

Typed Ordered Resolution

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February 6, 2026

Abstract

Ordered Resolution is a proof calculus for reasoning about first-order logic that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We also added a type system to the calculus. We relied on the library for first-order clauses and on the saturation framework.

Contents

1	Resolution Calculus	1
1.1	Resolution Calculus	1
1.2	Ground Layer	2
1.3	Smaller Conclusions	2
1.4	Redundancy Criterion	3
1.5	Mode Construction	6
1.6	Static Refutational Completeness	24
1.7	Soundness	34
2	Completeness	40
2.1	Liftings	40
2.2	Ground instances	52

```
theory Ground-Ordered-Resolution
imports
  First-Order-Clause.Selection-Function
  First-Order-Clause.Ground-Order
  First-Order-Clause.Literal-Functor
begin
```

1 Resolution Calculus

```
locale ground-ordered-resolution-calculus =
```

ground-order **where** $less_t = less_t +$
selection-function *select*
for
 $less_t :: 't \Rightarrow 't \Rightarrow bool$ **and**
 $select :: 't \text{ clause} \Rightarrow 't \text{ clause}$
begin

1.1 Resolution Calculus

inductive *resolution* $:: 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$ **where**
resolutionI:
 $E = add\text{-mset } l_1 E' \Longrightarrow$
 $D = add\text{-mset } l_2 D' \Longrightarrow$
 $l_1 = Neg \ t \Longrightarrow$
 $l_2 = Pos \ t \Longrightarrow$
 $C = (E' + D') \Longrightarrow$
resolution $D \ E \ C$
if
 $D \prec_c \ E$
 $select \ E = \{\#\} \wedge is\text{-maximal } l_1 \ E \vee is\text{-maximal } l_1 \ (select \ E)$
 $select \ D = \{\#\}$
 $is\text{-strictly-maximal } l_2 \ D$

inductive *factoring* $:: 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$ **where**
factoringI:
 $D = add\text{-mset } l \ (add\text{-mset } l \ D') \Longrightarrow$
 $l = Pos \ t \Longrightarrow$
 $C = add\text{-mset } l \ D' \Longrightarrow$
factoring $D \ C$
if
 $select \ D = \{\#\}$
 $is\text{-maximal } l \ D$

1.2 Ground Layer

abbreviation *resolution-inferences* **where**
 $resolution\text{-inferences} \equiv \{Infer \ [D, E] \ C \mid D \ E \ C. \ resolution \ D \ E \ C\}$

abbreviation *factoring-inferences* **where**
 $factoring\text{-inferences} \equiv \{Infer \ [D] \ C \mid D \ C. \ factoring \ D \ C\}$

definition *G-Inf* $:: 't \text{ clause inference set}$ **where**
 $G\text{-Inf} =$
 $\{Infer \ [D, E] \ C \mid D \ E \ C. \ resolution \ D \ E \ C\} \cup$
 $\{Infer \ [D] \ C \mid D \ C. \ factoring \ D \ C\}$

abbreviation *G-Bot* $:: 't \text{ clause set}$ **where**
 $G\text{-Bot} \equiv \{\{\#\}\}$

definition *G-entails* $:: 't \text{ clause set} \Rightarrow 't \text{ clause set} \Rightarrow bool$ **where**

$G\text{-entails } N_1 N_2 \iff (\forall I. I \Vdash_s N_1 \longrightarrow I \Vdash_s N_2)$

1.3 Smaller Conclusions

lemma *ground-resolution-smaller-conclusion:*

assumes

step: resolution D E C

shows $C \prec_c E$

using *step*

proof (*cases D E C rule: resolution.cases*)

case (*resolutionI l₁ l₂ E' D' t*)

have $\forall k \in \#D'. k \prec_l \text{Pos } t$

using $\langle \text{is-strictly-maximal } l_2 D \rangle \langle D = \text{add-mset } l_2 D' \rangle$

using *is-strictly-maximal-def resolutionI(8)*

by *fastforce*

moreover have $\bigwedge A. \text{Pos } A \prec_l \text{Neg } A$

unfolding *literal.order.multiset-extension-def*

by *auto*

ultimately have $\forall k \in \#D'. k \prec_l \text{Neg } t$

using *literal.order.order.strict-trans*

by *blast*

hence $D' \prec_c \{\#\text{Neg } t\# \}$

using *one-step-implies-multp[of \{\#\text{Neg } t\# \} D' (\prec_l) \{\#\}]*

by (*simp add: less_c-def*)

hence $D' + E' \prec_c \text{add-mset } (\text{Neg } t) E'$

using *multp-cancel[of (\prec_l) E' D' \{\#\text{Neg } t\# \}] less_c-def*

by *force*

thus *?thesis*

unfolding *resolutionI*

by (*simp only: add commute*)

qed

lemma *ground-factoring-smaller-conclusion:*

assumes *step: factoring D C*

shows $C \prec_c D$

using *step*

proof (*cases D C rule: factoring.cases*)

case (*factoringI l D' t*)

have $C = \text{add-mset } l D'$

using *factoringI*

by *argo*

```

then show ?thesis
  by (metis (lifting) add.comm-neutral add-mset-add-single add-mset-not-empty
    clause.order.multiset-extension-def empty-iff local.factoringI(3)
    one-step-implies-multp set-mset-empty)
qed

end

```

1.4 Redundancy Criterion

```

sublocale ground-ordered-resolution-calculus  $\subseteq$  consequence-relation where
  Bot = G-Bot and
  entails = G-entails
proof unfold-locales

```

```

  show G-Bot  $\neq$  {}
    by simp
next

```

```

  show  $\bigwedge B N. B \in G\text{-Bot} \implies G\text{-entails } \{B\} N$ 
    by (simp add: G-entails-def)
next

```

```

  show  $\bigwedge N2 N1. N2 \subseteq N1 \implies G\text{-entails } N1 N2$ 
    by (auto simp: G-entails-def elim!: true-cls-mono[rotated])
next
  fix N1 N2
  assume ball-G-entails:  $\forall C \in N2. G\text{-entails } N1 \{C\}$ 

```

```

  show G-entails N1 N2
    unfolding G-entails-def
  proof (intro allI impI)
    fix I :: 't set
    assume I  $\models_s N1$ 

```

```

    hence  $\forall C \in N2. I \models_s \{C\}$ 
    using ball-G-entails
    by (simp add: G-entails-def)

```

```

    then show I  $\models_s N2$ 
      by (simp add: true-cls-def)

```

```

qed
next

```

```

  show  $\bigwedge N1 N2 N3. G\text{-entails } N1 N2 \implies G\text{-entails } N2 N3 \implies G\text{-entails } N1 N3$ 
    using G-entails-def
    by simp
qed

```

sublocale *ground-ordered-resolution-calculus* \subseteq *calculus-with-finitary-standard-redundancy*
where

Inf = *G-Inf* **and**

Bot = *G-Bot* **and**

entails = *G-entails* **and**

less = (\prec_c)

defines *GRed-I* = *Red-I* **and** *GRed-F* = *Red-F*

proof *unfold-locales*

show *transp* (\prec_c)

by *simp*

next

show *wfP* (\prec_c)

by *auto*

next

show $\bigwedge \iota. \iota \in G\text{-Inf} \implies \text{prems-of } \iota \neq []$

by (*auto simp: G-Inf-def*)

next

fix ι

have *concl-of* $\iota \prec_c$ *main-prem-of* ι

if $\iota\text{-def}: \iota = \text{Infer } [D, E] C$ **and**

infer: resolution D E C

for $E D C$

unfolding $\iota\text{-def}$

using *infer*

using *ground-resolution-smaller-conclusion*

by *simp*

moreover have *concl-of* $\iota \prec_c$ *main-prem-of* ι

if $\iota\text{-def}: \iota = \text{Infer } [D] C$ **and**

infer: factoring D C

for $D C$

unfolding $\iota\text{-def}$

using *infer*

using *ground-factoring-smaller-conclusion*

by *simp*

ultimately show $\iota \in G\text{-Inf} \implies \text{concl-of } \iota \prec_c \text{main-prem-of } \iota$

unfolding *G-Inf-def*

by *fast*

qed

end

theory *Relation-Extra*

imports *Main*

begin

```

lemma partition-set-around-element:
  assumes tot: totalp-on  $N$   $R$  and x-in:  $x \in N$ 
  shows  $N = \{y \in N. R\ y\ x\} \cup \{x\} \cup \{y \in N. R\ x\ y\}$ 
proof (intro Set.equalityI Set.subsetI)
  fix  $z$  assume  $z \in N$ 
  hence  $R\ z\ x \vee z = x \vee R\ x\ z$ 
    using tot[THEN totalp-onD] x-in by auto
  thus  $z \in \{y \in N. R\ y\ x\} \cup \{x\} \cup \{y \in N. R\ x\ y\}$ 
    using  $\langle z \in N \rangle$  by auto
next
  fix  $z$  assume  $z \in \{y \in N. R\ y\ x\} \cup \{x\} \cup \{y \in N. R\ x\ y\}$ 
  hence  $z \in N \vee z = x$ 
    by auto
  thus  $z \in N$ 
    using x-in by auto
qed

end
theory Ground-Ordered-Resolution-Completeness
  imports
    Ground-Ordered-Resolution
    Relation-Extra
    First-Order-Clause.HOL-Extra
begin

```

1.5 Mode Construction

```

context ground-ordered-resolution-calculus
begin

context
  fixes  $N :: 't\ clause\ set$ 
begin

function epsilon ::  $'t\ clause \Rightarrow 't\ set$  where
  epsilon  $C = \{A \mid A\ C'\.$ 
     $C \in N \wedge$ 
     $C = add\_mset\ (Pos\ A)\ C' \wedge$ 
    select  $C = \{\#\} \wedge$ 
    is-strictly-maximal  $(Pos\ A)\ C \wedge$ 
     $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon\ D) \Vdash C\}$ 
  by auto

termination epsilon
proof (relation  $\{(x, y). x \prec_c y\}$ )
  show wf  $\{(x, y). x \prec_c y\}$ 
    using wfp-def by blast
next

```

```

  show  $\bigwedge C D. D \in \{D \in N. D \prec_c C\} \implies (D, C) \in \{(x, y). x \prec_c y\}$ 
    by simp
qed

declare epsilon.simps[simp del]

end

lemma epsilon-eq-empty-or-singleton:  $\epsilon N C = \{\} \vee (\exists A. \epsilon N C = \{A\})$ 
proof -

  have  $\exists_{\leq 1} A. \text{is-strictly-maximal } (Pos A) C$ 
    by (metis (mono-tags, lifting) Uniq-def literal.inject(1)
      literal.order.Uniq-is-strictly-maximal-in-mset)

  hence  $\exists_{\leq 1} A. \exists C'$ 
     $C = \text{add-mset } (Pos A) C' \wedge \text{is-strictly-maximal } (Pos A) C$ 
    by (simp add: Uniq-def)

  hence Uniq-epsilon:  $\exists_{\leq 1} A. \exists C'$ 
     $C \in N \wedge$ 
     $C = \text{add-mset } (Pos A) C' \wedge$ 
     $\text{select } C = \{\#\} \wedge$ 
     $\text{is-strictly-maximal } (Pos A) C \wedge$ 
     $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon N D) \Vdash C$ 
    using Uniq-antimono'
    by (smt (verit) Uniq-def Uniq-prodI case-prod-conv)

  show ?thesis
    unfolding epsilon.simps[of N C]
    using Collect-eq-if-Uniq[OF Uniq-epsilon]
    by (smt (verit, best) Collect-cong Collect-empty-eq Uniq-def Uniq-epsilon case-prod-conv
      insertCI mem-Collect-eq)
qed

definition rewrite-sys where
  rewrite-sys N C  $\equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon N D)$ 

lemma rewrite-sys-subset-if-less-cls:  $C \prec_c D \implies \text{rewrite-sys } N C \subseteq \text{rewrite-sys } N D$ 
proof
  unfolding rewrite-sys-def
  by fastforce
qed

lemma mem-epsilonE:
  assumes rule-in:  $A \in \epsilon N C$ 
  obtains C' where
     $C \in N$  and

```

$C = \text{add-mset } (\text{Pos } A) C'$ **and**
 $\text{select } C = \{\#\}$ **and**
 $\text{is-strictly-maximal } (\text{Pos } A) C$ **and**
 $\neg \text{rewrite-sys } N C \Vdash C$
using *rule-in*
unfolding *epsilon.simps[of N C] mem-Collect-eq Let-def rewrite-sys-def*
by (*metis (no-types, lifting)*)

lemma *epsilon-unfold*: $\text{epsilon } N C = \{A \mid A C'\}$.

$C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } A) C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal } (\text{Pos } A) C \wedge$
 $\neg \text{rewrite-sys } N C \Vdash C\}$
by (*simp add: epsilon.simps[of N C] rewrite-sys-def*)

lemma *epsilon-subset-if-less-cls*: $C \prec_c D \implies \text{epsilon } N C \subseteq \text{rewrite-sys } N D$

unfolding *rewrite-sys-def*
using *epsilon-unfold*
by *blast*

lemma

assumes

$D \preceq_c C$ **and**
 $C\text{-prod}: A \in \text{epsilon } N C$ **and**
 $L\text{-in}: L \in \# D$

shows

$\text{lesseq-trm-if-pos}: \text{is-pos } L \implies \text{atm-of } L \preceq_t A$ **and**
 $\text{less-trm-if-neg}: \text{is-neg } L \implies \text{atm-of } L \prec_t A$

proof –

from $C\text{-prod}$ **obtain** C' **where**

$C\text{-def}: C = \text{add-mset } (\text{Pos } A) C'$ **and**
 $C\text{-max-lit}: \text{is-strictly-maximal } (\text{Pos } A) C$
by (*auto elim: mem-epsilonE*)

have $\text{Pos } A \prec_l L$ **if** $\text{is-pos } L$ **and** $\neg \text{atm-of } L \preceq_t A$

proof –

from *that(2)* **have** $A \prec_t \text{atm-of } L$
by *order*

hence $\text{multp } (\prec_t) \{\#A\} \{\#\text{atm-of } L\}$
by *auto*

with *that(1)* **show** $\text{Pos } A \prec_l L$

by (*metis (no-types, lifting) Pos-atm-of-iff less_l-def*
literal-to-mset.simps(1))

qed

moreover have $Pos\ A \prec_l L$ **if** $is-neg\ L$ **and** $\neg\ atm-of\ L \prec_t A$
proof –

from $that(2)$ **have** $A \preceq_t atm-of\ L$
by $order$

hence $multp\ (\prec_t)\ \{\#A\# \}\ \{\#atm-of\ L,\ atm-of\ L\#\}$
by $auto$

with $that(1)$ **show** $Pos\ A \prec_l L$
by $(cases\ L)\ (simp-all\ add:\ less_l-def)$
qed

moreover have $False$ **if** $Pos\ A \prec_l L$
proof –

have $C \prec_c D$
unfolding $less_c-def$
proof $(rule\ multp-if-maximal-of-lhs-is-less)$

show $Pos\ A \in\# C$
by $(simp\ add:\ C-def)$
next

show $L \in\# D$
using $L-in$
by $simp$
next

show $is-maximal\ (Pos\ A)\ C$
using $C-max-lit$
by $auto$
next

show $Pos\ A \prec_l L$
using $that$
by $simp$
qed $simp-all$

with $\langle D \preceq_c C \rangle$ **show** $False$
by $order$
qed

ultimately show $is-pos\ L \implies atm-of\ L \preceq_t A$ **and** $is-neg\ L \implies atm-of\ L \prec_t A$
by $metis+$
qed

lemma $less-trm-iff-less-clc-if-mem-epsilon$:
assumes $C-prod: A_C \in\ epsilon\ N\ C$ **and** $D-prod: A_D \in\ epsilon\ N\ D$

shows $A_C \prec_t A_D \iff C \prec_c D$

proof –

from C -prod

obtain C' where

$C \in N$ and

C -def: $C = \text{add-mset} (\text{Pos } A_C) C'$ and

$\text{is-strictly-maximal} (\text{Pos } A_C) C$

by ($\text{auto elim!} : \text{mem-epsilon} E$)

hence $\forall L \in \# C'. L \prec_l \text{Pos } A_C$

unfolding $\text{is-strictly-maximal-def}$

by auto

from D -prod

obtain D' where

$D \in N$ and

D -def: $D = \text{add-mset} (\text{Pos } A_D) D'$ and

$\text{is-strictly-maximal} (\text{Pos } A_D) D$

by ($\text{auto elim!} : \text{mem-epsilon} E$)

hence $\forall L \in \# D'. L \prec_l \text{Pos } A_D$

unfolding $\text{is-strictly-maximal-def}$

by auto

show ?thesis

proof (rule iffI)

assume $A_C \prec_t A_D$

hence $\text{Pos } A_C \prec_l \text{Pos } A_D$

by ($\text{simp add} : \text{less}_l\text{-def}$)

moreover hence $\forall L \in \# C'. L \prec_l \text{Pos } A_D$

using $\langle \forall L \in \# C'. L \prec_l \text{Pos } A_C \rangle$

by fastforce

ultimately show $C \prec_c D$

using $\text{one-step-implies-multp}[\text{of } D C - \{\#\}] \text{less}_c\text{-def}$

by ($\text{simp add} : D\text{-def } C\text{-def}$)

next

assume $C \prec_c D$

hence $\text{epsilon } N C \subseteq (\bigcup (\text{epsilon } N ' \{x \in N. x \prec_c D\}))$

using $\langle C \in N \rangle$

by auto

hence $A_C \in (\bigcup (\text{epsilon } N ' \{x \in N. x \prec_c D\}))$

using C -prod

by auto

hence $A_C \neq A_D$
by (*metis D-prod rewrite-sys-def mem-epsilonE true-cls-add-mset true-lit-iff*)

moreover have $\neg (A_D \prec_t A_C)$
proof (*rule notI*)
assume $A_D \prec_t A_C$

then have $Pos A_D \prec_l Pos A_C$
by (*simp add: less_l-def*)

moreover have $\forall L \in \# D'. L \prec_l Pos A_C$
using $\langle \forall L \in \# D'. L \prec_l Pos A_D \rangle$
using *calculation literal.order.order.strict-trans*
by *blast*

ultimately have $D \prec_c C$
using *one-step-implies-mulp[of C D - {#}] less_c-def*
by (*simp add: D-def C-def*)

thus *False*
using $\langle C \prec_c D \rangle$
by *order*
qed

ultimately show $A_C \prec_t A_D$
by *order*
qed

qed

lemma *false-cls-if-productive-epsilon*:
assumes *C-prod*: $A \in \text{epsilon } N C$ **and** $D \in N$ **and** $C \prec_c D$
shows $\neg \text{rewrite-sys } N D \models C - \{\#Pos A\}$
proof –

from *C-prod* **obtain** C' **where**
C-in: $C \in N$ **and**
C-def: $C = \text{add-mset } (Pos A) C'$ **and**
select $C = \{\#\}$ **and**
Pox-A-max: *is-strictly-maximal* $(Pos A) C$ **and**
 $\neg \text{rewrite-sys } N C \models C$
by (*rule mem-epsilonE*) *blast*

from $\langle D \in N \rangle \langle C \prec_c D \rangle$ **have** $A \in \text{rewrite-sys } N D$
using *C-prod epsilon-subset-if-less-cls*
by *auto*

from $\langle D \in N \rangle$ **have** $\text{rewrite-sys } N D \subseteq (\bigcup D \in N. \text{epsilon } N D)$
by (*auto simp: rewrite-sys-def*)

have $\neg \text{rewrite-sys } N D \models C'$
unfolding *true-cls-def Set.bex-simps*
proof (*intro ballI*)
fix L
assume $L\text{-in}: L \in\# C'$

hence $L \in\# C$
by (*simp add: C-def*)

have $C' \prec_c C$
by (*metis (mono-tags, lifting) C-def add.comm-neutral add-mset-add-single add-mset-not-empty clause.order.multiset-extension-def empty-iff one-step-implies-multp set-mset-empty*)

hence $C' \preceq_c C$
by *order*

show $\neg \text{rewrite-sys } N D \models L$
proof (*cases L*)
case ($Pos A_L$)

moreover have $A_L \notin \text{rewrite-sys } N D$
proof –

have $\forall y \in\# C'. y \prec_l Pos A$
using *Pox-A-max C-def is-strictly-maximal-def*
by *auto*

with Pos **have** $A_L \notin \text{insert } A (\text{rewrite-sys } N C)$
using $L\text{-in} \langle \neg \text{rewrite-sys } N C \models C \rangle C\text{-def}$
by *blast*

moreover have $A_L \notin (\bigcup D' \in \{D' \in N. C \prec_c D' \wedge D' \prec_c D\}. \text{epsilon } N$
 $D')$

proof –
have $A_L \preceq_t A$
using *Pos lesseq-trm-if-pos[OF C' $\preceq_c C$ C-prod L $\in\# C'$]*
by *simp*

thus *?thesis*
using *less-trm-iff-less-cls-if-mem-epsilon*
using *C-prod calculation rewrite-sys-def*
by *fastforce*

qed

moreover have $\text{rewrite-sys } N D =$
 $\text{insert } A (\text{rewrite-sys } N C) \cup (\bigcup D' \in \{D' \in N. C \prec_c D' \wedge D' \prec_c D\}. \text{epsilon } N D')$

proof –

have $\text{rewrite-sys } N D = (\bigcup D' \in \{D' \in N. D' \prec_c D\}. \text{epsilon } N D')$
by (*simp only: rewrite-sys-def*)

also have

$\dots = (\bigcup D' \in \{D' \in \{y \in N. y \prec_c C\} \cup \{C\} \cup \{y \in N. C \prec_c y\}. D' \prec_c D\}. \text{epsilon } N D')$
using $C\text{-in clause.order.antisym-conv3}$
by *auto*

also have

$\dots = (\bigcup D' \in \{y \in N. y \prec_c C \wedge y \prec_c D\} \cup \{C\} \cup \{y \in N. C \prec_c y \wedge y \prec_c D\}. \text{epsilon } N D')$
using $\langle C \prec_c D \rangle$
by *auto*

also have $\dots = (\bigcup D' \in \{y \in N. y \prec_c C\} \cup \{C\} \cup \{y \in N. C \prec_c y \wedge y \prec_c D\}. \text{epsilon } N D')$
by (*metis (lifting) assms(3) clause.order.order.strict-trans*)

also have

$\dots = \text{rewrite-sys } N C \cup \text{epsilon } N C \cup (\bigcup D' \in \{y \in N. C \prec_c y \wedge y \prec_c D\}. \text{epsilon } N D')$
by (*auto simp: rewrite-sys-def*)

finally show *?thesis*

using $C\text{-prod}$

by (*metis (no-types, lifting) empty-iff insertE insert-is-Un epsilon-eq-empty-or-singleton sup-commute*)

qed

ultimately show *?thesis*

by *simp*

qed

ultimately show *?thesis*

by *simp*

next

case ($\text{Neg } A_L$)

moreover have $A_L \in \text{rewrite-sys } N D$

using $\text{Neg } \langle L \in \# C \rangle \langle C \prec_c D \rangle \langle \neg \text{rewrite-sys } N C \models C \rangle \text{rewrite-sys-subset-if-less-cls}$
by *blast*

ultimately show *?thesis*

by *simp*

qed

qed

thus $\neg \text{rewrite-sys } N D \models C - \{\#Pos A\# \}$
by (*simp add: C-def*)
qed

lemma *neg-notin-Interp-not-produce*:

$Neg A \in \# C \implies A \notin \text{rewrite-sys } N D \cup \text{epsilon } N D \implies C \preceq_c D \implies A \notin \text{epsilon } N D''$

by (*smt (verit, del-insts) Neg-atm-of-iff UN-I Un-iff clause.order.order.strict-trans1 clause.order.not-less less-trm-if-neg literal.sel(2) mem-Collect-eq mem-epsilonE*

rewrite-sys-def term.order.order.asym)

lemma *lift-interp-entails*:

assumes

D-in: $D \in N$ **and**

D-entailed: $\text{rewrite-sys } N D \models D$ **and**

C-in: $C \in N$ **and**

D-lt-C: $D \prec_c C$

shows $\text{rewrite-sys } N C \models D$

proof –

from *D-entailed* **obtain** $L A$ **where**

L-in: $L \in \# D$ **and**

L-eq-disj-L-eq: $L = Pos A \wedge A \in \text{rewrite-sys } N D \vee L = Neg A \wedge A \notin \text{rewrite-sys } N D$

unfolding *true-cls-def true-lit-iff*

by *metis*

have $\text{rewrite-sys } N D \subseteq \text{rewrite-sys } N C$

using *D-lt-C rewrite-sys-subset-if-less-cls*

by *auto*

from *L-eq-disj-L-eq*

show $\text{rewrite-sys } N C \models D$

proof (*elim disjE conjE*)

assume $L = Pos A$ **and** $A \in \text{rewrite-sys } N D$

thus $\text{rewrite-sys } N C \models D$

using *L-in* $\langle \text{rewrite-sys } N D \subseteq \text{rewrite-sys } N C \rangle$

by *auto*

next

assume $L: L = Neg A$ **and** $A: A \notin \text{rewrite-sys } N D$

have $A \notin \text{rewrite-sys } N C$

proof (*cases A ∈ epsilon N C*)

case *True*

then show *?thesis*

by (*meson mem-epsilonE pos-literal-in-imp-true-cls strictly-maximal-in-clause*)

```

next
  case False

  then have  $A \notin \text{epsilon } N D$ 
    using D-entailed mem-epsilonE
    by blast

  then show ?thesis
    using neg-notin-Interp-not-produce A L-in
    unfolding rewrite-sys-def L
    by blast
qed

  thus  $\text{rewrite-sys } N C \models D$ 
    using L-in ⟨L = Neg A⟩
    by blast
qed
qed

lemma produces-imp-in-interp:
  assumes  $\text{Neg } A \in\# C$  and D-prod: A ∈ epsilon N D
  shows  $A \in \text{rewrite-sys } N C$ 
proof -
  from D-prod have  $\text{Pos } A \in\# D$  and is-strictly-maximal (Pos A) D
    by (auto elim: mem-epsilonE)

  have  $D \prec_c C$ 
    unfolding less_c-def
  proof (rule multp-if-maximal-of-lhs-is-less)

    show  $\text{Pos } A \in\# D$ 
      using  $\langle \text{Pos } A \in\# D \rangle$  .
    next

    show  $\text{Neg } A \in\# C$ 
      using  $\langle \text{Neg } A \in\# C \rangle$  .
    next

    show  $\text{Pos } A \prec_l \text{Neg } A$ 
      by (simp add: less_l-def)
    next

    show is-maximal (Pos A) D
      using  $\langle \text{is-strictly-maximal (Pos A) D} \rangle$ 
      by auto
  qed simp-all

  hence  $\neg (\prec_c) \equiv C D$ 
    using clause.order.not-less

```

by *blast*

thus *?thesis*

proof (*rule contrapos-np*)

from *D-prod* **show** $A \notin \text{rewrite-sys } N \ C \implies (\prec_c)^{==} \ C \ D$

using $\langle D \prec_c C \rangle$ *epsilon-subset-if-less-cls*

by *blast*

qed

qed

lemma *split-Union-epsilon*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N \ C) =$
 $\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$

proof –

have $N = \{C \in N. C \prec_c D\} \cup \{D\} \cup \{C \in N. D \prec_c C\}$

proof (*rule partition-set-around-element*)

show *totalp-on* $N \ (\prec_c)$

using *clause.order.totalp-on-less* .

next

show $D \in N$

using *D-in*

by *simp*

qed

hence $(\bigcup C \in N. \text{epsilon } N \ C) =$
 $(\bigcup C \in \{C \in N. C \prec_c D\}. \text{epsilon } N \ C) \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$

by *auto*

thus $(\bigcup C \in N. \text{epsilon } N \ C) =$
 $\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$

by (*simp add: rewrite-sys-def*)

qed

lemma *split-Union-epsilon'*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N \ C) = \text{rewrite-sys } N \ D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N \ C)$

using *split-Union-epsilon[OF D-in]* *D-in*

by *auto*

lemma *lift-entailment-to-Union*:

fixes $N \ D$

assumes

D-in: $D \in N$ **and**
 R_D -*entails-D*: $\text{rewrite-sys } N D \models D$
shows
 $(\bigcup C \in N. \text{epsilon } N C) \models D$
using *lift-interp-entails*
by (*smt (verit, best) D-in R_D-entails-D UN-iff produces-imp-in-interp split-Union-epsilon'*
subsetD sup-ge1 true-cls-def true-lit-iff)

lemma *true-cls-if-productive-epsilon*:
assumes $A \in \text{epsilon } N C C \prec_c D$
shows $\text{rewrite-sys } N D \models C$
by (*meson assms epsilon-subset-if-less-cls mem-epsilonE in-mono is-strictly-maximal-def*
pos-literal-in-imp-true-cls)

lemma *model-preconstruction*:
fixes
 $N :: 't \text{ clause set}$ **and**
 $C :: 't \text{ clause}$
defines
 $\text{entails} \equiv \lambda E C. E \models C$
assumes *saturated N and {#} $\notin N$ and C-in: $C \in N$*
shows
 $\text{epsilon } N C = \{\} \longleftrightarrow \text{entails } (\text{rewrite-sys } N C) C$
 $(\bigcup D \in N. \text{epsilon } N D) \models C$
 $D \in N \implies C \prec_c D \implies \text{entails } (\text{rewrite-sys } N D) C$
unfolding *atomize-all atomize-conj atomize-imp*
using *clause.order.wfp C-in*
proof (*induction C arbitrary: D rule: wfp-induct-rule*)
case (*less C*)
note $IH = \text{less.IH}$

from $\langle \{\#\} \notin N \rangle \langle C \in N \rangle$ **have** $C \neq \{\#\}$
by *metis*

define $I :: 't \text{ set}$ **where**
 $I = \text{rewrite-sys } N C$

have $i: (\text{epsilon } N C = \{\}) \longleftrightarrow \text{entails } (\text{rewrite-sys } N C) C$
proof (*rule iffI*)

show $\text{entails } (\text{rewrite-sys } N C) C \implies \text{epsilon } N C = \{\}$
unfolding *entails-def rewrite-sys-def*
by (*metis (no-types) empty-iff equalityI mem-epsilonE rewrite-sys-def subsetI*)
next
assume $\text{epsilon } N C = \{\}$

show $\text{entails } (\text{rewrite-sys } N C) C$
proof (*cases $\exists A. \text{Neg } A \in \#\ C \wedge (\text{Neg } A \in \# \text{ select } C \vee \text{select } C = \{\#\} \wedge$*
is-maximal (Neg A) C)

```

case ex-neg-lit-sel-or-max: True

then obtain A where
  Neg A ∈# C and
  sel-or-max: select C = {#} ∧ is-maximal (Neg A) C ∨ is-maximal (Neg A)
(select C)
  by (metis (lifting) Neg-atm-of-iff empty-iff
        literal.order.ex-maximal-in-mset maximal-in-clause
        mset-subset-eqD select-negative-literals select-subset
        set-mset-empty)

then obtain C' where
  C-def: C = add-mset (Neg A) C'
  by (metis mset-add)

show ?thesis
proof (cases A ∈ rewrite-sys N C)
  case True

then obtain D where
  A ∈ epsilon N D and D ∈ N and D ≺c C
  unfolding rewrite-sys-def
  by auto

then obtain D' where
  D-def: D = add-mset (Pos A) D' and
  sel-D: select D = {#} and
  max-t-t': is-strictly-maximal (Pos A) D and
   $\neg$  entails (rewrite-sys N D) D
  by (metis (lifting) IH empty-iff mem-epsilonE)

define  $\iota :: 't$  clause inference where
   $\iota = \text{Infer } [D, C] (C' + D')$ 

have resolution: resolution D C (C' + D')
proof (rule resolutionI)

  show C = add-mset (Neg A) C'
  by (simp add: C-def)
next

  show D = add-mset (Pos A) D'
  by (simp add: D-def)
next

  show D ≺c C
  using  $\langle D \prec_c C \rangle$  .
next

```

show *select* $C = \{\#\} \wedge \text{is-maximal } (\text{Neg } A) \ C \vee \text{is-maximal } (\text{Neg } A)$
(select C)
using *sel-or-max*
by *auto*
next

show *select* $D = \{\#\}$
using *sel-D* **by** *blast*
next

show *is-strictly-maximal* $(\text{Pos } A) \ D$
using *max-t-t'* .
qed *simp-all*

hence $\iota \in G\text{-Inf}$
by *(auto simp only: ι -def G-Inf-def)*

moreover have $\bigwedge t. t \in \text{set } (\text{prems-of } \iota) \longrightarrow t \in N$
using $\langle C \in N \rangle \langle D \in N \rangle$
by *(auto simp: ι -def)*

ultimately have $\iota \in \text{Inf-from } N$
by *(auto simp: Inf-from-def)*

hence $\iota \in \text{Red-I } N$
using $\langle \text{saturated } N \rangle$
by *(auto simp: saturated-def)*

then obtain DD **where**
DD-subset: $DD \subseteq N$ and
finite DD and
DD-entails-CD: G -entails $(\text{insert } D \ DD) \ \{C' + D'\}$ and
ball-DD-lt-C: $\forall D \in DD. D \prec_c C$
unfolding *Red-I-def redundant-infer-def mem-Collect-eq*
by *(auto simp: ι -def)*

moreover have $\forall D \in \text{insert } D \ DD. \text{entails } (\text{rewrite-sys } N \ C) \ D$
using *IH[THEN conjunct2, THEN conjunct2, rule-format, of - C]*
using $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle \text{DD-subset ball-DD-lt-C}$
by *blast*

ultimately have *entails* $(\text{rewrite-sys } N \ C) \ (C' + D')$
using *DD-entails-CD*
unfolding *entails-def G-entails-def*
by *(simp add: I-def true-clss-def)*

moreover have $\neg \text{entails } (\text{rewrite-sys } N \ D) \ D'$
using $\langle \neg \text{entails } (\text{rewrite-sys } N \ D) \ D \rangle$
using *D-def entails-def*

```

    by fastforce

moreover have  $D' \prec_c D$ 
  by (metis (lifting) D-def add.comm-neutral add-mset-add-single
      add-mset-not-empty clause.order.multiset-extension-def
      empty-iff one-step-implies-multip set-mset-empty)

moreover have  $\neg$  entails (rewrite-sys N C)  $D'$ 
  using D-def  $\langle A \in \text{epsilon } N D \rangle \langle D \prec_c C \rangle$  entails-def
      false-cls-if-productive-epsilon less.premis
  by fastforce

then show entails (rewrite-sys N C) C
  using C-def entails-def
  using calculation(1) by fastforce
next
case False

thus ?thesis
  using  $\langle \text{Neg } A \in \# C \rangle$ 
  by (auto simp add: entails-def true-cls-def)
qed
next
case False

hence select C = {#}
  using select-subset select-negative-literals
  by (metis (no-types, opaque-lifting) Neg-atm-of-iff mset-subset-eqD multi-
      set-nonemptyE)

from False obtain A where Pos-A-in: Pos A  $\in \# C$  and max-Pos-A:
is-maximal (Pos A) C
  by (metis Neg-atm-of-iff  $\langle C \neq \{ \# \} \rangle \langle \text{select } C = \{ \# \} \rangle$  literal.collapse(1)
      literal.order.ex-maximal-in-mset maximal-in-clause)

then obtain C' where C-def: C = add-mset (Pos A) C'
  by (meson mset-add)

show ?thesis
proof (cases entails (rewrite-sys N C) C')
  case True

then show ?thesis
  using C-def entails-def
  by force
next
case False

show ?thesis

```

proof (*cases is-strictly-maximal (Pos A) C*)
case *strictly-maximal: True*
then show *?thesis*
 using $\langle \text{epsilon } N \ C = \{\} \rangle \langle \text{select } C = \{\#\} \rangle \text{less.prem}$
 unfolding *epsilon-unfold[of N C] Collect-empty-eq*
 unfolding *C-def entails-def*
 by *blast*
next
case *False*

hence *count C (Pos A) ≥ 2*
 using *max-Pos-A*
 using *C-def is-maximal-def is-strictly-maximal-def*
 by *fastforce*

then obtain *C' where C-def: C = add-mset (Pos A) (add-mset (Pos A)*
C')
 by (*metis two-le-countE*)

define $\iota :: 't \text{ clause inference}$ **where**
 $\iota = \text{Infer } [C] \ (\text{add-mset } (\text{Pos } A) \ C')$

have *eq-fact: factoring C (add-mset (Pos A) C')*
proof (*rule factoringI*)

show $C = \text{add-mset } (\text{Pos } A) \ (\text{add-mset } (\text{Pos } A) \ C')$
 by (*simp add: C-def*)
next

show $\text{select } C = \{\#\}$
 using $\langle \text{select } C = \{\#\} \rangle .$
next

show *is-maximal (Pos A) C*
 using *max-Pos-A .*
qed *simp-all*

hence $\iota \in G\text{-Inf}$
 by (*auto simp: ι -def G-Inf-def*)

moreover have $\bigwedge t. t \in \text{set } (\text{prems-of } \iota) \longrightarrow t \in N$
 using $\langle C \in N \rangle$
 by (*auto simp add: ι -def*)

ultimately have $\iota \in \text{Inf-from } N$
 by (*auto simp: Inf-from-def*)

hence $\iota \in \text{Red-I } N$
 using $\langle \text{saturated } N \rangle$

by (auto simp: saturated-def)

then obtain DD where
DD-subset: $DD \subseteq N$ **and**
finite DD **and**
DD-entails-concl: G -entails DD $\{add\text{-}mset (Pos A) C'\}$ **and**
ball-DD-lt-C: $\forall D \in DD. D \prec_c C$
unfolding *Red-I-def* *redundant-infer-def*
 by (auto simp: ι -def)

moreover have $\forall D \in DD. entails (rewrite\text{-}sys N C) D$
 using *IH[THEN conjunct2, rule-format, of - C]*
 using $\langle C \in N \rangle$ *DD-subset* *ball-DD-lt-C*
 by *blast*

ultimately have $entails (rewrite\text{-}sys N C) (add\text{-}mset (Pos A) C')$
 using *DD-entails-concl*
unfolding *G-entails-def* *entails-def*
 by (*simp add: I-def true-cls-def*)

then show *?thesis*
 using *C-def* *entails-def*
 by *fastforce*

qed
 qed
 qed
 qed

moreover have *iaa*: $entails (\bigcup (epsilon N \text{ ` } N)) C$
 using *epsilon-eq-empty-or-singleton[of N C]*
proof (*elim disjE exE*)
 assume $epsilon N C = \{\}$

hence $entails (rewrite\text{-}sys N C) C$
 by (*simp only: i*)

thus *?thesis*
 using *lift-entailment-to-Union[OF \langle C \in N \rangle]* *entails-def*
 by *argo*

next
fix A
assume $epsilon N C = \{A\}$

hence *eps*: $A \in epsilon N C$
 by *simp*

from *eps* **have** $Pos A \in\# C$
unfolding *epsilon.simps[of N C]* *mem-Collect-eq*
 by *force*

```

moreover from eps have  $A \in \bigcup (\text{epsilon } N \text{ 'N})$ 
  using  $\langle C \in N \rangle$  UN-upper
  by fast

ultimately show ?thesis
  using entails-def
  by blast
qed

moreover have iib: entails (rewrite-sys N D) C if  $D \in N$  and  $C \prec_c D$ 
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence entails (rewrite-sys N C) C
    unfolding i
    by simp

  thus ?thesis
    using lift-interp-entails[OF  $\langle C \in N \rangle$  - that] entails-def
    by argo
next
  fix A assume epsilon N C = {A}

  thus ?thesis
    by (simp add: entails-def that(1,2) true-cls-if-productive-epsilon)
qed

ultimately show ?case
  by (simp add: entails-def)
qed

lemma model-construction:
  fixes
     $N :: 't \text{ clause set}$  and
     $C :: 't \text{ clause}$ 
  defines entails  $\equiv \lambda E C. E \models C$ 
  assumes saturated N and  $\{\#\} \notin N$  and C-in:  $C \in N$ 
  shows entails  $(\bigcup D \in N. \text{epsilon } N D) C$ 
  unfolding atomize-conj atomize-imp
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence entails (rewrite-sys N C) C
    using model-preconstruction(1)[OF assms(2,3,4)]
    by (metis entails-def)

```

```

thus ?thesis
  using lift-entailment-to-Union(1)[OF ⟨C ∈ N⟩]
  by (simp only: entails-def)
next
fix A assume epsilon N C = {A}

thus ?thesis
  using C-in-assms(2,3) entails-def model-preconstruction(2)
  by blast
qed

```

1.6 Static Refutational Completeness

```

lemma statically-complete:
  fixes N :: 't clause set
  assumes saturated N and G-entails N {{#}}
  shows {#} ∈ N
  using ⟨G-entails N {{#}}⟩
proof (rule contrapos-pp)
  assume {#} ∉ N

  define I :: 't set where
    I = (⋃ D ∈ N. epsilon N D)

  show ¬ G-entails N G-Bot
  unfolding G-entails-def not-all not-imp
  proof (intro exI conjI)

    show I  $\models_s$  N
    unfolding I-def
    using model-construction[OF ⟨saturated N⟩ ⟨{#} ∉ N⟩]
    by (simp add: true-cls-def)
  next

    show ¬ I  $\models_s$  G-Bot
    by simp
  qed
qed

```

```

sublocale statically-complete-calculus where
  Bot = G-Bot and
  Inf = G-Inf and
  entails = G-entails and
  Red-I = Red-I and
  Red-F = Red-F
  using statically-complete
  by unfold-locales simp

end

```

```

end
theory Ground-Ordered-Resolution-Soundness
  imports Ground-Ordered-Resolution
begin

context ground-ordered-resolution-calculus
begin

lemma soundness-ground-resolution:
  assumes
    step: resolution D E C
  shows G-entails {D, E} {C}
  using step
proof (cases D E C rule: resolution.cases)
  case (resolutionI l1 l2 E' D' t)

  show ?thesis
    unfolding G-entails-def true-cls-singleton
    unfolding true-cls-insert
  proof (intro allI impI, elim conjE)
    fix I :: 't set
    assume I ⊨ E and I ⊨ D

    then obtain k1 k2 :: 't literal where
      k1 ∈# E and I ⊨l k1 and k2 ∈# D and I ⊨l k2
      by (auto simp: true-cls-def)

    show I ⊨ C
    proof (cases k1 = l1)
      case K1-def: True

      hence I ⊨l l1
        using ⟨I ⊨l k1⟩
        by simp

      show ?thesis
      proof (cases k2 = l2)
        case K2-def: True

        hence I ⊨l l2
          using ⟨I ⊨l k2⟩
          by simp

        hence False
          using ⟨I ⊨l l1⟩ local.resolutionI(7,8) by auto

      thus ?thesis ..
    next
  end
end

```

```

case False

hence  $k_2 \in \# D'$ 
  using  $\langle k_2 \in \# D \rangle$ 
  unfolding resolutionI
  by simp

hence  $I \Vdash D'$ 
  using  $\langle I \Vdash k_2 \rangle$ 
  by blast

thus ?thesis
  unfolding resolutionI
  by simp
qed
next
case False

hence  $k_1 \in \# E'$ 
  using  $\langle k_1 \in \# E \rangle$ 
  unfolding resolutionI
  by simp

hence  $I \Vdash E'$ 
  using  $\langle I \Vdash k_1 \rangle$ 
  by blast

thus ?thesis
  unfolding resolutionI
  by simp
qed
qed
qed

lemma soundness-ground-factoring:
  assumes step: factoring D C
  shows G-entails  $\{D\} \{C\}$ 
  using step
proof (cases D C rule: factoring.cases)
  case (factoringI l D' t)

show ?thesis
  unfolding G-entails-def true-clss-singleton
proof (intro allI impI)
  fix  $I :: 't \text{ set}$ 
  assume  $I \Vdash D$ 

then obtain  $k :: 't \text{ literal}$  where
   $k \in \# D$  and  $I \Vdash k$ 

```

```

    by (auto simp: true-cls-def)

show I  $\models$  C
proof (cases k = l)
  case True

    hence I  $\models$  l
    using  $\langle I \models k \rangle$ 
    by metis

  thus ?thesis
    unfolding factoringI
    by (metis true-cls-add-mset)
next
  case False

    hence k  $\in\#$  D'
    using  $\langle k \in\# D \rangle$ 
    unfolding factoringI
    by auto

    hence k  $\in\#$  C
    unfolding factoringI
    by simp

  thus ?thesis
    using  $\langle I \models k \rangle$ 
    by blast
qed
qed
qed

sublocale sound-inference-system where
  Inf = G-Inf and
  Bot = G-Bot and
  entails = G-entails
proof unfold-locales
  fix  $\iota$ 
  assume  $\iota \in G-Inf$ 

  then show G-entails (set (prems-of  $\iota$ )) {concl-of  $\iota$ }
  unfolding G-Inf-def
  using soundness-ground-resolution soundness-ground-factoring
  by auto
qed

end

end

```

```

theory Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order
    First-Order-Clause.Nonground-Selection-Function
    First-Order-Clause.Nonground-Typing
    First-Order-Clause.Typed-Tiebreakers
    Saturation-Framework.Lifting-to-Non-Ground-Calculi

    Ground-Ordered-Resolution
begin

locale ordered-resolution-calculus =
  witnessed-nonground-typing where
    welltyped = welltyped and term-to-ground = term-to-ground :: 't ⇒ 'tG and
    id-subst = id-subst :: 'subst +

    nonground-order where lesst = lesst +

    nonground-selection-function where
    select = select and atom-subst = (·t) and atom-vars = term.vars and
    atom-from-ground = term.from-ground and atom-to-ground = term.to-ground +
    tiebreakers tiebreakers

for
  select :: 't select and
  lesst :: 't ⇒ 't ⇒ bool and
  tiebreakers :: ('tG, 't) tiebreakers and
  welltyped :: ('v :: infinite, 'ty) var-types ⇒ 't ⇒ 'ty ⇒ bool
begin

inductive factoring :: ('t, 'v, 'ty) typed-clause ⇒ ('t, 'v, 'ty) typed-clause ⇒ bool
where
  factoringI:
     $D = \text{add-mset } l_1 (\text{add-mset } l_2 D') \implies$ 
     $l_1 = \text{Pos } t_1 \implies$ 
     $l_2 = \text{Pos } t_2 \implies$ 
     $C = (\text{add-mset } l_1 D') \cdot \mu \implies$ 
    factoring ( $\mathcal{V}, D$ ) ( $\mathcal{V}, C$ )

if
  select  $D = \{\#\}$ 
  is-maximal ( $l_1 \cdot l \mu$ ) ( $D \cdot \mu$ )
  type-preserving-on (clause.vars  $D$ )  $\mathcal{V} \mu$ 
  term.is-imgu  $\mu \{\{t_1, t_2\}\}$ 

inductive resolution ::
  ('t, 'v, 'ty) typed-clause ⇒
  ('t, 'v, 'ty) typed-clause ⇒
  ('t, 'v, 'ty) typed-clause ⇒ bool where
  resolutionI:
     $E = \text{add-mset } l_1 E' \implies$ 

```

$D = \text{add-mset } l_2 \ D' \implies$
 $l_1 = \text{Neg } t_1 \implies$
 $l_2 = \text{Pos } t_2 \implies$
 $C = (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \implies$
 $\text{resolution } (\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C)$

if

$\text{infinite-variables-per-type } \mathcal{V}_1$
 $\text{infinite-variables-per-type } \mathcal{V}_2$
 $\text{term.is-renaming } \varrho_1$
 $\text{term.is-renaming } \varrho_2$
 $\text{clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{ \}$
 $\text{type-preserving-on } (\text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \ \mathcal{V}_3 \ \mu$
 $\text{term.is-imgu } \mu \ \{ \{ t_1 \cdot t \ \varrho_1, t_2 \cdot t \ \varrho_2 \} \}$
 $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
 $\text{select } E = \{ \# \} \implies \text{is-maximal } (l_1 \cdot l \ \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)$
 $\text{select } E \neq \{ \# \} \implies \text{is-maximal } (l_1 \cdot l \ \varrho_1 \odot \mu) ((\text{select } E) \cdot \varrho_1 \odot \mu)$
 $\text{select } D = \{ \# \}$
 $\text{is-strictly-maximal } (l_2 \cdot l \ \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$
 $\forall x \in \text{clause.vars } E. \ \mathcal{V}_1 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_1 \ x)$
 $\forall x \in \text{clause.vars } D. \ \mathcal{V}_2 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_2 \ x)$
 $\text{type-preserving-on } (\text{clause.vars } E) \ \mathcal{V}_1 \ \varrho_1$
 $\text{type-preserving-on } (\text{clause.vars } D) \ \mathcal{V}_2 \ \varrho_2$

abbreviation factoring-inferences where
 $\text{factoring-inferences} \equiv \{ \text{Infer } [D] \ C \mid D \ C. \ \text{factoring } D \ C \}$

abbreviation resolution-inferences where
 $\text{resolution-inferences} \equiv \{ \text{Infer } [D, E] \ C \mid D \ E \ C. \ \text{resolution } D \ E \ C \}$

definition inferences :: ('t, 'v, 'ty) typed-clause inference set where
 $\text{inferences} \equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation bottom_F :: ('t, 'v, 'ty) typed-clause set (\perp_F) where
 $\text{bottom}_F \equiv \{ (\mathcal{V}, \{ \# \}) \mid \mathcal{V}. \ \text{infinite-variables-per-type } \mathcal{V} \}$

end

end

theory Grounded-Ordered-Resolution

imports

Ordered-Resolution

Ground-Ordered-Resolution

First-Order-Clause.Grounded-Selection-Function

First-Order-Clause.Nonground-Inference

Saturation-Framework.Lifting-to-Non-Ground-Calculi

Polynomial-Factorization.Missing-List

begin

locale *grounded-ordered-resolution-calculus* =
ordered-resolution-calculus **where**
select = *select* **and** *welltyped* = *welltyped* **and**
term-from-ground = *term-from-ground* :: 't_G ⇒ 't **and** *id-subst* = *id-subst* ::
'subst +

grounded-selection-function **where**
select = *select* **and** *atom-subst* = (·t) **and** *atom-vars* = *term.vars* **and**
atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground*
and
is-ground-instance = *is-ground-instance*
for
select :: 't *select* **and**
welltyped :: ('v :: *infinite*, 'ty) *var-types* ⇒ 't ⇒ 'ty ⇒ *bool*
begin

sublocale *ground: ground-ordered-resolution-calculus* **where**
less_t = (≺_{tG}) **and** *select* = *select_G*

rewrites
mset-extension.mset-extension (≺_{tG}) *ground.literal-to-mset* = (≺_{tG}) **and**
mset-extension.mset-extension (≺_{tG}) (λx. x) = (≺_{cG}) **and**
 $\bigwedge l_G C_G. \text{ground.is-maximal } l_G C_G \longleftrightarrow \text{ground-is-maximal } l_G C_G$ **and**
 $\bigwedge l_G C_G. \text{ground.is-strictly-maximal } l_G C_G \longleftrightarrow \text{ground-is-strictly-maximal } l_G C_G$
unfolding *is-maximal-rewrite[symmetric]* *is-strictly-maximal-rewrite[symmetric]*
by *unfold-locales simp-all*

abbreviation *is-inference-ground-instance-one-premise* **where**

is-inference-ground-instance-one-premise D C ι_G γ ≡
case (D, C) of ((V', D), (V, C)) ⇒
inference.is-ground (Infer [D] C ·ι γ) ∧
ι_G = *inference.to-ground* (Infer [D] C ·ι γ) ∧
type-preserving-on (clause.vars C) V γ ∧
V = V' ∧
infinite-variables-per-type V

abbreviation *is-inference-ground-instance-two-premises* **where**

is-inference-ground-instance-two-premises D E C ι_G γ ρ₁ ρ₂ ≡
case (D, E, C) of ((V₂, D), (V₁, E), (V₃, C)) ⇒
term.is-renaming ρ₁ ∧
term.is-renaming ρ₂ ∧
clause.vars (E · ρ₁) ∩ *clause.vars* (D · ρ₂) = {} ∧
inference.is-ground (Infer [D · ρ₂, E · ρ₁] C ·ι γ) ∧
ι_G = *inference.to-ground* (Infer [D · ρ₂, E · ρ₁] C ·ι γ) ∧
type-preserving-on (clause.vars C) V₃ γ ∧
infinite-variables-per-type V₁ ∧
infinite-variables-per-type V₂ ∧
infinite-variables-per-type V₃

abbreviation *is-inference-ground-instance* **where**

is-inference-ground-instance ι ι_G $\gamma \equiv$
(*case* ι *of*
 Infer $[D]$ $C \Rightarrow$ *is-inference-ground-instance-one-premise* D C ι_G γ
 | *Infer* $[D, E]$ $C \Rightarrow \exists \varrho_1 \varrho_2.$ *is-inference-ground-instance-two-premises* D E C
 ι_G γ ϱ_1 ϱ_2
 | $- \Rightarrow$ *False*)
 $\wedge \iota_G \in$ *ground.G-Inf*

definition *inference-ground-instances* **where**

inference-ground-instances $\iota = \{ \iota_G \mid \iota_G \gamma. \text{is-inference-ground-instance } \iota \iota_G \gamma \}$

lemma *is-inference-ground-instance*:

is-inference-ground-instance ι ι_G $\gamma \Longrightarrow \iota_G \in$ *inference-ground-instances* ι
unfolding *inference-ground-instances-def*
by *blast*

lemma *is-inference-ground-instance-one-premise*:

assumes *is-inference-ground-instance-one-premise* D C ι_G γ $\iota_G \in$ *ground.G-Inf*
shows $\iota_G \in$ *inference-ground-instances* (*Infer* $[D]$ C)
using *assms*
unfolding *inference-ground-instances-def*
by *auto*

lemma *is-inference-ground-instance-two-premises*:

assumes *is-inference-ground-instance-two-premises* D E C ι_G γ ϱ_1 ϱ_2 $\iota_G \in$
ground.G-Inf
shows $\iota_G \in$ *inference-ground-instances* (*Infer* $[D, E]$ C)
using *assms*
unfolding *inference-ground-instances-def*
by *auto*

lemma *ground-inference-concl-in-ground-instances*:

assumes $\iota_G \in$ *inference-ground-instances* ι
shows *concl-of* $\iota_G \in$ *uncurried-ground-instances* (*concl-of* ι)
proof –
obtain *premises* C \mathcal{V} **where**
 $\iota: \iota =$ *Infer* *premises* (C, \mathcal{V})
 using *Calculus.inference.exhaust*
 by (*metis prod.collapse*)

show *?thesis*

using *assms*

unfolding ι *inference-ground-instances-def* *ground-instances-def*

by (*cases* *premises* *rule: list-4-cases*) *auto*

qed

lemma *ground-inference-red-in-ground-instances-of-concl*:

assumes $\iota_G \in \text{inference-ground-instances } \iota$
shows $\iota_G \in \text{ground.Red-I (uncurried-ground-instances (concl-of } \iota))$
proof –
from *assms* **have** $\iota_G \in \text{ground.G-Inf}$
unfolding *inference-ground-instances-def*
by *blast*

moreover have *concl-of* $\iota_G \in \text{uncurried-ground-instances (concl-of } \iota)$
using *assms ground-inference-concl-in-ground-instances*
by *auto*

ultimately show $\iota_G \in \text{ground.Red-I (uncurried-ground-instances (concl-of } \iota))$
using *ground.Red-I-of-Inf-to-N*
by *blast*
qed

sublocale *lifting*:

tiebreaker-lifting

\perp_F

inferences

ground.G-Bot

ground.G-entails

ground.G-Inf

ground.GRed-I

ground.GRed-F

uncurried-ground-instances

Some \circ *inference-ground-instances*

typed-tiebreakers

proof (*unfold-locales*; (*intro impI typed-tiebreakers.wfp typed-tiebreakers.transp*)?)

show $\perp_F \neq \{\}$

using *obtain-infinite-variables-per-type-on''[of {}]*

by *auto*

next

fix *bottom*

assume *bottom* $\in \perp_F$

then show *uncurried-ground-instances bottom* $\neq \{\}$

unfolding *ground-instances-def*

by *fastforce*

next

fix *bottom*

assume *bottom* $\in \perp_F$

then show *uncurried-ground-instances bottom* $\subseteq \text{ground.G-Bot}$

unfolding *ground-instances-def*

by *auto*

next

fix *C* :: (*'t*, *'v*, *'ty*) *typed-clause*

```

assume uncurried-ground-instances  $C \cap \text{ground.G-Bot} \neq \{\}$ 

moreover then have  $\text{snd } C = \{\#\}$ 
  unfolding ground-instances-def
  by simp

then have  $C = (\text{fst } C, \{\#\})$ 
  by (metis split-pairs)

ultimately show  $C \in \perp_F$ 
  unfolding ground-instances-def
  by blast
next
  fix  $\iota :: ('t, 'v, 'ty) \text{ typed-clause inference}$ 

  show the ( $(\text{Some} \circ \text{inference-ground-instances}) \iota \subseteq$ 
     $\text{ground.GRed-I} (\text{uncurried-ground-instances} (\text{concl-of } \iota))$ )
    using ground-inference-red-in-ground-instances-of-concl
    by auto
qed
end

context ordered-resolution-calculus
begin

abbreviation grounded-inference-ground-instances where
  grounded-inference-ground-instances selectG ≡
  grounded-ordered-resolution-calculus.inference-ground-instances
  ( $\odot$ ) apply-subst ( $\cdot$ ) term.vars term.to-ground ( $\prec_t$ ) id-subst term.from-ground
  selectG welltyped

sublocale
  lifting-intersection
  inferences
   $\{\{\#\}\}$ 
   $\text{select}_{G_s}$ 
  ground-ordered-resolution-calculus.G-Inf ( $\prec_{tG}$ )
   $\lambda$ -. ground-ordered-resolution-calculus.G-entails
  ground-ordered-resolution-calculus.GRed-I ( $\prec_{tG}$ )
   $\lambda$ -. ground-ordered-resolution-calculus.GRed-F ( $\prec_{tG}$ )
   $\perp_F$ 
   $\lambda$ -. uncurried-ground-instances
   $\lambda \text{select}_G$ . Some  $\circ$  grounded-inference-ground-instances selectG
  typed-tiebreakers
proof (unfold-locales; (intro ballI) ?)

  show  $\text{select}_{G_s} \neq \{\}$ 
  using selectG-simple

```

```

    unfolding selectGs-def
    by blast
next
fix selectG
assume selectG ∈ selectGs

then interpret grounded-ordered-resolution-calculus
  where selectG = selectG
  by unfold-locales (simp add: selectGs-def)

show consequence-relation ground.G-Bot ground.G-entails
  using ground.consequence-relation-axioms .

show tiebreaker-lifting
   $\perp_F$ 
  inferences
  ground.G-Bot
  ground.G-entails
  ground.G-Inf
  ground.GRed-I
  ground.GRed-F
  uncurried-ground-instances
  (Some  $\circ$  inference-ground-instances)
  typed-tiebreakers
  by unfold-locales
qed

end

end
theory Ordered-Resolution-Soundness
  imports Grounded-Ordered-Resolution
begin

```

1.7 Soundness

```

context grounded-ordered-resolution-calculus
begin

```

```

notation lifting.entails-G (infix  $\models_F$  50)

```

```

lemma factoring-sound:

```

```

  assumes factoring: factoring D C

```

```

  shows  $\{D\} \models_F \{C\}$ 

```

```

  using factoring

```

```

proof (cases D C rule: factoring.cases)

```

```

  case (factoringI D l1  $\mu$   $\mathcal{V}$  t1 t2 l2 D' C)

```

```

  {

```

fix $I :: 't_G$ set **and** $\gamma :: 'subst$

assume

entails-ground-instances: $\forall D_G \in \text{ground-instances } \mathcal{V} D. I \models D_G$ **and**

C-is-ground: *clause.is-ground* ($C \cdot \gamma$) **and**

type-preserving- γ : *type-preserving-on* (*clause.vars* C) $\mathcal{V} \gamma$ **and**

\mathcal{V} : *infinite-variables-per-type* \mathcal{V}

obtain γ' **where**

γ' -is-ground-subst: *term.is-ground-subst* γ' **and**

type-preserving- γ' : *type-preserving* $\mathcal{V} \gamma'$ **and**

γ' - γ : $\forall x \in \text{clause.vars } C. x \cdot v \gamma = x \cdot v \gamma'$

using *clause.type-preserving-ground-subst-extension*[*OF C-is-ground type-preserving- γ*]

let $?D_G = \text{clause.to-ground } (D \cdot \mu \cdot \gamma')$

let $?D_G' = \text{clause.to-ground } (D' \cdot \mu \cdot \gamma')$

let $?l_{G1} = \text{literal.to-ground } (l_1 \cdot l \mu \cdot l \gamma')$

let $?l_{G2} = \text{literal.to-ground } (l_2 \cdot l \mu \cdot l \gamma')$

let $?t_{G1} = \text{term.to-ground } (t_1 \cdot t \mu \cdot t \gamma')$

let $?t_{G2} = \text{term.to-ground } (t_2 \cdot t \mu \cdot t \gamma')$

let $?C_G = \text{clause.to-ground } (C \cdot \gamma')$

have *type-preserving- μ* : *type-preserving-on* (*clause.vars* D) $\mathcal{V} \mu$

using *factoringI*(5)

by *blast*

have [*simp*]: $?t_{G2} = ?t_{G1}$

using *factoringI*(6) *term.is-imgu-unifies-pair*

by *metis*

have [*simp*]: $t_1 \cdot t \mu \cdot t \gamma' = t_1 \cdot t \mu \cdot t \gamma$

using *γ' - γ term.subst-eq*

unfolding *factoringI*

by *fastforce*

have $?D_G \in \text{ground-instances } \mathcal{V} D$

proof(*unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,*

intro exI, intro conjI \mathcal{V})

show *clause.to-ground* ($D \cdot \mu \cdot \gamma'$) = *clause.to-ground* ($D \cdot \mu \odot \gamma'$)

by *simp*

next

show *clause.is-ground* ($D \cdot \mu \odot \gamma'$)

using *γ' -is-ground-subst clause.is-ground-subst-is-ground*

by *auto*

next

```

show type-preserving-on (clause.vars D)  $\mathcal{V}$  ( $\mu \odot \gamma'$ )
using
  type-preserving- $\mu$ 
  type-preserving- $\gamma'$ 
   $\gamma'$ -is-ground-subst
  term.type-preserving-ground-compose-ground-subst
by presburger
qed

then have  $I \models ?D_G$ 
using entails-ground-instances
by blast

then have  $I \models \text{clause.to-ground } (C \cdot \gamma)$ 
using clause.subst-eq[OF  $\gamma'$ - $\gamma$ [rule-format]]
unfolding factoringI
by auto
}

then show ?thesis
unfolding
  factoringI(1, 2)
  ground.G-entails-def
  true-cls-def
  ground-instances-def
by auto
qed

lemma resolution-sound:
assumes resolution: resolution D E C
shows  $\{E, D\} \models_F \{C\}$ 
using resolution
proof (cases D E C rule: resolution.cases)
case (resolutionI  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D \mathcal{V}_3 \mu t_1 t_2 l_1 l_2 E' D' C$ )

{
  fix  $I :: 't_G \text{ set}$  and  $\gamma :: 'subst$ 

assume
  E-entails-ground-instances:  $\forall E_G \in \text{ground-instances } \mathcal{V}_1 E. I \models E_G$  and
  D-entails-ground-instances:  $\forall D_G \in \text{ground-instances } \mathcal{V}_2 D. I \models D_G$  and
  C-is-ground: clause.is-ground (C ·  $\gamma$ ) and
  type-preserving- $\gamma$ : type-preserving-on (clause.vars C)  $\mathcal{V}_3 \gamma$ 

obtain  $\gamma'$  where
   $\gamma'$ -is-ground-subst: term.is-ground-subst  $\gamma'$  and
  type-preserving- $\gamma'$ : type-preserving  $\mathcal{V}_3 \gamma'$  and
   $\gamma'$ - $\gamma$ :  $\forall x \in \text{clause.vars } C. x \cdot v \gamma = x \cdot v \gamma'$ 
using clause.type-preserving-ground-subst-extension[OF C-is-ground type-preserving- $\gamma$ ]

```

```

let ?EG = clause.to-ground (E · ρ1 · μ · γ′)
let ?DG = clause.to-ground (D · ρ2 · μ · γ′)

let ?lG1 = literal.to-ground (l1 · l ρ1 · l μ · l γ′)
let ?lG2 = literal.to-ground (l2 · l ρ2 · l μ · l γ′)

let ?EG′ = clause.to-ground (E′ · ρ1 · μ · γ′)
let ?DG′ = clause.to-ground (D′ · ρ2 · μ · γ′)

let ?tG1 = term.to-ground (t1 · t ρ1 · t μ · t γ′)
let ?tG2 = term.to-ground (t2 · t ρ2 · t μ · t γ′)

let ?CG = clause.to-ground (C · γ′)

have μ-γ′-is-ground-subst: term.is-ground-subst (μ ⊙ γ′)
  using term.is-ground-subst-comp-right[OF γ′-is-ground-subst] .

have type-preserving-μ: type-preserving-on (clause.vars (E · ρ1) ∪ clause.vars
(D · ρ2)) V3 μ
  using resolutionI(9)
  by blast

have type-preserving-μ-γ:
  type-preserving-on (clause.vars (E · ρ1) ∪ clause.vars (D · ρ2)) V3 (μ ⊙ γ′)
  using
    type-preserving-γ′
    type-preserving-μ
    γ′-is-ground-subst
    term.type-preserving-ground-compose-ground-subst
  by presburger

note type-preserving-ρ-μ-γ = term.renaming-ground-subst[OF - μ-γ′-is-ground-subst]

have ?EG ∈ ground-instances V1 E
  proof(
    unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,
    intro exI, intro conjI resolutionI)

  show clause.to-ground (E · ρ1 · μ · γ′) = clause.to-ground (E · ρ1 ⊙ μ ⊙ γ′)
    by simp
  next

  show clause.is-ground (E · ρ1 ⊙ μ ⊙ γ′)
    using γ′-is-ground-subst clause.is-ground-subst-is-ground
    by auto
  next

```

```

show type-preserving-on (clause.vars E)  $\mathcal{V}_1$  ( $\varrho_1 \odot \mu \odot \gamma'$ )
using
  type-preserving- $\mu$ - $\gamma$ 
  type-preserving- $\varrho$ - $\mu$ - $\gamma$ [OF resolutionI(6, 18) - resolutionI(16)]
by (simp add: term.assoc clause.vars-subst)
qed

then have entails- $E_G$ :  $I \Vdash ?E_G$ 
using E-entails-ground-instances
by blast

have  $?D_G \in \text{ground-instances } \mathcal{V}_2 \ D$ 
proof(
  unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,
  intro exI, intro conjI resolutionI)

show clause.to-ground ( $D \cdot \varrho_2 \cdot \mu \cdot \gamma'$ ) = clause.to-ground ( $D \cdot \varrho_2 \odot \mu \odot \gamma'$ )
by simp
next

show clause.is-ground ( $D \cdot \varrho_2 \odot \mu \odot \gamma'$ )
using  $\gamma'$ -is-ground-subst clause.is-ground-subst-is-ground
by auto
next

show type-preserving-on (clause.vars D)  $\mathcal{V}_2$  ( $\varrho_2 \odot \mu \odot \gamma'$ )
using
  type-preserving- $\mu$ - $\gamma$ 
  type-preserving- $\varrho$ - $\mu$ - $\gamma$ [OF resolutionI(7, 19) - resolutionI(17)]
by (simp add: term.assoc clause.vars-subst)
qed

then have entails- $D_G$ :  $I \Vdash ?D_G$ 
using D-entails-ground-instances
by blast

have  $I \Vdash \text{clause.to-ground } (C \cdot \gamma')$ 
proof –
have [simp]:  $?t_{G1} = ?t_{G2}$ 
using resolutionI(10) term.is-ingu-unifies-pair
by metis

have [simp]:  $?l_{G1} = \text{Neg } ?t_{G1}$ 
unfolding resolutionI
by simp

have [simp]:  $?l_{G2} = \text{Pos } ?t_{G2}$ 
unfolding resolutionI
by simp

```

```

have [simp]: ? $E_G = \text{add-mset } ?l_{G1} \text{ } ?E_{G'}$ 
  unfolding resolutionI
  by simp

have [simp]: ? $D_G = \text{add-mset } ?l_{G2} \text{ } ?D_{G'}$ 
  unfolding resolutionI
  by simp

have  $\neg I \models ?l_{G1} \vee \neg I \models ?l_{G2}$ 
  by simp

then have  $I \models ?E_{G'} \vee I \models ?D_{G'}$ 
  using entails- $E_G$  entails- $D_G$ 
  by force

moreover have ? $C_G = ?E_{G'} + ?D_{G'}$ 
  unfolding resolutionI
  by simp

ultimately show ?thesis
  by auto
qed

then have  $I \models \text{clause.to-ground } (C \cdot \gamma)$ 
  by (metis  $\gamma' \cdot \gamma$  clause.subst-eq)
}

then show ?thesis
  unfolding ground.G-entails-def ground-instances-def true-cls-def resolutionI(1-3)
  by auto
qed

sublocale sound-inference-system inferences  $\perp_F (\models_F)$ 
proof unfold-locales
fix  $\iota$ 

  assume  $\iota \in \text{inferences}$ 

  then show  $\text{set } (\text{prems-of } \iota) \models_F \{ \text{concl-of } \iota \}$ 
  using
    factoring-sound
    resolution-sound
  unfolding inferences-def ground.G-entails-def
  by auto
qed

end

```

```

sublocale ordered-resolution-calculus  $\subseteq$  sound-inference-system inferences  $\perp_F$  entails- $\mathcal{G}$ 
proof unfold-locales
  obtain selectG where selectG: selectG  $\in$  selectGs
    using Q-nonempty by blast

  then interpret grounded-ordered-resolution-calculus
    where selectG = selectG
    by unfold-locales (simp add: selectGs-def)

  fix  $\iota$ 
  assume  $\iota \in$  inferences

  then show entails- $\mathcal{G}$  (set (prems-of  $\iota$ ) {concl-of  $\iota$ )
    unfolding entails-def
    using sound
    by blast
qed

end
theory Ordered-Resolution-Completeness
  imports
    Grounded-Ordered-Resolution
    Ground-Ordered-Resolution-Completeness
begin

```

2 Completeness

```

context grounded-ordered-resolution-calculus
begin

```

2.1 Liftings

lemma *factoring-lifting*:

```

fixes
  DG CG :: 'tG clause and
  D C :: 't clause and
   $\gamma$  :: 'subst
defines
  [simp]: DG  $\equiv$  clause.to-ground (D  $\cdot$   $\gamma$ ) and
  [simp]: CG  $\equiv$  clause.to-ground (C  $\cdot$   $\gamma$ )
assumes
  ground-factoring: ground.factoring DG CG and
  D-grounding: clause.is-ground (D  $\cdot$   $\gamma$ ) and
  C-grounding: clause.is-ground (C  $\cdot$   $\gamma$ ) and
  select: clause.from-ground (selectG DG) = (select D)  $\cdot$   $\gamma$  and
  type-preserving- $\gamma$ : type-preserving-on (clause.vars D)  $\mathcal{V}$   $\gamma$  and
   $\mathcal{V}$ : infinite-variables-per-type  $\mathcal{V}$ 
obtains C'

```

where

factoring $(\mathcal{V}, D) (\mathcal{V}, C')$

Infer $[D_G] C_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}, D)] (\mathcal{V}, C'))$

$C' \cdot \gamma = C \cdot \gamma$

using *ground-factoring*

proof(*cases* $D_G C_G$ *rule*: *ground.factoring.cases*)

case *ground-factoringI*: (*factoringI* $l_G D_G' t_G$)

have $D \neq \{\#\}$

using *ground-factoringI*(3)

by *auto*

then obtain l_1 **where**

l_1 -*is-maximal*: *is-maximal* $l_1 D$ **and**

l_1 - γ -*is-maximal*: *is-maximal* $(l_1 \cdot l \gamma) (D \cdot \gamma)$

using *that obtain-maximal-literal D-grounding*

by *blast*

obtain t_1 **where**

l_1 : $l_1 = (\text{Pos } t_1)$ **and**

l_1 - γ : $l_1 \cdot l \gamma = (\text{Pos } (\text{term.from-ground } t_G))$ **and**

t_1 - γ : $t_1 \cdot t \gamma = \text{term.from-ground } t_G$

proof–

have *is-maximal* (*literal.from-ground* l_G) $(D \cdot \gamma)$

using *D-grounding ground-factoringI*(2)

by *auto*

then have $l_1 \cdot l \gamma = (\text{Pos } (\text{term.from-ground } t_G))$

unfolding *ground-factoringI*(4)

using *unique-maximal-in-ground-clause*[*OF D-grounding* l_1 - γ -*is-maximal*]

by *simp*

then show *?thesis*

using *that*

by (*metis Neg-atm-of-iff clause-safe-unfolds*(9) *literal.collapse*(1) *literal.sel*(1)
subst-polarity-stable(2))

qed

obtain $l_2 D'$ **where**

l_2 - γ : $l_2 \cdot l \gamma = \text{Pos } (\text{term.from-ground } t_G)$ **and**

D : $D = \text{add-mset } l_1 (\text{add-mset } l_2 D')$

proof–

obtain D'' **where** D : $D = \text{add-mset } l_1 D''$

using *maximal-in-clause*[*OF* l_1 -*is-maximal*]

by (*meson multi-member-split*)

moreover have $D \cdot \gamma = \text{clause.from-ground } (\text{add-mset } l_G (\text{add-mset } l_G D_G'))$

using *ground-factoringI*(3) C_G -*def*

by (metis D_G -def D -grounding clause.to-ground-inverse)

ultimately have $D'' \cdot \gamma = \text{add-mset} (\text{literal.from-ground } l_G) (\text{clause.from-ground } D_G')$

using $l_1\text{-}\gamma$
 by (simp add: ground-factorizingI(4))

then obtain l_2 **where** $l_2 \cdot l \gamma = \text{Pos} (\text{term.from-ground } t_G) l_2 \in\# D''$

unfolding clause.subst-def ground-factorizingI
 using msed-map-invR
 by force

then show ?thesis

using that
 unfolding D
 by (metis mset-add)

qed

then obtain t_2 **where**

$l_2: l_2 = (\text{Pos } t_2)$ **and**
 $t_2\text{-}\gamma: t_2 \cdot t \gamma = \text{term.from-ground } t_G$

unfolding ground-factorizingI(2)
 by (metis clause-safe-unfolds(9) is-pos-def literal.sel(1) subst-polarity-stable(2))

have $D'\text{-}\gamma: D' \cdot \gamma = \text{clause.from-ground } D_G'$

using D D -grounding ground-factorizingI $l_1\text{-}\gamma$ $l_2\text{-}\gamma$
 by force

obtain μ **where**

$\text{type-preserving-}\mu: \text{type-preserving-on} (\text{clause.vars } D) \mathcal{V} \mu$ **and**
 $\text{imgu}: \text{term.is-imgu } \mu \{\{t_1, t_2\}\}$

proof (rule obtain-type-preserving-on-imgu[OF - that], intro conjI)

show $t_1 \cdot t \gamma = t_2 \cdot t \gamma$

using $t_1\text{-}\gamma$ $t_2\text{-}\gamma$
 by argo

next

show $\text{type-preserving-on} (\text{term.vars } t_1 \cup \text{term.vars } t_2) \mathcal{V} \gamma$

using type-preserving- γ
 unfolding D l_1 l_2
 by auto

qed

obtain σ **where** $\gamma: \gamma = \mu \odot \sigma$

using term.obtain-imgu-absorption[of γ , OF - imgu] $t_1\text{-}\gamma$ $t_2\text{-}\gamma$
 by auto

let ? $C'' = \text{add-mset } l_1 D'$

```

let ?C' = ?C'' · μ

show ?thesis
proof(rule that)

  show factoring: factoring (V, D) (V, ?C')
  proof (rule factoringI; (rule D1 · l μ ∈# D · μ
  using l1-is-maximal clause.subst-in-to-set-subst maximal-in-clause
  by blast

  then show is-maximal (l1 · l μ) (D · μ)
  using is-maximal-if-grounding-is-maximal D-grounding l1-γ-is-maximal
  unfolding γ
  by auto
  next

  show D = add-mset l1 (add-mset (Pos t2) D')
  unfolding D l2 ..
  next
  show l1 = Pos t1
  using l1 .
  qed

show C'-γ: ?C' · γ = C · γ
proof-
  have term.is-idem μ
  usingG) DG')
  using ground-factoringI(5) clause.to-ground-eq[OF C-grounding clause.ground-is-ground]
  unfolding CG-def
  by (metis clause.from-ground-inverse ground-factoringI(4))

  also have ... = ?C'' · γ
  using t1-γ D'-γ l1-γ
  by auto

```

```

also have ... = ?C' ·  $\gamma$ 
  unfolding clause.subst-comp-subst[symmetric]  $\mu$ - $\gamma$  ..

finally show ?thesis ..
qed

show Infer [DG] CG ∈ inference-ground-instances (Infer [( $\mathcal{V}$ , D)] ( $\mathcal{V}$ , ?C'))
proof (rule is-inference-ground-instance-one-premise)
  show is-inference-ground-instance-one-premise ( $\mathcal{V}$ , D) ( $\mathcal{V}$ , ?C') (Infer [DG]
CG)  $\gamma$ 
proof(unfold split, intro conjI; (rule refl  $\mathcal{V}$ )?)

  show inference.is-ground (Infer [D] ?C' ·  $\iota$   $\gamma$ )
    using C-grounding D-grounding C'- $\gamma$ 
    by auto
next

  show Infer [DG] CG = inference.to-ground (Infer [D] ?C' ·  $\iota$   $\gamma$ )
    using C'- $\gamma$ 
    by simp
next

  have clause.vars ?C' ⊆ clause.vars D
    using clause.variables-in-base-ingu[OF ingu, of ?C'']
    unfolding D l1 l2
    by auto

  then show type-preserving-on (clause.vars ?C')  $\mathcal{V}$   $\gamma$ 
    using type-preserving- $\gamma$ 
    by blast
qed

show Infer [DG] CG ∈ ground.G-Inf
  unfolding ground.G-Inf-def
  using ground-factoring
  by blast
qed
qed
qed

lemma resolution-lifting:
fixes
  EG DG CG :: 'tG clause and
  E D C :: 't clause and
   $\gamma$   $\varrho_1$   $\varrho_2$  :: 'subst and
   $\mathcal{V}_1$   $\mathcal{V}_2$  :: ('v, 'ty) var-types
defines
  [simp]: EG ≡ clause.to-ground (E ·  $\varrho_1$  ∘  $\gamma$ ) and

```

$[simp]: D_G \equiv \text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma) \text{ and}$
 $[simp]: C_G \equiv \text{clause.to-ground } (C \cdot \gamma) \text{ and}$
 $[simp]: N_G \equiv \text{ground-instances } \mathcal{V}_1 E \cup \text{ground-instances } \mathcal{V}_2 D \text{ and}$
 $[simp]: \iota_G \equiv \text{Infer } [D_G, E_G] C_G$

assumes

$\text{ground-resolution: ground.resolution } D_G E_G C_G \text{ and}$
 $\varrho_1: \text{term.is-renaming } \varrho_1 \text{ and}$
 $\varrho_2: \text{term.is-renaming } \varrho_2 \text{ and}$
 $\text{rename-apart: clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\} \text{ and}$
 $E\text{-grounding: clause.is-ground } (E \cdot \varrho_1 \odot \gamma) \text{ and}$
 $D\text{-grounding: clause.is-ground } (D \cdot \varrho_2 \odot \gamma) \text{ and}$
 $C\text{-grounding: clause.is-ground } (C \cdot \gamma) \text{ and}$
 $\text{select-from-E: clause.from-ground } (\text{select}_G E_G) = (\text{select } E) \cdot \varrho_1 \odot \gamma \text{ and}$
 $\text{select-from-D: clause.from-ground } (\text{select}_G D_G) = (\text{select } D) \cdot \varrho_2 \odot \gamma \text{ and}$
 $\text{type-preserving-}\varrho_1\text{-}\gamma: \text{type-preserving-on } (\text{clause.vars } E) \mathcal{V}_1 (\varrho_1 \odot \gamma) \text{ and}$
 $\text{type-preserving-}\varrho_2\text{-}\gamma: \text{type-preserving-on } (\text{clause.vars } D) \mathcal{V}_2 (\varrho_2 \odot \gamma) \text{ and}$
 $\text{type-preserving-}\varrho_1: \text{type-preserving-on } (\text{clause.vars } E) \mathcal{V}_1 \varrho_1 \text{ and}$
 $\text{type-preserving-}\varrho_2: \text{type-preserving-on } (\text{clause.vars } D) \mathcal{V}_2 \varrho_2 \text{ and}$
 $\mathcal{V}_1: \text{infinite-variables-per-type } \mathcal{V}_1 \text{ and}$
 $\mathcal{V}_2: \text{infinite-variables-per-type } \mathcal{V}_2$

obtains $C' \mathcal{V}_3$

where

$\text{resolution } (\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C')$
 $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, E)] (\mathcal{V}_3, C'))$
 $C' \cdot \gamma = C \cdot \gamma$

using ground-resolution

proof($\text{cases } D_G E_G C_G \text{ rule: ground.resolution.cases}$)

case $\text{ground-resolutionI: } (\text{resolutionI } l_{G1} l_{G2} E_G' D_G' t_G)$

have $E\text{-}\gamma: E \cdot \varrho_1 \odot \gamma = \text{clause.from-ground } (\text{add-mset } l_{G1} E_G')$

using $\text{ground-resolutionI}(5)$

unfolding $E_G\text{-def}$

by ($\text{metis } E\text{-grounding clause.to-ground-inverse}$)

have $D\text{-}\gamma: D \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } (\text{add-mset } l_{G2} D_G')$

using $\text{ground-resolutionI}(6) D_G\text{-def}$

by ($\text{metis } D\text{-grounding clause.to-ground-inverse}$)

let $?select_G\text{-empty} = \text{select}_G (\text{clause.to-ground } (E \cdot \varrho_1 \odot \gamma)) = \{\#\}$

let $?select_G\text{-not-empty} = \text{select}_G (\text{clause.to-ground } (E \cdot \varrho_1 \odot \gamma)) \neq \{\#\}$

obtain l_1 **where**

$l_1\text{-}\gamma: l_1 \cdot l \varrho_1 \odot \gamma = \text{literal.from-ground } l_{G1} \text{ and}$

$l_1\text{-is-maximal: } ?select_G\text{-empty} \implies \text{is-maximal } l_1 E \text{ and}$

$l_1\text{-}\gamma\text{-is-maximal: } ?select_G\text{-empty} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \gamma) (E \cdot \varrho_1 \odot \gamma)$

and

$l_1\text{-selected: } ?select_G\text{-not-empty} \implies \text{is-maximal } l_1 (\text{select } E) \text{ and}$

$l_1\text{-}\gamma\text{-selected: } ?select_G\text{-not-empty} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \gamma) (\text{select } E \cdot \varrho_1 \odot \gamma) \text{ and}$

l_1 -in- E : $l_1 \in \# E$
proof –
have E -not-empty: $E \neq \{\#\}$
using *ground-resolutionI(5)*
by *auto*

then obtain max - l **where**
 is -maximal max - l E **and**
 is -max-in- E - γ : is -maximal (max - l $\cdot l$ $\varrho_1 \odot \gamma$) ($E \cdot \varrho_1 \odot \gamma$)
using that E -grounding obtain-maximal-literal E -not-empty
by *blast*

moreover then have max - $l \in \# E$
unfolding is -maximal-def
by *blast*

moreover have max - $l \cdot l$ $\varrho_1 \odot \gamma = literal.from-ground$ l_{G1} **if** $?select_G$ -empty
proof –
have $ground$ - is -maximal l_{G1} E_G
using $ground$ - $resolutionI(2)$ that
unfolding is -maximal-def
by *simp*

then show $?thesis$
using $unique$ -maximal-in-ground-clause[OF E -grounding is -max-in- E - γ]
 E -grounding
unfolding $ground$ - $resolutionI(3)$
by *simp*
qed

moreover then obtain $selected$ - l **where**
 is -maximal $selected$ - l ($select$ E)
 is -maximal ($selected$ - $l \cdot l$ $\varrho_1 \odot \gamma$) ($(select$ $E) \cdot \varrho_1 \odot \gamma$)
 $selected$ - $l \cdot l$ $\varrho_1 \odot \gamma = literal.from-ground$ l_{G1}
if $?select_G$ -not-empty
proof –

have is -maximal ($literal.from-ground$ l_{G1}) ($select$ $E \cdot \varrho_1 \odot \gamma$)
if $?select_G$ -not-empty
using $ground$ - $resolutionI(2)$ that
unfolding $ground$ - $resolutionI(3)$
by ($metis$ E_G -def $select$ -from- E)

then show $?thesis$
using
that
 $obtain$ -maximal-literal[OF - $select$ -ground-subst[OF E -grounding]]
 $unique$ -maximal-in-ground-clause[OF $select$ -ground-subst[OF E -grounding]]
by ($metis$ (no -types, $lifting$) $clause.magma$ -subst-empty(1) is -maximal-not-empty)

qed

moreover then have $selected-l \in \# E$ if $?select_G-not-empty$
using that maximal-in-clause mset-subset-eqD select-subset
by meson

ultimately show $?thesis$
using that ground-resolutionI
by blast

qed

obtain E' where $E: E = add-mset l_1 E'$
by (meson l_1-in-E multi-member-split)

then have $E'-\gamma: E' \cdot \varrho_1 \odot \gamma = clause.from-ground E_G'$
using $l_1-\gamma E-\gamma$
by auto

obtain t_1 where
 $l_1: l_1 = Neg t_1$ and
 $t_1-\gamma: t_1 \cdot t \varrho_1 \odot \gamma = term.from-ground t_G$
using $l_1-\gamma$
by (metis Neg-atm-of-iff literal-from-ground-atom-from-ground(1) clause-safe-unfolds(9)
ground-resolutionI(7) literal.sel(2) subst-polarity-stable(2))

obtain l_2 where
 $l_2-\gamma: l_2 \cdot l \varrho_2 \odot \gamma = literal.from-ground l_{G2}$ and
 $l_2-is-strictly-maximal: is-strictly-maximal l_2 D$
proof –
have $is-strictly-maximal (literal.from-ground l_{G2}) (D \cdot \varrho_2 \odot \gamma)$
using ground-resolutionI(4) D-grounding
by simp

then show $?thesis$
using obtain-strictly-maximal-literal[OF D-grounding] that
by force

qed

then have $l_2-in-D: l_2 \in \# D$
using strictly-maximal-in-clause
by blast

from $l_2-\gamma$ have $l_2-\gamma: l_2 \cdot l \varrho_2 \odot \gamma = (Pos (term.from-ground t_G))$
unfolding ground-resolutionI
by simp

then obtain t_2 where
 $l_2: l_2 = Pos t_2$ and
 $t_2-\gamma: t_2 \cdot t \varrho_2 \odot \gamma = term.from-ground t_G$

```

by (metis clause-safe-unfolds(9) literal.collapse(1) literal.disc(1) literal.sel(1)
      subst-polarity-stable(2))

obtain  $D'$  where  $D: D = \text{add-mset } l_2 \ D'$ 
by (meson  $l_2$ -in- $D$  multi-member-split)

then have  $D'-\gamma: D' \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } D_G'$ 
using  $D-\gamma$   $l_2-\gamma$ 
unfolding ground-resolutionI
by auto

obtain  $\mathcal{V}_3$  where
   $\mathcal{V}_3$ : infinite-variables-per-type  $\mathcal{V}_3$  and
   $\mathcal{V}_1$ - $\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } E. \mathcal{V}_1 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_1 \ x)$  and
   $\mathcal{V}_2$ - $\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } D. \mathcal{V}_2 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_2 \ x)$ 
using clause.obtain-merged- $\mathcal{V}$ [OF  $\varrho_1 \ \varrho_2$  rename-apart clause.finite-vars clause.finite-vars
      infinite-UNIV] .

have type-preserving- $\gamma$ : type-preserving-on (clause.vars ( $E \cdot \varrho_1$ )  $\cup$  clause.vars ( $D$ 
   $\cdot \varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
proof(unfold Set.ball-Un, intro conjI)

  show type-preserving-on (clause.vars ( $E \cdot \varrho_1$ ))  $\mathcal{V}_3 \ \gamma$ 
    using clause.renaming-grounding[OF  $\varrho_1$  type-preserving- $\varrho_1$ - $\gamma$  E-grounding
   $\mathcal{V}_1$ - $\mathcal{V}_3$ ] .
  next

  show type-preserving-on (clause.vars ( $D \cdot \varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
    using clause.renaming-grounding[OF  $\varrho_2$  type-preserving- $\varrho_2$ - $\gamma$  D-grounding
   $\mathcal{V}_2$ - $\mathcal{V}_3$ ] .
  qed

obtain  $\mu$  where
  type-preserving- $\mu$ : type-preserving-on (clause.vars ( $E \cdot \varrho_1$ )  $\cup$  clause.vars ( $D \cdot$ 
   $\varrho_2$ ))  $\mathcal{V}_3 \ \mu$  and
  imgu: term.is-imgu  $\mu \ \{\{t_1 \cdot t \ \varrho_1, t_2 \cdot t \ \varrho_2\}\}$ 
proof (rule obtain-type-preserving-on-imgu[OF - that], intro conjI)

  show  $t_1 \cdot t \ \varrho_1 \cdot t \ \gamma = t_2 \cdot t \ \varrho_2 \cdot t \ \gamma$ 
    using  $t_1$ - $\gamma$   $t_2$ - $\gamma$ 
    by simp
  next

  show type-preserving-on (term.vars ( $t_1 \cdot t \ \varrho_1$ )  $\cup$  term.vars ( $t_2 \cdot t \ \varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
    using type-preserving- $\gamma$ 
    unfolding  $E \ D \ l_1 \ l_2$ 
    by auto
  qed

```

obtain σ **where** $\gamma: \gamma = \mu \odot \sigma$
using *term.obtain-ingu-absorption*[of γ , *OF - ingu*] $t_1\text{-}\gamma$ $t_2\text{-}\gamma$
by *auto*

define C' **where**
 $C': C' = (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu$

show *?thesis*
proof(*rule that*)

show *resolution: resolution* (\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C')
proof (*rule resolutionI*; ((*rule* ϱ_1 ϱ_2 E D l_1 l_2 *ingu type-preserving- μ re-name-apart* type-preserving- ϱ_1 type-preserving- ϱ_2 \mathcal{V}_1 \mathcal{V}_2 C' $\mathcal{V}_1\text{-}\mathcal{V}_3$ $\mathcal{V}_2\text{-}\mathcal{V}_3$) $+$) $?$)

show $\neg E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu$
proof(*rule clause.order.ground-less-not-less-eq*)

show *clause.vars* ($D \cdot \varrho_2 \odot \mu \cdot \sigma$) = $\{\}$
using *D-grounding*
unfolding γ
by *simp*

show *clause.vars* ($E \cdot \varrho_1 \odot \mu \cdot \sigma$) = $\{\}$
using *E-grounding*
unfolding γ
by *simp*

show $D \cdot \varrho_2 \odot \mu \cdot \sigma \prec_c E \cdot \varrho_1 \odot \mu \cdot \sigma$
using *ground-resolutionI(1)* *D-grounding* *E-grounding*
unfolding $E_G\text{-def}$ $D_G\text{-def}$ *clause.order.less $_G$ -def* γ
by *simp*

qed

next
assume *select* $E = \{\#\}$

moreover then have *?select $_G$ -empty*
using *is-maximal-not-empty* $l_1\text{-selected}$
by *blast*

moreover have $l_1 \cdot l$ $\varrho_1 \odot \mu \in \#$ $E \cdot \varrho_1 \odot \mu$
using $l_1\text{-in-}E$
by *blast*

ultimately show *is-maximal* ($l_1 \cdot l$ $\varrho_1 \odot \mu$) ($E \cdot \varrho_1 \odot \mu$)
using $l_1\text{-}\gamma\text{-is-maximal}$ *is-maximal-if-grounding-is-maximal* *E-grounding*
unfolding γ
by *force*

```

next
  assume select E  $\neq \{\#\}$ 

  then have  $\neg ?select_G\text{-empty}$ 
    using is-maximal-not-empty l1-selected select-from-E
    by auto

  moreover have  $l_1 \cdot l \varrho_1 \odot \mu \in \# \text{ select } E \cdot \varrho_1 \odot \mu$ 
    using l1-selected maximal-in-clause calculation
    by blast

  ultimately show is-maximal  $(l_1 \cdot l \varrho_1 \odot \mu)$   $(\text{select } E \cdot \varrho_1 \odot \mu)$ 
    using select-ground-subst[OF E-grounding] is-maximal-if-grounding-is-maximal
    l1- $\gamma$ -selected
    unfolding  $\gamma$ 
    by fastforce
  next

  show select D  $= \{\#\}$ 
    using ground-resolutionI(3) select-from-D
    by fastforce
  next

  show is-strictly-maximal  $(l_2 \cdot l \varrho_2 \odot \mu)$   $(D \cdot \varrho_2 \odot \mu)$ 
  proof(rule is-strictly-maximal-if-grounding-is-strictly-maximal)

    show  $l_2 \cdot l \varrho_2 \odot \mu \in \# D \cdot \varrho_2 \odot \mu$ 
      using l2-in-D
      by blast

    show clause.is-ground  $(D \cdot \varrho_2 \odot \mu \cdot \sigma)$ 
      using D-grounding[unfolded  $\gamma$ ]
      by simp

    show is-strictly-maximal  $(l_2 \cdot l \varrho_2 \odot \mu \cdot l \sigma)$   $(D \cdot \varrho_2 \odot \mu \cdot \sigma)$ 
      using l2- $\gamma$  D- $\gamma$  ground-resolutionI(4)
      unfolding  $\gamma$  ground-resolutionI
      by fastforce
  qed
qed

show C'- $\gamma$ :  $C' \cdot \gamma = C \cdot \gamma$ 
proof-

  have term.is-idem  $\mu$ 
    using imgu term.is-imgu-iff-is-idem-and-is-mgu
    by blast

  then have  $\mu$ - $\gamma$ :  $\mu \odot \gamma = \gamma$ 

```

```

unfolding  $\gamma$  term.is-idem-def
by (metis term.assoc)

have  $C \cdot \gamma = (\text{clause.from-ground } E_G' + \text{clause.from-ground } D_G')$ 
using ground-resolutionI(8, 9) clause.to-ground-inverse[OF C-grounding]
by auto

then show ?thesis
unfolding
   $C'$ 
   $E'\text{-}\gamma$ [symmetric]
   $D'\text{-}\gamma$ [symmetric]
  clause.subst-comp-subst[symmetric]
   $\mu\text{-}\gamma$ 
by simp
qed

show  $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, E)] (\mathcal{V}_3, C'))$ 
proof (rule is-inference-ground-instance-two-premises)

  show is-inference-ground-instance-two-premises  $(\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C') \iota_G$ 
   $\gamma$   $\varrho_1$   $\varrho_2$ 
proof(unfold split, intro conjI;
  (rule  $\varrho_1$   $\varrho_2$  rename-apart refl  $\mathcal{V}_1$   $\mathcal{V}_2$   $\mathcal{V}_3$ )?)

  show inference.is-ground (Infer [ $D \cdot \varrho_2, E \cdot \varrho_1$ ]  $C' \cdot \iota$   $\gamma$ )
  using D-grounding E-grounding C-grounding C'\text{-}\gamma
  by auto
next

  show  $\iota_G = \text{inference.to-ground } (\text{Infer } [D \cdot \varrho_2, E \cdot \varrho_1] C' \cdot \iota \gamma)$ 
  using  $C'\text{-}\gamma$ 
  by simp
next

  show type-preserving-on (clause.vars  $C'$ )  $\mathcal{V}_3$   $\gamma$ 
proof(rule type-preserving-on-subset[OF type-preserving- $\gamma$ ])

  show clause.vars  $C' \subseteq \text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)$ 
proof (unfold subset-eq, intro ballI)
  fix  $x$ 

  have is-imgu: term.is-imgu  $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$ 
  using imgu
  by blast

  assume  $x \in \text{clause.vars } C'$ 

  then consider

```

```

      (E') x ∈ clause.vars (E' · ρ₁ ⊙ μ) |
      (D') x ∈ clause.vars (D' · ρ₂ ⊙ μ)
    unfolding C'
    by auto

  then show x ∈ clause.vars (E · ρ₁) ∪ clause.vars (D · ρ₂)
  proof cases
    case E'

      then show ?thesis
      using clause.variables-in-base-imgu[OF is-imgu]
      unfolding E l₁ D l₂
      by auto
    next
    case D'

      then show ?thesis
      using clause.variables-in-base-imgu[OF is-imgu]
      unfolding E l₁ D l₂
      by auto
  qed
qed
qed
qed
show ι_G ∈ ground.G-Inf
  unfolding ground.G-Inf-def
  using ground-resolution
  by simp
qed
qed
qed

```

2.2 Ground instances

```

context
  fixes ι_G N
  assumes
    subst-stability: subst-stability-on N and
    ι_G-Inf-from: ι_G ∈ ground.Inf-from-q select_G (∪ (uncurried-ground-instances '
N))
begin

lemma factoring-ground-instance:
  assumes ground-factoring: ι_G ∈ ground.factoring-inferences
  obtains ι where
    ι ∈ Inf-from N
    ι_G ∈ inference-ground-instances ι
proof –

```

obtain $D_G C_G$ **where**
 $\iota_G: \iota_G = \text{Infer } [D_G] C_G$ **and**
ground-inference: ground.factoring $D_G C_G$
using *ground-factoring*
by *blast*

have *D_G -in-groundings: $D_G \in \bigcup (\text{uncurried-ground-instances } ' N)$*
using *ι_G -Inf-from*
unfolding *ι_G ground.Inf-from-q-def ground.Inf-from-def*
by *simp*

obtain $D \gamma \mathcal{V}$ **where**
D-grounding: clause.is-ground $(D \cdot \gamma)$ **and**
type-preserving- γ : type-preserving-on $(\text{clause.vars } D) \mathcal{V} \gamma$ **and**
 \mathcal{V} : *infinite-variables-per-type* \mathcal{V} **and**
D-in-N: $(\mathcal{V}, D) \in N$ **and**
select $_G$ $D_G = \text{clause.to-ground}$ $(\text{select } D \cdot \gamma)$
 $D \cdot \gamma = \text{clause.from-ground}$ D_G
using *subst-stability[rule-format, OF D_G -in-groundings]*
by *blast*

then have
 $D_G: D_G = \text{clause.to-ground}$ $(D \cdot \gamma)$ **and**
select: clause.from-ground $(\text{select}_G D_G) = \text{select } D \cdot \gamma$
by *(simp-all add: select-ground-subst)*

obtain C **where**
 $C_G: C_G = \text{clause.to-ground}$ $(C \cdot \gamma)$ **and**
C-grounding: clause.is-ground $(C \cdot \gamma)$
by *(metis clause.all-subst-ident-iff-ground clause.from-ground-inverse clause.ground-is-ground)*

obtain C' **where**
factoring: factoring $(\mathcal{V}, D) (\mathcal{V}, C')$ **and**
inference-ground-instances: $\iota_G \in \text{inference-ground-instances}$ $(\text{Infer } [(\mathcal{V}, D)] (\mathcal{V}, C'))$ **and**
 $C'-C: C' \cdot \gamma = C \cdot \gamma$
using
factoring-lifting[OF
ground-inference[unfolded $D_G C_G]$
D-grounding
C-grounding
select[unfolded $D_G]$
type-preserving- γ
 $\mathcal{V}]$
unfolding $D_G C_G \iota_G$.

let $?i = \text{Infer } [(\mathcal{V}, D)] (\mathcal{V}, C')$

```

show ?thesis
proof(rule that[OF - inference-ground-instances])

  show ?ι ∈ Inf-from N
  using D-in-N factoring
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto
qed
qed

```

```

lemma resolution-ground-instance:
  assumes ground-resolution: ιG ∈ ground.resolution-inferences
  obtains ι where
    ι ∈ Inf-from N
    ιG ∈ inference-ground-instances ι
proof –
  obtain EG DG CG where
    ιG : ιG = Infer [DG, EG] CG and
    ground-resolution: ground.resolution DG EG CG
  using assms(1)
  by blast

```

```

have
  EG-in-groundings: EG ∈ ∪ (uncurried-ground-instances ‘ N) and
  DG-in-groundings: DG ∈ ∪ (uncurried-ground-instances ‘ N)
  using ιG-Inf-from
  unfolding ιG ground.Inf-from-q-def ground.Inf-from-def
  by simp-all

```

```

obtain E V1 γ1 where
  E-grounding: clause.is-ground (E · γ1) and
  type-preserving-γ1: type-preserving-on (clause.vars E) V1 γ1 and
  V1: infinite-variables-per-type V1 and
  E-in-N: (V1, E) ∈ N and
  selectG EG = clause.to-ground (select E · γ1)
  E · γ1 = clause.from-ground EG
  using subst-stability[rule-format, OF EG-in-groundings]
  by blast

```

```

then have
  EG: EG = clause.to-ground (E · γ1) and
  select-from-E: clause.from-ground (selectG EG) = select E · γ1
  by (simp-all add: select-ground-subst)

```

```

obtain D V2 γ2 where
  D-grounding: clause.is-ground (D · γ2) and
  type-preserving-γ2: type-preserving-on (clause.vars D) V2 γ2 and
  V2: infinite-variables-per-type V2 and

```

D-in-N: $(\mathcal{V}_2, D) \in N$ **and**
 $select_G D_G = clause.to-ground (select D \cdot \gamma_2)$
 $D \cdot \gamma_2 = clause.from-ground D_G$
using *subst-stability*[*rule-format, OF D_G-in-groundings*]
by *blast*

then have

$D_G: D_G = clause.to-ground (D \cdot \gamma_2)$ **and**
 $select-from-D: clause.from-ground (select_G D_G) = select D \cdot \gamma_2$
by (*simp-all add: select-ground-subst*)

obtain $\varrho_1 \varrho_2 \gamma$ **where**

$\varrho_1: term.is-renaming \varrho_1$ **and**
 $\varrho_2: term.is-renaming \varrho_2$ **and**
 $rename-apart: clause.vars (E \cdot \varrho_1) \cap clause.vars (D \cdot \varrho_2) = \{\}$ **and**
 $type-preserving-\varrho_1: type-preserving-on (clause.vars E) \mathcal{V}_1 \varrho_1$ **and**
 $type-preserving-\varrho_2: type-preserving-on (clause.vars D) \mathcal{V}_2 \varrho_2$ **and**
 $\gamma_1-\gamma: \forall x \in clause.vars E. x \cdot v \gamma_1 = x \cdot v \varrho_1 \odot \gamma$ **and**
 $\gamma_2-\gamma: \forall x \in clause.vars D. x \cdot v \gamma_2 = x \cdot v \varrho_2 \odot \gamma$
using *clause.obtain-merged-grounding*[*OF*
 $type-preserving-\gamma_1 type-preserving-\gamma_2 E-grounding D-grounding \mathcal{V}_2 clause.finite-vars$]

have $E-grounding: clause.is-ground (E \cdot \varrho_1 \odot \gamma)$
using *clause.subst-eq* $\gamma_1-\gamma$ $E-grounding$
by *fastforce*

have $E_G: E_G = clause.to-ground (E \cdot \varrho_1 \odot \gamma)$
using *clause.subst-eq* $\gamma_1-\gamma$ E_G
by *fastforce*

have $D-grounding: clause.is-ground (D \cdot \varrho_2 \odot \gamma)$
using *clause.subst-eq* $\gamma_2-\gamma$ $D-grounding$
by *fastforce*

have $D_G: D_G = clause.to-ground (D \cdot \varrho_2 \odot \gamma)$
using *clause.subst-eq* $\gamma_2-\gamma$ D_G
by *fastforce*

have $type-preserving-\varrho_1-\gamma: type-preserving-on (clause.vars E) \mathcal{V}_1 (\varrho_1 \odot \gamma)$
using *type-preserving-\gamma₁* $\gamma_1-\gamma$
by *fastforce*

have $type-preserving-\varrho_2-\gamma: type-preserving-on (clause.vars D) \mathcal{V}_2 (\varrho_2 \odot \gamma)$
using *type-preserving-\gamma₂* $\gamma_2-\gamma$
by *fastforce*

have $select-from-E:$
 $clause.from-ground (select_G (clause.to-ground (E \cdot \varrho_1 \odot \gamma))) = select E \cdot \varrho_1 \odot$

γ
proof–
have $E \cdot \gamma_1 = E \cdot \varrho_1 \odot \gamma$
using $\gamma_1\text{-}\gamma$ *clause.subst-eq*
by *fast*

moreover have $\text{select } E \cdot \gamma_1 = \text{select } E \cdot \varrho_1 \cdot \gamma$
using *clause.subst-eq* $\gamma_1\text{-}\gamma$ *select-vars-subset*
by (*metis* (*no-types*, *lifting*) *clause.comp-subst.left.monoid-action-compatibility*
in-mono)

ultimately show *?thesis*
using *select-from-E*
unfolding E_G
by *simp*

qed

have *select-from-D*:
 $\text{clause.from-ground } (\text{select}_G (\text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma))) = \text{select } D \cdot \varrho_2 \odot$

γ
proof–
have $D \cdot \gamma_2 = D \cdot \varrho_2 \odot \gamma$
using $\gamma_2\text{-}\gamma$ *clause.subst-eq*
by *fast*

moreover have $\text{select } D \cdot \gamma_2 = \text{select } D \cdot \varrho_2 \cdot \gamma$
using *clause.subst-eq* $\gamma_2\text{-}\gamma$ *select-vars-subset[of D]*
by (*metis* (*no-types*, *lifting*) *clause.comp-subst.left.monoid-action-compatibility*
in-mono)

ultimately show *?thesis*
using *select-from-D*
unfolding D_G
by *simp*

qed

obtain C **where**
C-grounding: $\text{clause.is-ground } (C \cdot \gamma)$ **and**
 C_G : $C_G = \text{clause.to-ground } (C \cdot \gamma)$
by (*metis* *clause.all-subst-ident-if-ground* *clause.from-ground-inverse* *clause.ground-is-ground*)

have *ground-instances* $\mathcal{V}_1 E \cup \text{ground-instances } \mathcal{V}_2 D \subseteq \bigcup$ (*uncurried-ground-instances*
 \mathcal{N})
using *E-in-N* *D-in-N*
by *force*

obtain $C' \mathcal{V}_3$ **where**
resolution: $\text{resolution } (\mathcal{V}_2, D) (\mathcal{V}_1, E) (\mathcal{V}_3, C')$ **and**
inference-groundings: $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, E)])$

```

( $\mathcal{V}_3, C'$ ) and
   $C' \cdot \gamma - C \cdot \gamma: C' \cdot \gamma = C \cdot \gamma$ 
using resolution-lifting[OF ground-resolution[unfolded  $E_G D_G C_G$ ]
   $\varrho_1 \varrho_2$ 
  rename-apart
  E-grounding D-grounding C-grounding
  select-from-E select-from-D
  type-preserving- $\varrho_1$ - $\gamma$  type-preserving- $\varrho_2$ - $\gamma$ 
  type-preserving- $\varrho_1$  type-preserving- $\varrho_2$ 
   $\mathcal{V}_1 \mathcal{V}_2$ ]
unfolding  $\iota_G C_G E_G D_G$  .

let  $?i = \text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, E)] (\mathcal{V}_3, C')$ 

show ?thesis
proof(rule that[OF - inference-groundings])

  show  $?i \in \text{Inf-from } N$ 
  using E-in-N D-in-N resolution
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto
qed
qed

lemma ground-instances:
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
proof –

consider
  (resolution)  $\iota_G \in \text{ground.resolution-inferences}$  |
  (factoring)  $\iota_G \in \text{ground.factoring-inferences}$ 
using  $\iota_G$ -Inf-from
unfolding
  ground.Inf-from-q-def
  ground.G-Inf-def
  inference-system.Inf-from-def
by fastforce

then show ?thesis
proof cases
  case resolution

  then show ?thesis
  using that resolution-ground-instance
  by blast
next
  case factoring

```

```

    then show ?thesis
      using that factoring-ground-instance
      by blast
    qed
  qed

end

end

context ordered-resolution-calculus
begin

lemma overapproximation:
  obtains selectG where
    ground-Inf-overapproximated selectG premises
    is-grounding selectG
  proof -
    obtain selectG where
      subst-stability: select-subst-stability-on select selectG premises and
      is-grounding selectG
    using obtain-subst-stable-on-select-grounding
    by blast

  then interpret grounded-ordered-resolution-calculus
    where selectG = selectG
    by unfold-locales

  show thesis
  proof (rule that[OF - selectG])

    show ground-Inf-overapproximated selectG premises
      using ground-instances[OF subst-stability]
      by auto
    qed
  qed

sublocale statically-complete-calculus  $\perp_F$  inferences entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
  proof (unfold static-empty-ord-inter-equiv-static-inter,
    rule stat-ref-comp-to-non-ground-fam-inter,
    rule ballI)
    fix selectG
    assume selectG ∈ selectGs

    then interpret grounded-ordered-resolution-calculus
      where selectG = selectG
      by unfold-locales (simp add: selectGs-def)
  qed

```

```

show statically-complete-calculus
  ground.G-Bot
  ground.G-Inf
  ground.G-entails
  ground.Red-I
  ground.Red-F
  by unfold-locales
next

  show  $\bigwedge N. \exists select_G \in select_{G_s}. \textit{ground-Inf-overapproximated} \textit{select}_G N$ 
    using overapproximation
    unfolding select_{G_s}-def
    by (smt (verit, best) mem-Collect-eq)
qed

end

end
theory Ordered-Resolution-Welltypedness-Preservation
  imports Grounded-Ordered-Resolution
begin

context ordered-resolution-calculus
begin

lemma factoring-preserves-typing:
  assumes factoring: factoring ( $\mathcal{V}, D$ ) ( $\mathcal{V}, C$ )
  shows clause.is-welltyped  $\mathcal{V} D \longleftrightarrow \textit{clause.is-welltyped} \mathcal{V} C$ 
  using assms
proof (cases ( $\mathcal{V}, D$ ) ( $\mathcal{V}, C$ ) rule: factoring.cases)
  case (factoringI  $l_1 \mu t_1 t_2 l_2 D'$ )

  show ?thesis
  proof (rule iffI)
    assume clause.is-welltyped  $\mathcal{V} D$ 
    then show clause.is-welltyped  $\mathcal{V} C$ 
      using factoringI
      by simp
  next
  assume C-is-welltyped: clause.is-welltyped  $\mathcal{V} C$ 

  note imgu = factoringI(3, 4)

  have clause.is-welltyped  $\mathcal{V}$  (add-mset  $l_1 D'$ )
    using C-is-welltyped imgu
    unfolding factoringI
    by simp

  moreover have literal.is-welltyped  $\mathcal{V} l_2$ 

```

```

    using C-is-welltyped term.imgu-same-type[OF imgu] imgu
    unfolding factoringI
    by force

    ultimately show clause.is-welltyped  $\mathcal{V}$  D
    unfolding factoringI
    by simp
  qed
qed

lemma resolution-preserves-typing:
  assumes
    resolution: resolution  $(\mathcal{V}_2, D)$   $(\mathcal{V}_1, E)$   $(\mathcal{V}_3, C)$  and
    D-is-welltyped: clause.is-welltyped  $\mathcal{V}_2$  D and
    E-is-welltyped: clause.is-welltyped  $\mathcal{V}_1$  E
  shows clause.is-welltyped  $\mathcal{V}_3$  C
  using resolution
proof (cases  $(\mathcal{V}_2, D)$   $(\mathcal{V}_1, E)$   $(\mathcal{V}_3, C)$  rule: resolution.cases)
  case (resolutionI  $\varrho_1$   $\varrho_2$   $\mu$   $t_1$   $t_2$   $l_1$   $l_2$   $E'$   $D'$ )

    note  $\mu$ -type-preserving = resolutionI(6)

    have clause.is-welltyped  $\mathcal{V}_3$   $(E \cdot \varrho_1)$ 
      using E-is-welltyped clause.welltyped-renaming[OF resolutionI(3, 13)]
      by blast

    then have E $\mu$ -is-welltyped: clause.is-welltyped  $\mathcal{V}_3$   $(E \cdot \varrho_1 \odot \mu)$ 
      using  $\mu$ -type-preserving
      by simp

    moreover have clause.is-welltyped  $\mathcal{V}_3$   $(D \cdot \varrho_2)$ 
      using D-is-welltyped clause.welltyped-renaming[OF resolutionI(4, 14)]
      by blast

    then have D $\mu$ -is-welltyped: clause.is-welltyped  $\mathcal{V}_3$   $(D \cdot \varrho_2 \odot \mu)$ 
      using  $\mu$ -type-preserving
      by simp

    ultimately show ?thesis
    unfolding resolutionI
    by auto
  qed
end

end

theory Untyped-Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order

```

First-Order-Clause.Nonground-Selection-Function
First-Order-Clause.Tiebreakers

Fresh-Identifiers.Fresh

begin

locale *untyped-ordered-resolution-calculus* =

nonground-order **where**

less_t = *less_t* **and** *id-subst* = *id-subst* **and** *term-from-ground* = *term-from-ground*
 :: '*t_G* ⇒ '*t* **and**

term-vars = *term-vars* +

nonground-selection-function **where**

select = *select* **and** *atom-subst* = (*·t*) **and** *atom-vars* = *term.vars* **and** *term-vars*
 = *term-vars* **and**

atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground*
and *id-subst* = *id-subst* +

tiebreakers *tiebreakers* +

term: exists-imgu **where** *vars* = *term-vars* **and** *subst* = (*·t*) **and** *id-subst* =
id-subst

for

select :: '*t* *select* **and**

less_t :: '*t* ⇒ '*t* ⇒ *bool* **and**

tiebreakers :: ('*t_G*, '*t*) *tiebreakers* **and**

id-subst :: '*subst* **and**

term-vars :: '*t* ⇒ ('*v* :: *infinite*) *set*

begin

inductive *factoring* :: '*t* *clause* ⇒ '*t* *clause* ⇒ *bool* **where**

factoringI:

D = *add-mset* *l*₁ (*add-mset* *l*₂ *D'*) ⇒

*l*₁ = *Pos* *t*₁ ⇒

*l*₂ = *Pos* *t*₂ ⇒

C = (*add-mset* *l*₁ *D'*) · *μ* ⇒

factoring *D* *C*

if

select *D* = {#}

is-maximal (*l*₁ · *l* *μ*) (*D* · *μ*)

term.is-imgu *μ* {{*t*₁, *t*₂}}

inductive *resolution* :: '*t* *clause* ⇒ '*t* *clause* ⇒ '*t* *clause* ⇒ *bool* **where**

resolutionI:

E = *add-mset* *l*₁ *E'* ⇒

D = *add-mset* *l*₂ *D'* ⇒

*l*₁ = *Neg* *t*₁ ⇒

*l*₂ = *Pos* *t*₂ ⇒

C = (*E'* · *ρ*₁ + *D'* · *ρ*₂) · *μ* ⇒

resolution *D* *E* *C*

if
term.is-renaming ϱ_1
term.is-renaming ϱ_2
clause.vars $(E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$
term.is-imag $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$
 $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
select $E = \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)$
select $E \neq \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (\text{select } E \cdot \varrho_1 \odot \mu)$
select $D = \{\#\}$
is-strictly-maximal $(l_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$

abbreviation *factoring-inferences* **where**
factoring-inferences $\equiv \{ \text{Infer } [D] C \mid D C. \text{ factoring } D C \}$

abbreviation *resolution-inferences* **where**
resolution-inferences $\equiv \{ \text{Infer } [D, E] C \mid D E C. \text{ resolution } D E C \}$

definition *inferences* $:: 't \text{ clause inference set}$ **where**
inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom* $:: 't \text{ clause set}$ **where**
bottom $\equiv \{\{\#\}\}$

end

end

theory *Untyped-Ordered-Resolution-Inference-System*

imports

Untyped-Ordered-Resolution
First-Order-Clause.Untyped-Calculus
Grounded-Ordered-Resolution

begin

context *untyped-ordered-resolution-calculus*

begin

sublocale *typed: ordered-resolution-calculus* **where**

welltyped = $\lambda - . ()$. *True*

by

unfold-locales

(auto intro: term.ground-exists simp: term.exists-imag right-unique-def split: unit.splits)

declare

typed.term.welltyped-renaming [*simp del*]
typed.term.welltyped-subst-stability [*simp del*]
typed.term.welltyped-subst-stability' [*simp del*]

abbreviation *entails* **where**

entails $N N' \equiv \text{typed.entails-}\mathcal{G} \text{ (empty-typed ' } N \text{) (empty-typed ' } N')$

sublocale *untyped-consequence-relation* **where**

typed-bottom = \perp_F **and** *typed-entails* = *typed.entails-}\mathcal{G}* **and**

bottom = *bottom* **and** *entails* = *entails*

proof *unfold-locales*

have *bottom* = *snd* ' \perp_F

using *image-iff typed.bot-not-empty*

by *fastforce*

then show *bottom* \equiv *snd* ' \perp_F

by *argo*

qed

sublocale *untyped-inference-system* **where**

inferences = *inferences* **and** *typed-inferences* = *typed.inferences*

proof *unfold-locales*

{

fix $\mathcal{V} D \mathcal{V}' C$

have *typed.factoring* $(\mathcal{V}, D) (\mathcal{V}', C) \longleftrightarrow$ *factoring* $D C$

unfolding *\mathcal{V}*-all-same[*of* \mathcal{V}] *\mathcal{V}'*-all-same[*of* \mathcal{V}']

proof (*rule iffI*)

assume *typed.factoring* (*empty-typed* D) (*empty-typed* C)

then show *factoring* $D C$

proof (*cases rule: typed.factoring.cases*)

case *typed-factoringI: factoringI*

then show *?thesis*

by (*intro factoringI; (rule typed-factoringI)?*)

qed

next

assume *factoring* $D C$

then show *typed.factoring* (*empty-typed* D) (*empty-typed* C)

proof (*cases rule: factoring.cases*)

case *factoringI*

then show *?thesis*

by (*intro typed.factoringI (auto simp: case-unit-Unity)*)

qed

qed

}

then have *remove-types* ' *typed.factoring-inferences* = *factoring-inferences*

by *auto*

```

moreover {
  fix  $\mathcal{V}_1 D \mathcal{V}_2 E \mathcal{V}_3 C$ 
  have typed.resolution ( $\mathcal{V}_1, D$ ) ( $\mathcal{V}_2, E$ ) ( $\mathcal{V}_3, C$ )  $\longleftrightarrow$  resolution D E C
    unfolding  $\mathcal{V}$ -all-same[of  $\mathcal{V}_1$ ]  $\mathcal{V}$ -all-same[of  $\mathcal{V}_2$ ]  $\mathcal{V}$ -all-same[of  $\mathcal{V}_3$ ]
  proof (rule iffI)
    assume typed.resolution (empty-typed D) (empty-typed E) (empty-typed C)

    then show resolution D E C
    proof (cases rule: typed.resolution.cases)
      case typed-resolutionI: resolutionI

        then show ?thesis
          by (intro resolutionI; (rule typed-resolutionI)?)
        qed
      next
        assume resolution D E C

        then show typed.resolution (empty-typed D) (empty-typed E) (empty-typed
C)
        proof (cases rule: resolution.cases)
          case resolutionI

            show ?thesis
              by
                (intro typed.resolutionI; (rule resolutionI)?)
                (auto simp: case-unit-Unity)
            qed
          qed
        }

    then have remove-types ' typed.resolution-inferences = resolution-inferences
      by auto

    ultimately show inferences  $\equiv$  remove-types ' typed.inferences
      unfolding inferences-def typed.inferences-def image-Un
      by auto
    qed

  end

end
theory Untyped-Ordered-Resolution-Completeness
imports
  Untyped-Ordered-Resolution-Inference-System
  Ordered-Resolution-Completeness
begin

context untyped-ordered-resolution-calculus

```

begin

abbreviation *Red-F* **where**

Red-F $N \equiv \text{snd} \text{ ' } \textit{typed.Red-F-G} \text{ (empty-typed ' } N)$

abbreviation *Red-I* **where**

Red-I $N \equiv \text{remove-types ' } \textit{typed.Red-I-G} \text{ (empty-typed ' } N)$

sublocale *untyped-complete-calculus* **where**

typed-bottom = \perp_F **and** *typed-entails* = *typed.entails-G* **and**

typed-inferences = *typed.inferences* **and** *typed-Red-I* = *typed.Red-I-G* **and**

typed-Red-F = *typed.Red-F-G* **and** *bottom* = *bottom* **and** *inferences* = *inferences*

and *Red-F* = *Red-F* **and**

Red-I = *Red-I* **and** *entails* = *entails*

by *unfold-locales*

end

end

theory *Untyped-Ordered-Resolution-Soundness*

imports

Untyped-Ordered-Resolution-Inference-System

Ordered-Resolution-Soundness

begin

context *untyped-ordered-resolution-calculus*

begin

sublocale *untyped-sound-inference-system* **where**

typed-bottom = \perp_F **and** *typed-entails* = *typed.entails-G* **and**

typed-inferences = *typed.inferences* **and** *bottom* = *bottom* **and** *inferences* = *in-*
ferences **and**

entails = *entails*

by *unfold-locales*

end

end

theory *Monomorphic-Ordered-Resolution*

imports

Ordered-Resolution

First-Order-Clause.IsaFoR-Nonground-Clause

First-Order-Clause.Monomorphic-Typing

begin

locale *monomorphic-ordered-resolution-calculus* =

monomorphic-term-typing +

```

ordered-resolution-calculus where
  comp-subst = ( $\circ_s$ ) and id-subst = Var and term-subst = ( $\cdot$ ) and term-vars =
term.vars and
  apply-subst = apply-subst and subst-update = fun-upd and subst-updates =
subst-updates and
  term-from-ground = term.from-ground and term-to-ground = term.to-ground
and
  welltyped = welltyped

end
theory Ordered-Resolution-Example
  imports
    Monomorphic-Ordered-Resolution
    First-Order-Clause.IsaFoR-KBO
begin

hide-type Uprod-Literal-Functor.clause

abbreviation trivial-tiebreakers ::
  'f gterm clause  $\Rightarrow$  ('f, 'v) term clause  $\Rightarrow$  ('f, 'v) term clause  $\Rightarrow$  bool where
  trivial-tiebreakers  $\equiv \perp$ 

abbreviation trivial-select :: 'a clause  $\Rightarrow$  'a clause where
  trivial-select -  $\equiv \{\#\}$ 

abbreviation unit-typing where
  unit-typing - -  $\equiv$  Some ( $\square$ ,  $()$ )

interpretation unit-types: monomorphic-term-typing where  $\mathcal{F} =$  unit-typing
  by unfold-locales

interpretation example1: monomorphic-ordered-resolution-calculus where
  select = trivial-select :: (('f :: weighted , 'v :: infinite) term ) select and
  lesst = less-kbo and
   $\mathcal{F} =$  unit-typing and
  tiebreakers = trivial-tiebreakers
  by unfold-locales (auto intro: unit-types.exists-witness-if-exists-const-for-all-types)

instantiation nat :: infinite
begin

instance
  by intro-classes simp

end

datatype type = A | B

```

abbreviation *types* :: *nat* \Rightarrow *nat* \Rightarrow (*type list* \times *type*) *option* **where**
types *f* *n* \equiv
 let type = if even f then A else B
 in Some (replicate n type, type)

interpretation *example-types: monomorphic-term-typing* **where** $\mathcal{F} = \textit{types}$
by *unfold-locales*

interpretation *example2: monomorphic-ordered-resolution-calculus* **where**
 select = trivial-select :: (*nat*, *nat*) *term select* **and**
 less_t = less-kbo **and**
 $\mathcal{F} = \textit{types}$ **and**
 tiebreakers = trivial-tiebreakers

proof (*unfold-locales, rule example-types.exists-witness-if-exists-const-for-all-types*)
fix τ

show $\exists f. \textit{types} f 0 = \textit{Some} ([], \tau)$

proof (*cases* τ)

case *A*

show *?thesis*

unfolding *A*

by (*rule exI[of - 0]*) *auto*

next

case *B*

show *?thesis*

unfolding *B*

by (*rule exI[of - 1]*) *auto*

qed

qed

end