* [*simp*]: **includes** *finfun* **shows**
 $finfun-rec cnst upd (K \$ c) = cnst c$
 ⟨proof⟩

end

37.9 Weak induction rule and case analysis for FinFuns

lemma *finfun-weak-induct* [*consumes 0, case-names const update*]:
assumes $const: \bigwedge b. P (K \$ b)$
and $update: \bigwedge f a b. P f \implies P (f(a \$:= b))$
shows $P x$
including *finfun*
 ⟨proof⟩

lemma *finfun-exhaust-disj*: $(\exists b. x = finfun-const b) \vee (\exists f a b. x = finfun-update f a b)$

<proof>

lemma *finfun-exhaust*:

obtains b **where** $x = (K \$ b)$
 | $f a b$ **where** $x = f(a \$:= b)$

<proof>

lemma *finfun-rec-unique*:

fixes $f :: 'a \Rightarrow f 'b \Rightarrow 'c$
assumes $c: \bigwedge c. f (K \$ c) = \text{cnst } c$
and $u: \bigwedge g a b. f (g(a \$:= b)) = \text{upd } g a b (f g)$
and $c': \bigwedge c. f' (K \$ c) = \text{cnst } c$
and $u': \bigwedge g a b. f' (g(a \$:= b)) = \text{upd } g a b (f' g)$
shows $f = f'$

<proof>

37.10 Function application

notation *finfun-apply* (**infixl** \$ 999)

interpretation *finfun-apply-aux*: *finfun-rec-wf-aux* $\lambda b. b \lambda a' b c. \text{if } (a = a') \text{ then } b \text{ else } c$

<proof>

interpretation *finfun-apply*: *finfun-rec-wf* $\lambda b. b \lambda a' b c. \text{if } (a = a') \text{ then } b \text{ else } c$

<proof>

lemma *finfun-apply-def*: $op \$ = (\lambda f a. \text{finfun-rec } (\lambda b. b) (\lambda a' b c. \text{if } (a = a') \text{ then } b \text{ else } c) f)$

<proof>

lemma *finfun-upd-apply*: $f(a \$:= b) \$ a' = (\text{if } a = a' \text{ then } b \text{ else } f \$ a')$

and *finfun-upd-apply-code* [*code*]: $(\text{finfun-update-code } f a b) \$ a' = (\text{if } a = a' \text{ then } b \text{ else } f \$ a')$

<proof>

lemma *finfun-const-apply* [*simp*, *code*]: $(K \$ b) \$ a = b$

<proof>

lemma *finfun-upd-apply-same* [*simp*]:

$f(a \$:= b) \$ a = b$

<proof>

lemma *finfun-upd-apply-other* [*simp*]:

$a \neq a' \implies f(a \$:= b) \$ a' = f \$ a'$

<proof>

lemma *finfun-ext*: $(\bigwedge a. f \$ a = g \$ a) \implies f = g$

<proof>

lemma *expand-finfun-eq*: $(f = g) = (op \$ f = op \$ g)$
 ⟨*proof*⟩

lemma *finfun-upd-triv* [*simp*]: $f(x \$:= f \$ x) = f$
 ⟨*proof*⟩

lemma *finfun-const-inject* [*simp*]: $(K \$ b) = (K \$ b') \equiv b = b'$
 ⟨*proof*⟩

lemma *finfun-const-eq-update*:
 $((K \$ b) = f(a \$:= b')) = (b = b' \wedge (\forall a'. a \neq a' \longrightarrow f \$ a' = b))$
 ⟨*proof*⟩

37.11 Function composition

definition *finfun-comp* :: $('a \Rightarrow 'b) \Rightarrow 'c \Rightarrow f 'a \Rightarrow 'c \Rightarrow f 'b$ (**infixr** $\circ \$$ 55)
where [*code del*]: $g \circ \$ f = \text{finfun-rec } (\lambda b. (K \$ g b)) (\lambda a b c. c(a \$:= g b)) f$

notation (*ASCII*)
finfun-comp (**infixr** $\circ \$$ 55)

interpretation *finfun-comp-aux*: *finfun-rec-wf-aux* $(\lambda b. (K \$ g b)) (\lambda a b c. c(a \$:= g b))$
 ⟨*proof*⟩

interpretation *finfun-comp*: *finfun-rec-wf* $(\lambda b. (K \$ g b)) (\lambda a b c. c(a \$:= g b))$
 ⟨*proof*⟩

lemma *finfun-comp-const* [*simp*, *code*]:
 $g \circ \$ (K \$ c) = (K \$ g c)$
 ⟨*proof*⟩

lemma *finfun-comp-update* [*simp*]: $g \circ \$ (f(a \$:= b)) = (g \circ \$ f)(a \$:= g b)$
and *finfun-comp-update-code* [*code*]:
 $g \circ \$ (\text{finfun-update-code } f a b) = \text{finfun-update-code } (g \circ \$ f) a (g b)$
 ⟨*proof*⟩

lemma *finfun-comp-apply* [*simp*]:
 $op \$ (g \circ \$ f) = g \circ op \$ f$
 ⟨*proof*⟩

lemma *finfun-comp-comp-collapse* [*simp*]: $f \circ \$ g \circ \$ h = (f \circ g) \circ \$ h$
 ⟨*proof*⟩

lemma *finfun-comp-const1* [*simp*]: $(\lambda x. c) \circ \$ f = (K \$ c)$
 ⟨*proof*⟩

lemma *finfun-comp-id1* [*simp*]: $(\lambda x. x) \circ \$ f = f \text{ id } \circ \$ f = f$

<proof>

lemma *finfun-comp-conv-comp*: $g \circ \$ f = \text{Abs-finfun } (g \circ \text{op } \$ f)$
including *finfun*
<proof>

definition *finfun-comp2* :: $'b \Rightarrow f 'c \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow f 'c$ (**infixr** $\$ \circ$ 55)
where [*code del*]: $g \$ \circ f = \text{Abs-finfun } (\text{op } \$ g \circ f)$

notation (*ASCII*)
finfun-comp2 (**infixr** $\$ \circ$ 55)

lemma *finfun-comp2-const* [*code, simp*]: $\text{finfun-comp2 } (K \$ c) f = (K \$ c)$
including *finfun*
<proof>

lemma *finfun-comp2-update*:
includes *finfun*
assumes *inj*: *inj* *f*
shows $\text{finfun-comp2 } (g(b \$:= c)) f = (\text{if } b \in \text{range } f \text{ then } (\text{finfun-comp2 } g f)(\text{inv } f b \$:= c) \text{ else } \text{finfun-comp2 } g f)$
<proof>

37.12 Universal quantification

definition *finfun-All-except* :: $'a \text{ list} \Rightarrow 'a \Rightarrow f \text{ bool} \Rightarrow \text{bool}$
where [*code del*]: $\text{finfun-All-except } A P \equiv \forall a. a \in \text{set } A \vee P \$ a$

lemma *finfun-All-except-const*: $\text{finfun-All-except } A (K \$ b) \longleftrightarrow b \vee \text{set } A = \text{UNIV}$
<proof>

lemma *finfun-All-except-const-finfun-UNIV-code* [*code*]:
 $\text{finfun-All-except } A (K \$ b) = (b \vee \text{is-list-UNIV } A)$
<proof>

lemma *finfun-All-except-update*:
 $\text{finfun-All-except } A f(a \$:= b) = ((a \in \text{set } A \vee b) \wedge \text{finfun-All-except } (a \# A) f)$
<proof>

lemma *finfun-All-except-update-code* [*code*]:
fixes *a* :: $'a$:: *card-UNIV*
shows $\text{finfun-All-except } A (\text{finfun-update-code } f a b) = ((a \in \text{set } A \vee b) \wedge \text{finfun-All-except } (a \# A) f)$
<proof>

definition *finfun-All* :: $'a \Rightarrow f \text{ bool} \Rightarrow \text{bool}$
where $\text{finfun-All} = \text{finfun-All-except } []$

lemma *finfun-All-const* [simp]: $\text{finfun-All } (K \$ b) = b$
 ⟨proof⟩

lemma *finfun-All-update*: $\text{finfun-All } f(a \$:= b) = (b \wedge \text{finfun-All-except } [a] f)$
 ⟨proof⟩

lemma *finfun-All-All*: $\text{finfun-All } P = \text{All } (op \$ P)$
 ⟨proof⟩

definition *finfun-Ex* :: $'a \Rightarrow f \text{ bool} \Rightarrow \text{bool}$
where *finfun-Ex* $P = \text{Not } (\text{finfun-All } (\text{Not } \circ \$ P))$

lemma *finfun-Ex-Ex*: $\text{finfun-Ex } P = \text{Ex } (op \$ P)$
 ⟨proof⟩

lemma *finfun-Ex-const* [simp]: $\text{finfun-Ex } (K \$ b) = b$
 ⟨proof⟩

37.13 A diagonal operator for FinFuns

definition *finfun-Diag* :: $'a \Rightarrow f 'b \Rightarrow 'a \Rightarrow f 'c \Rightarrow 'a \Rightarrow f ('b \times 'c) ((1'(\$-/ -\$'))$
 $[0, 0] 1000)$

where [code del]: $(\$f, g\$) = \text{finfun-rec } (\lambda b. \text{Pair } b \circ \$ g) (\lambda a b c. c(a \$:= (b, g \$ a))) f$

interpretation *finfun-Diag-aux*: $\text{finfun-rec-wf-aux } \lambda b. \text{Pair } b \circ \$ g \lambda a b c. c(a \$:= (b, g \$ a))$
 ⟨proof⟩

interpretation *finfun-Diag*: $\text{finfun-rec-wf } \lambda b. \text{Pair } b \circ \$ g \lambda a b c. c(a \$:= (b, g \$ a))$
 ⟨proof⟩

lemma *finfun-Diag-const1*: $(\$K \$ b, g\$) = \text{Pair } b \circ \$ g$
 ⟨proof⟩

Do not use $(\$K \$?b, ?g\$) = \text{Pair } ?b \circ \$?g$ for the code generator because *Pair* b is injective, i.e. if g is free of redundant updates, there is no need to check for redundant updates as is done for $op \circ \$$.

lemma *finfun-Diag-const-code* [code]:
 $(\$K \$ b, K \$ c\$) = (K \$ (b, c))$
 $(\$K \$ b, \text{finfun-update-code } g a c\$) = \text{finfun-update-code } (\$K \$ b, g\$) a (b, c)$
 ⟨proof⟩

lemma *finfun-Diag-update1*: $(\$f(a \$:= b), g\$) = (\$f, g\$)(a \$:= (b, g \$ a))$
and *finfun-Diag-update1-code* [code]: $(\$ \text{finfun-update-code } f a b, g\$) = (\$f, g\$)(a \$:= (b, g \$ a))$
 ⟨proof⟩

lemma *finfun-Diag-const2*: $(\$f, K\$ c\$) = (\lambda b. (b, c)) \circ\$ f$
 $\langle proof \rangle$

lemma *finfun-Diag-update2*: $(\$f, g(a \$:= c)\$) = (\$f, g\$)(a \$:= (f \$ a, c))$
 $\langle proof \rangle$

lemma *finfun-Diag-const-const [simp]*: $(\$K\$ b, K\$ c\$) = (K\$ (b, c))$
 $\langle proof \rangle$

lemma *finfun-Diag-const-update*:
 $(\$K\$ b, g(a \$:= c)\$) = (\$K\$ b, g\$)(a \$:= (b, c))$
 $\langle proof \rangle$

lemma *finfun-Diag-update-const*:
 $(\$f(a \$:= b), K\$ c\$) = (\$f, K\$ c\$)(a \$:= (b, c))$
 $\langle proof \rangle$

lemma *finfun-Diag-update-update*:
 $(\$f(a \$:= b), g(a' \$:= c)\$) = (if\ a = a'\ then\ (\$f, g\$)(a \$:= (b, c))\ else\ (\$f,$
 $g\$)(a \$:= (b, g \$ a))(a' \$:= (f \$ a', c)))$
 $\langle proof \rangle$

lemma *finfun-Diag-apply [simp]*: $op \$ (\$f, g\$) = (\lambda x. (f \$ x, g \$ x))$
 $\langle proof \rangle$

lemma *finfun-Diag-conv-Abs-finfun*:
 $(\$f, g\$) = Abs-finfun ((\lambda x. (f \$ x, g \$ x)))$
including *finfun*
 $\langle proof \rangle$

lemma *finfun-Diag-eq*: $(\$f, g\$) = (\$f', g'\$) \longleftrightarrow f = f' \wedge g = g'$
 $\langle proof \rangle$

definition *finfun-fst* :: $'a \Rightarrow f ('b \times 'c) \Rightarrow 'a \Rightarrow f 'b$
where [*code*]: *finfun-fst* $f = fst \circ\$ f$

lemma *finfun-fst-const*: *finfun-fst* $(K\$ bc) = (K\$ fst bc)$
 $\langle proof \rangle$

lemma *finfun-fst-update*: *finfun-fst* $(f(a \$:= bc)) = (finfun-fst f)(a \$:= fst bc)$
and *finfun-fst-update-code*: *finfun-fst* $(finfun-update-code f a bc) = (finfun-fst f)(a$
 $\$:= fst bc)$
 $\langle proof \rangle$

lemma *finfun-fst-comp-conv*: *finfun-fst* $(f \circ\$ g) = (fst \circ f) \circ\$ g$
 $\langle proof \rangle$

lemma *finfun-fst-conv [simp]*: *finfun-fst* $(\$f, g\$) = f$

<proof>

lemma *finfun-fst-conv-Abs-finfun*: $\text{finfun-fst} = (\lambda f. \text{Abs-finfun } (\text{fst} \circ \text{op } \$ f))$
<proof>

definition *finfun-snd* :: $'a \Rightarrow f ('b \times 'c) \Rightarrow 'a \Rightarrow f 'c$
where [code]: $\text{finfun-snd } f = \text{snd} \circ \$ f$

lemma *finfun-snd-const*: $\text{finfun-snd } (K \$ bc) = (K \$ \text{snd } bc)$
<proof>

lemma *finfun-snd-update*: $\text{finfun-snd } (f(a \$:= bc)) = (\text{finfun-snd } f)(a \$:= \text{snd } bc)$
and *finfun-snd-update-code* [code]: $\text{finfun-snd } (\text{finfun-update-code } f a bc) = (\text{finfun-snd } f)(a \$:= \text{snd } bc)$
<proof>

lemma *finfun-snd-comp-conv*: $\text{finfun-snd } (f \circ \$ g) = (\text{snd} \circ f) \circ \$ g$
<proof>

lemma *finfun-snd-conv* [simp]: $\text{finfun-snd } (\$ f, g \$) = g$
<proof>

lemma *finfun-snd-conv-Abs-finfun*: $\text{finfun-snd} = (\lambda f. \text{Abs-finfun } (\text{snd} \circ \text{op } \$ f))$
<proof>

lemma *finfun-Diag-collapse* [simp]: $(\$ \text{finfun-fst } f, \text{finfun-snd } f \$) = f$
<proof>

37.14 Currying for FinFuns

definition *finfun-curry* :: $('a \times 'b) \Rightarrow f 'c \Rightarrow 'a \Rightarrow f 'b \Rightarrow f 'c$
where [code del]: $\text{finfun-curry} = \text{finfun-rec } (\text{finfun-const} \circ \text{finfun-const}) (\lambda(a, b) c f. f(a \$:= (f \$ a)(b \$:= c)))$

interpretation *finfun-curry-aux*: $\text{finfun-rec-wf-aux } \text{finfun-const} \circ \text{finfun-const } \lambda(a, b) c f. f(a \$:= (f \$ a)(b \$:= c))$
<proof>

interpretation *finfun-curry*: $\text{finfun-rec-wf } \text{finfun-const} \circ \text{finfun-const } \lambda(a, b) c f. f(a \$:= (f \$ a)(b \$:= c))$
<proof>

lemma *finfun-curry-const* [simp, code]: $\text{finfun-curry } (K \$ c) = (K \$ K \$ c)$
<proof>

lemma *finfun-curry-update* [simp]:
 $\text{finfun-curry } (f((a, b) \$:= c)) = (\text{finfun-curry } f)(a \$:= (\text{finfun-curry } f \$ a)(b \$:= c))$

and *finfun-curry-update-code* [*code*]:
finfun-curry (*finfun-update-code* *f* (*a*, *b*) *c*) = (*finfun-curry* *f*) (*a* $\$$:= (*finfun-curry*
f $\$$ *a*) (*b* $\$$:= *c*))
 ⟨*proof*⟩

lemma *finfun-Abs-finfun-curry*: **assumes** *fin*: *f* ∈ *finfun*
shows ($\lambda a. \text{Abs-finfun } (\text{curry } f \ a)$) ∈ *finfun*
including *finfun*
 ⟨*proof*⟩

lemma *finfun-curry-conv-curry*:
fixes *f* :: (*'a* × *'b*) \Rightarrow *f* *'c*
shows *finfun-curry* *f* = *Abs-finfun* ($\lambda a. \text{Abs-finfun } (\text{curry } (\text{finfun-apply } f) \ a)$)
including *finfun*
 ⟨*proof*⟩

37.15 Executable equality for FinFuns

lemma *eq-finfun-All-ext*: (*f* = *g*) \longleftrightarrow *finfun-All* ($(\lambda(x, y). x = y) \circ \$ (\$f, g\$)$)
 ⟨*proof*⟩

instantiation *finfun* :: ($\{\text{card-UNIV}, \text{equal}\}, \text{equal}$) *equal* **begin**

definition *eq-finfun-def* [*code*]: *HOL.equal* *f* *g* \longleftrightarrow *finfun-All* ($(\lambda(x, y). x = y) \circ \$ (\$f, g\$)$)

instance ⟨*proof*⟩

end

lemma [*code nbe*]:
HOL.equal (*f* :: $- \Rightarrow f -$) *f* \longleftrightarrow *True*
 ⟨*proof*⟩

37.16 An operator that explicitly removes all redundant updates in the generated representations

definition *finfun-clearjunk* :: *'a* \Rightarrow *f* *'b* \Rightarrow *'a* \Rightarrow *f* *'b*
where [*simp*, *code del*]: *finfun-clearjunk* = *id*

lemma *finfun-clearjunk-const* [*code*]: *finfun-clearjunk* (*K* $\$$ *b*) = (*K* $\$$ *b*)
 ⟨*proof*⟩

lemma *finfun-clearjunk-update* [*code*]:
finfun-clearjunk (*finfun-update-code* *f* *a* *b*) = *f* (*a* $\$$:= *b*)
 ⟨*proof*⟩

37.17 The domain of a FinFun as a FinFun

definition *finfun-dom* :: (*'a* \Rightarrow *f* *'b*) \Rightarrow (*'a* \Rightarrow *f* *bool*)
where [*code del*]: *finfun-dom* *f* = *Abs-finfun* ($\lambda a. f \ \$ \ a \neq \text{finfun-default } f$)

lemma *finfun-dom-const*:

finfun-dom $((K\$ c) :: 'a \Rightarrow f 'b) = (K\$ finite (UNIV :: 'a set) \wedge c \neq undefined)$
 ⟨proof⟩

finfun-dom raises an exception when called on a FinFun whose domain is a finite type. For such FinFuns, the default value (and as such the domain) is undefined.

lemma *finfun-dom-const-code* [code]:

finfun-dom $((K\$ c) :: ('a :: card-UNIV) \Rightarrow f 'b) =$
 (if $CARD('a) = 0$ then $(K\$ False)$ else $Code.abort (STR "finfun-dom called on finite type") (\lambda-. finfun-dom (K\$ c))$)
 ⟨proof⟩

lemma *finfun-dom-finfunI*: $(\lambda a. f \$ a \neq finfun-default f) \in finfun$

⟨proof⟩

lemma *finfun-dom-update* [simp]:

finfun-dom $(f(a \$:= b)) = (finfun-dom f)(a \$:= (b \neq finfun-default f))$
including *finfun* ⟨proof⟩

lemma *finfun-dom-update-code* [code]:

finfun-dom $(finfun-update-code f a b) = finfun-update-code (finfun-dom f) a (b \neq finfun-default f)$
 ⟨proof⟩

lemma *finite-finfun-dom*: $finite \{x. finfun-dom f \$ x\}$

⟨proof⟩

37.18 The domain of a FinFun as a sorted list

definition *finfun-to-list* :: $('a :: linorder) \Rightarrow f 'b \Rightarrow 'a list$

where

finfun-to-list $f = (THE xs. set xs = \{x. finfun-dom f \$ x\} \wedge sorted xs \wedge distinct xs)$

lemma *set-finfun-to-list* [simp]: $set (finfun-to-list f) = \{x. finfun-dom f \$ x\}$ (is ?thesis1)

and *sorted-finfun-to-list*: $sorted (finfun-to-list f)$ (is ?thesis2)

and *distinct-finfun-to-list*: $distinct (finfun-to-list f)$ (is ?thesis3)

⟨proof⟩

lemma *finfun-const-False-conv-bot*: $op \$ (K\$ False) = bot$

⟨proof⟩

lemma *finfun-const-True-conv-top*: $op \$ (K\$ True) = top$

⟨proof⟩

lemma *finfun-to-list-const*:

finfun-to-list $((K\$ c) :: ('a :: \{linorder\} \Rightarrow f 'b)) =$

(if $\neg finite (UNIV :: 'a set) \vee c = undefined$ then \square else $THE xs. set xs = UNIV$)

$\wedge \text{sorted } xs \wedge \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *finfun-to-list-const-code* [code]:

$\text{finfun-to-list } ((K\$ c) :: ('a :: \{\text{linorder}, \text{card-UNIV}\} \Rightarrow f 'b)) =$
 $(\text{if } \text{CARD}('a) = 0 \text{ then } [] \text{ else } \text{Code.abort } (\text{STR } "finfun-to-list \text{ called on finite$
 $\text{type}'") (\lambda-. \text{finfun-to-list } ((K\$ c) :: ('a \Rightarrow f 'b))))$
 $\langle \text{proof} \rangle$

lemma *remove1-insort-insert-same*:

$x \notin \text{set } xs \implies \text{remove1 } x (\text{insort-insert } x xs) = xs$
 $\langle \text{proof} \rangle$

lemma *finfun-dom-conv*:

$\text{finfun-dom } f \$ x \longleftrightarrow f \$ x \neq \text{finfun-default } f$
 $\langle \text{proof} \rangle$

lemma *finfun-to-list-update*:

$\text{finfun-to-list } (f(a \$:= b)) =$
 $(\text{if } b = \text{finfun-default } f \text{ then } \text{List.remove1 } a (\text{finfun-to-list } f) \text{ else } \text{List.insort-insert}$
 $a (\text{finfun-to-list } f))$
 $\langle \text{proof} \rangle$

lemma *finfun-to-list-update-code* [code]:

$\text{finfun-to-list } (\text{finfun-update-code } f a b) =$
 $(\text{if } b = \text{finfun-default } f \text{ then } \text{List.remove1 } a (\text{finfun-to-list } f) \text{ else } \text{List.insort-insert}$
 $a (\text{finfun-to-list } f))$
 $\langle \text{proof} \rangle$

More type class instantiations

lemma *card-eq-1-iff*: $\text{card } A = 1 \longleftrightarrow A \neq \{\} \wedge (\forall x \in A. \forall y \in A. x = y)$

(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma *card-UNIV-finfun*:

defines $F == \text{finfun} :: ('a \Rightarrow 'b) \text{ set}$
shows $\text{CARD}('a \Rightarrow f 'b) = (\text{if } \text{CARD}('a) \neq 0 \wedge \text{CARD}('b) \neq 0 \vee \text{CARD}('b)$
 $= 1 \text{ then } \text{CARD}('b) \wedge \text{CARD}('a) \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *finite-UNIV-finfun*:

$\text{finite } (\text{UNIV} :: ('a \Rightarrow f 'b) \text{ set}) \longleftrightarrow$
 $(\text{finite } (\text{UNIV} :: 'a \text{ set}) \wedge \text{finite } (\text{UNIV} :: 'b \text{ set}) \vee \text{CARD}('b) = 1)$
 $(\text{is } ?lhs \longleftrightarrow ?rhs)$
 $\langle \text{proof} \rangle$

instantiation *finfun* :: (*finite-UNIV*, *card-UNIV*) *finite-UNIV* **begin**

definition *finite-UNIV* = *Phantom*('a \Rightarrow f 'b)

(let *cb* = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)

```

    in  $cb = 1 \vee \text{of-phantom } (\text{finite-UNIV} :: 'a \text{ finite-UNIV}) \wedge cb \neq 0$ 
instance
  <proof>
end

instantiation finfun :: (card-UNIV, card-UNIV) card-UNIV begin
definition card-UNIV = Phantom('a  $\Rightarrow$  f 'b)
  (let ca = of-phantom (card-UNIV :: 'a card-UNIV);
      cb = of-phantom (card-UNIV :: 'b card-UNIV)
      in if ca  $\neq$  0  $\wedge$  cb  $\neq$  0  $\vee$  cb = 1 then cb  $\wedge$  ca else 0)
instance <proof>
end

```

Deactivate syntax again. Import theory *FinFun-Syntax* to reactivate it again

```

no-type-notation
  finfun ((-  $\Rightarrow$  f /-) [22, 21] 21)

no-notation
  finfun-const (K $ / - [0] 1) and
  finfun-update (-'(- $:= -) [1000,0,0] 1000) and
  finfun-apply (infixl $ 999) and
  finfun-comp (infixr  $\circ$  $ 55) and
  finfun-comp2 (infixr $ $\circ$  55) and
  finfun-Diag ((1'($- / -$)) [0, 0] 1000)

no-notation (ASCII)
  finfun-comp (infixr  $\circ$  $ 55) and
  finfun-comp2 (infixr $ $\circ$  55)

end

```

38 Various algebraic structures combined with a lattice

```

theory Lattice-Algebras
imports Complex-Main
begin

class semilattice-inf-ab-group-add = ordered-ab-group-add + semilattice-inf
begin

lemma add-inf-distrib-left:  $a + \text{inf } b \ c = \text{inf } (a + b) \ (a + c)$ 
  <proof>

lemma add-inf-distrib-right:  $\text{inf } a \ b + c = \text{inf } (a + c) \ (b + c)$ 
  <proof>

```

end

class *semilattice-sup-ab-group-add* = *ordered-ab-group-add* + *semilattice-sup*
begin

lemma *add-sup-distrib-left*: $a + \sup b c = \sup (a + b) (a + c)$
 ⟨*proof*⟩

lemma *add-sup-distrib-right*: $\sup a b + c = \sup (a + c) (b + c)$
 ⟨*proof*⟩

end

class *lattice-ab-group-add* = *ordered-ab-group-add* + *lattice*
begin

subclass *semilattice-inf-ab-group-add* ⟨*proof*⟩
subclass *semilattice-sup-ab-group-add* ⟨*proof*⟩

lemmas *add-sup-inf-distrib* =
add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left

lemma *inf-eq-neg-sup*: $\inf a b = - \sup (- a) (- b)$
 ⟨*proof*⟩

lemma *sup-eq-neg-inf*: $\sup a b = - \inf (- a) (- b)$
 ⟨*proof*⟩

lemma *neg-inf-eq-sup*: $- \inf a b = \sup (- a) (- b)$
 ⟨*proof*⟩

lemma *diff-inf-eq-sup*: $a - \inf b c = a + \sup (- b) (- c)$
 ⟨*proof*⟩

lemma *neg-sup-eq-inf*: $- \sup a b = \inf (- a) (- b)$
 ⟨*proof*⟩

lemma *diff-sup-eq-inf*: $a - \sup b c = a + \inf (- b) (- c)$
 ⟨*proof*⟩

lemma *add-eq-inf-sup*: $a + b = \sup a b + \inf a b$
 ⟨*proof*⟩

38.1 Positive Part, Negative Part, Absolute Value

definition *nppt* :: $'a \Rightarrow 'a$
 where *nppt* $x = \inf x 0$

definition *pprt* :: $'a \Rightarrow 'a$

where $pprt\ x = sup\ x\ 0$

lemma *pprt-neg*: $pprt\ (-\ x) = -\ nprt\ x$
 $\langle proof \rangle$

lemma *nprt-neg*: $nprt\ (-\ x) = -\ pprt\ x$
 $\langle proof \rangle$

lemma *prts*: $a = pprt\ a + nprt\ a$
 $\langle proof \rangle$

lemma *zero-le-pprt[simp]*: $0 \leq pprt\ a$
 $\langle proof \rangle$

lemma *nprt-le-zero[simp]*: $nprt\ a \leq 0$
 $\langle proof \rangle$

lemma *le-eq-neg*: $a \leq -\ b \longleftrightarrow a + b \leq 0$
(is ?l = ?r)
 $\langle proof \rangle$

lemma *pprt-0[simp]*: $pprt\ 0 = 0$ $\langle proof \rangle$

lemma *nprt-0[simp]*: $nprt\ 0 = 0$ $\langle proof \rangle$

lemma *pprt-eq-id [simp, no-atp]*: $0 \leq x \implies pprt\ x = x$
 $\langle proof \rangle$

lemma *nprt-eq-id [simp, no-atp]*: $x \leq 0 \implies nprt\ x = x$
 $\langle proof \rangle$

lemma *pprt-eq-0 [simp, no-atp]*: $x \leq 0 \implies pprt\ x = 0$
 $\langle proof \rangle$

lemma *nprt-eq-0 [simp, no-atp]*: $0 \leq x \implies nprt\ x = 0$
 $\langle proof \rangle$

lemma *sup-0-imp-0*:
assumes $sup\ a\ (-\ a) = 0$
shows $a = 0$
 $\langle proof \rangle$

lemma *inf-0-imp-0*: $inf\ a\ (-\ a) = 0 \implies a = 0$
 $\langle proof \rangle$

lemma *inf-0-eq-0 [simp, no-atp]*: $inf\ a\ (-\ a) = 0 \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *sup-0-eq-0 [simp, no-atp]*: $sup\ a\ (-\ a) = 0 \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *zero-le-double-add-iff-zero-le-single-add* [*simp*]: $0 \leq a + a \longleftrightarrow 0 \leq a$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨*proof*⟩

lemma *double-zero* [*simp*]: $a + a = 0 \longleftrightarrow a = 0$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨*proof*⟩

lemma *zero-less-double-add-iff-zero-less-single-add* [*simp*]: $0 < a + a \longleftrightarrow 0 < a$
 ⟨*proof*⟩

lemma *double-add-le-zero-iff-single-add-le-zero* [*simp*]: $a + a \leq 0 \longleftrightarrow a \leq 0$
 ⟨*proof*⟩

lemma *double-add-less-zero-iff-single-less-zero* [*simp*]: $a + a < 0 \longleftrightarrow a < 0$
 ⟨*proof*⟩

declare *neg-inf-eq-sup* [*simp*] *neg-sup-eq-inf* [*simp*] *diff-inf-eq-sup* [*simp*] *diff-sup-eq-inf* [*simp*]

lemma *le-minus-self-iff*: $a \leq -a \longleftrightarrow a \leq 0$
 ⟨*proof*⟩

lemma *minus-le-self-iff*: $-a \leq a \longleftrightarrow 0 \leq a$
 ⟨*proof*⟩

lemma *zero-le-iff-zero-nprt*: $0 \leq a \longleftrightarrow \text{nprt } a = 0$
 ⟨*proof*⟩

lemma *le-zero-iff-zero-pprt*: $a \leq 0 \longleftrightarrow \text{pprt } a = 0$
 ⟨*proof*⟩

lemma *le-zero-iff-pprt-id*: $0 \leq a \longleftrightarrow \text{pprt } a = a$
 ⟨*proof*⟩

lemma *zero-le-iff-nprt-id*: $a \leq 0 \longleftrightarrow \text{nprt } a = a$
 ⟨*proof*⟩

lemma *pprt-mono* [*simp*, *no-atp*]: $a \leq b \implies \text{pprt } a \leq \text{pprt } b$
 ⟨*proof*⟩

lemma *nprt-mono* [*simp*, *no-atp*]: $a \leq b \implies \text{nprt } a \leq \text{nprt } b$
 ⟨*proof*⟩

end

lemmas *add-sup-inf-distrib* =
add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left

```

class lattice-ab-group-add-abs = lattice-ab-group-add + abs +
  assumes abs-lattice:  $|a| = \text{sup } a \ (- a)$ 
begin

```

```

lemma abs-prts:  $|a| = \text{pprt } a \ - \ \text{nprt } a$ 
  <proof>

```

```

subclass ordered-ab-group-add-abs
  <proof>

```

```

end

```

```

lemma sup-eq-if:
  fixes  $a :: 'a :: \{\text{lattice-ab-group-add}, \text{linorder}\}$ 
  shows  $\text{sup } a \ (- a) = (\text{if } a < 0 \text{ then } - a \ \text{else } a)$ 
  <proof>

```

```

lemma abs-if-lattice:
  fixes  $a :: 'a :: \{\text{lattice-ab-group-add-abs}, \text{linorder}\}$ 
  shows  $|a| = (\text{if } a < 0 \text{ then } - a \ \text{else } a)$ 
  <proof>

```

```

lemma estimate-by-abs:
  fixes  $a \ b \ c :: 'a :: \text{lattice-ab-group-add-abs}$ 
  assumes  $a + b \leq c$ 
  shows  $a \leq c + |b|$ 
  <proof>

```

```

class lattice-ring = ordered-ring + lattice-ab-group-add-abs
begin

```

```

subclass semilattice-inf-ab-group-add <proof>
subclass semilattice-sup-ab-group-add <proof>

```

```

end

```

```

lemma abs-le-mult:
  fixes  $a \ b :: 'a :: \text{lattice-ring}$ 
  shows  $|a * b| \leq |a| * |b|$ 
  <proof>

```

```

instance lattice-ring  $\subseteq$  ordered-ring-abs
  <proof>

```

```

lemma mult-le-prts:
  fixes  $a \ b :: 'a :: \text{lattice-ring}$ 
  assumes  $a1 \leq a$ 

```

```

    and  $a \leq a2$ 
    and  $b1 \leq b$ 
    and  $b \leq b2$ 
  shows  $a * b \leq$ 
    pprrt a2 * pprrt b2 + pprrt a1 * nprtt b2 + nprtt a2 * pprrt b1 + nprtt a1 * nprtt
    b1
  <proof>

```

```

lemma mult-ge-prts:
  fixes  $a b :: 'a::lattice-ring$ 
  assumes  $a1 \leq a$ 
    and  $a \leq a2$ 
    and  $b1 \leq b$ 
    and  $b \leq b2$ 
  shows  $a * b \geq$ 
    nprtt a1 * pprrt b2 + nprtt a2 * nprtt b2 + pprrt a1 * pprrt b1 + pprrt a2 * nprtt
    b1
  <proof>

```

```

instance int :: lattice-ring
  <proof>

```

```

instance real :: lattice-ring
  <proof>

```

```

end

```

39 Floating-Point Numbers

```

theory Float
  imports Complex-Main Lattice-Algebras
  begin

```

```

  definition float = { $m * 2^{\text{powr } e} \mid (m :: \text{int}) (e :: \text{int}). \text{True}$ }

```

```

  typedef float = float
    morphisms real-of-float float-of
    <proof>

```

```

  setup-lifting type-definition-float

```

```

  declare real-of-float [code-unfold]

```

```

  lemmas float-of-inject[simp]

```

```

  declare [[coercion real-of-float :: float  $\Rightarrow$  real]]

```

```

  lemma real-of-float-eq:
    fixes  $f1 f2 :: \text{float}$ 

```


shows $f1 = f2 \iff \text{real-of-float } f1 = \text{real-of-float } f2$
 ⟨proof⟩

declare *real-of-float-inverse*[simp] *float-of-inverse* [simp]
declare *real-of-float* [simp]

39.1 Real operations preserving the representation as floating point number

lemma *floatI*: **fixes** $m\ e :: \text{int}$ **shows** $m * 2^{\text{powr } e} = x \implies x \in \text{float}$
 ⟨proof⟩

lemma *zero-float*[simp]: $0 \in \text{float}$
 ⟨proof⟩

lemma *one-float*[simp]: $1 \in \text{float}$
 ⟨proof⟩

lemma *numeral-float*[simp]: *numeral* $i \in \text{float}$
 ⟨proof⟩

lemma *neg-numeral-float*[simp]: $- \text{numeral } i \in \text{float}$
 ⟨proof⟩

lemma *real-of-int-float*[simp]: *real-of-int* $(x :: \text{int}) \in \text{float}$
 ⟨proof⟩

lemma *real-of-nat-float*[simp]: *real* $(x :: \text{nat}) \in \text{float}$
 ⟨proof⟩

lemma *two-powr-int-float*[simp]: $2^{\text{powr } (\text{real-of-int } (i :: \text{int}))} \in \text{float}$
 ⟨proof⟩

lemma *two-powr-nat-float*[simp]: $2^{\text{powr } (\text{real } (i :: \text{nat}))} \in \text{float}$
 ⟨proof⟩

lemma *two-powr-minus-int-float*[simp]: $2^{\text{powr } - (\text{real-of-int } (i :: \text{int}))} \in \text{float}$
 ⟨proof⟩

lemma *two-powr-minus-nat-float*[simp]: $2^{\text{powr } - (\text{real } (i :: \text{nat}))} \in \text{float}$
 ⟨proof⟩

lemma *two-powr-numeral-float*[simp]: $2^{\text{powr } \text{numeral } i} \in \text{float}$
 ⟨proof⟩

lemma *two-powr-neg-numeral-float*[simp]: $2^{\text{powr } - \text{numeral } i} \in \text{float}$
 ⟨proof⟩

lemma *two-pow-float*[simp]: $2^n \in \text{float}$
 ⟨proof⟩

lemma *plus-float*[simp]: $r \in \text{float} \implies p \in \text{float} \implies r + p \in \text{float}$
 ⟨proof⟩

lemma *uminus-float*[simp]: $x \in \text{float} \implies -x \in \text{float}$
 ⟨proof⟩

lemma *times-float*[simp]: $x \in \text{float} \implies y \in \text{float} \implies x * y \in \text{float}$
 ⟨proof⟩

lemma *minus-float[simp]*: $x \in \text{float} \implies y \in \text{float} \implies x - y \in \text{float}$
 ⟨proof⟩

lemma *abs-float[simp]*: $x \in \text{float} \implies |x| \in \text{float}$
 ⟨proof⟩

lemma *sgn-of-float[simp]*: $x \in \text{float} \implies \text{sgn } x \in \text{float}$
 ⟨proof⟩

lemma *div-power-2-float[simp]*: $x \in \text{float} \implies x / 2^d \in \text{float}$
 ⟨proof⟩

lemma *div-power-2-int-float[simp]*: $x \in \text{float} \implies x / (2::\text{int})^d \in \text{float}$
 ⟨proof⟩

lemma *div-numeral-Bit0-float[simp]*:
 assumes $x: x / \text{numeral } n \in \text{float}$
 shows $x / (\text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$
 ⟨proof⟩

lemma *div-neg-numeral-Bit0-float[simp]*:
 assumes $x: x / \text{numeral } n \in \text{float}$
 shows $x / (- \text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$
 ⟨proof⟩

lemma *power-float[simp]*:
 assumes $a \in \text{float}$
 shows $a ^ b \in \text{float}$
 ⟨proof⟩

lift-definition *Float* :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{float}$ is $\lambda(m::\text{int}) (e::\text{int}). m * 2^{\text{powr } e}$
 ⟨proof⟩

declare *Float.rep-eq[simp]*

code-datatype *Float*

lemma *compute-real-of-float[code]*:
 $\text{real-of-float } (\text{Float } m \ e) = (\text{if } e \geq 0 \text{ then } m * 2^{\text{nat } e} \text{ else } m / 2^{\text{nat } (-e)})$
 ⟨proof⟩

39.2 Arithmetic operations on floating point numbers

instantiation *float* :: $\{\text{ring-1}, \text{linorder}, \text{linordered-ring}, \text{linordered-idom}, \text{numeral}, \text{equal}\}$

begin

lift-definition *zero-float* :: float is 0 ⟨proof⟩

declare *zero-float.rep-eq[simp]*

```

lift-definition one-float :: float is 1 ⟨proof⟩
declare one-float.rep-eq[simp]
lift-definition plus-float :: float ⇒ float ⇒ float is op + ⟨proof⟩
declare plus-float.rep-eq[simp]
lift-definition times-float :: float ⇒ float ⇒ float is op * ⟨proof⟩
declare times-float.rep-eq[simp]
lift-definition minus-float :: float ⇒ float ⇒ float is op - ⟨proof⟩
declare minus-float.rep-eq[simp]
lift-definition uminus-float :: float ⇒ float is uminus ⟨proof⟩
declare uminus-float.rep-eq[simp]

lift-definition abs-float :: float ⇒ float is abs ⟨proof⟩
declare abs-float.rep-eq[simp]
lift-definition sgn-float :: float ⇒ float is sgn ⟨proof⟩
declare sgn-float.rep-eq[simp]

lift-definition equal-float :: float ⇒ float ⇒ bool is op = :: real ⇒ real ⇒ bool
⟨proof⟩

lift-definition less-eq-float :: float ⇒ float ⇒ bool is op ≤ ⟨proof⟩
declare less-eq-float.rep-eq[simp]
lift-definition less-float :: float ⇒ float ⇒ bool is op < ⟨proof⟩
declare less-float.rep-eq[simp]

instance
  ⟨proof⟩

end

lemma real-of-float [simp]: real-of-float (of-nat n) = of-nat n
⟨proof⟩

lemma real-of-float-of-int-eq [simp]: real-of-float (of-int z) = of-int z
⟨proof⟩

lemma Float-0-eq-0[simp]: Float 0 e = 0
⟨proof⟩

lemma real-of-float-power[simp]:
  fixes f :: float
  shows real-of-float (f^n) = real-of-float f^n
  ⟨proof⟩

lemma
  fixes x y :: float
  shows real-of-float-min: real-of-float (min x y) = min (real-of-float x) (real-of-float
y)
  and real-of-float-max: real-of-float (max x y) = max (real-of-float x) (real-of-float
y)

```

<proof>

instance *float* :: *unbounded-dense-linorder*
<proof>

instantiation *float* :: *lattice-ab-group-add*
begin

definition *inf-float* :: *float* \Rightarrow *float* \Rightarrow *float*
where *inf-float* *a b* = *min a b*

definition *sup-float* :: *float* \Rightarrow *float* \Rightarrow *float*
where *sup-float* *a b* = *max a b*

instance
<proof>

end

lemma *float-numeral[simp]*: *real-of-float* (*numeral x* :: *float*) = *numeral x*
<proof>

lemma *transfer-numeral [transfer-rule]*:
rel-fun (*op* =) *pcr-float* (*numeral* :: - \Rightarrow *real*) (*numeral* :: - \Rightarrow *float*)
<proof>

lemma *float-neg-numeral[simp]*: *real-of-float* (- *numeral x* :: *float*) = - *numeral x*
<proof>

lemma *transfer-neg-numeral [transfer-rule]*:
rel-fun (*op* =) *pcr-float* (- *numeral* :: - \Rightarrow *real*) (- *numeral* :: - \Rightarrow *float*)
<proof>

lemma
shows *float-of-numeral[simp]*: *numeral k* = *float-of* (*numeral k*)
and *float-of-neg-numeral[simp]*: - *numeral k* = *float-of* (- *numeral k*)
<proof>

39.3 Quickcheck

instantiation *float* :: *exhaustive*
begin

definition *exhaustive-float* **where**
exhaustive-float *f d* =
Quickcheck-Exhaustive.exhaustive (%*x*. *Quickcheck-Exhaustive.exhaustive* (%*y*.
f (*Float x y*)) *d*) *d*

instance $\langle proof \rangle$

end

definition (in *term-syntax*) [*code-unfold*]:

valtermify-float $x\ y = \text{Code-Evaluation.valtermify Float } \{\cdot\} x \{\cdot\} y$

instantiation *float* :: *full-exhaustive*

begin

definition *full-exhaustive-float* **where**

full-exhaustive-float $f\ d =$

Quickcheck-Exhaustive.full-exhaustive

$(\lambda x. \text{Quickcheck-Exhaustive.full-exhaustive } (\lambda y. f (\text{valtermify-float } x\ y))\ d)\ d$

instance $\langle proof \rangle$

end

instantiation *float* :: *random*

begin

definition *Quickcheck-Random.random* $i =$

scomp (*Quickcheck-Random.random* $(2 \wedge \text{nat-of-natural } i)$)

$(\lambda \text{man. scomp } (\text{Quickcheck-Random.random } i)\ (\lambda \text{exp. Pair } (\text{valtermify-float } \text{man } \text{exp})))$

instance $\langle proof \rangle$

end

39.4 Represent floats as unique mantissa and exponent

lemma *int-induct-abs*[*case-names less*]:

fixes $j :: \text{int}$

assumes $H: \bigwedge n. (\bigwedge i. |i| < |n| \implies P\ i) \implies P\ n$

shows $P\ j$

$\langle proof \rangle$

lemma *int-cancel-factors*:

fixes $n :: \text{int}$

assumes $1 < r$

shows $n = 0 \vee (\exists k\ i. n = k * r \wedge i \wedge \neg r\ \text{dvd } k)$

$\langle proof \rangle$

lemma *mult-powr-eq-mult-powr-iff-asym*:

fixes $m1\ m2\ e1\ e2 :: \text{int}$

assumes $m1: \neg 2\ \text{dvd } m1$

and $e1 \leq e2$

shows $m1 * 2 \text{ powr } e1 = m2 * 2 \text{ powr } e2 \longleftrightarrow m1 = m2 \wedge e1 = e2$
(is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *mult-powr-eq-mult-powr-iff*:

fixes $m1\ m2\ e1\ e2 :: \text{int}$
shows $\neg 2 \text{ dvd } m1 \implies \neg 2 \text{ dvd } m2 \implies m1 * 2 \text{ powr } e1 = m2 * 2 \text{ powr } e2 \longleftrightarrow$
 $m1 = m2 \wedge e1 = e2$
 ⟨proof⟩

lemma *floatE-normed*:

assumes $x: x \in \text{float}$
obtains *(zero)* $x = 0$
 | *(powr)* $m\ e :: \text{int}$ **where** $x = m * 2 \text{ powr } e \wedge \neg 2 \text{ dvd } m\ x \neq 0$
 ⟨proof⟩

lemma *float-normed-cases*:

fixes $f :: \text{float}$
obtains *(zero)* $f = 0$
 | *(powr)* $m\ e :: \text{int}$ **where** $\text{real-of-float } f = m * 2 \text{ powr } e \wedge \neg 2 \text{ dvd } m\ f \neq 0$
 ⟨proof⟩

definition *mantissa* $:: \text{float} \Rightarrow \text{int}$ **where**

$\text{mantissa } f = \text{fst } (\text{SOME } p :: \text{int} \times \text{int}. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0)$
 $\vee (f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2 \text{ powr } \text{real-of-int } (\text{snd } p) \wedge \neg$
 $2 \text{ dvd } \text{fst } p))$

definition *exponent* $:: \text{float} \Rightarrow \text{int}$ **where**

$\text{exponent } f = \text{snd } (\text{SOME } p :: \text{int} \times \text{int}. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0)$
 $\vee (f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2 \text{ powr } \text{real-of-int } (\text{snd } p) \wedge \neg$
 $2 \text{ dvd } \text{fst } p))$

lemma

shows *exponent-0[simp]*: $\text{exponent } (\text{float-of } 0) = 0$ **(is ?E)**
and *mantissa-0[simp]*: $\text{mantissa } (\text{float-of } 0) = 0$ **(is ?M)**
 ⟨proof⟩

lemma

shows *mantissa-exponent*: $\text{real-of-float } f = \text{mantissa } f * 2 \text{ powr } \text{exponent } f$ **(is ?E)**
and *mantissa-not-dvd*: $f \neq (\text{float-of } 0) \implies \neg 2 \text{ dvd } \text{mantissa } f$ **(is - \implies ?D)**
 ⟨proof⟩

lemma *mantissa-noteq-0*: $f \neq \text{float-of } 0 \implies \text{mantissa } f \neq 0$

⟨proof⟩

lemma

fixes $m\ e :: \text{int}$
defines $f \equiv \text{float-of } (m * 2 \text{ powr } e)$

assumes *dvd*: $\neg 2 \text{ dvd } m$
shows *mantissa-float*: $\text{mantissa } f = m$ (**is** *?M*)
and *exponent-float*: $m \neq 0 \implies \text{exponent } f = e$ (**is** *-* \implies *?E*)
 $\langle \text{proof} \rangle$

39.5 Compute arithmetic operations

lemma *Float-mantissa-exponent*: $\text{Float } (\text{mantissa } f) (\text{exponent } f) = f$
 $\langle \text{proof} \rangle$

lemma *Float-cases* [*cases type: float*]:
fixes *f* :: *float*
obtains $(\text{Float}) \ m \ e :: \text{int}$ **where** $f = \text{Float } m \ e$
 $\langle \text{proof} \rangle$

lemma *denormalize-shift*:
assumes *f-def*: $f \equiv \text{Float } m \ e$
and *not-0*: $f \neq \text{float-of } 0$
obtains *i* **where** $m = \text{mantissa } f * 2^i$ $e = \text{exponent } f - i$
 $\langle \text{proof} \rangle$

context
begin

qualified lemma *compute-float-zero*[*code-unfold, code*]: $0 = \text{Float } 0 \ 0$
 $\langle \text{proof} \rangle$ **lemma** *compute-float-one*[*code-unfold, code*]: $1 = \text{Float } 1 \ 0$
 $\langle \text{proof} \rangle$

lift-definition *normfloat* :: *float* \Rightarrow *float* **is** $\lambda x. x$ $\langle \text{proof} \rangle$

lemma *normfloat-id*[*simp*]: $\text{normfloat } x = x$ $\langle \text{proof} \rangle$ **lemma** *compute-normfloat*[*code*]:
 $\text{normfloat } (\text{Float } m \ e) =$

(*if* $m \bmod 2 = 0 \wedge m \neq 0$ *then* $\text{normfloat } (\text{Float } (m \text{ div } 2) (e + 1))$
else if $m = 0$ *then* 0 *else* $\text{Float } m \ e$)

$\langle \text{proof} \rangle$ **lemma** *compute-float-numeral*[*code-abbrev*]: $\text{Float } (\text{numeral } k) \ 0 = \text{numeral } k$

$\langle \text{proof} \rangle$ **lemma** *compute-float-neg-numeral*[*code-abbrev*]: $\text{Float } (- \text{numeral } k) \ 0 = - \text{numeral } k$

$\langle \text{proof} \rangle$ **lemma** *compute-float-uminus*[*code*]: $- \text{Float } m1 \ e1 = \text{Float } (- m1) \ e1$

$\langle \text{proof} \rangle$ **lemma** *compute-float-times*[*code*]: $\text{Float } m1 \ e1 * \text{Float } m2 \ e2 = \text{Float } (m1 * m2) (e1 + e2)$

$\langle \text{proof} \rangle$ **lemma** *compute-float-plus*[*code*]: $\text{Float } m1 \ e1 + \text{Float } m2 \ e2 =$

(*if* $m1 = 0$ *then* $\text{Float } m2 \ e2$ *else if* $m2 = 0$ *then* $\text{Float } m1 \ e1$ *else*

if $e1 \leq e2$ *then* $\text{Float } (m1 + m2 * 2^{\text{nat } (e2 - e1)}) \ e1$

else $\text{Float } (m2 + m1 * 2^{\text{nat } (e1 - e2)}) \ e2$)

$\langle \text{proof} \rangle$ **lemma** *compute-float-minus*[*code*]: **fixes** $f \ g :: \text{float}$ **shows** $f - g = f + (-g)$

$\langle \text{proof} \rangle$ **lemma** *compute-float-sgn*[*code*]: $\text{sgn } (\text{Float } m1 \ e1) = (\text{if } 0 < m1$ *then* 1 *else if* $m1 < 0$ *then* -1 *else* 0)

$\langle \text{proof} \rangle$

lift-definition *is-float-pos* :: *float* \Rightarrow *bool* **is** *op* < 0 :: *real* \Rightarrow *bool* \langle *proof* \rangle **lemma**
compute-is-float-pos[*code*]: *is-float-pos* (*Float* *m* *e*) \longleftrightarrow 0 < *m*
 \langle *proof* \rangle

lift-definition *is-float-nonneg* :: *float* \Rightarrow *bool* **is** *op* \leq 0 :: *real* \Rightarrow *bool* \langle *proof* \rangle **lemma**
compute-is-float-nonneg[*code*]: *is-float-nonneg* (*Float* *m* *e*) \longleftrightarrow 0 \leq *m*
 \langle *proof* \rangle

lift-definition *is-float-zero* :: *float* \Rightarrow *bool* **is** *op* = 0 :: *real* \Rightarrow *bool* \langle *proof* \rangle **lemma**
compute-is-float-zero[*code*]: *is-float-zero* (*Float* *m* *e*) \longleftrightarrow 0 = *m*
 \langle *proof* \rangle **lemma** *compute-float-abs*[*code*]: |*Float* *m* *e*| = *Float* |*m*| *e*
 \langle *proof* \rangle **lemma** *compute-float-eq*[*code*]: *equal-class.equal* *f* *g* = *is-float-zero* (*f* –
g)
 \langle *proof* \rangle

end

39.6 Lemmas for types *real*, *nat*, *int*

lemmas *real-of-ints* =
of-int-add
of-int-minus
of-int-diff
of-int-mult
of-int-power
of-int-numeral *of-int-neg-numeral*

lemmas *int-of-reals* = *real-of-ints*[*symmetric*]

39.7 Rounding Real Numbers

definition *round-down* :: *int* \Rightarrow *real* \Rightarrow *real*
where *round-down* *prec* *x* = $\lfloor x * 2^{\text{powr } \text{prec}} \rfloor * 2^{\text{powr } -\text{prec}}$

definition *round-up* :: *int* \Rightarrow *real* \Rightarrow *real*
where *round-up* *prec* *x* = $\lceil x * 2^{\text{powr } \text{prec}} \rceil * 2^{\text{powr } -\text{prec}}$

lemma *round-down-float*[*simp*]: *round-down* *prec* *x* \in *float*
 \langle *proof* \rangle

lemma *round-up-float*[*simp*]: *round-up* *prec* *x* \in *float*
 \langle *proof* \rangle

lemma *round-up*: *x* \leq *round-up* *prec* *x*
 \langle *proof* \rangle

lemma *round-down*: *round-down* *prec* *x* \leq *x*
 \langle *proof* \rangle

lemma *round-up-0[simp]*: $\text{round-up } p \ 0 = 0$
 ⟨proof⟩

lemma *round-down-0[simp]*: $\text{round-down } p \ 0 = 0$
 ⟨proof⟩

lemma *round-up-diff-round-down*:
 $\text{round-up } \text{prec } x - \text{round-down } \text{prec } x \leq 2^{\text{powr } -\text{prec}}$
 ⟨proof⟩

lemma *round-down-shift*: $\text{round-down } p \ (x * 2^{\text{powr } k}) = 2^{\text{powr } k} * \text{round-down } (p + k) \ x$
 ⟨proof⟩

lemma *round-up-shift*: $\text{round-up } p \ (x * 2^{\text{powr } k}) = 2^{\text{powr } k} * \text{round-up } (p + k) \ x$
 ⟨proof⟩

lemma *round-up-uminus-eq*: $\text{round-up } p \ (-x) = - \text{round-down } p \ x$
and *round-down-uminus-eq*: $\text{round-down } p \ (-x) = - \text{round-up } p \ x$
 ⟨proof⟩

lemma *round-up-mono*: $x \leq y \implies \text{round-up } p \ x \leq \text{round-up } p \ y$
 ⟨proof⟩

lemma *round-up-le1*:
assumes $x \leq 1 \ \text{prec} \geq 0$
shows $\text{round-up } \text{prec } x \leq 1$
 ⟨proof⟩

lemma *round-up-less1*:
assumes $x < 1 / 2^p \ p > 0$
shows $\text{round-up } p \ x < 1$
 ⟨proof⟩

lemma *round-down-ge1*:
assumes $x: x \geq 1$
assumes *prec*: $p \geq -\log_2 x$
shows $1 \leq \text{round-down } p \ x$
 ⟨proof⟩

lemma *round-up-le0*: $x \leq 0 \implies \text{round-up } p \ x \leq 0$
 ⟨proof⟩

39.8 Rounding Floats

definition *div-twopow* :: $\text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$
where *[simp]*: $\text{div-twopow } x \ n = x \ \text{div} \ (2^n)$

definition *mod-twopow* :: *int* \Rightarrow *nat* \Rightarrow *int*
where [*simp*]: *mod-twopow* *x n* = *x mod* ($2 \wedge n$)

lemma *compute-div-twopow*[*code*]:
div-twopow *x n* = (if *x* = 0 \vee *x* = -1 \vee *n* = 0 then *x* else *div-twopow* (*x div* 2)
(*n* - 1))
⟨*proof*⟩

lemma *compute-mod-twopow*[*code*]:
mod-twopow *x n* = (if *n* = 0 then 0 else *x mod* 2 + 2 * *mod-twopow* (*x div* 2)
(*n* - 1))
⟨*proof*⟩

lift-definition *float-up* :: *int* \Rightarrow *float* \Rightarrow *float* **is** *round-up* ⟨*proof*⟩
declare *float-up.rep-eq*[*simp*]

lemma *round-up-correct*: *round-up* *e f* - *f* \in {0..2 *powr* -*e*}
⟨*proof*⟩

lemma *float-up-correct*: *real-of-float* (*float-up* *e f*) - *real-of-float* *f* \in {0..2 *powr* -*e*}
⟨*proof*⟩

lift-definition *float-down* :: *int* \Rightarrow *float* \Rightarrow *float* **is** *round-down* ⟨*proof*⟩
declare *float-down.rep-eq*[*simp*]

lemma *round-down-correct*: *f* - (*round-down* *e f*) \in {0..2 *powr* -*e*}
⟨*proof*⟩

lemma *float-down-correct*: *real-of-float* *f* - *real-of-float* (*float-down* *e f*) \in {0..2 *powr* -*e*}
⟨*proof*⟩

context
begin

qualified lemma *compute-float-down*[*code*]:
float-down *p* (*Float* *m e*) =
(if *p* + *e* < 0 then *Float* (*div-twopow* *m* (*nat* (-(*p* + *e*)))) (-*p*) else *Float* *m*
e)
⟨*proof*⟩

lemma *abs-round-down-le*: |*f* - (*round-down* *e f*)| \leq 2 *powr* -*e*
⟨*proof*⟩

lemma *abs-round-up-le*: |*f* - (*round-up* *e f*)| \leq 2 *powr* -*e*
⟨*proof*⟩

lemma *round-down-nonneg*: 0 \leq *s* \implies 0 \leq *round-down* *p s*

<proof>

lemma *ceil-divide-floor-conv*:

assumes $b \neq 0$

shows $\lceil \text{real-of-int } a / \text{real-of-int } b \rceil = (\text{if } b \text{ dvd } a \text{ then } a \text{ div } b \text{ else } \lfloor \text{real-of-int } a / \text{real-of-int } b \rfloor + 1)$

<proof> **lemma** *compute-float-up[code]*: $\text{float-up } p \ x = - \text{float-down } p \ (-x)$

<proof>

end

39.9 Compute bitlen of integers

definition *bitlen* :: $\text{int} \Rightarrow \text{int}$

where $\text{bitlen } a = (\text{if } a > 0 \text{ then } \lfloor \log 2 \ a \rfloor + 1 \text{ else } 0)$

lemma *bitlen-nonneg*: $0 \leq \text{bitlen } x$

<proof>

lemma *bitlen-bounds*:

assumes $x > 0$

shows $2^{\text{nat } (\text{bitlen } x - 1)} \leq x \wedge x < 2^{\text{nat } (\text{bitlen } x)}$

<proof>

lemma *bitlen-pow2[simp]*:

assumes $b > 0$

shows $\text{bitlen } (b * 2^c) = \text{bitlen } b + c$

<proof>

lemma *bitlen-Float*:

fixes $m \ e$

defines $f \equiv \text{Float } m \ e$

shows $\text{bitlen } (|\text{mantissa } f|) + \text{exponent } f = (\text{if } m = 0 \text{ then } 0 \text{ else } \text{bitlen } |m| + e)$

<proof>

context

begin

qualified lemma *compute-bitlen[code]*: $\text{bitlen } x = (\text{if } x > 0 \text{ then } \text{bitlen } (x \text{ div } 2) + 1 \text{ else } 0)$

<proof>

end

lemma *float-gt1-scale*: **assumes** $1 \leq \text{Float } m \ e$

shows $0 \leq e + (\text{bitlen } m - 1)$

<proof>

lemma *bitlen-div*:
assumes $0 < m$
shows $1 \leq \text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)}$
and $\text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)} < 2$
<proof>

39.10 Truncating Real Numbers

definition *truncate-down::nat \Rightarrow real \Rightarrow real*
where *truncate-down prec x = round-down (prec - $\lfloor \log 2 |x| \rfloor$) x*

lemma *truncate-down*: *truncate-down prec x \leq x*
<proof>

lemma *truncate-down-le*: *x \leq y \implies truncate-down prec x \leq y*
<proof>

lemma *truncate-down-zero[simp]*: *truncate-down prec 0 = 0*
<proof>

lemma *truncate-down-float[simp]*: *truncate-down p x \in float*
<proof>

definition *truncate-up::nat \Rightarrow real \Rightarrow real*
where *truncate-up prec x = round-up (prec - $\lfloor \log 2 |x| \rfloor$) x*

lemma *truncate-up*: *x \leq truncate-up prec x*
<proof>

lemma *truncate-up-le*: *x \leq y \implies x \leq truncate-up prec y*
<proof>

lemma *truncate-up-zero[simp]*: *truncate-up prec 0 = 0*
<proof>

lemma *truncate-up-uminus-eq*: *truncate-up prec (-x) = - truncate-down prec x*
and *truncate-down-uminus-eq*: *truncate-down prec (-x) = - truncate-up prec x*
<proof>

lemma *truncate-up-float[simp]*: *truncate-up p x \in float*
<proof>

lemma *mult-powr-eq*: *0 < b \implies b \neq 1 \implies 0 < x \implies x * b powr y = b powr (y + log b x)*
<proof>

lemma *truncate-down-pos*:
assumes *x > 0*
shows *truncate-down p x > 0*

<proof>

lemma *truncate-down-nonneg*: $0 \leq y \implies 0 \leq \text{truncate-down } \text{prec } y$
<proof>

lemma *truncate-down-ge1*: $1 \leq x \implies 1 \leq \text{truncate-down } p \ x$
<proof>

lemma *truncate-up-nonpos*: $x \leq 0 \implies \text{truncate-up } \text{prec } x \leq 0$
<proof>

lemma *truncate-up-le1*:
assumes $x \leq 1$
shows $\text{truncate-up } p \ x \leq 1$
<proof>

lemma *truncate-down-shift-int*: $\text{truncate-down } p \ (x * 2^{\text{powr } \text{real-of-int } k}) = \text{truncate-down } p \ x * 2^{\text{powr } k}$
<proof>

lemma *truncate-down-shift-nat*: $\text{truncate-down } p \ (x * 2^{\text{powr } \text{real } k}) = \text{truncate-down } p \ x * 2^{\text{powr } k}$
<proof>

lemma *truncate-up-shift-int*: $\text{truncate-up } p \ (x * 2^{\text{powr } \text{real-of-int } k}) = \text{truncate-up } p \ x * 2^{\text{powr } k}$
<proof>

lemma *truncate-up-shift-nat*: $\text{truncate-up } p \ (x * 2^{\text{powr } \text{real } k}) = \text{truncate-up } p \ x * 2^{\text{powr } k}$
<proof>

39.11 Truncating Floats

lift-definition *float-round-up* :: $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$ **is** *truncate-up*
<proof>

lemma *float-round-up*: $\text{real-of-float } x \leq \text{real-of-float } (\text{float-round-up } \text{prec } x)$
<proof>

lemma *float-round-up-zero[simp]*: $\text{float-round-up } \text{prec } 0 = 0$
<proof>

lift-definition *float-round-down* :: $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$ **is** *truncate-down*
<proof>

lemma *float-round-down*: $\text{real-of-float } (\text{float-round-down } \text{prec } x) \leq \text{real-of-float } x$
<proof>

lemma *float-round-down-zero*[simp]: *float-round-down prec 0 = 0*
 ⟨proof⟩

lemmas *float-round-up-le = order-trans*[OF *float-round-up*]
and *float-round-down-le = order-trans*[OF *float-round-down*]

lemma *minus-float-round-up-eq*: *− float-round-up prec x = float-round-down prec*
(− x)
and *minus-float-round-down-eq*: *− float-round-down prec x = float-round-up prec*
(− x)
 ⟨proof⟩

context
begin

qualified lemma *compute-float-round-down*[code]:
float-round-down prec (Float m e) = (let d = bitlen |m| − int prec − 1 in
if 0 < d then Float (div-twoPow m (nat d)) (e + d)
else Float m e)
 ⟨proof⟩ **lemma** *compute-float-round-up*[code]:
float-round-up prec x = − float-round-down prec (−x)
 ⟨proof⟩

end

39.12 Approximation of positive rationals

lemma *div-mult-twoPow-eq*:
fixes *a b :: nat*
shows *a div ((2::nat) ^ n) div b = a div (b * 2 ^ n)*
 ⟨proof⟩

lemma *real-div-nat-eq-floor-of-divide*:
fixes *a b :: nat*
shows *a div b = real-of-int ⌊a / b⌋*
 ⟨proof⟩

definition *rat-precision prec x y =*
(let d = bitlen x − bitlen y in int prec − d +
(if Float (abs x) 0 < Float (abs y) d then 1 else 0))

lemma *floor-log-divide-eq*:
assumes *i > 0 j > 0 p > 1*
shows *⌊log p (i / j)⌋ = floor (log p i) − floor (log p j) −*
*(if i ≥ j * p powr (floor (log p i) − floor (log p j)) then 0 else 1)*
 ⟨proof⟩

lemma *truncate-down-rat-precision*:
truncate-down prec (real x / real y) = round-down (rat-precision prec x y) (real

$x / \text{real } y$)
and *truncate-up-rat-precision*:
truncate-up prec (real x / real y) = round-up (rat-precision prec x y) (real x / real y)
 ⟨*proof*⟩

lift-definition *lapprox-posrat* :: *nat* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *float*
is $\lambda \text{prec } (x::\text{nat}) (y::\text{nat}). \text{truncate-down prec } (x / y)$
 ⟨*proof*⟩

context
begin

qualified lemma *compute-lapprox-posrat*[*code*]:
fixes *prec x y*
shows *lapprox-posrat prec x y =*
 (let
 l = rat-precision prec x y;
 *d = if 0 ≤ l then x * 2^{nat l} div y else x div 2^{nat (- l)} div y*
 in normfloat (Float d (- l)))
 ⟨*proof*⟩

end

lift-definition *rapprox-posrat* :: *nat* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *float*
is $\lambda \text{prec } (x::\text{nat}) (y::\text{nat}). \text{truncate-up prec } (x / y)$
 ⟨*proof*⟩

context
begin

qualified lemma *compute-rapprox-posrat*[*code*]:
fixes *prec x y*
defines *l* \equiv *rat-precision prec x y*
shows *rapprox-posrat prec x y =* (let
 l = l ;
 *(r, s) = if 0 ≤ l then (x * 2^{nat l}, y) else (x, y * 2^{nat(-l)}) ;*
 d = r div s ;
 m = r mod s
 in normfloat (Float (d + (if m = 0 ∨ y = 0 then 0 else 1)) (- l)))
 ⟨*proof*⟩

end

lemma *rat-precision-pos*:
assumes $0 \leq x$
and $0 < y$
and $2 * x < y$
shows *rat-precision n (int x) (int y) > 0*

⟨proof⟩

lemma *rapprox-posrat-less1*:

$0 \leq x \implies 0 < y \implies 2 * x < y \implies \text{real-of-float } (\text{rapprox-posrat } n \ x \ y) < 1$

⟨proof⟩

lift-definition *lapprox-rat* :: *nat* \Rightarrow *int* \Rightarrow *int* \Rightarrow *float* **is**

$\lambda \text{prec } (x::\text{int}) \ (y::\text{int}). \text{truncate-down } \text{prec } (x / y)$

⟨proof⟩

context

begin

qualified lemma *compute-lapprox-rat*[code]:

lapprox-rat *prec* *x* *y* =

(if *y* = 0 then 0

else if $0 \leq x$ then

(if $0 < y$ then *lapprox-posrat* *prec* (nat *x*) (nat *y*)

else $- (\text{rapprox-posrat } \text{prec } (\text{nat } x) (\text{nat } (-y)))$)

else (if $0 < y$

then $- (\text{rapprox-posrat } \text{prec } (\text{nat } (-x)) (\text{nat } y))$

else *lapprox-posrat* *prec* (nat $(-x)$) (nat $(-y)$)))

⟨proof⟩

lift-definition *rapprox-rat* :: *nat* \Rightarrow *int* \Rightarrow *int* \Rightarrow *float* **is**

$\lambda \text{prec } (x::\text{int}) \ (y::\text{int}). \text{truncate-up } \text{prec } (x / y)$

⟨proof⟩

lemma *rapprox-rat* = *rapprox-posrat*

⟨proof⟩

lemma *lapprox-rat* = *lapprox-posrat*

⟨proof⟩ **lemma** *compute-rapprox-rat*[code]:

rapprox-rat *prec* *x* *y* = $- \text{lapprox-rat } \text{prec } (-x) \ y$

⟨proof⟩ **lemma** *compute-truncate-down*[code]: *truncate-down* *p* (Ratreal *r*) = (let (*a*, *b*) = *quotient-of* *r* in *lapprox-rat* *p* *a* *b*)

⟨proof⟩ **lemma** *compute-truncate-up*[code]: *truncate-up* *p* (Ratreal *r*) = (let (*a*, *b*) = *quotient-of* *r* in *rapprox-rat* *p* *a* *b*)

⟨proof⟩

end

39.13 Division

definition *real-divl* *prec* *a* *b* = *truncate-down* *prec* (*a* / *b*)

definition *real-divr* *prec* *a* *b* = *truncate-up* *prec* (*a* / *b*)

lift-definition *float-divl* :: *nat* \Rightarrow *float* \Rightarrow *float* \Rightarrow *float* **is** *real-divl*

<proof>

context

begin

qualified lemma *compute-float-divl*[code]:

*float-divl prec (Float m1 s1) (Float m2 s2) = lapprox-rat prec m1 m2 * Float 1 (s1 - s2)*

<proof>

lift-definition *float-divr* :: *nat* \Rightarrow *float* \Rightarrow *float* \Rightarrow *float* **is** *real-divr*

<proof> **lemma** *compute-float-divr*[code]:

float-divr prec x y = - float-divl prec (-x) y

<proof>

end

39.14 Approximate Power

lemma *div2-less-self*[*termination-simp*]:

fixes *n* :: *nat*

shows *odd n* \implies *n div 2* < *n*

<proof>

fun *power-down* :: *nat* \Rightarrow *real* \Rightarrow *nat* \Rightarrow *real*

where

power-down p x 0 = 1

| *power-down p x (Suc n) =*

(if odd n then truncate-down (Suc p) ((power-down p x (Suc n div 2))²)

*else truncate-down (Suc p) (x * power-down p x n))*

fun *power-up* :: *nat* \Rightarrow *real* \Rightarrow *nat* \Rightarrow *real*

where

power-up p x 0 = 1

| *power-up p x (Suc n) =*

(if odd n then truncate-up p ((power-up p x (Suc n div 2))²)

*else truncate-up p (x * power-up p x n))*

lift-definition *power-up-fl* :: *nat* \Rightarrow *float* \Rightarrow *nat* \Rightarrow *float* **is** *power-up*

<proof>

lift-definition *power-down-fl* :: *nat* \Rightarrow *float* \Rightarrow *nat* \Rightarrow *float* **is** *power-down*

<proof>

lemma *power-float-transfer*[*transfer-rule*]:

(rel-fun pcr-float (rel-fun op = pcr-float)) op ^ op ^

<proof>

lemma *compute-power-up-fl*[code]:

$power-up-fl\ p\ x\ 0 = 1$
 $power-up-fl\ p\ x\ (Suc\ n) =$
 (if odd n then $float-round-up\ p\ ((power-up-fl\ p\ x\ (Suc\ n\ div\ 2))^2)$
 else $float-round-up\ p\ (x * power-up-fl\ p\ x\ n)$)
and $compute-power-down-fl[code]:$
 $power-down-fl\ p\ x\ 0 = 1$
 $power-down-fl\ p\ x\ (Suc\ n) =$
 (if odd n then $float-round-down\ (Suc\ p)\ ((power-down-fl\ p\ x\ (Suc\ n\ div\ 2))^2)$
 else $float-round-down\ (Suc\ p)\ (x * power-down-fl\ p\ x\ n)$)
 ⟨proof⟩

lemma $power-down-pos: 0 < x \implies 0 < power-down\ p\ x\ n$
 ⟨proof⟩

lemma $power-down-nonneg: 0 \leq x \implies 0 \leq power-down\ p\ x\ n$
 ⟨proof⟩

lemma $power-down: 0 \leq x \implies power-down\ p\ x\ n \leq x \hat{\ } n$
 ⟨proof⟩

lemma $power-up: 0 \leq x \implies x \hat{\ } n \leq power-up\ p\ x\ n$
 ⟨proof⟩

lemmas $power-up-le = order-trans[OF\ -\ power-up]$
and $power-up-less = less-le-trans[OF\ -\ power-up]$
and $power-down-le = order-trans[OF\ power-down]$

lemma $power-down-fl: 0 \leq x \implies power-down-fl\ p\ x\ n \leq x \hat{\ } n$
 ⟨proof⟩

lemma $power-up-fl: 0 \leq x \implies x \hat{\ } n \leq power-up-fl\ p\ x\ n$
 ⟨proof⟩

lemma $real-power-up-fl: real-of-float\ (power-up-fl\ p\ x\ n) = power-up\ p\ x\ n$
 ⟨proof⟩

lemma $real-power-down-fl: real-of-float\ (power-down-fl\ p\ x\ n) = power-down\ p\ x\ n$
 ⟨proof⟩

39.15 Approximate Addition

definition $plus-down\ prec\ x\ y = truncate-down\ prec\ (x + y)$

definition $plus-up\ prec\ x\ y = truncate-up\ prec\ (x + y)$

lemma $float-plus-down-float[intro, simp]: x \in float \implies y \in float \implies plus-down\ p\ x\ y \in float$
 ⟨proof⟩

lemma *float-plus-up-float*[*intro, simp*]: $x \in \text{float} \implies y \in \text{float} \implies \text{plus-up } p \ x \ y \in \text{float}$
 ⟨*proof*⟩

lift-definition *float-plus-down*:: $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float} \Rightarrow \text{float}$ **is** *plus-down* ⟨*proof*⟩

lift-definition *float-plus-up*:: $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float} \Rightarrow \text{float}$ **is** *plus-up* ⟨*proof*⟩

lemma *plus-down*: $\text{plus-down } \text{prec } x \ y \leq x + y$
and *plus-up*: $x + y \leq \text{plus-up } \text{prec } x \ y$
 ⟨*proof*⟩

lemma *float-plus-down*: $\text{real-of-float } (\text{float-plus-down } \text{prec } x \ y) \leq x + y$
and *float-plus-up*: $x + y \leq \text{real-of-float } (\text{float-plus-up } \text{prec } x \ y)$
 ⟨*proof*⟩

lemmas *plus-down-le* = *order-trans*[*OF plus-down*]
and *plus-up-le* = *order-trans*[*OF - plus-up*]
and *float-plus-down-le* = *order-trans*[*OF float-plus-down*]
and *float-plus-up-le* = *order-trans*[*OF - float-plus-up*]

lemma *compute-plus-up*[*code*]: $\text{plus-up } p \ x \ y = - \text{plus-down } p \ (-x) \ (-y)$
 ⟨*proof*⟩

lemma *truncate-down-log2-eqI*:
assumes $\lfloor \log 2 \ |x| \rfloor = \lfloor \log 2 \ |y| \rfloor$
assumes $\lfloor x * 2^{\text{power } (p - \lfloor \log 2 \ |x| \rfloor)} \rfloor = \lfloor y * 2^{\text{power } (p - \lfloor \log 2 \ |x| \rfloor)} \rfloor$
shows $\text{truncate-down } p \ x = \text{truncate-down } p \ y$
 ⟨*proof*⟩

lemma *bitlen-eq-zero-iff*: $\text{bitlen } x = 0 \iff x \leq 0$
 ⟨*proof*⟩

lemma *sum-neq-zeroI*:
fixes $a \ k :: \text{real}$
shows $|a| \geq k \implies |b| < k \implies a + b \neq 0$
and $|a| > k \implies |b| \leq k \implies a + b \neq 0$
 ⟨*proof*⟩

lemma *abs-real-le-2-powr-bitlen*[*simp*]: $|\text{real-of-int } m2| < 2^{\text{power } \text{real-of-int } (\text{bitlen } |m2|)}$
 ⟨*proof*⟩

lemma *floor-sum-times-2-powr-sgn-eq*:
fixes $ai \ p \ q :: \text{int}$
and $a \ b :: \text{real}$
assumes $a * 2^{\text{power } p} = ai$
and *b-le-1*: $|b * 2^{\text{power } (p + 1)}| \leq 1$

and *leqp*: $q \leq p$
shows $[(a + b) * 2 \text{ powr } q] = [(2 * ai + \text{sgn } b) * 2 \text{ powr } (q - p - 1)]$
 ⟨*proof*⟩

lemma *log2-abs-int-add-less-half-sgn-eq*:
fixes *ai* :: *int*
and *b* :: *real*
assumes $|b| \leq 1/2$
and $ai \neq 0$
shows $[\log 2 |\text{real-of-int } ai + b|] = [\log 2 |ai + \text{sgn } b / 2|]$
 ⟨*proof*⟩

context
begin

qualified lemma *compute-far-float-plus-down*:
fixes *m1 e1 m2 e2* :: *int*
and *p* :: *nat*
defines $k1 \equiv \text{Suc } p - \text{nat } (\text{bitlen } |m1|)$
assumes $H: \text{bitlen } |m2| \leq e1 - e2 - k1 - 2 \ m1 \neq 0 \ m2 \neq 0 \ e1 \geq e2$
shows $\text{float-plus-down } p \ (\text{Float } m1 \ e1) \ (\text{Float } m2 \ e2) =$
 $\text{float-round-down } p \ (\text{Float } (m1 * 2 ^ (\text{Suc } (\text{Suc } k1)) + \text{sgn } m2) \ (e1 - \text{int } k1$
 $- 2))$
 ⟨*proof*⟩

lemma *compute-float-plus-down-naive*[*code*]: $\text{float-plus-down } p \ x \ y = \text{float-round-down}$
 $p \ (x + y)$
 ⟨*proof*⟩ **lemma** *compute-float-plus-down*[*code*]:
fixes *p*::*nat* **and** *m1 e1 m2 e2*::*int*
shows $\text{float-plus-down } p \ (\text{Float } m1 \ e1) \ (\text{Float } m2 \ e2) =$
 $(\text{if } m1 = 0 \ \text{then } \text{float-round-down } p \ (\text{Float } m2 \ e2)$
 $\ \text{else if } m2 = 0 \ \text{then } \text{float-round-down } p \ (\text{Float } m1 \ e1)$
 $\ \text{else if } e1 \geq e2 \ \text{then}$
 $\ (\text{let}$
 $\ \ \ k1 = \text{Suc } p - \text{nat } (\text{bitlen } |m1|)$
 $\ \ \ \text{in}$
 $\ \ \ \text{if } \text{bitlen } |m2| > e1 - e2 - k1 - 2 \ \text{then } \text{float-round-down } p \ ((\text{Float } m1 \ e1)$
 $\ \ \ + \ (\text{Float } m2 \ e2))$
 $\ \ \ \text{else } \text{float-round-down } p \ (\text{Float } (m1 * 2 ^ (\text{Suc } (\text{Suc } k1)) + \text{sgn } m2) \ (e1 -$
 $\ \ \ \text{int } k1 - 2))$
 $\ \ \ \text{else } \text{float-plus-down } p \ (\text{Float } m2 \ e2) \ (\text{Float } m1 \ e1))$
 ⟨*proof*⟩ **lemma** *compute-float-plus-up*[*code*]: $\text{float-plus-up } p \ x \ y = - \text{float-plus-down}$
 $p \ (-x) \ (-y)$
 ⟨*proof*⟩

lemma *mantissa-zero*[*simp*]: $\text{mantissa } 0 = 0$
 ⟨*proof*⟩ **lemma** *compute-float-less*[*code*]: $a < b \longleftrightarrow \text{is-float-pos } (\text{float-plus-down}$
 $0 \ b \ (-a))$
 ⟨*proof*⟩ **lemma** *compute-float-le*[*code*]: $a \leq b \longleftrightarrow \text{is-float-nonneg } (\text{float-plus-down}$

0 b (- a))
 ⟨proof⟩

end

39.16 Lemmas needed by Approximate

lemma *Float-num[simp]*:

real-of-float (Float 1 0) = 1
real-of-float (Float 1 1) = 2
real-of-float (Float 1 2) = 4
real-of-float (Float 1 (- 1)) = 1/2
real-of-float (Float 1 (- 2)) = 1/4
real-of-float (Float 1 (- 3)) = 1/8
real-of-float (Float (- 1) 0) = -1
real-of-float (Float (numeral n) 0) = numeral n
real-of-float (Float (- numeral n) 0) = - numeral n
 ⟨proof⟩

lemma *real-of-Float-int[simp]*: *real-of-float* (Float n 0) = real n
 ⟨proof⟩

lemma *float-zero[simp]*: *real-of-float* (Float 0 e) = 0
 ⟨proof⟩

lemma *abs-div-2-less*: $a \neq 0 \implies a \neq -1 \implies |(a::int) \text{ div } 2| < |a|$
 ⟨proof⟩

lemma *lapprox-rat*: *real-of-float* (lapprox-rat prec x y) ≤ *real-of-int* x / *real-of-int* y
 ⟨proof⟩

lemma *mult-div-le*:

fixes a b :: int
assumes b > 0
shows a ≥ b * (a div b)
 ⟨proof⟩

lemma *lapprox-rat-nonneg*:

fixes n x y
assumes 0 ≤ x and 0 ≤ y
shows 0 ≤ *real-of-float* (lapprox-rat n x y)
 ⟨proof⟩

lemma *rapprox-rat*: *real-of-int* x / *real-of-int* y ≤ *real-of-float* (rapprox-rat prec x y)
 ⟨proof⟩

lemma *rapprox-rat-le1*:

fixes $n\ x\ y$
assumes $xy: 0 \leq x\ 0 < y\ x \leq y$
shows $real-of-float\ (rapprox-rat\ n\ x\ y) \leq 1$
 $\langle proof \rangle$

lemma $rapprox-rat-nonneg-nonpos: 0 \leq x \implies y \leq 0 \implies real-of-float\ (rapprox-rat\ n\ x\ y) \leq 0$
 $\langle proof \rangle$

lemma $rapprox-rat-nonpos-nonneg: x \leq 0 \implies 0 \leq y \implies real-of-float\ (rapprox-rat\ n\ x\ y) \leq 0$
 $\langle proof \rangle$

lemma $real-divl: real-divl\ prec\ x\ y \leq x / y$
 $\langle proof \rangle$

lemma $real-divr: x / y \leq real-divr\ prec\ x\ y$
 $\langle proof \rangle$

lemma $float-divl: real-of-float\ (float-divl\ prec\ x\ y) \leq x / y$
 $\langle proof \rangle$

lemma $real-divl-lower-bound:$
 $0 \leq x \implies 0 \leq y \implies 0 \leq real-divl\ prec\ x\ y$
 $\langle proof \rangle$

lemma $float-divl-lower-bound:$
 $0 \leq x \implies 0 \leq y \implies 0 \leq real-of-float\ (float-divl\ prec\ x\ y)$
 $\langle proof \rangle$

lemma $exponent-1: exponent\ 1 = 0$
 $\langle proof \rangle$

lemma $mantissa-1: mantissa\ 1 = 1$
 $\langle proof \rangle$

lemma $bitlen-1: bitlen\ 1 = 1$
 $\langle proof \rangle$

lemma $mantissa-eq-zero-iff: mantissa\ x = 0 \iff x = 0$
 $(is\ ?lhs \iff ?rhs)$
 $\langle proof \rangle$

lemma $float-upper-bound: x \leq 2\ powr\ (bitlen\ |mantissa\ x| + exponent\ x)$
 $\langle proof \rangle$

lemma $real-divl-pos-less1-bound:$
assumes $0 < x\ x \leq 1$
shows $1 \leq real-divl\ prec\ 1\ x$

<proof>

lemma *float-divl-pos-less1-bound:*

$0 < \text{real-of-float } x \implies \text{real-of-float } x \leq 1 \implies \text{prec} \geq 1 \implies 1 \leq \text{real-of-float}$
 $(\text{float-divl prec } 1 \ x)$

<proof>

lemma *float-divr:* $\text{real-of-float } x / \text{real-of-float } y \leq \text{real-of-float } (\text{float-divr prec } x$
 $y)$

<proof>

lemma *real-divr-pos-less1-lower-bound:*

assumes $0 < x$

and $x \leq 1$

shows $1 \leq \text{real-divr prec } 1 \ x$

<proof>

lemma *float-divr-pos-less1-lower-bound:* $0 < x \implies x \leq 1 \implies 1 \leq \text{float-divr prec}$
 $1 \ x$

<proof>

lemma *real-divr-nonpos-pos-upper-bound:*

$x \leq 0 \implies 0 \leq y \implies \text{real-divr prec } x \ y \leq 0$

<proof>

lemma *float-divr-nonpos-pos-upper-bound:*

$\text{real-of-float } x \leq 0 \implies 0 \leq \text{real-of-float } y \implies \text{real-of-float } (\text{float-divr prec } x \ y)$
 ≤ 0

<proof>

lemma *real-divr-nonneg-neg-upper-bound:*

$0 \leq x \implies y \leq 0 \implies \text{real-divr prec } x \ y \leq 0$

<proof>

lemma *float-divr-nonneg-neg-upper-bound:*

$0 \leq \text{real-of-float } x \implies \text{real-of-float } y \leq 0 \implies \text{real-of-float } (\text{float-divr prec } x \ y)$
 ≤ 0

<proof>

lemma *truncate-up-nonneg-mono:*

assumes $0 \leq x \ x \leq y$

shows $\text{truncate-up prec } x \leq \text{truncate-up prec } y$

<proof>

lemma *truncate-up-switch-sign-mono:*

assumes $x \leq 0 \ 0 \leq y$

shows $\text{truncate-up prec } x \leq \text{truncate-up prec } y$

<proof>

lemma *truncate-down-switch-sign-mono*:

assumes $x \leq 0$
and $0 \leq y$
and $x \leq y$
shows $\text{truncate-down prec } x \leq \text{truncate-down prec } y$
 $\langle \text{proof} \rangle$

lemma *truncate-down-nonneg-mono*:

assumes $0 \leq x$ $x \leq y$
shows $\text{truncate-down prec } x \leq \text{truncate-down prec } y$
 $\langle \text{proof} \rangle$

lemma *truncate-down-eq-truncate-up*: $\text{truncate-down } p \ x = - \text{truncate-up } p \ (-x)$
and *truncate-up-eq-truncate-down*: $\text{truncate-up } p \ x = - \text{truncate-down } p \ (-x)$
 $\langle \text{proof} \rangle$

lemma *truncate-down-mono*: $x \leq y \implies \text{truncate-down } p \ x \leq \text{truncate-down } p \ y$
 $\langle \text{proof} \rangle$

lemma *truncate-up-mono*: $x \leq y \implies \text{truncate-up } p \ x \leq \text{truncate-up } p \ y$
 $\langle \text{proof} \rangle$

lemma *Float-le-zero-iff*: $\text{Float } a \ b \leq 0 \longleftrightarrow a \leq 0$
 $\langle \text{proof} \rangle$

lemma *real-of-float-pprt[simp]*:

fixes $a :: \text{float}$
shows $\text{real-of-float } (\text{pprt } a) = \text{pprt } (\text{real-of-float } a)$
 $\langle \text{proof} \rangle$

lemma *real-of-float-nprt[simp]*:

fixes $a :: \text{float}$
shows $\text{real-of-float } (\text{nprt } a) = \text{nprt } (\text{real-of-float } a)$
 $\langle \text{proof} \rangle$

context

begin

lift-definition *int-floor-fl* :: $\text{float} \Rightarrow \text{int}$ **is** *floor* $\langle \text{proof} \rangle$ **lemma** *compute-int-floor-fl[code]*:
 $\text{int-floor-fl } (\text{Float } m \ e) = (\text{if } 0 \leq e \text{ then } m * 2 ^ \text{nat } e \text{ else } m \text{ div } (2 ^ (\text{nat } (-e))))$
 $\langle \text{proof} \rangle$

lift-definition *floor-fl* :: $\text{float} \Rightarrow \text{float}$ **is** $\lambda x. \text{real-of-int } \lfloor x \rfloor$

$\langle \text{proof} \rangle$ **lemma** *compute-floor-fl[code]*:
 $\text{floor-fl } (\text{Float } m \ e) = (\text{if } 0 \leq e \text{ then } \text{Float } m \ e \text{ else } \text{Float } (m \text{ div } (2 ^ (\text{nat } (-e))))$
 $0)$
 $\langle \text{proof} \rangle$

end

lemma *floor-fl: real-of-float (floor-fl x) ≤ real-of-float x*
 ⟨proof⟩

lemma *int-floor-fl: real-of-int (int-floor-fl x) ≤ real-of-float x*
 ⟨proof⟩

lemma *floor-pos-exp: exponent (floor-fl x) ≥ 0*
 ⟨proof⟩

lemma *compute-mantissa[code]:*
mantissa (Float m e) =
(if m = 0 then 0 else if 2 dvd m then mantissa (normfloat (Float m e)) else m)
 ⟨proof⟩

lemma *compute-exponent[code]:*
exponent (Float m e) =
(if m = 0 then 0 else if 2 dvd m then exponent (normfloat (Float m e)) else e)
 ⟨proof⟩

end

40 Less common functions on lists

theory *More-List*

imports *Main*

begin

definition *strip-while* :: ('a ⇒ bool) ⇒ 'a list ⇒ 'a list

where

strip-while P = rev ∘ dropWhile P ∘ rev

lemma *strip-while-rev [simp]:*
strip-while P (rev xs) = rev (dropWhile P xs)
 ⟨proof⟩

lemma *strip-while-Nil [simp]:*
strip-while P [] = []
 ⟨proof⟩

lemma *strip-while-append [simp]:*
 $\neg P x \implies \text{strip-while } P (xs @ [x]) = xs @ [x]$
 ⟨proof⟩

lemma *strip-while-append-rec [simp]:*
 $P x \implies \text{strip-while } P (xs @ [x]) = \text{strip-while } P xs$
 ⟨proof⟩

lemma *strip-while-Cons* [simp]:

$\neg P x \implies \text{strip-while } P (x \# xs) = x \# \text{strip-while } P xs$
 ⟨proof⟩

lemma *strip-while-eq-Nil* [simp]:

$\text{strip-while } P xs = [] \iff (\forall x \in \text{set } xs. P x)$
 ⟨proof⟩

lemma *strip-while-eq-Cons-rec*:

$\text{strip-while } P (x \# xs) = x \# \text{strip-while } P xs \iff \neg (P x \wedge (\forall x \in \text{set } xs. P x))$
 ⟨proof⟩

lemma *strip-while-not-last* [simp]:

$\neg P (\text{last } xs) \implies \text{strip-while } P xs = xs$
 ⟨proof⟩

lemma *split-strip-while-append*:

fixes $xs :: 'a \text{ list}$

obtains $ys zs :: 'a \text{ list}$

where $\text{strip-while } P xs = ys$ **and** $\forall x \in \text{set } zs. P x$ **and** $xs = ys @ zs$
 ⟨proof⟩

lemma *strip-while-snoc* [simp]:

$\text{strip-while } P (xs @ [x]) = (\text{if } P x \text{ then } \text{strip-while } P xs \text{ else } xs @ [x])$
 ⟨proof⟩

lemma *strip-while-map*:

$\text{strip-while } P (\text{map } f xs) = \text{map } f (\text{strip-while } (P \circ f) xs)$
 ⟨proof⟩

definition *no-leading* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$

where

$\text{no-leading } P xs \iff (xs \neq [] \longrightarrow \neg P (\text{hd } xs))$

lemma *no-leading-Nil* [simp, intro!]:

$\text{no-leading } P []$
 ⟨proof⟩

lemma *no-leading-Cons* [simp, intro!]:

$\text{no-leading } P (x \# xs) \iff \neg P x$
 ⟨proof⟩

lemma *no-leading-append* [simp]:

$\text{no-leading } P (xs @ ys) \iff \text{no-leading } P xs \wedge (xs = [] \longrightarrow \text{no-leading } P ys)$
 ⟨proof⟩

lemma *no-leading-dropWhile* [simp]:

$\text{no-leading } P (\text{dropWhile } P xs)$

<proof>

lemma *dropWhile-eq-obtain-leading*:

assumes *dropWhile* P $xs = ys$

obtains zs **where** $xs = zs @ ys$ **and** $\bigwedge z. z \in \text{set } zs \implies P z$ **and** *no-leading* P
 ys
<proof>

lemma *dropWhile-idem-iff*:

dropWhile P $xs = xs \longleftrightarrow \text{no-leading } P$ xs

<proof>

abbreviation *no-trailing* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$

where

no-trailing P $xs \equiv \text{no-leading } P$ $(\text{rev } xs)$

lemma *no-trailing-unfold*:

no-trailing P $xs \longleftrightarrow (xs \neq [] \longrightarrow \neg P$ $(\text{last } xs))$

<proof>

lemma *no-trailing-Nil* [*simp, intro!*]:

no-trailing P $[]$

<proof>

lemma *no-trailing-Cons* [*simp*]:

no-trailing P $(x \# xs) \longleftrightarrow \text{no-trailing } P$ $xs \wedge (xs = [] \longrightarrow \neg P$ $x)$

<proof>

lemma *no-trailing-append-Cons* [*simp*]:

no-trailing P $(xs @ y \# ys) \longleftrightarrow \text{no-trailing } P$ $(y \# ys)$

<proof>

lemma *no-trailing-strip-while* [*simp*]:

no-trailing P $(\text{strip-while } P$ $xs)$

<proof>

lemma *strip-while-eq-obtain-trailing*:

assumes *strip-while* P $xs = ys$

obtains zs **where** $xs = ys @ zs$ **and** $\bigwedge z. z \in \text{set } zs \implies P z$ **and** *no-trailing* P
 ys
<proof>

lemma *strip-while-idem-iff*:

strip-while P $xs = xs \longleftrightarrow \text{no-trailing } P$ xs

<proof>

lemma *no-trailing-map*:

no-trailing P $(\text{map } f$ $xs) = \text{no-trailing } (P \circ f)$ xs

⟨proof⟩

lemma *no-trailing-upt* [simp]:
 $no_trailing\ P\ [n..<m] \longleftrightarrow (n < m \longrightarrow \neg P\ (m - 1))$
 ⟨proof⟩

definition *nth-default* :: 'a ⇒ 'a list ⇒ nat ⇒ 'a
where
 $nth_default\ dflt\ xs\ n = (if\ n < length\ xs\ then\ xs\ !\ n\ else\ dflt)$

lemma *nth-default-nth*:
 $n < length\ xs \implies nth_default\ dflt\ xs\ n = xs\ !\ n$
 ⟨proof⟩

lemma *nth-default-beyond*:
 $length\ xs \leq n \implies nth_default\ dflt\ xs\ n = dflt$
 ⟨proof⟩

lemma *nth-default-Nil* [simp]:
 $nth_default\ dflt\ []\ n = dflt$
 ⟨proof⟩

lemma *nth-default-Cons*:
 $nth_default\ dflt\ (x \# xs)\ n = (case\ n\ of\ 0 \Rightarrow x \mid Suc\ n' \Rightarrow nth_default\ dflt\ xs\ n')$
 ⟨proof⟩

lemma *nth-default-Cons-0* [simp]:
 $nth_default\ dflt\ (x \# xs)\ 0 = x$
 ⟨proof⟩

lemma *nth-default-Cons-Suc* [simp]:
 $nth_default\ dflt\ (x \# xs)\ (Suc\ n) = nth_default\ dflt\ xs\ n$
 ⟨proof⟩

lemma *nth-default-replicate-dflt* [simp]:
 $nth_default\ dflt\ (replicate\ n\ dflt)\ m = dflt$
 ⟨proof⟩

lemma *nth-default-append*:
 $nth_default\ dflt\ (xs\ @\ ys)\ n =$
 $(if\ n < length\ xs\ then\ nth\ xs\ n\ else\ nth_default\ dflt\ ys\ (n - length\ xs))$
 ⟨proof⟩

lemma *nth-default-append-trailing* [simp]:
 $nth_default\ dflt\ (xs\ @\ replicate\ n\ dflt) = nth_default\ dflt\ xs$
 ⟨proof⟩

lemma *nth-default-snoc-default* [simp]:

$nth\text{-default } dflt (xs @ [dflt]) = nth\text{-default } dflt xs$
 ⟨proof⟩

lemma *nth-default-eq-dflt-iff*:

$nth\text{-default } dflt xs k = dflt \iff (k < length\ xs \implies xs ! k = dflt)$
 ⟨proof⟩

lemma *in-enumerate-iff-nth-default-eq*:

$x \neq dflt \implies (n, x) \in set (enumerate\ 0\ xs) \iff nth\text{-default } dflt xs n = x$
 ⟨proof⟩

lemma *last-conv-nth-default*:

assumes $xs \neq []$
shows $last\ xs = nth\text{-default } dflt xs (length\ xs - 1)$
 ⟨proof⟩

lemma *nth-default-map-eq*:

$f\ dflt' = dflt \implies nth\text{-default } dflt (map\ f\ xs) n = f (nth\text{-default } dflt'\ xs n)$
 ⟨proof⟩

lemma *finite-nth-default-neq-default* [simp]:

$finite\ \{k. nth\text{-default } dflt xs k \neq dflt\}$
 ⟨proof⟩

lemma *sorted-list-of-set-nth-default*:

$sorted\text{-list-of-set } \{k. nth\text{-default } dflt xs k \neq dflt\} = map\ fst (filter (\lambda(-, x). x \neq dflt) (enumerate\ 0\ xs))$
 ⟨proof⟩

lemma *map-nth-default*:

$map (nth\text{-default } x\ xs) [0..<length\ xs] = xs$
 ⟨proof⟩

lemma *range-nth-default* [simp]:

$range (nth\text{-default } dflt\ xs) = insert\ dflt (set\ xs)$
 ⟨proof⟩

lemma *nth-strip-while*:

assumes $n < length (strip\text{-while } P\ xs)$
shows $strip\text{-while } P\ xs ! n = xs ! n$
 ⟨proof⟩

lemma *length-strip-while-le*:

$length (strip\text{-while } P\ xs) \leq length\ xs$
 ⟨proof⟩

lemma *nth-default-strip-while-dflt* [simp]:

$nth\text{-default } dflt (strip\text{-while } (op = dflt)\ xs) = nth\text{-default } dflt\ xs$
 ⟨proof⟩

lemma *nth-default-eq-iff*:

nth-default dflt xs = nth-default dflt ys
 \longleftrightarrow *strip-while (HOL.eq dflt) xs = strip-while (HOL.eq dflt) ys* (is ?P \longleftrightarrow
 ?Q)
 <proof>

end

41 Polynomials as type over a ring structure

theory *Polynomial*

imports *Main* $\sim\sim$ /src/HOL/Deriv $\sim\sim$ /src/HOL/Library/More-List

$\sim\sim$ /src/HOL/Library/Infinite-Set

begin

41.1 Auxiliary: operations for lists (later) representing coefficients

definition *cCons* :: 'a::zero \Rightarrow 'a list \Rightarrow 'a list (infixr ## 65)

where

$x \## xs = (if\ xs = [] \wedge x = 0\ then\ []\ else\ x \# xs)$

lemma *cCons-0-Nil-eq* [simp]:

$0 \## [] = []$

<proof>

lemma *cCons-Cons-eq* [simp]:

$x \## y \# ys = x \# y \# ys$

<proof>

lemma *cCons-append-Cons-eq* [simp]:

$x \## xs @ y \# ys = x \# xs @ y \# ys$

<proof>

lemma *cCons-not-0-eq* [simp]:

$x \neq 0 \implies x \## xs = x \# xs$

<proof>

lemma *strip-while-not-0-Cons-eq* [simp]:

$strip-while (\lambda x. x = 0) (x \# xs) = x \## strip-while (\lambda x. x = 0) xs$

<proof>

lemma *tl-cCons* [simp]:

$tl (x \## xs) = xs$

<proof>

41.2 Definition of type *poly*

typedef (overloaded) *'a poly* = {*f* :: *nat* ⇒ *'a::zero. ∀_∞ n. f n = 0*}
morphisms *coeff Abs-poly* ⟨*proof*⟩

setup-lifting *type-definition-poly*

lemma *poly-eq-iff*: $p = q \longleftrightarrow (\forall n. \text{coeff } p \ n = \text{coeff } q \ n)$
 ⟨*proof*⟩

lemma *poly-eqI*: $(\bigwedge n. \text{coeff } p \ n = \text{coeff } q \ n) \implies p = q$
 ⟨*proof*⟩

lemma *MOST-coeff-eq-0*: $\forall \infty n. \text{coeff } p \ n = 0$
 ⟨*proof*⟩

41.3 Degree of a polynomial

definition *degree* :: *'a::zero poly* ⇒ *nat*

where

$\text{degree } p = (\text{LEAST } n. \forall i > n. \text{coeff } p \ i = 0)$

lemma *coeff-eq-0*:

assumes $\text{degree } p < n$

shows $\text{coeff } p \ n = 0$

⟨*proof*⟩

lemma *le-degree*: $\text{coeff } p \ n \neq 0 \implies n \leq \text{degree } p$
 ⟨*proof*⟩

lemma *degree-le*: $\forall i > n. \text{coeff } p \ i = 0 \implies \text{degree } p \leq n$
 ⟨*proof*⟩

lemma *less-degree-imp*: $n < \text{degree } p \implies \exists i > n. \text{coeff } p \ i \neq 0$
 ⟨*proof*⟩

41.4 The zero polynomial

instantiation *poly* :: (*zero*) *zero*

begin

lift-definition *zero-poly* :: *'a poly*

is $\lambda \cdot. 0$ ⟨*proof*⟩

instance ⟨*proof*⟩

end

lemma *coeff-0* [*simp*]:

$\text{coeff } 0 \ n = 0$

$\langle proof \rangle$

lemma *degree-0* [simp]:

$degree\ 0 = 0$

$\langle proof \rangle$

lemma *leading-coeff-neq-0*:

assumes $p \neq 0$

shows $coeff\ p\ (degree\ p) \neq 0$

$\langle proof \rangle$

lemma *leading-coeff-0-iff* [simp]:

$coeff\ p\ (degree\ p) = 0 \longleftrightarrow p = 0$

$\langle proof \rangle$

41.5 List-style constructor for polynomials

lift-definition $pCons :: 'a::zero \Rightarrow 'a\ poly \Rightarrow 'a\ poly$

is $\lambda a\ p. case\ nat\ a\ (coeff\ p)$

$\langle proof \rangle$

lemmas $coeff\ pCons = pCons.rep\ eq$

lemma *coeff-pCons-0* [simp]:

$coeff\ (pCons\ a\ p)\ 0 = a$

$\langle proof \rangle$

lemma *coeff-pCons-Suc* [simp]:

$coeff\ (pCons\ a\ p)\ (Suc\ n) = coeff\ p\ n$

$\langle proof \rangle$

lemma *degree-pCons-le*:

$degree\ (pCons\ a\ p) \leq Suc\ (degree\ p)$

$\langle proof \rangle$

lemma *degree-pCons-eq*:

$p \neq 0 \implies degree\ (pCons\ a\ p) = Suc\ (degree\ p)$

$\langle proof \rangle$

lemma *degree-pCons-0*:

$degree\ (pCons\ a\ 0) = 0$

$\langle proof \rangle$

lemma *degree-pCons-eq-if* [simp]:

$degree\ (pCons\ a\ p) = (if\ p = 0\ then\ 0\ else\ Suc\ (degree\ p))$

$\langle proof \rangle$

lemma *pCons-0-0* [simp]:

$pCons\ 0\ 0 = 0$

<proof>

lemma *pCons-eq-iff* [*simp*]:
 $pCons\ a\ p = pCons\ b\ q \longleftrightarrow a = b \wedge p = q$
<proof>

lemma *pCons-eq-0-iff* [*simp*]:
 $pCons\ a\ p = 0 \longleftrightarrow a = 0 \wedge p = 0$
<proof>

lemma *pCons-cases* [*cases type: poly*]:
obtains $(pCons)\ a\ q$ **where** $p = pCons\ a\ q$
<proof>

lemma *pCons-induct* [*case-names 0 pCons, induct type: poly*]:
assumes *zero*: $P\ 0$
assumes *pCons*: $\bigwedge a\ p. a \neq 0 \vee p \neq 0 \implies P\ p \implies P\ (pCons\ a\ p)$
shows $P\ p$
<proof>

lemma *degree-eq-zeroE*:
fixes $p :: 'a::zero\ poly$
assumes *degree* $p = 0$
obtains a **where** $p = pCons\ a\ 0$
<proof>

41.6 Quickcheck generator for polynomials

quickcheck-generator *poly constructors: 0 :: - poly, pCons*

41.7 List-style syntax for polynomials

syntax
 $-poly :: args \Rightarrow 'a\ poly\ ([:(-):])$

translations
 $[x, xs:] == CONST\ pCons\ x\ [xs:]$
 $[x:] == CONST\ pCons\ x\ 0$
 $[x:] <= CONST\ pCons\ x\ (-constrain\ 0\ t)$

41.8 Representation of polynomials by lists of coefficients

primrec $Poly :: 'a::zero\ list \Rightarrow 'a\ poly$
where
 $[code-post]: Poly\ [] = 0$
 $| [code-post]: Poly\ (a\ \#\ as) = pCons\ a\ (Poly\ as)$

lemma *Poly-replicate-0* [*simp*]:
 $Poly\ (replicate\ n\ 0) = 0$
<proof>

lemma *Poly-eq-0*:

$Poly\ as = 0 \longleftrightarrow (\exists n. as = replicate\ n\ 0)$
 ⟨proof⟩

lemma *degree-Poly*: $degree\ (Poly\ xs) \leq length\ xs$

⟨proof⟩

definition *coeffs* :: 'a poly \Rightarrow 'a::zero list

where

$coeffs\ p = (if\ p = 0\ then\ []\ else\ map\ (\lambda i. coeff\ p\ i)\ [0..< Suc\ (degree\ p)])$

lemma *coeffs-eq-Nil* [simp]:

$coeffs\ p = [] \longleftrightarrow p = 0$
 ⟨proof⟩

lemma *not-0-coeffs-not-Nil*:

$p \neq 0 \implies coeffs\ p \neq []$
 ⟨proof⟩

lemma *coeffs-0-eq-Nil* [simp]:

$coeffs\ 0 = []$
 ⟨proof⟩

lemma *coeffs-pCons-eq-cCons* [simp]:

$coeffs\ (pCons\ a\ p) = a\ ##\ coeffs\ p$
 ⟨proof⟩

lemma *length-coeffs*: $p \neq 0 \implies length\ (coeffs\ p) = degree\ p + 1$

⟨proof⟩

lemma *coeffs-nth*:

assumes $p \neq 0\ n \leq degree\ p$
shows $coeffs\ p\ !\ n = coeff\ p\ n$
 ⟨proof⟩

lemma *not-0-cCons-eq* [simp]:

$p \neq 0 \implies a\ ##\ coeffs\ p = a\ #\ coeffs\ p$
 ⟨proof⟩

lemma *Poly-coeffs* [simp, code abstype]:

$Poly\ (coeffs\ p) = p$
 ⟨proof⟩

lemma *coeffs-Poly* [simp]:

$coeffs\ (Poly\ as) = strip_while\ (HOL.eq\ 0)\ as$
 ⟨proof⟩

lemma *last-coeffs-not-0*:

$p \neq 0 \implies \text{last } (\text{coeffs } p) \neq 0$
 ⟨proof⟩

lemma *strip-while-coeffs* [simp]:
 $\text{strip-while } (\text{HOL.eq } 0) (\text{coeffs } p) = \text{coeffs } p$
 ⟨proof⟩

lemma *coeffs-eq-iff*:
 $p = q \iff \text{coeffs } p = \text{coeffs } q$ (is ?P \iff ?Q)
 ⟨proof⟩

lemma *coeff-Poly-eq*:
 $\text{coeff } (\text{Poly } xs) n = \text{nth-default } 0 \ xs \ n$
 ⟨proof⟩

lemma *nth-default-coeffs-eq*:
 $\text{nth-default } 0 (\text{coeffs } p) = \text{coeff } p$
 ⟨proof⟩

lemma [code]:
 $\text{coeff } p = \text{nth-default } 0 (\text{coeffs } p)$
 ⟨proof⟩

lemma *coeffs-eqI*:
assumes *coeff*: $\bigwedge n. \text{coeff } p \ n = \text{nth-default } 0 \ xs \ n$
assumes *zero*: $xs \neq [] \implies \text{last } xs \neq 0$
shows $\text{coeffs } p = xs$
 ⟨proof⟩

lemma *degree-eq-length-coeffs* [code]:
 $\text{degree } p = \text{length } (\text{coeffs } p) - 1$
 ⟨proof⟩

lemma *length-coeffs-degree*:
 $p \neq 0 \implies \text{length } (\text{coeffs } p) = \text{Suc } (\text{degree } p)$
 ⟨proof⟩

lemma [code abstract]:
 $\text{coeffs } 0 = []$
 ⟨proof⟩

lemma [code abstract]:
 $\text{coeffs } (p\text{Cons } a \ p) = a \ \#\#\ \text{coeffs } p$
 ⟨proof⟩

instantiation *poly* :: ($\{ \text{zero}, \text{equal} \}$) *equal*
begin

definition

[code]: $HOL.equal (p :: 'a poly) q \longleftrightarrow HOL.equal (coeffs p) (coeffs q)$

instance

$\langle proof \rangle$

end

lemma [code nbe]: $HOL.equal (p :: - poly) p \longleftrightarrow True$

$\langle proof \rangle$

definition $is-zero :: 'a::zero poly \Rightarrow bool$

where

[code]: $is-zero p \longleftrightarrow List.null (coeffs p)$

lemma $is-zero-null$ [code-abbrev]:

$is-zero p \longleftrightarrow p = 0$

$\langle proof \rangle$

41.9 Fold combinator for polynomials

definition $fold-coeffs :: ('a::zero \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a poly \Rightarrow 'b \Rightarrow 'b$

where

$fold-coeffs f p = foldr f (coeffs p)$

lemma $fold-coeffs-0-eq$ [simp]:

$fold-coeffs f 0 = id$

$\langle proof \rangle$

lemma $fold-coeffs-pCons-eq$ [simp]:

$f 0 = id \Longrightarrow fold-coeffs f (pCons a p) = f a \circ fold-coeffs f p$

$\langle proof \rangle$

lemma $fold-coeffs-pCons-0-0-eq$ [simp]:

$fold-coeffs f (pCons 0 0) = id$

$\langle proof \rangle$

lemma $fold-coeffs-pCons-coeff-not-0-eq$ [simp]:

$a \neq 0 \Longrightarrow fold-coeffs f (pCons a p) = f a \circ fold-coeffs f p$

$\langle proof \rangle$

lemma $fold-coeffs-pCons-not-0-0-eq$ [simp]:

$p \neq 0 \Longrightarrow fold-coeffs f (pCons a p) = f a \circ fold-coeffs f p$

$\langle proof \rangle$

41.10 Canonical morphism on polynomials – evaluation

definition $poly :: 'a::comm-semiring-0 poly \Rightarrow 'a \Rightarrow 'a$

where

$poly p = fold-coeffs (\lambda a f x. a + x * f x) p (\lambda x. 0)$ — The Horner Schema

lemma *poly-0* [*simp*]:

poly 0 x = 0

<proof>

lemma *poly-pCons* [*simp*]:

*poly (pCons a p) x = a + x * poly p x*

<proof>

lemma *poly-altdef*:

*poly p (x :: 'a :: {comm-semiring-0, semiring-1}) = ($\sum_{i \leq \text{degree } p} \text{coeff } p \ i * x^i$)*

<proof>

lemma *poly-0-coeff-0*: *poly p 0 = coeff p 0*

<proof>

41.11 Monomials

lift-definition *monom* :: 'a \Rightarrow nat \Rightarrow 'a::zero poly

is $\lambda a \ m \ n. \text{if } m = n \text{ then } a \text{ else } 0$

<proof>

lemma *coeff-monom* [*simp*]:

coeff (monom a m) n = (if m = n then a else 0)

<proof>

lemma *monom-0*:

monom a 0 = pCons a 0

<proof>

lemma *monom-Suc*:

monom a (Suc n) = pCons 0 (monom a n)

<proof>

lemma *monom-eq-0* [*simp*]: *monom 0 n = 0*

<proof>

lemma *monom-eq-0-iff* [*simp*]: *monom a n = 0 \longleftrightarrow a = 0*

<proof>

lemma *monom-eq-iff* [*simp*]: *monom a n = monom b n \longleftrightarrow a = b*

<proof>

lemma *degree-monom-le*: *degree (monom a n) \leq n*

<proof>

lemma *degree-monom-eq*: *a \neq 0 \implies degree (monom a n) = n*

<proof>

lemma *coeffs-monom* [*code abstract*]:
coeffs (*monom a n*) = (if *a = 0* then [] else replicate *n 0* @ [*a*])
 ⟨*proof*⟩

lemma *fold-coeffs-monom* [*simp*]:
 $a \neq 0 \implies \text{fold-coeffs } f \text{ (monom } a \text{ } n) = f \ 0 \ ^n \circ f \ a$
 ⟨*proof*⟩

lemma *poly-monom*:
fixes *a x* :: 'a::{comm-semiring-1}
shows *poly* (*monom a n*) *x* = *a* * *x* ^ *n*
 ⟨*proof*⟩

41.12 Addition and subtraction

instantiation *poly* :: (comm-monoid-add) comm-monoid-add
begin

lift-definition *plus-poly* :: 'a poly \Rightarrow 'a poly \Rightarrow 'a poly
is $\lambda p \ q \ n. \text{coeff } p \ n + \text{coeff } q \ n$
 ⟨*proof*⟩

lemma *coeff-add* [*simp*]: $\text{coeff } (p + q) \ n = \text{coeff } p \ n + \text{coeff } q \ n$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

instantiation *poly* :: (cancel-comm-monoid-add) cancel-comm-monoid-add
begin

lift-definition *minus-poly* :: 'a poly \Rightarrow 'a poly \Rightarrow 'a poly
is $\lambda p \ q \ n. \text{coeff } p \ n - \text{coeff } q \ n$
 ⟨*proof*⟩

lemma *coeff-diff* [*simp*]: $\text{coeff } (p - q) \ n = \text{coeff } p \ n - \text{coeff } q \ n$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

instantiation *poly* :: (ab-group-add) ab-group-add
begin

lift-definition *uminus-poly* :: 'a poly \Rightarrow 'a poly

is $\lambda p n. - \text{coeff } p n$
 $\langle \text{proof} \rangle$

lemma *coeff-minus* [*simp*]: $\text{coeff } (- p) n = - \text{coeff } p n$
 $\langle \text{proof} \rangle$

instance
 $\langle \text{proof} \rangle$

end

lemma *add-pCons* [*simp*]:
 $p\text{Cons } a p + p\text{Cons } b q = p\text{Cons } (a + b) (p + q)$
 $\langle \text{proof} \rangle$

lemma *minus-pCons* [*simp*]:
 $- p\text{Cons } a p = p\text{Cons } (- a) (- p)$
 $\langle \text{proof} \rangle$

lemma *diff-pCons* [*simp*]:
 $p\text{Cons } a p - p\text{Cons } b q = p\text{Cons } (a - b) (p - q)$
 $\langle \text{proof} \rangle$

lemma *degree-add-le-max*: $\text{degree } (p + q) \leq \max (\text{degree } p) (\text{degree } q)$
 $\langle \text{proof} \rangle$

lemma *degree-add-le*:
 $\llbracket \text{degree } p \leq n; \text{degree } q \leq n \rrbracket \implies \text{degree } (p + q) \leq n$
 $\langle \text{proof} \rangle$

lemma *degree-add-less*:
 $\llbracket \text{degree } p < n; \text{degree } q < n \rrbracket \implies \text{degree } (p + q) < n$
 $\langle \text{proof} \rangle$

lemma *degree-add-eq-right*:
 $\text{degree } p < \text{degree } q \implies \text{degree } (p + q) = \text{degree } q$
 $\langle \text{proof} \rangle$

lemma *degree-add-eq-left*:
 $\text{degree } q < \text{degree } p \implies \text{degree } (p + q) = \text{degree } p$
 $\langle \text{proof} \rangle$

lemma *degree-minus* [*simp*]:
 $\text{degree } (- p) = \text{degree } p$
 $\langle \text{proof} \rangle$

lemma *degree-diff-le-max*:
fixes $p q :: 'a :: \text{ab-group-add poly}$
shows $\text{degree } (p - q) \leq \max (\text{degree } p) (\text{degree } q)$

<proof>

lemma *degree-diff-le*:

fixes $p\ q :: 'a :: \text{ab-group-add poly}$

assumes $\text{degree } p \leq n$ **and** $\text{degree } q \leq n$

shows $\text{degree } (p - q) \leq n$

<proof>

lemma *degree-diff-less*:

fixes $p\ q :: 'a :: \text{ab-group-add poly}$

assumes $\text{degree } p < n$ **and** $\text{degree } q < n$

shows $\text{degree } (p - q) < n$

<proof>

lemma *add-monom*: $\text{monom } a\ n + \text{monom } b\ n = \text{monom } (a + b)\ n$

<proof>

lemma *diff-monom*: $\text{monom } a\ n - \text{monom } b\ n = \text{monom } (a - b)\ n$

<proof>

lemma *minus-monom*: $-\text{monom } a\ n = \text{monom } (-a)\ n$

<proof>

lemma *coeff-setsum*: $\text{coeff } (\sum x \in A. p\ x)\ i = (\sum x \in A. \text{coeff } (p\ x)\ i)$

<proof>

lemma *monom-setsum*: $\text{monom } (\sum x \in A. a\ x)\ n = (\sum x \in A. \text{monom } (a\ x)\ n)$

<proof>

fun *plus-coeffs* :: $'a :: \text{comm-monoid-add list} \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list}$

where

$\text{plus-coeffs } xs\ [] = xs$

| $\text{plus-coeffs } []\ ys = ys$

| $\text{plus-coeffs } (x\ \# xs)\ (y\ \# ys) = (x + y)\ \#\#\ \text{plus-coeffs } xs\ ys$

lemma *coeffs-plus-eq-plus-coeffs* [*code abstract*]:

$\text{coeffs } (p + q) = \text{plus-coeffs } (\text{coeffs } p)\ (\text{coeffs } q)$

<proof>

lemma *coeffs-uminus* [*code abstract*]:

$\text{coeffs } (-p) = \text{map } (\lambda a. -a)\ (\text{coeffs } p)$

<proof>

lemma [*code*]:

fixes $p\ q :: 'a :: \text{ab-group-add poly}$

shows $p - q = p + -q$

<proof>

lemma *poly-add* [*simp*]: $\text{poly } (p + q)\ x = \text{poly } p\ x + \text{poly } q\ x$

$\langle proof \rangle$

lemma *poly-minus* [simp]:
fixes $x :: 'a::comm-ring$
shows $poly (- p) x = - poly p x$
 $\langle proof \rangle$

lemma *poly-diff* [simp]:
fixes $x :: 'a::comm-ring$
shows $poly (p - q) x = poly p x - poly q x$
 $\langle proof \rangle$

lemma *poly-setsum*: $poly (\sum k \in A. p k) x = (\sum k \in A. poly (p k) x)$
 $\langle proof \rangle$

lemma *degree-setsum-le*: $finite S \implies (\bigwedge p . p \in S \implies degree (f p) \leq n) \implies degree (setsum f S) \leq n$
 $\langle proof \rangle$

lemma *poly-as-sum-of-monoms'*:
assumes $n: degree p \leq n$
shows $(\sum i \leq n. monom (coeff p i) i) = p$
 $\langle proof \rangle$

lemma *poly-as-sum-of-monoms*: $(\sum i \leq degree p. monom (coeff p i) i) = p$
 $\langle proof \rangle$

lemma *Poly-snoc*: $Poly (xs @ [x]) = Poly xs + monom x (length xs)$
 $\langle proof \rangle$

41.13 Multiplication by a constant, polynomial multiplication and the unit polynomial

lift-definition *smult* :: $'a::comm-semiring-0 \Rightarrow 'a poly \Rightarrow 'a poly$
is $\lambda a p n. a * coeff p n$
 $\langle proof \rangle$

lemma *coeff-smult* [simp]:
 $coeff (smult a p) n = a * coeff p n$
 $\langle proof \rangle$

lemma *degree-smult-le*: $degree (smult a p) \leq degree p$
 $\langle proof \rangle$

lemma *smult-smult* [simp]: $smult a (smult b p) = smult (a * b) p$
 $\langle proof \rangle$

lemma *smult-0-right* [simp]: $smult a 0 = 0$
 $\langle proof \rangle$

lemma *smult-0-left* [*simp*]: $smult\ 0\ p = 0$
 ⟨*proof*⟩

lemma *smult-1-left* [*simp*]: $smult\ (1::'a::comm-semiring-1)\ p = p$
 ⟨*proof*⟩

lemma *smult-add-right*:
 $smult\ a\ (p + q) = smult\ a\ p + smult\ a\ q$
 ⟨*proof*⟩

lemma *smult-add-left*:
 $smult\ (a + b)\ p = smult\ a\ p + smult\ b\ p$
 ⟨*proof*⟩

lemma *smult-minus-right* [*simp*]:
 $smult\ (a::'a::comm-ring)\ (-\ p) = -\ smult\ a\ p$
 ⟨*proof*⟩

lemma *smult-minus-left* [*simp*]:
 $smult\ (-\ a::'a::comm-ring)\ p = -\ smult\ a\ p$
 ⟨*proof*⟩

lemma *smult-diff-right*:
 $smult\ (a::'a::comm-ring)\ (p - q) = smult\ a\ p - smult\ a\ q$
 ⟨*proof*⟩

lemma *smult-diff-left*:
 $smult\ (a - b::'a::comm-ring)\ p = smult\ a\ p - smult\ b\ p$
 ⟨*proof*⟩

lemmas *smult-distrib* =
smult-add-left smult-add-right
smult-diff-left smult-diff-right

lemma *smult-pCons* [*simp*]:
 $smult\ a\ (pCons\ b\ p) = pCons\ (a * b)\ (smult\ a\ p)$
 ⟨*proof*⟩

lemma *smult-monom*: $smult\ a\ (monom\ b\ n) = monom\ (a * b)\ n$
 ⟨*proof*⟩

lemma *degree-smult-eq* [*simp*]:
fixes $a :: 'a::idom$
shows $degree\ (smult\ a\ p) = (if\ a = 0\ then\ 0\ else\ degree\ p)$
 ⟨*proof*⟩

lemma *smult-eq-0-iff* [*simp*]:
fixes $a :: 'a::idom$

shows $\text{smult } a \ p = 0 \iff a = 0 \vee p = 0$
 ⟨proof⟩

lemma *coeffs-smult* [code abstract]:

fixes $p :: 'a::\text{idom } \text{poly}$

shows $\text{coeffs } (\text{smult } a \ p) = (\text{if } a = 0 \text{ then } [] \text{ else } \text{map } (\text{Groups.times } a) (\text{coeffs } p))$

⟨proof⟩

instantiation $\text{poly} :: (\text{comm-semiring-0}) \text{comm-semiring-0}$

begin

definition

$p * q = \text{fold-coeffs } (\lambda a \ p. \text{smult } a \ q + \text{pCons } 0 \ p) \ p \ 0$

lemma *mult-poly-0-left*: $(0::'a \ \text{poly}) * q = 0$

⟨proof⟩

lemma *mult-pCons-left* [simp]:

$\text{pCons } a \ p * q = \text{smult } a \ q + \text{pCons } 0 \ (p * q)$

⟨proof⟩

lemma *mult-poly-0-right*: $p * (0::'a \ \text{poly}) = 0$

⟨proof⟩

lemma *mult-pCons-right* [simp]:

$p * \text{pCons } a \ q = \text{smult } a \ p + \text{pCons } 0 \ (p * q)$

⟨proof⟩

lemmas $\text{mult-poly-0} = \text{mult-poly-0-left } \text{mult-poly-0-right}$

lemma *mult-smult-left* [simp]:

$\text{smult } a \ p * q = \text{smult } a \ (p * q)$

⟨proof⟩

lemma *mult-smult-right* [simp]:

$p * \text{smult } a \ q = \text{smult } a \ (p * q)$

⟨proof⟩

lemma *mult-poly-add-left*:

fixes $p \ q \ r :: 'a \ \text{poly}$

shows $(p + q) * r = p * r + q * r$

⟨proof⟩

instance

⟨proof⟩

end

instance *poly* :: (*comm-semiring-0-cancel*) *comm-semiring-0-cancel* ⟨*proof*⟩

lemma *coeff-mult*:

$\text{coeff } (p * q) \ n = (\sum_{i \leq n}. \text{coeff } p \ i * \text{coeff } q \ (n-i))$
 ⟨*proof*⟩

lemma *degree-mult-le*: $\text{degree } (p * q) \leq \text{degree } p + \text{degree } q$

⟨*proof*⟩

lemma *mult-monom*: $\text{monom } a \ m * \text{monom } b \ n = \text{monom } (a * b) \ (m + n)$

⟨*proof*⟩

instantiation *poly* :: (*comm-semiring-1*) *comm-semiring-1*

begin

definition *one-poly-def*: $1 = pCons \ 1 \ 0$

instance

⟨*proof*⟩

end

instance *poly* :: (*comm-ring*) *comm-ring* ⟨*proof*⟩

instance *poly* :: (*comm-ring-1*) *comm-ring-1* ⟨*proof*⟩

lemma *coeff-1* [*simp*]: $\text{coeff } 1 \ n = (\text{if } n = 0 \ \text{then } 1 \ \text{else } 0)$

⟨*proof*⟩

lemma *monom-eq-1* [*simp*]:

$\text{monom } 1 \ 0 = 1$

⟨*proof*⟩

lemma *degree-1* [*simp*]: $\text{degree } 1 = 0$

⟨*proof*⟩

lemma *coeffs-1-eq* [*simp*, *code abstract*]:

$\text{coeffs } 1 = [1]$

⟨*proof*⟩

lemma *degree-power-le*:

$\text{degree } (p \ ^n) \leq \text{degree } p * n$

⟨*proof*⟩

lemma *poly-smult* [*simp*]:

$\text{poly } (\text{smult } a \ p) \ x = a * \text{poly } p \ x$

⟨*proof*⟩

lemma *poly-mult* [*simp*]:

$\text{poly } (p * q) x = \text{poly } p x * \text{poly } q x$
 $\langle \text{proof} \rangle$

lemma *poly-1* [*simp*]:

$\text{poly } 1 x = 1$
 $\langle \text{proof} \rangle$

lemma *poly-power* [*simp*]:

fixes $p :: 'a::\{\text{comm-semiring-1}\}$ *poly*
shows $\text{poly } (p \wedge n) x = \text{poly } p x \wedge n$
 $\langle \text{proof} \rangle$

lemma *poly-setprod*: $\text{poly } (\prod_{k \in A} p k) x = (\prod_{k \in A} \text{poly } (p k) x)$
 $\langle \text{proof} \rangle$

lemma *degree-setprod-setsum-le*: $\text{finite } S \implies \text{degree } (\text{setprod } f S) \leq \text{setsum } (\text{degree } o f) S$
 $\langle \text{proof} \rangle$

41.14 Conversions from natural numbers

lemma *of-nat-poly*: $\text{of-nat } n = [:\text{of-nat } n :: 'a :: \text{comm-semiring-1}:]$
 $\langle \text{proof} \rangle$

lemma *degree-of-nat* [*simp*]: $\text{degree } (\text{of-nat } n) = 0$
 $\langle \text{proof} \rangle$

lemma *degree-numeral* [*simp*]: $\text{degree } (\text{numeral } n) = 0$
 $\langle \text{proof} \rangle$

lemma *numeral-poly*: $\text{numeral } n = [:\text{numeral } n:]$
 $\langle \text{proof} \rangle$

41.15 Lemmas about divisibility

lemma *dvd-smult*: $p \text{ dvd } q \implies p \text{ dvd } \text{smult } a q$
 $\langle \text{proof} \rangle$

lemma *dvd-smult-cancel*:

fixes $a :: 'a :: \text{field}$
shows $p \text{ dvd } \text{smult } a q \implies a \neq 0 \implies p \text{ dvd } q$
 $\langle \text{proof} \rangle$

lemma *dvd-smult-iff*:

fixes $a :: 'a::\text{field}$
shows $a \neq 0 \implies p \text{ dvd } \text{smult } a q \iff p \text{ dvd } q$
 $\langle \text{proof} \rangle$

lemma *smult-dvd-cancel*:

$\text{smult } a p \text{ dvd } q \implies p \text{ dvd } q$

<proof>

lemma *smult-dvd*:

fixes $a :: 'a::field$

shows $p \text{ dvd } q \implies a \neq 0 \implies \text{smult } a \text{ } p \text{ dvd } q$

<proof>

lemma *smult-dvd-iff*:

fixes $a :: 'a::field$

shows $\text{smult } a \text{ } p \text{ dvd } q \iff (\text{if } a = 0 \text{ then } q = 0 \text{ else } p \text{ dvd } q)$

<proof>

41.16 Polynomials form an integral domain

lemma *coeff-mult-degree-sum*:

$\text{coeff } (p * q) (\text{degree } p + \text{degree } q) =$

$\text{coeff } p (\text{degree } p) * \text{coeff } q (\text{degree } q)$

<proof>

instance *poly* :: (*idom*) *idom*

<proof>

lemma *degree-mult-eq*:

fixes $p \ q :: 'a::\text{semidom } \text{poly}$

shows $\llbracket p \neq 0; q \neq 0 \rrbracket \implies \text{degree } (p * q) = \text{degree } p + \text{degree } q$

<proof>

lemma *degree-mult-right-le*:

fixes $p \ q :: 'a::\text{semidom } \text{poly}$

assumes $q \neq 0$

shows $\text{degree } p \leq \text{degree } (p * q)$

<proof>

lemma *coeff-degree-mult*:

fixes $p \ q :: 'a::\text{semidom } \text{poly}$

shows $\text{coeff } (p * q) (\text{degree } (p * q)) =$

$\text{coeff } q (\text{degree } q) * \text{coeff } p (\text{degree } p)$

<proof>

lemma *dvd-imp-degree-le*:

fixes $p \ q :: 'a::\text{semidom } \text{poly}$

shows $\llbracket p \text{ dvd } q; q \neq 0 \rrbracket \implies \text{degree } p \leq \text{degree } q$

<proof>

lemma *divides-degree*:

assumes $pq: p \text{ dvd } (q :: 'a :: \text{semidom } \text{poly})$

shows $\text{degree } p \leq \text{degree } q \vee q = 0$

<proof>

41.17 Polynomials form an ordered integral domain**definition** $pos\text{-}poly :: 'a::linordered\text{-}idom \Rightarrow poly \Rightarrow bool$ **where**

$$pos\text{-}poly\ p \longleftrightarrow 0 < coeff\ p\ (degree\ p)$$

lemma $pos\text{-}poly\text{-}pCons$:

$$pos\text{-}poly\ (pCons\ a\ p) \longleftrightarrow pos\text{-}poly\ p \vee (p = 0 \wedge 0 < a)$$

 $\langle proof \rangle$ **lemma** $not\text{-}pos\text{-}poly\text{-}0$ [simp]: $\neg pos\text{-}poly\ 0$ $\langle proof \rangle$ **lemma** $pos\text{-}poly\text{-}add$: $\llbracket pos\text{-}poly\ p; pos\text{-}poly\ q \rrbracket \Longrightarrow pos\text{-}poly\ (p + q)$ $\langle proof \rangle$ **lemma** $pos\text{-}poly\text{-}mult$: $\llbracket pos\text{-}poly\ p; pos\text{-}poly\ q \rrbracket \Longrightarrow pos\text{-}poly\ (p * q)$ $\langle proof \rangle$ **lemma** $pos\text{-}poly\text{-}total$: $p = 0 \vee pos\text{-}poly\ p \vee pos\text{-}poly\ (-\ p)$ $\langle proof \rangle$ **lemma** $last\text{-}coeffs\text{-}eq\text{-}coeff\text{-}degree$:

$$p \neq 0 \Longrightarrow last\ (coeffs\ p) = coeff\ p\ (degree\ p)$$

 $\langle proof \rangle$ **lemma** $pos\text{-}poly\text{-}coeffs$ [code]:

$$pos\text{-}poly\ p \longleftrightarrow (let\ as = coeffs\ p\ in\ as \neq [] \wedge last\ as > 0) \text{ (is } ?P \longleftrightarrow ?Q)$$

 $\langle proof \rangle$ **instantiation** $poly :: (linordered\text{-}idom) \Rightarrow linordered\text{-}idom$ **begin****definition**

$$x < y \longleftrightarrow pos\text{-}poly\ (y - x)$$

definition

$$x \leq y \longleftrightarrow x = y \vee pos\text{-}poly\ (y - x)$$

definition

$$|x::'a\ poly| = (if\ x < 0\ then\ -\ x\ else\ x)$$

definition

$$sgn\ (x::'a\ poly) = (if\ x = 0\ then\ 0\ else\ if\ 0 < x\ then\ 1\ else\ -\ 1)$$

instance $\langle proof \rangle$ **end**

TODO: Simplification rules for comparisons

41.18 Synthetic division and polynomial roots

Synthetic division is simply division by the linear polynomial $x - c$.

definition *synthetic-divmod* :: 'a::comm-semiring-0 poly \Rightarrow 'a \Rightarrow 'a poly \times 'a
where

$$\text{synthetic-divmod } p \ c = \text{fold-coeffs } (\lambda a \ (q, r). (p\text{Cons } r \ q, a + c * r)) \ p \ (0, 0)$$

definition *synthetic-div* :: 'a::comm-semiring-0 poly \Rightarrow 'a \Rightarrow 'a poly
where

$$\text{synthetic-div } p \ c = \text{fst } (\text{synthetic-divmod } p \ c)$$

lemma *synthetic-divmod-0* [simp]:

$$\text{synthetic-divmod } 0 \ c = (0, 0)$$

<proof>

lemma *synthetic-divmod-pCons* [simp]:

$$\text{synthetic-divmod } (p\text{Cons } a \ p) \ c = (\lambda(q, r). (p\text{Cons } r \ q, a + c * r)) (\text{synthetic-divmod } p \ c)$$

<proof>

lemma *synthetic-div-0* [simp]:

$$\text{synthetic-div } 0 \ c = 0$$

<proof>

lemma *synthetic-div-unique-lemma*: $\text{smult } c \ p = p\text{Cons } a \ p \Longrightarrow p = 0$

<proof>

lemma *snd-synthetic-divmod*:

$$\text{snd } (\text{synthetic-divmod } p \ c) = \text{poly } p \ c$$

<proof>

lemma *synthetic-div-pCons* [simp]:

$$\text{synthetic-div } (p\text{Cons } a \ p) \ c = p\text{Cons } (\text{poly } p \ c) (\text{synthetic-div } p \ c)$$

<proof>

lemma *synthetic-div-eq-0-iff*:

$$\text{synthetic-div } p \ c = 0 \longleftrightarrow \text{degree } p = 0$$

<proof>

lemma *degree-synthetic-div*:

$$\text{degree } (\text{synthetic-div } p \ c) = \text{degree } p - 1$$

<proof>

lemma *synthetic-div-correct*:

$$p + \text{smult } c \ (\text{synthetic-div } p \ c) = p\text{Cons } (\text{poly } p \ c) (\text{synthetic-div } p \ c)$$

<proof>

lemma *synthetic-div-unique*:

$p + \text{smult } c \ q = p \text{Cons } r \ q \implies r = \text{poly } p \ c \wedge q = \text{synthetic-div } p \ c$
 $\langle \text{proof} \rangle$

lemma *synthetic-div-correct'*:

fixes $c :: 'a::\text{comm-ring-1}$
shows $[: -c, 1:] * \text{synthetic-div } p \ c + [: \text{poly } p \ c:] = p$
 $\langle \text{proof} \rangle$

lemma *poly-eq-0-iff-dvd*:

fixes $c :: 'a::\text{idom}$
shows $\text{poly } p \ c = 0 \longleftrightarrow [: -c, 1:] \ \text{dvd } p$
 $\langle \text{proof} \rangle$

lemma *dvd-iff-poly-eq-0*:

fixes $c :: 'a::\text{idom}$
shows $[: c, 1:] \ \text{dvd } p \longleftrightarrow \text{poly } p \ (-c) = 0$
 $\langle \text{proof} \rangle$

lemma *poly-roots-finite*:

fixes $p :: 'a::\text{idom } \text{poly}$
shows $p \neq 0 \implies \text{finite } \{x. \text{poly } p \ x = 0\}$
 $\langle \text{proof} \rangle$

lemma *poly-eq-poly-eq-iff*:

fixes $p \ q :: 'a::\{\text{idom}, \text{ring-char-0}\} \ \text{poly}$
shows $\text{poly } p = \text{poly } q \longleftrightarrow p = q \ (\text{is } ?P \longleftrightarrow ?Q)$
 $\langle \text{proof} \rangle$

lemma *poly-all-0-iff-0*:

fixes $p :: 'a::\{\text{ring-char-0}, \text{idom}\} \ \text{poly}$
shows $(\forall x. \text{poly } p \ x = 0) \longleftrightarrow p = 0$
 $\langle \text{proof} \rangle$

41.19 Long division of polynomials

definition *pdivmod-rel* $:: 'a::\text{field } \text{poly} \Rightarrow 'a \ \text{poly} \Rightarrow 'a \ \text{poly} \Rightarrow 'a \ \text{poly} \Rightarrow \text{bool}$
where

$pdivmod\text{-rel } x \ y \ q \ r \longleftrightarrow$
 $x = q * y + r \wedge (\text{if } y = 0 \text{ then } q = 0 \text{ else } r = 0 \vee \text{degree } r < \text{degree } y)$

lemma *pdivmod-rel-0*:

$pdivmod\text{-rel } 0 \ y \ 0 \ 0$
 $\langle \text{proof} \rangle$

lemma *pdivmod-rel-by-0*:

$pdivmod\text{-rel } x \ 0 \ 0 \ x$
 $\langle \text{proof} \rangle$

lemma *eq-zero-or-degree-less*:

assumes *degree* $p \leq n$ **and** *coeff* $p\ n = 0$

shows $p = 0 \vee \text{degree } p < n$

<proof>

lemma *pdivmod-rel-pCons*:

assumes *rel*: *pdivmod-rel* $x\ y\ q\ r$

assumes *y*: $y \neq 0$

assumes *b*: $b = \text{coeff } (pCons\ a\ r)\ (\text{degree } y) / \text{coeff } y\ (\text{degree } y)$

shows *pdivmod-rel* $(pCons\ a\ x)\ y\ (pCons\ b\ q)\ (pCons\ a\ r - \text{smult } b\ y)$
(is pdivmod-rel ?x y ?q ?r)

<proof>

lemma *pdivmod-rel-exists*: $\exists q\ r. \text{pdivmod-rel } x\ y\ q\ r$

<proof>

lemma *pdivmod-rel-unique*:

assumes *1*: *pdivmod-rel* $x\ y\ q1\ r1$

assumes *2*: *pdivmod-rel* $x\ y\ q2\ r2$

shows $q1 = q2 \wedge r1 = r2$

<proof>

lemma *pdivmod-rel-0-iff*: *pdivmod-rel* $0\ y\ q\ r \longleftrightarrow q = 0 \wedge r = 0$

<proof>

lemma *pdivmod-rel-by-0-iff*: *pdivmod-rel* $x\ 0\ q\ r \longleftrightarrow q = 0 \wedge r = x$

<proof>

lemmas *pdivmod-rel-unique-div* = *pdivmod-rel-unique* [*THEN conjunct1*]

lemmas *pdivmod-rel-unique-mod* = *pdivmod-rel-unique* [*THEN conjunct2*]

instantiation *poly* :: (*field*) *ring-div*

begin

definition *divide-poly* **where**

div-poly-def: $x\ \text{div } y = (\text{THE } q. \exists r. \text{pdivmod-rel } x\ y\ q\ r)$

definition *mod-poly* **where**

$x\ \text{mod } y = (\text{THE } r. \exists q. \text{pdivmod-rel } x\ y\ q\ r)$

lemma *div-poly-eq*:

pdivmod-rel $x\ y\ q\ r \implies x\ \text{div } y = q$

<proof>

lemma *mod-poly-eq*:

pdivmod-rel $x\ y\ q\ r \implies x\ \text{mod } y = r$

<proof>

lemma *pdivmod-rel*:

pdivmod-rel $x\ y\ (x\ \text{div}\ y)\ (x\ \text{mod}\ y)$
 $\langle\text{proof}\rangle$

instance

$\langle\text{proof}\rangle$

end

lemma *is-unit-monom-0*:

fixes $a :: 'a::\text{field}$
assumes $a \neq 0$
shows *is-unit* (*monom* $a\ 0$)
 $\langle\text{proof}\rangle$

lemma *is-unit-triv*:

fixes $a :: 'a::\text{field}$
assumes $a \neq 0$
shows *is-unit* $[:a:]$
 $\langle\text{proof}\rangle$

lemma *is-unit-iff-degree*:

assumes $p \neq 0$
shows *is-unit* $p \longleftrightarrow \text{degree}\ p = 0$ (**is** $?P \longleftrightarrow ?Q$)
 $\langle\text{proof}\rangle$

lemma *is-unit-pCons-iff*:

is-unit (*pCons* $a\ p$) $\longleftrightarrow p = 0 \wedge a \neq 0$ (**is** $?P \longleftrightarrow ?Q$)
 $\langle\text{proof}\rangle$

lemma *is-unit-monom-trival*:

fixes $p :: 'a::\text{field}\ \text{poly}$
assumes *is-unit* p
shows *monom* (*coeff* $p\ (\text{degree}\ p)$) $0 = p$
 $\langle\text{proof}\rangle$

lemma *is-unit-polyE*:

assumes *is-unit* p
obtains a **where** $p = \text{monom}\ a\ 0$ **and** $a \neq 0$
 $\langle\text{proof}\rangle$

instantiation *poly* :: (*field*) *normalization-semidom*

begin

definition *normalize-poly* :: $'a\ \text{poly} \Rightarrow 'a\ \text{poly}$

where *normalize-poly* $p = \text{smult}\ (\text{inverse}\ (\text{coeff}\ p\ (\text{degree}\ p)))\ p$

definition *unit-factor-poly* :: $'a\ \text{poly} \Rightarrow 'a\ \text{poly}$

where *unit-factor-poly* $p = \text{monom}\ (\text{coeff}\ p\ (\text{degree}\ p))\ 0$

instance

<proof>

end

lemma *unit-factor-monom* [simp]:

unit-factor (monom a n) =
(if a = 0 then 0 else monom a 0)
<proof>

lemma *unit-factor-pCons* [simp]:

unit-factor (pCons a p) =
(if p = 0 then monom a 0 else unit-factor p)
<proof>

lemma *normalize-monom* [simp]:

normalize (monom a n) =
(if a = 0 then 0 else monom 1 n)
<proof>

lemma *degree-mod-less*:

y ≠ 0 ⇒ x mod y = 0 ∨ degree (x mod y) < degree y
<proof>

lemma *div-poly-less*: *degree x < degree y ⇒ x div y = 0*

<proof>

lemma *mod-poly-less*: *degree x < degree y ⇒ x mod y = x*

<proof>

lemma *pdivmod-rel-smult-left*:

pdivmod-rel x y q r
 \implies *pdivmod-rel (smult a x) y (smult a q) (smult a r)*
<proof>

lemma *div-smult-left*: *(smult a x) div y = smult a (x div y)*

<proof>

lemma *mod-smult-left*: *(smult a x) mod y = smult a (x mod y)*

<proof>

lemma *poly-div-minus-left* [simp]:

fixes *x y :: 'a::field poly*
shows $(- x) \text{ div } y = - (x \text{ div } y)$
<proof>

lemma *poly-mod-minus-left* [simp]:

fixes *x y :: 'a::field poly*

shows $(- x) \bmod y = - (x \bmod y)$
 ⟨proof⟩

lemma *pdivmod-rel-add-left*:
assumes *pdivmod-rel* $x\ y\ q\ r$
assumes *pdivmod-rel* $x'\ y\ q'\ r'$
shows *pdivmod-rel* $(x + x')\ y\ (q + q')\ (r + r')$
 ⟨proof⟩

lemma *poly-div-add-left*:
fixes $x\ y\ z :: 'a::field\ poly$
shows $(x + y) \operatorname{div} z = x \operatorname{div} z + y \operatorname{div} z$
 ⟨proof⟩

lemma *poly-mod-add-left*:
fixes $x\ y\ z :: 'a::field\ poly$
shows $(x + y) \bmod z = x \bmod z + y \bmod z$
 ⟨proof⟩

lemma *poly-div-diff-left*:
fixes $x\ y\ z :: 'a::field\ poly$
shows $(x - y) \operatorname{div} z = x \operatorname{div} z - y \operatorname{div} z$
 ⟨proof⟩

lemma *poly-mod-diff-left*:
fixes $x\ y\ z :: 'a::field\ poly$
shows $(x - y) \bmod z = x \bmod z - y \bmod z$
 ⟨proof⟩

lemma *pdivmod-rel-smult-right*:
 $\llbracket a \neq 0; \text{pdivmod-rel } x\ y\ q\ r \rrbracket$
 $\implies \text{pdivmod-rel } x\ (\text{smult } a\ y)\ (\text{smult } (\text{inverse } a)\ q)\ r$
 ⟨proof⟩

lemma *div-smult-right*:
 $a \neq 0 \implies x \operatorname{div} (\text{smult } a\ y) = \text{smult } (\text{inverse } a)\ (x \operatorname{div} y)$
 ⟨proof⟩

lemma *mod-smult-right*: $a \neq 0 \implies x \bmod (\text{smult } a\ y) = x \bmod y$
 ⟨proof⟩

lemma *poly-div-minus-right* [*simp*]:
fixes $x\ y :: 'a::field\ poly$
shows $x \operatorname{div} (- y) = - (x \operatorname{div} y)$
 ⟨proof⟩

lemma *poly-mod-minus-right* [*simp*]:
fixes $x\ y :: 'a::field\ poly$
shows $x \bmod (- y) = x \bmod y$

$\langle proof \rangle$

lemma *pdivmod-rel-mult*:

$\llbracket pdivmod\text{-rel } x \ y \ q \ r; pdivmod\text{-rel } q \ z \ q' \ r' \rrbracket$
 $\implies pdivmod\text{-rel } x \ (y * z) \ q' \ (y * r' + r)$
 $\langle proof \rangle$

lemma *poly-div-mult-right*:

fixes $x \ y \ z :: 'a::field \ poly$
shows $x \ div \ (y * z) = (x \ div \ y) \ div \ z$
 $\langle proof \rangle$

lemma *poly-mod-mult-right*:

fixes $x \ y \ z :: 'a::field \ poly$
shows $x \ mod \ (y * z) = y * (x \ div \ y \ mod \ z) + x \ mod \ y$
 $\langle proof \rangle$

lemma *mod-pCons*:

fixes a **and** x
assumes $y: y \neq 0$
defines $b: b \equiv coeff \ (pCons \ a \ (x \ mod \ y)) \ (degree \ y) / coeff \ y \ (degree \ y)$
shows $(pCons \ a \ x) \ mod \ y = (pCons \ a \ (x \ mod \ y) - smult \ b \ y)$
 $\langle proof \rangle$

definition *pdivmod* $:: 'a::field \ poly \Rightarrow 'a \ poly \Rightarrow 'a \ poly \times 'a \ poly$
where

$pdivmod \ p \ q = (p \ div \ q, p \ mod \ q)$

lemma *div-poly-code* [code]:

$p \ div \ q = fst \ (pdivmod \ p \ q)$
 $\langle proof \rangle$

lemma *mod-poly-code* [code]:

$p \ mod \ q = snd \ (pdivmod \ p \ q)$
 $\langle proof \rangle$

lemma *pdivmod-0*:

$pdivmod \ 0 \ q = (0, 0)$
 $\langle proof \rangle$

lemma *pdivmod-pCons*:

$pdivmod \ (pCons \ a \ p) \ q =$
 $(if \ q = 0 \ then \ (0, pCons \ a \ p) \ else$
 $(let \ (s, r) = pdivmod \ p \ q;$
 $\quad b = coeff \ (pCons \ a \ r) \ (degree \ q) / coeff \ q \ (degree \ q)$
 $\quad in \ (pCons \ b \ s, pCons \ a \ r - smult \ b \ q)))$
 $\langle proof \rangle$

lemma *pdivmod-fold-coeffs* [code]:

```

pdivmod p q = (if q = 0 then (0, p)
  else fold-coeffs (λa (s, r).
    let b = coeff (pCons a r) (degree q) / coeff q (degree q)
    in (pCons b s, pCons a r - smult b q)
  ) p (0, 0))
⟨proof⟩

```

41.20 Order of polynomial roots

definition *order* :: 'a::idom ⇒ 'a poly ⇒ nat

where

```

order a p = (LEAST n. ¬ [:-a, 1:] ^ Suc n dvd p)

```

lemma *coeff-linear-power*:

fixes a :: 'a::comm-semiring-1

shows coeff ([:a, 1:] ^ n) n = 1

⟨proof⟩

lemma *degree-linear-power*:

fixes a :: 'a::comm-semiring-1

shows degree ([:a, 1:] ^ n) = n

⟨proof⟩

lemma *order-1*: [:-a, 1:] ^ order a p dvd p

⟨proof⟩

lemma *order-2*: p ≠ 0 ⇒ ¬ [:-a, 1:] ^ Suc (order a p) dvd p

⟨proof⟩

lemma *order*:

p ≠ 0 ⇒ [:-a, 1:] ^ order a p dvd p ∧ ¬ [:-a, 1:] ^ Suc (order a p) dvd p

⟨proof⟩

lemma *order-degree*:

assumes p: p ≠ 0

shows order a p ≤ degree p

⟨proof⟩

lemma *order-root*: poly p a = 0 ⇔ p = 0 ∨ order a p ≠ 0

⟨proof⟩

lemma *order-0I*: poly p a ≠ 0 ⇒ order a p = 0

⟨proof⟩

41.21 Additional induction rules on polynomials

An induction rule for induction over the roots of a polynomial with a certain property. (e.g. all positive roots)

lemma *poly-root-induct* [case-names 0 no-roots root]:

fixes $p :: 'a :: idom\ poly$
assumes $Q\ 0$
assumes $\bigwedge p. (\bigwedge a. P\ a \implies poly\ p\ a \neq 0) \implies Q\ p$
assumes $\bigwedge a\ p. P\ a \implies Q\ p \implies Q\ ([:a, -1:] * p)$
shows $Q\ p$
 $\langle proof \rangle$

lemma *dropWhile-replicate-append*:
 $dropWhile\ (op = a)\ (replicate\ n\ a\ @\ ys) = dropWhile\ (op = a)\ ys$
 $\langle proof \rangle$

lemma *Poly-append-replicate-0*: $Poly\ (xs\ @\ replicate\ n\ 0) = Poly\ xs$
 $\langle proof \rangle$

An induction rule for simultaneous induction over two polynomials, prepending one coefficient in each step.

lemma *poly-induct2* [*case-names 0 pCons*]:
assumes $P\ 0\ 0\ \bigwedge a\ p\ b\ q. P\ p\ q \implies P\ (pCons\ a\ p)\ (pCons\ b\ q)$
shows $P\ p\ q$
 $\langle proof \rangle$

41.22 Composition of polynomials

definition $pcompose :: 'a :: comm-semiring-0\ poly \Rightarrow 'a\ poly \Rightarrow 'a\ poly$
where

$$pcompose\ p\ q = fold-coeffs\ (\lambda a\ c. [:a:] + q * c)\ p\ 0$$

notation $pcompose$ (**infixl** \circ_p $\eta 1$)

lemma *pcompose-0* [*simp*]:
 $pcompose\ 0\ q = 0$
 $\langle proof \rangle$

lemma *pcompose-pCons*:
 $pcompose\ (pCons\ a\ p)\ q = [:a:] + q * pcompose\ p\ q$
 $\langle proof \rangle$

lemma *pcompose-1*:
fixes $p :: 'a :: comm-semiring-1\ poly$
shows $pcompose\ 1\ p = 1$
 $\langle proof \rangle$

lemma *poly-pcompose*:
 $poly\ (pcompose\ p\ q)\ x = poly\ p\ (poly\ q\ x)$
 $\langle proof \rangle$

lemma *degree-pcompose-le*:
 $degree\ (pcompose\ p\ q) \leq degree\ p * degree\ q$
 $\langle proof \rangle$

lemma *pcompose-add*:

fixes $p\ q\ r :: 'a :: \{comm\text{-semiring-0}, ab\text{-semigroup-add}\}$ *poly*
shows $pcompose\ (p + q)\ r = pcompose\ p\ r + pcompose\ q\ r$
 $\langle proof \rangle$

lemma *pcompose-uminus*:

fixes $p\ r :: 'a :: comm\text{-ring}$ *poly*
shows $pcompose\ (-p)\ r = -pcompose\ p\ r$
 $\langle proof \rangle$

lemma *pcompose-diff*:

fixes $p\ q\ r :: 'a :: comm\text{-ring}$ *poly*
shows $pcompose\ (p - q)\ r = pcompose\ p\ r - pcompose\ q\ r$
 $\langle proof \rangle$

lemma *pcompose-smult*:

fixes $p\ r :: 'a :: comm\text{-semiring-0}$ *poly*
shows $pcompose\ (smult\ a\ p)\ r = smult\ a\ (pcompose\ p\ r)$
 $\langle proof \rangle$

lemma *pcompose-mult*:

fixes $p\ q\ r :: 'a :: comm\text{-semiring-0}$ *poly*
shows $pcompose\ (p * q)\ r = pcompose\ p\ r * pcompose\ q\ r$
 $\langle proof \rangle$

lemma *pcompose-assoc*:

$pcompose\ p\ (pcompose\ q\ r :: 'a :: comm\text{-semiring-0}\ poly) =$
 $pcompose\ (pcompose\ p\ q)\ r$
 $\langle proof \rangle$

lemma *pcompose-idR[simp]*:

fixes $p :: 'a :: comm\text{-semiring-1}$ *poly*
shows $pcompose\ p\ [: 0, 1 :] = p$
 $\langle proof \rangle$

lemma *degree-mult-eq-0*:

fixes $p\ q :: 'a :: semidom$ *poly*
shows $degree\ (p * q) = 0 \iff p = 0 \vee q = 0 \vee (p \neq 0 \wedge q \neq 0 \wedge degree\ p = 0 \wedge$
 $degree\ q = 0)$
 $\langle proof \rangle$

lemma *pcompose-const[simp]*: $pcompose\ [:a:]\ q = [:a:]$ $\langle proof \rangle$

lemma *pcompose-0'*: $pcompose\ p\ 0 = [:coeff\ p\ 0:]$
 $\langle proof \rangle$

lemma *degree-pcompose*:
fixes $p\ q:: 'a::\text{semidom poly}$
shows $\text{degree } (p\text{compose } p\ q) = \text{degree } p * \text{degree } q$
 $\langle\text{proof}\rangle$

lemma *pcompose-eq-0*:
fixes $p\ q:: 'a :: \text{semidom poly}$
assumes $p\text{compose } p\ q = 0$ $\text{degree } q > 0$
shows $p = 0$
 $\langle\text{proof}\rangle$

41.23 Leading coefficient

definition *lead-coeff*:: $'a::\text{zero poly} \Rightarrow 'a$ **where**
 $\text{lead-coeff } p = \text{coeff } p (\text{degree } p)$

lemma *lead-coeff-pCons[simp]*:
 $p \neq 0 \implies \text{lead-coeff } (p\text{Cons } a\ p) = \text{lead-coeff } p$
 $p = 0 \implies \text{lead-coeff } (p\text{Cons } a\ p) = a$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-0[simp]*: $\text{lead-coeff } 0 = 0$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-mult*:
fixes $p\ q:: 'a :: \text{idom poly}$
shows $\text{lead-coeff } (p * q) = \text{lead-coeff } p * \text{lead-coeff } q$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-add-le*:
assumes $\text{degree } p < \text{degree } q$
shows $\text{lead-coeff } (p + q) = \text{lead-coeff } q$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-minus*:
 $\text{lead-coeff } (-p) = - \text{lead-coeff } p$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-comp*:
fixes $p\ q:: 'a::\text{idom poly}$
assumes $\text{degree } q > 0$
shows $\text{lead-coeff } (p\text{compose } p\ q) = \text{lead-coeff } p * \text{lead-coeff } q ^ (\text{degree } p)$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-smult*:
 $\text{lead-coeff } (\text{smult } c\ p :: 'a :: \text{idom poly}) = c * \text{lead-coeff } p$
 $\langle\text{proof}\rangle$

lemma *lead-coeff-1* [*simp*]: $\text{lead-coeff } 1 = 1$
 ⟨*proof*⟩

lemma *lead-coeff-of-nat* [*simp*]:
 $\text{lead-coeff } (\text{of-nat } n) = (\text{of-nat } n :: 'a :: \{\text{comm-semiring-1}, \text{semiring-char-0}\})$
 ⟨*proof*⟩

lemma *lead-coeff-numeral* [*simp*]:
 $\text{lead-coeff } (\text{numeral } n) = \text{numeral } n$
 ⟨*proof*⟩

lemma *lead-coeff-power*:
 $\text{lead-coeff } (p \wedge n :: 'a :: \text{idom } \text{poly}) = \text{lead-coeff } p \wedge n$
 ⟨*proof*⟩

lemma *lead-coeff-nonzero*: $p \neq 0 \implies \text{lead-coeff } p \neq 0$
 ⟨*proof*⟩

41.24 Derivatives of univariate polynomials

function *pderiv* :: $('a :: \text{semidom}) \text{ poly} \Rightarrow 'a \text{ poly}$

where

[*simp del*]: $\text{pderiv } (p\text{Cons } a \ p) = (\text{if } p = 0 \text{ then } 0 \text{ else } p + p\text{Cons } 0 \ (\text{pderiv } p))$
 ⟨*proof*⟩

termination *pderiv*
 ⟨*proof*⟩

lemma *pderiv-0* [*simp*]:
 $\text{pderiv } 0 = 0$
 ⟨*proof*⟩

lemma *pderiv-pCons*:
 $\text{pderiv } (p\text{Cons } a \ p) = p + p\text{Cons } 0 \ (\text{pderiv } p)$
 ⟨*proof*⟩

lemma *pderiv-1* [*simp*]: $\text{pderiv } 1 = 0$
 ⟨*proof*⟩

lemma *pderiv-of-nat* [*simp*]: $\text{pderiv } (\text{of-nat } n) = 0$
and *pderiv-numeral* [*simp*]: $\text{pderiv } (\text{numeral } m) = 0$
 ⟨*proof*⟩

lemma *coeff-pderiv*: $\text{coeff } (\text{pderiv } p) \ n = \text{of-nat } (\text{Suc } n) * \text{coeff } p \ (\text{Suc } n)$
 ⟨*proof*⟩

fun *pderiv-coeffs-code* :: $('a :: \text{semidom}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{pderiv-coeffs-code } f \ (x \# \text{xs}) = c\text{Cons } (f * x) \ (\text{pderiv-coeffs-code } (f+1) \ \text{xs})$

| *pderiv-coeffs-code* $f [] = []$

definition *pderiv-coeffs* :: ('a :: semidom) list \Rightarrow 'a list **where**
pderiv-coeffs xs = *pderiv-coeffs-code* 1 (tl xs)

lemma *pderiv-coeffs-code*:

nth-default 0 (*pderiv-coeffs-code* f xs) n = (f + *of-nat* n) * (*nth-default* 0 xs n)
 <proof>

lemma *map-upt-Suc*: *map* f [0 ..< Suc n] = f 0 # *map* ($\lambda i. f (Suc i)$) [0 ..< n]
 <proof>

lemma *coeffs-pderiv-code* [*code abstract*]:

coeffs (*pderiv* p) = *pderiv-coeffs* (*coeffs* p) <proof>

context

assumes SORT-CONSTRAINT('a::{semidom, semiring-char-0})

begin

lemma *pderiv-eq-0-iff*:

pderiv (p :: 'a poly) = 0 \longleftrightarrow *degree* p = 0
 <proof>

lemma *degree-pderiv*: *degree* (*pderiv* (p :: 'a poly)) = *degree* p - 1
 <proof>

lemma *not-dvd-pderiv*:

assumes *degree* (p :: 'a poly) \neq 0

shows \neg p *dvd* *pderiv* p

<proof>

lemma *dvd-pderiv-iff* [*simp*]: (p :: 'a poly) *dvd* *pderiv* p \longleftrightarrow *degree* p = 0
 <proof>

end

lemma *pderiv-singleton* [*simp*]: *pderiv* [:a:] = 0

<proof>

lemma *pderiv-add*: *pderiv* (p + q) = *pderiv* p + *pderiv* q

<proof>

lemma *pderiv-minus*: *pderiv* (- p :: 'a :: idom poly) = - *pderiv* p

<proof>

lemma *pderiv-diff*: *pderiv* (p - q) = *pderiv* p - *pderiv* q

<proof>

lemma *pderiv-smult*: $pderiv (smult a p) = smult a (pderiv p)$
 ⟨proof⟩

lemma *pderiv-mult*: $pderiv (p * q) = p * pderiv q + q * pderiv p$
 ⟨proof⟩

lemma *pderiv-power-Suc*:
 $pderiv (p ^ Suc n) = smult (of-nat (Suc n)) (p ^ n) * pderiv p$
 ⟨proof⟩

lemma *pderiv-setprod*: $pderiv (setprod f (as)) =$
 $(\sum a \in as. setprod f (as - \{a\}) * pderiv (f a))$
 ⟨proof⟩

lemma *DERIV-pow2*: $DERIV (\%x. x ^ Suc n) x := real (Suc n) * (x ^ n)$
 ⟨proof⟩

declare *DERIV-pow2* [simp] *DERIV-pow* [simp]

lemma *DERIV-add-const*: $DERIV f x := D ==> DERIV (\%x. a + f x ::$
 'a::real-normed-field) x := D
 ⟨proof⟩

lemma *poly-DERIV* [simp]: $DERIV (\%x. poly p x) x := poly (pderiv p) x$
 ⟨proof⟩

lemma *continuous-on-poly* [continuous-intros]:
 fixes $p :: 'a :: \{real-normed-field\}$ poly
 assumes *continuous-on* A f
 shows *continuous-on* A $(\lambda x. poly p (f x))$
 ⟨proof⟩

Consequences of the derivative theorem above

lemma *poly-differentiable*[simp]: $(\%x. poly p x)$ differentiable (at $x::real$ filter)
 ⟨proof⟩

lemma *poly-isCont*[simp]: *isCont* $(\%x. poly p x)$ ($x::real$)
 ⟨proof⟩

lemma *poly-IVT-pos*: $[| a < b; poly p (a::real) < 0; 0 < poly p b |]$
 $==> \exists x. a < x \ \& \ x < b \ \& \ (poly p x = 0)$
 ⟨proof⟩

lemma *poly-IVT-neg*: $[| (a::real) < b; 0 < poly p a; poly p b < 0 |]$
 $==> \exists x. a < x \ \& \ x < b \ \& \ (poly p x = 0)$
 ⟨proof⟩

lemma *poly-IVT*:
 fixes $p::real$ poly
 assumes $a < b$ and $poly p a * poly p b < 0$

shows $\exists x > a. x < b \wedge \text{poly } p \ x = 0$
 ⟨proof⟩

lemma *poly-MVT*: $(a::\text{real}) < b \implies$
 $\exists x. a < x \ \& \ x < b \ \& \ (\text{poly } p \ b - \text{poly } p \ a = (b - a) * \text{poly } (p\text{deriv } p) \ x)$
 ⟨proof⟩

lemma *poly-MVT'*:
assumes $\{\min a \ b.. \max a \ b\} \subseteq A$
shows $\exists x \in A. \text{poly } p \ b - \text{poly } p \ a = (b - a) * \text{poly } (p\text{deriv } p) \ (x::\text{real})$
 ⟨proof⟩

lemma *poly-pinfty-gt-lc*:
fixes $p:: \text{real } \text{poly}$
assumes $\text{lead-coeff } p > 0$
shows $\exists n. \forall x \geq n. \text{poly } p \ x \geq \text{lead-coeff } p$ ⟨proof⟩

41.25 Algebraic numbers

Algebraic numbers can be defined in two equivalent ways: all real numbers that are roots of rational polynomials or of integer polynomials. The Algebraic-Numbers AFP entry uses the rational definition, but we need the integer definition.

The equivalence is obvious since any rational polynomial can be multiplied with the LCM of its coefficients, yielding an integer polynomial with the same roots.

41.26 Algebraic numbers

definition *algebraic* :: $'a :: \text{field-char-0} \implies \text{bool}$ **where**
 $\text{algebraic } x \longleftrightarrow (\exists p. (\forall i. \text{coeff } p \ i \in \mathbb{Z}) \wedge p \neq 0 \wedge \text{poly } p \ x = 0)$

lemma *algebraicI*:
assumes $\bigwedge i. \text{coeff } p \ i \in \mathbb{Z} \ p \neq 0 \ \text{poly } p \ x = 0$
shows $\text{algebraic } x$
 ⟨proof⟩

lemma *algebraicE*:
assumes $\text{algebraic } x$
obtains p **where** $\bigwedge i. \text{coeff } p \ i \in \mathbb{Z} \ p \neq 0 \ \text{poly } p \ x = 0$
 ⟨proof⟩

lemma *quotient-of-denom-pos'*: $\text{snd } (\text{quotient-of } x) > 0$
 ⟨proof⟩

lemma *of-int-div-in-Ints*:
 $b \ \text{dvd } a \implies \text{of-int } a \ \text{div } \text{of-int } b \in (\mathbb{Z} :: 'a :: \text{ring-div set})$
 ⟨proof⟩

lemma *of-int-divide-in-Ints*:

$b \text{ dvd } a \implies \text{of-int } a / \text{of-int } b \in (\mathbb{Z} :: 'a :: \text{field set})$
 ⟨proof⟩

lemma *algebraic-altdef*:

fixes $p :: 'a :: \text{field-char-0 poly}$
shows $\text{algebraic } x \longleftrightarrow (\exists p. (\forall i. \text{coeff } p \ i \in \mathbb{Q}) \wedge p \neq 0 \wedge \text{poly } p \ x = 0)$
 ⟨proof⟩

Lemmas for Derivatives

lemma *order-unique-lemma*:

fixes $p :: 'a :: \text{idom poly}$
assumes $[: - a, 1:] ^ n \text{ dvd } p \neg [: - a, 1:] ^ \text{Suc } n \text{ dvd } p$
shows $n = \text{order } a \ p$
 ⟨proof⟩

lemma *lemma-order-pderiv1*:

$\text{pderiv } ([: - a, 1:] ^ \text{Suc } n * q) = [: - a, 1:] ^ \text{Suc } n * \text{pderiv } q +$
 $\text{smult } (\text{of-nat } (\text{Suc } n)) (q * [: - a, 1:] ^ n)$
 ⟨proof⟩

lemma *lemma-order-pderiv*:

fixes $p :: 'a :: \text{field-char-0 poly}$
assumes $n: 0 < n$
and $pd: \text{pderiv } p \neq 0$
and $pe: p = [: - a, 1:] ^ n * q$
and $nd: \sim [: - a, 1:] \text{ dvd } q$
shows $n = \text{Suc } (\text{order } a \ (\text{pderiv } p))$
 ⟨proof⟩

lemma *order-decomp*:

assumes $p \neq 0$
shows $\exists q. p = [: - a, 1:] ^ \text{order } a \ p * q \wedge \neg [: - a, 1:] \text{ dvd } q$
 ⟨proof⟩

lemma *order-pderiv*:

$[[\text{pderiv } p \neq 0; \text{order } a \ (p :: 'a :: \text{field-char-0 poly}) \neq 0]] \implies$
 $(\text{order } a \ p = \text{Suc } (\text{order } a \ (\text{pderiv } p)))$
 ⟨proof⟩

lemma *order-mult*: $p * q \neq 0 \implies \text{order } a \ (p * q) = \text{order } a \ p + \text{order } a \ q$

⟨proof⟩

lemma *order-smult*:

assumes $c \neq 0$
shows $\text{order } x \ (\text{smult } c \ p) = \text{order } x \ p$
 ⟨proof⟩

lemma *order-1-eq-0* [*simp*]: $\text{order } x \ 1 = 0$
 ⟨*proof*⟩

lemma *order-power-n-n*: $\text{order } a \ ([:-a,1:]^{\wedge} n) = n$
 ⟨*proof*⟩

Now justify the standard squarefree decomposition, i.e. $f / \text{gcd}(f, f')$.

lemma *order-divides*: $[:-a, 1:]^{\wedge} n \ \text{dvd } p \iff p = 0 \vee n \leq \text{order } a \ p$
 ⟨*proof*⟩

lemma *poly-squarefree-decomp-order*:
assumes $\text{pderiv } (p :: 'a :: \text{field-char-0 poly}) \neq 0$
and $p = q * d$
and $p' : \text{pderiv } p = e * d$
and $d = r * p + s * \text{pderiv } p$
shows $\text{order } a \ q = (\text{if } \text{order } a \ p = 0 \text{ then } 0 \text{ else } 1)$
 ⟨*proof*⟩

lemma *poly-squarefree-decomp-order2*:
 $\llbracket \text{pderiv } p \neq 0 :: 'a :: \text{field-char-0 poly};$
 $p = q * d;$
 $\text{pderiv } p = e * d;$
 $d = r * p + s * \text{pderiv } p$
 $\rrbracket \implies \forall a. \text{order } a \ q = (\text{if } \text{order } a \ p = 0 \text{ then } 0 \text{ else } 1)$
 ⟨*proof*⟩

lemma *order-pderiv2*:
 $\llbracket \text{pderiv } p \neq 0; \text{order } a \ (p :: 'a :: \text{field-char-0 poly}) \neq 0 \rrbracket$
 $\implies (\text{order } a \ (\text{pderiv } p) = n) = (\text{order } a \ p = \text{Suc } n)$
 ⟨*proof*⟩

definition
 $\text{rsquarefree} :: 'a :: \text{idom poly} \Rightarrow \text{bool}$ **where**
 $\text{rsquarefree } p = (p \neq 0 \ \& \ (\forall a. (\text{order } a \ p = 0) \mid (\text{order } a \ p = 1)))$

lemma *pderiv-iszero*: $\text{pderiv } p = 0 \implies \exists h. p = [:-h :: 'a :: \{\text{semidom}, \text{semiring-char-0}\}:]$
 ⟨*proof*⟩

lemma *rsquarefree-roots*:
fixes $p :: 'a :: \text{field-char-0 poly}$
shows $\text{rsquarefree } p = (\forall a. \neg(\text{poly } p \ a = 0 \wedge \text{poly } (\text{pderiv } p) \ a = 0))$
 ⟨*proof*⟩

lemma *poly-squarefree-decomp*:
assumes $\text{pderiv } (p :: 'a :: \text{field-char-0 poly}) \neq 0$
and $p = q * d$
and $\text{pderiv } p = e * d$
and $d = r * p + s * \text{pderiv } p$

shows *rsquarefree* q & $(\forall a. (\text{poly } q \ a = 0) = (\text{poly } p \ a = 0))$
 ⟨*proof*⟩

no-notation *cCons* (**infixr** ## 65)

end

42 Abstract euclidean algorithm

theory *Euclidean-Algorithm*

imports $\sim\sim$ /src/HOL/GCD $\sim\sim$ /src/HOL/Library/Polynomial

begin

A Euclidean semiring is a semiring upon which the Euclidean algorithm can be implemented. It must provide:

- division with remainder
- a size function such that $\text{size } (a \text{ mod } b) < \text{size } b$ for any $b \neq (0::'a)$

The existence of these functions makes it possible to derive gcd and lcm functions for any Euclidean semiring.

class *euclidean-semiring* = *semiring-div* + *normalization-semidom* +

fixes *euclidean-size* :: $'a \Rightarrow \text{nat}$

assumes *size-0* [*simp*]: *euclidean-size* 0 = 0

assumes *mod-size-less*:

$b \neq 0 \implies \text{euclidean-size } (a \text{ mod } b) < \text{euclidean-size } b$

assumes *size-mult-mono*:

$b \neq 0 \implies \text{euclidean-size } a \leq \text{euclidean-size } (a * b)$

begin

lemma *euclidean-division*:

fixes $a :: 'a$ **and** $b :: 'a$

assumes $b \neq 0$

obtains s **and** t **where** $a = s * b + t$

and $\text{euclidean-size } t < \text{euclidean-size } b$

⟨*proof*⟩

lemma *dvd-euclidean-size-eq-imp-dvd*:

assumes $a \neq 0$ **and** *b-dvd-a*: $b \text{ dvd } a$ **and** *size-eq*: $\text{euclidean-size } a = \text{euclidean-size } b$

shows $a \text{ dvd } b$

⟨*proof*⟩

function *gcd-eucl* :: $'a \Rightarrow 'a \Rightarrow 'a$

where

gcd-eucl $a \ b = (\text{if } b = 0 \text{ then normalize } a \text{ else } \text{gcd-eucl } b \ (a \text{ mod } b))$

⟨*proof*⟩

termination

<proof>

declare *gcd-eucl.simps* [*simp del*]

lemma *gcd-eucl-induct* [*case-names zero mod*]:

assumes *H1*: $\bigwedge b. P\ b\ 0$

and *H2*: $\bigwedge a\ b. b \neq 0 \implies P\ b\ (a\ \text{mod}\ b) \implies P\ a\ b$

shows $P\ a\ b$

<proof>

definition *lcm-eucl* :: $'a \Rightarrow 'a \Rightarrow 'a$

where

lcm-eucl $a\ b = \text{normalize}\ (a * b)\ \text{div}\ \text{gcd-eucl}\ a\ b$

definition *Lcm-eucl* :: $'a\ \text{set} \Rightarrow 'a$ — Somewhat complicated definition of Lcm that has the advantage of working for infinite sets as well

where

Lcm-eucl $A = (\text{if } \exists l. l \neq 0 \wedge (\forall a \in A. a\ \text{dvd}\ l)\ \text{then}$
 $\text{let } l = \text{SOME } l. l \neq 0 \wedge (\forall a \in A. a\ \text{dvd}\ l) \wedge \text{euclidean-size } l =$
 $(\text{LEAST } n. \exists l. l \neq 0 \wedge (\forall a \in A. a\ \text{dvd}\ l) \wedge \text{euclidean-size } l = n)$
 $\text{in } \text{normalize } l$
 $\text{else } 0)$

definition *Gcd-eucl* :: $'a\ \text{set} \Rightarrow 'a$

where

Gcd-eucl $A = \text{Lcm-eucl}\ \{d. \forall a \in A. d\ \text{dvd}\ a\}$

declare *Lcm-eucl-def* *Gcd-eucl-def* [*code del*]

lemma *gcd-eucl-0*:

gcd-eucl $a\ 0 = \text{normalize } a$

<proof>

lemma *gcd-eucl-0-left*:

gcd-eucl $0\ a = \text{normalize } a$

<proof>

lemma *gcd-eucl-non-0*:

$b \neq 0 \implies \text{gcd-eucl}\ a\ b = \text{gcd-eucl}\ b\ (a\ \text{mod}\ b)$

<proof>

lemma *gcd-eucl-dvd1* [*iff*]: *gcd-eucl* $a\ b\ \text{dvd}\ a$

and *gcd-eucl-dvd2* [*iff*]: *gcd-eucl* $a\ b\ \text{dvd}\ b$

<proof>

lemma *normalize-gcd-eucl* [*simp*]:

$\text{normalize}\ (\text{gcd-eucl}\ a\ b) = \text{gcd-eucl}\ a\ b$

<proof>

lemma *gcd-eucl-greatest*:

fixes $k\ a\ b :: 'a$

shows $k\ dvd\ a \implies k\ dvd\ b \implies k\ dvd\ gcd\ eucl\ a\ b$

<proof>

lemma *eq-gcd-euclI*:

fixes $gcd :: 'a \Rightarrow 'a \Rightarrow 'a$

assumes $\bigwedge a\ b. gcd\ a\ b\ dvd\ a \wedge a\ b. gcd\ a\ b\ dvd\ b \wedge a\ b. normalize\ (gcd\ a\ b) = gcd\ a\ b$

$\bigwedge a\ b\ k. k\ dvd\ a \implies k\ dvd\ b \implies k\ dvd\ gcd\ a\ b$

shows $gcd = gcd\ eucl$

<proof>

lemma *gcd-eucl-zero [simp]*:

$gcd\ eucl\ a\ b = 0 \iff a = 0 \wedge b = 0$

<proof>

lemma *dvd-Lcm-eucl [simp]*: $a \in A \implies a\ dvd\ Lcm\ eucl\ A$

and *Lcm-eucl-least*: $(\bigwedge a. a \in A \implies a\ dvd\ b) \implies Lcm\ eucl\ A\ dvd\ b$

and *unit-factor-Lcm-eucl [simp]*:

$unit\ factor\ (Lcm\ eucl\ A) = (if\ Lcm\ eucl\ A = 0\ then\ 0\ else\ 1)$

<proof>

lemma *normalize-Lcm-eucl [simp]*:

$normalize\ (Lcm\ eucl\ A) = Lcm\ eucl\ A$

<proof>

lemma *eq-Lcm-euclI*:

fixes $lcm :: 'a\ set \Rightarrow 'a$

assumes $\bigwedge A\ a. a \in A \implies a\ dvd\ lcm\ A$ **and** $\bigwedge A\ c. (\bigwedge a. a \in A \implies a\ dvd\ c) \implies lcm\ A\ dvd\ c$

$\bigwedge A. normalize\ (lcm\ A) = lcm\ A$ **shows** $lcm = Lcm\ eucl$

<proof>

end

class *euclidean-ring* = *euclidean-semiring* + *idom*

begin

subclass *ring-div* *<proof>*

function *euclid-ext-aux* :: $'a \Rightarrow -$ **where**

$euclid\ ext\ aux\ r'\ r\ s'\ s\ t'\ t = ($

$if\ r = 0\ then\ let\ c = 1\ div\ unit\ factor\ r'\ in\ (s' * c, t' * c, normalize\ r')$

$else\ let\ q = r'\ div\ r$

$in\ euclid\ ext\ aux\ r\ (r'\ mod\ r)\ s\ (s' - q * s)\ t\ (t' - q * t))$

<proof>

termination $\langle proof \rangle$

declare *euclid-ext-aux.simps* [*simp del*]

lemma *euclid-ext-aux-correct*:

assumes *gcd-eucl* $r' r = \text{gcd-eucl } x y$

assumes $s' * x + t' * y = r'$

assumes $s * x + t * y = r$

shows *case euclid-ext-aux* $r' r s' s t' t$ of $(a, b, c) \Rightarrow$

$a * x + b * y = c \wedge c = \text{gcd-eucl } x y$ (**is** ?*P* (*euclid-ext-aux* $r' r s' s t'$

t))

$\langle proof \rangle$

definition *euclid-ext* **where**

euclid-ext $a b = \text{euclid-ext-aux } a b 1 0 0 1$

lemma *euclid-ext-0*:

euclid-ext $a 0 = (1 \text{ div unit-factor } a, 0, \text{normalize } a)$

$\langle proof \rangle$

lemma *euclid-ext-left-0*:

euclid-ext $0 a = (0, 1 \text{ div unit-factor } a, \text{normalize } a)$

$\langle proof \rangle$

lemma *euclid-ext-correct'*:

case euclid-ext $x y$ of $(a, b, c) \Rightarrow a * x + b * y = c \wedge c = \text{gcd-eucl } x y$

$\langle proof \rangle$

lemma *euclid-ext-gcd-eucl*:

(*case euclid-ext* $x y$ of $(a, b, c) \Rightarrow c) = \text{gcd-eucl } x y$

$\langle proof \rangle$

definition *euclid-ext'* **where**

euclid-ext' $x y = (\text{case euclid-ext } x y \text{ of } (a, b, -) \Rightarrow (a, b))$

lemma *euclid-ext'-correct'*:

case euclid-ext' $x y$ of $(a, b) \Rightarrow a * x + b * y = \text{gcd-eucl } x y$

$\langle proof \rangle$

lemma *euclid-ext'-0*: *euclid-ext'* $a 0 = (1 \text{ div unit-factor } a, 0)$

$\langle proof \rangle$

lemma *euclid-ext'-left-0*: *euclid-ext'* $0 a = (0, 1 \text{ div unit-factor } a)$

$\langle proof \rangle$

end

class *euclidean-semiring-gcd* = *euclidean-semiring* + *gcd* + *Gcd* +

assumes *gcd-gcd-eucl*: *gcd* = *gcd-eucl* **and** *lcm-lcm-eucl*: *lcm* = *lcm-eucl*

assumes *Gcd-Gcd-eucl*: $Gcd = Gcd\text{-}eucl$ **and** *Lcm-Lcm-eucl*: $Lcm = Lcm\text{-}eucl$
begin

subclass *semiring-gcd*
 ⟨*proof*⟩

subclass *semiring-Gcd*
 ⟨*proof*⟩

lemma *gcd-non-0*:
 $b \neq 0 \implies gcd\ a\ b = gcd\ b\ (a\ mod\ b)$
 ⟨*proof*⟩

lemmas *gcd-0 = gcd-0-right*
lemmas *dvd-gcd-iff = gcd-greatest-iff*
lemmas *gcd-greatest-iff = dvd-gcd-iff*

lemma *gcd-mod1* [*simp*]:
 $gcd\ (a\ mod\ b)\ b = gcd\ a\ b$
 ⟨*proof*⟩

lemma *gcd-mod2* [*simp*]:
 $gcd\ a\ (b\ mod\ a) = gcd\ a\ b$
 ⟨*proof*⟩

lemma *euclidean-size-gcd-le1* [*simp*]:
assumes $a \neq 0$
shows $euclidean\text{-}size\ (gcd\ a\ b) \leq euclidean\text{-}size\ a$
 ⟨*proof*⟩

lemma *euclidean-size-gcd-le2* [*simp*]:
 $b \neq 0 \implies euclidean\text{-}size\ (gcd\ a\ b) \leq euclidean\text{-}size\ b$
 ⟨*proof*⟩

lemma *euclidean-size-gcd-less1*:
assumes $a \neq 0$ **and** $\neg a\ dvd\ b$
shows $euclidean\text{-}size\ (gcd\ a\ b) < euclidean\text{-}size\ a$
 ⟨*proof*⟩

lemma *euclidean-size-gcd-less2*:
assumes $b \neq 0$ **and** $\neg b\ dvd\ a$
shows $euclidean\text{-}size\ (gcd\ a\ b) < euclidean\text{-}size\ b$
 ⟨*proof*⟩

lemma *euclidean-size-lcm-le1*:
assumes $a \neq 0$ **and** $b \neq 0$
shows $euclidean\text{-}size\ a \leq euclidean\text{-}size\ (lcm\ a\ b)$
 ⟨*proof*⟩

lemma *euclidean-size-lcm-le2*:

$a \neq 0 \implies b \neq 0 \implies \text{euclidean-size } b \leq \text{euclidean-size } (\text{lcm } a \ b)$
 ⟨proof⟩

lemma *euclidean-size-lcm-less1*:

assumes $b \neq 0$ **and** $\neg b \text{ dvd } a$
shows $\text{euclidean-size } a < \text{euclidean-size } (\text{lcm } a \ b)$
 ⟨proof⟩

lemma *euclidean-size-lcm-less2*:

assumes $a \neq 0$ **and** $\neg a \text{ dvd } b$
shows $\text{euclidean-size } b < \text{euclidean-size } (\text{lcm } a \ b)$
 ⟨proof⟩

lemma *Lcm-eucl-set* [code]:

$\text{Lcm-eucl } (\text{set } xs) = \text{foldl lcm-eucl } 1 \ xs$
 ⟨proof⟩

lemma *Gcd-eucl-set* [code]:

$\text{Gcd-eucl } (\text{set } xs) = \text{foldl gcd-eucl } 0 \ xs$
 ⟨proof⟩

end

A Euclidean ring is a Euclidean semiring with additive inverses. It provides a few more lemmas; in particular, Bezout’s lemma holds for any Euclidean ring.

class *euclidean-ring-gcd* = *euclidean-semiring-gcd* + *idom*

begin

subclass *euclidean-ring* ⟨proof⟩

subclass *ring-gcd* ⟨proof⟩

lemma *euclid-ext-gcd* [simp]:

(case *euclid-ext* $a \ b$ of $(-, -, t) \Rightarrow t) = \text{gcd } a \ b$
 ⟨proof⟩

lemma *euclid-ext-gcd'* [simp]:

$\text{euclid-ext } a \ b = (r, s, t) \implies t = \text{gcd } a \ b$
 ⟨proof⟩

lemma *euclid-ext-correct*:

case *euclid-ext* $x \ y$ of $(a, b, c) \Rightarrow a * x + b * y = c \wedge c = \text{gcd } x \ y$
 ⟨proof⟩

lemma *euclid-ext'-correct*:

$\text{fst } (\text{euclid-ext}' \ a \ b) * a + \text{snd } (\text{euclid-ext}' \ a \ b) * b = \text{gcd } a \ b$
 ⟨proof⟩

lemma *bezout*: $\exists s t. s * a + t * b = \text{gcd } a b$
 ⟨*proof*⟩

end

42.1 Typical instances

instantiation *nat* :: *euclidean-semiring*
begin

definition [*simp*]:
euclidean-size-nat = (*id* :: *nat* \Rightarrow *nat*)

instance ⟨*proof*⟩

end

instantiation *int* :: *euclidean-ring*
begin

definition [*simp*]:
euclidean-size-int = (*nat* \circ *abs* :: *int* \Rightarrow *nat*)

instance
 ⟨*proof*⟩

end

instantiation *poly* :: (*field*) *euclidean-ring*
begin

definition *euclidean-size-poly* :: '*a poly* \Rightarrow *nat*
 where *euclidean-size p* = (*if p = 0 then 0 else 2 ^ degree p*)

lemma *euclidean-size-poly-0* [*simp*]:
euclidean-size (0::'a poly) = 0
 ⟨*proof*⟩

lemma *euclidean-size-poly-not-0* [*simp*]:
p $\neq 0 \implies$ *euclidean-size p* = 2 ^ *degree p*
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

```

instance nat :: euclidean-semiring-gcd
  <proof>

instance int :: euclidean-ring-gcd
  <proof>

instantiation poly :: (field) euclidean-ring-gcd
begin

definition gcd-poly :: 'a poly  $\Rightarrow$  'a poly  $\Rightarrow$  'a poly where
  gcd-poly = gcd-eucl

definition lcm-poly :: 'a poly  $\Rightarrow$  'a poly  $\Rightarrow$  'a poly where
  lcm-poly = lcm-eucl

definition Gcd-poly :: 'a poly set  $\Rightarrow$  'a poly where
  Gcd-poly = Gcd-eucl

definition Lcm-poly :: 'a poly set  $\Rightarrow$  'a poly where
  Lcm-poly = Lcm-eucl

instance <proof>
end

lemma poly-gcd-monic:
  lead-coeff (gcd x y) = (if x = 0  $\wedge$  y = 0 then 0 else 1)
  <proof>

lemma poly-dvd-antisym:
  fixes p q :: 'a::idom poly
  assumes coeff: coeff p (degree p) = coeff q (degree q)
  assumes dvd1: p dvd q and dvd2: q dvd p shows p = q
  <proof>

lemma poly-gcd-unique:
  fixes d x y :: - poly
  assumes dvd1: d dvd x and dvd2: d dvd y
  and greatest:  $\bigwedge k. k \text{ dvd } x \implies k \text{ dvd } y \implies k \text{ dvd } d$ 
  and monic: coeff d (degree d) = (if x = 0  $\wedge$  y = 0 then 0 else 1)
  shows d = gcd x y
  <proof>

lemma poly-gcd-code [code]:
  gcd x y = (if y = 0 then normalize x else gcd y (x mod (y :: - poly)))
  <proof>

end

```


43 A formalization of formal power series

```

theory Formal-Power-Series
imports Complex-Main ~~/src/HOL/Number-Theory/Euclidean-Algorithm
begin

```

43.1 The type of formal power series

```

typedef 'a fps = {f :: nat ⇒ 'a. True}
morphisms fps-nth Abs-fps
  ⟨proof⟩

```

```

notation fps-nth (infixl $ 75)

```

```

lemma expand-fps-eq: p = q ⟷ (∀ n. p $ n = q $ n)
  ⟨proof⟩

```

```

lemma fps-ext: (∧ n. p $ n = q $ n) ⟹ p = q
  ⟨proof⟩

```

```

lemma fps-nth-Abs-fps [simp]: Abs-fps f $ n = f n
  ⟨proof⟩

```

Definition of the basic elements 0 and 1 and the basic operations of addition, negation and multiplication.

```

instantiation fps :: (zero) zero
begin
  definition fps-zero-def: 0 = Abs-fps (λn. 0)
  instance ⟨proof⟩
end

```

```

lemma fps-zero-nth [simp]: 0 $ n = 0
  ⟨proof⟩

```

```

instantiation fps :: ({one, zero}) one
begin
  definition fps-one-def: 1 = Abs-fps (λn. if n = 0 then 1 else 0)
  instance ⟨proof⟩
end

```

```

lemma fps-one-nth [simp]: 1 $ n = (if n = 0 then 1 else 0)
  ⟨proof⟩

```

```

instantiation fps :: (plus) plus
begin
  definition fps-plus-def: op + = (λf g. Abs-fps (λn. f $ n + g $ n))
  instance ⟨proof⟩
end

```

```

lemma fps-add-nth [simp]: (f + g) $ n = f $ n + g $ n

```

<proof>

instantiation *fps* :: (*minus*) *minus*

begin

definition *fps-minus-def*: $op - = (\lambda f g. Abs-fps (\lambda n. f \$ n - g \$ n))$

instance *<proof>*

end

lemma *fps-sub-nth* [*simp*]: $(f - g) \$ n = f \$ n - g \$ n$

<proof>

instantiation *fps* :: (*uminus*) *uminus*

begin

definition *fps-uminus-def*: $uminus = (\lambda f. Abs-fps (\lambda n. - (f \$ n)))$

instance *<proof>*

end

lemma *fps-neg-nth* [*simp*]: $(- f) \$ n = - (f \$ n)$

<proof>

instantiation *fps* :: (*{comm-monoid-add, times}*) *times*

begin

definition *fps-times-def*: $op * = (\lambda f g. Abs-fps (\lambda n. \sum_{i=0..n}. f \$ i * g \$ (n - i)))$

instance *<proof>*

end

lemma *fps-mult-nth*: $(f * g) \$ n = (\sum_{i=0..n}. f \$ i * g \$ (n - i))$

<proof>

lemma *fps-mult-nth-0* [*simp*]: $(f * g) \$ 0 = f \$ 0 * g \$ 0$

<proof>

declare *atLeastAtMost-iff* [*presburger*]

declare *Bex-def* [*presburger*]

declare *Ball-def* [*presburger*]

lemma *mult-delta-left*:

fixes *x y* :: '*a*::*mult-zero*

shows $(if\ b\ then\ x\ else\ 0) * y = (if\ b\ then\ x * y\ else\ 0)$

<proof>

lemma *mult-delta-right*:

fixes *x y* :: '*a*::*mult-zero*

shows $x * (if\ b\ then\ y\ else\ 0) = (if\ b\ then\ x * y\ else\ 0)$

<proof>

lemma *cond-value-iff*: $f (if\ b\ then\ x\ else\ y) = (if\ b\ then\ f\ x\ else\ f\ y)$

<proof>

lemma *cond-application-beta*: $(if\ b\ then\ f\ else\ g)\ x = (if\ b\ then\ f\ x\ else\ g\ x)$
 ⟨*proof*⟩

43.2 Formal power series form a commutative ring with unity, if the range of sequences they represent is a commutative ring with unity

instance *fps* :: (*semigroup-add*) *semigroup-add*
 ⟨*proof*⟩

instance *fps* :: (*ab-semigroup-add*) *ab-semigroup-add*
 ⟨*proof*⟩

lemma *fps-mult-assoc-lemma*:
 fixes $k :: nat$
 and $f :: nat \Rightarrow nat \Rightarrow nat \Rightarrow 'a::comm-monoid-add$
 shows $(\sum_{j=0..k} \sum_{i=0..j} f\ i\ (j - i)\ (n - j)) =$
 $(\sum_{j=0..k} \sum_{i=0..k-j} f\ j\ i\ (n - j - i))$
 ⟨*proof*⟩

instance *fps* :: (*semiring-0*) *semigroup-mult*
 ⟨*proof*⟩

lemma *fps-mult-commute-lemma*:
 fixes $n :: nat$
 and $f :: nat \Rightarrow nat \Rightarrow 'a::comm-monoid-add$
 shows $(\sum_{i=0..n} f\ i\ (n - i)) = (\sum_{i=0..n} f\ (n - i)\ i)$
 ⟨*proof*⟩

instance *fps* :: (*comm-semiring-0*) *ab-semigroup-mult*
 ⟨*proof*⟩

instance *fps* :: (*monoid-add*) *monoid-add*
 ⟨*proof*⟩

instance *fps* :: (*comm-monoid-add*) *comm-monoid-add*
 ⟨*proof*⟩

instance *fps* :: (*semiring-1*) *monoid-mult*
 ⟨*proof*⟩

instance *fps* :: (*cancel-semigroup-add*) *cancel-semigroup-add*
 ⟨*proof*⟩

instance *fps* :: (*cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*
 ⟨*proof*⟩

instance *fps* :: (*cancel-comm-monoid-add*) *cancel-comm-monoid-add* ⟨*proof*⟩

instance *fps* :: (*group-add*) *group-add*
 ⟨*proof*⟩

instance *fps* :: (*ab-group-add*) *ab-group-add*
 ⟨*proof*⟩

instance *fps* :: (*zero-neq-one*) *zero-neq-one*
 ⟨*proof*⟩

instance *fps* :: (*semiring-0*) *semiring*
 ⟨*proof*⟩

instance *fps* :: (*semiring-0*) *semiring-0*
 ⟨*proof*⟩

instance *fps* :: (*semiring-0-cancel*) *semiring-0-cancel* ⟨*proof*⟩

instance *fps* :: (*semiring-1*) *semiring-1* ⟨*proof*⟩

43.3 Selection of the *n*th power of the implicit variable in the infinite sum

lemma *fps-nonzero-nth*: $f \neq 0 \longleftrightarrow (\exists n. f \$ n \neq 0)$
 ⟨*proof*⟩

lemma *fps-nonzero-nth-minimal*: $f \neq 0 \longleftrightarrow (\exists n. f \$ n \neq 0 \wedge (\forall m < n. f \$ m = 0))$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨*proof*⟩

lemma *fps-eq-iff*: $f = g \longleftrightarrow (\forall n. f \$ n = g \$ n)$
 ⟨*proof*⟩

lemma *fps-setsum-nth*: $\text{setsum } f S \$ n = \text{setsum } (\lambda k. (f k) \$ n) S$
 ⟨*proof*⟩

43.4 Injection of the basic ring elements and multiplication by scalars

definition *fps-const* $c = \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } c \text{ else } 0)$

lemma *fps-nth-fps-const* [*simp*]: $\text{fps-const } c \$ n = (\text{if } n = 0 \text{ then } c \text{ else } 0)$
 ⟨*proof*⟩

lemma *fps-const-0-eq-0* [*simp*]: $\text{fps-const } 0 = 0$
 ⟨*proof*⟩

lemma *fps-const-1-eq-1* [*simp*]: $\text{fps-const } 1 = 1$

<proof>

lemma *fps-const-neg* [*simp*]: $-(\text{fps-const } (c::'a::\text{ring})) = \text{fps-const } (- c)$
<proof>

lemma *fps-const-add* [*simp*]: $\text{fps-const } (c::'a::\text{monoid-add}) + \text{fps-const } d = \text{fps-const } (c + d)$
<proof>

lemma *fps-const-sub* [*simp*]: $\text{fps-const } (c::'a::\text{group-add}) - \text{fps-const } d = \text{fps-const } (c - d)$
<proof>

lemma *fps-const-mult* [*simp*]: $\text{fps-const } (c::'a::\text{ring}) * \text{fps-const } d = \text{fps-const } (c * d)$
<proof>

lemma *fps-const-add-left*: $\text{fps-const } (c::'a::\text{monoid-add}) + f = \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } c + f\$0 \text{ else } f\$n)$
<proof>

lemma *fps-const-add-right*: $f + \text{fps-const } (c::'a::\text{monoid-add}) = \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } f\$0 + c \text{ else } f\$n)$
<proof>

lemma *fps-const-mult-left*: $\text{fps-const } (c::'a::\text{semiring-0}) * f = \text{Abs-fps } (\lambda n. c * f\$n)$
<proof>

lemma *fps-const-mult-right*: $f * \text{fps-const } (c::'a::\text{semiring-0}) = \text{Abs-fps } (\lambda n. f\$n * c)$
<proof>

lemma *fps-mult-left-const-nth* [*simp*]: $(\text{fps-const } (c::'a::\text{semiring-1}) * f)\$n = c * f\$n$
<proof>

lemma *fps-mult-right-const-nth* [*simp*]: $(f * \text{fps-const } (c::'a::\text{semiring-1}))\$n = f\$n * c$
<proof>

43.5 Formal power series form an integral domain

instance *fps* :: (*ring*) *ring* *<proof>*

instance *fps* :: (*ring-1*) *ring-1*
<proof>

instance *fps* :: (*comm-ring-1*) *comm-ring-1*

<proof>

instance *fps* :: (*ring-no-zero-divisors*) *ring-no-zero-divisors*
<proof>

instance *fps* :: (*ring-1-no-zero-divisors*) *ring-1-no-zero-divisors* *<proof>*

instance *fps* :: (*idom*) *idom* *<proof>*

lemma *numeral-fps-const*: *numeral k = fps-const (numeral k)*
<proof>

lemma *neg-numeral-fps-const*:
 $(- \text{numeral } k :: 'a :: \text{ring-1 } \text{fps}) = \text{fps-const } (- \text{numeral } k)$
<proof>

lemma *fps-numeral-nth*: *numeral n \$ i = (if i = 0 then numeral n else 0)*
<proof>

lemma *fps-numeral-nth-0 [simp]*: *numeral n \$ 0 = numeral n*
<proof>

43.6 The eXtractor series X

lemma *minus-one-power-iff*: $(- (1 :: 'a :: \text{comm-ring-1})) ^ n = (\text{if even } n \text{ then } 1 \text{ else } - 1)$
<proof>

definition *X = Abs-fps* ($\lambda n. \text{if } n = 1 \text{ then } 1 \text{ else } 0$)

lemma *X-mult-nth [simp]*:
 $(X * (f :: 'a :: \text{semiring-1 } \text{fps})) \$ n = (\text{if } n = 0 \text{ then } 0 \text{ else } f \$ (n - 1))$
<proof>

lemma *X-mult-right-nth [simp]*:
 $((f :: 'a :: \text{comm-semiring-1 } \text{fps}) * X) \$ n = (\text{if } n = 0 \text{ then } 0 \text{ else } f \$ (n - 1))$
<proof>

lemma *X-power-iff*: $X^k = \text{Abs-fps } (\lambda n. \text{if } n = k \text{ then } 1 :: 'a :: \text{comm-ring-1} \text{ else } 0)$
<proof>

lemma *X-nth [simp]*: $X \$ n = (\text{if } n = 1 \text{ then } 1 \text{ else } 0)$
<proof>

lemma *X-power-nth [simp]*: $(X^k) \$ n = (\text{if } n = k \text{ then } 1 \text{ else } 0 :: 'a :: \text{comm-ring-1})$
<proof>

lemma *X-power-mult-nth*: $(X^k * (f :: 'a :: \text{comm-ring-1 } \text{fps})) \$ n = (\text{if } n < k \text{ then } 0 \text{ else } f \$ (n - k))$

<proof>

lemma *X-power-mult-right-nth*:

$((f :: 'a::comm-ring-1\ fps) * X^k) \$n = (if\ n < k\ then\ 0\ else\ f\ \$\ (n - k))$

<proof>

lemma *X-neq-fps-const* [simp]: $(X :: 'a :: zero-neq-one\ fps) \neq\ fps-const\ c$

<proof>

lemma *X-neq-zero* [simp]: $(X :: 'a :: zero-neq-one\ fps) \neq\ 0$

<proof>

lemma *X-neq-one* [simp]: $(X :: 'a :: zero-neq-one\ fps) \neq\ 1$

<proof>

lemma *X-neq-numeral* [simp]: $(X :: 'a :: \{semiring-1, zero-neq-one\}\ fps) \neq\ numeral\ c$

<proof>

lemma *X-pow-eq-X-pow-iff* [simp]:

$(X :: ('a :: \{comm-ring-1\})\ fps) ^ m = X ^ n \iff m = n$

<proof>

43.7 Subdegrees

definition *subdegree* :: $('a::zero)\ fps \Rightarrow nat$ **where**

$subdegree\ f = (if\ f = 0\ then\ 0\ else\ LEAST\ n.\ f\ \$n \neq 0)$

lemma *subdegreeI*:

assumes $f\ \$\ d \neq 0$ **and** $\bigwedge i.\ i < d \implies f\ \$\ i = 0$

shows $subdegree\ f = d$

<proof>

lemma *nth-subdegree-nonzero* [simp,intro]: $f \neq 0 \implies f\ \$\ subdegree\ f \neq 0$

<proof>

lemma *nth-less-subdegree-zero* [dest]: $n < subdegree\ f \implies f\ \$\ n = 0$

<proof>

lemma *subdegree-geI*:

assumes $f \neq 0 \wedge i.\ i < n \implies f\ \$\ i = 0$

shows $subdegree\ f \geq n$

<proof>

lemma *subdegree-greaterI*:

assumes $f \neq 0 \wedge i.\ i \leq n \implies f\ \$\ i = 0$

shows $subdegree\ f > n$

<proof>

lemma *subdegree-leI*:

$f \$ n \neq 0 \implies \text{subdegree } f \leq n$
 ⟨proof⟩

lemma *subdegree-0 [simp]*: $\text{subdegree } 0 = 0$

⟨proof⟩

lemma *subdegree-1 [simp]*: $\text{subdegree } (1 :: ('a :: \text{zero-neq-one}) \text{fps}) = 0$

⟨proof⟩

lemma *subdegree-X [simp]*: $\text{subdegree } (X :: ('a :: \text{zero-neq-one}) \text{fps}) = 1$

⟨proof⟩

lemma *subdegree-fps-const [simp]*: $\text{subdegree } (\text{fps-const } c) = 0$

⟨proof⟩

lemma *subdegree-numeral [simp]*: $\text{subdegree } (\text{numeral } n) = 0$

⟨proof⟩

lemma *subdegree-eq-0-iff*: $\text{subdegree } f = 0 \iff f = 0 \vee f \$ 0 \neq 0$

⟨proof⟩

lemma *subdegree-eq-0 [simp]*: $f \$ 0 \neq 0 \implies \text{subdegree } f = 0$

⟨proof⟩

lemma *nth-subdegree-mult [simp]*:

fixes $f g :: ('a :: \{\text{mult-zero, comm-monoid-add}\}) \text{fps}$

shows $(f * g) \$ (\text{subdegree } f + \text{subdegree } g) = f \$ \text{subdegree } f * g \$ \text{subdegree } g$
 ⟨proof⟩

lemma *subdegree-mult [simp]*:

assumes $f \neq 0 \ g \neq 0$

shows $\text{subdegree } ((f :: ('a :: \{\text{ring-no-zero-divisors}\}) \text{fps}) * g) = \text{subdegree } f + \text{subdegree } g$

⟨proof⟩

lemma *subdegree-power [simp]*:

$\text{subdegree } ((f :: ('a :: \text{ring-1-no-zero-divisors}) \text{fps}) ^ n) = n * \text{subdegree } f$
 ⟨proof⟩

lemma *subdegree-uminus [simp]*:

$\text{subdegree } (-(f :: ('a :: \text{group-add}) \text{fps})) = \text{subdegree } f$
 ⟨proof⟩

lemma *subdegree-minus-commute [simp]*:

$\text{subdegree } (f - (g :: ('a :: \text{group-add}) \text{fps})) = \text{subdegree } (g - f)$
 ⟨proof⟩

lemma *subdegree-add-ge*:

assumes $f \neq -(g :: ('a :: \{\text{group-add}\}) \text{fps})$

shows $\text{subdegree } (f + g) \geq \min (\text{subdegree } f) (\text{subdegree } g)$

<proof>

lemma *subdegree-add-eq1*:

assumes $f \neq 0$

assumes $\text{subdegree } f < \text{subdegree } (g :: ('a :: \{\text{group-add}\}) \text{fps})$

shows $\text{subdegree } (f + g) = \text{subdegree } f$

<proof>

lemma *subdegree-add-eq2*:

assumes $g \neq 0$

assumes $\text{subdegree } g < \text{subdegree } (f :: ('a :: \{\text{ab-group-add}\}) \text{fps})$

shows $\text{subdegree } (f + g) = \text{subdegree } g$

<proof>

lemma *subdegree-diff-eq1*:

assumes $f \neq 0$

assumes $\text{subdegree } f < \text{subdegree } (g :: ('a :: \{\text{ab-group-add}\}) \text{fps})$

shows $\text{subdegree } (f - g) = \text{subdegree } f$

<proof>

lemma *subdegree-diff-eq2*:

assumes $g \neq 0$

assumes $\text{subdegree } g < \text{subdegree } (f :: ('a :: \{\text{ab-group-add}\}) \text{fps})$

shows $\text{subdegree } (f - g) = \text{subdegree } g$

<proof>

lemma *subdegree-diff-ge* [simp]:

assumes $f \neq (g :: ('a :: \{\text{group-add}\}) \text{fps})$

shows $\text{subdegree } (f - g) \geq \min (\text{subdegree } f) (\text{subdegree } g)$

<proof>

43.8 Shifting and slicing

definition *fps-shift* :: $\text{nat} \Rightarrow 'a \text{fps} \Rightarrow 'a \text{fps}$ **where**

$\text{fps-shift } n \ f = \text{Abs-fps } (\lambda i. f \ \$ \ (i + n))$

lemma *fps-shift-nth* [simp]: $\text{fps-shift } n \ f \ \$ \ i = f \ \$ \ (i + n)$

<proof>

lemma *fps-shift-0* [simp]: $\text{fps-shift } 0 \ f = f$

<proof>

lemma *fps-shift-zero* [simp]: $\text{fps-shift } n \ 0 = 0$

<proof>

lemma *fps-shift-one*: $\text{fps-shift } n \ 1 = (\text{if } n = 0 \text{ then } 1 \text{ else } 0)$
 ⟨proof⟩

lemma *fps-shift-fps-const*: $\text{fps-shift } n \ (\text{fps-const } c) = (\text{if } n = 0 \text{ then } \text{fps-const } c \text{ else } 0)$
 ⟨proof⟩

lemma *fps-shift-numeral*: $\text{fps-shift } n \ (\text{numeral } c) = (\text{if } n = 0 \text{ then } \text{numeral } c \text{ else } 0)$
 ⟨proof⟩

lemma *fps-shift-X-power* [simp]:
 $n \leq m \implies \text{fps-shift } n \ (X \wedge m) = (X \wedge (m - n)) :: 'a :: \text{comm-ring-1 } \text{fps}$
 ⟨proof⟩

lemma *fps-shift-times-X-power*:
 $n \leq \text{subdegree } f \implies \text{fps-shift } n \ f * X \wedge n = (f :: 'a :: \text{comm-ring-1 } \text{fps})$
 ⟨proof⟩

lemma *fps-shift-times-X-power'* [simp]:
 $\text{fps-shift } n \ (f * X \wedge n) = (f :: 'a :: \text{comm-ring-1 } \text{fps})$
 ⟨proof⟩

lemma *fps-shift-times-X-power''*:
 $m \leq n \implies \text{fps-shift } n \ (f * X \wedge m) = \text{fps-shift } (n - m) \ (f :: 'a :: \text{comm-ring-1 } \text{fps})$
 ⟨proof⟩

lemma *fps-shift-subdegree* [simp]:
 $n \leq \text{subdegree } f \implies \text{subdegree } (\text{fps-shift } n \ f) = \text{subdegree } (f :: 'a :: \text{comm-ring-1 } \text{fps}) - n$
 ⟨proof⟩

lemma *subdegree-decompose*:
 $f = \text{fps-shift } (\text{subdegree } f) \ f * X \wedge \text{subdegree } (f :: ('a :: \text{comm-ring-1 } \text{fps}))$
 ⟨proof⟩

lemma *subdegree-decompose'*:
 $n \leq \text{subdegree } (f :: ('a :: \text{comm-ring-1 } \text{fps})) \implies f = \text{fps-shift } n \ f * X \wedge n$
 ⟨proof⟩

lemma *fps-shift-fps-shift*:
 $\text{fps-shift } (m + n) \ f = \text{fps-shift } m \ (\text{fps-shift } n \ f)$
 ⟨proof⟩

lemma *fps-shift-add*:
 $\text{fps-shift } n \ (f + g) = \text{fps-shift } n \ f + \text{fps-shift } n \ g$
 ⟨proof⟩

lemma *fps-shift-mult*:

assumes $n \leq \text{subdegree } (g :: 'b :: \{\text{comm-ring-1}\} \text{ fps})$

shows $\text{fps-shift } n (h * g) = h * \text{fps-shift } n g$

<proof>

lemma *fps-shift-mult-right*:

assumes $n \leq \text{subdegree } (g :: 'b :: \{\text{comm-ring-1}\} \text{ fps})$

shows $\text{fps-shift } n (g * h) = h * \text{fps-shift } n g$

<proof>

lemma *nth-subdegree-zero-iff [simp]*: $f \$ \text{subdegree } f = 0 \longleftrightarrow f = 0$

<proof>

lemma *fps-shift-subdegree-zero-iff [simp]*:

$\text{fps-shift } (\text{subdegree } f) f = 0 \longleftrightarrow f = 0$

<proof>

definition *fps-cutoff* $n f = \text{Abs-fps } (\lambda i. \text{if } i < n \text{ then } f \$ i \text{ else } 0)$

lemma *fps-cutoff-nth [simp]*: $\text{fps-cutoff } n f \$ i = (\text{if } i < n \text{ then } f \$ i \text{ else } 0)$

<proof>

lemma *fps-cutoff-zero-iff*: $\text{fps-cutoff } n f = 0 \longleftrightarrow (f = 0 \vee n \leq \text{subdegree } f)$

<proof>

lemma *fps-cutoff-0 [simp]*: $\text{fps-cutoff } 0 f = 0$

<proof>

lemma *fps-cutoff-zero [simp]*: $\text{fps-cutoff } n 0 = 0$

<proof>

lemma *fps-cutoff-one*: $\text{fps-cutoff } n 1 = (\text{if } n = 0 \text{ then } 0 \text{ else } 1)$

<proof>

lemma *fps-cutoff-fps-const*: $\text{fps-cutoff } n (\text{fps-const } c) = (\text{if } n = 0 \text{ then } 0 \text{ else } \text{fps-const } c)$

<proof>

lemma *fps-cutoff-numeral*: $\text{fps-cutoff } n (\text{numeral } c) = (\text{if } n = 0 \text{ then } 0 \text{ else numeral } c)$

<proof>

lemma *fps-shift-cutoff*:

$\text{fps-shift } n (f :: ('a :: \text{comm-ring-1}) \text{ fps}) * X^n + \text{fps-cutoff } n f = f$

<proof>

43.9 Formal Power series form a metric space

definition (in *dist*) *ball* $x\ r = \{y. \text{dist } y\ x < r\}$

instantiation *fps* :: (*comm-ring-1*) *dist*
begin

definition

dist-fps-def: $\text{dist } (a :: 'a\ \text{fps})\ b = (\text{if } a = b \text{ then } 0 \text{ else inverse } (2 \wedge \text{subdegree } (a - b)))$

lemma *dist-fps-ge0*: $\text{dist } (a :: 'a\ \text{fps})\ b \geq 0$
 ⟨*proof*⟩

lemma *dist-fps-sym*: $\text{dist } (a :: 'a\ \text{fps})\ b = \text{dist } b\ a$
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

instantiation *fps* :: (*comm-ring-1*) *metric-space*
begin

definition *uniformity-fps-def* [*code del*]:

(*uniformity* :: (*'a fps* × *'a fps*) *filter*) = (*INF* $e:\{0 < ..\}$. *principal* $\{(x, y). \text{dist } x\ y < e\}$)

definition *open-fps-def'* [*code del*]:

open ($U :: 'a\ \text{fps}\ \text{set}$) $\longleftrightarrow (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U)$
uniformity)

instance

⟨*proof*⟩

end

declare *uniformity-Abort*[**where** $'a = 'a :: \text{comm-ring-1}\ \text{fps}$, *code*]

lemma *open-fps-def*: $\text{open } (S :: 'a :: \text{comm-ring-1}\ \text{fps}\ \text{set}) = (\forall a \in S. \exists r. r > 0 \wedge \text{ball } a\ r \subseteq S)$
 ⟨*proof*⟩

The infinite sums and justification of the notation in textbooks.

lemma *reals-power-lt-ex*:

fixes $x\ y :: \text{real}$

assumes $x > 0$

and $y > 1$

shows $\exists k > 0. (1/y)^k < x$

⟨*proof*⟩

lemma *fps-sum-rep-nth*: $(\text{setsum } (\lambda i. \text{fps-const}(a\$i) * X^i) \{0..m\})\$n =$
 $(\text{if } n \leq m \text{ then } a\$n \text{ else } 0::'a::\text{comm-ring-1})$
 $\langle \text{proof} \rangle$

lemma *fps-notation*: $(\lambda n. \text{setsum } (\lambda i. \text{fps-const}(a\$i) * X^i) \{0..n\}) \longrightarrow a$
 $(\text{is } ?s \longrightarrow a)$
 $\langle \text{proof} \rangle$

43.10 Inverses of formal power series

declare *setsum.cong*[*fundef-cong*]

instantiation *fps* :: $(\{ \text{comm-monoid-add}, \text{inverse}, \text{times}, \text{uminus} \}) \text{ inverse}$
begin

fun *natfun-inverse*:: $'a \text{ fps} \Rightarrow \text{nat} \Rightarrow 'a$

where

$\text{natfun-inverse } f \ 0 = \text{inverse } (f\$0)$
 $| \text{natfun-inverse } f \ n = - \text{inverse } (f\$0) * \text{setsum } (\lambda i. f\$i * \text{natfun-inverse } f \ (n - i)) \{1..n\}$

definition *fps-inverse-def*: $\text{inverse } f = (\text{if } f \ \$ \ 0 = 0 \text{ then } 0 \text{ else } \text{Abs-fps } (\text{natfun-inverse } f))$

definition *fps-divide-def*:

$f \ \text{div} \ g = (\text{if } g = 0 \text{ then } 0 \text{ else}$
 $\text{let } n = \text{subdegree } g; \ h = \text{fps-shift } n \ g$
 $\text{in } \text{fps-shift } n \ (f * \text{inverse } h))$

instance $\langle \text{proof} \rangle$

end

lemma *fps-inverse-zero* [*simp*]:

$\text{inverse } (0 :: 'a::\{ \text{comm-monoid-add}, \text{inverse}, \text{times}, \text{uminus} \}) \text{ fps} = 0$
 $\langle \text{proof} \rangle$

lemma *fps-inverse-one* [*simp*]: $\text{inverse } (1 :: 'a::\{ \text{division-ring}, \text{zero-neq-one} \}) \text{ fps}$
 $= 1$
 $\langle \text{proof} \rangle$

lemma *inverse-mult-eq-1* [*intro*]:

assumes *f0*: $f\$0 \neq (0::'a::\text{field})$
shows $\text{inverse } f * f = 1$
 $\langle \text{proof} \rangle$

lemma *fps-inverse-0-iff* [*simp*]: $(\text{inverse } f) \ \$ \ 0 = (0::'a::\text{division-ring}) \iff f \ \$ \ 0 = 0$

$\langle proof \rangle$

lemma *fps-inverse-nth-0* [*simp*]: $inverse\ f\ \$\ 0 = inverse\ (f\ \$\ 0 :: 'a :: division-ring)$
 $\langle proof \rangle$

lemma *fps-inverse-eq-0-iff* [*simp*]: $inverse\ f = (0 :: ('a :: division-ring)\ fps) \longleftrightarrow f\ \$\ 0 = 0$
 $\langle proof \rangle$

lemma *fps-inverse-idempotent* [*intro, simp*]:
assumes $f0: f\ \$\ 0 \neq (0 :: 'a :: field)$
shows $inverse\ (inverse\ f) = f$
 $\langle proof \rangle$

lemma *fps-inverse-unique*:
assumes $fg: (f :: 'a :: field\ fps) * g = 1$
shows $inverse\ f = g$
 $\langle proof \rangle$

lemma *setsum-zero-lemma*:
fixes $n::nat$
assumes $0 < n$
shows $(\sum i = 0..n. if\ n = i\ then\ 1\ else\ if\ n - i = 1\ then\ -1\ else\ 0) = (0 :: 'a :: field)$
 $\langle proof \rangle$

lemma *fps-inverse-mult*: $inverse\ (f * g :: 'a :: field\ fps) = inverse\ f * inverse\ g$
 $\langle proof \rangle$

lemma *fps-inverse-gp*: $inverse\ (Abs-fps(\lambda n. (1 :: 'a :: field))) = Abs-fps\ (\lambda n. if\ n = 0\ then\ 1\ else\ if\ n = 1\ then\ -1\ else\ 0)$
 $\langle proof \rangle$

lemma *subdegree-inverse* [*simp*]: $subdegree\ (inverse\ (f :: 'a :: field\ fps)) = 0$
 $\langle proof \rangle$

lemma *fps-is-unit-iff* [*simp*]: $(f :: 'a :: field\ fps)\ dvd\ 1 \longleftrightarrow f\ \$\ 0 \neq 0$
 $\langle proof \rangle$

lemma *subdegree-eq-0'* [*simp*]: $(f :: 'a :: field\ fps)\ dvd\ 1 \implies subdegree\ f = 0$
 $\langle proof \rangle$

lemma *fps-unit-dvd* [*simp*]: $(f\ \$\ 0 :: 'a :: field) \neq 0 \implies f\ dvd\ g$
 $\langle proof \rangle$

instantiation $fps :: (field)\ ring-div$

begin

definition *fps-mod-def*:

$f \text{ mod } g = (\text{if } g = 0 \text{ then } f \text{ else}$
 $\text{let } n = \text{subdegree } g; h = \text{fps-shift } n \ g$
 $\text{in } \text{fps-cutoff } n \ (f * \text{inverse } h) * h)$

lemma *fps-mod-eq-zero*:

assumes $g \neq 0$ **and** $\text{subdegree } f \geq \text{subdegree } g$
shows $f \text{ mod } g = 0$
 $\langle \text{proof} \rangle$

lemma *fps-times-divide-eq*:

assumes $g \neq 0$ **and** $\text{subdegree } f \geq \text{subdegree } (g :: 'a \text{ fps})$
shows $f \text{ div } g * g = f$
 $\langle \text{proof} \rangle$

lemma

assumes $g \neq 0$
shows *fps-divide-unit*: $f \text{ div } g = f * \text{inverse } g$ **and** *fps-mod-unit [simp]*: $f \text{ mod } g = 0$
 $\langle \text{proof} \rangle$

context

begin

private lemma *fps-divide-cancel-aux1*:

assumes $h \neq 0$ $(0 :: 'a :: \text{field})$
shows $(h * f) \text{ div } (h * g) = f \text{ div } g$
 $\langle \text{proof} \rangle$ **lemma** *fps-divide-cancel-aux2*:
 $(f * X^m) \text{ div } (g * X^m) = f \text{ div } (g :: 'a :: \text{field } \text{fps})$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

end

lemma *subdegree-mod*:

assumes $f \neq 0$ $\text{subdegree } f < \text{subdegree } g$
shows $\text{subdegree } (f \text{ mod } g) = \text{subdegree } f$
 $\langle \text{proof} \rangle$

lemma *fps-divide-nth-0 [simp]*: $g \neq 0 \implies (f \text{ div } g) \neq 0 = f \neq 0 / (g \neq 0 :: - :: \text{field})$
 $\langle \text{proof} \rangle$

lemma *dvd-imp-subdegree-le*:

$(f :: 'a :: \text{idom } \text{fps}) \text{ dvd } g \implies g \neq 0 \implies \text{subdegree } f \leq \text{subdegree } g$

⟨proof⟩

lemma *fps-dvd-iff*:

assumes $(f :: 'a :: \text{field } \text{fps}) \neq 0 \ g \neq 0$

shows $f \text{ dvd } g \iff \text{subdegree } f \leq \text{subdegree } g$

⟨proof⟩

lemma *fps-const-inverse*: $\text{inverse } (\text{fps-const } (a :: 'a :: \text{field})) = \text{fps-const } (\text{inverse } a)$

⟨proof⟩

lemma *fps-const-divide*: $\text{fps-const } (x :: - :: \text{field}) / \text{fps-const } y = \text{fps-const } (x / y)$

⟨proof⟩

lemma *inverse-fps-numeral*:

$\text{inverse } (\text{numeral } n :: ('a :: \text{field-char-0}) \text{fps}) = \text{fps-const } (\text{inverse } (\text{numeral } n))$

⟨proof⟩

instantiation *fps* :: (*field*) *normalization-semidom*

begin

definition *fps-unit-factor-def* [*simp*]:

$\text{unit-factor } f = \text{fps-shift } (\text{subdegree } f) f$

definition *fps-normalize-def* [*simp*]:

$\text{normalize } f = (\text{if } f = 0 \text{ then } 0 \text{ else } X \wedge \text{subdegree } f)$

instance ⟨proof⟩

end

instance *fps* :: (*field*) *algebraic-semidom* ⟨proof⟩

43.11 Formal power series form a Euclidean ring

instantiation *fps* :: (*field*) *euclidean-ring*

begin

definition *fps-euclidean-size-def*:

$\text{euclidean-size } f = (\text{if } f = 0 \text{ then } 0 \text{ else } 2 \wedge \text{subdegree } f)$

instance ⟨proof⟩

end

instantiation *fps* :: (*field*) *euclidean-ring-gcd*

begin

definition *fps-gcd-def*: $(gcd :: 'a\ fps \Rightarrow -) = gcd-eucl$
definition *fps-lcm-def*: $(lcm :: 'a\ fps \Rightarrow -) = lcm-eucl$
definition *fps-Gcd-def*: $(Gcd :: 'a\ fps\ set \Rightarrow -) = Gcd-eucl$
definition *fps-Lcm-def*: $(Lcm :: 'a\ fps\ set \Rightarrow -) = Lcm-eucl$
instance $\langle proof \rangle$
end

lemma *fps-gcd*:
assumes $[simp]$: $f \neq 0\ g \neq 0$
shows $gcd\ f\ g = X \wedge \min\ (subdegree\ f)\ (subdegree\ g)$
 $\langle proof \rangle$

lemma *fps-gcd-altdef*: $gcd\ (f :: 'a :: field\ fps)\ g =$
 $(if\ f = 0 \wedge g = 0\ then\ 0\ else$
 $if\ f = 0\ then\ X \wedge\ subdegree\ g\ else$
 $if\ g = 0\ then\ X \wedge\ subdegree\ f\ else$
 $X \wedge \min\ (subdegree\ f)\ (subdegree\ g))$
 $\langle proof \rangle$

lemma *fps-lcm*:
assumes $[simp]$: $f \neq 0\ g \neq 0$
shows $lcm\ f\ g = X \wedge \max\ (subdegree\ f)\ (subdegree\ g)$
 $\langle proof \rangle$

lemma *fps-lcm-altdef*: $lcm\ (f :: 'a :: field\ fps)\ g =$
 $(if\ f = 0 \vee g = 0\ then\ 0\ else\ X \wedge \max\ (subdegree\ f)\ (subdegree\ g))$
 $\langle proof \rangle$

lemma *fps-Gcd*:
assumes $A - \{0\} \neq \{\}$
shows $Gcd\ A = X \wedge (INF\ f:A-\{0\}.\ subdegree\ f)$
 $\langle proof \rangle$

lemma *fps-Gcd-altdef*: $Gcd\ (A :: 'a :: field\ fps\ set) =$
 $(if\ A \subseteq \{0\}\ then\ 0\ else\ X \wedge (INF\ f:A-\{0\}.\ subdegree\ f))$
 $\langle proof \rangle$

lemma *fps-Lcm*:
assumes $A \neq \{\}\ 0 \notin A\ bdd-above\ (subdegree\ A)$
shows $Lcm\ A = X \wedge (SUP\ f:A.\ subdegree\ f)$
 $\langle proof \rangle$

lemma *fps-Lcm-altdef*:
 $Lcm\ (A :: 'a :: field\ fps\ set) =$
 $(if\ 0 \in A \vee \neg bdd-above\ (subdegree\ A)\ then\ 0\ else$
 $if\ A = \{\}\ then\ 1\ else\ X \wedge (SUP\ f:A.\ subdegree\ f))$
 $\langle proof \rangle$

43.12 Formal Derivatives, and the MacLaurin theorem around 0

definition $\text{fps-deriv } f = \text{Abs-fps } (\lambda n. \text{of-nat } (n + 1) * f \$ (n + 1))$

lemma $\text{fps-deriv-nth[simp]}$: $\text{fps-deriv } f \$ n = \text{of-nat } (n + 1) * f \$ (n + 1)$
 ⟨proof⟩

lemma $\text{fps-deriv-linear[simp]}$:
 $\text{fps-deriv } (\text{fps-const } (a::'a::\text{comm-semiring-1}) * f + \text{fps-const } b * g) =$
 $\text{fps-const } a * \text{fps-deriv } f + \text{fps-const } b * \text{fps-deriv } g$
 ⟨proof⟩

lemma $\text{fps-deriv-mult[simp]}$:
fixes $f :: 'a::\text{comm-ring-1 } \text{fps}$
shows $\text{fps-deriv } (f * g) = f * \text{fps-deriv } g + \text{fps-deriv } f * g$
 ⟨proof⟩

lemma fps-deriv-X[simp] : $\text{fps-deriv } X = 1$
 ⟨proof⟩

lemma $\text{fps-deriv-neg[simp]}$:
 $\text{fps-deriv } (- (f::'a::\text{comm-ring-1 } \text{fps})) = - (\text{fps-deriv } f)$
 ⟨proof⟩

lemma $\text{fps-deriv-add[simp]}$:
 $\text{fps-deriv } ((f::'a::\text{comm-ring-1 } \text{fps}) + g) = \text{fps-deriv } f + \text{fps-deriv } g$
 ⟨proof⟩

lemma $\text{fps-deriv-sub[simp]}$:
 $\text{fps-deriv } ((f::'a::\text{comm-ring-1 } \text{fps}) - g) = \text{fps-deriv } f - \text{fps-deriv } g$
 ⟨proof⟩

lemma $\text{fps-deriv-const[simp]}$: $\text{fps-deriv } (\text{fps-const } c) = 0$
 ⟨proof⟩

lemma $\text{fps-deriv-mult-const-left[simp]}$:
 $\text{fps-deriv } (\text{fps-const } (c::'a::\text{comm-ring-1}) * f) = \text{fps-const } c * \text{fps-deriv } f$
 ⟨proof⟩

lemma fps-deriv-0[simp] : $\text{fps-deriv } 0 = 0$
 ⟨proof⟩

lemma fps-deriv-1[simp] : $\text{fps-deriv } 1 = 0$
 ⟨proof⟩

lemma $\text{fps-deriv-mult-const-right[simp]}$:
 $\text{fps-deriv } (f * \text{fps-const } (c::'a::\text{comm-ring-1})) = \text{fps-deriv } f * \text{fps-const } c$
 ⟨proof⟩

lemma *fps-deriv-setsum*:

$fps\text{-deriv } (setsum\ f\ S) = setsum\ (\lambda i. fps\text{-deriv } (f\ i :: 'a::comm\text{-ring-1}\ fps))\ S$
 ⟨proof⟩

lemma *fps-deriv-eq-0-iff* [simp]:

$fps\text{-deriv } f = 0 \longleftrightarrow f = fps\text{-const } (f\ \$0 :: 'a::\{idom, semiring\text{-char-0}\})$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *fps-deriv-eq-iff*:

fixes $f :: 'a::\{idom, semiring\text{-char-0}\}\ fps$
shows $fps\text{-deriv } f = fps\text{-deriv } g \longleftrightarrow (f = fps\text{-const}(f\ \$0 - g\ \$0) + g)$
 ⟨proof⟩

lemma *fps-deriv-eq-iff-ex*:

$(fps\text{-deriv } f = fps\text{-deriv } g) \longleftrightarrow (\exists c::'a::\{idom, semiring\text{-char-0}\}. f = fps\text{-const } c + g)$
 ⟨proof⟩

fun *fps-nth-deriv* :: $nat \Rightarrow 'a::semiring\text{-1}\ fps \Rightarrow 'a\ fps$

where

$fps\text{-nth-deriv } 0\ f = f$
 | $fps\text{-nth-deriv } (Suc\ n)\ f = fps\text{-nth-deriv } n\ (fps\text{-deriv } f)$

lemma *fps-nth-deriv-commute*: $fps\text{-nth-deriv } (Suc\ n)\ f = fps\text{-deriv } (fps\text{-nth-deriv } n\ f)$

⟨proof⟩

lemma *fps-nth-deriv-linear*[simp]:

$fps\text{-nth-deriv } n\ (fps\text{-const } (a::'a::comm\text{-semiring-1}) * f + fps\text{-const } b * g) =$
 $fps\text{-const } a * fps\text{-nth-deriv } n\ f + fps\text{-const } b * fps\text{-nth-deriv } n\ g$
 ⟨proof⟩

lemma *fps-nth-deriv-neg*[simp]:

$fps\text{-nth-deriv } n\ (- (f :: 'a::comm\text{-ring-1}\ fps)) = - (fps\text{-nth-deriv } n\ f)$
 ⟨proof⟩

lemma *fps-nth-deriv-add*[simp]:

$fps\text{-nth-deriv } n\ ((f :: 'a::comm\text{-ring-1}\ fps) + g) = fps\text{-nth-deriv } n\ f + fps\text{-nth-deriv } n\ g$
 ⟨proof⟩

lemma *fps-nth-deriv-sub*[simp]:

$fps\text{-nth-deriv } n\ ((f :: 'a::comm\text{-ring-1}\ fps) - g) = fps\text{-nth-deriv } n\ f - fps\text{-nth-deriv } n\ g$
 ⟨proof⟩

lemma *fps-nth-deriv-0*[simp]: $fps\text{-nth-deriv } n\ 0 = 0$

<proof>

lemma *fps-nth-deriv-1[simp]*: $\text{fps-nth-deriv } n \ 1 = (\text{if } n = 0 \text{ then } 1 \text{ else } 0)$
<proof>

lemma *fps-nth-deriv-const[simp]*:
 $\text{fps-nth-deriv } n \ (\text{fps-const } c) = (\text{if } n = 0 \text{ then } \text{fps-const } c \text{ else } 0)$
<proof>

lemma *fps-nth-deriv-mult-const-left[simp]*:
 $\text{fps-nth-deriv } n \ (\text{fps-const } (c::'a::\text{comm-ring-1}) * f) = \text{fps-const } c * \text{fps-nth-deriv } n \ f$
<proof>

lemma *fps-nth-deriv-mult-const-right[simp]*:
 $\text{fps-nth-deriv } n \ (f * \text{fps-const } (c::'a::\text{comm-ring-1})) = \text{fps-nth-deriv } n \ f * \text{fps-const } c$
<proof>

lemma *fps-nth-deriv-setsum*:
 $\text{fps-nth-deriv } n \ (\text{setsum } f \ S) = \text{setsum } (\lambda i. \text{fps-nth-deriv } n \ (f \ i :: 'a::\text{comm-ring-1} \ \text{fps})) \ S$
<proof>

lemma *fps-deriv-maclauren-0*:
 $(\text{fps-nth-deriv } k \ (f :: 'a::\text{comm-semiring-1} \ \text{fps})) \ \$ \ 0 = \text{of-nat } (\text{fact } k) * f \ \$ \ k$
<proof>

43.13 Powers

lemma *fps-power-zeroth-eq-one*: $a \ \$ \ 0 = 1 \implies a^{\hat{n}} \ \$ \ 0 = (1::'a::\text{semiring-1})$
<proof>

lemma *fps-power-first-eq*: $(a :: 'a::\text{comm-ring-1} \ \text{fps}) \ \$ \ 0 = 1 \implies a^{\hat{n}} \ \$ \ 1 = \text{of-nat } n * a \ \$ \ 1$
<proof>

lemma *startsby-one-power*: $a \ \$ \ 0 = (1::'a::\text{comm-ring-1}) \implies a^{\hat{n}} \ \$ \ 0 = 1$
<proof>

lemma *startsby-zero-power*: $a \ \$ \ 0 = (0::'a::\text{comm-ring-1}) \implies n > 0 \implies a^{\hat{n}} \ \$ \ 0 = 0$
<proof>

lemma *startsby-power*: $a \ \$ \ 0 = (v::'a::\text{comm-ring-1}) \implies a^{\hat{n}} \ \$ \ 0 = v^{\hat{n}}$
<proof>

lemma *startsby-zero-power-iff[simp]*: $a^{\hat{n}} \ \$ \ 0 = (0::'a::\text{idom}) \iff n \neq 0 \wedge a \ \$ \ 0 = 0$

<proof>

lemma *startsby-zero-power-prefix*:
assumes $a0: a \$ 0 = (0::'a::idom)$
shows $\forall n < k. a \wedge k \$ n = 0$
<proof>

lemma *startsby-zero-setsup-sum-depends*:
assumes $a0: a \$ 0 = (0::'a::idom)$
and $kn: n \geq k$
shows $setsup (\lambda i. (a \wedge i) \$ k) \{0 .. n\} = setsup (\lambda i. (a \wedge i) \$ k) \{0 .. k\}$
<proof>

lemma *startsby-zero-power-nth-same*:
assumes $a0: a \$ 0 = (0::'a::idom)$
shows $a \wedge n \$ n = (a \$ 1) \wedge n$
<proof>

lemma *fps-inverse-power*:
fixes $a :: 'a::field\ fps$
shows $inverse (a \wedge n) = inverse a \wedge n$
<proof>

lemma *fps-deriv-power*:
 $fps-deriv (a \wedge n) = fps-const (of-nat n :: 'a::comm-ring-1) * fps-deriv a * a \wedge (n - 1)$
<proof>

lemma *fps-inverse-deriv*:
fixes $a :: 'a::field\ fps$
assumes $a0: a \$ 0 \neq 0$
shows $fps-deriv (inverse a) = - fps-deriv a * (inverse a)^2$
<proof>

lemma *fps-inverse-deriv'*:
fixes $a :: 'a::field\ fps$
assumes $a0: a \$ 0 \neq 0$
shows $fps-deriv (inverse a) = - fps-deriv a / a^2$
<proof>

lemma *inverse-mult-eq-1'*:
assumes $f0: f \$ 0 \neq (0::'a::field)$
shows $f * inverse f = 1$
<proof>

lemma *fps-divide-deriv*:
assumes $b\ dvd\ (a :: 'a :: field\ fps)$
shows $fps-deriv (a / b) = (fps-deriv a * b - a * fps-deriv b) / b^2$

<proof>

lemma *fps-inverse-gp'*: $\text{inverse } (\text{Abs-fps } (\lambda n. 1 :: 'a :: \text{field})) = 1 - X$
<proof>

lemma *fps-nth-deriv-X[simp]*: $\text{fps-nth-deriv } n X = (\text{if } n = 0 \text{ then } X \text{ else if } n=1 \text{ then } 1 \text{ else } 0)$
<proof>

lemma *fps-inverse-X-plus1*: $\text{inverse } (1 + X) = \text{Abs-fps } (\lambda n. (- (1 :: 'a :: \text{field})) ^ n)$
(is - = ?r)
<proof>

43.14 Integration

definition *fps-integral* :: $'a :: \text{field-char-0 fps} \Rightarrow 'a \Rightarrow 'a \text{ fps}$
where *fps-integral* $a a0 = \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } a0 \text{ else } (a \$ (n - 1) / \text{of-nat } n))$

lemma *fps-deriv-fps-integral*: $\text{fps-deriv } (\text{fps-integral } a a0) = a$
<proof>

lemma *fps-integral-linear*:
 $\text{fps-integral } (\text{fps-const } a * f + \text{fps-const } b * g) (a*a0 + b*b0) =$
 $\text{fps-const } a * \text{fps-integral } f a0 + \text{fps-const } b * \text{fps-integral } g b0$
(is ?l = ?r)
<proof>

43.15 Composition of FPSs

definition *fps-compose* :: $'a :: \text{semiring-1 fps} \Rightarrow 'a \text{ fps} \Rightarrow 'a \text{ fps}$ (*infixl oo 55*)
where $a \text{ oo } b = \text{Abs-fps } (\lambda n. \text{setsum } (\lambda i. a \$ i * (b ^ i \$ n)) \{0..n\})$

lemma *fps-compose-nth*: $(a \text{ oo } b) \$ n = \text{setsum } (\lambda i. a \$ i * (b ^ i \$ n)) \{0..n\}$
<proof>

lemma *fps-compose-nth-0 [simp]*: $(f \text{ oo } g) \$ 0 = f \$ 0$
<proof>

lemma *fps-compose-X[simp]*: $a \text{ oo } X = (a :: 'a :: \text{comm-ring-1 fps})$
<proof>

lemma *fps-const-compose[simp]*: $\text{fps-const } (a :: 'a :: \text{comm-ring-1}) \text{ oo } b = \text{fps-const } a$
<proof>

lemma *numeral-compose[simp]*: $(\text{numeral } k :: 'a :: \text{comm-ring-1 fps}) \text{ oo } b = \text{numeral } k$
<proof>

lemma *neg-numeral-compose[simp]*: $(- \text{ numeral } k :: 'a::\text{comm-ring-1 } \text{fps}) \text{ oo } b = - \text{ numeral } k$
 ⟨*proof*⟩

lemma *X-fps-compose-startby0[simp]*: $a\$0 = 0 \implies X \text{ oo } a = (a :: 'a::\text{comm-ring-1 } \text{fps})$
 ⟨*proof*⟩

43.16 Rules from Herbert Wilf’s Generatingfunctionology

43.16.1 Rule 1

lemma *fps-power-mult-eq-shift*:

$X \text{ ^ Suc } k * \text{ Abs-fps } (\lambda n. a (n + \text{ Suc } k)) =$
 $\text{ Abs-fps } a - \text{ setsum } (\lambda i. \text{ fps-const } (a \ i :: 'a::\text{comm-ring-1}) * X \text{ ^ } i) \{0 .. k\}$
 (is ?lhs = ?rhs)
 ⟨*proof*⟩

43.16.2 Rule 2

definition $XD = \text{ op } * X \circ \text{ fps-deriv}$

lemma *XD-add[simp]*: $XD (a + b) = XD \ a + XD (b :: 'a::\text{comm-ring-1 } \text{fps})$
 ⟨*proof*⟩

lemma *XD-mult-const[simp]*: $XD (\text{ fps-const } (c::'a::\text{comm-ring-1}) * a) = \text{ fps-const } c * XD \ a$
 ⟨*proof*⟩

lemma *XD-linear[simp]*: $XD (\text{ fps-const } c * a + \text{ fps-const } d * b) =$
 $\text{ fps-const } c * XD \ a + \text{ fps-const } d * XD (b :: 'a::\text{comm-ring-1 } \text{fps})$
 ⟨*proof*⟩

lemma *XDN-linear*:

$(XD \text{ ^ } n) (\text{ fps-const } c * a + \text{ fps-const } d * b) =$
 $\text{ fps-const } c * (XD \text{ ^ } n) \ a + \text{ fps-const } d * (XD \text{ ^ } n) (b :: 'a::\text{comm-ring-1 } \text{fps})$
 ⟨*proof*⟩

lemma *fps-mult-X-deriv-shift*: $X * \text{ fps-deriv } a = \text{ Abs-fps } (\lambda n. \text{ of-nat } n * a\$n)$
 ⟨*proof*⟩

lemma *fps-mult-XD-shift*:

$(XD \text{ ^ } k) (a :: 'a::\text{comm-ring-1 } \text{fps}) = \text{ Abs-fps } (\lambda n. (\text{ of-nat } n \text{ ^ } k) * a\$n)$
 ⟨*proof*⟩

43.16.3 Rule 3

Rule 3 is trivial and is given by *fps-times-def*.

43.16.4 Rule 5 — summation and ”division” by (1 - X)**lemma** *fps-divide-X-minus1-setsum-lemma*:
$$a = ((1::'a::comm-ring-1\ fps) - X) * Abs-fps (\lambda n. setsum (\lambda i. a \$ i) \{0..n\})$$

<proof>

lemma *fps-divide-X-minus1-setsum*:
$$a / ((1::'a::field\ fps) - X) = Abs-fps (\lambda n. setsum (\lambda i. a \$ i) \{0..n\})$$

<proof>

43.16.5 Rule 4 in its more general form: generalizes Rule 3 for an arbitrary finite product of FPS, also the relevant instance of powers of a FPS**definition** *natpermute n k* = $\{l :: nat\ list. length\ l = k \wedge listsum\ l = n\}$ **lemma** *natlist-trivial-1*: *natpermute n 1* = $\{[n]\}$ *<proof>***lemma** *append-natpermute-less-eq*:**assumes** *xs @ ys* \in *natpermute n k***shows** *listsum xs* \leq *n***and** *listsum ys* \leq *n**<proof>***lemma** *natpermute-split*:**assumes** *h* \leq *k***shows** *natpermute n k* =
$$(\bigcup m \in \{0..n\}. \{l1 @ l2 \mid l1\ l2. l1 \in natpermute\ m\ h \wedge l2 \in natpermute\ (n - m)\ (k - h)\})$$
(is ?L = ?R is - = $(\bigcup m \in \{0..n\}. ?S\ m)$ *<proof>***lemma** *natpermute-0*: *natpermute n 0* = (if *n* = 0 then $\{\}\}$ else $\{\}$)*<proof>***lemma** *natpermute-0'[simp]*: *natpermute 0 k* = (if *k* = 0 then $\{\}\}$ else $\{replicate\ k\ 0\}$)*<proof>***lemma** *natpermute-finite*: *finite (natpermute n k)**<proof>***lemma** *natpermute-contain-maximal*:
$$\{xs \in natpermute\ n\ (k + 1). n \in set\ xs\} = (\bigcup i \in \{0 .. k\}. \{(replicate\ (k + 1)\ 0)\ [i:=n]\})$$
(is ?A = ?B)*<proof>*

The general form.

lemma *fps-setprod-nth*:

fixes $m :: \text{nat}$
and $a :: \text{nat} \Rightarrow 'a::\text{comm-ring-1 fps}$
shows $(\text{setprod } a \{0 .. m\}) \$ n =$
 $\text{setsum } (\lambda v. \text{setprod } (\lambda j. (a j) \$ (v!j)) \{0..m\}) (\text{natpermute } n (m+1))$
(is ?P m n)
 $\langle \text{proof} \rangle$

The special form for powers.

lemma *fps-power-nth-Suc*:

fixes $m :: \text{nat}$
and $a :: 'a::\text{comm-ring-1 fps}$
shows $(a \wedge \text{Suc } m) \$ n = \text{setsum } (\lambda v. \text{setprod } (\lambda j. a \$ (v!j)) \{0..m\}) (\text{natpermute } n (m+1))$
 $\langle \text{proof} \rangle$

lemma *fps-power-nth*:

fixes $m :: \text{nat}$
and $a :: 'a::\text{comm-ring-1 fps}$
shows $(a \wedge m) \$ n =$
 $(\text{if } m=0 \text{ then } 1 \$ n \text{ else } \text{setsum } (\lambda v. \text{setprod } (\lambda j. a \$ (v!j)) \{0..m - 1\})$
 $(\text{natpermute } n m))$
 $\langle \text{proof} \rangle$

lemma *fps-nth-power-0*:

fixes $m :: \text{nat}$
and $a :: 'a::\text{comm-ring-1 fps}$
shows $(a \wedge m) \$ 0 = (a \$ 0) \wedge m$
 $\langle \text{proof} \rangle$

lemma *fps-compose-inj-right*:

assumes $a0: a \$ 0 = (0::'a::\text{idom})$
and $a1: a \$ 1 \neq 0$
shows $(b \text{ oo } a = c \text{ oo } a) \longleftrightarrow b = c$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

43.17 Radicals

declare *setprod.cong* [*fundef-cong*]

function *radical* :: $(\text{nat} \Rightarrow 'a \Rightarrow 'a) \Rightarrow \text{nat} \Rightarrow 'a::\text{field fps} \Rightarrow \text{nat} \Rightarrow 'a$

where

$\text{radical } r \ 0 \ a \ 0 = 1$
 $| \text{radical } r \ 0 \ a \ (\text{Suc } n) = 0$
 $| \text{radical } r \ (\text{Suc } k) \ a \ 0 = r \ (\text{Suc } k) \ (a \$ 0)$
 $| \text{radical } r \ (\text{Suc } k) \ a \ (\text{Suc } n) =$
 $(a \$ \text{Suc } n - \text{setsum } (\lambda xs. \text{setprod } (\lambda j. \text{radical } r \ (\text{Suc } k) \ a \ (xs ! j)) \{0..k\})$
 $\{xs. xs \in \text{natpermute } (\text{Suc } n) \ (\text{Suc } k) \wedge \text{Suc } n \notin \text{set } xs\}) /$
 $(\text{of-nat } (\text{Suc } k) * (\text{radical } r \ (\text{Suc } k) \ a \ 0) \wedge k)$

<proof>

termination *radical*

<proof>

definition *fps-radical* $r\ n\ a = \text{Abs-fps } (\text{radical } r\ n\ a)$

lemma *fps-radical0[simp]*: $\text{fps-radical } r\ 0\ a = 1$

<proof>

lemma *fps-radical-nth-0[simp]*: $\text{fps-radical } r\ n\ a\ \$\ 0 = (\text{if } n = 0 \text{ then } 1 \text{ else } r\ n\ (a\ \$\ 0))$

<proof>

lemma *fps-radical-power-nth[simp]*:

assumes $r: (r\ k\ (a\ \$\ 0))\ \wedge\ k = a\ \$\ 0$

shows $\text{fps-radical } r\ k\ a\ \wedge\ k\ \$\ 0 = (\text{if } k = 0 \text{ then } 1 \text{ else } a\ \$\ 0)$

<proof>

lemma *natpermute-max-card*:

assumes $n0: n \neq 0$

shows $\text{card } \{xs \in \text{natpermute } n\ (k + 1). n \in \text{set } xs\} = k + 1$

<proof>

lemma *power-radical*:

fixes $a:: 'a::\text{field-char-0}\ \text{fps}$

assumes $a0: a\ \$\ 0 \neq 0$

shows $(r\ (\text{Suc } k)\ (a\ \$\ 0))\ \wedge\ \text{Suc } k = a\ \$\ 0 \iff (\text{fps-radical } r\ (\text{Suc } k)\ a)\ \wedge\ (\text{Suc } k) = a$

(**is** $?lhs \iff ?rhs$)

<proof>

lemma *eq-divide-imp'*:

fixes $c:: 'a::\text{field}$

shows $c \neq 0 \implies a * c = b \implies a = b / c$

<proof>

lemma *radical-unique*:

assumes $r0: (r\ (\text{Suc } k)\ (b\ \$\ 0))\ \wedge\ \text{Suc } k = b\ \$\ 0$

and $a0: r\ (\text{Suc } k)\ (b\ \$\ 0:: 'a::\text{field-char-0}) = a\ \$\ 0$

and $b0: b\ \$\ 0 \neq 0$

shows $a\ \wedge\ (\text{Suc } k) = b \iff a = \text{fps-radical } r\ (\text{Suc } k)\ b$

(**is** $?lhs \iff ?rhs$ **is** $- \iff a = ?r$)

<proof>

lemma *radical-power*:

assumes $r0: r\ (\text{Suc } k)\ ((a\ \$\ 0)\ \wedge\ \text{Suc } k) = a\ \$\ 0$

and $a0: (a\$0 :: 'a::field-char-0) \neq 0$
shows $(fps-radical\ r\ (Suc\ k)\ (a \wedge Suc\ k)) = a$
 $\langle proof \rangle$

lemma *fps-deriv-radical*:

fixes $a :: 'a::field-char-0\ fps$
assumes $r0: (r\ (Suc\ k)\ (a\$0)) \wedge Suc\ k = a\0
and $a0: a\$0 \neq 0$
shows $fps-deriv\ (fps-radical\ r\ (Suc\ k)\ a) =$
 $fps-deriv\ a / (fps-const\ (of-nat\ (Suc\ k)) * (fps-radical\ r\ (Suc\ k)\ a) \wedge k)$
 $\langle proof \rangle$

lemma *radical-mult-distrib*:

fixes $a :: 'a::field-char-0\ fps$
assumes $k: k > 0$
and $ra0: r\ k\ (a\ \$\ 0) \wedge k = a\ \$\ 0$
and $rb0: r\ k\ (b\ \$\ 0) \wedge k = b\ \$\ 0$
and $a0: a\ \$\ 0 \neq 0$
and $b0: b\ \$\ 0 \neq 0$
shows $r\ k\ ((a * b)\ \$\ 0) = r\ k\ (a\ \$\ 0) * r\ k\ (b\ \$\ 0) \longleftrightarrow$
 $fps-radical\ r\ k\ (a * b) = fps-radical\ r\ k\ a * fps-radical\ r\ k\ b$
(is $?lhs \longleftrightarrow ?rhs)$
 $\langle proof \rangle$

lemma *fps-divide-1 [simp]*: $(a :: 'a::field\ fps) / 1 = a$
 $\langle proof \rangle$

lemma *radical-divide*:

fixes $a :: 'a::field-char-0\ fps$
assumes $kp: k > 0$
and $ra0: (r\ k\ (a\ \$\ 0)) \wedge k = a\ \$\ 0$
and $rb0: (r\ k\ (b\ \$\ 0)) \wedge k = b\ \$\ 0$
and $a0: a\$0 \neq 0$
and $b0: b\$0 \neq 0$
shows $r\ k\ ((a\ \$\ 0) / (b\$0)) = r\ k\ (a\$0) / r\ k\ (b\ \$\ 0) \longleftrightarrow$
 $fps-radical\ r\ k\ (a/b) = fps-radical\ r\ k\ a / fps-radical\ r\ k\ b$
(is $?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *radical-inverse*:

fixes $a :: 'a::field-char-0\ fps$
assumes $k: k > 0$
and $ra0: r\ k\ (a\ \$\ 0) \wedge k = a\ \$\ 0$
and $r1: (r\ k\ 1) \wedge k = 1$
and $a0: a\$0 \neq 0$
shows $r\ k\ (inverse\ (a\ \$\ 0)) = r\ k\ 1 / (r\ k\ (a\ \$\ 0)) \longleftrightarrow$
 $fps-radical\ r\ k\ (inverse\ a) = fps-radical\ r\ k\ 1 / fps-radical\ r\ k\ a$

<proof>

43.18 Derivative of composition

lemma *fps-compose-deriv*:

fixes $a :: 'a::idom\ fps$

assumes $b0: b\$0 = 0$

shows $fps\text{-deriv } (a\ oo\ b) = ((fps\text{-deriv } a)\ oo\ b) * fps\text{-deriv } b$

<proof>

lemma *fps-mult-X-plus-1-nth*:

$((1+X)*a)\ \$n = (if\ n = 0\ then\ (a\$n :: 'a::comm-ring-1)\ else\ a\$n + a\$(n - 1))$

<proof>

43.19 Finite FPS (i.e. polynomials) and X

lemma *fps-poly-sum-X*:

assumes $\forall i > n. a\$i = (0 :: 'a::comm-ring-1)$

shows $a = setsum\ (\lambda i. fps\text{-const } (a\$i) * X^i)\ \{0..n\}$ (is $a = ?r$)

<proof>

43.20 Compositional inverses

fun *compinv* :: $'a\ fps \Rightarrow nat \Rightarrow 'a::field$

where

$compinv\ a\ 0 = X\$0$

| $compinv\ a\ (Suc\ n) =$

$(X\$Suc\ n - setsum\ (\lambda i. (compinv\ a\ i) * (a^i)\$Suc\ n)\ \{0..n\}) / (a\$1) ^ Suc$

n

definition *fps-inv* $a = Abs\text{-fps } (compinv\ a)$

lemma *fps-inv*:

assumes $a0: a\$0 = 0$

and $a1: a\$1 \neq 0$

shows $fps\text{-inv } a\ oo\ a = X$

<proof>

fun *gcompinv* :: $'a\ fps \Rightarrow 'a\ fps \Rightarrow nat \Rightarrow 'a::field$

where

$gcompinv\ b\ a\ 0 = b\$0$

| $gcompinv\ b\ a\ (Suc\ n) =$

$(b\$Suc\ n - setsum\ (\lambda i. (gcompinv\ b\ a\ i) * (a^i)\$Suc\ n)\ \{0..n\}) / (a\$1) ^ Suc$

n

definition *fps-ginv* $b\ a = Abs\text{-fps } (gcompinv\ b\ a)$

lemma *fps-ginv*:

assumes $a0: a\$0 = 0$

and $a1: a\$1 \neq 0$
shows $\text{fps-ginv } b \ a \ \text{oo } a = b$
 $\langle \text{proof} \rangle$

lemma $\text{fps-inv-ginv}: \text{fps-inv} = \text{fps-ginv } X$
 $\langle \text{proof} \rangle$

lemma $\text{fps-compose-1}[\text{simp}]: 1 \ \text{oo } a = 1$
 $\langle \text{proof} \rangle$

lemma $\text{fps-compose-0}[\text{simp}]: 0 \ \text{oo } a = 0$
 $\langle \text{proof} \rangle$

lemma $\text{fps-compose-0-right}[\text{simp}]: a \ \text{oo } 0 = \text{fps-const } (a \ \$ \ 0)$
 $\langle \text{proof} \rangle$

lemma $\text{fps-compose-add-distrib}: (a + b) \ \text{oo } c = (a \ \text{oo } c) + (b \ \text{oo } c)$
 $\langle \text{proof} \rangle$

lemma $\text{fps-compose-setsum-distrib}: (\text{setsum } f \ S) \ \text{oo } a = \text{setsum } (\lambda i. f \ i \ \text{oo } a) \ S$
 $\langle \text{proof} \rangle$

lemma convolution-eq :
 $\text{setsum } (\lambda i. a \ (i :: \text{nat}) * b \ (n - i)) \ \{0 .. n\} =$
 $\text{setsum } (\lambda (i,j). a \ i * b \ j) \ \{(i,j). i \leq n \wedge j \leq n \wedge i + j = n\}$
 $\langle \text{proof} \rangle$

lemma $\text{product-composition-lemma}$:
assumes $c0: c\$0 = (0::'a::\text{idom})$
and $d0: d\$0 = 0$
shows $((a \ \text{oo } c) * (b \ \text{oo } d))\$n =$
 $\text{setsum } (\lambda (k,m). a\$k * b\$m * (c^k * d^m) \ \$ \ n) \ \{(k,m). k + m \leq n\}$ (**is** $?l =$
 $?r$)
 $\langle \text{proof} \rangle$

lemma $\text{product-composition-lemma}'$:
assumes $c0: c\$0 = (0::'a::\text{idom})$
and $d0: d\$0 = 0$
shows $((a \ \text{oo } c) * (b \ \text{oo } d))\$n =$
 $\text{setsum } (\lambda k. \text{setsum } (\lambda m. a\$k * b\$m * (c^k * d^m) \ \$ \ n) \ \{0..n\}) \ \{0..n\}$ (**is** $?l =$
 $?r$)
 $\langle \text{proof} \rangle$

lemma $\text{setsum-pair-less-iff}$:
 $\text{setsum } (\lambda ((k::\text{nat}),m). a \ k * b \ m * c \ (k + m)) \ \{(k,m). k + m \leq n\} =$
 $\text{setsum } (\lambda s. \text{setsum } (\lambda i. a \ i * b \ (s - i) * c \ s) \ \{0..s\}) \ \{0..n\}$
(**is** $?l = ?r$)
 $\langle \text{proof} \rangle$

lemma *fps-compose-mult-distrib-lemma*:

assumes $c0: c\$0 = (0::'a::idom)$

shows $((a \text{ oo } c) * (b \text{ oo } c))\$n = \text{setsum } (\lambda s. \text{setsum } (\lambda i. a\$i * b\$(s - i) * (c\hat{s})$
 $\$ n) \{0..s\}) \{0..n\}$
 $\langle \text{proof} \rangle$

lemma *fps-compose-mult-distrib*:

assumes $c0: c \$ 0 = (0::'a::idom)$

shows $(a * b) \text{ oo } c = (a \text{ oo } c) * (b \text{ oo } c)$
 $\langle \text{proof} \rangle$

lemma *fps-compose-setprod-distrib*:

assumes $c0: c\$0 = (0::'a::idom)$

shows $\text{setprod } a \text{ oo } c = \text{setprod } (\lambda k. a \text{ oo } c) S$
 $\langle \text{proof} \rangle$

lemma *fps-compose-power*:

assumes $c0: c\$0 = (0::'a::idom)$

shows $(a \text{ oo } c) ^ n = a ^ n \text{ oo } c$
 $\langle \text{proof} \rangle$

lemma *fps-compose-uminus*: $-(a::'a::ring-1 \text{ fps}) \text{ oo } c = -(a \text{ oo } c)$

$\langle \text{proof} \rangle$

lemma *fps-compose-sub-distrib*: $(a - b) \text{ oo } (c::'a::ring-1 \text{ fps}) = (a \text{ oo } c) - (b \text{ oo } c)$

$\langle \text{proof} \rangle$

lemma *X-fps-compose*: $X \text{ oo } a = \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } (0::'a::comm-ring-1)$
 $\text{else } a\$n)$

$\langle \text{proof} \rangle$

lemma *fps-inverse-compose*:

assumes $b0: (b\$0 :: 'a::field) = 0$

and $a0: a\$0 \neq 0$

shows $\text{inverse } a \text{ oo } b = \text{inverse } (a \text{ oo } b)$
 $\langle \text{proof} \rangle$

lemma *fps-divide-compose*:

assumes $c0: (c\$0 :: 'a::field) = 0$

and $b0: b\$0 \neq 0$

shows $(a/b) \text{ oo } c = (a \text{ oo } c) / (b \text{ oo } c)$
 $\langle \text{proof} \rangle$

lemma *gp*:

assumes $a0: a\$0 = (0::'a::field)$

shows $(\text{Abs-fps } (\lambda n. 1)) \text{ oo } a = 1/(1 - a)$
 $(\text{is } ?one \text{ oo } a = -)$

$\langle proof \rangle$

lemma *fps-const-power* [*simp*]: $fps\text{-const } (c::'a::ring-1) ^ n = fps\text{-const } (c ^ n)$
 $\langle proof \rangle$

lemma *fps-compose-radical*:

assumes $b0: b\$0 = (0::'a::field-char-0)$

and $ra0: r (Suc k) (a\$0) ^ Suc k = a\0

and $a0: a\$0 \neq 0$

shows $fps\text{-radical } r (Suc k) a \text{ oo } b = fps\text{-radical } r (Suc k) (a \text{ oo } b)$

$\langle proof \rangle$

lemma *fps-const-mult-apply-left*: $fps\text{-const } c * (a \text{ oo } b) = (fps\text{-const } c * a) \text{ oo } b$
 $\langle proof \rangle$

lemma *fps-const-mult-apply-right*:

$(a \text{ oo } b) * fps\text{-const } (c::'a::comm-semiring-1) = (fps\text{-const } c * a) \text{ oo } b$

$\langle proof \rangle$

lemma *fps-compose-assoc*:

assumes $c0: c\$0 = (0::'a::idom)$

and $b0: b\$0 = 0$

shows $a \text{ oo } (b \text{ oo } c) = a \text{ oo } b \text{ oo } c$ (**is** $?l = ?r$)

$\langle proof \rangle$

lemma *fps-X-power-compose*:

assumes $a0: a\$0 = 0$

shows $X ^ k \text{ oo } a = (a::'a::idom fps) ^ k$

(**is** $?l = ?r$)

$\langle proof \rangle$

lemma *fps-inv-right*:

assumes $a0: a\$0 = 0$

and $a1: a\$1 \neq 0$

shows $a \text{ oo } fps\text{-inv } a = X$

$\langle proof \rangle$

lemma *fps-inv-deriv*:

assumes $a0: a\$0 = (0::'a::field)$

and $a1: a\$1 \neq 0$

shows $fps\text{-deriv } (fps\text{-inv } a) = inverse (fps\text{-deriv } a \text{ oo } fps\text{-inv } a)$

$\langle proof \rangle$

lemma *fps-inv-idempotent*:

assumes $a0: a\$0 = 0$

and $a1: a\$1 \neq 0$

shows $fps\text{-inv } (fps\text{-inv } a) = a$

$\langle proof \rangle$

lemma *fps-ginv-ginv*:

assumes $a0: a\$0 = 0$

and $a1: a\$1 \neq 0$

and $c0: c\$0 = 0$

and $c1: c\$1 \neq 0$

shows $fps-ginv\ b\ (fps-ginv\ c\ a) = b\ oo\ a\ oo\ fps-inv\ c$

<proof>

lemma *fps-ginv-deriv*:

assumes $a0: a\$0 = (0::'a::field)$

and $a1: a\$1 \neq 0$

shows $fps-deriv\ (fps-ginv\ b\ a) = (fps-deriv\ b\ /\ fps-deriv\ a)\ oo\ fps-ginv\ X\ a$

<proof>

43.21 Elementary series

43.21.1 Exponential series

definition $E\ x = Abs-fps\ (\lambda n. x^n / of-nat\ (fact\ n))$

lemma *E-deriv[simp]*: $fps-deriv\ (E\ a) = fps-const\ (a::'a::field-char-0) * E\ a$ (**is** $?l = ?r$)

<proof>

lemma *E-unique-ODE*:

$fps-deriv\ a = fps-const\ c * a \longleftrightarrow a = fps-const\ (a\$0) * E\ (c::'a::field-char-0)$

(**is** $?lhs \longleftrightarrow ?rhs$)

<proof>

lemma *E-add-mult*: $E\ (a + b) = E\ (a::'a::field-char-0) * E\ b$ (**is** $?l = ?r$)

<proof>

lemma *E-nth[simp]*: $E\ a\ \$\ n = a^n / of-nat\ (fact\ n)$

<proof>

lemma *E0[simp]*: $E\ (0::'a::field) = 1$

<proof>

lemma *E-neg*: $E\ (-\ a) = inverse\ (E\ (a::'a::field-char-0))$

<proof>

lemma *E-nth-deriv[simp]*: $fps-nth-deriv\ n\ (E\ (a::'a::field-char-0)) = (fps-const\ a)^n * (E\ a)$

<proof>

lemma *X-compose-E[simp]*: $X\ oo\ E\ (a::'a::field) = E\ a - 1$

<proof>

lemma *LE-compose*:

assumes $a: a \neq 0$
shows $\text{fps-inv } (E\ a - 1) \text{ oo } (E\ a - 1) = X$
and $(E\ a - 1) \text{ oo } \text{fps-inv } (E\ a - 1) = X$
 $\langle \text{proof} \rangle$

lemma *E-power-mult*: $(E\ (c::'a::\text{field-char-0}))^n = E\ (\text{of-nat } n * c)$
 $\langle \text{proof} \rangle$

lemma *radical-E*:
assumes $r: r\ (\text{Suc } k)\ 1 = 1$
shows $\text{fps-radical } r\ (\text{Suc } k)\ (E\ (c::'a::\text{field-char-0})) = E\ (c / \text{of-nat } (\text{Suc } k))$
 $\langle \text{proof} \rangle$

lemma *Ec-E1-eq*: $E\ (1::'a::\text{field-char-0}) \text{ oo } (\text{fps-const } c * X) = E\ c$
 $\langle \text{proof} \rangle$

43.21.2 Logarithmic series

lemma *Abs-fps-if-0*:
 $\text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } (v::'a::\text{ring-1}) \text{ else } f\ n) =$
 $\text{fps-const } v + X * \text{Abs-fps } (\lambda n. f\ (\text{Suc } n))$
 $\langle \text{proof} \rangle$

definition $L :: 'a::\text{field-char-0} \Rightarrow 'a\ \text{fps}$
where $L\ c = \text{fps-const } (1/c) * \text{Abs-fps } (\lambda n. \text{if } n = 0 \text{ then } 0 \text{ else } (-1) ^ (n - 1) / \text{of-nat } n)$

lemma *fps-deriv-L*: $\text{fps-deriv } (L\ c) = \text{fps-const } (1/c) * \text{inverse } (1 + X)$
 $\langle \text{proof} \rangle$

lemma *L-nth*: $L\ c\ \$\ n = (\text{if } n = 0 \text{ then } 0 \text{ else } 1/c * ((-1) ^ (n - 1) / \text{of-nat } n))$
 $\langle \text{proof} \rangle$

lemma *L-0[simp]*: $L\ c\ \$\ 0 = 0$ $\langle \text{proof} \rangle$

lemma *L-E-inv*:
fixes $a :: 'a::\text{field-char-0}$
assumes $a: a \neq 0$
shows $L\ a = \text{fps-inv } (E\ a - 1)$ (**is** $?l = ?r$)
 $\langle \text{proof} \rangle$

lemma *L-mult-add*:
assumes $c0: c \neq 0$
and $d0: d \neq 0$
shows $L\ c + L\ d = \text{fps-const } (c+d) * L\ (c*d)$
(is $?r = ?l$)
 $\langle \text{proof} \rangle$

43.21.3 Binomial series

definition *fps-binomial* $a = \text{Abs-fps } (\lambda n. a \text{ gchoose } n)$

lemma *fps-binomial-nth[simp]*: *fps-binomial* $a \ \$ \ n = a \ \text{gchoose } n$
 ⟨*proof*⟩

lemma *fps-binomial-ODE-unique*:

fixes $c :: 'a::\text{field-char-0}$

shows $\text{fps-deriv } a = (\text{fps-const } c * a) / (1 + X) \longleftrightarrow a = \text{fps-const } (a \$ 0) * \text{fps-binomial } c$

(**is** $?lhs \longleftrightarrow ?rhs$)

⟨*proof*⟩

lemma *fps-binomial-deriv*: $\text{fps-deriv } (\text{fps-binomial } c) = \text{fps-const } c * \text{fps-binomial } c / (1 + X)$

⟨*proof*⟩

lemma *fps-binomial-add-mult*: $\text{fps-binomial } (c+d) = \text{fps-binomial } c * \text{fps-binomial } d$ (**is** $?l = ?r$)

⟨*proof*⟩

lemma *fps-binomial-minus-one*: $\text{fps-binomial } (- 1) = \text{inverse } (1 + X)$

(**is** $?l = \text{inverse } ?r$)

⟨*proof*⟩

Vandermonde’s Identity as a consequence.

lemma *gbinomial-Vandermonde*:

$\text{setsum } (\lambda k. (a \ \text{gchoose } k) * (b \ \text{gchoose } (n - k))) \{0..n\} = (a + b) \ \text{gchoose } n$

⟨*proof*⟩

lemma *binomial-Vandermonde*:

$\text{setsum } (\lambda k. (a \ \text{choose } k) * (b \ \text{choose } (n - k))) \{0..n\} = (a + b) \ \text{choose } n$

⟨*proof*⟩

lemma *binomial-Vandermonde-same*: $\text{setsum } (\lambda k. (n \ \text{choose } k)^2) \{0..n\} = (2 * n) \ \text{choose } n$

⟨*proof*⟩

lemma *Vandermonde-pochhammer-lemma*:

fixes $a :: 'a::\text{field-char-0}$

assumes $b: \forall j \in \{0 ..<n\}. b \neq \text{of-nat } j$

shows $\text{setsum } (\lambda k. (\text{pochhammer } (- a) k * \text{pochhammer } (- (\text{of-nat } n)) k) / (\text{of-nat } (\text{fact } k) * \text{pochhammer } (b - \text{of-nat } n + 1) k)) \{0..n\} =$

$\text{pochhammer } (- (a + b)) n / \text{pochhammer } (- b) n$

(**is** $?l = ?r$)

⟨*proof*⟩

lemma *Vandermonde-pochhammer*:

fixes $a :: 'a::\text{field-char-0}$

assumes $c: \forall i \in \{0..< n\}. c \neq - \text{of-nat } i$
shows $\text{setsum } (\lambda k. (\text{pochhammer } a \ k * \text{pochhammer } (- \text{of-nat } n)) \ k) /$
 $(\text{of-nat } (\text{fact } k) * \text{pochhammer } c \ k) \ \{0..n\} = \text{pochhammer } (c - a) \ n / \text{pochhammer } c \ n$
 $\langle \text{proof} \rangle$

43.21.4 Formal trigonometric functions

definition $\text{fps-sin } (c::'a::\text{field-char-0}) =$
 $\text{Abs-fps } (\lambda n. \text{if even } n \text{ then } 0 \text{ else } (- 1) ^ ((n - 1) \text{ div } 2) * c ^ n / (\text{of-nat } (\text{fact } n)))$

definition $\text{fps-cos } (c::'a::\text{field-char-0}) =$
 $\text{Abs-fps } (\lambda n. \text{if even } n \text{ then } (- 1) ^ (n \text{ div } 2) * c ^ n / (\text{of-nat } (\text{fact } n)) \text{ else } 0)$

lemma fps-sin-deriv :
 $\text{fps-deriv } (\text{fps-sin } c) = \text{fps-const } c * \text{fps-cos } c$
 $(\text{is ?lhs} = \text{?rhs})$
 $\langle \text{proof} \rangle$

lemma fps-cos-deriv : $\text{fps-deriv } (\text{fps-cos } c) = \text{fps-const } (- c) * (\text{fps-sin } c)$
 $(\text{is ?lhs} = \text{?rhs})$
 $\langle \text{proof} \rangle$

lemma $\text{fps-sin-cos-sum-of-squares}$: $(\text{fps-cos } c)^2 + (\text{fps-sin } c)^2 = 1$
 $(\text{is ?lhs} = -)$
 $\langle \text{proof} \rangle$

lemma fps-sin-nth-0 [simp]: $\text{fps-sin } c \ \$ \ 0 = 0$
 $\langle \text{proof} \rangle$

lemma fps-sin-nth-1 [simp]: $\text{fps-sin } c \ \$ \ 1 = c$
 $\langle \text{proof} \rangle$

lemma fps-sin-nth-add-2 :
 $\text{fps-sin } c \ \$ \ (n + 2) = - (c * c * \text{fps-sin } c \ \$ \ n / (\text{of-nat } (n + 1) * \text{of-nat } (n + 2)))$
 $\langle \text{proof} \rangle$

lemma fps-cos-nth-0 [simp]: $\text{fps-cos } c \ \$ \ 0 = 1$
 $\langle \text{proof} \rangle$

lemma fps-cos-nth-1 [simp]: $\text{fps-cos } c \ \$ \ 1 = 0$
 $\langle \text{proof} \rangle$

lemma fps-cos-nth-add-2 :
 $\text{fps-cos } c \ \$ \ (n + 2) = - (c * c * \text{fps-cos } c \ \$ \ n / (\text{of-nat } (n + 1) * \text{of-nat } (n + 2)))$
 $\langle \text{proof} \rangle$

lemma *nat-induct2*: $P\ 0 \implies P\ 1 \implies (\bigwedge n. P\ n \implies P\ (n + 2)) \implies P\ (n::nat)$
 ⟨proof⟩

lemma *nat-add-1-add-1*: $(n::nat) + 1 + 1 = n + 2$
 ⟨proof⟩

lemma *eq-fps-sin*:
 assumes $0: a\ \$\ 0 = 0$
 and $1: a\ \$\ 1 = c$
 and $2: \text{fps-deriv}\ (\text{fps-deriv}\ a) = - (\text{fps-const}\ c * \text{fps-const}\ c * a)$
 shows $a = \text{fps-sin}\ c$
 ⟨proof⟩

lemma *eq-fps-cos*:
 assumes $0: a\ \$\ 0 = 1$
 and $1: a\ \$\ 1 = 0$
 and $2: \text{fps-deriv}\ (\text{fps-deriv}\ a) = - (\text{fps-const}\ c * \text{fps-const}\ c * a)$
 shows $a = \text{fps-cos}\ c$
 ⟨proof⟩

lemma *mult-nth-0* [*simp*]: $(a * b)\ \$\ 0 = a\ \$\ 0 * b\ \$\ 0$
 ⟨proof⟩

lemma *mult-nth-1* [*simp*]: $(a * b)\ \$\ 1 = a\ \$\ 0 * b\ \$\ 1 + a\ \$\ 1 * b\ \$\ 0$
 ⟨proof⟩

lemma *fps-sin-add*: $\text{fps-sin}\ (a + b) = \text{fps-sin}\ a * \text{fps-cos}\ b + \text{fps-cos}\ a * \text{fps-sin}\ b$
 ⟨proof⟩

lemma *fps-cos-add*: $\text{fps-cos}\ (a + b) = \text{fps-cos}\ a * \text{fps-cos}\ b - \text{fps-sin}\ a * \text{fps-sin}\ b$
 ⟨proof⟩

lemma *fps-sin-even*: $\text{fps-sin}\ (-\ c) = -\ \text{fps-sin}\ c$
 ⟨proof⟩

lemma *fps-cos-odd*: $\text{fps-cos}\ (-\ c) = \text{fps-cos}\ c$
 ⟨proof⟩

definition *fps-tan* $c = \text{fps-sin}\ c / \text{fps-cos}\ c$

lemma *fps-tan-deriv*: $\text{fps-deriv}\ (\text{fps-tan}\ c) = \text{fps-const}\ c / (\text{fps-cos}\ c)^2$
 ⟨proof⟩

Connection to $E\ c$ over the complex numbers — Euler and de Moivre.

lemma *Eii-sin-cos*: $E\ (ii * c) = \text{fps-cos}\ c + \text{fps-const}\ ii * \text{fps-sin}\ c$
 (is $?l = ?r$)
 ⟨proof⟩

lemma *E-minus-ii-sin-cos*: $E(- (ii * c)) = \text{fps-cos } c - \text{fps-const } ii * \text{fps-sin } c$
 ⟨proof⟩

lemma *fps-const-minus*: $\text{fps-const } (c :: 'a :: \text{group-add}) - \text{fps-const } d = \text{fps-const } (c - d)$
 ⟨proof⟩

lemma *fps-numeral-fps-const*: $\text{numeral } i = \text{fps-const } (\text{numeral } i :: 'a :: \text{comm-ring-1})$
 ⟨proof⟩

lemma *fps-cos-Eii*: $\text{fps-cos } c = (E(ii * c) + E(- ii * c)) / \text{fps-const } 2$
 ⟨proof⟩

lemma *fps-sin-Eii*: $\text{fps-sin } c = (E(ii * c) - E(- ii * c)) / \text{fps-const } (2 * ii)$
 ⟨proof⟩

lemma *fps-tan-Eii*:
 $\text{fps-tan } c = (E(ii * c) - E(- ii * c)) / (\text{fps-const } ii * (E(ii * c) + E(- ii * c)))$
 ⟨proof⟩

lemma *fps-demoivre*:
 $(\text{fps-cos } a + \text{fps-const } ii * \text{fps-sin } a) ^ n = \text{fps-cos } (\text{of-nat } n * a) + \text{fps-const } ii * \text{fps-sin } (\text{of-nat } n * a)$
 ⟨proof⟩

43.22 Hypergeometric series

definition *F as bs* ($c :: 'a :: \{\text{field-char-0, field}\}$) =
 $\text{Abs-fps } (\lambda n. (\text{foldl } (\lambda r a. r * \text{pochhammer } a n) 1 \text{ as } * c ^ n) / (\text{foldl } (\lambda r b. r * \text{pochhammer } b n) 1 \text{ bs } * \text{of-nat } (\text{fact } n)))$

lemma *F-nth[simp]*: $F \text{ as } bs \ c \ \$ \ n = (\text{foldl } (\lambda r a. r * \text{pochhammer } a n) 1 \text{ as } * c ^ n) / (\text{foldl } (\lambda r b. r * \text{pochhammer } b n) 1 \text{ bs } * \text{of-nat } (\text{fact } n))$
 ⟨proof⟩

lemma *foldl-mult-start*:
fixes $v :: 'a :: \text{comm-ring-1}$
shows $\text{foldl } (\lambda r x. r * f x) v \text{ as } * x = \text{foldl } (\lambda r x. r * f x) (v * x) \text{ as}$
 ⟨proof⟩

lemma *foldr-mult-foldl*:
fixes $v :: 'a :: \text{comm-ring-1}$
shows $\text{foldr } (\lambda x r. r * f x) \text{ as } v = \text{foldl } (\lambda r x. r * f x) v \text{ as}$
 ⟨proof⟩

lemma *F-nth-alt*:
 $F \text{ as } bs \ c \ \$ \ n = \text{foldr } (\lambda a r. r * \text{pochhammer } a n) \text{ as } (c ^ n) /$

foldr ($\lambda b r. r * pochhammer\ b\ n$) *bs* (*of-nat* (*fact* *n*))
 ⟨*proof*⟩

lemma *F-E[simp]*: $F\ []\ []\ c = E\ c$
 ⟨*proof*⟩

lemma *F-1-0[simp]*: $F\ [1]\ []\ c = 1 / (1 - fps-const\ c * X)$
 ⟨*proof*⟩

lemma *F-B[simp]*: $F\ [-a]\ []\ (-\ 1) = fps-binomial\ a$
 ⟨*proof*⟩

lemma *F-0[simp]*: $F\ as\ bs\ c\ \$\ 0 = 1$
 ⟨*proof*⟩

lemma *foldl-prod-prod*:
 $foldl\ (\lambda(r::'b::comm-ring-1)\ (x::'a::comm-ring-1). r * f\ x)\ v\ as * foldl\ (\lambda r\ x. r * g\ x)\ w\ as =$
 $foldl\ (\lambda r\ x. r * f\ x * g\ x)\ (v * w)\ as$
 ⟨*proof*⟩

lemma *F-rec*:
 $F\ as\ bs\ c\ \$\ Suc\ n = ((foldl\ (\lambda r\ a. r * (a + of-nat\ n))\ c\ as) /$
 $(foldl\ (\lambda r\ b. r * (b + of-nat\ n))\ (of-nat\ (Suc\ n))\ bs)) * F\ as\ bs\ c\ \$\ n$
 ⟨*proof*⟩

lemma *XD-nth[simp]*: $XD\ a\ \$\ n = (if\ n = 0\ then\ 0\ else\ of-nat\ n * a\$n)$
 ⟨*proof*⟩

lemma *XD-0th[simp]*: $XD\ a\ \$\ 0 = 0$
 ⟨*proof*⟩

lemma *XD-Suc[simp]*: $XD\ a\ \$\ Suc\ n = of-nat\ (Suc\ n) * a\ \$\ Suc\ n$
 ⟨*proof*⟩

definition $XDp\ c\ a = XD\ a + fps-const\ c * a$

lemma *XDp-nth[simp]*: $XDp\ c\ a\ \$\ n = (c + of-nat\ n) * a\n
 ⟨*proof*⟩

lemma *XDp-commute*: $XDp\ b\ o\ XDp\ (c::'a::comm-ring-1) = XDp\ c\ o\ XDp\ b$
 ⟨*proof*⟩

lemma *XDp0 [simp]*: $XDp\ 0 = XD$
 ⟨*proof*⟩

lemma *XDp-fps-integral [simp]*: $XDp\ 0\ (fps-integral\ a\ c) = X * a$
 ⟨*proof*⟩

lemma *F-minus-nat*:

$F [- \text{of-nat } n] [- \text{of-nat } (n + m)] (c::'a::\{\text{field-char-0,field}\}) \$ k =$
 (if $k \leq n$ then
 $\text{pochhammer } (- \text{of-nat } n) k * c ^ k / (\text{pochhammer } (- \text{of-nat } (n + m)) k * \text{of-nat } (\text{fact } k))$
 else 0)
 $F [- \text{of-nat } m] [- \text{of-nat } (m + n)] (c::'a::\{\text{field-char-0,field}\}) \$ k =$
 (if $k \leq m$ then
 $\text{pochhammer } (- \text{of-nat } m) k * c ^ k / (\text{pochhammer } (- \text{of-nat } (m + n)) k * \text{of-nat } (\text{fact } k))$
 else 0)
 ⟨proof⟩

lemma *setsum-eq-if*: $\text{setsum } f \{(n::\text{nat}) .. m\} = (\text{if } m < n \text{ then } 0 \text{ else } f \ n + \text{setsum } f \{n+1 .. m\})$
 ⟨proof⟩

lemma *pochhammer-rec-if*: $\text{pochhammer } a \ n = (\text{if } n = 0 \text{ then } 1 \text{ else } a * \text{pochhammer } (a + 1) (n - 1))$
 ⟨proof⟩

lemma *XDp-foldr-nth [simp]*: $\text{foldr } (\lambda c \ r. \text{XDp } c \circ r) \ cs \ (\lambda c. \text{XDp } c \ a) \ c0 \ \$ \ n = \text{foldr } (\lambda c \ r. (c + \text{of-nat } n) * r) \ cs \ (c0 + \text{of-nat } n) * a \$ n$
 ⟨proof⟩

lemma *genric-XDp-foldr-nth*:

assumes $f: \forall n \ c \ a. f \ c \ a \ \$ \ n = (\text{of-nat } n + k \ c) * a \$ n$
shows $\text{foldr } (\lambda c \ r. f \ c \circ r) \ cs \ (\lambda c. g \ c \ a) \ c0 \ \$ \ n = \text{foldr } (\lambda c \ r. (k \ c + \text{of-nat } n) * r) \ cs \ (g \ c0 \ a \ \$ \ n)$
 ⟨proof⟩

lemma *dist-less-imp-nth-equal*:

assumes $\text{dist } f \ g < \text{inverse } (2 ^ i)$
and $j \leq i$
shows $f \ \$ \ j = g \ \$ \ j$
 ⟨proof⟩

lemma *nth-equal-imp-dist-less*:

assumes $\bigwedge j. j \leq i \implies f \ \$ \ j = g \ \$ \ j$
shows $\text{dist } f \ g < \text{inverse } (2 ^ i)$
 ⟨proof⟩

lemma *dist-less-eq-nth-equal*: $\text{dist } f \ g < \text{inverse } (2 ^ i) \iff (\forall j \leq i. f \ \$ \ j = g \ \$ \ j)$
 ⟨proof⟩

instance *fps* :: (comm-ring-1) complete-space

⟨proof⟩

end

44 A formalization of the fraction field of any integral domain; generalization of theory Rat from int to any integral domain

theory *Fraction-Field*
 imports *Main*
 begin

44.1 General fractions construction

44.1.1 Construction of the type of fractions

context *idom* begin

definition *fractrel* :: 'a × 'a ⇒ 'a * 'a ⇒ bool **where**
fractrel = (λx y. snd x ≠ 0 ∧ snd y ≠ 0 ∧ fst x * snd y = fst y * snd x)

lemma *fractrel-iff* [*simp*]:
fractrel x y ↔ snd x ≠ 0 ∧ snd y ≠ 0 ∧ fst x * snd y = fst y * snd x
 ⟨*proof*⟩

lemma *symp-fractrel*: *symp* *fractrel*
 ⟨*proof*⟩

lemma *transp-fractrel*: *transp* *fractrel*
 ⟨*proof*⟩

lemma *part-equivp-fractrel*: *part-equivp* *fractrel*
 ⟨*proof*⟩

end

quotient-type (overloaded) 'a *fract* = 'a :: *idom* × 'a / *partial*: *fractrel*
 ⟨*proof*⟩

44.1.2 Representation and basic operations

lift-definition *Fract* :: 'a :: *idom* ⇒ 'a ⇒ 'a *fract*
 is λa b. if b = 0 then (0, 1) else (a, b)
 ⟨*proof*⟩

lemma *Fract-cases* [*cases type: fract*]:
 obtains (*Fract*) a b **where** q = *Fract* a b b ≠ 0
 ⟨*proof*⟩

lemma *Fract-induct* [*case-names Fract, induct type: fract*]:

$(\bigwedge a b. b \neq 0 \implies P (\text{Fract } a b)) \implies P q$
 $\langle \text{proof} \rangle$

lemma *eq-fract*:

shows $\bigwedge a b c d. b \neq 0 \implies d \neq 0 \implies \text{Fract } a b = \text{Fract } c d \iff a * d = c * b$
and $\bigwedge a. \text{Fract } a 0 = \text{Fract } 0 1$
and $\bigwedge a c. \text{Fract } 0 a = \text{Fract } 0 c$

$\langle \text{proof} \rangle$

instantiation *fract* :: (*idom*) {*comm-ring-1*,*power*}
begin

lift-definition *zero-fract* :: 'a *fract* is (0, 1) $\langle \text{proof} \rangle$

lemma *Zero-fract-def*: $0 = \text{Fract } 0 1$
 $\langle \text{proof} \rangle$

lift-definition *one-fract* :: 'a *fract* is (1, 1) $\langle \text{proof} \rangle$

lemma *One-fract-def*: $1 = \text{Fract } 1 1$
 $\langle \text{proof} \rangle$

lift-definition *plus-fract* :: 'a *fract* \Rightarrow 'a *fract* \Rightarrow 'a *fract*
is $\lambda q r. (\text{fst } q * \text{snd } r + \text{fst } r * \text{snd } q, \text{snd } q * \text{snd } r)$
 $\langle \text{proof} \rangle$

lemma *add-fract* [*simp*]:
 $\llbracket b \neq 0; d \neq 0 \rrbracket \implies \text{Fract } a b + \text{Fract } c d = \text{Fract } (a * d + c * b) (b * d)$
 $\langle \text{proof} \rangle$

lift-definition *uminus-fract* :: 'a *fract* \Rightarrow 'a *fract*
is $\lambda x. (- \text{fst } x, \text{snd } x)$
 $\langle \text{proof} \rangle$

lemma *minus-fract* [*simp*]:
fixes $a b :: 'a :: \text{idom}$
shows $-\text{Fract } a b = \text{Fract } (- a) b$
 $\langle \text{proof} \rangle$

lemma *minus-fract-cancel* [*simp*]: $\text{Fract } (- a) (- b) = \text{Fract } a b$
 $\langle \text{proof} \rangle$

definition *diff-fract-def*: $q - r = q + - (r :: 'a \text{ fract})$

lemma *diff-fract* [*simp*]:
 $\llbracket b \neq 0; d \neq 0 \rrbracket \implies \text{Fract } a b - \text{Fract } c d = \text{Fract } (a * d - c * b) (b * d)$
 $\langle \text{proof} \rangle$

lift-definition *times-fract* :: 'a *fract* \Rightarrow 'a *fract* \Rightarrow 'a *fract*

is $\lambda q r. (fst\ q * fst\ r, snd\ q * snd\ r)$
 $\langle proof \rangle$

lemma *mult-fract [simp]*: $Fract\ (a::'a::idom)\ b * Fract\ c\ d = Fract\ (a * c)\ (b * d)$
 $\langle proof \rangle$

lemma *mult-fract-cancel*:
 $c \neq 0 \implies Fract\ (c * a)\ (c * b) = Fract\ a\ b$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

lemma *of-nat-fract*: $of\text{-}nat\ k = Fract\ (of\text{-}nat\ k)\ 1$
 $\langle proof \rangle$

lemma *Fract-of-nat-eq*: $Fract\ (of\text{-}nat\ k)\ 1 = of\text{-}nat\ k$
 $\langle proof \rangle$

lemma *fract-collapse*:
 $Fract\ 0\ k = 0$
 $Fract\ 1\ 1 = 1$
 $Fract\ k\ 0 = 0$
 $\langle proof \rangle$

lemma *fract-expand*:
 $0 = Fract\ 0\ 1$
 $1 = Fract\ 1\ 1$
 $\langle proof \rangle$

lemma *Fract-cases-nonzero*:
obtains $(Fract)\ a\ b$ **where** $q = Fract\ a\ b$ **and** $b \neq 0$ **and** $a \neq 0$
 $| (0)\ q = 0$
 $\langle proof \rangle$

44.1.3 The field of rational numbers

context *idom*
begin

subclass *ring-no-zero-divisors* $\langle proof \rangle$

end

instantiation *fract* :: $(idom)\ field$
begin

lift-definition *inverse-fract* :: 'a fract \Rightarrow 'a fract
 is λx . if *fst* $x = 0$ then $(0, 1)$ else $(\text{snd } x, \text{fst } x)$
 <proof>

lemma *inverse-fract [simp]*: $\text{inverse } (\text{Fract } a \ b) = \text{Fract } (b::'a::\text{idom}) \ a$
 <proof>

definition *divide-fract-def*: $q \ \text{div} \ r = q * \text{inverse } (r::'a \ \text{fract})$

lemma *divide-fract [simp]*: $\text{Fract } a \ b \ \text{div} \ \text{Fract } c \ d = \text{Fract } (a * d) \ (b * c)$
 <proof>

instance
 <proof>

end

44.1.4 The ordered field of fractions over an ordered idom

instantiation *fract* :: (*linordered-idom*) *linorder*
begin

lemma *less-eq-fract-respect*:
 fixes $a \ b \ a' \ b' \ c \ d \ c' \ d' :: 'a$
 assumes *neg*: $b \neq 0 \ b' \neq 0 \ d \neq 0 \ d' \neq 0$
 assumes *eq1*: $a * b' = a' * b$
 assumes *eq2*: $c * d' = c' * d$
 shows $((a * d) * (b * d) \leq (c * b) * (b * d)) \longleftrightarrow ((a' * d') * (b' * d') \leq (c' * b') * (b' * d'))$
 <proof>

lift-definition *less-eq-fract* :: 'a fract \Rightarrow 'a fract \Rightarrow bool
 is $\lambda q \ r$. $(\text{fst } q * \text{snd } r) * (\text{snd } q * \text{snd } r) \leq (\text{fst } r * \text{snd } q) * (\text{snd } q * \text{snd } r)$
 <proof>

definition *less-fract-def*: $z < (w::'a \ \text{fract}) \longleftrightarrow z \leq w \wedge \neg w \leq z$

lemma *le-fract [simp]*:
 $\llbracket b \neq 0; d \neq 0 \rrbracket \Longrightarrow \text{Fract } a \ b \leq \text{Fract } c \ d \longleftrightarrow (a * d) * (b * d) \leq (c * b) * (b * d)$
 <proof>

lemma *less-fract [simp]*:
 $\llbracket b \neq 0; d \neq 0 \rrbracket \Longrightarrow \text{Fract } a \ b < \text{Fract } c \ d \longleftrightarrow (a * d) * (b * d) < (c * b) * (b * d)$
 <proof>

instance

<proof>

end

instantiation *fract* :: (*linordered-idom*) {*distrib-lattice,abs-if,sgn-if*}
begin

definition *abs-fract-def2*: $|q| = (\text{if } q < 0 \text{ then } -q \text{ else } (q::'a \text{ fract}))$

definition *sgn-fract-def*:

$\text{sgn } (q::'a \text{ fract}) = (\text{if } q = 0 \text{ then } 0 \text{ else if } 0 < q \text{ then } 1 \text{ else } -1)$

theorem *abs-fract [simp]*: $|\text{Fract } a \ b| = \text{Fract } |a| \ |b|$
<proof>

definition *inf-fract-def*:

$(\text{inf} :: 'a \text{ fract} \Rightarrow 'a \text{ fract} \Rightarrow 'a \text{ fract}) = \text{min}$

definition *sup-fract-def*:

$(\text{sup} :: 'a \text{ fract} \Rightarrow 'a \text{ fract} \Rightarrow 'a \text{ fract}) = \text{max}$

instance

<proof>

end

instance *fract* :: (*linordered-idom*) *linordered-field*
<proof>

lemma *fract-induct-pos [case-names Fract]*:

fixes $P :: 'a::\text{linordered-idom } \text{fract} \Rightarrow \text{bool}$

assumes $\text{step}: \bigwedge a \ b. 0 < b \implies P (\text{Fract } a \ b)$

shows $P \ q$

<proof>

lemma *zero-less-Fract-iff*: $0 < b \implies 0 < \text{Fract } a \ b \longleftrightarrow 0 < a$
<proof>

lemma *Fract-less-zero-iff*: $0 < b \implies \text{Fract } a \ b < 0 \longleftrightarrow a < 0$
<proof>

lemma *zero-le-Fract-iff*: $0 < b \implies 0 \leq \text{Fract } a \ b \longleftrightarrow 0 \leq a$
<proof>

lemma *Fract-le-zero-iff*: $0 < b \implies \text{Fract } a \ b \leq 0 \longleftrightarrow a \leq 0$
<proof>

lemma *one-less-Fract-iff*: $0 < b \implies 1 < \text{Fract } a \ b \longleftrightarrow b < a$
<proof>

lemma *Fract-less-one-iff*: $0 < b \implies \text{Fract } a \ b < 1 \longleftrightarrow a < b$
 ⟨proof⟩

lemma *one-le-Fract-iff*: $0 < b \implies 1 \leq \text{Fract } a \ b \longleftrightarrow b \leq a$
 ⟨proof⟩

lemma *Fract-le-one-iff*: $0 < b \implies \text{Fract } a \ b \leq 1 \longleftrightarrow a \leq b$
 ⟨proof⟩

end

45 Type of finite sets defined as a subtype of sets

theory *FSet*
imports *Conditionally-Complete-Lattices*
begin

45.1 Definition of the type

typedef *'a fset* = $\{A :: 'a \text{ set. finite } A\}$ **morphisms** *fset Abs-fset*
 ⟨proof⟩

setup-lifting *type-definition-fset*

45.2 Basic operations and type class instantiations

instantiation *fset* :: $(\text{finite}) \text{ finite}$
begin
instance ⟨proof⟩
end

instantiation *fset* :: $(\text{type}) \{ \text{bounded-lattice-bot, distrib-lattice, minus} \}$
begin

interpretation *lifting-syntax* ⟨proof⟩

lift-definition *bot-fset* :: *'a fset* **is** $\{\}$ **parametric** *empty-transfer* ⟨proof⟩

lift-definition *less-eq-fset* :: *'a fset* \Rightarrow *'a fset* \Rightarrow *bool* **is** *subset-eq* **parametric**
subset-transfer
 ⟨proof⟩

definition *less-fset* :: *'a fset* \Rightarrow *'a fset* \Rightarrow *bool* **where** $xs < ys \equiv xs \leq ys \wedge xs \neq$
 $(ys :: 'a \text{ fset})$

lemma *less-fset-transfer*[*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique A*
shows $((\text{pcr-fset } A) \implies (\text{pcr-fset } A) \implies \text{op } =) \text{ op } \subset \text{op } <$

<proof>

lift-definition *sup-fset* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset **is union parametric union-transfer**
<proof>

lift-definition *inf-fset* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset **is inter parametric inter-transfer**
<proof>

lift-definition *minus-fset* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset **is minus parametric Diff-transfer**
<proof>

instance
<proof>

end

abbreviation *fempty* :: 'a fset ($\{\{\}\}$) **where** $\{\{\}\} \equiv \text{bot}$

abbreviation *fsubset-eq* :: 'a fset \Rightarrow 'a fset \Rightarrow bool (**infix** $|\subseteq|$ 50) **where** $xs |\subseteq| ys \equiv xs \leq ys$

abbreviation *fsubset* :: 'a fset \Rightarrow 'a fset \Rightarrow bool (**infix** $|\subset|$ 50) **where** $xs |\subset| ys \equiv xs < ys$

abbreviation *funion* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset (**infixl** $|\cup|$ 65) **where** $xs |\cup| ys \equiv \text{sup } xs \text{ } ys$

abbreviation *finter* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset (**infixl** $|\cap|$ 65) **where** $xs |\cap| ys \equiv \text{inf } xs \text{ } ys$

abbreviation *fminus* :: 'a fset \Rightarrow 'a fset \Rightarrow 'a fset (**infixl** $|-|$ 65) **where** $xs |-| ys \equiv \text{minus } xs \text{ } ys$

instantiation *fset* :: (equal) equal

begin

definition *HOL.equal* $A \ B \longleftrightarrow A |\subseteq| B \wedge B |\subseteq| A$

instance *<proof>*

end

instantiation *fset* :: (type) conditionally-complete-lattice

begin

interpretation *lifting-syntax* *<proof>*

lemma *right-total-Inf-fset-transfer*:

assumes [*transfer-rule*]: bi-unique A **and** [*transfer-rule*]: right-total A

shows (*rel-set* (*rel-set* A)) \implies *rel-set* A

($\lambda S.$ if finite ($\bigcap S \cap \text{Collect } (\text{Domainp } A)$) then $\bigcap S \cap \text{Collect } (\text{Domainp } A)$ else $\{\}$)

($\lambda S.$ if finite (*Inf* S) then *Inf* S else $\{\}$)

<proof>

lemma *Inf-fset-transfer*:

assumes [*transfer-rule*]: *bi-unique A* **and** [*transfer-rule*]: *bi-total A*
shows (*rel-set (rel-set A) ===> rel-set A*) ($\lambda A.$ *if finite (Inf A) then Inf A else {}*)
 ($\lambda A.$ *if finite (Inf A) then Inf A else {}*)
<proof>

lift-definition *Inf-fset* :: *'a fset set* \Rightarrow *'a fset* **is** $\lambda A.$ *if finite (Inf A) then Inf A else {}*

parametric *right-total-Inf-fset-transfer* *Inf-fset-transfer* *<proof>*

lemma *Sup-fset-transfer*:

assumes [*transfer-rule*]: *bi-unique A*
shows (*rel-set (rel-set A) ===> rel-set A*) ($\lambda A.$ *if finite (Sup A) then Sup A else {}*)
 ($\lambda A.$ *if finite (Sup A) then Sup A else {}*) *<proof>*

lift-definition *Sup-fset* :: *'a fset set* \Rightarrow *'a fset* **is** $\lambda A.$ *if finite (Sup A) then Sup A else {}*

parametric *Sup-fset-transfer* *<proof>*

lemma *finite-Sup*: $\exists z.$ *finite z* \wedge ($\forall a. a \in X \longrightarrow a \leq z$) \implies *finite (Sup X)*
<proof>

lemma *transfer-bdd-below*[*transfer-rule*]: (*rel-set (pcr-fset op =)* \implies *op =*)
bdd-below bdd-below
<proof>

instance

<proof>

end

instantiation *fset* :: (*finite*) *complete-lattice*

begin

lift-definition *top-fset* :: *'a fset* **is** *UNIV* **parametric** *right-total-UNIV-transfer*
UNIV-transfer

<proof>

instance

<proof>

end

instantiation *fset* :: (*finite*) *complete-boolean-algebra*

begin

lift-definition *uminus-fset* :: *'a fset* \Rightarrow *'a fset* **is** *uminus*

parametric *right-total-Compl-transfer* *Compl-transfer* *<proof>*

instance

⟨*proof*⟩

end

abbreviation *fUNIV* :: 'a::finite fset **where** *fUNIV* ≡ *top*

abbreviation *fminus* :: 'a::finite fset ⇒ 'a fset (|-| - [81] 80) **where** |-| *x* ≡ *uminus x*

declare *top-fset.rep-eq*[*simp*]

45.3 Other operations

lift-definition *finsert* :: 'a ⇒ 'a fset ⇒ 'a fset **is** *insert* **parametric** *Lifting-Set.insert-transfer*
⟨*proof*⟩

syntax

-insert-fset :: *args* => 'a fset ({|(-)|})

translations

{|*x*, *xs*|} == *CONST finsert x* {|*xs*|}

{|*x*|} == *CONST finsert x* {|}|

lift-definition *fmember* :: 'a ⇒ 'a fset ⇒ *bool* (**infix** |∈| 50) **is** *Set.member*
parametric *member-transfer* ⟨*proof*⟩

abbreviation *notin-fset* :: 'a ⇒ 'a fset ⇒ *bool* (**infix** |∉| 50) **where** *x* |∉| *S* ≡
¬ (*x* |∈| *S*)

context

begin

interpretation *lifting-syntax* ⟨*proof*⟩

lift-definition *ffilter* :: ('a ⇒ *bool*) ⇒ 'a fset ⇒ 'a fset **is** *Set.filter*
parametric *Lifting-Set.filter-transfer* ⟨*proof*⟩

lift-definition *fPow* :: 'a fset ⇒ 'a fset fset **is** *Pow* **parametric** *Pow-transfer*
⟨*proof*⟩

lift-definition *fcard* :: 'a fset ⇒ *nat* **is** *card* **parametric** *card-transfer* ⟨*proof*⟩

lift-definition *fimage* :: ('a ⇒ 'b) ⇒ 'a fset ⇒ 'b fset (**infixr** |'| 90) **is** *image*
parametric *image-transfer* ⟨*proof*⟩

lift-definition *fthe-elem* :: 'a fset ⇒ 'a **is** *the-elem* ⟨*proof*⟩

lift-definition *fbind* :: 'a fset ⇒ ('a ⇒ 'b fset) ⇒ 'b fset **is** *Set.bind* **parametric**

bind-transfer
 ⟨*proof*⟩

lift-definition *ffUnion* :: 'a fset fset ⇒ 'a fset **is Union** **parametric** *Union-transfer*
 ⟨*proof*⟩

lift-definition *fBall* :: 'a fset ⇒ ('a ⇒ bool) ⇒ bool **is Ball** **parametric** *Ball-transfer*
 ⟨*proof*⟩

lift-definition *fBex* :: 'a fset ⇒ ('a ⇒ bool) ⇒ bool **is Bex** **parametric** *Bex-transfer*
 ⟨*proof*⟩

lift-definition *ffold* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a fset ⇒ 'b **is Finite-Set.fold**
 ⟨*proof*⟩

45.4 Transferred lemmas from Set.thy

lemmas *fset-eqI* = *set-eqI*[*Transfer.transferred*]
lemmas *fset-eq-iff*[*no-atp*] = *set-eq-iff*[*Transfer.transferred*]
lemmas *fBallI*[*intro!*] = *ballI*[*Transfer.transferred*]
lemmas *fbspec*[*dest?*] = *bspec*[*Transfer.transferred*]
lemmas *fBallE*[*elim*] = *ballE*[*Transfer.transferred*]
lemmas *fBexI*[*intro*] = *bexI*[*Transfer.transferred*]
lemmas *rev-fBexI*[*intro?*] = *rev-bexI*[*Transfer.transferred*]
lemmas *fBexCI* = *bexCI*[*Transfer.transferred*]
lemmas *fBexE*[*elim!*] = *bexE*[*Transfer.transferred*]
lemmas *fBall-triv*[*simp*] = *ball-triv*[*Transfer.transferred*]
lemmas *fBex-triv*[*simp*] = *bex-triv*[*Transfer.transferred*]
lemmas *fBex-triv-one-point1*[*simp*] = *bex-triv-one-point1*[*Transfer.transferred*]
lemmas *fBex-triv-one-point2*[*simp*] = *bex-triv-one-point2*[*Transfer.transferred*]
lemmas *fBex-one-point1*[*simp*] = *bex-one-point1*[*Transfer.transferred*]
lemmas *fBex-one-point2*[*simp*] = *bex-one-point2*[*Transfer.transferred*]
lemmas *fBall-one-point1*[*simp*] = *ball-one-point1*[*Transfer.transferred*]
lemmas *fBall-one-point2*[*simp*] = *ball-one-point2*[*Transfer.transferred*]
lemmas *fBall-conj-distrib* = *ball-conj-distrib*[*Transfer.transferred*]
lemmas *fBex-disj-distrib* = *bex-disj-distrib*[*Transfer.transferred*]
lemmas *fBall-cong* = *ball-cong*[*Transfer.transferred*]
lemmas *fBex-cong* = *bex-cong*[*Transfer.transferred*]
lemmas *fsubsetI*[*intro!*] = *subsetI*[*Transfer.transferred*]
lemmas *fsubsetD*[*elim, intro?*] = *subsetD*[*Transfer.transferred*]
lemmas *rev-fsubsetD*[*no-atp, intro?*] = *rev-subsetD*[*Transfer.transferred*]
lemmas *fsubsetCE*[*no-atp, elim*] = *subsetCE*[*Transfer.transferred*]
lemmas *fsubset-eq*[*no-atp*] = *subset-eq*[*Transfer.transferred*]
lemmas *contra-fsubsetD*[*no-atp*] = *contra-subsetD*[*Transfer.transferred*]
lemmas *fsubset-refl* = *subset-refl*[*Transfer.transferred*]
lemmas *fsubset-trans* = *subset-trans*[*Transfer.transferred*]
lemmas *fset-rev-mp* = *set-rev-mp*[*Transfer.transferred*]
lemmas *fset-mp* = *set-mp*[*Transfer.transferred*]
lemmas *fsubset-not-fsubset-eq*[*code*] = *subset-not-subset-eq*[*Transfer.transferred*]
lemmas *eq-fmem-trans* = *eq-mem-trans*[*Transfer.transferred*]

lemmas *fsubset-antisym*[intro!] = *subset-antisym*[Transfer.transferred]
lemmas *fequalityD1* = *equalityD1*[Transfer.transferred]
lemmas *fequalityD2* = *equalityD2*[Transfer.transferred]
lemmas *fequalityE* = *equalityE*[Transfer.transferred]
lemmas *fequalityCE*[elim] = *equalityCE*[Transfer.transferred]
lemmas *eqfset-imp-iff* = *eqset-imp-iff*[Transfer.transferred]
lemmas *eqfelem-imp-iff* = *equelem-imp-iff*[Transfer.transferred]
lemmas *fempty-iff*[simp] = *empty-iff*[Transfer.transferred]
lemmas *fempty-fsubsetI*[iff] = *empty-subsetI*[Transfer.transferred]
lemmas *equalsffemptyI* = *equals0I*[Transfer.transferred]
lemmas *equalsffemptyD* = *equals0D*[Transfer.transferred]
lemmas *fBall-fempty*[simp] = *ball-empty*[Transfer.transferred]
lemmas *fBex-fempty*[simp] = *bex-empty*[Transfer.transferred]
lemmas *fPow-iff*[iff] = *Pow-iff*[Transfer.transferred]
lemmas *fPowI* = *PowI*[Transfer.transferred]
lemmas *fPowD* = *PowD*[Transfer.transferred]
lemmas *fPow-bottom* = *Pow-bottom*[Transfer.transferred]
lemmas *fPow-top* = *Pow-top*[Transfer.transferred]
lemmas *fPow-not-fempty* = *Pow-not-empty*[Transfer.transferred]
lemmas *finter-iff*[simp] = *Int-iff*[Transfer.transferred]
lemmas *finterI*[intro!] = *IntI*[Transfer.transferred]
lemmas *finterD1* = *IntD1*[Transfer.transferred]
lemmas *finterD2* = *IntD2*[Transfer.transferred]
lemmas *finterE*[elim!] = *IntE*[Transfer.transferred]
lemmas *funion-iff*[simp] = *Un-iff*[Transfer.transferred]
lemmas *funionI1*[elim?] = *UnI1*[Transfer.transferred]
lemmas *funionI2*[elim?] = *UnI2*[Transfer.transferred]
lemmas *funionCI*[intro!] = *UnCI*[Transfer.transferred]
lemmas *funionE*[elim!] = *UnE*[Transfer.transferred]
lemmas *fminus-iff*[simp] = *Diff-iff*[Transfer.transferred]
lemmas *fminusI*[intro!] = *DiffI*[Transfer.transferred]
lemmas *fminusD1* = *DiffD1*[Transfer.transferred]
lemmas *fminusD2* = *DiffD2*[Transfer.transferred]
lemmas *fminusE*[elim!] = *DiffE*[Transfer.transferred]
lemmas *finsert-iff*[simp] = *insert-iff*[Transfer.transferred]
lemmas *finsertI1* = *insertI1*[Transfer.transferred]
lemmas *finsertI2* = *insertI2*[Transfer.transferred]
lemmas *finsertE*[elim!] = *insertE*[Transfer.transferred]
lemmas *finsertCI*[intro!] = *insertCI*[Transfer.transferred]
lemmas *fsubset-finsert-iff* = *subset-insert-iff*[Transfer.transferred]
lemmas *finsert-ident* = *insert-ident*[Transfer.transferred]
lemmas *fsingletonI*[intro!,no-atp] = *singletonI*[Transfer.transferred]
lemmas *fsingletonD*[dest!,no-atp] = *singletonD*[Transfer.transferred]
lemmas *fsingleton-iff* = *singleton-iff*[Transfer.transferred]
lemmas *fsingleton-inject*[dest!] = *singleton-inject*[Transfer.transferred]
lemmas *fsingleton-finsert-inj-eq*[iff,no-atp] = *singleton-insert-inj-eq*[Transfer.transferred]
lemmas *fsingleton-finsert-inj-eq'*[iff,no-atp] = *singleton-insert-inj-eq'*[Transfer.transferred]
lemmas *fsubset-fsingletonD* = *subset-singletonD*[Transfer.transferred]
lemmas *fminus-single-finsert* = *Diff-single-insert*[Transfer.transferred]

lemmas *fdoubleton-eq-iff* = *doubleton-eq-iff* [Transfer.transferred]
lemmas *funion-fsingleton-iff* = *Un-singleton-iff* [Transfer.transferred]
lemmas *fsingleton-funion-iff* = *singleton-Un-iff* [Transfer.transferred]
lemmas *fimage-eqI*[simp, intro] = *image-eqI* [Transfer.transferred]
lemmas *fimageI* = *imageI* [Transfer.transferred]
lemmas *rev-fimage-eqI* = *rev-image-eqI* [Transfer.transferred]
lemmas *fimageE*[elim!] = *imageE* [Transfer.transferred]
lemmas *Compr-fimage-eq* = *Compr-image-eq* [Transfer.transferred]
lemmas *fimage-funion* = *image-Un* [Transfer.transferred]
lemmas *fimage-iff* = *image-iff* [Transfer.transferred]
lemmas *fimage-fsubset-iff*[no-atp] = *image-subset-iff* [Transfer.transferred]
lemmas *fimage-fsubsetI* = *image-subsetI* [Transfer.transferred]
lemmas *fimage-ident*[simp] = *image-ident* [Transfer.transferred]
lemmas *if-split-fmem1* = *if-split-mem1* [Transfer.transferred]
lemmas *if-split-fmem2* = *if-split-mem2* [Transfer.transferred]
lemmas *pfssubsetI*[intro!,no-atp] = *psubsetI* [Transfer.transferred]
lemmas *pfssubsetE*[elim!,no-atp] = *psubsetE* [Transfer.transferred]
lemmas *pfssubset-finsert-iff* = *psubset-insert-iff* [Transfer.transferred]
lemmas *pfssubset-eq* = *psubset-eq* [Transfer.transferred]
lemmas *pfssubset-imp-fsubset* = *psubset-imp-subset* [Transfer.transferred]
lemmas *pfssubset-trans* = *psubset-trans* [Transfer.transferred]
lemmas *pfssubsetD* = *psubsetD* [Transfer.transferred]
lemmas *pfssubset-fsubset-trans* = *psubset-subset-trans* [Transfer.transferred]
lemmas *fsubset-pfssubset-trans* = *subset-psubset-trans* [Transfer.transferred]
lemmas *pfssubset-imp-ex-fmem* = *psubset-imp-ex-mem* [Transfer.transferred]
lemmas *fimage-fPow-mono* = *image-Pow-mono* [Transfer.transferred]
lemmas *fimage-fPow-surj* = *image-Pow-surj* [Transfer.transferred]
lemmas *fsubset-finsertI* = *subset-insertI* [Transfer.transferred]
lemmas *fsubset-finsertI2* = *subset-insertI2* [Transfer.transferred]
lemmas *fsubset-finsert* = *subset-insert* [Transfer.transferred]
lemmas *funion-upper1* = *Un-upper1* [Transfer.transferred]
lemmas *funion-upper2* = *Un-upper2* [Transfer.transferred]
lemmas *funion-least* = *Un-least* [Transfer.transferred]
lemmas *finter-lower1* = *Int-lower1* [Transfer.transferred]
lemmas *finter-lower2* = *Int-lower2* [Transfer.transferred]
lemmas *finter-greatest* = *Int-greatest* [Transfer.transferred]
lemmas *fminus-fsubset* = *Diff-subset* [Transfer.transferred]
lemmas *fminus-fsubset-conv* = *Diff-subset-conv* [Transfer.transferred]
lemmas *fsubset-fempty*[simp] = *subset-empty* [Transfer.transferred]
lemmas *not-pfssubset-fempty*[iff] = *not-psubset-empty* [Transfer.transferred]
lemmas *finsert-is-funion* = *insert-is-Un* [Transfer.transferred]
lemmas *finsert-not-fempty*[simp] = *insert-not-empty* [Transfer.transferred]
lemmas *fempty-not-finsert* = *empty-not-insert* [Transfer.transferred]
lemmas *finsert-absorb* = *insert-absorb* [Transfer.transferred]
lemmas *finsert-absorb2*[simp] = *insert-absorb2* [Transfer.transferred]
lemmas *finsert-commute* = *insert-commute* [Transfer.transferred]
lemmas *finsert-fsubset*[simp] = *insert-subset* [Transfer.transferred]
lemmas *finsert-inter-finsert*[simp] = *insert-inter-insert* [Transfer.transferred]
lemmas *finsert-disjoint*[simp,no-atp] = *insert-disjoint* [Transfer.transferred]

lemmas *disjoint-finsert*[simp,no-atp] = *disjoint-insert*[Transfer.transferred]
lemmas *fimage-fempty*[simp] = *image-empty*[Transfer.transferred]
lemmas *fimage-finsert*[simp] = *image-insert*[Transfer.transferred]
lemmas *fimage-constant* = *image-constant*[Transfer.transferred]
lemmas *fimage-constant-conv* = *image-constant-conv*[Transfer.transferred]
lemmas *fimage-fimage* = *image-image*[Transfer.transferred]
lemmas *finsert-fimage*[simp] = *insert-image*[Transfer.transferred]
lemmas *fimage-is-fempty*[iff] = *image-is-empty*[Transfer.transferred]
lemmas *fempty-is-fimage*[iff] = *empty-is-image*[Transfer.transferred]
lemmas *fimage-cong* = *image-cong*[Transfer.transferred]
lemmas *fimage-finter-fsubset* = *image-Int-subset*[Transfer.transferred]
lemmas *fimage-fminus-fsubset* = *image-diff-subset*[Transfer.transferred]
lemmas *finter-absorb* = *Int-absorb*[Transfer.transferred]
lemmas *finter-left-absorb* = *Int-left-absorb*[Transfer.transferred]
lemmas *finter-commute* = *Int-commute*[Transfer.transferred]
lemmas *finter-left-commute* = *Int-left-commute*[Transfer.transferred]
lemmas *finter-assoc* = *Int-assoc*[Transfer.transferred]
lemmas *finter-ac* = *Int-ac*[Transfer.transferred]
lemmas *finter-absorb1* = *Int-absorb1*[Transfer.transferred]
lemmas *finter-absorb2* = *Int-absorb2*[Transfer.transferred]
lemmas *finter-fempty-left* = *Int-empty-left*[Transfer.transferred]
lemmas *finter-fempty-right* = *Int-empty-right*[Transfer.transferred]
lemmas *disjoint-iff-fnot-equal* = *disjoint-iff-not-equal*[Transfer.transferred]
lemmas *finter-funion-distrib* = *Int-Un-distrib*[Transfer.transferred]
lemmas *finter-funion-distrib2* = *Int-Un-distrib2*[Transfer.transferred]
lemmas *finter-fsubset-iff*[no-atp, simp] = *Int-subset-iff*[Transfer.transferred]
lemmas *funion-absorb* = *Un-absorb*[Transfer.transferred]
lemmas *funion-left-absorb* = *Un-left-absorb*[Transfer.transferred]
lemmas *funion-commute* = *Un-commute*[Transfer.transferred]
lemmas *funion-left-commute* = *Un-left-commute*[Transfer.transferred]
lemmas *funion-assoc* = *Un-assoc*[Transfer.transferred]
lemmas *funion-ac* = *Un-ac*[Transfer.transferred]
lemmas *funion-absorb1* = *Un-absorb1*[Transfer.transferred]
lemmas *funion-absorb2* = *Un-absorb2*[Transfer.transferred]
lemmas *funion-fempty-left* = *Un-empty-left*[Transfer.transferred]
lemmas *funion-fempty-right* = *Un-empty-right*[Transfer.transferred]
lemmas *funion-finsert-left*[simp] = *Un-insert-left*[Transfer.transferred]
lemmas *funion-finsert-right*[simp] = *Un-insert-right*[Transfer.transferred]
lemmas *finter-finsert-left* = *Int-insert-left*[Transfer.transferred]
lemmas *finter-finsert-left-iffempty*[simp] = *Int-insert-left-if0*[Transfer.transferred]
lemmas *finter-finsert-left-if1*[simp] = *Int-insert-left-if1*[Transfer.transferred]
lemmas *finter-finsert-right* = *Int-insert-right*[Transfer.transferred]
lemmas *finter-finsert-right-iffempty*[simp] = *Int-insert-right-if0*[Transfer.transferred]
lemmas *finter-finsert-right-if1*[simp] = *Int-insert-right-if1*[Transfer.transferred]
lemmas *funion-finter-distrib* = *Un-Int-distrib*[Transfer.transferred]
lemmas *funion-finter-distrib2* = *Un-Int-distrib2*[Transfer.transferred]
lemmas *funion-finter-crazy* = *Un-Int-crazy*[Transfer.transferred]
lemmas *fsubset-funion-eq* = *subset-Un-eq*[Transfer.transferred]
lemmas *funion-fempty*[iff] = *Un-empty*[Transfer.transferred]

lemmas *funion-fsubset-iff*[no-atp, simp] = *Un-subset-iff*[Transfer.transferred]
lemmas *funion-fminus-finter* = *Un-Diff-Int*[Transfer.transferred]
lemmas *fminus-finter2* = *Diff-Int2*[Transfer.transferred]
lemmas *funion-finter-assoc-eq* = *Un-Int-assoc-eq*[Transfer.transferred]
lemmas *fBall-funion* = *ball-Un*[Transfer.transferred]
lemmas *fBex-funion* = *bex-Un*[Transfer.transferred]
lemmas *fminus-eq-fempty-iff*[simp, no-atp] = *Diff-eq-empty-iff*[Transfer.transferred]
lemmas *fminus-cancel*[simp] = *Diff-cancel*[Transfer.transferred]
lemmas *fminus-idemp*[simp] = *Diff-idemp*[Transfer.transferred]
lemmas *fminus-triv* = *Diff-triv*[Transfer.transferred]
lemmas *fempty-fminus*[simp] = *empty-Diff*[Transfer.transferred]
lemmas *fminus-fempty*[simp] = *Diff-empty*[Transfer.transferred]
lemmas *fminus-finsertffempty*[simp, no-atp] = *Diff-insert0*[Transfer.transferred]
lemmas *fminus-finsert* = *Diff-insert*[Transfer.transferred]
lemmas *fminus-finsert2* = *Diff-insert2*[Transfer.transferred]
lemmas *finsert-fminus-if* = *insert-Diff-if*[Transfer.transferred]
lemmas *finsert-fminus1*[simp] = *insert-Diff1*[Transfer.transferred]
lemmas *finsert-fminus-single*[simp] = *insert-Diff-single*[Transfer.transferred]
lemmas *finsert-fminus* = *insert-Diff*[Transfer.transferred]
lemmas *fminus-finsert-absorb* = *Diff-insert-absorb*[Transfer.transferred]
lemmas *fminus-disjoint*[simp] = *Diff-disjoint*[Transfer.transferred]
lemmas *fminus-partition* = *Diff-partition*[Transfer.transferred]
lemmas *double-fminus* = *double-diff*[Transfer.transferred]
lemmas *funion-fminus-cancel*[simp] = *Un-Diff-cancel*[Transfer.transferred]
lemmas *funion-fminus-cancel2*[simp] = *Un-Diff-cancel2*[Transfer.transferred]
lemmas *fminus-funion* = *Diff-Un*[Transfer.transferred]
lemmas *fminus-finter* = *Diff-Int*[Transfer.transferred]
lemmas *funion-fminus* = *Un-Diff*[Transfer.transferred]
lemmas *finter-fminus* = *Int-Diff*[Transfer.transferred]
lemmas *fminus-finter-distrib* = *Diff-Int-distrib*[Transfer.transferred]
lemmas *fminus-finter-distrib2* = *Diff-Int-distrib2*[Transfer.transferred]
lemmas *fUNIV-bool*[no-atp] = *UNIV-bool*[Transfer.transferred]
lemmas *fPow-fempty*[simp] = *Pow-empty*[Transfer.transferred]
lemmas *fPow-finsert* = *Pow-insert*[Transfer.transferred]
lemmas *funion-fPow-fsubset* = *Un-Pow-subset*[Transfer.transferred]
lemmas *fPow-finter-eq*[simp] = *Pow-Int-eq*[Transfer.transferred]
lemmas *fset-eq-fsubset* = *set-eq-subset*[Transfer.transferred]
lemmas *fsubset-iff*[no-atp] = *subset-iff*[Transfer.transferred]
lemmas *fsubset-iff-pfsubset-eq* = *subset-iff-psubset-eq*[Transfer.transferred]
lemmas *all-not-fin-conv*[simp] = *all-not-in-conv*[Transfer.transferred]
lemmas *ex-fin-conv* = *ex-in-conv*[Transfer.transferred]
lemmas *fimage-mono* = *image-mono*[Transfer.transferred]
lemmas *fPow-mono* = *Pow-mono*[Transfer.transferred]
lemmas *finsert-mono* = *insert-mono*[Transfer.transferred]
lemmas *funion-mono* = *Un-mono*[Transfer.transferred]
lemmas *finter-mono* = *Int-mono*[Transfer.transferred]
lemmas *fminus-mono* = *Diff-mono*[Transfer.transferred]
lemmas *fin-mono* = *in-mono*[Transfer.transferred]
lemmas *fthe-felem-eq*[simp] = *the-elem-eq*[Transfer.transferred]

lemmas *fLeast-mono* = *Least-mono*[*Transfer.transferred*]
lemmas *fbind-fbind* = *bind-bind*[*Transfer.transferred*]
lemmas *fempty-fbind*[*simp*] = *empty-bind*[*Transfer.transferred*]
lemmas *nonfempty-fbind-const* = *nonempty-bind-const*[*Transfer.transferred*]
lemmas *fbind-const* = *bind-const*[*Transfer.transferred*]
lemmas *ffmember-filter*[*simp*] = *member-filter*[*Transfer.transferred*]
lemmas *fequalityI* = *equalityI*[*Transfer.transferred*]

45.5 Additional lemmas

45.5.1 *fsingleton*

lemmas *fsingletonE* = *fsingletonD* [*elim-format*]

45.5.2 *fempty*

lemma *fempty-ffilter*[*simp*]: *ffilter* ($\lambda\cdot$. *False*) *A* = $\{\{\}\}$
<proof>

lemma *femptyE* [*elim!*]: $a \in \{\{\}\} \implies P$
<proof>

45.5.3 *fset*

lemmas *fset-simps*[*simp*] = *bot-fset.rep-eq* *finsert.rep-eq*

lemma *finite-fset* [*simp*]:
shows *finite* (*fset* *S*)
<proof>

lemmas *fset-cong* = *fset-inject*

lemma *filter-fset* [*simp*]:
shows *fset* (*ffilter* *P* *xs*) = *Collect* *P* \cap *fset* *xs*
<proof>

lemma *notin-fset*: $x \notin S \iff x \notin \text{fset } S$ *<proof>*

lemmas *inter-fset*[*simp*] = *inf-fset.rep-eq*

lemmas *union-fset*[*simp*] = *sup-fset.rep-eq*

lemmas *minus-fset*[*simp*] = *minus-fset.rep-eq*

45.5.4 *filter-fset*

lemma *subset-ffilter*:
ffilter *P* *A* \subseteq *ffilter* *Q* *A* = $(\forall x. x \in A \implies P x \implies Q x)$
<proof>

lemma *eq-filter*:

$$(ffilter\ P\ A = ffilter\ Q\ A) = (\forall x. x \in A \longrightarrow P\ x = Q\ x)$$

<proof>

lemma *pfssubset-filter*:

$$(\bigwedge x. x \in A \implies P\ x \implies Q\ x) \implies (x \in A \ \& \ \neg P\ x \ \& \ Q\ x) \implies$$

$$ffilter\ P\ A \ | \subseteq \ ffilter\ Q\ A$$

<proof>

45.5.5 *finsert*

lemma *set-finsert*:

assumes $x \in A$
obtains B **where** $A = finsert\ x\ B$ **and** $x \notin B$

<proof>

lemma *mk-disjoint-finsert*: $a \in A \implies \exists B. A = finsert\ a\ B \wedge a \notin B$

<proof>

45.5.6 *fimage*

lemma *subset-fimage-iff*: $(B \subseteq f \ ' \ A) = (\exists AA. AA \subseteq A \wedge B = f \ ' \ AA)$

<proof>

45.5.7 bounded quantification

lemma *bex-simps* [*simp, no-atp*]:

$$\bigwedge A\ P\ Q. fBex\ A\ (\lambda x. P\ x \wedge Q) = (fBex\ A\ P \wedge Q)$$

$$\bigwedge A\ P\ Q. fBex\ A\ (\lambda x. P \wedge Q\ x) = (P \wedge fBex\ A\ Q)$$

$$\bigwedge P. fBex\ \{\|\} P = False$$

$$\bigwedge a\ B\ P. fBex\ (finsert\ a\ B)\ P = (P\ a \vee fBex\ B\ P)$$

$$\bigwedge A\ P\ f. fBex\ (f \ ' \ A)\ P = fBex\ A\ (\lambda x. P\ (f\ x))$$

$$\bigwedge A\ P. (\neg fBex\ A\ P) = fBall\ A\ (\lambda x. \neg P\ x)$$

<proof>

lemma *ball-simps* [*simp, no-atp*]:

$$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P\ x \vee Q) = (fBall\ A\ P \vee Q)$$

$$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P \vee Q\ x) = (P \vee fBall\ A\ Q)$$

$$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P \longrightarrow Q\ x) = (P \longrightarrow fBall\ A\ Q)$$

$$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P\ x \longrightarrow Q) = (fBex\ A\ P \longrightarrow Q)$$

$$\bigwedge P. fBall\ \{\|\} P = True$$

$$\bigwedge a\ B\ P. fBall\ (finsert\ a\ B)\ P = (P\ a \wedge fBall\ B\ P)$$

$$\bigwedge A\ P\ f. fBall\ (f \ ' \ A)\ P = fBall\ A\ (\lambda x. P\ (f\ x))$$

$$\bigwedge A\ P. (\neg fBall\ A\ P) = fBex\ A\ (\lambda x. \neg P\ x)$$

<proof>

lemma *atomize-fBall*:

$$(\bigwedge x. x \in A \implies P\ x) \implies Trueprop\ (fBall\ A\ (\lambda x. P\ x))$$

<proof>

end

45.5.8 *fcard*

lemma *fcard-fempty*:

$fcard \{\|\} = 0$
<proof>

lemma *fcard-finsert-disjoint*:

$x \notin A \implies fcard (finsert\ x\ A) = Suc\ (fcard\ A)$
<proof>

lemma *fcard-finsert-if*:

$fcard (finsert\ x\ A) = (if\ x \in A\ then\ fcard\ A\ else\ Suc\ (fcard\ A))$
<proof>

lemma *card-0-eq* [*simp*, *no-atp*]:

$fcard\ A = 0 \iff A = \{\|\}$
<proof>

lemma *fcard-Suc-fminus1*:

$x \in A \implies Suc\ (fcard\ (A\ |-| \{x\})) = fcard\ A$
<proof>

lemma *fcard-fminus-fsingleton*:

$x \in A \implies fcard\ (A\ |-| \{x\}) = fcard\ A - 1$
<proof>

lemma *fcard-fminus-fsingleton-if*:

$fcard\ (A\ |-| \{x\}) = (if\ x \in A\ then\ fcard\ A - 1\ else\ fcard\ A)$
<proof>

lemma *fcard-fminus-finsert*[*simp*]:

assumes $a \in A$ **and** $a \notin B$
shows $fcard\ (A\ |-| finsert\ a\ B) = fcard\ (A\ |-| B) - 1$
<proof>

lemma *fcard-finsert*: $fcard (finsert\ x\ A) = Suc (fcard (A\ |-| \{x\}))$

<proof>

lemma *fcard-finsert-le*: $fcard\ A \leq fcard (finsert\ x\ A)$

<proof>

lemma *fcard-mono*:

$A \subseteq B \implies fcard\ A \leq fcard\ B$
<proof>

lemma *fcard-seteq*: $A \subseteq B \implies fcard\ B \leq fcard\ A \implies A = B$

<proof>

lemma *pfssubset-fcard-mono*: $A \mid\subset\mid B \implies \text{fcard } A < \text{fcard } B$

<proof>

lemma *fcard-funion-finter*:

$$\text{fcard } A + \text{fcard } B = \text{fcard } (A \mid\cup\mid B) + \text{fcard } (A \mid\cap\mid B)$$

<proof>

lemma *fcard-funion-disjoint*:

$$A \mid\cap\mid B = \{\mid\} \implies \text{fcard } (A \mid\cup\mid B) = \text{fcard } A + \text{fcard } B$$

<proof>

lemma *fcard-funion-fsubset*:

$$B \mid\subseteq\mid A \implies \text{fcard } (A \mid-\mid B) = \text{fcard } A - \text{fcard } B$$

<proof>

lemma *diff-fcard-le-fcard-fminus*:

$$\text{fcard } A - \text{fcard } B \leq \text{fcard}(A \mid-\mid B)$$

<proof>

lemma *fcard-fminus1-less*: $x \mid\in\mid A \implies \text{fcard } (A \mid-\mid \{|x\}) < \text{fcard } A$

<proof>

lemma *fcard-fminus2-less*:

$$x \mid\in\mid A \implies y \mid\in\mid A \implies \text{fcard } (A \mid-\mid \{|x\} \mid-\mid \{|y\}) < \text{fcard } A$$

<proof>

lemma *fcard-fminus1-le*: $\text{fcard } (A \mid-\mid \{|x\}) \leq \text{fcard } A$

<proof>

lemma *fcard-pfssubset*: $A \mid\subseteq\mid B \implies \text{fcard } A < \text{fcard } B \implies A < B$

<proof>

45.5.9 *ffold*

context *comp-fun-commute*

begin

lemmas *ffold-empty[simp]* = *fold-empty[Transfer.transferred]*

lemma *ffold-finsert [simp]*:

assumes $x \mid\notin\mid A$

shows $\text{ffold } f z (\text{finsert } x A) = f x (\text{ffold } f z A)$

<proof>

lemma *ffold-fun-left-comm*:

$$f x (\text{ffold } f z A) = \text{ffold } f (f x z) A$$

<proof>

lemma *ffold-finsert2*:

$x \notin A \implies \text{ffold } f \ z \ (\text{finsert } x \ A) = \text{ffold } f \ (f \ x \ z) \ A$
 ⟨proof⟩

lemma *ffold-rec*:

assumes $x \in A$
shows $\text{ffold } f \ z \ A = f \ x \ (\text{ffold } f \ z \ (A \ - \ \{x\}))$
 ⟨proof⟩

lemma *ffold-finsert-remove*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ (A \ - \ \{x\}))$
 ⟨proof⟩

end

lemma *ffold-fimage*:

assumes *inj-on* $g \ (\text{fset } A)$
shows $\text{ffold } f \ z \ (g \ ` \ A) = \text{ffold } (f \ o \ g) \ z \ A$
 ⟨proof⟩

lemma *ffold-cong*:

assumes *comp-fun-commute* $f \ \text{comp-fun-commute } g$
 $\bigwedge x. x \in A \implies f \ x = g \ x$
and $s = t$ **and** $A = B$
shows $\text{ffold } f \ s \ A = \text{ffold } g \ t \ B$
 ⟨proof⟩

context *comp-fun-idem*

begin

lemma *ffold-finsert-idem*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ A)$
 ⟨proof⟩

declare *ffold-finsert* [*simp del*] *ffold-finsert-idem* [*simp*]

lemma *ffold-finsert-idem2*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = \text{ffold } f \ (f \ x \ z) \ A$
 ⟨proof⟩

end

45.6 Choice in fsets

lemma *fset-choice*:

assumes $\forall x. x \in A \longrightarrow (\exists y. P \ x \ y)$
shows $\exists f. \forall x. x \in A \longrightarrow P \ x \ (f \ x)$
 ⟨proof⟩

45.7 Induction and Cases rules for fsets

lemma *fset-exhaust* [*case-names empty insert, cases type: fset*]:

assumes *fempty-case*: $S = \{\|\}$ $\implies P$
and *finsert-case*: $\bigwedge x S'. S = \text{finsert } x S' \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *fset-induct* [*case-names empty insert*]:

assumes *fempty-case*: $P \{\|\}$
and *finsert-case*: $\bigwedge x S. P S \implies P (\text{finsert } x S)$
shows $P S$
 $\langle \text{proof} \rangle$

lemma *fset-induct-stronger* [*case-names empty insert, induct type: fset*]:

assumes *empty-fset-case*: $P \{\|\}$
and *insert-fset-case*: $\bigwedge x S. \llbracket x \notin S; P S \rrbracket \implies P (\text{finsert } x S)$
shows $P S$
 $\langle \text{proof} \rangle$

lemma *fset-card-induct*:

assumes *empty-fset-case*: $P \{\|\}$
and *card-fset-Suc-case*: $\bigwedge S T. \text{Suc } (\text{fcard } S) = (\text{fcard } T) \implies P S \implies P T$
shows $P S$
 $\langle \text{proof} \rangle$

lemma *fset-strong-cases*:

obtains $xs = \{\|\}$
 | ys $x \notin ys$ **and** $xs = \text{finsert } x ys$
 $\langle \text{proof} \rangle$

lemma *fset-induct2*:

$P \{\|\} \{\|\} \implies$
 $(\bigwedge x xs. x \notin xs \implies P (\text{finsert } x xs) \{\|\}) \implies$
 $(\bigwedge y ys. y \notin ys \implies P \{\|\} (\text{finsert } y ys)) \implies$
 $(\bigwedge x xs y ys. \llbracket P xs ys; x \notin xs; y \notin ys \rrbracket \implies P (\text{finsert } x xs) (\text{finsert } y ys)) \implies$
 $P xs a ysa$
 $\langle \text{proof} \rangle$

45.8 Setup for Lifting/Transfer

45.8.1 Relator and predicator properties

lift-definition *rel-fset* :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ fset} \Rightarrow 'b \text{ fset} \Rightarrow \text{bool}$ **is** *rel-set*
parametric *rel-set-transfer* $\langle \text{proof} \rangle$

lemma *rel-fset-alt-def*: $\text{rel-fset } R = (\lambda A B. (\forall x. \exists y. x \in A \longrightarrow y \in B \wedge R x y) \wedge (\forall y. \exists x. y \in B \longrightarrow x \in A \wedge R x y))$
 $\langle \text{proof} \rangle$

lemma *finite-rel-set*:

assumes *fin*: *finite X finite Z*

assumes *R-S*: *rel-set (R OO S) X Z*

shows $\exists Y. \text{finite } Y \wedge \text{rel-set } R \ X \ Y \wedge \text{rel-set } S \ Y \ Z$

<proof>

45.8.2 Transfer rules for the Transfer package

Unconditional transfer rules

context

begin

interpretation *lifting-syntax* *<proof>*

lemmas *fempty-transfer* [*transfer-rule*] = *empty-transfer*[*Transfer.transferred*]

lemma *finsert-transfer* [*transfer-rule*]:

$(A \text{ ===> } \text{rel-fset } A \text{ ===> } \text{rel-fset } A) \text{ finsert finsert}$

<proof>

lemma *funion-transfer* [*transfer-rule*]:

$(\text{rel-fset } A \text{ ===> } \text{rel-fset } A \text{ ===> } \text{rel-fset } A) \text{ funion funion}$

<proof>

lemma *ffUnion-transfer* [*transfer-rule*]:

$(\text{rel-fset } (\text{rel-fset } A) \text{ ===> } \text{rel-fset } A) \text{ ffUnion ffUnion}$

<proof>

lemma *fimage-transfer* [*transfer-rule*]:

$((A \text{ ===> } B) \text{ ===> } \text{rel-fset } A \text{ ===> } \text{rel-fset } B) \text{ fimage fimage}$

<proof>

lemma *fBall-transfer* [*transfer-rule*]:

$(\text{rel-fset } A \text{ ===> } (A \text{ ===> } \text{op } =) \text{ ===> } \text{op } =) \text{ fBall fBall}$

<proof>

lemma *fBex-transfer* [*transfer-rule*]:

$(\text{rel-fset } A \text{ ===> } (A \text{ ===> } \text{op } =) \text{ ===> } \text{op } =) \text{ fBex fBex}$

<proof>

lemma *fPow-transfer* [*transfer-rule*]:

$(\text{rel-fset } A \text{ ===> } \text{rel-fset } (\text{rel-fset } A)) \text{ fPow fPow}$

<proof>

lemma *rel-fset-transfer* [*transfer-rule*]:

$((A \text{ ===> } B \text{ ===> } \text{op } =) \text{ ===> } \text{rel-fset } A \text{ ===> } \text{rel-fset } B \text{ ===> } \text{op } =)$

rel-fset rel-fset

<proof>

lemma *bind-transfer* [*transfer-rule*]:
 $(rel\text{-}fset\ A\ ==\Rightarrow\ (A\ ==\Rightarrow\ rel\text{-}fset\ B)\ ==\Rightarrow\ rel\text{-}fset\ B)\ fbind\ fbind$
<proof>

Rules requiring bi-unique, bi-total or right-total relations

lemma *fmember-transfer* [*transfer-rule*]:
assumes *bi-unique A*
shows $(A\ ==\Rightarrow\ rel\text{-}fset\ A\ ==\Rightarrow\ op\ =)\ (op\ |\in|)\ (op\ |\in|)$
<proof>

lemma *finter-transfer* [*transfer-rule*]:
assumes *bi-unique A*
shows $(rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A)\ finter\ finter$
<proof>

lemma *fminus-transfer* [*transfer-rule*]:
assumes *bi-unique A*
shows $(rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A)\ (op\ \text{-}|\ |)\ (op\ \text{-}|\ |)$
<proof>

lemma *fsubset-transfer* [*transfer-rule*]:
assumes *bi-unique A*
shows $(rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A\ ==\Rightarrow\ op\ =)\ (op\ |\subseteq|)\ (op\ |\subseteq|)$
<proof>

lemma *fSup-transfer* [*transfer-rule*]:
bi-unique A $\implies\ (rel\text{-}set\ (rel\text{-}fset\ A)\ ==\Rightarrow\ rel\text{-}fset\ A)\ Sup\ Sup$
<proof>

lemma *fInf-transfer* [*transfer-rule*]:
assumes *bi-unique A* **and** *bi-total A*
shows $(rel\text{-}set\ (rel\text{-}fset\ A)\ ==\Rightarrow\ rel\text{-}fset\ A)\ Inf\ Inf$
<proof>

lemma *ffilter-transfer* [*transfer-rule*]:
assumes *bi-unique A*
shows $((A\ ==\Rightarrow\ op\ =)\ ==\Rightarrow\ rel\text{-}fset\ A\ ==\Rightarrow\ rel\text{-}fset\ A)\ ffilter\ ffilter$
<proof>

lemma *card-transfer* [*transfer-rule*]:
bi-unique A $\implies\ (rel\text{-}fset\ A\ ==\Rightarrow\ op\ =)\ fcard\ fcard$
<proof>

end

lifting-update *fset.lifting*

lifting-forget *fset.lifting*

45.9 BNF setup

context

includes *fset.lifting*

begin

lemma *rel-fset-alt*:

$rel\text{-}fset\ R\ a\ b \iff (\forall t \in fset\ a. \exists u \in fset\ b. R\ t\ u) \wedge (\forall t \in fset\ b. \exists u \in fset\ a. R\ u\ t)$
 $\langle proof \rangle$

lemma *fset-to-fset*: $finite\ A \implies fset\ (the\text{-}inv\ fset\ A) = A$

$\langle proof \rangle$

lemma *rel-fset-aux*:

$(\forall t \in fset\ a. \exists u \in fset\ b. R\ t\ u) \wedge (\forall u \in fset\ b. \exists t \in fset\ a. R\ t\ u) \iff$
 $((BNF\text{-}Def.Grp\ \{a.\ fset\ a \subseteq \{(a, b).\ R\ a\ b\}\}\ (fimage\ fst))^{-1-1}\ OO$
 $BNF\text{-}Def.Grp\ \{a.\ fset\ a \subseteq \{(a, b).\ R\ a\ b\}\}\ (fimage\ snd))\ a\ b\ (is\ ?L = ?R)$
 $\langle proof \rangle$

bnf *'a fset*

map: *fimage*

sets: *fset*

bd: *natLeq*

wits: $\{\|\}$

rel: *rel-fset*

$\langle proof \rangle$

lemma *rel-fset-fset*: $rel\text{-}set\ \chi\ (fset\ A1)\ (fset\ A2) = rel\text{-}fset\ \chi\ A1\ A2$

$\langle proof \rangle$

end

lemmas [*simp*] = *fset.map-comp fset.map-id fset.set-map*

45.10 Size setup

context includes *fset.lifting* **begin**

lift-definition *size-fset* :: $('a \Rightarrow nat) \Rightarrow 'a\ fset \Rightarrow nat$ **is** $\lambda f. setsum\ (Suc \circ f)$

$\langle proof \rangle$

end

instantiation *fset* :: $(type)\ size$ **begin**

definition *size-fset* **where**

size-fset-overloaded-def: $size\text{-}fset = FSet.size\text{-}fset\ (\lambda\cdot.\ 0)$

instance $\langle proof \rangle$

end

lemmas *size-fset-simps*[*simp*] =
size-fset-def[*THEN meta-eq-to-obj-eq, THEN fun-cong, THEN fun-cong,*
unfolded map-fun-def comp-def id-apply]

lemmas *size-fset-overloaded-simps*[*simp*] =
size-fset-simps[*of λ-. 0, unfolded add-0-left add-0-right,*
folded size-fset-overloaded-def]

lemma *fset-size-o-map*: *inj f* \implies *size-fset g* \circ *fimage f* = *size-fset (g* \circ *f)*
 ⟨*proof*⟩
including *fset.lifting* ⟨*proof*⟩

⟨*ML*⟩

lifting-update *fset.lifting*
lifting-forget *fset.lifting*

45.11 Advanced relator customization

lemma *rel-set-rel-sum*[*simp*]:
rel-set (rel-sum χ φ) A1 A2 \longleftrightarrow
rel-set χ (Inl -' A1) (Inl -' A2) ∧ rel-set φ (Inr -' A1) (Inr -' A2)
 (is ?L \longleftrightarrow ?Rl \wedge ?Rr)
 ⟨*proof*⟩

45.12 Quickcheck setup

Setup adapted from sets.

notation *Quickcheck-Exhaustive.orelse* (**infixr** *orelse* 55)

definition (**in** *term-syntax*) [*code-unfold*]:
valterm-femptyset = *Code-Evaluation.valtermify* ($\{\|\}$:: ('a :: *typerep*) *fset*)

definition (**in** *term-syntax*) [*code-unfold*]:
valtermify-finsert *x s* = *Code-Evaluation.valtermify* *finsert* $\{\cdot\}$ (*x* :: ('a :: *typerep*
 * -)) $\{\cdot\}$ *s*

instantiation *fset* :: (*exhaustive*) *exhaustive*
begin

fun *exhaustive-fset* **where**
exhaustive-fset f i = (*if i* = 0 *then None* *else (f* $\{\|\}$ *orelse* *exhaustive-fset* ($\lambda A. f A$
orelse *Quickcheck-Exhaustive.exhaustive* ($\lambda x. \text{if } x \in A \text{ then None else } f (finsert } x$
A)) (i - 1)) (i - 1)))

instance ⟨*proof*⟩

end

instantiation *fset* :: (*full-exhaustive*) *full-exhaustive*
begin

fun *full-exhaustive-fset* **where**
full-exhaustive-fset *f* *i* = (*if* *i* = 0 *then* *None* *else* (*f* *valterm-femptyset* *orelse*
full-exhaustive-fset ($\lambda A. f A$ *orelse* *Quickcheck-Exhaustive.full-exhaustive* ($\lambda x. \text{if}$
fst *x* $|\in|$ *fst* *A* *then* *None* *else* *f* (*valtermify-finsert* *x* *A*)) (*i* - 1)) (*i* - 1)))

instance $\langle \text{proof} \rangle$

end

no-notation *Quickcheck-Exhaustive.orelse* (**infixr** *orelse* 55)

notation *scomp* (**infixl** $\circ \rightarrow$ 60)

instantiation *fset* :: (*random*) *random*
begin

fun *random-aux-fset* :: *natural* \Rightarrow *natural* \Rightarrow *natural* \times *natural* \Rightarrow (*a* *fset* \times (*unit*
 \Rightarrow *term*)) \times *natural* \times *natural* **where**
random-aux-fset 0 *j* = *Quickcheck-Random.collapse* (*Random.select-weight* [(1,
Pair *valterm-femptyset*)] |
random-aux-fset (*Code-Numeral.Suc* *i*) *j* =
Quickcheck-Random.collapse (*Random.select-weight*
 [(1, *Pair* *valterm-femptyset*),
 (*Code-Numeral.Suc* *i*,
 Quickcheck-Random.random *j* $\circ \rightarrow$ ($\lambda x. \text{random-aux-fset}$ *i* *j* $\circ \rightarrow$ ($\lambda s. \text{Pair}$
 (*valtermify-finsert* *x* *s*)))))]])

lemma [*code*]:

random-aux-fset *i* *j* =
Quickcheck-Random.collapse (*Random.select-weight* [(1, *Pair* *valterm-femptyset*),
 (*i*, *Quickcheck-Random.random* *j* $\circ \rightarrow$ ($\lambda x. \text{random-aux-fset}$ (*i* - 1) *j* $\circ \rightarrow$ ($\lambda s. \text{Pair}$
 (*valtermify-finsert* *x* *s*)))))]])
 $\langle \text{proof} \rangle$

definition *random-fset* *i* = *random-aux-fset* *i* *i*

instance $\langle \text{proof} \rangle$

end

no-notation *scomp* (**infixl** $\circ \rightarrow$ 60)

end

46 Pi and Function Sets

theory *FuncSet*

imports *Hilbert-Choice Main*

begin

definition *Pi* :: 'a set \Rightarrow ('a \Rightarrow 'b set) \Rightarrow ('a \Rightarrow 'b) set
where *Pi* A B = {f. $\forall x. x \in A \longrightarrow f x \in B x$ }

definition *extensional* :: 'a set \Rightarrow ('a \Rightarrow 'b) set
where *extensional* A = {f. $\forall x. x \notin A \longrightarrow f x = \text{undefined}$ }

definition *restrict* :: ('a \Rightarrow 'b) \Rightarrow 'a set \Rightarrow 'a \Rightarrow 'b
where *restrict* f A = ($\lambda x. \text{if } x \in A \text{ then } f x \text{ else undefined}$)

abbreviation *funcset* :: 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) set (**infixr** \rightarrow 60)
where A \rightarrow B \equiv *Pi* A ($\lambda \cdot. B$)

syntax (*ASCII*)

-*Pi* :: *pttrn* \Rightarrow 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) set ((\exists PI -:./ -) 10)

-*lam* :: *pttrn* \Rightarrow 'a set \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) (($\exists\%$ -:./ -) [0,0,3] 3)

syntax

-*Pi* :: *pttrn* \Rightarrow 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) set ((\exists PI -:./ -) 10)

-*lam* :: *pttrn* \Rightarrow 'a set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) (($\exists\lambda$ -:./ -) [0,0,3] 3)

translations

$\Pi x \in A. B \equiv \text{CONST } \text{Pi } A (\lambda x. B)$

$\lambda x \in A. f \equiv \text{CONST } \text{restrict } (\lambda x. f) A$

definition *compose* :: ('a set \Rightarrow ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c)
where *compose* A g f = ($\lambda x \in A. g (f x)$)

46.1 Basic Properties of *Pi*

lemma *Pi-I[intro!]*: ($\bigwedge x. x \in A \Longrightarrow f x \in B x$) $\Longrightarrow f \in \text{Pi } A B$
 <proof>

lemma *Pi-I'[simp]*: ($\bigwedge x. x \in A \longrightarrow f x \in B x$) $\Longrightarrow f \in \text{Pi } A B$
 <proof>

lemma *funcsetI*: ($\bigwedge x. x \in A \Longrightarrow f x \in B$) $\Longrightarrow f \in A \rightarrow B$
 <proof>

lemma *Pi-mem*: $f \in \text{Pi } A B \Longrightarrow x \in A \Longrightarrow f x \in B x$
 <proof>

lemma *Pi-iff*: $f \in \text{Pi } I X \longleftrightarrow (\forall i \in I. f i \in X i)$
 <proof>

lemma *PiE [elim]*: $f \in \text{Pi } A B \Longrightarrow (f x \in B x \Longrightarrow Q) \Longrightarrow (x \notin A \Longrightarrow Q) \Longrightarrow Q$
 <proof>

lemma *Pi-cong*: $(\bigwedge w. w \in A \implies f w = g w) \implies f \in \text{Pi } A B \longleftrightarrow g \in \text{Pi } A B$
 ⟨proof⟩

lemma *funcset-id* [*simp*]: $(\lambda x. x) \in A \rightarrow A$
 ⟨proof⟩

lemma *funcset-mem*: $f \in A \rightarrow B \implies x \in A \implies f x \in B$
 ⟨proof⟩

lemma *funcset-image*: $f \in A \rightarrow B \implies f ' A \subseteq B$
 ⟨proof⟩

lemma *image-subset-iff-funcset*: $F ' A \subseteq B \longleftrightarrow F \in A \rightarrow B$
 ⟨proof⟩

lemma *Pi-eq-empty*[*simp*]: $(\prod x \in A. B x) = \{\} \longleftrightarrow (\exists x \in A. B x = \{\})$
 ⟨proof⟩

lemma *Pi-empty* [*simp*]: $\text{Pi } \{\} B = \text{UNIV}$
 ⟨proof⟩

lemma *Pi-Int*: $\text{Pi } I E \cap \text{Pi } I F = (\prod i \in I. E i \cap F i)$
 ⟨proof⟩

lemma *Pi-UN*:
 fixes $A :: \text{nat} \Rightarrow 'a \Rightarrow 'a \text{ set}$
 assumes *finite* I
 and *mono*: $\bigwedge i n m. i \in I \implies n \leq m \implies A n i \subseteq A m i$
 shows $(\bigcup n. \text{Pi } I (A n)) = (\prod i \in I. \bigcup n. A n i)$
 ⟨proof⟩

lemma *Pi-UNIV* [*simp*]: $A \rightarrow \text{UNIV} = \text{UNIV}$
 ⟨proof⟩

Covariance of Pi-sets in their second argument

lemma *Pi-mono*: $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies \text{Pi } A B \subseteq \text{Pi } A C$
 ⟨proof⟩

Contravariance of Pi-sets in their first argument

lemma *Pi-anti-mono*: $A' \subseteq A \implies \text{Pi } A B \subseteq \text{Pi } A' B$
 ⟨proof⟩

lemma *prod-final*:
 assumes $1: \text{fst} \circ f \in \text{Pi } A B$
 and $2: \text{snd} \circ f \in \text{Pi } A C$
 shows $f \in (\prod z \in A. B z \times C z)$
 ⟨proof⟩

lemma *Pi-split-domain[simp]*: $x \in \text{Pi } (I \cup J) X \longleftrightarrow x \in \text{Pi } I X \wedge x \in \text{Pi } J X$
 ⟨proof⟩

lemma *Pi-split-insert-domain[simp]*: $x \in \text{Pi } (\text{insert } i I) X \longleftrightarrow x \in \text{Pi } I X \wedge x i \in X$
 ⟨proof⟩

lemma *Pi-cancel-fupd-range[simp]*: $i \notin I \implies x \in \text{Pi } I (B(i := b)) \longleftrightarrow x \in \text{Pi } I B$
 ⟨proof⟩

lemma *Pi-cancel-fupd[simp]*: $i \notin I \implies x(i := a) \in \text{Pi } I B \longleftrightarrow x \in \text{Pi } I B$
 ⟨proof⟩

lemma *Pi-fupd-iff*: $i \in I \implies f \in \text{Pi } I (B(i := A)) \longleftrightarrow f \in \text{Pi } (I - \{i\}) B \wedge f i \in A$
 ⟨proof⟩

46.2 Composition With a Restricted Domain: *compose*

lemma *funcset-compose*: $f \in A \rightarrow B \implies g \in B \rightarrow C \implies \text{compose } A g f \in A \rightarrow C$
 ⟨proof⟩

lemma *compose-assoc*:

assumes $f \in A \rightarrow B$

and $g \in B \rightarrow C$

and $h \in C \rightarrow D$

shows $\text{compose } A h (\text{compose } A g f) = \text{compose } A (\text{compose } B h g) f$

⟨proof⟩

lemma *compose-eq*: $x \in A \implies \text{compose } A g f x = g (f x)$
 ⟨proof⟩

lemma *surj-compose*: $f \text{ ‘ } A = B \implies g \text{ ‘ } B = C \implies \text{compose } A g f \text{ ‘ } A = C$
 ⟨proof⟩

46.3 Bounded Abstraction: *restrict*

lemma *restrict-cong*: $I = J \implies (\bigwedge i. i \in J \implies f i = g i) \implies \text{restrict } f I = \text{restrict } g J$
 ⟨proof⟩

lemma *restrict-in-funcset*: $(\bigwedge x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in A \rightarrow B$
 ⟨proof⟩

lemma *restrictI[intro!]*: $(\bigwedge x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in \text{Pi } A B$
 ⟨proof⟩

lemma *restrict-apply[simp]*: $(\lambda y \in A. f y) x = (\text{if } x \in A \text{ then } f x \text{ else undefined})$

<proof>

lemma *restrict-apply'*: $x \in A \implies (\lambda y \in A. f y) x = f x$
<proof>

lemma *restrict-ext*: $(\bigwedge x. x \in A \implies f x = g x) \implies (\lambda x \in A. f x) = (\lambda x \in A. g x)$
<proof>

lemma *restrict-UNIV*: *restrict f UNIV = f*
<proof>

lemma *inj-on-restrict-eq [simp]*: *inj-on (restrict f A) A = inj-on f A*
<proof>

lemma *Id-compose*: $f \in A \rightarrow B \implies f \in \text{extensional } A \implies \text{compose } A (\lambda y \in B. y) f = f$
<proof>

lemma *compose-Id*: $g \in A \rightarrow B \implies g \in \text{extensional } A \implies \text{compose } A g (\lambda x \in A. x) = g$
<proof>

lemma *image-restrict-eq [simp]*: $(\text{restrict } f A) \text{ ` } A = f \text{ ` } A$
<proof>

lemma *restrict-restrict[simp]*: $\text{restrict } (\text{restrict } f A) B = \text{restrict } f (A \cap B)$
<proof>

lemma *restrict-fupd[simp]*: $i \notin I \implies \text{restrict } (f (i := x)) I = \text{restrict } f I$
<proof>

lemma *restrict-upd[simp]*: $i \notin I \implies (\text{restrict } f I)(i := y) = \text{restrict } (f(i := y)) (insert i I)$
<proof>

lemma *restrict-Pi-cancel*: $\text{restrict } x I \in \text{Pi } I A \iff x \in \text{Pi } I A$
<proof>

46.4 Bijections Between Sets

The definition of *bij-betw* is in *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

lemma *bij-betwI*:
assumes $f \in A \rightarrow B$
and $g \in B \rightarrow A$
and $g \circ f: \bigwedge x. x \in A \implies g (f x) = x$
and $f \circ g: \bigwedge y. y \in B \implies f (g y) = y$
shows *bij-betw f A B*
<proof>

lemma *bij-betw-imp-funcset*: $\text{bij-betw } f \ A \ B \implies f \in A \rightarrow B$
 ⟨proof⟩

lemma *inj-on-compose*: $\text{bij-betw } f \ A \ B \implies \text{inj-on } g \ B \implies \text{inj-on } (\text{compose } A \ g \ f) \ A$
 ⟨proof⟩

lemma *bij-betw-compose*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } g \ B \ C \implies \text{bij-betw } (\text{compose } A \ g \ f) \ A \ C$
 ⟨proof⟩

lemma *bij-betw-restrict-eq [simp]*: $\text{bij-betw } (\text{restrict } f \ A) \ A \ B = \text{bij-betw } f \ A \ B$
 ⟨proof⟩

46.5 Extensionality

lemma *extensional-empty[simp]*: $\text{extensional } \{\} = \{\lambda x. \text{undefined}\}$
 ⟨proof⟩

lemma *extensional-arb*: $f \in \text{extensional } A \implies x \notin A \implies f \ x = \text{undefined}$
 ⟨proof⟩

lemma *restrict-extensional [simp]*: $\text{restrict } f \ A \in \text{extensional } A$
 ⟨proof⟩

lemma *compose-extensional [simp]*: $\text{compose } A \ f \ g \in \text{extensional } A$
 ⟨proof⟩

lemma *extensionalityI*:
assumes $f \in \text{extensional } A$
 and $g \in \text{extensional } A$
 and $\bigwedge x. x \in A \implies f \ x = g \ x$
shows $f = g$
 ⟨proof⟩

lemma *extensional-restrict*: $f \in \text{extensional } A \implies \text{restrict } f \ A = f$
 ⟨proof⟩

lemma *extensional-subset*: $f \in \text{extensional } A \implies A \subseteq B \implies f \in \text{extensional } B$
 ⟨proof⟩

lemma *inv-into-funcset*: $f \ ' \ A = B \implies (\lambda x \in B. \text{inv-into } A \ f \ x) \in B \rightarrow A$
 ⟨proof⟩

lemma *compose-inv-into-id*: $\text{bij-betw } f \ A \ B \implies \text{compose } A \ (\lambda y \in B. \text{inv-into } A \ f \ y) \ f = (\lambda x \in A. x)$
 ⟨proof⟩

lemma *compose-id-inv-into*: $f \cdot A = B \implies \text{compose } B \ f \ (\lambda y \in B. \text{inv-into } A \ f \ y)$
 $= (\lambda x \in B. x)$
 ⟨proof⟩

lemma *extensional-insert*[*intro, simp*]:
assumes $a \in \text{extensional } (\text{insert } i \ I)$
shows $a(i := b) \in \text{extensional } (\text{insert } i \ I)$
 ⟨proof⟩

lemma *extensional-Int*[*simp*]: $\text{extensional } I \cap \text{extensional } I' = \text{extensional } (I \cap I')$
 ⟨proof⟩

lemma *extensional-UNIV*[*simp*]: $\text{extensional } UNIV = UNIV$
 ⟨proof⟩

lemma *restrict-extensional-sub*[*intro*]: $A \subseteq B \implies \text{restrict } f \ A \in \text{extensional } B$
 ⟨proof⟩

lemma *extensional-insert-undefined*[*intro, simp*]:
 $a \in \text{extensional } (\text{insert } i \ I) \implies a(i := \text{undefined}) \in \text{extensional } I$
 ⟨proof⟩

lemma *extensional-insert-cancel*[*intro, simp*]:
 $a \in \text{extensional } I \implies a \in \text{extensional } (\text{insert } i \ I)$
 ⟨proof⟩

46.6 Cardinality

lemma *card-inj*: $f \in A \rightarrow B \implies \text{inj-on } f \ A \implies \text{finite } B \implies \text{card } A \leq \text{card } B$
 ⟨proof⟩

lemma *card-bij*:
assumes $f \in A \rightarrow B \ \text{inj-on } f \ A$
and $g \in B \rightarrow A \ \text{inj-on } g \ B$
and $\text{finite } A \ \text{finite } B$
shows $\text{card } A = \text{card } B$
 ⟨proof⟩

46.7 Extensional Function Spaces

definition $PiE :: 'a \ \text{set} \Rightarrow ('a \Rightarrow 'b \ \text{set}) \Rightarrow ('a \Rightarrow 'b) \ \text{set}$
where $PiE \ S \ T = Pi \ S \ T \cap \text{extensional } S$

abbreviation $Pi_E \ A \ B \equiv PiE \ A \ B$

syntax (*ASCII*)

$-PiE :: \text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow ('a \Rightarrow 'b) \ \text{set} \ ((\exists PiE \ -:/ \ -) \ 10)$

syntax

$-PiE :: \text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow ('a \Rightarrow 'b) \ \text{set} \ ((\exists \Pi_E \ -\in \ -/ \ -) \ 10)$

translations

$$\Pi_E x \in A. B \equiv \text{CONST } \Pi_E A (\lambda x. B)$$

abbreviation *extensional-funcset* :: 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) set (**infixr** \rightarrow_E 60)

$$\text{where } A \rightarrow_E B \equiv (\Pi_E i \in A. B)$$

lemma *extensional-funcset-def*: *extensional-funcset* $S T = (S \rightarrow T) \cap \text{extensional } S$

<proof>

lemma *PiE-empty-domain[simp]*: $\Pi_E \{ \} T = \{ \lambda x. \text{undefined} \}$

<proof>

lemma *PiE-UNIV-domain*: $\Pi_E \text{UNIV } T = \Pi \text{UNIV } T$

<proof>

lemma *PiE-empty-range[simp]*: $i \in I \Rightarrow F i = \{ \} \Rightarrow (\Pi_E i \in I. F i) = \{ \}$

<proof>

lemma *PiE-eq-empty-iff*: $\Pi_E I F = \{ \} \longleftrightarrow (\exists i \in I. F i = \{ \})$

<proof>

lemma *PiE-arb*: $f \in \Pi_E S T \Rightarrow x \notin S \Rightarrow f x = \text{undefined}$

<proof>

lemma *PiE-mem*: $f \in \Pi_E S T \Rightarrow x \in S \Rightarrow f x \in T$

<proof>

lemma *PiE-fun-upd*: $y \in T x \Rightarrow f \in \Pi_E S T \Rightarrow f(x := y) \in \Pi_E (\text{insert } x S) T$

<proof>

lemma *fun-upd-in-PiE*: $x \notin S \Rightarrow f \in \Pi_E (\text{insert } x S) T \Rightarrow f(x := \text{undefined}) \in \Pi_E S T$

<proof>

lemma *PiE-insert-eq*: $\Pi_E (\text{insert } x S) T = (\lambda(y, g). g(x := y)) ' (T x \times \Pi_E S T)$

<proof>

lemma *PiE-Int*: $\Pi_E I A \cap \Pi_E I B = \Pi_E I (\lambda x. A x \cap B x)$

<proof>

lemma *PiE-cong*: $(\bigwedge i. i \in I \Rightarrow A i = B i) \Rightarrow \Pi_E I A = \Pi_E I B$

<proof>

lemma *PiE-E [elim]*:

assumes $f \in \Pi_E A B$

obtains $x \in A$ **and** $f x \in B$ x
 | $x \notin A$ **and** $f x = \text{undefined}$
 ⟨proof⟩

lemma *PiE-I[intro!]*:

$(\bigwedge x. x \in A \implies f x \in B) \implies (\bigwedge x. x \notin A \implies f x = \text{undefined}) \implies f \in \text{PiE } A B$
 ⟨proof⟩

lemma *PiE-mono*: $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies \text{PiE } A B \subseteq \text{PiE } A C$
 ⟨proof⟩

lemma *PiE-iff*: $f \in \text{PiE } I X \iff (\forall i \in I. f i \in X i) \wedge f \in \text{extensional } I$
 ⟨proof⟩

lemma *PiE-restrict[simp]*: $f \in \text{PiE } A B \implies \text{restrict } f A = f$
 ⟨proof⟩

lemma *restrict-PiE[simp]*: $\text{restrict } f I \in \text{PiE } I S \iff f \in \text{Pi } I S$
 ⟨proof⟩

lemma *PiE-eq-subset*:

assumes *ne*: $\bigwedge i. i \in I \implies F i \neq \{\}$ $\bigwedge i. i \in I \implies F' i \neq \{\}$
and *eq*: $\text{PiE } I F = \text{PiE } I F'$
and $i \in I$
shows $F i \subseteq F' i$
 ⟨proof⟩

lemma *PiE-eq-iff-not-empty*:

assumes *ne*: $\bigwedge i. i \in I \implies F i \neq \{\}$ $\bigwedge i. i \in I \implies F' i \neq \{\}$
shows $\text{PiE } I F = \text{PiE } I F' \iff (\forall i \in I. F i = F' i)$
 ⟨proof⟩

lemma *PiE-eq-iff*:

$\text{PiE } I F = \text{PiE } I F' \iff (\forall i \in I. F i = F' i) \vee ((\exists i \in I. F i = \{\}) \wedge (\exists i \in I. F' i = \{\}))$
 ⟨proof⟩

lemma *extensional-funcset-fun-upd-restricts-rangeI*:

$\forall y \in S. f x \neq f y \implies f \in (\text{insert } x S) \rightarrow_E T \implies f(x := \text{undefined}) \in S \rightarrow_E (T - \{f x\})$
 ⟨proof⟩

lemma *extensional-funcset-fun-upd-extends-rangeI*:

assumes $a \in T$ $f \in S \rightarrow_E (T - \{a\})$
shows $f(x := a) \in \text{insert } x S \rightarrow_E T$
 ⟨proof⟩

46.7.1 Injective Extensional Function Spaces

lemma *extensional-funcset-fun-upd-inj-onI*:

assumes $f \in S \rightarrow_E (T - \{a\})$

and *inj-on* $f S$

shows *inj-on* $(f(x := a)) S$

<proof>

lemma *extensional-funcset-extend-domain-inj-on-eq*:

assumes $x \notin S$

shows $\{f. f \in (\text{insert } x S) \rightarrow_E T \wedge \text{inj-on } f (\text{insert } x S)\} =$

$(\lambda(y, g). g(x:=y)) \cdot \{(y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge \text{inj-on } g S\}$

<proof>

lemma *extensional-funcset-extend-domain-inj-onI*:

assumes $x \notin S$

shows *inj-on* $(\lambda(y, g). g(x := y)) \{(y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge \text{inj-on } g S\}$

<proof>

46.7.2 Cardinality

lemma *finite-PiE*: $\text{finite } S \implies (\bigwedge i. i \in S \implies \text{finite } (T i)) \implies \text{finite } (\Pi_E i \in S. T i)$

<proof>

lemma *inj-combinator*: $x \notin S \implies \text{inj-on } (\lambda(y, g). g(x := y)) (T x \times \text{Pi}_E S T)$

<proof>

lemma *card-PiE*: $\text{finite } S \implies \text{card } (\Pi_E i \in S. T i) = (\prod_{i \in S}. \text{card } (T i))$

<proof>

end

47 Pointwise instantiation of functions to division

theory *Function-Division*

imports *Function-Algebras*

begin

47.1 Syntactic with division

instantiation *fun* :: $(\text{type}, \text{inverse}) \text{ inverse}$

begin

definition *inverse* $f = \text{inverse} \circ f$

definition *f div g* = $(\lambda x. f x / g x)$

instance *<proof>*

end

lemma *inverse-fun-apply* [*simp*]:
 $inverse\ f\ x = inverse\ (f\ x)$
 ⟨*proof*⟩

lemma *divide-fun-apply* [*simp*]:
 $(f\ /\ g)\ x = f\ x\ /\ g\ x$
 ⟨*proof*⟩

Unfortunately, we cannot lift this operations to algebraic type classes for division: being different from the constant zero function $f \neq (0::'a)$ is too weak as precondition. So we must introduce our own set of lemmas.

abbreviation *zero-free* :: $('b \Rightarrow 'a::field) \Rightarrow bool$ **where**
 $zero\text{-}free\ f \equiv \neg (\exists x. f\ x = 0)$

lemma *fun-left-inverse*:
fixes $f :: 'b \Rightarrow 'a::field$
shows $zero\text{-}free\ f \Longrightarrow inverse\ f * f = 1$
 ⟨*proof*⟩

lemma *fun-right-inverse*:
fixes $f :: 'b \Rightarrow 'a::field$
shows $zero\text{-}free\ f \Longrightarrow f * inverse\ f = 1$
 ⟨*proof*⟩

lemma *fun-divide-inverse*:
fixes $f\ g :: 'b \Rightarrow 'a::field$
shows $f\ / \ g = f * inverse\ g$
 ⟨*proof*⟩

Feel free to extend this.

Another possibility would be a reformulation of the division type classes to use a *zero-free* predicate rather than a direct $a \neq (0::'a)$ condition.

end

48 Preorders with explicit equivalence relation

theory *Preorder*
imports *Orderings*
begin

class *preorder-equiv* = *preorder*
begin

definition *equiv* :: $'a \Rightarrow 'a \Rightarrow bool$ **where**
 $equiv\ x\ y \longleftrightarrow x \leq y \wedge y \leq x$

notation

equiv (*op* \approx) **and**
equiv (*-/* \approx *-*) [51, 51] 50)

lemma *refl* [*iff*]:

$x \approx x$
 \langle *proof* \rangle

lemma *trans*:

$x \approx y \implies y \approx z \implies x \approx z$
 \langle *proof* \rangle

lemma *antisym*:

$x \leq y \implies y \leq x \implies x \approx y$
 \langle *proof* \rangle

lemma *less-le*: $x < y \longleftrightarrow x \leq y \wedge \neg x \approx y$

\langle *proof* \rangle

lemma *le-less*: $x \leq y \longleftrightarrow x < y \vee x \approx y$

\langle *proof* \rangle

lemma *le-imp-less-or-eq*: $x \leq y \implies x < y \vee x \approx y$

\langle *proof* \rangle

lemma *less-imp-not-eq*: $x < y \implies x \approx y \longleftrightarrow \text{False}$

\langle *proof* \rangle

lemma *less-imp-not-eq2*: $x < y \implies y \approx x \longleftrightarrow \text{False}$

\langle *proof* \rangle

lemma *neq-le-trans*: $\neg a \approx b \implies a \leq b \implies a < b$

\langle *proof* \rangle

lemma *le-neq-trans*: $a \leq b \implies \neg a \approx b \implies a < b$

\langle *proof* \rangle

lemma *antisym-conv*: $y \leq x \implies x \leq y \longleftrightarrow x \approx y$

\langle *proof* \rangle

end

end

49 Common discrete functions

theory *Discrete*

imports *Main*

begin

49.1 Discrete logarithm

context

begin

qualified fun *log* :: *nat* \Rightarrow *nat*

where [*simp del*]: *log* *n* = (if *n* < 2 then 0 else *Suc* (*log* (*n div* 2)))

lemma *log-induct* [*consumes 1, case-names one double*]:

fixes *n* :: *nat*

assumes *n* > 0

assumes *one*: *P* 1

assumes *double*: $\bigwedge n. n \geq 2 \implies P (n \text{ div } 2) \implies P n$

shows *P* *n*

<proof>

lemma *log-zero* [*simp*]: *log* 0 = 0

<proof>

lemma *log-one* [*simp*]: *log* 1 = 0

<proof>

lemma *log-Suc-zero* [*simp*]: *log* (*Suc* 0) = 0

<proof>

lemma *log-rec*: $n \geq 2 \implies \text{log } n = \text{Suc } (\text{log } (n \text{ div } 2))$

<proof>

lemma *log-twice* [*simp*]: $n \neq 0 \implies \text{log } (2 * n) = \text{Suc } (\text{log } n)$

<proof>

lemma *log-half* [*simp*]: $\text{log } (n \text{ div } 2) = \text{log } n - 1$

<proof>

lemma *log-exp* [*simp*]: $\text{log } (2 \wedge n) = n$

<proof>

lemma *log-mono*: *mono* *log*

<proof>

lemma *log-exp2-le*:

assumes *n* > 0

shows $2 \wedge \text{log } n \leq n$

<proof>

49.2 Discrete square root

qualified definition *sqrt* :: *nat* \Rightarrow *nat*

where $\text{sqrt } n = \text{Max } \{m. m^2 \leq n\}$

lemma *sqrt-aux*:

fixes $n :: \text{nat}$

shows $\text{finite } \{m. m^2 \leq n\}$ **and** $\{m. m^2 \leq n\} \neq \{\}$

<proof>

lemma [*code*]: $\text{sqrt } n = \text{Max } (\text{Set.filter } (\lambda m. m^2 \leq n) \{0..n\})$

<proof>

lemma *sqrt-inverse-power2* [*simp*]: $\text{sqrt } (n^2) = n$

<proof>

lemma *sqrt-zero* [*simp*]: $\text{sqrt } 0 = 0$

<proof>

lemma *sqrt-one* [*simp*]: $\text{sqrt } 1 = 1$

<proof>

lemma *mono-sqrt*: *mono sqrt*

<proof>

lemma *sqrt-greater-zero-iff* [*simp*]: $\text{sqrt } n > 0 \longleftrightarrow n > 0$

<proof>

lemma *sqrt-power2-le* [*simp*]: $(\text{sqrt } n)^2 \leq n$

<proof>

lemma *sqrt-le*: $\text{sqrt } n \leq n$

<proof>

end

end

50 Comparing growth of functions on natural numbers by a preorder relation

theory *Function-Growth*

imports *Main Preorder Discrete*

begin

context *linorder*

begin

lemma *mono-invE*:

```

fixes f :: 'a ⇒ 'b::order
assumes mono f
assumes f x < f y
obtains x < y
⟨proof⟩

```

end

```

lemma (in semidom-divide) power-diff:
  fixes a :: 'a
  assumes a ≠ 0
  assumes m ≥ n
  shows a ^ (m - n) = (a ^ m) div (a ^ n)
⟨proof⟩

```

50.1 Motivation

When comparing growth of functions in computer science, it is common to adhere on Landau Symbols (“O-Notation”). However these come at the cost of notational oddities, particularly writing $f = O(g)$ for $f \in O(g)$ etc.

Here we suggest a different way, following Hardy (G. H. Hardy and J. E. Littlewood, Some problems of Diophantine approximation, Acta Mathematica 37 (1914), p. 225). We establish a quasi order relation \lesssim on functions such that $f \lesssim g \iff f \in O(g)$. From a didactic point of view, this does not only avoid the notational oddities mentioned above but also emphasizes the key insight of a growth hierarchy of functions: $(\lambda n. 0) \lesssim (\lambda n. k) \lesssim \text{Discrete.log} \lesssim \text{Discrete.sqrt} \lesssim \text{id} \lesssim \dots$

50.2 Model

Our growth functions are of type $\mathbb{N} \Rightarrow \mathbb{N}$. This is different to the usual conventions for Landau symbols for which $\mathbb{R} \Rightarrow \mathbb{R}$ would be appropriate, but we argue that $\mathbb{R} \Rightarrow \mathbb{R}$ is more appropriate for analysis, whereas our setting is discrete.

Note that we also restrict the additional coefficients to \mathbb{N} , something we discuss at the particular definitions.

50.3 The \lesssim relation

```

definition less-eq-fun :: (nat ⇒ nat) ⇒ (nat ⇒ nat) ⇒ bool (infix  $\lesssim$  50)
where

```

```

  f  $\lesssim$  g  $\iff$  (∃ c>0. ∃ n. ∀ m>n. f m ≤ c * g m)

```

This yields $f \lesssim g \iff f \in O(g)$. Note that c is restricted to \mathbb{N} . This does not pose any problems since if $f \in O(g)$ holds for a $c \in \mathbb{R}$, it also holds for $\lceil c \rceil \in \mathbb{N}$ by transitivity.

```

lemma less-eq-funI [intro?]:

```

assumes $\exists c > 0. \exists n. \forall m > n. f m \leq c * g m$
shows $f \lesssim g$
 ⟨proof⟩

lemma *not-less-eq-funI*:
assumes $\bigwedge c n. c > 0 \implies \exists m > n. c * g m < f m$
shows $\neg f \lesssim g$
 ⟨proof⟩

lemma *less-eq-funE* [*elim?*]:
assumes $f \lesssim g$
obtains $n c$ **where** $c > 0$ **and** $\bigwedge m. m > n \implies f m \leq c * g m$
 ⟨proof⟩

lemma *not-less-eq-funE*:
assumes $\neg f \lesssim g$ **and** $c > 0$
obtains m **where** $m > n$ **and** $c * g m < f m$
 ⟨proof⟩

50.4 The \approx relation, the equivalence relation induced by \lesssim

definition *equiv-fun* :: $(nat \Rightarrow nat) \Rightarrow (nat \Rightarrow nat) \Rightarrow bool$ (**infix** $\cong 50$)

where

$f \cong g \longleftrightarrow$
 $(\exists c_1 > 0. \exists c_2 > 0. \exists n. \forall m > n. f m \leq c_1 * g m \wedge g m \leq c_2 * f m)$

This yields $f \cong g \longleftrightarrow f \in \Theta(g)$. Concerning c_1 and c_2 restricted to nat , see note above on (\lesssim) .

lemma *equiv-funI*:
assumes $\exists c_1 > 0. \exists c_2 > 0. \exists n. \forall m > n. f m \leq c_1 * g m \wedge g m \leq c_2 * f m$
shows $f \cong g$
 ⟨proof⟩

lemma *not-equiv-funI*:
assumes $\bigwedge c_1 c_2 n. c_1 > 0 \implies c_2 > 0 \implies$
 $\exists m > n. c_1 * f m < g m \vee c_2 * g m < f m$
shows $\neg f \cong g$
 ⟨proof⟩

lemma *equiv-funE*:
assumes $f \cong g$
obtains $n c_1 c_2$ **where** $c_1 > 0$ **and** $c_2 > 0$
and $\bigwedge m. m > n \implies f m \leq c_1 * g m \wedge g m \leq c_2 * f m$
 ⟨proof⟩

lemma *not-equiv-funE*:
fixes $n c_1 c_2$
assumes $\neg f \cong g$ **and** $c_1 > 0$ **and** $c_2 > 0$
obtains m **where** $m > n$

and $c_1 * f m < g m \vee c_2 * g m < f m$
 ⟨proof⟩

50.5 The \prec relation, the strict part of \lesssim

definition *less-fun* :: $(nat \Rightarrow nat) \Rightarrow (nat \Rightarrow nat) \Rightarrow bool$ (**infix** \prec 50)
where

$f \prec g \iff f \lesssim g \wedge \neg g \lesssim f$

lemma *less-funI*:

assumes $\exists c > 0. \exists n. \forall m > n. f m \leq c * g m$
and $\bigwedge c n. c > 0 \implies \exists m > n. c * f m < g m$
shows $f \prec g$
 ⟨proof⟩

lemma *not-less-funI*:

assumes $\bigwedge c n. c > 0 \implies \exists m > n. c * g m < f m$
and $\exists c > 0. \exists n. \forall m > n. g m \leq c * f m$
shows $\neg f \prec g$
 ⟨proof⟩

lemma *less-funE* [*elim?*]:

assumes $f \prec g$
obtains $n c$ **where** $c > 0$ **and** $\bigwedge m. m > n \implies f m \leq c * g m$
and $\bigwedge c n. c > 0 \implies \exists m > n. c * f m < g m$
 ⟨proof⟩

lemma *not-less-funE*:

assumes $\neg f \prec g$ **and** $c > 0$
obtains m **where** $m > n$ **and** $c * g m < f m$
 | $d q$ **where** $\bigwedge m. d > 0 \implies m > q \implies g q \leq d * f q$
 ⟨proof⟩

I did not find a proof for $f \prec g \iff f \in o(g)$. Maybe this only holds if f and/or g are of a certain class of functions. However $f \in o(g) \longrightarrow f \prec g$ is provable, and this yields a handy introduction rule.

Note that D. Knuth ignores o altogether. So what ...

Something still has to be said about the coefficient c in the definition of (\prec) . In the typical definition of o , it occurs on the *right* hand side of the $(>)$. The reason is that the situation is dual to the definition of O : the definition works since c may become arbitrary small. Since this is not possible within \mathbb{N} , we push the coefficient to the left hand side instead such that it may become arbitrary big instead.

lemma *less-fun-strongI*:

assumes $\bigwedge c. c > 0 \implies \exists n. \forall m > n. c * f m < g m$
shows $f \prec g$
 ⟨proof⟩

50.6 \lesssim is a preorder

This yields all lemmas relating \lesssim , \prec and \cong .

interpretation *fun-order*: *preorder-equiv less-eq-fun less-fun*
rewrites *fun-order.equiv* = *equiv-fun*
 ⟨*proof*⟩

declare *fun-order.antisym* [*intro?*]

50.7 Simple examples

Most of these are left as constructive exercises for the reader. Note that additional preconditions to the functions may be necessary. The list here is by no means to be intended as complete construction set for typical functions, here surely something has to be added yet.

$$(\lambda n. f n + k) \cong f$$

lemma *equiv-fun-mono-const*:
assumes *mono f* **and** $\exists n. f n > 0$
shows $(\lambda n. f n + k) \cong f$
 ⟨*proof*⟩

lemma
assumes *strict-mono f*
shows $(\lambda n. f n + k) \cong f$
 ⟨*proof*⟩

lemma
 $(\lambda n. Suc k * f n) \cong f$
 ⟨*proof*⟩

lemma
 $f \lesssim (\lambda n. f n + g n)$
 ⟨*proof*⟩

lemma
 $(\lambda-. 0) \prec (\lambda n. Suc k)$
 ⟨*proof*⟩

lemma
 $(\lambda-. k) \prec Discrete.log$
 ⟨*proof*⟩

$$Discrete.log \prec Discrete.sqrt$$

lemma
 $Discrete.sqrt \prec id$
 ⟨*proof*⟩

lemma

$id \prec (\lambda n. n^2)$
 $\langle proof \rangle$

lemma

$(\lambda n. n \wedge k) \prec (\lambda n. n \wedge Suc\ k)$
 $\langle proof \rangle$

$(\lambda n. n^k) \prec op \wedge 2$

end

51 Fundamental Theorem of Algebra

theory *Fundamental-Theorem-Algebra*

imports *Polynomial Complex-Main*

begin

51.1 More lemmas about module of complex numbers

The triangle inequality for cmod

lemma *complex-mod-triangle-sub*: $cmod\ w \leq cmod\ (w + z) + norm\ z$
 $\langle proof \rangle$

51.2 Basic lemmas about polynomials

lemma *poly-bound-exists*:

fixes $p :: 'a::\{comm-semiring-0, real-normed-div-algebra\}$ *poly*

shows $\exists m. m > 0 \wedge (\forall z. norm\ z \leq r \longrightarrow norm\ (poly\ p\ z) \leq m)$

$\langle proof \rangle$

Offsetting the variable in a polynomial gives another of same degree

definition *offset-poly* :: $'a::comm-semiring-0$ *poly* $\Rightarrow 'a \Rightarrow 'a$ *poly*

where *offset-poly* $p\ h = fold-coeffs\ (\lambda a\ q. smult\ h\ q + pCons\ a\ q)\ p\ 0$

lemma *offset-poly-0*: *offset-poly* $0\ h = 0$

$\langle proof \rangle$

lemma *offset-poly-pCons*:

offset-poly $(pCons\ a\ p)\ h =$

$smult\ h\ (offset-poly\ p\ h) + pCons\ a\ (offset-poly\ p\ h)$

$\langle proof \rangle$

lemma *offset-poly-single*: *offset-poly* $[:a:] h = [:a:]$

$\langle proof \rangle$

lemma *poly-offset-poly*: *poly* $(offset-poly\ p\ h)\ x = poly\ p\ (h + x)$

$\langle proof \rangle$

lemma *offset-poly-eq-0-lemma*: $smult\ c\ p + pCons\ a\ p = 0 \implies p = 0$

<proof>

lemma *offset-poly-eq-0-iff*: *offset-poly* p $h = 0 \longleftrightarrow p = 0$
<proof>

lemma *degree-offset-poly*: *degree* (*offset-poly* p h) = *degree* p
<proof>

definition *psize* $p =$ (if $p = 0$ then 0 else *Suc* (*degree* p))

lemma *psize-eq-0-iff [simp]*: *psize* $p = 0 \longleftrightarrow p = 0$
<proof>

lemma *poly-offset*:

fixes $p :: 'a::comm-ring-1$ *poly*

shows $\exists q. \textit{psize } q = \textit{psize } p \wedge (\forall x. \textit{poly } q \ x = \textit{poly } p \ (a + x))$

<proof>

An alternative useful formulation of completeness of the reals

lemma *real-sup-exists*:

assumes $ex: \exists x. P \ x$

and $bz: \exists z. \forall x. P \ x \longrightarrow x < z$

shows $\exists s::\textit{real}. \forall y. (\exists x. P \ x \wedge y < x) \longleftrightarrow y < s$

<proof>

51.3 Fundamental theorem of algebra

lemma *unimodular-reduce-norm*:

assumes $md: \textit{cmod } z = 1$

shows $\textit{cmod } (z + 1) < 1 \vee \textit{cmod } (z - 1) < 1 \vee \textit{cmod } (z + ii) < 1 \vee \textit{cmod } (z - ii) < 1$

<proof>

Hence we can always reduce modulus of $1 + b z^n$ if nonzero

lemma *reduce-poly-simple*:

assumes $b: b \neq 0$

and $n: n \neq 0$

shows $\exists z. \textit{cmod } (1 + b * z^n) < 1$

<proof>

Bolzano-Weierstrass type property for closed disc in complex plane.

lemma *metric-bound-lemma*: $\textit{cmod } (x - y) \leq |\textit{Re } x - \textit{Re } y| + |\textit{Im } x - \textit{Im } y|$

<proof>

lemma *bolzano-weierstrass-complex-disc*:

assumes $r: \forall n. \textit{cmod } (s \ n) \leq r$

shows $\exists f \ z. \textit{subseq } f \wedge (\forall e > 0. \exists N. \forall n \geq N. \textit{cmod } (s \ (f \ n) - z) < e)$

<proof>

Polynomial is continuous.

lemma *poly-cont*:

fixes $p :: 'a::\{\text{comm-semiring-0,real-normed-div-algebra}\}$ *poly*
assumes $ep: e > 0$
shows $\exists d > 0. \forall w. 0 < \text{norm } (w - z) \wedge \text{norm } (w - z) < d \longrightarrow \text{norm } (poly\ p\ w - poly\ p\ z) < e$
<proof>

Hence a polynomial attains minimum on a closed disc in the complex plane.

lemma *poly-minimum-modulus-disc*: $\exists z. \forall w. \text{cmod } w \leq r \longrightarrow \text{cmod } (poly\ p\ z) \leq \text{cmod } (poly\ p\ w)$
<proof>

Nonzero polynomial in z goes to infinity as z does.

lemma *poly-infinity*:

fixes $p:: 'a::\{\text{comm-semiring-0,real-normed-div-algebra}\}$ *poly*
assumes $ex: p \neq 0$
shows $\exists r. \forall z. r \leq \text{norm } z \longrightarrow d \leq \text{norm } (poly\ (pCons\ a\ p)\ z)$
<proof>

Hence polynomial’s modulus attains its minimum somewhere.

lemma *poly-minimum-modulus*: $\exists z. \forall w. \text{cmod } (poly\ p\ z) \leq \text{cmod } (poly\ p\ w)$
<proof>

Constant function (non-syntactic characterization).

definition *constant* $f \longleftrightarrow (\forall x\ y. f\ x = f\ y)$

lemma *nonconstant-length*: $\neg \text{constant } (poly\ p) \implies \text{psize } p \geq 2$
<proof>

lemma *poly-replicate-append*: $poly\ (\text{monom } 1\ n * p)\ (x::'a::\text{comm-ring-1}) = x^{\wedge n} * poly\ p\ x$
<proof>

Decomposition of polynomial, skipping zero coefficients after the first.

lemma *poly-decompose-lemma*:

assumes $nz: \neg (\forall z. z \neq 0 \longrightarrow poly\ p\ z = (0::'a::\text{idom}))$
shows $\exists k\ a\ q. a \neq 0 \wedge Suc\ (\text{psize } q + k) = \text{psize } p \wedge (\forall z. poly\ p\ z = z^{\wedge k} * poly\ (pCons\ a\ q)\ z)$
<proof>

lemma *poly-decompose*:

assumes $nc: \neg \text{constant } (poly\ p)$
shows $\exists k\ a\ q. a \neq (0::'a::\text{idom}) \wedge k \neq 0 \wedge$
 $\text{psize } q + k + 1 = \text{psize } p \wedge$
 $(\forall z. poly\ p\ z = poly\ p\ 0 + z^{\wedge k} * poly\ (pCons\ a\ q)\ z)$
<proof>

Fundamental theorem of algebra

lemma *fundamental-theorem-of-algebra:*

assumes *nc*: \neg *constant* (*poly p*)

shows $\exists z::\text{complex. } \text{poly } p \ z = 0$

<proof>

Alternative version with a syntactic notion of constant polynomial.

lemma *fundamental-theorem-of-algebra-alt:*

assumes *nc*: $\neg (\exists a \ l. \ a \neq 0 \wedge l = 0 \wedge p = p\text{Cons } a \ l)$

shows $\exists z. \ \text{poly } p \ z = (0::\text{complex})$

<proof>

51.4 Nullstellensatz, degrees and divisibility of polynomials

lemma *nullstellensatz-lemma:*

fixes *p* :: *complex poly*

assumes $\forall x. \ \text{poly } p \ x = 0 \longrightarrow \text{poly } q \ x = 0$

and *degree p = n*

and *n ≠ 0*

shows *p dvd (q ^ n)*

<proof>

lemma *nullstellensatz-univariate:*

$(\forall x. \ \text{poly } p \ x = (0::\text{complex}) \longrightarrow \text{poly } q \ x = 0) \longleftrightarrow$

p dvd (q ^ (degree p)) $\vee (p = 0 \wedge q = 0)$

<proof>

Useful lemma

lemma *constant-degree:*

fixes *p* :: '*a*::{*idom,ring-char-0*} *poly*

shows *constant (poly p)* \longleftrightarrow *degree p = 0* (**is** *?lhs = ?rhs*)

<proof>

Arithmetic operations on multivariate polynomials.

lemma *mpoly-base-conv:*

fixes *x* :: '*a*::*comm-ring-1*

shows $0 = \text{poly } 0 \ x \ c = \text{poly } [:c:] \ x \ x = \text{poly } [:0,1:] \ x$

<proof>

lemma *mpoly-norm-conv:*

fixes *x* :: '*a*::*comm-ring-1*

shows $\text{poly } [:0:] \ x = \text{poly } 0 \ x \ \text{poly } [: \text{poly } 0 \ y:] \ x = \text{poly } 0 \ x$

<proof>

lemma *mpoly-sub-conv:*

fixes *x* :: '*a*::*comm-ring-1*

shows $\text{poly } p \ x - \text{poly } q \ x = \text{poly } p \ x + -1 * \text{poly } q \ x$

<proof>

lemma *poly-pad-rule:* $\text{poly } p \ x = 0 \implies \text{poly } (p\text{Cons } 0 \ p) \ x = 0$

$\langle proof \rangle$

lemma *poly-cancel-eq-conv*:

fixes $x :: 'a::field$

shows $x = 0 \implies a \neq 0 \implies y = 0 \iff a * y - b * x = 0$

$\langle proof \rangle$

lemma *poly-divides-pad-rule*:

fixes $p:: ('a::comm-ring-1) poly$

assumes $pq: p \text{ dvd } q$

shows $p \text{ dvd } (pCons\ 0\ q)$

$\langle proof \rangle$

lemma *poly-divides-conv0*:

fixes $p:: 'a::field poly$

assumes $lqpq: degree\ q < degree\ p$

and $lq: p \neq 0$

shows $p \text{ dvd } q \iff q = 0$ (**is** $?lhs \iff ?rhs$)

$\langle proof \rangle$

lemma *poly-divides-conv1*:

fixes $p :: 'a::field poly$

assumes $a0: a \neq 0$

and $pp': p \text{ dvd } p'$

and $grp': smult\ a\ q - p' = r$

shows $p \text{ dvd } q \iff p \text{ dvd } r$ (**is** $?lhs \iff ?rhs$)

$\langle proof \rangle$

lemma *basic-cqe-conv1*:

$(\exists x. poly\ p\ x = 0 \wedge poly\ 0\ x \neq 0) \iff False$

$(\exists x. poly\ 0\ x \neq 0) \iff False$

$(\exists x. poly\ [:c:]\ x \neq 0) \iff c \neq 0$

$(\exists x. poly\ 0\ x = 0) \iff True$

$(\exists x. poly\ [:c:]\ x = 0) \iff c = 0$

$\langle proof \rangle$

lemma *basic-cqe-conv2*:

assumes $l: p \neq 0$

shows $\exists x. poly\ (pCons\ a\ (pCons\ b\ p))\ x = (0::complex)$

$\langle proof \rangle$

lemma *basic-cqe-conv-2b*: $(\exists x. poly\ p\ x \neq (0::complex)) \iff p \neq 0$

$\langle proof \rangle$

lemma *basic-cqe-conv3*:

fixes $p\ q :: complex poly$

assumes $l: p \neq 0$

shows $(\exists x. poly\ (pCons\ a\ p)\ x = 0 \wedge poly\ q\ x \neq 0) \iff \neg (pCons\ a\ p) \text{ dvd } (q \wedge psize\ p)$

<proof>

lemma *basic-cqe-conv4*:

fixes $p\ q :: \text{complex poly}$

assumes $h: \bigwedge x. \text{poly } (q \wedge n) x = \text{poly } r x$

shows $p \text{ dvd } (q \wedge n) \longleftrightarrow p \text{ dvd } r$

<proof>

lemma *poly-const-conv*:

fixes $x :: 'a::\text{comm-ring-1}$

shows $\text{poly } [:c:] x = y \longleftrightarrow c = y$

<proof>

end

52 Lexical order on functions

theory *Fun-Lexorder*

imports *Main*

begin

definition *less-fun* :: $('a::\text{linorder} \Rightarrow 'b::\text{linorder}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

where

$\text{less-fun } f\ g \longleftrightarrow (\exists k. f\ k < g\ k \wedge (\forall k' < k. f\ k' = g\ k'))$

lemma *less-funI*:

assumes $\exists k. f\ k < g\ k \wedge (\forall k' < k. f\ k' = g\ k')$

shows $\text{less-fun } f\ g$

<proof>

lemma *less-funE*:

assumes $\text{less-fun } f\ g$

obtains k **where** $f\ k < g\ k$ **and** $\bigwedge k'. k' < k \implies f\ k' = g\ k'$

<proof>

lemma *less-fun-asy*:

assumes $\text{less-fun } f\ g$

shows $\neg \text{less-fun } g\ f$

<proof>

lemma *less-fun-irrefl*:

$\neg \text{less-fun } f\ f$

<proof>

lemma *less-fun-trans*:

assumes $\text{less-fun } f\ g$ **and** $\text{less-fun } g\ h$

shows $\text{less-fun } f\ h$

<proof>

lemma *order-less-fun*:
class.order ($\lambda f g. \text{less-fun } f g \vee f = g$) *less-fun*
 ⟨*proof*⟩

lemma *less-fun-trichotomy*:
assumes *finite* $\{k. f k \neq g k\}$
shows *less-fun* $f g \vee f = g \vee \text{less-fun } g f$
 ⟨*proof*⟩

end

53 Big sum and product over function bodies

theory *Groups-Big-Fun*

imports

Main

begin

53.1 Abstract product

no-notation *times* (**infixl** * 70)

no-notation *Groups.one* (1)

locale *comm-monoid-fun* = *comm-monoid*

begin

definition $G :: ('b \Rightarrow 'a) \Rightarrow 'a$

where

expand-set: $G g = \text{comm-monoid-set.F } f 1 g \{a. g a \neq 1\}$

interpretation $F: \text{comm-monoid-set } f 1$

⟨*proof*⟩

lemma *expand-superset*:

assumes *finite* A **and** $\{a. g a \neq 1\} \subseteq A$

shows $G g = F.F g A$

⟨*proof*⟩

lemma *conditionalize*:

assumes *finite* A

shows $F.F g A = G (\lambda a. \text{if } a \in A \text{ then } g a \text{ else } 1)$

⟨*proof*⟩

lemma *neutral* [*simp*]:

$G (\lambda a. 1) = 1$

⟨*proof*⟩

lemma *update* [*simp*]:

assumes *finite* $\{a. g a \neq 1\}$

assumes $g\ a = 1$
shows $G\ (g(a := b)) = b * G\ g$
 ⟨proof⟩

lemma *infinite [simp]*:
 $\neg\ \text{finite}\ \{a.\ g\ a \neq 1\} \implies G\ g = 1$
 ⟨proof⟩

lemma *cong*:
assumes $\bigwedge a.\ g\ a = h\ a$
shows $G\ g = G\ h$
 ⟨proof⟩

lemma *strong-cong [cong]*:
assumes $\bigwedge a.\ g\ a = h\ a$
shows $G\ (\lambda a.\ g\ a) = G\ (\lambda a.\ h\ a)$
 ⟨proof⟩

lemma *not-neutral-obtains-not-neutral*:
assumes $G\ g \neq 1$
obtains a **where** $g\ a \neq 1$
 ⟨proof⟩

lemma *reindex-cong*:
assumes *bij* l
assumes $g \circ l = h$
shows $G\ g = G\ h$
 ⟨proof⟩

lemma *distrib*:
assumes *finite* $\{a.\ g\ a \neq 1\}$ **and** *finite* $\{a.\ h\ a \neq 1\}$
shows $G\ (\lambda a.\ g\ a * h\ a) = G\ g * G\ h$
 ⟨proof⟩

lemma *commute*:
assumes *finite* C
assumes *subset*: $\{a.\ \exists b.\ g\ a\ b \neq 1\} \times \{b.\ \exists a.\ g\ a\ b \neq 1\} \subseteq C$ (**is** $?A \times ?B \subseteq C$)
shows $G\ (\lambda a.\ G\ (g\ a)) = G\ (\lambda b.\ G\ (\lambda a.\ g\ a\ b))$
 ⟨proof⟩

lemma *cartesian-product*:
assumes *finite* C
assumes *subset*: $\{a.\ \exists b.\ g\ a\ b \neq 1\} \times \{b.\ \exists a.\ g\ a\ b \neq 1\} \subseteq C$ (**is** $?A \times ?B \subseteq C$)
shows $G\ (\lambda a.\ G\ (g\ a)) = G\ (\lambda(a, b).\ g\ a\ b)$
 ⟨proof⟩

lemma *cartesian-product2*:

assumes *fin*: *finite D*
assumes *subset*: $\{(a, b). \exists c. g\ a\ b\ c \neq 1\} \times \{c. \exists a\ b. g\ a\ b\ c \neq 1\} \subseteq D$ (is
 $?AB \times ?C \subseteq D$)
shows $G\ (\lambda(a, b). G\ (g\ a\ b)) = G\ (\lambda(a, b, c). g\ a\ b\ c)$
 $\langle proof \rangle$

lemma *delta* [*simp*]:
 $G\ (\lambda b. \text{if } b = a \text{ then } g\ b \text{ else } 1) = g\ a$
 $\langle proof \rangle$

lemma *delta'* [*simp*]:
 $G\ (\lambda b. \text{if } a = b \text{ then } g\ b \text{ else } 1) = g\ a$
 $\langle proof \rangle$

end

notation *times* (**infixl** * 70)
notation *Groups.one* (1)

53.2 Concrete sum

context *comm-monoid-add*
begin

sublocale *Sum-any*: *comm-monoid-fun plus 0*
defines

$Sum\text{-any} = Sum\text{-any}.G$

rewrites

$comm\text{-monoid}\text{-set}.F\ plus\ 0 = setsum$

$\langle proof \rangle$

end

syntax (*ASCII*)

$-Sum\text{-any} :: p\text{trn} \Rightarrow 'a \Rightarrow 'a :: comm\text{-monoid}\text{-add} \quad ((\mathcal{S}UM\ -. \ -) [0, 10] 10)$

syntax

$-Sum\text{-any} :: p\text{trn} \Rightarrow 'a \Rightarrow 'a :: comm\text{-monoid}\text{-add} \quad ((\mathcal{S}\sum\ -. \ -) [0, 10] 10)$

translations

$\sum a. b \Rightarrow CONST\ Sum\text{-any}\ (\lambda a. b)$

lemma *Sum-any-left-distrib*:

fixes $r :: 'a :: semiring\text{-}0$

assumes *finite* $\{a. g\ a \neq 0\}$

shows $Sum\text{-any}\ g * r = (\sum n. g\ n * r)$

$\langle proof \rangle$

lemma *Sum-any-right-distrib*:

fixes $r :: 'a :: semiring\text{-}0$

assumes *finite* $\{a. g\ a \neq 0\}$

shows $r * \text{Sum-any } g = (\sum n. r * g n)$
 ⟨proof⟩

lemma *Sum-any-product*:

fixes $f g :: 'b \Rightarrow 'a :: \text{semiring-0}$
assumes $\text{finite } \{a. f a \neq 0\}$ **and** $\text{finite } \{b. g b \neq 0\}$
shows $\text{Sum-any } f * \text{Sum-any } g = (\sum a. \sum b. f a * g b)$
 ⟨proof⟩

lemma *Sum-any-eq-zero-iff* [simp]:

fixes $f :: 'a \Rightarrow \text{nat}$
assumes $\text{finite } \{a. f a \neq 0\}$
shows $\text{Sum-any } f = 0 \longleftrightarrow f = (\lambda-. 0)$
 ⟨proof⟩

53.3 Concrete product

context *comm-monoid-mult*

begin

sublocale *Prod-any: comm-monoid-fun times 1*

defines

$\text{Prod-any} = \text{Prod-any}.G$

rewrites

$\text{comm-monoid-set}.F \text{ times } 1 = \text{setprod}$

⟨proof⟩

end

syntax (ASCII)

$\text{-Prod-any} :: \text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult} \ ((\exists \text{PROD } -.) [0, 10] 10)$

syntax

$\text{-Prod-any} :: \text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult} \ ((\exists \prod -.) [0, 10] 10)$

translations

$\prod a. b == \text{CONST } \text{Prod-any} (\lambda a. b)$

lemma *Prod-any-zero*:

fixes $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$
assumes $\text{finite } \{a. f a \neq 1\}$
assumes $f a = 0$
shows $(\prod a. f a) = 0$
 ⟨proof⟩

lemma *Prod-any-not-zero*:

fixes $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$
assumes $\text{finite } \{a. f a \neq 1\}$
assumes $(\prod a. f a) \neq 0$
shows $f a \neq 0$
 ⟨proof⟩

```

lemma power-Sum-any:
  assumes finite {a. f a ≠ 0}
  shows  $c \wedge (\sum a. f a) = (\prod a. c \wedge f a)$ 
  ⟨proof⟩

end

```

54 Immutable Arrays with Code Generation

```

theory IArray
imports Main
begin

```

Immutable arrays are lists wrapped up in an additional constructor. There are no update operations. Hence code generation can safely implement this type by efficient target language arrays. Currently only SML is provided. Should be extended to other target languages and more operations.

Note that arrays cannot be printed directly but only by turning them into lists first. Arrays could be converted back into lists for printing if they were wrapped up in an additional constructor.

```

context
begin

```

```

datatype 'a iarray = IArray 'a list

```

```

qualified primrec list-of :: 'a iarray ⇒ 'a list where
list-of (IArray xs) = xs

```

```

qualified definition of-fun :: (nat ⇒ 'a) ⇒ nat ⇒ 'a iarray where
[simp]: of-fun f n = IArray (map f [0..n])

```

```

qualified definition sub :: 'a iarray ⇒ nat ⇒ 'a (infixl !! 100) where
[simp]: as !! n = IArray.list-of as ! n

```

```

qualified definition length :: 'a iarray ⇒ nat where
[simp]: length as = List.length (IArray.list-of as)

```

```

qualified fun all :: ('a ⇒ bool) ⇒ 'a iarray ⇒ bool where
all p (IArray as) = (ALL a : set as. p a)

```

```

qualified fun exists :: ('a ⇒ bool) ⇒ 'a iarray ⇒ bool where
exists p (IArray as) = (EX a : set as. p a)

```

```

lemma list-of-code [code]:
IArray.list-of as = map ( $\lambda n. as$  !! n) [0 ..< IArray.length as]
  ⟨proof⟩

```

end

54.1 Code Generation

code-reserved *SML Vector*

code-printing

type-constructor *iarray* \rightarrow (*SML*) - *Vector.vector*
| **constant** *IArray* \rightarrow (*SML*) *Vector.fromList*
| **constant** *IArray.all* \rightarrow (*SML*) *Vector.all*
| **constant** *IArray.exists* \rightarrow (*SML*) *Vector.exists*

lemma [*code*]:
size (*as* :: 'a *iarray*) = *Suc* (*length* (*IArray.list-of as*))
⟨*proof*⟩

lemma [*code*]:
size-iarray *f as* = *Suc* (*size-list f* (*IArray.list-of as*))
⟨*proof*⟩

lemma [*code*]:
rec-iarray f as = *f* (*IArray.list-of as*)
⟨*proof*⟩

lemma [*code*]:
case-iarray f as = *f* (*IArray.list-of as*)
⟨*proof*⟩

lemma [*code*]:
set-iarray as = *set* (*IArray.list-of as*)
⟨*proof*⟩

lemma [*code*]:
map-iarray f as = *IArray* (*map f* (*IArray.list-of as*))
⟨*proof*⟩

lemma [*code*]:
rel-iarray r as bs = *list-all2 r* (*IArray.list-of as*) (*IArray.list-of bs*)
⟨*proof*⟩

lemma [*code*]:
HOL.equal as bs \longleftrightarrow *HOL.equal* (*IArray.list-of as*) (*IArray.list-of bs*)
⟨*proof*⟩

context

begin

qualified primrec *tabulate* :: *integer* \times (*integer* \Rightarrow 'a) \Rightarrow 'a *iarray* where

tabulate (n, f) = *IArray* (*map* ($f \circ \text{integer-of-nat}$) [$0..<\text{nat-of-integer } n$])

end

lemma [*code*]:

IArray.of-fun f n = *IArray.tabulate* (*integer-of-nat* $n, f \circ \text{nat-of-integer}$)
 ⟨*proof*⟩

code-printing

constant *IArray.tabulate* \rightarrow (*SML*) *Vector.tabulate*

context

begin

qualified primrec *sub'* :: 'a *iarray* \times *integer* \Rightarrow 'a **where**

[*code del*]: *sub'* (as, n) = *IArray.list-of* as ! *nat-of-integer* n

end

lemma [*code*]:

IArray.sub' (*IArray* as, n) = as ! *nat-of-integer* n
 ⟨*proof*⟩

lemma [*code*]:

as !! n = *IArray.sub'* ($as, \text{integer-of-nat } n$)
 ⟨*proof*⟩

code-printing

constant *IArray.sub'* \rightarrow (*SML*) *Vector.sub*

context

begin

qualified definition *length'* :: 'a *iarray* \Rightarrow *integer* **where**

[*code del, simp*]: *length'* as = *integer-of-nat* (*List.length* (*IArray.list-of* as))

end

lemma [*code*]:

IArray.length' (*IArray* as) = *integer-of-nat* (*List.length* as)
 ⟨*proof*⟩

lemma [*code*]:

IArray.length as = *nat-of-integer* (*IArray.length'* as)
 ⟨*proof*⟩

context *term-syntax*

begin

```

lemma [code]:
  Code-Evaluation.term-of (as :: 'a::typerep iarray) =
    Code-Evaluation.Const (STR "IArray.iarray.IArray") (TYPEREP('a list =>
'a iarray)) <.> (Code-Evaluation.term-of (IArray.list-of as))
  <proof>

```

end

code-printing

```

constant IArray.length'  $\rightarrow$  (SML) Vector.length

```

end

theory Lattice-Constructions

imports Main

begin

54.2 Values extended by a bottom element

```

datatype 'a bot = Value 'a | Bot

```

```

instantiation bot :: (preorder) preorder

```

begin

definition less-eq-bot **where**

```

  x ≤ y  $\longleftrightarrow$  (case x of Bot  $\Rightarrow$  True | Value x  $\Rightarrow$  (case y of Bot  $\Rightarrow$  False | Value
y  $\Rightarrow$  x ≤ y))

```

definition less-bot **where**

```

  x < y  $\longleftrightarrow$  (case y of Bot  $\Rightarrow$  False | Value y  $\Rightarrow$  (case x of Bot  $\Rightarrow$  True | Value
x  $\Rightarrow$  x < y))

```

```

lemma less-eq-bot-Bot [simp]: Bot ≤ x

```

<proof>

```

lemma less-eq-bot-Bot-code [code]: Bot ≤ x  $\longleftrightarrow$  True

```

<proof>

```

lemma less-eq-bot-Bot-is-Bot: x ≤ Bot  $\Longrightarrow$  x = Bot

```

<proof>

```

lemma less-eq-bot-Value-Bot [simp, code]: Value x ≤ Bot  $\longleftrightarrow$  False

```

<proof>

```

lemma less-eq-bot-Value [simp, code]: Value x ≤ Value y  $\longleftrightarrow$  x ≤ y

```

<proof>

```

lemma less-bot-Bot [simp, code]: x < Bot  $\longleftrightarrow$  False

```

<proof>

lemma *less-bot-Bot-is-Value*: $Bot < x \implies \exists z. x = Value\ z$

<proof>

lemma *less-bot-Bot-Value* [*simp*]: $Bot < Value\ x$

<proof>

lemma *less-bot-Bot-Value-code* [*code*]: $Bot < Value\ x \longleftrightarrow True$

<proof>

lemma *less-bot-Value* [*simp, code*]: $Value\ x < Value\ y \longleftrightarrow x < y$

<proof>

instance

<proof>

end

instance *bot* :: (*order*) *order*

<proof>

instance *bot* :: (*linorder*) *linorder*

<proof>

instantiation *bot* :: (*order*) *bot*

begin

definition *bot* = *Bot*

instance *<proof>*

end

instantiation *bot* :: (*top*) *top*

begin

definition *top* = *Value top*

instance *<proof>*

end

instantiation *bot* :: (*semilattice-inf*) *semilattice-inf*

begin

definition *inf-bot*

where

inf x y =

(*case x of*

Bot \Rightarrow *Bot*

| *Value v* \Rightarrow

(*case y of*

Bot \Rightarrow *Bot*

| *Value v'* \Rightarrow *Value (inf v v')*)


```

instance
  ⟨proof⟩

end

instantiation bot :: (semilattice-sup) semilattice-sup
begin

definition sup-bot
where
  sup x y =
    (case x of
      Bot ⇒ y
    | Value v ⇒
      (case y of
        Bot ⇒ x
      | Value v' ⇒ Value (sup v v')))

```

```

instance
  ⟨proof⟩

```

```

end

```

```

instance bot :: (lattice) bounded-lattice-bot
  ⟨proof⟩

```

54.3 Values extended by a top element

```

datatype 'a top = Value 'a | Top

```

```

instantiation top :: (preorder) preorder
begin

```

```

definition less-eq-top where

```

```

  x ≤ y ↔ (case y of Top ⇒ True | Value y ⇒ (case x of Top ⇒ False | Value
x ⇒ x ≤ y))

```

```

definition less-top where

```

```

  x < y ↔ (case x of Top ⇒ False | Value x ⇒ (case y of Top ⇒ True | Value
y ⇒ x < y))

```

```

lemma less-eq-top-Top [simp]: x ≤ Top
  ⟨proof⟩

```

```

lemma less-eq-top-Top-code [code]: x ≤ Top ↔ True
  ⟨proof⟩

```

```

lemma less-eq-top-is-Top: Top ≤ x ⇒ x = Top

```

<proof>

lemma *less-eq-top-Top-Value* [*simp, code*]: $Top \leq Value\ x \longleftrightarrow False$
<proof>

lemma *less-eq-top-Value-Value* [*simp, code*]: $Value\ x \leq Value\ y \longleftrightarrow x \leq y$
<proof>

lemma *less-top-Top* [*simp, code*]: $Top < x \longleftrightarrow False$
<proof>

lemma *less-top-Top-is-Value*: $x < Top \implies \exists z. x = Value\ z$
<proof>

lemma *less-top-Value-Top* [*simp*]: $Value\ x < Top$
<proof>

lemma *less-top-Value-Top-code* [*code*]: $Value\ x < Top \longleftrightarrow True$
<proof>

lemma *less-top-Value* [*simp, code*]: $Value\ x < Value\ y \longleftrightarrow x < y$
<proof>

instance
<proof>

end

instance *top* :: (*order*) *order*
<proof>

instance *top* :: (*linorder*) *linorder*
<proof>

instantiation *top* :: (*order*) *top*
begin
 definition *top* = *Top*
 instance *<proof>*
end

instantiation *top* :: (*bot*) *bot*
begin
 definition *bot* = *Value bot*
 instance *<proof>*
end

instantiation *top* :: (*semilattice-inf*) *semilattice-inf*
begin

definition *inf-top***where**

$$\begin{aligned} \text{inf } x \ y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Top} \Rightarrow y \\ & | \text{Value } v \Rightarrow \\ & \quad (\text{case } y \text{ of} \\ & \quad \quad \text{Top} \Rightarrow x \\ & \quad | \text{Value } v' \Rightarrow \text{Value } (\text{inf } v \ v')) \end{aligned}$$
instance*<proof>***end****instantiation** *top* :: (*semilattice-sup*) *semilattice-sup***begin****definition** *sup-top***where**

$$\begin{aligned} \text{sup } x \ y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Top} \Rightarrow \text{Top} \\ & | \text{Value } v \Rightarrow \\ & \quad (\text{case } y \text{ of} \\ & \quad \quad \text{Top} \Rightarrow \text{Top} \\ & \quad | \text{Value } v' \Rightarrow \text{Value } (\text{sup } v \ v')) \end{aligned}$$
instance*<proof>***end****instance** *top* :: (*lattice*) *bounded-lattice-top**<proof>*

54.4 Values extended by a top and a bottom element

datatype *'a flat-complete-lattice* = *Value 'a | Bot | Top***instantiation** *flat-complete-lattice* :: (*type*) *order***begin****definition** *less-eq-flat-complete-lattice***where**

$$\begin{aligned} x \leq y \equiv & \\ & (\text{case } x \text{ of} \\ & \quad \text{Bot} \Rightarrow \text{True} \\ & | \text{Value } v1 \Rightarrow \end{aligned}$$

```

    (case y of
      Bot ⇒ False
    | Value v2 ⇒ v1 = v2
    | Top ⇒ True)
  | Top ⇒ y = Top)

```

definition *less-flat-complete-lattice*

where

```

x < y =
  (case x of
    Bot ⇒ y ≠ Bot
  | Value v1 ⇒ y = Top
  | Top ⇒ False)

```

lemma [*simp*]: $Bot \leq y$
 ⟨*proof*⟩

lemma [*simp*]: $y \leq Top$
 ⟨*proof*⟩

lemma *greater-than-two-values*:

```

assumes a ≠ b Value a ≤ z Value b ≤ z
shows z = Top
  ⟨proof⟩

```

lemma *lesser-than-two-values*:

```

assumes a ≠ b z ≤ Value a z ≤ Value b
shows z = Bot
  ⟨proof⟩

```

instance

⟨*proof*⟩

end

instantiation *flat-complete-lattice* :: (type) bot

begin

definition bot = Bot

instance ⟨*proof*⟩

end

instantiation *flat-complete-lattice* :: (type) top

begin

definition top = Top

instance ⟨*proof*⟩

end

instantiation *flat-complete-lattice* :: (type) lattice

begin

definition *inf-flat-complete-lattice*

where

$$\begin{aligned} \text{inf } x \ y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Bot} \Rightarrow \text{Bot} \\ & \quad | \text{Value } v1 \Rightarrow \\ & \quad \quad (\text{case } y \text{ of} \\ & \quad \quad \quad \text{Bot} \Rightarrow \text{Bot} \\ & \quad \quad \quad | \text{Value } v2 \Rightarrow \text{if } v1 = v2 \text{ then } x \text{ else Bot} \\ & \quad \quad \quad | \text{Top} \Rightarrow x) \\ & \quad | \text{Top} \Rightarrow y) \end{aligned}$$

definition *sup-flat-complete-lattice*

where

$$\begin{aligned} \text{sup } x \ y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Bot} \Rightarrow y \\ & \quad | \text{Value } v1 \Rightarrow \\ & \quad \quad (\text{case } y \text{ of} \\ & \quad \quad \quad \text{Bot} \Rightarrow x \\ & \quad \quad \quad | \text{Value } v2 \Rightarrow \text{if } v1 = v2 \text{ then } x \text{ else Top} \\ & \quad \quad \quad | \text{Top} \Rightarrow \text{Top}) \\ & \quad | \text{Top} \Rightarrow \text{Top}) \end{aligned}$$

instance

<proof>

end

instantiation *flat-complete-lattice* :: (type) complete-lattice

begin

definition *Sup-flat-complete-lattice*

where

$$\begin{aligned} \text{Sup } A = & \\ & (\text{if } A = \{\} \vee A = \{\text{Bot}\} \text{ then Bot} \\ & \quad \text{else if } \exists v. A - \{\text{Bot}\} = \{\text{Value } v\} \text{ then Value (THE } v. A - \{\text{Bot}\} = \{\text{Value} \\ & \quad v\}) \\ & \quad \text{else Top}) \end{aligned}$$

definition *Inf-flat-complete-lattice*

where

$$\begin{aligned} \text{Inf } A = & \\ & (\text{if } A = \{\} \vee A = \{\text{Top}\} \text{ then Top} \\ & \quad \text{else if } \exists v. A - \{\text{Top}\} = \{\text{Value } v\} \text{ then Value (THE } v. A - \{\text{Top}\} = \{\text{Value} \\ & \quad v\}) \\ & \quad \text{else Bot}) \end{aligned}$$

instance
 $\langle proof \rangle$

end

end

55 Infinite Streams

theory *Stream*
imports $\sim\sim$ /src/HOL/Library/Nat-Bijection
begin

codatatype (*sset*: 'a) *stream* =
SCons (*shd*: 'a) (*stl*: 'a *stream*) (**infixr** ## 65)

for

map: *smap*

rel: *stream-all2*

context

begin

qualified definition *smember* :: 'a \Rightarrow 'a *stream* \Rightarrow bool **where**
 $[code-abbrev]: smember\ x\ s \longleftrightarrow x \in sset\ s$

lemma *smember-code*[*code*, *simp*]: *smember* *x* (*y* ## *s*) = (if *x* = *y* then True
else *smember* *x* *s*)
 $\langle proof \rangle$

end

lemmas *smap-simps*[*simp*] = *stream.map-sel*

lemmas *shd-sset* = *stream.set-sel*(1)

lemmas *stl-sset* = *stream.set-sel*(2)

theorem *sset-induct*[*consumes* 1, *case-names* *shd* *stl*, *induct* *set*: *sset*]:
assumes $y \in sset\ s$ **and** $\bigwedge s. P\ (shd\ s)\ s$ **and** $\bigwedge s\ y. \llbracket y \in sset\ (stl\ s); P\ y\ (stl\ s) \rrbracket \implies P\ y\ s$
shows $P\ y\ s$
 $\langle proof \rangle$

lemma *smap-ctr*: $smap\ f\ s = x\ ##\ s' \longleftrightarrow f\ (shd\ s) = x \wedge smap\ f\ (stl\ s) = s'$
 $\langle proof \rangle$

55.1 prepend list to stream

primrec *shift* :: 'a list \Rightarrow 'a *stream* \Rightarrow 'a *stream* (**infixr** @- 65) **where**
 $shift\ []\ s = s$

| $\text{shift } (x \# xs) s = x \#\# \text{shift } xs s$

lemma *smap-shift*[simp]: $\text{smap } f (xs @- s) = \text{map } f xs @- \text{smap } f s$
 ⟨proof⟩

lemma *shift-append*[simp]: $(xs @ ys) @- s = xs @- ys @- s$
 ⟨proof⟩

lemma *shift-simps*[simp]:
 $\text{shd } (xs @- s) = (\text{if } xs = [] \text{ then } \text{shd } s \text{ else } \text{hd } xs)$
 $\text{stl } (xs @- s) = (\text{if } xs = [] \text{ then } \text{stl } s \text{ else } \text{tl } xs @- s)$
 ⟨proof⟩

lemma *sset-shift*[simp]: $\text{sset } (xs @- s) = \text{set } xs \cup \text{sset } s$
 ⟨proof⟩

lemma *shift-left-inj*[simp]: $xs @- s1 = xs @- s2 \longleftrightarrow s1 = s2$
 ⟨proof⟩

55.2 set of streams with elements in some fixed set

context

notes [[*inductive-internals*]]

begin

coinductive-set

$\text{streams} :: 'a \text{ set} \Rightarrow 'a \text{ stream set}$

for $A :: 'a \text{ set}$

where

$\text{Stream}[\text{intro!}, \text{simp}, \text{no-atp}]: \llbracket a \in A; s \in \text{streams } A \rrbracket \Longrightarrow a \#\# s \in \text{streams } A$

end

lemma *in-streams*: $\text{stl } s \in \text{streams } S \Longrightarrow \text{shd } s \in S \Longrightarrow s \in \text{streams } S$
 ⟨proof⟩

lemma *streamsE*: $s \in \text{streams } A \Longrightarrow (\text{shd } s \in A \Longrightarrow \text{stl } s \in \text{streams } A \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *Stream-image*: $x \#\# y \in (\text{op} \#\# x') ' Y \longleftrightarrow x = x' \wedge y \in Y$
 ⟨proof⟩

lemma *shift-streams*: $\llbracket w \in \text{lists } A; s \in \text{streams } A \rrbracket \Longrightarrow w @- s \in \text{streams } A$
 ⟨proof⟩

lemma *streams-Stream*: $x \#\# s \in \text{streams } A \longleftrightarrow x \in A \wedge s \in \text{streams } A$
 ⟨proof⟩

lemma *streams-stl*: $s \in \text{streams } A \implies \text{stl } s \in \text{streams } A$
 ⟨proof⟩

lemma *streams-shd*: $s \in \text{streams } A \implies \text{shd } s \in A$
 ⟨proof⟩

lemma *sset-streams*:
assumes $\text{sset } s \subseteq A$
shows $s \in \text{streams } A$
 ⟨proof⟩

lemma *streams-sset*:
assumes $s \in \text{streams } A$
shows $\text{sset } s \subseteq A$
 ⟨proof⟩

lemma *streams-iff-sset*: $s \in \text{streams } A \iff \text{sset } s \subseteq A$
 ⟨proof⟩

lemma *streams-mono*: $s \in \text{streams } A \implies A \subseteq B \implies s \in \text{streams } B$
 ⟨proof⟩

lemma *streams-mono2*: $S \subseteq T \implies \text{streams } S \subseteq \text{streams } T$
 ⟨proof⟩

lemma *smap-streams*: $s \in \text{streams } A \implies (\bigwedge x. x \in A \implies f x \in B) \implies \text{smap } f s \in \text{streams } B$
 ⟨proof⟩

lemma *streams-empty*: $\text{streams } \{\} = \{\}$
 ⟨proof⟩

lemma *streams-UNIV[simp]*: $\text{streams } UNIV = UNIV$
 ⟨proof⟩

55.3 nth, take, drop for streams

primrec *snth* :: 'a stream \Rightarrow nat \Rightarrow 'a (infixl !! 100) **where**
 $s !! 0 = \text{shd } s$
 $| s !! \text{Suc } n = \text{stl } s !! n$

lemma *snth-Stream*: $(x \#\# s) !! \text{Suc } i = s !! i$
 ⟨proof⟩

lemma *snth-smap[simp]*: $\text{smap } f s !! n = f (s !! n)$
 ⟨proof⟩

lemma *shift-snth-less[simp]*: $p < \text{length } xs \implies (xs @- s) !! p = xs ! p$
 ⟨proof⟩

lemma *shift-snth-ge*[simp]: $p \geq \text{length } xs \implies (xs @- s) !! p = s !! (p - \text{length } xs)$
 ⟨proof⟩

lemma *shift-snth*: $(xs @- s) !! n = (\text{if } n < \text{length } xs \text{ then } xs ! n \text{ else } s !! (n - \text{length } xs))$
 ⟨proof⟩

lemma *snth-sset*[simp]: $s !! n \in \text{sset } s$
 ⟨proof⟩

lemma *sset-range*: $\text{sset } s = \text{range } (\text{snth } s)$
 ⟨proof⟩

lemma *streams-iff-snth*: $s \in \text{streams } X \iff (\forall n. s !! n \in X)$
 ⟨proof⟩

lemma *snth-in*: $s \in \text{streams } X \implies s !! n \in X$
 ⟨proof⟩

primrec *stake* :: $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ list}$ **where**
 $\text{stake } 0 \ s = []$
 $| \text{stake } (\text{Suc } n) \ s = \text{shd } s \# \text{stake } n \ (\text{stl } s)$

lemma *length-stake*[simp]: $\text{length } (\text{stake } n \ s) = n$
 ⟨proof⟩

lemma *stake-smap*[simp]: $\text{stake } n \ (\text{smap } f \ s) = \text{map } f \ (\text{stake } n \ s)$
 ⟨proof⟩

lemma *take-stake*: $\text{take } n \ (\text{stake } m \ s) = \text{stake } (\text{min } n \ m) \ s$
 ⟨proof⟩

primrec *sdrop* :: $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ stream}$ **where**
 $\text{sdrop } 0 \ s = s$
 $| \text{sdrop } (\text{Suc } n) \ s = \text{sdrop } n \ (\text{stl } s)$

lemma *sdrop-simps*[simp]:
 $\text{shd } (\text{sdrop } n \ s) = s !! n \ \text{stl } (\text{sdrop } n \ s) = \text{sdrop } (\text{Suc } n) \ s$
 ⟨proof⟩

lemma *sdrop-smap*[simp]: $\text{sdrop } n \ (\text{smap } f \ s) = \text{smap } f \ (\text{sdrop } n \ s)$
 ⟨proof⟩

lemma *sdrop-stl*: $\text{sdrop } n \ (\text{stl } s) = \text{stl } (\text{sdrop } n \ s)$
 ⟨proof⟩

lemma *drop-stake*: $\text{drop } n \ (\text{stake } m \ s) = \text{stake } (m - n) \ (\text{sdrop } n \ s)$

<proof>

lemma *stake-sdrop*: $\text{stake } n \ s \ @- \ \text{sdrop } n \ s = s$
<proof>

lemma *id-stake-snth-sdrop*:
 $s = \text{stake } i \ s \ @- \ s \ !! \ i \ ## \ \text{sdrop } (\text{Suc } i) \ s$
<proof>

lemma *smap-alt*: $\text{smap } f \ s = s' \longleftrightarrow (\forall n. f \ (s \ !! \ n) = s' \ !! \ n) \ (\text{is } ?L = ?R)$
<proof>

lemma *stake-invert-Nil[iff]*: $\text{stake } n \ s = [] \longleftrightarrow n = 0$
<proof>

lemma *sdrop-shift*: $\text{sdrop } i \ (w \ @- \ s) = \text{drop } i \ w \ @- \ \text{sdrop } (i - \text{length } w) \ s$
<proof>

lemma *stake-shift*: $\text{stake } i \ (w \ @- \ s) = \text{take } i \ w \ @ \ \text{stake } (i - \text{length } w) \ s$
<proof>

lemma *stake-add[simp]*: $\text{stake } m \ s \ @ \ \text{stake } n \ (\text{sdrop } m \ s) = \text{stake } (m + n) \ s$
<proof>

lemma *sdrop-add[simp]*: $\text{sdrop } n \ (\text{sdrop } m \ s) = \text{sdrop } (m + n) \ s$
<proof>

lemma *sdrop-snth*: $\text{sdrop } n \ s \ !! \ m = s \ !! \ (n + m)$
<proof>

partial-function (*tailrec*) *sdrop-while* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{stream} \Rightarrow 'a \ \text{stream}$
where

$\text{sdrop-while } P \ s = (\text{if } P \ (\text{shd } s) \ \text{then } \text{sdrop-while } P \ (\text{stl } s) \ \text{else } s)$

lemma *sdrop-while-SCons[code]*:
 $\text{sdrop-while } P \ (a \ ## \ s) = (\text{if } P \ a \ \text{then } \text{sdrop-while } P \ s \ \text{else } a \ ## \ s)$
<proof>

lemma *sdrop-while-sdrop-LEAST*:
assumes $\exists n. P \ (s \ !! \ n)$
shows $\text{sdrop-while } (\text{Not } o \ P) \ s = \text{sdrop } (\text{LEAST } n. P \ (s \ !! \ n)) \ s$
<proof>

primcorec *sfilter* **where**

$\text{shd } (\text{sfilter } P \ s) = \text{shd } (\text{sdrop-while } (\text{Not } o \ P) \ s)$
 $|\ \text{stl } (\text{sfilter } P \ s) = \text{sfilter } P \ (\text{stl } (\text{sdrop-while } (\text{Not } o \ P) \ s))$

lemma *sfilter-Stream*: $\text{sfilter } P \ (x \ ## \ s) = (\text{if } P \ x \ \text{then } x \ ## \ \text{sfilter } P \ s \ \text{else } \text{sfilter } P \ s)$

<proof>

55.4 unary predicates lifted to streams

definition *stream-all* $P s = (\forall p. P (s !! p))$

lemma *stream-all-iff*[*iff*]: *stream-all* $P s \longleftrightarrow \text{Ball } (\text{sset } s) P$
<proof>

lemma *stream-all-shift*[*simp*]: *stream-all* $P (xs @- s) = (\text{list-all } P xs \wedge \text{stream-all } P s)$
<proof>

lemma *stream-all-Stream*: *stream-all* $P (x \#\# X) \longleftrightarrow P x \wedge \text{stream-all } P X$
<proof>

55.5 recurring stream out of a list

primcorec *cycle* :: 'a list \Rightarrow 'a stream **where**

shd (*cycle* xs) = *hd* xs
| *stl* (*cycle* xs) = *cycle* (*tl* $xs @ [hd xs]$)

lemma *cycle-decomp*: $u \neq [] \Longrightarrow \text{cycle } u = u @- \text{cycle } u$
<proof>

lemma *cycle-Cons*[*code*]: *cycle* ($x \#\# xs$) = $x \#\# \text{cycle } (xs @ [x])$
<proof>

lemma *cycle-rotated*: $\llbracket v \neq []; \text{cycle } u = v @- s \rrbracket \Longrightarrow \text{cycle } (tl u @ [hd u]) = tl v @- s$
<proof>

lemma *stake-append*: *stake* $n (u @- s) = \text{take } (\text{min } (\text{length } u) n) u @ \text{stake } (n - \text{length } u) s$
<proof>

lemma *stake-cycle-le*[*simp*]:
assumes $u \neq [] \ n < \text{length } u$
shows *stake* $n (\text{cycle } u) = \text{take } n u$
<proof>

lemma *stake-cycle-eq*[*simp*]: $u \neq [] \Longrightarrow \text{stake } (\text{length } u) (\text{cycle } u) = u$
<proof>

lemma *sdrop-cycle-eq*[*simp*]: $u \neq [] \Longrightarrow \text{sdrop } (\text{length } u) (\text{cycle } u) = \text{cycle } u$
<proof>

lemma *stake-cycle-eq-mod-0*[*simp*]: $\llbracket u \neq []; n \bmod \text{length } u = 0 \rrbracket \Longrightarrow \text{stake } n (\text{cycle } u) = \text{concat } (\text{replicate } (n \text{ div } \text{length } u) u)$
<proof>

lemma *sdrop-cycle-eq-mod-0*[simp]: $\llbracket u \neq []; n \bmod \text{length } u = 0 \rrbracket \implies$
 $\text{sdrop } n (\text{cycle } u) = \text{cycle } u$
 ⟨proof⟩

lemma *stake-cycle*: $u \neq [] \implies$
 $\text{stake } n (\text{cycle } u) = \text{concat } (\text{replicate } (n \text{ div } \text{length } u) u) @ \text{take } (n \bmod \text{length } u) u$
 ⟨proof⟩

lemma *sdrop-cycle*: $u \neq [] \implies \text{sdrop } n (\text{cycle } u) = \text{cycle } (\text{rotate } (n \bmod \text{length } u) u)$
 ⟨proof⟩

55.6 iterated application of a function

primcorec *siterate* **where**
 $\text{shd } (\text{siterate } f x) = x$
 $| \text{stl } (\text{siterate } f x) = \text{siterate } f (f x)$

lemma *stake-Suc*: $\text{stake } (\text{Suc } n) s = \text{stake } n s @ [s !! n]$
 ⟨proof⟩

lemma *snth-siterate*[simp]: $\text{siterate } f x !! n = (f^{\wedge} n) x$
 ⟨proof⟩

lemma *sdrop-siterate*[simp]: $\text{sdrop } n (\text{siterate } f x) = \text{siterate } f ((f^{\wedge} n) x)$
 ⟨proof⟩

lemma *stake-siterate*[simp]: $\text{stake } n (\text{siterate } f x) = \text{map } (\lambda n. (f^{\wedge} n) x) [0 ..< n]$
 ⟨proof⟩

lemma *sset-siterate*: $\text{sset } (\text{siterate } f x) = \{(f^{\wedge} n) x \mid n. \text{True}\}$
 ⟨proof⟩

lemma *smap-siterate*: $\text{smap } f (\text{siterate } f x) = \text{siterate } f (f x)$
 ⟨proof⟩

55.7 stream repeating a single element

abbreviation *sconst* $\equiv \text{siterate } \text{id}$

lemma *shift-replicate-sconst*[simp]: $\text{replicate } n x @ - \text{sconst } x = \text{sconst } x$
 ⟨proof⟩

lemma *sset-sconst*[simp]: $\text{sset } (\text{sconst } x) = \{x\}$
 ⟨proof⟩

lemma *sconst-alt*: $s = \text{sconst } x \longleftrightarrow \text{sset } s = \{x\}$
 ⟨proof⟩

lemma *sconst-cycle*: $sconst\ x = cycle\ [x]$
 ⟨proof⟩

lemma *smap-sconst*: $smap\ f\ (sconst\ x) = sconst\ (f\ x)$
 ⟨proof⟩

lemma *sconst-streams*: $x \in A \implies sconst\ x \in streams\ A$
 ⟨proof⟩

55.8 stream of natural numbers

abbreviation *fromN* $\equiv siterate\ Suc$

abbreviation *nats* $\equiv fromN\ 0$

lemma *sset-fromN[simp]*: $sset\ (fromN\ n) = \{n\ ..\}$
 ⟨proof⟩

lemma *stream-smap-fromN*: $s = smap\ (\lambda j. let\ i = j - n\ in\ s\ !!\ i)\ (fromN\ n)$
 ⟨proof⟩

lemma *stream-smap-nats*: $s = smap\ (snth\ s)\ nats$
 ⟨proof⟩

55.9 flatten a stream of lists

primcorec *flat* **where**

$shd\ (flat\ ws) = hd\ (shd\ ws)$
 $| stl\ (flat\ ws) = flat\ (if\ tl\ (shd\ ws) = []\ then\ stl\ ws\ else\ tl\ (shd\ ws)\ ##\ stl\ ws)$

lemma *flat-Cons[simp, code]*: $flat\ ((x\ ##\ xs)\ ##\ ws) = x\ ##\ flat\ (if\ xs = []\ then\ ws\ else\ xs\ ##\ ws)$
 ⟨proof⟩

lemma *flat-Stream[simp]*: $xs \neq [] \implies flat\ (xs\ ##\ ws) = xs\ @-\ flat\ ws$
 ⟨proof⟩

lemma *flat-unfold*: $shd\ ws \neq [] \implies flat\ ws = shd\ ws\ @-\ flat\ (stl\ ws)$
 ⟨proof⟩

lemma *flat-snth*: $\forall xs \in sset\ s. xs \neq [] \implies flat\ s\ !!\ n = (if\ n < length\ (shd\ s)\ then\ shd\ s\ !\ n\ else\ flat\ (stl\ s)\ !!\ (n - length\ (shd\ s)))$
 ⟨proof⟩

lemma *sset-flat[simp]*: $\forall xs \in sset\ s. xs \neq [] \implies sset\ (flat\ s) = (\bigcup xs \in sset\ s. set\ xs)\ (is\ ?P \implies ?L = ?R)$
 ⟨proof⟩

55.10 merge a stream of streams

definition *smerge* :: 'a stream stream \Rightarrow 'a stream **where**

smerge ss = flat (smap ($\lambda n.$ map ($\lambda s.$ s !! n) (stake (Suc n) ss) @ stake n (ss !! n)) nats)

lemma *stake-nth[simp]*: $m < n \implies \text{stake } n \text{ s ! } m = \text{s !! } m$
 ⟨proof⟩

lemma *snth-sset-smerge*: $\text{ss !! } n \text{ !! } m \in \text{sset } (\text{smerge } \text{ss})$
 ⟨proof⟩

lemma *sset-smerge*: $\text{sset } (\text{smerge } \text{ss}) = \text{UNION } (\text{sset } \text{ss}) \text{ sset}$
 ⟨proof⟩

55.11 product of two streams

definition *sproduct* :: 'a stream \Rightarrow 'b stream \Rightarrow ('a \times 'b) stream **where**

sproduct s1 s2 = *smerge* (smap ($\lambda x.$ smap (Pair x) s2) s1)

lemma *sset-sproduct*: $\text{sset } (\text{sproduct } s1 \text{ s2}) = \text{sset } s1 \times \text{sset } s2$
 ⟨proof⟩

55.12 interleave two streams

primcorec *sinterleave* **where**

shd (*sinterleave* s1 s2) = *shd* s1
 | *stl* (*sinterleave* s1 s2) = *sinterleave* s2 (*stl* s1)

lemma *sinterleave-code*[code]:
sinterleave (x ## s1) s2 = x ## *sinterleave* s2 s1
 ⟨proof⟩

lemma *sinterleave-snth[simp]*:
 $\text{even } n \implies \text{sinterleave } s1 \text{ s2 !! } n = s1 \text{ !! } (n \text{ div } 2)$
 $\text{odd } n \implies \text{sinterleave } s1 \text{ s2 !! } n = s2 \text{ !! } (n \text{ div } 2)$
 ⟨proof⟩

lemma *sset-sinterleave*: $\text{sset } (\text{sinterleave } s1 \text{ s2}) = \text{sset } s1 \cup \text{sset } s2$
 ⟨proof⟩

55.13 zip

primcorec *szip* **where**

shd (*szip* s1 s2) = (*shd* s1, *shd* s2)
 | *stl* (*szip* s1 s2) = *szip* (*stl* s1) (*stl* s2)

lemma *szip-unfold*[code]: $\text{szip } (a \text{ ## } s1) (b \text{ ## } s2) = (a, b) \text{ ## } (\text{szip } s1 \text{ s2})$
 ⟨proof⟩

lemma *snth-szip[simp]*: $szip\ s1\ s2\ !!\ n = (s1\ !!\ n,\ s2\ !!\ n)$
 ⟨proof⟩

lemma *stake-szip[simp]*:
 $stake\ n\ (szip\ s1\ s2) = zip\ (stake\ n\ s1)\ (stake\ n\ s2)$
 ⟨proof⟩

lemma *sdrop-szip[simp]*: $sdrop\ n\ (szip\ s1\ s2) = szip\ (sdrop\ n\ s1)\ (sdrop\ n\ s2)$
 ⟨proof⟩

lemma *smap-szip-fst*:
 $smap\ (\lambda x.\ f\ (fst\ x))\ (szip\ s1\ s2) = smap\ f\ s1$
 ⟨proof⟩

lemma *smap-szip-snd*:
 $smap\ (\lambda x.\ g\ (snd\ x))\ (szip\ s1\ s2) = smap\ g\ s2$
 ⟨proof⟩

55.14 zip via function

primcorec *smap2* **where**
 $shd\ (smap2\ f\ s1\ s2) = f\ (shd\ s1)\ (shd\ s2)$
 $| stl\ (smap2\ f\ s1\ s2) = smap2\ f\ (stl\ s1)\ (stl\ s2)$

lemma *smap2-unfold[code]*:
 $smap2\ f\ (a\ \#\#\ s1)\ (b\ \#\#\ s2) = f\ a\ b\ \#\#\ (smap2\ f\ s1\ s2)$
 ⟨proof⟩

lemma *smap2-szip*:
 $smap2\ f\ s1\ s2 = smap\ (case\ prod\ f)\ (szip\ s1\ s2)$
 ⟨proof⟩

lemma *smap-smap2[simp]*:
 $smap\ f\ (smap2\ g\ s1\ s2) = smap2\ (\lambda x\ y.\ f\ (g\ x\ y))\ s1\ s2$
 ⟨proof⟩

lemma *smap2-alt*:
 $(smap2\ f\ s1\ s2 = s) = (\forall n.\ f\ (s1\ !!\ n)\ (s2\ !!\ n) = s\ !!\ n)$
 ⟨proof⟩

lemma *snth-smap2[simp]*:
 $smap2\ f\ s1\ s2\ !!\ n = f\ (s1\ !!\ n)\ (s2\ !!\ n)$
 ⟨proof⟩

lemma *stake-smap2[simp]*:
 $stake\ n\ (smap2\ f\ s1\ s2) = map\ (case\ prod\ f)\ (zip\ (stake\ n\ s1)\ (stake\ n\ s2))$
 ⟨proof⟩

lemma *sdrop-smap2[simp]*:

sdrop n (smap2 f s1 s2) = smap2 f (sdrop n s1) (sdrop n s2)
 ⟨proof⟩

end

56 List prefixes, suffixes, and homeomorphic embedding

theory *Sublist*
 imports *Main*
 begin

56.1 Prefix order on lists

definition *prefixeq* :: 'a list ⇒ 'a list ⇒ bool
 where *prefixeq* *xs* *ys* ⟷ (∃ *zs*. *ys* = *xs* @ *zs*)

definition *prefix* :: 'a list ⇒ 'a list ⇒ bool
 where *prefix* *xs* *ys* ⟷ *prefixeq* *xs* *ys* ∧ *xs* ≠ *ys*

interpretation *prefix-order*: order *prefixeq* *prefix*
 ⟨proof⟩

interpretation *prefix-bot*: order-bot Nil *prefixeq* *prefix*
 ⟨proof⟩

lemma *prefixeqI* [*intro?*]: *ys* = *xs* @ *zs* ⇒ *prefixeq* *xs* *ys*
 ⟨proof⟩

lemma *prefixeqE* [*elim?*]:
 assumes *prefixeq* *xs* *ys*
 obtains *zs* where *ys* = *xs* @ *zs*
 ⟨proof⟩

lemma *prefixI'* [*intro?*]: *ys* = *xs* @ *z* # *zs* ⇒ *prefix* *xs* *ys*
 ⟨proof⟩

lemma *prefixE'* [*elim?*]:
 assumes *prefix* *xs* *ys*
 obtains *z* *zs* where *ys* = *xs* @ *z* # *zs*
 ⟨proof⟩

lemma *prefixI* [*intro?*]: *prefixeq* *xs* *ys* ⇒ *xs* ≠ *ys* ⇒ *prefix* *xs* *ys*
 ⟨proof⟩

lemma *prefixE* [*elim?*]:
 fixes *xs* *ys* :: 'a list
 assumes *prefix* *xs* *ys*

obtains *prefixeq xs ys and xs ≠ ys*
 ⟨proof⟩

56.2 Basic properties of prefixes

theorem *Nil-prefixeq [iff]: prefixeq [] xs*
 ⟨proof⟩

theorem *prefixeq-Nil [simp]: (prefixeq xs []) = (xs = [])*
 ⟨proof⟩

lemma *prefixeq-snoc [simp]: prefixeq xs (ys @ [y]) ⟷ xs = ys @ [y] ∨ prefixeq xs ys*
 ⟨proof⟩

lemma *Cons-prefixeq-Cons [simp]: prefixeq (x # xs) (y # ys) = (x = y ∧ prefixeq xs ys)*
 ⟨proof⟩

lemma *prefixeq-code [code]:*
prefixeq [] xs ⟷ True
prefixeq (x # xs) [] ⟷ False
prefixeq (x # xs) (y # ys) ⟷ x = y ∧ prefixeq xs ys
 ⟨proof⟩

lemma *same-prefixeq-prefixeq [simp]: prefixeq (xs @ ys) (xs @ zs) = prefixeq ys zs*
 ⟨proof⟩

lemma *same-prefixeq-nil [iff]: prefixeq (xs @ ys) xs = (ys = [])*
 ⟨proof⟩

lemma *prefixeq-prefixeq [simp]: prefixeq xs ys ⟹ prefixeq xs (ys @ zs)*
 ⟨proof⟩

lemma *append-prefixeqD: prefixeq (xs @ ys) zs ⟹ prefixeq xs zs*
 ⟨proof⟩

theorem *prefixeq-Cons: prefixeq xs (y # ys) = (xs = [] ∨ (∃ zs. xs = y # zs ∧ prefixeq zs ys))*
 ⟨proof⟩

theorem *prefixeq-append:*
prefixeq xs (ys @ zs) = (prefixeq xs ys ∨ (∃ us. xs = ys @ us ∧ prefixeq us zs))
 ⟨proof⟩

lemma *append-one-prefixeq:*
prefixeq xs ys ⟹ length xs < length ys ⟹ prefixeq (xs @ [ys ! length xs]) ys
 ⟨proof⟩

theorem *prefixeq-length-le*: $\text{prefixeq } xs \ ys \implies \text{length } xs \leq \text{length } ys$
 ⟨proof⟩

lemma *prefixeq-same-cases*:

$\text{prefixeq } (xs_1 :: 'a \text{ list}) \ ys \implies \text{prefixeq } xs_2 \ ys \implies \text{prefixeq } xs_1 \ xs_2 \vee \text{prefixeq } xs_2 \ xs_1$
 ⟨proof⟩

lemma *set-mono-prefixeq*: $\text{prefixeq } xs \ ys \implies \text{set } xs \subseteq \text{set } ys$
 ⟨proof⟩

lemma *take-is-prefixeq*: $\text{prefixeq } (\text{take } n \ xs) \ xs$
 ⟨proof⟩

lemma *map-prefixeqI*: $\text{prefixeq } xs \ ys \implies \text{prefixeq } (\text{map } f \ xs) \ (\text{map } f \ ys)$
 ⟨proof⟩

lemma *prefixeq-length-less*: $\text{prefix } xs \ ys \implies \text{length } xs < \text{length } ys$
 ⟨proof⟩

lemma *prefix-simps* [*simp*, *code*]:

$\text{prefix } xs \ [] \longleftrightarrow \text{False}$
 $\text{prefix } [] \ (x \# \ xs) \longleftrightarrow \text{True}$
 $\text{prefix } (x \# \ xs) \ (y \# \ ys) \longleftrightarrow x = y \wedge \text{prefix } xs \ ys$
 ⟨proof⟩

lemma *take-prefix*: $\text{prefix } xs \ ys \implies \text{prefix } (\text{take } n \ xs) \ ys$
 ⟨proof⟩

lemma *not-prefixeq-cases*:

assumes *pf*: $\neg \text{prefixeq } ps \ ls$
obtains
 (c1) $ps \neq []$ **and** $ls = []$
 | (c2) $a \ as \ x \ xs$ **where** $ps = a \# \ as$ **and** $ls = x \# \ xs$ **and** $x = a$ **and** $\neg \text{prefixeq } as \ xs$
 | (c3) $a \ as \ x \ xs$ **where** $ps = a \# \ as$ **and** $ls = x \# \ xs$ **and** $x \neq a$
 ⟨proof⟩

lemma *not-prefixeq-induct* [*consumes 1*, *case-names Nil Neq Eq*]:

assumes *np*: $\neg \text{prefixeq } ps \ ls$
and *base*: $\bigwedge x \ xs. P \ (x \# \ xs) \ []$
and *r1*: $\bigwedge x \ xs \ y \ ys. x \neq y \implies P \ (x \# \ xs) \ (y \# \ ys)$
and *r2*: $\bigwedge x \ xs \ y \ ys. [] \ x = y; \neg \text{prefixeq } xs \ ys; P \ xs \ ys \implies P \ (x \# \ xs) \ (y \# \ ys)$
shows $P \ ps \ ls$ ⟨proof⟩

56.3 Parallel lists

definition *parallel* :: $'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$ (*infixl* || 50)
where $(xs \ || \ ys) = (\neg \text{prefixeq } xs \ ys \wedge \neg \text{prefixeq } ys \ xs)$

lemma *parallelI* [*intro*]: $\neg \text{prefixeq } xs \ ys \implies \neg \text{prefixeq } ys \ xs \implies xs \parallel ys$
 ⟨*proof*⟩

lemma *parallelE* [*elim*]:
assumes $xs \parallel ys$
obtains $\neg \text{prefixeq } xs \ ys \wedge \neg \text{prefixeq } ys \ xs$
 ⟨*proof*⟩

theorem *prefixeq-cases*:
obtains $\text{prefixeq } xs \ ys \mid \text{prefix } ys \ xs \mid xs \parallel ys$
 ⟨*proof*⟩

theorem *parallel-decomp*:
 $xs \parallel ys \implies \exists as \ b \ bs \ c \ cs. \ b \neq c \wedge xs = as \ @ \ b \ \# \ bs \wedge ys = as \ @ \ c \ \# \ cs$
 ⟨*proof*⟩

lemma *parallel-append*: $a \parallel b \implies a \ @ \ c \parallel b \ @ \ d$
 ⟨*proof*⟩

lemma *parallel-appendI*: $xs \parallel ys \implies x = xs \ @ \ xs' \implies y = ys \ @ \ ys' \implies x \parallel y$
 ⟨*proof*⟩

lemma *parallel-commute*: $a \parallel b \longleftrightarrow b \parallel a$
 ⟨*proof*⟩

56.4 Suffix order on lists

definition *suffixeq* :: $'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$
where $\text{suffixeq } xs \ ys = (\exists zs. \ ys = zs \ @ \ xs)$

definition *suffix* :: $'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$
where $\text{suffix } xs \ ys \longleftrightarrow (\exists us. \ ys = us \ @ \ xs \wedge us \neq [])$

lemma *suffix-imp-suffixeq*:
 $\text{suffix } xs \ ys \implies \text{suffixeq } xs \ ys$
 ⟨*proof*⟩

lemma *suffixeqI* [*intro?*]: $ys = zs \ @ \ xs \implies \text{suffixeq } xs \ ys$
 ⟨*proof*⟩

lemma *suffixeqE* [*elim?*]:
assumes $\text{suffixeq } xs \ ys$
obtains $zs \ \text{where } ys = zs \ @ \ xs$
 ⟨*proof*⟩

lemma *suffixeq-refl* [*iff*]: $\text{suffixeq } xs \ xs$
 ⟨*proof*⟩

lemma *suffix-trans*:

$suffix\ xs\ ys \implies suffix\ ys\ zs \implies suffix\ xs\ zs$
 ⟨proof⟩

lemma *suffixeq-trans*: $[[suffixeq\ xs\ ys; suffixeq\ ys\ zs]] \implies suffixeq\ xs\ zs$
 ⟨proof⟩

lemma *suffixeq-antisym*: $[[suffixeq\ xs\ ys; suffixeq\ ys\ xs]] \implies xs = ys$
 ⟨proof⟩

lemma *suffixeq-tl* [*simp*]: $suffixeq\ (tl\ xs)\ xs$
 ⟨proof⟩

lemma *suffix-tl* [*simp*]: $xs \neq [] \implies suffix\ (tl\ xs)\ xs$
 ⟨proof⟩

lemma *Nil-suffixeq* [*iff*]: $suffixeq\ []\ xs$
 ⟨proof⟩

lemma *suffixeq-Nil* [*simp*]: $(suffixeq\ xs\ []) = (xs = [])$
 ⟨proof⟩

lemma *suffixeq-ConsI*: $suffixeq\ xs\ ys \implies suffixeq\ xs\ (y \# ys)$
 ⟨proof⟩

lemma *suffixeq-ConsD*: $suffixeq\ (x \# xs)\ ys \implies suffixeq\ xs\ ys$
 ⟨proof⟩

lemma *suffixeq-appendI*: $suffixeq\ xs\ ys \implies suffixeq\ xs\ (zs @ ys)$
 ⟨proof⟩

lemma *suffixeq-appendD*: $suffixeq\ (zs @ xs)\ ys \implies suffixeq\ xs\ ys$
 ⟨proof⟩

lemma *suffix-set-subset*:
 $suffix\ xs\ ys \implies set\ xs \subseteq set\ ys$ ⟨proof⟩

lemma *suffixeq-set-subset*:
 $suffixeq\ xs\ ys \implies set\ xs \subseteq set\ ys$ ⟨proof⟩

lemma *suffixeq-ConsD2*: $suffixeq\ (x \# xs)\ (y \# ys) \implies suffixeq\ xs\ ys$
 ⟨proof⟩

lemma *suffixeq-to-prefixeq* [*code*]: $suffixeq\ xs\ ys \longleftrightarrow prefixeq\ (rev\ xs)\ (rev\ ys)$
 ⟨proof⟩

lemma *distinct-suffixeq*: $distinct\ ys \implies suffixeq\ xs\ ys \implies distinct\ xs$
 ⟨proof⟩

lemma *suffixeq-map*: $suffixeq\ xs\ ys \implies suffixeq\ (map\ f\ xs)\ (map\ f\ ys)$
 ⟨proof⟩

lemma *suffixeq-drop*: $suffixeq\ (drop\ n\ as)\ as$
 ⟨proof⟩

lemma *suffixeq-take*: $\text{suffixeq } xs \ ys \implies ys = \text{take } (\text{length } ys - \text{length } xs) \ ys \ @ \ xs$
 ⟨proof⟩

lemma *suffixeq-suffix-reflcp-conv*: $\text{suffixeq} = \text{suffix}^{==}$
 ⟨proof⟩

lemma *parallelD1*: $x \parallel y \implies \neg \text{prefixeq } x \ y$
 ⟨proof⟩

lemma *parallelD2*: $x \parallel y \implies \neg \text{prefixeq } y \ x$
 ⟨proof⟩

lemma *parallel-Nil1* [*simp*]: $\neg x \parallel []$
 ⟨proof⟩

lemma *parallel-Nil2* [*simp*]: $\neg [] \parallel x$
 ⟨proof⟩

lemma *Cons-parallelI1*: $a \neq b \implies a \# as \parallel b \# bs$
 ⟨proof⟩

lemma *Cons-parallelI2*: $[a = b; as \parallel bs] \implies a \# as \parallel b \# bs$
 ⟨proof⟩

lemma *not-equal-is-parallel*:
assumes *neq*: $xs \neq ys$
and *len*: $\text{length } xs = \text{length } ys$
shows $xs \parallel ys$
 ⟨proof⟩

lemma *suffix-reflcp-conv*: $\text{suffix}^{==} = \text{suffixeq}$
 ⟨proof⟩

lemma *suffix-lists*: $\text{suffix } xs \ ys \implies ys \in \text{lists } A \implies xs \in \text{lists } A$
 ⟨proof⟩

56.5 Homeomorphic embedding on lists

inductive *list-emb* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$
for $P :: ('a \Rightarrow 'a \Rightarrow \text{bool})$

where

list-emb-Nil [*intro*, *simp*]: $\text{list-emb } P \ [] \ ys$
 | *list-emb-Cons* [*intro*]: $\text{list-emb } P \ xs \ ys \implies \text{list-emb } P \ xs \ (y\#\ys)$
 | *list-emb-Cons2* [*intro*]: $P \ x \ y \implies \text{list-emb } P \ xs \ ys \implies \text{list-emb } P \ (x\#\xs) \ (y\#\ys)$

lemma *list-emb-mono*:
assumes $\bigwedge x \ y. P \ x \ y \longrightarrow Q \ x \ y$
shows $\text{list-emb } P \ xs \ ys \longrightarrow \text{list-emb } Q \ xs \ ys$
 ⟨proof⟩

lemma *list-emb-Nil2* [*simp*]:

assumes *list-emb P xs []* **shows** $xs = []$
 ⟨*proof*⟩

lemma *list-emb-reft*:

assumes $\bigwedge x. x \in \text{set } xs \implies P x x$
shows *list-emb P xs xs*
 ⟨*proof*⟩

lemma *list-emb-Cons-Nil* [*simp*]: *list-emb P (x#xs) [] = False*

⟨*proof*⟩

lemma *list-emb-append2* [*intro*]: *list-emb P xs ys \implies list-emb P xs (zs @ ys)*

⟨*proof*⟩

lemma *list-emb-prefix* [*intro*]:

assumes *list-emb P xs ys* **shows** *list-emb P xs (ys @ zs)*
 ⟨*proof*⟩

lemma *list-emb-ConsD*:

assumes *list-emb P (x#xs) ys*
shows $\exists us v vs. ys = us @ v \# vs \wedge P x v \wedge \text{list-emb } P \text{ xs } vs$
 ⟨*proof*⟩

lemma *list-emb-appendD*:

assumes *list-emb P (xs @ ys) zs*
shows $\exists us vs. zs = us @ vs \wedge \text{list-emb } P \text{ xs } us \wedge \text{list-emb } P \text{ ys } vs$
 ⟨*proof*⟩

lemma *list-emb-suffix*:

assumes *list-emb P xs ys* **and** *suffix ys zs*
shows *list-emb P xs zs*
 ⟨*proof*⟩

lemma *list-emb-suffixeq*:

assumes *list-emb P xs ys* **and** *suffixeq ys zs*
shows *list-emb P xs zs*
 ⟨*proof*⟩

lemma *list-emb-length*: *list-emb P xs ys \implies length xs \leq length ys*

⟨*proof*⟩

lemma *list-emb-trans*:

assumes $\bigwedge x y z. [x \in \text{set } xs; y \in \text{set } ys; z \in \text{set } zs; P x y; P y z] \implies P x z$
shows $[\text{list-emb } P \text{ xs } ys; \text{list-emb } P \text{ ys } zs] \implies \text{list-emb } P \text{ xs } zs$
 ⟨*proof*⟩

lemma *list-emb-set*:

assumes $list-emb\ P\ xs\ ys$ **and** $x \in set\ xs$
obtains y **where** $y \in set\ ys$ **and** $P\ x\ y$
 $\langle proof \rangle$

56.6 Sublists (special case of homeomorphic embedding)

abbreviation $sublisteq :: 'a\ list \Rightarrow 'a\ list \Rightarrow bool$
where $sublisteq\ xs\ ys \equiv list-emb\ (op\ =)\ xs\ ys$

lemma $sublisteq-Cons2$: $sublisteq\ xs\ ys \Longrightarrow sublisteq\ (x\#\!xs)\ (x\#\!ys)$ $\langle proof \rangle$

lemma $sublisteq-same-length$:
assumes $sublisteq\ xs\ ys$ **and** $length\ xs = length\ ys$ **shows** $xs = ys$
 $\langle proof \rangle$

lemma $not-sublisteq-length$ [simp]: $length\ ys < length\ xs \Longrightarrow \neg\ sublisteq\ xs\ ys$
 $\langle proof \rangle$

lemma [code]:
 $list-emb\ P\ []\ ys \longleftrightarrow True$
 $list-emb\ P\ (x\#\!xs)\ [] \longleftrightarrow False$
 $\langle proof \rangle$

lemma $sublisteq-Cons'$: $sublisteq\ (x\#\!xs)\ ys \Longrightarrow sublisteq\ xs\ ys$
 $\langle proof \rangle$

lemma $sublisteq-Cons2'$:
assumes $sublisteq\ (x\#\!xs)\ (y\#\!ys)$ **shows** $sublisteq\ xs\ ys$
 $\langle proof \rangle$

lemma $sublisteq-Cons2-neq$:
assumes $sublisteq\ (x\#\!xs)\ (y\#\!ys)$
shows $x \neq y \Longrightarrow sublisteq\ (x\#\!xs)\ ys$
 $\langle proof \rangle$

lemma $sublisteq-Cons2-iff$ [simp, code]:
 $sublisteq\ (x\#\!xs)\ (y\#\!ys) = (if\ x = y\ then\ sublisteq\ xs\ ys\ else\ sublisteq\ (x\#\!xs)\ ys)$
 $\langle proof \rangle$

lemma $sublisteq-append'$: $sublisteq\ (zs\ @\ xs)\ (zs\ @\ ys) \longleftrightarrow sublisteq\ xs\ ys$
 $\langle proof \rangle$

lemma $sublisteq-refl$ [simp, intro!]: $sublisteq\ xs\ xs$ $\langle proof \rangle$

lemma $sublisteq-antisym$:
assumes $sublisteq\ xs\ ys$ **and** $sublisteq\ ys\ xs$
shows $xs = ys$
 $\langle proof \rangle$

lemma *sublisteq-trans*: $sublisteq\ xs\ ys \implies sublisteq\ ys\ zs \implies sublisteq\ xs\ zs$
 ⟨proof⟩

lemma *sublisteq-append-le-same-iff*: $sublisteq\ (xs\ @\ ys)\ ys \longleftrightarrow xs = []$
 ⟨proof⟩

lemma *list-emb-append-mono*:
 $\llbracket list-emb\ P\ xs\ xs'; list-emb\ P\ ys\ ys' \rrbracket \implies list-emb\ P\ (xs@ys)\ (xs'@ys')$
 ⟨proof⟩

56.7 Appending elements

lemma *sublisteq-append* [*simp*]:
 $sublisteq\ (xs\ @\ zs)\ (ys\ @\ zs) \longleftrightarrow sublisteq\ xs\ ys\ (\mathbf{is}\ ?l = ?r)$
 ⟨proof⟩

lemma *sublisteq-drop-many*: $sublisteq\ xs\ ys \implies sublisteq\ xs\ (zs\ @\ ys)$
 ⟨proof⟩

lemma *sublisteq-rev-drop-many*: $sublisteq\ xs\ ys \implies sublisteq\ xs\ (ys\ @\ zs)$
 ⟨proof⟩

56.8 Relation to standard list operations

lemma *sublisteq-map*:
assumes $sublisteq\ xs\ ys$ **shows** $sublisteq\ (map\ f\ xs)\ (map\ f\ ys)$
 ⟨proof⟩

lemma *sublisteq-filter-left* [*simp*]: $sublisteq\ (filter\ P\ xs)\ xs$
 ⟨proof⟩

lemma *sublisteq-filter* [*simp*]:
assumes $sublisteq\ xs\ ys$ **shows** $sublisteq\ (filter\ P\ xs)\ (filter\ P\ ys)$
 ⟨proof⟩

lemma $sublisteq\ xs\ ys \longleftrightarrow (\exists N. xs = sublist\ ys\ N)\ (\mathbf{is}\ ?L = ?R)$
 ⟨proof⟩

end

57 Linear Temporal Logic on Streams

theory *Linear-Temporal-Logic-on-Streams*
imports *Stream Sublist Extended-Nat Infinite-Set*
begin

58 Preliminaries

lemma *shift-prefix*:

assumes $xl @- xs = yl @- ys$ **and** $length\ xl \leq length\ yl$
shows $prefixeq\ xl\ yl$
 $\langle proof \rangle$

lemma *shift-prefix-cases*:
assumes $xl @- xs = yl @- ys$
shows $prefixeq\ xl\ yl \vee prefixeq\ yl\ xl$
 $\langle proof \rangle$

59 Linear temporal logic

abbreviation (*input*) *IMPL* (**infix** *impl* 60)
where $\varphi\ impl\ \psi \equiv \lambda\ xs.\ \varphi\ xs \longrightarrow \psi\ xs$

abbreviation (*input*) *OR* (**infix** *or* 60)
where $\varphi\ or\ \psi \equiv \lambda\ xs.\ \varphi\ xs \vee \psi\ xs$

abbreviation (*input*) *AND* (**infix** *aand* 60)
where $\varphi\ aand\ \psi \equiv \lambda\ xs.\ \varphi\ xs \wedge \psi\ xs$

abbreviation (*input*) *not* $\varphi \equiv \lambda\ xs.\ \neg\ \varphi\ xs$

abbreviation (*input*) *true* $\equiv \lambda\ xs.\ True$

abbreviation (*input*) *false* $\equiv \lambda\ xs.\ False$

lemma *impl-not-or*: $\varphi\ impl\ \psi = (not\ \varphi)\ or\ \psi$
 $\langle proof \rangle$

lemma *not-or*: $not\ (\varphi\ or\ \psi) = (not\ \varphi)\ aand\ (not\ \psi)$
 $\langle proof \rangle$

lemma *not-aand*: $not\ (\varphi\ aand\ \psi) = (not\ \varphi)\ or\ (not\ \psi)$
 $\langle proof \rangle$

lemma *non-not[simp]*: $not\ (not\ \varphi) = \varphi$ $\langle proof \rangle$

fun *holds* **where** $holds\ P\ xs \longleftrightarrow P\ (shd\ xs)$

fun *nxt* **where** $nxt\ \varphi\ xs = \varphi\ (stl\ xs)$

definition *HLD* $s = holds\ (\lambda x.\ x \in s)$

abbreviation *HLD-nxt* (**infixr** \cdot 65) **where**
 $s \cdot P \equiv HLD\ s\ aand\ nxt\ P$

context

notes $[[inductive-internals]]$

begin

inductive *ev* for φ where

base: $\varphi \text{ } xs \implies \text{ev } \varphi \text{ } xs$

|

step: $\text{ev } \varphi \text{ } (\text{stl } xs) \implies \text{ev } \varphi \text{ } xs$

coinductive *alw* for φ where

alw: $\llbracket \varphi \text{ } xs; \text{alw } \varphi \text{ } (\text{stl } xs) \rrbracket \implies \text{alw } \varphi \text{ } xs$

coinductive *UNTIL* (**infix until 60**) for $\varphi \ \psi$ where

base: $\psi \text{ } xs \implies (\varphi \text{ until } \psi) \text{ } xs$

|

step: $\llbracket \varphi \text{ } xs; (\varphi \text{ until } \psi) \text{ } (\text{stl } xs) \rrbracket \implies (\varphi \text{ until } \psi) \text{ } xs$

end

lemma *holds-mono*:

assumes *holds*: *holds* $P \text{ } xs$ **and** 0 : $\bigwedge x. P \ x \implies Q \ x$

shows *holds* $Q \text{ } xs$

<proof>

lemma *holds-aand*:

(holds $P \text{ aand holds } Q) \text{ steps} \longleftrightarrow \text{holds } (\lambda \text{ step. } P \ \text{step} \wedge Q \ \text{step}) \text{ steps}$ *<proof>*

lemma *HLD-iff*: $\text{HLD } s \ \omega \longleftrightarrow \text{shd } \omega \in s$

<proof>

lemma *HLD-Stream[simp]*: $\text{HLD } X \ (x \ \#\# \ \omega) \longleftrightarrow x \in X$

<proof>

lemma *next-mono*:

assumes *next*: *next* $\varphi \text{ } xs$ **and** 0 : $\bigwedge xs. \varphi \text{ } xs \implies \psi \text{ } xs$

shows *next* $\psi \text{ } xs$

<proof>

declare *ev.intros*[*intro*]

declare *alw.cases*[*elim*]

lemma *ev-induct-strong*[*consumes 1, case-names base step*]:

$\text{ev } \varphi \text{ } x \implies (\bigwedge xs. \varphi \text{ } xs \implies P \text{ } xs) \implies (\bigwedge xs. \text{ev } \varphi \text{ } (\text{stl } xs) \implies \neg \varphi \text{ } xs \implies P \text{ } (\text{stl } xs)) \implies P \text{ } x$

<proof>

lemma *alw-coinduct*[*consumes 1, case-names alw stl*]:

$X \ x \implies (\bigwedge x. X \ x \implies \varphi \text{ } x) \implies (\bigwedge x. X \ x \implies \neg \text{alw } \varphi \text{ } (\text{stl } x) \implies X \text{ } (\text{stl } x)) \implies \text{alw } \varphi \text{ } x$

<proof>

lemma *ev-mono*:

assumes *ev*: $ev\ \varphi\ xs$ **and** $0: \bigwedge xs. \varphi\ xs \implies \psi\ xs$

shows $ev\ \psi\ xs$

<proof>

lemma *alw-mono*:

assumes *alw*: $alw\ \varphi\ xs$ **and** $0: \bigwedge xs. \varphi\ xs \implies \psi\ xs$

shows $alw\ \psi\ xs$

<proof>

lemma *until-monoL*:

assumes *until*: $(\varphi1\ until\ \psi)\ xs$ **and** $0: \bigwedge xs. \varphi1\ xs \implies \varphi2\ xs$

shows $(\varphi2\ until\ \psi)\ xs$

<proof>

lemma *until-monoR*:

assumes *until*: $(\varphi\ until\ \psi1)\ xs$ **and** $0: \bigwedge xs. \psi1\ xs \implies \psi2\ xs$

shows $(\varphi\ until\ \psi2)\ xs$

<proof>

lemma *until-mono*:

assumes *until*: $(\varphi1\ until\ \psi1)\ xs$ **and**

$0: \bigwedge xs. \varphi1\ xs \implies \varphi2\ xs \wedge xs. \psi1\ xs \implies \psi2\ xs$

shows $(\varphi2\ until\ \psi2)\ xs$

<proof>

lemma *until-false*: $\varphi\ until\ false = alw\ \varphi$

<proof>

lemma *ev-next*: $ev\ \varphi = (\varphi\ or\ next\ (ev\ \varphi))$

<proof>

lemma *alw-next*: $alw\ \varphi = (\varphi\ aand\ next\ (alw\ \varphi))$

<proof>

lemma *ev-ev[simp]*: $ev\ (ev\ \varphi) = ev\ \varphi$

<proof>

lemma *alw-alw[simp]*: $alw\ (alw\ \varphi) = alw\ \varphi$

<proof>

lemma *ev-shift*:

assumes $ev\ \varphi\ xs$

shows $ev\ \varphi\ (xl\ @-\ xs)$

<proof>

lemma *ev-imp-shift*:

assumes $ev\ \varphi\ xs$ **shows** $\exists xl\ xs2. xs = xl\ @-\ xs2 \wedge \varphi\ xs2$

<proof>

lemma *alw-ev-shift*: $alw \ \varphi \ xs1 \implies ev \ (alw \ \varphi) \ (xl \ @- \ xs1)$
 ⟨proof⟩

lemma *alw-shift*:
assumes $alw \ \varphi \ (xl \ @- \ xs)$
shows $alw \ \varphi \ xs$
 ⟨proof⟩

lemma *ev-ex-nxt*:
assumes $ev \ \varphi \ xs$
shows $\exists n. (nxt \ \hat{\hat{}} \ n) \ \varphi \ xs$
 ⟨proof⟩

lemma *alw-sdrop*:
assumes $alw \ \varphi \ xs$ **shows** $alw \ \varphi \ (sdrop \ n \ xs)$
 ⟨proof⟩

lemma *nxt-sdrop*: $(nxt \ \hat{\hat{}} \ n) \ \varphi \ xs \longleftrightarrow \varphi \ (sdrop \ n \ xs)$
 ⟨proof⟩

definition *wait* $\varphi \ xs \equiv LEAST \ n. (nxt \ \hat{\hat{}} \ n) \ \varphi \ xs$

lemma *nxt-wait*:
assumes $ev \ \varphi \ xs$ **shows** $(nxt \ \hat{\hat{}} \ (wait \ \varphi \ xs)) \ \varphi \ xs$
 ⟨proof⟩

lemma *nxt-wait-least*:
assumes $ev: ev \ \varphi \ xs$ **and** $nxt: (nxt \ \hat{\hat{}} \ n) \ \varphi \ xs$ **shows** $wait \ \varphi \ xs \leq n$
 ⟨proof⟩

lemma *sdrop-wait*:
assumes $ev \ \varphi \ xs$ **shows** $\varphi \ (sdrop \ (wait \ \varphi \ xs) \ xs)$
 ⟨proof⟩

lemma *sdrop-wait-least*:
assumes $ev: ev \ \varphi \ xs$ **and** $nxt: \varphi \ (sdrop \ n \ xs)$ **shows** $wait \ \varphi \ xs \leq n$
 ⟨proof⟩

lemma *nxt-ev*: $(nxt \ \hat{\hat{}} \ n) \ \varphi \ xs \implies ev \ \varphi \ xs$
 ⟨proof⟩

lemma *not-ev*: $not \ (ev \ \varphi) = alw \ (not \ \varphi)$
 ⟨proof⟩

lemma *not-alw*: $not \ (alw \ \varphi) = ev \ (not \ \varphi)$
 ⟨proof⟩

lemma *not-ev-not[simp]*: $not \ (ev \ (not \ \varphi)) = alw \ \varphi$

<proof>

lemma *not-alw-not[simp]*: $\text{not } (\text{alw } (\text{not } \varphi)) = \text{ev } \varphi$
<proof>

lemma *alw-ev-sdrop*:
assumes $\text{alw } (\text{ev } \varphi) (\text{sdrop } m \text{ } xs)$
shows $\text{alw } (\text{ev } \varphi) \text{ } xs$
<proof>

lemma *ev-alw-imp-alw-ev*:
assumes $\text{ev } (\text{alw } \varphi) \text{ } xs$ **shows** $\text{alw } (\text{ev } \varphi) \text{ } xs$
<proof>

lemma *alw-aand*: $\text{alw } (\varphi \text{ aand } \psi) = \text{alw } \varphi \text{ aand } \text{alw } \psi$
<proof>

lemma *ev-or*: $\text{ev } (\varphi \text{ or } \psi) = \text{ev } \varphi \text{ or } \text{ev } \psi$
<proof>

lemma *ev-alw-aand*:
assumes $\varphi: \text{ev } (\text{alw } \varphi) \text{ } xs$ **and** $\psi: \text{ev } (\text{alw } \psi) \text{ } xs$
shows $\text{ev } (\text{alw } (\varphi \text{ aand } \psi)) \text{ } xs$
<proof>

lemma *ev-alw-alw-impl*:
assumes $\text{ev } (\text{alw } \varphi) \text{ } xs$ **and** $\text{alw } (\text{alw } \varphi \text{ impl } \text{ev } \psi) \text{ } xs$
shows $\text{ev } \psi \text{ } xs$
<proof>

lemma *ev-alw-stl[simp]*: $\text{ev } (\text{alw } \varphi) (\text{stl } x) \longleftrightarrow \text{ev } (\text{alw } \varphi) \text{ } x$
<proof>

lemma *alw-alw-impl-ev*:
 $\text{alw } (\text{alw } \varphi \text{ impl } \text{ev } \psi) = (\text{ev } (\text{alw } \varphi) \text{ impl } \text{alw } (\text{ev } \psi))$ (**is** $?A = ?B$)
<proof>

lemma *ev-alw-impl*:
assumes $\text{ev } \varphi \text{ } xs$ **and** $\text{alw } (\varphi \text{ impl } \psi) \text{ } xs$ **shows** $\text{ev } \psi \text{ } xs$
<proof>

lemma *ev-alw-impl-ev*:
assumes $\text{ev } \varphi \text{ } xs$ **and** $\text{alw } (\varphi \text{ impl } \text{ev } \psi) \text{ } xs$ **shows** $\text{ev } \psi \text{ } xs$
<proof>

lemma *alw-mp*:
assumes $\text{alw } \varphi \text{ } xs$ **and** $\text{alw } (\varphi \text{ impl } \psi) \text{ } xs$
shows $\text{alw } \psi \text{ } xs$
<proof>

lemma *all-imp-alw*:

assumes $\bigwedge xs. \varphi xs$ **shows** $alw \varphi xs$
 $\langle proof \rangle$

lemma *alw-impl-ev-alw*:

assumes $alw (\varphi \text{ impl } ev \psi) xs$
shows $alw (ev \varphi \text{ impl } ev \psi) xs$
 $\langle proof \rangle$

lemma *ev-holds-sset*:

$ev (\text{holds } P) xs \longleftrightarrow (\exists x \in sset xs. P x)$ (**is** $?L \longleftrightarrow ?R$)
 $\langle proof \rangle$

lemma *alw-invar*:

assumes φxs **and** $alw (\varphi \text{ impl } next \varphi) xs$
shows $alw \varphi xs$
 $\langle proof \rangle$

lemma *variance*:

assumes 1: φxs **and** 2: $alw (\varphi \text{ impl } (\psi \text{ or } next \varphi)) xs$
shows $(alw \varphi \text{ or } ev \psi) xs$
 $\langle proof \rangle$

lemma *ev-alw-imp-next*:

assumes $e: ev \varphi xs$ **and** $a: alw (\varphi \text{ impl } (next \varphi)) xs$
shows $ev (alw \varphi) xs$
 $\langle proof \rangle$

inductive *ev-at* :: $('a \text{ stream} \Rightarrow bool) \Rightarrow nat \Rightarrow 'a \text{ stream} \Rightarrow bool$ **for** $P :: 'a \text{ stream} \Rightarrow bool$ **where**

$base: P \omega \Longrightarrow ev\text{-at } P \ 0 \ \omega$
 | $step: \neg P \omega \Longrightarrow ev\text{-at } P \ n \ (stl \ \omega) \Longrightarrow ev\text{-at } P \ (Suc \ n) \ \omega$

inductive-simps *ev-at-0[simp]*: $ev\text{-at } P \ 0 \ \omega$

inductive-simps *ev-at-Suc[simp]*: $ev\text{-at } P \ (Suc \ n) \ \omega$

lemma *ev-at-imp-snth*: $ev\text{-at } P \ n \ \omega \Longrightarrow P \ (sdrop \ n \ \omega)$
 $\langle proof \rangle$

lemma *ev-at-HLD-imp-snth*: $ev\text{-at } (HLD \ X) \ n \ \omega \Longrightarrow \omega !! n \in X$
 $\langle proof \rangle$

lemma *ev-at-HLD-single-imp-snth*: $ev\text{-at } (HLD \ \{x\}) \ n \ \omega \Longrightarrow \omega !! n = x$
 $\langle proof \rangle$

lemma *ev-at-unique*: $ev\text{-at } P \ n \ \omega \Longrightarrow ev\text{-at } P \ m \ \omega \Longrightarrow n = m$

<proof>

lemma *ev-iff-ev-at*: $ev\ P\ \omega \longleftrightarrow (\exists n. ev-at\ P\ n\ \omega)$
<proof>

lemma *ev-at-shift*: $ev-at\ (HLD\ X)\ i\ (stake\ (Suc\ i)\ \omega\ @-\ \omega' :: 's\ stream) \longleftrightarrow ev-at\ (HLD\ X)\ i\ \omega$
<proof>

lemma *ev-iff-ev-at-unique*: $ev\ P\ \omega \longleftrightarrow (\exists!n. ev-at\ P\ n\ \omega)$
<proof>

lemma *alw-HLD-iff-streams*: $alw\ (HLD\ X)\ \omega \longleftrightarrow \omega \in streams\ X$
<proof>

lemma *not-HLD*: $not\ (HLD\ X) = HLD\ (-\ X)$
<proof>

lemma *not-alw-iff*: $\neg\ (alw\ P\ \omega) \longleftrightarrow ev\ (not\ P)\ \omega$
<proof>

lemma *not-ev-iff*: $\neg\ (ev\ P\ \omega) \longleftrightarrow alw\ (not\ P)\ \omega$
<proof>

lemma *ev-Stream*: $ev\ P\ (x\ \#\#\ s) \longleftrightarrow P\ (x\ \#\#\ s) \vee ev\ P\ s$
<proof>

lemma *alw-ev-imp-ev-alw*:
assumes $alw\ (ev\ P)\ \omega$ **shows** $ev\ (P\ aand\ alw\ (ev\ P))\ \omega$
<proof>

lemma *ev-False*: $ev\ (\lambda x. False)\ \omega \longleftrightarrow False$
<proof>

lemma *alw-False*: $alw\ (\lambda x. False)\ \omega \longleftrightarrow False$
<proof>

lemma *ev-iff-sdrop*: $ev\ P\ \omega \longleftrightarrow (\exists m. P\ (sdrop\ m\ \omega))$
<proof>

lemma *alw-iff-sdrop*: $alw\ P\ \omega \longleftrightarrow (\forall m. P\ (sdrop\ m\ \omega))$
<proof>

lemma *infinite-iff-alw-ev*: $infinite\ \{m. P\ (sdrop\ m\ \omega)\} \longleftrightarrow alw\ (ev\ P)\ \omega$
<proof>

lemma *alw-inv*:
assumes $stl: \bigwedge s. f\ (stl\ s) = stl\ (f\ s)$
shows $alw\ P\ (f\ s) \longleftrightarrow alw\ (\lambda x. P\ (f\ x))\ s$

<proof>

lemma *ev-inv*:

assumes *stl*: $\bigwedge s. f (stl\ s) = stl (f\ s)$

shows $ev\ P (f\ s) \longleftrightarrow ev (\lambda x. P (f\ x))\ s$

<proof>

lemma *alw-smap*: $alw\ P (smap\ f\ s) \longleftrightarrow alw (\lambda x. P (smap\ f\ x))\ s$

<proof>

lemma *ev-smap*: $ev\ P (smap\ f\ s) \longleftrightarrow ev (\lambda x. P (smap\ f\ x))\ s$

<proof>

lemma *alw-cong*:

assumes *P*: $alw\ P\ \omega$ **and** *eq*: $\bigwedge \omega. P\ \omega \implies Q1\ \omega \longleftrightarrow Q2\ \omega$

shows $alw\ Q1\ \omega \longleftrightarrow alw\ Q2\ \omega$

<proof>

lemma *ev-cong*:

assumes *P*: $alw\ P\ \omega$ **and** *eq*: $\bigwedge \omega. P\ \omega \implies Q1\ \omega \longleftrightarrow Q2\ \omega$

shows $ev\ Q1\ \omega \longleftrightarrow ev\ Q2\ \omega$

<proof>

lemma *alwD*: $alw\ P\ x \implies P\ x$

<proof>

lemma *alw-alwD*: $alw\ P\ \omega \implies alw (alw\ P)\ \omega$

<proof>

lemma *alw-ev-stl*: $alw (ev\ P) (stl\ \omega) \longleftrightarrow alw (ev\ P)\ \omega$

<proof>

lemma *holds-Stream*: $holds\ P (x\ \#\#\ s) \longleftrightarrow P\ x$

<proof>

lemma *holds-eq1[simp]*: $holds (op = x) = HLD\ \{x\}$

<proof>

lemma *holds-eq2[simp]*: $holds (\lambda y. y = x) = HLD\ \{x\}$

<proof>

lemma *not-holds-eq[simp]*: $holds (\neg op = x) = not (HLD\ \{x\})$

<proof>

Strong until

context

notes *[[inductive-internals]]*

begin

inductive *suntil* (**infix** *suntil* 60) for $\varphi \psi$ **where**

base: $\psi \omega \implies (\varphi \text{ until } \psi) \omega$

| *step*: $\varphi \omega \implies (\varphi \text{ until } \psi) (\text{stl } \omega) \implies (\varphi \text{ until } \psi) \omega$

inductive-simps *suntil-Stream*: $(\varphi \text{ until } \psi) (x \#\# s)$

end

lemma *suntil-induct-strong*[*consumes 1, case-names base step*]:

$(\varphi \text{ until } \psi) x \implies$

$(\bigwedge \omega. \psi \omega \implies P \omega) \implies$

$(\bigwedge \omega. \varphi \omega \implies \neg \psi \omega \implies (\varphi \text{ until } \psi) (\text{stl } \omega) \implies P (\text{stl } \omega) \implies P \omega) \implies P x$

<proof>

lemma *ev-suntil*: $(\varphi \text{ until } \psi) \omega \implies \text{ev } \psi \omega$

<proof>

lemma *suntil-inv*:

assumes *stl*: $\bigwedge s. f (\text{stl } s) = \text{stl } (f s)$

shows $(P \text{ until } Q) (f s) \longleftrightarrow ((\lambda x. P (f x)) \text{ until } (\lambda x. Q (f x))) s$

<proof>

lemma *suntil-smap*: $(P \text{ until } Q) (\text{smap } f s) \longleftrightarrow ((\lambda x. P (\text{smap } f x)) \text{ until } (\lambda x. Q (\text{smap } f x))) s$

<proof>

lemma *hld-smap*: $\text{HLD } x (\text{smap } f s) = \text{holds } (\lambda y. f y \in x) s$

<proof>

lemma *suntil-mono*:

assumes *eq*: $\bigwedge \omega. P \omega \implies Q1 \omega \implies Q2 \omega \bigwedge \omega. P \omega \implies R1 \omega \implies R2 \omega$

assumes ***: $(Q1 \text{ until } R1) \omega \text{ alw } P \omega$ **shows** $(Q2 \text{ until } R2) \omega$

<proof>

lemma *suntil-cong*:

$\text{alw } P \omega \implies (\bigwedge \omega. P \omega \implies Q1 \omega \longleftrightarrow Q2 \omega) \implies (\bigwedge \omega. P \omega \implies R1 \omega \longleftrightarrow R2 \omega) \implies$

$(Q1 \text{ until } R1) \omega \longleftrightarrow (Q2 \text{ until } R2) \omega$

<proof>

lemma *ev-suntil-iff*: $\text{ev } (P \text{ until } Q) \omega \longleftrightarrow \text{ev } Q \omega$

<proof>

lemma *true-suntil*: $((\lambda -. \text{True}) \text{ until } P) = \text{ev } P$

<proof>

lemma *suntil-lfp*: $(\varphi \text{ until } \psi) = \text{lfp } (\lambda P s. \psi s \vee (\varphi s \wedge P (\text{stl } s)))$

<proof>

lemma *sfilter-P[simp]*: $P \text{ (shd } s) \implies \text{sfilter } P \ s = \text{shd } s \ \#\#\ \text{sfilter } P \ (\text{stl } s)$
 ⟨proof⟩

lemma *sfilter-not-P[simp]*: $\neg P \text{ (shd } s) \implies \text{sfilter } P \ s = \text{sfilter } P \ (\text{stl } s)$
 ⟨proof⟩

lemma *sfilter-eq*:
assumes $ev \text{ (holds } P) \ s$
shows $\text{sfilter } P \ s = x \ \#\#\ s' \longleftrightarrow$
 $P \ x \wedge (\text{not (holds } P) \ \text{suntil (HLD } \{x\} \ \text{aand } \text{next } (\lambda s. \text{sfilter } P \ s = s')) \ s)$
 ⟨proof⟩

lemma *sfilter-streams*:
 $alw \text{ (ev (holds } P)) \ \omega \implies \omega \in \text{streams } A \implies \text{sfilter } P \ \omega \in \text{streams } \{x \in A. P \ x\}$
 ⟨proof⟩

lemma *alw-sfilter*:
assumes $*$: $alw \text{ (ev (holds } P)) \ s$
shows $alw \ Q \ (\text{sfilter } P \ s) \longleftrightarrow alw \ (\lambda x. \ Q \ (\text{sfilter } P \ x)) \ s$
 ⟨proof⟩

lemma *ev-sfilter*:
assumes $*$: $alw \text{ (ev (holds } P)) \ s$
shows $ev \ Q \ (\text{sfilter } P \ s) \longleftrightarrow ev \ (\lambda x. \ Q \ (\text{sfilter } P \ x)) \ s$
 ⟨proof⟩

lemma *holds-sfilter*:
assumes $ev \text{ (holds } Q) \ s$ **shows** $\text{holds } P \ (\text{sfilter } Q \ s) \longleftrightarrow (\text{not (holds } Q) \ \text{suntil (holds } (Q \ \text{aand } P))) \ s$
 ⟨proof⟩

lemma *suntil-aand-next*:
 $(\varphi \ \text{suntil } (\varphi \ \text{aand } \text{next } \psi)) \ \omega \longleftrightarrow (\varphi \ \text{aand } \text{next } (\varphi \ \text{suntil } \psi)) \ \omega$
 ⟨proof⟩

lemma *alw-sconst*: $alw \ P \ (\text{sconst } x) \longleftrightarrow P \ (\text{sconst } x)$
 ⟨proof⟩

lemma *ev-sconst*: $ev \ P \ (\text{sconst } x) \longleftrightarrow P \ (\text{sconst } x)$
 ⟨proof⟩

lemma *suntil-sconst*: $(\varphi \ \text{suntil } \psi) \ (\text{sconst } x) \longleftrightarrow \psi \ (\text{sconst } x)$
 ⟨proof⟩

lemma *hld-smap'*: $HLD \ x \ (\text{smap } f \ s) = HLD \ (f \ -' \ x) \ s$
 ⟨proof⟩

end

60 Lists as vectors

```
theory ListVector
imports List Main
begin
```

A vector-space like structure of lists and arithmetic operations on them. Is only a vector space if restricted to lists of the same length.

Multiplication with a scalar:

```
abbreviation scale :: ('a::times) ⇒ 'a list ⇒ 'a list (infix *_s 70)
where x *_s xs ≡ map (op * x) xs
```

```
lemma scaleI[simp]: (1::'a::monoid-mult) *_s xs = xs
⟨proof⟩
```

60.1 + and -

```
fun zipwith0 :: ('a::zero ⇒ 'b::zero ⇒ 'c) ⇒ 'a list ⇒ 'b list ⇒ 'c list
where
```

```
zipwith0 f [] [] = [] |
zipwith0 f (x#xs) (y#ys) = f x y # zipwith0 f xs ys |
zipwith0 f (x#xs) [] = f x 0 # zipwith0 f xs [] |
zipwith0 f [] (y#ys) = f 0 y # zipwith0 f [] ys
```

```
instantiation list :: ({zero, plus}) plus
begin
```

definition

```
list-add-def: op + = zipwith0 (op +)
```

```
instance ⟨proof⟩
```

end

```
instantiation list :: ({zero, uminus}) uminus
begin
```

definition

```
list-uminus-def: uminus = map uminus
```

```
instance ⟨proof⟩
```

end

```
instantiation list :: ({zero, minus}) minus
begin
```

definition

```
list-diff-def: op - = zipwith0 (op -)
```

instance $\langle proof \rangle$

end

lemma *zipwith0-Nil[simp]*: $zipwith0\ f\ []\ ys = map\ (f\ 0)\ ys$
 $\langle proof \rangle$

lemma *list-add-Nil[simp]*: $[] + xs = (xs::'a::monoid-add\ list)$
 $\langle proof \rangle$

lemma *list-add-Nil2[simp]*: $xs + [] = (xs::'a::monoid-add\ list)$
 $\langle proof \rangle$

lemma *list-add-Cons[simp]*: $(x\#\ xs) + (y\#\ ys) = (x+y)\#\ (xs+ys)$
 $\langle proof \rangle$

lemma *list-diff-Nil[simp]*: $[] - xs = -(xs::'a::group-add\ list)$
 $\langle proof \rangle$

lemma *list-diff-Nil2[simp]*: $xs - [] = (xs::'a::group-add\ list)$
 $\langle proof \rangle$

lemma *list-diff-Cons-Cons[simp]*: $(x\#\ xs) - (y\#\ ys) = (x-y)\#\ (xs-ys)$
 $\langle proof \rangle$

lemma *list-uminus-Cons[simp]*: $-(x\#\ xs) = (-x)\#\ (-xs)$
 $\langle proof \rangle$

lemma *self-list-diff*:
 $xs - xs = replicate\ (length\ (xs::'a::group-add\ list))\ 0$
 $\langle proof \rangle$

lemma *list-add-assoc*: **fixes** $xs :: 'a::monoid-add\ list$
shows $(xs+ys)+zs = xs+(ys+zs)$
 $\langle proof \rangle$

60.2 Inner product

definition *iproduct* :: $'a::ring\ list \Rightarrow 'a\ list \Rightarrow 'a\ (\langle -, - \rangle)$ **where**
 $\langle xs, ys \rangle = (\sum\ (x, y) \leftarrow zip\ xs\ ys.\ x*y)$

lemma *iproduct-Nil[simp]*: $\langle [], ys \rangle = 0$
 $\langle proof \rangle$

lemma *iproduct-Nil2[simp]*: $\langle xs, [] \rangle = 0$
 $\langle proof \rangle$

lemma *iproduct-Cons[simp]*: $\langle x\#\ xs, y\#\ ys \rangle = x*y + \langle xs, ys \rangle$

<proof>

lemma *iproduct-if-coeffs0*: $\forall c \in \text{set } cs. c = 0 \implies \langle cs, xs \rangle = 0$
<proof>

lemma *iproduct-uminus[simp]*: $\langle -xs, ys \rangle = -\langle xs, ys \rangle$
<proof>

lemma *iproduct-left-add-distrib*: $\langle xs + ys, zs \rangle = \langle xs, zs \rangle + \langle ys, zs \rangle$
<proof>

lemma *iproduct-left-diff-distrib*: $\langle xs - ys, zs \rangle = \langle xs, zs \rangle - \langle ys, zs \rangle$
<proof>

lemma *iproduct-assoc*: $\langle x *_s xs, ys \rangle = x * \langle xs, ys \rangle$
<proof>

end

61 Definitions of Least Upper Bounds and Greatest Lower Bounds

theory *Lub-Glb*
imports *Complex-Main*
begin

Thanks to suggestions by James Margetson

definition *setle* :: $'a \text{ set} \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$ (**infixl** $*\leq$ 70)
where $S * \leq x = (\text{ALL } y: S. y \leq x)$

definition *setge* :: $'a::\text{ord} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ (**infixl** \leq^* 70)
where $x \leq^* S = (\text{ALL } y: S. x \leq y)$

61.1 Rules for the Relations $*\leq$ and \leq^*

lemma *setleI*: $\text{ALL } y: S. y \leq x \implies S * \leq x$
<proof>

lemma *setleD*: $S * \leq x \implies y: S \implies y \leq x$
<proof>

lemma *setgeI*: $\text{ALL } y: S. x \leq y \implies x \leq^* S$
<proof>

lemma *setgeD*: $x \leq^* S \implies y: S \implies x \leq y$
<proof>

definition $leastP :: ('a \Rightarrow bool) \Rightarrow 'a::ord \Rightarrow bool$
where $leastP P x = (P x \wedge x \leq_* Collect P)$

definition $isUb :: 'a set \Rightarrow 'a set \Rightarrow 'a::ord \Rightarrow bool$
where $isUb R S x = (S \leq_* x \wedge x : R)$

definition $isLub :: 'a set \Rightarrow 'a set \Rightarrow 'a::ord \Rightarrow bool$
where $isLub R S x = leastP (isUb R S) x$

definition $ubs :: 'a set \Rightarrow 'a::ord set \Rightarrow 'a set$
where $ubs R S = Collect (isUb R S)$

61.2 Rules about the Operators $leastP$, ub and lub

lemma $leastPD1: leastP P x \Longrightarrow P x$
<proof>

lemma $leastPD2: leastP P x \Longrightarrow x \leq_* Collect P$
<proof>

lemma $leastPD3: leastP P x \Longrightarrow y: Collect P \Longrightarrow x \leq y$
<proof>

lemma $isLubD1: isLub R S x \Longrightarrow S \leq_* x$
<proof>

lemma $isLubD1a: isLub R S x \Longrightarrow x: R$
<proof>

lemma $isLub-isUb: isLub R S x \Longrightarrow isUb R S x$
<proof>

lemma $isLubD2: isLub R S x \Longrightarrow y : S \Longrightarrow y \leq x$
<proof>

lemma $isLubD3: isLub R S x \Longrightarrow leastP (isUb R S) x$
<proof>

lemma $isLubI1: leastP(isUb R S) x \Longrightarrow isLub R S x$
<proof>

lemma $isLubI2: isUb R S x \Longrightarrow x \leq_* Collect (isUb R S) \Longrightarrow isLub R S x$
<proof>

lemma $isUbD: isUb R S x \Longrightarrow y : S \Longrightarrow y \leq x$
<proof>

lemma $isUbD2: isUb R S x \Longrightarrow S \leq_* x$
<proof>

lemma *isUbD2a*: $isUb\ R\ S\ x \implies x: R$
 ⟨*proof*⟩

lemma *isUbI*: $S\ *<= x \implies x: R \implies isUb\ R\ S\ x$
 ⟨*proof*⟩

lemma *isLub-le-isUb*: $isLub\ R\ S\ x \implies isUb\ R\ S\ y \implies x \leq y$
 ⟨*proof*⟩

lemma *isLub-ubs*: $isLub\ R\ S\ x \implies x <=*\ ub\ R\ S$
 ⟨*proof*⟩

lemma *isLub-unique*: $[| isLub\ R\ S\ x; isLub\ R\ S\ y |] \implies x = (y::'a::linorder)$
 ⟨*proof*⟩

lemma *isUb-UNIV-I*: $(\bigwedge y. y \in S \implies y \leq u) \implies isUb\ UNIV\ S\ u$
 ⟨*proof*⟩

definition *greatestP* :: $('a \Rightarrow bool) \Rightarrow 'a::ord \Rightarrow bool$
 where $greatestP\ P\ x = (P\ x \wedge Collect\ P\ *<= x)$

definition *isLb* :: $'a\ set \Rightarrow 'a\ set \Rightarrow 'a::ord \Rightarrow bool$
 where $isLb\ R\ S\ x = (x <=* S \wedge x: R)$

definition *isGlb* :: $'a\ set \Rightarrow 'a\ set \Rightarrow 'a::ord \Rightarrow bool$
 where $isGlb\ R\ S\ x = greatestP\ (isLb\ R\ S)\ x$

definition *lbs* :: $'a\ set \Rightarrow 'a::ord\ set \Rightarrow 'a\ set$
 where $lbs\ R\ S = Collect\ (isLb\ R\ S)$

61.3 Rules about the Operators *greatestP*, *isLb* and *isGlb*

lemma *greatestPD1*: $greatestP\ P\ x \implies P\ x$
 ⟨*proof*⟩

lemma *greatestPD2*: $greatestP\ P\ x \implies Collect\ P\ *<= x$
 ⟨*proof*⟩

lemma *greatestPD3*: $greatestP\ P\ x \implies y: Collect\ P \implies x \geq y$
 ⟨*proof*⟩

lemma *isGlbD1*: $isGlb\ R\ S\ x \implies x <=* S$
 ⟨*proof*⟩

lemma *isGlbD1a*: $isGlb\ R\ S\ x \implies x: R$
 ⟨*proof*⟩

lemma *isGlb-isLb*: $isGlb\ R\ S\ x \implies isLb\ R\ S\ x$
 ⟨proof⟩

lemma *isGlbD2*: $isGlb\ R\ S\ x \implies y : S \implies y \geq x$
 ⟨proof⟩

lemma *isGlbD3*: $isGlb\ R\ S\ x \implies greatestP\ (isLb\ R\ S)\ x$
 ⟨proof⟩

lemma *isGlbI1*: $greatestP\ (isLb\ R\ S)\ x \implies isGlb\ R\ S\ x$
 ⟨proof⟩

lemma *isGlbI2*: $isLb\ R\ S\ x \implies Collect\ (isLb\ R\ S)\ *<= x \implies isGlb\ R\ S\ x$
 ⟨proof⟩

lemma *isLbD*: $isLb\ R\ S\ x \implies y : S \implies y \geq x$
 ⟨proof⟩

lemma *isLbD2*: $isLb\ R\ S\ x \implies x <=* S$
 ⟨proof⟩

lemma *isLbD2a*: $isLb\ R\ S\ x \implies x : R$
 ⟨proof⟩

lemma *isLbI*: $x <=* S \implies x : R \implies isLb\ R\ S\ x$
 ⟨proof⟩

lemma *isGlb-le-isLb*: $isGlb\ R\ S\ x \implies isLb\ R\ S\ y \implies x \geq y$
 ⟨proof⟩

lemma *isGlb-ubs*: $isGlb\ R\ S\ x \implies lbs\ R\ S\ *<= x$
 ⟨proof⟩

lemma *isGlb-unique*: $[\ [isGlb\ R\ S\ x; isGlb\ R\ S\ y]] \implies x = (y::'a::linorder)$
 ⟨proof⟩

lemma *bdd-above-settle*: $bdd-above\ A \longleftrightarrow (\exists a. A *<= a)$
 ⟨proof⟩

lemma *bdd-below-setge*: $bdd-below\ A \longleftrightarrow (\exists a. a <=* A)$
 ⟨proof⟩

lemma *isLub-cSup*:
 $(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies (\exists b. S *<= b) \implies isLub\ UNIV\ S\ (Sup\ S)$
 ⟨proof⟩

lemma *isGlb-cInf*:
 $(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies (\exists b. b <=* S) \implies isGlb$

UNIV S (Inf S)
 ⟨proof⟩

lemma *cSup-le*: $(S :: 'a :: \text{conditionally-complete-lattice set}) \neq \{\} \implies S * \leq b \implies \text{Sup } S \leq b$
 ⟨proof⟩

lemma *cInf-ge*: $(S :: 'a :: \text{conditionally-complete-lattice set}) \neq \{\} \implies b <=* S \implies \text{Inf } S \geq b$
 ⟨proof⟩

lemma *cSup-bounds*:
 fixes $S :: 'a :: \text{conditionally-complete-lattice set}$
 shows $S \neq \{\} \implies a <=* S \implies S * \leq b \implies a \leq \text{Sup } S \wedge \text{Sup } S \leq b$
 ⟨proof⟩

lemma *cSup-unique*: $(S :: 'a :: \{\text{conditionally-complete-linorder, no-bot}\} \text{ set}) * \leq b \implies (\forall b' < b. \exists x \in S. b' < x) \implies \text{Sup } S = b$
 ⟨proof⟩

lemma *cInf-unique*: $b <=* (S :: 'a :: \{\text{conditionally-complete-linorder, no-top}\} \text{ set}) \implies (\forall b' > b. \exists x \in S. b' > x) \implies \text{Inf } S = b$
 ⟨proof⟩

Use completeness of reals (supremum property) to show that any bounded sequence has a least upper bound

lemma *reals-complete*: $\exists X. X \in S \implies \exists Y. \text{isUb } (\text{UNIV} :: \text{real set}) S Y \implies \exists t. \text{isLub } (\text{UNIV} :: \text{real set}) S t$
 ⟨proof⟩

lemma *Bseq-isUb*: $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isUb } (\text{UNIV} :: \text{real set}) \{x. \exists n. X n = x\} U$
 ⟨proof⟩

lemma *Bseq-isLub*: $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isLub } (\text{UNIV} :: \text{real set}) \{x. \exists n. X n = x\} U$
 ⟨proof⟩

lemma *isLub-mono-imp-LIMSEQ*:
 fixes $X :: \text{nat} \Rightarrow \text{real}$
 assumes $u: \text{isLub } \text{UNIV } \{x. \exists n. X n = x\} u$
 assumes $X: \forall m n. m \leq n \longrightarrow X m \leq X n$
 shows $X \longrightarrow u$
 ⟨proof⟩

lemmas *real-isGlb-unique = isGlb-unique*[where $'a = \text{real}$]

lemma *real-le-inf-subset*: $t \neq \{\} \implies t \subseteq s \implies \exists b. b <=* s \implies \text{Inf } s \leq \text{Inf } t$
 ($t :: \text{real set}$)

<proof>

lemma *real-ge-sup-subset*: $t \neq \{\}$ $\implies t \subseteq s \implies \exists b. s * \leq b \implies \text{Sup } s \geq \text{Sup } (t::\text{real set})$
<proof>

end

62 An abstract view on maps for code generation.

theory *Mapping*
imports *Main*
begin

62.1 Parametricity transfer rules

lemma *map-of-foldr*: — FIXME move
 $\text{map-of } xs = \text{foldr } (\lambda(k, v) m. m(k \mapsto v)) \ xs \ \text{Map.empty}$
<proof>

context
begin

interpretation *lifting-syntax* *<proof>*

lemma *empty-parametric*:
 $(A \text{ ==== } \> \text{rel-option } B) \ \text{Map.empty} \ \text{Map.empty}$
<proof>

lemma *lookup-parametric*: $((A \text{ ==== } \> B) \text{ ==== } \> A \text{ ==== } \> B) \ (\lambda m \ k. m \ k) \ (\lambda m \ k. m \ k)$
<proof>

lemma *update-parametric*:
assumes [*transfer-rule*]: *bi-unique* A
shows $(A \text{ ==== } \> B \text{ ==== } \> (A \text{ ==== } \> \text{rel-option } B) \text{ ==== } \> A \text{ ==== } \> \text{rel-option } B)$
 $(\lambda k \ v \ m. m(k \mapsto v)) \ (\lambda k \ v \ m. m(k \mapsto v))$
<proof>

lemma *delete-parametric*:
assumes [*transfer-rule*]: *bi-unique* A
shows $(A \text{ ==== } \> (A \text{ ==== } \> \text{rel-option } B) \text{ ==== } \> A \text{ ==== } \> \text{rel-option } B)$
 $(\lambda k \ m. m(k := \text{None})) \ (\lambda k \ m. m(k := \text{None}))$
<proof>

lemma *is-none-parametric* [*transfer-rule*]:
 $(\text{rel-option } A \text{ ==== } \> \text{HOL.eq}) \ \text{Option.is-none} \ \text{Option.is-none}$
<proof>

lemma *dom-parametric*:

assumes [*transfer-rule*]: *bi-total A*

shows $((A \text{====>} \text{rel-option } B) \text{====>} \text{rel-set } A) \text{ dom dom}$

<proof>

lemma *map-of-parametric* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique R1*

shows $(\text{list-all2 } (\text{rel-prod } R1 \ R2) \text{====>} R1 \text{====>} \text{rel-option } R2) \text{ map-of}$
map-of

<proof>

lemma *map-entry-parametric* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows $(A \text{====>} (B \text{====>} B) \text{====>} (A \text{====>} \text{rel-option } B) \text{====>} A$
 $\text{====>} \text{rel-option } B)$

$(\lambda k \ f \ m. (\text{case } m \ k \ \text{of } \text{None} \Rightarrow m$

$\mid \text{Some } v \Rightarrow m \ (k \mapsto (f \ v)))) (\lambda k \ f \ m. (\text{case } m \ k \ \text{of } \text{None} \Rightarrow m$

$\mid \text{Some } v \Rightarrow m \ (k \mapsto (f \ v))))$

<proof>

lemma *tabulate-parametric*:

assumes [*transfer-rule*]: *bi-unique A*

shows $(\text{list-all2 } A \text{====>} (A \text{====>} B) \text{====>} A \text{====>} \text{rel-option } B)$

$(\lambda ks \ f. (\text{map-of } (\text{map } (\lambda k. (k, f \ k)) \ ks))) (\lambda ks \ f. (\text{map-of } (\text{map } (\lambda k. (k, f \ k))$
 $ks)))$

<proof>

lemma *bulkload-parametric*:

$(\text{list-all2 } A \text{====>} \text{HOL.eq} \text{====>} \text{rel-option } A)$

$(\lambda xs \ k. \text{if } k < \text{length } xs \ \text{then } \text{Some } (xs \ ! \ k) \ \text{else } \text{None}) (\lambda xs \ k. \text{if } k < \text{length } xs$
 $\text{then } \text{Some } (xs \ ! \ k) \ \text{else } \text{None})$

<proof>

lemma *map-parametric*:

$((A \text{====>} B) \text{====>} (C \text{====>} D) \text{====>} (B \text{====>} \text{rel-option } C) \text{====>} A$
 $\text{====>} \text{rel-option } D)$

$(\lambda f \ g \ m. (\text{map-option } g \circ m \circ f)) (\lambda f \ g \ m. (\text{map-option } g \circ m \circ f))$

<proof>

end

62.2 Type definition and primitive operations

typedef $('a, 'b) \text{ mapping} = \text{UNIV} :: ('a \rightarrow 'b) \text{ set}$

morphisms *rep Mapping*

<proof>

setup-lifting *type-definition-mapping*

lift-definition *empty* :: ('a, 'b) mapping
 is *Map.empty* **parametric** *empty-parametric* ⟨proof⟩

lift-definition *lookup* :: ('a, 'b) mapping ⇒ 'a ⇒ 'b option
 is $\lambda m k. m\ k$ **parametric** *lookup-parametric* ⟨proof⟩

declare [[code drop: Mapping.lookup]]
 ⟨ML⟩

lift-definition *update* :: 'a ⇒ 'b ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping
 is $\lambda k v m. m(k \mapsto v)$ **parametric** *update-parametric* ⟨proof⟩

lift-definition *delete* :: 'a ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping
 is $\lambda k m. m(k := \text{None})$ **parametric** *delete-parametric* ⟨proof⟩

lift-definition *keys* :: ('a, 'b) mapping ⇒ 'a set
 is *dom* **parametric** *dom-parametric* ⟨proof⟩

lift-definition *tabulate* :: 'a list ⇒ ('a ⇒ 'b) ⇒ ('a, 'b) mapping
 is $\lambda ks f. (\text{map-of } (\text{List.map } (\lambda k. (k, f\ k))\ ks))$ **parametric** *tabulate-parametric*
 ⟨proof⟩

lift-definition *bulkload* :: 'a list ⇒ (nat, 'a) mapping
 is $\lambda xs k. \text{if } k < \text{length } xs \text{ then } \text{Some } (xs\ !\ k) \text{ else } \text{None}$ **parametric** *bulkload-parametric*
 ⟨proof⟩

lift-definition *map* :: ('c ⇒ 'a) ⇒ ('b ⇒ 'd) ⇒ ('a, 'b) mapping ⇒ ('c, 'd)
 mapping
 is $\lambda f g m. (\text{map-option } g \circ m \circ f)$ **parametric** *map-parametric* ⟨proof⟩

declare [[code drop: map]]

62.3 Functorial structure

functor *map*: map
 ⟨proof⟩

62.4 Derived operations

definition *ordered-keys* :: ('a::linorder, 'b) mapping ⇒ 'a list
where
ordered-keys *m* = (if finite (keys *m*) then sorted-list-of-set (keys *m*) else [])

definition *is-empty* :: ('a, 'b) mapping ⇒ bool
where
is-empty *m* \longleftrightarrow keys *m* = {}

definition *size* :: ('a, 'b) mapping ⇒ nat
where

size $m = (\text{if finite } (keys\ m) \text{ then card } (keys\ m) \text{ else } 0)$

definition *replace* $:: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

where

replace $k\ v\ m = (\text{if } k \in keys\ m \text{ then update } k\ v\ m \text{ else } m)$

definition *default* $:: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

where

default $k\ v\ m = (\text{if } k \in keys\ m \text{ then } m \text{ else update } k\ v\ m)$

Manual derivation of transfer rule is non-trivial

lift-definition *map-entry* $:: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$
is

$\lambda k\ f\ m. (\text{case } m\ k \text{ of } None \Rightarrow m$
| *Some* $v \Rightarrow m\ (k \mapsto (f\ v)))$ **parametric** *map-entry-parametric* $\langle \text{proof} \rangle$

lemma *map-entry-code* [code]:

map-entry $k\ f\ m = (\text{case lookup } m\ k \text{ of } None \Rightarrow m$
| *Some* $v \Rightarrow \text{update } k\ (f\ v)\ m)$
 $\langle \text{proof} \rangle$

definition *map-default* $:: 'a \Rightarrow 'b \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

where

map-default $k\ v\ f\ m = \text{map-entry } k\ f\ (\text{default } k\ v\ m)$

definition *of-alist* $:: ('k \times 'v) \text{ list} \Rightarrow ('k, 'v) \text{ mapping}$

where

of-alist $xs = \text{foldr } (\lambda(k, v)\ m. \text{update } k\ v\ m) \text{ xs empty}$

instantiation *mapping* $:: (\text{type}, \text{type}) \text{ equal}$

begin

definition

HOL.equal $m1\ m2 \longleftrightarrow (\forall k. \text{lookup } m1\ k = \text{lookup } m2\ k)$

instance

$\langle \text{proof} \rangle$

end

context

begin

interpretation *lifting-syntax* $\langle \text{proof} \rangle$

lemma [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total* A

assumes [*transfer-rule*]: *bi-unique* B

shows ($pcr\text{-mapping } A B \implies pcr\text{-mapping } A B \implies op=$) *HOL.eq HOL.equal*
 ⟨proof⟩

lemma *of-alist-transfer* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique R1*
shows ($list\text{-all2 } (rel\text{-prod } R1 R2) \implies pcr\text{-mapping } R1 R2$) *map-of of-alist*
 ⟨proof⟩

end

62.5 Properties

lemma *lookup-update*:
 $lookup (update k v m) k = Some v$
 ⟨proof⟩

lemma *lookup-update-neq*:
 $k \neq k' \implies lookup (update k v m) k' = lookup m k'$
 ⟨proof⟩

lemma *lookup-empty*:
 $lookup empty k = None$
 ⟨proof⟩

lemma *keys-is-none-rep* [*code-unfold*]:
 $k \in keys m \iff \neg (Option.is_none (lookup m k))$
 ⟨proof⟩

lemma *update-update*:
 $update k v (update k w m) = update k v m$
 $k \neq l \implies update k v (update l w m) = update l w (update k v m)$
 ⟨proof⟩

lemma *update-delete* [*simp*]:
 $update k v (delete k m) = update k v m$
 ⟨proof⟩

lemma *delete-update*:
 $delete k (update k v m) = delete k m$
 $k \neq l \implies delete k (update l v m) = update l v (delete k m)$
 ⟨proof⟩

lemma *delete-empty* [*simp*]:
 $delete k empty = empty$
 ⟨proof⟩

lemma *replace-update*:
 $k \notin keys m \implies replace k v m = m$
 $k \in keys m \implies replace k v m = update k v m$

<proof>

lemma *size-empty* [*simp*]:

size empty = 0

<proof>

lemma *size-update*:

*finite (keys m) \implies size (update k v m) =
 (if k \in keys m then size m else Suc (size m))*

<proof>

lemma *size-delete*:

size (delete k m) = (if k \in keys m then size m - 1 else size m)

<proof>

lemma *size-tabulate* [*simp*]:

size (tabulate ks f) = length (remdups ks)

<proof>

lemma *bulkload-tabulate*:

*bulkload xs = tabulate [0..*length xs*] (*nth xs*)*

<proof>

lemma *is-empty-empty* [*simp*]:

is-empty empty

<proof>

lemma *is-empty-update* [*simp*]:

\neg *is-empty (update k v m)*

<proof>

lemma *is-empty-delete*:

is-empty (delete k m) \longleftrightarrow is-empty m \vee keys m = {k}

<proof>

lemma *is-empty-replace* [*simp*]:

is-empty (replace k v m) \longleftrightarrow is-empty m

<proof>

lemma *is-empty-default* [*simp*]:

\neg *is-empty (default k v m)*

<proof>

lemma *is-empty-map-entry* [*simp*]:

is-empty (map-entry k f m) \longleftrightarrow is-empty m

<proof>

lemma *is-empty-map-default* [*simp*]:

\neg *is-empty (map-default k v f m)*

<proof>

lemma *keys-dom-lookup*:

$keys\ m = dom\ (Mapping.lookup\ m)$

<proof>

lemma *keys-empty* [*simp*]:

$keys\ empty = \{\}$

<proof>

lemma *keys-update* [*simp*]:

$keys\ (update\ k\ v\ m) = insert\ k\ (keys\ m)$

<proof>

lemma *keys-delete* [*simp*]:

$keys\ (delete\ k\ m) = keys\ m - \{k\}$

<proof>

lemma *keys-replace* [*simp*]:

$keys\ (replace\ k\ v\ m) = keys\ m$

<proof>

lemma *keys-default* [*simp*]:

$keys\ (default\ k\ v\ m) = insert\ k\ (keys\ m)$

<proof>

lemma *keys-map-entry* [*simp*]:

$keys\ (map-entry\ k\ f\ m) = keys\ m$

<proof>

lemma *keys-map-default* [*simp*]:

$keys\ (map-default\ k\ v\ f\ m) = insert\ k\ (keys\ m)$

<proof>

lemma *keys-tabulate* [*simp*]:

$keys\ (tabulate\ ks\ f) = set\ ks$

<proof>

lemma *keys-bulkload* [*simp*]:

$keys\ (bulkload\ xs) = \{0..<length\ xs\}$

<proof>

lemma *distinct-ordered-keys* [*simp*]:

$distinct\ (ordered-keys\ m)$

<proof>

lemma *ordered-keys-infinite* [*simp*]:

$\neg\ finite\ (keys\ m) \implies ordered-keys\ m = []$

<proof>

lemma *ordered-keys-empty* [simp]:

$ordered_keys\ empty = []$
 ⟨proof⟩

lemma *ordered-keys-update* [simp]:

$k \in keys\ m \implies ordered_keys\ (update\ k\ v\ m) = ordered_keys\ m$
 $finite\ (keys\ m) \implies k \notin keys\ m \implies ordered_keys\ (update\ k\ v\ m) = insert\ k\ (ordered_keys\ m)$
 ⟨proof⟩

lemma *ordered-keys-delete* [simp]:

$ordered_keys\ (delete\ k\ m) = remove1\ k\ (ordered_keys\ m)$
 ⟨proof⟩

lemma *ordered-keys-replace* [simp]:

$ordered_keys\ (replace\ k\ v\ m) = ordered_keys\ m$
 ⟨proof⟩

lemma *ordered-keys-default* [simp]:

$k \in keys\ m \implies ordered_keys\ (default\ k\ v\ m) = ordered_keys\ m$
 $finite\ (keys\ m) \implies k \notin keys\ m \implies ordered_keys\ (default\ k\ v\ m) = insert\ k\ (ordered_keys\ m)$
 ⟨proof⟩

lemma *ordered-keys-map-entry* [simp]:

$ordered_keys\ (map_entry\ k\ f\ m) = ordered_keys\ m$
 ⟨proof⟩

lemma *ordered-keys-map-default* [simp]:

$k \in keys\ m \implies ordered_keys\ (map_default\ k\ v\ f\ m) = ordered_keys\ m$
 $finite\ (keys\ m) \implies k \notin keys\ m \implies ordered_keys\ (map_default\ k\ v\ f\ m) = insert\ k\ (ordered_keys\ m)$
 ⟨proof⟩

lemma *ordered-keys-tabulate* [simp]:

$ordered_keys\ (tabulate\ ks\ f) = sort\ (remdups\ ks)$
 ⟨proof⟩

lemma *ordered-keys-bulkload* [simp]:

$ordered_keys\ (bulkload\ ks) = [0..<length\ ks]$
 ⟨proof⟩

lemma *tabulate-fold*:

$tabulate\ xs\ f = fold\ (\lambda k\ m.\ update\ k\ (f\ k)\ m)\ xs\ empty$
 ⟨proof⟩

62.6 Code generator setup

hide-const (**open**) *empty is-empty rep lookup update delete ordered-keys keys size
replace default map-entry map-default tabulate bulkload map of-alist*

end

63 Adhoc overloading of constants based on their types

theory *Adhoc-Overloading*

imports *Pure*

keywords *adhoc-overloading :: thy-decl* **and** *no-adhoc-overloading :: thy-decl*

begin

<ML>

end

64 Monad notation for arbitrary types

theory *Monad-Syntax*

imports *Main ~~/src/Tools/Adhoc-Overloading*

begin

We provide a convenient do-notation for monadic expressions well-known from Haskell. *Let* is printed specially in do-expressions.

consts

bind :: [*'a, 'b ⇒ 'c*] ⇒ *'d* (**infixr** $\gg=$ 54)

notation (*ASCII*)

bind (**infixr** $\gg=$ 54)

abbreviation (*do-notation*)

bind-do :: [*'a, 'b ⇒ 'c*] ⇒ *'d*

where *bind-do* \equiv *bind*

notation (**output**)

bind-do (**infixr** $\gg=$ 54)

notation (*ASCII output*)

bind-do (**infixr** $\gg=$ 54)

nonterminal *do-binds* **and** *do-bind*

syntax

-do-block :: *do-binds* ⇒ *'a* (*do* $\{ // (2 \ -) // \}$ [12] 62)

```

-do-bind :: [pttrn, 'a] ⇒ do-bind ((2- ←/ -) 13)
-do-let :: [pttrn, 'a] ⇒ do-bind ((2let - =/ -) [1000, 13] 13)
-do-then :: 'a ⇒ do-bind (- [14] 13)
-do-final :: 'a ⇒ do-binds (-)
-do-cons :: [do-bind, do-binds] ⇒ do-binds (-;/- [13, 12] 12)
-thenM :: ['a, 'b] ⇒ 'c (infixr >> 54)

```

syntax (ASCII)

```

-do-bind :: [pttrn, 'a] ⇒ do-bind ((2- <-/ -) 13)
-thenM :: ['a, 'b] ⇒ 'c (infixr >> 54)

```

translations

```

-do-block (-do-cons (-do-then t) (-do-final e))
  ⇒ CONST bind-do t (λ-. e)
-do-block (-do-cons (-do-bind p t) (-do-final e))
  ⇒ CONST bind-do t (λp. e)
-do-block (-do-cons (-do-let p t) bs)
  ⇒ let p = t in -do-block bs
-do-block (-do-cons b (-do-cons c cs))
  ⇒ -do-block (-do-cons b (-do-final (-do-block (-do-cons c cs))))
-do-cons (-do-let p t) (-do-final s)
  ⇒ -do-final (let p = t in s)
-do-block (-do-final e) → e
(m >> n) → (m >>= (λ-. n))

```

adhoc-overloading

```
bind Set.bind Predicate.bind Option.bind List.bind
```

end

65 (Finite) multisets

```

theory Multiset
imports Main
begin

```

65.1 The type of multisets

```
definition multiset = {f :: 'a ⇒ nat. finite {x. f x > 0}}
```

```

typedef 'a multiset = multiset :: ('a ⇒ nat) set
morphisms count Abs-multiset
⟨proof⟩

```

```
setup-lifting type-definition-multiset
```

```

lemma multiset-eq-iff: M = N ⟷ (∀ a. count M a = count N a)
⟨proof⟩

```

lemma *multiset-eqI*: $(\bigwedge x. \text{count } A \ x = \text{count } B \ x) \implies A = B$
 ⟨*proof*⟩

Preservation of the representing set *multiset*.

lemma *const0-in-multiset*: $(\lambda a. 0) \in \text{multiset}$
 ⟨*proof*⟩

lemma *only1-in-multiset*: $(\lambda b. \text{if } b = a \text{ then } n \text{ else } 0) \in \text{multiset}$
 ⟨*proof*⟩

lemma *union-preserves-multiset*: $M \in \text{multiset} \implies N \in \text{multiset} \implies (\lambda a. M \ a + N \ a) \in \text{multiset}$
 ⟨*proof*⟩

lemma *diff-preserves-multiset*:
 assumes $M \in \text{multiset}$
 shows $(\lambda a. M \ a - N \ a) \in \text{multiset}$
 ⟨*proof*⟩

lemma *filter-preserves-multiset*:
 assumes $M \in \text{multiset}$
 shows $(\lambda x. \text{if } P \ x \text{ then } M \ x \text{ else } 0) \in \text{multiset}$
 ⟨*proof*⟩

lemmas *in-multiset = const0-in-multiset only1-in-multiset union-preserves-multiset diff-preserves-multiset filter-preserves-multiset*

65.2 Representing multisets

Multiset enumeration

instantiation *multiset* :: (type) *cancel-comm-monoid-add*
begin

lift-definition *zero-multiset* :: 'a *multiset* **is** $\lambda a. 0$
 ⟨*proof*⟩

abbreviation *Mempty* :: 'a *multiset* ($\{\#\}$) **where**
Mempty $\equiv 0$

lift-definition *plus-multiset* :: 'a *multiset* \Rightarrow 'a *multiset* \Rightarrow 'a *multiset* **is** $\lambda M \ N. (\lambda a. M \ a + N \ a)$
 ⟨*proof*⟩

lift-definition *minus-multiset* :: 'a *multiset* \Rightarrow 'a *multiset* \Rightarrow 'a *multiset* **is** $\lambda M \ N. \lambda a. M \ a - N \ a$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

lift-definition *single* :: 'a \Rightarrow 'a multiset **is** $\lambda a b.$ if $b = a$ then 1 else 0
 ⟨proof⟩

syntax

-multiset :: args \Rightarrow 'a multiset ($\{\#(-)\#\}$)

translations

$\{\#x, xs\# \} == \{\#x\# \} + \{\#xs\# \}$

$\{\#x\# \} == \text{CONST } \textit{single } x$

lemma *count-empty* [*simp*]: $\text{count } \{\#\} a = 0$
 ⟨proof⟩

lemma *count-single* [*simp*]: $\text{count } \{\#b\# \} a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$
 ⟨proof⟩

65.3 Basic operations

65.3.1 Conversion to set and membership

definition *set-mset* :: 'a multiset \Rightarrow 'a set
 where *set-mset* $M = \{x. \text{count } M x > 0\}$

abbreviation *Melem* :: 'a \Rightarrow 'a multiset \Rightarrow bool
 where *Melem* $a M \equiv a \in \textit{set-mset } M$

notation

Melem (*op* $\in\#$) **and**

Melem ($(-/ \in\# -)$ [*51*, *51*] *50*)

notation (*ASCII*)

Melem (*op* $:\#$) **and**

Melem ($(-/ :\# -)$ [*51*, *51*] *50*)

abbreviation *not-Melem* :: 'a \Rightarrow 'a multiset \Rightarrow bool
 where *not-Melem* $a M \equiv a \notin \textit{set-mset } M$

notation

not-Melem (*op* $\notin\#$) **and**

not-Melem ($(-/ \notin\# -)$ [*51*, *51*] *50*)

notation (*ASCII*)

not-Melem (*op* $\sim:\#$) **and**

not-Melem ($(-/ \sim:\# -)$ [*51*, *51*] *50*)

context

begin

qualified abbreviation $Ball :: 'a\ multiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$
where $Ball\ M \equiv Set.Ball\ (set-mset\ M)$

qualified abbreviation $Bex :: 'a\ multiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$
where $Bex\ M \equiv Set.Bex\ (set-mset\ M)$

end

syntax

$-MBall \quad ::\ pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool \quad ((\exists\forall\ -\in\#\ -\ / \ -) [0, 0, 10] 10)$
 $-MBex \quad ::\ pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool \quad ((\exists\exists\ -\in\#\ -\ / \ -) [0, 0, 10] 10)$

syntax (ASCII)

$-MBall \quad ::\ pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool \quad ((\exists\forall\ -:\#\ -\ / \ -) [0, 0, 10] 10)$
 $-MBex \quad ::\ pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool \quad ((\exists\exists\ -:\#\ -\ / \ -) [0, 0, 10] 10)$

translations

$\forall x \in\# A. P \Rightarrow CONST\ Multiset.Ball\ A\ (\lambda x. P)$
 $\exists x \in\# A. P \Rightarrow CONST\ Multiset.Bex\ A\ (\lambda x. P)$

lemma *count-eq-zero-iff*:

$count\ M\ x = 0 \longleftrightarrow x \notin\# M$
 $\langle proof \rangle$

lemma *not-in-iff*:

$x \notin\# M \longleftrightarrow count\ M\ x = 0$
 $\langle proof \rangle$

lemma *count-greater-zero-iff* [simp]:

$count\ M\ x > 0 \longleftrightarrow x \in\# M$
 $\langle proof \rangle$

lemma *count-inI*:

assumes $count\ M\ x = 0 \Longrightarrow False$

shows $x \in\# M$

$\langle proof \rangle$

lemma *in-countE*:

assumes $x \in\# M$

obtains n **where** $count\ M\ x = Suc\ n$

$\langle proof \rangle$

lemma *count-greater-eq-Suc-zero-iff* [simp]:

$count\ M\ x \geq Suc\ 0 \longleftrightarrow x \in\# M$
 $\langle proof \rangle$

lemma *count-greater-eq-one-iff* [simp]:

$count\ M\ x \geq 1 \longleftrightarrow x \in\# M$
 $\langle proof \rangle$

lemma *set-mset-empty* [*simp*]:

$set-mset \{\#\} = \{\}$
 $\langle proof \rangle$

lemma *set-mset-single* [*simp*]:

$set-mset \{\#b\# \} = \{b\}$
 $\langle proof \rangle$

lemma *set-mset-eq-empty-iff* [*simp*]:

$set-mset M = \{\} \longleftrightarrow M = \{\#\}$
 $\langle proof \rangle$

lemma *finite-set-mset* [*iff*]:

$finite (set-mset M)$
 $\langle proof \rangle$

65.3.2 Union

lemma *count-union* [*simp*]:

$count (M + N) a = count M a + count N a$
 $\langle proof \rangle$

lemma *set-mset-union* [*simp*]:

$set-mset (M + N) = set-mset M \cup set-mset N$
 $\langle proof \rangle$

65.3.3 Difference

instance *multiset* :: (type) *comm-monoid-diff*

$\langle proof \rangle$

lemma *count-diff* [*simp*]:

$count (M - N) a = count M a - count N a$
 $\langle proof \rangle$

lemma *in-diff-count*:

$a \in\# M - N \longleftrightarrow count N a < count M a$
 $\langle proof \rangle$

lemma *count-in-diffI*:

assumes $\bigwedge n. count N x = n + count M x \implies False$

shows $x \in\# M - N$

$\langle proof \rangle$

lemma *in-diff-countE*:

assumes $x \in\# M - N$

obtains n **where** $count M x = Suc n + count N x$

$\langle proof \rangle$

lemma *in-diffD*:

assumes $a \in\# M - N$

shows $a \in\# M$

<proof>

lemma *set-mset-diff*:

set-mset $(M - N) = \{a. \text{count } N \ a < \text{count } M \ a\}$

<proof>

lemma *diff-empty [simp]*: $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$

<proof>

lemma *diff-cancel [simp]*: $A - A = \{\#\}$

<proof>

lemma *diff-union-cancelR [simp]*: $M + N - N = (M::'a \text{ multiset})$

<proof>

lemma *diff-union-cancelL [simp]*: $N + M - N = (M::'a \text{ multiset})$

<proof>

lemma *diff-right-commute*:

fixes $M \ N \ Q :: 'a \text{ multiset}$

shows $M - N - Q = M - Q - N$

<proof>

lemma *diff-add*:

fixes $M \ N \ Q :: 'a \text{ multiset}$

shows $M - (N + Q) = M - N - Q$

<proof>

lemma *insert-DiffM*: $x \in\# M \implies \{\#x\# \} + (M - \{\#x\# \}) = M$

<proof>

lemma *insert-DiffM2 [simp]*: $x \in\# M \implies M - \{\#x\# \} + \{\#x\# \} = M$

<proof>

lemma *diff-union-swap*: $a \neq b \implies M - \{\#a\# \} + \{\#b\# \} = M + \{\#b\# \} - \{\#a\# \}$

<proof>

lemma *diff-union-single-conv*:

$a \in\# J \implies I + J - \{\#a\# \} = I + (J - \{\#a\# \})$

<proof>

lemma *mset-add [elim?]*:

assumes $a \in\# A$

obtains B **where** $A = B + \{\#a\# \}$

<proof>

lemma *union-iff*:

$$a \in\# A + B \longleftrightarrow a \in\# A \vee a \in\# B$$

<proof>

65.3.4 Equality of multisets

lemma *single-not-empty* [*simp*]: $\{\#a\# \} \neq \{\#\} \wedge \{\#\} \neq \{\#a\# \}$
<proof>

lemma *single-eq-single* [*simp*]: $\{\#a\# \} = \{\#b\# \} \longleftrightarrow a = b$
<proof>

lemma *union-eq-empty* [*iff*]: $M + N = \{\#\} \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$
<proof>

lemma *empty-eq-union* [*iff*]: $\{\#\} = M + N \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$
<proof>

lemma *multi-self-add-other-not-self* [*simp*]: $M = M + \{\#x\# \} \longleftrightarrow \text{False}$
<proof>

lemma *diff-single-trivial*: $\neg x \in\# M \implies M - \{\#x\# \} = M$
<proof>

lemma *diff-single-eq-union*: $x \in\# M \implies M - \{\#x\# \} = N \longleftrightarrow M = N + \{\#x\# \}$
<proof>

lemma *union-single-eq-diff*: $M + \{\#x\# \} = N \implies M = N - \{\#x\# \}$
<proof>

lemma *union-single-eq-member*: $M + \{\#x\# \} = N \implies x \in\# N$
<proof>

lemma *union-is-single*:

$$M + N = \{\#a\# \} \longleftrightarrow M = \{\#a\# \} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\# \}$$

(is ?lhs = ?rhs)

<proof>

lemma *single-is-union*: $\{\#a\# \} = M + N \longleftrightarrow \{\#a\# \} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\# \} = N$

<proof>

lemma *add-eq-conv-diff*:

$$M + \{\#a\# \} = N + \{\#b\# \} \longleftrightarrow M = N \wedge a = b \vee M = N - \{\#a\# \} + \{\#b\# \} \wedge N = M - \{\#b\# \} + \{\#a\# \}$$

(is ?lhs \longleftrightarrow ?rhs)

<proof>

lemma *insert-noteq-member*:

assumes $BC: B + \{\#b\} = C + \{\#c\}$
and $bnotc: b \neq c$
shows $c \in\# B$

<proof>

lemma *add-eq-conv-ex*:

$(M + \{\#a\} = N + \{\#b\}) =$
 $(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\} \wedge N = K + \{\#a\}))$

<proof>

lemma *multi-member-split*: $x \in\# M \implies \exists A. M = A + \{\#x\}$

<proof>

lemma *multiset-add-sub-el-shuffle*:

assumes $c \in\# B$
and $b \neq c$
shows $B - \{\#c\} + \{\#b\} = B + \{\#b\} - \{\#c\}$

<proof>

65.3.5 Pointwise ordering induced by count

definition *subseteq-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\subseteq\#$ 50)

where $A \subseteq\# B = (\forall a. \text{count } A \ a \leq \text{count } B \ a)$

definition *subset-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\subset\#$ 50)

where $A \subset\# B = (A \subseteq\# B \wedge A \neq B)$

abbreviation (*input*) *supseteq-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\supseteq\#$ 50)

where $\text{supseteq-mset } A \ B \equiv B \subseteq\# A$

abbreviation (*input*) *supset-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\supset\#$ 50)

where $\text{supset-mset } A \ B \equiv B \subset\# A$

notation (*input*)

subseq-mset (**infix** $\leq\#$ 50) **and**
supseq-mset (**infix** $\geq\#$ 50)

notation (*ASCII*)

subseq-mset (**infix** $\leq\#$ 50) **and**
subseq-mset (**infix** $<\#$ 50) **and**
supseq-mset (**infix** $\geq\#$ 50) **and**
supseq-mset (**infix** $>\#$ 50)

interpretation *subset-mset*: *ordered-ab-semigroup-add-imp-le* $op + op - op \subseteq\#$

op $\subseteq\#$
 ⟨proof⟩

lemma *mset-less-eqI*:
 $(\bigwedge a. \text{count } A \ a \leq \text{count } B \ a) \implies A \subseteq\# B$
 ⟨proof⟩

lemma *mset-less-eq-count*:
 $A \subseteq\# B \implies \text{count } A \ a \leq \text{count } B \ a$
 ⟨proof⟩

lemma *mset-le-exists-conv*: $(A::'a \text{ multiset}) \subseteq\# B \longleftrightarrow (\exists C. B = A + C)$
 ⟨proof⟩

interpretation *subset-mset*: *ordered-cancel-comm-monoid-diff* *op* + 0 *op* $\leq\#$ *op*
 $<\#$ *op* -
 ⟨proof⟩

declare *subset-mset.zero-order*[*simp del*]
 — this removes some simp rules not in the usual order for multisets

lemma *mset-le-mono-add-right-cancel* [*simp*]: $(A::'a \text{ multiset}) + C \subseteq\# B + C$
 $\longleftrightarrow A \subseteq\# B$
 ⟨proof⟩

lemma *mset-le-mono-add-left-cancel* [*simp*]: $C + (A::'a \text{ multiset}) \subseteq\# C + B \longleftrightarrow$
 $A \subseteq\# B$
 ⟨proof⟩

lemma *mset-le-mono-add*: $(A::'a \text{ multiset}) \subseteq\# B \implies C \subseteq\# D \implies A + C \subseteq\#$
 $B + D$
 ⟨proof⟩

lemma *mset-le-add-left* [*simp*]: $(A::'a \text{ multiset}) \subseteq\# A + B$
 ⟨proof⟩

lemma *mset-le-add-right* [*simp*]: $B \subseteq\# (A::'a \text{ multiset}) + B$
 ⟨proof⟩

lemma *single-subset-iff* [*simp*]:
 $\{\#a\# \} \subseteq\# M \longleftrightarrow a \in\# M$
 ⟨proof⟩

lemma *mset-le-single*: $a \in\# B \implies \{\#a\# \} \subseteq\# B$
 ⟨proof⟩

lemma *multiset-diff-union-assoc*:
fixes *A B C D* :: *'a multiset*
shows $C \subseteq\# B \implies A + B - C = A + (B - C)$

<proof>

lemma *mset-le-multiset-union-diff-commute*:
fixes $A B C D :: 'a \text{ multiset}$
shows $B \subseteq\# A \implies A - B + C = A + C - B$
<proof>

lemma *diff-le-self[simp]*:
 $(M :: 'a \text{ multiset}) - N \subseteq\# M$
<proof>

lemma *mset-leD*:
assumes $A \subseteq\# B$ **and** $x \in\# A$
shows $x \in\# B$
<proof>

lemma *mset-lessD*:
 $A \subset\# B \implies x \in\# A \implies x \in\# B$
<proof>

lemma *set-mset-mono*:
 $A \subseteq\# B \implies \text{set-mset } A \subseteq \text{set-mset } B$
<proof>

lemma *mset-le-insertD*:
 $A + \{\#x\} \subseteq\# B \implies x \in\# B \wedge A \subset\# B$
<proof>

lemma *mset-less-insertD*:
 $A + \{\#x\} \subset\# B \implies x \in\# B \wedge A \subset\# B$
<proof>

lemma *mset-less-of-empty[simp]*: $A \subset\# \{\#\} \longleftrightarrow \text{False}$
<proof>

lemma *empty-le [simp]*: $\{\#\} \subseteq\# A$
<proof>

lemma *insert-subset-eq-iff*:
 $\{\#a\} + A \subseteq\# B \longleftrightarrow a \in\# B \wedge A \subseteq\# B - \{\#a\}$
<proof>

lemma *insert-union-subset-iff*:
 $\{\#a\} + A \subset\# B \longleftrightarrow a \in\# B \wedge A \subset\# B - \{\#a\}$
<proof>

lemma *subset-eq-diff-conv*:
 $A - C \subseteq\# B \longleftrightarrow A \subseteq\# B + C$
<proof>

lemma *le-empty* [simp]: $M \subseteq\# \{\#\} \longleftrightarrow M = \{\#\}$
 ⟨proof⟩

lemma *multi-psub-of-add-self* [simp]: $A \subset\# A + \{\#x\}$
 ⟨proof⟩

lemma *multi-psub-self* [simp]: $(A::'a \text{ multiset}) \subset\# A = \text{False}$
 ⟨proof⟩

lemma *mset-less-add-bothsides*: $N + \{\#x\} \subset\# M + \{\#x\} \implies N \subset\# M$
 ⟨proof⟩

lemma *mset-less-empty-nonempty*: $\{\#\} \subset\# S \longleftrightarrow S \neq \{\#\}$
 ⟨proof⟩

lemma *mset-less-diff-self*: $c \in\# B \implies B - \{\#c\} \subset\# B$
 ⟨proof⟩

65.3.6 Intersection

definition *inf-subset-mset* :: $'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$ (**infixl** $\#\cap$ 70) **where**

multiset-inter-def: $\text{inf-subset-mset } A B = A - (A - B)$

interpretation *subset-mset*: *semilattice-inf inf-subset-mset op* $\subseteq\#$ *op* $\subset\#$
 ⟨proof⟩

lemma *multiset-inter-count* [simp]:
fixes $A B :: 'a \text{ multiset}$
shows $\text{count } (A \#\cap B) x = \min (\text{count } A x) (\text{count } B x)$
 ⟨proof⟩

lemma *set-mset-inter* [simp]:
 $\text{set-mset } (A \#\cap B) = \text{set-mset } A \cap \text{set-mset } B$
 ⟨proof⟩

lemma *diff-intersect-left-idem* [simp]:
 $M - M \#\cap N = M - N$
 ⟨proof⟩

lemma *diff-intersect-right-idem* [simp]:
 $M - N \#\cap M = M - N$
 ⟨proof⟩

lemma *multiset-inter-single*: $a \neq b \implies \{\#a\} \#\cap \{\#b\} = \{\#\}$
 ⟨proof⟩

lemma *multiset-union-diff-commute*:

assumes $B \# \cap C = \{\#\}$
shows $A + B - C = A - C + B$
 ⟨proof⟩

lemma *disjunct-not-in*:
 $A \# \cap B = \{\#\} \longleftrightarrow (\forall a. a \notin \# A \vee a \notin \# B)$ (**is** $?P \longleftrightarrow ?Q$)
 ⟨proof⟩

lemma *empty-inter* [*simp*]: $\{\#\} \# \cap M = \{\#\}$
 ⟨proof⟩

lemma *inter-empty* [*simp*]: $M \# \cap \{\#\} = \{\#\}$
 ⟨proof⟩

lemma *inter-add-left1*: $\neg x \in \# N \implies (M + \{\#x\}) \# \cap N = M \# \cap N$
 ⟨proof⟩

lemma *inter-add-left2*: $x \in \# N \implies (M + \{\#x\}) \# \cap N = (M \# \cap (N - \{\#x\})) + \{\#x\}$
 ⟨proof⟩

lemma *inter-add-right1*: $\neg x \in \# N \implies N \# \cap (M + \{\#x\}) = N \# \cap M$
 ⟨proof⟩

lemma *inter-add-right2*: $x \in \# N \implies N \# \cap (M + \{\#x\}) = ((N - \{\#x\}) \# \cap M) + \{\#x\}$
 ⟨proof⟩

lemma *disjunct-set-mset-diff*:
assumes $M \# \cap N = \{\#\}$
shows $\text{set-mset } (M - N) = \text{set-mset } M$
 ⟨proof⟩

lemma *at-most-one-mset-mset-diff*:
assumes $a \notin \# M - \{\#a\}$
shows $\text{set-mset } (M - \{\#a\}) = \text{set-mset } M - \{a\}$
 ⟨proof⟩

lemma *more-than-one-mset-mset-diff*:
assumes $a \in \# M - \{\#a\}$
shows $\text{set-mset } (M - \{\#a\}) = \text{set-mset } M$
 ⟨proof⟩

lemma *inter-iff*:
 $a \in \# A \# \cap B \longleftrightarrow a \in \# A \wedge a \in \# B$
 ⟨proof⟩

lemma *inter-union-distrib-left*:
 $A \# \cap B + C = (A + C) \# \cap (B + C)$

<proof>

lemma *inter-union-distrib-right*:

$$C + A \# \cap B = (C + A) \# \cap (C + B)$$

<proof>

lemma *inter-subset-eq-union*:

$$A \# \cap B \subseteq \# A + B$$

<proof>

65.3.7 Bounded union

definition *sup-subset-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset(**infixl** $\# \cup$ 70)

where *sup-subset-mset* $A B = A + (B - A)$ — FIXME irregular fact name

interpretation *subset-mset*: *semilattice-sup* *sup-subset-mset* *op* $\subseteq \#$ *op* $\subset \#$

<proof>

lemma *sup-subset-mset-count* [*simp*]: — FIXME irregular fact name

$$\text{count } (A \# \cup B) x = \max (\text{count } A x) (\text{count } B x)$$

<proof>

lemma *set-mset-sup* [*simp*]:

$$\text{set-mset } (A \# \cup B) = \text{set-mset } A \cup \text{set-mset } B$$

<proof>

lemma *empty-sup* [*simp*]: $\{\#\} \# \cup M = M$

<proof>

lemma *sup-empty* [*simp*]: $M \# \cup \{\#\} = M$

<proof>

lemma *sup-union-left1*: $\neg x \in \# N \Longrightarrow (M + \{\#x\}) \# \cup N = (M \# \cup N) + \{\#x\}$

<proof>

lemma *sup-union-left2*: $x \in \# N \Longrightarrow (M + \{\#x\}) \# \cup N = (M \# \cup (N - \{\#x\})) + \{\#x\}$

<proof>

lemma *sup-union-right1*: $\neg x \in \# N \Longrightarrow N \# \cup (M + \{\#x\}) = (N \# \cup M) + \{\#x\}$

<proof>

lemma *sup-union-right2*: $x \in \# N \Longrightarrow N \# \cup (M + \{\#x\}) = ((N - \{\#x\}) \# \cup M) + \{\#x\}$

<proof>

lemma *sup-union-distrib-left*:

$$A \# \cup B + C = (A + C) \# \cup (B + C)$$

<proof>

lemma *union-sup-distrib-right*:

$$C + A \# \cup B = (C + A) \# \cup (C + B)$$

<proof>

lemma *union-diff-inter-eq-sup*:

$$A + B - A \# \cap B = A \# \cup B$$

<proof>

lemma *union-diff-sup-eq-inter*:

$$A + B - A \# \cup B = A \# \cap B$$

<proof>

65.3.8 Subset is an order

interpretation *subset-mset*: order $op \leq \# op < \#$ *<proof>*

65.3.9 Filter (with comprehension syntax)

Multiset comprehension

lift-definition *filter-mset* :: ('a \Rightarrow bool) \Rightarrow 'a multiset \Rightarrow 'a multiset

is $\lambda P M. \lambda x. \text{if } P x \text{ then } M x \text{ else } 0$

<proof>

syntax (ASCII)

-MCollect :: pptrn \Rightarrow 'a multiset \Rightarrow bool \Rightarrow 'a multiset ((1{# - :# -./ -#}))

syntax

-MCollect :: pptrn \Rightarrow 'a multiset \Rightarrow bool \Rightarrow 'a multiset ((1{# - \in # -./ -#}))

translations

{#x \in # M. P#} == CONST *filter-mset* ($\lambda x. P$) M

lemma *count-filter-mset* [simp]:

$$\text{count } (\text{filter-mset } P M) a = (\text{if } P a \text{ then count } M a \text{ else } 0)$$

<proof>

lemma *set-mset-filter* [simp]:

$$\text{set-mset } (\text{filter-mset } P M) = \{a \in \text{set-mset } M. P a\}$$

<proof>

lemma *filter-empty-mset* [simp]: *filter-mset* P {#} = {#}

<proof>

lemma *filter-single-mset* [simp]: *filter-mset* P {#x#} = (if P x then {#x#} else {#})

<proof>

lemma *filter-union-mset* [*simp*]: $\text{filter-mset } P (M + N) = \text{filter-mset } P M + \text{filter-mset } P N$
 ⟨*proof*⟩

lemma *filter-diff-mset* [*simp*]: $\text{filter-mset } P (M - N) = \text{filter-mset } P M - \text{filter-mset } P N$
 ⟨*proof*⟩

lemma *filter-inter-mset* [*simp*]: $\text{filter-mset } P (M \# \cap N) = \text{filter-mset } P M \# \cap \text{filter-mset } P N$
 ⟨*proof*⟩

lemma *multiset-filter-subset* [*simp*]: $\text{filter-mset } f M \subseteq \# M$
 ⟨*proof*⟩

lemma *multiset-filter-mono*:
assumes $A \subseteq \# B$
shows $\text{filter-mset } f A \subseteq \# \text{filter-mset } f B$
 ⟨*proof*⟩

lemma *filter-mset-eq-conv*:
 $\text{filter-mset } P M = N \longleftrightarrow N \subseteq \# M \wedge (\forall b \in \# N. P b) \wedge (\forall a \in \# M - N. \neg P a)$
 (**is** $?P \longleftrightarrow ?Q$)
 ⟨*proof*⟩

65.3.10 Size

definition *wcount* **where** $wcount f M = (\lambda x. \text{count } M x * \text{Suc } (f x))$

lemma *wcount-union*: $wcount f (M + N) a = wcount f M a + wcount f N a$
 ⟨*proof*⟩

definition *size-multiset* :: $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ multiset} \Rightarrow \text{nat}$ **where**
 $\text{size-multiset } f M = \text{setsum } (wcount f M) (\text{set-mset } M)$

lemmas *size-multiset-eq* = *size-multiset-def* [*unfolded wcount-def*]

instantiation *multiset* :: $(\text{type}) \text{ size}$
begin

definition *size-multiset* **where**
 $\text{size-multiset-overloaded-def}: \text{size-multiset} = \text{Multiset.size-multiset } (\lambda _. 0)$
instance ⟨*proof*⟩

end

lemmas *size-multiset-overloaded-eq* =
 $\text{size-multiset-overloaded-def}$ [*THEN fun-cong, unfolded size-multiset-eq, simplified*]

lemma *size-multiset-empty* [simp]: $\text{size-multiset } f \ \{\#\} = 0$
 ⟨proof⟩

lemma *size-empty* [simp]: $\text{size } \{\#\} = 0$
 ⟨proof⟩

lemma *size-multiset-single* [simp]: $\text{size-multiset } f \ \{\#b\# \} = \text{Suc } (f \ b)$
 ⟨proof⟩

lemma *size-single* [simp]: $\text{size } \{\#b\# \} = 1$
 ⟨proof⟩

lemma *setsum-wcount-Int*:
 $\text{finite } A \implies \text{setsum } (\text{wcount } f \ N) \ (A \cap \text{set-mset } N) = \text{setsum } (\text{wcount } f \ N) \ A$
 ⟨proof⟩

lemma *size-multiset-union* [simp]:
 $\text{size-multiset } f \ (M + N :: 'a \ \text{multiset}) = \text{size-multiset } f \ M + \text{size-multiset } f \ N$
 ⟨proof⟩

lemma *size-union* [simp]: $\text{size } (M + N :: 'a \ \text{multiset}) = \text{size } M + \text{size } N$
 ⟨proof⟩

lemma *size-multiset-eq-0-iff-empty* [iff]:
 $\text{size-multiset } f \ M = 0 \iff M = \{\#\}$
 ⟨proof⟩

lemma *size-eq-0-iff-empty* [iff]: $(\text{size } M = 0) = (M = \{\#\})$
 ⟨proof⟩

lemma *nonempty-has-size*: $(S \neq \{\#\}) = (0 < \text{size } S)$
 ⟨proof⟩

lemma *size-eq-Suc-imp-elem*: $\text{size } M = \text{Suc } n \implies \exists a. a \in\# \ M$
 ⟨proof⟩

lemma *size-eq-Suc-imp-eq-union*:
assumes $\text{size } M = \text{Suc } n$
shows $\exists a \ N. M = N + \{\#a\#\}$
 ⟨proof⟩

lemma *size-mset-mono*:
fixes $A \ B :: 'a \ \text{multiset}$
assumes $A \subseteq\# \ B$
shows $\text{size } A \leq \text{size } B$
 ⟨proof⟩

lemma *size-filter-mset-lesseq*[simp]: $\text{size } (\text{filter-mset } f \ M) \leq \text{size } M$
 ⟨proof⟩

lemma *size-Diff-submset*:

$M \subseteq\# M' \implies \text{size } (M' - M) = \text{size } M' - \text{size}(M::'a \text{ multiset})$
 ⟨proof⟩

65.4 Induction and case splits

theorem *multiset-induct* [*case-names empty add, induct type: multiset*]:

assumes *empty*: $P \{\#\}$
assumes *add*: $\bigwedge M x. P M \implies P (M + \{\#x\#})$
shows $P M$
 ⟨proof⟩

lemma *multi-nonempty-split*: $M \neq \{\#\} \implies \exists A a. M = A + \{\#a\#}$
 ⟨proof⟩

lemma *multiset-cases* [*cases type*]:

obtains (*empty*) $M = \{\#\}$
 | (*add*) $N x$ **where** $M = N + \{\#x\#}$
 ⟨proof⟩

lemma *multi-drop-mem-not-eq*: $c \in\# B \implies B - \{\#c\#} \neq B$
 ⟨proof⟩

lemma *multiset-partition*: $M = \{\# x \in\# M. P x \#\} + \{\# x \in\# M. \neg P x \#\}$
 ⟨proof⟩

lemma *mset-less-size*: $(A::'a \text{ multiset}) \subset\# B \implies \text{size } A < \text{size } B$
 ⟨proof⟩

lemma *size-1-singleton-mset*: $\text{size } M = 1 \implies \exists a. M = \{\#a\#}$
 ⟨proof⟩

65.4.1 Strong induction and subset induction for multisets

Well-foundedness of strict subset relation

lemma *wf-less-mset-rel*: $wf \{(M, N :: 'a \text{ multiset}). M \subset\# N\}$
 ⟨proof⟩

lemma *full-multiset-induct* [*case-names less*]:

assumes *ih*: $\bigwedge B. \forall (A::'a \text{ multiset}). A \subset\# B \longrightarrow P A \implies P B$
shows $P B$
 ⟨proof⟩

lemma *multi-subset-induct* [*consumes 2, case-names empty add*]:

assumes $F \subseteq\# A$
and *empty*: $P \{\#\}$
and *insert*: $\bigwedge a F. a \in\# A \implies P F \implies P (F + \{\#a\#})$
shows $P F$

<proof>

65.5 The fold combinator

definition *fold-mset* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a multiset ⇒ 'b

where

fold-mset f s M = Finite-Set.fold (λx. f x ^^ count M x) s (set-mset M)

lemma *fold-mset-empty* [simp]: *fold-mset f s {#} = s*

<proof>

context *comp-fun-commute*

begin

lemma *fold-mset-insert*: *fold-mset f s (M + {#x#}) = f x (fold-mset f s M)*

<proof>

corollary *fold-mset-single* [simp]: *fold-mset f s {#x#} = f x s*

<proof>

lemma *fold-mset-fun-left-comm*: *f x (fold-mset f s M) = fold-mset f (f x s) M*

<proof>

lemma *fold-mset-union* [simp]: *fold-mset f s (M + N) = fold-mset f (fold-mset f s M) N*

<proof>

lemma *fold-mset-fusion*:

assumes *comp-fun-commute g*

and *: $\bigwedge x y. h (g x y) = f x (h y)$

shows $h (fold-mset g w A) = fold-mset f (h w) A$

<proof>

end

A note on code generation: When defining some function containing a subterm *fold-mset F*, code generation is not automatic. When interpreting locale *left-commutative* with *F*, the would be code thms for *fold-mset* become thms like *fold-mset F z {#} = z* where *F* is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for *F*. See the image operator below.

65.6 Image

definition *image-mset* :: ('a ⇒ 'b) ⇒ 'a multiset ⇒ 'b multiset **where**

image-mset f = fold-mset (plus ∘ single ∘ f) {#}

lemma *comp-fun-commute-mset-image*: *comp-fun-commute (plus ∘ single ∘ f)*

<proof>

lemma *image-mset-empty* [simp]: $\text{image-mset } f \ \{\#\} = \{\#\}$
 ⟨proof⟩

lemma *image-mset-single* [simp]: $\text{image-mset } f \ \{\#x\# \} = \{\#f \ x\#\}$
 ⟨proof⟩

lemma *image-mset-union* [simp]: $\text{image-mset } f \ (M + N) = \text{image-mset } f \ M + \text{image-mset } f \ N$
 ⟨proof⟩

corollary *image-mset-insert*: $\text{image-mset } f \ (M + \{\#a\#\}) = \text{image-mset } f \ M + \{\#f \ a\#\}$
 ⟨proof⟩

lemma *set-image-mset* [simp]: $\text{set-mset } (\text{image-mset } f \ M) = \text{image } f \ (\text{set-mset } M)$
 ⟨proof⟩

lemma *size-image-mset* [simp]: $\text{size } (\text{image-mset } f \ M) = \text{size } M$
 ⟨proof⟩

lemma *image-mset-is-empty-iff* [simp]: $\text{image-mset } f \ M = \{\#\} \longleftrightarrow M = \{\#\}$
 ⟨proof⟩

syntax (ASCII)

-comprehension-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({\#-/. - :# -#\}))

syntax

-comprehension-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({\#-/. - ∈# -#\}))

translations

{#e. x ∈# M#} ⇒ CONST image-mset (λx. e) M

syntax (ASCII)

-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({\#-/. | - :# -/. -#\}))

syntax

-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({\#-/. | - ∈# -/. -#\}))

translations

{#e | x ∈# M. P#} → {#e. x ∈# {\# x ∈# M. P#\#}

This allows to write not just filters like $\{\#x \in\# M. x < c\#\}$ but also images like $\{\#x + x. x \in\# M\#\}$ and $\{\#x+x|x \in\# M. x < c\#\}$, where the latter is currently displayed as $\{\#x + x. x \in\# \{\# x \in\# M. x < c\#\#\}$.

lemma *in-image-mset*: $y \in\# \{\#f \ x. x \in\# M\#\} \longleftrightarrow y \in f \ \text{'set-mset } M$
 ⟨proof⟩

functor *image-mset*: *image-mset*
 ⟨proof⟩

declare

image-mset.id [*simp*]
image-mset.identity [*simp*]

lemma *image-mset-id*[*simp*]: *image-mset id x = x*
 ⟨*proof*⟩

lemma *image-mset-cong*: $(\bigwedge x. x \in\# M \implies f x = g x) \implies \{\#f x. x \in\# M\# \} = \{\#g x. x \in\# M\# \}$
 ⟨*proof*⟩

lemma *image-mset-cong-pair*:
 $(\forall x y. (x, y) \in\# M \longrightarrow f x y = g x y) \implies \{\#f x y. (x, y) \in\# M\# \} = \{\#g x y. (x, y) \in\# M\# \}$
 ⟨*proof*⟩

65.7 Further conversions

primrec *mset* :: 'a list \Rightarrow 'a multiset **where**

mset [] = {#} |
mset (a # x) = *mset* x + {# a #}

lemma *in-multiset-in-set*:

$x \in\# \text{mset } xs \longleftrightarrow x \in \text{set } xs$
 ⟨*proof*⟩

lemma *count-mset*:

count (*mset* *xs*) *x* = *length* (*filter* ($\lambda y. x = y$) *xs*)
 ⟨*proof*⟩

lemma *mset-zero-iff*[*simp*]: (*mset* *x* = {#}) = (*x* = [])
 ⟨*proof*⟩

lemma *mset-zero-iff-right*[*simp*]: ({#} = *mset* *x*) = (*x* = [])
 ⟨*proof*⟩

lemma *set-mset-mset*[*simp*]: *set-mset* (*mset* *x*) = *set* *x*
 ⟨*proof*⟩

lemma *set-mset-comp-mset* [*simp*]: *set-mset* \circ *mset* = *set*
 ⟨*proof*⟩

lemma *size-mset* [*simp*]: *size* (*mset* *xs*) = *length* *xs*
 ⟨*proof*⟩

lemma *mset-append* [*simp*]: *mset* (*xs* @ *ys*) = *mset* *xs* + *mset* *ys*
 ⟨*proof*⟩

lemma *mset-filter*: $mset (filter P xs) = \{\#x \in\# mset xs. P x \#\}$
 ⟨proof⟩

lemma *mset-rev [simp]*:
 $mset (rev xs) = mset xs$
 ⟨proof⟩

lemma *surj-mset*: *surj mset*
 ⟨proof⟩

lemma *distinct-count-atmost-1*:
 $distinct x = (\forall a. count (mset x) a = (if a \in set x then 1 else 0))$
 ⟨proof⟩

lemma *mset-eq-setD*:
assumes $mset xs = mset ys$
shows $set xs = set ys$
 ⟨proof⟩

lemma *set-eq-iff-mset-eq-distinct*:
 $distinct x \implies distinct y \implies$
 $(set x = set y) = (mset x = mset y)$
 ⟨proof⟩

lemma *set-eq-iff-mset-remdups-eq*:
 $(set x = set y) = (mset (remdups x) = mset (remdups y))$
 ⟨proof⟩

lemma *mset-compl-union [simp]*: $mset [x \leftarrow xs. P x] + mset [x \leftarrow xs. \neg P x] = mset xs$
 ⟨proof⟩

lemma *nth-mem-mset*: $i < length ls \implies (ls ! i) \in\# mset ls$
 ⟨proof⟩

lemma *mset-remove1 [simp]*: $mset (remove1 a xs) = mset xs - \{\#a\#\}$
 ⟨proof⟩

lemma *mset-eq-length*:
assumes $mset xs = mset ys$
shows $length xs = length ys$
 ⟨proof⟩

lemma *mset-eq-length-filter*:
assumes $mset xs = mset ys$
shows $length (filter (\lambda x. z = x) xs) = length (filter (\lambda y. z = y) ys)$
 ⟨proof⟩

lemma *fold-multiset-equiv*:

assumes $f: \bigwedge x y. x \in \text{set } xs \implies y \in \text{set } xs \implies f x \circ f y = f y \circ f x$
and *equiv*: $\text{mset } xs = \text{mset } ys$
shows $\text{List.fold } f \text{ } xs = \text{List.fold } f \text{ } ys$
 ⟨*proof*⟩

lemma *mset-insort* [*simp*]: $\text{mset } (\text{insort } x \text{ } xs) = \text{mset } xs + \{\#x\# \}$
 ⟨*proof*⟩

lemma *mset-map*: $\text{mset } (\text{map } f \text{ } xs) = \text{image-mset } f \text{ } (\text{mset } xs)$
 ⟨*proof*⟩

global-interpretation *mset-set*: *folding* $\lambda x M. \{\#x\# \} + M \{\# \}$
defines $\text{mset-set} = \text{folding.F } (\lambda x M. \{\#x\# \} + M) \{\# \}$
 ⟨*proof*⟩

lemma *count-mset-set* [*simp*]:
 $\text{finite } A \implies x \in A \implies \text{count } (\text{mset-set } A) \text{ } x = 1$ (**is PROP ?P**)
 $\neg \text{finite } A \implies \text{count } (\text{mset-set } A) \text{ } x = 0$ (**is PROP ?Q**)
 $x \notin A \implies \text{count } (\text{mset-set } A) \text{ } x = 0$ (**is PROP ?R**)
 ⟨*proof*⟩

lemma *elem-mset-set*[*simp, intro*]: $\text{finite } A \implies x \in\# \text{mset-set } A \longleftrightarrow x \in A$
 ⟨*proof*⟩

context *linorder*
begin

definition *sorted-list-of-multiset* :: $'a \text{ multiset} \Rightarrow 'a \text{ list}$
where

$\text{sorted-list-of-multiset } M = \text{fold-mset insort } [] \text{ } M$

lemma *sorted-list-of-multiset-empty* [*simp*]:
 $\text{sorted-list-of-multiset } \{\# \} = []$
 ⟨*proof*⟩

lemma *sorted-list-of-multiset-singleton* [*simp*]:
 $\text{sorted-list-of-multiset } \{\#x\# \} = [x]$
 ⟨*proof*⟩

lemma *sorted-list-of-multiset-insert* [*simp*]:
 $\text{sorted-list-of-multiset } (M + \{\#x\# \}) = \text{List.insort } x \text{ } (\text{sorted-list-of-multiset } M)$
 ⟨*proof*⟩

end

lemma *mset-sorted-list-of-multiset* [*simp*]:
 $\text{mset } (\text{sorted-list-of-multiset } M) = M$
 ⟨*proof*⟩

lemma *sorted-list-of-multiset-mset* [simp]:
 $\text{sorted-list-of-multiset } (\text{mset } xs) = \text{sort } xs$
 ⟨proof⟩

lemma *finite-set-mset-mset-set*[simp]:
 $\text{finite } A \implies \text{set-mset } (\text{mset-set } A) = A$
 ⟨proof⟩

lemma *infinite-set-mset-mset-set*:
 $\neg \text{finite } A \implies \text{set-mset } (\text{mset-set } A) = \{\}$
 ⟨proof⟩

lemma *set-sorted-list-of-multiset* [simp]:
 $\text{set } (\text{sorted-list-of-multiset } M) = \text{set-mset } M$
 ⟨proof⟩

lemma *sorted-list-of-mset-set* [simp]:
 $\text{sorted-list-of-multiset } (\text{mset-set } A) = \text{sorted-list-of-set } A$
 ⟨proof⟩

65.8 Replicate operation

definition *replicate-mset* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ multiset}$ **where**
 $\text{replicate-mset } n \ x = ((\text{op } + \ \{\#x\}) \ ^{\wedge} n) \ \{\#\}$

lemma *replicate-mset-0*[simp]: $\text{replicate-mset } 0 \ x = \{\#\}$
 ⟨proof⟩

lemma *replicate-mset-Suc*[simp]: $\text{replicate-mset } (\text{Suc } n) \ x = \text{replicate-mset } n \ x + \{\#x\}$
 ⟨proof⟩

lemma *in-replicate-mset*[simp]: $x \in\# \text{replicate-mset } n \ y \longleftrightarrow n > 0 \wedge x = y$
 ⟨proof⟩

lemma *count-replicate-mset*[simp]: $\text{count } (\text{replicate-mset } n \ x) \ y = (\text{if } y = x \text{ then } n \ \text{else } 0)$
 ⟨proof⟩

lemma *set-mset-replicate-mset-subset*[simp]: $\text{set-mset } (\text{replicate-mset } n \ x) = (\text{if } n = 0 \text{ then } \{\} \ \text{else } \{x\})$
 ⟨proof⟩

lemma *size-replicate-mset*[simp]: $\text{size } (\text{replicate-mset } n \ M) = n$
 ⟨proof⟩

lemma *count-le-replicate-mset-le*: $n \leq \text{count } M \ x \longleftrightarrow \text{replicate-mset } n \ x \subseteq\# M$
 ⟨proof⟩

lemma *filter-eq-replicate-mset*: $\{\#y \in\# D. y = x\# \} = \text{replicate-mset } (\text{count } D \ x) \ x$
 ⟨proof⟩

lemma *replicate-count-mset-eq-filter-eq*:
 $\text{replicate } (\text{count } (\text{mset } xs) \ k) \ k = \text{filter } (\text{HOL.eq } k) \ xs$
 ⟨proof⟩

lemma *replicate-mset-eq-empty-iff* [simp]:
 $\text{replicate-mset } n \ a = \{\#\} \longleftrightarrow n = 0$
 ⟨proof⟩

lemma *replicate-mset-eq-iff*:
 $\text{replicate-mset } m \ a = \text{replicate-mset } n \ b \longleftrightarrow$
 $m = 0 \wedge n = 0 \vee m = n \wedge a = b$
 ⟨proof⟩

65.9 Big operators

no-notation *times* (infixl * 70)

no-notation *Groups.one* (1)

locale *comm-monoid-mset* = *comm-monoid*
begin

definition $F :: 'a \text{ multiset} \Rightarrow 'a$
where *eq-fold*: $F \ M = \text{fold-mset } f \ 1 \ M$

lemma *empty* [simp]: $F \ \{\#\} = 1$
 ⟨proof⟩

lemma *singleton* [simp]: $F \ \{\#x\# \} = x$
 ⟨proof⟩

lemma *union* [simp]: $F \ (M + N) = F \ M * F \ N$
 ⟨proof⟩

end

lemma *comp-fun-commute-plus-mset*[simp]: *comp-fun-commute* (*op* + :: 'a multiset ⇒ - ⇒ -)
 ⟨proof⟩

declare *comp-fun-commute.fold-mset-insert*[OF *comp-fun-commute-plus-mset*, *simp*]

lemma *in-mset-fold-plus-iff*[iff]: $x \in\# \text{fold-mset } (\text{op } +) \ M \ NN \longleftrightarrow x \in\# \ M \vee$
 $(\exists N. N \in\# \ NN \wedge x \in\# \ N)$
 ⟨proof⟩

notation *times* (**infixl** * 70)

notation *Groups.one* (1)

context *comm-monoid-add*

begin

sublocale *msetsum: comm-monoid-mset plus 0*

defines *msetsum* = *msetsum.F* ⟨*proof*⟩

lemma (**in** *semiring-1*) *msetsum-replicate-mset* [*simp*]:

*msetsum (replicate-mset n a) = of-nat n * a*

⟨*proof*⟩

lemma *setsum-unfold-msetsum*:

setsum f A = msetsum (image-mset f (mset-set A))

⟨*proof*⟩

end

lemma *msetsum-diff*:

fixes *M N* :: ('a :: *ordered-cancel-comm-monoid-diff*) *multiset*

shows $N \subseteq\# M \implies msetsum (M - N) = msetsum M - msetsum N$

⟨*proof*⟩

lemma *size-eq-msetsum*: $size M = msetsum (image-mset (\lambda-. 1) M)$

⟨*proof*⟩

syntax (*ASCII*)

-msetsum-image :: *pttrn* \Rightarrow 'b *set* \Rightarrow 'a \Rightarrow 'a::*comm-monoid-add* ((\exists SUM $-\subseteq\#$ -) [0, 51, 10] 10)

syntax

-msetsum-image :: *pttrn* \Rightarrow 'b *set* \Rightarrow 'a \Rightarrow 'a::*comm-monoid-add* ((\exists \sum $-\in\#$ -) [0, 51, 10] 10)

translations

$\sum i \in\# A. b \Rightarrow$ *CONST* *msetsum* (*CONST* *image-mset* ($\lambda i. b$) A)

abbreviation *Union-mset* :: 'a *multiset multiset* \Rightarrow 'a *multiset* ($\cup\#$ - [900] 900)

where $\cup\# MM \equiv msetsum MM$ – FIXME ambiguous notation – could likewise refer to $\sqcup\#$

lemma *set-mset-Union-mset*[*simp*]: $set-mset (\cup\# MM) = (\cup M \in set-mset MM. set-mset M)$

⟨*proof*⟩

lemma *in-Union-mset-iff*[*iff*]: $x \in\# \cup\# MM \longleftrightarrow (\exists M. M \in\# MM \wedge x \in\# M)$

⟨*proof*⟩

lemma *count-setsum*:

$count (setsum f A) x = setsum (\lambda a. count (f a) x) A$
 ⟨proof⟩

lemma *setsum-eq-empty-iff*:

assumes *finite A*

shows $setsum f A = \{\#\} \longleftrightarrow (\forall a \in A. f a = \{\#\})$

⟨proof⟩

context *comm-monoid-mult*

begin

sublocale *msetprod: comm-monoid-mset times 1*

defines $msetprod = msetprod.F$ ⟨proof⟩

lemma *msetprod-empty*:

$msetprod \{\#\} = 1$

⟨proof⟩

lemma *msetprod-singleton*:

$msetprod \{\#x\# \} = x$

⟨proof⟩

lemma *msetprod-Un*:

$msetprod (A + B) = msetprod A * msetprod B$

⟨proof⟩

lemma *msetprod-replicate-mset [simp]*:

$msetprod (replicate-mset n a) = a ^ n$

⟨proof⟩

lemma *setprod-unfold-msetprod*:

$setprod f A = msetprod (image-mset f (mset-set A))$

⟨proof⟩

lemma *msetprod-multiplicity*:

$msetprod M = setprod (\lambda x. x ^ count M x) (set-mset M)$

⟨proof⟩

end

syntax (*ASCII*)

-msetprod-image :: *pttrn* \Rightarrow *'b set* \Rightarrow *'a* \Rightarrow *'a::comm-monoid-mult* ((*3PROD*
 -:#-. -) [0, 51, 10] 10)

syntax

-msetprod-image :: *pttrn* \Rightarrow *'b set* \Rightarrow *'a* \Rightarrow *'a::comm-monoid-mult* ((*3prod* -∈#-.
 -) [0, 51, 10] 10)

translations

$\prod i \in \# A. b \Rightarrow CONST msetprod (CONST image-mset (\lambda i. b) A)$

lemma (in *comm-semiring-1*) *dvd-msetprod*:

assumes $x \in\# A$

shows $x \text{ dvd msetprod } A$

<proof>

lemma (in *semidom*) *msetprod-zero-iff* [*iff*]:

$\text{msetprod } A = 0 \longleftrightarrow 0 \in\# A$

<proof>

lemma (in *semidom-divide*) *msetprod-diff*:

assumes $B \subseteq\# A$ and $0 \notin\# B$

shows $\text{msetprod } (A - B) = \text{msetprod } A \text{ div msetprod } B$

<proof>

lemma (in *semidom-divide*) *msetprod-minus*:

assumes $a \in\# A$ and $a \neq 0$

shows $\text{msetprod } (A - \{\#a\}) = \text{msetprod } A \text{ div } a$

<proof>

lemma (in *normalization-semidom*) *normalized-msetprodI*:

assumes $\bigwedge a. a \in\# A \implies \text{normalize } a = a$

shows $\text{normalize } (\text{msetprod } A) = \text{msetprod } A$

<proof>

65.10 Alternative representations

65.10.1 Lists

context *linorder*

begin

lemma *mset-insort* [*simp*]:

$\text{mset } (\text{insort-key } k \ x \ xs) = \{\#x\} + \text{mset } xs$

<proof>

lemma *mset-sort* [*simp*]:

$\text{mset } (\text{sort-key } k \ xs) = \text{mset } xs$

<proof>

This lemma shows which properties suffice to show that a function f with $f \ xs = \ ys$ behaves like sort.

lemma *properties-for-sort-key*:

assumes $\text{mset } ys = \text{mset } xs$

and $\bigwedge k. k \in \text{set } ys \implies \text{filter } (\lambda x. f \ k = f \ x) \ ys = \text{filter } (\lambda x. f \ k = f \ x) \ xs$

and $\text{sorted } (\text{map } f \ ys)$

shows $\text{sort-key } f \ xs = ys$

<proof>

lemma *properties-for-sort*:

assumes *multiset*: $\text{mset } ys = \text{mset } xs$

and *sorted ys*
shows *sort xs = ys*
 ⟨*proof*⟩

lemma *sort-key-inj-key-eq*:
assumes *mset-equal: mset xs = mset ys*
and *inj-on f (set xs)*
and *sorted (map f ys)*
shows *sort-key f xs = ys*
 ⟨*proof*⟩

lemma *sort-key-eq-sort-key*:
assumes *mset xs = mset ys*
and *inj-on f (set xs)*
shows *sort-key f xs = sort-key f ys*
 ⟨*proof*⟩

lemma *sort-key-by-quicksort*:
sort-key f xs = sort-key f [x ← xs. f x < f (xs ! (length xs div 2))]
 @ *[x ← xs. f x = f (xs ! (length xs div 2))]*
 @ *sort-key f [x ← xs. f x > f (xs ! (length xs div 2))]* (**is** *sort-key f ?lhs = ?rhs*)
 ⟨*proof*⟩

lemma *sort-by-quicksort*:
sort xs = sort [x ← xs. x < xs ! (length xs div 2)]
 @ *[x ← xs. x = xs ! (length xs div 2)]*
 @ *sort [x ← xs. x > xs ! (length xs div 2)]* (**is** *sort ?lhs = ?rhs*)
 ⟨*proof*⟩

A stable parametrized quicksort

definition *part* :: ('b ⇒ 'a) ⇒ 'a ⇒ 'b list ⇒ 'b list × 'b list × 'b list **where**
part f pivot xs = ([x ← xs. f x < pivot], [x ← xs. f x = pivot], [x ← xs. pivot < f x])

lemma *part-code* [*code*]:
part f pivot [] = ([], [], [])
part f pivot (x # xs) = (let (lts, eqs, gts) = part f pivot xs; x' = f x in
if x' < pivot then (x # lts, eqs, gts)
else if x' > pivot then (lts, eqs, x # gts)
else (lts, x # eqs, gts))
 ⟨*proof*⟩

lemma *sort-key-by-quicksort-code* [*code*]:
sort-key f xs =
 (*case xs of*
 | [] ⇒ []
 | [x] ⇒ xs
 | [x, y] ⇒ (*if f x ≤ f y then xs else [y, x]*)
 | - ⇒

let (lts, eqs, gts) = part f (f (xs ! (length xs div 2))) xs
 in sort-key f lts @ eqs @ sort-key f gts
 ⟨proof⟩

end

hide-const (open) part

lemma mset-remdups-le: mset (remdups xs) $\subseteq_{\#}$ mset xs
 ⟨proof⟩

lemma mset-update:
 $i < \text{length } ls \implies \text{mset } (ls[i := v]) = \text{mset } ls - \{\#ls ! i\} + \{\#v\}$
 ⟨proof⟩

lemma mset-swap:
 $i < \text{length } ls \implies j < \text{length } ls \implies$
 $\text{mset } (ls[j := ls ! i, i := ls ! j]) = \text{mset } ls$
 ⟨proof⟩

65.11 The multiset order

65.11.1 Well-foundedness

definition mult1 :: ('a × 'a) set \Rightarrow ('a multiset × 'a multiset) set where
 $\text{mult1 } r = \{(N, M). \exists a M0 K. M = M0 + \{\#a\} \wedge N = M0 + K \wedge$
 $(\forall b. b \in_{\#} K \longrightarrow (b, a) \in r)\}$

definition mult :: ('a × 'a) set \Rightarrow ('a multiset × 'a multiset) set where
 $\text{mult } r = (\text{mult1 } r)^+$

lemma mult1I:
 assumes $M = M0 + \{\#a\}$ and $N = M0 + K$ and $\bigwedge b. b \in_{\#} K \implies (b, a) \in r$
 shows $(N, M) \in \text{mult1 } r$
 ⟨proof⟩

lemma mult1E:
 assumes $(N, M) \in \text{mult1 } r$
 obtains a M0 K where $M = M0 + \{\#a\}$ $N = M0 + K$ $\bigwedge b. b \in_{\#} K \implies$
 $(b, a) \in r$
 ⟨proof⟩

lemma not-less-empty [iff]: $(M, \{\#\}) \notin \text{mult1 } r$
 ⟨proof⟩

lemma less-add:
 assumes $\text{mult1}: (N, M0 + \{\#a\}) \in \text{mult1 } r$
 shows
 $(\exists M. (M, M0) \in \text{mult1 } r \wedge N = M + \{\#a\}) \vee$

$(\exists K. (\forall b. b \in \# K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$
 $\langle proof \rangle$

lemma *all-accessible*:

assumes *wf r*

shows $\forall M. M \in Wellfounded.acc (mult1\ r)$

$\langle proof \rangle$

theorem *wf-mult1*: $wf\ r \implies wf (mult1\ r)$

$\langle proof \rangle$

theorem *wf-mult*: $wf\ r \implies wf (mult\ r)$

$\langle proof \rangle$

65.11.2 Closure-free presentation

One direction.

lemma *mult-implies-one-step*:

$trans\ r \implies (M, N) \in mult\ r \implies$

$\exists I\ J\ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$

$(\forall k \in set-mset\ K. \exists j \in set-mset\ J. (k, j) \in r)$

$\langle proof \rangle$

lemma *one-step-implies-mult-aux*:

$\forall I\ J\ K. size\ J = n \wedge J \neq \{\#\} \wedge (\forall k \in set-mset\ K. \exists j \in set-mset\ J. (k, j) \in r)$

$\longrightarrow (I + K, I + J) \in mult\ r$

$\langle proof \rangle$

lemma *one-step-implies-mult*:

$J \neq \{\#\} \implies \forall k \in set-mset\ K. \exists j \in set-mset\ J. (k, j) \in r$

$\implies (I + K, I + J) \in mult\ r$

$\langle proof \rangle$

65.11.3 Partial-order properties

lemma (in *order*) *mult1-lessE*:

assumes $(N, M) \in mult1\ \{(a, b). a < b\}$

obtains $a\ M0\ K$ **where** $M = M0 + \{\#a\# \}$ $N = M0 + K$

$a \notin \# K \wedge \forall b. b \in \# K \implies b < a$

$\langle proof \rangle$

definition *less-multiset* :: $'a::order\ multiset \Rightarrow 'a\ multiset \Rightarrow bool$ (**infix** $\# \subset \#$ 50)

where $M' \# \subset \# M \longleftrightarrow (M', M) \in mult\ \{(x', x). x' < x\}$

definition *le-multiset* :: $'a::order\ multiset \Rightarrow 'a\ multiset \Rightarrow bool$ (**infix** $\# \subseteq \#$ 50)

where $M' \# \subseteq \# M \longleftrightarrow M' \# \subset \# M \vee M' = M$

notation (*ASCII*)

less-multiset (**infix** $\#<\#$ 50) **and**

le-multiset (**infix** $\#\leq\#$ 50)

interpretation *multiset-order*: *order le-multiset less-multiset*
 \langle *proof* \rangle

lemma *mult-less-irrefl* [*elim!*]:

fixes $M :: 'a::\text{order multiset}$

shows $M \#_C \# M \implies R$

\langle *proof* \rangle

65.11.4 Monotonicity of multiset union

lemma *mult1-union*: $(B, D) \in \text{mult1 } r \implies (C + B, C + D) \in \text{mult1 } r$
 \langle *proof* \rangle

lemma *union-less-mono2*: $B \#_C \# D \implies C + B \#_C \# C + (D :: 'a::\text{order multiset})$

\langle *proof* \rangle

lemma *union-less-mono1*: $B \#_C \# D \implies B + C \#_C \# D + (C :: 'a::\text{order multiset})$

\langle *proof* \rangle

lemma *union-less-mono*:

fixes $A B C D :: 'a::\text{order multiset}$

shows $A \#_C \# C \implies B \#_C \# D \implies A + B \#_C \# C + D$

\langle *proof* \rangle

interpretation *multiset-order*: *ordered-ab-semigroup-add plus le-multiset less-multiset*
 \langle *proof* \rangle

65.11.5 Termination proofs with multiset orders

lemma *multi-member-skip*: $x \in \# XS \implies x \in \# \{\# y \# \} + XS$

and *multi-member-this*: $x \in \# \{\# x \# \} + XS$

and *multi-member-last*: $x \in \# \{\# x \# \}$

\langle *proof* \rangle

definition *ms-strict* = *mult pair-less*

definition *ms-weak* = *ms-strict* \cup *Id*

lemma *ms-reduction-pair*: *reduction-pair* (*ms-strict*, *ms-weak*)

\langle *proof* \rangle

lemma *smsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z + B) \in \text{ms-strict}$

\langle *proof* \rangle

lemma *wmsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee A = \{\#\} \wedge B = \{\#\}$

$\implies (Z + A, Z + B) \in \text{ms-weak}$

$\langle \text{proof} \rangle$

inductive *pw-leq*

where

pw-leq-empty: $\text{pw-leq } \{\#\} \{\#\}$

| *pw-leq-step*: $\llbracket (x, y) \in \text{pair-leq}; \text{pw-leq } X \ Y \rrbracket \implies \text{pw-leq } (\{\#x\# \} + X) (\{\#y\# \} + Y)$

lemma *pw-leq-lstep*:

$(x, y) \in \text{pair-leq} \implies \text{pw-leq } \{\#x\# \} \{\#y\# \}$

$\langle \text{proof} \rangle$

lemma *pw-leq-split*:

assumes *pw-leq* $X \ Y$

shows $\exists A \ B \ Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$

$\langle \text{proof} \rangle$

lemma

assumes *pwleq*: $\text{pw-leq } Z \ Z'$

shows *ms-strictI*: $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-strict}$

and *ms-weakI1*: $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-weak}$

and *ms-weakI2*: $(Z + \{\#\}, Z' + \{\#\}) \in \text{ms-weak}$

$\langle \text{proof} \rangle$

lemma *empty-neutral*: $\{\#\} + x = x \ x + \{\#\} = x$

and *nonempty-plus*: $\{\# \ x \ \#\} + rs \neq \{\#\}$

and *nonempty-single*: $\{\# \ x \ \#\} \neq \{\#\}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

65.12 Legacy theorem bindings

lemmas *multi-count-eq = multiset-eq-iff* [*symmetric*]

lemma *union-commute*: $M + N = N + (M::'a \ \text{multiset})$

$\langle \text{proof} \rangle$

lemma *union-assoc*: $(M + N) + K = M + (N + (K::'a \ \text{multiset}))$

$\langle \text{proof} \rangle$

lemma *union-lcomm*: $M + (N + K) = N + (M + (K::'a \ \text{multiset}))$

$\langle \text{proof} \rangle$

lemmas *union-ac = union-assoc union-commute union-lcomm*

lemma *union-right-cancel*: $M + K = N + K \longleftrightarrow M = (N::'a \text{ multiset})$
 ⟨proof⟩

lemma *union-left-cancel*: $K + M = K + N \longleftrightarrow M = (N::'a \text{ multiset})$
 ⟨proof⟩

lemma *multi-union-self-other-eq*: $(A::'a \text{ multiset}) + X = A + Y \implies X = Y$
 ⟨proof⟩

lemma *mset-less-trans*: $(M::'a \text{ multiset}) \subset\# K \implies K \subset\# N \implies M \subset\# N$
 ⟨proof⟩

lemma *multiset-inter-commute*: $A \#\cap B = B \#\cap A$
 ⟨proof⟩

lemma *multiset-inter-assoc*: $A \#\cap (B \#\cap C) = A \#\cap B \#\cap C$
 ⟨proof⟩

lemma *multiset-inter-left-commute*: $A \#\cap (B \#\cap C) = B \#\cap (A \#\cap C)$
 ⟨proof⟩

lemmas *multiset-inter-ac =*
multiset-inter-commute
multiset-inter-assoc
multiset-inter-left-commute

lemma *mult-less-not-refl*: $\neg M \#\subset\# (M::'a::\text{order multiset})$
 ⟨proof⟩

lemma *mult-less-trans*: $K \#\subset\# M \implies M \#\subset\# N \implies K \#\subset\# (N::'a::\text{order multiset})$
 ⟨proof⟩

lemma *mult-less-not-sym*: $M \#\subset\# N \implies \neg N \#\subset\# (M::'a::\text{order multiset})$
 ⟨proof⟩

lemma *mult-less-asm*: $M \#\subset\# N \implies (\neg P \implies N \#\subset\# (M::'a::\text{order multiset})) \implies P$
 ⟨proof⟩

⟨ML⟩

65.13 Naive implementation using lists

code-datatype *mset*

lemma [code]: $\{\#\} = \text{mset } []$
 ⟨proof⟩

lemma [code]: $\{\#x\# \} = \text{mset } [x]$
 ⟨proof⟩

lemma union-code [code]: $\text{mset } xs + \text{mset } ys = \text{mset } (xs \text{ @ } ys)$
 ⟨proof⟩

lemma [code]: $\text{image-mset } f (\text{mset } xs) = \text{mset } (\text{map } f \text{ } xs)$
 ⟨proof⟩

lemma [code]: $\text{filter-mset } f (\text{mset } xs) = \text{mset } (\text{filter } f \text{ } xs)$
 ⟨proof⟩

lemma [code]: $\text{mset } xs - \text{mset } ys = \text{mset } (\text{fold } \text{remove1 } ys \text{ } xs)$
 ⟨proof⟩

lemma [code]:
 $\text{mset } xs \# \cap \text{mset } ys =$
 $\text{mset } (\text{snd } (\text{fold } (\lambda x (ys, zs).$
 $\text{if } x \in \text{set } ys \text{ then } (\text{remove1 } x \text{ } ys, x \# zs) \text{ else } (ys, zs)) \text{ } xs \text{ } (ys, [])))$
 ⟨proof⟩

lemma [code]:
 $\text{mset } xs \# \cup \text{mset } ys =$
 $\text{mset } (\text{case-prod } \text{append } (\text{fold } (\lambda x (ys, zs). (\text{remove1 } x \text{ } ys, x \# zs)) \text{ } xs \text{ } (ys, [])))$
 ⟨proof⟩

declare in-multiset-in-set [code-unfold]

lemma [code]: $\text{count } (\text{mset } xs) \text{ } x = \text{fold } (\lambda y. \text{if } x = y \text{ then } \text{Suc} \text{ else } \text{id}) \text{ } xs \text{ } 0$
 ⟨proof⟩

declare set-mset-mset [code]

declare sorted-list-of-multiset-mset [code]

lemma [code]: — not very efficient, but representation-ignorant!
 $\text{mset-set } A = \text{mset } (\text{sorted-list-of-set } A)$
 ⟨proof⟩

declare size-mset [code]

fun ms-lesseq-impl :: 'a list \Rightarrow 'a list \Rightarrow bool option **where**
 $\text{ms-lesseq-impl } [] \text{ } ys = \text{Some } (ys \neq [])$
 $| \text{ms-lesseq-impl } (\text{Cons } x \text{ } xs) \text{ } ys = (\text{case } \text{List.extract } (op = x) \text{ } ys \text{ of}$
 $\text{None} \Rightarrow \text{None}$
 $| \text{Some } (ys1, -, ys2) \Rightarrow \text{ms-lesseq-impl } xs \text{ } (ys1 \text{ @ } ys2))$

lemma *ms-lesseq-impl*: $(ms-lesseq-impl\ xs\ ys = None \longleftrightarrow \neg\ mset\ xs \subseteq\# \ mset\ ys)$
 \wedge
 $(ms-lesseq-impl\ xs\ ys = Some\ True \longleftrightarrow mset\ xs \subset\# \ mset\ ys) \wedge$
 $(ms-lesseq-impl\ xs\ ys = Some\ False \longrightarrow mset\ xs = mset\ ys)$
 $\langle proof \rangle$

lemma [code]: $mset\ xs \subseteq\# \ mset\ ys \longleftrightarrow ms-lesseq-impl\ xs\ ys \neq None$
 $\langle proof \rangle$

lemma [code]: $mset\ xs \subset\# \ mset\ ys \longleftrightarrow ms-lesseq-impl\ xs\ ys = Some\ True$
 $\langle proof \rangle$

instantiation *multiset* :: (equal) equal
begin

definition

[code del]: $HOL.equal\ A\ (B :: 'a\ multiset) \longleftrightarrow A = B$

lemma [code]: $HOL.equal\ (mset\ xs)\ (mset\ ys) \longleftrightarrow ms-lesseq-impl\ xs\ ys = Some\ False$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

lemma [code]: $msetsum\ (mset\ xs) = listsum\ xs$
 $\langle proof \rangle$

lemma [code]: $msetprod\ (mset\ xs) = fold\ times\ xs\ 1$
 $\langle proof \rangle$

Exercise for the casual reader: add implementations for $op \# \subseteq\#$ and $op \# \subset\#$ (multiset order).

Quickcheck generators

definition (in *term-syntax*)

$msetify :: 'a::typerep\ list \times (unit \Rightarrow Code-Evaluation.term)$

$\Rightarrow 'a\ multiset \times (unit \Rightarrow Code-Evaluation.term)$ **where**

[code-unfold]: $msetify\ xs = Code-Evaluation.valtermify\ mset\ \{\cdot\}\ xs$

notation *fcomp* (**infixl** $\circ >$ 60)

notation *scomp* (**infixl** $\circ \rightarrow$ 60)

instantiation *multiset* :: (random) random
begin

definition

$Quickcheck-Random.random\ i = Quickcheck-Random.random\ i \circ \rightarrow (\lambda xs. Pair$

(*msetify xs*)

instance *<proof>*

end

no-notation *fcomp* (**infixl** $\circ > 60$)

no-notation *scomp* (**infixl** $\circ \rightarrow 60$)

instantiation *multiset* :: (*full-exhaustive*) *full-exhaustive*
begin

definition *full-exhaustive-multiset* :: (*'a multiset* \times (*unit* \Rightarrow *term*) \Rightarrow (*bool* \times *term list*) *option*) \Rightarrow *natural* \Rightarrow (*bool* \times *term list*) *option*

where

full-exhaustive-multiset f i = *Quickcheck-Exhaustive.full-exhaustive* ($\lambda xs. f (msetify xs)$) *i*

instance *<proof>*

end

hide-const (**open**) *msetify*

65.14 BNF setup

definition *rel-mset* **where**

rel-mset R X Y \longleftrightarrow ($\exists xs\ ys. mset\ xs = X \wedge mset\ ys = Y \wedge list-all2\ R\ xs\ ys$)

lemma *mset-zip-take-Cons-drop-twice*:

assumes *length xs = length ys j* \leq *length xs*

shows *mset (zip (take j xs @ x # drop j xs) (take j ys @ y # drop j ys)) =*
mset (zip xs ys) + {#(x, y)#}

<proof>

lemma *ex-mset-zip-left*:

assumes *length xs = length ys mset xs' = mset xs*

shows $\exists ys'. length\ ys' = length\ xs' \wedge mset\ (zip\ xs'\ ys') = mset\ (zip\ xs\ ys)$

<proof>

lemma *list-all2-reorder-left-invariance*:

assumes *rel: list-all2 R xs ys* **and** *ms-x: mset xs' = mset xs*

shows $\exists ys'. list-all2\ R\ xs'\ ys' \wedge mset\ ys' = mset\ ys$

<proof>

lemma *ex-mset*: $\exists xs. mset\ xs = X$

<proof>

inductive *pred-mset* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a multiset* \Rightarrow *bool*

where

$\text{pred-mset } P \{ \# \}$
 $| \llbracket P \ a; \text{pred-mset } P \ M \rrbracket \implies \text{pred-mset } P \ (M + \{ \#a\# \})$

bnf $'a$ multiset

map: image-mset
 sets: set-mset
 bd: natLeq
 $\text{wits: } \{ \# \}$
 rel: rel-mset
 pred: pred-mset
 $\langle \text{proof} \rangle$

inductive $\text{rel-mset}'$

where

$\text{Zero}[\text{intro}]: \text{rel-mset}' \ R \ \{ \# \} \ \{ \# \}$
 $| \text{Plus}[\text{intro}]: \llbracket R \ a \ b; \text{rel-mset}' \ R \ M \ N \rrbracket \implies \text{rel-mset}' \ R \ (M + \{ \#a\# \}) \ (N + \{ \#b\# \})$

lemma $\text{rel-mset-Zero}: \text{rel-mset } R \ \{ \# \} \ \{ \# \}$
 $\langle \text{proof} \rangle$

declare $\text{multiset.count}[\text{simp}]$
declare $\text{Abs-multiset-inverse}[\text{simp}]$
declare $\text{multiset.count-inverse}[\text{simp}]$
declare $\text{union-preserves-multiset}[\text{simp}]$

lemma rel-mset-Plus :

assumes $ab: R \ a \ b$
and $MN: \text{rel-mset } R \ M \ N$
shows $\text{rel-mset } R \ (M + \{ \#a\# \}) \ (N + \{ \#b\# \})$
 $\langle \text{proof} \rangle$

lemma $\text{rel-mset}'\text{-imp-rel-mset}: \text{rel-mset}' \ R \ M \ N \implies \text{rel-mset } R \ M \ N$
 $\langle \text{proof} \rangle$

lemma $\text{rel-mset-size}: \text{rel-mset } R \ M \ N \implies \text{size } M = \text{size } N$
 $\langle \text{proof} \rangle$

lemma $\text{multiset-induct2}[\text{case-names empty addL addR}]$:

assumes $\text{empty}: P \ \{ \# \} \ \{ \# \}$
and $\text{addL}: \bigwedge M \ N \ a. P \ M \ N \implies P \ (M + \{ \#a\# \}) \ N$
and $\text{addR}: \bigwedge M \ N \ a. P \ M \ N \implies P \ M \ (N + \{ \#a\# \})$
shows $P \ M \ N$
 $\langle \text{proof} \rangle$

lemma $\text{multiset-induct2-size}[\text{consumes 1, case-names empty add}]$:

assumes $c: \text{size } M = \text{size } N$
and $\text{empty}: P \ \{ \# \} \ \{ \# \}$

and *add*: $\bigwedge M N a b. P M N \implies P (M + \{\#a\# \}) (N + \{\#b\# \})$
shows $P M N$
 $\langle proof \rangle$

lemma *msed-map-invL*:

assumes $image\text{-}mset\ f\ (M + \{\#a\# \}) = N$
shows $\exists N1. N = N1 + \{\#f\ a\# \} \wedge image\text{-}mset\ f\ M = N1$
 $\langle proof \rangle$

lemma *msed-map-invR*:

assumes $image\text{-}mset\ f\ M = N + \{\#b\# \}$
shows $\exists M1\ a. M = M1 + \{\#a\# \} \wedge f\ a = b \wedge image\text{-}mset\ f\ M1 = N$
 $\langle proof \rangle$

lemma *msed-rel-invL*:

assumes $rel\text{-}mset\ R\ (M + \{\#a\# \})\ N$
shows $\exists N1\ b. N = N1 + \{\#b\# \} \wedge R\ a\ b \wedge rel\text{-}mset\ R\ M\ N1$
 $\langle proof \rangle$

lemma *msed-rel-invR*:

assumes $rel\text{-}mset\ R\ M\ (N + \{\#b\# \})$
shows $\exists M1\ a. M = M1 + \{\#a\# \} \wedge R\ a\ b \wedge rel\text{-}mset\ R\ M1\ N$
 $\langle proof \rangle$

lemma *rel-mset-imp-rel-mset'*:

assumes $rel\text{-}mset\ R\ M\ N$
shows $rel\text{-}mset'\ R\ M\ N$
 $\langle proof \rangle$

lemma *rel-mset-rel-mset'*: $rel\text{-}mset\ R\ M\ N = rel\text{-}mset'\ R\ M\ N$
 $\langle proof \rangle$

The main end product for *rel-mset*: inductive characterization:

lemmas *rel-mset-induct*[*case-names empty add, induct pred: rel-mset*] =
rel-mset'.induct[*unfolded rel-mset-rel-mset'*[*symmetric*]]

65.15 Size setup

lemma *multiset-size-o-map*: $size\text{-}multiset\ g \circ image\text{-}mset\ f = size\text{-}multiset\ (g \circ f)$
 $\langle proof \rangle$

$\langle ML \rangle$

hide-const (**open**) *wcount*

end

66 More Theorems about the Multiset Order

```
theory Multiset-Order
imports Multiset
begin
```

66.0.1 Alternative characterizations

```
context order
begin
```

```
lemma reflp-le: reflp (op ≤)
  ⟨proof⟩
```

```
lemma antisymP-le: antisymP (op ≤)
  ⟨proof⟩
```

```
lemma transp-le: transp (op ≤)
  ⟨proof⟩
```

```
lemma irreflp-less: irreflp (op <)
  ⟨proof⟩
```

```
lemma antisymP-less: antisymP (op <)
  ⟨proof⟩
```

```
lemma transp-less: transp (op <)
  ⟨proof⟩
```

```
lemmas le-trans = transp-le[unfolded transp-def, rule-format]
```

```
lemma order-mult: class.order
  (λM N. (M, N) ∈ mult {(x, y). x < y} ∨ M = N)
  (λM N. (M, N) ∈ mult {(x, y). x < y})
  (is class.order ?le ?less)
  ⟨proof⟩
```

The Dershowitz–Manna ordering:

```
definition less-multisetDM where
  less-multisetDM M N ↔
  (∃ X Y. X ≠ {#} ∧ X ≤# N ∧ M = (N - X) + Y ∧ (∀ k. k ∈# Y → (∃ a.
  a ∈# X ∧ k < a)))
```

The Huet–Oppen ordering:

```
definition less-multisetHO where
  less-multisetHO M N ↔ M ≠ N ∧ (∀ y. count N y < count M y → (∃ x. y
  < x ∧ count M x < count N x))
```

```
lemma mult-imp-less-multisetHO:
  (M, N) ∈ mult {(x, y). x < y} ⇒ less-multisetHO M N
```

<proof>

lemma *less-multiset_{DM}-imp-mult*:

less-multiset_{DM} M N $\implies (M, N) \in \text{mult } \{(x, y). x < y\}$

<proof>

lemma *less-multiset_{HO}-imp-less-multiset_{DM}*: *less-multiset_{HO} M N* \implies *less-multiset_{DM} M N*

<proof>

lemma *mult-less-multiset_{DM}*: $(M, N) \in \text{mult } \{(x, y). x < y\} \longleftrightarrow$ *less-multiset_{DM} M N*

<proof>

lemma *mult-less-multiset_{HO}*: $(M, N) \in \text{mult } \{(x, y). x < y\} \longleftrightarrow$ *less-multiset_{HO} M N*

<proof>

lemmas *mult_{DM} = mult-less-multiset_{DM}*[*unfolded less-multiset_{DM}-def*]

lemmas *mult_{HO} = mult-less-multiset_{HO}*[*unfolded less-multiset_{HO}-def*]

end

context *linorder*

begin

lemma *total-le*: *total* $\{(a :: 'a, b). a \leq b\}$

<proof>

lemma *total-less*: *total* $\{(a :: 'a, b). a < b\}$

<proof>

lemma *linorder-mult*: *class.linorder*

$(\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\} \vee M = N)$

$(\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\})$

<proof>

end

lemma *less-multiset-less-multiset_{HO}*:

$M \# \subset \# N \longleftrightarrow$ *less-multiset_{HO} M N*

<proof>

lemmas *less-multiset_{DM} = mult_{DM}*[*folded less-multiset-def*]

lemmas *less-multiset_{HO} = mult_{HO}*[*folded less-multiset-def*]

lemma *le-multiset_{HO}*:

fixes $M N :: ('a :: \text{linorder}) \text{multiset}$

shows $M \# \subseteq \# N \longleftrightarrow (\forall y. \text{count } N y < \text{count } M y \longrightarrow (\exists x. y < x \wedge \text{count } M x > \text{count } N x))$

$M\ x < \text{count } N\ x$)
 ⟨proof⟩

lemma *wf-less-multiset*: wf $\{(M :: ('a :: \text{wellorder})\ \text{multiset}, N). M \# \subset \# N\}$
 ⟨proof⟩

lemma *order-multiset*: class.order
 (*le-multiset* :: ('a :: order) multiset \Rightarrow ('a :: order) multiset \Rightarrow bool)
 (*less-multiset* :: ('a :: order) multiset \Rightarrow ('a :: order) multiset \Rightarrow bool)
 ⟨proof⟩

lemma *linorder-multiset*: class.linorder
 (*le-multiset* :: ('a :: linorder) multiset \Rightarrow ('a :: linorder) multiset \Rightarrow bool)
 (*less-multiset* :: ('a :: linorder) multiset \Rightarrow ('a :: linorder) multiset \Rightarrow bool)
 ⟨proof⟩

interpretation *multiset-linorder*: linorder
le-multiset :: ('a :: linorder) multiset \Rightarrow ('a :: linorder) multiset \Rightarrow bool
less-multiset :: ('a :: linorder) multiset \Rightarrow ('a :: linorder) multiset \Rightarrow bool
 ⟨proof⟩

interpretation *multiset-wellorder*: wellorder
le-multiset :: ('a :: wellorder) multiset \Rightarrow ('a :: wellorder) multiset \Rightarrow bool
less-multiset :: ('a :: wellorder) multiset \Rightarrow ('a :: wellorder) multiset \Rightarrow bool
 ⟨proof⟩

lemma *le-multiset-total*:
 fixes $M\ N :: ('a :: \text{linorder})\ \text{multiset}$
 shows $\neg M \# \subseteq \# N \Longrightarrow N \# \subseteq \# M$
 ⟨proof⟩

lemma *less-eq-imp-le-multiset*:
 fixes $M\ N :: ('a :: \text{linorder})\ \text{multiset}$
 shows $M \leq \# N \Longrightarrow M \# \subseteq \# N$
 ⟨proof⟩

lemma *less-multiset-right-total*:
 fixes $M :: ('a :: \text{linorder})\ \text{multiset}$
 shows $M \# \subset \# M + \{\#\text{undefined}\# \}$
 ⟨proof⟩

lemma *le-multiset-empty-left[simp]*:
 fixes $M :: ('a :: \text{linorder})\ \text{multiset}$
 shows $\{\#\} \# \subseteq \# M$
 ⟨proof⟩

lemma *le-multiset-empty-right[simp]*:
 fixes $M :: ('a :: \text{linorder})\ \text{multiset}$
 shows $M \neq \{\#\} \Longrightarrow \neg M \# \subseteq \# \{\#\}$

<proof>

lemma *less-multiset-empty-left[simp]*:
fixes $M :: ('a :: \text{linorder}) \text{multiset}$
shows $M \neq \{\#\} \implies \{\#\} \#C\# M$
<proof>

lemma *less-multiset-empty-right[simp]*:
fixes $M :: ('a :: \text{linorder}) \text{multiset}$
shows $\neg M \#C\# \{\#\}$
<proof>

lemma
fixes $M N :: ('a :: \text{linorder}) \text{multiset}$
shows
le-multiset-plus-left[simp]: $N \# \subseteq \# (M + N)$ **and**
le-multiset-plus-right[simp]: $M \# \subseteq \# (M + N)$
<proof>

lemma
fixes $M N :: ('a :: \text{linorder}) \text{multiset}$
shows
less-multiset-plus-plus-left-iff[simp]: $M + N \#C\# M' + N \longleftrightarrow M \#C\# M'$
and
less-multiset-plus-plus-right-iff[simp]: $M + N \#C\# M + N' \longleftrightarrow N \#C\# N'$
<proof>

lemma *add-eq-self-empty-iff*: $M + N = M \longleftrightarrow N = \{\#\}$
<proof>

lemma
fixes $M N :: ('a :: \text{linorder}) \text{multiset}$
shows
less-multiset-plus-left-nonempty[simp]: $M \neq \{\#\} \implies N \#C\# M + N$ **and**
less-multiset-plus-right-nonempty[simp]: $N \neq \{\#\} \implies M \#C\# M + N$
<proof>

lemma *ex-gt-imp-less-multiset*: $(\exists y :: 'a :: \text{linorder}. y \in \# N \wedge (\forall x. x \in \# M \longrightarrow x < y)) \implies M \#C\# N$
<proof>

lemma *ex-gt-count-imp-less-multiset*:
 $(\forall y :: 'a :: \text{linorder}. y \in \# M + N \longrightarrow y \leq x) \implies \text{count } M \ x < \text{count } N \ x \implies M \#C\# N$
<proof>

lemma *union-less-diff-plus*: $P \leq \# M \implies N \#C\# P \implies M - P + N \#C\# M$
<proof>

end

67 Numeral Syntax for Types

```
theory Numeral-Type
imports Cardinality
begin
```

67.1 Numeral Types

```
typedef num0 = UNIV :: nat set <proof>
typedef num1 = UNIV :: unit set <proof>

typedef 'a bit0 = {0 ..< 2 * int CARD('a::finite)}
<proof>

typedef 'a bit1 = {0 ..< 1 + 2 * int CARD('a::finite)}
<proof>

lemma card-num0 [simp]: CARD (num0) = 0
  <proof>

lemma infinite-num0: ¬ finite (UNIV :: num0 set)
  <proof>

lemma card-num1 [simp]: CARD(num1) = 1
  <proof>

lemma card-bit0 [simp]: CARD('a bit0) = 2 * CARD('a::finite)
  <proof>

lemma card-bit1 [simp]: CARD('a bit1) = Suc (2 * CARD('a::finite))
  <proof>

instance num1 :: finite
  <proof>

instance bit0 :: (finite) card2
  <proof>

instance bit1 :: (finite) card2
  <proof>
```

67.2 Locales for modular arithmetic subtypes

```
locale mod-type =
  fixes n :: int
  and Rep :: 'a::{zero,one,plus,times,uminus,minus} ⇒ int
  and Abs :: int ⇒ 'a::{zero,one,plus,times,uminus,minus}
```

```

assumes type: type-definition Rep Abs {0..n}
and size1:  $1 < n$ 
and zero-def:  $0 = \text{Abs } 0$ 
and one-def:  $1 = \text{Abs } 1$ 
and add-def:  $x + y = \text{Abs } ((\text{Rep } x + \text{Rep } y) \bmod n)$ 
and mult-def:  $x * y = \text{Abs } ((\text{Rep } x * \text{Rep } y) \bmod n)$ 
and diff-def:  $x - y = \text{Abs } ((\text{Rep } x - \text{Rep } y) \bmod n)$ 
and minus-def:  $-x = \text{Abs } ((-\text{Rep } x) \bmod n)$ 
begin

```

```

lemma size0:  $0 < n$ 
<proof>

```

```

lemmas definitions =
  zero-def one-def add-def mult-def minus-def diff-def

```

```

lemma Rep-less-n:  $\text{Rep } x < n$ 
<proof>

```

```

lemma Rep-le-n:  $\text{Rep } x \leq n$ 
<proof>

```

```

lemma Rep-inject-sym:  $x = y \longleftrightarrow \text{Rep } x = \text{Rep } y$ 
<proof>

```

```

lemma Rep-inverse:  $\text{Abs } (\text{Rep } x) = x$ 
<proof>

```

```

lemma Abs-inverse:  $m \in \{0..n\} \implies \text{Rep } (\text{Abs } m) = m$ 
<proof>

```

```

lemma Rep-Abs-mod:  $\text{Rep } (\text{Abs } (m \bmod n)) = m \bmod n$ 
<proof>

```

```

lemma Rep-Abs-0:  $\text{Rep } (\text{Abs } 0) = 0$ 
<proof>

```

```

lemma Rep-0:  $\text{Rep } 0 = 0$ 
<proof>

```

```

lemma Rep-Abs-1:  $\text{Rep } (\text{Abs } 1) = 1$ 
<proof>

```

```

lemma Rep-1:  $\text{Rep } 1 = 1$ 
<proof>

```

```

lemma Rep-mod:  $\text{Rep } x \bmod n = \text{Rep } x$ 
<proof>

```

lemmas *Rep-simps* =
Rep-inject-sym Rep-inverse Rep-Abs-mod Rep-mod Rep-Abs-0 Rep-Abs-1

lemma *comm-ring-1*: *OFCLASS('a, comm-ring-1-class)*
 ⟨*proof*⟩

end

locale *mod-ring* = *mod-type n Rep Abs*
for *n* :: *int*
and *Rep* :: '*a*::{*comm-ring-1*} ⇒ *int*
and *Abs* :: *int* ⇒ '*a*::{*comm-ring-1*}
begin

lemma *of-nat-eq*: *of-nat k = Abs (int k mod n)*
 ⟨*proof*⟩

lemma *of-int-eq*: *of-int z = Abs (z mod n)*
 ⟨*proof*⟩

lemma *Rep-numeral*:
Rep (numeral w) = numeral w mod n
 ⟨*proof*⟩

lemma *iszero-numeral*:
iszero (numeral w::'a) ⟷ numeral w mod n = 0
 ⟨*proof*⟩

lemma *cases*:
assumes *1*: $\bigwedge z. \llbracket (x::'a) = \text{of-int } z; 0 \leq z; z < n \rrbracket \implies P$
shows *P*
 ⟨*proof*⟩

lemma *induct*:
 $(\bigwedge z. \llbracket 0 \leq z; z < n \rrbracket \implies P (\text{of-int } z)) \implies P (x::'a)$
 ⟨*proof*⟩

end

67.3 Ring class instances

Unfortunately *ring-1* instance is not possible for *num1*, since 0 and 1 are not distinct.

instantiation *num1* :: {*comm-ring, comm-monoid-mult, numeral*}
begin

lemma *num1-eq-iff*: $(x::\text{num1}) = (y::\text{num1}) \longleftrightarrow \text{True}$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

instantiation

bit0 and *bit1* :: (*finite*) {*zero,one,plus,times,uminus,minus*}

begin

definition *Abs-bit0'* :: *int* ⇒ 'a *bit0* **where**
Abs-bit0' *x* = *Abs-bit0* (*x mod int CARD('a bit0)*)

definition *Abs-bit1'* :: *int* ⇒ 'a *bit1* **where**
Abs-bit1' *x* = *Abs-bit1* (*x mod int CARD('a bit1)*)

definition *0* = *Abs-bit0 0*

definition *1* = *Abs-bit0 1*

definition *x + y* = *Abs-bit0'* (*Rep-bit0 x + Rep-bit0 y*)

definition *x * y* = *Abs-bit0'* (*Rep-bit0 x * Rep-bit0 y*)

definition *x - y* = *Abs-bit0'* (*Rep-bit0 x - Rep-bit0 y*)

definition *- x* = *Abs-bit0'* (*- Rep-bit0 x*)

definition *0* = *Abs-bit1 0*

definition *1* = *Abs-bit1 1*

definition *x + y* = *Abs-bit1'* (*Rep-bit1 x + Rep-bit1 y*)

definition *x * y* = *Abs-bit1'* (*Rep-bit1 x * Rep-bit1 y*)

definition *x - y* = *Abs-bit1'* (*Rep-bit1 x - Rep-bit1 y*)

definition *- x* = *Abs-bit1'* (*- Rep-bit1 x*)

instance ⟨*proof*⟩

end

interpretation *bit0*:

mod-type int CARD('a::finite bit0)

Rep-bit0 :: 'a::finite *bit0* ⇒ *int*

Abs-bit0 :: *int* ⇒ 'a::finite *bit0*

⟨*proof*⟩

interpretation *bit1*:

mod-type int CARD('a::finite bit1)

Rep-bit1 :: 'a::finite *bit1* ⇒ *int*

Abs-bit1 :: *int* ⇒ 'a::finite *bit1*

⟨*proof*⟩

instance *bit0* :: (*finite*) *comm-ring-1*
 ⟨*proof*⟩

instance *bit1* :: (*finite*) *comm-ring-1*

<proof>

interpretation *bit0*:

mod-ring int CARD('a::finite bit0)
Rep-bit0 :: 'a::finite bit0 ⇒ int
Abs-bit0 :: int ⇒ 'a::finite bit0
<proof>

interpretation *bit1*:

mod-ring int CARD('a::finite bit1)
Rep-bit1 :: 'a::finite bit1 ⇒ int
Abs-bit1 :: int ⇒ 'a::finite bit1
<proof>

Set up cases, induction, and arithmetic

lemmas *bit0-cases* [*case-names of-int, cases type: bit0*] = *bit0.cases*

lemmas *bit1-cases* [*case-names of-int, cases type: bit1*] = *bit1.cases*

lemmas *bit0-induct* [*case-names of-int, induct type: bit0*] = *bit0.induct*

lemmas *bit1-induct* [*case-names of-int, induct type: bit1*] = *bit1.induct*

lemmas *bit0-iszero-numeral* [*simp*] = *bit0.iszero-numeral*

lemmas *bit1-iszero-numeral* [*simp*] = *bit1.iszero-numeral*

lemmas [*simp*] = *eq-numeral-iff-iszero* [**where** *'a='a bit0*] **for** *dummy :: 'a::finite*

lemmas [*simp*] = *eq-numeral-iff-iszero* [**where** *'a='a bit1*] **for** *dummy :: 'a::finite*

67.4 Order instances

instantiation *bit0* and *bit1* :: (*finite*) *linorder* **begin**

definition $a < b \iff \text{Rep-bit0 } a < \text{Rep-bit0 } b$

definition $a \leq b \iff \text{Rep-bit0 } a \leq \text{Rep-bit0 } b$

definition $a < b \iff \text{Rep-bit1 } a < \text{Rep-bit1 } b$

definition $a \leq b \iff \text{Rep-bit1 } a \leq \text{Rep-bit1 } b$

instance

<proof>

end

lemma (**in preorder**) *tranclp-less: op <⁺⁺ = op <*

<proof>

instance *bit0* and *bit1* :: (*finite*) *wellorder*

<proof>

67.5 Code setup and type classes for code generation

Code setup for *num0* and *num1*

definition *Num0* :: *num0* **where** *Num0 = Abs-num0 0*

code-datatype *Num0*

instantiation *num0* :: *equal* **begin**

definition *equal-num0* :: *num0* ⇒ *num0* ⇒ *bool*

where *equal-num0* = *op* =

instance ⟨*proof*⟩

end

lemma *equal-num0-code* [*code*]:

equal-class.equal Num0 Num0 = *True*

⟨*proof*⟩

code-datatype *1* :: *num1*

instantiation *num1* :: *equal* **begin**

definition *equal-num1* :: *num1* ⇒ *num1* ⇒ *bool*

where *equal-num1* = *op* =

instance ⟨*proof*⟩

end

lemma *equal-num1-code* [*code*]:

equal-class.equal (1 :: num1) 1 = *True*

⟨*proof*⟩

instantiation *num1* :: *enum* **begin**

definition *enum-class.enum* = [*1* :: *num1*]

definition *enum-class.enum-all* *P* = *P* (*1* :: *num1*)

definition *enum-class.enum-ex* *P* = *P* (*1* :: *num1*)

instance

 ⟨*proof*⟩

end

instantiation *num0* **and** *num1* :: *card-UNIV* **begin**

definition *finite-UNIV* = *Phantom*(*num0*) *False*

definition *card-UNIV* = *Phantom*(*num0*) *0*

definition *finite-UNIV* = *Phantom*(*num1*) *True*

definition *card-UNIV* = *Phantom*(*num1*) *1*

instance

 ⟨*proof*⟩

end

Code setup for '*a bit0* and '*a bit1*

declare

bit0.Rep-inverse[*code abstype*]

bit0.Rep-0[*code abstract*]

bit0.Rep-1[*code abstract*]

lemma *Abs-bit0'-code* [*code abstract*]:

Rep-bit0 (*Abs-bit0'* *x* :: '*a* :: *finite bit0*) = *x mod int* (*CARD*('a *bit0*))

<proof>

lemma *inj-on-Abs-bit0*:

*inj-on (Abs-bit0 :: int \Rightarrow 'a bit0) {0.. $2 * int CARD('a :: finite)$ }*
<proof>

declare

bit1.Rep-inverse[code abstype]
bit1.Rep-0[code abstract]
bit1.Rep-1[code abstract]

lemma *Abs-bit1'-code [code abstract]*:

Rep-bit1 (Abs-bit1' x :: 'a :: finite bit1) = x mod int (CARD('a bit1))
<proof>

lemma *inj-on-Abs-bit1*:

*inj-on (Abs-bit1 :: int \Rightarrow 'a bit1) {0.. $1 + 2 * int CARD('a :: finite)$ }*
<proof>

instantiation *bit0 and bit1 :: (finite) equal begin*

definition *equal-class.equal x y \longleftrightarrow Rep-bit0 x = Rep-bit0 y*

definition *equal-class.equal x y \longleftrightarrow Rep-bit1 x = Rep-bit1 y*

instance

<proof>

end

instantiation *bit0 :: (finite) enum begin*

definition *(enum-class.enum :: 'a bit0 list) = map (Abs-bit0' \circ int) (upt 0 (CARD('a bit0)))*

definition *enum-class.enum-all P = ($\forall b :: 'a bit0 \in set enum-class.enum. P b$)*

definition *enum-class.enum-ex P = ($\exists b :: 'a bit0 \in set enum-class.enum. P b$)*

instance

<proof>

end

instantiation *bit1 :: (finite) enum begin*

definition *(enum-class.enum :: 'a bit1 list) = map (Abs-bit1' \circ int) (upt 0 (CARD('a bit1)))*

definition *enum-class.enum-all P = ($\forall b :: 'a bit1 \in set enum-class.enum. P b$)*

definition *enum-class.enum-ex P = ($\exists b :: 'a bit1 \in set enum-class.enum. P b$)*

instance

<proof>

end

instantiation *bit0* **and** *bit1* :: (*finite*) *finite-UNIV* **begin**

definition *finite-UNIV* = *Phantom('a bit0) True*

definition *finite-UNIV* = *Phantom('a bit1) True*

instance *<proof>*

end

instantiation *bit0* **and** *bit1* :: (*{finite,card-UNIV}*) *card-UNIV* **begin**

definition *card-UNIV* = *Phantom('a bit0) (2 * of-phantom (card-UNIV :: 'a card-UNIV))*

definition *card-UNIV* = *Phantom('a bit1) (1 + 2 * of-phantom (card-UNIV :: 'a card-UNIV))*

instance *<proof>*

end

67.6 Syntax

syntax

-NumeralType :: *num-token => type (-)*

-NumeralType0 :: *type (0)*

-NumeralType1 :: *type (1)*

translations

(type) 1 == (type) num1

(type) 0 == (type) num0

<ML>

67.7 Examples

lemma *CARD(0) = 0 <proof>*

lemma *CARD(17) = 17 <proof>*

lemma *8 * 11 ^ 3 - 6 = (2::5) <proof>*

end

68 ω -words

theory *Omega-Words-Fun*

imports *Infinite-Set*

begin

Note: This theory is based on Stefan Merz’s work.

Automata recognize languages, which are sets of words. For the theory of ω -automata, we are mostly interested in ω -words, but it is sometimes useful to reason about finite words, too. We are modeling finite words as lists; this lets us benefit from the existing library. Other formalizations could

be investigated, such as representing words as functions whose domains are initial intervals of the natural numbers.

68.1 Type declaration and elementary operations

We represent ω -words as functions from the natural numbers to the alphabet type. Other possible formalizations include a coinductive definition or a uniform encoding of finite and infinite words, as studied by Müller et al.

type-synonym

$'a \text{ word} = \text{nat} \Rightarrow 'a$

We can prefix a finite word to an ω -word, and a way to obtain an ω -word from a finite, non-empty word is by ω -iteration.

definition

$\text{conc} :: ['a \text{ list}, 'a \text{ word}] \Rightarrow 'a \text{ word}$ (**infixr** \curvearrowright 65)
where $w \curvearrowright x == \lambda n. \text{if } n < \text{length } w \text{ then } w!n \text{ else } x (n - \text{length } w)$

definition

$\text{iter} :: 'a \text{ list} \Rightarrow 'a \text{ word}$ ($(-\omega)$ [1000])
where $\text{iter } w == \text{if } w = [] \text{ then undefined else } (\lambda n. w!(n \bmod (\text{length } w)))$

lemma conc-empty[simp] : $[] \curvearrowright w = w$

$\langle \text{proof} \rangle$

lemma conc-fst[simp] : $n < \text{length } w \implies (w \curvearrowright x) n = w!n$

$\langle \text{proof} \rangle$

lemma conc-snd[simp] : $\neg(n < \text{length } w) \implies (w \curvearrowright x) n = x (n - \text{length } w)$

$\langle \text{proof} \rangle$

lemma iter-nth [simp] : $0 < \text{length } w \implies w^\omega n = w!(n \bmod (\text{length } w))$

$\langle \text{proof} \rangle$

lemma conc-conc[simp] : $u \curvearrowright v \curvearrowright w = (u @ v) \curvearrowright w$ (**is** $?lhs = ?rhs$)

$\langle \text{proof} \rangle$

lemma range-conc[simp] : $\text{range } (w_1 \curvearrowright w_2) = \text{set } w_1 \cup \text{range } w_2$

$\langle \text{proof} \rangle$

lemma iter-unroll : $0 < \text{length } w \implies w^\omega = w \curvearrowright w^\omega$

$\langle \text{proof} \rangle$

68.2 Subsequence, Prefix, and Suffix

definition $\text{suffix} :: [\text{nat}, 'a \text{ word}] \Rightarrow 'a \text{ word}$

where $\text{suffix } k \ x \equiv \lambda n. x (k+n)$

definition *subsequence* :: 'a word \Rightarrow nat \Rightarrow nat \Rightarrow 'a list (- [- \rightarrow -] 900)
where *subsequence* w i j \equiv map w [i.. $<$ j]

abbreviation *prefix* :: nat \Rightarrow 'a word \Rightarrow 'a list
where *prefix* n w \equiv *subsequence* w 0 n

lemma *suffix-nth* [simp]: (*suffix* k x) n = x (k+n)
 <proof>

lemma *suffix-0* [simp]: *suffix* 0 x = x
 <proof>

lemma *suffix-suffix* [simp]: *suffix* m (*suffix* k x) = *suffix* (k+m) x
 <proof>

lemma *subsequence-append*: *prefix* (i + j) w = *prefix* i w @ (w [i \rightarrow i + j])
 <proof>

lemma *subsequence-drop*[simp]: *drop* i (w [j \rightarrow k]) = w [j + i \rightarrow k]
 <proof>

lemma *subsequence-empty*[simp]: w [i \rightarrow j] = [] \iff j \leq i
 <proof>

lemma *subsequence-length*[simp]: *length* (*subsequence* w i j) = j - i
 <proof>

lemma *subsequence-nth*[simp]: k < j - i \implies (w [i \rightarrow j]) ! k = w (i + k)
 <proof>

lemma *subseq-to-zero*[simp]: w[i \rightarrow 0] = []
 <proof>

lemma *subseq-to-smaller*[simp]: i \geq j \implies w[i \rightarrow j] = []
 <proof>

lemma *subseq-to-Suc*[simp]: i \leq j \implies w [i \rightarrow Suc j] = w [i \rightarrow j] @ [w j]
 <proof>

lemma *subsequence-singleton*[simp]: w [i \rightarrow Suc i] = [w i]
 <proof>

lemma *subsequence-prefix-suffix*: *prefix* (j - i) (*suffix* i w) = w [i \rightarrow j]
 <proof>

lemma *prefix-suffix*: x = *prefix* n x \frown (*suffix* n x)
 <proof>

declare *prefix-suffix*[*symmetric, simp*]

lemma *word-split*: **obtains** $v_1 v_2$ **where** $v = v_1 \frown v_2$ *length* $v_1 = k$
 ⟨*proof*⟩

lemma *set-subsequence*[*simp*]: *set* $(w[i \rightarrow j]) = w'\{i..<j\}$
 ⟨*proof*⟩

lemma *subsequence-take*[*simp*]: *take* $i (w [j \rightarrow k]) = w [j \rightarrow \min (j + i) k]$
 ⟨*proof*⟩

lemma *subsequence-shift*[*simp*]: $(\text{suffix } i w) [j \rightarrow k] = w [i + j \rightarrow i + k]$
 ⟨*proof*⟩

lemma *suffix-subseq-join*[*simp*]: $i \leq j \implies v [i \rightarrow j] \frown \text{suffix } j v = \text{suffix } i v$
 ⟨*proof*⟩

lemma *prefix-conc-fst*[*simp*]:
assumes $j \leq \text{length } w$
shows $\text{prefix } j (w \frown w') = \text{take } j w$
 ⟨*proof*⟩

lemma *prefix-conc-snd*[*simp*]:
assumes $n \geq \text{length } u$
shows $\text{prefix } n (u \frown v) = u @ \text{prefix } (n - \text{length } u) v$
 ⟨*proof*⟩

lemma *prefix-conc-length*[*simp*]: $\text{prefix } (\text{length } w) (w \frown w') = w$
 ⟨*proof*⟩

lemma *suffix-conc-fst*[*simp*]:
assumes $n \leq \text{length } u$
shows $\text{suffix } n (u \frown v) = \text{drop } n u \frown v$
 ⟨*proof*⟩

lemma *suffix-conc-snd*[*simp*]:
assumes $n \geq \text{length } u$
shows $\text{suffix } n (u \frown v) = \text{suffix } (n - \text{length } u) v$
 ⟨*proof*⟩

lemma *suffix-conc-length*[*simp*]: $\text{suffix } (\text{length } w) (w \frown w') = w'$
 ⟨*proof*⟩

lemma *concat-eg*[*iff*]:
assumes $\text{length } v_1 = \text{length } v_2$
shows $v_1 \frown u_1 = v_2 \frown u_2 \iff v_1 = v_2 \wedge u_1 = u_2$
 (**is** *?lhs* \iff *?rhs*)

$\langle proof \rangle$

lemma *same-concat-eq*[*iff*]: $u \frown v = u \frown w \longleftrightarrow v = w$
 $\langle proof \rangle$

lemma *comp-concat*[*simp*]: $f \circ u \frown v = map\ f\ u \frown (f \circ v)$
 $\langle proof \rangle$

68.3 Prepending

primrec *build* :: 'a \Rightarrow 'a word \Rightarrow 'a word (**infixr** ## 65)
where (a ## w) 0 = a | (a ## w) (Suc i) = w i

lemma *build-eq*[*iff*]: $a_1 \## w_1 = a_2 \## w_2 \longleftrightarrow a_1 = a_2 \wedge w_1 = w_2$
 $\langle proof \rangle$

lemma *build-cons*[*simp*]: $(a \# u) \frown v = a \## u \frown v$
 $\langle proof \rangle$

lemma *build-append*[*simp*]: $(w @ a \# u) \frown v = w \frown a \## u \frown v$
 $\langle proof \rangle$

lemma *build-first*[*simp*]: $w\ 0 \##\ suffix\ (Suc\ 0)\ w = w$
 $\langle proof \rangle$

lemma *build-split*[*intro*]: $w = w\ 0 \##\ suffix\ 1\ w$
 $\langle proof \rangle$

lemma *build-range*[*simp*]: $range\ (a \##\ w) = insert\ a\ (range\ w)$
 $\langle proof \rangle$

lemma *suffix-singleton-suffix*[*simp*]: $w\ i \##\ suffix\ (Suc\ i)\ w = suffix\ i\ w$
 $\langle proof \rangle$

Find the first occurrence of a letter from a given set

lemma *word-first-split-set*:
assumes $A \cap range\ w \neq \{\}$
obtains $u\ a\ v$ **where** $w = u \frown [a] \frown v$ $A \cap set\ u = \{\}$ $a \in A$
 $\langle proof \rangle$

68.4 The limit set of an ω -word

The limit set (also called infinity set) of an ω -word is the set of letters that appear infinitely often in the word. This set plays an important role in defining acceptance conditions of ω -automata.

definition *limit* :: 'a word \Rightarrow 'a set
where $limit\ x \equiv \{a . \exists_{\infty} n . x\ n = a\}$

lemma *limit-iff-frequent*: $a \in limit\ x \longleftrightarrow (\exists_{\infty} n . x\ n = a)$

<proof>

The following is a different way to define the limit, using the reverse image, making the laws about reverse image applicable to the limit set. (Might want to change the definition above?)

lemma *limit-vimage*: $(a \in \text{limit } x) = \text{infinite } (x - \{a\})$
<proof>

lemma *two-in-limit-iff*:

$(\{a, b\} \subseteq \text{limit } x) =$
 $((\exists n. x\ n = a) \wedge (\forall n. x\ n = a \longrightarrow (\exists m > n. x\ m = b)) \wedge (\forall m. x\ m = b \longrightarrow$
 $(\exists n > m. x\ n = a)))$
(is ?lhs = (?r1 \wedge ?r2 \wedge ?r3))
<proof>

For ω -words over a finite alphabet, the limit set is non-empty. Moreover, from some position onward, any such word contains only letters from its limit set.

lemma *limit-nonempty*:

assumes *fin*: *finite* (range *x*)
shows $\exists a. a \in \text{limit } x$
<proof>

lemmas *limit-nonemptyE* = *limit-nonempty*[*THEN exE*]

lemma *limit-inter-INF*:

assumes *hyp*: $\text{limit } w \cap S \neq \{\}$
shows $\exists_{\infty} n. w\ n \in S$
<proof>

The reverse implication is true only if *S* is finite.

lemma *INF-limit-inter*:

assumes *hyp*: $\exists_{\infty} n. w\ n \in S$
and *fin*: *finite* ($S \cap \text{range } w$)
shows $\exists a. a \in \text{limit } w \cap S$
<proof>

lemma *fin-ex-inf-eq-limit*: $\text{finite } A \implies (\exists_{\infty} i. w\ i \in A) \iff \text{limit } w \cap A \neq \{\}$
<proof>

lemma *limit-in-range-suffix*: $\text{limit } x \subseteq \text{range } (\text{suffix } k\ x)$
<proof>

lemma *limit-in-range*: $\text{limit } r \subseteq \text{range } r$
<proof>

lemmas *limit-in-range-suffixD* = *limit-in-range-suffix*[*THEN subsetD*]

lemma *limit-subset*: $\text{limit } f \subseteq f - \{n..\}$

<proof>

theorem *limit-is-suffix*:

assumes *fin*: *finite* (*range x*)

shows $\exists k. \text{limit } x = \text{range } (\text{suffix } k \ x)$

<proof>

lemmas *limit-is-suffixE* = *limit-is-suffix*[*THEN exE*]

The limit set enjoys some simple algebraic laws with respect to concatenation, suffixes, iteration, and renaming.

theorem *limit-conc* [*simp*]: *limit* (*w* \frown *x*) = *limit x*

<proof>

theorem *limit-suffix* [*simp*]: *limit* (*suffix n x*) = *limit x*

<proof>

theorem *limit-iter* [*simp*]:

assumes *nempty*: $0 < \text{length } w$

shows *limit* $w^\omega = \text{set } w$

<proof>

lemma *limit-o* [*simp*]:

assumes *a*: $a \in \text{limit } w$

shows $f \ a \in \text{limit } (f \circ w)$

<proof>

The converse relation is not true in general: $f(a)$ can be in the limit of $f \circ w$ even though a is not in the limit of w . However, *limit* commutes with renaming if the function is injective. More generally, if $f(a)$ is the image of only finitely many elements, some of these must be in the limit of w .

lemma *limit-o-inv*:

assumes *fin*: *finite* ($f^{-1} \ \{x\}$)

and *x*: $x \in \text{limit } (f \circ w)$

shows $\exists a \in (f^{-1} \ \{x\}). a \in \text{limit } w$

<proof>

theorem *limit-inj* [*simp*]:

assumes *inj*: *inj* *f*

shows *limit* ($f \circ w$) = $f^{-1} (\text{limit } w)$

<proof>

lemma *limit-inter-empty*:

assumes *fin*: *finite* (*range w*)

assumes *hyp*: $\text{limit } w \cap S = \{\}$

shows $\forall_\infty n. w \ n \notin S$

<proof>

If the limit is the suffix of the sequence’s range, we may increase the suffix index arbitrarily

lemma *limit-range-suffix-incr*:
assumes *limit r = range (suffix i r)*
assumes $j \geq i$
shows *limit r = range (suffix j r)*
(is ?lhs = ?rhs)
 ⟨*proof*⟩

For two finite sequences, we can find a common suffix index such that the limits can be represented as these suffixes’ ranges.

lemma *common-range-limit*:
assumes *finite (range x)*
and *finite (range y)*
obtains *i where limit x = range (suffix i x)*
and *limit y = range (suffix i y)*
 ⟨*proof*⟩

68.5 Index sequences and piecewise definitions

A word can be defined piecewise: given a sequence of words w_0, w_1, \dots and a strictly increasing sequence of integers i_0, i_1, \dots where $i_0 = 0$, a single word is obtained by concatenating subwords of the w_n as given by the integers: the resulting word is

$$(w_0)_{i_0} \dots (w_0)_{i_1-1} (w_1)_{i_1} \dots (w_1)_{i_2-1} \dots$$

We prepare the field by proving some trivial facts about such sequences of indexes.

definition *idx-sequence* :: *nat word* \Rightarrow *bool*
where *idx-sequence idx* \equiv (*idx 0 = 0*) \wedge ($\forall n. \text{idx } n < \text{idx } (\text{Suc } n)$)

lemma *idx-sequence-less*:
assumes *iseq: idx-sequence idx*
shows *idx n < idx (Suc(n+k))*
 ⟨*proof*⟩

lemma *idx-sequence-inj*:
assumes *iseq: idx-sequence idx*
and *eq: idx m = idx n*
shows $m = n$
 ⟨*proof*⟩

lemma *idx-sequence-mono*:
assumes *iseq: idx-sequence idx*
and $m \leq n$
shows *idx m \leq idx n*
 ⟨*proof*⟩

Given an index sequence, every natural number is contained in the interval defined by two adjacent indexes, and in fact this interval is determined uniquely.

lemma *idx-sequence-idx*:
assumes *idx-sequence idx*
shows $idx\ k \in \{idx\ k \ ..< \ idx\ (Suc\ k)\}$
 $\langle proof \rangle$

lemma *idx-sequence-interval*:
assumes *iseq: idx-sequence idx*
shows $\exists k. n \in \{idx\ k \ ..< \ idx\ (Suc\ k)\}$
(is *?P n is* $\exists k. ?in\ n\ k$ **)**
 $\langle proof \rangle$

lemma *idx-sequence-interval-unique*:
assumes *iseq: idx-sequence idx*
and $k: n \in \{idx\ k \ ..< \ idx\ (Suc\ k)\}$
and $m: n \in \{idx\ m \ ..< \ idx\ (Suc\ m)\}$
shows $k = m$
 $\langle proof \rangle$

lemma *idx-sequence-unique-interval*:
assumes *iseq: idx-sequence idx*
shows $\exists! k. n \in \{idx\ k \ ..< \ idx\ (Suc\ k)\}$
 $\langle proof \rangle$

Now we can define the piecewise construction of a word using an index sequence.

definition *merge* :: *'a word word \Rightarrow nat word \Rightarrow 'a word*
where *merge ws idx \equiv $\lambda n. let\ i = THE\ i. n \in \{idx\ i \ ..< \ idx\ (Suc\ i)\}$ in ws i n*

lemma *merge*:
assumes *idx: idx-sequence idx*
and $n: n \in \{idx\ i \ ..< \ idx\ (Suc\ i)\}$
shows *merge ws idx n = ws i n*
 $\langle proof \rangle$

lemma *merge0*:
assumes *idx: idx-sequence idx*
shows *merge ws idx 0 = ws 0 0*
 $\langle proof \rangle$

lemma *merge-Suc*:
assumes *idx: idx-sequence idx*
and $n: n \in \{idx\ i \ ..< \ idx\ (Suc\ i)\}$
shows *merge ws idx (Suc n) = (if Suc n = idx (Suc i) then ws (Suc i) else ws i) (Suc n)*
 $\langle proof \rangle$

end

$\langle ML \rangle$

69 Canonical order on option type

```
theory Option-ord
imports Option Main
begin
```

notation

```
bot ( $\perp$ ) and
top ( $\top$ ) and
inf (infixl  $\sqcap$  70) and
sup (infixl  $\sqcup$  65) and
Inf ( $\sqcap$ - [900] 900) and
Sup ( $\sqcup$ - [900] 900)
```

syntax

```
-INF1  :: pptrns  $\Rightarrow$  'b  $\Rightarrow$  'b      (( $\exists \sqcap$  -./ -) [0, 10] 10)
-INF   :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists \sqcap$  - $\in$ ./ -) [0, 0, 10] 10)
-SUP1  :: pptrns  $\Rightarrow$  'b  $\Rightarrow$  'b      (( $\exists \sqcup$  -./ -) [0, 10] 10)
-SUP   :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists \sqcup$  - $\in$ ./ -) [0, 0, 10] 10)
```

```
instantiation option :: (preorder) preorder
begin
```

definition less-eq-option where

```
 $x \leq y \iff (\text{case } x \text{ of None } \Rightarrow \text{True} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of None } \Rightarrow \text{False} \mid \text{Some } y \Rightarrow x \leq y))$ 
```

definition less-option where

```
 $x < y \iff (\text{case } y \text{ of None } \Rightarrow \text{False} \mid \text{Some } y \Rightarrow (\text{case } x \text{ of None } \Rightarrow \text{True} \mid \text{Some } x \Rightarrow x < y))$ 
```

```
lemma less-eq-option-None [simp]: None  $\leq$  x
<proof>
```

```
lemma less-eq-option-None-code [code]: None  $\leq$  x  $\iff$  True
<proof>
```

```
lemma less-eq-option-None-is-None: x  $\leq$  None  $\implies$  x = None
<proof>
```

```
lemma less-eq-option-Some-None [simp, code]: Some x  $\leq$  None  $\iff$  False
<proof>
```

```
lemma less-eq-option-Some [simp, code]: Some x  $\leq$  Some y  $\iff$  x  $\leq$  y
<proof>
```

```
lemma less-option-None [simp, code]: x < None  $\iff$  False
<proof>
```

lemma *less-option-None-is-Some*: $None < x \implies \exists z. x = Some\ z$
 ⟨*proof*⟩

lemma *less-option-None-Some* [*simp*]: $None < Some\ x$
 ⟨*proof*⟩

lemma *less-option-None-Some-code* [*code*]: $None < Some\ x \longleftrightarrow True$
 ⟨*proof*⟩

lemma *less-option-Some* [*simp*, *code*]: $Some\ x < Some\ y \longleftrightarrow x < y$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

instance *option* :: (*order*) *order*
 ⟨*proof*⟩

instance *option* :: (*linorder*) *linorder*
 ⟨*proof*⟩

instantiation *option* :: (*order*) *order-bot*
begin

definition *bot-option* **where** $\perp = None$

instance
 ⟨*proof*⟩

end

instantiation *option* :: (*order-top*) *order-top*
begin

definition *top-option* **where** $\top = Some\ \top$

instance
 ⟨*proof*⟩

end

instance *option* :: (*wellorder*) *wellorder*
 ⟨*proof*⟩

instantiation *option* :: (*inf*) *inf*
begin

definition *inf-option* **where**

$$x \sqcap y = (\text{case } x \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } y \Rightarrow \text{Some } (x \sqcap y)))$$

lemma *inf-None-1* [*simp, code*]: $\text{None} \sqcap y = \text{None}$
 $\langle \text{proof} \rangle$

lemma *inf-None-2* [*simp, code*]: $x \sqcap \text{None} = \text{None}$
 $\langle \text{proof} \rangle$

lemma *inf-Some* [*simp, code*]: $\text{Some } x \sqcap \text{Some } y = \text{Some } (x \sqcap y)$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

instantiation *option* :: (*sup*) *sup*
begin

definition *sup-option* **where**

$$x \sqcup y = (\text{case } x \text{ of } \text{None} \Rightarrow y \mid \text{Some } x' \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow x \mid \text{Some } y \Rightarrow \text{Some } (x' \sqcup y)))$$

lemma *sup-None-1* [*simp, code*]: $\text{None} \sqcup y = y$
 $\langle \text{proof} \rangle$

lemma *sup-None-2* [*simp, code*]: $x \sqcup \text{None} = x$
 $\langle \text{proof} \rangle$

lemma *sup-Some* [*simp, code*]: $\text{Some } x \sqcup \text{Some } y = \text{Some } (x \sqcup y)$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

instance *option* :: (*semilattice-inf*) *semilattice-inf*
 $\langle \text{proof} \rangle$

instance *option* :: (*semilattice-sup*) *semilattice-sup*
 $\langle \text{proof} \rangle$

instance *option* :: (*lattice*) *lattice* $\langle \text{proof} \rangle$

instance *option* :: (*lattice*) *bounded-lattice-bot* $\langle \text{proof} \rangle$

instance *option* :: (*bounded-lattice-top*) *bounded-lattice-top* $\langle \text{proof} \rangle$

instance *option* :: (*bounded-lattice-top*) *bounded-lattice* ⟨*proof*⟩

instance *option* :: (*distrib-lattice*) *distrib-lattice*
 ⟨*proof*⟩

instantiation *option* :: (*complete-lattice*) *complete-lattice*
begin

definition *Inf-option* :: 'a *option set* \Rightarrow 'a *option* **where**
 $\bigcap A = (\text{if } \text{None} \in A \text{ then } \text{None} \text{ else } \text{Some } (\bigcap \text{Option.their } A))$

lemma *None-in-Inf* [*simp*]: $\text{None} \in A \implies \bigcap A = \text{None}$
 ⟨*proof*⟩

definition *Sup-option* :: 'a *option set* \Rightarrow 'a *option* **where**
 $\bigcup A = (\text{if } A = \{\} \vee A = \{\text{None}\} \text{ then } \text{None} \text{ else } \text{Some } (\bigcup \text{Option.their } A))$

lemma *empty-Sup* [*simp*]: $\bigcup \{\} = \text{None}$
 ⟨*proof*⟩

lemma *singleton-None-Sup* [*simp*]: $\bigcup \{\text{None}\} = \text{None}$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

lemma *Some-Inf*:
 $\text{Some } (\bigcap A) = \bigcap (\text{Some } ` A)$
 ⟨*proof*⟩

lemma *Some-Sup*:
 $A \neq \{\} \implies \text{Some } (\bigcup A) = \bigcup (\text{Some } ` A)$
 ⟨*proof*⟩

lemma *Some-INF*:
 $\text{Some } (\bigcap_{x \in A} f x) = (\bigcap_{x \in A} \text{Some } (f x))$
 ⟨*proof*⟩

lemma *Some-SUP*:
 $A \neq \{\} \implies \text{Some } (\bigcup_{x \in A} f x) = (\bigcup_{x \in A} \text{Some } (f x))$
 ⟨*proof*⟩

instance *option* :: (*complete-distrib-lattice*) *complete-distrib-lattice*
 ⟨*proof*⟩

instance *option* :: (*complete-linorder*) *complete-linorder* ⟨*proof*⟩

no-notation

bot (\perp) **and**
top (\top) **and**
inf (**infixl** \sqcap 70) **and**
sup (**infixl** \sqcup 65) **and**
Inf (\sqcap - [900] 900) **and**
Sup (\sqcup - [900] 900)

no-syntax

-INF1 :: $pttrns \Rightarrow 'b \Rightarrow 'b$ $((\exists \sqcap \cdot / \cdot) [0, 10] 10)$
-INF :: $pttrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b$ $((\exists \sqcap \cdot \in \cdot / \cdot) [0, 0, 10] 10)$
-SUP1 :: $pttrns \Rightarrow 'b \Rightarrow 'b$ $((\exists \sqcup \cdot / \cdot) [0, 10] 10)$
-SUP :: $pttrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b$ $((\exists \sqcup \cdot \in \cdot / \cdot) [0, 0, 10] 10)$

end

70 Futures and parallel lists for code generated towards Isabelle/ML

theory *Parallel*
imports *Main*
begin

70.1 Futures

datatype $'a \text{ future} = \text{fork unit} \Rightarrow 'a$

primrec $\text{join} :: 'a \text{ future} \Rightarrow 'a$ **where**
 $\text{join} (\text{fork } f) = f ()$

lemma future-eqI [*intro!*]:
assumes $\text{join } f = \text{join } g$
shows $f = g$
 $\langle \text{proof} \rangle$

code-printing

type-constructor $\text{future} \rightarrow (\text{Eval}) \text{ - future}$
| **constant** $\text{fork} \rightarrow (\text{Eval}) \text{ Future.fork}$
| **constant** $\text{join} \rightarrow (\text{Eval}) \text{ Future.join}$

code-reserved $\text{Eval Future future}$

70.2 Parallel lists

definition $\text{map} :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$ **where**
[*simp*]: $\text{map} = \text{List.map}$

definition *forall* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool **where**
forall = list-all

lemma *forall-all* [simp]:
forall P xs ⟷ (∀ x ∈ set xs. P x)
 ⟨proof⟩

definition *exists* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool **where**
exists = list-ex

lemma *exists-ex* [simp]:
exists P xs ⟷ (∃ x ∈ set xs. P x)
 ⟨proof⟩

code-printing

constant *map* → (Eval) Par'-List.map
| **constant** *forall* → (Eval) Par'-List.forall
| **constant** *exists* → (Eval) Par'-List.exists

code-reserved Eval Par-List

hide-const (open) fork join map exists forall

end

71 Permutations

theory *Permutation*

imports *Multiset*

begin

inductive *perm* :: 'a list ⇒ 'a list ⇒ bool (- <~> - [50, 50] 50)
where

Nil [intro!]: [] <~> []
| *swap* [intro!]: y # x # l <~> x # y # l
| *Cons* [intro!]: xs <~> ys ⟹ z # xs <~> z # ys
| *trans* [intro]: xs <~> ys ⟹ ys <~> zs ⟹ xs <~> zs

proposition *perm-refl* [iff]: l <~> l
 ⟨proof⟩

71.1 Some examples of rule induction on permutations

proposition *xperm-empty-imp*: [] <~> ys ⟹ ys = []
 ⟨proof⟩

This more general theorem is easier to understand!

proposition *perm-length*: xs <~> ys ⟹ length xs = length ys

<proof>

proposition *perm-empty-imp*: $\square \langle \sim \sim \rangle xs \implies xs = \square$
<proof>

proposition *perm-sym*: $xs \langle \sim \sim \rangle ys \implies ys \langle \sim \sim \rangle xs$
<proof>

71.2 Ways of making new permutations

We can insert the head anywhere in the list.

proposition *perm-append-Cons*: $a \# xs @ ys \langle \sim \sim \rangle xs @ a \# ys$
<proof>

proposition *perm-append-swap*: $xs @ ys \langle \sim \sim \rangle ys @ xs$
<proof>

proposition *perm-append-single*: $a \# xs \langle \sim \sim \rangle xs @ [a]$
<proof>

proposition *perm-rev*: $rev xs \langle \sim \sim \rangle xs$
<proof>

proposition *perm-append1*: $xs \langle \sim \sim \rangle ys \implies l @ xs \langle \sim \sim \rangle l @ ys$
<proof>

proposition *perm-append2*: $xs \langle \sim \sim \rangle ys \implies xs @ l \langle \sim \sim \rangle ys @ l$
<proof>

71.3 Further results

proposition *perm-empty [iff]*: $\square \langle \sim \sim \rangle xs \longleftrightarrow xs = \square$
<proof>

proposition *perm-empty2 [iff]*: $xs \langle \sim \sim \rangle \square \longleftrightarrow xs = \square$
<proof>

proposition *perm-sing-imp*: $ys \langle \sim \sim \rangle xs \implies xs = [y] \implies ys = [y]$
<proof>

proposition *perm-sing-eq [iff]*: $ys \langle \sim \sim \rangle [y] \longleftrightarrow ys = [y]$
<proof>

proposition *perm-sing-eq2 [iff]*: $[y] \langle \sim \sim \rangle ys \longleftrightarrow ys = [y]$
<proof>

71.4 Removing elements

proposition *perm-remove*: $x \in set\ ys \implies ys \langle \sim \sim \rangle x \# remove1\ x\ ys$

<proof>

Congruence rule

proposition *perm-remove-perm*: $xs <^{\sim\sim}> ys \implies \text{remove1 } z \ xs <^{\sim\sim}> \text{remove1 } z \ ys$

<proof>

proposition *remove-hd [simp]*: $\text{remove1 } z \ (z \# \ xs) = \ xs$

<proof>

proposition *cons-perm-imp-perm*: $z \# \ xs <^{\sim\sim}> z \# \ ys \implies xs <^{\sim\sim}> ys$

<proof>

proposition *cons-perm-iff*: $z \# \ xs <^{\sim\sim}> z \# \ ys \iff xs <^{\sim\sim}> ys$

<proof>

proposition *append-perm-imp-perm*: $zs \ @ \ xs <^{\sim\sim}> zs \ @ \ ys \implies xs <^{\sim\sim}> ys$

<proof>

proposition *perm-append1-iff*: $zs \ @ \ xs <^{\sim\sim}> zs \ @ \ ys \iff xs <^{\sim\sim}> ys$

<proof>

proposition *perm-append2-iff*: $xs \ @ \ zs <^{\sim\sim}> ys \ @ \ zs \iff xs <^{\sim\sim}> ys$

<proof>

theorem *mset-eq-perm*: $mset \ xs = mset \ ys \iff xs <^{\sim\sim}> ys$

<proof>

proposition *mset-le-perm-append*: $mset \ xs \leq\# \ mset \ ys \iff (\exists \ zs. \ xs \ @ \ zs <^{\sim\sim}> ys)$

<proof>

proposition *perm-set-eq*: $xs <^{\sim\sim}> ys \implies set \ xs = set \ ys$

<proof>

proposition *perm-distinct-iff*: $xs <^{\sim\sim}> ys \implies distinct \ xs = distinct \ ys$

<proof>

theorem *eq-set-perm-remdups*: $set \ xs = set \ ys \implies \text{remdups } xs <^{\sim\sim}> \text{remdups } ys$

<proof>

proposition *perm-remdups-iff-eq-set*: $\text{remdups } x <^{\sim\sim}> \text{remdups } y \iff set \ x = set \ y$

<proof>

theorem *permutation-Ex-bij*:

assumes $xs <^{\sim\sim}> ys$

shows $\exists f. \text{bij-betw } f \ \{..<length \ xs\} \ \{..<length \ ys\} \wedge (\forall i < length \ xs. \ xs \ ! \ i = ys \ ! \ (f \ i))$

<proof>

proposition *perm-finite*: *finite* {*B*. *B* $\langle \sim \sim \rangle$ *A*}

<proof>

proposition *perm-swap*:

assumes *i* < *length xs* *j* < *length xs*

shows *xs*[*i* := *xs* ! *j*, *j* := *xs* ! *i*] $\langle \sim \sim \rangle$ *xs*

<proof>

end

72 Permutations, both general and specifically on finite sets.

theory *Permutations*

imports *Binomial*

begin

72.1 Transpositions

lemma *swap-id-idempotent* [*simp*]:

Fun.swap a b id \circ *Fun.swap a b id* = *id*

<proof>

lemma *inv-swap-id*:

inv (Fun.swap a b id) = *Fun.swap a b id*

<proof>

lemma *swap-id-eq*:

Fun.swap a b id x = (*if* *x* = *a* *then* *b* *else if* *x* = *b* *then* *a* *else* *x*)

<proof>

72.2 Basic consequences of the definition

definition *permutes* (**infix** *permutes* 41)

where (*p permutes S*) \longleftrightarrow ($\forall x. x \notin S \longrightarrow p\ x = x$) \wedge ($\forall y. \exists!x. p\ x = y$)

lemma *permutes-in-image*: *p permutes S* \implies *p x* \in *S* \longleftrightarrow *x* \in *S*

<proof>

lemma *permutes-image*: *p permutes S* \implies *p* ‘ *S* = *S*

<proof>

lemma *permutes-inj*: *p permutes S* \implies *inj p*

<proof>

lemma *permutes-surj*: *p permutes s* \implies *surj p*

<proof>

lemma *permutates-bij*: p *permutates* $s \implies$ *bij* p
 ⟨*proof*⟩

lemma *permutates-imp-bij*: p *permutates* $S \implies$ *bij-betw* p S S
 ⟨*proof*⟩

lemma *bij-imp-permutates*: *bij-betw* p S $S \implies (\bigwedge x. x \notin S \implies p\ x = x) \implies p$
permutates S
 ⟨*proof*⟩

lemma *permutates-inv-o*:
assumes pS : p *permutates* S
shows $p \circ \text{inv } p = \text{id}$
and $\text{inv } p \circ p = \text{id}$
 ⟨*proof*⟩

lemma *permutates-inverses*:
fixes $p :: 'a \Rightarrow 'a$
assumes pS : p *permutates* S
shows $p (\text{inv } p\ x) = x$
and $\text{inv } p (p\ x) = x$
 ⟨*proof*⟩

lemma *permutates-subset*: p *permutates* $S \implies S \subseteq T \implies p$ *permutates* T
 ⟨*proof*⟩

lemma *permutates-empty[simp]*: p *permutates* $\{\}$ $\longleftrightarrow p = \text{id}$
 ⟨*proof*⟩

lemma *permutates-sing[simp]*: p *permutates* $\{a\} \longleftrightarrow p = \text{id}$
 ⟨*proof*⟩

lemma *permutates-univ*: p *permutates* $UNIV \longleftrightarrow (\forall y. \exists!x. p\ x = y)$
 ⟨*proof*⟩

lemma *permutates-inv-eq*: p *permutates* $S \implies \text{inv } p\ y = x \longleftrightarrow p\ x = y$
 ⟨*proof*⟩

lemma *permutates-swap-id*: $a \in S \implies b \in S \implies \text{Fun.swap } a\ b\ \text{id}$ *permutates* S
 ⟨*proof*⟩

lemma *permutates-superset*: p *permutates* $S \implies (\forall x \in S - T. p\ x = x) \implies p$
permutates T
 ⟨*proof*⟩

72.3 Group properties

lemma *permutates-id*: *id* *permutates* S

<proof>

lemma *permutates-compose*: p permutates $S \implies q$ permutates $S \implies q \circ p$ permutates S
<proof>

lemma *permutates-inv*:
assumes pS : p permutates S
shows $inv\ p$ permutates S
<proof>

lemma *permutates-inv-inv*:
assumes pS : p permutates S
shows $inv\ (inv\ p) = p$
<proof>

72.4 The number of permutations on a finite set

lemma *permutates-insert-lemma*:
assumes pS : p permutates ($insert\ a\ S$)
shows $Fun.swap\ a\ (p\ a)\ id \circ p$ permutates S
<proof>

lemma *permutates-insert*: $\{p. p\ permutates\ (insert\ a\ S)\} =$
 $(\lambda(b,p). Fun.swap\ a\ b\ id \circ p) \cdot \{(b,p). b \in insert\ a\ S \wedge p \in \{p. p\ permutates\ S\}\}$
<proof>

lemma *card-permutations*:
assumes Sn : $card\ S = n$
and fS : $finite\ S$
shows $card\ \{p. p\ permutates\ S\} = fact\ n$
<proof>

lemma *finite-permutations*:
assumes fS : $finite\ S$
shows $finite\ \{p. p\ permutates\ S\}$
<proof>

72.5 Permutations of index set for iterated operations

lemma (*in comm-monoid-set*) *permute*:
assumes p permutates S
shows $F\ g\ S = F\ (g \circ p)\ S$
<proof>

72.6 Various combinations of transpositions with 2, 1 and 0 common elements

lemma *swap-id-common*: $a \neq c \implies b \neq c \implies$
 $Fun.swap\ a\ b\ id \circ Fun.swap\ a\ c\ id = Fun.swap\ b\ c\ id \circ Fun.swap\ a\ b\ id$
<proof>

lemma *swap-id-common'*: $a \neq b \implies a \neq c \implies$
 $Fun.swap\ a\ c\ id \circ Fun.swap\ b\ c\ id = Fun.swap\ b\ c\ id \circ Fun.swap\ a\ b\ id$
 $\langle proof \rangle$

lemma *swap-id-independent*: $a \neq c \implies a \neq d \implies b \neq c \implies b \neq d \implies$
 $Fun.swap\ a\ b\ id \circ Fun.swap\ c\ d\ id = Fun.swap\ c\ d\ id \circ Fun.swap\ a\ b\ id$
 $\langle proof \rangle$

72.7 Permutations as transposition sequences

inductive *swapidseq* :: $nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow bool$

where

$id[simp]$: $swapidseq\ 0\ id$
 $| comp-Suc$: $swapidseq\ n\ p \implies a \neq b \implies swapidseq\ (Suc\ n)\ (Fun.swap\ a\ b\ id \circ p)$

declare $id[unfolded\ id-def,\ simp]$

definition *permutation* $p \iff (\exists n.\ swapidseq\ n\ p)$

72.8 Some closure properties of the set of permutations, with lengths

lemma *permutation-id*[$simp$]: $permutation\ id$
 $\langle proof \rangle$

declare $permutation-id[unfolded\ id-def,\ simp]$

lemma *swapidseq-swap*: $swapidseq\ (if\ a = b\ then\ 0\ else\ 1)\ (Fun.swap\ a\ b\ id)$
 $\langle proof \rangle$

lemma *permutation-swap-id*: $permutation\ (Fun.swap\ a\ b\ id)$
 $\langle proof \rangle$

lemma *swapidseq-comp-add*: $swapidseq\ n\ p \implies swapidseq\ m\ q \implies swapidseq\ (n + m)\ (p \circ q)$
 $\langle proof \rangle$

lemma *permutation-compose*: $permutation\ p \implies permutation\ q \implies permutation\ (p \circ q)$
 $\langle proof \rangle$

lemma *swapidseq-endswap*: $swapidseq\ n\ p \implies a \neq b \implies swapidseq\ (Suc\ n)\ (p \circ Fun.swap\ a\ b\ id)$
 $\langle proof \rangle$

lemma *swapidseq-inverse-exists*: $swapidseq\ n\ p \implies \exists q.\ swapidseq\ n\ q \wedge p \circ q = id \wedge q \circ p = id$

$\langle proof \rangle$

lemma *swapidseq-inverse*:

assumes H : *swapidseq* n p

shows *swapidseq* n (*inv* p)

$\langle proof \rangle$

lemma *permutation-inverse*: *permutation* $p \implies$ *permutation* (*inv* p)

$\langle proof \rangle$

72.9 The identity map only has even transposition sequences

lemma *symmetry-lemma*:

assumes $\bigwedge a b c d. P a b c d \implies P a b d c$

and $\bigwedge a b c d. a \neq b \implies c \neq d \implies$

$a = c \wedge b = d \vee a = c \wedge b \neq d \vee a \neq c \wedge b = d \vee a \neq c \wedge a \neq d \wedge b \neq c$

$\wedge b \neq d \implies$

$P a b c d$

shows $\bigwedge a b c d. a \neq b \longrightarrow c \neq d \longrightarrow P a b c d$

$\langle proof \rangle$

lemma *swap-general*: $a \neq b \implies c \neq d \implies$

$Fun.swap a b id \circ Fun.swap c d id = id \vee$

$(\exists x y z. x \neq a \wedge y \neq a \wedge z \neq a \wedge x \neq y \wedge$

$Fun.swap a b id \circ Fun.swap c d id = Fun.swap x y id \circ Fun.swap a z id)$

$\langle proof \rangle$

lemma *swapidseq-id-iff*[*simp*]: *swapidseq* 0 $p \longleftrightarrow p = id$

$\langle proof \rangle$

lemma *swapidseq-cases*: *swapidseq* n $p \longleftrightarrow$

$n = 0 \wedge p = id \vee (\exists a b q m. n = Suc m \wedge p = Fun.swap a b id \circ q \wedge swapidseq m q \wedge a \neq b)$

$\langle proof \rangle$

lemma *fixing-swapidseq-decrease*:

assumes spn : *swapidseq* n p

and ab : $a \neq b$

and pa : $(Fun.swap a b id \circ p) a = a$

shows $n \neq 0 \wedge swapidseq (n - 1) (Fun.swap a b id \circ p)$

$\langle proof \rangle$

lemma *swapidseq-identity-even*:

assumes *swapidseq* n (*id* :: $'a \Rightarrow 'a$)

shows *even* n

$\langle proof \rangle$

72.10 Therefore we have a welldefined notion of parity

definition *evenperm* $p = even$ (*SOME* $n. swapidseq n p$)

lemma *swapidseq-even-even*:
assumes *m*: *swapidseq m p*
and *n*: *swapidseq n p*
shows *even m* \longleftrightarrow *even n*
<proof>

lemma *evenperm-unique*:
assumes *p*: *swapidseq n p*
and *n*: *even n = b*
shows *evenperm p = b*
<proof>

72.11 And it has the expected composition properties

lemma *evenperm-id[simp]*: *evenperm id = True*
<proof>

lemma *evenperm-swap*: *evenperm (Fun.swap a b id) = (a = b)*
<proof>

lemma *evenperm-comp*:
assumes *p*: *permutation p*
and *q*: *permutation q*
shows *evenperm (p \circ q) = (evenperm p = evenperm q)*
<proof>

lemma *evenperm-inv*:
assumes *p*: *permutation p*
shows *evenperm (inv p) = evenperm p*
<proof>

72.12 A more abstract characterization of permutations

lemma *bij-iff*: *bij f* \longleftrightarrow $(\forall x. \exists!y. f y = x)$
<proof>

lemma *permutation-bijective*:
assumes *p*: *permutation p*
shows *bij p*
<proof>

lemma *permutation-finite-support*:
assumes *p*: *permutation p*
shows *finite {x. p x \neq x}*
<proof>

lemma *bij-inv-eq-iff*: *bij p* \implies *x = inv p y* \longleftrightarrow *p x = y*
<proof>

lemma *bij-swap-comp*:

assumes *bp*: *bij p*

shows $\text{Fun.swap } a \ b \ \text{id} \circ p = \text{Fun.swap } (\text{inv } p \ a) \ (\text{inv } p \ b) \ p$

<proof>

lemma *bij-swap-ompose-bij*: $\text{bij } p \implies \text{bij } (\text{Fun.swap } a \ b \ \text{id} \circ p)$

<proof>

lemma *permutation-lemma*:

assumes *fS*: *finite S*

and *p*: *bij p*

and $pS: \forall x. x \notin S \longrightarrow p \ x = x$

shows *permutation p*

<proof>

lemma *permutation*: $\text{permutation } p \longleftrightarrow \text{bij } p \wedge \text{finite } \{x. p \ x \neq x\}$

(**is** *?lhs* \longleftrightarrow *?b* \wedge *?f*)

<proof>

lemma *permutation-inverse-works*:

assumes *p*: *permutation p*

shows $\text{inv } p \circ p = \text{id}$

and $p \circ \text{inv } p = \text{id}$

<proof>

lemma *permutation-inverse-compose*:

assumes *p*: *permutation p*

and *q*: *permutation q*

shows $\text{inv } (p \circ q) = \text{inv } q \circ \text{inv } p$

<proof>

72.13 Relation to "permutes"

lemma *permutation-permutes*: $\text{permutation } p \longleftrightarrow (\exists S. \text{finite } S \wedge p \ \text{permutes } S)$

<proof>

72.14 Hence a sort of induction principle composing by swaps

lemma *permutes-induct*: $\text{finite } S \implies P \ \text{id} \implies$

$(\bigwedge a \ b \ p. a \in S \implies b \in S \implies P \ p \implies P \ p \implies \text{permutation } p \implies P \ (\text{Fun.swap } a \ b \ \text{id} \circ p)) \implies$

$(\bigwedge p. p \ \text{permutes } S \implies P \ p)$

<proof>

72.15 Sign of a permutation as a real number

definition *sign p* = (if *evenperm p* then (1::int) else -1)

lemma *sign-nz*: $\text{sign } p \neq 0$

<proof>

lemma *sign-id*: $\text{sign } id = 1$
 ⟨proof⟩

lemma *sign-inverse*: $\text{permutation } p \implies \text{sign } (\text{inv } p) = \text{sign } p$
 ⟨proof⟩

lemma *sign-compose*: $\text{permutation } p \implies \text{permutation } q \implies \text{sign } (p \circ q) = \text{sign } p * \text{sign } q$
 ⟨proof⟩

lemma *sign-swap-id*: $\text{sign } (\text{Fun.swap } a \ b \ id) = (\text{if } a = b \ \text{then } 1 \ \text{else } -1)$
 ⟨proof⟩

lemma *sign-idempotent*: $\text{sign } p * \text{sign } p = 1$
 ⟨proof⟩

72.16 More lemmas about permutations

lemma *permutes-natset-le*:
 fixes $S :: 'a::\text{wellorder set}$
 assumes $p: p \text{ permutes } S$
 and $le: \forall i \in S. p \ i \leq i$
 shows $p = id$
 ⟨proof⟩

lemma *permutes-natset-ge*:
 fixes $S :: 'a::\text{wellorder set}$
 assumes $p: p \text{ permutes } S$
 and $le: \forall i \in S. p \ i \geq i$
 shows $p = id$
 ⟨proof⟩

lemma *image-inverse-permutations*: $\{\text{inv } p \mid p. p \text{ permutes } S\} = \{p. p \text{ permutes } S\}$
 ⟨proof⟩

lemma *image-compose-permutations-left*:
 assumes $q: q \text{ permutes } S$
 shows $\{q \circ p \mid p. p \text{ permutes } S\} = \{p . p \text{ permutes } S\}$
 ⟨proof⟩

lemma *image-compose-permutations-right*:
 assumes $q: q \text{ permutes } S$
 shows $\{p \circ q \mid p. p \text{ permutes } S\} = \{p . p \text{ permutes } S\}$
 ⟨proof⟩

lemma *permutes-in-seg*: $p \text{ permutes } \{1 ..n\} \implies i \in \{1 ..n\} \implies 1 \leq p \ i \wedge p \ i \leq n$

<proof>

lemma *setsum-permutations-inverse:*

setsum f {p. p permutes S} = setsum ($\lambda p. f(\text{inv } p)$) {p. p permutes S}
(is ?lhs = ?rhs)

<proof>

lemma *setum-permutations-compose-left:*

assumes *q: q permutes S*

shows *setsum f {p. p permutes S} = setsum ($\lambda p. f(q \circ p)$) {p. p permutes S}*
(is ?lhs = ?rhs)

<proof>

lemma *sum-permutations-compose-right:*

assumes *q: q permutes S*

shows *setsum f {p. p permutes S} = setsum ($\lambda p. f(p \circ q)$) {p. p permutes S}*
(is ?lhs = ?rhs)

<proof>

72.17 Sum over a set of permutations (could generalize to iteration)

lemma *setsum-over-permutations-insert:*

assumes *fS: finite S*

and *aS: a \notin S*

shows *setsum f {p. p permutes (insert a S)} =*
setsum ($\lambda b. \text{setsum } (\lambda q. f (\text{Fun.swap a b id } \circ q)) \{p. p \text{ permutes } S\}) (\text{insert } a$
S)

<proof>

end

73 Roots of real quadratics

theory *Quadratic-Discriminant*

imports *Complex-Main*

begin

definition *discrim* :: *[real,real,real] \Rightarrow real **where***

*discrim a b c $\equiv b^2 - 4 * a * c$*

lemma *complete-square:*

fixes *a b c x :: real*

assumes *a \neq 0*

shows *a * x² + b * x + c = 0 \longleftrightarrow (2 * a * x + b)² = discrim a b c*

<proof>

lemma *discriminant-negative:*

fixes *a b c x :: real*

assumes $a \neq 0$
and $\text{discrim } a \ b \ c < 0$
shows $a * x^2 + b * x + c \neq 0$
 ⟨proof⟩

lemma *plus-or-minus-sqrt*:
fixes $x \ y :: \text{real}$
assumes $y \geq 0$
shows $x^2 = y \longleftrightarrow x = \text{sqrt } y \vee x = - \text{sqrt } y$
 ⟨proof⟩

lemma *divide-non-zero*:
fixes $x \ y \ z :: \text{real}$
assumes $x \neq 0$
shows $x * y = z \longleftrightarrow y = z / x$
 ⟨proof⟩

lemma *discriminant-nonneg*:
fixes $a \ b \ c \ x :: \text{real}$
assumes $a \neq 0$
and $\text{discrim } a \ b \ c \geq 0$
shows $a * x^2 + b * x + c = 0 \longleftrightarrow$
 $x = (-b + \text{sqrt } (\text{discrim } a \ b \ c)) / (2 * a) \vee$
 $x = (-b - \text{sqrt } (\text{discrim } a \ b \ c)) / (2 * a)$
 ⟨proof⟩

lemma *discriminant-zero*:
fixes $a \ b \ c \ x :: \text{real}$
assumes $a \neq 0$
and $\text{discrim } a \ b \ c = 0$
shows $a * x^2 + b * x + c = 0 \longleftrightarrow x = -b / (2 * a)$
 ⟨proof⟩

theorem *discriminant-iff*:
fixes $a \ b \ c \ x :: \text{real}$
assumes $a \neq 0$
shows $a * x^2 + b * x + c = 0 \longleftrightarrow$
 $\text{discrim } a \ b \ c \geq 0 \wedge$
 $(x = (-b + \text{sqrt } (\text{discrim } a \ b \ c)) / (2 * a) \vee$
 $x = (-b - \text{sqrt } (\text{discrim } a \ b \ c)) / (2 * a))$
 ⟨proof⟩

lemma *discriminant-nonneg-ex*:
fixes $a \ b \ c :: \text{real}$
assumes $a \neq 0$
and $\text{discrim } a \ b \ c \geq 0$
shows $\exists x. a * x^2 + b * x + c = 0$
 ⟨proof⟩

lemma *discriminant-pos-ex*:
fixes $a\ b\ c :: \text{real}$
assumes $a \neq 0$
and $\text{discrim } a\ b\ c > 0$
shows $\exists x\ y. x \neq y \wedge a * x^2 + b * x + c = 0 \wedge a * y^2 + b * y + c = 0$
 $\langle \text{proof} \rangle$

lemma *discriminant-pos-distinct*:
fixes $a\ b\ c\ x :: \text{real}$
assumes $a \neq 0$ **and** $\text{discrim } a\ b\ c > 0$
shows $\exists y. x \neq y \wedge a * y^2 + b * y + c = 0$
 $\langle \text{proof} \rangle$

end

74 Pretty syntax for Quotient operations

theory *Quotient-Syntax*
imports *Main*
begin

notation

rel-conj (**infixr** *OOO* 75) **and**
map-fun (**infixr** *--->* 55) **and**
rel-fun (**infixr** *====>* 55)

end

75 Quotient infrastructure for the set type

theory *Quotient-Set*
imports *Quotient-Syntax*
begin

75.1 Contravariant set map (vimage) and set relator, rules for the Quotient package

definition $\text{rel-vset } R\ xs\ ys \equiv \forall x\ y. R\ x\ y \longrightarrow x \in xs \longleftrightarrow y \in ys$

lemma *rel-vset-eq* [*id-simps*]:
 $\text{rel-vset } op = = op =$
 $\langle \text{proof} \rangle$

lemma *rel-vset-equivp*:
assumes $e: \text{equivp } R$
shows $\text{rel-vset } R\ xs\ ys \longleftrightarrow xs = ys \wedge (\forall x\ y. x \in xs \longrightarrow R\ x\ y \longrightarrow y \in xs)$
 $\langle \text{proof} \rangle$

lemma *set-quotient* [*quot-thm*]:
assumes *Quotient3* *R Abs Rep*
shows *Quotient3* (*rel-vset R*) (*vimage Rep*) (*vimage Abs*)
<proof>

declare [[*mapQ3 set = (rel-vset, set-quotient)*]]

lemma *empty-set-rsp*[*quot-respect*]:
rel-vset R {} {}
<proof>

lemma *collect-rsp*[*quot-respect*]:
assumes *Quotient3 R Abs Rep*
shows ((*R ==> op =*) ==> *rel-vset R*) *Collect Collect*
<proof>

lemma *collect-prs*[*quot-preserve*]:
assumes *Quotient3 R Abs Rep*
shows ((*Abs ----> id*) ----> *op -‘ Rep*) *Collect = Collect*
<proof>

lemma *union-rsp*[*quot-respect*]:
assumes *Quotient3 R Abs Rep*
shows (*rel-vset R ==> rel-vset R ==> rel-vset R*) *op ∪ op ∪*
<proof>

lemma *union-prs*[*quot-preserve*]:
assumes *Quotient3 R Abs Rep*
shows (*op -‘ Abs ----> op -‘ Abs ----> op -‘ Rep*) *op ∪ = op ∪*
<proof>

lemma *diff-rsp*[*quot-respect*]:
assumes *Quotient3 R Abs Rep*
shows (*rel-vset R ==> rel-vset R ==> rel-vset R*) *op - op -*
<proof>

lemma *diff-prs*[*quot-preserve*]:
assumes *Quotient3 R Abs Rep*
shows (*op -‘ Abs ----> op -‘ Abs ----> op -‘ Rep*) *op - = op -*
<proof>

lemma *inter-rsp*[*quot-respect*]:
assumes *Quotient3 R Abs Rep*
shows (*rel-vset R ==> rel-vset R ==> rel-vset R*) *op ∩ op ∩*
<proof>

lemma *inter-prs*[*quot-preserve*]:
assumes *Quotient3 R Abs Rep*
shows (*op -‘ Abs ----> op -‘ Abs ----> op -‘ Rep*) *op ∩ = op ∩*

<proof>

lemma *mem-prs*[*quot-preserve*]:
assumes *Quotient3 R Abs Rep*
shows (*Rep* ---> *op* -- *Abs* ---> *id*) *op* \in = *op* \in
<proof>

lemma *mem-rsp*[*quot-respect*]:
shows (*R* ===> *rel-vset R* ===> *op* =) *op* \in *op* \in
<proof>

end

76 Quotient infrastructure for the product type

theory *Quotient-Product*
imports *Quotient-Syntax*
begin

76.1 Rules for the Quotient package

lemma *map-prod-id* [*id-simps*]:
shows *map-prod id id* = *id*
<proof>

lemma *rel-prod-eq* [*id-simps*]:
shows *rel-prod (op =) (op =)* = (*op =*)
<proof>

lemma *prod-equivp* [*quot-equiv*]:
assumes *equivp R1*
assumes *equivp R2*
shows *equivp (rel-prod R1 R2)*
<proof>

lemma *prod-quotient* [*quot-thm*]:
assumes *Quotient3 R1 Abs1 Rep1*
assumes *Quotient3 R2 Abs2 Rep2*
shows *Quotient3 (rel-prod R1 R2) (map-prod Abs1 Abs2) (map-prod Rep1 Rep2)*
<proof>

declare [[*mapQ3 prod* = (*rel-prod*, *prod-quotient*)]]

lemma *Pair-rsp* [*quot-respect*]:
assumes *q1: Quotient3 R1 Abs1 Rep1*
assumes *q2: Quotient3 R2 Abs2 Rep2*
shows (*R1* ===> *R2* ===> *rel-prod R1 R2*) *Pair Pair*
<proof>

lemma *Pair-prs* [*quot-preserve*]:

assumes *q1*: *Quotient3 R1 Abs1 Rep1*

assumes *q2*: *Quotient3 R2 Abs2 Rep2*

shows (*Rep1* ----> *Rep2* ----> (*map-prod Abs1 Abs2*)) *Pair* = *Pair*
 <*proof*>

lemma *fst-rsp* [*quot-respect*]:

assumes *Quotient3 R1 Abs1 Rep1*

assumes *Quotient3 R2 Abs2 Rep2*

shows (*rel-prod R1 R2* ==> *R1*) *fst* *fst*
 <*proof*>

lemma *fst-prs* [*quot-preserve*]:

assumes *q1*: *Quotient3 R1 Abs1 Rep1*

assumes *q2*: *Quotient3 R2 Abs2 Rep2*

shows (*map-prod Rep1 Rep2* ----> *Abs1*) *fst* = *fst*
 <*proof*>

lemma *snd-rsp* [*quot-respect*]:

assumes *Quotient3 R1 Abs1 Rep1*

assumes *Quotient3 R2 Abs2 Rep2*

shows (*rel-prod R1 R2* ==> *R2*) *snd* *snd*
 <*proof*>

lemma *snd-prs* [*quot-preserve*]:

assumes *q1*: *Quotient3 R1 Abs1 Rep1*

assumes *q2*: *Quotient3 R2 Abs2 Rep2*

shows (*map-prod Rep1 Rep2* ----> *Abs2*) *snd* = *snd*
 <*proof*>

lemma *case-prod-rsp* [*quot-respect*]:

shows ((*R1* ==> *R2* ==> (*op* =)) ==> (*rel-prod R1 R2* ==> (*op* =))) *case-prod case-prod*
 <*proof*>

lemma *split-prs* [*quot-preserve*]:

assumes *q1*: *Quotient3 R1 Abs1 Rep1*

and *q2*: *Quotient3 R2 Abs2 Rep2*

shows (((*Abs1* ----> *Abs2* ----> *id*) ----> *map-prod Rep1 Rep2* ----> *id*) *case-prod*) = *case-prod*
 <*proof*>

lemma [*quot-respect*]:

shows ((*R2* ==> *R2* ==> (*op* =)) ==> (*R1* ==> *R1* ==> (*op* =)) ==>
rel-prod R2 R1 ==> *rel-prod R2 R1* ==> (*op* =) *rel-prod rel-prod*)
 <*proof*>

lemma [*quot-preserve*]:

```

assumes q1: Quotient3 R1 abs1 rep1
and q2: Quotient3 R2 abs2 rep2
shows ((abs1 ----> abs1 ----> id) ----> (abs2 ----> abs2 ----> id)
---->
map-prod rep1 rep2 ----> map-prod rep1 rep2 ----> id) rel-prod = rel-prod
⟨proof⟩

```

```

lemma [quot-preserve]:
shows(rel-prod ((rep1 ----> rep1 ----> id) R1) ((rep2 ----> rep2 ---->
id) R2)
(l1, l2) (r1, r2)) = (R1 (rep1 l1) (rep1 r1) ∧ R2 (rep2 l2) (rep2 r2))
⟨proof⟩

```

```

declare prod.inject[quot-preserve]

```

```

end

```

77 Quotient infrastructure for the option type

```

theory Quotient-Option
imports Quotient-Syntax
begin

```

77.1 Rules for the Quotient package

```

lemma rel-option-map1:
rel-option R (map-option f x) y ⟷ rel-option (λx. R (f x)) x y
⟨proof⟩

```

```

lemma rel-option-map2:
rel-option R x (map-option f y) ⟷ rel-option (λx y. R x (f y)) x y
⟨proof⟩

```

```

declare
map-option.id [id-simps]
option.rel-eq [id-simps]

```

```

lemma reflp-rel-option:
reflp R ⟹ reflp (rel-option R)
⟨proof⟩

```

```

lemma option-symp:
symp R ⟹ symp (rel-option R)
⟨proof⟩

```

```

lemma option-transp:
transp R ⟹ transp (rel-option R)
⟨proof⟩

```

```

lemma option-equivp [quot-equiv]:
  equivp R  $\implies$  equivp (rel-option R)
  <proof>

lemma option-quotient [quot-thm]:
  assumes Quotient3 R Abs Rep
  shows Quotient3 (rel-option R) (map-option Abs) (map-option Rep)
  <proof>

declare [[mapQ3 option = (rel-option, option-quotient)]]

lemma option-None-rsp [quot-respect]:
  assumes q: Quotient3 R Abs Rep
  shows rel-option R None None
  <proof>

lemma option-Some-rsp [quot-respect]:
  assumes q: Quotient3 R Abs Rep
  shows (R  $\implies\implies$  rel-option R) Some Some
  <proof>

lemma option-None-prs [quot-preserve]:
  assumes q: Quotient3 R Abs Rep
  shows map-option Abs None = None
  <proof>

lemma option-Some-prs [quot-preserve]:
  assumes q: Quotient3 R Abs Rep
  shows (Rep  $\dashrightarrow$  map-option Abs) Some = Some
  <proof>

end

```

78 Quotient infrastructure for the list type

```

theory Quotient-List
imports Quotient-Set Quotient-Product Quotient-Option
begin

```

78.1 Rules for the Quotient package

```

lemma map-id [id-simps]:
  map id = id
  <proof>

lemma list-all2-eq [id-simps]:
  list-all2 (op =) = (op =)
  <proof>

```

lemma *reflp-list-all2*:

assumes *reflp R*
 shows *reflp (list-all2 R)*
 ⟨*proof*⟩

lemma *list-symp*:

assumes *symp R*
 shows *symp (list-all2 R)*
 ⟨*proof*⟩

lemma *list-transp*:

assumes *transp R*
 shows *transp (list-all2 R)*
 ⟨*proof*⟩

lemma *list-equivp [quot-equiv]*:

equivp R \implies *equivp (list-all2 R)*
 ⟨*proof*⟩

lemma *list-quotient3 [quot-thm]*:

assumes *Quotient3 R Abs Rep*
 shows *Quotient3 (list-all2 R) (map Abs) (map Rep)*
 ⟨*proof*⟩

declare [[*mapQ3 list = (list-all2, list-quotient3)*]]

lemma *cons-prs [quot-preserve]*:

assumes *q: Quotient3 R Abs Rep*
 shows (*Rep* ----> (*map Rep*) ----> (*map Abs*)) (*op #*) = (*op #*)
 ⟨*proof*⟩

lemma *cons-rsp [quot-respect]*:

assumes *q: Quotient3 R Abs Rep*
 shows (*R* ====> *list-all2 R* ====> *list-all2 R*) (*op #*) (*op #*)
 ⟨*proof*⟩

lemma *nil-prs [quot-preserve]*:

assumes *q: Quotient3 R Abs Rep*
 shows *map Abs [] = []*
 ⟨*proof*⟩

lemma *nil-rsp [quot-respect]*:

assumes *q: Quotient3 R Abs Rep*
 shows *list-all2 R [] []*
 ⟨*proof*⟩

lemma *map-prs-aux*:

assumes *a: Quotient3 R1 abs1 rep1*
 and *b: Quotient3 R2 abs2 rep2*

shows $(\text{map } \text{abs2}) (\text{map } ((\text{abs1} \text{ ----} \rightarrow \text{rep2}) f) (\text{map } \text{rep1 } l)) = \text{map } f l$
 ⟨proof⟩

lemma *map-prs* [quot-preserve]:

assumes a : Quotient3 $R1$ abs1 rep1

and b : Quotient3 $R2$ abs2 rep2

shows $((\text{abs1} \text{ ----} \rightarrow \text{rep2}) \text{ ----} \rightarrow (\text{map } \text{rep1}) \text{ ----} \rightarrow (\text{map } \text{abs2})) \text{ map} = \text{map}$

and $((\text{abs1} \text{ ----} \rightarrow \text{id}) \text{ ----} \rightarrow \text{map } \text{rep1} \text{ ----} \rightarrow \text{id}) \text{ map} = \text{map}$

⟨proof⟩

lemma *map-rsp* [quot-respect]:

assumes $q1$: Quotient3 $R1$ Abs1 Rep1

and $q2$: Quotient3 $R2$ Abs2 Rep2

shows $((R1 \text{ =====} \rightarrow R2) \text{ =====} \rightarrow (\text{list-all2 } R1) \text{ =====} \rightarrow \text{list-all2 } R2) \text{ map } \text{map}$

and $((R1 \text{ =====} \rightarrow \text{op } =) \text{ =====} \rightarrow (\text{list-all2 } R1) \text{ =====} \rightarrow \text{op } =) \text{ map } \text{map}$

⟨proof⟩

lemma *foldr-prs-aux*:

assumes a : Quotient3 $R1$ abs1 rep1

and b : Quotient3 $R2$ abs2 rep2

shows $\text{abs2} (\text{foldr } ((\text{abs1} \text{ ----} \rightarrow \text{abs2} \text{ ----} \rightarrow \text{rep2}) f) (\text{map } \text{rep1 } l) (\text{rep2 } e))$
 $= \text{foldr } f l e$

⟨proof⟩

lemma *foldr-prs* [quot-preserve]:

assumes a : Quotient3 $R1$ abs1 rep1

and b : Quotient3 $R2$ abs2 rep2

shows $((\text{abs1} \text{ ----} \rightarrow \text{abs2} \text{ ----} \rightarrow \text{rep2}) \text{ ----} \rightarrow (\text{map } \text{rep1}) \text{ ----} \rightarrow \text{rep2} \text{ ----} \rightarrow \text{abs2}) \text{ foldr} = \text{foldr}$

⟨proof⟩

lemma *foldl-prs-aux*:

assumes a : Quotient3 $R1$ abs1 rep1

and b : Quotient3 $R2$ abs2 rep2

shows $\text{abs1} (\text{foldl } ((\text{abs1} \text{ ----} \rightarrow \text{abs2} \text{ ----} \rightarrow \text{rep1}) f) (\text{rep1 } e) (\text{map } \text{rep2 } l))$
 $= \text{foldl } f e l$

⟨proof⟩

lemma *foldl-prs* [quot-preserve]:

assumes a : Quotient3 $R1$ abs1 rep1

and b : Quotient3 $R2$ abs2 rep2

shows $((\text{abs1} \text{ ----} \rightarrow \text{abs2} \text{ ----} \rightarrow \text{rep1}) \text{ ----} \rightarrow \text{rep1} \text{ ----} \rightarrow (\text{map } \text{rep2}) \text{ ----} \rightarrow \text{abs1}) \text{ foldl} = \text{foldl}$

⟨proof⟩

lemma *foldl-rsp*[quot-respect]:

assumes $q1$: Quotient3 $R1$ Abs1 Rep1

and $q2$: Quotient3 $R2$ Abs2 Rep2

shows $((R1 \text{ ==== } R2 \text{ ==== } R1) \text{ ==== } R1 \text{ ==== } list\text{-all2 } R2 \text{ ==== } R1)$
foldl foldl
<proof>

lemma *foldr-rsp[quot-respect]*:
assumes $q1: Quotient3\ R1\ Abs1\ Rep1$
and $q2: Quotient3\ R2\ Abs2\ Rep2$
shows $((R1 \text{ ==== } R2 \text{ ==== } R2) \text{ ==== } list\text{-all2 } R1 \text{ ==== } R2 \text{ ==== } R2)$
foldr foldr
<proof>

lemma *list-all2-rsp*:
assumes $r: \forall x\ y. R\ x\ y \longrightarrow (\forall a\ b. R\ a\ b \longrightarrow S\ x\ a = T\ y\ b)$
and $l1: list\text{-all2 } R\ x\ y$
and $l2: list\text{-all2 } R\ a\ b$
shows $list\text{-all2 } S\ x\ a = list\text{-all2 } T\ y\ b$
<proof>

lemma [*quot-respect*]:
 $((R \text{ ==== } R \text{ ==== } op =) \text{ ==== } list\text{-all2 } R \text{ ==== } list\text{-all2 } R \text{ ==== } op =)$
list-all2 list-all2
<proof>

lemma [*quot-preserve*]:
assumes $a: Quotient3\ R\ abs1\ rep1$
shows $((abs1 \text{ ---- } abs1 \text{ ---- } id) \text{ ---- } map\ rep1 \text{ ---- } map\ rep1 \text{ ---- } id)$
 $list\text{-all2 } = list\text{-all2}$
<proof>

lemma [*quot-preserve*]:
assumes $a: Quotient3\ R\ abs1\ rep1$
shows $(list\text{-all2 } ((rep1 \text{ ---- } rep1 \text{ ---- } id)\ R)\ l\ m) = (l = m)$
<proof>

lemma *list-all2-find-element*:
assumes $a: x \in set\ a$
and $b: list\text{-all2 } R\ a\ b$
shows $\exists y. (y \in set\ b \wedge R\ x\ y)$
<proof>

lemma *list-all2-refl*:
assumes $a: \bigwedge x\ y. R\ x\ y = (R\ x = R\ y)$
shows $list\text{-all2 } R\ x\ x$
<proof>

end

79 Quotient infrastructure for the sum type

```
theory Quotient-Sum
imports Quotient-Syntax
begin
```

79.1 Rules for the Quotient package

lemma *rel-sum-map1*:

```
rel-sum R1 R2 (map-sum f1 f2 x) y  $\longleftrightarrow$  rel-sum ( $\lambda x. R1 (f1 x)$ ) ( $\lambda x. R2 (f2 x)$ ) x y
<proof>
```

lemma *rel-sum-map2*:

```
rel-sum R1 R2 x (map-sum f1 f2 y)  $\longleftrightarrow$  rel-sum ( $\lambda x y. R1 x (f1 y)$ ) ( $\lambda x y. R2 x (f2 y)$ ) x y
<proof>
```

lemma *map-sum-id* [*id-simps*]:

```
map-sum id id = id
<proof>
```

lemma *rel-sum-eq* [*id-simps*]:

```
rel-sum (op =) (op =) = (op =)
<proof>
```

lemma *reflp-rel-sum*:

```
reflp R1  $\implies$  reflp R2  $\implies$  reflp (rel-sum R1 R2)
<proof>
```

lemma *sum-symp*:

```
symp R1  $\implies$  symp R2  $\implies$  symp (rel-sum R1 R2)
<proof>
```

lemma *sum-transp*:

```
transp R1  $\implies$  transp R2  $\implies$  transp (rel-sum R1 R2)
<proof>
```

lemma *sum-equivp* [*quot-equiv*]:

```
equivp R1  $\implies$  equivp R2  $\implies$  equivp (rel-sum R1 R2)
<proof>
```

lemma *sum-quotient* [*quot-thm*]:

```
assumes q1: Quotient3 R1 Abs1 Rep1
assumes q2: Quotient3 R2 Abs2 Rep2
shows Quotient3 (rel-sum R1 R2) (map-sum Abs1 Abs2) (map-sum Rep1 Rep2)
<proof>
```

```
declare [[mapQ3 sum = (rel-sum, sum-quotient)]]
```



```

lemma sum-Inl-rsp [quot-respect]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (R1  $\implies$  rel-sum R1 R2) Inl Inl
  <proof>

lemma sum-Inr-rsp [quot-respect]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (R2  $\implies$  rel-sum R1 R2) Inr Inr
  <proof>

lemma sum-Inl-prs [quot-preserve]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (Rep1  $\dashrightarrow$  map-sum Abs1 Abs2) Inl = Inl
  <proof>

lemma sum-Inr-prs [quot-preserve]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (Rep2  $\dashrightarrow$  map-sum Abs1 Abs2) Inr = Inr
  <proof>

end

```

80 Quotient types

```

theory Quotient-Type
imports Main
begin

```

We introduce the notion of quotient types over equivalence relations via type classes.

80.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations $\sim :: 'a \Rightarrow 'a \Rightarrow bool$.

```

class equiv =
  fixes equiv :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infixl  $\sim$  50)

class equiv = equiv +
  assumes equiv-refl [intro]: x  $\sim$  x
  and equiv-trans [trans]: x  $\sim$  y  $\implies$  y  $\sim$  z  $\implies$  x  $\sim$  z
  and equiv-sym [sym]: x  $\sim$  y  $\implies$  y  $\sim$  x
begin

lemma equiv-not-sym [sym]:  $\neg$  x  $\sim$  y  $\implies$   $\neg$  y  $\sim$  x

```

<proof>

lemma *not-equiv-trans1* [*trans*]: $\neg x \sim y \implies y \sim z \implies \neg x \sim z$
<proof>

lemma *not-equiv-trans2* [*trans*]: $x \sim y \implies \neg y \sim z \implies \neg x \sim z$
<proof>

end

The quotient type *'a quot* consists of all *equivalence classes* over elements of the base type *'a*.

definition (in *equiv*) *quot* = $\{\{x. a \sim x\} \mid a. \text{True}\}$

typedef (overloaded) *'a quot* = *quot* :: *'a::equiv set set*
<proof>

lemma *quotI* [*intro*]: $\{x. a \sim x\} \in \text{quot}$
<proof>

lemma *quotE* [*elim*]:
assumes $R \in \text{quot}$
obtains *a* **where** $R = \{x. a \sim x\}$
<proof>

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

definition *class* :: *'a::equiv* \Rightarrow *'a quot* ($[-]$)
where $[a] = \text{Abs-quot } \{x. a \sim x\}$

theorem *quot-exhaust*: $\exists a. A = [a]$
<proof>

lemma *quot-cases* [*cases type: quot*]:
obtains *a* **where** $A = [a]$
<proof>

80.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

theorem *quot-equality* [*iff?*]: $[a] = [b] \longleftrightarrow a \sim b$
<proof>

80.3 Picking representing elements

definition *pick* :: *'a::equiv quot* \Rightarrow *'a*
where *pick* $A = (\text{SOME } a. A = [a])$

theorem *pick-equiv* [*intro*]: *pick* $[a] \sim a$

⟨proof⟩

theorem *pick-inverse* [intro]: $\lfloor \text{pick } A \rfloor = A$
 ⟨proof⟩

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

theorem *quot-cond-function*:

assumes *eq*: $\bigwedge X Y. P X Y \implies f X Y \equiv g (\text{pick } X) (\text{pick } Y)$
and *cong*: $\bigwedge x x' y y'. \lfloor x \rfloor = \lfloor x' \rfloor \implies \lfloor y \rfloor = \lfloor y' \rfloor$
 $\implies P \lfloor x \rfloor \lfloor y \rfloor \implies P \lfloor x' \rfloor \lfloor y' \rfloor \implies g x y = g x' y'$
and *P*: $P \lfloor a \rfloor \lfloor b \rfloor$
shows $f \lfloor a \rfloor \lfloor b \rfloor = g a b$
 ⟨proof⟩

theorem *quot-function*:

assumes $\bigwedge X Y. f X Y \equiv g (\text{pick } X) (\text{pick } Y)$
and $\bigwedge x x' y y'. \lfloor x \rfloor = \lfloor x' \rfloor \implies \lfloor y \rfloor = \lfloor y' \rfloor \implies g x y = g x' y'$
shows $f \lfloor a \rfloor \lfloor b \rfloor = g a b$
 ⟨proof⟩

theorem *quot-function'*:

$(\bigwedge X Y. f X Y \equiv g (\text{pick } X) (\text{pick } Y)) \implies$
 $(\bigwedge x x' y y'. x \sim x' \implies y \sim y' \implies g x y = g x' y') \implies$
 $f \lfloor a \rfloor \lfloor b \rfloor = g a b$
 ⟨proof⟩

end

81 Ramsey’s Theorem

theory *Ramsey*
imports *Main Infinite-Set*
begin

81.1 Finite Ramsey theorem(s)

To distinguish the finite and infinite ones, lower and upper case names are used.

This is the most basic version in terms of cliques and independent sets, i.e. the version for graphs and 2 colours.

definition *clique* $V E = (\forall v \in V. \forall w \in V. v \neq w \longrightarrow \{v, w\} : E)$

definition *indep* $V E = (\forall v \in V. \forall w \in V. v \neq w \longrightarrow \neg \{v, w\} : E)$

lemma *ramsey2*:

$\exists r \geq 1. \forall (V :: 'a \text{ set}) (E :: 'a \text{ set set}). \text{finite } V \wedge \text{card } V \geq r \longrightarrow$
 $(\exists R \subseteq V. \text{card } R = m \wedge \text{clique } R E \vee \text{card } R = n \wedge \text{indep } R E)$

(is $\exists r \geq 1. ?R\ m\ n\ r$)
 ⟨proof⟩

81.2 Preliminaries

81.2.1 “Axiom” of Dependent Choice

primrec *choice* :: ('a => bool) => ('a * 'a) set => nat => 'a **where**

— An integer-indexed chain of choices

choice-0: *choice* *P* *r* 0 = (SOME *x*. *P* *x*)

| *choice-Suc*: *choice* *P* *r* (Suc *n*) = (SOME *y*. *P* *y* & (*choice* *P* *r* *n*, *y*) ∈ *r*)

lemma *choice-n*:

assumes *P0*: *P* *x0*

and *Pstep*: !!*x*. *P* *x* ==> $\exists y. P\ y \ \& \ (x,y) \in r$

shows *P* (*choice* *P* *r* *n*)

⟨proof⟩

lemma *dependent-choice*:

assumes *trans*: *trans* *r*

and *P0*: *P* *x0*

and *Pstep*: !!*x*. *P* *x* ==> $\exists y. P\ y \ \& \ (x,y) \in r$

obtains *f* :: nat => 'a **where**

!!*n*. *P* (*f* *n*) **and** !!*n* *m*. *n* < *m* ==> (*f* *n*, *f* *m*) ∈ *r*

⟨proof⟩

81.2.2 Partitions of a Set

definition *part* :: nat => nat => 'a set => ('a set => nat) => bool

— the function *f* partitions the *r*-subsets of the typically infinite set *Y* into *s* distinct categories.

where

part *r* *s* *Y* *f* = ($\forall X. X \subseteq Y \ \& \ \text{finite } X \ \& \ \text{card } X = r \ \dashrightarrow \ f\ X < s$)

For induction, we decrease the value of *r* in partitions.

lemma *part-Suc-imp-part*:

[[*infinite* *Y*; *part* (Suc *r*) *s* *Y* *f*; *y* ∈ *Y*]]

==> *part* *r* *s* (*Y* - {*y*}) (%*u*. *f* (*insert* *y* *u*))

⟨proof⟩

lemma *part-subset*: *part* *r* *s* *YY* *f* ==> *Y* ⊆ *YY* ==> *part* *r* *s* *Y* *f*

⟨proof⟩

81.3 Ramsey’s Theorem: Infinitary Version

lemma *Ramsey-induction*:

fixes *s* **and** *r*::nat

shows

!!(*YY*::'a set) (*f*::'a set => nat).

[[*infinite* *YY*; *part* *r* *s* *YY* *f*]]

$$\implies \exists Y' t'. Y' \subseteq Y \text{ \& \textit{infinite} } Y' \text{ \& } t' < s \text{ \& } (\forall X. X \subseteq Y' \text{ \& \textit{finite} } X \text{ \& } \textit{card} X = r \implies f X = t')$$
 \langle proof \rangle

theorem *Ramsey*:

fixes $s r :: \textit{nat}$ **and** $Z :: 'a \text{ set}$ **and** $f :: 'a \text{ set} \implies \textit{nat}$
shows

$$[[\textit{infinite} Z;$$

$$\forall X. X \subseteq Z \text{ \& \textit{finite} } X \text{ \& } \textit{card} X = r \implies f X < s]]$$

$$\implies \exists Y t. Y \subseteq Z \text{ \& \textit{infinite} } Y \text{ \& } t < s$$

$$\text{ \& } (\forall X. X \subseteq Y \text{ \& \textit{finite} } X \text{ \& } \textit{card} X = r \implies f X = t)$$
 \langle proof \rangle

corollary *Ramsey2*:

fixes $s :: \textit{nat}$ **and** $Z :: 'a \text{ set}$ **and** $f :: 'a \text{ set} \implies \textit{nat}$
assumes $\textit{inf}Z: \textit{infinite} Z$
and part: $\forall x \in Z. \forall y \in Z. x \neq y \implies f\{x,y\} < s$
shows

$$\exists Y t. Y \subseteq Z \text{ \& \textit{infinite} } Y \text{ \& } t < s \text{ \& } (\forall x \in Y. \forall y \in Y. x \neq y \implies f\{x,y\} = t)$$
 \langle proof \rangle

81.4 Disjunctive Well-Foundedness

An application of Ramsey’s theorem to program termination. See [2].

definition *disj-wf* :: $('a * 'a) \text{ set} \implies \textit{bool}$
where $\textit{disj-wf} r = (\exists T. \exists n :: \textit{nat}. (\forall i < n. \textit{wf}(T i)) \text{ \& } r = (\bigcup i < n. T i))$

definition *transition-idx* :: $[\textit{nat} \implies 'a, \textit{nat} \implies ('a * 'a) \text{ set}, \textit{nat} \text{ set}] \implies \textit{nat}$
where

$$\textit{transition-idx} s T A =$$

$$(\textit{LEAST} k. \exists i j. A = \{i,j\} \text{ \& } i < j \text{ \& } (s j, s i) \in T k)$$

lemma *transition-idx-less*:

$$[[i < j; (s j, s i) \in T k; k < n]] \implies \textit{transition-idx} s T \{i,j\} < n$$
 \langle proof \rangle

lemma *transition-idx-in*:

$$[[i < j; (s j, s i) \in T k]] \implies (s j, s i) \in T (\textit{transition-idx} s T \{i,j\})$$
 \langle proof \rangle

To be equal to the union of some well-founded relations is equivalent to being the subset of such a union.

lemma *disj-wf*:

$$\textit{disj-wf}(r) = (\exists T. \exists n :: \textit{nat}. (\forall i < n. \textit{wf}(T i)) \text{ \& } r \subseteq (\bigcup i < n. T i))$$
 \langle proof \rangle

```

theorem trans-disj-wf-implies-wf:
  assumes transr: trans r
    and dwf: disj-wf(r)
  shows wf r
  ⟨proof⟩

end

```

82 Generic reflection and reification

```

theory Reflection
imports Main
begin

  ⟨ML⟩

end

```

83 Assigning lengths to types by typeclasses

```

theory Type-Length
imports ~/src/HOL/Library/Numeral-Type
begin

```

The aim of this is to allow any type as index type, but to provide a default instantiation for numeral types. This independence requires some duplication with the definitions in *Numeral-Type*.

```

class len0 =
  fixes len-of :: 'a itself ⇒ nat

```

Some theorems are only true on words with length greater 0.

```

class len = len0 +
  assumes len-gt-0 [iff]:  $0 < \text{len-of } \text{TYPE } ('a)$ 

```

```

instantiation num0 and num1 :: len0
begin

```

```

definition
  len-num0:  $\text{len-of } (x::\text{num0 } \textit{itself}) = 0$ 

```

```

definition
  len-num1:  $\text{len-of } (x::\text{num1 } \textit{itself}) = 1$ 

```

```

instance ⟨proof⟩

```

```

end

```

```

instantiation bit0 and bit1 :: (len0) len0

```

begin

definition

len-bit0: $\text{len-of } (x::'a::\text{len0 } \text{bit0 } \text{itself}) = 2 * \text{len-of TYPE } ('a)$

definition

len-bit1: $\text{len-of } (x::'a::\text{len0 } \text{bit1 } \text{itself}) = 2 * \text{len-of TYPE } ('a) + 1$

instance $\langle \text{proof} \rangle$

end

lemmas *len-of-numeral-defs* [simp] = *len-num0 len-num1 len-bit0 len-bit1*

instance *num1* :: *len* $\langle \text{proof} \rangle$

instance *bit0* :: (*len*) *len* $\langle \text{proof} \rangle$

instance *bit1* :: (*len0*) *len* $\langle \text{proof} \rangle$

end

84 Saturated arithmetic

theory *Saturated*

imports *Numeral-Type* $\sim\sim$ /src/HOL/Word/Type-Length

begin

84.1 The type of saturated naturals

typedef (**overloaded**) (*'a::len*) *sat* = {.. *len-of TYPE('a)*}

morphisms *nat-of Abs-sat*

$\langle \text{proof} \rangle$

lemma *sat-eqI*:

$\text{nat-of } m = \text{nat-of } n \implies m = n$

$\langle \text{proof} \rangle$

lemma *sat-eq-iff*:

$m = n \iff \text{nat-of } m = \text{nat-of } n$

$\langle \text{proof} \rangle$

lemma *Abs-sat-nat-of* [code *abstype*]:

$\text{Abs-sat } (\text{nat-of } n) = n$

$\langle \text{proof} \rangle$

definition *Abs-sat'* :: *nat* \Rightarrow *'a::len sat* **where**

$\text{Abs-sat}' n = \text{Abs-sat } (\text{min } (\text{len-of TYPE } ('a)) n)$

lemma *nat-of-Abs-sat'* [simp]:

$\text{nat-of } (\text{Abs-sat}' n :: ('a::\text{len}) \text{sat}) = \text{min } (\text{len-of TYPE } ('a)) n$

<proof>

lemma *nat-of-le-len-of* [*simp*]:
 $\text{nat-of } (n :: ('a::\text{len}) \text{ sat}) \leq \text{len-of TYPE}('a)$
<proof>

lemma *min-len-of-nat-of* [*simp*]:
 $\text{min } (\text{len-of TYPE}('a)) (\text{nat-of } (n::('a::\text{len}) \text{ sat})) = \text{nat-of } n$
<proof>

lemma *min-nat-of-len-of* [*simp*]:
 $\text{min } (\text{nat-of } (n::('a::\text{len}) \text{ sat})) (\text{len-of TYPE}('a)) = \text{nat-of } n$
<proof>

lemma *Abs-sat'-nat-of* [*simp*]:
 $\text{Abs-sat}' (\text{nat-of } n) = n$
<proof>

instantiation *sat* :: (*len*) *linorder*
begin

definition
 $\text{less-eq-sat-def}: x \leq y \longleftrightarrow \text{nat-of } x \leq \text{nat-of } y$

definition
 $\text{less-sat-def}: x < y \longleftrightarrow \text{nat-of } x < \text{nat-of } y$

instance
<proof>

end

instantiation *sat* :: (*len*) {*minus*, *comm-semiring-1*}

begin

definition
 $0 = \text{Abs-sat}' 0$

definition
 $1 = \text{Abs-sat}' 1$

lemma *nat-of-zero-sat* [*simp*, *code abstract*]:
 $\text{nat-of } 0 = 0$
<proof>

lemma *nat-of-one-sat* [*simp*, *code abstract*]:
 $\text{nat-of } 1 = \text{min } 1 (\text{len-of TYPE}('a))$
<proof>

definition

$$x + y = \text{Abs-sat}' (\text{nat-of } x + \text{nat-of } y)$$
lemma *nat-of-plus-sat* [*simp*, *code abstract*]:
$$\text{nat-of } (x + y) = \min (\text{nat-of } x + \text{nat-of } y) (\text{len-of TYPE}('a))$$

<proof>

definition

$$x - y = \text{Abs-sat}' (\text{nat-of } x - \text{nat-of } y)$$
lemma *nat-of-minus-sat* [*simp*, *code abstract*]:
$$\text{nat-of } (x - y) = \text{nat-of } x - \text{nat-of } y$$

<proof>

definition

$$x * y = \text{Abs-sat}' (\text{nat-of } x * \text{nat-of } y)$$
lemma *nat-of-times-sat* [*simp*, *code abstract*]:
$$\text{nat-of } (x * y) = \min (\text{nat-of } x * \text{nat-of } y) (\text{len-of TYPE}('a))$$

<proof>

instance

<proof>

end**instantiation** *sat* :: (*len*) *ordered-comm-semiring***begin****instance**

<proof>

end**lemma** *Abs-sat'-eq-of-nat*: *Abs-sat'* *n* = *of-nat* *n*

<proof>

abbreviation *Sat* :: *nat* \Rightarrow *'a::len sat* **where**

$$\text{Sat} \equiv \text{of-nat}$$
lemma *nat-of-Sat* [*simp*]:
$$\text{nat-of } (\text{Sat } n :: ('a::\text{len}) \text{ sat}) = \min (\text{len-of TYPE}('a)) \ n$$

<proof>

lemma [*code-abbrev*]:
$$\text{of-nat } (\text{numeral } k) = (\text{numeral } k :: 'a::\text{len } \text{sat})$$

<proof>

context

begin

qualified definition *sat-of-nat* :: *nat* \Rightarrow (*'a::len*) *sat*
where [*code-abbrev*]: *sat-of-nat* = *of-nat*

lemma [*code abstract*]:
nat-of (*sat-of-nat* *n* :: (*'a::len*) *sat*) = *min* (*len-of TYPE('a)*) *n*
 ⟨*proof*⟩

end

instance *sat* :: (*len*) *finite*
 ⟨*proof*⟩

instantiation *sat* :: (*len*) *equal*
begin

definition *HOL.equal* *A B* \longleftrightarrow *nat-of A* = *nat-of B*

instance
 ⟨*proof*⟩

end

instantiation *sat* :: (*len*) {*bounded-lattice*, *distrib-lattice*}
begin

definition (*inf* :: *'a sat* \Rightarrow *'a sat* \Rightarrow *'a sat*) = *min*
definition (*sup* :: *'a sat* \Rightarrow *'a sat* \Rightarrow *'a sat*) = *max*
definition *bot* = (*0* :: *'a sat*)
definition *top* = *Sat* (*len-of TYPE('a)*)

instance
 ⟨*proof*⟩

end

instantiation *sat* :: (*len*) {*Inf*, *Sup*}
begin

definition *Inf* = (*semilattice-neutr-set.F min top* :: *'a sat set* \Rightarrow *'a sat*)
definition *Sup* = (*semilattice-neutr-set.F max bot* :: *'a sat set* \Rightarrow *'a sat*)

instance ⟨*proof*⟩

end

interpretation *Inf-sat*: *semilattice-neutr-set min top* :: *'a::len sat*
 rewrites

```

  semilattice-neutr-set.F min (top :: 'a sat) = Inf
⟨proof⟩

```

interpretation *Sup-sat*: *semilattice-neutr-set* *max* *bot* :: 'a::len sat
rewrites

```

  semilattice-neutr-set.F max (bot :: 'a sat) = Sup
⟨proof⟩

```

instance *sat* :: (len) complete-lattice
⟨proof⟩

end

85 Combinator syntax for generic, open state monads (single-threaded monads)

```

theory State-Monad
imports Main Monad-Syntax
begin

```

85.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threadedly).

To enter from the Haskell world, http://www.engr.mun.ca/~theo/Misc/haskell_and_monads.htm makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

85.2 State transformations and combinators

We classify functions operating on states into two categories:

transformations with type signature $\sigma \Rightarrow \sigma'$, transforming a state.

“yielding” transformations with type signature $\sigma \Rightarrow \alpha \times \sigma'$, “yielding” a side result while transforming a state.

queries with type signature $\sigma \Rightarrow \alpha$, computing a result dependent on a state.

By convention we write σ for types representing states and $\alpha, \beta, \gamma, \dots$ for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type σ are used in a single-threaded way: after application of a transformation on a value of type σ , the former value should not be used again. To achieve this, we use a set of monad combinators:

notation *fcomp* (**infixl** $\circ>$ 60)

notation *scomp* (**infixl** $\circ\rightarrow$ 60)

Given two transformations f and g , they may be directly composed using the *op* $\circ>$ combinator, forming a forward composition: $(f \circ> g) s = f (g s)$.

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the *op* $\circ\rightarrow$ combinator: $(f \circ\rightarrow (\lambda x. g)) s = (\text{let } (x, s') = f s \text{ in } g s')$.

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *return* for this purpose.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and may be specified completely independently.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

85.3 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

lemmas *monad-simp* = *Pair-scomp scomp-Pair id-fcomp fcomp-id scomp-scomp scomp-fcomp fcomp-scomp fcomp-assoc*

Evaluation of monadic expressions by force:

lemmas *monad-collapse* = *monad-simp fcomp-apply scomp-apply split-beta*

85.4 Do-syntax

nonterminal *sdo-binds* and *sdo-bind*

syntax

```

-sdo-block :: sdo-binds ⇒ 'a (exec {/(2 -)/} [12] 62)
-sdo-bind  :: [pttrn, 'a] ⇒ sdo-bind ((- ←/ -) 13)
-sdo-let  :: [pttrn, 'a] ⇒ sdo-bind ((2let - =/ -) [1000, 13] 13)
-sdo-then :: 'a ⇒ sdo-bind (- [14] 13)
-sdo-final :: 'a ⇒ sdo-binds (-)
-sdo-cons :: [sdo-bind, sdo-binds] ⇒ sdo-binds (-;/- [13, 12] 12)

```

syntax (ASCII)

```

-sdo-bind :: [pttrn, 'a] ⇒ sdo-bind ((- <-/ -) 13)

```

translations

```

-sdo-block (-sdo-cons (-sdo-bind p t) (-sdo-final e))
  == CONST scomp t (λp. e)
-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e))
  => CONST fcomp t e
-sdo-final (-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e)))
  <= -sdo-final (CONST fcomp t e)
-sdo-block (-sdo-cons (-sdo-then t) e)
  <= CONST fcomp t (-sdo-block e)
-sdo-block (-sdo-cons (-sdo-let p t) bs)
  == let p = t in -sdo-block bs
-sdo-block (-sdo-cons b (-sdo-cons c cs))
  == -sdo-block (-sdo-cons b (-sdo-final (-sdo-block (-sdo-cons c cs))))
-sdo-cons (-sdo-let p t) (-sdo-final s)
  == -sdo-final (let p = t in s)
-sdo-block (-sdo-final e) => e

```

For an example, see `~/src/HOL/Proofs/Extraction/Higman.thy`.

end

86 A decision procedure for universal multivariate real arithmetic with addition, multiplication and ordering using semidefinite programming

```

theory Sum-of-Squares
imports Complex-Main
begin

```

<ML>

end

87 A table-based implementation of the reflexive transitive closure

theory *Transitive-Closure-Table*
imports *Main*
begin

inductive *rtrancl-path* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a list \Rightarrow 'a \Rightarrow bool
for *r* :: 'a \Rightarrow 'a \Rightarrow bool

where

base: *rtrancl-path* *r* *x* [] *x*
| *step*: *r* *x* *y* \Longrightarrow *rtrancl-path* *r* *y* *ys* *z* \Longrightarrow *rtrancl-path* *r* *x* (*y* # *ys*) *z*

lemma *rtranclp-eq-rtrancl-path*: $r^{**} \ x \ y \longleftrightarrow (\exists \ xs. \ rtrancl\text{-path} \ r \ x \ xs \ y)$
 \langle *proof* \rangle

lemma *rtrancl-path-trans*:

assumes *xy*: *rtrancl-path* *r* *x* *xs* *y*
and *yz*: *rtrancl-path* *r* *y* *ys* *z*
shows *rtrancl-path* *r* *x* (*xs* @ *ys*) *z* \langle *proof* \rangle

lemma *rtrancl-path-appendE*:

assumes *xz*: *rtrancl-path* *r* *x* (*xs* @ *y* # *ys*) *z*
obtains *rtrancl-path* *r* *x* (*xs* @ [*y*]) *y* **and** *rtrancl-path* *r* *y* *ys* *z*
 \langle *proof* \rangle

lemma *rtrancl-path-distinct*:

assumes *xy*: *rtrancl-path* *r* *x* *xs* *y*
obtains *xs'* **where** *rtrancl-path* *r* *x* *xs'* *y* **and** *distinct* (*x* # *xs'*) **and** *set* *xs'* \subseteq *set* *xs*
 \langle *proof* \rangle

inductive *rtrancl-tab* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a \Rightarrow 'a \Rightarrow bool
for *r* :: 'a \Rightarrow 'a \Rightarrow bool

where

base: *rtrancl-tab* *r* *xs* *x* *x*
| *step*: $x \notin \text{set } xs \Longrightarrow r \ x \ y \Longrightarrow rtrancl\text{-tab } r \ (x \# \ xs) \ y \ z \Longrightarrow rtrancl\text{-tab } r \ xs \ x \ z$

lemma *rtrancl-path-imp-rtrancl-tab*:

assumes *path*: *rtrancl-path* *r* *x* *xs* *y*
and *x*: *distinct* (*x* # *xs*)
and *ys*: $(\{x\} \cup \text{set } xs) \cap \text{set } ys = \{\}$
shows *rtrancl-tab* *r* *ys* *x* *y*
 \langle *proof* \rangle

lemma *rtrancl-tab-imp-rtrancl-path*:

assumes *tab*: *rtrancl-tab* *r* *ys* *x* *y*
obtains *xs* **where** *rtrancl-path* *r* *x* *xs* *y*
 \langle *proof* \rangle

lemma *rtranclp-eq-rtrancl-tab-nil*: $r^{**} x y \longleftrightarrow rtrancl\text{-}tab\ r \ []\ x\ y$
 <proof>

declare *rtranclp-rtrancl-eq* [code del]
declare *rtranclp-eq-rtrancl-tab-nil* [THEN iffD2, code-pred-intro]

code-pred *rtranclp*
 <proof>

lemma *rtrancl-path-Range*: $\llbracket rtrancl\text{-}path\ R\ x\ xs\ y;\ z \in\ set\ xs \rrbracket \Longrightarrow RangeP\ R\ z$
 <proof>

lemma *rtrancl-path-Range-end*: $\llbracket rtrancl\text{-}path\ R\ x\ xs\ y;\ xs \neq [] \rrbracket \Longrightarrow RangeP\ R\ y$
 <proof>

lemma *rtrancl-path-nth*:
 $\llbracket rtrancl\text{-}path\ R\ x\ xs\ y;\ i < length\ xs \rrbracket \Longrightarrow R\ ((x \# xs) ! i)\ (xs ! i)$
 <proof>

lemma *rtrancl-path-last*: $\llbracket rtrancl\text{-}path\ R\ x\ xs\ y;\ xs \neq [] \rrbracket \Longrightarrow last\ xs = y$
 <proof>

lemma *rtrancl-path-mono*:
 $\llbracket rtrancl\text{-}path\ R\ x\ p\ y;\ \bigwedge x\ y.\ R\ x\ y \Longrightarrow S\ x\ y \rrbracket \Longrightarrow rtrancl\text{-}path\ S\ x\ p\ y$
 <proof>

end

88 Binary Tree

theory *Tree*
imports *Main*
begin

datatype 'a *tree* =
is-Leaf: *Leaf* ($\langle \rangle$) |
Node (*left*: 'a *tree*) (*val*: 'a) (*right*: 'a *tree*) (($1 \langle _ / _ / _ \rangle$))
where
left Leaf = *Leaf*
 | *right Leaf* = *Leaf*
datatype-compact *tree*

Can be seen as counting the number of leaves rather than nodes:

definition *size1* :: 'a *tree* \Rightarrow nat **where**
size1 t = *size t* + 1

lemma *size1-simps*[*simp*]:
size1 $\langle \rangle$ = 1

size1 $\langle l, x, r \rangle = \text{size1 } l + \text{size1 } r$
 $\langle \text{proof} \rangle$

lemma *size1-ge0[simp]*: $0 < \text{size1 } t$
 $\langle \text{proof} \rangle$

lemma *size-0-iff-Leaf*: $\text{size } t = 0 \iff t = \text{Leaf}$
 $\langle \text{proof} \rangle$

lemma *neq-Leaf-iff*: $(t \neq \langle \rangle) = (\exists l a r. t = \langle l, a, r \rangle)$
 $\langle \text{proof} \rangle$

lemma *finite-set-tree[simp]*: $\text{finite}(\text{set-tree } t)$
 $\langle \text{proof} \rangle$

lemma *size-map-tree[simp]*: $\text{size} (\text{map-tree } f t) = \text{size } t$
 $\langle \text{proof} \rangle$

lemma *size1-map-tree[simp]*: $\text{size1} (\text{map-tree } f t) = \text{size1 } t$
 $\langle \text{proof} \rangle$

88.1 The Height

class *height* = **fixes** *height* :: 'a \Rightarrow nat

instantiation *tree* :: (type)*height*
begin

fun *height-tree* :: 'a *tree* \Rightarrow nat **where**
height Leaf = 0 |
height (Node t1 a t2) = max (*height* t1) (*height* t2) + 1

instance $\langle \text{proof} \rangle$

end

lemma *height-map-tree[simp]*: $\text{height} (\text{map-tree } f t) = \text{height } t$
 $\langle \text{proof} \rangle$

lemma *size1-height*: $\text{size } t + 1 \leq 2 \wedge \text{height} (t :: 'a \text{ tree})$
 $\langle \text{proof} \rangle$

88.2 The set of subtrees

fun *subtrees* :: 'a *tree* \Rightarrow 'a *tree set* **where**
subtrees $\langle \rangle = \{ \langle \rangle \}$ |
subtrees $\langle l, a, r \rangle = \text{insert } \langle l, a, r \rangle (\text{subtrees } l \cup \text{subtrees } r)$

lemma *set-treeE*: $a \in \text{set-tree } t \implies \exists l r. \langle l, a, r \rangle \in \text{subtrees } t$
 $\langle \text{proof} \rangle$

lemma *Node-notin-subtrees-if*[simp]: $a \notin \text{set-tree } t \implies \text{Node } l \ a \ r \notin \text{subtrees } t$
 ⟨proof⟩

lemma *in-set-tree-if*: $\langle l, a, r \rangle \in \text{subtrees } t \implies a \in \text{set-tree } t$
 ⟨proof⟩

88.3 List of entries

fun *preorder* :: 'a tree \Rightarrow 'a list **where**
preorder $\langle \rangle = []$ |
preorder $\langle l, x, r \rangle = x \# \text{preorder } l @ \text{preorder } r$

fun *inorder* :: 'a tree \Rightarrow 'a list **where**
inorder $\langle \rangle = []$ |
inorder $\langle l, x, r \rangle = \text{inorder } l @ [x] @ \text{inorder } r$

lemma *set-inorder*[simp]: $\text{set } (\text{inorder } t) = \text{set-tree } t$
 ⟨proof⟩

lemma *set-preorder*[simp]: $\text{set } (\text{preorder } t) = \text{set-tree } t$
 ⟨proof⟩

lemma *length-preorder*[simp]: $\text{length } (\text{preorder } t) = \text{size } t$
 ⟨proof⟩

lemma *length-inorder*[simp]: $\text{length } (\text{inorder } t) = \text{size } t$
 ⟨proof⟩

lemma *preorder-map*: $\text{preorder } (\text{map-tree } f \ t) = \text{map } f \ (\text{preorder } t)$
 ⟨proof⟩

lemma *inorder-map*: $\text{inorder } (\text{map-tree } f \ t) = \text{map } f \ (\text{inorder } t)$
 ⟨proof⟩

88.4 Binary Search Tree predicate

fun (in *linorder*) *bst* :: 'a tree \Rightarrow bool **where**
bst $\langle \rangle \longleftrightarrow \text{True}$ |
bst $\langle l, a, r \rangle \longleftrightarrow \text{bst } l \wedge \text{bst } r \wedge (\forall x \in \text{set-tree } l. x < a) \wedge (\forall x \in \text{set-tree } r. a < x)$

In case there are duplicates:

fun (in *linorder*) *bst-eq* :: 'a tree \Rightarrow bool **where**
bst-eq $\langle \rangle \longleftrightarrow \text{True}$ |
bst-eq $\langle l, a, r \rangle \longleftrightarrow$
bst-eq $l \wedge \text{bst-eq } r \wedge (\forall x \in \text{set-tree } l. x \leq a) \wedge (\forall x \in \text{set-tree } r. a \leq x)$

lemma (in *linorder*) *bst-eq-if-bst*: $\text{bst } t \implies \text{bst-eq } t$
 ⟨proof⟩

lemma (in *linorder*) *bst-eq-imp-sorted*: $\text{bst-eq } t \implies \text{sorted } (\text{inorder } t)$
 ⟨*proof*⟩

lemma (in *linorder*) *distinct-preorder-if-bst*: $\text{bst } t \implies \text{distinct } (\text{preorder } t)$
 ⟨*proof*⟩

lemma (in *linorder*) *distinct-inorder-if-bst*: $\text{bst } t \implies \text{distinct } (\text{inorder } t)$
 ⟨*proof*⟩

88.5 The heap predicate

fun *heap* :: 'a::*linorder* tree \Rightarrow bool **where**
heap Leaf = True |
heap (Node l m r) =
 (*heap* l \wedge *heap* r \wedge ($\forall x \in \text{set-tree } l \cup \text{set-tree } r. m \leq x$))

88.6 Function *mirror*

fun *mirror* :: 'a tree \Rightarrow 'a tree **where**
mirror ⟨⟩ = Leaf |
mirror ⟨l,x,r⟩ = ⟨*mirror* r, x, *mirror* l⟩

lemma *mirror-Leaf[simp]*: $\text{mirror } t = \langle \rangle \iff t = \langle \rangle$
 ⟨*proof*⟩

lemma *size-mirror[simp]*: $\text{size}(\text{mirror } t) = \text{size } t$
 ⟨*proof*⟩

lemma *size1-mirror[simp]*: $\text{size1}(\text{mirror } t) = \text{size1 } t$
 ⟨*proof*⟩

lemma *height-mirror[simp]*: $\text{height}(\text{mirror } t) = \text{height } t$
 ⟨*proof*⟩

lemma *inorder-mirror*: $\text{inorder}(\text{mirror } t) = \text{rev}(\text{inorder } t)$
 ⟨*proof*⟩

lemma *map-mirror*: $\text{map-tree } f (\text{mirror } t) = \text{mirror } (\text{map-tree } f t)$
 ⟨*proof*⟩

lemma *mirror-mirror[simp]*: $\text{mirror}(\text{mirror } t) = t$
 ⟨*proof*⟩

end

89 Multiset of Elements of Binary Tree

theory *Tree-Multiset*
imports *Multiset Tree*

begin

Kept separate from theory *Tree* to avoid importing all of theory *Multiset* into *Tree*. Should be merged if *Multiset* ever becomes part of *Main*.

fun *mset-tree* :: 'a tree \Rightarrow 'a multiset **where**
mset-tree Leaf = {#}
mset-tree (Node l a r) = {#a#} + *mset-tree* l + *mset-tree* r

lemma *set-mset-tree[simp]*: *set-mset* (*mset-tree* t) = *set-tree* t
 <proof>

lemma *size-mset-tree[simp]*: *size*(*mset-tree* t) = *size* t
 <proof>

lemma *mset-map-tree*: *mset-tree* (*map-tree* f t) = *image-mset* f (*mset-tree* t)
 <proof>

lemma *mset-iff-set-tree*: $x \in\#$ *mset-tree* t \longleftrightarrow $x \in$ *set-tree* t
 <proof>

lemma *mset-preorder[simp]*: *mset* (*preorder* t) = *mset-tree* t
 <proof>

lemma *mset-inorder[simp]*: *mset* (*inorder* t) = *mset-tree* t
 <proof>

lemma *map-mirror*: *mset-tree* (*mirror* t) = *mset-tree* t
 <proof>

end

90 A general “while” combinator

theory *While-Combinator*
imports *Main*
begin

90.1 Partial version

definition *while-option* :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a option **where**
while-option b c s = (if (\exists k. \sim b ((c $\hat{\wedge}$ k) s))
 then Some ((c $\hat{\wedge}$ (LEAST k. \sim b ((c $\hat{\wedge}$ k) s))) s)
 else None)

theorem *while-option-unfold[code]*:
while-option b c s = (if b s then *while-option* b c (c s) else Some s)
 <proof>

lemma *while-option-stop2*:

while-option $b\ c\ s = \text{Some } t \implies \text{EX } k. t = (c \hat{\wedge} k)\ s \wedge \neg b\ t$
 ⟨proof⟩

lemma *while-option-stop*: *while-option* $b\ c\ s = \text{Some } t \implies \sim b\ t$
 ⟨proof⟩

theorem *while-option-rule*:

assumes *step*: $!!s. P\ s \implies b\ s \implies P\ (c\ s)$

and result: *while-option* $b\ c\ s = \text{Some } t$

and init: $P\ s$

shows $P\ t$

⟨proof⟩

lemma *funpow-commute*:

$\llbracket \forall k' < k. f\ (c\ ((c \hat{\wedge} k')\ s)) = c'\ (f\ ((c \hat{\wedge} k')\ s)) \rrbracket \implies f\ ((c \hat{\wedge} k)\ s) = (c' \hat{\wedge} k)\ (f\ s)$
 ⟨proof⟩

lemma *while-option-commute-invariant*:

assumes *Invariant*: $\bigwedge s. P\ s \implies b\ s \implies P\ (c\ s)$

assumes *TestCommute*: $\bigwedge s. P\ s \implies b\ s = b'\ (f\ s)$

assumes *BodyCommute*: $\bigwedge s. P\ s \implies b\ s \implies f\ (c\ s) = c'\ (f\ s)$

assumes *Initial*: $P\ s$

shows *map-option* $f\ (\text{while-option } b\ c\ s) = \text{while-option } b'\ c'\ (f\ s)$

⟨proof⟩

lemma *while-option-commute*:

assumes $\bigwedge s. b\ s = b'\ (f\ s) \wedge s. \llbracket b\ s \rrbracket \implies f\ (c\ s) = c'\ (f\ s)$

shows *map-option* $f\ (\text{while-option } b\ c\ s) = \text{while-option } b'\ c'\ (f\ s)$

⟨proof⟩

90.2 Total version

definition *while* :: $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$

where *while* $b\ c\ s = \text{the } (\text{while-option } b\ c\ s)$

lemma *while-unfold* [code]:

while $b\ c\ s = (\text{if } b\ s \text{ then } \text{while } b\ c\ (c\ s) \text{ else } s)$

⟨proof⟩

lemma *def-while-unfold*:

assumes *fdef*: $f == \text{while test do}$

shows $f\ x = (\text{if test } x \text{ then } f(\text{do } x) \text{ else } x)$

⟨proof⟩

The proof rule for *while*, where P is the invariant.

theorem *while-rule-lemma*:

assumes *invariant*: $!!s. P\ s \implies b\ s \implies P\ (c\ s)$

and terminate: $!!s. P\ s \implies \neg b\ s \implies Q\ s$

and wf: $wf\ \{(t, s). P\ s \wedge b\ s \wedge t = c\ s\}$

shows $P\ s \implies Q\ (\text{while } b\ c\ s)$

<proof>

theorem *while-rule*:

[[$P\ s$;
 !! s . [[$P\ s$; $b\ s$]] ==> $P\ (c\ s)$;
 !! s . [[$P\ s$; $\neg\ b\ s$]] ==> $Q\ s$;
 $wf\ r$;
 !! s . [[$P\ s$; $b\ s$]] ==> $(c\ s, s) \in r$]] ==>
 $Q\ (while\ b\ c\ s)$
<proof>

Proving termination:

theorem *wf-while-option-Some*:

assumes $wf\ \{(t, s). (P\ s \wedge b\ s) \wedge t = c\ s\}$
and !! s . $P\ s \implies b\ s \implies P(c\ s)$ **and** $P\ s$
shows $EX\ t. while\ option\ b\ c\ s = Some\ t$
<proof>

lemma *wf-rel-while-option-Some*:

assumes $wf: wf\ R$
assumes *smaller*: $\bigwedge s. P\ s \wedge b\ s \implies (c\ s, s) \in R$
assumes *inv*: $\bigwedge s. P\ s \wedge b\ s \implies P(c\ s)$
assumes *init*: $P\ s$
shows $\exists t. while\ option\ b\ c\ s = Some\ t$
<proof>

theorem *measure-while-option-Some*: **fixes** $f :: 's \Rightarrow nat$

shows (!! s . $P\ s \implies b\ s \implies P(c\ s) \wedge f(c\ s) < f\ s$)
 $\implies P\ s \implies EX\ t. while\ option\ b\ c\ s = Some\ t$
<proof>

Kleene iteration starting from the empty set and assuming some finite bounding set:

lemma *while-option-finite-subset-Some*: **fixes** $C :: 'a\ set$

assumes *mono* f **and** !! X . $X \subseteq C \implies f\ X \subseteq C$ **and** *finite* C
shows $\exists P. while\ option\ (\lambda A. f\ A \neq A)\ f\ \{\} = Some\ P$
<proof>

lemma *lfp-the-while-option*:

assumes *mono* f **and** !! X . $X \subseteq C \implies f\ X \subseteq C$ **and** *finite* C
shows $lfp\ f = the(while\ option\ (\lambda A. f\ A \neq A)\ f\ \{\})$
<proof>

lemma *lfp-while*:

assumes *mono* f **and** !! X . $X \subseteq C \implies f\ X \subseteq C$ **and** *finite* C
shows $lfp\ f = while\ (\lambda A. f\ A \neq A)\ f\ \{\}$
<proof>

Computing the reflexive, transitive closure by iterating a successor function. Stops when an element is found that does not satisfy the test.

More refined (and hence more efficient) versions can be found in ITP 2011 paper by Nipkow (the theories are in the AFP entry Flyspeck by Nipkow) and the AFP article Executable Transitive Closures by René Thiemann.

context

fixes $p :: 'a \Rightarrow \text{bool}$

and $f :: 'a \Rightarrow 'a \text{ list}$

and $x :: 'a$

begin

qualified fun $rtrancl\text{-while}\text{-test} :: 'a \text{ list} \times 'a \text{ set} \Rightarrow \text{bool}$

where $rtrancl\text{-while}\text{-test} (ws, -) = (ws \neq [] \wedge p(\text{hd } ws))$

qualified fun $rtrancl\text{-while}\text{-step} :: 'a \text{ list} \times 'a \text{ set} \Rightarrow 'a \text{ list} \times 'a \text{ set}$

where $rtrancl\text{-while}\text{-step} (ws, Z) =$

(let $x = \text{hd } ws$; $\text{new} = \text{remdups } (\text{filter } (\lambda y. y \notin Z) (f x))$)

in $(\text{new} @ \text{tl } ws, \text{set new} \cup Z)$)

definition $rtrancl\text{-while} :: ('a \text{ list} * 'a \text{ set}) \text{ option}$

where $rtrancl\text{-while} = \text{while}\text{-option } rtrancl\text{-while}\text{-test } rtrancl\text{-while}\text{-step } ([x], \{x\})$

qualified fun $rtrancl\text{-while}\text{-invariant} :: 'a \text{ list} \times 'a \text{ set} \Rightarrow \text{bool}$

where $rtrancl\text{-while}\text{-invariant} (ws, Z) =$

$(x \in Z \wedge \text{set } ws \subseteq Z \wedge \text{distinct } ws \wedge \{(x, y). y \in \text{set}(f x)\} \text{ “ } (Z - \text{set } ws) \subseteq Z \wedge$

$Z \subseteq \{(x, y). y \in \text{set}(f x)\}^* \text{ “ } \{x\} \wedge (\forall z \in Z - \text{set } ws. p z)$)

qualified lemma $rtrancl\text{-while}\text{-invariant}$:

assumes $\text{inv}: rtrancl\text{-while}\text{-invariant } st$ **and** $\text{test}: rtrancl\text{-while}\text{-test } st$

shows $rtrancl\text{-while}\text{-invariant} (rtrancl\text{-while}\text{-step } st)$

$\langle \text{proof} \rangle$

lemma $rtrancl\text{-while}\text{-Some}$: **assumes** $rtrancl\text{-while} = \text{Some}(ws, Z)$

shows if $ws = []$

then $Z = \{(x, y). y \in \text{set}(f x)\}^* \text{ “ } \{x\} \wedge (\forall z \in Z. p z)$

else $\neg p(\text{hd } ws) \wedge \text{hd } ws \in \{(x, y). y \in \text{set}(f x)\}^* \text{ “ } \{x\}$

$\langle \text{proof} \rangle$

lemma $rtrancl\text{-while}\text{-finite}\text{-Some}$:

assumes $\text{finite } (\{(x, y). y \in \text{set}(f x)\}^* \text{ “ } \{x\})$ (**is finite ?Cl**)

shows $\exists y. rtrancl\text{-while} = \text{Some } y$

$\langle \text{proof} \rangle$

end

end

theory *Rewrite*

imports *Main*

begin

consts *rewrite-HOLE* :: 'a::{} (⇔)

lemma *eta-expand*:

fixes *f* :: 'a::{} ⇒ 'b::{}
shows $f \equiv \lambda x. f\ x$ *<proof>*

lemma *rewr-imp*:

assumes $PROP\ A \equiv PROP\ B$
shows $(PROP\ A \Longrightarrow PROP\ C) \equiv (PROP\ B \Longrightarrow PROP\ C)$
<proof>

lemma *imp-cong-eq*:

$(PROP\ A \Longrightarrow (PROP\ B \Longrightarrow PROP\ C)) \equiv (PROP\ B' \Longrightarrow PROP\ C') \equiv$
 $((PROP\ B \Longrightarrow PROP\ A \Longrightarrow PROP\ C) \equiv (PROP\ B' \Longrightarrow PROP\ A \Longrightarrow PROP\ C'))$
<proof>

<ML>

end

91 Lexicographic order on lists

theory *List-lexord*

imports *Main*

begin

instantiation *list* :: (*ord*) *ord*

begin

definition

list-less-def: $xs < ys \longleftrightarrow (xs, ys) \in \text{lexord } \{(u, v). u < v\}$

definition

list-le-def: $(xs :: \text{-list}) \leq ys \longleftrightarrow xs < ys \vee xs = ys$

instance *<proof>*

end

instance *list* :: (*order*) *order*

<proof>

instance *list* :: (*linorder*) *linorder*

<proof>

instantiation *list* :: (*linorder*) *distrib-lattice*

begin

definition (*inf* :: 'a list \Rightarrow -) = *min*

definition (*sup* :: 'a list \Rightarrow -) = *max*

instance

<proof>

end

lemma *not-less-Nil* [*simp*]: $\neg x < []$
<proof>

lemma *Nil-less-Cons* [*simp*]: $[] < a \# x$
<proof>

lemma *Cons-less-Cons* [*simp*]: $a \# x < b \# y \longleftrightarrow a < b \vee a = b \wedge x < y$
<proof>

lemma *le-Nil* [*simp*]: $x \leq [] \longleftrightarrow x = []$
<proof>

lemma *Nil-le-Cons* [*simp*]: $[] \leq x$
<proof>

lemma *Cons-le-Cons* [*simp*]: $a \# x \leq b \# y \longleftrightarrow a < b \vee a = b \wedge x \leq y$
<proof>

instantiation *list* :: (*order*) *order-bot*

begin

definition *bot* = []

instance

<proof>

end

lemma *less-list-code* [*code*]:

$xs < ([] :: 'a :: \{equal, order\} list) \longleftrightarrow False$

$[] < (xs :: 'a :: \{equal, order\} \# xs) \longleftrightarrow True$

$(x :: 'a :: \{equal, order\}) \# xs < y \# ys \longleftrightarrow x < y \vee x = y \wedge xs < ys$

<proof>

lemma *less-eq-list-code* [*code*]:

$x \# xs \leq ([] :: 'a :: \{equal, order\} list) \longleftrightarrow False$

$[] \leq (xs :: 'a :: \{equal, order\} list) \longleftrightarrow True$

$(x :: 'a :: \{equal, order\}) \# xs \leq y \# ys \longleftrightarrow x < y \vee x = y \wedge xs \leq ys$

⟨proof⟩

end

92 Sublist Ordering

theory *Sublist-Order*

imports *Sublist*

begin

This theory defines sublist ordering on lists. A list ys is a sublist of a list xs , iff one obtains ys by erasing some elements from xs .

92.1 Definitions and basic lemmas

instantiation $list :: (type) ord$

begin

definition

$(xs :: 'a list) \leq ys \longleftrightarrow sublisteq\ xs\ ys$

definition

$(xs :: 'a list) < ys \longleftrightarrow xs \leq ys \wedge \neg ys \leq xs$

instance ⟨proof⟩

end

instance $list :: (type) order$

⟨proof⟩

lemmas $less-eq-list-induct$ [consumes 1, case-names empty drop take] =

$list-emb.induct$ [of op =, folded less-eq-list-def]

lemmas $less-eq-list-drop = list-emb.list-emb-Cons$ [of op =, folded less-eq-list-def]

lemmas $le-list-Cons2-iff$ [simp, code] = $sublisteq-Cons2-iff$ [folded less-eq-list-def]

lemmas $le-list-map = sublisteq-map$ [folded less-eq-list-def]

lemmas $le-list-filter = sublisteq-filter$ [folded less-eq-list-def]

lemmas $le-list-length = list-emb-length$ [of op =, folded less-eq-list-def]

lemma $less-list-length: xs < ys \implies length\ xs < length\ ys$

⟨proof⟩

lemma $less-list-empty$ [simp]: $[] < xs \longleftrightarrow xs \neq []$

⟨proof⟩

lemma $less-list-below-empty$ [simp]: $xs < [] \longleftrightarrow False$

⟨proof⟩

lemma $less-list-drop: xs < ys \implies xs < x \# ys$

<proof>

lemma *less-list-take-iff*: $x \# xs < x \# ys \longleftrightarrow xs < ys$
<proof>

lemma *less-list-drop-many*: $xs < ys \implies xs < zs @ ys$
<proof>

lemma *less-list-take-many-iff*: $zs @ xs < zs @ ys \longleftrightarrow xs < ys$
<proof>

lemma *less-list-rev-take*: $xs @ zs < ys @ zs \longleftrightarrow xs < ys$
<proof>

end

93 Lexicographic order on product types

theory *Product-Lexorder*

imports *Main*

begin

instantiation *prod* :: (ord, ord) ord

begin

definition

$x \leq y \longleftrightarrow fst\ x < fst\ y \vee fst\ x \leq fst\ y \wedge snd\ x \leq snd\ y$

definition

$x < y \longleftrightarrow fst\ x < fst\ y \vee fst\ x \leq fst\ y \wedge snd\ x < snd\ y$

instance *<proof>*

end

lemma *less-eq-prod-simp* [*simp*, *code*]:

$(x1, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 \leq x2 \wedge y1 \leq y2$
<proof>

lemma *less-prod-simp* [*simp*, *code*]:

$(x1, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 \leq x2 \wedge y1 < y2$
<proof>

A stronger version for partial orders.

lemma *less-prod-def'*:

fixes $x\ y :: 'a::order \times 'b::ord$

shows $x < y \longleftrightarrow fst\ x < fst\ y \vee fst\ x = fst\ y \wedge snd\ x < snd\ y$
<proof>

```

instance prod :: (preorder, preorder) preorder
  ⟨proof⟩

instance prod :: (order, order) order
  ⟨proof⟩

instance prod :: (linorder, linorder) linorder
  ⟨proof⟩

instantiation prod :: (linorder, linorder) distrib-lattice
begin

definition
  (inf :: 'a × 'b ⇒ - ⇒ -) = min

definition
  (sup :: 'a × 'b ⇒ - ⇒ -) = max

instance
  ⟨proof⟩

end

instantiation prod :: (bot, bot) bot
begin

definition
  bot = (bot, bot)

instance ⟨proof⟩

end

instance prod :: (order-bot, order-bot) order-bot
  ⟨proof⟩

instantiation prod :: (top, top) top
begin

definition
  top = (top, top)

instance ⟨proof⟩

end

instance prod :: (order-top, order-top) order-top
  ⟨proof⟩

```

instance *prod* :: (*wellorder*, *wellorder*) *wellorder*
 ⟨*proof*⟩

Legacy lemma bindings

lemmas *prod-le-def* = *less-eq-prod-def*
lemmas *prod-less-def* = *less-prod-def*
lemmas *prod-less-eq* = *less-prod-def'*

end

94 Pointwise order on product types

theory *Product-Order*
imports *Product-plus Conditionally-Complete-Lattices*
begin

94.1 Pointwise ordering

instantiation *prod* :: (*ord*, *ord*) *ord*
begin

definition

$$x \leq y \longleftrightarrow \text{fst } x \leq \text{fst } y \wedge \text{snd } x \leq \text{snd } y$$

definition

$$(x::'a \times 'b) < y \longleftrightarrow x \leq y \wedge \neg y \leq x$$

instance ⟨*proof*⟩

end

lemma *fst-mono*: $x \leq y \implies \text{fst } x \leq \text{fst } y$
 ⟨*proof*⟩

lemma *snd-mono*: $x \leq y \implies \text{snd } x \leq \text{snd } y$
 ⟨*proof*⟩

lemma *Pair-mono*: $x \leq x' \implies y \leq y' \implies (x, y) \leq (x', y')$
 ⟨*proof*⟩

lemma *Pair-le [simp]*: $(a, b) \leq (c, d) \longleftrightarrow a \leq c \wedge b \leq d$
 ⟨*proof*⟩

instance *prod* :: (*preorder*, *preorder*) *preorder*
 ⟨*proof*⟩

instance *prod* :: (*order*, *order*) *order*
 ⟨*proof*⟩

94.2 Binary infimum and supremum

instantiation *prod* :: (*inf*, *inf*) *inf*
begin

definition $\text{inf } x \ y = (\text{inf } (\text{fst } x) \ (\text{fst } y), \text{inf } (\text{snd } x) \ (\text{snd } y))$

lemma *inf-Pair-Pair* [*simp*]: $\text{inf } (a, b) \ (c, d) = (\text{inf } a \ c, \text{inf } b \ d)$
 ⟨*proof*⟩

lemma *fst-inf* [*simp*]: $\text{fst } (\text{inf } x \ y) = \text{inf } (\text{fst } x) \ (\text{fst } y)$
 ⟨*proof*⟩

lemma *snd-inf* [*simp*]: $\text{snd } (\text{inf } x \ y) = \text{inf } (\text{snd } x) \ (\text{snd } y)$
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

instance *prod* :: (*semilattice-inf*, *semilattice-inf*) *semilattice-inf*
 ⟨*proof*⟩

instantiation *prod* :: (*sup*, *sup*) *sup*
begin

definition
 $\text{sup } x \ y = (\text{sup } (\text{fst } x) \ (\text{fst } y), \text{sup } (\text{snd } x) \ (\text{snd } y))$

lemma *sup-Pair-Pair* [*simp*]: $\text{sup } (a, b) \ (c, d) = (\text{sup } a \ c, \text{sup } b \ d)$
 ⟨*proof*⟩

lemma *fst-sup* [*simp*]: $\text{fst } (\text{sup } x \ y) = \text{sup } (\text{fst } x) \ (\text{fst } y)$
 ⟨*proof*⟩

lemma *snd-sup* [*simp*]: $\text{snd } (\text{sup } x \ y) = \text{sup } (\text{snd } x) \ (\text{snd } y)$
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

instance *prod* :: (*semilattice-sup*, *semilattice-sup*) *semilattice-sup*
 ⟨*proof*⟩

instance *prod* :: (*lattice*, *lattice*) *lattice* ⟨*proof*⟩

instance *prod* :: (*distrib-lattice*, *distrib-lattice*) *distrib-lattice*
 ⟨*proof*⟩

94.3 Top and bottom elements

instantiation *prod* :: (*top*, *top*) *top*
begin

definition

top = (*top*, *top*)

instance ⟨*proof*⟩

end

lemma *fst-top* [*simp*]: *fst top* = *top*
 ⟨*proof*⟩

lemma *snd-top* [*simp*]: *snd top* = *top*
 ⟨*proof*⟩

lemma *Pair-top-top*: (*top*, *top*) = *top*
 ⟨*proof*⟩

instance *prod* :: (*order-top*, *order-top*) *order-top*
 ⟨*proof*⟩

instantiation *prod* :: (*bot*, *bot*) *bot*
begin

definition

bot = (*bot*, *bot*)

instance ⟨*proof*⟩

end

lemma *fst-bot* [*simp*]: *fst bot* = *bot*
 ⟨*proof*⟩

lemma *snd-bot* [*simp*]: *snd bot* = *bot*
 ⟨*proof*⟩

lemma *Pair-bot-bot*: (*bot*, *bot*) = *bot*
 ⟨*proof*⟩

instance *prod* :: (*order-bot*, *order-bot*) *order-bot*
 ⟨*proof*⟩

instance *prod* :: (*bounded-lattice*, *bounded-lattice*) *bounded-lattice* ⟨*proof*⟩

instance *prod* :: (*boolean-algebra*, *boolean-algebra*) *boolean-algebra*
 ⟨*proof*⟩

94.4 Complete lattice operations

instantiation $prod :: (Inf, Inf) Inf$
begin

definition $Inf A = (INF x:A. fst x, INF x:A. snd x)$

instance $\langle proof \rangle$

end

instantiation $prod :: (Sup, Sup) Sup$
begin

definition $Sup A = (SUP x:A. fst x, SUP x:A. snd x)$

instance $\langle proof \rangle$

end

instance $prod :: (conditionally-complete-lattice, conditionally-complete-lattice)$
 $conditionally-complete-lattice$
 $\langle proof \rangle$

instance $prod :: (complete-lattice, complete-lattice) complete-lattice$
 $\langle proof \rangle$

lemma $fst-Sup: fst (Sup A) = (SUP x:A. fst x)$
 $\langle proof \rangle$

lemma $snd-Sup: snd (Sup A) = (SUP x:A. snd x)$
 $\langle proof \rangle$

lemma $fst-Inf: fst (Inf A) = (INF x:A. fst x)$
 $\langle proof \rangle$

lemma $snd-Inf: snd (Inf A) = (INF x:A. snd x)$
 $\langle proof \rangle$

lemma $fst-SUP: fst (SUP x:A. f x) = (SUP x:A. fst (f x))$
 $\langle proof \rangle$

lemma $snd-SUP: snd (SUP x:A. f x) = (SUP x:A. snd (f x))$
 $\langle proof \rangle$

lemma $fst-INF: fst (INF x:A. f x) = (INF x:A. fst (f x))$
 $\langle proof \rangle$

lemma $snd-INF: snd (INF x:A. f x) = (INF x:A. snd (f x))$
 $\langle proof \rangle$

lemma *SUP-Pair*: $(\text{SUP } x:A. (f\ x, g\ x)) = (\text{SUP } x:A. f\ x, \text{SUP } x:A. g\ x)$
 ⟨proof⟩

lemma *INF-Pair*: $(\text{INF } x:A. (f\ x, g\ x)) = (\text{INF } x:A. f\ x, \text{INF } x:A. g\ x)$
 ⟨proof⟩

Alternative formulations for set infima and suprema over the product of two complete lattices:

lemma *INF-prod-alt-def*:
 $\text{INFIMUM } A\ f = (\text{INFIMUM } A\ (fst\ o\ f), \text{INFIMUM } A\ (snd\ o\ f))$
 ⟨proof⟩

lemma *SUP-prod-alt-def*:
 $\text{SUPREMUM } A\ f = (\text{SUPREMUM } A\ (fst\ o\ f), \text{SUPREMUM } A\ (snd\ o\ f))$
 ⟨proof⟩

94.5 Complete distributive lattices

instance *prod* :: $(\text{complete-distrib-lattice}, \text{complete-distrib-lattice})\ \text{complete-distrib-lattice}$
 ⟨proof⟩

end

theory *Finite-Lattice*
imports *Product-Order*
begin

A non-empty finite lattice is a complete lattice. Since types are never empty in Isabelle/HOL, a type of classes *finite* and *lattice* should also have class *complete-lattice*. A type class is defined that extends classes *finite* and *lattice* with the operators *bot*, *top*, *Inf*, and *Sup*, along with assumptions that define these operators in terms of the ones of classes *finite* and *lattice*. The resulting class is a subclass of *complete-lattice*.

class *finite-lattice-complete* = *finite* + *lattice* + *bot* + *top* + *Inf* + *Sup* +
assumes *bot-def*: $bot = Inf\ fin\ UNIV$
assumes *top-def*: $top = Sup\ fin\ UNIV$
assumes *Inf-def*: $Inf\ A = Finite\ Set.fold\ inf\ top\ A$
assumes *Sup-def*: $Sup\ A = Finite\ Set.fold\ sup\ bot\ A$

The definitional assumptions on the operators *bot* and *top* of class *finite-lattice-complete* ensure that they yield bottom and top.

lemma *finite-lattice-complete-bot-least*: $(bot::'a::finite-lattice-complete) \leq x$
 ⟨proof⟩

instance *finite-lattice-complete* \subseteq *order-bot*
 ⟨proof⟩

lemma *finite-lattice-complete-top-greatest*: $(top :: 'a::finite-lattice-complete) \geq x$
 ⟨proof⟩

instance *finite-lattice-complete* \subseteq *order-top*
 ⟨proof⟩

instance *finite-lattice-complete* \subseteq *bounded-lattice* ⟨proof⟩

The definitional assumptions on the operators *Inf* and *Sup* of class *finite-lattice-complete* ensure that they yield infimum and supremum.

lemma *finite-lattice-complete-Inf-empty*: $Inf \ \{\} = (top :: 'a::finite-lattice-complete)$
 ⟨proof⟩

lemma *finite-lattice-complete-Sup-empty*: $Sup \ \{\} = (bot :: 'a::finite-lattice-complete)$
 ⟨proof⟩

lemma *finite-lattice-complete-Inf-insert*:
fixes $A :: 'a::finite-lattice-complete$ set
shows $Inf \ (insert \ x \ A) = inf \ x \ (Inf \ A)$
 ⟨proof⟩

lemma *finite-lattice-complete-Sup-insert*:
fixes $A :: 'a::finite-lattice-complete$ set
shows $Sup \ (insert \ x \ A) = sup \ x \ (Sup \ A)$
 ⟨proof⟩

lemma *finite-lattice-complete-Inf-lower*:
 $(x :: 'a::finite-lattice-complete) \in A \implies Inf \ A \leq x$
 ⟨proof⟩

lemma *finite-lattice-complete-Inf-greatest*:
 $\forall x :: 'a::finite-lattice-complete \in A. z \leq x \implies z \leq Inf \ A$
 ⟨proof⟩

lemma *finite-lattice-complete-Sup-upper*:
 $(x :: 'a::finite-lattice-complete) \in A \implies Sup \ A \geq x$
 ⟨proof⟩

lemma *finite-lattice-complete-Sup-least*:
 $\forall x :: 'a::finite-lattice-complete \in A. z \geq x \implies z \geq Sup \ A$
 ⟨proof⟩

instance *finite-lattice-complete* \subseteq *complete-lattice*
 ⟨proof⟩

The product of two finite lattices is already a finite lattice.

lemma *finite-bot-prod*:
 $(bot :: ('a::finite-lattice-complete \times 'b::finite-lattice-complete)) =$

Inf-fin UNIV
 ⟨proof⟩

lemma *finite-top-prod*:
 (*top* :: ('a::finite-lattice-complete × 'b::finite-lattice-complete)) =
Sup-fin UNIV
 ⟨proof⟩

lemma *finite-Inf-prod*:
Inf(*A* :: ('a::finite-lattice-complete × 'b::finite-lattice-complete) set) =
Finite-Set.fold inf top A
 ⟨proof⟩

lemma *finite-Sup-prod*:
Sup (*A* :: ('a::finite-lattice-complete × 'b::finite-lattice-complete) set) =
Finite-Set.fold sup bot A
 ⟨proof⟩

instance *prod* :: (finite-lattice-complete, finite-lattice-complete) finite-lattice-complete
 ⟨proof⟩

Functions with a finite domain and with a finite lattice as codomain already form a finite lattice.

lemma *finite-bot-fun*: (*bot* :: ('a::finite ⇒ 'b::finite-lattice-complete)) = *Inf-fin UNIV*
 ⟨proof⟩

lemma *finite-top-fun*: (*top* :: ('a::finite ⇒ 'b::finite-lattice-complete)) = *Sup-fin UNIV*
 ⟨proof⟩

lemma *finite-Inf-fun*:
Inf (*A*::('a::finite ⇒ 'b::finite-lattice-complete) set) =
Finite-Set.fold inf top A
 ⟨proof⟩

lemma *finite-Sup-fun*:
Sup (*A*::('a::finite ⇒ 'b::finite-lattice-complete) set) =
Finite-Set.fold sup bot A
 ⟨proof⟩

instance *fun* :: (finite, finite-lattice-complete) finite-lattice-complete
 ⟨proof⟩

94.6 Finite Distributive Lattices

A finite distributive lattice is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

class *finite-distrib-lattice-complete* =

distrib-lattice + finite-lattice-complete

lemma *finite-distrib-lattice-complete-sup-Inf*:

sup (x::'a::finite-distrib-lattice-complete) (Inf A) = (INF y:A. sup x y)
 ⟨proof⟩

lemma *finite-distrib-lattice-complete-inf-Sup*:

inf (x::'a::finite-distrib-lattice-complete) (Sup A) = (SUP y:A. inf x y)
 ⟨proof⟩

instance *finite-distrib-lattice-complete* \subseteq *complete-distrib-lattice*

⟨proof⟩

The product of two finite distributive lattices is already a finite distributive lattice.

instance *prod* ::

(*finite-distrib-lattice-complete*, *finite-distrib-lattice-complete*)
finite-distrib-lattice-complete
 ⟨proof⟩

Functions with a finite domain and with a finite distributive lattice as codomain already form a finite distributive lattice.

instance *fun* ::

(*finite*, *finite-distrib-lattice-complete*) *finite-distrib-lattice-complete*
 ⟨proof⟩

94.7 Linear Orders

A linear order is a distributive lattice. A type class is defined that extends class *linorder* with the operators *inf* and *sup*, along with assumptions that define these operators in terms of the ones of class *linorder*. The resulting class is a subclass of *distrib-lattice*.

class *linorder-lattice* = *linorder* + *inf* + *sup* +

assumes *inf-def*: *inf x y = (if x ≤ y then x else y)*

assumes *sup-def*: *sup x y = (if x ≥ y then x else y)*

The definitional assumptions on the operators *inf* and *sup* of class *linorder-lattice* ensure that they yield infimum and supremum and that they distribute over each other.

lemma *linorder-lattice-inf-le1*: *inf (x::'a::linorder-lattice) y ≤ x*

⟨proof⟩

lemma *linorder-lattice-inf-le2*: *inf (x::'a::linorder-lattice) y ≤ y*

⟨proof⟩

lemma *linorder-lattice-inf-greatest*:

(x::'a::linorder-lattice) ≤ y \implies x ≤ z \implies x ≤ inf y z

⟨proof⟩

lemma *linorder-lattice-sup-ge1*: $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq x$
 ⟨proof⟩

lemma *linorder-lattice-sup-ge2*: $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq y$
 ⟨proof⟩

lemma *linorder-lattice-sup-least*:
 $(x::'a::\text{linorder-lattice}) \geq y \implies x \geq z \implies x \geq \text{sup } y \ z$
 ⟨proof⟩

lemma *linorder-lattice-sup-inf-distrib1*:
 $\text{sup } (x::'a::\text{linorder-lattice}) \ (\text{inf } y \ z) = \text{inf } (\text{sup } x \ y) \ (\text{sup } x \ z)$
 ⟨proof⟩

instance *linorder-lattice* \subseteq *distrib-lattice*
 ⟨proof⟩

94.8 Finite Linear Orders

A (non-empty) finite linear order is a complete linear order.

class *finite-linorder-complete* = *linorder-lattice* + *finite-lattice-complete*

instance *finite-linorder-complete* \subseteq *complete-linorder* ⟨proof⟩

A (non-empty) finite linear order is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

instance *finite-linorder-complete* \subseteq *finite-distrib-lattice-complete* ⟨proof⟩

end

95 GCD and LCM on polynomials over a field

theory *Polynomial-GCD-euclidean*
imports *Main* $\sim\sim$ */src/HOL/GCD* $\sim\sim$ */src/HOL/Library/Polynomial*
begin

95.1 GCD of polynomials

instantiation *poly* :: (*field*) *gcd*
begin

function *gcd-poly* :: '*a*::*field* *poly* \Rightarrow '*a* *poly* \Rightarrow '*a* *poly*
where
 $\text{gcd } (x::'a \ \text{poly}) \ 0 = \text{smult } (\text{inverse } (\text{coeff } x \ (\text{degree } x))) \ x$
 $| \ y \neq 0 \implies \text{gcd } (x::'a \ \text{poly}) \ y = \text{gcd } y \ (x \ \text{mod } y)$
 ⟨proof⟩

termination *gcd* :: - *poly* \Rightarrow -
 ⟨*proof*⟩

declare *gcd-poly.simps* [*simp del*]

definition *lcm-poly* :: 'a::field *poly* \Rightarrow 'a *poly* \Rightarrow 'a *poly*
where

lcm-poly *a b* = *a* * *b* *div smult* (*coeff a* (*degree a*) * *coeff b* (*degree b*)) (*gcd a b*)

instance ⟨*proof*⟩

end

lemma

fixes *x y* :: - *poly*
shows *poly-gcd-dvd1* [*iff*]: *gcd x y dvd x*
and *poly-gcd-dvd2* [*iff*]: *gcd x y dvd y*
 ⟨*proof*⟩

lemma *poly-gcd-greatest*:

fixes *k x y* :: - *poly*
shows *k dvd x* \Longrightarrow *k dvd y* \Longrightarrow *k dvd gcd x y*
 ⟨*proof*⟩

lemma *dvd-poly-gcd-iff* [*iff*]:

fixes *k x y* :: - *poly*
shows *k dvd gcd x y* \longleftrightarrow *k dvd x* \wedge *k dvd y*
 ⟨*proof*⟩

lemma *poly-gcd-monic*:

fixes *x y* :: - *poly*
shows *coeff* (*gcd x y*) (*degree* (*gcd x y*)) =
 (*if x = 0* \wedge *y = 0* *then 0* *else 1*)
 ⟨*proof*⟩

lemma *poly-gcd-zero-iff* [*simp*]:

fixes *x y* :: - *poly*
shows *gcd x y = 0* \longleftrightarrow *x = 0* \wedge *y = 0*
 ⟨*proof*⟩

lemma *poly-gcd-0-0* [*simp*]:

gcd (*0*::- *poly*) *0* = *0*
 ⟨*proof*⟩

lemma *poly-dvd-antisym*:

fixes *p q* :: 'a::idom *poly*
assumes *coeff*: *coeff p* (*degree p*) = *coeff q* (*degree q*)
assumes *dvd1*: *p dvd q* **and** *dvd2*: *q dvd p* **shows** *p = q*
 ⟨*proof*⟩

```

lemma poly-gcd-unique:
  fixes  $d\ x\ y :: -\ \text{poly}$ 
  assumes  $dvd1: d\ dvd\ x$  and  $dvd2: d\ dvd\ y$ 
    and  $greatest: \bigwedge k. k\ dvd\ x \implies k\ dvd\ y \implies k\ dvd\ d$ 
    and  $monic: coeff\ d\ (degree\ d) = (if\ x = 0 \wedge y = 0\ then\ 0\ else\ 1)$ 
  shows  $gcd\ x\ y = d$ 
   $\langle proof \rangle$ 

instance poly :: (field) semiring-gcd
   $\langle proof \rangle$ 

lemma poly-gcd-1-left [simp]:  $gcd\ 1\ y = (1 :: -\ \text{poly})$ 
   $\langle proof \rangle$ 

lemma poly-gcd-1-right [simp]:  $gcd\ x\ 1 = (1 :: -\ \text{poly})$ 
   $\langle proof \rangle$ 

lemma poly-gcd-minus-left [simp]:  $gcd\ (-\ x)\ y = gcd\ x\ (y :: -\ \text{poly})$ 
   $\langle proof \rangle$ 

lemma poly-gcd-minus-right [simp]:  $gcd\ x\ (-\ y) = gcd\ x\ (y :: -\ \text{poly})$ 
   $\langle proof \rangle$ 

lemma poly-gcd-code [code]:
   $gcd\ x\ y = (if\ y = 0\ then\ normalize\ x\ else\ gcd\ y\ (x\ mod\ (y :: -\ \text{poly})))$ 
   $\langle proof \rangle$ 

end

```

96 Implementation of mappings with Association Lists

```

theory AList-Mapping
imports AList Mapping
begin

lift-definition Mapping :: ('a  $\times$  'b) list  $\Rightarrow$  ('a, 'b) mapping is map-of  $\langle proof \rangle$ 

code-datatype Mapping

lemma lookup-Mapping [simp, code]:
   $Mapping.lookup\ (Mapping\ xs) = map-of\ xs$ 
   $\langle proof \rangle$ 

lemma keys-Mapping [simp, code]:
   $Mapping.keys\ (Mapping\ xs) = set\ (map\ fst\ xs)$ 
   $\langle proof \rangle$ 

```

lemma *empty-Mapping* [code]:

$Mapping.empty = Mapping []$
 ⟨proof⟩

lemma *is-empty-Mapping* [code]:

$Mapping.is-empty (Mapping xs) \longleftrightarrow List.null xs$
 ⟨proof⟩

lemma *update-Mapping* [code]:

$Mapping.update k v (Mapping xs) = Mapping (AList.update k v xs)$
 ⟨proof⟩

lemma *delete-Mapping* [code]:

$Mapping.delete k (Mapping xs) = Mapping (AList.delete k xs)$
 ⟨proof⟩

lemma *ordered-keys-Mapping* [code]:

$Mapping.ordered-keys (Mapping xs) = sort (remdups (map fst xs))$
 ⟨proof⟩

lemma *size-Mapping* [code]:

$Mapping.size (Mapping xs) = length (remdups (map fst xs))$
 ⟨proof⟩

lemma *tabulate-Mapping* [code]:

$Mapping.tabulate ks f = Mapping (map (\lambda k. (k, f k)) ks)$
 ⟨proof⟩

lemma *bulkload-Mapping* [code]:

$Mapping.bulkload vs = Mapping (map (\lambda n. (n, vs ! n)) [0..<length vs])$
 ⟨proof⟩

lemma *equal-Mapping* [code]:

$HOL.equal (Mapping xs) (Mapping ys) \longleftrightarrow$
 (let $ks = map fst xs$; $ls = map fst ys$
 in $(\forall l \in set ls. l \in set ks) \wedge (\forall k \in set ks. k \in set ls \wedge map-of xs k = map-of ys k)$)
 ⟨proof⟩

lemma [code nbe]:

$HOL.equal (x :: ('a, 'b) mapping) x \longleftrightarrow True$
 ⟨proof⟩

end

97 Avoidance of pattern matching on natural numbers

```
theory Code-Abstract-Nat
imports Main
begin
```

When natural numbers are implemented in another than the conventional inductive $0/Suc$ representation, it is necessary to avoid all pattern matching on natural numbers altogether. This is accomplished by this theory (up to a certain extent).

97.1 Case analysis

Case analysis on natural numbers is rephrased using a conditional expression:

```
lemma [code, code-unfold]:
  case-nat = ( $\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (n - 1)$ )
  <proof>
```

97.2 Preprocessors

The term $Suc\ n$ is no longer a valid pattern. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a code equation) must be eliminated. This can be accomplished – as far as possible – by applying the following transformation rule:

```
lemma Suc-if-eq:
  assumes  $\bigwedge n. f (Suc\ n) \equiv h\ n$ 
  assumes  $f\ 0 \equiv g$ 
  shows  $f\ n \equiv \text{if } n = 0 \text{ then } g \text{ else } h (n - 1)$ 
  <proof>
```

The rule above is built into a preprocessor that is plugged into the code generator.

```
<ML>
```

```
end
```

98 Implementation of natural numbers as binary numerals

```
theory Code-Binary-Nat
imports Code-Abstract-Nat
begin
```

When generating code for functions on natural numbers, the canonical representation using 0 and Suc is unsuitable for computations involving

large numbers. This theory refines the representation of natural numbers for code generation to use binary numerals, which do not grow linear in size but logarithmic.

98.1 Representation

code-datatype $0::nat$ *nat-of-num*

lemma [*code*]:
 $num\text{-of-nat } 0 = Num.One$
 $num\text{-of-nat } (nat\text{-of-num } k) = k$
 $\langle proof \rangle$

lemma [*code*]:
 $(1::nat) = Numeral1$
 $\langle proof \rangle$

lemma [*code-abbrev*]: $Numeral1 = (1::nat)$
 $\langle proof \rangle$

lemma [*code*]:
 $Suc\ n = n + 1$
 $\langle proof \rangle$

98.2 Basic arithmetic

context
begin

lemma [*code, code del*]:
 $(plus :: nat \Rightarrow -) = plus \langle proof \rangle$

lemma *plus-nat-code* [*code*]:
 $nat\text{-of-num } k + nat\text{-of-num } l = nat\text{-of-num } (k + l)$
 $m + 0 = (m::nat)$
 $0 + n = (n::nat)$
 $\langle proof \rangle$

Bounded subtraction needs some auxiliary

qualified definition $dup :: nat \Rightarrow nat$ **where**
 $dup\ n = n + n$

lemma *dup-code* [*code*]:
 $dup\ 0 = 0$
 $dup\ (nat\text{-of-num } k) = nat\text{-of-num } (Num.Bit0\ k)$
 $\langle proof \rangle$ **definition** $sub :: num \Rightarrow num \Rightarrow nat\ option$ **where**
 $sub\ k\ l = (if\ k \geq l\ then\ Some\ (numeral\ k - numeral\ l)\ else\ None)$

lemma *sub-code* [*code*]:

```

sub Num.One Num.One = Some 0
sub (Num.Bit0 m) Num.One = Some (nat-of-num (Num.BitM m))
sub (Num.Bit1 m) Num.One = Some (nat-of-num (Num.Bit0 m))
sub Num.One (Num.Bit0 n) = None
sub Num.One (Num.Bit1 n) = None
sub (Num.Bit0 m) (Num.Bit0 n) = map-option dup (sub m n)
sub (Num.Bit1 m) (Num.Bit1 n) = map-option dup (sub m n)
sub (Num.Bit1 m) (Num.Bit0 n) = map-option ( $\lambda q. \text{dup } q + 1$ ) (sub m n)
sub (Num.Bit0 m) (Num.Bit1 n) = (case sub m n of None  $\Rightarrow$  None
  | Some q  $\Rightarrow$  if q = 0 then None else Some (dup q - 1))
<proof>

```

lemma [code, code del]:
 (minus :: nat \Rightarrow -) = minus <proof>

lemma minus-nat-code [code]:
 nat-of-num k - nat-of-num l = (case sub k l of None \Rightarrow 0 | Some j \Rightarrow j)
 m - 0 = (m::nat)
 0 - n = (0::nat)
 <proof>

lemma [code, code del]:
 (times :: nat \Rightarrow -) = times <proof>

lemma times-nat-code [code]:
 nat-of-num k * nat-of-num l = nat-of-num (k * l)
 m * 0 = (0::nat)
 0 * n = (0::nat)
 <proof>

lemma [code, code del]:
 (HOL.equal :: nat \Rightarrow -) = HOL.equal <proof>

lemma equal-nat-code [code]:
 HOL.equal 0 (0::nat) \longleftrightarrow True
 HOL.equal 0 (nat-of-num l) \longleftrightarrow False
 HOL.equal (nat-of-num k) 0 \longleftrightarrow False
 HOL.equal (nat-of-num k) (nat-of-num l) \longleftrightarrow HOL.equal k l
 <proof>

lemma equal-nat-refl [code nbe]:
 HOL.equal (n::nat) n \longleftrightarrow True
 <proof>

lemma [code, code del]:
 (less-eq :: nat \Rightarrow -) = less-eq <proof>

lemma less-eq-nat-code [code]:
 0 \leq (n::nat) \longleftrightarrow True

```

nat-of-num k ≤ 0 ↔ False
nat-of-num k ≤ nat-of-num l ↔ k ≤ l
⟨proof⟩

```

```

lemma [code, code del]:
  (less :: nat ⇒ -) = less ⟨proof⟩

```

```

lemma less-nat-code [code]:
  (m::nat) < 0 ↔ False
  0 < nat-of-num l ↔ True
  nat-of-num k < nat-of-num l ↔ k < l
  ⟨proof⟩

```

```

lemma [code, code del]:
  Divides.divmod-nat = Divides.divmod-nat ⟨proof⟩

```

```

lemma divmod-nat-code [code]:
  Divides.divmod-nat (nat-of-num k) (nat-of-num l) = divmod k l
  Divides.divmod-nat m 0 = (0, m)
  Divides.divmod-nat 0 n = (0, 0)
  ⟨proof⟩

```

```

end

```

98.3 Conversions

```

lemma [code, code del]:
  of-nat = of-nat ⟨proof⟩

```

```

lemma of-nat-code [code]:
  of-nat 0 = 0
  of-nat (nat-of-num k) = numeral k
  ⟨proof⟩

```

```

code-identifier

```

```

code-module Code-Binary-Nat ↪
  (SML) Arith and (OCaml) Arith and (Haskell) Arith

```

```

end

```

99 Code generation of pretty characters (and strings)

```

theory Code-Char
imports Main Char-ord
begin

```

```

code-printing
  type-constructor char ↪

```

```

(SML) char
and (OCaml) char
and (Haskell) Prelude.Char
and (Scala) Char

```

⟨ML⟩

code-printing

```

constant integer-of-char →
  (SML) !(IntInf.fromInt o Char.ord)
  and (OCaml) Big'-int.big'-int'-of'-int (Char.code -)
  and (Haskell) Prelude.toInteger (Prelude.fromEnum (- :: Prelude.Char))
  and (Scala) BigInt(-.toInt)
| constant char-of-integer →
  (SML) !(Char.chr o IntInf.toInt)
  and (OCaml) Char.chr (Big'-int.int'-of'-big'-int -)
  and (Haskell) !(let chr k | (0 <= k && k < 256) = Prelude.toEnum k ::
Prelude.Char in chr . Prelude.fromInteger)
  and (Scala) !(k: BigInt) => if (BigInt(0) <= k && k < BigInt(256))
k.charValue else error(character value out of range)
| class-instance char :: equal →
  (Haskell) -
| constant HOL.equal :: char ⇒ char ⇒ bool →
  (SML) !((- : char) = -)
  and (OCaml) !((- : char) = -)
  and (Haskell) infix 4 ==
  and (Scala) infixl 5 ==
| constant Code-Evaluation.term-of :: char ⇒ term →
  (Eval) HOLogic.mk'-char/ (IntInf.fromInt/ (Char.ord/ -))

```

code-reserved SML

char

code-reserved OCaml

char

code-reserved Scala

char

code-reserved SML String

code-printing

```

constant String.implode →
  (SML) String.implode
  and (OCaml) !(let l = - in let res = String.create (List.length l) in let rec imp
i = function | [] -> res | c :: l -> String.set res i c; imp (i + 1) l in imp 0 l)
  and (Haskell) -
  and (Scala) !(++/-)
| constant String.explode →

```

```

(SML) String.explode
and (OCaml) !(let s = - in let rec exp i l = if i < 0 then l else exp (i - 1)
(String.get s i :: l) in exp (String.length s - 1) [])
and (Haskell) -
and (Scala) !(-.toList)

```

code-printing

```

constant Orderings.less-eq :: char ⇒ char ⇒ bool →
(SML) !((- : char) <= -)
and (OCaml) !((- : char) <= -)
and (Haskell) infix 4 <=
and (Scala) infixl 4 <=
and (Eval) infixl 6 <=
| constant Orderings.less :: char ⇒ char ⇒ bool →
(SML) !((- : char) < -)
and (OCaml) !((- : char) < -)
and (Haskell) infix 4 <
and (Scala) infixl 4 <
and (Eval) infixl 6 <
| constant Orderings.less-eq :: String.literal ⇒ String.literal ⇒ bool →
(SML) !((- : string) <= -)
and (OCaml) !((- : string) <= -)
— Order operations for String.literal work in Haskell only if no type class
instance needs to be generated, because String = [Char] in Haskell and char list
need not have the same order as String.literal.
and (Haskell) infix 4 <=
and (Scala) infixl 4 <=
and (Eval) infixl 6 <=
| constant Orderings.less :: String.literal ⇒ String.literal ⇒ bool →
(SML) !((- : string) < -)
and (OCaml) !((- : string) < -)
and (Haskell) infix 4 <
and (Scala) infixl 4 <
and (Eval) infixl 6 <

```

end

100 Code generation of prolog programs

```

theory Code-Prolog
imports Main
keywords values-prolog :: diag
begin

```

```

⟨ML⟩

```

101 Setup for Numerals

$\langle ML \rangle$

end

102 Implementation of integer numbers by target-language integers

```
theory Code-Target-Int
imports ../GCD
begin
```

```
code-datatype int-of-integer
```

```
declare [[code drop: integer-of-int]]
```

```
context
includes integer.lifting
begin
```

```
lemma [code]:
  integer-of-int (int-of-integer k) = k
  <proof>
```

```
lemma [code]:
  Int.Pos = int-of-integer  $\circ$  integer-of-num
  <proof>
```

```
lemma [code]:
  Int.Neg = int-of-integer  $\circ$  uminus  $\circ$  integer-of-num
  <proof>
```

```
lemma [code-abbrev]:
  int-of-integer (numeral k) = Int.Pos k
  <proof>
```

```
lemma [code-abbrev]:
  int-of-integer (- numeral k) = Int.Neg k
  <proof>
```

```
lemma [code, symmetric, code-post]:
  0 = int-of-integer 0
  <proof>
```

```
lemma [code, symmetric, code-post]:
  1 = int-of-integer 1
  <proof>
```

lemma [*code-post*]:

$int\text{-of-integer } (- 1) = - 1$
 $\langle proof \rangle$

lemma [*code*]:

$k + l = int\text{-of-integer } (of\text{-int } k + of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$- k = int\text{-of-integer } (- of\text{-int } k)$
 $\langle proof \rangle$

lemma [*code*]:

$k - l = int\text{-of-integer } (of\text{-int } k - of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$Int.dup k = int\text{-of-integer } (Code\text{-Numeral}.dup (of\text{-int } k))$
 $\langle proof \rangle$

declare [[*code drop: Int.sub*]]

lemma [*code*]:

$k * l = int\text{-of-integer } (of\text{-int } k * of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$k \text{ div } l = int\text{-of-integer } (of\text{-int } k \text{ div } of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$k \text{ mod } l = int\text{-of-integer } (of\text{-int } k \text{ mod } of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$divmod m n = map\text{-prod } int\text{-of-integer } int\text{-of-integer } (divmod m n)$
 $\langle proof \rangle$

lemma [*code*]:

$HOL.equal k l = HOL.equal (of\text{-int } k :: integer) (of\text{-int } l)$
 $\langle proof \rangle$

lemma [*code*]:

$k \leq l \longleftrightarrow (of\text{-int } k :: integer) \leq of\text{-int } l$
 $\langle proof \rangle$

lemma [*code*]:

$k < l \longleftrightarrow (of\text{-int } k :: integer) < of\text{-int } l$

<proof>

lemma *gcd-int-of-integer* [code]:

gcd (int-of-integer x) (int-of-integer y) = int-of-integer (gcd x y)
<proof>

lemma *lcm-int-of-integer* [code]:

lcm (int-of-integer x) (int-of-integer y) = int-of-integer (lcm x y)
<proof>

end

lemma (*in ring-1*) *of-int-code-if*:

of-int k = (if k = 0 then 0
else if k < 0 then - of-int (- k)
else let
*l = 2 * of-int (k div 2);*
j = k mod 2
in if j = 0 then l else l + 1)
<proof>

declare *of-int-code-if* [code]

lemma [code]:

nat = nat-of-integer o of-int
including *integer.lifting* *<proof>*

code-identifier

code-module *Code-Target-Int* \rightarrow
(SML) Arith and (OCaml) Arith and (Haskell) Arith

end

theory *Code-Real-Approx-By-Float*

imports *Complex-Main Code-Target-Int*

begin

WARNING This theory implements mathematical reals by machine reals (floats). This is inconsistent. See the proof of False at the end of the theory, where an equality on mathematical reals is (incorrectly) disproved by mapping it to machine reals.

The value command cannot display real results yet.

The only legitimate use of this theory is as a tool for code generation purposes.

code-printing

type-constructor *real* \rightarrow
(SML) real
and *(OCaml) float*

code-printing

```
constant Ratreal  $\rightarrow$ 
  (SML) error/ Bad constant: Ratreal
```

code-printing

```
constant 0 :: real  $\rightarrow$ 
  (SML) 0.0
  and (OCaml) 0.0
declare zero-real-code[code-unfold del]
```

code-printing

```
constant 1 :: real  $\rightarrow$ 
  (SML) 1.0
  and (OCaml) 1.0
declare one-real-code[code-unfold del]
```

code-printing

```
constant HOL.equal :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.== ((-), (-))
  and (OCaml) Pervasives.(=)
```

code-printing

```
constant Orderings.less-eq :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.<= ((-), (-))
  and (OCaml) Pervasives.(<=)
```

code-printing

```
constant Orderings.less :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.< ((-), (-))
  and (OCaml) Pervasives.(<)
```

code-printing

```
constant op + :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.+ ((-), (-))
  and (OCaml) Pervasives.( +. )
```

code-printing

```
constant op * :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.* ((-), (-))
  and (OCaml) Pervasives.( *. )
```

code-printing

```
constant op - :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.- ((-), (-))
  and (OCaml) Pervasives.( -. )
```

code-printing

```
constant uminus :: real  $\Rightarrow$  real  $\rightarrow$ 
```

```
(SML) Real.~
and (OCaml) Pervasives.( ~-. )
```

code-printing

```
constant op / :: real ⇒ real ⇒ real →
(SML) Real.'/ ((-), (-))
and (OCaml) Pervasives.( '/. )
```

code-printing

```
constant HOL.equal :: real ⇒ real ⇒ bool →
(SML) Real.== ((-:real), (-))
```

code-printing

```
constant sqrt :: real ⇒ real →
(SML) Math.sqrt
and (OCaml) Pervasives.sqrt
declare sqrt-def[code del]
```

context

```
begin
```

```
qualified definition real-exp :: real ⇒ real where real-exp = exp
```

```
lemma exp-eq-real-exp[code-unfold]: exp = real-exp
⟨proof⟩
```

```
end
```

code-printing

```
constant Code-Real-Approx-By-Float.real-exp →
(SML) Math.exp
and (OCaml) Pervasives.exp
declare Code-Real-Approx-By-Float.real-exp-def[code del]
declare exp-def[code del]
```

code-printing

```
constant ln →
(SML) Math.ln
and (OCaml) Pervasives.ln
declare ln-real-def[code del]
```

code-printing

```
constant cos →
(SML) Math.cos
and (OCaml) Pervasives.cos
declare cos-def[code del]
```

code-printing

```
constant sin →
```

```

    (SML) Math.sin
    and (OCaml) Pervasives.sin
declare sin-def[code del]

```

```

code-printing
    constant pi  $\rightarrow$ 
    (SML) Math.pi
    and (OCaml) Pervasives.pi
declare pi-def[code del]

```

```

code-printing
    constant arctan  $\rightarrow$ 
    (SML) Math.atan
    and (OCaml) Pervasives.atan
declare arctan-def[code del]

```

```

code-printing
    constant arccos  $\rightarrow$ 
    (SML) Math.scos
    and (OCaml) Pervasives.acos
declare arccos-def[code del]

```

```

code-printing
    constant arcsin  $\rightarrow$ 
    (SML) Math.asin
    and (OCaml) Pervasives.asin
declare arcsin-def[code del]

```

```

definition real-of-integer :: integer  $\Rightarrow$  real where
    real-of-integer = of-int  $\circ$  int-of-integer

```

```

code-printing
    constant real-of-integer  $\rightarrow$ 
    (SML) Real.fromInt
    and (OCaml) Pervasives.float (Big'-int.int'-of'-big'-int (-))

```

```

context
begin

```

```

qualified definition real-of-int :: int  $\Rightarrow$  real where
    [code-abbrev]: real-of-int = of-int

```

```

lemma [code]:
    real-of-int = real-of-integer  $\circ$  integer-of-int
    <proof>

```

```

lemma [code-unfold del]:
    0  $\equiv$  (of-rat 0 :: real)
    <proof>

```

lemma [*code-unfold del*]:

$1 \equiv (\text{of-rat } 1 :: \text{real})$
 $\langle \text{proof} \rangle$

lemma [*code-unfold del*]:

$\text{numeral } k \equiv (\text{of-rat } (\text{numeral } k) :: \text{real})$
 $\langle \text{proof} \rangle$

lemma [*code-unfold del*]:

$-\text{ numeral } k \equiv (\text{of-rat } (-\text{ numeral } k) :: \text{real})$
 $\langle \text{proof} \rangle$

end

code-printing

constant *Ratreal* \rightarrow (SML)

definition *Realfract* $:: \text{int} \Rightarrow \text{int} \Rightarrow \text{real}$

where

$\text{Realfract } p \ q = \text{of-int } p \ / \ \text{of-int } q$

code-datatype *Realfract*

code-printing

constant *Realfract* \rightarrow (SML) *Real.fromInt* -/ '// *Real.fromInt* -

lemma [*code*]:

$\text{Ratreal } r = \text{case-prod } \text{Realfract } (\text{quotient-of } r)$
 $\langle \text{proof} \rangle$

lemma [*code, code del*]:

$(\text{HOL.equal} :: \text{real} \Rightarrow \text{real} \Rightarrow \text{bool}) = (\text{HOL.equal} :: \text{real} \Rightarrow \text{real} \Rightarrow \text{bool})$
 $\langle \text{proof} \rangle$

lemma [*code, code del*]:

$(\text{plus} :: \text{real} \Rightarrow \text{real} \Rightarrow \text{real}) = \text{plus}$
 $\langle \text{proof} \rangle$

lemma [*code, code del*]:

$(\text{uminus} :: \text{real} \Rightarrow \text{real}) = \text{uminus}$
 $\langle \text{proof} \rangle$

lemma [*code, code del*]:

$(\text{minus} :: \text{real} \Rightarrow \text{real} \Rightarrow \text{real}) = \text{minus}$
 $\langle \text{proof} \rangle$

lemma [*code, code del*]:

$(\text{times} :: \text{real} \Rightarrow \text{real} \Rightarrow \text{real}) = \text{times}$

<proof>

lemma [*code, code del*]:
(divide :: real => real => real) = divide
<proof>

lemma [*code*]:
fixes *r :: real*
shows *inverse r = 1 / r*
<proof>

notepad
begin
<proof>
end

end

103 Implementation of natural numbers by target-language integers

theory *Code-Target-Nat*
imports *Code-Abstract-Nat*
begin

103.1 Implementation for *nat*

context
includes *natural.lifting integer.lifting*
begin

lift-definition *Nat :: integer ⇒ nat*
is *nat*
<proof>

lemma [*code-post*]:
Nat 0 = 0
Nat 1 = 1
Nat (numeral k) = numeral k
<proof>

lemma [*code-abbrev*]:
integer-of-nat = of-nat
<proof>

lemma [*code-unfold*]:
Int.nat (int-of-integer k) = nat-of-integer k
<proof>

lemma [*code abstype*]:

Code-Target-Nat.Nat (integer-of-nat n) = n
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (nat-of-integer k) = max 0 k
 ⟨*proof*⟩

lemma [*code-abbrev*]:

nat-of-integer (numeral k) = nat-of-num k
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (nat-of-num n) = integer-of-num n
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat 0 = 0
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat 1 = 1
 ⟨*proof*⟩

lemma [*code*]:

Suc n = n + 1
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (m + n) = of-nat m + of-nat n
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (m - n) = max 0 (of-nat m - of-nat n)
 ⟨*proof*⟩

lemma [*code abstract*]:

*integer-of-nat (m * n) = of-nat m * of-nat n*
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (m div n) = of-nat m div of-nat n
 ⟨*proof*⟩

lemma [*code abstract*]:

integer-of-nat (m mod n) = of-nat m mod of-nat n
 ⟨*proof*⟩

lemma [code]:

Divides.divmod-nat $m\ n = (m\ \text{div}\ n, m\ \text{mod}\ n)$
 ⟨proof⟩

lemma [code]:

divmod $m\ n = \text{map-prod}\ \text{nat-of-integer}\ \text{nat-of-integer}\ (\text{divmod}\ m\ n)$
 ⟨proof⟩

lemma [code]:

HOL.equal $m\ n = \text{HOL.equal}\ (\text{of-nat}\ m\ ::\ \text{integer})\ (\text{of-nat}\ n)$
 ⟨proof⟩

lemma [code]:

$m \leq n \iff (\text{of-nat}\ m\ ::\ \text{integer}) \leq \text{of-nat}\ n$
 ⟨proof⟩

lemma [code]:

$m < n \iff (\text{of-nat}\ m\ ::\ \text{integer}) < \text{of-nat}\ n$
 ⟨proof⟩

lemma *num-of-nat-code* [code]:

num-of-nat = *num-of-integer* \circ *of-nat*
 ⟨proof⟩

end

lemma (in *semiring-1*) *of-nat-code-if*:

of-nat $n = (\text{if}\ n = 0\ \text{then}\ 0$
 else let
 $(m, q) = \text{Divides.divmod-nat}\ n\ 2;$
 $m' = 2 * \text{of-nat}\ m$
 in if $q = 0\ \text{then}\ m'\ \text{else}\ m' + 1)$
 ⟨proof⟩

declare *of-nat-code-if* [code]

definition *int-of-nat* $::\ \text{nat} \Rightarrow \text{int}$ **where**

[code-abbrev]: *int-of-nat* = *of-nat*

lemma [code]:

int-of-nat $n = \text{int-of-integer}\ (\text{of-nat}\ n)$
 ⟨proof⟩

lemma [code abstract]:

integer-of-nat $(\text{nat}\ k) = \text{max}\ 0\ (\text{integer-of-int}\ k)$
including *integer.lifting* ⟨proof⟩

lemma *term-of-nat-code* [code]:

— Use *nat-of-integer* in term reconstruction instead of *Code-Target-Nat.Nat* such

that reconstructed terms can be fed back to the code generator

```

term-of-class.term-of n =
  Code-Evaluation.App
    (Code-Evaluation.Const (STR "Code-Numeral.nat-of-integer"))
      (typerep.Typeprep (STR "fun"))
        [typerep.Typeprep (STR "Code-Numeral.integer") []],
      typerep.Typeprep (STR "Nat.nat") [])
    (term-of-class.term-of (integer-of-nat n))
  ⟨proof⟩

```

lemma *nat-of-integer-code-post* [*code-post*]:

```

nat-of-integer 0 = 0
nat-of-integer 1 = 1
nat-of-integer (numeral k) = numeral k
including integer.lifting ⟨proof⟩

```

code-identifier

```

code-module Code-Target-Nat ↪
  (SML) Arith and (OCaml) Arith and (Haskell) Arith

```

end

104 Implementation of natural and integer numbers by target-language integers

```

theory Code-Target-Numeral
imports Code-Target-Int Code-Target-Nat
begin

```

end

105 Abstract type of association lists with unique keys

```

theory DAList
imports AList
begin

```

This was based on some existing fragments in the AFP-Collection framework.

105.1 Preliminaries

```

lemma distinct-map-fst-filter:
  distinct (map fst xs) ⇒ distinct (map fst (List.filter P xs))
  ⟨proof⟩

```


105.2 Type $(\text{'key}, \text{'value})$ *alist*

typedef $(\text{'key}, \text{'value})$ *alist* = $\{xs :: (\text{'key} \times \text{'value})$ list. $(\text{distinct} \circ \text{map fst})$ $xs\}$
morphisms *impl-of Alist*
 \langle *proof* \rangle

setup-lifting *type-definition-alist*

lemma *alist-ext*: $\text{impl-of } xs = \text{impl-of } ys \implies xs = ys$
 \langle *proof* \rangle

lemma *alist-eq-iff*: $xs = ys \iff \text{impl-of } xs = \text{impl-of } ys$
 \langle *proof* \rangle

lemma *impl-of-distinct* [*simp, intro*]: $\text{distinct } (\text{map fst } (\text{impl-of } xs))$
 \langle *proof* \rangle

lemma *Alist-impl-of* [*code abstype*]: $\text{Alist } (\text{impl-of } xs) = xs$
 \langle *proof* \rangle

105.3 Primitive operations

lift-definition *lookup* :: $(\text{'key}, \text{'value})$ *alist* \Rightarrow $\text{'key} \Rightarrow \text{'value}$ option **is** *map-of*
 \langle *proof* \rangle

lift-definition *empty* :: $(\text{'key}, \text{'value})$ *alist* **is** \square
 \langle *proof* \rangle

lift-definition *update* :: $\text{'key} \Rightarrow \text{'value} \Rightarrow (\text{'key}, \text{'value})$ *alist* \Rightarrow $(\text{'key}, \text{'value})$ *alist*
is *AList.update*
 \langle *proof* \rangle

lift-definition *delete* :: $\text{'key} \Rightarrow (\text{'key}, \text{'value})$ *alist* \Rightarrow $(\text{'key}, \text{'value})$ *alist*
is *AList.delete*
 \langle *proof* \rangle

lift-definition *map-entry* ::
 $\text{'key} \Rightarrow (\text{'value} \Rightarrow \text{'value}) \Rightarrow (\text{'key}, \text{'value})$ *alist* \Rightarrow $(\text{'key}, \text{'value})$ *alist*
is *AList.map-entry*
 \langle *proof* \rangle

lift-definition *filter* :: $(\text{'key} \times \text{'value} \Rightarrow \text{bool}) \Rightarrow (\text{'key}, \text{'value})$ *alist* \Rightarrow $(\text{'key}, \text{'value})$ *alist*
is *List.filter*
 \langle *proof* \rangle

lift-definition *map-default* ::
 $\text{'key} \Rightarrow \text{'value} \Rightarrow (\text{'value} \Rightarrow \text{'value}) \Rightarrow (\text{'key}, \text{'value})$ *alist* \Rightarrow $(\text{'key}, \text{'value})$ *alist*
is *AList.map-default*

⟨proof⟩

105.4 Abstract operation properties

lemma *lookup-empty* [simp]: *lookup empty k = None*
 ⟨proof⟩

lemma *lookup-delete* [simp]: *lookup (delete k al) = (lookup al)(k := None)*
 ⟨proof⟩

105.5 Further operations

105.5.1 Equality

instantiation *alist* :: (*equal*, *equal*) *equal*
begin

definition *HOL.equal* (*xs* :: ('a, 'b) *alist*) *ys* == *impl-of xs = impl-of ys*

instance
 ⟨proof⟩

end

105.5.2 Size

instantiation *alist* :: (*type*, *type*) *size*
begin

definition *size* (*al* :: ('a, 'b) *alist*) = *length (impl-of al)*

instance ⟨proof⟩

end

105.6 Quickcheck generators

notation *fcomp* (infixl $\circ>$ 60)
notation *scomp* (infixl $\circ\rightarrow$ 60)

definition (in *term-syntax*)
valterm-empty :: ('key :: *typerep*, 'value :: *typerep*) *alist* × (*unit* ⇒ *Code-Evaluation.term*)
where *valterm-empty* = *Code-Evaluation.valtermify empty*

definition (in *term-syntax*)
valterm-update :: 'key :: *typerep* × (*unit* ⇒ *Code-Evaluation.term*) ⇒
 'value :: *typerep* × (*unit* ⇒ *Code-Evaluation.term*) ⇒
 ('key, 'value) *alist* × (*unit* ⇒ *Code-Evaluation.term*) ⇒
 ('key, 'value) *alist* × (*unit* ⇒ *Code-Evaluation.term*) **where**

[*code-unfold*]: $\text{valterm-update } k \ v \ a = \text{Code-Evaluation.valtermify update } \{\cdot\} \ k \ \{\cdot\} \ v \ \{\cdot\} \ a$

fun (in *term-syntax*) *random-aux-alist*
where
random-aux-alist $i \ j =$
 (if $i = 0$ then *Pair valterm-empty*
 else *Quickcheck-Random.collapse*
 (*Random.select-weight*
 $\circ \rightarrow$ [(i , *Quickcheck-Random.random* $j \ \circ \rightarrow (\lambda k. \text{Quickcheck-Random.random } j$
 $\circ \rightarrow$ ($\lambda v. \text{random-aux-alist } (i - 1) \ j \ \circ \rightarrow (\lambda a. \text{Pair } (\text{valterm-update } k \ v \ a))))$),
 (1 , *Pair valterm-empty*)]))

instantiation *alist* :: (*random*, *random*) *random*
begin

definition *random-alist*
where
random-alist $i = \text{random-aux-alist } i \ i$

instance $\langle \text{proof} \rangle$

end

no-notation *fcomp* (**infixl** $\circ >$ 60)
no-notation *scomp* (**infixl** $\circ \rightarrow$ 60)

instantiation *alist* :: (*exhaustive*, *exhaustive*) *exhaustive*
begin

fun *exhaustive-alist* ::
 (($'a$, $'b$) *alist* \Rightarrow ($\text{bool} \times \text{term list}$) *option*) \Rightarrow *natural* \Rightarrow ($\text{bool} \times \text{term list}$) *option*
where
exhaustive-alist $f \ i =$
 (if $i = 0$ then *None*
 else
 case f empty of
 Some ts \Rightarrow *Some ts*
 | *None* \Rightarrow
 exhaustive-alist
 ($\lambda a. \text{Quickcheck-Exhaustive.exhaustive}$
 ($\lambda k. \text{Quickcheck-Exhaustive.exhaustive } (\lambda v. f (\text{update } k \ v \ a)) (i - 1)$)
 ($i - 1$))
 ($i - 1$))

instance $\langle \text{proof} \rangle$

end

```

instantiation alist :: (full-exhaustive, full-exhaustive) full-exhaustive
begin

fun full-exhaustive-alist ::
  (('a, 'b) alist × (unit ⇒ term) ⇒ (bool × term list) option) ⇒ natural ⇒
  (bool × term list) option
where
  full-exhaustive-alist f i =
    (if i = 0 then None
     else
      case f valterm-empty of
        Some ts ⇒ Some ts
      | None ⇒
        full-exhaustive-alist
          (λa.
            Quickcheck-Exhaustive.full-exhaustive
              (λk. Quickcheck-Exhaustive.full-exhaustive (λv. f (valterm-update k v
a)) (i - 1))
              (i - 1))
              (i - 1))

instance ⟨proof⟩

end

```

106 alist is a BNF

```

lift-bnf (dead 'k, set: 'v) alist [wits: [] :: ('k × 'v) list] for map: map rel: rel
  ⟨proof⟩

hide-const valterm-empty valterm-update random-aux-alist

hide-fact (open) lookup-def empty-def update-def delete-def map-entry-def filter-def
  map-default-def
hide-const (open) impl-of lookup empty update delete map-entry filter map-default
  map set rel

end

```

107 Multisets partially implemented by association lists

```

theory DAList-Multiset
imports Multiset DAList
begin
  Delete preexisting code equations

```

lemma [*code*, *code del*]: $\{\#\} = \{\#\}$ \langle *proof* \rangle

lemma [*code*, *code del*]: *single* = *single* \langle *proof* \rangle

lemma [*code*, *code del*]: *plus* = (*plus* :: 'a multiset \Rightarrow -) \langle *proof* \rangle

lemma [*code*, *code del*]: *minus* = (*minus* :: 'a multiset \Rightarrow -) \langle *proof* \rangle

lemma [*code*, *code del*]: *inf-subset-mset* = (*inf-subset-mset* :: 'a multiset \Rightarrow -) \langle *proof* \rangle

lemma [*code*, *code del*]: *sup-subset-mset* = (*sup-subset-mset* :: 'a multiset \Rightarrow -) \langle *proof* \rangle

lemma [*code*, *code del*]: *image-mset* = *image-mset* \langle *proof* \rangle

lemma [*code*, *code del*]: *filter-mset* = *filter-mset* \langle *proof* \rangle

lemma [*code*, *code del*]: *count* = *count* \langle *proof* \rangle

lemma [*code*, *code del*]: *size* = (*size* :: - multiset \Rightarrow nat) \langle *proof* \rangle

lemma [*code*, *code del*]: *msetsum* = *msetsum* \langle *proof* \rangle

lemma [*code*, *code del*]: *msetprod* = *msetprod* \langle *proof* \rangle

lemma [*code*, *code del*]: *set-mset* = *set-mset* \langle *proof* \rangle

lemma [*code*, *code del*]: *sorted-list-of-multiset* = *sorted-list-of-multiset* \langle *proof* \rangle

lemma [*code*, *code del*]: *subset-mset* = *subset-mset* \langle *proof* \rangle

lemma [*code*, *code del*]: *subsetq-mset* = *subsetq-mset* \langle *proof* \rangle

lemma [*code*, *code del*]: *equal-multiset-inst.equal-multiset* = *equal-multiset-inst.equal-multiset* \langle *proof* \rangle

Raw operations on lists

definition *join-raw* ::
 ('key \Rightarrow 'val \times 'val \Rightarrow 'val) \Rightarrow
 ('key \times 'val) list \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list
where *join-raw* *f* *xs* *ys* = *foldr* ($\lambda(k, v). \text{map-default } k \ v \ (\lambda v'. f \ k \ (v', v))$) *ys* *xs*

lemma *join-raw-Nil* [*simp*]: *join-raw* *f* *xs* [] = *xs*
 \langle *proof* \rangle

lemma *join-raw-Cons* [*simp*]:
join-raw *f* *xs* ((*k*, *v*) # *ys*) = *map-default* *k* *v* ($\lambda v'. f \ k \ (v', v)$) (*join-raw* *f* *xs* *ys*)
 \langle *proof* \rangle

lemma *map-of-join-raw*:

assumes *distinct* (*map fst ys*)
shows *map-of* (*join-raw f xs ys*) *x* =
 (*case map-of xs x of*
 None \Rightarrow *map-of ys x*
 | *Some v* \Rightarrow (*case map-of ys x of None* \Rightarrow *Some v* | *Some v'* \Rightarrow *Some (f x (v,*
v')))))
<proof>

lemma *distinct-join-raw*:

assumes *distinct* (*map fst xs*)
shows *distinct* (*map fst (join-raw f xs ys)*)
<proof>

definition *subtract-entries-raw xs ys = foldr* ($\lambda(k, v). AList.map\text{-}entry\ k\ (\lambda v'. v' - v)$) *ys xs*

lemma *map-of-subtract-entries-raw*:

assumes *distinct* (*map fst ys*)
shows *map-of* (*subtract-entries-raw xs ys*) *x* =
 (*case map-of xs x of*
 None \Rightarrow *None*
 | *Some v* \Rightarrow (*case map-of ys x of None* \Rightarrow *Some v* | *Some v'* \Rightarrow *Some (v - v')*))
<proof>

lemma *distinct-subtract-entries-raw*:

assumes *distinct* (*map fst xs*)
shows *distinct* (*map fst (subtract-entries-raw xs ys)*)
<proof>

Operations on alists with distinct keys

lift-definition *join* :: (*'a* \Rightarrow *'b* \times *'b* \Rightarrow *'b*) \Rightarrow (*'a, 'b*) *alist* \Rightarrow (*'a, 'b*) *alist* \Rightarrow (*'a,*
'b) *alist*
is *join-raw*
<proof>

lift-definition *subtract-entries* :: (*'a, ('b :: minus)*) *alist* \Rightarrow (*'a, 'b*) *alist* \Rightarrow (*'a,*
'b) *alist*
is *subtract-entries-raw*
<proof>

Implementing multisets by means of association lists

definition *count-of* :: (*'a* \times *nat*) *list* \Rightarrow *'a* \Rightarrow *nat*
where *count-of xs x = (case map-of xs x of None* \Rightarrow *0* | *Some n* \Rightarrow *n*)

lemma *count-of-multiset*: *count-of xs* \in *multiset*
<proof>

lemma *count-simps* [*simp*]:

count-of [] = (λ -. 0)

count-of ((*x*, *n*) # *xs*) = (λ *y*. if *x* = *y* then *n* else *count-of xs y*)

<proof>

lemma *count-of-empty*: $x \notin \text{fst } \text{' set } xs \implies \text{count-of } xs \ x = 0$

<proof>

lemma *count-of-filter*: *count-of* (*List.filter* (*P* \circ *fst*) *xs*) *x* = (if *P x* then *count-of xs x* else 0)

<proof>

lemma *count-of-map-default* [*simp*]:

count-of (*map-default* *x b* (λ *x*. *x* + *b*) *xs*) *y* =

(if *x* = *y* then *count-of xs x* + *b* else *count-of xs y*)

<proof>

lemma *count-of-join-raw*:

distinct (*map fst ys*) \implies

count-of xs x + *count-of ys x* = *count-of* (*join-raw* (λ *x* (*x*, *y*). *x* + *y*) *xs ys*) *x*

<proof>

lemma *count-of-subtract-entries-raw*:

distinct (*map fst ys*) \implies

count-of xs x - *count-of ys x* = *count-of* (*subtract-entries-raw xs ys*) *x*

<proof>

Code equations for multiset operations

definition *Bag* :: ('*a*, *nat*) *alist* \Rightarrow '*a* *multiset*

where *Bag xs* = *Abs-multiset* (*count-of* (*DAList.impl-of xs*))

code-datatype *Bag*

lemma *count-Bag* [*simp*, *code*]: *count* (*Bag xs*) = *count-of* (*DAList.impl-of xs*)

<proof>

lemma *Mempty-Bag* [*code*]: {#} = *Bag* (*DAList.empty*)

<proof>

lemma *single-Bag* [*code*]: {#*x*#} = *Bag* (*DAList.update x 1 DAList.empty*)

<proof>

lemma *union-Bag* [*code*]: *Bag xs* + *Bag ys* = *Bag* (*join* (λ *x* (*n1*, *n2*). *n1* + *n2*) *xs ys*)

<proof>

lemma *minus-Bag* [*code*]: *Bag xs* - *Bag ys* = *Bag* (*subtract-entries xs ys*)

<proof>

lemma *filter-Bag* [code]: $\text{filter-mset } P \text{ (Bag } xs) = \text{Bag (DAList.filter (P } \circ \text{fst) } xs)$
 ⟨proof⟩

lemma *mset-eq* [code]: $\text{HOL.equal (m1::'a::equal multiset) m2} \longleftrightarrow m1 \leq\# m2 \wedge m2 \leq\# m1$
 ⟨proof⟩

By default the code for $<$ is $(xs < ys) = (xs \leq ys \wedge xs \neq ys)$. With equality implemented by \leq , this leads to three calls of \leq . Here is a more efficient version:

lemma *mset-less*[code]: $xs <\# (ys :: 'a \text{ multiset}) \longleftrightarrow xs \leq\# ys \wedge \neg ys \leq\# xs$
 ⟨proof⟩

lemma *mset-less-eq-Bag0*:

$\text{Bag } xs \leq\# A \longleftrightarrow (\forall (x, n) \in \text{set (DAList.impl-of } xs). \text{count-of (DAList.impl-of } xs) x \leq \text{count } A x)$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *mset-less-eq-Bag* [code]:

$\text{Bag } xs \leq\# (A :: 'a \text{ multiset}) \longleftrightarrow (\forall (x, n) \in \text{set (DAList.impl-of } xs). n \leq \text{count } A x)$
 ⟨proof⟩

declare *multiset-inter-def* [code]
declare *sup-subset-mset-def* [code]
declare *mset.simps* [code]

fun *fold-impl* :: $('a \Rightarrow \text{nat} \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a \times \text{nat}) \text{ list} \Rightarrow 'b$
where
 $\text{fold-impl fn e ((a,n) \# ms) = (fold-impl fn ((fn a n) e) ms)$
 $|\text{ fold-impl fn e []} = e$

context
begin

qualified definition *fold* :: $('a \Rightarrow \text{nat} \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a, \text{nat}) \text{ alist} \Rightarrow 'b$
where $\text{fold } f e al = \text{fold-impl } f e \text{ (DAList.impl-of } al)$

end

context *comp-fun-commute*
begin

lemma *DAList-Multiset-fold*:

assumes $\text{fn: } \bigwedge a n x. \text{fn } a n x = (f a \hat{\wedge} n) x$
shows $\text{fold-mset } f e \text{ (Bag } al) = \text{DAList-Multiset.fold } fn e al$

<proof>

end

context

begin

private lift-definition *single-alist-entry* :: 'a ⇒ 'b ⇒ ('a, 'b) alist **is** λa b. [(a, b)]

<proof>

lemma *image-mset-Bag* [code]:

image-mset f (Bag ms) =

DAList-Multiset.fold (λa n m. Bag (single-alist-entry (f a) n) + m) {#} ms

<proof>

end

lemma *msetsum-Bag*[code]: *msetsum* (Bag ms) = *DAList-Multiset.fold* (λa n. ((op + a) ^^ n)) 0 ms

<proof>

lemma *msetprod-Bag*[code]: *msetprod* (Bag ms) = *DAList-Multiset.fold* (λa n. ((op * a) ^^ n)) 1 ms

<proof>

lemma *size-fold*: *size* A = *fold-mset* (λ-. Suc) 0 A (**is** - = *fold-mset* ?f -)

<proof>

lemma *size-Bag*[code]: *size* (Bag ms) = *DAList-Multiset.fold* (λa n. op + n) 0 ms

<proof>

lemma *set-mset-fold*: *set-mset* A = *fold-mset* insert {} A (**is** - = *fold-mset* ?f -)

<proof>

lemma *set-mset-Bag*[code]:

set-mset (Bag ms) = *DAList-Multiset.fold* (λa n. (if n = 0 then (λm. m) else insert a)) {} ms

<proof>

instantiation *multiset* :: (exhaustive) exhaustive

begin

definition *exhaustive-multiset* ::

```

('a multiset  $\Rightarrow$  (bool  $\times$  term list) option)  $\Rightarrow$  natural  $\Rightarrow$  (bool  $\times$  term list) option
where exhaustive-multiset f i = Quickcheck-Exhaustive.exhaustive ( $\lambda$ xs. f (Bag
xs)) i

```

```

instance <proof>

```

```

end

```

```

end

```

108 Implementation of Red-Black Trees

```

theory RBT-Impl

```

```

imports Main

```

```

begin

```

For applications, you should use theory *RBT* which defines an abstract type of red-black tree obeying the invariant.

108.1 Datatype of RB trees

```

datatype color = R | B

```

```

datatype ('a, 'b) rbt = Empty | Branch color ('a, 'b) rbt 'a 'b ('a, 'b) rbt

```

```

lemma rbt-cases:

```

```

  obtains (Empty) t = Empty

```

```

  | (Red) l k v r where t = Branch R l k v r

```

```

  | (Black) l k v r where t = Branch B l k v r

```

```

  <proof>

```

108.2 Tree properties

108.2.1 Content of a tree

```

primrec entries :: ('a, 'b) rbt  $\Rightarrow$  ('a  $\times$  'b) list

```

```

where

```

```

  entries Empty = []

```

```

| entries (Branch - l k v r) = entries l @ (k,v) # entries r

```

```

abbreviation (input) entry-in-tree :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  bool

```

```

where

```

```

  entry-in-tree k v t  $\equiv$  (k, v)  $\in$  set (entries t)

```

```

definition keys :: ('a, 'b) rbt  $\Rightarrow$  'a list where

```

```

  keys t = map fst (entries t)

```

```

lemma keys-simps [simp, code]:

```

```

  keys Empty = []

```

```

  keys (Branch c l k v r) = keys l @ k # keys r

```

$\langle proof \rangle$

lemma *entry-in-tree-keys*:

assumes $(k, v) \in set (entries\ t)$

shows $k \in set (keys\ t)$

$\langle proof \rangle$

lemma *keys-entries*:

$k \in set (keys\ t) \longleftrightarrow (\exists v. (k, v) \in set (entries\ t))$

$\langle proof \rangle$

lemma *non-empty-rbt-keys*:

$t \neq rbt.Empty \implies keys\ t \neq []$

$\langle proof \rangle$

108.2.2 Search tree properties

context *ord* **begin**

definition *rbt-less* :: $'a \Rightarrow ('a, 'b) rbt \Rightarrow bool$

where

rbt-less-prop: $rbt-less\ k\ t \longleftrightarrow (\forall x \in set (keys\ t). x < k)$

abbreviation *rbt-less-symbol* (**infix** $|\ll 50$)

where $t |\ll x \equiv rbt-less\ x\ t$

definition *rbt-greater* :: $'a \Rightarrow ('a, 'b) rbt \Rightarrow bool$ (**infix** $\ll| 50$)

where

rbt-greater-prop: $rbt-greater\ k\ t = (\forall x \in set (keys\ t). k < x)$

lemma *rbt-less-simps* [*simp*]:

$Empty |\ll k = True$

$Branch\ c\ lt\ kt\ v\ rt |\ll k \longleftrightarrow kt < k \wedge lt |\ll k \wedge rt |\ll k$

$\langle proof \rangle$

lemma *rbt-greater-simps* [*simp*]:

$k \ll| Empty = True$

$k \ll| (Branch\ c\ lt\ kt\ v\ rt) \longleftrightarrow k < kt \wedge k \ll| lt \wedge k \ll| rt$

$\langle proof \rangle$

lemmas *rbt-ord-props* = *rbt-less-prop* *rbt-greater-prop*

lemmas *rbt-greater-nit* = *rbt-greater-prop* *entry-in-tree-keys*

lemmas *rbt-less-nit* = *rbt-less-prop* *entry-in-tree-keys*

lemma (**in order**)

shows *rbt-less-eq-trans*: $l |\ll u \implies u \leq v \implies l |\ll v$

and *rbt-less-trans*: $t |\ll x \implies x < y \implies t |\ll y$

and *rbt-greater-eq-trans*: $u \leq v \implies v \ll| r \implies u \ll| r$

and *rbt-greater-trans*: $x < y \implies y \ll t \implies x \ll t$
 ⟨*proof*⟩

primrec *rbt-sorted* :: ('a, 'b) rbt \Rightarrow bool

where

rbt-sorted Empty = True

| *rbt-sorted* (Branch c l k v r) = (l \ll k \wedge k \ll r \wedge *rbt-sorted* l \wedge *rbt-sorted* r)

end

context *linorder* **begin**

lemma *rbt-sorted-entries*:

rbt-sorted t \implies List.sorted (map fst (entries t))

⟨*proof*⟩

lemma *distinct-entries*:

rbt-sorted t \implies distinct (map fst (entries t))

⟨*proof*⟩

lemma *distinct-keys*:

rbt-sorted t \implies distinct (keys t)

⟨*proof*⟩

108.2.3 Tree lookup

primrec (in *ord*) *rbt-lookup* :: ('a, 'b) rbt \Rightarrow 'a \rightarrow 'b

where

rbt-lookup Empty k = None

| *rbt-lookup* (Branch - l x y r) k =

(if k < x then *rbt-lookup* l k else if x < k then *rbt-lookup* r k else Some y)

lemma *rbt-lookup-keys*: *rbt-sorted* t \implies dom (*rbt-lookup* t) = set (keys t)

⟨*proof*⟩

lemma *dom-rbt-lookup-Branch*:

rbt-sorted (Branch c t1 k v t2) \implies

dom (*rbt-lookup* (Branch c t1 k v t2))

= Set.insert k (dom (*rbt-lookup* t1) \cup dom (*rbt-lookup* t2))

⟨*proof*⟩

lemma *finite-dom-rbt-lookup* [*simp*, *intro!*]: finite (dom (*rbt-lookup* t))

⟨*proof*⟩

end

context *ord* **begin**

lemma *rbt-lookup-rbt-less*[*simp*]: t \ll k \implies *rbt-lookup* t k = None

<proof>

lemma *rbt-lookup-rbt-greater[simp]*: $k \ll t \implies \text{rbt-lookup } t \ k = \text{None}$
<proof>

lemma *rbt-lookup-Empty*: $\text{rbt-lookup } \text{Empty} = \text{empty}$
<proof>

end

context *linorder* **begin**

lemma *map-of-entries*:
 $\text{rbt-sorted } t \implies \text{map-of } (\text{entries } t) = \text{rbt-lookup } t$
<proof>

lemma *rbt-lookup-in-tree*: $\text{rbt-sorted } t \implies \text{rbt-lookup } t \ k = \text{Some } v \iff (k, v) \in \text{set } (\text{entries } t)$
<proof>

lemma *set-entries-inject*:
assumes *rbt-sorted*: $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$
shows $\text{set } (\text{entries } t1) = \text{set } (\text{entries } t2) \iff \text{entries } t1 = \text{entries } t2$
<proof>

lemma *entries-eqI*:
assumes *rbt-sorted*: $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$
assumes *rbt-lookup*: $\text{rbt-lookup } t1 = \text{rbt-lookup } t2$
shows $\text{entries } t1 = \text{entries } t2$
<proof>

lemma *entries-rbt-lookup*:
assumes *rbt-sorted* $t1 \ \text{rbt-sorted } t2$
shows $\text{entries } t1 = \text{entries } t2 \iff \text{rbt-lookup } t1 = \text{rbt-lookup } t2$
<proof>

lemma *rbt-lookup-from-in-tree*:
assumes *rbt-sorted* $t1 \ \text{rbt-sorted } t2$
and $\bigwedge v. (k, v) \in \text{set } (\text{entries } t1) \iff (k, v) \in \text{set } (\text{entries } t2)$
shows $\text{rbt-lookup } t1 \ k = \text{rbt-lookup } t2 \ k$
<proof>

end

108.2.4 Red-black properties

primrec *color-of* :: $(\text{'a}, \text{'b}) \text{ rbt} \Rightarrow \text{color}$
where
 $\text{color-of } \text{Empty} = B$

| *color-of* (*Branch* *c* - - -) = *c*

primrec *bheight* :: ('a,'b) *rbt* ⇒ *nat*

where

bheight *Empty* = 0

| *bheight* (*Branch* *c* *lt* *k* *v* *rt*) = (if *c* = *B* then *Suc* (*bheight* *lt*) else *bheight* *lt*)

primrec *inv1* :: ('a, 'b) *rbt* ⇒ *bool*

where

inv1 *Empty* = *True*

| *inv1* (*Branch* *c* *lt* *k* *v* *rt*) ⇔ *inv1* *lt* ∧ *inv1* *rt* ∧ (*c* = *B* ∨ *color-of* *lt* = *B* ∧ *color-of* *rt* = *B*)

primrec *inv1l* :: ('a, 'b) *rbt* ⇒ *bool* — Weaker version

where

inv1l *Empty* = *True*

| *inv1l* (*Branch* *c* *l* *k* *v* *r*) = (*inv1* *l* ∧ *inv1* *r*)

lemma [*simp*]: *inv1* *t* ⇒ *inv1l* *t* ⟨*proof*⟩

primrec *inv2* :: ('a, 'b) *rbt* ⇒ *bool*

where

inv2 *Empty* = *True*

| *inv2* (*Branch* *c* *lt* *k* *v* *rt*) = (*inv2* *lt* ∧ *inv2* *rt* ∧ *bheight* *lt* = *bheight* *rt*)

context *ord* **begin**

definition *is-rbt* :: ('a, 'b) *rbt* ⇒ *bool* **where**

is-rbt *t* ⇔ *inv1* *t* ∧ *inv2* *t* ∧ *color-of* *t* = *B* ∧ *rbt-sorted* *t*

lemma *is-rbt-rbt-sorted* [*simp*]:

is-rbt *t* ⇒ *rbt-sorted* *t* ⟨*proof*⟩

theorem *Empty-is-rbt* [*simp*]:

is-rbt *Empty* ⟨*proof*⟩

end

108.3 Insertion

The function definitions are based on the book by Okasaki.

fun

balance :: ('a,'b) *rbt* ⇒ 'a ⇒ 'b ⇒ ('a,'b) *rbt* ⇒ ('a,'b) *rbt*

where

balance (*Branch* *R* *a* *w* *x* *b*) *s* *t* (*Branch* *R* *c* *y* *z* *d*) = *Branch* *R* (*Branch* *B* *a* *w* *x* *b*) *s* *t* (*Branch* *B* *c* *y* *z* *d*) |

balance (*Branch* *R* (*Branch* *R* *a* *w* *x* *b*) *s* *t* *c*) *y* *z* *d* = *Branch* *R* (*Branch* *B* *a* *w* *x* *b*) *s* *t* (*Branch* *B* *c* *y* *z* *d*) |

balance (*Branch* *R* *a* *w* *x* (*Branch* *R* *b* *s* *t* *c*)) *y* *z* *d* = *Branch* *R* (*Branch* *B* *a* *w* *x* *b*) *s* *t* (*Branch* *B* *c* *y* *z* *d*) |

$balance\ a\ w\ x\ (Branch\ R\ b\ s\ t\ (Branch\ R\ c\ y\ z\ d)) = Branch\ R\ (Branch\ B\ a\ w\ x\ b)\ s\ t\ (Branch\ B\ c\ y\ z\ d) \mid$
 $balance\ a\ w\ x\ (Branch\ R\ (Branch\ R\ b\ s\ t\ c)\ y\ z\ d) = Branch\ R\ (Branch\ B\ a\ w\ x\ b)\ s\ t\ (Branch\ B\ c\ y\ z\ d) \mid$
 $balance\ a\ s\ t\ b = Branch\ B\ a\ s\ t\ b$

lemma *balance-inv1*: $\llbracket inv1\ l; inv1\ r \rrbracket \implies inv1\ (balance\ l\ k\ v\ r)$
 ⟨proof⟩

lemma *balance-bheight*: $bheight\ l = bheight\ r \implies bheight\ (balance\ l\ k\ v\ r) = Suc\ (bheight\ l)$
 ⟨proof⟩

lemma *balance-inv2*:
assumes $inv2\ l\ inv2\ r\ bheight\ l = bheight\ r$
shows $inv2\ (balance\ l\ k\ v\ r)$
 ⟨proof⟩

context *ord* **begin**

lemma *balance-rbt-greater[simp]*: $(v \ll\ | balance\ a\ k\ x\ b) = (v \ll\ | a \wedge v \ll\ | b \wedge v < k)$
 ⟨proof⟩

lemma *balance-rbt-less[simp]*: $(balance\ a\ k\ x\ b \ll\ v) = (a \ll\ v \wedge b \ll\ v \wedge k < v)$
 ⟨proof⟩

end

lemma (**in** *linorder*) *balance-rbt-sorted*:
fixes $k :: 'a$
assumes $rbt\ sorted\ l\ rbt\ sorted\ r\ l \ll\ k\ k \ll\ r$
shows $rbt\ sorted\ (balance\ l\ k\ v\ r)$
 ⟨proof⟩

lemma *entries-balance [simp]*:
 $entries\ (balance\ l\ k\ v\ r) = entries\ l\ @\ (k, v)\ \#\ entries\ r$
 ⟨proof⟩

lemma *keys-balance [simp]*:
 $keys\ (balance\ l\ k\ v\ r) = keys\ l\ @\ k\ \#\ keys\ r$
 ⟨proof⟩

lemma *balance-in-tree*:
 $entry\ in\ tree\ k\ x\ (balance\ l\ v\ y\ r) \iff entry\ in\ tree\ k\ x\ l \vee k = v \wedge x = y \vee entry\ in\ tree\ k\ x\ r$
 ⟨proof⟩

lemma (**in** *linorder*) *rbt-lookup-balance[simp]*:

fixes $k :: 'a$
assumes $\text{rbt-sorted } l \text{ rbt-sorted } r \mid k \ll r$
shows $\text{rbt-lookup } (\text{balance } l \ k \ v \ r) \ x = \text{rbt-lookup } (\text{Branch } B \ l \ k \ v \ r) \ x$
 $\langle \text{proof} \rangle$

primrec $\text{paint} :: \text{color} \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$
where

$\text{paint } c \ \text{Empty} = \text{Empty}$
 $\mid \text{paint } c \ (\text{Branch } - \ l \ k \ v \ r) = \text{Branch } c \ l \ k \ v \ r$

lemma $\text{paint-inv1}[\text{simp}]: \text{inv1 } t \Longrightarrow \text{inv1 } (\text{paint } c \ t) \langle \text{proof} \rangle$
lemma $\text{paint-inv1}[\text{simp}]: \text{inv1 } t \Longrightarrow \text{inv1 } (\text{paint } B \ t) \langle \text{proof} \rangle$
lemma $\text{paint-inv2}[\text{simp}]: \text{inv2 } t \Longrightarrow \text{inv2 } (\text{paint } c \ t) \langle \text{proof} \rangle$
lemma $\text{paint-color-of}[\text{simp}]: \text{color-of } (\text{paint } B \ t) = B \langle \text{proof} \rangle$
lemma $\text{paint-in-tree}[\text{simp}]: \text{entry-in-tree } k \ x \ (\text{paint } c \ t) = \text{entry-in-tree } k \ x \ t \langle \text{proof} \rangle$

context ord **begin**

lemma $\text{paint-rbt-sorted}[\text{simp}]: \text{rbt-sorted } t \Longrightarrow \text{rbt-sorted } (\text{paint } c \ t) \langle \text{proof} \rangle$
lemma $\text{paint-rbt-lookup}[\text{simp}]: \text{rbt-lookup } (\text{paint } c \ t) = \text{rbt-lookup } t \langle \text{proof} \rangle$
lemma $\text{paint-rbt-greater}[\text{simp}]: (v \ll \text{paint } c \ t) = (v \ll t) \langle \text{proof} \rangle$
lemma $\text{paint-rbt-less}[\text{simp}]: (\text{paint } c \ t \ll v) = (t \ll v) \langle \text{proof} \rangle$

fun

$\text{rbt-ins} :: ('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$

where

$\text{rbt-ins } f \ k \ v \ \text{Empty} = \text{Branch } R \ \text{Empty } k \ v \ \text{Empty} \mid$
 $\text{rbt-ins } f \ k \ v \ (\text{Branch } B \ l \ x \ y \ r) = (\text{if } k < x \ \text{then } \text{balance } (\text{rbt-ins } f \ k \ v \ l) \ x \ y \ r$
 $\quad \text{else if } k > x \ \text{then } \text{balance } l \ x \ y \ (\text{rbt-ins } f \ k \ v \ r)$
 $\quad \text{else } \text{Branch } B \ l \ x \ (f \ k \ y \ v) \ r) \mid$
 $\text{rbt-ins } f \ k \ v \ (\text{Branch } R \ l \ x \ y \ r) = (\text{if } k < x \ \text{then } \text{Branch } R \ (\text{rbt-ins } f \ k \ v \ l) \ x \ y \ r$
 $\quad \text{else if } k > x \ \text{then } \text{Branch } R \ l \ x \ y \ (\text{rbt-ins } f \ k \ v \ r)$
 $\quad \text{else } \text{Branch } R \ l \ x \ (f \ k \ y \ v) \ r)$

lemma $\text{ins-inv1-inv2}:$

assumes $\text{inv1 } t \ \text{inv2 } t$

shows $\text{inv2 } (\text{rbt-ins } f \ k \ x \ t) \ \text{bheight } (\text{rbt-ins } f \ k \ x \ t) = \text{bheight } t$

$\text{color-of } t = B \Longrightarrow \text{inv1 } (\text{rbt-ins } f \ k \ x \ t) \ \text{inv1 } (\text{rbt-ins } f \ k \ x \ t)$

$\langle \text{proof} \rangle$

end

context linorder **begin**

lemma $\text{ins-rbt-greater}[\text{simp}]: (v \ll \text{rbt-ins } f \ (k :: 'a) \ x \ t) = (v \ll t \wedge k > v)$
 $\langle \text{proof} \rangle$

lemma $\text{ins-rbt-less}[\text{simp}]: (\text{rbt-ins } f \ k \ x \ t \ll v) = (t \ll v \wedge k < v)$
 $\langle \text{proof} \rangle$

lemma $\text{ins-rbt-sorted}[\text{simp}]: \text{rbt-sorted } t \Longrightarrow \text{rbt-sorted } (\text{rbt-ins } f \ k \ x \ t)$

<proof>

lemma *keys-ins*: $set (keys (rbt-ins f k v t)) = \{ k \} \cup set (keys t)$
<proof>

lemma *rbt-lookup-ins*:

fixes $k :: 'a$

assumes *rbt-sorted t*

shows $rbt-lookup (rbt-ins f k v t) x = ((rbt-lookup t)(k \mid\rightarrow case\ rbt-lookup\ t\ k$
of None $\Rightarrow v$

| Some w $\Rightarrow f k w v$) x

<proof>

end

context *ord begin*

definition *rbt-insert-with-key* :: $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) rbt \Rightarrow$
 $('a, 'b) rbt$

where $rbt-insert-with-key\ f\ k\ v\ t = paint\ B\ (rbt-ins\ f\ k\ v\ t)$

definition *rbt-insertw-def*: $rbt-insert-with\ f = rbt-insert-with-key\ (\lambda-. f)$

definition *rbt-insert* :: $'a \Rightarrow 'b \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$ **where**
 $rbt-insert = rbt-insert-with-key\ (\lambda-.\ nv.\ nv)$

end

context *linorder begin*

lemma *rbt-insertwk-rbt-sorted*: $rbt-sorted\ t \Longrightarrow rbt-sorted\ (rbt-insert-with-key\ f\ (k$
 $:: 'a)\ x\ t)$
<proof>

theorem *rbt-insertwk-is-rbt*:

assumes *inv: is-rbt t*

shows *is-rbt (rbt-insert-with-key f k x t)*

<proof>

lemma *rbt-lookup-rbt-insertwk*:

assumes *rbt-sorted t*

shows $rbt-lookup (rbt-insert-with-key f k v t) x = ((rbt-lookup t)(k \mid\rightarrow case\ rbt-lookup\ t\ k$
of None $\Rightarrow v$

| Some w $\Rightarrow f k w v$) x

<proof>

lemma *rbt-insertw-rbt-sorted*: $rbt-sorted\ t \Longrightarrow rbt-sorted\ (rbt-insert-with\ f\ k\ v\ t)$

<proof>

theorem *rbt-insertw-is-rbt*: $is-rbt\ t \Longrightarrow is-rbt\ (rbt-insert-with\ f\ k\ v\ t)$

<proof>

lemma *rbt-lookup-rbt-insertw*:

assumes *is-rbt t*

shows *rbt-lookup (rbt-insert-with f k v t) = (rbt-lookup t)(k ↦ (if k: dom (rbt-lookup t) then f (the (rbt-lookup t k)) v else v))*

<proof>

lemma *rbt-insert-rbt-sorted*: *rbt-sorted t ⇒ rbt-sorted (rbt-insert k v t)*

<proof>

theorem *rbt-insert-is-rbt [simp]*: *is-rbt t ⇒ is-rbt (rbt-insert k v t)*

<proof>

lemma *rbt-lookup-rbt-insert*:

assumes *is-rbt t*

shows *rbt-lookup (rbt-insert k v t) = (rbt-lookup t)(k ↦ v)*

<proof>

end

108.4 Deletion

lemma *bheight-paintR'[simp]*: *color-of t = B ⇒ bheight (paint R t) = bheight t - 1*

<proof>

The function definitions are based on the Haskell code by Stefan Kahrs at <http://www.cs.ukc.ac.uk/people/staff/smk/redblack/rb.html> .

fun

balance-left :: ('a,'b) rbt ⇒ 'a ⇒ 'b ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt

where

balance-left (Branch R a k x b) s y c = Branch R (Branch B a k x b) s y c |

balance-left bl k x (Branch B a s y b) = balance bl k x (Branch R a s y b) |

balance-left bl k x (Branch R (Branch B a s y b) t z c) = Branch R (Branch B bl k x a) s y (balance b t z (paint R c)) |

balance-left t k x s = Empty

lemma *balance-left-inv2-with-inv1*:

assumes *inv2 lt inv2 rt bheight lt + 1 = bheight rt inv1 rt*

shows *bheight (balance-left lt k v rt) = bheight lt + 1*

and *inv2 (balance-left lt k v rt)*

<proof>

lemma *balance-left-inv2-app*:

assumes *inv2 lt inv2 rt bheight lt + 1 = bheight rt color-of rt = B*

shows *inv2 (balance-left lt k v rt)*

bheight (balance-left lt k v rt) = bheight rt

<proof>

lemma *balance-left-inv1*: $\llbracket \text{inv1 } l \text{ a}; \text{inv1 } b; \text{color-of } b = B \rrbracket \implies \text{inv1 } (\text{balance-left } a \text{ k } x \text{ b})$
 ⟨*proof*⟩

lemma *balance-left-inv1l*: $\llbracket \text{inv1 } l \text{ t}; \text{inv1 } r \text{ t} \rrbracket \implies \text{inv1 } (\text{balance-left } l \text{ k } x \text{ r})$
 ⟨*proof*⟩

lemma (in *linorder*) *balance-left-rbt-sorted*:
 $\llbracket \text{rbt-sorted } l; \text{rbt-sorted } r; \text{rbt-less } k \text{ l}; k \ll | r \rrbracket \implies \text{rbt-sorted } (\text{balance-left } l \text{ k } v \text{ r})$
 ⟨*proof*⟩

context *order begin*

lemma *balance-left-rbt-greater*:
 fixes $k :: 'a$
 assumes $k \ll | a \text{ k} \ll | b \text{ k} < x$
 shows $k \ll | \text{balance-left } a \text{ x } t \text{ b}$
 ⟨*proof*⟩

lemma *balance-left-rbt-less*:
 fixes $k :: 'a$
 assumes $a \ll k \text{ b} \ll k \text{ x} < k$
 shows $\text{balance-left } a \text{ x } t \text{ b} \ll k$
 ⟨*proof*⟩

end

lemma *balance-left-in-tree*:
 assumes $\text{inv1 } l \text{ inv1 } r \text{ bheight } l + 1 = \text{bheight } r$
 shows $\text{entry-in-tree } k \text{ v } (\text{balance-left } l \text{ a } b \text{ r}) = (\text{entry-in-tree } k \text{ v } l \vee k = a \wedge v = b \vee \text{entry-in-tree } k \text{ v } r)$
 ⟨*proof*⟩

fun

balance-right :: $('a, 'b) \text{ rbt} \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$

where

balance-right $a \text{ k } x \text{ (Branch } R \text{ b } s \text{ y } c) = \text{Branch } R \text{ a } k \text{ x (Branch } B \text{ b } s \text{ y } c) |$
balance-right $(\text{Branch } B \text{ a } k \text{ x } b) \text{ s } y \text{ bl} = \text{balance } (\text{Branch } R \text{ a } k \text{ x } b) \text{ s } y \text{ bl} |$
balance-right $(\text{Branch } R \text{ a } k \text{ x (Branch } B \text{ b } s \text{ y } c)) \text{ t } z \text{ bl} = \text{Branch } R \text{ (balance } (\text{paint } R \text{ a } k \text{ x } b) \text{ s } y \text{ (Branch } B \text{ c } t \text{ z } bl) |$
balance-right $t \text{ k } x \text{ s} = \text{Empty}$

lemma *balance-right-inv2-with-inv1*:

assumes $\text{inv2 } l \text{ inv2 } r \text{ bheight } l \text{ t} = \text{bheight } r \text{ t} + 1 \text{ inv1 } l \text{ t}$
 shows $\text{inv2 } (\text{balance-right } l \text{ k } v \text{ r}) \wedge \text{bheight } (\text{balance-right } l \text{ k } v \text{ r}) = \text{bheight } l \text{ t}$
 ⟨*proof*⟩

lemma *balance-right-inv1*: $\llbracket \text{inv1 } a; \text{inv1l } b; \text{color-of } a = B \rrbracket \implies \text{inv1 } (\text{balance-right } a \ k \ x \ b)$
 <proof>

lemma *balance-right-inv1l*: $\llbracket \text{inv1 } lt; \text{inv1l } rt \rrbracket \implies \text{inv1l } (\text{balance-right } lt \ k \ x \ rt)$
 <proof>

lemma (in *linorder*) *balance-right-rbt-sorted*:
 $\llbracket \text{rbt-sorted } l; \text{rbt-sorted } r; \text{rbt-less } k \ l; k \ll r \rrbracket \implies \text{rbt-sorted } (\text{balance-right } l \ k \ v \ r)$
 <proof>

context *order begin*

lemma *balance-right-rbt-greater*:
 fixes $k :: 'a$
 assumes $k \ll a \ k \ll b \ k < x$
 shows $k \ll \text{balance-right } a \ x \ t \ b$
 <proof>

lemma *balance-right-rbt-less*:
 fixes $k :: 'a$
 assumes $a \ll k \ b \ll k \ x < k$
 shows $\text{balance-right } a \ x \ t \ b \ll k$
 <proof>

end

lemma *balance-right-in-tree*:
 assumes $\text{inv1 } l \ \text{inv1l } r \ \text{bheight } l = \text{bheight } r + 1 \ \text{inv2 } l \ \text{inv2 } r$
 shows $\text{entry-in-tree } x \ y \ (\text{balance-right } l \ k \ v \ r) = (\text{entry-in-tree } x \ y \ l \vee x = k \wedge y = v \vee \text{entry-in-tree } x \ y \ r)$
 <proof>

fun

combine :: $('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$

where

combine *Empty* $x = x$
 | *combine* $x \ \text{Empty} = x$
 | *combine* $(\text{Branch } R \ a \ k \ x \ b) \ (\text{Branch } R \ c \ s \ y \ d) = (\text{case } (\text{combine } b \ c) \ \text{of}$
 $\text{Branch } R \ b2 \ t \ z \ c2 \Rightarrow (\text{Branch } R \ (\text{Branch } R \ a \ k \ x$
 $b2) \ t \ z \ (\text{Branch } R \ c2 \ s \ y \ d)) \ |$
 $bc \Rightarrow \text{Branch } R \ a \ k \ x \ (\text{Branch } R \ bc \ s \ y \ d))$
 | *combine* $(\text{Branch } B \ a \ k \ x \ b) \ (\text{Branch } B \ c \ s \ y \ d) = (\text{case } (\text{combine } b \ c) \ \text{of}$
 $\text{Branch } R \ b2 \ t \ z \ c2 \Rightarrow \text{Branch } R \ (\text{Branch } B \ a \ k \ x \ b2)$
 $t \ z \ (\text{Branch } B \ c2 \ s \ y \ d) \ |$
 $bc \Rightarrow \text{balance-left } a \ k \ x \ (\text{Branch } B \ bc \ s \ y \ d))$
 | *combine* $a \ (\text{Branch } R \ b \ k \ x \ c) = \text{Branch } R \ (\text{combine } a \ b) \ k \ x \ c$
 | *combine* $(\text{Branch } R \ a \ k \ x \ b) \ c = \text{Branch } R \ a \ k \ x \ (\text{combine } b \ c)$

lemma *combine-inv2*:

assumes *inv2 lt inv2 rt bheight lt = bheight rt*

shows *bheight (combine lt rt) = bheight lt inv2 (combine lt rt)*

<proof>

lemma *combine-inv1*:

assumes *inv1 lt inv1 rt*

shows *color-of lt = B \implies color-of rt = B \implies inv1 (combine lt rt)*

inv1l (combine lt rt)

<proof>

context *linorder* **begin**

lemma *combine-rbt-greater[simp]*:

fixes *k :: 'a*

assumes *k \ll l k \ll r*

shows *k \ll combine l r*

<proof>

lemma *combine-rbt-less[simp]*:

fixes *k :: 'a*

assumes *l $|<$ k r $|<$ k*

shows *combine l r $|<$ k*

<proof>

lemma *combine-rbt-sorted*:

fixes *k :: 'a*

assumes *rbt-sorted l rbt-sorted r l $|<$ k k \ll r*

shows *rbt-sorted (combine l r)*

<proof>

end

lemma *combine-in-tree*:

assumes *inv2 l inv2 r bheight l = bheight r inv1 l inv1 r*

shows *entry-in-tree k v (combine l r) = (entry-in-tree k v l \vee entry-in-tree k v r)*

<proof>

context *ord* **begin**

fun

rbt-del-from-left :: *'a \Rightarrow ('a,'b) rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a,'b) rbt \Rightarrow ('a,'b) rbt* **and**

rbt-del-from-right :: *'a \Rightarrow ('a,'b) rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a,'b) rbt \Rightarrow ('a,'b) rbt* **and**

rbt-del :: *'a \Rightarrow ('a,'b) rbt \Rightarrow ('a,'b) rbt*

where

rbt-del x Empty = Empty |

rbt-del x (Branch c a y s b) =

(if $x < y$ then *rbt-del-from-left* x a y s b
 else (if $x > y$ then *rbt-del-from-right* x a y s b else combine a b)) |
rbt-del-from-left x (Branch B lt z v rt) y s b = *balance-left* (*rbt-del* x (Branch B
 lt z v rt)) y s b |
rbt-del-from-left x a y s b = Branch R (*rbt-del* x a) y s b |
rbt-del-from-right x a y s (Branch B lt z v rt) = *balance-right* a y s (*rbt-del* x
 (Branch B lt z v rt)) |
rbt-del-from-right x a y s b = Branch R a y s (*rbt-del* x b)

end

context *linorder* **begin**

lemma

assumes $inv2$ lt $inv1$ lt

shows

$\llbracket inv2$ rt ; $bheight$ lt = $bheight$ rt ; $inv1$ rt $\rrbracket \implies$

$inv2$ (*rbt-del-from-left* x lt k v rt) \wedge

$bheight$ (*rbt-del-from-left* x lt k v rt) = $bheight$ lt \wedge

($color-of$ lt = B \wedge $color-of$ rt = B \wedge $inv1$ (*rbt-del-from-left* x lt k v rt)) \vee

($color-of$ lt \neq B \vee $color-of$ rt \neq B) \wedge $inv1l$ (*rbt-del-from-left* x lt k v rt))

and $\llbracket inv2$ rt ; $bheight$ lt = $bheight$ rt ; $inv1$ rt $\rrbracket \implies$

$inv2$ (*rbt-del-from-right* x lt k v rt) \wedge

$bheight$ (*rbt-del-from-right* x lt k v rt) = $bheight$ lt \wedge

($color-of$ lt = B \wedge $color-of$ rt = B \wedge $inv1$ (*rbt-del-from-right* x lt k v rt)) \vee

($color-of$ lt \neq B \vee $color-of$ rt \neq B) \wedge $inv1l$ (*rbt-del-from-right* x lt k v rt))

and *rbt-del-inv1-inv2*: $inv2$ (*rbt-del* x lt) \wedge ($color-of$ lt = R \wedge $bheight$ (*rbt-del* x lt)) = $bheight$ lt \wedge $inv1$ (*rbt-del* x lt)

\vee $color-of$ lt = B \wedge $bheight$ (*rbt-del* x lt) = $bheight$ lt - 1 \wedge $inv1l$ (*rbt-del* x lt))

<proof>

lemma

rbt-del-from-left-rbt-less: $\llbracket lt \ll v$; $rt \ll v$; $k < v$ $\rrbracket \implies$ *rbt-del-from-left* x lt k y $rt \ll v$

and *rbt-del-from-right-rbt-less*: $\llbracket lt \ll v$; $rt \ll v$; $k < v$ $\rrbracket \implies$ *rbt-del-from-right* x lt k y $rt \ll v$

and *rbt-del-rbt-less*: $lt \ll v \implies$ *rbt-del* x $lt \ll v$

<proof>

lemma *rbt-del-from-left-rbt-greater*: $\llbracket v \ll lt$; $v \ll rt$; $k > v$ $\rrbracket \implies$ $v \ll$ *rbt-del-from-left* x lt k y rt

and *rbt-del-from-right-rbt-greater*: $\llbracket v \ll lt$; $v \ll rt$; $k > v$ $\rrbracket \implies$ $v \ll$ *rbt-del-from-right* x lt k y rt

and *rbt-del-rbt-greater*: $v \ll lt \implies$ $v \ll$ *rbt-del* x lt

<proof>

lemma $\llbracket rbt-sorted$ lt ; $rbt-sorted$ rt ; $lt \ll k$; $k \ll rt$ $\rrbracket \implies$ *rbt-sorted* (*rbt-del-from-left* x lt k y rt)

and $\llbracket rbt-sorted$ lt ; $rbt-sorted$ rt ; $lt \ll k$; $k \ll rt$ $\rrbracket \implies$ *rbt-sorted* (*rbt-del-from-right* x lt k y rt)

$x \text{ lt } k \text{ y } \text{ rt}$)

and $\text{rbt-del-rbt-sorted}$: $\text{rbt-sorted } \text{lt} \implies \text{rbt-sorted } (\text{rbt-del } x \text{ lt})$

$\langle \text{proof} \rangle$

lemma $\llbracket \text{rbt-sorted } \text{lt}; \text{rbt-sorted } \text{rt}; \text{lt} \mid \ll \text{kt}; \text{kt} \ll \mid \text{rt}; \text{inv1 } \text{lt}; \text{inv1 } \text{rt}; \text{inv2 } \text{lt}; \text{inv2 } \text{rt}; \text{bheight } \text{lt} = \text{bheight } \text{rt}; x < \text{kt} \rrbracket \implies \text{entry-in-tree } k \text{ v } (\text{rbt-del-from-left } x \text{ lt } \text{kt } \text{y } \text{rt}) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ v } (\text{Branch } c \text{ lt } \text{kt } \text{y } \text{rt})))$

and $\llbracket \text{rbt-sorted } \text{lt}; \text{rbt-sorted } \text{rt}; \text{lt} \mid \ll \text{kt}; \text{kt} \ll \mid \text{rt}; \text{inv1 } \text{lt}; \text{inv1 } \text{rt}; \text{inv2 } \text{lt}; \text{inv2 } \text{rt}; \text{bheight } \text{lt} = \text{bheight } \text{rt}; x > \text{kt} \rrbracket \implies \text{entry-in-tree } k \text{ v } (\text{rbt-del-from-right } x \text{ lt } \text{kt } \text{y } \text{rt}) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ v } (\text{Branch } c \text{ lt } \text{kt } \text{y } \text{rt})))$

and rbt-del-in-tree : $\llbracket \text{rbt-sorted } t; \text{inv1 } t; \text{inv2 } t \rrbracket \implies \text{entry-in-tree } k \text{ v } (\text{rbt-del } x \text{ t}) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ v } t))$

$\langle \text{proof} \rangle$

definition (in *ord*) rbt-delete **where**

$\text{rbt-delete } k \text{ t} = \text{paint } B \text{ (rbt-del } k \text{ t)}$

theorem rbt-delete-is-rbt [*simp*]: **assumes** $\text{is-rbt } t$ **shows** $\text{is-rbt } (\text{rbt-delete } k \text{ t})$

$\langle \text{proof} \rangle$

lemma $\text{rbt-delete-in-tree}$:

assumes $\text{is-rbt } t$

shows $\text{entry-in-tree } k \text{ v } (\text{rbt-delete } x \text{ t}) = (x \neq k \wedge \text{entry-in-tree } k \text{ v } t)$

$\langle \text{proof} \rangle$

lemma $\text{rbt-lookup-rbt-delete}$:

assumes is-rbt : $\text{is-rbt } t$

shows $\text{rbt-lookup } (\text{rbt-delete } k \text{ t}) = (\text{rbt-lookup } t) \setminus \{-\{k\}\}$

$\langle \text{proof} \rangle$

end

108.5 Modifying existing entries

context *ord* **begin**

primrec

$\text{rbt-map-entry} :: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$

where

$\text{rbt-map-entry } k \text{ f } \text{Empty} = \text{Empty}$

$\mid \text{rbt-map-entry } k \text{ f } (\text{Branch } c \text{ lt } x \text{ v } \text{rt}) =$

$(\text{if } k < x \text{ then } \text{Branch } c \text{ (rbt-map-entry } k \text{ f } \text{lt}) } x \text{ v } \text{rt}$

$\text{else if } k > x \text{ then } \text{Branch } c \text{ lt } x \text{ v } (\text{rbt-map-entry } k \text{ f } \text{rt}))$

$\text{else } \text{Branch } c \text{ lt } x \text{ (f v) } \text{rt})$

lemma $\text{rbt-map-entry-color-of}$: $\text{color-of } (\text{rbt-map-entry } k \text{ f } t) = \text{color-of } t$ $\langle \text{proof} \rangle$

lemma $\text{rbt-map-entry-inv1}$: $\text{inv1 } (\text{rbt-map-entry } k \text{ f } t) = \text{inv1 } t$ $\langle \text{proof} \rangle$

lemma $\text{rbt-map-entry-inv2}$: $\text{inv2 } (\text{rbt-map-entry } k \text{ f } t) = \text{inv2 } t$ $\text{bheight } (\text{rbt-map-entry}$

$k f t) = \text{bheight } t \langle \text{proof} \rangle$

lemma *rbt-map-entry-rbt-greater*: $\text{rbt-greater } a (\text{rbt-map-entry } k f t) = \text{rbt-greater } a t \langle \text{proof} \rangle$

lemma *rbt-map-entry-rbt-less*: $\text{rbt-less } a (\text{rbt-map-entry } k f t) = \text{rbt-less } a t \langle \text{proof} \rangle$

lemma *rbt-map-entry-rbt-sorted*: $\text{rbt-sorted } (\text{rbt-map-entry } k f t) = \text{rbt-sorted } t \langle \text{proof} \rangle$

theorem *rbt-map-entry-is-rbt [simp]*: $\text{is-rbt } (\text{rbt-map-entry } k f t) = \text{is-rbt } t \langle \text{proof} \rangle$

end

theorem (in *linorder*) *rbt-lookup-rbt-map-entry*:

$\text{rbt-lookup } (\text{rbt-map-entry } k f t) = (\text{rbt-lookup } t)(k := \text{map-option } f (\text{rbt-lookup } t k)) \langle \text{proof} \rangle$

108.6 Mapping all entries

primrec

$\text{map} :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'c) \text{rbt}$

where

$\text{map } f \text{ Empty} = \text{Empty}$

$| \text{map } f (\text{Branch } c \text{ lt } k \text{ v } \text{rt}) = \text{Branch } c (\text{map } f \text{ lt}) k (f k v) (\text{map } f \text{ rt})$

lemma *map-entries [simp]*: $\text{entries } (\text{map } f t) = \text{List.map } (\lambda(k, v). (k, f k v)) (\text{entries } t) \langle \text{proof} \rangle$

lemma *map-keys [simp]*: $\text{keys } (\text{map } f t) = \text{keys } t \langle \text{proof} \rangle$

lemma *map-color-of*: $\text{color-of } (\text{map } f t) = \text{color-of } t \langle \text{proof} \rangle$

lemma *map-inv1*: $\text{inv1 } (\text{map } f t) = \text{inv1 } t \langle \text{proof} \rangle$

lemma *map-inv2*: $\text{inv2 } (\text{map } f t) = \text{inv2 } t \text{ bheight } (\text{map } f t) = \text{bheight } t \langle \text{proof} \rangle$

context *ord* **begin**

lemma *map-rbt-greater*: $\text{rbt-greater } k (\text{map } f t) = \text{rbt-greater } k t \langle \text{proof} \rangle$

lemma *map-rbt-less*: $\text{rbt-less } k (\text{map } f t) = \text{rbt-less } k t \langle \text{proof} \rangle$

lemma *map-rbt-sorted*: $\text{rbt-sorted } (\text{map } f t) = \text{rbt-sorted } t \langle \text{proof} \rangle$

theorem *map-is-rbt [simp]*: $\text{is-rbt } (\text{map } f t) = \text{is-rbt } t \langle \text{proof} \rangle$

end

theorem (in *linorder*) *rbt-lookup-map*: $\text{rbt-lookup } (\text{map } f t) x = \text{map-option } (f x) (\text{rbt-lookup } t x) \langle \text{proof} \rangle$

hide-const (open) *map*

108.7 Folding over entries

definition $fold :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) rbt \Rightarrow 'c \Rightarrow 'c$ **where**
 $fold\ f\ t = List.fold\ (case\text{-}prod\ f)\ (entries\ t)$

lemma $fold\text{-}simps$ [*simp*]:

$fold\ f\ Empty = id$

$fold\ f\ (Branch\ c\ lt\ k\ v\ rt) = fold\ f\ rt \circ f\ k\ v \circ fold\ f\ lt$

$\langle proof \rangle$

lemma $fold\text{-}code$ [*code*]:

$fold\ f\ Empty\ x = x$

$fold\ f\ (Branch\ c\ lt\ k\ v\ rt)\ x = fold\ f\ rt\ (f\ k\ v\ (fold\ f\ lt\ x))$

$\langle proof \rangle$

fun $foldi :: ('c \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a :: linorder, 'b) rbt \Rightarrow 'c \Rightarrow 'c$

where

$foldi\ c\ f\ Empty\ s = s \mid$

$foldi\ c\ f\ (Branch\ col\ l\ k\ v\ r)\ s = ($

$if\ (c\ s)\ then$

$let\ s' = foldi\ c\ f\ l\ s\ in$

$if\ (c\ s')\ then$

$foldi\ c\ f\ r\ (f\ k\ v\ s')$

$else\ s'$

$else$

s

$)$

108.8 Bulkloading a tree

definition (**in** ord) $rbt\text{-}bulkload :: ('a \times 'b) list \Rightarrow ('a, 'b) rbt$ **where**
 $rbt\text{-}bulkload\ xs = foldr\ (\lambda(k, v). rbt\text{-}insert\ k\ v)\ xs\ Empty$

context $linorder$ **begin**

lemma $rbt\text{-}bulkload\text{-}is\text{-}rbt$ [*simp*, *intro*]:

$is\text{-}rbt\ (rbt\text{-}bulkload\ xs)$

$\langle proof \rangle$

lemma $rbt\text{-}lookup\text{-}rbt\text{-}bulkload$:

$rbt\text{-}lookup\ (rbt\text{-}bulkload\ xs) = map\text{-}of\ xs$

$\langle proof \rangle$

end

108.9 Building a RBT from a sorted list

These functions have been adapted from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

fun *rbtreeify-f* :: *nat* \Rightarrow (*'a* \times *'b*) *list* \Rightarrow (*'a*, *'b*) *rbt* \times (*'a* \times *'b*) *list*
and *rbtreeify-g* :: *nat* \Rightarrow (*'a* \times *'b*) *list* \Rightarrow (*'a*, *'b*) *rbt* \times (*'a* \times *'b*) *list*
where

rbtreeify-f *n kvs* =
 (if *n* = 0 then (*Empty*, *kvs*)
 else if *n* = 1 then
 case *kvs* of (*k*, *v*) # *kvs'* \Rightarrow (*Branch R Empty k v Empty*, *kvs'*)
 else if (*n* mod 2 = 0) then
 case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)
 else case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-f* (*n* div 2) *kvs'*)

| *rbtreeify-g* *n kvs* =
 (if *n* = 0 \vee *n* = 1 then (*Empty*, *kvs*)
 else if *n* mod 2 = 0 then
 case *rbtreeify-g* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)
 else case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)

definition *rbtreeify* :: (*'a* \times *'b*) *list* \Rightarrow (*'a*, *'b*) *rbt*
where *rbtreeify* *kvs* = *fst* (*rbtreeify-g* (*Suc* (*length* *kvs*)) *kvs*)

declare *rbtreeify-f.simps* [*simp del*] *rbtreeify-g.simps* [*simp del*]

lemma *rbtreeify-f-code* [*code*]:

rbtreeify-f *n kvs* =
 (if *n* = 0 then (*Empty*, *kvs*)
 else if *n* = 1 then
 case *kvs* of (*k*, *v*) # *kvs'* \Rightarrow
 (*Branch R Empty k v Empty*, *kvs'*)
 else let (*n'*, *r*) = *Divides.divmod-nat* *n* 2 in
 if *r* = 0 then
 case *rbtreeify-f* *n'* *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-g* *n'* *kvs'*)
 else case *rbtreeify-f* *n'* *kvs* of (*t1*, (*k*, *v*) # *kvs'*) \Rightarrow
 apfst (*Branch B t1 k v*) (*rbtreeify-f* *n'* *kvs'*)

<proof>

lemma *rbtreeify-g-code* [*code*]:

rbtreeify-g *n kvs* =
 (if *n* = 0 \vee *n* = 1 then (*Empty*, *kvs*)
 else let (*n'*, *r*) = *Divides.divmod-nat* *n* 2 in
 if *r* = 0 then

$\text{case } \text{rbtreeify-g } n' \text{ kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n' \ \text{kvs}')$
 $\text{else case } \text{rbtreeify-f } n' \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n' \ \text{kvs}')$
 ⟨proof⟩

lemma *Suc-double-half*: $\text{Suc } (2 * n) \ \text{div } 2 = n$
 ⟨proof⟩

lemma *div2-plus-div2*: $n \ \text{div } 2 + n \ \text{div } 2 = (n :: \text{nat}) - n \ \text{mod } 2$
 ⟨proof⟩

lemma *rbtreeify-f-rec-aux-lemma*:
 $\llbracket k - n \ \text{div } 2 = \text{Suc } k'; n \leq k; n \ \text{mod } 2 = \text{Suc } 0 \rrbracket$
 $\implies k' - n \ \text{div } 2 = k - n$
 ⟨proof⟩

lemma *rbtreeify-f-simps*:
 $\text{rbtreeify-f } 0 \ \text{kvs} = (\text{Empty}, \ \text{kvs})$
 $\text{rbtreeify-f } (\text{Suc } 0) \ ((k, v) \# \text{kvs}) =$
 $(\text{Branch } R \ \text{Empty } k \ v \ \text{Empty}, \ \text{kvs})$
 $0 < n \implies \text{rbtreeify-f } (2 * n) \ \text{kvs} =$
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$
 $0 < n \implies \text{rbtreeify-f } (\text{Suc } (2 * n)) \ \text{kvs} =$
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-f } n \ \text{kvs}'))$
 ⟨proof⟩

lemma *rbtreeify-g-simps*:
 $\text{rbtreeify-g } 0 \ \text{kvs} = (\text{Empty}, \ \text{kvs})$
 $\text{rbtreeify-g } (\text{Suc } 0) \ \text{kvs} = (\text{Empty}, \ \text{kvs})$
 $0 < n \implies \text{rbtreeify-g } (2 * n) \ \text{kvs} =$
 $(\text{case } \text{rbtreeify-g } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$
 $0 < n \implies \text{rbtreeify-g } (\text{Suc } (2 * n)) \ \text{kvs} =$
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$
 ⟨proof⟩

declare *rbtreeify-f-simps*[simp] *rbtreeify-g-simps*[simp]

lemma *length-rbtreeify-f*: $n \leq \text{length } \text{kvs}$
 $\implies \text{length } (\text{snd } (\text{rbtreeify-f } n \ \text{kvs})) = \text{length } \text{kvs} - n$
and *length-rbtreeify-g*: $\llbracket 0 < n; n \leq \text{Suc } (\text{length } \text{kvs}) \rrbracket$
 $\implies \text{length } (\text{snd } (\text{rbtreeify-g } n \ \text{kvs})) = \text{Suc } (\text{length } \text{kvs}) - n$
 ⟨proof⟩

lemma *rbtreeify-induct* [consumes 1, case-names f-0 f-1 f-even f-odd g-0 g-1 g-even

g-odd]:

```

fixes P Q
defines f0 == (∧kvs. P 0 kvs)
and f1 == (∧k v kvs. P (Suc 0) ((k, v) # kvs))
and feven ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs'); n ≤ Suc (length kvs'); Q n kvs' ])
    ⇒ P (2 * n) kvs)
and fodd ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs'); n ≤ length kvs'; P n kvs' ])
    ⇒ P (Suc (2 * n)) kvs)
and g0 == (∧kvs. Q 0 kvs)
and g1 == (∧kvs. Q (Suc 0) kvs)
and geven ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ Suc (length kvs); Q n kvs;
    rbtreeify-g n kvs = (t, (k, v) # kvs'); n ≤ Suc (length kvs'); Q n kvs' ])
    ⇒ Q (2 * n) kvs)
and godd ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs'); n ≤ Suc (length kvs'); Q n kvs' ])
    ⇒ Q (Suc (2 * n)) kvs)
shows [| n ≤ length kvs;
  PROP f0; PROP f1; PROP feven; PROP fodd;
  PROP g0; PROP g1; PROP geven; PROP godd ]
  ⇒ P n kvs
and [| n ≤ Suc (length kvs);
  PROP f0; PROP f1; PROP feven; PROP fodd;
  PROP g0; PROP g1; PROP geven; PROP godd ]
  ⇒ Q n kvs
⟨proof⟩

```

```

lemma inv1-rbtreeify-f: n ≤ length kvs
  ⇒ inv1 (fst (rbtreeify-f n kvs))
and inv1-rbtreeify-g: n ≤ Suc (length kvs)
  ⇒ inv1 (fst (rbtreeify-g n kvs))
⟨proof⟩

```

```

fun plog2 :: nat ⇒ nat
where plog2 n = (if n ≤ 1 then 0 else plog2 (n div 2) + 1)

```

```

declare plog2.simps [simp del]

```

```

lemma plog2-simps [simp]:
  plog2 0 = 0 plog2 (Suc 0) = 0
  0 < n ⇒ plog2 (2 * n) = 1 + plog2 n
  0 < n ⇒ plog2 (Suc (2 * n)) = 1 + plog2 n
⟨proof⟩

```

lemma *bheight-rbtreeify-f*: $n \leq \text{length } kvs$
 $\implies \text{bheight } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{plog2 } n$
and *bheight-rbtreeify-g*: $n \leq \text{Suc } (\text{length } kvs)$
 $\implies \text{bheight } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{plog2 } n$
 ⟨proof⟩

lemma *bheight-rbtreeify-f-eq-plog2I*:
 $\llbracket \text{rbtreeify-f } n \text{ } kvs = (t, kvs^{\wedge}); n \leq \text{length } kvs \rrbracket$
 $\implies \text{bheight } t = \text{plog2 } n$
 ⟨proof⟩

lemma *bheight-rbtreeify-g-eq-plog2I*:
 $\llbracket \text{rbtreeify-g } n \text{ } kvs = (t, kvs^{\wedge}); n \leq \text{Suc } (\text{length } kvs) \rrbracket$
 $\implies \text{bheight } t = \text{plog2 } n$
 ⟨proof⟩

hide-const (**open**) *plog2*

lemma *inv2-rbtreeify-f*: $n \leq \text{length } kvs$
 $\implies \text{inv2 } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))$
and *inv2-rbtreeify-g*: $n \leq \text{Suc } (\text{length } kvs)$
 $\implies \text{inv2 } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))$
 ⟨proof⟩

lemma $n \leq \text{length } kvs \implies \text{True}$
and *color-of-rbtreeify-g*:
 $\llbracket n \leq \text{Suc } (\text{length } kvs); 0 < n \rrbracket$
 $\implies \text{color-of } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = B$
 ⟨proof⟩

lemma *entries-rbtreeify-f-append*:
 $n \leq \text{length } kvs$
 $\implies \text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) @ \text{snd } (\text{rbtreeify-f } n \text{ } kvs) = kvs$
and *entries-rbtreeify-g-append*:
 $n \leq \text{Suc } (\text{length } kvs)$
 $\implies \text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) @ \text{snd } (\text{rbtreeify-g } n \text{ } kvs) = kvs$
 ⟨proof⟩

lemma *length-entries-rbtreeify-f*:
 $n \leq \text{length } kvs \implies \text{length } (\text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))) = n$
and *length-entries-rbtreeify-g*:
 $n \leq \text{Suc } (\text{length } kvs) \implies \text{length } (\text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))) = n - 1$
 ⟨proof⟩

lemma *rbtreeify-f-conv-drop*:
 $n \leq \text{length } kvs \implies \text{snd } (\text{rbtreeify-f } n \text{ } kvs) = \text{drop } n \text{ } kvs$
 ⟨proof⟩

lemma *rbtreeify-g-conv-drop*:

$n \leq \text{Suc } (\text{length } kvs) \implies \text{snd } (\text{rbtreeify-g } n \text{ } kvs) = \text{drop } (n - 1) \text{ } kvs$
 ⟨proof⟩

lemma *entries-rbtreeify-f* [simp]:

$n \leq \text{length } kvs \implies \text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } kvs$
 ⟨proof⟩

lemma *entries-rbtreeify-g* [simp]:

$n \leq \text{Suc } (\text{length } kvs) \implies$
 $\text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } kvs$
 ⟨proof⟩

lemma *keys-rbtreeify-f* [simp]: $n \leq \text{length } kvs$

$\implies \text{keys } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } (\text{map } \text{fst } kvs)$
 ⟨proof⟩

lemma *keys-rbtreeify-g* [simp]: $n \leq \text{Suc } (\text{length } kvs)$

$\implies \text{keys } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } (\text{map } \text{fst } kvs)$
 ⟨proof⟩

lemma *rbtreeify-fD*:

$\llbracket \text{rbtreeify-f } n \text{ } kvs = (t, kvs'); n \leq \text{length } kvs \rrbracket$
 $\implies \text{entries } t = \text{take } n \text{ } kvs \wedge kvs' = \text{drop } n \text{ } kvs$
 ⟨proof⟩

lemma *rbtreeify-gD*:

$\llbracket \text{rbtreeify-g } n \text{ } kvs = (t, kvs'); n \leq \text{Suc } (\text{length } kvs) \rrbracket$
 $\implies \text{entries } t = \text{take } (n - 1) \text{ } kvs \wedge kvs' = \text{drop } (n - 1) \text{ } kvs$
 ⟨proof⟩

lemma *entries-rbtreeify* [simp]: $\text{entries } (\text{rbtreeify } kvs) = kvs$

⟨proof⟩

context *linorder* **begin**

lemma *rbt-sorted-rbtreeify-f*:

$\llbracket n \leq \text{length } kvs; \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$
 $\implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))$

and *rbt-sorted-rbtreeify-g*:

$\llbracket n \leq \text{Suc } (\text{length } kvs); \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$
 $\implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))$

⟨proof⟩

lemma *rbt-sorted-rbtreeify*:

$\llbracket \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket \implies \text{rbt-sorted } (\text{rbtreeify } kvs)$
 ⟨proof⟩

lemma *is-rbt-rbtreeify*:

$\llbracket \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$

\implies *is-rbt* (*rbtreeify* *kvs*)
 ⟨*proof*⟩

lemma *rbt-lookup-rbtreeify*:

[[*sorted* (*map fst kvs*); *distinct* (*map fst kvs*)]] \implies
rbt-lookup (*rbtreeify kvs*) = *map-of kvs*
 ⟨*proof*⟩

end

Functions to compare the height of two rbt trees, taken from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

fun *skip-red* :: ('a, 'b) rbt \Rightarrow ('a, 'b) rbt
where
skip-red (*Branch color.R l k v r*) = *l*
 | *skip-red t* = *t*

definition *skip-black* :: ('a, 'b) rbt \Rightarrow ('a, 'b) rbt

where
skip-black t = (*let t' = skip-red t in case t' of Branch color.B l k v r \Rightarrow l | - \Rightarrow t'*)

datatype *compare* = *LT* | *GT* | *EQ*

partial-function (*tailrec*) *compare-height* :: ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow *compare*

where

compare-height *sx s t tx* =
 (*case* (*skip-red sx*, *skip-red s*, *skip-red t*, *skip-red tx*) *of*
 (*Branch - sx' - - -*, *Branch - s' - - -*, *Branch - t' - - -*, *Branch - tx' - - -*) \Rightarrow
compare-height (*skip-black sx'*) *s' t' (skip-black tx')*
 | (*-*, *rbt.Empty*, *-*, *Branch - - - -*) \Rightarrow *LT*
 | (*Branch - - - -*, *-*, *rbt.Empty*, *-*) \Rightarrow *GT*
 | (*Branch - sx' - - -*, *Branch - s' - - -*, *Branch - t' - - -*, *rbt.Empty*) \Rightarrow
compare-height (*skip-black sx'*) *s' t' rbt.Empty*
 | (*rbt.Empty*, *Branch - s' - - -*, *Branch - t' - - -*, *Branch - tx' - - -*) \Rightarrow
compare-height *rbt.Empty s' t' (skip-black tx')*
 | *-* \Rightarrow *EQ*)

declare *compare-height.simps* [*code*]

hide-type (**open**) *compare*

hide-const (**open**)

compare-height skip-black skip-red LT GT EQ case-compare rec-compare
Abs-compare Rep-compare

hide-fact (**open**)

Abs-compare-cases Abs-compare-induct Abs-compare-inject Abs-compare-inverse
Rep-compare Rep-compare-cases Rep-compare-induct Rep-compare-inject Rep-compare-inverse
compare.simps compare.exhaust compare.induct compare.rec compare.simps

compare.size compare.case-cong compare.case-cong-weak compare.case
compare.nchotomy compare.split compare.split-asm compare.eq.refl compare.eq.simps
equal-compare-def
skip-red.simps skip-red.cases skip-red.induct
skip-black-def
compare-height.simps

108.10 union and intersection of sorted associative lists

context *ord* **begin**

function *sunion-with* :: ($'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b$) \Rightarrow ($'a \times 'b$) *list* \Rightarrow ($'a \times 'b$) *list* \Rightarrow ($'a \times 'b$) *list*

where

sunion-with f ((k, v) # *as*) ((k', v') # *bs*) =
 (if $k > k'$ then (k', v') # *sunion-with* f ((k, v) # *as*) *bs*
 else if $k < k'$ then (k, v) # *sunion-with* f *as* ((k', v') # *bs*)
 else ($k, f k v v'$) # *sunion-with* f *as* *bs*)

| *sunion-with* f [] *bs* = *bs*

| *sunion-with* f *as* [] = *as*

\langle *proof* \rangle

termination \langle *proof* \rangle

function *sinter-with* :: ($'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b$) \Rightarrow ($'a \times 'b$) *list* \Rightarrow ($'a \times 'b$) *list* \Rightarrow ($'a \times 'b$) *list*

where

sinter-with f ((k, v) # *as*) ((k', v') # *bs*) =
 (if $k > k'$ then *sinter-with* f ((k, v) # *as*) *bs*
 else if $k < k'$ then *sinter-with* f *as* ((k', v') # *bs*)
 else ($k, f k v v'$) # *sinter-with* f *as* *bs*)

| *sinter-with* f [] - = []

| *sinter-with* f - [] = []

\langle *proof* \rangle

termination \langle *proof* \rangle

end

declare *ord.sunion-with.simps* [*code*] *ord.sinter-with.simps*[*code*]

context *linorder* **begin**

lemma *set-fst-sunion-with*:

set (*map* *fst* (*sunion-with* f *xs* *ys*)) = *set* (*map* *fst* *xs*) \cup *set* (*map* *fst* *ys*)

\langle *proof* \rangle

lemma *sorted-sunion-with* [*simp*]:

[[*sorted* (*map* *fst* *xs*); *sorted* (*map* *fst* *ys*)]]
 \implies *sorted* (*map* *fst* (*sunion-with* f *xs* *ys*))

\langle *proof* \rangle

lemma *distinct-sunion-with* [simp]:

[[distinct (map fst xs); distinct (map fst ys); sorted (map fst xs); sorted (map fst ys)]]
 \implies distinct (map fst (sunion-with f xs ys))
 <proof>

lemma *map-of-sunion-with*:

[[sorted (map fst xs); sorted (map fst ys)]]
 \implies map-of (sunion-with f xs ys) k =
 (case map-of xs k of None \implies map-of ys k
 | Some v \implies case map-of ys k of None \implies Some v
 | Some w \implies Some (f k v w))
 <proof>

lemma *set-fst-sinter-with* [simp]:

[[sorted (map fst xs); sorted (map fst ys)]]
 \implies set (map fst (sinter-with f xs ys)) = set (map fst xs) \cap set (map fst ys)
 <proof>

lemma *set-fst-sinter-with-subset1*:

set (map fst (sinter-with f xs ys)) \subseteq set (map fst xs)
 <proof>

lemma *set-fst-sinter-with-subset2*:

set (map fst (sinter-with f xs ys)) \subseteq set (map fst ys)
 <proof>

lemma *sorted-sinter-with* [simp]:

[[sorted (map fst xs); sorted (map fst ys)]]
 \implies sorted (map fst (sinter-with f xs ys))
 <proof>

lemma *distinct-sinter-with* [simp]:

[[distinct (map fst xs); distinct (map fst ys)]]
 \implies distinct (map fst (sinter-with f xs ys))
 <proof>

lemma *map-of-sinter-with*:

[[sorted (map fst xs); sorted (map fst ys)]]
 \implies map-of (sinter-with f xs ys) k =
 (case map-of xs k of None \implies None | Some v \implies map-option (f k v) (map-of ys k))
 <proof>

end

lemma *distinct-map-of-rev*: distinct (map fst xs) \implies map-of (rev xs) = map-of xs

⟨proof⟩

lemma *map-map-filter*:

$map\ f\ (List.map-filter\ g\ xs) = List.map-filter\ (map-option\ f\ \circ\ g)\ xs$
 ⟨proof⟩

lemma *map-filter-map-option-const*:

$List.map-filter\ (\lambda x. map-option\ (\lambda y. f\ x)\ (g\ (f\ x)))\ xs = filter\ (\lambda x. g\ x \neq None)$
 $(map\ f\ xs)$
 ⟨proof⟩

lemma *set-map-filter*: $set\ (List.map-filter\ P\ xs) = the\ '\ (P\ '\ set\ xs - \{None\})$
 ⟨proof⟩

context *ord begin*

definition *rbt-union-with-key* :: $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$
 $\Rightarrow ('a, 'b)\ rbt$

where

$rbt-union-with-key\ f\ t1\ t2 =$
 $(case\ RBT-Impl.compare-height\ t1\ t1\ t2\ t2$
 of $compare.EQ \Rightarrow rbtreeify\ (sunion-with\ f\ (entries\ t1)\ (entries\ t2))$
 | $compare.LT \Rightarrow fold\ (rbt-insert-with-key\ (\lambda k\ v\ w. f\ k\ w\ v))\ t1\ t2$
 | $compare.GT \Rightarrow fold\ (rbt-insert-with-key\ f)\ t2\ t1)$

definition *rbt-union-with where*

$rbt-union-with\ f = rbt-union-with-key\ (\lambda-. f)$

definition *rbt-union where*

$rbt-union = rbt-union-with-key\ (\%-\ -\ rv.\ rv)$

definition *rbt-inter-with-key* :: $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$
 $\Rightarrow ('a, 'b)\ rbt$

where

$rbt-inter-with-key\ f\ t1\ t2 =$
 $(case\ RBT-Impl.compare-height\ t1\ t1\ t2\ t2$
 of $compare.EQ \Rightarrow rbtreeify\ (sinter-with\ f\ (entries\ t1)\ (entries\ t2))$
 | $compare.LT \Rightarrow rbtreeify\ (List.map-filter\ (\lambda(k, v). map-option\ (\lambda w. (k, f\ k\ w\ v))\ (rbt-lookup\ t2\ k))\ (entries\ t1))$
 | $compare.GT \Rightarrow rbtreeify\ (List.map-filter\ (\lambda(k, v). map-option\ (\lambda w. (k, f\ k\ w\ v))\ (rbt-lookup\ t1\ k))\ (entries\ t2))$

definition *rbt-inter-with where*

$rbt-inter-with\ f = rbt-inter-with-key\ (\lambda-. f)$

definition *rbt-inter where*

$rbt-inter = rbt-inter-with-key\ (\lambda-\ -\ rv.\ rv)$

end

context *linorder* **begin**

lemma *rbt-sorted-entries-right-unique*:

$\llbracket (k, v) \in \text{set } (\text{entries } t); (k, v') \in \text{set } (\text{entries } t);$
 $\text{rbt-sorted } t \rrbracket \implies v = v'$
 <proof>

lemma *rbt-sorted-fold-rbt-insertwk*:

$\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{List.fold } (\lambda(k, v). \text{rbt-insert-with-key } f \ k \ v) \ xs \ t)$
 <proof>

lemma *is-rbt-fold-rbt-insertwk*:

assumes *is-rbt t1*
shows *is-rbt (fold (rbt-insert-with-key f) t2 t1)*
 <proof>

lemma *rbt-lookup-fold-rbt-insertwk*:

assumes *t1: rbt-sorted t1 and t2: rbt-sorted t2*
shows *rbt-lookup (fold (rbt-insert-with-key f) t1 t2) k =*
(case rbt-lookup t1 k of None \Rightarrow rbt-lookup t2 k
| Some v \Rightarrow case rbt-lookup t2 k of None \Rightarrow Some v
| Some w \Rightarrow Some (f k w v))
 <proof>

lemma *is-rbt-rbt-unionwk [simp]*:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-union-with-key } f \ t1 \ t2)$
 <proof>

lemma *rbt-lookup-rbt-unionwk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$
 $\implies \text{rbt-lookup } (\text{rbt-union-with-key } f \ t1 \ t2) \ k =$
(case rbt-lookup t1 k of None \Rightarrow rbt-lookup t2 k
| Some v \Rightarrow case rbt-lookup t2 k of None \Rightarrow Some v
| Some w \Rightarrow Some (f k v w))
 <proof>

lemma *rbt-unionw-is-rbt*: $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union-with } f \ lt \ rt)$

<proof>

lemma *rbt-union-is-rbt*: $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union } lt \ rt)$

<proof>

lemma *rbt-lookup-rbt-union*:

$\llbracket \text{rbt-sorted } s; \text{rbt-sorted } t \rrbracket \implies$
 $\text{rbt-lookup } (\text{rbt-union } s \ t) = \text{rbt-lookup } s \ ++ \ \text{rbt-lookup } t$
 <proof>

lemma *rbt-interwk-is-rbt [simp]*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with-key } f \ t1 \ t2)$
 <proof>

lemma *rbt-interw-is-rbt*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with } f \ t1 \ t2)$
 <proof>

lemma *rbt-inter-is-rbt*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter } t1 \ t2)$
 <proof>

lemma *rbt-lookup-rbt-interwk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$
 $\implies \text{rbt-lookup } (\text{rbt-inter-with-key } f \ t1 \ t2) \ k =$
 (case *rbt-lookup* *t1* *k* of *None* \Rightarrow *None*
 | *Some v* \Rightarrow case *rbt-lookup* *t2* *k* of *None* \Rightarrow *None*
 | *Some w* \Rightarrow *Some (f k v w)*)
 <proof>

lemma *rbt-lookup-rbt-inter*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$
 $\implies \text{rbt-lookup } (\text{rbt-inter } t1 \ t2) = \text{rbt-lookup } t2 \ |' \ \text{dom } (\text{rbt-lookup } t1)$
 <proof>

end

108.11 Code generator setup

lemmas [*code*] =

ord.rbt-less-prop
ord.rbt-greater-prop
ord.rbt-sorted.simps
ord.rbt-lookup.simps
ord.is-rbt-def
ord.rbt-ins.simps
ord.rbt-insert-with-key-def
ord.rbt-insertw-def
ord.rbt-insert-def
ord.rbt-del-from-left.simps
ord.rbt-del-from-right.simps
ord.rbt-del.simps
ord.rbt-delete-def
ord.sunion-with.simps
ord.sinter-with.simps
ord.rbt-union-with-key-def
ord.rbt-union-with-def
ord.rbt-union-def
ord.rbt-inter-with-key-def
ord.rbt-inter-with-def

```
ord.rbt-inter-def
ord.rbt-map-entry.simps
ord.rbt-bulkload-def
```

More efficient implementations for *entries* and *keys*

```
definition gen-entries ::
  (('a × 'b) × ('a, 'b) rbt) list ⇒ ('a, 'b) rbt ⇒ ('a × 'b) list
where
  gen-entries kvs t = entries t @ concat (map (λ(kv, t). kv # entries t) kvs)
```

```
lemma gen-entries-simps [simp, code]:
  gen-entries [] Empty = []
  gen-entries ((kv, t) # kvs) Empty = kv # gen-entries kvs t
  gen-entries kvs (Branch c l k v r) = gen-entries (((k, v), r) # kvs) l
⟨proof⟩
```

```
lemma entries-code [code]:
  entries = gen-entries []
⟨proof⟩
```

```
definition gen-keys :: ('a × ('a, 'b) rbt) list ⇒ ('a, 'b) rbt ⇒ 'a list
where gen-keys kts t = RBT-Impl.keys t @ concat (List.map (λ(k, t). k # keys
t) kts)
```

```
lemma gen-keys-simps [simp, code]:
  gen-keys [] Empty = []
  gen-keys ((k, t) # kts) Empty = k # gen-keys kts t
  gen-keys kts (Branch c l k v r) = gen-keys ((k, r) # kts) l
⟨proof⟩
```

```
lemma keys-code [code]:
  keys = gen-keys []
⟨proof⟩
```

Restore original type constraints for constants

⟨ML⟩

```
hide-const (open) R B Empty entries keys fold gen-keys gen-entries
```

end

109 Abstract type of RBT trees

```
theory RBT
imports Main RBT-Impl
begin
```

109.1 Type definition

typedef (overloaded) (*'a*, *'b*) *rbt* = {*t* :: (*'a*::*linorder*, *'b*) *RBT-Impl.rbt*. *is-rbt* *t*}

morphisms *impl-of RBT*
 ⟨*proof*⟩

lemma *rbt-eq-iff*:
 $t1 = t2 \iff \text{impl-of } t1 = \text{impl-of } t2$
 ⟨*proof*⟩

lemma *rbt-eqI*:
 $\text{impl-of } t1 = \text{impl-of } t2 \implies t1 = t2$
 ⟨*proof*⟩

lemma *is-rbt-impl-of* [*simp*, *intro*]:
 $\text{is-rbt } (\text{impl-of } t)$
 ⟨*proof*⟩

lemma *RBT-impl-of* [*simp*, *code abstype*]:
 $\text{RBT } (\text{impl-of } t) = t$
 ⟨*proof*⟩

109.2 Primitive operations

setup-lifting *type-definition-rbt*

lift-definition *lookup* :: (*'a*::*linorder*, *'b*) *rbt* \Rightarrow *'a* \rightarrow *'b* **is** *rbt-lookup* ⟨*proof*⟩

lift-definition *empty* :: (*'a*::*linorder*, *'b*) *rbt* **is** *RBT-Impl.Empty*
 ⟨*proof*⟩

lift-definition *insert* :: *'a*::*linorder* \Rightarrow *'b* \Rightarrow (*'a*, *'b*) *rbt* \Rightarrow (*'a*, *'b*) *rbt* **is** *rbt-insert*
 ⟨*proof*⟩

lift-definition *delete* :: *'a*::*linorder* \Rightarrow (*'a*, *'b*) *rbt* \Rightarrow (*'a*, *'b*) *rbt* **is** *rbt-delete*
 ⟨*proof*⟩

lift-definition *entries* :: (*'a*::*linorder*, *'b*) *rbt* \Rightarrow (*'a* \times *'b*) *list* **is** *RBT-Impl.entries*
 ⟨*proof*⟩

lift-definition *keys* :: (*'a*::*linorder*, *'b*) *rbt* \Rightarrow *'a* *list* **is** *RBT-Impl.keys* ⟨*proof*⟩

lift-definition *bulkload* :: (*'a*::*linorder* \times *'b*) *list* \Rightarrow (*'a*, *'b*) *rbt* **is** *rbt-bulkload*
 ⟨*proof*⟩

lift-definition *map-entry* :: *'a* \Rightarrow (*'b* \Rightarrow *'b*) \Rightarrow (*'a*::*linorder*, *'b*) *rbt* \Rightarrow (*'a*, *'b*) *rbt* **is** *rbt-map-entry*
 ⟨*proof*⟩

lift-definition $map :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a::linorder, 'b) rbt \Rightarrow ('a, 'c) rbt$ **is**
RBT-Impl.map
 ⟨proof⟩

lift-definition $fold :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a::linorder, 'b) rbt \Rightarrow 'c \Rightarrow 'c$ **is**
RBT-Impl.fold ⟨proof⟩

lift-definition $union :: ('a::linorder, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$ **is**
rbt-union
 ⟨proof⟩

lift-definition $foldi :: ('c \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a :: linorder, 'b)$
 $rbt \Rightarrow 'c \Rightarrow 'c$
is *RBT-Impl.foldi* ⟨proof⟩

109.3 Derived operations

definition $is-empty :: ('a::linorder, 'b) rbt \Rightarrow bool$ **where**
 [code]: $is-empty\ t = (case\ impl-of\ t\ of\ RBT-Impl.Empty \Rightarrow True \mid - \Rightarrow False)$

109.4 Abstract lookup properties

lemma *lookup-RBT*:
 $is-rbt\ t \Longrightarrow lookup\ (RBT\ t) = rbt-lookup\ t$
 ⟨proof⟩

lemma *lookup-impl-of*:
 $rbt-lookup\ (impl-of\ t) = lookup\ t$
 ⟨proof⟩

lemma *entries-impl-of*:
 $RBT-Impl.entries\ (impl-of\ t) = entries\ t$
 ⟨proof⟩

lemma *keys-impl-of*:
 $RBT-Impl.keys\ (impl-of\ t) = keys\ t$
 ⟨proof⟩

lemma *lookup-keys*:
 $dom\ (lookup\ t) = set\ (keys\ t)$
 ⟨proof⟩

lemma *lookup-empty* [simp]:
 $lookup\ empty = Map.empty$
 ⟨proof⟩

lemma *lookup-insert* [simp]:
 $lookup\ (insert\ k\ v\ t) = (lookup\ t)(k \mapsto v)$
 ⟨proof⟩

lemma *lookup-delete* [*simp*]:

$lookup (delete k t) = (lookup t)(k := None)$
 ⟨*proof*⟩

lemma *map-of-entries* [*simp*]:

$map-of (entries t) = lookup t$
 ⟨*proof*⟩

lemma *entries-lookup*:

$entries t1 = entries t2 \iff lookup t1 = lookup t2$
 ⟨*proof*⟩

lemma *lookup-bulkload* [*simp*]:

$lookup (bulkload xs) = map-of xs$
 ⟨*proof*⟩

lemma *lookup-map-entry* [*simp*]:

$lookup (map-entry k f t) = (lookup t)(k := map-option f (lookup t k))$
 ⟨*proof*⟩

lemma *lookup-map* [*simp*]:

$lookup (map f t) k = map-option (f k) (lookup t k)$
 ⟨*proof*⟩

lemma *fold-fold*:

$fold f t = List.fold (case-prod f) (entries t)$
 ⟨*proof*⟩

lemma *impl-of-empty*:

$impl-of empty = RBT-Impl.Empty$
 ⟨*proof*⟩

lemma *is-empty-empty* [*simp*]:

$is-empty t \iff t = empty$
 ⟨*proof*⟩

lemma *RBT-lookup-empty* [*simp*]:

$rbt-lookup t = Map.empty \iff t = RBT-Impl.Empty$
 ⟨*proof*⟩

lemma *lookup-empty-empty* [*simp*]:

$lookup t = Map.empty \iff t = empty$
 ⟨*proof*⟩

lemma *sorted-keys* [*iff*]:

$sorted (keys t)$
 ⟨*proof*⟩

lemma *distinct-keys* [*iff*]:
distinct (keys t)
 ⟨*proof*⟩

lemma *finite-dom-lookup* [*simp, intro!*]: *finite (dom (lookup t))*
 ⟨*proof*⟩

lemma *lookup-union*: *lookup (union s t) = lookup s ++ lookup t*
 ⟨*proof*⟩

lemma *lookup-in-tree*: *(lookup t k = Some v) = ((k, v) ∈ set (entries t))*
 ⟨*proof*⟩

lemma *keys-entries*: *(k ∈ set (keys t)) = (∃ v. (k, v) ∈ set (entries t))*
 ⟨*proof*⟩

lemma *fold-def-alt*:
fold f t = List.fold (case-prod f) (entries t)
 ⟨*proof*⟩

lemma *distinct-entries*: *distinct (List.map fst (entries t))*
 ⟨*proof*⟩

lemma *non-empty-keys*: *t ≠ empty ⇒ keys t ≠ []*
 ⟨*proof*⟩

lemma *keys-def-alt*:
keys t = List.map fst (entries t)
 ⟨*proof*⟩

109.5 Quickcheck generators

quickcheck-generator *rbt predicate: is-rbt constructors: empty, insert*

109.6 Hide implementation details

lifting-update *rbt.lifting*

lifting-forget *rbt.lifting*

hide-const (**open**) *impl-of empty lookup keys entries bulkload delete map fold
 union insert map-entry foldi
 is-empty*

hide-fact (**open**) *empty-def lookup-def keys-def entries-def bulkload-def delete-def
 map-def fold-def
 union-def insert-def map-entry-def foldi-def is-empty-def*

end

110 Implementation of mappings with Red-Black Trees

<proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof><proof>

This theory defines abstract red-black trees as an efficient representation of finite maps, backed by the implementation in *RBT-Impl*.

110.1 Data type and invariant

The type $(\text{'}k, \text{'}) RBT\text{-}Impl.rbt$ denotes red-black trees with keys of type $\text{'}k$ and values of type ' v . To function properly, the key type must belong to the *linorder* class.

A value t of this type is a valid red-black tree if it satisfies the invariant *is-rbt* t . The abstract type $(\text{'}k, \text{'}) RBT.rbt$ always obeys this invariant, and for this reason you should only use this in our application. Going back to $(\text{'}k, \text{'}) RBT\text{-}Impl.rbt$ may be necessary in proofs if not yet proven properties about the operations must be established.

The interpretation function *RBT.lookup* returns the partial map represented by a red-black tree:

RBT.lookup:: $(\text{'}a, \text{'}) RBT.rbt \Rightarrow \text{'}a \Rightarrow \text{'}$ b option

This function should be used for reasoning about the semantics of the RBT operations. Furthermore, it implements the lookup functionality for the data structure: It is executable and the lookup is performed in $O(\log n)$.

110.2 Operations

Currently, the following operations are supported:

RBT.empty:: $(\text{'}a, \text{'}) RBT.rbt$

Returns the empty tree. $O(1)$

RBT.insert:: $\text{'}a \Rightarrow \text{'}$ $b \Rightarrow (\text{'}a, \text{'}) RBT.rbt \Rightarrow (\text{'}a, \text{'}) RBT.rbt$

Updates the map at a given position. $O(\log n)$

RBT.delete:: $\text{'}a \Rightarrow (\text{'}a, \text{'}) RBT.rbt \Rightarrow (\text{'}a, \text{'}) RBT.rbt$

Deletes a map entry at a given position. $O(\log n)$

RBT.entries:: $(\text{'}a, \text{'}) RBT.rbt \Rightarrow (\text{'}a \times \text{'}) list$

Return a corresponding key-value list for a tree.

RBT.bulkload:: $(\text{'}a \times \text{'}) list \Rightarrow (\text{'}a, \text{'}) RBT.rbt$

Builds a tree from a key-value list.

$RBT.map\text{-}entry:: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow ('a, 'b) RBT.rbt$

Maps a single entry in a tree.

$RBT.map:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow ('a, 'c) RBT.rbt$

Maps all values in a tree. $O(n)$

$RBT.fold:: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow 'c \Rightarrow 'c$

Folds over all entries in a tree. $O(n)$

110.3 Invariant preservation

$is\text{-}rbt\ rbt.Empty$	$(Empty\text{-}is\text{-}rbt)$
$is\text{-}rbt\ ?t \Longrightarrow is\text{-}rbt\ (rbt\text{-}insert\ ?k\ ?v\ ?t)$	$(rbt\text{-}insert\text{-}is\text{-}rbt)$
$is\text{-}rbt\ ?t \Longrightarrow is\text{-}rbt\ (rbt\text{-}delete\ ?k\ ?t)$	$(delete\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (rbt\text{-}bulkload\ ?xs)$	$(bulkload\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (rbt\text{-}map\text{-}entry\ ?k\ ?f\ ?t) = is\text{-}rbt\ ?t$	$(map\text{-}entry\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (RBT\text{-}Impl.map\ ?f\ ?t) = is\text{-}rbt\ ?t$	$(map\text{-}is\text{-}rbt)$
$\llbracket is\text{-}rbt\ ?lt; is\text{-}rbt\ ?rt \rrbracket \Longrightarrow is\text{-}rbt\ (rbt\text{-}union\ ?lt\ ?rt)$	$(union\text{-}is\text{-}rbt)$

110.4 Map Semantics

lookup-empty

$Mapping.lookup\ Mapping.empty\ ?k = None$

lookup-insert

$RBT.lookup\ (RBT.insert\ ?k\ ?v\ ?t) = RBT.lookup\ ?t(?k \mapsto ?v)$

lookup-delete

$RBT.lookup\ (RBT.delete\ ?k\ ?t) = (RBT.lookup\ ?t)(?k := None)$

lookup-bulkload

$RBT.lookup\ (RBT.bulkload\ ?xs) = map\text{-}of\ ?xs$

lookup-map

$RBT.lookup\ (RBT.map\ ?f\ ?t)\ ?k = map\text{-}option\ (?f\ ?k)\ (RBT.lookup\ ?t\ ?k)$

end

111 Implementation of sets using RBT trees

```
theory RBT-Set
imports RBT Product-Lexorder
begin
```

112 Definition of code datatype constructors

```
definition Set :: ('a::linorder, unit) rbt  $\Rightarrow$  'a set
  where Set t = {x . RBT.lookup t x = Some ()}
```

```
definition Coset :: ('a::linorder, unit) rbt  $\Rightarrow$  'a set
  where [simp]: Coset t = - Set t
```

113 Deletion of already existing code equations

```
lemma [code, code del]:
  Set.empty = Set.empty <proof>
```

```
lemma [code, code del]:
  Set.is-empty = Set.is-empty <proof>
```

```
lemma [code, code del]:
  uminus-set-inst.uminus-set = uminus-set-inst.uminus-set <proof>
```

```
lemma [code, code del]:
  Set.member = Set.member <proof>
```

```
lemma [code, code del]:
  Set.insert = Set.insert <proof>
```

```
lemma [code, code del]:
  Set.remove = Set.remove <proof>
```

```
lemma [code, code del]:
  UNIV = UNIV <proof>
```

```
lemma [code, code del]:
  Set.filter = Set.filter <proof>
```

```
lemma [code, code del]:
  image = image <proof>
```

```
lemma [code, code del]:
  Set.subset-eq = Set.subset-eq <proof>
```

```
lemma [code, code del]:
  Ball = Ball <proof>
```

lemma [*code*, *code del*]:

Bex = Bex *<proof>*

lemma [*code*, *code del*]:

can-select = can-select *<proof>*

lemma [*code*, *code del*]:

Set.union = Set.union *<proof>*

lemma [*code*, *code del*]:

minus-set-inst.minus-set = minus-set-inst.minus-set *<proof>*

lemma [*code*, *code del*]:

Set.inter = Set.inter *<proof>*

lemma [*code*, *code del*]:

card = card *<proof>*

lemma [*code*, *code del*]:

the-elem = the-elem *<proof>*

lemma [*code*, *code del*]:

Pow = Pow *<proof>*

lemma [*code*, *code del*]:

setsum = setsum *<proof>*

lemma [*code*, *code del*]:

setprod = setprod *<proof>*

lemma [*code*, *code del*]:

Product-Type.product = Product-Type.product *<proof>*

lemma [*code*, *code del*]:

Id-on = Id-on *<proof>*

lemma [*code*, *code del*]:

Image = Image *<proof>*

lemma [*code*, *code del*]:

trancl = trancl *<proof>*

lemma [*code*, *code del*]:

relcomp = relcomp *<proof>*

lemma [*code*, *code del*]:

wf = wf *<proof>*

lemma [*code*, *code del*]:
 $Min = Min \langle proof \rangle$

lemma [*code*, *code del*]:
 $Inf-fin = Inf-fin \langle proof \rangle$

lemma [*code*, *code del*]:
 $INFIMUM = INFIMUM \langle proof \rangle$

lemma [*code*, *code del*]:
 $Max = Max \langle proof \rangle$

lemma [*code*, *code del*]:
 $Sup-fin = Sup-fin \langle proof \rangle$

lemma [*code*, *code del*]:
 $SUPREMUM = SUPREMUM \langle proof \rangle$

lemma [*code*, *code del*]:
 $(Inf :: 'a set set \Rightarrow 'a set) = Inf \langle proof \rangle$

lemma [*code*, *code del*]:
 $(Sup :: 'a set set \Rightarrow 'a set) = Sup \langle proof \rangle$

lemma [*code*, *code del*]:
 $sorted-list-of-set = sorted-list-of-set \langle proof \rangle$

lemma [*code*, *code del*]:
 $List.map-project = List.map-project \langle proof \rangle$

lemma [*code*, *code del*]:
 $List.Bleat = List.Bleat \langle proof \rangle$

114 Lemmas

114.1 Auxiliary lemmas

lemma [*simp*]: $x \neq Some () \longleftrightarrow x = None$
 $\langle proof \rangle$

lemma *Set-set-keys*: $Set x = dom (RBT.lookup x)$
 $\langle proof \rangle$

lemma *finite-Set* [*simp*, *intro!*]: $finite (Set x)$
 $\langle proof \rangle$

lemma *set-keys*: $Set t = set(RBT.keys t)$
 $\langle proof \rangle$

114.2 fold and filter

lemma *finite-fold-rbt-fold-eq*:

assumes *comp-fun-commute f*

shows $Finite\text{-}Set.fold\ f\ A\ (set\ (RBT.entries\ t)) = RBT.fold\ (curry\ f)\ t\ A$

<proof>

definition *fold-keys* :: $('a :: linorder \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, -) rbt \Rightarrow 'b \Rightarrow 'b$

where [*code-unfold*]: $fold\text{-}keys\ f\ t\ A = RBT.fold\ (\lambda k - t. f\ k\ t)\ t\ A$

lemma *fold-keys-def-alt*:

$fold\text{-}keys\ f\ t\ s = List.fold\ f\ (RBT.keys\ t)\ s$

<proof>

lemma *finite-fold-fold-keys*:

assumes *comp-fun-commute f*

shows $Finite\text{-}Set.fold\ f\ A\ (Set\ t) = fold\text{-}keys\ f\ t\ A$

<proof>

definition *rbt-filter* :: $('a :: linorder \Rightarrow bool) \Rightarrow ('a, 'b) rbt \Rightarrow 'a\ set$ **where**

$rbt\text{-}filter\ P\ t = RBT.fold\ (\lambda k - A'.\ if\ P\ k\ then\ Set.insert\ k\ A'\ else\ A')\ t\ \{\}$

lemma *Set-filter-rbt-filter*:

$Set.filter\ P\ (Set\ t) = rbt\text{-}filter\ P\ t$

<proof>

114.3 foldi and Ball

lemma *Ball-False*: $RBT\text{-}Impl.fold\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ False = False$

<proof>

lemma *rbt-foldi-fold-conj*:

$RBT\text{-}Impl.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ val = RBT\text{-}Impl.fold\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ val$

<proof>

lemma *foldi-fold-conj*: $RBT.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ val = fold\text{-}keys\ (\lambda k\ s. s \wedge P\ k)\ t\ val$

<proof> **including** *rbt.lifting* *<proof>*

114.4 foldi and Bex

lemma *Bex-True*: $RBT\text{-}Impl.fold\ (\lambda k\ v\ s. s \vee P\ k)\ t\ True = True$

<proof>

lemma *rbt-foldi-fold-disj*:

$RBT\text{-}Impl.foldi\ (\lambda s. s = False)\ (\lambda k\ v\ s. s \vee P\ k)\ t\ val = RBT\text{-}Impl.fold\ (\lambda k\ v\ s. s \vee P\ k)\ t\ val$

<proof>

lemma *foldi-fold-disj*: $RBT.foldi (\lambda s. s = False) (\lambda k v s. s \vee P k) t val = fold-keys (\lambda k s. s \vee P k) t val$
 ⟨proof⟩ **including** *rbt.lifting* ⟨proof⟩

114.5 folding over non empty trees and selecting the minimal and maximal element

definition *rbt-fold1-keys* :: $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow ('a::linorder, 'b) RBT-Impl.rbt \Rightarrow 'a$
 where $rbt-fold1-keys f t = List.fold f (tl(RBT-Impl.keys t)) (hd(RBT-Impl.keys t))$

definition *rbt-min* :: $('a::linorder, unit) RBT-Impl.rbt \Rightarrow 'a$
 where $rbt-min t = rbt-fold1-keys min t$

lemma *key-le-right*: $rbt-sorted (Branch c lt k v rt) \Longrightarrow (\bigwedge x. x \in set (RBT-Impl.keys rt) \Longrightarrow k \leq x)$
 ⟨proof⟩

lemma *left-le-key*: $rbt-sorted (Branch c lt k v rt) \Longrightarrow (\bigwedge x. x \in set (RBT-Impl.keys lt) \Longrightarrow x \leq k)$
 ⟨proof⟩

lemma *fold-min-triv*:
 fixes $k :: - :: linorder$
 shows $(\forall x \in set xs. k \leq x) \Longrightarrow List.fold min xs k = k$
 ⟨proof⟩

lemma *rbt-min-simps*:
 $is-rbt (Branch c RBT-Impl.Empty k v rt) \Longrightarrow rbt-min (Branch c RBT-Impl.Empty k v rt) = k$
 ⟨proof⟩

fun *rbt-min-opt* **where**
 $rbt-min-opt (Branch c RBT-Impl.Empty k v rt) = k$ |
 $rbt-min-opt (Branch c (Branch lc llc lk lv lrt) k v rt) = rbt-min-opt (Branch lc llc lk lv lrt)$

lemma *rbt-min-opt-Branch*:
 $t1 \neq rbt.Empty \Longrightarrow rbt-min-opt (Branch c t1 k () t2) = rbt-min-opt t1$
 ⟨proof⟩

lemma *rbt-min-opt-induct* [case-names empty left-empty left-non-empty]:
 fixes $t :: ('a :: linorder, unit) RBT-Impl.rbt$
 assumes $P rbt.Empty$
 assumes $\bigwedge color t1 a b t2. P t1 \Longrightarrow P t2 \Longrightarrow t1 = rbt.Empty \Longrightarrow P (Branch color t1 a b t2)$

assumes $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t1 \neq \text{rbt.Empty} \implies P$ (*Branch color t1 a b t2*)
shows $P \ t$
<proof>

lemma *rbt-min-opt-in-set*:
fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$
assumes $t \neq \text{rbt.Empty}$
shows $\text{rbt-min-opt } t \in \text{set } (\text{RBT-Impl.keys } t)$
<proof>

lemma *rbt-min-opt-is-min*:
fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$
assumes *rbt-sorted t*
assumes $t \neq \text{rbt.Empty}$
shows $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \geq \text{rbt-min-opt } t$
<proof>

lemma *rbt-min-eq-rbt-min-opt*:
assumes $t \neq \text{RBT-Impl.Empty}$
assumes *is-rbt t*
shows $\text{rbt-min } t = \text{rbt-min-opt } t$
<proof>

definition *rbt-max* $:: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt} \Rightarrow 'a$
where $\text{rbt-max } t = \text{rbt-fold1-keys } \text{max } t$

lemma *fold-max-triv*:
fixes $k :: - :: \text{linorder}$
shows $(\forall x \in \text{set } xs. x \leq k) \implies \text{List.fold } \text{max } xs \ k = k$
<proof>

lemma *fold-max-rev-eq*:
fixes $xs :: ('a :: \text{linorder}) \text{list}$
assumes $xs \neq []$
shows $\text{List.fold } \text{max } (\text{tl } xs) \ (\text{hd } xs) = \text{List.fold } \text{max } (\text{tl } (\text{rev } xs)) \ (\text{hd } (\text{rev } xs))$
<proof>

lemma *rbt-max-simps*:
assumes *is-rbt (Branch c lt k v RBT-Impl.Empty)*
shows $\text{rbt-max } (\text{Branch } c \ \text{lt } \ k \ v \ \text{RBT-Impl.Empty}) = k$
<proof>

fun *rbt-max-opt* **where**
 $\text{rbt-max-opt } (\text{Branch } c \ \text{lt } \ k \ v \ \text{RBT-Impl.Empty}) = k \ |$
 $\text{rbt-max-opt } (\text{Branch } c \ \text{lt } \ k \ v \ (\text{Branch } \text{rc } \ \text{rlc } \ \text{rk } \ \text{rv } \ \text{rrt})) = \text{rbt-max-opt } (\text{Branch } \text{rc } \ \text{rlc } \ \text{rk } \ \text{rv } \ \text{rrt})$

lemma *rbt-max-opt-Branch*:

$t2 \neq \text{rbt.Empty} \implies \text{rbt-max-opt } (\text{Branch } c \ t1 \ k \ () \ t2) = \text{rbt-max-opt } t2$
 ⟨proof⟩

lemma *rbt-max-opt-induct* [case-names empty right-empty right-non-empty]:

fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$
assumes $P \ \text{rbt.Empty}$
assumes $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 = \text{rbt.Empty} \implies P \ (\text{Branch } \text{color } t1 \ a \ b \ t2)$
assumes $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 \neq \text{rbt.Empty} \implies P \ (\text{Branch } \text{color } t1 \ a \ b \ t2)$
shows $P \ t$
 ⟨proof⟩

lemma *rbt-max-opt-in-set*:

fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$
assumes $t \neq \text{rbt.Empty}$
shows $\text{rbt-max-opt } t \in \text{set } (\text{RBT-Impl.keys } t)$
 ⟨proof⟩

lemma *rbt-max-opt-is-max*:

fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$
assumes $\text{rbt-sorted } t$
assumes $t \neq \text{rbt.Empty}$
shows $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \leq \text{rbt-max-opt } t$
 ⟨proof⟩

lemma *rbt-max-eq-rbt-max-opt*:

assumes $t \neq \text{RBT-Impl.Empty}$
assumes $\text{is-rbt } t$
shows $\text{rbt-max } t = \text{rbt-max-opt } t$
 ⟨proof⟩

context includes *rbt.lifting* **begin**

lift-definition *fold1-keys* :: $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow ('a :: \text{linorder}, 'b) \text{rbt} \Rightarrow 'a$
is *rbt-fold1-keys* ⟨proof⟩

lemma *fold1-keys-def-alt*:

$\text{fold1-keys } f \ t = \text{List.fold } f \ (\text{tl } (\text{RBT.keys } t)) \ (\text{hd } (\text{RBT.keys } t))$
 ⟨proof⟩

lemma *finite-fold1-fold1-keys*:

assumes *semilattice* f
assumes $\neg \text{RBT.is-empty } t$
shows $\text{semilattice-set.F } f \ (\text{Set } t) = \text{fold1-keys } f \ t$

<proof>

lift-definition *r-min* :: ('a :: linorder, unit) rbt \Rightarrow 'a **is** rbt-min *<proof>*

lift-definition *r-min-opt* :: ('a :: linorder, unit) rbt \Rightarrow 'a **is** rbt-min-opt *<proof>*

lemma *r-min-alt-def*: *r-min t = fold1-keys min t*
<proof>

lemma *r-min-eq-r-min-opt*:
 assumes \neg (*RBT.is-empty t*)
 shows *r-min t = r-min-opt t*
<proof>

lemma *fold-keys-min-top-eq*:
 fixes *t* :: ('a :: {linorder, bounded-lattice-top}, unit) rbt
 assumes \neg (*RBT.is-empty t*)
 shows *fold-keys min t top = fold1-keys min t*
<proof>

lift-definition *r-max* :: ('a :: linorder, unit) rbt \Rightarrow 'a **is** rbt-max *<proof>*

lift-definition *r-max-opt* :: ('a :: linorder, unit) rbt \Rightarrow 'a **is** rbt-max-opt *<proof>*

lemma *r-max-alt-def*: *r-max t = fold1-keys max t*
<proof>

lemma *r-max-eq-r-max-opt*:
 assumes \neg (*RBT.is-empty t*)
 shows *r-max t = r-max-opt t*
<proof>

lemma *fold-keys-max-bot-eq*:
 fixes *t* :: ('a :: {linorder, bounded-lattice-bot}, unit) rbt
 assumes \neg (*RBT.is-empty t*)
 shows *fold-keys max t bot = fold1-keys max t*
<proof>

end

115 Code equations

code-datatype *Set Coset*

declare *list.set[code]*

lemma *empty-Set* [code]:

$Set.empty = Set RBT.empty$
 ⟨proof⟩

lemma *UNIV-Coset* [code]:

$UNIV = Coset RBT.empty$
 ⟨proof⟩

lemma *is-empty-Set* [code]:

$Set.is-empty (Set t) = RBT.is-empty t$
 ⟨proof⟩

lemma *compl-code* [code]:

– $Set xs = Coset xs$
 – $Coset xs = Set xs$
 ⟨proof⟩

lemma *member-code* [code]:

$x \in (Set t) = (RBT.lookup t x = Some ())$
 $x \in (Coset t) = (RBT.lookup t x = None)$
 ⟨proof⟩

lemma *insert-code* [code]:

$Set.insert x (Set t) = Set (RBT.insert x () t)$
 $Set.insert x (Coset t) = Coset (RBT.delete x t)$
 ⟨proof⟩

lemma *remove-code* [code]:

$Set.remove x (Set t) = Set (RBT.delete x t)$
 $Set.remove x (Coset t) = Coset (RBT.insert x () t)$
 ⟨proof⟩

lemma *union-Set* [code]:

$Set t \cup A = fold-keys Set.insert t A$
 ⟨proof⟩

lemma *inter-Set* [code]:

$A \cap Set t = rbt-filter (\lambda k. k \in A) t$
 ⟨proof⟩

lemma *minus-Set* [code]:

$A - Set t = fold-keys Set.remove t A$
 ⟨proof⟩

lemma *union-Coset* [code]:

$Coset t \cup A = - rbt-filter (\lambda k. k \notin A) t$
 ⟨proof⟩

lemma *union-Set-Set* [code]:

$Set\ t1 \cup Set\ t2 = Set\ (RBT.union\ t1\ t2)$
 ⟨proof⟩

lemma *inter-Coset* [code]:

$A \cap Coset\ t = fold-keys\ Set.remove\ t\ A$
 ⟨proof⟩

lemma *inter-Coset-Coset* [code]:

$Coset\ t1 \cap Coset\ t2 = Coset\ (RBT.union\ t1\ t2)$
 ⟨proof⟩

lemma *minus-Coset* [code]:

$A - Coset\ t = rbt-filter\ (\lambda k. k \in A)\ t$
 ⟨proof⟩

lemma *filter-Set* [code]:

$Set.filter\ P\ (Set\ t) = (rbt-filter\ P\ t)$
 ⟨proof⟩

lemma *image-Set* [code]:

$image\ f\ (Set\ t) = fold-keys\ (\lambda k\ A. Set.insert\ (f\ k)\ A)\ t\ \{\}$
 ⟨proof⟩

lemma *Ball-Set* [code]:

$Ball\ (Set\ t)\ P \longleftrightarrow RBT.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ True$
 ⟨proof⟩

lemma *Bex-Set* [code]:

$Bex\ (Set\ t)\ P \longleftrightarrow RBT.foldi\ (\lambda s. s = False)\ (\lambda k\ v\ s. s \vee P\ k)\ t\ False$
 ⟨proof⟩

lemma *subset-code* [code]:

$Set\ t \leq B \longleftrightarrow (\forall x \in Set\ t. x \in B)$
 $A \leq Coset\ t \longleftrightarrow (\forall y \in Set\ t. y \notin A)$
 ⟨proof⟩

lemma *subset-Coset-empty-Set-empty* [code]:

$Coset\ t1 \leq Set\ t2 \longleftrightarrow (case\ (RBT.impl-of\ t1, RBT.impl-of\ t2)\ of$
 $(rbt.Empty, rbt.Empty) \Rightarrow False \mid$
 $(-, -) \Rightarrow Code.abort\ (STR\ "non-empty-trees")\ (\lambda -. Coset\ t1 \leq Set\ t2))$
 ⟨proof⟩

A frequent case – avoid intermediate sets

lemma [code-unfold]:

$Set\ t1 \subseteq Set\ t2 \longleftrightarrow RBT.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge k \in Set\ t2)\ t1\ True$
 ⟨proof⟩

lemma *card-Set* [code]:

$\text{card } (\text{Set } t) = \text{fold-keys } (\lambda n. n + 1) t 0$
 $\langle \text{proof} \rangle$

lemma *setsum-Set* [code]:
 $\text{setsum } f (\text{Set } xs) = \text{fold-keys } (\text{plus } o f) xs 0$
 $\langle \text{proof} \rangle$

lemma *the-elem-set* [code]:
fixes $t :: ('a :: \text{linorder}, \text{unit}) \text{rbt}$
shows $\text{the-elem } (\text{Set } t) = (\text{case } \text{RBT.impl-of } t \text{ of}$
 $(\text{Branch } \text{RBT-Impl.B } \text{RBT-Impl.Empty } x () \text{RBT-Impl.Empty}) \Rightarrow x$
 $| - \Rightarrow \text{Code.abort } (\text{STR } \text{"not-a-singleton-tree"}) (\lambda-. \text{the-elem } (\text{Set } t)))$
 $\langle \text{proof} \rangle$

lemma *Pow-Set* [code]: $\text{Pow } (\text{Set } t) = \text{fold-keys } (\lambda x A. A \cup \text{Set.insert } x ' A) t$
 $\{\{\}\}$
 $\langle \text{proof} \rangle$

lemma *product-Set* [code]:
 $\text{Product-Type.product } (\text{Set } t1) (\text{Set } t2) =$
 $\text{fold-keys } (\lambda x A. \text{fold-keys } (\lambda y. \text{Set.insert } (x, y)) t2 A) t1 \{\}$
 $\langle \text{proof} \rangle$

lemma *Id-on-Set* [code]: $\text{Id-on } (\text{Set } t) = \text{fold-keys } (\lambda x. \text{Set.insert } (x, x)) t \{\}$
 $\langle \text{proof} \rangle$

lemma *Image-Set* [code]:
 $(\text{Set } t) \text{ `` } S = \text{fold-keys } (\lambda(x,y) A. \text{if } x \in S \text{ then } \text{Set.insert } y A \text{ else } A) t \{\}$
 $\langle \text{proof} \rangle$

lemma *trancl-set-ntrancl* [code]:
 $\text{trancl } (\text{Set } t) = \text{ntrancl } (\text{card } (\text{Set } t) - 1) (\text{Set } t)$
 $\langle \text{proof} \rangle$

lemma *relcomp-Set*[code]:
 $(\text{Set } t1) O (\text{Set } t2) = \text{fold-keys}$
 $(\lambda(x,y) A. \text{fold-keys } (\lambda(w,z) A'. \text{if } y = w \text{ then } \text{Set.insert } (x,z) A' \text{ else } A') t2$
 $A) t1 \{\}$
 $\langle \text{proof} \rangle$

lemma *wf-set* [code]:
 $\text{wf } (\text{Set } t) = \text{acyclic } (\text{Set } t)$
 $\langle \text{proof} \rangle$

lemma *Min-fin-set-fold* [code]:
 $\text{Min } (\text{Set } t) =$
 $(\text{if } \text{RBT.is-empty } t$
 $\text{then } \text{Code.abort } (\text{STR } \text{"not-non-empty-tree"}) (\lambda-. \text{Min } (\text{Set } t))$
 $\text{else } \text{r-min-opt } t)$

<proof>

lemma *Inf-fin-set-fold* [code]:
 $Inf\text{-}fin (Set\ t) = Min (Set\ t)$
<proof>

lemma *Inf-Set-fold*:
fixes $t :: ('a :: \{linorder, complete-lattice\}, unit)\ rbt$
shows $Inf (Set\ t) = (if\ RBT.is-empty\ t\ then\ top\ else\ r-min-opt\ t)$
<proof>

definition $Inf' :: 'a :: \{linorder, complete-lattice\}\ set \Rightarrow 'a$ **where** [code del]: Inf'
 $x = Inf\ x$

declare *Inf'-def*[symmetric, code-unfold]
declare *Inf-Set-fold*[folded *Inf'-def*, code]

lemma *INF-Set-fold* [code]:
fixes $f :: - \Rightarrow 'a :: complete-lattice$
shows $INFIMUM (Set\ t)\ f = fold-keys (inf \circ f)\ t\ top$
<proof>

lemma *Max-fin-set-fold* [code]:
 $Max (Set\ t) =$
 $(if\ RBT.is-empty\ t$
 $\ then\ Code.abort\ (STR\ "not-non-empty-tree")\ (\lambda-. Max (Set\ t))$
 $\ else\ r-max-opt\ t)$
<proof>

lemma *Sup-fin-set-fold* [code]:
 $Sup-fin (Set\ t) = Max (Set\ t)$
<proof>

lemma *Sup-Set-fold*:
fixes $t :: ('a :: \{linorder, complete-lattice\}, unit)\ rbt$
shows $Sup (Set\ t) = (if\ RBT.is-empty\ t\ then\ bot\ else\ r-max-opt\ t)$
<proof>

definition $Sup' :: 'a :: \{linorder, complete-lattice\}\ set \Rightarrow 'a$
where [code del]: $Sup' x = Sup\ x$
declare *Sup'-def*[symmetric, code-unfold]
declare *Sup-Set-fold*[folded *Sup'-def*, code]

lemma *SUP-Set-fold* [code]:
fixes $f :: - \Rightarrow 'a :: complete-lattice$
shows $SUPREMUM (Set\ t)\ f = fold-keys (sup \circ f)\ t\ bot$
<proof>

lemma *sorted-list-set*[code]: $sorted-list-of-set (Set\ t) = RBT.keys\ t$
<proof>

```

lemma Bleat-code [code]:
  Bleat (Set t) P =
    (case filter P (RBT.keys t) of
      x # xs ⇒ x
    | [] ⇒ abort-Bleat (Set t) P)
  ⟨proof⟩

hide-const (open) RBT-Set.Set RBT-Set.Coset

end

```

116 Refute

```

theory Refute
imports Main
keywords refute :: diag and refute-params :: thy-decl
begin

  ⟨ML⟩

refute-params
  [itself = 1,
   minsize = 1,
   maxsize = 8,
   maxvars = 10000,
   maxtime = 60,
   satsolver = auto,
   no-assms = false]

  (* ----- *)
  (* REFUTE *)
  (* ----- *)
  (* We use a SAT solver to search for a (finite) model that refutes a given *)
  (* HOL formula. *)
  (* ----- *)

  (* ----- *)
  (* NOTE *)
  (* ----- *)
  (* I strongly recommend that you install a stand-alone SAT solver if you *)
  (* want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
  (* have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
  (* in 'etc/settings'. *)
  (* ----- *)

  (* ----- *)
  (* USAGE *)
  (* ----- *)

```



```

(* See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported *)
(* parameters are explained below. *)
(* ----- *)

(* ----- *)
(* CURRENT LIMITATIONS *)
(* *)
(* 'refute' currently accepts formulas of higher-order predicate logic (with *)
(* equality), including free/bound/schematic variables, lambda abstractions, *)
(* sets and set membership, "arbitrary", "The", "Eps", records and *)
(* inductively defined sets. Constants are unfolded automatically, and sort *)
(* axioms are added as well. Other, user-asserted axioms however are *)
(* ignored. Inductive datatypes and recursive functions are supported, but *)
(* may lead to spurious countermodels. *)
(* *)
(* The (space) complexity of the algorithm is non-elementary. *)
(* *)
(* Schematic type variables are not supported. *)
(* ----- *)

(* ----- *)
(* PARAMETERS *)
(* *)
(* The following global parameters are currently supported (and required, *)
(* except for "expect"): *)
(* *)
(* Name          Type      Description *)
(* *)
(* "minsize"     int       Only search for models with size at least *)
(*               'minsize'. *)
(* "maxsize"     int       If >0, only search for models with size at most *)
(*               'maxsize'. *)
(* "maxvars"     int       If >0, use at most 'maxvars' boolean variables *)
(*               when transforming the term into a propositional *)
(*               formula. *)
(* "maxtime"     int       If >0, terminate after at most 'maxtime' seconds. *)
(*               This value is ignored under some ML compilers. *)
(* "satsolver"   string    Name of the SAT solver to be used. *)
(* "no_assms"    bool      If "true", assumptions in structured proofs are *)
(*               not considered. *)
(* "expect"      string    Expected result ("genuine", "potential", "none", or *)
(*               "unknown"). *)
(* *)
(* The size of particular types can be specified in the form type=size *)
(* (where 'type' is a string, and 'size' is an int). Examples: *)
(* "'a'=1 *)
(* "List.list=2 *)
(* ----- *)

```

```

(*) ----- *)
(*) FILES *)
(*) *)
(*) HOL/Tools/prop_logic.ML Propositional logic *)
(*) HOL/Tools/sat_solver.ML SAT solvers *)
(*) HOL/Tools/refute.ML Translation HOL -> propositional logic and *)
(*) Boolean assignment -> HOL model *)
(*) HOL/Refute.thy This file: loads the ML files, basic setup, *)
(*) documentation *)
(*) HOL/SAT.thy Sets default parameters *)
(*) HOL/ex/Refute_Examples.thy Examples *)
(*) ----- *)

```

end

117 TFL: recursive function definitions

```

theory Old-Recdef
imports Main
keywords
  recdef :: thy-decl and
  permissive congs hints
begin

```

117.1 Lemmas for TFL

```

lemma tfl-wf-induct: ALL R. wf R -->
  (ALL P. (ALL x. (ALL y. (y,x):R --> P y) --> P x) --> (ALL x. P
x))
<proof>

```

```

lemma tfl-cut-def: cut f r x ≡ (λy. if (y,x) ∈ r then f y else undefined)
<proof>

```

```

lemma tfl-cut-apply: ALL f R. (x,a):R --> (cut f R a)(x) = f(x)
<proof>

```

```

lemma tfl-wfrec:
  ALL M R f. (f=wfrec R M) --> wf R --> (ALL x. f x = M (cut f R x) x)
<proof>

```

```

lemma tfl-eq-True: (x = True) --> x
<proof>

```

```

lemma tfl-rev-eq-mp: (x = y) --> y --> x
<proof>

```

```

lemma tfl-simp-thm: (x --> y) --> (x = x') --> (x' --> y)
<proof>

```

lemma *tfl-P-imp-P-iff-True*: $P \implies P = \text{True}$
 ⟨proof⟩

lemma *tfl-imp-trans*: $(A \implies B) \implies (B \implies C) \implies (A \implies C)$
 ⟨proof⟩

lemma *tfl-disj-assoc*: $(a \vee b) \vee c == a \vee (b \vee c)$
 ⟨proof⟩

lemma *tfl-disjE*: $P \vee Q \implies P \implies R \implies Q \implies R \implies R$
 ⟨proof⟩

lemma *tfl-exE*: $\exists x. P x \implies \forall x. P x \implies Q \implies Q$
 ⟨proof⟩

⟨ML⟩

117.2 Rule setup

lemmas [*recdef-simp*] =
inv-image-def
measure-def
lex-prod-def
same-fst-def
less-Suc-eq [*THEN iffD2*]

lemmas [*recdef-cong*] =
if-cong *let-cong* *image-cong* *INF-cong* *SUP-cong* *bex-cong* *ball-cong* *imp-cong*
map-cong *filter-cong* *takeWhile-cong* *dropWhile-cong* *foldl-cong* *foldr-cong*

lemmas [*recdef-wf*] =
wf-trancl
wf-less-than
wf-lex-prod
wf-inv-image
wf-measure
wf-measures
wf-pred-nat
wf-same-fst
wf-empty

end

118 Syntactic classes for bitwise operations

theory *Bits*
imports *Main*
begin

```

class bit =
  fixes bitNOT :: 'a ⇒ 'a      (NOT - [70] 71)
  and bitAND  :: 'a ⇒ 'a ⇒ 'a (infixr AND 64)
  and bitOR   :: 'a ⇒ 'a ⇒ 'a (infixr OR  59)
  and bitXOR  :: 'a ⇒ 'a ⇒ 'a (infixr XOR 59)

```

We want the bitwise operations to bind slightly weaker than + and −, but ~~ to bind slightly stronger than *.

Testing and shifting operations.

```

class bits = bit +
  fixes test-bit :: 'a ⇒ nat ⇒ bool (infixl !! 100)
  and lsb       :: 'a ⇒ bool
  and set-bit   :: 'a ⇒ nat ⇒ bool ⇒ 'a
  and set-bits  :: (nat ⇒ bool) ⇒ 'a (binder BITS 10)
  and shiftl   :: 'a ⇒ nat ⇒ 'a (infixl << 55)
  and shiftr   :: 'a ⇒ nat ⇒ 'a (infixl >> 55)

```

```

class bitss = bits +
  fixes msb   :: 'a ⇒ bool

```

end

119 Bit operations in \mathcal{Z}_∞

```

theory Bits-Bit
imports Bits ~~/src/HOL/Library/Bit
begin

```

```

instantiation bit :: bit
begin

```

```

primrec bitNOT-bit where
  NOT 0 = (1::bit)
| NOT 1 = (0::bit)

```

```

primrec bitAND-bit where
  0 AND y = (0::bit)
| 1 AND y = (y::bit)

```

```

primrec bitOR-bit where
  0 OR y = (y::bit)
| 1 OR y = (1::bit)

```

```

primrec bitXOR-bit where
  0 XOR y = (y::bit)
| 1 XOR y = (NOT y :: bit)

```

instance $\langle proof \rangle$

end

lemmas *bit-simps* =

bitNOT-bit.simps bitAND-bit.simps bitOR-bit.simps bitXOR-bit.simps

lemma *bit-extra-simps* [*simp*]:

$x \text{ AND } 0 = (0::\text{bit})$

$x \text{ AND } 1 = (x::\text{bit})$

$x \text{ OR } 1 = (1::\text{bit})$

$x \text{ OR } 0 = (x::\text{bit})$

$x \text{ XOR } 1 = \text{NOT } (x::\text{bit})$

$x \text{ XOR } 0 = (x::\text{bit})$

$\langle proof \rangle$

lemma *bit-ops-comm*:

$(x::\text{bit}) \text{ AND } y = y \text{ AND } x$

$(x::\text{bit}) \text{ OR } y = y \text{ OR } x$

$(x::\text{bit}) \text{ XOR } y = y \text{ XOR } x$

$\langle proof \rangle$

lemma *bit-ops-same* [*simp*]:

$(x::\text{bit}) \text{ AND } x = x$

$(x::\text{bit}) \text{ OR } x = x$

$(x::\text{bit}) \text{ XOR } x = 0$

$\langle proof \rangle$

lemma *bit-not-not* [*simp*]: $\text{NOT } (\text{NOT } (x::\text{bit})) = x$

$\langle proof \rangle$

lemma *bit-or-def*: $(b::\text{bit}) \text{ OR } c = \text{NOT } (\text{NOT } b \text{ AND } \text{NOT } c)$

$\langle proof \rangle$

lemma *bit-xor-def*: $(b::\text{bit}) \text{ XOR } c = (b \text{ AND } \text{NOT } c) \text{ OR } (\text{NOT } b \text{ AND } c)$

$\langle proof \rangle$

lemma *bit-NOT-eq-1-iff* [*simp*]: $\text{NOT } (b::\text{bit}) = 1 \iff b = 0$

$\langle proof \rangle$

lemma *bit-AND-eq-1-iff* [*simp*]: $(a::\text{bit}) \text{ AND } b = 1 \iff a = 1 \wedge b = 1$

$\langle proof \rangle$

end

120 Useful Numerical Lemmas

theory *Misc-Numeric*

imports *Main*

begin

lemma *mod-2-neq-1-eq-eq-0*:

fixes $k :: int$

shows $k \bmod 2 \neq 1 \longleftrightarrow k \bmod 2 = 0$

<proof>

lemma *z1pmod2*:

fixes $b :: int$

shows $(2 * b + 1) \bmod 2 = (1 :: int)$

<proof>

lemma *diff-le-eq'*:

$a - b \leq c \longleftrightarrow a \leq b + (c :: int)$

<proof>

lemma *emep1*:

fixes $n d :: int$

shows $even\ n \implies even\ d \implies 0 \leq d \implies (n + 1) \bmod d = (n \bmod d) + 1$

<proof>

lemma *int-mod-ge*:

$a < n \implies 0 < (n :: int) \implies a \leq a \bmod n$

<proof>

lemma *int-mod-ge'*:

$b < 0 \implies 0 < (n :: int) \implies b + n \leq b \bmod n$

<proof>

lemma *int-mod-le'*:

$(0 :: int) \leq b - n \implies b \bmod n \leq b - n$

<proof>

lemma *zless2*:

$0 < (2 :: int)$

<proof>

lemma *zless2p*:

$0 < (2 ^ n :: int)$

<proof>

lemma *zle2p*:

$0 \leq (2 ^ n :: int)$

<proof>

lemma *m1mod2k*:

$-1 \bmod 2 ^ n = (2 ^ n - 1 :: int)$

<proof>

```

lemma p1mod22k':
  fixes b :: int
  shows  $(1 + 2 * b) \bmod (2 * 2 ^ n) = 1 + 2 * (b \bmod 2 ^ n)$ 
   $\langle proof \rangle$ 

lemma p1mod22k:
  fixes b :: int
  shows  $(2 * b + 1) \bmod (2 * 2 ^ n) = 2 * (b \bmod 2 ^ n) + 1$ 
   $\langle proof \rangle$ 

lemma int-mod-lem:
   $(0 :: int) < n ==> (0 \leq b \ \& \ b < n) = (b \bmod n = b)$ 
   $\langle proof \rangle$ 

end

```

121 Integers as implicit bit strings

```

theory Bit-Representation
imports Misc-Numeric
begin

```

121.1 Constructors and destructors for binary integers

```

definition Bit :: int  $\Rightarrow$  bool  $\Rightarrow$  int (infixl BIT 90)

```

```

where

```

```

  k BIT b = (if b then 1 else 0) + k + k

```

```

lemma Bit-B0:

```

```

  k BIT False = k + k

```

```

   $\langle proof \rangle$ 

```

```

lemma Bit-B1:

```

```

  k BIT True = k + k + 1

```

```

   $\langle proof \rangle$ 

```

```

lemma Bit-B0-2t: k BIT False = 2 * k

```

```

   $\langle proof \rangle$ 

```

```

lemma Bit-B1-2t: k BIT True = 2 * k + 1

```

```

   $\langle proof \rangle$ 

```

```

definition bin-last :: int  $\Rightarrow$  bool

```

```

where

```

```

  bin-last w  $\longleftrightarrow w \bmod 2 = 1$ 

```

```

lemma bin-last-odd:

```

```

  bin-last = odd

```

```

   $\langle proof \rangle$ 

```

definition *bin-rest* :: *int* \Rightarrow *int*

where

$$\text{bin-rest } w = w \text{ div } 2$$

lemma *bin-rl-simp* [*simp*]:

$$\text{bin-rest } w \text{ BIT } \text{bin-last } w = w$$

<proof>

lemma *bin-rest-BIT* [*simp*]: *bin-rest* (*x BIT b*) = *x*

<proof>

lemma *bin-last-BIT* [*simp*]: *bin-last* (*x BIT b*) = *b*

<proof>

lemma *BIT-eq-iff* [*iff*]: *u BIT b = v BIT c* \longleftrightarrow *u = v* \wedge *b = c*

<proof>

lemma *BIT-bin-simps* [*simp*]:

$$\text{numeral } k \text{ BIT } \text{False} = \text{numeral } (\text{Num.Bit0 } k)$$

$$\text{numeral } k \text{ BIT } \text{True} = \text{numeral } (\text{Num.Bit1 } k)$$

$$(- \text{numeral } k) \text{ BIT } \text{False} = - \text{numeral } (\text{Num.Bit0 } k)$$

$$(- \text{numeral } k) \text{ BIT } \text{True} = - \text{numeral } (\text{Num.BitM } k)$$

<proof>

lemma *BIT-special-simps* [*simp*]:

$$\text{shows } 0 \text{ BIT } \text{False} = 0 \text{ and } 0 \text{ BIT } \text{True} = 1$$

$$\text{and } 1 \text{ BIT } \text{False} = 2 \text{ and } 1 \text{ BIT } \text{True} = 3$$

$$\text{and } (- 1) \text{ BIT } \text{False} = - 2 \text{ and } (- 1) \text{ BIT } \text{True} = - 1$$

<proof>

lemma *Bit-eq-0-iff*: *w BIT b = 0* \longleftrightarrow *w = 0* \wedge \neg *b*

<proof>

lemma *Bit-eq-m1-iff*: *w BIT b = -1* \longleftrightarrow *w = -1* \wedge *b*

<proof>

lemma *BitM-inc*: *Num.BitM* (*Num.inc w*) = *Num.Bit1 w*

<proof>

lemma *expand-BIT*:

$$\text{numeral } (\text{Num.Bit0 } w) = \text{numeral } w \text{ BIT } \text{False}$$

$$\text{numeral } (\text{Num.Bit1 } w) = \text{numeral } w \text{ BIT } \text{True}$$

$$- \text{numeral } (\text{Num.Bit0 } w) = (- \text{numeral } w) \text{ BIT } \text{False}$$

$$- \text{numeral } (\text{Num.Bit1 } w) = (- \text{numeral } (w + \text{Num.One})) \text{ BIT } \text{True}$$

<proof>

lemma *bin-last-numeral-simps* [*simp*]:

$$\neg \text{bin-last } 0$$

$bin\text{-}last\ 1$
 $bin\text{-}last\ (-\ 1)$
 $bin\text{-}last\ Numeral1$
 $\neg\ bin\text{-}last\ (numeral\ (Num.Bit0\ w))$
 $bin\text{-}last\ (numeral\ (Num.Bit1\ w))$
 $\neg\ bin\text{-}last\ (-\ numeral\ (Num.Bit0\ w))$
 $bin\text{-}last\ (-\ numeral\ (Num.Bit1\ w))$
 $\langle proof \rangle$

lemma *bin-rest-numeral-simps* [simp]:

$bin\text{-}rest\ 0 = 0$
 $bin\text{-}rest\ 1 = 0$
 $bin\text{-}rest\ (-\ 1) = -\ 1$
 $bin\text{-}rest\ Numeral1 = 0$
 $bin\text{-}rest\ (numeral\ (Num.Bit0\ w)) = numeral\ w$
 $bin\text{-}rest\ (numeral\ (Num.Bit1\ w)) = numeral\ w$
 $bin\text{-}rest\ (-\ numeral\ (Num.Bit0\ w)) = -\ numeral\ w$
 $bin\text{-}rest\ (-\ numeral\ (Num.Bit1\ w)) = -\ numeral\ (w + Num.One)$
 $\langle proof \rangle$

lemma *less-Bits*:

$v\ BIT\ b < w\ BIT\ c \longleftrightarrow v < w \vee v \leq w \wedge \neg\ b \wedge c$
 $\langle proof \rangle$

lemma *le-Bits*:

$v\ BIT\ b \leq w\ BIT\ c \longleftrightarrow v < w \vee v \leq w \wedge (\neg\ b \vee c)$
 $\langle proof \rangle$

lemma *pred-BIT-simps* [simp]:

$x\ BIT\ False - 1 = (x - 1)\ BIT\ True$
 $x\ BIT\ True - 1 = x\ BIT\ False$
 $\langle proof \rangle$

lemma *succ-BIT-simps* [simp]:

$x\ BIT\ False + 1 = x\ BIT\ True$
 $x\ BIT\ True + 1 = (x + 1)\ BIT\ False$
 $\langle proof \rangle$

lemma *add-BIT-simps* [simp]:

$x\ BIT\ False + y\ BIT\ False = (x + y)\ BIT\ False$
 $x\ BIT\ False + y\ BIT\ True = (x + y)\ BIT\ True$
 $x\ BIT\ True + y\ BIT\ False = (x + y)\ BIT\ True$
 $x\ BIT\ True + y\ BIT\ True = (x + y + 1)\ BIT\ False$
 $\langle proof \rangle$

lemma *mult-BIT-simps* [simp]:

$x\ BIT\ False * y = (x * y)\ BIT\ False$
 $x * y\ BIT\ False = (x * y)\ BIT\ False$
 $x\ BIT\ True * y = (x * y)\ BIT\ False + y$

<proof>

lemma *B-mod-2'*:

$X = 2 \implies (w \text{ BIT True}) \text{ mod } X = 1 \ \& \ (w \text{ BIT False}) \text{ mod } X = 0$

<proof>

lemma *bin-ex-rl*: $EX \ w \ b. \ w \text{ BIT } b = \text{bin}$

<proof>

lemma *bin-exhaust*:

assumes $Q: \bigwedge x \ b. \ \text{bin} = x \text{ BIT } b \implies Q$

shows Q

<proof>

primrec *bin-nth* **where**

$Z: \text{bin-nth } w \ 0 \longleftrightarrow \text{bin-last } w$

$| \text{Suc}: \text{bin-nth } w \ (\text{Suc } n) \longleftrightarrow \text{bin-nth } (\text{bin-rest } w) \ n$

lemma *bin-abs-lem*:

$\text{bin} = (w \text{ BIT } b) \implies \text{bin} \sim = -1 \dashrightarrow \text{bin} \sim = 0 \dashrightarrow$
 $\text{nat } |w| < \text{nat } |\text{bin}|$

<proof>

lemma *bin-induct*:

assumes *PPls*: $P \ 0$

and *PMin*: $P \ (- \ 1)$

and *PBit*: $!! \text{bin } \text{bit}. \ P \ \text{bin} \implies P \ (\text{bin } \text{BIT } \text{bit})$

shows $P \ \text{bin}$

<proof>

lemma *Bit-div2* [*simp*]: $(w \text{ BIT } b) \ \text{div } 2 = w$

<proof>

lemma *bin-nth-eq-iff*:

$\text{bin-nth } x = \text{bin-nth } y \longleftrightarrow x = y$

<proof>

lemmas *bin-eqI* = *ext* [*THEN* *bin-nth-eq-iff* [*THEN* *iffD1*]]

lemma *bin-eq-iff*:

$x = y \longleftrightarrow (\forall n. \ \text{bin-nth } x \ n = \text{bin-nth } y \ n)$

<proof>

lemma *bin-nth-zero* [*simp*]: $\neg \text{bin-nth } 0 \ n$

<proof>

lemma *bin-nth-1* [*simp*]: $\text{bin-nth } 1 \ n \longleftrightarrow n = 0$

<proof>

lemma *bin-nth-minus1* [*simp*]: $\text{bin-nth } (- 1) n$
 ⟨*proof*⟩

lemma *bin-nth-0-BIT*: $\text{bin-nth } (w \text{ BIT } b) 0 \longleftrightarrow b$
 ⟨*proof*⟩

lemma *bin-nth-Suc-BIT*: $\text{bin-nth } (w \text{ BIT } b) (\text{Suc } n) = \text{bin-nth } w n$
 ⟨*proof*⟩

lemma *bin-nth-minus* [*simp*]: $0 < n \implies \text{bin-nth } (w \text{ BIT } b) n = \text{bin-nth } w (n - 1)$
 ⟨*proof*⟩

lemma *bin-nth-numeral*:
 $\text{bin-rest } x = y \implies \text{bin-nth } x (\text{numeral } n) = \text{bin-nth } y (\text{pred-numeral } n)$
 ⟨*proof*⟩

lemmas *bin-nth-numeral-simps* [*simp*] =
 $\text{bin-nth-numeral } [\text{OF } \text{bin-rest-numeral-simps } (2)]$
 $\text{bin-nth-numeral } [\text{OF } \text{bin-rest-numeral-simps } (5)]$
 $\text{bin-nth-numeral } [\text{OF } \text{bin-rest-numeral-simps } (6)]$
 $\text{bin-nth-numeral } [\text{OF } \text{bin-rest-numeral-simps } (7)]$
 $\text{bin-nth-numeral } [\text{OF } \text{bin-rest-numeral-simps } (8)]$

lemmas *bin-nth-simps* =
 $\text{bin-nth.Z } \text{bin-nth.Suc } \text{bin-nth-zero } \text{bin-nth-minus1}$
 $\text{bin-nth-numeral-simps}$

121.2 Truncating binary integers

definition *bin-sign* :: $\text{int} \Rightarrow \text{int}$

where

bin-sign-def: $\text{bin-sign } k = (\text{if } k \geq 0 \text{ then } 0 \text{ else } - 1)$

lemma *bin-sign-simps* [*simp*]:
 $\text{bin-sign } 0 = 0$
 $\text{bin-sign } 1 = 0$
 $\text{bin-sign } (- 1) = - 1$
 $\text{bin-sign } (\text{numeral } k) = 0$
 $\text{bin-sign } (- \text{numeral } k) = - 1$
 $\text{bin-sign } (w \text{ BIT } b) = \text{bin-sign } w$
 ⟨*proof*⟩

lemma *bin-sign-rest* [*simp*]:
 $\text{bin-sign } (\text{bin-rest } w) = \text{bin-sign } w$
 ⟨*proof*⟩

primrec *bintrunc* :: $\text{nat} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $Z : \text{bintrunc } 0 \text{ bin} = 0$

| *Suc* : *bintrunc* (*Suc* *n*) *bin* = *bintrunc* *n* (*bin-rest* *bin*) *BIT* (*bin-last* *bin*)

primrec *sbintrunc* :: *nat* => *int* => *int* **where**

Z : *sbintrunc* 0 *bin* = (if *bin-last* *bin* then -1 else 0)

| *Suc* : *sbintrunc* (*Suc* *n*) *bin* = *sbintrunc* *n* (*bin-rest* *bin*) *BIT* (*bin-last* *bin*)

lemma *sign-bintr*: *bin-sign* (*bintrunc* *n* *w*) = 0

<proof>

lemma *bintrunc-mod2p*: *bintrunc* *n* *w* = (*w* mod 2^n)

<proof>

lemma *sbintrunc-mod2p*: *sbintrunc* *n* *w* = (*w* + 2^n) mod $2^{Suc\ n}$ - 2^n

<proof>

121.3 Simplifications for (s)bintrunc

lemma *bintrunc-n-0* [*simp*]: *bintrunc* *n* 0 = 0

<proof>

lemma *sbintrunc-n-0* [*simp*]: *sbintrunc* *n* 0 = 0

<proof>

lemma *sbintrunc-n-minus1* [*simp*]: *sbintrunc* *n* (- 1) = -1

<proof>

lemma *bintrunc-Suc-numeral*:

bintrunc (*Suc* *n*) 1 = 1

bintrunc (*Suc* *n*) (- 1) = *bintrunc* *n* (- 1) *BIT* *True*

bintrunc (*Suc* *n*) (*numeral* (*Num.Bit0* *w*)) = *bintrunc* *n* (*numeral* *w*) *BIT* *False*

bintrunc (*Suc* *n*) (*numeral* (*Num.Bit1* *w*)) = *bintrunc* *n* (*numeral* *w*) *BIT* *True*

bintrunc (*Suc* *n*) (- *numeral* (*Num.Bit0* *w*)) =

bintrunc *n* (- *numeral* *w*) *BIT* *False*

bintrunc (*Suc* *n*) (- *numeral* (*Num.Bit1* *w*)) =

bintrunc *n* (- *numeral* (*w* + *Num.One*)) *BIT* *True*

<proof>

lemma *sbintrunc-0-numeral* [*simp*]:

sbintrunc 0 1 = -1

sbintrunc 0 (*numeral* (*Num.Bit0* *w*)) = 0

sbintrunc 0 (*numeral* (*Num.Bit1* *w*)) = -1

sbintrunc 0 (- *numeral* (*Num.Bit0* *w*)) = 0

sbintrunc 0 (- *numeral* (*Num.Bit1* *w*)) = -1

<proof>

lemma *sbintrunc-Suc-numeral*:

sbintrunc (*Suc* *n*) 1 = 1

sbintrunc (*Suc* *n*) (*numeral* (*Num.Bit0* *w*)) =

sbintrunc *n* (*numeral* *w*) *BIT* *False*

$$\begin{aligned}
& \text{sbintrunc } (Suc\ n) \text{ (numeral (Num.Bit1 } w)) = \\
& \quad \text{sbintrunc } n \text{ (numeral } w) \text{ BIT True} \\
& \text{sbintrunc } (Suc\ n) \text{ (- numeral (Num.Bit0 } w)) = \\
& \quad \text{sbintrunc } n \text{ (- numeral } w) \text{ BIT False} \\
& \text{sbintrunc } (Suc\ n) \text{ (- numeral (Num.Bit1 } w)) = \\
& \quad \text{sbintrunc } n \text{ (- numeral (} w + \text{Num.One)) BIT True} \\
& \langle \text{proof} \rangle
\end{aligned}$$

lemma *bin-sign-lem*: $(\text{bin-sign } (\text{sbintrunc } n\ w) = -1) = \text{bin-nth } n\ w$
 $\langle \text{proof} \rangle$

lemma *nth-bintr*: $\text{bin-nth } (\text{bintrunc } m\ w)\ n = (n < m \ \& \ \text{bin-nth } w\ n)$
 $\langle \text{proof} \rangle$

lemma *nth-sbintr*:
 $\text{bin-nth } (\text{sbintrunc } m\ w)\ n =$
 $(\text{if } n < m \text{ then } \text{bin-nth } w\ n \text{ else } \text{bin-nth } w\ m)$
 $\langle \text{proof} \rangle$

lemma *bin-nth-Bit*:
 $\text{bin-nth } (w\ \text{BIT } b)\ n = (n = 0 \ \& \ b \mid (\exists m. n = \text{Suc } m \ \& \ \text{bin-nth } w\ m))$
 $\langle \text{proof} \rangle$

lemma *bin-nth-Bit0*:
 $\text{bin-nth } (\text{numeral } (\text{Num.Bit0 } w))\ n \longleftrightarrow$
 $(\exists m. n = \text{Suc } m \ \wedge \ \text{bin-nth } (\text{numeral } w)\ m)$
 $\langle \text{proof} \rangle$

lemma *bin-nth-Bit1*:
 $\text{bin-nth } (\text{numeral } (\text{Num.Bit1 } w))\ n \longleftrightarrow$
 $n = 0 \ \vee \ (\exists m. n = \text{Suc } m \ \wedge \ \text{bin-nth } (\text{numeral } w)\ m)$
 $\langle \text{proof} \rangle$

lemma *bintrunc-bintrunc-l*:
 $n \leq m \implies (\text{bintrunc } m\ (\text{bintrunc } n\ w) = \text{bintrunc } n\ w)$
 $\langle \text{proof} \rangle$

lemma *sbintrunc-sbintrunc-l*:
 $n \leq m \implies (\text{sbintrunc } m\ (\text{sbintrunc } n\ w) = \text{sbintrunc } n\ w)$
 $\langle \text{proof} \rangle$

lemma *bintrunc-bintrunc-ge*:
 $n \leq m \implies (\text{bintrunc } n\ (\text{bintrunc } m\ w) = \text{bintrunc } n\ w)$
 $\langle \text{proof} \rangle$

lemma *bintrunc-bintrunc-min* [*simp*]:
 $\text{bintrunc } m\ (\text{bintrunc } n\ w) = \text{bintrunc } (\text{min } m\ n)\ w$
 $\langle \text{proof} \rangle$

lemma *sbintrunc-sbintrunc-min* [simp]:
 $\text{sbintrunc } m \ (\text{sbintrunc } n \ w) = \text{sbintrunc } (\text{min } m \ n) \ w$
 ⟨proof⟩

lemmas *bintrunc-Pls* =
 bintrunc.Suc [where $\text{bin}=0$, simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *bintrunc-Min* [simp] =
 bintrunc.Suc [where $\text{bin}=-1$, simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *bintrunc-BIT* [simp] =
 bintrunc.Suc [where $\text{bin}=w$ BIT b , simplified *bin-last-BIT bin-rest-BIT*] for $w \ b$

lemmas *bintrunc-Sucs* = *bintrunc-Pls bintrunc-Min bintrunc-BIT*
bintrunc-Suc-numeral

lemmas *sbintrunc-Suc-Pls* =
 sbintrunc.Suc [where $\text{bin}=0$, simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *sbintrunc-Suc-Min* =
 sbintrunc.Suc [where $\text{bin}=-1$, simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *sbintrunc-Suc-BIT* [simp] =
 sbintrunc.Suc [where $\text{bin}=w$ BIT b , simplified *bin-last-BIT bin-rest-BIT*] for $w \ b$

lemmas *sbintrunc-Sucs* = *sbintrunc-Suc-Pls sbintrunc-Suc-Min sbintrunc-Suc-BIT*
sbintrunc-Suc-numeral

lemmas *sbintrunc-Pls* =
 sbintrunc.Z [where $\text{bin}=0$,
 simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *sbintrunc-Min* =
 sbintrunc.Z [where $\text{bin}=-1$,
 simplified *bin-last-numeral-simps bin-rest-numeral-simps*]

lemmas *sbintrunc-0-BIT-B0* [simp] =
 sbintrunc.Z [where $\text{bin}=w$ BIT *False*,
 simplified *bin-last-numeral-simps bin-rest-numeral-simps*] for w

lemmas *sbintrunc-0-BIT-B1* [simp] =
 sbintrunc.Z [where $\text{bin}=w$ BIT *True*,
 simplified *bin-last-BIT bin-rest-numeral-simps*] for w

lemmas *sbintrunc-0-simps* =
sbintrunc-Pls sbintrunc-Min sbintrunc-0-BIT-B0 sbintrunc-0-BIT-B1

lemmas *bintrunc-simps* = *bintrunc.Z bintrunc-Sucs*

lemmas *sbintrunc-simps* = *sbintrunc-0-simps* *sbintrunc-Sucs*

lemma *bintrunc-minus*:

$0 < n \implies \text{bintrunc } (\text{Suc } (n - 1)) w = \text{bintrunc } n w$
 ⟨proof⟩

lemma *sbintrunc-minus*:

$0 < n \implies \text{sbintrunc } (\text{Suc } (n - 1)) w = \text{sbintrunc } n w$
 ⟨proof⟩

lemmas *bintrunc-minus-simps* =

bintrunc-Sucs [THEN [2] *bintrunc-minus* [symmetric, THEN trans]]

lemmas *sbintrunc-minus-simps* =

sbintrunc-Sucs [THEN [2] *sbintrunc-minus* [symmetric, THEN trans]]

lemmas *thobini1* = *arg-cong* [where $f = \%w. w \text{ BIT } b$] for b

lemmas *bintrunc-BIT-I* = *trans* [OF *bintrunc-BIT* *thobini1*]

lemmas *bintrunc-Min-I* = *trans* [OF *bintrunc-Min* *thobini1*]

lemmas *bmsts* = *bintrunc-minus-simps*(1-3) [THEN *thobini1* [THEN [2] *trans*]]

lemmas *bintrunc-Pls-minus-I* = *bmsts*(1)

lemmas *bintrunc-Min-minus-I* = *bmsts*(2)

lemmas *bintrunc-BIT-minus-I* = *bmsts*(3)

lemma *bintrunc-Suc-lem*:

$\text{bintrunc } (\text{Suc } n) x = y \implies m = \text{Suc } n \implies \text{bintrunc } m x = y$
 ⟨proof⟩

lemmas *bintrunc-Suc-Ialts* =

bintrunc-Min-I [THEN *bintrunc-Suc-lem*]

bintrunc-BIT-I [THEN *bintrunc-Suc-lem*]

lemmas *sbintrunc-BIT-I* = *trans* [OF *sbintrunc-Suc-BIT* *thobini1*]

lemmas *sbintrunc-Suc-Is* =

sbintrunc-Sucs(1-3) [THEN *thobini1* [THEN [2] *trans*]]

lemmas *sbintrunc-Suc-minus-Is* =

sbintrunc-minus-simps(1-3) [THEN *thobini1* [THEN [2] *trans*]]

lemma *sbintrunc-Suc-lem*:

$\text{sbintrunc } (\text{Suc } n) x = y \implies m = \text{Suc } n \implies \text{sbintrunc } m x = y$
 ⟨proof⟩

lemmas *sbintrunc-Suc-Ialts* =

sbintrunc-Suc-Is [THEN *sbintrunc-Suc-lem*]

lemma *sbintrunc-bintrunc-lt*:

$m > n \implies \text{sbintrunc } n (\text{bintrunc } m \ w) = \text{sbintrunc } n \ w$
 ⟨proof⟩

lemma *bintrunc-sbintrunc-le*:

$m \leq \text{Suc } n \implies \text{bintrunc } m (\text{sbintrunc } n \ w) = \text{bintrunc } m \ w$
 ⟨proof⟩

lemmas *bintrunc-sbintrunc* [simp] = order-refl [THEN bintrunc-sbintrunc-le]

lemmas *sbintrunc-bintrunc* [simp] = lessI [THEN sbintrunc-bintrunc-lt]

lemmas *bintrunc-bintrunc* [simp] = order-refl [THEN bintrunc-bintrunc-l]

lemmas *sbintrunc-sbintrunc* [simp] = order-refl [THEN sbintrunc-sbintrunc-l]

lemma *bintrunc-sbintrunc'* [simp]:

$0 < n \implies \text{bintrunc } n (\text{sbintrunc } (n - 1) \ w) = \text{bintrunc } n \ w$
 ⟨proof⟩

lemma *sbintrunc-bintrunc'* [simp]:

$0 < n \implies \text{sbintrunc } (n - 1) (\text{bintrunc } n \ w) = \text{sbintrunc } (n - 1) \ w$
 ⟨proof⟩

lemma *bin-sbin-eq-iff*:

$\text{bintrunc } (\text{Suc } n) \ x = \text{bintrunc } (\text{Suc } n) \ y \longleftrightarrow$
 $\text{sbintrunc } n \ x = \text{sbintrunc } n \ y$
 ⟨proof⟩

lemma *bin-sbin-eq-iff'*:

$0 < n \implies \text{bintrunc } n \ x = \text{bintrunc } n \ y \longleftrightarrow$
 $\text{sbintrunc } (n - 1) \ x = \text{sbintrunc } (n - 1) \ y$
 ⟨proof⟩

lemmas *bintrunc-sbintruncS0* [simp] = bintrunc-sbintrunc' [unfolded One-nat-def]

lemmas *sbintrunc-bintruncS0* [simp] = sbintrunc-bintrunc' [unfolded One-nat-def]

lemmas *bintrunc-bintrunc-l'* = le-add1 [THEN bintrunc-bintrunc-l]

lemmas *sbintrunc-sbintrunc-l'* = le-add1 [THEN sbintrunc-sbintrunc-l]

lemmas *nat-non0-gr* =

trans [OF iszero-def [THEN Not-eq-iff [THEN iffD2]] refl]

lemma *bintrunc-numeral*:

$\text{bintrunc } (\text{numeral } k) \ x =$
 $\text{bintrunc } (\text{pred-numeral } k) (\text{bin-rest } x) \ \text{BIT } \text{bin-last } x$
 ⟨proof⟩

lemma *sbintrunc-numeral*:

$\text{sbintrunc } (\text{numeral } k) \ x =$
 $\text{sbintrunc } (\text{pred-numeral } k) (\text{bin-rest } x) \ \text{BIT } \text{bin-last } x$

<proof>

lemma *bintrunc-numeral-simps* [simp]:

$\text{bintrunc } (\text{numeral } k) (\text{numeral } (\text{Num.Bit0 } w)) =$
 $\text{bintrunc } (\text{pred-numeral } k) (\text{numeral } w) \text{ BIT False}$
 $\text{bintrunc } (\text{numeral } k) (\text{numeral } (\text{Num.Bit1 } w)) =$
 $\text{bintrunc } (\text{pred-numeral } k) (\text{numeral } w) \text{ BIT True}$
 $\text{bintrunc } (\text{numeral } k) (- \text{numeral } (\text{Num.Bit0 } w)) =$
 $\text{bintrunc } (\text{pred-numeral } k) (- \text{numeral } w) \text{ BIT False}$
 $\text{bintrunc } (\text{numeral } k) (- \text{numeral } (\text{Num.Bit1 } w)) =$
 $\text{bintrunc } (\text{pred-numeral } k) (- \text{numeral } (w + \text{Num.One})) \text{ BIT True}$
 $\text{bintrunc } (\text{numeral } k) 1 = 1$
<proof>

lemma *sbintrunc-numeral-simps* [simp]:

$\text{sbintrunc } (\text{numeral } k) (\text{numeral } (\text{Num.Bit0 } w)) =$
 $\text{sbintrunc } (\text{pred-numeral } k) (\text{numeral } w) \text{ BIT False}$
 $\text{sbintrunc } (\text{numeral } k) (\text{numeral } (\text{Num.Bit1 } w)) =$
 $\text{sbintrunc } (\text{pred-numeral } k) (\text{numeral } w) \text{ BIT True}$
 $\text{sbintrunc } (\text{numeral } k) (- \text{numeral } (\text{Num.Bit0 } w)) =$
 $\text{sbintrunc } (\text{pred-numeral } k) (- \text{numeral } w) \text{ BIT False}$
 $\text{sbintrunc } (\text{numeral } k) (- \text{numeral } (\text{Num.Bit1 } w)) =$
 $\text{sbintrunc } (\text{pred-numeral } k) (- \text{numeral } (w + \text{Num.One})) \text{ BIT True}$
 $\text{sbintrunc } (\text{numeral } k) 1 = 1$
<proof>

lemma *no-bintr-alt1*: $\text{bintrunc } n = (\lambda w. w \text{ mod } 2 \wedge n :: \text{int})$

<proof>

lemma *range-bintrunc*: $\text{range } (\text{bintrunc } n) = \{i. 0 \leq i \ \& \ i < 2 \wedge n\}$

<proof>

lemma *no-sbintr-alt2*:

$\text{sbintrunc } n = (\%w. (w + 2 \wedge n) \text{ mod } 2 \wedge \text{Suc } n - 2 \wedge n :: \text{int})$

<proof>

lemma *range-sbintrunc*:

$\text{range } (\text{sbintrunc } n) = \{i. -(2 \wedge n) \leq i \ \& \ i < 2 \wedge n\}$

<proof>

lemma *sb-inc-lem*:

$(a :: \text{int}) + 2 \wedge k < 0 \implies a + 2 \wedge k + 2 \wedge (\text{Suc } k) \leq (a + 2 \wedge k) \text{ mod } 2 \wedge (\text{Suc } k)$

<proof>

lemma *sb-inc-lem'*:

$(a :: \text{int}) < -(2 \wedge k) \implies a + 2 \wedge k + 2 \wedge (\text{Suc } k) \leq (a + 2 \wedge k) \text{ mod } 2 \wedge (\text{Suc } k)$

<proof>

lemma *sbintrunc-inc*:

$x < -(2^n) \implies x + 2^{(Suc\ n)} \leq sbintrunc\ n\ x$
 ⟨proof⟩

lemma *sb-dec-lem*:

$(0::int) \leq -(2^k) + a \implies (a + 2^k) \bmod (2 * 2^k) \leq -(2^k) + a$
 ⟨proof⟩

lemma *sb-dec-lem'*:

$(2::int)^k \leq a \implies (a + 2^k) \bmod (2 * 2^k) \leq -(2^k) + a$
 ⟨proof⟩

lemma *sbintrunc-dec*:

$x \geq (2^n) \implies x - 2^{(Suc\ n)} \geq sbintrunc\ n\ x$
 ⟨proof⟩

lemmas *zmod-uminus'* = *zminus-zmod* [where $m=c$] for c

lemmas *zpower-zmod'* = *power-mod* [where $b=c$ and $n=k$] for $c\ k$

lemmas *brdmod1s'* [*symmetric*] =
mod-add-left-eq mod-add-right-eq
mod-diff-left-eq mod-diff-right-eq
mod-mult-left-eq mod-mult-right-eq

lemmas *brdmods'* [*symmetric*] =
zpower-zmod' [*symmetric*]
trans [OF mod-add-left-eq mod-add-right-eq]
trans [OF mod-diff-left-eq mod-diff-right-eq]
trans [OF mod-mult-right-eq mod-mult-left-eq]
zmod-uminus' [*symmetric*]
mod-add-left-eq [where $b = 1::int$]
mod-diff-left-eq [where $b = 1::int$]

lemmas *bintr-arith1s* =

brdmod1s' [where $c=2^n::int$, folded *bintrunc-mod2p*] for n

lemmas *bintr-ariths* =

brdmods' [where $c=2^n::int$, folded *bintrunc-mod2p*] for n

lemmas *m2pths* = *pos-mod-sign pos-mod-bound* [*OF zless2p*]

lemma *bintr-ge0*: $0 \leq bintrunc\ n\ w$

⟨proof⟩

lemma *bintr-lt2p*: $bintrunc\ n\ w < 2^n$

⟨proof⟩

lemma *bintr-Min*: $bintrunc\ n\ (-1) = 2^n - 1$

⟨proof⟩

lemma *sbintr-ge*: $-(2^n) \leq sbintrunc\ n\ w$

<proof>

lemma *sbintr-lt*: $\text{sbintrunc } n \ w < 2 \wedge n$

<proof>

lemma *sign-Pls-ge-0*:

$(\text{bin-sign } \text{bin} = 0) = (\text{bin} \geq (0 :: \text{int}))$

<proof>

lemma *sign-Min-lt-0*:

$(\text{bin-sign } \text{bin} = -1) = (\text{bin} < (0 :: \text{int}))$

<proof>

lemma *bin-rest-trunc*:

$(\text{bin-rest } (\text{bintrunc } n \ \text{bin})) = \text{bintrunc } (n - 1) \ (\text{bin-rest } \text{bin})$

<proof>

lemma *bin-rest-power-trunc*:

$(\text{bin-rest } \wedge^k) (\text{bintrunc } n \ \text{bin}) =$
 $\text{bintrunc } (n - k) \ ((\text{bin-rest } \wedge^k) \ \text{bin})$

<proof>

lemma *bin-rest-trunc-i*:

$\text{bintrunc } n \ (\text{bin-rest } \text{bin}) = \text{bin-rest } (\text{bintrunc } (\text{Suc } n) \ \text{bin})$

<proof>

lemma *bin-rest-strunc*:

$\text{bin-rest } (\text{sbintrunc } (\text{Suc } n) \ \text{bin}) = \text{sbintrunc } n \ (\text{bin-rest } \text{bin})$

<proof>

lemma *bintrunc-rest [simp]*:

$\text{bintrunc } n \ (\text{bin-rest } (\text{bintrunc } n \ \text{bin})) = \text{bin-rest } (\text{bintrunc } n \ \text{bin})$

<proof>

lemma *sbintrunc-rest [simp]*:

$\text{sbintrunc } n \ (\text{bin-rest } (\text{sbintrunc } n \ \text{bin})) = \text{bin-rest } (\text{sbintrunc } n \ \text{bin})$

<proof>

lemma *bintrunc-rest'*:

$\text{bintrunc } n \ o \ \text{bin-rest } o \ \text{bintrunc } n = \text{bin-rest } o \ \text{bintrunc } n$

<proof>

lemma *sbintrunc-rest'*:

$\text{sbintrunc } n \ o \ \text{bin-rest } o \ \text{sbintrunc } n = \text{bin-rest } o \ \text{sbintrunc } n$

<proof>

lemma *rco-lem*:

$f \ o \ g \ o \ f = g \ o \ f \implies f \ o \ (g \ o \ f) \wedge^n = g \wedge^n \ o \ f$

<proof>

lemmas *rco-bintr* = *bintrunc-rest'*
 [THEN *rco-lem* [THEN *fun-cong*], *unfolded o-def*]
lemmas *rco-sbintr* = *sbintrunc-rest'*
 [THEN *rco-lem* [THEN *fun-cong*], *unfolded o-def*]

121.4 Splitting and concatenation

primrec *bin-split* :: *nat* \Rightarrow *int* \Rightarrow *int* \times *int* **where**
Z: *bin-split* 0 *w* = (*w*, 0)
 | *Suc*: *bin-split* (*Suc* *n*) *w* = (let (*w1*, *w2*) = *bin-split* *n* (*bin-rest* *w*)
 in (*w1*, *w2* BIT *bin-last* *w*))

lemma [*code*]:
bin-split (*Suc* *n*) *w* = (let (*w1*, *w2*) = *bin-split* *n* (*bin-rest* *w*) in (*w1*, *w2* BIT
bin-last *w*))
bin-split 0 *w* = (*w*, 0)
 ⟨*proof*⟩

primrec *bin-cat* :: *int* \Rightarrow *nat* \Rightarrow *int* \Rightarrow *int* **where**
Z: *bin-cat* *w* 0 *v* = *w*
 | *Suc*: *bin-cat* *w* (*Suc* *n*) *v* = *bin-cat* *w* *n* (*bin-rest* *v*) BIT *bin-last* *v*

end

122 Bitwise Operations on Binary Integers

theory *Bits-Int*
imports *Bits* *Bit-Representation*
begin

122.1 Logical operations

bit-wise logical operations on the *int* type

instantiation *int* :: *bit*
begin

definition *int-not-def*:
bitNOT = ($\lambda x::int. - x - 1$)

function *bitAND-int* **where**
bitAND-int *x* *y* =
 (if *x* = 0 then 0 else if *x* = -1 then *y* else
 (*bin-rest* *x* AND *bin-rest* *y*) BIT (*bin-last* *x* \wedge *bin-last* *y*))
 ⟨*proof*⟩

termination
 ⟨*proof*⟩

declare *bitAND-int.simps* [*simp del*]

definition *int-or-def*:

$\text{bitOR} = (\lambda x y :: \text{int}. \text{NOT} (\text{NOT } x \text{ AND } \text{NOT } y))$

definition *int-xor-def*:

$\text{bitXOR} = (\lambda x y :: \text{int}. (x \text{ AND } \text{NOT } y) \text{ OR } (\text{NOT } x \text{ AND } y))$

instance $\langle \text{proof} \rangle$

end

122.1.1 Basic simplification rules

lemma *int-not-BIT* [*simp*]:

$\text{NOT} (w \text{ BIT } b) = (\text{NOT } w) \text{ BIT } (\neg b)$

$\langle \text{proof} \rangle$

lemma *int-not-simps* [*simp*]:

$\text{NOT} (0 :: \text{int}) = -1$

$\text{NOT} (1 :: \text{int}) = -2$

$\text{NOT} (-1 :: \text{int}) = 0$

$\text{NOT} (\text{numeral } w :: \text{int}) = - \text{numeral } (w + \text{Num.One})$

$\text{NOT} (- \text{numeral } (\text{Num.Bit0 } w) :: \text{int}) = \text{numeral } (\text{Num.BitM } w)$

$\text{NOT} (- \text{numeral } (\text{Num.Bit1 } w) :: \text{int}) = \text{numeral } (\text{Num.Bit0 } w)$

$\langle \text{proof} \rangle$

lemma *int-not-not* [*simp*]: $\text{NOT} (\text{NOT } (x :: \text{int})) = x$

$\langle \text{proof} \rangle$

lemma *int-and-0* [*simp*]: $(0 :: \text{int}) \text{ AND } x = 0$

$\langle \text{proof} \rangle$

lemma *int-and-m1* [*simp*]: $(-1 :: \text{int}) \text{ AND } x = x$

$\langle \text{proof} \rangle$

lemma *int-and-Bits* [*simp*]:

$(x \text{ BIT } b) \text{ AND } (y \text{ BIT } c) = (x \text{ AND } y) \text{ BIT } (b \wedge c)$

$\langle \text{proof} \rangle$

lemma *int-or-zero* [*simp*]: $(0 :: \text{int}) \text{ OR } x = x$

$\langle \text{proof} \rangle$

lemma *int-or-minus1* [*simp*]: $(-1 :: \text{int}) \text{ OR } x = -1$

$\langle \text{proof} \rangle$

lemma *int-or-Bits* [*simp*]:

$(x \text{ BIT } b) \text{ OR } (y \text{ BIT } c) = (x \text{ OR } y) \text{ BIT } (b \vee c)$

<proof>

lemma *int-xor-zero* [simp]: $(0::int) \text{ XOR } x = x$
<proof>

lemma *int-xor-Bits* [simp]:
 $(x \text{ BIT } b) \text{ XOR } (y \text{ BIT } c) = (x \text{ XOR } y) \text{ BIT } ((b \vee c) \wedge \neg (b \wedge c))$
<proof>

122.1.2 Binary destructors

lemma *bin-rest-NOT* [simp]: $\text{bin-rest } (\text{NOT } x) = \text{NOT } (\text{bin-rest } x)$
<proof>

lemma *bin-last-NOT* [simp]: $\text{bin-last } (\text{NOT } x) \longleftrightarrow \neg \text{bin-last } x$
<proof>

lemma *bin-rest-AND* [simp]: $\text{bin-rest } (x \text{ AND } y) = \text{bin-rest } x \text{ AND } \text{bin-rest } y$
<proof>

lemma *bin-last-AND* [simp]: $\text{bin-last } (x \text{ AND } y) \longleftrightarrow \text{bin-last } x \wedge \text{bin-last } y$
<proof>

lemma *bin-rest-OR* [simp]: $\text{bin-rest } (x \text{ OR } y) = \text{bin-rest } x \text{ OR } \text{bin-rest } y$
<proof>

lemma *bin-last-OR* [simp]: $\text{bin-last } (x \text{ OR } y) \longleftrightarrow \text{bin-last } x \vee \text{bin-last } y$
<proof>

lemma *bin-rest-XOR* [simp]: $\text{bin-rest } (x \text{ XOR } y) = \text{bin-rest } x \text{ XOR } \text{bin-rest } y$
<proof>

lemma *bin-last-XOR* [simp]: $\text{bin-last } (x \text{ XOR } y) \longleftrightarrow (\text{bin-last } x \vee \text{bin-last } y) \wedge \neg (\text{bin-last } x \wedge \text{bin-last } y)$
<proof>

lemma *bin-nth-ops*:

!!x y. $\text{bin-nth } (x \text{ AND } y) \ n = (\text{bin-nth } x \ n \ \& \ \text{bin-nth } y \ n)$
 !!x y. $\text{bin-nth } (x \text{ OR } y) \ n = (\text{bin-nth } x \ n \ | \ \text{bin-nth } y \ n)$
 !!x y. $\text{bin-nth } (x \text{ XOR } y) \ n = (\text{bin-nth } x \ n \ \sim \ \text{bin-nth } y \ n)$
 !!x. $\text{bin-nth } (\text{NOT } x) \ n = (\sim \ \text{bin-nth } x \ n)$
<proof>

122.1.3 Derived properties

lemma *int-xor-minus1* [simp]: $(-1::int) \text{ XOR } x = \text{NOT } x$
<proof>

lemma *int-xor-extra-simps* [simp]:
 $w \text{ XOR } (0::int) = w$

$w \text{ XOR } (-1::\text{int}) = \text{NOT } w$
 ⟨proof⟩

lemma *int-or-extra-simps* [simp]:

$w \text{ OR } (0::\text{int}) = w$
 $w \text{ OR } (-1::\text{int}) = -1$
 ⟨proof⟩

lemma *int-and-extra-simps* [simp]:

$w \text{ AND } (0::\text{int}) = 0$
 $w \text{ AND } (-1::\text{int}) = w$
 ⟨proof⟩

lemma *bin-ops-comm*:

shows

int-and-comm: $\forall y::\text{int}. x \text{ AND } y = y \text{ AND } x$ **and**
int-or-comm: $\forall y::\text{int}. x \text{ OR } y = y \text{ OR } x$ **and**
int-xor-comm: $\forall y::\text{int}. x \text{ XOR } y = y \text{ XOR } x$
 ⟨proof⟩

lemma *bin-ops-same* [simp]:

$(x::\text{int}) \text{ AND } x = x$
 $(x::\text{int}) \text{ OR } x = x$
 $(x::\text{int}) \text{ XOR } x = 0$
 ⟨proof⟩

lemmas *bin-log-esimps* =

int-and-extra-simps int-or-extra-simps int-xor-extra-simps
int-and-0 int-and-m1 int-or-zero int-or-minus1 int-xor-zero int-xor-minus1

lemma *bbw-ao-absorb*:

$\forall y::\text{int}. x \text{ AND } (y \text{ OR } x) = x \ \& \ x \text{ OR } (y \text{ AND } x) = x$
 ⟨proof⟩

lemma *bbw-ao-absorbs-other*:

$x \text{ AND } (x \text{ OR } y) = x \ \wedge \ (y \text{ AND } x) \text{ OR } x = (x::\text{int})$
 $(y \text{ OR } x) \text{ AND } x = x \ \wedge \ x \text{ OR } (x \text{ AND } y) = (x::\text{int})$
 $(x \text{ OR } y) \text{ AND } x = x \ \wedge \ (x \text{ AND } y) \text{ OR } x = (x::\text{int})$
 ⟨proof⟩

lemmas *bbw-ao-absorbs* [simp] = *bbw-ao-absorb bbw-ao-absorbs-other*

lemma *int-xor-not*:

$\forall y::\text{int}. (\text{NOT } x) \text{ XOR } y = \text{NOT } (x \text{ XOR } y) \ \&$
 $x \text{ XOR } (\text{NOT } y) = \text{NOT } (x \text{ XOR } y)$
 ⟨proof⟩

lemma *int-and-assoc*:

$$(x \text{ AND } y) \text{ AND } (z::\text{int}) = x \text{ AND } (y \text{ AND } z)$$

<proof>

lemma *int-or-assoc*:

$$(x \text{ OR } y) \text{ OR } (z::\text{int}) = x \text{ OR } (y \text{ OR } z)$$

<proof>

lemma *int-xor-assoc*:

$$(x \text{ XOR } y) \text{ XOR } (z::\text{int}) = x \text{ XOR } (y \text{ XOR } z)$$

<proof>

lemmas *bbw-assocs* = *int-and-assoc int-or-assoc int-xor-assoc*

lemma *bbw-lcs* [*simp*]:

$$(y::\text{int}) \text{ AND } (x \text{ AND } z) = x \text{ AND } (y \text{ AND } z)$$

$$(y::\text{int}) \text{ OR } (x \text{ OR } z) = x \text{ OR } (y \text{ OR } z)$$

$$(y::\text{int}) \text{ XOR } (x \text{ XOR } z) = x \text{ XOR } (y \text{ XOR } z)$$

<proof>

lemma *bbw-not-dist*:

$$!!y::\text{int}. \text{NOT } (x \text{ OR } y) = (\text{NOT } x) \text{ AND } (\text{NOT } y)$$

$$!!y::\text{int}. \text{NOT } (x \text{ AND } y) = (\text{NOT } x) \text{ OR } (\text{NOT } y)$$

<proof>

lemma *bbw-oa-dist*:

$$!!y \ z::\text{int}. (x \text{ AND } y) \text{ OR } z =$$

$$(x \text{ OR } z) \text{ AND } (y \text{ OR } z)$$

<proof>

lemma *bbw-ao-dist*:

$$!!y \ z::\text{int}. (x \text{ OR } y) \text{ AND } z =$$

$$(x \text{ AND } z) \text{ OR } (y \text{ AND } z)$$

<proof>

122.1.4 Simplification with numerals

Cases for 0 and -1 are already covered by other simp rules.

lemma *bin-rl-eqI*: $[[\text{bin-rest } x = \text{bin-rest } y; \text{bin-last } x = \text{bin-last } y]] \implies x = y$

<proof>

lemma *bin-rest-neg-numeral-BitM* [*simp*]:

$$\text{bin-rest } (- \text{ numeral } (\text{Num.BitM } w)) = - \text{ numeral } w$$

<proof>

lemma *bin-last-neg-numeral-BitM* [*simp*]:

$$\text{bin-last } (- \text{ numeral } (\text{Num.BitM } w))$$

<proof>

FIXME: The rule sets below are very large (24 rules for each operator).
Is there a simpler way to do this?

lemma *int-and-numerals* [simp]:

numeral (Num.Bit0 x) AND numeral (Num.Bit0 y) = (numeral x AND numeral y) BIT False

numeral (Num.Bit0 x) AND numeral (Num.Bit1 y) = (numeral x AND numeral y) BIT False

numeral (Num.Bit1 x) AND numeral (Num.Bit0 y) = (numeral x AND numeral y) BIT False

numeral (Num.Bit1 x) AND numeral (Num.Bit1 y) = (numeral x AND numeral y) BIT True

numeral (Num.Bit0 x) AND ~ numeral (Num.Bit0 y) = (numeral x AND ~ numeral y) BIT False

numeral (Num.Bit0 x) AND ~ numeral (Num.Bit1 y) = (numeral x AND ~ numeral (y + Num.One)) BIT False

numeral (Num.Bit1 x) AND ~ numeral (Num.Bit0 y) = (numeral x AND ~ numeral y) BIT False

numeral (Num.Bit1 x) AND ~ numeral (Num.Bit1 y) = (numeral x AND ~ numeral (y + Num.One)) BIT True

~ numeral (Num.Bit0 x) AND numeral (Num.Bit0 y) = (~ numeral x AND numeral y) BIT False

~ numeral (Num.Bit0 x) AND numeral (Num.Bit1 y) = (~ numeral x AND numeral y) BIT False

~ numeral (Num.Bit1 x) AND numeral (Num.Bit0 y) = (~ numeral (x + Num.One) AND numeral y) BIT False

~ numeral (Num.Bit1 x) AND numeral (Num.Bit1 y) = (~ numeral (x + Num.One) AND numeral y) BIT True

~ numeral (Num.Bit0 x) AND ~ numeral (Num.Bit0 y) = (~ numeral x AND ~ numeral y) BIT False

~ numeral (Num.Bit0 x) AND ~ numeral (Num.Bit1 y) = (~ numeral x AND ~ numeral (y + Num.One)) BIT False

~ numeral (Num.Bit1 x) AND ~ numeral (Num.Bit0 y) = (~ numeral (x + Num.One) AND ~ numeral y) BIT False

~ numeral (Num.Bit1 x) AND ~ numeral (Num.Bit1 y) = (~ numeral (x + Num.One) AND ~ numeral (y + Num.One)) BIT True

(1::int) AND numeral (Num.Bit0 y) = 0

(1::int) AND numeral (Num.Bit1 y) = 1

(1::int) AND ~ numeral (Num.Bit0 y) = 0

(1::int) AND ~ numeral (Num.Bit1 y) = 1

numeral (Num.Bit0 x) AND (1::int) = 0

numeral (Num.Bit1 x) AND (1::int) = 1

~ numeral (Num.Bit0 x) AND (1::int) = 0

~ numeral (Num.Bit1 x) AND (1::int) = 1

<proof>

lemma *int-or-numerals* [simp]:

numeral (Num.Bit0 x) OR numeral (Num.Bit0 y) = (numeral x OR numeral y)

BIT False
 $\text{numeral } (\text{Num.Bit0 } x) \text{ OR numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ OR numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ OR numeral } (\text{Num.Bit0 } y) = (\text{numeral } x \text{ OR numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ OR numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ OR numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit0 } x) \text{ OR } - \text{numeral } (\text{Num.Bit0 } y) = (\text{numeral } x \text{ OR } - \text{numeral } y)$
BIT False
 $\text{numeral } (\text{Num.Bit0 } x) \text{ OR } - \text{numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ OR } - \text{numeral } (y + \text{Num.One}))$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ OR } - \text{numeral } (\text{Num.Bit0 } y) = (\text{numeral } x \text{ OR } - \text{numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ OR } - \text{numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ OR } - \text{numeral } (y + \text{Num.One}))$
BIT True
 $- \text{numeral } (\text{Num.Bit0 } x) \text{ OR numeral } (\text{Num.Bit0 } y) = (- \text{numeral } x \text{ OR numeral } y)$
BIT False
 $- \text{numeral } (\text{Num.Bit0 } x) \text{ OR numeral } (\text{Num.Bit1 } y) = (- \text{numeral } x \text{ OR numeral } y)$
BIT True
 $- \text{numeral } (\text{Num.Bit1 } x) \text{ OR numeral } (\text{Num.Bit0 } y) = (- \text{numeral } (x + \text{Num.One}) \text{ OR numeral } y)$
BIT True
 $- \text{numeral } (\text{Num.Bit1 } x) \text{ OR numeral } (\text{Num.Bit1 } y) = (- \text{numeral } (x + \text{Num.One}) \text{ OR numeral } y)$
BIT True
 $- \text{numeral } (\text{Num.Bit0 } x) \text{ OR } - \text{numeral } (\text{Num.Bit0 } y) = (- \text{numeral } x \text{ OR } - \text{numeral } y)$
BIT False
 $- \text{numeral } (\text{Num.Bit0 } x) \text{ OR } - \text{numeral } (\text{Num.Bit1 } y) = (- \text{numeral } x \text{ OR } - \text{numeral } (y + \text{Num.One}))$
BIT True
 $- \text{numeral } (\text{Num.Bit1 } x) \text{ OR } - \text{numeral } (\text{Num.Bit0 } y) = (- \text{numeral } (x + \text{Num.One}) \text{ OR } - \text{numeral } y)$
BIT True
 $- \text{numeral } (\text{Num.Bit1 } x) \text{ OR } - \text{numeral } (\text{Num.Bit1 } y) = (- \text{numeral } (x + \text{Num.One}) \text{ OR } - \text{numeral } (y + \text{Num.One}))$
BIT True
 $(1::\text{int}) \text{ OR numeral } (\text{Num.Bit0 } y) = \text{numeral } (\text{Num.Bit1 } y)$
 $(1::\text{int}) \text{ OR numeral } (\text{Num.Bit1 } y) = \text{numeral } (\text{Num.Bit1 } y)$
 $(1::\text{int}) \text{ OR } - \text{numeral } (\text{Num.Bit0 } y) = - \text{numeral } (\text{Num.BitM } y)$
 $(1::\text{int}) \text{ OR } - \text{numeral } (\text{Num.Bit1 } y) = - \text{numeral } (\text{Num.Bit1 } y)$
 $\text{numeral } (\text{Num.Bit0 } x) \text{ OR } (1::\text{int}) = \text{numeral } (\text{Num.Bit1 } x)$
 $\text{numeral } (\text{Num.Bit1 } x) \text{ OR } (1::\text{int}) = \text{numeral } (\text{Num.Bit1 } x)$
 $- \text{numeral } (\text{Num.Bit0 } x) \text{ OR } (1::\text{int}) = - \text{numeral } (\text{Num.BitM } x)$
 $- \text{numeral } (\text{Num.Bit1 } x) \text{ OR } (1::\text{int}) = - \text{numeral } (\text{Num.Bit1 } x)$
 ⟨proof⟩

lemma *int-xor-numerals* [simp]:

$\text{numeral } (\text{Num.Bit0 } x) \text{ XOR numeral } (\text{Num.Bit0 } y) = (\text{numeral } x \text{ XOR numeral } y)$
BIT False
 $\text{numeral } (\text{Num.Bit0 } x) \text{ XOR numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ XOR numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ XOR numeral } (\text{Num.Bit0 } y) = (\text{numeral } x \text{ XOR numeral } y)$
BIT True
 $\text{numeral } (\text{Num.Bit1 } x) \text{ XOR numeral } (\text{Num.Bit1 } y) = (\text{numeral } x \text{ XOR numeral } y)$

y) *BIT False*

numeral (Num.Bit0 x) XOR - numeral (Num.Bit0 y) = (numeral x XOR - numeral y) BIT False

numeral (Num.Bit0 x) XOR - numeral (Num.Bit1 y) = (numeral x XOR - numeral (y + Num.One)) BIT True

numeral (Num.Bit1 x) XOR - numeral (Num.Bit0 y) = (numeral x XOR - numeral y) BIT True

numeral (Num.Bit1 x) XOR - numeral (Num.Bit1 y) = (numeral x XOR - numeral (y + Num.One)) BIT False

- numeral (Num.Bit0 x) XOR numeral (Num.Bit0 y) = (- numeral x XOR numeral y) BIT False

- numeral (Num.Bit0 x) XOR numeral (Num.Bit1 y) = (- numeral x XOR numeral y) BIT True

- numeral (Num.Bit1 x) XOR numeral (Num.Bit0 y) = (- numeral (x + Num.One) XOR numeral y) BIT True

- numeral (Num.Bit1 x) XOR numeral (Num.Bit1 y) = (- numeral (x + Num.One) XOR numeral y) BIT False

- numeral (Num.Bit0 x) XOR - numeral (Num.Bit0 y) = (- numeral x XOR - numeral y) BIT False

- numeral (Num.Bit0 x) XOR - numeral (Num.Bit1 y) = (- numeral x XOR - numeral (y + Num.One)) BIT True

- numeral (Num.Bit1 x) XOR - numeral (Num.Bit0 y) = (- numeral (x + Num.One) XOR - numeral y) BIT True

- numeral (Num.Bit1 x) XOR - numeral (Num.Bit1 y) = (- numeral (x + Num.One) XOR - numeral (y + Num.One)) BIT False

(1::int) XOR numeral (Num.Bit0 y) = numeral (Num.Bit1 y)

(1::int) XOR numeral (Num.Bit1 y) = numeral (Num.Bit0 y)

(1::int) XOR - numeral (Num.Bit0 y) = - numeral (Num.BitM y)

(1::int) XOR - numeral (Num.Bit1 y) = - numeral (Num.Bit0 (y + Num.One))

numeral (Num.Bit0 x) XOR (1::int) = numeral (Num.Bit1 x)

numeral (Num.Bit1 x) XOR (1::int) = numeral (Num.Bit0 x)

- numeral (Num.Bit0 x) XOR (1::int) = - numeral (Num.BitM x)

- numeral (Num.Bit1 x) XOR (1::int) = - numeral (Num.Bit0 (x + Num.One))

<proof>

122.1.5 Interactions with arithmetic

lemma *plus-and-or* [*rule-format*]:

ALL y::int. (x AND y) + (x OR y) = x + y

<proof>

lemma *le-int-or*:

bin-sign (y::int) = 0 ==> x <= x OR y

<proof>

lemmas *int-and-le =*

xtrans(3) [OF bbw-ao-absorbs (2) [THEN conjunct2, symmetric] le-int-or]

lemma *bin-add-not*: $x + \text{NOT } x = (-1::\text{int})$
 ⟨proof⟩

122.1.6 Truncating results of bit-wise operations

lemma *bin-trunc-ao*:
 !! $x y$. $(\text{bintrunc } n \ x) \ \text{AND} \ (\text{bintrunc } n \ y) = \text{bintrunc } n \ (x \ \text{AND} \ y)$
 !! $x y$. $(\text{bintrunc } n \ x) \ \text{OR} \ (\text{bintrunc } n \ y) = \text{bintrunc } n \ (x \ \text{OR} \ y)$
 ⟨proof⟩

lemma *bin-trunc-xor*:
 !! $x y$. $\text{bintrunc } n \ (x \ \text{XOR} \ y) = \text{bintrunc } n \ (x \ \text{XOR} \ y)$
 ⟨proof⟩

lemma *bin-trunc-not*:
 !! x . $\text{bintrunc } n \ (\text{NOT} \ (\text{bintrunc } n \ x)) = \text{bintrunc } n \ (\text{NOT} \ x)$
 ⟨proof⟩

lemma *bintr-bintr-i*:
 $x = \text{bintrunc } n \ y \implies \text{bintrunc } n \ x = \text{bintrunc } n \ y$
 ⟨proof⟩

lemmas *bin-trunc-and* = *bin-trunc-ao*(1) [THEN *bintr-bintr-i*]
lemmas *bin-trunc-or* = *bin-trunc-ao*(2) [THEN *bintr-bintr-i*]

122.2 Setting and clearing bits

primrec

bin-sc :: $\text{nat} \Rightarrow \text{bool} \Rightarrow \text{int} \Rightarrow \text{int}$

where

Z : $\text{bin-sc } 0 \ b \ w = \text{bin-rest } w \ \text{BIT } b$

| Suc : $\text{bin-sc} \ (\text{Suc } n) \ b \ w = \text{bin-sc } n \ b \ (\text{bin-rest } w) \ \text{BIT } \text{bin-last } w$

lemma *bin-nth-sc* [simp]:
 $\text{bin-nth} \ (\text{bin-sc } n \ b \ w) \ n \longleftrightarrow b$
 ⟨proof⟩

lemma *bin-sc-sc-same* [simp]:
 $\text{bin-sc } n \ c \ (\text{bin-sc } n \ b \ w) = \text{bin-sc } n \ c \ w$
 ⟨proof⟩

lemma *bin-sc-sc-diff*:
 $m \sim n \implies$
 $\text{bin-sc } m \ c \ (\text{bin-sc } n \ b \ w) = \text{bin-sc } n \ b \ (\text{bin-sc } m \ c \ w)$
 ⟨proof⟩

lemma *bin-nth-sc-gen*:

$\text{bin-nth } (\text{bin-sc } n \ b \ w) \ m = (\text{if } m = n \ \text{then } b \ \text{else } \text{bin-nth } w \ m)$
 ⟨proof⟩

lemma *bin-sc-nth [simp]*:
 $(\text{bin-sc } n \ (\text{bin-nth } w \ n) \ w) = w$
 ⟨proof⟩

lemma *bin-sign-sc [simp]*:
 $\text{bin-sign } (\text{bin-sc } n \ b \ w) = \text{bin-sign } w$
 ⟨proof⟩

lemma *bin-sc-bintr [simp]*:
 $\text{bintrunc } m \ (\text{bin-sc } n \ x \ (\text{bintrunc } m \ (w))) = \text{bintrunc } m \ (\text{bin-sc } n \ x \ w)$
 ⟨proof⟩

lemma *bin-clr-le*:
 $\text{bin-sc } n \ \text{False } w \leq w$
 ⟨proof⟩

lemma *bin-set-ge*:
 $\text{bin-sc } n \ \text{True } w \geq w$
 ⟨proof⟩

lemma *bintr-bin-clr-le*:
 $\text{bintrunc } n \ (\text{bin-sc } m \ \text{False } w) \leq \text{bintrunc } n \ w$
 ⟨proof⟩

lemma *bintr-bin-set-ge*:
 $\text{bintrunc } n \ (\text{bin-sc } m \ \text{True } w) \geq \text{bintrunc } n \ w$
 ⟨proof⟩

lemma *bin-sc-FP [simp]*: $\text{bin-sc } n \ \text{False } 0 = 0$
 ⟨proof⟩

lemma *bin-sc-TM [simp]*: $\text{bin-sc } n \ \text{True } (- 1) = - 1$
 ⟨proof⟩

lemmas *bin-sc-simps = bin-sc.Z bin-sc.Suc bin-sc-TM bin-sc-FP*

lemma *bin-sc-minus*:
 $0 < n \implies \text{bin-sc } (\text{Suc } (n - 1)) \ b \ w = \text{bin-sc } n \ b \ w$
 ⟨proof⟩

lemmas *bin-sc-Suc-minus =*
trans [OF bin-sc-minus [symmetric] bin-sc.Suc]

lemma *bin-sc-numeral [simp]*:
 $\text{bin-sc } (\text{numeral } k) \ b \ w =$
 $\text{bin-sc } (\text{pred-numeral } k) \ b \ (\text{bin-rest } w) \ \text{BIT } \text{bin-last } w$

<proof>

122.3 Splitting and concatenation

definition *bin-rcat* :: *nat* \Rightarrow *int list* \Rightarrow *int*

where

bin-rcat *n* = *foldl* ($\lambda u v. \text{bin-cat } u \ n \ v$) 0

fun *bin-rsplit-aux* :: *nat* \Rightarrow *nat* \Rightarrow *int* \Rightarrow *int list* \Rightarrow *int list*

where

bin-rsplit-aux *n* *m* *c* *bs* =
 (if *m* = 0 | *n* = 0 then *bs* else
 let (*a*, *b*) = *bin-split* *n* *c*
 in *bin-rsplit-aux* *n* (*m* - *n*) *a* (*b* # *bs*))

definition *bin-rsplit* :: *nat* \Rightarrow *nat* \times *int* \Rightarrow *int list*

where

bin-rsplit *n* *w* = *bin-rsplit-aux* *n* (*fst* *w*) (*snd* *w*) []

fun *bin-rsplittl-aux* :: *nat* \Rightarrow *nat* \Rightarrow *int* \Rightarrow *int list* \Rightarrow *int list*

where

bin-rsplittl-aux *n* *m* *c* *bs* =
 (if *m* = 0 | *n* = 0 then *bs* else
 let (*a*, *b*) = *bin-split* (*min* *m* *n*) *c*
 in *bin-rsplittl-aux* *n* (*m* - *n*) *a* (*b* # *bs*))

definition *bin-rsplittl* :: *nat* \Rightarrow *nat* \times *int* \Rightarrow *int list*

where

bin-rsplittl *n* *w* = *bin-rsplittl-aux* *n* (*fst* *w*) (*snd* *w*) []

declare *bin-rsplit-aux.simps* [*simp del*]

declare *bin-rsplittl-aux.simps* [*simp del*]

lemma *bin-sign-cat*:

bin-sign (*bin-cat* *x* *n* *y*) = *bin-sign* *x*
<proof>

lemma *bin-cat-Suc-Bit*:

bin-cat *w* (*Suc* *n*) (*v* *BIT* *b*) = *bin-cat* *w* *n* *v* *BIT* *b*
<proof>

lemma *bin-nth-cat*:

bin-nth (*bin-cat* *x* *k* *y*) *n* =
 (if *n* < *k* then *bin-nth* *y* *n* else *bin-nth* *x* (*n* - *k*))
<proof>

lemma *bin-nth-split*:

bin-split *n* *c* = (*a*, *b*) \implies
 (ALL *k*. *bin-nth* *a* *k* = *bin-nth* *c* (*n* + *k*)) &

(*ALL* k . $\text{bin-nth } b \ k = (k < n \ \& \ \text{bin-nth } c \ k)$)
 ⟨*proof*⟩

lemma *bin-cat-assoc*:

$\text{bin-cat } (\text{bin-cat } x \ m \ y) \ n \ z = \text{bin-cat } x \ (m + n) \ (\text{bin-cat } y \ n \ z)$
 ⟨*proof*⟩

lemma *bin-cat-assoc-sym*:

$\text{bin-cat } x \ m \ (\text{bin-cat } y \ n \ z) = \text{bin-cat } (\text{bin-cat } x \ (m - n) \ y) \ (\text{min } m \ n) \ z$
 ⟨*proof*⟩

lemma *bin-cat-zero* [*simp*]: $\text{bin-cat } 0 \ n \ w = \text{bintrunc } n \ w$

⟨*proof*⟩

lemma *bintr-cat1*:

$\text{bintrunc } (k + n) \ (\text{bin-cat } a \ n \ b) = \text{bin-cat } (\text{bintrunc } k \ a) \ n \ b$
 ⟨*proof*⟩

lemma *bintr-cat*: $\text{bintrunc } m \ (\text{bin-cat } a \ n \ b) =$

$\text{bin-cat } (\text{bintrunc } (m - n) \ a) \ n \ (\text{bintrunc } (\text{min } m \ n) \ b)$
 ⟨*proof*⟩

lemma *bintr-cat-same* [*simp*]:

$\text{bintrunc } n \ (\text{bin-cat } a \ n \ b) = \text{bintrunc } n \ b$
 ⟨*proof*⟩

lemma *cat-bintr* [*simp*]:

$\text{bin-cat } a \ n \ (\text{bintrunc } n \ b) = \text{bin-cat } a \ n \ b$
 ⟨*proof*⟩

lemma *split-bintrunc*:

$\text{bin-split } n \ c = (a, b) \implies b = \text{bintrunc } n \ c$
 ⟨*proof*⟩

lemma *bin-cat-split*:

$\text{bin-split } n \ w = (u, v) \implies w = \text{bin-cat } u \ n \ v$
 ⟨*proof*⟩

lemma *bin-split-cat*:

$\text{bin-split } n \ (\text{bin-cat } v \ n \ w) = (v, \text{bintrunc } n \ w)$
 ⟨*proof*⟩

lemma *bin-split-zero* [*simp*]: $\text{bin-split } n \ 0 = (0, 0)$

⟨*proof*⟩

lemma *bin-split-minus1* [*simp*]:

$\text{bin-split } n \ (-1) = (-1, \text{bintrunc } n \ (-1))$
 ⟨*proof*⟩

lemma *bin-split-trunc*:

bin-split (min m n) c = (a, b) ==>
bin-split n (bintrunc m c) = (bintrunc (m - n) a, b)
 ⟨proof⟩

lemma *bin-split-trunc1*:

bin-split n c = (a, b) ==>
bin-split n (bintrunc m c) = (bintrunc (m - n) a, bintrunc m b)
 ⟨proof⟩

lemma *bin-cat-num*:

bin-cat a n b = a * 2 ^ n + bintrunc n b
 ⟨proof⟩

lemma *bin-split-num*:

bin-split n b = (b div 2 ^ n, b mod 2 ^ n)
 ⟨proof⟩

122.4 Miscellaneous lemmas

lemma *nth-2p-bin*:

bin-nth (2 ^ n) m = (m = n)
 ⟨proof⟩

lemma *ex-eq-or*:

(EX m. n = Suc m & (m = k | P m)) = (n = Suc k | (EX m. n = Suc m & P m))
 ⟨proof⟩

lemma *power-BIT*: 2 ^ (Suc n) - 1 = (2 ^ n - 1) BIT True

⟨proof⟩

lemma *mod-BIT*:

bin BIT bit mod 2 ^ Suc n = (bin mod 2 ^ n) BIT bit
 ⟨proof⟩

lemma *AND-mod*:

fixes x :: int
shows x AND 2 ^ n - 1 = x mod 2 ^ n
 ⟨proof⟩

end

123 Bool lists and integers

theory *Bool-List-Representation*

imports *Main Bits-Int*

begin

definition $map2 :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a\ list \Rightarrow 'b\ list \Rightarrow 'c\ list$
where
 $map2\ f\ as\ bs = map\ (case\text{-}prod\ f)\ (zip\ as\ bs)$

lemma $map2\text{-}Nil$ [*simp*, *code*]:
 $map2\ f\ []\ ys = []$
 ⟨*proof*⟩

lemma $map2\text{-}Nil2$ [*simp*, *code*]:
 $map2\ f\ xs\ [] = []$
 ⟨*proof*⟩

lemma $map2\text{-}Cons$ [*simp*, *code*]:
 $map2\ f\ (x\ \#\ xs)\ (y\ \#\ ys) = f\ x\ y\ \# map2\ f\ xs\ ys$
 ⟨*proof*⟩

123.1 Operations on lists of booleans

primrec $bl\text{-}to\text{-}bin\text{-}aux :: bool\ list \Rightarrow int \Rightarrow int$
where

$Nil: bl\text{-}to\text{-}bin\text{-}aux\ []\ w = w$
 $| Cons: bl\text{-}to\text{-}bin\text{-}aux\ (b\ \# bs)\ w =$
 $bl\text{-}to\text{-}bin\text{-}aux\ bs\ (w\ BIT\ b)$

definition $bl\text{-}to\text{-}bin :: bool\ list \Rightarrow int$
where

$bl\text{-}to\text{-}bin\text{-}def: bl\text{-}to\text{-}bin\ bs = bl\text{-}to\text{-}bin\text{-}aux\ bs\ 0$

primrec $bin\text{-}to\text{-}bl\text{-}aux :: nat \Rightarrow int \Rightarrow bool\ list \Rightarrow bool\ list$
where

$Z: bin\text{-}to\text{-}bl\text{-}aux\ 0\ w\ bl = bl$
 $| Suc: bin\text{-}to\text{-}bl\text{-}aux\ (Suc\ n)\ w\ bl =$
 $bin\text{-}to\text{-}bl\text{-}aux\ n\ (bin\text{-}rest\ w)\ ((bin\text{-}last\ w)\ \# bl)$

definition $bin\text{-}to\text{-}bl :: nat \Rightarrow int \Rightarrow bool\ list$
where

$bin\text{-}to\text{-}bl\text{-}def : bin\text{-}to\text{-}bl\ n\ w = bin\text{-}to\text{-}bl\text{-}aux\ n\ w\ []$

primrec $bl\text{-}of\text{-}nth :: nat \Rightarrow (nat \Rightarrow bool) \Rightarrow bool\ list$
where

$Suc: bl\text{-}of\text{-}nth\ (Suc\ n)\ f = f\ n\ \# bl\text{-}of\text{-}nth\ n\ f$
 $| Z: bl\text{-}of\text{-}nth\ 0\ f = []$

primrec $takefill :: 'a \Rightarrow nat \Rightarrow 'a\ list \Rightarrow 'a\ list$
where

$Z: takefill\ fill\ 0\ xs = []$
 $| Suc: takefill\ fill\ (Suc\ n)\ xs = ($

$case\ xs\ of\ []\ =>\ fill\ \# \ takefill\ fill\ n\ xs$
 $\quad | \ y\ \# \ ys\ =>\ y\ \# \ takefill\ fill\ n\ ys)$

123.2 Arithmetic in terms of bool lists

Arithmetic operations in terms of the reversed bool list, assuming input list(s) the same length, and don't extend them.

primrec $rbl-succ :: bool\ list\ =>\ bool\ list$

where

$Nil: rbl-succ\ Nil = Nil$
 $| \ Cons: rbl-succ\ (x\ \# \ xs) = (if\ x\ then\ False\ \# \ rbl-succ\ xs\ else\ True\ \# \ xs)$

primrec $rbl-pred :: bool\ list\ =>\ bool\ list$

where

$Nil: rbl-pred\ Nil = Nil$
 $| \ Cons: rbl-pred\ (x\ \# \ xs) = (if\ x\ then\ False\ \# \ xs\ else\ True\ \# \ rbl-pred\ xs)$

primrec $rbl-add :: bool\ list\ =>\ bool\ list\ =>\ bool\ list$

where

— result is length of first arg, second arg may be longer
 $Nil: rbl-add\ Nil\ x = Nil$
 $| \ Cons: rbl-add\ (y\ \# \ ys)\ x = (let\ ws = rbl-add\ ys\ (tl\ x)\ in$
 $\quad (y\ \sim =\ hd\ x)\ \# \ (if\ hd\ x\ \&\ y\ then\ rbl-succ\ ws\ else\ ws))$

primrec $rbl-mult :: bool\ list\ =>\ bool\ list\ =>\ bool\ list$

where

— result is length of first arg, second arg may be longer
 $Nil: rbl-mult\ Nil\ x = Nil$
 $| \ Cons: rbl-mult\ (y\ \# \ ys)\ x = (let\ ws = False\ \# \ rbl-mult\ ys\ x\ in$
 $\quad if\ y\ then\ rbl-add\ ws\ x\ else\ ws)$

lemma *butlast-power*:

$(butlast\ \hat{\ \hat{\ }}\ n)\ bl = take\ (length\ bl - n)\ bl$
 $\langle proof \rangle$

lemma *bin-to-bl-aux-zero-minus-simp* [simp]:

$0 < n \implies bin-to-bl-aux\ n\ 0\ bl =$
 $\quad bin-to-bl-aux\ (n - 1)\ 0\ (False\ \# \ bl)$
 $\langle proof \rangle$

lemma *bin-to-bl-aux-minus1-minus-simp* [simp]:

$0 < n \implies bin-to-bl-aux\ n\ (-\ 1)\ bl =$
 $\quad bin-to-bl-aux\ (n - 1)\ (-\ 1)\ (True\ \# \ bl)$
 $\langle proof \rangle$

lemma *bin-to-bl-aux-one-minus-simp* [simp]:

$0 < n \implies bin-to-bl-aux\ n\ 1\ bl =$
 $\quad bin-to-bl-aux\ (n - 1)\ 0\ (True\ \# \ bl)$
 $\langle proof \rangle$

lemma *bin-to-bl-aux-Bit-minus-simp* [simp]:
 $0 < n \implies \text{bin-to-bl-aux } n \ (w \text{ BIT } b) \ \text{bl} =$
 $\text{bin-to-bl-aux } (n - 1) \ w \ (b \# \text{bl})$
 ⟨proof⟩

lemma *bin-to-bl-aux-Bit0-minus-simp* [simp]:
 $0 < n \implies \text{bin-to-bl-aux } n \ (\text{numeral } (\text{Num.Bit0 } w)) \ \text{bl} =$
 $\text{bin-to-bl-aux } (n - 1) \ (\text{numeral } w) \ (\text{False} \# \text{bl})$
 ⟨proof⟩

lemma *bin-to-bl-aux-Bit1-minus-simp* [simp]:
 $0 < n \implies \text{bin-to-bl-aux } n \ (\text{numeral } (\text{Num.Bit1 } w)) \ \text{bl} =$
 $\text{bin-to-bl-aux } (n - 1) \ (\text{numeral } w) \ (\text{True} \# \text{bl})$
 ⟨proof⟩

Link between bin and bool list.

lemma *bl-to-bin-aux-append*:
 $\text{bl-to-bin-aux } (bs \ @ \ cs) \ w = \text{bl-to-bin-aux } cs \ (\text{bl-to-bin-aux } bs \ w)$
 ⟨proof⟩

lemma *bin-to-bl-aux-append*:
 $\text{bin-to-bl-aux } n \ w \ bs \ @ \ cs = \text{bin-to-bl-aux } n \ w \ (bs \ @ \ cs)$
 ⟨proof⟩

lemma *bl-to-bin-append*:
 $\text{bl-to-bin } (bs \ @ \ cs) = \text{bl-to-bin-aux } cs \ (\text{bl-to-bin } bs)$
 ⟨proof⟩

lemma *bin-to-bl-aux-alt*:
 $\text{bin-to-bl-aux } n \ w \ bs = \text{bin-to-bl } n \ w \ @ \ bs$
 ⟨proof⟩

lemma *bin-to-bl-0* [simp]: $\text{bin-to-bl } 0 \ bs = []$
 ⟨proof⟩

lemma *size-bin-to-bl-aux*:
 $\text{size } (\text{bin-to-bl-aux } n \ w \ bs) = n + \text{length } bs$
 ⟨proof⟩

lemma *size-bin-to-bl* [simp]: $\text{size } (\text{bin-to-bl } n \ w) = n$
 ⟨proof⟩

lemma *bin-bl-bin'*:
 $\text{bl-to-bin } (\text{bin-to-bl-aux } n \ w \ bs) =$
 $\text{bl-to-bin-aux } bs \ (\text{bintrunc } n \ w)$
 ⟨proof⟩

lemma *bin-bl-bin* [simp]: $\text{bl-to-bin } (\text{bin-to-bl } n \ w) = \text{bintrunc } n \ w$

<proof>

lemma *bl-bin-bl'*:

$bin-to-bl (n + length\ bs) (bl-to-bin-aux\ bs\ w) =$
 $bin-to-bl-aux\ n\ w\ bs$
<proof>

lemma *bl-bin-bl [simp]*: $bin-to-bl (length\ bs) (bl-to-bin\ bs) = bs$
<proof>

lemma *bl-to-bin-inj*:

$bl-to-bin\ bs = bl-to-bin\ cs ==> length\ bs = length\ cs ==> bs = cs$
<proof>

lemma *bl-to-bin-False [simp]*: $bl-to-bin (False \# bl) = bl-to-bin\ bl$
<proof>

lemma *bl-to-bin-Nil [simp]*: $bl-to-bin\ [] = 0$
<proof>

lemma *bin-to-bl-zero-aux*:

$bin-to-bl-aux\ n\ 0\ bl = replicate\ n\ False\ @\ bl$
<proof>

lemma *bin-to-bl-zero*: $bin-to-bl\ n\ 0 = replicate\ n\ False$
<proof>

lemma *bin-to-bl-minus1-aux*:

$bin-to-bl-aux\ n\ (-1)\ bl = replicate\ n\ True\ @\ bl$
<proof>

lemma *bin-to-bl-minus1*: $bin-to-bl\ n\ (-1) = replicate\ n\ True$
<proof>

lemma *bl-to-bin-rep-F*:

$bl-to-bin (replicate\ n\ False\ @\ bl) = bl-to-bin\ bl$
<proof>

lemma *bin-to-bl-trunc [simp]*:

$n \leq m ==> bin-to-bl\ n (bintrunc\ m\ w) = bin-to-bl\ n\ w$
<proof>

lemma *bin-to-bl-aux-bintr*:

$bin-to-bl-aux\ n (bintrunc\ m\ bin)\ bl =$
 $replicate\ (n - m)\ False\ @\ bin-to-bl-aux\ (min\ n\ m)\ bin\ bl$
<proof>

lemma *bin-to-bl-bintr*:

$bin-to-bl\ n (bintrunc\ m\ bin) =$

$\text{replicate } (n - m) \text{ False } @ \text{ bin-to-bl } (\text{min } n \ m) \ \text{bin}$
 ⟨proof⟩

lemma *bl-to-bin-rep-False*: $\text{bl-to-bin } (\text{replicate } n \ \text{False}) = 0$
 ⟨proof⟩

lemma *len-bin-to-bl-aux*:
 $\text{length } (\text{bin-to-bl-aux } n \ w \ bs) = n + \text{length } bs$
 ⟨proof⟩

lemma *len-bin-to-bl [simp]*: $\text{length } (\text{bin-to-bl } n \ w) = n$
 ⟨proof⟩

lemma *sign-bl-bin'*:
 $\text{bin-sign } (\text{bl-to-bin-aux } bs \ w) = \text{bin-sign } w$
 ⟨proof⟩

lemma *sign-bl-bin*: $\text{bin-sign } (\text{bl-to-bin } bs) = 0$
 ⟨proof⟩

lemma *bl-sbin-sign-aux*:
 $\text{hd } (\text{bin-to-bl-aux } (\text{Suc } n) \ w \ bs) =$
 $\text{bin-sign } (\text{sbintrunc } n \ w) = -1$
 ⟨proof⟩

lemma *bl-sbin-sign*:
 $\text{hd } (\text{bin-to-bl } (\text{Suc } n) \ w) = (\text{bin-sign } (\text{sbintrunc } n \ w) = -1)$
 ⟨proof⟩

lemma *bin-nth-of-bl-aux*:
 $\text{bin-nth } (\text{bl-to-bin-aux } bl \ w) \ n =$
 $(n < \text{size } bl \ \& \ \text{rev } bl \ ! \ n \ | \ n >= \text{length } bl \ \& \ \text{bin-nth } w \ (n - \text{size } bl))$
 ⟨proof⟩

lemma *bin-nth-of-bl*: $\text{bin-nth } (\text{bl-to-bin } bl) \ n = (n < \text{length } bl \ \& \ \text{rev } bl \ ! \ n)$
 ⟨proof⟩

lemma *bin-nth-bl*: $n < m \implies \text{bin-nth } w \ n = \text{nth } (\text{rev } (\text{bin-to-bl } m \ w)) \ n$
 ⟨proof⟩

lemma *nth-rev*:
 $n < \text{length } xs \implies \text{rev } xs \ ! \ n = xs \ ! \ (\text{length } xs - 1 - n)$
 ⟨proof⟩

lemma *nth-rev-alt*: $n < \text{length } ys \implies ys \ ! \ n = \text{rev } ys \ ! \ (\text{length } ys - \text{Suc } n)$
 ⟨proof⟩

lemma *nth-bin-to-bl-aux*:
 $n < m + \text{length } bl \implies (\text{bin-to-bl-aux } m \ w \ bl) \ ! \ n =$

(if $n < m$ then $\text{bin-nth } w (m - 1 - n)$ else $\text{bl} ! (n - m)$)
 ⟨proof⟩

lemma *nth-bin-to-bl*: $n < m \implies (\text{bin-to-bl } m w) ! n = \text{bin-nth } w (m - \text{Suc } n)$
 ⟨proof⟩

lemma *bl-to-bin-lt2p-aux*:
 $\text{bl-to-bin-aux } bs w < (w + 1) * (2 \wedge \text{length } bs)$
 ⟨proof⟩

lemma *bl-to-bin-lt2p-drop*:
 $\text{bl-to-bin } bs < 2 \wedge \text{length } (\text{drop While Not } bs)$
 ⟨proof⟩

lemma *bl-to-bin-lt2p*: $\text{bl-to-bin } bs < 2 \wedge \text{length } bs$
 ⟨proof⟩

lemma *bl-to-bin-ge2p-aux*:
 $\text{bl-to-bin-aux } bs w \geq w * (2 \wedge \text{length } bs)$
 ⟨proof⟩

lemma *bl-to-bin-ge0*: $\text{bl-to-bin } bs \geq 0$
 ⟨proof⟩

lemma *butlast-rest-bin*:
 $\text{butlast } (\text{bin-to-bl } n w) = \text{bin-to-bl } (n - 1) (\text{bin-rest } w)$
 ⟨proof⟩

lemma *butlast-bin-rest*:
 $\text{butlast } bl = \text{bin-to-bl } (\text{length } bl - \text{Suc } 0) (\text{bin-rest } (\text{bl-to-bin } bl))$
 ⟨proof⟩

lemma *butlast-rest-bl2bin-aux*:
 $bl \sim [] \implies$
 $\text{bl-to-bin-aux } (\text{butlast } bl) w = \text{bin-rest } (\text{bl-to-bin-aux } bl w)$
 ⟨proof⟩

lemma *butlast-rest-bl2bin*:
 $\text{bl-to-bin } (\text{butlast } bl) = \text{bin-rest } (\text{bl-to-bin } bl)$
 ⟨proof⟩

lemma *trunc-bl2bin-aux*:
 $\text{bintrunc } m (\text{bl-to-bin-aux } bl w) =$
 $\text{bl-to-bin-aux } (\text{drop } (\text{length } bl - m) bl) (\text{bintrunc } (m - \text{length } bl) w)$
 ⟨proof⟩

lemma *trunc-bl2bin*:
 $\text{bintrunc } m (\text{bl-to-bin } bl) = \text{bl-to-bin } (\text{drop } (\text{length } bl - m) bl)$
 ⟨proof⟩

lemma *trunc-bl2bin-len* [simp]:

$$\text{bintrunc } (\text{length } \text{bl}) (\text{bl-to-bin } \text{bl}) = \text{bl-to-bin } \text{bl}$$

⟨proof⟩

lemma *bl2bin-drop*:

$$\text{bl-to-bin } (\text{drop } k \text{ bl}) = \text{bintrunc } (\text{length } \text{bl} - k) (\text{bl-to-bin } \text{bl})$$

⟨proof⟩

lemma *nth-rest-power-bin*:

$$\text{bin-nth } ((\text{bin-rest } \wedge^k) w) n = \text{bin-nth } w (n + k)$$

⟨proof⟩

lemma *take-rest-power-bin*:

$$m \leq n \implies \text{take } m (\text{bin-to-bl } n w) = \text{bin-to-bl } m ((\text{bin-rest } \wedge^{(n - m)}) w)$$

⟨proof⟩

lemma *hd-butlast*: $\text{size } xs > 1 \implies \text{hd } (\text{butlast } xs) = \text{hd } xs$

⟨proof⟩

lemma *last-bin-last'*:

$$\text{size } xs > 0 \implies \text{last } xs \longleftrightarrow \text{bin-last } (\text{bl-to-bin-aux } xs w)$$

⟨proof⟩

lemma *last-bin-last*:

$$\text{size } xs > 0 \implies \text{last } xs \longleftrightarrow \text{bin-last } (\text{bl-to-bin } xs)$$

⟨proof⟩

lemma *bin-last-last*:

$$\text{bin-last } w \longleftrightarrow \text{last } (\text{bin-to-bl } (\text{Suc } n) w)$$

⟨proof⟩

lemma *bl-xor-aux-bin*:

$$\text{map2 } (\%x y. x \sim y) (\text{bin-to-bl-aux } n v bs) (\text{bin-to-bl-aux } n w cs) =$$

$$\text{bin-to-bl-aux } n (v \text{ XOR } w) (\text{map2 } (\%x y. x \sim y) bs cs)$$

⟨proof⟩

lemma *bl-or-aux-bin*:

$$\text{map2 } (\text{op } |) (\text{bin-to-bl-aux } n v bs) (\text{bin-to-bl-aux } n w cs) =$$

$$\text{bin-to-bl-aux } n (v \text{ OR } w) (\text{map2 } (\text{op } |) bs cs)$$

⟨proof⟩

lemma *bl-and-aux-bin*:

$$\text{map2 } (\text{op } \&) (\text{bin-to-bl-aux } n v bs) (\text{bin-to-bl-aux } n w cs) =$$

$$\text{bin-to-bl-aux } n (v \text{ AND } w) (\text{map2 } (\text{op } \&) bs cs)$$

⟨proof⟩

lemma *bl-not-aux-bin*:

$$\begin{aligned} \text{map } \text{Not } (\text{bin-to-bl-aux } n \ w \ cs) = \\ \text{bin-to-bl-aux } n \ (\text{NOT } w) \ (\text{map } \text{Not } cs) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *bl-not-bin*: $\text{map } \text{Not } (\text{bin-to-bl } n \ w) = \text{bin-to-bl } n \ (\text{NOT } w)$

$\langle \text{proof} \rangle$

lemma *bl-and-bin*:

$$\begin{aligned} \text{map2 } (\text{op } \wedge) (\text{bin-to-bl } n \ v) (\text{bin-to-bl } n \ w) = \text{bin-to-bl } n \ (v \ \text{AND } w) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *bl-or-bin*:

$$\begin{aligned} \text{map2 } (\text{op } \vee) (\text{bin-to-bl } n \ v) (\text{bin-to-bl } n \ w) = \text{bin-to-bl } n \ (v \ \text{OR } w) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *bl-xor-bin*:

$$\begin{aligned} \text{map2 } (\lambda x \ y. \ x \neq y) (\text{bin-to-bl } n \ v) (\text{bin-to-bl } n \ w) = \text{bin-to-bl } n \ (v \ \text{XOR } w) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *drop-bin2bl-aux*:

$$\begin{aligned} \text{drop } m \ (\text{bin-to-bl-aux } n \ \text{bin } bs) = \\ \text{bin-to-bl-aux } (n - m) \ \text{bin } (\text{drop } (m - n) \ bs) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *drop-bin2bl*: $\text{drop } m \ (\text{bin-to-bl } n \ \text{bin}) = \text{bin-to-bl } (n - m) \ \text{bin}$

$\langle \text{proof} \rangle$

lemma *take-bin2bl-lem1*:

$$\begin{aligned} \text{take } m \ (\text{bin-to-bl-aux } m \ w \ bs) = \text{bin-to-bl } m \ w \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *take-bin2bl-lem*:

$$\begin{aligned} \text{take } m \ (\text{bin-to-bl-aux } (m + n) \ w \ bs) = \\ \text{take } m \ (\text{bin-to-bl } (m + n) \ w) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *bin-split-take*:

$$\begin{aligned} \text{bin-split } n \ c = (a, b) \implies \\ \text{bin-to-bl } m \ a = \text{take } m \ (\text{bin-to-bl } (m + n) \ c) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *bin-split-take1*:

$$\begin{aligned} k = m + n \implies \text{bin-split } n \ c = (a, b) \implies \\ \text{bin-to-bl } m \ a = \text{take } m \ (\text{bin-to-bl } k \ c) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *nth-takefill*: $m < n \implies$

$$\text{takefill } \text{fill } n \ l \ ! \ m = (\text{if } m < \text{length } l \ \text{then } l \ ! \ m \ \text{else } \text{fill})$$

<proof>

lemma *takefill-alt*:

$takefill\ fill\ n\ l = take\ n\ l\ @\ replicate\ (n - length\ l)\ fill$
<proof>

lemma *takefill-replicate* [*simp*]:

$takefill\ fill\ n\ (replicate\ m\ fill) = replicate\ n\ fill$
<proof>

lemma *takefill-le'*:

$n = m + k \implies takefill\ x\ m\ (takefill\ x\ n\ l) = takefill\ x\ m\ l$
<proof>

lemma *length-takefill* [*simp*]: $length\ (takefill\ fill\ n\ l) = n$

<proof>

lemma *take-takefill'*:

$!!w\ n.\ n = k + m \implies take\ k\ (takefill\ fill\ n\ w) = takefill\ fill\ k\ w$
<proof>

lemma *drop-takefill*:

$!!w.\ drop\ k\ (takefill\ fill\ (m + k)\ w) = takefill\ fill\ m\ (drop\ k\ w)$
<proof>

lemma *takefill-le* [*simp*]:

$m \leq n \implies takefill\ x\ m\ (takefill\ x\ n\ l) = takefill\ x\ m\ l$
<proof>

lemma *take-takefill* [*simp*]:

$m \leq n \implies take\ m\ (takefill\ fill\ n\ w) = takefill\ fill\ m\ w$
<proof>

lemma *takefill-append*:

$takefill\ fill\ (m + length\ xs)\ (xs\ @\ w) = xs\ @\ (takefill\ fill\ m\ w)$
<proof>

lemma *takefill-same'*:

$l = length\ xs \implies takefill\ fill\ l\ xs = xs$
<proof>

lemmas *takefill-same* [*simp*] = *takefill-same'* [*OF refl*]

lemma *takefill-bintrunc*:

$takefill\ False\ n\ bl = rev\ (bin-to-bl\ n\ (bl-to-bin\ (rev\ bl)))$
<proof>

lemma *bl-bin-bl-rtf*:

$bin-to-bl\ n\ (bl-to-bin\ bl) = rev\ (takefill\ False\ n\ (rev\ bl))$

<proof>

lemma *bl-bin-bl-rep-drop*:

bin-to-bl n (bl-to-bin bl) =
replicate (n - length bl) False @ drop (length bl - n) bl
<proof>

lemma *tf-rev*:

n + k = m + length bl ==> takefill x m (rev (takefill y n bl)) =
rev (takefill y m (rev (takefill x k (rev bl))))
<proof>

lemma *takefill-minus*:

0 < n ==> takefill fill (Suc (n - 1)) w = takefill fill n w
<proof>

lemmas *takefill-Suc-cases =*

list.cases [THEN takefill.Suc [THEN trans]]

lemmas *takefill-Suc-Nil = takefill-Suc-cases (1)*

lemmas *takefill-Suc-Cons = takefill-Suc-cases (2)*

lemmas *takefill-minus-simps = takefill-Suc-cases [THEN [2]*

takefill-minus [symmetric, THEN trans]]

lemma *takefill-numeral-Nil [simp]*:

takefill fill (numeral k) [] = fill # takefill fill (pred-numeral k) []
<proof>

lemma *takefill-numeral-Cons [simp]*:

takefill fill (numeral k) (x # xs) = x # takefill fill (pred-numeral k) xs
<proof>

lemma *bl-to-bin-aux-cat*:

!!nv v. bl-to-bin-aux bs (bin-cat w nv v) =
bin-cat w (nv + length bs) (bl-to-bin-aux bs v)
<proof>

lemma *bin-to-bl-aux-cat*:

!!w bs. bin-to-bl-aux (nv + nw) (bin-cat v nw w) bs =
bin-to-bl-aux nv v (bin-to-bl-aux nw w bs)
<proof>

lemma *bl-to-bin-aux-alt*:

bl-to-bin-aux bs w = bin-cat w (length bs) (bl-to-bin bs)
<proof>

lemma *bin-to-bl-cat*:

$$\begin{aligned} \text{bin-to-bl } (nv + nw) \text{ (bin-cat } v \text{ } nw \text{ } w) &= \\ \text{bin-to-bl-aux } nv \text{ } v \text{ (bin-to-bl } nw \text{ } w) & \\ \langle \text{proof} \rangle & \end{aligned}$$

lemmas *bl-to-bin-aux-app-cat* =

$$\text{trans [OF bl-to-bin-aux-append bl-to-bin-aux-alt]}$$

lemmas *bin-to-bl-aux-cat-app* =

$$\text{trans [OF bin-to-bl-aux-cat bin-to-bl-aux-alt]}$$

lemma *bl-to-bin-app-cat*:

$$\begin{aligned} \text{bl-to-bin } (bsa \text{ @ } bs) &= \text{bin-cat } (\text{bl-to-bin } bsa) \text{ (length } bs) \text{ (bl-to-bin } bs) \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *bin-to-bl-cat-app*:

$$\begin{aligned} \text{bin-to-bl } (n + nw) \text{ (bin-cat } w \text{ } nw \text{ } wa) &= \text{bin-to-bl } n \text{ } w \text{ @ bin-to-bl } nw \text{ } wa \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *bl-to-bin-app-cat-alt*:

$$\begin{aligned} \text{bin-cat } (\text{bl-to-bin } cs) \text{ } n \text{ } w &= \text{bl-to-bin } (cs \text{ @ bin-to-bl } n \text{ } w) \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *mask-lem*: $(\text{bl-to-bin } (\text{True} \# \text{replicate } n \text{ False})) =$

$$\begin{aligned} (\text{bl-to-bin } (\text{replicate } n \text{ True})) + 1 & \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *length-bl-of-nth [simp]*: $\text{length } (\text{bl-of-nth } n \text{ } f) = n$

$\langle \text{proof} \rangle$

lemma *nth-bl-of-nth [simp]*:

$$\begin{aligned} m < n \implies \text{rev } (\text{bl-of-nth } n \text{ } f) ! m &= f \text{ } m \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *bl-of-nth-inj*:

$$\begin{aligned} (!!k. k < n \implies f \text{ } k = g \text{ } k) \implies \text{bl-of-nth } n \text{ } f &= \text{bl-of-nth } n \text{ } g \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *bl-of-nth-nth-le*:

$$\begin{aligned} n \leq \text{length } xs \implies \text{bl-of-nth } n \text{ (nth } (\text{rev } xs)) &= \text{drop } (\text{length } xs - n) \text{ } xs \\ \langle \text{proof} \rangle & \end{aligned}$$

lemma *bl-of-nth-nth [simp]*: $\text{bl-of-nth } (\text{length } xs) \text{ (op } ! \text{ (rev } xs)) = xs$

$\langle \text{proof} \rangle$

lemma *size-rbl-pred*: $\text{length } (\text{rbl-pred } bl) = \text{length } bl$

$\langle \text{proof} \rangle$

lemma *size-rbl-succ*: $\text{length } (\text{rbl-succ } bl) = \text{length } bl$
 ⟨proof⟩

lemma *size-rbl-add*:
 !!cl. $\text{length } (\text{rbl-add } bl \ cl) = \text{length } bl$
 ⟨proof⟩

lemma *size-rbl-mult*:
 !!cl. $\text{length } (\text{rbl-mult } bl \ cl) = \text{length } bl$
 ⟨proof⟩

lemmas *rbl-sizes* [*simp*] =
size-rbl-pred size-rbl-succ size-rbl-add size-rbl-mult

lemmas *rbl-Nils* =
rbl-pred.Nil rbl-succ.Nil rbl-add.Nil rbl-mult.Nil

lemma *rbl-pred*:
 $\text{rbl-pred } (\text{rev } (\text{bin-to-bl } n \ bin)) = \text{rev } (\text{bin-to-bl } n \ (bin - 1))$
 ⟨proof⟩

lemma *rbl-succ*:
 $\text{rbl-succ } (\text{rev } (\text{bin-to-bl } n \ bin)) = \text{rev } (\text{bin-to-bl } n \ (bin + 1))$
 ⟨proof⟩

lemma *rbl-add*:
 !!bina binb. $\text{rbl-add } (\text{rev } (\text{bin-to-bl } n \ bina)) \ (\text{rev } (\text{bin-to-bl } n \ binb)) =$
 $\text{rev } (\text{bin-to-bl } n \ (bina + binb))$
 ⟨proof⟩

lemma *rbl-add-app2*:
 !!blb. $\text{length } blb \geq \text{length } bla \implies$
 $\text{rbl-add } bla \ (blb @ blc) = \text{rbl-add } bla \ blb$
 ⟨proof⟩

lemma *rbl-add-take2*:
 !!blb. $\text{length } blb \geq \text{length } bla \implies$
 $\text{rbl-add } bla \ (\text{take } (\text{length } bla) \ blb) = \text{rbl-add } bla \ blb$
 ⟨proof⟩

lemma *rbl-add-long*:
 $m \geq n \implies \text{rbl-add } (\text{rev } (\text{bin-to-bl } n \ bina)) \ (\text{rev } (\text{bin-to-bl } m \ binb)) =$
 $\text{rev } (\text{bin-to-bl } n \ (bina + binb))$
 ⟨proof⟩

lemma *rbl-mult-app2*:
 !!blb. $\text{length } blb \geq \text{length } bla \implies$
 $\text{rbl-mult } bla \ (blb @ blc) = \text{rbl-mult } bla \ blb$

<proof>

lemma *rbl-mult-take2*:

$length\ blb \geq length\ bla \implies$
 $rbl_mult\ bla\ (take\ (length\ bla)\ blb) = rbl_mult\ bla\ blb$
<proof>

lemma *rbl-mult-gt1*:

$m \geq length\ bl \implies rbl_mult\ bl\ (rev\ (bin_to_bl\ m\ binb)) =$
 $rbl_mult\ bl\ (rev\ (bin_to_bl\ (length\ bl)\ binb))$
<proof>

lemma *rbl-mult-gt*:

$m > n \implies rbl_mult\ (rev\ (bin_to_bl\ n\ bina))\ (rev\ (bin_to_bl\ m\ binb)) =$
 $rbl_mult\ (rev\ (bin_to_bl\ n\ bina))\ (rev\ (bin_to_bl\ n\ binb))$
<proof>

lemmas *rbl-mult-Suc = lessI [THEN rbl-mult-gt]*

lemma *rbbL-Cons*:

$b \# rev\ (bin_to_bl\ n\ x) = rev\ (bin_to_bl\ (Suc\ n)\ (x\ BIT\ b))$
<proof>

lemma *rbl-mult: !!bina binb.*

$rbl_mult\ (rev\ (bin_to_bl\ n\ bina))\ (rev\ (bin_to_bl\ n\ binb)) =$
 $rev\ (bin_to_bl\ n\ (bina * binb))$
<proof>

lemma *rbl-add-split*:

$P\ (rbl_add\ (y\ \# \ ys)\ (x\ \# \ xs)) =$
 $(ALL\ ws.\ length\ ws = length\ ys \longrightarrow ws = rbl_add\ ys\ xs \longrightarrow$
 $(y \longrightarrow ((x \longrightarrow P\ (False\ \# \ rbl_succ\ ws)) \ \&\ (\sim x \longrightarrow P\ (True\ \# \ ws)))) \ \&$
 $(\sim y \longrightarrow P\ (x\ \# \ ws)))$
<proof>

lemma *rbl-mult-split*:

$P\ (rbl_mult\ (y\ \# \ ys)\ xs) =$
 $(ALL\ ws.\ length\ ws = Suc\ (length\ ys) \longrightarrow ws = False\ \# \ rbl_mult\ ys\ xs \longrightarrow$
 $(y \longrightarrow P\ (rbl_add\ ws\ xs)) \ \&\ (\sim y \longrightarrow P\ ws))$
<proof>

123.3 Repeated splitting or concatenation

lemma *sclm*:

$size\ (concat\ (map\ (bin_to_bl\ n)\ xs)) = length\ xs * n$
<proof>

lemma *bin-cat-foldl-lem*:

$\text{foldl } (\%u. \text{bin-cat } u \ n) \ x \ xs =$
 $\text{bin-cat } x \ (\text{size } xs * n) \ (\text{foldl } (\%u. \text{bin-cat } u \ n) \ y \ xs)$
 <proof>

lemma *bin-rcat-bl*:

$(\text{bin-rcat } n \ wl) = \text{bl-to-bin } (\text{concat } (\text{map } (\text{bin-to-bl } n) \ wl))$
 <proof>

lemmas *bin-rsplit-aux-simps* = *bin-rsplit-aux.simps bin-rsplittl-aux.simps*

lemmas *rsplit-aux-simps* = *bin-rsplit-aux-simps*

lemmas *th-if-simp1* = *if-split* [where $P = op = l$, THEN *iffD1*, THEN *conjunct1*, THEN *mp*] for l

lemmas *th-if-simp2* = *if-split* [where $P = op = l$, THEN *iffD1*, THEN *conjunct2*, THEN *mp*] for l

lemmas *rsplit-aux-simp1s* = *rsplit-aux-simps* [THEN *th-if-simp1*]

lemmas *rsplit-aux-simp2ls* = *rsplit-aux-simps* [THEN *th-if-simp2*]

lemmas *bin-rsplit-aux-simp2s* [simp] = *rsplit-aux-simp2ls* [unfolded *Let-def*]

lemmas *rbscl* = *bin-rsplit-aux-simp2s* (2)

lemmas *rsplit-aux-0-simps* [simp] =

rsplit-aux-simp1s [OF *disjI1*] *rsplit-aux-simp1s* [OF *disjI2*]

lemma *bin-rsplit-aux-append*:

$\text{bin-rsplit-aux } n \ m \ c \ (bs \ @ \ cs) = \text{bin-rsplit-aux } n \ m \ c \ bs \ @ \ cs$
 <proof>

lemma *bin-rsplittl-aux-append*:

$\text{bin-rsplittl-aux } n \ m \ c \ (bs \ @ \ cs) = \text{bin-rsplittl-aux } n \ m \ c \ bs \ @ \ cs$
 <proof>

lemmas *rsplit-aux-apps* [where $bs = []$] =

bin-rsplit-aux-append bin-rsplittl-aux-append

lemmas *rsplit-def-auxs* = *bin-rsplit-def bin-rsplittl-def*

lemmas *rsplit-aux-alts* = *rsplit-aux-apps*

[unfolded *append-Nil rsplit-def-auxs* [symmetric]]

lemma *bin-split-minus*: $0 < n \implies \text{bin-split } (\text{Suc } (n - 1)) \ w = \text{bin-split } n \ w$

<proof>

lemmas *bin-split-minus-simp* =

bin-split.Suc [THEN [2] *bin-split-minus* [symmetric, THEN *trans*]]

lemma *bin-split-pred-simp* [simp]:

$(0::nat) < numeral\ bin \implies$
 $bin-split\ (numeral\ bin)\ w =$
 $(let\ (w1, w2) = bin-split\ (numeral\ bin - 1)\ (bin-rest\ w)$
 $in\ (w1, w2\ BIT\ bin-last\ w))$
 $\langle proof \rangle$

lemma *bin-rsplit-aux-simp-alt*:

$bin-rsplit-aux\ n\ m\ c\ bs =$
 $(if\ m = 0 \vee n = 0$
 $then\ bs$
 $else\ let\ (a, b) = bin-split\ n\ c\ in\ bin-rsplit\ n\ (m - n, a)\ @\ b\ \# bs)$
 $\langle proof \rangle$

lemmas *bin-rsplit-simp-alt* =

$trans\ [OF\ bin-rsplit-def\ bin-rsplit-aux-simp-alt]$

lemmas *bthrs* = *bin-rsplit-simp-alt* [THEN [2] *trans*]

lemma *bin-rsplit-size-sign'* [rule-format] :

$\llbracket n > 0; rev\ sw = bin-rsplit\ n\ (nw, w) \rrbracket \implies$
 $(ALL\ v: set\ sw.\ bintrunc\ n\ v = v)$
 $\langle proof \rangle$

lemmas *bin-rsplit-size-sign* = *bin-rsplit-size-sign'* [OF *asm-rl*

rev-rev-ident [THEN *trans*] *set-rev* [THEN *equalityD2* [THEN *subsetD*]]]

lemma *bin-nth-rsplit* [rule-format] :

$n > 0 \implies m < n \implies (ALL\ w\ k\ nw.\ rev\ sw = bin-rsplit\ n\ (nw, w) \dashrightarrow$
 $k < size\ sw \dashrightarrow bin-nth\ (sw\ !\ k)\ m = bin-nth\ w\ (k * n + m))$
 $\langle proof \rangle$

lemma *bin-rsplit-all*:

$0 < nw \implies nw \leq n \implies bin-rsplit\ n\ (nw, w) = [bintrunc\ n\ w]$
 $\langle proof \rangle$

lemma *bin-rsplit-l* [rule-format] :

$ALL\ bin.\ bin-rsplitl\ n\ (m, bin) = bin-rsplit\ n\ (m, bintrunc\ m\ bin)$
 $\langle proof \rangle$

lemma *bin-rsplit-rcat* [rule-format] :

$n > 0 \dashrightarrow bin-rsplit\ n\ (n * size\ ws, bin-rcat\ n\ ws) = map\ (bintrunc\ n)\ ws$
 $\langle proof \rangle$

lemma *bin-rsplit-aux-len-le* [rule-format] :

$\forall ws\ m.\ n \neq 0 \longrightarrow ws = bin-rsplit-aux\ n\ nw\ w\ bs \longrightarrow$
 $length\ ws \leq m \iff nw + length\ bs * n \leq m * n$
 $\langle proof \rangle$

lemma *bin-rsplit-len-le*:

$n \neq 0 \dashv\vdash ws = \text{bin-rsplit } n (nw, w) \dashv\vdash (\text{length } ws \leq m) = (nw \leq m * n)$
 ⟨proof⟩

lemma *bin-rsplit-aux-len:*

$n \neq 0 \implies \text{length } (\text{bin-rsplit-aux } n \text{ } nw \text{ } w \text{ } cs) =$
 $(nw + n - 1) \text{ div } n + \text{length } cs$
 ⟨proof⟩

lemma *bin-rsplit-len:*

$n \neq 0 \implies \text{length } (\text{bin-rsplit } n (nw, w)) = (nw + n - 1) \text{ div } n$
 ⟨proof⟩

lemma *bin-rsplit-aux-len-indep:*

$n \neq 0 \implies \text{length } bs = \text{length } cs \implies$
 $\text{length } (\text{bin-rsplit-aux } n \text{ } nw \text{ } v \text{ } bs) =$
 $\text{length } (\text{bin-rsplit-aux } n \text{ } nw \text{ } w \text{ } cs)$
 ⟨proof⟩

lemma *bin-rsplit-len-indep:*

$n \neq 0 \implies \text{length } (\text{bin-rsplit } n (nw, v)) = \text{length } (\text{bin-rsplit } n (nw, w))$
 ⟨proof⟩

Even more bit operations

instantiation *int :: bits*

begin

definition [*iff*]:

$i !! n \longleftrightarrow \text{bin-nth } i \text{ } n$

definition

$\text{lsb } i = (i :: \text{int}) !! 0$

definition

$\text{set-bit } i \text{ } n \text{ } b = \text{bin-sc } n \text{ } b \text{ } i$

definition

$\text{set-bits } f =$
 (if $\exists n. \forall n' \geq n. \neg f \text{ } n'$ then
 let $n = \text{LEAST } n. \forall n' \geq n. \neg f \text{ } n'$
 in $\text{bl-to-bin } (\text{rev } (\text{map } f \text{ } [0..<n]))$)
 else if $\exists n. \forall n' \geq n. f \text{ } n'$ then
 let $n = \text{LEAST } n. \forall n' \geq n. f \text{ } n'$
 in $\text{sbintrunc } n (\text{bl-to-bin } (\text{True} \# \text{rev } (\text{map } f \text{ } [0..<n])))$)
 else $0 :: \text{int}$)

definition

$\text{shiffl } x \text{ } n = (x :: \text{int}) * 2 ^ n$

definition

$$\text{shiftr } x \ n = (x :: \text{int}) \text{ div } 2 \wedge n$$
definition

$$\text{msb } x \longleftrightarrow (x :: \text{int}) < 0$$
instance $\langle \text{proof} \rangle$ **end****end**

124 Type Definition Theorems

theory *Misc-Typedef***imports** *Main***begin**

125 More lemmas about normal type definitions

lemma*tdD1: type-definition Rep Abs A $\implies \forall x. \text{Rep } x \in A$ and**tdD2: type-definition Rep Abs A $\implies \forall x. \text{Abs } (\text{Rep } x) = x$ and**tdD3: type-definition Rep Abs A $\implies \forall y. y \in A \longrightarrow \text{Rep } (\text{Abs } y) = y$* $\langle \text{proof} \rangle$ **lemma** *td-nat-int:**type-definition int nat (Collect (op <= 0))* $\langle \text{proof} \rangle$ **context** *type-definition***begin****declare** *Rep* [*iff*] *Rep-inverse* [*simp*] *Rep-inject* [*simp*]**lemma** *Abs-eqD: Abs x = Abs y $\implies x \in A \implies y \in A \implies x = y$* $\langle \text{proof} \rangle$ **lemma** *Abs-inverse':**r : A $\implies \text{Abs } r = a \implies \text{Rep } a = r$* $\langle \text{proof} \rangle$ **lemma** *Rep-comp-inverse:**Rep o f = g $\implies \text{Abs } o g = f$* $\langle \text{proof} \rangle$ **lemma** *Rep-eqD [elim!]: Rep x = Rep y $\implies x = y$* $\langle \text{proof} \rangle$

lemma *Rep-inverse'*: $Rep\ a = r \implies Abs\ r = a$
 ⟨proof⟩

lemma *comp-Abs-inverse*:
 $f\ o\ Abs = g \implies g\ o\ Rep = f$
 ⟨proof⟩

lemma *set-Rep*:
 $A = range\ Rep$
 ⟨proof⟩

lemma *set-Rep-Abs*: $A = range\ (Rep\ o\ Abs)$
 ⟨proof⟩

lemma *Abs-inj-on*: *inj-on* $Abs\ A$
 ⟨proof⟩

lemma *image*: $Abs\ `A = UNIV$
 ⟨proof⟩

lemmas *td-thm* = *type-definition-axioms*

lemma *fns1*:
 $Rep\ o\ fa = fr\ o\ Rep \mid fa\ o\ Abs = Abs\ o\ fr \implies Abs\ o\ fr\ o\ Rep = fa$
 ⟨proof⟩

lemmas *fns1a* = *disjI1* [THEN *fns1*]

lemmas *fns1b* = *disjI2* [THEN *fns1*]

lemma *fns4*:
 $Rep\ o\ fa\ o\ Abs = fr \implies$
 $Rep\ o\ fa = fr\ o\ Rep \ \&\ fa\ o\ Abs = Abs\ o\ fr$
 ⟨proof⟩

end

interpretation *nat-int*: *type-definition int nat Collect* (*op* ≤ 0)
 ⟨proof⟩

declare
nat-int.Rep-cases [*cases del*]
nat-int.Abs-cases [*cases del*]
nat-int.Rep-induct [*induct del*]
nat-int.Abs-induct [*induct del*]

125.1 Extended form of type definition predicate

lemma *td-conds*:

$norm \circ norm = norm \implies (fr \circ norm = norm \circ fr) =$
 $(norm \circ fr \circ norm = fr \circ norm \ \& \ norm \circ fr \circ norm = norm \circ fr)$
 ⟨proof⟩

lemma *fn-comm-power*:

$fa \circ tr = tr \circ fr \implies fa \ \wedge \wedge \ n \circ tr = tr \circ fr \ \wedge \wedge \ n$
 ⟨proof⟩

lemmas *fn-comm-power'* =

ext [THEN *fn-comm-power*, THEN *fun-cong*, *unfolded o-def*]

locale *td-ext* = *type-definition* +

fixes *norm*

assumes *eq-norm*: $\bigwedge x. Rep \ (Abs \ x) = norm \ x$

begin

lemma *Abs-norm* [*simp*]:

$Abs \ (norm \ x) = Abs \ x$
 ⟨proof⟩

lemma *td-th*:

$g \circ Abs = f \implies f \ (Rep \ x) = g \ x$
 ⟨proof⟩

lemma *eq-norm'*: $Rep \ o \ Abs = norm$

⟨proof⟩

lemma *norm-Rep* [*simp*]: $norm \ (Rep \ x) = Rep \ x$

⟨proof⟩

lemmas *td* = *td-thm*

lemma *set-iff-norm*: $w : A \longleftrightarrow w = norm \ w$

⟨proof⟩

lemma *inverse-norm*:

$(Abs \ n = w) = (Rep \ w = norm \ n)$
 ⟨proof⟩

lemma *norm-eq-iff*:

$(norm \ x = norm \ y) = (Abs \ x = Abs \ y)$
 ⟨proof⟩

lemma *norm-comps*:

$Abs \ o \ norm = Abs$

$norm \ o \ Rep = Rep$

$norm \ o \ norm = norm$

⟨proof⟩

lemmas *norm-norm* [*simp*] = *norm-comps*

lemma *fns5*:

$Rep \circ fa \circ Abs = fr ==>$
 $fr \circ norm = fr \ \& \ norm \circ fr = fr$
 ⟨*proof*⟩

lemma *fns2*:

$Abs \circ fr \circ Rep = fa ==>$
 $(norm \circ fr \circ norm = fr \circ norm) = (Rep \circ fa = fr \circ Rep)$
 ⟨*proof*⟩

lemma *fns3*:

$Abs \circ fr \circ Rep = fa ==>$
 $(norm \circ fr \circ norm = norm \circ fr) = (fa \circ Abs = Abs \circ fr)$
 ⟨*proof*⟩

lemma *fns*:

$fr \circ norm = norm \circ fr ==>$
 $(fa \circ Abs = Abs \circ fr) = (Rep \circ fa = fr \circ Rep)$
 ⟨*proof*⟩

lemma *range-norm*:

$range \ (Rep \circ Abs) = A$
 ⟨*proof*⟩

end

lemmas *td-ext-def'* =

td-ext-def [*unfolded type-definition-def td-ext-axioms-def*]

end

126 Miscellaneous lemmas, of at least doubtful value

theory *Word-Miscellaneous*

imports *Main* $\sim\sim$ */src/HOL/Library/Bit Misc-Numeric*

begin

lemma *power-minus-simp*:

$0 < n \implies a \wedge n = a * a \wedge (n - 1)$
 ⟨*proof*⟩

lemma *funpow-minus-simp*:

$0 < n \implies f \wedge n = f \circ f \wedge (n - 1)$
 ⟨*proof*⟩

lemma *power-numeral*:

$a \hat{\ } numeral\ k = a * a \hat{\ } (pred\ numeral\ k)$
 ⟨proof⟩

lemma *funpow-numeral* [*simp*]:

$f \hat{\ } numeral\ k = f \circ f \hat{\ } (pred\ numeral\ k)$
 ⟨proof⟩

lemma *replicate-numeral* [*simp*]:

$replicate\ (numeral\ k)\ x = x \# replicate\ (pred\ numeral\ k)\ x$
 ⟨proof⟩

lemma *rco-alt*: $(f\ o\ g) \hat{\ } n\ o\ f = f\ o\ (g\ o\ f) \hat{\ } n$

⟨proof⟩

lemma *list-exhaust-size-gt0*:

assumes $y: \bigwedge a\ list. y = a \# list \implies P$
shows $0 < length\ y \implies P$
 ⟨proof⟩

lemma *list-exhaust-size-eq0*:

assumes $y: y = [] \implies P$
shows $length\ y = 0 \implies P$
 ⟨proof⟩

lemma *size-Cons-lem-eq*:

$y = xa \# list \implies size\ y = Suc\ k \implies size\ list = k$
 ⟨proof⟩

lemmas *ls-splits* = *prod.split prod.split-asm if-split-asm*

lemma *not-B1-is-B0*: $y \neq (1::bit) \implies y = (0::bit)$

⟨proof⟩

lemma *B1-ass-B0*:

assumes $y: y = (0::bit) \implies y = (1::bit)$
shows $y = (1::bit)$
 ⟨proof⟩

lemmas *n2s-ths* [*THEN eq-reflection*] = *add-2-eq-Suc add-2-eq-Suc'*

lemmas *s2n-ths* = *n2s-ths* [*symmetric*]

lemma *and-len*: $xs = ys \implies xs = ys \ \&\ length\ xs = length\ ys$

⟨proof⟩

lemma *size-if*: $size\ (if\ p\ then\ xs\ else\ ys) = (if\ p\ then\ size\ xs\ else\ size\ ys)$

⟨proof⟩

lemma *tl-if*: $tl\ (if\ p\ then\ xs\ else\ ys) = (if\ p\ then\ tl\ xs\ else\ tl\ ys)$

<proof>

lemma *hd-if*: $hd (if\ p\ then\ xs\ else\ ys) = (if\ p\ then\ hd\ xs\ else\ hd\ ys)$
<proof>

lemma *if-Not-x*: $(if\ p\ then\ \sim\ x\ else\ x) = (p = (\sim\ x))$
<proof>

lemma *if-x-Not*: $(if\ p\ then\ x\ else\ \sim\ x) = (p = x)$
<proof>

lemma *if-same-and*: $(If\ p\ x\ y\ \&\ If\ p\ u\ v) = (if\ p\ then\ x\ \&\ u\ else\ y\ \&\ v)$
<proof>

lemma *if-same-eq*: $(If\ p\ x\ y = If\ p\ u\ v) = (if\ p\ then\ x = (u)\ else\ y = (v))$
<proof>

lemma *if-same-eq-not*:
 $(If\ p\ x\ y = (\sim\ If\ p\ u\ v)) = (if\ p\ then\ x = (\sim\ u)\ else\ y = (\sim\ v))$
<proof>

lemma *if-Cons*: $(if\ p\ then\ x\ \#\ xs\ else\ y\ \#\ ys) = If\ p\ x\ y\ \#\ If\ p\ xs\ ys$
<proof>

lemma *if-single*:
 $(if\ xc\ then\ [xab]\ else\ [an]) = [if\ xc\ then\ xab\ else\ an]$
<proof>

lemma *if-bool-simps*:
 $If\ p\ True\ y = (p \mid y) \ \&\ If\ p\ False\ y = (\sim\ p \ \&\ y) \ \&$
 $If\ p\ y\ True = (p \dashrightarrow y) \ \&\ If\ p\ y\ False = (p \ \&\ y)$
<proof>

lemmas *if-simps* = *if-x-Not if-Not-x if-cancel if-True if-False if-bool-simps*

lemmas *seqr* = *eq-reflection* [**where** $x = size\ w$] **for** w

lemma *the-elimI*: $y = \{x\} ==> the-elim\ y = x$
<proof>

lemma *nonemptyE*: $S \sim = \{\} ==> (!x. x : S ==> R) ==> R$ *<proof>*

lemma *gt-or-eq-0*: $0 < y \vee 0 = (y::nat)$ *<proof>*

lemmas *xtr1* = *xtrans(1)*

lemmas *xtr2* = *xtrans(2)*

lemmas *xtr3* = *xtrans(3)*

lemmas *xtr4* = *xtrans(4)*

lemmas $xtr5 = xtrans(5)$

lemmas $xtr6 = xtrans(6)$

lemmas $xtr7 = xtrans(7)$

lemmas $xtr8 = xtrans(8)$

lemmas $nat-simps = diff-add-inverse2\ diff-add-inverse$

lemmas $nat-iffs = le-add1\ le-add2$

lemma $sum-imp-diff: j = k + i ==> j - i = (k :: nat) \langle proof \rangle$

lemmas $pos-mod-sign2 = zless2 [THEN\ pos-mod-sign\ [where\ b = 2::int]]$

lemmas $pos-mod-bound2 = zless2 [THEN\ pos-mod-bound\ [where\ b = 2::int]]$

lemma $nmod2: n\ mod\ (2::int) = 0 \mid n\ mod\ 2 = 1$
 $\langle proof \rangle$

lemmas $eme1p = emep1 [simplified\ add.commute]$

lemma $le-diff-eq': (a \leq c - b) = (b + a \leq (c::int)) \langle proof \rangle$

lemma $less-diff-eq': (a < c - b) = (b + a < (c::int)) \langle proof \rangle$

lemma $diff-less-eq': (a - b < c) = (a < b + (c::int)) \langle proof \rangle$

lemmas $m1mod22k = mult-pos-pos [OF\ zless2\ zless2p,\ THEN\ zmod-minus1]$

lemma $z1pdiv2:$
 $(2 * b + 1) \div 2 = (b::int) \langle proof \rangle$

lemmas $zdiv-le-dividend = xtr3 [OF\ div-by-1 [symmetric]\ zdiv-mono2,$
 $simplified\ int-one-le-iff-zero-less,\ simplified]$

lemma $axbbyy:$
 $a + m + m = b + n + n ==> (a = 0 \mid a = 1) ==> (b = 0 \mid b = 1) ==>$
 $a = b \ \& \ m = (n :: int) \langle proof \rangle$

lemma $axxmod2:$
 $(1 + x + x) \mod 2 = (1 :: int) \ \& \ (0 + x + x) \mod 2 = (0 :: int) \langle proof \rangle$

lemma $axxdiv2:$
 $(1 + x + x) \div 2 = (x :: int) \ \& \ (0 + x + x) \div 2 = (x :: int) \langle proof \rangle$

lemmas $iszero-minus = trans [THEN\ trans,$
 $OF\ iszero-def\ neg-equal-0-iff-equal\ iszero-def [symmetric]]$

lemmas $zadd-diff-inverse = trans [OF\ diff-add-cancel [symmetric]\ add.commute]$

lemmas $add-diff-cancel2 = add.commute [THEN\ diff-eq-eq [THEN\ iffD2]]$

lemmas *rdmods* [*symmetric*] = *mod-minus-eq*
mod-diff-left-eq mod-diff-right-eq mod-add-left-eq
mod-add-right-eq mod-mult-right-eq mod-mult-left-eq

lemma *mod-plus-right*:
 $((a + x) \text{ mod } m = (b + x) \text{ mod } m) = (a \text{ mod } m = b \text{ mod } (m :: \text{nat}))$
 ⟨*proof*⟩

lemma *nat-minus-mod*: $(n - n \text{ mod } m) \text{ mod } m = (0 :: \text{nat})$
 ⟨*proof*⟩

lemmas *nat-minus-mod-plus-right* = *trans* [*OF nat-minus-mod mod-0* [*symmetric*],
THEN mod-plus-right [*THEN iffD2*], *simplified*]

lemmas *push-mods'* = *mod-add-eq*
mod-mult-eq mod-diff-eq
mod-minus-eq

lemmas *push-mods* = *push-mods'* [*THEN eq-reflection*]
lemmas *pull-mods* = *push-mods* [*symmetric*] *rdmods* [*THEN eq-reflection*]
lemmas *mod-simps* =
mod-mult-self2-is-0 [*THEN eq-reflection*]
mod-mult-self1-is-0 [*THEN eq-reflection*]
mod-mod-trivial [*THEN eq-reflection*]

lemma *nat-mod-eq*:
 !!*b*. $b < n ==> a \text{ mod } n = b \text{ mod } n ==> a \text{ mod } n = (b :: \text{nat})$
 ⟨*proof*⟩

lemmas *nat-mod-eq'* = *refl* [*THEN* [2] *nat-mod-eq*]

lemma *nat-mod-lem*:
 $(0 :: \text{nat}) < n ==> b < n = (b \text{ mod } n = b)$
 ⟨*proof*⟩

lemma *mod-nat-add*:
 $(x :: \text{nat}) < z ==> y < z ==>$
 $(x + y) \text{ mod } z = (\text{if } x + y < z \text{ then } x + y \text{ else } x + y - z)$
 ⟨*proof*⟩

lemma *mod-nat-sub*:
 $(x :: \text{nat}) < z ==> (x - y) \text{ mod } z = x - y$
 ⟨*proof*⟩

lemma *int-mod-eq*:
 $(0 :: \text{int}) <= b ==> b < n ==> a \text{ mod } n = b \text{ mod } n ==> a \text{ mod } n = b$
 ⟨*proof*⟩

lemmas *int-mod-eq'* = *mod-pos-pos-trivial*

lemma *int-mod-le*: $(0::int) \leq a \implies a \bmod n \leq a$
 ⟨proof⟩

lemma *mod-add-if-z*:
 $(x :: int) < z \implies y < z \implies 0 \leq y \implies 0 \leq x \implies 0 \leq z \implies$
 $(x + y) \bmod z = (\text{if } x + y < z \text{ then } x + y \text{ else } x + y - z)$
 ⟨proof⟩

lemma *mod-sub-if-z*:
 $(x :: int) < z \implies y < z \implies 0 \leq y \implies 0 \leq x \implies 0 \leq z \implies$
 $(x - y) \bmod z = (\text{if } y \leq x \text{ then } x - y \text{ else } x - y + z)$
 ⟨proof⟩

lemmas *zmde = zmod-zdiv-equality* [THEN *diff-eq-eq* [THEN *iffD2*], *symmetric*]
lemmas *mcl = mult-cancel-left* [THEN *iffD1*, THEN *make-pos-rule*]

lemma *zdiv-mult-self*: $m \sim = (0 :: int) \implies (a + m * n) \text{ div } m = a \text{ div } m + n$
 ⟨proof⟩

lemma *mod-power-lem*:
 $a > 1 \implies a^n \bmod a^m = (\text{if } m \leq n \text{ then } 0 \text{ else } (a :: int)^n)$
 ⟨proof⟩

lemma *pl-pl-rels*:
 $a + b = c + d \implies$
 $a \geq c \ \& \ b \leq d \mid a \leq c \ \& \ b \geq d \ (d :: nat)$ ⟨proof⟩

lemmas *pl-pl-rels' = add.commute* [THEN [2] *trans*, THEN *pl-pl-rels*]

lemma *minus-eq*: $(m - k = m) = (k = 0 \mid m = (0 :: nat))$ ⟨proof⟩

lemma *pl-pl-mm*: $(a :: nat) + b = c + d \implies a - c = d - b$ ⟨proof⟩

lemmas *pl-pl-mm' = add.commute* [THEN [2] *trans*, THEN *pl-pl-mm*]

lemmas *dme = box-equals* [OF *div-mod-equality add-0-right add-0-right*]

lemmas *dtle = xtr3* [OF *dme* [*symmetric*] *le-add1*]

lemmas *th2 = order-trans* [OF *order-refl* [THEN [2] *mult-le-mono*] *dtle*]

lemma *td-gal*:
 $0 < c \implies (a \geq b * c) = (a \text{ div } c \geq (b :: nat))$
 ⟨proof⟩

lemmas *td-gal-lt = td-gal* [*simplified not-less* [*symmetric*], *simplified*]

lemma *div-mult-le*: $(a :: nat) \text{ div } b * b \leq a$
 ⟨proof⟩

lemmas *sdl* = *split-div-lemma* [*THEN iffD1, symmetric*]

lemma *given-quot*: $f > (0 :: nat) \implies (f * l + (f - 1)) \text{ div } f = l$
 ⟨*proof*⟩

lemma *given-quot-alt*: $f > (0 :: nat) \implies (l * f + f - \text{Suc } 0) \text{ div } f = l$
 ⟨*proof*⟩

lemma *diff-mod-le*: $(a :: nat) < d \implies b \text{ dvd } d \implies a - a \text{ mod } b \leq d - b$
 ⟨*proof*⟩

lemma *less-le-mult'*:
 $w * c < b * c \implies 0 \leq c \implies (w + 1) * c \leq b * (c :: int)$
 ⟨*proof*⟩

lemma *less-le-mult*:
 $w * c < b * c \implies 0 \leq c \implies w * c + c \leq b * (c :: int)$
 ⟨*proof*⟩

lemmas *less-le-mult-minus* = *iffD2* [*OF le-diff-eq less-le-mult, simplified left-diff-distrib*]

lemma *gen-minus*: $0 < n \implies f \ n = f \ (\text{Suc } (n - 1))$
 ⟨*proof*⟩

lemma *mpl-lem*: $j \leq (i :: nat) \implies k < j \implies i - j + k < i$ ⟨*proof*⟩

lemma *nonneg-mod-div*:
 $0 \leq a \implies 0 \leq b \implies 0 \leq (a \text{ mod } b :: int) \ \& \ 0 \leq a \text{ div } b$
 ⟨*proof*⟩

declare *iszero-0* [*intro*]

lemma *min-pm* [*simp*]:
 $\text{min } a \ b + (a - b) = (a :: nat)$
 ⟨*proof*⟩

lemma *min-pm1* [*simp*]:
 $a - b + \text{min } a \ b = (a :: nat)$
 ⟨*proof*⟩

lemma *rev-min-pm* [*simp*]:
 $\text{min } b \ a + (a - b) = (a :: nat)$
 ⟨*proof*⟩

lemma *rev-min-pm1* [*simp*]:
 $a - b + \text{min } b \ a = (a :: nat)$
 ⟨*proof*⟩

lemma *min-minus* [*simp*]:
 $\text{min } m (m - k) = (m - k :: \text{nat})$
 ⟨*proof*⟩

lemma *min-minus'* [*simp*]:
 $\text{min } (m - k) m = (m - k :: \text{nat})$
 ⟨*proof*⟩

end

127 A type of finite bit strings

theory *Word*

imports

Type-Length

~/src/HOL/Library/Boolean-Algebra

Bits-Bit

Bool-List-Representation

Misc-Typedef

Word-Miscellaneous

begin

See `Examples/WordExamples.thy` for examples.

127.1 Type definition

typedef (overloaded) *'a word* = $\{(0::\text{int}) ..< 2^{\text{len-of TYPE('a::\text{len0})}}\}$
morphisms *uint Abs-word* ⟨*proof*⟩

lemma *uint-nonnegative*:

$0 \leq \text{uint } w$

⟨*proof*⟩

lemma *uint-bounded*:

fixes $w :: 'a::\text{len0 word}$

shows $\text{uint } w < 2^{\text{len-of TYPE('a)}}$

⟨*proof*⟩

lemma *uint-idem*:

fixes $w :: 'a::\text{len0 word}$

shows $\text{uint } w \bmod 2^{\text{len-of TYPE('a)}} = \text{uint } w$

⟨*proof*⟩

lemma *word-uint-eq-iff*:

$a = b \iff \text{uint } a = \text{uint } b$

⟨*proof*⟩

lemma *word-uint-eqI*:

$uint\ a = uint\ b \implies a = b$
 ⟨proof⟩

definition $word-of-int :: int \Rightarrow 'a::len0\ word$

where

— representation of words using unsigned or signed bins, only difference in these is the type class

$word-of-int\ k = Abs-word\ (k\ mod\ 2\ ^\ len-of\ TYPE('a))$

lemma $uint-word-of-int:$

$uint\ (word-of-int\ k :: 'a::len0\ word) = k\ mod\ 2\ ^\ len-of\ TYPE('a)$
 ⟨proof⟩

lemma $word-of-int-uint:$

$word-of-int\ (uint\ w) = w$
 ⟨proof⟩

lemma $split-word-all:$

$(\bigwedge x::'a::len0\ word. PROP\ P\ x) \equiv (\bigwedge x. PROP\ P\ (word-of-int\ x))$
 ⟨proof⟩

127.2 Type conversions and casting

definition $sint :: 'a::len\ word \Rightarrow int$

where

— treats the most-significant-bit as a sign bit

$sint-uint: sint\ w = sbintrunc\ (len-of\ TYPE\ ('a) - 1)\ (uint\ w)$

definition $unat :: 'a::len0\ word \Rightarrow nat$

where

$unat\ w = nat\ (uint\ w)$

definition $uints :: nat \Rightarrow int\ set$

where

— the sets of integers representing the words

$uints\ n = range\ (bintrunc\ n)$

definition $sints :: nat \Rightarrow int\ set$

where

$sints\ n = range\ (sbintrunc\ (n - 1))$

lemma $uints-num:$

$uints\ n = \{i. 0 \leq i \wedge i < 2\ ^\ n\}$
 ⟨proof⟩

lemma $sints-num:$

$sints\ n = \{i. -(2\ ^\ (n - 1)) \leq i \wedge i < 2\ ^\ (n - 1)\}$
 ⟨proof⟩

definition *unats* :: *nat* \Rightarrow *nat set*

where

$$\text{unats } n = \{i. i < 2 \wedge n\}$$

definition *norm-sint* :: *nat* \Rightarrow *int* \Rightarrow *int*

where

$$\text{norm-sint } n \ w = (w + 2 \wedge (n - 1)) \bmod 2 \wedge n - 2 \wedge (n - 1)$$

definition *scast* :: '*a*::*len word* \Rightarrow '*b*::*len word*

where

— cast a word to a different length

$$\text{scast } w = \text{word-of-int } (\text{sint } w)$$

definition *ucast* :: '*a*::*len0 word* \Rightarrow '*b*::*len0 word*

where

$$\text{ucast } w = \text{word-of-int } (\text{uint } w)$$

instantiation *word* :: (*len0*) *size*

begin

definition

$$\text{word-size: size } (w :: 'a \text{ word}) = \text{len-of TYPE}('a)$$

instance $\langle \text{proof} \rangle$

end

lemma *word-size-gt-0* [*iff*]:

$$0 < \text{size } (w :: 'a :: \text{len word})$$

$\langle \text{proof} \rangle$

lemmas *lens-gt-0* = *word-size-gt-0 len-gt-0*

lemma *lens-not-0* [*iff*]:

$$\text{shows size } (w :: 'a :: \text{len word}) \neq 0$$

$$\text{and len-of TYPE}('a :: \text{len}) \neq 0$$

$\langle \text{proof} \rangle$

definition *source-size* :: ('*a*::*len0 word* \Rightarrow '*b*) \Rightarrow *nat*

where

— whether a cast (or other) function is to a longer or shorter length

$$[\text{code del}]: \text{source-size } c = (\text{let } \text{arb} = \text{undefined}; x = c \ \text{arb} \ \text{in } \text{size } \text{arb})$$

definition *target-size* :: ('*a* \Rightarrow '*b*::*len0 word*) \Rightarrow *nat*

where

$$[\text{code del}]: \text{target-size } c = \text{size } (c \ \text{undefined})$$

definition *is-up* :: ('*a*::*len0 word* \Rightarrow '*b*::*len0 word*) \Rightarrow *bool*

where

$is-up\ c \longleftrightarrow source-size\ c \leq target-size\ c$

definition $is-down :: ('a :: len0\ word \Rightarrow 'b :: len0\ word) \Rightarrow bool$

where

$is-down\ c \longleftrightarrow target-size\ c \leq source-size\ c$

definition $of-bl :: bool\ list \Rightarrow 'a::len0\ word$

where

$of-bl\ bl = word-of-int\ (bl-to-bin\ bl)$

definition $to-bl :: 'a::len0\ word \Rightarrow bool\ list$

where

$to-bl\ w = bin-to-bl\ (len-of\ TYPE\ ('a))\ (uint\ w)$

definition $word-reverse :: 'a::len0\ word \Rightarrow 'a\ word$

where

$word-reverse\ w = of-bl\ (rev\ (to-bl\ w))$

definition $word-int-case :: (int \Rightarrow 'b) \Rightarrow 'a::len0\ word \Rightarrow 'b$

where

$word-int-case\ f\ w = f\ (uint\ w)$

translations

$case\ x\ of\ XCONST\ of-int\ y \Rightarrow b == CONST\ word-int-case\ (\%y.\ b)\ x$

$case\ x\ of\ (XCONST\ of-int :: 'a)\ y \Rightarrow b \Rightarrow CONST\ word-int-case\ (\%y.\ b)\ x$

127.3 Correspondence relation for theorem transfer

definition $cr-word :: int \Rightarrow 'a::len0\ word \Rightarrow bool$

where

$cr-word = (\lambda x\ y.\ word-of-int\ x = y)$

lemma *Quotient-word:*

$Quotient\ (\lambda x\ y.\ bintrunc\ (len-of\ TYPE\ ('a))\ x = bintrunc\ (len-of\ TYPE\ ('a))\ y)$

$word-of-int\ uint\ (cr-word :: - \Rightarrow 'a::len0\ word \Rightarrow bool)$

$\langle proof \rangle$

lemma *reflp-word:*

$reflp\ (\lambda x\ y.\ bintrunc\ (len-of\ TYPE\ ('a::len0))\ x = bintrunc\ (len-of\ TYPE\ ('a))\ y)$

$\langle proof \rangle$

setup-lifting *Quotient-word reflp-word*

TODO: The next lemma could be generated automatically.

lemma *uint-transfer [transfer-rule]:*

$(rel-fun\ pcr-word\ op =) (bintrunc\ (len-of\ TYPE\ ('a)))$

$(uint :: 'a::len0\ word \Rightarrow int)$

$\langle proof \rangle$

127.4 Basic code generation setup

definition *Word* :: *int* \Rightarrow '*a*::*len0* *word*

where

[*code-post*]: *Word* = *word-of-int*

lemma [*code abstype*]:

Word (*uint w*) = *w*

\langle *proof* \rangle

declare *uint-word-of-int* [*code abstract*]

instantiation *word* :: (*len0*) *equal*

begin

definition *equal-word* :: '*a* *word* \Rightarrow '*a* *word* \Rightarrow *bool*

where

equal-word *k l* \longleftrightarrow *HOL.equal* (*uint k*) (*uint l*)

instance \langle *proof* \rangle

end

notation *fcomp* (**infixl** $\circ>$ 60)

notation *scomp* (**infixl** $\circ\rightarrow$ 60)

instantiation *word* :: (*{len0, typerep}*) *random*

begin

definition

random-word *i* = *Random.range* *i* $\circ\rightarrow$ (λk . *Pair* (
let *j* = *word-of-int* (*int-of-integer* (*integer-of-natural* *k*)) :: '*a* *word*
in (*j*, λ ::*unit*. *Code-Evaluation.term-of-j*)))

instance \langle *proof* \rangle

end

no-notation *fcomp* (**infixl** $\circ>$ 60)

no-notation *scomp* (**infixl** $\circ\rightarrow$ 60)

127.5 Type-definition locale instantiations

lemmas *uint-0* = *uint-nonnegative*

lemmas *uint-lt* = *uint-bounded*

lemmas *uint-mod-same* = *uint-idem*

lemma *td-ext-uint*:

td-ext (*uint* :: '*a* *word* \Rightarrow *int*) *word-of-int* (*uints* (*len-of* *TYPE*('a::*len0*)))
 $(\lambda w$::*int*. *w mod* 2 \wedge *len-of* *TYPE*('a))

<proof>

interpretation *word-uint:*

td-ext uint::'a::len0 word \Rightarrow *int*
word-of-int
uints (len-of TYPE('a::len0))
 $\lambda w. w \bmod 2^{\wedge} \text{len-of TYPE('a::len0)}$
<proof>

lemmas *td-uint = word-uint.td-thm*

lemmas *int-word-uint = word-uint.eq-norm*

lemma *td-ext-ubin:*

td-ext (uint :: 'a word \Rightarrow *int)* *word-of-int (uints (len-of TYPE('a::len0)))*
(bintrunc (len-of TYPE('a)))
<proof>

interpretation *word-ubin:*

td-ext uint::'a::len0 word \Rightarrow *int*
word-of-int
uints (len-of TYPE('a::len0))
bintrunc (len-of TYPE('a::len0))
<proof>

127.6 Arithmetic operations

lift-definition *word-succ* :: *'a::len0 word* \Rightarrow *'a word* **is** $\lambda x. x + 1$

<proof>

lift-definition *word-pred* :: *'a::len0 word* \Rightarrow *'a word* **is** $\lambda x. x - 1$

<proof>

instantiation *word* :: (*len0*) {*neg-numeral, Divides.div, comm-monoid-mult, comm-ring*}
begin

lift-definition *zero-word* :: *'a word* **is** *0* *<proof>*

lift-definition *one-word* :: *'a word* **is** *1* *<proof>*

lift-definition *plus-word* :: *'a word* \Rightarrow *'a word* \Rightarrow *'a word* **is** *op +*

<proof>

lift-definition *minus-word* :: *'a word* \Rightarrow *'a word* \Rightarrow *'a word* **is** *op -*

<proof>

lift-definition *uminus-word* :: *'a word* \Rightarrow *'a word* **is** *uminus*

<proof>

lift-definition *times-word* :: *'a word* \Rightarrow *'a word* \Rightarrow *'a word* **is** *op **

<proof>

definition

word-div-def: $a \text{ div } b = \text{word-of-int } (\text{uint } a \text{ div uint } b)$

definition

word-mod-def: $a \text{ mod } b = \text{word-of-int } (\text{uint } a \text{ mod uint } b)$

instance

<proof>

end

Legacy theorems:

lemma *word-arith-wis* [code]: **shows**

word-add-def: $a + b = \text{word-of-int } (\text{uint } a + \text{uint } b)$ and
word-sub-wi: $a - b = \text{word-of-int } (\text{uint } a - \text{uint } b)$ and
*word-mult-def: $a * b = \text{word-of-int } (\text{uint } a * \text{uint } b)$ and*
word-minus-def: $- a = \text{word-of-int } (- \text{uint } a)$ and
word-succ-alt: $\text{word-succ } a = \text{word-of-int } (\text{uint } a + 1)$ and
word-pred-alt: $\text{word-pred } a = \text{word-of-int } (\text{uint } a - 1)$ and
word-0-wi: $0 = \text{word-of-int } 0$ and
word-1-wi: $1 = \text{word-of-int } 1$
<proof>

lemmas *ariths* =

bintr-ariths [THEN word-ubin.norm-eq-iff [THEN iffD1], folded word-ubin.eq-norm]

lemma *wi-homs*:

shows

wi-hom-add: $\text{word-of-int } a + \text{word-of-int } b = \text{word-of-int } (a + b)$ and
wi-hom-sub: $\text{word-of-int } a - \text{word-of-int } b = \text{word-of-int } (a - b)$ and
*wi-hom-mult: $\text{word-of-int } a * \text{word-of-int } b = \text{word-of-int } (a * b)$ and*
wi-hom-neg: $- \text{word-of-int } a = \text{word-of-int } (- a)$ and
wi-hom-succ: $\text{word-succ } (\text{word-of-int } a) = \text{word-of-int } (a + 1)$ and
wi-hom-pred: $\text{word-pred } (\text{word-of-int } a) = \text{word-of-int } (a - 1)$
<proof>

lemmas *wi-hom-syms* = *wi-homs* [symmetric]

lemmas *word-of-int-homs* = *wi-homs* *word-0-wi* *word-1-wi*

lemmas *word-of-int-hom-syms* = *word-of-int-homs* [symmetric]

instance *word* :: (len) *comm-ring-1*

<proof>

lemma *word-of-nat*: *of-nat* *n* = *word-of-int* (*int* *n*)

<proof>

lemma *word-of-int*: $of_int = word_of_int$
 ⟨*proof*⟩

definition *udvd* :: $'a::len\ word \Rightarrow 'a::len\ word \Rightarrow bool$ (**infixl** *udvd* 50)
where
 $a\ udvd\ b = (EX\ n \geq 0. uint\ b = n * uint\ a)$

127.7 Ordering

instantiation *word* :: $(len0)\ linorder$
begin

definition
word-le-def: $a \leq b \longleftrightarrow uint\ a \leq uint\ b$

definition
word-less-def: $a < b \longleftrightarrow uint\ a < uint\ b$

instance
 ⟨*proof*⟩

end

definition *word-sle* :: $'a :: len\ word \Rightarrow 'a\ word \Rightarrow bool$ ((-/ <=s -) [50, 51] 50)
where
 $a\ <=s\ b = (sint\ a \leq sint\ b)$

definition *word-sless* :: $'a :: len\ word \Rightarrow 'a\ word \Rightarrow bool$ ((-/ <s -) [50, 51] 50)
where
 $(x <s y) = (x <=s y \ \&\ x \sim = y)$

127.8 Bit-wise operations

instantiation *word* :: $(len0)\ bits$
begin

lift-definition *bitNOT-word* :: $'a\ word \Rightarrow 'a\ word\ \mathbf{is}\ bitNOT$
 ⟨*proof*⟩

lift-definition *bitAND-word* :: $'a\ word \Rightarrow 'a\ word \Rightarrow 'a\ word\ \mathbf{is}\ bitAND$
 ⟨*proof*⟩

lift-definition *bitOR-word* :: $'a\ word \Rightarrow 'a\ word \Rightarrow 'a\ word\ \mathbf{is}\ bitOR$
 ⟨*proof*⟩

lift-definition *bitXOR-word* :: $'a\ word \Rightarrow 'a\ word \Rightarrow 'a\ word\ \mathbf{is}\ bitXOR$
 ⟨*proof*⟩

definition

word-test-bit-def: $\text{test-bit } a = \text{bin-nth } (\text{uint } a)$

definition

word-set-bit-def: $\text{set-bit } a \ n \ x =$
word-of-int (*bin-sc* $n \ x$ (*uint* a))

definition

word-set-bits-def: $(\text{BITS } n. \ f \ n) = \text{of-bl } (\text{bl-of-nth } (\text{len-of } \text{TYPE } ('a)) \ f)$

definition

word-lsb-def: $\text{lsb } a \longleftrightarrow \text{bin-last } (\text{uint } a)$

definition *shiftrl1* :: $'a \ \text{word} \Rightarrow 'a \ \text{word}$

where

shiftrl1 $w = \text{word-of-int } (\text{uint } w \ \text{BIT } \text{False})$

definition *shiftr1* :: $'a \ \text{word} \Rightarrow 'a \ \text{word}$

where

— shift right as unsigned or as signed, ie logical or arithmetic
shiftr1 $w = \text{word-of-int } (\text{bin-rest } (\text{uint } w))$

definition

shiftrl-def: $w \ll n = (\text{shiftrl1 } \wedge \wedge n) \ w$

definition

shiftr-def: $w \gg n = (\text{shiftr1 } \wedge \wedge n) \ w$

instance $\langle \text{proof} \rangle$

end

lemma [*code*]: **shows**

word-not-def: $\text{NOT } (a::'a::\text{len0 } \text{word}) = \text{word-of-int } (\text{NOT } (\text{uint } a))$ **and**
word-and-def: $(a::'a \ \text{word}) \ \text{AND } b = \text{word-of-int } (\text{uint } a \ \text{AND } \text{uint } b)$ **and**
word-or-def: $(a::'a \ \text{word}) \ \text{OR } b = \text{word-of-int } (\text{uint } a \ \text{OR } \text{uint } b)$ **and**
word-xor-def: $(a::'a \ \text{word}) \ \text{XOR } b = \text{word-of-int } (\text{uint } a \ \text{XOR } \text{uint } b)$
 $\langle \text{proof} \rangle$

instantiation *word* :: $(\text{len}) \ \text{bitss}$

begin

definition

word-msb-def:
 $\text{msb } a \longleftrightarrow \text{bin-sign } (\text{uint } a) = -1$

instance $\langle \text{proof} \rangle$

end

definition *setBit* :: 'a :: len0 word => nat => 'a word
where
setBit w n = set-bit w n True

definition *clearBit* :: 'a :: len0 word => nat => 'a word
where
clearBit w n = set-bit w n False

127.9 Shift operations

definition *sshiftr1* :: 'a :: len word => 'a word
where
sshiftr1 w = word-of-int (bin-rest (sint w))

definition *bshiftr1* :: bool => 'a :: len word => 'a word
where
bshiftr1 b w = of-bl (b # butlast (to-bl w))

definition *sshiftr* :: 'a :: len word => nat => 'a word (**infixl** >>> 55)
where
w >>> n = (*sshiftr1* ^^ n) w

definition *mask* :: nat => 'a::len word
where
mask n = (1 << n) - 1

definition *revcast* :: 'a :: len0 word => 'b :: len0 word
where
revcast w = of-bl (takefill False (len-of TYPE('b)) (to-bl w))

definition *slice1* :: nat => 'a :: len0 word => 'b :: len0 word
where
slice1 n w = of-bl (takefill False n (to-bl w))

definition *slice* :: nat => 'a :: len0 word => 'b :: len0 word
where
slice n w = *slice1* (size w - n) w

127.10 Rotation

definition *rotater1* :: 'a list => 'a list
where
rotater1 ys =
(case ys of [] => [] | x # xs => last ys # butlast ys)

definition *rotater* :: nat => 'a list => 'a list
where
rotater n = *rotater1* ^^ n

definition *word-rotr* :: nat => 'a :: len0 word => 'a :: len0 word

where

$word\text{-}rotr\ n\ w = of\text{-}bl\ (rotater\ n\ (to\text{-}bl\ w))$

definition $word\text{-}rotl :: nat \Rightarrow 'a :: len0\ word \Rightarrow 'a :: len0\ word$

where

$word\text{-}rotl\ n\ w = of\text{-}bl\ (rotate\ n\ (to\text{-}bl\ w))$

definition $word\text{-}roti :: int \Rightarrow 'a :: len0\ word \Rightarrow 'a :: len0\ word$

where

$word\text{-}roti\ i\ w = (if\ i \geq 0\ then\ word\text{-}rotr\ (nat\ i)\ w$
 $else\ word\text{-}rotl\ (nat\ (-\ i))\ w)$

127.11 Split and cat operations

definition $word\text{-}cat :: 'a :: len0\ word \Rightarrow 'b :: len0\ word \Rightarrow 'c :: len0\ word$

where

$word\text{-}cat\ a\ b = word\text{-}of\text{-}int\ (bin\text{-}cat\ (uint\ a)\ (len\text{-}of\ TYPE\ ('b))\ (uint\ b))$

definition $word\text{-}split :: 'a :: len0\ word \Rightarrow ('b :: len0\ word) * ('c :: len0\ word)$

where

$word\text{-}split\ a =$
 $(case\ bin\text{-}split\ (len\text{-}of\ TYPE\ ('c))\ (uint\ a)\ of$
 $(u, v) \Rightarrow (word\text{-}of\text{-}int\ u, word\text{-}of\text{-}int\ v))$

definition $word\text{-}rcat :: 'a :: len0\ word\ list \Rightarrow 'b :: len0\ word$

where

$word\text{-}rcat\ ws =$
 $word\text{-}of\text{-}int\ (bin\text{-}rcat\ (len\text{-}of\ TYPE\ ('a))\ (map\ uint\ ws))$

definition $word\text{-}rsplit :: 'a :: len0\ word \Rightarrow 'b :: len\ word\ list$

where

$word\text{-}rsplit\ w =$
 $map\ word\text{-}of\text{-}int\ (bin\text{-}rsplit\ (len\text{-}of\ TYPE\ ('b))\ (len\text{-}of\ TYPE\ ('a),\ uint\ w))$

definition $max\text{-}word :: 'a :: len\ word$ — Largest representable machine integer.

where

$max\text{-}word = word\text{-}of\text{-}int\ (2 ^ len\text{-}of\ TYPE\ ('a) - 1)$

lemmas $of\text{-}nth\text{-}def = word\text{-}set\text{-}bits\text{-}def$

127.12 Theorems about typedefs

lemma $sint\text{-}sbintrunc'$:

$sint\ (word\text{-}of\text{-}int\ bin :: 'a\ word) =$
 $(sbintrunc\ (len\text{-}of\ TYPE\ ('a :: len) - 1)\ bin)$
 $\langle proof \rangle$

lemma $uint\text{-}sint$:

$uint\ w = bintrunc\ (len\text{-}of\ TYPE\ ('a))\ (sint\ (w :: 'a :: len\ word))$
 $\langle proof \rangle$

lemma *bintr-uint*:

fixes $w :: 'a::len0$ word

shows $len\text{-of } TYPE('a) \leq n \implies bintrunc\ n (uint\ w) = uint\ w$

<proof>

lemma *wi-bintr*:

$len\text{-of } TYPE('a::len0) \leq n \implies$

$word\text{-of-int } (bintrunc\ n\ w) = (word\text{-of-int } w :: 'a\ word)$

<proof>

lemma *td-ext-sbin*:

$td\text{-ext } (sint :: 'a\ word \implies int)\ word\text{-of-int } (sints\ (len\text{-of } TYPE('a::len)))$

$(sbintrunc\ (len\text{-of } TYPE('a) - 1))$

<proof>

lemma *td-ext-sint*:

$td\text{-ext } (sint :: 'a\ word \implies int)\ word\text{-of-int } (sints\ (len\text{-of } TYPE('a::len)))$

$(\lambda w. (w + 2^{(len\text{-of } TYPE('a) - 1)}) \bmod 2^{len\text{-of } TYPE('a) - 2^{(len\text{-of } TYPE('a) - 1)})$

<proof>

interpretation *word-sint*:

$td\text{-ext } sint :: 'a::len\ word \implies int$

$word\text{-of-int}$

$sints\ (len\text{-of } TYPE('a::len))$

$\%w. (w + 2^{(len\text{-of } TYPE('a::len) - 1)}) \bmod 2^{len\text{-of } TYPE('a::len) - 2^{(len\text{-of } TYPE('a::len) - 1)}}$

<proof>

interpretation *word-sbin*:

$td\text{-ext } sint :: 'a::len\ word \implies int$

$word\text{-of-int}$

$sints\ (len\text{-of } TYPE('a::len))$

$sbintrunc\ (len\text{-of } TYPE('a::len) - 1)$

<proof>

lemmas $int\text{-word-sint} = td\text{-ext-sint}$ [THEN *td-ext.eq-norm*]

lemmas $td\text{-sint} = word\text{-sint.td}$

lemma *to-bl-def'*:

$(to\text{-bl} :: 'a :: len0\ word \implies bool\ list) =$

$bin\text{-to-bl } (len\text{-of } TYPE('a))\ o\ uint$

<proof>

lemmas $word\text{-reverse-no-def}$ [simp] = $word\text{-reverse-def}$ [of numeral w] **for** w

lemma *uints-mod*: $uints\ n = range\ (\lambda w. w\ mod\ 2\ ^\ n)$
 ⟨proof⟩

lemma *word-numeral-alt*:
 $numeral\ b = word-of-int\ (numeral\ b)$
 ⟨proof⟩

declare *word-numeral-alt* [*symmetric, code-abbrev*]

lemma *word-neg-numeral-alt*:
 $- numeral\ b = word-of-int\ (- numeral\ b)$
 ⟨proof⟩

declare *word-neg-numeral-alt* [*symmetric, code-abbrev*]

lemma *word-numeral-transfer* [*transfer-rule*]:
 $(rel-fun\ op = pcr-word)\ numeral\ numeral$
 $(rel-fun\ op = pcr-word)\ (- numeral)\ (- numeral)$
 ⟨proof⟩

lemma *uint-bintrunc* [*simp*]:
 $uint\ (numeral\ bin :: 'a\ word) =$
 $bintrunc\ (len-of\ TYPE\ ('a :: len0))\ (numeral\ bin)$
 ⟨proof⟩

lemma *uint-bintrunc-neg* [*simp*]: $uint\ (- numeral\ bin :: 'a\ word) =$
 $bintrunc\ (len-of\ TYPE\ ('a :: len0))\ (- numeral\ bin)$
 ⟨proof⟩

lemma *sint-sbintrunc* [*simp*]:
 $sint\ (numeral\ bin :: 'a\ word) =$
 $sbintrunc\ (len-of\ TYPE\ ('a :: len) - 1)\ (numeral\ bin)$
 ⟨proof⟩

lemma *sint-sbintrunc-neg* [*simp*]: $sint\ (- numeral\ bin :: 'a\ word) =$
 $sbintrunc\ (len-of\ TYPE\ ('a :: len) - 1)\ (- numeral\ bin)$
 ⟨proof⟩

lemma *unat-bintrunc* [*simp*]:
 $unat\ (numeral\ bin :: 'a :: len0\ word) =$
 $nat\ (bintrunc\ (len-of\ TYPE\ ('a))\ (numeral\ bin))$
 ⟨proof⟩

lemma *unat-bintrunc-neg* [*simp*]:
 $unat\ (- numeral\ bin :: 'a :: len0\ word) =$
 $nat\ (bintrunc\ (len-of\ TYPE\ ('a))\ (- numeral\ bin))$
 ⟨proof⟩

lemma *size-0-eq*: $size\ (w :: 'a :: len0\ word) = 0 \implies v = w$

<proof>

lemma *uint-ge-0* [*iff*]: $0 \leq \text{uint } (x :: 'a :: \text{len0 word})$
<proof>

lemma *uint-lt2p* [*iff*]: $\text{uint } (x :: 'a :: \text{len0 word}) < 2^{\text{len-of TYPE('a)}}$
<proof>

lemma *sint-ge*: $-(2^{\text{len-of TYPE('a)} - 1}) \leq \text{sint } (x :: 'a :: \text{len word})$
<proof>

lemma *sint-lt*: $\text{sint } (x :: 'a :: \text{len word}) < 2^{\text{len-of TYPE('a)} - 1}$
<proof>

lemma *sign-uint-Pls* [*simp*]:
 $\text{bin-sign } (\text{uint } x) = 0$
<proof>

lemma *uint-m2p-neg*: $\text{uint } (x :: 'a :: \text{len0 word}) - 2^{\text{len-of TYPE('a)}} < 0$
<proof>

lemma *uint-m2p-not-non-neg*:
 $\neg 0 \leq \text{uint } (x :: 'a :: \text{len0 word}) - 2^{\text{len-of TYPE('a)}}$
<proof>

lemma *lt2p-lem*:
 $\text{len-of TYPE('a)} \leq n \implies \text{uint } (w :: 'a :: \text{len0 word}) < 2^n$
<proof>

lemma *uint-le-0-iff* [*simp*]: $\text{uint } x \leq 0 \iff \text{uint } x = 0$
<proof>

lemma *uint-nat*: $\text{uint } w = \text{int } (\text{unat } w)$
<proof>

lemma *uint-numeral*:
 $\text{uint } (\text{numeral } b :: 'a :: \text{len0 word}) = \text{numeral } b \bmod 2^{\text{len-of TYPE('a)}}$
<proof>

lemma *uint-neg-numeral*:
 $\text{uint } (- \text{numeral } b :: 'a :: \text{len0 word}) = - \text{numeral } b \bmod 2^{\text{len-of TYPE('a)}}$
<proof>

lemma *unat-numeral*:
 $\text{unat } (\text{numeral } b :: 'a :: \text{len0 word}) = \text{numeral } b \bmod 2^{\text{len-of TYPE('a)}}$
<proof>

lemma *sint-numeral*: $\text{sint } (\text{numeral } b :: 'a :: \text{len word}) = (\text{numeral } b + 2^{\text{len-of TYPE('a)} - 1}) \bmod 2^{\text{len-of TYPE('a)}} -$

$2 \wedge (\text{len-of TYPE}('a) - 1)$
 ⟨proof⟩

lemma *word-of-int-0* [*simp*, *code-post*]:
 $\text{word-of-int } 0 = 0$
 ⟨proof⟩

lemma *word-of-int-1* [*simp*, *code-post*]:
 $\text{word-of-int } 1 = 1$
 ⟨proof⟩

lemma *word-of-int-neg-1* [*simp*]: $\text{word-of-int } (- 1) = - 1$
 ⟨proof⟩

lemma *word-of-int-numeral* [*simp*] :
 $(\text{word-of-int } (\text{numeral bin}) :: 'a :: \text{len0 word}) = (\text{numeral bin})$
 ⟨proof⟩

lemma *word-of-int-neg-numeral* [*simp*]:
 $(\text{word-of-int } (- \text{numeral bin}) :: 'a :: \text{len0 word}) = (- \text{numeral bin})$
 ⟨proof⟩

lemma *word-int-case-wi*:
 $\text{word-int-case } f (\text{word-of-int } i :: 'b \text{ word}) =$
 $f (i \bmod 2 \wedge \text{len-of TYPE}('b::\text{len0}))$
 ⟨proof⟩

lemma *word-int-split*:
 $P (\text{word-int-case } f x) =$
 $(\text{ALL } i. x = (\text{word-of-int } i :: 'b :: \text{len0 word}) \ \&$
 $0 \leq i \ \& \ i < 2 \wedge \text{len-of TYPE}('b) \ \longrightarrow P (f i))$
 ⟨proof⟩

lemma *word-int-split-asm*:
 $P (\text{word-int-case } f x) =$
 $(\sim (\text{EX } n. x = (\text{word-of-int } n :: 'b::\text{len0 word}) \ \&$
 $0 \leq n \ \& \ n < 2 \wedge \text{len-of TYPE}('b::\text{len0}) \ \& \ \sim P (f n)))$
 ⟨proof⟩

lemmas *uint-range'* = *word-uint.Rep* [*unfolded uints-num mem-Collect-eq*]

lemmas *sint-range'* = *word-sint.Rep* [*unfolded One-nat-def sints-num mem-Collect-eq*]

lemma *uint-range-size*: $0 \leq \text{uint } w \ \& \ \text{uint } w < 2 \wedge \text{size } w$
 ⟨proof⟩

lemma *sint-range-size*:
 $-(2 \wedge (\text{size } w - \text{Suc } 0)) \leq \text{sint } w \ \& \ \text{sint } w < 2 \wedge (\text{size } w - \text{Suc } 0)$
 ⟨proof⟩

lemma *sint-above-size*: $2^{\wedge} (\text{size } (w::'a::\text{len word}) - 1) \leq x \implies \text{sint } w < x$
 ⟨proof⟩

lemma *sint-below-size*:
 $x \leq - (2^{\wedge} (\text{size } (w::'a::\text{len word}) - 1)) \implies x \leq \text{sint } w$
 ⟨proof⟩

127.13 Testing bits

lemma *test-bit-eq-iff*: $(\text{test-bit } (u::'a::\text{len0 word}) = \text{test-bit } v) = (u = v)$
 ⟨proof⟩

lemma *test-bit-size* [rule-format]: $(w::'a::\text{len0 word}) !! n \dashrightarrow n < \text{size } w$
 ⟨proof⟩

lemma *word-eq-iff*:
fixes $x y :: 'a::\text{len0 word}$
shows $x = y \iff (\forall n < \text{len-of } \text{TYPE}('a). x !! n = y !! n)$
 ⟨proof⟩

lemma *word-eqI* [rule-format]:
fixes $u :: 'a::\text{len0 word}$
shows $(\text{ALL } n. n < \text{size } u \dashrightarrow u !! n = v !! n) \implies u = v$
 ⟨proof⟩

lemma *word-eqD*: $(u::'a::\text{len0 word}) = v \implies u !! x = v !! x$
 ⟨proof⟩

lemma *test-bit-bin'*: $w !! n = (n < \text{size } w \ \& \ \text{bin-nth } (\text{uint } w) \ n)$
 ⟨proof⟩

lemmas *test-bit-bin = test-bit-bin'* [unfolded word-size]

lemma *bin-nth-uint-imp*:
 $\text{bin-nth } (\text{uint } (w::'a::\text{len0 word})) \ n \implies n < \text{len-of } \text{TYPE}('a)$
 ⟨proof⟩

lemma *bin-nth-sint*:
fixes $w :: 'a::\text{len word}$
shows $\text{len-of } \text{TYPE}('a) \leq n \implies$
 $\text{bin-nth } (\text{sint } w) \ n = \text{bin-nth } (\text{sint } w) \ (\text{len-of } \text{TYPE}('a) - 1)$
 ⟨proof⟩

lemma *td-bl*:
type-definition $(\text{to-bl} :: 'a::\text{len0 word} \Rightarrow \text{bool list})$
of-bl
 $\{\text{bl. length } \text{bl} = \text{len-of } \text{TYPE}('a)\}$
 ⟨proof⟩

interpretation *word-bl*:

type-definition *to-bl* :: 'a::len0 word => bool list

of-bl

{bl. length bl = len-of TYPE('a::len0)}

<proof>

lemmas *word-bl-Rep'* = *word-bl.Rep* [unfolded mem-Collect-eq, iff]

lemma *word-size-bl*: size w = size (to-bl w)

<proof>

lemma *to-bl-use-of-bl*:

(to-bl w = bl) = (w = of-bl bl ∧ length bl = length (to-bl w))

<proof>

lemma *to-bl-word-rev*: to-bl (word-reverse w) = rev (to-bl w)

<proof>

lemma *word-rev-rev* [simp] : word-reverse (word-reverse w) = w

<proof>

lemma *word-rev-gal*: word-reverse w = u ⇒ word-reverse u = w

<proof>

lemma *word-rev-gal'*: u = word-reverse w ⇒ w = word-reverse u

<proof>

lemma *length-bl-gt-0* [iff]: 0 < length (to-bl (x::'a::len word))

<proof>

lemma *bl-not-Nil* [iff]: to-bl (x::'a::len word) ≠ []

<proof>

lemma *length-bl-neq-0* [iff]: length (to-bl (x::'a::len word)) ≠ 0

<proof>

lemma *hd-bl-sign-sint*: hd (to-bl w) = (bin-sign (sint w) = -1)

<proof>

lemma *of-bl-drop'*:

lend = length bl - len-of TYPE ('a :: len0) ⇒

of-bl (drop lend bl) = (of-bl bl :: 'a word)

<proof>

lemma *test-bit-of-bl*:

(of-bl bl::'a::len0 word) !! n = (rev bl ! n ∧ n < len-of TYPE('a) ∧ n < length bl)

<proof>

lemma *no-of-bl*:

$(\text{numeral bin} :: 'a :: \text{len0 word}) = \text{of-bl } (\text{bin-to-bl } (\text{len-of TYPE } ('a)) (\text{numeral bin}))$
 $\langle \text{proof} \rangle$

lemma *uint-bl*: $\text{to-bl } w = \text{bin-to-bl } (\text{size } w) (\text{uint } w)$

$\langle \text{proof} \rangle$

lemma *to-bl-bin*: $\text{bl-to-bin } (\text{to-bl } w) = \text{uint } w$

$\langle \text{proof} \rangle$

lemma *to-bl-of-bin*:

$\text{to-bl } (\text{word-of-int bin} :: 'a :: \text{len0 word}) = \text{bin-to-bl } (\text{len-of TYPE } ('a)) \text{ bin}$
 $\langle \text{proof} \rangle$

lemma *to-bl-numeral* [*simp*]:

$\text{to-bl } (\text{numeral bin} :: 'a :: \text{len0 word}) =$
 $\text{bin-to-bl } (\text{len-of TYPE } ('a)) (\text{numeral bin})$
 $\langle \text{proof} \rangle$

lemma *to-bl-neg-numeral* [*simp*]:

$\text{to-bl } (- \text{numeral bin} :: 'a :: \text{len0 word}) =$
 $\text{bin-to-bl } (\text{len-of TYPE } ('a)) (- \text{numeral bin})$
 $\langle \text{proof} \rangle$

lemma *to-bl-to-bin* [*simp*] : $\text{bl-to-bin } (\text{to-bl } w) = \text{uint } w$

$\langle \text{proof} \rangle$

lemma *uint-bl-bin*:

fixes $x :: 'a :: \text{len0 word}$

shows $\text{bl-to-bin } (\text{bin-to-bl } (\text{len-of TYPE } ('a)) (\text{uint } x)) = \text{uint } x$

$\langle \text{proof} \rangle$

lemma *uints-unats*: $\text{uints } n = \text{int } ' \text{unats } n$

$\langle \text{proof} \rangle$

lemma *unats-uints*: $\text{unats } n = \text{nat } ' \text{uints } n$

$\langle \text{proof} \rangle$

lemmas *bintr-num = word-ubin.norm-eq-iff*

[of numeral a numeral b, symmetric, folded word-numeral-alt] **for** a b

lemmas *sbintr-num = word-sbin.norm-eq-iff*

[of numeral a numeral b, symmetric, folded word-numeral-alt] **for** a b

lemma *num-of-bintr'*:

$\text{bintrunc } (\text{len-of TYPE } ('a :: \text{len0})) (\text{numeral } a) = (\text{numeral } b) \implies$
 $\text{numeral } a = (\text{numeral } b :: 'a \text{ word})$

$\langle \text{proof} \rangle$

lemma *num-of-sbintr'*:

$$\begin{aligned} & \text{sbintrunc } (\text{len-of TYPE}('a :: \text{len}) - 1) (\text{numeral } a) = (\text{numeral } b) \implies \\ & \text{numeral } a = (\text{numeral } b :: 'a \text{ word}) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *num-abs-bintr*:

$$\begin{aligned} & (\text{numeral } x :: 'a \text{ word}) = \\ & \text{word-of-int } (\text{bintrunc } (\text{len-of TYPE}('a::\text{len0})) (\text{numeral } x)) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *num-abs-sbintr*:

$$\begin{aligned} & (\text{numeral } x :: 'a \text{ word}) = \\ & \text{word-of-int } (\text{sbintrunc } (\text{len-of TYPE}('a::\text{len}) - 1) (\text{numeral } x)) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *ucast-id*: $\text{ucast } w = w$

$\langle \text{proof} \rangle$

lemma *scast-id*: $\text{scast } w = w$

$\langle \text{proof} \rangle$

lemma *ucast-bl*: $\text{ucast } w = \text{of-bl } (\text{to-bl } w)$

$\langle \text{proof} \rangle$

lemma *nth-ucast*:

$$\begin{aligned} & (\text{ucast } w :: 'a::\text{len0} \text{ word}) !! n = (w !! n \ \& \ n < \text{len-of TYPE}('a)) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *ucast-bintr* [*simp*]:

$$\begin{aligned} & \text{ucast } (\text{numeral } w :: 'a::\text{len0} \text{ word}) = \\ & \text{word-of-int } (\text{bintrunc } (\text{len-of TYPE}('a)) (\text{numeral } w)) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *scast-sbintr* [*simp*]:

$$\begin{aligned} & \text{scast } (\text{numeral } w :: 'a::\text{len} \text{ word}) = \\ & \text{word-of-int } (\text{sbintrunc } (\text{len-of TYPE}('a) - \text{Suc } 0) (\text{numeral } w)) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *source-size*: $\text{source-size } (c :: 'a::\text{len0} \text{ word} \Rightarrow -) = \text{len-of TYPE}('a)$

$\langle \text{proof} \rangle$

lemma *target-size*: $\text{target-size } (c :: - \Rightarrow 'b::\text{len0} \text{ word}) = \text{len-of TYPE}('b)$

$\langle \text{proof} \rangle$

lemma *is-down*:

fixes $c :: 'a::len0\ word \Rightarrow 'b::len0\ word$
shows $is-down\ c \longleftrightarrow len-of\ TYPE('b) \leq len-of\ TYPE('a)$
 $\langle proof \rangle$

lemma *is-up*:

fixes $c :: 'a::len0\ word \Rightarrow 'b::len0\ word$
shows $is-up\ c \longleftrightarrow len-of\ TYPE('a) \leq len-of\ TYPE('b)$
 $\langle proof \rangle$

lemmas $is-up-down = trans\ [OF\ is-up\ is-down\ [symmetric]]$

lemma *down-cast-same* $[OF\ refl]$: $uc = ucast \Longrightarrow is-down\ uc \Longrightarrow uc = scast$
 $\langle proof \rangle$

lemma *word-rev-tf*:

$to-bl\ (of-bl\ bl::'a::len0\ word) =$
 $rev\ (takefill\ False\ (len-of\ TYPE('a))\ (rev\ bl))$
 $\langle proof \rangle$

lemma *word-rep-drop*:

$to-bl\ (of-bl\ bl::'a::len0\ word) =$
 $replicate\ (len-of\ TYPE('a) - length\ bl)\ False\ @$
 $drop\ (length\ bl - len-of\ TYPE('a))\ bl$
 $\langle proof \rangle$

lemma *to-bl-ucast*:

$to-bl\ (ucast\ (w::'b::len0\ word) :: 'a::len0\ word) =$
 $replicate\ (len-of\ TYPE('a) - len-of\ TYPE('b))\ False\ @$
 $drop\ (len-of\ TYPE('b) - len-of\ TYPE('a))\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *ucast-up-app* $[OF\ refl]$:

$uc = ucast \Longrightarrow source-size\ uc + n = target-size\ uc \Longrightarrow$
 $to-bl\ (uc\ w) = replicate\ n\ False\ @\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *ucast-down-drop* $[OF\ refl]$:

$uc = ucast \Longrightarrow source-size\ uc = target-size\ uc + n \Longrightarrow$
 $to-bl\ (uc\ w) = drop\ n\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *scast-down-drop* $[OF\ refl]$:

$sc = scast \Longrightarrow source-size\ sc = target-size\ sc + n \Longrightarrow$
 $to-bl\ (sc\ w) = drop\ n\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *sint-up-scast* $[OF\ refl]$:

$sc = scast \implies is\text{-}up\ sc \implies sint\ (sc\ w) = sint\ w$
 ⟨proof⟩

lemma *uint-up-ucast* [OF refl]:
 $uc = ucast \implies is\text{-}up\ uc \implies uint\ (uc\ w) = uint\ w$
 ⟨proof⟩

lemma *ucast-up-ucast* [OF refl]:
 $uc = ucast \implies is\text{-}up\ uc \implies ucast\ (uc\ w) = ucast\ w$
 ⟨proof⟩

lemma *scast-up-scast* [OF refl]:
 $sc = scast \implies is\text{-}up\ sc \implies scast\ (sc\ w) = scast\ w$
 ⟨proof⟩

lemma *ucast-of-bl-up* [OF refl]:
 $w = of\text{-}bl\ bl \implies size\ bl \leq size\ w \implies ucast\ w = of\text{-}bl\ bl$
 ⟨proof⟩

lemmas *ucast-up-ucast-id = trans* [OF *ucast-up-ucast ucast-id*]
lemmas *scast-up-scast-id = trans* [OF *scast-up-scast scast-id*]

lemmas *isduu = is-up-down* [where $c = ucast$, THEN *iffD2*]
lemmas *isdus = is-up-down* [where $c = scast$, THEN *iffD2*]
lemmas *ucast-down-ucast-id = isduu* [THEN *ucast-up-ucast-id*]
lemmas *scast-down-scast-id = isdus* [THEN *ucast-up-ucast-id*]

lemma *up-ucast-surj*:
 $is\text{-}up\ (ucast :: 'b::len0\ word \Rightarrow 'a::len0\ word) \implies$
 $surj\ (ucast :: 'a\ word \Rightarrow 'b\ word)$
 ⟨proof⟩

lemma *up-scast-surj*:
 $is\text{-}up\ (scast :: 'b::len\ word \Rightarrow 'a::len\ word) \implies$
 $surj\ (scast :: 'a\ word \Rightarrow 'b\ word)$
 ⟨proof⟩

lemma *down-scast-inj*:
 $is\text{-}down\ (scast :: 'b::len\ word \Rightarrow 'a::len\ word) \implies$
 $inj\text{-}on\ (ucast :: 'a\ word \Rightarrow 'b\ word)\ A$
 ⟨proof⟩

lemma *down-ucast-inj*:
 $is\text{-}down\ (ucast :: 'b::len0\ word \Rightarrow 'a::len0\ word) \implies$
 $inj\text{-}on\ (ucast :: 'a\ word \Rightarrow 'b\ word)\ A$
 ⟨proof⟩

lemma *of-bl-append-same*: $of\text{-}bl\ (X\ @\ to\text{-}bl\ w) = w$
 ⟨proof⟩

lemma *ucast-down-wi* [*OF refl*]:

$uc = ucast \implies is_down\ uc \implies uc\ (word_of_int\ x) = word_of_int\ x$
 ⟨*proof*⟩

lemma *ucast-down-no* [*OF refl*]:

$uc = ucast \implies is_down\ uc \implies uc\ (numeral\ bin) = numeral\ bin$
 ⟨*proof*⟩

lemma *ucast-down-bl* [*OF refl*]:

$uc = ucast \implies is_down\ uc \implies uc\ (of_bl\ bl) = of_bl\ bl$
 ⟨*proof*⟩

lemmas *slice-def'* = *slice-def* [*unfolded word-size*]

lemmas *test-bit-def'* = *word-test-bit-def* [*THEN fun-cong*]

lemmas *word-log-defs* = *word-and-def word-or-def word-xor-def word-not-def*

127.14 Word Arithmetic

lemma *word-less-alt*: $(a < b) = (uint\ a < uint\ b)$

⟨*proof*⟩

lemma *signed-linorder*: *class.linorder word-sle word-sless*

⟨*proof*⟩

interpretation *signed*: *linorder word-sle word-sless*

⟨*proof*⟩

lemma *udvdI*:

$0 \leq n \implies uint\ b = n * uint\ a \implies a\ udvd\ b$

⟨*proof*⟩

lemmas *word-div-no* [*simp*] = *word-div-def* [*of numeral a numeral b*] **for** *a b*

lemmas *word-mod-no* [*simp*] = *word-mod-def* [*of numeral a numeral b*] **for** *a b*

lemmas *word-less-no* [*simp*] = *word-less-def* [*of numeral a numeral b*] **for** *a b*

lemmas *word-le-no* [*simp*] = *word-le-def* [*of numeral a numeral b*] **for** *a b*

lemmas *word-sless-no* [*simp*] = *word-sless-def* [*of numeral a numeral b*] **for** *a b*

lemmas *word-sle-no* [*simp*] = *word-sle-def* [*of numeral a numeral b*] **for** *a b*

lemma *word-m1-wi*: $- 1 = word_of_int\ (- 1)$

⟨*proof*⟩

lemma *word-0-bl* [*simp*]: *of-bl* [] = 0

<proof>

lemma *word-1-bl*: *of-bl [True] = 1*
<proof>

lemma *uint-eq-0 [simp]*: *uint 0 = 0*
<proof>

lemma *of-bl-0 [simp]*: *of-bl (replicate n False) = 0*
<proof>

lemma *to-bl-0 [simp]*:
to-bl (0::'a::len0 word) = replicate (len-of TYPE('a)) False
<proof>

lemma *uint-0-iff*:
uint x = 0 \longleftrightarrow x = 0
<proof>

lemma *unat-0-iff*:
unat x = 0 \longleftrightarrow x = 0
<proof>

lemma *unat-0 [simp]*:
unat 0 = 0
<proof>

lemma *size-0-same'*:
size w = 0 \implies w = (v :: 'a :: len0 word)
<proof>

lemmas *size-0-same = size-0-same' [unfolded word-size]*

lemmas *unat-eq-0 = unat-0-iff*
lemmas *unat-eq-zero = unat-0-iff*

lemma *unat-gt-0*: *(0 < unat x) = (x \sim 0)*
<proof>

lemma *ucast-0 [simp]*: *ucast 0 = 0*
<proof>

lemma *sint-0 [simp]*: *sint 0 = 0*
<proof>

lemma *scast-0 [simp]*: *scast 0 = 0*
<proof>

lemma *sint-n1 [simp]*: *sint (- 1) = - 1*

<proof>

lemma *scast-n1* [*simp*]: *scast* ($- 1$) = $- 1$
<proof>

lemma *uint-1* [*simp*]: *uint* ($1 :: 'a :: \text{len word}$) = 1
<proof>

lemma *unat-1* [*simp*]: *unat* ($1 :: 'a :: \text{len word}$) = 1
<proof>

lemma *ucast-1* [*simp*]: *ucast* ($1 :: 'a :: \text{len word}$) = 1
<proof>

127.15 Transferring goals from words to ints

lemma *word-ths*:

shows

word-succ-p1: *word-succ* $a = a + 1$ **and**

word-pred-m1: *word-pred* $a = a - 1$ **and**

word-pred-succ: *word-pred* (*word-succ* a) = a **and**

word-succ-pred: *word-succ* (*word-pred* a) = a **and**

word-mult-succ: *word-succ* $a * b = b + a * b$

<proof>

lemma *uint-cong*: $x = y \implies \text{uint } x = \text{uint } y$
<proof>

lemma *uint-word-ariths*:

fixes $a b :: 'a :: \text{len0 word}$

shows *uint* ($a + b$) = (*uint* $a + \text{uint } b$) *mod* $2 \wedge \text{len-of TYPE}('a :: \text{len0})$

and *uint* ($a - b$) = (*uint* $a - \text{uint } b$) *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* ($a * b$) = *uint* $a * \text{uint } b$ *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* ($- a$) = $- \text{uint } a$ *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* (*word-succ* a) = (*uint* $a + 1$) *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* (*word-pred* a) = (*uint* $a - 1$) *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* ($0 :: 'a \text{ word}$) = 0 *mod* $2 \wedge \text{len-of TYPE}('a)$

and *uint* ($1 :: 'a \text{ word}$) = 1 *mod* $2 \wedge \text{len-of TYPE}('a)$

<proof>

lemma *uint-word-arith-bintrs*:

fixes $a b :: 'a :: \text{len0 word}$

shows *uint* ($a + b$) = *bintrunc* (*len-of TYPE}('a)*) (*uint* $a + \text{uint } b$)

and *uint* ($a - b$) = *bintrunc* (*len-of TYPE}('a)*) (*uint* $a - \text{uint } b$)

and *uint* ($a * b$) = *bintrunc* (*len-of TYPE}('a)*) (*uint* $a * \text{uint } b$)

and *uint* ($- a$) = *bintrunc* (*len-of TYPE}('a)*) ($- \text{uint } a$)

and *uint* (*word-succ* a) = *bintrunc* (*len-of TYPE}('a)*) (*uint* $a + 1$)

and *uint* (*word-pred* a) = *bintrunc* (*len-of TYPE}('a)*) (*uint* $a - 1$)

and *uint* ($0 :: 'a \text{ word}$) = *bintrunc* (*len-of TYPE}('a)*) 0

and $uint (1 :: 'a \text{ word}) = bintrunc (len\text{-of } TYPE('a)) 1$
 ⟨proof⟩

lemma *sint-word-ariths*:

fixes $a \ b :: 'a::len \ \text{word}$

shows $sint (a + b) = sbintrunc (len\text{-of } TYPE('a) - 1) (sint \ a + sint \ b)$

and $sint (a - b) = sbintrunc (len\text{-of } TYPE('a) - 1) (sint \ a - sint \ b)$

and $sint (a * b) = sbintrunc (len\text{-of } TYPE('a) - 1) (sint \ a * sint \ b)$

and $sint (- a) = sbintrunc (len\text{-of } TYPE('a) - 1) (- sint \ a)$

and $sint (word\text{-succ } a) = sbintrunc (len\text{-of } TYPE('a) - 1) (sint \ a + 1)$

and $sint (word\text{-pred } a) = sbintrunc (len\text{-of } TYPE('a) - 1) (sint \ a - 1)$

and $sint (0 :: 'a \ \text{word}) = sbintrunc (len\text{-of } TYPE('a) - 1) 0$

and $sint (1 :: 'a \ \text{word}) = sbintrunc (len\text{-of } TYPE('a) - 1) 1$

⟨proof⟩

lemmas $uint\text{-div}\text{-alt} = word\text{-div}\text{-def} [THEN \ trans [OF \ uint\text{-cong} \ int\text{-word}\text{-uint}]]$

lemmas $uint\text{-mod}\text{-alt} = word\text{-mod}\text{-def} [THEN \ trans [OF \ uint\text{-cong} \ int\text{-word}\text{-uint}]]$

lemma *word-pred-0-n1*: $word\text{-pred } 0 = word\text{-of}\text{-int } (- 1)$

⟨proof⟩

lemma *succ-pred-no* [simp]:

$word\text{-succ } (numeral \ w) = numeral \ w + 1$

$word\text{-pred } (numeral \ w) = numeral \ w - 1$

$word\text{-succ } (- numeral \ w) = - numeral \ w + 1$

$word\text{-pred } (- numeral \ w) = - numeral \ w - 1$

⟨proof⟩

lemma *word-sp-01* [simp] :

$word\text{-succ } (- 1) = 0 \ \& \ word\text{-succ } 0 = 1 \ \& \ word\text{-pred } 0 = - 1 \ \& \ word\text{-pred } 1$
 $= 0$

⟨proof⟩

lemma *word-of-int-Ex*:

$\exists y. x = word\text{-of}\text{-int } y$

⟨proof⟩

127.16 Order on fixed-length words

lemma *word-zero-le* [simp] :

$0 \leq (y :: 'a :: len0 \ \text{word})$

⟨proof⟩

lemma *word-m1-ge* [simp] : $word\text{-pred } 0 \geq y$

⟨proof⟩

lemma *word-n1-ge* [simp]: $y \leq (-1::'a::len0 \ \text{word})$

⟨proof⟩

lemmas *word-not-simps* [simp] =
word-zero-le [THEN leD] *word-m1-ge* [THEN leD] *word-n1-ge* [THEN leD]

lemma *word-gt-0*: $0 < y \longleftrightarrow 0 \neq (y :: 'a :: \text{len0 word})$
 ⟨proof⟩

lemmas *word-gt-0-no* [simp] = *word-gt-0* [of numeral *y*] **for** *y*

lemma *word-sless-alt*: $(a <_s b) = (\text{sint } a < \text{sint } b)$
 ⟨proof⟩

lemma *word-le-nat-alt*: $(a \leq b) = (\text{unat } a \leq \text{unat } b)$
 ⟨proof⟩

lemma *word-less-nat-alt*: $(a < b) = (\text{unat } a < \text{unat } b)$
 ⟨proof⟩

lemma *wi-less*:
 $(\text{word-of-int } n < (\text{word-of-int } m :: 'a :: \text{len0 word})) =$
 $(n \bmod 2 \wedge \text{len-of TYPE('a)} < m \bmod 2 \wedge \text{len-of TYPE('a)})$
 ⟨proof⟩

lemma *wi-le*:
 $(\text{word-of-int } n \leq (\text{word-of-int } m :: 'a :: \text{len0 word})) =$
 $(n \bmod 2 \wedge \text{len-of TYPE('a)} \leq m \bmod 2 \wedge \text{len-of TYPE('a)})$
 ⟨proof⟩

lemma *udvd-nat-alt*: $a \text{ udvd } b = (\exists n \geq 0. \text{unat } b = n * \text{unat } a)$
 ⟨proof⟩

lemma *udvd-iff-dvd*: $x \text{ udvd } y \longleftrightarrow \text{unat } x \text{ dvd } \text{unat } y$
 ⟨proof⟩

lemmas *unat-mono* = *word-less-nat-alt* [THEN iffD1]

lemma *unat-minus-one*:
assumes $w \neq 0$
shows $\text{unat } (w - 1) = \text{unat } w - 1$
 ⟨proof⟩

lemma *measure-unat*: $p \sim= 0 \implies \text{unat } (p - 1) < \text{unat } p$
 ⟨proof⟩

lemmas *uint-add-ge0* [simp] = *add-nonneg-nonneg* [OF *uint-ge-0 uint-ge-0*]
lemmas *uint-mult-ge0* [simp] = *mult-nonneg-nonneg* [OF *uint-ge-0 uint-ge-0*]

lemma *uint-sub-lt2p* [simp]:
 $\text{uint } (x :: 'a :: \text{len0 word}) - \text{uint } (y :: 'b :: \text{len0 word}) <$

$2 \wedge \text{len-of TYPE}('a)$
 ⟨proof⟩

127.17 Conditions for the addition (etc) of two words to overflow

lemma *uint-add-lem*:

$(\text{uint } x + \text{uint } y < 2 \wedge \text{len-of TYPE}('a)) =$
 $(\text{uint } (x + y :: 'a :: \text{len0 word}) = \text{uint } x + \text{uint } y)$
 ⟨proof⟩

lemma *uint-mult-lem*:

$(\text{uint } x * \text{uint } y < 2 \wedge \text{len-of TYPE}('a)) =$
 $(\text{uint } (x * y :: 'a :: \text{len0 word}) = \text{uint } x * \text{uint } y)$
 ⟨proof⟩

lemma *uint-sub-lem*:

$(\text{uint } x \geq \text{uint } y) = (\text{uint } (x - y) = \text{uint } x - \text{uint } y)$
 ⟨proof⟩

lemma *uint-add-le*: $\text{uint } (x + y) \leq \text{uint } x + \text{uint } y$
 ⟨proof⟩

lemma *uint-sub-ge*: $\text{uint } (x - y) \geq \text{uint } x - \text{uint } y$
 ⟨proof⟩

lemma *mod-add-if-z*:

$(x :: \text{int}) < z \implies y < z \implies 0 \leq y \implies 0 \leq x \implies 0 \leq z \implies$
 $(x + y) \text{ mod } z = (\text{if } x + y < z \text{ then } x + y \text{ else } x + y - z)$
 ⟨proof⟩

lemma *uint-plus-if'*:

$\text{uint } ((a :: 'a \text{ word}) + b) =$
 $(\text{if } \text{uint } a + \text{uint } b < 2 \wedge \text{len-of TYPE}('a :: \text{len0}) \text{ then } \text{uint } a + \text{uint } b$
 $\text{ else } \text{uint } a + \text{uint } b - 2 \wedge \text{len-of TYPE}('a))$
 ⟨proof⟩

lemma *mod-sub-if-z*:

$(x :: \text{int}) < z \implies y < z \implies 0 \leq y \implies 0 \leq x \implies 0 \leq z \implies$
 $(x - y) \text{ mod } z = (\text{if } y \leq x \text{ then } x - y \text{ else } x - y + z)$
 ⟨proof⟩

lemma *uint-sub-if'*:

$\text{uint } ((a :: 'a \text{ word}) - b) =$
 $(\text{if } \text{uint } b \leq \text{uint } a \text{ then } \text{uint } a - \text{uint } b$
 $\text{ else } \text{uint } a - \text{uint } b + 2 \wedge \text{len-of TYPE}('a :: \text{len0}))$
 ⟨proof⟩

127.18 Definition of *uint-arith***lemma** *word-of-int-inverse*:

$\text{word-of-int } r = a \implies 0 \leq r \implies r < 2^{\text{len-of TYPE('a)}} \implies$
 $\text{uint } (a :: 'a :: \text{len0 word}) = r$
 ⟨proof⟩

lemma *uint-split*:**fixes** $x :: 'a :: \text{len0 word}$ **shows** $P (\text{uint } x) =$

$(\text{ALL } i. \text{word-of-int } i = x \ \& \ 0 \leq i \ \& \ i < 2^{\text{len-of TYPE('a)}} \longrightarrow P \ i)$

⟨proof⟩

lemma *uint-split-asm*:**fixes** $x :: 'a :: \text{len0 word}$ **shows** $P (\text{uint } x) =$

$(\sim (\text{EX } i. \text{word-of-int } i = x \ \& \ 0 \leq i \ \& \ i < 2^{\text{len-of TYPE('a)}} \ \& \ \sim P \ i))$

⟨proof⟩

lemmas *uint-splits* = *uint-split uint-split-asm***lemmas** *uint-arith-simps* =*word-le-def word-less-alt**word-uint.Rep-inject [symmetric]**uint-sub-if' uint-plus-if'***lemma** *power-False-cong*: $\text{False} \implies a \wedge b = c \wedge d$

⟨proof⟩

⟨ML⟩

127.19 More on overflows and monotonicity**lemma** *no-plus-overflow-uint-size*:

$((x :: 'a :: \text{len0 word}) \leq x + y) = (\text{uint } x + \text{uint } y < 2^{\text{size } x})$
 ⟨proof⟩

lemmas *no-olen-add* = *no-plus-overflow-uint-size [unfolded word-size]***lemma** *no-olen-sub*: $((x :: 'a :: \text{len0 word}) \geq x - y) = (\text{uint } y \leq \text{uint } x)$

⟨proof⟩

lemma *no-olen-add'*:**fixes** $x :: 'a :: \text{len0 word}$ **shows** $(x \leq y + x) = (\text{uint } y + \text{uint } x < 2^{\text{len-of TYPE('a)}})$

⟨proof⟩

lemmas *olen-add-quiv* = *trans [OF no-olen-add no-olen-add' [symmetric]]*

lemmas *uint-plus-simple-iff* = *trans* [*OF no-olen-add uint-add-lem*]
lemmas *uint-plus-simple* = *uint-plus-simple-iff* [*THEN iffD1*]
lemmas *uint-minus-simple-iff* = *trans* [*OF no-ulen-sub uint-sub-lem*]
lemmas *uint-minus-simple-alt* = *uint-sub-lem* [*folded word-le-def*]
lemmas *word-sub-le-iff* = *no-ulen-sub* [*folded word-le-def*]
lemmas *word-sub-le* = *word-sub-le-iff* [*THEN iffD2*]

lemma *word-less-sub1*:
 $(x :: 'a :: \text{len } \text{word}) \sim = 0 \implies (1 < x) = (0 < x - 1)$
<proof>

lemma *word-le-sub1*:
 $(x :: 'a :: \text{len } \text{word}) \sim = 0 \implies (1 \leq x) = (0 \leq x - 1)$
<proof>

lemma *sub-wrap-lt*:
 $((x :: 'a :: \text{len } 0 \text{ word}) < x - z) = (x < z)$
<proof>

lemma *sub-wrap*:
 $((x :: 'a :: \text{len } 0 \text{ word}) \leq x - z) = (z = 0 \mid x < z)$
<proof>

lemma *plus-minus-not-NULL-ab*:
 $(x :: 'a :: \text{len } 0 \text{ word}) \leq ab - c \implies c \leq ab \implies c \sim = 0 \implies x + c \sim = 0$
<proof>

lemma *plus-minus-no-overflow-ab*:
 $(x :: 'a :: \text{len } 0 \text{ word}) \leq ab - c \implies c \leq ab \implies x \leq x + c$
<proof>

lemma *le-minus'*:
 $(a :: 'a :: \text{len } 0 \text{ word}) + c \leq b \implies a \leq a + c \implies c \leq b - a$
<proof>

lemma *le-plus'*:
 $(a :: 'a :: \text{len } 0 \text{ word}) \leq b \implies c \leq b - a \implies a + c \leq b$
<proof>

lemmas *le-plus* = *le-plus'* [*rotated*]

lemmas *le-minus* = *leD* [*THEN thin-rl, THEN le-minus'*]

lemma *word-plus-mono-right*:
 $(y :: 'a :: \text{len } 0 \text{ word}) \leq z \implies x \leq x + z \implies x + y \leq x + z$
<proof>

lemma *word-less-minus-cancel*:

$$y - x < z - x \implies x \leq z \implies (y :: 'a :: \text{len0 word}) < z$$

<proof>

lemma *word-less-minus-mono-left:*

$$(y :: 'a :: \text{len0 word}) < z \implies x \leq y \implies y - x < z - x$$

<proof>

lemma *word-less-minus-mono:*

$$a < c \implies d < b \implies a - b < a \implies c - d < c$$

$$\implies a - b < c - (d :: 'a :: \text{len word})$$

<proof>

lemma *word-le-minus-cancel:*

$$y - x \leq z - x \implies x \leq z \implies (y :: 'a :: \text{len0 word}) \leq z$$

<proof>

lemma *word-le-minus-mono-left:*

$$(y :: 'a :: \text{len0 word}) \leq z \implies x \leq y \implies y - x \leq z - x$$

<proof>

lemma *word-le-minus-mono:*

$$a \leq c \implies d \leq b \implies a - b \leq a \implies c - d \leq c$$

$$\implies a - b \leq c - (d :: 'a :: \text{len word})$$

<proof>

lemma *plus-le-left-cancel-wrap:*

$$(x :: 'a :: \text{len0 word}) + y' < x \implies x + y < x \implies (x + y' < x + y) = (y' < y)$$

<proof>

lemma *plus-le-left-cancel-nowrap:*

$$(x :: 'a :: \text{len0 word}) \leq x + y' \implies x \leq x + y \implies$$

$$(x + y' < x + y) = (y' < y)$$

<proof>

lemma *word-plus-mono-right2:*

$$(a :: 'a :: \text{len0 word}) \leq a + b \implies c \leq b \implies a \leq a + c$$

<proof>

lemma *word-less-add-right:*

$$(x :: 'a :: \text{len0 word}) < y - z \implies z \leq y \implies x + z < y$$

<proof>

lemma *word-less-sub-right:*

$$(x :: 'a :: \text{len0 word}) < y + z \implies y \leq x \implies x - y < z$$

<proof>

lemma *word-le-plus-either:*

$$(x :: 'a :: \text{len0 word}) \leq y \mid x \leq z \implies y \leq y + z \implies x \leq y + z$$

<proof>

lemma *word-less-nowrapI*:

$$(x :: 'a :: \text{len0 word}) < z - k \implies k \leq z \implies 0 < k \implies x < x + k$$

<proof>

lemma *inc-le*: $(i :: 'a :: \text{len word}) < m \implies i + 1 \leq m$

<proof>

lemma *inc-i*:

$$(1 :: 'a :: \text{len word}) \leq i \implies i < m \implies 1 \leq (i + 1) \ \& \ i + 1 \leq m$$

<proof>

lemma *udvd-incr-lem*:

$$\begin{aligned} up < uq \implies up = ua + n * \text{uint } K \implies \\ uq = ua + n' * \text{uint } K \implies up + \text{uint } K \leq uq \end{aligned}$$

<proof>

lemma *udvd-incr'*:

$$\begin{aligned} p < q \implies \text{uint } p = ua + n * \text{uint } K \implies \\ \text{uint } q = ua + n' * \text{uint } K \implies p + K \leq q \end{aligned}$$

<proof>

lemma *udvd-decr'*:

$$\begin{aligned} p < q \implies \text{uint } p = ua + n * \text{uint } K \implies \\ \text{uint } q = ua + n' * \text{uint } K \implies p \leq q - K \end{aligned}$$

<proof>

lemmas *udvd-incr-lem0* = *udvd-incr-lem* [**where** *ua=0, unfolded add-0-left*]

lemmas *udvd-incr0* = *udvd-incr'* [**where** *ua=0, unfolded add-0-left*]

lemmas *udvd-decr0* = *udvd-decr'* [**where** *ua=0, unfolded add-0-left*]

lemma *udvd-minus-le'*:

$$xy < k \implies z \text{ udvd } xy \implies z \text{ udvd } k \implies xy \leq k - z$$

<proof>

lemma *udvd-incr2-K*:

$$\begin{aligned} p < a + s \implies a \leq a + s \implies K \text{ udvd } s \implies K \text{ udvd } p - a \implies a \leq p \implies \\ 0 < K \implies p \leq p + K \ \& \ p + K \leq a + s \end{aligned}$$

<proof>

lemma *word-succ-rbl*:

$$\text{to-bl } w = \text{bl} \implies \text{to-bl } (\text{word-succ } w) = (\text{rev } (\text{rbl-succ } (\text{rev } \text{bl})))$$

<proof>

lemma *word-pred-rbl*:

$$\text{to-bl } w = \text{bl} \implies \text{to-bl } (\text{word-pred } w) = (\text{rev } (\text{rbl-pred } (\text{rev } \text{bl})))$$

<proof>

lemma *word-add-rbl*:

$$\begin{aligned} to-bl\ v = vbl &\implies to-bl\ w = wbl \implies \\ to-bl\ (v + w) &= (rev\ (rbl-add\ (rev\ vbl)\ (rev\ wbl))) \\ \langle proof \rangle \end{aligned}$$

lemma *word-mult-rbl*:

$$\begin{aligned} to-bl\ v = vbl &\implies to-bl\ w = wbl \implies \\ to-bl\ (v * w) &= (rev\ (rbl-mult\ (rev\ vbl)\ (rev\ wbl))) \\ \langle proof \rangle \end{aligned}$$

lemma *rtb-rbl-ariths*:

$$\begin{aligned} rev\ (to-bl\ w) = ys &\implies rev\ (to-bl\ (word-succ\ w)) = rbl-succ\ ys \\ rev\ (to-bl\ w) = ys &\implies rev\ (to-bl\ (word-pred\ w)) = rbl-pred\ ys \\ rev\ (to-bl\ v) = ys &\implies rev\ (to-bl\ w) = xs \implies rev\ (to-bl\ (v * w)) = rbl-mult\ ys\ xs \\ rev\ (to-bl\ v) = ys &\implies rev\ (to-bl\ w) = xs \implies rev\ (to-bl\ (v + w)) = rbl-add\ ys\ xs \\ \langle proof \rangle \end{aligned}$$

127.20 Arithmetic type class instantiations

lemmas *word-le-0-iff* [*simp*] =

word-zero-le [*THEN leD*, *THEN linorder-antisym-conv1*]

lemma *word-of-int-nat*:

$$0 \leq x \implies word-of-int\ x = of-nat\ (nat\ x)$$

<proof>

lemma *iszero-word-no* [*simp*]:

$$\begin{aligned} iszero\ (numeral\ bin :: 'a :: len\ word) &= \\ iszero\ (bintrunc\ (len-of\ TYPE('a))\ (numeral\ bin)) & \\ \langle proof \rangle \end{aligned}$$

Use *iszero* to simplify equalities between word numerals.

lemmas *word-eq-numeral-iff-iszero* [*simp*] =

eq-numeral-iff-iszero [**where** *'a='a::len word*]

127.21 Word and nat

lemma *td-ext-unat* [*OF refl*]:

$$\begin{aligned} n = len-of\ TYPE\ ('a :: len) &\implies \\ td-ext\ (unat :: 'a\ word => nat)\ of-nat & \\ (unats\ n)\ (%i. i\ mod\ 2 \wedge n) & \\ \langle proof \rangle \end{aligned}$$

lemmas *unat-of-nat* = *td-ext-unat* [*THEN td-ext.eq-norm*]

interpretation *word-unat*:

$$td-ext\ unat :: 'a :: len\ word => nat$$

of-nat

$unats (len-of TYPE('a::len))$
 $\%i. i \text{ mod } 2 \wedge len-of TYPE('a::len)$
 $\langle proof \rangle$

lemmas $td-unat = word-unat.td-thm$

lemmas $unat-lt2p [iff] = word-unat.Rep [unfolded unats-def mem-Collect-eq]$

lemma $unat-le: y \leq unat (z :: 'a :: len word) \implies y : unats (len-of TYPE ('a))$
 $\langle proof \rangle$

lemma $word-nchotomy:$
 $ALL w. EX n. (w :: 'a :: len word) = of-nat n \ \& \ n < 2 \wedge len-of TYPE ('a)$
 $\langle proof \rangle$

lemma $of-nat-eq:$
fixes $w :: 'a::len word$
shows $(of-nat n = w) = (\exists q. n = unat w + q * 2 \wedge len-of TYPE('a))$
 $\langle proof \rangle$

lemma $of-nat-eq-size:$
 $(of-nat n = w) = (EX q. n = unat w + q * 2 \wedge size w)$
 $\langle proof \rangle$

lemma $of-nat-0:$
 $(of-nat m = (0::'a::len word)) = (\exists q. m = q * 2 \wedge len-of TYPE('a))$
 $\langle proof \rangle$

lemma $of-nat-2p [simp]:$
 $of-nat (2 \wedge len-of TYPE('a)) = (0::'a::len word)$
 $\langle proof \rangle$

lemma $of-nat-gt-0: of-nat k \sim = 0 \implies 0 < k$
 $\langle proof \rangle$

lemma $of-nat-neq-0:$
 $0 < k \implies k < 2 \wedge len-of TYPE ('a :: len) \implies of-nat k \sim = (0 :: 'a word)$
 $\langle proof \rangle$

lemma $Abs-fnat-hom-add:$
 $of-nat a + of-nat b = of-nat (a + b)$
 $\langle proof \rangle$

lemma $Abs-fnat-hom-mult:$
 $of-nat a * of-nat b = (of-nat (a * b) :: 'a :: len word)$
 $\langle proof \rangle$

lemma $Abs-fnat-hom-Suc:$
 $word-succ (of-nat a) = of-nat (Suc a)$

<proof>

lemma *Abs-fnat-hom-0*: $(0::'a::len\ word) = of_nat\ 0$
<proof>

lemma *Abs-fnat-hom-1*: $(1::'a::len\ word) = of_nat\ (Suc\ 0)$
<proof>

lemmas *Abs-fnat-homs* =
Abs-fnat-hom-add Abs-fnat-hom-mult Abs-fnat-hom-Suc
Abs-fnat-hom-0 Abs-fnat-hom-1

lemma *word-arith-nat-add*:
 $a + b = of_nat\ (unat\ a + unat\ b)$
<proof>

lemma *word-arith-nat-mult*:
 $a * b = of_nat\ (unat\ a * unat\ b)$
<proof>

lemma *word-arith-nat-Suc*:
 $word_succ\ a = of_nat\ (Suc\ (unat\ a))$
<proof>

lemma *word-arith-nat-div*:
 $a\ div\ b = of_nat\ (unat\ a\ div\ unat\ b)$
<proof>

lemma *word-arith-nat-mod*:
 $a\ mod\ b = of_nat\ (unat\ a\ mod\ unat\ b)$
<proof>

lemmas *word-arith-nat-defs* =
word-arith-nat-add word-arith-nat-mult
word-arith-nat-Suc Abs-fnat-hom-0
Abs-fnat-hom-1 word-arith-nat-div
word-arith-nat-mod

lemma *unat-cong*: $x = y \implies unat\ x = unat\ y$
<proof>

lemmas *unat-word-ariths* = *word-arith-nat-defs*
 [THEN trans [OF unat-cong unat-of-nat]]

lemmas *word-sub-less-iff* = *word-sub-le-iff*
 [unfolded linorder-not-less [symmetric] Not-eq-iff]

lemma *unat-add-lem*:
 $(unat\ x + unat\ y < 2 \wedge len\ of\ TYPE('a)) =$

(*unat* ($x + y :: 'a :: \text{len word}$) = *unat* x + *unat* y)
 ⟨*proof*⟩

lemma *unat-mult-lem*:

(*unat* $x * \text{unat } y < 2^{\wedge} \text{len-of TYPE}('a)$) =
 (*unat* ($x * y :: 'a :: \text{len word}$) = *unat* $x * \text{unat } y$)
 ⟨*proof*⟩

lemmas *unat-plus-if'* = *trans* [*OF unat-word-ariths*(1) *mod-nat-add, simplified*]

lemma *le-no-overflow*:

$x \leq b \implies a \leq a + b \implies x \leq a + (b :: 'a :: \text{len0 word})$
 ⟨*proof*⟩

lemmas *un-ui-le* = *trans* [*OF word-le-nat-alt [symmetric] word-le-def*]

lemma *unat-sub-if-size*:

unat ($x - y$) = (if *unat* $y \leq \text{unat } x$
 then *unat* $x - \text{unat } y$
 else *unat* $x + 2^{\wedge} \text{size } x - \text{unat } y$)
 ⟨*proof*⟩

lemmas *unat-sub-if'* = *unat-sub-if-size* [*unfolded word-size*]

lemma *unat-div*: *unat* (($x :: 'a :: \text{len word}$) *div* y) = *unat* $x \text{ div } \text{unat } y$
 ⟨*proof*⟩

lemma *unat-mod*: *unat* (($x :: 'a :: \text{len word}$) *mod* y) = *unat* $x \text{ mod } \text{unat } y$
 ⟨*proof*⟩

lemma *uint-div*: *uint* (($x :: 'a :: \text{len word}$) *div* y) = *uint* $x \text{ div } \text{uint } y$
 ⟨*proof*⟩

lemma *uint-mod*: *uint* (($x :: 'a :: \text{len word}$) *mod* y) = *uint* $x \text{ mod } \text{uint } y$
 ⟨*proof*⟩

127.22 Definition of *unat-arith* tactic

lemma *unat-split*:

fixes $x :: 'a :: \text{len word}$
shows $P (\text{unat } x) =$
 (*ALL* n . *of-nat* $n = x \ \& \ n < 2^{\wedge} \text{len-of TYPE}('a) \implies P \ n$)
 ⟨*proof*⟩

lemma *unat-split-asm*:

fixes $x :: 'a :: \text{len word}$
shows $P (\text{unat } x) =$
 ($\sim (\text{EX } n$. *of-nat* $n = x \ \& \ n < 2^{\wedge} \text{len-of TYPE}('a) \ \& \ \sim P \ n)$)
 ⟨*proof*⟩

lemmas *of-nat-inverse* =
word-unat.Abs-inverse' [*rotated, unfolded unats-def, simplified*]

lemmas *unat-splits* = *unat-split unat-split-asm*

lemmas *unat-arith-simps* =
word-le-nat-alt word-less-nat-alt
word-unat.Rep-inject [*symmetric*]
unat-sub-if' unat-plus-if' unat-div unat-mod

⟨*ML*⟩

lemma *no-plus-overflow-unat-size*:
 $((x :: 'a :: \text{len word}) \leq x + y) = (\text{unat } x + \text{unat } y < 2^{\text{size } x})$
 ⟨*proof*⟩

lemmas *no-olen-add-nat* = *no-plus-overflow-unat-size* [*unfolded word-size*]

lemmas *unat-plus-simple* = *trans* [*OF no-olen-add-nat unat-add-lem*]

lemma *word-div-mult*:
 $(0 :: 'a :: \text{len word}) < y \implies \text{unat } x * \text{unat } y < 2^{\text{len-of TYPE('a)}} \implies$
 $x * y \text{ div } y = x$
 ⟨*proof*⟩

lemma *div-lt'*: $(i :: 'a :: \text{len word}) \leq k \text{ div } x \implies$
 $\text{unat } i * \text{unat } x < 2^{\text{len-of TYPE('a)}}$
 ⟨*proof*⟩

lemmas *div-lt''* = *order-less-imp-le* [*THEN div-lt'*]

lemma *div-lt-mult*: $(i :: 'a :: \text{len word}) < k \text{ div } x \implies 0 < x \implies i * x < k$
 ⟨*proof*⟩

lemma *div-le-mult*:
 $(i :: 'a :: \text{len word}) \leq k \text{ div } x \implies 0 < x \implies i * x \leq k$
 ⟨*proof*⟩

lemma *div-lt-uint'*:
 $(i :: 'a :: \text{len word}) \leq k \text{ div } x \implies \text{uint } i * \text{uint } x < 2^{\text{len-of TYPE('a)}}$
 ⟨*proof*⟩

lemmas *div-lt-uint''* = *order-less-imp-le* [*THEN div-lt-uint'*]

lemma *word-le-exists'*:
 $(x :: 'a :: \text{len0 word}) \leq y \implies$
 $(\text{EX } z. y = x + z \ \& \ \text{uint } x + \text{uint } z < 2^{\text{len-of TYPE('a)}})$

<proof>

lemmas *plus-minus-not-NULL = order-less-imp-le [THEN plus-minus-not-NULL-ab]*

lemmas *plus-minus-no-overflow =
order-less-imp-le [THEN plus-minus-no-overflow-ab]*

lemmas *mcs = word-less-minus-cancel word-less-minus-mono-left
word-le-minus-cancel word-le-minus-mono-left*

lemmas *word-l-diffs = mcs [where $y = w + x$, unfolded add-diff-cancel] for $w x$*
lemmas *word-diff-ls = mcs [where $z = w + x$, unfolded add-diff-cancel] for $w x$*
lemmas *word-plus-mcs = word-diff-ls [where $y = v + x$, unfolded add-diff-cancel]*
for $v x$

lemmas *le-unat-voi = unat-le [THEN word-unat.Abs-inverse]*

lemmas *thd = refl [THEN [2] split-div-lemma [THEN iffD2], THEN conjunct1]*

lemmas *uno-simps [THEN le-unat-voi] = mod-le-divisor div-le-dividend dtle*

lemma *word-mod-div-equality:*
 $(n \text{ div } b) * b + (n \text{ mod } b) = (n :: 'a :: \text{len word})$
<proof>

lemma *word-div-mult-le: $a \text{ div } b * b \leq (a :: 'a :: \text{len word})$*
<proof>

lemma *word-mod-less-divisor: $0 < n \implies m \text{ mod } n < (n :: 'a :: \text{len word})$*
<proof>

lemma *word-of-int-power-hom:*
 $\text{word-of-int } a \wedge n = (\text{word-of-int } (a \wedge n) :: 'a :: \text{len word})$
<proof>

lemma *word-arith-power-alt:*
 $a \wedge n = (\text{word-of-int } (\text{uint } a \wedge n) :: 'a :: \text{len word})$
<proof>

lemma *of-bl-length-less:*
 $\text{length } x = k \implies k < \text{len-of TYPE('a)} \implies (\text{of-bl } x :: 'a :: \text{len word}) < 2 \wedge k$
<proof>

127.23 Cardinality, finiteness of set of words

instance *word :: (len0) finite*
<proof>

lemma *card-word: $\text{CARD}('a :: \text{len0 word}) = 2 \wedge \text{len-of TYPE('a)}$*

<proof>

lemma *card-word-size*:

$\text{card } (UNIV :: 'a :: \text{len0 word set}) = (2 \wedge \text{size } (x :: 'a \text{ word}))$
<proof>

127.24 Bitwise Operations on Words

lemmas *bin-log-bintrs = bin-trunc-not bin-trunc-xor bin-trunc-and bin-trunc-or*

lemmas *wils1 = bin-log-bintrs [THEN word-ubin.norm-eq-iff [THEN iffD1],
 folded word-ubin.eq-norm, THEN eq-reflection]*

lemmas *word-log-binary-defs =*

word-and-def word-or-def word-xor-def

lemma *word-wi-log-defs*:

NOT word-of-int a = word-of-int (NOT a)
word-of-int a AND word-of-int b = word-of-int (a AND b)
word-of-int a OR word-of-int b = word-of-int (a OR b)
word-of-int a XOR word-of-int b = word-of-int (a XOR b)
<proof>

lemma *word-no-log-defs [simp]*:

NOT (numeral a) = word-of-int (NOT (numeral a))
NOT (– numeral a) = word-of-int (NOT (– numeral a))
numeral a AND numeral b = word-of-int (numeral a AND numeral b)
numeral a AND – numeral b = word-of-int (numeral a AND – numeral b)
– numeral a AND numeral b = word-of-int (– numeral a AND numeral b)
– numeral a AND – numeral b = word-of-int (– numeral a AND – numeral b)
numeral a OR numeral b = word-of-int (numeral a OR numeral b)
numeral a OR – numeral b = word-of-int (numeral a OR – numeral b)
– numeral a OR numeral b = word-of-int (– numeral a OR numeral b)
– numeral a OR – numeral b = word-of-int (– numeral a OR – numeral b)
numeral a XOR numeral b = word-of-int (numeral a XOR numeral b)
numeral a XOR – numeral b = word-of-int (numeral a XOR – numeral b)
– numeral a XOR numeral b = word-of-int (– numeral a XOR numeral b)
– numeral a XOR – numeral b = word-of-int (– numeral a XOR – numeral b)
<proof>

Special cases for when one of the arguments equals 1.

lemma *word-bitwise-1-simps [simp]*:

NOT (1::'a::len0 word) = –2
1 AND numeral b = word-of-int (1 AND numeral b)
1 AND – numeral b = word-of-int (1 AND – numeral b)

numeral a AND 1 = word-of-int (numeral a AND 1)
– numeral a AND 1 = word-of-int (– numeral a AND 1)
1 OR numeral b = word-of-int (1 OR numeral b)
1 OR – numeral b = word-of-int (1 OR – numeral b)
numeral a OR 1 = word-of-int (numeral a OR 1)
– numeral a OR 1 = word-of-int (– numeral a OR 1)
1 XOR numeral b = word-of-int (1 XOR numeral b)
1 XOR – numeral b = word-of-int (1 XOR – numeral b)
numeral a XOR 1 = word-of-int (numeral a XOR 1)
– numeral a XOR 1 = word-of-int (– numeral a XOR 1)
 ⟨proof⟩

Special cases for when one of the arguments equals -1.

lemma *word-bitwise-m1-simps* [simp]:

NOT (–1::'a::len0 word) = 0
(–1::'a::len0 word) AND x = x
x AND (–1::'a::len0 word) = x
(–1::'a::len0 word) OR x = –1
x OR (–1::'a::len0 word) = –1
(–1::'a::len0 word) XOR x = NOT x
x XOR (–1::'a::len0 word) = NOT x
 ⟨proof⟩

lemma *uint-or*: *uint (x OR y) = (uint x) OR (uint y)*
 ⟨proof⟩

lemma *uint-and*: *uint (x AND y) = (uint x) AND (uint y)*
 ⟨proof⟩

lemma *test-bit-wi* [simp]:

(word-of-int x::'a::len0 word) !! n ⟷ n < len-of TYPE('a) ∧ bin-nth x n
 ⟨proof⟩

lemma *word-test-bit-transfer* [transfer-rule]:

(rel-fun pcr-word (rel-fun op = op =))
(λx n. n < len-of TYPE('a) ∧ bin-nth x n) (test-bit :: 'a::len0 word ⇒ -)
 ⟨proof⟩

lemma *word-ops-nth-size*:

n < size (x::'a::len0 word) ⟹
(x OR y) !! n = (x !! n | y !! n) &
(x AND y) !! n = (x !! n & y !! n) &
(x XOR y) !! n = (x !! n ~ y !! n) &
(NOT x) !! n = (~ x !! n)
 ⟨proof⟩

lemma *word-ao-nth*:

fixes *x :: 'a::len0 word*
shows *(x OR y) !! n = (x !! n | y !! n) &*

$(x \text{ AND } y) !! n = (x !! n \ \& \ y !! n)$
 ⟨proof⟩

lemma *test-bit-numeral* [simp]:
 $(\text{numeral } w :: 'a::\text{len0 word}) !! n \longleftrightarrow$
 $n < \text{len-of TYPE}('a) \wedge \text{bin-nth } (\text{numeral } w) \ n$
 ⟨proof⟩

lemma *test-bit-neg-numeral* [simp]:
 $(- \text{numeral } w :: 'a::\text{len0 word}) !! n \longleftrightarrow$
 $n < \text{len-of TYPE}('a) \wedge \text{bin-nth } (- \text{numeral } w) \ n$
 ⟨proof⟩

lemma *test-bit-1* [simp]: $(1 :: 'a::\text{len word}) !! n \longleftrightarrow n = 0$
 ⟨proof⟩

lemma *nth-0* [simp]: $\sim (0 :: 'a::\text{len0 word}) !! n$
 ⟨proof⟩

lemma *nth-minus1* [simp]: $(-1 :: 'a::\text{len0 word}) !! n \longleftrightarrow n < \text{len-of TYPE}('a)$
 ⟨proof⟩

lemmas *bwsimps* =
wi-hom-add
word-wi-log-defs

lemma *word-bw-assocs*:
fixes $x :: 'a::\text{len0 word}$
shows
 $(x \text{ AND } y) \text{ AND } z = x \text{ AND } y \text{ AND } z$
 $(x \text{ OR } y) \text{ OR } z = x \text{ OR } y \text{ OR } z$
 $(x \text{ XOR } y) \text{ XOR } z = x \text{ XOR } y \text{ XOR } z$
 ⟨proof⟩

lemma *word-bw-comms*:
fixes $x :: 'a::\text{len0 word}$
shows
 $x \text{ AND } y = y \text{ AND } x$
 $x \text{ OR } y = y \text{ OR } x$
 $x \text{ XOR } y = y \text{ XOR } x$
 ⟨proof⟩

lemma *word-bw-lcs*:
fixes $x :: 'a::\text{len0 word}$
shows
 $y \text{ AND } x \text{ AND } z = x \text{ AND } y \text{ AND } z$
 $y \text{ OR } x \text{ OR } z = x \text{ OR } y \text{ OR } z$

$y \text{ XOR } x \text{ XOR } z = x \text{ XOR } y \text{ XOR } z$
 ⟨proof⟩

lemma *word-log-esimps* [*simp*]:

fixes $x :: 'a::len0 \text{ word}$

shows

$x \text{ AND } 0 = 0$

$x \text{ AND } -1 = x$

$x \text{ OR } 0 = x$

$x \text{ OR } -1 = -1$

$x \text{ XOR } 0 = x$

$x \text{ XOR } -1 = \text{NOT } x$

$0 \text{ AND } x = 0$

$-1 \text{ AND } x = x$

$0 \text{ OR } x = x$

$-1 \text{ OR } x = -1$

$0 \text{ XOR } x = x$

$-1 \text{ XOR } x = \text{NOT } x$

⟨proof⟩

lemma *word-not-dist*:

fixes $x :: 'a::len0 \text{ word}$

shows

$\text{NOT } (x \text{ OR } y) = \text{NOT } x \text{ AND } \text{NOT } y$

$\text{NOT } (x \text{ AND } y) = \text{NOT } x \text{ OR } \text{NOT } y$

⟨proof⟩

lemma *word-bw-same*:

fixes $x :: 'a::len0 \text{ word}$

shows

$x \text{ AND } x = x$

$x \text{ OR } x = x$

$x \text{ XOR } x = 0$

⟨proof⟩

lemma *word-ao-absorbs* [*simp*]:

fixes $x :: 'a::len0 \text{ word}$

shows

$x \text{ AND } (y \text{ OR } x) = x$

$x \text{ OR } y \text{ AND } x = x$

$x \text{ AND } (x \text{ OR } y) = x$

$y \text{ AND } x \text{ OR } x = x$

$(y \text{ OR } x) \text{ AND } x = x$

$x \text{ OR } x \text{ AND } y = x$

$(x \text{ OR } y) \text{ AND } x = x$

$x \text{ AND } y \text{ OR } x = x$

⟨proof⟩

lemma *word-not-not* [*simp*]:

$NOT\ NOT\ (x :: 'a :: len0\ word) = x$
 $\langle proof \rangle$

lemma *word-ao-dist*:
fixes $x :: 'a :: len0\ word$
shows $(x\ OR\ y)\ AND\ z = x\ AND\ z\ OR\ y\ AND\ z$
 $\langle proof \rangle$

lemma *word-oa-dist*:
fixes $x :: 'a :: len0\ word$
shows $x\ AND\ y\ OR\ z = (x\ OR\ z)\ AND\ (y\ OR\ z)$
 $\langle proof \rangle$

lemma *word-add-not* [*simp*]:
fixes $x :: 'a :: len0\ word$
shows $x + NOT\ x = -1$
 $\langle proof \rangle$

lemma *word-plus-and-or* [*simp*]:
fixes $x :: 'a :: len0\ word$
shows $(x\ AND\ y) + (x\ OR\ y) = x + y$
 $\langle proof \rangle$

lemma *leoa*:
fixes $x :: 'a :: len0\ word$
shows $(w = (x\ OR\ y)) \implies (y = (w\ AND\ y))$ $\langle proof \rangle$

lemma *leao*:
fixes $x' :: 'a :: len0\ word$
shows $(w' = (x'\ AND\ y')) \implies (x' = (x'\ OR\ w'))$ $\langle proof \rangle$

lemma *word-ao-equiv*:
fixes $w\ w' :: 'a :: len0\ word$
shows $(w = w\ OR\ w') = (w' = w\ AND\ w')$
 $\langle proof \rangle$

lemma *le-word-or2*: $x \leq x\ OR\ (y :: 'a :: len0\ word)$
 $\langle proof \rangle$

lemmas *le-word-or1* = *xtr3* [*OF word-bw-comms* (2) *le-word-or2*]

lemmas *word-and-le1* = *xtr3* [*OF word-ao-absorbs* (4) [*symmetric*] *le-word-or2*]

lemmas *word-and-le2* = *xtr3* [*OF word-ao-absorbs* (8) [*symmetric*] *le-word-or2*]

lemma *bl-word-not*: $to-bl\ (NOT\ w) = map\ Not\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *bl-word-xor*: $to-bl\ (v\ XOR\ w) = map2\ op\ \sim = (to-bl\ v)\ (to-bl\ w)$
 $\langle proof \rangle$

lemma *bl-word-or*: $to-bl\ (v\ OR\ w) = map2\ op\ | (to-bl\ v)\ (to-bl\ w)$

<proof>

lemma *bl-word-and*: $to-bl (v \text{ AND } w) = map2 \text{ op } \& (to-bl v) (to-bl w)$
<proof>

lemma *word-lsb-alt*: $lsb (w::'a::len0 \text{ word}) = test-bit w 0$
<proof>

lemma *word-lsb-1-0* [*simp*]: $lsb (1::'a::len \text{ word}) \& \sim lsb (0::'b::len0 \text{ word})$
<proof>

lemma *word-lsb-last*: $lsb (w::'a::len \text{ word}) = last (to-bl w)$
<proof>

lemma *word-lsb-int*: $lsb w = (uint w \text{ mod } 2 = 1)$
<proof>

lemma *word-msb-sint*: $msb w = (sint w < 0)$
<proof>

lemma *msb-word-of-int*:
 $msb (\text{word-of-int } x::'a::len \text{ word}) = bin-nth x (\text{len-of TYPE('a)} - 1)$
<proof>

lemma *word-msb-numeral* [*simp*]:
 $msb (\text{numeral } w::'a::len \text{ word}) = bin-nth (\text{numeral } w) (\text{len-of TYPE('a)} - 1)$
<proof>

lemma *word-msb-neg-numeral* [*simp*]:
 $msb (- \text{numeral } w::'a::len \text{ word}) = bin-nth (- \text{numeral } w) (\text{len-of TYPE('a)} - 1)$
<proof>

lemma *word-msb-0* [*simp*]: $\neg msb (0::'a::len \text{ word})$
<proof>

lemma *word-msb-1* [*simp*]: $msb (1::'a::len \text{ word}) \longleftrightarrow \text{len-of TYPE('a)} = 1$
<proof>

lemma *word-msb-nth*:
 $msb (w::'a::len \text{ word}) = bin-nth (uint w) (\text{len-of TYPE('a)} - 1)$
<proof>

lemma *word-msb-alt*: $msb (w::'a::len \text{ word}) = hd (to-bl w)$
<proof>

lemma *word-set-nth* [*simp*]:
 $set-bit w n (\text{test-bit } w n) = (w::'a::len0 \text{ word})$
<proof>

lemma *bin-nth-uint'*:

bin-nth (uint w) n = (rev (bin-to-bl (size w) (uint w)) ! n & n < size w)
 ⟨proof⟩

lemmas *bin-nth-uint = bin-nth-uint'* [unfolded word-size]

lemma *test-bit-bl*: *w !! n = (rev (to-bl w) ! n & n < size w)*
 ⟨proof⟩

lemma *to-bl-nth*: *n < size w \implies to-bl w ! n = w !! (size w - Suc n)*
 ⟨proof⟩

lemma *test-bit-set*:

fixes *w :: 'a::len0 word*
shows *(set-bit w n x) !! n = (n < size w & x)*
 ⟨proof⟩

lemma *test-bit-set-gen*:

fixes *w :: 'a::len0 word*
shows *test-bit (set-bit w n x) m =*
(if m = n then n < size w & x else test-bit w m)
 ⟨proof⟩

lemma *of-bl-rep-False*: *of-bl (replicate n False @ bs) = of-bl bs*
 ⟨proof⟩

lemma *msb-nth*:

fixes *w :: 'a::len word*
shows *msb w = w !! (len-of TYPE('a) - 1)*
 ⟨proof⟩

lemmas *msb0 = len-gt-0 [THEN diff-Suc-less, THEN word-ops-nth-size [unfolded word-size]]*

lemmas *msb1 = msb0 [where i = 0]*

lemmas *word-ops-msb = msb1 [unfolded msb-nth [symmetric, unfolded One-nat-def]]*

lemmas *lsb0 = len-gt-0 [THEN word-ops-nth-size [unfolded word-size]]*

lemmas *word-ops-lsb = lsb0 [unfolded word-lsb-alt]*

lemma *td-ext-nth [OF refl refl refl, unfolded word-size]*:

n = size (w::'a::len0 word) \implies ofn = set-bits \implies [w, ofn g] = l \implies
td-ext test-bit ofn {f. ALL i. f i \implies i < n} (%h i. h i & i < n)
 ⟨proof⟩

interpretation *test-bit*:

td-ext op !! :: 'a::len0 word => nat => bool
set-bits
{f. $\forall i. f i \implies i < len-of TYPE('a::len0)}$

$(\lambda h i. h i \wedge i < \text{len-of } \text{TYPE}('a::\text{len0}))$
 ⟨proof⟩

lemmas *td-nth = test-bit.td-thm*

lemma *word-set-set-same* [simp]:

fixes $w :: 'a::\text{len0 } \text{word}$
shows $\text{set-bit } (\text{set-bit } w \ n \ x) \ n \ y = \text{set-bit } w \ n \ y$
 ⟨proof⟩

lemma *word-set-set-diff*:

fixes $w :: 'a::\text{len0 } \text{word}$
assumes $m \sim = n$
shows $\text{set-bit } (\text{set-bit } w \ m \ x) \ n \ y = \text{set-bit } (\text{set-bit } w \ n \ y) \ m \ x$
 ⟨proof⟩

lemma *nth-sint*:

fixes $w :: 'a::\text{len } \text{word}$
defines $l \equiv \text{len-of } \text{TYPE} ('a)$
shows $\text{bin-nth } (\text{sint } w) \ n = (\text{if } n < l - 1 \text{ then } w \ !! \ n \ \text{else } w \ !! \ (l - 1))$
 ⟨proof⟩

lemma *word-lsb-numeral* [simp]:

$\text{lsb } (\text{numeral } \text{bin} :: 'a :: \text{len } \text{word}) \longleftrightarrow \text{bin-last } (\text{numeral } \text{bin})$
 ⟨proof⟩

lemma *word-lsb-neg-numeral* [simp]:

$\text{lsb } (- \text{numeral } \text{bin} :: 'a :: \text{len } \text{word}) \longleftrightarrow \text{bin-last } (- \text{numeral } \text{bin})$
 ⟨proof⟩

lemma *set-bit-word-of-int*:

$\text{set-bit } (\text{word-of-int } x) \ n \ b = \text{word-of-int } (\text{bin-sc } n \ b \ x)$
 ⟨proof⟩

lemma *word-set-numeral* [simp]:

$\text{set-bit } (\text{numeral } \text{bin} :: 'a :: \text{len0 } \text{word}) \ n \ b =$
 $\text{word-of-int } (\text{bin-sc } n \ b \ (\text{numeral } \text{bin}))$
 ⟨proof⟩

lemma *word-set-neg-numeral* [simp]:

$\text{set-bit } (- \text{numeral } \text{bin} :: 'a :: \text{len0 } \text{word}) \ n \ b =$
 $\text{word-of-int } (\text{bin-sc } n \ b \ (- \text{numeral } \text{bin}))$
 ⟨proof⟩

lemma *word-set-bit-0* [simp]:

$\text{set-bit } 0 \ n \ b = \text{word-of-int } (\text{bin-sc } n \ b \ 0)$
 ⟨proof⟩

lemma *word-set-bit-1* [simp]:

set-bit 1 n b = word-of-int (bin-sc n b 1)
 ⟨proof⟩

lemma *setBit-no* [simp]:
setBit (numeral bin) n = word-of-int (bin-sc n True (numeral bin))
 ⟨proof⟩

lemma *clearBit-no* [simp]:
clearBit (numeral bin) n = word-of-int (bin-sc n False (numeral bin))
 ⟨proof⟩

lemma *to-bl-n1*:
to-bl (-1::'a::len0 word) = replicate (len-of TYPE ('a)) True
 ⟨proof⟩

lemma *word-msb-n1* [simp]: *msb (-1::'a::len word)*
 ⟨proof⟩

lemma *word-set-nth-iff*:
(set-bit w n b = w) = (w !! n = b | n >= size (w::'a::len0 word))
 ⟨proof⟩

lemma *test-bit-2p*:
(word-of-int (2 ^ n)::'a::len word) !! m ⟷ m = n ∧ m < len-of TYPE('a)
 ⟨proof⟩

lemma *nth-w2p*:
((2::'a::len word) ^ n) !! m ⟷ m = n ∧ m < len-of TYPE('a::len)
 ⟨proof⟩

lemma *uint-2p*:
(0::'a::len word) < 2 ^ n ⟹ uint (2 ^ n::'a::len word) = 2 ^ n
 ⟨proof⟩

lemma *word-of-int-2p*: *(word-of-int (2 ^ n) :: 'a :: len word) = 2 ^ n*
 ⟨proof⟩

lemma *bang-is-le*: *x !! m ⟹ 2 ^ m <= (x :: 'a :: len word)*
 ⟨proof⟩

lemma *word-clr-le*:
fixes *w :: 'a::len0 word*
shows *w >= set-bit w n False*
 ⟨proof⟩

lemma *word-set-ge*:
fixes *w :: 'a::len word*
shows *w <= set-bit w n True*
 ⟨proof⟩

127.25 Shifting, Rotating, and Splitting Words

lemma *shiffl1-wi* [*simp*]: *shiffl1* (*word-of-int w*) = *word-of-int* (*w BIT False*)
 ⟨*proof*⟩

lemma *shiffl1-numeral* [*simp*]:
shiffl1 (*numeral w*) = *numeral* (*Num.Bit0 w*)
 ⟨*proof*⟩

lemma *shiffl1-neg-numeral* [*simp*]:
shiffl1 (*- numeral w*) = *- numeral* (*Num.Bit0 w*)
 ⟨*proof*⟩

lemma *shiffl1-0* [*simp*] : *shiffl1 0* = *0*
 ⟨*proof*⟩

lemma *shiffl1-def-u*: *shiffl1 w* = *word-of-int* (*uint w BIT False*)
 ⟨*proof*⟩

lemma *shiffl1-def-s*: *shiffl1 w* = *word-of-int* (*sint w BIT False*)
 ⟨*proof*⟩

lemma *shiftr1-0* [*simp*]: *shiftr1 0* = *0*
 ⟨*proof*⟩

lemma *sshiftr1-0* [*simp*]: *sshiftr1 0* = *0*
 ⟨*proof*⟩

lemma *sshiftr1-n1* [*simp*] : *sshiftr1 (- 1)* = *- 1*
 ⟨*proof*⟩

lemma *shifl-0* [*simp*] : (*0::'a::len0 word*) << *n* = *0*
 ⟨*proof*⟩

lemma *shiftr-0* [*simp*] : (*0::'a::len0 word*) >> *n* = *0*
 ⟨*proof*⟩

lemma *sshiftr-0* [*simp*] : *0 >>> n* = *0*
 ⟨*proof*⟩

lemma *sshiftr-n1* [*simp*] : *-1 >>> n* = *-1*
 ⟨*proof*⟩

lemma *nth-shiffl1*: *shiffl1 w !! n* = (*n < size w & n > 0 & w !! (n - 1)*)
 ⟨*proof*⟩

lemma *nth-shiffl'* [*rule-format*]:
 ALL *n*. ((*w::'a::len0 word*) << *m*) !! *n* = (*n < size w & n >= m & w !! (n - m)*)
 ⟨*proof*⟩

lemmas $nth\text{-shiffl} = nth\text{-shiffl}'$ [unfolded word-size]

lemma $nth\text{-shiftr1}$: $shiftr1\ w\ !!\ n = w\ !!\ Suc\ n$
 ⟨proof⟩

lemma $nth\text{-shiftr}$:
 $\bigwedge n. ((w::'a::len0\ word) \gg m) !! n = w !! (n + m)$
 ⟨proof⟩

lemma $uint\text{-shiftr1}$: $uint\ (shiftr1\ w) = bin\text{-rest}\ (uint\ w)$
 ⟨proof⟩

lemma $nth\text{-sshiftr1}$:
 $sshiftr1\ w\ !!\ n = (if\ n = size\ w - 1\ then\ w\ !!\ n\ else\ w\ !!\ Suc\ n)$
 ⟨proof⟩

lemma $nth\text{-sshiftr}$ [rule-format] :
 ALL $n. sshiftr\ w\ m\ !!\ n = (n < size\ w \ \&$
 $(if\ n + m \geq size\ w\ then\ w\ !!\ (size\ w - 1)\ else\ w\ !!\ (n + m)))$
 ⟨proof⟩

lemma $shiftr1\text{-div-2}$: $uint\ (shiftr1\ w) = uint\ w\ div\ 2$
 ⟨proof⟩

lemma $sshiftr1\text{-div-2}$: $sint\ (sshiftr1\ w) = sint\ w\ div\ 2$
 ⟨proof⟩

lemma $shiftr\text{-div-2n}$: $uint\ (shiftr\ w\ n) = uint\ w\ div\ 2\ ^\ n$
 ⟨proof⟩

lemma $sshiftr\text{-div-2n}$: $sint\ (sshiftr\ w\ n) = sint\ w\ div\ 2\ ^\ n$
 ⟨proof⟩

127.25.1 shift functions in terms of lists of bools

lemmas $bshiftr1\text{-numeral}$ [simp] =
 $bshiftr1\text{-def}$ [where $w = numeral\ w$, unfolded to-bl-numeral] for w

lemma $bshiftr1\text{-bl}$: $to\text{-bl}\ (bshiftr1\ b\ w) = b\ \#\ butlast\ (to\text{-bl}\ w)$
 ⟨proof⟩

lemma $shiffl1\text{-of-bl}$: $shiffl1\ (of\text{-bl}\ bl) = of\text{-bl}\ (bl\ @\ [False])$
 ⟨proof⟩

lemma $shiffl1\text{-bl}$: $shiffl1\ (w::'a::len0\ word) = of\text{-bl}\ (to\text{-bl}\ w\ @\ [False])$
 ⟨proof⟩

lemma *bl-shiftl1*:

$to-bl (shiftl1 (w :: 'a :: len word)) = tl (to-bl w) @ [False]$
 ⟨proof⟩

lemma *bl-shiftl1'*:

$to-bl (shiftl1 w) = tl (to-bl w @ [False])$
 ⟨proof⟩

lemma *shiftr1-bl*: $shiftr1 w = of-bl (butlast (to-bl w))$

⟨proof⟩

lemma *bl-shiftr1*:

$to-bl (shiftr1 (w :: 'a :: len word)) = False \# butlast (to-bl w)$
 ⟨proof⟩

lemma *bl-shiftr1'*:

$to-bl (shiftr1 w) = butlast (False \# to-bl w)$
 ⟨proof⟩

lemma *shiftl1-rev*:

$shiftl1 w = word-reverse (shiftr1 (word-reverse w))$
 ⟨proof⟩

lemma *shiftl-rev*:

$shiftl w n = word-reverse (shiftr (word-reverse w) n)$
 ⟨proof⟩

lemma *rev-shiftl*: $word-reverse w \ll n = word-reverse (w \gg n)$

⟨proof⟩

lemma *shiftr-rev*: $w \gg n = word-reverse (word-reverse w \ll n)$

⟨proof⟩

lemma *rev-shiftr*: $word-reverse w \gg n = word-reverse (w \ll n)$

⟨proof⟩

lemma *bl-sshiftr1*:

$to-bl (sshiftr1 (w :: 'a :: len word)) = hd (to-bl w) \# butlast (to-bl w)$
 ⟨proof⟩

lemma *drop-shiftr*:

$drop n (to-bl ((w :: 'a :: len word) \gg n)) = take (size w - n) (to-bl w)$
 ⟨proof⟩

lemma *drop-sshiftr*:

$drop n (to-bl ((w :: 'a :: len word) \ggg n)) = take (size w - n) (to-bl w)$

<proof>

lemma *take-shiftr*:

$n \leq \text{size } w \implies \text{take } n (\text{to-bl } (w \gg n)) = \text{replicate } n \text{ False}$

<proof>

lemma *take-sshiftr'* [rule-format] :

$n \leq \text{size } (w :: 'a :: \text{len } \text{word}) \dashrightarrow \text{hd } (\text{to-bl } (w \gg \gg n)) = \text{hd } (\text{to-bl } w) \ \&$
 $\text{take } n (\text{to-bl } (w \gg \gg n)) = \text{replicate } n (\text{hd } (\text{to-bl } w))$

<proof>

lemmas *hd-sshiftr* = *take-sshiftr'* [THEN *conjunct1*]

lemmas *take-sshiftr* = *take-sshiftr'* [THEN *conjunct2*]

lemma *atd-lem*: $\text{take } n \text{ xs} = t \implies \text{drop } n \text{ xs} = d \implies \text{xs} = t \ @ \ d$

<proof>

lemmas *bl-shiftr* = *atd-lem* [OF *take-shiftr drop-shiftr*]

lemmas *bl-sshiftr* = *atd-lem* [OF *take-sshiftr drop-sshiftr*]

lemma *shiftl-of-bl*: $\text{of-bl } bl \ll n = \text{of-bl } (bl \ @ \ \text{replicate } n \ \text{False})$

<proof>

lemma *shiftl-bl*:

$(w :: 'a :: \text{len } 0 \ \text{word}) \ll (n :: \text{nat}) = \text{of-bl } (\text{to-bl } w \ @ \ \text{replicate } n \ \text{False})$

<proof>

lemmas *shiftl-numeral* [simp] = *shiftl-def* [where *w=numeral w*] **for** *w*

lemma *bl-shiftl*:

$\text{to-bl } (w \ll n) = \text{drop } n (\text{to-bl } w) \ @ \ \text{replicate } (\text{min } (\text{size } w) \ n) \ \text{False}$

<proof>

lemma *shiftl-zero-size*:

fixes *x* :: 'a :: len 0 word

shows $\text{size } x \leq n \implies x \ll n = 0$

<proof>

lemma *shiftl1-2t*: $\text{shiftl1 } (w :: 'a :: \text{len } \text{word}) = 2 * w$

<proof>

lemma *shiftl1-p*: $\text{shiftl1 } (w :: 'a :: \text{len } \text{word}) = w + w$

<proof>

lemma *shiftl-t2n*: $\text{shiftl } (w :: 'a :: \text{len } \text{word}) \ n = 2 ^ n * w$

<proof>

lemma *shiftr1-bintr* [*simp*]:

(*shiftr1* (numeral *w*) :: 'a :: len0 word) =
 word-of-int (bin-rest (bintrunc (len-of TYPE ('a)) (numeral *w*)))
 ⟨proof⟩

lemma *sshiftr1-sbintr* [*simp*]:

(*sshiftr1* (numeral *w*) :: 'a :: len word) =
 word-of-int (bin-rest (sbintrunc (len-of TYPE ('a) - 1) (numeral *w*)))
 ⟨proof⟩

lemma *shiftr-no* [*simp*]:

(numeral *w*::'a::len0 word) >> *n* = word-of-int
 ((bin-rest ^ n) (bintrunc (len-of TYPE('a)) (numeral *w*)))
 ⟨proof⟩

lemma *sshiftr-no* [*simp*]:

(numeral *w*::'a::len word) >>> *n* = word-of-int
 ((bin-rest ^ n) (sbintrunc (len-of TYPE('a) - 1) (numeral *w*)))
 ⟨proof⟩

lemma *shiftr1-bl-of*:

length *bl* ≤ len-of TYPE('a) ⇒
shiftr1 (of-bl *bl*::'a::len0 word) = of-bl (butlast *bl*)
 ⟨proof⟩

lemma *shiftr-bl-of*:

length *bl* ≤ len-of TYPE('a) ⇒
 (of-bl *bl*::'a::len0 word) >> *n* = of-bl (take (length *bl* - *n*) *bl*)
 ⟨proof⟩

lemma *shiftr-bl*:

(*x*::'a::len0 word) >> *n* ≡ of-bl (take (len-of TYPE('a) - *n*) (to-bl *x*))
 ⟨proof⟩

lemma *msb-shift*:

msb (*w*::'a::len word) ↔ (*w* >> (len-of TYPE('a) - 1)) ≠ 0
 ⟨proof⟩

lemma *zip-replicate*:

n ≥ length *ys* ⇒ zip (replicate *n* *x*) *ys* = map (λ*y*. (*x*, *y*)) *ys*
 ⟨proof⟩

lemma *align-lem-or* [*rule-format*] :

ALL *x m*. length *x* = *n* + *m* --> length *y* = *n* + *m* -->
 drop *m* *x* = replicate *n* False --> take *m* *y* = replicate *m* False -->
 map2 *op* | *x y* = take *m* *x* @ drop *m* *y*
 ⟨proof⟩

lemma *align-lem-and* [*rule-format*] :

ALL x m. length x = n + m --> length y = n + m -->
drop m x = replicate n False --> take m y = replicate m False -->
map2 op & x y = replicate (n + m) False
 ⟨*proof*⟩

lemma *aligned-bl-add-size* [*OF refl*]:

size x - n = m ==> n <= size x ==> drop m (to-bl x) = replicate n False ==>
take m (to-bl y) = replicate m False ==>
to-bl (x + y) = take m (to-bl x) @ drop m (to-bl y)
 ⟨*proof*⟩

127.25.2 Mask

lemma *nth-mask* [*OF refl, simp*]:

m = mask n ==> test-bit m i = (i < n & i < size m)
 ⟨*proof*⟩

lemma *mask-bl*: *mask n = of-bl (replicate n True)*

⟨*proof*⟩

lemma *mask-bin*: *mask n = word-of-int (bintrunc n (- 1))*

⟨*proof*⟩

lemma *and-mask-bintr*: *w AND mask n = word-of-int (bintrunc n (uint w))*

⟨*proof*⟩

lemma *and-mask-wi*: *word-of-int i AND mask n = word-of-int (bintrunc n i)*

⟨*proof*⟩

lemma *and-mask-no*: *numeral i AND mask n = word-of-int (bintrunc n (numeral i))*

⟨*proof*⟩

lemma *bl-and-mask'*:

to-bl (w AND mask n :: 'a :: len word) =
replicate (len-of TYPE('a) - n) False @
drop (len-of TYPE('a) - n) (to-bl w)
 ⟨*proof*⟩

lemma *and-mask-mod-2p*: *w AND mask n = word-of-int (uint w mod 2 ^ n)*

⟨*proof*⟩

lemma *and-mask-lt-2p*: *uint (w AND mask n) < 2 ^ n*

⟨*proof*⟩

lemma *eq-mod-iff*: *0 < (n::int) ==> b = b mod n <=> 0 ≤ b ∧ b < n*

⟨*proof*⟩

lemma *mask-eq-iff*: $(w \text{ AND } \text{mask } n) = w \iff \text{uint } w < 2 \wedge n$
 ⟨proof⟩

lemma *and-mask-dvd*: $2 \wedge n \text{ dvd } \text{uint } w = (w \text{ AND } \text{mask } n = 0)$
 ⟨proof⟩

lemma *and-mask-dvd-nat*: $2 \wedge n \text{ dvd } \text{unat } w = (w \text{ AND } \text{mask } n = 0)$
 ⟨proof⟩

lemma *word-2p-lem*:
 $n < \text{size } w \implies w < 2 \wedge n = (\text{uint } (w :: 'a :: \text{len } \text{word}) < 2 \wedge n)$
 ⟨proof⟩

lemma *less-mask-eq*: $x < 2 \wedge n \implies x \text{ AND } \text{mask } n = (x :: 'a :: \text{len } \text{word})$
 ⟨proof⟩

lemmas *mask-eq-iff-w2p* = *trans* [*OF* *mask-eq-iff* *word-2p-lem* [*symmetric*]]

lemmas *and-mask-less'* = *iffD2* [*OF* *word-2p-lem* *and-mask-lt-2p*, *simplified* *word-size*]

lemma *and-mask-less-size*: $n < \text{size } x \implies x \text{ AND } \text{mask } n < 2 \wedge n$
 ⟨proof⟩

lemma *word-mod-2p-is-mask* [*OF* *refl*]:
 $c = 2 \wedge n \implies c > 0 \implies x \text{ mod } c = (x :: 'a :: \text{len } \text{word}) \text{ AND } \text{mask } n$
 ⟨proof⟩

lemma *mask-eqs*:
 $(a \text{ AND } \text{mask } n) + b \text{ AND } \text{mask } n = a + b \text{ AND } \text{mask } n$
 $a + (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a + b \text{ AND } \text{mask } n$
 $(a \text{ AND } \text{mask } n) - b \text{ AND } \text{mask } n = a - b \text{ AND } \text{mask } n$
 $a - (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a - b \text{ AND } \text{mask } n$
 $a * (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a * b \text{ AND } \text{mask } n$
 $(b \text{ AND } \text{mask } n) * a \text{ AND } \text{mask } n = b * a \text{ AND } \text{mask } n$
 $(a \text{ AND } \text{mask } n) + (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a + b \text{ AND } \text{mask } n$
 $(a \text{ AND } \text{mask } n) - (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a - b \text{ AND } \text{mask } n$
 $(a \text{ AND } \text{mask } n) * (b \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = a * b \text{ AND } \text{mask } n$
 $-(a \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = -a \text{ AND } \text{mask } n$
 $\text{word-succ } (a \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = \text{word-succ } a \text{ AND } \text{mask } n$
 $\text{word-pred } (a \text{ AND } \text{mask } n) \text{ AND } \text{mask } n = \text{word-pred } a \text{ AND } \text{mask } n$
 ⟨proof⟩

lemma *mask-power-eq*:
 $(x \text{ AND } \text{mask } n) \wedge k \text{ AND } \text{mask } n = x \wedge k \text{ AND } \text{mask } n$
 ⟨proof⟩

127.25.3 Recast

lemmas *revcast-def'* = *revcast-def* [*simplified*]

lemmas *revcast-def''* = *revcast-def'* [*simplified word-size*]

lemmas *revcast-no-def* [*simp*] = *revcast-def'* [**where** *w=numeral w, unfolded word-size*]
for *w*

lemma *to-bl-revcast*:

to-bl (*revcast w :: 'a :: len0 word*) =
takefill False (len-of TYPE ('a)) (to-bl w)
 ⟨*proof*⟩

lemma *revcast-rev-ucast* [*OF refl refl refl*]:

cs = [*rc, uc*] \implies *rc* = *revcast (word-reverse w)* \implies *uc* = *ucast w* \implies
rc = *word-reverse uc*
 ⟨*proof*⟩

lemma *revcast-ucast*: *revcast w* = *word-reverse (ucast (word-reverse w))*

⟨*proof*⟩

lemma *ucast-revcast*: *ucast w* = *word-reverse (revcast (word-reverse w))*

⟨*proof*⟩

lemma *ucast-rev-revcast*: *ucast (word-reverse w)* = *word-reverse (revcast w)*

⟨*proof*⟩

lemmas *wsst-TYs* = *source-size target-size word-size*

lemma *revcast-down-uu* [*OF refl*]:

rc = *revcast* \implies *source-size rc* = *target-size rc* + *n* \implies
rc (*w :: 'a :: len word*) = *ucast (w >> n)*
 ⟨*proof*⟩

lemma *revcast-down-us* [*OF refl*]:

rc = *revcast* \implies *source-size rc* = *target-size rc* + *n* \implies
rc (*w :: 'a :: len word*) = *ucast (w >>> n)*
 ⟨*proof*⟩

lemma *revcast-down-su* [*OF refl*]:

rc = *revcast* \implies *source-size rc* = *target-size rc* + *n* \implies
rc (*w :: 'a :: len word*) = *scast (w >> n)*
 ⟨*proof*⟩

lemma *revcast-down-ss* [*OF refl*]:

rc = *revcast* \implies *source-size rc* = *target-size rc* + *n* \implies
rc (*w :: 'a :: len word*) = *scast (w >>> n)*
 ⟨*proof*⟩

lemma *cast-down-rev*:

$uc = ucast \implies \text{source-size } uc = \text{target-size } uc + n \implies$
 $uc \ w = \text{revcast } ((w :: 'a :: \text{len word}) \ll n)$
 ⟨proof⟩

lemma *revcast-up* [OF *refl*]:
 $rc = \text{revcast} \implies \text{source-size } rc + n = \text{target-size } rc \implies$
 $rc \ w = (\text{ucast } w :: 'a :: \text{len word}) \ll n$
 ⟨proof⟩

lemmas *rc1 = revcast-up* [THEN
revcast-rev-ucast [symmetric, THEN *trans*, THEN *word-rev-gal*, symmetric]]
lemmas *rc2 = revcast-down-uu* [THEN
revcast-rev-ucast [symmetric, THEN *trans*, THEN *word-rev-gal*, symmetric]]

lemmas *ucast-up =*
rc1 [simplified *rev-shiftr* [symmetric] *revcast-ucast* [symmetric]]
lemmas *ucast-down =*
rc2 [simplified *rev-shiftr* *revcast-ucast* [symmetric]]

127.25.4 Slices

lemma *slice1-no-bin* [simp]:
 $\text{slice1 } n \ (\text{numeral } w :: 'b \ \text{word}) = \text{of-bl } (\text{takefill } \text{False } n \ (\text{bin-to-bl } (\text{len-of } \text{TYPE}('b :: \text{len0})) \ (\text{numeral } w)))$
 ⟨proof⟩

lemma *slice-no-bin* [simp]:
 $\text{slice } n \ (\text{numeral } w :: 'b \ \text{word}) = \text{of-bl } (\text{takefill } \text{False } (\text{len-of } \text{TYPE}('b :: \text{len0}) - n) \ (\text{bin-to-bl } (\text{len-of } \text{TYPE}('b :: \text{len0})) \ (\text{numeral } w)))$
 ⟨proof⟩

lemma *slice1-0* [simp] : $\text{slice1 } n \ 0 = 0$
 ⟨proof⟩

lemma *slice-0* [simp] : $\text{slice } n \ 0 = 0$
 ⟨proof⟩

lemma *slice-take'*: $\text{slice } n \ w = \text{of-bl } (\text{take } (\text{size } w - n) \ (\text{to-bl } w))$
 ⟨proof⟩

lemmas *slice-take = slice-take'* [unfolded *word-size*]

— *shiftr* to a word of the same size is just *slice*, *slice* is just *shiftr* then *ucast*

lemmas *shiftr-slice = trans* [OF *shiftr-bl* [THEN *meta-eq-to-obj-eq*] *slice-take* [symmetric]]

lemma *slice-shiftr*: $\text{slice } n \ w = \text{ucast } (w \gg n)$
 ⟨proof⟩

lemma *nth-slice*:

(*slice* *n w* :: '*a* :: len0 word) !! *m* =
 (*w* !! (*m* + *n*) & *m* < len-of TYPE ('*a*))
 ⟨proof⟩

lemma *slice1-down-alt'*:

sl = *slice1 n w* \implies *fs* = *size sl* \implies *fs* + *k* = *n* \implies
to-bl sl = *takefill False fs (drop k (to-bl w))*
 ⟨proof⟩

lemma *slice1-up-alt'*:

sl = *slice1 n w* \implies *fs* = *size sl* \implies *fs* = *n* + *k* \implies
to-bl sl = *takefill False fs (replicate k False @ (to-bl w))*
 ⟨proof⟩

lemmas *sd1* = *slice1-down-alt'* [OF *refl refl*, *unfolded word-size*]

lemmas *su1* = *slice1-up-alt'* [OF *refl refl*, *unfolded word-size*]

lemmas *slice1-down-alt* = *le-add-diff-inverse* [THEN *sd1*]

lemmas *slice1-up-alt* =

le-add-diff-inverse [*symmetric*, THEN *su1*]
le-add-diff-inverse2 [*symmetric*, THEN *su1*]

lemma *ucast-slice1*: *ucast w* = *slice1 (size w) w*

⟨proof⟩

lemma *ucast-slice*: *ucast w* = *slice 0 w*

⟨proof⟩

lemma *slice-id*: *slice 0 t* = *t*

⟨proof⟩

lemma *revcast-slice1* [OF *refl*]:

rc = *revcast w* \implies *slice1 (size rc) w* = *rc*
 ⟨proof⟩

lemma *slice1-tf-tf'*:

to-bl (slice1 n w :: 'a :: len0 word) =
rev (takefill False (len-of TYPE('a)) (rev (takefill False n (to-bl w))))
 ⟨proof⟩

lemmas *slice1-tf-tf* = *slice1-tf-tf'* [THEN *word-bl.Rep-inverse'*, *symmetric*]

lemma *rev-slice1*:

n + *k* = *len-of TYPE('a)* + *len-of TYPE('b)* \implies
slice1 n (word-reverse w :: 'b :: len0 word) =
word-reverse (slice1 k w :: 'a :: len0 word)
 ⟨proof⟩

lemma *rev-slice*:

$n + k + \text{len-of TYPE}('a::\text{len0}) = \text{len-of TYPE}('b::\text{len0}) \implies$
 $\text{slice } n \text{ (word-reverse (w::'b word))} = \text{word-reverse (slice } k \text{ w::'a word)}$
 ⟨proof⟩

lemmas *sym-notr* =
not-iff [THEN *iffD2*, THEN *not-sym*, THEN *not-iff* [THEN *iffD1*]]

— problem posed by TPHOLs referee: criterion for overflow of addition of signed integers

lemma *soft-test*:
 $(\text{sint } (x :: 'a :: \text{len word}) + \text{sint } y = \text{sint } (x + y)) =$
 $((((x+y) \text{ XOR } x) \text{ AND } ((x+y) \text{ XOR } y)) \gg (\text{size } x - 1) = 0)$
 ⟨proof⟩

127.26 Split and cat

lemmas *word-split-bin'* = *word-split-def*
lemmas *word-cat-bin'* = *word-cat-def*

lemma *word-rsplit-no*:
 $(\text{word-rsplit (numeral bin} :: 'b :: \text{len0 word})} :: 'a \text{ word list}) =$
 $\text{map word-of-int (bin-rsplit (len-of TYPE}('a :: \text{len}))$
 $(\text{len-of TYPE}('b), \text{bintrunc (len-of TYPE}('b)) (\text{numeral bin})))$
 ⟨proof⟩

lemmas *word-rsplit-no-cl* [*simp*] = *word-rsplit-no*
 [*unfolded bin-rsplitl-def bin-rsplit-l* [*symmetric*]]

lemma *test-bit-cat*:
 $\text{wc} = \text{word-cat } a \ b \implies \text{wc} !! n = (n < \text{size } \text{wc} \ \&$
 $(\text{if } n < \text{size } b \ \text{then } b !! n \ \text{else } a !! (n - \text{size } b))$
 ⟨proof⟩

lemma *word-cat-bl*: $\text{word-cat } a \ b = \text{of-bl (to-bl } a \ @ \ \text{to-bl } b)$
 ⟨proof⟩

lemma *of-bl-append*:
 $(\text{of-bl } (xs \ @ \ ys) :: 'a :: \text{len word}) = \text{of-bl } xs * 2^{(\text{length } ys)} + \text{of-bl } ys$
 ⟨proof⟩

lemma *of-bl-False* [*simp*]:
 $\text{of-bl (False\#xs)} = \text{of-bl } xs$
 ⟨proof⟩

lemma *of-bl-True* [*simp*]:
 $(\text{of-bl (True\#xs)::'a::len word}) = 2^{\text{length } xs} + \text{of-bl } xs$
 ⟨proof⟩

lemma *of-bl-Cons*:

$$\text{of-bl } (x\#xs) = \text{of-bool } x * 2^{\text{length } xs} + \text{of-bl } xs$$

⟨proof⟩

lemma *split-wint-lem*: $\text{bin-split } n \text{ (uint } (w :: 'a :: \text{len0 word})) = (a, b) \implies$
 $a = \text{bintrunc } (\text{len-of TYPE('a)} - n) a \ \& \ b = \text{bintrunc } (\text{len-of TYPE('a)}) b$
 ⟨proof⟩

lemma *word-split-bl'*:

$$\text{std} = \text{size } c - \text{size } b \implies (\text{word-split } c = (a, b)) \implies$$

$$(a = \text{of-bl } (\text{take } \text{std } (\text{to-bl } c)) \ \& \ b = \text{of-bl } (\text{drop } \text{std } (\text{to-bl } c)))$$

⟨proof⟩

lemma *word-split-bl*: $\text{std} = \text{size } c - \text{size } b \implies$

$$(a = \text{of-bl } (\text{take } \text{std } (\text{to-bl } c)) \ \& \ b = \text{of-bl } (\text{drop } \text{std } (\text{to-bl } c))) \longleftrightarrow$$

$$\text{word-split } c = (a, b)$$

⟨proof⟩

lemma *word-split-bl-eq*:

$$(\text{word-split } (c::'a::\text{len word}) :: ('c :: \text{len0 word} * 'd :: \text{len0 word})) =$$

$$(\text{of-bl } (\text{take } (\text{len-of TYPE('a)::len} - \text{len-of TYPE('d)::len0})) (\text{to-bl } c)),$$

$$\text{of-bl } (\text{drop } (\text{len-of TYPE('a)} - \text{len-of TYPE('d)}) (\text{to-bl } c)))$$

⟨proof⟩

lemma *test-bit-split'*:

$$\text{word-split } c = (a, b) \dashrightarrow (\text{ALL } n \ m. \ b \ !! \ n = (n < \text{size } b \ \& \ c \ !! \ n) \ \&$$

$$a \ !! \ m = (m < \text{size } a \ \& \ c \ !! \ (m + \text{size } b)))$$

⟨proof⟩

lemma *test-bit-split*:

$$\text{word-split } c = (a, b) \implies$$

$$(\forall n::\text{nat}. \ b \ !! \ n \longleftrightarrow n < \text{size } b \ \wedge \ c \ !! \ n) \ \wedge \ (\forall m::\text{nat}. \ a \ !! \ m \longleftrightarrow m < \text{size } a$$

$$\wedge \ c \ !! \ (m + \text{size } b))$$

⟨proof⟩

lemma *test-bit-split-eq*: $\text{word-split } c = (a, b) \longleftrightarrow$

$$((\text{ALL } n::\text{nat}. \ b \ !! \ n = (n < \text{size } b \ \& \ c \ !! \ n)) \ \&$$

$$(\text{ALL } m::\text{nat}. \ a \ !! \ m = (m < \text{size } a \ \& \ c \ !! \ (m + \text{size } b))))$$

⟨proof⟩

lemma *word-cat-id*: $\text{word-cat } a \ b = b$

⟨proof⟩

lemma *word-cat-hom*:

$$\text{len-of TYPE('a)::len0} \leq \text{len-of TYPE('b)::len0} + \text{len-of TYPE('c)::len0}$$

$$\implies$$

$$(\text{word-cat } (\text{word-of-int } w :: 'b \ \text{word}) \ (b :: 'c \ \text{word}) :: 'a \ \text{word}) =$$

$$\text{word-of-int } (\text{bin-cat } w \ (\text{size } b) \ (\text{uint } b))$$

⟨proof⟩

lemma *word-cat-split-alt*:

$size\ w \leq size\ u + size\ v \implies word-split\ w = (u, v) \implies word-cat\ u\ v = w$
 ⟨proof⟩

lemmas *word-cat-split-size* = *sym* [THEN [2] *word-cat-split-alt* [symmetric]]

127.26.1 Split and slice

lemma *split-slices*:

$word-split\ w = (u, v) \implies u = slice\ (size\ v)\ w \ \&\ v = slice\ 0\ w$
 ⟨proof⟩

lemma *slice-cat1* [OF *refl*]:

$wc = word-cat\ a\ b \implies size\ wc \geq size\ a + size\ b \implies slice\ (size\ b)\ wc = a$
 ⟨proof⟩

lemmas *slice-cat2* = *trans* [OF *slice-id* *word-cat-id*]

lemma *cat-slices*:

$a = slice\ n\ c \implies b = slice\ 0\ c \implies n = size\ b \implies$
 $size\ a + size\ b \geq size\ c \implies word-cat\ a\ b = c$
 ⟨proof⟩

lemma *word-split-cat-alt*:

$w = word-cat\ u\ v \implies size\ u + size\ v \leq size\ w \implies word-split\ w = (u, v)$
 ⟨proof⟩

lemmas *word-cat-bl-no-bin* [simp] =

word-cat-bl [where *a=numeral a* and *b=numeral b*,
 unfolded to-bl-numeral]
 for *a b*

lemmas *word-split-bl-no-bin* [simp] =

word-split-bl-eq [where *c=numeral c*, unfolded to-bl-numeral] for *c*

this odd result arises from the fact that the statement of the result implies that the decoded words are of the same type, and therefore of the same length, as the original word

lemma *word-rsplit-same*: $word-rsplit\ w = [w]$

⟨proof⟩

lemma *word-rsplit-empty-iff-size*:

$(word-rsplit\ w = []) = (size\ w = 0)$
 ⟨proof⟩

lemma *test-bit-rsplit*:

$sw = word-rsplit\ w \implies m < size\ (hd\ sw :: 'a :: len\ word) \implies$
 $k < length\ sw \implies (rev\ sw ! k) !! m = (w !! (k * size\ (hd\ sw) + m))$
 ⟨proof⟩

lemma *word-rcat-bl*: $\text{word-rcat } wl = \text{of-bl } (\text{concat } (\text{map } \text{to-bl } wl))$
 ⟨proof⟩

lemma *size-rcat-lem'*:
 $\text{size } (\text{concat } (\text{map } \text{to-bl } wl)) = \text{length } wl * \text{size } (\text{hd } wl)$
 ⟨proof⟩

lemmas *size-rcat-lem* = *size-rcat-lem'* [unfolded word-size]

lemmas *td-gal-lt-len* = *len-gt-0* [THEN *td-gal-lt*]

lemma *nth-rcat-lem*:
 $n < \text{length } (wl :: 'a \text{ word list}) * \text{len-of TYPE}('a :: \text{len}) \implies$
 $\text{rev } (\text{concat } (\text{map } \text{to-bl } wl)) ! n =$
 $\text{rev } (\text{to-bl } (\text{rev } wl ! (n \text{ div } \text{len-of TYPE}('a)))) ! (n \text{ mod } \text{len-of TYPE}('a))$
 ⟨proof⟩

lemma *test-bit-rcat*:
 $sw = \text{size } (\text{hd } wl :: 'a :: \text{len word}) \implies rc = \text{word-rcat } wl \implies rc !! n =$
 $(n < \text{size } rc \ \& \ n \text{ div } sw < \text{size } wl \ \& \ (\text{rev } wl) ! (n \text{ div } sw) !! (n \text{ mod } sw))$
 ⟨proof⟩

lemma *foldl-eq-foldr*:
 $\text{foldl } op + x \ xs = \text{foldr } op + (x \ \# \ xs) \ (0 :: 'a :: \text{comm-monoid-add})$
 ⟨proof⟩

lemmas *test-bit-cong* = *arg-cong* [where $f = \text{test-bit}$, THEN *fun-cong*]

lemmas *test-bit-rsplit-alt* =
 $\text{trans } [\text{OF } \text{nth-rev-alt } [\text{THEN } \text{test-bit-cong}]]$
 $\text{test-bit-rsplit } [\text{OF } \text{refl } \text{asm-rl } \text{diff-Suc-less}]$

— lazy way of expressing that u and v , and su and sv , have same types

lemma *word-rsplit-len-indep* [OF *refl refl refl refl*]:
 $[u, v] = p \implies [su, sv] = q \implies \text{word-rsplit } u = su \implies$
 $\text{word-rsplit } v = sv \implies \text{length } su = \text{length } sv$
 ⟨proof⟩

lemma *length-word-rsplit-size*:
 $n = \text{len-of TYPE} ('a :: \text{len}) \implies$
 $(\text{length } (\text{word-rsplit } w :: 'a \text{ word list}) \leq m) = (\text{size } w \leq m * n)$
 ⟨proof⟩

lemmas *length-word-rsplit-lt-size* =
 $\text{length-word-rsplit-size } [\text{unfolded } \text{Not-eq-iff } \text{linorder-not-less } [\text{symmetric}]]$

lemma *length-word-rsplit-exp-size*:
 $n = \text{len-of TYPE} ('a :: \text{len}) \implies$
 $\text{length } (\text{word-rsplit } w :: 'a \text{ word list}) = (\text{size } w + n - 1) \text{ div } n$

<proof>

lemma *length-word-rsplit-even-size:*

$n = \text{len-of TYPE } ('a :: \text{len}) \implies \text{size } w = m * n \implies$
 $\text{length } (\text{word-rsplit } w :: 'a \text{ word list}) = m$
<proof>

lemmas *length-word-rsplit-exp-size' = refl [THEN length-word-rsplit-exp-size]*

lemmas *tdle = iffD2 [OF split-div-lemma refl, THEN conjunct1]*

lemmas *dtle = xtr4 [OF tdle mult.commute]*

lemma *word-rcat-rsplit: word-rcat (word-rsplit w) = w*

<proof>

lemma *size-word-rsplit-rcat-size:*

$[\text{word-rcat } (ws :: 'a :: \text{len word list}) = (\text{frcw} :: 'b :: \text{len0 word});$
 $\text{size frcw} = \text{length } ws * \text{len-of TYPE } ('a)]$
 $\implies \text{length } (\text{word-rsplit } \text{frcw} :: 'a \text{ word list}) = \text{length } ws$
<proof>

lemma *msrevs:*

fixes $n :: \text{nat}$

shows $0 < n \implies (k * n + m) \text{ div } n = m \text{ div } n + k$

and $(k * n + m) \text{ mod } n = m \text{ mod } n$

<proof>

lemma *word-rsplit-rcat-size [OF refl]:*

$\text{word-rcat } (ws :: 'a :: \text{len word list}) = \text{frcw} \implies$
 $\text{size frcw} = \text{length } ws * \text{len-of TYPE } ('a) \implies \text{word-rsplit frcw} = ws$
<proof>

127.27 Rotation

lemmas *rotater-0' [simp] = rotater-def [where n = 0, simplified]*

lemmas *word-rot-defs = word-roti-def word-rotr-def word-rotl-def*

lemma *rotate-eq-mod:*

$m \text{ mod length } xs = n \text{ mod length } xs \implies \text{rotate } m \text{ } xs = \text{rotate } n \text{ } xs$
<proof>

lemmas *rotate-eqs =*

trans [OF rotate0 [THEN fun-cong] id-apply]

rotate-rotate [symmetric]

rotate-id

rotate-conv-mod

rotate-eq-mod

127.27.1 Rotation of list to right

lemma *rotate1-rl'*: $\text{rotater1 } (l @ [a]) = a \# l$
 ⟨*proof*⟩

lemma *rotate1-rl [simp]*: $\text{rotater1 } (\text{rotate1 } l) = l$
 ⟨*proof*⟩

lemma *rotate1-lr [simp]*: $\text{rotate1 } (\text{rotater1 } l) = l$
 ⟨*proof*⟩

lemma *rotater1-rev'*: $\text{rotater1 } (\text{rev } xs) = \text{rev } (\text{rotate1 } xs)$
 ⟨*proof*⟩

lemma *rotater-rev'*: $\text{rotater } n (\text{rev } xs) = \text{rev } (\text{rotate } n xs)$
 ⟨*proof*⟩

lemma *rotater-rev*: $\text{rotater } n ys = \text{rev } (\text{rotate } n (\text{rev } ys))$
 ⟨*proof*⟩

lemma *rotater-drop-take*:
 $\text{rotater } n xs =$
 $\text{drop } (\text{length } xs - n \text{ mod } \text{length } xs) xs @$
 $\text{take } (\text{length } xs - n \text{ mod } \text{length } xs) xs$
 ⟨*proof*⟩

lemma *rotater-Suc [simp]*:
 $\text{rotater } (\text{Suc } n) xs = \text{rotater1 } (\text{rotater } n xs)$
 ⟨*proof*⟩

lemma *rotate-inv-plus [rule-format]*:
 ALL $k. k = m + n \longrightarrow \text{rotater } k (\text{rotate } n xs) = \text{rotater } m xs \ \&$
 $\text{rotate } k (\text{rotater } n xs) = \text{rotate } m xs \ \&$
 $\text{rotater } n (\text{rotate } k xs) = \text{rotate } m xs \ \&$
 $\text{rotate } n (\text{rotater } k xs) = \text{rotater } m xs$
 ⟨*proof*⟩

lemmas *rotate-inv-rel = le-add-diff-inverse2 [symmetric, THEN rotate-inv-plus]*

lemmas *rotate-inv-eq = order-refl [THEN rotate-inv-rel, simplified]*

lemmas *rotate-lr [simp] = rotate-inv-eq [THEN conjunct1]*

lemmas *rotate-rl [simp] = rotate-inv-eq [THEN conjunct2, THEN conjunct1]*

lemma *rotate-gal*: $(\text{rotater } n xs = ys) = (\text{rotate } n ys = xs)$
 ⟨*proof*⟩

lemma *rotate-gal'*: $(ys = \text{rotater } n xs) = (xs = \text{rotate } n ys)$
 ⟨*proof*⟩

lemma *length-rotater* [*simp*]:
 $length\ (rotater\ n\ xs) = length\ xs$
 ⟨*proof*⟩

lemma *restrict-to-left*:
assumes $x = y$
shows $(x = z) = (y = z)$
 ⟨*proof*⟩

lemmas $rrs0 = rotate\ eqs$ [*THEN restrict-to-left*,
simplified rotate-gal [*symmetric*] *rotate-gal'* [*symmetric*]]
lemmas $rrs1 = rrs0$ [*THEN refl* [*THEN rev-iffD1*]]
lemmas $rotater\ eqs = rrs1$ [*simplified length-rotater*]
lemmas $rotater\ 0 = rotater\ eqs$ (1)
lemmas $rotater\ add = rotater\ eqs$ (2)

127.27.2 map, map2, commuting with rotate(r)

lemma *butlast-map*:
 $xs \sim = [] \implies butlast\ (map\ f\ xs) = map\ f\ (butlast\ xs)$
 ⟨*proof*⟩

lemma *rotater1-map*: $rotater1\ (map\ f\ xs) = map\ f\ (rotater1\ xs)$
 ⟨*proof*⟩

lemma *rotater-map*:
 $rotater\ n\ (map\ f\ xs) = map\ f\ (rotater\ n\ xs)$
 ⟨*proof*⟩

lemma *but-last-zip* [*rule-format*] :
ALL $ys.$ $length\ xs = length\ ys \implies xs \sim = [] \implies$
 $last\ (zip\ xs\ ys) = (last\ xs,\ last\ ys) \ \&$
 $butlast\ (zip\ xs\ ys) = zip\ (butlast\ xs)\ (butlast\ ys)$
 ⟨*proof*⟩

lemma *but-last-map2* [*rule-format*] :
ALL $ys.$ $length\ xs = length\ ys \implies xs \sim = [] \implies$
 $last\ (map2\ f\ xs\ ys) = f\ (last\ xs)\ (last\ ys) \ \&$
 $butlast\ (map2\ f\ xs\ ys) = map2\ f\ (butlast\ xs)\ (butlast\ ys)$
 ⟨*proof*⟩

lemma *rotater1-zip*:
 $length\ xs = length\ ys \implies$
 $rotater1\ (zip\ xs\ ys) = zip\ (rotater1\ xs)\ (rotater1\ ys)$
 ⟨*proof*⟩

lemma *rotater1-map2*:
 $length\ xs = length\ ys \implies$
 $rotater1\ (map2\ f\ xs\ ys) = map2\ f\ (rotater1\ xs)\ (rotater1\ ys)$

<proof>

lemmas *lrth* =
box-equals [*OF asm-rl length-rotater* [*symmetric*]
length-rotater [*symmetric*],
THEN rotater1-map2]

lemma *rotater-map2*:
length xs = length ys \implies
rotater n (*map2 f xs ys*) = *map2 f* (*rotater n xs*) (*rotater n ys*)
<proof>

lemma *rotate1-map2*:
length xs = length ys \implies
rotate1 (*map2 f xs ys*) = *map2 f* (*rotate1 xs*) (*rotate1 ys*)
<proof>

lemmas *lth* = *box-equals* [*OF asm-rl length-rotate* [*symmetric*]
length-rotate [*symmetric*], *THEN rotate1-map2*]

lemma *rotate-map2*:
length xs = length ys \implies
rotate n (*map2 f xs ys*) = *map2 f* (*rotate n xs*) (*rotate n ys*)
<proof>

lemma *to-bl-rotl*:
to-bl (*word-rotl n w*) = *rotate n* (*to-bl w*)
<proof>

lemmas *blrs0* = *rotate-eqs* [*THEN to-bl-rotl* [*THEN trans*]]

lemmas *word-rotl-eqs* =
blrs0 [*simplified word-bl-Rep'* *word-bl.Rep-inject to-bl-rotl* [*symmetric*]]

lemma *to-bl-rotr*:
to-bl (*word-rotr n w*) = *rotater n* (*to-bl w*)
<proof>

lemmas *brrs0* = *rotater-eqs* [*THEN to-bl-rotr* [*THEN trans*]]

lemmas *word-rotr-eqs* =
brrs0 [*simplified word-bl-Rep'* *word-bl.Rep-inject to-bl-rotr* [*symmetric*]]

declare *word-rotr-eqs* (1) [*simp*]
declare *word-rotl-eqs* (1) [*simp*]

lemma
word-rot-rl [*simp*]:
word-rotl k (*word-rotr k v*) = *v* **and**

word-rot-lr [simp]:
word-rotr *k* (*word-rotl* *k* *v*) = *v*
 ⟨proof⟩

lemma

word-rot-gal:
 (*word-rotr* *n* *v* = *w*) = (*word-rotl* *n* *w* = *v*) **and**
word-rot-gal':
 (*w* = *word-rotr* *n* *v*) = (*v* = *word-rotl* *n* *w*)
 ⟨proof⟩

lemma *word-rotr-rev*:

word-rotr *n* *w* = *word-reverse* (*word-rotl* *n* (*word-reverse* *w*))
 ⟨proof⟩

lemma *word-roti-0* [simp]: *word-roti* 0 *w* = *w*

⟨proof⟩

lemmas *abl-cong* = *arg-cong* [where *f* = *of-bl*]

lemma *word-roti-add*:

word-roti (*m* + *n*) *w* = *word-roti* *m* (*word-roti* *n* *w*)
 ⟨proof⟩

lemma *word-roti-conv-mod'*: *word-roti* *n* *w* = *word-roti* (*n* mod int (*size* *w*)) *w*

⟨proof⟩

lemmas *word-roti-conv-mod* = *word-roti-conv-mod'* [unfolded *word-size*]

127.27.3 ”Word rotation commutes with bit-wise operations

locale *word-rotate*

begin

lemmas *word-rot-defs'* = *to-bl-rotl* *to-bl-rotr*

lemmas *blwl-syms* [symmetric] = *bl-word-not* *bl-word-and* *bl-word-or* *bl-word-xor*

lemmas *lbl-lbl* = *trans* [OF *word-bl-Rep'* *word-bl-Rep'* [symmetric]]

lemmas *ths-map2* [OF *lbl-lbl*] = *rotate-map2* *rotater-map2*

lemmas *ths-map* [where *xs* = *to-bl* *v*] = *rotate-map* *rotater-map* **for** *v*

lemmas *th1s* [simplified *word-rot-defs'* [symmetric]] = *ths-map2* *ths-map*

lemma *word-rot-logs*:

word-rotl *n* (*NOT* *v*) = *NOT* *word-rotl* *n* *v*
word-rotr *n* (*NOT* *v*) = *NOT* *word-rotr* *n* *v*

$word-rotl\ n\ (x\ AND\ y) = word-rotl\ n\ x\ AND\ word-rotl\ n\ y$
 $word-rotr\ n\ (x\ AND\ y) = word-rotr\ n\ x\ AND\ word-rotr\ n\ y$
 $word-rotl\ n\ (x\ OR\ y) = word-rotl\ n\ x\ OR\ word-rotl\ n\ y$
 $word-rotr\ n\ (x\ OR\ y) = word-rotr\ n\ x\ OR\ word-rotr\ n\ y$
 $word-rotl\ n\ (x\ XOR\ y) = word-rotl\ n\ x\ XOR\ word-rotl\ n\ y$
 $word-rotr\ n\ (x\ XOR\ y) = word-rotr\ n\ x\ XOR\ word-rotr\ n\ y$
 ⟨proof⟩

end

lemmas $word-rot-logs = word-rotate.word-rot-logs$

lemmas $bl-word-rotl-dt = trans\ [OF\ to-bl-rotl\ rotate-drop-take,$
 $simplified\ word-bl-Rep']$

lemmas $bl-word-rotr-dt = trans\ [OF\ to-bl-rotr\ rotater-drop-take,$
 $simplified\ word-bl-Rep']$

lemma $bl-word-roti-dt'$:

$n = nat\ ((- i)\ mod\ int\ (size\ (w :: 'a :: len\ word))) \implies$
 $to-bl\ (word-roti\ i\ w) = drop\ n\ (to-bl\ w) @ take\ n\ (to-bl\ w)$
 ⟨proof⟩

lemmas $bl-word-roti-dt = bl-word-roti-dt'\ [unfolded\ word-size]$

lemmas $word-rotl-dt = bl-word-rotl-dt\ [THEN\ word-bl.Rep-inverse'\ [symmetric]]$

lemmas $word-rotr-dt = bl-word-rotr-dt\ [THEN\ word-bl.Rep-inverse'\ [symmetric]]$

lemmas $word-roti-dt = bl-word-roti-dt\ [THEN\ word-bl.Rep-inverse'\ [symmetric]]$

lemma $word-rotx-0\ [simp] : word-rotr\ i\ 0 = 0 \ \&\ word-rotl\ i\ 0 = 0$
 ⟨proof⟩

lemma $word-roti-0'\ [simp] : word-roti\ n\ 0 = 0$
 ⟨proof⟩

lemmas $word-rotr-dt-no-bin'\ [simp] =$
 $word-rotr-dt\ [where\ w=numeral\ w,\ unfolded\ to-bl-numeral]\ for\ w$

lemmas $word-rotl-dt-no-bin'\ [simp] =$
 $word-rotl-dt\ [where\ w=numeral\ w,\ unfolded\ to-bl-numeral]\ for\ w$

declare $word-roti-def\ [simp]$

127.28 Maximum machine word

lemma $word-int-cases$:

obtains $n\ where\ (x :: 'a :: len0\ word) = word-of-int\ n\ and\ 0 \leq n\ and\ n <$
 $2^{len-of\ TYPE('a)}$

<proof>

lemma *word-nat-cases* [*cases type: word*]:

obtains *n* **where** $(x :: 'a::len\ word) = of_nat\ n$ **and** $n < 2^{len-of\ TYPE('a)}$

<proof>

lemma *max-word-eq*: $(max_word :: 'a::len\ word) = 2^{len-of\ TYPE('a)} - 1$

<proof>

lemma *max-word-max* [*simp,intro!*]: $n \leq max_word$

<proof>

lemma *word-of-int-2p-len*: $word-of-int\ (2^{len-of\ TYPE('a)}) = (0 :: 'a::len0\ word)$

<proof>

lemma *word-pow-0*:

$(2 :: 'a::len\ word)^{len-of\ TYPE('a)} = 0$

<proof>

lemma *max-word-wrap*: $x + 1 = 0 \implies x = max_word$

<proof>

lemma *max-word-minus*:

$max_word = (-1 :: 'a::len\ word)$

<proof>

lemma *max-word-bl* [*simp*]:

$to_bl\ (max_word :: 'a::len\ word) = replicate\ (len-of\ TYPE('a))\ True$

<proof>

lemma *max-test-bit* [*simp*]:

$(max_word :: 'a::len\ word) !! n = (n < len-of\ TYPE('a))$

<proof>

lemma *word-and-max* [*simp*]:

$x\ AND\ max_word = x$

<proof>

lemma *word-or-max* [*simp*]:

$x\ OR\ max_word = max_word$

<proof>

lemma *word-ao-dist2*:

$x\ AND\ (y\ OR\ z) = x\ AND\ y\ OR\ x\ AND\ (z :: 'a::len0\ word)$

<proof>

lemma *word-oa-dist2*:

$x\ OR\ y\ AND\ z = (x\ OR\ y)\ AND\ (x\ OR\ (z :: 'a::len0\ word))$

<proof>

lemma *word-and-not* [*simp*]:

$x \text{ AND } \text{NOT } x = (0::'a::\text{len } 0 \text{ word})$
 ⟨*proof*⟩

lemma *word-or-not* [*simp*]:

$x \text{ OR } \text{NOT } x = \text{max-word}$
 ⟨*proof*⟩

lemma *word-boolean*:

boolean (*op* AND) (*op* OR) *bitNOT* 0 *max-word*
 ⟨*proof*⟩

interpretation *word-bool-alg*:

boolean op AND *op* OR *bitNOT* 0 *max-word*
 ⟨*proof*⟩

lemma *word-xor-and-or*:

$x \text{ XOR } y = x \text{ AND } \text{NOT } y \text{ OR } \text{NOT } x \text{ AND } (y::'a::\text{len } 0 \text{ word})$
 ⟨*proof*⟩

interpretation *word-bool-alg*:

boolean-xor op AND *op* OR *bitNOT* 0 *max-word op* XOR
 ⟨*proof*⟩

lemma *shiftr-x-0* [*iff*]:

$(x::'a::\text{len } 0 \text{ word}) \gg 0 = x$
 ⟨*proof*⟩

lemma *shiftr-x-0* [*simp*]:

$(x :: 'a :: \text{len } \text{word}) \ll 0 = x$
 ⟨*proof*⟩

lemma *shiftr-1* [*simp*]:

$(1::'a::\text{len } \text{word}) \ll n = 2^n$
 ⟨*proof*⟩

lemma *uint-lt-0* [*simp*]:

uint $x < 0 = \text{False}$
 ⟨*proof*⟩

lemma *shiftr1-1* [*simp*]:

shiftr1 $(1::'a::\text{len } \text{word}) = 0$
 ⟨*proof*⟩

lemma *shiftr-1* [*simp*]:

$(1::'a::\text{len } \text{word}) \gg n = (\text{if } n = 0 \text{ then } 1 \text{ else } 0)$
 ⟨*proof*⟩

lemma *word-less-1* [*simp*]:
 $((x::'a::\text{len word}) < 1) = (x = 0)$
 ⟨*proof*⟩

lemma *to-bl-mask*:
 $\text{to-bl } (\text{mask } n :: 'a::\text{len word}) =$
 $\text{replicate } (\text{len-of TYPE('a)} - n) \text{ False } @$
 $\text{replicate } (\text{min } (\text{len-of TYPE('a)}) n) \text{ True}$
 ⟨*proof*⟩

lemma *map-replicate-True*:
 $n = \text{length } xs \implies$
 $\text{map } (\lambda(x,y). x \& y) (\text{zip } xs (\text{replicate } n \text{ True})) = xs$
 ⟨*proof*⟩

lemma *map-replicate-False*:
 $n = \text{length } xs \implies \text{map } (\lambda(x,y). x \& y)$
 $(\text{zip } xs (\text{replicate } n \text{ False})) = \text{replicate } n \text{ False}$
 ⟨*proof*⟩

lemma *bl-and-mask*:
fixes $w :: 'a::\text{len word}$
fixes n
defines $n' \equiv \text{len-of TYPE('a)} - n$
shows $\text{to-bl } (w \text{ AND } \text{mask } n) = \text{replicate } n' \text{ False } @ \text{drop } n' (\text{to-bl } w)$
 ⟨*proof*⟩

lemma *drop-rev-takefill*:
 $\text{length } xs \leq n \implies$
 $\text{drop } (n - \text{length } xs) (\text{rev } (\text{takefill } \text{False } n (\text{rev } xs))) = xs$
 ⟨*proof*⟩

lemma *map-nth-0* [*simp*]:
 $\text{map } (\text{op } !! (0::'a::\text{len0 word})) xs = \text{replicate } (\text{length } xs) \text{ False}$
 ⟨*proof*⟩

lemma *uint-plus-if-size*:
 $\text{uint } (x + y) =$
 $(\text{if } \text{uint } x + \text{uint } y < 2^{\text{size } x} \text{ then}$
 $\text{uint } x + \text{uint } y$
 else
 $\text{uint } x + \text{uint } y - 2^{\text{size } x})$
 ⟨*proof*⟩

lemma *unat-plus-if-size*:
 $\text{unat } (x + (y::'a::\text{len word})) =$
 $(\text{if } \text{unat } x + \text{unat } y < 2^{\text{size } x} \text{ then}$
 $\text{unat } x + \text{unat } y$
 else

$unat\ x + unat\ y - 2^{\wedge}size\ x$
 ⟨proof⟩

lemma *word-neq-0-conv*:
fixes $w :: 'a :: len\ word$
shows $(w \neq 0) = (0 < w)$
 ⟨proof⟩

lemma *max-lt*:
 $unat\ (max\ a\ b\ div\ c) = unat\ (max\ a\ b)\ div\ unat\ (c :: 'a :: len\ word)$
 ⟨proof⟩

lemma *uint-sub-if-size*:
 $uint\ (x - y) =$
 (if $uint\ y \leq uint\ x$ then
 $uint\ x - uint\ y$
 else
 $uint\ x - uint\ y + 2^{\wedge}size\ x$)
 ⟨proof⟩

lemma *unat-sub*:
 $b \leq a \implies unat\ (a - b) = unat\ a - unat\ b$
 ⟨proof⟩

lemmas *word-less-sub1-numberof* [simp] = *word-less-sub1* [of numeral w] **for** w
lemmas *word-le-sub1-numberof* [simp] = *word-le-sub1* [of numeral w] **for** w

lemma *word-of-int-minus*:
 $word-of-int\ (2^{\wedge}len-of\ TYPE('a) - i) = (word-of-int\ (-i) :: 'a :: len\ word)$
 ⟨proof⟩

lemmas *word-of-int-inj* =
word-uint.Abs-inject [unfolded *uints-num*, *simplified*]

lemma *word-le-less-eq*:
 $(x :: 'z :: len\ word) \leq y = (x = y \vee x < y)$
 ⟨proof⟩

lemma *mod-plus-cong*:
assumes 1: $(b :: int) = b'$
and 2: $x\ mod\ b' = x'\ mod\ b'$
and 3: $y\ mod\ b' = y'\ mod\ b'$
and 4: $x' + y' = z'$
shows $(x + y)\ mod\ b = z'\ mod\ b'$
 ⟨proof⟩

lemma *mod-minus-cong*:
assumes 1: $(b :: int) = b'$
and 2: $x\ mod\ b' = x'\ mod\ b'$

and 3: $y \bmod b' = y' \bmod b'$
and 4: $x' - y' = z'$
shows $(x - y) \bmod b = z' \bmod b'$
 ⟨proof⟩

lemma *word-induct-less*:

$\llbracket P (0::'a::\text{len word}); \bigwedge n. \llbracket n < m; P n \rrbracket \implies P (1 + n) \rrbracket \implies P m$
 ⟨proof⟩

lemma *word-induct*:

$\llbracket P (0::'a::\text{len word}); \bigwedge n. P n \implies P (1 + n) \rrbracket \implies P m$
 ⟨proof⟩

lemma *word-induct2* [*induct type*]:

$\llbracket P 0; \bigwedge n. \llbracket 1 + n \neq 0; P n \rrbracket \implies P (1 + n) \rrbracket \implies P (n::'b::\text{len word})$
 ⟨proof⟩

127.29 Recursion combinator for words

definition *word-rec* :: $'a \Rightarrow ('b::\text{len word} \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'b \text{ word} \Rightarrow 'a$
where

word-rec forZero forSuc n = rec-nat forZero (forSuc \circ of-nat) (unat n)

lemma *word-rec-0*: *word-rec z s 0 = z*

⟨proof⟩

lemma *word-rec-Suc*:

$1 + n \neq (0::'a::\text{len word}) \implies \text{word-rec } z \text{ s } (1 + n) = s \ n \ (\text{word-rec } z \text{ s } n)$
 ⟨proof⟩

lemma *word-rec-Pred*:

$n \neq 0 \implies \text{word-rec } z \text{ s } n = s \ (n - 1) \ (\text{word-rec } z \text{ s } (n - 1))$
 ⟨proof⟩

lemma *word-rec-in*:

$f \ (\text{word-rec } z \ (\lambda-. f) \ n) = \text{word-rec } (f \ z) \ (\lambda-. f) \ n$
 ⟨proof⟩

lemma *word-rec-in2*:

$f \ n \ (\text{word-rec } z \ f \ n) = \text{word-rec } (f \ 0 \ z) \ (f \ \circ \ \text{op} + 1) \ n$
 ⟨proof⟩

lemma *word-rec-twice*:

$m \leq n \implies \text{word-rec } z \ f \ n = \text{word-rec } (\text{word-rec } z \ f \ (n - m)) \ (f \ \circ \ \text{op} + (n - m)) \ m$
 ⟨proof⟩

lemma *word-rec-id*: *word-rec z (λ-. id) n = z*

⟨proof⟩

lemma *word-rec-id-eq*: $\forall m < n. f\ m = id \implies \text{word-rec } z\ f\ n = z$
 <proof>

lemma *word-rec-max*:
 $\forall m \geq n. m \neq -1 \longrightarrow f\ m = id \implies \text{word-rec } z\ f\ (-1) = \text{word-rec } z\ f\ n$
 <proof>

lemma *unatSuc*:
 $1 + n \neq (0::'a::\text{len } \text{word}) \implies \text{unat } (1 + n) = \text{Suc } (\text{unat } n)$
 <proof>

declare *bin-to-bl-def* [*simp*]

<ML>

hide-const (**open**) *Word*

end

128 Old Version of Bindings to Satisfiability Modulo Theories (SMT) solvers

theory *Old-SMT*
imports *../Real ../Word/Word*
keywords *old-smt-status :: diag*
begin

<ML>

128.1 Triggers for quantifier instantiation

Some SMT solvers support patterns as a quantifier instantiation heuristics. Patterns may either be positive terms (tagged by "pat") triggering quantifier instantiations – when the solver finds a term matching a positive pattern, it instantiates the corresponding quantifier accordingly – or negative terms (tagged by "nopat") inhibiting quantifier instantiations. A list of patterns of the same kind is called a multipattern, and all patterns in a multipattern are considered conjunctively for quantifier instantiation. A list of multipatterns is called a trigger, and their multipatterns act disjunctively during quantifier instantiation. Each multipattern should mention at least all quantified variables of the preceding quantifier block.

typedecl *pattern*

consts

pat :: 'a \Rightarrow *pattern*
nopat :: 'a \Rightarrow *pattern*

definition *trigger* :: *pattern list list* \Rightarrow *bool* \Rightarrow *bool* **where** *trigger* - *P* = *P*

128.2 Quantifier weights

Weight annotations to quantifiers influence the priority of quantifier instantiations. They should be handled with care for solvers, which support them, because incorrect choices of weights might render a problem unsolvable.

definition *weight* :: *int* \Rightarrow *bool* \Rightarrow *bool* **where** *weight* - *P* = *P*

Weights must be non-negative. The value 0 is equivalent to providing no weight at all.

Weights should only be used at quantifiers and only inside triggers (if the quantifier has triggers). Valid usages of weights are as follows:

- $\forall x. \text{trigger } [[\text{pat } (P\ x)]] (\text{weight } 2\ (P\ x))$
- $\forall x. \text{weight } 3\ (P\ x)$

128.3 Higher-order encoding

Application is made explicit for constants occurring with varying numbers of arguments. This is achieved by the introduction of the following constant.

definition *fun-app* **where** *fun-app* *f* = *f*

Some solvers support a theory of arrays which can be used to encode higher-order functions. The following set of lemmas specifies the properties of such (extensional) arrays.

lemmas *array-rules* = *ext fun-upd-apply fun-upd-same fun-upd-other fun-upd-upd fun-app-def*

128.4 First-order logic

Some SMT solvers only accept problems in first-order logic, i.e., where formulas and terms are syntactically separated. When translating higher-order into first-order problems, all uninterpreted constants (those not built-in in the target solver) are treated as function symbols in the first-order sense. Their occurrences as head symbols in atoms (i.e., as predicate symbols) are turned into terms by logically equating such atoms with *True*. For technical reasons, *True* and *False* occurring inside terms are replaced by the following constants.

definition *term-true* **where** *term-true* = *True*

definition *term-false* **where** *term-false* = *False*

128.5 Integer division and modulo for Z3

definition $z3div :: int \Rightarrow int \Rightarrow int$ **where**
 $z3div\ k\ l = (if\ 0 \leq l\ then\ k\ div\ l\ else\ -(k\ div\ (-l)))$

definition $z3mod :: int \Rightarrow int \Rightarrow int$ **where**
 $z3mod\ k\ l = (if\ 0 \leq l\ then\ k\ mod\ l\ else\ k\ mod\ (-l))$

128.6 Setup

$\langle ML \rangle$

named-theorems *old-z3-simp simplification rules for Z3 proof reconstruction*

$\langle ML \rangle$

128.7 Configuration

The current configuration can be printed by the command *old-smt-status*, which shows the values of most options.

128.8 General configuration options

The option *old-smt-solver* can be used to change the target SMT solver. The possible values can be obtained from the *old-smt-status* command.

Due to licensing restrictions, Yices and Z3 are not installed/enabled by default. Z3 is free for non-commercial applications and can be enabled by setting the *OLD-Z3-NON-COMMERCIAL* environment variable to *yes*.

declare $[[\ iold-smt-solver = z3\]]$

Since SMT solvers are potentially non-terminating, there is a timeout (given in seconds) to restrict their runtime. A value greater than 120 (seconds) is in most cases not advisable.

declare $[[\ iold-smt-timeout = 20\]]$

SMT solvers apply randomized heuristics. In case a problem is not solvable by an SMT solver, changing the following option might help.

declare $[[\ iold-smt-random-seed = 1\]]$

In general, the binding to SMT solvers runs as an oracle, i.e, the SMT solvers are fully trusted without additional checks. The following option can cause the SMT solver to run in proof-producing mode, giving a checkable certificate. This is currently only implemented for Z3.

declare $[[\ iold-smt-oracle = false\]]$

Each SMT solver provides several commandline options to tweak its behaviour. They can be passed to the solver by setting the following options.

declare $[[\ iold-cvc3-options =\]]$

declare $[[\ iold-yices-options =\]]$

declare [[*old-z3-options* =]]

Enable the following option to use built-in support for datatypes and records. Currently, this is only implemented for Z3 running in oracle mode.

declare [[*old-smt-datypes* = *false*]]

The SMT method provides an inference mechanism to detect simple triggers in quantified formulas, which might increase the number of problems solvable by SMT solvers (note: triggers guide quantifier instantiations in the SMT solver). To turn it on, set the following option.

declare [[*old-smt-infer-triggers* = *false*]]

The SMT method monomorphizes the given facts, that is, it tries to instantiate all schematic type variables with fixed types occurring in the problem. This is a (possibly nonterminating) fixed-point construction whose cycles are limited by the following option.

declare [[*monomorph-max-rounds* = 5]]

In addition, the number of generated monomorphic instances is limited by the following option.

declare [[*monomorph-max-new-instances* = 500]]

128.9 Certificates

By setting the option *old-smt-certificates* to the name of a file, all following applications of an SMT solver are cached in that file. Any further application of the same SMT solver (using the very same configuration) re-uses the cached certificate instead of invoking the solver. An empty string disables caching certificates.

The filename should be given as an explicit path. It is good practice to use the name of the current theory (with ending *.certs* instead of *.thy*) as the certificates file. Certificate files should be used at most once in a certain theory context, to avoid race conditions with other concurrent accesses.

declare [[*old-smt-certificates* =]]

The option *old-smt-read-only-certificates* controls whether only stored certificates should be used or invocation of an SMT solver is allowed. When set to *true*, no SMT solver will ever be invoked and only the existing certificates found in the configured cache are used; when set to *false* and there is no cached certificate for some proposition, then the configured SMT solver is invoked.

declare [[*old-smt-read-only-certificates* = *false*]]

128.10 Tracing

The SMT method, when applied, traces important information. To make it entirely silent, set the following option to *false*.

declare [[*old-smt-verbose* = *true*]]

For tracing the generated problem file given to the SMT solver as well as the returned result of the solver, the option *old-smt-trace* should be set to *true*.

declare [[*old-smt-trace* = *false*]]

From the set of assumptions given to the SMT solver, those assumptions used in the proof are traced when the following option is set to *true*. This only works for Z3 when it runs in non-oracle mode (see options *old-smt-solver* and *old-smt-oracle* above).

declare [[*old-smt-trace-used-facts* = *false*]]

128.11 Schematic rules for Z3 proof reconstruction

Several proof rules of Z3 are not very well documented. There are two lemma groups which can turn failing Z3 proof reconstruction attempts into succeeding ones: the facts in *z3-rule* are tried prior to any implemented reconstruction procedure for all uncertain Z3 proof rules; the facts in *z3-simp* are only fed to invocations of the simplifier when reconstructing theory-specific proof steps.

lemmas [*old-z3-rule*] =

*refl eq-commute conj-commute disj-commute simp-thms nnf-simps
ring-distrib field-simps times-divide-eq-right times-divide-eq-left
if-True if-False not-not*

lemma [*old-z3-rule*]:

$(P \wedge Q) = (\neg(\neg P \vee \neg Q))$
 $(P \wedge Q) = (\neg(\neg Q \vee \neg P))$
 $(\neg P \wedge Q) = (\neg(P \vee \neg Q))$
 $(\neg P \wedge Q) = (\neg(\neg Q \vee P))$
 $(P \wedge \neg Q) = (\neg(\neg P \vee Q))$
 $(P \wedge \neg Q) = (\neg(Q \vee \neg P))$
 $(\neg P \wedge \neg Q) = (\neg(P \vee Q))$
 $(\neg P \wedge \neg Q) = (\neg(Q \vee P))$
 $\langle proof \rangle$

lemma [*old-z3-rule*]:

$(P \longrightarrow Q) = (Q \vee \neg P)$
 $(\neg P \longrightarrow Q) = (P \vee Q)$
 $(\neg P \longrightarrow Q) = (Q \vee P)$
 $(True \longrightarrow P) = P$
 $(P \longrightarrow True) = True$
 $(False \longrightarrow P) = True$
 $(P \longrightarrow P) = True$
 $\langle proof \rangle$

lemma [*old-z3-rule*]:

$$\begin{aligned} ((P = Q) \longrightarrow R) &= (R \mid (Q = (\neg P))) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma [*old-z3-rule*]:

$$\begin{aligned} (\neg \text{True}) &= \text{False} \\ (\neg \text{False}) &= \text{True} \\ (x = x) &= \text{True} \\ (P = \text{True}) &= P \\ (\text{True} = P) &= P \\ (P = \text{False}) &= (\neg P) \\ (\text{False} = P) &= (\neg P) \\ ((\neg P) = P) &= \text{False} \\ (P = (\neg P)) &= \text{False} \\ ((\neg P) = (\neg Q)) &= (P = Q) \\ \neg(P = (\neg Q)) &= (P = Q) \\ \neg((\neg P) = Q) &= (P = Q) \\ (P \neq Q) &= (Q = (\neg P)) \\ (P = Q) &= ((\neg P \vee Q) \wedge (P \vee \neg Q)) \\ (P \neq Q) &= ((\neg P \vee \neg Q) \wedge (P \vee Q)) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma [*old-z3-rule*]:

$$\begin{aligned} (\text{if } P \text{ then } P \text{ else } \neg P) &= \text{True} \\ (\text{if } \neg P \text{ then } \neg P \text{ else } P) &= \text{True} \\ (\text{if } P \text{ then } \text{True} \text{ else } \text{False}) &= P \\ (\text{if } P \text{ then } \text{False} \text{ else } \text{True}) &= (\neg P) \\ (\text{if } P \text{ then } Q \text{ else } \text{True}) &= ((\neg P) \vee Q) \\ (\text{if } P \text{ then } Q \text{ else } \text{True}) &= (Q \vee (\neg P)) \\ (\text{if } P \text{ then } Q \text{ else } \neg Q) &= (P = Q) \\ (\text{if } P \text{ then } Q \text{ else } \neg Q) &= (Q = P) \\ (\text{if } P \text{ then } \neg Q \text{ else } Q) &= (P = (\neg Q)) \\ (\text{if } P \text{ then } \neg Q \text{ else } Q) &= ((\neg Q) = P) \\ (\text{if } \neg P \text{ then } x \text{ else } y) &= (\text{if } P \text{ then } y \text{ else } x) \\ (\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } x) &= (\text{if } P \wedge (\neg Q) \text{ then } y \text{ else } x) \\ (\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } x) &= (\text{if } (\neg Q) \wedge P \text{ then } y \text{ else } x) \\ (\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } y) &= (\text{if } P \wedge Q \text{ then } x \text{ else } y) \\ (\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } y) &= (\text{if } Q \wedge P \text{ then } x \text{ else } y) \\ (\text{if } P \text{ then } x \text{ else } \text{if } P \text{ then } y \text{ else } z) &= (\text{if } P \text{ then } x \text{ else } z) \\ (\text{if } P \text{ then } x \text{ else } \text{if } Q \text{ then } x \text{ else } y) &= (\text{if } P \vee Q \text{ then } x \text{ else } y) \\ (\text{if } P \text{ then } x \text{ else } \text{if } Q \text{ then } x \text{ else } y) &= (\text{if } Q \vee P \text{ then } x \text{ else } y) \\ (\text{if } P \text{ then } x = y \text{ else } x = z) &= (x = (\text{if } P \text{ then } y \text{ else } z)) \\ (\text{if } P \text{ then } x = y \text{ else } y = z) &= (y = (\text{if } P \text{ then } x \text{ else } z)) \\ (\text{if } P \text{ then } x = y \text{ else } z = y) &= (y = (\text{if } P \text{ then } x \text{ else } z)) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma [*old-z3-rule*]:

$$\begin{aligned} 0 + (x::\text{int}) &= x \\ x + 0 &= x \\ x + x &= 2 * x \end{aligned}$$

$0 * x = 0$
 $1 * x = x$
 $x + y = y + x$
 ⟨proof⟩

lemma [old-z3-rule]:

$P = Q \vee P \vee Q$
 $P = Q \vee \neg P \vee \neg Q$
 $(\neg P) = Q \vee \neg P \vee Q$
 $(\neg P) = Q \vee P \vee \neg Q$
 $P = (\neg Q) \vee \neg P \vee Q$
 $P = (\neg Q) \vee P \vee \neg Q$
 $P \neq Q \vee P \vee \neg Q$
 $P \neq Q \vee \neg P \vee Q$
 $P \neq (\neg Q) \vee P \vee Q$
 $(\neg P) \neq Q \vee P \vee Q$
 $P \vee Q \vee P \neq (\neg Q)$
 $P \vee Q \vee (\neg P) \neq Q$
 $P \vee \neg Q \vee P \neq Q$
 $\neg P \vee Q \vee P \neq Q$
 $P \vee y = (\text{if } P \text{ then } x \text{ else } y)$
 $P \vee (\text{if } P \text{ then } x \text{ else } y) = y$
 $\neg P \vee x = (\text{if } P \text{ then } x \text{ else } y)$
 $\neg P \vee (\text{if } P \text{ then } x \text{ else } y) = x$
 $P \vee R \vee \neg(\text{if } P \text{ then } Q \text{ else } R)$
 $\neg P \vee Q \vee \neg(\text{if } P \text{ then } Q \text{ else } R)$
 $\neg(\text{if } P \text{ then } Q \text{ else } R) \vee \neg P \vee Q$
 $\neg(\text{if } P \text{ then } Q \text{ else } R) \vee P \vee R$
 $(\text{if } P \text{ then } Q \text{ else } R) \vee \neg P \vee \neg Q$
 $(\text{if } P \text{ then } Q \text{ else } R) \vee P \vee \neg R$
 $(\text{if } P \text{ then } \neg Q \text{ else } R) \vee \neg P \vee Q$
 $(\text{if } P \text{ then } Q \text{ else } \neg R) \vee P \vee R$
 ⟨proof⟩

⟨ML⟩

hide-type (open) pattern

hide-const fun-app term-true term-false z3div z3mod

hide-const (open) trigger pat nopat weight

end

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