

A Modular Formalization of Superposition

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Abstract

Superposition is an efficient proof calculus for reasoning about first-order logic with equality that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We relied on the IsaFoR library for first-order terms and on the Isabelle saturation framework. A paper describing this formalization was published at the 15th International Conference on Interactive Theorem Proving (ITP 2024) [1].

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theory <i>Transitive-Closure-Extra</i>		
imports <i>Main</i>		
begin		
lemma	<i>reflclp-iff</i> : $\bigwedge R x y. R^{==} x y \longleftrightarrow R x y \vee x = y$	
	<i><proof></i>	
lemma	<i>reflclp-refl</i> : $R^{==} x x$	
	<i><proof></i>	
lemma	<i>transpD-strict-non-strict</i> :	
	assumes <i>transp R</i>	
	shows $\bigwedge x y z. R x y \implies R^{==} y z \implies R x z$	
	<i><proof></i>	
lemma	<i>transpD-non-strict-strict</i> :	
	assumes <i>transp R</i>	
	shows $\bigwedge x y z. R^{==} x y \implies R y z \implies R x z$	
	<i><proof></i>	
lemma	<i>mem-rtrancl-union-iff-mem-rtrancl-lhs</i> :	
	assumes $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$	
	shows $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in A^*$	
	<i><proof></i>	
lemma	<i>mem-rtrancl-union-iff-mem-rtrancl-rhs</i> :	
	assumes	
	$\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$	
	shows $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in B^*$	
	<i><proof></i>	
end		
theory <i>Abstract-Rewriting-Extra</i>		

```

imports
  Transitive-Closure-Extra
  Abstract-Rewriting.Abstract-Rewriting
begin

lemma mem-join-union-iff-mem-join-lhs:
  assumes
     $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$  and
     $\bigwedge z. (y, z) \in A^* \implies z \notin \text{Domain } B$ 
  shows  $(x, y) \in (A \cup B)^\downarrow \iff (x, y) \in A^\downarrow$ 
  <proof>

lemma mem-join-union-iff-mem-join-rhs:
  assumes
     $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$  and
     $\bigwedge z. (y, z) \in B^* \implies z \notin \text{Domain } A$ 
  shows  $(x, y) \in (A \cup B)^\downarrow \iff (x, y) \in B^\downarrow$ 
  <proof>

lemma refl-join: refl ( $r^\downarrow$ )
  <proof>

lemma trans-join:
  assumes strongly-norm: SN  $r$  and confluent: WCR  $r$ 
  shows trans ( $r^\downarrow$ )
  <proof>

end
theory Term-Rewrite-System
  imports
    Regular-Tree-Relations.Ground-Ctxt
begin

definition compatible-with-gctxt :: 'f gterm rel  $\implies$  bool where
  compatible-with-gctxt  $I \iff (\forall t t' \text{ ctxt}. (t, t') \in I \longrightarrow (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I)$ 

lemma compatible-with-gctxtD:
  compatible-with-gctxt  $I \implies (t, t') \in I \implies (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I$ 
  <proof>

lemma compatible-with-gctxt-converse:
  assumes compatible-with-gctxt  $I$ 
  shows compatible-with-gctxt ( $I^{-1}$ )
  <proof>

lemma compatible-with-gctxt-symcl:
  assumes compatible-with-gctxt  $I$ 
  shows compatible-with-gctxt ( $I^{\leftrightarrow}$ )
  <proof>

```

lemma *compatible-with-gctxt-rtrancel*:

assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I*)*
<proof>

lemma *compatible-with-gctxt-relcomp*:

assumes *compatible-with-gctxt I1* **and** *compatible-with-gctxt I2*
shows *compatible-with-gctxt (I1 O I2)*
<proof>

lemma *compatible-with-gctxt-join*:

assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I[↓])*
<proof>

lemma *compatible-with-gctxt-conversion*:

assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I^{↔*})*
<proof>

definition *rewrite-inside-gctxt* :: '*f gterm rel* ⇒ '*f gterm rel* **where**

rewrite-inside-gctxt R = {(ctxt⟨t1⟩_G, ctxt⟨t2⟩_G) | ctxt t1 t2. (t1, t2) ∈ R}

lemma *mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[*intro*]:

(l, r) ∈ R ⇒ (l, r) ∈ rewrite-inside-gctxt R
<proof>

lemma *ctxt-mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[*intro*]:

(l, r) ∈ R ⇒ (ctxt⟨l⟩_G, ctxt⟨r⟩_G) ∈ rewrite-inside-gctxt R
<proof>

lemma *rewrite-inside-gctxt-mono*: *R ⊆ S ⇒ rewrite-inside-gctxt R ⊆ rewrite-inside-gctxt S*

<proof>

lemma *rewrite-inside-gctxt-union*:

rewrite-inside-gctxt (R ∪ S) = rewrite-inside-gctxt R ∪ rewrite-inside-gctxt S
<proof>

lemma *rewrite-inside-gctxt-insert*:

rewrite-inside-gctxt (insert r R) = rewrite-inside-gctxt {r} ∪ rewrite-inside-gctxt R
<proof>

lemma *converse-rewrite-steps*: *(rewrite-inside-gctxt R)⁻¹ = rewrite-inside-gctxt (R⁻¹)*

<proof>

lemma *rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt*:

fixes *less-trm* :: 'f gterm \Rightarrow 'f gterm \Rightarrow bool (**infix** \prec_t 50)
assumes
rule-in: $(t1, t2) \in \text{rewrite-inside-gctxt } R$ **and**
ball-R-rhs-lt-lhs: $\bigwedge t1\ t2. (t1, t2) \in R \Longrightarrow t2 \prec_t t1$ **and**
compatible-with-gctxt: $\bigwedge t1\ t2\ \text{ctxt}. t2 \prec_t t1 \Longrightarrow \text{ctxt}\langle t2 \rangle_G \prec_t \text{ctxt}\langle t1 \rangle_G$
shows $t2 \prec_t t1$
<proof>

lemma *mem-rewrite-step-union-NF*:
assumes $(t, t') \in \text{rewrite-inside-gctxt } (R1 \cup R2)$
 $t \in \text{NF } (\text{rewrite-inside-gctxt } R2)$
shows $(t, t') \in \text{rewrite-inside-gctxt } R1$
<proof>

lemma *predicate-holds-of-mem-rewrite-inside-gctxt*:
assumes *rule-in*: $(t1, t2) \in \text{rewrite-inside-gctxt } R$ **and**
ball-P: $\bigwedge t1\ t2. (t1, t2) \in R \Longrightarrow P\ t1\ t2$ **and**
preservation: $\bigwedge t1\ t2\ \text{ctxt } \sigma. (t1, t2) \in R \Longrightarrow P\ t1\ t2 \Longrightarrow P\ \text{ctxt}\langle t1 \rangle_G\ \text{ctxt}\langle t2 \rangle_G$
shows $P\ t1\ t2$
<proof>

lemma *compatible-with-gctxt-rewrite-inside-gctxt[simp]*: *compatible-with-gctxt* (*rewrite-inside-gctxt* *E*)
<proof>

lemma *subset-rewrite-inside-gctxt[simp]*: $E \subseteq \text{rewrite-inside-gctxt } E$
<proof>

lemma *wf-converse-rewrite-inside-gctxt*:
fixes *E* :: 'f gterm rel
assumes
wfP-R: *wfP* *R* **and**
R-compatible-with-gctxt: $\bigwedge \text{ctxt } t\ t'. R\ t\ t' \Longrightarrow R\ \text{ctxt}\langle t \rangle_G\ \text{ctxt}\langle t' \rangle_G$ **and**
equations-subset-R: $\bigwedge x\ y. (x, y) \in E \Longrightarrow R\ y\ x$
shows *wf* $((\text{rewrite-inside-gctxt } E)^{-1})$
<proof>

end
theory *Ground-Critical-Pairs*
imports *Term-Rewrite-System*
begin

definition *ground-critical-pairs* :: 'f gterm rel \Rightarrow 'f gterm rel **where**
ground-critical-pairs $R = \{(\text{ctxt}\langle r_2 \rangle_G, r_1) \mid \text{ctxt } l\ r_1\ r_2. (\text{ctxt}\langle l \rangle_G, r_1) \in R \wedge (l, r_2) \in R\}$

abbreviation *ground-critical-pair-theorem* :: 'f gterm rel \Rightarrow bool **where**
ground-critical-pair-theorem $(R :: 'f\ gterm\ rel) \equiv$
 $\text{WCR } (\text{rewrite-inside-gctxt } R) \longleftrightarrow \text{ground-critical-pairs } R \subseteq (\text{rewrite-inside-gctxt } R)$

$R)^{\downarrow}$

end

theory *Multiset-Extra*

imports

HOL-Library.Multiset

HOL-Library.Multiset-Order

Nested-Multisets-Ordinals.Multiset-More

begin

lemma *one-le-countE*:

assumes $1 \leq \text{count } M \ x$

obtains M' **where** $M = \text{add-mset } x \ M'$

<proof>

lemma *two-le-countE*:

assumes $2 \leq \text{count } M \ x$

obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ M')$

<proof>

lemma *three-le-countE*:

assumes $3 \leq \text{count } M \ x$

obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ (\text{add-mset } x \ M'))$

<proof>

lemma *one-step-implies-multp_{HO}-strong*:

fixes $A \ B \ J \ K :: \text{-multiset}$

defines $J \equiv B - A$ **and** $K \equiv A - B$

assumes $J \neq \{\#\}$ **and** $\forall k \in \# \ K. \exists x \in \# \ J. R \ k \ x$

shows $\text{multp}_{HO} \ R \ A \ B$

<proof>

lemma *Uniq-antimono*: $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$

<proof>

lemma *Uniq-antimono'*: $(\bigwedge x. Q \ x \implies P \ x) \implies \text{Uniq } P \implies \text{Uniq } Q$

<proof>

lemma *multp-singleton-right[simp]*:

assumes $\text{transp } R$

shows $\text{multp } R \ M \ \{\#x\# \} \longleftrightarrow (\forall y \in \# \ M. R \ y \ x)$

<proof>

lemma *multp-singleton-left[simp]*:

assumes $\text{transp } R$

shows $\text{multp } R \ \{\#x\# \} \ M \longleftrightarrow (\{\#x\# \} \subset \# \ M \vee (\exists y \in \# \ M. R \ x \ y))$

<proof>

lemma *multp-singleton-singleton[simp]*: $\text{transp } R \implies \text{multp } R \ \{\#x\# \} \ \{\#y\# \} \longleftrightarrow$

$R x y$
 $\langle proof \rangle$

lemma *multp-subset-supersetI*: $transp R \implies multp R A B \implies C \subseteq\# A \implies B \subseteq\# D \implies multp R C D$
 $\langle proof \rangle$

lemma *multp-double-doubleI*:
assumes $transp R multp R A B$
shows $multp R (A + A) (B + B)$
 $\langle proof \rangle$

lemma *multp-implies-one-step-strong*:
fixes $A B I J K :: - multiset$
assumes $transp R$ **and** $asypm R$ **and** $multp R A B$
defines $J \equiv B - A$ **and** $K \equiv A - B$
shows $J \neq \{\#\}$ **and** $\forall k \in\# K. \exists x \in\# J. R k x$
 $\langle proof \rangle$

lemma *multp-double-doubleD*:
assumes $transp R$ **and** $asypm R$ **and** $multp R (A + A) (B + B)$
shows $multp R A B$
 $\langle proof \rangle$

lemma *multp-double-double*:
 $transp R \implies asypm R \implies multp R (A + A) (B + B) \longleftrightarrow multp R A B$
 $\langle proof \rangle$

lemma *multp-doubleton-doubleton[simp]*:
 $transp R \implies asypm R \implies multp R \{\#x, x\# \} \{\#y, y\# \} \longleftrightarrow R x y$
 $\langle proof \rangle$

lemma *multp-single-doubleI*: $M \neq \{\#\} \implies multp R M (M + M)$
 $\langle proof \rangle$

lemma *mult1-implies-one-step-strong*:
assumes $trans r$ **and** $asym r$ **and** $(A, B) \in mult1 r$
shows $B - A \neq \{\#\}$ **and** $\forall k \in\# A - B. \exists j \in\# B - A. (k, j) \in r$
 $\langle proof \rangle$

lemma *asypm-multp*:
assumes $asypm R$ **and** $transp R$
shows $asypm (multp R)$
 $\langle proof \rangle$

lemma *multp-doubleton-singleton*: $transp R \implies multp R \{\# x, x \# \} \{\# y \# \} \longleftrightarrow R x y$
 $\langle proof \rangle$

lemma *image-mset-remove1-mset*:
assumes *inj f*
shows $\text{remove1-mset } (f \ a) \ (\text{image-mset } f \ X) = \text{image-mset } f \ (\text{remove1-mset } a \ X)$
 $\langle \text{proof} \rangle$

lemma *multp_{DM}-map-strong*:
assumes
f-mono: monotone-on (set-mset (M1 + M2)) R S f and
M1-lt-M2: multp_{DM} R M1 M2
shows $\text{multp}_{DM} \ S \ (\text{image-mset } f \ M1) \ (\text{image-mset } f \ M2)$
 $\langle \text{proof} \rangle$

lemma *multp-map-strong*:
assumes
transp: transp R and
f-mono: monotone-on (set-mset (M1 + M2)) R S f and
M1-lt-M2: multp R M1 M2
shows $\text{multp} \ S \ (\text{image-mset } f \ M1) \ (\text{image-mset } f \ M2)$
 $\langle \text{proof} \rangle$

lemma *multp_{HO}-add-mset*:
assumes *asympt R transp R R x y multp_{HO} R X Y*
shows $\text{multp}_{HO} \ R \ (\text{add-mset } x \ X) \ (\text{add-mset } y \ Y)$
 $\langle \text{proof} \rangle$

lemma *multp-add-mset*:
assumes *asympt R transp R R x y multp R X Y*
shows $\text{multp} \ R \ (\text{add-mset } x \ X) \ (\text{add-mset } y \ Y)$
 $\langle \text{proof} \rangle$

lemma *multp-add-mset'*:
assumes *R x y*
shows $\text{multp} \ R \ (\text{add-mset } x \ X) \ (\text{add-mset } y \ X)$
 $\langle \text{proof} \rangle$

lemma *multp-add-mset-reflcp*:
assumes *asympt R transp R R x y (multp R)⁼⁼ X Y*
shows $\text{multp} \ R \ (\text{add-mset } x \ X) \ (\text{add-mset } y \ Y)$
 $\langle \text{proof} \rangle$

lemma *multp-add-same*:
assumes *asympt R transp R multp R X Y*
shows $\text{multp} \ R \ (\text{add-mset } x \ X) \ (\text{add-mset } x \ Y)$
 $\langle \text{proof} \rangle$

end
theory *Uprod-Extra*
imports


```

    HOL-Library.Multiset
    HOL-Library.Uprod
begin

abbreviation upair where
  upair  $\equiv \lambda(x, y). \text{Upair } x \ y$ 

lemma Upair-sym:  $\text{Upair } x \ y = \text{Upair } y \ x$ 
   $\langle \text{proof} \rangle$ 

lemma ex-ordered-Upair:
  assumes tot: totalp-on (set-uprod p) R
  shows  $\exists x \ y. p = \text{Upair } x \ y \wedge R^{==} x \ y$ 
   $\langle \text{proof} \rangle$ 

definition mset-uprod :: 'a uprod  $\Rightarrow$  'a multiset where
  mset-uprod = case-uprod (Abs-commute ( $\lambda x \ y. \{\#x, y\# \}$ ))

lemma Abs-commute-inverse-mset[simp]:
  apply-commute (Abs-commute ( $\lambda x \ y. \{\#x, y\# \}$ )) = ( $\lambda x \ y. \{\#x, y\# \}$ )
   $\langle \text{proof} \rangle$ 

lemma set-mset-mset-uprod[simp]: set-mset (mset-uprod up) = set-uprod up
   $\langle \text{proof} \rangle$ 

lemma mset-uprod-Upair[simp]: mset-uprod (Upair x y) =  $\{\#x, y\# \}$ 
   $\langle \text{proof} \rangle$ 

lemma map-uprod-inverse:  $(\bigwedge x. f (g \ x) = x) \implies (\bigwedge y. \text{map-uprod } f (\text{map-uprod } g \ y) = y)$ 
   $\langle \text{proof} \rangle$ 

lemma mset-uprod-image-mset: mset-uprod (map-uprod f p) = image-mset f (mset-uprod p)
   $\langle \text{proof} \rangle$ 

end
theory HOL-Extra
  imports Main
begin

lemmas UniqI = Uniq-I

lemma Uniq-prodI:
  assumes  $\bigwedge x1 \ y1 \ x2 \ y2. P \ x1 \ y1 \implies P \ x2 \ y2 \implies (x1, y1) = (x2, y2)$ 
  shows  $\exists_{\leq 1}(x, y). P \ x \ y$ 
   $\langle \text{proof} \rangle$ 

lemma Uniq-implies-ex1:  $\exists_{\leq 1} x. P \ x \implies P \ y \implies \exists !x. P \ x$ 

```

<proof>

lemma *Uniq-antimono*: $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$
<proof>

lemma *Uniq-antimono'*: $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$
<proof>

lemma *Collect-eq-if-Uniq*: $(\exists_{\leq 1} x. P x) \implies \{x. P x\} = \{\} \vee (\exists x. \{x. P x\} = \{x\})$
<proof>

lemma *Collect-eq-if-Uniq-prod*:
 $(\exists_{\leq 1} (x, y). P x y) \implies \{(x, y). P x y\} = \{\} \vee (\exists x y. \{(x, y). P x y\} = \{(x, y)\})$
<proof>

lemma *Ball-Ex-comm*:
 $(\forall x \in X. \exists f. P (f x) x) \implies (\exists f. \forall x \in X. P (f x) x)$
 $(\exists f. \forall x \in X. P (f x) x) \implies (\forall x \in X. \exists f. P (f x) x)$
<proof>

lemma *set-map-id*:
assumes $x \in \text{set } X$ $f x \notin \text{set } X$ $\text{map } f X = X$
shows *False*
<proof>

end

theory *Relation-Extra*
imports *HOL.Relation*
begin

lemma *transp-on-empty[simp]*: $\text{transp-on } \{\} R$
<proof>

lemma *asympt-on-empty[simp]*: $\text{asympt-on } \{\} R$
<proof>

lemma *partition-set-around-element*:
assumes *tot*: $\text{totalp-on } N R$ **and** *x-in*: $x \in N$
shows $N = \{y \in N. R y x\} \cup \{x\} \cup \{y \in N. R x y\}$
<proof>

end

theory *Clausal-Calculus-Extra*
imports
Saturation-Framework-Extensions.Clausal-Calculus
Uprod-Extra
begin

lemma *map-literal-inverse*:

$(\bigwedge x. f (g x) = x) \implies (\bigwedge literal. map-literal f (map-literal g literal) = literal)$
 ⟨proof⟩

lemma *map-literal-comp*:

$map-literal f (map-literal g literal) = map-literal (\lambda atom. f (g atom)) literal$
 ⟨proof⟩

lemma *literals-distinct [simp]*: $Neg \neq Pos$ $Pos \neq Neg$

⟨proof⟩

primrec *mset-lit* :: 'a uprod literal \Rightarrow 'a multiset **where**

$mset-lit (Pos A) = mset-uprod A$ |
 $mset-lit (Neg A) = mset-uprod A + mset-uprod A$

lemma *mset-lit-image-mset*: $mset-lit (map-literal (map-uprod f) l) = image-mset$
 $f (mset-lit l)$

⟨proof⟩

lemma *uprod-mem-image-iff-prod-mem [simp]*:

assumes *sym I*

shows $(Upair t t') \in (\lambda(t_1, t_2). Upair t_1 t_2) \text{ ' } I \longleftrightarrow (t, t') \in I$

⟨proof⟩

lemma *true-lit-uprod-iff-true-lit-prod [simp]*:

assumes *sym I*

shows

$(\lambda(t_1, t_2). Upair t_1 t_2) \text{ ' } I \models Pos (Upair t t') \longleftrightarrow I \models Pos (t, t')$

$(\lambda(t_1, t_2). Upair t_1 t_2) \text{ ' } I \models Neg (Upair t t') \longleftrightarrow I \models Neg (t, t')$

⟨proof⟩

end

theory *Ground-Term-Extra*

imports *Regular-Tree-Relations.Ground-Terms*

begin

lemma *gterm-is-fun*: $is-Fun (term-of-gterm t)$

⟨proof⟩

end

theory *Ground-Ctxt-Extra*

imports *Regular-Tree-Relations.Ground-Ctxt*

begin

lemma *le-size-gctxt*: $size t \leq size (C\langle t \rangle_G)$

⟨proof⟩

lemma *lt-size-gctxt*: $ctxt \neq \square_G \implies size t < size ctxt\langle t \rangle_G$

⟨proof⟩

lemma *gctxt-ident-iff-eq-GHole[simp]*: $\text{cxt}\langle t \rangle_G = t \longleftrightarrow \text{cxt} = \square_G$
 ⟨*proof*⟩

end

theory *Ground-Clause*

imports

Saturation-Framework-Extensions.Clausal-Calculus

Ground-Term-Extra

Ground-Ctxt-Extra

Uprod-Extra

begin

abbreviation *Pos-Upair* (**infix** ≈ 66) **where**

$\text{Pos-Upair } x \ y \equiv \text{Pos } (\text{Upair } x \ y)$

abbreviation *Neg-Upair* (**infix** $\! \approx 66$) **where**

$\text{Neg-Upair } x \ y \equiv \text{Neg } (\text{Upair } x \ y)$

type-synonym *'f gatom* = *'f gterm uprod*

no-notation *subst-compose* (**infixl** \circ_s 75)

no-notation *subst-apply-term* (**infixl** \cdot 67)

end

theory *Selection-Function*

imports

Ground-Clause

begin

locale *select* =

fixes $\text{sel} :: 'a \ \text{clause} \Rightarrow 'a \ \text{clause}$

assumes

$\text{select-subset}: \bigwedge C. \text{sel } C \subseteq\# C$ **and**

$\text{select-negative-lits}: \bigwedge C \ L. L \in\# \text{sel } C \Longrightarrow \text{is-neg } L$

end

theory *Term-Ordering-Lifting*

imports *Clausal-Calculus-Extra*

begin

lemma *antisymp-on-reflclp-if-asymp-on:*

assumes *asymp-on* $A \ R$

shows *antisymp-on* $A \ R$ ==

⟨*proof*⟩

lemma *order-reflclp-if-transp-and-asymp:*

```

assumes transp R and asympt R
shows class.order R == R
⟨proof⟩

locale term-ordering-lifting =
  fixes
    less-trm :: 't ⇒ 't ⇒ bool (infix <t 50)
  assumes
    transp-less-trm[intro]: transp (<t) and
    asympt-less-trm[intro]: asympt (<t)
begin

definition less-lit :: 't uprod literal ⇒ 't uprod literal ⇒ bool (infix <l 50) where
  less-lit L1 L2 ≡ multp (<t) (mset-lit L1) (mset-lit L2)

definition less-cl :: 't uprod clause ⇒ 't uprod clause ⇒ bool (infix <c 50) where
  less-cl ≡ multp (<l)

sublocale term-order: order (<t)== (<t)
  ⟨proof⟩

sublocale literal-order: order (<l)== (<l)
  ⟨proof⟩

sublocale clause-order: order (<c)== (<c)
  ⟨proof⟩

end

end
theory Ground-Ordering
  imports
    Ground-Clause
    Transitive-Closure-Extra
    Clausal-Calculus-Extra
    Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset
    Term-Ordering-Lifting
begin

locale ground-ordering = term-ordering-lifting less-trm
  for
    less-trm :: 'f gterm ⇒ 'f gterm ⇒ bool (infix <t 50) +
  assumes
    wfP-less-trm[intro]: wfP (<t) and
    totalp-less-trm[intro]: totalp (<t) and
    less-trm-compatible-with-gctxt[simp]:  $\bigwedge \text{ctxt } t \ t'. \ t \prec_t \ t' \implies \text{ctxt}(t)_G \prec_t \text{ctxt}(t')_G$ 
and
    less-trm-if-subterm[simp]:  $\bigwedge t \ \text{ctxt}. \ \text{ctxt} \neq \square_G \implies t \prec_t \text{ctxt}(t)_G$ 
begin

```

abbreviation *lesseq-trm* (**infix** \preceq_t 50) **where**

$lesseq-trm \equiv (\prec_t)^{==}$

lemma *lesseq-trm-if-subterm*: $t \preceq_t ctxt\langle t \rangle_G$

$\langle proof \rangle$

abbreviation *lesseq-lit* (**infix** \preceq_l 50) **where**

$lesseq-lit \equiv (\prec_l)^{==}$

abbreviation *lesseq-cls* (**infix** \preceq_c 50) **where**

$lesseq-cls \equiv (\prec_c)^{==}$

lemma *wfP-less-lit[simp]*: $wfp (\prec_l)$

$\langle proof \rangle$

lemma *wfP-less-cls[simp]*: $wfp (\prec_c)$

$\langle proof \rangle$

sublocale *term-order*: *linorder lesseq-trm less-trm*

$\langle proof \rangle$

sublocale *literal-order*: *linorder lesseq-lit less-lit*

$\langle proof \rangle$

sublocale *clause-order*: *linorder lesseq-cls less-cls*

$\langle proof \rangle$

abbreviation *is-maximal-lit* :: $'f$ *gatom literal* \Rightarrow $'f$ *gatom clause* \Rightarrow *bool* **where**

$is-maximal-lit L M \equiv is-maximal-in-mset-wrt (\prec_l) M L$

abbreviation *is-strictly-maximal-lit* :: $'f$ *gatom literal* \Rightarrow $'f$ *gatom clause* \Rightarrow *bool*

where

$is-strictly-maximal-lit L M \equiv is-greatest-in-mset-wrt (\prec_l) M L$

lemma *less-trm-compatible-with-gctxt'*:

assumes $ctxt\langle t \rangle_G \prec_t ctxt\langle t' \rangle_G$

shows $t \prec_t t'$

$\langle proof \rangle$

lemma *less-trm-compatible-with-gctxt-iff*: $ctxt\langle t \rangle_G \prec_t ctxt\langle t' \rangle_G \iff t \prec_t t'$

$\langle proof \rangle$

lemma *context-less-term-lesseq*:

assumes

$\bigwedge t. ctxt\langle t \rangle_G \prec_t ctxt'\langle t \rangle_G$

$t \preceq_t t'$

shows $ctxt\langle t \rangle_G \prec_t ctxt'\langle t' \rangle_G$

<proof>

lemma *context-lesseq-term-less*:

assumes

$\bigwedge t. \text{ctxt}\langle t \rangle_G \preceq_t \text{ctxt}'\langle t \rangle_G$

$t \prec_t t'$

shows $\text{ctxt}\langle t \rangle_G \prec_t \text{ctxt}'\langle t' \rangle_G$

<proof>

end

end

theory *Ground-Type-System*

imports *Ground-Clause*

begin

inductive *welltyped* **for** \mathcal{F} **where**

$GFun: \mathcal{F} f = (\tau s, \tau) \implies \text{list-all2} (\text{welltyped } \mathcal{F}) \text{ ts } \tau s \implies \text{welltyped } \mathcal{F} (GFun f \text{ ts}) \tau$

lemma *welltyped-right-unique*: *right-unique* (*welltyped* \mathcal{F})

<proof>

definition *welltyped_a* **where**

$\text{welltyped}_a \mathcal{F} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{welltyped } \mathcal{F} t \tau)$

definition *welltyped_l* **where**

$\text{welltyped}_l \mathcal{F} L \longleftrightarrow \text{welltyped}_a \mathcal{F} (\text{atm-of } L)$

definition *welltyped_c* **where**

$\text{welltyped}_c \mathcal{F} C \longleftrightarrow (\forall L \in \# C. \text{welltyped}_l \mathcal{F} L)$

definition *welltyped_{cs}* **where**

$\text{welltyped}_{cs} \mathcal{F} N \longleftrightarrow (\forall C \in N. \text{welltyped}_c \mathcal{F} C)$

lemma *welltyped_c-add-mset*:

$\text{welltyped}_c \mathcal{F} (\text{add-mset } L C) \longleftrightarrow \text{welltyped}_l \mathcal{F} L \wedge \text{welltyped}_c \mathcal{F} C$

<proof>

lemma *welltyped_c-plus*:

$\text{welltyped}_c \mathcal{F} (C + D) \longleftrightarrow \text{welltyped}_c \mathcal{F} C \wedge \text{welltyped}_c \mathcal{F} D$

<proof>

lemma *gctxt-apply-term-preserves-typing*:

assumes

κ -type: $\text{welltyped } \mathcal{F} \kappa\langle t \rangle_G \tau_1$ **and**

t -type: $\text{welltyped } \mathcal{F} t \tau_2$ **and**

t' -type: $\text{welltyped } \mathcal{F} t' \tau_2$

shows $\text{welltyped } \mathcal{F} \kappa\langle t' \rangle_G \tau_1$

```

    <proof>

end
theory Ground-Superposition
  imports

    Main

    Saturation-Framework.Calculus
    Saturation-Framework-Extensions.Clausal-Calculus
    Abstract-Rewriting.Abstract-Rewriting

    Abstract-Rewriting-Extra
    Ground-Critical-Pairs
    Multiset-Extra
    Term-Rewrite-System
    Transitive-Closure-Extra
    Uprod-Extra
    HOL-Extra
    Relation-Extra
    Clausal-Calculus-Extra
    Selection-Function
    Ground-Ordering
    Ground-Type-System
begin

hide-type Inference-System.inference
hide-const
  Inference-System.Infer
  Inference-System.premis-of
  Inference-System.concl-of
  Inference-System.main-prem-of

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

1 Superposition Calculus

locale ground-superposition-calculus = ground-ordering less-trm + select select
  for
    less-trm :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool (infix  $\prec_t$  50) and
    select :: 'f gatom clause  $\Rightarrow$  'f gatom clause +
  assumes
    ground-critical-pair-theorem:  $\bigwedge(R :: 'f gterm \text{ rel}). \text{ground-critical-pair-theorem}$ 
R
begin

```


1.1 Ground Rules

inductive *ground-superposition* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *'f gatom clause* \Rightarrow *bool*

where

ground-superpositionI:

$E = \text{add-mset } L_E \ E' \Longrightarrow$

$D = \text{add-mset } L_D \ D' \Longrightarrow$

$D \prec_c \ E \Longrightarrow$

$\mathcal{P} \in \{\text{Pos}, \text{Neg}\} \Longrightarrow$

$L_E = \mathcal{P} \ (\text{Upair } \kappa \langle t \rangle_G \ u) \Longrightarrow$

$L_D = t \approx t' \Longrightarrow$

$u \prec_t \ \kappa \langle t \rangle_G \Longrightarrow$

$t' \prec_t \ t \Longrightarrow$

$(\mathcal{P} = \text{Pos} \wedge \text{select } E = \{\#\} \wedge \text{is-strictly-maximal-lit } L_E \ E) \vee$

$(\mathcal{P} = \text{Neg} \wedge (\text{select } E = \{\#\} \wedge \text{is-maximal-lit } L_E \ E \vee \text{is-maximal-lit } L_E \ (\text{select}$

$E))) \Longrightarrow$

$\text{select } D = \{\#\} \Longrightarrow$

$\text{is-strictly-maximal-lit } L_D \ D \Longrightarrow$

$C = \text{add-mset} \ (\mathcal{P} \ (\text{Upair } \kappa \langle t \rangle_G \ u)) \ (E' + D') \Longrightarrow$

ground-superposition $D \ E \ C$

inductive *ground-eq-resolution* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *bool* **where**

ground-eq-resolutionI:

$D = \text{add-mset } L \ D' \Longrightarrow$

$L = \text{Neg} \ (\text{Upair } t \ t) \Longrightarrow$

$\text{select } D = \{\#\} \wedge \text{is-maximal-lit } L \ D \vee \text{is-maximal-lit } L \ (\text{select } D) \Longrightarrow$

$C = D' \Longrightarrow$

ground-eq-resolution $D \ C$

inductive *ground-eq-factoring* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *bool* **where**

ground-eq-factoringI:

$D = \text{add-mset } L_1 \ (\text{add-mset } L_2 \ D') \Longrightarrow$

$L_1 = t \approx t' \Longrightarrow$

$L_2 = t \approx t'' \Longrightarrow$

$\text{select } D = \{\#\} \Longrightarrow$

$\text{is-maximal-lit } L_1 \ D \Longrightarrow$

$t' \prec_t \ t \Longrightarrow$

$C = \text{add-mset} \ (\text{Neg} \ (\text{Upair } t' \ t'')) \ (\text{add-mset} \ (t \approx t'') \ D') \Longrightarrow$

ground-eq-factoring $D \ C$

1.1.1 Alternative Specification of the Superposition Rule

inductive *ground-superposition'* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *'f gatom clause* \Rightarrow *bool*

where

ground-superposition'I:

$P_1 = \text{add-mset } L_1 \ P_1' \Longrightarrow$

$$\begin{aligned}
& P_2 = \text{add-mset } L_2 P_2' \implies \\
& P_2 \prec_c P_1 \implies \\
& \mathcal{P} \in \{\text{Pos}, \text{Neg}\} \implies \\
& L_1 = \mathcal{P} (\text{Upair } s\langle t \rangle_G s') \implies \\
& L_2 = t \approx t' \implies \\
& s' \prec_t s\langle t \rangle_G \implies \\
& t' \prec_t t \implies \\
& (\mathcal{P} = \text{Pos} \longrightarrow \text{select } P_1 = \{\#\} \wedge \text{is-strictly-maximal-lit } L_1 P_1) \implies \\
& (\mathcal{P} = \text{Neg} \longrightarrow (\text{select } P_1 = \{\#\} \wedge \text{is-maximal-lit } L_1 P_1 \vee \text{is-maximal-lit } L_1 \\
& (\text{select } P_1))) \implies \\
& \text{select } P_2 = \{\#\} \implies \\
& \text{is-strictly-maximal-lit } L_2 P_2 \implies \\
& C = \text{add-mset } (\mathcal{P} (\text{Upair } s\langle t \rangle_G s')) (P_1' + P_2') \implies \\
& \text{ground-superposition}' P_2 P_1 C
\end{aligned}$$

lemma *ground-superposition'* = *ground-superposition*
⟨proof⟩

inductive *ground-pos-superposition* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *'f gatom clause* \Rightarrow *bool*

where

ground-pos-superpositionI:

$$\begin{aligned}
& P_1 = \text{add-mset } L_1 P_1' \implies \\
& P_2 = \text{add-mset } L_2 P_2' \implies \\
& P_2 \prec_c P_1 \implies \\
& L_1 = s\langle t \rangle_G \approx s' \implies \\
& L_2 = t \approx t' \implies \\
& s' \prec_t s\langle t \rangle_G \implies \\
& t' \prec_t t \implies \\
& \text{select } P_1 = \{\#\} \implies \\
& \text{is-strictly-maximal-lit } L_1 P_1 \implies \\
& \text{select } P_2 = \{\#\} \implies \\
& \text{is-strictly-maximal-lit } L_2 P_2 \implies \\
& C = \text{add-mset } (s\langle t \rangle_G \approx s') (P_1' + P_2') \implies \\
& \text{ground-pos-superposition } P_2 P_1 C
\end{aligned}$$

lemma *ground-superposition-if-ground-pos-superposition*:

assumes *step*: *ground-pos-superposition* $P_2 P_1 C$

shows *ground-superposition* $P_2 P_1 C$

⟨proof⟩

inductive *ground-neg-superposition* ::

'f gatom clause \Rightarrow *'f gatom clause* \Rightarrow *'f gatom clause* \Rightarrow *bool*

where

ground-neg-superpositionI:

$$\begin{aligned}
& P_1 = \text{add-mset } L_1 P_1' \implies \\
& P_2 = \text{add-mset } L_2 P_2' \implies \\
& P_2 \prec_c P_1 \implies \\
& L_1 = \text{Neg } (\text{Upair } s\langle t \rangle_G s') \implies
\end{aligned}$$

$L_2 = t \approx t' \implies$
 $s' \prec_t s\langle t \rangle_G \implies$
 $t' \prec_t t \implies$
 $\text{select } P_1 = \{\#\} \wedge \text{is-maximal-lit } L_1 P_1 \vee \text{is-maximal-lit } L_1 (\text{select } P_1) \implies$
 $\text{select } P_2 = \{\#\} \implies$
 $\text{is-strictly-maximal-lit } L_2 P_2 \implies$
 $C = \text{add-mset } (\text{Neg } (\text{Upair } s\langle t \rangle_G s')) (P_1' + P_2') \implies$
 $\text{ground-neg-superposition } P_2 P_1 C$

lemma *ground-superposition-if-ground-neg-superposition:*

assumes *ground-neg-superposition* $P_2 P_1 C$

shows *ground-superposition* $P_2 P_1 C$

<proof>

lemma *ground-superposition-iff-pos-or-neg:*

ground-superposition $P_2 P_1 C \longleftrightarrow$

ground-pos-superposition $P_2 P_1 C \vee \text{ground-neg-superposition } P_2 P_1 C$

<proof>

1.2 Ground Layer

definition *G-Inf* :: 'f gatom clause inference set **where**

G-Inf =

$\{\text{Infer } [P_2, P_1] C \mid P_2 P_1 C. \text{ground-superposition } P_2 P_1 C\} \cup$

$\{\text{Infer } [P] C \mid P C. \text{ground-eq-resolution } P C\} \cup$

$\{\text{Infer } [P] C \mid P C. \text{ground-eq-factoring } P C\}$

abbreviation *G-Bot* :: 'f gatom clause set **where**

G-Bot $\equiv \{\{\#\}\}$

definition *G-entails* :: 'f gatom clause set \Rightarrow 'f gatom clause set \Rightarrow bool **where**

G-entails $N_1 N_2 \longleftrightarrow (\forall (I :: 'f gterm \text{ rel}). \text{refl } I \longrightarrow \text{trans } I \longrightarrow \text{sym } I \longrightarrow$

compatible-with-gctxt $I \longrightarrow \text{upair } 'I \models_s N_1 \longrightarrow \text{upair } 'I \models_s N_2)$

lemma *ground-superposition-smaller-conclusion:*

assumes

step: ground-superposition $P_1 P_2 C$

shows $C \prec_c P_2$

<proof>

lemma *ground-eq-resolution-smaller-conclusion:*

assumes *step: ground-eq-resolution* $P C$

shows $C \prec_c P$

<proof>

lemma *ground-eq-factoring-smaller-conclusion:*

assumes *step: ground-eq-factoring* $P C$

shows $C \prec_c P$

<proof>

end

sublocale *ground-superposition-calculus* \subseteq *consequence-relation* **where**
 Bot = *G-Bot* **and**
 entails = *G-entails*
<proof>

end
theory *Ground-Superposition-Completeness*
 imports *Ground-Superposition*
begin

1.3 Redundancy Criterion

sublocale *ground-superposition-calculus* \subseteq *calculus-with-finitary-standard-redundancy*
where
 Inf = *G-Inf* **and**
 Bot = *G-Bot* **and**
 entails = *G-entails* **and**
 less = \prec_c
 defines *GRed-I* = *Red-I* **and** *GRed-F* = *Red-F*
<proof>

1.4 Mode Construction

context *ground-superposition-calculus* **begin**

function *epsilon* :: $- \Rightarrow 'f \text{ gatom clause} \Rightarrow 'f \text{ gterm rel}$ **where**
 epsilon *N C* = $\{(s, t) \mid s \text{ t } C'\}$.
 C $\in N \wedge$
 C = *add-mset* (*Pos* (*Upair* *s t*)) *C'* \wedge
 select *C* = $\{\#\}$ \wedge
 is-strictly-maximal-lit (*Pos* (*Upair* *s t*)) *C* \wedge
 t \prec_t *s* \wedge
 (*let* *R_C* = $(\bigcup D \in \{D \in N. D \prec_c C\}. \textit{epsilon} \{E \in N. E \preceq_c D\} D)$ *in*
 $\neg \textit{upair} \text{ ' (rewrite-inside-gctxt } R_C)^\downarrow \models C \wedge$
 $\neg \textit{upair} \text{ ' (rewrite-inside-gctxt (insert (s, t) } R_C))^\downarrow \models C' \wedge$
 $s \in NF \text{ (rewrite-inside-gctxt } R_C)\}$)
<proof>

termination *epsilon*
<proof>

declare *epsilon.simps*[*simp del*]

lemma *epsilon-filter-le-conv*: *epsilon* $\{D \in N. D \preceq_c C\} C = \textit{epsilon} N C$
<proof>

end

lemma (in *ground-ordering*) *Uniq-strictly-maximal-lit-in-ground-clc*:

$\exists_{\leq 1} L. \text{is-strictly-maximal-lit } L \ C$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *epsilon-eq-empty-or-singleton*:

$\text{epsilon } N \ C = \{\} \vee (\exists s \ t. \text{epsilon } N \ C = \{(s, t)\})$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *card-epsilon-le-one*:

$\text{card } (\text{epsilon } N \ C) \leq 1$
 ⟨proof⟩

definition (in *ground-superposition-calculus*) *rewrite-sys* **where**

$\text{rewrite-sys } N \ C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } \{E \in N. E \preceq_c D\} \ D)$

definition (in *ground-superposition-calculus*) *rewrite-sys'* **where**

$\text{rewrite-sys}' \ N \equiv (\bigcup C \in N. \text{epsilon } N \ C)$

lemma (in *ground-superposition-calculus*) *rewrite-sys-alt*: $\text{rewrite-sys}' \ \{D \in N. D$

$\prec_c C\} = \text{rewrite-sys } N \ C$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *mem-epsilonE*:

assumes *rule-in*: $\text{rule} \in \text{epsilon } N \ C$

obtains $l \ r \ C'$ **where**

$C \in N$ **and**

$\text{rule} = (l, r)$ **and**

$C = \text{add-mset } (\text{Pos } (\text{Upair } l \ r)) \ C'$ **and**

$\text{select } C = \{\#\}$ **and**

is-strictly-maximal-lit $(\text{Pos } (\text{Upair } l \ r)) \ C$ **and**

$r \prec_t l$ **and**

$\neg \text{upair}' (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models C$ **and**

$\neg \text{upair}' (\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N \ C)))^\downarrow \models C'$ **and**

$l \in \text{NF } (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))$

⟨proof⟩

lemma (in *ground-superposition-calculus*) *mem-epsilon-iff*:

$(l, r) \in \text{epsilon } N \ C \longleftrightarrow$

$(\exists C'. C \in N \wedge C = \text{add-mset } (\text{Pos } (\text{Upair } l \ r)) \ C' \wedge \text{select } C = \{\#\} \wedge$

is-strictly-maximal-lit $(\text{Pos } (\text{Upair } l \ r)) \ C \wedge r \prec_t l \wedge$

$\neg \text{upair}' (\text{rewrite-inside-gctxt } (\text{rewrite-sys}' \ \{D \in N. D \prec_c C\}))^\downarrow \models C \wedge$

$\neg \text{upair}' (\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys}' \ \{D \in N. D \prec_c C\})))^\downarrow$

$\models C' \wedge$

$l \in \text{NF } (\text{rewrite-inside-gctxt } (\text{rewrite-sys}' \ \{D \in N. D \prec_c C\}))$)

(**is** ?LHS \longleftrightarrow ?RHS)

⟨proof⟩

lemma (in *ground-superposition-calculus*) *rhs-lt-lhs-if-mem-rewrite-sys*:

assumes $(t1, t2) \in \text{rewrite-sys } N \ C$
shows $t2 \prec_t t1$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys*:
assumes *rule-in*: $(t1, t2) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C)$
shows $t2 \prec_t t1$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *rhs-lesseq-trm-lhs-if-mem-rtrancl-rewrite-inside-gctxt-rewrite-sys*:
assumes *rule-in*: $(t1, t2) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^*$
shows $t2 \preceq_t t1$
 $\langle \text{proof} \rangle$

lemma *singleton-eq-CollectD*: $\{x\} = \{y. P \ y\} \implies P \ x$
 $\langle \text{proof} \rangle$

lemma *subset-Union-mem-CollectI*: $P \ x \implies f \ x \subseteq (\bigcup y \in \{z. P \ z\}. f \ y)$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *rewrite-sys-subset-if-less-cls*:
 $C \prec_c D \implies \text{rewrite-sys } N \ C \subseteq \text{rewrite-sys } N \ D$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *mem-rewrite-sys-if-less-cls*:
assumes $D \in N$ **and** $D \prec_c C$ **and** $(u, v) \in \text{epsilon } N \ D$
shows $(u, v) \in \text{rewrite-sys } N \ C$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *less-trm-iff-less-cls-if-lhs-epsilon*:
assumes E_C : $\text{epsilon } N \ C = \{(s, t)\}$ **and** E_D : $\text{epsilon } N \ D = \{(u, v)\}$
shows $u \prec_t s \iff D \prec_c C$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *termination-rewrite-sys*: $\text{wf } ((\text{rewrite-sys } N \ C)^{-1})$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *termination-Union-rewrite-sys*:
 $\text{wf } ((\bigcup D \in N. \text{rewrite-sys } N \ D)^{-1})$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *no-crit-pairs*:
 $\{(t1, t2) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \ 'N)). t1 \neq t2\} = \{\}$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*) *WCR-Union-rewrite-sys*:
 $\text{WCR } (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N2 \ D))$
 $\langle \text{proof} \rangle$

lemma (in *ground-superposition-calculus*)

assumes

$D \preceq_c C$ **and**

$E_C\text{-eq}$: $\text{epsilon } N C = \{(s, t)\}$ **and**

$L\text{-in}$: $L \in \# D$ **and**

$\text{topmost-trms-of-}L$: $\text{mset-uprod } (\text{atm-of } L) = \{\#u, v\# \}$

shows

lesseq-trm-if-pos : $\text{is-pos } L \implies u \preceq_t s$ **and**

less-trm-if-neg : $\text{is-neg } L \implies u \prec_t s$

<proof>

lemma (in *ground-ordering*) $\text{less-trm-const-lhs-if-mem-rewrite-inside-gctxt}$:

fixes $t t1 t2 r$

assumes

rule-in : $(t1, t2) \in \text{rewrite-inside-gctxt } r$ **and**

ball-lt-lhs : $\bigwedge t1 t2. (t1, t2) \in r \implies t \prec_t t1$

shows $t \prec_t t1$

<proof>

lemma (in *ground-superposition-calculus*) $\text{split-Union-epsilon}$:

assumes $D\text{-in}$: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N C) =$

$\text{rewrite-sys } N D \cup \text{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N C)$

<proof>

lemma (in *ground-superposition-calculus*) $\text{split-Union-epsilon}'$:

assumes $D\text{-in}$: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N C) = \text{rewrite-sys } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N C)$

<proof>

lemma (in *ground-superposition-calculus*) split-rewrite-sys :

assumes $C \in N$ **and** $D\text{-in}$: $D \in N$ **and** $D \prec_c C$

shows $\text{rewrite-sys } N C = \text{rewrite-sys } N D \cup (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{epsilon } N C')$

<proof>

lemma (in *ground-ordering*) $\text{mem-join-union-iff-mem-join-lhs}'$:

assumes

$\text{ball-}R_1\text{-rhs-lt-lhs}$: $\bigwedge t1 t2. (t1, t2) \in R_1 \implies t2 \prec_t t1$ **and**

$\text{ball-}R_2\text{-lt-lhs}$: $\bigwedge t1 t2. (t1, t2) \in R_2 \implies s \prec_t t1 \wedge t \prec_t t1$

shows $(s, t) \in (R_1 \cup R_2)^\downarrow \iff (s, t) \in R_1^\downarrow$

<proof>

lemma (in *ground-ordering*) $\text{mem-join-union-iff-mem-join-rhs}'$:

assumes

$\text{ball-}R_1\text{-rhs-lt-lhs}$: $\bigwedge t1 t2. (t1, t2) \in R_2 \implies t2 \prec_t t1$ **and**

$\text{ball-}R_2\text{-lt-lhs}$: $\bigwedge t1 t2. (t1, t2) \in R_1 \implies s \prec_t t1 \wedge t \prec_t t1$

shows $(s, t) \in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_2^\downarrow$
 ⟨proof⟩

lemma (in *ground-ordering*) *mem-join-union-iff-mem-join-lhs''*:

assumes

Range- R_1 -lt-Domain- R_2 : $\bigwedge t1\ t2. t1 \in \text{Range } R_1 \implies t2 \in \text{Domain } R_2 \implies t1 \prec_t t2$ **and**

s-lt-Domain- R_2 : $\bigwedge t2. t2 \in \text{Domain } R_2 \implies s \prec_t t2$ **and**

t-lt-Domain- R_2 : $\bigwedge t2. t2 \in \text{Domain } R_2 \implies t \prec_t t2$

shows $(s, t) \in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_1^\downarrow$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *lift-entailment-to-Union*:

fixes $N\ D$

defines $R_D \equiv \text{rewrite-sys } N\ D$

assumes

D-in: $D \in N$ **and**

R_D -entails- D : $\text{upair } \langle \text{rewrite-inside-gctxt } R_D \rangle^\downarrow \Vdash D$

shows

$\text{upair } \langle \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N\ D) \rangle^\downarrow \Vdash D$ **and**

$\bigwedge C. C \in N \implies D \prec_c C \implies \text{upair } \langle \text{rewrite-inside-gctxt } (\text{rewrite-sys } N\ C) \rangle^\downarrow \Vdash D$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*)

assumes *productive*: $\text{epsilon } N\ C = \{(l, r)\}$

shows

true-cls-if-productive-epsilon:

$\text{upair } \langle \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N\ D) \rangle^\downarrow \Vdash C$

$\bigwedge D. D \in N \implies C \prec_c D \implies \text{upair } \langle \text{rewrite-inside-gctxt } (\text{rewrite-sys } N\ D) \rangle^\downarrow \Vdash C$ **and**

false-cls-if-productive-epsilon:

$\neg \text{upair } \langle \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N\ D) \rangle^\downarrow \Vdash C - \{\#\text{Pos } (\text{Upair } l\ r)\#\}$

$\bigwedge D. D \in N \implies C \prec_c D \implies \neg \text{upair } \langle \text{rewrite-inside-gctxt } (\text{rewrite-sys } N\ D) \rangle^\downarrow \Vdash C - \{\#\text{Pos } (\text{Upair } l\ r)\#\}$

⟨proof⟩

lemma *from-neq-double-rtrancl-to-eqE*:

assumes $x \neq y$ **and** $(x, z) \in r^*$ **and** $(y, z) \in r^*$

obtains

w **where** $(x, w) \in r$ **and** $(w, z) \in r^*$ |

w **where** $(y, w) \in r$ **and** $(w, z) \in r^*$

⟨proof⟩

lemma *ex-step-if-joinable*:

assumes *asympt* $R(x, z) \in r^*$ **and** $(y, z) \in r^*$

shows

$R \stackrel{=}{=} z\ y \implies R\ y\ x \implies \exists w. (x, w) \in r \wedge (w, z) \in r^*$

$R^= z x \implies R x y \implies \exists w. (y, w) \in r \wedge (w, z) \in r^*$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *trans-join-rewrite-inside-gtxt-rewrite-sys*:
 $\text{trans } ((\text{rewrite-inside-gtxt } (\text{rewrite-sys } N C))^\downarrow)$
 ⟨proof⟩

lemma (in *ground-ordering*) *true-cls-insert-and-not-true-clsE*:
assumes
 $\text{upair } '(\text{rewrite-inside-gtxt } (\text{insert } r R))^\downarrow \models C$ **and**
 $\neg \text{upair } '(\text{rewrite-inside-gtxt } R)^\downarrow \models C$
obtains $t t'$ **where**
 $\text{Pos } (U\text{pair } t t') \in \# C$ **and**
 $t \prec_t t'$ **and**
 $(t, t') \in (\text{rewrite-inside-gtxt } (\text{insert } r R))^\downarrow$ **and**
 $(t, t') \notin (\text{rewrite-inside-gtxt } R)^\downarrow$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *model-preconstruction*:
fixes
 $N :: 'f \text{ gatom clause set}$ **and**
 $C :: 'f \text{ gatom clause}$
defines
 $\text{entails} \equiv \lambda E C. \text{upair } '(\text{rewrite-inside-gtxt } E)^\downarrow \models C$
assumes *saturated* N **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$
shows
 $\text{epsilon } N C = \{\} \longleftrightarrow \text{entails } (\text{rewrite-sys } N C) C$
 $\bigwedge D. D \in N \implies C \prec_c D \implies \text{entails } (\text{rewrite-sys } N D) C$
 ⟨proof⟩

lemma (in *ground-superposition-calculus*) *model-construction*:
fixes
 $N :: 'f \text{ gatom clause set}$ **and**
 $C :: 'f \text{ gatom clause}$
defines
 $\text{entails} \equiv \lambda E C. \text{upair } '(\text{rewrite-inside-gtxt } E)^\downarrow \models C$
assumes *saturated* N **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$
shows $\text{entails } (\bigcup D \in N. \text{epsilon } N D) C$
 ⟨proof⟩

1.5 Static Refutational Completeness

lemma (in *ground-superposition-calculus*) *statically-complete*:
fixes $N :: 'f \text{ gatom clause set}$
assumes *saturated* N **and** *G-entails* $N \{\{\#\}\}$
shows $\{\#\} \in N$
 ⟨proof⟩

sublocale *ground-superposition-calculus* \subseteq *statically-complete-calculus* **where**

```

    Bot = G-Bot and
    Inf = G-Inf and
    entails = G-entails and
    Red-I = Red-I and
    Red-F = Red-F
  <proof>

end
theory Variable-Substitution
  imports
    Abstract-Substitution.Substitution
    HOL-Library.FSet
    HOL-Library.Multiset
begin

  locale finite-set =
    fixes set :: 'b  $\Rightarrow$  'a set
    assumes finite-set [simp]:  $\bigwedge b. \text{finite } (\text{set } b)$ 
  begin

    abbreviation finite-set :: 'b  $\Rightarrow$  'a fset where
      finite-set b  $\equiv$  Abs-fset (set b)

    lemma finite-set': set b  $\in$  {A. finite A}
      <proof>

    lemma fset-finite-set [simp]: fset (finite-set b) = set b
      <proof>

  end

  locale variable-substitution = substitution - - subst  $\lambda a. \text{vars } a = \{\}$ 
  for
    subst :: 'expression  $\Rightarrow$  ('variable  $\Rightarrow$  'base-expression)  $\Rightarrow$  'expression (infixl  $\cdot$  70)
  and
    vars :: 'expression  $\Rightarrow$  'variable set +
  assumes
    subst-eq:  $\bigwedge a \sigma \tau. (\bigwedge x. x \in (\text{vars } a) \Longrightarrow \sigma x = \tau x) \Longrightarrow a \cdot \sigma = a \cdot \tau$ 
  begin

    abbreviation is-ground where is-ground a  $\equiv$  vars a =  $\{\}$ 

    definition vars-set :: 'expression set  $\Rightarrow$  'variable set where
      vars-set expressions  $\equiv \bigcup \text{expression} \in \text{expressions}. \text{vars } \text{expression}$ 

    lemma subst-redundant-upd [simp]:
      assumes var  $\notin$  vars a
      shows a  $\cdot$   $\sigma(\text{var} := \text{update}) = a \cdot \sigma$ 
      <proof>

```

lemma *subst-redundant-if* [*simp*]:
assumes $vars\ a \subseteq vars'$
shows $a \cdot (\lambda var. \text{if } var \in vars' \text{ then } \sigma\ var \text{ else } \sigma'\ var) = a \cdot \sigma$
<proof>

lemma *subst-redundant-if'* [*simp*]:
assumes $vars\ a \cap vars' = \{\}$
shows $a \cdot (\lambda var. \text{if } var \in vars' \text{ then } \sigma'\ var \text{ else } \sigma\ var) = a \cdot \sigma$
<proof>

lemma *subst-cannot-unground*:
assumes $\neg is_ground\ (a \cdot \sigma)$
shows $\neg is_ground\ a$
<proof>

end

locale *finite-variables* = *finite-set vars* **for** $vars :: 'expression \Rightarrow 'variable\ set$
begin

lemmas *finite-vars* = *finite-set finite-set'*
lemmas *fset-finite-vars* = *fset-finite-set*

abbreviation *finite-vars* \equiv *finite-set*

end

locale *all-subst-ident-iff-ground* =
fixes $is_ground :: 'expression \Rightarrow bool$ **and** *subst*
assumes
all-subst-ident-iff-ground: $\bigwedge a. is_ground\ a \longleftrightarrow (\forall \sigma. subst\ a\ \sigma = a)$ **and**
exists-non-ident-subst:
 $\bigwedge a\ s. finite\ s \Longrightarrow \neg is_ground\ a \Longrightarrow \exists \sigma. subst\ a\ \sigma \neq a \wedge subst\ a\ \sigma \notin s$

locale *grounding* = *variable-substitution*
where $vars = vars$ **for** $vars :: 'a \Rightarrow 'var\ set +$
fixes $to_ground :: 'a \Rightarrow 'g$ **and** $from_ground :: 'g \Rightarrow 'a$
assumes
range-from-ground-iff-is-ground: $\{f. is_ground\ f\} = range\ from_ground$ **and**
from-ground-inverse [*simp*]: $\bigwedge g. to_ground\ (from_ground\ g) = g$

begin

definition *groundings* $:: 'a \Rightarrow 'g\ set$ **where**
 $groundings\ a = \{ to_ground\ (a \cdot \gamma) \mid \gamma. is_ground\ (a \cdot \gamma) \}$

lemma *to-ground-from-ground-id*: $to_ground \circ from_ground = id$
<proof>

lemma *surj-to-ground*: *surj to-ground*
⟨*proof*⟩

lemma *inj-from-ground*: *inj-on from-ground domain_G*
⟨*proof*⟩

lemma *inj-on-to-ground*: *inj-on to-ground (from-ground ‘ domain_G)*
⟨*proof*⟩

lemma *bij-betw-to-ground*: *bij-betw to-ground (from-ground ‘ domain_G) domain_G*
⟨*proof*⟩

lemma *bij-betw-from-ground*: *bij-betw from-ground domain_G (from-ground ‘ domain_G)*
⟨*proof*⟩

lemma *ground-is-ground [simp, intro]*: *is-ground (from-ground g)*
⟨*proof*⟩

lemma *is-ground-iff-range-from-ground*: *is-ground f ⟷ f ∈ range from-ground*
⟨*proof*⟩

lemma *to-ground-inverse [simp]*:
 assumes *is-ground f*
 shows *from-ground (to-ground f) = f*
 ⟨*proof*⟩

corollary *obtain-grounding*:
 assumes *is-ground f*
 obtains *g where from-ground g = f*
 ⟨*proof*⟩

end

locale *base-variable-substitution = variable-substitution*
 where *subst = subst*
 for *subst :: ‘expression ⇒ (‘variable ⇒ ‘expression) ⇒ ‘expression (infixl · 70)*
 +
 assumes
 is-grounding-iff-vars-grounded:
 $\bigwedge exp. is-ground (exp \cdot \gamma) \iff (\forall x \in vars\ exp. is-ground (\gamma\ x))$ **and**
 ground-exists: $\exists exp. is-ground\ exp$
begin

lemma *obtain-ground-subst*:
 obtains γ
 where *is-ground-subst γ*
 ⟨*proof*⟩

lemma *ground-subst-extension*:
assumes *is-ground* ($exp \cdot \gamma$)
obtains γ'
where $exp \cdot \gamma = exp \cdot \gamma'$ **and** *is-ground-subst* γ'
 $\langle proof \rangle$

lemma *ground-subst-upd* [*simp*]:
assumes *is-ground* *update* *is-ground* ($exp \cdot \gamma$)
shows *is-ground* ($exp \cdot \gamma(var := update)$)
 $\langle proof \rangle$

lemma *variable-grounding*:
assumes *is-ground* ($t \cdot \gamma$) $x \in vars\ t$
shows *is-ground* ($\gamma\ x$)
 $\langle proof \rangle$

end

locale *based-variable-substitution* =
base: *base-variable-substitution* **where** *subst* = *base-subst* **and** *vars* = *base-vars*
+
variable-substitution
for *base-subst* *base-vars* +
assumes
ground-subst-iff-base-ground-subst [*simp*]: *is-ground-subst* $\gamma \longleftrightarrow base.is-ground-subst$
 γ **and**
is-grounding-iff-vars-grounded:
 $\bigwedge exp. is-ground\ (exp \cdot \gamma) \longleftrightarrow (\forall x \in vars\ exp. base.is-ground\ (\gamma\ x))$
begin

lemma *obtain-ground-subst*:
obtains γ
where *is-ground-subst* γ
 $\langle proof \rangle$

lemma *ground-subst-extension*:
assumes *is-ground* ($exp \cdot \gamma$)
obtains γ'
where $exp \cdot \gamma = exp \cdot \gamma'$ **and** *is-ground-subst* γ'
 $\langle proof \rangle$

lemma *ground-subst-extension'*:
assumes *is-ground* ($exp \cdot \gamma$)
obtains γ'
where $exp \cdot \gamma = exp \cdot \gamma'$ **and** *base.is-ground-subst* γ'
 $\langle proof \rangle$

lemma *ground-subst-upd* [*simp*]:
assumes *base.is-ground* *update* *is-ground* ($exp \cdot \gamma$)

shows $is_ground (exp \cdot \gamma(var := update))$
 $\langle proof \rangle$

lemma $ground_exists: \exists exp. is_ground exp$
 $\langle proof \rangle$

lemma $variable_grounding:$
assumes $is_ground (t \cdot \gamma) x \in vars t$
shows $base.is_ground (\gamma x)$
 $\langle proof \rangle$

end

2 Liftings

locale $variable_substitution_lifting =$
 $sub: variable_substitution$
where $subst = sub_subst$ **and** $vars = sub_vars$
for
 $sub_vars :: 'sub_expression \Rightarrow 'variable\ set$ **and**
 $sub_subst :: 'sub_expression \Rightarrow ('variable \Rightarrow 'base_expression) \Rightarrow 'sub_expression$
+
fixes
 $map :: ('sub_expression \Rightarrow 'sub_expression) \Rightarrow 'expression \Rightarrow 'expression$ **and**
 $to_set :: 'expression \Rightarrow 'sub_expression\ set$
assumes
 $map_comp: \bigwedge d f g. map\ f (map\ g\ d) = map\ (f \circ g)\ d$ **and**
 $map_id: map\ id\ d = d$ **and**
 $map_cong: \bigwedge d f g. (\bigwedge c. c \in to_set\ d \implies f\ c = g\ c) \implies map\ f\ d = map\ g\ d$
and
 $to_set_map: \bigwedge d f. to_set (map\ f\ d) = f\ ' to_set\ d$ **and**
 $exists_expression: \bigwedge c. \exists d. c \in to_set\ d$
begin

definition $vars :: 'expression \Rightarrow 'variable\ set$ **where**
 $vars\ d \equiv \bigcup (sub_vars\ ' to_set\ d)$

definition $subst :: 'expression \Rightarrow ('variable \Rightarrow 'base_expression) \Rightarrow 'expression$
where
 $subst\ d\ \sigma \equiv map\ (\lambda c. sub_subst\ c\ \sigma)\ d$

lemma $map_id_cong:$
assumes $\bigwedge c. c \in to_set\ d \implies f\ c = c$
shows $map\ f\ d = d$
 $\langle proof \rangle$

lemma $to_set_map_not_ident:$
assumes $c \in to_set\ d\ f\ c \notin to_set\ d$
shows $map\ f\ d \neq d$

<proof>

lemma *subst-in-to-set-subst*:

assumes $c \in \text{to-set } d$

shows $\text{sub-subst } c \ \sigma \in \text{to-set } (\text{subst } d \ \sigma)$

<proof>

sublocale *variable-substitution* **where** $\text{subst} = \text{subst}$ **and** $\text{vars} = \text{vars}$

<proof>

lemma *ground-subst-iff-sub-ground-subst* [*simp*]:

$\text{is-ground-subst } \gamma \longleftrightarrow \text{sub.is-ground-subst } \gamma$

<proof>

lemma *to-set-is-ground* [*intro*]:

assumes $\text{sub} \in \text{to-set } \text{expr}$ *is-ground* expr

shows $\text{sub.is-ground } \text{sub}$

<proof>

lemma *to-set-is-ground-subst*:

assumes $\text{sub} \in \text{to-set } \text{expr}$ *is-ground* $(\text{subst } \text{expr } \gamma)$

shows $\text{sub.is-ground } (\text{sub-subst } \text{sub } \gamma)$

<proof>

lemma *subst-empty*:

assumes $\text{to-set } \text{expr}' = \{\}$

shows $\text{subst } \text{expr } \sigma = \text{expr}' \longleftrightarrow \text{expr} = \text{expr}'$

<proof>

lemma *empty-is-ground*:

assumes $\text{to-set } \text{expr} = \{\}$

shows *is-ground* expr

<proof>

end

locale *based-variable-substitution-lifting* =

variable-substitution-lifting +

base: *base-variable-substitution* **where** $\text{subst} = \text{base-subst}$ **and** $\text{vars} = \text{base-vars}$

for base-subst base-vars +

assumes

sub-is-grounding-iff-vars-grounded:

$\bigwedge \text{exp } \gamma. \text{sub.is-ground } (\text{sub-subst } \text{exp } \gamma) \longleftrightarrow (\forall x \in \text{sub-vars } \text{exp}. \text{base.is-ground } (\gamma \ x))$ **and**

sub-ground-subst-iff-base-ground-subst: $\bigwedge \gamma. \text{sub.is-ground-subst } \gamma \longleftrightarrow \text{base.is-ground-subst } \gamma$

begin

lemma *is-grounding-iff-vars-grounded*:

is-ground (subst exp γ) \longleftrightarrow ($\forall x \in \text{vars exp. base.is-ground } (\gamma x)$)
(proof)

lemma *ground-subst-iff-base-ground-subst* [simp]:
 $\bigwedge \gamma. \text{is-ground-subst } \gamma \longleftrightarrow \text{base.is-ground-subst } \gamma$
(proof)

lemma *obtain-ground-subst*:
obtains γ
where *is-ground-subst* γ
(proof)

lemma *ground-subst-extension*:
assumes *is-ground* (subst exp γ)
obtains γ'
where *subst exp* $\gamma = \text{subst exp } \gamma'$ **and** *is-ground-subst* γ'
(proof)

lemma *ground-subst-extension'*:
assumes *is-ground* (subst exp γ)
obtains γ'
where *subst exp* $\gamma = \text{subst exp } \gamma'$ **and** *base.is-ground-subst* γ'
(proof)

lemma *ground-subst-upd* [simp]:
assumes *base.is-ground update is-ground* (subst exp γ)
shows *is-ground* (subst exp ($\gamma(\text{var} := \text{update})$))
(proof)

lemma *ground-exists*: $\exists \text{exp. is-ground exp}$
(proof)

lemma *variable-grounding*:
assumes *is-ground* (subst $t \gamma$) $x \in \text{vars } t$
shows *base.is-ground* (γx)
(proof)

end

locale *finite-variables-lifting* =
 variable-substitution-lifting +
 sub: finite-variables **where** *vars* = *sub-vars* +
 to-set: finite-set **where** *set* = *to-set*
begin

abbreviation *to-fset* :: ' $d \Rightarrow 'c \text{ fset}$ **where**
 to-fset $\equiv \text{to-set.finite-set}$

lemmas *finite-to-set* = *to-set.finite-set to-set.finite-set'*

lemmas *fset-to-fset = to-set.fset-finite-set*

sublocale *finite-variables* **where** *vars = vars*
 ⟨*proof*⟩

end

locale *grounding-lifting* =
 variable-substitution-lifting **where** *sub-vars = sub-vars* **and** *sub-subst = sub-subst*
and *map = map* +
 sub: grounding **where** *vars = sub-vars* **and** *subst = sub-subst* **and** *to-ground =*
 sub-to-ground **and**
 from-ground = sub-from-ground
for
 sub-to-ground :: '*sub* ⇒ '*ground-sub* **and**
 sub-from-ground :: '*ground-sub* ⇒ '*sub* **and**
 sub-vars :: '*sub* ⇒ '*variable set* **and**
 sub-subst :: '*sub* ⇒ ('*variable* ⇒ '*base*) ⇒ '*sub* **and**
 map :: ('*sub* ⇒ '*sub*) ⇒ '*expr* ⇒ '*expr* +

fixes

to-ground-map :: ('*sub* ⇒ '*ground-sub*) ⇒ '*expr* ⇒ '*ground-expr* **and**
 from-ground-map :: ('*ground-sub* ⇒ '*sub*) ⇒ '*ground-expr* ⇒ '*expr* **and**
 ground-map :: ('*ground-sub* ⇒ '*ground-sub*) ⇒ '*ground-expr* ⇒ '*ground-expr* **and**
 to-set-ground :: '*ground-expr* ⇒ '*ground-sub set*

assumes

to-set-from-ground-map: $\bigwedge d f. \text{to-set } (\text{from-ground-map } f \ d) = f \text{ ' } \text{to-set-ground } d$ **and**

map-comp': $\bigwedge d f g. \text{from-ground-map } f \ (\text{to-ground-map } g \ d) = \text{map } (f \circ g) \ d$ **and**

ground-map-comp: $\bigwedge d f g. \text{to-ground-map } f \ (\text{from-ground-map } g \ d) = \text{ground-map } (f \circ g) \ d$ **and**

ground-map-id: $\text{ground-map } \text{id} \ g = g$

begin

definition *to-ground* **where** *to-ground expr* $\equiv \text{to-ground-map } \text{sub-to-ground } \text{expr}$

definition *from-ground* **where** *from-ground expr* $\equiv \text{from-ground-map } \text{sub-from-ground } \text{expr}$

sublocale *grounding* **where**

vars = vars **and** *subst = subst* **and** *to-ground = to-ground* **and** *from-ground =*
 from-ground
 ⟨*proof*⟩

lemma *to-set-from-ground*: $\text{to-set } (\text{from-ground } \text{expr}) = \text{sub-from-ground ' } (\text{to-set-ground } \text{expr})$
 ⟨*proof*⟩

lemma *sub-in-ground-is-ground*:

```

assumes sub ∈ to-set (from-ground expr)
shows sub.is-ground sub
⟨proof⟩

lemma ground-sub-in-ground:
  sub ∈ to-set-ground expr  $\longleftrightarrow$  sub-from-ground sub ∈ to-set (from-ground expr)
  ⟨proof⟩

lemma ground-sub:
  ( $\forall$  sub ∈ to-set (from-ground exprG). P sub)  $\longleftrightarrow$ 
  ( $\forall$  subG ∈ to-set-ground exprG. P (sub-from-ground subG))
  ⟨proof⟩

end

locale all-subst-ident-iff-ground-lifting =
  finite-variables-lifting +
  sub: all-subst-ident-iff-ground where subst = sub-subst and is-ground = sub.is-ground
begin

sublocale all-subst-ident-iff-ground
  where subst = subst and is-ground = is-ground
  ⟨proof⟩

end

end

theory First-Order-Clause
imports
  Ground-Clause
  Abstract-Substitution.Substitution-First-Order-Term
  Variable-Substitution
  Clausal-Calculus-Extra
  Multiset-Extra
  Term-Rewrite-System
  Term-Ordering-Lifting
  HOL-Eisbach.Eisbach
  HOL-Extra
begin

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

Prefer term-subst.subst-id-subst to subst-apply-term-empty.

declare subst-apply-term-empty[no-atp]

```

3 First_Order_Terms And Abstract_Substitution

```

type-synonym 'f ground-term = 'f gterm

```

type-synonym *'f* *ground-context* = *'f* *gctxt*
type-synonym (*'f*, *'v*) *context* = (*'f*, *'v*) *ctxt*

type-synonym *'f* *ground-atom* = *'f* *gatom*
type-synonym (*'f*, *'v*) *atom* = (*'f*, *'v*) *term uprod*

notation *subst-apply-term* (**infixl** \cdot *t* 67)
notation *subst-compose* (**infixl** \odot 75)

notation *subst-apply-ctxt* (**infixl** \cdot *t_c* 67)

lemmas *clause-simp-term* =
subst-apply-term-ctxt-apply-distrib vars-term-ctxt-apply literal.sel

named-theorems *clause-simp*
named-theorems *clause-intro*

lemma *ball-set-uprod* [*clause-simp*]: $(\forall t \in \text{set-uprod } (U\text{pair } t_1 \ t_2). P \ t) \longleftrightarrow P \ t_1 \wedge P \ t_2$
<proof>

lemma *infinite-terms* [*clause-intro*]: *infinite* (*UNIV* :: (*'f*, *'v*) *term set*)
<proof>

lemma *literal-cases*: $\llbracket \mathcal{P} \in \{Pos, Neg\}; \mathcal{P} = Pos \implies P; \mathcal{P} = Neg \implies P \rrbracket \implies P$
<proof>

method *clause-simp uses simp intro* =

auto simp only: simp clause-simp clause-simp-term intro: intro clause-intro

method *clause-auto uses simp intro* =
(clause-simp simp: simp intro: intro)?,
(auto simp: simp intro intro)?,
(auto simp: simp clause-simp intro: intro clause-intro)?

locale *vars-def* =
fixes *vars-def* :: *'expression* \Rightarrow *'variables*
begin

abbreviation *vars* \equiv *vars-def*

end

locale *grounding-def* =

fixes
to-ground-def :: 'non-ground \Rightarrow 'ground **and**
from-ground-def :: 'ground \Rightarrow 'non-ground
begin

abbreviation *to-ground* \equiv *to-ground-def*

abbreviation *from-ground* \equiv *from-ground-def*

end

4 Term

global-interpretation *term: vars-def* **where** *vars-def* = *vars-term* \langle proof \rangle

global-interpretation *context: vars-def* **where**
vars-def = *vars-ctxt* \langle proof \rangle

global-interpretation *term: grounding-def* **where**
to-ground-def = *gterm-of-term* **and** *from-ground-def* = *term-of-gterm* \langle proof \rangle

global-interpretation *context: grounding-def* **where**
to-ground-def = *gctxt-of-ctxt* **and** *from-ground-def* = *ctxt-of-gctxt* \langle proof \rangle

global-interpretation
term: base-variable-substitution **where**
subst = *subst-apply-term* **and** *id-subst* = *Var* **and** *comp-subst* = (\odot) **and**
vars = *term.vars* :: ('f, 'v) *term* \Rightarrow 'v set +
term: finite-variables **where** *vars* = *term.vars* :: ('f, 'v) *term* \Rightarrow 'v set +
term: all-subst-ident-iff-ground **where**
is-ground = *term.is-ground* :: ('f, 'v) *term* \Rightarrow bool **and** *subst* = $(\cdot t)$
 \langle proof \rangle

lemma *term-context-ground-iff-term-is-ground* [*clause-simp*]:
Term-Context.ground *t* = *term.is-ground* *t*
 \langle proof \rangle

global-interpretation
term: grounding **where**
vars = *term.vars* :: ('f, 'v) *term* \Rightarrow 'v set **and** *id-subst* = *Var* **and** *comp-subst*
= (\odot) **and**
subst = $(\cdot t)$ **and** *to-ground* = *term.to-ground* **and** *from-ground* = *term.from-ground*
 \langle proof \rangle

global-interpretation *context: all-subst-ident-iff-ground* **where**
is-ground = $\lambda\kappa. \text{context.vars } \kappa = \{\}$ **and** *subst* = $(\cdot t_c)$
 \langle proof \rangle

global-interpretation *context: based-variable-substitution* **where**

$subst = (\cdot t_c)$ **and** $vars = context.vars$ **and** $id-subst = Var$ **and** $comp-subst =$
 (\odot) **and**
 $base-vars = term.vars$ **and** $base-subst = (\cdot t)$
 $\langle proof \rangle$

global-interpretation *context: finite-variables*
where $vars = context.vars :: ('f, 'v) context \Rightarrow 'v set$
 $\langle proof \rangle$

global-interpretation *context: grounding where*
 $vars = context.vars :: ('f, 'v) context \Rightarrow 'v set$ **and** $id-subst = Var$ **and** $comp-subst =$
 (\odot) **and**
 $subst = (\cdot t_c)$ **and** $from-ground = context.from-ground$ **and** $to-ground = con-$
 $text.to-ground$
 $\langle proof \rangle$

lemma *ground-ctxt-iff-context-is-ground [clause-simp]:*
 $ground-ctxt\ context \longleftrightarrow context.is-ground\ context$
 $\langle proof \rangle$

5 Lifting

lemma *exists-uprod:* $\exists a. t \in set-uprod\ a$
 $\langle proof \rangle$

lemma *exists-literal:* $\exists l. a \in set-literal\ l$
 $\langle proof \rangle$

lemma *exists-mset:* $\exists c. l \in set-mset\ c$
 $\langle proof \rangle$

lemma *finite-set-literal:* $\bigwedge l. finite\ (set-literal\ l)$
 $\langle proof \rangle$

locale *clause-lifting =*
based-variable-substitution-lifting where
 $base-subst = (\cdot t)$ **and** $base-vars = term.vars$ **and** $id-subst = Var$ **and** $comp-subst =$
 $(\odot) +$
all-subst-ident-iff-ground-lifting where $id-subst = Var$ **and** $comp-subst = (\odot) +$
grounding-lifting where $id-subst = Var$ **and** $comp-subst = (\odot)$

global-interpretation *atom: clause-lifting where*
 $sub-subst = (\cdot t)$ **and** $sub-vars = term.vars$ **and** $map = map-uprod$ **and** $to-set =$
 $set-uprod$ **and**
 $sub-to-ground = term.to-ground$ **and** $sub-from-ground = term.from-ground$ **and**
 $to-ground-map = map-uprod$ **and** $from-ground-map = map-uprod$ **and** $ground-map =$
 $map-uprod$ **and**
 $to-set-ground = set-uprod$
 $\langle proof \rangle$

global-interpretation *literal: clause-lifting* **where**

sub-subst = *atom.subst* **and** *sub-vars* = *atom.vars* **and** *map* = *map-literal* **and**
to-set = *set-literal* **and** *sub-to-ground* = *atom.to-ground* **and**
sub-from-ground = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**
from-ground-map = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*
= *set-literal*
(*proof*)

global-interpretation *clause: clause-lifting* **where**

sub-subst = *literal.subst* **and** *sub-vars* = *literal.vars* **and** *map* = *image-mset* **and**

to-set = *set-mset* **and** *sub-to-ground* = *literal.to-ground* **and**
sub-from-ground = *literal.from-ground* **and** *to-ground-map* = *image-mset* **and**
from-ground-map = *image-mset* **and** *ground-map* = *image-mset* **and** *to-set-ground*
= *set-mset*
(*proof*)

notation *atom.subst* (**infixl** ·*a* 67)

notation *literal.subst* (**infixl** ·*l* 66)

notation *clause.subst* (**infixl** · 67)

lemmas [*clause-simp*] = *literal.to-set-is-ground* *atom.to-set-is-ground*

lemmas [*clause-intro*] = *clause.subst-in-to-set-subst*

lemmas *empty-clause-is-ground* [*clause-intro*] =

clause.empty-is-ground[*OF set-mset-empty*]

lemmas *clause-subst-empty* [*clause-simp*] =

clause.subst-ident-if-ground[*OF empty-clause-is-ground*]

clause.subst-empty[*OF set-mset-empty*]

lemma *set-mset-set-uprod* [*clause-simp*]: *set-mset* (*mset-lit literal*) = *set-uprod*
(*atm-of literal*)

(*proof*)

lemma *mset-lit-set-literal* [*clause-simp*]:

term ∈# *mset-lit literal* ↔ *term* ∈ ∪ (*set-uprod* ‘ *set-literal literal*)

(*proof*)

lemma *vars-atom* [*clause-simp*]:

atom.vars (*Upair term₁ term₂*) = *term.vars term₁* ∪ *term.vars term₂*

(*proof*)

lemma *vars-literal* [*clause-simp*]:

literal.vars (*Pos atom*) = *atom.vars atom*

$literal.vars (Neg\ atom) = atom.vars\ atom$
 $literal.vars ((if\ b\ then\ Pos\ else\ Neg)\ atom) = atom.vars\ atom$
 ⟨proof⟩

lemma *subst-atom* [clause-simp]:
 $Upair\ term_1\ term_2 \cdot a\ \sigma = Upair\ (term_1 \cdot t\ \sigma)\ (term_2 \cdot t\ \sigma)$
 ⟨proof⟩

lemma *subst-literal* [clause-simp]:
 $Pos\ atom \cdot l\ \sigma = Pos\ (atom \cdot a\ \sigma)$
 $Neg\ atom \cdot l\ \sigma = Neg\ (atom \cdot a\ \sigma)$
 $atm-of\ (literal \cdot l\ \sigma) = atm-of\ literal \cdot a\ \sigma$
 ⟨proof⟩

lemma *vars-clause-add-mset* [clause-simp]:
 $clause.vars\ (add-mset\ literal\ clause) = literal.vars\ literal \cup clause.vars\ clause$
 ⟨proof⟩

lemma *vars-clause-plus* [clause-simp]:
 $clause.vars\ (clause_1 + clause_2) = clause.vars\ clause_1 \cup clause.vars\ clause_2$
 ⟨proof⟩

lemma *clause-submset-vars-clause-subset* [clause-intro]:
 $clause_1 \subseteq\# \ clause_2 \implies clause.vars\ clause_1 \subseteq clause.vars\ clause_2$
 ⟨proof⟩

lemma *subst-clause-add-mset* [clause-simp]:
 $add-mset\ literal\ clause \cdot \sigma = add-mset\ (literal \cdot l\ \sigma)\ (clause \cdot \sigma)$
 ⟨proof⟩

lemma *subst-clause-plus* [clause-simp]:
 $(clause_1 + clause_2) \cdot \sigma = clause_1 \cdot \sigma + clause_2 \cdot \sigma$
 ⟨proof⟩

lemma *clause-to-ground-plus* [simp]:
 $clause.to-ground\ (clause_1 + clause_2) = clause.to-ground\ clause_1 + clause.to-ground\ clause_2$
 ⟨proof⟩

lemma *clause-from-ground-plus* [simp]:
 $clause.from-ground\ (clause_{G1} + clause_{G2}) = clause.from-ground\ clause_{G1} + clause.from-ground\ clause_{G2}$
 ⟨proof⟩

lemma *subst-clause-remove1-mset* [clause-simp]:
assumes $literal \in\# \ clause$
shows $remove1-mset\ literal\ clause \cdot \sigma = remove1-mset\ (literal \cdot l\ \sigma)\ (clause \cdot \sigma)$
 ⟨proof⟩

lemma *sub-ground-clause* [*clause-intro*]:
assumes $clause' \subseteq \# clause$ *clause.is-ground clause*
shows *clause.is-ground clause'*
<proof>

lemma *clause-from-ground-empty-mset* [*clause-simp*]: *clause.from-ground {#} = {#}*
<proof>

lemma *clause-to-ground-empty-mset* [*clause-simp*]: *clause.to-ground {#} = {#}*
<proof>

lemma *ground-term-with-context1*:
assumes *context.is-ground context term.is-ground term*
shows $(context.to-ground\ context)(term.to-ground\ term)_G = term.to-ground\ context(term)$
<proof>

lemma *ground-term-with-context2*:
assumes *context.is-ground context*
shows $term.from-ground\ (context.to-ground\ context)(term_G)_G = context(term.from-ground\ term_G)$
<proof>

lemma *ground-term-with-context3*:
 $(context.from-ground\ context_G)(term.from-ground\ term_G) = term.from-ground\ context_G(term_G)_G$
<proof>

lemmas *ground-term-with-context* =
ground-term-with-context1
ground-term-with-context2
ground-term-with-context3

lemma *context-is-ground-context-compose1*:
assumes *context.is-ground (context \circ_c context')*
shows *context.is-ground context context.is-ground context'*
<proof>

lemma *context-is-ground-context-compose2*:
assumes *context.is-ground context context.is-ground context'*
shows *context.is-ground (context \circ_c context')*
<proof>

lemmas *context-is-ground-context-compose* =
context-is-ground-context-compose1
context-is-ground-context-compose2

lemma *ground-context-subst*:

assumes

$context.is-ground\ context_G$
 $context_G = (context \cdot t_c \sigma) \circ_c context'$

shows

$context_G = context \circ_c context' \cdot t_c \sigma$
 $\langle proof \rangle$

lemma *clause-from-ground-add-mset* [clause-simp]:

$clause.from-ground\ (add-mset\ literal_G\ clause_G) =$
 $add-mset\ (literal.from-ground\ literal_G)\ (clause.from-ground\ clause_G)$
 $\langle proof \rangle$

lemma *remove1-mset-literal-from-ground*:

$remove1-mset\ (literal.from-ground\ literal_G)\ (clause.from-ground\ clause_G)$
 $= clause.from-ground\ (remove1-mset\ literal_G\ clause_G)$
 $\langle proof \rangle$

lemma *term-with-context-is-ground* [clause-simp]:

$term.is-ground\ context \langle term \rangle \longleftrightarrow context.is-ground\ context \wedge term.is-ground$
 $term$
 $\langle proof \rangle$

lemma *mset-literal-from-ground*:

$mset-lit\ (literal.from-ground\ l) = image-mset\ term.from-ground\ (mset-lit\ l)$
 $\langle proof \rangle$

lemma *clause-is-ground-add-mset* [clause-simp]:

$clause.is-ground\ (add-mset\ literal\ clause) \longleftrightarrow$
 $literal.is-ground\ literal \wedge clause.is-ground\ clause$
 $\langle proof \rangle$

lemma *clause-to-ground-add-mset*:

assumes $clause.from-ground\ clause = add-mset\ literal\ clause'$
shows $clause = add-mset\ (literal.to-ground\ literal)\ (clause.to-ground\ clause')$
 $\langle proof \rangle$

lemma *mset-mset-lit-subst* [clause-simp]:

$\{\# term \cdot t \sigma.\ term \in\# mset-lit\ literal\ \#\} = mset-lit\ (literal \cdot l \sigma)$
 $\langle proof \rangle$

lemma *term-in-literal-subst* [clause-intro]:

assumes $term \in\# mset-lit\ literal$
shows $term \cdot t \sigma \in\# mset-lit\ (literal \cdot l \sigma)$
 $\langle proof \rangle$

lemma *ground-term-in-ground-literal*:

assumes $literal.is-ground\ literal\ term \in\# mset-lit\ literal$

shows $term.is-ground\ term$
 $\langle proof \rangle$

lemma $ground-term-in-ground-literal-subst$:
assumes $literal.is-ground\ (literal \cdot l\ \gamma)$ $term \in\# mset-lit\ literal$
shows $term.is-ground\ (term \cdot t\ \gamma)$
 $\langle proof \rangle$

lemma $subst-polarity-stable$:
shows
 $subst-neg-stable: is-neg\ (literal \cdot l\ \sigma) \longleftrightarrow is-neg\ literal$ **and**
 $subst-pos-stable: is-pos\ (literal \cdot l\ \sigma) \longleftrightarrow is-pos\ literal$
 $\langle proof \rangle$

lemma $atom-from-ground-term-from-ground$ [$clause-simp$]:
 $atom.from-ground\ (Upair\ term_{G1}\ term_{G2}) =$
 $Upair\ (term.from-ground\ term_{G1})\ (term.from-ground\ term_{G2})$
 $\langle proof \rangle$

lemma $literal-from-ground-atom-from-ground$ [$clause-simp$]:
 $literal.from-ground\ (Neg\ atom_G) = Neg\ (atom.from-ground\ atom_G)$
 $literal.from-ground\ (Pos\ atom_G) = Pos\ (atom.from-ground\ atom_G)$
 $\langle proof \rangle$

lemma $context-from-ground-hole$ [$clause-simp$]:
 $context.from-ground\ context_G = \square \longleftrightarrow context_G = \square_G$
 $\langle proof \rangle$

lemma $literal-from-ground-polarity-stable$:
shows
 $literal-from-ground-neg-stable: is-neg\ literal_G \longleftrightarrow is-neg\ (literal.from-ground\ literal_G)$ **and**
 $literal-from-ground-stable: is-pos\ literal_G \longleftrightarrow is-pos\ (literal.from-ground\ literal_G)$
 $\langle proof \rangle$

lemma $ground-terms-in-ground-atom1$:
assumes $term.is-ground\ term_1$ **and** $term.is-ground\ term_2$
shows $Upair\ (term.to-ground\ term_1)\ (term.to-ground\ term_2) = atom.to-ground\ (Upair\ term_1\ term_2)$
 $\langle proof \rangle$

lemma $ground-terms-in-ground-atom2$ [$clause-simp$]:
 $atom.is-ground\ (Upair\ term_1\ term_2) \longleftrightarrow term.is-ground\ term_1 \wedge term.is-ground\ term_2$
 $\langle proof \rangle$

lemmas *ground-terms-in-ground-atom* =
ground-terms-in-ground-atom1
ground-terms-in-ground-atom2

lemma *ground-atom-in-ground-literal*:
Pos (atom.to-ground atom) = literal.to-ground (Pos atom)
Neg (atom.to-ground atom) = literal.to-ground (Neg atom)
 ⟨*proof*⟩

lemma *atom-is-ground-in-ground-literal* [*intro*]:
literal.is-ground literal \longleftrightarrow atom.is-ground (atm-of literal)
 ⟨*proof*⟩

lemma *obtain-from-atom-subst* [*clause-intro*]:
assumes *Upair term₁' term₂' = atom · a σ*
obtains *term₁ term₂*
where *atom = Upair term₁ term₂ term₁' = term₁ · t σ term₂' = term₂ · t σ*
 ⟨*proof*⟩

lemma *obtain-from-pos-literal-subst* [*clause-intro*]:
assumes *literal · l σ = term₁' \approx term₂'*
obtains *term₁ term₂*
where *literal = term₁ \approx term₂ term₁' = term₁ · t σ term₂' = term₂ · t σ*
 ⟨*proof*⟩

lemma *obtain-from-neg-literal-subst*:
assumes *literal · l σ = term₁' $!\approx$ term₂'*
obtains *term₁ term₂*
where *literal = term₁ $!\approx$ term₂ term₁ · t σ = term₁' term₂ · t σ = term₂'*
 ⟨*proof*⟩

lemmas *obtain-from-literal-subst* = *obtain-from-pos-literal-subst obtain-from-neg-literal-subst*

lemma *subst-cannot-add-var*:
assumes *is-Var (term · t σ)*
shows *is-Var term*
 ⟨*proof*⟩

lemma *var-in-term*:
assumes *var \in term.vars term*
obtains *context* **where** *term = context⟨Var var⟩*
 ⟨*proof*⟩

lemma *var-in-non-ground-term*:
assumes \neg *term.is-ground term*
obtains *context var* **where** *term = context⟨var⟩ is-Var var*
 ⟨*proof*⟩

lemma *non-ground-arg*:

assumes $\neg \text{term.is-ground } (\text{Fun } f \text{ terms})$
obtains term
where $\text{term} \in \text{set terms} \neg \text{term.is-ground term}$
 $\langle \text{proof} \rangle$

lemma *non-ground-arg'*:
assumes $\neg \text{term.is-ground } (\text{Fun } f \text{ terms})$
obtains ts1 var ts2
where $\text{terms} = \text{ts1 } @ \text{ [var] } @ \text{ ts2} \neg \text{term.is-ground var}$
 $\langle \text{proof} \rangle$

5.1 Interpretations

lemma *vars-term-ms-count*:
assumes $\text{term.is-ground term}_G$
shows $\text{size } \{\# \text{var}' \in \# \text{ vars-term-ms context } \langle \text{Var var} \rangle. \text{var}' = \text{var}\#\} =$
 $\text{Suc } (\text{size } \{\# \text{var}' \in \# \text{ vars-term-ms context } \langle \text{term}_G \rangle. \text{var}' = \text{var}\#\})$
 $\langle \text{proof} \rangle$

context
fixes $I :: ('f \text{ gterm} \times 'f \text{ gterm}) \text{ set}$
assumes
 $\text{trans: trans } I$ **and**
 $\text{sym: sym } I$ **and**
 $\text{compatible-with-gctxt: compatible-with-gctxt } I$
begin

lemma *interpretation-context-congruence*:
assumes
 $(t, t') \in I$
 $(\text{ctxt}(t)_G, t'') \in I$
shows
 $(\text{ctxt}(t')_G, t'') \in I$
 $\langle \text{proof} \rangle$

lemma *interpretation-context-congruence'*:
assumes
 $(t, t') \in I$
 $(\text{ctxt}(t)_G, t'') \notin I$
shows
 $(\text{ctxt}(t')_G, t'') \notin I$
 $\langle \text{proof} \rangle$

context
fixes
 $\gamma :: ('f, 'v) \text{ subst}$ **and**
 $\text{update} :: ('f, 'v) \text{ Term.term}$ **and**
 $\text{var} :: 'v$
assumes

update-is-ground: $term.is_ground\ update$ **and**
var-grounding: $term.is_ground\ (Var\ var\ \cdot t\ \gamma)$
begin

lemma *interpretation-term-congruence*:

assumes

term-grounding: $term.is_ground\ (term\ \cdot t\ \gamma)$ **and**
var-update: $(term.to_ground\ (\gamma\ var), term.to_ground\ update) \in I$ **and**
updated-term: $(term.to_ground\ (term\ \cdot t\ \gamma(var := update)), term') \in I$

shows

$(term.to_ground\ (term\ \cdot t\ \gamma), term') \in I$
 ⟨proof⟩

lemma *interpretation-term-congruence'*:

assumes

term-grounding: $term.is_ground\ (term\ \cdot t\ \gamma)$ **and**
var-update: $(term.to_ground\ (\gamma\ var), term.to_ground\ update) \in I$ **and**
updated-term: $(term.to_ground\ (term\ \cdot t\ \gamma(var := update)), term') \notin I$

shows

$(term.to_ground\ (term\ \cdot t\ \gamma), term') \notin I$
 ⟨proof⟩

lemma *interpretation-atom-congruence*:

assumes

$term.is_ground\ (term_1\ \cdot t\ \gamma)$
 $term.is_ground\ (term_2\ \cdot t\ \gamma)$
 $(term.to_ground\ (\gamma\ var), term.to_ground\ update) \in I$
 $(term.to_ground\ (term_1\ \cdot t\ \gamma(var := update)), term.to_ground\ (term_2\ \cdot t\ \gamma(var := update))) \in I$

shows

$(term.to_ground\ (term_1\ \cdot t\ \gamma), term.to_ground\ (term_2\ \cdot t\ \gamma)) \in I$
 ⟨proof⟩

lemma *interpretation-atom-congruence'*:

assumes

$term.is_ground\ (term_1\ \cdot t\ \gamma)$
 $term.is_ground\ (term_2\ \cdot t\ \gamma)$
 $(term.to_ground\ (\gamma\ var), term.to_ground\ update) \in I$
 $(term.to_ground\ (term_1\ \cdot t\ \gamma(var := update)), term.to_ground\ (term_2\ \cdot t\ \gamma(var := update))) \notin I$

shows

$(term.to_ground\ (term_1\ \cdot t\ \gamma), term.to_ground\ (term_2\ \cdot t\ \gamma)) \notin I$
 ⟨proof⟩

lemma *interpretation-literal-congruence*:

assumes

$literal.is_ground\ (literal\ \cdot l\ \gamma)$
 $upair\ 'I\ \models_l\ term.to_ground\ (Var\ var\ \cdot t\ \gamma) \approx term.to_ground\ update$
 $upair\ 'I\ \models_l\ literal.to_ground\ (literal\ \cdot l\ \gamma(var := update))$

shows
 $upair \text{ ' } I \models_l literal.to-ground (literal \cdot l \gamma)$
 $\langle proof \rangle$

lemma *interpretation-clause-congruence*:

assumes

$clause.is-ground (clause \cdot \gamma)$

$upair \text{ ' } I \models_l term.to-ground (Var \text{ var } \cdot t \gamma) \approx term.to-ground \text{ update}$

$upair \text{ ' } I \models clause.to-ground (clause \cdot \gamma(var := update))$

shows

$upair \text{ ' } I \models clause.to-ground (clause \cdot \gamma)$

$\langle proof \rangle$

end

end

5.2 Renaming

context

fixes $\varrho :: ('f, 'v) \text{ subst}$

assumes *renaming*: $term\text{-subst.is-renaming } \varrho$

begin

lemma *renaming-vars-term*: $Var \text{ ' } term.vars (term \cdot t \varrho) = \varrho \text{ ' } (term.vars \text{ term})$
 $\langle proof \rangle$

lemma *renaming-vars-atom*: $Var \text{ ' } atom.vars (atom \cdot a \varrho) = \varrho \text{ ' } atom.vars \text{ atom}$
 $\langle proof \rangle$

lemma *renaming-vars-literal*: $Var \text{ ' } literal.vars (literal \cdot l \varrho) = \varrho \text{ ' } literal.vars \text{ literal}$
 $\langle proof \rangle$

lemma *renaming-vars-clause*: $Var \text{ ' } clause.vars (clause \cdot \varrho) = \varrho \text{ ' } clause.vars \text{ clause}$
 $\langle proof \rangle$

lemma *surj-the-inv*: $surj (\lambda x. the\text{-inv } \varrho (Var \text{ } x))$
 $\langle proof \rangle$

end

lemma *needed*: $surj \text{ } g \implies infinite \{x. f \text{ } x = ty\} \implies infinite \{x. f (g \text{ } x) = ty\}$
 $\langle proof \rangle$

lemma *obtain-ground-fun*:

assumes $term.is-ground \text{ } t$

obtains $f \text{ } ts$ **where** $t = Fun \text{ } f \text{ } ts$

$\langle proof \rangle$

lemma *vars-term-subst*: $term.vars (t \cdot t \sigma) \subseteq term.vars \text{ } t \cup range\text{-vars } \sigma$

<proof>

lemma *vars-term-ingu* [*clause-intro*]:

assumes *term-subst.is-ingu* $\mu \{\{s, s'\}\}$

shows *term.vars* $(t \cdot t \ \mu) \subseteq \text{term.vars } t \cup \text{term.vars } s \cup \text{term.vars } s'$

<proof>

lemma *vars-context-ingu* [*clause-intro*]:

assumes *term-subst.is-ingu* $\mu \{\{s, s'\}\}$

shows *context.vars* $(c \cdot t_c \ \mu) \subseteq \text{context.vars } c \cup \text{term.vars } s \cup \text{term.vars } s'$

<proof>

lemma *vars-atom-ingu* [*clause-intro*]:

assumes *term-subst.is-ingu* $\mu \{\{s, s'\}\}$

shows *atom.vars* $(a \cdot a \ \mu) \subseteq \text{atom.vars } a \cup \text{term.vars } s \cup \text{term.vars } s'$

<proof>

lemma *vars-literal-ingu* [*clause-intro*]:

assumes *term-subst.is-ingu* $\mu \{\{s, s'\}\}$

shows *literal.vars* $(l \cdot l \ \mu) \subseteq \text{literal.vars } l \cup \text{term.vars } s \cup \text{term.vars } s'$

<proof>

lemma *vars-clause-ingu* [*clause-intro*]:

assumes *term-subst.is-ingu* $\mu \{\{s, s'\}\}$

shows *clause.vars* $(c \cdot \mu) \subseteq \text{clause.vars } c \cup \text{term.vars } s \cup \text{term.vars } s'$

<proof>

end

theory *Fun-Extra*

imports *Main HOL-Library.Countable-Set HOL-Cardinals.Cardinals*

begin

lemma *obtain-bij-betw-endo*:

assumes *finite domain finite img card img = card domain*

obtains *f*

where *bij-betw f domain img $\wedge x. x \notin \text{domain} \implies f x = x$*

<proof>

lemma *obtain-bij-betw-inj-endo*:

assumes *finite domain finite img card img = card domain domain \cap img = $\{\}$*

obtains *f*

where

bij-betw f domain img

bij-betw f img domain

$\wedge x. x \notin \text{domain} \implies x \notin \text{img} \implies f x = x$

inj f

<proof>

lemma *obtain-inj-on*:

assumes *finite domain infinite image-subset*
obtains *f*
where
 inj-on (f :: 'a ⇒ 'b) domain
 f ' domain ⊆ image-subset
⟨*proof*⟩

corollary *obtain-inj-on'*:
assumes *finite domain infinite (UNIV :: 'b set)*
obtains *f*
where *inj-on (f :: 'a ⇒ 'b) domain*
⟨*proof*⟩

corollary *obtain-inj*:
assumes *finite (UNIV :: 'a set) infinite (UNIV :: 'b set)*
obtains *f*
where *inj (f :: 'a ⇒ 'b)*
⟨*proof*⟩

corollary *obtain-inj'*:
assumes *finite (UNIV :: 'a set) infinite image-subset*
obtains *f*
where *inj (f :: 'a ⇒ 'b) f ' domain ⊆ image-subset*
⟨*proof*⟩

lemma *obtain-inj-endo*:
assumes *finite domain infinite image-subset*
obtains *f :: 'a ⇒ 'a*
where *inj f f ' domain ⊆ image-subset*
⟨*proof*⟩

abbreviation *surj-on where*
surj-on domain f ≡ (∀ y. ∃ x ∈ domain. y = f x)

lemma *surj-on-alternative*: *surj-on domain f ⟷ f ' domain = UNIV*
⟨*proof*⟩

lemma *obtain-surj-on-nat*:
assumes *infinite domain*
obtains *f :: 'a ⇒ nat where surj-on domain f*
⟨*proof*⟩

lemma *obtain-surj-on*:
assumes *infinite domain*
obtains *f :: 'a ⇒ 'b :: countable where surj-on domain f*
⟨*proof*⟩

lemma *partitions*:
assumes *infinite (UNIV :: 'x set)*

obtains $A B$ where
 $|A| =_o |B|$
 $|A| =_o |UNIV :: 'x set|$
 $A \cap B = \{\}$
 $A \cup B = (UNIV :: 'x set)$
 <proof>

end
theory *First-Order-Type-System*
imports *First-Order-Clause Fun-Extra*
begin

type-synonym $('f, 'ty)$ *fun-types* = $'f \Rightarrow 'ty list \times 'ty$
type-synonym $('v, 'ty)$ *var-types* = $'v \Rightarrow 'ty$

inductive *has-type* :: $('f, 'ty)$ *fun-types* \Rightarrow $('v, 'ty)$ *var-types* \Rightarrow $('f, 'v)$ *term* \Rightarrow *ty*
 \Rightarrow *bool*

for $\mathcal{F} \mathcal{V}$ **where**

$Var: \mathcal{V} x = \tau \Longrightarrow \text{has-type } \mathcal{F} \mathcal{V} (Var x) \tau$
 $| Fun: \mathcal{F} f = (\tau s, \tau) \Longrightarrow \text{has-type } \mathcal{F} \mathcal{V} (Fun f ts) \tau$

inductive *welltyped* :: $('f, 'ty)$ *fun-types* \Rightarrow $('v, 'ty)$ *var-types* \Rightarrow $('f, 'v)$ *term* \Rightarrow
ty \Rightarrow *bool*

for $\mathcal{F} \mathcal{V}$ **where**

$Var: \mathcal{V} x = \tau \Longrightarrow \text{welltyped } \mathcal{F} \mathcal{V} (Var x) \tau$
 $| Fun: \mathcal{F} f = (\tau s, \tau) \Longrightarrow \text{list-all2 } (\text{welltyped } \mathcal{F} \mathcal{V}) ts \tau s \Longrightarrow \text{welltyped } \mathcal{F} \mathcal{V} (Fun f ts) \tau$

lemma *has-type-right-unique*: *right-unique* (*has-type* $\mathcal{F} \mathcal{V}$)
 <proof>

lemma *welltyped-right-unique*: *right-unique* (*welltyped* $\mathcal{F} \mathcal{V}$)
 <proof>

definition *has-type_a* **where**

$\text{has-type}_a \mathcal{F} \mathcal{V} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{has-type } \mathcal{F} \mathcal{V} t \tau)$

definition *welltyped_a* **where**

[*clause-simp*]: $\text{welltyped}_a \mathcal{F} \mathcal{V} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{welltyped } \mathcal{F} \mathcal{V} t \tau)$

definition *has-type_l* **where**

$\text{has-type}_l \mathcal{F} \mathcal{V} L \longleftrightarrow \text{has-type}_a \mathcal{F} \mathcal{V} (\text{atm-of } L)$

definition *welltyped_l* **where**

[*clause-simp*]: $\text{welltyped}_l \mathcal{F} \mathcal{V} L \longleftrightarrow \text{welltyped}_a \mathcal{F} \mathcal{V} (\text{atm-of } L)$

definition *has-type_c* **where**

$\text{has-type}_c \mathcal{F} \mathcal{V} C \longleftrightarrow (\forall L \in \# C. \text{has-type}_l \mathcal{F} \mathcal{V} L)$

definition $welltyped_c$ **where**

$$welltyped_c \mathcal{F} \mathcal{V} C \longleftrightarrow (\forall L \in \# C. welltyped_l \mathcal{F} \mathcal{V} L)$$

definition $has-type_{cs}$ **where**

$$has-type_{cs} \mathcal{F} \mathcal{V} N \longleftrightarrow (\forall C \in N. has-type_c \mathcal{F} \mathcal{V} C)$$

definition $welltyped_{cs}$ **where**

$$welltyped_{cs} \mathcal{F} \mathcal{V} N \longleftrightarrow (\forall C \in N. welltyped_c \mathcal{F} \mathcal{V} C)$$

definition $has-type_\sigma$ **where**

$$has-type_\sigma \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall t \tau. has-type \mathcal{F} \mathcal{V} t \tau \longrightarrow has-type \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau)$$

definition $has-type_{\sigma'}$ **where**

$$has-type_{\sigma'} \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x. has-type \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

definition $welltyped_\sigma$ **where**

$$welltyped_\sigma \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x. welltyped \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

lemma $welltyped_\sigma$ -Var[simp]: $welltyped_\sigma \mathcal{F} \mathcal{V} Var$

<proof>

definition $welltyped_\sigma$ -on **where**

$$welltyped_\sigma\text{-on} X \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x \in X. welltyped \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

lemma $welltyped_\sigma$ - $welltyped_\sigma$ -on:

$$welltyped_\sigma \mathcal{F} \mathcal{V} \sigma = welltyped_\sigma\text{-on} UNIV \mathcal{F} \mathcal{V} \sigma$$

<proof>

lemma $welltyped_\sigma$ -on-subset:

assumes $welltyped_\sigma$ -on $Y \mathcal{F} \mathcal{V} \sigma$ $X \subseteq Y$

shows $welltyped_\sigma$ -on $X \mathcal{F} \mathcal{V} \sigma$

<proof>

definition $welltyped_{\sigma'}$ **where**

$$welltyped_{\sigma'} \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall t \tau. welltyped \mathcal{F} \mathcal{V} t \tau \longrightarrow welltyped \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau)$$

lemma $has-type_c$ -add-mset [clause-simp]:

$$has-type_c \mathcal{F} \mathcal{V} (add-mset L C) \longleftrightarrow has-type_l \mathcal{F} \mathcal{V} L \wedge has-type_c \mathcal{F} \mathcal{V} C$$

<proof>

lemma $welltyped_c$ -add-mset [clause-simp]:

$$welltyped_c \mathcal{F} \mathcal{V} (add-mset L C) \longleftrightarrow welltyped_l \mathcal{F} \mathcal{V} L \wedge welltyped_c \mathcal{F} \mathcal{V} C$$

<proof>

lemma $has-type_c$ -plus [clause-simp]:

$$has-type_c \mathcal{F} \mathcal{V} (C + D) \longleftrightarrow has-type_c \mathcal{F} \mathcal{V} C \wedge has-type_c \mathcal{F} \mathcal{V} D$$

<proof>

lemma *welltyped_c-plus* [*clause-simp*]:
 $welltyped_c \mathcal{F} \mathcal{V} (C + D) \longleftrightarrow welltyped_c \mathcal{F} \mathcal{V} C \wedge welltyped_c \mathcal{F} \mathcal{V} D$
<proof>

lemma *has-type_σ-has-type*:
assumes *has-type_σ* $\mathcal{F} \mathcal{V} \sigma$ *has-type* $\mathcal{F} \mathcal{V} t \tau$
shows *has-type* $\mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau$
<proof>

lemma *welltyped_σ-welltyped*:
assumes *welltyped_σ*: *welltyped_σ* $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped* $\mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau \longleftrightarrow welltyped \mathcal{F} \mathcal{V} t \tau$
<proof>

lemma *has-type_σ-has-type_a*:
assumes *has-type_σ* $\mathcal{F} \mathcal{V} \sigma$ *has-type_a* $\mathcal{F} \mathcal{V} a$
shows *has-type_a* $\mathcal{F} \mathcal{V} (a \cdot a \sigma)$
<proof>

lemma *welltyped_σ-welltyped_a*:
assumes *welltyped_σ*: *welltyped_σ* $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_a* $\mathcal{F} \mathcal{V} (a \cdot a \sigma) \longleftrightarrow welltyped_a \mathcal{F} \mathcal{V} a$
<proof>

lemma *has-type_σ-has-type_l*:
assumes *has-type_σ* $\mathcal{F} \mathcal{V} \sigma$ *has-type_l* $\mathcal{F} \mathcal{V} l$
shows *has-type_l* $\mathcal{F} \mathcal{V} (l \cdot l \sigma)$
<proof>

lemma *welltyped_σ-welltyped_l*:
assumes *welltyped_σ*: *welltyped_σ* $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_l* $\mathcal{F} \mathcal{V} (l \cdot l \sigma) \longleftrightarrow welltyped_l \mathcal{F} \mathcal{V} l$
<proof>

lemma *has-type_σ-has-type_c*:
assumes *has-type_σ* $\mathcal{F} \mathcal{V} \sigma$ *has-type_c* $\mathcal{F} \mathcal{V} c$
shows *has-type_c* $\mathcal{F} \mathcal{V} (c \cdot \sigma)$
<proof>

lemma *welltyped_σ-on-welltyped*:
assumes *wt*: *welltyped_σ-on* (*term.vars* t) $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped* $\mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau \longleftrightarrow welltyped \mathcal{F} \mathcal{V} t \tau$
<proof>

lemma *welltyped_σ-on-welltyped_a*:
assumes *wt*: *welltyped_σ-on* (*atom.vars* A) $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_a* $\mathcal{F} \mathcal{V} (A \cdot a \sigma) \longleftrightarrow welltyped_a \mathcal{F} \mathcal{V} A$
<proof>

lemma *welltyped_l-iff-welltyped_a*: $\text{welltyped}_l \mathcal{F} \mathcal{V} L \longleftrightarrow \text{welltyped}_a \mathcal{F} \mathcal{V} (\text{atm-of } L)$
 ⟨proof⟩

lemma *welltyped_σ-on-welltyped_l*:
assumes *wt*: $\text{welltyped}_\sigma\text{-on } (\text{literal.vars } L) \mathcal{F} \mathcal{V} \sigma$
shows $\text{welltyped}_l \mathcal{F} \mathcal{V} (L \cdot l \ \sigma) \longleftrightarrow \text{welltyped}_l \mathcal{F} \mathcal{V} L$
 ⟨proof⟩

lemma *welltyped_σ-on-welltyped_c*:
assumes *wt*: $\text{welltyped}_\sigma\text{-on } (\text{clause.vars } C) \mathcal{F} \mathcal{V} \sigma$
shows $\text{welltyped}_c \mathcal{F} \mathcal{V} (C \cdot \sigma) \longleftrightarrow \text{welltyped}_c \mathcal{F} \mathcal{V} C$
 ⟨proof⟩

lemma *welltyped_σ-welltyped_c*:
assumes welltyped_σ : $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} \sigma$
shows $\text{welltyped}_c \mathcal{F} \mathcal{V} (c \cdot \sigma) \longleftrightarrow \text{welltyped}_c \mathcal{F} \mathcal{V} c$
 ⟨proof⟩

lemma *has-type_κ*:
assumes
 κ-type: $\text{has-type } \mathcal{F} \mathcal{V} \kappa\langle t \rangle \ \tau_1$ **and**
 t-type: $\text{has-type } \mathcal{F} \mathcal{V} t \ \tau_2$ **and**
 t'-type: $\text{has-type } \mathcal{F} \mathcal{V} t' \ \tau_2$
shows
 $\text{has-type } \mathcal{F} \mathcal{V} \kappa\langle t' \rangle \ \tau_1$
 ⟨proof⟩

lemma *welltyped-subterm*:
assumes $\text{welltyped } \mathcal{F} \mathcal{V} (\text{Fun } f \ ts) \ \tau$
shows $\forall t \in \text{set } ts. \exists \tau'. \text{welltyped } \mathcal{F} \mathcal{V} t \ \tau'$
 ⟨proof⟩

lemma *welltyped_κ'*:
assumes $\text{welltyped } \mathcal{F} \mathcal{V} \kappa\langle t \rangle \ \tau$
shows $\exists \tau'. \text{welltyped } \mathcal{F} \mathcal{V} t \ \tau'$
 ⟨proof⟩

lemma *welltyped_κ [clause-intro]*:
assumes
 κ-type: $\text{welltyped } \mathcal{F} \mathcal{V} \kappa\langle t \rangle \ \tau_1$ **and**
 t-type: $\text{welltyped } \mathcal{F} \mathcal{V} t \ \tau_2$ **and**
 t'-type: $\text{welltyped } \mathcal{F} \mathcal{V} t' \ \tau_2$
shows
 $\text{welltyped } \mathcal{F} \mathcal{V} \kappa\langle t' \rangle \ \tau_1$
 ⟨proof⟩

lemma *has-type_σ-Var*: $\text{has-type}_\sigma \mathcal{F} \mathcal{V} \text{Var}$
 ⟨proof⟩

lemma *welltyped-add-literal*:

assumes $\text{welltyped}_c \mathcal{F} \mathcal{V} P'$ $\text{welltyped} \mathcal{F} \mathcal{V} s_1 \tau$ $\text{welltyped} \mathcal{F} \mathcal{V} s_2 \tau$
shows $\text{welltyped}_c \mathcal{F} \mathcal{V} (\text{add-mset} (s_1 \approx s_2) P')$
<proof>

lemma *welltyped-V*:

assumes
 $\forall x \in \text{term.vars } t. \mathcal{V} x = \mathcal{V}' x$
 $\text{welltyped} \mathcal{F} \mathcal{V} t \tau$
shows
 $\text{welltyped} \mathcal{F} \mathcal{V}' t \tau$
<proof>

lemma *welltyped-subst-V*:

assumes
 $\forall x \in X. \mathcal{V} x = \mathcal{V}' x$
 $\forall x \in X. \text{term.is-ground} (\gamma x)$
shows
 $\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V} \gamma \longleftrightarrow \text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V}' \gamma$
<proof>

lemma *welltyped_a-V*:

assumes
 $\forall x \in \text{atom.vars } a. \mathcal{V} x = \mathcal{V}' x$
 $\text{welltyped}_a \mathcal{F} \mathcal{V} a$
shows
 $\text{welltyped}_a \mathcal{F} \mathcal{V}' a$
<proof>

lemma *welltyped_l-V*:

assumes
 $\forall x \in \text{literal.vars } l. \mathcal{V} x = \mathcal{V}' x$
 $\text{welltyped}_l \mathcal{F} \mathcal{V} l$
shows
 $\text{welltyped}_l \mathcal{F} \mathcal{V}' l$
<proof>

lemma *welltyped_c-V*:

assumes
 $\forall x \in \text{clause.vars } c. \mathcal{V} x = \mathcal{V}' x$
 $\text{welltyped}_c \mathcal{F} \mathcal{V} c$
shows
 $\text{welltyped}_c \mathcal{F} \mathcal{V}' c$
<proof>

lemma *welltyped-renaming'*:

assumes
 $\text{term-subst.is-renaming } \varrho$

$welltyped_{\sigma}$ typeof-fun \mathcal{V} ϱ
 $welltyped$ typeof-fun $(\lambda x. \mathcal{V} (the-inv \text{Var} (\varrho x)))$ t τ
shows $welltyped$ typeof-fun $\mathcal{V} (t \cdot t \varrho)$ τ
 $\langle proof \rangle$

lemma $welltyped_a$ -renaming':

assumes
 $term-subst.is-renaming$ ϱ
 $welltyped_{\sigma}$ typeof-fun \mathcal{V} ϱ
 $welltyped_a$ typeof-fun $(\lambda x. \mathcal{V} (the-inv \text{Var} (\varrho x)))$ a
shows $welltyped_a$ typeof-fun $\mathcal{V} (a \cdot a \varrho)$
 $\langle proof \rangle$

lemma $welltyped_l$ -renaming':

assumes
 $term-subst.is-renaming$ ϱ
 $welltyped_{\sigma}$ typeof-fun \mathcal{V} ϱ
 $welltyped_l$ typeof-fun $(\lambda x. \mathcal{V} (the-inv \text{Var} (\varrho x)))$ l
shows $welltyped_l$ typeof-fun $\mathcal{V} (l \cdot l \varrho)$
 $\langle proof \rangle$

lemma $welltyped_c$ -renaming':

assumes
 $term-subst.is-renaming$ ϱ
 $welltyped_{\sigma}$ typeof-fun \mathcal{V} ϱ
 $welltyped_c$ typeof-fun $(\lambda x. \mathcal{V} (the-inv \text{Var} (\varrho x)))$ c
shows $welltyped_c$ typeof-fun $\mathcal{V} (c \cdot \varrho)$
 $\langle proof \rangle$

definition $range-vars'$:: $(f, 'v)$ $subst \Rightarrow 'v$ set **where**
 $range-vars' \sigma = \bigcup (term.vars \text{ ' range } \sigma)$

lemma $vars-term-range-vars'$:

assumes $x \in term.vars (t \cdot t \sigma)$
shows $x \in range-vars' \sigma$
 $\langle proof \rangle$

context

fixes $\varrho \mathcal{V} \mathcal{V}'$

assumes

$renaming$: $term-subst.is-renaming$ ϱ **and**

$range-vars$: $\forall x \in range-vars' \varrho. \mathcal{V} (the-inv \varrho (\text{Var } x)) = \mathcal{V}' x$

begin

lemma $welltyped-renaming$: $welltyped \mathcal{F} \mathcal{V} t \tau \longleftrightarrow welltyped \mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$
 $\langle proof \rangle$

lemma $has-type-renaming$: $has-type \mathcal{F} \mathcal{V} t \tau \longleftrightarrow has-type \mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$
 $\langle proof \rangle$

lemma *welltyped_σ-renaming-ground-subst*:
assumes *welltyped_σ F V' γ welltyped_σ F V ρ term-subst.is-ground-subst γ*
shows *welltyped_σ F V (ρ ⊙ γ)*
<proof>

lemma *welltyped_a-renaming*: *welltyped_a F V a ⟷ welltyped_a F V' (a · a ρ)*
<proof>

lemma *welltyped_l-renaming*: *welltyped_l F V l ⟷ welltyped_l F V' (l · l ρ)*
<proof>

lemma *welltyped_c-renaming*: *welltyped_c F V c ⟷ welltyped_c F V' (c · c ρ)*
<proof>

end

context

fixes *ρ*

assumes *renaming: term-subst.is-renaming ρ*

begin

lemma *welltyped-renaming-weaker*:
assumes $\forall x \in \text{term.vars } (t \cdot t \ \rho). \mathcal{V} (\text{the-inv } \rho (\text{Var } x)) = \mathcal{V}' x$
shows *welltyped F V t τ ⟷ welltyped F V' (t · t ρ) τ*
<proof>

lemma *welltyped_a-renaming-weaker*:
assumes $\forall x \in \text{atom.vars } (a \cdot a \ \rho). \mathcal{V} (\text{the-inv } \rho (\text{Var } x)) = \mathcal{V}' x$
shows *welltyped_a F V a ⟷ welltyped_a F V' (a · a ρ)*
<proof>

lemma *welltyped_l-renaming-weaker*:
assumes $\forall x \in \text{literal.vars } (l \cdot l \ \rho). \mathcal{V} (\text{the-inv } \rho (\text{Var } x)) = \mathcal{V}' x$
shows *welltyped_l F V l ⟷ welltyped_l F V' (l · l ρ)*
<proof>

lemma *welltyped_c-renaming-weaker*:
assumes $\forall x \in \text{clause.vars } (c \cdot c \ \rho). \mathcal{V} (\text{the-inv } \rho (\text{Var } x)) = \mathcal{V}' x$
shows *welltyped_c F V c ⟷ welltyped_c F V' (c · c ρ)*
<proof>

lemma *has-type-renaming-weaker*:
assumes $\forall x \in \text{term.vars } (t \cdot t \ \rho). \mathcal{V} (\text{the-inv } \rho (\text{Var } x)) = \mathcal{V}' x$
shows *has-type F V t τ ⟷ has-type F V' (t · t ρ) τ*
<proof>

lemma *welltyped_σ-renaming-ground-subst-weaker*:

assumes
welltyped _{σ} $\mathcal{F} \mathcal{V}' \gamma$
welltyped _{σ} -on $X \mathcal{F} \mathcal{V} \varrho$
term-subst.is-ground-subst γ
 $\forall x \in \bigcup (\text{term.vars } ' \varrho ' X). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$
shows *welltyped* _{σ} -on $X \mathcal{F} \mathcal{V} (\varrho \odot \gamma)$
 $\langle \text{proof} \rangle$

end

lemma
infinite-even-nat: *infinite* $\{ n :: \text{nat} . \text{even } n \}$ **and**
infinite-odd-nat: *infinite* $\{ n :: \text{nat} . \text{odd } n \}$
 $\langle \text{proof} \rangle$

lemma *obtain-infinite-partition*:
obtains $X Y :: 'a :: \{\text{countable}, \text{infinite}\} \text{set}$
where
 $X \cap Y = \{\}$ $X \cup Y = \text{UNIV}$ **and**
infinite X **and**
infinite Y
 $\langle \text{proof} \rangle$

lemma $(\bigcup n'. \{ n. g n = n' \}) = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *inv-enumerate*:
assumes *infinite* N
shows $(\lambda x. \text{inv } (\text{enumerate } N) x) ' N = \text{UNIV}$
 $\langle \text{proof} \rangle$

instance $\text{nat} :: \text{infinite}$
 $\langle \text{proof} \rangle$

lemma *finite-bij-enumerate-inv-into*:
fixes $S :: 'a::\text{wellorder set}$
assumes S : *finite* S
shows *bij-betw* $(\text{inv-into } \{..<\text{card } S\} (\text{enumerate } S)) S \{..<\text{card } S\}$
 $\langle \text{proof} \rangle$

lemma *obtain-inj-test'-on*:
fixes $\mathcal{V}_1 \mathcal{V}_2 :: \text{nat} \Rightarrow 'ty$
assumes
finite X
finite Y

$\wedge ty. \text{infinite } \{x. \mathcal{V}_1 x = ty\}$
 $\wedge ty. \text{infinite } \{x. \mathcal{V}_2 x = ty\}$
obtains $f f' :: nat \Rightarrow nat$ **where**
 $\text{inj } f \text{ inj } f'$
 $f' \text{ ` } X \cap f' \text{ ` } Y = \{\}$
 $\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$
 $\langle \text{proof} \rangle$

lemma *obtain-inj''-on'*:
fixes $\mathcal{V}_1 \mathcal{V}_2 :: 'a :: \text{infinite} \Rightarrow 'ty$
assumes $\text{finite } X \text{ finite } Y \wedge ty. \text{infinite } \{x. \mathcal{V}_1 x = ty\} \wedge ty. \text{infinite } \{x. \mathcal{V}_2 x = ty\}$
obtains $f f' :: 'a \Rightarrow 'a$ **where**
 $\text{inj } f \text{ inj } f'$
 $f' \text{ ` } X \cap f' \text{ ` } Y = \{\}$
 $\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$
 $\langle \text{proof} \rangle$

lemma *obtain-inj''-on*:
fixes $\mathcal{V}_1 \mathcal{V}_2 :: 'a :: \{\text{countable}, \text{infinite}\} \Rightarrow 'ty$
assumes $\text{finite } X \text{ finite } Y \wedge ty. \text{infinite } \{x. \mathcal{V}_1 x = ty\} \wedge ty. \text{infinite } \{x. \mathcal{V}_2 x = ty\}$
obtains $f f' :: 'a \Rightarrow 'a$ **where**
 $\text{inj } f \text{ inj } f'$
 $f' \text{ ` } X \cap f' \text{ ` } Y = \{\}$
 $\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$
 $\langle \text{proof} \rangle$

lemma *obtain-inj'*:
obtains $f :: 'a :: \text{infinite} \Rightarrow 'a$ **where**
 $\text{inj } f$
 $|\text{range } f| = o \text{ } |UNIV - \text{range } f|$
 $\langle \text{proof} \rangle$

lemma *obtain-inj*:
fixes X
defines $Y \equiv UNIV - X$
assumes
 $\text{infinite-}X: \text{infinite } X$ **and**
 $\text{infinite-}Y: \text{infinite } Y$
obtains $f :: 'a :: \{\text{countable}, \text{infinite}\} \Rightarrow 'a$ **where**
 $\text{inj } f$
 $\text{range } f \cap X = \{\}$
 $\text{range } f \cup X = UNIV$

$\langle \text{proof} \rangle$

lemma *obtain-injs*:

obtains $f f' :: 'a :: \{\text{countable}, \text{infinite}\} \Rightarrow 'a$ **where**

$\text{inj } f \text{ inj } f'$

$\text{range } f \cap \text{range } f' = \{\}$

$\text{range } f \cup \text{range } f' = \text{UNIV}$

$\langle \text{proof} \rangle$

lemma *welltyped-on-renaming-exists'*:

assumes $\text{finite } X \text{ finite } Y \wedge \text{ty. infinite } \{x. \mathcal{V}_1 x = \text{ty}\} \wedge \text{ty. infinite } \{x. \mathcal{V}_2 x = \text{ty}\}$

obtains $\varrho_1 \varrho_2 :: ('f, 'v :: \text{infinite}) \text{ subst where}$

$\text{term-subst.is-renaming } \varrho_1$

$\text{term-subst.is-renaming } \varrho_2$

$\varrho_1 ' X \cap \varrho_2 ' Y = \{\}$

$\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V}_1 \varrho_1$

$\text{welltyped}_\sigma\text{-on } Y \mathcal{F} \mathcal{V}_2 \varrho_2$

$\langle \text{proof} \rangle$

lemma *welltyped-on-renaming-exists*:

assumes $\text{finite } X \text{ finite } Y \wedge \text{ty. infinite } \{x. \mathcal{V}_1 x = \text{ty}\} \wedge \text{ty. infinite } \{x. \mathcal{V}_2 x = \text{ty}\}$

obtains $\varrho_1 \varrho_2 :: ('f, 'v :: \{\text{countable}, \text{infinite}\}) \text{ subst where}$

$\text{term-subst.is-renaming } \varrho_1$

$\text{term-subst.is-renaming } \varrho_2$

$\varrho_1 ' X \cap \varrho_2 ' Y = \{\}$

$\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V}_1 \varrho_1$

$\text{welltyped}_\sigma\text{-on } Y \mathcal{F} \mathcal{V}_2 \varrho_2$

$\langle \text{proof} \rangle$

lemma *welltyped_σ-subst-upd*:

assumes $\text{welltyped } \mathcal{F} \mathcal{V} (\text{Var } \text{var}) \tau \text{ welltyped } \mathcal{F} \mathcal{V} \text{ update } \tau \text{ welltyped}_\sigma \mathcal{F} \mathcal{V} \gamma$

shows $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\gamma(\text{var} := \text{update}))$

$\langle \text{proof} \rangle$

lemma *welltyped_σ-on-subst-upd*:

assumes $\text{welltyped } \mathcal{F} \mathcal{V} (\text{Var } \text{var}) \tau \text{ welltyped } \mathcal{F} \mathcal{V} \text{ update } \tau \text{ welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V} \gamma$

shows $\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V} (\gamma(\text{var} := \text{update}))$

$\langle \text{proof} \rangle$

lemma *welltyped-is-ground*:

assumes $\text{term.is-ground } t \text{ welltyped } \mathcal{F} \mathcal{V} t \tau$

shows $\text{welltyped } \mathcal{F} \mathcal{V}' t \tau$

$\langle \text{proof} \rangle$

lemma *term-subst-is-imgu-is-mgu*: $\text{term-subst.is-imgu } \mu \{\{s, t\}\} = \text{is-imgu } \mu \{(s, t)\}$

$\langle \text{proof} \rangle$

lemma *the-mgu-term-subst-is-imgu*:

fixes $\sigma :: ('f, 'v) \text{ subst}$

assumes $s \cdot t \sigma = t \cdot t \sigma$

shows $\text{term-subst.is-imgu } (\text{the-mgu } s \ t) \ \{\{s, t\}\}$

$\langle \text{proof} \rangle$

lemma *Fun-arg-types*:

assumes

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ fs) \ \tau$

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ gs) \ \tau$

obtains τs **where**

$\mathcal{F} \ f = (\tau s, \tau)$

$\text{list-all2 } (\text{welltyped } \mathcal{F} \ \mathcal{V}) \ fs \ \tau s$

$\text{list-all2 } (\text{welltyped } \mathcal{F} \ \mathcal{V}) \ gs \ \tau s$

$\langle \text{proof} \rangle$

lemma *welltyped-zip-option*:

assumes

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ ts) \ \tau$

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ ss) \ \tau$

$\text{zip-option } ts \ ss = \text{Some } ds$

shows

$\forall (a, b) \in \text{set } ds. \exists \tau. \text{welltyped } \mathcal{F} \ \mathcal{V} \ a \ \tau \wedge \text{welltyped } \mathcal{F} \ \mathcal{V} \ b \ \tau$

$\langle \text{proof} \rangle$

lemma *welltyped-decompose'*:

assumes

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ fs) \ \tau$

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ gs) \ \tau$

$\text{decompose } (\text{Fun } f \ fs) \ (\text{Fun } g \ gs) = \text{Some } ds$

shows $\forall (t, t') \in \text{set } ds. \exists \tau. \text{welltyped } \mathcal{F} \ \mathcal{V} \ t \ \tau \wedge \text{welltyped } \mathcal{F} \ \mathcal{V} \ t' \ \tau$

$\langle \text{proof} \rangle$

lemma *welltyped-decompose*:

assumes

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ f \ \tau$

$\text{welltyped } \mathcal{F} \ \mathcal{V} \ g \ \tau$

$\text{decompose } f \ g = \text{Some } ds$

shows $\forall (t, t') \in \text{set } ds. \exists \tau. \text{welltyped } \mathcal{F} \ \mathcal{V} \ t \ \tau \wedge \text{welltyped } \mathcal{F} \ \mathcal{V} \ t' \ \tau$

$\langle \text{proof} \rangle$

lemma *welltyped-subst'-subst*:

assumes $\text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Var } x) \ \tau \ \text{welltyped } \mathcal{F} \ \mathcal{V} \ t \ \tau$

shows $\text{welltyped}_\sigma \mathcal{F} \ \mathcal{V} \ (\text{subst } x \ t)$

$\langle \text{proof} \rangle$

lemma *welltyped-unify*:

assumes

unify es bs = Some unifier

$\forall (t, t') \in \text{set es}. \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

welltyped_σ F V (subst-of bs)

shows *welltyped_σ F V (subst-of unifier)*

<proof>

lemma *welltyped-unify'*:

assumes

unify: unify [(t, t')] [] = Some unifier and

$\tau: \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

shows *welltyped_σ F V (subst-of unifier)*

<proof>

lemma *welltyped-the-mgu*:

assumes

the-mgu: the-mgu t t' = μ and

$\tau: \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

shows

welltyped_σ F V μ

<proof>

abbreviation *welltyped-ingu where*

welltyped-ingu F V term term' μ ≡

$\forall \tau. \text{welltyped } \mathcal{F} \mathcal{V} \text{term } \tau \longrightarrow \text{welltyped } \mathcal{F} \mathcal{V} \text{term}' \tau \longrightarrow \text{welltyped}_\sigma \mathcal{F} \mathcal{V} \mu$

lemma *welltyped-ingu-exists*:

fixes $v :: ('f, 'v) \text{subst}$

assumes *unified: term · t v = term' · t v*

obtains $\mu :: ('f, 'v) \text{subst}$

where

$v = \mu \odot v$

term-subst.is-ingu μ {{term, term'}}

welltyped-ingu F V term term' μ

<proof>

abbreviation *welltyped-ingu' where*

welltyped-ingu' F V term term' μ ≡

$\exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} \text{term } \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} \text{term}' \tau \wedge \text{welltyped}_\sigma \mathcal{F} \mathcal{V} \mu$

lemma *welltyped-ingu'-exists*:

fixes $v :: ('f, 'v) \text{subst}$

assumes *unified: term · t v = term' · t v and welltyped F V term τ welltyped F*

V term' τ

obtains $\mu :: ('f, 'v) \text{subst}$

where

$v = \mu \odot v$

term-subst.is-ingu μ {{term, term'}}

welltyped-ingu' F V term term' μ

<proof>

end

theory *First-Order-Select*

imports

Selection-Function

First-Order-Clause

First-Order-Type-System

begin

type-synonym $(f, 'v, 'ty)$ *typed-clause* = $(f, 'v)$ *atom clause* \times $('v, 'ty)$ *var-types*

type-synonym f *ground-select* = f *ground-atom clause* \Rightarrow f *ground-atom clause*

type-synonym $(f, 'v)$ *select* = $(f, 'v)$ *atom clause* \Rightarrow $(f, 'v)$ *atom clause*

definition *is-select-grounding* :: $(f, 'v)$ *select* \Rightarrow f *ground-select* \Rightarrow *bool* **where**

\bigwedge *select* *select_G*.

is-select-grounding select select_G = $(\forall$ *clause_G*. \exists *clause* γ .

clause.is-ground $($ *clause* $\cdot \gamma)$ \wedge

clause_G = *clause.to-ground* $($ *clause* $\cdot \gamma)$ \wedge

select_G clause_G = *clause.to-ground* $(($ *select clause* $) \cdot \gamma))$

lemma *infinite-lists-per-length*: *infinite* $\{l :: ('a :: \text{infinite}) \text{list. length } (tl\ l) = y\}$

<proof>

lemma *infinite-prods'*: $\{p :: 'a \times 'a . \text{fst } p = y\} = \{y\} \times UNIV$

<proof>

lemma *infinite-prods*: *infinite* $\{p :: (('a :: \text{infinite}) \times 'a). \text{fst } p = y\}$

<proof>

lemma *nat-version'*: $\exists f :: \text{nat} \Rightarrow \text{nat. } \forall y :: \text{nat. infinite } \{x. f\ x = y\}$

<proof>

lemma *not-nat-version'*: $\exists f :: ('a :: \text{infinite}) \Rightarrow 'a. \forall y. \text{infinite } \{x. f\ x = y\}$

<proof>

lemma *not-nat-version''*:

assumes $|UNIV :: 'b \text{ set}| \leq_o |UNIV :: ('a :: \text{infinite}) \text{ set}|$

shows $\exists f :: 'a \Rightarrow 'b. \forall y. \text{infinite } \{x. f\ x = y\}$

<proof>

lemma *nat-version*: $\exists f :: \text{nat} \Rightarrow \text{nat. } \forall y :: \text{nat. infinite } \{x. f\ x = y\}$

<proof>

definition *all-types* **where**

all-types $\mathcal{V} \equiv \forall ty. \text{infinite } \{x. \mathcal{V} x = ty\}$

lemma *all-types-nat*: $\exists \mathcal{V} :: \text{nat} \Rightarrow \text{nat}. \text{all-types } \mathcal{V}$
 ⟨proof⟩

lemma *all-types*: $\exists \mathcal{V} :: ('v :: \{\text{infinite}, \text{countable}\} \Rightarrow 'ty :: \text{countable}). \text{all-types } \mathcal{V}$
 ⟨proof⟩

lemma *all-types'*:
assumes $|UNIV :: 'ty \text{ set}| \leq o |UNIV :: ('v :: \text{infinite}) \text{ set}|$
shows $\exists \mathcal{V} :: ('v :: \text{infinite} \Rightarrow 'ty). \text{all-types } \mathcal{V}$
 ⟨proof⟩

definition *clause-groundings* :: $('f, 'ty) \text{ fun-types} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow 'f$
ground-atom clause set where
 $\text{clause-groundings } \mathcal{F} \text{ clause} = \{ \text{clause.to-ground } (\text{fst clause} \cdot \gamma) \mid \gamma.$
 $\text{term-subst.is-ground-subst } \gamma \wedge$
 $\text{welltyped}_c \mathcal{F} (\text{snd clause}) (\text{fst clause}) \wedge$
 $\text{welltyped}_\sigma\text{-on } (\text{clause.vars } (\text{fst clause})) \mathcal{F} (\text{snd clause}) \gamma \wedge$
 $\text{all-types } (\text{snd clause})$
 $\}$

abbreviation *select-subst-stability-on where*

$\bigwedge \text{select select}_G. \text{select-subst-stability-on } \mathcal{F} \text{ select select}_G \text{ premises} \equiv$
 $\forall \text{premise}_G \in \bigcup (\text{clause-groundings } \mathcal{F} \text{ 'premise}). \exists (\text{premise}, \mathcal{V}) \in \text{premises}.$
 $\exists \gamma.$
 $\text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G \wedge$
 $\text{select}_G (\text{clause.to-ground } (\text{premise} \cdot \gamma)) = \text{clause.to-ground } ((\text{select } \text{premise})$
 $\cdot \gamma) \wedge$
 $\text{welltyped}_c \mathcal{F} \mathcal{V} \text{ premise} \wedge \text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{premise}) \mathcal{F} \mathcal{V} \gamma \wedge$
 $\text{term-subst.is-ground-subst } \gamma \wedge$
 $\text{all-types } \mathcal{V}$

lemma *obtain-subst-stable-on-select-grounding*:

fixes $\text{select} :: ('f, 'v) \text{ select}$
obtains select_G **where**
 $\text{select-subst-stability-on } \mathcal{F} \text{ select select}_G \text{ premises}$
 $\text{is-select-grounding } \text{select select}_G$
 ⟨proof⟩

locale *first-order-select* = $\text{select } \text{select}$

for $\text{select} :: ('f, 'v) \text{ atom clause} \Rightarrow ('f, 'v) \text{ atom clause}$
begin

abbreviation *is-grounding* :: $'f \text{ ground-select} \Rightarrow \text{bool}$ **where**
 $\text{is-grounding } \text{select}_G \equiv \text{is-select-grounding } \text{select select}_G$

definition *select_{G_s}* **where**

$select_{Gs} = \{ \text{ground-select. is-grounding ground-select} \}$

definition *select_G-simple* **where**

select_G-simple clause = *clause.to-ground (select (clause.from-ground clause))*

lemma *select_G-simple: is-grounding select_G-simple*

<proof>

lemma *select-from-ground-clause1:*

assumes *clause.is-ground clause*

shows *clause.is-ground (select clause)*

<proof>

lemma *select-from-ground-clause2:*

assumes *literal ∈# select (clause.from-ground clause)*

shows *literal.is-ground literal*

<proof>

lemma *select-from-ground-clause3:*

assumes *clause.is-ground clause literal_G ∈# clause.to-ground clause*

shows *literal.from-ground literal_G ∈# clause*

<proof>

lemmas *select-from-ground-clause* =

select-from-ground-clause1

select-from-ground-clause2

select-from-ground-clause3

lemma *select-subst1:*

assumes *clause.is-ground (clause · γ)*

shows *clause.is-ground (select clause · γ)*

<proof>

lemma *select-subst2:*

assumes *literal ∈# select clause · γ*

shows *is-neg literal*

<proof>

lemmas *select-subst* = *select-subst1 select-subst2*

end

locale *grounded-first-order-select* =

first-order-select select for select +

fixes *select_G*

assumes *select_G: is-select-grounding select select_G*

begin

abbreviation *subst-stability-on* **where**

subst-stability-on F premises \equiv *select-subst-stability-on F select select_G premises*

lemma *select_G-subset*: *select_G clause* $\subseteq\#$ *clause*
 ⟨*proof*⟩

lemma *select_G-negative*:
assumes *literal_G ∈# select_G clause_G*
shows *is-neg literal_G*
 ⟨*proof*⟩

sublocale *ground*: *select select_G*
 ⟨*proof*⟩

end

end

theory *First-Order-Ordering*

imports

First-Order-Clause

Ground-Ordering

Relation-Extra

begin

context *ground-ordering*

begin

lemmas *less_{l_G}-transitive-on* = *literal-order.transp-on-less*

lemmas *less_{l_G}-asymmetric-on* = *literal-order.asymp-on-less*

lemmas *less_{l_G}-total-on* = *literal-order.totalp-on-less*

lemmas *less_{c_G}-transitive-on* = *clause-order.transp-on-less*

lemmas *less_{c_G}-asymmetric-on* = *clause-order.asymp-on-less*

lemmas *less_{c_G}-total-on* = *clause-order.totalp-on-less*

lemmas *is-maximal-lit-def* = *is-maximal-in-mset-wrt-iff*[*OF less_{l_G}-transitive-on less_{l_G}-asymmetric-on*]

lemmas *is-strictly-maximal-lit-def* =

is-strictly-maximal-in-mset-wrt-iff[*OF less_{l_G}-transitive-on less_{l_G}-asymmetric-on*]

end

6 First order ordering

locale *first-order-ordering* = *term-ordering-lifting less_t*

for

less_t :: (*f*, *v*) *term* \Rightarrow (*f*, *v*) *term* \Rightarrow **bool** (**infix** \prec_t 50) +

assumes

less_t-total-on [*intro*]: *totalp-on* {*term. term.is-ground term*} (\prec_t) **and**

less_t-wellfounded-on: *wfp-on* {*term. term.is-ground term*} (\prec_t) **and**

less_t-ground-context-compatible:
 $\bigwedge \text{context } term_1 \ term_2.$
 $term_1 \prec_t term_2 \implies$
 $term.is-ground \ term_1 \implies$
 $term.is-ground \ term_2 \implies$
 $context.is-ground \ context \implies$
 $context\langle term_1 \rangle \prec_t context\langle term_2 \rangle$ **and**
less_t-ground-subst-stability:
 $\bigwedge term_1 \ term_2 \ (\gamma :: 'v \Rightarrow ('f, 'v) \ term).$
 $term.is-ground \ (term_1 \cdot t \ \gamma) \implies$
 $term.is-ground \ (term_2 \cdot t \ \gamma) \implies$
 $term_1 \prec_t term_2 \implies$
 $term_1 \cdot t \ \gamma \prec_t term_2 \cdot t \ \gamma$ **and**
less_t-ground-subterm-property:
 $\bigwedge term_G \ context_G.$
 $term.is-ground \ term_G \implies$
 $context.is-ground \ context_G \implies$
 $context_G \neq \square \implies$
 $term_G \prec_t context_G\langle term_G \rangle$

begin

lemmas *less_t-transitive* = *transp-less-trm*
lemmas *less_t-asymmetric* = *asymp-less-trm*

6.1 Definitions

abbreviation *less-eq_t* (**infix** \preceq_t 50) **where**
 $less-eq_t \equiv (\prec_t)^{==}$

definition *less_{tG}* :: 'f ground-term \Rightarrow 'f ground-term \Rightarrow bool (**infix** \prec_{tG} 50) **where**
 $term_{G1} \prec_{tG} term_{G2} \equiv term.from-ground \ term_{G1} \prec_t term.from-ground \ term_{G2}$

notation *less-lit* (**infix** \prec_l 50)

notation *less-cls* (**infix** \prec_c 50)

lemma

assumes

L-in: $L \in \# \ C$ **and**

subst-stability: $\bigwedge L \ K. \ L \prec_l \ K \implies (L \cdot l \ \sigma) \prec_l (K \cdot l \ \sigma)$ **and**

L σ -max-in-C σ : *literal-order.is-maximal-in-mset* $(C \cdot \sigma) \ (L \cdot l \ \sigma)$

shows *literal-order.is-maximal-in-mset* $C \ L$

<proof>

lemmas *less_l-def* = *less-lit-def*

lemmas *less_c-def* = *less-cls-def*

abbreviation *less-eq_l* (**infix** \preceq_l 50) **where**
 $less-eq_l \equiv (\prec_l)^{==}$

abbreviation $less\text{-}eq_c$ (infix \preceq_c 50) **where**
 $less\text{-}eq_c \equiv (\prec_c)^{==}$

abbreviation $is\text{-}maximal_l$::
 $(f, 'v)$ atom literal $\Rightarrow (f, 'v)$ atom clause \Rightarrow bool **where**
 $is\text{-}maximal_l$ literal clause $\equiv is\text{-}maximal\text{-}in\text{-}mset\text{-}wrt (\prec_l)$ clause literal

abbreviation $is\text{-}strictly\text{-}maximal_l$::
 $(f, 'v)$ atom literal $\Rightarrow (f, 'v)$ atom clause \Rightarrow bool **where**
 $is\text{-}strictly\text{-}maximal_l$ literal clause $\equiv is\text{-}strictly\text{-}maximal\text{-}in\text{-}mset\text{-}wrt (\prec_l)$ clause literal

6.2 Term ordering

lemmas $less_t\text{-}asymmetric\text{-}on = term\text{-}order.asymp\text{-}on\text{-}less$

lemmas $less_t\text{-}irreflexive\text{-}on = term\text{-}order.irreflp\text{-}on\text{-}less$

lemmas $less_t\text{-}transitive\text{-}on = term\text{-}order.transp\text{-}on\text{-}less$

lemma $less_t\text{-}wellfounded\text{-}on'$: $Wellfounded.wfp\text{-}on (term.from\text{-}ground ' terms_G)$
 (\prec_t)
 $\langle proof \rangle$

lemma $less_t\text{-}total\text{-}on'$: $totalp\text{-}on (term.from\text{-}ground ' terms_G) (\prec_t)$
 $\langle proof \rangle$

lemma $less_{tG}\text{-}wellfounded$: $wfp (\prec_{tG})$
 $\langle proof \rangle$

6.3 Ground term ordering

lemma $less_{tG}\text{-}asymmetric$ [intro]: $asymp (\prec_{tG})$
 $\langle proof \rangle$

lemmas $less_{tG}\text{-}asymmetric\text{-}on = less_{tG}\text{-}asymmetric[THEN asymp\text{-}on\text{-}subset, OF subset\text{-}UNIV]$

lemma $less_{tG}\text{-}transitive$ [intro]: $transp (\prec_{tG})$
 $\langle proof \rangle$

lemmas $less_{tG}\text{-}transitive\text{-}on = less_{tG}\text{-}transitive[THEN transp\text{-}on\text{-}subset, OF subset\text{-}UNIV]$

lemma $less_{tG}\text{-}total$ [intro]: $totalp (\prec_{tG})$
 $\langle proof \rangle$

lemmas $less_{tG}\text{-}total\text{-}on = less_{tG}\text{-}total[THEN totalp\text{-}on\text{-}subset, OF subset\text{-}UNIV]$

lemma $less_{tG}\text{-}context\text{-}compatible$ [simp]:
assumes $term_1 \prec_{tG} term_2$
shows $context\langle term_1 \rangle_G \prec_{tG} context\langle term_2 \rangle_G$

<proof>

lemma *less_{tG}-subterm-property* [*simp*]:
 assumes *context* $\neq \square_G$
 shows *term* \prec_{tG} *context*(*term*)_G
 <proof>

lemma *less_t-less_{tG}* [*clause-simp*]:
 assumes *term.is-ground term₁* **and** *term.is-ground term₂*
 shows *term₁* \prec_t *term₂* \longleftrightarrow *term.to-ground term₁* \prec_{tG} *term.to-ground term₂*
 <proof>

lemma *less-eq_t-ground-subst-stability*:
 assumes *term.is-ground (term₁ · t γ)* *term.is-ground (term₂ · t γ)* *term₁* \preceq_t *term₂*
 shows *term₁ · t γ* \preceq_t *term₂ · t γ*
 <proof>

6.4 Literal ordering

lemmas *less_l-asymmetric* [*intro*] = *literal-order.asymp-on-less*[*of UNIV*]
lemmas *less_l-asymmetric-on* [*intro*] = *literal-order.asymp-on-less*

lemmas *less_l-transitive* [*intro*] = *literal-order.transp-on-less*[*of UNIV*]
lemmas *less_l-transitive-on* = *literal-order.transp-on-less*

lemmas *is-maximal_l-def* = *is-maximal-in-mset-wrt-iff*[*OF less_l-transitive-on less_l-asymmetric-on*]

lemmas *is-strictly-maximal_l-def* =
 is-strictly-maximal-in-mset-wrt-iff[*OF less_l-transitive-on less_l-asymmetric-on*]

lemmas *is-maximal_l-if-is-strictly-maximal_l* =
 is-maximal-in-mset-wrt-if-is-strictly-maximal-in-mset-wrt[*OF*
 less_l-transitive-on less_l-asymmetric-on
]

lemma *less_l-ground-subst-stability*:
 assumes
 literal.is-ground (literal · l γ)
 literal.is-ground (literal' · l γ)
 shows *literal* \prec_l *literal'* \implies *literal · l γ* \prec_l *literal' · l γ*
 <proof>

lemma *maximal_l-in-clause*:
 assumes *is-maximal_l literal clause*
 shows *literal* $\in\#$ *clause*
 <proof>

lemma *strictly-maximal_l-in-clause*:

assumes *is-strictly-maximal_l literal clause*
shows *literal ∈# clause*
⟨*proof*⟩

6.5 Clause ordering

lemmas *less_c-asymmetric [intro] = clause-order.asymp-on-less[of UNIV]*

lemmas *less_c-asymmetric-on [intro] = clause-order.asymp-on-less*

lemmas *less_c-transitive [intro] = clause-order.transp-on-less[of UNIV]*

lemmas *less_c-transitive-on [intro] = clause-order.transp-on-less*

lemma *less_c-ground-subst-stability:*

assumes

clause.is-ground (clause · γ)

clause.is-ground (clause' · γ)

shows *clause <_c clause' ⇒ clause · γ <_c clause' · γ*

⟨*proof*⟩

6.6 Grounding

sublocale *ground: ground-ordering (<_{tG})*

⟨*proof*⟩

notation *ground.less-lit (infix <_{lG} 50)*

notation *ground.less-cls (infix <_{cG} 50)*

notation *ground.lesseq-trm (infix ≲_{tG} 50)*

notation *ground.lesseq-lit (infix ≲_{lG} 50)*

notation *ground.lesseq-cls (infix ≲_{cG} 50)*

lemma *not-less-eq_{tG}: ¬ term_{G2} ≲_{tG} term_{G1} ⇔ term_{G1} <_{tG} term_{G2}*

⟨*proof*⟩

lemma *less-eq_t-less-eq_{tG}:*

assumes *term.is-ground term₁ and term.is-ground term₂*

shows *term₁ ≲_t term₂ ⇔ term.to-ground term₁ ≲_{tG} term.to-ground term₂*

⟨*proof*⟩

lemma *less-eq_{tG}-less-eq_t:*

term_{G1} ≲_{tG} term_{G2} ⇔ term.from-ground term_{G1} ≲_t term.from-ground term_{G2}

⟨*proof*⟩

lemma *not-less-eq_t:*

assumes *term.is-ground term₁ and term.is-ground term₂*

shows *¬ term₂ ≲_t term₁ ⇔ term₁ <_t term₂*

⟨*proof*⟩

lemma *less_{lG}-less_l:*

literal_{G1} <_{lG} literal_{G2} ⇔ literal.from-ground literal_{G1} <_l literal.from-ground literal_{G2}

<proof>

lemma *less_l-less_{lG}*:

assumes *literal.is-ground literal₁ literal.is-ground literal₂*

shows *literal₁ <_l literal₂ \longleftrightarrow literal.to-ground literal₁ <_{lG} literal.to-ground literal₂*

<proof>

lemma *less-eq_l-less-eq_{lG}*:

assumes *literal.is-ground literal₁ and literal.is-ground literal₂*

shows *literal₁ \preceq_l literal₂ \longleftrightarrow literal.to-ground literal₁ \preceq_{lG} literal.to-ground literal₂*

<proof>

lemma *less-eq_{lG}-less-eq_l*:

literal_{G1} \preceq_{lG} literal_{G2} \longleftrightarrow literal.from-ground literal_{G1} \preceq_l literal.from-ground literal_{G2}

<proof>

lemma *maximal-lit-in-clause*:

assumes *ground.is-maximal-lit literal_G clause_G*

shows *literal_G $\in\#$ clause_G*

<proof>

lemma *is-maximal_l-empty [simp]*:

assumes *is-maximal_l literal {#}*

shows *False*

<proof>

lemma *is-strictly-maximal_l-empty [simp]*:

assumes *is-strictly-maximal_l literal {#}*

shows *False*

<proof>

lemma *is-maximal-lit-iff-is-maximal_l*:

ground.is-maximal-lit literal_G clause_G \longleftrightarrow

is-maximal_l (literal.from-ground literal_G) (clause.from-ground clause_G)

<proof>

lemma *is-strictly-maximal_{G1}-iff-is-strictly-maximal_l*:

ground.is-strictly-maximal-lit literal_G clause_G

\longleftrightarrow is-strictly-maximal_l (literal.from-ground literal_G) (clause.from-ground clause_G)

<proof>

lemma *not-less-eq_{lG}*: \neg *literal_{G2} \preceq_{lG} literal_{G1} \longleftrightarrow literal_{G1} <_{lG} literal_{G2}*

<proof>

lemma *not-less-eq_l*:

assumes *literal.is-ground literal₁ and literal.is-ground literal₂*

shows $\neg literal_2 \preceq_l literal_1 \longleftrightarrow literal_1 \prec_l literal_2$
 ⟨proof⟩

lemma *less_{cG}-less_c*:

clause_{G1} \prec_{cG} *clause_{G2}* \longleftrightarrow *clause.from-ground clause_{G1}* \prec_c *clause.from-ground clause_{G2}*
 ⟨proof⟩

lemma *less_c-less_{cG}*:

assumes *clause.is-ground clause₁* *clause.is-ground clause₂*
shows *clause₁* \prec_c *clause₂* \longleftrightarrow *clause.to-ground clause₁* \prec_{cG} *clause.to-ground clause₂*
 ⟨proof⟩

lemma *less-eq_c-less-eq_{cG}*:

assumes *clause.is-ground clause₁* **and** *clause.is-ground clause₂*
shows *clause₁* \preceq_c *clause₂* \longleftrightarrow *clause.to-ground clause₁* \preceq_{cG} *clause.to-ground clause₂*
 ⟨proof⟩

lemma *less-eq_{cG}-less-eq_c*:

clause_{G1} \preceq_{cG} *clause_{G2}* \longleftrightarrow *clause.from-ground clause_{G1}* \preceq_c *clause.from-ground clause_{G2}*
 ⟨proof⟩

lemma *not-less-eq_{cG}*: $\neg clause_{G2} \preceq_{cG} clause_{G1} \longleftrightarrow clause_{G1} \prec_{cG} clause_{G2}$
 ⟨proof⟩

lemma *not-less-eq_c*:

assumes *clause.is-ground clause₁* **and** *clause.is-ground clause₂*
shows $\neg clause_2 \preceq_c clause_1 \longleftrightarrow clause_1 \prec_c clause_2$
 ⟨proof⟩

lemma *less_t-ground-context-compatible'*:

assumes
context.is-ground context
term.is-ground term
term.is-ground term'
context⟨term⟩ \prec_t *context⟨term'⟩*
shows *term* \prec_t *term'*
 ⟨proof⟩

lemma *less_t-ground-context-compatible-iff*:

assumes
context.is-ground context
term.is-ground term
term.is-ground term'
shows *context⟨term⟩* \prec_t *context⟨term'⟩* \longleftrightarrow *term* \prec_t *term'*

$\langle \text{proof} \rangle$

6.7 Stability under ground substitution

lemma *less_t-less-eq_t-ground-subst-stability*:

assumes

term.is-ground ($\text{term}_1 \cdot t \ \gamma$)

term.is-ground ($\text{term}_2 \cdot t \ \gamma$)

$\text{term}_1 \cdot t \ \gamma \prec_t \text{term}_2 \cdot t \ \gamma$

shows

$\neg \text{term}_2 \preceq_t \text{term}_1$

$\langle \text{proof} \rangle$

lemma *less-eq_l-ground-subst-stability*:

assumes

literal.is-ground ($\text{literal}_1 \cdot l \ \gamma$)

literal.is-ground ($\text{literal}_2 \cdot l \ \gamma$)

$\text{literal}_1 \preceq_l \text{literal}_2$

shows $\text{literal}_1 \cdot l \ \gamma \preceq_l \text{literal}_2 \cdot l \ \gamma$

$\langle \text{proof} \rangle$

lemma *less_l-less-eq_l-ground-subst-stability*: **assumes**

literal.is-ground ($\text{literal}_1 \cdot l \ \gamma$)

literal.is-ground ($\text{literal}_2 \cdot l \ \gamma$)

$\text{literal}_1 \cdot l \ \gamma \prec_l \text{literal}_2 \cdot l \ \gamma$

shows

$\neg \text{literal}_2 \preceq_l \text{literal}_1$

$\langle \text{proof} \rangle$

lemma *less-eq_c-ground-subst-stability*:

assumes

clause.is-ground ($\text{clause}_1 \cdot \gamma$)

clause.is-ground ($\text{clause}_2 \cdot \gamma$)

$\text{clause}_1 \preceq_c \text{clause}_2$

shows $\text{clause}_1 \cdot \gamma \preceq_c \text{clause}_2 \cdot \gamma$

$\langle \text{proof} \rangle$

lemma *less_c-less-eq_c-ground-subst-stability*: **assumes**

clause.is-ground ($\text{clause}_1 \cdot \gamma$)

clause.is-ground ($\text{clause}_2 \cdot \gamma$)

$\text{clause}_1 \cdot \gamma \prec_c \text{clause}_2 \cdot \gamma$

shows

$\neg \text{clause}_2 \preceq_c \text{clause}_1$

$\langle \text{proof} \rangle$

lemma *is-maximal_l-ground-subst-stability*:

assumes

clause-not-empty: $\text{clause} \neq \{\#\}$ **and**

clause-grounding: *clause.is-ground* ($\text{clause} \cdot \gamma$)

obtains *literal*
where *is-maximal_l literal clause is-maximal_l (literal · l γ) (clause · γ)*
⟨*proof*⟩

lemma *is-maximal_l-ground-subst-stability'*:

assumes
literal ∈# clause
clause.is-ground (clause · γ)
is-maximal_l (literal · l γ) (clause · γ)

shows
is-maximal_l literal clause
⟨*proof*⟩

lemma *less_l-total-on [intro]: totalp-on (literal.from-ground ‘ literals_G) (<_l)*
⟨*proof*⟩

lemmas *less_l-total-on-set-mset =*
less_l-total-on[THEN totalp-on-subset, OF clause.to-set-from-ground[THEN equalityD1]]

lemma *less_c-total-on: totalp-on (clause.from-ground ‘ clauses) (<_c)*
⟨*proof*⟩

lemma *unique-maximal-in-ground-clause:*

assumes
clause.is-ground clause
is-maximal_l literal clause
is-maximal_l literal' clause

shows
literal = literal'
⟨*proof*⟩

lemma *unique-strictly-maximal-in-ground-clause:*

assumes
clause.is-ground clause
is-strictly-maximal_l literal clause
is-strictly-maximal_l literal' clause

shows
literal = literal'
⟨*proof*⟩

lemma *is-strictly-maximal_l-ground-subst-stability:*

assumes
clause-grounding: clause.is-ground (clause · γ) and
ground-strictly-maximal: is-strictly-maximal_l literal_G (clause · γ)

obtains *literal where*
is-strictly-maximal_l literal clause literal · l γ = literal_G
⟨*proof*⟩

lemma *is-strictly-maximal_l-ground-subst-stability'*:

assumes

literal $\in \#$ *clause*

clause.is-ground (*clause* \cdot γ)

is-strictly-maximal_l (*literal* \cdot *l* γ) (*clause* \cdot γ)

shows

is-strictly-maximal_l *literal* *clause*

\langle *proof* \rangle

lemma *less_t-less_l*:

assumes *term*₁ \prec_t *term*₂

shows

*term*₁ \approx *term*₃ \prec_l *term*₂ \approx *term*₃

*term*₁ $\! \approx$ *term*₃ \prec_l *term*₂ $\! \approx$ *term*₃

\langle *proof* \rangle

lemma *less_t-less_l'*:

assumes

\forall *term* \in *set-uprod* (*atm-of literal*). *term* \cdot *t* σ' \preceq_t *term* \cdot *t* σ

\exists *term* \in *set-uprod* (*atm-of literal*). *term* \cdot *t* σ' \prec_t *term* \cdot *t* σ

shows *literal* \cdot *l* σ' \prec_l *literal* \cdot *l* σ

\langle *proof* \rangle

lemmas *less_c-add-mset = multp-add-mset-reflclp*[*OF less_l-asymmetric less_l-transitive, folded less_c-def*]

lemmas *less_c-add-same = multp-add-same*[*OF less_l-asymmetric less_l-transitive, folded less_c-def*]

lemma *less-eq_l-less-eq_c*:

assumes \forall *literal* $\in \#$ *clause*. *literal* \cdot *l* σ' \preceq_l *literal* \cdot *l* σ

shows *clause* \cdot σ' \preceq_c *clause* \cdot σ

\langle *proof* \rangle

lemma *less_l-less_c*:

assumes

\forall *literal* $\in \#$ *clause*. *literal* \cdot *l* σ' \preceq_l *literal* \cdot *l* σ

\exists *literal* $\in \#$ *clause*. *literal* \cdot *l* σ' \prec_l *literal* \cdot *l* σ

shows *clause* \cdot σ' \prec_c *clause* \cdot σ

\langle *proof* \rangle

6.8 Substitution update

lemma *less_t-subst-upd*:

fixes $\gamma :: ('f, 'v)$ *subst*

assumes

update-is-ground: *term.is-ground* *update* **and**

update-less: *update* \prec_t γ *var* **and**

term-grounding: *term.is-ground* (*term* \cdot *t* γ) **and**

```

    var: var ∈ term.vars term
shows term · t γ(var := update) <t term · t γ
⟨proof⟩

lemma lessl-subst-upd:
fixes γ :: ('f, 'v) subst
assumes
  update-is-ground: term.is-ground update and
  update-less: update <t γ var and
  literal-grounding: literal.is-ground (literal · l γ) and
  var: var ∈ literal.vars literal
shows literal · l γ(var := update) <l literal · l γ
⟨proof⟩

lemma lessc-subst-upd:
assumes
  update-is-ground: term.is-ground update and
  update-less: update <t γ var and
  literal-grounding: clause.is-ground (clause · γ) and
  var: var ∈ clause.vars clause
shows clause · γ(var := update) <c clause · γ
⟨proof⟩

end

end
theory First-Order-Superposition
imports
  Saturation-Framework.Lifting-to-Non-Ground-Calculi
  Ground-Superposition
  First-Order-Select
  First-Order-Ordering
  First-Order-Type-System
begin

hide-type Inference-System.inference
hide-const
  Inference-System.Infer
  Inference-System.premis-of
  Inference-System.concl-of
  Inference-System.main-prem-of

hide-fact
  Restricted-Predicates.wfp-on-imp-minimal
  Restricted-Predicates.wfp-on-imp-inductive-on
  Restricted-Predicates.inductive-on-imp-wfp-on
  Restricted-Predicates.wfp-on-iff-inductive-on
  Restricted-Predicates.wfp-on-iff-minimal

```

Restricted-Predicates.wfp-on-imp-has-min-elt
Restricted-Predicates.wfp-on-induct
Restricted-Predicates.wfp-on-UNIV
Restricted-Predicates.wfp-less
Restricted-Predicates.wfp-on-measure-on
Restricted-Predicates.wfp-on-mono
Restricted-Predicates.wfp-on-subset
Restricted-Predicates.wfp-on-restrict-to
Restricted-Predicates.wfp-on-imp-irreflp-on
Restricted-Predicates.accessible-on-imp-wfp-on
Restricted-Predicates.wfp-on-tranclp-imp-wfp-on
Restricted-Predicates.wfp-on-imp-accessible-on
Restricted-Predicates.wfp-on-accessible-on-iff
Restricted-Predicates.wfp-on-restrict-to-tranclp
Restricted-Predicates.wfp-on-restrict-to-tranclp'
Restricted-Predicates.wfp-on-restrict-to-tranclp-wfp-on-conv

7 First-Order Layer

locale *first-order-superposition-calculus* =
first-order-select select +
first-order-ordering less_t
for
select :: ('f, ('v :: infinite)) *select* **and**
less_t :: ('f, 'v) *term* ⇒ ('f, 'v) *term* ⇒ bool (**infix** <_t 50) +
fixes
tiebreakers :: 'f *gatom clause* ⇒ ('f, 'v) *atom clause* ⇒ ('f, 'v) *atom clause* ⇒
bool and
typeof-fun :: ('f, 'ty) *fun-types*
assumes
wellfounded-tiebreakers:
 $\bigwedge clause_G. wfp (tiebreakers clause_G) \wedge$
 $transp (tiebreakers clause_G) \wedge$
 $asymp (tiebreakers clause_G)$ **and**
function-symbols: $\bigwedge \tau. \exists f. typeof-fun f = ([], \tau)$ **and**
ground-critical-pair-theorem: $\bigwedge (R :: 'f gterm rel). ground-critical-pair-theorem$
R and
variables: $|UNIV :: 'ty set| \leq o |UNIV :: 'v set|$
begin
abbreviation *typed-tiebreakers* ::
'f gatom clause ⇒ ('f, 'v, 'ty) *typed-clause* ⇒ ('f, 'v, 'ty) *typed-clause* ⇒ bool
where
typed-tiebreakers clause_G clause₁ clause₂ ≡ *tiebreakers clause_G (fst clause₁) (fst clause₂)*
lemma *wellfounded-typed-tiebreakers*:
 $wfp (typed-tiebreakers clause_G) \wedge$
 $transp (typed-tiebreakers clause_G) \wedge$

asymp (*typed-tiebreakers clause_G*)
 ⟨*proof*⟩

definition *is-merged-var-type-env* **where**

is-merged-var-type-env $\mathcal{V} X \mathcal{V}_X \varrho_X Y \mathcal{V}_Y \varrho_Y \equiv$
 $(\forall x \in X. \text{welltyped typeof-fun } \mathcal{V} (\varrho_X x) (\mathcal{V}_X x)) \wedge$
 $(\forall y \in Y. \text{welltyped typeof-fun } \mathcal{V} (\varrho_Y y) (\mathcal{V}_Y y))$

inductive *eq-resolution* :: (*f*, *v*, *ty*) *typed-clause* \Rightarrow (*f*, *v*, *ty*) *typed-clause* \Rightarrow
bool **where**

eq-resolutionI:

premise = *add-mset literal premise'* \Longrightarrow
literal = *term* \approx *term'* \Longrightarrow
term-subst.is-ingu $\mu \{\{ \textit{term}, \textit{term}' \}\} \Longrightarrow$
welltyped-ingu' typeof-fun $\mathcal{V} \textit{term} \textit{term}' \mu \Longrightarrow$
select premise = $\{\#\}$ \wedge *is-maximal_l* (*literal* \cdot *l* μ) (*premise* \cdot μ) \vee
is-maximal_l (*literal* \cdot *l* μ) ((*select premise*) \cdot μ) \Longrightarrow
conclusion = *premise'* \cdot $\mu \Longrightarrow$
eq-resolution (*premise*, \mathcal{V}) (*conclusion*, \mathcal{V})

inductive *eq-factoring* :: (*f*, *v*, *ty*) *typed-clause* \Rightarrow (*f*, *v*, *ty*) *typed-clause* \Rightarrow
bool **where**

eq-factoringI:

premise = *add-mset literal₁ (add-mset literal₂ premise')* \Longrightarrow
literal₁ = *term₁* \approx *term₁'* \Longrightarrow
literal₂ = *term₂* \approx *term₂'* \Longrightarrow
select premise = $\{\#\}$ \Longrightarrow
is-maximal_l (*literal₁* \cdot *l* μ) (*premise* \cdot μ) \Longrightarrow
 \neg (*term₁* \cdot *t* $\mu \preceq_t$ *term₁'* \cdot *t* μ) \Longrightarrow
term-subst.is-ingu $\mu \{\{ \textit{term}_1, \textit{term}_2 \}\} \Longrightarrow$
welltyped-ingu' typeof-fun $\mathcal{V} \textit{term}_1 \textit{term}_2 \mu \Longrightarrow$
conclusion = *add-mset (term₁ \approx term₂) (add-mset (term₁' \approx term₂') premise')*
 \cdot $\mu \Longrightarrow$
eq-factoring (*premise*, \mathcal{V}) (*conclusion*, \mathcal{V})

inductive *superposition* ::

(*f*, *v*, *ty*) *typed-clause* \Rightarrow (*f*, *v*, *ty*) *typed-clause* \Rightarrow (*f*, *v*, *ty*) *typed-clause* \Rightarrow
bool

where

superpositionI:

term-subst.is-renaming $\varrho_1 \Longrightarrow$
term-subst.is-renaming $\varrho_2 \Longrightarrow$
clause.vars (premise₁ \cdot ϱ_1) \cap *clause.vars (premise₂ \cdot ϱ_2)* = $\{\}$ \Longrightarrow
premise₁ = *add-mset literal₁ premise₁'* \Longrightarrow
premise₂ = *add-mset literal₂ premise₂'* \Longrightarrow
 $\mathcal{P} \in \{\textit{Pos}, \textit{Neg}\} \Longrightarrow$
literal₁ = \mathcal{P} (*Upair context₁* \langle *term₁* \rangle *term₁'*) \Longrightarrow
literal₂ = *term₂* \approx *term₂'* \Longrightarrow
 \neg *is-Var term₁* \Longrightarrow

$$\begin{aligned}
& \text{term-subst.is-imgu } \mu \{ \{ \text{term}_1 \cdot t \varrho_1, \text{term}_2 \cdot t \varrho_2 \} \} \implies \\
& \text{welltyped-imgu' typeof-fun } \mathcal{V}_3 (\text{term}_1 \cdot t \varrho_1) (\text{term}_2 \cdot t \varrho_2) \mu \implies \\
& \forall x \in \text{clause.vars } (\text{premise}_1 \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \forall x \in \text{clause.vars } (\text{premise}_2 \cdot \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{premise}_1) \text{ typeof-fun } \mathcal{V}_1 \varrho_1 \implies \\
& \text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{premise}_2) \text{ typeof-fun } \mathcal{V}_2 \varrho_2 \implies \\
& (\wedge \tau \tau'. \text{has-type typeof-fun } \mathcal{V}_2 \text{ term}_2 \tau \implies \text{has-type typeof-fun } \mathcal{V}_2 \text{ term}_2' \tau' \\
\implies \tau = \tau') \implies \\
& \neg (\text{premise}_1 \cdot \varrho_1 \cdot \mu \preceq_c \text{premise}_2 \cdot \varrho_2 \cdot \mu) \implies \\
& (\mathcal{P} = \text{Pos} \\
& \quad \wedge \text{select } \text{premise}_1 = \{ \# \} \\
& \quad \wedge \text{is-strictly-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) (\text{premise}_1 \cdot \varrho_1 \cdot \mu)) \vee \\
& (\mathcal{P} = \text{Neg} \\
& \quad \wedge (\text{select } \text{premise}_1 = \{ \# \} \wedge \text{is-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) (\text{premise}_1 \cdot \varrho_1 \cdot \\
\mu)) \\
& \quad \vee \text{is-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) ((\text{select } \text{premise}_1) \cdot \varrho_1 \cdot \mu)) \implies \\
& \text{select } \text{premise}_2 = \{ \# \} \implies \\
& \text{is-strictly-maximal}_l (\text{literal}_2 \cdot l \varrho_2 \cdot l \mu) (\text{premise}_2 \cdot \varrho_2 \cdot \mu) \implies \\
& \neg (\text{context}_1 (\text{term}_1) \cdot t \varrho_1 \cdot t \mu \preceq_t \text{term}_1' \cdot t \varrho_1 \cdot t \mu) \implies \\
& \neg (\text{term}_2 \cdot t \varrho_2 \cdot t \mu \preceq_t \text{term}_2' \cdot t \varrho_2 \cdot t \mu) \implies \\
& \text{conclusion} = \text{add-mset } (\mathcal{P} (\text{Upair } (\text{context}_1 \cdot t_c \varrho_1) (\text{term}_2' \cdot t \varrho_2) (\text{term}_1' \cdot t \varrho_1))) \\
& \\
& (\text{premise}_1' \cdot \varrho_1 + \text{premise}_2' \cdot \varrho_2) \cdot \mu \implies \\
& \text{all-types } \mathcal{V}_1 \implies \text{all-types } \mathcal{V}_2 \implies \\
& \text{superposition } (\text{premise}_2, \mathcal{V}_2) (\text{premise}_1, \mathcal{V}_1) (\text{conclusion}, \mathcal{V}_3)
\end{aligned}$$

abbreviation *eq-factoring-inferences* **where**

$$\begin{aligned}
& \text{eq-factoring-inferences} \equiv \\
& \{ \text{Infer } [\text{premise}] \text{ conclusion} \mid \text{premise conclusion. eq-factoring premise conclusion} \\
& \}
\end{aligned}$$

abbreviation *eq-resolution-inferences* **where**

$$\begin{aligned}
& \text{eq-resolution-inferences} \equiv \\
& \{ \text{Infer } [\text{premise}] \text{ conclusion} \mid \text{premise conclusion. eq-resolution premise conclu-} \\
& \text{sion} \}
\end{aligned}$$

abbreviation *superposition-inferences* **where**

$$\begin{aligned}
& \text{superposition-inferences} \equiv \{ \text{Infer } [\text{premise}_2, \text{premise}_1] \text{ conclusion} \\
& \mid \text{premise}_2 \text{ premise}_1 \text{ conclusion. superposition premise}_2 \text{ premise}_1 \text{ conclusion} \}
\end{aligned}$$

definition *inferences* :: (*f*, *v*, *ty*) *typed-clause inference set* **where**

$$\text{inferences} \equiv \text{superposition-inferences} \cup \text{eq-resolution-inferences} \cup \text{eq-factoring-inferences}$$

abbreviation *bottom_F* :: (*f*, *v*, *ty*) *typed-clause set* (\perp_F) **where**

$$\text{bottom}_F \equiv \{ (\{ \# \}, \mathcal{V}) \mid \mathcal{V}. \text{all-types } \mathcal{V} \}$$

7.0.1 Alternative Specification of the Superposition Rule

inductive *pos-superposition* ::

$(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow bool

where

pos-superpositionI:

$term\text{-}subst.is\text{-}renaming\ \varrho_1 \Longrightarrow$
 $term\text{-}subst.is\text{-}renaming\ \varrho_2 \Longrightarrow$
 $clause.vars\ (P_1 \cdot \varrho_1) \cap clause.vars\ (P_2 \cdot \varrho_2) = \{\} \Longrightarrow$
 $P_1 = add\text{-}mset\ L_1\ P_1' \Longrightarrow$
 $P_2 = add\text{-}mset\ L_2\ P_2' \Longrightarrow$
 $L_1 = s_1\langle u_1 \rangle \approx s_1' \Longrightarrow$
 $L_2 = t_2 \approx t_2' \Longrightarrow$
 $\neg is\text{-}Var\ u_1 \Longrightarrow$
 $term\text{-}subst.is\text{-}imgu\ \mu\ \{\{u_1 \cdot t\ \varrho_1, t_2 \cdot t\ \varrho_2\}\} \Longrightarrow$
 $welltyped\text{-}imgu'\ typeof\text{-}fun\ \mathcal{V}_3\ (u_1 \cdot t\ \varrho_1)\ (t_2 \cdot t\ \varrho_2)\ \mu \Longrightarrow$
 $\forall x \in clause.vars\ (P_1 \cdot \varrho_1). \mathcal{V}_1\ (the\text{-}inv\ \varrho_1\ (Var\ x)) = \mathcal{V}_3\ x \Longrightarrow$
 $\forall x \in clause.vars\ (P_2 \cdot \varrho_2). \mathcal{V}_2\ (the\text{-}inv\ \varrho_2\ (Var\ x)) = \mathcal{V}_3\ x \Longrightarrow$
 $welltyped_{\sigma}\text{-}on\ (clause.vars\ P_1)\ typeof\text{-}fun\ \mathcal{V}_1\ \varrho_1 \Longrightarrow$
 $welltyped_{\sigma}\text{-}on\ (clause.vars\ P_2)\ typeof\text{-}fun\ \mathcal{V}_2\ \varrho_2 \Longrightarrow$
 $(\bigwedge \tau\ \tau'. has\text{-}type\ typeof\text{-}fun\ \mathcal{V}_2\ t_2\ \tau \Longrightarrow has\text{-}type\ typeof\text{-}fun\ \mathcal{V}_2\ t_2'\ \tau' \Longrightarrow \tau = \tau') \Longrightarrow$
 $\neg (P_1 \cdot \varrho_1 \cdot \mu \preceq_c P_2 \cdot \varrho_2 \cdot \mu) \Longrightarrow$
 $select\ P_1 = \{\#\} \Longrightarrow$
 $is\text{-}strictly\text{-}maximal_l\ (L_1 \cdot l\ \varrho_1 \cdot l\ \mu)\ (P_1 \cdot \varrho_1 \cdot \mu) \Longrightarrow$
 $select\ P_2 = \{\#\} \Longrightarrow$
 $is\text{-}strictly\text{-}maximal_l\ (L_2 \cdot l\ \varrho_2 \cdot l\ \mu)\ (P_2 \cdot \varrho_2 \cdot \mu) \Longrightarrow$
 $\neg (s_1\langle u_1 \rangle \cdot t\ \varrho_1 \cdot t\ \mu \preceq_t s_1' \cdot t\ \varrho_1 \cdot t\ \mu) \Longrightarrow$
 $\neg (t_2 \cdot t\ \varrho_2 \cdot t\ \mu \preceq_t t_2' \cdot t\ \varrho_2 \cdot t\ \mu) \Longrightarrow$
 $C = add\text{-}mset\ ((s_1 \cdot t_c\ \varrho_1)\langle t_2' \cdot t\ \varrho_2 \rangle \approx (s_1' \cdot t\ \varrho_1))\ (P_1' \cdot \varrho_1 + P_2' \cdot \varrho_2) \cdot \mu \Longrightarrow$
 $all\text{-}types\ \mathcal{V}_1 \Longrightarrow all\text{-}types\ \mathcal{V}_2 \Longrightarrow$
 $pos\text{-}superposition\ (P_2, \mathcal{V}_2)\ (P_1, \mathcal{V}_1)\ (C, \mathcal{V}_3)$

lemma *superposition-if-pos-superposition*:

assumes *pos-superposition* $P_2\ P_1\ C$

shows *superposition* $P_2\ P_1\ C$

<proof>

inductive *neg-superposition* ::

$(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow bool

where

neg-superpositionI:

$term\text{-}subst.is\text{-}renaming\ \varrho_1 \Longrightarrow$
 $term\text{-}subst.is\text{-}renaming\ \varrho_2 \Longrightarrow$
 $clause.vars\ (P_1 \cdot \varrho_1) \cap clause.vars\ (P_2 \cdot \varrho_2) = \{\} \Longrightarrow$
 $P_1 = add\text{-}mset\ L_1\ P_1' \Longrightarrow$
 $P_2 = add\text{-}mset\ L_2\ P_2' \Longrightarrow$
 $L_1 = s_1\langle u_1 \rangle !\approx s_1' \Longrightarrow$
 $L_2 = t_2 \approx t_2' \Longrightarrow$
 $\neg is\text{-}Var\ u_1 \Longrightarrow$

$$\begin{aligned}
& \text{term-subst.is-ingu } \mu \{ \{u_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\} \} \implies \\
& \text{welltyped-ingu' typeof-fun } \mathcal{V}_3 (u_1 \cdot t \varrho_1) (t_2 \cdot t \varrho_2) \mu \implies \\
& \forall x \in \text{clause.vars } (P_1 \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \forall x \in \text{clause.vars } (P_2 \cdot \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \text{welltyped}_\sigma\text{-on } (\text{clause.vars } P_1) \text{ typeof-fun } \mathcal{V}_1 \varrho_1 \implies \\
& \text{welltyped}_\sigma\text{-on } (\text{clause.vars } P_2) \text{ typeof-fun } \mathcal{V}_2 \varrho_2 \implies \\
& (\bigwedge \tau \tau'. \text{has-type typeof-fun } \mathcal{V}_2 t_2 \tau \implies \text{has-type typeof-fun } \mathcal{V}_2 t_2' \tau' \implies \tau = \\
& \tau') \implies \\
& \neg (P_1 \cdot \varrho_1 \cdot \mu \preceq_c P_2 \cdot \varrho_2 \cdot \mu) \implies \\
& \text{select } P_1 = \{ \# \} \wedge \\
& \quad \text{is-maximal}_l (L_1 \cdot l \varrho_1 \cdot l \mu) (P_1 \cdot \varrho_1 \cdot \mu) \vee \text{is-maximal}_l (L_1 \cdot l \varrho_1 \cdot l \mu) ((\text{select} \\
& P_1) \cdot \varrho_1 \cdot \mu) \implies \\
& \text{select } P_2 = \{ \# \} \implies \\
& \text{is-strictly-maximal}_l (L_2 \cdot l \varrho_2 \cdot l \mu) (P_2 \cdot \varrho_2 \cdot \mu) \implies \\
& \neg (s_1 \langle u_1 \rangle \cdot t \varrho_1 \cdot t \mu \preceq_t s_1' \cdot t \varrho_1 \cdot t \mu) \implies \\
& \neg (t_2 \cdot t \varrho_2 \cdot t \mu \preceq_t t_2' \cdot t \varrho_2 \cdot t \mu) \implies \\
& C = \text{add-mset } (\text{Neg } (\text{Upair } (s_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (s_1' \cdot t \varrho_1))) (P_1' \cdot \varrho_1 + P_2' \cdot \\
& \varrho_2) \cdot \mu \implies \\
& \text{all-types } \mathcal{V}_1 \implies \text{all-types } \mathcal{V}_2 \implies \\
& \text{neg-superposition } (P_2, \mathcal{V}_2) (P_1, \mathcal{V}_1) (C, \mathcal{V}_3)
\end{aligned}$$

lemma *superposition-if-neg-superposition:*

assumes *neg-superposition* $P_2 P_1 C$

shows *superposition* $P_2 P_1 C$

<proof>

lemma *superposition-iff-pos-or-neg:*

superposition $P_2 P_1 C \iff \text{pos-superposition } P_2 P_1 C \vee \text{neg-superposition } P_2 P_1 C$

<proof>

lemma *eq-resolution-preserves-typing:*

assumes

step: eq-resolution $(D, \mathcal{V}) (C, \mathcal{V})$ **and**

wt-D: welltyped_c typeof-fun $\mathcal{V} D$

shows *welltyped_c typeof-fun* $\mathcal{V} C$

<proof>

lemma *has-type-welltyped:*

assumes *has-type typeof-fun* \mathcal{V} *term* τ *welltyped typeof-fun* \mathcal{V} *term* τ'

shows *welltyped typeof-fun* \mathcal{V} *term* τ

<proof>

lemma *welltyped-has-type:*

assumes *welltyped typeof-fun* \mathcal{V} *term* τ

shows *has-type typeof-fun* \mathcal{V} *term* τ

<proof>

lemma *eq-factoring-preserves-typing:*

```

assumes
  step: eq-factoring (D, V) (C, V) and
  wt-D: welltypedc typeof-fun V D
shows welltypedc typeof-fun V C
  ⟨proof⟩

lemma superposition-preserves-typing:
assumes
  step: superposition (D, V2) (C, V1) (E, V3) and
  wt-C: welltypedc typeof-fun V1 C and
  wt-D: welltypedc typeof-fun V2 D
shows welltypedc typeof-fun V3 E
  ⟨proof⟩

end

end
theory Grounded-First-Order-Superposition
imports
  First-Order-Superposition
  Ground-Superposition-Completeness
begin

context ground-superposition-calculus
begin

abbreviation eq-resolution-inferences where
  eq-resolution-inferences ≡ {Infer [P] C | P C. ground-eq-resolution P C}

abbreviation eq-factoring-inferences where
  eq-factoring-inferences ≡ {Infer [P] C | P C. ground-eq-factoring P C}

abbreviation superposition-inferences where
  superposition-inferences ≡ {Infer [P2, P1] C | P1 P2 C. ground-superposition
  P2 P1 C}

end

locale grounded-first-order-superposition-calculus =
  first-order-superposition-calculus select - - typeof-fun +
  grounded-first-order-select select
for
  select :: ('f, 'v :: infinite) select and
  typeof-fun :: ('f, 'ty) fun-types
begin

sublocale ground: ground-superposition-calculus where
  less-trm = (<tG) and select = selectG
  ⟨proof⟩

```


definition *is-inference-grounding* where

$$\begin{aligned}
& \textit{is-inference-grounding } \iota \ \iota_G \ \gamma \ \varrho_1 \ \varrho_2 \equiv \\
& \quad (\textit{case } \iota \textit{ of} \\
& \quad \quad \textit{Infer } [(\textit{premise}, \mathcal{V}')] (\textit{conclusion}, \mathcal{V}) \Rightarrow \\
& \quad \quad \quad \textit{term-subst.is-ground-subst } \gamma \\
& \quad \quad \wedge \ \iota_G = \textit{Infer } [\textit{clause.to-ground } (\textit{premise} \cdot \gamma)] (\textit{clause.to-ground } (\textit{conclusion} \\
& \quad \cdot \gamma)) \\
& \quad \quad \wedge \ \textit{welltyped}_c \ \textit{typeof-fun } \mathcal{V} \ \textit{premise} \\
& \quad \quad \wedge \ \textit{welltyped}_\sigma\text{-on } (\textit{clause.vars } \textit{conclusion}) \ \textit{typeof-fun } \mathcal{V} \ \gamma \\
& \quad \quad \wedge \ \textit{welltyped}_c \ \textit{typeof-fun } \mathcal{V} \ \textit{conclusion} \\
& \quad \quad \wedge \ \mathcal{V} = \mathcal{V}' \\
& \quad \quad \wedge \ \textit{all-types } \mathcal{V} \\
& \quad | \ \textit{Infer } [(\textit{premise}_2, \mathcal{V}_2), (\textit{premise}_1, \mathcal{V}_1)] (\textit{conclusion}, \mathcal{V}_3) \Rightarrow \\
& \quad \quad \textit{term-subst.is-renaming } \varrho_1 \\
& \quad \quad \wedge \ \textit{term-subst.is-renaming } \varrho_2 \\
& \quad \quad \wedge \ \textit{clause.vars } (\textit{premise}_1 \cdot \varrho_1) \cap \textit{clause.vars } (\textit{premise}_2 \cdot \varrho_2) = \{\} \\
& \quad \quad \wedge \ \textit{term-subst.is-ground-subst } \gamma \\
& \quad \quad \wedge \ \iota_G = \\
& \quad \quad \quad \textit{Infer} \\
& \quad \quad \quad \quad [\textit{clause.to-ground } (\textit{premise}_2 \cdot \varrho_2 \cdot \gamma), \textit{clause.to-ground } (\textit{premise}_1 \cdot \varrho_1 \cdot \\
& \quad \gamma)] \\
& \quad \quad \quad \quad (\textit{clause.to-ground } (\textit{conclusion} \cdot \gamma)) \\
& \quad \quad \wedge \ \textit{welltyped}_c \ \textit{typeof-fun } \mathcal{V}_1 \ \textit{premise}_1 \\
& \quad \quad \wedge \ \textit{welltyped}_c \ \textit{typeof-fun } \mathcal{V}_2 \ \textit{premise}_2 \\
& \quad \quad \wedge \ \textit{welltyped}_\sigma\text{-on } (\textit{clause.vars } \textit{conclusion}) \ \textit{typeof-fun } \mathcal{V}_3 \ \gamma \\
& \quad \quad \wedge \ \textit{welltyped}_c \ \textit{typeof-fun } \mathcal{V}_3 \ \textit{conclusion} \\
& \quad \quad \wedge \ \textit{all-types } \mathcal{V}_1 \wedge \ \textit{all-types } \mathcal{V}_2 \wedge \ \textit{all-types } \mathcal{V}_3 \\
& \quad | \ - \Rightarrow \textit{False} \\
& \quad) \\
& \wedge \ \iota_G \in \textit{ground.G-Inf}
\end{aligned}$$

definition *inference-groundings* where

$$\textit{inference-groundings } \iota = \{ \iota_G \mid \iota_G \ \gamma \ \varrho_1 \ \varrho_2. \ \textit{is-inference-grounding } \iota \ \iota_G \ \gamma \ \varrho_1 \ \varrho_2 \}$$

lemma *is-inference-grounding-inference-groundings*:

$$\textit{is-inference-grounding } \iota \ \iota_G \ \gamma \ \varrho_1 \ \varrho_2 \implies \iota_G \in \textit{inference-groundings } \iota \ \langle \textit{proof} \rangle$$

lemma *inference_G-concl-in-clause-grounding*:

assumes $\iota_G \in \textit{inference-groundings } \iota$

shows $\textit{concl-of } \iota_G \in \textit{clause-groundings typeof-fun } (\textit{concl-of } \iota)$

$\langle \textit{proof} \rangle$

lemma *inference_G-red-in-clause-grounding-of-concl*:

assumes $\iota_G \in \textit{inference-groundings } \iota$

shows $\iota_G \in \textit{ground.Red-I } (\textit{clause-groundings typeof-fun } (\textit{concl-of } \iota))$

$\langle \textit{proof} \rangle$

lemma *obtain-welltyped-ground-subst*:
obtains $\gamma :: ('f, 'v)$ *subst* **and** $\mathcal{F}_G :: ('f, 'ty)$ *fun-types*
where welltyped_σ *typeof-fun* \mathcal{V} γ *term-subst.is-ground-subst* γ
 \langle *proof* \rangle

lemma *welltyped $_\sigma$ -on-empty*: *welltyped $_\sigma$ -on* $\{\}$ \mathcal{F} \mathcal{V} σ
 \langle *proof* \rangle

sublocale *lifting*:
tiebreaker-lifting
 \perp_F
inferences
ground.G-Bot
ground.G-entails
ground.G-Inf
ground.GRed-I
ground.GRed-F
clause-groundings typeof-fun
(Some \circ inference-groundings)
typed-tiebreakers
 \langle *proof* \rangle

end

sublocale *first-order-superposition-calculus* \subseteq
lifting-intersection
inferences
 $\{\{\#\}\}$
select $_{G_s}$
ground-superposition-calculus.G-Inf ($\prec_t G$)
 λ -. *ground-superposition-calculus.G-entails*
ground-superposition-calculus.GRed-I ($\prec_t G$)
 λ -. *ground-superposition-calculus.GRed-F* ($\prec_t G$)
 \perp_F
 λ -. *clause-groundings typeof-fun*
 λ *select $_G$. Some \circ*
(grounded-first-order-superposition-calculus.inference-groundings (\prec_t) *select $_G$*
typeof-fun)
typed-tiebreakers
 \langle *proof* \rangle

end

theory *First-Order-Superposition-Completeness*
imports
Ground-Superposition-Completeness
Grounded-First-Order-Superposition
HOL-ex.Sketch-and-Explore
begin

lemma *welltyped_σ-on-term*:
assumes *welltyped_σ-on* (*term.vars term*) $\mathcal{F} \mathcal{V} \gamma$
shows *welltyped* $\mathcal{F} \mathcal{V}$ *term* $\tau \longleftrightarrow$ *welltyped* $\mathcal{F} \mathcal{V}$ (*term* $\cdot t$ γ) τ
<proof>

context *grounded-first-order-superposition-calculus*
begin

lemma *eq-resolution-lifting*:

fixes

premise_G conclusion_G :: *'f gatom clause* **and**
premise conclusion :: (*'f, 'v*) *atom clause* **and**
 γ :: (*'f, 'v*) *subst*

defines

premise_G [simp]: *premise_G* \equiv *clause.to-ground* (*premise* $\cdot \gamma$) **and**
conclusion_G [simp]: *conclusion_G* \equiv *clause.to-ground* (*conclusion* $\cdot \gamma$)

assumes

premise-grounding: *clause.is-ground* (*premise* $\cdot \gamma$) **and**
conclusion-grounding: *clause.is-ground* (*conclusion* $\cdot \gamma$) **and**
select: *clause.from-ground* (*select_G premise_G*) = (*select premise*) $\cdot \gamma$ **and**
ground-eq-resolution: *ground.ground-eq-resolution* *premise_G conclusion_G* **and**
typing:

welltyped_c typeof-fun \mathcal{V} *premise*
term-subst.is-ground-subst γ
welltyped_σ-on (*clause.vars premise*) *typeof-fun* $\mathcal{V} \gamma$
all-types \mathcal{V}

obtains *conclusion'*

where

eq-resolution (*premise*, \mathcal{V}) (*conclusion'*, \mathcal{V})

Infer [premise_G] conclusion_G ∈ inference-groundings (Infer [(premise, \mathcal{V})]
(*conclusion'*, \mathcal{V}))

conclusion' · γ = conclusion · γ

<proof>

lemma *eq-factoring-lifting*:

fixes

premise_G conclusion_G :: *'f gatom clause* **and**
premise conclusion :: (*'f, 'v*) *atom clause* **and**
 γ :: (*'f, 'v*) *subst*

defines

premise_G [simp]: *premise_G* \equiv *clause.to-ground* (*premise* $\cdot \gamma$) **and**
conclusion_G [simp]: *conclusion_G* \equiv *clause.to-ground* (*conclusion* $\cdot \gamma$)

assumes

premise-grounding: *clause.is-ground* (*premise* $\cdot \gamma$) **and**
conclusion-grounding: *clause.is-ground* (*conclusion* $\cdot \gamma$) **and**
select: *clause.from-ground* (*select_G premise_G*) = (*select premise*) $\cdot \gamma$ **and**
ground-eq-factoring: *ground.ground-eq-factoring* *premise_G conclusion_G* **and**

typing:
welltyped_c typeof-fun \mathcal{V} premise
term-subst.is-ground-subst γ
welltyped _{σ} -on (clause.vars premise) typeof-fun \mathcal{V} γ
all-types \mathcal{V}
obtains *conclusion'*
where
eq-factoring (premise, \mathcal{V}) (conclusion', \mathcal{V})
Infer [premise_G] conclusion_G \in inference-groundings (Infer [(premise, \mathcal{V})
(conclusion', \mathcal{V})])
conclusion' \cdot γ = conclusion \cdot γ
<proof>

lemma *if-subst-sth [clause-simp]: (if b then Pos else Neg) atom \cdot l ϱ =*
(if b then Pos else Neg) (atom \cdot a ϱ)
<proof>

lemma *superposition-lifting:*

fixes

*premise_{G1} premise_{G2} conclusion_G :: 'f gatom clause **and***
*premise₁ premise₂ conclusion :: ('f, 'v) atom clause **and***
 *γ ϱ_1 ϱ_2 :: ('f, 'v) subst **and***
 \mathcal{V}_1 \mathcal{V}_2

defines

*premise_{G1} [simp]: premise_{G1} \equiv clause.to-ground (premise₁ \cdot ϱ_1 \cdot γ) **and***
*premise_{G2} [simp]: premise_{G2} \equiv clause.to-ground (premise₂ \cdot ϱ_2 \cdot γ) **and***
*conclusion_G [simp]: conclusion_G \equiv clause.to-ground (conclusion \cdot γ) **and***
premise-groundings [simp]:
premise-groundings \equiv clause-groundings typeof-fun (premise₁, \mathcal{V}_1) \cup
*clause-groundings typeof-fun (premise₂, \mathcal{V}_2) **and***
 ι_G [simp]: $\iota_G \equiv$ Infer [premise_{G2}, premise_{G1}] conclusion_G

assumes

renaming:
term-subst.is-renaming ϱ_1
term-subst.is-renaming ϱ_2
*clause.vars (premise₁ \cdot ϱ_1) \cap clause.vars (premise₂ \cdot ϱ_2) = {} **and***
*premise₁-grounding: clause.is-ground (premise₁ \cdot ϱ_1 \cdot γ) **and***
*premise₂-grounding: clause.is-ground (premise₂ \cdot ϱ_2 \cdot γ) **and***
*conclusion-grounding: clause.is-ground (conclusion \cdot γ) **and***
select:
clause.from-ground (select_G premise_{G1}) = (select premise₁) \cdot ϱ_1 \cdot γ
*clause.from-ground (select_G premise_{G2}) = (select premise₂) \cdot ϱ_2 \cdot γ **and***
ground-superposition: ground.ground-superposition premise_{G2} premise_{G1} con-
*clusion_G **and***
*non-redundant: $\iota_G \notin$ ground.Red-I premise-groundings **and***
typing:
welltyped_c typeof-fun \mathcal{V}_1 premise₁

$welltyped_c \text{ typeof-fun } \mathcal{V}_2 \text{ premise}_2$
 $term\text{-subst.is-ground-subst } \gamma$
 $welltyped_{\sigma}\text{-on } (clause.vars \text{ premise}_1) \text{ typeof-fun } \mathcal{V}_1 (\varrho_1 \odot \gamma)$
 $welltyped_{\sigma}\text{-on } (clause.vars \text{ premise}_2) \text{ typeof-fun } \mathcal{V}_2 (\varrho_2 \odot \gamma)$
 $welltyped_{\sigma}\text{-on } (clause.vars \text{ premise}_1) \text{ typeof-fun } \mathcal{V}_1 \varrho_1$
 $welltyped_{\sigma}\text{-on } (clause.vars \text{ premise}_2) \text{ typeof-fun } \mathcal{V}_2 \varrho_2$
 $all\text{-types } \mathcal{V}_1 \text{ all-types } \mathcal{V}_2$
obtains $conclusion' \mathcal{V}_3$
where
 $superposition (premise_2, \mathcal{V}_2) (premise_1, \mathcal{V}_1) (conclusion', \mathcal{V}_3)$
 $\iota_G \in inference\text{-groundings } (Infer [(premise_2, \mathcal{V}_2), (premise_1, \mathcal{V}_1)] (conclusion', \mathcal{V}_3))$
 $conclusion' \cdot \gamma = conclusion \cdot \gamma$
 $\langle proof \rangle$

lemma *eq-resolution-ground-instance:*

assumes
 $\iota_G \in ground.eq\text{-resolution-inferences}$
 $\iota_G \in ground.Inf\text{-from-q } select_G (\bigcup (clause\text{-groundings typeof-fun ' premises}))$
 $subst\text{-stability-on typeof-fun premises}$
obtains ι **where**
 $\iota \in Inf\text{-from premises}$
 $\iota_G \in inference\text{-groundings } \iota$
 $\langle proof \rangle$

lemma *eq-factoring-ground-instance:*

assumes
 $\iota_G \in ground.eq\text{-factoring-inferences}$
 $\iota_G \in ground.Inf\text{-from-q } select_G (\bigcup (clause\text{-groundings typeof-fun ' premises}))$
 $subst\text{-stability-on typeof-fun premises}$
obtains ι **where**
 $\iota \in Inf\text{-from premises}$
 $\iota_G \in inference\text{-groundings } \iota$
 $\langle proof \rangle$

lemma *subst-compose-if:* $\sigma \odot (\lambda var. \text{if } var \in range\text{-vars}' \sigma \text{ then } \sigma_1 \text{ var else } \sigma_2$

$var) = \sigma \odot \sigma_1$
 $\langle proof \rangle$

lemma *subst-compose-if':*

assumes $range\text{-vars}' \sigma \cap range\text{-vars}' \sigma' = \{\}$
shows $\sigma \odot (\lambda var. \text{if } var \in range\text{-vars}' \sigma' \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = \sigma \odot \sigma_2$
 $\langle proof \rangle$

lemma *is-ground-subst-if:*

assumes $term\text{-subst.is-ground-subst } \gamma_1 \text{ term-subst.is-ground-subst } \gamma_2$
shows $term\text{-subst.is-ground-subst } (\lambda var. \text{if } b \text{ var then } \gamma_1 \text{ var else } \gamma_2 \text{ var})$
 $\langle proof \rangle$

lemma *superposition-ground-instance:*

assumes

$\iota_G \in \text{ground.superposition-inferences}$

$\iota_G \in \text{ground.Inf-from-q select}_G (\bigcup (\text{clause-groundings typeof-fun 'premisses}))$

$\iota_G \notin \text{ground.GRed-I} (\bigcup (\text{clause-groundings typeof-fun 'premisses}))$

subst-stability-on typeof-fun premisses

obtains ι **where**

$\iota \in \text{Inf-from premisses}$

$\iota_G \in \text{inference-groundings } \iota$

<proof>

lemma *ground-instances:*

assumes

$\iota_G \in \text{ground.Inf-from-q select}_G (\bigcup (\text{clause-groundings typeof-fun 'premisses}))$

$\iota_G \notin \text{ground.Red-I} (\bigcup (\text{clause-groundings typeof-fun 'premisses}))$

subst-stability-on typeof-fun premisses

obtains ι **where**

$\iota \in \text{Inf-from premisses}$

$\iota_G \in \text{inference-groundings } \iota$

<proof>

end

context *first-order-superposition-calculus*

begin

lemma *overapproximation:*

obtains select_G **where**

ground-Inf-overapproximated select_G premisses

is-grounding select_G

<proof>

sublocale *statically-complete-calculus* \perp_F *inferences entails- \mathcal{G} Red-I- \mathcal{G} Red-F- \mathcal{G}*

<proof>

end

end

8 Integration of IsaFoR Terms and the Knuth–Bendix Order

This theory implements the abstract interface for atoms and substitutions using the IsaFoR library.

theory *IsaFoR-Term-Copy*

imports

First-Order-Terms.Unification

HOL-Cardinals.Wellorder-Extension
Open-Induction.Restricted-Predicates
Knuth-Bendix-Order.KBO

begin

This part extends and integrates and the Knuth–Bendix order defined in lsaFoR.

```
record 'f weights =
  w :: 'f × nat ⇒ nat
  w0 :: nat
  pr-strict :: 'f × nat ⇒ 'f × nat ⇒ bool
  least :: 'f ⇒ bool
  scf :: 'f × nat ⇒ nat ⇒ nat

class weighted =
  fixes weights :: 'a weights
  assumes weights-adm:
    admissible-kbo
      (w weights) (w0 weights) (pr-strict weights) ((pr-strict weights)=) (least
weights) (scf weights)
  and pr-strict-total: fi = gj ∨ pr-strict weights fi gj ∨ pr-strict weights gj fi
  and pr-strict-asymp: asymp (pr-strict weights)
  and scf-ok: i < n ⇒ scf weights (f, n) i ≤ 1
```

instantiation unit :: weighted **begin**

```
definition weights-unit :: unit weights where weights-unit =
  (w = Suc ∘ snd, w0 = 1, pr-strict = λ(-, n) (-, m). n > m, least = λ-. True,
scf = λ- -. 1)
```

instance

⟨proof⟩

end

global-interpretation KBO:

```
admissible-kbo
  w (weights :: 'f :: weighted weights) w0 (weights :: 'f :: weighted weights)
  pr-strict weights ((pr-strict weights)=) least weights scf weights
  defines weight = KBO.weight
  and kbo = KBO.kbo
  ⟨proof⟩
```

lemma kbo-code[code]: kbo s t =

```
(let wt = weight t; ws = weight s in
if vars-term-ms (KBO.SCF t) ⊆# vars-term-ms (KBO.SCF s) ∧ wt ≤ ws
then
  (if wt < ws then (True, True)
else
  (case s of
```

```

    Var y ⇒ (False, case t of Var x ⇒ True | Fun g ts ⇒ ts = [] ∧ least weights
g)
  | Fun f ss ⇒
    (case t of
      Var x ⇒ (True, True)
    | Fun g ts ⇒
      if pr-strict weights (f, length ss) (g, length ts) then (True, True)
      else if (f, length ss) = (g, length ts) then lex-ext-unbounded kbo ss ts
      else (False, False)))
  else (False, False)
⟨proof⟩

```

definition *less-kbo* $s\ t = \text{fst } (\text{kbo } t\ s)$

lemma *less-kbo-gtotal*: $\text{ground } s \implies \text{ground } t \implies s = t \vee \text{less-kbo } s\ t \vee \text{less-kbo } t\ s$
⟨proof⟩

lemma *less-kbo-subst*:
fixes $\sigma :: ('f :: \text{weighted}, 'v) \text{subst}$
shows $\text{less-kbo } s\ t \implies \text{less-kbo } (s \cdot \sigma)\ (t \cdot \sigma)$
⟨proof⟩

lemma *wfP-less-kbo*: $\text{wfP } \text{less-kbo}$
⟨proof⟩

end

theory *First-Order-Superposition-Example*

imports

IsaFoR-Term-Copy

First-Order-Superposition

begin

abbreviation *trivial-select* $:: ('f, 'v) \text{select where}$
trivial-select - $\equiv \{\#\}$

abbreviation *trivial-tiebreakers* **where**
trivial-tiebreakers - - - $\equiv \text{False}$

context

assumes *ground-critical-pair-theorem*:

$\bigwedge (R :: ('f :: \text{weighted}) \text{gterm rel}). \text{ground-critical-pair-theorem } R$

begin

interpretation *first-order-superposition-calculus*
trivial-select $:: ('f :: \text{weighted}, 'v :: \text{infinite}) \text{select}$
less-kbo
trivial-tiebreakers
 $\lambda\cdot. (\{\#\}, ())$

<proof>

end

end

theory *First-Order-Superposition-Soundness*
imports *Grounded-First-Order-Superposition*

begin

8.1 Soundness

context *grounded-first-order-superposition-calculus*
begin

abbreviation *entails_F* (**infix** \models_F 50) **where**
entails_F \equiv *lifting.entails_G*

lemma *welldtyped-extension*:

assumes *clause.is-ground* ($C \cdot \gamma$) *welldtyped_σ-on* (*clause.vars* C) *typeof-fun* \mathcal{V} γ

obtains γ'

where

term-subst.is-ground-subst γ'

welldtyped_σ typeof-fun \mathcal{V} γ'

$\forall x \in$ *clause.vars* $C. \gamma x = \gamma' x$

<proof>

lemma *vars-subst*: $\bigcup ($ *term.vars* ' ϱ ' *term.vars* t) = *term.vars* ($t \cdot t \ \varrho$)
<proof>

lemma *vars-subst_a*: $\bigcup ($ *term.vars* ' ϱ ' *atom.vars* a) = *atom.vars* ($a \cdot a \ \varrho$)
<proof>

lemma *vars-subst_l*: $\bigcup ($ *term.vars* ' ϱ ' *literal.vars* l) = *literal.vars* ($l \cdot l \ \varrho$)
<proof>

lemma *vars-subst_c*: $\bigcup ($ *term.vars* ' ϱ ' *clause.vars* C) = *clause.vars* ($C \cdot \varrho$)
<proof>

lemma *eq-resolution-sound*:

assumes *step: eq-resolution* $P \ C$

shows $\{P\} \models_F \{C\}$

<proof>

lemma *eq-factoring-sound*:

assumes *step: eq-factoring* $P \ C$

shows $\{P\} \models_F \{C\}$

<proof>

```

lemma superposition-sound:
  assumes step: superposition P2 P1 C
  shows  $\{P1, P2\} \Vdash_F \{C\}$ 
   $\langle$ proof $\rangle$ 

end

sublocale grounded-first-order-superposition-calculus  $\subseteq$ 
  sound-inference-system inferences  $\perp_F$  ( $\Vdash_F$ )
   $\langle$ proof $\rangle$ 

sublocale first-order-superposition-calculus  $\subseteq$ 
  sound-inference-system inferences  $\perp_F$  entails-G
   $\langle$ proof $\rangle$ 

end
theory Ground-Superposition-Soundness
  imports Ground-Superposition
begin

lemma (in ground-superposition-calculus) soundness-ground-superposition:
  assumes
    step: ground-superposition P1 P2 C
  shows G-entails  $\{P1, P2\} \{C\}$ 
   $\langle$ proof $\rangle$ 

lemma (in ground-superposition-calculus) soundness-ground-eq-resolution:
  assumes step: ground-eq-resolution P C
  shows G-entails  $\{P\} \{C\}$ 
   $\langle$ proof $\rangle$ 

lemma (in ground-superposition-calculus) soundness-ground-eq-factoring:
  assumes step: ground-eq-factoring P C
  shows G-entails  $\{P\} \{C\}$ 
   $\langle$ proof $\rangle$ 

sublocale ground-superposition-calculus  $\subseteq$  sound-inference-system where
  Inf = G-Inf and
  Bot = G-Bot and
  entails = G-entails
   $\langle$ proof $\rangle$ 

end
theory Ground-Superposition-Welltypedness-Preservation
  imports Ground-Superposition
begin

lemma (in ground-superposition-calculus) ground-superposition-preserves-typing:
  assumes

```

step: ground-superposition D E C and
wt-D: welltyped_c F D and
wt-E: welltyped_c F E
shows *welltyped_c F C*
<proof>

lemma (*in ground-superposition-calculus*) *ground-eq-resolution-preserves-typing:*
assumes
step: ground-eq-resolution D C and
wt-D: welltyped_c F D
shows *welltyped_c F C*
<proof>

lemma (*in ground-superposition-calculus*) *ground-eq-factoring-preserves-typing:*
assumes
step: ground-eq-factoring D C and
wt-D: welltyped_c F D
shows *welltyped_c F C*
<proof>

end

References

- [1] M. Desharnais, B. Toth, U. Waldmann, J. Blanchette, and S. Tournet. A Modular Formalization of Superposition in Isabelle/HOL. In Y. Bertot, T. Kutsia, and M. Norrish, editors, *15th International Conference on Interactive Theorem Proving (ITP 2024)*, volume 309 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 12:1–12:20, Dagstuhl, Germany, 2024. Schloss Dagstuhl – Leibniz-Zentrum für Informatik.