# Swap Distance

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Given two lists that are permutations of one another, the *swap distance* (also known as the *Kendall tau distance*) is the minimum number of swap operations of adjacent elements required to make the two lists the same.

Equivalently, the swap distance of two finite linear orders $\preceq$ and $\trianglelefteq$ is the number of disagreements of the two orders, i.e. of pairs $(x, y)$ such that $x \prec y$ and $y \lhd x$.

This article defines these two notions of swap distance as well as their equivalence under the obvious isomorphism between lists and linear orders given by interpreting a list as a *ranking* of elements in descending order.

An efficient $O(n \log n)$ algorithm to compute the swap distance is also provided via the connection to the number of inversions of a list, for which an efficient algorithm is already available in the AFP.

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# 1 The swap distance

**theory** *Swap-Distance*
  **imports** *Rankings.Rankings List-Inversions.List-Inversions*
**begin**

The swap distance (also known as the Kendall tau distance) of two finite linear orders $R$, $S$ is the number of pairs $(x, y)$ such that $(x, y) \in R$ and $(y, x) \in S$.

By using the obvious correspondence between finite linear orders and lists of fixed length, the notion is transferred to lists. In this case, an alternative interpretation of the swap distance is as the smallest number of swaps of adjacent elements one can perform in order to make one list match the other one.

The swap distance is strongly related to the number of inversions of a list of linearly-ordered elements: if we rename the elements from 1 to $n$ such that the first list becomes $[1, \ldots, n]$, the swap distance is exactly the number of inversions in the second list.

This correspondence can be used to compute the swap distance in $O(n \log n)$ time using the merge sort inversion count algorithm (which is available in the AFP).

## 1.1 Preliminaries

**primrec** *find-index-aux* :: *nat* ⇒ (*'a* ⇒ *bool*) ⇒ *'a list* ⇒ *nat* **where**
  *find-index-aux acc P* [] = *acc*
| *find-index-aux acc P* (*x* # *xs*) = (*if P x then acc else find-index-aux* (*acc*+*1*) *P xs*)

**lemma** *find-index-aux-correct*: *find-index-aux acc P xs* = *find-index P xs* + *acc*
  ⟨*proof*⟩

**lemma** *find-index-aux-code* [*code*]: *find-index P xs* = *find-index-aux 0 P xs*
  ⟨*proof*⟩

**lemma** *inversions-map*:
  **fixes** *xs* :: *'a* :: *linorder list*
  **assumes** *strict-mono-on* (*set xs*) *f*
  **shows** *inversions* (*map f xs*) = *inversions xs*
⟨*proof*⟩

**lemma** *inversion-number-map*:
  **fixes** *xs* :: *'a* :: *linorder list*
  **assumes** *strict-mono-on* (*set xs*) *f*
  **shows** *inversion-number* (*map f xs*) = *inversion-number xs*
  ⟨*proof*⟩

**lemma** *inversion-number-Cons*:
  *inversion-number* (*x* # *xs*) = *length* (*filter* (λ*y*. *y* < *x*) *xs*) + *inversion-number xs*
⟨*proof*⟩

**fun** (**in** *preorder*) *inversion-number-between-sorted-aux* :: *nat* ⇒ *'a list* ⇒ *'a list* ⇒ *nat* **where**
*inversion-number-between-sorted-aux acc* [] *ys* = *acc*
| *inversion-number-between-sorted-aux acc xs* [] = *acc*
| *inversion-number-between-sorted-aux acc* (*x* # *xs*) (*y* # *ys*) =
(*if* ¬*less y x then*
*inversion-number-between-sorted-aux acc xs* (*y* # *ys*)
*else*
*inversion-number-between-sorted-aux* (*acc* + *length* (*x* # *xs*)) (*x* # *xs*) *ys*)

**lemma** *inversion-number-between-sorted-aux-correct*:
*inversion-number-between-sorted-aux acc xs ys* = *acc* + *inversion-number-between-sorted xs ys*
⟨*proof*⟩

**lemma** *inversion-number-between-sorted-code* [*code*]:
*inversion-number-between-sorted xs ys* = *inversion-number-between-sorted-aux 0 xs ys*
⟨*proof*⟩

## 1.2 The swap distance of two linear orders

We first define the set of "discrepancies" between the two orders.

**definition** *swap-dist-relation-aux* :: (*'a* ⇒ *'a* ⇒ *bool*) ⇒ (*'a* ⇒ *'a* ⇒ *bool*) ⇒ (*'a* × *'a*) *set* **where**
*swap-dist-relation-aux R1 R2* = {(*x*,*y*). *R1 x y* ∧ ¬*R1 y x* ∧ *R2 y x* ∧ ¬*R2 x y*}

On a linear order, the following simpler definition holds.

**lemma** *swap-dist-relation-aux-def-linorder*:
**assumes** *linorder-on A R1 linorder-on A R2*
**shows** *swap-dist-relation-aux R1 R2* = {(*x*,*y*). *R1 x y* ∧ ¬*R2 x y*}
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-same* [*simp*]: *swap-dist-relation-aux R R* = {}
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-commute*: *swap-dist-relation-aux R1 R2* = *prod.swap* ‘ *swap-dist-relation-aux R2 R1*
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-commute'*: *bij-betw prod.swap* (*swap-dist-relation-aux R1 R2*) (*swap-dist-relation-aux R2 R1*)
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-dual*:
*swap-dist-relation-aux R1 R2* = *prod.swap* ‘ *swap-dist-relation-aux* (λ*x y*. *R1 y x*) (λ*x y*. *R2 y x*)
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-triangle*:

**assumes** *linorder-on A R1 linorder-on A R2 linorder-on A R3*
**shows** *swap-dist-relation-aux R1 R3 ⊆ swap-dist-relation-aux R1 R2 ∪ swap-dist-relation-aux R2 R3*
⟨*proof*⟩

**lemma** *finite-swap-dist-relation-aux*:
**assumes** *linorder-on A R1 finite A linorder-on B R2 finite B*
**shows** *finite* (*swap-dist-relation-aux R1 R2*)
⟨*proof*⟩

**lemma** *split-Bex-pair-iff*: (∃ *z*∈*A*. *P z*) ⟷ (∃ *x y*. (*x*, *y*) ∈ *A* ∧ *P* (*x*, *y*))
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-comap-relation*:
**assumes** *inj-on f A linorder-on A R linorder-on A S*
**shows** *swap-dist-relation-aux* (*comap-relation f R*) (*comap-relation f S*) = *map-prod f f* ‘ *swap-dist-relation-aux R S*
(**is** *?lhs* = *?rhs*)
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-restrict-subset*:
*swap-dist-relation-aux* (*restrict-relation A R*) (*restrict-relation A S*) ⊆
*swap-dist-relation-aux R S*
⟨*proof*⟩

The swap distance is then simply the number of such discrepancies.

**definition** *swap-dist-relation* :: (′*a* ⇒ ′*a* ⇒ *bool*) ⇒ (′*a* ⇒ ′*a* ⇒ *bool*) ⇒ *nat* **where**
*swap-dist-relation R1 R2* = *card* (*swap-dist-relation-aux R1 R2*)

**lemma** *swap-dist-relation-same* [*simp*]: *swap-dist-relation R R* = *0*
⟨*proof*⟩

**lemma** *swap-dist-relation-commute*: *swap-dist-relation R1 R2* = *swap-dist-relation R2 R1*
⟨*proof*⟩

**lemma** *swap-dist-relation-dual*:
*swap-dist-relation R1 R2* = *swap-dist-relation* (λ*x y*. *R1 y x*) (λ*x y*. *R2 y x*)
⟨*proof*⟩

**lemma** *swap-dist-relation-triangle*:
**assumes** *linorder-on A R1 linorder-on A R2 linorder-on A R3 finite A*
**shows** *swap-dist-relation R1 R3* ≤ *swap-dist-relation R1 R2* + *swap-dist-relation R2 R3*
⟨*proof*⟩

**lemma** *swap-dist-relation-aux-empty-iff*:
**assumes** *linorder-on A R linorder-on A S*
**shows** *swap-dist-relation-aux R S* = {} ⟷ *R* = *S*
⟨*proof*⟩

**lemma** *swap-dist-relation-eq-0-iff*:
**assumes** *linorder-on A R linorder-on A S finite A*
**shows** *swap-dist-relation R S = 0 ⟷ R = S*
⟨*proof*⟩

**lemma** *swap-dist-relation-comap-relation*:
**assumes** *inj-on f A linorder-on A R linorder-on A S*
**shows** *swap-dist-relation (comap-relation f R) (comap-relation f S) = swap-dist-relation R S*
⟨*proof*⟩

**lemma** *swap-dist-relation-le*:
**assumes** *preorder-on A R1 preorder-on A R2 finite A*
**shows** *swap-dist-relation R1 R2 ≤ (card A) choose 2*
⟨*proof*⟩

The swap distance reaches its maximum of $n(n-1)/2$ if and only if the two orders are inverse to each other.

**lemma** *swap-dist-relation-inverse*:
**assumes** *linorder-on A R finite A*
**shows** *swap-dist-relation R (λx y. R y x) = (card A) choose 2*
⟨*proof*⟩

**lemma** *swap-dist-relation-maximal-imp-inverse*:
**assumes** *preorder-on A R1 preorder-on A R2 finite A*
**assumes** *swap-dist-relation R1 R2 ≥ (card A) choose 2*
**shows** *R2 = (λy x. R1 x y)*
⟨*proof*⟩

**lemma** *swap-dist-relation-maximal-iff-inverse*:
**assumes** *linorder-on A R1 linorder-on A R2 finite A*
**shows** *swap-dist-relation R1 R2 = (card A) choose 2 ⟷ R2 = (λy x. R1 x y)*
⟨*proof*⟩

**lemma** *swap-dist-relation-restrict*:
**assumes** *linorder-on B R linorder-on B S finite B*
**shows** *swap-dist-relation (restrict-relation A R) (restrict-relation A S) ≤*
*swap-dist-relation R S*
⟨*proof*⟩

If the restriction of two relations to some set $A$ has the same swap distance as the full relations, the two relations must agree everywhere except inside $A$.

**lemma** *swap-dist-relation-restrict-eq-imp-eq*:
**fixes** *R S A B*
**assumes** *linorder-on A R linorder-on A S finite A*
**defines** *R' ≡ restrict-relation B R*
**defines** *S' ≡ restrict-relation B S*
**assumes** *swap-dist-relation R' S' ≥ swap-dist-relation R S*

**assumes** *xy*: $x \notin B \vee y \notin B$
**shows** $R\ x\ y \longleftrightarrow S\ x\ y$
⟨*proof*⟩

## 1.3 The swap distance of two lists

The swap distance of two lists is defined as the swap distance of the relations they correspond to when interpreting them as rankings of "biggest" to "smallest".

**definition** *swap-dist* :: *'a list* ⇒ *'a list* ⇒ *nat* **where**
*swap-dist xs ys* =
(*if distinct xs* ∧ *distinct ys* ∧ *set xs* = *set ys*
*then swap-dist-relation* (*of-ranking xs*) (*of-ranking ys*) *else 0*)

**lemma** *swap-dist-le*: *swap-dist xs ys* ≤ (*length xs*) *choose 2*
⟨*proof*⟩

**lemma** *swap-dist-same* [*simp*]: *swap-dist xs xs* = *0*
⟨*proof*⟩

**lemma** *swap-dist-commute*: *swap-dist xs ys* = *swap-dist ys xs*
⟨*proof*⟩

**lemma** *swap-dist-rev* [*simp*]: *swap-dist* (*rev xs*) (*rev ys*) = *swap-dist xs ys*
⟨*proof*⟩

**lemma** *swap-dist-rev-left*: *swap-dist* (*rev xs*) *ys* = *swap-dist xs* (*rev ys*)
⟨*proof*⟩

**lemma** *swap-dist-triangle*:
**assumes** *set xs* = *set ys distinct ys*
**shows** *swap-dist xs zs* ≤ *swap-dist xs ys* + *swap-dist ys zs*
⟨*proof*⟩

**lemma** *swap-dist-eq-0-iff*:
**assumes** *distinct xs distinct ys set xs* = *set ys*
**shows** *swap-dist xs ys* = *0* ⟷ *xs* = *ys*
⟨*proof*⟩

**lemma** *swap-dist-pos-iff*:
**assumes** *distinct xs distinct ys set xs* = *set ys*
**shows** *swap-dist xs ys* > *0* ⟷ *xs* ≠ *ys*
⟨*proof*⟩

**lemma** *swap-dist-map*:
**assumes** *inj-on f* (*set xs* ∪ *set ys*)
**shows** *swap-dist* (*map f xs*) (*map f ys*) = *swap-dist xs ys*
⟨*proof*⟩

The swap distance reaches its maximum of $n(n-1)/2$ iff the two lists are reverses of

one another.

**lemma** *swap-dist-rev-same*:
**assumes** *distinct xs*
**shows** *swap-dist xs* (*rev xs*) = (*length xs*) *choose 2*
⟨*proof*⟩

**lemma** *swap-dist-maximalD*:
**assumes** *set xs* = *set ys distinct xs distinct ys*
**assumes** *swap-dist xs ys* ≥ (*length xs*) *choose 2*
**shows** *ys* = *rev xs*
⟨*proof*⟩

**lemma** *swap-dist-maximal-iff*:
**assumes** *set xs* = *set ys distinct xs distinct ys*
**shows** *swap-dist xs ys* = (*length xs*) *choose 2* ⟷ *ys* = *rev xs*
⟨*proof*⟩

**lemma** *swap-dist-append-left*:
**assumes** *distinct zs*
**assumes** *set zs* ∩ *set xs* = {} *set zs* ∩ *set ys* = {}
**shows** *swap-dist* (*zs* @ *xs*) (*zs* @ *ys*) = *swap-dist xs ys*
⟨*proof*⟩

**lemma** *swap-dist-append-right*:
**assumes** *distinct zs*
**assumes** *set zs* ∩ *set xs* = {} *set zs* ∩ *set ys* = {}
**shows** *swap-dist* (*xs* @ *zs*) (*ys* @ *zs*) = *swap-dist xs ys*
⟨*proof*⟩

**lemma** *swap-dist-Cons-same*:
**assumes** *z* ∉ *set xs* ∪ *set ys*
**shows** *swap-dist* (*z* # *xs*) (*z* # *ys*) = *swap-dist xs ys*
⟨*proof*⟩

**lemma** *swap-dist-swap-first*:
**assumes** *distinct* (*x* # *y* # *xs*)
**shows** *swap-dist* (*x* # *y* # *xs*) (*y* # *x* # *xs*) = *1*
⟨*proof*⟩

## 1.4 The relationship between swap distance and inversions

The swap distance between a list *xs* containing the numbers $0, \ldots, n-1$ and the list $[0, \ldots, n-1]$ is exactly the number of inversions of *xs*.

**lemma** *swap-dist-zero-upt-n*:
**assumes** *mset xs* = *mset-set* {*0..<n*}
**shows** *swap-dist* [*0..<n*] *xs* = *inversion-number xs*
⟨*proof*⟩

Hence, computing the swap distance of two arbitrary lists can be reduced to computing the number of inversions of a list by renaming all the elements such that the first list becomes $[0, \ldots, n-1]$.

**lemma** *swap-dist-conv-inversion-number*:
**assumes** *distinct*: *distinct xs distinct ys* **and** *set-eq*: *set xs* = *set ys*
**shows** *swap-dist xs ys* = *inversion-number* (*map* (*index xs*) *ys*)
⟨*proof*⟩

**lemma** *swap-dist-code'* [*code*]:
*swap-dist xs ys* =
(*if distinct xs* ∧ *distinct ys* ∧ *set xs* = *set ys then*
*inversion-number* (*map* (*index xs*) *ys*) *else 0*)
⟨*proof*⟩

## 1.5 Swapping adjacent list elements

**definition** *swap-adj-list* :: *nat* ⇒ *'a list* ⇒ *'a list* **where**
*swap-adj-list i xs* = (*if Suc i* < *length xs then xs*[*i* := *xs* ! *Suc i*, *Suc i* := *xs* ! *i*] *else xs*)

**lemma** *length-swap-adj-list* [*simp*]: *length* (*swap-adj-list i xs*) = *length xs*
⟨*proof*⟩

**lemma** *distinct-swap-adj-list-iff* [*simp*]:
*distinct* (*swap-adj-list i xs*) ⟷ *distinct xs*
⟨*proof*⟩

**lemma** *mset-swap-adj-list* [*simp*]:
*mset* (*swap-adj-list i xs*) = *mset xs*
⟨*proof*⟩

**lemma** *set-swap-adj-list* [*simp*]:
*set* (*swap-adj-list i xs*) = *set xs*
⟨*proof*⟩

**lemma** *swap-adj-list-append-left*:
**assumes** *i* ≥ *length xs*
**shows** *swap-adj-list i* (*xs* @ *ys*) = *xs* @ *swap-adj-list* (*i* − *length xs*) *ys*
⟨*proof*⟩

**lemma** *swap-adj-list-Cons*:
**assumes** *i* > *0*
**shows** *swap-adj-list i* (*x* # *xs*) = *x* # *swap-adj-list* (*i* − *1*) *xs*
⟨*proof*⟩

**lemma** *swap-adj-list-append-right*:
**assumes** *Suc i* < *length xs*
**shows** *swap-adj-list i* (*xs* @ *ys*) = *swap-adj-list i xs* @ *ys*
⟨*proof*⟩

**lemma** *swap-dist-swap-adj-list*:
**assumes** *Suc i < length xs distinct xs*
**shows** *swap-dist xs (swap-adj-list i xs) = 1*
⟨*proof*⟩

**fun** *swap-adjs-list* :: *nat list* ⇒ *'a list* ⇒ *'a list* **where**
*swap-adjs-list* [] *xs* = *xs*
| *swap-adjs-list* (*i* # *is*) *xs* = *swap-adjs-list is* (*swap-adj-list i xs*)

**lemma** *length-swap-adjs-list* [*simp*]: *length* (*swap-adjs-list is xs*) = *length xs*
⟨*proof*⟩

**lemma** *distinct-swap-adjs-list-iff* [*simp*]:
*distinct* (*swap-adjs-list is xs*) ⟷ *distinct xs*
⟨*proof*⟩

**lemma** *mset-swap-adjs-list* [*simp*]:
*mset* (*swap-adjs-list is xs*) = *mset xs*
⟨*proof*⟩

**lemma** *set-swap-adjs-list-list* [*simp*]:
*set* (*swap-adjs-list is xs*) = *set xs*
⟨*proof*⟩

**lemma** *swap-adjs-list-append*:
*swap-adjs-list* (*is* @ *js*) *xs* = *swap-adjs-list js* (*swap-adjs-list is xs*)
⟨*proof*⟩

**lemma** *swap-adjs-list-append-left*:
**assumes** ∀ *i*∈*set is*. *i* ≥ *length xs*
**shows** *swap-adjs-list is* (*xs* @ *ys*) = *xs* @ *swap-adjs-list* (*map* (λ*i*. *i* − *length xs*) *is*) *ys*
⟨*proof*⟩

**lemma** *swap-adjs-list-Cons*:
**assumes** *0* ∉ *set is*
**shows** *swap-adjs-list is* (*x* # *xs*) = *x* # *swap-adjs-list* (*map* (λ*i*. *i* − *1*) *is*) *xs*
⟨*proof*⟩

**lemma** *swap-adjs-list-append-right*:
**assumes** ∀ *i*∈*set is*. *Suc i < length xs*
**shows** *swap-adjs-list is* (*xs* @ *ys*) = *swap-adjs-list is xs* @ *ys*
⟨*proof*⟩

Swapping two adjacent elements either increases or decreases the swap distance by 1, depending on the orientation of the swapped pair in the other relation.

**lemma** *swap-dist-relation-of-ranking-swap*:
**assumes** *distinct* (*xs* @ *x* # *y* # *ys*)
**shows** *swap-dist-relation R* (*of-ranking* (*xs* @ *x* # *y* # *ys*)) + (*if y* ≺[*R*] *x then 1 else 0*) =
*swap-dist-relation R* (*of-ranking* (*xs* @ *y* # *x* # *ys*)) + (*if x* ≺[*R*] *y then 1 else 0*)

⟨*proof*⟩

## 1.6 Swapping non-adjacent list elements

If $x$ and $y$ are two not necessarily adjacent elements that are "in the wrong order", swapping them always strictly decreases the swap distance.

**lemma** *swap-dist-relation-swap-less*:
**assumes** *linorder-on A R finite A*
**assumes** *xy*: *R x y*
**assumes** *distinct*: *distinct* (*xs* @ *x* # *ys* @ *y* # *zs*)
**assumes** *subset*: *set* (*xs* @ *x* # *ys* @ *y* # *zs*) = *A*
**shows** *swap-dist-relation R* (*of-ranking* (*xs* @ *x* # *ys* @ *y* # *zs*)) >
*swap-dist-relation R* (*of-ranking* (*xs* @ *y* # *ys* @ *x* # *zs*))
⟨*proof*⟩

**lemma** *swap-dist-relation-swap-less′*:
**assumes** *xy*: *R* (*ys* ! *i*) (*ys* ! *j*) ⟷ *i* < *j*
**assumes** *R*: *finite-linorder-on A R*
**assumes** *distinct*: *distinct ys set ys* = *A*
**assumes** *ij*: *i* < *length ys j* < *length ys i* ≠ *j*
**shows** *swap-dist-relation R* (*of-ranking ys*) >
*swap-dist-relation R* (*of-ranking* (*ys*[*i* := *ys* ! *j*, *j* := *ys* ! *i*]))
⟨*proof*⟩

The following formulation for lists is probably the nicest one.

**lemma** *swap-dist-swap-less*:
**assumes** *xy*: *of-ranking xs* (*ys* ! *i*) (*ys* ! *j*) ⟷ *i* < *j*
**assumes** *distinct*: *distinct xs distinct ys set xs* = *set ys*
**assumes** *ij*: *i* < *length ys j* < *length ys i* ≠ *j*
**shows** *swap-dist xs ys* > *swap-dist xs* (*ys*[*i* := *ys* ! *j*, *j* := *ys* ! *i*])
⟨*proof*⟩

## 1.7 Swap distance as minimal number of adjacent swaps to make two lists equal

The swap distance between the original list and the list obtained after swapping adjacent elements $n$ times is at most $n$.

**lemma** *swap-dist-swap-adjs-list*:
**assumes** *distinct xs*
**shows** *swap-dist xs* (*swap-adjs-list is xs*) ≤ *length is*
⟨*proof*⟩

Phrased in another way, any sequence of adjacent swaps that makes two lists the same must have a length at least as big as the swap distance of the two lists.

**theorem** *swap-dist-minimal*:
**assumes** *distinct xs*
**assumes** ∀ *i*∈*set is*. *Suc i* < *length xs*

**assumes** *swap-adjs-list is xs = ys*
**shows** *length is ≥ swap-dist xs ys*
⟨*proof*⟩

Next, we will show that this lower bound is sharp, i.e. there exists a sequence of swaps that makes the two lists the same whose length is exactly the swap distance.

To this end, we derive an algorithm to compute a sequence of swaps whose effect is equivalent to the permutation $[0, 1, \ldots, n-1] \mapsto [i_0, i_1, \ldots, i_{n-1}]$.

We first define the following function, which returns a list of swaps that pulls the $i$-th element of a list to the front, i.e. it corresponds to the permutation $[0, 1, \ldots, n-1] \mapsto [i, 0, 1, \ldots, i-1, i+1, \ldots, n-1]$.

**definition** *pull-to-front-swaps* :: *nat* ⇒ *nat list* **where**
*pull-to-front-swaps i = rev [0..<i]*

**lemma** *length-pull-to-front-swaps* [*simp*]: *length* (*pull-to-front-swaps i*) = *i*
⟨*proof*⟩

**lemma** *set-pull-to-front-swaps* [*simp*]: *set* (*pull-to-front-swaps i*) = {*0..<i*}
⟨*proof*⟩

**lemma** *pull-to-front-swaps-0* [*simp*]: *pull-to-front-swaps 0* = []
**and** *pull-to-front-swaps-Suc*: *pull-to-front-swaps* (*Suc i*) = *i* # *pull-to-front-swaps i*
⟨*proof*⟩

**lemma** *swap-adjs-list-pull-to-front*:
**assumes** *i < length xs*
**shows** *swap-adjs-list* (*pull-to-front-swaps i*) *xs* = (*xs* ! *i*) # *take i xs* @ *drop* (*Suc i*) *xs*
⟨*proof*⟩

We now simply perform the "pull to front" operation so that the first element is the desired one. We then do the same thing again for the remaining $n-1$ indices (shifted accordingly) etc. until we reach the end of the index list.

This corresponds to a variant of selection sort that only uses adjacent swaps, or it can also be seen as a kind of reversal of insertion sort.

**fun** *swaps-of-perm* :: *nat list* ⇒ *nat list* **where**
*swaps-of-perm* [] = []
| *swaps-of-perm* (*i* # *is*) =
*pull-to-front-swaps i* @ *map Suc* (*swaps-of-perm* (*map* (λ*j*. *if j ≥ i then j − 1 else j*) *is*))

**lemma** *set-swaps-of-perm-subset*: *set* (*swaps-of-perm is*) ⊆ (⋃ *i*∈*set is*. {*0..<i*})
⟨*proof*⟩

**lemma** *swap-adjs-list-swaps-of-perm-aux*:
**fixes** *i* :: *nat*
**assumes** *mset* (*i* # *is*) = *mset-set* {*0..<n*}
**shows** *mset* (*map* (λ*j*. *if i ≤ j then j − 1 else j*) *is*) = *mset-set* {*0..<n − 1*}
⟨*proof*⟩

The following result shows that the list of swaps returned by *swaps-of-perm* indeed have the desired effect.

**lemma** *swap-adjs-list-swaps-of-perm*:
  **assumes** *mset is* = *mset-set* {*0..<length xs*}
  **shows** *swap-adjs-list* (*swaps-of-perm is*) *xs* = *map* (λ*i*. *xs* ! *i*) *is*
  ⟨*proof*⟩

The number of swaps returned by *swaps-of-perm* is exactly the number of inversions in the input list (i.e. of the index permutation described by it).

**lemma** *length-swaps-of-perm*:
  **assumes** *mset is* = *mset-set* {*0..<length is*}
  **shows** *length* (*swaps-of-perm is*) = *inversion-number is*
  ⟨*proof*⟩

Finally, we use the above to give a list of swap operations that map one list to another. The number of swap operations produced by this is exactly the swap distance of the two lists.

**definition** *swaps-of-perm'* :: *'a list* ⇒ *'a list* ⇒ *nat list* **where**
  *swaps-of-perm' xs ys* = *swaps-of-perm* (*map* (*index xs*) *ys*)

**theorem** *swaps-of-perm'*:
  **assumes** *distinct xs distinct ys set xs* = *set ys*
  **shows** ∀ *i*∈*set* (*swaps-of-perm' xs ys*). *Suc i* < *length xs*
        *swap-adjs-list* (*swaps-of-perm' xs ys*) *xs* = *ys*
        *length* (*swaps-of-perm' xs ys*) = *swap-dist xs ys*
⟨*proof*⟩

Finally, we can derive the alternative characterisation of the swap distance.

**lemma** *swap-dist-altdef*:
  **assumes** *distinct xs distinct ys set xs* = *set ys*
  **shows** *swap-dist xs ys* = (*INF is*∈{*is*. *swap-adjs-list is xs* = *ys*}. *length is*)
⟨*proof*⟩

**end**

## References


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