

Worklist Algorithms

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Abstract

This entry verifies a number of worklist algorithms for exploring sets of reachable sets of transition systems with *subsumption* relations. Informally speaking, a node a is subsumed by a node b if everything that is reachable from a is also reachable from b . Starting from a general abstract view of transition systems, we gradually add structure while refining our algorithms to more efficient versions. In the end, we obtain efficient imperative algorithms, which operate on a shared data structure to keep track of explored and yet-to-be-explored states, similar to the algorithms used in timed automata model checking [2, 1]. This entry forms part of the work described in a paper by the authors of this entry [4] and a PhD thesis [3].

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1 Preliminaries

```
theory Worklist-Locales
imports Refine-Imperative-HOL.Sepref.Collections.HashCode Probabilistic-Timed-Automata.Graphs
HOL-ex.Sketch-and-Explore
begin
```

1.1 Search Spaces

A search space consists of a step relation, a start state, a final state predicate, and a subsumption preorder.

```
locale Search-Space-Defs =
fixes E :: 'a ⇒ 'a ⇒ bool — Step relation
and a0 :: 'a — Start state
and F :: 'a ⇒ bool — Final states
and subsumes :: 'a ⇒ 'a ⇒ bool (infix ⊑ 50) — Subsumption preorder
begin

sublocale Graph-Start-Defs E a0 ⟨proof⟩

definition subsumes-strictly (infix ⊢ 50) where
subsumes-strictly x y = (x ⊑ y ∧ ¬ y ⊑ x)

no-notation fun-rel-syn (infixr → 60)

definition F-reachable ≡ ∃ a. reachable a ∧ F a

end

locale Search-Space-Nodes-Defs = Search-Space-Defs +
fixes V :: 'a ⇒ bool

locale Search-Space-Defs-Empty = Search-Space-Defs +
fixes empty :: 'a ⇒ bool

locale Search-Space-Nodes-Empty-Defs = Search-Space-Nodes-Defs + Search-Space-Defs-Empty

locale Search-Space-Nodes = Search-Space-Nodes-Defs +
assumes refl[intro!, simp]: a ⊑ a
and trans[trans]: a ⊑ b ⇒ b ⊑ c ⇒ a ⊑ c

assumes mono:
a ⊑ b ⇒ E a a' ⇒ V a ⇒ V b ⇒ ∃ b'. V b' ∧ E b b' ∧ a' ⊑ b'
```

```

and F-mono:  $a \preceq a' \implies F a \implies F a'$ 
begin

```

```

sublocale preorder ( $\preceq$ ) ( $\prec$ )
   $\langle proof \rangle$ 

```

```
end
```

The set of reachable states must be finite, subsumption must be a preorder, and be compatible with steps and final states.

```

locale Search-Space-Nodes-Empty = Search-Space-Nodes-Empty-Defs +
  assumes refl[intro!, simp]:  $a \preceq a$ 
    and trans[trans]:  $a \preceq b \implies b \preceq c \implies a \preceq c$ 

```

```

assumes mono:

```

```

 $a \preceq b \implies E a a' \implies V a \implies V b \implies \neg empty a \implies \exists b'. V b' \wedge E$ 
 $b b' \wedge a' \preceq b'$ 

```

```

and empty-subsumes:  $empty a \implies a \preceq a'$ 

```

```

and empty-mono:  $\neg empty a \implies a \preceq b \implies \neg empty b$ 

```

```

and empty-E:  $V x \implies empty x \implies E x x' \implies empty x'$ 

```

```

and F-mono:  $a \preceq a' \implies F a \implies F a'$ 

```

```
begin
```

```

sublocale preorder ( $\preceq$ ) ( $\prec$ )
   $\langle proof \rangle$ 

```

```

sublocale search-space:

```

```

Search-Space-Nodes  $\lambda x y. E x y \wedge \neg empty y a_0 F (\preceq) \lambda v. V v \wedge \neg$ 
 $empty v$ 
   $\langle proof \rangle$ 

```

```
end
```

The set of reachable states must be finite, subsumption must be a preorder, and be compatible with steps and final states.

```

locale Search-Space = Search-Space-Defs-Empty +
  assumes refl[intro!, simp]:  $a \preceq a$ 
    and trans[trans]:  $a \preceq b \implies b \preceq c \implies a \preceq c$ 

```

```

assumes mono:

```

```

 $a \preceq b \implies E a a' \implies reachable a \implies reachable b \implies \neg empty a \implies$ 
 $\exists b'. E b b' \wedge a' \preceq b'$ 

```

```

and empty-subsumes:  $empty a \implies a \preceq a'$ 

```

```

and empty-mono:  $\neg empty a \implies a \preceq b \implies \neg empty b$ 

```

```

and empty-E: reachable x ==> empty x ==> E x x' ==> empty x'
and F-mono: a ⊲ a' ==> F a ==> F a'
begin

sublocale preorder (⊲) (⊲)
  ⟨proof⟩

sublocale Search-Space-Nodes-Empty E a₀ F (⊲) reachable empty
  including graph-automation
  ⟨proof⟩

end

locale Search-Space-finite = Search-Space +
  assumes finite-reachable: finite {a. reachable a ∧ ¬ empty a}

locale Search-Space-finite-strict = Search-Space +
  assumes finite-reachable: finite {a. reachable a}

sublocale Search-Space-finite-strict ⊆ Search-Space-finite
  ⟨proof⟩

locale Search-Space' = Search-Space +
  assumes final-non-empty: F a ==> ¬ empty a

locale Search-Space'-finite = Search-Space' + Search-Space-finite

locale Search-Space''-Defs = Search-Space-Defs-Empty +
  fixes subsumes' :: 'a ⇒ 'a ⇒ bool (infix ⊣ 50) — Subsumption preorder

locale Search-Space''-pre = Search-Space''-Defs +
  assumes empty-subsumes': ¬ empty a ==> a ⊲ b ↔ a ⊣ b

locale Search-Space''-start = Search-Space''-pre +
  assumes start-non-empty [simp]: ¬ empty a₀

locale Search-Space'' = Search-Space''-pre + Search-Space'

locale Search-Space''-finite = Search-Space'' + Search-Space-finite

sublocale Search-Space''-finite ⊆ Search-Space'-finite ⟨proof⟩

locale Search-Space''-finite-strict = Search-Space'' + Search-Space-finite-strict

```

```

locale Search-Space-Key-Defs =
  Search-Space"-Defs E for E :: 'v  $\Rightarrow$  'v  $\Rightarrow$  bool +
  fixes key :: 'v  $\Rightarrow$  'k

locale Search-Space-Key =
  Search-Space-Key-Defs + Search-Space" +
  assumes subsumes-key[intro, simp]: a  $\trianglelefteq$  b  $\implies$  key a = key b

locale Worklist0-Defs = Search-Space-Defs +
  fixes succs :: 'a  $\Rightarrow$  'a list

locale Worklist0 = Worklist0-Defs + Search-Space +
  assumes succs-correct: reachable a  $\implies$  set (succs a) = Collect (E a)

locale Worklist1-Defs = Worklist0-Defs + Search-Space-Defs-Empty

locale Worklist1 = Worklist1-Defs + Worklist0

locale Worklist2-Defs = Worklist1-Defs + Search-Space"-Defs

locale Worklist2 = Worklist2-Defs + Worklist1 + Search-Space"-pre +
  Search-Space

locale Worklist3-Defs = Worklist2-Defs +
  fixes F' :: 'a  $\Rightarrow$  bool

locale Worklist3 = Worklist3-Defs + Worklist2 +
  assumes F-split: F a  $\longleftrightarrow$   $\neg$  empty a  $\wedge$  F' a

locale Worklist4 = Worklist3 + Search-Space"

locale Worklist-Map-Defs = Search-Space-Key-Defs + Worklist2-Defs

locale Worklist-Map =
  Worklist-Map-Defs + Search-Space-Key + Worklist2

locale Worklist-Map2-Defs = Worklist-Map-Defs + Worklist3-Defs

locale Worklist-Map2 = Worklist-Map2-Defs + Worklist-Map + Worklist3

locale Worklist-Map2-finite = Worklist-Map2 + Search-Space-finite

sublocale Worklist-Map2-finite  $\subseteq$  Search-Space"-finite ⟨proof⟩

```

```

locale Worklist4-Impl-Defs = Worklist3-Defs +
  fixes A :: 'a  $\Rightarrow$  'ai  $\Rightarrow$  assn
  fixes succsi :: 'ai  $\Rightarrow$  'ai list Heap
  fixes a0i :: 'ai Heap
  fixes Fi :: 'ai  $\Rightarrow$  bool Heap
  fixes Lei :: 'ai  $\Rightarrow$  'ai  $\Rightarrow$  bool Heap
  fixes emptyi :: 'ai  $\Rightarrow$  bool Heap

locale Worklist4-Impl = Worklist4-Impl-Defs + Worklist4 +
  — This is the easy variant: Operations cannot depend on additional heap.
  assumes [sepref-fr-rules]: ( $\text{uncurry}_0 a_0 i$ ,  $\text{uncurry}_0 (\text{RETURN} (\text{PR-CONST } a_0))$ )  $\in$  unit-assnk  $\rightarrow_a$  A
  assumes [sepref-fr-rules]: ( $F_i, \text{RETURN } o \text{ PR-CONST } F^i$ )  $\in$   $A^k \rightarrow_a$  bool-assn
  assumes [sepref-fr-rules]: ( $\text{uncurry } Lei$ ,  $\text{uncurry} (\text{RETURN } oo \text{ PR-CONST } (\trianglelefteq))$ )  $\in$   $A^k *_a A^k \rightarrow_a$  bool-assn
  assumes [sepref-fr-rules]: ( $\text{succsi}, \text{RETURN } o \text{ PR-CONST } \text{succs}$ )  $\in$   $A^k \rightarrow_a$  list-assn A
  assumes [sepref-fr-rules]: ( $\text{emptyi}, \text{RETURN } o \text{ PR-CONST } \text{empty}$ )  $\in$   $A^k \rightarrow_a$  bool-assn

locale Worklist4-Impl-finite-strict = Worklist4-Impl + Search-Space-finite-strict

sublocale Worklist4-Impl-finite-strict  $\subseteq$  Search-Space"-finite-strict ⟨proof⟩

locale Worklist-Map2-Impl-Defs =
  Worklist4-Impl-Defs ----- A + Worklist-Map2-Defs a0 ----- key
  for A :: 'a  $\Rightarrow$  'ai :: {heap}  $\Rightarrow$  - and key :: 'a  $\Rightarrow$  'k +
  fixes keyi :: 'ai  $\Rightarrow$  'ki :: {hashable, heap} Heap
  fixes copyi :: 'ai  $\Rightarrow$  'ai Heap
  fixes tracei :: string  $\Rightarrow$  'ai  $\Rightarrow$  unit Heap

end
theory Worklist-Common
  imports Worklist-Locales
begin

lemma list-ex-foldli:
  list-ex P xs = foldli xs Not ( $\lambda x y. P x \vee y$ ) False
  ⟨proof⟩

lemma (in Search-Space-finite) finitely-branching:
  assumes reachable a
  shows finite ({a'. E a a'  $\wedge$   $\neg$  empty a'})
```

$\langle proof \rangle$

```
definition (in Search-Space-Key-Defs)
map-set-rel =
  {(m, s).
    $\bigcup(ran\ m) = s \wedge (\forall k. \forall x. m\ k = Some\ x \longrightarrow (\forall v \in x. key\ v = k))$ 
   $\wedge$ 
  finite(dom m)  $\wedge (\forall k S. m\ k = Some\ S \longrightarrow finite\ S)$ 
  }
```

end

1.2 Miscellaneous

```
theory Worklist-Algorithms-Misc
imports HOL-Library.Multiset
begin

lemma mset-eq-empty-iff:
M = {#}  $\longleftrightarrow$  set-mset M = {}
⟨proof⟩

lemma filter-mset-eq-empty-iff:
{#x ∈ # A. P x#} = {#}  $\longleftrightarrow$  ( $\forall x \in$  set-mset A.  $\neg P x$ )
⟨proof⟩

lemma mset-remove-member:
x ∈ # A – B if x ∈ # A x ∉ # B
⟨proof⟩

end
theory Worklist-Algorithms-Tracing
imports Main Refine-Imperative-HOL.Sepref
begin

datatype message = ExploredState

definition write-msg :: message ⇒ unit where
write-msg m = ()

code-printing code-module Tracing → (SML)
⟨
structure Tracing : sig
val count-up : unit → unit
```

```

  val get-count : unit -> int
end = struct
  val counter = Unsynchronized.ref 0;
  fun count-up () = (counter := !counter + 1);
  fun get-count () = !counter;
end
› and (OCaml)
<
module Tracing : sig
  val count-up : unit -> unit
  val get-count : unit -> int
end = struct
  let counter = ref 0
  let count-up () = (counter := !counter + 1)
  let get-count () = !counter
end
›

```

code-reserved SML *Tracing*

code-reserved OCaml *Tracing*

code-printing

```

constant write-msg  $\rightarrow$  (SML) (fn x => Tracing.count'-up ()) -
  and (OCaml) (fun x -> Tracing.count'-up ()) -

```

definition *trace* **where**

```

trace m x = (let a = write-msg m in x)

```

lemma *trace-alt-def[simp]*:

```

trace m x = (λ -. x) (write-msg x)
  ⟨proof⟩

```

definition

```

test m = trace ExploredState ((3 :: int) + 1)

```

definition *TRACE m = RETURN (trace m ())*

lemma *TRACE-bind[simp]*:

```

do { TRACE m; c } = c
  ⟨proof⟩

```

lemma [*sepref-import-param*]:
 $(\text{trace}, \text{trace}) \in \langle \text{Id}, \langle \text{Id}, \text{Id} \rangle \text{fun-rel} \rangle \text{fun-rel}$

$\langle proof \rangle$

sepref-definition *TRACE-impl* is
 $TRACE :: id\text{-}assn^k \rightarrow_a unit\text{-}assn$
 $\langle proof \rangle$

lemmas [*sepref-fr-rules*] = *TRACE-impl.refine*

Somehow Sepref does not want to pick up TRACE as it is, so we use the following workaround:

definition $TRACE' = TRACE \text{ ExploredState}$

definition $trace' = trace \text{ ExploredState}$

lemma *TRACE'-alt-def*:
 $TRACE' = RETURN (trace' ())$
 $\langle proof \rangle$

lemma [*sepref-import-param*]:
 $(trace', trace') \in \langle Id, Id \rangle \text{ fun-rel}$
 $\langle proof \rangle$

sepref-definition *TRACE'-impl* is
 $uncurry0 TRACE' :: unit\text{-}assn^k \rightarrow_a unit\text{-}assn$
 $\langle proof \rangle$

lemmas [*sepref-fr-rules*] = *TRACE'-impl.refine*

end

2 Subsumption Graphs

theory *Worklist-Algorithms-Subsumption-Graphs*

imports

Probabilistic-Timed-Automata.Graphs

Probabilistic-Timed-Automata.More-List

begin

2.1 Preliminaries

Transitive Closure context

fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes $R\text{-trans}[intro]: \bigwedge x y z. R x y \implies R y z \implies R x z$

begin

```

lemma rtranclp-transitive-compress1:  $R a c \text{ if } R a b R^{**} b c$   

  ⟨proof⟩

lemma rtranclp-transitive-compress2:  $R a c \text{ if } R^{**} a b R b c$   

  ⟨proof⟩

end

lemma rtranclp-ev-induct[consumes 1, case-names irrefl trans step]:  

  fixes  $P :: 'a \Rightarrow \text{bool}$  and  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$   

  assumes reachable-finite: finite { $x. R^{**} a x$ }  

  assumes R-irrefl:  $\bigwedge x. \neg R x x$  and R-trans[intro]:  $\bigwedge x y z. R x y \implies R y z \implies R x z$   

  assumes step:  $\bigwedge x. R^{**} a x \implies P x \vee (\exists y. R x y)$   

  shows  $\exists x. P x \wedge R^{**} a x$   

  ⟨proof⟩

lemma rtranclp-ev-induct2[consumes 2, case-names irrefl trans step]:  

  fixes  $P Q :: 'a \Rightarrow \text{bool}$   

  assumes Q-finite: finite { $x. Q x$ } and Q-witness:  $Q a$   

  assumes R-irrefl:  $\bigwedge x. \neg R x x$  and R-trans[intro]:  $\bigwedge x y z. R x y \implies R y z \implies R x z$   

  assumes step:  $\bigwedge x. Q x \implies P x \vee (\exists y. R x y \wedge Q y)$   

  shows  $\exists x. P x \wedge Q x \wedge R^{**} a x$   

  ⟨proof⟩

```

2.2 Definitions

```

locale Subsumption-Graph-Pre-Defs =  

  ord less-eq less for less-eq ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\preceq 50$ ) and less (infix  

   $\prec 50$ ) +  

  fixes E ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  — The full edge set  

begin

sublocale Graph-Defs E ⟨proof⟩

end

```

```

locale Subsumption-Graph-Pre-Nodes-Defs = Subsumption-Graph-Pre-Defs  

+  

  fixes V ::  $'a \Rightarrow \text{bool}$   

begin

```

```

sublocale Subgraph-Node-Defs-Notation ⟨proof⟩

end

locale Subsumption-Graph-Defs = Subsumption-Graph-Pre-Defs +
  fixes s₀ :: 'a — Start state
  fixes RE :: 'a ⇒ 'a ⇒ bool — Subgraph of the graph given by the full
  edge set
begin

  sublocale Graph-Start-Defs E s₀ ⟨proof⟩

  sublocale G: Graph-Start-Defs RE s₀ ⟨proof⟩

  sublocale G': Graph-Start-Defs λ x y. RE x y ∨ (x ≺ y ∧ G.reachable y)
  s₀ ⟨proof⟩

  abbreviation G'-E (- →G' - [100, 100] 40) where
    G'-E x y ≡ RE x y ∨ (x ≺ y ∧ G.reachable y)

  notation RE (- →G - [100, 100] 40)

  notation G.reaches (- →G* - [100, 100] 40)

  notation G.reaches1 (- →G+ - [100, 100] 40)

  notation G'.reaches (- →G*'' - [100, 100] 40)

  notation G'.reaches1 (- →G++ - [100, 100] 40)

end

locale Subsumption-Graph-Pre = Subsumption-Graph-Defs + preorder less-eq
less +
assumes mono:
  a ≮ b ⇒ E a a' ⇒ reachable a ⇒ reachable b ⇒ ∃ b'. E b b' ∧ a'
  ≮ b'
begin

lemmas preorder-intros = order-trans less-trans less-imp-le

end

```

```

locale Subsumption-Graph-Pre-Nodes = Subsumption-Graph-Pre-Nodes-Defs
+ preorder less-eq less +
assumes mono:
   $a \preceq b \implies a \rightarrow a' \implies V a \implies V b \implies \exists b'. b \rightarrow b' \wedge a' \preceq b'$ 
begin

lemmas preorder-intros = order-trans less-trans less-imp-le

end

This is sufficient to show that if  $\rightarrow_G$  cannot reach an accepting state, then
 $\rightarrow$  cannot either.

locale Reachability-Compatible-Subsumption-Graph-Pre =
Subsumption-Graph-Defs + preorder less-eq less +
assumes mono:
   $a \preceq b \implies E a a' \implies \text{reachable } a \vee G.\text{reachable } a \implies \text{reachable } b \vee G.\text{reachable } b$ 
   $\implies \exists b'. E b b' \wedge a' \preceq b'$ 
assumes reachability-compatible:
   $\forall s. G.\text{reachable } s \longrightarrow (\forall s'. E s s' \longrightarrow RE s s') \vee (\exists t. s \prec t \wedge G.\text{reachable } t)$ 
assumes finite-reachable: finite {a. G.reachable a}

locale Reachability-Compatible-Subsumption-Graph =
Subsumption-Graph-Defs + Subsumption-Graph-Pre +
assumes reachability-compatible:
   $\forall s. G.\text{reachable } s \longrightarrow (\forall s'. E s s' \longrightarrow RE s s') \vee (\exists t. s \prec t \wedge G.\text{reachable } t)$ 
assumes subgraph:  $\forall s s'. RE s s' \longrightarrow E s s'$ 
assumes finite-reachable: finite {a. G.reachable a}

locale Subsumption-Graph-View-Defs = Subsumption-Graph-Defs +
fixes SE :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool — Subsumption edges
and covered :: 'a  $\Rightarrow$  bool

locale Reachability-Compatible-Subsumption-Graph-View =
Subsumption-Graph-View-Defs + Subsumption-Graph-Pre +
assumes reachability-compatible:
   $\forall s. G.\text{reachable } s \longrightarrow$ 
  (if covered s then ( $\exists t. SE s t \wedge G.\text{reachable } t$ ) else ( $\forall s'. E s s' \longrightarrow RE s s'$ ))
assumes subsumption:  $\forall s s'. SE s s' \longrightarrow s \prec s'$ 

```

```

assumes subgraph:  $\forall s s'. RE s s' \rightarrow E s s'$ 
assumes finite-reachable: finite {a. G.reachable a}
begin

sublocale Reachability-Compatible-Subsumption-Graph ( $\preceq$ ) ( $\prec$ ) E s0 RE
⟨proof⟩

end

locale Subsumption-Graph-Closure-View-Defs =
ord less-eq less for less-eq :: 'b ⇒ 'b ⇒ bool (infix  $\preceq$  50) and less (infix
 $\prec$  50) +
fixes E :: 'a ⇒ 'a ⇒ bool — The full edge set
and s0 :: 'a — Start state
fixes RE :: 'a ⇒ 'a ⇒ bool — Subgraph of the graph given by the full
edge set
fixes SE :: 'a ⇒ 'a ⇒ bool — Subsumption edges
and covered :: 'a ⇒ bool
fixes closure :: 'a ⇒ 'b
fixes P :: 'a ⇒ bool
fixes Q :: 'a ⇒ bool
begin

sublocale Graph-Start-Defs E s0 ⟨proof⟩

sublocale G: Graph-Start-Defs RE s0 ⟨proof⟩

end

locale Reachability-Compatible-Subsumption-Graph-Closure-View =
Subsumption-Graph-Closure-View-Defs +
preorder less-eq less +
assumes mono:
closure a  $\preceq$  closure b  $\implies$  E a a'  $\implies$  P a  $\implies$  P b  $\implies$   $\exists b'. E b b' \wedge$ 
closure a'  $\preceq$  closure b'
assumes closure-eq:
closure a = closure b  $\implies$  E a a'  $\implies$  P a  $\implies$  P b  $\implies$   $\exists b'. E b b' \wedge$ 
closure a' = closure b'
assumes reachability-compatible:
 $\forall s. Q s \rightarrow (\text{if } \text{covered } s \text{ then } (\exists t. SE s t \wedge G.\text{reachable } t) \text{ else } (\forall s'. E s s' \rightarrow RE s s'))$ 
assumes subsumption:  $\forall s s'. SE s s' \rightarrow \text{closure } s \prec \text{closure } s'$ 
assumes subgraph:  $\forall s s'. RE s s' \rightarrow E s s'$ 
assumes finite-closure: finite (closure `UNIV)

```

```

assumes P-post:  $a \rightarrow b \implies P b$ 
assumes P-pre:  $a \rightarrow b \implies P a$ 
assumes P-s0:  $P s_0$ 
assumes Q-post:  $RE a b \implies Q b$ 
assumes Q-s0:  $Q s_0$ 
begin

definition close where  $close e a b = (\exists x y. e x y \wedge a = closure x \wedge b = closure y)$ 

lemma Simulation-close:
  Simulation A ( $close A$ ) ( $\lambda a b. b = closure a$ )
  ⟨proof⟩

sublocale view: Reachability-Compatible-Subsumption-Graph
  (≤) (≺) close E closure s0 close RE
  ⟨proof⟩

end

locale Reachability-Compatible-Subsumption-Graph-Final = Reachability-Compatible-Subsumption-G
+
fixes F :: ' $a \Rightarrow bool$ ' — Final states
assumes F-mono[intro]:  $F a \implies a \leq b \implies F b$ 

locale Liveness-Compatible-Subsumption-Graph = Reachability-Compatible-Subsumption-Graph-Final
+
assumes no-subsumption-cycle:
   $G'.reachable x \implies x \rightarrow_{G'}^+ x \implies x \rightarrow_G^+ x$ 

```

2.3 Reachability

```

context Subsumption-Graph-Defs
begin

```

Setup for automation

```

context
  includes graph-automation
begin

```

```

lemma G'-reachable-G-reachable[intro]:
   $G.\text{reachable } a \text{ if } G'.\text{reachable } a$ 
  ⟨proof⟩

```

```

lemma G-reachable-G'-reachable[intro]:
   $G'.\text{reachable } a \text{ if } G.\text{reachable } a$ 
  ⟨proof⟩

lemma G-G'-reachable-iff:
   $G.\text{reachable } a \longleftrightarrow G'.\text{reachable } a$ 
  ⟨proof⟩

end

end

context Reachability-Compatible-Subsumption-Graph-Pre
begin

lemmas preorder-intros = order-trans less-trans less-imp-le

lemma G'-finite-reachable: finite {a. G'.reachable a}
  ⟨proof⟩

lemma G-reachable-has-surrogate:
   $\exists t. G.\text{reachable } t \wedge s \preceq t \wedge (\forall s'. E t s' \longrightarrow RE t s')$  if  $G.\text{reachable } s$ 
  ⟨proof⟩

lemma reachable-has-surrogate:
   $\exists t. G.\text{reachable } t \wedge s \preceq t \wedge (\forall s'. E t s' \longrightarrow RE t s')$  if reachable s
  ⟨proof⟩

context
  fixes  $F :: 'a \Rightarrow \text{bool}$  — Final states
  assumes F-mono[intro]:  $F a \implies a \preceq b \implies F b$ 
begin

corollary reachability-correct:
   $\nexists s'. \text{reachable } s' \wedge F s' \text{ if } \nexists s'. G.\text{reachable } s' \wedge F s'$ 
  ⟨proof⟩

end

end

context Reachability-Compatible-Subsumption-Graph

```

```

begin

Setup for automation

context
  includes graph-automation
begin

lemma subgraph'[intro]:
  E s s' if RE s s'
  ⟨proof⟩

lemma G-reachability-sound[intro]:
  reachable a if G.reachable a
  ⟨proof⟩

lemma G-steps-sound[intro]:
  steps xs if G.steps xs
  ⟨proof⟩

lemma G-run-sound[intro]:
  run xs if G.run xs
  ⟨proof⟩

lemma G'-reachability-sound[intro]:
  reachable a if G'.reachable a
  ⟨proof⟩

lemma G'-finite-reachable: finite {a. G'.reachable a}
  ⟨proof⟩

lemma G-steps-G'-steps[intro]:
  G'.steps as if G.steps as
  ⟨proof⟩

lemma reachable-has-surrogate:
  ∃ t. G.reachable t ∧ s ⊲ t ∧ (∀ s'. E t s' → RE t s') if G.reachable s
  ⟨proof⟩

lemma reachable-has-surrogate':
  ∃ t. s ⊲ t ∧ s →_{G*'} t ∧ (∀ s'. E t s' → RE t s') if G.reachable s
  ⟨proof⟩

lemma subsumption-step:
  ∃ a'' b'. a' ⊲ a'' ∧ b ⊲ b' ∧ a'' →_G b' ∧ G.reachable a'' if

```

reachable a E a b G.reachable a' a ⊑ a'
(proof)

lemma *subsumption-step'*:

$\exists b'. b \preceq b' \wedge a' \rightarrow_{G'}^+ b'$ **if** *reachable a a → b G'.reachable a' a ⊑ a'*
(proof)

theorem *reachability-complete'*:

$\exists s'. s \preceq s' \wedge G.\text{reachable } s'$ **if** *a →* s G.\text{reachable } a*
(proof)

corollary *reachability-complete*:

$\exists s'. s \preceq s' \wedge G.\text{reachable } s'$ **if** *reachable s*
(proof)

corollary *reachability-correct*:

$(\exists s'. s \preceq s' \wedge \text{reachable } s') \longleftrightarrow (\exists s'. s \preceq s' \wedge G.\text{reachable } s')$
(proof)

lemma *steps-G'-steps*:

$\exists ys ns. \text{list-all2 } (\preceq) xs (\text{nths } ys ns) \wedge G'.\text{steps } (b \# ys) \text{ if}$
 $\text{steps } (a \# xs) \text{ reachable } a a \preceq b G'.\text{reachable } b$
(proof)

lemma *cycle-G'-cycle''*:

assumes *steps (s0 # ws @ x # xs @ [x])*
shows $\exists x' xs' ys'. x \preceq x' \wedge G'.\text{steps } (s0 \# xs' @ x' \# ys' @ [x'])$
(proof)

lemma *cycle-G'-cycle'*:

assumes *steps (s0 # ws @ x # xs @ [x])*
shows $\exists y ys. x \preceq y \wedge G'.\text{steps } (y \# ys @ [y]) \wedge G'.\text{reachable } y$
(proof)

lemma *cycle-G'-cycle*:

assumes *reachable x x →+ x*
shows $\exists y ys. x \preceq y \wedge G'.\text{reachable } y \wedge y \rightarrow_{G'}^+ y$
(proof)

corollary *G'-reachability-complete*:

$\exists s'. s \preceq s' \wedge G.\text{reachable } s'$ **if** *G'.reachable s*
(proof)

end

end

corollary (in *Reachability-Compatible-Subsumption-Graph-Final*) *reachability-correct*:

$$(\exists s'. \text{reachable } s' \wedge F s') \longleftrightarrow (\exists s'. G.\text{reachable } s' \wedge F s')$$

$\langle \text{proof} \rangle$

2.4 Liveness

theorem (in *Liveness-Compatible-Subsumption-Graph*) *cycle-iff*:

$$(\exists x. x \rightarrow^+ x \wedge \text{reachable } x \wedge F x) \longleftrightarrow (\exists x. x \rightarrow_G^+ x \wedge G.\text{reachable } x \wedge F x)$$

$\langle \text{proof} \rangle$

2.5 Appendix

context *Subsumption-Graph-Pre-Nodes*
begin

Setup for automation

context
 includes *graph-automation*
begin

lemma *steps-mono*:

assumes $G'.\text{steps}(x \# xs) x \preceq y V x V y$
shows $\exists ys. G'.\text{steps}(y \# ys) \wedge \text{list-all2}(\preceq) xs ys$
 $\langle \text{proof} \rangle$ **including** *subgraph-automation*
 $\langle \text{proof} \rangle$

lemma *steps-append-subsumption*:

assumes $G'.\text{steps}(x \# xs) G'.\text{steps}(y \# ys) y \preceq \text{last}(x \# xs) V x V y$
shows $\exists ys'. G'.\text{steps}(x \# xs @ ys') \wedge \text{list-all2}(\preceq) ys ys'$
 $\langle \text{proof} \rangle$

lemma *steps-replicate-subsumption*:

assumes $x \preceq \text{last}(x \# xs) G'.\text{steps}(x \# xs) n > 0 V x$
notes [intro] = *preorder-intros*
shows $\exists ys. G'.\text{steps}(x \# ys) \wedge \text{list-all2}(\preceq) (\text{concat}(\text{replicate } n xs)) ys$
 $\langle \text{proof} \rangle$

context

assumes *finite-V*: *finite* { $x. V x$ }

begin

lemma *wf-less-on-reachable-set*:

assumes *antisym*: $\bigwedge x y. x \preceq y \implies y \preceq x \implies x = y$

shows *wf* $\{(x, y). y \prec x \wedge V x \wedge V y\}$ (**is** *wf* ?*S*)

$\langle proof \rangle$

This shows that looking for cycles and pre-cycles is equivalent in monotone subsumption graphs.

lemma *pre-cycle-cycle'*:

assumes *A*: $x \preceq x' G'.steps (x \# xs @ [x']) V x$

shows $\exists x'' ys. x' \preceq x'' \wedge G'.steps (x'' \# ys @ [x'']) \wedge V x''$

$\langle proof \rangle$

lemma *pre-cycle-cycle*:

$(\exists x x'. V x \wedge x \rightarrow^+ x' \wedge x \preceq x') \longleftrightarrow (\exists x. V x \wedge x \rightarrow^+ x)$

including *reaches-steps-iff* $\langle proof \rangle$

lemma *pre-cycle-cycle-reachable*:

$(\exists x x'. a_0 \rightarrow^* x \wedge V x \wedge x \rightarrow^+ x' \wedge x \preceq x') \longleftrightarrow (\exists x. a_0 \rightarrow^* x \wedge V x \wedge x \rightarrow^+ x)$

$\langle proof \rangle$

including *graph-automation-aggressive*

$\langle proof \rangle$

end

end

end

context *Subsumption-Graph-Pre*

begin

Setup for automation

context

includes *graph-automation*

begin

interpretation *Subsumption-Graph-Pre-Nodes* - - *E reachable*

$\langle proof \rangle$

lemma *steps-mono*:

assumes $\text{steps}(x \# xs) \ x \preceq y \ \text{reachable } x \ \text{reachable } y$

shows $\exists ys. \text{steps}(y \# ys) \wedge \text{list-all2}(\preceq) xs ys$

$\langle \text{proof} \rangle$

lemma $\text{steps-append-subsumption}:$

assumes $\text{steps}(x \# xs) \ \text{steps}(y \# ys) \ y \preceq \text{last}(x \# xs) \ \text{reachable } x \ \text{reachable } y$

shows $\exists ys'. \text{steps}(x \# xs @ ys') \wedge \text{list-all2}(\preceq) ys ys'$

$\langle \text{proof} \rangle$

lemma $\text{steps-replicate-subsumption}:$

assumes $x \preceq \text{last}(x \# xs) \ \text{steps}(x \# xs) \ n > 0 \ \text{reachable } x$

notes [intro] = preorder-intros

shows $\exists ys. \text{steps}(x \# ys) \wedge \text{list-all2}(\preceq) (\text{concat}(\text{replicate } n xs)) ys$

$\langle \text{proof} \rangle$

context

assumes finite-reachable: finite { x . reachable x }

begin

lemma wf-less-on-reachable-set:

assumes antisym: $\bigwedge x y. x \preceq y \implies y \preceq x \implies x = y$

shows wf {(x, y). $y \prec x \wedge \text{reachable } x \wedge \text{reachable } y$ } (is wf ?S)

$\langle \text{proof} \rangle$

This shows that looking for cycles and pre-cycles is equivalent in monotone subsumption graphs.

lemma pre-cycle-cycle':

assumes A: $x \preceq x' \ \text{steps}(x \# xs @ [x']) \ \text{reachable } x$

shows $\exists x'' ys. x' \preceq x'' \wedge \text{steps}(x'' \# ys @ [x'']) \wedge \text{reachable } x''$

$\langle \text{proof} \rangle$

lemma pre-cycle-cycle:

$(\exists x x'. \text{reachable } x \wedge \text{reaches } x x' \wedge x \preceq x') \longleftrightarrow (\exists x. \text{reachable } x \wedge \text{reaches } x x')$

including reaches-steps-iff $\langle \text{proof} \rangle$

end

end

end

```

context Subsumption-Graph-Defs
begin

sublocale G'': Graph-Start-Defs  $\lambda x y. \exists z. G.\text{reachable } z \wedge x \preceq z \wedge RE z y s_0 \langle\text{proof}\rangle$ 

lemma G''-reachable-G'[intro]:
  G'.reachable x if G''.reachable x
   $\langle\text{proof}\rangle$ 

end

locale Reachability-Compatible-Subsumption-Graph-Total = Reachability-Compatible-Subsumption-G
+
assumes total: reachable a  $\implies$  reachable b  $\implies$  a  $\preceq$  b  $\vee$  b  $\preceq$  a
begin

sublocale G''-pre: Subsumption-Graph-Pre ( $\preceq$ ) ( $\prec$ )  $\lambda x y. \exists z. G.\text{reachable } z \wedge x \preceq z \wedge RE z y$ 
 $\langle\text{proof}\rangle$ 

end

```

2.6 Old Material

```

locale Reachability-Compatible-Subsumption-Graph' = Subsumption-Graph-Defs
+ order ( $\preceq$ ) ( $\prec$ ) +
assumes reachability-compatible:
   $\forall s. G.\text{reachable } s \implies (\forall s'. E s s' \implies RE s s') \vee (\exists t. s \prec t \wedge G.\text{reachable } t)$ 
assumes subgraph:  $\forall s s'. RE s s' \implies E s s'$ 
assumes finite-reachable: finite {a. G.reachable a}
assumes mono:
   $a \preceq b \implies E a a' \implies \text{reachable } a \implies G.\text{reachable } b \implies \exists b'. E b b' \wedge a' \preceq b'$ 
begin

```

Setup for automation

```

context
  includes graph-automation
  notes [intro] = order.trans
begin

```

```

lemma subgraph'[intro]:

```

$E s s' \text{ if } RE s s'$
 $\langle proof \rangle$

lemma $G\text{-reachability-sound}[intro]$:
 $\text{reachable } a \text{ if } G.\text{reachable } a$
 $\langle proof \rangle$

lemma $G\text{-steps-sound}[intro]$:
 $\text{steps } xs \text{ if } G.\text{steps } xs$
 $\langle proof \rangle$

lemma $G\text{-run-sound}[intro]$:
 $\text{run } xs \text{ if } G.\text{run } xs$
 $\langle proof \rangle$

lemma $\text{reachable-has-surrogate}$:
 $\exists t. G.\text{reachable } t \wedge s \preceq t \wedge (\forall s'. E t s' \longrightarrow RE t s') \text{ if } G.\text{reachable } s$
 $\langle proof \rangle$

lemma subsumption-step :
 $\exists a'' b'. a' \preceq a'' \wedge b \preceq b' \wedge RE a'' b' \wedge G.\text{reachable } a'' \text{ if }$
 $\text{reachable } a E a b G.\text{reachable } a' a \preceq a'$
 $\langle proof \rangle$

theorem $\text{reachability-complete}'$:
 $\exists s'. s \preceq s' \wedge G.\text{reachable } s' \text{ if } E^{**} a s G.\text{reachable } a$
 $\langle proof \rangle$

corollary $\text{reachability-complete}$:
 $\exists s'. s \preceq s' \wedge G.\text{reachable } s' \text{ if } \text{reachable } s$
 $\langle proof \rangle$

corollary $\text{reachability-correct}$:
 $(\exists s'. s \preceq s' \wedge \text{reachable } s') \longleftrightarrow (\exists s'. s \preceq s' \wedge G.\text{reachable } s')$
 $\langle proof \rangle$

lemma $G'\text{-reachability-sound}[intro]$:
 $\text{reachable } a \text{ if } G'.\text{reachable } a$
 $\langle proof \rangle$

corollary $G'\text{-reachability-complete}$:
 $\exists s'. s \preceq s' \wedge G.\text{reachable } s' \text{ if } G'.\text{reachable } s$
 $\langle proof \rangle$

```
end
```

```
end
```

```
end
```

3 Unified Passed-Waiting-List

```
theory Unified-PW
```

```
imports Refine-Imperative-HOL.Sepref Worklist-Common Worklist-Algorithms-Subsumption-Graph
begin
```

```
hide-const wait
```

3.1 Utilities

```
definition take-from-set where
```

```
take-from-set s = ASSERT (s ≠ {}) ≈ SPEC (λ (x, s'). x ∈ s ∧ s' = s – {x})
```

```
lemma take-from-set-correct:
```

```
assumes s ≠ {}
```

```
shows take-from-set s ≤ SPEC (λ (x, s'). x ∈ s ∧ s' = s – {x})
⟨proof⟩
```

```
lemmas [refine-vcg] = take-from-set-correct[THEN order.trans]
```

```
definition take-from-mset where
```

```
take-from-mset s = ASSERT (s ≠ #{}) ≈ SPEC (λ (x, s'). x ∈# s ∧ s' = s – {#x#})
```

```
lemma take-from-mset-correct:
```

```
assumes s ≠ #{}  
shows take-from-mset s ≤ SPEC (λ (x, s'). x ∈# s ∧ s' = s – {#x#})
```

```
⟨proof⟩
```

```
lemmas [refine-vcg] = take-from-mset-correct[THEN order.trans]
```

```
lemma set-mset-mp: set-mset m ⊆ s ⇒ n < count m x ⇒ x ∈ s
```

```
⟨proof⟩
```

lemma *pred-not-lt-is-zero*: $(\neg n - Suc 0 < n) \longleftrightarrow n=0 \langle proof \rangle$

3.2 Generalized Worklist Algorithm

context *Search-Space-Defs-Empty*

begin

definition *reachable-subsumed* $S = \{x' \mid x \in S. \text{reachable } x' \wedge \neg \text{empty } x' \wedge x' \preceq x \wedge x \in S\}$

definition

```

pw-var =
  inv-image (
  {(b, b'). b ∧ ¬ b'}
  <*lex*>
  {(passed', passed).
    passed' ⊆ {a. reachable a ∧ ¬ empty a} ∧ passed ⊆ {a. reachable a
    ∧ ¬ empty a} ∧
    reachable-subsumed passed ⊂ reachable-subsumed passed'}
  <*lex*>
  measure size
)
(λ (a, b, c). (c, a, b))

```

definition *pw-inv-frontier* *passed* *wait* =

```

(∀ a ∈ passed. (∃ a' ∈ set-mset wait. a ≤ a') ∨
 ( ∀ a'. E a a' ∧ ¬ empty a' → (∃ b' ∈ passed ∪ set-mset wait. a' ≤
 b'))))

```

definition *start-subsumed* *passed* *wait* = $(\neg \text{empty } a_0 \rightarrow (\exists a \in \text{passed} \cup \text{set-mset } \text{wait}. a_0 \preceq a))$

definition *pw-inv* ≡ $\lambda (\text{passed}, \text{wait}, \text{brk})$.

```

(brk → (∃ f. reachable f ∧ F f)) ∧
(¬ brk →
  passed ⊆ {a. reachable a ∧ ¬ empty a}
  ∧ pw-inv-frontier passed wait
  ∧ ( ∀ a ∈ passed ∪ set-mset wait. ¬ F a)
  ∧ start-subsumed passed wait
  ∧ set-mset wait ⊆ Collect reachable)

```

definition *add-pw-spec* *passed* *wait* *a* ≡ *SPEC* ($\lambda(\text{passed}', \text{wait}', \text{brk})$).

if $\exists a'. E a a' \wedge F a'$ then

```

    brk
else
   $\neg brk \wedge set\text{-}mset wait' \subseteq set\text{-}mset wait \cup \{a' . E a a'\} \wedge$ 
   $(\forall s \in set\text{-}mset wait. \exists s' \in set\text{-}mset wait'. s \preceq s') \wedge$ 
   $(\forall s \in \{a' . E a a'\} \wedge \neg empty a'). \exists s' \in set\text{-}mset wait' \cup passed. s$ 
 $\preceq s') \wedge$ 
   $(\forall s \in passed \cup \{a\}. \exists s' \in passed'. s \preceq s') \wedge$ 
   $(passed' \subseteq passed \cup \{a\} \cup \{a' . E a a' \wedge \neg empty a'\} \wedge$ 
   $((\exists x \in passed'. \neg (\exists x' \in passed. x \preceq x')) \vee wait' \subseteq\# wait \wedge passed$ 
=  $passed')$ 
)
)
)

```

definition

```

init-pw-spec ≡
SPEC (λ (passed, wait).
  if empty a0 then passed = {} ∧ wait ⊆\# {#a0#} else passed ⊆ {a0}
  ∧ wait = {#a0#})

```

abbreviation subsumed-elem :: 'a ⇒ 'a set ⇒ bool
where subsumed-elem a M ≡ ∃ a'. a' ∈ M ∧ a ⊲ a'

notation

subsumed-elem ((-/ ∈" -) [51, 51] 50)

definition pw-inv-frontier' passed wait =
 $(\forall a. a \in passed \longrightarrow$
 $(a \in' set\text{-}mset wait))$
 $\vee (\forall a'. E a a' \wedge \neg empty a' \longrightarrow (a' \in' passed \cup set\text{-}mset wait)))$

lemma pw-inv-frontier-frontier':
pw-inv-frontier' passed wait **if**
pw-inv-frontier passed wait passed ⊆ Collect reachable
⟨proof⟩

lemma

pw-inv-frontier passed wait **if** pw-inv-frontier' passed wait
⟨proof⟩

definition pw-algo **where**

```

pw-algo = do
{
  if F a0 then RETURN (True, {})
  else if empty a0 then RETURN (False, {})
}

```

```

else do {
  (passed, wait)  $\leftarrow$  init-pw-spec;
  (passed, wait, brk)  $\leftarrow$  WHILEIT pw-inv ( $\lambda$  (passed, wait, brk).  $\neg$ 
brk  $\wedge$  wait  $\neq$  {#})
    ( $\lambda$  (passed, wait, brk). do
    {
      (a, wait)  $\leftarrow$  take-from-mset wait;
      ASSERT (reachable a);
      if empty a then RETURN (passed, wait, brk) else add-pw-spec
passed wait a
    }
  )
  (passed, wait, False);
  RETURN (brk, passed)
}
}
}

end

```

Correctness Proof instance *nat* :: preorder ⟨*proof*⟩

context Search-Space-finite begin

lemma wf-worklist-var-aux:
wf {(*passed'*, *passed*).
passed' \subseteq {*a*. reachable *a* \wedge \neg empty *a*} \wedge *passed* \subseteq {*a*. reachable *a* \wedge
 \neg empty *a*} \wedge
reachable-subsumed *passed* \subset reachable-subsumed *passed'*}
⟨*proof*⟩}

lemma wf-worklist-var:

wf pw-var
⟨*proof*⟩

context
begin

private lemma aux5:

assumes
a' \in *passed'*
a \in # *wait*
a \preceq *a'*

$\text{start-subsumed passed wait}$
 $\forall s \in \text{passed}. \exists x \in \text{passed}'. s \preceq x$
 $\forall s \in \# \text{wait} - \{\#a\#\}. \text{Multiset.Bex } \text{wait}' ((\preceq) s)$
shows $\text{start-subsumed passed}' \text{ wait}'$
(proof) **lemma** aux11:
assumes
 $\text{empty } a$
 $\text{start-subsumed passed wait}$
shows $\text{start-subsumed passed} (\text{wait} - \{\#a\#\})$
(proof)

lemma aux3-aux:
assumes $\text{pw-inv-frontier}' \text{ passed wait}$
 $\neg b \in' \text{set-mset wait}$
 $E b b'$
 $\neg \text{empty } b \neg \text{empty } b'$
 $b \in' \text{passed}$
 $\text{reachable } b \text{ passed} \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\}$
shows $b' \in' \text{passed} \cup \text{set-mset wait}$
(proof) **lemma** pw-inv-frontier-empty-elem:
assumes $\text{pw-inv-frontier passed wait passed} \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\}$
shows $\text{pw-inv-frontier passed} (\text{wait} - \{\#a\#\})$
(proof) **lemma** aux3:
assumes
 $\text{set-mset wait} \subseteq \text{Collect reachable}$
 $a \in \# \text{wait}$
 $\forall s \in \text{set-mset} (\text{wait} - \{\#a\#\}). \exists s' \in \text{set-mset wait}'. s \preceq s'$
 $\forall s \in \{a'. E a a' \wedge \neg \text{empty } a'\}. \exists s' \in \text{passed} \cup \text{set-mset wait}'. s \preceq s'$
 $\forall s \in \text{passed} \cup \{a\}. \exists s' \in \text{passed}'. s \preceq s'$
 $\text{passed}' \subseteq \text{passed} \cup \{a\} \cup \{a'. E a a' \wedge \neg \text{empty } a\}$
 $\text{pw-inv-frontier passed wait}$
 $\text{passed} \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\}$
shows $\text{pw-inv-frontier passed}' \text{ wait}'$
(proof) **lemma** aux6:
assumes
 $a \in \# \text{wait}$
 $\text{start-subsumed passed wait}$
 $\forall s \in \text{set-mset} (\text{wait} - \{\#a\#\}) \cup \{a'. E a a' \wedge \neg \text{empty } a'\}. \exists s' \in \text{set-mset wait}'. s \preceq s'$
shows $\text{start-subsumed} (\text{insert } a \text{ passed}) \text{ wait}'$
(proof)

lemma empty-E-star:

empty x' **if** $E^{**} x x'$ *reachable* x *empty* x
 $\langle proof \rangle$

lemma *aux4*:

assumes *pw-inv-frontier passed* $\{\#\}$ *reachable* x *start-subsumed passed* $\{\#\}$
 $\text{passed} \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\} \neg \text{empty } x$
shows $\exists x' \in \text{passed}. x \preceq x'$
 $\langle proof \rangle$

lemmas [*intro*] = *reachable-step*

private lemma *aux7*:

assumes
 $a \in \# \text{wait}$
 $\text{set-mset wait} \subseteq \text{Collect reachable}$
 $\text{set-mset wait}' \subseteq \text{set-mset}(\text{wait} - \{\#a\}) \cup \text{Collect}(E a)$
 $x \in \# \text{wait}'$
shows *reachable* x
 $\langle proof \rangle$ **lemma** *aux8*:

$x \in \text{reachable-subsumed } S' \text{ if } x \in \text{reachable-subsumed } S \forall s \in S. \exists x \in S'.$

$s \preceq x$

$\langle proof \rangle$ **lemma** *aux9*:

assumes
 $\text{set-mset wait}' \subseteq \text{set-mset}(\text{wait} - \{\#a\}) \cup \text{Collect}(E a)$
 $x \in \# \text{wait}' \forall a'. E a a' \longrightarrow \neg F a' F x$
 $\forall a \in \text{passed} \cup \text{set-mset wait}. \neg F a$

shows *False*

$\langle proof \rangle$ **lemma** *aux10*:

assumes $\forall a \in \text{passed}' \cup \text{set-mset wait}. \neg F a F x x \in \# \text{wait} - \{\#a\}$
shows *False*

$\langle proof \rangle$

lemma *aux12*:

size $\text{wait}' < \text{size wait}$ **if** $\text{wait}' \subseteq \# \text{wait} - \{\#a\}$ $a \in \# \text{wait}$
 $\langle proof \rangle$

lemma *aux13*:

assumes
 $\text{passed} \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\}$
 $\text{passed}' \subseteq \text{insert } a (\text{passed} \cup \{a'. E a a' \wedge \neg \text{empty } a'\})$
 $\neg \text{empty } a$
reachable a
 $\forall s \in \text{passed}. \exists x \in \text{passed}'. s \preceq x$

```

 $a'' \in passed'$ 
 $\forall x \in passed. \neg a'' \preceq x$ 
shows
 $passed' \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\} \wedge \text{reachable-subsumed } passed \subset$ 
 $\text{reachable-subsumed } passed'$ 
 $\vee passed' = passed \wedge \text{size } wait'' < \text{size } wait$ 
⟨proof⟩

method solve-vc =
  rule aux3 aux5 aux7 aux10 aux11 pw-inv-frontier-empty-elem; assumption; fail |
  rule aux3; auto; fail | auto intro: aux9; fail | auto dest: in-diffD; fail

end — Context

end — Search Space

theorem (in Search-Space'-finite) pw-algo-correct:
  pw-algo  $\leq$  SPEC ( $\lambda (brk, passed)$ .
    ( $brk \longleftrightarrow F\text{-reachable}$ )
     $\wedge (\neg brk \longrightarrow$ 
      ( $\forall a. \text{reachable } a \wedge \neg \text{empty } a \longrightarrow (\exists b \in passed. a \preceq b)$ )
       $\wedge passed \subseteq \{a. \text{reachable } a \wedge \neg \text{empty } a\}$ )
    )
  ⟨proof⟩

```

lemmas (in Search-Space'-finite) [refine-vcg] = pw-algo-correct[THEN Orderings.order.trans]

```

end — End of Theory
theory Unified-PW-Hashing
imports
  Unified-PW
  Refine-Imperative-HOL.IICF-List-Mset
  Worklist-Algorithms-Misc
  Worklist-Algorithms-Tracing
begin

```

3.3 Towards an Implementation of the Unified Passed-Waiting List

```

context Worklist1-Defs
begin

```

definition *add-pw-unified-spec passed wait a* \equiv *SPEC* ($\lambda(\text{passed}', \text{wait}', \text{brk})$).
if $\exists x \in \text{set}(\text{succs } a)$. $F x$ *then* brk
else $\text{passed}' \subseteq \text{passed} \cup \{x \in \text{set}(\text{succs } a) \mid \neg (\exists y \in \text{passed}. x \preceq y)\}$
 $\wedge \text{passed} \subseteq \text{passed}'$
 $\wedge \text{wait} \subseteq \# \text{wait}'$
 $\wedge \text{wait}' \subseteq \# \text{wait} + \text{mset}([x \leftarrow \text{succs } a. \neg (\exists y \in \text{passed}. x \preceq y)])$
 $\wedge (\forall x \in \text{set}(\text{succs } a). \exists y \in \text{passed}'. x \preceq y)$
 $\wedge (\forall x \in \text{set}(\text{succs } a). \neg (\exists y \in \text{passed}. x \preceq y) \longrightarrow (\exists y \in \# \text{wait}'. x \preceq y))$
 $\wedge \neg \text{brk})$

definition *add-pw passed wait a* \equiv
nfoldli ($\text{succs } a$) ($\lambda(-, -, \text{brk}). \neg \text{brk}$)
 $(\lambda a (\text{passed}, \text{wait}, \text{brk}). \text{RETURN} ($
if $F a$ *then*
 $(\text{passed}, \text{wait}, \text{True})$
else if $\exists x \in \text{passed}. a \preceq x$ *then*
 $(\text{passed}, \text{wait}, \text{False})$
else (*insert a passed, add-mset a wait, False*)
 $))$
 $(\text{passed}, \text{wait}, \text{False})$

end — Worklist1 Defs

context *Worklist1*
begin

lemma *add-pw-unified-spec-ref*:
add-pw-unified-spec passed wait a \leq *add-pw-spec passed wait a*
if *reachable a a* \in *passed*
{proof}

lemma *add-pw-ref*:
add-pw passed wait a $\leq \Downarrow \text{Id}$ (*add-pw-unified-spec passed wait a*)
{proof}

end — Worklist 1

context *Worklist2-Defs*
begin

definition *add-pw' passed wait a* \equiv

```

nfoldli (succs a) ( $\lambda(-, -, brk). \neg brk$ )
( $\lambda a (passed, wait, brk). RETURN$  (
  if  $F a$  then
    ( $passed, wait, True$ )
  else if  $empty a$  then
    ( $passed, wait, False$ )
  else if  $\exists x \in passed. a \sqsubseteq x$  then
    ( $passed, wait, False$ )
  else (insert a passed, add-mset a wait,  $False$ )
))
( $passed, wait, False$ )

```

definition *pw-algo-unified* **where**

```

pw-algo-unified = do
{
  if  $F a_0$  then RETURN ( $True, \{\}$ )
  else if  $empty a_0$  then RETURN ( $False, \{\}$ )
  else do {
    ( $passed, wait$ )  $\leftarrow$  RETURN ( $\{a_0\}, \{\#a_0\#}$ );
    ( $passed, wait, brk$ )  $\leftarrow$  WHILEIT pw-inv ( $\lambda (passed, wait, brk). \neg brk \wedge wait \neq \{\#\}$ )
      ( $\lambda (passed, wait, brk). do$ 
      {
        ( $a, wait$ )  $\leftarrow$  take-from-mset wait;
        ASSERT (reachable a);
        if  $empty a$  then RETURN ( $passed, wait, brk$ ) else add-pw'
 $passed$  wait a
      }
    )
    ( $passed, wait, False$ );
    RETURN ( $brk, passed$ )
  }
}

```

end — Worklist 2 Defs

context *Worklist2*
begin

lemma *empty-subsumes'2*:
 $empty x \vee x \sqsubseteq y \longleftrightarrow x \preceq y$
{proof}

lemma *bex-or*:

$P \vee (\exists x \in S. Q x) \longleftrightarrow (\exists x \in S. P \vee Q x)$ **if** $S \neq \{\}$
 $\langle proof \rangle$

lemma *add-pw'-ref'*:

add-pw' passed wait $a \leq \Downarrow (Id \cap \{((p, w, -), -). p \neq \{\} \wedge set\text{-mset } w \subseteq p\})$ (*add-pw* passed wait a)
if $passed \neq \{\}$ *set-mset* wait $\subseteq passed$
 $\langle proof \rangle$

lemma *add-pw'-ref1 [refine]*:

add-pw' passed wait a
 $\leq \Downarrow (Id \cap \{((p, w, -), -). p \neq \{\} \wedge set\text{-mset } w \subseteq p\})$ (*add-pw-spec* passed'
wait' a')
if $passed \neq \{\}$ *set-mset* wait $\subseteq passed$ reachable a $a \in passed$
and [*simp*]: $passed = passed'$ $wait = wait'$ $a = a'$
 $\langle proof \rangle$

lemma *refine-weaken*:

$p \leq \Downarrow R p'$ **if** $p \leq \Downarrow S p'$ $S \subseteq R$
 $\langle proof \rangle$

lemma *add-pw'-ref*:

add-pw' passed wait $a \leq$
 $\Downarrow \{((p, w, b), (p', w', b')). p \neq \{\} \wedge p = p' \cup set\text{-mset } w \wedge w = w' \wedge b = b'\}$
(*add-pw-spec* passed'*wait'* $a')$
if $passed \neq \{\}$ *set-mset* wait $\subseteq passed$ reachable a $a \in passed$
and [*simp*]: $passed = passed'$ $wait = wait'$ $a = a'$
 $\langle proof \rangle$

lemma

$((\{a_0\}, \{\#a_0\#}, False), \{\}, \{\#a_0\#}, False)$
 $\in \{((p, w, b), (p', w', b')). p = p' \cup set\text{-mset } w' \wedge w = w' \wedge b = b'\}$
 $\langle proof \rangle$

lemma [*refine*]:

RETURN $(\{a_0\}, \{\#a_0\#}) \leq \Downarrow (Id \cap \{((p, w), (p', w')). p \neq \{\} \wedge set\text{-mset } w \subseteq p\})$ *init-pw-spec*
if $\neg empty a_0$
 $\langle proof \rangle$

lemma [*refine*]:

```

take-from-mset wait ≤
    ↓ {((x, wait), (y, wait')). x = y ∧ wait = wait' ∧ set-mset wait ⊆ passed
    ∧ x ∈ passed}
        (take-from-mset wait')
    if wait = wait' set-mset wait ⊆ passed wait ≠ {#}
        ⟨proof⟩

```

```

lemma pw-algo-unified-ref:
    pw-algo-unified ≤ ↓ Id pw-algo
    ⟨proof⟩

```

end — Worklist 2

Utilities definition *take-from-list* **where**
take-from-list s = *ASSERT* (*s* ≠ []) ≫ *SPEC* ($\lambda (x, s'). s = x \# s'$)

```

lemma take-from-list-correct:
    assumes s ≠ []
    shows take-from-list s ≤ SPEC ( $\lambda (x, s'). s = x \# s'$ )
    ⟨proof⟩

```

lemmas [*refine-vcg*] = *take-from-list-correct*[THEN *order.trans*]

context *Worklist-Map-Defs*
begin

definition
add-pw'-map passed wait a ≡
nfoldli (*succs a*) ($\lambda(-, -, brk). \neg brk$)
 $(\lambda a (passed, wait, -).$
 $do \{$
 $RETURN ($
 $if F a then (passed, wait, True) else$
 $let k = key a; passed' = (case passed k of Some passed' \Rightarrow passed' |$
 $None \Rightarrow \{\})$
 in
 $if empty a then$
 $(passed, wait, False)$
 $else if \exists x \in passed'. a \trianglelefteq x then$
 $(passed, wait, False)$
 $else$
 $(passed(k \mapsto (insert a passed')), a \# wait, False)$
 $)$

```

    }
)
(passed,wait,False)

```

definition

```

pw-map-inv ≡ λ (passed, wait, brk).
  ∃ passed' wait'.
    (passed, passed') ∈ map-set-rel ∧ (wait, wait') ∈ list-mset-rel ∧
      pw-inv (passed', wait', brk)

```

definition *pw-algo-map* **where**

```

pw-algo-map = do
{
  if F a0 then RETURN (True, Map.empty)
  else if empty a0 then RETURN (False, Map.empty)
  else do {
    (passed, wait) ← RETURN ([key a0 ↦ {a0}], [a0]);
    (passed, wait, brk) ← WHILEIT pw-map-inv (λ (passed, wait, brk).
      ¬ brk ∧ wait ≠ [])
    (λ (passed, wait, brk). do
    {
      (a, wait) ← take-from-list wait;
      ASSERT (reachable a);
      if empty a then RETURN (passed, wait, brk) else add-pw'-map
        passed wait a
      }
    )
    (passed, wait, False);
    RETURN (brk, passed)
  }
}

```

end — Worklist Map Defs

lemma *ran-upd-cases*:

```

(x ∈ ran m) ∨ (x = y) if x ∈ ran (m(a ↦ y))
⟨proof⟩

```

lemma *ran-upd-cases2*:

```

(∃ k. m k = Some x ∧ k ≠ a) ∨ (x = y) if x ∈ ran (m(a ↢ y))
⟨proof⟩

```

```

context Worklist-Map
begin

lemma add-pw'-map-ref[refine]:
  add-pw'-map passed wait a  $\leq \Downarrow$  (map-set-rel  $\times_r$  list-mset-rel  $\times_r$  bool-rel)
  (add-pw' passed' wait' a')
  if (passed, passed')  $\in$  map-set-rel (wait, wait')  $\in$  list-mset-rel (a, a')  $\in$  Id
  ⟨proof⟩

lemma init-map-ref[refine]:
  (([key a0  $\mapsto$  {a0}], [a0]), {a0}, {#a0#})  $\in$  map-set-rel  $\times_r$  list-mset-rel
  ⟨proof⟩

lemma take-from-list-ref[refine]:
  take-from-list xs  $\leq \Downarrow$  (Id  $\times_r$  list-mset-rel) (take-from-mset ms) if (xs, ms)
   $\in$  list-mset-rel
  ⟨proof⟩

lemma pw-algo-map-ref:
  pw-algo-map  $\leq \Downarrow$  (Id  $\times_r$  map-set-rel) pw-algo-unified
  ⟨proof⟩

```

end — Worklist Map

```

context Worklist-Map2-Defs
begin

```

```

definition
  add-pw'-map2 passed wait a  $\equiv$ 
    nfoldli (succs a) ( $\lambda(-, -, brk)$ .  $\neg brk$ )
    ( $\lambda a$  (passed, wait, -).
      do {
        RETURN (
          if empty a then
            (passed, wait, False)
          else if F' a then (passed, wait, True)
          else
            let k = key a; passed' = (case passed k of Some passed'  $\Rightarrow$  passed' |
              None  $\Rightarrow$  {})
            in
              if  $\exists x \in$  passed'. a  $\trianglelefteq$  x then
                (passed, wait, False)
              else

```

```

        (passed(k  $\mapsto$  (insert a passed $'$ )), a  $\#$  wait, False)
    )
}
)
(passed, wait, False)

```

definition *pw-algo-map2* **where**

```

pw-algo-map2 = do
{
  if F a0 then RETURN (True, Map.empty)
  else if empty a0 then RETURN (False, Map.empty)
  else do {
    (passed, wait)  $\leftarrow$  RETURN ([key a0  $\mapsto$  {a0}], [a0]);
    (passed, wait, brk)  $\leftarrow$  WHILEIT pw-map-inv ( $\lambda$  (passed, wait, brk).
       $\neg$  brk  $\wedge$  wait  $\neq$  [])
      ( $\lambda$  (passed, wait, brk). do
      {
        (a, wait)  $\leftarrow$  take-from-list wait;
        ASSERT (reachable a);
        if empty a
        then RETURN (passed, wait, brk)
        else do {
          TRACE (ExploredState); add-pw'-map2 passed wait a
        }
      }
    )
    (passed, wait, False);
    RETURN (brk, passed)
  }
}

```

end — Worklist Map 2 Defs

context *Worklist-Map2*

begin

lemma *add-pw'-map2-ref[refine]*:

add-pw'-map2 passed wait a $\leq \Downarrow$ *Id* (*add-pw'-map passed' wait' a'*)
if (*passed*, *passed'*) \in *Id* (*wait*, *wait'*) \in *Id* (*a*, *a'*) \in *Id*
 $\langle proof \rangle$

lemma *pw-algo-map2-ref[refine]*:

pw-algo-map2 $\leq \Downarrow$ *Id* *pw-algo-map*

$\langle proof \rangle$

end — Worklist Map 2

lemma (in Worklist-Map2-finite) pw-algo-map2-correct:
 $pw\text{-algo}\text{-}map2 \leq SPEC (\lambda (brk, passed).$
 $(brk \longleftrightarrow F\text{-reachable}) \wedge$
 $(\neg brk \longrightarrow$
 $(\exists p.$
 $(passed, p) \in map\text{-set}\text{-}rel \wedge (\forall a. reachable a \wedge \neg empty a \longrightarrow (\exists b \in p.$
 $a \preceq b))$
 $\wedge p \subseteq \{a. reachable a \wedge \neg empty a\}$
 $)$
 $)$
 $\langle proof \rangle$

end — End of Theory

3.4 Heap Hash Map

theory Heap-Hash-Map
imports
Separation-Logic-Imperative-HOL.Sep-Main Separation-Logic-Imperative-HOL.Sep-Examples
Refine-Imperative-HOL.IICF
begin

no-notation *Ref.update* ($- := -$ 62)

definition *big-star* :: *assn multiset* \Rightarrow *assn* ($\bigwedge^* - [60] 90$) **where**
 $big\text{-star } S \equiv fold\text{-mset } (*) emp S$

interpretation *comp-fun-commute-mult*:
 $comp\text{-fun}\text{-}commute (*) :: ('a :: ab\text{-semigroup}\text{-}mult \Rightarrow - \Rightarrow -)$
 $\langle proof \rangle$

lemma *sep-big-star-insert* [*simp*]: $\bigwedge^* (add\text{-mset } x S) = (x * \bigwedge^* S)$
 $\langle proof \rangle$

lemma *sep-big-star-union* [*simp*]: $\bigwedge^* (S + T) = (\bigwedge^* S) * (\bigwedge^* T)$
 $\langle proof \rangle$

lemma *sep-big-star-empty* [*simp*]: $\bigwedge^* \{\#\} = emp$
 $\langle proof \rangle$

lemma *big-star-entatilst-mono*:

$$\wedge^* T \implies_t \wedge^* S \text{ if } S \subseteq \# T$$

$\langle proof \rangle$

definition *map-assn* $V m mi \equiv$

$$\begin{aligned} & \uparrow (\text{dom } mi = \text{dom } m \wedge \text{finite}(\text{dom } m)) * \\ & (\wedge^* \{\# V (\text{the}(m k)) (\text{the}(mi k)) . k \in \# \text{mset-set}(\text{dom } m)\# \}) \end{aligned}$$

lemma *map-assn-empty-map*[simp]:

$$\begin{aligned} \text{map-assn } A \text{ Map.empty } \text{Map.empty} &= \text{emp} \\ \langle proof \rangle \end{aligned}$$

lemma *in-mset-union-split*:

$$\begin{aligned} \text{mset-set } S &= \text{mset-set } (S - \{k\}) + \{\#k\# \text{ if } k \in S \text{ finite } S \\ \langle proof \rangle \end{aligned}$$

lemma *in-mset-dom-union-split*:

$$\begin{aligned} \text{mset-set } (\text{dom } m) &= \text{mset-set } (\text{dom } m - \{k\}) + \{\#k\# \text{ if } m k = \text{Some } \\ v \text{ finite } (\text{dom } m) \\ \langle proof \rangle \end{aligned}$$

lemma *dom-remove-not-in-dom-simp*[simp]:

$$\begin{aligned} \text{dom } m - \{k\} &= \text{dom } m \text{ if } m k = \text{None} \\ \langle proof \rangle \end{aligned}$$

lemma *map-assn-delete*:

$$\begin{aligned} \text{map-assn } A \text{ } m \text{ } mh &\implies_A \\ \text{map-assn } A \text{ } (m(k := \text{None})) \text{ } (mh(k := \text{None})) * \text{option-assn } A \text{ } (m k) \\ (mh k) \\ \langle proof \rangle \end{aligned}$$

lemma *in-mset-set-iff-in-set*[simp]:

$$\begin{aligned} z \in \# \text{mset-set } S &\longleftrightarrow z \in S \text{ if finite } S \\ \langle proof \rangle \end{aligned}$$

lemma *ent-refl'*:

$$\begin{aligned} a = b &\implies a \implies_A b \\ \langle proof \rangle \end{aligned}$$

lemma *map-assn-update-aux*:

$$\begin{aligned} \text{map-assn } A \text{ } m \text{ } mh * A \text{ } v \text{ } vi &\implies_A \text{map-assn } A \text{ } (m(k \mapsto v)) \text{ } (mh(k \mapsto vi)) \\ \text{if } k \notin \text{dom } m \end{aligned}$$

$\langle proof \rangle$

lemma *map-assn-update*:

*map-assn A m mh * A v vi* \implies_A
*map-assn A (m(k \mapsto v)) (mh(k \mapsto vi)) * true*
 $\langle proof \rangle$

definition (in imp-map) *hms-assn A m mi* \equiv $\exists_A mh. \text{is-map } mh \text{ mi} * \text{map-assn } A m mh$

definition (in imp-map) *hms-assn' K A* = *hr-comp (hms-assn A) ((the-pure K, Id) map-rel)*

declare (in imp-map) *hms-assn'-def[symmetric, fcomp-norm-unfold]*

definition (in imp-map-empty) [code-unfold]: *hms-empty* \equiv *empty*

lemma (in imp-map-empty) *hms-empty-rule [sep-heap-rules]*:

$\langle emp \rangle hms-empty \langle hms-assn A Map.empty \rangle_t$
 $\langle proof \rangle$

definition (in imp-map-update) [code-unfold]: *hms-update* = *update*

lemma (in imp-map-update) *hms-update-rule [sep-heap-rules]*:

$\langle hms-assn A m mi * A v vi \rangle hms-update k vi mi \langle hms-assn A (m(k \mapsto v)) \rangle_t$
 $\langle proof \rangle$

lemma *restrict-not-in-dom-simp[simp]*:

m |` (- {k}) = m **if** *m k = None*
 $\langle proof \rangle$

definition [code]:

hms-extract lookup delete k m =
do {
 vo \leftarrow *lookup k m*;
 case *vo* of
 None \Rightarrow *return (None, m)* |
 Some v \Rightarrow do {
 m \leftarrow *delete k m*;
 return (Some v, m)
 }
 }
}

definition [code]:

```

hms-lookup lookup copy k m =
  do {
    vo  $\leftarrow$  lookup k m;
    case vo of
      None  $\Rightarrow$  return None |
      Some v  $\Rightarrow$  do {
        v'  $\leftarrow$  copy v;
        return (Some v')
      }
  }
}

```

locale *imp-map-extract-derived* = *imp-map-delete* + *imp-map-lookup*
begin

lemma *map-assn-domain-simps*[simp]:
assumes *vassn-tag* (*map-assn A m mh*)
shows *mh k = None* \longleftrightarrow *m k = None* dom *mh = dom m finite* (dom *m*)
<proof>

lemma *hms-extract-rule* [sep-heap-rules]:
<hms-assn A m mi>
hms-extract lookup delete k mi
*< $\lambda (vi, mi'). option-assn A (m k) vi * hms-assn A (m(k := None)) mi'$ >_t*
<proof>

lemma *hms-lookup-rule* [sep-heap-rules]:
assumes
 $(copy, RETURN o COPY) \in A^k \rightarrow_a A$
shows
<hms-assn A m mi>
hms-lookup lookup copy k mi
*< $\lambda vi. hms-assn A m mi * option-assn A (m k) vi$ >_t*
<proof>

end

context *imp-map-update*
begin

lemma *hms-update-hnr*:
 $(uncurry2 hms-update, uncurry2 (RETURN ooo op-map-update)) \in$
 $id-assn^k *_a A^d *_a (hms-assn A)^d \rightarrow_a hms-assn A$
<proof>

```

sepref-decl-impl update: hms-update-hnr uses op-map-update.fref[where
 $V = Id$ ]  $\langle proof \rangle$ 

end

context imp-map-empty
begin

lemma hms-empty-hnr:
   $(\text{uncurry}0 \text{ hms-empty}, \text{uncurry}0 (\text{RETURN op-map-empty})) \in \text{unit-assn}^k$ 
 $\rightarrow_a \text{hms-assn } A$ 
 $\langle proof \rangle$ 

sepref-decl-impl (no-register) empty: hms-empty-hnr uses op-map-empty.fref[where
 $V = Id$ ]  $\langle proof \rangle$ 

definition op-hms-empty  $\equiv$  IICF-Map.op-map-empty

sublocale hms: map-custom-empty op-hms-empty
 $\langle proof \rangle$ 

lemmas [sepref-fr-rules] = empty-hnr[folded op-hms-empty-def]

lemmas hms-fold-custom-empty = hms.fold-custom-empty

end

sepref-decl-op map-extract:
   $\lambda k m. (m k, m(k := \text{None})) :: K \rightarrow \langle K, V \rangle \text{map-rel} \rightarrow \langle V \rangle \text{option-rel} \times_r$ 
 $\langle K, V \rangle \text{map-rel}$ 
  where single-valued  $K$  single-valued  $(K^{-1})$ 
 $\langle proof \rangle$ 

context imp-map-extract-derived
begin

lemma hms-extract-hnr:
   $(\text{uncurry} (\text{hms-extract lookup delete}), \text{uncurry} (\text{RETURN oo op-map-extract})) \in$ 
 $\text{id-assn}^k *_a (\text{hms-assn } A)^d \rightarrow_a \text{prod-assn } (\text{option-assn } A) (\text{hms-assn } A)$ 
 $\langle proof \rangle$ 

```

```

lemma hms-lookup-hnr:
  (uncurry (hms-lookup lookup copy), uncurry (RETURN oo op-map-lookup))
 $\in$ 
  id-assnk *a (hms-assn A)k  $\rightarrow_a$  option-assn A if (copy, RETURN o COPY)
 $\in A^k \rightarrow_a A$ 
  ⟨proof⟩

sepref-decl-impl extract: hms-extract-hnr uses op-map-extract.fref[where
V = Id] ⟨proof⟩

end

interpretation hms-hm: imp-map-extract-derived is-hashmap hm-delete hm-lookup
⟨proof⟩

end
theory Unified-PW-Impl
  imports Refine-Imperative-HOL.IICF Unified-PW-Hashing Heap-Hash-Map
begin

```

3.5 Imperative Implementation

We now obtain an imperative implementation using the Sepref tool. We will implement the waiting list as a HOL list and the passed set as an imperative hash map.

```

context notes [split!] = list.split begin
sepref-decl-op list-hdtl:  $\lambda (x \# xs) \Rightarrow (x, xs) :: [\lambda l. l \neq []]_f \langle A \rangle$  list-rel  $\rightarrow A$ 
 $\times_r \langle A \rangle$  list-rel
  ⟨proof⟩
end

context Worklist-Map2-Defs
begin

definition trace where
  trace  $\equiv \lambda type\ a. RETURN ()$ 

definition
  explored-string = "Explored"

definition
  final-string = "Final"

```

definition
 $\text{added-string} = \text{"Add"}$

definition
 $\text{subsumed-string} = \text{"Subsumed"}$

definition
 $\text{empty-string} = \text{"Empty"}$

lemma $\text{add-pw'-map2-alt-def}:$
 $\text{add-pw'-map2 passed wait } a = \text{do } \{$
 $\text{trace explored-string } a;$
 $\text{nfoldli (succs } a) (\lambda(-, -, \text{brk}). \neg\text{brk})$
 $(\lambda a (\text{passed}, \text{wait}, -).$
 $\text{do } \{$
 $\text{RETURN } ($
 $\text{if empty } a \text{ then}$
 $(\text{passed}, \text{wait}, \text{False})$
 $\text{else if } F' a \text{ then } (\text{passed}, \text{wait}, \text{True})$
 else
 let
 $k = \text{key } a;$
 $(v, \text{passed}) = \text{op-map-extract } k \text{ passed}$
 in
 $\text{case } v \text{ of}$
 $\text{None } \Rightarrow (\text{passed}(k \mapsto \{\text{COPY } a\}), a \# \text{wait}, \text{False}) \mid$
 $\text{Some } \text{passed}' \Rightarrow$
 $\text{if } \exists x \in \text{passed}'. a \trianglelefteq x \text{ then}$
 $(\text{passed}(k \mapsto \text{passed}'), \text{wait}, \text{False})$
 else
 $(\text{passed}(k \mapsto (\text{insert } (\text{COPY } a) \text{ passed}')), a \# \text{wait}, \text{False})$
 $\}$
 $\}$
 $)$
 $(\text{passed}, \text{wait}, \text{False})$
 $\}$
 $\langle \text{proof} \rangle$

lemma $\text{add-pw'-map2-full-trace-def}:$
 $\text{add-pw'-map2 passed wait } a = \text{do } \{$
 $\text{trace explored-string } a;$
 $\text{nfoldli (succs } a) (\lambda(-, -, \text{brk}). \neg\text{brk})$
 $(\lambda a (\text{passed}, \text{wait}, -).$
 $\text{do } \{$

```

if empty a then
  do {trace empty-string a; RETURN (passed, wait, False)}
else if F' a then do {trace final-string a; RETURN (passed, wait,
True)}
else
  let
    k = key a;
    (v, passed) = op-map-extract k passed
  in
    case v of
      None  $\Rightarrow$  do {trace added-string a; RETURN (passed(k  $\mapsto$  {COPY
a}), a  $\#$  wait, False)} |
      Some passed'  $\Rightarrow$ 
        if  $\exists x \in$  passed'.  $a \trianglelefteq x$  then
          do {trace subsumed-string a; RETURN (passed(k  $\mapsto$  passed'),,
wait, False)}
        else do {
          trace added-string a;
          RETURN (passed(k  $\mapsto$  (insert (COPY a) passed')), a  $\#$ 
wait, False)
        }
      )
    (passed, wait, False)
}
⟨proof⟩

```

end

```

locale Worklist-Map2-Impl =
  Worklist4-Impl + Worklist-Map2-Impl-Defs + Worklist-Map2 +
  fixes K
  assumes [sepref-fr-rules]: ( $key_i, RETURN o PR\text{-CONST} key$ )  $\in A^k \rightarrow_a$ 
K
  assumes [sepref-fr-rules]: ( $copy_i, RETURN o COPY$ )  $\in A^k \rightarrow_a A$ 
  assumes [sepref-fr-rules]: ( $uncurry trace_i, uncurry trace$ )  $\in id\text{-assn}^k *_a A^k$ 
 $\rightarrow_a id\text{-assn}$ 
  assumes pure-K: is-pure K
  assumes left-unique-K: IS-LEFT-UNIQUE (the-pure K)
  assumes right-unique-K: IS-RIGHT-UNIQUE (the-pure K)
begin
  sepref-register
    PR-CONST  $a_0$  PR-CONST  $F'$  PR-CONST ( $\trianglelefteq$ ) PR-CONST succs
    PR-CONST empty PR-CONST key

```

PR-CONST F trace

lemma [*def-pat-rules*]:

$a_0 \equiv \text{UNPROTECT } a_0$ $F' \equiv \text{UNPROTECT } F' (\trianglelefteq) \equiv \text{UNPROTECT}$
 $(\trianglelefteq) \text{ succs} \equiv \text{UNPROTECT succs}$
 $\text{empty} \equiv \text{UNPROTECT empty key}_i \equiv \text{UNPROTECT key}_i F \equiv \text{UN-}$
 $\text{PROTECT } F \text{ key} \equiv \text{UNPROTECT key}$
 $\langle \text{proof} \rangle$

lemma [*take-from-list-alt-def*]:

$\text{take-from-list } xs = \text{do } \{- \leftarrow \text{ASSERT } (xs \neq []); \text{RETURN } (\text{hd-tl } xs)\}$
 $\langle \text{proof} \rangle$

lemma [*safe-constraint-rules*]: *CN-FALSE is-pure A \implies is-pure A* $\langle \text{proof} \rangle$

lemmas [*sepref-fr-rules*] = *hd-tl-hnr*

lemmas [*safe-constraint-rules*] = *pure-K left-unique-K right-unique-K*

lemma [*sepref-import-param*]:

$(\text{explored-string}, \text{explored-string}) \in Id$
 $(\text{subsumed-string}, \text{subsumed-string}) \in Id$
 $(\text{added-string}, \text{added-string}) \in Id$
 $(\text{final-string}, \text{final-string}) \in Id$
 $(\text{empty-string}, \text{empty-string}) \in Id$
 $\langle \text{proof} \rangle$

lemmas [*sepref-opt-simps*] =

explored-string-def
subsumed-string-def
added-string-def
final-string-def
empty-string-def

sepref-thm *pw-algo-map2-impl* **is**

uncurry0 (do {(r, p) \leftarrow pw-algo-map2; RETURN r}) :: unit-assn^k \rightarrow_a
bool-assn
 $\langle \text{proof} \rangle$

concrete-definition (**in** *-*) *pw-impl*

for *Lei a₀i Fi succsi emptyi*

uses *Worklist-Map2-Impl.pw-algo-map2-impl.refine-raw* **is** (*uncurry0 ?f,-* *-*

end — Worklist Map2 Impl

```

locale Worklist-Map2-Impl-finite = Worklist-Map2-Impl + Worklist-Map2-finite
begin

lemma pw-algo-map2-correct':
  (do {(r, p) ← pw-algo-map2; RETURN r}) ≤ SPEC (λbrk. brk = F-reachable)
  ⟨proof⟩

lemma pw-impl-hnr-F-reachable:
  (uncurry0 (pw-impl keyi copyi tracei Lei a0i Fi succsi emptyi), uncurry0
  (RETURN F-reachable))
  ∈ unit-assnk →a bool-assn
  ⟨proof⟩

end

locale Worklist-Map2-Hashable =
  Worklist-Map2-Impl-finite
begin

  sepref-decl-op F-reachable :: bool-rel ⟨proof⟩
  lemma [def-pat-rules]: F-reachable ≡ op-F-reachable ⟨proof⟩

  lemma hnr-op-F-reachable:
    assumes GEN-ALGO a0i (λa0i. (uncurry0 a0i, uncurry0 (RETURN
    a0)) ∈ unit-assnk →a A)
    assumes GEN-ALGO Fi (λFi. (Fi, RETURN o F') ∈ Ak →a bool-assn)
    assumes GEN-ALGO Lei (λLei. (uncurry Lei, uncurry (RETURN oo
    (⊣))) ∈ Ak *a Ak →a bool-assn)
    assumes GEN-ALGO succsi (λsuccsi. (succsi, RETURN o succs) ∈ Ak
    →a list-assn A)
    assumes GEN-ALGO emptyi (λFi. (Fi, RETURN o empty) ∈ Ak →a
    bool-assn)
    assumes [sepref-fr-rules]: (keyi, RETURN o PR-CONST key) ∈ Ak →a
    K
    assumes [sepref-fr-rules]: (copyi, RETURN o COPY) ∈ Ak →a A
    shows
      (uncurry0 (pw-impl keyi copyi tracei Lei a0i Fi succsi emptyi),
      uncurry0 (RETURN (PR-CONST op-F-reachable)))
      ∈ unit-assnk →a bool-assn
    ⟨proof⟩

  sepref-decl-impl hnr-op-F-reachable ⟨proof⟩

```

end — Worklist Map 2

end — End of Theory

4 Generic Worklist Algorithm With Subsumption

```
theory Worklist-Subsumption-Multiset
imports
  Refine-Imperative-HOL.Seref
  Worklist-Algorithms-Misc
  Worklist-Locales
  Unified-PW — only for shared definitions
begin
```

This section develops an implementation of the worklist algorithm for reachability without a shared passed-waiting list. The obtained imperative implementation may be less efficient for the purpose of timed automata model checking but the variants obtained from the refinement steps are more general and could serve a wider range of future use cases.

4.1 Utilities

```
definition take-from-set where
  take-from-set s = ASSERT (s ≠ {}) ≫ SPEC (λ (x, s'). x ∈ s ∧ s' = s − {x})

lemma take-from-set-correct:
  assumes s ≠ {}
  shows take-from-set s ≤ SPEC (λ (x, s'). x ∈ s ∧ s' = s − {x})
  ⟨proof⟩

lemmas [refine-vcg] = take-from-set-correct[THEN order.trans]
```

```
definition take-from-mset where
  take-from-mset s = ASSERT (s ≠ {#}) ≫ SPEC (λ (x, s'). x ∈# s ∧ s' = s − {#x#})

lemma take-from-mset-correct:
  assumes s ≠ {#}
  shows take-from-mset s ≤ SPEC (λ (x, s'). x ∈# s ∧ s' = s − {#x#})
  ⟨proof⟩
```

lemmas [refine-vcg] = take-from-mset-correct[THEN order.trans]

lemma set-mset-mp: set-mset $m \subseteq s \implies n < \text{count } m x \implies x \in s$
 $\langle \text{proof} \rangle$

lemma pred-not-lt-is-zero: $(\neg n - \text{Suc } 0 < n) \longleftrightarrow n=0$ $\langle \text{proof} \rangle$

lemma (in Search-Space-finite-strict) finitely-branching:
assumes reachable a
shows finite (Collect (E a))
 $\langle \text{proof} \rangle$

4.2 Standard Worklist Algorithm

context Search-Space-Defs-Empty **begin**

definition worklist-start-subsumed passed wait = $(\exists a \in \text{passed} \cup \text{set-mset wait}. a_0 \preceq a)$

definition

worklist-var =

inv-image (finite-psupset (Collect reachable) <*lex*> measure size) ($\lambda (a, b, c). (a, b)$)

definition worklist-inv-frontier passed wait =

$(\forall a \in \text{passed}. \forall a'. E a a' \wedge \neg \text{empty } a' \longrightarrow (\exists b' \in \text{passed} \cup \text{set-mset wait}. a' \preceq b'))$

definition worklist-inv $\equiv \lambda (\text{passed}, \text{wait}, \text{brk})$.

$\text{passed} \subseteq \text{Collect reachable} \wedge$

$(\text{brk} \longrightarrow (\exists f. \text{reachable } f \wedge F f)) \wedge$

$(\neg \text{brk} \longrightarrow$

worklist-inv-frontier passed wait

$\wedge (\forall a \in \text{passed} \cup \text{set-mset wait}. \neg F a)$

$\wedge \text{worklist-start-subsumed passed wait}$

$\wedge \text{set-mset wait} \subseteq \text{Collect reachable})$

definition add-succ-spec wait a $\equiv \text{SPEC } (\lambda (\text{wait}', \text{brk}).$

$\text{if } \exists a'. E a a' \wedge F a' \text{ then}$

brk

```

else
   $\neg brk \wedge set\text{-}mset wait' \subseteq set\text{-}mset wait \cup \{a' . E a a'\} \wedge$ 
   $(\forall s \in set\text{-}mset wait \cup \{a' . E a a'\} \wedge \neg empty a'). \exists s' \in set\text{-}mset$ 
   $wait'. s \preceq s')$ 
  )

definition worklist-algo where
  worklist-algo = do
    {
      if  $F a_0$  then RETURN True
      else do {
        let passed = {};
        let wait = {# $a_0$ #};
         $(passed, wait, brk) \leftarrow WHILEIT worklist-inv (\lambda (passed, wait, brk).$ 
         $\neg brk \wedge wait \neq \{\#\})$ 
         $(\lambda (passed, wait, brk). do$ 
        {
           $(a, wait) \leftarrow take\text{-}from\text{-}mset wait;$ 
          ASSERT (reachable a);
          if  $(\exists a' \in passed. a \preceq a')$  then RETURN (passed, wait, brk)
        }
      }
      else
        do
        {
           $(wait, brk) \leftarrow add\text{-}succ\text{-}spec wait a;$ 
          let passed = insert a passed;
          RETURN (passed, wait, brk)
        }
      }
    }
  }

end

```

Correctness Proof lemma (in Search-Space) empty-E-star:
 $empty x'$ if $E^{**} x x'$ reachable x empty x
 $\langle proof \rangle$

context Search-Space-finite-strict **begin**

```

lemma wf-worklist-var:
  wf worklist-var
  ⟨proof⟩

context
begin

private lemma aux1:
  assumes ∀ x ∈ passed. ¬ a ⊲ x
  and passed ⊆ Collect reachable
  and reachable a
  shows
  ((insert a passed, wait', brk'), 
   passed, wait, brk)
   ∈ worklist-var
  ⟨proof⟩ lemma aux2:
  assumes
  a' ∈ passed
  a ⊲ a'
  a ∈# wait
  worklist-inv-frontier passed wait
  shows worklist-inv-frontier passed (wait - {#a#})
  ⟨proof⟩ lemma aux5:
  assumes
  a' ∈ passed
  a ⊲ a'
  a ∈# wait
  worklist-start-subsumed passed wait
  shows worklist-start-subsumed passed (wait - {#a#})
  ⟨proof⟩ lemma aux3:
  assumes
  set-mset wait ⊆ Collect reachable
  a ∈# wait
  ∀ s ∈ set-mset (wait - {#a#}) ∪ {a'. E a a' ∧ ¬ empty a'}. ∃ s' ∈
  set-mset wait'. s ⊲ s'
  worklist-inv-frontier passed wait
  shows worklist-inv-frontier (insert a passed) wait'
  ⟨proof⟩ lemma aux6:
  assumes
  a ∈# wait
  worklist-start-subsumed passed wait
  ∀ s ∈ set-mset (wait - {#a#}) ∪ {a'. E a a' ∧ ¬ empty a'}. ∃ s' ∈
  set-mset wait'. s ⊲ s'
  shows worklist-start-subsumed (insert a passed) wait'

```

$\langle proof \rangle$

lemma aux4:

assumes worklist-inv-frontier passed $\{\#\}$ reachable x worklist-start-subsumed passed $\{\#\}$
 $\text{passed} \subseteq \text{Collect reachable}$
shows $\exists x' \in \text{passed}. x \preceq x'$
 $\langle proof \rangle$

theorem worklist-algo-correct:

$\text{worklist-algo} \leq \text{SPEC} (\lambda \text{ brk. } \text{brk} \longleftrightarrow F\text{-reachable})$

$\langle proof \rangle$

lemmas [refine-vcg] = worklist-algo-correct[THEN Orderings.order.trans]

end — Context

end — Search Space

context Search-Space"-Defs

begin

definition worklist-inv-frontier' passed wait =

$(\forall a \in \text{passed}. \forall a'. E a a' \wedge \neg \text{empty } a' \longrightarrow (\exists b' \in \text{passed} \cup \text{set-mset wait}. a' \preceq b'))$

definition worklist-start-subsumed' passed wait = $(\exists a \in \text{passed} \cup \text{set-mset wait}. a_0 \preceq a)$

definition worklist-inv' $\equiv \lambda (\text{passed}, \text{wait}, \text{brk})$.

$\text{worklist-inv} (\text{passed}, \text{wait}, \text{brk}) \wedge (\forall a \in \text{passed}. \neg \text{empty } a) \wedge (\forall a \in \text{set-mset wait}. \neg \text{empty } a)$

definition add-succ-spec' wait a $\equiv \text{SPEC} (\lambda (\text{wait}', \text{brk}))$.

$($
 $\text{if } \exists a'. E a a' \wedge F a' \text{ then}$
 $\quad \text{brk}$
 else
 $\quad \neg \text{brk} \wedge \text{set-mset wait}' \subseteq \text{set-mset wait} \cup \{a'. E a a'\} \wedge$
 $\quad (\forall s \in \text{set-mset wait} \cup \{a'. E a a'\}. \exists s' \in \text{set-mset wait}'. s \preceq s')$
 $\quad) \wedge (\forall s \in \text{set-mset wait}'. \neg \text{empty } s)$

)

```
definition worklist-algo' where
  worklist-algo' = do
    {
      if F a0 then RETURN True
      else do {
        let passed = {};
        let wait = {#a0#};
        (passed, wait, brk)  $\leftarrow$  WHILEIT worklist-inv' ( $\lambda$  (passed, wait, brk).
           $\neg$  brk  $\wedge$  wait  $\neq$  {#})
        ( $\lambda$  (passed, wait, brk). do
          {
            (a, wait)  $\leftarrow$  take-from-mset wait;
            ASSERT (reachable a);
            if ( $\exists$  a'  $\in$  passed. a  $\sqsubseteq$  a') then RETURN (passed, wait, brk)
          }
        )
        (passed, wait, False);
        RETURN brk
      }
    }
```

end — Search Space” Defs

```
context Search-Space"-start
begin
```

```
lemma worklist-algo-list-inv-ref[refine]:
  fixes x x'
  assumes
     $\neg F a_0 \neg F a_0$ 
     $(x, x') \in \{((\text{passed}, \text{wait}, \text{brk}), (\text{passed}', \text{wait}', \text{brk}')).$ 
     $\quad \text{passed} = \text{passed}' \wedge \text{wait} = \text{wait}' \wedge \text{brk} = \text{brk}' \wedge (\forall a \in \text{passed}. \neg$ 
     $\quad \text{empty } a)$ 
```

```

 $\wedge (\forall a \in set\text{-}mset\ wait. \neg empty\ a)\}$ 
worklist-inv  $x'$ 
shows worklist-inv'  $x$ 
<proof>

lemma [refine]:
take-from-mset  $wait \leq$ 
 $\Downarrow \{((x, wait), (y, wait')). x = y \wedge wait = wait' \wedge \neg empty\ x \wedge (\forall a \in set\text{-}mset\ wait. \neg empty\ a)\}$ 
(take-from-mset  $wait')$ 
if  $wait = wait' \forall a \in set\text{-}mset\ wait. \neg empty\ a$   $wait \neq \{\#\}$ 
<proof>

```

```

lemma [refine]:
add-succ-spec'  $wait\ x \leq$ 
 $\Downarrow \{(\{wait, wait'\}). wait = wait' \wedge (\forall a \in set\text{-}mset\ wait. \neg empty\ a)\} \times_r$ 
bool-rel
(add-succ-spec  $wait'\ x)$ 
if  $wait = wait'\ x = x' \forall a \in set\text{-}mset\ wait. \neg empty\ a$ 
<proof>

```

```

lemma worklist-algo'-ref[refine]:  $worklist\text{-}algo' \leq \Downarrow Id$  worklist-algo
<proof>

```

end — Search Space” Start

```

context Search-Space''-Defs
begin

definition worklist-algo'' where
worklist-algo''  $\equiv$ 
if empty a0 then RETURN False else worklist-algo'

```

end — Search Space” Defs

```

context Search-Space''-finite-strict
begin

lemma worklist-algo''-correct:
worklist-algo''  $\leq SPEC (\lambda brk. brk \longleftrightarrow F\text{-reachable})$ 
<proof>

```

end — Search Space” (strictly finite)

end — End of Theory

```
theory Worklist-Subsumption1
  imports Worklist-Subsumption-Multiset
begin
```

4.3 From Multisets to Lists

```
Utilities definition take-from-list where
  take-from-list s = ASSERT (s ≠ []) ≫ SPEC (λ (x, s'). s = x # s')
```

```
lemma take-from-list-correct:
  assumes s ≠ []
  shows take-from-list s ≤ SPEC (λ (x, s'). s = x # s')
⟨proof⟩
```

```
lemmas [refine-vcg] = take-from-list-correct[THEN order.trans]
```

```
context Search-Space-Defs-Empty
begin
```

```
definition worklist-inv-frontier-list passed wait =
  ( ∀ a ∈ passed. ∀ a'. E a a' ∧ ¬ empty a' → ( ∃ b' ∈ passed ∪ set wait. a' ⊢ b'))
```

```
definition start-subsumed-list passed wait = ( ∃ a ∈ passed ∪ set wait. a₀ ⊢ a)
```

```
definition worklist-inv-list ≡ λ (passed, wait, brk).
  passed ⊆ Collect reachable ∧
  (brk → ( ∃ f. reachable f ∧ F f)) ∧
  (¬ brk →
    worklist-inv-frontier-list passed wait
    ∧ ( ∀ a ∈ passed ∪ set wait. ¬ F a)
    ∧ start-subsumed-list passed wait
    ∧ set wait ⊆ Collect reachable)
  ∧ ( ∀ a ∈ passed. ¬ empty a) ∧ ( ∀ a ∈ set wait. ¬ empty a)
```

```

definition add-succ-spec-list wait a ≡ SPEC (λ(wait',brk).
(
  if ∃ a'. E a a' ∧ F a' then
    brk
  else
    ¬brk ∧ set wait' ⊆ set wait ∪ {a' . E a a'} ∧
    (∀ s ∈ set wait ∪ {a' . E a a' ∧ ¬ empty a'}). ∃ s' ∈ set wait'. s ⊣ s')
) ∧ (∀ s ∈ set wait'. ¬ empty s)
)

```

end — Search Space Empty Defs

```

context Search-Space"-Defs
begin
  definition worklist-algo-list where
    worklist-algo-list = do
    {
      if F a0 then RETURN True
      else do {
        let passed = {};
        let wait = [a0];
        (passed, wait, brk) ← WHILEIT worklist-inv-list (λ (passed, wait,
brk). ¬ brk ∧ wait ≠ [] )
          (λ (passed, wait, brk). do
          {
            (a, wait) ← take-from-list wait;
            ASSERT (reachable a);
            if (∃ a' ∈ passed. a ⊣ a') then RETURN (passed, wait, brk)
          }
        )
        (passed, wait, False);
        RETURN brk
      }
    }

```

end — Search Space” Defs

```

context Search-Space"-pre
begin

lemma worklist-algo-list-inv-ref:
  fixes x x'
  assumes
     $\neg F a_0 \neg F a_0$ 
     $(x, x') \in \{((\text{passed}, \text{wait}, \text{brk}), (\text{passed}', \text{wait}', \text{brk}')). \text{passed} = \text{passed}' \wedge$ 
     $mset \text{wait} = \text{wait}' \wedge \text{brk} = \text{brk}'\}$ 
    worklist-inv' x'
  shows worklist-inv-list x
  ⟨proof⟩

lemma take-from-list-take-from-mset-ref[refine]:
  take-from-list xs ≤ ↓ {((x, xs), (y, m)). x = y ∧ mset xs = m} (take-from-mset
  m)
  if mset xs = m
  ⟨proof⟩

lemma add-succ-spec-list-add-succ-spec-ref[refine]:
  add-succ-spec-list xs b ≤ ↓ {((xs, b), (m, b')). mset xs = m ∧ b = b'}
  (add-succ-spec' m b')
  if mset xs = m b = b'
  ⟨proof⟩

lemma worklist-algo-list-ref[refine]: worklist-algo-list ≤ ↓ Id worklist-algo'
  ⟨proof⟩

end — Search Space"

```

4.4 Towards an Implementation

```

context Worklist1-Defs
begin

definition
  add-succ1 wait a ≡
  nfoldli (succs a) ( $\lambda(\cdot, \text{brk}). \neg \text{brk}$ )
  ( $\lambda a (\text{wait}, \text{brk})$ ).
  do {
    ASSERT ( $\forall x \in \text{set wait}. \neg \text{empty } x$ );
    if F a then RETURN (wait, True) else RETURN (
      if ( $\exists x \in \text{set wait}. a \preceq x \wedge \neg x \preceq a$ )  $\vee \text{empty } a$ 

```

```

    then  $[x \leftarrow \text{wait}. \neg x \preceq a]$ 
    else  $a \# [x \leftarrow \text{wait}. \neg x \preceq a], \text{False}$ )
}
)
( $\text{wait}, \text{False}$ )

end

context Worklist2-Defs
begin

definition
 $\text{add-succ1}' \text{ wait } a \equiv$ 
 $\text{nfoldli} (\text{succs } a) (\lambda(-, \text{brk}). \neg \text{brk})$ 
 $(\lambda a (\text{wait}, \text{brk}).$ 
 $\text{if } F a \text{ then RETURN } (\text{wait}, \text{True}) \text{ else RETURN } ($ 
 $\text{if empty } a$ 
 $\text{then wait}$ 
 $\text{else if } \exists x \in \text{set wait}. a \trianglelefteq x \wedge \neg x \trianglelefteq a$ 
 $\text{then } [x \leftarrow \text{wait}. \neg x \trianglelefteq a]$ 
 $\text{else } a \# [x \leftarrow \text{wait}. \neg x \trianglelefteq a], \text{False})$ 
)
( $\text{wait}, \text{False}$ )

end

context Worklist1
begin

lemma add-succ1-ref[refine]:
 $\text{add-succ1 wait } a \leq \Downarrow(\text{Id} \times_r \text{bool-rel}) (\text{add-succ-spec-list wait}' a')$ 
if ( $\text{wait}, \text{wait}' \in \text{Id}$   $(a, a') \in b\text{-rel}$   $\text{Id reachable } \forall x \in \text{set wait}'. \neg \text{empty } x$ 
 $\langle \text{proof} \rangle$ 

end

context Worklist2
begin

lemma add-succ1'-ref[refine]:
 $\text{add-succ1}' \text{ wait } a \leq \Downarrow(\text{Id} \times_r \text{bool-rel}) (\text{add-succ1 wait}' a')$ 
if ( $\text{wait}, \text{wait}' \in \text{Id}$   $(a, a') \in b\text{-rel}$   $\text{Id reachable } \forall x \in \text{set wait}'. \neg \text{empty } x$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma add-succ1'-ref'[refine]:
  add-succ1' wait a  $\leq \Downarrow(Id \times_r \text{bool-rel})$  (add-succ-spec-list wait' a')
  if (wait,wait') $\in Id$  (a,a') $\in b\text{-rel}$  Id reachable  $\forall x \in \text{set wait}'$ .  $\neg \text{empty } x$ 
   $\langle proof \rangle$ 

definition worklist-algo1' where
  worklist-algo1' = do
    {
      if F a0 then RETURN True
      else do {
        let passed = {};
        let wait = [a0];
        (passed, wait, brk)  $\leftarrow$  WHILEIT worklist-inv-list ( $\lambda$  (passed, wait,
        brk).  $\neg brk \wedge wait \neq []$ )
        ( $\lambda$  (passed, wait, brk). do
          {
            (a, wait)  $\leftarrow$  take-from-list wait;
            if ( $\exists a' \in passed$ . a  $\leq a'$ ) then RETURN (passed, wait, brk)
        else
          do
            {
              (wait,brk)  $\leftarrow$  add-succ1' wait a;
              let passed = insert a passed;
              RETURN (passed, wait, brk)
            }
        )
        (passed, wait, False);
        RETURN brk
      }
    }
  
```

```

lemma take-from-list-ref[refine]:
  take-from-list wait  $\leq$ 
   $\Downarrow \{(x, wait), (y, wait')\}. x = y \wedge wait = wait' \wedge \neg \text{empty } x \wedge (\forall a \in \text{set wait}. \neg \text{empty } a)\}$ 
  (take-from-list wait')
  if wait = wait'  $\forall a \in \text{set wait}$ .  $\neg \text{empty } a$  wait  $\neq []$ 
   $\langle proof \rangle$ 

```

```

lemma worklist-algo1-list-ref[refine]: worklist-algo1'  $\leq \Downarrow Id$  worklist-algo-list
   $\langle proof \rangle$ 

```

```

definition worklist-algo1 where
  worklist-algo1 ≡ if empty a0 then RETURN False else worklist-algo1'

lemma worklist-algo1-ref[refine]: worklist-algo1 ≤ ↓Id worklist-algo"
  ⟨proof⟩

end — Worklist2

context Worklist3-Defs
begin

definition
  add-succ2 wait a ≡
    nfoldli (succs a) (λ(‐,brk). ‐brk)
    (λa (wait,brk).
      if empty a then RETURN (wait, False)
      else if F' a then RETURN (wait, True)
      else RETURN (
        if ∃ x ∈ set wait. a ⊲= x ∧ ¬ x ⊲= a
        then [x ← wait. ¬ x ⊲= a]
        else a # [x ← wait. ¬ x ⊲= a], False)
    )
  (wait, False)

definition
  filter-insert-wait wait a ≡
    if ∃ x ∈ set wait. a ⊲= x ∧ ¬ x ⊲= a
    then [x ← wait. ¬ x ⊲= a]
    else a # [x ← wait. ¬ x ⊲= a]

end

context Worklist3
begin

lemma filter-insert-wait-alt-def:
  filter-insert-wait wait a = (
    let
      (f, xs) =
        fold (λ x (f, xs). if x ⊲= a then (f, xs) else (f ∨ a ⊲= x, x # xs))
    wait (False, [])
    in

```

if f then $\text{rev } xs$ else $a \# \text{rev } xs$
)

$\langle proof \rangle$

lemma *add-succ2-alt-def*:

add-succ2 wait a \equiv
 $nfoldli (\text{succs } a) (\lambda(-, brk). \neg brk)$
 $(\lambda a (\text{wait}, brk).$
 $\quad \text{if empty } a \text{ then RETURN } (\text{wait}, \text{False})$
 $\quad \text{else if } F' a \text{ then RETURN } (\text{wait}, \text{True})$
 $\quad \text{else RETURN } (\text{filter-insert-wait } \text{wait } a, \text{False})$
 $)$
 $(\text{wait}, \text{False})$

$\langle proof \rangle$

lemma *add-succ2-ref[refine]*:

add-succ2 wait a $\leq \Downarrow(\text{Id} \times_r \text{bool-rel}) (\text{add-succ1}' \text{ wait}' a')$
if $(\text{wait}, \text{wait}') \in \text{Id}$ $(a, a') \in \text{Id}$
 $\langle proof \rangle$

definition *worklist-algo2'* **where**

worklist-algo2' = *do*
 $\{$
 $\quad \text{if } F' a_0 \text{ then RETURN True}$
 $\quad \text{else do } \{$
 $\quad \quad \text{let } \text{passed} = \{\};$
 $\quad \quad \text{let } \text{wait} = [a_0];$
 $\quad \quad (\text{passed}, \text{wait}, \text{brk}) \leftarrow \text{WHILEIT } \text{worklist-inv-list } (\lambda (\text{passed}, \text{wait}, \text{brk}). \neg \text{brk} \wedge \text{wait} \neq [])$
 $\quad \quad (\lambda (\text{passed}, \text{wait}, \text{brk}). \text{do}$
 $\quad \quad \{$
 $\quad \quad \quad (a, \text{wait}) \leftarrow \text{take-from-list } \text{wait};$
 $\quad \quad \quad \text{if } (\exists a' \in \text{passed}. a \trianglelefteq a') \text{ then RETURN } (\text{passed}, \text{wait}, \text{brk})$
 $\quad \quad \text{else}$
 $\quad \quad \quad \text{do}$
 $\quad \quad \quad \{$
 $\quad \quad \quad \quad (\text{wait}, \text{brk}) \leftarrow \text{add-succ2 wait } a;$
 $\quad \quad \quad \quad \text{let } \text{passed} = \text{insert } a \text{ passed};$
 $\quad \quad \quad \quad \text{RETURN } (\text{passed}, \text{wait}, \text{brk})$
 $\quad \quad \quad \}$
 $\quad \quad \}$
 $\quad \}$
 $(\text{passed}, \text{wait}, \text{False});$

```

    RETURN brk
}
}

lemma worklist-algo2'-ref[refine]: worklist-algo2'  $\leq \Downarrow \text{Id}$  worklist-algo1' if
¬ empty a0
⟨proof⟩

definition worklist-algo2 where
  worklist-algo2 ≡ if empty a0 then RETURN False else worklist-algo2'

lemma worklist-algo2-ref[refine]: worklist-algo2  $\leq \Downarrow \text{Id}$  worklist-algo"
⟨proof⟩
end — Worklist3

end — Theory
theory Worklist-Subsumption-Impl1
  imports Refine-Imperative-HOL.IICF Worklist-Subsumption1
begin

```

4.5 Implementation on Lists

```

lemma list-filter-foldli:
   $[x \leftarrow xs. P x] = rev (foldli xs (\lambda x. True) (\lambda x xs. if P x then x \# xs else xs) [])$ 
  (is - = rev (foldli xs ?c ?f []))
⟨proof⟩

context notes [split!] = list.split begin
  sepref-decl-op list-hdtl:  $\lambda (x \# xs) \Rightarrow (x, xs) :: [\lambda l. l \neq []]_f \langle A \rangle \text{list-rel} \rightarrow A \times_r \langle A \rangle \text{list-rel}$ 
  ⟨proof⟩
end

context Worklist4-Impl
begin
  sepref-register PR-CONST a0 PR-CONST F' PR-CONST ( $\trianglelefteq$ ) PR-CONST
  succs PR-CONST empty

  lemma [def-pat-rules]:
    a0 ≡ UNPROTECT a0 F' ≡ UNPROTECT F' ( $\trianglelefteq$ ) ≡ UNPROTECT
    ( $\trianglelefteq$ ) succs ≡ UNPROTECT succs
    empty ≡ UNPROTECT empty

```

$\langle proof \rangle$

lemma *take-from-list-alt-def*:

take-from-list xs = do {- ← ASSERT (xs ≠ []); RETURN (hd-tl xs)}
 $\langle proof \rangle$

lemma [*safe-constraint-rules*]: *CN-FALSE is-pure A \implies is-pure A* $\langle proof \rangle$

sepref-thm *filter-insert-wait-impl* **is**

*uncurry (RETURN oo PR-CONST filter-insert-wait) :: (list-assn A)^d *_a*
 $A^d \rightarrow_a list\text{-assn } A$
 $\langle proof \rangle$

concrete-definition (in -) *filter-insert-wait-impl*

uses *Worklist4-Impl.filter-insert-wait-impl.refine-raw* **is** (*uncurry ?f, -*)
 $\in -$

lemmas [*sepref-fr-rules*] = *filter-insert-wait-impl.refine[OF Worklist4-Impl-axioms]*

sepref-register *filter-insert-wait*

lemmas [*sepref-fr-rules*] = *hd-tl-hnr*

sepref-thm *worklist-algo2-impl* **is** *uncurry0 worklist-algo2 :: unit-assn^k*
 $\rightarrow_a bool\text{-assn}$
 $\langle proof \rangle$

concrete-definition (in -) *worklist-algo2-impl*

for *Lei a₀i Fi succsi emptyi*

uses *Worklist4-Impl.worklist-algo2-impl.refine-raw* **is** (*uncurry0 ?f,-*) $\in -$

end — *Worklist4 Impl*

context *Worklist4-Impl-finite-strict*
begin

lemma *worklist-algo2-impl-hnr-F-reachable*:

(uncurry0 (worklist-algo2-impl Lei a₀i Fi succsi emptyi), uncurry0 (RETURN F-reachable))
 $\in unit\text{-assn}^k \rightarrow_a bool\text{-assn}$
 $\langle proof \rangle$

```

sepref-decl-op  $F\text{-reachable} :: \text{bool-rel } \langle \text{proof} \rangle$ 
lemma [def-pat-rules]:  $F\text{-reachable} \equiv \text{op-}F\text{-reachable } \langle \text{proof} \rangle$ 

```

```

lemma  $\text{hnr}\text{-op-}F\text{-reachable}:$ 
  assumes  $\text{GEN-ALGO } a_0 i (\lambda a_0 i. (\text{uncurry0 } a_0 i, \text{uncurry0 } (\text{RETURN } a_0)) \in \text{unit-assn}^k \rightarrow_a A)$ 
  assumes  $\text{GEN-ALGO } F i (\lambda F i. (F i, \text{RETURN } o F') \in A^k \rightarrow_a \text{bool-assn})$ 
  assumes  $\text{GEN-ALGO } L e i (\lambda L e i. (\text{uncurry } L e i, \text{uncurry } (\text{RETURN } o o (\triangleleft))) \in A^k *_a A^k \rightarrow_a \text{bool-assn})$ 
  assumes  $\text{GEN-ALGO } s u c c s i (\lambda s u c c s i. (s u c c s i, \text{RETURN } o s u c c s) \in A^k \rightarrow_a \text{list-assn } A)$ 
  assumes  $\text{GEN-ALGO } e m p t y i (\lambda F i. (F i, \text{RETURN } o e m p t y) \in A^k \rightarrow_a \text{bool-assn})$ 
  shows
     $(\text{uncurry0 } (\text{worklist-algo2-impl } L e i a_0 i F i s u c c s i e m p t y i), \text{uncurry0 } (\text{RETURN } (\text{PR-CONST op-}F\text{-reachable})))$ 
     $\in \text{unit-assn}^k \rightarrow_a \text{bool-assn}$ 
     $\langle \text{proof} \rangle$ 

sepref-decl-impl  $\text{hnr}\text{-op-}F\text{-reachable } \langle \text{proof} \rangle$ 

end — Worklist4 (strictly finite)

end — End of Theory

```

5 Checking Always Properties

5.1 Abstract Implementation

```

theory Liveness-Subsumption
imports
  Refine-Imperative-HOL.Sepref Worklist-Common Worklist-Algorithms-Subsumption-Graphs
begin

context Search-Space-Nodes-Defs
begin

sublocale  $G: \text{Subgraph-Node-Defs } \langle \text{proof} \rangle$ 

no-notation  $E (- \rightarrow - [100, 100] 40)$ 
notation  $G.E' (- \rightarrow - [100, 100] 40)$ 
no-notation  $\text{reaches} (- \rightarrow* - [100, 100] 40)$ 
notation  $G.G'.\text{reaches} (- \rightarrow* - [100, 100] 40)$ 

```

```

no-notation reaches1 ( $\cdot \rightarrow^+ \cdot [100, 100] 40$ )
notation G.G'.reaches1 ( $\cdot \rightarrow^+ \cdot [100, 100] 40$ )

```

Plain set membership is also an option.

```
definition check-loop v ST = ( $\exists v' \in \text{set } ST. v' \preceq v$ )
```

```

definition dfs :: 'a set  $\Rightarrow$  (bool  $\times$  'a set) nres where
dfs P  $\equiv$  do {
  ( $P, ST, r$ )  $\leftarrow$  RECT ( $\lambda$ dfs ( $P, ST, v$ )).
  do {
    if check-loop v ST then RETURN ( $P, ST, \text{True}$ )
    else do {
      if  $\exists v' \in P. v \preceq v'$  then
        RETURN ( $P, ST, \text{False}$ )
      else do {
        let ST = v # ST;
        ( $P, ST', r$ )  $\leftarrow$ 
          FOREACHcd { $v'. v \rightarrow v'$ } ( $\lambda(\cdot, \cdot, b). \neg b$ ) ( $\lambda v' (P, ST, \cdot)$ ). dfs
( $P, ST, v'$ ) ( $P, ST, \text{False}$ );
        ASSERT ( $ST' = ST$ );
        let ST = tl ST';
        let P = insert v P;
        RETURN ( $P, ST, r$ )
      }
    }
  }
} (P, [], a0);
RETURN (r, P)
}

```

```

definition liveness-compatible where liveness-compatible P  $\equiv$ 
( $\forall x x' y. x \rightarrow y \wedge x' \in P \wedge x \preceq x' \longrightarrow (\exists y' \in P. y \preceq y')$ )  $\wedge$ 
( $\forall s' \in P. \forall s. s \preceq s' \wedge V s \longrightarrow$ 
 $\neg (\lambda x y. x \rightarrow y \wedge (\exists x' \in P. \exists y' \in P. x \preceq x' \wedge y \preceq y'))^{++} s s$ )

```

```

definition dfs-spec  $\equiv$ 
SPEC ( $\lambda (r, P)$ .
  ( $r \longrightarrow (\exists x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x)$ )
 $\wedge (\neg r \longrightarrow \neg (\exists x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x)$ 
 $\wedge \text{liveness-compatible } P \wedge P \subseteq \{x. V x\}$ 
)
)

```

```

end

locale Liveness-Search-Space-pre =
  Search-Space-Nodes +
  assumes finite-V: finite {a. V a}
begin

lemma check-loop-loop:  $\exists v' \in \text{set } ST. v' \preceq v \text{ if } \text{check-loop } v ST$ 
   $\langle \text{proof} \rangle$ 

lemma check-loop-no-loop:  $v \notin \text{set } ST \text{ if } \neg \text{check-loop } v ST$ 
   $\langle \text{proof} \rangle$ 

lemma mono:
   $a \preceq b \implies a \rightarrow a' \implies V b \implies \exists b'. b \rightarrow b' \wedge a' \preceq b'$ 
   $\langle \text{proof} \rangle$ 

context
  fixes P :: 'a set and E1 E2 :: 'a  $\Rightarrow$  'a bool and v :: 'a
  defines [simp]: E1  $\equiv \lambda x y. x \rightarrow y \wedge (\exists x' \in P. x \preceq x') \wedge (\exists x \in P. y \preceq x)$ 
  defines [simp]: E2  $\equiv \lambda x y. x \rightarrow y \wedge (x \preceq v \vee (\exists xa \in P. x \preceq xa)) \wedge (y \preceq v \vee (\exists x \in P. y \preceq x))$ 
begin

interpretation G: Graph-Defs E1  $\langle \text{proof} \rangle$ 
interpretation G': Graph-Defs E2  $\langle \text{proof} \rangle$ 
interpretation SG: Subgraph E2 E1  $\langle \text{proof} \rangle$ 
interpretation SG': Subgraph-Start E a0 E1  $\langle \text{proof} \rangle$ 
interpretation SG'': Subgraph-Start E a0 E2  $\langle \text{proof} \rangle$ 

lemma G-subgraph-reaches[intro]:
  G.G'.reaches a b if G.reaches a b
   $\langle \text{proof} \rangle$ 

lemma G'-subgraph-reaches[intro]:
  G.G'.reaches a b if G'.reaches a b
   $\langle \text{proof} \rangle$ 

lemma liveness-compatible-extend:
  assumes
    V s V v s  $\preceq v$ 
    liveness-compatible P
     $\forall va. v \rightarrow va \longrightarrow (\exists x \in P. va \preceq x)$ 
    E2++ s s

```

```

shows False
⟨proof⟩
include graph-automation-aggressive
⟨proof⟩
including subgraph-automation ⟨proof⟩
including subgraph-automation ⟨proof⟩

lemma liveness-compatible-extend':
assumes
   $V s \vee v s \preceq s' s' \in P$ 
   $\forall va. v \rightarrow va \longrightarrow (\exists x \in P. va \preceq x)$ 
  liveness-compatible  $P$ 
   $E2^{++} s s$ 
shows False
⟨proof⟩
including graph-automation-aggressive
⟨proof⟩

end

lemma liveness-compatible-cycle-start:
assumes
  liveness-compatible  $P a \rightarrow^* x x \rightarrow^+ x a \preceq s s \in P$ 
shows False
⟨proof⟩
include graph-automation-aggressive
⟨proof⟩

lemma liveness-compatible-inv:
assumes  $V v$  liveness-compatible  $P \forall va. v \rightarrow va \longrightarrow (\exists x \in P. va \preceq x)$ 
shows liveness-compatible (insert  $v P$ )
⟨proof⟩

interpretation subsumption: Subsumption-Graph-Pre-Nodes ( $\preceq$ ) ( $\prec$ )  $E V$ 
⟨proof⟩

lemma pre-cycle-cycle:
 $(\exists x x'. a_0 \rightarrow^* x \wedge x \rightarrow^+ x' \wedge x \preceq x') \longleftrightarrow (\exists x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x)$ 
⟨proof⟩

lemma reachable-alt:
 $V v$  if  $V a_0 a_0 \rightarrow^* v$ 
⟨proof⟩

```

```

lemma dfs-correct:
  dfs  $P \leq \text{dfs-spec}$  if  $V a_0$  liveness-compatible  $P$   $P \subseteq \{x. V x\}$ 
   $\langle proof \rangle$ 
    including graph-automation
     $\langle proof \rangle$ 

end

locale Liveness-Search-Space-Defs =
  Search-Space-Nodes-Defs +
  fixes succs :: 'a  $\Rightarrow$  'a list
begin

definition dfs1 :: 'a set  $\Rightarrow$  (bool  $\times$  'a set) nres where
  dfs1  $P \equiv$  do {
     $(P, ST, r) \leftarrow \text{RECT} (\lambda \text{dfs} (P, ST, v).$ 
    do {
      ASSERT ( $V v \wedge \text{set } ST \subseteq \{x. V x\}$ );
      if check-loop  $v$   $ST$  then RETURN ( $P, ST, \text{True}$ )
      else do {
        if  $\exists v' \in P. v \preceq v'$  then
          RETURN ( $P, ST, \text{False}$ )
        else do {
          let  $ST = v \# ST$ ;
           $(P, ST', r) \leftarrow$ 
            nfoldli (succs  $v$ ) ( $\lambda(-, -, b). \neg b$ ) ( $\lambda v' (P, ST, -). \text{dfs} (P, ST, v')$ )
          ( $P, ST, \text{False}$ );
          ASSERT ( $ST' = ST$ );
          let  $ST = tl ST'$ ;
          let  $P = \text{insert } v P$ ;
          RETURN ( $P, ST, r$ )
        }
      }
    }
  )
  ( $P, [], a_0$ );
  RETURN ( $r, P$ )
}

end

locale Liveness-Search-Space =
  Liveness-Search-Space-Defs +
  Liveness-Search-Space-pre +
  assumes succs-correct:  $V a \implies \text{set } (\text{succs } a) = \{x. a \rightarrow x\}$ 

```

assumes *finite- V* : *finite { a . $V a$ }*
begin

— The following complications only arise because we add the assertion in this refinement step.

lemma *succs-ref[refine]*:

$(\text{succs } a, \text{succs } b) \in \langle \text{Id} \rangle \text{list-rel}$ **if** $(a, b) \in \text{Id}$
 $\langle \text{proof} \rangle$

lemma *start-ref[refine]*:

$((P, [], a_0), P, [], a_0) \in \text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \{x. V x\}) \times_r \text{br id} V$
if $V a_0$
 $\langle \text{proof} \rangle$

lemma *refine-aux[refine]*:

$((x, x1c, \text{True}), x', x1a, \text{True}) \in \text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \text{Collect } V) \times_r$
 Id
if $(x1c, x1a) \in \text{br id} (\lambda xs. \text{set } xs \subseteq \{x. V x\}) (x, x') \in \text{Id}$
 $\langle \text{proof} \rangle$

lemma *refine-loop*:

$(\wedge x x'. (x, x') \in \text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \{x. V x\}) \times_r \text{br id} V \implies$
 $\text{dfs}' x \leq \Downarrow (\text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \text{Collect } V) \times_r \text{bool-rel})$
 $(\text{dfs} a x')) \implies$
 $(x, x') \in \text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \{x. V x\}) \times_r \text{br id} V \implies$
 $x2 = (x1a, x2a) \implies$
 $x' = (x1, x2) \implies$
 $x2b = (x1c, x2c) \implies$
 $x = (x1b, x2b) \implies$
 $\text{nfoldli} (\text{succs } x2c) (\lambda(-, -, b). \neg b) (\lambda v' (P, ST, -). \text{dfs}' (P, ST, v')) (x1b,$
 $x2c \# x1c, \text{False})$
 $\leq \Downarrow (\text{Id} \times_r \text{br id} (\lambda xs. \text{set } xs \subseteq \{x. V x\}) \times_r \text{bool-rel})$
 $(\text{FOREACHcd} \{v'. x2a \rightarrow v'\} (\lambda(-, -, b). \neg b)$
 $(\lambda v' (P, ST, -). \text{dfs} a (P, ST, v')) (x1, x2a \# x1a, \text{False}))$
 $\langle \text{proof} \rangle$

lemma *dfs1-dfs-ref[refine]*:

$\text{dfs1 } P \leq \Downarrow \text{Id} (\text{dfs } P)$ **if** $V a_0$
 $\langle \text{proof} \rangle$

end

end

5.2 Implementation on Maps

```

theory Liveness-Subsumption-Map
  imports Liveness-Subsumption Worklist-Common
begin

locale Liveness-Search-Space-Key-Defs =
  Liveness-Search-Space-Defs E for E :: 'v ⇒ 'v ⇒ bool +
  fixes key :: 'v ⇒ 'k
  fixes subsumes' :: 'v ⇒ 'v ⇒ bool (infix ≤ 50)
begin

sublocale Search-Space-Key-Defs ⟨proof⟩

definition check-loop-list v ST = (Ǝ v' ∈ set ST. v' ≤ v)

definition insert-map-set v S ≡
  let k = key v; S' = (case S k of Some S ⇒ S | None ⇒ {}) in S(k ↦ (insert v S'))

definition push-map-list v S ≡
  let k = key v; S' = (case S k of Some S ⇒ S | None ⇒ []) in S(k ↦ v # S')

definition check-subsumption-map-set v S ≡
  let k = key v; S' = (case S k of Some S ⇒ S | None ⇒ {}) in (Ǝ x ∈ S'. v ≤ x)

definition check-subsumption-map-list v S ≡
  let k = key v; S' = (case S k of Some S ⇒ S | None ⇒ []) in (Ǝ x ∈ set S'. x ≤ v)

definition pop-map-list v S ≡
  let k = key v; S' = (case S k of Some S ⇒ tl S | None ⇒ []) in S(k ↦ S')

definition dfs-map :: ('k → 'v set) ⇒ (bool × ('k → 'v set)) nres where
  dfs-map P ≡ do {
    (P,ST,r) ← RECT (λdfs (P,ST,v).
      if check-subsumption-map-list v ST then RETURN (P, ST, True)
    )
  }

```

```

else do {
  if check-subsumption-map-set v P then
    RETURN (P, ST, False)
  else do {
    let ST = push-map-list v ST;
    (P, ST, r) ←
      nfoldli (succs v) (λ(‐,‐,b). ‐b) (λv' (P,ST,‐). dfs (P,ST,v'))
(P,ST,False);
    let ST = pop-map-list v ST;
    let P = insert-map-set v P;
    RETURN (P, ST, r)
  }
}
) (P,(Map.empty::('k → 'v list)),a₀);
RETURN (r, P)
}

end

locale Liveness-Search-Space-Key =
Liveness-Search-Space + Liveness-Search-Space-Key-Defs +
assumes subsumes-key[intro, simp]:  $a \leq b \implies \text{key } a = \text{key } b$ 
assumes V-subsumes':  $V a \implies a \preceq b \longleftrightarrow a \leq b$ 
begin

definition
irrefl-trans-on R S ≡ ( $\forall x \in S. \neg R x x$ )  $\wedge$  ( $\forall x \in S. \forall y \in S. \forall z \in S.$ 
 $R x y \wedge R y z \longrightarrow R x z$ )

definition
map-list-rel =
{(m, xs).  $\bigcup (\text{set } 'ran m) = \text{set } xs \wedge (\forall k. \forall x. m k = \text{Some } x \longrightarrow (\forall$ 
 $v \in \text{set } x. \text{key } v = k))$ 
 $\wedge (\exists R. \text{irrefl-trans-on } R (\text{set } xs)$ 
 $\wedge (\forall k. \forall x. m k = \text{Some } x \longrightarrow \text{sorted-wrt } R x) \wedge \text{sorted-wrt } R$ 
 $xs)$ 
 $\wedge \text{distinct } xs$ 
}

definition list-set-hd-rel x ≡ {(l, s). set l = s  $\wedge$  distinct l  $\wedge$  l ≠ []  $\wedge$  hd l
= x}

lemma empty-map-list-rel:
(Map.empty, []) ∈ map-list-rel

```

$\langle proof \rangle$

lemma *rel-start*[refine]:

$((P, \text{Map.empty}, a_0), P', [], a_0) \in \text{map-set-rel} \times_r \text{map-list-rel} \times_r \text{Id}$ **if**

$(P, P') \in \text{map-set-rel}$

$\langle proof \rangle$

lemma *refine-True*:

$(x1b, x1) \in \text{map-set-rel} \implies (x1c, x1a) \in \text{map-list-rel}$

$\implies ((x1b, x1c, \text{True}), x1, x1a, \text{True}) \in \text{map-set-rel} \times_r \text{map-list-rel} \times_r \text{Id}$

$\langle proof \rangle$

lemma *check-subsumption-ref*[refine]:

$V x2a \implies (x1b, x1) \in \text{map-set-rel} \implies \text{check-subsumption-map-set } x2a$

$x1b = (\exists x \in x1. x2a \preceq x)$

$\langle proof \rangle$

lemma *check-subsumption'-ref*[refine]:

$\text{set } xs \subseteq \{x. V x\} \implies (m, xs) \in \text{map-list-rel}$

$\implies \text{check-subsumption-map-list } x m = \text{check-loop } x xs$

$\langle proof \rangle$

lemma *not-check-loop-non-elem*:

$x \notin \text{set } xs$ **if** $\neg \text{check-loop-list } x xs$

$\langle proof \rangle$

lemma *insert-ref*[refine]:

$(x1b, x1) \in \text{map-set-rel} \implies$

$(x1c, x1a) \in \langle \text{Id} \rangle \text{list-set-rel} \implies$

$\neg \text{check-loop-list } x2a x1c \implies$

$((x1b, x2a \# x1c, \text{False}), x1, \text{insert } x2a x1a, \text{False}) \in \text{map-set-rel} \times_r$

$\text{list-set-hd-rel } x2a \times_r \text{Id}$

$\langle proof \rangle$

lemma *insert-map-set-ref*:

$(m, S) \in \text{map-set-rel} \implies (\text{insert-map-set } x m, \text{insert } x S) \in \text{map-set-rel}$

$\langle proof \rangle$

lemma *map-list-rel-memD*:

assumes $(m, xs) \in \text{map-list-rel}$ $x \in \text{set } xs$

obtains xs' **where** $x \in \text{set } xs'$ $m(\text{key } x) = \text{Some } xs'$

$\langle proof \rangle$

lemma *map-list-rel-memI*:

$(m, xs) \in \text{map-list-rel} \implies m k = \text{Some } xs' \implies x' \in \text{set } xs' \implies x' \in \text{set } xs$

$\langle \text{proof} \rangle$

lemma *map-list-rel-grouped-by-key*:

$x' \in \text{set } xs' \implies (m, xs) \in \text{map-list-rel} \implies m k = \text{Some } xs' \implies \text{key } x' = k$

$\langle \text{proof} \rangle$

lemma *map-list-rel-not-memI*:

$k \neq \text{key } x \implies m k = \text{Some } xs' \implies (m, xs) \in \text{map-list-rel} \implies x \notin \text{set } xs'$

$\langle \text{proof} \rangle$

lemma *map-list-rel-not-memI2*:

$x \notin \text{set } xs' \text{ if } m a = \text{Some } xs' (m, xs) \in \text{map-list-rel} x \notin \text{set } xs$

$\langle \text{proof} \rangle$

lemma *push-map-list-ref*:

$x \notin \text{set } xs \implies (m, xs) \in \text{map-list-rel} \implies (\text{push-map-list } x m, x \# xs) \in \text{map-list-rel}$

$\langle \text{proof} \rangle$

lemma *insert-map-set-ref'[refine]*:

$(x1b, x1) \in \text{map-set-rel} \implies$

$(x1c, x1a) \in \text{map-set-rel} \implies$

$\neg \text{check-subsumption}' x2a x1c \implies$

$((x1b, \text{insert-map-set } x2a x1c, \text{False}), x1, \text{insert } x2a x1a, \text{False}) \in \text{map-set-rel}$

$\times_r \text{map-set-rel} \times_r \text{Id}$

$\langle \text{proof} \rangle$

lemma *map-list-rel-check-subsumption-map-list*:

$\text{set } xs \subseteq \{x. V x\} \implies (m, xs) \in \text{map-list-rel} \implies \neg \text{check-subsumption-map-list}$

$x m \implies x \notin \text{set } xs$

$\langle \text{proof} \rangle$

lemma *push-map-list-ref'[refine]*:

$\text{set } x1a \subseteq \{x. V x\} \implies$

$(x1b, x1) \in \text{map-set-rel} \implies$

$(x1c, x1a) \in \text{map-list-rel} \implies$

$\neg \text{check-subsumption-map-list } x2a x1c \implies$

$((x1b, \text{push-map-list } x2a x1c, \text{False}), x1, x2a \# x1a, \text{False}) \in \text{map-set-rel}$

$\times_r \text{map-list-rel} \times_r \text{Id}$

$\langle \text{proof} \rangle$

```

lemma sorted-wrt-tl:
  sorted-wrt R (tl xs) if sorted-wrt R xs
  ⟨proof⟩

lemma irrefl-trans-on-mono:
  irrefl-trans-on R S if irrefl-trans-on R S' S ⊆ S'
  ⟨proof⟩

lemma pop-map-list-ref[refine]:
  (pop-map-list v m, S) ∈ map-list-rel if (m, v # S) ∈ map-list-rel
  ⟨proof⟩

lemma tl-list-set-ref:
  (m, S) ∈ map-set-rel  $\implies$ 
  (st, ST) ∈ list-set-hd-rel x  $\implies$ 
  (tl st, ST - {x}) ∈ ⟨Id⟩ list-set-rel
  ⟨proof⟩

lemma succs-id-ref:
  (succs x, succs x) ∈ ⟨Id⟩ list-rel
  ⟨proof⟩

lemma dfs-map-dfs-refine':
  dfs-map P ≤ ↴ (Id ×r map-set-rel) (dfs1 P') if (P, P') ∈ map-set-rel
  ⟨proof⟩

lemma dfs-map-dfs-refine:
  dfs-map P ≤ ↴ (Id ×r map-set-rel) (dfs P') if (P, P') ∈ map-set-rel V a0
  ⟨proof⟩

end

end

```

5.3 Imperative Implementation

```

theory Liveness-Subsumption-Impl
  imports Liveness-Subsumption-Map Heap-Hash-Map Worklist-Algorithms-Tracing
  begin

```

```

no-notation Ref.update (- := - 62)

```

```

locale Liveness-Search-Space-Key-Impl-Defs =
  Liveness-Search-Space-Key-Defs ----- key for key :: 'a ⇒ 'k +

```

```

fixes A :: 'a ⇒ ('ai :: heap) ⇒ assn
fixes succsi :: 'ai ⇒ 'ai list Heap
fixes a0i :: 'ai Heap
fixes Lei :: 'ai ⇒ 'ai ⇒ bool Heap
fixes keyi :: 'ai ⇒ 'ki :: {hashable, heap} Heap
fixes copyi :: 'ai ⇒ 'ai Heap

locale Liveness-Search-Space-Key-Impl =
  Liveness-Search-Space-Key-Impl-Defs +
  Liveness-Search-Space-Key +
  fixes K
  assumes pure-K[safe-constraint-rules]: is-pure K
  assumes left-unique-K[safe-constraint-rules]: IS-LEFT-UNIQUE (the-pure
  K)
  assumes right-unique-K[safe-constraint-rules]: IS-RIGHT-UNIQUE (the-pure
  K)
  assumes refinements[sepref-fr-rules]:
    (uncurry0 a0i, uncurry0 (RETURN (PR-CONST a0))) ∈ unit-assnk
    →a A
    (uncurry Lei, uncurry (RETURN oo PR-CONST (≤))) ∈ Ak *a Ak →a
    bool-assn
    (succsi, RETURN o PR-CONST succs) ∈ Ak →a list-assn A
    (keyi, RETURN o PR-CONST key) ∈ Ak →a K
    (copyi, RETURN o COPY) ∈ Ak →a A

context Liveness-Search-Space-Key-Defs
begin

```

— The lemma has this form to avoid unwanted eta-expansions. The eta-expansions arise from the type of *insert-map-set v S*.

```

lemma insert-map-set-alt-def: ((), insert-map-set v S) = (
  let
    k = key v; (S', S) = op-map-extract k S
  in
    case S' of
      Some S1 ⇒ ((), S(k ↦ (insert v S1)))
      | None ⇒ ((), S(k ↦ {v}))
)

```

$\langle proof \rangle$

```

lemma check-subsumption-map-set-alt-def: check-subsumption-map-set v S
= (
  let

```

```

 $k = \text{key } v; (S', S) = \text{op-map-extract } k \ S;$ 
 $S1' = (\text{case } S' \text{ of Some } S1 \Rightarrow S1 \mid \text{None} \Rightarrow \{\})$ 
 $\text{in } (\exists x \in S1'. v \leq x)$ 
)

```

$\langle \text{proof} \rangle$

```

lemma check-subsumption-map-set-extract:  $(S, \text{check-subsumption-map-set}$ 
 $v \ S) = ($ 
 $\text{let}$ 
 $k = \text{key } v; (S', S) = \text{op-map-extract } k \ S$ 
 $\text{in } ($ 
 $\text{case } S' \text{ of}$ 
 $\text{Some } S1 \Rightarrow (\text{let } r = (\exists x \in S1. v \leq x) \text{ in } (\text{op-map-update } k \ S1 \ S, r))$ 
 $\mid \text{None} \Rightarrow (S, \text{False})$ 
 $)$ 
 $)$ 

```

$\langle \text{proof} \rangle$

```

lemma check-subsumption-map-list-extract:  $(S, \text{check-subsumption-map-list}$ 
 $v \ S) = ($ 
 $\text{let}$ 
 $k = \text{key } v; (S', S) = \text{op-map-extract } k \ S$ 
 $\text{in } ($ 
 $\text{case } S' \text{ of}$ 
 $\text{Some } S1 \Rightarrow (\text{let } r = (\exists x \in \text{set } S1. x \leq v) \text{ in } (\text{op-map-update } k \ S1 \ S,$ 
 $r))$ 
 $\mid \text{None} \Rightarrow (S, \text{False})$ 
 $)$ 
 $)$ 

```

$\langle \text{proof} \rangle$

```

lemma push-map-list-alt-def:  $(((), \text{push-map-list } v \ S) = ($ 
 $\text{let}$ 
 $k = \text{key } v; (S', S) = \text{op-map-extract } k \ S$ 
 $\text{in}$ 
 $\text{case } S' \text{ of}$ 
 $\text{Some } S1 \Rightarrow (((), S(k \mapsto v \ # \ S1))$ 
 $\mid \text{None} \Rightarrow (((), S(k \mapsto [v])))$ 
)

```

$\langle \text{proof} \rangle$

```

lemma pop-map-list-alt-def: (((), pop-map-list v S) = (
    let
        k = key v; (S', S) = op-map-extract k S
    in
        case S' of
            Some S1 => (((), S(k ↦ if op-list-is-empty S1 then [] else tl S1))
            | None => (((), S(k ↦ [])))
)

```

(proof)

```

lemmas alt-defs =
insert-map-set-alt-def check-subsumption-map-set-extract
check-subsumption-map-list-extract pop-map-list-alt-def push-map-list-alt-def

```

```

lemma dfs-map-alt-def:
dfs-map = (λ P. do {
    (P, ST, r) ← RECT (λdfs (P, ST, v).
        let (ST, b) = (ST, check-subsumption-map-list v ST) in
        if b then RETURN (P, ST, True)
        else do {
            let (P, b1) = (P, check-subsumption-map-set v P) in
            if b1 then
                RETURN (P, ST, False)
            else do {
                let (-, ST1) = (((), push-map-list (COPY v) ST);
                    (P1, ST2, r) ←
                        nfoldli (succs v) (λ(-,-,b). ¬b) (λv' (P, ST, -). dfs (P, ST, v'))
                (P, ST1, False);
                let (-, ST') = (((), pop-map-list (COPY v) ST2);
                    let (-, P') = (((), insert-map-set (COPY v) P1);
                        TRACE (ExploredState);
                        RETURN (P', ST', r)
                )
            }
        )
    )
}
)
(P, Map.empty, a₀);
RETURN (r, P)
)
)
(proof)

```

```

definition dfs-map' where
dfs-map' a P ≡ do {
    (P, ST, r) ← RECT (λdfs (P, ST, v).
        let (ST, b) = (ST, check-subsumption-map-list v ST) in

```

```

if b then RETURN (P, ST, True)
else do {
  let (P, b1) = (P, check-subsumption-map-set v P) in
  if b1 then
    RETURN (P, ST, False)
  else do {
    let (-, ST1) = (((), push-map-list (COPY v) ST);
    (P1, ST2, r) ←
      nfoldli (succs v) (λ(-,-,b). ¬b) (λv' (P,ST,-). dfs (P,ST,v'))
    (P,ST1, False);
    let (-, ST') = (((), pop-map-list (COPY v) ST2);
    let (-, P') = (((), insert-map-set (COPY v) P1);
    TRACE (ExploredState);
    RETURN (P', ST', r)
  }
}
) (P, Map.empty, a);
RETURN (r, P)
}

lemma dfs-map'-id:
dfs-map' a0 = dfs-map
⟨proof⟩

end

definition (in imp-map-delete) [code-unfold]: hms-delete = delete

lemma (in imp-map-delete) hms-delete-rule [sep-heap-rules]:
<hms-assn A m mi> hms-delete k mi <hms-assn A (m(k := None))>t
⟨proof⟩

context imp-map-delete
begin

lemma hms-delete-hnr:
(uncurry hms-delete, uncurry (RETURN oo op-map-delete)) ∈
id-assnk *a (hms-assn A)d →a hms-assn A
⟨proof⟩

sepref-decl-impl delete: hms-delete-hnr uses op-map-delete.fref[where V
= Id] ⟨proof⟩

end

```

```

lemma fold-insert-set:
  fold insert xs S = set xs ∪ S
  ⟨proof⟩

lemma set-alt-def:
  set xs = fold insert xs {}
  ⟨proof⟩

context Liveness-Search-Space-Key-Impl
begin

sepref-register
  PR-CONST a0 PR-CONST (⊐) PR-CONST succs PR-CONST key

lemma [def-pat-rules]:
  a0 ≡ UNPROTECT a0 (⊐) ≡ UNPROTECT (⊐) succs ≡ UNPROTECT
  succs key ≡ UNPROTECT key
  ⟨proof⟩

abbreviation passed-assn ≡ hm.hms-assn' K (lso-assn A)

lemma [intf-of-assn]:
  intf-of-assn (hm.hms-assn' a b) TYPE((aa, bb) i-map)
  ⟨proof⟩

sepref-definition dfs-map-impl is
  PR-CONST dfs-map :: passed-assnd →a prod-assn bool-assn passed-assn
  ⟨proof⟩

sepref-register dfs-map

lemmas [sepref-fr-rules] = dfs-map-impl.refine-raw

lemma passed-empty-refine[sepref-fr-rules]:
  (uncurry0 hm.hms-empty, uncurry0 (RETURN (PR-CONST hm.op-hms-empty)))
  ∈ unit-assnk →a passed-assn
  ⟨proof⟩

sepref-register hm.op-hms-empty

sepref-thm dfs-map-impl' is
  uncurry0 (do {(r, p) ← dfs-map Map.empty; RETURN r}) :: unit-assnk

```

```

 $\rightarrow_a \text{bool-assn}$ 
 $\langle \text{proof} \rangle$ 

sepref-definition  $\text{dfs-map}'\text{-impl}$  is
   $\text{uncurry } \text{dfs-map}'$ 
   $:: A^d *_a (\text{hm.hms-assn}' K (\text{lso-assn } A))^d \rightarrow_a \text{bool-assn} \times_a \text{hm.hms-assn}'$ 
   $K (\text{lso-assn } A)$ 
   $\langle \text{proof} \rangle$ 

concrete-definition (in  $-$ )  $\text{dfs-map-impl}'$ 
  uses Liveness-Searc-Spac-Key-Impl.dfs-map-impl'.refine-raw is ( $\text{uncurry0 }$ 
 $?f,-) \in -$ 

lemma (in Livenes-Searc-Spac-Key)  $\text{dfs-map-empty}$ :
   $\text{dfs-map Map.empty} \leq \Downarrow (\text{bool-rel} \times_r \text{map-set-rel}) \text{dfs-spec}$  if  $V a_0$ 
   $\langle \text{proof} \rangle$ 

lemma (in Livenes-Searc-Spac-Key)  $\text{dfs-map-empty-correct}$ :
   $\text{do } \{(r, p) \leftarrow \text{dfs-map Map.empty; RETURN } r\} \leq \text{SPEC } (\lambda r. r \longleftrightarrow (\exists$ 
 $x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x))$ 
  if  $V a_0$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{dfs-map-impl}'\text{-hnrr}$ :
   $(\text{uncurry0 } (\text{dfs-map-impl}' \text{succsi } a_0 i \text{Lei keyi copyi}),$ 
   $\text{uncurry0 } (\text{SPEC } (\lambda r. r = (\exists x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x)))$ 
   $) \in \text{unit-assn}^k \rightarrow_a \text{bool-assn}$  if  $V a_0$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{dfs-map-impl}'\text{-hoare-triple}$ :
   $\langle \uparrow(V a_0) \rangle$ 
   $\text{dfs-map-impl}' \text{succsi } a_0 i \text{Lei keyi copyi}$ 
   $\langle \lambda r. \uparrow(r \longleftrightarrow (\exists x. a_0 \rightarrow^* x \wedge x \rightarrow^+ x)) \rangle_t$ 
   $\langle \text{proof} \rangle$ 

end

end
theory Next-Key
  imports Heap-Hash-Map
begin

```

6 A Next-Key Operation for Hashmaps

lemma *insert-restrict-ran*:
 $\text{insert } v (\text{ran } (m \setminus \{k\})) = \text{ran } m \text{ if } m k = \text{Some } v$
(proof)

6.1 Definition and Key Properties

definition

```
hm-it-next-key ht = do {
    n ← Array.len (the-array ht);
    if n = 0 then raise (STR "Map is empty!")
    else do {
        i ← hm-it-adjust (n - 1) ht;
        l ← Array.nth (the-array ht) i;
        case l of
            [] ⇒ raise (STR "Map is empty!")
            | (x # _) ⇒ return (fst x)
    }
}
```

lemma *hm-it-next-key-rule*:
 $\langle \text{is-hashmap } m \text{ ht} \rangle \text{ hm-it-next-key ht} \langle \lambda r. \text{is-hashmap } m \text{ ht} * \uparrow (r \in \text{dom } m) \rangle$
if $m \neq \text{Map.empty}$
(proof)

definition

```
next-key m = do {
    ASSERT (m ≠ Map.empty);
    k ← SPEC (λ k. k ∈ dom m);
    RETURN k
}
```

lemma *hm-it-next-key-next-key-aux*:
assumes *is-pure Knofail (next-key m)*
shows
 $\langle \text{hm.assn } K V m mi \rangle$
 $\text{hm-it-next-key } mi$
 $\langle \lambda r. \exists Axa. \text{hm.assn } K V m mi * K xa r * \text{true} * \uparrow (\text{RETURN } xa \leq \text{next-key } m) \rangle$

$\langle proof \rangle$

lemma *hm-it-next-key-next-key*:
assumes *CONSTRAINT is-pure K*
shows $(\text{hm-it-next-key}, \text{next-key}) \in (\text{hm.assn } K \ V)^k \rightarrow_a K$
 $\langle proof \rangle$

lemma *hm-it-next-key-next-key'*:
 $(\text{hm-it-next-key}, \text{next-key}) \in (\text{hm.hms-assn } V)^k \rightarrow_a \text{id-assn}$
 $\langle proof \rangle$

lemma *no-fail-next-key-iff*:
 $\text{nofail} (\text{next-key } m) \longleftrightarrow m \neq \text{Map.empty}$
 $\langle proof \rangle$

context
fixes *mi m K*
assumes *map-rel: (mi, m) ∈ ⟨K, Id⟩map-rel*
begin

private lemma *k-aux*:
assumes $k \in \text{dom mi} \ (mi, m) \in \langle K, \text{Id} \rangle \text{map-rel}$
shows $\exists k'. (k, k') \in K$
 $\langle proof \rangle$ **lemma** *k-aux2*:
assumes $k \in \text{dom mi} \ (k, k') \in K$
shows $k' \in \text{dom m}$
 $\langle proof \rangle$ **lemma** *map-empty-iff*: $mi \neq \text{Map.empty} \longleftrightarrow m \neq \text{Map.empty}$
 $\langle proof \rangle$ **lemma** *aux*:
assumes *RETURN k ≤ next-key mi*
shows *RETURN (SOME k'. (k, k') ∈ K) ≤ next-key m*
 $\langle proof \rangle$ **lemma** *aux1*:
assumes *RETURN k ≤ next-key mi nofail (next-key m)*
shows $(k, \text{SOME } k'. (k, k') \in K) \in K$
 $\langle proof \rangle$

lemmas *hm-it-next-key-next-key''-aux = aux aux1*

end

lemma *hm-it-next-key-next-key''*:
assumes *is-pure K*
shows $(\text{hm-it-next-key}, \text{next-key}) \in (\text{hm.hms-assn}' K \ V)^k \rightarrow_a K$
 $\langle proof \rangle$

6.2 Computing the Range of a Map

definition *ran-of-map* **where**

```

ran-of-map m ≡ do
  {
    (xs, m) ← WHILEIT
      ( $\lambda (xs, m'). \text{finite}(\text{dom } m') \wedge \text{ran } m = \text{ran } m' \cup \text{set } xs$ ) ( $\lambda (-, m)$ .
    Map.empty ≠ m)
      ( $\lambda (xs, m)$ . do
        {
          k ← next-key m;
          let (x, m) = op-map-extract k m;
          ASSERT (x ≠ None);
          RETURN (the x # xs, m)
        }
      )
      ([] , m);
      RETURN xs
  }

```

context

begin

private definition

```
ran-of-map-var = (inv-image (measure (card o dom))) ( $\lambda (a, b). b$ )
```

private lemma *wf-ran-of-map-var*:

```
wf ran-of-map-var
⟨proof⟩
```

lemma *ran-of-map-correct*[refine]:

```
ran-of-map m ≤ SPEC ( $\lambda r. \text{set } r = \text{ran } m$ ) if finite (dom m)
⟨proof⟩
```

end — End of private context for auxiliary facts and definitions

sepref-register *next-key* :: ((*'b*, *'a*) *i-map* ⇒ *'b nres*)

definition (in *imp-map-is-empty*) [code-unfold]: *hms-is-empty* ≡ *is-empty*

lemma (in *imp-map-is-empty*) *hms-empty-rule* [sep-heap-rules]:

```
<hms-assn A m mi > hms-is-empty mi < $\lambda r. \text{hms-assn } A m mi * \uparrow(r \longleftrightarrow$ 
m=Map.empty)>t
```

$\langle proof \rangle$

context *imp-map-is-empty*
begin

lemma *hms-is-empty-hnr*[*sepref-fr-rules*]:
 $(hms\text{-}is\text{-}empty, \text{RETURN } o \text{ op\text{-}map\text{-}is\text{-}empty}) \in (hms\text{-}assn A)^k \rightarrow_a \text{bool\text{-}assn}$
 $\langle proof \rangle$

sepref-decl-impl *is-empty*: *hms-is-empty-hnr* **uses** *op-map-is-empty.fref*[**where**
 $V = Id$] $\langle proof \rangle$

end

lemma (**in** *imp-map*) *hms-assn'-id-hms-assn*:
 $hms\text{-}assn' id\text{-}assn A = hms\text{-}assn A$
 $\langle proof \rangle$

lemma [*intf-of-assn*]:

intf-of-assn (*hm.hms-assn'* *a b*) *TYPE*((*'aa, 'bb*) *i-map*)
 $\langle proof \rangle$

context

fixes *K* :: - \Rightarrow - :: {*hashable, heap*} \Rightarrow *assn*

assumes *is-pure-K*[*safe-constraint-rules*]: *is-pure K*

and *left-unique-K*[*safe-constraint-rules*]: *IS-LEFT-UNIQUE (the-pure K)*

and *right-unique-K*[*safe-constraint-rules*]: *IS-RIGHT-UNIQUE (the-pure K)*

notes [*sepref-fr-rules*] = *hm-it-next-key-next-key''[OF is-pure-K]*

begin

sepref-definition *ran-of-map-impl* **is**

ran-of-map :: (*hm.hms-assn'* *K A*)^d \rightarrow_a *list-assn A*
 $\langle proof \rangle$

end

lemmas *ran-of-map-impl-code*[*code*] =

ran-of-map-impl-def[*of pure Id, simplified, OF Sepref-Constraints.safe-constraint-rules(41)*]

context

notes [*sepref-fr-rules*] = *hm-it-next-key-next-key'[folded hm.hms-assn'-id-hms-assn]*

begin

```

sepref-definition ran-of-map-impl' is
  ran-of-map ::  $(hm.hms-assn A)^d \rightarrow_a list-assn A$ 
   $\langle proof \rangle$ 

```

```
end
```

```
end
```

7 Checking Leads-To Properties

7.1 Abstract Implementation

```

theory Leadsto
  imports Liveness-Subsumption Unified-PW
  begin

  context Subsumption-Graph-Pre-Nodes
  begin

    context
      assumes finite- $V$ : finite { $x$ .  $V x$ }
      begin

        lemma steps-cycle-mono:
          assumes  $G'.steps(x \# ws @ y \# xs @ [y]) x \preceq x' V x V x'$ 
          shows  $\exists y' xs' ys'. y \preceq y' \wedge G'.steps(x' \# xs' @ y' \# ys' @ [y'])$ 
           $\langle proof \rangle$ 

        lemma reaches-cycle-mono:
          assumes  $G'.reaches x y y \rightarrow^+ y x \preceq x' V x V x'$ 
          shows  $\exists y'. y \preceq y' \wedge G'.reaches x' y' \wedge y' \rightarrow^+ y'$ 
           $\langle proof \rangle$ 
          including reaches-steps-iff
           $\langle proof \rangle$ 
          including reaches-steps-iff  $\langle proof \rangle$ 

      end

    end

  locale Leadsto-Search-Space =
     $A$ : Search-Space'-finite  $E a_0 - (\preceq)$  empty
    for  $E a_0$  empty and subsumes :: ' $a \Rightarrow 'a \Rightarrow bool$  (infix  $\preceq$  50)

```

```

+
fixes P Q :: 'a ⇒ bool
assumes P-mono:  $a \preceq a' \Rightarrow \neg \text{empty } a \Rightarrow P a \Rightarrow P a'$ 
assumes Q-mono:  $a \preceq a' \Rightarrow \neg \text{empty } a \Rightarrow Q a \Rightarrow Q a'$ 
fixes succs-Q :: 'a ⇒ 'a list
assumes succs-Q-correct:  $A.\text{reachable } a \Rightarrow \text{set } (\text{succs-}Q\ a) = \{y. E\ a\ y \wedge Q\ y \wedge \neg \text{empty } y\}$ 
begin

sublocale A': Search-Space'-finite E a₀ λ -. False (⊐) empty
⟨proof⟩

sublocale B:
  Liveness-Search-Space
  λ x y. E x y ∧ Q y ∧ ¬ empty y a₀ λ -. False (⊐) λ x. A.\text{reachable } x ∧ ¬ empty x
  succs-Q
  ⟨proof⟩

context
  fixes a₁ :: 'a
begin

interpretation B':
  Liveness-Search-Space
  λ x y. E x y ∧ Q y ∧ ¬ empty y a₁ λ -. False (⊐) λ x. A.\text{reachable } x ∧ ¬ empty x succs-Q
  ⟨proof⟩

definition has-cycle where
  has-cycle = B'.dfs

end

definition leadsto :: bool nres where
  leadsto = do {
    (r, passed) ← A'.pw-algo;
    let P = {x. x ∈ passed ∧ P x ∧ Q x};
    (r, -) ←
      FOREACH_C P (λ(b,-). ¬b) (λv' (-,P). has-cycle v' P) (False, {});
    RETURN r
  }

definition

```

```

reaches-cycle a =
  ( $\exists b. (\lambda x y. E x y \wedge Q y \wedge \neg \text{empty } y)^{**} a b \wedge (\lambda x y. E x y \wedge Q y \wedge \neg \text{empty } y)^{++} b b)$ 

definition leadsto-spec where
  leadsto-spec = SPEC ( $\lambda r. r \longleftrightarrow (\exists a. A.\text{reachable } a \wedge \neg \text{empty } a \wedge P a \wedge Q a \wedge \text{reaches-cycle } a)$ )

lemma
  leadsto  $\leq$  leadsto-spec
   $\langle \text{proof} \rangle$ 

definition leadsto-spec-alt where
  leadsto-spec-alt =
    SPEC ( $\lambda r.$ 
       $r \longleftrightarrow$ 
      ( $\exists a. (\lambda x y. E x y \wedge \neg \text{empty } y)^{**} a_0 a \wedge \neg \text{empty } a \wedge P a \wedge Q a \wedge \text{reaches-cycle } a)$ 
    )
  )

lemma E-reaches-non-empty:
  ( $\lambda x y. E x y \wedge \neg \text{empty } y)^{**} a b$  if  $a \rightarrow^* b$  A.\text{reachable } a \wedge \neg \text{empty } b for
   $a b$ 
   $\langle \text{proof} \rangle$ 

lemma leadsto-spec-leadsto-spec-alt:
  leadsto-spec  $\leq$  leadsto-spec-alt
   $\langle \text{proof} \rangle$ 

end

end

```

7.2 Implementation on Maps

```

theory Leadsto-Map
  imports Leadsto Unified-PW-Hashing Liveness-Subsumption-Map Heap-Hash-Map
  Next-Key
  begin

definition map-to-set :: ('b  $\rightarrow$  'a set)  $\Rightarrow$  'a set where
  map-to-set m = ( $\bigcup$  (ran m))

hide-const wait

```

definition

```


$$\text{map-list-set-rel} =$$


$$\{(ml, ms). \text{dom } ml = \text{dom } ms$$


$$\wedge (\forall k \in \text{dom } ms. \text{set } (\text{the } (ml k)) = \text{the } (ms k) \wedge \text{distinct } (\text{the } (ml k)))$$


$$\wedge \text{finite } (\text{dom } ml)$$


$$\}$$


```

context *Worklist-Map2-Defs*
begin

definition

```


$$\text{add-pw'-map3} \text{ passed wait a} \equiv$$


$$nfoldli (\text{succs } a) (\lambda(-, -, brk). \neg brk)$$


$$(\lambda a (\text{passed}, \text{wait}, -).$$


$$\text{do } \{$$


$$\text{RETURN } ($$


$$\text{if empty } a \text{ then}$$


$$(\text{passed}, \text{wait}, \text{False})$$


$$\text{else if } F' a \text{ then } (\text{passed}, \text{wait}, \text{True})$$


$$\text{else}$$


$$\text{let } k = \text{key } a; \text{ passed}' = (\text{case passed } k \text{ of Some passed}' \Rightarrow \text{passed}' |$$


$$\text{None} \Rightarrow [])$$


$$\text{in}$$


$$\text{if } \exists x \in \text{set passed}'. a \sqsubseteq x \text{ then}$$


$$(\text{passed}, \text{wait}, \text{False})$$


$$\text{else}$$


$$(\text{passed}(k \mapsto (a \# \text{passed}')), a \# \text{wait}, \text{False})$$


$$\}$$


$$\}$$


$$)$$


$$(\text{passed}, \text{wait}, \text{False})$$


```

definition

$\text{pw-map-inv3} \equiv \lambda (\text{passed}, \text{wait}, brk).$
 $\exists \text{ passed}'. (\text{passed}, \text{passed}') \in \text{map-list-set-rel} \wedge \text{pw-map-inv } (\text{passed}', \text{wait}, brk)$

definition *pw-algo-map3* **where**

```


$$\text{pw-algo-map3} = \text{do}$$


$$\{$$


$$\text{if } F a_0 \text{ then RETURN } (\text{True}, \text{Map.empty})$$


```

```

else if empty a0 then RETURN (False, Map.empty)
else do {
  (passed, wait) ← RETURN ([key a0 ↪ [a0]], [a0]);
  (passed, wait, brk) ← WHILEIT pw-map-inv3 (λ (passed, wait, brk).
    ¬ brk ∧ wait ≠ [])
    (λ (passed, wait, brk). do
      {
        (a, wait) ← take-from-list wait;
        ASSERT (reachable a);
        if empty a then RETURN (passed, wait, brk) else add-pw'-map3
        passed wait a
      }
    )
  (passed, wait, False);
  RETURN (brk, passed)
}
}

```

end — Worklist Map 2 Defs

lemma map-list-set-rel-empty[refine, simp, intro]:
 $(Map.empty, Map.empty) \in map\text{-list}\text{-set}\text{-rel}$
 $\langle proof \rangle$

lemma map-list-set-rel-single:
 $(ml(key a₀ ↪ [a₀]), ms(key a₀ ↪ {a₀})) \in map\text{-list}\text{-set}\text{-rel} \text{ if } (ml, ms) \in map\text{-list}\text{-set}\text{-rel}$
 $\langle proof \rangle$

context Worklist-Map2
begin

lemma refine-start[refine]:
 $(([key a₀ ↪ [a₀]], [a₀]), [key a₀ ↪ {a₀}], [a₀]) \in map\text{-list}\text{-set}\text{-rel} \times_r Id$
 $\langle proof \rangle$

lemma pw-map-inv-ref:
 $pw\text{-map}\text{-inv } (x_1, x_2, x_3) \implies (x_1a, x_1) \in map\text{-list}\text{-set}\text{-rel} \implies pw\text{-map}\text{-inv}^3$
 (x_1a, x_2, x_3)
 $\langle proof \rangle$

lemma refine-aux[refine]:
 $(x_1, x) \in map\text{-list}\text{-set}\text{-rel} \implies ((x_1, x_2, False), x, x_2, False) \in map\text{-list}\text{-set}\text{-rel}$

$\times_r Id \times_r Id$
 $\langle proof \rangle$

lemma *map-list-set-relD*:

ms k = Some (set xs) if (ml, ms) ∈ map-list-set-rel ml k = Some xs
 $\langle proof \rangle$

lemma *map-list-set-rel-distinct*:

distinct xs if (ml, ms) ∈ map-list-set-rel ml k = Some xs
 $\langle proof \rangle$

lemma *map-list-set-rel-NoneD1[dest, intro]*:

ms k = None if (ml, ms) ∈ map-list-set-rel ml k = None
 $\langle proof \rangle$

lemma *map-list-set-rel-NoneD2[dest, intro]*:

ml k = None if (ml, ms) ∈ map-list-set-rel ms k = None
 $\langle proof \rangle$

lemma *map-list-set-rel-insert*:

$(ml, ms) \in map-list-set-rel \implies$
 $ml(key a) = Some xs \implies$
 $ms(key a) = Some (set xs) \implies$
 $a \notin set xs \implies$
 $(ml(key a \mapsto a \# xs), ms(key a \mapsto insert a (set xs))) \in map-list-set-rel$
 $\langle proof \rangle$

lemma *add-pw'-map3-ref*:

add-pw'-map3 ml xs a \leq \Downarrow (map-list-set-rel \times_r Id) (add-pw'-map2 ms xs a)
if $(ml, ms) \in map-list-set-rel \neg empty a$
 $\langle proof \rangle$

lemma *pw-algo-map3-ref[refine]*:

pw-algo-map3 \leq \Downarrow (Id \times_r map-list-set-rel) pw-algo-map2
 $\langle proof \rangle$

lemma *pw-algo-map2-ref'*:

pw-algo-map2 \leq \Downarrow (bool-rel \times_r map-set-rel) pw-algo
 $\langle proof \rangle$

lemma *pw-algo-map3-ref'[refine]*:

pw-algo-map3 \leq \Downarrow (bool-rel \times_r (map-list-set-rel O map-set-rel)) pw-algo
 $\langle proof \rangle$

end — Worklist Map 2 Defs

lemma (in Worklist-Map2-finite) map-set-rel-finite-domI[intro]:
finite (dom m) if $(m, S) \in \text{map-set-rel}$
 $\langle \text{proof} \rangle$

lemma (in Worklist-Map2-finite) map-set-rel-finiteI:
finite S if $(m, S) \in \text{map-set-rel}$
 $\langle \text{proof} \rangle$

lemma (in Worklist-Map2-finite) map-set-rel-finite-ranI[intro]:
finite S' if $(m, S) \in \text{map-set-rel}$ $S' \in \text{ran } m$
 $\langle \text{proof} \rangle$

locale Leadsto-Search-Space-Key =
A: Worklist-Map2 - - - - - succs1 +
Leadsto-Search-Space for succs1
begin

sublocale A': Worklist-Map2-finite $a_0 \lambda \neg. \text{False} (\preceq) \text{empty} (\trianglelefteq) E \text{ key}$
succs1 $\lambda \neg. \text{False}$
 $\langle \text{proof} \rangle$

interpretation B:
Liveness-Search-Space-Key
 $\lambda x y. E x y \wedge Q y \wedge \neg \text{empty } y$ $a_0 \lambda \neg. \text{False} (\preceq) \lambda x. A.\text{reachable } x \wedge \neg \text{empty } x$
succs-Q key
 $\langle \text{proof} \rangle$

context
fixes $a_1 :: 'a$
begin

interpretation B':
Liveness-Search-Space-Key-Defs
 $a_1 \lambda \neg. \text{False} (\preceq) \lambda x. A.\text{reachable } x \wedge \neg \text{empty } x$
succs-Q $\lambda x y. E x y \wedge Q y \wedge \neg \text{empty } y$ key $\langle \text{proof} \rangle$

definition has-cycle-map where
has-cycle-map = $B'.\text{dfs-map}$

context

```

assumes A.reachable a1
begin

interpretation B':
  Live ness-Search-Space-Key
   $\lambda x y. E x y \wedge Q y \wedge \neg \text{empty } y \ a_1 \ \lambda \ -. \ False \ (\preceq) \ \lambda x. A.\text{reachable } x \wedge \neg \text{empty } x$ 
  succs-Q key
   $\langle \text{proof} \rangle$ 

lemmas has-cycle-map-ref[refine] = B'.dfs-map-dfs-refine[folded has-cycle-map-def
has-cycle-def]

end

end

definition outer-inv where
  outer-inv passed done todo  $\equiv \lambda (r, \text{passed}') .$ 
   $(r \rightarrow (\exists a. A.\text{reachable } a \wedge \neg \text{empty } a \wedge P a \wedge Q a \wedge \text{reaches-cycle } a))$ 
   $\wedge (\neg r \rightarrow$ 
   $(\forall a \in \bigcup \text{done}. P a \wedge Q a \rightarrow \neg \text{reaches-cycle } a)$ 
   $\wedge B.\text{liveness-compatible } \text{passed}'$ 
   $\wedge \text{passed}' \subseteq \{x. A.\text{reachable } x \wedge \neg \text{empty } x\}$ 
  )

definition inner-inv where
  inner-inv passed done todo  $\equiv \lambda (r, \text{passed}') .$ 
   $(r \rightarrow (\exists a. A.\text{reachable } a \wedge \neg \text{empty } a \wedge P a \wedge Q a \wedge \text{reaches-cycle } a))$ 
   $\wedge (\neg r \rightarrow$ 
   $(\forall a \in \text{done}. P a \wedge Q a \rightarrow \neg \text{reaches-cycle } a)$ 
   $\wedge B.\text{liveness-compatible } \text{passed}'$ 
   $\wedge \text{passed}' \subseteq \{x. A.\text{reachable } x \wedge \neg \text{empty } x\}$ 
  )

definition leadsto' :: bool nres where
  leadsto' = do {
     $(r, \text{passed}) \leftarrow A'.\text{pw-algo-map2};$ 
    let passed = ran passed;
     $(r, \neg) \leftarrow \text{FOREACHcdi } (\text{outer-inv passed}) \ \text{passed } (\lambda(b, \neg). \ \neg b)$ 
  }

```

```


$$(\lambda \text{ passed}' (\text{-}, \text{acc}). \\
\text{FOREACHcdi } (\text{inner-inv acc}) \text{ passed}' (\lambda(b, \text{-}). \neg b) \\
(\lambda v' (\text{-}, \text{passed}). \\
\text{do} \{ \\
\text{ASSERT}(A.\text{reachable } v' \wedge \neg \text{empty } v'); \\
\text{if } P \text{ } v' \wedge Q \text{ } v' \text{ then has-cycle } v' \text{ passed else RETURN (False,} \\
\text{passed}) \\
\} \\
\} \\
(False, \text{acc}) \\
\} \\
(False, \{\}); \\
\text{RETURN } r \\
\}$$


lemma leadsto'-correct:

$$\text{leadsto}' \leq \text{leadsto-spec} \\
\langle \text{proof} \rangle$$


lemma init-ref[refine]:

$$((False, \text{Map.empty}), \text{False}, \{\}) \in \text{bool-rel} \times_r A'.\text{map-set-rel} \\
\langle \text{proof} \rangle$$


lemma has-cycle-map-ref'[refine]:

$$\begin{aligned}
&\text{assumes } (P1, P1') \in A'.\text{map-set-rel} \ (a, a') \in \text{Id } A.\text{reachable } a \neg \text{empty } \\
&a \\
&\text{shows has-cycle-map } a \ P1 \leq \Downarrow (\text{bool-rel} \times_r A'.\text{map-set-rel}) \ (\text{has-cycle } a' \\
&P1') \\
&\langle \text{proof} \rangle
\end{aligned}$$


definition leadsto-map3' :: bool nres where

$$\begin{aligned}
\text{leadsto-map3}' &= \text{do} \{ \\
&(r, \text{passed}) \leftarrow A'.\text{pw-algo-map2}; \\
&\text{let } \text{passed} = \text{ran passed}; \\
&(r, \text{-}) \leftarrow \text{FOREACHcd} \text{ passed } (\lambda(b, \text{-}). \neg b) \\
&(\lambda \text{ passed}' (\text{-}, \text{acc}). \\
&\text{do} \{ \\
&\text{passed}' \leftarrow \text{SPEC } (\lambda l. \text{set } l = \text{passed}); \\
&\text{nfoldli passed}' (\lambda(b, \text{-}). \neg b) \\
&(\lambda v' (\text{-}, \text{passed}). \\
&\text{if } P \text{ } v' \wedge Q \text{ } v' \text{ then has-cycle-map } v' \text{ passed else RETURN (False,} \\
&\text{passed}) \\
&\} \\
&(False, \text{acc})
\end{aligned}$$


```

```

        }
    )
    (False, Map.empty);
    RETURN r
}
}

definition pw-algo-map2-copy = A'.pw-algo-map2

lemma [refine]:
A'.pw-algo-map2 ≤
↓ (br id (λ (-, m). finite (dom m) ∧ (∀ k S. m k = Some S → finite
S))) pw-algo-map2-copy
⟨proof⟩

```

```

lemma leadsto-map3'-ref[refine]:
leadsto-map3' ≤ ↓ Id leadsto'
⟨proof⟩

```

```

definition leadsto-map3 :: bool nres where
leadsto-map3 = do {
  (r, passed) ← A'.pw-algo-map3;
  let passed = ran passed;
  (r, -) ← FOREACHcd passed (λ(b,-). ¬b)
  (λ passed' (-,acc).
    nfoldli passed' (λ(b,-). ¬b)
    (λv' (-,passed).
      if P v' ∧ Q v' then has-cycle-map v' passed else RETURN (False,
      passed)
    )
    (False, acc)
  )
  (False, Map.empty);
  RETURN r
}

```

```

lemma start-ref:
((False, Map.empty), False, Map.empty) ∈ Id ×r map-list-set-rel
⟨proof⟩

```

```

lemma map-list-set-rel-ran-set-rel:
(ran ml, ran ms) ∈ ⟨br set (λ-. True)⟩set-rel if (ml, ms) ∈ map-list-set-rel
⟨proof⟩

```

```

lemma Id-list-rel-ref:

```

$(x'a, x'a) \in \langle Id \rangle list\text{-}rel$
 $\langle proof \rangle$

lemma *map-list-set-rel-finite-ran*:
finite (ran ml) if (ml, ms) ∈ map-list-set-rel
 $\langle proof \rangle$

lemma *leadsto-map3-ref*[refine]:
leadsto-map3 ≤ ↓ Id leadsto'
 $\langle proof \rangle$

definition *leadsto-map4* :: *bool nres where*
leadsto-map4 = do {
(r, passed) ← A'.pw-algo-map3;
ASSERT (finite (dom passed));
passed ← ran-of-map passed;
(r, -) ← nfoldli passed (λ(b,-). ¬b)
(λ passed' (-,acc).
nfoldli passed' (λ(b,-). ¬b)
(λv' (-,passed).
if P v' ∧ Q v' then has-cycle-map v' passed else RETURN (False,
passed)
)
(False, acc)
)
(False, Map.empty);
RETURN r
 $\}$

lemma *ran-of-map-ref*:
ran-of-map m ≤ SPEC (λc. (c, ran m') ∈ br set (λ -. True)) if finite
(dom m) (m, m') ∈ Id
 $\langle proof \rangle$

lemma *aux-ref*:
 $(xa, x'a) \in Id \implies$
 $x'a = (x1b, x2b) \implies xa = (x1c, x2c) \implies (x1c, x1b) \in bool\text{-}rel$
 $\langle proof \rangle$

definition *foo = A'.pw-algo-map3*

lemma [refine]:
A'.pw-algo-map3 ≤ ↓ (br id (λ (-, m). finite (dom m))) foo
 $\langle proof \rangle$

```

lemma leadsto-map4-ref[refine]:
  leadsto-map4  $\leq \Downarrow$  Id leadsto-map3
  ⟨proof⟩

definition leadsto-map4' :: bool nres where
  leadsto-map4' = do {
    (r, passed)  $\leftarrow$  A'.pw-algo-map2;
    ASSERT (finite (dom passed));
    passed  $\leftarrow$  ran-of-map passed;
    (r, -)  $\leftarrow$  nfoldli passed ( $\lambda(b,-)$ .  $\neg b$ )
    ( $\lambda$  passed' (-,acc).
      do {
        passed'  $\leftarrow$  SPEC ( $\lambda l.$  set  $l =$  passed');
        nfoldli passed' ( $\lambda(b,-)$ .  $\neg b$ )
        ( $\lambda v'$  (-,passed).
          if  $P v' \wedge Q v'$  then has-cycle-map  $v'$  passed else RETURN (False,
          passed)
        )
        (False, acc)
      }
    )
    (False, Map.empty);
    RETURN r
  }
}

lemma leadsto-map4'-ref:
  leadsto-map4'  $\leq \Downarrow$  Id leadsto-map3'
  ⟨proof⟩

lemma leadsto-map4'-correct:
  leadsto-map4'  $\leq$  leadsto-spec-alt
  ⟨proof⟩

end

end

```

7.3 Imperative Implementation

```

theory Leadsto-Impl
  imports Leadsto-Map Unified-PW-Impl Liveness-Subsumption-Impl
  begin

```

```

definition
list-of-set S = SPEC (λl. set l = S)

lemma lso-id-hnr:
  (return o id, list-of-set) ∈ (lso-assn A)d →a list-assn A
  ⟨proof⟩

sepref-register hm.op-hms-empty

context Worklist-Map2-Impl
begin

sepref-thm pw-algo-map2-impl is
  uncurry0 (pw-algo-map2) :: 
  unit-assnk →a bool-assn ×a (hm.hms-assn' K (lso-assn A))
  ⟨proof⟩

end

locale Leadsto-Search-Space-Key-Impl =
  Leadsto-Search-Space-Key a0 F - empty - E key F' P Q succs-Q succs1 +
  liveness: Liveness-Search-Space-Key-Impl a0 F - V succs-Q λ x y. E x y
  ∧ ¬ empty y ∧ Q y
  - key A succsi a0i Lei keyi copyi
  for key :: 'v ⇒ 'k
  and a0 F F' copyi P Q V empty succs-Q succs1 E A succsi a0i Lei keyi +
  fixes succs1i and emptyi and Pi Qi and tracei
  assumes succs1-impl: (succs1i, (RETURN ∘ PR-CONST) succs1) ∈
  Ak →a list-assn A
  and empty-impl:
    (emptyi, RETURN o PR-CONST empty) ∈ Ak →a bool-assn
  assumes [sepref-fr-rules]:
    (Pi, RETURN o PR-CONST P) ∈ Ak →a bool-assn (Qi, RETURN o
    PR-CONST Q) ∈ Ak →a bool-assn
  assumes trace-impl:
    (uncurry tracei, uncurry (λ(- :: string) -. RETURN ())) ∈ id-assnk *a
  Ak →a id-assn
begin

sublocale Worklist-Map2-Impl - - λ -. False - succs1 - - λ -. False - succs1i
-
  λ -. return False Lei
  ⟨proof⟩

```

```

sepref-register pw-algo-map2-copy
sepref-register PR-CONST P PR-CONST Q

lemmas [sepref-fr-rules] =
  lso-id-hnr
  ran-of-map-impl.refine[OF pure-K left-unique-K right-unique-K]

lemma pw-algo-map2-copy-fold:
  PR-CONST pw-algo-map2-copy = A'.pw-algo-map2
  ⟨proof⟩

lemmas [sepref-fr-rules] = pw-algo-map2-impl.refine-raw[folded pw-algo-map2-copy-fold]

definition has-cycle-map-copy ≡ has-cycle-map

lemma has-cycle-map-copy-fold:
  PR-CONST has-cycle-map-copy = has-cycle-map
  ⟨proof⟩

sepref-register has-cycle-map-copy

lemma has-cycle-map-fold:
  has-cycle-map = liveness.dfs-map'
  ⟨proof⟩

lemmas [sepref-fr-rules] =
  liveness.dfs-map'-impl.refine-raw[folded has-cycle-map-fold, folded has-cycle-map-copy-fold]

sepref-thm leadsto-impl is
  uncurry0 leadsto-map4' :: unit-assnk →a bool-assn
  ⟨proof⟩

concrete-definition (in -) leadsto-impl
  uses Leadsto-Search-Space-Key-Impl.leadsto-impl.refine-raw is (uncurry0
  ?f,-)∈-

lemma leadsto-impl-hnr:
  (uncurry0 (
    leadsto-impl copyi succsi a0i Lei keyi succs1i emptyi Pi Qi tracei
  ),)
  uncurry0 leadsto-spec-alt
  ) ∈ unit-assnk →a bool-assn if V a0
  ⟨proof⟩

```

end

end

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