

Axiomatic theory of hereditarily finite sets and its
fragments.

Štěpán Holub Zuzana Haniková

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Abstract

Axiomatization of the theory of hereditarily finite sets in classical first-order logic is systematically explored and formalized. Our list of axioms includes the usual ones of the Zermelo-Fraenkel set theory, as well as some of their less usual variants and alternatives. The strongest theory considered is ZFfin, obtained from ZF by replacing the axiom of infinity with its negation and adding the axiom of transitive closure. Of particular interest are the axioms used in the set fragment of the Alternative Set Theory by Vopěnka [10, 7, 8, 9], which provide a different approach to axiomatizing ZFfin. The hierarchy of fragments of ZFfin is represented as a hierarchy of locales.

Set-theoretic membership, the only basic predicate in the language of the theory, is rendered as a polymorphic binary predicate. Accordingly, first-order formulas of this language are understood as predicates over that type. ZFfin is not finitely axiomatizable, so it is necessary to use axiom schemata. To that end, an inductive definition of set-theoretically definable predicates is introduced and their basic properties are elaborated.

A major aim of the formalization is to study several axioms of finiteness and to formalize the equivalence of the fragments in which these axioms are used. In particular, we formalize the equivalence of ZFfin and the set fragment of Vopěnka's Alternative Set Theory, where the latter uses the schema of induction for sets as a finiteness principle. Next to that, Tarski finiteness and Dedekind finiteness are used. The formalization also focuses on the axioms of transitive closure, regularity, and the axiom schema of epsilon induction and their interplay in the context of various fragments.

Hereditarily finite sets, previously formalized by Paulson, satisfy the theory ZFfin. To demonstrate independence of some statements, several non-standard models of specific fragments are constructed. We formalize the Beranys-Rieger method of permutation models, and using the construction of a model dating back to [6] we formalize the proof that neither the scheme of regularity nor the existence of a transitive closure follow from regularity for finite sets.

This formalization takes inspiration from various sources but does not follow closely any of them. In addition to already cited literature, it covers some results from [1], [2], [4] and [3].

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Chapter 1

Fragments of Zermelo-Fraenkel set theory without infinity

```
theory ZFfin
imports Main
begin
```

We explore axiomatizations of the theory of hereditarily finite sets, their fragments and relationships between them.

1.1 Preliminaries

```
lemma ex-or-iff-or [simp]:  $(\exists w. (w = x \vee w = y) \wedge P\ u\ w) \longleftrightarrow (P\ u\ x \vee P\ u\ y)$ 
  by blast
```

— A tautology recording the behaviour of bounded quantifiers. The logical equivalence between the axiom schemata *regsch* and *epsind* below is a particular instance.

```
lemma abstract-foundation-iff:  $((\exists x. P\ x) \longrightarrow (\exists x. B\ x \wedge P\ x)) \longleftrightarrow (\forall x. B\ x \longrightarrow \neg P\ x) \longrightarrow (\forall x. \neg P\ x)$ 
  by auto
```

1.2 Signature

1.2.1 Membership and basic set-theoretic notions

```
locale set-signature =
  fixes membership :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\varepsilon$  50)
```

```
begin
```

General relation between regularity schema and epsilon-induction scheme. Applying the above remark about B-induction and B-foundation

thm *abstract-foundation-iff*[of $\lambda x. \neg P x \lambda x. (\forall y. y \in x \longrightarrow (P y))$], *unfolded not-not, symmetric*]

thm *abstract-foundation-iff*[of $\lambda y. P y \lambda x. (\forall y. y \in x \longrightarrow \neg (P y))$], *unfolded not-not*]

1.2.2 Set theoretical notions

We use "M" (as in "Menge") for our defined versions of set-theoretical concepts, built upon membership.

definition *subsetM* :: 'a \Rightarrow 'a \Rightarrow bool (- \subseteq_M - [51,51] 53) **where**
subsetM x y $\equiv (\forall z. z \in x \longrightarrow z \in y)$

lemma *subsetM-refl*[simp]: x \subseteq_M x
unfolding *subsetM-def* **by** *blast*

lemma *subsetM-trans*[simp]: **assumes** x \subseteq_M y y \subseteq_M z **shows** x \subseteq_M z
using *assms subsetM-def* **by** *simp*

definition *proper-subsetM* :: 'a \Rightarrow 'a \Rightarrow bool (- \subset_M - [50,50] 50) **where**
proper-subsetM x y $\equiv (\forall z::'a. z \in x \longrightarrow z \in y) \wedge x \neq y$

named-theorems *set-defs*

lemmas[*set-defs*] = *proper-subsetM-def subsetM-def*

lemma *proper-subset-def'*: x \subset_M y \longleftrightarrow x \subseteq_M y \wedge x \neq y
unfolding *set-defs* **by** *blast*

lemma *proper-subsetI*: x \subseteq_M y \Longrightarrow x \neq y \Longrightarrow x \subset_M y
unfolding *set-defs* **by** *blast*

lemma *proper-subsetM-irrefl*[simp]: \neg x \subset_M x
unfolding *set-defs* **by** *simp*

definition *transM*:: 'a \Rightarrow bool **where**
transM x $\equiv \forall y. y \in x \longrightarrow y \subseteq_M x$

Hilbert's description operator *The* is used to define sets that are determined by their elements. Resulting set-theoretic operations can and will be used in appropriate contexts only that guarantee the existence of corresponding sets, and their unicity (provable with extensionality).

definition *collectM* :: ('a \Rightarrow bool) \Rightarrow 'a **where**
collectM Q $\equiv THE z. (\forall u. (u \in z \longleftrightarrow Q u))$

definition *emptysetM* (\emptyset) **where**
emptysetM $\equiv collectM (\lambda x. x \neq x)$

definition *separationM* :: 'a \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a **where**
separationM y Q $\equiv collectM (\lambda u. u \in y \wedge Q u)$

definition *powersetM* :: 'a ⇒ 'a (**℘**) **where**

powersetM x ≡ *collectM* (λ u. u ⊆_M x)

definition *binunionM* :: 'a ⇒ 'a ⇒ 'a (**infixl** ∪_M 55) **where**

binunionM x y ≡ *collectM* (λ u. u ∈ x ∨ u ∈ y)

definition *intersectionM* :: 'a ⇒ 'a ⇒ 'a (- ∩_M - [55,54] 60) **where**

intersectionM x y ≡ *collectM* (λ u. u ∈ x ∧ u ∈ y)

lemma *intersection-symm*: x ∩_M y = y ∩_M x

unfolding *intersectionM-def* **by** *metis*

definition *unionM* :: 'a ⇒ 'a (∪_M) **where**

unionM x ≡ *collectM* (λ u. (∃ v. v ∈ x ∧ u ∈ v))

definition *differenceM* :: 'a ⇒ 'a ⇒ 'a (- _M -) **where**

differenceM x y ≡ *collectM* (λ u. u ∈ x ∧ ¬ u ∈ y)

definition *singletonM* :: 'a ⇒ 'a ({-}_M 70) **where**

singletonM x ≡ *collectM* (λ u. u = x)

definition *pairM* :: 'a ⇒ 'a ⇒ 'a ({-,}-_M [51,51] 60) **where**

pairM x y ≡ *collectM* (λ u. u = x ∨ u = y)

— Set successor. Also known as adjunction.

definition *setsucM* :: 'a ⇒ 'a ⇒ 'a (**suc**) **where**

setsucM x y ≡ *collectM* (λ u. u ∈ x ∨ u = y)

definition *replaceM* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a **where**

replaceM P x ≡ *collectM* (λ u. ∃ v. v ∈ x ∧ P v u)

definition *leastM* :: ('a ⇒ bool) ⇒ 'a (∩_M) **where**

leastM Q ≡ *collectM* (λ u. ∀ y. Q y ⟶ u ∈ y)

Least transitive superset

definition *least-tsM* :: 'a ⇒ 'a **where**

least-tsM x = ∩_M (λ y. *transM* y ∧ x ⊆_M y)

definition *ordered-pairM* :: 'a ⇒ 'a ⇒ 'a (<-,> [51,51] 60) **where**

ordered-pairM a b ≡ {{a}_M, {a,b}_M}_M

definition *relationM* :: 'a ⇒ bool **where**

relationM x ≡ ∀ v. v ∈ x ⟶ (∃ a b. v = ⟨a,b⟩)

definition *rel-inverseM* :: 'a ⇒ 'a **where**

rel-inverseM r ≡ *collectM* (λ u. ∃ a b. ⟨a,b⟩ ∈ r ∧ u = ⟨b,a⟩)

definition *functionM* :: 'a ⇒ bool **where**

functionM $x \equiv \text{relationM } x \wedge (\forall a b c. \langle a, b \rangle \varepsilon x \wedge \langle a, c \rangle \varepsilon x \longrightarrow b = c)$

lemma *funD*: *functionM* $f \Longrightarrow \langle a, b \rangle \varepsilon f \Longrightarrow \langle a, c \rangle \varepsilon f \Longrightarrow b = c$
unfolding *functionM-def* **by** *blast*

definition *domM* :: 'a \Rightarrow 'a **where**
domM $r \equiv \text{collectM } (\lambda u. \exists v. \langle u, v \rangle \varepsilon r)$

definition *rngM* :: 'a \Rightarrow 'a **where**
rngM $r \equiv \text{collectM } (\lambda u. \exists v. \langle v, u \rangle \varepsilon r)$

definition *one-oneM* :: 'a \Rightarrow bool **where**
one-oneM $f \equiv \text{functionM } f \wedge (\forall a b c. \langle b, a \rangle \varepsilon f \wedge \langle c, a \rangle \varepsilon f \longrightarrow b = c)$

lemma *one-one-inj*: *one-oneM* $f \Longrightarrow \langle b, a \rangle \varepsilon f \Longrightarrow \langle c, a \rangle \varepsilon f \Longrightarrow b = c$
unfolding *one-oneM-def* **by** *blast*

lemma *one-oneI*: **assumes** $\forall v. v \varepsilon f \longrightarrow (\exists a b. v = \langle a, b \rangle) (\forall a b c. \langle b, a \rangle \varepsilon f \wedge \langle c, a \rangle \varepsilon f \longrightarrow b = c) (\forall a b c. \langle a, b \rangle \varepsilon f \wedge \langle a, c \rangle \varepsilon f \longrightarrow b = c)$
shows *one-oneM* f
using *assms* **unfolding** *one-oneM-def* *functionM-def* *relationM-def* **by** *blast+*

lemma *one-oneD1*: *one-oneM* $f \Longrightarrow \forall v. v \varepsilon f \longrightarrow (\exists a b. v = \langle a, b \rangle)$
unfolding *one-oneM-def* *functionM-def* *relationM-def* **by** *blast*

lemma *one-oneD2*: *one-oneM* $f \Longrightarrow (\forall a b c. \langle b, a \rangle \varepsilon f \wedge \langle c, a \rangle \varepsilon f \longrightarrow b = c)$
unfolding *one-oneM-def* *functionM-def* *relationM-def* **by** *blast*

lemma *one-oneD3*: *one-oneM* $f \Longrightarrow (\forall a b c. \langle a, b \rangle \varepsilon f \wedge \langle a, c \rangle \varepsilon f \longrightarrow b = c)$
unfolding *one-oneM-def* *functionM-def* *relationM-def* **by** *blast*

definition *set-equivalent* :: 'a \Rightarrow 'a \Rightarrow bool ($- \approx_M$ - [51,51] 52) **where**
set-equivalent $x y \equiv (\exists f. \text{one-oneM } f \wedge x = \text{domM } f \wedge y = \text{rngM } f)$

definition *cartesian-productM* :: 'a \Rightarrow 'a \Rightarrow 'a ($- \times_M$ - [51,51] 60) **where**
cartesian-productM $x y \equiv \text{collectM } (\lambda u. \exists v_1 v_2. v_1 \varepsilon x \wedge v_2 \varepsilon y \wedge u = \langle v_1, v_2 \rangle)$

definition *composeM* :: 'a \Rightarrow 'a \Rightarrow 'a ($- \circ_M$ - [51,51] 60) **where**
 $f \circ_M g = \text{collectM } (\lambda u. \exists a b c. \langle a, b \rangle \varepsilon g \wedge \langle b, c \rangle \varepsilon f \wedge u = \langle a, c \rangle)$

definition *tarski-fin* :: 'a \Rightarrow bool **where**
tarski-fin $x \equiv \forall y. (\forall z. z \varepsilon y \longrightarrow z \subseteq_M x) \longrightarrow (\exists z. z \varepsilon y) \longrightarrow (\exists z. z \varepsilon y \wedge \neg(\exists w. w \varepsilon y \wedge z \subset_M w))$

lemma *tarski-subset-tarski*: **assumes** *tarski-fin* $x y \subseteq_M x$ **shows** *tarski-fin* y
using *assms* **unfolding** *tarski-fin-def* *subsetM-def* **by** *presburger*

definition *dedekind-fin* :: 'a \Rightarrow bool **where**
dedekind-fin $x \equiv \forall y. (y \subset_M x \longrightarrow \neg x \approx_M y)$

— x is regular if it contains no infinite ε -decreasing chain as a subset

definition *regular* :: 'a \Rightarrow bool **where**

regular $x \equiv \forall y. y \subseteq_M x \longrightarrow (\exists z. z \varepsilon y) \longrightarrow (\exists z. z \varepsilon y \wedge (\forall v. \neg (v \varepsilon z \wedge v \varepsilon y)))$

— Ordinals and natural numbers

definition *epschain* :: 'a \Rightarrow bool **where**

epschain $x \equiv \text{transM } x \wedge (\forall y z. y \varepsilon x \wedge z \varepsilon x \longrightarrow y \varepsilon z \vee y = z \vee z \varepsilon y)$

— In theories that do not rely on the regularity axiom, ordinals are explicitly assumed not to contain infinite ε -decreasing chains

definition *ordM* :: 'a \Rightarrow bool **where**

ordM $x \equiv \text{epschain } x \wedge \text{regular } x$

lemma *ordM-I*: *regular* $x \Longrightarrow \text{epschain } x \Longrightarrow \text{ordM } x$

using *ordM-def* **by** *blast*

lemma *ordM-regular[simp]*: *ordM* $x \Longrightarrow \text{regular } x$

unfolding *ordM-def* **by** *blast*

lemma *ordM-D1*: *ordM* $x \Longrightarrow y \varepsilon x \Longrightarrow y \subseteq_M x$

using *ordM-def* **unfolding** *transM-def epschain-def* **by** *blast*

lemma *ordM-trans*: **assumes** *ordM* v $w \varepsilon u$ $u \varepsilon v$ **shows** $w \varepsilon v$

using *assms ordM-D1 subsetM-def* **by** *blast*

lemma *ordM-D2*: *ordM* $x \Longrightarrow y \varepsilon x \Longrightarrow z \varepsilon x \Longrightarrow y \varepsilon z \vee y = z \vee z \varepsilon y$

using *ordM-def epschain-def* **by** *blast*

definition *is-sucM* :: 'a \Rightarrow bool **where**

is-sucM $x \equiv \exists y. (\forall z. z \varepsilon x \longleftrightarrow z \varepsilon y \vee z = y)$

— A natural number is an ordinal x such that: x and all its elements are either empty or a successor

definition *natM* :: 'a \Rightarrow bool **where**

natM $x \equiv \text{ordM } x \wedge (\forall v. (v \varepsilon x \vee v = x) \longrightarrow (\exists u. u \varepsilon v) \longrightarrow \text{is-sucM } v)$

lemma *natM-I*: *ordM* $x \Longrightarrow \text{is-sucM } x \Longrightarrow (\bigwedge v. \exists u. u \varepsilon v \Longrightarrow v \varepsilon x \Longrightarrow \text{is-sucM } v) \Longrightarrow \text{natM } x$

unfolding *natM-def* **by** *blast*

lemma *nat-is-suc*: *natM* $x \Longrightarrow \exists u. u \varepsilon x \Longrightarrow \text{is-sucM } x$

unfolding *natM-def* **by** *blast*

lemma *nat-mem-is-suc*: *natM* $x \Longrightarrow v \varepsilon x \Longrightarrow \exists u. u \varepsilon v \Longrightarrow \text{is-sucM } v$

unfolding *natM-def* **by** *blast*

lemma *natM-D*: $\text{natM } x \implies \text{ordM } x$
using *natM-def* **by** *blast*

definition *cardinality* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ **where**
cardinality $x \ n \equiv \text{natM } n \wedge x \approx_M n$

lemmas[*set-defs*] = *transM-def epschain-def ordM-def tarski-fin-def is-sucM-def*
natM-def functionM-def relationM-def one-oneM-def rngM-def domM-def regular-def
cardinality-def set-equivalent-def

1.2.3 Set relations and properties

We represent a set formula as the predicate it defines. A predicate assigns truth values to assignments. We consider countably many variables x_i , represented by natural numbers. An assignment maps variables to elements of the domain, i.e., it maps *nat* to $'a$. Predicates defined by set formulas are defined inductively.

inductive *SetFormulaPredicate* :: $((\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where
SFP-mem: $\bigwedge m \ n. \text{SetFormulaPredicate } (\lambda \Xi. (\Xi \ m) \ \varepsilon \ (\Xi \ n))$ — formula $x_m \ \varepsilon \ x_n$
|i *SFP-eq*: $\bigwedge m \ n. \text{SetFormulaPredicate } (\lambda \Xi. (\Xi \ m) = (\Xi \ n))$ — formula $x_m = x_n$
|i *SFP-neg*: $\text{SetFormulaPredicate } P \implies \text{SetFormulaPredicate } (\lambda \Xi. \neg P \ \Xi)$ — formula $\neg \varphi$
|i *SFP-disj*: $\text{SetFormulaPredicate } P \implies \text{SetFormulaPredicate } Q$
 $\implies \text{SetFormulaPredicate } (\lambda \Xi. P \ \Xi \vee Q \ \Xi)$ — formula $\varphi \vee \psi$
|i *SFP-all*: $\bigwedge n. \text{SetFormulaPredicate } P \implies \text{SetFormulaPredicate } (\lambda \Xi. \forall a. P \ (\Xi(n:=a)))$
— formula $\forall x_n. \varphi$

named-theorems *SFP-rules*

lemmas[*SFP-rules*] = *SFP-mem SFP-eq SFP-neg SFP-disj SFP-all*

Every set-theoretically definable predicate depends on finitely many values. Such values correspond to free variables.

lemma *bounded-free*: **assumes** *SetFormulaPredicate* P

shows $\exists m. \forall \Xi \ \Xi'. (\forall i < m. \Xi \ i = \Xi' \ i) \longrightarrow P(\Xi) = P(\Xi')$

proof (*rule* *SetFormulaPredicate.induct*[*OF* *assms*, *of* $\lambda Q. (\exists m. \forall \Xi \ \Xi'. (\forall i < m. \Xi \ i = \Xi' \ i) \longrightarrow Q(\Xi) = Q(\Xi'))$])

let $?Q = \lambda x. \text{True}$

show $\exists \text{max}. \forall \Xi \ \Xi'. (\forall i < \text{max}. \Xi \ i = \Xi' \ i) \longrightarrow (\Xi \ m \ \varepsilon \ \Xi \ n) = (\Xi' \ m \ \varepsilon \ \Xi' \ n)$

for $m \ n :: \text{nat}$

by (*rule* *exI*[*of* - *Suc*(*max* $m \ n$)]) *force*

show $\exists \text{max}. \forall \Xi \ \Xi'. (\forall i < \text{max}. \Xi \ i = \Xi' \ i) \longrightarrow (\Xi \ m = \Xi \ n) = (\Xi' \ m = \Xi' \ n)$

for $m \ n :: \text{nat}$

by (*rule* *exI*[*of* - *Suc*(*max* $m \ n$)]) *force*

next

fix $Q :: (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$

```

assume  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi'$ 
then obtain  $m$  where  $\forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi'$ 
  by blast
then have  $\forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow (\neg Q \Xi) = (\neg Q \Xi')$ 
  by simp
thus  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow (\neg Q \Xi) = (\neg Q \Xi')$ 
  by blast
next
  fix  $Q R :: (nat \Rightarrow 'a) \Rightarrow bool$ 
  assume  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi' \exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow R \Xi = R \Xi'$ 
  then obtain  $max\text{-}Q\ max\text{-}R$  where  $\forall \Xi \Xi'. (\forall i < max\text{-}Q. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi' \forall \Xi \Xi'. (\forall i < max\text{-}R. \Xi i = \Xi' i) \longrightarrow R \Xi = R \Xi'$ 
  by blast
  hence  $\forall \Xi \Xi'. (\forall i < (max\ max\text{-}Q\ max\text{-}R). \Xi i = \Xi' i) \longrightarrow (Q \Xi \vee R \Xi) = (Q \Xi' \vee R \Xi')$ 
  unfolding less-max-iff-disj by metis
  thus  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow (Q \Xi \vee R \Xi) = (Q \Xi' \vee R \Xi')$ 
  by blast
next
  fix  $Q :: (nat \Rightarrow 'a) \Rightarrow bool$  and  $n :: nat$ 
  assume  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi'$ 
  then obtain  $max$  where  $max: (\forall i < max. \Xi i = \Xi' i) \longrightarrow Q \Xi = Q \Xi'$  for  $\Xi \Xi'$ 
  by blast
  have  $(\forall i < max. \Xi i = \Xi' i) \longrightarrow (\forall a. Q (\Xi(n := a))) = (\forall a. Q (\Xi'(n := a)))$ 
for  $\Xi \Xi'$ 
  using  $max[of\ \Xi(n := -)\ \Xi'(n := -)]$  by force
  thus  $\exists m. \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow (\forall a. Q (\Xi(n := a))) = (\forall a. Q (\Xi'(n := a)))$ 
  by blast
qed

```

— Renaming variables in any way (not necessarily permuting them) preserves the property of being a set-theoretically definable predicate.

lemma *transform-variables*: **assumes** *SetFormulaPredicate* P

shows *SetFormulaPredicate* $(\lambda \Xi. P (\lambda n. \Xi(f\ n)))$

using *assms*

proof(*induction arbitrary: f rule: SetFormulaPredicate.inducts*)

case (*SFP-mem* $m\ n$)

then show *?case*

using *set-signature.SFP-mem* **by** *blast*

next

case (*SFP-eq* $m\ n$)

then show *?case*

using *set-signature.SFP-eq* **by** *blast*

next

case (*SFP-neg* P)

then show *?case*

using *SetFormulaPredicate.SFP-neg* **by** *simp*

```

next
  case (SFP-disj P Q)
  then show ?case using SetFormulaPredicate.SFP-disj by simp
next
  case (SFP-all P n)
  then show ?case
  proof-
    obtain k where k: (∀ i < k. ∃ i = ∃' i) ⇒ P ∃ = P ∃' for ∃ ∃' :: nat ⇒ 'a
      using bounded-free[OF ‹SetFormulaPredicate P›] by blast
    obtain M where M-bound: (∀ b < k. f b < M)
      using finite-imageI[OF finite-Collect-less-nat, of f k, unfolded finite-nat-set-iff-bounded]
      by blast
    let ?M = Suc (M + n)
    let ?Q = λ a ∃. P ((λ b. ∃ (f b))(n:=a))
    have M: (∀ i < ?M. ∃ i = ∃' i) ⇒ ?Q a ∃ = ?Q a ∃' for ∃ ∃' :: nat ⇒ 'a
  and a
    by (rule k[of (λ b. ∃ (f b))(n := a) (λ b. ∃' (f b))(n := a)]) (use M-bound in auto)
    have fsimp: (λ b. (∃(p := a)) ((f(n := p)) b)) = (λ b. (∃(p := a)) (f b))(n:=a)
  for ∃ :: nat ⇒ 'a and a p
    by auto
    have M-irrelevant: P ((λ b. (∃(?M := a)) (f b))(n := a)) = P ((λ b. ∃ (f b))(n := a)) for ∃ a
    by (rule M) simp
    show ?thesis
      using set-signature.SFP-all[OF SFP-all(2)[of f(n:=?M)], of ?M]
      unfolding fsimp M-irrelevant.
  qed
qed

lemma update-variable[intro]: assumes SetFormulaPredicate P
  shows SetFormulaPredicate (λ ∃. P(∃(n:= ∃ m)))
  proof-
    have aux: (λ a. ∃ ((id(n:=m)) a)) = (∃ (n:=∃ m)) for ∃ :: nat ⇒ 'a
      by force
    show ?thesis
      by (rule transform-variables[OF assms, of id (n:=m), unfolded aux])
  qed

lemma swap-variables: assumes SetFormulaPredicate P
  shows SetFormulaPredicate (λ ∃. P (∃(k := ∃ l, l := ∃ k)))
  proof-
    have (λ n. ∃ ((id(k := l, l := k)) n)) = ∃(k := ∃ l, l := ∃ k) for ∃ :: nat ⇒ 'a
      by fastforce
    from transform-variables[OF assms, of id(k:=l,l:=k), unfolded this]
    show ?thesis.
  qed

```

A rule allowing to get rid of quantifiers when proving that a predicate is a

SetFormulaPredicate

lemma *sfp-all-rule4* [*SFP-rules*]: **assumes** $\wedge m. \text{SetFormulaPredicate } (\lambda \Xi. Q (\Xi m) (\Xi k1) (\Xi k2) (\Xi k3) (\Xi k4))$
shows $\text{SetFormulaPredicate } (\lambda \Xi. \forall y. Q y (\Xi k1) (\Xi k2) (\Xi k3) (\Xi k4))$
using *SFP-all*[*OF assms, of k1+k2+k3+k4+1 k1+k2+k3+k4+1*] **by** *simp*

named-theorems *logsimps*

lemmas[*logsimps*] = *not-not*

lemma *reduce-ex* [*logsimps*]: $(\lambda \Xi. (\exists z. Q z \Xi)) = (\lambda \Xi. \neg (\forall z. \neg (Q z \Xi)))$
by *blast*

lemma *reduce-and* [*logsimps*]: $(\lambda \Xi. (P \Xi) \wedge (Q \Xi)) = (\lambda \Xi. (\neg ((\neg (P \Xi)) \vee (\neg (Q \Xi))))))$
by *blast*

lemma *reduce-imp*[*logsimps*]: $(\lambda \Xi. (P \Xi) \longrightarrow (Q \Xi)) = (\lambda \Xi. (\neg (P \Xi) \vee (Q \Xi)))$
by *blast*

lemma *reduce-iff*[*logsimps*]: $(\lambda \Xi. (P \Xi) \longleftrightarrow (Q \Xi)) = (\lambda \Xi. \neg (\neg (\neg P \Xi \vee Q \Xi) \vee \neg (\neg Q \Xi \vee P \Xi)))$

unfolding *iff-conv-conj-imp* **unfolding** *logsimps* **by** *blast*

lemma *SFP-const*[*intro,simp*]: $\text{SetFormulaPredicate } (\lambda \Xi. b)$

by (*induct* *b*) (*use SFP-eq*[*of 0 0*] *SFP-neg*[*OF SFP-eq*[*of 0 0*]] **in force**)**+**

lemma *SFP-ex*: **assumes** $\text{SetFormulaPredicate } P$ **shows** $\text{SetFormulaPredicate } (\lambda \Xi. (\exists z. P (\Xi (m := z))))$

unfolding *logsimps* **by** (*rule SFP-neg, rule SFP-all, rule SFP-neg, fact*)

lemma *SFP-replace*: **assumes** $\text{SetFormulaPredicate } P$ **and** *fresh-m*: $\forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow P \Xi = P \Xi'$

shows $\text{SetFormulaPredicate}(\lambda \Xi. \exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon \Xi m \wedge P (\Xi (0:=u, 1:=v))))$

proof–

let *?f* = *id*(*0* := *m*+1, *1* := *m*+2)

let *?P* = $\lambda \Xi. P (\Xi (0 := \Xi(m+1), 1 := \Xi(m+2)))$

have *idsimp*: $(\lambda n. \Xi (?f n)) = \Xi (0 := \Xi(m+1), 1 := \Xi(m+2))$ **for** $\Xi :: \text{nat} \Rightarrow 'a$

by *fastforce*

have *Psimp*: $P (\Xi (m + 3 := z, m + 2 := v, m + 1 := u, 0 := (\Xi(m + 3 := z, m + 2 := v, m + 1 := u)) (m + 1), 1 := (\Xi(m + 3 := z, m + 2 := v, m + 1 := u)) (m + 2)))$

= $P (\Xi (0:=u, 1:=v))$ **for** $\Xi v u z$

by (*rule fresh-m*[*rule-format*]) *force*

have *sfp*: $\text{SetFormulaPredicate } ?P$

using *transform-variables*[*OF assms*(1), *of ?f*] **unfolding** *idsimp*.

have $\text{SetFormulaPredicate } (\lambda \Xi. \neg \Xi (m+1) \varepsilon \Xi m \vee \neg ?P \Xi)$

by (*rule SFP-rules*)**+** *fact*

from *SFP-all*[*OF this, of m+1, simplified fun-upd-same fun-upd-other*]

have $\text{SetFormulaPredicate } (\lambda \Xi. \forall z. \neg z \varepsilon \Xi m \vee \neg ?P (\Xi(m + 1 := z)))$

unfolding *logsimps* **by** *simp*

have $\text{SetFormulaPredicate } (\lambda \Xi. (\Xi (m+2) \varepsilon \Xi (m+3)) = (\exists u. u \varepsilon \Xi m \wedge ?P (\Xi(m+1 := u))))$

unfolding *logsimps* **by** (*rule SFP-rules* | *fact*)+
from *SFP-all*[*OF this, of m+2, simplified fun-upd-same fun-upd-other*]
have *SetFormulaPredicate* ($\lambda \Xi. \forall a. (a \varepsilon \Xi (m + 3)) = (\exists u. u \varepsilon \Xi m \wedge ?P (\Xi(m + 2 := a, m + 1 := u))))$) **by** *simp*
note *aux* = *SFP-all*[*OF SFP-neg*[*OF this, of m+3, simplified fun-upd-same fun-upd-other*]
have *SetFormulaPredicate*
($\lambda \Xi. \exists z. (\forall v. (v \varepsilon z) = (\exists u. u \varepsilon \Xi m \wedge ?P (\Xi(m + 3 := z, m + 2 := v, m + 1 := u))))$)
unfolding *logsimps* **using** *SFP-neg*[*OF aux*[*unfolded logsimps*]] **by** *simp*
then show *SetFormulaPredicate* ($\lambda \Xi. \exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon \Xi m \wedge P (\Xi(0 := u, 1 := v))))$)
unfolding *Psimp*.
qed

A (binary) relation is set-theoretically definable if the predicate it defines by assigning values to variables x_0 and x_1 is set-theoretically definable. The value of the relation therefore cannot depend on other variables than x_0 and x_1 . In other words, if a formula φ defining a set-theoretically definable relation contains a free variable x_k , $1 < k$, then it is equivalent to $\forall x_k. \varphi$

definition *SetRelation* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where

SetRelation $P \equiv \text{SetFormulaPredicate } (\lambda \Xi. P (\Xi 0) (\Xi 1))$

A set-theoretically definable property is defined similarly.

definition *SetProperty* :: ($'a \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where

SetProperty $P \equiv \text{SetFormulaPredicate } (\lambda \Xi. P (\Xi 0))$

Auxiliary proofs for specific useful set-relations and set-properties

lemma *SR-neg*[*simp, intro*]: *SetRelation* $P \Longrightarrow \text{SetRelation } (\lambda x y. \neg P x y)$

unfolding *SetRelation-def* **by** (*rule SFP-rules*)

lemma *SR-sym*[*simp, intro*]: **assumes** *SetRelation* P **shows** *SetRelation* $(\lambda x y. P y x)$

using *swap-variables*[*OF assms*[*unfolded SetRelation-def*], *of 0 1, simplified fun-upd-same fun-upd-other*]

unfolding *SetRelation-def*.

lemma *SR-neg*[*simp*]: *SetRelation* (\neq)

unfolding *SetRelation-def* **using** *SFP-eq SFP-neg* **by** *blast*

lemma *SP-const*[*simp*]: *SetProperty* $(\lambda x. b)$

unfolding *SetProperty-def* **using** *SFP-const*.

lemma *SP-neg*[*simp, intro*]: *SetProperty* $P \Longrightarrow \text{SetProperty } (\lambda x. \neg P x)$

unfolding *SetProperty-def* **using** *SFP-neg*.

lemma *SP-tarski[simp]*: *SetProperty tarski-fin*
unfolding *SetProperty-def logsimps set-defs* **by** *(rule SFP-rules)+*

lemma *SP-setsuc[simp]*: *SetProperty is-sucM*
unfolding *SetProperty-def logsimps set-defs* **by** *(rule SFP-rules)+*

lemma *SP-nat[simp]*: *SetProperty natM*
unfolding *SetProperty-def logsimps set-defs* **by** *(rule SFP-rules)+*

lemma *empty-mem-ord'*: **assumes** *ordM x* $\exists z. z \in x$
shows $\exists emp. (\forall u. \neg u \in emp) \wedge emp \in x$

proof–

obtain *u* **where** $u \in x \wedge \forall y. y \in u \longrightarrow \neg y \in x$
using $\langle ordM\ x \rangle \langle \exists z. z \in x \rangle$ *ordM-def regular-def subsetM-refl* **by** *metis*
have $y \in u \longrightarrow y \in x$ **for** *y*
using $\langle ordM\ x \rangle \langle u \in x \rangle$ *ordM-D1 subsetM-def* **by** *blast*
hence $\neg y \in u$ **for** *y*
using $\langle \forall y. y \in u \longrightarrow \neg y \in x \rangle$ **by** *simp*
then show *?thesis*
using $\langle u \in x \rangle$ **by** *blast*

qed

end

1.3 Axiomatizations of hereditarily finite sets

1.3.1 Finiteness axioms

The aim of each of the axioms is to guarantee that each element of the domain will be finite.

Usual axiom: there is no inductive set.

locale *L-fin = set-signature +*
assumes *fin*: $\neg (\exists x. \emptyset \in x \wedge (\forall y. y \in x \longrightarrow setsucM\ y\ y\ \in\ x))$

Induction schema for set formulas, a.k.a. set induction schema or just set induction. Not to be confused with epsilon induction.

locale *L-setind = set-signature +*
assumes *setind*: $\bigwedge P. SetFormulaPredicate\ P \implies$
 $P(\exists(0:=\emptyset)) \longrightarrow (\forall x\ y. P(\exists(0:=x)) \longrightarrow P(\exists(0:=setsucM\ x\ y))) \longrightarrow (\forall x.$
 $P(\exists(0:=x)))$
begin

lemma *setind-SP*: **assumes** *SetProperty P* **and** $P\ \emptyset$ **and** *step*: $\forall x\ y. P\ x \longrightarrow$
 $P\ (setsucM\ x\ y)$
shows $P\ x$
using *setind*[of $\lambda\ \exists. P(\exists\ 0)$, *folded SetProperty-def, OF assms(1), simplified*]
 $\langle P\ \emptyset \rangle$ *step* **by** *blast*

lemma *setind-var*: **assumes** *SetFormulaPredicate P P*($\Xi(n:= \emptyset)$) **and** *step*: $\forall x y. P(\Xi(n:= x)) \longrightarrow P(\Xi(n:= \text{setsucM } x \ y))$
shows $P(\Xi(n:= x))$
proof–
from *bounded-free*[*OF* $\langle \text{SetFormulaPredicate } P \rangle$]
obtain *m* **where** *m-def*: $P \ \Xi = P \ \Xi'$ **if** $\forall i < m. \Xi \ i = \Xi' \ i$ **for** $\Xi \ \Xi'$
by *blast*
let $?f = \text{id}(0 := \text{Suc } (n + m), n := 0)$
let $?Q = \lambda \ \Xi. (P (\lambda \ b. \Xi (?f \ b)))$
let $?X = \Xi(\text{Suc } (n + m) := \Xi \ 0)$
have *sfpq*: *SetFormulaPredicate* $?Q$
using *transform-variables*[*OF* $\langle \text{SetFormulaPredicate } P \rangle$] **by** *simp*
have *small*: $\forall i < m. (?X \ (0 := u)) (?f \ i) = (\Xi(n := u)) \ i$ **for** *u*
by *auto*
have *equiv*: $(?Q \ (?X \ (0 := u))) \longleftrightarrow (P \ (\Xi(n := u)))$ **for** *u*
by (*rule m-def*[*of* $\lambda \ b. (?X \ (0 := u))(?f \ b) \ \Xi(n := u)$]) (*rule small*)
show $P(\Xi(n:= x))$
unfolding *equiv*[*symmetric*]
by (*rule setind*[*rule-format*, *OF sfpq*, *of ?X*], *unfold equiv*)
(use assms in blast)+
qed
end

locale *L-dedekind* = *set-signature* +
assumes *dedekind*: $\forall x. \text{dedekind-fin } x$

locale *L-tarski* = *set-signature* +
assumes *tarski*: $\forall y. \text{tarski-fin } y$

1.3.2 Extensionality

locale *L-setext* = *set-signature* +
assumes *setext*: $x = y \longleftrightarrow (\forall z. z \in x \longleftrightarrow z \in y)$

begin

set in HOL is defined as a class. Therefore, the following comprehension is an axiom.

lemma $x \in \{u. P \ u\} \longleftrightarrow P \ x$
using *mem-Collect-eq*.

In case of (our) M-sets, only uniqueness, not existence is guaranteed by extensionality.

lemma *collect-def'*:
assumes $\forall u. (u \in w \longleftrightarrow Q \ u)$
shows $\text{collectM } Q = w$
proof–

let $?P = \lambda z. (\forall u. u \in z \longleftrightarrow Q u)$
have *unique*: $z' = w$ **if** $?P z'$ **for** z'
 unfolding *setext*[*rule-format*, *of z' w*]
 using *that assms* **by** *blast*
then show *?thesis*
 using *theI*[*of ?P w*, *OF assms unique*] **unfolding** *collectM-def* **by** *blast*
qed

lemma *collect-def-ex*: — A formulation useful in proofs
assumes $\exists w. \forall u. (u \in w \longleftrightarrow Q u)$
shows $u \in \text{collectM } Q \longleftrightarrow Q u$
using *assms collect-def' assms* **by** *auto*

lemma *proper-subset-diff*[*simp*] : $y \subset_M x \implies \exists z. z \in x \wedge \neg z \in y$
unfolding *proper-subsetM-def* *setext* **by** *blast*

lemma *subsetM-antisym*: $y \subseteq_M x \implies x \subseteq_M y \implies x = y$
unfolding *subsetM-def* *setext* **by** *blast*

lemma *proper-subsetM-trans*[*simp*]: **assumes** $x \subset_M y$ $y \subset_M z$ **shows** $x \subset_M z$
proof (*unfold proper-subsetM-def*, *rule conjI*)
show $(\forall u. u \in x \longrightarrow u \in z)$
 using *assms proper-subsetM-def* **by** *simp*
show $x \neq z$
 by (*rule notI*) (*use assms proper-subsetM-def setext*[*of z y*] **in** *auto*)
qed

lemma *emptyset-mem-ord*: **assumes** *ordM* x $\exists z. z \in x$ **shows** $\emptyset \in x$
using *empty-mem-ord'*[*OF assms*] *collect-def'*[*of - \lambda x. x \neq x*] *emptysetM-def* **by** *force*

end

1.3.3 Empty set

locale *L-empty* = *set-signature* +
assumes *empty*: $\exists x. \forall y. (\neg y \in x)$

Since the empty set is defined *collectM*, and hence Hilbert's *The*, nothing useful can be said about \emptyset without extensionality.

locale *L-setext-empty* = *L-setext* + *L-empty*

begin

— Here we can already prove that \emptyset , has the intended meaning.

lemma *empty-is-empty*[*simp*]: $\forall y. (\neg y \in \emptyset)$

proof—

have *ex*: $\exists x. \forall z. z \in x \longleftrightarrow z \neq z$
using *empty* **by** *auto*

from *collect-def-ex*[*OF this, folded emptysetM-def*]
show *?thesis*
unfolding *emptysetM-def collectM-def* **by** *auto*
qed

lemma *emptyset-def'*[*set-defs*]: $x = \emptyset \longleftrightarrow (\forall u. \neg u \varepsilon x)$
unfolding *setext* **by** *simp*

lemma *empty-mem-false* [*set-defs*]: $u \varepsilon \emptyset \longleftrightarrow \text{False}$
using *emptyset-def'* **by** *simp*

lemma *setsuc-empty-sing*: $\text{setsucM } \emptyset x = \{x\}_M$
unfolding *singletonM-def setsucM-def empty-mem-false* **by** *simp*

lemma *SFP-empty-n* [*simp*]: *SetFormulaPredicate* $(\lambda \Xi. \Xi n = \emptyset)$
unfolding *SetProperty-def logsimps set-defs* **by** (*rule SFP-rules*)+

lemma *SP-empty*[*simp*]: *SetProperty* $(\lambda x. x = \emptyset)$
unfolding *SetProperty-def* **by** *simp*

lemma *SFP-empty-n'* [*simp*]: *SetFormulaPredicate* $(\lambda \Xi. \forall u. \neg u \varepsilon \Xi n)$
using *SFP-empty-n emptyset-def'* **by** *simp*

lemma *empty-dedekind*[*simp*]: **shows** *dedekind-fin* \emptyset
unfolding *dedekind-fin-def set-defs* **by** *auto*

lemma *subset-of-empty*[*simp*]: $u \subseteq_M \emptyset \longleftrightarrow u = \emptyset$
unfolding *subsetM-def emptyset-def'* **by** *simp*

lemma *empty-tarski*[*simp*]: **shows** *tarski-fin* \emptyset
unfolding *tarski-fin-def proper-subsetM-def* **by** *auto*

lemma *nemp-setI*[*intro*]: $x \varepsilon y \implies y \neq \emptyset$
by *force*

lemma *nemp-setI-ex*: $\exists x. x \varepsilon y \implies y \neq \emptyset$
by *force*

lemma *empty-is-subset*: $\emptyset \subseteq_M A$
unfolding *subsetM-def* **by** *force*

lemma *empty-regular*[*simp*]: *regular* \emptyset
unfolding *regular-def* **by** *simp*

lemma *empty-trans*[*simp*]: *transM* \emptyset
unfolding *transM-def* **by** *simp*

lemma *emp-natM*[*simp*]: *natM* \emptyset
by (*simp add: natM-def ordM-def epschain-def*)

lemma *binunion-emp*[*simp*]: $\emptyset \cup_M t = t \cup_M \emptyset = t$
unfolding *setext*[*of - t*] *binunionM-def* **using** *empty-is-empty*
collect-def'[*of t λ u. u ∈ t*] **by** *simp-all*

end

1.3.4 Set pair

Pairing is a simple set construction which can be easily obtained by set successor and empty set. It is interesting on its own, in particular in a context without the empty set axiom.

locale *L-pair* = *set-signature* +
assumes *pair*: $\forall x y. \exists z. \forall v. v \in z \longleftrightarrow v = x \vee v = y$

locale *L-setext-pair* = *L-setext* + *L-pair*

begin

lemma *singleton-def'*[*set-defs*]: $u \in \{y\}_M \longleftrightarrow u = y$

proof–

have $\exists x. \forall u. u \in x \longleftrightarrow u = y$

using *pair* **by** *meson*

from *collect-def-ex*[*OF this*[*rule-format*], *folded singletonM-def*]

show *?thesis*.

qed

lemma *singleton-eq*[*set-defs*]: $u = \{y\}_M \longleftrightarrow (\forall v. v \in u \longleftrightarrow v = y)$

unfolding *setext* *set-defs* **by** *blast*

lemma *pair-def'*[*set-defs*]: $u \in \{x,y\}_M \longleftrightarrow u = x \vee u = y$

using *collect-def-ex*[*OF pair*[*rule-format*], *folded pairM-def*].

lemma *pair-eq* [*set-defs*]: $w = \{x,y\}_M \longleftrightarrow (\forall u. (u \in w) \longleftrightarrow u = x \vee u = y)$

unfolding *setext*[*of w*] *set-defs* **by** *simp*

lemma *regular-not-self-mem*: **assumes** *regular* *x* **shows** $\neg x \in x$

proof

assume $x \in x$

with *assms*[*unfolded regular-def*, *rule-format*, *of* $\{x\}_M$]

show *False*

unfolding *subsetM-def* *singleton-def'* **by** *blast*

qed

lemma *ordered-pair-unique*[*simp*]: $\langle u, v \rangle = \langle u', v' \rangle \longleftrightarrow u = u' \wedge v = v'$

unfolding *setext*[*of* $\{-, -\}_M$] *ordered-pairM-def* **using** *pair-def'* *singleton-def'* **by** *metis*

lemma *sing-eq-iff*: $\{a\}_M = \{b\}_M \longleftrightarrow a = b$

unfolding *setext*[of $\{-\}_M$] *singleton-def'* **by** *metis*

lemma *sing-eq-pair-iff*: $\{a\}_M = \{b,c\}_M \longleftrightarrow a = b \wedge b = c$
unfolding *setext*[of $\{-\}_M$] *pair-def'* *singleton-def'* **by** *metis*

lemma *sing-eq-pair-iff'*: $\{b,c\}_M = \{a\}_M \longleftrightarrow a = b \wedge b = c$
using *sing-eq-pair-iff* **by** *metis*

lemma *SFP-mem-ordered-pair*[*SFP-rules*]: *SetFormulaPredicate* $(\lambda \Xi. \Xi k \varepsilon \langle \Xi l, \Xi m \rangle)$
unfolding *ordered-pairM-def* *set-defs* *logsimps* **by** (rule *SFP-rules*)**+**

lemma *SFP-eq-ordered-pair* [*SFP-rules*]: *SetFormulaPredicate* $(\lambda \Xi. \Xi k = \langle \Xi l, \Xi m \rangle)$
unfolding *setext* *logsimps* **by** (rule *SFP-rules*)**+**

lemma *SFP-ordered-pair-mem*[*SFP-rules*]: *SetFormulaPredicate* $(\lambda \Xi. \langle \Xi k, \Xi l \rangle \varepsilon \Xi m)$
proof–
have *SetFormulaPredicate* $(\lambda \Xi. \exists u. u = \langle \Xi k, \Xi l \rangle \wedge u \varepsilon \Xi m)$
unfolding *setext* *logsimps* **by** (rule *SFP-rules*)**+**
thus *?thesis*
by *simp*
qed

end

1.3.5 Set successor

Also known as adjunction. Enables constructing sets in steps. This axiom plays an important role in the fragment of set theory axiomatized by extensionality, empty set and set successor (see *L-setext-empty-setsuc* below), mutually interpretable with Robinson’s arithmetic \mathcal{Q} .

locale *L-setsuc* = *set-signature* +
assumes *setsuc*: $\forall x y. \exists z. \forall u. u \varepsilon z \longleftrightarrow u \varepsilon x \vee u = y$

locale *L-setext-setsuc* = *L-setext* + *L-setsuc*
— some statements do not need the empty set

begin

lemma *setsuc-def'*[*set-defs*, *simp*]: $u \varepsilon \text{setsucM } x y \longleftrightarrow (u \varepsilon x \vee u = y)$
using *collect-def-ex*[*OF* *setsuc*[*rule-format*]] **unfolding** *setsucM-def*.

lemma *setsuc-triv*[*simp*]: $y \varepsilon x \implies \text{setsucM } x y = x$
unfolding *setext*[of $\{-\}_M$] *setsuc-def'* **by** *blast*

lemma *is-suc-def'*: $is-sucM\ x \longleftrightarrow (\exists\ y.\ x = setsucM\ y\ y)$
unfolding *is-sucM-def setsuc-def' setext..*

lemma *trans-suc-trans*: $transM\ x \implies transM\ (setsucM\ x\ x)$
unfolding *transM-def set-defs by blast*

lemma *epschain-suc-epschain*: $epschain\ x \implies epschain\ (setsucM\ x\ x)$
unfolding *epschain-def using trans-suc-trans*
using *setsuc-def' by auto*

lemma *ord-pred-fun*: **assumes** $ordM\ x\ ordM\ y\ setsucM\ x\ x = setsucM\ y\ y$
shows $x = y$
using *assms unfolding setext[of x] setext[of setsucM x x] set-defs by metis*

lemma *SFP-setsuc-mem[SFP-rules]*: *SetFormulaPredicate* $(\lambda\Xi.\ \Xi\ k\ \varepsilon\ setsucM\ (\Xi\ l)\ (\Xi\ m))$
unfolding *setsuc-def' by (rule SFP-rules)+*

lemma *SFP-setsuc-eq[SFP-rules]*: *SetFormulaPredicate* $(\lambda\Xi.\ \Xi\ k = setsucM\ (\Xi\ l)\ (\Xi\ m))$
unfolding *setsuc-def' setext logsimps by (rule SFP-rules)+*

end

locale *L-empty-setsuc* = *L-empty* + *L-setsuc*
— some statements do not need extensionality

begin

sublocale *L-pair*

proof (*unfold-locales, rule allI, rule allI*)
fix $x\ y$
obtain *empty where emp: $\forall\ u.\ \neg\ u\ \varepsilon\ empty$*
using *empty by blast*
obtain *sing where $\forall\ u.\ u\ \varepsilon\ sing \longleftrightarrow u = x$*
using *setsuc[rule-format, of empty x] emp by blast*
then obtain *pair where $\forall\ v.\ (v\ \varepsilon\ pair) = (v = x \vee v = y)$*
using *setsuc[rule-format, of sing y] by blast*
then show $\exists\ z.\ \forall\ v.\ (v\ \varepsilon\ z) = (v = x \vee v = y)$
by *blast*

qed

lemma *singleton-setsuc*: **shows** $\exists\ y.\ \forall\ u.\ u\ \varepsilon\ y \longleftrightarrow u = z$
proof—
obtain *emp where $\forall\ u.\ \neg\ u\ \varepsilon\ emp$*
using *empty by blast*
then show *?thesis*
using *setsuc[rule-format, of emp z] by blast*

qed

lemma pair-setsuc: **shows** $\exists y. \forall u. u \in y \longleftrightarrow u = z_1 \vee u = z_2$

proof–

obtain $z1$ **where** $\forall u. u \in z1 \longleftrightarrow u = z_1$
using *singleton-setsuc* **by** *blast*
then show *?thesis*
using *setsuc[rule-format, of z1 z2]* **by** *simp*

qed

lemma triple-setsuc: **shows** $\exists y. \forall u. u \in y \longleftrightarrow u = z_1 \vee u = z_2 \vee u = z_3$

proof–

obtain $z2$ **where** $\forall u. u \in z2 \longleftrightarrow u = z_1 \vee u = z_2$
using *pair-setsuc* **by** *blast*
then show *?thesis*
using *setsuc[rule-format, of z2 z3]* **by** *simp*

qed

lemma regular-antisym-mem: **assumes** *regular* x $z_1 \in x$ $z_2 \in x$ **shows** $\neg (z_1 \in z_2 \wedge z_2 \in z_1)$

proof

obtain *pair* **where** *pair*: $\forall u. u \in \textit{pair} \longleftrightarrow u = z_1 \vee u = z_2$
using *pair-setsuc* **by** *blast*
assume $z_1 \in z_2 \wedge z_2 \in z_1$
with $\langle \textit{regular } x \rangle$ [*unfolded regular-def, rule-format, of pair*]
show *False*
unfolding *subsetM-def* **using** $\langle z_1 \in x \rangle \langle z_2 \in x \rangle$ *pair* **by** *auto*

qed

lemma regular-antisym2-mem: **assumes** *regular* x $z_1 \in x$ $z_2 \in x$ $z_3 \in x$ **shows** $\neg (z_1 \in z_2 \wedge z_2 \in z_3 \wedge z_3 \in z_1)$

proof

obtain *triple* **where** *triple*: $\forall u. u \in \textit{triple} \longleftrightarrow u = z_1 \vee u = z_2 \vee u = z_3$
using *triple-setsuc* **by** *blast*
assume $z_1 \in z_2 \wedge z_2 \in z_3 \wedge z_3 \in z_1$
with $\langle \textit{regular } x \rangle$ [*unfolded regular-def, rule-format, of triple*]
show *False*
unfolding *subsetM-def* **using** $\langle z_1 \in x \rangle \langle z_2 \in x \rangle \langle z_3 \in x \rangle$ *triple* **by** *auto*

qed

lemma ord-mem-ord: **assumes** *ordM* v $u \in v$ **shows** *ordM* u

unfolding *ordM-def epschain-def conj-assoc[symmetric]*

proof (*rule conjI, rule conjI*)

have *regular* v $u \subseteq_M v$

using $\langle \textit{ordM } v \rangle$ *ordM-D1* $\langle u \in v \rangle$ *ordM-def* **by** *blast+*

then show *regular* u

unfolding *regular-def* **using** *subsetM-trans[OF - $\langle u \subseteq_M v \rangle$]* **by** *blast*

have $u \subseteq_M v$ *epschain* v *regular* v *transM* v

using *assms* **unfolding** *natM-def ordM-def transM-def epschain-def* **by** *blast+*

thus $\forall y z. y \in u \wedge z \in u \longrightarrow y \in z \vee y = z \vee z \in y$

```

    using ⟨ordM v⟩ unfolding ordM-def subsetM-def epschain-def by blast
show transM u
  unfolding transM-def set-defs
proof (rule allI, rule impI, rule allI, rule impI)
  fix z y
  assume y ∈ u z ∈ y
  hence z ∈ v y ∈ v
    using ⟨u ∈ v⟩ ⟨transM v⟩ unfolding transM-def set-defs by blast+
  have u ≠ z
    using regular-antisym-mem[OF ⟨regular v⟩ ⟨y ∈ v⟩ ⟨z ∈ v⟩] ⟨y ∈ u⟩ ⟨z ∈ y⟩ by
blast
  have ¬ u ∈ z
    using regular-antisym2-mem[OF ⟨regular v⟩ ⟨u ∈ v⟩ ⟨z ∈ v⟩ ⟨y ∈ v⟩] ⟨y ∈ u⟩
⟨z ∈ y⟩ by blast
  show z ∈ u
    using ordM-D2[OF ⟨ordM v⟩ ⟨u ∈ v⟩ ⟨z ∈ v⟩] ⟨u ≠ z⟩ ⟨¬ u ∈ z⟩ by blast
qed
qed
end

```

locale *L-setext-empty-setsuc* = *L-setext* + *L-empty* + *L-setsuc*

begin

sublocale *L-setext-empty*
by *unfold-locales*

sublocale *L-setext-setsuc*
by *unfold-locales*

sublocale *L-empty-setsuc*
by *unfold-locales*

sublocale *L-setext-pair*
by *unfold-locales*

lemma *empty-mem-ord*: **assumes** *ordM x x* ≠ ∅ **shows** ∅ ∈ *x*

proof–

have ∃ z. z ∈ *x*

using ⟨*x* ≠ ∅⟩ **by** (*simp add: setext*)

then obtain *u* **where** *u* ∈ *x* ∀ *y*. *y* ∈ *u* ⟶ ¬ *y* ∈ *x*

using ⟨*ordM x*⟩ *ordM-def* *regular-def* *subsetM-refl* **by** *metis*

have *y* ∈ *u* ⟶ *y* ∈ *x* **for** *y*

using ⟨*ordM x*⟩ ⟨*u* ∈ *x*⟩ *ordM-D1* *subsetM-def* **by** *blast*

hence *u* = ∅

using ⟨∀ *y*. *y* ∈ *u* ⟶ ¬ *y* ∈ *x*⟩

by (*simp add: emptyset-def*)

then show ∅ ∈ *x*

using $\langle u \in x \rangle$ **by** *blast*
qed

lemma *SP-injective* [*simp*]: *SetProperty* $(\lambda x. \forall a b c. \langle b, a \rangle \in x \wedge \langle c, a \rangle \in x \longrightarrow b = c)$
unfolding *set-defs logsimps SetProperty-def* **by** (*rule SFP-rules*)**+**

lemma *SP-unique-image* [*simp*]: *SetProperty* $(\lambda x. \forall a b c. \langle a, b \rangle \in x \wedge \langle a, c \rangle \in x \longrightarrow b = c)$
unfolding *set-defs logsimps SetProperty-def* **by** (*rule SFP-rules*)**+**

lemma *SFP-compose* [*simp,intro*]: *SetFormulaPredicate* $(\lambda \Xi. \exists a b c. \langle a, b \rangle \in \Xi k \wedge \langle b, c \rangle \in \Xi l \wedge \Xi m = \langle a, c \rangle)$

proof–

have *sfp*: *SetFormulaPredicate* $(\lambda \Xi. \langle \Xi (k+l+m+3), \Xi (k+l+m+4) \rangle \in \Xi k \wedge \langle \Xi (k+l+m+4), \Xi (k+l+m+5) \rangle \in \Xi l \wedge \Xi m = \langle \Xi (k+l+m+3), \Xi (k+l+m+5) \rangle)$

unfolding *logsimps* **by** (*rule SFP-rules*)**+**

show *?thesis*

using *SFP-ex[OF SFP-ex[OF SFP-ex]*, *of - k+l+m+3 k+l+m+4 k+l+m+5, OF sfp]* **by** *simp*

qed

lemma *SP-function*[*simp*]: *SetProperty* $(\lambda x. \text{functionM } x)$
unfolding *SetProperty-def set-defs logsimps* **by** (*rule SFP-rules*)**+**

lemma *SP-one-one*[*simp*]: *SetProperty* $(\lambda x. \text{one-oneM } x)$
unfolding *SetProperty-def set-defs logsimps* **by** (*rule SFP-rules*)**+**

lemma *dom-SR* [*simp*]: *SetRelation* $(\lambda x u. \exists w. x = \langle u, w \rangle)$
unfolding *SetRelation-def logsimps* **by** (*rule SFP-rules*)**+**

lemma *rng-SR* [*simp*]: *SetRelation* $(\lambda x u. \exists w. x = \langle w, u \rangle)$
unfolding *SetRelation-def logsimps* **by** (*rule SFP-rules*)**+**

end

locale *L-binunion* = *set-signature* +

assumes *binunion*: $\forall x y. \exists z. \forall v. v \in z \longleftrightarrow (v \in x \vee v \in y)$

locale *L-setext-binunion* = *L-setext* + *L-binunion*

begin

lemma *binunion-def'*[*set-defs*]: $u \in x \cup_M y \longleftrightarrow u \in x \vee u \in y$

unfolding *binunionM-def*

using *collect-def-ex[OF binunion[rule-format]*, *of u]*

by *force*

end

locale $L\text{-setext-pair-binunion} = L\text{-setext} + L\text{-pair} + L\text{-binunion}$

— A specific minimalist combination of axioms that guarantees the existence of both ordered pair and set successor

begin

sublocale $L\text{-setext-binunion}$

by $unfold\text{-locales}$

sublocale $L\text{-setext-pair}$

by $unfold\text{-locales}$

sublocale $L\text{-setsuc}$

proof ($unfold\text{-locales}$, ($rule\ allI$) $+$, $rule\ exI$, $rule\ allI$)

show $u \in x \cup_M \{y\}_M \longleftrightarrow (u \in x \vee u = y)$ **for** $x\ y\ u$

unfolding $binunion\text{-def}'\ singleton\text{-def}'$ **by** $blast$

qed

sublocale $L\text{-setext-setsuc}$

by $unfold\text{-locales}$

lemma $SFP\text{-ordered-pair-setsuc-eq}[SFP\text{-rules}]$: $SetFormulaPredicate\ (\lambda \Xi.\ \Xi\ k = \langle \Xi\ l,\ setsucM\ (\Xi\ m)\ (\Xi\ n) \rangle)$

proof—

— An explicit proof avoiding too many quantifiers, beyond reach of ($\bigwedge m.$ $SetFormulaPredicate\ (\lambda \Xi.\ ?Q\ (\Xi\ m)\ (\Xi\ ?k1.0)\ (\Xi\ ?k2.0)\ (\Xi\ ?k3.0)\ (\Xi\ ?k4.0)) \implies SetFormulaPredicate\ (\lambda \Xi.\ \forall y.\ ?Q\ y\ (\Xi\ ?k1.0)\ (\Xi\ ?k2.0)\ (\Xi\ ?k3.0)\ (\Xi\ ?k4.0))$)

let $?Q = \lambda \Xi.\ \Xi\ (m+n+l+k+1) = setsucM\ (\Xi\ m)\ (\Xi\ n) \wedge \Xi\ k = \langle \Xi\ l,\ \Xi\ (m+n+l+k+1) \rangle$

thm $SFP\text{-ex}[of\ ?Q\ m+n+l+k+1,\ simplified]$

show $?thesis$

by ($rule\ SFP\text{-ex}[of\ ?Q\ m+n+l+k+1,\ simplified]$, $unfold\ logsimps$) ($rule\ SFP\text{-rules}$) $+$

qed

end

1.3.6 Regularity

locale $L\text{-reg} = set\text{-signature} +$

assumes $reg: \forall x.\ (\exists y.\ y \in x) \longrightarrow (\exists y.\ y \in x \wedge (\forall v.\ \neg (v \in y \wedge v \in x)))$

begin

lemma $any\text{-reg}[simp]$: $regular\ x$

using reg **unfolding** $regular\text{-def}$ **by** $blast$

Note that $\neg x \in x$ cannot be proved without existence of a singleton.

end

lemma (in *set-signature*) *reg-all-regular-iff*:

$L\text{-reg } (\varepsilon) \longleftrightarrow (\forall x. \text{regular } x)$

proof

assume $L\text{-reg } (\varepsilon)$

then interpret $L\text{-reg } (\varepsilon)$.

show $\forall x. \text{regular } x$

using *reg unfolding regular-def by blast*

next

assume $\text{all-reg}: \forall x. \text{regular } x$

show $L\text{-reg } (\varepsilon)$

by *unfold-locales (meson all-reg regular-def set-signature.subsetM-refl)*

qed

Schema of regularity

locale $L\text{-regsch} = \text{set-signature} +$

assumes *regsch*: $\text{SetFormulaPredicate } P \implies (\exists x. P (\exists (0:=x))) \longrightarrow (\exists x. P (\exists (0:=x)) \wedge (\forall y. y \varepsilon x \longrightarrow \neg P (\exists (0:=y))))$

begin

regsch is stronger than normal regularity in the absence of infinity axiom, since one cannot prove the existence of transitive supersets/closures. See *not-reg-setind-implies-regsch* in *ZFfin-regsch-model.thy*

sublocale $L\text{-reg}$

proof

have *SFP*: $\text{SetFormulaPredicate } (\lambda \Xi. \exists 0 \varepsilon \Xi 1)$

by (*rule SFP-rules*)

show $\forall x. (\exists y. y \varepsilon x) \longrightarrow (\exists y. y \varepsilon x \wedge (\forall v. \neg (v \varepsilon y \wedge v \varepsilon x)))$

using *regsch[OF SFP, unfolded fun-upd-apply] by force*

qed

lemma *regsch-epsind*:

$\text{SetFormulaPredicate } Q \implies (\forall x. (\forall y. (y \varepsilon x \longrightarrow Q (\exists (0:=y)))) \longrightarrow Q (\exists (0:=x))) \longrightarrow (\forall x. Q (\exists (0:=x)))$

using *regsch[of $\lambda x. \neg Q x \exists$] using SFP-neg by blast*

lemma *regsch-mem-not-refl*: $\neg u \varepsilon u$

proof

assume $u \varepsilon u$

hence *ex*: $\exists x. (\text{undefined}(1 := u, 0 := x)) 0 = (\text{undefined}(1 := u, 0 := x)) 1$

by *force*

have *sfp*: $\text{SetFormulaPredicate } (\lambda \Xi. (\exists 0) = (\exists 1))$

by (*rule SFP-rules*)

from *regsch*[of $\lambda \Xi. (\exists 0) = (\exists 1)$, *rule-format*, *OF sfp ex*]

show *False*

using $\langle u \varepsilon u \rangle$ **by** *auto*

qed

end

Epsilon induction schema is logically equivalent to the regularity schema (that is, regardless of what ε means), see $((\exists x. ?P x) \longrightarrow (\exists x. ?B x \wedge ?P x)) = ((\forall x. ?B x \longrightarrow \neg ?P x) \longrightarrow (\forall x. \neg ?P x))$ above.

locale *L-epsind* = *set-signature* +
assumes *epsind*: *SetFormulaPredicate* $Q \implies (\forall x. (\forall y. (y \varepsilon x \longrightarrow Q (\Xi (0:=y)))) \longrightarrow Q (\Xi (0:=x))) \longrightarrow (\forall x. Q (\Xi (0:=x)))$

begin

lemma *epsind-regs*: **assumes** *SetFormulaPredicate* $P \exists x. P (\Xi (0:=x))$
shows $\exists x. P (\Xi (0:=x)) \wedge (\forall y. y \varepsilon x \longrightarrow \neg P (\Xi (0:=y)))$

proof–

have *SP*: *SetFormulaPredicate* $(\lambda x. \neg P x)$

using $\langle \text{SetFormulaPredicate } P \rangle$ **by** (*simp add*: *SFP-rules*)

show *thesis*

unfolding *abstract-foundation-iff*[*of* $\lambda x. \forall y. y \varepsilon x \longrightarrow \neg P (\Xi(0:=y)) \lambda x. \neg P (\Xi(0:=x))$, *unfolded not-not*]

using *epsind*[*OF SP*, *rule-format*, *of* Ξ] $\langle \exists x. P (\Xi (0:=x)) \rangle$

by *blast*+

qed

sublocale *L-regs*

using *epsind-regs* **by** *unfold-locales blast*

end

1.3.7 Separation

Separation is often an alternative to set successor. It allows one to construct “from above” many sets that set successor builds “from below”.

locale *L-sep* = *set-signature* +

assumes *sep*: *SetFormulaPredicate* $P \implies \forall x. \exists z. \forall u. (u \varepsilon z \longleftrightarrow u \varepsilon x \wedge P (\Xi(0:= u)))$

begin

sublocale *L-empty*

by *unfold-locales* (*use sep*[*OF SFP-const*[*of False*]] **in force**)

lemma *sep-var*: **assumes** *SetFormulaPredicate* P **shows** $\forall x. \exists z. \forall u. (u \varepsilon z \longleftrightarrow u \varepsilon x \wedge P (\Xi(n:= u)))$

using *sep*[*OF swap-variables*, *OF assms*, *of* $\Xi(n:= \Xi 0) n 0$]

by (*cases* $n = 0$, *simp*) (*simp del*: *neq0-conv add*: *fun-upd-twist*[*of* $n 0$])

lemma sep-SP: assumes SetProperty P shows $\forall x. \exists z. \forall u. (u \in z \longleftrightarrow u \in x \wedge P u)$

using *sep[OF assms[unfolded SetProperty-def]] by simp*

lemma sep-SR: assumes SetRelation P shows $\forall x. \exists z. \forall u. (u \in z \longleftrightarrow u \in x \wedge P u r)$

using *sep[OF assms[unfolded SetRelation-def]] by simp*

lemma singleton-sep: assumes $z \in x$

shows $\exists y. \forall u. u \in y \longleftrightarrow u = z$

using *sep[rule-format, of $\lambda \Xi. \Xi 0 = \Xi 1 x$ undefined(1:=z), OF SFP-eq, unfolded fun-upd-apply] assms by auto*

lemma pair-sep: assumes $z_1 \in x \ z_2 \in x$

shows $\exists y. \forall u. u \in y \longleftrightarrow u = z_1 \vee u = z_2$

proof-

have *SetFormulaPredicate* ($\lambda \Xi. \Xi 0 = \Xi 1 \vee \Xi 0 = \Xi 2$)

by *(rule SFP-rules)+*

from *sep[rule-format, OF this, of x undefined(1:=z₁,2:=z₂), simplified]*

show $\exists y. \forall u. (u \in y) = (u = z_1 \vee u = z_2)$

using *assms by blast*

qed

lemma triple-sep: assumes $z_1 \in x \ z_2 \in x \ z_3 \in x$

shows $\exists y. \forall u. u \in y \longleftrightarrow u = z_1 \vee u = z_2 \vee u = z_3$

proof-

have *SetFormulaPredicate* ($\lambda \Xi. \Xi 0 = \Xi (Suc\ 0) \vee \Xi 0 = \Xi 2 \vee \Xi 0 = \Xi 3$)

by *(rule SFP-rules)+*

from *sep[rule-format, OF this, of x undefined(1:=z₁,2:=z₂,3:=z₃), simplified]*

show $\exists y. \forall u. u \in y \longleftrightarrow u = z_1 \vee u = z_2 \vee u = z_3$

using *assms by blast*

qed

lemma regular-not-self-mem-sep: assumes regular x shows $\neg x \in x$

proof

assume $x \in x$

then obtain t where $t: \forall u. u \in t \longleftrightarrow u = x$

using *singleton-sep by blast*

from *assms[unfolded regular-def, rule-format, of t]*

show *False*

unfolding *subsetM-def t[rule-format]* **using** $\langle x \in x \rangle$ **by** *blast*

qed

lemma regular-antisym-mem-sep: assumes regular x y₁ ∈ x y₂ ∈ x shows $\neg (y_1 \in y_2 \wedge y_2 \in y_1)$

proof

obtain t where $t: \forall u. u \in t \longleftrightarrow u = y_1 \vee u = y_2$

using *pair-sep[OF assms(2-)] by blast*

assume $y_1 \in y_2 \wedge y_2 \in y_1$

```

with ⟨regular x⟩[unfolded regular-def, rule-format, of t]
show False
  unfolding subsetM-def t[rule-format] using assms regular-def by blast
qed

lemma regular-antisym2-mem-sep: assumes regular x y1 ∈ x y2 ∈ x y3 ∈ x shows
  ¬ (y1 ∈ y2 ∧ y2 ∈ y3 ∧ y3 ∈ y1)
proof
  obtain t where t: ∀ u. u ∈ t ⟷ u = y1 ∨ u = y2 ∨ u = y3
    using triple-sep[OF assms(2-)] by blast
  assume y1 ∈ y2 ∧ y2 ∈ y3 ∧ y3 ∈ y1
  with ⟨regular x⟩[unfolded regular-def, rule-format, of t]
  show False
    unfolding set-defs t[rule-format] using assms regular-def by blast
qed

lemma ord-mem-ord-sep: assumes ordM v u ∈ v shows ordM u
  unfolding ordM-def epschain-def conj-assoc[symmetric]
proof (rule conjI)+
  have regular v u ⊆M v
    using ⟨ordM v⟩ ordM-D1 ⟨u ∈ v⟩ ordM-def by blast+
  then show regular u
    unfolding regular-def using subsetM-trans[OF - ⟨u ⊆M v⟩] by blast
  have u ⊆M v epschain v regular v transM v
    using assms unfolding natM-def ordM-def transM-def epschain-def by blast+
  thus ∀ y z. y ∈ u ∧ z ∈ u ⟶ y ∈ z ∨ y = z ∨ z ∈ y
    using ⟨ordM v⟩ unfolding ordM-def subsetM-def epschain-def by blast
  show transM u
    unfolding transM-def set-defs
proof (rule allI, rule impI)+
  fix z y
  assume y ∈ u z ∈ y
  hence z ∈ v y ∈ v
    using ⟨u ∈ v⟩ ⟨transM v⟩ unfolding transM-def set-defs by blast+
  have u ≠ z
    using regular-antisym-mem-sep[OF ⟨regular v⟩ ⟨y ∈ v⟩ ⟨z ∈ v⟩] ⟨y ∈ u⟩ ⟨z ∈ y⟩
by blast
  have ¬ u ∈ z
    using regular-antisym2-mem-sep[OF ⟨regular v⟩ ⟨u ∈ v⟩ ⟨z ∈ v⟩ ⟨y ∈ v⟩] ⟨y ∈ u⟩
    ⟨z ∈ y⟩ by blast
  show z ∈ u
    using ordM-D2[OF ⟨ordM v⟩ ⟨u ∈ v⟩ ⟨z ∈ v⟩] ⟨u ≠ z⟩ ⟨¬ u ∈ z⟩ by blast
qed
qed

end

```

The interest of this locale is to investigate intersections of various kinds.

locale L-setext-sep = L-setext + L-sep

begin

sublocale L -setext-empty
by *unfold-locales*

lemma *separ-def-SFP*: **assumes** *SetFormulaPredicate P*
shows $u \in \text{separationM } y (\lambda x. P(\Xi(n:=x))) \longleftrightarrow (u \in y \wedge (P (\Xi(n:=u))))$
using *collect-def-ex[OF sep-var[rule-format], OF assms] separ-def* **by**
simp

lemma *separ-def-SP*: **assumes** *SetProperty P*
shows $u \in \text{separationM } y (\lambda x. P x) \longleftrightarrow (u \in y \wedge P u)$
using *separ-def-SFP[OF assms[unfolded SetProperty-def], of - - - 0]* **by** *simp*

lemma *separ-def-SR*: **assumes** *SetRelation P*
shows $u \in \text{separationM } y (\lambda x. P x r) \longleftrightarrow (u \in y \wedge P u r)$
using *separ-def-SFP[OF assms[unfolded SetRelation-def], of - - - 0]* **by** *simp*

lemma *least-def'*:
assumes $Q (\Xi(n:=w))$ **and** *SetFormulaPredicate Q*
shows $u \in \bigcap_M (\lambda x. Q (\Xi(n:=x))) \longleftrightarrow (\forall y. Q (\Xi(n:=y))) \longrightarrow u \in y$

proof–

from *bounded-free[OF assms(2)]*
obtain m **where** $m: Q \Xi = Q \Xi'$ **if** $\forall i. i < m \longrightarrow \Xi i = \Xi' i$ **for** $\Xi \Xi'$
by *blast*
have $k: Q (\Xi(m+n+1) := x, n := y) \longleftrightarrow Q (\Xi(n := y))$ **for** $x y$
by *(rule m) force+*
have $aux: (\Xi(n := a)) n = a$ **for** Ξ **and** $a::'a$
by *simp*
have *sfp*: *SetFormulaPredicate* $(\lambda \Xi. (\forall y. Q (\Xi(n:=y))) \longrightarrow ((\Xi(n:=y)) (m+n+1)) \in y)$
by *(rule SFP-all[of $\lambda \Xi. Q (\Xi) \longrightarrow (\Xi) (m+n+1) \in (\Xi n)$, of n , unfolded aux],
unfold *logsimps*) (rule | fact)+*
have *iff*: $(v \in w \wedge (\forall y. Q (\Xi(n := y))) \longrightarrow v \in y) \longleftrightarrow (\forall y. Q (\Xi(n := y)) \longrightarrow v \in y)$ **for** v
using *assms(1)* **by** *blast*
have *sep*: $(v \in \text{separationM } w (\lambda x. \forall y. Q (\Xi(n := y)) \longrightarrow x \in y)) = (\forall y. Q (\Xi(n := y)) \longrightarrow v \in y)$ **for** v
using *separ-def-SFP[of - v, OF sfp, of $w \Xi m+n+1$] iff[of v]*
unfolding k **by** *force*
show *?thesis*
unfolding *leastM-def* **using** *collect-def'*
using *collect-def'[of separationM w ($\lambda x. \forall y. Q (\Xi(0 := y)) \longrightarrow u \in y$)] sep **by**
force
qed*

lemma *least-def-ex*:
 $\exists w. Q (\Xi(n := w)) \implies \text{SetFormulaPredicate } Q \implies$

$u \in \bigcap_M (\lambda x. Q (\Xi(n:=x))) \longleftrightarrow (\forall y. Q (\Xi(n:=y))) \longrightarrow u \in y$
using *least-def'* **by** *force*

lemma *intersection-def'*[*set-defs*]: $z \in x \cap_M y \longleftrightarrow z \in x \wedge z \in y$

proof–

have *SetFormulaPredicate* $(\lambda \Xi. \Xi \ 0 \in \Xi \ (Suc \ 0))$

by (*rule SFP-rules*)

from *separ-def-SFP*[*OF this, of z x, rule-format, of undefined(1:=y) 0, simplified*]

show $(z \in x \cap_M y) = (z \in x \wedge z \in y)$

unfolding *intersectionM-def separationM-def*.

qed

lemma *intersect-subset*: $x \cap_M y \subseteq_M x \cap_M y \subseteq_M y$

unfolding *set-defs* **by** *simp-all*

lemma *intersect-regular*: **assumes** *regular x regular y*

shows *regular* $(x \cap_M y)$

using *assms* **unfolding** *regular-def intersection-def' subsetM-def* **by** *blast*

lemma *intersect-transM*: **assumes** *transM x transM y*

shows *transM* $(x \cap_M y)$

using *assms* **unfolding** *intersection-def' transM-def subsetM-def* **by** *blast*

lemma *intersect-epschain*: **assumes** *epschain x epschain y*

shows *epschain* $(x \cap_M y)$

using *assms* *intersect-transM* **unfolding** *intersection-def' epschain-def subsetM-def* **by** *blast*

lemma *intersect-ordM*: **assumes** *ordM x ordM y*

shows *ordM* $(x \cap_M y)$

using *assms* *intersect-transM intersect-regular* **unfolding** *ordM-def*

unfolding *intersection-def' epschain-def* **by** *simp*

lemma *ordM-subset-mem*: **assumes** *ordM x ordM y y \subseteq_M x*

shows $y \in x$

proof–

have *SR*: *SetRelation* $(\lambda v y. \neg v \in y)$

unfolding *SetRelation-def* **by** (*rule SFP-rules*)**+**

have *regular x*

using $\langle \text{ordM } x \rangle$ **by** *simp*

have *dif*: $u \in \text{separationM } x (\lambda v. \neg v \in y) \longleftrightarrow u \in x \wedge \neg u \in y$ **for** u

using *separ-def-SR*[*OF SR*].

hence *separationM* $x (\lambda v. \neg v \in y) \subseteq_M x$

unfolding *subsetM-def* **by** *blast*

from $\langle \text{regular } x \rangle$ [*unfolded regular-def, rule-format, OF this, unfolded dif*]

obtain c **where** $c \in x \neg c \in y$ **and** $c: \forall v. v \in c \longrightarrow (v \in y \vee \neg v \in x)$

using *proper-subset-diff*[*OF $\langle y \subseteq_M x \rangle$*] **by** *blast*

have $c \subseteq_M x$

using $\langle c \in x \rangle$ *assms(1) natM-def ordM-D1* **by** *blast*
hence $c \subseteq_M y$
using $\langle y \subset_M x \rangle$ c **unfolding** *subsetM-def proper-subsetM-def* **by** *blast*
have $y = c$
proof (*rule subsetM-antisym[OF $\langle c \subseteq_M y \rangle$], unfold subsetM-def, rule allI, rule impI*)
fix z **assume** $z \in y$
hence $z \in x$
using $\langle y \subset_M x \rangle$ **unfolding** *proper-subsetM-def* **by** *blast*
from *ordM-D2[OF $\langle ordM x \rangle$ this $\langle c \in x \rangle$]*
show $z \in c$
using $\langle \neg c \in y \rangle$ $\langle z \in y \rangle$ *ordM-D1[OF $\langle ordM y \rangle$ $\langle z \in y \rangle$, unfolded subsetM-def]*
by *blast*
qed
thus *?thesis*
using $\langle c \in x \rangle$ **by** *blast*
qed

lemma *ordM-total: assumes ordM x ordM y*
shows $x \in y \vee y \in x \vee x = y$
using *ordM-def[unfolded epschain-def]*
proof–
have *regular* $(x \cap_M y)$
using *intersect-ordM[OF $\langle ordM x \rangle$ $\langle ordM y \rangle$]* **by** *simp*
from *regular-not-self-mem-sep[OF this]*
have $\neg (x \cap_M y \subset_M x \wedge x \cap_M y \subset_M y)$
using *ordM-subset-mem[OF assms(1) intersect-ordM, OF assms]*
intersection-def' assms(1,2) ord-mem-ord-sep ordM-subset-mem **by** *blast*
with *sep-SR[rule-format, of $\lambda v y. v \in y$]*
consider $x \cap_M y = x \mid x \cap_M y = y$
unfolding *proper-subsetM-def* **using** *intersection-def'* **by** *blast*
then show *?thesis*
proof (*cases*)
assume $x \cap_M y = x$
hence $x = y \vee x \subset_M y$
unfolding *proper-subsetM-def setext* **using** *intersection-def'* **by** *blast*
with *ordM-subset-mem[OF assms(2,1)]*
show *?thesis*
by *blast*
next
assume $x \cap_M y = y$
hence $x = y \vee y \subset_M x$
unfolding *proper-subsetM-def setext* **using** *intersection-def'* **by** *blast*
with *ordM-subset-mem[OF assms]*
show *?thesis*
by *blast*
qed
qed

lemma *nat-mem-nat*: **assumes** $\text{natM } v \ u \ \varepsilon \ v$ **shows** $\text{natM } u$
unfolding *natM-def*
proof
show $\text{ordM } u$
using *assms ord-mem-ord-sep* **unfolding** *natM-def* **by** *blast*
show $\forall v. v \ \varepsilon \ u \ \vee \ v = u \ \longrightarrow (\exists u. u \ \varepsilon \ v) \ \longrightarrow \text{is-sucM } v$
using *assms natM-D nat-mem-is-suc ordM-D1 subsetM-def* **by** *blast*
qed

lemma *set-of-ords-regular*: **assumes** $\forall y. y \ \varepsilon \ s \ \longrightarrow \text{ordM } y$
shows *regular s*
unfolding *regular-def*
proof (*rule allI, rule impI, rule impI*)
fix y **assume** $y \subseteq_M s \ \exists z. z \ \varepsilon \ y$
then obtain z **where** $z \ \varepsilon \ y$
by *blast*
have $\text{ordM } z$
using $\langle y \subseteq_M s \rangle \langle z \ \varepsilon \ y \rangle$ *assms subsetM-def* **by** *blast*
hence *regular z*
by *simp*
show $\exists u. u \ \varepsilon \ y \ \wedge (\forall v. \neg (v \ \varepsilon \ u \ \wedge \ v \ \varepsilon \ y))$
proof (*cases* $\forall v. \neg (v \ \varepsilon \ z \ \wedge \ v \ \varepsilon \ y)$, *use* $\langle z \ \varepsilon \ y \rangle$ **in** *blast*)
assume $a: \neg (\forall v. \neg (v \ \varepsilon \ z \ \wedge \ v \ \varepsilon \ y))$
from *sep-SR*[*of* $\lambda x y. x \ \varepsilon \ y$, *rule-format*, *of z*, *unfolded SetRelation-def*, *OF SFP-mem*]
obtain u **where** $u: \forall v. v \ \varepsilon \ u \ \longleftrightarrow v \ \varepsilon \ z \ \wedge \ v \ \varepsilon \ y$
by *auto*
hence $u \subseteq_M z \ \exists v. v \ \varepsilon \ u$
using a **unfolding** u [*rule-format*] *set-defs* **by** *blast+*
from $\langle \text{regular } z \rangle$ [*unfolded regular-def*, *rule-format*, *OF this*]
obtain m **where** $m \ \varepsilon \ u \ \forall v. \neg (v \ \varepsilon \ m \ \wedge \ v \ \varepsilon \ u)$
by *blast*
hence $m \ \varepsilon \ y \ \wedge (\forall v. \neg (v \ \varepsilon \ m \ \wedge \ v \ \varepsilon \ y))$
unfolding u [*rule-format*] **using** $\langle \text{ordM } z \rangle$ *ordM-trans* **by** *presburger*
thus *?thesis*
by *blast*
qed
qed

lemma *intersect-natM*: **assumes** $\text{natM } x \ \text{natM } y$
shows $\text{natM } (x \cap_M y)$
proof (*cases* $x = x \cap_M y$, *use* *assms* **in** *argo*)
assume $x \neq x \cap_M y$
show $\text{natM } (x \cap_M y)$
proof (*cases* $x \cap_M y = \emptyset$)
assume $x \cap_M y \neq \emptyset$
show $\text{natM } (x \cap_M y)$
proof (*rule natM-I*)
show $\bigwedge v. \exists u. u \ \varepsilon \ v \ \Longrightarrow v \ \varepsilon \ x \cap_M y \ \Longrightarrow \text{is-sucM } v$

```

    using ⟨natM x⟩[unfolded natM-def] unfolding intersection-def' by blast
  show is-sucM (x ∩M y)
  proof (rule nat-mem-is-suc)
    have ¬ x ∈ x ∩M y
      using assms(1) natM-def ordM-def regular-not-self-mem-sep unfolding
intersection-def' by fast
    thus (x ∩M y) ∈ x
      using ordM-total[OF - intersect-ordM, of x x y] ⟨¬ x = x ∩M y⟩ natM-D
  assms by blast
  show ∃ u. u ∈ x ∩M y
    using ⟨x ∩M y ≠ ∅⟩ emptyset-def' by auto
  qed fact
  qed (simp add: assms intersect-ordM natM-D)
  qed simp
qed
end

```

locale *L-setext-setsuc-sep* = *L-setext* + *L-setsuc* + *L-sep*

begin

We want to prove that ordinals are closed under the successor operation. However, regularity is a problem. It is not necessarily preserved by taking successors.

Separation is needed to avoid the following pathological situation: consider an epschain $C = \{c_z \mid z \in \mathbb{Z}\}$ of type \mathbb{Z} where moreover $\emptyset \in c_z$ for each z . That is, $u \in c_m$ iff $u = c_k$ with $k < m$, or $u = \emptyset$. We postulate that an infinite subclass of C is a set iff it contains c_0 . Now, c_z are ordinals for all $z \leq 0$. But c_1 is not regular, since it contains an infinite descending chain $\{c_k \mid k \leq 0\}$ as a subset.

sublocale *L-setext-empty-setsuc*
by *unfold-locales*

sublocale *L-setext-sep*
by *unfold-locales*

lemma *regular-setsuc*: **assumes** *transM x regular x regular y*

shows *regular (setsucM x y)*

proof (*cases y ∈ x*)

assume $y \in x$

hence $setsucM x y = x$

unfolding *setext[of - x]* *set-defs* **by** *blast*

thus *regular (setsucM x y)*

using $\langle regular x \rangle$ **by** *simp*

next

assume $\neg y \in x$

show *regular (setsucM x y)*

```

unfolding regular-def
proof (rule allI, rule impI, rule impI)
  fix w
  assume  $w \subseteq_M \text{setsucM } x \ y \ \exists \ z. \ z \in w$ 
  have SetFormulaPredicate ( $\lambda \Xi. \Xi \ 0 \neq \Xi \ 1$ )
    by (rule SFP-rules)+
  from sep-SR[of  $\lambda \ x \ y. \ x \neq y$ , unfolded SetRelation-def, OF this]
  obtain w' where w':  $\bigwedge \ z. \ z \in w' \iff z \in w \wedge z \neq y$ 
    by presburger
  have  $w' \subseteq_M x$ 
    using  $\langle w \subseteq_M \text{setsucM } x \ y \rangle$  w' subsetM-def setsuc-def' by auto
  show  $\exists z. \ z \in w \wedge (\forall v. \neg (v \in z \wedge v \in w))$ 
  proof (cases y ∈ w)
    assume  $y \in w$ 
    show ?thesis
    proof (cases w' = ∅)
      assume  $w' = \emptyset$ 
      have  $w = \{y\}_M$ 
        using w' empty-is-empty  $\langle y \in w \rangle$  unfolding setext[of w] set-defs  $\langle w' = \emptyset \rangle$ 
singleton-def' by metis
      hence  $y \in w \wedge (\forall v. \neg (v \in y \wedge v \in w))$ 
        unfolding  $\langle w = \{y\}_M \rangle$  set-defs using regular-not-self-mem[OF  $\langle \text{regular } y \rangle$ ]
singleton-def'
      by auto
      then show ?thesis
        by blast
    next
    assume  $\neg w' = \emptyset$ 
    hence  $\exists z. \ z \in w'$ 
      by (simp add: emptyset-def')
    from  $\langle \text{regular } x \rangle$ [unfolded regular-def, rule-format, OF  $\langle w' \subseteq_M x \rangle$  this]
    obtain m where  $m \in w'$  and m:  $\forall v. \neg (v \in m \wedge v \in w')$ 
      by blast
    hence  $m \in w$ 
      using w' by blast
    have  $\neg y \in m$ 
      using  $\langle \text{transM } x \rangle$ [unfolded transM-def]  $\langle m \in w' \rangle$   $\langle w' \subseteq_M x \rangle$   $\langle \neg y \in x \rangle$ 
subsetM-def by blast
    have  $\forall v. \neg (v \in m \wedge v \in w)$ 
      using m unfolding w' using  $\langle \neg y \in m \rangle$  by blast
    then show ?thesis
      using  $\langle m \in w \rangle$  by blast
  qed
  next
  assume  $\neg y \in w$ 
  hence  $w \subseteq_M x$ 
    using  $\langle w \subseteq_M \text{setsucM } x \ y \rangle$  w' subsetM-def
    by (metis  $\langle w' \subseteq_M x \rangle$ )
  from  $\langle \text{regular } x \rangle$ [unfolded regular-def, rule-format, OF this  $\langle \exists z. \ z \in w \rangle$ ]

```

show *?thesis*.
qed
qed
qed

lemma *ord-suc-ord*: **assumes** *ordM x* **shows** *ordM (setsucM x x)*
proof(*rule ordM-I*)
show *epschain: epschain (setsucM x x)*
using *assms*
using *ordM-def epschain-suc-epschain* **by** *blast*
show *regular (setsucM x x)*
unfolding *regular-def* **using** *assms epschain-def ordM-def regular-def regular-setsuc* **by** *blast*
qed

lemma *nat-suc-nat*: **assumes** *natM x* **shows** *natM (setsucM x x)*
proof-
have $(\forall v. v \in x \vee v = x \longrightarrow (\exists u. u \in v) \longrightarrow (\exists y. \forall z. (z \in v) = (z \in y \vee z = y))) \implies$
 $(\forall v. v \in \text{setsucM } x \vee v = \text{setsucM } x \longrightarrow (\exists u. u \in v) \longrightarrow (\exists y. \forall z. (z \in v) = (z \in y \vee z = y)))$
using *setsuc-def'* **by** *auto*
thus *natM (setsucM x x)*
using *assms ord-suc-ord* **unfolding** *natM-def is-sucM-def* **by** *fast*
qed

lemma *one-natM[simp]*: *natM ($\{\emptyset\}_M$)*
using *nat-suc-nat[OF emp-natM]* **unfolding** *setsuc-empty-sing*.

lemma *ord-mem-suc*: **assumes** *ordM x* **and** *y \in x*
shows *setsucM y y \in x \vee setsucM y y = x*
proof-
have *ordM y*
using *ord-mem-ord[OF <ordM x> <y \in x>]*.
have *ordM (setsucM y y)*
by (*simp add: <ordM y> ord-suc-ord*)
have $\neg x \in \text{setsucM } y$
proof
assume *x \in setsucM y y*
then consider *x \in y | x = y*
unfolding *setsuc-def'* **by** *blast*
then show *False*
by (*cases*) (*use <y \in x> <ordM x> ordM-def ordM-trans regular-not-self-mem*)
in *blast*)+
qed
with *ordM-total[OF <ordM x> <ordM (setsucM y y)>]*
show *?thesis*
by *blast*
qed

lemma *ord-limit-or-suc*: **assumes** *ordM x*
shows *is-sucM x* \vee $(\forall u. u \in x \longrightarrow \text{setsucM } u \ u \in x)$
using *assms is-suc-def' ord-mem-suc* **by** *auto*

lemma **assumes** *regular x regular y*
shows *regular (setsucM x y)*
unfolding *regular-def setsuc-def'*
oops

— not true, consider $\{x\} \in x$. Then $x \cup \{x\}$ contains a subset $\{x, \{x\}\}$ which is a cycle

end

1.3.8 Transitive superset

The existence of transitive supersets needs to be assumed explicitly if the axiom of infinity is absent (or negated). It is related to regularity, since it enables obtaining an infinite set from infinite descending epsilon chains.

locale *L-ts = set-signature* +
assumes *ts*: $\forall x. \exists z. (\text{transM } z \wedge (x \subseteq_M z))$

locale *L-setext-sep-ts = L-setext* + *L-sep* + *L-ts*

begin

sublocale *L-setext-sep*
by *unfold-locales*

lemma *least-ts-def'*: $u \in \text{least-tsM } x \longleftrightarrow (\forall v. \text{transM } v \wedge x \subseteq_M v \longrightarrow u \in v)$
(is $u \in \text{least-tsM } x \longleftrightarrow (\forall v. ?Q v \longrightarrow u \in v)$
by (*rule least-def-ex*[of $\lambda \Xi. \text{transM } (\Xi 0) \wedge \Xi 1 \subseteq_M \Xi 0$ *undefined*($1:=x$) *0*,
simplified, *OF ts*[*rule-format*], *folded least-tsM-def*], *unfold logsimps set-defs*)
(rule SFP-rules)+

lemma *least-ts-is-transitive*: *transM (least-tsM x)*
using *least-ts-def'* **unfolding** *transM-def subsetM-def* **by** *blast*

end

locale *L-setext-sep-reg = L-setext* + *L-sep* + *L-reg*

locale *L-setext-sep-reg-ts = L-setext* + *L-sep* + *L-reg* + *L-ts*

begin

sublocale *L-setext-sep-ts*
by *unfold-locales*

The schema of regularity follows from regularity and transitive superset.

sublocale *L-regsch*

proof (*unfold-locales, rule impI*)

fix $P \Xi$

assume *SetFormulaPredicate* P

assume $\exists x. P (\Xi(0:=x))$

then obtain x **where** $P (\Xi(0:=x))$

by *blast*

have $x \subseteq_M (\text{least-tsM } x)$

using *least-ts-def' subsetM-def* **by** *blast*

define w **where** $w = \text{separationM } (\text{least-tsM } x) (\lambda x. P (\Xi(0:=x)))$

then have $w: u \varepsilon w \longleftrightarrow u \varepsilon \text{least-tsM } x \wedge P (\Xi(0:=u))$ **for** u

using *separ-def-SFP[OF ‹SetFormulaPredicate P›]* **by** *blast*

show $\exists x. P (\Xi(0 := x)) \wedge (\forall y. y \varepsilon x \longrightarrow \neg P (\Xi(0 := y)))$

proof(*cases*)

assume $\exists v. v \varepsilon w$

have $(\exists v. v \varepsilon w) \longrightarrow (\exists v. (v \varepsilon w \wedge (\forall t. (t \varepsilon v \longrightarrow \neg t \varepsilon w))))$

using *reg* **by** *blast*

then have $(\exists v. (v \varepsilon w \wedge (\forall t. (t \varepsilon v \longrightarrow \neg t \varepsilon w))))$ **using** $\langle \exists v. v \varepsilon w \rangle$

by *blast*

then obtain v **where** $v \varepsilon w$ **and** $v: t \varepsilon v \longrightarrow \neg t \varepsilon w$ **for** t **by** *blast*

have $P (\Xi(0 := v))$

using $w \langle v \varepsilon w \rangle$ **by** *blast*

have $v \varepsilon \text{least-tsM } x$

using $w \langle v \varepsilon w \rangle$ **by** *blast*

have $v \subseteq_M \text{least-tsM } x$

using *least-ts-is-transitive ‹v ε least-tsM x› transM-def* **by** *blast*

have $t \varepsilon v \longrightarrow \neg P (\Xi(0 := t))$ **for** t

using $\langle v \subseteq_M \text{least-tsM } x \rangle w v$ **unfolding** *subsetM-def* **by** *blast*

then have $\forall t. (t \varepsilon v \longrightarrow \neg P (\Xi(0 := t)))$ **by** *blast*

then have $\exists x. P (\Xi(0 := x)) \wedge (\forall y. y \varepsilon x \longrightarrow \neg P (\Xi(0 := y)))$

using $\langle P (\Xi(0 := v)) \rangle$ **by** *blast*

then show *?thesis* **by** *blast*

next

assume $\neg (\exists v. v \varepsilon w)$

then have $\forall v. (v \varepsilon \text{least-tsM } x \longrightarrow \neg P (\Xi(0 := v)))$

using w **by** *blast*

then have $\forall v. (v \varepsilon x \longrightarrow \neg P (\Xi(0 := v)))$

using *subsetM-def ‹x ⊆_M least-tsM x›* **by** *blast*

then show *?thesis*

using $\langle P (\Xi(0 := x)) \rangle$ **by** *blast*

qed

qed

end

1.3.9 Replacement

locale *L-repl = set-signature +*

assumes replf: $SetFormulaPredicate P \implies (\forall u. \exists! v. P (\Xi(0:=u, 1:=v))) \longrightarrow (\forall x. \exists z. \forall v. v \varepsilon z \iff (\exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))))$

— We can replace x_0 by the unique x_1 satisfying $P(x_0, x_1)$. Note the presence of parameters $x_i, i \geq 2$

locale $L\text{-setext-empty-repl} = L\text{-setext} + L\text{-empty} + L\text{-repl}$

begin

Replacing using total functions is equivalent to replacing using partial functions.

lemma replp: **assumes** $SetFormulaPredicate P$ **and func:** $\forall u v w. P (\Xi(0:=u, 1:=v)) \wedge P (\Xi(0:=u, 1:=w)) \longrightarrow v = w$

shows $\forall x. \exists z. (\forall v. v \varepsilon z \iff (\exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))))$

proof

fix x

show $\exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v)))$

proof (*cases* $\exists u v. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))$)

assume $\exists u v. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))$

then show $\exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v)))$

using $exI[of \lambda z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v)))] \emptyset$ *empty*

by *blast*

next

assume $ex: \exists u v. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))$

then obtain v **where** $ex: \exists u. u \varepsilon x \wedge P (\Xi(0:=u, 1:=v))$

by *blast*

from *bounded-free[OF assms(1)]*

obtain k **where** $k0: \forall \Xi \Xi'. (\forall i < k. \Xi i = \Xi' i) \longrightarrow P \Xi = P \Xi'$

by *blast*

let $?k = Suc (max k 1)$

have $k: P(\Xi) \iff P(\Xi(?k:=a))$ $1 < ?k$ **for** Ξa

by (*rule* $k0[rule-format, of \Xi \Xi(?k:=a)]$, *force*) *simp*

have *twist:* $\Xi(Suc (max k 1) := a, 0 := b, 1 := c) = \Xi(0 := b, 1 := c, Suc (max k 1) := a)$ **for** $a b c$

by *auto*

have *canc:* $P(\Xi(?k:=a, 0:=b, 1:=c)) = P(\Xi(0:=b, 1:=c)) \Xi(?k:=a, 0:=b, 1:=c, 1:=d) = \Xi(?k:=a, 0:=b, 1:=d)$

$(\Xi(?k := a, 0 := b, 1 := c))$ $1 = c$ $(\Xi(?k := a, 0 := b, 1 := c))$ $?k = a$ **for** $a b c d$

unfolding *twist* **using** $k(1)$ **by** *auto*

define $Q :: (nat \Rightarrow 'a) \Rightarrow bool$ **where**

$Q = (\lambda \Xi. (if \exists c. P (\Xi(1:=c)) then P \Xi else \Xi 1 = \Xi ?k))$

have $\exists! v'. Q (\Xi(?k:=v, 0:=u, 1:=v'))$ **for** u

unfolding *Q-def* *canc* **using** *ex func* **by** *metis*

hence *ex1:* $\forall u. \exists! w. Q (\Xi(?k:=v, 0:=u, 1:=w))$

by *simp*

have $SetFormulaPredicate Q$

unfolding *Q-def* **by** (*simp, unfold logsimps*) (*rule SFP-rules | fact*)+

from *replf[rule-format, OF this ex1[rule-format], of x]*

have $Qset: \exists z. \forall w. (w \varepsilon z) = (\exists u. u \varepsilon x \wedge Q(\Xi(?k:=v, 0:=u, 1:=w)))$.
have $P\text{-iff-}Q: (\exists u. u \varepsilon x \wedge P(\Xi(0 := u, 1 := w))) \longleftrightarrow (\exists u. u \varepsilon x \wedge Q(\Xi(?k:=v, 0 := u, 1 := w)))$ **for** w
unfolding $Q\text{-def}$ *canc* **using** ex **by** *auto*
show $?thesis$
using $Qset$ **unfolding** $P\text{-iff-}Q$.
qed
qed

lemma replp-vars: assumes $SetFormulaPredicate P$ **and func:** $\forall u v w. P(\Xi(k:=u, l:=v)) \wedge P(\Xi(k:=u, l:=w)) \longrightarrow v = w$
shows $\forall x. \exists z. (\forall v. v \varepsilon z \longleftrightarrow (\exists u. u \varepsilon x \wedge P(\Xi(k:=u, l:=v))))$

proof-

from $bounded\text{-}free[OF \langle SetFormulaPredicate P \rangle]$
obtain m **where** $m: P \Xi = P \Xi'$ **if** $\forall i < m. \Xi i = \Xi' i$ **for** $\Xi \Xi'$
by *blast*
let $?m = Suc(k + l + m)$
let $?f = id(0 := ?m, 1 := Suc ?m, k := 0, l := 1)$
let $?Q = \lambda X. (P(\lambda b. X(?f b)))$
let $?X = \Xi(?m := \Xi 0, (Suc ?m) := \Xi 1)$
have $sfpq: SetFormulaPredicate ?Q$
using $transform\text{-}variables[OF \langle SetFormulaPredicate P \rangle]$ **by** *simp*
have $small: \forall i < m. (\Xi(k := u, l := v)) i = (?X(0 := u, 1 := v)) (?f i)$ **for** u
 v
by *auto*
have $equiv: (P(\Xi(k := u, l := v))) \longleftrightarrow (?Q(?X(0 := u, 1 := v)))$ **for** $u v$
by $(rule\ m[of\ \Xi(k := u, l := v)\ \lambda b. (?X(0 := u, 1 := v))(?f b)])$ *fact*
then have $funcq: (\forall u v w. ?Q(?X(0 := u, 1 := v)) \wedge ?Q(?X(0 := u, 1 := w)) \longrightarrow v = w)$
using $func$ **by** *blast*
show $?thesis$
using $replp[OF\ sfpq\ funcq]$ **unfolding** $equiv$.
qed

lemma repl-SR: assumes $SetRelation P$ $\forall u v w. P u v \wedge P u w \longrightarrow v = w$
shows $\forall x. \exists z. (\forall v. v \varepsilon z \longleftrightarrow (\exists u. u \varepsilon x \wedge P u v))$
using $assms$ **unfolding** $SetRelation\text{-}def$ **using** $replp[of\ \lambda \Xi. P(\Xi 0)(\Xi 1)]$ **by** *fastforce*

lemma replace-def':

assumes $SetFormulaPredicate P$ $\forall u v w. P(\Xi(k:=u, l:=v)) \wedge P(\Xi(k:=u, l:=w)) \longrightarrow v = w$
shows $\forall v. v \varepsilon replaceM(\lambda u v. P(\Xi(k:=u, l:=v))) x \longleftrightarrow (\exists u. u \varepsilon x \wedge (P(\Xi(k:=u, l:=v))))$
using $collect\text{-}def\text{-}ex[of\ \lambda v. (\exists u. u \varepsilon x \wedge P(\Xi(k:=u, l:=v)))]$, $OF\ replp\text{-}vars[OF\ assms, rule\text{-}format]$, $folded\ replaceM\text{-}def]$ **by** *blast*

Separation can be obtained from replacement.

sublocale $L\text{-setext-sep}$

proof (*unfold-locales, rule allI*)
fix $P \Xi x$
assume $sfp: SetFormulaPredicate P$
— The idea is clear: we replace x_0 with itself, that is, with $x_1 = x_0$. A technical complication is that we have to rename parameters x_i which for separation include x_1 while for replacement they have to start with x_2
have $t: (\lambda n. \text{if } n = 0 \text{ then } v \text{ else } ((\lambda i. \Xi (i - 1))(0 := v)) (n+1)) = \Xi(0 := v)$
for v **and** $\Xi :: \text{nat} \Rightarrow 'a$
by *auto*
have $SetFormulaPredicate (\lambda \Xi. (\Xi 0) = (\Xi 1) \wedge P (\lambda n. \Xi (n+1)))$
unfolding *logsimps* **by** (*rule SFP-rules*)⁺ (*rule transform-variables*[*OF sfp*])
from *repl*[*rule-format, OF this, of* $\lambda i. \Xi (i - 1)$ x]
show $\exists z. \forall u. (u \varepsilon z) = (u \varepsilon x \wedge P (\Xi(0 := u)))$
by (*simp del: One-nat-def add: t*)
qed
end

Separation does not follow from replacement without the empty set axiom. We show it by constructing a counter-model.

theorem *not-repl-ext-implies-separ*: $\neg (\forall \text{ mem}. L\text{-repl mem} \wedge L\text{-setext mem} \longrightarrow L\text{-sep mem})$

proof–

define $\text{loop} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **where** $\text{loop} \equiv \lambda x y. x = y$
interpret *eq-mem* : *set-signature* loop .
have $\text{loop-ext}: L\text{-setext } \text{loop}$
by (*unfold-locales, unfold loop-def*) *force*
have $\text{loop-repl}: L\text{-repl } \text{loop}$
proof (*unfold-locales, rule impI*)
fix $P :: (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **and** Ξ
assume $\forall u. \exists !v. P (\Xi(0 := u, 1 := v))$
then show $\forall x. \exists z. \forall v. \text{loop } v z = (\exists u. \text{loop } u x \wedge P (\Xi(0 := u, 1 := v)))$
unfolding *loop-def* **by** *metis*
qed
have $SP: \text{eq-mem}.SetFormulaPredicate (\lambda \Xi. \text{False})$
by *simp*
have $\neg L\text{-sep } \text{loop}$
unfolding *L-sep-def* **using** *loop-def SP* **by** *blast*
show *?thesis*
using $\langle \neg L\text{-sep } \text{loop} \rangle \text{loop-ext loop-repl}$ **by** *auto*
qed

locale *L-setext-empty-setsuc-repl* = *L-setext* + *L-empty* + *L-setsuc* + *L-repl*

begin

sublocale *L-setext-empty-setsuc*
by *unfold-locales*

sublocale *L-setext-empty-repl*

by *unfold-locales*

lemma *tarski-setsuc-tarski*: **assumes** *tarski-fin x* **shows** *tarski-fin (setsucM x y)*

unfolding *tarski-fin-def*

proof (*rule, rule, rule*)

— fix a system w for which we want to find the maximum

fix w **assume** $w: \forall z. z \in w \longrightarrow z \subseteq_M \text{setsucM } x \ y$ **and** $\exists z. z \in w$

— remove y from each element of w , call the result w'

let $?P = \lambda \Xi. \forall a. a \in \Xi \ 1 \longleftrightarrow a \in \Xi \ 0 \wedge a \neq \Xi \ 2$

have $\text{sfp}: \text{SetFormulaPredicate } (\lambda \Xi. \forall v. (v \in \Xi \ 1) = (v \in \Xi \ 0 \wedge v \neq \Xi \ 2))$

unfolding *logsimps* **by** (*rule SFP-rules*) $+$

have $\text{sfp}': \text{SetFormulaPredicate } (\lambda \Xi. \Xi \ 0 \neq \Xi \ 1)$

by (*rule SFP-rules*) $+$

have $\text{aux}: (\Xi(2::\text{nat} := y, 0 := u, 1 := v)) \ 0 = u$

$(\Xi(2 := y, 0 := u, 1 := v)) \ 1 = v$

$(\Xi(2 := y, 0 := u, 1 := v)) \ 2 = y$ **for** $u \ v \ \Xi$

by *simp-all*

have $\exists w'. \forall z. z \in w' \longleftrightarrow (\exists u. u \in w \wedge (\forall v. v \in z \longleftrightarrow v \in u \wedge v \neq y))$

by (*rule replp[rule-format, of ?P undefined(2:= y) w, unfolded aux], fact*)

(*unfold setext, simp*)

then obtain w' **where** $w': \forall z. z \in w' \longleftrightarrow (\exists u. u \in w \wedge (\forall v. v \in z \longleftrightarrow v \in u \wedge v \neq y))$

by *blast*

— get the maximum of w'

obtain $wmax'$ **where** $wmax': wmax' \in w' \wedge (\nexists w. w \in w' \wedge wmax' \subset_M w)$

proof (*rule exE[OF assms[unfolded tarski-fin-def, rule-format, of w], of thesis]*)

show $z \subseteq_M x$ **if** $z \in w'$ **for** z

using $\langle z \in w' \rangle$ [*unfolded w'[rule-format, of z]*] w **unfolding** *subsetM-def setsuc-def'* **by** *auto*

show $\exists x. x \in w'$

proof—

obtain u **where** $u \in w$

using $\langle \exists z. z \in w \rangle$ **by** *blast*

from *sep[rule-format, OF sfp', of u undefined(1:=y)]*

obtain u' **where** $u': \forall v. v \in u' \longleftrightarrow v \in u \wedge v \neq y$

by *auto*

show $\exists x. x \in w'$

unfolding w' [*rule-format*] **using** $\langle u \in w \rangle$ u' **by** *blast*

qed

qed

have $\neg y \in wmax' \ wmax' \in w' \ \nexists w. w \in w' \wedge wmax' \subset_M w$

using $w' \ wmax'$ **by** *blast+*

— Either $wmax'$ or *setsucM wmax' y* is the desired maximum of w

show $\exists z. z \in w \wedge (\nexists v. v \in w \wedge z \subset_M v)$

proof(*cases*)

```

assume  $setsucM\ wmax'\ y\ \varepsilon\ w$ 
have  $(\#v. v\ \varepsilon\ w\ \wedge\ setsucM\ wmax'\ y\ \subset_M\ v)$ 
proof
  assume  $\exists v. v\ \varepsilon\ w\ \wedge\ setsucM\ wmax'\ y\ \subset_M\ v$ 
  then obtain  $v$  where  $v\ \varepsilon\ w$  and  $setsucM\ wmax'\ y\ \subset_M\ v$ 
    by blast
  from  $sep[rule-format, OF\ sfp',\ of\ v\ undefined(1:=y)]$ 
  obtain  $v'$  where  $v': \bigwedge t. t\ \varepsilon\ v' \iff t\ \varepsilon\ v\ \wedge\ t\ \neq\ y$ 
    by auto
  have  $v'\ \varepsilon\ w'$ 
    using  $\langle v\ \varepsilon\ w \rangle\ v'\ w'$  by auto
  have  $wmax'\ \subset_M\ v'$ 
    using  $\langle setsucM\ wmax'\ y\ \subset_M\ v \rangle\ \langle \neg y\ \varepsilon\ wmax' \rangle$  unfolding set-defs setext[of
wmax'] setext[of - v]  $v'$  by blast
  show False
    using  $wmax'\ \langle v'\ \varepsilon\ w' \rangle\ \langle wmax'\ \subset_M\ v' \rangle$  by blast
qed
thus ?thesis
  using  $\langle setsucM\ wmax'\ y\ \varepsilon\ w \rangle$  by blast
next
assume  $\neg\ setsucM\ wmax'\ y\ \varepsilon\ w$ 
hence  $wmax'\ \varepsilon\ w$ 
proof-
  obtain  $wmax$  where  $wmax\ \varepsilon\ w$  and  $wmax: \forall v. (v\ \varepsilon\ wmax') = (v\ \varepsilon\ wmax$ 
 $\wedge\ v\ \neq\ y)$ 
    using  $\langle wmax'\ \varepsilon\ w' \rangle$   $[unfolding\ w'[rule-format, of\ wmax']]$   $\langle \neg y\ \varepsilon\ wmax' \rangle$  by
blast
  have  $\neg y\ \varepsilon\ wmax$ 
proof
  assume  $y\ \varepsilon\ wmax$ 
  hence  $wmax = setsucM\ wmax'\ y$ 
    unfolding setext[of wmax] set-defs wmax[rule-format] by blast
  then show False
    using  $\langle \neg\ setsucM\ wmax'\ y\ \varepsilon\ w \rangle\ \langle wmax\ \varepsilon\ w \rangle$  by blast
qed
hence  $wmax = wmax'$ 
  using setext wmax by blast
then show  $wmax'\ \varepsilon\ w$ 
  using  $\langle wmax\ \varepsilon\ w \rangle$  by blast
qed
have  $(\#v. v\ \varepsilon\ w\ \wedge\ wmax'\ \subset_M\ v)$ 
proof
  assume  $\exists v. v\ \varepsilon\ w\ \wedge\ wmax'\ \subset_M\ v$ 
  then obtain  $v$  where  $v\ \varepsilon\ w$  and  $wmax'\ \subsetneq_M\ v$  and  $wmax'\ \neq\ v$ 
    unfolding proper-subset-def' by blast
  obtain  $v'$  where  $v': \bigwedge t. t\ \varepsilon\ v' \iff t\ \varepsilon\ v\ \wedge\ t\ \neq\ y$ 
    using  $sep[rule-format, OF\ sfp',\ of\ v\ undefined(1:=y)]$  by auto
  have  $v'\ \varepsilon\ w'$ 
    using  $\langle v\ \varepsilon\ w \rangle\ v'\ w'$  by auto

```

```

    have  $wmax' \subseteq_M v'$ 
      using  $\langle wmax' \subseteq_M v \rangle \langle \neg y \in wmax' \rangle$  unfolding  $setext[of - v]$   $v'$   $set-defs$  by
    blast
    hence  $wmax' = v'$ 
      using  $wmax' \langle v' \in w' \rangle$  unfolding  $proper-subset-def'$  by blast
    have  $v = setsucM wmax' y$ 
      using  $\langle wmax' \neq v \rangle$  unfolding  $\langle wmax' = v' \rangle$   $setext[of v]$   $setext[of - v]$   $set-defs$ 
     $v'$  by blast
    then show False
      using  $\langle \neg setsucM wmax' y \in w \rangle \langle v \in w \rangle$  by blast
    qed
    thus ?thesis
      using  $\langle wmax' \in w \rangle$  by blast
  qed
qed
end

```

1.3.10 Powerset

```

locale  $L$ -power =  $set$ -signature +
  assumes

```

$$power: \forall x. \exists y. (\forall u. u \in y \longleftrightarrow u \subseteq_M x)$$

```

locale  $L$ -setext-empty-power =  $L$ -setext +  $L$ -empty +  $L$ -power

```

```

begin

```

```

sublocale  $L$ -setext-empty
  by  $unfold$ -locales

```

```

lemma  $powerset-def'$ [ $set-defs$ ]:  $u \in \mathfrak{P} x \longleftrightarrow u \subseteq_M x$ 
  using  $collect-def-ex[OF power[rule-format], folded powersetM-def]$ .

```

```

lemma  $\emptyset \in \mathfrak{P} \emptyset$ 
  using  $empty-is-subset powerset-def'$  by blast

```

```

lemma  $set-one-mem$  [ $simp$ ]:  $u \in \mathfrak{P} \emptyset \longleftrightarrow u = \emptyset$ 
  unfolding  $powerset-def'$   $subsetM-def$   $emptyset-def'$  by force

```

```

lemma  $set-one-def$ :  $\mathfrak{P} \emptyset = \{\emptyset\}_M$ 
  unfolding  $singletonM-def$  using  $collect-def'$ [ $of \mathfrak{P} \emptyset$ ] unfolding  $set-one-mem$  by
  force

```

```

lemma  $set-two-mem$ :  $u \in \mathfrak{P} (\mathfrak{P} \emptyset) \longleftrightarrow u = \emptyset \vee u = \{\emptyset\}_M$ 
  unfolding  $powerset-def'$   $set-one-def$ 

```

```

proof

```

```

  show  $u = \emptyset \vee u = \{\emptyset\}_M$  if  $u \subseteq_M \{\emptyset\}_M$ 
    using  $that[unfolded subsetM-def] emptyset-def'$ 

```

unfolding *setext*[*of* - $\{\emptyset\}_M$] *set-one-mem*[*unfolded set-one-def*] **by** *blast*
show $u = \emptyset \vee u = \{\emptyset\}_M \implies u \subseteq_M \{\emptyset\}_M$
using *empty-is-subset subsetM-refl* **by** *blast*
qed

end

locale *L-setext-empty-power-repl* = *L-setext* + *L-empty* + *L-power* + *L-repl*

— Subsumes many already existing locales. In particular, we obtain set successor from powerset and replacement

begin

sublocale *L-setext-empty-repl*
by *unfold-locales*

sublocale *L-setext-empty-power*
by *unfold-locales*

sublocale *L-setext-empty-setsuc*
proof (*unfold-locales*, *rule allI*, *rule allI*)

fix $x\ y$
let $?P = (\lambda\ \Xi. ((\exists\ q. q \in (\Xi\ 0::nat))) \wedge (\forall\ q. (q \in (\Xi\ 0) \longleftrightarrow q = (\Xi\ 1))) \vee (\exists\ 1 = \Xi\ 2 \wedge \neg(\exists\ z. \forall\ q. (q \in (\Xi\ 0) \longleftrightarrow q = z))))$
have *sfp*: *SetFormulaPredicate* $?P$
unfolding *logsimps set-defs* **by** (*rule SFP-rules*)
have *func*: $\forall\ u\ v\ w.$
 $((\exists\ q. q \in u) \wedge (\forall\ q. (q \in u) \longleftrightarrow (q = v)) \vee v = y \wedge (\nexists\ z. \forall\ q. (q \in u) \longleftrightarrow (q = z))) \wedge$
 $((\exists\ q. q \in u) \wedge (\forall\ q. (q \in u) \longleftrightarrow (q = w)) \vee w = y \wedge (\nexists\ z. \forall\ q. (q \in u) \longleftrightarrow (q = z))) \longrightarrow$
 $v = w$
by *blast*
have *aux*: (*undefined*($2 :: nat := y, 0 := u, 1 := v$)) $0 = u$ (*undefined*($2 :: nat := y, 0 := u, 1 := v$)) $1 = v$ (*undefined*($2 :: nat := y, 0 := u, 1 := v$)) $2 = y$ **for** $u\ v$
by *simp-all*
have $\exists\ z. \forall\ v. (v \in z) =$
 $(\exists\ u. u \in \mathfrak{P}\ x \wedge ((\exists\ q. q \in u) \wedge (\forall\ q. (q \in u) = (q = v)) \vee v = y \wedge (\forall\ z. \exists\ q. (q \in u) = (q \neq z))))$
using *repl*[*OF sfp, simplified, of undefined(2:=y), unfolded fun-upd-same, OF func[simplified]*] **by** *simp*
— singletons from $\mathfrak{P}\ x$ are replaced by their elements, other sets by y
then obtain s **where** $s: \forall\ v. (v \in s) =$
 $(\exists\ u. u \in \mathfrak{P}\ x \wedge ((\exists\ q. q \in u) \wedge (\forall\ q. (q \in u) = (q = v)) \vee v = y \wedge (\forall\ z. \exists\ q. (q \in u) = (q \neq z))))$
by *auto*
have $v \in s \longleftrightarrow (v \in x \vee v = y)$ **for** v

proof
show $v \in s \implies v \in x \vee v = y$
unfolding $s[\text{rule-format}]$ $\text{powerset-def}'[\text{unfolded subsetM-def}]$ **by** *blast*
show $v \in x \vee v = y \implies v \in s$
proof (*erule disjE*)
assume $v \in x$
obtain singv **where** $\text{singv}: \text{singv} \in \mathfrak{P} x \vee a. (a \in \text{singv}) \longleftrightarrow a = v$
proof–
have $\text{sfp}: \text{SetFormulaPredicate} (\lambda \Xi. \Xi 0 = \emptyset \wedge \Xi 1 = \Xi 2)$
unfolding logsimps set-defs **by** (*rule SFP-rules*)
then obtain singv **where** $\forall a. (a \in \text{singv}) \longleftrightarrow a = v$
using $\text{repl}[OF \text{sfp}, \text{rule-format}, \text{of undefined}(2 := v) \mathfrak{P} \emptyset, \text{simplified}]$ **by**
blast
then have $\text{singv} \in \mathfrak{P} x$
using $\langle v \in x \rangle$ **by** (*simp add: powerset-def' subsetM-def*)
from $\text{that}[OF \text{this} \langle \forall a. (a \in \text{singv}) \longleftrightarrow a = v \rangle]$
show *thesis*.
qed
then show $v \in s$
unfolding $s[\text{rule-format}]$ **by** *blast*
next
assume $v = y$
hence $\emptyset \in \mathfrak{P} x \wedge \emptyset \subseteq_M x \wedge (v = y \wedge (\nexists z. \forall q. (q \in \emptyset) = (q = z)))$
unfolding set-defs **by** *force*
then show $v \in s$
unfolding $s[\text{rule-format}]$ **by** *blast*
qed
qed
then show $\exists z. \forall u. (u \in z) = (u \in x \vee u = y)$
by *blast*
qed

sublocale $L\text{-setext-empty-setsuc-repl}$
by *unfold-locales*

end

1.3.11 Union

locale $L\text{-union} = \text{set-signature} +$
assumes $\text{union}: \forall x. \exists y. \forall v. v \in y \longleftrightarrow (\exists u. u \in x \wedge v \in u)$

locale $L\text{-setext-union} = L\text{-setext} + L\text{-union}$

begin

lemma $\text{union-def}'[\text{set-defs}]: u \in \bigcup_M x \longleftrightarrow (\exists v. v \in x \wedge u \in v)$
using $\text{collect-def-ex}[OF \text{union}[\text{rule-format}, \text{of } x], \text{folded unionM-def}[\text{of } x]].$

```

lemma union-trans-trans: assumes  $\forall v. v \in x \longrightarrow \text{transM } v$ 
  shows  $\text{transM } (\bigcup_M x)$ 
  using assms unfolding transM-def union-def' subsetM-def by blast

end

locale L-setext-sep-binunion-ts = L-setext + L-sep + L-binunion + L-ts

begin

sublocale L-setext-binunion
  by unfold-locales

sublocale L-setext-sep-ts
  by unfold-locales

lemma trans-union:  $\text{transM } u \implies \text{transM } v \implies \text{transM } (u \cup_M v)$ 
  by (simp add: binunion-def' subsetM-def transM-def)

lemma leastTS-union:  $\text{least-tsM } (u \cup_M v) = \text{least-tsM } u \cup_M \text{least-tsM } v$ 
  unfolding setext least-ts-def' binunion-def'
proof (rule allI, rule iffI)
  fix z
  assume trans:  $\forall x. \text{transM } x \wedge u \cup_M v \subseteq_M x \longrightarrow z \in x$ 
  show  $(\forall x. \text{transM } x \wedge u \subseteq_M x \longrightarrow z \in x) \vee (\forall x. \text{transM } x \wedge v \subseteq_M x \longrightarrow z \in x)$ 
  proof (rule disjCI)
    assume  $\neg (\forall x. \text{transM } x \wedge v \subseteq_M x \longrightarrow z \in x)$ 
    then obtain x where  $\text{transM } x \wedge v \subseteq_M x \wedge \neg z \in x$ 
    by blast
    show  $\forall x. \text{transM } x \wedge u \subseteq_M x \longrightarrow z \in x$ 
    proof (rule allI, rule impI)
      fix y
      assume  $\text{transM } y \wedge u \subseteq_M y$ 
      with trans-union[OF  $\langle \text{transM } x \rangle$ , of y]
      have  $\text{transM } (x \cup_M y) \wedge u \cup_M v \subseteq_M x \cup_M y$ 
      using  $\langle v \subseteq_M x \rangle$  binunion-def' subsetM-def by auto
      from trans[rule-format, OF this]
      show  $z \in y$ 
      using  $\langle \neg z \in x \rangle$  unfolding binunion-def' by blast
    qed
  qed
next
  fix z
  assume or:  $(\forall x. \text{transM } x \wedge u \subseteq_M x \longrightarrow z \in x) \vee (\forall x. \text{transM } x \wedge v \subseteq_M x \longrightarrow z \in x)$ 
  show  $\forall y. \text{transM } y \wedge u \cup_M v \subseteq_M y \longrightarrow z \in y$ 
  proof (rule allI, rule impI)
    fix y
    assume  $\text{transM } y \wedge u \cup_M v \subseteq_M y$ 

```

```

hence ins:  $\text{transM } y \wedge u \subseteq_M y \text{ transM } y \wedge v \subseteq_M y$ 
unfolding subsetM-def binunion-def' by auto
show  $z \varepsilon y$ 
by (rule disjE[OF or, rule-format]) (use ins in blast)+
qed
qed

end

```

The following locale is called Elementary Set Theory (EST) in Baratella and Ferro, "A theory of Sets with the Negation of the Axiom of Infinity", 1993.

```

locale L-setext-empty-union-repl-pair = L-setext + L-empty + L-union + L-repl
+ L-pair

```

```

begin

```

— binunion is the union of a pair

```

sublocale L-setext-pair-binunion

```

```

proof (unfold-locales, rule allI, rule allI)

```

```

fix x y

```

```

from pair[rule-format, of x y]

```

```

obtain pair where pair:  $\forall v. (v \varepsilon \text{pair}) = (v = x \vee v = y)$ 

```

```

by blast

```

```

show  $\exists z. \forall v. (v \varepsilon z) = (v \varepsilon x \vee v \varepsilon y)$ 

```

```

using union[rule-format, of pair] unfolding pair[rule-format] by simp

```

```

qed

```

```

end

```

The locale *L-setext-empty-union-repl-pair* (i.e., the fragment EST also used by Baratella and Ferro [1]) is the right context in which to show that the schema of regularity yields existence of transitive closures (least transitive supersets)

```

locale L-setext-empty-union-repl-pair-regsch = L-setext + L-empty + L-union +
L-repl + L-pair + L-regsch

```

```

begin

```

```

sublocale L-setext-empty-union-repl-pair

```

```

by unfold-locales

```

```

sublocale L-setext-empty-repl

```

```

by unfold-locales

```

```

sublocale L-setext-union

```

```

by unfold-locales

```

```

lemma transitive-closure-ex:

```

shows $\forall x. \exists z. x \subseteq_M z \wedge \text{transM } z \wedge (\forall u. u \varepsilon z \longleftrightarrow (\forall t. x \subseteq_M t \wedge \text{transM } t \longrightarrow u \varepsilon t))$
(is $\forall x. \exists z. ?TC \ x \ z$ **is** $\forall x. ?hasTC \ x)$
proof
fix $x' :: 'a$
have *ind-rule*: *SetFormulaPredicate* $(\lambda \Xi. ?hasTC(\Xi \ 0)) \Longrightarrow (\bigwedge x. (\bigwedge y. y \varepsilon x \Longrightarrow ?hasTC \ y) \Longrightarrow ?hasTC \ x) \Longrightarrow ?hasTC \ x'$
using *regsch-epsind*[*rule-format*, of $\lambda \Xi. ?hasTC(\Xi \ 0)$ *undefined* x' , *unfolded fun-upd-same*] **by** *argo*
show $\exists z. x' \subseteq_M z \wedge \text{transM } z \wedge (\forall u. (u \varepsilon z) = (\forall t. x' \subseteq_M t \wedge \text{transM } t \longrightarrow u \varepsilon t))$
proof (*rule ind-rule*)
fix x
define *TC* **where** $TC = ?TC \text{ — } TC \ x \ z$
have *sfp-tc*: *SetFormulaPredicate* $(\lambda \Xi. TC \ (\Xi \ 0) \ (\Xi \ 1))$
unfolding *TC-def set-defs logsimps* **by** (*rule SFP-rules*)**+**
have *tc-fun*: $\forall u \ v \ w. TC \ u \ v \wedge TC \ u \ w \longrightarrow v = w$
unfolding *TC-def setext* **by** *blast*
show *SetFormulaPredicate* $(\lambda \Xi. ?hasTC(\Xi \ 0))$
unfolding *TC-def set-defs logsimps* **by** (*rule SFP-rules*)**+**
assume *IP*: $?hasTC \ y$ **if** $y \varepsilon x$ **for** y
define *tcs* **where** $tcs = \bigcup_M (\text{replaceM } TC \ x)$
have *repl-x*: $\forall v. (v \varepsilon \text{replaceM } TC \ x) \longleftrightarrow (\exists u. u \varepsilon x \wedge TC \ u \ v)$
by (*rule replace-def*'[*OF sfp-tc*, of $- \ 0 \ 1 \ x$, *simplified fun-upd-same fun-upd-other*])
fact
hence $\text{transM } tcs$
unfolding *TC-def tcs-def union-def'* *transM-def subsetM-def* **by** *blast*
hence *tcs-mem*: $w \varepsilon tcs \longleftrightarrow (\exists y. y \varepsilon x \wedge (\exists v. TC \ y \ v \wedge w \varepsilon v))$ **for** w
unfolding *tcs-def* **using** *union-def'* *repl-x* **by** *auto*
show $?hasTC \ x$
proof (*rule exI*[of $- \ tcs \cup_M \ x$], *fold conj-assoc*, *rule conjI*, *rule conjI*)
show $x \subseteq_M tcs \cup_M x$
unfolding *tcs-def set-defs* **by** *blast*
show *trans*: $\text{transM } (tcs \cup_M x)$
unfolding *transM-def binunion-def'*
proof (*rule allI*, *rule impI*, *erule disjE*)
show $y \subseteq_M tcs \cup_M x$ **if** $y \varepsilon tcs$ **for** y
using $\langle \text{transM } tcs \rangle$ **that** **unfolding** *transM-def binunion-def'* *subsetM-def*
by *blast*
show $y \subseteq_M tcs \cup_M x$ **if** $y \varepsilon x$ **for** y
unfolding *subsetM-def tcs-def binunion-def'* *union-def'* *repl-x*[*rule-format*]

proof (*rule allI*, *rule impI*, *rule disjI1*)
fix z
assume $z \varepsilon y$
obtain w **where** $TC \ y \ w$
unfolding *TC-def* **using** *IP*[*OF* $\langle y \varepsilon x \rangle$] **by** *blast*
hence $z \varepsilon w$
using $\langle z \varepsilon y \rangle$ **unfolding** *TC-def subsetM-def* **by** *blast*

hence $(y \in x \wedge TC\ y\ w) \wedge z \in w$
using $\langle y \in x \rangle \langle TC\ y\ w \rangle$ **by** *blast*
then show $\exists v. (\exists u. u \in x \wedge TC\ u\ v) \wedge z \in v$
by *blast*
qed
qed
show $\forall u. (u \in tcs \cup_M x) \longleftrightarrow (\forall t. x \subseteq_M t \wedge transM\ t \longrightarrow u \in t)$
proof (*rule, rule, rule, rule*)
— $tcs \cup_M x$ is the least transitive superset
fix $u\ t$
assume $u \in tcs \cup_M x \wedge x \subseteq_M t \wedge transM\ t$
consider $\exists y. y \in x \wedge (\exists w. TC\ y\ w \wedge u \in w) \mid u \in x$
using $\langle u \in tcs \cup_M x \rangle$ **unfolding** *set-defs tcs-def repl-x[rule-format]* **by**
blast
then show $u \in t$
proof (*cases*)
assume $\exists y. y \in x \wedge (\exists w. TC\ y\ w \wedge u \in w)$
then obtain $y\ w$ **where** $y\text{-}w: y \in x\ TC\ y\ w\ u \in w$
by *blast*
have $y \subseteq_M t$
using $\langle y \in x \rangle \langle x \subseteq_M t \wedge transM\ t \rangle$ **unfolding** *transM-def subsetM-def*
by *blast*
then show $u \in t$
using $\langle x \subseteq_M t \wedge transM\ t \rangle\ y\text{-}w$ **unfolding** *TC-def* **by** *blast*
qed (*use* $\langle x \subseteq_M t \wedge transM\ t \rangle\ subsetM\text{-}def$ **in** *blast*) — the trivial case $u \in x$
qed (*use* $\langle x \subseteq_M tcs \cup_M x \rangle\ local.trans$ **in** *blast*) — the trivial implication: $tcs \cup_M x$ is a transitive superset
qed
qed
qed

sublocale *L-setext-sep-reg-ts*
using *transitive-closure-ex* **by** *unfold-locales blast*

end

locale *L-setext-empty-power-union-repl* = *L-setext* + *L-empty* + *L-power* + *L-union* + *L-repl*

— ZF without infinity and regularity. Axioms enabling reasoning about functions, cardinality, and therefore about Dedekind finiteness.

begin

sublocale *L-setext-empty-power-repl*
by *unfold-locales*

sublocale *L-setext-setsuc-sep*
by *unfold-locales*

sublocale L -setext-union

by *unfold-locales*

sublocale L -setext-empty-union-repl-pair

by *unfold-locales*

lemma *ordered-pair-mem*: **assumes** $v \in x \ v' \in y$ **shows** $\langle v, v' \rangle \in \mathfrak{P} (\mathfrak{P} (x \cup_M y))$

proof–

have $\{v\}_M \in \mathfrak{P} (x \cup_M y) \ \{v, v'\}_M \in \mathfrak{P} (x \cup_M y)$

using $\langle v \in x \ \langle v' \in y \rangle$ **unfolding** *set-defs* **by** *blast+*

thus *?thesis*

unfolding *setext[of - \mathfrak{P} -]* *powerset-def'[of - \mathfrak{P} -]*

pair-def' subsetM-def ordered-pairM-def **by** *blast*

qed

lemma *ordered-pair-mem-union*: **assumes** $\langle u, v \rangle \in r$ **shows** $u \in \bigcup_M (\bigcup_M r) \ v \in \bigcup_M (\bigcup_M r)$

proof–

have $(\langle u, v \rangle \in r \wedge \{u, v\}_M \in \langle u, v \rangle) \wedge u \in \{u, v\}_M (\langle u, v \rangle \in r \wedge \{u, v\}_M \in \langle u, v \rangle) \wedge v \in \{u, v\}_M$

using *assms* **unfolding** *pair-def' ordered-pairM-def* **by** *blast+*

then show $u \in \bigcup_M (\bigcup_M r) \ v \in \bigcup_M (\bigcup_M r)$

unfolding *union-def'* **by** *blast+*

qed

lemma *car-prod-ex*: $\exists z. \forall u. u \in z \iff (\exists v v'. v \in x \wedge v' \in y \wedge u = \langle v, v' \rangle)$

proof–

have *SetFormulaPredicate* $(\lambda \Xi. \exists v v'. v \in \Xi 1 \wedge v' \in \Xi 2 \wedge \Xi 0 = \langle v, v' \rangle)$

unfolding *set-defs logsimps* **by** *(rule SFP-rules)+*

from *sep[rule-format, of - $\mathfrak{P} (\mathfrak{P} (x \cup_M y))$ undefined(1:=x,2:=y), OF this, simplified]*

show *?thesis*

using *ordered-pair-mem* **by** *metis*

qed

lemma *car-prod-def'*: $u \in x \times_M y \iff (\exists v v'. v \in x \wedge v' \in y \wedge u = \langle v, v' \rangle)$

unfolding *cartesian-productM-def[rule-format]*

using *collect-def-ex[OF car-prod-ex, of - x y]* *ordered-pair-mem* **by** *blast*

lemma *rel-inv-def'*: $u \in \text{rel-inverseM } r \iff (\exists a b. \langle a, b \rangle \in r \wedge u = \langle b, a \rangle)$

proof–

have *SR: SetRelation* $(\lambda u r. \exists a b. \langle a, b \rangle \in r \wedge u = \langle b, a \rangle)$

unfolding *SetRelation-def logsimps* **by** *(rule SFP-rules)+*

have *eq*: $u \in \bigcup_M (\bigcup_M r) \times_M \bigcup_M (\bigcup_M r) \wedge (\exists a b. \langle a, b \rangle \in r \wedge u = \langle b, a \rangle)$

$\iff (\exists a b. \langle a, b \rangle \in r \wedge u = \langle b, a \rangle)$ **for** u

unfolding *car-prod-def'[rule-format]* **using** *ordered-pair-mem-union* **by** *blast*

show *?thesis*

unfolding *rel-inverseM-def*

using *collect-def-ex*[of $\lambda v. (\exists a b. \langle a, b \rangle \in r \wedge v = \langle b, a \rangle)$]
sep-SR[*rule-format, OF SR, of $\bigcup_M (\bigcup_M r) \times_M \bigcup_M (\bigcup_M r) r$, unfolded eq*]
by *simp*
qed

lemma *dom-def'*: $u \in \text{dom}M r \longleftrightarrow (\exists v. \langle u, v \rangle \in r)$
unfolding *domM-def*
proof (*rule collect-def-ex*)
have *aux*: $(\exists v. \langle u, v \rangle \in r) \longleftrightarrow (\exists x. x \in r \wedge (\exists w. x = \langle u, w \rangle))$ **for** u
by *blast*
show $\exists w. \forall u. (u \in w) = (\exists v. \langle u, v \rangle \in r)$
unfolding *aux*
by (*rule repl-SR*[*rule-format, of $\lambda x u. \exists w. x = \langle u, w \rangle r$]*) *force+*
qed

lemma *rng-def'*: $u \in \text{rng}M r \longleftrightarrow (\exists v. \langle v, u \rangle \in r)$
unfolding *rngM-def*
proof (*rule collect-def-ex*)
have *aux*: $(\exists v. \langle v, u \rangle \in r) \longleftrightarrow (\exists x. x \in r \wedge (\exists w. x = \langle w, u \rangle))$ **for** u
by *blast*
show $\exists w. \forall u. (u \in w) = (\exists v. \langle v, u \rangle \in r)$
unfolding *aux*
by (*rule repl-SR*[*rule-format, of $\lambda x u. \exists w. x = \langle w, u \rangle r$]*) *force+*
qed

lemma *SFP-dom*[*simp, intro*]: *SetFormulaPredicate* ($\lambda \Xi. \Xi k = \text{dom}M (\Xi l)$)
unfolding *setext dom-def' logsimps* **by** (*rule SFP-rules*)**+**

lemma *SFP-rng*[*simp, intro*]: *SetFormulaPredicate* ($\lambda \Xi. \Xi k = \text{rng}M (\Xi l)$)
unfolding *setext rng-def' logsimps* **by** (*rule SFP-rules*)**+**

lemmas[*SFP-rules*] = *SFP-rng*[*unfolded set-defs logsimps*] *SFP-dom*[*unfolded set-defs logsimps*]

lemma *SetRelation* ($\lambda x y. \exists f. \text{one-one}M f \wedge x = \text{dom}M f \wedge y = \text{rng}M f$)
(is *SetRelation* ($\lambda x y. (\exists f. ?P x y f)$))
unfolding *set-defs logsimps SetRelation-def* **by** (*rule SFP-rules*)**+**

lemma *SR-equiv*[*simp*]: *SetRelation* ($\lambda x y. x \approx_M y$)
unfolding *SetRelation-def set-defs logsimps* **by** (*rule SFP-rules*)**+**

lemma *SP-dedekind*[*simp*]: *SetProperty dedekind-fin*
unfolding *SetProperty-def dedekind-fin-def one-oneM-def set-equivalent-def set-defs logsimps* **by** (*rule SFP-rules*)**+**

lemma *SR-card*: *SetRelation cardinality*
unfolding *set-defs logsimps SetRelation-def* **by** (*rule SFP-rules*)**+**

lemma *rel-inv-dom-rng*: $\text{dom}M (\text{rel-inverse}M r) = \text{rng}M r \text{rng}M (\text{rel-inverse}M r)$

```

= domM r
  unfolding setext[of - rngM -] setext[of - domM -] dom-def' rng-def' rel-inv-def'
  ordered-pair-unique by blast+

lemma rel-inv-rel: relationM f  $\implies$  relationM (rel-inverseM f)
  unfolding relationM-def rel-inv-def' by blast

lemma one-one-inv: one-oneM f  $\implies$  one-oneM (rel-inverseM f)
  unfolding one-oneM-def rel-inv-def' functionM-def ordered-pair-unique using
  rel-inv-rel by auto

lemma dedekind-setsuc-dedekind: assumes dedekind-fin x shows dedekind-fin (setsucM
x t)
proof (cases t  $\in$  x)
  assume  $\neg t \in x$ 
  show ?thesis
    unfolding dedekind-fin-def
  proof (rule allI, rule impI)
    have IH:  $u \subseteq_M x \implies x \approx_M u \implies \text{False}$  for u
      using assms unfolding dedekind-fin-def by blast
    show  $\neg \text{setsucM } x \ t \approx_M y$  if  $y \subseteq_M \text{setsucM } x \ t$  for y
    proof
      assume setsucM x t  $\approx_M$  y
      then obtain f where f-bij: one-oneM f and f-dom: domM f = setsucM x t
    and f-rng: rngM f = y
      unfolding set-equivalent-def by force
      obtain ft where  $\langle t, ft \rangle \in f$ 
      using f-dom[unfolded setext dom-def', rule-format, of t] unfolding setsuc-def'
    by force
      — From this we construct a bijection g between strict subset y' of x and
      x There are two different constructions. Depending on whether removing the pair
      fx,x from f yields directly a bijection of x on its subset, or whether a modification
      is needed.
      have ft  $\in$  y
        using  $\langle \text{rngM } f = y \rangle \langle \langle t, ft \rangle \in f \rangle$  unfolding setext rng-def' by blast
      consider  $t \in y \wedge ft \neq t \mid y \subseteq_M x \vee ft = t$ 
        using  $\langle y \subseteq_M \text{setsucM } x \ t \rangle$  unfolding subsetM-def proper-subsetM-def
      setsuc-def' by blast
      then show False
    proof (cases)
      assume  $y \subseteq_M x \vee ft = t$ 
      — First construction just removes  $\langle t, ft \rangle$ 
      from sep-SR[rule-format, OF SR-neq]
      obtain y' where y':  $\forall u. u \in y' \iff u \in y \wedge u \neq ft$ 
        by presburger
      from sep-SR[rule-format, OF SR-neq]
      obtain g where g:  $\forall u. u \in g \iff u \in f \wedge u \neq \langle t, ft \rangle$ 
        by presburger
      show False

```

```

proof (rule IH)
  show  $y' \subseteq_M x$ 
  using  $y' \subseteq_M x \vee ft = t$  [unfolded subsetM-def]  $\langle ft \in y \rangle$  proper-subsetM-def
 $\langle y \subseteq_M \text{setsucM } x \ t \rangle$  [unfolded proper-subsetM-def setsuc-def' setext[of y]] by metis
  show  $x \approx_M y'$ 
  proof–
    have one-oneM g
      using  $\langle \text{one-oneM } f \rangle$  g unfolding one-oneM-def functionM-def
relationM-def by blast
    have domM g = x
      using funD[OF conjunct1[OF f-bij[unfolded one-oneM-def]]]  $\langle \langle t, ft \rangle \in f \rangle$ 
f-dom  $\langle \neg t \in x \rangle$ 
      unfolding setext[of domM -] dom-def' y'[rule-format] g[rule-format]
one-oneM-def functionM-def setsuc-def' ordered-pair-unique
      by auto
    have rngM g = y'
      using one-one-inj[OF f-bij  $\langle \langle t, ft \rangle \in f \rangle$ ] f-rng
      unfolding setext[of rngM -] rng-def' y'[rule-format] g[rule-format]
ordered-pair-unique
      by blast
    show  $x \approx_M y'$ 
      unfolding set-equivalent-def using  $\langle \text{rngM } g = y' \rangle$   $\langle \text{domM } g = x \rangle$ 
 $\langle \text{one-oneM } g \rangle$  by blast
    qed
  qed
next
  assume  $t \in y \wedge ft \neq t$ 
  then obtain pt where  $\langle pt, t \rangle \in f$ 
    using f-rng[unfolded setext rng-def', rule-format, of t] by blast
  hence pt  $\in x$ 
    using f-dom[unfolded setext[of domM -] dom-def' setsuc-def']
one-oneD3[OF f-bij, rule-format, of t ft t]  $\langle \langle pt, t \rangle \in f \rangle$   $\langle \langle t, ft \rangle \in f \rangle$   $\langle t \in y$ 
 $\wedge ft \neq t \rangle$  by blast
  then show False
  proof–
    – the second construction requires to modify the mapping f by adding
 $\langle fx, px \rangle$ , not just to restrict f
    from sep-SR[rule-format, OF SR-neq]
    obtain y' where  $y': \forall u. u \in y' \iff u \in y \wedge u \neq t$ 
      by force
    have sfp: SetFormulaPredicate  $(\lambda \Xi. \Xi 0 = \langle \Xi 1, \Xi 2 \rangle \vee (\Xi 0 \in \Xi 4 \wedge \Xi 0$ 
 $\neq \langle \Xi 3, \Xi 2 \rangle \wedge \Xi 0 \neq \langle \Xi 1, \Xi 3 \rangle))$ 
      unfolding logsimps by (rule SFP-rules)+
    obtain g where  $g: \forall u. u \in g \iff (u = \langle pt, ft \rangle \vee (u \in f \wedge u \neq \langle t, ft \rangle \wedge u$ 
 $\neq \langle pt, t \rangle))$ 
      using sep[rule-format, OF sfp, of setsucM f ( $\langle pt, ft \rangle$ )] undefined(1:=pt,
2:=ft, 3:= t ,4:=f), simplified] by auto
    show False
  proof (rule IH)

```

```

show  $y' \subset_M x$ 
using  $\langle y \subset_M \text{setsuc}M x t \rangle \langle t \in y \wedge ft \neq t \rangle$  unfolding proper-subsetM-def
 $y'$ [rule-format] setsuc-def' setext[of y] setext[of y'] by blast
show  $x \approx_M y'$ 
proof-
  have one-oneM g
    by (rule one-oneI, unfold g[rule-format] ordered-pair-unique)
      (use one-oneD1[OF f-bij] in blast,
       use one-oneD2[OF f-bij]  $\langle t, ft \rangle \in f$  in blast,
       use one-oneD3[OF f-bij]  $\langle pt, t \rangle \in f$  in blast)
  have domM g = x
    unfolding setext[of domM -] dom-def'
  proof (rule allI, rule iffI)
    fix  $z$  assume  $\exists v. \langle z, v \rangle \in g$ 
    show  $z \in x$ 
      using  $\langle \exists v. \langle z, v \rangle \in g \rangle \langle pt \in x \rangle$  one-oneD3[OF f-bij], rule-format, of t
      ft -]  $\langle \langle t, ft \rangle \in f \rangle$  f-dom[unfolded setext[of domM -] setsuc-def' dom-def'] unfolding
      ordered-pair-unique
      g[rule-format] by blast
    next
      fix  $z$  assume  $z \in x$ 
      then show  $\exists v. \langle z, v \rangle \in g$ 
        unfolding g[rule-format] using f-dom[unfolded setext[of domM -]
        setsuc-def' dom-def'] by (cases z = pt) (use  $\langle \neg t \in x \rangle$  in auto)
      qed
    have rngM g = y'
      unfolding setext[of rngM -] rng-def'
    proof (rule allI, rule iffI)
      fix  $z$  assume  $\exists v. \langle v, z \rangle \in g$ 
      show  $z \in y'$ 
        using
          one-oneD2[OF f-bij]
           $\langle \exists v. \langle v, z \rangle \in g \rangle \langle ft \in y \rangle \langle t \in y \wedge ft \neq t \rangle \langle \langle pt, t \rangle \in f \rangle \langle \langle t, ft \rangle \in f \rangle$ 
          unfolding f-rng[unfolded setext[of rngM -] rng-def', rule-format,
          symmetric, of z]  $y'$ [rule-format] ordered-pair-unique g[rule-format] by blast
        next
          fix  $z$  assume  $z \in y'$ 
          show  $\exists v. \langle v, z \rangle \in g$ 
            using  $\langle z \in y' \rangle$ [unfolded y'[rule-format]]
            unfolding f-rng[unfolded setext[of rngM -] rng-def', rule-format,
            symmetric, of z] g[rule-format] ordered-pair-unique by blast
          qed
        show  $x \approx_M y'$ 
          unfolding set-equivalent-def using  $\langle \text{rng}M g = y' \rangle \langle \text{dom}M g = x \rangle$ 
           $\langle \text{one-one}M g \rangle$  by blast
        qed
      qed
    qed
  qed

```

qed
 qed
 qed (*simp add: assms*)

lemma *set-equivalent-sym*: $x \approx_M y \longleftrightarrow y \approx_M x$

proof–

have $x \approx_M y \implies y \approx_M x$ **for** $x y$

proof–

assume $x \approx_M y$

then obtain f where $f : \text{one-oneM } f \ x = \text{domM } f \ y = \text{rngM } f$

unfolding *set-equivalent-def* **by** *blast*

show $y \approx_M x$

unfolding *set-equivalent-def*

by (*rule exI*[*of - rel-inverseM f*]) (*simp add: one-one-inv*[*OF* $\langle \text{one-oneM } f \rangle$]
 $f(2,3)$ *rel-inv-dom-rng*)

qed

thus *?thesis*

by *blast*

qed

lemma *compose-def'*: $u \in f \circ_M g \longleftrightarrow (\exists a b c. \langle a,b \rangle \in g \wedge \langle b,c \rangle \in f \wedge u = \langle a,c \rangle)$

proof–

have *ex*: $\exists w. \forall u. (u \in w) = (u \in \text{domM } g \times_M \text{rngM } f \wedge (\exists a b c. \langle a,b \rangle \in g \wedge \langle b,c \rangle \in f \wedge u = \langle a,c \rangle))$

using *sep*[*rule-format*, *OF SFP-compose*[*of 1 2 0*], *of domM g ×_M rngM f*
undefined(1:=g, 2:=f)]

by *simp*

have *aux*: $u \in \text{domM } g \times_M \text{rngM } f \wedge (\exists a b c. \langle a,b \rangle \in g \wedge \langle b,c \rangle \in f \wedge u = \langle a,c \rangle) \longleftrightarrow (\exists a b c. \langle a,b \rangle \in g \wedge \langle b,c \rangle \in f \wedge u = \langle a,c \rangle)$ **for** u

using *dom-def' rng-def' car-prod-def'* **by** *auto*

have $u \in f \circ_M g \longleftrightarrow (\exists a b c. \langle a,b \rangle \in g \wedge \langle b,c \rangle \in f \wedge u = \langle a,c \rangle)$ (**is** $u \in f \circ_M g \longleftrightarrow ?Q u$)

unfolding *composeM-def*

using *collect-def-ex*[*of* $\lambda u. ?Q u$, *OF ex*[*unfolded aux*]].

then show *?thesis*

unfolding *car-prod-def' dom-def'*[*of - g*] *rng-def'*[*of - f*] **by** *blast*

qed

lemma *rel-comp*: **assumes** *relationM f relationM g* **shows** *relationM (f ◦_M g)*

using *assms unfolding relationM-def compose-def'* **by** *blast*

lemma *fun-comp*: **assumes** *functionM f functionM g* **shows** *functionM (f ◦_M g)*

using *assms rel-comp unfolding functionM-def compose-def' ordered-pair-unique*
by *blast*

lemma *one-one-comp*: **assumes** *one-oneM f one-oneM g* **shows** *one-oneM (f ◦_M g)*

using *assms fun-comp unfolding one-oneM-def compose-def' ordered-pair-unique*
by *blast*

lemma *set-equivalent-trans*: **assumes** $x \approx_M y$ $y \approx_M z$ **shows** $x \approx_M z$
proof–
obtain $f1$ **where** $f1: one-oneM\ f1$ **and** $x = domM\ f1$ $y = rngM\ f1$
using $\langle x \approx_M y \rangle$ **unfolding** *set-equivalent-def* **by** *blast*
obtain $f2$ **where** $f2: one-oneM\ f2$ **and** $y = domM\ f2$ $z = rngM\ f2$
using $\langle y \approx_M z \rangle$ **unfolding** *set-equivalent-def* **by** *blast*
have $one-oneM\ (f2 \circ_M f1)$
using $f1\ f2$ *one-one-comp* **by** *blast*
have $x = domM\ (f2 \circ_M f1)$
unfolding *dom-def'* *setext* **unfolding** *compose-def'* *ordered-pair-unique*
proof (*rule allI*, *rule iffI*)
fix u **assume** $\exists v\ a\ b\ c.\ \langle a, b \rangle \in f1 \wedge \langle b, c \rangle \in f2 \wedge u = a \wedge v = c$
then show $u \in x$
unfolding $\langle x = domM\ f1 \rangle$ [*unfolded setext dom-def'*, *rule-format*] **by** *blast*
next
fix u **assume** $u \in x$
then obtain b **where** $\langle \langle u, b \rangle \in f1 \rangle\ b \in y$
unfolding $\langle x = domM\ f1 \rangle$ [*unfolded setext dom-def'*, *rule-format*]
 $\langle y = rngM\ f1 \rangle$ [*unfolded setext rng-def'*, *rule-format*] **by** *blast*
then obtain c **where** $\langle \langle b, c \rangle \in f2 \rangle\ c \in z$
unfolding $\langle y = domM\ f2 \rangle$ [*unfolded setext dom-def'*, *rule-format*]
 $\langle z = rngM\ f2 \rangle$ [*unfolded setext rng-def'*, *rule-format*] **by** *blast*
show $\exists v\ a\ b\ c.\ \langle a, b \rangle \in f1 \wedge \langle b, c \rangle \in f2 \wedge u = a \wedge v = c$
using $\langle \langle u, b \rangle \in f1 \rangle\ \langle \langle b, c \rangle \in f2 \rangle$ **by** *auto*
qed
have $z = rngM\ (f2 \circ_M f1)$
unfolding *rng-def'* *setext* **unfolding** *compose-def'* *ordered-pair-unique*
proof (*rule allI*, *rule iffI*)
fix u **assume** $\exists v\ a\ b\ c.\ \langle a, b \rangle \in f1 \wedge \langle b, c \rangle \in f2 \wedge v = a \wedge u = c$
then show $u \in z$
unfolding $\langle z = rngM\ f2 \rangle$ [*unfolded setext rng-def'*, *rule-format*] **by** *blast*
next
fix u **assume** $u \in z$
then obtain b **where** $\langle \langle b, u \rangle \in f2 \rangle\ b \in y$
unfolding $\langle y = domM\ f2 \rangle$ [*unfolded setext dom-def'*, *rule-format*]
 $\langle z = rngM\ f2 \rangle$ [*unfolded setext rng-def'*, *rule-format*] **by** *blast*
then obtain c **where** $\langle \langle c, b \rangle \in f1 \rangle\ c \in x$
unfolding $\langle x = domM\ f1 \rangle$ [*unfolded setext dom-def'*, *rule-format*]
 $\langle y = rngM\ f1 \rangle$ [*unfolded setext rng-def'*, *rule-format*] **by** *blast*
show $\exists v\ a\ b\ c.\ \langle a, b \rangle \in f1 \wedge \langle b, c \rangle \in f2 \wedge v = a \wedge u = c$
using $\langle \langle b, u \rangle \in f2 \rangle\ \langle \langle c, b \rangle \in f1 \rangle$ **by** *auto*
qed
show *?thesis*
unfolding *set-equivalent-def*
using $\langle one-oneM\ (f2 \circ_M f1) \rangle\ \langle x = domM\ (f2 \circ_M f1) \rangle\ \langle z = rngM\ (f2 \circ_M f1) \rangle$
by *blast*
qed

lemma *union-of-ords-regular*: **assumes** $\forall y. y \in s \longrightarrow \text{ordM } y$
shows *regular* $(\bigcup_M s)$
using *assms ord-mem-ord set-of-ords-regular union-def'* **by** *blast*

lemma *union-of-ords-ord*: **assumes** $\forall y. y \in s \longrightarrow \text{ordM } y$
shows *ordM* $(\bigcup_M s)$

proof–

have *t*: *transM* $(\bigcup_M s)$
using *assms epschain-def ordM-def union-trans-trans* **by** *blast*
have *r*: *regular* $(\bigcup_M s)$
by (*simp add: assms union-of-ords-regular*)
have *o*: *ordM* *v* **if** $v \in (\bigcup_M s)$ **for** *v*
using *assms(1) ord-mem-ord that union-def'* **by** *blast*
show *ordM* $(\bigcup_M s)$
unfolding *ordM-def epschain-def* **using** *t r o ordM-total* **by** *blast*

qed

lemma *union-ord*: **assumes** *ordM* *x* **shows** *ordM* $(\bigcup_M x)$
using *assms ord-mem-ord union-of-ords-ord* **by** *blast*

lemma *non-limit-union-ord-mem*: **assumes** $\forall u. u \in s \longrightarrow \text{ordM } u$ *is-sucM* $(\bigcup_M s)$

shows $\bigcup_M s \in s$

proof–

obtain *m* **where** *m*: $\forall z. z \in \bigcup_M s \longleftrightarrow z \in m \vee z = m$
using $\langle \text{is-sucM } (\bigcup_M s) \rangle$ *is-sucM-def* **by** *blast*
then obtain *y**max* **where** *y**max* $\in s$ *m* \in *y**max*
unfolding *union-def'* **by** *blast*
from *assms(1)[rule-format, OF \langle ymax \in s \rangle]*
have $\bigcup_M s \subseteq_M$ *y**max*
unfolding *subsetM-def m[rule-format]* **using** $\langle m \in ymax \rangle$ **using** *ordM-trans[of ymax - m]* **by** *blast*
then have *y**max* $= \bigcup_M s$
using $\langle ymax \in s \rangle$ **unfolding** *setext subsetM-def subsetM-def union-def'* **by** *blast*
then show $\bigcup_M s \in s$
using $\langle ymax \in s \rangle$ **by** *blast*

qed

lemma *limit-ord*: **assumes** $\forall u. u \in s \longrightarrow \text{ordM } u \wedge \text{setsucM } u$ $u \in s$

shows $\neg \text{is-sucM } (\bigcup_M s)$

by (*metis assms non-limit-union-ord-mem ordM-regular regular-not-self-mem-sep setsuc-def' union-def'*)

lemma *not-limit-ord*: **assumes** *ordM* *x* *is-sucM* *x*

shows $x = \text{setsucM } (\bigcup_M x)$ $(\bigcup_M x)$

proof–

obtain *m* **where** *m*: $x = \text{setsucM } m$ *m*
using $\langle \text{is-sucM } x \rangle$ **unfolding** *setext is-sucM-def setsuc-def'* **by** *blast*

hence $\text{ordM } m$
using $\langle \text{ordM } x \rangle \text{ ord-mem-ord setsuc-def' by presburger}$
have $m = \bigcup_M x$
unfolding $m \text{ setext[of } m \text{] union-def' setsuc-def'}$
using $\text{ordM-trans[OF } \langle \text{ordM } m \rangle \text{] by blast}$
then show $?thesis$
using $m \text{ by force}$
qed

lemma $\text{natM-fin: assumes } s \subseteq_M x \text{ } s \neq \emptyset \forall u. u \in s \longrightarrow \text{setsucM } u \text{ } u \in s$
shows $\neg \text{natM } x$

proof

have $\exists u. u \in \bigcup_M s$
using $\text{assms union-def'[of - s] setsuc-def' emptyset-def' by metis}$
assume $\text{natM } x$
from natM-D[OF this]
have $\bigcup_M s \subseteq_M x$
unfolding $\text{subsetM-def union-def' using ordM-trans } \langle s \subseteq_M x \rangle [\text{unfolded subsetM-def}] \text{ by blast}$
have $\text{ordM } (\bigcup_M s)$
using $\langle \text{ordM } x \rangle \text{ union-of-ords-ord ord-mem-ord } \langle s \subseteq_M x \rangle [\text{unfolded subsetM-def}]$
by blast
have $\text{natM } (\bigcup_M s)$
using $\text{ordM-subset-mem[OF } \langle \text{ordM } x \rangle \langle \text{ordM } (\bigcup_M s) \rangle, \text{unfolded proper-subset-def}]$
 $\langle \text{natM } x \rangle$
 $\text{nat-mem-nat } \langle \bigcup_M s \subseteq_M x \rangle \text{ by blast}$
from $\text{nat-is-suc[OF this } \langle \exists u. u \in \bigcup_M s \rangle \text{]}$
show False
using $\text{assms limit-ord natM-D[OF nat-mem-nat[OF } \langle \text{natM } x \rangle \text{] unfolding subsetM-def by force}$
qed

lemma $\text{nat-induction-sfp: assumes SetFormulaPredicate } P \text{ and } P (\exists (0:=\emptyset))$
and

$\text{step: } \bigwedge x. \text{natM } x \implies P (\exists (0:=x)) \implies P (\exists (0:=\text{setsucM } x \text{ } x)) \text{ and } \text{natM } x$
shows $P (\exists (0:=x))$

proof (*rule ccontr*)

assume $\neg P (\exists (0:=x))$
define $v \text{ where } v = \text{separationM } x (\lambda x. P (\exists (0:=x)))$
from $\text{separ-def-SFP[OF } \langle \text{SetFormulaPredicate } P \rangle \text{]}$
have $v: u \in v \longleftrightarrow u \in x \wedge P (\exists (0:=u)) \text{ for } u$
unfolding $v\text{-def by simp}$
hence $\emptyset \in v$
using $\langle P (\exists (0:=\emptyset)) \rangle \langle \neg P (\exists (0:=x)) \rangle \langle \text{natM } x \rangle \text{ emp-natM empty-is-empty ordM-total unfolding natM-def by fast}$
have $(\text{setsucM } y \text{ } y) \in v \text{ if } y \in v \text{ for } y$
unfolding $v [\text{of setsucM } y \text{ } y] \text{ using } \langle \neg P (\exists (0:=x)) \rangle \langle \text{natM } x \rangle \text{ that[unfolded } v [\text{of } y]] \text{ step[of } y \text{] ord-mem-suc[of } x \text{ } y \text{] nat-mem-nat[of } x \text{ } y \text{] natM-D[OF } \langle \text{natM } x \rangle \text{] by fast}$

thus *False*
using $\langle \emptyset \varepsilon v \rangle$ *notE*[*OF natM-fin*[*of v x*], *OF - - -* $\langle \text{natM } x \rangle$] *v*
by (*metis emptyset-def' subsetM-def*)
qed

— Schema of induction for natural numbers

lemma *nat-induction-sp*: **assumes** *SetProperty P* **and** $P \emptyset$ **and** *natM x* **and**
step: $\bigwedge x. \text{natM } x \implies P x \implies P (\text{setsucM } x x)$
shows $P x$
using *assms unfolding SetProperty-def using nat-induction-sfp by force*

theorem *nat-tarski-fin*: **assumes** *natM x* **shows** *tarski-fin x*
using *nat-induction-sp*[*OF SP-tarski empty-tarski* $\langle \text{natM } x \rangle$] *tarski-setsuc-tarski*
by *blast*

lemma *nat-dedekind-finite*: **assumes** *natM x* **shows** *dedekind-fin x*
using *nat-induction-sp*[*OF SP-dedekind empty-dedekind* $\langle \text{natM } x \rangle$] *dedekind-setsuc-dedekind*
by *blast*

lemma *card-emp*: *cardinality* $\emptyset \emptyset$

proof—

have *natM* \emptyset
by *simp*
moreover **have** *one-oneM* \emptyset
unfolding *one-oneM-def functionM-def relationM-def* **by** *simp*
moreover **have** $\emptyset = \text{domM } \emptyset \emptyset = \text{rngM } \emptyset$
unfolding *setext dom-def' rng-def'* **by** *simp-all*
ultimately **show** *?thesis*
unfolding *cardinality-def set-equivalent-def*
using *exI*[*of* $\lambda f. \text{one-oneM } f \wedge \emptyset = \text{domM } f \wedge \emptyset = \text{rngM } f \emptyset$] **by** *blast*

qed

lemma *nat-equiv-unique*: **assumes** *natM x natM y* $x \approx_M y$

shows $x = y$

proof (*rule ccontr*)

assume $x \neq y$

have $\neg y \varepsilon x$

using $\langle x \neq y \rangle$ *assms nat-dedekind-finite*[*unfolded dedekind-fin-def, rule-format,*
OF $\langle \text{natM } x \rangle$] *ordM-D1*[*OF natM-D*[*OF* $\langle \text{natM } x \rangle$]] *subsetM-def proper-subset-def'*
by *blast*

have $\neg x \varepsilon y$

using $\langle x \neq y \rangle$ *assms nat-dedekind-finite*[*unfolded dedekind-fin-def, rule-format,*
OF $\langle \text{natM } y \rangle$] *ordM-D1*[*OF natM-D*[*OF* $\langle \text{natM } y \rangle$]]
subsetM-def proper-subset-def' set-equivalent-sym[*of x y*] **by** *blast*

show *False*

using $\langle \neg x \varepsilon y \rangle \langle \neg y \varepsilon x \rangle \langle x \neq y \rangle$ *ordM-total*[*OF natM-D natM-D, OF*
assms(1,2)] **by** *blast*

qed

lemma *card-fun*: **assumes** *cardinality x n cardinality x m* **shows** $n = m$
using *assms unfolding cardinality-def using nat-equiv-unique set-equivalent-sym*
set-equivalent-trans **by** *blast*

end

locale *L-setext-empty-power-union-repl-reg* = *L-setext* + *L-empty* + *L-power* +
L-union + *L-repl*
+ *L-reg*

begin

Some consequences of the axiom of regularity

sublocale *L-setext-empty-power-union-repl*
by *unfold-locales*

lemma *mem-not-refl*: $\neg x \in x$
by (*simp add: regular-not-self-mem*)

lemma *mem-antisym*: $\neg (u \in v \wedge v \in u)$
by (*meson any-reg regular-antisym-mem setsuc*)

lemma *suc-unique*: **assumes** *setsucM c c = setsucM d d*
shows $c = d$

proof (*rule ccontr*)

assume $c \neq d$

from *assms[unfolded setext setsuc-def'[of - \cup_M -], unfolded setsuc-def']*

have $c \in d$ $d \in c$

using $\langle c \neq d \rangle$ **by** *blast+*

thus *False*

using *mem-antisym* **by** *blast*

qed

lemma *mem-neq-singleton*: $x \neq \{x\}_M$
by (*metis reg singleton-def'*)

lemma *suc-subset[*simp*]*: $z \subset_M \text{setsucM } z$
unfolding *proper-subsetM-def setext setsuc-def'*
singleton-def' **using** *mem-not-refl[*of* z]* **by** *blast*

lemma *card-setsuc*: **assumes** $\neg y \in x$ *cardinality x m* **shows** *cardinality (setsucM*
x y) (setsucM m m)

proof–

obtain *f* **where** *one-oneM f x = domM f m = rngM f natM m*

using $\langle \text{cardinality } x \ m \rangle$ **unfolding** *cardinality-def set-equivalent-def* **by** *blast*

let $?f = \text{setsucM } f (\langle y, m \rangle)$

have *natM (setsucM m m)*

by (*simp add: natM m nat-suc-nat*)

have *setsucM x y = domM ?f*

```

    using ⟨x = domM f⟩ unfolding setext dom-def' by auto
  have setsucM m m = rngM ?f
    using ⟨m = rngM f⟩ unfolding setext rng-def' by auto
  have relationM ?f
    unfolding relationM-def
    using ⟨one-oneM f⟩ functionM-def one-oneM-def relationM-def by auto
  then have functionM ?f
    unfolding functionM-def
    using ⟨one-oneM f⟩ ⟨x = domM f⟩ ⟨¬ y ∈ x⟩ dom-def' funD one-oneD3 by
  auto
  then have one-oneM ?f
    unfolding one-oneM-def
    using ⟨¬ y ∈ x⟩ one-one-inj[OF ⟨one-oneM f⟩] ⟨m = rngM f⟩ mem-not-refl[of
  m]
    rng-def' by auto
  show ?thesis
    unfolding cardinality-def set-equivalent-def
    using ⟨setsucM m m = rngM ?f⟩ ⟨natM (setsucM m m)⟩ ⟨one-oneM ?f⟩
    ⟨setsucM x y = domM ?f⟩ by blast
qed

end

```

1.3.12 Successor induction

An important fragment of the theory of hereditarily finite sets. The finiteness principle used is the induction schema for set formulas. This route to axiomatizing ZFfin is developed in Vopěnka: Mathematics in the alternative set theory [10]. Notice that no regularity principles are used in this fragment.

locale *L-setext-empty-setsuc-setind* = *L-setext* + *L-empty* + *L-setsuc* + *L-setind*

begin

sublocale *L-setext-empty-setsuc*
by *unfold-locales*

lemma *binunion-ex*:

shows $\exists z. (\forall u. u \in z \longleftrightarrow u \in x \vee u \in x')$ (**is** $?P x x'$)

proof–

have *SR*: *SetRelation* $?P$

unfolding *SetRelation-def* *logsimps* **by** (*rule* *SFP-rules*)+

show *?thesis*

proof (*rule* *setind*[*rule-format*, *OF SR*[*unfolded SetRelation-def*], *of undefined*(*0:=x,1:=x'*), *simplified*], *force*)

fix *x y* :: 'a

assume *ex*: $\exists z. \forall u. (u \in z) \longleftrightarrow (u \in x \vee u \in x')$

then show $\exists z. \forall u. (u \in z) \longleftrightarrow (u \in x \vee u = y \vee u \in x')$

unfolding *setsuc-def'* **using** *setsuc*[*rule-format*, *of - y*] **by** *metis*
qed
qed

sublocale *L-union*
proof (*unfold-locales*, *rule allI*, *rule setind-SP*[*rule-format*])
show $\exists z. \forall u. (u \varepsilon z) \longleftrightarrow (\exists v. v \varepsilon \emptyset \wedge u \varepsilon v)$
by (*meson empty-is-empty*)
next
fix *x y*
have *aux*: $(\forall u. (u \varepsilon z) \longleftrightarrow (\exists v. (v \varepsilon x \vee v = y) \wedge u \varepsilon v)) \longleftrightarrow (\forall u. (u \varepsilon z) \longleftrightarrow (\exists v. v \varepsilon x \wedge u \varepsilon v) \vee u \varepsilon y)$ **for** *z*
by *blast*
let $?Q = \lambda z. \forall u. (u \varepsilon z) = (\exists v. (v \varepsilon x \vee v = y) \wedge u \varepsilon v)$
assume $\exists z. \forall u. (u \varepsilon z) \longleftrightarrow (\exists v. v \varepsilon x \wedge u \varepsilon v)$
thus $\exists z. \forall u. (u \varepsilon z) \longleftrightarrow (\exists v. v \varepsilon (\text{setsucM } x \ y) \wedge u \varepsilon v)$
unfolding *setsuc-def'* *aux* **using** *binunion-ex*[*rule-format*, *of - y*] **by** *metis*
next
show *SetProperty* ($\lambda a. \exists z. \forall u. (u \varepsilon z) = (\exists v. v \varepsilon a \wedge u \varepsilon v)$)
unfolding *SetProperty-def logsimps* **by** (*rule SFP-rules*)
qed

sublocale *L-repl*
proof (*unfold-locales*, *rule impI*, *rule allI*)
fix *P x* Ξ
assume *SetFormulaPredicate* *P* **and** *func*: $\forall u. \exists!v. P (\Xi(0 := u, 1 := v))$
from *bounded-free*[*OF* $\langle \text{SetFormulaPredicate } P \rangle$]
obtain *m* **where** *m*: $\forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow P \Xi = P \Xi'$
by *blast*
hence *small*: $P (\Xi(m := x, 0 := u, 1 := v)) = P (\Xi(0 := u, 1 := v))$ **for** *u v x*
by *simp*
let $?P = \lambda \Xi. \exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon \Xi \ m \wedge P (\Xi(0 := u, 1 := v)))$
have *sfp*: *SetFormulaPredicate* $?P$
by (*rule SFP-replace*) *fact*
note *aux-rule* = *setind-var*[*rule-format*, *of ?P* $\Xi \ m \ x$, *OF sfp*, *unfolded small*, *simplified*, *unfolded One-nat-def*[*symmetric*]]
show $\exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0 := u, 1 := v)))$
proof (*rule aux-rule*)
fix *x y*
assume $\exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0 := u, 1 := v)))$
then obtain *z* **where** *z*: $\forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0 := u, 1 := v)))$
by *blast*
obtain *y'* **where** *y'*: $P (\Xi(0 := y, 1 := y'))$
using *func* **by** *blast*
show $\exists z. \forall v. (v \varepsilon z) = (\exists u. (u \varepsilon x \vee u = y) \wedge P (\Xi(0 := u, 1 := v)))$
by (*rule exI*[*of* $\lambda z. \forall v. (v \varepsilon z) = (\exists u. (u \varepsilon x \vee u = y) \wedge P (\Xi(0 := u, 1 := v)))$]) *setsucM z y'*)
(unfold setsuc-def', use y' z func in blast)
qed (*simp only: empty*)

qed

sublocale *L-setext-empty-repl*
by *unfold-locales*

lemma *setsuc-separ*: assumes $y \in u$
shows $u = \text{setsucM } (\text{separationM } u \ (\lambda x. x \neq y)) \ y$
proof-
have *sfp*: *SetFormulaPredicate* $(\lambda \Xi. \Xi \ 0 \neq \Xi \ 1)$
by (*rule SFP-rules*)+
show ?thesis
unfolding *setext*[of *u*] *setsuc-def'* *separ-def-SR*[of (\neq) - *u y*, *unfolded SetRelation-def*, *OF sfp*] using $\langle y \in u \rangle$ by *blast*
qed

lemma *setsuc-subset-setind*: $u \subseteq_M \text{setsucM } x \ y \longleftrightarrow u \subseteq_M x \vee (\exists u'. u' \subseteq_M x \wedge u = \text{setsucM } u' \ y)$

proof
assume $u \subseteq_M \text{setsucM } x \ y$
show $u \subseteq_M x \vee (\exists u'. u' \subseteq_M x \wedge u = \text{setsucM } u' \ y)$
proof (*unfold disj-imp*, *rule impI*)
assume $\neg u \subseteq_M x$
hence $y \in u$
using $\langle u \subseteq_M \text{setsucM } x \ y \rangle$ *subsetM-def setsuc-def'* by *auto*
have *separationM* $u \ (\lambda x. x \neq y) \subseteq_M x$
using $\langle u \subseteq_M \text{setsucM } x \ y \rangle$ **unfolding** *subsetM-def*
separ-def-SR[of (\neq) , *unfolded SetRelation-def*, *OF SFP-neg*[*OF SFP-eq*]]
setsuc-def' by *blast*
with *setsuc-separ*[*OF* $\langle y \in u \rangle$]
show $\exists u'. u' \subseteq_M x \wedge u = \text{setsucM } u' \ y$
by *blast*

qed

next

assume $u \subseteq_M x \vee (\exists u'. u' \subseteq_M x \wedge u = \text{setsucM } u' \ y)$

then show $u \subseteq_M \text{setsucM } x \ y$

proof

show $u \subseteq_M x \implies u \subseteq_M \text{setsucM } x \ y$

unfolding *subsetM-def setsuc-def'* by *blast*

assume $\exists u'. u' \subseteq_M x \wedge u = \text{setsucM } u' \ y$

then show $u \subseteq_M \text{setsucM } x \ y$

unfolding *subsetM-def setext*[of *u*] *setsuc-def'* by *blast*

qed

qed

sublocale *L-power*

proof (*unfold-locales*, *rule allI*)

fix *x*

show $\exists y. \forall u. (u \in y) = u \subseteq_M x$

proof (*rule setind-SP*[*rule-format*])

```

show SetProperty ( $\lambda a. \exists y. \forall u. (u \varepsilon y) = u \subseteq_M a$ )
  unfolding SetProperty-def logsimps set-defs by (rule SFP-rules)+
show  $\exists y. \forall u. (u \varepsilon y) = u \subseteq_M \emptyset$ 
  using singleton-def'[of -  $\emptyset$ ] by auto
  fix  $x y$ 
  let  $?P = \lambda \Xi. \Xi 1 = \text{setsucM } (\Xi 0) (\Xi 2)$ 
  have  $sfp: \text{SetFormulaPredicate } ?P$ 
    unfolding setext logsimps set-defs by (rule SFP-rules)+
  have  $ex1: \exists! v. v = u \cup_M \{y\}_M$  for  $u$ 
    by blast
  have binunion-def':  $u \varepsilon x \cup_M y \iff u \varepsilon x \vee u \varepsilon y$  for  $u x y$ 
    using collect-def-ex[OF binunion-ex[rule-format, of x y], folded binunionM-def].
  assume  $\exists z. \forall u. (u \varepsilon z) = u \subseteq_M x$ 
  then obtain  $z$  where  $z: \forall u. (u \varepsilon z) = u \subseteq_M x$ 
    by blast
  obtain  $z'$  where  $z': \forall v. v \varepsilon z' \iff (\exists u. u \varepsilon z \wedge v = \text{setsucM } u y)$ 
    using repl[OF sfp, of undefined(?:=y), simplified] by blast
  show  $\exists z. \forall u. (u \varepsilon z) = u \subseteq_M \text{setsucM } x y$ 
    by (rule ex1[of  $\lambda z. (\forall u. (u \varepsilon z) = u \subseteq_M \text{setsucM } x y) z \cup_M z'$ ])
      (unfold binunion-def' setsuc-subset-setind, use z z' in simp)
  qed
qed

```

— Axioms of ZF without infinity and regularity are theorems

```

sublocale L-setext-empty-power-union-repl
  by unfold-locales

```

```

lemma min-subset-ex: assumes  $u \neq \emptyset$  shows  $\exists z. z \varepsilon u \wedge (\nexists w. w \varepsilon u \wedge w \subset_M z)$ 
proof (rule mp[OF - assms], rule setind-SP[rule-format])
  show SetProperty ( $\lambda a. a \neq \emptyset \longrightarrow (\exists z. z \varepsilon a \wedge (\nexists w. w \varepsilon a \wedge w \subset_M z))$ )
    unfolding SetProperty-def set-defs logsimps by (rule SFP-rules)+
next
  fix  $x y$ 
  assume IH:  $x \neq \emptyset \longrightarrow (\exists z. z \varepsilon x \wedge (\nexists w. w \varepsilon x \wedge w \subset_M z))$ 
  show  $\text{setsucM } x y \neq \emptyset \longrightarrow (\exists z. z \varepsilon \text{setsucM } x y \wedge (\nexists w. w \varepsilon \text{setsucM } x y \wedge w \subset_M z))$ 
    proof (rule impI, cases x =  $\emptyset$ )
      assume  $x = \emptyset$ 
      then show  $\exists z. z \varepsilon \text{setsucM } x y \wedge (\nexists w. w \varepsilon \text{setsucM } x y \wedge w \subset_M z)$ 
        using setsuc-def' by force
    next
    assume  $x \neq \emptyset$ 
    from IH[rule-format, OF this]
    obtain  $u$  where  $u \varepsilon x$  and  $nex: \nexists w. w \varepsilon x \wedge w \subset_M u$ 
      by blast
    show  $\exists z. z \varepsilon \text{setsucM } x y \wedge (\nexists w. w \varepsilon \text{setsucM } x y \wedge w \subset_M z)$ 

```

```

proof (cases  $y \subset_M u$ )
  assume  $y \subset_M u$ 
  have  $y \in \text{setsucM } x \ y$ 
    using setsuc-def' by auto
  show ?thesis
  proof (rule exI[of - y], rule conjI[OF <y ∈ setsucM x y>], rule notI)
    assume  $\exists w. w \in \text{setsucM } x \ y \wedge w \subset_M y$ 
    then show False
      using  $\langle y \subset_M u \rangle \langle y \in \text{setsucM } x \ y \rangle \text{ nex } \text{proper-subsetM-trans}[OF - \langle y \subset_M$ 
 $u \rangle]$ 
      by (metis proper-subsetM-def setsuc-def')
    qed
  next
  assume  $\neg y \subset_M u$ 
  show ?thesis
    by (rule exI[of - u]) (use setsuc-def' <u ∈ x> nex <¬ y ⊂M u> in metis)
  qed
qed simp

```

A general variant of Tarski finiteness axiom (also proved in Vopěnka's book).
 Nonempty sets have maximal (and minimal) elements under inclusion.

```

lemma max-subset-ex: assumes  $u \neq \emptyset$  shows  $\exists z. z \in u \wedge (\nexists w. w \in u \wedge z \subset_M$ 
 $w)$ 
proof (rule mp[OF - assms], rule setind-SP[rule-format])
  show SetProperty ( $\lambda a. a \neq \emptyset \longrightarrow (\exists z. z \in a \wedge (\nexists w. w \in a \wedge z \subset_M w))$ )
    unfolding SetProperty-def set-defs logsimps by (rule SFP-rules)+
next
  fix  $x \ y$ 
  assume IH:  $x \neq \emptyset \longrightarrow (\exists z. z \in x \wedge (\nexists w. w \in x \wedge z \subset_M w))$ 
  show  $\text{setsucM } x \ y \neq \emptyset \longrightarrow (\exists z. z \in \text{setsucM } x \ y \wedge (\nexists w. w \in \text{setsucM } x \ y \wedge z$ 
 $\subset_M w))$ 
  proof (rule impI, cases  $x = \emptyset$ )
    assume  $x = \emptyset$ 
    then show  $\exists z. z \in \text{setsucM } x \ y \wedge (\nexists w. w \in \text{setsucM } x \ y \wedge z \subset_M w)$ 
      using setsuc-def' by force
  next
  assume  $x \neq \emptyset$ 
  from IH[rule-format, OF this]
  obtain  $u$  where  $u \in x$  and nex:  $\nexists w. w \in x \wedge u \subset_M w$ 
    by blast
  show  $\exists z. z \in \text{setsucM } x \ y \wedge (\nexists w. w \in \text{setsucM } x \ y \wedge z \subset_M w)$ 
  proof (cases  $u \subset_M y$ )
    assume  $u \subset_M y$ 
    have  $y \in \text{setsucM } x \ y$ 
      using setsuc-def' by auto
    show ?thesis
  proof (rule exI[of - y], rule conjI[OF <y ∈ setsucM x y>], rule notI)
    assume  $\exists w. w \in \text{setsucM } x \ y \wedge y \subset_M w$ 

```

then show *False*
using $\langle u \subset_M y \rangle \langle y \varepsilon \text{setsuc}M \ x \ y \rangle \text{nex } \text{proper-subset}M\text{-trans}[OF - \langle u \subset_M y \rangle]$
by (*metis proper-subsetM-def setsuc-def*)
qed
next
assume $\neg u \subset_M y$
show *?thesis*
by (*rule exI[of - u]*) (*use setsuc-def' $\langle u \varepsilon x \rangle \text{nex } \langle \neg u \subset_M y \rangle$ in metis*)
qed
qed
qed *simp*

lemma *max-mem*: **assumes** $P \ n \ n \varepsilon \ x \ \text{SetProperty } P$
shows $\exists m. m \varepsilon \ x \wedge P \ m \wedge (\forall k. k \varepsilon \ x \wedge P \ k \longrightarrow \neg m \subset_M k)$
proof (*rule ccontr*)
assume *contr*: $\nexists m. m \varepsilon \ x \wedge P \ m \wedge (\forall k. k \varepsilon \ x \wedge P \ k \longrightarrow \neg m \subset_M k)$
hence *chain*: $\exists k. k \varepsilon \ x \wedge P \ k \wedge m \subset_M k$ **if** *a*: $P \ m \ m \varepsilon \ x$ **for** *m*
using *that by blast*
define *y* **where** $y = \text{separation}M \ x \ P$
from *separ-def-SP*[*OF* $\langle \text{SetProperty } P \rangle$, *of - x*, *folded y-def*]
have *y-def*: $(u \varepsilon y) \longleftrightarrow (u \varepsilon x \wedge P \ u)$ **for** *u*
by *simp*
have $y \neq \emptyset$
using *assms y-def by force*
show *False*
using *max-subset-ex*[*OF* $\langle y \neq \emptyset \rangle$] *chain unfolding y-def by blast*
qed

sublocale *L-tarski*
using *max-subset-ex*
by *unfold-locales (simp add: tarski-fin-def, metis empty)*

sublocale *L-dedekind*
by *unfold-locales*
(use setind-SP[rule-format, OF SP-dedekind empty-dedekind] dedekind-setsuc-dedekind in blast)

lemma *fun-images*: **assumes** *SetFormulaPredicate P* **and** $\forall u. (\exists! v. P(\Xi(0:=u,1:=v)))$
shows $\exists z. (\forall v. v \varepsilon \ z \longleftrightarrow (\exists u. u \varepsilon \ x \wedge P(\Xi(0:=u,1:=v))))$
proof–
from *bounded-free*[*OF* $\langle \text{SetFormulaPredicate } P \rangle$]
obtain *m* **where** $\forall \Xi \Xi'. (\forall i < m. \Xi \ i = \Xi' \ i) \longrightarrow P \ \Xi = P \ \Xi'$
by *blast*
hence *m*: $\forall \Xi \Xi'. (\forall i < m+2. \Xi \ i = \Xi' \ i) \longrightarrow P \ \Xi = P \ \Xi'$
by *simp*
have *small*: $P(\Xi(\text{Suc}(\text{Suc } m) := x, 0 := u, \text{Suc } 0 := v)) = P(\Xi(0 := u, \text{Suc } 0 := v))$ **for** $u \ v \ x$
by (*rule m[rule-format]*) *simp*

let $?P = \lambda X. \exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon (X (m+2)) \wedge P (X(0 := u, 1 := v)))$
have *SetFormulaPredicate* $?P$
using *SFP-replace*[*OF* $\langle \text{SetFormulaPredicate } P \rangle m$].
note *aux-rule* = *setind-var*[*OF* *this*, *of* $\Xi((m+2):=x)$ *m+2*, *simplified*, *unfolded*
small, *folded* *One-nat-def*]
show $\exists z. (\forall v. v \varepsilon z \longleftrightarrow (\exists u. u \varepsilon x \wedge P(\Xi(0:=u,1:=v))))$
proof (*rule* *aux-rule*[*rule-format*])
show $\exists z. \forall v. \neg v \varepsilon z$
using *empty* **by** *blast*
next
fix $x y$
assume $\exists z. \forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0 := u, 1 := v)))$
then obtain z **where** *z-def*: $\forall v. (v \varepsilon z) = (\exists u. u \varepsilon x \wedge P (\Xi(0 := u, 1 := v)))$
by *blast*
obtain y' **where** $P (\Xi(0 := y, 1 := y'))$
using *assms*(2) **by** *blast*
have *witness*: $\forall v. v \varepsilon \text{setsucM } z y' \longleftrightarrow (\exists u. u \varepsilon \text{setsucM } x y \wedge P (\Xi(0 := u, 1 := v)))$
unfolding *setsuc-def'* **using** $\langle P (\Xi(0 := y, 1 := y')) \rangle$ *assms*(2) *z-def* **by** *auto*
show $\exists z. \forall v. (v \varepsilon z) = (\exists u. (u \varepsilon x \vee u = y) \wedge P (\Xi(0 := u, 1 := v)))$
by (*rule* *exI*[*of* - *setsucM* $z y'$]) (*use* *witness* **in** *force*)
qed
qed

sublocale *L-fin*
proof (*unfold-locales*, *rule* *notI*)
assume $\exists x. \emptyset \varepsilon x \wedge (\forall y. y \varepsilon x \longrightarrow \text{setsucM } y y \varepsilon x)$
then obtain x **where** $\emptyset \varepsilon x$ **and** *suc*: $\forall y. y \varepsilon x \longrightarrow \text{setsucM } y y \varepsilon x$
by *blast*
have *SP*: *SetProperty* $(\lambda x. \text{regular } x \wedge \text{transM } x)$
unfolding *SetProperty-def* **unfolding** *set-defs* *logsimps* **by** (*rule* *SFP-rules*)**+**
from *sep-SP*[*OF* *this*, *rule-format*, *of* x]
obtain x' **where** x' : $\forall u. u \varepsilon x' \longleftrightarrow u \varepsilon x \wedge \text{regular } u \wedge \text{transM } u$
by *blast*
have $x' \neq \emptyset \emptyset \varepsilon x'$
using $x' \langle \emptyset \varepsilon x \rangle$ **by** *force***+**
have *suc'*: $y \varepsilon x' \longrightarrow \text{setsucM } y y \varepsilon x'$ **for** y
unfolding x' [*rule-format*] **using** *suc* **by** (*simp* *add*: *regular-setsuc* *trans-suc-trans*)

from *max-subset-ex*[*OF* $\langle x' \neq \emptyset \rangle$]
obtain x_{\max} **where** $x_{\max} \varepsilon x' \nexists w. w \varepsilon x' \wedge x_{\max} \subset_M w$
by *blast*
with *suc'*[*rule-format*, *OF* $\langle x_{\max} \varepsilon x' \rangle$]
have $\neg x_{\max} \subset_M \text{setsucM } x_{\max} x_{\max}$
by *blast*
then have $x_{\max} \varepsilon x_{\max}$
unfolding *set-defs* *setext*[*of* x_{\max}] **by** *blast*
then show *False*

using $\langle x \text{max } \varepsilon x' \rangle$ *regular-not-self-mem* x' **by** *blast*
qed

theorem *ord-is-suc*: **assumes** $\text{ordM } x$ **shows** $x = \emptyset \vee \text{is-sucM } x$
using *assms empty-mem-ord fin ord-limit-or-suc* **by** *blast*

theorem *ord-iff-nat*: $\text{ordM } x \longleftrightarrow \text{natM } x$

proof (*rule iffI*)

assume $\text{ordM } x$

show $\text{natM } x$

proof (*cases* $x = \emptyset$)

assume $x \neq \emptyset$

show $\text{natM } x$

proof (*rule natM-I, fact*)

fix v **assume** $\exists u. u \varepsilon v \ v \varepsilon x$

then show $\text{is-sucM } v$

using *ord-mem-ord*[*OF* $\langle \text{ordM } x \rangle \langle v \varepsilon x \rangle$] *ord-is-suc*[*of* v] **by** *fastforce*

qed (*use* *ord-is-suc*[*OF* $\langle \text{ordM } x \rangle \langle x \neq \emptyset \rangle$] **in** *auto*)

qed *simp*

qed (*use* *natM-def* **in** *blast*)

lemma *ord-iff-empty-or-suc*: $\text{ordM } x \longleftrightarrow x = \emptyset \vee (\exists y. \text{ordM } y \wedge x = \text{setsucM } y)$

by (*metis emp-natM not-limit-ord ord-is-suc ord-iff-nat ord-suc-ord union-ord*)

lemma *union-of-ords-mem*: **assumes** $\forall y. y \varepsilon s \longrightarrow \text{ordM } y$ $s \neq \emptyset$

shows $\bigcup_M s \varepsilon s$

using $\langle s \neq \emptyset \rangle$ *emptyset-def'* *non-limit-union-ord-mem*[*OF* *assms*(1)] *ord-is-suc*[*OF* *union-of-ords-ord*[*OF* *assms*(1)]]

union-def' **by** *metis*

end

1.3.13 Negation of inf

ZF with inf replaced by fin

locale *L-setext-empty-power-union-repl-reg-fin* = *L-setext* + *L-empty* + *L-power*
+ *L-union* + *L-repl* + *L-reg* + *L-fin*

begin

sublocale *L-setext-empty-power-union-repl-reg*
by *unfold-locale*s

sublocale *L-setind*

proof (*unfold-locale*s, (*rule impI*)⁺, *rule allI*, *rule ccontr*)

fix $P \ \Xi \ x$

assume *SetFormulaPredicate* P $P (\Xi (0 := \emptyset))$ **and** *step*: $\forall x y. P (\Xi (0 := x))$
 $\longrightarrow P ((\Xi (0 := \text{setsucM } x y)))$ **and** $\neg (P (\Xi (0 := x)))$

```

have sfp: SetFormulaPredicate (λ Ξ. cardinality (Ξ 0) (Ξ 1))
  unfolding set-defs logsimps by (rule SFP-rules)+
have cinj: cardinality u v ∧ cardinality u w ⇒ v = w for u v w
  using card-fun by auto
from sep[OF ‹SetFormulaPredicate P›, rule-format, of ℘ x Ξ]
obtain s where s: ∀ u. (u ∈ s) = (u ∈ ℘ x ∧ P (Ξ(0 := u)))
  by blast
from replp-vars[rule-format, OF sfp, of Ξ 0 1 s, simplified]
obtain m where m: ∀ v. (v ∈ m) = (∃ a. a ∈ s ∧ cardinality a v)
  using cinj by blast
have ∅ ∈ m
  using card-emp exI[of λ x. x ⊆M x ∧ P (Ξ (0:=x, 1:=∅)) ∧ cardinality x ∅ ∅]
  ‹P (Ξ (0:=∅))›
  unfolding m[rule-format] powerset-def' subsetM-def s[rule-format]
  using emptyset-def' by auto
have setsucM n n ∈ m if n ∈ m for n
proof-
  obtain y where y ∈ ℘ x cardinality y n P (Ξ (0:=y))
    using m ‹n ∈ m› s by fast
  obtain y' where y' ∈ x ∧ ¬ y' ∈ y
  using ‹y ∈ ℘ x› ‹P (Ξ (0:=y))› ‹¬ P (Ξ (0:=x))› subsetM-antisym powerset-def'
  subsetM-def by blast
  show setsucM n n ∈ m
    unfolding m[rule-format]
  proof (rule exI[of - setsucM y y'])
    show setsucM y y' ∈ s ∧ cardinality (setsucM y y') (setsucM n n)
      using card-setsuc[OF ‹¬ y' ∈ y› ‹cardinality y n›] ‹y ∈ ℘ x› ‹y' ∈ x›
      step[rule-format, OF ‹P (Ξ(0:= y))›] unfolding powerset-def' subsetM-def
  s[rule-format] by simp
  qed
  qed
  then show False
    using fin ‹∅ ∈ m› by blast
qed

lemma cardinality-ex: ∃! n. natM n ∧ x ≈M n
proof (rule ex-ex1I)
  show ∃ n. natM n ∧ x ≈M n
  proof (rule setind-SP[rule-format])
    show SetProperty (λ a. ∃ n. natM n ∧ a ≈M n)
      unfolding SetProperty-def set-defs logsimps by (rule SFP-rules)+
    show ∃ n. natM n ∧ ∅ ≈M n
      using card-emp cardinality-def by blast
    show ∃ n. natM n ∧ setsucM x y ≈M n if ∃ n. natM n ∧ x ≈M n for x y
      using that card-setsuc setsuc-triv unfolding cardinality-def by metis
  qed
  show natM n ∧ x ≈M n ⇒ natM y ∧ x ≈M y ⇒ n = y for n x y
    using nat-equiv-unique set-equivalent-sym set-equivalent-trans by blast
  qed
qed

```

sublocale *L-setext-empty-setsuc-setind*
 by *unfold-locales*

end

1.3.14 Dedekind finite

locale *L-setext-empty-power-union-repl-dedekind* = *L-setext* + *L-empty* + *L-power*
 + *L-union* + *L-repl* + *L-dedekind*

begin

sublocale *L-setext-empty-power-union-repl*
 by *unfold-locales*

sublocale *L-fin*

proof (*unfold-locales*, *rule notI*)

assume $\exists x. \emptyset \in x \wedge (\forall y. y \in x \longrightarrow \text{setsucM } y \ y \in x)$

then obtain x' **where** $x': \emptyset \in x' \forall y. y \in x' \longrightarrow \text{setsucM } y \ y \in x'$

by *blast*

define x **where** $x = \text{separationM } x' \ \text{natM}$

from *separ-def-SP[OF SP-nat]*

have $x: u \in x \longleftrightarrow u \in x' \wedge \text{natM } u$ **for** u

unfolding *x-def*.

have $x\text{-setsuc}: u \in x \longrightarrow \text{setsucM } u \ u \in x$ **for** u

using $x'(2)$ *nat-suc-nat*[of u] **unfolding** x **by** *blast*

have *SetFormulaPredicate* $(\lambda \Xi. \exists v. \Xi \ 0 = \langle v, \text{setsucM } v \ v \rangle)$

unfolding *logsimps set-defs* **by** (*rule SFP-rules*) +

from *sep[OF this, rule-format, of $x \times_M x$, simplified]*

obtain f **where** $u \in f \longleftrightarrow (u \in x \times_M x \wedge (\exists v. u = \langle v, \text{setsucM } v \ v \rangle))$ **for** u

by *blast*

hence $f: u \in f \longleftrightarrow (\exists v. v \in x \wedge u = \langle v, \text{setsucM } v \ v \rangle)$ **for** u

using *car-prod-def'* *x-setsuc* **by** *auto*

have *one-oneM* f

by (*rule one-oneI*, *unfold f x*, *blast*, *unfold ordered-pair-unique*)

(*use ord-pred-fun[OF natM-D natM-D]* **in** *blast*) +

have *domM* $f = x$

unfolding *setext*[of $-$ x] *f dom-def'* **by** *force*

have $x \approx_M \text{rngM } f$

unfolding *set-equivalent-def* **by** (*rule exI*[of $-$ f]) (*use* $\langle \text{one-oneM } f \rangle \ \langle \text{domM } f$

$= x \rangle$ **in** *blast*)

have *rngM* $f \subseteq_M x$

proof (*rule proper-subsetI*)

show *rngM* $f \subseteq_M x$

unfolding *subsetM-def rng-def'* *f ordered-pair-unique* **using** *x-setsuc* **by** *blast*

show *rngM* $f \neq x$

unfolding *setext*[of $-$ x] *rng-def'* *not-all*

proof (*rule exI*[of $-\emptyset$])

```

have  $t: \emptyset \in x = True$ 
  by (simp add: x x'(1))
have  $f: (\exists v. \langle v, \emptyset \rangle \in f) = False$ 
  unfolding  $f$  ordered-pair-unique setext[of  $\emptyset$ ] binunion-def' singleton-def' by
force
  show  $(\exists v. \langle v, \emptyset \rangle \in f) \neq (\emptyset \in x)$ 
    unfolding  $t f$  by blast
  qed
qed
from dedekind[unfolded dedekind-fin-def, rule-format, OF this]
show False
  using  $\langle x \approx_M \text{rng} M f \rangle$  by blast
qed

end

```

1.3.15 Tarski finite

locale *L-setext-empty-power-sep-setsuc-tarski* = *L-setext* + *L-empty* + *L-power* +
L-sep + *L-setsuc* + *L-tarski*

begin

sublocale *L-setext-empty-power*
by *unfold-locales*

sublocale *L-setext-empty-setsuc*
by *unfold-locales*

In a suitable context, the axiom of Tarski finiteness yields the set induction schema.

sublocale *L-setind*

proof (*unfold-locales, rule impI, rule impI, rule allI*)

fix $P \ni x$

assume *set-p: SetFormulaPredicate P and*

step: $(\forall x y. P (\Xi(0 := x)) \longrightarrow P (\Xi(0 := \text{setsuc} M x y)))$ and
 $P (\Xi(0 := \emptyset))$

show $P (\Xi(0 := x))$

proof (*rule ccontr*)

assume $\neg P (\Xi(0 := x))$

obtain z **where** $z\text{-def}: \bigwedge u. (u \in z) \longleftrightarrow (u \in (\mathfrak{P} x) \wedge P (\Xi(0 := u)))$

using *sep[OF set-p, rule-format, of $\mathfrak{P} x$]* **by** *blast*

have $z \neq \emptyset$

using $z\text{-def}$ $\langle P (\Xi(0 := \emptyset)) \rangle$ *empty-is-empty empty-is-subset subsetM-def*
powerset-def' **by** *blast*

have $z \subseteq_M \mathfrak{P} x$

by (*simp add: subsetM-def z-def*)

have *neg: $\exists v. v \in z \wedge u \subset_M v$ if $u \in z$ for u*

proof–

```

obtain  $y$  where  $\neg y \in u$  and  $y \in x$ 
  using  $\langle \neg P(\Xi(0 := x)) \rangle \langle u \in z \rangle$  [unfolded  $z$ -def] setext[of  $u$   $x$ ] unfolding
subsetM-def powerset-def' by blast
  have  $(\text{setsucM } u \ y) \in z$ 
  using  $\langle y \in x \rangle$  step[rule-format, of  $u$   $y$ ] powerset-def' subsetM-def setsuc-def'
that z-def by auto
  moreover have  $u \subset_M (\text{setsucM } u \ y)$ 
  unfolding proper-subsetM-def setext[of  $u$ ] setsuc-def'
  using  $\langle \neg y \in u \rangle$  by blast
  ultimately show ?thesis
  by blast
qed
show False
  using tarski[rule-format, of  $x$ , unfolded tarski-fin-def, rule-format, of  $z$ ]  $\langle z$ 
 $\subseteq_M \wp x \rangle$  neg  $\langle z \neq \emptyset \rangle$ 
  unfolding subsetM-def powerset-def' z-def
  using  $\langle P(\Xi(0 := \emptyset)) \rangle$  empty-is-empty by blast
qed
qed

```

```

sublocale L-setext-empty-setsuc-setind
  by unfold-locales

```

It follows in particular that union and replacement are provable in this context.

```

sublocale L-repl
  by unfold-locales

```

```

sublocale L-union
  by unfold-locales

```

end

1.3.16 Set induction and regularity schema

An apparently minor variation of the set induction schema, which nevertheless yields also the schema of regularity (i.e., epsilon induction). It is used in Pudlák and Sochor [5].

```

locale L-setindregs = set-signature +
  assumes setindregs: SetFormulaPredicate  $P \implies$ 
   $P(\Xi(0 := \emptyset)) \longrightarrow (\forall x \ y. P(\Xi(0 := x)) \wedge P(\Xi(0 := y)) \longrightarrow P(\Xi(0 := \text{setsucM } x \ y))) \longrightarrow (\forall x. P(\Xi(0 := x)))$ 

```

begin

```

lemma setindregs-sp: assumes SetProperty  $P \ \emptyset \ \forall x \ y. P \ x \ \wedge \ P \ y \ \longrightarrow \ P$ 
 $(\text{setsucM } x \ y)$ 
  shows  $\forall x. P \ x$ 

```

using *setindregsch*[*OF* \langle *SetProperty* *P* \rangle [*unfolded SetProperty-def*]] *assms* **by force**

lemma *setindregsch-var*: **assumes** *SetFormulaPredicate* *P* $P(\Xi(n:= \emptyset)) \forall x y.$
 $P(\Xi(n:= x)) \wedge P(\Xi(n:= y))$
 $\longrightarrow P(\Xi(n:= \text{setsucM } x y))$
shows $\forall x. P(\Xi(n:= x))$

proof

fix *x*

from *bounded-free*[*OF* \langle *SetFormulaPredicate* *P* \rangle]

obtain *m* **where** $m: P \Xi = P \Xi'$ **if** $\forall i < m. \Xi i = \Xi' i$ **for** $\Xi \Xi'$

by *blast*

let $?m = \text{Suc } (n + m)$

let $?f = \text{id}(0 := ?m, n := 0)$

let $?Q = \lambda X. (P (\lambda b. X (?f b)))$

let $?X = \Xi(?m := \Xi 0)$

have *sfpq*: *SetFormulaPredicate* $?Q$

using *transform-variables*[*OF* \langle *SetFormulaPredicate* *P* \rangle] **by** *simp*

have *small*: $\forall i < m. (\Xi(n := u)) i = (?X (0 := u)) (?f i)$ **for** *u*

by *auto*

have *equiv*: $(P (\Xi(n := u))) \longleftrightarrow (?Q (?X (0 := u)))$ **for** *u*

by (*rule* *m*[*of* $\Xi(n := u) \lambda b. (?X (0 := u)) (?f b)$]) *fact*

show $P (\Xi(n := x))$

unfolding *equiv*

by (*rule* *setindregsch*[*rule-format*, *OF sfpq*, *of* $\Xi(\text{Suc } (n + m) := \Xi 0)$], *unfold*
equiv[*symmetric*])

(*use* *assms* **in** *blast*)**+**

qed

— Induction schema for set formulas is a theorem.

sublocale *L-setind*

by *unfold-locales* (*use* *setindregsch* **in** *blast*)

end

locale *L-setext-empty-setsuc-setindregsch* = *L-setext* + *L-empty* + *L-setsuc* +
L-setindregsch

begin

sublocale *L-setext-empty-setsuc-setind*

by *unfold-locales*

lemma *epsind-from-setindregsch-sp*: **assumes** *spp*: *SetProperty* *P* **and** *indp*: $(\forall x.$
 $(\forall y. (y \in x \longrightarrow P y)) \longrightarrow P x)$

shows $\forall x. P x$

proof—

let $?Q = \lambda x. \forall u. (u \in x \longrightarrow P u)$

have *SetFormulaPredicate* $(\lambda \Xi. P (\Xi m))$ **for** *m*

```

    using spp[unfolded SetProperty-def] by (rule transform-variables)
  have SetProperty ?Q
    unfolding SetProperty-def set-defs logsimps by (rule SFP-rules)+ fact
  have  $\forall v w. ?Q v \wedge ?Q w \longrightarrow ?Q (setsucM v w)$  — use ?Q w and indp to
show P w
    using indp unfolding setsuc-def' by presburger
  from setindregsch-sp[OF ‹SetProperty ?Q› - this]
  have  $\forall x. ?Q x$ 
    by force
  then show  $\forall x. P x$ 
    using indp by blast
qed

```

— Epsilon induction (and hence, regularity schema) is a theorem.

lemma epsind-from-setindregsch: assumes *sfp*: *SetFormulaPredicate P* and *indp*:

$(\forall x. (\forall y. (y \varepsilon x \longrightarrow P(\Xi(0:=y)))) \longrightarrow P(\Xi(0:=x)))$

shows $\forall x. P(\Xi(0:=x))$

proof

```

  fix x
  from bounded-free[OF ‹SetFormulaPredicate P›]
  obtain m where  $\forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow P \Xi = P \Xi'$ 
    by blast
  then have small:  $P(\Xi(Suc m:=a, 0:=u)) = P(\Xi(0:=u))$  for  $\Xi a u$ 
    by simp
  let ?Q =  $\lambda \Xi. \forall u. (u \varepsilon (\Xi(Suc m)) \longrightarrow P(\Xi(0:=u)))$ 
  have sfpQ: SetFormulaPredicate ?Q
    by (rule SFP-all[of  $\lambda \Xi. (\Xi 0) \varepsilon (\Xi(m+1)) \longrightarrow P \Xi 0$ , simplified])
    (unfold logsimps set-defs, (rule | fact)+)
  thm setindregsch-var[OF ‹SetFormulaPredicate ?Q›, of - Suc m, unfolded small,
simplified]
  have  $?Q(\Xi(0:=v)) \wedge ?Q(\Xi(0:=w)) \longrightarrow ?Q(\Xi(0:=(v \cup_M \{w\}_M)))$  for  $v w \Xi$ 
    — use ?Q w and indp to show P w
    unfolding setsuc-def' using indp[rule-format] by simp
  from setindregsch-var[OF ‹SetFormulaPredicate ?Q›, of - Suc m, unfolded small,
simplified]
  have  $?Q(\Xi(Suc m:=x))$ 
    unfolding small fun-upd-same using indp by metis
  then show  $P(\Xi(0:=x))$ 
    using indp unfolding small fun-upd-same by blast
qed

```

sublocale *L-epsind*

by (unfold-locales) (use epsind-from-setindregsch in blast)

sublocale *L-setext-empty-union-repl-pair-regsch*

by unfold-locales

end

1.3.17 Summary of dependencies

theorem *epsind-regsch-iff*:

$L\text{-epsind mem} \longleftrightarrow L\text{-regsch mem}$

proof

assume *L-epsind mem*

from *L-epsind.epsind-regsch[OF this]*

show *L-regsch mem*

by *unfold-locales blast*

next

assume *L-regsch mem*

from *L-regsch.regsch-epsind[OF this]*

show *L-epsind mem*

by *unfold-locales*

qed

We give additional names to some important collections of axioms. Here is a "canonical" axiomatization of the theory of hereditarily finite sets.

locale *ZFfin* = *L-setext* + *L-empty* + *L-power* + *L-union* + *L-repl* + *L-fin* + *L-epsind*

begin

sublocale *L-setext-empty-power-union-repl-reg-fin*

by *unfold-locales*

sublocale *L-regsch*

by *unfold-locales*

sublocale *L-reg*

by *unfold-locales*

sublocale *L-setsuc*

by *unfold-locales*

sublocale *L-sep*

by *unfold-locales*

sublocale *L-setind*

by *unfold-locales*

sublocale *L-dedekind*

by *unfold-locales*

sublocale *L-tarski*

by *unfold-locales*

end

This is the list of axioms for sets from Vopěnka's book [10].

locale *ASTset* = *L-setext* + *L-empty* + *L-setsuc* + *L-setind* + *L-regsch*

begin

Vopěnka [10] shows that all axioms of *ZFfin* are provable in *ASTset*

sublocale *ZFfin*

proof–

interpret *L-setext-empty-setsuc-setind*

by *unfold-locales*
interpret *L-epsind*
 by (*simp add: L-regs-ch-axioms epsind-regs-ch-iff*)
show *ZFfin* (ε)
 by *unfold-locales*
qed

The variation of set induction which combines it with regularity schema is provable from set induction schema and epsilon induction (i.e., regularity schema). Taken for granted in [5].

sublocale *L-setindregs-ch*

proof (*unfold-locales, rule impI, rule impI, rule allI*)

fix $P :: (nat \Rightarrow 'a) \Rightarrow bool$ **and** Ξ **and** x

let $?P = \lambda y. P (\Xi(0 := y))$

assume *sfp: SetFormulaPredicate P*

show $?P x$ **if** $?P \emptyset$ **and** *step: $(\forall x y. ?P x \wedge ?P y \longrightarrow ?P (\text{setsucM } x y))$*

proof–

— In order to complete the proof by ε -induction, it is enough to show that all sets inherit the property $?P$. Call this inheritance property Q

let $?Q = \lambda w. (\forall u. u \varepsilon w \longrightarrow ?P u) \longrightarrow ?P w$

— We show that all sets satisfy Q by set-induction.

— But first some work has to be done. Note that $?Q$ depends on free variables present in P . We therefore have to reformulate $?Q$ to reflect this. We formulate Q as a property of a fresh variable $\Xi (m+1)$

from *bounded-free[OF sfp]*

obtain m **where** $m: \forall \Xi \Xi'. (\forall i < m. \Xi i = \Xi' i) \longrightarrow P \Xi = P \Xi'$

by *blast*

have *fresh: $P (\Xi(m+k := a, 0 := b)) = P (\Xi(0 := b))$* **for** $a b k \Xi$

— Indeed, P does not depend on any $\Xi (m+k)$

using $m[\text{rule-format, of } \Xi(m+k := a, 0 := b) \Xi(0 := b)]$ **by** *simp*

let $?Q' = \lambda \Xi. (\forall u. u \varepsilon \Xi (m+1) \longrightarrow P (\Xi(0 := u))) \longrightarrow P (\Xi(0 := \Xi (m+1)))$

— $?Q' \Xi$ now says: x_{m+1} inherits P from its elements

have *SetFormulaPredicate ?Q'*

— The technical part: showing that $?Q'$ is set formula predicate

proof–

have *SetFormulaPredicate $(\lambda \Xi. \forall u. u \varepsilon \Xi (\text{Suc } m) \longrightarrow P (\Xi(0 := u)))$*

by (*rule SFP-all[of $\lambda \Xi. \Xi (m+2) \varepsilon \Xi (\text{Suc } m) \longrightarrow P (\Xi(0 := \Xi(m+2)))$*)

$m+2,$

simplified fun-upd-same fun-upd-other, unfolded fresh])

(*unfold logsimps, rule+, fact*)

show *SetFormulaPredicate ?Q'*

unfolding *logsimps* **by** (*rule SFP-rules*)**+**

(*simp, fact, simp add: sfp update-variable*)

qed

— This yields the desired form of the induction in terms of $?Q$

have *Q-ind-rule: $?Q \emptyset \Longrightarrow (\forall x y. ?Q x \longrightarrow ?Q (\text{setsucM } x y)) \Longrightarrow \forall x. ?Q x$*

```

using
  setind-var[rule-format, OF  $\langle \text{SetFormulaPredicate } ?Q \rangle$ , of  $\Xi m+1$ ]
unfolding fresh fun-upd-same by (rule, blast+)

— Back to the main proof.
have  $\forall w. ?Q w$ 
proof (rule Q-ind-rule)
  show  $?Q \emptyset$ 
    using  $\langle ?P \emptyset \rangle$  by blast
    — the empty set inherits P, since it satisfies P
  show  $\forall x y. ?Q x \longrightarrow ?Q (\text{setsucM } x y)$ 
  proof (rule allI, rule allI, rule impI, rule impI)
    fix x y
    assume  $\langle ?Q x \rangle$  and  $\forall u. u \in \text{setsucM } x y \longrightarrow ?P u$ 
    hence  $?P x ?P y$ 
    using  $\langle ?Q x \rangle$  unfolding setsuc-def' by blast+
    thus  $?P (\text{setsucM } x y)$ 
    using step by blast
  qed
qed
thus  $?P x$ 
  using epsind[OF  $\langle \text{SetFormulaPredicate } P \rangle$ ] unfolding fun-upd-same fresh by
metis
  qed
qed

end

```

ZFfin and *ASTset* are two different axiomatizations of the same theory.

```

sublocale ASTset  $\subseteq$  ZFfin
  by unfold-locales
sublocale ZFfin  $\subseteq$  ASTset
  by (simp add: ASTset-def L-empty-axioms L-regsch-axioms L-setext-axioms L-setind-axioms
L-setsuc-axioms)

```

In the AST, set induction and epsilon induction (i.e., regularity schema) can be replaced by the variant *setindregsch*

theorem *setindregsch-ast*: *L-setext-empty-setsuc-setindregsch mem* \longleftrightarrow *ASTset mem*

```

proof
  assume L-setext-empty-setsuc-setindregsch mem
  then interpret L-setext-empty-setsuc-setindregsch mem.
  show ASTset mem
    by unfold-locales
next
  assume ASTset mem
  then interpret ASTset mem.
  show L-setext-empty-setsuc-setindregsch mem
    by unfold-locales

```

qed

theorem *zffin-ast*: $ZFfin\ mem \longleftrightarrow ASTset\ mem$

proof

assume *ZFfin mem*

then interpret *ZFfin mem*.

show *ASTset mem*

by *unfold-locales*

next

assume *ASTset mem*

then interpret *ASTset mem*.

show *ZFfin mem*

by *unfold-locales*

qed

Ambivalence of the separation schema and the replacement schema.

theorem *repl-implies-sep*:

shows *L-setext-empty-repl mem* \implies *L-sep mem*

proof–

assume *L-setext-empty-repl mem*

then interpret *L-setext-empty-repl mem*.

show *L-sep mem*

by *unfold-locales*

qed

Under certain finiteness assumptions, separation entails replacement. See [2] for details.

theorem *sep-implies-repl*:

shows *L-setext-empty-power-sep-setsuc-tarski mem* \implies *L-repl mem*

proof–

assume *L-setext-empty-power-sep-setsuc-tarski mem*

then interpret *L-setext-empty-power-sep-setsuc-tarski mem*.

show *L-repl mem*

by *unfold-locales*

qed

Entailment between different finiteness principles, in their natural contexts.

The following is included in Vopěnka [10] by exploring the consequences of induction for set formulas.

theorem (in *L-setext-empty-setsuc*)

shows *setind-implies-tarski*: $L-setind\ (\varepsilon) \implies L-tarski\ (\varepsilon)$ **and**

setind-implies-fin-by-setsuc: $L-setind\ (\varepsilon) \implies L-fin\ (\varepsilon)$ **and**

setind-implies-dedekind-by-setsuc: $L-setind\ (\varepsilon) \implies L-dedekind\ (\varepsilon)$

proof–

assume $L-setind\ (\varepsilon)$

then interpret *L-setind*

by *blast*

interpret *L-setext-empty-setsuc-setind*

by *unfold-locales*
 show $L\text{-tarski } (\varepsilon) \ L\text{-fin } (\varepsilon) \ L\text{-dedekind } (\varepsilon)$
 by *unfold-locales*
 qed

theorem (in *L-setext-empty-power-union-repl*)
 $\text{dedekind-implies-fin: } L\text{-dedekind } (\varepsilon) \implies L\text{-fin } (\varepsilon)$

proof–
 assume $L\text{-dedekind } (\varepsilon)$
 then **interpret** $L\text{-dedekind}$.
interpret *L-setext-empty-power-union-repl-dedekind*
 by *unfold-locales*
 show $L\text{-fin } (\varepsilon)$
 by (*simp add: L-fin-axioms*)
 qed

Equivalence of finiteness principles, in sufficiently strong common context.

theorem (in *L-setext-empty-power-union-repl-reg*)
 $\text{fin-implies-tarski: } L\text{-fin } (\varepsilon) \implies L\text{-tarski } (\varepsilon)$ **and**
 $\text{tarski-implies-fin: } L\text{-tarski } (\varepsilon) \implies L\text{-fin } (\varepsilon)$ **and**
 $\text{fin-implies-setind: } L\text{-fin } (\varepsilon) \implies L\text{-setind } (\varepsilon)$ **and**
 $\text{setind-implies-fin: } L\text{-setind } (\varepsilon) \implies L\text{-fin } (\varepsilon)$ **and**
 $\text{fin-implies-dedekind: } L\text{-fin } (\varepsilon) \implies L\text{-dedekind } (\varepsilon)$

proof–
 assume $L\text{-fin } (\varepsilon)$
 then **interpret** $L\text{-fin } (\varepsilon)$.
interpret *L-setext-empty-power-union-repl-reg-fin* (ε)
 by *unfold-locales*
interpret *L-setext-empty-setsuc-setind* (ε)
 by *unfold-locales*
 show $L\text{-tarski } (\varepsilon)$
 by *unfold-locales*
 show $L\text{-dedekind } (\varepsilon)$
 by *unfold-locales*
 show $L\text{-setind } (\varepsilon)$
 by *unfold-locales*
next
 assume $L\text{-tarski } (\varepsilon)$
 then **interpret** $L\text{-tarski } (\varepsilon)$.
interpret *L-setext-empty-power-sep-setsuc-tarski*
 by *unfold-locales*
 show $L\text{-fin } (\varepsilon)$
 by *unfold-locales*
next
 assume $L\text{-setind } (\varepsilon)$
 then **interpret** $L\text{-setind } (\varepsilon)$
 by *blast*
interpret *L-setsuc* (ε)
 by *unfold-locales*

```

interpret L-setext-empty-setsuc ( $\varepsilon$ )
  by unfold-locales
from setind-implies-tarski[OF  $\langle L\text{-setind } (\varepsilon) \rangle$ ]
interpret L-tarski ( $\varepsilon$ ).
interpret L-setext-empty-power-sep-setsuc-tarski ( $\varepsilon$ )
  by unfold-locales
show L-fin ( $\varepsilon$ )
  by unfold-locales
qed

```

The validity of the regularity schema/epsilon induction is closely related to the existence of a transitive superset See also Proposition 5.4, Kaye and Wong [3]. There, the equivalence of *L-epsind* and *L-ts* is shown in the context of EST + regularity. Instead, we follow Sochor [7] and [8] in improving the claim by weakening both implications.

```

theorem (in L-setext-sep-reg) ts-implies-epsind:
  L-ts ( $\varepsilon$ )  $\implies$  L-epsind ( $\varepsilon$ )
proof-
  assume L-ts ( $\varepsilon$ )
  then interpret L-ts ( $\varepsilon$ ).
  interpret L-setext-sep-reg-ts
    by unfold-locales
  interpret L-regsch
    by unfold-locales
  show L-epsind ( $\varepsilon$ )
    using L-epsind-def regsch-epsind by blast
qed

```

```

theorem (in L-setext-empty-union-repl-pair) epsind-implies-ts:
  L-epsind ( $\varepsilon$ )  $\implies$  L-ts ( $\varepsilon$ )
proof-
  assume L-epsind ( $\varepsilon$ )
  then interpret L-epsind ( $\varepsilon$ ).
  interpret L-setext-empty-union-repl-pair-regsch
    by unfold-locales
  show L-ts ( $\varepsilon$ )
    using L-ts-axioms.
qed

```

Consequently, in a sufficiently strong context we can verify the equivalence of axioms A81 (regularity) + A82 (transitive superset) and A8 (schema of regularity) from Sochor [7], p. 709.

```

theorem (in L-setext-empty-union-repl-pair) ts-reg-iff-regsch:
  L-ts ( $\varepsilon$ )  $\wedge$  L-reg ( $\varepsilon$ )  $\longleftrightarrow$  L-regsch ( $\varepsilon$ )
proof
  assume L-regsch ( $\varepsilon$ )
  then interpret L-regsch ( $\varepsilon$ ).
  have L-reg ( $\varepsilon$ )

```

```

    by (simp add: L-reg-axioms)
  have L-ts ( $\varepsilon$ )
    by (simp add: L-regsch-axioms epsind-regsch-iff epsind-implies-ts)
  then show L-ts ( $\varepsilon$ )  $\wedge$  L-reg ( $\varepsilon$ )
    using  $\langle$ L-reg ( $\varepsilon$ ) $\rangle$  by blast
next
assume L-ts ( $\varepsilon$ )  $\wedge$  L-reg ( $\varepsilon$ )
then interpret L-ts ( $\varepsilon$ )
  by simp
interpret L-reg ( $\varepsilon$ )
  using  $\langle$ L-ts ( $\varepsilon$ )  $\wedge$  L-reg ( $\varepsilon$ ) $\rangle$  by simp
interpret L-setext-empty-repl
  by unfold-locales
interpret L-sep ( $\varepsilon$ )
  using repl-implies-sep by (simp add: L-sep-axioms)
interpret L-setext-sep-reg ( $\varepsilon$ )
  by unfold-locales
show L-regsch ( $\varepsilon$ )
  using ts-implies-epsind L-ts-axioms unfolding epsind-regsch-iff.
qed

end

```

Chapter 2

Models and counter-models

```
theory ZFfin-HF
imports HereditarilyFinite.Rank ZFfin
begin
```

2.1 Hereditarily finite sets

We show that the hereditarily finite sets as implemented in *HereditarilyFinite.HF* are a model of *ZFfin* as implemented in *ZF-finite.ZFfin*

```
interpretation zfhf: ZFfin (∈)
  rewrites zfhf.emptysetM = 0 and
           zfhf.singletonM y = {y} and
           zfhf.setsucM x y = x ◁ y
proof-
  interpret zfhf: L-setsuc (∈)
    by unfold-locales blast+
  interpret zfhf: L-empty (∈)
    by unfold-locales blast
  interpret zfhf: L-setext-empty (∈)
    by unfold-locales blast
  show zfhf-emp: zfhf.emptysetM = 0
    using zfhf.empty-is-empty by auto
  interpret zfhf: L-setsuc (∈)
    by unfold-locales blast+
  interpret zfhf: L-empty (∈)
    by unfold-locales blast
  interpret zfhf: L-setext-empty-setsuc (∈)
    by unfold-locales
  show zfhf-sing: zfhf.singletonM y = {y} for y
    using zfhf.singleton-def' by blast
  show zfhf-suc: zfhf.setsucM x y = x ◁ y for x y
    unfolding zfhf.setext[of - x ◁ y] zfhf.setsuc-def' by auto
  interpret L-setind (∈)
proof
```

```

show zfhf.SetFormulaPredicate  $P \implies P (\Xi(0 := \text{zfhf.emptysetM})) \longrightarrow$ 
   $(\forall x y. P (\Xi(0 := x)) \longrightarrow P (\Xi(0 := \text{zfhf.setsucM } x y))) \longrightarrow (\forall x. P (\Xi(0$ 
:=  $x)))$  for  $P \Xi$ 
  unfolding zfhf-suc zfhf-emp using hf-induct[of  $\lambda a. P (\Xi(0:=a))$ ] by blast
qed
interpret L-setext-empty-setsuc-setind ( $\in$ )
  by unfold-locales
interpret L-epsind ( $\in$ )
proof
  fix  $\Xi :: \text{nat} \Rightarrow \text{hf}$  and  $Q$ 
  from Rank.hmem-induct[of  $\lambda x. Q(\Xi(0:=x))$ ]
  show  $(\forall x. (\forall y. y \in x \longrightarrow Q (\Xi(0 := y))) \longrightarrow Q (\Xi(0 := x))) \longrightarrow (\forall x. Q$ 
 $(\Xi(0 := x)))$ 
  by blast
qed
show ZFfin ( $\in$ )
proof (unfold-locales, unfold zfhf-emp zfhf-suc)
  fix  $\Xi :: \text{nat} \Rightarrow \text{hf}$  and  $P$ 
  from hf-induct[of  $\lambda x. P(\Xi(0:=x))$ ]
  show  $\nexists x. 0 \in x \wedge (\forall y. y \in x \longrightarrow y \triangleleft y \in x)$ 
  using fin zfhf-emp zfhf-suc by auto
qed
qed
end

```

2.2 Permutation models

```

theory Permutation-models
imports ZFfin
begin

```

A useful tool of constructing models with specific properties is the Bernays-Rieger permutation method, which redefines the membership relation. We show that a permutation model obtained in this way preserves extensionality, empty set, powerset, union, and replacement. The method has been used, inter alia, in several works directly relevant to our formalization ([6], [11], [1], [4])

```

locale permutation-model = L-setext-empty-power-union-repl +
  fixes perm
  assumes
    bij-perm: bij (perm :: 'a  $\Rightarrow$  'a) and
    SR-fmem: SetRelation ( $\lambda x y. x \in \text{perm } y$ )

```

```

begin

```

```

abbreviation perm-mem (infixr  $\varepsilon^f$  51) where
  perm-mem  $x y \equiv x \in \text{perm } y$ 

```

sublocale L -setext-empty
 by *unfold-locales*

interpretation pm : L -setext perm-mem
proof (*unfold-locales*, *rule iffI*, *blast*)
 show $x = y$ if $\forall z. z \varepsilon^f x = z \varepsilon^f y$ for $x y$
 using *that*[*folded setext*[*of perm x perm y*]] *bij-perm*
 by (*simp add: bij-betw-def inj-eq*)
qed

lemma SFP -fmem[SFP -rules]: *SetFormulaPredicate* $(\lambda \Xi. \Xi m \varepsilon^f \Xi n)$
 by (*rule transform-variables*[*OF SR-fmem*[*unfolded SetRelation-def*], *of id(0:=m,1:=n)*,
simplified])

lemma SR -perm-eq: *SetRelation* $(\lambda x y. perm\ x = y)$
 unfolding *SetRelation-def setext logsimps* by (*rule SFP-rules*) $+$

lemma $permSFP$ - SFP : **assumes** pm .*SetFormulaPredicate P* **shows** *SetFormulaPredicate P*
proof (*rule pm.SetFormulaPredicate.induct*[*OF assms*])
 show *SetFormulaPredicate* $(\lambda \Xi. \Xi m \varepsilon^f \Xi n)$ for $m n$
 using *transform-variables*[*OF SR-fmem*[*unfolded SetRelation-def*], *of id(0:=-,1:=-)*]
 by *simp*
qed (*simp-all add: SFP-rules*)

lemma $perm$ -model:
 shows L -setext-empty-power-union-repl $perm$ -mem
proof
 write pm .subsetM (*infix* \subseteq^f 51)
 have $finv$: $perm\ ((inv\ perm)\ u) = u$ for u
 using *bij-perm bij-inv-eq-iff* by *fast*
 have $finv'$: $(inv\ perm)\ (perm\ u) = u$ for u
 using *bij-perm bij-inv-eq-iff* by *metis*
 have inv -iff: $(inv\ perm)\ x = y \iff perm\ y = x$ for $x y$
 using *bij-perm finv finv'* by *auto*
 have SR -f': *SetRelation* $(\lambda x y. (inv\ perm)\ x = y)$
 using *SR-sym*[*OF SR-perm-eq*, *unfolded SR-perm-eq*] **unfolding** *inv-iff*.
 have $finv$ -unique: $\forall u. \exists! v. v = inv\ perm\ u$
 using *bij-perm* by *blast*
 obtain $femp$ where $femp$ -def: $perm\ femp = \emptyset$
 using *bij-perm bij-pointE* by *metis*
 show $\exists x. \forall y. \neg y \varepsilon^f x$
 using $femp$ -def by (*metis empty-is-empty*)
 have $fsubset$: $x \subseteq^f y \iff (perm\ x) \subseteq_M (perm\ y)$ for $x y$
 unfolding pm .subsetM-def subsetM-def..
 show $\forall x. \exists y. \forall u. u \varepsilon^f y = u \subseteq^f x$
 unfolding $fsubset$
proof

```

fix x
obtain  $y'$  where  $y': \bigwedge u. u \in y' \longleftrightarrow u \subseteq_M (\text{perm } x)$ 
  using power[rule-format, of perm x] by blast
obtain  $y$  where  $y: \bigwedge u. (u \in y) = (\exists v. v \in y' \wedge \text{inv perm } v = u)$ 
  using replf[OF SR-f'[unfolded SetRelation-def], rule-format, of - y', simplified]
by blast
  have  $\forall v. (v \in \text{perm } (\text{inv perm } y)) \longleftrightarrow \text{perm } v \subseteq_M \text{perm } x$ 
    using y y' bij-perm bij-inv-eq-iff by metis
  then show  $\exists y. \forall v. (v \in \text{perm } y) = \text{perm } v \subseteq_M \text{perm } x$ 
    by blast
qed
show  $\forall x. \exists y. \forall v. v \varepsilon^f y = (\exists u. u \varepsilon^f x \wedge v \varepsilon^f u)$ 
proof
  fix x
  obtain  $x'$  where  $x': \bigwedge u. (u \in x') = (\exists v. v \in \text{perm } x \wedge \text{perm } v = u)$ 
    using replf[OF SR-perm-eq[unfolded SetRelation-def], simplified]
    using replf[OF SR-f'[unfolded SetRelation-def], rule-format, simplified] by
  blast
  obtain  $y$  where  $y: \bigwedge v. v \in y \longleftrightarrow (\exists u. u \in x' \wedge v \in u)$ 
    using union[rule-format, of x'] by blast
  have  $\forall v. (v \in \text{perm } (\text{inv perm } y)) \longleftrightarrow (\exists u. u \in \text{perm } x \wedge v \in \text{perm } u)$ 
    using y x' bij-perm finv by auto
  then show  $\exists y. \forall v. (v \in \text{perm } y) = (\exists u. u \in \text{perm } x \wedge v \in \text{perm } u)$ 
    by blast
qed
fix  $P \exists$ 
assume pm.SetFormulaPredicate P
show  $(\forall u. \exists !v. P (\exists(0 := u, 1 := v))) \longrightarrow (\forall x. \exists z. \forall v. v \varepsilon^f z = (\exists u. u \varepsilon^f x$ 
 $\wedge P (\exists(0 := u, 1 := v))))$ 
proof (rule impI, rule allI)
  assume  $\forall u. \exists !v. P (\exists(0 := u, 1 := v))$ 
  fix x
  have SetFormulaPredicate P
    by (simp add: <pm.SetFormulaPredicate P> permSFP-SFP)
  from replf[rule-format, OF this <\forall u. \exists !v. P (\exists(0 := u, 1 := v))>][rule-format],
  of perm x]
  obtain  $z$  where  $\forall v. (v \in z) = (\exists u. u \in \text{perm } x \wedge P (\exists(0 := u, 1 := v)))$ 
    by blast
  hence  $\forall v. (v \in \text{perm } ((\text{inv perm } ) z)) = (\exists u. u \in \text{perm } x \wedge P (\exists(0 := u, 1 :=$ 
 $v)))$ 
    unfolding finv.
  thus  $\exists z. \forall v. (v \in \text{perm } z) = (\exists u. u \in \text{perm } x \wedge P (\exists(0 := u, 1 := v)))$ 
    by blast
qed
qed
end
end

```

2.3 Regularity

theory *Not-regular-model*
imports *Permutation-models*
begin

Axioms of extensionality, empty set, powerset, union, replacement and set induction are not sufficient to prove regularity, even in the presence of transitive superset axiom.

We prove this using permutation models. It is enough to transpose 0 and 1 in order to obtain a model which violates regularity, cf. [1, Theorem 13]

context *L-setext-empty-power-union-repl*

begin

definition *swap-zero-one* :: ' $a \Rightarrow 'a$ **where**
swap-zero-one $x = (if\ x = \emptyset\ then\ \{\emptyset\}_M\ else\ (if\ x = \{\emptyset\}_M\ then\ \emptyset\ else\ x))$

lemma *swap-zero-one-involution[simp]*: *swap-zero-one* (*swap-zero-one* x) = x
by (*simp add: swap-zero-one-def*)

lemma *bij-swap-zero-one*: *bij swap-zero-one*
by (*simp add: involuntary-imp-bij swap-zero-one-def*)

lemma *swap-zero-one-mem*: $a \varepsilon\ swap-zero-one\ b \iff (b \neq \{\emptyset\}_M \wedge a \varepsilon\ b) \vee (a = \emptyset \wedge b = \emptyset)$
unfolding *swap-zero-one-def* **by** (*simp add: singleton-def'*)

lemma *swap-zero-one-perm-model*: *permutation-model* (ε) *swap-zero-one*
proof

show *SetRelation* ($\lambda x y. x \varepsilon\ swap-zero-one\ y$)
unfolding *SetRelation-def swap-zero-one-mem logsimps singleton-eq set-defs* **by**
(*rule SFP-rules*)
qed (*rule bij-swap-zero-one*)

interpretation *swap*: *permutation-model* (ε) *swap-zero-one*
using *swap-zero-one-perm-model* **by** *blast*

notation *swap.perm-mem* (**infix** $\langle \varepsilon^s \rangle$ 50)

interpretation *swap*: *L-setext-empty-power-union-repl* (ε^s)
using *swap.perm-model*.

notation *swap.emptysetM* ($\langle \emptyset^s \rangle$)

notation *swap.setsucM* ($\langle \text{suc}^s \rangle$)

— $\{\emptyset\}$ is the empty set in the permuted model

lemma *one-swap*: $\neg (a \varepsilon^s \{\emptyset\}_M)$

unfolding *swap-zero-one-mem* **using** *singleton-def'* **by** *force*

lemma *swap-empty*: $\emptyset^s = \{\emptyset\}_M$

using *emptyset-def'* *swap.emptyset-def'* *swap-zero-one-mem* **by** *blast*

— \emptyset is a singleton loop in the permuted model

lemma *zero-swap-mem-iff*: $a \varepsilon^s \emptyset \iff a = \emptyset$

unfolding *swap-zero-one-mem* **by** *auto*

Since $\emptyset \varepsilon^s \emptyset$, the permuted model is not regular.

theorem *not-reg-swap-zero-one*: $\neg (L\text{-reg}(\varepsilon^s))$

unfolding *L-reg-def* *swap-zero-one-mem* **by** *force*

In order to show that the model with membership ε^s satisfies *L-setind* (*L-ts* resp.) if the original model does, we first show how the modified successor behaves.

lemma *swap-mem-mem*: $\neg (x = \emptyset \wedge y = \emptyset) \implies x \varepsilon^s y \implies x \varepsilon y$

using *swap-zero-one-mem* **by** *auto*

lemma *swap-setsuc-1-y*: **assumes** $y \neq \emptyset$ **shows** $\text{suc}^s(\{\emptyset\}_M) y = \{y\}_M$

proof–

have *swap-zero-one* $(\{y\}_M) = \{y\}_M$

by (*metis* *assms* *empty-is-empty* *singleton-def'* *swap-zero-one-def*)

have $u \varepsilon^s \text{suc}^s(\{\emptyset\}_M) y \iff u = y$ **for** u

using *one-swap* **by** *auto*

hence *swap-zero-one* $(\text{suc}^s(\{\emptyset\}_M) y) = \{y\}_M$

unfolding *setext* *singleton-def'* **by** *fast*

from *arg-cong*[*OF* *this*, of *swap-zero-one*]

show $\text{suc}^s(\{\emptyset\}_M) y = \{y\}_M$

unfolding $\langle \text{swap-zero-one}(\{y\}_M) = \{y\}_M \rangle$ **by** *simp*

qed

lemma *swap-setsuc-0-y*: **assumes** $y \neq \emptyset$ **shows** $\text{suc}^s \emptyset y = \{\emptyset, y\}_M$

proof–

have $\{\emptyset, y\}_M \neq \emptyset \wedge \{\emptyset, y\}_M \neq \{\emptyset\}_M$

unfolding *setext* **unfolding** *pair-def'* *singleton-def'* **using** *assms* **by** *auto*

hence *swap-zero-one* $(\{\emptyset, y\}_M) = \{\emptyset, y\}_M$

by (*simp* *add*: *swap-zero-one-def*)

have $u \varepsilon^s \text{suc}^s \emptyset y \iff u = \emptyset \vee u = y$ **for** u

by (*simp* *add*: *zero-swap-mem-iff*)

hence *swap-zero-one* $(\text{suc}^s \emptyset y) = \{\emptyset, y\}_M$

unfolding *setext* *pair-def'* **by** *fast*

from *arg-cong*[*OF* *this*, of *swap-zero-one*]

show $\text{suc}^s \emptyset y = \{\emptyset, y\}_M$

unfolding $\langle \text{swap-zero-one}(\{\emptyset, y\}_M) = \{\emptyset, y\}_M \rangle$ **by** *simp*

qed

lemma *swap-setsuc-0-0*: $\text{suc}^s \emptyset \emptyset = \emptyset$

using *zero-swap-mem-iff* **by** *auto*

lemma *swap-setsuc-1-0*: $\text{suc}^s (\{\emptyset\}_M) \emptyset = \emptyset$

proof–

have $u \varepsilon^s \text{suc}^s (\{\emptyset\}_M) \emptyset \longleftrightarrow u = \emptyset$ **for** u
using *one-swap* **by** *auto*
hence *swap-zero-one* $(\text{suc}^s (\{\emptyset\}_M) \emptyset) = \{\emptyset\}_M$
unfolding *setext singleton-def'* **by** *blast*
from *arg-cong[OF this, of swap-zero-one]*
show $\text{suc}^s (\{\emptyset\}_M) \emptyset = \emptyset$
by (*simp add: emptyset-def' one-swap*)

qed

lemma *swap-setsuc-1-1*: $\text{suc}^s (\{\emptyset\}_M) (\{\emptyset\}_M) = \{\{\emptyset\}_M\}_M$

proof–

have $\{\{\emptyset\}_M\}_M \neq \emptyset \wedge \{\{\emptyset\}_M\}_M \neq \{\emptyset\}_M$
unfolding *setext[of {-}_M]* **by** (*metis empty-is-empty singleton-def'*)
hence *swap-zero-one* $(\{\{\emptyset\}_M\}_M) = \{\{\emptyset\}_M\}_M$
by (*simp add: swap-zero-one-def*)
have $u \varepsilon^s \text{suc}^s (\{\emptyset\}_M) (\{\emptyset\}_M) \longleftrightarrow u = \{\emptyset\}_M$ **for** u
using *one-swap* **by** *auto*
hence *swap-zero-one* $(\text{suc}^s (\{\emptyset\}_M) (\{\emptyset\}_M)) = \{\{\emptyset\}_M\}_M$
unfolding *setext singleton-def'* **by** *blast*
from *arg-cong[OF this, of swap-zero-one]*
show $\text{suc}^s (\{\emptyset\}_M) (\{\emptyset\}_M) = \{\{\emptyset\}_M\}_M$
unfolding $\langle \text{swap-zero-one } (\{\{\emptyset\}_M\}_M) = \{\{\emptyset\}_M\}_M \rangle$ **by** *simp*

qed

lemma *setsuc-setsuc'*: **assumes** $x \neq \emptyset \wedge x \neq \{\emptyset\}_M$

shows $\text{suc}^s x y = \text{suc } x y$

proof–

have $\text{suc } x y \neq \{\emptyset\}_M$ **and** $\text{suc } x y \neq \emptyset$
by (*metis assms emptyset-def' setsuc-def' setsuc-triv singleton-def'*) (*use emptyset-def' in auto*)
hence *aux*: $z \varepsilon^s \text{suc } x y \longleftrightarrow z \varepsilon \text{suc } x y$ **and** $z \varepsilon^s x \longleftrightarrow z \varepsilon x$ **for** z
by (*simp-all add: assms swap-zero-one-mem*)
thus *?thesis*
unfolding *swap.setext[of - suc x y]* **unfolding** *aux swap.setsuc-def'[rule-format]*
setsuc-def'[rule-format]
by *blast*

qed

theorem *setind-swap-setind*: $L\text{-setind } (\varepsilon) \longrightarrow L\text{-setind } (\varepsilon^s)$

proof

assume $L\text{-setind } (\varepsilon)$

then interpret $L\text{-setind } (\varepsilon)$.

show $L\text{-setind } (\varepsilon^s)$

proof (*unfold-locales, rule impI, rule impI, rule allI*)

fix $P :: (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **and** w **and** Ξ

let $?P = \lambda x. P(\Xi(0 := x))$

assume $?P \emptyset^s$ **and** *step*: $\forall x y. ?P x \longrightarrow ?P (\text{suc}^s x y)$ **and** *SFP*: *swap.SetFormulaPredicate*
 P
hence $?P (\{\emptyset\}_M)$
using *swap-empty* **by** *auto*
have *aux*: $(\text{suc}^s (\{\emptyset\}_M) \emptyset) = \emptyset \longleftrightarrow (\text{suc}^s (\{\emptyset\}_M) \emptyset) \varepsilon^s (\text{suc}^s (\{\emptyset\}_M) \emptyset)$
using *swap.setsuc-def'* *swap-zero-one-mem* **by** *blast*
have $\text{suc}^s (\{\emptyset\}_M) \emptyset = \emptyset$
by (*metis swap.setsuc-empty-sing swap.singleton-eq swap-empty zero-swap-mem-iff*)
hence $?P \emptyset$
using *step*[*rule-format*, *OF* $\langle ?P (\{\emptyset\}_M) \rangle$, *of* \emptyset] **by** *simp*
have *SetFormulaPredicate* P
by (*simp add: SFP swap.permSFP-SFP*)
show $?P w$
proof (*rule setind*[*OF* $\langle \text{SetFormulaPredicate } P \rangle$, *of* Ξ , *rule-format*])
show $?P \emptyset$
by *fact*
next
fix $x y$
assume $?P x$
show $?P (\text{suc } x y)$
proof–
consider $x = \emptyset \wedge y = \emptyset \mid x = \emptyset \wedge y \neq \emptyset \mid x = \{\emptyset\}_M \wedge y = \emptyset \mid x = \{\emptyset\}_M$
 $\wedge y \neq \emptyset \mid x \neq \emptyset \wedge x \neq \{\emptyset\}_M$
by *blast*
then show *?thesis*
proof (*cases*)
assume $x = \emptyset \wedge y = \emptyset$
then show $?P (\text{suc } x y)$
using $\langle P (\Xi(\emptyset := \{\emptyset\}_M)) \rangle$ *setsuc-empty-sing* **by** *auto*
next
assume $x = \emptyset \wedge y \neq \emptyset$
then show $?P (\text{suc } x y)$
using *step*[*rule-format*, *OF* $\langle ?P (\{\emptyset\}_M) \rangle$, *of* y] *setsuc-empty-sing*
swap-setsuc-1-y **by** *force*
next
assume $x = \{\emptyset\}_M \wedge y = \emptyset$
then show $?P (\text{suc } x y)$
using $\langle P (\Xi(\emptyset := x)) \rangle$ *singleton-def'* **by** *auto*
next
assume $x = \{\emptyset\}_M \wedge y \neq \emptyset$
hence $\text{suc } x y = \{\emptyset, y\}_M$
unfolding *setext setsuc-def'* *singleton-def'* *pair-def'* **by** *force*
hence $\text{suc}^s \emptyset y = \text{suc } x y$
by (*simp add: swap-setsuc-0-y* $\langle x = \{\emptyset\}_M \wedge y \neq \emptyset \rangle$)
then show $?P (\text{suc } x y)$
using *step*[*rule-format*, *OF* $\langle ?P \emptyset \rangle$, *of* y] **by** *presburger*
next
assume $x \neq \emptyset \wedge x \neq \{\emptyset\}_M$
then show $?P (\text{suc } x y)$

```

      using setsuc-setsuc' step[rule-format, OF <?P x>] by force
    qed
  qed
  qed
  qed
  qed
lemma ts-swap-ts: L-ts ( $\varepsilon$ )  $\longrightarrow$  L-ts ( $\varepsilon^s$ )
proof
  assume L-ts ( $\varepsilon$ )
  then interpret L-setext-sep-binunion-ts ( $\varepsilon$ )
  by (simp add: L-binunion-axioms L-sep-axioms L-setext-axioms L-setext-sep-binunion-ts.intro)

  have suc:  $x \cup_M \{\emptyset\}_M = \mathbf{succ} \ x \ \emptyset$  for  $x$ 
  unfolding binunion-def' singleton-def' setsuc-def' setext by blast
  have empts: least-tsM ( $\{\emptyset\}_M$ ) =  $\{\emptyset\}_M$ 
  unfolding setext unfolding least-ts-def' singleton-def'
  by (metis subsetM-def transM-def set-two-mem powerset-def' set-one-mem)
  show L-ts ( $\varepsilon^s$ )
proof (unfold-locals, rule allI, unfold set-signature.transM-def set-signature.subsetM-def)
  fix  $x :: 'a$ 
  consider  $x = \emptyset \mid x = \{\emptyset\}_M \mid x \neq \emptyset \wedge x \neq \{\emptyset\}_M$ 
  by blast
  then show  $\exists z. (\forall y. y \varepsilon^s z \longrightarrow (\forall za. za \varepsilon^s y \longrightarrow za \varepsilon^s z)) \wedge$ 
    ( $\forall za. za \varepsilon^s x \longrightarrow za \varepsilon^s z$ )
  proof (cases)
    assume  $x = \emptyset$ 
    show ?thesis
    by (rule exI[of -  $\emptyset$ ], simp add: < $x = \emptyset$ > swap-zero-one-mem)
  next
    assume  $x = \{\emptyset\}_M$ 
    show ?thesis
    by (rule exI[of -  $\{\emptyset\}_M$ ], simp add: < $x = \{\emptyset\}_M$ > swap-zero-one-mem)
  next
    assume  $x \neq \emptyset \wedge x \neq \{\emptyset\}_M$ 
    let ?t = least-tsM (setsucM  $x \ \emptyset$ )
    have t: ?t = (least-tsM  $x$ )  $\cup_M \{\emptyset\}_M$ 
    by (metis empts leastTS-union suc)
    have ?t  $\neq \emptyset$ 
    unfolding t setext binunion-def' singleton-def' by auto
    have esimp:  $a \varepsilon^s ?t \longleftrightarrow a \varepsilon \text{ least-tsM } x \vee a = \emptyset$  for  $a$ 
  proof
    assume  $a \varepsilon^s ?t$ 
    from swap-mem-mem[OF - this]
    have  $a \varepsilon ?t$ 
    using < $x \neq \emptyset \wedge x \neq \{\emptyset\}_M$ > <?t  $\neq \emptyset$ > by blast
    show  $a \varepsilon \text{ least-tsM } x \vee a = \emptyset$ 
  proof (cases  $a = \emptyset$ )
    assume  $a \neq \emptyset$ 

```

```

have  $a \in \text{least-tsM } x$ 
  unfolding  $\text{least-ts-def}'$ 
proof (rule ccontr)
  assume  $\neg (\forall v. \text{transM } v \wedge x \subseteq_M v \longrightarrow a \in v)$ 
  then obtain  $v$  where  $\text{transM } v \wedge x \subseteq_M v \wedge \neg a \in v$ 
    by blast
  let  $?v = \text{setsucM } v \ \emptyset$ 
  have  $\text{transM } ?v \wedge x \subseteq_M ?v$ 
  using  $\langle \text{transM } v \rangle \langle x \subseteq_M v \rangle$  unfolding  $\text{transM-def subsetM-def setsuc-def}'$ 
by force+
  hence  $a \in ?v$ 
  using  $\langle a \in \text{least-tsM } (\text{succ } x \ \emptyset) \rangle$  [unfolded least-ts-def'] by blast
  thus False
  using  $\langle \neg a \in v \rangle \langle a \neq \emptyset \rangle$  unfolding  $\text{setsuc-def}'$  by blast
qed
thus  $a \in \text{least-tsM } x \vee a = \emptyset$ 
  by blast
qed simp
next
assume  $a \in \text{least-tsM } x \vee a = \emptyset$ 
hence  $a \in \text{least-tsM } (\text{succ } x \ \emptyset)$ 
  by (simp add: binunion-def' singleton-def' t)
have  $\text{least-tsM } (\text{succ } x \ \emptyset) \neq \{\emptyset\}_M$ 
proof
  assume  $\text{least-tsM } (\text{succ } x \ \emptyset) = \{\emptyset\}_M$ 
  have  $x \subseteq_M \text{least-tsM } (\text{succ } x \ \emptyset)$ 
  by (simp add: least-ts-def' subsetM-def)
  show False
  using  $\langle x \neq \emptyset \wedge x \neq \{\emptyset\}_M \rangle$ 
  by (metis  $\langle \text{least-tsM } (\text{succ } x \ \emptyset) = \{\emptyset\}_M \rangle \langle x \subseteq_M \text{least-tsM } (\text{succ } x \ \emptyset) \rangle$ 
powerset-def' set-one-def set-two-mem)
qed
then show  $a \in^s \text{least-tsM } (\text{succ } x \ \emptyset)$ 
  by (simp add:  $\langle a \in \text{least-tsM } (\text{succ } x \ \emptyset) \rangle$  swap-zero-one-mem)
qed
show  $\exists z. (\forall y. y \in^s z \longrightarrow (\forall za. za \in^s y \longrightarrow za \in^s z)) \wedge$ 
 $(\forall za. za \in^s x \longrightarrow za \in^s z)$ 
proof (rule exI[of - ?t], rule conjI, rule allI, rule impI)
  fix  $y$  assume  $y \in^s \text{least-tsM } (\text{succ } x \ \emptyset)$ 
  then show  $\forall za. za \in^s y \longrightarrow za \in^s \text{least-tsM } (\text{succ } x \ \emptyset)$ 
    unfolding esimp by (metis empty-mem-false least-ts-is-transitive
set-signature.subsetM-def swap-zero-one-mem transM-def)
next
show  $\forall za. za \in^s x \longrightarrow za \in^s \text{least-tsM } (\text{succ } x \ \emptyset)$ 
  unfolding esimp using  $\text{least-ts-def}'$  subsetM-def swap-mem-mem by blast
qed
qed
qed
qed

```

end

theorem *not-reg-model*: **assumes** *L-setext-empty-power-union-repl* (*mem* :: '*a* ⇒ '*a* ⇒ bool) *L-setind mem L-ts mem*
shows $\neg (\forall (m :: 'a \Rightarrow 'a \Rightarrow \text{bool}). L\text{-setext-empty-power-union-repl } m \wedge L\text{-setind } m \wedge L\text{-ts } m \longrightarrow L\text{-reg } m)$
proof (*rule notI*)
 assume *contr*: $\forall (m :: 'a \Rightarrow 'a \Rightarrow \text{bool}). L\text{-setext-empty-power-union-repl } m \wedge L\text{-setind } m \wedge L\text{-ts } m \longrightarrow L\text{-reg } m$
 interpret *L-setext-empty-power-union-repl mem*
 using *assms* **by** *simp*
 interpret *L-setind mem*
 using *assms* **by** *simp*
 interpret *permutation-model mem swap-zero-one*
 using *swap-zero-one-perm-model*.
 have *L-setext-empty-power-union-repl perm-mem* \wedge *L-setind perm-mem* \wedge *L-ts perm-mem*
 by (*simp add: perm-model setind-swap-setind ts-swap-ts* $\langle L\text{-setind mem} \rangle$ $\langle L\text{-ts mem} \rangle$)
 from *contr*[*rule-format*, *OF this*]
 show *False*
 using *not-reg-swap-zero-one* **by** *blast*
qed

end

2.4 Independence of transitive superset

theory *Not-ts-model*
imports *Permutation-models*
begin

We explore the model defined by the permutation transposing n and $\{n+1\}_M$ for each natural number n . The membership in the model is not well-founded since there is an infinite decreasing chain $\dots 2 \varepsilon^f 1 \varepsilon^f 0$.

Despite that if the native membership satisfies regularity and finiteness, the permuted model also satisfies regularity and finiteness.

Consequently, \emptyset (the empty set) has not transitive superset, since it could be infinite if it existed. As a corollary, the permutation model does not satisfy schema of regularity (or, equivalently, epsilon induction) since it entails transitive superset axiom.

The construction is given in Rieger in [6] and also in [4] who underscore that the model is recursive. The statement that regularity schema does not follow from regularity was also proved in [11].

context *L-setext-empty-power-union-repl-reg*

begin

fun *regperm* :: 'a \Rightarrow 'a **where**

regperm a = (if *natM* a then {*setsucM* a a}_M else
if (\exists b. *natM* b \wedge a = {*setsucM* b b}_M) then *THE* b. a = {*setsucM*
b b}_M else a)

lemma *two-natM*: *natM* (*setsucM* ({ \emptyset }_M)($\{\emptyset\}$ _M))
using *nat-suc-nat*[*OF one-natM*].

lemma *suc-sing-not-nat*: \neg *natM* ({*setsucM* b b}_M)
proof

assume *natM* ({*setsucM* b b}_M)
hence *ordM* ({*setsucM* b b}_M)
unfolding *natM-def* **using** *natM-D* **by** *blast*
from *ordM-D1*[*OF this*, of *setsucM* b b]
have b = *setsucM* b b
unfolding *singleton-def'* *subsetM-def* **by** *force*
moreover **have** b \in *setsucM* b b
unfolding *set-defs* **by** *blast*
ultimately **show** *False*
using *mem-not-refl*[of b] **by** *simp*

qed

lemma *regperm-image-nat*: **assumes** *natM* a
shows *regperm* a = {*setsucM* a a}_M
using *assms* **by** *simp*

lemma *regperm-image-plus*: **assumes** *natM* b
shows *regperm* ({*setsucM* b b}_M) = b

proof–

have *aux*: (*THE* c. ({*setsucM* b b}_M) = ({*setsucM* c c}_M)) = *regperm* ({*setsucM*
b b}_M)
using \langle *natM* b \rangle *suc-sing-not-nat* **by** *fastforce*
have *aux'*: {*setsucM* b b}_M = {*setsucM* x x}_M \implies x = b **for** x
using *suc-unique*[of x b] **unfolding** *setext singleton-def'* **by** *blast*
show *?thesis*
using *the-equality*[of λ c. {*setsucM* b b}_M = {*setsucM* c c}_M, *OF refl aux'*]
unfolding *aux* **by** *blast*

qed

lemma *regperm-image-else*: **assumes** \neg *natM* a \nexists b. *natM* b \wedge a = {*setsucM* b
b}_M
shows *regperm* a = a
using *assms* **by** *force*

lemma *regperm-bij*: *bij* *regperm*
proof (*rule involuntary-imp-bij*)
fix a

show $\text{regperm} (\text{regperm } a) = a$
by (*cases* $\text{natM } a$, *use* *regperm-image-nat* *regperm-image-plus* **in** *force*)
(*cases* $\exists b. \text{natM } b \wedge a = \{\text{setsucM } b \ b\}_M$, *use* *regperm-image-nat* *reg-*
perm-image-plus **in** *metis*, *auto*)
qed

lemma *SR-regperm-eq*: *SetRelation* $(\lambda x y. \text{regperm } x = y)$

proof–

let $?Def = \lambda x y. (\text{natM } x \wedge y = \{\text{setsucM } x \ x\}_M) \vee (\neg \text{natM } x \wedge (\exists b. \text{natM } b \wedge x = \{\text{setsucM } b \ b\}_M \wedge y = b))$

$\vee (\neg \text{natM } x \wedge (\nexists b. \text{natM } b \wedge x = \{\text{setsucM } b \ b\}_M) \wedge y = x)$

have *iff*: $?Def \ x \ y \longleftrightarrow \text{regperm } x = y$ **for** $x \ y$

proof–

consider $\text{natM } x \mid \neg \text{natM } x \wedge (\exists b. \text{natM } b \wedge x = \{\text{setsucM } b \ b\}_M) \mid$

$\neg \text{natM } x \wedge (\nexists b. \text{natM } b \wedge x = \{\text{setsucM } b \ b\}_M)$ **by** *blast*

then show *?thesis*

by (*cases*, *force*) (*use* *regperm-image-plus* **in** *blast*, *use* *regperm-image-else* **in** *auto*)

qed

have *SetRelation* $?Def$

unfolding *SetRelation-def* *logsimps* *set-defs* **by** (*rule* *SFP-rules*)**+**

thus *?thesis*

unfolding *iff*.

qed

lemma *SR-regperm-mem*: *SetRelation* $(\lambda x y. x \in \text{regperm } y)$

proof–

have *SetRelation* $(\lambda x y. \exists z. x \in z \wedge \text{regperm } y = z)$

unfolding *SetRelation-def* *logsimps*

by (*rule* *SFP-rules*)**+**

(*use* *transform-variables*[*OF* *SR-regperm-eq*[*unfolded* *SetRelation-def*], *of* *id*(*0:=1*, *1:=-*)] **in** *simp*)

thus *?thesis*

by *metis*

qed

end

context *L-setext-empty-power-union-repl-reg-fin*

begin

interpretation *perm*: *permutation-model* (ε) *regperm*

by *unfold-locales* (*use* *regperm-bij* *SR-regperm-mem* **in** *blast*)**+**

— membership in the model

abbreviation *fmem* (**infix** ε^f 51) **where**

$\text{fmem } x \ y \equiv \text{perm.perm-mem } x \ y$

interpretation *fm*: *L-setext-empty-power-union-repl fmem*
using *perm.perm-model*.

lemma *regperm-mem-nat*: **assumes** *natM a*
shows $u \varepsilon^f a \longleftrightarrow u = (\text{setsucM } a \ a)$
unfolding *regperm-image-nat[OF assms] singleton-def'*..

— First meta-theorem : not used in the main meta-theorem below.

lemma *fmem-not-wf*: $\neg (\text{wfp } (\varepsilon^f))$

proof–

let $?B = \{x . \text{natM } x\}$
have $?B \neq \{\}$
using *Collect-empty-eq one-natM by metis*
moreover have $z \in ?B \implies (\text{setsucM } z \ z) \varepsilon^f z$ **for** z
unfolding *mem-Collect-eq using regperm-image-nat unfolding setext singleton-def'* **by** *blast*
moreover have $z \in ?B \implies (\text{setsucM } z \ z) \in ?B$ **for** z
unfolding *mem-Collect-eq using nat-suc-nat*.
ultimately show *?thesis*
unfolding *wfp-iff-ex-minimal by metis*
qed

The main meta-theorem. The axiom of the transitive superset does not hold in the model since the transitive closure of \emptyset would be an infinite set.

theorem *regperm-not-ts*: $\neg L\text{-ts } (\varepsilon^f)$

proof

assume *L-ts* (ε^f)
then interpret *L-ts* (ε^f) .
— take the transitive closure of \emptyset
interpret *L-setext-sep-ts* (ε^f)
by *unfold-locales*
obtain t **where** *fm.subsetM* $\emptyset \ t$ *fm.transM* t **and** *least*: $\forall u. u \varepsilon^f t \longleftrightarrow (\forall s. \text{fm.transM } s \ \wedge \ \text{fm.subsetM } \emptyset \ s \longrightarrow u \varepsilon^f s)$
using *least-ts-is-transitive[of \emptyset] least-ts-def'[of - \emptyset] fm.subsetM-def* **by** *force*
have *trans-t*: $z \varepsilon^f t$ **if** $y \varepsilon^f t \ z \varepsilon^f y$ **for** $y \ z$
using $\langle \text{fm.transM } t \rangle$ **that** **unfolding** *fm.transM-def fm.subsetM-def* **by** *blast*
— t contains an infinite ε^f chain of natural numbers
have *suc-t*: *setsucM* $u \ u \varepsilon^f t$ **if** *natM* $u \ u \varepsilon^f t$ **for** u
using *trans-t[OF $\langle u \varepsilon^f t \rangle$] using regperm-mem-nat[OF $\langle \text{natM } u \rangle$] by blast*
— we show that ε^f coincides with ε in t
have *one-in-t*: $\{\emptyset\}_M \varepsilon^f t$
using $\langle \text{fm.subsetM } \emptyset \ t \rangle$ **unfolding** *fm.subsetM-def* **using** *regperm-mem-nat[OF emp-natM]*
setsuc-empty-sing **by** *fastforce*
have *two-in-t*: *setsucM* $(\{\emptyset\}_M) (\{\emptyset\}_M) \varepsilon^f t$
using *one-in-t suc-t[OF one-natM]* **by** *blast*
have *tmem*: $u \varepsilon^f t \longleftrightarrow u \varepsilon t$ **for** u
proof–
have $\neg \text{natM } t$

```

proof
  assume  $\text{natM } t$ 
  have  $\text{iff: } u \varepsilon^f t \longleftrightarrow u = \text{setsucM } t \ t$  for  $u$ 
    unfolding  $\text{regperm-image-nat}[OF \langle \text{natM } t \rangle]$   $\text{singleton-def'..}$ 
  have  $\neg \{\emptyset\}_M \varepsilon \text{setsucM } (\{\emptyset\}_M) (\{\emptyset\}_M)$ 
    unfolding  $\text{two-in-t}[\text{unfolded iff, folded one-in-t}[\text{unfolded iff}]]$ 
    by  $(\text{rule mem-not-refl})$ 
  then show  $\text{False}$ 
    unfolding  $\text{setsuc-def'}$  by  $\text{blast}$ 
qed
have  $\nexists b. \text{natM } b \wedge t = \{\text{setsucM } b \ b\}_M$ 
proof
  assume  $\exists b. \text{natM } b \wedge t = \{\text{setsucM } b \ b\}_M$ 
  then obtain  $b$  where  $\text{natM } b \ t = \{\text{setsucM } b \ b\}_M$ 
    by  $\text{blast}$ 
  hence  $\text{iff: } u \varepsilon^f t \longleftrightarrow u \varepsilon b$  for  $u$ 
    using  $\text{regperm-image-plus}$  by  $\text{auto}$ 
  have  $b \neq \emptyset$ 
    using  $\text{iff one-in-t}$  by  $\text{auto}$ 
  hence  $\emptyset \varepsilon b$ 
    using  $\langle \text{natM } b \rangle$  by  $(\text{simp add: empty-mem-ord natM-def})$ 
  have  $\text{setsucM } y \ y \varepsilon b$  if  $y \varepsilon b$  for  $y$ 
    using  $\text{suc-t}[\text{unfolded iff, OF - that}]$   $\text{nat-mem-nat}[OF - that]$   $\langle \text{natM } b \rangle$  by
 $\text{blast}$ 
  then show  $\text{False}$ 
    using  $\langle \emptyset \varepsilon b \rangle$   $\text{fin}$  by  $\text{blast}$ 
qed
show  $?thesis$ 
  by  $(\text{simp add: } \langle \nexists b. \text{natM } b \wedge t = \{\text{setsucM } b \ b\}_M \rangle \langle \neg \text{natM } t \rangle)$ 
qed
— We get a contradiction with the finiteness axiom since the subset of  $t$  consisting
of natural numbers is an inductive set.
thm  $\text{notE}[OF \text{fin}[\text{unfolded not-ex, rule-format}]]$ 
show  $\text{False}$ 
proof  $(\text{rule notE}[OF \text{fin}[\text{unfolded not-ex, rule-format}]], \text{rule conjI})$ 
  define  $\text{tnatM}$  where  $\text{tnatM} = \text{separationM } t \ \text{natM}$ 
  have  $\text{tnat: } u \varepsilon \text{tnatM} \longleftrightarrow \text{natM } u \wedge u \varepsilon t$  for  $u$ 
    using  $\text{separ-def-SP tnatM-def}$  by  $\text{auto}$ 
  show  $\emptyset \varepsilon \text{setsucM } \text{tnatM } \emptyset$ 
    unfolding  $\text{set-defs}$  by  $\text{simp}$ 
  show  $\forall y. y \varepsilon \text{setsucM } \text{tnatM } \emptyset \longrightarrow \text{setsucM } y \ y \varepsilon \text{setsucM } \text{tnatM } \emptyset$ 
proof  $(\text{rule allI, rule impI})$ 
  fix  $y$ 
  assume  $y \varepsilon \text{setsucM } \text{tnatM } \emptyset$ 
  then consider  $y = \emptyset \mid y \varepsilon \text{tnatM}$ 
    unfolding  $\text{set-defs}$  by  $\text{blast}$ 
  then show  $\text{setsucM } y \ y \varepsilon \text{setsucM } \text{tnatM } \emptyset$ 
proof  $(\text{cases})$ 
  assume  $y = \emptyset$ 

```

then show *?thesis*
using *one-in-t[unfolded tmem] setsuc-empty-sing tnat unfolding bin-*
union-def' by simp
next
assume $y \varepsilon \text{tnatM}$
then show *?thesis*
unfolding *tnat binunion-def' setsuc-def' using suc-t[unfolded tmem,*
of y] nat-suc-nat[of y] by blast
qed
qed
qed
qed

— It follows that the scheme of regularity does not hold in the model, since it entails transitive supersets.

theorem *regperm-not-regsch*: $\neg L\text{-regsch}(\varepsilon^f)$
using *regperm-not-ts epsind-regsch-iff fm.epsind-implies-ts by blast*

lemma *regperm-reg*:

shows *L-setext-empty-power-union-repl-reg* (ε^f)

proof (*unfold-locales, rule allI, rule impI*)

fix a

assume $\exists y. y \varepsilon^f a$

show $\exists y. y \varepsilon^f a \wedge (\forall v. \neg (v \varepsilon^f y \wedge v \varepsilon^f a))$

proof—

consider $\exists n. \text{natM } n \wedge n \varepsilon^f a \mid (\nexists n. \text{natM } n \wedge n \varepsilon^f a) \wedge (\exists m. \text{natM } m \wedge$
 $(\{\text{setsucM } m\}_M) \varepsilon^f a) \mid$
 $(\nexists n. \text{natM } n \wedge n \varepsilon^f a) \wedge (\nexists m. \text{natM } m \wedge (\{\text{setsucM } m\}_M) \varepsilon^f a)$

by *blast*

then show *?thesis*

proof (*cases*)

assume $\exists n. \text{natM } n \wedge n \varepsilon^f a$

then obtain k **where** $\text{natM } k \wedge k \varepsilon^f a$ **and** $\bigwedge k'. \text{natM } k' \implies k' \varepsilon^f a \implies \neg$
 $k \subset_M k'$

using *max-mem[OF - - SP-nat, of - regperm a] by fast*

then have *max-k*: $\bigwedge k'. \text{natM } k' \implies k' \varepsilon^f a \implies k' \neq k \implies k' \varepsilon k$

unfolding *proper-subset-def' using natM-D ordM-D1 ordM-total natM-def*

by *metis*

show $\exists y. y \varepsilon^f a \wedge (\forall v. \neg (v \varepsilon^f y \wedge v \varepsilon^f a))$

proof (*rule exI[of - k], rule conjI, fact*)

show $\forall v. \neg (v \varepsilon^f k \wedge v \varepsilon^f a)$

proof (*rule allI, rule notI*)

fix v

assume $v \varepsilon^f k \wedge v \varepsilon^f a$

hence $v \varepsilon^f a \wedge v \varepsilon^f k \implies v = \text{setsucM } k k$

unfolding *regperm-image-nat[OF <natM k> singleton-def' by blast+*

show *False*

using *max-k[of v, OF - <v ε^f a>, unfolded <v = setsucM k k>*

<natM k> mem-antisym nat-suc-nat setsuc-def' natM-def by blast

```

    qed
  qed
  next
  assume ( $\nexists n. \text{natM } n \wedge n \varepsilon^f a$ )  $\wedge$  ( $\exists m. \text{natM } m \wedge \{\text{setsucM } m\}_M \varepsilon^f a$ )
  then obtain  $m$  where  $\text{natM } m \{\text{setsucM } m\}_M \varepsilon^f a \nexists n. \text{natM } n \wedge n \varepsilon^f a$ 
  by blast
  show  $\exists y. y \varepsilon^f a \wedge (\forall v. \neg (v \varepsilon^f y \wedge v \varepsilon^f a))$ 
  proof (rule exI[of -  $\{\text{setsucM } m\}_M$ ], rule conjI, fact)
    show  $\forall v. \neg (v \varepsilon^f \{\text{setsucM } m\}_M \wedge v \varepsilon^f a)$ 
    unfolding regperm-image-plus[OF  $\langle \text{natM } m \rangle$ ]
    using  $\langle \nexists n. \text{natM } n \wedge n \varepsilon^f a \rangle \langle \text{natM } m \rangle$  nat-mem-nat by blast
  qed
  next
  assume case3: ( $\nexists n. \text{natM } n \wedge n \varepsilon^f a$ )  $\wedge$  ( $\nexists m. \text{natM } m \wedge \{\text{setsucM } m\}_M \varepsilon^f a$ )
  hence  $\neg \text{natM } a$ 
  using nat-suc-nat[of  $a$ ]
  singleton-def' by force
  have  $\nexists b. \text{natM } b \wedge a = \{\text{setsucM } b\}_M$ 
  using case3  $\langle \exists y. y \varepsilon^f a \rangle$  nat-mem-nat regperm-image-plus by metis
  hence a-mem:  $u \varepsilon^f a \longleftrightarrow u \varepsilon a$  for  $u$ 
  using  $\langle \neg \text{natM } a \rangle$  by auto
  have  $a \neq \emptyset$ 
  using  $\langle \neg \text{natM } a \rangle$  emp-natM by blast
  then obtain  $b$  where  $b \varepsilon a \wedge \forall v. \neg (v \varepsilon b \wedge v \varepsilon a)$ 
  using reg by (metis min-subset-ex)
  have  $\neg \text{natM } b \nexists m. \text{natM } m \wedge b = \{\text{setsucM } m\}_M$ 
  using  $\langle b \varepsilon a \rangle$  case3 unfolding a-mem by blast+
  hence b-mem:  $u \varepsilon^f b \longleftrightarrow u \varepsilon b$  for  $u$ 
  by auto
  show  $\exists y. y \varepsilon^f a \wedge (\forall v. \neg (v \varepsilon^f y \wedge v \varepsilon^f a))$ 
  by (rule exI[of -  $b$ ], rule conjI, unfold a-mem b-mem) fact+
  qed
  qed
  qed
  lemma perm-empty:  $\text{fm.emptysetM} = \{\{\emptyset\}_M\}_M$ 
  proof (rule sym, unfold fm.emptyset-def')
    have regperm ( $\{\{\emptyset\}_M\}_M$ ) =  $\emptyset$ 
    using regperm-image-plus[OF emp-natM] unfolding setsuc-empty-sing.
  show  $\forall u. \neg u \varepsilon (\text{regperm } (\{\{\emptyset\}_M\}_M))$ 
  unfolding  $\langle \text{regperm } (\{\{\emptyset\}_M\}_M) = \emptyset \rangle$  by (fact empty-is-empty)
  qed
  lemma perm-one:  $\text{fm.binunionM } \text{fm.emptysetM} (\text{fm.singletonM } \text{fm.emptysetM}) =$ 
 $\{\{\{\emptyset\}_M\}_M\}_M$ 
  proof-
  have  $L: z \varepsilon^f \text{fm.binunionM } \text{fm.emptysetM} (\text{fm.singletonM } \text{fm.emptysetM}) \longleftrightarrow$ 
 $z = \text{fm.emptysetM}$  for  $z$ 

```

unfolding *fm.setext fm.binunion-def' fm.singleton-def'*
using *fm.nemp-setI fm.setsuc-def'* **by** *blast*
have $z \varepsilon^f \{\{\{\emptyset\}_M\}_M\}_M \longleftrightarrow z \in \{\{\{\emptyset\}_M\}_M\}_M$ **for** z
using *singleton-def' binunion-emp nat-mem-nat nat-suc-nat*
regperm-image-else[of $\{\{\{\emptyset\}_M\}_M\}_M$] suc-sing-not-nat[of \emptyset] **unfolding**
setsuc-empty-sing **by** *metis*
hence $R: z \varepsilon^f \{\{\{\emptyset\}_M\}_M\}_M \longleftrightarrow z = \text{fm.emptysetM}$ **for** z
unfolding *perm-empty singleton-def'*.
show *?thesis*
unfolding *fm.setext L R* **by** *blast*
qed

lemma *perm-else-setsuc-else*: **assumes** $\neg \text{natM } u \not\# b$. $\text{natM } b \wedge u = \{\text{setsucM } b\}_M$
shows $\neg \text{natM } (\text{setsucM } u) \not\# b$. $\text{natM } b \wedge \text{setsucM } u = \{\text{setsucM } b\}_M$
using *assms* **by** (*meson nat-mem-nat setsuc-def'*) (*metis mem-not-refl singleton-def' setsuc-def'*)

lemma *perm-setsuc*: **assumes** $\neg \text{natM } u \not\# b$. $\text{natM } b \wedge u = \{\text{setsucM } b\}_M$
shows *fm.setsucM u u = setsucM u*
unfolding *fm.setext* **unfolding** *fm.binunion-def' regperm-image-else[OF perm-else-setsuc-else[OF assms]]*
regperm-image-else[OF assms] binunion-def' singleton-def' fm.singleton-def' set-suc-def'
using $\langle \text{regperm } u = u \rangle$ *fm.setsuc-def'* **by** *auto*

lemma *perm-fin*: *L-fin* (ε^f)
proof(*unfold-locales, rule notI*)
assume $\exists x. \text{fm.emptysetM } \varepsilon^f x \wedge (\forall y. y \varepsilon^f x \longrightarrow \text{fm.setsucM } y y \varepsilon^f x)$
then obtain x **where** $xf: \text{fm.emptysetM } \varepsilon^f x \wedge \forall y. y \varepsilon^f x \longrightarrow \text{fm.setsucM } y y \varepsilon^f x$
 x
by *blast*
hence *mem0*: $\{\{\{\emptyset\}_M\}_M\}_M \varepsilon^f x$
using *perm-empty* **by** *force*
from $xf(2)[\text{rule-format}, OF\ xf(1), \text{unfolded perm-one}]$
have *mem1*: $\{\{\{\emptyset\}_M\}_M\}_M \varepsilon^f x$
using *fm.binunion-emp fm.setsuc-empty-sing perm-one* **by** *force*
hence *not1*: $\neg \text{natM } x$
using *mem0* **by** (*metis mem-neq-singleton regperm-mem-nat*)
have *not2*: $\not\# b$. $\text{natM } b \wedge x = \{\text{setsucM } b\}_M$
using *mem0 mem1*
using *binunion-emp nat-mem-nat regperm-image-plus suc-sing-not-nat set-suc-empty-sing* **by** *metis*
from *regperm-image-else[OF not1 not2]*
have $x: \{\{\{\emptyset\}_M\}_M\}_M \varepsilon x \wedge \forall y. y \varepsilon x \longrightarrow \text{fm.setsucM } y y \varepsilon x$
using *xf perm-empty* **by** *force+*
let $?P = \lambda u. (\neg \text{natM } u \wedge (\not\# b. \text{natM } b \wedge (u = \{\text{setsucM } b\}_M)))$
have *sp*: *SetProperty ?P*
unfolding *SetProperty-def set-defs logsimps* **by** (*rule SFP-rules*) $+$

from *sep-SP*[*OF this, rule-format, of x*]
obtain x' **where** $x': \forall u. (u \in x') = (u \in x \wedge \neg \text{natM } u \wedge (\nexists b. \text{natM } b \wedge u = \{\text{setsucM } b\}_M))$
by *blast*
have $\{\{\{\emptyset\}_M\}_M\}_M \in x'$
using *mem1 unfolding* x' [*rule-format*] $\langle \text{regperm } x = x \rangle$
using *binunion-emp nat-mem-nat nat-suc-nat singleton-def' suc-sing-not-nat setsuc-empty-sing* **by** *metis*
hence $x' \neq \emptyset$
by *force*
have $x'\text{-setsuc}: \text{setsucM } u \ u \in x' \text{ if } u \in x' \text{ for } u$
unfolding x' [*rule-format*]
proof(*unfold conj-assoc[symmetric], rule conjI, rule conjI*)
note $u = \langle u \in x' \rangle[\text{unfolded } x'[\text{rule-format}]]$
have *red*: $\text{fm.setsucM } u \ u = \text{setsucM } u \ u$
by (*rule perm-setsuc*)
(use $\langle u \in x' \rangle[\text{unfolded } x'[\text{rule-format}]]$ **in** *blast*)**+**
show $\text{setsucM } u \ u \in x$
by (*rule* $x(2)$ [*rule-format, of u, unfolded red*]) (*simp add: u*)
show $\neg \text{natM } (\text{setsucM } u \ u) \nexists b. \text{natM } b \wedge \text{setsucM } u \ u = \{\text{setsucM } b\}_M$
using *u perm-else-setsuc-else* **by** *blast+*
qed
with *max-subset-ex*[*OF* $\langle x' \neq \emptyset \rangle$]
show *False*
using *suc-subset* **by** *blast*
qed

interpretation *find*: $L\text{-setind } (\varepsilon^f)$
using *L-setext-empty-power-union-repl-reg.fin-implies-setind regperm-reg perm-fin*
by *blast*

end

Summary of results. We have shown that if a type admits a membership relation satisfying certain axioms of finite sets (namely axioms of ZF where *inf* is replaced by *fin*), it does not follow that the membership on the type satisfies the existence of transitive supersets or the regularity schema.

theorem *not-reg-setind-implies-regsch-ts*:

assumes $L\text{-setext-empty-power-union-repl-reg-fin } (m :: 'a \Rightarrow 'a \Rightarrow \text{bool})$
shows $\neg (\forall (\text{mem} :: 'a \Rightarrow 'a \Rightarrow \text{bool}). L\text{-setext-empty-power-union-repl-reg } \text{mem} \wedge L\text{-setind } \text{mem} \longrightarrow L\text{-regsch } \text{mem} \vee L\text{-ts } \text{mem})$

proof (*rule notI*)

assume *contr*: $\forall (\text{mem} :: 'a \Rightarrow 'a \Rightarrow \text{bool}). L\text{-setext-empty-power-union-repl-reg } \text{mem} \wedge L\text{-setind } \text{mem} \longrightarrow L\text{-regsch } \text{mem} \vee L\text{-ts } \text{mem}$

interpret $L\text{-setext-empty-power-union-repl-reg-fin } m$

using *assms.*

have *L1*: $L\text{-setind } \text{fmem}$

using $L\text{-setext-empty-power-union-repl-reg.fin-implies-setind regperm-reg perm-fin}$
by *blast*

```

have L2: L-setext-empty-power-union-repl-reg fmem
using L-setext-empty-power-union-repl-def L-setext-empty-power-union-repl-reg-def
regperm-reg
by blast
have L3: L-regsch fmem ∨ L-ts fmem
using L1 L2 contr by blast
interpret i2: L-setext-empty-power-union-repl-reg fmem
using L2.
show False
using L3 regperm-not-regsch regperm-not-ts by blast
qed

end

```

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