

The Isabelle/HOL Algebra Library

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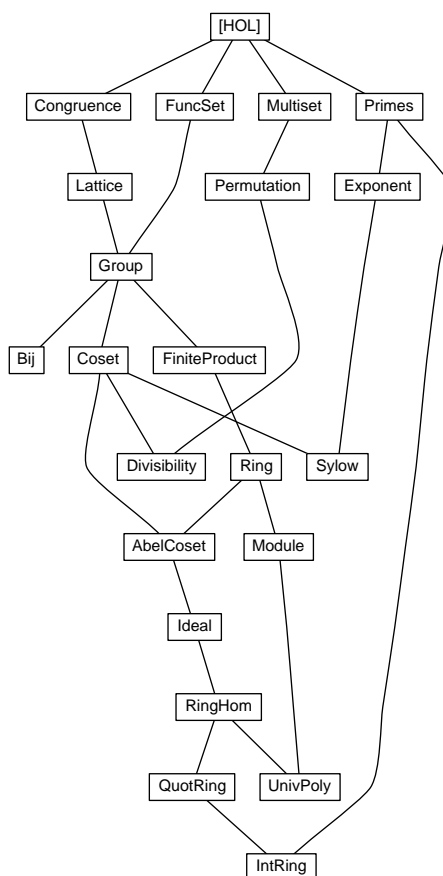
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```
theory Congruence
imports Main
begin
```

1 Objects

1.1 Structure with Carrier Set.

```
record 'a partial_object =
  carrier :: "'a set"
```

1.2 Structure with Carrier and Equivalence Relation eq

```
record 'a eq_object = "'a partial_object" +
  eq :: "'a ⇒ 'a ⇒ bool" (infixl ".=ι" 50)
```

definition

```
elem :: "'a ⇒ 'a ⇒ 'a set ⇒ bool" (infixl ".∈ι" 50)
where "x .∈S A ↔ (∃y ∈ A. x .=S y)"
```

definition

```
set_eq :: "'a set ⇒ 'a set ⇒ bool" (infixl "{.=}ι" 50)
where "A {.=}S B ↔ ((∀x ∈ A. x .∈S B) ∧ (∀x ∈ B. x .∈S A))"
```

definition

```
eq_class_of :: "'a ⇒ 'a ⇒ 'a set" ("class'_ofι")
where "class_ofS x = {y ∈ carrier S. x .=S y}"
```

definition

```
eq_closure_of :: "'a set ⇒ 'a set" ("closure'_ofι")
where "closure_ofS A = {y ∈ carrier S. y .∈S A}"
```

definition

```
eq_is_closed :: "'a set ⇒ bool" ("is'_closedι")
where "is_closedS A ↔ A ⊆ carrier S ∧ closure_ofS A = A"
```

abbreviation

```
not_eq :: "'a ⇒ 'a ⇒ bool" (infixl ".≠ι" 50)
where "x .≠S y == ~(x .=S y)"
```

abbreviation

```
not_elem :: "'a ⇒ 'a set ⇒ bool" (infixl ".∉ι" 50)
where "x .∉S A == ~(x .∈S A)"
```

abbreviation

```
set_not_eq :: "'a set ⇒ 'a set ⇒ bool" (infixl "{.≠}ι" 50)
where "A {.=}S B == ~(A {.=}S B)"
```

```

locale equivalence =
  fixes S (structure)
  assumes refl [simp, intro]: "x ∈ carrier S ⇒ x .= x"
    and sym [sym]: "[ x .= y; x ∈ carrier S; y ∈ carrier S ] ⇒ y .=
x"
  and trans [trans]:
    "[ x .= y; y .= z; x ∈ carrier S; y ∈ carrier S; z ∈ carrier S ]
⇒ x .= z"

```

```

lemma elemI:
  fixes R (structure)
  assumes "a' ∈ A" and "a .= a'"
  shows "a ∈ A"
unfolding elem_def
using assms
by fast

```

```

lemma (in equivalence) elem_exact:
  assumes "a ∈ carrier S" and "a ∈ A"
  shows "a ∈ A"
using assms
by (fast intro: elemI)

```

```

lemma elemE:
  fixes S (structure)
  assumes "a ∈ A"
    and "∧a'. [a' ∈ A; a .= a'] ⇒ P"
  shows "P"
using assms
unfolding elem_def
by fast

```

```

lemma (in equivalence) elem_cong_1 [trans]:
  assumes cong: "a' .= a"
    and a: "a ∈ A"
    and carr: "a ∈ carrier S" "a' ∈ carrier S"
    and Acarr: "A ⊆ carrier S"
  shows "a' ∈ A"
using a
apply (elim elemE, intro elemI)
proof assumption
  fix b
  assume bA: "b ∈ A"
  note [simp] = carr bA[THEN subsetD[OF Acarr]]
  note cong
  also assume "a .= b"

```

```

    finally show "a' .= b" by simp
qed

```

```

lemma (in equivalence) elem_subsetD:
  assumes "A  $\subseteq$  B"
    and aA: "a  $\in$  A"
  shows "a  $\in$  B"
using assms
by (fast intro: elemI elim: elemE dest: subsetD)

```

```

lemma (in equivalence) mem_imp_elem [simp, intro]:
  "[| x  $\in$  A; x  $\in$  carrier S |] ==> x  $\in$  A"
  unfolding elem_def by blast

```

```

lemma set_eqI:
  fixes R (structure)
  assumes ltr: " $\bigwedge$ a. a  $\in$  A  $\implies$  a  $\in$  B"
    and rtl: " $\bigwedge$ b. b  $\in$  B  $\implies$  b  $\in$  A"
  shows "A  $\{.\} B$ "
unfolding set_eq_def
by (fast intro: ltr rtl)

```

```

lemma set_eqI2:
  fixes R (structure)
  assumes ltr: " $\bigwedge$ a b. a  $\in$  A  $\implies \exists b \in B. a .= b$ "
    and rtl: " $\bigwedge$ b. b  $\in$  B  $\implies \exists a \in A. b .= a$ "
  shows "A  $\{.\} B$ "
  by (intro set_eqI, unfold elem_def) (fast intro: ltr rtl)+

```

```

lemma set_eqD1:
  fixes R (structure)
  assumes AA': "A  $\{.\} A$ "
    and "a  $\in$  A"
  shows " $\exists a' \in A'. a .= a$ "
using assms
unfolding set_eq_def elem_def
by fast

```

```

lemma set_eqD2:
  fixes R (structure)
  assumes AA': "A  $\{.\} A$ "
    and "a'  $\in$  A'"
  shows " $\exists a \in A. a' .= a$ "
using assms
unfolding set_eq_def elem_def
by fast

```

```

lemma set_eqE:
  fixes R (structure)

```



```

    assumes AB: "A {.=} B"
      and r: "[ $\forall a \in A. a \in B; \forall b \in B. b \in A$ ]  $\implies P$ "
    shows "P"
using AB
unfolding set_eq_def
by (blast dest: r)

lemma set_eqE2:
  fixes R (structure)
  assumes AB: "A {.=} B"
    and r: "[ $\forall a \in A. (\exists b \in B. a = b); \forall b \in B. (\exists a \in A. b = a)$ ]  $\implies P$ "
  shows "P"
using AB
unfolding set_eq_def elem_def
by (blast dest: r)

lemma set_eqE':
  fixes R (structure)
  assumes AB: "A {.=} B"
    and aA: "a  $\in A$ " and bB: "b  $\in B$ "
    and r: "[ $\bigwedge a' b'. [a' \in A; b = a'; b' \in B; a = b'] \implies P$ ]"
  shows "P"
proof -
  from AB aA
    have " $\exists b' \in B. a = b'$ " by (rule set_eqD1)
  from this obtain b'
    where b': "b'  $\in B$ " "a = b'" by auto

  from AB bB
    have " $\exists a' \in A. b = a'$ " by (rule set_eqD2)
  from this obtain a'
    where a': "a'  $\in A$ " "b = a'" by auto

  from a' b'
    show "P" by (rule r)
qed

lemma (in equivalence) eq_elem_cong_r [trans]:
  assumes a: "a  $\in A$ "
    and cong: "A {.=} A'"
    and carr: "a  $\in$  carrier S"
    and Carr: "A  $\subseteq$  carrier S" "A'  $\subseteq$  carrier S"
  shows "a  $\in A'$ "
using a cong
proof (elim elemE set_eqE)
  fix b
  assume bA: "b  $\in A$ "
    and inA': " $\forall b \in A. b \in A'$ "
  note [simp] = carr Carr Carr[THEN subsetD] bA

```

```

assume "a .= b"
also from bA inA'
  have "b .∈ A'" by fast
finally
  show "a .∈ A'" by simp
qed

```

```

lemma (in equivalence) set_eq_sym [sym]:
  assumes "A {.=} B"
  and "A ⊆ carrier S" "B ⊆ carrier S"
  shows "B {.=} A"
using assms
unfolding set_eq_def elem_def
by fast

```

```

lemma (in equivalence) equal_set_eq_trans [trans]:
  assumes AB: "A = B" and BC: "B {.=} C"
  shows "A {.=} C"
using AB BC by simp

```

```

lemma (in equivalence) set_eq_equal_trans [trans]:
  assumes AB: "A {.=} B" and BC: "B = C"
  shows "A {.=} C"
using AB BC by simp

```

```

lemma (in equivalence) set_eq_trans [trans]:
  assumes AB: "A {.=} B" and BC: "B {.=} C"
  and carr: "A ⊆ carrier S" "B ⊆ carrier S" "C ⊆ carrier S"
  shows "A {.=} C"
proof (intro set_eqI)
  fix a
  assume aA: "a ∈ A"
  with carr have "a ∈ carrier S" by fast
  note [simp] = carr this

  from aA
    have "a .∈ A" by (simp add: elem_exact)
  also note AB
  also note BC
  finally
    show "a .∈ C" by simp
next
  fix c
  assume cC: "c ∈ C"
  with carr have "c ∈ carrier S" by fast

```

```

note [simp] = carr this

from cC
  have "c .∈ C" by (simp add: elem_exact)
  also note BC[symmetric]
  also note AB[symmetric]
  finally
    show "c .∈ A" by simp
qed

```

```

lemma (in equivalence) set_eq_pairI:
  assumes xx': "x .= x'"
    and carr: "x ∈ carrier S" "x' ∈ carrier S" "y ∈ carrier S"
  shows "{x, y} {.=} {x', y}"
  unfolding set_eq_def elem_def
  proof safe
    have "x' ∈ {x', y}" by fast
    with xx' show "∃ b ∈ {x', y}. x .= b" by fast
  next
    have "y ∈ {x', y}" by fast
    with carr show "∃ b ∈ {x', y}. y .= b" by fast
  next
    have "x ∈ {x, y}" by fast
    with xx'[symmetric] carr
    show "∃ a ∈ {x, y}. x' .= a" by fast
  next
    have "y ∈ {x, y}" by fast
    with carr show "∃ a ∈ {x, y}. y .= a" by fast
  qed

```

```

lemma (in equivalence) is_closedI:
  assumes closed: "!!x y. [| x .= y; x ∈ A; y ∈ carrier S |] ==> y ∈ A"
    and S: "A ⊆ carrier S"
  shows "is_closed A"
  unfolding eq_is_closed_def eq_closure_of_def elem_def
  using S
  by (blast dest: closed sym)

```

```

lemma (in equivalence) closure_of_eq:
  "[| x .= x'; A ⊆ carrier S; x ∈ closure_of A; x ∈ carrier S; x' ∈ carrier S |] ==> x' ∈ closure_of A"
  unfolding eq_closure_of_def elem_def
  by (blast intro: trans sym)

```

```

lemma (in equivalence) is_closed_eq [dest]:
  "[| x .= x'; x ∈ A; is_closed A; x ∈ carrier S; x' ∈ carrier S |] ==>
x' ∈ A"
  unfolding eq_is_closed_def
  using closure_of_eq [where A = A]
  by simp

```

```

lemma (in equivalence) is_closed_eq_rev [dest]:
  "[| x .= x'; x' ∈ A; is_closed A; x ∈ carrier S; x' ∈ carrier S |]
==> x ∈ A"
  by (drule sym) (simp_all add: is_closed_eq)

```

```

lemma closure_of_closed [simp, intro]:
  fixes S (structure)
  shows "closure_of A ⊆ carrier S"
  unfolding eq_closure_of_def
  by fast

```

```

lemma closure_of_memI:
  fixes S (structure)
  assumes "a .∈ A"
  and "a ∈ carrier S"
  shows "a ∈ closure_of A"
  unfolding eq_closure_of_def
  using assms
  by fast

```

```

lemma closure_ofI2:
  fixes S (structure)
  assumes "a .= a'"
  and "a' ∈ A"
  and "a ∈ carrier S"
  shows "a ∈ closure_of A"
  unfolding eq_closure_of_def elem_def
  using assms
  by fast

```

```

lemma closure_of_memE:
  fixes S (structure)
  assumes p: "a ∈ closure_of A"
  and r: "[| a ∈ carrier S; a .∈ A |] ==> P"
  shows "P"
  proof -
  from p
  have acarr: "a ∈ carrier S"
  and "a .∈ A"
  by (simp add: eq_closure_of_def)+
  thus "P" by (rule r)
  qed

```

```

lemma closure_ofE2:
  fixes S (structure)
  assumes p: "a ∈ closure_of A"
    and r: "∧a'. [a ∈ carrier S; a' ∈ A; a .= a'] ⇒ P"
  shows "P"
proof -
  from p have acarr: "a ∈ carrier S" by (simp add: eq_closure_of_def)

  from p have "∃a'∈A. a .= a'" by (simp add: eq_closure_of_def elem_def)
  from this obtain a'
    where "a' ∈ A" and "a .= a'" by auto

  from acarr and this
    show "P" by (rule r)
qed

```

end

```

theory Lattice
imports Congruence
begin

```

2 Orders and Lattices

2.1 Partial Orders

```

record 'a gorder = "'a eq_object" +
  le :: "[ 'a, 'a ] => bool" (infixl "⊆" 50)

locale weak_partial_order = equivalence L for L (structure) +
  assumes le_refl [intro, simp]:
    "x ∈ carrier L ==> x ⊆ x"
  and weak_le_antisym [intro]:
    "[| x ⊆ y; y ⊆ x; x ∈ carrier L; y ∈ carrier L |] ==> x .= y"
  and le_trans [trans]:
    "[| x ⊆ y; y ⊆ z; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L
|] ==> x ⊆ z"
  and le_cong:
    "[| x .= y; z .= w; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L;
w ∈ carrier L |] ==>
    x ⊆ z ↔ y ⊆ w"

```

definition

```

lless :: "[_, 'a, 'a] => bool" (infixl "⊆" 50)

```

where $x \sqsubset_L y \leftrightarrow x \sqsubseteq_L y \ \& \ x \neq_L y$

2.1.1 The order relation

context weak_partial_order

begin

lemma le_cong_l [intro, trans]:

" $\llbracket x \neq y; y \sqsubseteq z; x \in \text{carrier } L; y \in \text{carrier } L; z \in \text{carrier } L \rrbracket \implies x \sqsubseteq z$ "

by (auto intro: le_cong [THEN iffD2])

lemma le_cong_r [intro, trans]:

" $\llbracket x \sqsubseteq y; y \neq z; x \in \text{carrier } L; y \in \text{carrier } L; z \in \text{carrier } L \rrbracket \implies x \sqsubseteq z$ "

by (auto intro: le_cong [THEN iffD1])

lemma weak_refl [intro, simp]: " $\llbracket x \neq y; x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y$ "

by (simp add: le_cong_l)

end

lemma weak_llessI:

fixes R (structure)

assumes " $x \sqsubseteq y$ " and " $\neg(x \neq y)$ "

shows " $x \sqsubset y$ "

using assms unfolding lless_def by simp

lemma lless_imp_le:

fixes R (structure)

assumes " $x \sqsubset y$ "

shows " $x \sqsubseteq y$ "

using assms unfolding lless_def by simp

lemma weak_lless_imp_not_eq:

fixes R (structure)

assumes " $x \sqsubset y$ "

shows " $\neg(x \neq y)$ "

using assms unfolding lless_def by simp

lemma weak_llessE:

fixes R (structure)

assumes p: " $x \sqsubset y$ " and e: " $\llbracket x \sqsubseteq y; \neg(x \neq y) \rrbracket \implies P$ "

shows "P"

using p by (blast dest: lless_imp_le weak_lless_imp_not_eq e)

lemma (in weak_partial_order) lless_cong_l [trans]:

assumes xx' : " $x \neq x'$ "

```

    and xy: "x'  $\sqsubseteq$  y"
    and carr: "x  $\in$  carrier L" "x'  $\in$  carrier L" "y  $\in$  carrier L"
  shows "x  $\sqsubseteq$  y"
  using assms unfolding lless_def by (auto intro: trans sym)

```

```

lemma (in weak_partial_order) lless_cong_r [trans]:
  assumes xy: "x  $\sqsubseteq$  y"
    and yy': "y .= y'"
    and carr: "x  $\in$  carrier L" "y  $\in$  carrier L" "y'  $\in$  carrier L"
  shows "x  $\sqsubseteq$  y'"
  using assms unfolding lless_def by (auto intro: trans sym)

```

```

lemma (in weak_partial_order) lless_antisym:
  assumes "a  $\in$  carrier L" "b  $\in$  carrier L"
    and "a  $\sqsubseteq$  b" "b  $\sqsubseteq$  a"
  shows "P"
  using assms
  by (elim weak_llessE) auto

```

```

lemma (in weak_partial_order) lless_trans [trans]:
  assumes "a  $\sqsubseteq$  b" "b  $\sqsubseteq$  c"
    and carr[simp]: "a  $\in$  carrier L" "b  $\in$  carrier L" "c  $\in$  carrier L"
  shows "a  $\sqsubseteq$  c"
  using assms unfolding lless_def by (blast dest: le_trans intro: sym)

```

2.1.2 Upper and lower bounds of a set

definition

```

Upper :: "[_, 'a set] => 'a set"
where "Upper L A = {u. (ALL x. x  $\in$  A  $\cap$  carrier L --> x  $\sqsubseteq_L$  u)}  $\cap$  carrier L"

```

definition

```

Lower :: "[_, 'a set] => 'a set"
where "Lower L A = {l. (ALL x. x  $\in$  A  $\cap$  carrier L --> l  $\sqsubseteq_L$  x)}  $\cap$  carrier L"

```

```

lemma Upper_closed [intro!, simp]:
  "Upper L A  $\subseteq$  carrier L"
  by (unfold Upper_def) clarify

```

```

lemma Upper_memD [dest]:
  fixes L (structure)
  shows "[| u  $\in$  Upper L A; x  $\in$  A; A  $\subseteq$  carrier L |] ==> x  $\sqsubseteq$  u  $\wedge$  u  $\in$  carrier L"
  by (unfold Upper_def) blast

```

```

lemma (in weak_partial_order) Upper_elemD [dest]:

```

```

" [| u .∈ Upper L A; u ∈ carrier L; x ∈ A; A ⊆ carrier L |] ==> x ⊆
u"
  unfolding Upper_def elem_def
  by (blast dest: sym)

lemma Upper_memI:
  fixes L (structure)
  shows "[| !! y. y ∈ A ==> y ⊆ x; x ∈ carrier L |] ==> x ∈ Upper L
A"
  by (unfold Upper_def) blast

lemma (in weak_partial_order) Upper_elemI:
  "[| !! y. y ∈ A ==> y ⊆ x; x ∈ carrier L |] ==> x .∈ Upper L A"
  unfolding Upper_def by blast

lemma Upper_antimono:
  "A ⊆ B ==> Upper L B ⊆ Upper L A"
  by (unfold Upper_def) blast

lemma (in weak_partial_order) Upper_is_closed [simp]:
  "A ⊆ carrier L ==> is_closed (Upper L A)"
  by (rule is_closedI) (blast intro: Upper_memI)+

lemma (in weak_partial_order) Upper_mem_cong:
  assumes a'carr: "a' ∈ carrier L" and Acarr: "A ⊆ carrier L"
    and aa': "a .= a'"
    and aelem: "a ∈ Upper L A"
  shows "a' ∈ Upper L A"
proof (rule Upper_memI[OF _ a'carr])
  fix y
  assume yA: "y ∈ A"
  hence "y ⊆ a" by (intro Upper_memD[OF aelem, THEN conjunct1] Acarr)
  also note aa'
  finally
    show "y ⊆ a'"
    by (simp add: a'carr subsetD[OF Acarr yA] subsetD[OF Upper_closed
aelem])
qed

lemma (in weak_partial_order) Upper_cong:
  assumes Acarr: "A ⊆ carrier L" and A'carr: "A' ⊆ carrier L"
    and AA': "A {.=} A'"
  shows "Upper L A = Upper L A'"
unfolding Upper_def
apply rule
  apply (rule, clarsimp) defer 1
  apply (rule, clarsimp) defer 1
proof -
  fix x a'

```



```

assume carr: "x ∈ carrier L" "a' ∈ carrier L"
  and a'A': "a' ∈ A'"
assume aLxCond[rule_format]: "∀a. a ∈ A ∧ a ∈ carrier L → a ⊆ x"

from AA' and a'A' have "∃a∈A. a' .= a" by (rule set_eqD2)
from this obtain a
  where aA: "a ∈ A"
  and a'a: "a' .= a"
  by auto
note [simp] = subsetD[OF Acarr aA] carr

note a'a
also have "a ⊆ x" by (simp add: aLxCond aA)
finally show "a' ⊆ x" by simp
next
fix x a
assume carr: "x ∈ carrier L" "a ∈ carrier L"
  and aA: "a ∈ A"
assume a'LxCond[rule_format]: "∀a'. a' ∈ A' ∧ a' ∈ carrier L → a'
⊆ x"

from AA' and aA have "∃a'∈A'. a .= a'" by (rule set_eqD1)
from this obtain a'
  where a'A': "a' ∈ A'"
  and aa': "a .= a'"
  by auto
note [simp] = subsetD[OF A'carr a'A'] carr

note aa'
also have "a' ⊆ x" by (simp add: a'LxCond a'A')
finally show "a ⊆ x" by simp
qed

lemma Lower_closed [intro!, simp]:
  "Lower L A ⊆ carrier L"
  by (unfold Lower_def) clarify

lemma Lower_memD [dest]:
  fixes L (structure)
  shows "[| l ∈ Lower L A; x ∈ A; A ⊆ carrier L |] ==> l ⊆ x ∧ l ∈
carrier L"
  by (unfold Lower_def) blast

lemma Lower_memI:
  fixes L (structure)
  shows "[| !! y. y ∈ A ==> x ⊆ y; x ∈ carrier L |] ==> x ∈ Lower L
A"
  by (unfold Lower_def) blast

```

```

lemma Lower_antimono:
  "A ⊆ B ==> Lower L B ⊆ Lower L A"
  by (unfold Lower_def) blast

lemma (in weak_partial_order) Lower_is_closed [simp]:
  "A ⊆ carrier L ==> is_closed (Lower L A)"
  by (rule is_closedI) (blast intro: Lower_memI dest: sym)+

lemma (in weak_partial_order) Lower_mem_cong:
  assumes a'carr: "a' ∈ carrier L" and Acarr: "A ⊆ carrier L"
    and aa': "a .= a'"
    and aelem: "a ∈ Lower L A"
  shows "a' ∈ Lower L A"
using assms Lower_closed[of L A]
by (intro Lower_memI) (blast intro: le_cong_l[OF aa'[symmetric]])

lemma (in weak_partial_order) Lower_cong:
  assumes Acarr: "A ⊆ carrier L" and A'carr: "A' ⊆ carrier L"
    and AA': "A {.=} A'"
  shows "Lower L A = Lower L A'"
unfolding Lower_def
apply rule
  apply clarsimp defer 1
  apply clarsimp defer 1
proof -
  fix x a'
  assume carr: "x ∈ carrier L" "a' ∈ carrier L"
    and a'A': "a' ∈ A'"
  assume "∀a. a ∈ A ∧ a ∈ carrier L → x ⊆ a"
  hence aLxCond: "∧a. [a ∈ A; a ∈ carrier L] ==> x ⊆ a" by fast

  from AA' and a'A' have "∃a∈A. a' .= a" by (rule set_eqD2)
  from this obtain a
    where aA: "a ∈ A"
    and a'a: "a' .= a"
    by auto

  from aA and subsetD[OF Acarr aA]
    have "x ⊆ a" by (rule aLxCond)
  also note a'a[symmetric]
  finally
    show "x ⊆ a'" by (simp add: carr subsetD[OF Acarr aA])
next
  fix x a
  assume carr: "x ∈ carrier L" "a ∈ carrier L"
    and aA: "a ∈ A"
  assume "∀a'. a' ∈ A' ∧ a' ∈ carrier L → x ⊆ a'"
  hence a'LxCond: "∧a'. [a' ∈ A'; a' ∈ carrier L] ==> x ⊆ a'" by fast+

```

```

from AA' and aA have "∃a'∈A'. a .= a'" by (rule set_eqD1)
from this obtain a'
  where a'A': "a' ∈ A'"
  and aa': "a .= a'"
  by auto
from a'A' and subsetD[OF A'carr a'A']
  have "x ⊆ a'" by (rule a'LxCond)
also note aa'[symmetric]
finally show "x ⊆ a" by (simp add: carr subsetD[OF A'carr a'A'])
qed

```

2.1.3 Least and greatest, as predicate

definition

```

least :: "[_, 'a, 'a set] => bool"
where "least L l A ⟷ A ⊆ carrier L & l ∈ A & (ALL x : A. l ⊆L x)"

```

definition

```

greatest :: "[_, 'a, 'a set] => bool"
where "greatest L g A ⟷ A ⊆ carrier L & g ∈ A & (ALL x : A. x ⊆L g)"

```

Could weaken these to $l \in \text{carrier } L \wedge l \in A$ and $g \in \text{carrier } L \wedge g \in A$.

```

lemma least_closed [intro, simp]:
  "least L l A ==> l ∈ carrier L"
  by (unfold least_def) fast

```

```

lemma least_mem:
  "least L l A ==> l ∈ A"
  by (unfold least_def) fast

```

```

lemma (in weak_partial_order) weak_least_unique:
  "[| least L x A; least L y A |] ==> x .= y"
  by (unfold least_def) blast

```

```

lemma least_le:
  fixes L (structure)
  shows "[| least L x A; a ∈ A |] ==> x ⊆ a"
  by (unfold least_def) fast

```

```

lemma (in weak_partial_order) least_cong:
  "[| x .= x'; x ∈ carrier L; x' ∈ carrier L; is_closed A |] ==> least
L x A = least L x' A"
  by (unfold least_def) (auto dest: sym)

```

least is not congruent in the second parameter for $A \{.\} A'$

```

lemma (in weak_partial_order) least_Upper_cong_l:
  assumes "x .= x'"

```

```

    and "x ∈ carrier L" "x' ∈ carrier L"
    and "A ⊆ carrier L"
  shows "least L x (Upper L A) = least L x' (Upper L A)"
  apply (rule least_cong) using assms by auto

lemma (in weak_partial_order) least_Upper_cong_r:
  assumes Acarrs: "A ⊆ carrier L" "A' ⊆ carrier L"
    and AA': "A {.=} A'"
  shows "least L x (Upper L A) = least L x (Upper L A')"
  apply (subgoal_tac "Upper L A = Upper L A'", simp)
  by (rule Upper_cong) fact+

lemma least_UpperI:
  fixes L (structure)
  assumes above: "!! x. x ∈ A ==> x ⊆ s"
    and below: "!! y. y ∈ Upper L A ==> s ⊆ y"
    and L: "A ⊆ carrier L" "s ∈ carrier L"
  shows "least L s (Upper L A)"
  proof -
    have "Upper L A ⊆ carrier L" by simp
    moreover from above L have "s ∈ Upper L A" by (simp add: Upper_def)
    moreover from below have "ALL x : Upper L A. s ⊆ x" by fast
    ultimately show ?thesis by (simp add: least_def)
  qed

lemma least_Upper_above:
  fixes L (structure)
  shows "[| least L s (Upper L A); x ∈ A; A ⊆ carrier L |] ==> x ⊆ s"
  by (unfold least_def) blast

lemma greatest_closed [intro, simp]:
  "greatest L l A ==> l ∈ carrier L"
  by (unfold greatest_def) fast

lemma greatest_mem:
  "greatest L l A ==> l ∈ A"
  by (unfold greatest_def) fast

lemma (in weak_partial_order) weak_greatest_unique:
  "[| greatest L x A; greatest L y A |] ==> x .= y"
  by (unfold greatest_def) blast

lemma greatest_le:
  fixes L (structure)
  shows "[| greatest L x A; a ∈ A |] ==> a ⊆ x"
  by (unfold greatest_def) fast

lemma (in weak_partial_order) greatest_cong:
  "[| x .= x'; x ∈ carrier L; x' ∈ carrier L; is_closed A |] ==>

```

```

greatest L x A = greatest L x' A"
by (unfold greatest_def) (auto dest: sym)

greatest is not congruent in the second parameter for A {.=} A'
lemma (in weak_partial_order) greatest_Lower_cong_l:
  assumes "x .= x'"
    and "x ∈ carrier L" "x' ∈ carrier L"
    and "A ⊆ carrier L"
  shows "greatest L x (Lower L A) = greatest L x' (Lower L A)"
  apply (rule greatest_cong) using assms by auto

lemma (in weak_partial_order) greatest_Lower_cong_r:
  assumes Acarrs: "A ⊆ carrier L" "A' ⊆ carrier L"
    and AA': "A {.=} A'"
  shows "greatest L x (Lower L A) = greatest L x (Lower L A')"
  apply (subgoal_tac "Lower L A = Lower L A'", simp)
  by (rule Lower_cong) fact+

lemma greatest_LowerI:
  fixes L (structure)
  assumes below: "!! x. x ∈ A ==> i ⊆ x"
    and above: "!! y. y ∈ Lower L A ==> y ⊆ i"
    and L: "A ⊆ carrier L" "i ∈ carrier L"
  shows "greatest L i (Lower L A)"
  proof -
    have "Lower L A ⊆ carrier L" by simp
    moreover from below L have "i ∈ Lower L A" by (simp add: Lower_def)
    moreover from above have "ALL x : Lower L A. x ⊆ i" by fast
    ultimately show ?thesis by (simp add: greatest_def)
  qed

lemma greatest_Lower_below:
  fixes L (structure)
  shows "[| greatest L i (Lower L A); x ∈ A; A ⊆ carrier L |] ==> i ⊆ x"
  by (unfold greatest_def) blast

Supremum and infimum

definition
  sup :: "[_, 'a set] => 'a" ("⊔i_" [90] 90)
  where "⊔LA = (SOME x. least L x (Upper L A))"

definition
  inf :: "[_, 'a set] => 'a" ("⊓i_" [90] 90)
  where "⊓LA = (SOME x. greatest L x (Lower L A))"

definition
  join :: "[_, 'a, 'a] => 'a" (infixl "⊔L" 65)
  where "x ⊔L y = ⊔L{x, y}"

```

definition

```
meet :: "[_, 'a, 'a] => 'a" (infixl "⊓" 70)
where "x ⊓L y = ⊓L{x, y}"
```

2.2 Lattices

```
locale weak_upper_semilattice = weak_partial_order +
  assumes sup_of_two_exists:
    "[| x ∈ carrier L; y ∈ carrier L |] ==> EX s. least L s (Upper L {x,
y})"
```

```
locale weak_lower_semilattice = weak_partial_order +
  assumes inf_of_two_exists:
    "[| x ∈ carrier L; y ∈ carrier L |] ==> EX s. greatest L s (Lower
L {x, y})"
```

```
locale weak_lattice = weak_upper_semilattice + weak_lower_semilattice
```

2.2.1 Supremum

```
lemma (in weak_upper_semilattice) joinI:
  "[| !!l. least L l (Upper L {x, y}) ==> P l; x ∈ carrier L; y ∈ carrier
L |]
  ==> P (x ⊓ y)"
```

```
proof (unfold join_def sup_def)
  assume L: "x ∈ carrier L" "y ∈ carrier L"
  and P: "!!l. least L l (Upper L {x, y}) ==> P l"
  with sup_of_two_exists obtain s where "least L s (Upper L {x, y})"
  by fast
  with L show "P (SOME l. least L l (Upper L {x, y}))"
    by (fast intro: someI2 P)
qed
```

```
lemma (in weak_upper_semilattice) join_closed [simp]:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊓ y ∈ carrier L"
  by (rule joinI) (rule least_closed)
```

```
lemma (in weak_upper_semilattice) join_cong_l:
  assumes carr: "x ∈ carrier L" "x' ∈ carrier L" "y ∈ carrier L"
  and xx': "x .= x'"
  shows "x ⊓ y .= x' ⊓ y"
```

```
proof (rule joinI, rule joinI)
  fix a b
  from xx' carr
  have seq: "{x, y} {.=} {x', y}" by (rule set_eq_pairI)

  assume leasta: "least L a (Upper L {x, y})"
  assume "least L b (Upper L {x', y})"
  with carr
```

```

    have leastb: "least L b (Upper L {x, y})"
    by (simp add: least_Upper_cong_r[OF _ _ seq])

  from leasta leastb
    show "a .= b" by (rule weak_least_unique)
qed (rule carr)+

lemma (in weak_upper_semilattice) join_cong_r:
  assumes carr: "x ∈ carrier L" "y ∈ carrier L" "y' ∈ carrier L"
    and yy': "y .= y'"
  shows "x ⊔ y .= x ⊔ y'"
proof (rule joinI, rule joinI)
  fix a b
  have "{x, y} = {y, x}" by fast
  also from carr yy'
    have "{y, x} {.=} {y', x}" by (intro set_eq_pairI)
  also have "{y', x} = {x, y'}" by fast
  finally
    have seq: "{x, y} {.=} {x, y'}" .

  assume leasta: "least L a (Upper L {x, y})"
  assume "least L b (Upper L {x, y'})"
  with carr
    have leastb: "least L b (Upper L {x, y})"
    by (simp add: least_Upper_cong_r[OF _ _ seq])

  from leasta leastb
    show "a .= b" by (rule weak_least_unique)
qed (rule carr)+

lemma (in weak_partial_order) sup_of_singletonI:
  "x ∈ carrier L ==> least L x (Upper L {x})"
  by (rule least_UpperI) auto

lemma (in weak_partial_order) weak_sup_of_singleton [simp]:
  "x ∈ carrier L ==> ⊔{x} .= x"
  unfolding sup_def
  by (rule someI2) (auto intro: weak_least_unique sup_of_singletonI)

lemma (in weak_partial_order) sup_of_singleton_closed [simp]:
  "x ∈ carrier L ==> ⊔{x} ∈ carrier L"
  unfolding sup_def
  by (rule someI2) (auto intro: sup_of_singletonI)

Condition on A: supremum exists.

lemma (in weak_upper_semilattice) sup_insertI:
  "[| !!s. least L s (Upper L (insert x A)) ==> P s;
  least L a (Upper L A); x ∈ carrier L; A ⊆ carrier L |]
  ==> P (⊔(insert x A))"

```

```

proof (unfold sup_def)
  assume L: "x ∈ carrier L" "A ⊆ carrier L"
    and P: "!!l. least L l (Upper L (insert x A)) ==> P l"
    and least_a: "least L a (Upper L A)"
  from L least_a have La: "a ∈ carrier L" by simp
  from L sup_of_two_exists least_a
  obtain s where least_s: "least L s (Upper L {a, x})" by blast
  show "P (SOME l. least L l (Upper L (insert x A)))"
  proof (rule someI2)
    show "least L s (Upper L (insert x A))"
    proof (rule least_UpperI)
      fix z
      assume "z ∈ insert x A"
      then show "z ⊆ s"
      proof
        assume "z = x" then show ?thesis
          by (simp add: least_Upper_above [OF least_s] L La)
        next
          assume "z ∈ A"
          with L least_s least_a show ?thesis
            by (rule_tac le_trans [where y = a]) (auto dest: least_Upper_above)
        qed
      qed
    next
      fix y
      assume y: "y ∈ Upper L (insert x A)"
      show "s ⊆ y"
      proof (rule least_le [OF least_s], rule Upper_memI)
        fix z
        assume z: "z ∈ {a, x}"
        then show "z ⊆ y"
        proof
          have y': "y ∈ Upper L A"
            apply (rule subsetD [where A = "Upper L (insert x A)"])
            apply (rule Upper_antimono)
            apply blast
            apply (rule y)
            done
          assume "z = a"
          with y' least_a show ?thesis by (fast dest: least_le)
        next
          assume "z ∈ {x}"
          with y L show ?thesis by blast
        qed
      qed (rule Upper_closed [THEN subsetD, OF y])
    next
      from L show "insert x A ⊆ carrier L" by simp
      from least_s show "s ∈ carrier L" by simp
    qed
  qed (rule P)

```


qed

```

lemma (in weak_upper_semilattice) finite_sup_least:
  "[| finite A; A  $\subseteq$  carrier L; A  $\sim$  {} |] ==> least L ( $\bigsqcup$ A) (Upper L A)"
proof (induct set: finite)
  case empty
  then show ?case by simp
next
  case (insert x A)
  show ?case
  proof (cases "A = {}")
    case True
    with insert show ?thesis
    by simp (simp add: least_cong [OF weak_sup_of_singleton] sup_of_singletonI)
  next
    case False
    with insert have "least L ( $\bigsqcup$ A) (Upper L A)" by simp
    with _ show ?thesis
    by (rule sup_insertI) (simp_all add: insert [simplified])
  qed
qed

```

```

lemma (in weak_upper_semilattice) finite_sup_insertI:
  assumes P: "!!l. least L l (Upper L (insert x A)) ==> P l"
  and xA: "finite A" "x  $\in$  carrier L" "A  $\subseteq$  carrier L"
  shows "P ( $\bigsqcup$ (insert x A))"
proof (cases "A = {}")
  case True with P and xA show ?thesis
  by (simp add: finite_sup_least)
next
  case False with P and xA show ?thesis
  by (simp add: sup_insertI finite_sup_least)
qed

```

```

lemma (in weak_upper_semilattice) finite_sup_closed [simp]:
  "[| finite A; A  $\subseteq$  carrier L; A  $\sim$  {} |] ==>  $\bigsqcup$ A  $\in$  carrier L"
proof (induct set: finite)
  case empty then show ?case by simp
next
  case insert then show ?case
  by - (rule finite_sup_insertI, simp_all)
qed

```

```

lemma (in weak_upper_semilattice) join_left:
  "[| x  $\in$  carrier L; y  $\in$  carrier L |] ==> x  $\sqsubseteq$  x  $\sqcup$  y"
  by (rule joinI [folded join_def]) (blast dest: least_mem)

```

```

lemma (in weak_upper_semilattice) join_right:

```

```

" [| x ∈ carrier L; y ∈ carrier L |] ==> y ⊆ x ⊔ y"
by (rule joinI [folded join_def]) (blast dest: least_mem)

lemma (in weak_upper_semilattice) sup_of_two_least:
  "[ | x ∈ carrier L; y ∈ carrier L |] ==> least L (⊔{x, y}) (Upper L
{x, y})"
proof (unfold sup_def)
  assume L: "x ∈ carrier L" "y ∈ carrier L"
  with sup_of_two_exists obtain s where "least L s (Upper L {x, y})"
  by fast
  with L show "least L (SOME z. least L z (Upper L {x, y})) (Upper L
{x, y})"
  by (fast intro: someI2 weak_least_unique)
qed

lemma (in weak_upper_semilattice) join_le:
  assumes sub: "x ⊆ z" "y ⊆ z"
  and x: "x ∈ carrier L" and y: "y ∈ carrier L" and z: "z ∈ carrier
L"
  shows "x ⊔ y ⊆ z"
proof (rule joinI [OF _ x y])
  fix s
  assume "least L s (Upper L {x, y})"
  with sub z show "s ⊆ z" by (fast elim: least_le intro: Upper_memI)
qed

lemma (in weak_upper_semilattice) weak_join_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊔ (y ⊔ z) .= ⊔{x, y, z}"
proof (rule finite_sup_insertI)
  — The textbook argument in Jacobson I, p 457
  fix s
  assume sup: "least L s (Upper L {x, y, z})"
  show "x ⊔ (y ⊔ z) .= s"
  proof (rule weak_le_antisym)
    from sup L show "x ⊔ (y ⊔ z) ⊆ s"
    by (fastforce intro!: join_le elim: least_Upper_above)
  next
    from sup L show "s ⊆ x ⊔ (y ⊔ z)"
    by (erule_tac least_le)
    (blast intro!: Upper_memI intro: le_trans join_left join_right join_closed)
  qed (simp_all add: L least_closed [OF sup])
qed (simp_all add: L)

Commutativity holds for =.

lemma join_comm:
  fixes L (structure)
  shows "x ⊔ y = y ⊔ x"
  by (unfold join_def) (simp add: insert_commute)

```

```

lemma (in weak_upper_semilattice) weak_join_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⊔ y) ⊔ z .= x ⊔ (y ⊔ z)"
proof -

  have "(x ⊔ y) ⊔ z = z ⊔ (x ⊔ y)" by (simp only: join_comm)
  also from L have "... .= ⊔{z, x, y}" by (simp add: weak_join_assoc_lemma)
  also from L have "... = ⊔{x, y, z}" by (simp add: insert_commute)
  also from L have "... .= x ⊔ (y ⊔ z)" by (simp add: weak_join_assoc_lemma
[symmetric])
  finally show ?thesis by (simp add: L)
qed

```

2.2.2 Infimum

```

lemma (in weak_lower_semilattice) meetI:
  "[| !!i. greatest L i (Lower L {x, y}) ==> P i;
  x ∈ carrier L; y ∈ carrier L |]
  ==> P (x ⊓ y)"
proof (unfold meet_def inf_def)
  assume L: "x ∈ carrier L" "y ∈ carrier L"
  and P: "!!g. greatest L g (Lower L {x, y}) ==> P g"
  with inf_of_two_exists obtain i where "greatest L i (Lower L {x, y})"
by fast
  with L show "P (SOME g. greatest L g (Lower L {x, y}))"
  by (fast intro: someI2 weak_greatest_unique P)
qed

```

```

lemma (in weak_lower_semilattice) meet_closed [simp]:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊓ y ∈ carrier L"
  by (rule meetI) (rule greatest_closed)

```

```

lemma (in weak_lower_semilattice) meet_cong_l:
  assumes carr: "x ∈ carrier L" "x' ∈ carrier L" "y ∈ carrier L"
  and xx': "x .= x'"
  shows "x ⊓ y .= x' ⊓ y"
proof (rule meetI, rule meetI)
  fix a b
  from xx' carr
    have seq: "{x, y} {.=} {x', y}" by (rule set_eq_pairI)

  assume greatest_a: "greatest L a (Lower L {x, y})"
  assume "greatest L b (Lower L {x', y})"
  with carr
    have greatest_b: "greatest L b (Lower L {x, y})"
    by (simp add: greatest_Lower_cong_r[OF _ seq])

  from greatest_a greatest_b

```

```

      show "a .= b" by (rule weak_greatest_unique)
qed (rule carr)+

lemma (in weak_lower_semilattice) meet_cong_r:
  assumes carr: "x ∈ carrier L" "y ∈ carrier L" "y' ∈ carrier L"
    and yy': "y .= y'"
  shows "x ⊓ y .= x ⊓ y'"
proof (rule meetI, rule meetI)
  fix a b
  have "{x, y} = {y, x}" by fast
  also from carr yy'
    have "{y, x} {.=} {y', x}" by (intro set_eq_pairI)
  also have "{y', x} = {x, y'}" by fast
  finally
    have seq: "{x, y} {.=} {x, y'}" .

  assume greatest_a: "greatest L a (Lower L {x, y})"
  assume "greatest L b (Lower L {x, y'})"
  with carr
    have greatest_b: "greatest L b (Lower L {x, y})"
    by (simp add: greatest_Lower_cong_r[OF _ _ seq])

  from greatest_a greatest_b
    show "a .= b" by (rule weak_greatest_unique)
qed (rule carr)+

lemma (in weak_partial_order) inf_of_singletonI:
  "x ∈ carrier L ==> greatest L x (Lower L {x})"
  by (rule greatest_LowerI) auto

lemma (in weak_partial_order) weak_inf_of_singleton [simp]:
  "x ∈ carrier L ==> ⊓{x} .= x"
  unfolding inf_def
  by (rule someI2) (auto intro: weak_greatest_unique inf_of_singletonI)

lemma (in weak_partial_order) inf_of_singleton_closed:
  "x ∈ carrier L ==> ⊓{x} ∈ carrier L"
  unfolding inf_def
  by (rule someI2) (auto intro: inf_of_singletonI)

Condition on A: infimum exists.

lemma (in weak_lower_semilattice) inf_insertI:
  "[| !!i. greatest L i (Lower L (insert x A)) ==> P i;
  greatest L a (Lower L A); x ∈ carrier L; A ⊆ carrier L |]
  ==> P (⊓(insert x A))"
proof (unfold inf_def)
  assume L: "x ∈ carrier L" "A ⊆ carrier L"
    and P: "!!g. greatest L g (Lower L (insert x A)) ==> P g"
    and greatest_a: "greatest L a (Lower L A)"

```

```

from L greatest_a have La: "a ∈ carrier L" by simp
from L inf_of_two_exists greatest_a
obtain i where greatest_i: "greatest L i (Lower L {a, x})" by blast
show "P (SOME g. greatest L g (Lower L (insert x A)))"
proof (rule someI2)
  show "greatest L i (Lower L (insert x A))"
  proof (rule greatest_LowerI)
    fix z
    assume "z ∈ insert x A"
    then show "i ⊆ z"
    proof
      assume "z = x" then show ?thesis
        by (simp add: greatest_Lower_below [OF greatest_i] L La)
    next
      assume "z ∈ A"
      with L greatest_i greatest_a show ?thesis
        by (rule_tac le_trans [where y = a]) (auto dest: greatest_Lower_below)
    qed
  next
  fix y
  assume y: "y ∈ Lower L (insert x A)"
  show "y ⊆ i"
  proof (rule greatest_le [OF greatest_i], rule Lower_memI)
    fix z
    assume z: "z ∈ {a, x}"
    then show "y ⊆ z"
    proof
      have y': "y ∈ Lower L A"
        apply (rule subsetD [where A = "Lower L (insert x A)"])
        apply (rule Lower_antimono)
        apply blast
        apply (rule y)
        done
      assume "z = a"
      with y' greatest_a show ?thesis by (fast dest: greatest_le)
    next
      assume "z ∈ {x}"
      with y L show ?thesis by blast
    qed
  qed (rule Lower_closed [THEN subsetD, OF y])
  next
  from L show "insert x A ⊆ carrier L" by simp
  from greatest_i show "i ∈ carrier L" by simp
  qed
qed (rule P)
qed

```

```

lemma (in weak_lower_semilattice) finite_inf_greatest:
  "[| finite A; A ⊆ carrier L; A ~= {} |] ==> greatest L (⋂ A) (Lower

```

```

L A)"
proof (induct set: finite)
  case empty then show ?case by simp
next
  case (insert x A)
  show ?case
  proof (cases "A = {}")
    case True
    with insert show ?thesis
    by simp (simp add: greatest_cong [OF weak_inf_of_singleton]
      inf_of_singleton_closed inf_of_singletonI)
  next
    case False
    from insert show ?thesis
  proof (rule_tac inf_insertI)
    from False insert show "greatest L ( $\bigcap$ A) (Lower L A)" by simp
  qed simp_all
qed
qed

```

```

lemma (in weak_lower_semilattice) finite_inf_insertI:
  assumes P: "!!i. greatest L i (Lower L (insert x A)) ==> P i"
  and xA: "finite A" "x  $\in$  carrier L" "A  $\subseteq$  carrier L"
  shows "P ( $\bigcap$ (insert x A))"
proof (cases "A = {}")
  case True with P and xA show ?thesis
  by (simp add: finite_inf_greatest)
next
  case False with P and xA show ?thesis
  by (simp add: inf_insertI finite_inf_greatest)
qed

```

```

lemma (in weak_lower_semilattice) finite_inf_closed [simp]:
  "[| finite A; A  $\subseteq$  carrier L; A  $\neq$  {} |] ==>  $\bigcap$ A  $\in$  carrier L"
proof (induct set: finite)
  case empty then show ?case by simp
next
  case insert then show ?case
  by (rule_tac finite_inf_insertI) (simp_all)
qed

```

```

lemma (in weak_lower_semilattice) meet_left:
  "[| x  $\in$  carrier L; y  $\in$  carrier L |] ==> x  $\sqcap$  y  $\sqsubseteq$  x"
  by (rule meetI [folded meet_def]) (blast dest: greatest_mem)

```

```

lemma (in weak_lower_semilattice) meet_right:
  "[| x  $\in$  carrier L; y  $\in$  carrier L |] ==> x  $\sqcap$  y  $\sqsubseteq$  y"
  by (rule meetI [folded meet_def]) (blast dest: greatest_mem)

```

```

lemma (in weak_lower_semilattice) inf_of_two_greatest:
  "[| x ∈ carrier L; y ∈ carrier L |] ==>
  greatest L (⊓{x, y}) (Lower L {x, y})"
proof (unfold inf_def)
  assume L: "x ∈ carrier L" "y ∈ carrier L"
  with inf_of_two_exists obtain s where "greatest L s (Lower L {x, y})"
by fast
  with L
  show "greatest L (SOME z. greatest L z (Lower L {x, y})) (Lower L {x,
y})"
  by (fast intro: someI2 weak_greatest_unique)
qed

```

```

lemma (in weak_lower_semilattice) meet_le:
  assumes sub: "z ⊑ x" "z ⊑ y"
  and x: "x ∈ carrier L" and y: "y ∈ carrier L" and z: "z ∈ carrier
L"
  shows "z ⊑ x ⊓ y"
proof (rule meetI [OF _ x y])
  fix i
  assume "greatest L i (Lower L {x, y})"
  with sub z show "z ⊑ i" by (fast elim: greatest_le intro: Lower_memI)
qed

```

```

lemma (in weak_lower_semilattice) weak_meet_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊓ (y ⊓ z) .= ⊓{x, y, z}"
proof (rule finite_inf_insertI)

```

The textbook argument in Jacobson I, p 457

```

  fix i
  assume inf: "greatest L i (Lower L {x, y, z})"
  show "x ⊓ (y ⊓ z) .= i"
  proof (rule weak_le_antisym)
    from inf L show "i ⊑ x ⊓ (y ⊓ z)"
      by (fastforce intro!: meet_le elim: greatest_Lower_below)
  next
    from inf L show "x ⊓ (y ⊓ z) ⊑ i"
      by (erule_tac greatest_le)
      (blast intro!: Lower_memI intro: le_trans meet_left meet_right meet_closed)
  qed (simp_all add: L greatest_closed [OF inf])
qed (simp_all add: L)

```

```

lemma meet_comm:
  fixes L (structure)
  shows "x ⊓ y = y ⊓ x"
  by (unfold meet_def) (simp add: insert_commute)

```

```

lemma (in weak_lower_semilattice) weak_meet_assoc:

```

```

    assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
    shows "(x ⊔ y) ⊔ z = x ⊔ (y ⊔ z)"
  proof -

    have "(x ⊔ y) ⊔ z = z ⊔ (x ⊔ y)" by (simp only: meet_comm)
    also from L have "... = ⊔{z, x, y}" by (simp add: weak_meet_assoc_lemma)
    also from L have "... = ⊔{x, y, z}" by (simp add: insert_commute)
    also from L have "... = x ⊔ (y ⊔ z)" by (simp add: weak_meet_assoc_lemma
[symmetric])
    finally show ?thesis by (simp add: L)
  qed

```

2.3 Total Orders

```

locale weak_total_order = weak_partial_order +
  assumes total: "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊆ y | y ⊆
x"

```

Introduction rule: the usual definition of total order

```

lemma (in weak_partial_order) weak_total_orderI:
  assumes total: "!!x y. [| x ∈ carrier L; y ∈ carrier L |] ==> x ⊆
y | y ⊆ x"
  shows "weak_total_order L"
  by standard (rule total)

```

Total orders are lattices.

```

sublocale weak_total_order < weak?: weak_lattice
proof
  fix x y
  assume L: "x ∈ carrier L" "y ∈ carrier L"
  show "EX s. least L s (Upper L {x, y})"
  proof -
    note total L
    moreover
    {
      assume "x ⊆ y"
      with L have "least L y (Upper L {x, y})"
        by (rule_tac least_UpperI) auto
    }
    moreover
    {
      assume "y ⊆ x"
      with L have "least L x (Upper L {x, y})"
        by (rule_tac least_UpperI) auto
    }
    ultimately show ?thesis by blast
  qed
next
fix x y

```



```

assume L: "x ∈ carrier L" "y ∈ carrier L"
show "EX i. greatest L i (Lower L {x, y})"
proof -
  note total L
  moreover
  {
    assume "y ⊑ x"
    with L have "greatest L y (Lower L {x, y})"
      by (rule_tac greatest_LowerI) auto
  }
  moreover
  {
    assume "x ⊑ y"
    with L have "greatest L x (Lower L {x, y})"
      by (rule_tac greatest_LowerI) auto
  }
  ultimately show ?thesis by blast
qed
qed

```

2.4 Complete Lattices

```

locale weak_complete_lattice = weak_lattice +
  assumes sup_exists:
    "[| A ⊆ carrier L |] ==> EX s. least L s (Upper L A)"
  and inf_exists:
    "[| A ⊆ carrier L |] ==> EX i. greatest L i (Lower L A)"

```

Introduction rule: the usual definition of complete lattice

```

lemma (in weak_partial_order) weak_complete_latticeI:
  assumes sup_exists:
    "!!A. [| A ⊆ carrier L |] ==> EX s. least L s (Upper L A)"
  and inf_exists:
    "!!A. [| A ⊆ carrier L |] ==> EX i. greatest L i (Lower L A)"
  shows "weak_complete_lattice L"
  by standard (auto intro: sup_exists inf_exists)

```

definition

```

top :: "'a => 'a" ("⊤")
where "⊤L = sup L (carrier L)"

```

definition

```

bottom :: "'a => 'a" ("⊥")
where "⊥L = inf L (carrier L)"

```

```

lemma (in weak_complete_lattice) supI:
  "[| !!l. least L l (Upper L A) ==> P l; A ⊆ carrier L |]
  ==> P (⊔ A)"

```

```

proof (unfold sup_def)
  assume L: "A  $\subseteq$  carrier L"
  and P: "!!l. least L l (Upper L A) ==> P l"
  with sup_exists obtain s where "least L s (Upper L A)" by blast
  with L show "P (SOME l. least L l (Upper L A))"
  by (fast intro: someI2 weak_least_unique P)
qed

lemma (in weak_complete_lattice) sup_closed [simp]:
  "A  $\subseteq$  carrier L ==>  $\bigsqcup$ A  $\in$  carrier L"
  by (rule supI) simp_all

lemma (in weak_complete_lattice) top_closed [simp, intro]:
  " $\top \in$  carrier L"
  by (unfold top_def) simp

lemma (in weak_complete_lattice) infI:
  "[| !!i. greatest L i (Lower L A) ==> P i; A  $\subseteq$  carrier L |]
  ==> P ( $\bigsqcap$ A)"
proof (unfold inf_def)
  assume L: "A  $\subseteq$  carrier L"
  and P: "!!l. greatest L l (Lower L A) ==> P l"
  with inf_exists obtain s where "greatest L s (Lower L A)" by blast
  with L show "P (SOME l. greatest L l (Lower L A))"
  by (fast intro: someI2 weak_greatest_unique P)
qed

lemma (in weak_complete_lattice) inf_closed [simp]:
  "A  $\subseteq$  carrier L ==>  $\bigsqcap$ A  $\in$  carrier L"
  by (rule infI) simp_all

lemma (in weak_complete_lattice) bottom_closed [simp, intro]:
  " $\perp \in$  carrier L"
  by (unfold bottom_def) simp

Jacobson: Theorem 8.1

lemma Lower_empty [simp]:
  "Lower L {} = carrier L"
  by (unfold Lower_def) simp

lemma Upper_empty [simp]:
  "Upper L {} = carrier L"
  by (unfold Upper_def) simp

theorem (in weak_partial_order) weak_complete_lattice_criterion1:
  assumes top_exists: "EX g. greatest L g (carrier L)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L; A  $\sim$  {} |] ==> EX i. greatest L i (Lower
L A)"

```

```

shows "weak_complete_lattice L"
proof (rule weak_complete_latticeI)
  from top_exists obtain top where top: "greatest L top (carrier L)"
..
  fix A
  assume L: "A  $\subseteq$  carrier L"
  let ?B = "Upper L A"
  from L top have "top  $\in$  ?B" by (fast intro!: Upper_memI intro: greatest_le)
  then have B_non_empty: "?B  $\neq$  {}" by fast
  have B_L: "?B  $\subseteq$  carrier L" by simp
  from inf_exists [OF B_L B_non_empty]
  obtain b where b_inf_B: "greatest L b (Lower L ?B)" ..
  have "least L b (Upper L A)"
apply (rule least_UpperI)
  apply (rule greatest_le [where A = "Lower L ?B"])
  apply (rule b_inf_B)
  apply (rule Lower_memI)
  apply (erule Upper_memD [THEN conjunct1])
  apply assumption
  apply (rule L)
  apply (fast intro: L [THEN subsetD])
  apply (erule greatest_Lower_below [OF b_inf_B])
  apply simp
  apply (rule L)
apply (rule greatest_closed [OF b_inf_B])
done
  then show "EX s. least L s (Upper L A)" ..
next
  fix A
  assume L: "A  $\subseteq$  carrier L"
  show "EX i. greatest L i (Lower L A)"
  proof (cases "A = {}")
    case True then show ?thesis
      by (simp add: top_exists)
  next
    case False with L show ?thesis
      by (rule inf_exists)
  qed
qed

```

2.5 Orders and Lattices where eq is the Equality

```

locale partial_order = weak_partial_order +
  assumes eq_is_equal: "op .= = op ="
begin

declare weak_le_antisym [rule del]

lemma le_antisym [intro]:

```

```
"[| x  $\sqsubseteq$  y; y  $\sqsubseteq$  x; x  $\in$  carrier L; y  $\in$  carrier L |] ==> x = y"
using weak_le_antisym unfolding eq_is_equal .
```

```
lemma lless_eq:
  "x  $\sqsubset$  y  $\longleftrightarrow$  x  $\sqsubseteq$  y & x  $\neq$  y"
  unfolding lless_def by (simp add: eq_is_equal)
```

```
lemma lless_asym:
  assumes "a  $\in$  carrier L" "b  $\in$  carrier L"
    and "a  $\sqsubset$  b" "b  $\sqsubset$  a"
  shows "P"
  using assms unfolding lless_eq by auto
```

end

Least and greatest, as predicate

```
lemma (in partial_order) least_unique:
  "[| least L x A; least L y A |] ==> x = y"
  using weak_least_unique unfolding eq_is_equal .
```

```
lemma (in partial_order) greatest_unique:
  "[| greatest L x A; greatest L y A |] ==> x = y"
  using weak_greatest_unique unfolding eq_is_equal .
```

Lattices

```
locale upper_semilattice = partial_order +
  assumes sup_of_two_exists:
    "[| x  $\in$  carrier L; y  $\in$  carrier L |] ==> EX s. least L s (Upper L {x, y})"
```

```
sublocale upper_semilattice < weak?: weak_upper_semilattice
  by standard (rule sup_of_two_exists)
```

```
locale lower_semilattice = partial_order +
  assumes inf_of_two_exists:
    "[| x  $\in$  carrier L; y  $\in$  carrier L |] ==> EX s. greatest L s (Lower L {x, y})"
```

```
sublocale lower_semilattice < weak?: weak_lower_semilattice
  by standard (rule inf_of_two_exists)
```

```
locale lattice = upper_semilattice + lower_semilattice
```

Supremum

```
declare (in partial_order) weak_sup_of_singleton [simp del]
```

```
lemma (in partial_order) sup_of_singleton [simp]:
  "x  $\in$  carrier L ==>  $\bigsqcup$ {x} = x"
  using weak_sup_of_singleton unfolding eq_is_equal .
```

```
lemma (in upper_semilattice) join_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊔ (y ⊔ z) = ⊔{x, y, z}"
  using weak_join_assoc_lemma L unfolding eq_is_equal .
```

```
lemma (in upper_semilattice) join_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⊔ y) ⊔ z = x ⊔ (y ⊔ z)"
  using weak_join_assoc L unfolding eq_is_equal .
```

Infimum

```
declare (in partial_order) weak_inf_of_singleton [simp del]
```

```
lemma (in partial_order) inf_of_singleton [simp]:
  "x ∈ carrier L ==> ⊓{x} = x"
  using weak_inf_of_singleton unfolding eq_is_equal .
```

Condition on A: infimum exists.

```
lemma (in lower_semilattice) meet_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊓ (y ⊓ z) = ⊓{x, y, z}"
  using weak_meet_assoc_lemma L unfolding eq_is_equal .
```

```
lemma (in lower_semilattice) meet_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⊓ y) ⊓ z = x ⊓ (y ⊓ z)"
  using weak_meet_assoc L unfolding eq_is_equal .
```

Total Orders

```
locale total_order = partial_order +
  assumes total_order_total: "[| x ∈ carrier L; y ∈ carrier L |] ==>
x ⊑ y | y ⊑ x"
```

```
sublocale total_order < weak?: weak_total_order
  by standard (rule total_order_total)
```

Introduction rule: the usual definition of total order

```
lemma (in partial_order) total_orderI:
  assumes total: "!!x y. [| x ∈ carrier L; y ∈ carrier L |] ==> x ⊑
y | y ⊑ x"
  shows "total_order L"
  by standard (rule total)
```

Total orders are lattices.

```
sublocale total_order < weak?: lattice
  by standard (auto intro: sup_of_two_exists inf_of_two_exists)
```

Complete lattices

```

locale complete_lattice = lattice +
  assumes sup_exists:
    "[| A  $\subseteq$  carrier L |] ==> EX s. least L s (Upper L A)"
  and inf_exists:
    "[| A  $\subseteq$  carrier L |] ==> EX i. greatest L i (Lower L A)"

sublocale complete_lattice < weak?: weak_complete_lattice
  by standard (auto intro: sup_exists inf_exists)

Introduction rule: the usual definition of complete lattice

lemma (in partial_order) complete_latticeI:
  assumes sup_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==> EX s. least L s (Upper L A)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==> EX i. greatest L i (Lower L A)"
  shows "complete_lattice L"
  by standard (auto intro: sup_exists inf_exists)

theorem (in partial_order) complete_lattice_criterion1:
  assumes top_exists: "EX g. greatest L g (carrier L)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L; A  $\neq$  {} |] ==> EX i. greatest L i (Lower
L A)"
  shows "complete_lattice L"
proof (rule complete_latticeI)
  from top_exists obtain top where top: "greatest L top (carrier L)"
  ..
  fix A
  assume L: "A  $\subseteq$  carrier L"
  let ?B = "Upper L A"
  from L top have "top  $\in$  ?B" by (fast intro!: Upper_memI intro: greatest_le)
  then have B_non_empty: "?B  $\neq$  {}" by fast
  have B_L: "?B  $\subseteq$  carrier L" by simp
  from inf_exists [OF B_L B_non_empty]
  obtain b where b_inf_B: "greatest L b (Lower L ?B)" ..
  have "least L b (Upper L A)"
apply (rule least_UpperI)
  apply (rule greatest_le [where A = "Lower L ?B"])
  apply (rule b_inf_B)
  apply (rule Lower_memI)
  apply (erule Upper_memD [THEN conjunct1])
  apply assumption
  apply (rule L)
  apply (fast intro: L [THEN subsetD])
  apply (erule greatest_Lower_below [OF b_inf_B])
  apply simp
  apply (rule L)
apply (rule greatest_closed [OF b_inf_B])
done

```

```

    then show "EX s. least L s (Upper L A)" ..
next
  fix A
  assume L: "A  $\subseteq$  carrier L"
  show "EX i. greatest L i (Lower L A)"
  proof (cases "A = {}")
    case True then show ?thesis
      by (simp add: top_exists)
    next
      case False with L show ?thesis
        by (rule inf_exists)
  qed
qed

```

2.6 Examples

2.6.1 The Powerset of a Set is a Complete Lattice

```

theorem powerset_is_complete_lattice:
  "complete_lattice (carrier = Pow A, eq = op =, le = op  $\subseteq$ )"
  (is "complete_lattice ?L")
proof (rule partial_order.complete_latticeI)
  show "partial_order ?L"
    by standard auto
next
  fix B
  assume "B  $\subseteq$  carrier ?L"
  then have "least ?L ( $\bigcup$ B) (Upper ?L B)"
    by (fastforce intro!: least_UpperI simp: Upper_def)
  then show "EX s. least ?L s (Upper ?L B)" ..
next
  fix B
  assume "B  $\subseteq$  carrier ?L"
  then have "greatest ?L ( $\bigcap$ B  $\cap$  A) (Lower ?L B)"

```

\bigcap B is not the infimum of B: $\bigcap \{\} = \text{UNIV}$ which is in general bigger than A!

```

  by (fastforce intro!: greatest_LowerI simp: Lower_def)
  then show "EX i. greatest ?L i (Lower ?L B)" ..
qed

```

An other example, that of the lattice of subgroups of a group, can be found in Group theory (Section 3.8).

end

```

theory Group
imports Lattice "~/src/HOL/Library/FuncSet"
begin

```

3 Monoids and Groups

3.1 Definitions

Definitions follow [2].

```
record 'a monoid = "'a partial_object" +
  mult    :: "[ 'a, 'a ] => 'a" (infixl "⊗" 70)
  one     :: 'a ("1")
```

definition

```
m_inv :: "('a, 'b) monoid_scheme => 'a => 'a" ("inv" [81] 80)
where "inv x = (THE y. y ∈ carrier G & x ⊗ y = 1 & y ⊗ x = 1)"
```

definition

```
Units :: "_ => 'a set"
— The set of invertible elements
where "Units G = {y. y ∈ carrier G & (∃ x ∈ carrier G. x ⊗ y = 1 & y ⊗ x = 1)}"
```

consts

```
pow :: "[('a, 'm) monoid_scheme, 'a, 'b::semiring_1] => 'a" (infixr
"^(^)" 75)
```

overloading nat_pow == "pow :: [_, 'a, nat] => 'a"

begin

```
definition "nat_pow G a n = rec_nat 1 (λ b. b ⊗ a) n"
```

end

overloading int_pow == "pow :: [_, 'a, int] => 'a"

begin

```
definition "int_pow G a z =
  (let p = rec_nat 1 (λ b. b ⊗ a)
   in if z < 0 then inv (p (nat (-z))) else p (nat z))"
```

end

lemma int_pow_int: "x (^)G (int n) = x (^)G n"

by(simp add: int_pow_def nat_pow_def)

locale monoid =

fixes G (structure)

assumes m_closed [intro, simp]:

```
"[x ∈ carrier G; y ∈ carrier G] ⇒ x ⊗ y ∈ carrier G"
```

and m_assoc:

```
"[x ∈ carrier G; y ∈ carrier G; z ∈ carrier G]
 ⇒ (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
```

and one_closed [intro, simp]: "1 ∈ carrier G"

and l_one [simp]: "x ∈ carrier G ⇒ 1 ⊗ x = x"

and r_one [simp]: "x ∈ carrier G ⇒ x ⊗ 1 = x"


```

lemma monoidI:
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
  and one_closed: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and r_one: "!!x. x ∈ carrier G ==> x ⊗ 1 = x"
  shows "monoid G"
  by (fast intro!: monoid.intro intro: assms)

lemma (in monoid) Units_closed [dest]:
  "x ∈ Units G ==> x ∈ carrier G"
  by (unfold Units_def) fast

lemma (in monoid) inv_unique:
  assumes eq: "y ⊗ x = 1" "x ⊗ y' = 1"
  and G: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
  shows "y = y'"
proof -
  from G eq have "y = y ⊗ (x ⊗ y')" by simp
  also from G have "... = (y ⊗ x) ⊗ y'" by (simp add: m_assoc)
  also from G eq have "... = y'" by simp
  finally show ?thesis .
qed

lemma (in monoid) Units_m_closed [intro, simp]:
  assumes x: "x ∈ Units G" and y: "y ∈ Units G"
  shows "x ⊗ y ∈ Units G"
proof -
  from x obtain x' where x: "x ∈ carrier G" "x' ∈ carrier G" and xinv:
"x ⊗ x' = 1" "x' ⊗ x = 1"
  unfolding Units_def by fast
  from y obtain y' where y: "y ∈ carrier G" "y' ∈ carrier G" and yinv:
"y ⊗ y' = 1" "y' ⊗ y = 1"
  unfolding Units_def by fast
  from x y xinv yinv have "y' ⊗ (x' ⊗ x) ⊗ y = 1" by simp
  moreover from x y xinv yinv have "x ⊗ (y ⊗ y') ⊗ x' = 1" by simp
  moreover note x y
  ultimately show ?thesis unfolding Units_def
  — Must avoid premature use of hyp_subst_tac.
  apply (rule_tac CollectI)
  apply (rule)
  apply (fast)
  apply (rule bexI [where x = "y' ⊗ x'"])
  apply (auto simp: m_assoc)

```

```

done
qed

lemma (in monoid) Units_one_closed [intro, simp]:
  "1 ∈ Units G"
  by (unfold Units_def) auto

lemma (in monoid) Units_inv_closed [intro, simp]:
  "x ∈ Units G ==> inv x ∈ carrier G"
  apply (unfold Units_def m_inv_def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv_unique, fast)
done

lemma (in monoid) Units_l_inv_ex:
  "x ∈ Units G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  by (unfold Units_def) auto

lemma (in monoid) Units_r_inv_ex:
  "x ∈ Units G ==> ∃y ∈ carrier G. x ⊗ y = 1"
  by (unfold Units_def) auto

lemma (in monoid) Units_l_inv [simp]:
  "x ∈ Units G ==> inv x ⊗ x = 1"
  apply (unfold Units_def m_inv_def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv_unique, fast)
done

lemma (in monoid) Units_r_inv [simp]:
  "x ∈ Units G ==> x ⊗ inv x = 1"
  apply (unfold Units_def m_inv_def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv_unique, fast)
done

lemma (in monoid) Units_inv_Units [intro, simp]:
  "x ∈ Units G ==> inv x ∈ Units G"
proof -
  assume x: "x ∈ Units G"
  show "inv x ∈ Units G"
  by (auto simp add: Units_def
    intro: Units_l_inv Units_r_inv x Units_closed [OF x])
qed

lemma (in monoid) Units_l_cancel [simp]:
  "[| x ∈ Units G; y ∈ carrier G; z ∈ carrier G |] ==>
  (x ⊗ y = x ⊗ z) = (y = z)"
proof

```

```

    assume eq: "x ⊗ y = x ⊗ z"
      and G: "x ∈ Units G" "y ∈ carrier G" "z ∈ carrier G"
    then have "(inv x ⊗ x) ⊗ y = (inv x ⊗ x) ⊗ z"
      by (simp add: m_assoc Units_closed del: Units_l_inv)
    with G show "y = z" by simp
  next
    assume eq: "y = z"
      and G: "x ∈ Units G" "y ∈ carrier G" "z ∈ carrier G"
    then show "x ⊗ y = x ⊗ z" by simp
  qed

lemma (in monoid) Units_inv_inv [simp]:
  "x ∈ Units G ==> inv (inv x) = x"
proof -
  assume x: "x ∈ Units G"
  then have "inv x ⊗ inv (inv x) = inv x ⊗ x" by simp
  with x show ?thesis by (simp add: Units_closed del: Units_l_inv Units_r_inv)
qed

lemma (in monoid) inv_inj_on_Units:
  "inj_on (m_inv G) (Units G)"
proof (rule inj_onI)
  fix x y
  assume G: "x ∈ Units G" "y ∈ Units G" and eq: "inv x = inv y"
  then have "inv (inv x) = inv (inv y)" by simp
  with G show "x = y" by simp
qed

lemma (in monoid) Units_inv_comm:
  assumes inv: "x ⊗ y = 1"
  and G: "x ∈ Units G" "y ∈ Units G"
  shows "y ⊗ x = 1"
proof -
  from G have "x ⊗ y ⊗ x = x ⊗ 1" by (auto simp add: inv Units_closed)
  with G show ?thesis by (simp del: r_one add: m_assoc Units_closed)
qed

lemma (in monoid) carrier_not_empty: "carrier G ≠ {}"
by auto

Power

lemma (in monoid) nat_pow_closed [intro, simp]:
  "x ∈ carrier G ==> x (^) (n::nat) ∈ carrier G"
  by (induct n) (simp_all add: nat_pow_def)

lemma (in monoid) nat_pow_0 [simp]:
  "x (^) (0::nat) = 1"
  by (simp add: nat_pow_def)

```

```

lemma (in monoid) nat_pow_Suc [simp]:
  "x (^) (Suc n) = x (^) n ⊗ x"
  by (simp add: nat_pow_def)

lemma (in monoid) nat_pow_one [simp]:
  "1 (^) (n::nat) = 1"
  by (induct n) simp_all

lemma (in monoid) nat_pow_mult:
  "x ∈ carrier G ==> x (^) (n::nat) ⊗ x (^) m = x (^) (n + m)"
  by (induct m) (simp_all add: m_assoc [THEN sym])

lemma (in monoid) nat_pow_pow:
  "x ∈ carrier G ==> (x (^) n) (^) m = x (^) (n * m::nat)"
  by (induct m) (simp, simp add: nat_pow_mult add.commute)

```

3.2 Groups

A group is a monoid all of whose elements are invertible.

```

locale group = monoid +
  assumes Units: "carrier G <= Units G"

```

```

lemma (in group) is_group: "group G" by (rule group_axioms)

```

```

theorem groupI:
  fixes G (structure)
  assumes m_closed [simp]:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
  and one_closed [simp]: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and l_one [simp]: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and l_inv_ex: "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  shows "group G"
proof -
  have l_cancel [simp]:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y = x ⊗ z) = (y = z)"
  proof
    fix x y z
    assume eq: "x ⊗ y = x ⊗ z"
    and G: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
    with l_inv_ex obtain x_inv where xG: "x_inv ∈ carrier G"
    and l_inv: "x_inv ⊗ x = 1" by fast
    from G eq xG have "(x_inv ⊗ x) ⊗ y = (x_inv ⊗ x) ⊗ z"
    by (simp add: m_assoc)
    with G show "y = z" by (simp add: l_inv)
  qed

```

```

next
  fix x y z
  assume eq: "y = z"
  and G: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  then show "x ⊗ y = x ⊗ z" by simp
qed
have r_one:
  "!!x. x ∈ carrier G ==> x ⊗ 1 = x"
proof -
  fix x
  assume x: "x ∈ carrier G"
  with l_inv_ex obtain x_inv where xG: "x_inv ∈ carrier G"
  and l_inv: "x_inv ⊗ x = 1" by fast
  from x xG have "x_inv ⊗ (x ⊗ 1) = x_inv ⊗ x"
  by (simp add: m_assoc [symmetric] l_inv)
  with x xG show "x ⊗ 1 = x" by simp
qed
have inv_ex:
  "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1 & x ⊗ y = 1"
proof -
  fix x
  assume x: "x ∈ carrier G"
  with l_inv_ex obtain y where y: "y ∈ carrier G"
  and l_inv: "y ⊗ x = 1" by fast
  from x y have "y ⊗ (x ⊗ y) = y ⊗ 1"
  by (simp add: m_assoc [symmetric] l_inv r_one)
  with x y have r_inv: "x ⊗ y = 1"
  by simp
  from x y show "∃y ∈ carrier G. y ⊗ x = 1 & x ⊗ y = 1"
  by (fast intro: l_inv r_inv)
qed
then have carrier_subset_Units: "carrier G ≤ Units G"
  by (unfold Units_def) fast
show ?thesis
  by standard (auto simp: r_one m_assoc carrier_subset_Units)
qed

lemma (in monoid) group_l_invI:
  assumes l_inv_ex:
    "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  shows "group G"
  by (rule groupI) (auto intro: m_assoc l_inv_ex)

lemma (in group) Units_eq [simp]:
  "Units G = carrier G"
proof
  show "Units G ≤ carrier G" by fast
next
  show "carrier G ≤ Units G" by (rule Units)

```

qed

```
lemma (in group) inv_closed [intro, simp]:
  "x ∈ carrier G ==> inv x ∈ carrier G"
  using Units_inv_closed by simp
```

```
lemma (in group) l_inv_ex [simp]:
  "x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  using Units_l_inv_ex by simp
```

```
lemma (in group) r_inv_ex [simp]:
  "x ∈ carrier G ==> ∃y ∈ carrier G. x ⊗ y = 1"
  using Units_r_inv_ex by simp
```

```
lemma (in group) l_inv [simp]:
  "x ∈ carrier G ==> inv x ⊗ x = 1"
  using Units_l_inv by simp
```

3.3 Cancellation Laws and Basic Properties

```
lemma (in group) l_cancel [simp]:
  "[| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (x ⊗ y = x ⊗ z) = (y = z)"
  using Units_l_inv by simp
```

```
lemma (in group) r_inv [simp]:
  "x ∈ carrier G ==> x ⊗ inv x = 1"
```

proof -

```
  assume x: "x ∈ carrier G"
  then have "inv x ⊗ (x ⊗ inv x) = inv x ⊗ 1"
    by (simp add: m_assoc [symmetric])
  with x show ?thesis by (simp del: r_one)
```

qed

```
lemma (in group) r_cancel [simp]:
  "[| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (y ⊗ x = z ⊗ x) = (y = z)"
```

proof

```
  assume eq: "y ⊗ x = z ⊗ x"
  and G: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  then have "y ⊗ (x ⊗ inv x) = z ⊗ (x ⊗ inv x)"
    by (simp add: m_assoc [symmetric] del: r_inv Units_r_inv)
  with G show "y = z" by simp
```

next

```
  assume eq: "y = z"
  and G: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  then show "y ⊗ x = z ⊗ x" by simp
```

qed

```

lemma (in group) inv_one [simp]:
  "inv 1 = 1"
proof -
  have "inv 1 = 1  $\otimes$  (inv 1)" by (simp del: r_inv Units_r_inv)
  moreover have "... = 1" by simp
  finally show ?thesis .
qed

lemma (in group) inv_inv [simp]:
  "x  $\in$  carrier G ==> inv (inv x) = x"
  using Units_inv_inv by simp

lemma (in group) inv_inj:
  "inj_on (m_inv G) (carrier G)"
  using inv_inj_on_Units by simp

lemma (in group) inv_mult_group:
  "[| x  $\in$  carrier G; y  $\in$  carrier G |] ==> inv (x  $\otimes$  y) = inv y  $\otimes$  inv x"
proof -
  assume G: "x  $\in$  carrier G" "y  $\in$  carrier G"
  then have "inv (x  $\otimes$  y)  $\otimes$  (x  $\otimes$  y) = (inv y  $\otimes$  inv x)  $\otimes$  (x  $\otimes$  y)"
    by (simp add: m_assoc) (simp add: m_assoc [symmetric])
  with G show ?thesis by (simp del: l_inv Units_l_inv)
qed

lemma (in group) inv_comm:
  "[| x  $\otimes$  y = 1; x  $\in$  carrier G; y  $\in$  carrier G |] ==> y  $\otimes$  x = 1"
  by (rule Units_inv_comm) auto

lemma (in group) inv_equality:
  "[|y  $\otimes$  x = 1; x  $\in$  carrier G; y  $\in$  carrier G|] ==> inv x = y"
apply (simp add: m_inv_def)
apply (rule the_equality)
  apply (simp add: inv_comm [of y x])
apply (rule r_cancel [THEN iffD1], auto)
done

lemma (in group) inv_solve_left:
  "[| a  $\in$  carrier G; b  $\in$  carrier G; c  $\in$  carrier G |] ==> a = inv b  $\otimes$  c
 $\longleftrightarrow$  c = b  $\otimes$  a"
  by (metis inv_equality l_inv_ex l_one m_assoc r_inv)
lemma (in group) inv_solve_right:
  "[| a  $\in$  carrier G; b  $\in$  carrier G; c  $\in$  carrier G |] ==> a = b  $\otimes$  inv c
 $\longleftrightarrow$  b = a  $\otimes$  c"
  by (metis inv_equality l_inv_ex l_one m_assoc r_inv)

Power

lemma (in group) int_pow_def2:

```

```
"a (^) (z::int) = (if z < 0 then inv (a (^) (nat (-z))) else a (^) (nat z))"
```

```
by (simp add: int_pow_def nat_pow_def Let_def)
```

```
lemma (in group) int_pow_0 [simp]:
```

```
"x (^) (0::int) = 1"
```

```
by (simp add: int_pow_def2)
```

```
lemma (in group) int_pow_one [simp]:
```

```
"1 (^) (z::int) = 1"
```

```
by (simp add: int_pow_def2)
```

```
lemma (in group) int_pow_closed [intro, simp]:
```

```
"x ∈ carrier G ==> x (^) (i::int) ∈ carrier G"
```

```
by (simp add: int_pow_def2)
```

```
lemma (in group) int_pow_1 [simp]:
```

```
"x ∈ carrier G ==> x (^) (1::int) = x"
```

```
by (simp add: int_pow_def2)
```

```
lemma (in group) int_pow_neg:
```

```
"x ∈ carrier G ==> x (^) (-i::int) = inv (x (^) i)"
```

```
by (simp add: int_pow_def2)
```

```
lemma (in group) int_pow_mult:
```

```
"x ∈ carrier G ==> x (^) (i + j::int) = x (^) i ⊗ x (^) j"
```

```
proof -
```

```
  have [simp]: "-i - j = -j - i" by simp
```

```
  assume "x : carrier G" then
```

```
  show ?thesis
```

```
    by (auto simp add: int_pow_def2 inv_solve_left inv_solve_right nat_add_distrib
[symmetric] nat_pow_mult )
```

```
qed
```

```
lemma (in group) int_pow_diff:
```

```
"x ∈ carrier G ==> x (^) (n - m :: int) = x (^) n ⊗ inv (x (^) m)"
```

```
by(simp only: diff_conv_add_uminus int_pow_mult int_pow_neg)
```

```
lemma (in group) inj_on_multc: "c ∈ carrier G ==> inj_on (λx. x ⊗ c)
(carrier G)"
```

```
by(simp add: inj_on_def)
```

```
lemma (in group) inj_on_cmult: "c ∈ carrier G ==> inj_on (λx. c ⊗ x)
(carrier G)"
```

```
by(simp add: inj_on_def)
```


3.4 Subgroups

```

locale subgroup =
  fixes H and G (structure)
  assumes subset: "H  $\subseteq$  carrier G"
    and m_closed [intro, simp]: "[x  $\in$  H; y  $\in$  H]  $\implies$  x  $\otimes$  y  $\in$  H"
    and one_closed [simp]: "1  $\in$  H"
    and m_inv_closed [intro,simp]: "x  $\in$  H  $\implies$  inv x  $\in$  H"

lemma (in subgroup) is_subgroup:
  "subgroup H G" by (rule subgroup_axioms)

declare (in subgroup) group.intro [intro]

lemma (in subgroup) mem_carrier [simp]:
  "x  $\in$  H  $\implies$  x  $\in$  carrier G"
  using subset by blast

lemma subgroup_imp_subset:
  "subgroup H G  $\implies$  H  $\subseteq$  carrier G"
  by (rule subgroup.subset)

lemma (in subgroup) subgroup_is_group [intro]:
  assumes "group G"
  shows "group (G(carrier := H))"
proof -
  interpret group G by fact
  show ?thesis
    apply (rule monoid.group_l_invI)
    apply (unfold_locales) [1]
    apply (auto intro: m_assoc l_inv mem_carrier)
  done
qed

Since H is nonempty, it contains some element x. Since it is closed under
inverse, it contains inv x. Since it is closed under product, it contains x  $\otimes$ 
inv x = 1.

lemma (in group) one_in_subset:
  "[| H  $\subseteq$  carrier G; H  $\neq$  {};  $\forall$  a  $\in$  H. inv a  $\in$  H;  $\forall$  a $\in$ H.  $\forall$  b $\in$ H. a  $\otimes$  b
 $\in$  H |]
   $\implies$  1  $\in$  H"
by force

A characterization of subgroups: closed, non-empty subset.

lemma (in group) subgroupI:
  assumes subset: "H  $\subseteq$  carrier G" and non_empty: "H  $\neq$  {}"
    and inv: "!!a. a  $\in$  H  $\implies$  inv a  $\in$  H"
    and mult: "!!a b. [a  $\in$  H; b  $\in$  H]  $\implies$  a  $\otimes$  b  $\in$  H"
  shows "subgroup H G"

```

```

proof (simp add: subgroup_def assms)
  show "1 ∈ H" by (rule one_in_subset) (auto simp only: assms)
qed

declare monoid.one_closed [iff] group.inv_closed [simp]
  monoid.l_one [simp] monoid.r_one [simp] group.inv_inv [simp]

lemma subgroup_nonempty:
  "~ subgroup {} G"
  by (blast dest: subgroup.one_closed)

lemma (in subgroup) finite_imp_card_positive:
  "finite (carrier G) ==> 0 < card H"
proof (rule classical)
  assume "finite (carrier G)" and a: "~ 0 < card H"
  then have "finite H" by (blast intro: finite_subset [OF subset])
  with is_subgroup a have "subgroup {} G" by simp
  with subgroup_nonempty show ?thesis by contradiction
qed

```

3.5 Direct Products

definition

```

DirProd :: "_ ⇒ _ ⇒ ('a × 'b) monoid" (infixr "××" 80) where
"G ×× H =
  (carrier = carrier G × carrier H,
   mult = (λ(g, h) (g', h'). (g ⊗G g', h ⊗H h')),
   one = (1G, 1H))"

```

lemma DirProd_monoid:

```

assumes "monoid G" and "monoid H"
shows "monoid (G ×× H)"

```

proof -

```

interpret G: monoid G by fact
interpret H: monoid H by fact
from assms
show ?thesis by (unfold monoid_def DirProd_def, auto)

```

qed

Does not use the previous result because it's easier just to use auto.

lemma DirProd_group:

```

assumes "group G" and "group H"
shows "group (G ×× H)"

```

proof -

```

interpret G: group G by fact
interpret H: group H by fact
show ?thesis by (rule groupI)
  (auto intro: G.m_assoc H.m_assoc G.l_inv H.l_inv
    simp add: DirProd_def)

```

qed

```

lemma carrier_DirProd [simp]:
  "carrier (G ×× H) = carrier G × carrier H"
  by (simp add: DirProd_def)

lemma one_DirProd [simp]:
  "1G ×× H = (1G, 1H)"
  by (simp add: DirProd_def)

lemma mult_DirProd [simp]:
  "(g, h) ⊗(G ×× H) (g', h') = (g ⊗G g', h ⊗H h')"
  by (simp add: DirProd_def)

lemma inv_DirProd [simp]:
  assumes "group G" and "group H"
  assumes g: "g ∈ carrier G"
    and h: "h ∈ carrier H"
  shows "m_inv (G ×× H) (g, h) = (invG g, invH h)"
proof -
  interpret G: group G by fact
  interpret H: group H by fact
  interpret Prod: group "G ×× H"
  by (auto intro: DirProd_group group.intro group.axioms assms)
  show ?thesis by (simp add: Prod.inv_equality g h)
qed

```

3.6 Homomorphisms and Isomorphisms

definition

```

hom :: "_ => _ => ('a => 'b) set" where
  "hom G H =
    {h. h ∈ carrier G → carrier H &
      (∀x ∈ carrier G. ∀y ∈ carrier G. h (x ⊗G y) = h x ⊗H h y)}"

```

lemma (in group) hom_compose:

```

  "[[h ∈ hom G H; i ∈ hom H I]] ==> compose (carrier G) i h ∈ hom G I"
  by (fastforce simp add: hom_def compose_def)

```

definition

```

iso :: "_ => _ => ('a => 'b) set" (infixr "≅" 60)
  where "G ≅ H = {h. h ∈ hom G H & bij_betw h (carrier G) (carrier H)}"

```

lemma iso_refl: "(%x. x) ∈ G ≅ G"

```

  by (simp add: iso_def hom_def inj_on_def bij_betw_def Pi_def)

```

lemma (in group) iso_sym:

```

  "h ∈ G ≅ H ==> inv_into (carrier G) h ∈ H ≅ G"
  apply (simp add: iso_def bij_betw_inv_into)

```

```

apply (subgoal_tac "inv_into (carrier G) h ∈ carrier H → carrier G")

prefer 2 apply (simp add: bij_betw_imp_funcset [OF bij_betw_inv_into])

apply (simp add: hom_def bij_betw_def inv_into_f_eq f_inv_into_f Pi_def)
done

```

```

lemma (in group) iso_trans:
  "[|h ∈ G ≅ H; i ∈ H ≅ I|] ==> (compose (carrier G) i h) ∈ G ≅ I"
by (auto simp add: iso_def hom_compose bij_betw_compose)

```

```

lemma DirProd_commute_iso:
  shows "(λ(x,y). (y,x)) ∈ (G ×× H) ≅ (H ×× G)"
by (auto simp add: iso_def hom_def inj_on_def bij_betw_def)

```

```

lemma DirProd_assoc_iso:
  shows "(λ(x,y,z). (x,(y,z))) ∈ (G ×× H ×× I) ≅ (G ×× (H ×× I))"
by (auto simp add: iso_def hom_def inj_on_def bij_betw_def)

```

Basis for homomorphism proofs: we assume two groups G and H , with a homomorphism h between them

```

locale group_hom = G?: group G + H?: group H for G (structure) and H (structure) +
  fixes h
  assumes homh: "h ∈ hom G H"

```

```

lemma (in group_hom) hom_mult [simp]:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> h (x ⊗G y) = h x ⊗H h y"
proof -
  assume "x ∈ carrier G" "y ∈ carrier G"
  with homh [unfolded hom_def] show ?thesis by simp
qed

```

```

lemma (in group_hom) hom_closed [simp]:
  "x ∈ carrier G ==> h x ∈ carrier H"
proof -
  assume "x ∈ carrier G"
  with homh [unfolded hom_def] show ?thesis by auto
qed

```

```

lemma (in group_hom) one_closed [simp]:
  "h 1 ∈ carrier H"
by simp

```

```

lemma (in group_hom) hom_one [simp]:
  "h 1 = 1H"
proof -
  have "h 1 ⊗H 1H = h 1 ⊗H h 1"
  by (simp add: hom_mult [symmetric] del: hom_mult)

```

```

then show ?thesis by (simp del: r_one)
qed

```

```

lemma (in group_hom) inv_closed [simp]:
  "x ∈ carrier G ==> h (inv x) ∈ carrier H"
  by simp

```

```

lemma (in group_hom) hom_inv [simp]:
  "x ∈ carrier G ==> h (inv x) = inv_H (h x)"
proof -
  assume x: "x ∈ carrier G"
  then have "h x ⊗_H h (inv x) = 1_H"
    by (simp add: hom_mult [symmetric] del: hom_mult)
  also from x have "... = h x ⊗_H inv_H (h x)"
    by (simp add: hom_mult [symmetric] del: hom_mult)
  finally have "h x ⊗_H h (inv x) = h x ⊗_H inv_H (h x)" .
  with x show ?thesis by (simp del: H.r_inv H.Units_r_inv)
qed

```

```

lemma (in group) int_pow_is_hom:
  "x ∈ carrier G ==> (op(^) x) ∈ hom (| carrier = UNIV, mult = op +, one
= 0::int |) G "
  unfolding hom_def by (simp add: int_pow_mult)

```

3.7 Commutative Structures

Naming convention: multiplicative structures that are commutative are called *commutative*, additive structures are called *Abelian*.

```

locale comm_monoid = monoid +
  assumes m_comm: "[x ∈ carrier G; y ∈ carrier G] ==> x ⊗ y = y ⊗ x"

```

```

lemma (in comm_monoid) m_lcomm:
  "[x ∈ carrier G; y ∈ carrier G; z ∈ carrier G] ==>
  x ⊗ (y ⊗ z) = y ⊗ (x ⊗ z)"

```

```

proof -
  assume xyz: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  from xyz have "x ⊗ (y ⊗ z) = (x ⊗ y) ⊗ z" by (simp add: m_assoc)
  also from xyz have "... = (y ⊗ x) ⊗ z" by (simp add: m_comm)
  also from xyz have "... = y ⊗ (x ⊗ z)" by (simp add: m_assoc)
  finally show ?thesis .

```

```

qed

```

```

lemmas (in comm_monoid) m_ac = m_assoc m_comm m_lcomm

```

```

lemma comm_monoidI:
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier

```

```

G"
  and one_closed: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
      (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and m_comm:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
shows "comm_monoid G"
using l_one
by (auto intro!: comm_monoid.intro comm_monoid_axioms.intro monoid.intro

      intro: assms simp: m_closed one_closed m_comm)

lemma (in monoid) monoid_comm_monoidI:
  assumes m_comm:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
  shows "comm_monoid G"
  by (rule comm_monoidI) (auto intro: m_assoc m_comm)

lemma (in comm_monoid) nat_pow_distr:
  "[| x ∈ carrier G; y ∈ carrier G |] ==>
    (x ⊗ y) (^) (n:nat) = x (^) n ⊗ y (^) n"
  by (induct n) (simp, simp add: m_ac)

locale comm_group = comm_monoid + group

lemma (in group) group_comm_groupI:
  assumes m_comm: "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==>
    x ⊗ y = y ⊗ x"
  shows "comm_group G"
  by standard (simp_all add: m_comm)

lemma comm_groupI:
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
  and one_closed: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
      (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and m_comm:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
  and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and l_inv_ex: "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  shows "comm_group G"

```

```
by (fast intro: group.group_comm_groupI groupI assms)
```

```
lemma (in comm_group) inv_mult:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> inv (x ⊗ y) = inv x ⊗ inv y"
  by (simp add: m_ac inv_mult_group)
```

3.8 The Lattice of Subgroups of a Group

```
theorem (in group) subgroups_partial_order:
  "partial_order (|carrier = {H. subgroup H G}, eq = op =, le = op ⊆)"
  by standard simp_all
```

```
lemma (in group) subgroup_self:
  "subgroup (carrier G) G"
  by (rule subgroupI) auto
```

```
lemma (in group) subgroup_imp_group:
  "subgroup H G ==> group (G(|carrier := H))"
  by (erule subgroup.subgroup_is_group) (rule group_axioms)
```

```
lemma (in group) is_monoid [intro, simp]:
  "monoid G"
  by (auto intro: monoid.intro m_assoc)
```

```
lemma (in group) subgroup_inv_equality:
  "[| subgroup H G; x ∈ H |] ==> m_inv (G(|carrier := H)) x = inv x"
  apply (rule_tac inv_equality [THEN sym])
  apply (rule group.l_inv [OF subgroup_imp_group, simplified], assumption+)
  apply (rule subsetD [OF subgroup.subset], assumption+)
  apply (rule subsetD [OF subgroup.subset], assumption)
  apply (rule_tac group.inv_closed [OF subgroup_imp_group, simplified],
  assumption+)
done
```

```
theorem (in group) subgroups_Inter:
  assumes subgr: "(!!H. H ∈ A ==> subgroup H G)"
  and not_empty: "A ~= {}"
  shows "subgroup (⋂ A) G"
proof (rule subgroupI)
  from subgr [THEN subgroup.subset] and not_empty
  show "⋂ A ⊆ carrier G" by blast
next
  from subgr [THEN subgroup.one_closed]
  show "⋂ A ~= {}" by blast
next
  fix x assume "x ∈ ⋂ A"
  with subgr [THEN subgroup.m_inv_closed]
  show "inv x ∈ ⋂ A" by blast
next
```

```

fix x y assume "x ∈ ⋂ A" "y ∈ ⋂ A"
with subgr [THEN subgroup.m_closed]
show "x ⊗ y ∈ ⋂ A" by blast
qed

theorem (in group) subgroups_complete_lattice:
  "complete_lattice (carrier = {H. subgroup H G}, eq = op =, le = op ⊆)"
  (is "complete_lattice ?L")
proof (rule partial_order.complete_lattice_criterion1)
  show "partial_order ?L" by (rule subgroups_partial_order)
next
  have "greatest ?L (carrier G) (carrier ?L)"
  by (unfold greatest_def) (simp add: subgroup.subset subgroup_self)
  then show "∃ G. greatest ?L G (carrier ?L)" ..
next
  fix A
  assume L: "A ⊆ carrier ?L" and non_empty: "A ≠ {}"
  then have Int_subgroup: "subgroup (⋂ A) G"
  by (fastforce intro: subgroups_Inter)
  have "greatest ?L (⋂ A) (Lower ?L A)" (is "greatest _ ?Int _")
  proof (rule greatest_LowerI)
    fix H
    assume H: "H ∈ A"
    with L have subgroupH: "subgroup H G" by auto
    from subgroupH have groupH: "group (G (carrier := H))" (is "group
?H")
    by (rule subgroup_imp_group)
    from groupH have monoidH: "monoid ?H"
    by (rule group.is_monoid)
    from H have Int_subset: "?Int ⊆ H" by fastforce
    then show "le ?L ?Int H" by simp
  next
    fix H
    assume H: "H ∈ Lower ?L A"
    with L Int_subgroup show "le ?L H ?Int"
    by (fastforce simp: Lower_def intro: Inter_greatest)
  next
    show "A ⊆ carrier ?L" by (rule L)
  next
    show "?Int ∈ carrier ?L" by simp (rule Int_subgroup)
  qed
  then show "∃ I. greatest ?L I (Lower ?L A)" ..
qed

end

theory FiniteProduct
imports Group

```


begin

3.9 Product Operator for Commutative Monoids

3.9.1 Inductive Definition of a Relation for Products over Sets

Instantiation of locale LC of theory `Finite_Set` is not possible, because here we have explicit typing rules like $x \in \text{carrier } G$. We introduce an explicit argument for the domain D .

inductive_set

```
foldSetD :: "[ 'a set, 'b => 'a => 'a, 'a ] => ('b set * 'a) set"
for D :: "'a set" and f :: "'b => 'a => 'a" and e :: 'a
where
  emptyI [intro]: "e ∈ D ==> ({}, e) ∈ foldSetD D f e"
  | insertI [intro]: "[| x ~: A; f x y ∈ D; (A, y) ∈ foldSetD D f e |]
==>
      (insert x A, f x y) ∈ foldSetD D f e"
```

```
inductive_cases empty_foldSetDE [elim!]: "{}, x) ∈ foldSetD D f e"
```

definition

```
foldD :: "[ 'a set, 'b => 'a => 'a, 'a, 'b set ] => 'a"
where "foldD D f e A = (THE x. (A, x) ∈ foldSetD D f e)"
```

lemma foldSetD_closed:

```
"[| (A, z) ∈ foldSetD D f e ; e ∈ D; !!x y. [| x ∈ A; y ∈ D |] ==>
f x y ∈ D
  |] ==> z ∈ D"
by (erule foldSetD.cases) auto
```

lemma Diff1_foldSetD:

```
"[| (A - {x}, y) ∈ foldSetD D f e; x ∈ A; f x y ∈ D |] ==>
(A, f x y) ∈ foldSetD D f e"
apply (erule insert_Diff [THEN subst], rule foldSetD.intros)
  apply auto
done
```

lemma foldSetD_imp_finite [simp]: "(A, x) ∈ foldSetD D f e ==> finite A"

```
by (induct set: foldSetD) auto
```

lemma finite_imp_foldSetD:

```
"[| finite A; e ∈ D; !!x y. [| x ∈ A; y ∈ D |] ==> f x y ∈ D |] ==>
EX x. (A, x) ∈ foldSetD D f e"
```

proof (induct set: finite)

```
  case empty then show ?case by auto
```

next

```
  case (insert x F)
```

```
  then obtain y where y: "(F, y) ∈ foldSetD D f e" by auto
```

```

with insert have "y ∈ D" by (auto dest: foldSetD_closed)
with y and insert have "(insert x F, f x y) ∈ foldSetD D f e"
  by (intro foldSetD.intros) auto
then show ?case ..
qed

```

Left-Commutative Operations

```

locale LCD =
  fixes B :: "'b set"
  and D :: "'a set"
  and f :: "'b => 'a => 'a"    (infixl "." 70)
  assumes left_commute:
    "[| x ∈ B; y ∈ B; z ∈ D |] ==> x · (y · z) = y · (x · z)"
  and f_closed [simp, intro!]: "!!x y. [| x ∈ B; y ∈ D |] ==> f x y ∈
D"

```

```

lemma (in LCD) foldSetD_closed [dest]:
  "(A, z) ∈ foldSetD D f e ==> z ∈ D"
  by (erule foldSetD.cases) auto

```

```

lemma (in LCD) Diff1_foldSetD:
  "[| (A - {x}, y) ∈ foldSetD D f e; x ∈ A; A ⊆ B |] ==>
(A, f x y) ∈ foldSetD D f e"
  apply (subgoal_tac "x ∈ B")
  prefer 2 apply fast
  apply (erule insert_Diff [THEN subst], rule foldSetD.intros)
  apply auto
done

```

```

lemma (in LCD) foldSetD_imp_finite [simp]:
  "(A, x) ∈ foldSetD D f e ==> finite A"
  by (induct set: foldSetD) auto

```

```

lemma (in LCD) finite_imp_foldSetD:
  "[| finite A; A ⊆ B; e ∈ D |] ==> EX x. (A, x) ∈ foldSetD D f e"
proof (induct set: finite)
  case empty then show ?case by auto
next
  case (insert x F)
  then obtain y where y: "(F, y) ∈ foldSetD D f e" by auto
  with insert have "y ∈ D" by auto
  with y and insert have "(insert x F, f x y) ∈ foldSetD D f e"
    by (intro foldSetD.intros) auto
  then show ?case ..
qed

```

```

lemma (in LCD) foldSetD_determ_aux:
  "e ∈ D ==> ∀A x. A ⊆ B & card A < n --> (A, x) ∈ foldSetD D f e -->
(∀y. (A, y) ∈ foldSetD D f e --> y = x)"

```

```

apply (induct n)
  apply (auto simp add: less_Suc_eq)
apply (erule foldSetD.cases)
  apply blast
apply (erule foldSetD.cases)
  apply blast
apply clarify

```

force simplification of $\text{card } A < \text{card } (\text{insert } \dots)$.

```

apply (erule rev_mp)
apply (simp add: less_Suc_eq_le)
apply (rule impI)
apply (rename_tac xa Aa ya xb Ab yb, case_tac "xa = xb")
  apply (subgoal_tac "Aa = Ab")
    prefer 2 apply (blast elim!: equalityE)
  apply blast

```

case $xa \notin xb$.

```

apply (subgoal_tac "Aa - {xb} = Ab - {xa} & xb ∈ Aa & xa ∈ Ab")
  prefer 2 apply (blast elim!: equalityE)
apply clarify
apply (subgoal_tac "Aa = insert xb Ab - {xa}")
  prefer 2 apply blast
apply (subgoal_tac "card Aa ≤ card Ab")
  prefer 2
  apply (rule Suc_le_mono [THEN subst])
  apply (simp add: card_Suc_Diff1)
apply (rule_tac A1 = "Aa - {xb}" in finite_imp_foldSetD [THEN exE])
  apply (blast intro: foldSetD_imp_finite)
  apply best
  apply assumption
apply (frule (1) Diff1_foldSetD)
  apply best
apply (subgoal_tac "ya = f xb x")
  prefer 2
  apply (subgoal_tac "Aa ⊆ B")
  prefer 2 apply best
  apply (blast del: equalityCE)
apply (subgoal_tac "(Ab - {xa}, x) ∈ foldSetD D f e")
  prefer 2 apply simp
apply (subgoal_tac "yb = f xa x")
  prefer 2
  apply (blast del: equalityCE dest: Diff1_foldSetD)
apply (simp (no_asm_simp))
apply (rule left_commute)
  apply assumption
  apply best
apply best
done

```

```

lemma (in LCD) foldSetD_determ:
  "[| (A, x) ∈ foldSetD D f e; (A, y) ∈ foldSetD D f e; e ∈ D; A ⊆ B
  |]
  ==> y = x"
  by (blast intro: foldSetD_determ_aux [rule_format])

lemma (in LCD) foldD_equality:
  "[| (A, y) ∈ foldSetD D f e; e ∈ D; A ⊆ B |] ==> foldD D f e A = y"
  by (unfold foldD_def) (blast intro: foldSetD_determ)

lemma foldD_empty [simp]:
  "e ∈ D ==> foldD D f e {} = e"
  by (unfold foldD_def) blast

lemma (in LCD) foldD_insert_aux:
  "[| x ~: A; x ∈ B; e ∈ D; A ⊆ B |] ==>
  ((insert x A, v) ∈ foldSetD D f e) =
  (EX y. (A, y) ∈ foldSetD D f e & v = f x y)"
  apply auto
  apply (rule_tac A1 = A in finite_imp_foldSetD [THEN exE])
  apply (fastforce dest: foldSetD_imp_finite)
  apply assumption
  apply assumption
  apply (blast intro: foldSetD_determ)
  done

lemma (in LCD) foldD_insert:
  "[| finite A; x ~: A; x ∈ B; e ∈ D; A ⊆ B |] ==>
  foldD D f e (insert x A) = f x (foldD D f e A)"
  apply (unfold foldD_def)
  apply (simp add: foldD_insert_aux)
  apply (rule the_equality)
  apply (auto intro: finite_imp_foldSetD
  cong add: conj_cong simp add: foldD_def [symmetric] foldD_equality)
  done

lemma (in LCD) foldD_closed [simp]:
  "[| finite A; e ∈ D; A ⊆ B |] ==> foldD D f e A ∈ D"
  proof (induct set: finite)
  case empty then show ?case by simp
  next
  case insert then show ?case by (simp add: foldD_insert)
  qed

lemma (in LCD) foldD_commute:
  "[| finite A; x ∈ B; e ∈ D; A ⊆ B |] ==>
  f x (foldD D f e A) = foldD D f (f x e) A"
  apply (induct set: finite)

```

```

    apply simp
  apply (auto simp add: left_commute foldD_insert)
done

lemma Int_mono2:
  "[| A ⊆ C; B ⊆ C |] ==> A Int B ⊆ C"
  by blast

lemma (in LCD) foldD_nest_Un_Int:
  "[| finite A; finite C; e ∈ D; A ⊆ B; C ⊆ B |] ==>
  foldD D f (foldD D f e C) A = foldD D f (foldD D f e (A Int C)) (A
Un C)"
  apply (induct set: finite)
  apply simp
  apply (simp add: foldD_insert foldD_commute Int_insert_left insert_absorb
    Int_mono2)
done

lemma (in LCD) foldD_nest_Un_disjoint:
  "[| finite A; finite B; A Int B = {}; e ∈ D; A ⊆ B; C ⊆ B |]
  ==> foldD D f e (A Un B) = foldD D f (foldD D f e B) A"
  by (simp add: foldD_nest_Un_Int)

— Delete rules to do with foldSetD relation.

declare foldSetD_imp_finite [simp del]
  empty_foldSetDE [rule del]
  foldSetD.intros [rule del]
declare (in LCD)
  foldSetD_closed [rule del]

Commutative Monoids

We enter a more restrictive context, with  $f :: 'a \Rightarrow 'a \Rightarrow 'a$  instead of  $'b \Rightarrow 'a \Rightarrow 'a$ .

locale ACeD =
  fixes D :: "'a set"
    and f :: "'a => 'a => 'a"      (infixl "." 70)
    and e :: 'a
  assumes ident [simp]: "x ∈ D ==> x · e = x"
    and commute: "[| x ∈ D; y ∈ D |] ==> x · y = y · x"
    and assoc: "[| x ∈ D; y ∈ D; z ∈ D |] ==> (x · y) · z = x · (y · z)"
    and e_closed [simp]: "e ∈ D"
    and f_closed [simp]: "[| x ∈ D; y ∈ D |] ==> x · y ∈ D"

lemma (in ACeD) left_commute:
  "[| x ∈ D; y ∈ D; z ∈ D |] ==> x · (y · z) = y · (x · z)"
proof -
  assume D: "x ∈ D" "y ∈ D" "z ∈ D"

```

```

then have "x · (y · z) = (y · z) · x" by (simp add: commute)
also from D have "... = y · (z · x)" by (simp add: assoc)
also from D have "z · x = x · z" by (simp add: commute)
finally show ?thesis .
qed

lemmas (in ACeD) AC = assoc commute left_commute

lemma (in ACeD) left_ident [simp]: "x ∈ D ==> e · x = x"
proof -
  assume "x ∈ D"
  then have "x · e = x" by (rule ident)
  with (x ∈ D) show ?thesis by (simp add: commute)
qed

lemma (in ACeD) foldD_Un_Int:
  "[| finite A; finite B; A ⊆ D; B ⊆ D |] ==>
  foldD D f e A · foldD D f e B =
  foldD D f e (A Un B) · foldD D f e (A Int B)"
apply (induct set: finite)
apply (simp add: left_commute LCD.foldD_closed [OF LCD.intro [of D]])
apply (simp add: AC insert_absorb Int_insert_left
  LCD.foldD_insert [OF LCD.intro [of D]]
  LCD.foldD_closed [OF LCD.intro [of D]]
  Int_mono2)
done

lemma (in ACeD) foldD_Un_disjoint:
  "[| finite A; finite B; A Int B = {}; A ⊆ D; B ⊆ D |] ==>
  foldD D f e (A Un B) = foldD D f e A · foldD D f e B"
by (simp add: foldD_Un_Int
  left_commute LCD.foldD_closed [OF LCD.intro [of D]])

```

3.9.2 Products over Finite Sets

definition

```

finprod :: "('b, 'm) monoid_scheme, 'a => 'b, 'a set] => 'b"
where "finprod G f A =
  (if finite A
   then foldD (carrier G) (mult G o f) 1G A
   else 1G)"

```

syntax

```

"_finprod" :: "index => idt => 'a set => 'b => 'b"
  ("(3⊗ __∈. _)" [1000, 0, 51, 10] 10)

```

translations

```

"⊗Gi∈A. b" == "CONST finprod G (%i. b) A"
— Beware of argument permutation!

```

```

lemma (in comm_monoid) finprod_empty [simp]:
  "finprod G f {} = 1"
  by (simp add: finprod_def)

lemma (in comm_monoid) finprod_infinite[simp]:
  "¬ finite A  $\implies$  finprod G f A = 1"
  by (simp add: finprod_def)

declare funcsetI [intro]
  funcset_mem [dest]

context comm_monoid begin

lemma finprod_insert [simp]:
  "[| finite F; a  $\notin$  F; f  $\in$  F  $\rightarrow$  carrier G; f a  $\in$  carrier G |]  $\implies$ 
  finprod G f (insert a F) = f a  $\otimes$  finprod G f F"
  apply (rule trans)
  apply (simp add: finprod_def)
  apply (rule trans)
  apply (rule LCD.foldD_insert [OF LCD.intro [of "insert a F"]])
  apply simp
  apply (rule m_lcomm)
  apply fast
  apply fast
  apply assumption
  apply fastforce
  apply simp+
  apply fast
  apply (auto simp add: finprod_def)
  done

lemma finprod_one [simp]: " $(\bigotimes_{i \in A}. 1) = 1$ "
proof (induct A rule: infinite_finite_induct)
  case empty show ?case by simp
next
  case (insert a A)
  have "(%i. 1)  $\in$  A  $\rightarrow$  carrier G" by auto
  with insert show ?case by simp
qed simp

lemma finprod_closed [simp]:
  fixes A
  assumes f: "f  $\in$  A  $\rightarrow$  carrier G"
  shows "finprod G f A  $\in$  carrier G"
using f
proof (induct A rule: infinite_finite_induct)
  case empty show ?case by simp
next
  case (insert a A)

```

```

then have a: "f a ∈ carrier G" by fast
from insert have A: "f ∈ A → carrier G" by fast
from insert A a show ?case by simp
qed simp

lemma funcset_Int_left [simp, intro]:
  "[| f ∈ A → C; f ∈ B → C |] ==> f ∈ A Int B → C"
  by fast

lemma funcset_Un_left [iff]:
  "(f ∈ A Un B → C) = (f ∈ A → C & f ∈ B → C)"
  by fast

lemma finprod_Un_Int:
  "[| finite A; finite B; g ∈ A → carrier G; g ∈ B → carrier G |] ==>
    finprod G g (A Un B) ⊗ finprod G g (A Int B) =
    finprod G g A ⊗ finprod G g B"
  — The reversed orientation looks more natural, but LOOPS as a simp rule!
proof (induct set: finite)
  case empty then show ?case by simp
next
  case (insert a A)
  then have a: "g a ∈ carrier G" by fast
  from insert have A: "g ∈ A → carrier G" by fast
  from insert A a show ?case
  by (simp add: m_ac Int_insert_left insert_absorb Int_mono2)
qed

lemma finprod_Un_disjoint:
  "[| finite A; finite B; A Int B = {};
    g ∈ A → carrier G; g ∈ B → carrier G |]
  ==> finprod G g (A Un B) = finprod G g A ⊗ finprod G g B"
  apply (subst finprod_Un_Int [symmetric])
  apply auto
  done

lemma finprod_multf:
  "[| f ∈ A → carrier G; g ∈ A → carrier G |] ==>
    finprod G (%x. f x ⊗ g x) A = (finprod G f A ⊗ finprod G g A)"
proof (induct A rule: infinite_finite_induct)
  case empty show ?case by simp
next
  case (insert a A) then
  have fA: "f ∈ A → carrier G" by fast
  from insert have fa: "f a ∈ carrier G" by fast
  from insert have gA: "g ∈ A → carrier G" by fast
  from insert have ga: "g a ∈ carrier G" by fast
  from insert have fgA: "(%x. f x ⊗ g x) ∈ A → carrier G"
  by (simp add: Pi_def)

```



```

    show ?case
      by (simp add: insert fA fa gA ga fgA m_ac)
qed simp

lemma finprod_cong':
  "[| A = B; g ∈ B → carrier G;
    !!i. i ∈ B ==> f i = g i |] ==> finprod G f A = finprod G g B"
proof -
  assume prems: "A = B" "g ∈ B → carrier G"
  "!!i. i ∈ B ==> f i = g i"
  show ?thesis
  proof (cases "finite B")
    case True
    then have "!!A. [| A = B; g ∈ B → carrier G;
      !!i. i ∈ B ==> f i = g i |] ==> finprod G f A = finprod G g B"
    proof induct
      case empty thus ?case by simp
    next
      case (insert x B)
      then have "finprod G f A = finprod G f (insert x B)" by simp
      also from insert have "... = f x ⊗ finprod G f B"
      proof (intro finprod_insert)
        show "finite B" by fact
      next
        show "x ~: B" by fact
      next
        assume "x ~: B" "!!i. i ∈ insert x B ==> f i = g i"
        "g ∈ insert x B → carrier G"
        thus "f ∈ B → carrier G" by fastforce
      next
        assume "x ~: B" "!!i. i ∈ insert x B ==> f i = g i"
        "g ∈ insert x B → carrier G"
        thus "f x ∈ carrier G" by fastforce
      qed
      also from insert have "... = g x ⊗ finprod G g B" by fastforce
      also from insert have "... = finprod G g (insert x B)"
      by (intro finprod_insert [THEN sym]) auto
      finally show ?case .
    qed
  next
  with prems show ?thesis by simp
next
  case False with prems show ?thesis by simp
qed
qed

lemma finprod_cong:
  "[| A = B; f ∈ B → carrier G = True;
    !!i. i ∈ B =simp=> f i = g i |] ==> finprod G f A = finprod G g
  B"

```

```
by (rule finprod_cong') (auto simp add: simp_implies_def)
```

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding `Pi_def` to the simpset is often useful. For this reason, `finprod_cong` is not added to the simpset by default.

```
end
```

```
declare funcsetI [rule del]
  funcset_mem [rule del]
```

```
context comm_monoid begin
```

```
lemma finprod_0 [simp]:
```

```
"f ∈ {0::nat} → carrier G ==> finprod G f {..0} = f 0"
```

```
by (simp add: Pi_def)
```

```
lemma finprod_Suc [simp]:
```

```
"f ∈ {..Suc n} → carrier G ==>
```

```
  finprod G f {..Suc n} = (f (Suc n) ⊗ finprod G f {..n})"
```

```
by (simp add: Pi_def atMost_Suc)
```

```
lemma finprod_Suc2:
```

```
"f ∈ {..Suc n} → carrier G ==>
```

```
  finprod G f {..Suc n} = (finprod G (%i. f (Suc i)) {..n} ⊗ f 0)"
```

```
proof (induct n)
```

```
  case 0 thus ?case by (simp add: Pi_def)
```

```
next
```

```
  case Suc thus ?case by (simp add: m_assoc Pi_def)
```

```
qed
```

```
lemma finprod_mult [simp]:
```

```
"[| f ∈ {..n} → carrier G; g ∈ {..n} → carrier G |] ==>
```

```
  finprod G (%i. f i ⊗ g i) {..n::nat} =
```

```
  finprod G f {..n} ⊗ finprod G g {..n}"
```

```
by (induct n) (simp_all add: m_ac Pi_def)
```

```
lemma finprod_reindex:
```

```
"f : (h ' A) → carrier G ==>
```

```
  inj_on h A ==> finprod G f (h ' A) = finprod G (%x. f (h x)) A"
```

```
proof (induct A rule: infinite_finite_induct)
```

```
  case (infinite A)
```

```
  hence "¬ finite (h ' A)"
```

```
  using finite_imageD by blast
```

```
  with (¬ finite A) show ?case by simp
```

```
qed (auto simp add: Pi_def)
```

```

lemma finprod_const:
  assumes a [simp]: "a : carrier G"
    shows "finprod G (%x. a) A = a (^) card A"
proof (induct A rule: infinite_finite_induct)
  case (insert b A)
  show ?case
  proof (subst finprod_insert[OF insert(1-2)])
    show "a  $\otimes$  ( $\bigotimes_{x \in A} a$ ) = a (^) card (insert b A)"
      by (insert insert, auto, subst m_comm, auto)
  qed auto
qed auto

lemma finprod_singleton:
  assumes i_in_A: "i  $\in$  A" and fin_A: "finite A" and f_Pi: "f  $\in$  A  $\rightarrow$ 
  carrier G"
  shows "( $\bigotimes_{j \in A} \text{if } i = j \text{ then } f j \text{ else } 1$ ) = f i"
  using i_in_A finprod_insert [of "A - {i}" i "( $\lambda j. \text{if } i = j \text{ then } f j$ 
  else 1)"]
    fin_A f_Pi finprod_one [of "A - {i}"]
    finprod_cong [of "A - {i}" "A - {i}" "( $\lambda j. \text{if } i = j \text{ then } f j \text{ else}$ 
  1)" "( $\lambda i. 1$ )"]
    unfolding Pi_def simp_implies_def by (force simp add: insert_absorb)

end

end

theory Coset
imports Group
begin

```

4 Cosets and Quotient Groups

```

definition
  r_coset    :: "[_, 'a set, 'a]  $\Rightarrow$  'a set"    (infixl "#>" 60)
  where "H #>_G a = ( $\bigcup_{h \in H} \{h \otimes_G a\}$ )"

definition
  l_coset    :: "[_, 'a, 'a set]  $\Rightarrow$  'a set"    (infixl "<#" 60)
  where "a <#_G H = ( $\bigcup_{h \in H} \{a \otimes_G h\}$ )"

definition
  RCOSSETS  :: "[_, 'a set]  $\Rightarrow$  ('a set)set"    ("rcosetsz _" [81] 80)
  where "rcosets_G H = ( $\bigcup_{a \in \text{carrier } G} \{H \#>_G a\}$ )"

```

definition

```
set_mult  :: "[_, 'a set , 'a set] ⇒ 'a set" (infixl "<#>ι" 60)
where "H <#>_G K = (⋃h∈H. ⋃k∈K. {h ⊗_G k})"
```

definition

```
SET_INV :: "[_, 'a set] ⇒ 'a set" ("set'_invι _" [81] 80)
where "set_invG H = (⋃h∈H. {invG h})"
```

locale normal = subgroup + group +

```
assumes coset_eq: "(∀x ∈ carrier G. H #> x = x <# H)"
```

abbreviation

```
normal_rel :: "[ 'a set, ('a, 'b) monoid_scheme] ⇒ bool" (infixl "<"
60) where
  "H < G ≡ normal H G"
```

4.1 Basic Properties of Cosets

lemma (in group) coset_mult_assoc:

```
"[| M ⊆ carrier G; g ∈ carrier G; h ∈ carrier G |]
==> (M #> g) #> h = M #> (g ⊗ h)"
```

by (force simp add: r_coset_def m_assoc)

lemma (in group) coset_mult_one [simp]: "M ⊆ carrier G ==> M #> 1 = M"

by (force simp add: r_coset_def)

lemma (in group) coset_mult_inv1:

```
"[| M #> (x ⊗ (inv y)) = M; x ∈ carrier G ; y ∈ carrier G;
M ⊆ carrier G |] ==> M #> x = M #> y"
```

apply (erule subst [of concl: "%z. M #> x = z #> y"])

apply (simp add: coset_mult_assoc m_assoc)

done

lemma (in group) coset_mult_inv2:

```
"[| M #> x = M #> y; x ∈ carrier G; y ∈ carrier G; M ⊆ carrier
G |]
```

```
==> M #> (x ⊗ (inv y)) = M "
```

apply (simp add: coset_mult_assoc [symmetric])

apply (simp add: coset_mult_assoc)

done

lemma (in group) coset_join1:

```
"[| H #> x = H; x ∈ carrier G; subgroup H G |] ==> x ∈ H"
```

apply (erule subst)

apply (simp add: r_coset_def)

apply (blast intro: l_one subgroup.one_closed sym)

done

```

lemma (in group) solve_equation:
  "[[subgroup H G; x ∈ H; y ∈ H] ⇒ ∃h∈H. y = h ⊗ x"
  apply (rule bexI [of _ "y ⊗ (inv x)"])
  apply (auto simp add: subgroup.m_closed subgroup.m_inv_closed m_assoc
    subgroup.subset [THEN subsetD])
done

lemma (in group) repr_independence:
  "[[y ∈ H #> x; x ∈ carrier G; subgroup H G] ⇒ H #> x = H #> y"
  by (auto simp add: r_coset_def m_assoc [symmetric]
    subgroup.subset [THEN subsetD]
    subgroup.m_closed solve_equation)

lemma (in group) coset_join2:
  "[[x ∈ carrier G; subgroup H G; x∈H] ⇒ H #> x = H"
  — Alternative proof is to put x = 1 in repr_independence.
  by (force simp add: subgroup.m_closed r_coset_def solve_equation)

lemma (in monoid) r_coset_subset_G:
  "[[H ⊆ carrier G; x ∈ carrier G] ⇒ H #> x ⊆ carrier G"
  by (auto simp add: r_coset_def)

lemma (in group) rcosI:
  "[[h ∈ H; H ⊆ carrier G; x ∈ carrier G] ⇒ h ⊗ x ∈ H #> x"
  by (auto simp add: r_coset_def)

lemma (in group) rcosetsI:
  "[[H ⊆ carrier G; x ∈ carrier G] ⇒ H #> x ∈ rcosets H"
  by (auto simp add: RCOSETS_def)

Really needed?

lemma (in group) transpose_inv:
  "[[x ⊗ y = z; x ∈ carrier G; y ∈ carrier G; z ∈ carrier G] ⇒
  ⇒ (inv x) ⊗ z = y"
  by (force simp add: m_assoc [symmetric])

lemma (in group) rcos_self: "[[x ∈ carrier G; subgroup H G] ⇒ x
  ∈ H #> x"
  apply (simp add: r_coset_def)
  apply (blast intro: sym l_one subgroup.subset [THEN subsetD]
    subgroup.one_closed)
done

Opposite of "repr_independence"

lemma (in group) repr_independenceD:
  assumes "subgroup H G"
  assumes ycarr: "y ∈ carrier G"
  and repr: "H #> x = H #> y"

```

```

  shows "y ∈ H #> x"
proof -
  interpret subgroup H G by fact
  show ?thesis apply (subst repr)
  apply (intro rcos_self)
  apply (rule ycarr)
  apply (rule is_subgroup)
  done
qed

```

Elements of a right coset are in the carrier

```

lemma (in subgroup) elemrcos_carrier:
  assumes "group G"
  assumes acarr: "a ∈ carrier G"
  and a': "a' ∈ H #> a"
  shows "a' ∈ carrier G"
proof -
  interpret group G by fact
  from subset and acarr
  have "H #> a ⊆ carrier G" by (rule r_coset_subset_G)
  from this and a'
  show "a' ∈ carrier G"
  by fast
qed

```

```

lemma (in subgroup) rcos_const:
  assumes "group G"
  assumes hH: "h ∈ H"
  shows "H #> h = H"
proof -
  interpret group G by fact
  show ?thesis apply (unfold r_coset_def)
  apply rule
  apply rule
  apply clarsimp
  apply (intro subgroup.m_closed)
  apply (rule is_subgroup)
  apply assumption
  apply (rule hH)
  apply rule
  apply simp
proof -
  fix h'
  assume h'H: "h' ∈ H"
  note carr = hH[THEN mem_carrier] h'H[THEN mem_carrier]
  from carr
  have a: "h' = (h' ⊗ inv h) ⊗ h" by (simp add: m_assoc)
  from h'H hH
  have "h' ⊗ inv h ∈ H" by simp

```

```

    from this and a
    show "∃x∈H. h' = x ⊗ h" by fast
  qed
qed

```

Step one for lemma rcos_module

```

lemma (in subgroup) rcos_module_imp:
  assumes "group G"
  assumes xcarr: "x ∈ carrier G"
    and x'cos: "x' ∈ H #> x"
  shows "(x' ⊗ inv x) ∈ H"
proof -
  interpret group G by fact
  from xcarr x'cos
    have x'carr: "x' ∈ carrier G"
    by (rule elemrcos_carrier[OF is_group])
  from xcarr
    have ixcarr: "inv x ∈ carrier G"
    by simp
  from x'cos
    have "∃h∈H. x' = h ⊗ x"
    unfolding r_coset_def
    by fast
  from this
    obtain h
    where hH: "h ∈ H"
    and x': "x' = h ⊗ x"
    by auto
  from hH and subset
    have hcarr: "h ∈ carrier G" by fast
  note carr = xcarr x'carr hcarr
  from x' and carr
    have "x' ⊗ (inv x) = (h ⊗ x) ⊗ (inv x)" by fast
  also from carr
    have "... = h ⊗ (x ⊗ inv x)" by (simp add: m_assoc)
  also from carr
    have "... = h ⊗ 1" by simp
  also from carr
    have "... = h" by simp
  finally
    have "x' ⊗ (inv x) = h" by simp
  from hH this
    show "x' ⊗ (inv x) ∈ H" by simp
qed

```

Step two for lemma rcos_module

```

lemma (in subgroup) rcos_module_rev:
  assumes "group G"
  assumes carr: "x ∈ carrier G" "x' ∈ carrier G"

```

```

    and xixH: "(x'  $\otimes$  inv x)  $\in$  H"
  shows "x'  $\in$  H  $\#>$  x"
proof -
  interpret group G by fact
  from xixH
    have " $\exists h \in H. x' \otimes (\text{inv } x) = h$ " by fast
  from this
    obtain h
      where hH: "h  $\in$  H"
      and hsym: "x'  $\otimes$  (inv x) = h"
    by fast
  from hH subset have hcarr: "h  $\in$  carrier G" by simp
  note carr = carr hcarr
  from hsym[symmetric] have "h  $\otimes$  x = x'  $\otimes$  (inv x)  $\otimes$  x" by fast
  also from carr
    have "... = x'  $\otimes$  ((inv x)  $\otimes$  x)" by (simp add: m_assoc)
  also from carr
    have "... = x'  $\otimes$  1" by simp
  also from carr
    have "... = x'" by simp
  finally
    have "h  $\otimes$  x = x'" by simp
  from this[symmetric] and hH
    show "x'  $\in$  H  $\#>$  x"
    unfolding r_coset_def
    by fast
qed

```

Module property of right cosets

```

lemma (in subgroup) rcos_module:
  assumes "group G"
  assumes carr: "x  $\in$  carrier G" "x'  $\in$  carrier G"
  shows "(x'  $\in$  H  $\#>$  x) = (x'  $\otimes$  inv x  $\in$  H)"
proof -
  interpret group G by fact
  show ?thesis proof assume "x'  $\in$  H  $\#>$  x"
    from this and carr
      show "x'  $\otimes$  inv x  $\in$  H"
      by (intro rcos_module_imp[OF is_group])
  next
    assume "x'  $\otimes$  inv x  $\in$  H"
    from this and carr
      show "x'  $\in$  H  $\#>$  x"
      by (intro rcos_module_rev[OF is_group])
  qed
qed

```

Right cosets are subsets of the carrier.

```

lemma (in subgroup) rcosets_carrier:

```



```

    assumes "group G"
    assumes XH: "X ∈ rcosets H"
    shows "X ⊆ carrier G"
  proof -
    interpret group G by fact
    from XH have "∃x∈ carrier G. X = H #> x"
      unfolding RCOSETS_def
      by fast
    from this
      obtain x
        where xcarr: "x∈ carrier G"
          and X: "X = H #> x"
      by fast
    from subset and xcarr
      show "X ⊆ carrier G"
        unfolding X
        by (rule r_coset_subset_G)
  qed

```

Multiplication of general subsets

```

lemma (in monoid) set_mult_closed:
  assumes Acarr: "A ⊆ carrier G"
    and Bcarr: "B ⊆ carrier G"
  shows "A <#> B ⊆ carrier G"
apply rule apply (simp add: set_mult_def, clarsimp)
proof -
  fix a b
  assume "a ∈ A"
  from this and Acarr
    have acarr: "a ∈ carrier G" by fast

  assume "b ∈ B"
  from this and Bcarr
    have bcarr: "b ∈ carrier G" by fast

  from acarr bcarr
    show "a ⊗ b ∈ carrier G" by (rule m_closed)
qed

```

```

lemma (in comm_group) mult_subgroups:
  assumes subH: "subgroup H G"
    and subK: "subgroup K G"
  shows "subgroup (H <#> K) G"
apply (rule subgroup.intro)
  apply (intro set_mult_closed subgroup.subset[OF subH] subgroup.subset[OF
subK])
  apply (simp add: set_mult_def) apply clarsimp defer 1
  apply (simp add: set_mult_def) defer 1
  apply (simp add: set_mult_def, clarsimp) defer 1

```

```

proof -
  fix ha hb ka kb
  assume haH: "ha ∈ H" and hbH: "hb ∈ H" and kaK: "ka ∈ K" and kbK:
"kb ∈ K"
  note carr = haH[THEN subgroup.mem_carrier[OF subH]] hbH[THEN subgroup.mem_carrier[OF
subH]]
      kaK[THEN subgroup.mem_carrier[OF subK]] kbK[THEN subgroup.mem_carrier[OF
subK]]
  from carr
    have "(ha ⊗ ka) ⊗ (hb ⊗ kb) = ha ⊗ (ka ⊗ hb) ⊗ kb" by (simp add:
m_assoc)
  also from carr
    have "... = ha ⊗ (hb ⊗ ka) ⊗ kb" by (simp add: m_comm)
  also from carr
    have "... = (ha ⊗ hb) ⊗ (ka ⊗ kb)" by (simp add: m_assoc)
  finally
    have eq: "(ha ⊗ ka) ⊗ (hb ⊗ kb) = (ha ⊗ hb) ⊗ (ka ⊗ kb)" .

  from haH hbH have hH: "ha ⊗ hb ∈ H" by (simp add: subgroup.m_closed[OF
subH])
  from kaK kbK have kK: "ka ⊗ kb ∈ K" by (simp add: subgroup.m_closed[OF
subK])

  from hH and kK and eq
    show "∃h'∈H. ∃k'∈K. (ha ⊗ ka) ⊗ (hb ⊗ kb) = h' ⊗ k'" by fast
next
  have "1 = 1 ⊗ 1" by simp
  from subgroup.one_closed[OF subH] subgroup.one_closed[OF subK] this
    show "∃h∈H. ∃k∈K. 1 = h ⊗ k" by fast
next
  fix h k
  assume hH: "h ∈ H"
    and kK: "k ∈ K"

  from hH[THEN subgroup.mem_carrier[OF subH]] kK[THEN subgroup.mem_carrier[OF
subK]]
    have "inv (h ⊗ k) = inv h ⊗ inv k" by (simp add: inv_mult_group
m_comm)

  from subgroup.m_inv_closed[OF subH hH] and subgroup.m_inv_closed[OF
subK kK] and this
    show "∃ha∈H. ∃ka∈K. inv (h ⊗ k) = ha ⊗ ka" by fast
qed

lemma (in subgroup) lcos_module_rev:
  assumes "group G"
  assumes carr: "x ∈ carrier G" "x' ∈ carrier G"
    and xixH: "(inv x ⊗ x') ∈ H"
  shows "x' ∈ x <# H"

```

```

proof -
  interpret group G by fact
  from xixH
    have "∃h∈H. (inv x) ⊗ x' = h" by fast
  from this
    obtain h
      where hH: "h ∈ H"
      and hsym: "(inv x) ⊗ x' = h"
    by fast

  from hH subset have hcarr: "h ∈ carrier G" by simp
  note carr = carr hcarr
  from hsym[symmetric] have "x ⊗ h = x ⊗ ((inv x) ⊗ x'" by fast
  also from carr
    have "... = (x ⊗ (inv x)) ⊗ x'" by (simp add: m_assoc[symmetric])
  also from carr
    have "... = 1 ⊗ x'" by simp
  also from carr
    have "... = x'" by simp
  finally
    have "x ⊗ h = x'" by simp

  from this[symmetric] and hH
    show "x' ∈ x <# H"
    unfolding l_coset_def
    by fast
qed

```

4.2 Normal subgroups

```

lemma normal_imp_subgroup: "H ◁ G ⇒ subgroup H G"
  by (simp add: normal_def subgroup_def)

```

```

lemma (in group) normalI:
  "subgroup H G ⇒ (∀x ∈ carrier G. H #> x = x <# H) ⇒ H ◁ G"
  by (simp add: normal_def normal_axioms_def is_group)

```

```

lemma (in normal) inv_op_closed1:
  "[x ∈ carrier G; h ∈ H] ⇒ (inv x) ⊗ h ⊗ x ∈ H"
  apply (insert coset_eq)
  apply (auto simp add: l_coset_def r_coset_def)
  apply (drule bspec, assumption)
  apply (drule equalityD1 [THEN subsetD], blast, clarify)
  apply (simp add: m_assoc)
  apply (simp add: m_assoc [symmetric])
  done

```

```

lemma (in normal) inv_op_closed2:
  "[x ∈ carrier G; h ∈ H] ⇒ x ⊗ h ⊗ (inv x) ∈ H"

```

```

apply (subgoal_tac "inv (inv x)  $\otimes$  h  $\otimes$  (inv x)  $\in$  H")
apply (simp add: )
apply (blast intro: inv_op_closed1)
done

```

Alternative characterization of normal subgroups

```

lemma (in group) normal_inv_iff:
  "(N  $\triangleleft$  G) =
   (subgroup N G & ( $\forall$ x  $\in$  carrier G.  $\forall$ h  $\in$  N. x  $\otimes$  h  $\otimes$  (inv x)  $\in$  N))"
  (is "_ = ?rhs")
proof
  assume N: "N  $\triangleleft$  G"
  show ?rhs
    by (blast intro: N normal.inv_op_closed2 normal_imp_subgroup)
next
  assume ?rhs
  hence sg: "subgroup N G"
  and closed: " $\bigwedge$ x. x  $\in$  carrier G  $\implies$   $\forall$ h  $\in$  N. x  $\otimes$  h  $\otimes$  inv x  $\in$  N" by auto
  hence sb: "N  $\subseteq$  carrier G" by (simp add: subgroup.subset)
  show "N  $\triangleleft$  G"
proof (intro normalI [OF sg], simp add: l_coset_def r_coset_def, clarify)
  fix x
  assume x: "x  $\in$  carrier G"
  show "( $\bigcup$ h  $\in$  N. {h  $\otimes$  x}) = ( $\bigcup$ h  $\in$  N. {x  $\otimes$  h})"
proof
  show "( $\bigcup$ h  $\in$  N. {h  $\otimes$  x})  $\subseteq$  ( $\bigcup$ h  $\in$  N. {x  $\otimes$  h})"
proof clarify
  fix n
  assume n: "n  $\in$  N"
  show "n  $\otimes$  x  $\in$  ( $\bigcup$ h  $\in$  N. {x  $\otimes$  h})"
proof
  from closed [of "inv x"]
  show "inv x  $\otimes$  n  $\otimes$  x  $\in$  N" by (simp add: x n)
  show "n  $\otimes$  x  $\in$  {x  $\otimes$  (inv x  $\otimes$  n  $\otimes$  x)}"
  by (simp add: x n m_assoc [symmetric] sb [THEN subsetD])
qed
qed
next
  show "( $\bigcup$ h  $\in$  N. {x  $\otimes$  h})  $\subseteq$  ( $\bigcup$ h  $\in$  N. {h  $\otimes$  x})"
proof clarify
  fix n
  assume n: "n  $\in$  N"
  show "x  $\otimes$  n  $\in$  ( $\bigcup$ h  $\in$  N. {h  $\otimes$  x})"
proof
  show "x  $\otimes$  n  $\otimes$  inv x  $\in$  N" by (simp add: x n closed)
  show "x  $\otimes$  n  $\in$  {x  $\otimes$  n  $\otimes$  inv x  $\otimes$  x}"
  by (simp add: x n m_assoc sb [THEN subsetD])
qed
qed

```

qed
 qed
 qed

4.3 More Properties of Cosets

lemma (in group) lcos_m_assoc:
 "[| M \subseteq carrier G; g \in carrier G; h \in carrier G |]
 ==> g <# (h <# M) = (g \otimes h) <# M"
 by (force simp add: l_coset_def m_assoc)

lemma (in group) lcos_mult_one: "M \subseteq carrier G ==> 1 <# M = M"
 by (force simp add: l_coset_def)

lemma (in group) l_coset_subset_G:
 "[| H \subseteq carrier G; x \in carrier G |] ==> x <# H \subseteq carrier G"
 by (auto simp add: l_coset_def subsetD)

lemma (in group) l_coset_swap:
 "[| y \in x <# H; x \in carrier G; subgroup H G |] ==> x \in y <# H"
 proof (simp add: l_coset_def)
 assume "∃h∈H. y = x \otimes h"
 and x: "x \in carrier G"
 and sb: "subgroup H G"
 then obtain h' where h': "h' \in H & x \otimes h' = y" by blast
 show "∃h∈H. x = y \otimes h"
 proof
 show "x = y \otimes inv h'" using h' x sb
 by (auto simp add: m_assoc subgroup.subset [THEN subsetD])
 show "inv h' \in H" using h' sb
 by (auto simp add: subgroup.subset [THEN subsetD] subgroup.m_inv_closed)
 qed
 qed

lemma (in group) l_coset_carrier:
 "[| y \in x <# H; x \in carrier G; subgroup H G |] ==> y \in carrier G"
 by (auto simp add: l_coset_def m_assoc
 subgroup.subset [THEN subsetD] subgroup.m_closed)

lemma (in group) l_repr_imp_subset:
 assumes y: "y \in x <# H" and x: "x \in carrier G" and sb: "subgroup H G"
 shows "y <# H \subseteq x <# H"
 proof -
 from y
 obtain h' where "h' \in H" "x \otimes h' = y" by (auto simp add: l_coset_def)
 thus ?thesis using x sb
 by (auto simp add: l_coset_def m_assoc)

```

subgroup.subset [THEN subsetD] subgroup.m_closed)
qed

lemma (in group) l_repr_independence:
  assumes y: "y ∈ x <# H" and x: "x ∈ carrier G" and sb: "subgroup H
  G"
  shows "x <# H = y <# H"
proof
  show "x <# H ⊆ y <# H"
  by (rule l_repr_imp_subset,
      (blast intro: l_coset_swap l_coset_carrier y x sb)+)
  show "y <# H ⊆ x <# H" by (rule l_repr_imp_subset [OF y x sb])
qed

lemma (in group) setmult_subset_G:
  "[H ⊆ carrier G; K ⊆ carrier G] ⇒ H <#> K ⊆ carrier G"
by (auto simp add: set_mult_def subsetD)

lemma (in group) subgroup_mult_id: "subgroup H G ⇒ H <#> H = H"
apply (auto simp add: subgroup.m_closed set_mult_def Sigma_def)
apply (rule_tac x = x in bexI)
apply (rule bexI [of _ "1"])
apply (auto simp add: subgroup.one_closed subgroup.subset [THEN subsetD])
done

```

4.3.1 Set of Inverses of an r_coset.

```

lemma (in normal) rcos_inv:
  assumes x: "x ∈ carrier G"
  shows "set_inv (H #> x) = H #> (inv x)"
proof (simp add: r_coset_def SET_INV_def x inv_mult_group, safe)
  fix h
  assume h: "h ∈ H"
  show "inv x ⊗ inv h ∈ (⋃j∈H. {j ⊗ inv x})"
  proof
    show "inv x ⊗ inv h ⊗ x ∈ H"
    by (simp add: inv_op_closed1 h x)
    show "inv x ⊗ inv h ∈ {inv x ⊗ inv h ⊗ x ⊗ inv x}"
    by (simp add: h x m_assoc)
  qed
  show "h ⊗ inv x ∈ (⋃j∈H. {inv x ⊗ inv j})"
  proof
    show "x ⊗ inv h ⊗ inv x ∈ H"
    by (simp add: inv_op_closed2 h x)
    show "h ⊗ inv x ∈ {inv x ⊗ inv (x ⊗ inv h ⊗ inv x)}"
    by (simp add: h x m_assoc [symmetric] inv_mult_group)
  qed
qed

```

4.3.2 Theorems for $\langle \# \rangle$ with $\#$ or $\langle \#$.

```
lemma (in group) setmult_rcos_assoc:
  "[H ⊆ carrier G; K ⊆ carrier G; x ∈ carrier G]
  ⇒ H <#> (K #> x) = (H <#> K) #> x"
by (force simp add: r_coset_def set_mult_def m_assoc)
```

```
lemma (in group) rcos_assoc_lcos:
  "[H ⊆ carrier G; K ⊆ carrier G; x ∈ carrier G]
  ⇒ (H #> x) <#> K = H <#> (x <# K)"
by (force simp add: r_coset_def l_coset_def set_mult_def m_assoc)
```

```
lemma (in normal) rcos_mult_step1:
  "[x ∈ carrier G; y ∈ carrier G]
  ⇒ (H #> x) <#> (H #> y) = (H <#> (x <# H)) #> y"
by (simp add: setmult_rcos_assoc subset
    r_coset_subset_G l_coset_subset_G rcos_assoc_lcos)
```

```
lemma (in normal) rcos_mult_step2:
  "[x ∈ carrier G; y ∈ carrier G]
  ⇒ (H <#> (x <# H)) #> y = (H <#> (H #> x)) #> y"
by (insert coset_eq, simp add: normal_def)
```

```
lemma (in normal) rcos_mult_step3:
  "[x ∈ carrier G; y ∈ carrier G]
  ⇒ (H <#> (H #> x)) #> y = H #> (x ⊗ y)"
by (simp add: setmult_rcos_assoc coset_mult_assoc
    subgroup_mult_id normal.axioms subset normal_axioms)
```

```
lemma (in normal) rcos_sum:
  "[x ∈ carrier G; y ∈ carrier G]
  ⇒ (H #> x) <#> (H #> y) = H #> (x ⊗ y)"
by (simp add: rcos_mult_step1 rcos_mult_step2 rcos_mult_step3)
```

```
lemma (in normal) rcosets_mult_eq: "M ∈ rcosets H ⇒ H <#> M = M"
— generalizes subgroup_mult_id
by (auto simp add: RCOSETS_def subset
    setmult_rcos_assoc subgroup_mult_id normal.axioms normal_axioms)
```

4.3.3 An Equivalence Relation

definition

```
r_congruent :: "[('a,'b)monoid_scheme, 'a set] ⇒ ('a*'a)set" ("rcong
_")
where "rcong H = {(x,y). x ∈ carrier G & y ∈ carrier G & invG x ⊗G
y ∈ H}"
```

```
lemma (in subgroup) equiv_rcong:
  assumes "group G"
```

```

    shows "equiv (carrier G) (rcong H)"
  proof -
    interpret group G by fact
    show ?thesis
    proof (intro equivI)
      show "refl_on (carrier G) (rcong H)"
        by (auto simp add: r_congruent_def refl_on_def)
    next
      show "sym (rcong H)"
      proof (simp add: r_congruent_def sym_def, clarify)
        fix x y
        assume [simp]: "x ∈ carrier G" "y ∈ carrier G"
          and "inv x ⊗ y ∈ H"
          hence "inv (inv x ⊗ y) ∈ H" by simp
          thus "inv y ⊗ x ∈ H" by (simp add: inv_mult_group)
        qed
      next
        show "trans (rcong H)"
        proof (simp add: r_congruent_def trans_def, clarify)
          fix x y z
          assume [simp]: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
            and "inv x ⊗ y ∈ H" and "inv y ⊗ z ∈ H"
            hence "(inv x ⊗ y) ⊗ (inv y ⊗ z) ∈ H" by simp
            hence "inv x ⊗ (y ⊗ inv y) ⊗ z ∈ H"
              by (simp add: m_assoc del: r_inv Units_r_inv)
            thus "inv x ⊗ z ∈ H" by simp
          qed
        qed
      qed
    qed
  qed

```

Equivalence classes of `rcong` correspond to left cosets. Was there a mistake in the definitions? I'd have expected them to correspond to right cosets.

```

lemma (in subgroup) l_coset_eq_rcong:
  assumes "group G"
  assumes a: "a ∈ carrier G"
  shows "a <# H = rcong H `` {a}"
proof -
  interpret group G by fact
  show ?thesis by (force simp add: r_congruent_def l_coset_def m_assoc
[symmetric] a )
qed

```

4.3.4 Two Distinct Right Cosets are Disjoint

```

lemma (in group) rcos_equation:
  assumes "subgroup H G"
  assumes p: "ha ⊗ a = h ⊗ b" "a ∈ carrier G" "b ∈ carrier G" "h ∈ H"
  "ha ∈ H" "hb ∈ H"
  shows "hb ⊗ a ∈ (⋃h∈H. {h ⊗ b})"

```



```

proof -
  interpret subgroup H G by fact
  from p show ?thesis apply (rule_tac UN_I [of "hb  $\otimes$  ((inv ha)  $\otimes$  h)"])
  apply (simp add: )
  apply (simp add: m_assoc transpose_inv)
  done
qed

```

```

lemma (in group) rcos_disjoint:
  assumes "subgroup H G"
  assumes p: "a  $\in$  rcosets H" "b  $\in$  rcosets H" "a $\neq$ b"
  shows "a  $\cap$  b = {}"

```

```

proof -
  interpret subgroup H G by fact
  from p show ?thesis
  apply (simp add: RCOSETS_def r_coset_def)
  apply (blast intro: rcos_equation assms sym)
  done
qed

```

4.4 Further lemmas for r_congruent

The relation is a congruence

```

lemma (in normal) congruent_rcong:
  shows "congruent2 (rcong H) (rcong H) ( $\lambda$ a b. a  $\otimes$  b  $<\#$  H)"
proof (intro congruent2I[of "carrier G" _ "carrier G" _] equiv_rcong is_group)
  fix a b c
  assume abrcong: "(a, b)  $\in$  rcong H"
  and ccarr: "c  $\in$  carrier G"

  from abrcong
  have acarr: "a  $\in$  carrier G"
  and bcarr: "b  $\in$  carrier G"
  and abH: "inv a  $\otimes$  b  $\in$  H"
  unfolding r_congruent_def
  by fast+

  note carr = acarr bcarr ccarr

  from ccarr and abH
  have "inv c  $\otimes$  (inv a  $\otimes$  b)  $\otimes$  c  $\in$  H" by (rule inv_op_closed1)
  moreover
  from carr and inv_closed
  have "inv c  $\otimes$  (inv a  $\otimes$  b)  $\otimes$  c = (inv c  $\otimes$  inv a)  $\otimes$  (b  $\otimes$  c)"
  by (force cong: m_assoc)
  moreover
  from carr and inv_closed
  have "... = (inv (a  $\otimes$  c))  $\otimes$  (b  $\otimes$  c)"
  by (simp add: inv_mult_group)

```

```

ultimately
  have "(inv (a ⊗ c)) ⊗ (b ⊗ c) ∈ H" by simp
from carr and this
  have "(b ⊗ c) ∈ (a ⊗ c) <# H"
  by (simp add: lcos_module_rev[OF is_group])
from carr and this and is_subgroup
  show "(a ⊗ c) <# H = (b ⊗ c) <# H" by (intro l_repr_independence,
simp+)
next
fix a b c
assume abrcong: "(a, b) ∈ rcong H"
and ccarr: "c ∈ carrier G"

from ccarr have "c ∈ Units G" by simp
hence cinvc_one: "inv c ⊗ c = 1" by (rule Units_l_inv)

from abrcong
  have acarr: "a ∈ carrier G"
  and bcarr: "b ∈ carrier G"
  and abH: "inv a ⊗ b ∈ H"
  by (unfold r_congruent_def, fast+)

note carr = acarr bcarr ccarr

from carr and inv_closed
  have "inv a ⊗ b = inv a ⊗ (1 ⊗ b)" by simp
also from carr and inv_closed
  have "... = inv a ⊗ (inv c ⊗ c) ⊗ b" by simp
also from carr and inv_closed
  have "... = (inv a ⊗ inv c) ⊗ (c ⊗ b)" by (force cong: m_assoc)
also from carr and inv_closed
  have "... = inv (c ⊗ a) ⊗ (c ⊗ b)" by (simp add: inv_mult_group)
finally
  have "inv a ⊗ b = inv (c ⊗ a) ⊗ (c ⊗ b)" .
from abH and this
  have "inv (c ⊗ a) ⊗ (c ⊗ b) ∈ H" by simp

from carr and this
  have "(c ⊗ b) ∈ (c ⊗ a) <# H"
  by (simp add: lcos_module_rev[OF is_group])
from carr and this and is_subgroup
  show "(c ⊗ a) <# H = (c ⊗ b) <# H" by (intro l_repr_independence,
simp+)
qed

```

4.5 Order of a Group and Lagrange's Theorem

definition

```
order :: "('a, 'b) monoid_scheme ⇒ nat"
```

where "order S = card (carrier S)"

```
lemma (in monoid) order_gt_0_iff_finite: "0 < order G  $\longleftrightarrow$  finite (carrier G)"
by(auto simp add: order_def card_gt_0_iff)
```

```
lemma (in group) rcosets_part_G:
  assumes "subgroup H G"
  shows " $\bigcup$ (rcosets H) = carrier G"
proof -
  interpret subgroup H G by fact
  show ?thesis
    apply (rule equalityI)
    apply (force simp add: RCOSETS_def r_coset_def)
    apply (auto simp add: RCOSETS_def intro: rcos_self assms)
  done
qed
```

```
lemma (in group) cosets_finite:
  " $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{ finite (carrier } G) \rrbracket \implies \text{finite } c$ "
  apply (auto simp add: RCOSETS_def)
  apply (simp add: r_coset_subset_G [THEN finite_subset])
  done
```

The next two lemmas support the proof of card_cosets_equal.

```
lemma (in group) inj_on_f:
  " $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj\_on } (\lambda y. y \otimes \text{inv } a) (H \#> a)$ "
  apply (rule inj_onI)
  apply (subgoal_tac "x  $\in$  carrier G & y  $\in$  carrier G")
  prefer 2 apply (blast intro: r_coset_subset_G [THEN subsetD])
  apply (simp add: subsetD)
  done
```

```
lemma (in group) inj_on_g:
  " $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj\_on } (\lambda y. y \otimes a) H$ "
  by (force simp add: inj_on_def subsetD)
```

```
lemma (in group) card_cosets_equal:
  " $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{ finite(carrier } G) \rrbracket \implies \text{card } c = \text{card } H$ "
  apply (auto simp add: RCOSETS_def)
  apply (rule card_bij_eq)
  apply (rule inj_on_f, assumption+)
  apply (force simp add: m_assoc subsetD r_coset_def)
  apply (rule inj_on_g, assumption+)
  apply (force simp add: m_assoc subsetD r_coset_def)
```

The sets $H \#> a$ and H are finite.

```

  apply (simp add: r_coset_subset_G [THEN finite_subset])
apply (blast intro: finite_subset)
done

```

```

lemma (in group) rcosets_subset_PowG:
  "subgroup H G  $\implies$  rcosets H  $\subseteq$  Pow(carrier G)"
apply (simp add: RCOSETS_def)
apply (blast dest: r_coset_subset_G subgroup.subset)
done

```

```

theorem (in group) lagrange:
  "[finite(carrier G); subgroup H G]
 $\implies$  card(rcosets H) * card(H) = order(G)"
apply (simp (no_asm_simp) add: order_def rcosets_part_G [symmetric])
apply (subst mult.commute)
apply (rule card_partition)
  apply (simp add: rcosets_subset_PowG [THEN finite_subset])
  apply (simp add: rcosets_part_G)
  apply (simp add: card_cosets_equal subgroup.subset)
apply (simp add: rcos_disjoint)
done

```

4.6 Quotient Groups: Factorization of a Group

definition

```

FactGroup :: "[('a,'b) monoid_scheme, 'a set]  $\implies$  ('a set) monoid" (in-
fixl "Mod" 65)

```

— Actually defined for groups rather than monoids

```

where "FactGroup G H = ( $\lambda$ carrier = rcosetsG H, mult = set_mult G, one
= H)"

```

```

lemma (in normal) setmult_closed:
  "[K1  $\in$  rcosets H; K2  $\in$  rcosets H]  $\implies$  K1 <#> K2  $\in$  rcosets H"
by (auto simp add: rcos_sum RCOSETS_def)

```

```

lemma (in normal) setinv_closed:
  "K  $\in$  rcosets H  $\implies$  set_inv K  $\in$  rcosets H"
by (auto simp add: rcos_inv RCOSETS_def)

```

```

lemma (in normal) rcosets_assoc:
  "[M1  $\in$  rcosets H; M2  $\in$  rcosets H; M3  $\in$  rcosets H]
 $\implies$  M1 <#> M2 <#> M3 = M1 <#> (M2 <#> M3)"
by (auto simp add: RCOSETS_def rcos_sum m_assoc)

```

```

lemma (in subgroup) subgroup_in_rcosets:
  assumes "group G"
  shows "H  $\in$  rcosets H"
proof -

```

```

interpret group G by fact
from _ subgroup_axioms have "H #> 1 = H"
  by (rule coset_join2) auto
then show ?thesis
  by (auto simp add: RCOSETS_def)
qed

lemma (in normal) rcosets_inv_mult_group_eq:
  "M ∈ rcosets H ⇒ set_inv M <#> M = H"
by (auto simp add: RCOSETS_def rcos_inv rcos_sum subgroup_subset normal_axioms
normal_axioms)

theorem (in normal) factorgroup_is_group:
  "group (G Mod H)"
apply (simp add: FactGroup_def)
apply (rule groupI)
  apply (simp add: setmult_closed)
  apply (simp add: normal_imp_subgroup subgroup_in_rcosets [OF is_group])
  apply (simp add: restrictI setmult_closed rcosets_assoc)
  apply (simp add: normal_imp_subgroup
    subgroup_in_rcosets rcosets_mult_eq)
apply (auto dest: rcosets_inv_mult_group_eq simp add: setinv_closed)
done

lemma mult_FactGroup [simp]: "X ⊗(G Mod H) X' = X <#>G X'"
  by (simp add: FactGroup_def)

lemma (in normal) inv_FactGroup:
  "X ∈ carrier (G Mod H) ⇒ invG Mod H X = set_inv X"
apply (rule group.inv_equality [OF factorgroup_is_group])
apply (simp_all add: FactGroup_def setinv_closed rcosets_inv_mult_group_eq)
done

```

The coset map is a homomorphism from G to the quotient group $G \text{ Mod } H$

```

lemma (in normal) r_coset_hom_Mod:
  "(λa. H #> a) ∈ hom G (G Mod H)"
  by (auto simp add: FactGroup_def RCOSETS_def Pi_def hom_def rcos_sum)

```

4.7 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

definition

```

kernel :: "('a, 'm) monoid_scheme ⇒ ('b, 'n) monoid_scheme ⇒ ('a
⇒ 'b) ⇒ 'a set"
  — the kernel of a homomorphism
where "kernel G H h = {x. x ∈ carrier G & h x = 1H}}"

```

```

lemma (in group_hom) subgroup_kernel: "subgroup (kernel G H h) G"
apply (rule subgroup.intro)
apply (auto simp add: kernel_def group.intro is_group)
done

```

The kernel of a homomorphism is a normal subgroup

```

lemma (in group_hom) normal_kernel: "(kernel G H h) <| G"
apply (simp add: G.normal_inv_iff subgroup_kernel)
apply (simp add: kernel_def)
done

```

```

lemma (in group_hom) FactGroup_nonempty:
  assumes X: "X ∈ carrier (G Mod kernel G H h)"
  shows "X ≠ {}"
proof -
  from X
  obtain g where "g ∈ carrier G"
    and "X = kernel G H h #> g"
    by (auto simp add: FactGroup_def RCOSETS_def)
  thus ?thesis
  by (auto simp add: kernel_def r_coset_def image_def intro: hom_one)
qed

```

```

lemma (in group_hom) FactGroup_the_elem_mem:
  assumes X: "X ∈ carrier (G Mod (kernel G H h))"
  shows "the_elem (h'X) ∈ carrier H"
proof -
  from X
  obtain g where g: "g ∈ carrier G"
    and "X = kernel G H h #> g"
    by (auto simp add: FactGroup_def RCOSETS_def)
  hence "h ' X = {h g}" by (auto simp add: kernel_def r_coset_def g intro!:
imageI)
  thus ?thesis by (auto simp add: g)
qed

```

```

lemma (in group_hom) FactGroup_hom:
  "(λX. the_elem (h'X)) ∈ hom (G Mod (kernel G H h)) H"
apply (simp add: hom_def FactGroup_the_elem_mem normal.factorgroup_is_group
[OF normal_kernel] group.axioms monoid.m_closed)
proof (intro ballI)
  fix X and X'
  assume X: "X ∈ carrier (G Mod kernel G H h)"
    and X': "X' ∈ carrier (G Mod kernel G H h)"
  then
  obtain g and g'
    where "g ∈ carrier G" and "g' ∈ carrier G"
      and "X = kernel G H h #> g" and "X' = kernel G H h #> g'"

```

```

    by (auto simp add: FactGroup_def RCOSETS_def)
  hence all: " $\forall x \in X. h x = h g$ " " $\forall x \in X'. h x = h g'$ "
    and Xsub: " $X \subseteq \text{carrier } G$ " and X'sub: " $X' \subseteq \text{carrier } G$ "
    by (force simp add: kernel_def r_coset_def image_def)+
  hence " $h \text{ ` } (X \langle \# \rangle X') = \{h g \otimes_H h g'\}$ " using X X'
    by (auto dest!: FactGroup_nonempty intro!: image_eqI
        simp add: set_mult_def
            subsetD [OF Xsub] subsetD [OF X'sub])
  then show "the_elem (h ` (X  $\langle \# \rangle$  X')) = the_elem (h ` X)  $\otimes_H$  the_elem
(h ` X')"
    by (auto simp add: all FactGroup_nonempty X X' the_elem_image_unique)
qed

```

Lemma for the following injectivity result

```

lemma (in group_hom) FactGroup_subset:
  "[ $g \in \text{carrier } G; g' \in \text{carrier } G; h g = h g'$ ]"
   $\implies$  "kernel G H h  $\#>$  g  $\subseteq$  kernel G H h  $\#>$  g'"
apply (clarsimp simp add: kernel_def r_coset_def)
apply (rename_tac y)
apply (rule_tac x="y  $\otimes$  g  $\otimes$  inv g'" in exI)
apply (simp add: G.m_assoc)
done

```

```

lemma (in group_hom) FactGroup_inj_on:
  "inj_on ( $\lambda X. \text{the\_elem } (h \text{ ` } X)$ ) (carrier (G Mod kernel G H h))"
proof (simp add: inj_on_def, clarify)
  fix X and X'
  assume X: " $X \in \text{carrier } (G \text{ Mod kernel } G \text{ H } h)$ "
    and X': " $X' \in \text{carrier } (G \text{ Mod kernel } G \text{ H } h)$ "
  then
  obtain g and g'
    where gX: " $g \in \text{carrier } G$ " " $g' \in \text{carrier } G$ "
      "X = kernel G H h  $\#>$  g" "X' = kernel G H h  $\#>$  g'"
  by (auto simp add: FactGroup_def RCOSETS_def)
  hence all: " $\forall x \in X. h x = h g$ " " $\forall x \in X'. h x = h g'$ "
    by (force simp add: kernel_def r_coset_def image_def)+
  assume "the_elem (h ` X) = the_elem (h ` X')"
  hence h: " $h g = h g'$ "
    by (simp add: all FactGroup_nonempty X X' the_elem_image_unique)
  show "X=X'" by (rule equalityI) (simp_all add: FactGroup_subset h gX)
qed

```

If the homomorphism h is onto H , then so is the homomorphism from the quotient group

```

lemma (in group_hom) FactGroup_onto:
  assumes h: " $h \text{ ` } \text{carrier } G = \text{carrier } H$ "
  shows " $(\lambda X. \text{the\_elem } (h \text{ ` } X)) \text{ ` } \text{carrier } (G \text{ Mod kernel } G \text{ H } h) = \text{carrier } H$ "

```

```

proof
  show "( $\lambda X. \text{the\_elem } (h \text{ ' } X)$ ) ' carrier (G Mod kernel G H h)  $\subseteq$  carrier
  H"
    by (auto simp add: FactGroup_the_elem_mem)
  show "carrier H  $\subseteq$  ( $\lambda X. \text{the\_elem } (h \text{ ' } X)$ ) ' carrier (G Mod kernel G
  H h)"
    proof
      fix y
      assume y: "y  $\in$  carrier H"
      with h obtain g where g: "g  $\in$  carrier G" "h g = y"
      by (blast elim: equalityE)
      hence "( $\bigcup_{x \in \text{kernel G H h}} \#> g. \{h x\}$ ) = {y}"
      by (auto simp add: y kernel_def r_coset_def)
      with g show "y  $\in$  ( $\lambda X. \text{the\_elem } (h \text{ ' } X)$ ) ' carrier (G Mod kernel G
  H h)"
        apply (auto intro!: bexI image_eqI simp add: FactGroup_def RCOSETS_def)
        apply (subst the_elem_image_unique)
        apply auto
        done
    qed
qed

```

If h is a homomorphism from G onto H , then the quotient group $G \text{ Mod kernel } G \text{ H } h$ is isomorphic to H .

```

theorem (in group_hom) FactGroup_iso:
  "h ' carrier G = carrier H
   $\implies$  ( $\lambda X. \text{the\_elem } (h \text{ ' } X)$ )  $\in$  (G Mod (kernel G H h))  $\cong$  H"
by (simp add: iso_def FactGroup_hom FactGroup_inj_on bij_betw_def
  FactGroup_onto)

end

```

```

theory Exponent
imports Main "~~~/src/HOL/Number_Theory/Primes"
begin

```

5 Sylow's Theorem

The Combinatorial Argument Underlying the First Sylow Theorem

5.1 Prime Theorems

```

lemma prime_dvd_cases:
  assumes pk: "p*k dvd m*n" and p: "prime p"
  shows "( $\exists x. k \text{ dvd } x*n \wedge m = p*x$ )  $\vee$  ( $\exists y. k \text{ dvd } m*y \wedge n = p*y$ )"
proof -
  have "p dvd m*n" using dvd_mult_left pk by blast

```



```

then consider "p dvd m" | "p dvd n"
  using p prime_dvd_mult_eq_nat by blast
then show ?thesis
proof cases
  case 1 then obtain a where "m = p * a" by (metis dvd_mult_div_cancel)

    then have "∃x. k dvd x * n ∧ m = p * x"
      using p pk by auto
    then show ?thesis ..
  next
  case 2 then obtain b where "n = p * b" by (metis dvd_mult_div_cancel)

    then have "∃y. k dvd m*y ∧ n = p*y"
      using p pk by auto
    then show ?thesis ..
qed
qed

lemma prime_power_dvd_prod:
  assumes pc: "pc dvd m*n" and p: "prime p"
  shows "∃a b. a+b = c ∧ pa dvd m ∧ pb dvd n"
using pc
proof (induct c arbitrary: m n)
  case 0 show ?case by simp
next
  case (Suc c)
  consider x where "pc dvd x*n" "m = p*x" | y where "pc dvd m*y" "n = p*y"
  using prime_dvd_cases [of _ "pc", OF _ p] Suc.prems by force
  then show ?case
  proof cases
    case (1 x)
    with Suc.hyps [of x n] show "∃a b. a + b = Suc c ∧ pa dvd m
  ∧ pb dvd n"
    by force
  next
    case (2 y)
    with Suc.hyps [of m y] show "∃a b. a + b = Suc c ∧ pa dvd m
  ∧ pb dvd n"
    by force
  qed
qed

lemma add_eq_Suc_lem: "a+b = Suc (x+y) ⇒ a ≤ x ∨ b ≤ y"
  by arith

lemma prime_power_dvd_cases:
  "[pc dvd m * n; a + b = Suc c; prime p] ⇒ pa dvd m ∨ pb dvd n"

```

```
using power_le_dvd prime_power_dvd_prod by (blast dest: prime_power_dvd_prod
add_eq_Suc_lem)
```

needed in this form to prove Sylow's theorem

```
corollary div_combine: "[[prime p; ¬ p ^ Suc r dvd n; p ^ (a + r) dvd n
* k]] ⇒ p ^ a dvd k"
  by (metis add_Suc_right mult.commute prime_power_dvd_cases)
```

5.2 The Exponent Function

definition

```
exponent :: "nat => nat => nat"
where "exponent p s = (if prime p then (GREATEST r. p^r dvd s) else
0)"
```

```
lemma exponent_eq_0 [simp]: "¬ prime p ⇒ exponent p s = 0"
  by (simp add: exponent_def)
```

```
lemma Suc_le_power: "Suc 0 < p ⇒ Suc n ≤ p ^ n"
  by (induct n) (auto simp: Suc_le_eq le_less_trans)
```

An upper bound for the n such that $p^n \text{ dvd } a$: needed for GREATEST to exist

```
lemma power_dvd_bound: "[p ^ n dvd a; Suc 0 < p; 0 < a] ⇒ n < a"
  by (meson Suc_le_lessD Suc_le_power dvd_imp_le le_trans)
```

lemma exponent_ge:

```
assumes "p ^ k dvd n" "prime p" "0 < n"
shows "k ≤ exponent p n"
```

proof -

```
have "Suc 0 < p"
```

```
using ⟨prime p⟩ by (simp add: prime_def)
```

```
with assms show ?thesis
```

```
by (simp add: ⟨prime p⟩ exponent_def) (meson Greatest_le power_dvd_bound)
```

qed

```
lemma power_exponent_dvd: "p ^ exponent p s dvd s"
```

proof (cases "s = 0")

```
case True then show ?thesis by simp
```

next

```
case False then show ?thesis
```

```
apply (simp add: exponent_def, clarify)
```

```
apply (rule GreatestI [where k = 0])
```

```
apply (auto dest: prime_gt_Suc_0_nat power_dvd_bound)
```

```
done
```

qed

```
lemma power_Suc_exponent_Not_dvd:
```

```
"[p * p ^ exponent p s dvd s; prime p] ⇒ s = 0"
```

```

by (metis exponent_ge neq0_conv not_less_eq_eq order_refl power_Suc)

lemma exponent_power_eq [simp]: "prime p  $\implies$  exponent p (p ^ a) = a"
  apply (simp add: exponent_def)
  apply (rule Greatest_equality, simp)
  apply (simp add: prime_gt_Suc_0_nat power_dvd_imp_le)
  done

lemma exponent_1_eq_0 [simp]: "exponent p (Suc 0) = 0"
  apply (case_tac "prime p")
  apply (metis exponent_power_eq nat_power_eq_Suc_0_iff)
  apply simp
  done

lemma exponent_equalityI:
  " $(\bigwedge r. p ^ r \text{ dvd } a \longleftrightarrow p ^ r \text{ dvd } b) \implies \text{exponent } p \ a = \text{exponent } p \ b$ "
  by (simp add: exponent_def)

lemma exponent_mult_add:
  assumes "a > 0" "b > 0"
  shows "exponent p (a * b) = (exponent p a) + (exponent p b)"
proof (cases "prime p")
  case False then show ?thesis by simp
next
  case True show ?thesis
  proof (rule order_antisym)
    show "exponent p a + exponent p b  $\leq$  exponent p (a * b)"
      by (rule exponent_ge) (auto simp: mult_dvd_mono power_add power_exponent_dvd
        (prime p) assms)
    next
      { assume "exponent p a + exponent p b < exponent p (a * b)"
        then have "p ^ (Suc (exponent p a + exponent p b)) dvd a * b"
          by (meson Suc_le_eq power_exponent_dvd power_le_dvd)
          with prime_power_dvd_cases [where a = "Suc (exponent p a)" and
            b = "Suc (exponent p b)"]
            have False
              by (metis Suc_n_not_le_n True add_Suc add_Suc_right assms exponent_ge)
        }
    then show "exponent p (a * b)  $\leq$  exponent p a + exponent p b" by (blast
      intro: leI)
  qed
qed

```

```

lemma not_divides_exponent_0: "~ (p dvd n)  $\implies$  exponent p n = 0"
  apply (case_tac "exponent p n", simp)
  by (metis dvd_mult_left power_Suc power_exponent_dvd)

```

5.3 The Main Combinatorial Argument

```

lemma exponent_p_a_m_k_equation:
  assumes "0 < m" "0 < k" "p ≠ 0" "k < p^a"
    shows "exponent p (p^a * m - k) = exponent p (p^a - k)"
proof (rule exponent_equalityI [OF iffI])
  fix r
  assume *: "p ^ r dvd p ^ a * m - k"
  show "p ^ r dvd p ^ a - k"
  proof -
    have "k ≤ p ^ a * m" using assms
      by (meson nat_dvd_not_less dvd_triv_left leI mult_pos_pos order.strict_trans)
    then have "r ≤ a"
      by (meson "*" ⟨0 < k⟩ ⟨k < p^a⟩ dvd_diffD1 dvd_triv_left leI less_imp_le_nat
nat_dvd_not_less power_le_dvd)
    then have "p^r dvd p^a * m" by (simp add: le_imp_power_dvd)
    thus ?thesis
      by (meson ⟨k ≤ p ^ a * m⟩ ⟨r ≤ a⟩ * dvd_diffD1 dvd_diff_nat le_imp_power_dvd)
  qed
next
  fix r
  assume *: "p ^ r dvd p ^ a - k"
  with assms have "r ≤ a"
    by (metis diff_diff_cancel less_imp_le_nat nat_dvd_not_less nat_le_linear
power_le_dvd zero_less_diff)
  show "p ^ r dvd p ^ a * m - k"
  proof -
    have "p^r dvd p^a*m"
      by (simp add: ⟨r ≤ a⟩ le_imp_power_dvd)
    then show ?thesis
      by (meson assms * dvd_diffD1 dvd_diff_nat le_imp_power_dvd less_imp_le_nat
⟨r ≤ a⟩)
  qed
qed

```

```

lemma p_not_div_choose_lemma:
  assumes eeq: "∧i. Suc i < K ⇒ exponent p (Suc i) = exponent p (Suc
(j + i))"
    and "k < K"
    shows "exponent p (j + k choose k) = 0"
proof (cases "prime p")
  case False then show ?thesis by simp
next
  case True show ?thesis using ⟨k < K⟩
  proof (induction k)
    case 0 then show ?case by simp
  next
    case (Suc k)
    then have *: "(Suc (j+k) choose Suc k) > 0" by simp
    then have "exponent p ((Suc (j+k) choose Suc k) * Suc k) = exponent

```

```

p (Suc k)"
  by (metis Suc.IH Suc.prem1 Suc_lessD Suc_times_binomial_eq add.comm_neutral
  eqq exponent_mult_add
      mult_pos_pos zero_less_Suc zero_less_mult_pos)
  then show ?case
  by (metis * add commute add_Suc_right add_eq_self_zero exponent_mult_add
  zero_less_Suc)
qed
qed

```

The lemma above, with two changes of variables

```

lemma p_not_div_choose:
  assumes "k < K" and "k ≤ n"
  and eqq: "∧j. [0<j; j<K] ⇒ exponent p (n - k + (K - j)) = exponent
  p (K - j)"
  shows "exponent p (n choose k) = 0"
  apply (rule p_not_div_choose_lemma [of K p "n-k" k, simplified assms nat_minus_add_max
  max_absorb1])
  apply (metis add_Suc_right eqq diff_diff_cancel order_less_imp_le zero_less_Suc
  zero_less_diff)
  apply (rule TrueI)
  done

```

```

proposition const_p_fac:
  assumes "m>0"
  shows "exponent p (p^a * m choose p^a) = exponent p m"
proof (cases "prime p")
  case False then show ?thesis by auto
next
  case True
  with assms have p: "0 < p ^ a" "0 < p^a * m" "p^a ≤ p^a * m"
  by (auto simp: prime_gt_0_nat)
  have *: "exponent p ((p^a * m - 1) choose (p^a - 1)) = 0"
  apply (rule p_not_div_choose [where K = "p^a"])
  using p exponent_p_a_m_k_equation by (auto simp: diff_le_mono)
  have "exponent p ((p ^ a * m choose p ^ a) * p ^ a) = a + exponent p
  m"
  proof -
  have "p ^ a * m * (p ^ a * m - 1 choose (p ^ a - 1)) = p ^ a * (p
  ^ a * m choose p ^ a)"
  using p One_nat_def times_binomial_minus1_eq by presburger
  moreover have "exponent p (p ^ a) = a"
  by (meson True exponent_power_eq)
  ultimately show ?thesis
  using * p
  by (metis (no_types, lifting) One_nat_def exponent_1_eq_0 exponent_mult_add
  mult.commute mult.right_neutral nat_0_less_mult_iff zero_less_binomial)
  qed
  then show ?thesis

```

```

    using True p exponent_mult_add by auto
qed

end

```

```

theory Sylow
imports Coset Exponent
begin

```

See also [3].

The combinatorial argument is in theory Exponent

```

lemma le_extend_mult:
  fixes c::nat shows "[0 < c; a ≤ b] ⇒ a ≤ b * c"
by (metis divisors_zero dvd_triv_left leI less_le_trans nat_dvd_not_less
zero_less_iff_neq_zero)

```

```

locale sylow = group +
  fixes p and a and m and calM and RelM
  assumes prime_p: "prime p"
    and order_G: "order(G) = (p^a) * m"
    and finite_G [iff]: "finite (carrier G)"
  defines "calM == {s. s ⊆ carrier(G) & card(s) = p^a}"
    and "RelM == {(N1,N2). N1 ∈ calM & N2 ∈ calM &
      (∃ g ∈ carrier(G). N1 = (N2 #> g) )}"

```

```
begin
```

```

lemma RelM_refl_on: "refl_on calM RelM"
apply (auto simp add: refl_on_def RelM_def calM_def)
apply (blast intro!: coset_mult_one [symmetric])
done

```

```

lemma RelM_sym: "sym RelM"
proof (unfold sym_def RelM_def, clarify)
  fix y g
  assume "y ∈ calM"
  and g: "g ∈ carrier G"
  hence "y = y #> g #> (inv g)" by (simp add: coset_mult_assoc calM_def)
  thus "∃ g' ∈ carrier G. y = y #> g #> g'" by (blast intro: g)
qed

```

```

lemma RelM_trans: "trans RelM"
by (auto simp add: trans_def RelM_def calM_def coset_mult_assoc)

```

```

lemma RelM_equiv: "equiv calM RelM"
apply (unfold equiv_def)
apply (blast intro: RelM_refl_on RelM_sym RelM_trans)
done

```

```

lemma M_subset_calM_prep: "M' ∈ calM // RelM ==> M' ⊆ calM"
apply (unfold RelM_def)
apply (blast elim!: quotientE)
done

end

```

5.4 Main Part of the Proof

```

locale sylow_central = sylow +
  fixes H and M1 and M
  assumes M_in_quot: "M ∈ calM // RelM"
  and not_dvd_M: "~(p ^ Suc(exponent p m) dvd card(M))"
  and M1_in_M: "M1 ∈ M"
  defines "H == {g. g ∈ carrier G & M1 #> g = M1}"

begin

lemma M_subset_calM: "M ⊆ calM"
  by (rule M_in_quot [THEN M_subset_calM_prep])

lemma card_M1: "card(M1) = p^a"
  using M1_in_M M_subset_calM calM_def by blast

lemma exists_x_in_M1: "∃x. x ∈ M1"
using prime_p [THEN prime_gt_Suc_0_nat] card_M1
by (metis Suc_lessD card_eq_0_iff empty_subsetI equalityI gr_implies_not0
nat_zero_less_power_iff subsetI)

lemma M1_subset_G [simp]: "M1 ⊆ carrier G"
  using M1_in_M M_subset_calM calM_def mem_Collect_eq subsetCE by blast

lemma M1_inj_H: "∃f ∈ H → M1. inj_on f H"
proof -
  from exists_x_in_M1 obtain m1 where m1M: "m1 ∈ M1"..
  have m1G: "m1 ∈ carrier G" by (simp add: m1M M1_subset_G [THEN subsetD])
  show ?thesis
  proof
    show "inj_on (λz ∈ H. m1 ⊗ z) H"
      by (simp add: inj_on_def l_cancel [of m1 x y, THEN iffD1] H_def
m1G)
    show "restrict (op ⊗ m1) H ∈ H → M1"
  proof (rule restrictI)
    fix z assume zH: "z ∈ H"
    show "m1 ⊗ z ∈ M1"
  proof -
    from zH
    have zG: "z ∈ carrier G" and M1zeq: "M1 #> z = M1"
      by (auto simp add: H_def)

```

```

      show ?thesis
      by (rule subst [OF Mizeq], simp add: m1M zG rcosI)
    qed
  qed
qed
end

```

5.5 Discharging the Assumptions of `syLOW_central`

```

context syLOW
begin

lemma EmptyNotInEquivSet: "{} ∉ calM // RelM"
by (blast elim!: quotientE dest: RelM_equiv [THEN equiv_class_self])

lemma existsM1inM: "M ∈ calM // RelM ==> ∃M1. M1 ∈ M"
  using RelM_equiv equiv_Eps_in by blast

lemma zero_less_o_G: "0 < order(G)"
  by (simp add: order_def card_gt_0_iff carrier_not_empty)

lemma zero_less_m: "m > 0"
  using zero_less_o_G by (simp add: order_G)

lemma card_calM: "card(calM) = (p^a) * m choose p^a"
by (simp add: calM_def n_subsets order_G [symmetric] order_def)

lemma zero_less_card_calM: "card calM > 0"
by (simp add: card_calM zero_less_binomial le_extend_mult zero_less_m)

lemma max_p_div_calM:
  "~ (p ^ Suc(exponent p m) dvd card(calM))"
proof -
  have "exponent p m = exponent p (card calM)"
    by (simp add: card_calM const_p_fac zero_less_m)
  then show ?thesis
    by (metis Suc_n_not_le_n exponent_ge prime_p zero_less_card_calM)
qed

lemma finite_calM: "finite calM"
  unfolding calM_def
  by (rule_tac B = "Pow (carrier G) " in finite_subset) auto

lemma lemma_A1:
  "∃M ∈ calM // RelM. ~ (p ^ Suc(exponent p m) dvd card(M))"
  using RelM_equiv equiv_imp_dvd_card finite_calM max_p_div_calM by blast

```


end

5.5.1 Introduction and Destruct Rules for H

```
lemma (in sylow_central) H_I: "[|g ∈ carrier G; M1 #> g = M1|] ==> g
∈ H"
by (simp add: H_def)
```

```
lemma (in sylow_central) H_into_carrier_G: "x ∈ H ==> x ∈ carrier G"
by (simp add: H_def)
```

```
lemma (in sylow_central) in_H_imp_eq: "g : H ==> M1 #> g = M1"
by (simp add: H_def)
```

```
lemma (in sylow_central) H_m_closed: "[| x∈H; y∈H|] ==> x ⊗ y ∈ H"
apply (unfold H_def)
apply (simp add: coset_mult_assoc [symmetric])
done
```

```
lemma (in sylow_central) H_not_empty: "H ≠ {}"
apply (simp add: H_def)
apply (rule exI [of _ 1], simp)
done
```

```
lemma (in sylow_central) H_is_subgroup: "subgroup H G"
apply (rule subgroupI)
apply (rule subsetI)
apply (erule H_into_carrier_G)
apply (rule H_not_empty)
apply (simp add: H_def, clarify)
apply (erule_tac P = "%z. lhs(z) = M1" for lhs in subst)
apply (simp add: coset_mult_assoc )
apply (blast intro: H_m_closed)
done
```

```
lemma (in sylow_central) rcosetGM1g_subset_G:
  "[| g ∈ carrier G; x ∈ M1 #> g |] ==> x ∈ carrier G"
by (blast intro: M1_subset_G [THEN r_coset_subset_G, THEN subsetD])
```

```
lemma (in sylow_central) finite_M1: "finite M1"
by (rule finite_subset [OF M1_subset_G finite_G])
```

```
lemma (in sylow_central) finite_rcosetGM1g: "g∈carrier G ==> finite (M1
#> g)"
  using rcosetGM1g_subset_G finite_G M1_subset_G cosets_finite rcosetsI
by blast
```

```
lemma (in sylow_central) M1_cardeq_rcosetGM1g:
```

```
"g ∈ carrier G ==> card(M1 #> g) = card(M1)"
by (simp (no_asm_simp) add: card_cosets_equal rcosetsI)
```

```
lemma (in sylow_central) M1_RelM_rcosetGM1g:
  "g ∈ carrier G ==> (M1, M1 #> g) ∈ RelM"
apply (simp add: RelM_def calM_def card_M1)
apply (rule conjI)
  apply (blast intro: rcosetGM1g_subset_G)
apply (simp add: card_M1 M1_cardeq_rcosetGM1g)
apply (metis M1_subset_G coset_mult_assoc coset_mult_one r_inv_ex)
done
```

5.6 Equal Cardinalities of M and the Set of Cosets

Injections between M and $\text{rcosets}_G H$ show that their cardinalities are equal.

```
lemma ElemClassEquiv:
  "[| equiv A r; C ∈ A // r |] ==> ∀x ∈ C. ∀y ∈ C. (x,y)∈r"
by (unfold equiv_def quotient_def sym_def trans_def, blast)
```

```
lemma (in sylow_central) M_elem_map:
  "M2 ∈ M ==> ∃g. g ∈ carrier G & M1 #> g = M2"
apply (cut_tac M1_in_M M_in_quot [THEN RelM_equiv [THEN ElemClassEquiv]])
apply (simp add: RelM_def)
apply (blast dest!: bspec)
done
```

```
lemmas (in sylow_central) M_elem_map_carrier =
  M_elem_map [THEN someI_ex, THEN conjunct1]
```

```
lemmas (in sylow_central) M_elem_map_eq =
  M_elem_map [THEN someI_ex, THEN conjunct2]
```

```
lemma (in sylow_central) M_funcset_rcosets_H:
  "(%x:M. H #> (SOME g. g ∈ carrier G & M1 #> g = x)) ∈ M → rcosets
H"
  by (metis (lifting) H_is_subgroup M_elem_map_carrier rcosetsI restrictI
subgroup_imp_subset)
```

```
lemma (in sylow_central) inj_M_GmodH: "∃f ∈ M → rcosets H. inj_on f
M"
apply (rule bexI)
apply (rule_tac [2] M_funcset_rcosets_H)
apply (rule inj_onI, simp)
apply (rule trans [OF _ M_elem_map_eq])
prefer 2 apply assumption
apply (rule M_elem_map_eq [symmetric, THEN trans], assumption)
apply (rule coset_mult_inv1)
apply (erule_tac [2] M_elem_map_carrier)+
apply (rule_tac [2] M1_subset_G)
```

```

apply (rule coset_join1 [THEN in_H_imp_eq])
apply (rule_tac [3] H_is_subgroup)
prefer 2 apply (blast intro: M_elem_map_carrier)
apply (simp add: coset_mult_inv2 H_def M_elem_map_carrier subset_eq)
done

```

5.6.1 The Opposite Injection

```

lemma (in sylow_central) H_elem_map:
  "H1 ∈ rcosets H ==> ∃g. g ∈ carrier G & H #> g = H1"
by (auto simp add: RCOSETS_def)

```

```

lemmas (in sylow_central) H_elem_map_carrier =
  H_elem_map [THEN someI_ex, THEN conjunct1]

```

```

lemmas (in sylow_central) H_elem_map_eq =
  H_elem_map [THEN someI_ex, THEN conjunct2]

```

```

lemma (in sylow_central) rcosets_H_funcset_M:
  "(λC ∈ rcosets H. M1 #> (@g. g ∈ carrier G ∧ H #> g = C)) ∈ rcosets
H → M"
apply (simp add: RCOSETS_def)
apply (fast intro: someI2
  intro!: M1_in_M in_quotient_imp_closed [OF RelM_equiv M_in_quot
_ M1_RelM_rcosetGM1g])
done

```

close to a duplicate of inj_M_GmodH

```

lemma (in sylow_central) inj_GmodH_M:
  "∃g ∈ rcosets H→M. inj_on g (rcosets H)"
apply (rule bexI)
apply (rule_tac [2] rcosets_H_funcset_M)
apply (rule inj_onI)
apply (simp)
apply (rule trans [OF _ H_elem_map_eq])
prefer 2 apply assumption
apply (rule H_elem_map_eq [symmetric, THEN trans], assumption)
apply (rule coset_mult_inv1)
apply (erule_tac [2] H_elem_map_carrier)+
apply (rule_tac [2] H_is_subgroup [THEN subgroup.subset])
apply (rule coset_join2)
apply (blast intro: H_elem_map_carrier)
apply (rule H_is_subgroup)
apply (simp add: H_I coset_mult_inv2 H_elem_map_carrier)
done

```

```

lemma (in sylow_central) calM_subset_PowG: "calM ⊆ Pow(carrier G)"
by (auto simp add: calM_def)

```

```

lemma (in sylow_central) finite_M: "finite M"
by (metis M_subset_calM finite_calM rev_finite_subset)

lemma (in sylow_central) cardMeqIndexH: "card(M) = card(rcosets H)"
apply (insert inj_M_GmodH inj_GmodH_M)
apply (blast intro: card_bij finite_M H_is_subgroup
           rcosets_subset_PowG [THEN finite_subset]
           finite_Pow_iff [THEN iffD2])
done

lemma (in sylow_central) index_lem: "card(M) * card(H) = order(G)"
by (simp add: cardMeqIndexH lagrange H_is_subgroup)

lemma (in sylow_central) lemma_leq1: "p^a ≤ card(H)"
apply (rule dvd_imp_le)
  apply (rule div_combine [OF prime_p not_dvd_M])
  prefer 2 apply (blast intro: subgroup.finite_imp_card_positive H_is_subgroup)
apply (simp add: index_lem order_G power_add mult_dvd_mono power_exponent_dvd
           zero_less_m)
done

lemma (in sylow_central) lemma_leq2: "card(H) ≤ p^a"
apply (subst card_M1 [symmetric])
apply (cut_tac M1_inj_H)
apply (blast intro!: M1_subset_G intro:
           card_inj H_into_carrier_G finite_subset [OF _ finite_G])
done

lemma (in sylow_central) card_H_eq: "card(H) = p^a"
by (blast intro: le_antisym lemma_leq1 lemma_leq2)

lemma (in sylow) sylow_thm: "∃H. subgroup H G & card(H) = p^a"
apply (cut_tac lemma_A1, clarify)
apply (frule existsM1inM, clarify)
apply (subgoal_tac "sylow_central G p a m M1 M")
  apply (blast dest: sylow_central.H_is_subgroup sylow_central.card_H_eq)
apply (simp add: sylow_central_def sylow_central_axioms_def sylow_axioms
           calM_def RelM_def)
done

Needed because the locale's automatic definition refers to semigroup G and
group_axioms G rather than simply to group G.

lemma sylow_eq: "sylow G p a m = (group G & sylow_axioms G p a m)"
by (simp add: sylow_def group_def)

```

5.7 Sylow's Theorem

theorem sylow_thm:

```

    "[| prime p; group(G); order(G) = (p^a) * m; finite (carrier G)|]
    ==> ∃H. subgroup H G & card(H) = p^a"
  apply (rule sylow.sylow_thm [of G p a m])
  apply (simp add: sylow_eq sylow_axioms_def)
done

end

```

```

theory Bij
imports Group
begin

```

6 Bijections of a Set, Permutation and Automorphism Groups

definition

```

Bij :: "'a set ⇒ ('a ⇒ 'a) set"
  — Only extensional functions, since otherwise we get too many.
  where "Bij S = extensional S ∩ {f. bij_betw f S S}"

```

definition

```

BijGroup :: "'a set ⇒ ('a ⇒ 'a) monoid"
  where "BijGroup S =
    (carrier = Bij S,
     mult = λg ∈ Bij S. λf ∈ Bij S. compose S g f,
     one = λx ∈ S. x)"

```

```

declare Id_compose [simp] compose_Id [simp]

```

```

lemma Bij_imp_extensional: "f ∈ Bij S ⇒ f ∈ extensional S"
  by (simp add: Bij_def)

```

```

lemma Bij_imp_funcset: "f ∈ Bij S ⇒ f ∈ S → S"
  by (auto simp add: Bij_def bij_betw_imp_funcset)

```

6.1 Bijections Form a Group

```

lemma restrict_inv_into_Bij: "f ∈ Bij S ⇒ (λx ∈ S. (inv_into S f)
x) ∈ Bij S"
  by (simp add: Bij_def bij_betw_inv_into)

```

```

lemma id_Bij: "(λx∈S. x) ∈ Bij S "
  by (auto simp add: Bij_def bij_betw_def inj_on_def)

```

```

lemma compose_Bij: "[x ∈ Bij S; y ∈ Bij S] ⇒ compose S x y ∈ Bij S"
  by (auto simp add: Bij_def bij_betw_compose)

```

```

lemma Bij_compose_restrict_eq:
  "f ∈ Bij S ⇒ compose S (restrict (inv_into S f) S) f = (λx∈S.
x)"
  by (simp add: Bij_def compose_inv_into_id)

```

```

theorem group_BijGroup: "group (BijGroup S)"
apply (simp add: BijGroup_def)
apply (rule groupI)
  apply (simp add: compose_Bij)
  apply (simp add: id_Bij)
  apply (simp add: compose_Bij)
  apply (blast intro: compose_assoc [symmetric] dest: Bij_imp_funcset)
  apply (simp add: id_Bij Bij_imp_funcset Bij_imp_extensional, simp)
apply (blast intro: Bij_compose_restrict_eq restrict_inv_into_Bij)
done

```

6.2 Automorphisms Form a Group

```

lemma Bij_inv_into_mem: "[[ f ∈ Bij S; x ∈ S]] ⇒ inv_into S f x ∈ S"
by (simp add: Bij_def bij_betw_def inv_into_into)

```

```

lemma Bij_inv_into_lemma:
  assumes eq: "∧x y. [[x ∈ S; y ∈ S]] ⇒ h(g x y) = g (h x) (h y)"
  shows "[[h ∈ Bij S; g ∈ S → S → S; x ∈ S; y ∈ S]]
  ⇒ inv_into S h (g x y) = g (inv_into S h x) (inv_into S h y)"
  apply (simp add: Bij_def bij_betw_def)
  apply (subgoal_tac "∃x'∈S. ∃y'∈S. x = h x' & y = h y'", clarify)
  apply (simp add: eq [symmetric] inv_f_f funcset_mem [THEN funcset_mem],
blast)
done

```

definition

```

auto :: "('a, 'b) monoid_scheme ⇒ ('a ⇒ 'a) set"
where "auto G = hom G G ∩ Bij (carrier G)"

```

definition

```

AutoGroup :: "('a, 'c) monoid_scheme ⇒ ('a ⇒ 'a) monoid"
where "AutoGroup G = BijGroup (carrier G) (|carrier := auto G)"

```

```

lemma (in group) id_in_auto: "(λx ∈ carrier G. x) ∈ auto G"
  by (simp add: auto_def hom_def restrictI group.axioms id_Bij)

```

```

lemma (in group) mult_funcset: "mult G ∈ carrier G → carrier G → carrier
G"
  by (simp add: Pi_I group.axioms)

```

```

lemma (in group) restrict_inv_into_hom:

```

```

    "[h ∈ hom G G; h ∈ Bij (carrier G)]
    ⇒ restrict (inv_into (carrier G) h) (carrier G) ∈ hom G G"
  by (simp add: hom_def Bij_inv_into_mem restrictI mult_funcset
        group.axioms Bij_inv_into_lemma)

lemma inv_BijGroup:
  "f ∈ Bij S ⇒ m_inv (BijGroup S) f = (λx ∈ S. (inv_into S f) x)"
  apply (rule group.inv_equality)
  apply (rule group_BijGroup)
  apply (simp_all add: BijGroup_def restrict_inv_into_Bij Bij_compose_restrict_eq)
  done

lemma (in group) subgroup_auto:
  "subgroup (auto G) (BijGroup (carrier G))"
  proof (rule subgroup.intro)
    show "auto G ⊆ carrier (BijGroup (carrier G))"
      by (force simp add: auto_def BijGroup_def)
  next
    fix x y
    assume "x ∈ auto G" "y ∈ auto G"
    thus "x ⊗BijGroup (carrier G) y ∈ auto G"
      by (force simp add: BijGroup_def is_group auto_def Bij_imp_funcset
            group.hom_compose compose_Bij)
  next
    show "1BijGroup (carrier G) ∈ auto G" by (simp add: BijGroup_def id_in_auto)
  next
    fix x
    assume "x ∈ auto G"
    thus "invBijGroup (carrier G) x ∈ auto G"
      by (simp del: restrict_apply
            add: inv_BijGroup auto_def restrict_inv_into_Bij restrict_inv_into_hom)
  qed

theorem (in group) AutoGroup: "group (AutoGroup G)"
  by (simp add: AutoGroup_def subgroup.subgroup_is_group subgroup_auto
        group_BijGroup)

end

```

7 Divisibility in monoids and rings

```

theory Divisibility
  imports "~/src/HOL/Library/Permutation" Coset Group
  begin

```

8 Factorial Monoids

8.1 Monoids with Cancellation Law

```

locale monoid_cancel = monoid +
  assumes l_cancel:
    "[c ⊗ a = c ⊗ b; a ∈ carrier G; b ∈ carrier G; c ∈ carrier
G] ⇒ a = b"
  and r_cancel:
    "[a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier G; c ∈ carrier
G] ⇒ a = b"

```

```

lemma (in monoid) monoid_cancelI:
  assumes l_cancel:
    "∧a b c. [c ⊗ a = c ⊗ b; a ∈ carrier G; b ∈ carrier G; c ∈
carrier G] ⇒ a = b"
  and r_cancel:
    "∧a b c. [a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier G; c ∈
carrier G] ⇒ a = b"
  shows "monoid_cancel G"
  by standard fact+

```

```

lemma (in monoid_cancel) is_monoid_cancel:
  "monoid_cancel G"
  ..

```

```

sublocale group ⊆ monoid_cancel
  by standard simp_all

```

```

locale comm_monoid_cancel = monoid_cancel + comm_monoid

```

```

lemma comm_monoid_cancelI:
  fixes G (structure)
  assumes "comm_monoid G"
  assumes cancel:
    "∧a b c. [a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier G; c ∈
carrier G] ⇒ a = b"
  shows "comm_monoid_cancel G"
proof -
  interpret comm_monoid G by fact
  show "comm_monoid_cancel G"
  by unfold_locales (metis assms(2) m_ac(2))+
qed

```

```

lemma (in comm_monoid_cancel) is_comm_monoid_cancel:
  "comm_monoid_cancel G"
  by intro_locales

```

```

sublocale comm_group ⊆ comm_monoid_cancel

```


..

8.2 Products of Units in Monoids

```

lemma (in monoid) Units_m_closed[simp, intro]:
  assumes h1unit: "h1 ∈ Units G" and h2unit: "h2 ∈ Units G"
  shows "h1 ⊗ h2 ∈ Units G"
unfolding Units_def
using assms
by auto (metis Units_inv_closed Units_l_inv Units_m_closed Units_r_inv)

lemma (in monoid) prod_unit_l:
  assumes abunit[simp]: "a ⊗ b ∈ Units G" and aunit[simp]: "a ∈ Units
G"
  and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "b ∈ Units G"
proof -
  have c: "inv (a ⊗ b) ⊗ a ∈ carrier G" by simp

  have "(inv (a ⊗ b) ⊗ a) ⊗ b = inv (a ⊗ b) ⊗ (a ⊗ b)" by (simp add:
m_assoc)
  also have "... = 1" by simp
  finally have li: "(inv (a ⊗ b) ⊗ a) ⊗ b = 1" .

  have "1 = inv a ⊗ a" by (simp add: Units_l_inv[symmetric])
  also have "... = inv a ⊗ 1 ⊗ a" by simp
  also have "... = inv a ⊗ ((a ⊗ b) ⊗ inv (a ⊗ b)) ⊗ a"
    by (simp add: Units_r_inv[OF abunit, symmetric] del: Units_r_inv)
  also have "... = ((inv a ⊗ a) ⊗ b) ⊗ inv (a ⊗ b) ⊗ a"
    by (simp add: m_assoc del: Units_l_inv)
  also have "... = b ⊗ inv (a ⊗ b) ⊗ a" by simp
  also have "... = b ⊗ (inv (a ⊗ b) ⊗ a)" by (simp add: m_assoc)
  finally have ri: "b ⊗ (inv (a ⊗ b) ⊗ a) = 1" by simp

  from c li ri
  show "b ∈ Units G" by (simp add: Units_def, fast)
qed

lemma (in monoid) prod_unit_r:
  assumes abunit[simp]: "a ⊗ b ∈ Units G" and bunit[simp]: "b ∈ Units
G"
  and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "a ∈ Units G"
proof -
  have c: "b ⊗ inv (a ⊗ b) ∈ carrier G" by simp

  have "a ⊗ (b ⊗ inv (a ⊗ b)) = (a ⊗ b) ⊗ inv (a ⊗ b)"
    by (simp add: m_assoc del: Units_r_inv)
  also have "... = 1" by simp

```

```

finally have li: "a  $\otimes$  (b  $\otimes$  inv (a  $\otimes$  b)) = 1" .

have "1 = b  $\otimes$  inv b" by (simp add: Units_r_inv[symmetric])
also have "... = b  $\otimes$  1  $\otimes$  inv b" by simp
also have "... = b  $\otimes$  (inv (a  $\otimes$  b)  $\otimes$  (a  $\otimes$  b))  $\otimes$  inv b"
  by (simp add: Units_l_inv[OF abunit, symmetric] del: Units_l_inv)
also have "... = (b  $\otimes$  inv (a  $\otimes$  b)  $\otimes$  a)  $\otimes$  (b  $\otimes$  inv b)"
  by (simp add: m_assoc del: Units_l_inv)
also have "... = b  $\otimes$  inv (a  $\otimes$  b)  $\otimes$  a" by simp
finally have ri: "(b  $\otimes$  inv (a  $\otimes$  b))  $\otimes$  a = 1" by simp

from c li ri
  show "a  $\in$  Units G" by (simp add: Units_def, fast)
qed

lemma (in comm_monoid) unit_factor:
  assumes abunit: "a  $\otimes$  b  $\in$  Units G"
  and [simp]: "a  $\in$  carrier G" "b  $\in$  carrier G"
  shows "a  $\in$  Units G"
using abunit[simplified Units_def]
proof clarsimp
  fix i
  assume [simp]: "i  $\in$  carrier G"
  and li: "i  $\otimes$  (a  $\otimes$  b) = 1"
  and ri: "a  $\otimes$  b  $\otimes$  i = 1"

  have carr': "b  $\otimes$  i  $\in$  carrier G" by simp

  have "(b  $\otimes$  i)  $\otimes$  a = (i  $\otimes$  b)  $\otimes$  a" by (simp add: m_comm)
  also have "... = i  $\otimes$  (b  $\otimes$  a)" by (simp add: m_assoc)
  also have "... = i  $\otimes$  (a  $\otimes$  b)" by (simp add: m_comm)
  also note li
  finally have li': "(b  $\otimes$  i)  $\otimes$  a = 1" .

  have "a  $\otimes$  (b  $\otimes$  i) = a  $\otimes$  b  $\otimes$  i" by (simp add: m_assoc)
  also note ri
  finally have ri': "a  $\otimes$  (b  $\otimes$  i) = 1" .

  from carr' li' ri'
    show "a  $\in$  Units G" by (simp add: Units_def, fast)
qed

```

8.3 Divisibility and Association

8.3.1 Function definitions

definition

```

factor :: "[_, 'a, 'a]  $\Rightarrow$  bool" (infix "dividesz" 65)
where "a dividesG b  $\longleftrightarrow$  ( $\exists c \in$  carrier G. b = a  $\otimes_G$  c)"

```

definition

```
associated :: "[_, 'a, 'a] => bool" (infix "~ᵢ" 55)
where "a ~G b ↔ a dividesG b ∧ b dividesG a"
```

abbreviation

```
"division_rel G == (carrier = carrier G, eq = op ~G, le = op dividesG)"
```

definition

```
properfactor :: "[_, 'a, 'a] ⇒ bool"
where "properfactor G a b ↔ a dividesG b ∧ ¬(b dividesG a)"
```

definition

```
irreducible :: "[_, 'a] ⇒ bool"
where "irreducible G a ↔ a ∉ Units G ∧ (∀b∈carrier G. properfactor
G b a → b ∈ Units G)"
```

definition

```
prime :: "[_, 'a] ⇒ bool" where
"prime G p ↔
  p ∉ Units G ∧
  (∀a∈carrier G. ∀b∈carrier G. p dividesG (a ⊗G b) → p dividesG
a ∨ p dividesG b)"
```

8.3.2 Divisibility

lemma dividesI:

```
fixes G (structure)
assumes carr: "c ∈ carrier G"
and p: "b = a ⊗ c"
shows "a divides b"
unfolding factor_def
using assms by fast
```

lemma dividesI' [intro]:

```
fixes G (structure)
assumes p: "b = a ⊗ c"
and carr: "c ∈ carrier G"
shows "a divides b"
using assms
by (fast intro: dividesI)
```

lemma dividesD:

```
fixes G (structure)
assumes "a divides b"
shows "∃c∈carrier G. b = a ⊗ c"
using assms
unfolding factor_def
by fast
```

```

lemma dividesE [elim]:
  fixes G (structure)
  assumes d: "a divides b"
    and elim: " $\bigwedge c. [b = a \otimes c; c \in \text{carrier } G] \implies P$ "
  shows "P"
proof -
  from dividesD[OF d]
    obtain c
      where "c ∈ carrier G"
        and "b = a  $\otimes$  c"
      by auto
  thus "P" by (elim elim)
qed

lemma (in monoid) divides_refl[simp, intro!]:
  assumes carr: "a ∈ carrier G"
  shows "a divides a"
apply (intro dividesI[of "1"])
apply (simp, simp add: carr)
done

lemma (in monoid) divides_trans [trans]:
  assumes dvds: "a divides b" "b divides c"
    and acarr: "a ∈ carrier G"
  shows "a divides c"
using dvds[THEN dividesD]
by (blast intro: dividesI m_assoc acarr)

lemma (in monoid) divides_mult_lI [intro]:
  assumes ab: "a divides b"
    and carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(c  $\otimes$  a) divides (c  $\otimes$  b)"
using ab
apply (elim dividesE, simp add: m_assoc[symmetric] carr)
apply (fast intro: dividesI)
done

lemma (in monoid_cancel) divides_mult_l [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(c  $\otimes$  a) divides (c  $\otimes$  b) = a divides b"
apply safe
apply (elim dividesE, intro dividesI, assumption)
apply (rule l_cancel[of c])
apply (simp add: m_assoc carr)+
apply (fast intro: carr)
done

lemma (in comm_monoid) divides_mult_rI [intro]:
  assumes ab: "a divides b"

```

```

    and carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
    shows "(a ⊗ c) divides (b ⊗ c)"
using carr ab
apply (simp add: m_comm[of a c] m_comm[of b c])
apply (rule divides_mult_lI, assumption+)
done

lemma (in comm_monoid_cancel) divides_mult_r [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(a ⊗ c) divides (b ⊗ c) = a divides b"
using carr
by (simp add: m_comm[of a c] m_comm[of b c])

lemma (in monoid) divides_prod_r:
  assumes ab: "a divides b"
  and carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "a divides (b ⊗ c)"
using ab carr
by (fast intro: m_assoc)

lemma (in comm_monoid) divides_prod_l:
  assumes carr[intro]: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier
G"
  and ab: "a divides b"
  shows "a divides (c ⊗ b)"
using ab carr
apply (simp add: m_comm[of c b])
apply (fast intro: divides_prod_r)
done

lemma (in monoid) unit_divides:
  assumes uunit: "u ∈ Units G"
  and acarr: "a ∈ carrier G"
  shows "u divides a"
proof (intro dividesI[of "(inv u) ⊗ a"], fast intro: uunit acarr)
  from uunit acarr
  have xcarr: "inv u ⊗ a ∈ carrier G" by fast

  from uunit acarr
  have "u ⊗ (inv u ⊗ a) = (u ⊗ inv u) ⊗ a" by (fast intro: m_assoc[symmetric])
  also have "... = 1 ⊗ a" by (simp add: Units_r_inv[OF uunit])
  also from acarr
  have "... = a" by simp
  finally
  show "a = u ⊗ (inv u ⊗ a)" ..
qed

lemma (in comm_monoid) divides_unit:
  assumes udvd: "a divides u"

```

```

    and carr: "a ∈ carrier G" "u ∈ Units G"
    shows "a ∈ Units G"
using udvd carr
by (blast intro: unit_factor)

lemma (in comm_monoid) Unit_eq_dividesone:
  assumes ucarr: "u ∈ carrier G"
  shows "u ∈ Units G = u divides 1"
using ucarr
by (fast dest: divides_unit intro: unit_divides)

```

8.3.3 Association

```

lemma associatedI:
  fixes G (structure)
  assumes "a divides b" "b divides a"
  shows "a ~ b"
using assms
by (simp add: associated_def)

lemma (in monoid) associatedI2:
  assumes uunit[simp]: "u ∈ Units G"
  and a: "a = b ⊗ u"
  and bcarr[simp]: "b ∈ carrier G"
  shows "a ~ b"
using uunit bcarr
unfolding a
apply (intro associatedI)
apply (rule dividesI[of "inv u"], simp)
apply (simp add: m_assoc Units_closed)
apply fast
done

lemma (in monoid) associatedI2':
  assumes a: "a = b ⊗ u"
  and uunit: "u ∈ Units G"
  and bcarr: "b ∈ carrier G"
  shows "a ~ b"
using assms by (intro associatedI2)

lemma associatedD:
  fixes G (structure)
  assumes "a ~ b"
  shows "a divides b"
using assms by (simp add: associated_def)

lemma (in monoid_cancel) associatedD2:
  assumes assoc: "a ~ b"
  and carr: "a ∈ carrier G" "b ∈ carrier G"

```

```

    shows "∃u∈Units G. a = b ⊗ u"
using assoc
unfolding associated_def
proof clarify
  assume "b divides a"
  hence "∃u∈carrier G. a = b ⊗ u" by (rule dividesD)
  from this obtain u
    where ucarr: "u ∈ carrier G" and a: "a = b ⊗ u"
    by auto

  assume "a divides b"
  hence "∃u'∈carrier G. b = a ⊗ u'" by (rule dividesD)
  from this obtain u'
    where u'carr: "u' ∈ carrier G" and b: "b = a ⊗ u'"
    by auto
  note carr = carr ucarr u'carr

  from carr
    have "a ⊗ 1 = a" by simp
  also have "... = b ⊗ u" by (simp add: a)
  also have "... = a ⊗ u' ⊗ u" by (simp add: b)
  also from carr
    have "... = a ⊗ (u' ⊗ u)" by (simp add: m_assoc)
  finally
    have "a ⊗ 1 = a ⊗ (u' ⊗ u)" .
  with carr
    have u1: "1 = u' ⊗ u" by (fast dest: l_cancel)

  from carr
    have "b ⊗ 1 = b" by simp
  also have "... = a ⊗ u'" by (simp add: b)
  also have "... = b ⊗ u ⊗ u'" by (simp add: a)
  also from carr
    have "... = b ⊗ (u ⊗ u')" by (simp add: m_assoc)
  finally
    have "b ⊗ 1 = b ⊗ (u ⊗ u')" .
  with carr
    have u2: "1 = u ⊗ u'" by (fast dest: l_cancel)

  from u'carr u1[symmetric] u2[symmetric]
    have "∃u'∈carrier G. u' ⊗ u = 1 ∧ u ⊗ u' = 1" by fast
  hence "u ∈ Units G" by (simp add: Units_def ucarr)

  from ucarr this a
    show "∃u∈Units G. a = b ⊗ u" by fast
qed

lemma associatedE:
  fixes G (structure)

```

```

    assumes assoc: "a ~ b"
      and e: "[a divides b; b divides a]  $\implies$  P"
    shows "P"
  proof -
    from assoc
      have "a divides b" "b divides a"
        by (simp add: associated_def)+
      thus "P" by (elim e)
  qed

lemma (in monoid_cancel) associatedE2:
  assumes assoc: "a ~ b"
    and e: " $\bigwedge u. [a = b \otimes u; u \in \text{Units } G] \implies P$ "
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G"
  shows "P"
  proof -
    from assoc and carr
      have " $\exists u \in \text{Units } G. a = b \otimes u$ " by (rule associatedD2)
    from this obtain u
      where "u  $\in$  Units G" "a = b  $\otimes$  u"
      by auto
    thus "P" by (elim e)
  qed

lemma (in monoid) associated_refl [simp, intro!]:
  assumes "a  $\in$  carrier G"
  shows "a ~ a"
  using assms
  by (fast intro: associatedI)

lemma (in monoid) associated_sym [sym]:
  assumes "a ~ b"
    and "a  $\in$  carrier G" "b  $\in$  carrier G"
  shows "b ~ a"
  using assms
  by (iprover intro: associatedI elim: associatedE)

lemma (in monoid) associated_trans [trans]:
  assumes "a ~ b" "b ~ c"
    and "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "a ~ c"
  using assms
  by (iprover intro: associatedI divides_trans elim: associatedE)

lemma (in monoid) division_equiv [intro, simp]:
  "equivalence (division_rel G)"
  apply unfold_locales
  apply simp_all
  apply (metis associated_def)

```



```

apply (iprover intro: associated_trans)
done

```

8.3.4 Division and associativity

```

lemma divides_antisym:
  fixes G (structure)
  assumes "a divides b" "b divides a"
    and "a ∈ carrier G" "b ∈ carrier G"
  shows "a ~ b"
using assms
by (fast intro: associatedI)

lemma (in monoid) divides_cong_l [trans]:
  assumes xx': "x ~ x'"
    and xdvdy: "x' divides y"
    and carr [simp]: "x ∈ carrier G" "x' ∈ carrier G" "y ∈ carrier
G"
  shows "x divides y"
proof -
  from xx'
    have "x divides x'" by (simp add: associatedD)
  also note xdvdy
  finally
    show "x divides y" by simp
qed

lemma (in monoid) divides_cong_r [trans]:
  assumes xdvdy: "x divides y"
    and yy': "y ~ y'"
    and carr[simp]: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
  shows "x divides y'"
proof -
  note xdvdy
  also from yy'
    have "y divides y'" by (simp add: associatedD)
  finally
    show "x divides y'" by simp
qed

lemma (in monoid) division_weak_partial_order [simp, intro!]:
  "weak_partial_order (division_rel G)"
apply unfold_locales
apply simp_all
apply (simp add: associated_sym)
apply (blast intro: associated_trans)
apply (simp add: divides_antisym)
apply (blast intro: divides_trans)
apply (blast intro: divides_cong_l divides_cong_r associated_sym)

```

done

8.3.5 Multiplication and associativity

```
lemma (in monoid_cancel) mult_cong_r:
  assumes "b ~ b'"
  and carr: "a ∈ carrier G" "b ∈ carrier G" "b' ∈ carrier G"
  shows "a ⊗ b ~ a ⊗ b'"
using assms
apply (elim associatedE2, intro associatedI2)
apply (auto intro: m_assoc[symmetric])
done
```

```
lemma (in comm_monoid_cancel) mult_cong_l:
  assumes "a ~ a'"
  and carr: "a ∈ carrier G" "a' ∈ carrier G" "b ∈ carrier G"
  shows "a ⊗ b ~ a' ⊗ b"
using assms
apply (elim associatedE2, intro associatedI2)
  apply assumption
  apply (simp add: m_assoc Units_closed)
  apply (simp add: m_comm Units_closed)
  apply simp+
done
```

```
lemma (in monoid_cancel) assoc_l_cancel:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "b' ∈ carrier G"
  and "a ⊗ b ~ a ⊗ b'"
  shows "b ~ b'"
using assms
apply (elim associatedE2, intro associatedI2)
  apply assumption
  apply (rule l_cancel[of a])
  apply (simp add: m_assoc Units_closed)
  apply fast+
done
```

```
lemma (in comm_monoid_cancel) assoc_r_cancel:
  assumes "a ⊗ b ~ a' ⊗ b"
  and carr: "a ∈ carrier G" "a' ∈ carrier G" "b ∈ carrier G"
  shows "a ~ a'"
using assms
apply (elim associatedE2, intro associatedI2)
  apply assumption
  apply (rule r_cancel[of a b])
  apply (metis Units_closed assms(3) assms(4) m_ac)
  apply fast+
done
```

8.3.6 Units

```

lemma (in monoid_cancel) assoc_unit_l [trans]:
  assumes asc: "a ~ b" and bunit: "b ∈ Units G"
    and carr: "a ∈ carrier G"
  shows "a ∈ Units G"
using assms
by (fast elim: associatedE2)

lemma (in monoid_cancel) assoc_unit_r [trans]:
  assumes aunit: "a ∈ Units G" and asc: "a ~ b"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
using aunit bcarr associated_sym[OF asc]
by (blast intro: assoc_unit_l)

lemma (in comm_monoid) Units_cong:
  assumes aunit: "a ∈ Units G" and asc: "a ~ b"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
using assms
by (blast intro: divides_unit elim: associatedE)

lemma (in monoid) Units_assoc:
  assumes units: "a ∈ Units G" "b ∈ Units G"
  shows "a ~ b"
using units
by (fast intro: associatedI unit_divides)

lemma (in monoid) Units_are_ones:
  "Units G {.=}(division_rel G) {1}"
apply (simp add: set_eq_def elem_def, rule, simp_all)
proof clarsimp
  fix a
  assume aunit: "a ∈ Units G"
  show "a ~ 1"
  apply (rule associatedI)
  apply (fast intro: dividesI[of "inv a"] aunit Units_r_inv[symmetric])
  apply (fast intro: dividesI[of "a"] l_one[symmetric] Units_closed[OF
aunit])
  done
next
  have "1 ∈ Units G" by simp
  moreover have "1 ~ 1" by simp
  ultimately show "∃a ∈ Units G. 1 ~ a" by fast
qed

lemma (in comm_monoid) Units_Lower:
  "Units G = Lower (division_rel G) (carrier G)"
apply (simp add: Units_def Lower_def)

```

```

apply (rule, rule)
  apply clarsimp
    apply (rule unit_divides)
      apply (unfold Units_def, fast)
    apply assumption
  apply clarsimp
  apply (metis Unit_eq_dividesone Units_r_inv_ex m_ac(2) one_closed)
done

```

8.3.7 Proper factors

```

lemma properfactorI:
  fixes G (structure)
  assumes "a divides b"
    and "¬(b divides a)"
  shows "properfactor G a b"
using assms
unfolding properfactor_def
by simp

```

```

lemma properfactorI2:
  fixes G (structure)
  assumes advdb: "a divides b"
    and neq: "¬(a ~ b)"
  shows "properfactor G a b"
apply (rule properfactorI, rule advdb)
proof (rule ccontr, simp)
  assume "b divides a"
  with advdb have "a ~ b" by (rule associatedI)
  with neq show "False" by fast
qed

```

```

lemma (in comm_monoid_cancel) properfactorI3:
  assumes p: "p = a ⊗ b"
    and nunit: "b ∉ Units G"
    and carr: "a ∈ carrier G" "b ∈ carrier G" "p ∈ carrier G"
  shows "properfactor G a p"
unfolding p
using carr
apply (intro properfactorI, fast)
proof (clarsimp, elim dividesE)
  fix c
  assume ccarr: "c ∈ carrier G"
  note [simp] = carr ccarr

  have "a ⊗ 1 = a" by simp
  also assume "a = a ⊗ b ⊗ c"
  also have "... = a ⊗ (b ⊗ c)" by (simp add: m_assoc)
  finally have "a ⊗ 1 = a ⊗ (b ⊗ c)" .

```

```

hence rinv: "1 = b  $\otimes$  c" by (intro l_cancel[of "a" "1" "b  $\otimes$  c"], simp+)
also have "... = c  $\otimes$  b" by (simp add: m_comm)
finally have linv: "1 = c  $\otimes$  b" .

from ccarr linv[symmetric] rinv[symmetric]
have "b  $\in$  Units G" unfolding Units_def by fastforce
with nunit
  show "False" ..
qed

lemma properfactorE:
  fixes G (structure)
  assumes pf: "properfactor G a b"
    and r: "[[a divides b;  $\neg$ (b divides a)]]  $\implies$  P"
  shows "P"
using pf
unfolding properfactor_def
by (fast intro: r)

lemma properfactorE2:
  fixes G (structure)
  assumes pf: "properfactor G a b"
    and elim: "[[a divides b;  $\neg$ (a  $\sim$  b)]]  $\implies$  P"
  shows "P"
using pf
unfolding properfactor_def
by (fast elim: elim associatedE)

lemma (in monoid) properfactor_unitE:
  assumes uunit: "u  $\in$  Units G"
    and pf: "properfactor G a u"
    and acarr: "a  $\in$  carrier G"
  shows "P"
using pf unit_divides[OF uunit acarr]
by (fast elim: properfactorE)

lemma (in monoid) properfactor_divides:
  assumes pf: "properfactor G a b"
  shows "a divides b"
using pf
by (elim properfactorE)

lemma (in monoid) properfactor_trans1 [trans]:
  assumes dvds: "a divides b" "properfactor G b c"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G a c"
using dvds carr

```

```

apply (elim properfactorE, intro properfactorI)
  apply (iprover intro: divides_trans)+
done

```

```

lemma (in monoid) properfactor_trans2 [trans]:
  assumes dvds: "properfactor G a b" "b divides c"
    and carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "properfactor G a c"
using dvds carr
apply (elim properfactorE, intro properfactorI)
  apply (iprover intro: divides_trans)+
done

```

```

lemma properfactor_lless:
  fixes G (structure)
  shows "properfactor G = lless (division_rel G)"
apply (rule ext) apply (rule ext) apply rule
  apply (fastforce elim: properfactorE2 intro: weak_llessI)
  apply (fastforce elim: weak_llessE intro: properfactorI2)
done

```

```

lemma (in monoid) properfactor_cong_l [trans]:
  assumes x'x: "x' ~ x"
    and pf: "properfactor G x y"
    and carr: "x ∈ carrier G" "x' ∈ carrier G" "y ∈ carrier G"
  shows "properfactor G x' y"
using pf
unfolding properfactor_lless
proof -
  interpret weak_partial_order "division_rel G" ..
  from x'x
    have "x' .=division_rel G x" by simp
  also assume "x ⊆division_rel G y"
  finally
    show "x' ⊆division_rel G y" by (simp add: carr)
qed

```

```

lemma (in monoid) properfactor_cong_r [trans]:
  assumes pf: "properfactor G x y"
    and yy': "y ~ y'"
    and carr: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
  shows "properfactor G x y'"
using pf
unfolding properfactor_lless
proof -
  interpret weak_partial_order "division_rel G" ..
  assume "x ⊆division_rel G y"
  also from yy'
    have "y .=division_rel G y'" by simp

```

```

    finally
      show "x  $\sqsubset$ division_rel G y'" by (simp add: carr)
qed

lemma (in monoid_cancel) properfactor_mult_lI [intro]:
  assumes ab: "properfactor G a b"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G (c  $\otimes$  a) (c  $\otimes$  b)"
using ab carr
by (fastforce elim: properfactorE intro: properfactorI)

lemma (in monoid_cancel) properfactor_mult_l [simp]:
  assumes carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G (c  $\otimes$  a) (c  $\otimes$  b) = properfactor G a b"
using carr
by (fastforce elim: properfactorE intro: properfactorI)

lemma (in comm_monoid_cancel) properfactor_mult_rI [intro]:
  assumes ab: "properfactor G a b"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G (a  $\otimes$  c) (b  $\otimes$  c)"
using ab carr
by (fastforce elim: properfactorE intro: properfactorI)

lemma (in comm_monoid_cancel) properfactor_mult_r [simp]:
  assumes carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G (a  $\otimes$  c) (b  $\otimes$  c) = properfactor G a b"
using carr
by (fastforce elim: properfactorE intro: properfactorI)

lemma (in monoid) properfactor_prod_r:
  assumes ab: "properfactor G a b"
    and carr[simp]: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G a (b  $\otimes$  c)"
by (intro properfactor_trans2[OF ab] divides_prod_r, simp+)

lemma (in comm_monoid) properfactor_prod_l:
  assumes ab: "properfactor G a b"
    and carr[simp]: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
  shows "properfactor G a (c  $\otimes$  b)"
by (intro properfactor_trans2[OF ab] divides_prod_l, simp+)

```

8.4 Irreducible Elements and Primes

8.4.1 Irreducible elements

```

lemma irreducibleI:
  fixes G (structure)
  assumes "a  $\notin$  Units G"
    and " $\bigwedge$ b.  $\llbracket$ b  $\in$  carrier G; properfactor G b a $\rrbracket \implies$  b  $\in$  Units G"

```

```

    shows "irreducible G a"
using assms
unfolding irreducible_def
by blast

lemma irreducibleE:
  fixes G (structure)
  assumes irr: "irreducible G a"
    and elim: "[[a ∉ Units G; ∀b. b ∈ carrier G ∧ properfactor G b a
→ b ∈ Units G]] ⇒ P"
  shows "P"
using assms
unfolding irreducible_def
by blast

lemma irreducibleD:
  fixes G (structure)
  assumes irr: "irreducible G a"
    and pf: "properfactor G b a"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
using assms
by (fast elim: irreducibleE)

lemma (in monoid_cancel) irreducible_cong [trans]:
  assumes irred: "irreducible G a"
    and aa': "a ~ a'"
    and carr[simp]: "a ∈ carrier G" "a' ∈ carrier G"
  shows "irreducible G a'"
using assms
apply (elim irreducibleE, intro irreducibleI)
apply simp_all
apply (metis assms(2) assms(3) assoc_unit_1)
apply (metis assms(2) assms(3) assms(4) associated_sym properfactor_cong_r)
done

lemma (in monoid) irreducible_prod_rI:
  assumes airr: "irreducible G a"
    and bunit: "b ∈ Units G"
    and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "irreducible G (a ⊗ b)"
using airr carr bunit
apply (elim irreducibleE, intro irreducibleI, clarify)
apply (subgoal_tac "a ∈ Units G", simp)
apply (intro prod_unit_r[of a b] carr bunit, assumption)
apply (metis assms associatedI2 m_closed properfactor_cong_r)
done

lemma (in comm_monoid) irreducible_prod_lI:

```



```

    assumes birr: "irreducible G b"
      and aunit: "a ∈ Units G"
      and carr [simp]: "a ∈ carrier G" "b ∈ carrier G"
    shows "irreducible G (a ⊗ b)"
  apply (subst m_comm, simp+)
  apply (intro irreducible_prod_rI assms)
  done

lemma (in comm_monoid_cancel) irreducible_prodE [elim]:
  assumes irr: "irreducible G (a ⊗ b)"
    and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
    and e1: "[irreducible G a; b ∈ Units G] ⇒ P"
    and e2: "[a ∈ Units G; irreducible G b] ⇒ P"
  shows "P"
using irr
proof (elim irreducibleE)
  assume abnunit: "a ⊗ b ∉ Units G"
    and isunit[rule_format]: "∀ba. ba ∈ carrier G ∧ properfactor G ba
(a ⊗ b) → ba ∈ Units G"

  show "P"
  proof (cases "a ∈ Units G")
    assume aunit: "a ∈ Units G"
    have "irreducible G b"
    apply (rule irreducibleI)
    proof (rule ccontr, simp)
      assume "b ∈ Units G"
      with aunit have "(a ⊗ b) ∈ Units G" by fast
      with abnunit show "False" ..
    next
      fix c
      assume ccarr: "c ∈ carrier G"
        and "properfactor G c b"
      hence "properfactor G c (a ⊗ b)" by (simp add: properfactor_prod_l[of
c b a])
      from ccarr this show "c ∈ Units G" by (fast intro: isunit)
    qed

    from aunit this show "P" by (rule e2)
  next
    assume anunit: "a ∉ Units G"
    with carr have "properfactor G b (b ⊗ a)" by (fast intro: properfactorI3)
    hence bf: "properfactor G b (a ⊗ b)" by (subst m_comm[of a b], simp+)
    hence bunit: "b ∈ Units G" by (intro isunit, simp)

    have "irreducible G a"
    apply (rule irreducibleI)
    proof (rule ccontr, simp)
      assume "a ∈ Units G"

```

```

with bunit have "(a ⊗ b) ∈ Units G" by fast
with abnunit show "False" ..
next
fix c
assume ccarr: "c ∈ carrier G"
and "properfactor G c a"
hence "properfactor G c (a ⊗ b)" by (simp add: properfactor_prod_r[of
c a b])
from ccarr this show "c ∈ Units G" by (fast intro: isunit)
qed

from this bunit show "P" by (rule e1)
qed
qed

```

8.4.2 Prime elements

```

lemma primeI:
  fixes G (structure)
  assumes "p ∉ Units G"
  and "∧a b. [a ∈ carrier G; b ∈ carrier G; p divides (a ⊗ b)] ⇒
p divides a ∨ p divides b"
  shows "prime G p"
using assms
unfolding prime_def
by blast

```

```

lemma primeE:
  fixes G (structure)
  assumes pprime: "prime G p"
  and e: "[p ∉ Units G; ∀a∈carrier G. ∀b∈carrier G.
p divides a ⊗ b → p divides a ∨ p divides
b] ⇒ P"
  shows "P"
using pprime
unfolding prime_def
by (blast dest: e)

```

```

lemma (in comm_monoid_cancel) prime_divides:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  and pprime: "prime G p"
  and pdvd: "p divides a ⊗ b"
  shows "p divides a ∨ p divides b"
using assms
by (blast elim: primeE)

```

```

lemma (in monoid_cancel) prime_cong [trans]:
  assumes pprime: "prime G p"
  and pp': "p ∼ p'"

```

```

    and carr[simp]: "p ∈ carrier G" "p' ∈ carrier G"
    shows "prime G p'"
using pprime
apply (elim primeE, intro primeI)
apply (metis assms(2) assms(3) assoc_unit_1)
apply (metis assms(2) assms(3) assms(4) associated_sym divides_cong_1
m_closed)
done

```

8.5 Factorization and Factorial Monoids

8.5.1 Function definitions

definition

```

factors :: "[_, 'a list, 'a] ⇒ bool"
where "factors G fs a ⟷ (∀x ∈ (set fs). irreducible G x) ∧ foldr
(op ⊗G) fs 1G = a"

```

definition

```

wfactors :: "[_, 'a list, 'a] ⇒ bool"
where "wfactors G fs a ⟷ (∀x ∈ (set fs). irreducible G x) ∧ foldr
(op ⊗G) fs 1G ~G a"

```

abbreviation

```

list_assoc :: "('a, _) monoid_scheme ⇒ 'a list ⇒ 'a list ⇒ bool" (in-
fix "[~]z" 44)
where "list_assoc G == list_all2 (op ~G)"

```

definition

```

essentially_equal :: "[_, 'a list, 'a list] ⇒ bool"
where "essentially_equal G fs1 fs2 ⟷ (∃fs1'. fs1 <~> fs1' ∧ fs1'
[~]G fs2)"

```

```

locale factorial_monoid = comm_monoid_cancel +
  assumes factors_exist:
    "[[a ∈ carrier G; a ∉ Units G]] ⇒ ∃fs. set fs ⊆ carrier G ∧
factors G fs a"
  and factors_unique:
    "[[factors G fs a; factors G fs' a; a ∈ carrier G; a ∉ Units
G;
    set fs ⊆ carrier G; set fs' ⊆ carrier G]] ⇒ essentially_equal
G fs fs'"

```

8.5.2 Comparing lists of elements

Association on lists

```

lemma (in monoid) listassoc_refl [simp, intro]:
  assumes "set as ⊆ carrier G"

```

```

  shows "as [~] as"
using assms
by (induct as) simp+

```

```

lemma (in monoid) listassoc_sym [sym]:
  assumes "as [~] bs"
    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G"
  shows "bs [~] as"
using assms
proof (induct as arbitrary: bs, simp)
  case Cons
  thus ?case
    apply (induct bs, simp)
    apply clarsimp
    apply (iprover intro: associated_sym)
  done
qed

```

```

lemma (in monoid) listassoc_trans [trans]:
  assumes "as [~] bs" and "bs [~] cs"
    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G" and "set cs  $\subseteq$ 
carrier G"
  shows "as [~] cs"
using assms
apply (simp add: list_all2_conv_all_nth set_conv_nth, safe)
apply (rule associated_trans)
  apply (subgoal_tac "as ! i ~ bs ! i", assumption)
  apply (simp, simp)
  apply blast+
done

```

```

lemma (in monoid_cancel) irrlist_listassoc_cong:
  assumes " $\forall a \in \text{set as. irreducible G a}$ "
    and "as [~] bs"
    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G"
  shows " $\forall a \in \text{set bs. irreducible G a}$ "
using assms
apply (clarsimp simp add: list_all2_conv_all_nth set_conv_nth)
apply (blast intro: irreducible_cong)
done

```

Permutations

```

lemma perm_map [intro]:
  assumes p: "a <~~> b"
  shows "map f a <~~> map f b"
using p
by induct auto

```

```

lemma perm_map_switch:

```

```

    assumes m: "map f a = map f b" and p: "b <~~> c"
    shows "∃d. a <~~> d ∧ map f d = map f c"
using p m
by (induct arbitrary: a) (simp, force, force, blast)

```

```

lemma (in monoid) perm_assoc_switch:
  assumes a:"as [~] bs" and p: "bs <~~> cs"
  shows "∃bs'. as <~~> bs' ∧ bs' [~] cs"
using p a
apply (induct bs cs arbitrary: as, simp)
  apply (clarsimp simp add: list_all2_Cons2, blast)
  apply (clarsimp simp add: list_all2_Cons2)
  apply blast
apply blast
done

```

```

lemma (in monoid) perm_assoc_switch_r:
  assumes p: "as <~~> bs" and a:"bs [~] cs"
  shows "∃bs'. as [~] bs' ∧ bs' <~~> cs"
using p a
apply (induct as bs arbitrary: cs, simp)
  apply (clarsimp simp add: list_all2_Cons1, blast)
  apply (clarsimp simp add: list_all2_Cons1)
  apply blast
apply blast
done

```

```

declare perm_sym [sym]

```

```

lemma perm_setP:
  assumes perm: "as <~~> bs"
  and as: "P (set as)"
  shows "P (set bs)"
proof -
  from perm
  have "mset as = mset bs"
  by (simp add: mset_eq_perm)
  hence "set as = set bs" by (rule mset_eq_setD)
  with as
  show "P (set bs)" by simp
qed

```

```

lemmas (in monoid) perm_closed =
  perm_setP[of _ _ "λas. as ⊆ carrier G"]

```

```

lemmas (in monoid) irrlist_perm_cong =
  perm_setP[of _ _ "λas. ∀a∈as. irreducible G a"]

```

Essentially equal factorizations

```

lemma (in monoid) essentially_equalI:
  assumes ex: "fs1 <~~> fs1'" "fs1' [~] fs2"
  shows "essentially_equal G fs1 fs2"
using ex
unfolding essentially_equal_def
by fast

lemma (in monoid) essentially_equalE:
  assumes ee: "essentially_equal G fs1 fs2"
  and e: " $\bigwedge fs1'. [fs1 <~~> fs1'; fs1' [~] fs2] \implies P$ "
  shows "P"
using ee
unfolding essentially_equal_def
by (fast intro: e)

lemma (in monoid) ee_refl [simp,intro]:
  assumes carr: "set as  $\subseteq$  carrier G"
  shows "essentially_equal G as as"
using carr
by (fast intro: essentially_equalI)

lemma (in monoid) ee_sym [sym]:
  assumes ee: "essentially_equal G as bs"
  and carr: "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G"
  shows "essentially_equal G bs as"
using ee
proof (elim essentially_equalE)
  fix fs
  assume "as <~~> fs" "fs [~] bs"
  hence " $\exists fs'. as [~] fs' \wedge fs' <~~> bs$ " by (rule perm_assoc_switch_r)
  from this obtain fs'
    where a: "as [~] fs'" and p: "fs' <~~> bs"
    by auto
  from p have "bs <~~> fs'" by (rule perm_sym)
  with a[symmetric] carr
  show ?thesis
    by (iprover intro: essentially_equalI perm_closed)
qed

lemma (in monoid) ee_trans [trans]:
  assumes ab: "essentially_equal G as bs" and bc: "essentially_equal
G bs cs"
  and ascarr: "set as  $\subseteq$  carrier G"
  and bscarr: "set bs  $\subseteq$  carrier G"
  and cscarr: "set cs  $\subseteq$  carrier G"
  shows "essentially_equal G as cs"
using ab bc
proof (elim essentially_equalE)
  fix abs bcs

```

```

assume "abs [~] bs" and pb: "bs <~~> bcs"
hence "∃bs'. abs <~~> bs' ∧ bs' [~] bcs" by (rule perm_assoc_switch)
from this obtain bs'
  where p: "abs <~~> bs'" and a: "bs' [~] bcs"
  by auto

assume "as <~~> abs"
with p
  have pp: "as <~~> bs'" by fast

from pp ascarr have c1: "set bs' ⊆ carrier G" by (rule perm_closed)
from pb bscarr have c2: "set bcs ⊆ carrier G" by (rule perm_closed)
note a
also assume "bcs [~] cs"
finally (listassoc_trans) have"bs' [~] cs" by (simp add: c1 c2 cscarr)

with pp
  show ?thesis
  by (rule essentially_equalI)
qed

```

8.5.3 Properties of lists of elements

Multiplication of factors in a list

```

lemma (in monoid) multlist_closed [simp, intro]:
  assumes ascarr: "set fs ⊆ carrier G"
  shows "foldr (op ⊗) fs 1 ∈ carrier G"
by (insert ascarr, induct fs, simp+)

```

```

lemma (in comm_monoid) multlist_dividesI :
  assumes "f ∈ set fs" and "f ∈ carrier G" and "set fs ⊆ carrier G"
  shows "f divides (foldr (op ⊗) fs 1)"
using assms
apply (induct fs)
  apply simp
apply (case_tac "f = a", simp)
  apply (fast intro: dividesI)
apply clarsimp
apply (metis assms(2) divides_prod_1 multlist_closed)
done

```

```

lemma (in comm_monoid_cancel) multlist_listassoc_cong:
  assumes "fs [~] fs'"
  and "set fs ⊆ carrier G" and "set fs' ⊆ carrier G"
  shows "foldr (op ⊗) fs 1 ~ foldr (op ⊗) fs' 1"
using assms
proof (induct fs arbitrary: fs', simp)
  case (Cons a as fs')
  thus ?case

```

```

apply (induct fs', simp)
proof clarsimp
  fix b bs
  assume "a ~ b"
    and acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
    and ascarr: "set as ⊆ carrier G"
  hence p: "a ⊗ foldr op ⊗ as 1 ~ b ⊗ foldr op ⊗ as 1"
    by (fast intro: mult_cong_l)
  also
    assume "as [~] bs"
      and bscarr: "set bs ⊆ carrier G"
      and "∧fs'. [as [~] fs'; set fs' ⊆ carrier G] ⇒ foldr op ⊗
as 1 ~ foldr op ⊗ fs' 1"
    hence "foldr op ⊗ as 1 ~ foldr op ⊗ bs 1" by simp
    with ascarr bscarr bcarr
      have "b ⊗ foldr op ⊗ as 1 ~ b ⊗ foldr op ⊗ bs 1"
        by (fast intro: mult_cong_r)
  finally
    show "a ⊗ foldr op ⊗ as 1 ~ b ⊗ foldr op ⊗ bs 1"
      by (simp add: ascarr bscarr acarr bcarr)
qed
qed

lemma (in comm_monoid) multlist_perm_cong:
  assumes prm: "as <~> bs"
    and ascarr: "set as ⊆ carrier G"
  shows "foldr (op ⊗) as 1 = foldr (op ⊗) bs 1"
using prm ascarr
apply (induct, simp, clarsimp simp add: m_ac, clarsimp)
proof clarsimp
  fix xs ys zs
  assume "xs <~> ys" "set xs ⊆ carrier G"
  hence "set ys ⊆ carrier G" by (rule perm_closed)
  moreover assume "set ys ⊆ carrier G ⇒ foldr op ⊗ ys 1 = foldr op
⊗ zs 1"
  ultimately show "foldr op ⊗ ys 1 = foldr op ⊗ zs 1" by simp
qed

lemma (in comm_monoid_cancel) multlist_ee_cong:
  assumes "essentially_equal G fs fs'"
    and "set fs ⊆ carrier G" and "set fs' ⊆ carrier G"
  shows "foldr (op ⊗) fs 1 ~ foldr (op ⊗) fs' 1"
using assms
apply (elim essentially_equalE)
apply (simp add: multlist_perm_cong multlist_listassoc_cong perm_closed)
done

```


8.5.4 Factorization in irreducible elements

```

lemma wfactorsI:
  fixes G (structure)
  assumes "∀f∈set fs. irreducible G f"
    and "foldr (op ⊗) fs 1 ~ a"
  shows "wfactors G fs a"
using assms
unfolding wfactors_def
by simp

lemma wfactorsE:
  fixes G (structure)
  assumes wf: "wfactors G fs a"
    and e: "[∀f∈set fs. irreducible G f; foldr (op ⊗) fs 1 ~ a] ⇒ P"
  shows "P"
using wf
unfolding wfactors_def
by (fast dest: e)

lemma (in monoid) factorsI:
  assumes "∀f∈set fs. irreducible G f"
    and "foldr (op ⊗) fs 1 = a"
  shows "factors G fs a"
using assms
unfolding factors_def
by simp

lemma factorsE:
  fixes G (structure)
  assumes f: "factors G fs a"
    and e: "[∀f∈set fs. irreducible G f; foldr (op ⊗) fs 1 = a] ⇒ P"
  shows "P"
using f
unfolding factors_def
by (simp add: e)

lemma (in monoid) factors_wfactors:
  assumes "factors G as a" and "set as ⊆ carrier G"
  shows "wfactors G as a"
using assms
by (blast elim: factorsE intro: wfactorsI)

lemma (in monoid) wfactors_factors:
  assumes "wfactors G as a" and "set as ⊆ carrier G"
  shows "∃a'. factors G as a' ∧ a' ~ a"
using assms
by (blast elim: wfactorsE intro: factorsI)

```

```

lemma (in monoid) factors_closed [dest]:
  assumes "factors G fs a" and "set fs  $\subseteq$  carrier G"
  shows "a  $\in$  carrier G"
using assms
by (elim factorsE, clarsimp)

lemma (in monoid) nunit_factors:
  assumes anunit: "a  $\notin$  Units G"
  and fs: "factors G as a"
  shows "length as > 0"
proof -
  from anunit Units_one_closed have "a  $\neq$  1" by auto
  with fs show ?thesis by (auto elim: factorsE)
qed

lemma (in monoid) unit_wfactors [simp]:
  assumes aunit: "a  $\in$  Units G"
  shows "wfactors G [] a"
using aunit
by (intro wfactorsI) (simp, simp add: Units_assoc)

lemma (in comm_monoid_cancel) unit_wfactors_empty:
  assumes aunit: "a  $\in$  Units G"
  and wf: "wfactors G fs a"
  and carr[simp]: "set fs  $\subseteq$  carrier G"
  shows "fs = []"
proof (rule ccontr, cases fs, simp)
  fix f fs'
  assume fs: "fs = f # fs'"

  from carr
    have fcarr[simp]: "f  $\in$  carrier G"
    and carr'[simp]: "set fs'  $\subseteq$  carrier G"
    by (simp add: fs)+

  from fs wf
    have "irreducible G f" by (simp add: wfactors_def)
  hence fnunit: "f  $\notin$  Units G" by (fast elim: irreducibleE)

  from fs wf
    have a: "f  $\otimes$  foldr (op  $\otimes$ ) fs' 1  $\sim$  a" by (simp add: wfactors_def)

  note aunit
  also from fs wf
    have a: "f  $\otimes$  foldr (op  $\otimes$ ) fs' 1  $\sim$  a" by (simp add: wfactors_def)
    have "a  $\sim$  f  $\otimes$  foldr (op  $\otimes$ ) fs' 1"
    by (simp add: Units_closed[OF aunit] a[symmetric])
  finally
    have "f  $\otimes$  foldr (op  $\otimes$ ) fs' 1  $\in$  Units G" by simp

```

```

hence "f ∈ Units G" by (intro unit_factor[of f], simp+)

with fnunit show "False" by simp
qed

Comparing wfactors

lemma (in comm_monoid_cancel) wfactors_listassoc_cong_1:
  assumes fact: "wfactors G fs a"
    and asc: "fs [~] fs'"
    and carr: "a ∈ carrier G" "set fs ⊆ carrier G" "set fs' ⊆ carrier
G"
  shows "wfactors G fs' a"
using fact
apply (elim wfactorsE, intro wfactorsI)
apply (metis assms(2) assms(4) assms(5) irrlist_listassoc_cong)
proof -
  from asc[symmetric]
  have "foldr op ⊗ fs' 1 ~ foldr op ⊗ fs 1"
  by (simp add: multlist_listassoc_cong carr)
  also assume "foldr op ⊗ fs 1 ~ a"
  finally
  show "foldr op ⊗ fs' 1 ~ a" by (simp add: carr)
qed

lemma (in comm_monoid) wfactors_perm_cong_1:
  assumes "wfactors G fs a"
    and "fs <~~> fs'"
    and "set fs ⊆ carrier G"
  shows "wfactors G fs' a"
using assms
apply (elim wfactorsE, intro wfactorsI)
  apply (rule irrlist_perm_cong, assumption+)
  apply (simp add: multlist_perm_cong[symmetric])
done

lemma (in comm_monoid_cancel) wfactors_ee_cong_1 [trans]:
  assumes ee: "essentially_equal G as bs"
    and bfs: "wfactors G bs b"
    and carr: "b ∈ carrier G" "set as ⊆ carrier G" "set bs ⊆ carrier
G"
  shows "wfactors G as b"
using ee
proof (elim essentially_equalE)
  fix fs
  assume prm: "as <~~> fs"
  with carr
  have fscarr: "set fs ⊆ carrier G" by (simp add: perm_closed)

  note bfs

```

```

also assume [symmetric]: "fs [~] bs"
also (wfactors_listassoc_cong_1)
  note prm[symmetric]
finally (wfactors_perm_cong_1)
  show "wfactors G as b" by (simp add: carr fscarr)
qed

```

```

lemma (in monoid) wfactors_cong_r [trans]:
  assumes fac: "wfactors G fs a" and aa': "a ~ a'"
  and carr[simp]: "a ∈ carrier G" "a' ∈ carrier G" "set fs ⊆ carrier
G"
  shows "wfactors G fs a'"
using fac
proof (elim wfactorsE, intro wfactorsI)
  assume "foldr op ⊗ fs 1 ~ a" also note aa'
  finally show "foldr op ⊗ fs 1 ~ a'" by simp
qed

```

8.5.5 Essentially equal factorizations

```

lemma (in comm_monoid_cancel) unitfactor_ee:
  assumes uunit: "u ∈ Units G"
  and carr: "set as ⊆ carrier G"
  shows "essentially_equal G (as[0 := (as!0 ⊗ u)]) as" (is "essentially_equal
G ?as' as")
using assms
apply (intro essentially_equalI[of _ ?as'], simp)
apply (cases as, simp)
apply (clarsimp, fast intro: associatedI2[of u])
done

```

```

lemma (in comm_monoid_cancel) factors_cong_unit:
  assumes uunit: "u ∈ Units G" and anunit: "a ∉ Units G"
  and afs: "factors G as a"
  and ascarr: "set as ⊆ carrier G"
  shows "factors G (as[0 := (as!0 ⊗ u)]) (a ⊗ u)" (is "factors G ?as'
?a'")
using assms
apply (elim factorsE, clarify)
apply (cases as)
  apply (simp add: nunit_factors)
apply clarsimp
apply (elim factorsE, intro factorsI)
  apply (clarsimp, fast intro: irreducible_prod_rI)
apply (simp add: m_ac Units_closed)
done

```

```

lemma (in comm_monoid) perm_wfactorsD:
  assumes prm: "as <~> bs"

```

```

    and afs: "wfactors G as a" and bfs: "wfactors G bs b"
    and [simp]: "a ∈ carrier G" "b ∈ carrier G"
    and ascarr[simp]: "set as ⊆ carrier G"
  shows "a ~ b"
using afs bfs
proof (elim wfactorsE)
  from prm have [simp]: "set bs ⊆ carrier G" by (simp add: perm_closed)
  assume "foldr op ⊗ as 1 ~ a"
  hence "a ~ foldr op ⊗ as 1" by (rule associated_sym, simp+)
  also from prm
    have "foldr op ⊗ as 1 = foldr op ⊗ bs 1" by (rule multlist_perm_cong,
simp)
  also assume "foldr op ⊗ bs 1 ~ b"
  finally
    show "a ~ b" by simp
qed

lemma (in comm_monoid_cancel) listassoc_wfactorsD:
  assumes assoc: "as [~] bs"
  and afs: "wfactors G as a" and bfs: "wfactors G bs b"
  and [simp]: "a ∈ carrier G" "b ∈ carrier G"
  and [simp]: "set as ⊆ carrier G" "set bs ⊆ carrier G"
  shows "a ~ b"
using afs bfs
proof (elim wfactorsE)
  assume "foldr op ⊗ as 1 ~ a"
  hence "a ~ foldr op ⊗ as 1" by (rule associated_sym, simp+)
  also from assoc
    have "foldr op ⊗ as 1 ~ foldr op ⊗ bs 1" by (rule multlist_listassoc_cong,
simp+)
  also assume "foldr op ⊗ bs 1 ~ b"
  finally
    show "a ~ b" by simp
qed

lemma (in comm_monoid_cancel) ee_wfactorsD:
  assumes ee: "essentially_equal G as bs"
  and afs: "wfactors G as a" and bfs: "wfactors G bs b"
  and [simp]: "a ∈ carrier G" "b ∈ carrier G"
  and ascarr[simp]: "set as ⊆ carrier G" and bscarr[simp]: "set bs
⊆ carrier G"
  shows "a ~ b"
using ee
proof (elim essentially_equalE)
  fix fs
  assume prm: "as <~~> fs"
  hence as'carr[simp]: "set fs ⊆ carrier G" by (simp add: perm_closed)
  from afs prm
    have afs': "wfactors G fs a" by (rule wfactors_perm_cong_1, simp)

```

```

    assume "fs [~] bs"
    from this afs' bfs
      show "a ~ b" by (rule listassoc_wfactorsD, simp+)
qed

lemma (in comm_monoid_cancel) ee_factorsD:
  assumes ee: "essentially_equal G as bs"
    and afs: "factors G as a" and bfs:"factors G bs b"
    and "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G"
  shows "a ~ b"
using assms
by (blast intro: factors_wfactors dest: ee_wfactorsD)

lemma (in factorial_monoid) ee_factorsI:
  assumes ab: "a ~ b"
    and afs: "factors G as a" and anunit: "a  $\notin$  Units G"
    and bfs: "factors G bs b" and bnunit: "b  $\notin$  Units G"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr: "set bs  $\subseteq$  carrier G"
  shows "essentially_equal G as bs"
proof -
  note carr[simp] = factors_closed[OF afs ascarr] ascarr[THEN subsetD]
    factors_closed[OF bfs bscarr] bscarr[THEN subsetD]

  from ab carr
    have " $\exists u \in \text{Units } G. a = b \otimes u$ " by (fast elim: associatedE2)
  from this obtain u
    where uunit: "u  $\in$  Units G"
    and a: "a = b  $\otimes$  u" by auto

  from uunit bscarr
    have ee: "essentially_equal G (bs[0 := (bs!0  $\otimes$  u)]) bs"
      (is "essentially_equal G ?bs' bs")
    by (rule unitfactor_ee)

  from bscarr uunit
    have bs'carr: "set ?bs'  $\subseteq$  carrier G"
    by (cases bs) (simp add: Units_closed)+

  from uunit bnunit bfs bscarr
    have fac: "factors G ?bs' (b  $\otimes$  u)"
    by (rule factors_cong_unit)

  from afs fac[simplified a[symmetric]] ascarr bs'carr anunit
    have "essentially_equal G as ?bs'"
    by (blast intro: factors_unique)
  also note ee
  finally
    show "essentially_equal G as bs" by (simp add: ascarr bscarr bs'carr)
qed

```

```

lemma (in factorial_monoid) ee_wfactorsI:
  assumes asc: "a ~ b"
    and asf: "wfactors G as a" and bsf: "wfactors G bs b"
    and acarr[simp]: "a ∈ carrier G" and bcarr[simp]: "b ∈ carrier G"
    and ascarr[simp]: "set as ⊆ carrier G" and bscarr[simp]: "set bs
⊆ carrier G"
  shows "essentially_equal G as bs"
using assms
proof (cases "a ∈ Units G")
  assume aunit: "a ∈ Units G"
  also note asc
  finally have bunit: "b ∈ Units G" by simp

  from aunit asf ascarr
    have e: "as = []" by (rule unit_wfactors_empty)
  from bunit bsf bscarr
    have e': "bs = []" by (rule unit_wfactors_empty)

  have "essentially_equal G [] []"
    by (fast intro: essentially_equalI)
  thus ?thesis by (simp add: e e')
next
  assume anunit: "a ∉ Units G"
  have bnunit: "b ∉ Units G"
  proof clarify
    assume "b ∈ Units G"
    also note asc[symmetric]
    finally have "a ∈ Units G" by simp
    with anunit
      show "False" ..
  qed

  have "∃a'. factors G as a' ∧ a' ~ a" by (rule wfactors_factors[OF
asf ascarr])
  from this obtain a'
    where fa': "factors G as a'"
    and a': "a' ~ a"
    by auto
  from fa' ascarr
    have a'carr[simp]: "a' ∈ carrier G" by fast

  have a'nunit: "a' ∉ Units G"
  proof (clarify)
    assume "a' ∈ Units G"
    also note a'
    finally have "a ∈ Units G" by simp
    with anunit
      show "False" ..

```

```

qed

have "∃b'. factors G bs b' ∧ b' ~ b" by (rule wfactors_factors[OF
bsf bscarr])
from this obtain b'
  where fb': "factors G bs b'"
  and b': "b' ~ b"
  by auto
from fb' bscarr
  have b'carr[simp]: "b' ∈ carrier G" by fast

have b'nunit: "b' ∉ Units G"
proof (clarify)
  assume "b' ∈ Units G"
  also note b'
  finally have "b ∈ Units G" by simp
  with bnunit
    show "False" ..
qed

note a'
also note asc
also note b'[symmetric]
finally
  have "a' ~ b'" by simp

from this fa' a'nunit fb' b'nunit ascarr bscarr
show "essentially_equal G as bs"
  by (rule ee_factorsI)
qed

lemma (in factorial_monoid) ee_wfactors:
  assumes asf: "wfactors G as a"
  and bsf: "wfactors G bs b"
  and acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
  and ascarr: "set as ⊆ carrier G" and bscarr: "set bs ⊆ carrier G"
  shows asc: "a ~ b = essentially_equal G as bs"
using assms
by (fast intro: ee_wfactorsI ee_wfactorsD)

lemma (in factorial_monoid) wfactors_exist [intro, simp]:
  assumes acarr[simp]: "a ∈ carrier G"
  shows "∃fs. set fs ⊆ carrier G ∧ wfactors G fs a"
proof (cases "a ∈ Units G")
  assume "a ∈ Units G"
  hence "wfactors G [] a" by (rule unit_wfactors)
  thus ?thesis by (intro exI) force
next
  assume "a ∉ Units G"

```



```

hence "∃fs. set fs ⊆ carrier G ∧ factors G fs a" by (intro factors_exist
acarr)
  from this obtain fs
    where fscarr: "set fs ⊆ carrier G"
    and f: "factors G fs a"
    by auto
  from f have "wfactors G fs a" by (rule factors_wfactors) fact
  from fscarr this
    show ?thesis by fast
qed

```

```

lemma (in monoid) wfactors_prod_exists [intro, simp]:
  assumes "∀a ∈ set as. irreducible G a" and "set as ⊆ carrier G"
  shows "∃a. a ∈ carrier G ∧ wfactors G as a"
unfolding wfactors_def
using assms
by blast

```

```

lemma (in factorial_monoid) wfactors_unique:
  assumes "wfactors G fs a" and "wfactors G fs' a"
  and "a ∈ carrier G"
  and "set fs ⊆ carrier G" and "set fs' ⊆ carrier G"
  shows "essentially_equal G fs fs'"
using assms
by (fast intro: ee_wfactorsI[of a a])

```

```

lemma (in monoid) factors_mult_single:
  assumes "irreducible G a" and "factors G fb b" and "a ∈ carrier G"
  shows "factors G (a # fb) (a ⊗ b)"
using assms
unfolding factors_def
by simp

```

```

lemma (in monoid_cancel) wfactors_mult_single:
  assumes f: "irreducible G a" "wfactors G fb b"
  "a ∈ carrier G" "b ∈ carrier G" "set fb ⊆ carrier G"
  shows "wfactors G (a # fb) (a ⊗ b)"
using assms
unfolding wfactors_def
by (simp add: mult_cong_r)

```

```

lemma (in monoid) factors_mult:
  assumes factors: "factors G fa a" "factors G fb b"
  and ascarr: "set fa ⊆ carrier G" and bscarr: "set fb ⊆ carrier G"
  shows "factors G (fa @ fb) (a ⊗ b)"
using assms
unfolding factors_def
apply (safe, force)
apply hypsubst_thin

```

```

apply (induct fa)
  apply simp
apply (simp add: m_assoc)
done

lemma (in comm_monoid_cancel) wfactors_mult [intro]:
  assumes asf: "wfactors G as a" and bsf:"wfactors G bs b"
    and acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
    and ascarr: "set as ⊆ carrier G" and bscarr:"set bs ⊆ carrier G"
  shows "wfactors G (as @ bs) (a ⊗ b)"
apply (insert wfactors_factors[OF asf ascarr])
apply (insert wfactors_factors[OF bsf bscarr])
proof (clarsimp)
  fix a' b'
  assume asf': "factors G as a'" and a'a: "a' ~ a"
    and bsf': "factors G bs b'" and b'b: "b' ~ b"
  from asf' have a'carr: "a' ∈ carrier G" by (rule factors_closed) fact
  from bsf' have b'carr: "b' ∈ carrier G" by (rule factors_closed) fact

  note carr = acarr bcarr a'carr b'carr ascarr bscarr

  from asf' bsf'
    have "factors G (as @ bs) (a' ⊗ b')" by (rule factors_mult) fact+

  with carr
    have abf': "wfactors G (as @ bs) (a' ⊗ b')" by (intro factors_wfactors)
simp+
  also from b'b carr
    have trb: "a' ⊗ b' ~ a' ⊗ b" by (intro mult_cong_r)
  also from a'a carr
    have tra: "a' ⊗ b ~ a ⊗ b" by (intro mult_cong_l)
  finally
    show "wfactors G (as @ bs) (a ⊗ b)"
      by (simp add: carr)
qed

lemma (in comm_monoid) factors_dividesI:
  assumes "factors G fs a" and "f ∈ set fs"
    and "set fs ⊆ carrier G"
  shows "f divides a"
using assms
by (fast elim: factorsE intro: multlist_dividesI)

lemma (in comm_monoid) wfactors_dividesI:
  assumes p: "wfactors G fs a"
    and fscarr: "set fs ⊆ carrier G" and acarr: "a ∈ carrier G"
    and f: "f ∈ set fs"
  shows "f divides a"
apply (insert wfactors_factors[OF p fscarr], clarsimp)

```

```

proof -
  fix a'
  assume fsa': "factors G fs a'"
  and a'a: "a' ~ a"
  with fscarr
    have a'carr: "a' ∈ carrier G" by (simp add: factors_closed)

  from fsa' fscarr f
    have "f divides a'" by (fast intro: factors_dividesI)
  also note a'a
  finally
    show "f divides a" by (simp add: f fscarr[THEN subsetD] acarr
a'carr)
qed

```

8.5.6 Factorial monoids and wfactors

```

lemma (in comm_monoid_cancel) factorial_monoidI:
  assumes wfactors_exists:
    " $\bigwedge a. a \in \text{carrier } G \implies \exists \text{fs. set fs} \subseteq \text{carrier } G \wedge \text{wfactors}$ 
G fs a"
  and wfactors_unique:
    " $\bigwedge a \text{ fs fs}'. \llbracket a \in \text{carrier } G; \text{set fs} \subseteq \text{carrier } G; \text{set fs}' \subseteq \text{carrier}$ 
G;
    wfactors G fs a; wfactors G fs' a  $\rrbracket \implies \text{essentially\_equal}$ 
G fs fs'"
  shows "factorial_monoid G"
proof
  fix a
  assume acarr: "a ∈ carrier G" and anunit: "a ∉ Units G"

  from wfactors_exists[OF acarr]
  obtain as
    where ascarr: "set as ⊆ carrier G"
    and afs: "wfactors G as a"
    by auto
  from afs ascarr
    have " $\exists a'. \text{factors } G \text{ as } a' \wedge a' \sim a$ " by (rule wfactors_factors)
  from this obtain a'
    where afs': "factors G as a'"
    and a'a: "a' ~ a"
    by auto
  from afs' ascarr
    have a'carr: "a' ∈ carrier G" by fast
  have a'nunit: "a' ∉ Units G"
proof clarify
  assume "a' ∈ Units G"
  also note a'a
  finally have "a ∈ Units G" by (simp add: acarr)

```

```

    with anunit
      show "False" ..
qed

from a'carr acarr a'a
  have "∃u. u ∈ Units G ∧ a' = a ⊗ u" by (blast elim: associatedE2)
from this obtain u
  where uunit: "u ∈ Units G"
  and a': "a' = a ⊗ u"
  by auto

note [simp] = acarr Units_closed[OF uunit] Units_inv_closed[OF uunit]

have "a = a ⊗ 1" by simp
also have "... = a ⊗ (u ⊗ inv u)" by (simp add: uunit)
also have "... = a' ⊗ inv u" by (simp add: m_assoc[symmetric] a'[symmetric])
finally
  have a: "a = a' ⊗ inv u" .

from ascarr uunit
  have cr: "set (as[0:=(as!0 ⊗ inv u)]) ⊆ carrier G"
  by (cases as, clarsimp+)

from afs' uunit a'nunit acarr ascarr
  have "factors G (as[0:=(as!0 ⊗ inv u)]) a"
  by (simp add: a factors_cong_unit)

with cr
  show "∃fs. set fs ⊆ carrier G ∧ factors G fs a" by fast
qed (blast intro: factors_wfactors wfactors_unique)

```

8.6 Factorizations as Multisets

Gives useful operations like intersection

abbreviation

```
"assocs G x == eq_closure_of (division_rel G) {x}"
```

definition

```
"fmset G as = mset (map (λa. assocs G a) as)"
```

Helper lemmas

lemma (in monoid) `assocs_repr_independence`:

```
  assumes "y ∈ assocs G x"
```

```
  and "x ∈ carrier G"
```

```
  shows "assocs G x = assocs G y"
```

using `assms`

apply `safe`

```
  apply (elim closure_ofE2, intro closure_ofI2[of _ _ y])
```

```
  apply (clarsimp, iprover intro: associated_trans associated_sym, simp+)
```

```

apply (elim closure_ofE2, intro closure_ofI2[of _ _ x])
  apply (clarsimp, iprover intro: associated_trans, simp+)
done

```

```

lemma (in monoid) assocs_self:
  assumes "x ∈ carrier G"
  shows "x ∈ assocs G x"
using assms
by (fastforce intro: closure_ofI2)

```

```

lemma (in monoid) assocs_repr_independenceD:
  assumes repr: "assocs G x = assocs G y"
  and ycarr: "y ∈ carrier G"
  shows "y ∈ assocs G x"
unfolding repr
using ycarr
by (intro assocs_self)

```

```

lemma (in comm_monoid) assocs_assoc:
  assumes "a ∈ assocs G b"
  and "b ∈ carrier G"
  shows "a ~ b"
using assms
by (elim closure_ofE2, simp)

```

```

lemmas (in comm_monoid) assocs_eqD =
  assocs_repr_independenceD[THEN assocs_assoc]

```

8.6.1 Comparing multisets

```

lemma (in monoid) fmset_perm_cong:
  assumes prm: "as <~~> bs"
  shows "fmset G as = fmset G bs"
using perm_map[OF prm]
by (simp add: mset_eq_perm fmset_def)

```

```

lemma (in comm_monoid_cancel) eqc_listassoc_cong:
  assumes "as [~] bs"
  and "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "map (assocs G) as = map (assocs G) bs"
using assms
apply (induct as arbitrary: bs, simp)
apply (clarsimp simp add: Cons_eq_map_conv list_all2_Cons1, safe)
  apply (clarsimp elim!: closure_ofE2) defer 1
  apply (clarsimp elim!: closure_ofE2) defer 1
proof -
  fix a x z
  assume carr[simp]: "a ∈ carrier G" "x ∈ carrier G" "z ∈ carrier
G"

```

```

    assume "x ~ a"
    also assume "a ~ z"
    finally have "x ~ z" by simp
    with carr
      show "x ∈ assoc G z"
      by (intro closure_ofI2) simp+
next
  fix a x z
  assume carr[simp]: "a ∈ carrier G" "x ∈ carrier G" "z ∈ carrier
G"
  assume "x ~ z"
  also assume [symmetric]: "a ~ z"
  finally have "x ~ a" by simp
  with carr
    show "x ∈ assoc G a"
    by (intro closure_ofI2) simp+
qed

lemma (in comm_monoid_cancel) fmset_listassoc_cong:
  assumes "as [~] bs"
    and "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "fmset G as = fmset G bs"
using assms
unfolding fmset_def
by (simp add: eqc_listassoc_cong)

lemma (in comm_monoid_cancel) ee_fmset:
  assumes ee: "essentially_equal G as bs"
    and ascarr: "set as ⊆ carrier G" and bscarr: "set bs ⊆ carrier G"
  shows "fmset G as = fmset G bs"
using ee
proof (elim essentially_equalE)
  fix as'
  assume prm: "as <~~> as'"
  from prm ascarr
    have as'carr: "set as' ⊆ carrier G" by (rule perm_closed)

  from prm
    have "fmset G as = fmset G as'" by (rule fmset_perm_cong)
  also assume "as' [~] bs"
  with as'carr bscarr
    have "fmset G as' = fmset G bs" by (simp add: fmset_listassoc_cong)
  finally
    show "fmset G as = fmset G bs" .
qed

lemma (in monoid_cancel) fmset_ee_hlp_induct:
  assumes prm: "cas <~~> cbs"
    and cdef: "cas = map (assoc G) as" "cbs = map (assoc G) bs"

```

```

shows "∀ as bs. (cas <~~> cbs ∧ cas = map (assocs G) as ∧
                cbs = map (assocs G) bs) → (∃ as'. as <~~> as' ∧ map
(assocs G) as' = cbs)"
apply (rule perm.induct[of cas cbs], rule prm)
apply safe apply simp_all
  apply (simp add: map_eq_Cons_conv, blast)
  apply force
proof -
  fix ys as bs
  assume p1: "map (assocs G) as <~~> ys"
  and r1[rule_format]:
    "∀ asa bs. map (assocs G) as = map (assocs G) asa ∧
      ys = map (assocs G) bs
    → (∃ as'. asa <~~> as' ∧ map (assocs G) as' = map
(assocs G) bs)"
  and p2: "ys <~~> map (assocs G) bs"
  and r2[rule_format]:
    "∀ as bsa. ys = map (assocs G) as ∧
      map (assocs G) bs = map (assocs G) bsa
    → (∃ as'. as <~~> as' ∧ map (assocs G) as' = map (assocs
G) bsa)"
  and p3: "map (assocs G) as <~~> map (assocs G) bs"

  from p1
  have "mset (map (assocs G) as) = mset ys"
  by (simp add: mset_eq_perm)
  hence setys: "set (map (assocs G) as) = set ys" by (rule mset_eq_setD)

  have "set (map (assocs G) as) = { assocs G x | x. x ∈ set as}" by clarsimp
fast
  with setys have "set ys ⊆ { assocs G x | x. x ∈ set as}" by simp
  hence "∃ yy. ys = map (assocs G) yy"
  apply (induct ys, simp, clarsimp)
  proof -
    fix yy x
    show "∃ yya. (assocs G x) # map (assocs G) yy =
      map (assocs G) yya"
    by (rule exI[of _ "x#yy"], simp)
  qed
  from this obtain yy
  where ys: "ys = map (assocs G) yy"
  by auto

  from p1 ys
  have "∃ as'. as <~~> as' ∧ map (assocs G) as' = map (assocs G) yy"
  by (intro r1, simp)
  from this obtain as'
  where asas': "as <~~> as'"
  and as'yy: "map (assocs G) as' = map (assocs G) yy"

```

```

    by auto

  from p2 ys
    have "∃as'. yy <~~> as' ∧ map (assocs G) as' = map (assocs G) bs"
    by (intro r2, simp)
  from this obtain as''
    where yyas'': "yy <~~> as''"
    and as''bs: "map (assocs G) as'' = map (assocs G) bs"
    by auto

  from as''yy and yyas''
    have "∃cs. as' <~~> cs ∧ map (assocs G) cs = map (assocs G) as''"
    by (rule perm_map_switch)
  from this obtain cs
    where as'cs: "as' <~~> cs"
    and csas'': "map (assocs G) cs = map (assocs G) as''"
    by auto

  from asas' and as'cs
    have ascscs: "as <~~> cs" by fast
  from csas'' and as''bs
    have "map (assocs G) cs = map (assocs G) bs" by simp
  from ascscs and this
    show "∃as'. as <~~> as' ∧ map (assocs G) as' = map (assocs G) bs" by
fast
qed

lemma (in comm_monoid_cancel) fmset_ee:
  assumes mset: "fmset G as = fmset G bs"
  and ascarr: "set as ⊆ carrier G" and bscarr: "set bs ⊆ carrier G"
  shows "essentially_equal G as bs"
proof -
  from mset
    have mpp: "map (assocs G) as <~~> map (assocs G) bs"
    by (simp add: fmset_def mset_eq_perm)

  have "∃cas. cas = map (assocs G) as" by simp
  from this obtain cas where cas: "cas = map (assocs G) as" by simp

  have "∃cbs. cbs = map (assocs G) bs" by simp
  from this obtain cbs where cbs: "cbs = map (assocs G) bs" by simp

  from cas cbs mpp
    have [rule_format]:
      "∀as bs. (cas <~~> cbs ∧ cas = map (assocs G) as ∧
        cbs = map (assocs G) bs)
        → (∃as'. as <~~> as' ∧ map (assocs G) as' = cbs)"
    by (intro fmset_ee_hlp_induct, simp+)
  with mpp cas cbs

```



```

    have "∃ as'. as <~~> as' ∧ map (assoc G) as' = map (assoc G) bs"
    by simp

  from this obtain as'
    where tp: "as <~~> as'"
    and tm: "map (assoc G) as' = map (assoc G) bs"
    by auto
  from tm have lene: "length as' = length bs" by (rule map_eq_imp_length_eq)
  from tp have "set as = set as'" by (simp add: mset_eq_perm mset_eq_setD)
  with ascarr
    have as'carr: "set as' ⊆ carrier G" by simp

  from tm as'carr[THEN subsetD] bscarr[THEN subsetD]
  have "as' [~] bs"
    by (induct as' arbitrary: bs) (simp, fastforce dest: assoc_eqD[THEN
associated_sym])

  from tp and this
    show "essentially_equal G as bs" by (fast intro: essentially_equalI)
  qed

lemma (in comm_monoid_cancel) ee_is_fmset:
  assumes "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "essentially_equal G as bs = (fmset G as = fmset G bs)"
using assms
by (fast intro: ee_fmset_fmset_ee)



### 8.6.2 Interpreting multisets as factorizations



lemma (in monoid) mset_fmsetEx:
  assumes elems: "∧X. X ∈ set_mset Cs ⇒ ∃x. P x ∧ X = assoc G x"
  shows "∃cs. (∀c ∈ set cs. P c) ∧ fmset G cs = Cs"
proof -
  have "∃Cs'. Cs = mset Cs'"
    by (rule surjE[OF surj_mset], fast)
  from this obtain Cs'
    where Cs: "Cs = mset Cs'"
    by auto

  have "∃cs. (∀c ∈ set cs. P c) ∧ mset (map (assoc G) cs) = Cs"
  using elems
  unfolding Cs
  apply (induct Cs', simp)
  proof clarsimp
    fix a Cs' cs
    assume ih: "∧X. X = a ∨ X ∈ set Cs' ⇒ ∃x. P x ∧ X = assoc G
x"
    and csP: "∀x∈set cs. P x"
    and mset: "mset (map (assoc G) cs) = mset Cs'"

```

```

from ih
  have "∃x. P x ∧ a = assoc G x" by fast
from this obtain c
  where cP: "P c"
  and a: "a = assoc G c"
  by auto
from cP csP
  have tP: "∀x∈set (c#cs). P x" by simp
from mset a
  have "mset (map (assoc G) (c#cs)) = mset Cs' + {#a#}" by simp
from tP this
  show "∃cs. (∀x∈set cs. P x) ∧
    mset (map (assoc G) cs) =
    mset Cs' + {#a#}" by fast
qed
thus ?thesis by (simp add: fmset_def)
qed

lemma (in monoid) mset_wfactorsEx:
  assumes elems: "∧X. X ∈ set_mset Cs
    ⇒ ∃x. (x ∈ carrier G ∧ irreducible G x) ∧ X =
  assoc G x"
  shows "∃c cs. c ∈ carrier G ∧ set cs ⊆ carrier G ∧ wfactors G cs c
  ∧ fmset G cs = Cs"
proof -
  have "∃cs. (∀c∈set cs. c ∈ carrier G ∧ irreducible G c) ∧ fmset G
  cs = Cs"
  by (intro mset_fmsetEx, rule elems)
  from this obtain cs
  where p[rule_format]: "∀c∈set cs. c ∈ carrier G ∧ irreducible
  G c"
  and Cs[symmetric]: "fmset G cs = Cs"
  by auto

  from p
  have cscarr: "set cs ⊆ carrier G" by fast

  from p
  have "∃c. c ∈ carrier G ∧ wfactors G cs c"
  by (intro wfactors_prod_exists) fast+
  from this obtain c
  where ccarr: "c ∈ carrier G"
  and cfs: "wfactors G cs c"
  by auto

  with cscarr Cs
  show ?thesis by fast
qed

```

8.6.3 Multiplication on multisets

```

lemma (in factorial_monoid) mult_wfactors_fmset:
  assumes afs: "wfactors G as a" and bfs: "wfactors G bs b" and cfs:
"wfactors G cs (a  $\otimes$  b)"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G"
              "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier
G"
  shows "fmset G cs = fmset G as + fmset G bs"
proof -
  from assms
    have "wfactors G (as @ bs) (a  $\otimes$  b)" by (intro wfactors_mult)
  with carr cfs
    have "essentially_equal G cs (as@bs)" by (intro ee_wfactorsI[of
"a $\otimes$ b" "a $\otimes$ b"], simp+)
  with carr
    have "fmset G cs = fmset G (as@bs)" by (intro ee_fmset, simp+)
  also have "fmset G (as@bs) = fmset G as + fmset G bs" by (simp add:
fmset_def)
  finally show "fmset G cs = fmset G as + fmset G bs" .
qed

```

```

lemma (in factorial_monoid) mult_factors_fmset:
  assumes afs: "factors G as a" and bfs: "factors G bs b" and cfs: "factors
G cs (a  $\otimes$  b)"
    and "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier
G"
  shows "fmset G cs = fmset G as + fmset G bs"
using assms
by (blast intro: factors_wfactors mult_wfactors_fmset)

```

```

lemma (in comm_monoid_cancel) fmset_wfactors_mult:
  assumes mset: "fmset G cs = fmset G as + fmset G bs"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
              "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier
G"
    and fs: "wfactors G as a" "wfactors G bs b" "wfactors G cs c"
  shows "c  $\sim$  a  $\otimes$  b"
proof -
  from carr fs
    have m: "wfactors G (as @ bs) (a  $\otimes$  b)" by (intro wfactors_mult)

  from mset
    have "fmset G cs = fmset G (as@bs)" by (simp add: fmset_def)
  then have "essentially_equal G cs (as@bs)" by (rule fmset_ee) (simp
add: carr)+
  then show "c  $\sim$  a  $\otimes$  b" by (rule ee_wfactorsD[of "cs" "as@bs"]) (simp
add: assms m)+
qed

```

8.6.4 Divisibility on multisets

```

lemma (in factorial_monoid) divides_fmsubset:
  assumes ab: "a divides b"
    and afs: "wfactors G as a" and bfs: "wfactors G bs b"
    and carr: "a ∈ carrier G" "b ∈ carrier G" "set as ⊆ carrier G"
  "set bs ⊆ carrier G"
  shows "fmset G as ≤# fmset G bs"
using ab
proof (elim dividesE)
  fix c
  assume ccarr: "c ∈ carrier G"
  hence "∃cs. set cs ⊆ carrier G ∧ wfactors G cs c" by (rule wfactors_exist)
  from this obtain cs
    where cscarr: "set cs ⊆ carrier G"
    and cfs: "wfactors G cs c" by auto
  note carr = carr ccarr cscarr

  assume "b = a ⊗ c"
  with afs bfs cfs carr
    have "fmset G bs = fmset G as + fmset G cs"
    by (intro mult_wfactors_fmset[OF afs cfs]) simp+

  thus ?thesis by simp
qed

lemma (in comm_monoid_cancel) fmsubset_divides:
  assumes msubset: "fmset G as ≤# fmset G bs"
    and afs: "wfactors G as a" and bfs: "wfactors G bs b"
    and acar: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
    and ascarr: "set as ⊆ carrier G" and bscarr: "set bs ⊆ carrier G"
  shows "a divides b"
proof -
  from afs have airr: "∀a ∈ set as. irreducible G a" by (fast elim:
wfactorsE)
  from bfs have birr: "∀b ∈ set bs. irreducible G b" by (fast elim:
wfactorsE)

  have "∃c cs. c ∈ carrier G ∧ set cs ⊆ carrier G ∧ wfactors G cs c
  ∧ fmset G cs = fmset G bs - fmset G as"
  proof (intro mset_wfactorsEx, simp)
    fix X
    assume "X ∈# fmset G bs - fmset G as"
    hence "X ∈# fmset G bs" by (rule in_diffD)
    hence "X ∈ set (map (assocs G) bs)" by (simp add: fmset_def)
    hence "∃x. x ∈ set bs ∧ X = assocs G x" by (induct bs) auto
    from this obtain x
      where xbs: "x ∈ set bs"
      and X: "X = assocs G x"
      by auto
  end

```

```

with bscarr have xcarr: "x ∈ carrier G" by fast
from xbs birr have xirr: "irreducible G x" by simp

from xcarr and xirr and X
  show "∃x. x ∈ carrier G ∧ irreducible G x ∧ X = assoc G x"
by fast
qed
from this obtain c cs
  where ccarr: "c ∈ carrier G"
  and cscarr: "set cs ⊆ carrier G"
  and csf: "wfactors G cs c"
  and csmset: "fmset G cs = fmset G bs - fmset G as" by auto

from csmset msubset
  have "fmset G bs = fmset G as + fmset G cs"
  by (simp add: multiset_eq_iff subseteq_mset_def)
hence basc: "b ~ a ⊗ c"
  by (rule fmset_wfactors_mult) fact+

thus ?thesis
proof (elim associatedE2)
  fix u
  assume "u ∈ Units G" "b = a ⊗ c ⊗ u"
  with acarr ccarr
    show "a divides b" by (fast intro: dividesI[of "c ⊗ u"] m_assoc)
  qed (simp add: acarr bcarr ccarr)+
qed

lemma (in factorial_monoid) divides_as_fmsubset:
  assumes "wfactors G as a" and "wfactors G bs b"
  and "a ∈ carrier G" and "b ∈ carrier G"
  and "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "a divides b = (fmset G as ≤# fmset G bs)"
using assms
by (blast intro: divides_fmsubset_fmsubset_divides)

Proper factors on multisets

lemma (in factorial_monoid) fmset_properfactor:
  assumes asub: "fmset G as ≤# fmset G bs"
  and anb: "fmset G as ≠ fmset G bs"
  and "wfactors G as a" and "wfactors G bs b"
  and "a ∈ carrier G" and "b ∈ carrier G"
  and "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "properfactor G a b"
apply (rule properfactorI)
apply (rule fmsubset_divides[of as bs], fact+)
proof
  assume "b divides a"

```

```

hence "fmset G bs ≤# fmset G as"
  by (rule divides_fmsubset) fact+
with asubb
  have "fmset G as = fmset G bs" by (rule subset_mset.antisym)
with anb
  show "False" ..
qed

```

```

lemma (in factorial_monoid) properfactor_fmset:
  assumes pf: "properfactor G a b"
    and "wfactors G as a" and "wfactors G bs b"
    and "a ∈ carrier G" and "b ∈ carrier G"
    and "set as ⊆ carrier G" and "set bs ⊆ carrier G"
  shows "fmset G as ≤# fmset G bs ∧ fmset G as ≠ fmset G bs"
using pf
apply (elim properfactorE)
apply rule
  apply (intro divides_fmsubset, assumption)
  apply (rule assms)+
apply (metis assms divides_fmsubset fmsubset_divides)
done

```

8.7 Irreducible Elements are Prime

```

lemma (in factorial_monoid) irreducible_is_prime:
  assumes pirr: "irreducible G p"
    and pcarr: "p ∈ carrier G"
  shows "prime G p"
using pirr
proof (elim irreducibleE, intro primeI)
  fix a b
  assume acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
    and pdvdab: "p divides (a ⊗ b)"
    and pnunit: "p ∉ Units G"
  assume irreduc[rule_format]:
    "∀b. b ∈ carrier G ∧ properfactor G b p → b ∈ Units G"
  from pdvdab
  have "∃c∈carrier G. a ⊗ b = p ⊗ c" by (rule dividesD)
  from this obtain c
  where ccarr: "c ∈ carrier G"
    and abpc: "a ⊗ b = p ⊗ c"
  by auto

  from acarr have "∃fs. set fs ⊆ carrier G ∧ wfactors G fs a" by (rule
wfactors_exist)
  from this obtain as where ascarr: "set as ⊆ carrier G" and afs: "wfactors
G as a" by auto

  from bcarr have "∃fs. set fs ⊆ carrier G ∧ wfactors G fs b" by (rule

```

```

wfactors_exist)
  from this obtain bs where bscarr: "set bs  $\subseteq$  carrier G" and bfs: "wfactors
G bs b" by auto

  from ccarr have " $\exists$ fs. set fs  $\subseteq$  carrier G  $\wedge$  wfactors G fs c" by (rule
wfactors_exist)
  from this obtain cs where cscarr: "set cs  $\subseteq$  carrier G" and cfs: "wfactors
G cs c" by auto

  note carr[simp] = pcarr acarr bcarr ccarr ascarr bscarr cscarr

  from afs and bfs
    have abfs: "wfactors G (as @ bs) (a  $\otimes$  b)" by (rule wfactors_mult)
fact+

  from pirr cfs
    have pcfs: "wfactors G (p # cs) (p  $\otimes$  c)" by (rule wfactors_mult_single)
fact+
  with abpc
    have abfs': "wfactors G (p # cs) (a  $\otimes$  b)" by simp

  from abfs' abfs
    have "essentially_equal G (p # cs) (as @ bs)"
    by (rule wfactors_unique) simp+

  hence " $\exists$ ds. p # cs  $\llsim$  ds  $\wedge$  ds  $[\sim]$  (as @ bs)"
    by (fast elim: essentially_equalE)
  from this obtain ds
    where "p # cs  $\llsim$  ds"
    and dsassoc: "ds  $[\sim]$  (as @ bs)"
    by auto

  then have "p  $\in$  set ds"
    by (simp add: perm_set_eq[symmetric])
  with dsassoc
    have " $\exists$ p'. p'  $\in$  set (as@bs)  $\wedge$  p  $\sim$  p'"
    unfolding list_all2_conv_all_nth set_conv_nth
    by force

  from this obtain p'
    where "p'  $\in$  set (as@bs)"
    and pp': "p  $\sim$  p'"
    by auto

  hence "p'  $\in$  set as  $\vee$  p'  $\in$  set bs" by simp
  moreover
  {
    assume p'elem: "p'  $\in$  set as"
    with ascarr have [simp]: "p'  $\in$  carrier G" by fast
  }

```

```

    note pp'
  also from afs
    have "p' divides a" by (rule wfactors_dividesI) fact+
  finally
    have "p divides a" by simp
}
moreover
{
  assume p'elem: "p' ∈ set bs"
  with bscarr have [simp]: "p' ∈ carrier G" by fast

  note pp'
  also from bfs
    have "p' divides b" by (rule wfactors_dividesI) fact+
  finally
    have "p divides b" by simp
}
ultimately
  show "p divides a ∨ p divides b" by fast
qed

```

— A version using factors, more complicated

```

lemma (in factorial_monoid) factors_irreducible_is_prime:
  assumes pirr: "irreducible G p"
  and pcarr: "p ∈ carrier G"
  shows "prime G p"
using pirr
apply (elim irreducibleE, intro primeI)
  apply assumption
proof -
  fix a b
  assume acarr: "a ∈ carrier G"
  and bcarr: "b ∈ carrier G"
  and pdvdab: "p divides (a ⊗ b)"
  assume irreduc[rule_format]:
    "∀b. b ∈ carrier G ∧ properfactor G b p → b ∈ Units G"
  from pdvdab
    have "∃c∈carrier G. a ⊗ b = p ⊗ c" by (rule dividesD)
  from this obtain c
    where ccarr: "c ∈ carrier G"
    and abpc: "a ⊗ b = p ⊗ c"
    by auto
  note [simp] = pcarr acarr bcarr ccarr

  show "p divides a ∨ p divides b"
proof (cases "a ∈ Units G")
  assume aunit: "a ∈ Units G"

```



```

note pdvdab
also have "a ⊗ b = b ⊗ a" by (simp add: m_comm)
also from aunit
  have bab: "b ⊗ a ~ b"
  by (intro associatedI2[of "a"], simp+)
finally
  have "p divides b" by simp
thus "p divides a ∨ p divides b" ..
next
assume anunit: "a ∉ Units G"

show "p divides a ∨ p divides b"
proof (cases "b ∈ Units G")
  assume bunit: "b ∈ Units G"

  note pdvdab
  also from bunit
    have baa: "a ⊗ b ~ a"
    by (intro associatedI2[of "b"], simp+)
  finally
    have "p divides a" by simp
  thus "p divides a ∨ p divides b" ..
next
assume bnunit: "b ∉ Units G"

have cnunit: "c ∉ Units G"
proof (rule ccontr, simp)
  assume cunit: "c ∈ Units G"
  from bnunit
    have "properfactor G a (a ⊗ b)"
    by (intro properfactorI3[of _ _ b], simp+)
  also note abpc
  also from cunit
    have "p ⊗ c ~ p"
    by (intro associatedI2[of c], simp+)
  finally
    have "properfactor G a p" by simp

  with acarr
    have "a ∈ Units G" by (fast intro: irreduc)
  with anunit
    show "False" ..
qed

have abnunit: "a ⊗ b ∉ Units G"
proof clarsimp
  assume abunit: "a ⊗ b ∈ Units G"
  hence "a ∈ Units G" by (rule unit_factor) fact+

```

```

    with anunit
      show "False" ..
  qed

  from acarr anunit have "∃fs. set fs ⊆ carrier G ∧ factors G fs
a" by (rule factors_exist)
  then obtain as where ascarr: "set as ⊆ carrier G" and afac: "factors
G as a" by auto

  from bcarr bnunit have "∃fs. set fs ⊆ carrier G ∧ factors G fs
b" by (rule factors_exist)
  then obtain bs where bscarr: "set bs ⊆ carrier G" and bfac: "factors
G bs b" by auto

  from ccarr cnunit have "∃fs. set fs ⊆ carrier G ∧ factors G fs
c" by (rule factors_exist)
  then obtain cs where cscarr: "set cs ⊆ carrier G" and cfac: "factors
G cs c" by auto

  note [simp] = ascarr bscarr cscarr

  from afac and bfac
    have abfac: "factors G (as @ bs) (a ⊗ b)" by (rule factors_mult)
fact+

  from pirr cfac
    have pcfac: "factors G (p # cs) (p ⊗ c)" by (rule factors_mult_single)
fact+

  with abpc
    have abfac': "factors G (p # cs) (a ⊗ b)" by simp

  from abfac' abfac
    have "essentially_equal G (p # cs) (as @ bs)"
    by (rule factors_unique) (fact | simp)+

  hence "∃ds. p # cs <~~> ds ∧ ds [~] (as @ bs)"
    by (fast elim: essentially_equalE)
  from this obtain ds
    where "p # cs <~~> ds"
    and dsassoc: "ds [~] (as @ bs)"
    by auto

  then have "p ∈ set ds"
    by (simp add: perm_set_eq[symmetric])
  with dsassoc
    have "∃p'. p' ∈ set (as@bs) ∧ p ~ p'"
    unfolding list_all2_conv_all_nth set_conv_nth
    by force

```

```

from this obtain p'
  where "p' ∈ set (as@bs)"
  and pp': "p ~ p'" by auto

hence "p' ∈ set as ∨ p' ∈ set bs" by simp
moreover
{
  assume p'elem: "p' ∈ set as"
  with ascarr have [simp]: "p' ∈ carrier G" by fast

  note pp'
  also from afac p'elem
    have "p' divides a" by (rule factors_dividesI) fact+
  finally
    have "p divides a" by simp
}
moreover
{
  assume p'elem: "p' ∈ set bs"
  with bscarr have [simp]: "p' ∈ carrier G" by fast

  note pp'
  also from bfac
    have "p' divides b" by (rule factors_dividesI) fact+
  finally have "p divides b" by simp
}
ultimately
  show "p divides a ∨ p divides b" by fast
qed
qed
qed

```

8.8 Greatest Common Divisors and Lowest Common Multiples

8.8.1 Definitions

definition

```

isgcd :: "('a,_) monoid_scheme, 'a, 'a, 'a] ⇒ bool" ("(_ gcdofι _ _)" [81,81,81] 80)
where "x gcdofG a b ⇔ x dividesG a ∧ x dividesG b ∧
      (∀y∈carrier G. (y dividesG a ∧ y dividesG b → y dividesG x))"

```

definition

```

islcm :: "[_ , 'a, 'a, 'a] ⇒ bool" ("(_ lcmofι _ _)" [81,81,81] 80)
where "x lcmofG a b ⇔ a dividesG x ∧ b dividesG x ∧
      (∀y∈carrier G. (a dividesG y ∧ b dividesG y → x dividesG y))"

```

definition

```

somegcd :: "('a,_) monoid_scheme ⇒ 'a ⇒ 'a ⇒ 'a"

```

```
where "somegcd G a b = (SOME x. x ∈ carrier G ∧ x gcdofG a b)"
```

definition

```
somelcm :: "('a,_) monoid_scheme ⇒ 'a ⇒ 'a ⇒ 'a"
where "somelcm G a b = (SOME x. x ∈ carrier G ∧ x lcmofG a b)"
```

definition

```
"SomeGcd G A = inf (division_rel G) A"
```

```
locale gcd_condition_monoid = comm_monoid_cancel +
  assumes gcdof_exists:
    "[a ∈ carrier G; b ∈ carrier G] ⇒ ∃c. c ∈ carrier G ∧ c gcdof
a b"
```

```
locale primeness_condition_monoid = comm_monoid_cancel +
  assumes irreducible_prime:
    "[a ∈ carrier G; irreducible G a] ⇒ prime G a"
```

```
locale divisor_chain_condition_monoid = comm_monoid_cancel +
  assumes division_wellfounded:
    "wf {(x, y). x ∈ carrier G ∧ y ∈ carrier G ∧ properfactor G
x y}"
```

8.8.2 Connections to Lattice.thy

```
lemma gcdof_greatestLower:
  fixes G (structure)
  assumes carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "(x ∈ carrier G ∧ x gcdof a b) =
    greatest (division_rel G) x (Lower (division_rel G) {a, b})"
unfolding isgcd_def greatest_def Lower_def elem_def
by auto
```

```
lemma lcmof_leastUpper:
  fixes G (structure)
  assumes carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "(x ∈ carrier G ∧ x lcmof a b) =
    least (division_rel G) x (Upper (division_rel G) {a, b})"
unfolding islcm_def least_def Upper_def elem_def
by auto
```

```
lemma somegcd_meet:
  fixes G (structure)
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "somegcd G a b = meet (division_rel G) a b"
unfolding somegcd_def meet_def inf_def
by (simp add: gcdof_greatestLower[OF carr])
```

```

lemma (in monoid) isgcd_divides_l:
  assumes "a divides b"
    and "a ∈ carrier G" "b ∈ carrier G"
  shows "a gcdof a b"
using assms
unfolding isgcd_def
by fast

```

```

lemma (in monoid) isgcd_divides_r:
  assumes "b divides a"
    and "a ∈ carrier G" "b ∈ carrier G"
  shows "b gcdof a b"
using assms
unfolding isgcd_def
by fast

```

8.8.3 Existence of gcd and lcm

```

lemma (in factorial_monoid) gcdof_exists:
  assumes acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
  shows "∃c. c ∈ carrier G ∧ c gcdof a b"
proof -
  from acarr have "∃as. set as ⊆ carrier G ∧ wfactors G as a" by (rule
wfactors_exist)
  from this obtain as
    where ascarr: "set as ⊆ carrier G"
    and afs: "wfactors G as a"
    by auto
  from afs have airr: "∀a ∈ set as. irreducible G a" by (fast elim:
wfactorsE)

  from bcarr have "∃bs. set bs ⊆ carrier G ∧ wfactors G bs b" by (rule
wfactors_exist)
  from this obtain bs
    where bscarr: "set bs ⊆ carrier G"
    and bfs: "wfactors G bs b"
    by auto
  from bfs have birr: "∀b ∈ set bs. irreducible G b" by (fast elim:
wfactorsE)

  have "∃c cs. c ∈ carrier G ∧ set cs ⊆ carrier G ∧ wfactors G cs c
  ∧
    fmset G cs = fmset G as #∩ fmset G bs"
proof (intro mset_wfactorsEx)
  fix X
  assume "X ∈# fmset G as #∩ fmset G bs"
  hence "X ∈# fmset G as" by simp
  hence "X ∈ set (map (assoc G) as)" by (simp add: fmset_def)
  hence "∃x. X = assoc G x ∧ x ∈ set as" by (induct as) auto

```

```

from this obtain x
  where X: "X = assoc G x"
  and xas: "x ∈ set as"
  by auto
with ascarr have xcarr: "x ∈ carrier G" by fast
from xas airr have xirr: "irreducible G x" by simp

from xcarr and xirr and X
  show "∃x. (x ∈ carrier G ∧ irreducible G x) ∧ X = assoc G x"
by fast
qed

from this obtain c cs
  where ccarr: "c ∈ carrier G"
  and cscarr: "set cs ⊆ carrier G"
  and csirr: "wfactors G cs c"
  and csmset: "fmset G cs = fmset G as #∩ fmset G bs" by auto

have "c gcdof a b"
proof (simp add: isgcd_def, safe)
  from csmset
    have "fmset G cs ≤# fmset G as"
    by (simp add: multiset_inter_def subset_mset_def)
  thus "c divides a" by (rule fmsubset_divides) fact+
next
  from csmset
    have "fmset G cs ≤# fmset G bs"
    by (simp add: multiset_inter_def subteq_mset_def, force)
  thus "c divides b" by (rule fmsubset_divides) fact+
next
  fix y
  assume ycarr: "y ∈ carrier G"
  hence "∃ys. set ys ⊆ carrier G ∧ wfactors G ys y" by (rule wfactors_exist)
  from this obtain ys
    where yscarr: "set ys ⊆ carrier G"
    and yfs: "wfactors G ys y"
    by auto

  assume "y divides a"
  hence ya: "fmset G ys ≤# fmset G as" by (rule divides_fmsubset) fact+

  assume "y divides b"
  hence yb: "fmset G ys ≤# fmset G bs" by (rule divides_fmsubset) fact+

  from ya yb csmset
  have "fmset G ys ≤# fmset G cs" by (simp add: subset_mset_def)
  thus "y divides c" by (rule fmsubset_divides) fact+
qed

```

```

with ccarr
  show "∃c. c ∈ carrier G ∧ c gcdof a b" by fast
qed

lemma (in factorial_monoid) lcmof_exists:
  assumes acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G"
  shows "∃c. c ∈ carrier G ∧ c lcmof a b"
proof -
  from acarr have "∃as. set as ⊆ carrier G ∧ wfactors G as a" by (rule
wfactors_exist)
  from this obtain as
    where ascarr: "set as ⊆ carrier G"
    and afs: "wfactors G as a"
    by auto
  from afs have airr: "∀a ∈ set as. irreducible G a" by (fast elim:
wfactorsE)

  from bcarr have "∃bs. set bs ⊆ carrier G ∧ wfactors G bs b" by (rule
wfactors_exist)
  from this obtain bs
    where bscarr: "set bs ⊆ carrier G"
    and bfs: "wfactors G bs b"
    by auto
  from bfs have birr: "∀b ∈ set bs. irreducible G b" by (fast elim:
wfactorsE)

  have "∃c cs. c ∈ carrier G ∧ set cs ⊆ carrier G ∧ wfactors G cs c
  ∧
    fmset G cs = (fmset G as - fmset G bs) + fmset G bs"
proof (intro mset_wfactorsEx)
  fix X
  assume "X ∈# (fmset G as - fmset G bs) + fmset G bs"
  hence "X ∈# fmset G as ∨ X ∈# fmset G bs"
    by (auto dest: in_diffD)
  moreover
  {
    assume "X ∈ set_mset (fmset G as)"
    hence "X ∈ set (map (assocs G) as)" by (simp add: fmset_def)
    hence "∃x. x ∈ set as ∧ X = assocs G x" by (induct as) auto
    from this obtain x
      where xas: "x ∈ set as"
      and X: "X = assocs G x" by auto

    with ascarr have xcarr: "x ∈ carrier G" by fast
    from xas airr have xirr: "irreducible G x" by simp

    from xcarr and xirr and X
      have "∃x. (x ∈ carrier G ∧ irreducible G x) ∧ X = assocs G
x" by fast

```

```

}
moreover
{
  assume "X ∈ set_mset (fmset G bs)"
  hence "X ∈ set (map (assocs G) bs)" by (simp add: fmset_def)
  hence "∃x. x ∈ set bs ∧ X = assocs G x" by (induct as) auto
  from this obtain x
    where xbs: "x ∈ set bs"
    and X: "X = assocs G x" by auto

  with bscarr have xcarr: "x ∈ carrier G" by fast
  from xbs birr have xirr: "irreducible G x" by simp

  from xcarr and xirr and X
    have "∃x. (x ∈ carrier G ∧ irreducible G x) ∧ X = assocs G
x" by fast
}
ultimately
show "∃x. (x ∈ carrier G ∧ irreducible G x) ∧ X = assocs G x" by
fast
qed

from this obtain c cs
  where ccarr: "c ∈ carrier G"
  and cscarr: "set cs ⊆ carrier G"
  and csirr: "wfactors G cs c"
  and csmset: "fmset G cs = fmset G as - fmset G bs + fmset G bs"
by auto

have "c lcmof a b"
proof (simp add: islcm_def, safe)
  from csmset have "fmset G as ≤# fmset G cs" by (simp add: subseteq_mset_def,
force)
  thus "a divides c" by (rule fmsubset_divides) fact+
next
  from csmset have "fmset G bs ≤# fmset G cs" by (simp add: subset_mset_def)
  thus "b divides c" by (rule fmsubset_divides) fact+
next
  fix y
  assume ycarr: "y ∈ carrier G"
  hence "∃ys. set ys ⊆ carrier G ∧ wfactors G ys y" by (rule wfactors_exist)
  from this obtain ys
    where yscarr: "set ys ⊆ carrier G"
    and yfs: "wfactors G ys y"
    by auto

  assume "a divides y"
  hence ya: "fmset G as ≤# fmset G ys" by (rule divides_fmsubset) fact+

```



```

assume "b divides y"
hence yb: "fmset G bs ≤# fmset G ys" by (rule divides_fmsubset) fact+

from ya yb csmset
have "fmset G cs ≤# fmset G ys"
  apply (simp add: subseteq_mset_def, clarify)
  apply (case_tac "count (fmset G as) a < count (fmset G bs) a")
  apply simp
  apply simp
done
thus "c divides y" by (rule fmsubset_divides) fact+
qed

with ccarr
show "∃c. c ∈ carrier G ∧ c lcmof a b" by fast
qed

```

8.9 Conditions for Factoriality

8.9.1 Gcd condition

```

lemma (in gcd_condition_monoid) division_weak_lower_semilattice [simp]:
  shows "weak_lower_semilattice (division_rel G)"
proof -
  interpret weak_partial_order "division_rel G" ..
  show ?thesis
  apply (unfold_locales, simp_all)
proof -
  fix x y
  assume carr: "x ∈ carrier G" "y ∈ carrier G"
  hence "∃z. z ∈ carrier G ∧ z gcdof x y" by (rule gcdof_exists)
  from this obtain z
    where zcarr: "z ∈ carrier G"
    and isgcd: "z gcdof x y"
    by auto
  with carr
  have "greatest (division_rel G) z (Lower (division_rel G) {x, y})"
    by (subst gcdof_greatestLower[symmetric], simp+)
  thus "∃z. greatest (division_rel G) z (Lower (division_rel G) {x,
y})" by fast
  qed
qed

lemma (in gcd_condition_monoid) gcdof_cong_1:
  assumes a'a: "a' ~ a"
  and agcd: "a gcdof b c"
  and a'carr: "a' ∈ carrier G" and carr': "a ∈ carrier G" "b ∈ carrier
G" "c ∈ carrier G"
  shows "a' gcdof b c"
proof -

```

```

note carr = a'carr carr'
interpret weak_lower_semilattice "division_rel G" by simp
have "a' ∈ carrier G ∧ a' gcdof b c"
  apply (simp add: gcdof_greatestLower carr')
  apply (subst greatest_Lower_cong_1[of _ a])
    apply (simp add: a'a)
    apply (simp add: carr)
    apply (simp add: carr)
    apply (simp add: carr)
  apply (simp add: gcdof_greatestLower[symmetric] agcd carr)
done
thus ?thesis ..
qed

```

```

lemma (in gcd_condition_monoid) gcd_closed [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "somegcd G a b ∈ carrier G"
proof -
  interpret weak_lower_semilattice "division_rel G" by simp
  show ?thesis
    apply (simp add: somegcd_meet[OF carr])
    apply (rule meet_closed[simplified], fact+)
  done
qed

```

```

lemma (in gcd_condition_monoid) gcd_isgcd:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "(somegcd G a b) gcdof a b"
proof -
  interpret weak_lower_semilattice "division_rel G" by simp
  from carr
  have "somegcd G a b ∈ carrier G ∧ (somegcd G a b) gcdof a b"
    apply (subst gcdof_greatestLower, simp, simp)
    apply (simp add: somegcd_meet[OF carr] meet_def)
    apply (rule inf_of_two_greatest[simplified], assumption+)
  done
  thus "(somegcd G a b) gcdof a b" by simp
qed

```

```

lemma (in gcd_condition_monoid) gcd_exists:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "∃x∈carrier G. x = somegcd G a b"
proof -
  interpret weak_lower_semilattice "division_rel G" by simp
  show ?thesis
    by (metis carr(1) carr(2) gcd_closed)
qed

```

```

lemma (in gcd_condition_monoid) gcd_divides_1:

```

```

    assumes carr: "a ∈ carrier G" "b ∈ carrier G"
    shows "(somegcd G a b) divides a"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      by (metis carr(1) carr(2) gcd_isgcd isgcd_def)
  qed

lemma (in gcd_condition_monoid) gcd_divides_r:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "(somegcd G a b) divides b"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      by (metis carr gcd_isgcd isgcd_def)
  qed

lemma (in gcd_condition_monoid) gcd_divides:
  assumes sub: "z divides x" "z divides y"
    and L: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  shows "z divides (somegcd G x y)"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      by (metis gcd_isgcd isgcd_def assms)
  qed

lemma (in gcd_condition_monoid) gcd_cong_l:
  assumes xx': "x ~ x'"
    and carr: "x ∈ carrier G" "x' ∈ carrier G" "y ∈ carrier G"
  shows "somegcd G x y ~ somegcd G x' y"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      apply (simp add: somegcd_meet carr)
      apply (rule meet_cong_l[simplified], fact+)
    done
  qed

lemma (in gcd_condition_monoid) gcd_cong_r:
  assumes carr: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
    and yy': "y ~ y'"
  shows "somegcd G x y ~ somegcd G x y'"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      apply (simp add: somegcd_meet carr)
      apply (rule meet_cong_r[simplified], fact+)
    done
  qed

```

qed

```
lemma (in gcd_condition_monoid) gcdI:
  assumes dvd: "a divides b" "a divides c"
    and others: " $\forall y \in \text{carrier } G. y \text{ divides } b \wedge y \text{ divides } c \longrightarrow y \text{ divides } a$ "
    and acarr: "a  $\in$  carrier G" and bcarr: "b  $\in$  carrier G" and ccarr:
      "c  $\in$  carrier G"
    shows "a  $\sim$  somegcd G b c"
  apply (simp add: somegcd_def)
  apply (rule someI2_ex)
    apply (rule exI[of _ a], simp add: isgcd_def)
    apply (simp add: assms)
  apply (simp add: isgcd_def assms, clarify)
  apply (insert assms, blast intro: associatedI)
  done
```

```
lemma (in gcd_condition_monoid) gcdI2:
  assumes "a gcdof b c"
    and "a  $\in$  carrier G" and bcarr: "b  $\in$  carrier G" and ccarr: "c  $\in$  carrier
      G"
    shows "a  $\sim$  somegcd G b c"
  using assms
  unfolding isgcd_def
  by (blast intro: gcdI)
```

```
lemma (in gcd_condition_monoid) SomeGcd_ex:
  assumes "finite A" "A  $\subseteq$  carrier G" "A  $\neq$  {}"
    shows " $\exists x \in \text{carrier } G. x = \text{SomeGcd } G \ A$ "
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      apply (simp add: SomeGcd_def)
      apply (rule finite_inf_closed[simplified], fact+)
    done
```

qed

```
lemma (in gcd_condition_monoid) gcd_assoc:
  assumes carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
    shows "somegcd G (somegcd G a b) c  $\sim$  somegcd G a (somegcd G b c)"
  proof -
    interpret weak_lower_semilattice "division_rel G" by simp
    show ?thesis
      apply (subst (2 3) somegcd_meet, (simp add: carr)+)
      apply (simp add: somegcd_meet carr)
      apply (rule weak_meet_assoc[simplified], fact+)
    done
```

qed

```

lemma (in gcd_condition_monoid) gcd_mult:
  assumes acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G" and ccarr:
    "c ∈ carrier G"
  shows "c ⊗ somegcd G a b ~ somegcd G (c ⊗ a) (c ⊗ b)"
proof -
  let ?d = "somegcd G a b"
  let ?e = "somegcd G (c ⊗ a) (c ⊗ b)"
  note carr[simp] = acarr bcarr ccarr
  have dcarr: "?d ∈ carrier G" by simp
  have ecarr: "?e ∈ carrier G" by simp
  note carr = carr dcarr ecarr

  have "?d divides a" by (simp add: gcd_divides_l)
  hence cd'ca: "c ⊗ ?d divides (c ⊗ a)" by (simp add: divides_mult_lI)

  have "?d divides b" by (simp add: gcd_divides_r)
  hence cd'cb: "c ⊗ ?d divides (c ⊗ b)" by (simp add: divides_mult_lI)

  from cd'ca cd'cb
    have cd'e: "c ⊗ ?d divides ?e"
    by (rule gcd_divides) simp+

  hence "∃u. u ∈ carrier G ∧ ?e = c ⊗ ?d ⊗ u"
    by (elim dividesE, fast)
  from this obtain u
    where ucarr[simp]: "u ∈ carrier G"
    and e_cdu: "?e = c ⊗ ?d ⊗ u"
    by auto

  note carr = carr ucarr

  have "?e divides c ⊗ a" by (rule gcd_divides_l) simp+
  hence "∃x. x ∈ carrier G ∧ c ⊗ a = ?e ⊗ x"
    by (elim dividesE, fast)
  from this obtain x
    where xcarr: "x ∈ carrier G"
    and ca_ex: "c ⊗ a = ?e ⊗ x"
    by auto
  with e_cdu
    have ca_cdux: "c ⊗ a = c ⊗ ?d ⊗ u ⊗ x" by simp

  from ca_cdux xcarr
    have "c ⊗ a = c ⊗ (?d ⊗ u ⊗ x)" by (simp add: m_assoc)
  then have "a = ?d ⊗ u ⊗ x" by (rule l_cancel[of c a]) (simp add: xcarr)+
  hence du'a: "?d ⊗ u divides a" by (rule dividesI[OF xcarr])

  have "?e divides c ⊗ b" by (intro gcd_divides_r, simp+)

```

```

hence "∃x. x ∈ carrier G ∧ c ⊗ b = ?e ⊗ x"
  by (elim dividesE, fast)
from this obtain x
  where xcarr: "x ∈ carrier G"
  and cb_ex: "c ⊗ b = ?e ⊗ x"
  by auto
with e_cdu
  have cb_cdux: "c ⊗ b = c ⊗ ?d ⊗ u ⊗ x" by simp

from cb_cdux xcarr
  have "c ⊗ b = c ⊗ (?d ⊗ u ⊗ x)" by (simp add: m_assoc)
with xcarr
  have "b = ?d ⊗ u ⊗ x" by (intro l_cancel[of c b], simp+)
hence du'b: "?d ⊗ u divides b" by (intro dividesI[OF xcarr])

from du'a du'b carr
  have du'd: "?d ⊗ u divides ?d"
  by (intro gcd_divides, simp+)
hence uunit: "u ∈ Units G"
proof (elim dividesE)
  fix v
  assume vcarr[simp]: "v ∈ carrier G"
  assume d: "?d = ?d ⊗ u ⊗ v"
  have "?d ⊗ 1 = ?d ⊗ u ⊗ v" by simp fact
  also have "?d ⊗ u ⊗ v = ?d ⊗ (u ⊗ v)" by (simp add: m_assoc)
  finally have "?d ⊗ 1 = ?d ⊗ (u ⊗ v)" .
  hence i2: "1 = u ⊗ v" by (rule l_cancel) simp+
  hence i1: "1 = v ⊗ u" by (simp add: m_comm)
  from vcarr i1[symmetric] i2[symmetric]
  show "u ∈ Units G"
  by (unfold Units_def, simp, fast)
qed

from e_cdu uunit
  have "somegcd G (c ⊗ a) (c ⊗ b) ~ c ⊗ somegcd G a b"
  by (intro associatedI2[of u], simp+)
from this[symmetric]
  show "c ⊗ somegcd G a b ~ somegcd G (c ⊗ a) (c ⊗ b)" by simp
qed

lemma (in monoid) assoc_subst:
  assumes ab: "a ~ b"
  and cP: "ALL a b. a : carrier G & b : carrier G & a ~ b
  --> f a : carrier G & f b : carrier G & f a ~ f b"
  and carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "f a ~ f b"
  using assms by auto

lemma (in gcd_condition_monoid) relprime_mult:

```

```

    assumes abrelprime: "somegcd G a b ~ 1" and acrelprime: "somegcd G
a c ~ 1"
    and carr[simp]: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
    shows "somegcd G a (b ⊗ c) ~ 1"
  proof -
    have "c = c ⊗ 1" by simp
    also from abrelprime[symmetric]
      have "... ~ c ⊗ somegcd G a b"
        by (rule assoc_subst) (simp add: mult_cong_r)+
    also have "... ~ somegcd G (c ⊗ a) (c ⊗ b)" by (rule gcd_mult) fact+
    finally
      have c: "c ~ somegcd G (c ⊗ a) (c ⊗ b)" by simp

    from carr
      have a: "a ~ somegcd G a (c ⊗ a)"
        by (fast intro: gcdI divides_prod_l)

    have "somegcd G a (b ⊗ c) ~ somegcd G a (c ⊗ b)" by (simp add: m_comm)
    also from a
      have "... ~ somegcd G (somegcd G a (c ⊗ a)) (c ⊗ b)"
        by (rule assoc_subst) (simp add: gcd_cong_l)+
    also from gcd_assoc
      have "... ~ somegcd G a (somegcd G (c ⊗ a) (c ⊗ b))"
        by (rule assoc_subst) simp+
    also from c[symmetric]
      have "... ~ somegcd G a c"
        by (rule assoc_subst) (simp add: gcd_cong_r)+
    also note acrelprime
    finally
      show "somegcd G a (b ⊗ c) ~ 1" by simp
  qed

lemma (in gcd_condition_monoid) primeness_condition:
  "primeness_condition_monoid G"
apply unfold_locales
apply (rule primeI)
apply (elim irreducibleE, assumption)
proof -
  fix p a b
  assume pcarr: "p ∈ carrier G" and acarr: "a ∈ carrier G" and bcarr:
  "b ∈ carrier G"
  and pirr: "irreducible G p"
  and pdvdab: "p divides a ⊗ b"
  from pirr
    have pnunit: "p ∉ Units G"
    and r[rule_format]: "∀b. b ∈ carrier G ∧ properfactor G b p →
b ∈ Units G"
    by - (fast elim: irreducibleE)+

```

```

show "p divides a  $\vee$  p divides b"
proof (rule ccontr, clarsimp)
  assume npdvda: " $\neg$  p divides a"
  with pcarr acarr
  have "1  $\sim$  somegcd G p a"
  apply (intro gcdI, simp, simp, simp)
  apply (fast intro: unit_divides)
  apply (fast intro: unit_divides)
  apply (clarsimp simp add: Unit_eq_dividesone[symmetric])
  apply (rule r, rule, assumption)
  apply (rule properfactorI, assumption)
proof (rule ccontr, simp)
  fix y
  assume ycarr: "y  $\in$  carrier G"
  assume "p divides y"
  also assume "y divides a"
  finally
    have "p divides a" by (simp add: pcarr ycarr acarr)
  with npdvda
    show "False" ..
qed simp+
with pcarr acarr
  have pa: "somegcd G p a  $\sim$  1" by (fast intro: associated_sym[of
"1"] gcd_closed)

  assume npdvdb: " $\neg$  p divides b"
  with pcarr bcarr
  have "1  $\sim$  somegcd G p b"
  apply (intro gcdI, simp, simp, simp)
  apply (fast intro: unit_divides)
  apply (fast intro: unit_divides)
  apply (clarsimp simp add: Unit_eq_dividesone[symmetric])
  apply (rule r, rule, assumption)
  apply (rule properfactorI, assumption)
proof (rule ccontr, simp)
  fix y
  assume ycarr: "y  $\in$  carrier G"
  assume "p divides y"
  also assume "y divides b"
  finally have "p divides b" by (simp add: pcarr ycarr bcarr)
  with npdvdb
    show "False" ..
qed simp+
with pcarr bcarr
  have pb: "somegcd G p b  $\sim$  1" by (fast intro: associated_sym[of
"1"] gcd_closed)

from pcarr acarr bcarr pdvdab
  have "p gcdof p (a  $\otimes$  b)" by (fast intro: isgcd_divides_1)

```



```

with pcarr acarr bcarr
  have "p ~ somegcd G p (a ⊗ b)" by (fast intro: gcdI2)
also from pa pb pcarr acarr bcarr
  have "somegcd G p (a ⊗ b) ~ 1" by (rule relprime_mult)
finally have "p ~ 1" by (simp add: pcarr acarr bcarr)

with pcarr
  have "p ∈ Units G" by (fast intro: assoc_unit_1)
with pnunit
  show "False" ..
qed
qed

sublocale gcd_condition_monoid ⊆ primeness_condition_monoid
  by (rule primeness_condition)

8.9.2 Divisor chain condition

lemma (in divisor_chain_condition_monoid) wfactors_exist:
  assumes acarr: "a ∈ carrier G"
  shows "∃ as. set as ⊆ carrier G ∧ wfactors G as a"
proof -
  have r[rule_format]: "a ∈ carrier G → (∃ as. set as ⊆ carrier G ∧
wfactors G as a)"
    apply (rule wf_induct[OF division_wellfounded])
  proof -
    fix x
    assume ih: "∀ y. (y, x) ∈ {(x, y). x ∈ carrier G ∧ y ∈ carrier G
  ∧ properfactor G x y}
      → y ∈ carrier G → (∃ as. set as ⊆ carrier G ∧
wfactors G as y)"

    show "x ∈ carrier G → (∃ as. set as ⊆ carrier G ∧ wfactors G as
x)"
      apply clarify
      apply (cases "x ∈ Units G")
      apply (rule exI[of _ "[]"], simp)
      apply (cases "irreducible G x")
      apply (rule exI[of _ "[x]"], simp add: wfactors_def)
    proof -
      assume xcarr: "x ∈ carrier G"
      and xnunit: "x ∉ Units G"
      and xnirr: "¬ irreducible G x"
      hence "∃ y. y ∈ carrier G ∧ properfactor G y x ∧ y ∉ Units G"
        apply - apply (rule ccontr, simp)
        apply (subgoal_tac "irreducible G x", simp)
        apply (rule irreducibleI, simp, simp)
      done

```

```

from this obtain y
  where ycarr: "y ∈ carrier G"
  and yunit: "y ∉ Units G"
  and pfyx: "properfactor G y x"
  by auto

have ih':
  "∧y. [y ∈ carrier G; properfactor G y x]
  ⇒ ∃as. set as ⊆ carrier G ∧ wfactors G as y"
  by (rule ih[rule_format, simplified]) (simp add: xcarr)+

from ycarr pfyx
  have "∃as. set as ⊆ carrier G ∧ wfactors G as y"
  by (rule ih')
from this obtain ys
  where yscarr: "set ys ⊆ carrier G"
  and yfs: "wfactors G ys y"
  by auto

from pfyx
  have "y divides x"
  and nyx: "¬ y ~ x"
  by - (fast elim: properfactorE2)+
hence "∃z. z ∈ carrier G ∧ x = y ⊗ z"
  by fast

from this obtain z
  where zcarr: "z ∈ carrier G"
  and x: "x = y ⊗ z"
  by auto

from zcarr ycarr
have "properfactor G z x"
  apply (subst x)
  apply (intro properfactorI3[of _ _ y])
  apply (simp add: m_comm)
  apply (simp add: yunit)+
done
with zcarr
  have "∃as. set as ⊆ carrier G ∧ wfactors G as z"
  by (rule ih')
from this obtain zs
  where zscarr: "set zs ⊆ carrier G"
  and zfs: "wfactors G zs z"
  by auto

from yscarr zscarr
  have xscarr: "set (ys@zs) ⊆ carrier G" by simp
from yfs zfs ycarr zcarr yscarr zscarr

```

```

      have "wfactors G (ys@zs) (y⊗z)" by (rule wfactors_mult)
      hence "wfactors G (ys@zs) x" by (simp add: x)

      from xscarr this
      show "∃xs. set xs ⊆ carrier G ∧ wfactors G xs x" by fast
    qed
  qed

  from acarr
  show ?thesis by (rule r)
qed

```

8.9.3 Primeness condition

```

lemma (in comm_monoid_cancel) multlist_prime_pos:
  assumes carr: "a ∈ carrier G" "set as ⊆ carrier G"
  and aprime: "prime G a"
  and "a divides (foldr (op ⊗) as 1)"
  shows "∃i<length as. a divides (as!i)"
proof -
  have r[rule_format]:
    "set as ⊆ carrier G ∧ a divides (foldr (op ⊗) as 1)
    → (∃i. i < length as ∧ a divides (as!i))"
  apply (induct as)
  apply clarsimp defer 1
  apply clarsimp defer 1
proof -
  assume "a divides 1"
  with carr
    have "a ∈ Units G"
    by (fast intro: divides_unit[of a 1])
  with aprime
    show "False" by (elim primeE, simp)
next
  fix aa as
  assume ih[rule_format]: "a divides foldr op ⊗ as 1 → (∃i<length
as. a divides as ! i)"
  and carr': "aa ∈ carrier G" "set as ⊆ carrier G"
  and "a divides aa ⊗ foldr op ⊗ as 1"
  with carr aprime
    have "a divides aa ∨ a divides foldr op ⊗ as 1"
    by (intro prime_divides) simp+
  moreover {
    assume "a divides aa"
    hence p1: "a divides (aa#as)!0" by simp
    have "0 < Suc (length as)" by simp
    with p1 have "∃i<Suc (length as). a divides (aa # as) ! i" by fast
  }
  moreover {

```

```

    assume "a divides foldr op ⊗ as 1"
    hence "∃i. i < length as ∧ a divides as ! i" by (rule ih)
    from this obtain i where "a divides as ! i" and len: "i < length
as" by auto
    hence p1: "a divides (aa#as) ! (Suc i)" by simp
    from len have "Suc i < Suc (length as)" by simp
    with p1 have "∃i<Suc (length as). a divides (aa # as) ! i" by force
  }
  ultimately
    show "∃i<Suc (length as). a divides (aa # as) ! i" by fast
qed

from assms
  show ?thesis
  by (intro r, safe)
qed

lemma (in primeness_condition_monoid) wfactors_unique__hlp_induct:
  "∀a as'. a ∈ carrier G ∧ set as ⊆ carrier G ∧ set as' ⊆ carrier G
  ∧
    wfactors G as a ∧ wfactors G as' a → essentially_equal G
as as'"
proof (induct as)
  case Nil show ?case apply auto
  proof -
    fix a as'
    assume a: "a ∈ carrier G"
    assume "wfactors G [] a"
    then obtain "1 ~ a" by (auto elim: wfactorsE)
    with a have "a ∈ Units G" by (auto intro: assoc_unit_r)
    moreover assume "wfactors G as' a"
    moreover assume "set as' ⊆ carrier G"
    ultimately have "as' = []" by (rule unit_wfactors_empty)
    then show "essentially_equal G [] as'" by simp
  qed
next
  case (Cons ah as) then show ?case apply clarsimp
  proof -
    fix a as'
    assume ih [rule_format]:
      "∀a as'. a ∈ carrier G ∧ set as' ⊆ carrier G ∧ wfactors G as a
  ∧
    wfactors G as' a → essentially_equal G as as'"
    and acarr: "a ∈ carrier G" and ahcarr: "ah ∈ carrier G"
    and ascarr: "set as ⊆ carrier G" and as'carr: "set as' ⊆ carrier
G"
    and afs: "wfactors G (ah # as) a"
    and afs': "wfactors G as' a"
    hence ahvdva: "ah divides a"

```

```

    by (intro wfactors_dividesI[of "ah#as" "a"], simp+)
  hence "∃a' ∈ carrier G. a = ah ⊗ a'" by fast
  from this obtain a'
    where a'carr: "a' ∈ carrier G"
    and a: "a = ah ⊗ a'"
    by auto
  have a'fs: "wfactors G as a'"
    apply (rule wfactorsE[OF a'fs], rule wfactorsI, simp)
    apply (simp add: a, insert ascarr a'carr)
    apply (intro assoc_l_cancel[of ah _ a'] multlist_closed ahcarr,
  assumption+)
  done
  from a'fs have ahirr: "irreducible G ah" by (elim wfactorsE, simp)
  with ascarr have ahprime: "prime G ah" by (intro irreducible_prime
  ahcarr)

  note carr [simp] = acarr ahcarr ascarr as'carr a'carr

  note ahdvda
  also from a'fs'
    have "a divides (foldr (op ⊗) as' 1)"
    by (elim wfactorsE associatedE, simp)
  finally have "ah divides (foldr (op ⊗) as' 1)" by simp

  with ahprime
    have "∃i < length as'. ah divides as'!i"
    by (intro multlist_prime_pos, simp+)
  from this obtain i
    where len: "i < length as'" and ahdvd: "ah divides as'!i"
    by auto
  from a'fs' carr have irrasi: "irreducible G (as'!i)"
    by (fast intro: nth_mem[OF len] elim: wfactorsE)
  from len carr
    have asicarr[simp]: "as'!i ∈ carrier G" by (unfold set_conv_nth,
  force)
  note carr = carr asicarr

  from ahdvd have "∃x ∈ carrier G. as'!i = ah ⊗ x" by fast
  from this obtain x where "x ∈ carrier G" and asi: "as'!i = ah ⊗
  x" by auto

  with carr irrasi[simplified asi]
    have asiah: "as'!i ~ ah" apply -
    apply (elim irreducible_prodE[of "ah" "x"], assumption+)
    apply (rule associatedI2[of x], assumption+)
    apply (rule irreducibleE[OF ahirr], simp)
    done

  note setparts = set_take_subset[of i as'] set_drop_subset[of "Suc

```

```

i" as']
note partscarr [simp] = setparts[THEN subset_trans[OF _ as'carr]]
note carr = carr partscarr

have "∃aa_1. aa_1 ∈ carrier G ∧ wfactors G (take i as') aa_1"
  apply (intro wfactors_prod_exists)
  using setparts afs' by (fast elim: wfactorsE, simp)
from this obtain aa_1
  where aa1carr: "aa_1 ∈ carrier G"
  and aa1fs: "wfactors G (take i as') aa_1"
  by auto

have "∃aa_2. aa_2 ∈ carrier G ∧ wfactors G (drop (Suc i) as') aa_2"
  apply (intro wfactors_prod_exists)
  using setparts afs' by (fast elim: wfactorsE, simp)
from this obtain aa_2
  where aa2carr: "aa_2 ∈ carrier G"
  and aa2fs: "wfactors G (drop (Suc i) as') aa_2"
  by auto

note carr = carr aa1carr[simp] aa2carr[simp]

from aa1fs aa2fs
  have v1: "wfactors G (take i as' @ drop (Suc i) as') (aa_1 ⊗ aa_2)"
  by (intro wfactors_mult, simp+)
  hence v1': "wfactors G (as'!i # take i as' @ drop (Suc i) as') (as'!i
⊗ (aa_1 ⊗ aa_2))"
  apply (intro wfactors_mult_single)
  using setparts afs'
  by (fast intro: nth_mem[OF len] elim: wfactorsE, simp+)

from aa2carr carr aa1fs aa2fs
  have "wfactors G (as'!i # drop (Suc i) as') (as'!i ⊗ aa_2)"
  by (metis irrasi wfactors_mult_single)
with len carr aa1carr aa2carr aa1fs
  have v2: "wfactors G (take i as' @ as'!i # drop (Suc i) as') (aa_1
⊗ (as'!i ⊗ aa_2))"
  apply (intro wfactors_mult)
  apply fast
  apply (simp, (fast intro: nth_mem[OF len])?)
done

from len
  have as': "as' = (take i as' @ as'!i # drop (Suc i) as')"
  by (simp add: Cons_nth_drop_Suc)
with carr
  have eer: "essentially_equal G (take i as' @ as'!i # drop (Suc i)
as') as'"
  by simp

```

```

with v2 afs' carr aa1carr aa2carr nth_mem[OF len]
  have "aa_1  $\otimes$  (as'!i  $\otimes$  aa_2)  $\sim$  a"
    by (metis as' ee_wfactorsD m_closed)
then
have t1: "as'!i  $\otimes$  (aa_1  $\otimes$  aa_2)  $\sim$  a"
  by (metis aa1carr aa2carr asicarr m_lcomm)
from carr asiah
have "ah  $\otimes$  (aa_1  $\otimes$  aa_2)  $\sim$  as'!i  $\otimes$  (aa_1  $\otimes$  aa_2)"
  by (metis associated_sym m_closed mult_cong_1)
also note t1
finally
  have "ah  $\otimes$  (aa_1  $\otimes$  aa_2)  $\sim$  a" by simp

with carr aa1carr aa2carr a'carr nth_mem[OF len]
  have a': "aa_1  $\otimes$  aa_2  $\sim$  a'"
  by (simp add: a, fast intro: assoc_1_cancel[of ah _ a'])

note v1
also note a'
finally have "wfactors G (take i as' @ drop (Suc i) as') a'" by simp

from a'fs this carr
  have "essentially_equal G as (take i as' @ drop (Suc i) as')"
  by (intro ih[of a']) simp

hence ee1: "essentially_equal G (ah # as) (ah # take i as' @ drop
(Suc i) as')"
  apply (elim essentially_equalE) apply (fastforce intro: essentially_equalI)
done

from carr
have ee2: "essentially_equal G (ah # take i as' @ drop (Suc i) as')
(as' ! i # take i as' @ drop (Suc i) as')"
proof (intro essentially_equalI)
  show "ah # take i as' @ drop (Suc i) as' <~~> ah # take i as' @
drop (Suc i) as'"
    by simp
  next
  show "ah # take i as' @ drop (Suc i) as' [~] as' ! i # take i as'
@ drop (Suc i) as'"
    apply (simp add: list_all2_append)
    apply (simp add: asiah[symmetric])
  done
qed

note ee1
also note ee2
also have "essentially_equal G (as' ! i # take i as' @ drop (Suc i)
as')

```

```

    (take i as' @ as' ! i # drop (Suc i) as')"
  apply (intro essentially_equalI)
  apply (subgoal_tac "as' ! i # take i as' @ drop (Suc i) as' <~~>

    take i as' @ as' ! i # drop (Suc i) as'")
  apply simp
  apply (rule perm_append_Cons)
  apply simp
  done
  finally
  have "essentially_equal G (ah # as) (take i as' @ as' ! i # drop
(Suc i) as')" by simp
  then show "essentially_equal G (ah # as) as'" by (subst as', assumption)
  qed
qed

lemma (in primeness_condition_monoid) wfactors_unique:
  assumes "wfactors G as a" "wfactors G as' a"
  and "a ∈ carrier G" "set as ⊆ carrier G" "set as' ⊆ carrier G"
  shows "essentially_equal G as as'"
  apply (rule wfactors_unique__hlp_induct[rule_format, of a])
  apply (simp add: assms)
  done

```

8.9.4 Application to factorial monoids

Number of factors for wellfoundedness

definition

```

factorcount :: "_ ⇒ 'a ⇒ nat" where
  "factorcount G a =
    (THE c. (ALL as. set as ⊆ carrier G ∧ wfactors G as a → c = length
as))"

```

lemma (in monoid) ee_length:

```

  assumes ee: "essentially_equal G as bs"
  shows "length as = length bs"
  apply (rule essentially_equalE[OF ee])
  apply (metis list_all2_conv_all_nth perm_length)
  done

```

lemma (in factorial_monoid) factorcount_exists:

```

  assumes carr[simp]: "a ∈ carrier G"
  shows "EX c. ALL as. set as ⊆ carrier G ∧ wfactors G as a → c = length
as"

```

proof -

```

  have "∃ as. set as ⊆ carrier G ∧ wfactors G as a" by (intro wfactors_exist,
simp)
  from this obtain as
  where ascarr[simp]: "set as ⊆ carrier G"

```



```

    and afs: "wfactors G as a"
    by (auto simp del: carr)
  have "ALL as'. set as'  $\subseteq$  carrier G  $\wedge$  wfactors G as' a  $\longrightarrow$  length as
= length as'"
    by (metis afs ascarr assms ee_length wfactors_unique)
  thus "EX c. ALL as'. set as'  $\subseteq$  carrier G  $\wedge$  wfactors G as' a  $\longrightarrow$  c =
length as'" ..
qed

```

```

lemma (in factorial_monoid) factorcount_unique:
  assumes afs: "wfactors G as a"
    and acarr[simp]: "a  $\in$  carrier G" and ascarr[simp]: "set as  $\subseteq$  carrier
G"
  shows "factorcount G a = length as"
proof -
  have "EX ac. ALL as. set as  $\subseteq$  carrier G  $\wedge$  wfactors G as a  $\longrightarrow$  ac =
length as" by (rule factorcount_exists, simp)
  from this obtain ac where
    alen: "ALL as. set as  $\subseteq$  carrier G  $\wedge$  wfactors G as a  $\longrightarrow$  ac = length
as"
    by auto
  have ac: "ac = factorcount G a"
    apply (simp add: factorcount_def)
    apply (rule theI2)
    apply (rule alen)
    apply (metis afs alen ascarr)+
  done

  from ascarr afs have "ac = length as" by (iprover intro: alen[rule_format])
  with ac show ?thesis by simp
qed

```

```

lemma (in factorial_monoid) divides_fcount:
  assumes dvd: "a divides b"
    and acarr: "a  $\in$  carrier G" and bcarr:"b  $\in$  carrier G"
  shows "factorcount G a  $\leq$  factorcount G b"
apply (rule dividesE[OF dvd])
proof -
  fix c
  from assms
    have " $\exists$ as. set as  $\subseteq$  carrier G  $\wedge$  wfactors G as a" by fast
  from this obtain as
    where ascarr: "set as  $\subseteq$  carrier G"
    and afs: "wfactors G as a"
    by auto
  with acarr have fca: "factorcount G a = length as" by (intro factorcount_unique)

  assume ccarr: "c  $\in$  carrier G"
  hence " $\exists$ cs. set cs  $\subseteq$  carrier G  $\wedge$  wfactors G cs c" by fast

```

```

from this obtain cs
  where cscarr: "set cs  $\subseteq$  carrier G"
  and cfs: "wfactors G cs c"
  by auto

note [simp] = acarr bcarr ccarr ascarr cscarr

assume b: "b = a  $\otimes$  c"
from afs cfs
  have "wfactors G (as@cs) (a  $\otimes$  c)" by (intro wfactors_mult, simp+)
  with b have "wfactors G (as@cs) b" by simp
  hence "factorcount G b = length (as@cs)" by (intro factorcount_unique,
simp+)
  hence "factorcount G b = length as + length cs" by simp
  with fca show ?thesis by simp
qed

lemma (in factorial_monoid) associated_fcount:
  assumes acarr: "a  $\in$  carrier G" and bcarr:"b  $\in$  carrier G"
  and asc: "a  $\sim$  b"
  shows "factorcount G a = factorcount G b"
apply (rule associatedE[OF asc])
apply (drule divides_fcount[OF _ acarr bcarr])
apply (drule divides_fcount[OF _ bcarr acarr])
apply simp
done

lemma (in factorial_monoid) properfactor_fcount:
  assumes acarr: "a  $\in$  carrier G" and bcarr:"b  $\in$  carrier G"
  and pf: "properfactor G a b"
  shows "factorcount G a < factorcount G b"
apply (rule properfactorE[OF pf], elim dividesE)
proof -
  fix c
  from assms
  have " $\exists$ as. set as  $\subseteq$  carrier G  $\wedge$  wfactors G as a" by fast
  from this obtain as
    where ascarr: "set as  $\subseteq$  carrier G"
    and afs: "wfactors G as a"
    by auto
  with acarr have fca: "factorcount G a = length as" by (intro factorcount_unique)

  assume ccarr: "c  $\in$  carrier G"
  hence " $\exists$ cs. set cs  $\subseteq$  carrier G  $\wedge$  wfactors G cs c" by fast
  from this obtain cs
    where cscarr: "set cs  $\subseteq$  carrier G"
    and cfs: "wfactors G cs c"
    by auto

```

```

assume b: "b = a  $\otimes$  c"

have "wfactors G (as@cs) (a  $\otimes$  c)" by (rule wfactors_mult) fact+
with b
  have "wfactors G (as@cs) b" by simp
with ascarr ccarr bcarr
  have "factorcount G b = length (as@cs)" by (simp add: factorcount_unique)
hence fcb: "factorcount G b = length as + length cs" by simp

assume nbdvda: " $\neg$  b divides a"
have "c  $\notin$  Units G"
proof (rule ccontr, simp)
  assume cunit: "c  $\in$  Units G"

  have "b  $\otimes$  inv c = a  $\otimes$  c  $\otimes$  inv c" by (simp add: b)
  also from ccarr acarr cunit
    have "... = a  $\otimes$  (c  $\otimes$  inv c)" by (fast intro: m_assoc)
  also from ccarr cunit
    have "... = a  $\otimes$  1" by simp
  also from acarr
    have "... = a" by simp
  finally have "a = b  $\otimes$  inv c" by simp
  with ccarr cunit
    have "b divides a" by (fast intro: dividesI[of "inv c"])
  with nbdvda show False by simp
qed

with cfs have "length cs > 0"
  apply -
  apply (rule ccontr, simp)
  apply (metis Units_one_closed ccarr ccarr l_one one_closed properfactorI3
properfactor_fmset unit_wfactors)
  done
  with fca fcb show ?thesis by simp
qed

sublocale factorial_monoid  $\subseteq$  divisor_chain_condition_monoid
apply unfold_locales
apply (rule wfUNIVI)
apply (rule measure_induct[of "factorcount G"])
apply simp
apply (metis properfactor_fcount)
done

sublocale factorial_monoid  $\subseteq$  primeness_condition_monoid
  by standard (rule irreducible_is_prime)

lemma (in factorial_monoid) primeness_condition:

```

```

shows "primeness_condition_monoid G"
..

lemma (in factorial_monoid) gcd_condition [simp]:
  shows "gcd_condition_monoid G"
  by standard (rule gcdof_exists)

sublocale factorial_monoid  $\subseteq$  gcd_condition_monoid
  by standard (rule gcdof_exists)

lemma (in factorial_monoid) division_weak_lattice [simp]:
  shows "weak_lattice (division_rel G)"
proof -
  interpret weak_lower_semilattice "division_rel G" by simp

  show "weak_lattice (division_rel G)"
  apply (unfold_locales, simp_all)
  proof -
    fix x y
    assume carr: "x  $\in$  carrier G" "y  $\in$  carrier G"

    hence " $\exists z. z \in$  carrier G  $\wedge$  z lcmof x y" by (rule lcmof_exists)
    from this obtain z
      where zcarr: "z  $\in$  carrier G"
      and isgcd: "z lcmof x y"
      by auto
    with carr
    have "least (division_rel G) z (Upper (division_rel G) {x, y})"
      by (simp add: lcmof_leastUpper[symmetric])
    thus " $\exists z. \text{least (division\_rel G) z (Upper (division\_rel G) \{x, y\})}$ "
  by fast
  qed
qed

```

8.10 Factoriality Theorems

```

theorem factorial_condition_one:
  shows "(divisor_chain_condition_monoid G  $\wedge$  primeness_condition_monoid
G) =
  factorial_monoid G"
apply rule
proof clarify
  assume dcc: "divisor_chain_condition_monoid G"
  and pc: "primeness_condition_monoid G"
  interpret divisor_chain_condition_monoid "G" by (rule dcc)
  interpret primeness_condition_monoid "G" by (rule pc)

  show "factorial_monoid G"
  by (fast intro: factorial_monoidI wfactors_exist wfactors_unique)

```

```

next
  assume fm: "factorial_monoid G"
  interpret factorial_monoid "G" by (rule fm)
  show "divisor_chain_condition_monoid G ^ primeness_condition_monoid
G"
    by rule unfold_locales
qed

theorem factorial_condition_two:
  shows "(divisor_chain_condition_monoid G ^ gcd_condition_monoid G)
= factorial_monoid G"
apply rule
proof clarify
  assume dcc: "divisor_chain_condition_monoid G"
  and gc: "gcd_condition_monoid G"
  interpret divisor_chain_condition_monoid "G" by (rule dcc)
  interpret gcd_condition_monoid "G" by (rule gc)
  show "factorial_monoid G"
    by (simp add: factorial_condition_one[symmetric], rule, unfold_locales)
next
  assume fm: "factorial_monoid G"
  interpret factorial_monoid "G" by (rule fm)
  show "divisor_chain_condition_monoid G ^ gcd_condition_monoid G"
    by rule unfold_locales
qed

end

theory Ring
imports FiniteProduct
begin

```

9 The Algebraic Hierarchy of Rings

9.1 Abelian Groups

```

record 'a ring = "'a monoid" +
  zero :: 'a ("0")
  add :: "'a, 'a] => 'a" (infixl "⊕" 65)

```

Derived operations.

definition

```

a_inv :: "[('a, 'm) ring_scheme, 'a ] => 'a" ("⊖" [81] 80)
  where "a_inv R = m_inv (carrier = carrier R, mult = add R, one = zero
R)"

```

definition

```

a_minus :: "[('a, 'm) ring_scheme, 'a, 'a] => 'a" (infixl "⊖" 65)

```

```

where "[| x ∈ carrier R; y ∈ carrier R |] ==> x ⊖R y = x ⊕R (⊖R y)"

locale abelian_monoid =
  fixes G (structure)
  assumes a_comm_monoid:
    "comm_monoid (|carrier = carrier G, mult = add G, one = zero G)"

definition
  finsum :: "[('b, 'm) ring_scheme, 'a => 'b, 'a set] => 'b" where
  "finsum G = finprod (|carrier = carrier G, mult = add G, one = zero G)"

syntax
  "_finsum" :: "index => idt => 'a set => 'b => 'b"
  ("(∑__∈_. _)" [1000, 0, 51, 10] 10)

translations
  "∑G i ∈ A. b" ⇒ "CONST finsum G (%i. b) A"
  — Beware of argument permutation!

locale abelian_group = abelian_monoid +
  assumes a_comm_group:
    "comm_group (|carrier = carrier G, mult = add G, one = zero G)"

```

9.2 Basic Properties

```

lemma abelian_monoidI:
  fixes R (structure)
  assumes a_closed:
    "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y ∈ carrier
R"
  and zero_closed: "0 ∈ carrier R"
  and a_assoc:
    "!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)"
  and l_zero: "!!x. x ∈ carrier R ==> 0 ⊕ x = x"
  and a_comm:
    "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y = y ⊕ x"
  shows "abelian_monoid R"
  by (auto intro!: abelian_monoid.intro comm_monoidI intro: assms)

lemma abelian_groupI:
  fixes R (structure)
  assumes a_closed:
    "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y ∈ carrier
R"
  and zero_closed: "zero R ∈ carrier R"
  and a_assoc:
    "!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)"

```

```

    and a_comm:
      "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y = y ⊕ x"
    and l_zero: "!!x. x ∈ carrier R ==> 0 ⊕ x = x"
    and l_inv_ex: "!!x. x ∈ carrier R ==> EX y : carrier R. y ⊕ x = 0"
  shows "abelian_group R"
  by (auto intro!: abelian_group.intro abelian_monoidI
      abelian_group_axioms.intro comm_monoidI comm_groupI
      intro: assms)

lemma (in abelian_monoid) a_monoid:
  "monoid (|carrier = carrier G, mult = add G, one = zero G)"
  by (rule comm_monoid.axioms, rule a_comm_monoid)

lemma (in abelian_group) a_group:
  "group (|carrier = carrier G, mult = add G, one = zero G)"
  by (simp add: group_def a_monoid)
    (simp add: comm_group.axioms group.axioms a_comm_group)

lemmas monoid_record_simps = partial_object.simps monoid.simps

Transfer facts from multiplicative structures via interpretation.

sublocale abelian_monoid <
  add: monoid "(|carrier = carrier G, mult = add G, one = zero G)"
  rewrites "carrier (|carrier = carrier G, mult = add G, one = zero G)
    = carrier G"
  and "mult (|carrier = carrier G, mult = add G, one = zero G) = add
    G"
  and "one (|carrier = carrier G, mult = add G, one = zero G) = zero
    G"
  by (rule a_monoid) auto

context abelian_monoid begin

lemmas a_closed = add.m_closed
lemmas zero_closed = add.one_closed
lemmas a_assoc = add.m_assoc
lemmas l_zero = add.l_one
lemmas r_zero = add.r_one
lemmas minus_unique = add.inv_unique

end

sublocale abelian_monoid <
  add: comm_monoid "(|carrier = carrier G, mult = add G, one = zero G)"
  rewrites "carrier (|carrier = carrier G, mult = add G, one = zero G)
    = carrier G"
  and "mult (|carrier = carrier G, mult = add G, one = zero G) = add
    G"
  and "one (|carrier = carrier G, mult = add G, one = zero G) = zero

```

```
G"
  and "finprod (|carrier = carrier G, mult = add G, one = zero G) = finsum
G"
  by (rule a_comm_monoid) (auto simp: finsum_def)
```

```
context abelian_monoid begin
```

```
lemmas a_comm = add.m_comm
lemmas a_lcomm = add.m_lcomm
lemmas a_ac = a_assoc a_comm a_lcomm
```

```
lemmas finsum_empty = add.finprod_empty
lemmas finsum_insert = add.finprod_insert
lemmas finsum_zero = add.finprod_one
lemmas finsum_closed = add.finprod_closed
lemmas finsum_Un_Int = add.finprod_Un_Int
lemmas finsum_Un_disjoint = add.finprod_Un_disjoint
lemmas finsum_addf = add.finprod_multf
lemmas finsum_cong' = add.finprod_cong'
lemmas finsum_0 = add.finprod_0
lemmas finsum_Suc = add.finprod_Suc
lemmas finsum_Suc2 = add.finprod_Suc2
lemmas finsum_add = add.finprod_mult
lemmas finsum_infinite = add.finprod_infinite
```

```
lemmas finsum_cong = add.finprod_cong
```

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding `Pi_def` to the simpset is often useful.

```
lemmas finsum_reindex = add.finprod_reindex
```

```
lemmas finsum_singleton = add.finprod_singleton
```

```
end
```

```
sublocale abelian_group <
```

```
  add: group "(|carrier = carrier G, mult = add G, one = zero G)"
  rewrites "carrier (|carrier = carrier G, mult = add G, one = zero G)
= carrier G"
  and "mult (|carrier = carrier G, mult = add G, one = zero G) = add
G"
  and "one (|carrier = carrier G, mult = add G, one = zero G) = zero
G"
  and "m_inv (|carrier = carrier G, mult = add G, one = zero G) = a_inv
G"
  by (rule a_group) (auto simp: m_inv_def a_inv_def)
```



```

context abelian_group
begin

lemmas a_inv_closed = add.inv_closed

lemma minus_closed [intro, simp]:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊖ y ∈ carrier G"
  by (simp add: a_minus_def)

lemmas a_l_cancel = add.l_cancel
lemmas a_r_cancel = add.r_cancel
lemmas l_neg = add.l_inv [simp del]
lemmas r_neg = add.r_inv [simp del]
lemmas minus_zero = add.inv_one
lemmas minus_minus = add.inv_inv
lemmas a_inv_inj = add.inv_inj
lemmas minus_equality = add.inv_equality

end

sublocale abelian_group <
  add: comm_group "(carrier = carrier G, mult = add G, one = zero G)"
  rewrites "carrier (carrier = carrier G, mult = add G, one = zero G)
= carrier G"
  and "mult (carrier = carrier G, mult = add G, one = zero G) = add
G"
  and "one (carrier = carrier G, mult = add G, one = zero G) = zero
G"
  and "m_inv (carrier = carrier G, mult = add G, one = zero G) = a_inv
G"
  and "finprod (carrier = carrier G, mult = add G, one = zero G) = finsum
G"
  by (rule a_comm_group) (auto simp: m_inv_def a_inv_def finsum_def)

lemmas (in abelian_group) minus_add = add.inv_mult

Derive an abelian_group from a comm_group

lemma comm_group_abelian_groupI:
  fixes G (structure)
  assumes cg: "comm_group (carrier = carrier G, mult = add G, one = zero
G)"
  shows "abelian_group G"
proof -
  interpret comm_group "(carrier = carrier G, mult = add G, one = zero
G)"
  by (rule cg)
  show "abelian_group G" ..
qed

```

9.3 Rings: Basic Definitions

```

locale semiring = abelian_monoid R + monoid R for R (structure) +
  assumes l_distr: "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
  and r_distr: "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"
  and l_null[simp]: "x ∈ carrier R ==> 0 ⊗ x = 0"
  and r_null[simp]: "x ∈ carrier R ==> x ⊗ 0 = 0"

```

```

locale ring = abelian_group R + monoid R for R (structure) +
  assumes "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
  and "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"

```

```

locale cring = ring + comm_monoid R

```

```

locale "domain" = cring +
  assumes one_not_zero [simp]: "1 ≠ 0"
  and integral: "[| a ⊗ b = 0; a ∈ carrier R; b ∈ carrier R |] ==>
    a = 0 | b = 0"

```

```

locale field = "domain" +
  assumes field_Units: "Units R = carrier R - {0}"

```

9.4 Rings

```

lemma ringI:
  fixes R (structure)
  assumes abelian_group: "abelian_group R"
  and monoid: "monoid R"
  and l_distr: "!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier
R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
  and r_distr: "!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier
R |]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"
  shows "ring R"
  by (auto intro: ring.intro
    abelian_group.axioms ring_axioms.intro assms)

```

```

context ring begin

```

```

lemma is_abelian_group: "abelian_group R" ..

```

```

lemma is_monoid: "monoid R"
  by (auto intro!: monoidI m_assoc)

```

```

lemma is_ring: "ring R"

```

```

    by (rule ring_axioms)

end

lemmas ring_record_simps = monoid_record_simps ring_simps

lemma cringI:
  fixes R (structure)
  assumes abelian_group: "abelian_group R"
    and comm_monoid: "comm_monoid R"
    and l_distr: "!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier
R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
  shows "cring R"
proof (intro cring.intro ring.intro)
  show "ring_axioms R"
  — Right-distributivity follows from left-distributivity and commutativity.
  proof (rule ring_axioms.intro)
    fix x y z
    assume R: "x ∈ carrier R" "y ∈ carrier R" "z ∈ carrier R"
    note [simp] = comm_monoid.axioms [OF comm_monoid]
      abelian_group.axioms [OF abelian_group]
      abelian_monoid.a_closed

    from R have "z ⊗ (x ⊕ y) = (x ⊕ y) ⊗ z"
      by (simp add: comm_monoid.m_comm [OF comm_monoid.intro])
    also from R have "... = x ⊗ z ⊕ y ⊗ z" by (simp add: l_distr)
    also from R have "... = z ⊗ x ⊕ z ⊗ y"
      by (simp add: comm_monoid.m_comm [OF comm_monoid.intro])
    finally show "z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y" .
  qed (rule l_distr)
qed (auto intro: cring.intro
  abelian_group.axioms comm_monoid.axioms ring_axioms.intro assms)

```

```

lemma (in cring) is_cring:
  "cring R" by (rule cring_axioms)

```

9.4.1 Normaliser for Rings

```

lemma (in abelian_group) r_neg2:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊕ (⊖ x ⊕ y) = y"
proof -
  assume G: "x ∈ carrier G" "y ∈ carrier G"
  then have "(x ⊕ ⊖ x) ⊕ y = y"
    by (simp only: r_neg l_zero)
  with G show ?thesis
    by (simp add: a_ac)

```

qed

```
lemma (in abelian_group) r_neg1:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> ⊖ x ⊕ (x ⊕ y) = y"
proof -
  assume G: "x ∈ carrier G" "y ∈ carrier G"
  then have "(⊖ x ⊕ x) ⊕ y = y"
    by (simp only: l_neg l_zero)
  with G show ?thesis by (simp add: a_ac)
qed
```

context ring begin

The following proofs are from Jacobson, Basic Algebra I, pp. 88–89.

sublocale semiring

```
proof -
  note [simp] = ring_axioms[unfolded ring_def ring_axioms_def]
  show "semiring R"
  proof (unfold_locales)
    fix x
    assume R: "x ∈ carrier R"
    then have "0 ⊗ x ⊕ 0 ⊗ x = (0 ⊕ 0) ⊗ x"
      by (simp del: l_zero r_zero)
    also from R have "... = 0 ⊗ x ⊕ 0" by simp
    finally have "0 ⊗ x ⊕ 0 ⊗ x = 0 ⊗ x ⊕ 0" .
    with R show "0 ⊗ x = 0" by (simp del: r_zero)
    from R have "x ⊗ 0 ⊕ x ⊗ 0 = x ⊗ (0 ⊕ 0)"
      by (simp del: l_zero r_zero)
    also from R have "... = x ⊗ 0 ⊕ 0" by simp
    finally have "x ⊗ 0 ⊕ x ⊗ 0 = x ⊗ 0 ⊕ 0" .
    with R show "x ⊗ 0 = 0" by (simp del: r_zero)
  qed auto
qed
```

lemma l_minus:

```
"[| x ∈ carrier R; y ∈ carrier R |] ==> ⊖ x ⊗ y = ⊖ (x ⊗ y)"
proof -
  assume R: "x ∈ carrier R" "y ∈ carrier R"
  then have "(⊖ x) ⊗ y ⊕ x ⊗ y = (⊖ x ⊕ x) ⊗ y" by (simp add: l_distr)
  also from R have "... = 0" by (simp add: l_neg)
  finally have "(⊖ x) ⊗ y ⊕ x ⊗ y = 0" .
  with R have "(⊖ x) ⊗ y ⊕ x ⊗ y ⊕ ⊖ (x ⊗ y) = 0 ⊕ ⊖ (x ⊗ y)" by
simp
  with R show ?thesis by (simp add: a_assoc r_neg)
qed
```

lemma r_minus:

```
"[| x ∈ carrier R; y ∈ carrier R |] ==> x ⊗ ⊖ y = ⊖ (x ⊗ y)"
proof -
```

```

    assume R: "x ∈ carrier R" "y ∈ carrier R"
    then have "x ⊗ (⊖ y) ⊕ x ⊗ y = x ⊗ (⊖ y ⊕ y)" by (simp add: r_distr)
    also from R have "... = 0" by (simp add: l_neg)
    finally have "x ⊗ (⊖ y) ⊕ x ⊗ y = 0" .
    with R have "x ⊗ (⊖ y) ⊕ x ⊗ y ⊕ ⊖ (x ⊗ y) = 0 ⊕ ⊖ (x ⊗ y)" by
simp
    with R show ?thesis by (simp add: a_assoc r_neg )
qed

```

end

```

lemma (in abelian_group) minus_eq:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊖ y = x ⊕ ⊖ y"
  by (simp only: a_minus_def)

```

Setup algebra method: compute distributive normal form in locale contexts

ML_file "ringsimp.ML"

```

attribute_setup algebra = ⟨
  Scan.lift ((Args.add >> K true || Args.del >> K false) --| Args.colon
|| Scan.succeed true)
  -- Scan.lift Args.name -- Scan.repeat Args.term
  >> (fn ((b, n), ts) => if b then Ringsimp.add_struct (n, ts) else
Ringsimp.del_struct (n, ts))
⟩ "theorems controlling algebra method"

```

```

method_setup algebra = ⟨
  Scan.succeed (SIMPLE_METHOD' o Ringsimp.algebra_tac)
⟩ "normalisation of algebraic structure"

```

```

lemmas (in semiring) semiring_simprules
  [algebra ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult R"]
=
  a_closed zero_closed m_closed one_closed
  a_assoc l_zero a_comm m_assoc l_one l_distr r_zero
  a_lcomm r_distr l_null r_null

```

```

lemmas (in ring) ring_simprules
  [algebra ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult R"]
=
  a_closed zero_closed a_inv_closed minus_closed m_closed one_closed
  a_assoc l_zero l_neg a_comm m_assoc l_one l_distr minus_eq
  r_zero r_neg r_neg2 r_neg1 minus_add minus_minus minus_zero
  a_lcomm r_distr l_null r_null l_minus r_minus

```

```

lemmas (in cring)
  [algebra del: ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult
R"] =
  -

```

```

lemmas (in cring) cring_simplrules
  [algebra add: cring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult
R"] =
  a_closed zero_closed a_inv_closed minus_closed m_closed one_closed
  a_assoc l_zero l_neg a_comm m_assoc l_one l_distr m_comm minus_eq
  r_zero r_neg r_neg2 r_neg1 minus_add minus_minus minus_zero
  a_lcomm m_lcomm r_distr l_null r_null l_minus r_minus

```

```

lemma (in semiring) nat_pow_zero:
  "(n::nat) ~ 0 ==> 0 (^) n = 0"
  by (induct n) simp_all

```

```

context semiring begin

```

```

lemma one_zeroD:
  assumes onezero: "1 = 0"
  shows "carrier R = {0}"
proof (rule, rule)
  fix x
  assume xcarr: "x ∈ carrier R"
  from xcarr have "x = x ⊗ 1" by simp
  with onezero have "x = x ⊗ 0" by simp
  with xcarr have "x = 0" by simp
  then show "x ∈ {0}" by fast
qed fast

```

```

lemma one_zeroI:
  assumes carrzero: "carrier R = {0}"
  shows "1 = 0"
proof -
  from one_closed and carrzero
  show "1 = 0" by simp
qed

```

```

lemma carrier_one_zero: "(carrier R = {0}) = (1 = 0)"
  apply rule
  apply (erule one_zeroI)
  apply (erule one_zeroD)
  done

```

```

lemma carrier_one_not_zero: "(carrier R ≠ {0}) = (1 ≠ 0)"
  by (simp add: carrier_one_zero)

```

```

end

```

Two examples for use of method algebra

```

lemma
  fixes R (structure) and S (structure)

```

```

    assumes "ring R" "cring S"
    assumes RS: "a ∈ carrier R" "b ∈ carrier R" "c ∈ carrier S" "d ∈ carrier
S"
    shows "a ⊕ ⊖ (a ⊕ ⊖ b) = b & c ⊗S d = d ⊗S c"
  proof -
    interpret ring R by fact
    interpret cring S by fact
    from RS show ?thesis by algebra
  qed

```

```

lemma
  fixes R (structure)
  assumes "ring R"
  assumes R: "a ∈ carrier R" "b ∈ carrier R"
  shows "a ⊖ (a ⊖ b) = b"
  proof -
    interpret ring R by fact
    from R show ?thesis by algebra
  qed

```

9.4.2 Sums over Finite Sets

```

lemma (in semiring) finsum_ldistr:
  "[| finite A; a ∈ carrier R; f ∈ A → carrier R |] ==>
  finsum R f A ⊗ a = finsum R (%i. f i ⊗ a) A"
  proof (induct set: finite)
    case empty then show ?case by simp
  next
    case (insert x F) then show ?case by (simp add: Pi_def l_distr)
  qed

```

```

lemma (in semiring) finsum_rdistr:
  "[| finite A; a ∈ carrier R; f ∈ A → carrier R |] ==>
  a ⊗ finsum R f A = finsum R (%i. a ⊗ f i) A"
  proof (induct set: finite)
    case empty then show ?case by simp
  next
    case (insert x F) then show ?case by (simp add: Pi_def r_distr)
  qed

```

9.5 Integral Domains

```

context "domain" begin

lemma zero_not_one [simp]:
  "0 ≠ 1"
  by (rule not_sym) simp

lemma integral_iff:

```

```

" [| a ∈ carrier R; b ∈ carrier R | ] ==> (a ⊗ b = 0) = (a = 0 | b =
0)"
proof
  assume "a ∈ carrier R" "b ∈ carrier R" "a ⊗ b = 0"
  then show "a = 0 | b = 0" by (simp add: integral)
next
  assume "a ∈ carrier R" "b ∈ carrier R" "a = 0 | b = 0"
  then show "a ⊗ b = 0" by auto
qed

lemma m_lcancel:
  assumes prem: "a ~ = 0"
    and R: "a ∈ carrier R" "b ∈ carrier R" "c ∈ carrier R"
  shows "(a ⊗ b = a ⊗ c) = (b = c)"
proof
  assume eq: "a ⊗ b = a ⊗ c"
  with R have "a ⊗ (b ⊖ c) = 0" by algebra
  with R have "a = 0 | (b ⊖ c) = 0" by (simp add: integral_iff)
  with prem and R have "b ⊖ c = 0" by auto
  with R have "b = b ⊖ (b ⊖ c)" by algebra
  also from R have "b ⊖ (b ⊖ c) = c" by algebra
  finally show "b = c" .
next
  assume "b = c" then show "a ⊗ b = a ⊗ c" by simp
qed

lemma m_rcancel:
  assumes prem: "a ~ = 0"
    and R: "a ∈ carrier R" "b ∈ carrier R" "c ∈ carrier R"
  shows conc: "(b ⊗ a = c ⊗ a) = (b = c)"
proof -
  from prem and R have "(a ⊗ b = a ⊗ c) = (b = c)" by (rule m_lcancel)
  with R show ?thesis by algebra
qed

end

```

9.6 Fields

Field would not need to be derived from domain, the properties for domain follow from the assumptions of field

```

lemma (in cring) cring_fieldI:
  assumes field_Units: "Units R = carrier R - {0}"
  shows "field R"
proof
  from field_Units have "0 ∉ Units R" by fast
  moreover have "1 ∈ Units R" by fast
  ultimately show "1 ≠ 0" by force
next

```



```

fix a b
assume acarr: "a ∈ carrier R"
  and bcarr: "b ∈ carrier R"
  and ab: "a ⊗ b = 0"
show "a = 0 ∨ b = 0"
proof (cases "a = 0", simp)
  assume "a ≠ 0"
  with field_Units and acarr have aUnit: "a ∈ Units R" by fast
  from bcarr have "b = 1 ⊗ b" by algebra
  also from aUnit acarr have "... = (inv a ⊗ a) ⊗ b" by simp
  also from acarr bcarr aUnit[THEN Units_inv_closed]
  have "... = (inv a) ⊗ (a ⊗ b)" by algebra
  also from ab and acarr bcarr aUnit have "... = (inv a) ⊗ 0" by simp
  also from aUnit[THEN Units_inv_closed] have "... = 0" by algebra
  finally have "b = 0" .
  then show "a = 0 ∨ b = 0" by simp
qed
qed (rule field_Units)

```

Another variant to show that something is a field

```

lemma (in cring) cring_fieldI2:
  assumes notzero: "0 ≠ 1"
  and invex: "∧a. [a ∈ carrier R; a ≠ 0] ⇒ ∃b∈carrier R. a ⊗ b = 1"
shows "field R"
apply (rule cring_fieldI, simp add: Units_def)
apply (rule, clarsimp)
apply (simp add: notzero)
proof (clarsimp)
  fix x
  assume xcarr: "x ∈ carrier R"
  and "x ≠ 0"
  then have "∃y∈carrier R. x ⊗ y = 1" by (rule invex)
  then obtain y where ycarr: "y ∈ carrier R" and xy: "x ⊗ y = 1" by
fast
  from xy xcarr ycarr have "y ⊗ x = 1" by (simp add: m_comm)
  with ycarr and xy show "∃y∈carrier R. y ⊗ x = 1 ∧ x ⊗ y = 1" by
fast
qed

```

9.7 Morphisms

definition

```

ring_hom :: "[('a, 'm) ring_scheme, ('b, 'n) ring_scheme] => ('a =>
'b) set"
where "ring_hom R S =
  {h. h ∈ carrier R → carrier S &
  (ALL x y. x ∈ carrier R & y ∈ carrier R -->
  h (x ⊗R y) = h x ⊗S h y & h (x ⊕R y) = h x ⊕S h y) &

```

$h \ 1_R = 1_S$ "

```
lemma ring_hom_memI:
  fixes R (structure) and S (structure)
  assumes hom_closed: "!!x. x ∈ carrier R ==> h x ∈ carrier S"
    and hom_mult: "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==>
      h (x ⊗ y) = h x ⊗S h y"
    and hom_add: "!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==>
      h (x ⊕ y) = h x ⊕S h y"
    and hom_one: "h 1 = 1S"
  shows "h ∈ ring_hom R S"
  by (auto simp add: ring_hom_def assms Pi_def)
```

```
lemma ring_hom_closed:
  "[| h ∈ ring_hom R S; x ∈ carrier R |] ==> h x ∈ carrier S"
  by (auto simp add: ring_hom_def funcset_mem)
```

```
lemma ring_hom_mult:
  fixes R (structure) and S (structure)
  shows
    "[| h ∈ ring_hom R S; x ∈ carrier R; y ∈ carrier R |] ==>
      h (x ⊗ y) = h x ⊗S h y"
  by (simp add: ring_hom_def)
```

```
lemma ring_hom_add:
  fixes R (structure) and S (structure)
  shows
    "[| h ∈ ring_hom R S; x ∈ carrier R; y ∈ carrier R |] ==>
      h (x ⊕ y) = h x ⊕S h y"
  by (simp add: ring_hom_def)
```

```
lemma ring_hom_one:
  fixes R (structure) and S (structure)
  shows "h ∈ ring_hom R S ==> h 1 = 1S"
  by (simp add: ring_hom_def)
```

```
locale ring_hom_cring = R?: cring R + S?: cring S
  for R (structure) and S (structure) +
  fixes h
  assumes homh [simp, intro]: "h ∈ ring_hom R S"
  notes hom_closed [simp, intro] = ring_hom_closed [OF homh]
    and hom_mult [simp] = ring_hom_mult [OF homh]
    and hom_add [simp] = ring_hom_add [OF homh]
    and hom_one [simp] = ring_hom_one [OF homh]
```

```
lemma (in ring_hom_cring) hom_zero [simp]:
  "h 0 = 0S"
proof -
  have "h 0 ⊕S h 0 = h 0 ⊕S 0S"
```

```

    by (simp add: hom_add [symmetric] del: hom_add)
    then show ?thesis by (simp del: S.r_zero)
qed

lemma (in ring_hom_cring) hom_a_inv [simp]:
  "x ∈ carrier R ==> h (⊖ x) = ⊖S h x"
proof -
  assume R: "x ∈ carrier R"
  then have "h x ⊕S h (⊖ x) = h x ⊕S (⊖S h x)"
    by (simp add: hom_add [symmetric] R.r_neg S.r_neg del: hom_add)
  with R show ?thesis by simp
qed

lemma (in ring_hom_cring) hom_finsum [simp]:
  "f ∈ A → carrier R ==>
  h (finsum R f A) = finsum S (h o f) A"
  by (induct A rule: infinite_finite_induct, auto simp: Pi_def)

lemma (in ring_hom_cring) hom_finprod:
  "f ∈ A → carrier R ==>
  h (finprod R f A) = finprod S (h o f) A"
  by (induct A rule: infinite_finite_induct, auto simp: Pi_def)

declare ring_hom_cring.hom_finprod [simp]

lemma id_ring_hom [simp]:
  "id ∈ ring_hom R R"
  by (auto intro!: ring_hom_memI)

end

theory AbelCoset
imports Coset Ring
begin

9.8 More Lifting from Groups to Abelian Groups

9.8.1 Definitions

Hiding <+> from Sum_Type until I come up with better syntax here
no_notation Sum_Type.Plus (infixr "<+>" 65)

definition
  a_r_coset    :: "[_, 'a set, 'a] ⇒ 'a set"    (infixl "+r" 60)
  where "a_r_coset G = r_coset (|carrier = carrier G, mult = add G, one
= zero G|)"

definition

```

```

a_l_coset    :: "[_, 'a, 'a set] => 'a set"    (infixl "<+>" 60)
where "a_l_coset G = l_coset (|carrier = carrier G, mult = add G, one
= zero G)"

```

definition

```

A_RCOSSETS  :: "[_, 'a set] => ('a set)set"    ("a'_rcosets" _" [81] 80)
where "A_RCOSSETS G H = RCOSSETS (|carrier = carrier G, mult = add G,
one = zero G) H"

```

definition

```

set_add     :: "[_, 'a set, 'a set] => 'a set" (infixl "<+>" 60)
where "set_add G = set_mult (|carrier = carrier G, mult = add G, one
= zero G)"

```

definition

```

A_SET_INV   :: "[_, 'a set] => 'a set"    ("a'_set'_inv" _" [81] 80)
where "A_SET_INV G H = SET_INV (|carrier = carrier G, mult = add G,
one = zero G) H"

```

definition

```

a_r_congruent :: "[('a,'b)ring_scheme, 'a set] => ('a*'a)set" ("racong" _)
where "a_r_congruent G = r_congruent (|carrier = carrier G, mult = add
G, one = zero G)"

```

definition

```

A_FactGroup :: "[('a,'b) ring_scheme, 'a set] => ('a set) monoid" (in-
fixl "A'_Mod" 65)
— Actually defined for groups rather than monoids
where "A_FactGroup G H = FactGroup (|carrier = carrier G, mult = add
G, one = zero G) H"

```

definition

```

a_kernel    :: "('a, 'm) ring_scheme => ('b, 'n) ring_scheme => ('a =>
'b) => 'a set"
— the kernel of a homomorphism (additive)
where "a_kernel G H h =
kernel (|carrier = carrier G, mult = add G, one = zero G)
(|carrier = carrier H, mult = add H, one = zero H) h"

```

```

locale abelian_group_hom = G?: abelian_group G + H?: abelian_group H
for G (structure) and H (structure) +
fixes h
assumes a_group_hom: "group_hom (|carrier = carrier G, mult = add G,
one = zero G)
(|carrier = carrier H, mult = add H,
one = zero H) h"

```

```

lemmas a_r_coset_defs =
a_r_coset_def r_coset_def

```

```

lemma a_r_coset_def':
  fixes G (structure)
  shows "H +> a  $\equiv \bigcup_{h \in H}. \{h \oplus a\}$ "
unfolding a_r_coset_defs
by simp

lemmas a_l_coset_defs =
  a_l_coset_def l_coset_def

lemma a_l_coset_def':
  fixes G (structure)
  shows "a <+ H  $\equiv \bigcup_{h \in H}. \{a \oplus h\}$ "
unfolding a_l_coset_defs
by simp

lemmas A_RCOSETS_defs =
  A_RCOSETS_def RCOSETS_def

lemma A_RCOSETS_def':
  fixes G (structure)
  shows "a_rcosets H  $\equiv \bigcup_{a \in \text{carrier } G}. \{H +> a\}$ "
unfolding A_RCOSETS_defs
by (fold a_r_coset_def, simp)

lemmas set_add_defs =
  set_add_def set_mult_def

lemma set_add_def':
  fixes G (structure)
  shows "H <+> K  $\equiv \bigcup_{h \in H}. \bigcup_{k \in K}. \{h \oplus k\}$ "
unfolding set_add_defs
by simp

lemmas A_SET_INV_defs =
  A_SET_INV_def SET_INV_def

lemma A_SET_INV_def':
  fixes G (structure)
  shows "a_set_inv H  $\equiv \bigcup_{h \in H}. \{\ominus h\}$ "
unfolding A_SET_INV_defs
by (fold a_inv_def)

```

9.8.2 Cosets

```

lemma (in abelian_group) a_coset_add_assoc:
  "[| M  $\subseteq$  carrier G; g  $\in$  carrier G; h  $\in$  carrier G |]
  ==> (M +> g) +> h = M +> (g  $\oplus$  h)"
by (rule group.coset_mult_assoc [OF a_group,

```

```

    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_coset_add_zero [simp]:
  "M ⊆ carrier G ==> M +> 0 = M"
by (rule group.coset_mult_one [OF a_group,
    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_coset_add_inv1:
  "[| M +> (x ⊕ (⊖ y)) = M; x ∈ carrier G ; y ∈ carrier G;
    M ⊆ carrier G |] ==> M +> x = M +> y"
by (rule group.coset_mult_inv1 [OF a_group,
    folded a_r_coset_def a_inv_def, simplified monoid_record_simps])

lemma (in abelian_group) a_coset_add_inv2:
  "[| M +> x = M +> y; x ∈ carrier G; y ∈ carrier G; M ⊆ carrier
G |]
  ==> M +> (x ⊕ (⊖ y)) = M"
by (rule group.coset_mult_inv2 [OF a_group,
    folded a_r_coset_def a_inv_def, simplified monoid_record_simps])

lemma (in abelian_group) a_coset_join1:
  "[| H +> x = H; x ∈ carrier G; subgroup H (|carrier = carrier G,
mult = add G, one = zero G) |] ==> x ∈ H"
by (rule group.coset_join1 [OF a_group,
    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_solve_equation:
  "[|subgroup H (|carrier = carrier G, mult = add G, one = zero G); x
∈ H; y ∈ H|] ==> ∃h∈H. y = h ⊕ x"
by (rule group.solve_equation [OF a_group,
    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_repr_independence:
  "[|y ∈ H +> x; x ∈ carrier G; subgroup H (|carrier = carrier G, mult
= add G, one = zero G) |] ==> H +> x = H +> y"
by (rule group.repr_independence [OF a_group,
    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_coset_join2:
  "[|x ∈ carrier G; subgroup H (|carrier = carrier G, mult = add G,
one = zero G); x∈H|] ==> H +> x = H"
by (rule group.coset_join2 [OF a_group,
    folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_monoid) a_r_coset_subset_G:
  "[| H ⊆ carrier G; x ∈ carrier G |] ==> H +> x ⊆ carrier G"
by (rule monoid.r_coset_subset_G [OF a_monoid,
    folded a_r_coset_def, simplified monoid_record_simps])

```

```

lemma (in abelian_group) a_rcosI:
  "[| h ∈ H; H ⊆ carrier G; x ∈ carrier G |] ==> h ⊕ x ∈ H +> x"
by (rule group.rcosI [OF a_group,
  folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_rcosetsI:
  "[| H ⊆ carrier G; x ∈ carrier G |] ==> H +> x ∈ a_rcosets H"
by (rule group.rcosetsI [OF a_group,
  folded a_r_coset_def A_RCOSSETS_def, simplified monoid_record_simps])

```

Really needed?

```

lemma (in abelian_group) a_transpose_inv:
  "[| x ⊕ y = z; x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |]
  ==> (⊖ x) ⊕ z = y"
by (rule group.transpose_inv [OF a_group,
  folded a_r_coset_def a_inv_def, simplified monoid_record_simps])

```

9.8.3 Subgroups

```

locale additive_subgroup =
  fixes H and G (structure)
  assumes a_subgroup: "subgroup H (|carrier = carrier G, mult = add G,
one = zero G)"

```

```

lemma (in additive_subgroup) is_additive_subgroup:
  shows "additive_subgroup H G"
by (rule additive_subgroup_axioms)

```

```

lemma additive_subgroupI:
  fixes G (structure)
  assumes a_subgroup: "subgroup H (|carrier = carrier G, mult = add G,
one = zero G)"
  shows "additive_subgroup H G"
by (rule additive_subgroup.intro) (rule a_subgroup)

```

```

lemma (in additive_subgroup) a_subset:
  "H ⊆ carrier G"
by (rule subgroup.subset [OF a_subgroup,
  simplified monoid_record_simps])

```

```

lemma (in additive_subgroup) a_closed [intro, simp]:
  "[| x ∈ H; y ∈ H |] ==> x ⊕ y ∈ H"
by (rule subgroup.m_closed [OF a_subgroup,
  simplified monoid_record_simps])

```

```

lemma (in additive_subgroup) zero_closed [simp]:
  "0 ∈ H"
by (rule subgroup.one_closed [OF a_subgroup,
  simplified monoid_record_simps])

```

```

lemma (in additive_subgroup) a_inv_closed [intro,simp]:
  "x ∈ H ⇒ ⊖ x ∈ H"
by (rule subgroup.m_inv_closed[OF a_subgroup,
  folded a_inv_def, simplified monoid_record_simps])

```

9.8.4 Additive subgroups are normal

Every subgroup of an `abelian_group` is normal

```

locale abelian_subgroup = additive_subgroup + abelian_group G +
  assumes a_normal: "normal H (⟦carrier = carrier G, mult = add G, one
= zero G⟧)"

```

```

lemma (in abelian_subgroup) is_abelian_subgroup:
  shows "abelian_subgroup H G"
by (rule abelian_subgroup_axioms)

```

```

lemma abelian_subgroupI:
  assumes a_normal: "normal H (⟦carrier = carrier G, mult = add G, one
= zero G⟧)"
  and a_comm: "!!x y. [⟦ x ∈ carrier G; y ∈ carrier G ⟧ ==> x ⊕G
y = y ⊕G x"
  shows "abelian_subgroup H G"
proof -
  interpret normal "H" "(⟦carrier = carrier G, mult = add G, one = zero
G⟧)"
  by (rule a_normal)

  show "abelian_subgroup H G"
  by standard (simp add: a_comm)
qed

```

```

lemma abelian_subgroupI2:
  fixes G (structure)
  assumes a_comm_group: "comm_group (⟦carrier = carrier G, mult = add
G, one = zero G⟧)"
  and a_subgroup: "subgroup H (⟦carrier = carrier G, mult = add G,
one = zero G⟧)"
  shows "abelian_subgroup H G"
proof -
  interpret comm_group "(⟦carrier = carrier G, mult = add G, one = zero
G⟧)"
  by (rule a_comm_group)
  interpret subgroup "H" "(⟦carrier = carrier G, mult = add G, one = zero
G⟧)"
  by (rule a_subgroup)

  show "abelian_subgroup H G"
  apply unfold_locales

```



```

proof (simp add: r_coset_def l_coset_def, clarsimp)
  fix x
  assume xcarr: "x ∈ carrier G"
  from a_subgroup have Hcarr: "H ⊆ carrier G"
  unfolding subgroup_def by simp
  from xcarr Hcarr show "(⋃h∈H. {h ⊕G x}) = (⋃h∈H. {x ⊕G h})"
  using m_comm [simplified] by fastforce
qed
qed

lemma abelian_subgroupI3:
  fixes G (structure)
  assumes asg: "additive_subgroup H G"
  and ag: "abelian_group G"
  shows "abelian_subgroup H G"
apply (rule abelian_subgroupI2)
  apply (rule abelian_group.a_comm_group[OF ag])
  apply (rule additive_subgroup.a_subgroup[OF asg])
done

lemma (in abelian_subgroup) a_coset_eq:
  "(∀x ∈ carrier G. H <+ x = x <+ H)"
by (rule normal.coset_eq[OF a_normal,
  folded a_r_coset_def a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_inv_op_closed1:
  shows "[x ∈ carrier G; h ∈ H] ⇒ (⊖ x) ⊕ h ⊕ x ∈ H"
by (rule normal.inv_op_closed1 [OF a_normal,
  folded a_inv_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_inv_op_closed2:
  shows "[x ∈ carrier G; h ∈ H] ⇒ x ⊕ h ⊕ (⊖ x) ∈ H"
by (rule normal.inv_op_closed2 [OF a_normal,
  folded a_inv_def, simplified monoid_record_simps])

Alternative characterization of normal subgroups

lemma (in abelian_group) a_normal_inv_iff:
  "(N < (carrier = carrier G, mult = add G, one = zero G)) =
  (subgroup N (carrier = carrier G, mult = add G, one = zero G) &
  (∀x ∈ carrier G. ∀h ∈ N. x ⊕ h ⊕ (⊖ x) ∈ N))"
  (is "_ = ?rhs")
by (rule group.normal_inv_iff [OF a_group,
  folded a_inv_def, simplified monoid_record_simps])

lemma (in abelian_group) a_lcos_m_assoc:
  "[| M ⊆ carrier G; g ∈ carrier G; h ∈ carrier G |]
  ==> g <+ (h <+ M) = (g ⊕ h) <+ M"
by (rule group.lcos_m_assoc [OF a_group,
  folded a_l_coset_def, simplified monoid_record_simps])

```

```

lemma (in abelian_group) a_lcos_mult_one:
  "M ⊆ carrier G ==> 0 <+ M = M"
by (rule group.lcos_mult_one [OF a_group,
  folded a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_l_coset_subset_G:
  "[| H ⊆ carrier G; x ∈ carrier G |] ==> x <+ H ⊆ carrier G"
by (rule group.l_coset_subset_G [OF a_group,
  folded a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_l_coset_swap:
  "[| y ∈ x <+ H; x ∈ carrier G; subgroup H (|carrier = carrier G, mult
= add G, one = zero G)|] ==> x ∈ y <+ H"
by (rule group.l_coset_swap [OF a_group,
  folded a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_l_coset_carrier:
  "[| y ∈ x <+ H; x ∈ carrier G; subgroup H (|carrier = carrier G,
mult = add G, one = zero G) |] ==> y ∈ carrier G"
by (rule group.l_coset_carrier [OF a_group,
  folded a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_l_repr_imp_subset:
  assumes y: "y ∈ x <+ H" and x: "x ∈ carrier G" and sb: "subgroup H
(|carrier = carrier G, mult = add G, one = zero G)"
  shows "y <+ H ⊆ x <+ H"
  apply (rule group.l_repr_imp_subset [OF a_group,
    folded a_l_coset_def, simplified monoid_record_simps])
  apply (rule y)
  apply (rule x)
  apply (rule sb)
  done

lemma (in abelian_group) a_l_repr_independence:
  assumes y: "y ∈ x <+ H" and x: "x ∈ carrier G" and sb: "subgroup H
(|carrier = carrier G, mult = add G, one = zero G)"
  shows "x <+ H = y <+ H"
  apply (rule group.l_repr_independence [OF a_group,
    folded a_l_coset_def, simplified monoid_record_simps])
  apply (rule y)
  apply (rule x)
  apply (rule sb)
  done

lemma (in abelian_group) setadd_subset_G:
  "[|H ⊆ carrier G; K ⊆ carrier G|] ==> H <+ K ⊆ carrier G"

```

```

by (rule group.setmult_subset_G [OF a_group,
    folded set_add_def, simplified monoid_record_simps])

lemma (in abelian_group) subgroup_add_id: "subgroup H ((carrier = carrier
G, mult = add G, one = zero G))  $\implies$  H <+> H = H"
by (rule group.subgroup_mult_id [OF a_group,
    folded set_add_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_rcos_inv:
  assumes x: "x  $\in$  carrier G"
  shows "a_set_inv (H +> x) = H +> ( $\ominus$  x)"
by (rule normal.rcos_inv [OF a_normal,
    folded a_r_coset_def a_inv_def A_SET_INV_def, simplified monoid_record_simps])
(rule x)

lemma (in abelian_group) a_setmult_rcos_assoc:
  "[H  $\subseteq$  carrier G; K  $\subseteq$  carrier G; x  $\in$  carrier G]
 $\implies$  H <+> (K +> x) = (H <+> K) +> x"
by (rule group.setmult_rcos_assoc [OF a_group,
    folded set_add_def a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_group) a_rcos_assoc_lcos:
  "[H  $\subseteq$  carrier G; K  $\subseteq$  carrier G; x  $\in$  carrier G]
 $\implies$  (H +> x) <+> K = H <+> (x <+ K)"
by (rule group.rcos_assoc_lcos [OF a_group,
    folded set_add_def a_r_coset_def a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_rcos_sum:
  "[x  $\in$  carrier G; y  $\in$  carrier G]
 $\implies$  (H +> x) <+> (H +> y) = H +> (x  $\oplus$  y)"
by (rule normal.rcos_sum [OF a_normal,
    folded set_add_def a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) rcosets_add_eq:
  "M  $\in$  a_rcosets H  $\implies$  H <+> M = M"
  — generalizes subgroup_mult_id
by (rule normal.rcosets_mult_eq [OF a_normal,
    folded set_add_def A_RCOSSETS_def, simplified monoid_record_simps])

```

9.8.5 Congruence Relation

```

lemma (in abelian_subgroup) a_equiv_rcong:
  shows "equiv (carrier G) (racong H)"
by (rule subgroup.equiv_rcong [OF a_subgroup a_group,
    folded a_r_congruent_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_l_coset_eq_rcong:
  assumes a: "a  $\in$  carrier G"
  shows "a <+ H = racong H ‘ ‘ {a}"

```

by (rule subgroup.l_coset_eq_rcong [OF a_subgroup a_group,
 folded a_r_congruent_def a_l_coset_def, simplified monoid_record_simps])
 (rule a)

lemma (in abelian_subgroup) a_rcos_equation:
 shows
 "[$h a \oplus a = h \oplus b$; $a \in \text{carrier } G$; $b \in \text{carrier } G$;
 $h \in H$; $h a \in H$; $h b \in H$]
 $\implies h b \oplus a \in (\bigcup_{h \in H} \{h \oplus b\})$ "
 by (rule group.rcos_equation [OF a_group a_subgroup,
 folded a_r_congruent_def a_l_coset_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_rcos_disjoint:
 shows "[$a \in \text{a_rcosets } H$; $b \in \text{a_rcosets } H$; $a \neq b$] $\implies a \cap b = \{\}$ "
 by (rule group.rcos_disjoint [OF a_group a_subgroup,
 folded A_RCOSSETS_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_rcos_self:
 shows " $x \in \text{carrier } G \implies x \in H +> x$ "
 by (rule group.rcos_self [OF a_group _ a_subgroup,
 folded a_r_coset_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_rcosets_part_G:
 shows " $\bigcup (\text{a_rcosets } H) = \text{carrier } G$ "
 by (rule group.rcosets_part_G [OF a_group a_subgroup,
 folded A_RCOSSETS_def, simplified monoid_record_simps])

lemma (in abelian_subgroup) a_cosets_finite:
 "[$c \in \text{a_rcosets } H$; $H \subseteq \text{carrier } G$; finite (carrier G)] \implies finite
 c"
 by (rule group.cosets_finite [OF a_group,
 folded A_RCOSSETS_def, simplified monoid_record_simps])

lemma (in abelian_group) a_card_cosets_equal:
 "[$c \in \text{a_rcosets } H$; $H \subseteq \text{carrier } G$; finite(carrier G)]
 $\implies \text{card } c = \text{card } H$ "
 by (rule group.card_cosets_equal [OF a_group,
 folded A_RCOSSETS_def, simplified monoid_record_simps])

lemma (in abelian_group) rcosets_subset_PowG:
 "additive_subgroup H G $\implies \text{a_rcosets } H \subseteq \text{Pow}(\text{carrier } G)$ "
 by (rule group.rcosets_subset_PowG [OF a_group,
 folded A_RCOSSETS_def, simplified monoid_record_simps],
 rule additive_subgroup.a_subgroup)

theorem (in abelian_group) a_lagrange:
 "[finite(carrier G); additive_subgroup H G]
 $\implies \text{card}(\text{a_rcosets } H) * \text{card}(H) = \text{order}(G)$ "
 by (rule group.lagrange [OF a_group,

```

    folded A_RCOSSETS_def, simplified monoid_record_simps order_def, folded
order_def])
    (fast intro!: additive_subgroup.a_subgroup)+

```

9.8.6 Factorization

```

lemmas A_FactGroup_defs = A_FactGroup_def FactGroup_def

```

```

lemma A_FactGroup_def':
  fixes G (structure)
  shows "G A_Mod H  $\equiv$  ( $\text{carrier} = \text{a\_rcosets}_G H$ ,  $\text{mult} = \text{set\_add } G$ ,  $\text{one} = H$ )"
unfolding A_FactGroup_defs
by (fold A_RCOSSETS_def set_add_def)

```

```

lemma (in abelian_subgroup) a_setmult_closed:
  "[ $K1 \in \text{a\_rcosets } H$ ;  $K2 \in \text{a\_rcosets } H$ ]  $\implies K1 \langle + \rangle K2 \in \text{a\_rcosets } H$ "
by (rule normal.setmult_closed [OF a_normal,
  folded A_RCOSSETS_def set_add_def, simplified monoid_record_simps])

```

```

lemma (in abelian_subgroup) a_setinv_closed:
  " $K \in \text{a\_rcosets } H \implies \text{a\_set\_inv } K \in \text{a\_rcosets } H$ "
by (rule normal.setinv_closed [OF a_normal,
  folded A_RCOSSETS_def A_SET_INV_def, simplified monoid_record_simps])

```

```

lemma (in abelian_subgroup) a_rcosets_assoc:
  "[ $M1 \in \text{a\_rcosets } H$ ;  $M2 \in \text{a\_rcosets } H$ ;  $M3 \in \text{a\_rcosets } H$ ]
 $\implies M1 \langle + \rangle M2 \langle + \rangle M3 = M1 \langle + \rangle (M2 \langle + \rangle M3)$ "
by (rule normal.rcosets_assoc [OF a_normal,
  folded A_RCOSSETS_def set_add_def, simplified monoid_record_simps])

```

```

lemma (in abelian_subgroup) a_subgroup_in_rcosets:
  " $H \in \text{a\_rcosets } H$ "
by (rule subgroup.subgroup_in_rcosets [OF a_subgroup a_group,
  folded A_RCOSSETS_def, simplified monoid_record_simps])

```

```

lemma (in abelian_subgroup) a_rcosets_inv_mult_group_eq:
  " $M \in \text{a\_rcosets } H \implies \text{a\_set\_inv } M \langle + \rangle M = H$ "
by (rule normal.rcosets_inv_mult_group_eq [OF a_normal,
  folded A_RCOSSETS_def A_SET_INV_def set_add_def, simplified monoid_record_simps])

```

```

theorem (in abelian_subgroup) a_factorgroup_is_group:
  "group (G A_Mod H)"
by (rule normal.factorgroup_is_group [OF a_normal,
  folded A_FactGroup_def, simplified monoid_record_simps])

```

Since the Factorization is based on an *abelian* subgroup, it results in a commutative group

```

theorem (in abelian_subgroup) a_factorgroup_is_comm_group:
  "comm_group (G A_Mod H)"
apply (intro comm_group.intro comm_monoid.intro) prefer 3
  apply (rule a_factorgroup_is_group)
  apply (rule group.axioms[OF a_factorgroup_is_group])
apply (rule comm_monoid_axioms.intro)
apply (unfold A_FactGroup_def FactGroup_def RCOSETS_def, fold set_add_def
a_r_coset_def, clarsimp)
apply (simp add: a_rcos_sum a_comm)
done

```

```

lemma add_A_FactGroup [simp]: "X  $\otimes_{(G A\_Mod H)}$  X' = X <+>_G X'"
by (simp add: A_FactGroup_def set_add_def)

```

```

lemma (in abelian_subgroup) a_inv_FactGroup:
  "X  $\in$  carrier (G A_Mod H)  $\implies$  invG A_Mod H X = a_set_inv X"
by (rule normal.inv_FactGroup [OF a_normal,
  folded A_FactGroup_def A_SET_INV_def, simplified monoid_record_simps])

```

The coset map is a homomorphism from G to the quotient group $G \text{ Mod } H$

```

lemma (in abelian_subgroup) a_r_coset_hom_A_Mod:
  "( $\lambda a. H +> a$ )  $\in$  hom ((carrier = carrier G, mult = add G, one = zero G)
(G A_Mod H))"
by (rule normal.r_coset_hom_Mod [OF a_normal,
  folded A_FactGroup_def a_r_coset_def, simplified monoid_record_simps])

```

The isomorphism theorems have been omitted from lifting, at least for now

9.8.7 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

```

lemmas a_kernel_defs =
  a_kernel_def kernel_def

```

```

lemma a_kernel_def':
  "a_kernel R S h = {x  $\in$  carrier R. h x = 0G}"
by (rule a_kernel_def[unfolded kernel_def, simplified ring_record_simps])

```

9.8.8 Homomorphisms

```

lemma abelian_group_homI:
  assumes "abelian_group G"
  assumes "abelian_group H"
  assumes a_group_hom: "group_hom ((carrier = carrier G, mult = add G,
one = zero G)
                                     (carrier = carrier H, mult = add H,
one = zero H)) h"

```

```

shows "abelian_group_hom G H h"
proof -
  interpret G: abelian_group G by fact
  interpret H: abelian_group H by fact
  show ?thesis
    apply (intro abelian_group_hom.intro abelian_group_hom_axioms.intro)
      apply fact
      apply fact
      apply (rule a_group_hom)
    done
qed

lemma (in abelian_group_hom) is_abelian_group_hom:
  "abelian_group_hom G H h"
  ..

lemma (in abelian_group_hom) hom_add [simp]:
  "[| x : carrier G; y : carrier G |]
   ==> h (x  $\oplus_G$  y) = h x  $\oplus_H$  h y"
by (rule group_hom.hom_mult[OF a_group_hom,
  simplified ring_record_simps])

lemma (in abelian_group_hom) hom_closed [simp]:
  "x  $\in$  carrier G  $\implies$  h x  $\in$  carrier H"
by (rule group_hom.hom_closed[OF a_group_hom,
  simplified ring_record_simps])

lemma (in abelian_group_hom) zero_closed [simp]:
  "h 0  $\in$  carrier H"
by (rule group_hom.one_closed[OF a_group_hom,
  simplified ring_record_simps])

lemma (in abelian_group_hom) hom_zero [simp]:
  "h 0 = 0H"
by (rule group_hom.hom_one[OF a_group_hom,
  simplified ring_record_simps])

lemma (in abelian_group_hom) a_inv_closed [simp]:
  "x  $\in$  carrier G  $\implies$  h ( $\ominus$ x)  $\in$  carrier H"
by (rule group_hom.inv_closed[OF a_group_hom,
  folded a_inv_def, simplified ring_record_simps])

lemma (in abelian_group_hom) hom_a_inv [simp]:
  "x  $\in$  carrier G  $\implies$  h ( $\ominus$ x) =  $\ominus_H$  (h x)"
by (rule group_hom.hom_inv[OF a_group_hom,
  folded a_inv_def, simplified ring_record_simps])

lemma (in abelian_group_hom) additive_subgroup_a_kernel:
  "additive_subgroup (a_kernel G H h) G"

```

```

apply (rule additive_subgroup.intro)
apply (rule group_hom.subgroup_kernel[OF a_group_hom,
    folded a_kernel_def, simplified ring_record_simps])
done

```

The kernel of a homomorphism is an abelian subgroup

```

lemma (in abelian_group_hom) abelian_subgroup_a_kernel:
  "abelian_subgroup (a_kernel G H h) G"
apply (rule abelian_subgroupI)
apply (rule group_hom.normal_kernel[OF a_group_hom,
    folded a_kernel_def, simplified ring_record_simps])
apply (simp add: G.a_comm)
done

```

```

lemma (in abelian_group_hom) A_FactGroup_nonempty:
  assumes X: "X ∈ carrier (G A_Mod a_kernel G H h)"
  shows "X ≠ {}"
by (rule group_hom.FactGroup_nonempty[OF a_group_hom,
    folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])
(rule X)

```

```

lemma (in abelian_group_hom) FactGroup_the_elem_mem:
  assumes X: "X ∈ carrier (G A_Mod (a_kernel G H h))"
  shows "the_elem (h'X) ∈ carrier H"
by (rule group_hom.FactGroup_the_elem_mem[OF a_group_hom,
    folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])
(rule X)

```

```

lemma (in abelian_group_hom) A_FactGroup_hom:
  "(λX. the_elem (h'X)) ∈ hom (G A_Mod (a_kernel G H h))
    (carrier = carrier H, mult = add H, one = zero H)"
by (rule group_hom.FactGroup_hom[OF a_group_hom,
    folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])

```

```

lemma (in abelian_group_hom) A_FactGroup_inj_on:
  "inj_on (λX. the_elem (h'X)) (carrier (G A_Mod a_kernel G H h))"
by (rule group_hom.FactGroup_inj_on[OF a_group_hom,
    folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])

```

If the homomorphism h is onto H , then so is the homomorphism from the quotient group

```

lemma (in abelian_group_hom) A_FactGroup_onto:
  assumes h: "h ' carrier G = carrier H"
  shows "(λX. the_elem (h'X)) ' carrier (G A_Mod a_kernel G H h) =
    carrier H"
by (rule group_hom.FactGroup_onto[OF a_group_hom,
    folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])
(rule h)

```


If h is a homomorphism from G onto H , then the quotient group $G \text{ Mod } \text{kernel } G \ H \ h$ is isomorphic to H .

```

theorem (in abelian_group_hom) A_FactGroup_iso:
  "h ' carrier G = carrier H
   $\implies (\lambda X. \text{the\_elem } (h'X)) \in (G \ A\_Mod \ (a\_kernel \ G \ H \ h)) \cong$ 
    ( $\text{carrier} = \text{carrier } H, \text{mult} = \text{add } H, \text{one} = \text{zero } H$ )"
by (rule group_hom.FactGroup_iso[OF a_group_hom,
  folded a_kernel_def A_FactGroup_def, simplified ring_record_simps])

```

9.8.9 Cosets

Not everything from `CosetExt.thy` is lifted here.

```

lemma (in additive_subgroup) a_Hcarr [simp]:
  assumes hH: "h  $\in$  H"
  shows "h  $\in$  carrier G"
by (rule subgroup.mem_carrier [OF a_subgroup,
  simplified monoid_record_simps]) (rule hH)

```

```

lemma (in abelian_subgroup) a_elemrcos_carrier:
  assumes acarr: "a  $\in$  carrier G"
  and a': "a'  $\in$  H  $\rightarrow$  a"
  shows "a'  $\in$  carrier G"
by (rule subgroup.elemrcos_carrier [OF a_subgroup a_group,
  folded a_r_coset_def, simplified monoid_record_simps]) (rule acarr,
rule a')

```

```

lemma (in abelian_subgroup) a_rcos_const:
  assumes hH: "h  $\in$  H"
  shows "H  $\rightarrow$  h = H"
by (rule subgroup.rcos_const [OF a_subgroup a_group,
  folded a_r_coset_def, simplified monoid_record_simps]) (rule hH)

```

```

lemma (in abelian_subgroup) a_rcos_module_imp:
  assumes xcarr: "x  $\in$  carrier G"
  and x'cos: "x'  $\in$  H  $\rightarrow$  x"
  shows "(x'  $\oplus$   $\ominus$ x)  $\in$  H"
by (rule subgroup.rcos_module_imp [OF a_subgroup a_group,
  folded a_r_coset_def a_inv_def, simplified monoid_record_simps]) (rule
xcarr, rule x'cos)

```

```

lemma (in abelian_subgroup) a_rcos_module_rev:
  assumes "x  $\in$  carrier G" "x'  $\in$  carrier G"
  and "(x'  $\oplus$   $\ominus$ x)  $\in$  H"
  shows "x'  $\in$  H  $\rightarrow$  x"
using assms
by (rule subgroup.rcos_module_rev [OF a_subgroup a_group,
  folded a_r_coset_def a_inv_def, simplified monoid_record_simps])

```

```

lemma (in abelian_subgroup) a_rcos_module:
  assumes "x ∈ carrier G" "x' ∈ carrier G"
  shows "(x' ∈ H +> x) = (x' ⊕ ⊖x ∈ H)"
using assms
by (rule subgroup.rcos_module [OF a_subgroup a_group,
  folded a_r_coset_def a_inv_def, simplified monoid_record_simps])

— variant
lemma (in abelian_subgroup) a_rcos_module_minus:
  assumes "ring G"
  assumes carr: "x ∈ carrier G" "x' ∈ carrier G"
  shows "(x' ∈ H +> x) = (x' ⊖ x ∈ H)"
proof -
  interpret G: ring G by fact
  from carr
  have "(x' ∈ H +> x) = (x' ⊕ ⊖x ∈ H)" by (rule a_rcos_module)
  with carr
  show "(x' ∈ H +> x) = (x' ⊖ x ∈ H)"
    by (simp add: minus_eq)
qed

lemma (in abelian_subgroup) a_repr_independence':
  assumes y: "y ∈ H +> x"
  and xcarr: "x ∈ carrier G"
  shows "H +> x = H +> y"
  apply (rule a_repr_independence)
  apply (rule y)
  apply (rule xcarr)
  apply (rule a_subgroup)
  done

lemma (in abelian_subgroup) a_repr_independenceD:
  assumes ycarr: "y ∈ carrier G"
  and repr: "H +> x = H +> y"
  shows "y ∈ H +> x"
by (rule group.repr_independenceD [OF a_group a_subgroup,
  folded a_r_coset_def, simplified monoid_record_simps]) (rule ycarr,
rule repr)

lemma (in abelian_subgroup) a_rcosets_carrier:
  "X ∈ a_rcosets H ⇒ X ⊆ carrier G"
by (rule subgroup.rcosets_carrier [OF a_subgroup a_group,
  folded A_RCOSSETS_def, simplified monoid_record_simps])

```

9.8.10 Addition of Subgroups

```

lemma (in abelian_monoid) set_add_closed:

```

```

    assumes Acarr: "A  $\subseteq$  carrier G"
    and Bcarr: "B  $\subseteq$  carrier G"
    shows "A  $\langle + \rangle$  B  $\subseteq$  carrier G"
  by (rule monoid.set_mult_closed [OF a_monoid,
    folded set_add_def, simplified monoid_record_simps]) (rule Acarr,
  rule Bcarr)

```

```

lemma (in abelian_group) add_additive_subgroups:
  assumes subH: "additive_subgroup H G"
  and subK: "additive_subgroup K G"
  shows "additive_subgroup (H  $\langle + \rangle$  K) G"
  apply (rule additive_subgroup.intro)
  apply (unfold set_add_def)
  apply (intro comm_group.mult_subgroups)
  apply (rule a_comm_group)
  apply (rule additive_subgroup.a_subgroup[OF subH])
  apply (rule additive_subgroup.a_subgroup[OF subK])
  done

end

```

```

theory Ideal
imports Ring AbelCoset
begin

```

10 Ideals

10.1 Definitions

10.1.1 General definition

```

locale ideal = additive_subgroup I R + ring R for I and R (structure) +
  assumes I_l_closed: "[a  $\in$  I; x  $\in$  carrier R]  $\implies$  x  $\otimes$  a  $\in$  I"
  and I_r_closed: "[a  $\in$  I; x  $\in$  carrier R]  $\implies$  a  $\otimes$  x  $\in$  I"

```

```

sublocale ideal  $\subseteq$  abelian_subgroup I R
  apply (intro abelian_subgroupI3 abelian_group.intro)
  apply (rule ideal.axioms, rule ideal_axioms)
  apply (rule abelian_group.axioms, rule ring.axioms, rule ideal.axioms,
  rule ideal_axioms)
  apply (rule abelian_group.axioms, rule ring.axioms, rule ideal.axioms,
  rule ideal_axioms)
  done

```

```

lemma (in ideal) is_ideal: "ideal I R"
  by (rule ideal_axioms)

```

```

lemma idealI:

```

```

fixes R (structure)
assumes "ring R"
assumes a_subgroup: "subgroup I ((carrier = carrier R, mult = add R,
one = zero R))"
  and I_l_closed: " $\bigwedge a x. [a \in I; x \in \text{carrier } R] \implies x \otimes a \in I$ "
  and I_r_closed: " $\bigwedge a x. [a \in I; x \in \text{carrier } R] \implies a \otimes x \in I$ "
shows "ideal I R"
proof -
  interpret ring R by fact
  show ?thesis apply (intro ideal.intro ideal_axioms.intro additive_subgroupI)
    apply (rule a_subgroup)
    apply (rule is_ring)
    apply (erule (1) I_l_closed)
    apply (erule (1) I_r_closed)
  done
qed

```

10.1.2 Ideals Generated by a Subset of carrier R

```

definition genideal :: "_  $\Rightarrow$  'a set  $\Rightarrow$  'a set" ("Idlz _" [80] 79)
  where "genideal R S =  $\bigcap \{I. \text{ideal } I \text{ } R \wedge S \subseteq I\}$ "

```

10.1.3 Principal Ideals

```

locale principalideal = ideal +
  assumes generate: " $\exists i \in \text{carrier } R. I = \text{Idl } \{i\}$ "

```

```

lemma (in principalideal) is_principalideal: "principalideal I R"
  by (rule principalideal_axioms)

```

```

lemma principalidealI:
  fixes R (structure)
  assumes "ideal I R"
    and generate: " $\exists i \in \text{carrier } R. I = \text{Idl } \{i\}$ "
  shows "principalideal I R"

```

```

proof -
  interpret ideal I R by fact
  show ?thesis
    by (intro principalideal.intro principalideal_axioms.intro)
      (rule is_ideal, rule generate)
qed

```

10.1.4 Maximal Ideals

```

locale maximalideal = ideal +
  assumes I_notcarr: "carrier R  $\neq$  I"
    and I_maximal: " $[[\text{ideal } J \text{ } R; I \subseteq J; J \subseteq \text{carrier } R]] \implies J = I \vee J = \text{carrier } R$ "

```

```

lemma (in maximalideal) is_maximalideal: "maximalideal I R"

```

by (rule maximalideal_axioms)

lemma maximalidealI:

fixes R

assumes "ideal I R"

and I_notcarr: "carrier R \neq I"

and I_maximal: " $\bigwedge J. [\text{ideal } J \text{ R}; I \subseteq J; J \subseteq \text{carrier R}] \implies J = I$ "

$\vee J = \text{carrier R}$ "

shows "maximalideal I R"

proof -

interpret ideal I R by fact

show ?thesis

by (intro maximalideal.intro maximalideal_axioms.intro)

(rule is_ideal, rule I_notcarr, rule I_maximal)

qed

10.1.5 Prime Ideals

locale primeideal = ideal + cring +

assumes I_notcarr: "carrier R \neq I"

and I_prime: " $\llbracket a \in \text{carrier R}; b \in \text{carrier R}; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$ "

lemma (in primeideal) is_primeideal: "primeideal I R"

by (rule primeideal_axioms)

lemma primeidealI:

fixes R (structure)

assumes "ideal I R"

and "cring R"

and I_notcarr: "carrier R \neq I"

and I_prime: " $\bigwedge a \ b. \llbracket a \in \text{carrier R}; b \in \text{carrier R}; a \otimes b \in I \rrbracket \implies$ "

$a \in I \vee b \in I$ "

shows "primeideal I R"

proof -

interpret ideal I R by fact

interpret cring R by fact

show ?thesis

by (intro primeideal.intro primeideal_axioms.intro)

(rule is_ideal, rule is_cring, rule I_notcarr, rule I_prime)

qed

lemma primeidealI2:

fixes R (structure)

assumes "additive_subgroup I R"

and "cring R"

and I_l_closed: " $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier R} \rrbracket \implies x \otimes a \in I$ "

and I_r_closed: " $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier R} \rrbracket \implies a \otimes x \in I$ "

and I_notcarr: "carrier R \neq I"

```

    and I_prime: "\a b. [a ∈ carrier R; b ∈ carrier R; a ⊗ b ∈ I] ⇒
a ∈ I ∨ b ∈ I"
  shows "primeideal I R"
proof -
  interpret additive_subgroup I R by fact
  interpret cring R by fact
  show ?thesis apply (intro_locales)
    apply (intro ideal_axioms.intro)
    apply (erule (1) I_l_closed)
    apply (erule (1) I_r_closed)
    apply (intro primeideal_axioms.intro)
    apply (rule I_notcarr)
    apply (erule (2) I_prime)
  done
qed

```

10.2 Special Ideals

```

lemma (in ring) zeroideal: "ideal {0} R"
  apply (intro idealI subgroup.intro)
  apply (rule is_ring)
  apply simp+
  apply (fold a_inv_def, simp)
  apply simp+
  done

```

```

lemma (in ring) oneideal: "ideal (carrier R) R"
  by (rule idealI) (auto intro: is_ring add.subgroupI)

```

```

lemma (in "domain") zeroprimeideal: "primeideal {0} R"
  apply (intro primeidealI)
  apply (rule zeroideal)
  apply (rule domain_axioms, rule domain_axioms)
  defer 1
  apply (simp add: integral)
proof (rule ccontr, simp)
  assume "carrier R = {0}"
  then have "1 = 0" by (rule one_zeroI)
  with one_not_zero show False by simp
qed

```

10.3 General Ideal Properties

```

lemma (in ideal) one_imp_carrier:
  assumes I_one_closed: "1 ∈ I"
  shows "I = carrier R"
  apply (rule)
  apply (rule)
  apply (rule a_Hcarr, simp)
proof

```

```

fix x
assume xcarr: "x ∈ carrier R"
with I_one_closed have "x ⊗ 1 ∈ I" by (intro I_l_closed)
with xcarr show "x ∈ I" by simp
qed

```

```

lemma (in ideal) Icarr:
  assumes iI: "i ∈ I"
  shows "i ∈ carrier R"
  using iI by (rule a_Hcarr)

```

10.4 Intersection of Ideals

Intersection of two ideals The intersection of any two ideals is again an ideal in R

```

lemma (in ring) i_intersect:
  assumes "ideal I R"
  assumes "ideal J R"
  shows "ideal (I ∩ J) R"
proof -
  interpret ideal I R by fact
  interpret ideal J R by fact
  show ?thesis
    apply (intro idealI subgroup.intro)
      apply (rule is_ring)
      apply (force simp add: a_subset)
      apply (simp add: a_inv_def[symmetric])
    apply simp
    apply (simp add: a_inv_def[symmetric])
  apply (clarsimp, rule)
  apply (fast intro: ideal.I_l_closed ideal.intro assms)+
  apply (clarsimp, rule)
  apply (fast intro: ideal.I_r_closed ideal.intro assms)+
  done
qed

```

The intersection of any Number of Ideals is again an Ideal in R

```

lemma (in ring) i_Intersect:
  assumes Sideals: "∧I. I ∈ S ⇒ ideal I R"
  and notempty: "S ≠ {}"
  shows "ideal (∩S) R"
  apply (unfold_locales)
  apply (simp_all add: Inter_eq)
    apply rule unfolding mem_Collect_eq defer 1
    apply rule defer 1
    apply rule defer 1
    apply (fold a_inv_def, rule) defer 1
    apply rule defer 1

```

```

      apply rule defer 1
proof -
  fix x y
  assume "∀I∈S. x ∈ I"
  then have xI: "∧I. I ∈ S ⇒ x ∈ I" by simp
  assume "∀I∈S. y ∈ I"
  then have yI: "∧I. I ∈ S ⇒ y ∈ I" by simp

  fix J
  assume JS: "J ∈ S"
  interpret ideal J R by (rule Sideals[OF JS])
  from xI[OF JS] and yI[OF JS] show "x ⊕ y ∈ J" by (rule a_closed)
next
  fix J
  assume JS: "J ∈ S"
  interpret ideal J R by (rule Sideals[OF JS])
  show "0 ∈ J" by simp
next
  fix x
  assume "∀I∈S. x ∈ I"
  then have xI: "∧I. I ∈ S ⇒ x ∈ I" by simp

  fix J
  assume JS: "J ∈ S"
  interpret ideal J R by (rule Sideals[OF JS])

  from xI[OF JS] show "⊖ x ∈ J" by (rule a_inv_closed)
next
  fix x y
  assume "∀I∈S. x ∈ I"
  then have xI: "∧I. I ∈ S ⇒ x ∈ I" by simp
  assume ycarr: "y ∈ carrier R"

  fix J
  assume JS: "J ∈ S"
  interpret ideal J R by (rule Sideals[OF JS])

  from xI[OF JS] and ycarr show "y ⊗ x ∈ J" by (rule I_l_closed)
next
  fix x y
  assume "∀I∈S. x ∈ I"
  then have xI: "∧I. I ∈ S ⇒ x ∈ I" by simp
  assume ycarr: "y ∈ carrier R"

  fix J
  assume JS: "J ∈ S"
  interpret ideal J R by (rule Sideals[OF JS])

  from xI[OF JS] and ycarr show "x ⊗ y ∈ J" by (rule I_r_closed)

```



```

next
  fix x
  assume "∀I∈S. x ∈ I"
  then have xI: "∧I. I ∈ S ⇒ x ∈ I" by simp

  from notempty have "∃I0. I0 ∈ S" by blast
  then obtain I0 where IOS: "I0 ∈ S" by auto

  interpret ideal I0 R by (rule Sideals[OF IOS])

  from xI[OF IOS] have "x ∈ I0" .
  with a_subset show "x ∈ carrier R" by fast
next

qed

```

10.5 Addition of Ideals

```

lemma (in ring) add_ideals:
  assumes idealI: "ideal I R"
    and idealJ: "ideal J R"
  shows "ideal (I <+> J) R"
  apply (rule ideal.intro)
    apply (rule add_additive_subgroups)
    apply (intro ideal.axioms[OF idealI])
    apply (intro ideal.axioms[OF idealJ])
    apply (rule is_ring)
  apply (rule ideal_axioms.intro)
    apply (simp add: set_add_defs, clarsimp) defer 1
    apply (simp add: set_add_defs, clarsimp) defer 1
proof -
  fix x i j
  assume xcarr: "x ∈ carrier R"
    and iI: "i ∈ I"
    and jJ: "j ∈ J"
  from xcarr ideal.Icarr[OF idealI iI] ideal.Icarr[OF idealJ jJ]
  have c: "(i ⊕ j) ⊗ x = (i ⊗ x) ⊕ (j ⊗ x)"
    by algebra
  from xcarr and iI have a: "i ⊗ x ∈ I"
    by (simp add: ideal.I_r_closed[OF idealI])
  from xcarr and jJ have b: "j ⊗ x ∈ J"
    by (simp add: ideal.I_r_closed[OF idealJ])
  from a b c show "∃ha∈I. ∃ka∈J. (i ⊕ j) ⊗ x = ha ⊕ ka"
    by fast
next
  fix x i j
  assume xcarr: "x ∈ carrier R"
    and iI: "i ∈ I"
    and jJ: "j ∈ J"

```

```

from xcarr ideal.Icarr[OF idealI iI] ideal.Icarr[OF idealJ jJ]
have c: "x  $\otimes$  (i  $\oplus$  j) = (x  $\otimes$  i)  $\oplus$  (x  $\otimes$  j)" by algebra
from xcarr and iI have a: "x  $\otimes$  i  $\in$  I"
  by (simp add: ideal.I_1_closed[OF idealI])
from xcarr and jJ have b: "x  $\otimes$  j  $\in$  J"
  by (simp add: ideal.I_1_closed[OF idealJ])
from a b c show " $\exists$ ha $\in$ I.  $\exists$ ka $\in$ J. x  $\otimes$  (i  $\oplus$  j) = ha  $\oplus$  ka"
  by fast
qed

```

10.6 Ideals generated by a subset of carrier R

genideal generates an ideal

```

lemma (in ring) genideal_ideal:
  assumes Scarr: "S  $\subseteq$  carrier R"
  shows "ideal (Idl S) R"
unfolding genideal_def
proof (rule i_Intersect, fast, simp)
  from oneideal and Scarr
  show " $\exists$ I. ideal I R  $\wedge$  S  $\leq$  I" by fast
qed

```

```

lemma (in ring) genideal_self:
  assumes "S  $\subseteq$  carrier R"
  shows "S  $\subseteq$  Idl S"
  unfolding genideal_def by fast

```

```

lemma (in ring) genideal_self':
  assumes carr: "i  $\in$  carrier R"
  shows "i  $\in$  Idl {i}"
proof -
  from carr have "{i}  $\subseteq$  Idl {i}" by (fast intro!: genideal_self)
  then show "i  $\in$  Idl {i}" by fast
qed

```

genideal generates the minimal ideal

```

lemma (in ring) genideal_minimal:
  assumes a: "ideal I R"
  and b: "S  $\subseteq$  I"
  shows "Idl S  $\subseteq$  I"
  unfolding genideal_def by rule (elim InterD, simp add: a b)

```

Generated ideals and subsets

```

lemma (in ring) Idl_subset_ideal:
  assumes Iideal: "ideal I R"
  and Hcarr: "H  $\subseteq$  carrier R"
  shows "(Idl H  $\subseteq$  I) = (H  $\subseteq$  I)"
proof

```

```

    assume a: "Idl H  $\subseteq$  I"
    from Hcarr have "H  $\subseteq$  Idl H" by (rule genideal_self)
    with a show "H  $\subseteq$  I" by simp
next
  fix x
  assume "H  $\subseteq$  I"
  with Ideal have "I  $\in$  {I. ideal I R  $\wedge$  H  $\subseteq$  I}" by fast
  then show "Idl H  $\subseteq$  I" unfolding genideal_def by fast
qed

lemma (in ring) subset_Idl_subset:
  assumes Icarr: "I  $\subseteq$  carrier R"
    and HI: "H  $\subseteq$  I"
  shows "Idl H  $\subseteq$  Idl I"
proof -
  from HI and genideal_self[OF Icarr] have HIdlI: "H  $\subseteq$  Idl I"
    by fast

  from Icarr have Ideal: "ideal (Idl I) R"
    by (rule genideal_ideal)
  from HI and Icarr have "H  $\subseteq$  carrier R"
    by fast
  with Ideal have "(H  $\subseteq$  Idl I) = (Idl H  $\subseteq$  Idl I)"
    by (rule Idl_subset_ideal[symmetric])

  with HIdlI show "Idl H  $\subseteq$  Idl I" by simp
qed

lemma (in ring) Idl_subset_ideal':
  assumes acarr: "a  $\in$  carrier R" and bcarr: "b  $\in$  carrier R"
  shows "(Idl {a}  $\subseteq$  Idl {b}) = (a  $\in$  Idl {b})"
  apply (subst Idl_subset_ideal[OF genideal_ideal[of "{b}"], of "{a}"])
  apply (fast intro: bcarr, fast intro: acarr)
  apply fast
  done

lemma (in ring) genideal_zero: "Idl {0} = {0}"
  apply rule
  apply (rule genideal_minimal[OF zeroideal], simp)
  apply (simp add: genideal_self')
  done

lemma (in ring) genideal_one: "Idl {1} = carrier R"
proof -
  interpret ideal "Idl {1}" "R" by (rule genideal_ideal) fast
  show "Idl {1} = carrier R"
  apply (rule, rule a_subset)
  apply (simp add: one_imp_carrier genideal_self')
  done

```

qed

Generation of Principal Ideals in Commutative Rings

```
definition cgenideal :: "_  $\Rightarrow$  'a  $\Rightarrow$  'a set" ("PID12 _" [80] 79)
  where "cgenideal R a = {x  $\otimes_R$  a | x. x  $\in$  carrier R}"
```

genideal (?) really generates an ideal

```
lemma (in cring) cgenideal_ideal:
  assumes acarr: "a  $\in$  carrier R"
  shows "ideal (PID1 a) R"
  apply (unfold cgenideal_def)
  apply (rule idealI[OF is_ring])
  apply (rule subgroup.intro)
  apply simp_all
  apply (blast intro: acarr)
  apply clarsimp defer 1
  defer 1
  apply (fold a_inv_def, clarsimp) defer 1
  apply clarsimp defer 1
  apply clarsimp defer 1
proof -
  fix x y
  assume xcarr: "x  $\in$  carrier R"
  and ycarr: "y  $\in$  carrier R"
  note carr = acarr xcarr ycarr

  from carr have "x  $\otimes$  a  $\oplus$  y  $\otimes$  a = (x  $\oplus$  y)  $\otimes$  a"
  by (simp add: l_distr)
  with carr show " $\exists$ z. x  $\otimes$  a  $\oplus$  y  $\otimes$  a = z  $\otimes$  a  $\wedge$  z  $\in$  carrier R"
  by fast
next
  from l_null[OF acarr, symmetric] and zero_closed
  show " $\exists$ x. 0 = x  $\otimes$  a  $\wedge$  x  $\in$  carrier R" by fast
next
  fix x
  assume xcarr: "x  $\in$  carrier R"
  note carr = acarr xcarr

  from carr have " $\ominus$  (x  $\otimes$  a) = ( $\ominus$  x)  $\otimes$  a"
  by (simp add: l_minus)
  with carr show " $\exists$ z.  $\ominus$  (x  $\otimes$  a) = z  $\otimes$  a  $\wedge$  z  $\in$  carrier R"
  by fast
next
  fix x y
  assume xcarr: "x  $\in$  carrier R"
  and ycarr: "y  $\in$  carrier R"
  note carr = acarr xcarr ycarr

  from carr have "y  $\otimes$  a  $\otimes$  x = (y  $\otimes$  x)  $\otimes$  a"
```

```

    by (simp add: m_assoc) (simp add: m_comm)
  with carr show "∃z. y ⊗ a ⊗ x = z ⊗ a ∧ z ∈ carrier R"
    by fast
next
  fix x y
  assume xcarr: "x ∈ carrier R"
    and ycarr: "y ∈ carrier R"
  note carr = acarr xcarr ycarr

  from carr have "x ⊗ (y ⊗ a) = (x ⊗ y) ⊗ a"
    by (simp add: m_assoc)
  with carr show "∃z. x ⊗ (y ⊗ a) = z ⊗ a ∧ z ∈ carrier R"
    by fast
qed

```

```

lemma (in ring) cgenideal_self:
  assumes icarr: "i ∈ carrier R"
  shows "i ∈ PIDl i"
  unfolding cgenideal_def
proof simp
  from icarr have "i = 1 ⊗ i"
    by simp
  with icarr show "∃x. i = x ⊗ i ∧ x ∈ carrier R"
    by fast
qed

```

cgenideal is minimal

```

lemma (in ring) cgenideal_minimal:
  assumes "ideal J R"
  assumes aJ: "a ∈ J"
  shows "PIDl a ⊆ J"
proof -
  interpret ideal J R by fact
  show ?thesis
    unfolding cgenideal_def
    apply rule
    apply clarify
    using aJ
    apply (erule I_1_closed)
    done
qed

```

```

lemma (in cring) cgenideal_eq_genideal:
  assumes icarr: "i ∈ carrier R"
  shows "PIDl i = Idl {i}"
  apply rule
  apply (intro cgenideal_minimal)
  apply (rule genideal_ideal, fast intro: icarr)
  apply (rule genideal_self', fast intro: icarr)

```

```

apply (intro genideal_minimal)
  apply (rule cgenideal_ideal [OF icarr])
  apply (simp, rule cgenideal_self [OF icarr])
done

```

```

lemma (in cring) cgenideal_eq_rcos: "PIdl i = carrier R #> i"
  unfolding cgenideal_def r_coset_def by fast

```

```

lemma (in cring) cgenideal_is_principalideal:
  assumes icarr: "i ∈ carrier R"
  shows "principalideal (PIdl i) R"
  apply (rule principalidealI)
  apply (rule cgenideal_ideal [OF icarr])
proof -
  from icarr have "PIdl i = Idl {i}"
    by (rule cgenideal_eq_genideal)
  with icarr show "∃i'∈carrier R. PIdl i = Idl {i}'"
    by fast
qed

```

10.7 Union of Ideals

```

lemma (in ring) union_genideal:
  assumes idealI: "ideal I R"
  and idealJ: "ideal J R"
  shows "Idl (I ∪ J) = I <+> J"
  apply rule
  apply (rule ring.genideal_minimal)
  apply (rule is_ring)
  apply (rule add_ideals[OF idealI idealJ])
  apply (rule)
  apply (simp add: set_add_defs) apply (elim disjE) defer 1 defer 1
  apply (rule) apply (simp add: set_add_defs genideal_def) apply clarsimp
defer 1
proof -
  fix x
  assume xI: "x ∈ I"
  have ZJ: "0 ∈ J"
    by (intro additive_subgroup.zero_closed) (rule ideal.axioms[OF idealJ])
  from ideal.Icarr[OF idealI xI] have "x = x ⊕ 0"
    by algebra
  with xI and ZJ show "∃h∈I. ∃k∈J. x = h ⊕ k"
    by fast
next
  fix x
  assume xJ: "x ∈ J"
  have xI: "0 ∈ I"
    by (intro additive_subgroup.zero_closed, rule ideal.axioms[OF idealI])
  from ideal.Icarr[OF idealJ xJ] have "x = 0 ⊕ x"

```

```

    by algebra
  with ZI and xJ show "∃h∈I. ∃k∈J. x = h ⊕ k"
    by fast
next
  fix i j K
  assume iI: "i ∈ I"
    and jJ: "j ∈ J"
    and idealK: "ideal K R"
    and IK: "I ⊆ K"
    and JK: "J ⊆ K"
  from iI and IK have iK: "i ∈ K" by fast
  from jJ and JK have jK: "j ∈ K" by fast
  from iK and jK show "i ⊕ j ∈ K"
    by (intro additive_subgroup.a_closed) (rule ideal.axioms[OF idealK])
qed

```

10.8 Properties of Principal Ideals

0 generates the zero ideal

```

lemma (in ring) zero_genideal: "Idl {0} = {0}"
  apply rule
  apply (simp add: genideal_minimal zeroideal)
  apply (fast intro!: genideal_self)
  done

```

1 generates the unit ideal

```

lemma (in ring) one_genideal: "Idl {1} = carrier R"
proof -
  have "1 ∈ Idl {1}"
    by (simp add: genideal_self')
  then show "Idl {1} = carrier R"
    by (intro ideal.one_imp_carrier) (fast intro: genideal_ideal)
qed

```

The zero ideal is a principal ideal

```

corollary (in ring) zeropideal: "principalideal {0} R"
  apply (rule principalidealI)
  apply (rule zeroideal)
  apply (blast intro!: zero_genideal[symmetric])
  done

```

The unit ideal is a principal ideal

```

corollary (in ring) onepideal: "principalideal (carrier R) R"
  apply (rule principalidealI)
  apply (rule oneideal)
  apply (blast intro!: one_genideal[symmetric])
  done

```

Every principal ideal is a right coset of the carrier

```

lemma (in principalideal) rcos_generate:
  assumes "cring R"
  shows " $\exists x \in I. I = \text{carrier } R \#> x$ "
proof -
  interpret cring R by fact
  from generate obtain i where icarr: "i  $\in$  carrier R" and I1: "I = Idl {i}"
  by fast+

  from icarr and genideal_self[of "{i}"] have "i  $\in$  Idl {i}"
  by fast
  then have iI: "i  $\in$  I" by (simp add: I1)

  from I1 icarr have I2: "I = PIdl i"
  by (simp add: cgenideal_eq_genideal)

  have "PIdl i = carrier R #> i"
  unfolding cgenideal_def r_coset_def by fast

  with I2 have "I = carrier R #> i"
  by simp

  with iI show " $\exists x \in I. I = \text{carrier } R \#> x$ "
  by fast
qed

```

10.9 Prime Ideals

```

lemma (in ideal) primeidealCD:
  assumes "cring R"
  assumes notprime: " $\neg$  primeideal I R"
  shows "carrier R = I  $\vee$  ( $\exists a b. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge a \otimes b \in I \wedge a \notin I \wedge b \notin I$ )"
proof (rule ccontr, clarsimp)
  interpret cring R by fact
  assume InR: "carrier R  $\neq$  I"
  and " $\forall a. a \in \text{carrier } R \longrightarrow (\forall b. a \otimes b \in I \longrightarrow b \in \text{carrier } R \longrightarrow a \in I \vee b \in I)$ "
  then have I_prime: " $\bigwedge a b. [a \in \text{carrier } R; b \in \text{carrier } R; a \otimes b \in I] \implies a \in I \vee b \in I$ "
  by simp
  have "primeideal I R"
  apply (rule primeideal.intro [OF is_ideal is_cring])
  apply (rule primeideal_axioms.intro)
  apply (rule InR)
  apply (erule (2) I_prime)
  done
  with notprime show False by simp
qed

```



```

lemma (in ideal) primeidealCE:
  assumes "cring R"
  assumes notprime: "¬ primeideal I R"
  obtains "carrier R = I"
    | "∃ a b. a ∈ carrier R ∧ b ∈ carrier R ∧ a ⊗ b ∈ I ∧ a ∉ I ∧ b
  ∉ I"
proof -
  interpret R: cring R by fact
  assume "carrier R = I ==> thesis"
  and "∃ a b. a ∈ carrier R ∧ b ∈ carrier R ∧ a ⊗ b ∈ I ∧ a ∉ I ∧
  b ∉ I ==> thesis"
  then show thesis using primeidealCD [OF R.is_cring notprime] by blast
qed

```

If $\{0\}$ is a prime ideal of a commutative ring, the ring is a domain

```

lemma (in cring) zeroprimeideal_domainI:
  assumes pi: "primeideal {0} R"
  shows "domain R"
  apply (rule domain.intro, rule is_cring)
  apply (rule domain_axioms.intro)
proof (rule ccontr, simp)
  interpret primeideal "{0}" "R" by (rule pi)
  assume "1 = 0"
  then have "carrier R = {0}" by (rule one_zeroD)
  from this[symmetric] and I_notcarr show False
  by simp
next
  interpret primeideal "{0}" "R" by (rule pi)
  fix a b
  assume ab: "a ⊗ b = 0" and carr: "a ∈ carrier R" "b ∈ carrier R"
  from ab have abI: "a ⊗ b ∈ {0}"
  by fast
  with carr have "a ∈ {0} ∨ b ∈ {0}"
  by (rule I_prime)
  then show "a = 0 ∨ b = 0" by simp
qed

```

```

corollary (in cring) domain_eq_zeroprimeideal: "domain R = primeideal {0}
R"

```

```

  apply rule
  apply (erule domain.zeroprimeideal)
  apply (erule zeroprimeideal_domainI)
  done

```

10.10 Maximal Ideals

```

lemma (in ideal) helper_I_closed:
  assumes carr: "a ∈ carrier R" "x ∈ carrier R" "y ∈ carrier R"

```

```

    and axI: "a ⊗ x ∈ I"
  shows "a ⊗ (x ⊗ y) ∈ I"
proof -
  from axI and carr have "(a ⊗ x) ⊗ y ∈ I"
    by (simp add: I_r_closed)
  also from carr have "(a ⊗ x) ⊗ y = a ⊗ (x ⊗ y)"
    by (simp add: m_assoc)
  finally show "a ⊗ (x ⊗ y) ∈ I" .
qed

lemma (in ideal) helper_max_prime:
  assumes "cring R"
  assumes acar: "a ∈ carrier R"
  shows "ideal {x ∈ carrier R. a ⊗ x ∈ I} R"
proof -
  interpret cring R by fact
  show ?thesis apply (rule idealI)
    apply (rule cring.axioms[OF is_cring])
    apply (rule subgroup.intro)
    apply (simp, fast)
    apply clarsimp apply (simp add: r_distr acar)
    apply (simp add: acar)
    apply (simp add: a_inv_def[symmetric], clarify) defer 1
    apply clarsimp defer 1
    apply (fast intro!: helper_I_closed acar)
  proof -
    fix x
    assume xcarr: "x ∈ carrier R"
      and ax: "a ⊗ x ∈ I"
    from ax and acar xcarr
    have "⊖(a ⊗ x) ∈ I" by simp
    also from acar xcarr
    have "⊖(a ⊗ x) = a ⊗ (⊖x)" by algebra
    finally show "a ⊗ (⊖x) ∈ I" .
    from acar have "a ⊗ 0 = 0" by simp
  next
    fix x y
    assume xcarr: "x ∈ carrier R"
      and ycarr: "y ∈ carrier R"
      and ayI: "a ⊗ y ∈ I"
    from ayI and acar xcarr ycarr have "a ⊗ (y ⊗ x) ∈ I"
      by (simp add: helper_I_closed)
    moreover
    from xcarr ycarr have "y ⊗ x = x ⊗ y"
      by (simp add: m_comm)
    ultimately
    show "a ⊗ (x ⊗ y) ∈ I" by simp
  qed
qed

```

In a cring every maximal ideal is prime

lemma (in cring) maximalideal_is_prime:

assumes "maximalideal I R"

shows "primeideal I R"

proof -

interpret maximalideal I R by fact

show ?thesis apply (rule ccontr)

apply (rule primeidealCE)

apply (rule is_cring)

apply assumption

apply (simp add: I_notcarr)

proof -

assume " $\exists a b. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge a \otimes b \in I \wedge a \notin I \wedge b \notin I$ "

then obtain a b where

acarr: " $a \in \text{carrier } R$ " and

bcarr: " $b \in \text{carrier } R$ " and

abI: " $a \otimes b \in I$ " and

anI: " $a \notin I$ " and

bnI: " $b \notin I$ " by fast

def J \equiv "{ $x \in \text{carrier } R. a \otimes x \in I$ }"

from is_cring and acarr have idealJ: "ideal J R"

unfolding J_def by (rule helper_max_prime)

have IsubJ: " $I \subseteq J$ "

proof

fix x

assume xI: " $x \in I$ "

with acarr have " $a \otimes x \in I$ "

by (intro I_1_closed)

with xI[THEN a_Hcarr] show " $x \in J$ "

unfolding J_def by fast

qed

from abI and acarr bcarr have " $b \in J$ "

unfolding J_def by fast

with bnI have JnI: " $J \neq I$ " by fast

from acarr

have " $a = a \otimes 1$ " by algebra

with anI have " $a \otimes 1 \notin I$ " by simp

with one_closed have " $1 \notin J$ "

unfolding J_def by fast

then have Jncarr: " $J \neq \text{carrier } R$ " by fast

interpret ideal J R by (rule idealJ)

have " $J = I \vee J = \text{carrier } R$ "

apply (intro I_maximal)

```

    apply (rule idealJ)
    apply (rule IsubJ)
    apply (rule a_subset)
    done

  with JnI and Jncarr show False by simp
qed
qed

10.11 Derived Theorems

— A non-zero cring that has only the two trivial ideals is a field
lemma (in cring) trivialideals_fieldI:
  assumes carrnzero: "carrier R ≠ {0}"
  and haveideals: "{I. ideal I R} = {{0}, carrier R}"
  shows "field R"
  apply (rule cring_fieldI)
  apply (rule, rule, rule)
  apply (erule Units_closed)
  defer 1
  apply rule
  defer 1
proof (rule ccontr, simp)
  assume zUnit: "0 ∈ Units R"
  then have a: "0 ⊗ inv 0 = 1" by (rule Units_r_inv)
  from zUnit have "0 ⊗ inv 0 = 0"
  by (intro l_null) (rule Units_inv_closed)
  with a[symmetric] have "1 = 0" by simp
  then have "carrier R = {0}" by (rule one_zeroD)
  with carrnzero show False by simp
next
fix x
assume xcarr': "x ∈ carrier R - {0}"
then have xcarr: "x ∈ carrier R" by fast
from xcarr' have xnZ: "x ≠ 0" by fast
from xcarr have xIdl: "ideal (PIdl x) R"
  by (intro cgenideal_ideal) fast

from xcarr have "x ∈ PIdl x"
  by (intro cgenideal_self) fast
with xnZ have "PIdl x ≠ {0}" by fast
with haveideals have "PIdl x = carrier R"
  by (blast intro!: xIdl)
then have "1 ∈ PIdl x" by simp
then have "∃y. 1 = y ⊗ x ∧ y ∈ carrier R"
  unfolding cgenideal_def by blast
then obtain y where ycarr: "y ∈ carrier R" and ylinv: "1 = y ⊗ x"
  by fast+
from ylinv and xcarr ycarr have yrinv: "1 = x ⊗ y"

```

```

    by (simp add: m_comm)
  from ycarr and ylinv[symmetric] and yrinv[symmetric]
  have "∃y ∈ carrier R. y ⊗ x = 1 ∧ x ⊗ y = 1" by fast
  with xcarr show "x ∈ Units R"
    unfolding Units_def by fast
qed

lemma (in field) all_ideals: "{I. ideal I R} = {{0}, carrier R}"
  apply (rule, rule)
proof -
  fix I
  assume a: "I ∈ {I. ideal I R}"
  then interpret ideal I R by simp

  show "I ∈ {{0}, carrier R}"
  proof (cases "∃a. a ∈ I - {0}")
    case True
    then obtain a where aI: "a ∈ I" and aNZ: "a ≠ 0"
      by fast+
    from aI[THEN a_Hcarr] aNZ have aUnit: "a ∈ Units R"
      by (simp add: field_Units)
    then have a: "a ⊗ inv a = 1" by (rule Units_r_inv)
    from aI and aUnit have "a ⊗ inv a ∈ I"
      by (simp add: I_r_closed del: Units_r_inv)
    then have oneI: "1 ∈ I" by (simp add: a[symmetric])

    have "carrier R ⊆ I"
    proof
      fix x
      assume xcarr: "x ∈ carrier R"
      with oneI have "1 ⊗ x ∈ I" by (rule I_r_closed)
      with xcarr show "x ∈ I" by simp
    qed
    with a_subset have "I = carrier R" by fast
    then show "I ∈ {{0}, carrier R}" by fast
  next
    case False
    then have IZ: "∧a. a ∈ I ⇒ a = 0" by simp

    have a: "I ⊆ {0}"
    proof
      fix x
      assume "x ∈ I"
      then have "x = 0" by (rule IZ)
      then show "x ∈ {0}" by fast
    qed

    have "0 ∈ I" by simp
    then have "{0} ⊆ I" by fast
  end
end

```

```

    with a have "I = {0}" by fast
    then show "I ∈ {{0}, carrier R}" by fast
  qed
qed (simp add: zeroideal oneideal)

```

— Jacobson Theorem 2.2

```

lemma (in cring) trivialideals_eq_field:
  assumes carrnzero: "carrier R ≠ {0}"
  shows "({I. ideal I R} = {{0}, carrier R}) = field R"
  by (fast intro!: trivialideals_fieldI[OF carrnzero] field.all_ideals)

```

Like zeroprimeideal for domains

```

lemma (in field) zeromaximalideal: "maximalideal {0} R"
  apply (rule maximalidealI)
  apply (rule zeroideal)
proof-
  from one_not_zero have "1 ∉ {0}" by simp
  with one_closed show "carrier R ≠ {0}" by fast
next
  fix J
  assume Jideal: "ideal J R"
  then have "J ∈ {I. ideal I R}" by fast
  with all_ideals show "J = {0} ∨ J = carrier R"
    by simp
qed

```

```

lemma (in cring) zeromaximalideal_fieldI:
  assumes zeromax: "maximalideal {0} R"
  shows "field R"
  apply (rule trivialideals_fieldI, rule maximalideal.I_notcarr[OF zeromax])
  apply rule apply clarsimp defer 1
  apply (simp add: zeroideal oneideal)
proof -
  fix J
  assume Jn0: "J ≠ {0}"
    and idealJ: "ideal J R"
  interpret ideal J R by (rule idealJ)
  have "{0} ⊆ J" by (rule ccontr) simp
  from zeromax and idealJ and this and a_subset
  have "J = {0} ∨ J = carrier R"
    by (rule maximalideal.I_maximal)
  with Jn0 show "J = carrier R"
    by simp
qed

```

```

lemma (in cring) zeromaximalideal_eq_field: "maximalideal {0} R = field R"
  apply rule

```

```

    apply (erule zeromaximalideal_fieldI)
  apply (erule field.zeromaximalideal)
done

end

theory RingHom
imports Ideal
begin

11 Homomorphisms of Non-Commutative Rings

Lifting existing lemmas in a ring_hom_ring locale

locale ring_hom_ring = R?: ring R + S?: ring S
  for R (structure) and S (structure) +
  fixes h
  assumes homh: "h ∈ ring_hom R S"
  notes hom_mult [simp] = ring_hom_mult [OF homh]
    and hom_one [simp] = ring_hom_one [OF homh]

sublocale ring_hom_cring ⊆ ring: ring_hom_ring
  by standard (rule homh)

sublocale ring_hom_ring ⊆ abelian_group?: abelian_group_hom R S
apply (rule abelian_group_homI)
  apply (rule R.is_abelian_group)
  apply (rule S.is_abelian_group)
apply (intro group_hom.intro group_hom_axioms.intro)
  apply (rule R.a_group)
  apply (rule S.a_group)
apply (insert homh, unfold hom_def ring_hom_def)
apply simp
done

lemma (in ring_hom_ring) is_ring_hom_ring:
  "ring_hom_ring R S h"
  by (rule ring_hom_ring_axioms)

lemma ring_hom_ringI:
  fixes R (structure) and S (structure)
  assumes "ring R" "ring S"
  assumes
    hom_closed: "!!x. x ∈ carrier R ==> h x ∈ carrier S"
    and compatible_mult: "!!x y. [| x : carrier R; y : carrier R |]
==> h (x ⊗ y) = h x ⊗S h y"
    and compatible_add: "!!x y. [| x : carrier R; y : carrier R |] ==>
h (x ⊕ y) = h x ⊕S h y"

```

```

    and compatible_one: "h 1 = 1S"
  shows "ring_hom_ring R S h"
proof -
  interpret ring R by fact
  interpret ring S by fact
  show ?thesis apply unfold_locales
apply (unfold ring_hom_def, safe)
  apply (simp add: hom_closed Pi_def)
  apply (erule (1) compatible_mult)
  apply (erule (1) compatible_add)
apply (rule compatible_one)
done
qed

lemma ring_hom_ringI2:
  assumes "ring R" "ring S"
  assumes h: "h ∈ ring_hom R S"
  shows "ring_hom_ring R S h"
proof -
  interpret R: ring R by fact
  interpret S: ring S by fact
  show ?thesis apply (intro ring_hom_ring.intro ring_hom_ring_axioms.intro)
    apply (rule R.is_ring)
    apply (rule S.is_ring)
    apply (rule h)
  done
qed

lemma ring_hom_ringI3:
  fixes R (structure) and S (structure)
  assumes "abelian_group_hom R S h" "ring R" "ring S"
  assumes compatible_mult: "!!x y. [| x : carrier R; y : carrier R |]
==> h (x ⊗ y) = h x ⊗S h y"
  and compatible_one: "h 1 = 1S"
  shows "ring_hom_ring R S h"
proof -
  interpret abelian_group_hom R S h by fact
  interpret R: ring R by fact
  interpret S: ring S by fact
  show ?thesis apply (intro ring_hom_ring.intro ring_hom_ring_axioms.intro,
rule R.is_ring, rule S.is_ring)
    apply (insert group_hom.homh[OF a_group_hom])
    apply (unfold hom_def ring_hom_def, simp)
    apply safe
    apply (erule (1) compatible_mult)
    apply (rule compatible_one)
  done
qed

```



```

lemma ring_hom_cringI:
  assumes "ring_hom_ring R S h" "cring R" "cring S"
  shows "ring_hom_cring R S h"
proof -
  interpret ring_hom_ring R S h by fact
  interpret R: cring R by fact
  interpret S: cring S by fact
  show ?thesis by (intro ring_hom_cring.intro ring_hom_cring_axioms.intro)
    (rule R.is_cring, rule S.is_cring, rule homh)
qed

```

11.1 The Kernel of a Ring Homomorphism

— the kernel of a ring homomorphism is an ideal

```

lemma (in ring_hom_ring) kernel_is_ideal:
  shows "ideal (a_kernel R S h) R"
apply (rule idealI)
  apply (rule R.is_ring)
  apply (rule additive_subgroup.a_subgroup[OF additive_subgroup_a_kernel])
  apply (unfold a_kernel_def', simp+)
done

```

Elements of the kernel are mapped to zero

```

lemma (in abelian_group_hom) kernel_zero [simp]:
  "i ∈ a_kernel R S h ⇒ h i = 0S"
by (simp add: a_kernel_defs)

```

11.2 Cosets

Cosets of the kernel correspond to the elements of the image of the homomorphism

```

lemma (in ring_hom_ring) rcos_imp_homeq:
  assumes acarr: "a ∈ carrier R"
  and xrcos: "x ∈ a_kernel R S h +> a"
  shows "h x = h a"
proof -
  interpret ideal "a_kernel R S h" "R" by (rule kernel_is_ideal)

  from xrcos
  have "∃ i ∈ a_kernel R S h. x = i ⊕ a" by (simp add: a_r_coset_defs)
  from this obtain i
  where iker: "i ∈ a_kernel R S h"
  and x: "x = i ⊕ a"
  by fast+
  note carr = acarr iker[THEN a_Hcarr]

  from x
  have "h x = h (i ⊕ a)" by simp
  also from carr

```

```

    have "... = h i  $\oplus_S$  h a" by simp
  also from iker
    have "... =  $0_S \oplus_S$  h a" by simp
  also from carr
    have "... = h a" by simp
  finally
    show "h x = h a" .
qed

lemma (in ring_hom_ring) homeq_imp_rcos:
  assumes acar: "a  $\in$  carrier R"
    and xcarr: "x  $\in$  carrier R"
    and hx: "h x = h a"
  shows "x  $\in$  a_kernel R S h  $\rightarrow$  a"
proof -
  interpret ideal "a_kernel R S h" "R" by (rule kernel_is_ideal)

  note carr = acar xcarr
  note hcarr = acar[THEN hom_closed] xcarr[THEN hom_closed]

  from hx and hcarr
    have a: "h x  $\oplus_S \ominus_S$  h a =  $0_S$ " by algebra
  from carr
    have "h x  $\oplus_S \ominus_S$  h a = h (x  $\oplus \ominus$ a)" by simp
  from a and this
    have b: "h (x  $\oplus \ominus$ a) =  $0_S$ " by simp

  from carr have "x  $\oplus \ominus$ a  $\in$  carrier R" by simp
  from this and b
    have "x  $\oplus \ominus$ a  $\in$  a_kernel R S h"
    unfolding a_kernel_def'
    by fast

  from this and carr
    show "x  $\in$  a_kernel R S h  $\rightarrow$  a" by (simp add: a_rcos_module_rev)
qed

corollary (in ring_hom_ring) rcos_eq_homeq:
  assumes acar: "a  $\in$  carrier R"
  shows "(a_kernel R S h)  $\rightarrow$  a = {x  $\in$  carrier R. h x = h a}"
apply rule defer 1
apply clarsimp defer 1
proof
  interpret ideal "a_kernel R S h" "R" by (rule kernel_is_ideal)

  fix x
  assume xrcos: "x  $\in$  a_kernel R S h  $\rightarrow$  a"
  from acar and this
    have xcarr: "x  $\in$  carrier R"

```

```

    by (rule a_elemrcos_carrier)

  from xrcos
    have "h x = h a" by (rule rcos_imp_homeq[OF acarr])
  from xcarr and this
    show "x ∈ {x ∈ carrier R. h x = h a}" by fast
next
  interpret ideal "a_kernel R S h" "R" by (rule kernel_is_ideal)

  fix x
  assume xcarr: "x ∈ carrier R"
    and hx: "h x = h a"
  from acarr xcarr hx
    show "x ∈ a_kernel R S h +> a" by (rule homeq_imp_rcos)
qed

end

```

```

theory QuotRing
imports RingHom
begin

```

12 Quotient Rings

12.1 Multiplication on Cosets

```

definition rcoset_mult :: "[('a, _) ring_scheme, 'a set, 'a set, 'a set]
⇒ 'a set"

```

```

  ("[mod _:] _ ⊗ z _" [81,81,81] 80)

```

```

  where "rcoset_mult R I A B = (⋃a∈A. ⋃b∈B. I +>_R (a ⊗_R b))"

```

rcoset_mult fulfils the properties required by congruences

```

lemma (in ideal) rcoset_mult_add:

```

```

  "x ∈ carrier R ⇒ y ∈ carrier R ⇒ [mod I:] (I +> x) ⊗ (I +> y)
= I +> (x ⊗ y)"

```

```

  apply rule

```

```

  apply (rule, simp add: rcoset_mult_def, clarsimp)

```

```

  defer 1

```

```

  apply (rule, simp add: rcoset_mult_def)

```

```

  defer 1

```

```

proof -

```

```

  fix z x' y'

```

```

  assume carr: "x ∈ carrier R" "y ∈ carrier R"

```

```

    and x'rcos: "x' ∈ I +> x"

```

```

    and y'rcos: "y' ∈ I +> y"

```

```

    and zrcos: "z ∈ I +> x' ⊗ y'"

```

```

  from x'rcos have "∃h∈I. x' = h ⊕ x"

```

```

    by (simp add: a_r_coset_def r_coset_def)
  then obtain hx where hxI: "hx ∈ I" and x': "x' = hx ⊕ x"
    by fast+

  from y'rcos have "∃h∈I. y' = h ⊕ y"
    by (simp add: a_r_coset_def r_coset_def)
  then obtain hy where hyI: "hy ∈ I" and y': "y' = hy ⊕ y"
    by fast+

  from zrcos have "∃h∈I. z = h ⊕ (x' ⊗ y')"
    by (simp add: a_r_coset_def r_coset_def)
  then obtain hz where hzI: "hz ∈ I" and z: "z = hz ⊕ (x' ⊗ y')"
    by fast+

  note carr = carr hxI[THEN a_Hcarr] hyI[THEN a_Hcarr] hzI[THEN a_Hcarr]

  from z have "z = hz ⊕ (x' ⊗ y')" .
  also from x' y' have "... = hz ⊕ ((hx ⊕ x) ⊗ (hy ⊕ y))" by simp
  also from carr have "... = (hz ⊕ (hx ⊗ (hy ⊕ y)) ⊕ x ⊗ hy) ⊕ x ⊗
y" by algebra
  finally have z2: "z = (hz ⊕ (hx ⊗ (hy ⊕ y)) ⊕ x ⊗ hy) ⊕ x ⊗ y" .

  from hxI hyI hzI carr have "hz ⊕ (hx ⊗ (hy ⊕ y)) ⊕ x ⊗ hy ∈ I"
    by (simp add: I_l_closed I_r_closed)

  with z2 have "∃h∈I. z = h ⊕ x ⊗ y" by fast
  then show "z ∈ I +> x ⊗ y" by (simp add: a_r_coset_def r_coset_def)
next
fix z
assume xcarr: "x ∈ carrier R"
  and ycarr: "y ∈ carrier R"
  and zrcos: "z ∈ I +> x ⊗ y"
from xcarr have xself: "x ∈ I +> x" by (intro a_rcos_self)
from ycarr have yself: "y ∈ I +> y" by (intro a_rcos_self)
show "∃a∈I +> x. ∃b∈I +> y. z ∈ I +> a ⊗ b"
  using xself and yself and zrcos by fast
qed

```

12.2 Quotient Ring Definition

```

definition FactRing :: "[('a,'b) ring_scheme, 'a set] ⇒ ('a set) ring"
  (infixl "Quot" 65)
where "FactRing R I =
  (carrier = a_rcosetsR I, mult = rcoset_mult R I,
   one = (I +>R 1R), zero = I, add = set_add R)"

```

12.3 Factorization over General Ideals

The quotient is a ring

```

lemma (in ideal) quotient_is_ring: "ring (R Quot I)"
apply (rule ringI)
  — abelian group
  apply (rule comm_group_abelian_groupI)
  apply (simp add: FactRing_def)
  apply (rule a_factorgroup_is_comm_group[unfolded A_FactGroup_def'])
  — mult monoid
  apply (rule monoidI)
    apply (simp_all add: FactRing_def A_RCOSETS_def RCOSETS_def
      a_r_coset_def[symmetric])
    — mult closed
    apply (clarify)
    apply (simp add: rcaset_mult_add, fast)
    — mult one_closed
    apply force
    — mult assoc
    apply clarify
    apply (simp add: rcaset_mult_add m_assoc)
    — mult one
    apply clarify
    apply (simp add: rcaset_mult_add)
  apply clarify
  apply (simp add: rcaset_mult_add)
  — distr
  apply clarify
  apply (simp add: rcaset_mult_add a_rcos_sum l_distr)
  apply clarify
  apply (simp add: rcaset_mult_add a_rcos_sum r_distr)
done

```

This is a ring homomorphism

```

lemma (in ideal) rcos_ring_hom: "(op +> I) ∈ ring_hom R (R Quot I)"
apply (rule ring_hom_memI)
  apply (simp add: FactRing_def a_rcosetsI[OF a_subset])
  apply (simp add: FactRing_def rcaset_mult_add)
  apply (simp add: FactRing_def a_rcos_sum)
  apply (simp add: FactRing_def)
done

```

```

lemma (in ideal) rcos_ring_hom_ring: "ring_hom_ring R (R Quot I) (op
+> I)"
apply (rule ring_hom_ringI)
  apply (rule is_ring, rule quotient_is_ring)
  apply (simp add: FactRing_def a_rcosetsI[OF a_subset])
  apply (simp add: FactRing_def rcaset_mult_add)
  apply (simp add: FactRing_def a_rcos_sum)
  apply (simp add: FactRing_def)
done

```

The quotient of a cring is also commutative

```

lemma (in ideal) quotient_is_cring:
  assumes "cring R"
  shows "cring (R Quot I)"
proof -
  interpret cring R by fact
  show ?thesis
    apply (intro cring.intro comm_monoid.intro comm_monoid_axioms.intro)
     apply (rule quotient_is_ring)
     apply (rule ring.axioms[OF quotient_is_ring])
    apply (simp add: FactRing_def A_RCOSSETS_defs a_r_coset_def[symmetric])
    apply clarify
    apply (simp add: rcoset_mult_add m_comm)
  done
qed

```

Cosets as a ring homomorphism on crings

```

lemma (in ideal) rcos_ring_hom_cring:
  assumes "cring R"
  shows "ring_hom_cring R (R Quot I) (op +> I)"
proof -
  interpret cring R by fact
  show ?thesis
    apply (rule ring_hom_cringI)
     apply (rule rcos_ring_hom_ring)
     apply (rule is_cring)
     apply (rule quotient_is_cring)
     apply (rule is_cring)
  done
qed

```

12.4 Factorization over Prime Ideals

The quotient ring generated by a prime ideal is a domain

```

lemma (in primeideal) quotient_is_domain: "domain (R Quot I)"
  apply (rule domain.intro)
   apply (rule quotient_is_cring, rule is_cring)
  apply (rule domain_axioms.intro)
   apply (simp add: FactRing_def) defer 1
   apply (simp add: FactRing_def A_RCOSSETS_defs a_r_coset_def[symmetric],
clarify)
   apply (simp add: rcoset_mult_add) defer 1
proof (rule ccontr, clarsimp)
  assume "I +> 1 = I"
  then have "1 ∈ I" by (simp only: a_coset_join1 one_closed a_subgroup)
  then have "carrier R ⊆ I" by (subst one_imp_carrier, simp, fast)
  with a_subset have "I = carrier R" by fast
  with I_notcarr show False by fast
next
  fix x y

```

```

assume carr: "x ∈ carrier R" "y ∈ carrier R"
  and a: "I +> x ⊗ y = I"
  and b: "I +> y ≠ I"

have ynI: "y ∉ I"
proof (rule ccontr, simp)
  assume "y ∈ I"
  then have "I +> y = I" by (rule a_rcos_const)
  with b show False by simp
qed

from carr have "x ⊗ y ∈ I +> x ⊗ y" by (simp add: a_rcos_self)
then have xyI: "x ⊗ y ∈ I" by (simp add: a)

from xyI and carr have xI: "x ∈ I ∨ y ∈ I" by (simp add: I_prime)
with ynI have "x ∈ I" by fast
then show "I +> x = I" by (rule a_rcos_const)
qed

```

Generating right cosets of a prime ideal is a homomorphism on commutative rings

```

lemma (in primeideal) rcos_ring_hom_cring: "ring_hom_cring R (R Quot I) (op +> I)"
  by (rule rcos_ring_hom_cring) (rule is_cring)

```

12.5 Factorization over Maximal Ideals

In a commutative ring, the quotient ring over a maximal ideal is a field. The proof follows “W. Adkins, S. Weintraub: Algebra – An Approach via Module Theory”

```

lemma (in maximalideal) quotient_is_field:
  assumes "cring R"
  shows "field (R Quot I)"
proof -
  interpret cring R by fact
  show ?thesis
  apply (intro cring.cring_fieldI2)
  apply (rule quotient_is_cring, rule is_cring)
  defer 1
  apply (simp add: FactRing_def A_RCOSSETS_defs a_r_coset_def[symmetric],
  clarsimp)
  apply (simp add: rcset_mult_add) defer 1
proof (rule ccontr, simp)
  — Quotient is not empty
  assume "0R Quot I = 1R Quot I"
  then have II1: "I = I +> 1" by (simp add: FactRing_def)
  from a_rcos_self[OF one_closed] have "1 ∈ I"
  by (simp add: II1[symmetric])

```

```

then have "I = carrier R" by (rule one_imp_carrier)
with I_notcarr show False by simp
next
  — Existence of Inverse
  fix a
  assume IanI: "I +> a ≠ I" and acarr: "a ∈ carrier R"

  — Helper ideal J
  def J ≡ "(carrier R #> a) <+> I :: 'a set"
  have idealJ: "ideal J R"
  apply (unfold J_def, rule add_ideals)
  apply (simp only: cgenideal_eq_rcos[symmetric], rule cgenideal_ideal,
rule acarr)
  apply (rule is_ideal)
  done

  — Showing J not smaller than I
  have IinJ: "I ⊆ J"
  proof (rule, simp add: J_def r_coset_def set_add_defs)
  fix x
  assume xI: "x ∈ I"
  have Zcarr: "0 ∈ carrier R" by fast
  from xI[THEN a_Hcarr] acarr
  have "x = 0 ⊗ a ⊕ x" by algebra
  with Zcarr and xI show "∃xa∈carrier R. ∃k∈I. x = xa ⊗ a ⊕ k"
by fast
qed

  — Showing J ≠ I
  have anI: "a ∉ I"
  proof (rule ccontr, simp)
  assume "a ∈ I"
  then have "I +> a = I" by (rule a_rcos_const)
  with IanI show False by simp
qed

  have aJ: "a ∈ J"
  proof (simp add: J_def r_coset_def set_add_defs)
  from acarr
  have "a = 1 ⊗ a ⊕ 0" by algebra
  with one_closed and additive_subgroup.zero_closed[OF is_additive_subgroup]
  show "∃x∈carrier R. ∃k∈I. a = x ⊗ a ⊕ k" by fast
qed

  from aJ and anI have JnI: "J ≠ I" by fast

  — Deducing J = carrier R because I is maximal
  from idealJ and IinJ have "J = I ∨ J = carrier R"
  proof (rule I_maximal, unfold J_def)

```



```

    have "carrier R #> a ⊆ carrier R"
      using subset_refl acarr by (rule r_coset_subset_G)
    then show "carrier R #> a <+> I ⊆ carrier R"
      using a_subset by (rule set_add_closed)
  qed

  with JnI have Jcarr: "J = carrier R" by simp

  — Calculating an inverse for a
  from one_closed[folded Jcarr]
  have "∃r∈carrier R. ∃i∈I. 1 = r ⊗ a ⊕ i"
    by (simp add: J_def r_coset_def set_add_defs)
  then obtain r i where rcarr: "r ∈ carrier R"
    and iI: "i ∈ I" and one: "1 = r ⊗ a ⊕ i" by fast
  from one and rcarr and acarr and iI[THEN a_Hcarr]
  have rai1: "a ⊗ r = ⊖i ⊕ 1" by algebra

  — Lifting to cosets
  from iI have "⊖i ⊕ 1 ∈ I +> 1"
    by (intro a_rcosI, simp, intro a_subset, simp)
  with rai1 have "a ⊗ r ∈ I +> 1" by simp
  then have "I +> 1 = I +> a ⊗ r"
    by (rule a_repr_independence, simp) (rule a_subgroup)

  from rcarr and this[symmetric]
  show "∃r∈carrier R. I +> a ⊗ r = I +> 1" by fast
  qed
qed
end

theory IntRing
imports QuotRing Lattice Int "~/src/HOL/Number_Theory/Primes"
begin

```

13 The Ring of Integers

13.1 Some properties of int

```

lemma dvd_eq_abseq:
  fixes k :: int
  shows "1 dvd k ∧ k dvd 1 ⟷ |1| = |k|"
apply rule
  apply (simp add: zdvd_antisym_abs)
  apply (simp add: dvd_if_abs_eq)
done

```

13.2 \mathcal{Z} : The Set of Integers as Algebraic Structure

```
abbreviation int_ring :: "int ring" (" $\mathcal{Z}$ ")
  where "int_ring  $\equiv$  ( $\text{carrier} = \text{UNIV}$ ,  $\text{mult} = \text{op } *$ ,  $\text{one} = 1$ ,  $\text{zero} = 0$ ,
 $\text{add} = \text{op } +$ )"
```

```
lemma int_Zcarr [intro!, simp]: " $k \in \text{carrier } \mathcal{Z}$ "
  by simp
```

```
lemma int_is_cring: "cring  $\mathcal{Z}$ "
apply (rule cringI)
  apply (rule abelian_groupI, simp_all)
  defer 1
  apply (rule comm_monoidI, simp_all)
  apply (rule distrib_right)
apply (fast intro: left_minus)
done
```

13.3 Interpretations

Since definitions of derived operations are global, their interpretation needs to be done as early as possible — that is, with as few assumptions as possible.

```
interpretation int: monoid  $\mathcal{Z}$ 
  rewrites "carrier  $\mathcal{Z} = \text{UNIV}$ "
    and "mult  $\mathcal{Z} \ x \ y = x * y$ "
    and "one  $\mathcal{Z} = 1$ "
    and "pow  $\mathcal{Z} \ x \ n = x^n$ "
proof -
  — Specification
  show "monoid  $\mathcal{Z}$ " by standard auto
  then interpret int: monoid  $\mathcal{Z}$  .

  — Carrier
  show "carrier  $\mathcal{Z} = \text{UNIV}$ " by simp

  — Operations
  { fix x y show "mult  $\mathcal{Z} \ x \ y = x * y$ " by simp }
  show "one  $\mathcal{Z} = 1$ " by simp
  show "pow  $\mathcal{Z} \ x \ n = x^n$ " by (induct n) simp_all
qed
```

```
interpretation int: comm_monoid  $\mathcal{Z}$ 
  rewrites "finprod  $\mathcal{Z} \ f \ A = \text{setprod } f \ A$ "
proof -
  — Specification
  show "comm_monoid  $\mathcal{Z}$ " by standard auto
  then interpret int: comm_monoid  $\mathcal{Z}$  .

  — Operations
```

```

{ fix x y have "mult  $\mathcal{Z}$  x y = x * y" by simp }
note mult = this
have one: "one  $\mathcal{Z}$  = 1" by simp
show "finprod  $\mathcal{Z}$  f A = setprod f A"
  by (induct A rule: infinite_finite_induct, auto)
qed

```

```

interpretation int: abelian_monoid  $\mathcal{Z}$ 
rewrites int_carrier_eq: "carrier  $\mathcal{Z}$  = UNIV"
  and int_zero_eq: "zero  $\mathcal{Z}$  = 0"
  and int_add_eq: "add  $\mathcal{Z}$  x y = x + y"
  and int_finsum_eq: "finsum  $\mathcal{Z}$  f A = setsum f A"

```

```

proof -
  — Specification
  show "abelian_monoid  $\mathcal{Z}$ " by standard auto
  then interpret int: abelian_monoid  $\mathcal{Z}$  .

  — Carrier
  show "carrier  $\mathcal{Z}$  = UNIV" by simp

  — Operations
  { fix x y show "add  $\mathcal{Z}$  x y = x + y" by simp }
  note add = this
  show zero: "zero  $\mathcal{Z}$  = 0"
    by simp
  show "finsum  $\mathcal{Z}$  f A = setsum f A"
    by (induct A rule: infinite_finite_induct, auto)
qed

```

```

interpretation int: abelian_group  $\mathcal{Z}$ 

```

```

rewrites "carrier  $\mathcal{Z}$  = UNIV"
  and "zero  $\mathcal{Z}$  = 0"
  and "add  $\mathcal{Z}$  x y = x + y"
  and "finsum  $\mathcal{Z}$  f A = setsum f A"
  and int_a_inv_eq: "a_inv  $\mathcal{Z}$  x = - x"
  and int_a_minus_eq: "a_minus  $\mathcal{Z}$  x y = x - y"
proof -
  — Specification
  show "abelian_group  $\mathcal{Z}$ "
  proof (rule abelian_groupI)
    fix x
    assume "x ∈ carrier  $\mathcal{Z}$ "
    then show " $\exists y \in \text{carrier } \mathcal{Z}. y \oplus_{\mathcal{Z}} x = \mathbf{0}_{\mathcal{Z}}$ "
      by simp arith
  qed auto
  then interpret int: abelian_group  $\mathcal{Z}$  .
  — Operations

```

```

{ fix x y have "add ℤ x y = x + y" by simp }
note add = this
have zero: "zero ℤ = 0" by simp
{
  fix x
  have "add ℤ (- x) x = zero ℤ"
    by (simp add: add zero)
  then show "a_inv ℤ x = - x"
    by (simp add: int.minus_equality)
}
note a_inv = this
show "a_minus ℤ x y = x - y"
  by (simp add: int.minus_eq add a_inv)
qed (simp add: int_carrier_eq int_zero_eq int_add_eq int_finsonum_eq)+

interpretation int: "domain" ℤ
rewrites "carrier ℤ = UNIV"
  and "zero ℤ = 0"
  and "add ℤ x y = x + y"
  and "finsonum ℤ f A = setsum f A"
  and "a_inv ℤ x = - x"
  and "a_minus ℤ x y = x - y"
proof -
  show "domain ℤ"
    by unfold_locales (auto simp: distrib_right distrib_left)
qed (simp add: int_carrier_eq int_zero_eq int_add_eq int_finsonum_eq int_a_inv_eq
int_a_minus_eq)+

```

Removal of occurrences of UNIV in interpretation result — experimental.

lemma UNIV:

```

"x ∈ UNIV ↔ True"
"A ⊆ UNIV ↔ True"
"(∀ x ∈ UNIV. P x) ↔ (∀ x. P x)"
"(∃ x : UNIV. P x) ↔ (∃ x. P x)"
"(True → Q) ↔ Q"
"(True ⇒ PROP R) ≡ PROP R"
by simp_all

```

interpretation int :

```

partial_order "(carrier = UNIV::int set, eq = op =, le = op ≤)"
rewrites "carrier (carrier = UNIV::int set, eq = op =, le = op ≤) =
UNIV"
  and "le (carrier = UNIV::int set, eq = op =, le = op ≤) x y = (x
≤ y)"
  and "lless (carrier = UNIV::int set, eq = op =, le = op ≤) x y =
(x < y)"
proof -
  show "partial_order (carrier = UNIV::int set, eq = op =, le = op ≤)"
    by standard simp_all

```

```

show "carrier (|carrier = UNIV::int set, eq = op =, le = op ≤) = UNIV"
  by simp
show "le (|carrier = UNIV::int set, eq = op =, le = op ≤) x y = (x ≤
y)"
  by simp
show "lless (|carrier = UNIV::int set, eq = op =, le = op ≤) x y = (x
< y)"
  by (simp add: lless_def) auto
qed

```

```

interpretation int :
  lattice "(|carrier = UNIV::int set, eq = op =, le = op ≤)"
  rewrites "join (|carrier = UNIV::int set, eq = op =, le = op ≤) x y =
max x y"
  and "meet (|carrier = UNIV::int set, eq = op =, le = op ≤) x y = min
x y"
proof -
  let ?Z = "(|carrier = UNIV::int set, eq = op =, le = op ≤)"
  show "lattice ?Z"
    apply unfold_locales
    apply (simp add: least_def Upper_def)
    apply arith
    apply (simp add: greatest_def Lower_def)
    apply arith
    done
  then interpret int: lattice "?Z" .
  show "join ?Z x y = max x y"
    apply (rule int.joinI)
    apply (simp_all add: least_def Upper_def)
    apply arith
    done
  show "meet ?Z x y = min x y"
    apply (rule int.meetI)
    apply (simp_all add: greatest_def Lower_def)
    apply arith
    done
qed

```

```

interpretation int :
  total_order "(|carrier = UNIV::int set, eq = op =, le = op ≤)"
  by standard clarsimp

```

13.4 Generated Ideals of \mathcal{Z}

```

lemma int_Idl: "Idl $\mathcal{Z}$  {a} = {x * a | x. True}"
  apply (subst int.cgenideal_eq_genideal[symmetric]) apply simp
  apply (simp add: cgenideal_def)
  done

```

```

lemma multiples_principalideal: "principalideal {x * a | x. True }  $\mathcal{Z}$ "
  by (metis UNIV_I int.cgenideal_eq_genideal int.cgenideal_is_principalideal
int_Id1)

lemma prime_primeideal:
  assumes prime: "prime p"
  shows "primeideal (Idl $\mathcal{Z}$  {p})  $\mathcal{Z}$ "
apply (rule primeidealI)
  apply (rule int.genideal_ideal, simp)
  apply (rule int_is_cring)
  apply (simp add: int.cgenideal_eq_genideal[symmetric] cgenideal_def)
  apply clarsimp defer 1
  apply (simp add: int.cgenideal_eq_genideal[symmetric] cgenideal_def)
  apply (elim exE)
proof -
  fix a b x
  assume "a * b = x * int p"
  then have "p dvd a * b" by simp
  then have "p dvd a  $\vee$  p dvd b"
    by (metis prime prime_dvd_mult_eq_int)
  then show "( $\exists$ x. a = x * int p)  $\vee$  ( $\exists$ x. b = x * int p)"
    by (metis dvd_def mult.commute)
next
  assume "UNIV = {uu. EX x. uu = x * int p}"
  then obtain x where "1 = x * int p" by best
  then have "|int p * x| = 1" by (simp add: mult.commute)
  then show False
    by (metis abs_of_nat of_nat_1 of_nat_eq_iff abs_zmult_eq_1 one_not_prime_nat
prime)
qed

```

13.5 Ideals and Divisibility

```

lemma int_Id1_subset_ideal: "Idl $\mathcal{Z}$  {k}  $\subseteq$  Idl $\mathcal{Z}$  {1} = (k  $\in$  Idl $\mathcal{Z}$  {1})"
  by (rule int.Id1_subset_ideal') simp_all

```

```

lemma Id1_subset_eq_dvd: "Idl $\mathcal{Z}$  {k}  $\subseteq$  Idl $\mathcal{Z}$  {1}  $\longleftrightarrow$  1 dvd k"
  apply (subst int_Id1_subset_ideal, subst int_Id1, simp)
  apply (rule, clarify)
  apply (simp add: dvd_def)
  apply (simp add: dvd_def ac_simps)
  done

```

```

lemma dvds_eq_Id1: "1 dvd k  $\wedge$  k dvd 1  $\longleftrightarrow$  Idl $\mathcal{Z}$  {k} = Idl $\mathcal{Z}$  {1}"

```

```

proof -
  have a: "1 dvd k  $\longleftrightarrow$  (Idl $\mathcal{Z}$  {k}  $\subseteq$  Idl $\mathcal{Z}$  {1})"
    by (rule Id1_subset_eq_dvd[symmetric])
  have b: "k dvd 1  $\longleftrightarrow$  (Idl $\mathcal{Z}$  {1}  $\subseteq$  Idl $\mathcal{Z}$  {k})"
    by (rule Id1_subset_eq_dvd[symmetric])

```

```

have "1 dvd k ∧ k dvd 1 ↔ IdlZ {k} ⊆ IdlZ {1} ∧ IdlZ {1} ⊆ IdlZ
{k}"
  by (subst a, subst b, simp)
also have "IdlZ {k} ⊆ IdlZ {1} ∧ IdlZ {1} ⊆ IdlZ {k} ↔ IdlZ {k}
= IdlZ {1}"
  by blast
finally show ?thesis .
qed

```

```

lemma Idl_eq_abs: "IdlZ {k} = IdlZ {1} ↔ |1| = |k|"
  apply (subst dvds_eq_abseq[symmetric])
  apply (rule dvds_eq_Idl[symmetric])
  done

```

13.6 Ideals and the Modulus

```

definition ZMod :: "int ⇒ int ⇒ int set"
  where "ZMod k r = (IdlZ {k}) +>Z r"

```

```

lemmas ZMod_defs =
  ZMod_def genideal_def

```

```

lemma rcos_zfact:
  assumes kIl: "k ∈ ZMod 1 r"
  shows "∃x. k = x * 1 + r"
proof -
  from kIl[unfolded ZMod_def] have "∃x1∈IdlZ {1}. k = x1 + r"
  by (simp add: a_r_coset_defs)
  then obtain x1 where x1: "x1 ∈ IdlZ {1}" and k: "k = x1 + r"
  by auto
  from x1 obtain x where "x1 = x * 1"
  by (auto simp: int_Idl)
  with k have "k = x * 1 + r"
  by simp
  then show "∃x. k = x * 1 + r" ..
qed

```

```

lemma ZMod_imp_zmod:
  assumes zmods: "ZMod m a = ZMod m b"
  shows "a mod m = b mod m"
proof -
  interpret ideal "IdlZ {m}"  $\mathcal{I}$ 
  by (rule int.genideal_ideal) fast
  from zmods have "b ∈ ZMod m a"
  unfolding ZMod_def by (simp add: a_repr_independenceD)
  then have "∃x. b = x * m + a"
  by (rule rcos_zfact)
  then obtain x where "b = x * m + a"

```

```

    by fast
  then have "b mod m = (x * m + a) mod m"
    by simp
  also have "... = ((x * m) mod m) + (a mod m)"
    by (simp add: mod_add_eq)
  also have "... = a mod m"
    by simp
  finally have "b mod m = a mod m" .
  then show "a mod m = b mod m" ..
qed

lemma ZMod_mod: "ZMod m a = ZMod m (a mod m)"
proof -
  interpret ideal "Idl $\mathbb{Z}$  {m}"  $\mathcal{Z}$ 
  by (rule int.genideal_ideal) fast
  show ?thesis
  unfolding ZMod_def
  apply (rule a_repr_independence'[symmetric])
  apply (simp add: int_Idl a_r_coset_defs)
  proof -
    have "a = m * (a div m) + (a mod m)"
      by (simp add: zmod_zdiv_equality)
    then have "a = (a div m) * m + (a mod m)"
      by simp
    then show " $\exists h. (\exists x. h = x * m) \wedge a = h + a \text{ mod } m$ "
      by fast
  qed simp
qed

lemma zmod_imp_ZMod:
  assumes modeq: "a mod m = b mod m"
  shows "ZMod m a = ZMod m b"
proof -
  have "ZMod m a = ZMod m (a mod m)"
    by (rule ZMod_mod)
  also have "... = ZMod m (b mod m)"
    by (simp add: modeq[symmetric])
  also have "... = ZMod m b"
    by (rule ZMod_mod[symmetric])
  finally show ?thesis .
qed

corollary ZMod_eq_mod: "ZMod m a = ZMod m b  $\longleftrightarrow$  a mod m = b mod m"
  apply (rule iffI)
  apply (erule ZMod_imp_zmod)
  apply (erule zmod_imp_ZMod)
  done

```


13.7 Factorization

```

definition ZFact :: "int  $\Rightarrow$  int set ring"
  where "ZFact k =  $\mathcal{Z}$  Quot (Idl_ $\mathcal{Z}$  {k})"

lemmas ZFact_defs = ZFact_def FactRing_def

lemma ZFact_is_cring: "cring (ZFact k)"
  apply (unfold ZFact_def)
  apply (rule ideal.quotient_is_cring)
  apply (intro ring.genideal_ideal)
  apply (simp add: cring.axioms[OF int_is_cring] ring.intro)
  apply simp
  apply (rule int_is_cring)
  done

lemma ZFact_zero: "carrier (ZFact 0) = ( $\bigcup$ a. {{a}})"
  apply (insert int.genideal_zero)
  apply (simp add: ZFact_defs A_RCOSSETS_defs r_coset_def)
  done

lemma ZFact_one: "carrier (ZFact 1) = {UNIV}"
  apply (simp only: ZFact_defs A_RCOSSETS_defs r_coset_def ring_record_simps)
  apply (subst int.genideal_one)
  apply (rule, rule, clarsimp)
  apply (rule, rule, clarsimp)
  apply (rule, clarsimp, arith)
  apply (rule, clarsimp)
  apply (rule exI[of _ "0"], clarsimp)
  done

lemma ZFact_prime_is_domain:
  assumes pprime: "prime p"
  shows "domain (ZFact p)"
  apply (unfold ZFact_def)
  apply (rule primeideal.quotient_is_domain)
  apply (rule prime_primeideal[OF pprime])
  done

end

theory Module
imports Ring
begin

```

14 Modules over an Abelian Group

14.1 Definitions

```

record ('a, 'b) module = "'b ring" +
  smult :: "[ 'a, 'b ] => 'b" (infixl "⊙" 70)

locale module = R?: cring + M?: abelian_group M for M (structure) +
  assumes smult_closed [simp, intro]:
    "[| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier M"
  and smult_l_distr:
    "[| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊕ b) ⊙M x = a ⊙M x ⊕M b ⊙M x"
  and smult_r_distr:
    "[| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    a ⊙M (x ⊕M y) = a ⊙M x ⊕M a ⊙M y"
  and smult_assoc1:
    "[| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
  and smult_one [simp]:
    "x ∈ carrier M ==> 1 ⊙M x = x"

locale algebra = module + cring M +
  assumes smult_assoc2:
    "[| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    (a ⊙M x) ⊗M y = a ⊙M (x ⊗M y)"

lemma moduleI:
  fixes R (structure) and M (structure)
  assumes cring: "cring R"
  and abelian_group: "abelian_group M"
  and smult_closed:
    "!!a x. [| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier
M"
  and smult_l_distr:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊕ b) ⊙M x = (a ⊙M x) ⊕M (b ⊙M x)"
  and smult_r_distr:
    "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    a ⊙M (x ⊕M y) = (a ⊙M x) ⊕M (a ⊙M y)"
  and smult_assoc1:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
  and smult_one:
    "!!x. x ∈ carrier M ==> 1 ⊙M x = x"
  shows "module R M"
  by (auto intro: module.intro cring.axioms abelian_group.axioms
    module_axioms.intro assms)

lemma algebraI:

```

```

fixes R (structure) and M (structure)
assumes R_cring: "cring R"
  and M_cring: "cring M"
  and smult_closed:
    "!!a x. [| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier
M"
  and smult_l_distr:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
(a ⊕ b) ⊙M x = (a ⊙M x) ⊕M (b ⊙M x)"
  and smult_r_distr:
    "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
a ⊙M (x ⊕M y) = (a ⊙M x) ⊕M (a ⊙M y)"
  and smult_assoc1:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
(a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
  and smult_one:
    "!!x. x ∈ carrier M ==> (one R) ⊙M x = x"
  and smult_assoc2:
    "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
(a ⊙M x) ⊗M y = a ⊙M (x ⊗M y)"
  shows "algebra R M"
apply intro_locales
apply (rule cring.axioms ring.axioms abelian_group.axioms comm_monoid.axioms
assms)+
apply (rule module_axioms.intro)
  apply (simp add: smult_closed)
  apply (simp add: smult_l_distr)
  apply (simp add: smult_r_distr)
  apply (simp add: smult_assoc1)
  apply (simp add: smult_one)
apply (rule cring.axioms ring.axioms abelian_group.axioms comm_monoid.axioms
assms)+
apply (rule algebra_axioms.intro)
  apply (simp add: smult_assoc2)
done

lemma (in algebra) R_cring:
  "cring R"
  ..

lemma (in algebra) M_cring:
  "cring M"
  ..

lemma (in algebra) module:
  "module R M"
  by (auto intro: moduleI R_cring is_abelian_group
smult_l_distr smult_r_distr smult_assoc1)

```

14.2 Basic Properties of Algebras

lemma (in algebra) smult_l_null [simp]:

"x ∈ carrier M ==> 0 ⊙_M x = 0_M"

proof -

assume M: "x ∈ carrier M"

note facts = M smult_closed [OF R.zero_closed]

from facts have "0 ⊙_M x = (0 ⊙_M x ⊕_M 0 ⊙_M x) ⊕_M ⊖_M (0 ⊙_M x)" by algebra

also from M have "... = (0 ⊕ 0) ⊙_M x ⊕_M ⊖_M (0 ⊙_M x)"

by (simp add: smult_l_distr del: R.l_zero R.r_zero)

also from facts have "... = 0_M" apply algebra apply algebra done

finally show ?thesis .

qed

lemma (in algebra) smult_r_null [simp]:

"a ∈ carrier R ==> a ⊙_M 0_M = 0_M"

proof -

assume R: "a ∈ carrier R"

note facts = R smult_closed

from facts have "a ⊙_M 0_M = (a ⊙_M 0_M ⊕_M a ⊙_M 0_M) ⊕_M ⊖_M (a ⊙_M 0_M)"

by algebra

also from R have "... = a ⊙_M (0_M ⊕_M 0_M) ⊕_M ⊖_M (a ⊙_M 0_M)"

by (simp add: smult_r_distr del: M.l_zero M.r_zero)

also from facts have "... = 0_M" by algebra

finally show ?thesis .

qed

lemma (in algebra) smult_l_minus:

"[| a ∈ carrier R; x ∈ carrier M |] ==> (⊖a) ⊙_M x = ⊖_M (a ⊙_M x)"

proof -

assume RM: "a ∈ carrier R" "x ∈ carrier M"

from RM have a_smult: "a ⊙_M x ∈ carrier M" by simp

from RM have ma_smult: "⊖a ⊙_M x ∈ carrier M" by simp

note facts = RM a_smult ma_smult

from facts have "(⊖a) ⊙_M x = (⊖a ⊙_M x ⊕_M a ⊙_M x) ⊕_M ⊖_M (a ⊙_M x)"

by algebra

also from RM have "... = (⊖a ⊕ a) ⊙_M x ⊕_M ⊖_M (a ⊙_M x)"

by (simp add: smult_l_distr)

also from facts smult_l_null have "... = ⊖_M (a ⊙_M x)"

apply algebra apply algebra done

finally show ?thesis .

qed

lemma (in algebra) smult_r_minus:

"[| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙_M (⊖_Mx) = ⊖_M (a ⊙_M x)"

proof -

assume RM: "a ∈ carrier R" "x ∈ carrier M"

note facts = RM smult_closed

from facts have "a ⊙_M (⊖_Mx) = (a ⊙_M ⊖_Mx ⊕_M a ⊙_M x) ⊕_M ⊖_M (a ⊙_M x)"

```

    by algebra
  also from RM have "... = a ⊙M (⊖Mx ⊕M x) ⊕M ⊖M(a ⊙M x)"
    by (simp add: smult_r_distr)
  also from facts smult_r_null have "... = ⊖M(a ⊙M x)" by algebra
  finally show ?thesis .
qed

end

```

```

theory UnivPoly
imports Module RingHom
begin

```

15 Univariate Polynomials

Polynomials are formalised as modules with additional operations for extracting coefficients from polynomials and for obtaining monomials from coefficients and exponents (record `up_ring`). The carrier set is a set of bounded functions from `Nat` to the coefficient domain. Bounded means that these functions return zero above a certain bound (the degree). There is a chapter on the formalisation of polynomials in the PhD thesis [1], which was implemented with axiomatic type classes. This was later ported to `Locales`.

15.1 The Constructor for Univariate Polynomials

Functions with finite support.

```

locale bound =
  fixes z :: 'a
    and n :: nat
    and f :: "nat => 'a"
  assumes bound: "!!m. n < m ==> f m = z"

declare bound.intro [intro!]
  and bound.bound [dest]

lemma bound_below:
  assumes bound: "bound z m f" and nonzero: "f n ≠ z" shows "n ≤ m"
proof (rule classical)
  assume "~ ?thesis"
  then have "m < n" by arith
  with bound have "f n = z" ..
  with nonzero show ?thesis by contradiction
qed

record ('a, 'p) up_ring = "('a, 'p) module" +
  monom :: "[ 'a, nat ] => 'p"

```

```
coeff :: '['p, nat] => 'a"
```

definition

```
up :: "('a, 'm) ring_scheme => (nat => 'a) set"
where "up R = {f. f ∈ UNIV → carrier R & (EX n. bound 0R n f)}"
```

definition UP :: "('a, 'm) ring_scheme => ('a, nat => 'a) up_ring"

```
where "UP R = (|
  carrier = up R,
  mult = (%p:up R. %q:up R. %n. ⊕Ri ∈ {...n}. p i ⊗R q (n-i)),
  one = (%i. if i=0 then 1R else 0R),
  zero = (%i. 0R),
  add = (%p:up R. %q:up R. %i. p i ⊕R q i),
  smult = (%a:carrier R. %p:up R. %i. a ⊗R p i),
  monom = (%a:carrier R. %n i. if i=n then a else 0R),
  coeff = (%p:up R. %n. p n)|)"
```

Properties of the set of polynomials up.

lemma mem_upI [intro]:

```
"[| !n. f n ∈ carrier R; EX n. bound (zero R) n f |] ==> f ∈ up R"
by (simp add: up_def Pi_def)
```

lemma mem_upD [dest]:

```
"f ∈ up R ==> f n ∈ carrier R"
by (simp add: up_def Pi_def)
```

context ring
begin

lemma bound_upD [dest]: "f ∈ up R ==> EX n. bound 0 n f" by (simp add: up_def)

lemma up_one_closed: "(%n. if n = 0 then 1 else 0) ∈ up R" using up_def by force

lemma up_smult_closed: "[| a ∈ carrier R; p ∈ up R |] ==> (%i. a ⊗ p i) ∈ up R" by force

lemma up_add_closed:

```
"[| p ∈ up R; q ∈ up R |] ==> (%i. p i ⊕ q i) ∈ up R"
```

proof

```
fix n
assume "p ∈ up R" and "q ∈ up R"
then show "p n ⊕ q n ∈ carrier R"
  by auto
```

next

```
assume UP: "p ∈ up R" "q ∈ up R"
show "EX n. bound 0 n (%i. p i ⊕ q i)"
proof -
```

```

    from UP obtain n where boundn: "bound 0 n p" by fast
    from UP obtain m where boundm: "bound 0 m q" by fast
    have "bound 0 (max n m) (%i. p i ⊕ q i)"
    proof
      fix i
      assume "max n m < i"
      with boundn and boundm and UP show "p i ⊕ q i = 0" by fastforce
    qed
  then show ?thesis ..
qed
qed

lemma up_a_inv_closed:
  "p ∈ up R ==> (%i. ⊖ (p i)) ∈ up R"
proof
  assume R: "p ∈ up R"
  then obtain n where "bound 0 n p" by auto
  then have "bound 0 n (%i. ⊖ p i)" by auto
  then show "EX n. bound 0 n (%i. ⊖ p i)" by auto
qed auto

lemma up_minus_closed:
  "[| p ∈ up R; q ∈ up R |] ==> (%i. p i ⊖ q i) ∈ up R"
  using mem_upD [of p R] mem_upD [of q R] up_add_closed up_a_inv_closed
  a_minus_def [of _ R]
  by auto

lemma up_mult_closed:
  "[| p ∈ up R; q ∈ up R |] ==>
  (%n. ⊕ i ∈ {...n}. p i ⊗ q (n-i)) ∈ up R"
proof
  fix n
  assume "p ∈ up R" "q ∈ up R"
  then show "(⊕ i ∈ {...n}. p i ⊗ q (n-i)) ∈ carrier R"
    by (simp add: mem_upD funcsetI)
next
  assume UP: "p ∈ up R" "q ∈ up R"
  show "EX n. bound 0 n (%n. ⊕ i ∈ {...n}. p i ⊗ q (n-i))"
  proof -
    from UP obtain n where boundn: "bound 0 n p" by fast
    from UP obtain m where boundm: "bound 0 m q" by fast
    have "bound 0 (n + m) (%n. ⊕ i ∈ {...n}. p i ⊗ q (n - i))"
    proof
      fix k assume bound: "n + m < k"
      {
        fix i
        have "p i ⊗ q (k-i) = 0"
        proof (cases "n < i")
          case True

```

```

    with boundn have "p i = 0" by auto
    moreover from UP have "q (k-i) ∈ carrier R" by auto
    ultimately show ?thesis by simp
next
  case False
  with bound have "m < k-i" by arith
  with boundm have "q (k-i) = 0" by auto
  moreover from UP have "p i ∈ carrier R" by auto
  ultimately show ?thesis by simp
qed
}
then show "(⊕ i ∈ {...k}. p i ⊗ q (k-i)) = 0"
  by (simp add: Pi_def)
qed
then show ?thesis by fast
qed
qed
end

```

15.2 Effect of Operations on Coefficients

```

locale UP =
  fixes R (structure) and P (structure)
  defines P_def: "P == UP R"

locale UP_ring = UP + R?: ring R

locale UP_cring = UP + R?: cring R

sublocale UP_cring < UP_ring
  by intro_locales [1] (rule P_def)

locale UP_domain = UP + R?: "domain" R

sublocale UP_domain < UP_cring
  by intro_locales [1] (rule P_def)

context UP
begin

Temporarily declare P ≡ UP R as simp rule.
declare P_def [simp]

lemma up_eqI:
  assumes prem: "!!n. coeff P p n = coeff P q n" and R: "p ∈ carrier
P" "q ∈ carrier P"
  shows "p = q"
proof

```



```

fix x
  from prem and R show "p x = q x" by (simp add: UP_def)
qed

lemma coeff_closed [simp]:
  "p ∈ carrier P ==> coeff P p n ∈ carrier R" by (auto simp add: UP_def)

end

context UP_ring
begin

lemma coeff_monom [simp]:
  "a ∈ carrier R ==> coeff P (monom P a m) n = (if m=n then a else 0)"
proof -
  assume R: "a ∈ carrier R"
  then have "(%n. if n = m then a else 0) ∈ up R"
    using up_def by force
  with R show ?thesis by (simp add: UP_def)
qed

lemma coeff_zero [simp]: "coeff P 0_p n = 0" by (auto simp add: UP_def)

lemma coeff_one [simp]: "coeff P 1_p n = (if n=0 then 1 else 0)"
  using up_one_closed by (simp add: UP_def)

lemma coeff_smult [simp]:
  "[| a ∈ carrier R; p ∈ carrier P |] ==> coeff P (a ⊙_p p) n = a ⊗ coeff
P p n"
  by (simp add: UP_def up_smult_closed)

lemma coeff_add [simp]:
  "[| p ∈ carrier P; q ∈ carrier P |] ==> coeff P (p ⊕_p q) n = coeff
P p n ⊕ coeff P q n"
  by (simp add: UP_def up_add_closed)

lemma coeff_mult [simp]:
  "[| p ∈ carrier P; q ∈ carrier P |] ==> coeff P (p ⊗_p q) n = (⊕ i ∈
{..n}. coeff P p i ⊗ coeff P q (n-i))"
  by (simp add: UP_def up_mult_closed)

end

```

15.3 Polynomials Form a Ring.

```

context UP_ring
begin

```

Operations are closed over P.

lemma UP_mult_closed [simp]:

"[| p ∈ carrier P; q ∈ carrier P |] ==> p ⊗_P q ∈ carrier P" by (simp add: UP_def up_mult_closed)

lemma UP_one_closed [simp]:

"1_P ∈ carrier P" by (simp add: UP_def up_one_closed)

lemma UP_zero_closed [intro, simp]:

"0_P ∈ carrier P" by (auto simp add: UP_def)

lemma UP_a_closed [intro, simp]:

"[| p ∈ carrier P; q ∈ carrier P |] ==> p ⊕_P q ∈ carrier P" by (simp add: UP_def up_add_closed)

lemma monom_closed [simp]:

"a ∈ carrier R ==> monom P a n ∈ carrier P" by (auto simp add: UP_def up_def Pi_def)

lemma UP_smult_closed [simp]:

"[| a ∈ carrier R; p ∈ carrier P |] ==> a ⊙_P p ∈ carrier P" by (simp add: UP_def up_smult_closed)

end

declare (in UP) P_def [simp del]

Algebraic ring properties

context UP_ring

begin

lemma UP_a_assoc:

assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"
shows "(p ⊕_P q) ⊕_P r = p ⊕_P (q ⊕_P r)" by (rule up_eqI, simp add: a_assoc R, simp_all add: R)

lemma UP_1_zero [simp]:

assumes R: "p ∈ carrier P"
shows "0_P ⊕_P p = p" by (rule up_eqI, simp_all add: R)

lemma UP_1_neg_ex:

assumes R: "p ∈ carrier P"
shows "EX q : carrier P. q ⊕_P p = 0_P"

proof -

let ?q = "%i. ⊖ (p i)"

from R have closed: "?q ∈ carrier P"

by (simp add: UP_def P_def up_a_inv_closed)

from R have coeff: "!!n. coeff P ?q n = ⊖ (coeff P p n)"

by (simp add: UP_def P_def up_a_inv_closed)

```

show ?thesis
proof
  show "?q  $\oplus_P$  p = 0p"
    by (auto intro!: up_eqI simp add: R closed coeff R.l_neg)
  qed (rule closed)
qed

lemma UP_a_comm:
  assumes R: "p  $\in$  carrier P" "q  $\in$  carrier P"
  shows "p  $\oplus_P$  q = q  $\oplus_P$  p" by (rule up_eqI, simp add: a_comm R, simp_all
add: R)

lemma UP_m_assoc:
  assumes R: "p  $\in$  carrier P" "q  $\in$  carrier P" "r  $\in$  carrier P"
  shows "(p  $\otimes_P$  q)  $\otimes_P$  r = p  $\otimes_P$  (q  $\otimes_P$  r)"
proof (rule up_eqI)
  fix n
  {
    fix k and a b c :: "nat=>'a"
    assume R: "a  $\in$  UNIV  $\rightarrow$  carrier R" "b  $\in$  UNIV  $\rightarrow$  carrier R"
      "c  $\in$  UNIV  $\rightarrow$  carrier R"
    then have "k  $\leq$  n ==>"
      ( $\bigoplus_{j \in \{..k\}}. (\bigoplus_{i \in \{..j\}}. a\ i \otimes b\ (j-i)) \otimes c\ (n-j)) =$ 
      ( $\bigoplus_{j \in \{..k\}}. a\ j \otimes (\bigoplus_{i \in \{..k-j\}}. b\ i \otimes c\ (n-j-i))$ )"
      (is "_  $\implies$  ?eq k")
    proof (induct k)
      case 0 then show ?case by (simp add: Pi_def m_assoc)
    next
      case (Suc k)
      then have "k  $\leq$  n" by arith
      from this R have "?eq k" by (rule Suc)
      with R show ?case
        by (simp cong: finsum_cong
          add: Suc_diff_le Pi_def l_distr r_distr m_assoc)
          (simp cong: finsum_cong add: Pi_def a_ac finsum_ldistr m_assoc)
    qed
  }
  with R show "coeff P ((p  $\otimes_P$  q)  $\otimes_P$  r) n = coeff P (p  $\otimes_P$  (q  $\otimes_P$  r))
n"
  by (simp add: Pi_def)
qed (simp_all add: R)

lemma UP_r_one [simp]:
  assumes R: "p  $\in$  carrier P" shows "p  $\otimes_P$  1p = p"
proof (rule up_eqI)
  fix n
  show "coeff P (p  $\otimes_P$  1p) n = coeff P p n"
  proof (cases n)
    case 0

```

```

    {
      with R show ?thesis by simp
    }
  next
  case Suc
  {
    fix nn assume Succ: "n = Suc nn"
    have "coeff P (p ⊗p 1p) (Suc nn) = coeff P p (Suc nn)"
    proof -
      have "coeff P (p ⊗p 1p) (Suc nn) = (⊕ i∈{..Suc nn}. coeff P
p i ⊗ (if Suc nn ≤ i then 1 else 0))" using R by simp
      also have "... = coeff P p (Suc nn) ⊗ (if Suc nn ≤ Suc nn then
1 else 0) ⊕ (⊕ i∈{..nn}. coeff P p i ⊗ (if Suc nn ≤ i then 1 else 0))"
      using finsum_Suc [of "(λi::nat. coeff P p i ⊗ (if Suc nn ≤
i then 1 else 0))" "nn"] unfolding Pi_def using R by simp
      also have "... = coeff P p (Suc nn) ⊗ (if Suc nn ≤ Suc nn then
1 else 0)"
      proof -
        have "(⊕ i∈{..nn}. coeff P p i ⊗ (if Suc nn ≤ i then 1 else
0)) = (⊕ i∈{..nn}. 0)"
        using finsum_cong [of "{..nn}" "{..nn}" "(λi::nat. coeff P
p i ⊗ (if Suc nn ≤ i then 1 else 0))" "(λi::nat. 0)"] using R
        unfolding Pi_def by simp
        also have "... = 0" by simp
        finally show ?thesis using r_zero R by simp
      qed
      also have "... = coeff P p (Suc nn)" using R by simp
      finally show ?thesis by simp
    qed
    then show ?thesis using Succ by simp
  }
qed
qed (simp_all add: R)

lemma UP_1_one [simp]:
  assumes R: "p ∈ carrier P"
  shows "1p ⊗p p = p"
proof (rule up_eqI)
  fix n
  show "coeff P (1p ⊗p p) n = coeff P p n"
  proof (cases n)
    case 0 with R show ?thesis by simp
  next
    case Suc with R show ?thesis
      by (simp del: finsum_Suc add: finsum_Suc2 Pi_def)
  qed
qed (simp_all add: R)

```

```

lemma UP_l_distr:
  assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"
  shows "(p ⊕P q) ⊗P r = (p ⊗P r) ⊕P (q ⊗P r)"
  by (rule up_eqI) (simp add: l_distr R Pi_def, simp_all add: R)

lemma UP_r_distr:
  assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"
  shows "r ⊗P (p ⊕P q) = (r ⊗P p) ⊕P (r ⊗P q)"
  by (rule up_eqI) (simp add: r_distr R Pi_def, simp_all add: R)

theorem UP_ring: "ring P"
  by (auto intro!: ringI abelian_groupI monoidI UP_a_assoc)
  (auto intro: UP_a_comm UP_l_neg_ex UP_m_assoc UP_l_distr UP_r_distr)

end

```

15.4 Polynomials Form a Commutative Ring.

```

context UP_cring
begin

lemma UP_m_comm:
  assumes R1: "p ∈ carrier P" and R2: "q ∈ carrier P" shows "p ⊗P q
= q ⊗P p"
proof (rule up_eqI)
  fix n
  {
    fix k and a b :: "nat=>'a"
    assume R: "a ∈ UNIV → carrier R" "b ∈ UNIV → carrier R"
    then have "k ≤ n ==>"
      (⊕ i ∈ {...k}. a i ⊗ b (n-i)) = (⊕ i ∈ {...k}. a (k-i) ⊗ b (i+n-k))"
      (is "_ ==> ?eq k")
    proof (induct k)
      case 0 then show ?case by (simp add: Pi_def)
    next
      case (Suc k) then show ?case
        by (subst (2) finsum_Suc2) (simp add: Pi_def a_comm)+
    qed
  }
  note 1 = this
  from R1 R2 show "coeff P (p ⊗P q) n = coeff P (q ⊗P p) n"
    unfolding coeff_mult [OF R1 R2, of n]
    unfolding coeff_mult [OF R2 R1, of n]
    using 1 [of "(λi. coeff P p i)" "(λi. coeff P q i)" "n"] by (simp
add: Pi_def m_comm)
qed (simp_all add: R1 R2)

```

15.5 Polynomials over a commutative ring for a commutative ring

```

theorem UP_cring:
  "cring P" using UP_ring unfolding cring_def by (auto intro!: comm_monoidI
UP_m_assoc UP_m_comm)

end

context UP_ring
begin

lemma UP_a_inv_closed [intro, simp]:
  "p ∈ carrier P ==> ⊖ p ∈ carrier P"
  by (rule abelian_group.a_inv_closed [OF ring.is_abelian_group [OF UP_ring]])

lemma coeff_a_inv [simp]:
  assumes R: "p ∈ carrier P"
  shows "coeff P (⊖ p) n = ⊖ (coeff P p n)"
proof -
  from R coeff_closed UP_a_inv_closed have
    "coeff P (⊖ p) n = ⊖ coeff P p n ⊕ (coeff P p n ⊕ coeff P (⊖ p)
n)"
  by algebra
  also from R have "... = ⊖ (coeff P p n)"
  by (simp del: coeff_add add: coeff_add [THEN sym]
    abelian_group.r_neg [OF ring.is_abelian_group [OF UP_ring]])
  finally show ?thesis .
qed

end

sublocale UP_ring < P?: ring P using UP_ring .
sublocale UP_cring < P?: cring P using UP_cring .

```

15.6 Polynomials Form an Algebra

```

context UP_ring
begin

lemma UP_smult_l_distr:
  "[| a ∈ carrier R; b ∈ carrier R; p ∈ carrier P |] ==>
(a ⊕ b) ⊙ p = a ⊙ p ⊕ b ⊙ p"
  by (rule up_eqI) (simp_all add: R.l_distr)

lemma UP_smult_r_distr:
  "[| a ∈ carrier R; p ∈ carrier P; q ∈ carrier P |] ==>
a ⊙ (p ⊕ q) = a ⊙ p ⊕ a ⊙ q"
  by (rule up_eqI) (simp_all add: R.r_distr)

```

```

lemma UP_smult_assoc1:
  "[| a ∈ carrier R; b ∈ carrier R; p ∈ carrier P |] ==>
   (a ⊗ b) ⊙p p = a ⊙p (b ⊙p p)"
  by (rule up_eqI) (simp_all add: R.m_assoc)

lemma UP_smult_zero [simp]:
  "p ∈ carrier P ==> 0 ⊙p p = 0p"
  by (rule up_eqI) simp_all

lemma UP_smult_one [simp]:
  "p ∈ carrier P ==> 1 ⊙p p = p"
  by (rule up_eqI) simp_all

lemma UP_smult_assoc2:
  "[| a ∈ carrier R; p ∈ carrier P; q ∈ carrier P |] ==>
   (a ⊙p p) ⊗p q = a ⊙p (p ⊗p q)"
  by (rule up_eqI) (simp_all add: R.finsum_rdist R.m_assoc Pi_def)

end

```

Interpretation of lemmas from algebra.

```

lemma (in cring) cring:
  "cring R" ..

lemma (in UP_cring) UP_algebra:
  "algebra R P" by (auto intro!: algebraI R.cring UP_cring UP_smult_l_distr
UP_smult_r_distr
  UP_smult_assoc1 UP_smult_assoc2)

sublocale UP_cring < algebra R P using UP_algebra .

```

15.7 Further Lemmas Involving Monomials

```

context UP_ring
begin

```

```

lemma monom_zero [simp]:
  "monom P 0 n = 0p" by (simp add: UP_def P_def)

lemma monom_mult_is_smult:
  assumes R: "a ∈ carrier R" "p ∈ carrier P"
  shows "monom P a 0 ⊗p p = a ⊙p p"
proof (rule up_eqI)
  fix n
  show "coeff P (monom P a 0 ⊗p p) n = coeff P (a ⊙p p) n"
  proof (cases n)
    case 0 with R show ?thesis by simp
  next
    case Suc with R show ?thesis

```

```

    using R.finsum_Suc2 by (simp del: R.finsum_Suc add: Pi_def)
  qed
qed (simp_all add: R)

lemma monom_one [simp]:
  "monom P 1 0 = 1P"
  by (rule up_eqI) simp_all

lemma monom_add [simp]:
  "[| a ∈ carrier R; b ∈ carrier R |] ==>
  monom P (a ⊕ b) n = monom P a n ⊕P monom P b n"
  by (rule up_eqI) simp_all

lemma monom_one_Suc:
  "monom P 1 (Suc n) = monom P 1 n ⊗P monom P 1 1"
proof (rule up_eqI)
  fix k
  show "coeff P (monom P 1 (Suc n)) k = coeff P (monom P 1 n ⊗P monom
P 1 1) k"
  proof (cases "k = Suc n")
    case True show ?thesis
      proof -
        fix m
        from True have less_add_diff:
          "!!i. [| n < i; i ≤ n + m |] ==> n + m - i < m" by arith
        from True have "coeff P (monom P 1 (Suc n)) k = 1" by simp
        also from True
        have "... = (⊕ i ∈ {...<n} ∪ {n}. coeff P (monom P 1 n) i ⊗
          coeff P (monom P 1 1) (k - i))"
          by (simp cong: R.finsum_cong add: Pi_def)
        also have "... = (⊕ i ∈ {...n}. coeff P (monom P 1 n) i ⊗
          coeff P (monom P 1 1) (k - i))"
          by (simp only: ivl_disj_un_singleton)
        also from True
        have "... = (⊕ i ∈ {...n} ∪ {n<..k}. coeff P (monom P 1 n) i ⊗
          coeff P (monom P 1 1) (k - i))"
          by (simp cong: R.finsum_cong add: R.finsum_Un_disjoint ivl_disj_int_one
            order_less_imp_not_eq Pi_def)
        also from True have "... = coeff P (monom P 1 n ⊗P monom P 1 1)
k"
          by (simp add: ivl_disj_un_one)
        finally show ?thesis .
      qed
    case False
  next
  case False
  note neq = False
  let ?s =
    "λi. (if n = i then 1 else 0) ⊗ (if Suc 0 = k - i then 1 else 0)"
  from neq have "coeff P (monom P 1 (Suc n)) k = 0" by simp

```



```

also have "... = ( $\bigoplus i \in \{..k\}. ?s i$ )"
proof -
  have f1: " $(\bigoplus i \in \{..<n\}. ?s i) = 0$ "
    by (simp cong: R.finsum_cong add: Pi_def)
  from neq have f2: " $(\bigoplus i \in \{n\}. ?s i) = 0$ "
    by (simp cong: R.finsum_cong add: Pi_def) arith
  have f3: " $n < k \implies (\bigoplus i \in \{n<..k\}. ?s i) = 0$ "
    by (simp cong: R.finsum_cong add: order_less_imp_not_eq Pi_def)
  show ?thesis
  proof (cases "k < n")
    case True then show ?thesis by (simp cong: R.finsum_cong add:
Pi_def)
  next
    case False then have n_le_k: "n <= k" by arith
    show ?thesis
    proof (cases "n = k")
      case True
      then have "0 = ( $\bigoplus i \in \{..<n\} \cup \{n\}. ?s i$ )"
        by (simp cong: R.finsum_cong add: Pi_def)
      also from True have "... = ( $\bigoplus i \in \{..k\}. ?s i$ )"
        by (simp only: ivl_disj_un_singleton)
      finally show ?thesis .
    next
      case False with n_le_k have n_less_k: "n < k" by arith
      with neq have "0 = ( $\bigoplus i \in \{..<n\} \cup \{n\}. ?s i$ )"
        by (simp add: R.finsum_Un_disjoint f1 f2 Pi_def del: Un_insert_right)
      also have "... = ( $\bigoplus i \in \{..n\}. ?s i$ )"
        by (simp only: ivl_disj_un_singleton)
      also from n_less_k neq have "... = ( $\bigoplus i \in \{..n\} \cup \{n<..k\}.
?s i$ )"
        by (simp add: R.finsum_Un_disjoint f3 ivl_disj_int_one Pi_def)
      also from n_less_k have "... = ( $\bigoplus i \in \{..k\}. ?s i$ )"
        by (simp only: ivl_disj_un_one)
      finally show ?thesis .
    qed
  qed
qed
also have "... = coeff P (monom P 1 n  $\otimes_p$  monom P 1 1) k" by simp
finally show ?thesis .
qed
qed (simp_all)

lemma monom_one_Suc2:
  "monom P 1 (Suc n) = monom P 1 1  $\otimes_p$  monom P 1 n"
proof (induct n)
  case 0 show ?case by simp
next
  case Suc
  {

```

```

fix k:: nat
assume hypo: "monom P 1 (Suc k) = monom P 1 1  $\otimes_P$  monom P 1 k"
then show "monom P 1 (Suc (Suc k)) = monom P 1 1  $\otimes_P$  monom P 1 (Suc
k)"
  proof -
    have lhs: "monom P 1 (Suc (Suc k)) = monom P 1 1  $\otimes_P$  monom P 1 k
 $\otimes_P$  monom P 1 1"
      unfolding monom_one_Suc [of "Suc k"] unfolding hypo ..
      note cl = monom_closed [OF R.one_closed, of 1]
      note clk = monom_closed [OF R.one_closed, of k]
      have rhs: "monom P 1 1  $\otimes_P$  monom P 1 (Suc k) = monom P 1 1  $\otimes_P$  monom
P 1 k  $\otimes_P$  monom P 1 1"
        unfolding monom_one_Suc [of k] unfolding sym [OF m_assoc [OF
cl clk cl]] ..
      from lhs rhs show ?thesis by simp
    qed
  }
qed

```

The following corollary follows from lemmas $\text{monom P 1 (Suc ?n) = monom P 1 ?n} \otimes_P \text{monom P 1 1}$ and $\text{monom P 1 (Suc ?n) = monom P 1 1} \otimes_P \text{monom P 1 ?n}$, and is trivial in `UP_cring`

```

corollary monom_one_comm: shows "monom P 1 k  $\otimes_P$  monom P 1 1 = monom P
1 1  $\otimes_P$  monom P 1 k"
  unfolding monom_one_Suc [symmetric] monom_one_Suc2 [symmetric] ..

```

```

lemma monom_mult_smult:
  "[| a  $\in$  carrier R; b  $\in$  carrier R |] ==> monom P (a  $\otimes$  b) n = a  $\odot_P$  monom
P b n"
  by (rule up_eqI) simp_all

```

```

lemma monom_one_mult:
  "monom P 1 (n + m) = monom P 1 n  $\otimes_P$  monom P 1 m"
proof (induct n)
  case 0 show ?case by simp
next
  case Suc then show ?case
    unfolding add_Suc unfolding monom_one_Suc unfolding Suc.hyps
    using m_assoc monom_one_comm [of m] by simp
qed

```

```

lemma monom_one_mult_comm: "monom P 1 n  $\otimes_P$  monom P 1 m = monom P 1 m
 $\otimes_P$  monom P 1 n"
  unfolding monom_one_mult [symmetric] by (rule up_eqI) simp_all

```

```

lemma monom_mult [simp]:
  assumes a_in_R: "a  $\in$  carrier R" and b_in_R: "b  $\in$  carrier R"
  shows "monom P (a  $\otimes$  b) (n + m) = monom P a n  $\otimes_P$  monom P b m"
proof (rule up_eqI)

```

```

fix k
show "coeff P (monom P (a ⊗ b) (n + m)) k = coeff P (monom P a n ⊗p
monom P b m) k"
proof (cases "n + m = k")
  case True
  {
    show ?thesis
      unfolding True [symmetric]
        coeff_mult [OF monom_closed [OF a_in_R, of n] monom_closed [OF
b_in_R, of m], of "n + m"]
        coeff_monom [OF a_in_R, of n] coeff_monom [OF b_in_R, of m]
        using R.finsum_cong [of "{.. n + m}" "{.. n + m}" "(λi. (if n
= i then a else 0) ⊗ (if m = n + m - i then b else 0))"
"(λi. if n = i then a ⊗ b else 0)"]
        a_in_R b_in_R
        unfolding simp_implies_def
        using R.finsum_singleton [of n "{.. n + m}" "(λi. a ⊗ b)"]
        unfolding Pi_def by auto
  }
next
  case False
  {
    show ?thesis
      unfolding coeff_monom [OF R.m_closed [OF a_in_R b_in_R], of "n
+ m" k] apply (simp add: False)
      unfolding coeff_mult [OF monom_closed [OF a_in_R, of n] monom_closed
[OF b_in_R, of m], of k]
      unfolding coeff_monom [OF a_in_R, of n] unfolding coeff_monom
[OF b_in_R, of m] using False
      using R.finsum_cong [of "{..k}" "{..k}" "(λi. (if n = i then a
else 0) ⊗ (if m = k - i then b else 0))" "(λi. 0)"]
      unfolding Pi_def simp_implies_def using a_in_R b_in_R by force
  }
qed
qed (simp_all add: a_in_R b_in_R)

```

```

lemma monom_a_inv [simp]:
  "a ∈ carrier R ==> monom P (⊖ a) n = ⊖p monom P a n"
  by (rule up_eqI) simp_all

```

```

lemma monom_inj:
  "inj_on (%a. monom P a n) (carrier R)"
proof (rule inj_onI)
  fix x y
  assume R: "x ∈ carrier R" "y ∈ carrier R" and eq: "monom P x n = monom
P y n"
  then have "coeff P (monom P x n) n = coeff P (monom P y n) n" by simp
  with R show "x = y" by simp
qed

```

end

15.8 The Degree Function

definition

```
deg :: [('a, 'm) ring_scheme, nat => 'a] => nat"
  where "deg R p = (LEAST n. bound 0R n (coeff (UP R) p))"
```

context UP_ring

begin

lemma deg_aboveI:

```
"[| (!m. n < m ==> coeff P p m = 0); p ∈ carrier P |] ==> deg R p <=
n"
  by (unfold deg_def P_def) (fast intro: Least_le)
```

lemma deg_aboveD:

```
  assumes "deg R p < m" and "p ∈ carrier P"
  shows "coeff P p m = 0"
proof -
  from ⟨p ∈ carrier P⟩ obtain n where "bound 0 n (coeff P p)"
  by (auto simp add: UP_def P_def)
  then have "bound 0 (deg R p) (coeff P p)"
  by (auto simp: deg_def P_def dest: LeastI)
  from this and ⟨deg R p < m⟩ show ?thesis ..
qed
```

lemma deg_belowI:

```
  assumes non_zero: "n ~≠ 0 ==> coeff P p n ~≠ 0"
  and R: "p ∈ carrier P"
  shows "n <= deg R p"
— Logically, this is a slightly stronger version of deg_aboveD
proof (cases "n=0")
  case True then show ?thesis by simp
next
  case False then have "coeff P p n ~≠ 0" by (rule non_zero)
  then have "~ deg R p < n" by (fast dest: deg_aboveD intro: R)
  then show ?thesis by arith
qed
```

lemma lcoeff_nonzero_deg:

```
  assumes deg: "deg R p ~≠ 0" and R: "p ∈ carrier P"
  shows "coeff P p (deg R p) ~≠ 0"
proof -
  from R obtain m where "deg R p <= m" and m_coeff: "coeff P p m ~≠
0"
```

```

proof -
  have minus: "!!(n::nat) m. n ~ = 0 ==> (n - Suc 0 < m) = (n <= m)"
    by arith
  from deg have "deg R p - 1 < (LEAST n. bound 0 n (coeff P p))"
    by (unfold deg_def P_def) simp
  then have "~ bound 0 (deg R p - 1) (coeff P p)" by (rule not_less_Least)
  then have "EX m. deg R p - 1 < m & coeff P p m ~ = 0"
    by (unfold bound_def) fast
  then have "EX m. deg R p <= m & coeff P p m ~ = 0" by (simp add: deg
minus)
  then show ?thesis by (auto intro: that)
qed
  with deg_belowI R have "deg R p = m" by fastforce
  with m_coeff show ?thesis by simp
qed

```

```

lemma lcoeff_nonzero_nonzero:
  assumes deg: "deg R p = 0" and nonzero: "p ~ = 0p" and R: "p ∈ carrier P"
  shows "coeff P p 0 ~ = 0"
proof -
  have "EX m. coeff P p m ~ = 0"
  proof (rule classical)
    assume "~ ?thesis"
    with R have "p = 0p" by (auto intro: up_eqI)
    with nonzero show ?thesis by contradiction
  qed
  then obtain m where coeff: "coeff P p m ~ = 0" ..
  from this and R have "m <= deg R p" by (rule deg_belowI)
  then have "m = 0" by (simp add: deg)
  with coeff show ?thesis by simp
qed

```

```

lemma lcoeff_nonzero:
  assumes neq: "p ~ = 0p" and R: "p ∈ carrier P"
  shows "coeff P p (deg R p) ~ = 0"
proof (cases "deg R p = 0")
  case True with neq R show ?thesis by (simp add: lcoeff_nonzero_nonzero)
next
  case False with neq R show ?thesis by (simp add: lcoeff_nonzero_deg)
qed

```

```

lemma deg_eqI:
  "[| !!m. n < m ==> coeff P p m = 0;
  !!n. n ~ = 0 ==> coeff P p n ~ = 0; p ∈ carrier P |] ==> deg R p =
n"
  by (fast intro: le_antisym deg_aboveI deg_belowI)

```

Degree and polynomial operations

```

lemma deg_add [simp]:
  "p ∈ carrier P ⇒ q ∈ carrier P ⇒
  deg R (p ⊕ q) ≤ max (deg R p) (deg R q)"
by(rule deg_aboveI)(simp_all add: deg_aboveD)

lemma deg_monom_le:
  "a ∈ carrier R ==> deg R (monom P a n) ≤ n"
by (intro deg_aboveI) simp_all

lemma deg_monom [simp]:
  "[| a ≠ 0; a ∈ carrier R |] ==> deg R (monom P a n) = n"
by (fastforce intro: le_antisym deg_aboveI deg_belowI)

lemma deg_const [simp]:
  assumes R: "a ∈ carrier R" shows "deg R (monom P a 0) = 0"
proof (rule le_antisym)
  show "deg R (monom P a 0) ≤ 0" by (rule deg_aboveI) (simp_all add:
R)
next
  show "0 ≤ deg R (monom P a 0)" by (rule deg_belowI) (simp_all add:
R)
qed

lemma deg_zero [simp]:
  "deg R 0p = 0"
proof (rule le_antisym)
  show "deg R 0p ≤ 0" by (rule deg_aboveI) simp_all
next
  show "0 ≤ deg R 0p" by (rule deg_belowI) simp_all
qed

lemma deg_one [simp]:
  "deg R 1p = 0"
proof (rule le_antisym)
  show "deg R 1p ≤ 0" by (rule deg_aboveI) simp_all
next
  show "0 ≤ deg R 1p" by (rule deg_belowI) simp_all
qed

lemma deg_uminus [simp]:
  assumes R: "p ∈ carrier P" shows "deg R (⊖p p) = deg R p"
proof (rule le_antisym)
  show "deg R (⊖p p) ≤ deg R p" by (simp add: deg_aboveI deg_aboveD
R)
next
  show "deg R p ≤ deg R (⊖p p)"
  by (simp add: deg_belowI lcoeff_nonzero_deg
  inj_on_eq_iff [OF R.a_inv_inj, of _ "0", simplified] R)
qed

```

The following lemma is later *overwritten* by the most specific one for domains, `deg_smult`.

```

lemma deg_smult_ring [simp]:
  "[| a ∈ carrier R; p ∈ carrier P |] ==>
   deg R (a ⊙P p) <= (if a = 0 then 0 else deg R p)"
  by (cases "a = 0") (simp add: deg_aboveI deg_aboveD)+

end

context UP_domain
begin

lemma deg_smult [simp]:
  assumes R: "a ∈ carrier R" "p ∈ carrier P"
  shows "deg R (a ⊙P p) = (if a = 0 then 0 else deg R p)"
proof (rule le_antisym)
  show "deg R (a ⊙P p) <= (if a = 0 then 0 else deg R p)"
    using R by (rule deg_smult_ring)
next
  show "(if a = 0 then 0 else deg R p) <= deg R (a ⊙P p)"
  proof (cases "a = 0")
    qed (simp, simp add: deg_belowI lcoeff_nonzero_deg integral_iff R)
  qed
end

context UP_ring
begin

lemma deg_mult_ring:
  assumes R: "p ∈ carrier P" "q ∈ carrier P"
  shows "deg R (p ⊗P q) <= deg R p + deg R q"
proof (rule deg_aboveI)
  fix m
  assume boundm: "deg R p + deg R q < m"
  {
    fix k i
    assume boundk: "deg R p + deg R q < k"
    then have "coeff P p i ⊗ coeff P q (k - i) = 0"
    proof (cases "deg R p < i")
      case True then show ?thesis by (simp add: deg_aboveD R)
    next
      case False with boundk have "deg R q < k - i" by arith
      then show ?thesis by (simp add: deg_aboveD R)
    qed
  }
  with boundm R show "coeff P (p ⊗P q) m = 0" by simp
qed (simp add: R)

```

end

context UP_domain

begin

lemma deg_mult [simp]:

"[| p ~ = 0_P; q ~ = 0_P; p ∈ carrier P; q ∈ carrier P |] ==>

deg R (p ⊗_P q) = deg R p + deg R q"

proof (rule le_antisym)

assume "p ∈ carrier P" "q ∈ carrier P"

then show "deg R (p ⊗_P q) ≤ deg R p + deg R q" by (rule deg_mult_ring)

next

let ?s = "(%i. coeff P p i ⊗ coeff P q (deg R p + deg R q - i))"

assume R: "p ∈ carrier P" "q ∈ carrier P" and nz: "p ~ = 0_P" "q ~ =

0_P"

have less_add_diff: "!!(k::nat) n m. k < n ==> m < n + m - k" by arith

show "deg R p + deg R q ≤ deg R (p ⊗_P q)"

proof (rule deg_belowI, simp add: R)

have "(⊕ i ∈ {.. deg R p + deg R q}. ?s i)

= (⊕ i ∈ {.. < deg R p} ∪ {deg R p .. deg R p + deg R q}. ?s i)"

by (simp only: ivl_disj_un_one)

also have "... = (⊕ i ∈ {deg R p .. deg R p + deg R q}. ?s i)"

by (simp cong: R.finsum_cong add: R.finsum_Un_disjoint ivl_disj_int_one
deg_aboveD less_add_diff R Pi_def)

also have "... = (⊕ i ∈ {deg R p} ∪ {deg R p <.. deg R p + deg R q}.

?s i)"

by (simp only: ivl_disj_un_singleton)

also have "... = coeff P p (deg R p) ⊗ coeff P q (deg R q)"

by (simp cong: R.finsum_cong add: deg_aboveD R Pi_def)

finally have "(⊕ i ∈ {.. deg R p + deg R q}. ?s i)

= coeff P p (deg R p) ⊗ coeff P q (deg R q)" .

with nz show "(⊕ i ∈ {.. deg R p + deg R q}. ?s i) ~ = 0"

by (simp add: integral_iff lcoeff_nonzero R)

qed (simp add: R)

qed

end

The following lemmas also can be lifted to UP_ring.

context UP_ring

begin

lemma coeff_finsum:

assumes fin: "finite A"

shows "p ∈ A → carrier P ==>

coeff P (finsum P p A) k = (⊕ i ∈ A. coeff P (p i) k)"

using fin by induct (auto simp: Pi_def)

lemma up_repr:


```

assumes R: "p ∈ carrier P"
shows "(⊕p i ∈ {...deg R p}. monom P (coeff P p i) i) = p"
proof (rule up_eqI)
  let ?s = "(%i. monom P (coeff P p i) i)"
  fix k
  from R have RR: "!!i. (if i = k then coeff P p i else 0) ∈ carrier
R"
  by simp
show "coeff P (⊕p i ∈ {...deg R p}. ?s i) k = coeff P p k"
proof (cases "k <= deg R p")
  case True
  hence "coeff P (⊕p i ∈ {...deg R p}. ?s i) k =
coeff P (⊕p i ∈ {...k} ∪ {k<..deg R p}. ?s i) k"
  by (simp only: ivl_disj_un_one)
  also from True
  have "... = coeff P (⊕p i ∈ {...k}. ?s i) k"
  by (simp cong: R.finsum_cong add: R.finsum_Un_disjoint
ivl_disj_int_one order_less_imp_not_eq2 coeff_finsum R RR Pi_def)
  also
  have "... = coeff P (⊕p i ∈ {...<k} ∪ {k}. ?s i) k"
  by (simp only: ivl_disj_un_singleton)
  also have "... = coeff P p k"
  by (simp cong: R.finsum_cong add: coeff_finsum deg_aboveD R RR Pi_def)
  finally show ?thesis .
next
  case False
  hence "coeff P (⊕p i ∈ {...deg R p}. ?s i) k =
coeff P (⊕p i ∈ {...<deg R p} ∪ {deg R p}. ?s i) k"
  by (simp only: ivl_disj_un_singleton)
  also from False have "... = coeff P p k"
  by (simp cong: R.finsum_cong add: coeff_finsum deg_aboveD R Pi_def)
  finally show ?thesis .
qed
qed (simp_all add: R Pi_def)

lemma up_repr_le:
  "[| deg R p <= n; p ∈ carrier P |] ==>
(⊕p i ∈ {...n}. monom P (coeff P p i) i) = p"
proof -
  let ?s = "(%i. monom P (coeff P p i) i)"
  assume R: "p ∈ carrier P" and "deg R p <= n"
  then have "finsum P ?s {...n} = finsum P ?s ({...deg R p} ∪ {deg R p<..n})"
  by (simp only: ivl_disj_un_one)
  also have "... = finsum P ?s {...deg R p}"
  by (simp cong: P.finsum_cong add: P.finsum_Un_disjoint ivl_disj_int_one
deg_aboveD R Pi_def)
  also have "... = p" using R by (rule up_repr)
  finally show ?thesis .
qed

```

end

15.9 Polynomials over Integral Domains

```
lemma domainI:
  assumes cring: "cring R"
    and one_not_zero: "one R  $\neq$  zero R"
    and integral: "!!a b. [| mult R a b = zero R; a  $\in$  carrier R;
      b  $\in$  carrier R |] ==> a = zero R | b = zero R"
  shows "domain R"
  by (auto intro!: domain.intro domain_axioms.intro cring.axioms asms
    del: disjCI)
```

```
context UP_domain
begin
```

```
lemma UP_one_not_zero:
  "1P  $\neq$  0P"
proof
  assume "1P = 0P"
  hence "coeff P 1P 0 = (coeff P 0P 0)" by simp
  hence "1 = 0" by simp
  with R.one_not_zero show "False" by contradiction
qed
```

```
lemma UP_integral:
  "[| p  $\otimes_P$  q = 0P; p  $\in$  carrier P; q  $\in$  carrier P |] ==> p = 0P | q = 0P"
proof -
  fix p q
  assume pq: "p  $\otimes_P$  q = 0P" and R: "p  $\in$  carrier P" "q  $\in$  carrier P"
  show "p = 0P | q = 0P"
  proof (rule classical)
    assume c: " $\sim$  (p = 0P | q = 0P)"
    with R have "deg R p + deg R q = deg R (p  $\otimes_P$  q)" by simp
    also from pq have "... = 0" by simp
    finally have "deg R p + deg R q = 0" .
    then have f1: "deg R p = 0 & deg R q = 0" by simp
    from f1 R have "p = ( $\bigoplus_{i \in \{..0\}}$ ). monom P (coeff P p i) i)"
      by (simp only: up_repr_le)
    also from R have "... = monom P (coeff P p 0) 0" by simp
    finally have p: "p = monom P (coeff P p 0) 0" .
    from f1 R have "q = ( $\bigoplus_{i \in \{..0\}}$ ). monom P (coeff P q i) i)"
      by (simp only: up_repr_le)
    also from R have "... = monom P (coeff P q 0) 0" by simp
    finally have q: "q = monom P (coeff P q 0) 0" .
    from R have "coeff P p 0  $\otimes$  coeff P q 0 = coeff P (p  $\otimes_P$  q) 0" by
  simp
  also from pq have "... = 0" by simp
```

```

    finally have "coeff P p 0  $\otimes$  coeff P q 0 = 0" .
    with R have "coeff P p 0 = 0 | coeff P q 0 = 0"
      by (simp add: R.integral_iff)
    with p q show "p = 0P | q = 0P" by fastforce
  qed
end

```

```

theorem UP_domain:
  "domain P"
  by (auto intro!: domainI UP_cring UP_one_not_zero UP_integral del: disjCI)

```

end

Interpretation of theorems from domain.

```

sublocale UP_domain < "domain" P
  by intro_locales (rule domain.axioms UP_domain)+

```

15.10 The Evaluation Homomorphism and Universal Property

```

lemma (in abelian_monoid) boundD_carrier:
  "[| bound 0 n f; n < m |] ==> f m  $\in$  carrier G"
  by auto

```

```

context ring
begin

```

```

theorem diagonal_sum:
  "[| f  $\in$  {.. $n$  +  $m$ ::nat}  $\rightarrow$  carrier R; g  $\in$  {.. $n$  +  $m$ }  $\rightarrow$  carrier R |] ==>
  ( $\bigoplus_{k \in \{..n + m\}} \bigoplus_{i \in \{..k\}} f i \otimes g (k - i)$ ) =
  ( $\bigoplus_{k \in \{..n + m\}} \bigoplus_{i \in \{..n + m - k\}} f k \otimes g i$ )"

```

proof -

```

  assume Rf: "f  $\in$  {.. $n$  +  $m$ }  $\rightarrow$  carrier R" and Rg: "g  $\in$  {.. $n$  +  $m$ }  $\rightarrow$ 
  carrier R"

```

```

  {

```

```

    fix j

```

```

    have "j  $\leq$  n + m ==>"

```

```

      ( $\bigoplus_{k \in \{..j\}} \bigoplus_{i \in \{..k\}} f i \otimes g (k - i)$ ) =

```

```

      ( $\bigoplus_{k \in \{..j\}} \bigoplus_{i \in \{..j - k\}} f k \otimes g i$ )"

```

```

    proof (induct j)

```

```

      case 0 from Rf Rg show ?case by (simp add: Pi_def)

```

```

    next

```

```

      case (Suc j)

```

```

      have R6: "!!i k. [| k  $\leq$  j; i  $\leq$  Suc j - k |] ==> g i  $\in$  carrier

```

```

R"

```

```

      using Suc by (auto intro!: funcset_mem [OF Rg])

```

```

      have R8: "!!i k. [| k  $\leq$  Suc j; i  $\leq$  k |] ==> g (k - i)  $\in$  carrier

```

```

R"

```

```

      using Suc by (auto intro!: funcset_mem [OF Rg])

```

```

    have R9: "!!i k. [| k <= Suc j |] ==> f k ∈ carrier R"
      using Suc by (auto intro!: funcset_mem [OF Rf])
    have R10: "!!i k. [| k <= Suc j; i <= Suc j - k |] ==> g i ∈ carrier
R"
      using Suc by (auto intro!: funcset_mem [OF Rg])
    have R11: "g 0 ∈ carrier R"
      using Suc by (auto intro!: funcset_mem [OF Rg])
    from Suc show ?case
      by (simp cong: finsum_cong add: Suc_diff_le a_ac
        Pi_def R6 R8 R9 R10 R11)
  qed
}
then show ?thesis by fast
qed

theorem cauchy_product:
  assumes bf: "bound 0 n f" and bg: "bound 0 m g"
    and Rf: "f ∈ {..n} → carrier R" and Rg: "g ∈ {..m} → carrier R"
  shows "(⊕k ∈ {..n + m}. ⊕i ∈ {..k}. f i ⊗ g (k - i)) =
    (⊕i ∈ {..n}. f i) ⊗ (⊕i ∈ {..m}. g i)"
proof -
  have f: "!!x. f x ∈ carrier R"
  proof -
    fix x
    show "f x ∈ carrier R"
      using Rf bf boundD_carrier by (cases "x <= n") (auto simp: Pi_def)
  qed
  have g: "!!x. g x ∈ carrier R"
  proof -
    fix x
    show "g x ∈ carrier R"
      using Rg bg boundD_carrier by (cases "x <= m") (auto simp: Pi_def)
  qed
  from f g have "(⊕k ∈ {..n + m}. ⊕i ∈ {..k}. f i ⊗ g (k - i)) =
    (⊕k ∈ {..n + m}. ⊕i ∈ {..n + m - k}. f k ⊗ g i)"
    by (simp add: diagonal_sum Pi_def)
  also have "... = (⊕k ∈ {..n} ∪ {n <..n + m}. ⊕i ∈ {..n + m - k}.
f k ⊗ g i)"
    by (simp only: ivl_disj_un_one)
  also from f g have "... = (⊕k ∈ {..n}. ⊕i ∈ {..n + m - k}. f k ⊗
g i)"
    by (simp cong: finsum_cong
      add: bound.bound [OF bf] finsum_Un_disjoint ivl_disj_int_one Pi_def)
  also from f g
  have "... = (⊕k ∈ {..n}. ⊕i ∈ {..m} ∪ {m <..n + m - k}. f k ⊗ g i)"
    by (simp cong: finsum_cong add: ivl_disj_un_one le_add_diff Pi_def)
  also from f g have "... = (⊕k ∈ {..n}. ⊕i ∈ {..m}. f k ⊗ g i)"
    by (simp cong: finsum_cong
      add: bound.bound [OF bg] finsum_Un_disjoint ivl_disj_int_one Pi_def)

```

```

also from f g have "... = ( $\bigoplus_{i \in \{..n\}} f i$ )  $\otimes$  ( $\bigoplus_{i \in \{..m\}} g i$ )"
  by (simp add: finsum_ldistr diagonal_sum Pi_def,
      simp cong: finsum_cong add: finsum_rdistr Pi_def)
finally show ?thesis .
qed

end

lemma (in UP_ring) const_ring_hom:
  "(%a. monom P a 0)  $\in$  ring_hom R P"
  by (auto intro!: ring_hom_memI intro: up_eqI simp: monom_mult_is_smult)

definition
  eval :: "[('a, 'm) ring_scheme, ('b, 'n) ring_scheme,
            'a => 'b, 'b, nat => 'a] => 'b"
  where "eval R S phi s = ( $\lambda p \in \text{carrier (UP R)}$ ).
        ( $\bigoplus_{s_i \in \{..deg R p\}} \text{phi (coeff (UP R) p i)} \otimes_S s (^)_{S i}$ )"

context UP
begin

lemma eval_on_carrier:
  fixes S (structure)
  shows "p  $\in$  carrier P ==>
  eval R S phi s p = ( $\bigoplus_{S i \in \{..deg R p\}} \text{phi (coeff P p i)} \otimes_S s (^)_{S i}$ )"
  by (unfold eval_def, fold P_def) simp

lemma eval_extensional:
  "eval R S phi p  $\in$  extensional (carrier P)"
  by (unfold eval_def, fold P_def) simp

end

The universal property of the polynomial ring

locale UP_pre_univ_prop = ring_hom_cring + UP_cring

locale UP_univ_prop = UP_pre_univ_prop +
  fixes s and Eval
  assumes indet_img_carrier [simp, intro]: "s  $\in$  carrier S"
  defines Eval_def: "Eval == eval R S h s"

JE: I have moved the following lemma from Ring.thy and lifted then to the
locale ring_hom_ring from ring_hom_cring.

JE: I was considering using it in eval_ring_hom, but that property does not
hold for non commutative rings, so maybe it is not that necessary.

lemma (in ring_hom_ring) hom_finsum [simp]:
  "f  $\in$  A  $\rightarrow$  carrier R ==>"

```

```

h (finsum R f A) = finsum S (h o f) A"
by (induct A rule: infinite_finite_induct, auto simp: Pi_def)

context UP_pre_univ_prop
begin

theorem eval_ring_hom:
  assumes S: "s ∈ carrier S"
  shows "eval R S h s ∈ ring_hom P S"
proof (rule ring_hom_memI)
  fix p
  assume R: "p ∈ carrier P"
  then show "eval R S h s p ∈ carrier S"
    by (simp only: eval_on_carrier) (simp add: S Pi_def)
next
  fix p q
  assume R: "p ∈ carrier P" "q ∈ carrier P"
  then show "eval R S h s (p ⊕P q) = eval R S h s p ⊕S eval R S h s
q"
  proof (simp only: eval_on_carrier P.a_closed)
    from S R have
      "(⊕S i ∈ {..deg R (p ⊕P q)}. h (coeff P (p ⊕P q) i) ⊗S s (^)S i)
=
      (⊕S i ∈ {..deg R (p ⊕P q)} ∪ {deg R (p ⊕P q) < ..max (deg R p) (deg
R q)}.
      h (coeff P (p ⊕P q) i) ⊗S s (^)S i)"
    by (simp cong: S.finsum_cong
      add: deg_aboveD S.finsum_Un_disjoint ivl_disj_int_one Pi_def del:
coeff_add)
    also from R have "... =
      (⊕S i ∈ {..max (deg R p) (deg R q)}.
      h (coeff P (p ⊕P q) i) ⊗S s (^)S i)"
    by (simp add: ivl_disj_un_one)
    also from R S have "... =
      (⊕S i ∈ {..max (deg R p) (deg R q)}. h (coeff P p i) ⊗S s (^)S i)
⊕S
      (⊕S i ∈ {..max (deg R p) (deg R q)}. h (coeff P q i) ⊗S s (^)S i)"
    by (simp cong: S.finsum_cong
      add: S.l_distr deg_aboveD ivl_disj_int_one Pi_def)
    also have "... =
      (⊕S i ∈ {..deg R p} ∪ {deg R p < ..max (deg R p) (deg R q)}.
      h (coeff P p i) ⊗S s (^)S i) ⊕S
      (⊕S i ∈ {..deg R q} ∪ {deg R q < ..max (deg R p) (deg R q)}.
      h (coeff P q i) ⊗S s (^)S i)"
    by (simp only: ivl_disj_un_one max.cobounded1 max.cobounded2)
    also from R S have "... =
      (⊕S i ∈ {..deg R p}. h (coeff P p i) ⊗S s (^)S i) ⊕S
      (⊕S i ∈ {..deg R q}. h (coeff P q i) ⊗S s (^)S i)"
    by (simp cong: S.finsum_cong

```

```

      add: deg_aboveD S.finsum_Un_disjoint ivl_disj_int_one Pi_def)
    finally show
      "( $\bigoplus_{S i \in \{..deg R (p \oplus_p q)\}}$ . h (coeff P (p  $\oplus_p$  q) i)  $\otimes_S s (^)_S$ 
i) =
      ( $\bigoplus_{S i \in \{..deg R p\}}$ . h (coeff P p i)  $\otimes_S s (^)_S$  i)  $\oplus_S$ 
      ( $\bigoplus_{S i \in \{..deg R q\}}$ . h (coeff P q i)  $\otimes_S s (^)_S$  i)".
    qed
  next
    show "eval R S h s 1p = 1s"
      by (simp only: eval_on_carrier UP_one_closed) simp
  next
    fix p q
    assume R: "p  $\in$  carrier P" "q  $\in$  carrier P"
    then show "eval R S h s (p  $\otimes_p$  q) = eval R S h s p  $\otimes_S$  eval R S h s
q"
      proof (simp only: eval_on_carrier UP_mult_closed)
        from R S have
          "( $\bigoplus_{S i \in \{..deg R (p \otimes_p q)\}}$ . h (coeff P (p  $\otimes_p$  q) i)  $\otimes_S s (^)_S$ 
i) =
          ( $\bigoplus_{S i \in \{..deg R (p \otimes_p q)\} \cup \{deg R (p \otimes_p q) < ..deg R p + deg
R q\}}$ .
            h (coeff P (p  $\otimes_p$  q) i)  $\otimes_S s (^)_S$  i)"
          by (simp cong: S.finsum_cong
            add: deg_aboveD S.finsum_Un_disjoint ivl_disj_int_one Pi_def
            del: coeff_mult)
        also from R have "... =
          ( $\bigoplus_{S i \in \{..deg R p + deg R q\}}$ . h (coeff P (p  $\otimes_p$  q) i)  $\otimes_S s (^)_S$ 
i)"
          by (simp only: ivl_disj_un_one deg_mult_ring)
        also from R S have "... =
          ( $\bigoplus_{S i \in \{..deg R p + deg R q\}}$ .
             $\bigoplus_{S k \in \{..i\}}$ .
              h (coeff P p k)  $\otimes_S$  h (coeff P q (i - k))  $\otimes_S$ 
              (s (^)_S k  $\otimes_S s (^)_S$  (i - k)))"
          by (simp cong: S.finsum_cong add: S.nat_pow_mult Pi_def
            S.m_ac S.finsum_rdistr)
        also from R S have "... =
          ( $\bigoplus_{S i \in \{..deg R p\}}$ . h (coeff P p i)  $\otimes_S s (^)_S$  i)  $\otimes_S$ 
          ( $\bigoplus_{S i \in \{..deg R q\}}$ . h (coeff P q i)  $\otimes_S s (^)_S$  i)"
          by (simp add: S.cauchy_product [THEN sym] bound.intro deg_aboveD
            S.m_ac
            Pi_def)
        finally show
          "( $\bigoplus_{S i \in \{..deg R (p \otimes_p q)\}}$ . h (coeff P (p  $\otimes_p$  q) i)  $\otimes_S s (^)_S$ 
i) =
          ( $\bigoplus_{S i \in \{..deg R p\}}$ . h (coeff P p i)  $\otimes_S s (^)_S$  i)  $\otimes_S$ 
          ( $\bigoplus_{S i \in \{..deg R q\}}$ . h (coeff P q i)  $\otimes_S s (^)_S$  i)".
      qed
    qed

```

The following lemma could be proved in `UP_cring` with the additional assumption that `h` is closed.

```
lemma (in UP_pre_univ_prop) eval_const:
  "[| s ∈ carrier S; r ∈ carrier R |] ==> eval R S h s (monom P r 0) =
  h r"
  by (simp only: eval_on_carrier monom_closed) simp
```

Further properties of the evaluation homomorphism.

The following proof is complicated by the fact that in arbitrary rings one might have $1 = 0$.

```
lemma (in UP_pre_univ_prop) eval_monom1:
  assumes S: "s ∈ carrier S"
  shows "eval R S h s (monom P 1 1) = s"
proof (simp only: eval_on_carrier monom_closed R.one_closed)
  from S have
    "( $\bigoplus_S i \in \{..deg R (monom P 1 1)\}$ ). h (coeff P (monom P 1 1) i)  $\otimes_S$  s
    (^)_S i) =
    ( $\bigoplus_S i \in \{..deg R (monom P 1 1)\} \cup \{deg R (monom P 1 1) < ..1\}$ ).
    h (coeff P (monom P 1 1) i)  $\otimes_S$  s (^)_S i)"
  by (simp cong: S.finsum_cong del: coeff_monom
    add: deg_aboveD S.finsum_Un_disjoint ivl_disj_int_one Pi_def)
  also have "... =
    ( $\bigoplus_S i \in \{..1\}$ ). h (coeff P (monom P 1 1) i)  $\otimes_S$  s (^)_S i)"
  by (simp only: ivl_disj_un_one deg_monom_le R.one_closed)
  also have "... = s"
proof (cases "s = 0_S")
  case True then show ?thesis by (simp add: Pi_def)
next
  case False then show ?thesis by (simp add: S Pi_def)
qed
finally show "( $\bigoplus_S i \in \{..deg R (monom P 1 1)\}$ ).
  h (coeff P (monom P 1 1) i)  $\otimes_S$  s (^)_S i) = s" .
qed

end
```

Interpretation of ring homomorphism lemmas.

```
sublocale UP_univ_prop < ring_hom_cring P S Eval
  unfolding Eval_def
  by unfold_locales (fast intro: eval_ring_hom)
```

```
lemma (in UP_cring) monom_pow:
  assumes R: "a ∈ carrier R"
  shows "(monom P a n) (^)_P m = monom P (a (^)_m) (n * m)"
proof (induct m)
  case 0 from R show ?case by simp
next
```



```

    case Suc with R show ?case
      by (simp del: monom_mult add: monom_mult [THEN sym] add.commute)
qed

lemma (in ring_hom_cring) hom_pow [simp]:
  "x ∈ carrier R ==> h (x (^) n) = h x (^)S (n::nat)"
  by (induct n) simp_all

lemma (in UP_univ_prop) Eval_monom:
  "r ∈ carrier R ==> Eval (monom P r n) = h r ⊗S s (^)S n"
proof -
  assume R: "r ∈ carrier R"
  from R have "Eval (monom P r n) = Eval (monom P r 0 ⊗P (monom P 1 1)
(^)P n)"
    by (simp del: monom_mult add: monom_mult [THEN sym] monom_pow)
  also
  from R eval_monom1 [where s = s, folded Eval_def]
  have "... = h r ⊗S s (^)S n"
    by (simp add: eval_const [where s = s, folded Eval_def])
  finally show ?thesis .
qed

lemma (in UP_pre_univ_prop) eval_monom:
  assumes R: "r ∈ carrier R" and S: "s ∈ carrier S"
  shows "eval R S h s (monom P r n) = h r ⊗S s (^)S n"
proof -
  interpret UP_univ_prop R S h P s "eval R S h s"
    using UP_pre_univ_prop_axioms P_def R S
  by (auto intro: UP_univ_prop.intro UP_univ_prop_axioms.intro)
  from R
  show ?thesis by (rule Eval_monom)
qed

lemma (in UP_univ_prop) Eval_smult:
  "[| r ∈ carrier R; p ∈ carrier P |] ==> Eval (r ⊙P p) = h r ⊗S Eval
p"
proof -
  assume R: "r ∈ carrier R" and P: "p ∈ carrier P"
  then show ?thesis
    by (simp add: monom_mult_is_smult [THEN sym]
        eval_const [where s = s, folded Eval_def])
qed

lemma ring_hom_cringI:
  assumes "cring R"
    and "cring S"
    and "h ∈ ring_hom R S"
  shows "ring_hom_cring R S h"
  by (fast intro: ring_hom_cring.intro ring_hom_cring_axioms.intro)

```

```

    cring.axioms assms)

context UP_pre_univ_prop
begin

lemma UP_hom_unique:
  assumes "ring_hom_cring P S Phi"
  assumes Phi: "Phi (monom P 1 (Suc 0)) = s"
    "!!r. r ∈ carrier R ==> Phi (monom P r 0) = h r"
  assumes "ring_hom_cring P S Psi"
  assumes Psi: "Psi (monom P 1 (Suc 0)) = s"
    "!!r. r ∈ carrier R ==> Psi (monom P r 0) = h r"
  and P: "p ∈ carrier P" and S: "s ∈ carrier S"
  shows "Phi p = Psi p"
proof -
  interpret ring_hom_cring P S Phi by fact
  interpret ring_hom_cring P S Psi by fact
  have "Phi p =
    Phi (⊕p i ∈ {..deg R p}. monom P (coeff P p i) 0 ⊗p monom P 1
1 (ˆ)p i)"
    by (simp add: up_repr P monom_mult [THEN sym] monom_pow del: monom_mult)
  also
  have "... =
    Psi (⊕p i ∈ {..deg R p}. monom P (coeff P p i) 0 ⊗p monom P 1 1
(ˆ)p i)"
    by (simp add: Phi Psi P Pi_def comp_def)
  also have "... = Psi p"
    by (simp add: up_repr P monom_mult [THEN sym] monom_pow del: monom_mult)
  finally show ?thesis .
qed

lemma ring_homD:
  assumes Phi: "Phi ∈ ring_hom P S"
  shows "ring_hom_cring P S Phi"
  by unfold_locales (rule Phi)

theorem UP_universal_property:
  assumes S: "s ∈ carrier S"
  shows "EX! Phi. Phi ∈ ring_hom P S ∩ extensional (carrier P) &
    Phi (monom P 1 1) = s &
    (ALL r : carrier R. Phi (monom P r 0) = h r)"
  using S eval_monom1
  apply (auto intro: eval_ring_hom eval_const eval_extensional)
  apply (rule extensionalityI)
  apply (auto intro: UP_hom_unique ring_homD)
  done

end

```

JE: The following lemma was added by me; it might be even lifted to a

```

simpler locale
context monoid
begin

lemma nat_pow_eone[simp]: assumes x_in_G: "x ∈ carrier G" shows "x
(^) (1::nat) = x"
  using nat_pow_Suc [of x 0] unfolding nat_pow_0 [of x] unfolding l_one
[OF x_in_G] by simp

end

context UP_ring
begin

abbreviation lcoeff :: "(nat =>'a) => 'a" where "lcoeff p == coeff P
p (deg R p)"

lemma lcoeff_nonzero2: assumes p_in_R: "p ∈ carrier P" and p_not_zero:
"p ≠ 0p" shows "lcoeff p ≠ 0"
  using lcoeff_nonzero [OF p_not_zero p_in_R] .

```

15.11 The long division algorithm: some previous facts.

```

lemma coeff_minus [simp]:
  assumes p: "p ∈ carrier P" and q: "q ∈ carrier P" shows "coeff P (p
⊖p q) n = coeff P p n ⊖ coeff P q n"
  unfolding a_minus_def [OF p q] unfolding coeff_add [OF p a_inv_closed
[OF q]] unfolding coeff_a_inv [OF q]
  using coeff_closed [OF p, of n] using coeff_closed [OF q, of n] by algebra

lemma lcoeff_closed [simp]: assumes p: "p ∈ carrier P" shows "lcoeff
p ∈ carrier R"
  using coeff_closed [OF p, of "deg R p"] by simp

lemma deg_smult_decr: assumes a_in_R: "a ∈ carrier R" and f_in_P: "f
∈ carrier P" shows "deg R (a ⊙p f) ≤ deg R f"
  using deg_smult_ring [OF a_in_R f_in_P] by (cases "a = 0", auto)

lemma coeff_monom_mult: assumes R: "c ∈ carrier R" and P: "p ∈ carrier
P"
  shows "coeff P (monom P c n ⊗p p) (m + n) = c ⊗ (coeff P p m)"
proof -
  have "coeff P (monom P c n ⊗p p) (m + n) = (⊕i∈{..m+n}. (if n =
i then c else 0) ⊗ coeff P p (m + n - i))"
    unfolding coeff_mult [OF monom_closed [OF R, of n] P, of "m + n"]
  unfolding coeff_monom [OF R, of n] by simp
  also have "(⊕i∈{..m+n}. (if n = i then c else 0) ⊗ coeff P p (m
+ n - i)) =
    (⊕i∈{..m+n}. (if n = i then c ⊗ coeff P p (m + n - i) else 0))"

```

```

    using R.finsum_cong [of "{..m + n}" "{..m + n}" "(λi::nat. (if n
= i then c else 0) ⊗ coeff P p (m + n - i))"
    "(λi::nat. (if n = i then c ⊗ coeff P p (m + n - i) else 0))"]
    using coeff_closed [OF P] unfolding Pi_def simp_implies_def using
R by auto
    also have "... = c ⊗ coeff P p m" using R.finsum_singleton [of n "{..m
+ n}" "(λi. c ⊗ coeff P p (m + n - i))"]
    unfolding Pi_def using coeff_closed [OF P] using P R by auto
    finally show ?thesis by simp
qed

lemma deg_lcoeff_cancel:
  assumes p_in_P: "p ∈ carrier P" and q_in_P: "q ∈ carrier P" and r_in_P:
"r ∈ carrier P"
  and deg_r_nonzero: "deg R r ≠ 0"
  and deg_R_p: "deg R p ≤ deg R r" and deg_R_q: "deg R q ≤ deg R r"

  and coeff_R_p_eq_q: "coeff P p (deg R r) = ⊖R (coeff P q (deg R r))"
  shows "deg R (p ⊕P q) < deg R r"
proof -
  have deg_le: "deg R (p ⊕P q) ≤ deg R r"
  proof (rule deg_aboveI)
    fix m
    assume deg_r_le: "deg R r < m"
    show "coeff P (p ⊕P q) m = 0"
    proof -
      have slp: "deg R p < m" and "deg R q < m" using deg_R_p deg_R_q
using deg_r_le by auto
      then have max_sl: "max (deg R p) (deg R q) < m" by simp
      then have "deg R (p ⊕P q) < m" using deg_add [OF p_in_P q_in_P]
by arith
      with deg_R_p deg_R_q show ?thesis using coeff_add [OF p_in_P q_in_P,
of m]
        using deg_aboveD [of "p ⊕P q" m] using p_in_P q_in_P by simp

    qed
  qed (simp add: p_in_P q_in_P)
  moreover have deg_ne: "deg R (p ⊕P q) ≠ deg R r"
  proof (rule ccontr)
    assume nz: "¬ deg R (p ⊕P q) ≠ deg R r" then have deg_eq: "deg
R (p ⊕P q) = deg R r" by simp
    from deg_r_nonzero have r_nonzero: "r ≠ 0P" by (cases "r = 0P",
simp_all)
    have "coeff P (p ⊕P q) (deg R r) = 0R" using coeff_add [OF p_in_P
q_in_P, of "deg R r"] using coeff_R_p_eq_q
    using coeff_closed [OF p_in_P, of "deg R r"] coeff_closed [OF q_in_P,
of "deg R r"] by algebra
    with lcoeff_nonzero [OF r_nonzero r_in_P] and deg_eq show False
using lcoeff_nonzero [of "p ⊕P q"] using p_in_P q_in_P

```

```

    using deg_r_nonzero by (cases "p ⊕p q ≠ 0p", auto)
  qed
  ultimately show ?thesis by simp
qed

lemma monom_deg_mult:
  assumes f_in_P: "f ∈ carrier P" and g_in_P: "g ∈ carrier P" and deg_le:
    "deg R g ≤ deg R f"
  and a_in_R: "a ∈ carrier R"
  shows "deg R (g ⊗p monom P a (deg R f - deg R g)) ≤ deg R f"
  using deg_mult_ring [OF g_in_P monom_closed [OF a_in_R, of "deg R f
- deg R g"]]
  apply (cases "a = 0") using g_in_P apply simp
  using deg_monom [OF _ a_in_R, of "deg R f - deg R g"] using deg_le by
simp

lemma deg_zero_impl_monom:
  assumes f_in_P: "f ∈ carrier P" and deg_f: "deg R f = 0"
  shows "f = monom P (coeff P f 0) 0"
  apply (rule up_eqI) using coeff_monom [OF coeff_closed [OF f_in_P],
of 0 0]
  using f_in_P deg_f using deg_aboveD [of f _] by auto

end

```

15.12 The long division proof for commutative rings

```

context UP_cring
begin

```

```

lemma exI3: assumes exist: "Pred x y z"
  shows "∃ x y z. Pred x y z"
  using exist by blast

```

Jacobson's Theorem 2.14

```

lemma long_div_theorem:
  assumes g_in_P [simp]: "g ∈ carrier P" and f_in_P [simp]: "f ∈ carrier
P"
  and g_not_zero: "g ≠ 0p"
  shows "∃ q r (k::nat). (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ (lcoeff
g)(~)Rk ⊙p f = g ⊗p q ⊕p r ∧ (r = 0p | deg R r < deg R g)"
  using f_in_P
proof (induct "deg R f" arbitrary: "f" rule: nat_less_induct)
  case (1 f)
  note f_in_P [simp] = "1.premis"
  let ?pred = "(λ q r (k::nat).
    (q ∈ carrier P) ∧ (r ∈ carrier P)
    ∧ (lcoeff g)(~)Rk ⊙p f = g ⊗p q ⊕p r ∧ (r = 0p | deg R r < deg R
g))"

```

```

let ?lg = "lcoeff g" and ?lf = "lcoeff f"
show ?case
proof (cases "deg R f < deg R g")
  case True
  have "?pred 0p f 0" using True by force
  then show ?thesis by blast
next
case False then have deg_g_le_deg_f: "deg R g ≤ deg R f" by simp
{
  let ?k = "1::nat"
  let ?f1 = "(g ⊗p (monom P (?lf) (deg R f - deg R g))) ⊕p ⊖p (?lg
⊖p f)"
  let ?q = "monom P (?lf) (deg R f - deg R g)"
  have f1_in_carrier: "?f1 ∈ carrier P" and q_in_carrier: "?q ∈ carrier
P" by simp_all
  show ?thesis
  proof (cases "deg R f = 0")
    case True
    {
      have deg_g: "deg R g = 0" using True using deg_g_le_deg_f by
simp
      have "?pred f 0p 1"
        using deg_zero_impl_monom [OF g_in_P deg_g]
        using sym [OF monom_mult_is_smult [OF coeff_closed [OF g_in_P,
of 0] f_in_P]]
        using deg_g by simp
      then show ?thesis by blast
    }
  next
  case False note deg_f_nzero = False
  {
    have exist: "lcoeff g (^) ?k ⊖p f = g ⊗p ?q ⊕p ⊖p ?f1"
      by (simp add: minus_add r_neg sym [
OF a_assoc [of "g ⊗p ?q" "⊖p (g ⊗p ?q)" "lcoeff g ⊖p f"]])
    have deg_remainder_l_f: "deg R (⊖p ?f1) < deg R f"
    proof (unfold deg_uminus [OF f1_in_carrier])
      show "deg R ?f1 < deg R f"
    proof (rule deg_lcoeff_cancel)
      show "deg R (⊖p (?lg ⊖p f)) ≤ deg R f"
        using deg_smult_ring [of ?lg f]
        using lcoeff_nonzero2 [OF g_in_P g_not_zero] by simp
      show "deg R (g ⊗p ?q) ≤ deg R f"
        by (simp add: monom_deg_mult [OF f_in_P g_in_P deg_g_le_deg_f,
of ?lf])
      show "coeff P (g ⊗p ?q) (deg R f) = ⊖ coeff P (⊖p (?lg
⊖p f)) (deg R f)"
        unfolding coeff_mult [OF g_in_P monom_closed
[OF lcoeff_closed [OF f_in_P],
of "deg R f - deg R g"], of "deg R f"]

```

```

      unfolding coeff_monom [OF lcoeff_closed
        [OF f_in_P], of "(deg R f - deg R g)"]
      using R.finsum_cong' [of "{..deg R f}" "{..deg R f}"
        "(λi. coeff P g i ⊗ (if deg R f - deg R g = deg R f
- i then ?lf else 0))"
        "(λi. if deg R g = i then coeff P g i ⊗ ?lf else 0)"]
      using R.finsum_singleton [of "deg R g" "{.. deg R f}"
        "(λi. coeff P g i ⊗ ?lf)"]
      unfolding Pi_def using deg_g_le_deg_f by force
      qed (simp_all add: deg_f_nzero)
    qed
    then obtain q' r' k'
      where rem_desc: "?lg (^) (k'::nat) ⊙P (⊖P ?f1) = g ⊗P q'
⊕P r'"
      and rem_deg: "(r' = 0P ∨ deg R r' < deg R g)"
      and q'_in_carrier: "q' ∈ carrier P" and r'_in_carrier: "r'
∈ carrier P"
      using "1.hyps" using f1_in_carrier by blast
      show ?thesis
      proof (rule exI3 [of _ "((?lg (^) k') ⊙P ?q ⊕P q')" r' "Suc
k'"], intro conjI)
        show "(?lg (^) (Suc k')) ⊙P f = g ⊗P ((?lg (^) k') ⊙P ?q
⊕P q') ⊕P r'"
        proof -
          have "(?lg (^) (Suc k')) ⊙P f = (?lg (^) k') ⊙P (g ⊗P
?q ⊕P ⊖P ?f1)"
            using smult_assoc1 [OF _ _ f_in_P] using exist by simp
            also have "... = (?lg (^) k') ⊙P (g ⊗P ?q) ⊕P ((?lg (^)
k') ⊙P (⊖P ?f1))"
              using UP_smult_r_distr by simp
            also have "... = (?lg (^) k') ⊙P (g ⊗P ?q) ⊕P (g ⊗P q'
⊕P r')"
              unfolding rem_desc ..
            also have "... = (?lg (^) k') ⊙P (g ⊗P ?q) ⊕P g ⊗P q' ⊕P
r'"
              using sym [OF a_assoc [of "?lg (^) k' ⊙P (g ⊗P ?q)" "g
⊗P q'" "r'"]]
            using r'_in_carrier q'_in_carrier by simp
            also have "... = (?lg (^) k') ⊙P (?q ⊗P g) ⊕P q' ⊗P g ⊕P
r'"
              using q'_in_carrier by (auto simp add: m_comm)
            also have "... = (((?lg (^) k') ⊙P ?q) ⊗P g) ⊕P q' ⊗P g
⊕P r'"
              using smult_assoc2 q'_in_carrier "1.prem" by auto
            also have "... = ((?lg (^) k') ⊙P ?q ⊕P q') ⊗P g ⊕P r'"
              using sym [OF l_distr] and q'_in_carrier by auto
            finally show ?thesis using m_comm q'_in_carrier by auto
          qed
        qed (simp_all add: rem_deg q'_in_carrier r'_in_carrier)

```

```

    }
  qed
}
qed
qed

```

```
end
```

The remainder theorem as corollary of the long division theorem.

```
context UP_cring
begin
```

```
lemma deg_minus_monom:
```

```
  assumes a: "a ∈ carrier R"
  and R_not_trivial: "(carrier R ≠ {0})"
  shows "deg R (monom P 1R 1 ⊖P monom P a 0) = 1"
  (is "deg R ?g = 1")
```

```
proof -
```

```
  have "deg R ?g ≤ 1"
```

```
  proof (rule deg_aboveI)
```

```
    fix m
```

```
    assume "(1::nat) < m"
```

```
    then show "coeff P ?g m = 0"
```

```
      using coeff_minus using a by auto algebra
```

```
  qed (simp add: a)
```

```
  moreover have "deg R ?g ≥ 1"
```

```
  proof (rule deg_belowI)
```

```
    show "coeff P ?g 1 ≠ 0"
```

```
      using a using R.carrier_one_not_zero R_not_trivial by simp algebra
```

```
  qed (simp add: a)
```

```
  ultimately show ?thesis by simp
```

```
qed
```

```
lemma lcoeff_monom:
```

```
  assumes a: "a ∈ carrier R" and R_not_trivial: "(carrier R ≠ {0})"
```

```
  shows "lcoeff (monom P 1R 1 ⊖P monom P a 0) = 1"
```

```
  using deg_minus_monom [OF a R_not_trivial]
```

```
  using coeff_minus a by auto algebra
```

```
lemma deg_nzero_nzero:
```

```
  assumes deg_p_nzero: "deg R p ≠ 0"
```

```
  shows "p ≠ 0P"
```

```
  using deg_zero deg_p_nzero by auto
```

```
lemma deg_monom_minus:
```

```
  assumes a: "a ∈ carrier R"
```

```
  and R_not_trivial: "carrier R ≠ {0}"
```

```
  shows "deg R (monom P 1R 1 ⊖P monom P a 0) = 1"
```

```
  (is "deg R ?g = 1")
```



```

proof -
  have "deg R ?g ≤ 1"
  proof (rule deg_aboveI)
    fix m::nat assume "1 < m" then show "coeff P ?g m = 0"
      using coeff_minus [OF monom_closed [OF R.one_closed, of 1] monom_closed
[OF a, of 0], of m]
      using coeff_monom [OF R.one_closed, of 1 m] using coeff_monom [OF
a, of 0 m] by auto algebra
    qed (simp add: a)
  moreover have "1 ≤ deg R ?g"
  proof (rule deg_belowI)
    show "coeff P ?g 1 ≠ 0"
      using coeff_minus [OF monom_closed [OF R.one_closed, of 1] monom_closed
[OF a, of 0], of 1]
      using coeff_monom [OF R.one_closed, of 1 1] using coeff_monom [OF
a, of 0 1]
      using R_not_trivial using R.carrier_one_not_zero
      by auto algebra
    qed (simp add: a)
  ultimately show ?thesis by simp
qed

```

```

lemma eval_monom_expr:
  assumes a: "a ∈ carrier R"
  shows "eval R R id a (monom P 1R 1 ⊖P monom P a 0) = 0"
  (is "eval R R id a ?g = 0")
proof -
  interpret UP_pre_univ_prop R R id by unfold_locales simp
  have eval_ring_hom: "eval R R id a ∈ ring_hom P R" using eval_ring_hom
[OF a] by simp
  interpret ring_hom_cring P R "eval R R id a" by unfold_locales (rule
eval_ring_hom)
  have mon1_closed: "monom P 1R 1 ∈ carrier P"
  and mon0_closed: "monom P a 0 ∈ carrier P"
  and min_mon0_closed: "⊖P monom P a 0 ∈ carrier P"
  using a R.a_inv_closed by auto
  have "eval R R id a ?g = eval R R id a (monom P 1 1) ⊖ eval R R id
a (monom P a 0)"
  unfolding P.minus_eq [OF mon1_closed mon0_closed]
  unfolding hom_add [OF mon1_closed min_mon0_closed]
  unfolding hom_a_inv [OF mon0_closed]
  using R.minus_eq [symmetric] mon1_closed mon0_closed by auto
  also have "... = a ⊖ a"
  using eval_monom [OF R.one_closed a, of 1] using eval_monom [OF a
a, of 0] using a by simp
  also have "... = 0"
  using a by algebra
  finally show ?thesis by simp
qed

```

```

lemma remainder_theorem_exist:
  assumes f: "f ∈ carrier P" and a: "a ∈ carrier R"
  and R_not_trivial: "carrier R ≠ {0}"
  shows "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = (monom P 1R
1 ⊖P monom P a 0) ⊗P q ⊕P r ∧ (deg R r = 0)"
  (is "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = ?g ⊗P q ⊕P r ∧
(deg R r = 0)")
proof -
  let ?g = "monom P 1R 1 ⊖P monom P a 0"
  from deg_minus_monom [OF a R_not_trivial]
  have deg_g_nzero: "deg R ?g ≠ 0" by simp
  have "∃ q r (k::nat). q ∈ carrier P ∧ r ∈ carrier P ∧
lcoeff ?g (^) k ⊖P f = ?g ⊗P q ⊕P r ∧ (r = 0P ∨ deg R r < deg R
?g)"
  using long_div_theorem [OF _ f deg_nzero_nzero [OF deg_g_nzero]] a
  by auto
  then show ?thesis
  unfolding lcoeff_monom [OF a R_not_trivial]
  unfolding deg_monom_minus [OF a R_not_trivial]
  using smult_one [OF f] using deg_zero by force
qed

```

```

lemma remainder_theorem_expression:
  assumes f [simp]: "f ∈ carrier P" and a [simp]: "a ∈ carrier R"
  and q [simp]: "q ∈ carrier P" and r [simp]: "r ∈ carrier P"
  and R_not_trivial: "carrier R ≠ {0}"
  and f_expr: "f = (monom P 1R 1 ⊖P monom P a 0) ⊗P q ⊕P r"
  (is "f = ?g ⊗P q ⊕P r" is "f = ?gq ⊕P r")
  and deg_r_0: "deg R r = 0"
  shows "r = monom P (eval R R id a f) 0"
proof -
  interpret UP_pre_univ_prop R R id P by standard simp
  have eval_ring_hom: "eval R R id a ∈ ring_hom P R"
  using eval_ring_hom [OF a] by simp
  have "eval R R id a f = eval R R id a ?gq ⊕R eval R R id a r"
  unfolding f_expr using ring_hom_add [OF eval_ring_hom] by auto
  also have "... = ((eval R R id a ?g) ⊗ (eval R R id a q)) ⊕R eval R
R id a r"
  using ring_hom_mult [OF eval_ring_hom] by auto
  also have "... = 0 ⊕ eval R R id a r"
  unfolding eval_monom_expr [OF a] using eval_ring_hom
  unfolding ring_hom_def using q unfolding Pi_def by simp
  also have "... = eval R R id a r"
  using eval_ring_hom unfolding ring_hom_def using r unfolding Pi_def
  by simp
  finally have eval_eq: "eval R R id a f = eval R R id a r" by simp
  from deg_zero_impl_monom [OF r deg_r_0]
  have "r = monom P (coeff P r 0) 0" by simp

```

```

with eval_const [OF a, of "coeff P r 0"] eval_eq
show ?thesis by auto
qed

corollary remainder_theorem:
  assumes f [simp]: "f ∈ carrier P" and a [simp]: "a ∈ carrier R"
  and R_not_trivial: "carrier R ≠ {0}"
  shows "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧
    f = (monom P 1R 1 ⊖P monom P a 0) ⊗P q ⊕P monom P (eval R R id a
f) 0"
  (is "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = ?g ⊗P q ⊕P monom
P (eval R R id a f) 0")
proof -
  from remainder_theorem_exist [OF f a R_not_trivial]
  obtain q r
    where q_r: "q ∈ carrier P ∧ r ∈ carrier P ∧ f = ?g ⊗P q ⊕P r"
    and deg_r: "deg R r = 0" by force
  with remainder_theorem_expression [OF f a _ _ R_not_trivial, of q r]
  show ?thesis by auto
qed

end

```

15.13 Sample Application of Evaluation Homomorphism

```

lemma UP_pre_univ_propI:
  assumes "cring R"
  and "cring S"
  and "h ∈ ring_hom R S"
  shows "UP_pre_univ_prop R S h"
  using assms
  by (auto intro!: UP_pre_univ_prop.intro ring_hom_cring.intro
    ring_hom_cring_axioms.intro UP_cring.intro)

definition
  INTEG :: "int ring"
  where "INTEG = (|carrier = UNIV, mult = op *, one = 1, zero = 0, add
= op +|)"

lemma INTEG_cring: "cring INTEG"
  by (unfold INTEG_def) (auto intro!: cringI abelian_groupI comm_monoidI
    left_minus distrib_right)

lemma INTEG_id_eval:
  "UP_pre_univ_prop INTEG INTEG id"
  by (fast intro: UP_pre_univ_propI INTEG_cring id_ring_hom)

```

Interpretation now enables to import all theorems and lemmas valid in the context of homomorphisms between INTEG and UP INTEG globally.

```
interpretation INTEG: UP_pre_univ_prop INTEG INTEG id "UP INTEG"
  using INTEG_id_eval by simp_all

lemma INTEG_closed [intro, simp]:
  "z ∈ carrier INTEG"
  by (unfold INTEG_def) simp

lemma INTEG_mult [simp]:
  "mult INTEG z w = z * w"
  by (unfold INTEG_def) simp

lemma INTEG_pow [simp]:
  "pow INTEG z n = z ^ n"
  by (induct n) (simp_all add: INTEG_def nat_pow_def)

lemma "eval INTEG INTEG id 10 (monom (UP INTEG) 5 2) = 500"
  by (simp add: INTEG.eval_monom)

end
```

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