

Typed Ordered Resolution

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Abstract

Ordered Resolution is a proof calculus for reasoning about first-order logic that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We also added a type system to the calculus. We relied on the library for first-order clauses and on the saturation framework.

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```
theory Ground-Ordered-Resolution
imports
  First-Order-Clause.Selection-Function
  First-Order-Clause.Ground-Order
  First-Order-Clause.Literal-Functor
begin
```

1 Resolution Calculus

```
locale ground-ordered-resolution-calculus =
```

ground-order **where** $less_t = less_t +$
selection-function *select*
for
 $less_t :: 't \Rightarrow 't \Rightarrow bool$ **and**
 $select :: 't \text{ clause} \Rightarrow 't \text{ clause}$
begin

1.1 Resolution Calculus

inductive *resolution* $:: 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$ **where**
resolutionI:
 $E = add\text{-mset } l_1 E' \Longrightarrow$
 $D = add\text{-mset } l_2 D' \Longrightarrow$
 $l_1 = Neg \ t \Longrightarrow$
 $l_2 = Pos \ t \Longrightarrow$
 $C = (E' + D') \Longrightarrow$
resolution $D \ E \ C$
if
 $D \prec_c \ E$
 $select \ E = \{\#\} \wedge is\text{-maximal } l_1 \ E \vee is\text{-maximal } l_1 \ (select \ E)$
 $select \ D = \{\#\}$
 $is\text{-strictly-maximal } l_2 \ D$

inductive *factoring* $:: 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$ **where**
factoringI:
 $D = add\text{-mset } l \ (add\text{-mset } l \ D') \Longrightarrow$
 $l = Pos \ t \Longrightarrow$
 $C = add\text{-mset } l \ D' \Longrightarrow$
factoring $D \ C$
if
 $select \ D = \{\#\}$
 $is\text{-maximal } l \ D$

1.2 Ground Layer

abbreviation *resolution-inferences* **where**
 $resolution\text{-inferences} \equiv \{Infer \ [D, E] \ C \mid D \ E \ C. \ resolution \ D \ E \ C\}$

abbreviation *factoring-inferences* **where**
 $factoring\text{-inferences} \equiv \{Infer \ [D] \ C \mid D \ C. \ factoring \ D \ C\}$

definition *G-Inf* $:: 't \text{ clause inference set}$ **where**
 $G\text{-Inf} =$
 $\{Infer \ [D, E] \ C \mid D \ E \ C. \ resolution \ D \ E \ C\} \cup$
 $\{Infer \ [D] \ C \mid D \ C. \ factoring \ D \ C\}$

abbreviation *G-Bot* $:: 't \text{ clause set}$ **where**
 $G\text{-Bot} \equiv \{\{\#\}\}$

definition *G-entails* $:: 't \text{ clause set} \Rightarrow 't \text{ clause set} \Rightarrow bool$ **where**

$G\text{-entails } N_1 N_2 \iff (\forall I. I \models_s N_1 \implies I \models_s N_2)$

1.3 Smaller Conclusions

lemma *ground-resolution-smaller-conclusion*:

assumes

step: resolution D E C

shows $C \prec_c E$

<proof>

lemma *ground-factoring-smaller-conclusion*:

assumes *step: factoring D C*

shows $C \prec_c D$

<proof>

end

1.4 Redundancy Criterion

sublocale *ground-ordered-resolution-calculus* \subseteq *consequence-relation* **where**

Bot = *G-Bot* **and**

entails = *G-entails*

<proof>

sublocale *ground-ordered-resolution-calculus* \subseteq *calculus-with-finitary-standard-redundancy*
where

Inf = *G-Inf* **and**

Bot = *G-Bot* **and**

entails = *G-entails* **and**

less = (\prec_c)

defines *GRed-I* = *Red-I* **and** *GRed-F* = *Red-F*

<proof>

end

theory *Relation-Extra*

imports *Main*

begin

lemma *partition-set-around-element*:

assumes *tot: totalp-on N R* **and** *x-in: x ∈ N*

shows $N = \{y \in N. R y x\} \cup \{x\} \cup \{y \in N. R x y\}$

<proof>

end

theory *Ground-Ordered-Resolution-Completeness*

imports

Ground-Ordered-Resolution

Relation-Extra

First-Order-Clause.HOL-Extra

begin

1.5 Mode Construction

context *ground-ordered-resolution-calculus*
begin

context

fixes $N :: 't \text{ clause set}$

begin

function $\text{epsilon} :: 't \text{ clause} \Rightarrow 't \text{ set}$ **where**
 $\text{epsilon } C = \{A \mid A \ C'\}$
 $C \in N \wedge$
 $C = \text{add-mset } (Pos \ A) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal } (Pos \ A) \ C \wedge$
 $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } D) \Vdash C$
 $\langle \text{proof} \rangle$

termination epsilon

$\langle \text{proof} \rangle$

declare $\text{epsilon.simps}[\text{simp del}]$

end

lemma $\text{epsilon-eq-empty-or-singleton}$: $\text{epsilon } N \ C = \{\} \vee (\exists A. \text{epsilon } N \ C = \{A\})$
 $\langle \text{proof} \rangle$

definition rewrite-sys **where**

$\text{rewrite-sys } N \ C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } N \ D)$

lemma $\text{rewrite-sys-subset-if-less-cls}$: $C \prec_c D \longrightarrow \text{rewrite-sys } N \ C \subseteq \text{rewrite-sys } N \ D$

$\langle \text{proof} \rangle$

lemma mem-epsilonE :

assumes rule-in : $A \in \text{epsilon } N \ C$

obtains C' **where**

$C \in N$ **and**

$C = \text{add-mset } (Pos \ A) \ C'$ **and**

$\text{select } C = \{\#\}$ **and**

$\text{is-strictly-maximal } (Pos \ A) \ C$ **and**

$\neg \text{rewrite-sys } N \ C \Vdash C$

$\langle \text{proof} \rangle$

lemma epsilon-unfold : $\text{epsilon } N \ C = \{A \mid A \ C'\}$.

$C \in N \wedge$

$C = \text{add-mset } (Pos \ A) \ C' \wedge$

select $C = \{\#\}$ \wedge
is-strictly-maximal $(Pos\ A)\ C \wedge$
 \neg *rewrite-sys* $N\ C \models C$
 <proof>

lemma *epsilon-subset-if-less-cls*: $C \prec_c D \implies \text{epsilon } N\ C \subseteq \text{rewrite-sys } N\ D$
 <proof>

lemma

assumes

$D \preceq_c C$ **and**

C-prod: $A \in \text{epsilon } N\ C$ **and**

L-in: $L \in \# D$

shows

lesseq-trm-if-pos: *is-pos* $L \implies \text{atm-of } L \preceq_t A$ **and**

less-trm-if-neg: *is-neg* $L \implies \text{atm-of } L \prec_t A$

<proof>

lemma *less-trm-iff-less-cls-if-mem-epsilon*:

assumes *C-prod*: $A_C \in \text{epsilon } N\ C$ **and** *D-prod*: $A_D \in \text{epsilon } N\ D$

shows $A_C \prec_t A_D \iff C \prec_c D$

<proof>

lemma *false-cls-if-productive-epsilon*:

assumes *C-prod*: $A \in \text{epsilon } N\ C$ **and** $D \in N$ **and** $C \prec_c D$

shows $\neg \text{rewrite-sys } N\ D \models C - \{\#Pos\ A\#}$

<proof>

lemma *neg-notin-Interp-not-produce*:

Neg $A \in \# C \implies A \notin \text{rewrite-sys } N\ D \cup \text{epsilon } N\ D \implies C \preceq_c D \implies A \notin \text{epsilon } N\ D''$

<proof>

lemma *lift-interp-entails*:

assumes

D-in: $D \in N$ **and**

D-entailed: $\text{rewrite-sys } N\ D \models D$ **and**

C-in: $C \in N$ **and**

D-lt-C: $D \prec_c C$

shows $\text{rewrite-sys } N\ C \models D$

<proof>

lemma *produces-imp-in-interp*:

assumes *Neg* $A \in \# C$ **and** *D-prod*: $A \in \text{epsilon } N\ D$

shows $A \in \text{rewrite-sys } N\ C$

<proof>

lemma *split-Union-epsilon*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N C) =$
 $\text{rewrite-sys } N D \cup \text{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N C)$
 ⟨proof⟩

lemma *split-Union-epsilon'*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N C) = \text{rewrite-sys } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N C)$

⟨proof⟩

lemma *lift-entailment-to-Union*:

fixes $N D$

assumes

D-in: $D \in N$ **and**

R_D-entails-D: $\text{rewrite-sys } N D \Vdash D$

shows

$(\bigcup C \in N. \text{epsilon } N C) \Vdash D$

⟨proof⟩

lemma *true-cls-if-productive-epsilon*:

assumes $A \in \text{epsilon } N C C \prec_c D$

shows $\text{rewrite-sys } N D \Vdash C$

⟨proof⟩

lemma *model-preconstruction*:

fixes

$N :: 't \text{ clause set}$ **and**

$C :: 't \text{ clause}$

defines

$\text{entails} \equiv \lambda E C. E \Vdash C$

assumes *saturated N* **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$

shows

$\text{epsilon } N C = \{\} \longleftrightarrow \text{entails } (\text{rewrite-sys } N C) C$

$(\bigcup D \in N. \text{epsilon } N D) \Vdash C$

$D \in N \implies C \prec_c D \implies \text{entails } (\text{rewrite-sys } N D) C$

⟨proof⟩

lemma *model-construction*:

fixes

$N :: 't \text{ clause set}$ **and**

$C :: 't \text{ clause}$

defines $\text{entails} \equiv \lambda E C. E \Vdash C$

assumes *saturated N* **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$

shows $\text{entails } (\bigcup D \in N. \text{epsilon } N D) C$

⟨proof⟩

1.6 Static Refutational Completeness

lemma *statically-complete*:

```

fixes  $N :: 't$  clause set
assumes saturated  $N$  and  $G$ -entails  $N$   $\{\{\#\}\}$ 
shows  $\{\#\} \in N$ 
   $\langle$ proof $\rangle$ 

sublocale statically-complete-calculus where
   $Bot = G$ -Bot and
   $Inf = G$ -Inf and
  entails =  $G$ -entails and
   $Red$ -I =  $Red$ -I and
   $Red$ -F =  $Red$ -F
   $\langle$ proof $\rangle$ 

end

end
theory Ground-Ordered-Resolution-Soundness
  imports Ground-Ordered-Resolution
begin

context ground-ordered-resolution-calculus
begin

lemma soundness-ground-resolution:
  assumes
    step: resolution  $D E C$ 
  shows  $G$ -entails  $\{D, E\} \{C\}$ 
   $\langle$ proof $\rangle$ 

lemma soundness-ground-factoring:
  assumes step: factoring  $D C$ 
  shows  $G$ -entails  $\{D\} \{C\}$ 
   $\langle$ proof $\rangle$ 

sublocale sound-inference-system where
   $Inf = G$ -Inf and
   $Bot = G$ -Bot and
  entails =  $G$ -entails
   $\langle$ proof $\rangle$ 

end

end
theory Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order
    First-Order-Clause.Nonground-Selection-Function
    First-Order-Clause.Nonground-Typing
    First-Order-Clause.Typed-Tiebreakers

```

Saturation-Framework.Lifting-to-Non-Ground-Calculi

Ground-Ordered-Resolution

begin

locale *ordered-resolution-calculus* =

witnessed-nonground-typing **where**

welltyped = *welltyped* **and** *term-to-ground* = *term-to-ground* :: 't ⇒ 't_G **and**

id-subst = *id-subst* :: 'subst +

nonground-order **where** *less_t* = *less_t* +

nonground-selection-function **where**

select = *select* **and** *atom-subst* = (*·t*) **and** *atom-vars* = *term.vars* **and**

atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground* +

tiebreakers *tiebreakers*

for

select :: 't *select* **and**

less_t :: 't ⇒ 't ⇒ *bool* **and**

tiebreakers :: ('t_G, 't) *tiebreakers* **and**

welltyped :: ('v :: *infinite*, 'ty) *var-types* ⇒ 't ⇒ 'ty ⇒ *bool*

begin

inductive *factoring* :: ('t, 'v, 'ty) *typed-clause* ⇒ ('t, 'v, 'ty) *typed-clause* ⇒ *bool*

where

factoringI:

$D = \text{add-mset } l_1 (\text{add-mset } l_2 D') \implies$

$l_1 = \text{Pos } t_1 \implies$

$l_2 = \text{Pos } t_2 \implies$

$C = (\text{add-mset } l_1 D') \cdot \mu \implies$

factoring (\mathcal{V} , D) (\mathcal{V} , C)

if

select $D = \{\#\}$

is-maximal ($l_1 \cdot l \mu$) ($D \cdot \mu$)

type-preserving-on (*clause.vars* D) $\mathcal{V} \mu$

term.is-imgu $\mu \{\{t_1, t_2\}\}$

inductive *resolution* ::

('t, 'v, 'ty) *typed-clause* ⇒

('t, 'v, 'ty) *typed-clause* ⇒

('t, 'v, 'ty) *typed-clause* ⇒ *bool* **where**

resolutionI:

$E = \text{add-mset } l_1 E' \implies$

$D = \text{add-mset } l_2 D' \implies$

$l_1 = \text{Neg } t_1 \implies$

$l_2 = \text{Pos } t_2 \implies$

$C = (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \implies$

resolution (\mathcal{V}_2 , D) (\mathcal{V}_1 , E) (\mathcal{V}_3 , C)

if

infinite-variables-per-type \mathcal{V}_1
infinite-variables-per-type \mathcal{V}_2
term.is-renaming ϱ_1
term.is-renaming ϱ_2
clause.vars $(E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$
type-preserving-on $(\text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \mathcal{V}_3 \mu$
term.is-ingu $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$
 $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
select $E = \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)$
select $E \neq \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) ((\text{select } E) \cdot \varrho_1 \odot \mu)$
select $D = \{\#\}$
is-strictly-maximal $(l_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$
 $\forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x)$
 $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x)$
type-preserving-on $(\text{clause.vars } E) \mathcal{V}_1 \varrho_1$
type-preserving-on $(\text{clause.vars } D) \mathcal{V}_2 \varrho_2$

abbreviation *factoring-inferences* **where**

factoring-inferences $\equiv \{ \text{Infer } [D] C \mid D C. \text{ factoring } D C \}$

abbreviation *resolution-inferences* **where**

resolution-inferences $\equiv \{ \text{Infer } [D, E] C \mid D E C. \text{ resolution } D E C \}$

definition *inferences* $:: ('t, 'v, 'ty)$ *typed-clause inference set* **where**

inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom_F* $:: ('t, 'v, 'ty)$ *typed-clause set* (\perp_F) **where**

bottom_F $\equiv \{(\mathcal{V}, \{\#\}) \mid \mathcal{V}. \text{ infinite-variables-per-type } \mathcal{V}\}$

end

end

theory *Grounded-Ordered-Resolution*

imports

Ordered-Resolution

Ground-Ordered-Resolution

First-Order-Clause.Grounded-Selection-Function

First-Order-Clause.Nonground-Inference

Saturation-Framework.Lifting-to-Non-Ground-Calculi

Polynomial-Factorization.Missing-List

begin

locale *grounded-ordered-resolution-calculus* =

ordered-resolution-calculus **where**

select = *select* **and** *welltyped* = *welltyped* **and**

term-from-ground = *term-from-ground* $:: 't_G \Rightarrow 't$ **and** *id-subst* = *id-subst* $::$
'subst +

grounded-selection-function **where**
select = *select* **and** *atom-subst* = $(\cdot t)$ **and** *atom-vars* = *term.vars* **and**
atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground*
and
is-ground-instance = *is-ground-instance*
for
select :: 't *select* **and**
welltyped :: ('v :: *infinite*, 'ty) *var-types* \Rightarrow 't \Rightarrow 'ty \Rightarrow *bool*
begin

sublocale *ground: ground-ordered-resolution-calculus* **where**

less_t = (\prec_{tG}) **and** *select* = *select_G*
rewrites
multiset-extension.multiset-extension (\prec_{tG}) *ground.literal-to-mset* = (\prec_{tG}) **and**
multiset-extension.multiset-extension (\prec_{tG}) $(\lambda x. x)$ = (\prec_{cG}) **and**
 $\bigwedge l_G C_G. \text{ground.is-maximal } l_G C_G \longleftrightarrow \text{ground-is-maximal } l_G C_G$ **and**
 $\bigwedge l_G C_G. \text{ground.is-strictly-maximal } l_G C_G \longleftrightarrow \text{ground-is-strictly-maximal } l_G C_G$
<proof>

abbreviation *is-inference-ground-instance-one-premise* **where**

is-inference-ground-instance-one-premise $D C \iota_G \gamma \equiv$
case (D, C) *of* $((\mathcal{V}', D), (\mathcal{V}, C)) \Rightarrow$
inference.is-ground $(\text{Infer } [D] C \cdot \iota \gamma) \wedge$
 $\iota_G = \text{inference.to-ground } (\text{Infer } [D] C \cdot \iota \gamma) \wedge$
type-preserving-on $(\text{clause.vars } C) \mathcal{V} \gamma \wedge$
 $\mathcal{V} = \mathcal{V}' \wedge$
infinite-variables-per-type \mathcal{V}

abbreviation *is-inference-ground-instance-two-premises* **where**

is-inference-ground-instance-two-premises $D E C \iota_G \gamma \varrho_1 \varrho_2 \equiv$
case (D, E, C) *of* $((\mathcal{V}_2, D), (\mathcal{V}_1, E), (\mathcal{V}_3, C)) \Rightarrow$
term.is-renaming $\varrho_1 \wedge$
term.is-renaming $\varrho_2 \wedge$
clause.vars $(E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$ \wedge
inference.is-ground $(\text{Infer } [D \cdot \varrho_2, E \cdot \varrho_1] C \cdot \iota \gamma) \wedge$
 $\iota_G = \text{inference.to-ground } (\text{Infer } [D \cdot \varrho_2, E \cdot \varrho_1] C \cdot \iota \gamma) \wedge$
type-preserving-on $(\text{clause.vars } C) \mathcal{V}_3 \gamma \wedge$
infinite-variables-per-type $\mathcal{V}_1 \wedge$
infinite-variables-per-type $\mathcal{V}_2 \wedge$
infinite-variables-per-type \mathcal{V}_3

abbreviation *is-inference-ground-instance* **where**

is-inference-ground-instance $\iota \iota_G \gamma \equiv$
(case ι *of*
Infer $[D] C \Rightarrow \text{is-inference-ground-instance-one-premise } D C \iota_G \gamma$
 $| \text{Infer } [D, E] C \Rightarrow \exists \varrho_1 \varrho_2. \text{is-inference-ground-instance-two-premises } D E C$
 $\iota_G \gamma \varrho_1 \varrho_2$

| - \Rightarrow *False*)
 $\wedge \iota_G \in \text{ground.G-Inf}$

definition *inference-ground-instances* **where**

inference-ground-instances $\iota = \{ \iota_G \mid \iota_G \gamma. \text{is-inference-ground-instance } \iota \iota_G \gamma \}$

lemma *is-inference-ground-instance*:

is-inference-ground-instance $\iota \iota_G \gamma \implies \iota_G \in \text{inference-ground-instances } \iota$
 $\langle \text{proof} \rangle$

lemma *is-inference-ground-instance-one-premise*:

assumes *is-inference-ground-instance-one-premise* $D C \iota_G \gamma \iota_G \in \text{ground.G-Inf}$
shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D] C)$
 $\langle \text{proof} \rangle$

lemma *is-inference-ground-instance-two-premises*:

assumes *is-inference-ground-instance-two-premises* $D E C \iota_G \gamma \varrho_1 \varrho_2 \iota_G \in \text{ground.G-Inf}$
shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D, E] C)$
 $\langle \text{proof} \rangle$

lemma *ground-inference-concl-in-ground-instances*:

assumes $\iota_G \in \text{inference-ground-instances } \iota$
shows *concl-of* $\iota_G \in \text{uncurried-ground-instances } (\text{concl-of } \iota)$
 $\langle \text{proof} \rangle$

lemma *ground-inference-red-in-ground-instances-of-concl*:

assumes $\iota_G \in \text{inference-ground-instances } \iota$
shows $\iota_G \in \text{ground.Red-I } (\text{uncurried-ground-instances } (\text{concl-of } \iota))$
 $\langle \text{proof} \rangle$

sublocale *lifting*:

tiebreaker-lifting
 \perp_F
inferences
ground.G-Bot
ground.G-entails
ground.G-Inf
ground.GRed-I
ground.GRed-F
uncurried-ground-instances
Some \circ *inference-ground-instances*
typed-tiebreakers

$\langle \text{proof} \rangle$

end

context *ordered-resolution-calculus*

begin

abbreviation *grounded-inference-ground-instances* **where**
grounded-inference-ground-instances $\text{select}_G \equiv$
grounded-ordered-resolution-calculus.inference-ground-instances
 (\odot) *apply-subst* $(\cdot t)$ *term.vars term.to-ground* (\prec_t) *id-subst term.from-ground*
select_G welltyped

sublocale
lifting-intersection
inferences
 $\{\{\#\}\}$
select_{G_s}
ground-ordered-resolution-calculus.G-Inf (\prec_{tG})
 $\lambda\cdot$ *ground-ordered-resolution-calculus.G-entails*
ground-ordered-resolution-calculus.GRed-I (\prec_{tG})
 $\lambda\cdot$ *ground-ordered-resolution-calculus.GRed-F* (\prec_{tG})
 \perp_F
 $\lambda\cdot$ *uncurried-ground-instances*
 λselect_G . *Some* \circ *grounded-inference-ground-instances* select_G
typed-tiebreakers
 $\langle\text{proof}\rangle$

end

end

theory *Ordered-Resolution-Soundness*
imports *Grounded-Ordered-Resolution*
begin

1.7 Soundness

context *grounded-ordered-resolution-calculus*
begin

notation *lifting.entails-G* (**infix** \models_F 50)

lemma *factoring-sound*:
assumes *factoring*: *factoring* $D C$
shows $\{D\} \models_F \{C\}$
 $\langle\text{proof}\rangle$

lemma *resolution-sound*:
assumes *resolution*: *resolution* $D E C$
shows $\{E, D\} \models_F \{C\}$
 $\langle\text{proof}\rangle$

sublocale *sound-inference-system inferences* \perp_F (\models_F)
 $\langle\text{proof}\rangle$

end

sublocale *ordered-resolution-calculus* \subseteq *sound-inference-system inferences* \perp_F *en-tails- \mathcal{G}*
<proof>

end
theory *Ordered-Resolution-Completeness*
imports
Grounded-Ordered-Resolution
Ground-Ordered-Resolution-Completeness
begin

2 Completeness

context *grounded-ordered-resolution-calculus*
begin

2.1 Liftings

lemma *factoring-lifting:*

fixes

$D_G C_G :: 't_G$ *clause* **and**

$D C :: 't$ *clause* **and**

$\gamma :: 'subst$

defines

[simp]: $D_G \equiv clause.to-ground (D \cdot \gamma)$ **and**

[simp]: $C_G \equiv clause.to-ground (C \cdot \gamma)$

assumes

ground-factoring: *ground.factoring* $D_G C_G$ **and**

D-grounding: *clause.is-ground* $(D \cdot \gamma)$ **and**

C-grounding: *clause.is-ground* $(C \cdot \gamma)$ **and**

select: *clause.from-ground* $(select_G D_G) = (select D) \cdot \gamma$ **and**

type-preserving- γ : *type-preserving-on* $(clause.vars D) \mathcal{V} \gamma$ **and**

\mathcal{V} : *infinite-variables-per-type* \mathcal{V}

obtains C'

where

factoring $(\mathcal{V}, D) (\mathcal{V}, C')$

Infer $[D_G] C_G \in inference-ground-instances (Infer [(\mathcal{V}, D)] (\mathcal{V}, C'))$

$C' \cdot \gamma = C \cdot \gamma$

<proof>

lemma *resolution-lifting:*

fixes

$E_G D_G C_G :: 't_G$ *clause* **and**

$E D C :: 't$ *clause* **and**

$\gamma \varrho_1 \varrho_2 :: 'subst$ **and**

$\mathcal{V}_1 \mathcal{V}_2 :: ('v, 'ty)$ *var-types*

defines

[simp]: $E_G \equiv clause.to-ground (E \cdot \varrho_1 \odot \gamma)$ **and**

$[simp]: D_G \equiv \text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma) \text{ and}$
 $[simp]: C_G \equiv \text{clause.to-ground } (C \cdot \gamma) \text{ and}$
 $[simp]: N_G \equiv \text{ground-instances } \mathcal{V}_1 \ E \cup \text{ground-instances } \mathcal{V}_2 \ D \text{ and}$
 $[simp]: \iota_G \equiv \text{Infer } [D_G, E_G] \ C_G$

assumes

$\text{ground-resolution: ground.resolution } D_G \ E_G \ C_G \text{ and}$
 $\varrho_1: \text{term.is-renaming } \varrho_1 \text{ and}$
 $\varrho_2: \text{term.is-renaming } \varrho_2 \text{ and}$
 $\text{rename-apart: clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\} \text{ and}$
 $E\text{-grounding: clause.is-ground } (E \cdot \varrho_1 \odot \gamma) \text{ and}$
 $D\text{-grounding: clause.is-ground } (D \cdot \varrho_2 \odot \gamma) \text{ and}$
 $C\text{-grounding: clause.is-ground } (C \cdot \gamma) \text{ and}$
 $\text{select-from-E: clause.from-ground } (\text{select}_G \ E_G) = (\text{select } E) \cdot \varrho_1 \odot \gamma \text{ and}$
 $\text{select-from-D: clause.from-ground } (\text{select}_G \ D_G) = (\text{select } D) \cdot \varrho_2 \odot \gamma \text{ and}$
 $\text{type-preserving-}\varrho_1\text{-}\gamma: \text{type-preserving-on } (\text{clause.vars } E) \ \mathcal{V}_1 \ (\varrho_1 \odot \gamma) \text{ and}$
 $\text{type-preserving-}\varrho_2\text{-}\gamma: \text{type-preserving-on } (\text{clause.vars } D) \ \mathcal{V}_2 \ (\varrho_2 \odot \gamma) \text{ and}$
 $\text{type-preserving-}\varrho_1: \text{type-preserving-on } (\text{clause.vars } E) \ \mathcal{V}_1 \ \varrho_1 \text{ and}$
 $\text{type-preserving-}\varrho_2: \text{type-preserving-on } (\text{clause.vars } D) \ \mathcal{V}_2 \ \varrho_2 \text{ and}$
 $\mathcal{V}_1: \text{infinite-variables-per-type } \mathcal{V}_1 \text{ and}$
 $\mathcal{V}_2: \text{infinite-variables-per-type } \mathcal{V}_2$

obtains $C' \ \mathcal{V}_3$

where

$\text{resolution } (\mathcal{V}_2, D) \ (\mathcal{V}_1, E) \ (\mathcal{V}_3, C')$
 $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, E)] \ (\mathcal{V}_3, C'))$
 $C' \cdot \gamma = C \cdot \gamma$

$\langle \text{proof} \rangle$

2.2 Ground instances

context

fixes $\iota_G \ N$

assumes

$\text{subst-stability: subst-stability-on } N \text{ and}$

$\iota_G\text{-Inf-from: } \iota_G \in \text{ground.Inf-from-q } \text{select}_G \ (\bigcup (\text{uncurried-ground-instances } N))$

begin

lemma *factoring-ground-instance:*

assumes $\text{ground-factoring: } \iota_G \in \text{ground.factoring-inferences}$

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$

$\langle \text{proof} \rangle$

lemma *resolution-ground-instance:*

assumes $\text{ground-resolution: } \iota_G \in \text{ground.resolution-inferences}$

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$
 $\langle \text{proof} \rangle$

lemma *ground-instances:*

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$

$\langle \text{proof} \rangle$

end

end

context *ordered-resolution-calculus*

begin

lemma *overapproximation:*

obtains select_G **where**

ground-Inf-overapproximated select_G *premises*

is-grounding select_G

$\langle \text{proof} \rangle$

sublocale *statically-complete-calculus* \perp_F *inferences entails- \mathcal{G}* *Red-I- \mathcal{G}* *Red-F- \mathcal{G}*

$\langle \text{proof} \rangle$

end

end

theory *Ordered-Resolution-Welltypedness-Preservation*

imports *Grounded-Ordered-Resolution*

begin

context *ordered-resolution-calculus*

begin

lemma *factoring-preserves-typing:*

assumes *factoring:* *factoring* (\mathcal{V}, D) (\mathcal{V}, C)

shows *clause.is-welltyped* $\mathcal{V} D \longleftrightarrow \text{clause.is-welltyped } \mathcal{V} C$

$\langle \text{proof} \rangle$

lemma *resolution-preserves-typing:*

assumes

resolution: *resolution* (\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C) **and**

D-is-welltyped: *clause.is-welltyped* $\mathcal{V}_2 D$ **and**

E-is-welltyped: *clause.is-welltyped* $\mathcal{V}_1 E$

shows *clause.is-welltyped* $\mathcal{V}_3 C$

$\langle \text{proof} \rangle$

end

```

end
theory Untyped-Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order
    First-Order-Clause.Nonground-Selection-Function
    First-Order-Clause.Tiebreakers

    Fresh-Identifiers.Fresh
begin

locale untyped-ordered-resolution-calculus =
  nonground-order where
    lesst = lesst and id-subst = id-subst and term-from-ground = term-from-ground
  :: 'tG ⇒ 't and
    term-vars = term-vars +

    nonground-selection-function where
    select = select and atom-subst = (·t) and atom-vars = term.vars and term-vars
    = term-vars and
    atom-from-ground = term.from-ground and atom-to-ground = term.to-ground
and id-subst = id-subst +

    tiebreakers tiebreakers +
    term: exists-imgu where vars = term-vars and subst = (·t) and id-subst =
id-subst
for
  select :: 't select and
  lesst :: 't ⇒ 't ⇒ bool and
  tiebreakers :: ('tG, 't) tiebreakers and
  id-subst :: 'subst and
  term-vars :: 't ⇒ ('v :: infinite) set
begin

inductive factoring :: 't clause ⇒ 't clause ⇒ bool where
  factoringI:
    D = add-mset l1 (add-mset l2 D') ⇒
    l1 = Pos t1 ⇒
    l2 = Pos t2 ⇒
    C = (add-mset l1 D') · μ ⇒
  factoring D C
if
  select D = {#}
  is-maximal (l1 · l μ) (D · μ)
  term.is-imgu μ {{t1, t2}}

inductive resolution :: 't clause ⇒ 't clause ⇒ 't clause ⇒ bool where
  resolutionI:
    E = add-mset l1 E' ⇒

```

$D = \text{add-mset } l_2 \ D' \implies$
 $l_1 = \text{Neg } t_1 \implies$
 $l_2 = \text{Pos } t_2 \implies$
 $C = (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \implies$
resolution $D \ E \ C$

if

term.is-renaming ϱ_1
term.is-renaming ϱ_2
 $\text{clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$
term.is-imgu $\mu \ \{\{t_1 \cdot t \ \varrho_1, t_2 \cdot t \ \varrho_2\}\}$
 $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
 $\text{select } E = \{\#\} \implies \text{is-maximal } (l_1 \cdot l \ \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)$
 $\text{select } E \neq \{\#\} \implies \text{is-maximal } (l_1 \cdot l \ \varrho_1 \odot \mu) (\text{select } E \cdot \varrho_1 \odot \mu)$
 $\text{select } D = \{\#\}$
is-strictly-maximal $(l_2 \cdot l \ \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$

abbreviation *factoring-inferences* **where**
factoring-inferences $\equiv \{ \text{Infer } [D] \ C \mid D \ C. \text{ factoring } D \ C \}$

abbreviation *resolution-inferences* **where**
resolution-inferences $\equiv \{ \text{Infer } [D, E] \ C \mid D \ E \ C. \text{ resolution } D \ E \ C \}$

definition *inferences* $:: 't \text{ clause inference set}$ **where**
inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom* $:: 't \text{ clause set}$ **where**
bottom $\equiv \{\{\#\}\}$

end

end

theory *Untyped-Ordered-Resolution-Inference-System*
imports
Untyped-Ordered-Resolution
First-Order-Clause.Untyped-Calculus
Grounded-Ordered-Resolution

begin

context *untyped-ordered-resolution-calculus*
begin

sublocale *typed: ordered-resolution-calculus* **where**
 $\text{welltyped} = \lambda - \cdot (). \text{ True}$
 $\langle \text{proof} \rangle$

declare
typed.term.welltyped-renaming $[\text{simp del}]$
typed.term.welltyped-subst-stability $[\text{simp del}]$
typed.term.welltyped-subst-stability' $[\text{simp del}]$

abbreviation *entails* **where**

entails $N N' \equiv \text{typed.entails-}\mathcal{G}$ (*empty-typed* ‘ N) (*empty-typed* ‘ N')

sublocale *untyped-consequence-relation* **where**

typed-bottom = \perp_F **and** *typed-entails* = *typed.entails-}\mathcal{G} **and***

bottom = *bottom* **and** *entails* = *entails*

<proof>

sublocale *untyped-inference-system* **where**

inferences = *inferences* **and** *typed-inferences* = *typed.inferences*

<proof>

end

end

theory *Untyped-Ordered-Resolution-Completeness*

imports

Untyped-Ordered-Resolution-Inference-System

Ordered-Resolution-Completeness

begin

context *untyped-ordered-resolution-calculus*

begin

abbreviation *Red-F* **where**

Red-F $N \equiv \text{snd}$ ‘ *typed.Red-F-}\mathcal{G} (*empty-typed* ‘ N)*

abbreviation *Red-I* **where**

Red-I $N \equiv \text{remove-types}$ ‘ *typed.Red-I-}\mathcal{G} (*empty-typed* ‘ N)*

sublocale *untyped-complete-calculus* **where**

typed-bottom = \perp_F **and** *typed-entails* = *typed.entails-}\mathcal{G} **and***

typed-inferences = *typed.inferences* **and** *typed-Red-I* = *typed.Red-I-}\mathcal{G} **and***

typed-Red-F = *typed.Red-F-}\mathcal{G} **and** *bottom* = *bottom* **and** *inferences* = *inferences**

and *Red-F* = *Red-F* **and**

Red-I = *Red-I* **and** *entails* = *entails*

<proof>

end

end

theory *Untyped-Ordered-Resolution-Soundness*

imports

Untyped-Ordered-Resolution-Inference-System

Ordered-Resolution-Soundness

begin

```

context untyped-ordered-resolution-calculus
begin

sublocale untyped-sound-inference-system where
  typed-bottom =  $\perp_F$  and typed-entails = typed.entails-G and
  typed-inferences = typed.inferences and bottom = bottom and inferences = in-
ferences and
  entails = entails
  <proof>

end

end
theory Monomorphic-Ordered-Resolution
  imports
    Ordered-Resolution

    First-Order-Clause.IsaFoR-Nonground-Clause
    First-Order-Clause.Monomorphic-Typing
begin

locale monomorphic-ordered-resolution-calculus =
  monomorphic-term-typing +

  ordered-resolution-calculus where
    comp-subst =  $(\circ_s)$  and id-subst = Var and term-subst =  $(\cdot)$  and term-vars =
term.vars and
    apply-subst = apply-subst and subst-update = fun-upd and subst-updates =
subst-updates and
    term-from-ground = term.from-ground and term-to-ground = term.to-ground
and
    welltyped = welltyped

end
theory Ordered-Resolution-Example
  imports
    Monomorphic-Ordered-Resolution
    First-Order-Clause.IsaFoR-KBO
begin

hide-type Uprod-Literal-Functor.clause

abbreviation trivial-tiebreakers ::
  'f gterm clause  $\Rightarrow$  (f, 'v) term clause  $\Rightarrow$  (f, 'v) term clause  $\Rightarrow$  bool where
  trivial-tiebreakers  $\equiv \perp$ 

abbreviation trivial-select :: 'a clause  $\Rightarrow$  'a clause where

```

trivial-select - $\equiv \{\#\}$

abbreviation *unit-typing* **where**

unit-typing - $\equiv \text{Some } ([], ())$

interpretation *unit-types: monomorphic-term-typing* **where** $\mathcal{F} = \text{unit-typing}$
<proof>

interpretation *example1: monomorphic-ordered-resolution-calculus* **where**

select = *trivial-select* :: (*'f* :: *weighted* , *'v* :: *infinite*) *term*) *select* **and**

less_t = *less-kbo* **and**

$\mathcal{F} = \text{unit-typing}$ **and**

tiebreakers = *trivial-tiebreakers*

<proof>

instantiation *nat* :: *infinite*

begin

instance

<proof>

end

datatype *type* = *A* | *B*

abbreviation *types* :: *nat* \Rightarrow *nat* \Rightarrow (*type list* \times *type*) *option* **where**

types f n \equiv

let type = *if even f then A else B*

in Some (replicate n type, type)

interpretation *example-types: monomorphic-term-typing* **where** $\mathcal{F} = \text{types}$

<proof>

interpretation *example2: monomorphic-ordered-resolution-calculus* **where**

select = *trivial-select* :: (*nat*, *nat*) *term* *select* **and**

less_t = *less-kbo* **and**

$\mathcal{F} = \text{types}$ **and**

tiebreakers = *trivial-tiebreakers*

<proof>

end