

A Modular Formalization of Superposition

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Abstract

Superposition is an efficient proof calculus for reasoning about first-order logic with equality that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We relied on the IsaFoR library for first-order terms and on the Isabelle saturation framework. A paper describing this formalization was published at the 15th International Conference on Interactive Theorem Proving (ITP 2024) [1].

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theory *Transitive-Closure-Extra*
imports *Main*
begin

lemma *reflclp-iff*: $\bigwedge R x y. R^{==} x y \longleftrightarrow R x y \vee x = y$
by (*metis (full-types) sup2CI sup2E*)

lemma *reflclp-refl*: $R^{==} x x$
by *simp*

lemma *transpD-strict-non-strict*:
assumes *transp R*
shows $\bigwedge x y z. R x y \implies R^{==} y z \implies R x z$
using $\langle \text{transp } R \rangle [\text{THEN } \text{transpD}]$ **by** *blast*

lemma *transpD-non-strict-strict*:
assumes *transp R*
shows $\bigwedge x y z. R^{==} x y \implies R y z \implies R x z$
using $\langle \text{transp } R \rangle [\text{THEN } \text{transpD}]$ **by** *blast*

lemma *mem-rtrancl-union-iff-mem-rtrancl-lhs*:
assumes $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$
shows $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in A^*$
using *assms*
by (*meson Domain.DomainI in-rtrancl-UnI rtrancl-Un-separatorE*)

lemma *mem-rtrancl-union-iff-mem-rtrancl-rhs*:
assumes
 $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$
shows $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in B^*$
using *assms*
by (*metis mem-rtrancl-union-iff-mem-rtrancl-lhs sup-commute*)

```

end
theory Abstract-Rewriting-Extra
  imports
    Transitive-Closure-Extra
    Abstract-Rewriting.Abstract-Rewriting
begin

lemma mem-join-union-iff-mem-join-lhs:
  assumes
     $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$  and
     $\bigwedge z. (y, z) \in A^* \implies z \notin \text{Domain } B$ 
  shows  $(x, y) \in (A \cup B)^\downarrow \iff (x, y) \in A^\downarrow$ 
proof (rule iffI)
  assume  $(x, y) \in (A \cup B)^\downarrow$ 
  then obtain  $z$  where
     $(x, z) \in (A \cup B)^*$  and  $(y, z) \in (A \cup B)^*$ 
  by auto

  show  $(x, y) \in A^\downarrow$ 
proof (rule joinI)
  from assms(1) show  $(x, z) \in A^*$ 
  using  $\langle (x, z) \in (A \cup B)^* \rangle$  mem-rtrancl-union-iff-mem-rtrancl-lhs[of  $x$   $A$   $B$   $z$ ]
by simp
next
  from assms(2) show  $(y, z) \in A^*$ 
  using  $\langle (y, z) \in (A \cup B)^* \rangle$  mem-rtrancl-union-iff-mem-rtrancl-lhs[of  $y$   $A$   $B$   $z$ ]
by simp
qed
next
show  $(x, y) \in A^\downarrow \implies (x, y) \in (A \cup B)^\downarrow$ 
  by (metis UnCI join-mono subset-Un-eq sup.left-idem)
qed

lemma mem-join-union-iff-mem-join-rhs:
  assumes
     $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$  and
     $\bigwedge z. (y, z) \in B^* \implies z \notin \text{Domain } A$ 
  shows  $(x, y) \in (A \cup B)^\downarrow \iff (x, y) \in B^\downarrow$ 
  using mem-join-union-iff-mem-join-lhs
  by (metis assms(1) assms(2) sup-commute)

lemma refl-join: refl  $(r^\downarrow)$ 
  by (simp add: joinI-right reflI)

lemma trans-join:
  assumes strongly-norm: SN  $r$  and confluent: WCR  $r$ 
  shows trans  $(r^\downarrow)$ 
proof –
  from confluent strongly-norm have CR  $r$ 

```

```

    using Newman by metis
  hence  $r^{\leftrightarrow*} = r^\downarrow$ 
    using CR-imp-conversionIff-join by metis
  thus ?thesis
    using conversion-trans by metis
qed

end
theory Term-Rewrite-System
  imports
    Regular-Tree-Relations.Ground-Ctxt
begin

definition compatible-with-gctxt :: 'f gterm rel  $\Rightarrow$  bool where
  compatible-with-gctxt I  $\iff (\forall t t' \text{ ctxt. } (t, t') \in I \longrightarrow (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I)$ 

lemma compatible-with-gctxtD:
  compatible-with-gctxt I  $\implies (t, t') \in I \implies (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I$ 
  by (simp add: compatible-with-gctxt-def)

lemma compatible-with-gctxt-converse:
  assumes compatible-with-gctxt I
  shows compatible-with-gctxt (I-1)
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t' ctxt
  assume (t, t')  $\in$  I-1
  thus (ctxt⟨t⟩G, ctxt⟨t'⟩G)  $\in$  I-1
  by (simp add: assms compatible-with-gctxtD)
qed

lemma compatible-with-gctxt-symcl:
  assumes compatible-with-gctxt I
  shows compatible-with-gctxt (I $\leftrightarrow$ )
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t' ctxt
  assume (t, t')  $\in$  I $\leftrightarrow$ 
  thus (ctxt⟨t⟩G, ctxt⟨t'⟩G)  $\in$  I $\leftrightarrow$ 
  proof (induction ctxt arbitrary: t t')
    case GHole
    thus ?case by simp
  next
    case (GMore f ts1 ctxt ts2)
    thus ?case
      using assms[unfolded compatible-with-gctxt-def, rule-format]
      by blast
  qed
qed

```

lemma *compatible-with-gctxt-rtrancl*:
assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I*)*
unfolding *compatible-with-gctxt-def*
proof (*intro allI impI*)
 fix $t\ t'\ ctxt$
 assume $(t, t') \in I^*$
 thus $(ctxt\langle t \rangle_G, ctxt\langle t' \rangle_G) \in I^*$
 proof (*induction t' rule: rtrancl-induct*)
 case *base*
 show *?case*
 by *simp*
 next
 case (*step y z*)
 thus *?case*
 using *assms[unfolded compatible-with-gctxt-def, rule-format]*
 by (*meson rtrancl.rtrancl-into-rtrancl*)
qed
qed

lemma *compatible-with-gctxt-relcomp*:
assumes *compatible-with-gctxt I1 and compatible-with-gctxt I2*
shows *compatible-with-gctxt (I1 O I2)*
unfolding *compatible-with-gctxt-def*
proof (*intro allI impI*)
 fix $t\ t'' ctxt$
 assume $(t, t'') \in I1\ O\ I2$
 then obtain t' **where** $(t, t') \in I1$ **and** $(t', t'') \in I2$
 by *auto*

 have $(ctxt\langle t \rangle_G, ctxt\langle t' \rangle_G) \in I1$
 using $\langle (t, t') \in I1 \rangle$ *assms(1) compatible-with-gctxtD* **by** *blast*
 moreover have $(ctxt\langle t' \rangle_G, ctxt\langle t'' \rangle_G) \in I2$
 using $\langle (t', t'') \in I2 \rangle$ *assms(2) compatible-with-gctxtD* **by** *blast*
 ultimately show $(ctxt\langle t \rangle_G, ctxt\langle t'' \rangle_G) \in I1\ O\ I2$
 by *auto*
qed

lemma *compatible-with-gctxt-join*:
assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I \downarrow)*
using *assms*
by (*simp-all add: join-def compatible-with-gctxt-relcomp compatible-with-gctxt-rtrancl compatible-with-gctxt-converse*)

lemma *compatible-with-gctxt-conversion*:
assumes *compatible-with-gctxt I*
shows *compatible-with-gctxt (I \leftrightarrow^*)*

by (simp add: assms compatible-with-gctxt-rtrancl compatible-with-gctxt-symcl conversion-def)

definition *rewrite-inside-gctxt* :: 'f gterm rel \Rightarrow 'f gterm rel **where**
rewrite-inside-gctxt $R = \{(ctxt\langle t1 \rangle_G, ctxt\langle t2 \rangle_G) \mid ctxt\ t1\ t2. (t1, t2) \in R\}$

lemma *mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[intro]:
 $(l, r) \in R \Longrightarrow (l, r) \in rewrite-inside-gctxt\ R$
 by (metis (mono-tags, lifting) CollectI gctxt-apply-term.simps(1) rewrite-inside-gctxt-def)

lemma *ctxt-mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[intro]:
 $(l, r) \in R \Longrightarrow (ctxt\langle l \rangle_G, ctxt\langle r \rangle_G) \in rewrite-inside-gctxt\ R$
 by (auto simp: rewrite-inside-gctxt-def)

lemma *rewrite-inside-gctxt-mono*: $R \subseteq S \Longrightarrow rewrite-inside-gctxt\ R \subseteq rewrite-inside-gctxt\ S$
 by (auto simp add: rewrite-inside-gctxt-def)

lemma *rewrite-inside-gctxt-union*:
 $rewrite-inside-gctxt\ (R \cup S) = rewrite-inside-gctxt\ R \cup rewrite-inside-gctxt\ S$
 by (auto simp add: rewrite-inside-gctxt-def)

lemma *rewrite-inside-gctxt-insert*:
 $rewrite-inside-gctxt\ (insert\ r\ R) = rewrite-inside-gctxt\ \{r\} \cup rewrite-inside-gctxt\ R$
 using *rewrite-inside-gctxt-union*[of $\{r\}\ R$, simplified] .

lemma *converse-rewrite-steps*: $(rewrite-inside-gctxt\ R)^{-1} = rewrite-inside-gctxt\ (R^{-1})$
 by (auto simp: rewrite-inside-gctxt-def)

lemma *rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt*:
fixes *less-trm* :: 'f gterm \Rightarrow 'f gterm \Rightarrow bool (**infix** \prec_t 50)
assumes
rule-in: $(t1, t2) \in rewrite-inside-gctxt\ R$ **and**
ball-R-rhs-lt-lhs: $\bigwedge t1\ t2. (t1, t2) \in R \Longrightarrow t2 \prec_t t1$ **and**
compatible-with-gctxt: $\bigwedge t1\ t2\ ctxt. t2 \prec_t t1 \Longrightarrow ctxt\langle t2 \rangle_G \prec_t ctxt\langle t1 \rangle_G$
shows $t2 \prec_t t1$

proof –
from *rule-in* **obtain** $t1'\ t2'\ ctxt$ **where**
 $(t1', t2') \in R$ **and**
 $t1 = ctxt\langle t1' \rangle_G$ **and**
 $t2 = ctxt\langle t2' \rangle_G$
 by (auto simp: rewrite-inside-gctxt-def)

from *ball-R-rhs-lt-lhs* **have** $t2' \prec_t t1'$
using $\langle (t1', t2') \in R \rangle$ **by** *simp*

with *compatible-with-gctxt* **have** $ctxt\langle t2' \rangle_G \prec_t ctxt\langle t1' \rangle_G$
by *metis*

thus *?thesis*
using $\langle t1 = \text{ctxt}\langle t1 \rangle_G \rangle \langle t2 = \text{ctxt}\langle t2 \rangle_G \rangle$ **by** *metis*
qed

lemma *mem-rewrite-step-union-NF*:
assumes $(t, t') \in \text{rewrite-inside-gctxt } (R1 \cup R2)$
 $t \in \text{NF } (\text{rewrite-inside-gctxt } R2)$
shows $(t, t') \in \text{rewrite-inside-gctxt } R1$
using *assms*
unfolding *rewrite-inside-gctxt-union*
by *blast*

lemma *predicate-holds-of-mem-rewrite-inside-gctxt*:
assumes *rule-in*: $(t1, t2) \in \text{rewrite-inside-gctxt } R$ **and**
 $\text{ball-}P: \bigwedge t1\ t2. (t1, t2) \in R \implies P\ t1\ t2$ **and**
preservation: $\bigwedge t1\ t2\ \text{ctxt } \sigma. (t1, t2) \in R \implies P\ t1\ t2 \implies P\ \text{ctxt}\langle t1 \rangle_G\ \text{ctxt}\langle t2 \rangle_G$
shows $P\ t1\ t2$

proof –
from *rule-in* **obtain** $t1'\ t2'\ \text{ctxt } \sigma$ **where**
 $(t1', t2') \in R$ **and**
 $t1 = \text{ctxt}\langle t1 \rangle_G$ **and**
 $t2 = \text{ctxt}\langle t2 \rangle_G$
by (*auto simp: rewrite-inside-gctxt-def*)
thus *?thesis*
using $\text{ball-}P[\text{OF } \langle (t1', t2') \in R \rangle]$
using *preservation*[$\text{OF } \langle (t1', t2') \in R \rangle$, *of ctxt*]
by *simp*

qed

lemma *compatible-with-gctxt-rewrite-inside-gctxt[simp]*: *compatible-with-gctxt (rewrite-inside-gctxt E)*
unfolding *compatible-with-gctxt-def rewrite-inside-gctxt-def*
unfolding *mem-Collect-eq*
by (*metis Pair-inject ctxt-ctxt*)

lemma *subset-rewrite-inside-gctxt[simp]*: $E \subseteq \text{rewrite-inside-gctxt } E$

proof (*rule Set.subsetI*)
fix e **assume** *e-in*: $e \in E$
moreover obtain $s\ t$ **where** *e-def*: $e = (s, t)$
by *fastforce*
show $e \in \text{rewrite-inside-gctxt } E$
unfolding *rewrite-inside-gctxt-def*
unfolding *mem-Collect-eq*
proof (*intro exI conjI*)
show $e = (\square_G \langle s \rangle_G, \square_G \langle t \rangle_G)$
unfolding *e-def gctxt-apply-term.simps ..*
next
show $(s, t) \in E$

```

    using e-in
    unfolding e-def .
  qed
qed

lemma wf-converse-rewrite-inside-gctxt:
  fixes E :: 'f gterm rel
  assumes
    wfP-R: wfP R and
    R-compatible-with-gctxt:  $\bigwedge \text{ctxt } t t'. R t t' \implies R \text{ctxt}\langle t \rangle_G \text{ctxt}\langle t' \rangle_G$  and
    equations-subset-R:  $\bigwedge x y. (x, y) \in E \implies R y x$ 
  shows wf ((rewrite-inside-gctxt E)-1)
proof (rule wf-subset)
  from wfP-R show wf {(x, y). R x y}
  by (simp add: wfP-def)
next
  show (rewrite-inside-gctxt E)-1  $\subseteq$  {(x, y). R x y}
  proof (rule Set.subsetI)
    fix e assume e  $\in$  (rewrite-inside-gctxt E)-1
    then obtain ctxt s t where e-def: e = (ctxt⟨s⟩G, ctxt⟨t⟩G) and (t, s)  $\in$  E
    by (smt (verit) Pair-inject converseE mem-Collect-eq rewrite-inside-gctxt-def)
    hence R s t
    using equations-subset-R by simp
    hence R ctxt⟨s⟩G ctxt⟨t⟩G
    using R-compatible-with-gctxt by simp
    then show e  $\in$  {(x, y). R x y}
    by (simp add: e-def)
  qed
qed

end
theory Ground-Critical-Pairs
  imports Term-Rewrite-System
begin

definition ground-critical-pairs :: 'f gterm rel  $\Rightarrow$  'f gterm rel where
  ground-critical-pairs R = {(ctxt⟨r2⟩G, r1) | ctxt l r1 r2. (ctxt⟨l⟩G, r1)  $\in$  R  $\wedge$  (l, r2)  $\in$  R}

abbreviation ground-critical-pair-theorem :: 'f gterm rel  $\Rightarrow$  bool where
  ground-critical-pair-theorem (R :: 'f gterm rel)  $\equiv$ 
  WCR (rewrite-inside-gctxt R)  $\longleftrightarrow$  ground-critical-pairs R  $\subseteq$  (rewrite-inside-gctxt R)↓

end
theory Multiset-Extra
  imports
    HOL-Library.Multiset
    HOL-Library.Multiset-Order

```


Nested-Multisets-Ordinals.Multiset-More
begin

lemma *one-le-countE*:
assumes $1 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ M'$
using *assms* **by** (*meson count-greater-eq-one-iff multi-member-split*)

lemma *two-le-countE*:
assumes $2 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ M')$
using *assms*
by (*metis Suc-1 Suc-eq-plus1-left Suc-leD add.right-neutral count-add-mset multi-member-split not-in-iff not-less-eq-eq*)

lemma *three-le-countE*:
assumes $3 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ (\text{add-mset } x \ M'))$
using *assms*
by (*metis One-nat-def Suc-1 Suc-leD add-le-cancel-left count-add-mset numeral-3-eq-3 plus-1-eq-Suc two-le-countE*)

lemma *one-step-implies-multp_{HO}-strong*:
fixes $A \ B \ J \ K \ :: \ - \ \text{multiset}$
defines $J \equiv B - A$ **and** $K \equiv A - B$
assumes $J \neq \{\#\}$ **and** $\forall k \in \# \ K. \exists x \in \# \ J. R \ k \ x$
shows $\text{multp}_{HO} \ R \ A \ B$
unfolding *multp_{HO}-def*
proof (*intro conjI allI impI*)
show $A \neq B$
using *assms* **by** *force*
next
show $\bigwedge y. \text{count } B \ y < \text{count } A \ y \implies \exists x. R \ y \ x \wedge \text{count } A \ x < \text{count } B \ x$
using *assms* **by** (*metis in-diff-count*)
qed

lemma *Uniq-antimono*: $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$
unfolding *le-fun-def le-bool-def*
by (*rule impI*) (*simp only: Uniq-I Uniq-D*)

lemma *Uniq-antimono'*: $(\bigwedge x. Q \ x \implies P \ x) \implies \text{Uniq } P \implies \text{Uniq } Q$
by (*fact Uniq-antimono[unfolded le-fun-def le-bool-def, rule-format]*)

lemma *multp-singleton-right[simp]*:
assumes *transp* R
shows $\text{multp } R \ M \ \{\#x\# \} \longleftrightarrow (\forall y \in \# \ M. R \ y \ x)$
proof (*rule iffI*)
show $\forall y \in \# \ M. R \ y \ x \implies \text{multp } R \ M \ \{\#x\# \}$

```

    using one-step-implies-multip[of {#x#} - R {#}, simplified] .
next
show multp R M {#x#}  $\implies \forall y \in \#M. R y x$ 
  using multp-implies-one-step[OF ‹transp R›]
  by (smt (verit, del-insts) add-0 set-mset-add-mset-insert set-mset-empty single-is-union
      singletonD)
qed

lemma multp-singleton-left[simp]:
  assumes transp R
  shows multp R {#x#} M  $\longleftrightarrow (\{#x#\} \subset \# M \vee (\exists y \in \# M. R x y))$ 
proof (rule iffI)
  show {#x#}  $\subset \# M \vee (\exists y \in \# M. R x y) \implies \text{multp } R \{#x#\} M$ 
  proof (elim disjE bexE)
    show {#x#}  $\subset \# M \implies \text{multp } R \{#x#\} M$ 
    by (simp add: subset-implies-multip)
  next
  show  $\bigwedge y. y \in \# M \implies R x y \implies \text{multp } R \{#x#\} M$ 
  using one-step-implies-multip[of M {#x#} R {#}, simplified] by force
qed
next
show multp R {#x#} M  $\implies \{#x#\} \subset \# M \vee (\exists y \in \# M. R x y)$ 
  using multp-implies-one-step[OF ‹transp R›, of {#x#} M]
  by (metis (no-types, opaque-lifting) add-cancel-right-left subset-mset.gr-zeroI
      subset-mset.less-add-same-cancel2 union-commute union-is-single union-single-eq-member)
qed

lemma multp-singleton-singleton[simp]: transp R  $\implies \text{multp } R \{#x#\} \{#y#\} \longleftrightarrow R x y$ 
  using multp-singleton-right[of R {#x#} y] by simp

lemma multp-subset-supersetI: transp R  $\implies \text{multp } R A B \implies C \subseteq \# A \implies B \subseteq \# D \implies \text{multp } R C D$ 
  by (metis subset-implies-multip subset-mset.antisym-conv2 transpE transp-multip)

lemma multp-double-doubleI:
  assumes transp R multp R A B
  shows multp R (A + A) (B + B)
  using multp-repeat-mset-repeat-msetI[OF ‹transp R› ‹multp R A B›, of 2]
  by (simp add: numeral-Bit0)

lemma multp-implies-one-step-strong:
  fixes A B I J K :: - multiset
  assumes transp R and asymp R and multp R A B
  defines J  $\equiv B - A$  and K  $\equiv A - B$ 
  shows J  $\neq \{\#\}$  and  $\forall k \in \# K. \exists x \in \# J. R k x$ 
proof -
  from assms have multpHO R A B

```

by (*simp add: multp-eq-multp_{HO}*)

thus $J \neq \{\#\}$ and $\forall k \in \# K. \exists x \in \# J. R k x$
using *multp_{HO}-implies-one-step-strong*[*OF ‹multp_{HO} R A B›*]
by (*simp-all add: J-def K-def*)

qed

lemma *multp-double-doubleD*:

assumes *transp R* and *asypm R* and *multp R (A + A) (B + B)*
shows *multp R A B*

proof –

from *assms* have

$B + B - (A + A) \neq \{\#\}$ and
 $\forall k \in \# A + A - (B + B). \exists x \in \# B + B - (A + A). R k x$
using *multp-implies-one-step-strong*[*OF assms*] by *simp-all*

have *multp R (A \cap # B + (A - B)) (A \cap # B + (B - A))*

proof (*rule one-step-implies-multp*[*of B - A A - B R A \cap # B*])

show $B - A \neq \{\#\}$

using $\langle B + B - (A + A) \neq \{\#\} \rangle$

by (*meson Diff-eq-empty-iff-mset mset-subset-eq-mono-add*)

next

show $\forall k \in \# A - B. \exists j \in \# B - A. R k j$

proof (*intro ballI*)

fix x assume $x \in \# A - B$

hence $x \in \# A + A - (B + B)$

by (*simp add: in-diff-count*)

then obtain y where $y \in \# B + B - (A + A)$ and $R x y$

using $\langle \forall k \in \# A + A - (B + B). \exists x \in \# B + B - (A + A). R k x \rangle$ by *auto*

then show $\exists j \in \# B - A. R x j$

by (*auto simp add: in-diff-count*)

qed

qed

moreover have $A = A \cap \# B + (A - B)$

by (*simp add: inter-mset-def*)

moreover have $B = A \cap \# B + (B - A)$

by (*metis diff-intersect-right-idem subset-mset.add-diff-inverse subset-mset.inf.cobounded2*)

ultimately show *?thesis*

by *argo*

qed

lemma *multp-double-double*:

$transp R \implies asypm R \implies multp R (A + A) (B + B) \longleftrightarrow multp R A B$

using *multp-double-doubleD multp-double-doubleI* by *metis*

lemma *multp-doubleton-doubleton*[*simp*]:

transp $R \implies \text{asympt } R \implies \text{multp } R \{ \#x, x\# \} \{ \#y, y\# \} \longleftrightarrow R \ x \ y$
using *multp-double-double*[of $R \{ \#x\# \} \{ \#y\# \}$, *simplified*] **by** *simp*

lemma *multp-single-doubleI*: $M \neq \{ \# \} \implies \text{multp } R \ M \ (M + M)$
using *one-step-implies-multp*[of $M \{ \# \} - M$, *simplified*] **by** *simp*

lemma *mult1-implies-one-step-strong*:

assumes *trans* r **and** *asym* r **and** $(A, B) \in \text{mult1 } r$

shows $B - A \neq \{ \# \}$ **and** $\forall k \in \# A - B. \exists j \in \# B - A. (k, j) \in r$

proof -

from $\langle (A, B) \in \text{mult1 } r \rangle$ **obtain** $b \ B' \ A'$ **where**

B-def: $B = \text{add-mset } b \ B'$ **and**

A-def: $A = B' + A'$ **and**

$\forall a. a \in \# A' \longrightarrow (a, b) \in r$

unfolding *mult1-def* **by** *auto*

have $b \notin \# A'$

by (*meson* $\langle \forall a. a \in \# A' \longrightarrow (a, b) \in r \rangle$ *assms*(2) *asym-onD iso-tuple-UNIV-I*)

then have $b \in \# B - A$

by (*simp add: A-def B-def*)

thus $B - A \neq \{ \# \}$

by *auto*

show $\forall k \in \# A - B. \exists j \in \# B - A. (k, j) \in r$

by (*metis A-def B-def* $\langle \forall a. a \in \# A' \longrightarrow (a, b) \in r \rangle$ $\langle b \in \# B - A \rangle$ $\langle b \notin \# A' \rangle$
add-diff-cancel-left'

add-mset-add-single diff-diff-add-mset diff-single-trivial)

qed

lemma *asympt-multp*:

assumes *asympt* R **and** *transp* R

shows *asympt* $(\text{multp } R)$

using *asympt-multp_{HO}*[*OF* *assms*]

unfolding *multp-eq-multp_{HO}*[*OF* *assms*].

lemma *multp-doubleton-singleton*: $\text{transp } R \implies \text{multp } R \{ \# \ x, x \# \} \{ \# \ y \# \}$
 $\longleftrightarrow R \ x \ y$

by (*cases* $x = y$) *auto*

lemma *image-mset-remove1-mset*:

assumes *inj* f

shows $\text{remove1-mset } (f \ a) \ (\text{image-mset } f \ X) = \text{image-mset } f \ (\text{remove1-mset } a \ X)$

using *image-mset-remove1-mset-if*

unfolding *image-mset-remove1-mset-if inj-image-mem-iff*[*OF* *assms*, *symmetric*]

by *simp*

lemma *multp_{DM}-map-strong*:

assumes

```

    f-mono: monotone-on (set-mset (M1 + M2)) R S f and
    M1-lt-M2: multpDM R M1 M2
  shows multpDM S (image-mset f M1) (image-mset f M2)
proof -
  obtain Y X where
    Y ≠ {#} and Y ⊆# M2 and M1-eq: M1 = M2 - Y + X and
    ex-y: ∀ x. x ∈# X ⟶ (∃ y. y ∈# Y ∧ R x y)
    using M1-lt-M2[unfolded multpDM-def Let-def mset-map] by blast

  let ?fY = image-mset f Y
  let ?fX = image-mset f X

  show ?thesis
    unfolding multpDM-def
  proof (intro exI conjI)
    show image-mset f Y ≠ {#}
      using ⟨Y ≠ {#}⟩ unfolding image-mset-is-empty-iff .
    next
      show image-mset f Y ⊆# image-mset f M2
        using ⟨Y ⊆# M2⟩ image-mset-subseteq-mono by metis
    next
      show image-mset f M1 = image-mset f M2 - ?fY + ?fX
        using M1-eq[THEN arg-cong, of image-mset f] ⟨Y ⊆# M2⟩
        by (metis image-mset-Diff image-mset-union)
    next
      obtain g where y: ∀ x. x ∈# X ⟶ g x ∈# Y ∧ R x (g x)
        using ex-y by moura

      show ∀ fx. fx ∈# ?fX ⟶ (∃ fy. fy ∈# ?fY ∧ S fx fy)
      proof (intro allI impI)
        fix x' assume x' ∈# ?fX
        then obtain x where x': x' = f x and x-in: x ∈# X
          by auto
        hence y-in: g x ∈# Y and y-gt: R x (g x)
          using y[rule-format, OF x-in] by blast+

        moreover have X ⊆# M1
          using M1-eq by simp

        ultimately have f (g x) ∈# ?fY ∧ S (f x)(f (g x))
          using f-mono[THEN monotone-onD, of x g x] ⟨Y ⊆# M2⟩ ⟨X ⊆# M1⟩
          x-in
          by (metis imageI in-image-mset mset-subset-eqD union-iff)
        thus ∃ fy. fy ∈# ?fY ∧ S x' fy
          unfolding x' by auto
      qed
    qed
  qed

```

```

lemma multp-map-strong:
  assumes
    transp: transp R and
    f-mono: monotone-on (set-mset (M1 + M2)) R S f and
    M1-lt-M2: multp R M1 M2
  shows multp S (image-mset f M1) (image-mset f M2)
  using monotone-on-multp-multp-image-mset[THEN monotone-onD, OF f-mono
transp - - M1-lt-M2]
  by simp

lemma multpHO-add-mset:
  assumes asympt R transp R R x y multpHO R X Y
  shows multpHO R (add-mset x X) (add-mset y Y)
  unfolding multpHO-def
proof(intro allI conjI impI)
  show add-mset x X  $\neq$  add-mset y Y
    using assms(1, 3, 4)
    unfolding multpHO-def
    by (metis asymptD count-add-mset lessI less-not-refl)
next
  fix x'
  assume count-x': count (add-mset y Y) x' < count (add-mset x X) x'
  show  $\exists y'. R$  x' y'  $\wedge$  count (add-mset x X) y' < count (add-mset y Y) y'
  proof(cases x' = x)
    case True
      then show ?thesis
        using assms
        unfolding multpHO-def
        by (metis count-add-mset irreflpD irreflp-on-if-asympt-on not-less-eq transpE)
    next
      case x'-neq-x: False
      show ?thesis
      proof(cases y = x')
        case True
          then show ?thesis
            using assms(1, 3, 4) count-x' x'-neq-x
            unfolding multpHO-def count-add-mset
            by (smt (verit) Suc-lessD asymptD)
          next
            case False
            then show ?thesis
              using assms count-x' x'-neq-x
              unfolding multpHO-def count-add-mset
              by (smt (verit, del-insts) irreflpD irreflp-on-if-asympt-on not-less-eq transpE)
            qed
          qed
        qed
      qed
  qed

```

lemma *multp-add-mset*:
assumes *asympt R transp R R x y multp R X Y*
shows *multp R (add-mset x X) (add-mset y Y)*
using *multp_{HO}-add-mset[OF assms(1-3)] assms(4)*
unfolding *multp-eq-multp_{HO}[OF assms(1, 2)]*
by *simp*

lemma *multp-add-mset'*:
assumes *R x y*
shows *multp R (add-mset x X) (add-mset y X)*
using *assms*
by (*metis add-mset-add-single empty-iff insert-iff one-step-implies-multp set-mset-add-mset-insert*
set-mset-empty)

lemma *multp-add-mset-reflcp*:
assumes *asympt R transp R R x y (multp R) == X Y*
shows *multp R (add-mset x X) (add-mset y Y)*
using
assms(4)
multp-add-mset'[of R, OF assms(3)]
multp-add-mset[OF assms(1-3)]
by *blast*

lemma *multp-add-same*:
assumes *asympt R transp R multp R X Y*
shows *multp R (add-mset x X) (add-mset x Y)*
by (*meson assms asympt-on-subset irreflp-on-if-asympt-on multp-cancel-add-mset*
top-greatest)

end

theory *Uprod-Extra*
imports
HOL-Library.Multiset
HOL-Library.Uprod
begin

abbreviation *upair where*
upair ≡ λ(x, y). Upair x y

lemma *Upair-sym*: *Upair x y = Upair y x*
by (*metis Upair-inject*)

lemma *ex-ordered-Upair*:
assumes *tot: totalp-on (set-uprod p) R*
shows $\exists x y. p = \text{Upair } x y \wedge R == x y$
proof –
obtain *x y where p = Upair x y*
by (*metis uprod-exhaust*)

```

show ?thesis
proof (cases R= x y)
  case True
    show ?thesis
    proof (intro exI conjI)
      show p = Upair x y
      using ⟨p = Upair x y⟩ .
    next
      show R= x y
      using True by simp
    qed
  next
    case False
    then show ?thesis
    proof (intro exI conjI)
      show p = Upair y x
      using ⟨p = Upair x y⟩ by simp
    next
      from tot have R y x
      using False
      by (simp add: ⟨p = Upair x y⟩ totalp-on-def)
      thus R= y x
      by simp
    qed
  qed
qed

```

definition *mset-uprod* :: 'a uprod ⇒ 'a multiset **where**
mset-uprod = case-uprod (Abs-commute (λx y. {#x, y#}))

lemma *Abs-commute-inverse-mset*[simp]:
apply-commute (Abs-commute (λx y. {#x, y#})) = (λx y. {#x, y#})
by (simp add: Abs-commute-inverse)

lemma *set-mset-mset-uprod*[simp]: *set-mset* (mset-uprod up) = *set-uprod* up
by (simp add: mset-uprod-def case-uprod.rep-eq set-uprod.rep-eq case-prod-beta)

lemma *mset-uprod-Upair*[simp]: *mset-uprod* (Upair x y) = {#x, y#}
by (simp add: mset-uprod-def)

lemma *map-uprod-inverse*: (λx. f (g x) = x) ⇒ (λy. map-uprod f (map-uprod g y) = y)
by (simp add: uprod.map-comp uprod.map-ident-strong)

lemma *mset-uprod-image-mset*: *mset-uprod* (map-uprod f p) = *image-mset* f (mset-uprod p)

proof –
obtain x y **where** [simp]: p = Upair x y


```

using uprod-exhaust by blast

have mset-uprod (map-uprod f p) = {# f x, f y #}
by simp

then show mset-uprod (map-uprod f p) = image-mset f (mset-uprod p)
by simp
qed

end
theory HOL-Extra
  imports Main
begin

lemmas UniqI = Uniq-I

lemma Uniq-prodI:
  assumes  $\bigwedge x1\ y1\ x2\ y2. P\ x1\ y1 \implies P\ x2\ y2 \implies (x1, y1) = (x2, y2)$ 
  shows  $\exists_{\leq 1}(x, y). P\ x\ y$ 
  using assms
  by (metis UniqI case-prodE)

lemma Uniq-implies-ex1:  $\exists_{\leq 1}x. P\ x \implies P\ y \implies \exists!x. P\ x$ 
  by (iprover intro: ex1I dest: Uniq-D)

lemma Uniq-antimono:  $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$ 
  unfolding le-fun-def le-bool-def
  by (rule impI) (simp only: Uniq-I Uniq-D)

lemma Uniq-antimono':  $(\bigwedge x. Q\ x \implies P\ x) \implies \text{Uniq } P \implies \text{Uniq } Q$ 
  by (fact Uniq-antimono[unfolded le-fun-def le-bool-def, rule-format])

lemma Collect-eq-if-Uniq:  $(\exists_{\leq 1}x. P\ x) \implies \{x. P\ x\} = \{\} \vee (\exists x. \{x. P\ x\} = \{x\})$ 
  using Uniq-D by fastforce

lemma Collect-eq-if-Uniq-prod:
   $(\exists_{\leq 1}(x, y). P\ x\ y) \implies \{(x, y). P\ x\ y\} = \{\} \vee (\exists x\ y. \{(x, y). P\ x\ y\} = \{(x, y)\})$ 
  using Collect-eq-if-Uniq by fastforce

lemma Ball-Ex-comm:
   $(\forall x \in X. \exists f. P\ (f\ x)\ x) \implies (\exists f. \forall x \in X. P\ (f\ x)\ x)$ 
   $(\exists f. \forall x \in X. P\ (f\ x)\ x) \implies (\forall x \in X. \exists f. P\ (f\ x)\ x)$ 
  by meson+

lemma set-map-id:
  assumes  $x \in \text{set } X\ f\ x \notin \text{set } X\ \text{map } f\ X = X$ 
  shows False
  using assms
  by(induction X) auto

```

```

end
theory Relation-Extra
  imports HOL.Relation
begin

lemma transp-on-empty[simp]: transp-on {} R
  by (auto intro: transp-onI)

lemma asymp-on-empty[simp]: asymp-on {} R
  by (auto intro: asymp-onI)

lemma partition-set-around-element:
  assumes tot: totalp-on N R and x-in: x ∈ N
  shows N = {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
proof (intro Set.equalityI Set.subsetI)
  fix z assume z ∈ N
  hence R z x ∨ z = x ∨ R x z
    using tot[THEN totalp-onD] x-in by auto
  thus z ∈ {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
    using ‹z ∈ N› by auto
next
  fix z assume z ∈ {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
  hence z ∈ N ∨ z = x
    by auto
  thus z ∈ N
    using x-in by auto
qed

end
theory Clausal-Calculus-Extra
  imports
    Saturation-Framework-Extensions.Clausal-Calculus
    Uprod-Extra
begin

lemma map-literal-inverse:
  (∧x. f (g x) = x) ⇒ (∧literal. map-literal f (map-literal g literal) = literal)
  by (simp add: literal.map-comp literal.map-ident-strong)

lemma map-literal-comp:
  map-literal f (map-literal g literal) = map-literal (λatom. f (g atom)) literal
  using literal.map-comp
  unfolding comp-def.

lemma literals-distinct [simp]: Neg ≠ Pos Pos ≠ Neg
  by (metis literal.distinct(1))+

primrec mset-lit :: 'a uprod literal ⇒ 'a multiset where

```

$mset\text{-lit } (Pos\ A) = mset\text{-uprod } A \mid$
 $mset\text{-lit } (Neg\ A) = mset\text{-uprod } A + mset\text{-uprod } A$

lemma *mset-lit-image-mset*: $mset\text{-lit } (map\text{-literal } (map\text{-uprod } f) l) = image\text{-mset } f (mset\text{-lit } l)$
by (*induction l*) (*simp-all add: mset-uprod-image-mset*)

lemma *uprod-mem-image-iff-prod-mem*[*simp*]:
assumes *sym I*
shows $(Upair\ t\ t') \in (\lambda(t_1, t_2). Upair\ t_1\ t_2) \text{ ' } I \longleftrightarrow (t, t') \in I$
using $\langle sym\ I \rangle [THEN\ symD]$ **by** *auto*

lemma *true-lit-uprod-iff-true-lit-prod*[*simp*]:
assumes *sym I*
shows
 $(\lambda(t_1, t_2). Upair\ t_1\ t_2) \text{ ' } I \models Pos\ (Upair\ t\ t') \longleftrightarrow I \models Pos\ (t, t')$
 $(\lambda(t_1, t_2). Upair\ t_1\ t_2) \text{ ' } I \models Neg\ (Upair\ t\ t') \longleftrightarrow I \models Neg\ (t, t')$
unfolding *true-lit-simps uprod-mem-image-iff-prod-mem*[*OF* $\langle sym\ I \rangle$]
by *simp-all*

end
theory *Ground-Term-Extra*
imports *Regular-Tree-Relations.Ground-Terms*
begin

lemma *gterm-is-fun*: $is\text{-Fun } (term\text{-of-gterm } t)$
by (*cases t*) *simp*

end
theory *Ground-Ctxt-Extra*
imports *Regular-Tree-Relations.Ground-Ctxt*
begin

lemma *le-size-gctxt*: $size\ t \leq size\ (C\langle t \rangle_G)$
by (*induction C*) *simp-all*

lemma *lt-size-gctxt*: $ctxt \neq \square_G \implies size\ t < size\ ctxt\langle t \rangle_G$
by (*induction ctxt*) *force+*

lemma *gctxt-ident-iff-eq-GHole*[*simp*]: $ctxt\langle t \rangle_G = t \longleftrightarrow ctxt = \square_G$
proof (*rule iffI*)
assume $ctxt\langle t \rangle_G = t$
hence $size\ (ctxt\langle t \rangle_G) = size\ t$
by *argo*
thus $ctxt = \square_G$
using *lt-size-gctxt*[*of ctxt t*]
by *linarith*
next
show $ctxt = \square_G \implies ctxt\langle t \rangle_G = t$

```

    by simp
qed

end
theory Ground-Clause
  imports
    Saturation-Framework-Extensions.Clausal-Calculus

    Ground-Term-Extra
    Ground-Ctxt-Extra
    Uprod-Extra
begin

abbreviation Pos-Upair (infix  $\approx$  66) where
  Pos-Upair  $x\ y \equiv Pos\ (Upair\ x\ y)$ 

abbreviation Neg-Upair (infix  $\! \approx$  66) where
  Neg-Upair  $x\ y \equiv Neg\ (Upair\ x\ y)$ 

type-synonym 'f gatom = 'f gterm uprod

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Selection-Function
  imports
    Ground-Clause
begin

locale select =
  fixes sel :: 'a clause  $\Rightarrow$  'a clause
  assumes
    select-subset:  $\bigwedge C. sel\ C \subseteq\# C$  and
    select-negative-lits:  $\bigwedge C\ L. L \in\# sel\ C \Longrightarrow is-neg\ L$ 

end
theory Term-Ordering-Lifting
  imports Clausal-Calculus-Extra
begin

lemma antisym-on-reflclp-if-asymp-on:
  assumes asymp-on  $A\ R$ 
  shows antisym-on  $A\ R$ 
  unfolding antisym-on-reflcl[to-pred]
  using antisym-on-if-asymp-on[OF  $\langle asymp-on\ A\ R \rangle$ ] .

```

```

lemma order-reflclp-if-transp-and-asymp:
  assumes transp R and asymp R
  shows class.order R== R
proof unfold-locales
  show  $\bigwedge x y. R\ x\ y = (R^{==}\ x\ y \wedge \neg R^{==}\ y\ x)$ 
    using  $\langle \text{asymp } R \rangle$  asympD by fastforce
next
  show  $\bigwedge x. R^{==}\ x\ x$ 
    by simp
next
  show  $\bigwedge x\ y\ z. R^{==}\ x\ y \implies R^{==}\ y\ z \implies R^{==}\ x\ z$ 
    using transp-on-reflclp[OF  $\langle \text{transp } R \rangle$ , THEN transpD] .
next
  show  $\bigwedge x\ y. R^{==}\ x\ y \implies R^{==}\ y\ x \implies x = y$ 
    using antisym-on-reflclp-if-asymp-on[OF  $\langle \text{asymp } R \rangle$ , THEN antisymD] .
qed

locale term-ordering-lifting =
  fixes
    less-trm ::  $'t \Rightarrow 't \Rightarrow \text{bool}$  (infix  $\prec_t$  50)
  assumes
    transp-less-trm[intro]: transp  $(\prec_t)$  and
    asymp-less-trm[intro]: asymp  $(\prec_t)$ 
begin

definition less-lit ::  $'t \text{ uprod literal} \Rightarrow 't \text{ uprod literal} \Rightarrow \text{bool}$  (infix  $\prec_l$  50) where
  less-lit L1 L2  $\equiv \text{multp } (\prec_t) (\text{mset-lit } L1) (\text{mset-lit } L2)$ 

definition less-cls ::  $'t \text{ uprod clause} \Rightarrow 't \text{ uprod clause} \Rightarrow \text{bool}$  (infix  $\prec_c$  50) where
  less-cls  $\equiv \text{multp } (\prec_l)$ 

sublocale term-order: order  $(\prec_t)^{==} (\prec_t)$ 
  using order-reflclp-if-transp-and-asymp transp-less-trm asymp-less-trm by metis

sublocale literal-order: order  $(\prec_l)^{==} (\prec_l)$ 
proof (rule order-reflclp-if-transp-and-asymp)
  show transp  $(\prec_l)$ 
    using transp-less-trm
    by (metis (opaque-lifting) less-lit-def transp-def transp-multp)
next
  show asymp  $(\prec_l)$ 
  by (metis asympD asymp-less-trm asymp-multpHO asympI less-lit-def multp-eq-multpHO
    transp-less-trm)
qed

sublocale clause-order: order  $(\prec_c)^{==} (\prec_c)$ 
proof (rule order-reflclp-if-transp-and-asymp)
  show transp  $(\prec_c)$ 
    by (simp add: less-cls-def transp-multp)

```

```

next
  show asympt ( $\prec_c$ )
  by (simp add: less-cls-def asympt-multpHO multp-eq-multpHO)
qed

end

end

theory Ground-Ordering
  imports
    Ground-Clause
    Transitive-Closure-Extra
    Clausal-Calculus-Extra
    Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset
    Term-Ordering-Lifting
begin

locale ground-ordering = term-ordering-lifting less-trm
  for
    less-trm :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool (infix  $\prec_t$  50) +
  assumes
    wfP-less-trm[intro]: wfP ( $\prec_t$ ) and
    totalp-less-trm[intro]: totalp ( $\prec_t$ ) and
    less-trm-compatible-with-gctxt[simp]:  $\bigwedge \text{ctxt } t \ t'. \ t \prec_t \ t' \Longrightarrow \text{ctxt}\langle t \rangle_G \prec_t \text{ctxt}\langle t' \rangle_G$ 
  and
    less-trm-if-subterm[simp]:  $\bigwedge t \ \text{ctxt}. \ \text{ctxt} \neq \square_G \Longrightarrow t \prec_t \text{ctxt}\langle t \rangle_G$ 
begin

abbreviation lesseq-trm (infix  $\preceq_t$  50) where
  lesseq-trm  $\equiv (\prec_t)^{==}$ 

lemma lesseq-trm-if-subterm:  $t \preceq_t \text{ctxt}\langle t \rangle_G$ 
  using less-trm-if-subterm
  by (metis gctxt-ident-iff-eq-GHole reflclp-iff)

abbreviation lesseq-lit (infix  $\preceq_l$  50) where
  lesseq-lit  $\equiv (\prec_l)^{==}$ 

abbreviation lesseq-cls (infix  $\preceq_c$  50) where
  lesseq-cls  $\equiv (\prec_c)^{==}$ 

lemma wfP-less-lit[simp]: wfP ( $\prec_l$ )
  unfolding less-lit-def
  using wfP-less-trm wfP-multp wfP-if-convertible-to-wfP by meson

lemma wfP-less-cls[simp]: wfP ( $\prec_c$ )
  using wfP-less-lit wfP-multp less-cls-def by metis

```

sublocale *term-order*: *linorder lesseq-trm less-trm*

proof *unfold-locales*

show $\bigwedge x y. x \preceq_t y \vee y \preceq_t x$
by (*metis reflclp-iff totalpD totalp-less-trm*)

qed

sublocale *literal-order*: *linorder lesseq-lit less-lit*

proof *unfold-locales*

have *totalp-on* $A (\prec_l)$ **for** A

proof (*rule totalp-onI*)

fix $L1 L2 :: 'f \text{ gatom literal}$

assume $L1 \neq L2$

show $L1 \prec_l L2 \vee L2 \prec_l L1$

unfolding *less-lit-def*

proof (*rule totalp-multp[THEN totalpD]*)

show *totalp* (\prec_t)

using *totalp-less-trm* .

next

show *transp* (\prec_t)

using *transp-less-trm* .

next

obtain $x1 y1 x2 y2 :: 'f \text{ gterm where}$

atm-of $L1 = \text{Upair } x1 y1$ **and** *atm-of* $L2 = \text{Upair } x2 y2$

using *uprod-exhaust by metis*

thus *mset-lit* $L1 \neq \text{mset-lit } L2$

using $\langle L1 \neq L2 \rangle$

by (*cases L1; cases L2*) (*auto simp add: add-eq-conv-ex*)

qed

qed

thus $\bigwedge x y. x \preceq_l y \vee y \preceq_l x$

by (*metis reflclp-iff totalpD*)

qed

sublocale *clause-order*: *linorder lesseq-cls less-cls*

proof *unfold-locales*

show $\bigwedge x y. x \preceq_c y \vee y \preceq_c x$

unfolding *less-cls-def*

using *totalp-multp[OF literal-order.totalp-on-less literal-order.transp-on-less]*

by (*metis reflclp-iff totalpD*)

qed

abbreviation *is-maximal-lit* :: $'f \text{ gatom literal} \Rightarrow 'f \text{ gatom clause} \Rightarrow \text{bool}$ **where**

is-maximal-lit $L M \equiv \text{is-maximal-in-mset-wrt } (\prec_l) M L$

abbreviation *is-strictly-maximal-lit* :: $'f \text{ gatom literal} \Rightarrow 'f \text{ gatom clause} \Rightarrow \text{bool}$

where

is-strictly-maximal-lit $L M \equiv \text{is-greatest-in-mset-wrt } (\prec_l) M L$

lemma *less-trm-compatible-with-gctxt'*:
assumes $ctxt\langle t \rangle_G \prec_t ctxt\langle t' \rangle_G$
shows $t \prec_t t'$
proof(*rule ccontr*)
assume $\neg t \prec_t t'$
hence $t' \preceq_t t$
by *order*

show *False*
proof(*cases* $t' = t$)
case *True*
then have $ctxt\langle t \rangle_G = ctxt\langle t' \rangle_G$
by *blast*
then show *False*
using *assms* **by** *order*
next
case *False*
then have $t' \prec_t t$
using $\langle t' \preceq_t t \rangle$ **by** *order*

then have $ctxt\langle t' \rangle_G \prec_t ctxt\langle t \rangle_G$
using *less-trm-compatible-with-gctxt* **by** *metis*

then show *?thesis*
using *assms* **by** *order*
qed
qed

lemma *less-trm-compatible-with-gctxt-iff*: $ctxt\langle t \rangle_G \prec_t ctxt\langle t' \rangle_G \longleftrightarrow t \prec_t t'$
using *less-trm-compatible-with-gctxt* *less-trm-compatible-with-gctxt'*
by *blast*

lemma *context-less-term-lesseq*:
assumes
 $\bigwedge t. ctxt\langle t \rangle_G \prec_t ctxt'\langle t \rangle_G$
 $t \preceq_t t'$
shows $ctxt\langle t \rangle_G \prec_t ctxt'\langle t' \rangle_G$
using *assms* *less-trm-compatible-with-gctxt*
by (*metis reflclp-iff term-order.dual-order.strict-trans*)

lemma *context-lesseq-term-less*:
assumes
 $\bigwedge t. ctxt\langle t \rangle_G \preceq_t ctxt'\langle t \rangle_G$
 $t \prec_t t'$
shows $ctxt\langle t \rangle_G \prec_t ctxt'\langle t' \rangle_G$
using *assms* *less-trm-compatible-with-gctxt* *term-order.dual-order.strict-trans1*
by *blast*

end


```

end
theory Ground-Type-System
  imports Ground-Clause
begin

inductive welltyped for  $\mathcal{F}$  where
  GFun:  $\mathcal{F} f = (\tau s, \tau) \implies \text{list-all2} (\text{welltyped } \mathcal{F}) \text{ ts } \tau s \implies \text{welltyped } \mathcal{F} (G\text{Fun } f \text{ ts}) \tau$ 

lemma welltyped-right-unique: right-unique (welltyped  $\mathcal{F}$ )
proof (rule right-uniqueI)
  fix  $t \tau_1 \tau_2$ 
  assume welltyped  $\mathcal{F} t \tau_1$  and welltyped  $\mathcal{F} t \tau_2$ 
  thus  $\tau_1 = \tau_2$ 
  by (auto elim!: welltyped.cases)
qed

definition welltypeda where
  welltypeda  $\mathcal{F} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{welltyped } \mathcal{F} t \tau)$ 

definition welltypedl where
  welltypedl  $\mathcal{F} L \longleftrightarrow \text{welltyped}_a \mathcal{F} (\text{atm-of } L)$ 

definition welltypedc where
  welltypedc  $\mathcal{F} C \longleftrightarrow (\forall L \in \# C. \text{welltyped}_l \mathcal{F} L)$ 

definition welltypedcs where
  welltypedcs  $\mathcal{F} N \longleftrightarrow (\forall C \in N. \text{welltyped}_c \mathcal{F} C)$ 

lemma welltypedc-add-mset:
  welltypedc  $\mathcal{F} (\text{add-mset } L C) \longleftrightarrow \text{welltyped}_l \mathcal{F} L \wedge \text{welltyped}_c \mathcal{F} C$ 
  by (simp add: welltypedc-def)

lemma welltypedc-plus:
  welltypedc  $\mathcal{F} (C + D) \longleftrightarrow \text{welltyped}_c \mathcal{F} C \wedge \text{welltyped}_c \mathcal{F} D$ 
  by (auto simp: welltypedc-def)

lemma gctxt-apply-term-preserves-typing:
  assumes
     $\kappa$ -type: welltyped  $\mathcal{F} \kappa\langle t \rangle_G \tau_1$  and
     $t$ -type: welltyped  $\mathcal{F} t \tau_2$  and
     $t'$ -type: welltyped  $\mathcal{F} t' \tau_2$ 
  shows welltyped  $\mathcal{F} \kappa\langle t' \rangle_G \tau_1$ 
  using  $\kappa$ -type
proof (induction  $\kappa$  arbitrary:  $\tau_1$ )
  case GHole
  then show ?case
  using  $t$ -type  $t'$ -type

```

```

    using welltyped-right-unique[of  $\mathcal{F}$ , THEN right-uniqueD]
    by auto
next
case (GMore f ss1  $\kappa$  ss2)
have welltyped  $\mathcal{F}$  (GFun f (ss1 @  $\kappa\langle t \rangle_G \#$  ss2))  $\tau_1$ 
  using GMore.premis by simp
hence welltyped  $\mathcal{F}$  (GFun f (ss1 @  $\kappa\langle t \rangle_G \#$  ss2))  $\tau_1$ 
proof (cases  $\mathcal{F}$  GFun f (ss1 @  $\kappa\langle t \rangle_G \#$  ss2)  $\tau_1$  rule: welltyped.cases)
  case (GFun  $\tau s$ )
  show ?thesis
  proof (rule welltyped.GFun)
    show  $\mathcal{F} f = (\tau s, \tau_1)$ 
    using  $\langle \mathcal{F} f = (\tau s, \tau_1) \rangle$  .
  next
  show list-all2 (welltyped  $\mathcal{F}$ ) (ss1 @  $\kappa\langle t \rangle_G \#$  ss2)  $\tau s$ 
  using  $\langle$ list-all2 (welltyped  $\mathcal{F}$ ) (ss1 @  $\kappa\langle t \rangle_G \#$  ss2)  $\tau s \rangle$ 
  using GMore.IH
  by (smt (verit, del-insts) list-all2-Cons1 list-all2-append1 list-all2-lengthD)
qed
qed
thus ?case
  by simp
qed

end
theory Ground-Superposition
imports

  Main

  Saturation-Framework.Calculus
  Saturation-Framework-Extensions.Clausal-Calculus
  Abstract-Rewriting.Abstract-Rewriting

  Abstract-Rewriting-Extra
  Ground-Critical-Pairs
  Multiset-Extra
  Term-Rewrite-System
  Transitive-Closure-Extra
  Uprod-Extra
  HOL-Extra
  Relation-Extra
  Clausal-Calculus-Extra
  Selection-Function
  Ground-Ordering
  Ground-Type-System
begin

```

hide-type *Inference-System.inference*

hide-const

Inference-System.Infer

Inference-System.prem-s-of

Inference-System.concl-of

Inference-System.main-prem-of

no-notation *subst-compose* (**infixl** \circ_s 75)

no-notation *subst-apply-term* (**infixl** \cdot 67)

1 Superposition Calculus

locale *ground-superposition-calculus* = *ground-ordering less-trm* + *select select*

for

less-trm :: 'f gterm \Rightarrow 'f gterm \Rightarrow bool (**infix** \prec_t 50) **and**

select :: 'f gatom clause \Rightarrow 'f gatom clause +

assumes

ground-critical-pair-theorem: $\bigwedge(R :: 'f gterm \text{ rel}). \text{ground-critical-pair-theorem}$

R

begin

1.1 Ground Rules

inductive *ground-superposition* ::

'f gatom clause \Rightarrow 'f gatom clause \Rightarrow 'f gatom clause \Rightarrow bool

where

ground-superpositionI:

$E = \text{add-mset } L_E \ E' \Longrightarrow$

$D = \text{add-mset } L_D \ D' \Longrightarrow$

$D \prec_c E \Longrightarrow$

$\mathcal{P} \in \{\text{Pos}, \text{Neg}\} \Longrightarrow$

$L_E = \mathcal{P} \ (\text{Upair } \kappa\langle t \rangle_G \ u) \Longrightarrow$

$L_D = t \approx t' \Longrightarrow$

$u \prec_t \kappa\langle t \rangle_G \Longrightarrow$

$t' \prec_t t \Longrightarrow$

$(\mathcal{P} = \text{Pos} \wedge \text{select } E = \{\#\} \wedge \text{is-strictly-maximal-lit } L_E \ E) \vee$

$(\mathcal{P} = \text{Neg} \wedge (\text{select } E = \{\#\} \wedge \text{is-maximal-lit } L_E \ E \vee \text{is-maximal-lit } L_E \ (\text{select}$

$E))) \Longrightarrow$

$\text{select } D = \{\#\} \Longrightarrow$

$\text{is-strictly-maximal-lit } L_D \ D \Longrightarrow$

$C = \text{add-mset } (\mathcal{P} \ (\text{Upair } \kappa\langle t \rangle_G \ u)) \ (E' + D') \Longrightarrow$

$\text{ground-superposition } D \ E \ C$

inductive *ground-eq-resolution* ::

'f gatom clause \Rightarrow 'f gatom clause \Rightarrow bool **where**

ground-eq-resolutionI:

$D = \text{add-mset } L \ D' \Longrightarrow$

$L = \text{Neg } (\text{Upair } t \ t) \implies$
 $\text{select } D = \{\#\} \wedge \text{is-maximal-lit } L \ D \vee \text{is-maximal-lit } L \ (\text{select } D) \implies$
 $C = D' \implies$
 $\text{ground-eq-resolution } D \ C$

inductive *ground-eq-factoring* ::

'f gatom clause \implies *'f gatom clause* \implies *bool* **where**

ground-eq-factoringI:

$D = \text{add-mset } L_1 \ (\text{add-mset } L_2 \ D') \implies$

$L_1 = t \approx t' \implies$

$L_2 = t \approx t'' \implies$

$\text{select } D = \{\#\} \implies$

$\text{is-maximal-lit } L_1 \ D \implies$

$t' \prec_t t \implies$

$C = \text{add-mset } (\text{Neg } (\text{Upair } t' \ t'')) \ (\text{add-mset } (t \approx t'') \ D') \implies$

ground-eq-factoring $D \ C$

1.1.1 Alternative Specification of the Superposition Rule

inductive *ground-superposition'* ::

'f gatom clause \implies *'f gatom clause* \implies *'f gatom clause* \implies *bool*

where

ground-superposition'I:

$P_1 = \text{add-mset } L_1 \ P_1' \implies$

$P_2 = \text{add-mset } L_2 \ P_2' \implies$

$P_2 \prec_c P_1 \implies$

$\mathcal{P} \in \{\text{Pos}, \text{Neg}\} \implies$

$L_1 = \mathcal{P} \ (\text{Upair } s\langle t \rangle_G \ s') \implies$

$L_2 = t \approx t' \implies$

$s' \prec_t s\langle t \rangle_G \implies$

$t' \prec_t t \implies$

$(\mathcal{P} = \text{Pos} \longrightarrow \text{select } P_1 = \{\#\} \wedge \text{is-strictly-maximal-lit } L_1 \ P_1) \implies$

$(\mathcal{P} = \text{Neg} \longrightarrow (\text{select } P_1 = \{\#\} \wedge \text{is-maximal-lit } L_1 \ P_1 \vee \text{is-maximal-lit } L_1$

$(\text{select } P_1))) \implies$

$\text{select } P_2 = \{\#\} \implies$

$\text{is-strictly-maximal-lit } L_2 \ P_2 \implies$

$C = \text{add-mset } (\mathcal{P} \ (\text{Upair } s\langle t \rangle_G \ s')) \ (P_1' + P_2') \implies$

ground-superposition' $P_2 \ P_1 \ C$

lemma *ground-superposition'* = *ground-superposition*

proof (*intro ext iffI*)

fix $P_1 \ P_2 \ C$

assume *ground-superposition'* $P_2 \ P_1 \ C$

thus *ground-superposition* $P_2 \ P_1 \ C$

proof (*cases* $P_2 \ P_1 \ C$ *rule: ground-superposition'.cases*)

case (*ground-superposition'I* $L_1 \ P_1' \ L_2 \ P_2' \ \mathcal{P} \ s \ t \ s' \ t'$)

thus *?thesis*

using *ground-superpositionI* **by** *blast*

qed

```

next
  fix  $P_1 P_2 C$ 
  assume ground-superposition  $P_1 P_2 C$ 
  thus ground-superposition'  $P_1 P_2 C$ 
  proof (cases  $P_1 P_2 C$  rule: ground-superposition.cases)
    case (ground-superpositionI  $L_1 P_1' L_2 P_2' \mathcal{P} s t s' t'$ )
      thus ?thesis
      using ground-superposition'I
      by (metis literals-distinct(2))
  qed
qed

```

```

inductive ground-pos-superposition ::
  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  bool
where
  ground-pos-superpositionI:
     $P_1 = \text{add-mset } L_1 P_1' \Longrightarrow$ 
     $P_2 = \text{add-mset } L_2 P_2' \Longrightarrow$ 
     $P_2 \prec_c P_1 \Longrightarrow$ 
     $L_1 = s\langle t \rangle_G \approx s' \Longrightarrow$ 
     $L_2 = t \approx t' \Longrightarrow$ 
     $s' \prec_t s\langle t \rangle_G \Longrightarrow$ 
     $t' \prec_t t \Longrightarrow$ 
    select  $P_1 = \{\#\} \Longrightarrow$ 
    is-strictly-maximal-lit  $L_1 P_1 \Longrightarrow$ 
    select  $P_2 = \{\#\} \Longrightarrow$ 
    is-strictly-maximal-lit  $L_2 P_2 \Longrightarrow$ 
     $C = \text{add-mset } (s\langle t \rangle_G \approx s') (P_1' + P_2') \Longrightarrow$ 
    ground-pos-superposition  $P_2 P_1 C$ 

```

```

lemma ground-superposition-if-ground-pos-superposition:
  assumes step: ground-pos-superposition  $P_2 P_1 C$ 
  shows ground-superposition  $P_2 P_1 C$ 
  using step
proof (cases  $P_2 P_1 C$  rule: ground-pos-superposition.cases)
  case (ground-pos-superpositionI  $L_1 P_1' L_2 P_2' s t s' t'$ )
  thus ?thesis
    using ground-superpositionI
    by (metis insert-iff)
qed

```

```

inductive ground-neg-superposition ::
  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  bool
where
  ground-neg-superpositionI:
     $P_1 = \text{add-mset } L_1 P_1' \Longrightarrow$ 
     $P_2 = \text{add-mset } L_2 P_2' \Longrightarrow$ 
     $P_2 \prec_c P_1 \Longrightarrow$ 
     $L_1 = \text{Neg } (\text{Upair } s\langle t \rangle_G s') \Longrightarrow$ 

```

$L_2 = t \approx t' \implies$
 $s' \prec_t s\langle t \rangle_G \implies$
 $t' \prec_t t \implies$
 $\text{select } P_1 = \{\#\} \wedge \text{is-maximal-lit } L_1 P_1 \vee \text{is-maximal-lit } L_1 (\text{select } P_1) \implies$
 $\text{select } P_2 = \{\#\} \implies$
 $\text{is-strictly-maximal-lit } L_2 P_2 \implies$
 $C = \text{add-mset } (\text{Neg } (\text{Upair } s\langle t \rangle_G s^\wedge)) (P_1' + P_2') \implies$
 $\text{ground-neg-superposition } P_2 P_1 C$

lemma *ground-superposition-if-ground-neg-superposition:*
assumes *ground-neg-superposition* $P_2 P_1 C$
shows *ground-superposition* $P_2 P_1 C$
using *assms*
proof (*cases* $P_2 P_1 C$ *rule: ground-neg-superposition.cases*)
case (*ground-neg-superpositionI* $L_1 P_1' L_2 P_2' s t s' t'$)
then show *?thesis*
using *ground-superpositionI*
by (*metis insert-iff*)
qed

lemma *ground-superposition-iff-pos-or-neg:*
 $\text{ground-superposition } P_2 P_1 C \longleftrightarrow$
 $\text{ground-pos-superposition } P_2 P_1 C \vee \text{ground-neg-superposition } P_2 P_1 C$
proof (*rule iffI*)
assume *ground-superposition* $P_2 P_1 C$
thus *ground-pos-superposition* $P_2 P_1 C \vee \text{ground-neg-superposition } P_2 P_1 C$
proof (*cases* $P_2 P_1 C$ *rule: ground-superposition.cases*)
case (*ground-superpositionI* $L_1 P_1' L_2 P_2' \mathcal{P} s t s' t'$)
then show *?thesis*
using *ground-pos-superpositionI* [*of* $P_1 L_1 P_1' P_2 L_2 P_2' s t s' t'$]
using *ground-neg-superpositionI* [*of* $P_1 L_1 P_1' P_2 L_2 P_2' s t s' t'$]
by *metis*
qed
next
assume *ground-pos-superposition* $P_2 P_1 C \vee \text{ground-neg-superposition } P_2 P_1 C$
thus *ground-superposition* $P_2 P_1 C$
using *ground-superposition-if-ground-neg-superposition*
ground-superposition-if-ground-pos-superposition
by *metis*
qed

1.2 Ground Layer

definition $G\text{-Inf} :: 'f \text{ gatom clause inference set where}$

$G\text{-Inf} =$
 $\{\text{Infer } [P_2, P_1] C \mid P_2 P_1 C. \text{ground-superposition } P_2 P_1 C\} \cup$
 $\{\text{Infer } [P] C \mid P C. \text{ground-eq-resolution } P C\} \cup$
 $\{\text{Infer } [P] C \mid P C. \text{ground-eq-factoring } P C\}$

abbreviation $G\text{-Bot} :: 'f \text{ gatom clause set where}$
 $G\text{-Bot} \equiv \{\#\}$

definition $G\text{-entails} :: 'f \text{ gatom clause set} \Rightarrow 'f \text{ gatom clause set} \Rightarrow \text{bool where}$
 $G\text{-entails } N_1 N_2 \longleftrightarrow (\forall (I :: 'f \text{ gterm rel}). \text{refl } I \longrightarrow \text{trans } I \longrightarrow \text{sym } I \longrightarrow$
 $\text{compatible-with-gctxt } I \longrightarrow \text{upair } 'I \Vdash_s N_1 \longrightarrow \text{upair } 'I \Vdash_s N_2)$

lemma $\text{ground-superposition-smaller-conclusion:}$

assumes

$\text{step: ground-superposition } P1 P2 C$

shows $C \prec_c P2$

using step

proof ($\text{cases } P1 P2 C \text{ rule: ground-superposition.cases}$)

case ($\text{ground-superpositionI } L_1 P_1' L_2 P_2' \mathcal{P} s t s' t'$)

have $P_1' + \text{add-mset } (\mathcal{P} (\text{Upair } s\langle t \rangle_G s')) P_2' \prec_c P_1' + \{\#\mathcal{P} (\text{Upair } s\langle t \rangle_G s')\}$

unfolding less-cls-def

proof ($\text{intro one-step-implies-multp ballI}$)

fix K **assume** $K \in \# \text{add-mset } (\mathcal{P} (\text{Upair } s\langle t \rangle_G s')) P_2'$

moreover have $\mathcal{P} (\text{Upair } s\langle t \rangle_G s') \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$

proof –

have $s\langle t \rangle_G \prec_t s\langle t \rangle_G$

using $\langle t' \prec_t t \rangle \text{less-trm-compatible-with-gctxt by simp}$

hence $\text{multp } (\prec_t) \{\#s\langle t \rangle_G, s'\#\} \{\#s\langle t \rangle_G, s'\#\}$

using transp-less-trm

by ($\text{simp add: add-mset-commute multp-cancel-add-mset}$)

have $?thesis \text{ if } \mathcal{P} = \text{Pos}$

unfolding that less-lit-def

using $\langle \text{multp } (\prec_t) \{\#s\langle t \rangle_G, s'\#\} \{\#s\langle t \rangle_G, s'\#\} \rangle \text{ by simp}$

moreover have $?thesis \text{ if } \mathcal{P} = \text{Neg}$

unfolding that less-lit-def

using $\langle \text{multp } (\prec_t) \{\#s\langle t \rangle_G, s'\#\} \{\#s\langle t \rangle_G, s'\#\} \rangle$

using $\text{multp-double-doubleI by force}$

ultimately show $?thesis$

using $\langle \mathcal{P} \in \{\text{Pos}, \text{Neg}\} \rangle \text{ by auto}$

qed

moreover have $\forall K \in \# P_2'. K \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$

proof –

have $\text{is-strictly-maximal-lit } L_2 P1$

using $\text{ground-superpositionI by argo}$

hence $\forall K \in \# P_2'. \neg \text{Pos } (\text{Upair } t t') \prec_l K \wedge \text{Pos } (\text{Upair } t t') \neq K$

unfolding $\text{literal-order.is-greatest-in-mset-iff}$

unfolding $\langle P1 = \text{add-mset } L_2 P_2' \rangle \langle L_2 = t \approx t' \rangle$

```

    by auto
  hence  $\forall K \in \# P_2'. K \prec_l Pos (Upair t t')$ 
    using literal-order.totalp-on-less[THEN totalpD] by metis

  have thesis-if-Neg:  $Pos (Upair t t') \prec_l \mathcal{P} (Upair s\langle t \rangle_G s')$ 
    if  $\mathcal{P} = Neg$ 
  proof -
    have  $t \preceq_t s\langle t \rangle_G$ 
      using lesseq-trm-if-subtermeq .
    hence  $multp (\prec_t) \{\#t, t'\# \} \{\#s\langle t \rangle_G, s', s\langle t \rangle_G, s'\#\}$ 
      unfolding reflclp-iff
    proof (elim disjE)
      assume  $t \prec_t s\langle t \rangle_G$ 
      moreover hence  $t' \prec_t s\langle t \rangle_G$ 
        by (meson  $\langle t' \prec_t t \rangle transpD transp-less-trm$ )
      ultimately show ?thesis
        by (auto intro: one-step-implies-multp[of - - -  $\{\#\}$ , simplified])
    next
      assume  $t = s\langle t \rangle_G$ 
      thus ?thesis
        using  $\langle t' \prec_t t \rangle$ 
      by (smt (verit, ccfv-SIG) add commute add-mset-add-single add-mset-commute
        bex-empty
          one-step-implies-multp set-mset-add-mset-insert set-mset-empty
            singletonD
              union-single-eq-member)
    qed
  thus  $Pos (Upair t t') \prec_l \mathcal{P} (Upair s\langle t \rangle_G s')$ 
    using  $\langle \mathcal{P} = Neg \rangle$ 
    by (simp add: less-lit-def)
  qed

  have thesis-if-Pos:  $Pos (Upair t t') \preceq_l \mathcal{P} (Upair s\langle t \rangle_G s')$ 
    if  $\mathcal{P} = Pos$  and is-maximal-lit  $L_1 P_2$ 
  proof (cases s)
    case GHole
      show ?thesis
      proof (cases  $t' \preceq_t s'$ )
        case True
          hence  $(multp (\prec_t))^{==} \{\#t, t'\# \} \{\#s\langle t \rangle_G, s'\#\}$ 
            unfolding GHole
            using transp-less-trm
            by (simp add: multp-cancel-add-mset)
          thus ?thesis
            unfolding GHole  $\langle \mathcal{P} = Pos \rangle$ 
            by (auto simp: less-lit-def)
        case False
          hence  $s' \prec_t t'$ 

```


by order
 hence $\text{multp } (\prec_t) \{\#s\langle t \rangle_G, s'\#\} \{\#t, t'\#\}$
 using *transp-less-trm*
 by (*simp add: GHole multp-cancel-add-mset*)
 hence $\mathcal{P} (\text{Upair } s\langle t \rangle_G s') \prec_l \text{Pos} (\text{Upair } t t')$
 using $\langle \mathcal{P} = \text{Pos} \rangle$
 by (*simp add: less-lit-def*)
 moreover have $\forall K \in \# P_1'. K \preceq_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$
 using *that*
 unfolding *ground-superpositionI*
 unfolding *literal-order.is-maximal-in-mset-iff*
 by *auto*
 ultimately have $\forall K \in \# P_1'. K \preceq_l \text{Pos} (\text{Upair } t t')$
 using *literal-order.transp-on-less*
 by (*metis (no-types, lifting) reflclp-iff transpD*)
 hence $P_2 \prec_c P_1$
 using $\langle \mathcal{P} (\text{Upair } s\langle t \rangle_G s') \prec_l \text{Pos} (\text{Upair } t t') \rangle$
one-step-implies-multp[of $P_1 P_2 (\prec_l) \{\#\}$, *simplified*]
 unfolding *ground-superpositionI less-cls-def*
 by (*metis* $\langle \forall K \in \# P_1'. K \preceq_l (\mathcal{P} (\text{Upair } s\langle t \rangle_G s')) \rangle$ *empty-not-add-mset*
insert-iff reflclp-iff
set-mset-add-mset-insert transpD literal-order.transp-on-less)
 hence *False*
 using $\langle P_1 \prec_c P_2 \rangle$ by order
 thus ?thesis ..
 qed
 next
 case (*GMore f ts1 ctxt ts2*)
 hence $t \prec_t s\langle t \rangle_G$
 using *less-trm-if-subterm*[of $s t$] by *simp*
 moreover hence $t' \prec_t s\langle t \rangle_G$
 using $\langle t' \prec_t t \rangle$ by order
 ultimately have $\text{multp } (\prec_t) \{\#t, t'\#\} \{\#s\langle t \rangle_G, s'\#\}$
 using *one-step-implies-multp*[of $\{\#s\langle t \rangle_G, s'\#\} \{\#t, t'\#\} (\prec_t) \{\#\}$] by
simp
 hence $\text{Pos} (\text{Upair } t t') \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$
 using $\langle \mathcal{P} = \text{Pos} \rangle$
 by (*simp add: less-lit-def*)
 thus ?thesis
 by order
 qed

 have $\mathcal{P} = \text{Pos} \vee \mathcal{P} = \text{Neg}$
 using $\langle \mathcal{P} \in \{\text{Pos}, \text{Neg}\} \rangle$ by *simp*
 thus ?thesis
 proof (*elim disjE; intro ballI*)
 fix K assume $\mathcal{P} = \text{Pos } K \in \# P_2'$
 have $K \prec_l t \approx t'$
 using $\langle \forall K \in \# P_2'. K \prec_l t \approx t' \rangle \langle K \in \# P_2' \rangle$ by *metis*

```

also have  $t \approx t' \preceq_l \mathcal{P} (U\text{pair } s\langle t \rangle_G s')$ 
proof (rule thesis-if-Pos[OF <math>\mathcal{P} = Pos</math>])
  have is-strictly-maximal-lit  $L_1 P_2$ 
    using  $\langle \mathcal{P} = Pos \rangle$  ground-superpositionI literal.simps(4)
    by (metis literal.simps(4))
  thus is-maximal-lit  $L_1 P_2$ 
    using literal-order.is-maximal-in-mset-if-is-greatest-in-mset by metis
qed
finally show  $K \prec_l \mathcal{P} (U\text{pair } s\langle t \rangle_G s')$  .
next
fix  $K$  assume  $\mathcal{P} = \text{Neg } K \in\# P_2'$ 
have  $K \prec_l t \approx t'$ 
  using  $\langle \forall K \in\# P_2'. K \prec_l t \approx t' \rangle \langle K \in\# P_2' \rangle$  by metis
also have  $t \approx t' \prec_l \mathcal{P} (U\text{pair } s\langle t \rangle_G s')$ 
  using thesis-if-Neg[OF <math>\mathcal{P} = Neg</math>] .
finally show  $K \prec_l \mathcal{P} (U\text{pair } s\langle t \rangle_G s')$  .
qed
qed

ultimately show  $\exists j \in\# \{ \# \mathcal{P} (U\text{pair } s\langle t \rangle_G s') \# \}. K \prec_l j$ 
by auto
qed simp

moreover have  $C = \text{add-mset } (\mathcal{P} (U\text{pair } s\langle t \rangle_G s')) (P_1' + P_2')$ 
unfolding ground-superpositionI ..

moreover have  $P_2 = P_1' + \{ \# \mathcal{P} (U\text{pair } s\langle t \rangle_G s') \# \}$ 
unfolding ground-superpositionI by simp

ultimately show ?thesis
by simp
qed

lemma ground-eq-resolution-smaller-conclusion:
assumes step: ground-eq-resolution P C
shows  $C \prec_c P$ 
using step
proof (cases P C rule: ground-eq-resolution.cases)
case (ground-eq-resolutionI L t)
then show ?thesis
  using clause-order.totalp-on-less unfolding less-cls-def
  by (metis add.right-neutral add-mset-add-single empty-iff empty-not-add-mset
    one-step-implies-multp set-mset-empty)
qed

lemma ground-eq-factoring-smaller-conclusion:
assumes step: ground-eq-factoring P C
shows  $C \prec_c P$ 
using step

```

```

proof (cases P C rule: ground-eq-factoring.cases)
  case (ground-eq-factoringI L1 L2 P' t t' t'')
  have is-maximal-lit L1 P
    using ground-eq-factoringI by simp
  hence  $\forall K \in \# \text{ add-mset } (\text{Pos } (\text{Upair } t \ t'')) \ P'. \neg \text{Pos } (\text{Upair } t \ t') \prec_l K$ 
    unfolding ground-eq-factoringI
    by (simp add: literal-order.is-maximal-in-mset-iff literal-order.neq-iff)
  hence  $\neg \text{Pos } (\text{Upair } t \ t') \prec_l \text{Pos } (\text{Upair } t \ t'')$ 
    by simp
  hence  $\text{Pos } (\text{Upair } t \ t'') \preceq_l \text{Pos } (\text{Upair } t \ t')$ 
    by order
  hence  $t'' \preceq_t t'$ 
    unfolding reflclp-iff
    using transp-less-trm
    by (auto simp: less-lit-def multp-cancel-add-mset)

  have  $C = \text{add-mset } (\text{Neg } (\text{Upair } t' \ t'')) \ (\text{add-mset } (\text{Pos } (\text{Upair } t \ t'')) \ P')$ 
    using ground-eq-factoringI by argo

  moreover have  $\text{add-mset } (\text{Neg } (\text{Upair } t' \ t'')) \ (\text{add-mset } (\text{Pos } (\text{Upair } t \ t'')) \ P')$ 
 $\prec_c P$ 
    unfolding ground-eq-factoringI less-cls-def
  proof (intro one-step-implies-multp[of {#-#} {#-#}, simplified])
    have  $t'' \prec_t t$ 
      using  $\langle t' \prec_t t \rangle \langle t'' \preceq_t t' \rangle$  by order
    hence  $\text{multp } (\prec_t) \{ \#t', t'', t', t''\# \} \{ \#t, t'\# \}$ 
      using one-step-implies-multp[of - - {#}, simplified]
      by (metis  $\langle t' \prec_t t \rangle$  diff-empty id-remove-1-mset-iff-notin insert-iff
        set-mset-add-mset-insert)
    thus  $\text{Neg } (\text{Upair } t' \ t'') \prec_l \text{Pos } (\text{Upair } t \ t')$ 
      by (simp add: less-lit-def)
  qed

  ultimately show ?thesis
    by argo
  qed

end

sublocale ground-superposition-calculus  $\subseteq$  consequence-relation where
  Bot = G-Bot and
  entails = G-entails
proof unfold-locales
  show G-Bot  $\neq \{ \}$ 
    by simp
next
  show  $\bigwedge B \ N. B \in G\text{-Bot} \implies G\text{-entails } \{B\} \ N$ 
    by (simp add: G-entails-def)
next

```

```

show  $\bigwedge N2\ N1. N2 \subseteq N1 \implies G\text{-entails } N1\ N2$ 
  by (auto simp: G-entails-def elim!: true-cls-mono[rotated])
next
fix  $N1\ N2$  assume ball-G-entails:  $\forall C \in N2. G\text{-entails } N1\ \{C\}$ 
show  $G\text{-entails } N1\ N2$ 
  unfolding G-entails-def
proof (intro allI impI)
  fix  $I :: 'f\ gterm\ rel$ 
  assume refl I and trans I and sym I and compatible-with-gtxt I and
    ( $\lambda(x, y). Upair\ x\ y$ ) '  $I \models_s N1$ 
  hence  $\forall C \in N2. (\lambda(x, y). Upair\ x\ y) ' I \models_s \{C\}$ 
  using ball-G-entails by (simp add: G-entails-def)
  then show ( $\lambda(x, y). Upair\ x\ y$ ) '  $I \models_s N2$ 
    by (simp add: true-cls-def)
  qed
next
show  $\bigwedge N1\ N2\ N3. G\text{-entails } N1\ N2 \implies G\text{-entails } N2\ N3 \implies G\text{-entails } N1\ N3$ 
  using G-entails-def by simp
qed

end
theory Ground-Superposition-Completeness
  imports Ground-Superposition
begin

```

1.3 Redundancy Criterion

```

sublocale ground-superposition-calculus  $\subseteq$  calculus-with-finitary-standard-redundancy
where
   $Inf = G\text{-Inf}$  and
   $Bot = G\text{-Bot}$  and
   $entails = G\text{-entails}$  and
   $less = (\prec_c)$ 
  defines  $GRed\text{-I} = Red\text{-I}$  and  $GRed\text{-F} = Red\text{-F}$ 
proof unfold-locales
  show transp  $(\prec_c)$ 
    using clause-order.transp-on-less .
next
  show wfP  $(\prec_c)$ 
    using wfP-less-cls .
next
  show  $\bigwedge \iota. \iota \in G\text{-Inf} \implies \text{prems-of } \iota \neq []$ 
    by (auto simp: G-Inf-def)
next
  fix  $\iota$ 
  have concl-of  $\iota \prec_c$  main-prem-of  $\iota$ 
  if  $\iota\text{-def: } \iota = Infer\ [P_2, P_1]\ C$  and
    infer: ground-superposition  $P_2\ P_1\ C$ 
  for  $P_2\ P_1\ C$ 

```

unfolding ι -def
using infer
using ground-superposition-smaller-conclusion
by simp

moreover have concl-of $\iota \prec_c$ main-prem-of ι
if ι -def: $\iota = \text{Infer } [P] \ C$ **and**
 infer: ground-eq-resolution $P \ C$
for $P \ C$
unfolding ι -def
using infer
using ground-eq-resolution-smaller-conclusion
by simp

moreover have concl-of $\iota \prec_c$ main-prem-of ι
if ι -def: $\iota = \text{Infer } [P] \ C$ **and**
 infer: ground-eq-factoring $P \ C$
for $P \ C$
unfolding ι -def
using infer
using ground-eq-factoring-smaller-conclusion
by simp

ultimately show $\iota \in G\text{-Inf} \implies \text{concl-of } \iota \prec_c \text{ main-prem-of } \iota$
unfolding $G\text{-Inf-def}$
by fast

qed

1.4 Mode Construction

context ground-superposition-calculus **begin**

function $\epsilon :: - \Rightarrow 'f \text{ gatom clause} \Rightarrow 'f \text{ gterm rel}$ **where**
 $\epsilon \ N \ C = \{(s, t) \mid s \ t \ C'\}$
 $C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } (\text{Upair } s \ t)) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal-lit } (\text{Pos } (\text{Upair } s \ t)) \ C \wedge$
 $t \prec_t s \wedge$
 $(\text{let } R_C = (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon \ \{E \in N. E \preceq_c D\} \ D) \ \text{in}$
 $\neg \text{upair } \text{'(rewrite-inside-gctat } R_C)\downarrow \models C \wedge$
 $\neg \text{upair } \text{'(rewrite-inside-gctat } (\text{insert } (s, t) \ R_C)\downarrow \models C' \wedge$
 $s \in \text{NF } (\text{rewrite-inside-gctat } R_C)\})$
by auto

termination ϵ

proof (relation $\{((x1, x2), (y1, y2)). x2 \prec_c y2\}$)

define $f :: 'c \times 'f \text{ gterm uprod literal multiset} \Rightarrow 'f \text{ gterm uprod literal multiset}$
where

```

    f = (λ(x1, x2). x2)
  have wfp (λ(x1, x2) (y1, y2). x2 <_c y2)
  proof (rule wfp-if-convertible-to-wfp)
    show ∧x y. (case x of (x1, x2) ⇒ λ(y1, y2). x2 <_c y2) y ⇒ (snd x) <_c (snd
y)
    by auto
  next
  show wfp (<_c)
    by simp
  qed
  thus wf {((x1, x2), (y1, y2)). x2 <_c y2}
    by (simp add: wfp-def)
next
  show ∧N C x xa xb xc xd. xd ∈ {D ∈ N. D <_c C} ⇒ (({E ∈ N. E ≤_c xd},
xd), N, C) ∈ {((x1, x2), y1, y2). x2 <_c y2}
    by simp
  qed

declare epsilon.simps[simp del]

lemma epsilon-filter-le-conv: epsilon {D ∈ N. D ≤_c C} C = epsilon N C
proof (intro subset-antisym subrelI)
  fix x y
  assume (x, y) ∈ epsilon {D ∈ N. D ≤_c C} C
  then obtain C' where
    C ∈ N and
    C = add-mset (x ≈ y) C' and
    select C = {#} and
    is-strictly-maximal-lit (x ≈ y) C and
    y <_t x and
    (let R_C = ⋃x∈{D ∈ N. (D <_c C ∨ D = C) ∧ D <_c C}. epsilon {E ∈ N. (E
<_c C ∨ E = C) ∧ E ≤_c x} x in
    ¬ upair ' (rewrite-inside-gctxt R_C)ᵈ ⊨ C ∧
    ¬ upair ' (rewrite-inside-gctxt (insert (x, y) R_C))ᵈ ⊨ C' ∧
    x ∈ NF (rewrite-inside-gctxt R_C))
  unfolding epsilon.simps[of - C] mem-Collect-eq
  by auto

  moreover have (⋃x∈{D ∈ N. (D <_c C ∨ D = C) ∧ D <_c C}. epsilon {E ∈
N. (E <_c C ∨ E = C) ∧ E ≤_c x} x) = (⋃D∈{D ∈ N. D <_c C}. epsilon {E ∈
N. E ≤_c D} D)
  proof (rule SUP-cong)
    show {D ∈ N. (D <_c C ∨ D = C) ∧ D <_c C} = {D ∈ N. D <_c C}
      by metis
  next
  show ∧x. x ∈ {D ∈ N. D <_c C} ⇒ epsilon {E ∈ N. (E <_c C ∨ E = C) ∧
E ≤_c x} x = epsilon {E ∈ N. E ≤_c x} x
    by (metis (mono-tags, lifting) clause-order.order.strict-trans1 mem-Collect-eq)
  qed

```

ultimately show $(x, y) \in \text{epsilon } N C$
unfolding $\text{epsilon.simps}[of - C]$ **by** simp
next
fix $x y$
assume $(x, y) \in \text{epsilon } N C$
then obtain C' **where**
 $C \in N$ **and**
 $C = \text{add-mset } (x \approx y) C'$ **and**
 $\text{select } C = \{\#\}$ **and**
 $\text{is-strictly-maximal-lit } (x \approx y) C$ **and**
 $y \prec_t x$ **and**
 $(\text{let } R_C = \bigcup x \in \{D \in N. D \prec_c C\}. \text{epsilon } \{E \in N. E \preceq_c x\} x \text{ in}$
 $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } R_C)^\downarrow \Vdash C \wedge$
 $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{insert } (x, y) R_C))^\downarrow \Vdash C' \wedge$
 $x \in NF (\text{rewrite-inside-gctxt } R_C))$
unfolding $\text{epsilon.simps}[of - C]$ mem-Collect-eq
by auto

moreover have $(\bigcup x \in \{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\}. \text{epsilon } \{E \in$
 $N. (E \prec_c C \vee E = C) \wedge E \preceq_c x\} x) = (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } \{E \in$
 $N. E \preceq_c D\} D)$
proof $(\text{rule } SUP\text{-cong})$
show $\{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\} = \{D \in N. D \prec_c C\}$
by metis
next
show $\bigwedge x. x \in \{D \in N. D \prec_c C\} \implies \text{epsilon } \{E \in N. (E \prec_c C \vee E = C) \wedge$
 $E \preceq_c x\} x = \text{epsilon } \{E \in N. E \preceq_c x\} x$
by $(\text{metis } (\text{mono-tags, lifting}) \text{ clause-order.order.strict-trans1 mem-Collect-eq})$
qed

ultimately show $(x, y) \in \text{epsilon } \{D \in N. (\prec_c)^\text{==} D C\} C$
unfolding $\text{epsilon.simps}[of - C]$ **by** simp
qed

end

lemma $(\text{in ground-ordering}) \text{Uniq-strictly-maximal-lit-in-ground-cls}$:
 $\exists_{\leq 1} L. \text{is-strictly-maximal-lit } L C$
using $\text{literal-order.Uniq-is-greatest-in-mset}$.

lemma $(\text{in ground-superposition-calculus}) \text{epsilon-eq-empty-or-singleton}$:
 $\text{epsilon } N C = \{\} \vee (\exists s t. \text{epsilon } N C = \{(s, t)\})$
proof –
have $\exists_{\leq 1} (x, y). \exists C'$.
 $C = \text{add-mset } (\text{Pos } (\text{Upair } x y)) C' \wedge \text{is-strictly-maximal-lit } (\text{Pos } (\text{Upair } x y))$
 $C \wedge y \prec_t x$
by $(\text{rule } \text{Uniq-prodI})$
 $(\text{metis } \text{Uniq-D Upair-inject literal-order.Uniq-is-greatest-in-mset term-order.min.absorb3})$

$term\text{-}order.min.absorb4.literal.inject(1)$
hence $Uniq\text{-}epsilon: \exists_{\leq 1} (x, y). \exists C'.$
 $C \in N \wedge$
 $C = add\text{-}mset (Pos (Upair x y)) C' \wedge select C = \{\#\} \wedge$
 $is\text{-}strictly\text{-}maximal\text{-}lit (Pos (Upair x y)) C \wedge y \prec_t x \wedge$
 $(let R_C = \bigcup D \in \{D \in N. D \prec_c C\}. epsilon \{E \in N. E \preceq_c D\} D in$
 $\neg upair ' (rewrite\text{-}inside\text{-}gctxt R_C)^\downarrow \Vdash C \wedge$
 $\neg upair ' (rewrite\text{-}inside\text{-}gctxt (insert (x, y) R_C))^\downarrow \Vdash C' \wedge$
 $x \in NF (rewrite\text{-}inside\text{-}gctxt R_C))$
using $Uniq\text{-}antimono'$
by $(smt (verit) Uniq\text{-}def Uniq\text{-}prodI case\text{-}prod\text{-}conv)$
show $?thesis$
unfolding $epsilon.simps[of N C]$
using $Collect\text{-}eq\text{-}if\text{-}Uniq\text{-}prod[OF Uniq\text{-}epsilon]$
by $(smt (verit, best) Collect\text{-}cong Collect\text{-}empty\text{-}eq Uniq\text{-}def Uniq\text{-}epsilon case\text{-}prod\text{-}conv$
 $insertCI mem\text{-}Collect\text{-}eq)$
qed

lemma $(in\ ground\text{-}superposition\text{-}calculus) card\text{-}epsilon\text{-}le\text{-}one:$
 $card (epsilon N C) \leq 1$
using $epsilon\text{-}eq\text{-}empty\text{-}or\text{-}singleton[of N C]$
by $auto$

definition $(in\ ground\text{-}superposition\text{-}calculus) rewrite\text{-}sys\ \mathbf{where}$
 $rewrite\text{-}sys N C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. epsilon \{E \in N. E \preceq_c D\} D)$

definition $(in\ ground\text{-}superposition\text{-}calculus) rewrite\text{-}sys' \mathbf{where}$
 $rewrite\text{-}sys' N \equiv (\bigcup C \in N. epsilon N C)$

lemma $(in\ ground\text{-}superposition\text{-}calculus) rewrite\text{-}sys\text{-}alt: rewrite\text{-}sys' \{D \in N. D$
 $\prec_c C\} = rewrite\text{-}sys N C$
unfolding $rewrite\text{-}sys'\text{-}def rewrite\text{-}sys\text{-}def$
proof $(rule SUP\text{-}cong)$
show $\{D \in N. D \prec_c C\} = \{D \in N. D \prec_c C\} ..$
next
show $\bigwedge x. x \in \{D \in N. D \prec_c C\} \implies epsilon \{D \in N. D \prec_c C\} x = epsilon$
 $\{E \in N. (\prec_c)^\equiv E x\} x$
using $epsilon\text{-}filter\text{-}le\text{-}conv$
by $(smt (verit, best) Collect\text{-}cong clause\text{-}order.le\text{-}less\text{-}trans mem\text{-}Collect\text{-}eq)$
qed

lemma $(in\ ground\text{-}superposition\text{-}calculus) mem\text{-}epsilonE:$
assumes $rule\text{-}in: rule \in epsilon N C$
obtains $l r C'$ **where**
 $C \in N$ **and**
 $rule = (l, r)$ **and**
 $C = add\text{-}mset (Pos (Upair l r)) C'$ **and**
 $select C = \{\#\}$ **and**
 $is\text{-}strictly\text{-}maximal\text{-}lit (Pos (Upair l r)) C$ **and**

$r \prec_t l$ **and**
 $\neg \text{upair } \langle \text{rewrite-inside-gtxt } (\text{rewrite-sys } N \ C) \rangle^\downarrow \models C$ **and**
 $\neg \text{upair } \langle \text{rewrite-inside-gtxt } (\text{insert } (l, r) (\text{rewrite-sys } N \ C)) \rangle^\downarrow \models C'$ **and**
 $l \in NF (\text{rewrite-inside-gtxt } (\text{rewrite-sys } N \ C))$
using *rule-in*
unfolding *epsilon.simps[of N C] mem-Collect-eq Let-def rewrite-sys-def*
by (*metis (no-types, lifting)*)

lemma (*in ground-superposition-calculus*) *mem-epsilon-iff*:
 $(l, r) \in \text{epsilon } N \ C \iff$
 $(\exists C'. C \in N \wedge C = \text{add-mset } (\text{Pos } (\text{Upair } l \ r)) \ C' \wedge \text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal-lit } (\text{Pos } (\text{Upair } l \ r)) \ C \wedge r \prec_t l \wedge$
 $\neg \text{upair } \langle \text{rewrite-inside-gtxt } (\text{rewrite-sys}' \{D \in N. D \prec_c C\}) \rangle^\downarrow \models C \wedge$
 $\neg \text{upair } \langle \text{rewrite-inside-gtxt } (\text{insert } (l, r) (\text{rewrite-sys}' \{D \in N. D \prec_c C\})) \rangle^\downarrow$
 $\models C' \wedge$
 $l \in NF (\text{rewrite-inside-gtxt } (\text{rewrite-sys}' \{D \in N. D \prec_c C\}))$
(is ?LHS \iff ?RHS)
proof (*rule iffI*)
assume *?LHS*
thus *?RHS*
using *rewrite-sys-alt*
by (*auto elim: mem-epsilonE*)
next
assume *?RHS*
thus *?LHS*
unfolding *epsilon.simps[of N C] mem-Collect-eq*
unfolding *rewrite-sys-alt rewrite-sys-def* **by** *auto*
qed

lemma (*in ground-superposition-calculus*) *rhs-lt-lhs-if-mem-rewrite-sys*:
assumes $(t1, t2) \in \text{rewrite-sys } N \ C$
shows $t2 \prec_t t1$
using *assms*
unfolding *rewrite-sys-def*
by (*smt (verit, best) UN-iff mem-epsilonE prod.inject*)

lemma (*in ground-superposition-calculus*) *rhs-less-trm-lhs-if-mem-rewrite-inside-gtxt-rewrite-sys*:
assumes *rule-in*: $(t1, t2) \in \text{rewrite-inside-gtxt } (\text{rewrite-sys } N \ C)$
shows $t2 \prec_t t1$
proof –
from *rule-in* **obtain** *ctxt t1' t2'* **where**
 $(t1, t2) = (\text{ctxt}(t1')_G, \text{ctxt}(t2')_G) \wedge (t1', t2') \in \text{rewrite-sys } N \ C$
unfolding *rewrite-inside-gtxt-def mem-Collect-eq*
by *auto*
thus *?thesis*
using *rhs-lt-lhs-if-mem-rewrite-sys[of t1' t2']*
by (*metis Pair-inject less-trm-compatible-with-gtxt*)
qed

lemma (in *ground-superposition-calculus*) *rhs-lesseq-trm-lhs-if-mem-rtrancl-rewrite-inside-gctxt-rewrite-sys*:
assumes *rule-in*: $(t1, t2) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^*$
shows $t2 \preceq_t t1$
using *rule-in*
proof (induction *t2* rule: *rtrancl-induct*)
case *base*
show *?case*
by *order*
next
case (*step t2 t3*)
from *step.hyps* **have** $t3 \prec_t t2$
using *rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys* **by** *metis*
with *step.IH* **show** *?case*
by *order*
qed

lemma *singleton-eq-CollectD*: $\{x\} = \{y. P \ y\} \implies P \ x$
by *blast*

lemma *subset-Union-mem-CollectI*: $P \ x \implies f \ x \subseteq (\bigcup y \in \{z. P \ z\}. f \ y)$
by *blast*

lemma (in *ground-superposition-calculus*) *rewrite-sys-subset-if-less-cls*:
 $C \prec_c D \implies \text{rewrite-sys } N \ C \subseteq \text{rewrite-sys } N \ D$
unfolding *rewrite-sys-def*
unfolding *epsilon-filter-le-conv*
by (*smt* (*verit*, *del-insts*) *SUP-mono clause-order.dual-order.strict-trans mem-Collect-eq subset-eq*)

lemma (in *ground-superposition-calculus*) *mem-rewrite-sys-if-less-cls*:
assumes $D \in N$ **and** $D \prec_c C$ **and** $(u, v) \in \text{epsilon } N \ D$
shows $(u, v) \in \text{rewrite-sys } N \ C$
unfolding *rewrite-sys-def UN-iff*
proof (*intro bexI*)
show $D \in \{D \in N. D \prec_c C\}$
using $\langle D \in N \rangle \langle D \prec_c C \rangle$ **by** *simp*
next
show $(u, v) \in \text{epsilon } \{E \in N. E \preceq_c D\} \ D$
using $\langle (u, v) \in \text{epsilon } N \ D \rangle$ *epsilon-filter-le-conv* **by** *simp*
qed

lemma (in *ground-superposition-calculus*) *less-trm-iff-less-cls-if-lhs-epsilon*:
assumes E_C : $\text{epsilon } N \ C = \{(s, t)\}$ **and** E_D : $\text{epsilon } N \ D = \{(u, v)\}$
shows $u \prec_t s \iff D \prec_c C$
proof –
from E_C **have** $(s, t) \in \text{epsilon } N \ C$
by *simp*
then obtain C' **where**
 $C \in N$ **and**

C-def: $C = \text{add-mset } (\text{Pos } (\text{Upair } s \ t)) \ C'$ **and**
is-strictly-maximal-lit $(\text{Pos } (\text{Upair } s \ t)) \ C$ **and**
 $t \prec_t s$ **and**
s-irreducible: $s \in \text{NF } (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))$
by $(\text{auto elim! : mem-epsilon} E)$
hence $\forall L \in \# \ C'. \ L \prec_l \text{Pos } (\text{Upair } s \ t)$
by $(\text{simp add : literal-order.is-greatest-in-mset-iff})$

from E_D **obtain** D' **where**

$D \in N$ **and**
D-def: $D = \text{add-mset } (\text{Pos } (\text{Upair } u \ v)) \ D'$ **and**
is-strictly-maximal-lit $(\text{Pos } (\text{Upair } u \ v)) \ D$ **and**
 $v \prec_t u$
by $(\text{auto simp : elim : epsilon.elims dest : singleton-eq-Collect} D)$
hence $\forall L \in \# \ D'. \ L \prec_l \text{Pos } (\text{Upair } u \ v)$
by $(\text{simp add : literal-order.is-greatest-in-mset-iff})$

show *?thesis*

proof $(\text{rule iff} I)$

assume $u \prec_t s$
moreover hence $v \prec_t s$
using $\langle v \prec_t u \rangle$ **by** *order*
ultimately have $\text{multp } (\prec_t) \ \{\#u, v\} \ \{\#s, t\}$
using *one-step-implies-multp* $[\text{of } \{\#s, t\} \ \{\#u, v\} - \{\#\}]$ **by** *simp*
hence $\text{Pos } (\text{Upair } u \ v) \prec_l \text{Pos } (\text{Upair } s \ t)$
by $(\text{simp add : less-lit-def})$
moreover hence $\forall L \in \# \ D'. \ L \prec_l \text{Pos } (\text{Upair } s \ t)$
using $\langle \forall L \in \# \ D'. \ L \prec_l \text{Pos } (\text{Upair } u \ v) \rangle$
by $(\text{meson literal-order.transp-on-less transp} D)$
ultimately show $D \prec_c C$
using *one-step-implies-multp* $[\text{of } C \ D - \{\#\}]$ *less-cls-def*
by $(\text{simp add : D-def C-def})$

next

assume $D \prec_c C$
have $(u, v) \in \text{rewrite-sys } N \ C$
using $E_D \ \langle D \in N \rangle \ \langle D \prec_c C \rangle$ *mem-rewrite-sys-if-less-cls* **by** *auto*
hence $(u, v) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C)$
by *blast*
hence $s \neq u$
using *s-irreducible*
by *auto*
moreover have $\neg (s \prec_t u)$

proof $(\text{rule not} I)$

assume $s \prec_t u$
moreover hence $t \prec_t u$
using $\langle t \prec_t s \rangle$ **by** *order*
ultimately have $\text{multp } (\prec_t) \ \{\#s, t\} \ \{\#u, v\}$
using *one-step-implies-multp* $[\text{of } \{\#u, v\} \ \{\#s, t\} - \{\#\}]$ **by** *simp*
hence $\text{Pos } (\text{Upair } s \ t) \prec_l \text{Pos } (\text{Upair } u \ v)$

by (simp add: less-lit-def)
 moreover hence $\forall L \in \# C'. L \prec_l \text{Pos} (\text{Upair } u \ v)$
 using $\langle \forall L \in \# C'. L \prec_l \text{Pos} (\text{Upair } s \ t) \rangle$
 by (meson literal-order.transp-on-less transpD)
 ultimately have $C \prec_c D$
 using one-step-implies-mulp[of D C - {#}] less-cls-def
 by (simp add: D-def C-def)
 thus False
 using $\langle D \prec_c C \rangle$ by order
 qed
 ultimately show $u \prec_t s$
 by order
 qed
 qed

lemma (in ground-superposition-calculus) termination-rewrite-sys: wf ((rewrite-sys N C)⁻¹)

proof (rule wf-if-convertible-to-wf)

show wf $\{(x, y). x \prec_t y\}$

using wfP-less-trm

by (simp add: wfP-def)

next

fix $t \ s$

assume $(t, s) \in (\text{rewrite-sys } N \ C)^{-1}$

hence $(s, t) \in \text{rewrite-sys } N \ C$

by simp

then obtain D **where** $D \prec_c C$ **and** $(s, t) \in \text{epsilon } N \ D$

unfolding rewrite-sys-def using epsilon-filter-le-conv **by** blast

hence $t \prec_t s$

by (auto elim: mem-epsilonE)

thus $(t, s) \in \{(x, y). x \prec_t y\}$

by (simp add:)

qed

lemma (in ground-superposition-calculus) termination-Union-rewrite-sys:

wf $((\bigcup D \in N. \text{rewrite-sys } N \ D)^{-1})$

proof (rule wf-if-convertible-to-wf)

show wf $\{(x, y). x \prec_t y\}$

using wfP-less-trm

by (simp add: wfP-def)

next

fix $t \ s$

assume $(t, s) \in (\bigcup D \in N. \text{rewrite-sys } N \ D)^{-1}$

hence $(s, t) \in (\bigcup D \in N. \text{rewrite-sys } N \ D)$

by simp

then obtain C **where** $C \in N$ $(s, t) \in \text{rewrite-sys } N \ C$

by auto

then obtain D **where** $D \prec_c C$ **and** $(s, t) \in \text{epsilon } N \ D$

unfolding rewrite-sys-def using epsilon-filter-le-conv **by** blast

hence $t \prec_t s$
by (*auto elim: mem-epsilonE*)
thus $(t, s) \in \{(x, y). x \prec_t y\}$
by *simp*
qed

lemma (*in ground-superposition-calculus*) *no-crit-pairs*:
 $\{(t1, t2) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \text{ ' } N)). t1 \neq t2\} = \{\}$
proof (*rule ccontr*)
assume $\{(t1, t2).$
 $(t1, t2) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \text{ ' } N)) \wedge t1 \neq t2\} \neq \{\}$
then obtain $\text{ctxt } l \ r1 \ r2$ **where**
 $(\text{ctxt}\langle r2 \rangle_G, r1) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \text{ ' } N))$ **and**
 $\text{ctxt}\langle r2 \rangle_G \neq r1$ **and**
rule1-in: $(\text{ctxt}\langle l \rangle_G, r1) \in \bigcup (\text{epsilon } N2 \text{ ' } N)$ **and**
rule2-in: $(l, r2) \in \bigcup (\text{epsilon } N2 \text{ ' } N)$
unfolding *ground-critical-pairs-def mem-Collect-eq* **by** *blast*

from *rule1-in rule2-in* **obtain** $C1 \ C2$ **where**
 $C1 \in N$ **and** *rule1-in'*: $(\text{ctxt}\langle l \rangle_G, r1) \in \text{epsilon } N2 \ C1$ **and**
 $C2 \in N$ **and** *rule2-in'*: $(l, r2) \in \text{epsilon } N2 \ C2$
by *auto*

from *rule1-in'* **obtain** $C1'$ **where**
C1-def: $C1 = \text{add-mset } (\text{Pos } (\text{Upair } \text{ctxt}\langle l \rangle_G \ r1)) \ C1'$ **and**
C1-max: *is-strictly-maximal-lit* $(\text{Pos } (\text{Upair } \text{ctxt}\langle l \rangle_G \ r1)) \ C1$ **and**
 $r1 \prec_t \text{ctxt}\langle l \rangle_G$ **and**
l1-irreducible: $\text{ctxt}\langle l \rangle_G \in \text{NF } (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N2 \ C1))$
by (*auto elim: mem-epsilonE*)

from *rule2-in'* **obtain** $C2'$ **where**
C2-def: $C2 = \text{add-mset } (\text{Pos } (\text{Upair } l \ r2)) \ C2'$ **and**
C2-max: *is-strictly-maximal-lit* $(\text{Pos } (\text{Upair } l \ r2)) \ C2$ **and**
 $r2 \prec_t l$
by (*auto elim: mem-epsilonE*)

have $\text{epsilon } N2 \ C1 = \{(\text{ctxt}\langle l \rangle_G, r1)\}$
using *rule1-in' epsilon-eq-empty-or-singleton* **by** *fastforce*

have $\text{epsilon } N2 \ C2 = \{(l, r2)\}$
using *rule2-in' epsilon-eq-empty-or-singleton* **by** *fastforce*

show *False*

proof (*cases ctxt = \square_G*)

case *True*

hence $\neg (\text{ctxt}\langle l \rangle_G \prec_t l)$ **and** $\neg (l \prec_t \text{ctxt}\langle l \rangle_G)$

by (*simp-all add: irreflpD*)

hence $\neg (C1 \prec_c C2)$ **and** $\neg (C2 \prec_c C1)$

using $\langle \text{epsilon } N2 \ C1 = \{(\text{ctxt}\langle l \rangle_G, r1)\} \rangle \langle \text{epsilon } N2 \ C2 = \{(l, r2)\} \rangle$

$\text{less-trm-iff-less-cls-if-lhs-epsilon}$
 by *simp-all*
 hence $C1 = C2$
 by *order*
 hence $r1 = r2$
 using $\langle \text{epsilon } N2 \ C1 = \{(ctxt\langle l \rangle_G, r1)\} \ \langle \text{epsilon } N2 \ C2 = \{(l, r2)\} \rangle$ by
simp
 moreover have $r1 \neq r2$
 using $\langle ctxt\langle r2 \rangle_G \neq r1 \rangle$
 unfolding $\langle ctxt = \square_G \rangle$
 by *simp*
 ultimately show *?thesis*
 by *contradiction*
 next
 case *False*
 hence $l \prec_t ctxt\langle l \rangle_G$
 by (*metis less-trm-if-subterm*)
 hence $C2 \prec_c C1$
 using $\langle \text{epsilon } N2 \ C1 = \{(ctxt\langle l \rangle_G, r1)\} \ \langle \text{epsilon } N2 \ C2 = \{(l, r2)\} \rangle$
 $\text{less-trm-iff-less-cls-if-lhs-epsilon}$
 by *simp*
 have $(l, r2) \in \text{rewrite-sys } N2 \ C1$
 by (*metis* $\langle C2 \prec_c C1 \rangle \ \langle \text{epsilon } N2 \ C2 = \{(l, r2)\} \rangle$ *mem-epsilonE mem-rewrite-sys-if-less-cls*
singletonI)
 hence $(ctxt\langle l \rangle_G, ctxt\langle r2 \rangle_G) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N2 \ C1)$
 by *auto*
 thus *False*
 using *l1-irreducible by auto*
 qed
 qed

lemma (in *ground-superposition-calculus*) *WCR-Union-rewrite-sys*:
 $\text{WCR } (\text{rewrite-inside-gctxt } (\bigcup D \in N. \ \text{epsilon } N2 \ D))$
 unfolding *ground-critical-pair-theorem*
proof (*intro subsetI ballI*)
 fix *tuple*
 assume *tuple-in*: $\text{tuple} \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \ 'N))$
 then obtain $t1 \ t2$ where *tuple-def*: $\text{tuple} = (t1, t2)$
 by *fastforce*

 moreover have $(t1, t2) \in (\text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N2 \ 'N)))^\downarrow$ if $t1 =$
 $t2$
 using *that by auto*

 moreover have *False* if $t1 \neq t2$
 using *that tuple-def tuple-in no-crit-pairs by simp*

 ultimately show $\text{tuple} \in (\text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N2 \ 'N)))^\downarrow$
 by (*cases t1 = t2*) *simp-all*

qed

lemma (in *ground-superposition-calculus*)

assumes

$D \preceq_c C$ **and**

E_C -eq: $\epsilon N C = \{(s, t)\}$ **and**

L -in: $L \in \# D$ **and**

topmost-trms-of- L : $mset-uprod (atm-of L) = \{\#u, v\}$

shows

lesseq-trm-if-pos: $is-pos L \implies u \preceq_t s$ **and**

less-trm-if-neg: $is-neg L \implies u \prec_t s$

proof –

from E_C -eq **have** $(s, t) \in \epsilon N C$

by *simp*

then obtain C' **where**

C -def: $C = add-mset (Pos (Upair s t)) C'$ **and**

C -max-lit: $is-strictly-maximal-lit (Pos (Upair s t)) C$ **and**

$t \prec_t s$

by (*auto elim: mem-epsilonE*)

have $Pos (Upair s t) \prec_l L$ **if** $is-pos L$ **and** $\neg u \preceq_t s$

proof –

from *that(2)* **have** $s \prec_t u$

by *order*

hence $multp (\prec_t) \{\#s, t\} \{\#u, v\}$

using $\langle t \prec_t s \rangle$

by (*smt (verit, del-insts) add.right-neutral empty-iff insert-iff one-step-implies-multp*

set-mset-add-mset-insert set-mset-empty transpD transp-less-trm union-mset-add-mset-right)

with *that(1)* **show** $Pos (Upair s t) \prec_l L$

using *topmost-trms-of-L*

by (*cases L (simp-all add: less-lit-def)*)

qed

moreover have $Pos (Upair s t) \prec_l L$ **if** $is-neg L$ **and** $\neg u \prec_t s$

proof –

from *that(2)* **have** $s \preceq_t u$

by *order*

hence $multp (\prec_t) \{\#s, t\} \{\#u, v, u, v\}$

using $\langle t \prec_t s \rangle$

by (*smt (z3) add-mset-add-single add-mset-remove-trivial add-mset-remove-trivial-iff*

empty-not-add-mset insert-DiffM insert-noteq-member one-step-implies-multp

reflclp-iff

transp-def transp-less-trm union-mset-add-mset-left union-mset-add-mset-right)

with *that(1)* **show** $Pos (Upair s t) \prec_l L$

using *topmost-trms-of-L*

by (*cases L (simp-all add: less-lit-def)*)

qed

moreover have *False* **if** $Pos (Upair s t) \prec_l L$

```

proof –
  have  $C \prec_c D$ 
    unfolding less-cls-def
  proof (rule multp-if-maximal-of-lhs-is-less)
    show  $Pos (U\text{pair } s \ t) \in\# \ C$ 
      by (simp add: C-def)
  next
    show  $L \in\# \ D$ 
      using L-in by simp
  next
    show is-maximal-lit ( $Pos (U\text{pair } s \ t)$ )  $C$ 
      using C-max-lit by auto
  next
    show  $Pos (U\text{pair } s \ t) \prec_l \ L$ 
      using that .
  qed simp-all
  with  $\langle D \preceq_c C \rangle$  show False
    by order
qed

ultimately show is-pos  $L \implies u \preceq_t s$  and is-neg  $L \implies u \prec_t s$ 
  by argo+
qed

lemma (in ground-ordering) less-trm-const-lhs-if-mem-rewrite-inside-gtxt:
  fixes  $t \ t1 \ t2 \ r$ 
  assumes
    rule-in:  $(t1, t2) \in \text{rewrite-inside-gtxt } r$  and
    ball-lt-lhs:  $\bigwedge t1 \ t2. (t1, t2) \in r \implies t \prec_t t1$ 
  shows  $t \prec_t t1$ 
proof –
  from rule-in obtain  $ctxt \ t1' \ t2'$  where
    rule-in':  $(t1', t2') \in r$  and
    l-def:  $t1 = ctxt(t1')_G$  and
    r-def:  $t2 = ctxt(t2')_G$ 
    unfolding rewrite-inside-gtxt-def by fast

  show ?thesis
    using ball-lt-lhs[OF rule-in'] lesseq-trm-if-subterm[of t1' ctxt] l-def by order
qed

lemma (in ground-superposition-calculus) split-Union-epsilon:
  assumes  $D\text{-in}: D \in N$ 
  shows  $(\bigcup C \in N. \text{epsilon } N \ C) =$ 
     $\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$ 
proof –
  have  $N = \{C \in N. C \prec_c D\} \cup \{D\} \cup \{C \in N. D \prec_c C\}$ 
  proof (rule partition-set-around-element)
    show totalp-on  $N (\prec_c)$ 

```


using *clause-order.totalp-on-less* .
next
show $D \in N$
using *D-in by simp*
qed
hence $(\bigcup C \in N. \textit{epsilon } N C) =$
 $(\bigcup C \in \{C \in N. C \prec_c D\}. \textit{epsilon } N C) \cup \textit{epsilon } N D \cup (\bigcup C \in \{C \in N.$
 $D \prec_c C\}. \textit{epsilon } N C)$
by *auto*
thus $(\bigcup C \in N. \textit{epsilon } N C) =$
 $\textit{rewrite-sys } N D \cup \textit{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \textit{epsilon } N C)$
using *epsilon-filter-le-conv rewrite-sys-def* **by** *simp*
qed

lemma (in *ground-superposition-calculus*) *split-Union-epsilon'*:
assumes *D-in*: $D \in N$
shows $(\bigcup C \in N. \textit{epsilon } N C) = \textit{rewrite-sys } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}.$
 $\textit{epsilon } N C)$
using *split-Union-epsilon[OF D-in] D-in* **by** *auto*

lemma (in *ground-superposition-calculus*) *split-rewrite-sys*:
assumes $C \in N$ **and** *D-in*: $D \in N$ **and** $D \prec_c C$
shows $\textit{rewrite-sys } N C = \textit{rewrite-sys } N D \cup (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C'$
 $\prec_c C\}. \textit{epsilon } N C')$
proof –
have $\{D \in N. D \prec_c C\} =$
 $\{y \in \{D \in N. D \prec_c C\}. y \prec_c D\} \cup \{D\} \cup \{y \in \{D \in N. D \prec_c C\}. D \prec_c$
 $y\}$
proof (*rule partition-set-around-element*)
show *totalp-on* $\{D \in N. D \prec_c C\}$ (\prec_c)
using *clause-order.totalp-on-less* .
next
from *D-in* $\langle D \prec_c C \rangle$ **show** $D \in \{D \in N. D \prec_c C\}$
by *simp*
qed
also have $\dots = \{x \in N. x \prec_c C \wedge x \prec_c D\} \cup \{D\} \cup \{x \in N. D \prec_c x \wedge x \prec_c$
 $C\}$
by *auto*
also have $\dots = \{x \in N. x \prec_c D\} \cup \{D\} \cup \{x \in N. D \prec_c x \wedge x \prec_c C\}$
using $\langle D \prec_c C \rangle$ *clause-order.transp-on-less*
by (*metis (no-types, opaque-lifting) transpD*)
finally have *Collect-N-lt-C*: $\{x \in N. x \prec_c C\} = \{x \in N. x \prec_c D\} \cup \{x \in N.$
 $D \preceq_c x \wedge x \prec_c C\}$
by *auto*

have $\textit{rewrite-sys } N C = (\bigcup C' \in \{D \in N. D \prec_c C\}. \textit{epsilon } N C')$
using *epsilon-filter-le-conv*
by (*simp add: rewrite-sys-def*)
also have $\dots = (\bigcup C' \in \{x \in N. x \prec_c D\}. \textit{epsilon } N C') \cup (\bigcup C' \in \{x \in N. D$

$\preceq_c x \wedge x \prec_c C\}$. *epsilon N C'*)
unfolding *Collect-N-lt-C* **by** *simp*
finally show *rewrite-sys N C = rewrite-sys N D $\cup \cup$ (epsilon N ' {C' \in N. D*
 $\preceq_c C' \wedge C' \prec_c C\}$)
using *epsilon-filter-le-conv*
unfolding *rewrite-sys-def* **by** *simp*
qed

lemma (*in ground-ordering*) *mem-join-union-iff-mem-join-lhs'*:

assumes

ball-R₁-rhs-lt-lhs: $\bigwedge t1\ t2. (t1, t2) \in R_1 \implies t2 \prec_t t1$ **and**

ball-R₂-lt-lhs: $\bigwedge t1\ t2. (t1, t2) \in R_2 \implies s \prec_t t1 \wedge t \prec_t t1$

shows $(s, t) \in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_1^\downarrow$

proof –

have *ball-R₁-rhs-lt-lhs'*: $(t1, t2) \in R_1^* \implies t2 \preceq_t t1$ **for** $t1\ t2$

proof (*induction t2 rule: rtrancl-induct*)

case *base*

show *?case*

by *order*

next

case (*step y z*)

thus *?case*

using *ball-R₁-rhs-lt-lhs*

by (*metis reflclp-iff transpD transp-less-trm*)

qed

show *?thesis*

proof (*rule mem-join-union-iff-mem-join-lhs*)

fix u **assume** $(s, u) \in R_1^*$

hence $u \preceq_t s$

using *ball-R₁-rhs-lt-lhs'* **by** *metis*

show $u \notin \text{Domain } R_2$

proof (*rule notI*)

assume $u \in \text{Domain } R_2$

then obtain u' **where** $(u, u') \in R_2$

by *auto*

hence $s \prec_t u$

using *ball-R₂-lt-lhs* **by** *simp*

with $\langle u \preceq_t s \rangle$ **show** *False*

by *order*

qed

next

fix u **assume** $(t, u) \in R_1^*$

hence $u \preceq_t t$

using *ball-R₁-rhs-lt-lhs'* **by** *simp*

show $u \notin \text{Domain } R_2$

proof (*rule notI*)

```

    assume  $u \in \text{Domain } R_2$ 
    then obtain  $u'$  where  $(u, u') \in R_2$ 
    by auto
    hence  $t \prec_t u$ 
    using ball-R2-lt-lhs by simp
    with  $\langle u \preceq_t t \rangle$  show False
    by order
  qed
qed
qed

```

lemma (in *ground-ordering*) *mem-join-union-iff-mem-join-rhs'*:

```

  assumes
    ball-R1-rhs-lt-lhs:  $\bigwedge t1\ t2. (t1, t2) \in R_2 \implies t2 \prec_t t1$  and
    ball-R2-lt-lhs:  $\bigwedge t1\ t2. (t1, t2) \in R_1 \implies s \prec_t t1 \wedge t \prec_t t1$ 
  shows  $(s, t) \in (R_1 \cup R_2)^\downarrow \iff (s, t) \in R_2^\downarrow$ 
  using assms mem-join-union-iff-mem-join-lhs'
  by (metis (no-types, opaque-lifting) sup-commute)

```

lemma (in *ground-ordering*) *mem-join-union-iff-mem-join-lhs''*:

```

  assumes

```

```

    Range-R1-lt-Domain-R2:  $\bigwedge t1\ t2. t1 \in \text{Range } R_1 \implies t2 \in \text{Domain } R_2 \implies t1 \prec_t t2$  and
    s-lt-Domain-R2:  $\bigwedge t2. t2 \in \text{Domain } R_2 \implies s \prec_t t2$  and
    t-lt-Domain-R2:  $\bigwedge t2. t2 \in \text{Domain } R_2 \implies t \prec_t t2$ 
  shows  $(s, t) \in (R_1 \cup R_2)^\downarrow \iff (s, t) \in R_1^\downarrow$ 

```

proof (*rule mem-join-union-iff-mem-join-lhs*)

```

  fix  $u$  assume  $(s, u) \in R_1^*$ 
  hence  $u = s \vee u \in \text{Range } R_1$ 
  by (meson Range.intros rtrancl.cases)
  thus  $u \notin \text{Domain } R_2$ 
  using Range-R1-lt-Domain-R2 s-lt-Domain-R2
  by (metis irreflpD term-order.irreflp-on-less)

```

next

```

  fix  $u$  assume  $(t, u) \in R_1^*$ 
  hence  $u = t \vee u \in \text{Range } R_1$ 
  by (meson Range.intros rtrancl.cases)
  thus  $u \notin \text{Domain } R_2$ 
  using Range-R1-lt-Domain-R2 t-lt-Domain-R2
  by (metis irreflpD term-order.irreflp-on-less)

```

qed

lemma (in *ground-superposition-calculus*) *lift-entailment-to-Union*:

```

  fixes  $N\ D$ 
  defines  $R_D \equiv \text{rewrite-sys } N\ D$ 
  assumes
    D-in:  $D \in N$  and
    R_D-entails-D: upair ' (rewrite-inside-gctxt  $R_D$ ) $^\downarrow \Vdash D$ 
  shows

```

$upair \text{ ' (rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow \models D \text{ and}$
 $\bigwedge C. C \in N \implies D \prec_c C \implies upair \text{ ' (rewrite-inside-gctxt (rewrite-sys } N C))^\downarrow$
 $\models D$

proof –

from R_D -entails- D **obtain** $L \ s \ t$ **where**

L -in: $L \in \# \ D$ **and**

L -eq-disj- L -eq: $L = Pos \ (Upair \ s \ t) \wedge (s, t) \in (rewrite-inside-gctxt \ R_D)^\downarrow \vee$

$L = Neg \ (Upair \ s \ t) \wedge (s, t) \notin (rewrite-inside-gctxt \ R_D)^\downarrow$

unfolding $true$ -cls-def $true$ -lit-iff

by ($metis$ (no -types, $opaque$ -lifting) $image$ -iff $prod$.case $surj$ -pair $uprod$ -exhaust)

from L -eq-disj- L -eq **show**

$upair \text{ ' (rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow \models D \text{ and}$

$\bigwedge C. C \in N \implies D \prec_c C \implies upair \text{ ' (rewrite-inside-gctxt (rewrite-sys } N C))^\downarrow$
 $\models D$

unfolding $atomize$ -all $atomize$ -conj $atomize$ -imp

proof ($elim$ $disjE$ $conjE$)

assume L -def: $L = Pos \ (Upair \ s \ t)$ **and** $(s, t) \in (rewrite-inside-gctxt \ R_D)^\downarrow$

have $R_D \subseteq (\bigcup D \in N. \text{ epsilon } N D)$ **and**

$\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow R_D \subseteq rewrite-sys \ N \ C$

unfolding R_D -def $rewrite$ -sys-def

using D -in $clause$ -order.transp-on-less[$THEN$ $transpD$]

using $epsilon$ -filter-le-conv

by ($auto$ $intro$: $Collect$ -mono)

hence $rewrite-inside-gctxt \ R_D \subseteq rewrite-inside-gctxt \ (\bigcup D \in N. \text{ epsilon } N D)$

and

$\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow rewrite-inside-gctxt \ R_D \subseteq rewrite-inside-gctxt$
 $(rewrite-sys \ N \ C)$

by ($auto$ $intro!$: $rewrite-inside-gctxt$ -mono)

hence $(s, t) \in (rewrite-inside-gctxt \ (\bigcup D \in N. \text{ epsilon } N D))^\downarrow$ **and**

$\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow (s, t) \in (rewrite-inside-gctxt \ (rewrite-sys \ N \ C))^\downarrow$

by ($auto$ $intro!$: $join$ -mono $intro$: set -mp[OF - $\langle (s, t) \in (rewrite-inside-gctxt$
 $R_D)^\downarrow \rangle$])

thus $upair \text{ ' (rewrite-inside-gctxt } (\bigcup (\text{epsilon } N \text{ ' } N))^\downarrow \models D \wedge$

$(\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow upair \text{ ' (rewrite-inside-gctxt (rewrite-sys } N$
 $C))^\downarrow \models D)$

unfolding $true$ -cls-def $true$ -lit-iff

using L -in L -def **by** $blast$

next

have $(t1, t2) \in R_D \implies t2 \prec_t t1$ **for** $t1 \ t2$

by ($auto$ $simp$: R_D -def $rewrite$ -sys-def $elim$: mem -epsilonE)

hence $ball$ - R_D -rhs-lt-lhs: $(t1, t2) \in rewrite-inside-gctxt \ R_D \implies t2 \prec_t t1$ **for**
 $t1 \ t2$

by (smt ($verit$, $ccfv$ -SIG) $Pair$ -inject $less$ -trm-compatible-with-gctxt mem -Collect-eq
 $rewrite-inside-gctxt$ -def)

assume L -def: $L = Neg \ (Upair \ s \ t)$ **and** $(s, t) \notin (rewrite-inside-gctxt \ R_D)^\downarrow$

have $(s, t) \in (rewrite-inside-gctxt \ R_D \cup rewrite-inside-gctxt \ (\bigcup C \in \{C \in N.$

$D \preceq_c C\}. \text{epsilon } N C))^\downarrow \longleftrightarrow$
 $(s, t) \in (\text{rewrite-inside-gtxt } R_D)^\downarrow$
proof (*rule mem-join-union-iff-mem-join-lhs'*)
show $\bigwedge t1\ t2. (t1, t2) \in \text{rewrite-inside-gtxt } R_D \implies t2 \prec_t t1$
using *ball- R_D -rhs-lt-lhs* **by** *simp*
next
have *ball-Rinf-minus-lt-lhs*: $s \prec_t \text{fst rule} \wedge t \prec_t \text{fst rule}$
if *rule-in*: $\text{rule} \in (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N C)$
for *rule*
proof –
from *rule-in* **obtain** C **where**
 $C \in N$ **and** $D \preceq_c C$ **and** $\text{rule} \in \text{epsilon } N C$
by *auto*

have *epsilon-C-eq*: $\text{epsilon } N C = \{(\text{fst rule}, \text{snd rule})\}$
using $\langle \text{rule} \in \text{epsilon } N C \rangle$ *epsilon-eq-empty-or-singleton* **by** *force*

show *?thesis*
using *less-trm-if-neg*[*OF* $\langle D \preceq_c C \rangle$ *epsilon-C-eq L-in*]
by (*simp add: L-def*)
qed

show $\bigwedge t1\ t2. (t1, t2) \in \text{rewrite-inside-gtxt } (\bigcup (\text{epsilon } N \text{ ' } \{C \in N. (\prec_c)^\text{==}$
 $D C\})) \implies$
 $s \prec_t t1 \wedge t \prec_t t1$
using *less-trm-const-lhs-if-mem-rewrite-inside-gtxt*
using *ball-Rinf-minus-lt-lhs*
by *force*
qed

moreover have
 $(s, t) \in (\text{rewrite-inside-gtxt } R_D \cup \text{rewrite-inside-gtxt } (\bigcup C' \in \{C' \in N. D$
 $\preceq_c C' \wedge C' \prec_c C\}. \text{epsilon } N C'))^\downarrow \longleftrightarrow$
 $(s, t) \in (\text{rewrite-inside-gtxt } R_D)^\downarrow$
if $C \in N$ **and** $D \prec_c C$
for C
proof (*rule mem-join-union-iff-mem-join-lhs'*)
show $\bigwedge t1\ t2. (t1, t2) \in \text{rewrite-inside-gtxt } R_D \implies t2 \prec_t t1$
using *ball- R_D -rhs-lt-lhs* **by** *simp*
next
have *ball-lt-lhs*: $s \prec_t t1 \wedge t \prec_t t1$
if $C \in N$ **and** $D \prec_c C$ **and**
 $\text{rule-in: } (t1, t2) \in (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{epsilon } N C')$
for $C\ t1\ t2$
proof –
from *rule-in* **obtain** C' **where**
 $C' \in N$ **and** $D \preceq_c C'$ **and** $C' \prec_c C$ **and** $(t1, t2) \in \text{epsilon } N C'$
by (*auto simp: rewrite-sys-def*)

have *epsilon-C'-eq*: $\text{epsilon } N \ C' = \{(t1, t2)\}$
using $\langle (t1, t2) \in \text{epsilon } N \ C' \rangle$ *epsilon-eq-empty-or-singleton* **by** *force*

show *?thesis*
using *less-trm-if-neg*[*OF* $\langle D \preceq_c C' \rangle$ *epsilon-C'-eq L-in*]
by (*simp add: L-def*)
qed

show $\bigwedge t1 \ t2. (t1, t2) \in \text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N \ \{C' \in N. (\prec_c)^{==}$
 $D \ C' \wedge C' \prec_c C\})) \implies$
 $s \prec_t t1 \wedge t \prec_t t1$
using *less-trm-const-lhs-if-mem-rewrite-inside-gctxt*
using *ball-lt-lhs*[*OF that(1,2)*]
by (*metis (no-types, lifting)*)
qed

ultimately have $(s, t) \notin (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt } (\bigcup C$
 $\in \{C \in N. D \preceq_c C\}. \text{epsilon } N \ C))^\downarrow$ **and**
 $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow$
 $(s, t) \notin (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt } (\bigcup C' \in \{C' \in N. D$
 $\preceq_c C' \wedge C' \prec_c C\}. \text{epsilon } N \ C'))^\downarrow$
using $\langle (s, t) \notin (\text{rewrite-inside-gctxt } R_D)^\downarrow \rangle$ **by** *simp-all*
hence $(s, t) \notin (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N \ D))^\downarrow$ **and**
 $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow (s, t) \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow$
using *split-Union-epsilon*'[*OF D-in, folded R_D-def*]
using *split-rewrite-sys*[*OF - D-in, folded R_D-def*]
by (*simp-all add: rewrite-inside-gctxt-union*)
hence $(\text{Upair } s \ t) \notin \text{upair } \langle (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N \ D))^\downarrow$
and
 $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow (\text{Upair } s \ t) \notin \text{upair } \langle (\text{rewrite-inside-gctxt}$
 $(\text{rewrite-sys } N \ C))^\downarrow$
unfolding *atomize-conj*
by (*meson sym-join true-lit-simps(2) true-lit-uprod-iff-true-lit-prod(2)*)
thus $\text{upair } \langle (\text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N \ \{N\}))^\downarrow \models D \wedge$
 $(\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow$
 $\models D) \rangle$
unfolding *true-cls-def true-lit-iff*
using *L-in L-def* **by** *metis*
qed
qed

lemma (in *ground-superposition-calculus*)

assumes *productive*: $\text{epsilon } N \ C = \{(l, r)\}$

shows

true-cls-if-productive-epsilon:

$\text{upair } \langle (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N \ D))^\downarrow \models C$

$\bigwedge D. D \in N \implies C \prec_c D \implies \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ D))^\downarrow$

$\models C$ **and**

false-cls-if-productive-epsilon:

$\neg \text{upair } \langle \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) \rangle^\downarrow \models C - \{\#Pos (U\text{pair } l r)\#\}$
 $\bigwedge D. D \in N \implies C \prec_c D \implies \neg \text{upair } \langle \text{rewrite-inside-gctxt } (\text{rewrite-sys } N D) \rangle^\downarrow \models C - \{\#Pos (U\text{pair } l r)\#\}$

proof –

from *productive* **have** $(l, r) \in \text{epsilon } N C$

by *simp*

then obtain C' **where**

$C\text{-in}$: $C \in N$ **and**

$C\text{-def}$: $C = \text{add-mset } (Pos (U\text{pair } l r)) C'$ **and**

select $C = \{\#\}$ **and**

is-strictly-maximal-lit $(Pos (U\text{pair } l r)) C$ **and**

$r \prec_t l$ **and**

e : $\neg \text{upair } \langle \text{rewrite-inside-gctxt } (\text{rewrite-sys } N C) \rangle^\downarrow \models C$ **and**

f : $\neg \text{upair } \langle \text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C)) \rangle^\downarrow \models C'$ **and**

$l \in NF (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))$

by *(rule mem-epsilonE)* **blast**

have $(l, r) \in (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D))^\downarrow$

using $C\text{-in } \langle (l, r) \in \text{epsilon } N C \rangle$ *mem-rewrite-inside-gctxt-if-mem-rewrite-rules*

by *blast*

thus $\text{upair } \langle \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) \rangle^\downarrow \models C$

using $C\text{-def}$ **by** *blast*

have $\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) =$

$\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C \cup \text{epsilon } N C \cup (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D))$

using *split-Union-epsilon[OF C-in]* **by** *simp*

also have $\dots =$

$\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C \cup \text{epsilon } N C) \cup$

$\text{rewrite-inside-gctxt } (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D)$

by *(simp add: rewrite-inside-gctxt-union)*

finally have *rewrite-inside-gctxt-Union-epsilon-eq*:

$\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) =$

$\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C)) \cup$

$\text{rewrite-inside-gctxt } (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D)$

unfolding *productive* **by** *simp*

have *mem-join-union-iff-mem-lhs*: $(t1, t2) \in (\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C))) \cup$

$\text{rewrite-inside-gctxt } (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D))^\downarrow \iff$

$(t1, t2) \in (\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C)))^\downarrow$

if $t1 \preceq_t l$ **and** $t2 \preceq_t l$

for $t1 t2$

proof *(rule mem-join-union-iff-mem-join-lhs')*

fix $s1 s2$ **assume** $(s1, s2) \in \text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C))$

moreover have $s2 \prec_t s1$ **if** $(s1, s2) \in \text{rewrite-inside-gctxt } \{(l, r)\}$

```

proof (rule rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt[OF that])
  show  $\bigwedge s1\ s2. (s1, s2) \in \{(l, r)\} \implies s2 \prec_t s1$ 
    using  $\langle r \prec_t l \rangle$  by simp
qed simp-all

moreover have  $s2 \prec_t s1$  if  $(s1, s2) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N\ C)$ 
proof (rule rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt[OF that])
  show  $\bigwedge s1\ s2. (s1, s2) \in \text{rewrite-sys } N\ C \implies s2 \prec_t s1$ 
    by (simp add: rhs-lt-lhs-if-mem-rewrite-sys)
qed simp

ultimately show  $s2 \prec_t s1$ 
  using rewrite-inside-gctxt-union[of  $\{(l, r)\}$ , simplified] by blast
next
have ball-lt-lhs:  $t1 \prec_t s1 \wedge t2 \prec_t s1$ 
  if rule-in:  $(s1, s2) \in (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N\ D)$ 
  for  $s1\ s2$ 
proof -
  from rule-in obtain  $D$  where
     $D \in N$  and  $C \prec_c D$  and  $(s1, s2) \in \text{epsilon } N\ D$ 
    by (auto simp: rewrite-sys-def)

  have  $E_D\text{-eq}: \text{epsilon } N\ D = \{(s1, s2)\}$ 
    using  $\langle (s1, s2) \in \text{epsilon } N\ D \rangle$  epsilon-eq-empty-or-singleton by force

  have  $l \prec_t s1$ 
    using  $\langle C \prec_c D \rangle$ 
    using less-trm-iff-less-cls-if-lhs-epsilon[OF  $E_D\text{-eq}$  productive]
    by metis

  with  $\langle t1 \preceq_t l \rangle \langle t2 \preceq_t l \rangle$  show ?thesis
    by (metis reflclp-iff transpD transp-less-trm)
qed
thus  $\bigwedge l\ r. (l, r) \in \text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N \text{ ' } \{D \in N. C \prec_c D\}))$ 
 $\implies t1 \prec_t l \wedge t2 \prec_t l$ 
  using rewrite-inside-gctxt-Union-epsilon-eq
  using less-trm-const-lhs-if-mem-rewrite-inside-gctxt
  by presburger
qed

have neg-concl1:  $\neg \text{upair ' } (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N\ D))^\downarrow \models C'$ 
  unfolding true-cls-def Set.bex-simps
proof (intro ballI)
  fix  $L$  assume  $L\text{-in}: L \in \# C'$ 
  hence  $L \in \# C$ 
    by (simp add: C-def)

obtain  $t1\ t2$  where
  atm-L-eq:  $\text{atm-of } L = \text{Upair } t1\ t2$ 

```


by (*metis uprod-exhaust*)
hence *trms-of-L*: $mset-uprod (atm-of L) = \{\#t1, t2\# \}$
 by *simp*
hence $t1 \preceq_t l$ and $t2 \preceq_t l$
unfolding *atomize-conj*
using *less-trm-if-neg*[*OF reflclp-refl productive* $\langle L \in\# C \rangle$]
using *lesseq-trm-if-pos*[*OF reflclp-refl productive* $\langle L \in\# C \rangle$]
by (*metis (no-types, opaque-lifting) add-mset-commute sup2CI*)

have $(t1, t2) \notin (rewrite-inside-gctxt (\bigcup D \in N. \epsilon N D))^\downarrow$ **if** *L-def*: $L = Pos (Upair t1 t2)$
proof –
from *that* **have** $(t1, t2) \notin (rewrite-inside-gctxt (insert (l, r) (rewrite-sys N C)))^\downarrow$
using *f* $\langle L \in\# C' \rangle$ **by** *blast*
thus *?thesis*
using *rewrite-inside-gctxt-Union-epsilon-eq mem-join-union-iff-mem-lhs*[*OF* $\langle t1 \preceq_t l \rangle \langle t2 \preceq_t l \rangle$]
by *simp*
qed

moreover **have** $(t1, t2) \in (rewrite-inside-gctxt (\bigcup D \in N. \epsilon N D))^\downarrow$
if *L-def*: $L = Neg (Upair t1 t2)$
proof –
from *that* **have** $(t1, t2) \in (rewrite-inside-gctxt (insert (l, r) (rewrite-sys N C)))^\downarrow$
using *f* $\langle L \in\# C' \rangle$
by (*meson true-lit-uprod-iff-true-lit-prod(2) sym-join true-cls-def true-lit-simps(2)*)
thus *?thesis*
using *rewrite-inside-gctxt-Union-epsilon-eq mem-join-union-iff-mem-lhs*[*OF* $\langle t1 \preceq_t l \rangle \langle t2 \preceq_t l \rangle$]
by *simp*
qed

ultimately show $\neg upair \text{ ‘ } (rewrite-inside-gctxt (\bigcup (\epsilon N \text{ ‘ } N)))^\downarrow \Vdash L$
using *atm-L-eq true-lit-uprod-iff-true-lit-prod*[*OF sym-join*] *true-lit-simps*
by (*smt (verit, ccfv-SIG) literal.exhaust-sel*)
qed

then show $\neg upair \text{ ‘ } (rewrite-inside-gctxt (\bigcup D \in N. \epsilon N D))^\downarrow \Vdash C - \{\#Pos (Upair l r)\# \}$
by (*simp add: C-def*)
fix *D*
assume $D \in N$ and $C \prec_c D$
have $(l, r) \in rewrite-sys N D$
using *C-in* $\langle (l, r) \in \epsilon N C \rangle \langle C \prec_c D \rangle$ *mem-rewrite-sys-if-less-cls* **by** *metis*
hence $(l, r) \in (rewrite-inside-gctxt (rewrite-sys N D))^\downarrow$
by *auto*
thus *upair* $\text{ ‘ } (rewrite-inside-gctxt (rewrite-sys N D))^\downarrow \Vdash C$

using *C-def* **by** *blast*

from $\langle D \in N \rangle$ **have** $\text{rewrite-sys } N D \subseteq (\bigcup D \in N. \text{epsilon } N D)$
by (*simp add: split-Union-epsilon*)
hence $\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D) \subseteq \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D)$
using *rewrite-inside-gctxt-mono* **by** *metis*
hence $(\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow \subseteq (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D))^\downarrow$
using *join-mono* **by** *metis*

have $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow \models C' \rangle$
unfolding *true-cls-def Set.bex-simps*
proof (*intro ballI*)
fix *L* **assume** *L-in*: $L \in\# C'$
hence $L \in\# C$
by (*simp add: C-def*)

obtain *t1 t2* **where**
atm-L-eq: $\text{atm-of } L = \text{Upair } t1 t2$
by (*metis uprod-exhaust*)
hence *trms-of-L*: $\text{mset-uprod } (\text{atm-of } L) = \{\#t1, t2\# \}$
by *simp*
hence $t1 \preceq_t l$ **and** $t2 \preceq_t l$
unfolding *atomize-conj*
using *less-trm-if-neg[OF reflclp-refl productive \langle L \in\# C \rangle]*
using *lesseq-trm-if-pos[OF reflclp-refl productive \langle L \in\# C \rangle]*
by (*metis (no-types, opaque-lifting) add-mset-commute sup2CI*)

have $(t1, t2) \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow$ **if** *L-def*: $L = \text{Pos } (\text{Upair } t1 t2)$
proof –
from *that* **have** $(t1, t2) \notin (\text{rewrite-inside-gctxt } (\text{insert } (l, r) (\text{rewrite-sys } N C)))^\downarrow$
using *f \langle L \in\# C' \rangle* **by** *blast*
thus *?thesis*
using *rewrite-inside-gctxt-Union-epsilon-eq*
using *mem-join-union-iff-mem-lhs[OF \langle t1 \preceq_t l \rangle \langle t2 \preceq_t l \rangle]*
using $\langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow \subseteq (\text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N \langle N \rangle))^\downarrow) \rangle$ **by** *auto*
qed

moreover **have** $(t1, t2) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow$ **if** *L-def*:
 $L = \text{Neg } (\text{Upair } t1 t2)$
using *e*
proof (*rule contrapos-np*)
assume $(t1, t2) \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow$
hence $(t1, t2) \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$
using *rewrite-sys-subset-if-less-cls[OF \langle C \prec_c D \rangle]*

by (*meson join-mono rewrite-inside-gctxt-mono subsetD*)
thus $upair \text{ ' (rewrite-inside-gctxt (rewrite-sys N C))}^\downarrow \models C$
using *neg-literal-notin-imp-true-cls*[of *Upair t1 t2 C upair \text{ ' -}^\downarrow*]
unfolding *uprod-mem-image-iff-prod-mem*[*OF sym-join*]
using *L-def L-in C-def*
by *simp*
qed

ultimately show $\neg upair \text{ ' (rewrite-inside-gctxt (rewrite-sys N D))}^\downarrow \models_l L$
using *atm-L-eq true-lit-uprod-iff-true-lit-prod*[*OF sym-join*] *true-lit-simps*
by (*smt (verit, ccfv-SIG) literal.exhaust-sel*)
qed

thus $\neg upair \text{ ' (rewrite-inside-gctxt (rewrite-sys N D))}^\downarrow \models C - \{\#Pos (Upair l r)\# \}$
by (*simp add: C-def*)
qed

lemma *from-neg-double-rtrancl-to-eqE*:
assumes $x \neq y$ **and** $(x, z) \in r^*$ **and** $(y, z) \in r^*$
obtains
 w **where** $(x, w) \in r$ **and** $(w, z) \in r^*$ |
 w **where** $(y, w) \in r$ **and** $(w, z) \in r^*$
using *assms*
by (*metis converse-rtranclE*)

lemma *ex-step-if-joinable*:
assumes *asympt* $R (x, z) \in r^*$ **and** $(y, z) \in r^*$
shows
 $R \stackrel{=}{=} z y \implies R y x \implies \exists w. (x, w) \in r \wedge (w, z) \in r^*$
 $R \stackrel{=}{=} z x \implies R x y \implies \exists w. (y, w) \in r \wedge (w, z) \in r^*$
using *assms*
by (*metis asymptD converse-rtranclE reflclp-iff*)+

lemma (*in ground-superposition-calculus*) *trans-join-rewrite-inside-gctxt-rewrite-sys*:
 $trans ((rewrite-inside-gctxt (rewrite-sys N C))^\downarrow)$
proof (*rule trans-join*)
have *wf* $((rewrite-inside-gctxt (rewrite-sys N C))^{-1})$
proof (*rule wf-converse-rewrite-inside-gctxt*)
fix $s t$
assume $(s, t) \in rewrite-sys N C$
then obtain D **where** $(s, t) \in epsilon N D$
unfolding *rewrite-sys-def*
using *epsilon-filter-le-conv* **by** *auto*
thus $t \prec_t s$
by (*auto elim: mem-epsilonE*)
qed *auto*
thus *SN* $(rewrite-inside-gctxt (rewrite-sys N C))$
by (*simp only: SN-iff-wf*)
next

show WCR ($rewrite_inside_gctxt$ ($rewrite_sys$ N C))
unfolding $rewrite_sys_def$ $epsilon_filter_le_conv$
using $WCR_Union_rewrite_sys$
by ($metis$ ($mono_tags$, $lifting$))
qed

lemma (**in** $ground_ordering$) $true_cls_insert_and_not_true_clsE$:
assumes
 $upair$ ‘ ($rewrite_inside_gctxt$ ($insert$ r R)) $^\downarrow \models C$ **and**
 $\neg upair$ ‘ ($rewrite_inside_gctxt$ R) $^\downarrow \models C$
obtains t t' **where**
 Pos ($Upair$ t t') $\in \# C$ **and**
 $t \prec_t t'$ **and**
 $(t, t') \in (rewrite_inside_gctxt$ ($insert$ r R)) $^\downarrow$ **and**
 $(t, t') \notin (rewrite_inside_gctxt$ R) $^\downarrow$
proof –
assume hyp : $\bigwedge t t'. Pos$ ($Upair$ $t t'$) $\in \# C \implies t \prec_t t' \implies (t, t') \in (rewrite_inside_gctxt$
 $(insert$ r R) $^\downarrow \implies$
 $(t, t') \notin (rewrite_inside_gctxt$ R) $^\downarrow \implies thesis$

from $assms$ **obtain** L **where**
 $L \in \# C$ **and**
 $entails_L$: $upair$ ‘ ($rewrite_inside_gctxt$ ($insert$ r R)) $^\downarrow \models_l L$ **and**
 $doesn't_entail_L$: $\neg upair$ ‘ ($rewrite_inside_gctxt$ R) $^\downarrow \models_l L$
by ($meson$ $true_cls_def$)

have $totalp_on$ (set_uprod (atm_of L)) (\prec_t)
using $totalp_less_trm$ $totalp_on_subset$ **by** $blast$
then obtain t t' **where** atm_of $L = Upair$ t t' **and** $t \preceq_t t'$
using $ex_ordered_Upair$ **by** $metis$

show $?thesis$
proof ($cases$ L)
case (Pos A)
hence L_def : $L = Pos$ ($Upair$ t t')
using $\langle atm_of$ $L = Upair$ t $t' \rangle$ **by** $simp$

moreover have $(t, t') \in (rewrite_inside_gctxt$ ($insert$ r R)) $^\downarrow$
using $entails_L$
unfolding L_def
unfolding $true_lit_uprod_iff_true_lit_prod[OF$ sym_join]
by ($simp$ add : $true_lit_def$)

moreover have $(t, t') \notin (rewrite_inside_gctxt$ R) $^\downarrow$
using $doesn't_entail_L$
unfolding L_def
unfolding $true_lit_uprod_iff_true_lit_prod[OF$ sym_join]
by ($simp$ add : $true_lit_def$)

ultimately show *?thesis*
using *hyp* $\langle L \in \# C \rangle \langle t \preceq_t t' \rangle$ **by** *auto*
next
case $(Neg A)$
hence *L-def*: $L = Neg (Upair t t')$
using $\langle atm-of L = Upair t t' \rangle$ **by** *simp*

have $(t, t') \notin (rewrite-inside-gctxt (insert r R))^\downarrow$
using *entails-L*
unfolding *L-def*
unfolding *true-lit-uprod-iff-true-lit-prod[OF sym-join]*
by $(simp\ add:\ true-lit-def)$

moreover have $(t, t') \in (rewrite-inside-gctxt R)^\downarrow$
using *doesn't-entail-L*
unfolding *L-def*
unfolding *true-lit-uprod-iff-true-lit-prod[OF sym-join]*
by $(simp\ add:\ true-lit-def)$

moreover have $(rewrite-inside-gctxt R)^\downarrow \subseteq (rewrite-inside-gctxt (insert r R))^\downarrow$
using *join-mono rewrite-inside-gctxt-mono*
by $(metis\ subset-insertI)$

ultimately have *False*
by *auto*
thus *?thesis ..*
qed
qed

lemma $(in\ ground-superposition-calculus)\ model-preconstruction:$
fixes
 $N :: 'f\ gatom\ clause\ set$ **and**
 $C :: 'f\ gatom\ clause$
defines
 $entails \equiv \lambda E C. upair\ ' (rewrite-inside-gctxt\ E)^\downarrow \Vdash C$
assumes *saturated N and* $\{\#\} \notin N$ **and** *C-in: C ∈ N*
shows
 $epsilon\ N\ C = \{\} \longleftrightarrow entails\ (rewrite-sys\ N\ C)\ C$
 $\bigwedge D. D \in N \implies C \prec_c D \implies entails\ (rewrite-sys\ N\ D)\ C$
unfolding *atomize-all atomize-conj atomize-imp*
using *wfP-less-cls C-in*
proof $(induction\ C\ rule:\ wfP-induct-rule)$
case $(less\ C)$
note $IH = less.IH$

from $\langle \{\#\} \notin N \rangle \langle C \in N \rangle$ **have** $C \neq \{\#\}$
by *metis*

define *I* **where**

$I = (\text{rewrite-inside-gtxt } (\text{rewrite-sys } N \ C))^{\downarrow}$

have *refl* I
by (*simp only*: I -def *refl-join*)

have *trans* I
unfolding I -def
using *trans-join-rewrite-inside-gtxt-rewrite-sys* .

have *sym* I
by (*simp only*: I -def *sym-join*)

have *compatible-with-gtxt* I
by (*simp only*: I -def *compatible-with-gtxt-join compatible-with-gtxt-rewrite-inside-gtxt*)

note I -interp = $\langle \text{refl } I \rangle \langle \text{trans } I \rangle \langle \text{sym } I \rangle \langle \text{compatible-with-gtxt } I \rangle$

have i : ($\text{epsilon } N \ C = \{\}$) \longleftrightarrow *entails* ($\text{rewrite-sys } N \ C$) C
proof (*rule iffI*)
show *entails* ($\text{rewrite-sys } N \ C$) $C \implies \text{epsilon } N \ C = \{\}$
unfolding *entails-def rewrite-sys-def*
by (*metis* (*no-types*) *empty-iff equalityI mem-epsilonE rewrite-sys-def subsetI*)
next
assume $\text{epsilon } N \ C = \{\}$

have *cond-conv*: ($\exists L. L \in \# \text{ select } C \vee (\text{select } C = \{\#\} \wedge \text{is-maximal-lit } L \ C \wedge \text{is-neg } L)$) \longleftrightarrow
 $(\exists A. \text{Neg } A \in \# \ C \wedge (\text{Neg } A \in \# \text{ select } C \vee \text{select } C = \{\#\} \wedge \text{is-maximal-lit } (\text{Neg } A) \ C))$
by (*metis* (*no-types*, *opaque-lifting*) *is-pos-def literal-order.is-maximal-in-mset-iff literal.disc(2) literal.exhaust mset-subset-eqD select-negative-lits select-subset*)

show *entails* ($\text{rewrite-sys } N \ C$) C
proof (*cases* $\exists L. \text{is-maximal-lit } L \ (\text{select } C) \vee (\text{select } C = \{\#\} \wedge \text{is-maximal-lit } L \ C \wedge \text{is-neg } L)$)
case *ex-neg-lit-sel-or-max*: *True*
hence $\exists A. \text{Neg } A \in \# \ C \wedge (\text{is-maximal-lit } (\text{Neg } A) \ (\text{select } C) \vee \text{select } C = \{\#\} \wedge \text{is-maximal-lit } (\text{Neg } A) \ C)$
by (*metis* *is-pos-def literal.exhaust literal-order.is-maximal-in-mset-iff mset-subset-eqD select-negative-lits select-subset*)
then obtain $s \ s'$ **where**
 $\text{Neg } (\text{Upair } s \ s') \in \# \ C$ **and**
sel-or-max: $\text{select } C = \{\#\} \wedge \text{is-maximal-lit } (\text{Neg } (\text{Upair } s \ s')) \ C \vee \text{is-maximal-lit } (\text{Neg } (\text{Upair } s \ s')) \ (\text{select } C)$
by (*metis* *uprod-exhaust*)
then obtain C' **where**
 C -def: $C = \text{add-mset } (\text{Neg } (\text{Upair } s \ s')) \ C'$
by (*metis* *mset-add*)

show *?thesis*
proof (cases upair ‹(rewrite-inside-gtxt (rewrite-sys N C))[↓] \models Pos (Upair s s')[↓])
case True
hence (s, s') ∈ (rewrite-inside-gtxt (rewrite-sys N C))[↓]
by (meson sym-join true-lit-simps(1) true-lit-uprod-iff-true-lit-prod(1))

have s = s' ∨ s <_t s' ∨ s' <_t s
using totalp-less-trm
by (metis totalpD)
thus *?thesis*
proof (rule disjE)
assume s = s'
define $\iota :: 'f \text{ gatom clause inference where}$
 $\iota = \text{Infer } [C] \ C'$

have ground-eq-resolution C C'
proof (rule ground-eq-resolutionI)
show C = add-mset (Neg (Upair s s')) C'
by (simp only: C-def)
next
show Neg (Upair s s') = Neg (Upair s s)
by (simp only: ‹s = s'›)
next
show select C = {#} ∧ is-maximal-lit (s !≈ s') C ∨ is-maximal-lit (s !≈ s') (select C)
using sel-or-max .
qed simp
hence $\iota \in G\text{-Inf}$
by (auto simp only: ι -def G-Inf-def)

moreover have $\bigwedge t. t \in \text{set (prems-of } \iota) \implies t \in N$
using ‹C ∈ N›
by (simp add: ι -def)

ultimately have $\iota \in \text{Inf-from } N$
by (auto simp: Inf-from-def)
hence $\iota \in \text{Red-I } N$
using ‹saturated N›
by (auto simp: saturated-def)
then obtain DD where
DD-subset: DD ⊆ N and
finite DD and
DD-entails-C': G-entails DD {C'} and
ball-DD-lt-C: $\forall D \in DD. D <_c C$
unfolding Red-I-def redundant-infer-def
by (auto simp: ι -def)

moreover have $\forall D \in DD. \text{entails (rewrite-sys N C) } D$

```

using IH[THEN conjunct2, rule-format, of - C]
using ⟨C ∈ N⟩ DD-subset ball-DD-lt-C
by blast

ultimately have entails (rewrite-sys N C) C'
using I-interp DD-entails-C'
unfolding entails-def G-entails-def
by (simp add: I-def true-cls-def)
then show entails (rewrite-sys N C) C
using C-def entails-def by simp
next
from ⟨(s, s') ∈ (rewrite-inside-gtxt (rewrite-sys N C))↓⟩ obtain u where
s-u: (s, u) ∈ (rewrite-inside-gtxt (rewrite-sys N C))* and
s'-u: (s', u) ∈ (rewrite-inside-gtxt (rewrite-sys N C))*
by auto
moreover hence u ≼t s and u ≼t s'
using rhs-lesseq-trm-lhs-if-mem-rtrancl-rewrite-inside-gtxt-rewrite-sys
by simp-all

moreover assume s ≺t s' ∨ s' ≺t s

ultimately obtain u0 where
s' ≺t s ⇒ (s, u0) : rewrite-inside-gtxt (rewrite-sys N C)
s ≺t s' ⇒ (s', u0) : rewrite-inside-gtxt (rewrite-sys N C) and
(u0, u) : (rewrite-inside-gtxt (rewrite-sys N C))*
using ex-step-if-joinable[OF - s-u s'-u]
by (metis asympD asymp-less-trm)
then obtain ctxt t t' where
s-eq-if: s' ≺t s ⇒ s = ctxt⟨t⟩G and
s'-eq-if: s ≺t s' ⇒ s' = ctxt⟨t⟩G and
u0 = ctxt⟨t'⟩G and
(t, t') ∈ rewrite-sys N C
by (smt (verit) Pair-inject ⟨s ≺t s' ∨ s' ≺t s⟩ asympD asymp-less-trm
mem-Collect-eq
rewrite-inside-gtxt-def)
then obtain D where
(t, t') ∈ epsilon N D and D ∈ N and D ≺c C
unfolding rewrite-sys-def epsilon-filter-le-conv by auto
then obtain D' where
D-def: D = add-mset (Pos (Upair t t')) D' and
sel-D: select D = {#} and
max-t-t': is-strictly-maximal-lit (Pos (Upair t t')) D and
t' ≺t t
by (elim mem-epsilonE) fast

have superI: ground-neg-superposition D C (add-mset (Neg (Upair s1⟨t'⟩G
s1')) (C' + D'))
if {s, s'} = {s1⟨t⟩G, s1'} and s1' ≺t s1⟨t⟩G
for s1 s1'

```



```

proof (rule ground-neg-superpositionI)
  show  $C = \text{add-mset} (\text{Neg} (\text{Upair } s \ s')) \ C'$ 
    by (simp only: C-def)
next
  show  $D = \text{add-mset} (\text{Pos} (\text{Upair } t \ t')) \ D'$ 
    by (simp only: D-def)
next
  show  $D \prec_c C$ 
    using  $\langle D \prec_c C \rangle$  .
next
show  $\text{select } C = \{\#\} \wedge \text{is-maximal-lit} (\text{Neg} (\text{Upair } s \ s')) \ C \vee \text{is-maximal-lit}$ 
 $(s \approx s') \ (\text{select } C)$ 
    using sel-or-max .
next
  show  $\text{select } D = \{\#\}$ 
    using sel-D .
next
  show  $\text{is-strictly-maximal-lit} (\text{Pos} (\text{Upair } t \ t')) \ D$ 
    using max-t-t' .
next
  show  $t' \prec_t t$ 
    using  $\langle t' \prec_t t \rangle$  .
next
  from that(1) show  $\text{Neg} (\text{Upair } s \ s') = \text{Neg} (\text{Upair } s_1 \langle t \rangle_G \ s_1')$ 
    by fastforce
next
  from that(2) show  $s_1' \prec_t s_1 \langle t \rangle_G$  .
qed simp-all

have ground-neg-superposition  $D \ C \ (\text{add-mset} (\text{Neg} (\text{Upair } \text{ctxt} \langle t \rangle_G \ s'))$ 
 $(C' + D'))$ 
  if  $\langle s' \prec_t s \rangle$ 
proof (rule superI)
  from that show  $\{s, s'\} = \{\text{ctxt} \langle t \rangle_G, s'\}$ 
    using s-eq-if by simp
next
  from that show  $s' \prec_t \text{ctxt} \langle t \rangle_G$ 
    using s-eq-if by simp
qed

moreover have ground-neg-superposition  $D \ C \ (\text{add-mset} (\text{Neg} (\text{Upair}$ 
 $\text{ctxt} \langle t \rangle_G \ s)) \ (C' + D'))$ 
  if  $\langle s \prec_t s' \rangle$ 
proof (rule superI)
  from that show  $\{s, s'\} = \{\text{ctxt} \langle t \rangle_G, s\}$ 
    using s'-eq-if by auto
next
  from that show  $s \prec_t \text{ctxt} \langle t \rangle_G$ 
    using s'-eq-if by simp

```

qed

ultimately obtain CD where

super: ground-neg-superposition $D C CD$ and

CD -eq1: $s' \prec_t s \implies CD = \text{add-mset } (\text{Neg } (\text{Upair } \text{ctxt}\langle t \rangle_G s')) (C' + D')$ and

CD -eq2: $s \prec_t s' \implies CD = \text{add-mset } (\text{Neg } (\text{Upair } \text{ctxt}\langle t \rangle_G s)) (C' + D')$
using $\langle s \prec_t s' \vee s' \prec_t s \rangle$ *s'-eq-if s-eq-if* by *metis*

define $\iota :: 'f \text{ gatom clause inference}$ where

$\iota = \text{Infer } [D, C] CD$

have $\iota \in G\text{-Inf}$

using *ground-superposition-if-ground-neg-superposition*[*OF super*]
by (*auto simp only: ι -def G-Inf-def*)

moreover have $\bigwedge t. t \in \text{set } (\text{prems-of } \iota) \implies t \in N$

using $\langle C \in N \rangle \langle D \in N \rangle$
by (*auto simp add: ι -def*)

ultimately have $\iota \in \text{Inf-from } N$

by (*auto simp: Inf-from-def*)

hence $\iota \in \text{Red-I } N$

using $\langle \text{saturated } N \rangle$
by (*auto simp: saturated-def*)

then obtain DD where

DD -subset: $DD \subseteq N$ and

finite DD and

DD -entails- CD : G -entails $(\text{insert } D DD) \{CD\}$ and

ball- DD -lt- C : $\forall D \in DD. D \prec_c C$

unfolding *Red-I-def redundant-infer-def mem-Collect-eq*

by (*auto simp: ι -def*)

moreover have $\forall D \in \text{insert } D DD. \text{entails } (\text{rewrite-sys } N C) D$

using *IH*[*THEN conjunct2, rule-format, of - C*]

using $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle$ DD -subset *ball- DD -lt- C*

by (*metis in-mono insert-iff*)

ultimately have $\text{entails } (\text{rewrite-sys } N C) CD$

using *I-interp* DD -entails- CD

unfolding *entails-def G-entails-def*

by (*simp add: I-def true-cls-def*)

moreover have $\neg \text{entails } (\text{rewrite-sys } N C) D'$

unfolding *entails-def*

using *false-cls-if-productive-epsilon*(2)[*OF - $\langle C \in N \rangle \langle D \prec_c C \rangle$*]

by (*metis D-def $\langle (t, t') \in \text{epsilon } N D \rangle$ add-mset-remove-trivial empty-iff epsilon-eq-empty-or-singleton singletonD*)

moreover have $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models l$
 $(\text{Neg } (\text{Upair } \text{ctxt}\langle t \rangle_G \ s'))$
if $s' \prec_t s$
using $\langle (u_0, u) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^* \rangle \langle u_0 = \text{ctxt}\langle t \rangle_G \rangle$
 $s'-u$ **by** *blast*

moreover have $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models l$
 $(\text{Neg } (\text{Upair } \text{ctxt}\langle t \rangle_G \ s))$
if $s \prec_t s'$
using $\langle (u_0, u) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^* \rangle \langle u_0 = \text{ctxt}\langle t \rangle_G \rangle$
 $s-u$ **by** *blast*

ultimately show *entails* $(\text{rewrite-sys } N \ C) \ C$
unfolding *entails-def* $C\text{-def}$
using $\langle s \prec_t s' \vee s' \prec_t s \rangle$ $CD\text{-eq1}$ $CD\text{-eq2}$ **by** *fast*
qed
next
case *False*
thus *?thesis*
using $\langle \text{Neg } (\text{Upair } s \ s') \in \# \ C \rangle$
by $(\text{auto simp add: entails-def true-cls-def})$
qed
next
case *False*
hence *select* $C = \{\#\}$
using *literal-order.ex-maximal-in-mset* **by** *blast*

from *False* **obtain** A **where** $\text{Pos-A-in: } \text{Pos } A \in \# \ C$ **and** max-Pos-A:
 $\text{is-maximal-lit } (\text{Pos } A) \ C$
using $\langle \text{select } C = \{\#\} \rangle$ *literal-order.ex-maximal-in-mset[OF* $\langle C \neq \{\#\} \rangle$
by $(\text{metis is-pos-def literal-order.is-maximal-in-mset-iff})$
then obtain C' **where** $C\text{-def: } C = \text{add-mset } (\text{Pos } A) \ C'$
by (meson mset-add)

have *totalp-on* $(\text{set-uprod } A) \ (\prec_t)$
using *totalp-less-trm totalp-on-subset* **by** *blast*
then obtain $s \ s'$ **where** $A\text{-def: } A = \text{Upair } s \ s'$ **and** $s' \preceq_t s$
using *ex-ordered-Upair[of* $A \ (\prec_t)]$ **by** *fastforce*

show *?thesis*
proof $(\text{cases upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models C' \vee s = s' \rangle$
case *True*
then show *?thesis*
using $\langle \text{epsilon } N \ C = \{\} \rangle$
using $A\text{-def}$ $C\text{-def}$ *entails-def* **by** *blast*
next
case *False*

from *False* **have** $\neg \text{upair } \langle (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models C' \rangle$

```

    by simp

from False have s' <_t s
  using ⟨s' ≰_t s⟩ asymp-less-trm[THEN asympD] by auto

then show ?thesis
proof (cases is-strictly-maximal-lit (Pos A) C)
  case strictly-maximal: True
  show ?thesis
  proof (cases s ∈ NF (rewrite-inside-gctxt (rewrite-sys N C)))
    case s-irreducible: True
    hence e-or-f-doesnt-hold: upair ‘(rewrite-inside-gctxt (rewrite-sys N C))↓
  |||= C ∨
      upair ‘(rewrite-inside-gctxt (insert (s, s') (rewrite-sys N C)))↓ |||= C'
    using ⟨epsilon N C = {}⟩[unfolded epsilon.simps[of N C]]
    using ⟨C ∈ N⟩ C-def ⟨select C = {#}⟩ strictly-maximal ⟨s' <_t s⟩
    unfolding A-def rewrite-sys-def
    by (smt (verit, best) Collect-empty-eq)
  show ?thesis
  proof (cases upair ‘(rewrite-inside-gctxt (rewrite-sys N C))↓ |||= C)
    case e-doesnt-hold: True
    thus ?thesis
      by (simp add: entails-def)
  next
  case e-holds: False
  hence R-C-doesnt-entail-C': ¬ upair ‘(rewrite-inside-gctxt (rewrite-sys
N C))↓ |||= C'
    unfolding C-def by simp
  show ?thesis
  proof (cases upair ‘(rewrite-inside-gctxt (insert (s, s') (rewrite-sys N
C)))↓ |||= C')
    case f-doesnt-hold: True
    then obtain C'' t t' where C'-def: C' = add-mset (Pos (Upair t
t')) C'' and
      t' <_t t and
      (t, t') ∈ (rewrite-inside-gctxt (insert (s, s') (rewrite-sys N C)))↓ and
      (t, t') ∉ (rewrite-inside-gctxt (rewrite-sys N C))↓
    using f-doesnt-hold R-C-doesnt-entail-C'
    using true-cls-insert-and-not-true-clsE
    by (metis insert-DiffM join-sym Upair-sym)

    have Pos (Upair t t') <_l Pos (Upair s s')
      using strictly-maximal
    by (simp add: A-def C'-def C-def literal-order.is-greatest-in-mset-iff)

    have ¬ (t <_t s)
    proof (rule notI)
      assume t <_t s

```

$C))^\downarrow \longleftrightarrow$
 $(t, t') \in (\text{rewrite-inside-gctxt } (\text{insert } (s, s') (\text{rewrite-sys } N$
 $C))^\downarrow$
simplified]
 $\wedge t' \prec_t t1$
 $\implies t2 \prec_t t1$
force
 $C))^\downarrow$

have $(t, t') \in (\text{rewrite-inside-gctxt } (\text{insert } (s, s') (\text{rewrite-sys } N$
 $C))^\downarrow \longleftrightarrow$
 $(t, t') \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$
unfolding $\text{rewrite-inside-gctxt-union}[\text{of } \{(s, s')\} \text{ rewrite-sys } N C,$
proof (*rule mem-join-union-iff-mem-join-rhs*)
show $\wedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt } \{(s, s')\} \implies t \prec_t t1$
using $\langle t \prec_t s \rangle \langle t' \prec_t t \rangle$
by (*smt (verit, ccfv-threshold) fst-conv singletonD*
less-trm-const-lhs-if-mem-rewrite-inside-gctxt transpD
transp-less-trm)
next
show $\wedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N C)$
using *rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys* **by**
qed
thus *False*
using $\langle (t, t') \in (\text{rewrite-inside-gctxt } (\text{insert } (s, s') (\text{rewrite-sys } N$
 $C))^\downarrow \rangle$
using $\langle (t, t') \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow \rangle$
by *metis*
qed

moreover **have** $\neg (s \prec_t t)$
proof (*rule notI*)
assume $s \prec_t t$
hence $\text{multp } (\prec_t) \{\#s, s'\# \} \{\#t, t'\# \}$
using $\langle s' \prec_t s \rangle \langle t' \prec_t t \rangle$
using *one-step-implies-multp[of - - - \{\#\}, simplified]*
by (*metis (mono-tags, opaque-lifting) empty-not-add-mset insert-iff*
set-mset-add-mset-insert set-mset-empty singletonD transpD
transp-less-trm)
hence $\text{Pos } (\text{Upair } s s') \prec_l \text{Pos } (\text{Upair } t t')$
by (*simp add: less-lit-def*)
thus *False*
using $\langle t \approx t' \prec_l s \approx s' \rangle$ **by** *order*
qed

ultimately **have** $t = s$
by *order*
hence $t' \prec_t s'$
using $\langle t' \prec_t t \rangle \langle s' \prec_t s \rangle$
using $\langle \text{Pos } (\text{Upair } t t') \prec_l \text{Pos } (\text{Upair } s s') \rangle$
unfolding *less-lit-def*
by (*simp add: multp-cancel-add-mset transp-less-trm)*

obtain t'' **where**

$(t, t'') \in \text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C))$ **and**
 $(t'', t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C)))^\downarrow$
using $\langle (t, t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C)))^\downarrow \rangle$ [THEN joinD]
using *ex-step-if-joinable* [OF *asypm-less-trm* - - - $\langle t' \prec_t t \rangle$]
by (*smt* (*verit*, *ccfv-threshold*) $\langle t = s \rangle$ *converse-rtranclE insertCI*)
joinI-right
join-sym r-into-rtrancl mem-rewrite-inside-gctxt-if-mem-rewrite-rules
rtrancl-join-join)
have $t'' \prec_t t$
proof (*rule predicate-holds-of-mem-rewrite-inside-gctxt* [of - - - $\lambda x y.$
 $y \prec_t x$])
show $(t, t'') \in \text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C))$
using $\langle (t, t') \in \text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C)) \rangle$.
next
show $\bigwedge t1 t2. (t1, t2) \in \text{insert} (s, s') (\text{rewrite-sys } N C) \implies t2 \prec_t t1$
t1 **by** (*metis* $\langle s' \prec_t s \rangle$ *insert-iff old.prod.inject rhs-lt-lhs-if-mem-rewrite-sys*)
next
show $\bigwedge t1 t2 \text{ ctxt } \sigma. (t1, t2) \in \text{insert} (s, s') (\text{rewrite-sys } N C) \implies$
 $t2 \prec_t t1 \implies \text{ctxt}\langle t2 \rangle_G \prec_t \text{ctxt}\langle t1 \rangle_G$
by (*simp only: less-trm-compatible-with-gctxt*)
qed
have $(t, t'') \in \text{rewrite-inside-gctxt} \{(s, s')\}$
using $\langle (t, t') \in \text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C)) \rangle$
using $\langle t = s \rangle$ *s-irreducible mem-rewrite-step-union-NF*
using *rewrite-inside-gctxt-insert* **by** *blast*
hence $\exists \text{ ctxt}. s = \text{ctxt}\langle s \rangle_G \wedge t'' = \text{ctxt}\langle s' \rangle_G$
by (*simp add:* $\langle t = s \rangle$ *rewrite-inside-gctxt-def*)
hence $t'' = s'$
by (*metis gctxt-ident-iff-eq-GHole*)
moreover have $(t'', t') \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$
proof (*rule mem-join-union-iff-mem-join-rhs'* [THEN *iffD1*])
show $(t'', t') \in (\text{rewrite-inside-gctxt} \{(s, s')\} \cup$
 $\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$
using $\langle (t'', t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys } N C)))^\downarrow \rangle$
using *rewrite-inside-gctxt-union* [of $\{-\}$, *simplified*] **by** *metis*
next
show $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt} (\text{rewrite-sys } N C) \implies$
 $t2 \prec_t t1$
using *rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys* .
next
show $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt} \{(s, s')\} \implies t'' \prec_t t1$

$\wedge t' \prec_t t1$

using $\langle t' \prec_t t \rangle \langle t'' \prec_t t \rangle$
unfolding $\langle t = s \rangle$
using *less-trm-const-lhs-if-mem-rewrite-inside-gctxt* **by** *fastforce*
qed

ultimately have $(s', t') \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N \ C))^\downarrow$
by *simp*

$t' \rangle C''$

let $?concl = \text{add-mset} (\text{Neg} (\text{Upair } s' \ t')) (\text{add-mset} (\text{Pos} (\text{Upair } t \ t')) C''$

define $\iota :: 'f \text{ gatom clause inference where}$
 $\iota = \text{Infer } [C] \ ?concl$

have *eq-fact: ground-eq-factoring* $C \ ?concl$
proof (*rule ground-eq-factoringI*)
show $C = \text{add-mset} (\text{Pos} (\text{Upair } s \ s')) (\text{add-mset} (\text{Pos} (\text{Upair } t \ t')) C''$

C''

by (*simp add: C-def C'-def A-def*)
next
show $\text{select } C = \{\#\}$
using $\langle \text{select } C = \{\#\} \rangle$.
next
show *is-maximal-lit* $(\text{Pos} (\text{Upair } s \ s')) \ C$
by (*metis A-def max-Pos-A*)
next
show $s' \prec_t s$
using $\langle s' \prec_t s \rangle$.
next
show $\text{Pos} (\text{Upair } t \ t') = \text{Pos} (\text{Upair } s \ t')$
unfolding $\langle t = s \rangle$..
next
show $\text{add-mset} (\text{Neg} (\text{Upair } s' \ t')) (\text{add-mset} (\text{Pos} (\text{Upair } t \ t')) C''$

$=$

$\text{add-mset} (\text{Neg} (\text{Upair } s' \ t')) (\text{add-mset} (\text{Pos} (\text{Upair } s \ t')) C''$
by (*auto simp add: \langle t = s \rangle*)
qed *simp-all*
hence $\iota \in G\text{-Inf}$
by (*auto simp: \iota-def G-Inf-def*)

moreover have $\bigwedge t. t \in \text{set} (\text{prems-of } \iota) \implies t \in N$
using $\langle C \in N \rangle$
by (*auto simp add: \iota-def*)

ultimately have $\iota \in \text{Inf-from } N$
by (*auto simp: Inf-from-def*)
hence $\iota \in \text{Red-I } N$
using $\langle \text{saturated } N \rangle$

```

    by (auto simp: saturated-def)
  then obtain DD where
    DD-subset:  $DD \subseteq N$  and
    finite DD and
    DD-entails-C':  $G$ -entails  $DD$   $\{?concl\}$  and
    ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
    unfolding Red-I-def redundant-infer-def
    by (auto simp:  $\iota$ -def)

  have  $\forall D \in DD. entails (rewrite-sys N C) D$ 
    using IH[THEN conjunct2, rule-format, of - C]
    using  $\langle C \in N \rangle$  DD-subset ball-DD-lt-C
    by blast
  hence entails (rewrite-sys N C)  $?concl$ 
    unfolding entails-def I-def[symmetric]
    using DD-entails-C'[unfolded G-entails-def]
    using I-interp
    by (simp add: true-class-def)
  thus entails (rewrite-sys N C) C
    unfolding entails-def I-def[symmetric]
    unfolding C-def C'-def A-def
    using I-def  $\langle (s', t') \in (rewrite-inside-gtxt (rewrite-sys N C))^\downarrow \rangle$  by
blast

  next
    case f-holds: False
    hence False
      using e-or-f-doesnt-hold e-holds by metis
    thus ?thesis ..
  qed
next
case s-reducible: False
hence  $\exists ss. (s, ss) \in rewrite-inside-gtxt (rewrite-sys N C)$ 
  unfolding NF-def by auto
then obtain  $ctxt t t' D$  where
   $D \in N$  and
   $D \prec_c C$  and
   $(t, t') \in \epsilon N D$  and
   $s = ctxt \langle t \rangle_G$ 
  using  $\epsilon$ -filter-le-conv
  by (auto simp: rewrite-inside-gtxt-def rewrite-sys-def)

obtain  $D'$  where
  D-def:  $D = add-mset (Pos (Upair t t')) D'$  and
  select  $D = \{\#\}$  and
  max-t-t': is-strictly-maximal-lit  $(t \approx t') D$  and
   $t' \prec_t t$ 
  using  $\langle (t, t') \in \epsilon N D \rangle$ 
  by (elim mem- $\epsilon$ ) simp

```


let $?concl = add-mset (Pos (Upair\ ctxt\langle t \rangle_G\ s')) (C' + D')$

define $\iota :: 'f\ gatom\ clause\ inference\ \mathbf{where}$
 $\iota = Infer [D, C] ?concl$

have $super: ground-pos-superposition\ D\ C\ ?concl$

proof (*rule ground-pos-superpositionI*)

show $C = add-mset (Pos (Upair\ s\ s')) C'$

by (*simp only: C-def A-def*)

next

show $D = add-mset (Pos (Upair\ t\ t')) D'$

by (*simp only: D-def*)

next

show $D \prec_c C$

using $\langle D \prec_c C \rangle$.

next

show $select\ D = \{\#\}$

using $\langle select\ D = \{\#\} \rangle$.

next

show $select\ C = \{\#\}$

using $\langle select\ C = \{\#\} \rangle$.

next

show $is-strictly-maximal-lit\ (s \approx s')\ C$

using $A-def\ strictly-maximal\ \mathbf{by}\ simp$

next

show $is-strictly-maximal-lit\ (t \approx t')\ D$

using $max-t-t'$.

next

show $t' \prec_t t$

using $\langle t' \prec_t t \rangle$.

next

show $Pos (Upair\ s\ s') = Pos (Upair\ ctxt\langle t \rangle_G\ s')$

by (*simp only: $\langle s = ctxt\langle t \rangle_G \rangle$*)

next

show $s' \prec_t ctxt\langle t \rangle_G$

using $\langle s' \prec_t s \rangle$

unfolding $\langle s = ctxt\langle t \rangle_G \rangle$.

qed *simp-all*

hence $\iota \in G-Inf$

using *ground-superposition-if-ground-pos-superposition*

by (*auto simp: $\iota-def\ G-Inf-def$*)

moreover **have** $\bigwedge t. t \in set\ (prems-of\ \iota) \implies t \in N$

using $\langle C \in N \rangle\ \langle D \in N \rangle$

by (*auto simp add: $\iota-def$*)

ultimately **have** $\iota \in Inf-from\ N$

by (*auto simp only: Inf-from-def*)

hence $\iota \in \text{Red-I } N$
using $\langle \text{saturated } N \rangle$
by $(\text{auto simp only: saturated-def})$
then obtain DD **where**
 $DD\text{-subset: } DD \subseteq N$ **and**
 $\text{finite } DD$ **and**
 $DD\text{-entails-concl: } G\text{-entails } (\text{insert } D \text{ } DD) \{?concl\}$ **and**
 $\text{ball-}DD\text{-lt-}C: \forall D \in DD. D \prec_c C$
unfolding $\text{Red-I-def redundant-infer-def mem-Collect-eq}$
by $(\text{auto simp: } \iota\text{-def})$

moreover have $\forall D \in \text{insert } D \text{ } DD. \text{entails } (\text{rewrite-sys } N \ C) \ D$
using $IH[\text{THEN conjunct2, rule-format, of } - \ C]$
using $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle \text{ } DD\text{-subset ball-}DD\text{-lt-}C$
by $(\text{metis in-mono insert-iff})$

ultimately have $\text{entails } (\text{rewrite-sys } N \ C) \ ?concl$
using $I\text{-interp } DD\text{-entails-concl}$
unfolding $\text{entails-def } G\text{-entails-def}$
by $(\text{simp add: } I\text{-def true-clss-def})$

moreover have $\neg \text{entails } (\text{rewrite-sys } N \ C) \ D'$
unfolding entails-def
using $\text{false-cls-if-productive-epsilon}(2)[OF - \langle C \in N \rangle \langle D \prec_c C \rangle]$
by $(\text{metis } D\text{-def } \langle (t, t') \in \text{epsilon } N \ D \rangle \text{add-mset-remove-trivial empty-iff epsilon-eq-empty-or-singleton singleton}D)$

ultimately have $\text{entails } (\text{rewrite-sys } N \ C) \ \{\#Pos \ (Upair \ \text{ctxt} \langle t \rangle_G \ s')\#\}$
unfolding entails-def
using $\langle \neg \text{upair } '(\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow \models C' \rangle$
by fastforce

hence $(\text{ctxt} \langle t \rangle_G, s') \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow$
by $(\text{simp add: entails-def true-cls-def uprod-mem-image-iff-prod-mem}[OF \text{sym-join}])$

moreover have $(\text{ctxt} \langle t \rangle_G, \text{ctxt} \langle t' \rangle_G) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C)$
using $\langle (t, t') \in \text{epsilon } N \ D \rangle \langle D \in N \rangle \langle D \prec_c C \rangle \text{rewrite-sys-def epsilon-filter-le-conv}$
by $(\text{auto simp: rewrite-inside-gctxt-def})$

ultimately have $(\text{ctxt} \langle t \rangle_G, s') \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N \ C))^\downarrow$
using $r\text{-into-rtrancl rtrancl-join-join}$ **by** metis

hence $\text{entails } (\text{rewrite-sys } N \ C) \ \{\#Pos \ (Upair \ \text{ctxt} \langle t \rangle_G \ s')\#\}$
unfolding $\text{entails-def true-cls-def}$ **by** auto

```

      thus ?thesis
        using A-def C-def ⟨s = ctxt⟨t⟩G⟩ entails-def by fastforce
    qed
  next
    case False
    hence 2 ≤ count C (Pos A)
      using max-Pos-A
  by (metis literal-order.count-ge-2-if-maximal-in-mset-and-not-greatest-in-mset)
  then obtain C' where C-def: C = add-mset (Pos A) (add-mset (Pos A)
C')
    using two-le-countE by metis

  define ι :: 'f gatom clause inference where
C')
    ι = Infer [C] (add-mset (Pos (Upair s s')) (add-mset (Neg (Upair s' s'))

let ?concl = add-mset (Pos (Upair s s')) (add-mset (Neg (Upair s' s')) C')

  have eq-fact: ground-eq-factoring C ?concl
  proof (rule ground-eq-factoringI)
    show C = add-mset (Pos A) (add-mset (Pos A) C')
      by (simp add: C-def)
  next
    show Pos A = Pos (Upair s s')
      by (simp add: A-def)
  next
    show Pos A = Pos (Upair s s')
      by (simp add: A-def)
  next
    show select C = {#}
      using ⟨select C = {#}⟩ .
  next
    show is-maximal-lit (Pos A) C
      using max-Pos-A .
  next
    show s' ≺t s
      using ⟨s' ≺t s⟩ .
  qed simp-all
  hence ι ∈ G-Inf
    by (auto simp: ι-def G-Inf-def)

  moreover have ∧t. t ∈ set (prems-of ι) ⇒ t ∈ N
    using ⟨C ∈ N⟩
    by (auto simp add: ι-def)

  ultimately have ι ∈ Inf-from N
    by (auto simp: Inf-from-def)
  hence ι ∈ Red-I N
    using ⟨saturated N⟩

```

```

    by (auto simp: saturated-def)
  then obtain DD where
    DD-subset:  $DD \subseteq N$  and
    finite DD and
    DD-entails-concl:  $G$ -entails  $DD$  {?concl} and
    ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
  unfolding Red-I-def redundant-infer-def mem-Collect-eq
  by (auto simp:  $\iota$ -def)

  moreover have  $\forall D \in DD. \text{entails (rewrite-sys } N \ C) \ D$ 
  using IH[THEN conjunct2, rule-format, of - C]
  using  $\langle C \in N \rangle$  DD-subset ball-DD-lt-C
  by blast

  ultimately have entails (rewrite-sys  $N \ C$ ) ?concl
  using I-interp DD-entails-concl
  unfolding entails-def G-entails-def
  by (simp add: I-def true-cls-def)
  then show ?thesis
  by (simp add: entails-def A-def C-def joinI-right pair-imageI)
qed
qed
qed
qed

moreover have iib: entails (rewrite-sys  $N \ D$ )  $C$  if  $D \in N$  and  $C \prec_c D$  for  $D$ 
  using epsilon-eq-empty-or-singleton[of  $N \ C$ , folded ]
proof (elim disjE exE)
  assume epsilon  $N \ C = \{\}$ 
  hence entails (rewrite-sys  $N \ C$ )  $C$ 
  unfolding  $i$  by simp
  thus ?thesis
  using lift-entailment-to-Union(2)[OF  $\langle C \in N \rangle$  - that]
  by (simp only: entails-def)
next
  fix  $l \ r$  assume epsilon  $N \ C = \{(l, r)\}$ 
  thus ?thesis
  using true-cls-if-productive-epsilon(2)[OF  $\langle \text{epsilon } N \ C = \{(l, r)\} \rangle$  that]
  by (simp only: entails-def)
qed

ultimately show ?case
  by metis
qed

lemma (in ground-superposition-calculus) model-construction:
  fixes
     $N :: 'f \text{ gatom clause set}$  and
     $C :: 'f \text{ gatom clause}$ 

```

```

defines
  entails  $\equiv \lambda E C. \text{upair } \langle \text{rewrite-inside-gctxt } E \rangle^\downarrow \Vdash C$ 
assumes saturated  $N$  and  $\{\#\} \notin N$  and  $C\text{-in}: C \in N$ 
shows entails  $(\bigcup D \in N. \text{epsilon } N D) C$ 
using epsilon-eq-empty-or-singleton[of  $N C$ ]
proof (elim disjE exE)
  assume epsilon  $N C = \{\}$ 
  hence entails (rewrite-sys  $N C$ )  $C$ 
    using model-preconstruction(1)[OF assms(2,3,4)] by (metis entails-def)
  thus ?thesis
    using lift-entailment-to-Union(1)[OF  $\langle C \in N \rangle$ ]
    by (simp only: entails-def)
next
  fix  $l r$  assume epsilon  $N C = \{(l, r)\}$ 
  thus ?thesis
    using true-cls-if-productive-epsilon(1)[OF  $\langle \text{epsilon } N C = \{(l, r)\} \rangle$ ]
    by (simp only: entails-def)
qed

```

1.5 Static Refutational Completeness

lemma (*in ground-superposition-calculus*) *statically-complete*:

```

fixes  $N :: 'f \text{ gatom clause set}$ 
assumes saturated  $N$  and  $G\text{-entails } N \{\{\#\}\}$ 
shows  $\{\#\} \in N$ 
using  $\langle G\text{-entails } N \{\{\#\}\} \rangle$ 
proof (rule contrapos-pp)
  assume  $\{\#\} \notin N$ 

```

define $I :: 'f \text{ gterm rel}$ **where**

$I = (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D))^\downarrow$

show $\neg G\text{-entails } N G\text{-Bot}$

unfolding $G\text{-entails-def not-all not-imp}$

proof (*intro exI conjI*)

show *refl* I

by (*simp only: I-def refl-join*)

next

show *trans* I

unfolding $I\text{-def}$

proof (*rule trans-join*)

have *wf* $((\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D))^{-1})$

proof (*rule wf-converse-rewrite-inside-gctxt*)

fix $s t$

assume $(s, t) \in (\bigcup D \in N. \text{epsilon } N D)$

then obtain C **where** $C \in N$ $(s, t) \in \text{epsilon } N C$

by *auto*

thus $t \prec_t s$

by (*auto elim: mem-epsilonE*)

```

qed auto
thus SN (rewrite-inside-gtxt ( $\bigcup D \in N$ . epsilon N D))
  unfolding SN-iff-wf .
next
show WCR (rewrite-inside-gtxt ( $\bigcup D \in N$ . epsilon N D))
  using WCR-Union-rewrite-sys .
qed
next
show sym I
  by (simp only: I-def sym-join)
next
show compatible-with-gtxt I
  unfolding I-def
  by (simp only: I-def compatible-with-gtxt-join compatible-with-gtxt-rewrite-inside-gtxt)
next
show upair ' I  $\models$  s N
  unfolding I-def
  using model-construction[OF 'saturated N' ' {#}  $\notin$  N']
  by (simp add: true-cls-def)
next
show  $\neg$  upair ' I  $\models$  s G-Bot
  by simp
qed
qed

```

sublocale *ground-superposition-calculus* \subseteq *statically-complete-calculus* **where**

```

Bot = G-Bot and
Inf = G-Inf and
entails = G-entails and
Red-I = Red-I and
Red-F = Red-F

```

proof *unfold-locales*

```

fix B :: 'f gatom clause and N :: 'f gatom clause set
assume B  $\in$  G-Bot and saturated N
hence B = {#}
  by simp

```

```

assume G-entails N {B}
hence {#}  $\in$  N
  unfolding 'B = {#}'
  using statically-complete[OF 'saturated N'] by argo
thus  $\exists B' \in$  G-Bot. B'  $\in$  N
  by auto

```

qed

end

theory *Variable-Substitution*

```

imports
  Abstract-Substitution.Substitution

```

```

    HOL-Library.FSet
    HOL-Library.Multiset
begin

locale finite-set =
  fixes set :: 'b  $\Rightarrow$  'a set
  assumes finite-set [simp]:  $\bigwedge b. \text{finite } (\text{set } b)$ 
begin

abbreviation finite-set :: 'b  $\Rightarrow$  'a fset where
  finite-set b  $\equiv$  Abs-fset (set b)

lemma finite-set': set b  $\in$  {A. finite A}
  by simp

lemma fset-finite-set [simp]: fset (finite-set b) = set b
  using Abs-fset-inverse[OF finite-set'].

end

locale variable-substitution = substitution - - subst  $\lambda a. \text{vars } a = \{\}$ 
for
  subst :: 'expression  $\Rightarrow$  ('variable  $\Rightarrow$  'base-expression)  $\Rightarrow$  'expression (infixl  $\cdot$  70)
and
  vars :: 'expression  $\Rightarrow$  'variable set +
assumes
  subst-eq:  $\bigwedge a \sigma \tau. (\bigwedge x. x \in (\text{vars } a) \Longrightarrow \sigma x = \tau x) \Longrightarrow a \cdot \sigma = a \cdot \tau$ 
begin

abbreviation is-ground where is-ground a  $\equiv$  vars a =  $\{\}$ 

definition vars-set :: 'expression set  $\Rightarrow$  'variable set where
  vars-set expressions  $\equiv \bigcup \text{expression} \in \text{expressions}. \text{vars } \text{expression}$ 

lemma subst-redundant-upd [simp]:
  assumes var  $\notin$  vars a
  shows a  $\cdot$   $\sigma(\text{var} := \text{update}) = a \cdot \sigma$ 
  using assms subst-eq
  by fastforce

lemma subst-redundant-if [simp]:
  assumes vars a  $\subseteq$  vars'
  shows a  $\cdot$  ( $\lambda \text{var}. \text{if } \text{var} \in \text{vars}' \text{ then } \sigma \text{ var else } \sigma' \text{ var}$ ) = a  $\cdot$   $\sigma$ 
  using assms
  by (smt (verit, best) subset-eq subst-eq)

lemma subst-redundant-if' [simp]:
  assumes vars a  $\cap$  vars' =  $\{\}$ 
  shows a  $\cdot$  ( $\lambda \text{var}. \text{if } \text{var} \in \text{vars}' \text{ then } \sigma' \text{ var else } \sigma \text{ var}$ ) = a  $\cdot$   $\sigma$ 

```

```

using assms subst-eq
unfolding disjoint-iff
by presburger

lemma subst-cannot-unground:
  assumes  $\neg$ is-ground (a ·  $\sigma$ )
  shows  $\neg$ is-ground a
  using assms by force

end

locale finite-variables = finite-set vars for vars :: 'expression  $\Rightarrow$  'variable set
begin

lemmas finite-vars = finite-set finite-set'
lemmas fset-finite-vars = fset-finite-set

abbreviation finite-vars  $\equiv$  finite-set

end

locale all-subst-ident-iff-ground =
  fixes is-ground :: 'expression  $\Rightarrow$  bool and subst
  assumes
    all-subst-ident-iff-ground:  $\bigwedge a. \textit{is-ground } a \iff (\forall \sigma. \textit{subst } a \ \sigma = a)$  and
    exists-non-ident-subst:
       $\bigwedge a \ s. \textit{finite } s \implies \neg \textit{is-ground } a \implies \exists \sigma. \textit{subst } a \ \sigma \neq a \wedge \textit{subst } a \ \sigma \notin s$ 

locale grounding = variable-substitution
  where vars = vars for vars :: 'a  $\Rightarrow$  'var set +
  fixes to-ground :: 'a  $\Rightarrow$  'g and from-ground :: 'g  $\Rightarrow$  'a
  assumes
    range-from-ground-iff-is-ground:  $\{f. \textit{is-ground } f\} = \textit{range from-ground}$  and
    from-ground-inverse [simp]:  $\bigwedge g. \textit{to-ground } (\textit{from-ground } g) = g$ 
begin

definition groundings :: 'a  $\Rightarrow$  'g set where
  groundings a =  $\{ \textit{to-ground } (a \cdot \gamma) \mid \gamma. \textit{is-ground } (a \cdot \gamma) \}$ 

lemma to-ground-from-ground-id: to-ground  $\circ$  from-ground = id
  using from-ground-inverse
  by auto

lemma surj-to-ground: surj to-ground
  using from-ground-inverse
  by (metis surj-def)

lemma inj-from-ground: inj-on from-ground domainG
  by (metis from-ground-inverse inj-on-inverseI)

```


lemma *inj-on-to-ground*: *inj-on to-ground (from-ground ‘ domain_G)*
unfolding *inj-on-def*
by *simp*

lemma *bij-betw-to-ground*: *bij-betw to-ground (from-ground ‘ domain_G) domain_G*
by (*smt (verit, best) bij-betwI' from-ground-inverse image-iff*)

lemma *bij-betw-from-ground*: *bij-betw from-ground domain_G (from-ground ‘ domain_G)*
by (*simp add: bij-betw-def inj-from-ground*)

lemma *ground-is-ground [simp, intro]*: *is-ground (from-ground g)*
using *range-from-ground-iff-is-ground*
by *blast*

lemma *is-ground-iff-range-from-ground*: *is-ground f \longleftrightarrow f \in range from-ground*
using *range-from-ground-iff-is-ground*
by *auto*

lemma *to-ground-inverse [simp]*:
assumes *is-ground f*
shows *from-ground (to-ground f) = f*
using *inj-on-to-ground from-ground-inverse is-ground-iff-range-from-ground assms*
unfolding *inj-on-def*
by *blast*

corollary *obtain-grounding*:
assumes *is-ground f*
obtains *g where from-ground g = f*
using *to-ground-inverse assms by blast*

end

locale *base-variable-substitution = variable-substitution*
where *subst = subst*
for *subst :: 'expression \Rightarrow ('variable \Rightarrow 'expression) \Rightarrow 'expression (infixl · 70)*
+
assumes
is-grounding-iff-vars-grounded:
 $\bigwedge exp. is-ground (exp \cdot \gamma) \longleftrightarrow (\forall x \in vars\ exp. is-ground (\gamma\ x))$ **and**
ground-exists: $\exists exp. is-ground\ exp$
begin

lemma *obtain-ground-subst*:
obtains γ
where *is-ground-subst γ*
proof –
obtain *g where is-ground g*

```

using ground-exists by blast

then have is-ground-subst ( $\lambda\cdot. g$ )
  by (simp add: is-grounding-iff-vars-grounded is-ground-subst-def)

then show ?thesis
  using that
  by simp
qed

lemma ground-subst-extension:
  assumes is-ground ( $exp \cdot \gamma$ )
  obtains  $\gamma'$ 
  where  $exp \cdot \gamma = exp \cdot \gamma'$  and is-ground-subst  $\gamma'$ 
proof –
  obtain  $\gamma''$  where
     $\gamma''$ : is-ground-subst  $\gamma''$ 
    using obtain-ground-subst
    by blast

  define  $\gamma'$  where
     $\gamma'$ :  $\gamma' = (\lambda var. \text{if } var \in vars \text{ } exp \text{ then } \gamma \text{ } var \text{ else } \gamma'' \text{ } var)$ 

  have is-ground-subst  $\gamma'$ 
    using assms  $\gamma''$  is-grounding-iff-vars-grounded
    unfolding  $\gamma'$  is-ground-subst-def
    by simp

  moreover have  $exp \cdot \gamma = exp \cdot \gamma'$ 
    unfolding  $\gamma'$ 
    using subst-eq by presburger

  ultimately show ?thesis
    using that
    by blast
qed

lemma ground-subst-upd [simp]:
  assumes is-ground update is-ground ( $exp \cdot \gamma$ )
  shows is-ground ( $exp \cdot \gamma(var := update)$ )
  using assms is-grounding-iff-vars-grounded by auto

lemma variable-grounding:
  assumes is-ground ( $t \cdot \gamma$ )  $x \in vars \ t$ 
  shows is-ground ( $\gamma \ x$ )
  using assms is-grounding-iff-vars-grounded
  by blast

end

```

```

locale based-variable-substitution =
  base: base-variable-substitution where subst = base-subst and vars = base-vars
+
  variable-substitution
for base-subst base-vars +
assumes
  ground-subst-iff-base-ground-subst [simp]: is-ground-subst  $\gamma \longleftrightarrow$  base.is-ground-subst
 $\gamma$  and
  is-grounding-iff-vars-grounded:
   $\bigwedge \text{exp. } \text{is-ground } (\text{exp} \cdot \gamma) \longleftrightarrow (\forall x \in \text{vars exp. } \text{base.is-ground } (\gamma x))$ 
begin

lemma obtain-ground-subst:
  obtains  $\gamma$ 
  where is-ground-subst  $\gamma$ 
  using base.obtain-ground-subst by auto

lemma ground-subst-extension:
  assumes is-ground (exp  $\cdot$   $\gamma$ )
  obtains  $\gamma'$ 
  where exp  $\cdot$   $\gamma = \text{exp} \cdot \gamma'$  and is-ground-subst  $\gamma'$ 
  using obtain-ground-subst assms
  by (metis all-subst-ident-if-ground is-ground-subst-comp-right subst-comp-subst)

lemma ground-subst-extension':
  assumes is-ground (exp  $\cdot$   $\gamma$ )
  obtains  $\gamma'$ 
  where exp  $\cdot$   $\gamma = \text{exp} \cdot \gamma'$  and base.is-ground-subst  $\gamma'$ 
  using ground-subst-extension assms
  by auto

lemma ground-subst-upd [simp]:
  assumes base.is-ground update is-ground (exp  $\cdot$   $\gamma$ )
  shows is-ground (exp  $\cdot$   $\gamma(\text{var} := \text{update})$ )
  using base.ground-subst-upd assms is-grounding-iff-vars-grounded by simp

lemma ground-exists:  $\exists \text{exp. } \text{is-ground } \text{exp}$ 
  using base.ground-exists
  by (meson is-grounding-iff-vars-grounded)

lemma variable-grounding:
  assumes is-ground (t  $\cdot$   $\gamma$ )  $x \in \text{vars } t$ 
  shows base.is-ground ( $\gamma x$ )
  using assms is-grounding-iff-vars-grounded
  by blast

end

```

2 Liftings

locale *variable-substitution-lifting* =
sub: *variable-substitution*
where *subst* = *sub-subst* **and** *vars* = *sub-vars*
for
sub-vars :: '*sub-expression* ⇒ '*variable set* **and**
sub-subst :: '*sub-expression* ⇒ ('*variable* ⇒ '*base-expression*) ⇒ '*sub-expression*
+
fixes
map :: ('*sub-expression* ⇒ '*sub-expression*) ⇒ '*expression* ⇒ '*expression* **and**
to-set :: '*expression* ⇒ '*sub-expression set*
assumes
map-comp: $\bigwedge d f g. \text{map } f (\text{map } g d) = \text{map } (f \circ g) d$ **and**
map-id: $\text{map } id d = d$ **and**
map-cong: $\bigwedge d f g. (\bigwedge c. c \in \text{to-set } d \implies f c = g c) \implies \text{map } f d = \text{map } g d$
and
to-set-map: $\bigwedge d f. \text{to-set } (\text{map } f d) = f \text{ 'to-set } d$ **and**
exists-expression: $\bigwedge c. \exists d. c \in \text{to-set } d$
begin

definition *vars* :: '*expression* ⇒ '*variable set* **where**
vars *d* ≡ $\bigcup (\text{sub-vars } \text{'to-set } d)$

definition *subst* :: '*expression* ⇒ ('*variable* ⇒ '*base-expression*) ⇒ '*expression*
where
subst *d* σ ≡ $\text{map } (\lambda c. \text{sub-subst } c \sigma) d$

lemma *map-id-cong*:
assumes $\bigwedge c. c \in \text{to-set } d \implies f c = c$
shows $\text{map } f d = d$
using *map-cong map-id assms*
unfolding *id-def*
by *metis*

lemma *to-set-map-not-ident*:
assumes $c \in \text{to-set } d \wedge f c \notin \text{to-set } d$
shows $\text{map } f d \neq d$
using *assms*
by (*metis rev-image-eqI to-set-map*)

lemma *subst-in-to-set-subst*:
assumes $c \in \text{to-set } d$
shows $\text{sub-subst } c \sigma \in \text{to-set } (\text{subst } d \sigma)$
unfolding *subst-def*
using *assms to-set-map* **by** *auto*

sublocale *variable-substitution* **where** *subst* = *subst* **and** *vars* = *vars*
proof *unfold-locales*

```

show  $\bigwedge x a b. \text{subst } x (\text{comp-subst } a b) = \text{subst } (\text{subst } x a) b$ 
  using sub.subst-comp-subst
  unfolding subst-def map-comp comp-apply
  by presburger
next
show  $\bigwedge x. \text{subst } x \text{id-subst} = x$ 
  using map-id
  unfolding subst-def sub.subst-id-subst id-def.
next
show  $\bigwedge x. \text{vars } x = \{\} \implies \forall \sigma. \text{subst } x \sigma = x$ 
  unfolding vars-def subst-def
  using map-id-cong
  by simp
next
show  $\bigwedge a \sigma \tau. (\bigwedge x. x \in \text{vars } a \implies \sigma x = \tau x) \implies \text{subst } a \sigma = \text{subst } a \tau$ 
  unfolding vars-def subst-def
  using map-cong sub.subst-eq
  by (meson UN-I)
qed

lemma ground-subst-iff-sub-ground-subst [simp]:
  is-ground-subst  $\gamma \longleftrightarrow \text{sub.is-ground-subst } \gamma$ 
proof(unfold is-ground-subst-def sub.is-ground-subst-def, intro iffI allI)
  fix c
  assume all-d-ground:  $\forall d. \text{is-ground } (\text{subst } d \gamma)$ 
  show sub.is-ground (sub-subst c  $\gamma$ )
  proof(rule ccontr)
    assume c-not-ground:  $\neg \text{sub.is-ground } (\text{sub-subst } c \gamma)$ 

    then obtain d where c  $\in$  to-set d
      using exists-expression by auto

    then have  $\neg \text{is-ground } (\text{subst } d \gamma)$ 
      using c-not-ground to-set-map
      unfolding subst-def vars-def
      by auto

    then show False
      using all-d-ground
      by blast
  qed
next
fix d
assume all-c-ground:  $\forall c. \text{sub.is-ground } (\text{sub-subst } c \gamma)$ 

then show is-ground (subst d  $\gamma$ )
  unfolding vars-def subst-def
  using to-set-map
  by simp

```

qed

lemma *to-set-is-ground* [intro]:
 assumes $sub \in to\ set\ expr\ is\ ground\ expr$
 shows $sub.is\ ground\ sub$
 using *assms*
 by (*simp add: vars-def*)

lemma *to-set-is-ground-subst*:
 assumes $sub \in to\ set\ expr\ is\ ground\ (subst\ expr\ \gamma)$
 shows $sub.is\ ground\ (sub\ subst\ sub\ \gamma)$
 using *assms*
 by (*meson subst-in-to-set-subst to-set-is-ground*)

lemma *subst-empty*:
 assumes $to\ set\ expr' = \{\}$
 shows $subst\ expr\ \sigma = expr' \iff expr = expr'$
 using *assms map-id-cong subst-def to-set-map*
 by *fastforce*

lemma *empty-is-ground*:
 assumes $to\ set\ expr = \{\}$
 shows $is\ ground\ expr$
 using *assms*
 by (*simp add: vars-def*)

end

locale *based-variable-substitution-lifting* =
 variable-substitution-lifting +
 base: base-variable-substitution **where** $subst = base\ subst$ **and** $vars = base\ vars$
for $base\ subst\ base\ vars$ +
assumes
 sub-is-grounding-iff-vars-grounded:
 $\bigwedge expr\ \gamma. sub.is\ ground\ (sub\ subst\ expr\ \gamma) \iff (\forall x \in sub\ vars\ exp. base.is\ ground\ (\gamma\ x))$ **and**
 sub-ground-subst-iff-base-ground-subst: $\bigwedge \gamma. sub.is\ ground\ subst\ \gamma \iff base.is\ ground\ subst\ \gamma$
begin

lemma *is-grounding-iff-vars-grounded*:
 $is\ ground\ (subst\ expr\ \gamma) \iff (\forall x \in vars\ exp. base.is\ ground\ (\gamma\ x))$
 using *sub-is-grounding-iff-vars-grounded subst-def to-set-map vars-def*
 by *auto*

lemma *ground-subst-iff-base-ground-subst* [*simp*]:
 $\bigwedge \gamma. is\ ground\ subst\ \gamma \iff base.is\ ground\ subst\ \gamma$
 using *sub-ground-subst-iff-base-ground-subst ground-subst-iff-sub-ground-subst* **by**
 blast

```

lemma obtain-ground-subst:
  obtains  $\gamma$ 
  where is-ground-subst  $\gamma$ 
  using base.obtain-ground-subst
  by (meson base.ground-exists is-grounding-iff-vars-grounded is-ground-subst-def
  that)

lemma ground-subst-extension:
  assumes is-ground (subst exp  $\gamma$ )
  obtains  $\gamma'$ 
  where subst exp  $\gamma = \text{subst exp } \gamma'$  and is-ground-subst  $\gamma'$ 
  by (metis all-subst-ident-if-ground assms comp-subst.left.monoid-action-compatibility

  is-ground-subst-comp-right obtain-ground-subst)

lemma ground-subst-extension':
  assumes is-ground (subst exp  $\gamma$ )
  obtains  $\gamma'$ 
  where subst exp  $\gamma = \text{subst exp } \gamma'$  and base.is-ground-subst  $\gamma'$ 
  by (metis all-subst-ident-if-ground assms base.is-ground-subst-comp-right
  base.obtain-ground-subst subst-comp-subst)

lemma ground-subst-upd [simp]:
  assumes base.is-ground update is-ground (subst exp  $\gamma$ )
  shows is-ground (subst exp ( $\gamma(\text{var} := \text{update}))$ )
  using assms(1) assms(2) is-grounding-iff-vars-grounded by auto

lemma ground-exists:  $\exists \text{exp. is-ground exp}$ 
  using base.ground-exists
  by (meson is-grounding-iff-vars-grounded)

lemma variable-grounding:
  assumes is-ground (subst t  $\gamma$ )  $x \in \text{vars } t$ 
  shows base.is-ground ( $\gamma x$ )
  using assms is-grounding-iff-vars-grounded
  by blast

end

locale finite-variables-lifting =
  variable-substitution-lifting +
  sub: finite-variables where vars = sub-vars +
  to-set: finite-set where set = to-set
begin

abbreviation to-fset :: 'd  $\Rightarrow$  'c fset where
  to-fset  $\equiv$  to-set.finite-set

```

lemmas *finite-to-set* = *to-set.finite-set to-set.finite-set'*
lemmas *fset-to-fset* = *to-set.fset-finite-set*

sublocale *finite-variables* **where** *vars* = *vars*
by *unfold-locales (simp add: vars-def)*

end

locale *grounding-lifting* =
variable-substitution-lifting **where** *sub-vars* = *sub-vars* **and** *sub-subst* = *sub-subst*
and *map* = *map* +
sub: grounding **where** *vars* = *sub-vars* **and** *subst* = *sub-subst* **and** *to-ground* =
sub-to-ground **and**
from-ground = *sub-from-ground*

for

sub-to-ground :: '*sub* ⇒ '*ground-sub* **and**
sub-from-ground :: '*ground-sub* ⇒ '*sub* **and**
sub-vars :: '*sub* ⇒ '*variable set* **and**
sub-subst :: '*sub* ⇒ ('*variable* ⇒ '*base*) ⇒ '*sub* **and**
map :: ('*sub* ⇒ '*sub*) ⇒ '*expr* ⇒ '*expr* +

fixes

to-ground-map :: ('*sub* ⇒ '*ground-sub*) ⇒ '*expr* ⇒ '*ground-expr* **and**
from-ground-map :: ('*ground-sub* ⇒ '*sub*) ⇒ '*ground-expr* ⇒ '*expr* **and**
ground-map :: ('*ground-sub* ⇒ '*ground-sub*) ⇒ '*ground-expr* ⇒ '*ground-expr* **and**
to-set-ground :: '*ground-expr* ⇒ '*ground-sub set*

assumes

to-set-from-ground-map: $\bigwedge d f. \text{to-set } (\text{from-ground-map } f \ d) = f \text{ 'to-set-ground } d$ **and**

map-comp': $\bigwedge d f g. \text{from-ground-map } f \ (\text{to-ground-map } g \ d) = \text{map } (f \circ g) \ d$ **and**

ground-map-comp: $\bigwedge d f g. \text{to-ground-map } f \ (\text{from-ground-map } g \ d) = \text{ground-map } (f \circ g) \ d$ **and**

ground-map-id: $\text{ground-map } \text{id} \ g = g$

begin

definition *to-ground* **where** *to-ground expr* $\equiv \text{to-ground-map } \text{sub-to-ground } \text{expr}$

definition *from-ground* **where** *from-ground expr* $\equiv \text{from-ground-map } \text{sub-from-ground } \text{expr}$

sublocale *grounding* **where**

vars = *vars* **and** *subst* = *subst* **and** *to-ground* = *to-ground* **and** *from-ground* =
from-ground

proof *unfold-locales*

have $\bigwedge \text{expr}. \text{vars } \text{expr} = \{\} \implies \text{expr} \in \text{range } \text{from-ground}$

proof –

fix *expr*

assume *vars expr* = $\{\}$

then have $\forall \text{sub} \in \text{to-set } \text{expr}. \text{sub} \in \text{range } \text{sub-from-ground}$

by (simp add: sub.is-ground-iff-range-from-ground vars-def)

then have $\forall sub \in to\text{-set } expr. \exists sub\text{-ground}. sub\text{-from-ground } sub\text{-ground} = sub$
 by fast

then have $\exists ground\text{-expr}. from\text{-ground } ground\text{-expr} = expr$
 using map-comp'[symmetric] map-id-cong
 unfolding from-ground-def comp-def
 by metis

then show $expr \in range\ from\text{-ground}$
 unfolding from-ground-def
 by blast

qed

moreover have $\bigwedge expr\ x. x \in vars\ (from\text{-ground } expr) \implies False$
proof–
 fix expr x
 assume $x \in vars\ (from\text{-ground } expr)$
then show False
 unfolding vars-def from-ground-def
 using sub.ground-is-ground to-set-from-ground-map by auto

qed

ultimately show $\{f. vars\ f = \{\}\} = range\ from\text{-ground}$
 by blast

next
show $\bigwedge g. to\text{-ground } (from\text{-ground } g) = g$
 using ground-map-id
 unfolding to-ground-def from-ground-def ground-map-comp sub.to-ground-from-ground-id.

qed

lemma to-set-from-ground: $to\text{-set } (from\text{-ground } expr) = sub\text{-from-ground } \text{' } (to\text{-set-ground } expr)$
 unfolding from-ground-def
 by (simp add: to-set-from-ground-map)

lemma sub-in-ground-is-ground:
assumes $sub \in to\text{-set } (from\text{-ground } expr)$
shows $sub.is\text{-ground } sub$
using assms
by (simp add: to-set-is-ground)

lemma ground-sub-in-ground:
 $sub \in to\text{-set-ground } expr \iff sub\text{-from-ground } sub \in to\text{-set } (from\text{-ground } expr)$
by (simp add: inj-image-mem-iff sub.inj-from-ground to-set-from-ground)

lemma ground-sub:
 $(\forall sub \in to\text{-set } (from\text{-ground } expr_G). P\ sub) \iff$

```

    (∀ subG ∈ to-set-ground exprG. P (sub-from-ground subG))
  by (simp add: to-set-from-ground)

end

locale all-subst-ident-iff-ground-lifting =
  finite-variables-lifting +
  sub: all-subst-ident-iff-ground where subst = sub-subst and is-ground = sub.is-ground
begin

sublocale all-subst-ident-iff-ground
  where subst = subst and is-ground = is-ground
proof unfold-locales
  show ∧x. is-ground x = (∀σ. subst x σ = x)
  proof (rule iffI allI)
    show ∧x. is-ground x ⇒ ∀σ. subst x σ = x
    by simp
  next
  fix d x
  assume all-subst-ident: ∀σ. subst d σ = d

  show is-ground d
  proof (rule ccontr)
    assume ¬is-ground d

    then obtain c where c-in-d: c ∈ to-set d and c-not-ground: ¬sub.is-ground
  c
    unfolding vars-def
    by blast

    then obtain σ where sub-subst c σ ≠ c and sub-subst c σ ∉ to-set d
    using sub.exists-non-ident-subst finite-to-set
    by blast

    then show False
    using all-subst-ident c-in-d to-set-map
    unfolding subst-def
    by (metis image-eqI)
  qed
  qed
next
  fix d :: 'd and ds :: 'd set
  assume finite-ds: finite ds and d-not-ground: ¬is-ground d

  then have finite-cs: finite (⋃(to-set 'insert d ds))
  using finite-to-set by blast

  obtain c where c-in-d: c ∈ to-set d and c-not-ground: ¬sub.is-ground c
  using d-not-ground

```

```

    unfolding vars-def
    by blast

  obtain  $\sigma$  where  $\sigma$ -not-ident:  $sub\text{-}subst\ c\ \sigma \neq c\ sub\text{-}subst\ c\ \sigma \notin \bigcup (to\text{-}set\ 'insert\ d\ ds)$ 
    using sub.exists-non-ident-subst[OF finite-cs c-not-ground]
    by blast

  then have  $subst\ d\ \sigma \neq d$ 
    using c-in-d
    unfolding subst-def
    by (simp add: to-set-map-not-ident)

  moreover have  $subst\ d\ \sigma \notin ds$ 
    using  $\sigma$ -not-ident(2) c-in-d to-set-map
    unfolding subst-def
    by auto

  ultimately show  $\exists \sigma. subst\ d\ \sigma \neq d \wedge subst\ d\ \sigma \notin ds$ 
    by blast
qed

end

end
theory First-Order-Clause
  imports
    Ground-Clause
    Abstract-Substitution.Substitution-First-Order-Term
    Variable-Substitution
    Clausal-Calculus-Extra
    Multiset-Extra
    Term-Rewrite-System
    Term-Ordering-Lifting
    HOL-Eisbach.Eisbach
    HOL-Extra
  begin

  no-notation  $subst\text{-}compose$  (infixl  $\circ_s$  75)
  no-notation  $subst\text{-}apply\text{-}term$  (infixl  $\cdot$  67)

  Prefer  $term\text{-}subst.subst\text{-}id\text{-}subst$  to  $subst\text{-}apply\text{-}term\text{-}empty$ .
  declare  $subst\text{-}apply\text{-}term\text{-}empty$ [no-atp]

```

3 First_Order_Terms And Abstract_Substitution

```

type-synonym 'f ground-term = 'f gterm
type-synonym 'f ground-context = 'f gctx

```

type-synonym (*'f, 'v*) *context* = (*'f, 'v*) *ctxt*

type-synonym *'f ground-atom* = *'f gatom*

type-synonym (*'f, 'v*) *atom* = (*'f, 'v*) *term uprod*

notation *subst-apply-term* (**infixl** $\cdot t$ 67)

notation *subst-compose* (**infixl** \odot 75)

notation *subst-apply-ctxt* (**infixl** $\cdot t_c$ 67)

lemmas *clause-simp-term* =

subst-apply-term-ctxt-apply-distrib vars-term-ctxt-apply literal.sel

named-theorems *clause-simp*

named-theorems *clause-intro*

lemma *ball-set-uprod* [*clause-simp*]: $(\forall t \in \text{set-uprod } (U\text{pair } t_1 t_2). P t) \longleftrightarrow P t_1 \wedge P t_2$

by *auto*

lemma *infinite-terms* [*clause-intro*]: *infinite* (*UNIV* :: (*'f, 'v*) *term set*)

proof–

have *infinite* (*UNIV* :: (*'f, 'v*) *term list set*)

using *infinite-UNIV-listI*.

then have $\bigwedge f :: 'f. \text{infinite } ((\text{Fun } f) \text{ ` } (UNIV :: ('f, 'v) \text{ term list set}))$

by (*meson finite-imageD injI term.inject(2)*)

then show *infinite* (*UNIV* :: (*'f, 'v*) *term set*)

using *infinite-super top-greatest* **by** *blast*

qed

lemma *literal-cases*: $\llbracket \mathcal{P} \in \{\text{Pos}, \text{Neg}\}; \mathcal{P} = \text{Pos} \implies P; \mathcal{P} = \text{Neg} \implies P \rrbracket \implies P$

by *blast*

method *clause-simp uses simp intro* =

auto simp only: simp clause-simp clause-simp-term intro: intro clause-intro

method *clause-auto uses simp intro* =

(clause-simp simp: simp intro: intro)?,

(auto simp: simp intro intro)?,

(auto simp: simp clause-simp intro: intro clause-intro)?

locale *vars-def* =

fixes *vars-def* :: *'expression* \Rightarrow *'variables*

```

begin

abbreviation vars ≡ vars-def

end

locale grounding-def =
  fixes
    to-ground-def :: 'non-ground ⇒ 'ground and
    from-ground-def :: 'ground ⇒ 'non-ground
begin

abbreviation to-ground ≡ to-ground-def

abbreviation from-ground ≡ from-ground-def

end

```

4 Term

global-interpretation *term: vars-def where vars-def = vars-term.*

global-interpretation *context: vars-def where vars-def = vars-ctxt.*

global-interpretation *term: grounding-def where to-ground-def = gterm-of-term and from-ground-def = term-of-gterm .*

global-interpretation *context: grounding-def where to-ground-def = gctxt-of-ctxt and from-ground-def = ctxt-of-gctxt.*

global-interpretation

term: base-variable-substitution where subst = subst-apply-term and id-subst = Var and comp-subst = (⊙) and vars = term.vars :: ('f, 'v) term ⇒ 'v set + term: finite-variables where vars = term.vars :: ('f, 'v) term ⇒ 'v set + term: all-subst-ident-iff-ground where is-ground = term.is-ground :: ('f, 'v) term ⇒ bool and subst = (·t)

proof *unfold-locales*

show $\bigwedge t \sigma \tau. (\bigwedge x. x \in \text{term.vars } t \implies \sigma x = \tau x) \implies t \cdot t \sigma = t \cdot t \tau$
using *term-subst-eq.*

next

fix *t :: ('f, 'v) term*
show *finite (term.vars t)*
by *simp*

next

fix *t :: ('f, 'v) term*
show $(\text{term.vars } t = \{\}) = (\forall \sigma. t \cdot t \sigma = t)$
using *is-ground-trm-iff-ident-forall-subst.*

next
fix $t :: ('f, 'v)$ term **and** $ts :: ('f, 'v)$ term set

assume $finite\ ts\ term.vars\ t \neq \{\}$
then show $\exists \sigma. t \cdot t \sigma \neq t \wedge t \cdot t \sigma \notin ts$
proof(*induction t arbitrary: ts*)
 case ($Var\ x$)

obtain t' **where** $t': t' \notin ts\ is-Fun\ t'$
 using $Var.prem(1)$ *finite-list* **by** *blast*

define $\sigma :: ('f, 'v)$ subst **where** $\bigwedge x. \sigma\ x = t'$

have $Var\ x \cdot t \sigma \neq Var\ x$
 using t'
 unfolding $\sigma-def$
 by *auto*

moreover have $Var\ x \cdot t \sigma \notin ts$
 using t'
 unfolding $\sigma-def$
 by *simp*

ultimately show *?case*
 using Var
 by *blast*
next
 case ($Fun\ f\ args$)

obtain a **where** $a: a \in set\ args$ **and** $a-vars: term.vars\ a \neq \{\}$
 using $Fun.prem(1)$ **by** *fastforce*

then obtain σ **where**
 $\sigma: a \cdot t \sigma \neq a$ **and**
 $a-\sigma-not-in-args: a \cdot t \sigma \notin \bigcup (set\ ' term.args\ ' ts)$
 by (*metis Fun.IH Fun.prem(1) List.finite-set finite-UN finite-imageI*)

then have $Fun\ f\ args \cdot t \sigma \neq Fun\ f\ args$
by (*metis a subsetI term.set-intros(4) term-subst.comp-subst.left.action-neutral*
 $vars-term-subset-subst-eq$)

moreover have $Fun\ f\ args \cdot t \sigma \notin ts$
 using $a\ a-\sigma-not-in-args$
 by *auto*

ultimately show *?case*
 using Fun
 by *blast*

```

qed
next
  show  $\bigwedge \gamma t. (term.vars (t \cdot t \ \gamma) = \{\}) = (\forall x \in term.vars t. term.vars (\gamma \ x) = \{\})$ 
  by (meson is-ground-iff)
next
  show  $\exists t. term.vars t = \{\}$ 
  by (meson vars-term-of-gterm)
qed

```

lemma *term-context-ground-iff-term-is-ground* [*clause-simp*]:
Term-Context.ground $t = term.is-ground\ t$
by(*induction t*) *simp-all*

global-interpretation

```

term: grounding where
  vars = term.vars :: ('f, 'v) term  $\Rightarrow$  'v set and id-subst = Var and comp-subst = ( $\odot$ ) and
  subst = ( $\cdot$ ) and to-ground = term.to-ground and from-ground = term.from-ground
proof unfold-locales
  have  $\bigwedge t :: ('f, 'v) term. term.is-ground\ t \Longrightarrow \exists g. term.from-ground\ g = t$ 
  proof (intro exI)
    fix  $t :: ('f, 'v) term$ 
    assume term.is-ground t
    then show  $term.from-ground (term.to-ground\ t) = t$ 
    by(induction t)(simp-all add: map-idI)
qed

```

```

  then show  $\{t :: ('f, 'v) term. term.is-ground\ t\} = range\ term.from-ground$ 
  by fastforce
next
  show  $\bigwedge g. term.to-ground (term.from-ground\ g) = g$ 
  by simp
qed

```

global-interpretation *context: all-subst-ident-iff-ground where*

```

is-ground =  $\lambda \kappa. context.vars\ \kappa = \{\}$  and subst = ( $\cdot t_c$ )
proof unfold-locales
  fix  $\kappa :: ('f, 'v) context$ 
  show  $context.vars\ \kappa = \{\} = (\forall \sigma. \kappa \cdot t_c\ \sigma = \kappa)$ 
  proof (intro iffI)
    show  $context.vars\ \kappa = \{\} \Longrightarrow \forall \sigma. \kappa \cdot t_c\ \sigma = \kappa$ 
    by(induction  $\kappa$ ) (simp-all add: list.map-ident-strong)
next
  assume  $\forall \sigma. \kappa \cdot t_c\ \sigma = \kappa$ 

  then have  $\bigwedge t_G. term.is-ground\ t_G \Longrightarrow \forall \sigma. \kappa \langle t_G \rangle \cdot t\ \sigma = \kappa \langle t_G \rangle$ 
  by simp

```

```

then have  $\bigwedge t_G. \text{term.is-ground } t_G \implies \text{term.is-ground } \kappa(t_G)$ 
  by (meson is-ground-trm-iff-ident-forall-subst)

then show  $\text{context.vars } \kappa = \{\}$ 
  by (metis sup.commute sup-bot-left vars-term-ctxt-apply vars-term-of-gterm)
qed
next
fix  $\kappa :: ('f, 'v) \text{ context}$  and  $\kappa s :: ('f, 'v) \text{ context set}$ 
assume finite: finite  $\kappa s$  and non-ground:  $\text{context.vars } \kappa \neq \{\}$ 

then show  $\exists \sigma. \kappa \cdot t_c \sigma \neq \kappa \wedge \kappa \cdot t_c \sigma \notin \kappa s$ 
proof(induction  $\kappa$  arbitrary:  $\kappa s$ )
  case Hole
  then show ?case
  by simp
next
case (More f ts  $\kappa ts'$ )

show ?case
proof(cases  $\text{context.vars } \kappa = \{\}$ )
  case True

  let ?sub-terms =
     $\lambda \kappa :: ('f, 'v) \text{ context. case } \kappa \text{ of More - ts - ts}' \Rightarrow \text{set } ts \cup \text{set } ts' \mid - \Rightarrow \{\}$ 

  let ? $\kappa s'$  =  $\text{set } ts \cup \text{set } ts' \cup \bigcup (?sub\text{-terms } ' \kappa s)$ 

from True obtain t where t:  $t \in \text{set } ts \cup \text{set } ts'$  and non-ground:  $\neg \text{term.is-ground } t$ 
  using More.prem by auto

have  $\bigwedge \kappa. \text{finite } (?sub\text{-terms } \kappa)$ 
proof-
  fix  $\kappa$ 
  show finite ( $?sub\text{-terms } \kappa$ )
  by(cases  $\kappa$ ) simp-all
qed

then have finite ( $\bigcup (?sub\text{-terms } ' \kappa s)$ )
  using More.prem(1) by blast

then have finite: finite ? $\kappa s'$ 
  by blast

obtain  $\sigma$  where  $\sigma: t \cdot t \sigma \neq t$  and  $\kappa s': t \cdot t \sigma \notin ?\kappa s'$ 
  using term.exists-non-ident-subst[OF finite non-ground]
  by blast

then have More f ts  $\kappa ts' \cdot t_c \sigma \neq$  More f ts  $\kappa ts'$ 

```



```

    using t set-map-id[of - - λt. t · t σ]
    by auto

moreover have More f ts κ ts' · tc σ ∉ κs
  using κs' t
  by auto

ultimately show ?thesis
  by blast
next
case False

let ?sub-contexts = (λκ. case κ of More - - κ - ⇒ κ) ‘ {κ ∈ κs. κ ≠ □}

have finite ?sub-contexts
  using More.premis(1)
  by auto

then obtain σ where σ: κ · tc σ ≠ κ and sub-contexts: κ · tc σ ∉ ?sub-contexts
  using More.IH[OF - False]
  by blast

then have More f ts κ ts' · tc σ ≠ More f ts κ ts'
  by simp

moreover have More f ts κ ts' · tc σ ∉ κs
  using sub-contexts image-iff
  by fastforce

ultimately show ?thesis
  by blast
qed
qed
qed

global-interpretation context: based-variable-substitution where
  subst = (·tc) and vars = context.vars and id-subst = Var and comp-subst =
  (⊙) and
  base-vars = term.vars and base-subst = (·t)
proof(unfold-locales, unfold substitution-ops.is-ground-subst-def)
  fix κ :: ('f, 'v) context
  show κ · tc Var = κ
    by (induction κ) auto
next
show ∧κ σ τ. κ · tc σ ⊙ τ = κ · tc σ · tc τ
  by simp
next
show ∧κ. context.vars κ = {} ⇒ ∀σ. κ · tc σ = κ
  using context.all-subst-ident-iff-ground by blast

```

```

next
  show  $\bigwedge a \sigma \tau. (\bigwedge x. x \in \text{context.vars } a \implies \sigma x = \tau x) \implies a \cdot t_c \sigma = a \cdot t_c \tau$ 
    using ctxt-subst-eq.
next
  fix  $\gamma :: ('f, 'v) \text{ subst}$ 

  show  $(\forall x. \text{context.vars } (x \cdot t_c \gamma) = \{\}) \longleftrightarrow (\forall x. \text{term.vars } (x \cdot t \gamma) = \{\})$ 
  proof (intro iffI allI equalsOI)
    fix  $t x$ 

    assume is-ground:  $\forall \kappa. \text{context.vars } (\kappa \cdot t_c \gamma) = \{\}$  and vars:  $x \in \text{term.vars } (t \cdot t \gamma)$ 

    have  $\bigwedge f. \text{context.vars } (\text{More } f [t] \text{Hole } [] \cdot t_c \gamma) = \{\}$ 
      using is-ground
      by presburger

    moreover have  $\bigwedge f. x \in \text{context.vars } (\text{More } f [t] \text{Hole } [] \cdot t_c \gamma)$ 
      using vars
      by simp

    ultimately show False
      by blast
  next
  fix  $\kappa x$ 
  assume is-ground:  $\forall t. \text{term.is-ground } (t \cdot t \gamma)$  and vars:  $x \in \text{context.vars } (\kappa \cdot t_c \gamma)$ 

  have  $\bigwedge t. \text{term.is-ground } (\kappa \langle t \rangle \cdot t \gamma)$ 
    using is-ground
    by presburger

  moreover have  $\bigwedge t. x \in \text{term.vars } (\kappa \langle t \rangle \cdot t \gamma)$ 
    using vars
    by simp

  ultimately show False
    by blast
  qed
next
  fix  $\kappa$  and  $\gamma :: ('f, 'v) \text{ subst}$ 

  show  $\text{context.vars } (\kappa \cdot t_c \gamma) = \{\} \longleftrightarrow (\forall x \in \text{context.vars } \kappa. \text{term.is-ground } (\gamma x))$ 
    by (induction  $\kappa$ ) (auto simp: term.is-grounding-iff-vars-grounded)
  qed

global-interpretation context: finite-variables
  where vars = context.vars ::  $('f, 'v) \text{ context} \Rightarrow 'v \text{ set}$ 

```

proof *unfold-locales*

fix $\kappa :: ('f, 'v) \text{ context}$

have $\bigwedge t. \text{finite } (\text{term.vars } \kappa \langle t \rangle)$
using *term.finite-vars* **by** *blast*

then show $\text{finite } (\text{context.vars } \kappa)$
unfolding *vars-term-ctxt-apply* *finite-Un*
by *simp*

qed

global-interpretation *context: grounding where*

$\text{vars} = \text{context.vars} :: ('f, 'v) \text{ context} \Rightarrow 'v \text{ set}$ **and** $\text{id-subst} = \text{Var}$ **and** $\text{comp-subst} = (\odot)$ **and**

$\text{subst} = (\cdot t_c)$ **and** $\text{from-ground} = \text{context.from-ground}$ **and** $\text{to-ground} = \text{context.to-ground}$

proof *unfold-locales*

have $\bigwedge x. \text{context.vars } x = \{\}$ $\implies \exists g. \text{context.from-ground } g = x$
by (*metis Un-empty-left gctxt-of-ctxt-inv term.ground-exists term.to-ground-inverse*)

$\text{term-of-gterm-ctxt-apply-ground}(1) \text{ vars-term-ctxt-apply}$)

then show $\{f. \text{context.vars } f = \{\}\} = \text{range } \text{context.from-ground}$
by *force*

next

show $\bigwedge g. \text{context.to-ground } (\text{context.from-ground } g) = g$
by *simp*

qed

lemma *ground-ctxt-iff-context-is-ground* [*clause-simp*]:

$\text{ground-ctxt } \text{context} \longleftrightarrow \text{context.is-ground } \text{context}$

by (*induction context*) *clause-auto*

5 Lifting

lemma *exists-uprod*: $\exists a. t \in \text{set-uprod } a$

by (*metis insertI1 set-uprod-simps*)

lemma *exists-literal*: $\exists l. a \in \text{set-literal } l$

by (*meson literal.set-intros(1)*)

lemma *exists-mset*: $\exists c. l \in \text{set-mset } c$

by (*meson union-single-eq-member*)

lemma *finite-set-literal*: $\bigwedge l. \text{finite } (\text{set-literal } l)$

unfolding *set-literal-atm-of*

by *simp*

locale *clause-lifting* =

based-variable-substitution-lifting **where**
base-subst = $(\cdot t)$ **and** *base-vars* = *term.vars* **and** *id-subst* = *Var* **and** *comp-subst*
= $(\odot) +$
all-subst-ident-iff-ground-lifting **where** *id-subst* = *Var* **and** *comp-subst* = $(\odot) +$
grounding-lifting **where** *id-subst* = *Var* **and** *comp-subst* = (\odot)

global-interpretation *atom: clause-lifting* **where**
sub-subst = $(\cdot t)$ **and** *sub-vars* = *term.vars* **and** *map* = *map-uprod* **and** *to-set*
= *set-uprod* **and**
sub-to-ground = *term.to-ground* **and** *sub-from-ground* = *term.from-ground* **and**
to-ground-map = *map-uprod* **and** *from-ground-map* = *map-uprod* **and** *ground-map*
= *map-uprod* **and**
to-set-ground = *set-uprod*
by
unfold-locales
(auto
simp: uprod.map-comp uprod.map-id uprod.set-map exists-uprod
term.is-grounding-iff-vars-grounded
intro: uprod.map-cong)

global-interpretation *literal: clause-lifting* **where**
sub-subst = *atom.subst* **and** *sub-vars* = *atom.vars* **and** *map* = *map-literal* **and**
to-set = *set-literal* **and** *sub-to-ground* = *atom.to-ground* **and**
sub-from-ground = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**
from-ground-map = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*
= *set-literal*
by
unfold-locales
(auto
simp: literal.map-comp literal.map-id literal.set-map exists-literal
atom.is-grounding-iff-vars-grounded finite-set-literal
intro: literal.map-cong)

global-interpretation *clause: clause-lifting* **where**
sub-subst = *literal.subst* **and** *sub-vars* = *literal.vars* **and** *map* = *image-mset* **and**

to-set = *set-mset* **and** *sub-to-ground* = *literal.to-ground* **and**
sub-from-ground = *literal.from-ground* **and** *to-ground-map* = *image-mset* **and**
from-ground-map = *image-mset* **and** *ground-map* = *image-mset* **and** *to-set-ground*
= *set-mset*
by *unfold-locales*
(auto *simp: exists-mset literal.is-grounding-iff-vars-grounded*)

notation *atom.subst* (infixl $\cdot a$ 67)
notation *literal.subst* (infixl $\cdot l$ 66)
notation *clause.subst* (infixl \cdot 67)

lemmas $[clause-simp] = literal.to-set-is-ground \ atom.to-set-is-ground$

lemmas $[clause-intro] = clause.subst-in-to-set-subst$

lemmas $empty-clause-is-ground [clause-intro] =$
 $clause.empty-is-ground[OF set-mset-empty]$

lemmas $clause-subst-empty [clause-simp] =$
 $clause.subst-ident-if-ground[OF empty-clause-is-ground]$
 $clause.subst-empty[OF set-mset-empty]$

lemma $set-mset-set-uprod [clause-simp]: set-mset (mset-lit literal) = set-uprod$
 $(atm-of literal)$
by $(cases literal) simp-all$

lemma $mset-lit-set-literal [clause-simp]:$
 $term \in \# mset-lit literal \longleftrightarrow term \in \bigcup (set-uprod \text{ ` } set-literal literal)$
unfolding $set-literal-atm-of$
by $clause-simp$

lemma $vars-atom [clause-simp]:$
 $atom.vars (Upair term_1 term_2) = term.vars term_1 \cup term.vars term_2$
by $(simp-all add: atom.vars-def)$

lemma $vars-literal [clause-simp]:$
 $literal.vars (Pos atom) = atom.vars atom$
 $literal.vars (Neg atom) = atom.vars atom$
 $literal.vars ((if b then Pos else Neg) atom) = atom.vars atom$
by $(simp-all add: literal.vars-def)$

lemma $subst-atom [clause-simp]:$
 $Upair term_1 term_2 \cdot a \sigma = Upair (term_1 \cdot t \sigma) (term_2 \cdot t \sigma)$
unfolding $atom.subst-def$
by $simp-all$

lemma $subst-literal [clause-simp]:$
 $Pos atom \cdot l \sigma = Pos (atom \cdot a \sigma)$
 $Neg atom \cdot l \sigma = Neg (atom \cdot a \sigma)$
 $atm-of (literal \cdot l \sigma) = atm-of literal \cdot a \sigma$
unfolding $literal.subst-def$
using $literal.map-sel$
by $auto$

lemma $vars-clause-add-mset [clause-simp]:$
 $clause.vars (add-mset literal clause) = literal.vars literal \cup clause.vars clause$
by $(simp add: clause.vars-def)$

lemma $vars-clause-plus [clause-simp]:$
 $clause.vars (clause_1 + clause_2) = clause.vars clause_1 \cup clause.vars clause_2$

by (simp add: clause.vars-def)

lemma *clause-submset-vars-clause-subset* [clause-intro]:
 $clause_1 \subseteq \# clause_2 \implies clause.vars\ clause_1 \subseteq clause.vars\ clause_2$
by (metis subset-mset.add-diff-inverse sup-ge1 vars-clause-plus)

lemma *subst-clause-add-mset* [clause-simp]:
 $add-mset\ literal\ clause \cdot \sigma = add-mset\ (literal \cdot l\ \sigma)\ (clause \cdot \sigma)$
unfolding *clause.subst-def*
by *simp*

lemma *subst-clause-plus* [clause-simp]:
 $(clause_1 + clause_2) \cdot \sigma = clause_1 \cdot \sigma + clause_2 \cdot \sigma$
unfolding *clause.subst-def*
by *simp*

lemma *clause-to-ground-plus* [simp]:
 $clause.to-ground\ (clause_1 + clause_2) = clause.to-ground\ clause_1 + clause.to-ground\ clause_2$
by (simp add: clause.to-ground-def)

lemma *clause-from-ground-plus* [simp]:
 $clause.from-ground\ (clause_{G1} + clause_{G2}) = clause.from-ground\ clause_{G1} + clause.from-ground\ clause_{G2}$
by (simp add: clause.from-ground-def)

lemma *subst-clause-remove1-mset* [clause-simp]:
assumes $literal \in \# clause$
shows $remove1-mset\ literal\ clause \cdot \sigma = remove1-mset\ (literal \cdot l\ \sigma)\ (clause \cdot \sigma)$
unfolding *clause.subst-def image-mset-remove1-mset-if*
using *assms*
by *simp*

lemma *sub-ground-clause* [clause-intro]:
assumes $clause' \subseteq \# clause\ clause.is-ground\ clause$
shows $clause.is-ground\ clause'$
using *assms*
unfolding *clause.vars-def*
by *blast*

lemma *clause-from-ground-empty-mset* [clause-simp]: $clause.from-ground\ \{\#\} = \{\#\}$
by (simp add: clause.from-ground-def)

lemma *clause-to-ground-empty-mset* [clause-simp]: $clause.to-ground\ \{\#\} = \{\#\}$
by (simp add: clause.to-ground-def)

lemma *ground-term-with-context1*:
assumes $context.is-ground\ context\ term.is-ground\ term$

shows $(\text{context.to-ground } \text{context})(\text{term.to-ground } \text{term})_G = \text{term.to-ground } \text{context}(\text{term})$

using *assms*

by (*simp add: term-context-ground-iff-term-is-ground*)

lemma *ground-term-with-context2:*

assumes *context.is-ground context*

shows $\text{term.from-ground } (\text{context.to-ground } \text{context})(\text{term}_G)_G = \text{context}(\text{term.from-ground } \text{term}_G)$

using *assms*

by (*simp add: ground-ctxt-iff-context-is-ground ground-gctxt-of-ctxt-apply-gterm*)

lemma *ground-term-with-context3:*

$(\text{context.from-ground } \text{context}_G)(\text{term.from-ground } \text{term}_G) = \text{term.from-ground } \text{context}_G(\text{term}_G)_G$

using *ground-term-with-context2[OF context.ground-is-ground, symmetric]*

unfolding *context.from-ground-inverse.*

lemmas *ground-term-with-context =*

ground-term-with-context1

ground-term-with-context2

ground-term-with-context3

lemma *context-is-ground-context-compose1:*

assumes *context.is-ground (context \circ_c context')*

shows *context.is-ground context context.is-ground context'*

using *assms*

by(*induction context*) *auto*

lemma *context-is-ground-context-compose2:*

assumes *context.is-ground context context.is-ground context'*

shows *context.is-ground (context \circ_c context')*

using *assms*

by (*meson ground-ctxt-comp ground-ctxt-iff-context-is-ground*)

lemmas *context-is-ground-context-compose =*

context-is-ground-context-compose1

context-is-ground-context-compose2

lemma *ground-context-subst:*

assumes

context.is-ground context_G

context_G = (context $\cdot t_c$ σ) \circ_c context'

shows

context_G = context \circ_c context' $\cdot t_c$ σ

using *assms*

proof(*induction context*)

case *Hole*

then show *?case*

by *simp*
next
 case *More*
 then show *?case*
 using *context-is-ground-context-compose1* (2)
 by (*metis subst-compose-ctxt-compose-distrib context.subst-ident-if-ground*)
qed

lemma *clause-from-ground-add-mset* [*clause-simp*]:
clause.from-ground (add-mset *literal*_G *clause*_G) =
 add-mset (*literal.from-ground* *literal*_G) (*clause.from-ground* *clause*_G)
 by (*simp add: clause.from-ground-def*)

lemma *remove1-mset-literal-from-ground*:
remove1-mset (*literal.from-ground* *literal*_G) (*clause.from-ground* *clause*_G)
 = *clause.from-ground* (*remove1-mset* *literal*_G *clause*_G)
unfolding *clause.from-ground-def image-mset-remove1-mset*[*OF literal.inj-from-ground*].

lemma *term-with-context-is-ground* [*clause-simp*]:
term.is-ground *context*(*term*) \longleftrightarrow *context.is-ground* *context* \wedge *term.is-ground* *term*
 by *simp*

lemma *mset-literal-from-ground*:
mset-lit (*literal.from-ground* *l*) = *image-mset* *term.from-ground* (*mset-lit* *l*)
 by (*metis atom.from-ground-def literal.from-ground-def literal.map-cong0 mset-lit-image-mset*)

lemma *clause-is-ground-add-mset* [*clause-simp*]:
clause.is-ground (add-mset *literal* *clause*) \longleftrightarrow
literal.is-ground *literal* \wedge *clause.is-ground* *clause*
 by *clause-auto*

lemma *clause-to-ground-add-mset*:
assumes *clause.from-ground* *clause* = add-mset *literal* *clause'*
shows *clause* = add-mset (*literal.to-ground* *literal*) (*clause.to-ground* *clause'*)
using *assms*
 by (*metis clause.from-ground-inverse clause.to-ground-def image-mset-add-mset*)

lemma *mset-mset-lit-subst* [*clause-simp*]:
 {# *term* · *t* σ . *term* \in # *mset-lit* *literal* #} = *mset-lit* (*literal* · *l* σ)
unfolding *literal.subst-def atom.subst-def*
 by (*cases literal*) (*auto simp: mset-uprod-image-mset*)

lemma *term-in-literal-subst* [*clause-intro*]:
assumes *term* \in # *mset-lit* *literal*
shows *term* · *t* σ \in # *mset-lit* (*literal* · *l* σ)
using *assms*

by (simp add: atom.subst-in-to-set-subst set-mset-set-uprod subst-literal(3))

lemma ground-term-in-ground-literal:

assumes literal.is-ground literal term $\in\#$ mset-lit literal

shows term.is-ground term

by (metis assms(1,2) atom.to-set-is-ground literal.simps(15) literal.vars-def set-literal-atm-of

set-mset-set-uprod vars-literal(1))

lemma ground-term-in-ground-literal-subst:

assumes literal.is-ground (literal \cdot l γ) term $\in\#$ mset-lit literal

shows term.is-ground (term \cdot t γ)

using assms(1,2) ground-term-in-ground-literal term-in-literal-subst by blast

lemma subst-polarity-stable:

shows

subst-neg-stable: is-neg (literal \cdot l σ) \longleftrightarrow is-neg literal **and**

subst-pos-stable: is-pos (literal \cdot l σ) \longleftrightarrow is-pos literal

by (simp-all add: literal.subst-def)

lemma atom-from-ground-term-from-ground [clause-simp]:

atom.from-ground (Upair term_{G1} term_{G2}) =

Upair (term.from-ground term_{G1}) (term.from-ground term_{G2})

by (simp add: atom.from-ground-def)

lemma literal-from-ground-atom-from-ground [clause-simp]:

literal.from-ground (Neg atom_G) = Neg (atom.from-ground atom_G)

literal.from-ground (Pos atom_G) = Pos (atom.from-ground atom_G)

by (simp-all add: literal.from-ground-def)

lemma context-from-ground-hole [clause-simp]:

context.from-ground context_G = \square \longleftrightarrow context_G = \square _G

by (cases context_G) simp-all

lemma literal-from-ground-polarity-stable:

shows

literal-from-ground-neg-stable: is-neg literal_G \longleftrightarrow is-neg (literal.from-ground literal_G) **and**

literal-from-ground-stable: is-pos literal_G \longleftrightarrow is-pos (literal.from-ground literal_G)

by (simp-all add: literal.from-ground-def)

lemma ground-terms-in-ground-atom1:

assumes term.is-ground term₁ **and** term.is-ground term₂

shows Upair (term.to-ground term₁) (term.to-ground term₂) = atom.to-ground (Upair term₁ term₂)

using assms

by (*simp add: atom.to-ground-def*)

lemma *ground-terms-in-ground-atom2* [*clause-simp*]:

atom.is-ground (Upair term₁ term₂) \longleftrightarrow *term.is-ground term₁ \wedge term.is-ground term₂*

by *clause-simp*

lemmas *ground-terms-in-ground-atom* =

ground-terms-in-ground-atom1

ground-terms-in-ground-atom2

lemma *ground-atom-in-ground-literal*:

Pos (atom.to-ground atom) = literal.to-ground (Pos atom)

Neg (atom.to-ground atom) = literal.to-ground (Neg atom)

by (*simp-all add: literal.to-ground-def*)

lemma *atom-is-ground-in-ground-literal* [*intro*]:

literal.is-ground literal \longleftrightarrow *atom.is-ground (atm-of literal)*

by (*simp add: literal.vars-def set-literal-atm-of*)

lemma *obtain-from-atom-subst* [*clause-intro*]:

assumes *Upair term₁' term₂' = atom · a σ*

obtains *term₁ term₂*

where *atom = Upair term₁ term₂ term₁' = term₁ · t σ term₂' = term₂ · t σ*

using *assms*

unfolding *atom.subst-def*

by(*cases atom*) *auto*

lemma *obtain-from-pos-literal-subst* [*clause-intro*]:

assumes *literal · l σ = term₁' \approx term₂'*

obtains *term₁ term₂*

where *literal = term₁ \approx term₂ term₁' = term₁ · t σ term₂' = term₂ · t σ*

using *assms obtain-from-atom-subst subst-pos-stable*

by (*metis is-pos-def literal.sel(1) subst-literal(1)*)

lemma *obtain-from-neg-literal-subst*:

assumes *literal · l σ = term₁' $!\approx$ term₂'*

obtains *term₁ term₂*

where *literal = term₁ $!\approx$ term₂ term₁ · t σ = term₁' term₂ · t σ = term₂'*

using *assms obtain-from-atom-subst subst-neg-stable*

by (*metis literal.collapse(2) literal.disc(2) literal.sel(2) subst-literal(3)*)

lemmas *obtain-from-literal-subst* = *obtain-from-pos-literal-subst obtain-from-neg-literal-subst*

lemma *subst-cannot-add-var*:

assumes *is-Var (term · t σ)*

shows *is-Var term*

using *assms term.subst-cannot-unground*

by *fastforce*

lemma *var-in-term*:
assumes $var \in term.vars$ $term$
obtains $context$ **where** $term = context\langle Var\ var \rangle$
using *assms*
proof(*induction term*)
case *Var*
then show *?case*
by (*meson supteq-Var supteq-ctxtE*)
next
case (*Fun f args*)
then obtain $term'$ **where** $term' \in set\ args$ $var \in term.vars$ $term'$
by (*metis term.distinct(1) term.sel(4) term.set-cases(2)*)

moreover then obtain $args1\ args2$ **where**
 $args1 @ [term'] @ args2 = args$
by (*metis append-Cons append-Nil split-list*)

moreover then have (*More f args1 \square args2*) $\langle term' \rangle = Fun\ f\ args$
by *simp*

ultimately show *?case*
using *Fun(1)[of term']*
by (*meson assms supteq-ctxtE that vars-term-supteq*)
qed

lemma *var-in-non-ground-term*:
assumes $\neg term.is-ground$ $term$
obtains $context\ var$ **where** $term = context\langle var \rangle$ *is-Var var*
proof–
obtain var **where** $var \in term.vars$ $term$
using *assms*
by *blast*

moreover then obtain $context$ **where** $term = context\langle Var\ var \rangle$
using *var-in-term*
by *metis*

ultimately show *?thesis*
using *that*
by *blast*
qed

lemma *non-ground-arg*:
assumes $\neg term.is-ground$ (*Fun f terms*)
obtains $term$
where $term \in set\ terms$ $\neg term.is-ground$ $term$
using *assms that* **by** *fastforce*

```

lemma non-ground-arg':
  assumes  $\neg \text{term.is-ground } (Fun\ f\ terms)$ 
  obtains ts1 var ts2
  where  $terms = ts1\ @\ [var]\ @\ ts2\ \neg\ \text{term.is-ground}\ var$ 
  using non-ground-arg
  by (metis append.left-neutral append-Cons assms split-list)

```

5.1 Interpretations

```

lemma vars-term-ms-count:
  assumes  $\text{term.is-ground } term_G$ 
  shows  $size\ \{\#var' \in \# \text{vars-term-ms context}\langle Var\ var \rangle.\ var' = var\#\} =$ 
     $Suc\ (size\ \{\#var' \in \# \text{vars-term-ms context}\langle term_G \rangle.\ var' = var\#\})$ 
proof(induction context)
  case Hole
  then show ?case
    using assms
    by (simp add: filter-mset-empty-conv)
  next
  case (More f ts1 context ts2)
  then show ?case
    by auto
qed

```

```

context
  fixes  $I :: ('f\ gterm \times 'f\ gterm)\ set$ 
  assumes
    trans: trans I and
    sym: sym I and
    compatible-with-gctxt: compatible-with-gctxt I
begin

```

```

lemma interpretation-context-congruence:
  assumes
     $(t, t') \in I$ 
     $(\text{ctxt}\langle t \rangle_G, t'') \in I$ 
  shows
     $(\text{ctxt}\langle t' \rangle_G, t'') \in I$ 
  using
    assms sym trans compatible-with-gctxt
    compatible-with-gctxtD symE transE
  by meson

```

```

lemma interpretation-context-congruence':
  assumes
     $(t, t') \in I$ 
     $(\text{ctxt}\langle t \rangle_G, t'') \notin I$ 
  shows
     $(\text{ctxt}\langle t' \rangle_G, t'') \notin I$ 

```

```

using assms sym trans compatible-with-gctxt
by (metis interpretation-context-congruence symD)

context
fixes
   $\gamma :: ('f, 'v)$  subst and
  update :: ('f, 'v) Term.term and
  var :: 'v
assumes
  update-is-ground: term.is-ground update and
  var-grounding: term.is-ground (Var var · t  $\gamma$ )
begin

lemma interpretation-term-congruence:
assumes
  term-grounding: term.is-ground (term · t  $\gamma$ ) and
  var-update: (term.to-ground ( $\gamma$  var), term.to-ground update)  $\in I$  and
  updated-term: (term.to-ground (term · t  $\gamma$ (var := update)), term')  $\in I$ 
shows
  (term.to-ground (term · t  $\gamma$ ), term')  $\in I$ 
using assms
proof(induction size (filter-mset ( $\lambda$ var'. var' = var) (vars-term-ms term))) arbitrary: term)
  case 0

    then have var  $\notin$  term.vars term
      by (metis (mono-tags, lifting) filter-mset-empty-conv set-mset-vars-term-ms size-eq-0-iff-empty)

    then have term · t  $\gamma$ (var := update) = term · t  $\gamma$ 
      using term.subst-redundant-upd
      by fast

    with 0 show ?case
      by argo
  next
  case (Suc n)

    then have var  $\in$  term.vars term
      by (metis (full-types) filter-mset-empty-conv nonempty-has-size set-mset-vars-term-ms zero-less-Suc)

    then obtain context where
      term [simp]: term = context⟨Var var⟩
      by (meson var-in-term)

    have [simp]: (context.to-ground (context · tc  $\gamma$ ))⟨term.to-ground ( $\gamma$  var)⟩G =
      term.to-ground (context⟨Var var⟩ · t  $\gamma$ )

```

```

using Suc by fastforce

have context-update [simp]:
  (context.to-ground (context · tc  $\gamma$ ))(term.to-ground update)G =
    term.to-ground (context⟨update⟩ · t  $\gamma$ )
using Suc update-is-ground
unfolding term
by auto

have n = size {#var' ∈ # vars-term-ms context⟨update⟩. var' = var#}
using Suc vars-term-ms-count[OF update-is-ground, of var context]
by auto

moreover have term.is-ground (context⟨update⟩ · t  $\gamma$ )
using Suc.prems update-is-ground
by auto

moreover have (term.to-ground (context⟨update⟩ · t  $\gamma$ (var := update)), term')
∈ I
using Suc.prems update-is-ground
by auto

moreover have update: (term.to-ground update, term.to-ground ( $\gamma$  var)) ∈ I
using var-update sym
by (metis symD)

moreover have (term.to-ground (context⟨update⟩ · t  $\gamma$ ), term') ∈ I
using Suc calculation
by blast

ultimately have ((context.to-ground (context · tc  $\gamma$ ))(term.to-ground ( $\gamma$  var)))G,
term') ∈ I
using interpretation-context-congruence context-update
by presburger

then show ?case
unfolding term
by simp
qed

lemma interpretation-term-congruence':
assumes
  term-grounding: term.is-ground (term · t  $\gamma$ ) and
  var-update: (term.to-ground ( $\gamma$  var), term.to-ground update) ∈ I and
  updated-term: (term.to-ground (term · t  $\gamma$ (var := update)), term') ∉ I
shows
  (term.to-ground (term · t  $\gamma$ ), term') ∉ I
proof
assume (term.to-ground (term · t  $\gamma$ ), term') ∈ I

```

```

then show False
using
  First-Order-Clause.interpretation-term-congruence[OF
    trans sym compatible-with-gtxt var-grounding
  ]
  assms
  sym
  update-is-ground
by (smt (verit) eval-term.simps fun-upd-same fun-upd-triv fun-upd-upd term.ground-subst-upd
      symD)
qed

lemma interpretation-atom-congruence:
assumes
  term.is-ground (term1 ·t γ)
  term.is-ground (term2 ·t γ)
  (term.to-ground (γ var), term.to-ground update) ∈ I
  (term.to-ground (term1 ·t γ(var := update)), term.to-ground (term2 ·t γ(var
:= update))) ∈ I
shows
  (term.to-ground (term1 ·t γ), term.to-ground (term2 ·t γ)) ∈ I
using assms
by (metis interpretation-term-congruence sym symE)

lemma interpretation-atom-congruence':
assumes
  term.is-ground (term1 ·t γ)
  term.is-ground (term2 ·t γ)
  (term.to-ground (γ var), term.to-ground update) ∈ I
  (term.to-ground (term1 ·t γ(var := update)), term.to-ground (term2 ·t γ(var
:= update))) ∉ I
shows
  (term.to-ground (term1 ·t γ), term.to-ground (term2 ·t γ)) ∉ I
using assms
by (metis interpretation-term-congruence' sym symE)

lemma interpretation-literal-congruence:
assumes
  literal.is-ground (literal ·l γ)
  upair ' I ⊨=l term.to-ground (Var var ·t γ) ≈ term.to-ground update
  upair ' I ⊨=l literal.to-ground (literal ·l γ(var := update))
shows
  upair ' I ⊨=l literal.to-ground (literal ·l γ)
proof(cases literal)
  case (Pos atom)

  have atom.to-ground (atom ·a γ) ∈ upair ' I

```

```

proof(cases atom)
  case (Upair term1 term2)
  then have term-groundings: term.is-ground (term1 ·t γ) term.is-ground (term2
·t γ)
    using Pos assms
    by clause-auto

  have (term.to-ground (γ var), term.to-ground update) ∈ I
    using sym assms by auto

  moreover have
    (term.to-ground (term1 ·t γ(var := update)), term.to-ground (term2 ·t γ(var
:= update))) ∈ I
    using assms Pos Upair
    unfolding literal.to-ground-def atom.to-ground-def
    by(auto simp: subst-atom sym subst-literal)

  ultimately show ?thesis
    using interpretation-atom-congruence[OF term-groundings]
    by (simp add: Upair sym subst-atom atom.to-ground-def)
qed

with Pos show ?thesis
  by (metis ground-atom-in-ground-literal(1) subst-literal(1) true-lit-simps(1))
next
  case (Neg atom)

  have atom.to-ground (atom ·a γ) ∉ upair ‘ I
  proof(cases atom)
    case (Upair term1 term2)
    then have term-groundings: term.is-ground (term1 ·t γ) term.is-ground (term2
·t γ)
      using Neg assms
      by clause-auto

    have (term.to-ground (γ var), term.to-ground update) ∈ I
      using sym assms by auto

    moreover have
      (term.to-ground (term1 ·t γ(var := update)), term.to-ground (term2 ·t γ(var
:= update))) ∉ I
      using assms Neg Upair
      unfolding literal.to-ground-def atom.to-ground-def
      by (simp add: sym subst-literal(2) subst-atom)

    ultimately show ?thesis
      using interpretation-atom-congruence'[OF term-groundings]
      by (simp add: Upair sym subst-atom atom.to-ground-def)
qed

```



```

then show ?thesis
  by (metis Neg ground-atom-in-ground-literal(2) subst-literal(2) true-lit-simps(2))
qed

lemma interpretation-clause-congruence:
  assumes
    clause.is-ground (clause ·  $\gamma$ )
    upair ' I  $\models$ l term.to-ground (Var var · t  $\gamma$ )  $\approx$  term.to-ground update
    upair ' I  $\models$  clause.to-ground (clause ·  $\gamma$ (var := update))
  shows
    upair ' I  $\models$  clause.to-ground (clause ·  $\gamma$ )
  using assms
proof(induction clause)
  case empty
  then show ?case
    by clause-simp
next
  case (add literal clause')

  have clause'-grounding: clause.is-ground (clause' ·  $\gamma$ )
    by (metis add.premis(1) clause-is-ground-add-mset subst-clause-add-mset)

  show ?case
  proof(cases upair ' I  $\models$  clause.to-ground (clause' ·  $\gamma$ (var := update)))
    case True
    show ?thesis
      using add(1)[OF clause'-grounding assms(2) True]
      unfolding subst-clause-add-mset clause.to-ground-def
      by simp
    next
    case False
    then have upair ' I  $\models$ l literal.to-ground (literal · l  $\gamma$ (var := update))
      using add.premis
    by (metis (no-types, lifting) image-mset-add-mset subst-clause-add-mset clause.to-ground-def
true-cls-add-mset)

    then have upair ' I  $\models$ l literal.to-ground (literal · l  $\gamma$ )
      using interpretation-literal-congruence add.premis
      by (metis clause-is-ground-add-mset subst-clause-add-mset)

  then show ?thesis
    by (simp add: subst-clause-add-mset clause.to-ground-def)
qed
qed

end
end

```

5.2 Renaming

context

fixes $\varrho :: ('f, 'v) \text{ subst}$

assumes *renaming*: $\text{term-subst.is-renaming } \varrho$

begin

lemma *renaming-vars-term*: $\text{Var } ' \text{ term.vars } (\text{term} \cdot t \ \varrho) = \varrho \ ' (\text{term.vars } \text{term})$

proof(*induction term*)

case *Var*

with *renaming show ?case*

unfolding *term-subst-is-renaming-iff*

by (*metis Term.term.simps(17) eval-term.simps(1) image-empty image-insert is-VarE*)

next

case (*Fun f terms*)

have

$\bigwedge \text{term } x. \llbracket \text{term} \in \text{set terms}; x \in \text{term.vars } (\text{term} \cdot t \ \varrho) \rrbracket$
 $\implies \text{Var } x \in \varrho \ ' \bigcup (\text{term.vars } ' \text{ set terms})$

using *Fun*

by (*smt (verit, del-insts) UN-iff image-UN image-eqI*)

moreover have

$\bigwedge \text{term } x. \llbracket \text{term} \in \text{set terms}; x \in \text{term.vars } \text{term} \rrbracket$
 $\implies \varrho \ x \in \text{Var } ' (\bigcup x' \in \text{set terms. } \text{term.vars } (x' \cdot t \ \varrho))$

using *Fun*

by (*smt (verit, del-insts) UN-iff image-UN image-eqI*)

ultimately show *?case*

by *auto*

qed

lemma *renaming-vars-atom*: $\text{Var } ' \text{ atom.vars } (\text{atom} \cdot a \ \varrho) = \varrho \ ' \text{ atom.vars } \text{atom}$

unfolding *atom.vars-def atom.subst-def*

by(*cases atom*)(*auto simp: image-Un renaming-vars-term*)

lemma *renaming-vars-literal*: $\text{Var } ' \text{ literal.vars } (\text{literal} \cdot l \ \varrho) = \varrho \ ' \text{ literal.vars } \text{literal}$

unfolding *literal.vars-def literal.subst-def*

by(*cases literal*)(*auto simp: renaming-vars-atom*)

lemma *renaming-vars-clause*: $\text{Var } ' \text{ clause.vars } (\text{clause} \cdot \varrho) = \varrho \ ' \text{ clause.vars } \text{clause}$

using *renaming-vars-literal*

by(*induction clause*)(*clause-auto simp: image-Un empty-clause-is-ground*)

lemma *surj-the-inv*: $\text{surj } (\lambda x. \text{the-inv } \varrho (\text{Var } x))$

by (*metis is-Var-def renaming surj-def term-subst-is-renaming-iff the-inv-f-f*)

end

lemma *needed*: $\text{surj } g \implies \text{infinite } \{x. f x = ty\} \implies \text{infinite } \{x. f (g x) = ty\}$
by (*smt* (*verit*) *UNIV-I finite-imageI image-iff mem-Collect-eq rev-finite-subset subset-eq*)

lemma *obtain-ground-fun*:
assumes *term.is-ground* *t*
obtains *f ts* **where** $t = \text{Fun } f \text{ } ts$
using *assms*
by(*cases* *t*) *auto*

lemma *vars-term-subst*: $\text{term.vars } (t \cdot t \sigma) \subseteq \text{term.vars } t \cup \text{range-vars } \sigma$
by (*meson* *Diff-subset order-refl subset-trans sup.mono vars-term-subst-apply-term-subset*)

lemma *vars-term-imgu* [*clause-intro*]:
assumes *term-subst.is-imgu* $\mu \{\{s, s'\}\}$
shows $\text{term.vars } (t \cdot t \mu) \subseteq \text{term.vars } t \cup \text{term.vars } s \cup \text{term.vars } s'$
using *range-vars-subset-if-is-imgu*[*OF assms*] *vars-term-subst*
by *fastforce*

lemma *vars-context-imgu* [*clause-intro*]:
assumes *term-subst.is-imgu* $\mu \{\{s, s'\}\}$
shows $\text{context.vars } (c \cdot t_c \mu) \subseteq \text{context.vars } c \cup \text{term.vars } s \cup \text{term.vars } s'$
using *vars-term-imgu*[*OF assms, of c<s>*]
by *simp*

lemma *vars-atom-imgu* [*clause-intro*]:
assumes *term-subst.is-imgu* $\mu \{\{s, s'\}\}$
shows $\text{atom.vars } (a \cdot a \mu) \subseteq \text{atom.vars } a \cup \text{term.vars } s \cup \text{term.vars } s'$
using *vars-term-imgu*[*OF assms*]
unfolding *atom.vars-def atom.subst-def*
by(*cases* *a*) *auto*

lemma *vars-literal-imgu* [*clause-intro*]:
assumes *term-subst.is-imgu* $\mu \{\{s, s'\}\}$
shows $\text{literal.vars } (l \cdot l \mu) \subseteq \text{literal.vars } l \cup \text{term.vars } s \cup \text{term.vars } s'$
using *vars-atom-imgu*[*OF assms*]
unfolding *literal.vars-def literal.subst-def set-literal-atm-of*
by (*metis* (*no-types, lifting*) *UN-insert ccSUP-empty literal.map-sel sup-bot.right-neutral*)

lemma *vars-clause-imgu* [*clause-intro*]:
assumes *term-subst.is-imgu* $\mu \{\{s, s'\}\}$
shows $\text{clause.vars } (c \cdot \mu) \subseteq \text{clause.vars } c \cup \text{term.vars } s \cup \text{term.vars } s'$
using *vars-literal-imgu*[*OF assms*]
unfolding *clause.vars-def clause.subst-def*
by *blast*

end

theory *Fun-Extra*
imports *Main HOL-Library.Countable-Set HOL-Cardinals.Cardinals*

begin

lemma *obtain-bij-betw-endo*:

assumes *finite domain finite img card img = card domain*

obtains *f*

where *bij-betw f domain img $\wedge x. x \notin \text{domain} \implies f x = x$*

proof –

obtain *f'* **where** *bij-f': bij-betw f' domain img*

using *assms(3) bij-betw-iff-card[OF assms(1, 2)]*

by *presburger*

let *?f = $\lambda x. \text{if } x \in \text{domain} \text{ then } f' x \text{ else } x$*

have *bij-betw ?f domain img*

using *bij-f'*

unfolding *bij-betw-def inj-on-def*

by *simp*

moreover have *$\wedge x. x \notin \text{domain} \implies ?f x = x$*

by *simp*

ultimately show *?thesis*

using *that*

unfolding *inj-def*

by *blast*

qed

lemma *obtain-bij-betw-inj-endo*:

assumes *finite domain finite img card img = card domain domain \cap img = {}*

obtains *f*

where

bij-betw f domain img

bij-betw f img domain

$\wedge x. x \notin \text{domain} \implies x \notin \text{img} \implies f x = x$

inj f

proof –

obtain *f'* **where** *bij-f': bij-betw f' domain img*

using *assms(3) bij-betw-iff-card[OF assms(1, 2)]*

by *auto*

obtain *f''* **where** *bij-f'': bij-betw f'' img domain*

using *assms(3) bij-betw-iff-card[OF assms(2, 1)]*

by *blast*

let *?f = $\lambda x. \text{if } x \in \text{domain} \text{ then } f' x \text{ else if } x \in \text{img} \text{ then } f'' x \text{ else } x$*

have *bij-betw ?f domain img*

using *bij-f' bij-f''*

unfolding *bij-betw-def inj-on-def*

by *auto*

moreover have *bij-betw* ?*f* *img* *domain*
 using *bij-f'* *bij-f''*
 unfolding *bij-betw-def inj-on-def*
 by (*smt (verit) assms(4) disjoint-iff image-cong*)

moreover have $\bigwedge x. x \notin \text{domain} \implies x \notin \text{img} \implies ?f\ x = x$
 by *simp*

ultimately show ?*thesis*
 using *that*
 unfolding *inj-def*
 by (*smt (verit, ccfv-SIG) assms(4) bij-betw-iff-bijections disjoint-iff*)

qed

lemma *obtain-inj-on*:
 assumes *finite domain infinite image-subset*
 obtains *f*
 where
 inj-on (f :: 'a \Rightarrow 'b) domain
 f ' domain \subseteq image-subset

proof–
 let ?*image* = *UNIV :: 'b set*
 let ?*domain-size* = *card domain*

have *image-subset* \subseteq ?*image*
 by *simp*

obtain *image-subset'* **where**
 image-subset' \subseteq image-subset **and**
 card image-subset' = ?domain-size **and**
 finite image-subset'
 by (*meson assms(2) infinite-arbitrarily-large*)

then obtain *f* **where** *bij: bij-betw f domain image-subset'*
 by (*metis assms(1) bij-betw-iff-card*)

then have *inj: inj-on f domain*
 using *bij-betw-def* **by** *auto*

with *bij* **have** *f ' domain \subseteq image-subset*
 by (*simp add: <image-subset' \subseteq image-subset> bij-betw-def*)

with *inj* **show** ?*thesis*
 using *that*
 by *blast*

qed

corollary *obtain-inj-on'*:
assumes *finite domain infinite (UNIV :: 'b set)*
obtains *f*
where *inj-on (f :: 'a \Rightarrow 'b) domain*
using *obtain-inj-on[OF assms]*
by *auto*

corollary *obtain-inj*:
assumes *finite (UNIV :: 'a set) infinite (UNIV :: 'b set)*
obtains *f*
where *inj (f :: 'a \Rightarrow 'b)*
using *obtain-inj-on[OF assms]*
by *auto*

corollary *obtain-inj'*:
assumes *finite (UNIV :: 'a set) infinite image-subset*
obtains *f*
where *inj (f :: 'a \Rightarrow 'b) f ' domain \subseteq image-subset*
using *obtain-inj-on[OF assms]*
by *(metis image-subset-iff range-subsetD)*

lemma *obtain-inj-endo*:
assumes *finite domain infinite image-subset*
obtains *f :: 'a \Rightarrow 'a*
where *inj f f ' domain \subseteq image-subset*
proof –
let *?image = UNIV :: 'b set*
let *?domain-size = card domain*

have *image-subset \subseteq ?image*
by *simp*

obtain *image-subset' where image-subset'*:
image-subset' \subseteq image-subset – domain
finite image-subset'
card image-subset' = ?domain-size
using *finite-Diff2[OF assms(1)] infinite-arbitrarily-large assms(2)*
by *metis*

then have *domain-image-subset'-distinct: domain \cap image-subset' = {}*
by *blast*

obtain *image-subset'-inv domain-inv where xy*:
image-subset'-inv = UNIV – image-subset'
domain-inv = UNIV – domain
by *blast*

obtain *f where*
bij-betw f domain image-subset'

```

    bij-betw f image-subset' domain
    inj f
using obtain-bij-betw-inj-endo[OF
    assms(1) image-subset'(2) image-subset'(3) domain-image-subset'-distinct
    ]
by metis

moreover then have f ' domain  $\subseteq$  image-subset
by (metis Diff-subset bij-betw-def image-subset'(1) order-trans)

ultimately show ?thesis
using that
by blast
qed

abbreviation surj-on where
    surj-on domain f  $\equiv$  ( $\forall y. \exists x \in$  domain.  $y = f x$ )

lemma surj-on-alternative: surj-on domain f  $\longleftrightarrow$  f ' domain = UNIV
by auto

lemma obtain-surj-on-nat:
assumes infinite domain
obtains f :: 'a  $\Rightarrow$  nat where surj-on domain f
proof –
obtain subdomain where
    subdomain: infinite subdomain countable subdomain subdomain  $\subseteq$  domain
using infinite-countable-subset'[OF assms]
by blast

then obtain f :: 'a  $\Rightarrow$  nat where surj-on subdomain f
by (metis to-nat-on-surj)

then have surj-on domain f
using subdomain(3)
by (meson subset-iff)

then show ?thesis
using that
by blast
qed

lemma obtain-surj-on:
assumes infinite domain
obtains f :: 'a  $\Rightarrow$  'b :: countable where surj-on domain f
proof –
obtain f' :: 'a  $\Rightarrow$  nat
where f': surj-on domain f'
using obtain-surj-on-nat[OF assms]

```

```

    by blast

let ?f = (from-nat :: nat ⇒ 'b) ∘ f'

have f: ∀ y. ∃ x ∈ domain. y = ?f x
  using f'
  unfolding comp-def
  by (metis from-nat-to-nat)

show ?thesis
  using that[OF f].
qed

lemma partitions:
  assumes infinite (UNIV :: 'x set)
  obtains A B where
    |A| =o |B|
    |A| =o |UNIV :: 'x set|
    A ∩ B = {}
    A ∪ B = (UNIV :: 'x set)
proof -
  obtain f :: 'x + 'x ⇒ 'x where f: bij f
    by (meson Plus-infinite-bij-betw-types assms bij-betw-inv one-type-greater)

  define A :: 'x set where A ≡ f ` range Inl
  define B :: 'x set where B ≡ f ` range Inr

  have A ∩ B = {}
    unfolding A-def B-def
    by (smt (verit, best) Inl-Inr-False UNIV-I bij-betw-iff-bijections disjoint-iff f
    imageE)

  moreover have A ∪ B = UNIV
    unfolding A-def B-def
    by (metis UNIV-sum bij-is-surj f image-Un)

  moreover have Inl: |Inl ` (UNIV :: 'x set)| =o |UNIV :: 'x set|
    by (meson bij-betw-imageI card-of-ordIsoI inj-Inl ordIso-symmetric)

  have Inr: |Inr ` (UNIV :: 'x set)| =o |UNIV :: 'x set|
    by (meson bij-betw-imageI card-of-ordIsoI inj-Inr ordIso-symmetric)

  have |A| =o |UNIV :: 'x set|
    unfolding A-def
    using f
    unfolding bij-betw-def
    by (metis Inl Int-UNIV-left bij-betw-imageI bij-betw-inv card-of-ordIsoI inj-on-Int
    ordIso-transitive)

```



```

moreover have |B| =o |UNIV :: 'x set|
  using f
  unfolding B-def bij-betw-def
  by (meson UNIV-I bij-betw-imageI card-of-ordIsoI inj-Inr inj-on-def ordIso-symmetric
      ordIso-transitive)

ultimately show ?thesis
  using that
  by (meson ordIso-symmetric ordIso-transitive)
qed

```

```

end
theory First-Order-Type-System
  imports First-Order-Clause Fun-Extra
begin

```

```

type-synonym ('f, 'ty) fun-types = 'f  $\Rightarrow$  'ty list  $\times$  'ty
type-synonym ('v, 'ty) var-types = 'v  $\Rightarrow$  'ty

```

```

inductive has-type :: ('f, 'ty) fun-types  $\Rightarrow$  ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  'ty
 $\Rightarrow$  bool
  for  $\mathcal{F}$   $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \Longrightarrow$  has-type  $\mathcal{F}$   $\mathcal{V}$  (Var x)  $\tau$ 
    | Fun:  $\mathcal{F} f = (\tau s, \tau) \Longrightarrow$  has-type  $\mathcal{F}$   $\mathcal{V}$  (Fun f ts)  $\tau$ 

```

```

inductive welltyped :: ('f, 'ty) fun-types  $\Rightarrow$  ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$ 
'ty  $\Rightarrow$  bool
  for  $\mathcal{F}$   $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \Longrightarrow$  welltyped  $\mathcal{F}$   $\mathcal{V}$  (Var x)  $\tau$ 
    | Fun:  $\mathcal{F} f = (\tau s, \tau) \Longrightarrow$  list-all2 (welltyped  $\mathcal{F}$   $\mathcal{V}$ ) ts  $\tau s \Longrightarrow$  welltyped  $\mathcal{F}$   $\mathcal{V}$  (Fun
f ts)  $\tau$ 

```

```

lemma has-type-right-unique: right-unique (has-type  $\mathcal{F}$   $\mathcal{V}$ )
proof (rule right-uniqueI)
  fix t  $\tau_1$   $\tau_2$ 
  assume has-type  $\mathcal{F}$   $\mathcal{V}$  t  $\tau_1$  and has-type  $\mathcal{F}$   $\mathcal{V}$  t  $\tau_2$ 
  thus  $\tau_1 = \tau_2$ 
  by (auto elim!: has-type.cases)
qed

```

```

lemma welltyped-right-unique: right-unique (welltyped  $\mathcal{F}$   $\mathcal{V}$ )
proof (rule right-uniqueI)
  fix t  $\tau_1$   $\tau_2$ 
  assume welltyped  $\mathcal{F}$   $\mathcal{V}$  t  $\tau_1$  and welltyped  $\mathcal{F}$   $\mathcal{V}$  t  $\tau_2$ 
  thus  $\tau_1 = \tau_2$ 
  by (auto elim!: welltyped.cases)

```

qed

definition *has-type_a* **where**

$$\text{has-type}_a \mathcal{F} \mathcal{V} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{has-type } \mathcal{F} \mathcal{V} t \tau)$$

definition *welltyped_a* **where**

$$[\text{clause-simp}]: \text{welltyped}_a \mathcal{F} \mathcal{V} A \longleftrightarrow (\exists \tau. \forall t \in \text{set-uprod } A. \text{welltyped } \mathcal{F} \mathcal{V} t \tau)$$

definition *has-type_l* **where**

$$\text{has-type}_l \mathcal{F} \mathcal{V} L \longleftrightarrow \text{has-type}_a \mathcal{F} \mathcal{V} (\text{atm-of } L)$$

definition *welltyped_l* **where**

$$[\text{clause-simp}]: \text{welltyped}_l \mathcal{F} \mathcal{V} L \longleftrightarrow \text{welltyped}_a \mathcal{F} \mathcal{V} (\text{atm-of } L)$$

definition *has-type_c* **where**

$$\text{has-type}_c \mathcal{F} \mathcal{V} C \longleftrightarrow (\forall L \in \# C. \text{has-type}_l \mathcal{F} \mathcal{V} L)$$

definition *welltyped_c* **where**

$$\text{welltyped}_c \mathcal{F} \mathcal{V} C \longleftrightarrow (\forall L \in \# C. \text{welltyped}_l \mathcal{F} \mathcal{V} L)$$

definition *has-type_{cs}* **where**

$$\text{has-type}_{cs} \mathcal{F} \mathcal{V} N \longleftrightarrow (\forall C \in N. \text{has-type}_c \mathcal{F} \mathcal{V} C)$$

definition *welltyped_{cs}* **where**

$$\text{welltyped}_{cs} \mathcal{F} \mathcal{V} N \longleftrightarrow (\forall C \in N. \text{welltyped}_c \mathcal{F} \mathcal{V} C)$$

definition *has-type_σ* **where**

$$\text{has-type}_\sigma \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall t \tau. \text{has-type } \mathcal{F} \mathcal{V} t \tau \longrightarrow \text{has-type } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau)$$

definition *has-type_{σ'}* **where**

$$\text{has-type}_{\sigma'} \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x. \text{has-type } \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

definition *welltyped_σ* **where**

$$\text{welltyped}_\sigma \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x. \text{welltyped } \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

lemma *welltyped_σ-Var[simp]*: *welltyped_σ F V Var*

unfolding *welltyped_σ-def*

by (*simp add: welltyped.intros*)

definition *welltyped_σ-on* **where**

$$\text{welltyped}_{\sigma\text{-on}} X \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall x \in X. \text{welltyped } \mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x))$$

lemma *welltyped_σ-welltyped_σ-on*:

$$\text{welltyped}_\sigma \mathcal{F} \mathcal{V} \sigma = \text{welltyped}_{\sigma\text{-on}} \text{UNIV } \mathcal{F} \mathcal{V} \sigma$$

unfolding *welltyped_σ-def welltyped_σ-on-def*

by *blast*

lemma *welltyped_σ-on-subset*:

$$\text{assumes } \text{welltyped}_{\sigma\text{-on}} Y \mathcal{F} \mathcal{V} \sigma \ X \subseteq Y$$

shows $\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V} \sigma$
using assms
unfolding $\text{welltyped}_\sigma\text{-on-def}$
by blast

definition $\text{welltyped}_{\sigma'}$ **where**

$\text{welltyped}_{\sigma'} \mathcal{F} \mathcal{V} \sigma \longleftrightarrow (\forall t \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \longrightarrow \text{welltyped } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau)$

lemma $\text{has-type}_c\text{-add-mset}$ [clause-simp]:

$\text{has-type}_c \mathcal{F} \mathcal{V} (\text{add-mset } L \ C) \longleftrightarrow \text{has-type}_l \mathcal{F} \mathcal{V} L \wedge \text{has-type}_c \mathcal{F} \mathcal{V} C$
by ($\text{simp add: has-type}_c\text{-def}$)

lemma $\text{welltyped}_c\text{-add-mset}$ [clause-simp]:

$\text{welltyped}_c \mathcal{F} \mathcal{V} (\text{add-mset } L \ C) \longleftrightarrow \text{welltyped}_l \mathcal{F} \mathcal{V} L \wedge \text{welltyped}_c \mathcal{F} \mathcal{V} C$
by ($\text{simp add: welltyped}_c\text{-def}$)

lemma $\text{has-type}_c\text{-plus}$ [clause-simp]:

$\text{has-type}_c \mathcal{F} \mathcal{V} (C + D) \longleftrightarrow \text{has-type}_c \mathcal{F} \mathcal{V} C \wedge \text{has-type}_c \mathcal{F} \mathcal{V} D$
by ($\text{auto simp: has-type}_c\text{-def}$)

lemma $\text{welltyped}_c\text{-plus}$ [clause-simp]:

$\text{welltyped}_c \mathcal{F} \mathcal{V} (C + D) \longleftrightarrow \text{welltyped}_c \mathcal{F} \mathcal{V} C \wedge \text{welltyped}_c \mathcal{F} \mathcal{V} D$
by ($\text{auto simp: welltyped}_c\text{-def}$)

lemma $\text{has-type}_\sigma\text{-has-type}$:

assumes $\text{has-type}_\sigma \mathcal{F} \mathcal{V} \sigma$ $\text{has-type } \mathcal{F} \mathcal{V} t \tau$
shows $\text{has-type } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau$
using assms
unfolding $\text{has-type}_\sigma\text{-def}$
by blast

lemma $\text{welltyped}_\sigma\text{-welltyped}$:

assumes $\text{welltyped}_\sigma: \text{welltyped}_\sigma \mathcal{F} \mathcal{V} \sigma$
shows $\text{welltyped } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau \longleftrightarrow \text{welltyped } \mathcal{F} \mathcal{V} t \tau$

proof(rule iffI)

assume $\text{welltyped } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau$

thus $\text{welltyped } \mathcal{F} \mathcal{V} t \tau$

proof($\text{induction } t \cdot t \sigma \tau$ $\text{arbitrary: } t$ $\text{rule: welltyped.induct}$)

case ($\text{Var } x \tau$)

then obtain x' **where** $t: t = \text{Var } x'$

by ($\text{metis subst-apply-eq-Var}$)

have $\text{welltyped } \mathcal{F} \mathcal{V} t (\mathcal{V} x')$

unfolding t

by ($\text{simp add: welltyped.Var}$)

have $\text{welltyped } \mathcal{F} \mathcal{V} t (\mathcal{V} x)$

using $\text{Var welltyped}_\sigma$

unfolding t $\text{welltyped}_\sigma\text{-def}$

```

by (metis eval-term.simps(1) welltyped.Var right-uniqueD welltyped-right-unique)

then have  $\mathcal{V}\text{-}x': \tau = \mathcal{V} x'$ 
  using Var welltyped $_{\sigma}$ 
  unfolding welltyped $_{\sigma}$ -def t
  by (metis welltyped.Var right-uniqueD welltyped-right-unique t)

show ?case
  unfolding t  $\mathcal{V}\text{-}x'$ 
  by (simp add: welltyped.Var)
next
case (Fun f  $\tau s \tau ts$ )
show ?case
proof(cases t)
  case (Var x)
  from Fun show ?thesis
  using welltyped $_{\sigma}$ 
  unfolding welltyped $_{\sigma}$ -def Var
  by (metis (no-types, opaque-lifting) eval-term.simps(1) prod.sel(2)
    term.distinct(1) term.inject(2) welltyped.simps)
next
case Fun $_t$ : Fun
with Fun show ?thesis
  by (simp add: welltyped.simps list.rel-map(1) list-all2-mono)
qed
qed
next
assume welltyped  $\mathcal{F} \mathcal{V} t \tau$ 
thus welltyped  $\mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau$ 
proof(induction t  $\tau$  rule: welltyped.induct)
  case Var $_t$ : (Var x  $\tau$ )
  then show ?case
  proof(cases Var x  $\cdot t \sigma$ )
    case Var
    then show ?thesis
    using welltyped $_{\sigma}$ 
    unfolding welltyped $_{\sigma}$ -def
    by (metis Var $_t$ .hyps eval-term.simps(1))
  next
  case Fun
  then show ?thesis
  using welltyped $_{\sigma}$ 
  unfolding welltyped $_{\sigma}$ -def
  by (metis Var $_t$ .hyps eval-term.simps(1))
  qed
  qed
next
case (Fun f  $\tau s \tau ts$ )
then show ?case
  using assms list-all2-mono

```

unfolding *welltyped_σ-def*
 by (*smt (verit, ccfv-SIG) eval-term.simps(2) welltyped.simps list.rel-map(1)*)
qed
qed

lemma *has-type_σ-has-type_a*:
 assumes *has-type_σ F V σ has-type_a F V a*
 shows *has-type_a F V (a · a σ)*
 using *assms has-type_σ-has-type*
unfolding *has-type_a-def atom.subst-def*
 by(*cases a*) *fastforce*

lemma *welltyped_σ-welltyped_a*:
 assumes *welltyped_σ: welltyped_σ F V σ*
 shows *welltyped_a F V (a · a σ) ↔ welltyped_a F V a*
 using *welltyped_σ-welltyped[OF welltyped_σ]*
unfolding *welltyped_a-def atom.subst-def*
 by(*cases a*) *simp*

lemma *has-type_σ-has-type_l*:
 assumes *has-type_σ F V σ has-type_l F V l*
 shows *has-type_l F V (l · l σ)*
 using *assms has-type_σ-has-type_a*
unfolding *has-type_l-def literal.subst-def*
 by(*cases l*) *auto*

lemma *welltyped_σ-welltyped_l*:
 assumes *welltyped_σ: welltyped_σ F V σ*
 shows *welltyped_l F V (l · l σ) ↔ welltyped_l F V l*
 using *welltyped_σ-welltyped_a[OF welltyped_σ]*
unfolding *welltyped_l-def literal.subst-def*
 by(*cases l*) *auto*

lemma *has-type_σ-has-type_c*:
 assumes *has-type_σ F V σ has-type_c F V c*
 shows *has-type_c F V (c · σ)*
 using *assms has-type_σ-has-type_l*
unfolding *has-type_c-def clause.subst-def*
 by *blast*

lemma *welltyped_σ-on-welltyped*:
 assumes *wt: welltyped_σ-on (term.vars t) F V σ*
 shows *welltyped F V (t · t σ) τ ↔ welltyped F V t τ*
proof(*rule iffI*)
 assume *welltyped F V (t · t σ) τ*
 thus *welltyped F V t τ*
 using *wt*
proof(*induction t · t σ τ arbitrary: t rule: welltyped.induct*)
 case (*Var x τ*)

```

then obtain  $x'$  where  $t: t = \text{Var } x'$ 
  by (metis subst-apply-eq-Var)

have welltyped  $\mathcal{F} \mathcal{V} t (\mathcal{V} x')$ 
  unfolding  $t$ 
  by (simp add: welltyped.Var)

have welltyped  $\mathcal{F} \mathcal{V} t (\mathcal{V} x)$ 
  using Var
  unfolding  $t$  welltyped $_{\sigma}$ -on-def
  by (auto intro: welltyped.Var elim: welltyped.cases)

then have  $\mathcal{V}\text{-}x': \tau = \mathcal{V} x'$ 
  using Var
  unfolding welltyped $_{\sigma}$ -def  $t$ 
  by (metis welltyped.Var right-uniqueD welltyped-right-unique t)

show ?case
  unfolding  $t \mathcal{V}\text{-}x'$ 
  by (simp add: welltyped.Var)
next
case (Fun  $f \tau s \tau ts$ )
show ?case
proof (cases  $t$ )
  case (Var  $x$ )
  from Fun show ?thesis
    using Fun
    unfolding welltyped $_{\sigma}$ -def Var
    by (simp add: welltyped.simps welltyped $_{\sigma}$ -on-def)
next
case Fun $_t$ : (Fun  $f' ts'$ )
hence  $f = f'$  and  $ts = \text{map } (\lambda t. t \cdot t \sigma) ts'$ 
  using ⟨Fun  $f ts = t \cdot t \sigma$ ⟩ by simp-all

show ?thesis
  unfolding Fun $_t$ 
proof (rule welltyped.Fun)
  show  $\mathcal{F} f' = (\tau s, \tau)$ 
    using Fun.hyps ⟨ $f = f'$ ⟩ by argo
next
show list-all2 (welltyped  $\mathcal{F} \mathcal{V}$ )  $ts' \tau s$ 
proof (rule list.rel-mono-strong)
  show list-all2 ( $\lambda x x2. \text{welltyped } \mathcal{F} \mathcal{V} (x \cdot t \sigma) x2 \wedge$ 
    ( $\forall xa. x \cdot t \sigma = xa \cdot t \sigma \longrightarrow \text{welltyped}_{\sigma}\text{-on } (\text{term.vars } xa) \mathcal{F} \mathcal{V} \sigma \longrightarrow$ 
    welltyped  $\mathcal{F} \mathcal{V} xa x2$ ))
     $ts' \tau s$ 
    using Fun.hyps
  unfolding ⟨ $ts = \text{map } (\lambda t. t \cdot t \sigma) ts'$ ⟩ list.rel-map
  by argo

```

```

next
  fix t' τ'
  assume
    t' ∈ set ts' and
    τ' ∈ set τs and
    welltyped F V (t' · t σ) τ' ∧
      (∀ xa. t' · t σ = xa · t σ → welltypedσ-on (term.vars xa) F V σ →
        welltyped F V xa τ')
  thus welltyped F V t' τ'
  using Fun.premis Fun.hyps
  by (simp add: Funt welltypedσ-on-def)
qed
qed
qed
qed
next
assume welltyped F V t τ
thus welltyped F V (t · t σ) τ
  using wt
proof (induction t τ rule: welltyped.induct)
  case Vart: (Var x τ)
  thus ?case
    by (cases Var x · t σ) (simp-all add: welltypedσ-on-def)
next
case (Fun f τs τ ts)

show ?case
  unfolding eval-term.simps
proof (rule welltyped.Fun)
  show F f = (τs, τ)
    using Fun by argo
next
show list-all2 (welltyped F V) (map (λs. s · t σ) ts) τs
  unfolding list.rel-map
  using Fun.IH
proof (rule list.rel-mono-strong)
  fix t and τ'
  assume
    t ∈ set ts and
    τ' ∈ set τs and
    welltyped F V t τ' ∧ (welltypedσ-on (term.vars t) F V σ → welltyped F
V (t · t σ) τ')
  thus welltyped F V (t · t σ) τ'
    using Fun.premis
    by (simp add: welltypedσ-on-def)
qed
qed
qed
qed

```

lemma *welltyped_σ-on-welltyped_a*:
assumes *wt*: *welltyped_σ-on* (*atom.vars* *A*) $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_a* $\mathcal{F} \mathcal{V} (A \cdot a \sigma) \longleftrightarrow$ *welltyped_a* $\mathcal{F} \mathcal{V} A$
proof (*cases* *A*)
case (*Upair* *t t'*)

have *welltyped_σ-on* (*term.vars* *t*) $\mathcal{F} \mathcal{V} \sigma$ *welltyped_σ-on* (*term.vars* *t'*) $\mathcal{F} \mathcal{V} \sigma$
using *wt* **unfolding** *Upair* **by** (*simp-all* *add*: *welltyped_σ-on-def* *atom.vars-def*)

hence $(\exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} (t \cdot t \sigma) \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} (t' \cdot t \sigma) \tau) =$
 $(\exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau)$
using *welltyped_σ-on-welltyped* **by** *metis*

thus *?thesis*
using *Upair*
by (*simp* *add*: *atom.subst-def* *welltyped_a-def*)
qed

lemma *welltyped_l-iff-welltyped_a*: *welltyped_l* $\mathcal{F} \mathcal{V} L \longleftrightarrow$ *welltyped_a* $\mathcal{F} \mathcal{V} (\text{atm-of } L)$
by (*cases* *L*) (*simp-all* *add*: *welltyped_l-def*)

lemma *welltyped_σ-on-welltyped_l*:
assumes *wt*: *welltyped_σ-on* (*literal.vars* *L*) $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_l* $\mathcal{F} \mathcal{V} (L \cdot l \sigma) \longleftrightarrow$ *welltyped_l* $\mathcal{F} \mathcal{V} L$
unfolding *welltyped_l-iff-welltyped_a* *subst-literal*
proof (*rule* *welltyped_σ-on-welltyped_a*)
have *atom.vars* (*atm-of* *L*) = *literal.vars* *L*
by (*cases* *L*) *clause-auto*
thus *welltyped_σ-on* (*atom.vars* (*atm-of* *L*)) $\mathcal{F} \mathcal{V} \sigma$
using *wt*
by *simp*
qed

lemma *welltyped_σ-on-welltyped_c*:
assumes *wt*: *welltyped_σ-on* (*clause.vars* *C*) $\mathcal{F} \mathcal{V} \sigma$
shows *welltyped_c* $\mathcal{F} \mathcal{V} (C \cdot \sigma) \longleftrightarrow$ *welltyped_c* $\mathcal{F} \mathcal{V} C$
proof –
have *welltyped_l* $\mathcal{F} \mathcal{V} (L \cdot l \sigma) \longleftrightarrow$ *welltyped_l* $\mathcal{F} \mathcal{V} L$ **if** $L \in \# C$ **for** *L*
proof (*rule* *welltyped_σ-on-welltyped_l*)
have *literal.vars* *L* \subseteq *clause.vars* *C*
using $\langle L \in \# C \rangle$
by (*simp* *add*: *UN-upper* *clause.vars-def*)
thus *welltyped_σ-on* (*literal.vars* *L*) $\mathcal{F} \mathcal{V} \sigma$
using *wt* *welltyped_σ-on-subset* **by** *metis*
qed

thus *?thesis*
unfolding *welltyped_c-def* *clause.subst-def*

by *simp*
qed

lemma *welltyped_σ-welltyped_c*:
assumes *welltyped_σ*: *welltyped_σ F V σ*
shows *welltyped_c F V (c · σ) ↔ welltyped_c F V c*
using *welltyped_σ-welltyped_i[OF welltyped_σ]*
unfolding *welltyped_c-def clause.subst-def*
by *blast*

lemma *has-type_κ*:
assumes
κ-type: has-type F V κ⟨t⟩ τ₁ and
t-type: has-type F V t τ₂ and
t'-type: has-type F V t' τ₂
shows
has-type F V κ⟨t'⟩ τ₁
using *κ-type*
proof(*induction κ arbitrary: τ₁*)
case *Hole*
then show *?case*
using *has-type-right-unique right-uniqueD t'-type t-type by fastforce*
next
case *More*
then show *?case*
by (*simp add: has-type.simps*)
qed

lemma *welltyped-subterm*:
assumes *welltyped F V (Fun f ts) τ*
shows $\forall t \in \text{set } ts. \exists \tau'. \text{welltyped } F V t \tau'$
using *assms*
proof(*induction ts*)
case *Nil*
then show *?case*
by *simp*
next
case (*Cons a ts*)
then show *?case*
by (*metis (no-types, lifting) Term.term.simps(4) in-set-conv-nth list-all2-conv-all-nth*
term.sel(4) welltyped.simps)
qed

lemma *welltyped_κ'*:
assumes *welltyped F V κ⟨t⟩ τ*
shows $\exists \tau'. \text{welltyped } F V t \tau'$
using *assms*
proof(*induction κ arbitrary: τ*)

```

case Hole
then show ?case
  by auto
next
case (More x1 x2 κ x4)
then show ?case
  by (metis ctxt-apply-term.simps(2) in-set-conv-decomp welltyped-subterm)

```

qed

lemma *welltyped_κ [clause-intro]*:

```

assumes
  κ-type: welltyped F V κ⟨t⟩ τ1 and
  t-type: welltyped F V t τ2 and
  t'-type: welltyped F V t' τ2
shows
  welltyped F V κ⟨t'⟩ τ1
using κ-type
proof (induction κ arbitrary: τ1)
case Hole
then show ?case
  using t-type t'-type welltyped-right-unique[of F, THEN right-uniqueD]
  by auto
next
case (More f ss1 κ ss2)
have welltyped F V (Fun f (ss1 @ κ⟨t⟩ # ss2)) τ1
  using More.prem by simp
hence welltyped F V (Fun f (ss1 @ κ⟨t'⟩ # ss2)) τ1
proof (cases F V Fun f (ss1 @ κ⟨t⟩ # ss2) τ1 rule: welltyped.cases)
  case (Fun τs)
  show ?thesis
  proof (rule welltyped.Fun)
    show F f = (τs, τ1)
    using ⟨F f = (τs, τ1)⟩ .
  next
  show list-all2 (welltyped F V) (ss1 @ κ⟨t'⟩ # ss2) τs
  using ⟨list-all2 (welltyped F V) (ss1 @ κ⟨t⟩ # ss2) τs⟩
  using More.IH
  by (smt (verit, del-insts) list-all2-Cons1 list-all2-append1 list-all2-lengthD)
qed
qed
thus ?case
  by simp
qed

```

```

lemma has-typeσ-Var: has-typeσ F V Var
unfolding has-typeσ-def
by simp

```

lemma *welltyped-add-literal*:

assumes $welltyped_c \mathcal{F} \mathcal{V} P'$ $welltyped \mathcal{F} \mathcal{V} s_1 \tau$ $welltyped \mathcal{F} \mathcal{V} s_2 \tau$
shows $welltyped_c \mathcal{F} \mathcal{V} (add-mset (s_1 \approx s_2) P')$
using *assms*
unfolding $welltyped_c-add-mset$ $welltyped_l-def$ $welltyped_a-def$
by *auto*

lemma *welltyped-V*:

assumes
 $\forall x \in term.vars \ t. \ \mathcal{V} \ x = \mathcal{V}' \ x$
 $welltyped \mathcal{F} \mathcal{V} \ t \ \tau$
shows
 $welltyped \mathcal{F} \mathcal{V}' \ t \ \tau$
using *assms(2, 1)*
by (*induction rule: welltyped.induct*)(*auto simp: welltyped.simps list.rel-mono-strong*)

lemma *welltyped-subst-V*:

assumes
 $\forall x \in X. \ \mathcal{V} \ x = \mathcal{V}' \ x$
 $\forall x \in X. \ term.is-ground \ (\gamma \ x)$
shows
 $welltyped_\sigma-on \ X \ \mathcal{F} \ \mathcal{V} \ \gamma \longleftrightarrow welltyped_\sigma-on \ X \ \mathcal{F} \ \mathcal{V}' \ \gamma$
unfolding $welltyped_\sigma-on-def$
using $welltyped-V$ *assms*
by (*metis empty-iff*)

lemma *welltyped_a-V*:

assumes
 $\forall x \in atom.vars \ a. \ \mathcal{V} \ x = \mathcal{V}' \ x$
 $welltyped_a \mathcal{F} \mathcal{V} \ a$
shows
 $welltyped_a \mathcal{F} \mathcal{V}' \ a$
using *assms*
unfolding $welltyped_a-def$ $atom.vars-def$
by (*metis (full-types) UN-I welltyped-V*)

lemma *welltyped_l-V*:

assumes
 $\forall x \in literal.vars \ l. \ \mathcal{V} \ x = \mathcal{V}' \ x$
 $welltyped_l \mathcal{F} \mathcal{V} \ l$
shows
 $welltyped_l \mathcal{F} \mathcal{V}' \ l$
using *assms* $welltyped_a-V$
unfolding $welltyped_l-def$ $literal.vars-def$ $set-literal-atm-of$
by *fastforce*

lemma *welltyped_c-V*:

assumes

$\forall x \in \text{clause.vars } c. \mathcal{V} x = \mathcal{V}' x$
 $\text{welltyped}_c \mathcal{F} \mathcal{V} c$
shows
 $\text{welltyped}_c \mathcal{F} \mathcal{V}' c$
using $\text{assms welltyped}_1\text{-}\mathcal{V}$
unfolding $\text{welltyped}_c\text{-def clause.vars-def}$
by fastforce

lemma $\text{welltyped-renaming}'$:
assumes
 $\text{term-subst.is-renaming } \varrho$
 $\text{welltyped}_\sigma \text{ typeof-fun } \mathcal{V} \varrho$
 $\text{welltyped typeof-fun } (\lambda x. \mathcal{V} (\text{the-inv Var } (\varrho x))) t \tau$
shows $\text{welltyped typeof-fun } \mathcal{V} (t \cdot t \varrho) \tau$
using $\text{assms}(3)$
proof($\text{induction rule: welltyped.induct}$)
case ($\text{Var } x \tau$)
then show $?case$
using $\text{assms}(1, 2)$
unfolding $\text{welltyped}_\sigma\text{-def}$
by ($\text{metis comp-apply eval-term.simps}(1) \text{ inj-on-Var}$
 $\text{term-subst-is-renaming-iff-ex-inj-fun-on-vars the-inv-f-f welltyped.Var}$)
next
case ($\text{Fun } f \tau s \tau ts$)
then show $?case$
by ($\text{smt (verit, ccfv-SIG) assms}(2) \text{ list-all2-mono welltyped.Fun welltyped}_\sigma\text{-welltyped}$)
qed

lemma $\text{welltyped}_a\text{-renaming}'$:
assumes
 $\text{term-subst.is-renaming } \varrho$
 $\text{welltyped}_\sigma \text{ typeof-fun } \mathcal{V} \varrho$
 $\text{welltyped}_a \text{ typeof-fun } (\lambda x. \mathcal{V} (\text{the-inv Var } (\varrho x))) a$
shows $\text{welltyped}_a \text{ typeof-fun } \mathcal{V} (a \cdot a \varrho)$
using $\text{welltyped-renaming}'[OF \text{assms}(1,2)] \text{assms}(3)$
unfolding $\text{welltyped}_a\text{-def}$
by($\text{cases } a$)($\text{auto simp: subst-atom}$)

lemma $\text{welltyped}_1\text{-renaming}'$:
assumes
 $\text{term-subst.is-renaming } \varrho$
 $\text{welltyped}_\sigma \text{ typeof-fun } \mathcal{V} \varrho$
 $\text{welltyped}_l \text{ typeof-fun } (\lambda x. \mathcal{V} (\text{the-inv Var } (\varrho x))) l$
shows $\text{welltyped}_l \text{ typeof-fun } \mathcal{V} (l \cdot l \varrho)$
using $\text{welltyped}_a\text{-renaming}'[OF \text{assms}(1,2)] \text{assms}(3)$
unfolding $\text{welltyped}_l\text{-def subst-literal}(3)$
by presburger

lemma $\text{welltyped}_c\text{-renaming}'$:

assumes
term-subst.is-renaming ϱ
welltyped _{σ} *typeof-fun* \mathcal{V} ϱ
welltyped _{c} *typeof-fun* $(\lambda x. \mathcal{V} (\text{the-inv } \text{Var} (\varrho x))) c$
shows *welltyped* _{c} *typeof-fun* $\mathcal{V} (c \cdot \varrho)$
using *welltyped₁-renaming'*[*OF assms(1,2)*] *assms(3)*
unfolding *welltyped_c-def*
by (*simp add: clause.subst-def*)

definition *range-vars'* :: (*f*, *v*) *subst* \Rightarrow *v* *set* **where**
range-vars' $\sigma = \bigcup (\text{term.vars } ' \text{range } \sigma)$

lemma *vars-term-range-vars'*:
assumes $x \in \text{term.vars } (t \cdot t \ \sigma)$
shows $x \in \text{range-vars}' \ \sigma$
using *assms*
unfolding *range-vars'-def*
by(*induction t*) *auto*

context
fixes $\varrho \ \mathcal{V} \ \mathcal{V}'$
assumes
renaming: term-subst.is-renaming ϱ **and**
range-vars: $\forall x \in \text{range-vars}' \ \varrho. \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$
begin

lemma *welltyped-renaming: welltyped* $\mathcal{F} \ \mathcal{V} \ t \ \tau \longleftrightarrow \text{welltyped } \mathcal{F} \ \mathcal{V}' \ (t \cdot t \ \varrho) \ \tau$
proof(*intro iffI*)
assume *welltyped* $\mathcal{F} \ \mathcal{V} \ t \ \tau$
then show *welltyped* $\mathcal{F} \ \mathcal{V}' \ (t \cdot t \ \varrho) \ \tau$
proof(*induction rule: welltyped.induct*)
case (*Var* $x \ \tau$)

obtain *y* **where** $\text{Var } x \cdot t \ \varrho = \text{Var } y$
using *renaming*
by (*metis eval-term.simps(1) term.collapse(1) term-subst-is-renaming-iff*)

then have $y \in \text{range-vars}' \ \varrho$
using *vars-term-range-vars'*
by (*metis term.set-intros(3)*)

then have $\mathcal{V} (\text{the-inv } \varrho (\text{Var } y)) = \mathcal{V}' y$
by (*simp add: range-vars*)

moreover have $(\text{the-inv } \varrho (\text{Var } y)) = x$
using *y renaming*
unfolding *term-subst-is-renaming-iff*
by (*metis eval-term.simps(1) the-inv-f-f*)

```

ultimately have  $\mathcal{V}' y = \tau$ 
  using Var
  by argo

then show ?case
  unfolding y
  by(rule welltyped.Var)
next
case (Fun f  $\tau s \tau ts$ )
then show ?case
  by (smt (verit, ccfv-SIG) eval-term.simps(2) length-map list-all2-conv-all-nth

      nth-map welltyped.simps)
qed
next
assume welltyped  $\mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$ 
then show welltyped  $\mathcal{F} \mathcal{V} t \tau$ 
proof(induction t arbitrary:  $\tau$ )
  case (Var x)
  then obtain y where  $y: Var x \cdot t \varrho = Var y$ 
    using renaming
    by (metis eval-term.simps(1) term.collapse(1) term-subst-is-renaming-iff)

  then have  $y \in range\text{-vars}' \varrho$ 
    using vars-term-range-vars'
    by (metis term.set-intros(3))

  then have  $\mathcal{V} (the\text{-inv } \varrho (Var y)) = \mathcal{V}' y$ 
    by (simp add: range-vars)

  moreover have  $(the\text{-inv } \varrho (Var y)) = x$ 
    using y renaming
    unfolding term-subst-is-renaming-iff
    by (metis eval-term.simps(1) the-inv-f.f)

  moreover have  $\mathcal{V}' y = \tau$ 
    using Var
    unfolding y
    by (meson right-uniqueD welltyped.Var welltyped-right-unique)

ultimately have  $\mathcal{V} x = \tau$ 
  by blast

then show ?case
  by(rule welltyped.Var)
next
case (Fun f ts)
then show ?case
  by (smt (verit, ccfv-SIG) eval-term.simps(2) list.rel-map(1) list.rel-mono-strong

```

```

      term.distinct(1) term.inject(2) welltyped.simps)
qed
qed

lemma has-type-renaming: has-type  $\mathcal{F} \mathcal{V} t \tau \iff$  has-type  $\mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$ 
  using renaming range-vars
proof(cases t)
  case (Var x1)
  then show ?thesis
  by (smt (verit, ccfv-SIG) comp-apply eval-term.simps(1) has-type.simps range-vars
      renaming
      term.distinct(1) term.set-intros(3) term-subst-is-renaming-iff
      term-subst-is-renaming-iff-ex-inj-fun-on-vars the-inv-f-f vars-term-range-vars')
next
  case (Fun x21 x22)
  then show ?thesis
  by (simp add: has-type.simps)
qed

lemma welltyped $_{\sigma}$ -renaming-ground-subst:
  assumes welltyped $_{\sigma} \mathcal{F} \mathcal{V}' \gamma$  welltyped $_{\sigma} \mathcal{F} \mathcal{V} \varrho$  term-subst.is-ground-subst  $\gamma$ 
  shows welltyped $_{\sigma} \mathcal{F} \mathcal{V} (\varrho \odot \gamma)$ 
proof-
  have  $\forall x \in \text{range-vars}' \varrho. \text{welltyped } \mathcal{F} \mathcal{V}' (\gamma x) (\mathcal{V}' x)$ 
    using assms
    unfolding welltyped $_{\sigma}$ -def
    by simp

  then have  $\forall x \in \text{range-vars}' \varrho. \text{welltyped } \mathcal{F} \mathcal{V}' (\gamma x) (\mathcal{V} (\text{the-inv } \varrho (Var x)))$ 
    using range-vars
    by auto

  then have  $\forall x \in \text{range-vars}' \varrho. \text{welltyped } \mathcal{F} \mathcal{V}' ((\varrho \odot \gamma) x) (\mathcal{V} x)$ 
    by (metis assms(1) eval-term.simps(1) subst-compose-def welltyped.Var well-
        typed $_{\sigma}$ -welltyped
        welltyped-renaming)

  then have  $\forall x \in \text{range-vars}' \varrho. \text{welltyped } \mathcal{F} \mathcal{V}' (Var x \cdot t (\varrho \odot \gamma)) (\mathcal{V} x)$ 
    by auto

  then have  $\forall x. \text{welltyped } \mathcal{F} \mathcal{V}' (Var x \cdot t (\varrho \odot \gamma)) (\mathcal{V} x)$ 
    by (metis assms(1) eval-term.simps(1) subst-compose-def welltyped $_{\sigma}$ -Var well-
        typed $_{\sigma}$ -def
        welltyped $_{\sigma}$ -welltyped welltyped-renaming)

  then have  $\forall x \in \text{range-vars}' \varrho. \text{welltyped } \mathcal{F} \mathcal{V}' (Var x \cdot t \varrho) (\mathcal{V} x)$ 
    using welltyped $_{\sigma}$ -welltyped[OF assms(1)]
    by (simp add: subst-compose-def)

```

```

have  $\forall x. \text{welltyped } \mathcal{F} \mathcal{V}' (\text{Var } x \cdot t \varrho) (\mathcal{V} x)$ 
  by (meson welltyped.Var welltyped-renaming)

then have  $\forall x. \text{welltyped } \mathcal{F} \mathcal{V} (\text{Var } x \cdot t \varrho) (\mathcal{V} x)$ 
  using welltyped-renaming
  by (meson assms(2) welltyped $_{\sigma}$ -welltyped)

then show welltyped $_{\sigma}$   $\mathcal{F} \mathcal{V} (\varrho \odot \gamma)$ 
  unfolding welltyped $_{\sigma}$ -def
  by (metis (mono-tags, lifting)  $\forall x. \text{welltyped } \mathcal{F} \mathcal{V}' (\text{Var } x \cdot t \varrho \odot \gamma) (\mathcal{V} x)$ ,
assms(3)
  eval-term.simps(1) term-subst.is-ground-subst-comp-right
  term-subst.is-ground-subst-is-ground term-subst.subst-ident-if-ground well-
typed-renaming)
qed

lemma welltyped $_a$ -renaming: welltyped $_a$   $\mathcal{F} \mathcal{V} a \longleftrightarrow \text{welltyped}_a \mathcal{F} \mathcal{V}' (a \cdot a \varrho)$ 
  using welltyped-renaming
  unfolding welltyped $_a$ -def
  by (cases a)(simp add: subst-atom)

lemma welltyped $_l$ -renaming: welltyped $_l$   $\mathcal{F} \mathcal{V} l \longleftrightarrow \text{welltyped}_l \mathcal{F} \mathcal{V}' (l \cdot l \varrho)$ 
  using welltyped $_a$ -renaming
  unfolding welltyped $_l$ -def
  by (simp add: subst-literal(3))

lemma welltyped $_c$ -renaming: welltyped $_c$   $\mathcal{F} \mathcal{V} c \longleftrightarrow \text{welltyped}_c \mathcal{F} \mathcal{V}' (c \cdot \varrho)$ 
  using welltyped $_l$ -renaming
  unfolding welltyped $_c$ -def
  by (simp add: clause.subst-def)

end

context
  fixes  $\varrho$ 
  assumes renaming: term-subst.is-renaming  $\varrho$ 
begin

lemma welltyped-renaming-weaker:
  assumes  $\forall x \in \text{term.vars } (t \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows welltyped  $\mathcal{F} \mathcal{V} t \tau \longleftrightarrow \text{welltyped } \mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$ 
proof(intro iffI)
  assume welltyped  $\mathcal{F} \mathcal{V} t \tau$ 
  then show welltyped  $\mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau$ 
    using assms
  proof(induction rule: welltyped.induct)
    case (Var x  $\tau$ )

```



```

obtain  $y$  where  $y: \text{Var } x \cdot t \varrho = \text{Var } y$ 
  using renaming
  by (metis eval-term.simps(1) term.collapse(1) term-subst-is-renaming-iff)

then have  $\mathcal{V} (\text{the-inv } \varrho (\text{Var } y)) = \mathcal{V}' y$ 
  using Var(2)
  by simp

moreover have  $(\text{the-inv } \varrho (\text{Var } y)) = x$ 
  using y renaming
  unfolding term-subst-is-renaming-iff
  by (metis eval-term.simps(1) the-inv-f-f)

ultimately have  $\mathcal{V}' y = \tau$ 
  using Var
  by argo

then show ?case
  unfolding y
  by(rule welltyped.Var)
next
  case (Fun f  $\tau$ s  $\tau$  ts)

  have list-all2 (welltyped  $\mathcal{F}$   $\mathcal{V}'$ ) (map ( $\lambda s. s \cdot t \varrho$ ) ts)  $\tau$ s
    using Fun(2, 3)
    by(auto simp: list.rel-mono-strong list-all2-map1)

  then show ?case
    by (simp add: Fun.hyps welltyped.simps)
qed
next
assume welltyped  $\mathcal{F}$   $\mathcal{V}' (t \cdot t \varrho) \tau$ 
then show welltyped  $\mathcal{F}$   $\mathcal{V} t \tau$ 
  using assms
proof(induction t arbitrary:  $\tau$ )
  case (Var x)
  then obtain  $y$  where  $y: \text{Var } x \cdot t \varrho = \text{Var } y$ 
    using renaming
    by (metis eval-term.simps(1) term.collapse(1) term-subst-is-renaming-iff)

  then have  $\mathcal{V} (\text{the-inv } \varrho (\text{Var } y)) = \mathcal{V}' y$ 
    by (simp add: Var)

  moreover have  $(\text{the-inv } \varrho (\text{Var } y)) = x$ 
    using y renaming
    unfolding term-subst-is-renaming-iff
    by (metis eval-term.simps(1) the-inv-f-f)

```

```

moreover have  $\mathcal{V}' y = \tau$ 
  using Var
  unfolding y
  by (meson right-uniqueD welltyped.Var welltyped-right-unique)

ultimately have  $\mathcal{V} x = \tau$ 
  by blast

then show ?case
  by(rule welltyped.Var)
next
  case (Fun f ts)
  have  $\llbracket \bigwedge x2a \tau. \llbracket x2a \in \text{set } ts; \text{welltyped } \mathcal{F} \mathcal{V}' (x2a \cdot t \varrho) \tau \rrbracket \implies \text{welltyped } \mathcal{F} \mathcal{V} x2a \tau;$ 
     $\text{welltyped } \mathcal{F} \mathcal{V}' (\text{Fun } f (\text{map } (\lambda s. s \cdot t \varrho) ts)) \tau;$ 
     $\forall y \in \text{set } ts. \forall x \in \text{term.vars } (y \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
     $\implies \text{welltyped } \mathcal{F} \mathcal{V} (\text{Fun } f ts) \tau$ 
  by (smt (verit, best) Term.term.simps(2) Term.term.simps(4) list.rel-mono-strong

    list-all2-map1 welltyped.simps)

with Fun show ?case
  by auto

qed
qed

lemma welltypeda-renaming-weaker:
  assumes  $\forall x \in \text{atom.vars } (a \cdot a \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows  $\text{welltyped}_a \mathcal{F} \mathcal{V} a \longleftrightarrow \text{welltyped}_a \mathcal{F} \mathcal{V}' (a \cdot a \varrho)$ 
proof(cases a)
  case (Upair a b)

then have
   $\bigwedge \tau. \llbracket \bigwedge t \mathcal{V} \mathcal{V}' \mathcal{F} \tau.$ 
     $\forall x \in \text{term.vars } (t \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x \implies$ 
     $\text{welltyped } \mathcal{F} \mathcal{V} t \tau = \text{welltyped } \mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau;$ 
     $\forall x \in \text{term.vars } (a \cdot t \varrho) \cup \text{term.vars } (b \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x;$ 
  welltyped  $\mathcal{F} \mathcal{V} a \tau;$ 
     $\text{welltyped } \mathcal{F} \mathcal{V} b \tau \rrbracket$ 
     $\implies \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V}' (a \cdot t \varrho) \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V}' (b \cdot t \varrho) \tau$ 
   $\bigwedge \tau. \llbracket \bigwedge t \mathcal{V} \mathcal{V}' \mathcal{F} \tau.$ 
     $\forall x \in \text{term.vars } (t \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x \implies$ 
     $\text{welltyped } \mathcal{F} \mathcal{V} t \tau = \text{welltyped } \mathcal{F} \mathcal{V}' (t \cdot t \varrho) \tau;$ 
     $\forall x \in \text{term.vars } (a \cdot t \varrho) \cup \text{term.vars } (b \cdot t \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x;$ 
     $\text{welltyped } \mathcal{F} \mathcal{V}' (a \cdot t \varrho) \tau; \text{welltyped } \mathcal{F} \mathcal{V}' (b \cdot t \varrho) \tau \rrbracket$ 
     $\implies \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} a \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} b \tau$ 
  by (metis UnCI welltyped-renaming-weaker)+

```

```

with Upair show ?thesis
  using welltyped-renaming-weaker assms
  unfolding welltypeda-def atom.vars-def
  by(auto simp add: subst-atom)
qed

lemma welltyped1-renaming-weaker:
  assumes  $\forall x \in \text{literal.vars } (l \cdot l \ \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows  $\text{welltyped}_1 \mathcal{F} \mathcal{V} l \longleftrightarrow \text{welltyped}_1 \mathcal{F} \mathcal{V}' (l \cdot l \ \varrho)$ 
  using welltypeda-renaming-weaker assms
  unfolding welltyped1-def literal.vars-def set-literal-atm-of
  by (simp add: subst-literal(3))

lemma welltypedc-renaming-weaker:
  assumes  $\forall x \in \text{clause.vars } (c \cdot \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows  $\text{welltyped}_c \mathcal{F} \mathcal{V} c \longleftrightarrow \text{welltyped}_c \mathcal{F} \mathcal{V}' (c \cdot \varrho)$ 
  using welltyped1-renaming-weaker assms
  unfolding welltypedc-def clause.vars-def clause.subst-def
  by blast

lemma has-type-renaming-weaker:
  assumes  $\forall x \in \text{term.vars } (t \cdot t \ \varrho). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows  $\text{has-type } \mathcal{F} \mathcal{V} t \ \tau \longleftrightarrow \text{has-type } \mathcal{F} \mathcal{V}' (t \cdot t \ \varrho) \ \tau$ 
  using renaming assms
proof(cases t)
  case (Var x1)
  then show ?thesis
  by (smt (verit, ccfv-SIG) Term.term.simps(4) assms eval-term.simps(1) has-type.simps
is-Var-def
  renaming term.set-intros(3) term-subst-is-renaming-iff the-inv-f-f)
next
  case (Fun x21 x22)
  then show ?thesis
  by (simp add: has-type.simps)
qed

lemma welltyped $\sigma$ -renaming-ground-subst-weaker:
  assumes
    welltyped $\sigma$   $\mathcal{F} \mathcal{V}' \gamma$ 
    welltyped $\sigma$ -on  $X \mathcal{F} \mathcal{V} \varrho$ 
    term-subst.is-ground-subst  $\gamma$ 
     $\forall x \in \bigcup (\text{term.vars } ' \varrho ' X). \mathcal{V} (\text{the-inv } \varrho (\text{Var } x)) = \mathcal{V}' x$ 
  shows welltyped $\sigma$ -on  $X \mathcal{F} \mathcal{V} (\varrho \odot \gamma)$ 
proof(unfold welltyped $\sigma$ -on-def, intro ballI)
  fix x
  assume  $x \in X$ 

  then have welltyped  $\mathcal{F} \mathcal{V} (\varrho x) (\mathcal{V} x)$ 
  using assms(2)

```

unfolding *welltyped_σ-on-def*
by *simp*

obtain *y* **where** *y: ρ x = Var y*
by (*metis renaming term.collapse(1) term-subst-is-renaming-iff*)

then have $y \in \bigcup (term.vars \text{ ' } \rho \text{ ' } X)$
using $\langle x \in X \rangle$
by (*metis Union-iff image-eqI term.set-intros(3)*)

moreover have *welltyped F V (γ y) (V' y)*
using *assms(1)*
by (*metis assms(3) emptyE eval-term.simps(1) term-subst.is-ground-subst-def*
welltyped_σ-def
welltyped-V)

ultimately have *welltyped F V (γ y) (V (the-inv ρ (Var y)))*
using *assms(4)*
by *metis*

moreover have *the-inv ρ (Var y) = x*
using *y renaming*
by (*metis term-subst-is-renaming-iff the-inv-f-f*)

moreover have $\gamma y = (\rho \odot \gamma) x$
using *y*
by (*simp add: subst-compose-def*)

ultimately show *welltyped F V ((ρ ⊙ γ) x) (V x)*
by *argo*

qed

end

lemma
infinite-even-nat: infinite { n :: nat . even n } and
infinite-odd-nat: infinite { n :: nat . odd n }
by (*metis Suc-leD dual-order.refl even-Suc infinite-nat-iff-unbounded-le mem-Collect-eq*)+

lemma *obtain-infinite-partition:*
obtains *X Y :: 'a :: {countable, infinite} set*
where
 $X \cap Y = \{\}$ $X \cup Y = UNIV$ **and**
infinite X and
infinite Y

```

proof –
  obtain  $g :: 'a \Rightarrow \text{nat}$  where  $\text{bij } g$ 
    using  $\text{countableE-infinite[of UNIV :: 'a set] infinite-UNIV}$  by  $\text{blast}$ 

  define  $g'$  where  $g' \equiv \text{inv } g$ 

  then have  $\text{bij-}g'$ :  $\text{bij } g'$ 
    by ( $\text{simp add: } \langle \text{bij } g \rangle \text{bij-betw-inv-into}$ )

  define  $X :: 'a \text{ set}$  where
     $X \equiv g' \text{ ` } \{ n. \text{even } n \}$ 

  define  $Y :: 'a \text{ set}$  where
     $Y \equiv g' \text{ ` } \{ n. \text{odd } n \}$ 

  have  $X \cap Y = \{\}$ 
    using  $\text{bij-}g'$ 
    unfolding  $X\text{-def } Y\text{-def}$ 
    by ( $\text{simp add: bij-image-Collect-eq disjoint-iff}$ )

  moreover have  $X \cup Y = \text{UNIV}$ 
    using  $\text{bij-}g'$ 
    unfolding  $X\text{-def } Y\text{-def}$ 
    by( $\text{auto simp: bij-image-Collect-eq}$ )

  moreover have  $\text{bij-betw } g' \{ n. \text{even } n \} X \text{bij-betw } g' \{ n. \text{odd } n \} Y$ 
    unfolding  $X\text{-def } Y\text{-def}$ 
    by ( $\text{metis } \langle \text{bij } g \rangle \text{bij-betw-imp-surj-on } g'\text{-def inj-on-imp-bij-betw inj-on-inv-into}$ 
 $\text{top.extremum}$ )+

  then have  $\text{infinite } X \text{infinite } Y$ 
    using  $\text{infinite-even-nat infinite-odd-nat bij-betw-finite}$ 
    by  $\text{blast+}$ 

  ultimately show  $?thesis$ 
    using  $\text{that}$ 
    by  $\text{blast}$ 
qed

lemma  $(\bigcup n'. \{ n. g \ n = n' \}) = \text{UNIV}$ 
  by  $\text{blast}$ 

lemma  $\text{inv-enumerate}$ :
  assumes  $\text{infinite } N$ 
  shows  $(\lambda x. \text{inv } (\text{enumerate } N) \ x) \text{ ` } N = \text{UNIV}$ 
  by ( $\text{metis assms enumerate-in-set inj-enumerate inv-f-eq surj-on-alternative}$ )

instance  $\text{nat} :: \text{infinite}$ 
  by( $\text{standard}$ )  $\text{simp}$ 

```

lemma *finite-bij-enumerate-inv-into*:
fixes $S :: 'a::wellorder\ set$
assumes $S: finite\ S$
shows $bij_betw\ (inv_into\ \{..<card\ S\}\ (enumerate\ S))\ S\ \{..<card\ S\}$
using $finite_bij_enumerate[OF\ assms]\ bij_betw_inv_into$
by *blast*

lemma *obtain-inj-test'-on*:
fixes $\mathcal{V}_1\ \mathcal{V}_2 :: nat \Rightarrow 'ty$
assumes
 $finite\ X$
 $finite\ Y$
 $\bigwedge ty. infinite\ \{x. \mathcal{V}_1\ x = ty\}$
 $\bigwedge ty. infinite\ \{x. \mathcal{V}_2\ x = ty\}$
obtains $f\ f' :: nat \Rightarrow nat$ **where**
 $inj\ f\ inj\ f'$
 $f\ 'X \cap f'\ 'Y = \{\}$
 $\forall x \in X. \mathcal{V}_1\ (f\ x) = \mathcal{V}_1\ x$
 $\forall x \in Y. \mathcal{V}_2\ (f'\ x) = \mathcal{V}_2\ x$

proof
have $\bigwedge ty. infinite\ (\{x. \mathcal{V}_2\ x = ty\} - X)$
by (*simp add: assms(1) assms(4)*)

then have $infinite: \bigwedge ty. infinite\ \{x. \mathcal{V}_2\ x = ty \wedge x \notin X\}$
by (*simp add: set-diff-eq*)

define f' **where**
 $\bigwedge x. f'\ x \equiv enumerate\ \{y. \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge y \notin X\}\ x$

have $f'\text{-not-in-x}: \bigwedge x. f'\ x \notin X$

proof–
fix x
show $f'\ x \notin X$
unfolding $f'\text{-def}$
using $enumerate_in_set[OF\ infinite]$
by (*smt (verit) CollectD Collect-cong*)

qed

show $inj\ id$
by *simp*

show $inj\ f'$
proof(*unfold inj-def; intro allI impI*)
fix $x\ y$
assume $f'\ x = f'\ y$

moreover then have $\mathcal{V}_2\ y = \mathcal{V}_2\ x$

```

unfolding f'-def
by (smt (verit, ccfv-SIG) Collect-mono-iff enumerate-in-set infinite mem-Collect-eq

      rev-finite-subset)

ultimately show  $x = y$ 
unfolding f'-def
by (smt (verit) Collect-cong infinite inj-enumerate inj-onD iso-tuple-UNIV-I)
qed

show  $id \text{ ` } X \cap f' \text{ ` } Y = \{\}$ 
using f'-not-in-x
by auto

show  $\forall x \in X. \mathcal{V}_1 (id \ x) = \mathcal{V}_1 \ x$ 
by simp

show  $\forall x \in Y. \mathcal{V}_2 (f' \ x) = \mathcal{V}_2 \ x$ 
unfolding f'-def
using enumerate-in-set[OF infinite]
by (smt (verit) Collect-cong mem-Collect-eq)
qed

lemma obtain-inj''-on':
fixes  $\mathcal{V}_1 \ \mathcal{V}_2 :: 'a :: infinite \Rightarrow 'ty$ 
assumes finite X finite Y  $\wedge ty. infinite \{x. \mathcal{V}_1 \ x = ty\} \wedge ty. infinite \{x. \mathcal{V}_2 \ x =$ 
ty\}
obtains  $f \ f' :: 'a \Rightarrow 'a$  where
  inj f inj f'
   $f \text{ ` } X \cap f' \text{ ` } Y = \{\}$ 
   $\forall x \in X. \mathcal{V}_1 (f \ x) = \mathcal{V}_1 \ x$ 
   $\forall x \in Y. \mathcal{V}_2 (f' \ x) = \mathcal{V}_2 \ x$ 
proof
have  $\wedge ty. infinite (\{x. \mathcal{V}_2 \ x = ty\} - X)$ 
by (simp add: assms(1) assms(4))

then have infinite:  $\wedge ty. infinite \{x. \mathcal{V}_2 \ x = ty \wedge x \notin X\}$ 
by (simp add: set-diff-eq)

have  $\wedge ty. |\{x. \mathcal{V}_2 \ x = ty\}| = o |\{x. \mathcal{V}_2 \ x = ty\} - X|$ 
using assms(1, 4)
using card-of-infinite-diff-finite ordIso-symmetric by blast

then have  $\wedge ty. |\{x. \mathcal{V}_2 \ x = ty\}| = o |\{x. \mathcal{V}_2 \ x = ty \wedge x \notin X\}|$ 
using set-diff-eq[of - X]
by auto

then have exists-g':  $\wedge ty. \exists g'. bij-betw \ g' \ \{x. \mathcal{V}_2 \ x = ty\} \ \{x. \mathcal{V}_2 \ x = ty \wedge x \notin$ 
X\}

```

```

using card-of-ordIso by blast

define get-g' where
   $\bigwedge ty. \text{get-g}' ty \equiv \text{SOME } g'. \text{bij-betw } g' \{x. \mathcal{V}_2 x = ty\} \{x. \mathcal{V}_2 x = ty \wedge x \notin X\}$ 

define f' where
   $\bigwedge x. f' x \equiv \text{get-g}' (\mathcal{V}_2 x) x$ 

have f'-not-in-x:  $\bigwedge x. f' x \notin X$ 
proof -
  fix y

  define g' where  $g' \equiv \text{SOME } g'. \text{bij-betw } g' \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\} \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\}$ 

  have  $y \in \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\}$ 
    by simp

  moreover have  $g' y \in \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\}$ 
  proof -
    have  $\bigwedge g'. \text{bij-betw } g' \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\} \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\} \implies$ 
       $\mathcal{V}_2 ((\text{SOME } g'. \text{bij-betw } g' \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\} \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\}))$ 
       $y) = \mathcal{V}_2 y$ 
       $\bigwedge g'. \llbracket \text{bij-betw } g' \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\} \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\};$ 
       $(\text{SOME } g'. \text{bij-betw } g' \{x. \mathcal{V}_2 x = \mathcal{V}_2 y\} \{x. \mathcal{V}_2 x = \mathcal{V}_2 y \wedge x \notin X\}) y \in$ 
       $X \rrbracket$ 
       $\implies \text{False}$ 
    by (smt (verit, ccfv-SIG) bij-betw-apply mem-Collect-eq verit-sko-ex-indirect)+

    then show ?thesis
      unfolding g'-def
      using exists-g'[of  $\mathcal{V}_2 y$ ]
      by auto
    qed

  then have  $g' y \notin X$ 
    by simp

  then show  $f' y \notin X$ 
    unfolding f'-def get-g'-def g'-def.
  qed

show inj id
  by simp

show inj f'
proof(unfold inj-def; intro allI impI)
  fix x y
  assume  $f' x = f' y$ 

```


moreover then have $\mathcal{V}_2 y = \mathcal{V}_2 x$
unfolding *f'-def get-g'-def*
using *someI-ex[OF exists-g']*
by (*smt (verit, best) f'-def get-g'-def bij-betw-iff-bijections calculation mem-Collect-eq*)

moreover have $\bigwedge g'. \llbracket (SOME\ g'.\ bij\text{-betw}\ g' \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x\} \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x \wedge xa \notin X\}) x =$
 $= \mathcal{V}_2\ x \wedge xa \notin X \rrbracket x =$
 $(SOME\ g'.\ bij\text{-betw}\ g' \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x\} \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x \wedge xa \notin X\})$
y;
 $\mathcal{V}_2\ y = \mathcal{V}_2\ x; \bigwedge P\ x.\ P\ x \implies P\ (Eps\ P);$
 $bij\text{-betw}\ g' \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x\} \{xa.\ \mathcal{V}_2\ xa = \mathcal{V}_2\ x \wedge xa \notin X\} \llbracket$
 $\implies x = y$
by (*smt (verit, ccfv-threshold) bij-betw-iff-bijections mem-Collect-eq some-eq-ex*)

ultimately show $x = y$
using *exists-g'[of $\mathcal{V}_2\ x$] someI*
unfolding *f'-def get-g'-def*
by *auto*
qed

show $id\ 'X \cap f'\ 'Y = \{\}$
using *f'-not-in-x*
by *auto*

show $\forall x \in X.\ \mathcal{V}_1 (id\ x) = \mathcal{V}_1\ x$
by *simp*

show $\forall y \in Y.\ \mathcal{V}_2 (f'\ y) = \mathcal{V}_2\ y$
proof (*intro ballI*)
fix *y*
assume $y \in Y$

define *g'* **where** $g' \equiv SOME\ g'.\ bij\text{-betw}\ g' \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\} \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\}$

have $y \in \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\}$
by *simp*

have $g'\ y \in \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\}$
proof–
have $\bigwedge g'.\ bij\text{-betw}\ g' \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\} \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\} \implies$
 $\mathcal{V}_2\ ((SOME\ g'.\ bij\text{-betw}\ g' \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\} \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\})$
 $y) = \mathcal{V}_2\ y$
 $\bigwedge g'.\ \llbracket bij\text{-betw}\ g' \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\} \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\};$
 $(SOME\ g'.\ bij\text{-betw}\ g' \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y\} \{x.\ \mathcal{V}_2\ x = \mathcal{V}_2\ y \wedge x \notin X\})\ y \in$
 $X \rrbracket$
 $\implies False$
by (*smt (verit, ccfv-SIG) bij-betw-apply mem-Collect-eq verit-sko-ex-indirect*)⁺

```

then show ?thesis
  unfolding g'-def
  using exists-g'[of  $\mathcal{V}_2 y$ ]
  by auto
qed

then show  $\mathcal{V}_2 (f' y) = \mathcal{V}_2 y$ 
  unfolding g'-def f'-def get-g'-def
  by blast
qed
qed

lemma obtain-inj''-on:
  fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'a :: \{\text{countable}, \text{infinite}\} \Rightarrow 'ty$ 
  assumes  $\text{finite } X \text{ finite } Y \wedge \text{ty. infinite } \{x. \mathcal{V}_1 x = ty\} \wedge \text{ty. infinite } \{x. \mathcal{V}_2 x =$ 
   $ty\}$ 
  obtains  $f f' :: 'a \Rightarrow 'a$  where
     $\text{inj } f \text{ inj } f'$ 
     $f ' X \cap f' ' Y = \{\}$ 
     $\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$ 
     $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$ 
proof -
  obtain  $a\text{-to-nat} :: 'a \Rightarrow \text{nat}$  where  $\text{bij-a-to-nat}: \text{bij } a\text{-to-nat}$ 
  using countableE-infinite[of UNIV :: 'a set] infinite-UNIV by blast

define  $\text{nat-to-a}$  where  $\text{nat-to-a} \equiv \text{inv } a\text{-to-nat}$ 

have  $\text{bij-nat-to-a}: \text{bij } \text{nat-to-a}$ 
  unfolding  $\text{nat-to-a-def}$ 
  by (simp add:  $\text{bij-a-to-nat}$   $\text{bij-imp-bij-inv}$ )

define  $X\text{-nat } Y\text{-nat}$  where
   $X\text{-nat} \equiv a\text{-to-nat } ' X$  and
   $Y\text{-nat} \equiv a\text{-to-nat } ' Y$ 

have  $\text{finite-}X\text{-nat}: \text{finite } X\text{-nat}$  and  $\text{finite-}Y\text{-nat}: \text{finite } Y\text{-nat}$ 
  unfolding  $X\text{-nat-def } Y\text{-nat-def}$ 
  using  $\text{assms}(1,2)$ 
  by blast+

define  $\mathcal{V}_1\text{-nat } \mathcal{V}_2\text{-nat}$  where
   $\wedge n. \mathcal{V}_1\text{-nat } n \equiv \mathcal{V}_1 (\text{nat-to-a } n)$  and
   $\wedge n. \mathcal{V}_2\text{-nat } n \equiv \mathcal{V}_2 (\text{nat-to-a } n)$ 

have
   $\wedge \text{ty. } \{x. \mathcal{V}_1\text{-nat } x = \text{ty}\} = a\text{-to-nat } ' \{x. \mathcal{V}_1 x = \text{ty}\}$ 
   $\wedge \text{ty. } \{x. \mathcal{V}_2\text{-nat } x = \text{ty}\} = a\text{-to-nat } ' \{x. \mathcal{V}_2 x = \text{ty}\}$ 

```

unfolding $\mathcal{V}_1\text{-nat-def}$ $\mathcal{V}_2\text{-nat-def}$
using *bij-a-to-nat* *bij-image-Collect-eq* *nat-to-a-def* **by** *fastforce+*

then have $\mathcal{V}\text{-nat-infinite}$: $\bigwedge ty. \text{infinite } \{x. \mathcal{V}_1\text{-nat } x = ty\} \bigwedge ty. \text{infinite } \{x. \mathcal{V}_2\text{-nat } x = ty\}$
using *assms(3, 4)*
by (*metis* *bij-a-to-nat* *bij-betw-finite* *bij-betw-subset* *subset-UNIV*)+

obtain $f\text{-nat}$ $f'\text{-nat}$ **where**
inj: *inj* $f\text{-nat}$ *inj* $f'\text{-nat}$ **and**
disjoint: $f\text{-nat} \text{ ' } X\text{-nat} \cap f'\text{-nat} \text{ ' } Y\text{-nat} = \{\}$ **and**
type-preserving:
 $\forall x \in X\text{-nat}. \mathcal{V}_1\text{-nat } (f\text{-nat } x) = \mathcal{V}_1\text{-nat } x$
 $\forall x \in Y\text{-nat}. \mathcal{V}_2\text{-nat } (f'\text{-nat } x) = \mathcal{V}_2\text{-nat } x$
using *obtain-inj-test'-on*[*OF* *finite-X-nat* *finite-Y-nat* $\mathcal{V}\text{-nat-infinite}$].

let $?f = \text{nat-to-a} \circ f\text{-nat} \circ \text{a-to-nat}$
let $?f' = \text{nat-to-a} \circ f'\text{-nat} \circ \text{a-to-nat}$

have *inj* $?f$ *inj* $?f'$
using *inj*
by (*simp-all* *add*: *bij-a-to-nat* *bij-is-inj* *bij-nat-to-a* *inj-compose*)

moreover have $?f \text{ ' } X \cap ?f' \text{ ' } Y = \{\}$
using *disjoint*
unfolding *X-nat-def* *Y-nat-def*
by (*metis* *bij-is-inj* *bij-nat-to-a* *image-Int* *image-comp* *image-empty*)

moreover have
 $\forall x \in X. \mathcal{V}_1 (?f x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (?f' x) = \mathcal{V}_2 x$
using *type-preserving*
unfolding *X-nat-def* *Y-nat-def* $\mathcal{V}_1\text{-nat-def}$ $\mathcal{V}_2\text{-nat-def}$
by (*simp-all* *add*: *bij-a-to-nat* *bij-is-inj* *nat-to-a-def*)

ultimately show *?thesis*
using *that*
by *presburger*

qed

lemma *obtain-inj'*:
obtains $f :: 'a :: \text{infinite} \Rightarrow 'a$ **where**
inj f
 $|\text{range } f| = o \text{ } |UNIV - \text{range } f|$

proof –
obtain $X Y :: 'a$ **set where**
 $X = Y$:
 $|X| = o \text{ } |Y|$

```

|X| =o |UNIV :: 'a set|
X ∩ Y = {}
X ∪ Y = UNIV
using partitions[OF infinite-UNIV]
by blast

then obtain f where
  f: bij-betw f (UNIV :: 'a set) Y
  by (meson card-of-ordIso ordIso-symmetric ordIso-transitive)

have inj-f: inj f
  using f bij-betw-def by blast+

have Y: Y = range f
  using f
  by (simp add: bij-betw-def)

have X: X = UNIV - range f
  using X-Y
  unfolding Y
  by auto

show ?thesis
  using X X-Y(1) Y inj-f ordIso-symmetric that by blast
qed

lemma obtain-inj:
  fixes X
  defines Y ≡ UNIV - X
  assumes
    infinite-X: infinite X and
    infinite-Y: infinite Y
  obtains f :: 'a :: {countable, infinite} ⇒ 'a where
    inj f
    range f ∩ X = {}
    range f ∪ X = UNIV
proof-
  obtain g :: 'a ⇒ nat where bij: bij g
  using countableE-infinite[of UNIV :: 'a set] infinite-UNIV by blast

  have X-Y: X ∩ Y = {} X ∪ Y = UNIV
  unfolding Y-def
  by simp-all

  have countable-X: countable X and countable-Y: countable Y
  by auto

  obtain f where
    f: bij-betw f (UNIV :: 'a set) Y

```

```

using countable-infiniteE'[OF countable-Y infinite-Y]
by (meson bij bij-betw-trans)

have inj f
  using f bij-betw-def by blast+

moreover have range f = Y
  using f
  by (simp-all add: bij-betw-def)

then have range f ∩ X = {} range f ∪ X = UNIV
  using X-Y
  by auto

ultimately show ?thesis
  using that
  by presburger
qed

lemma obtain-injs:
  obtains f f' :: 'a :: {countable, infinite} ⇒ 'a where
    inj f inj f'
    range f ∩ range f' = {}
    range f ∪ range f' = UNIV
proof -
  obtain g :: 'a ⇒ nat where bij g
    using countableE-infinite[of UNIV :: 'a set] infinite-UNIV by blast

  define g' where g' ≡ inv g

  then have bij-g': bij g'
    by (simp add: ⟨bij g⟩ bij-betw-inv-into)

  obtain X Y :: 'a set where
    X-Y: X ∩ Y = {} X ∪ Y = UNIV and
    infinite-X: infinite X and
    infinite-Y: infinite Y
    using obtain-infinite-partition
    by auto

  have countable-X: countable X and countable-Y: countable Y
    by blast+

  obtain f where
    f: bij-betw f (UNIV :: 'a set) X
    using countable-infiniteE'[OF countable-X infinite-X]
    by (meson ⟨bij g⟩ bij-betw-trans)

  obtain f' where

```

f': *bij-betw f' (UNIV :: 'a set) Y*
using *countable-infiniteE'[OF countable-Y infinite-Y]*
by (*meson <bij g> bij-betw-trans*)

have *inj f inj f'*
using *f f' bij-betw-def* **by** *blast+*

moreover have *range f = X range f' = Y*
using *f f'*
by (*simp-all add: bij-betw-def*)

then have *range f ∩ range f' = {} range f ∪ range f' = UNIV*
using *X-Y*
by *simp-all*

ultimately show *?thesis*
using *that*
by *presburger*

qed

lemma *welltyped-on-renaming-exists'*:

assumes *finite X finite Y ∧ ty. infinite {x. V₁ x = ty} ∧ ty. infinite {x. V₂ x = ty}*

obtains *ρ₁ ρ₂ :: ('f, 'v :: infinite) subst where*
term-subst.is-renaming ρ₁
term-subst.is-renaming ρ₂
ρ₁ ' X ∩ ρ₂ ' Y = {}
welltyped_σ-on X F V₁ ρ₁
welltyped_σ-on Y F V₂ ρ₂

proof–

obtain *renaming₁ renaming₂ :: 'v ⇒ 'v where*
renamings:
inj renaming₁ inj renaming₂
renaming₁ ' X ∩ renaming₂ ' Y = {}
∀ x ∈ X. V₁ (renaming₁ x) = V₁ x
∀ x ∈ Y. V₂ (renaming₂ x) = V₂ x
using *obtain-inj''-on'[OF assms]*.

define *ρ₁ :: ('f, 'v) subst where*
∧ x. ρ₁ x ≡ Var (renaming₁ x)

define *ρ₂ :: ('f, 'v) subst where*
∧ x. ρ₂ x ≡ Var (renaming₂ x)

have *term-subst.is-renaming ρ₁ term-subst.is-renaming ρ₂*
unfolding *ρ₁-def ρ₂-def*
using *renamings(1,2)*

by (*meson injD injI term-subst.is-renaming-id-subst term-subst-is-renaming-iff*)+

moreover have $\varrho_1 \text{ ' } X \cap \varrho_2 \text{ ' } Y = \{\}$
unfolding $\varrho_1\text{-def } \varrho_2\text{-def range-vars'-def}$
using $\text{renamings}(3)$
by *auto*

moreover have $\text{welltyped}_\sigma\text{-on } X \mathcal{F} \mathcal{V}_1 \varrho_1 \text{ welltyped}_\sigma\text{-on } Y \mathcal{F} \mathcal{V}_2 \varrho_2$
unfolding $\varrho_1\text{-def } \varrho_2\text{-def welltyped}_\sigma\text{-on-def}$
using $\text{renamings}(4, 5)$
by(*auto simp: welltyped.Var*)

ultimately show *?thesis*
using *that*
by *presburger*

qed

lemma *welltyped-on-renaming-exists:*

assumes *finite X finite Y \wedge ty. infinite $\{x. \mathcal{V}_1 x = ty\} \wedge$ ty. infinite $\{x. \mathcal{V}_2 x = ty\}$*

obtains $\varrho_1 \varrho_2 :: (f, 'v :: \{\text{countable, infinite}\}) \text{ subst where}$
term-subst.is-renaming ϱ_1
term-subst.is-renaming ϱ_2
 $\varrho_1 \text{ ' } X \cap \varrho_2 \text{ ' } Y = \{\}$
welltyped $_\sigma\text{-on } X \mathcal{F} \mathcal{V}_1 \varrho_1$
welltyped $_\sigma\text{-on } Y \mathcal{F} \mathcal{V}_2 \varrho_2$

proof–

obtain $\text{renaming}_1 \text{ renaming}_2 :: 'v \Rightarrow 'v$ **where**
renamings:
inj renaming_1 *inj* renaming_2
 $\text{renaming}_1 \text{ ' } X \cap \text{renaming}_2 \text{ ' } Y = \{\}$
 $\forall x \in X. \mathcal{V}_1 (\text{renaming}_1 x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (\text{renaming}_2 x) = \mathcal{V}_2 x$
using *obtain-inj''-on[OF assms]*.

define $\varrho_1 :: (f, 'v) \text{ subst where}$
 $\wedge x. \varrho_1 x \equiv \text{Var } (\text{renaming}_1 x)$

define $\varrho_2 :: (f, 'v) \text{ subst where}$
 $\wedge x. \varrho_2 x \equiv \text{Var } (\text{renaming}_2 x)$

have *term-subst.is-renaming* ϱ_1 *term-subst.is-renaming* ϱ_2
unfolding $\varrho_1\text{-def } \varrho_2\text{-def}$
using $\text{renamings}(1,2)$
by (*meson injD injI term-subst.is-renaming-id-subst term-subst-is-renaming-iff*)+

moreover have $\varrho_1 \text{ ' } X \cap \varrho_2 \text{ ' } Y = \{\}$
unfolding $\varrho_1\text{-def } \varrho_2\text{-def range-vars'-def}$
using $\text{renamings}(3)$
by *auto*

moreover have *welltyped_σ-on X F V₁ ρ₁ welltyped_σ-on Y F V₂ ρ₂*
unfolding *ρ₁-def ρ₂-def welltyped_σ-on-def*
using *renamings(4, 5)*
by *(auto simp: welltyped.Var)*

ultimately show *?thesis*
using *that*
by *presburger*

qed

lemma *welltyped_σ-subst-upd:*
assumes *welltyped F V (Var var) τ welltyped F V update τ welltyped_σ F V γ*
shows *welltyped_σ F V (γ(var := update))*
using *assms*
unfolding *welltyped_σ-def*
by *(metis fun-upd-other fun-upd-same right-unique-def welltyped.Var welltyped-right-unique)*

lemma *welltyped_σ-on-subst-upd:*
assumes *welltyped F V (Var var) τ welltyped F V update τ welltyped_σ-on X F V γ*
shows *welltyped_σ-on X F V (γ(var := update))*
using *assms*
unfolding *welltyped_σ-on-def*
by *(metis fun-upd-other fun-upd-same right-unique-def welltyped.Var welltyped-right-unique)*

lemma *welltyped-is-ground:*
assumes *term.is-ground t welltyped F V t τ*
shows *welltyped F V' t τ*
by *(metis assms(1) assms(2) empty-iff welltyped-V)*

lemma *term-subst-is-imgu-is-mgu: term-subst.is-imgu μ {{s, t}} = is-imgu μ {{s, t}}*
apply *(simp add: term-subst-is-imgu-iff-is-imgu)*
by *(smt (verit, ccfv-threshold) insert-absorb2 insert-commute is-imgu-def unifiers-insert-ident unifiers-insert-swap)*

lemma *the-mgu-term-subst-is-imgu:*
fixes *σ :: ('f, 'v) subst*
assumes *s · t σ = t · t σ*
shows *term-subst.is-imgu (the-mgu s t) {{s, t}}*
using *term-subst-is-imgu-is-mgu the-mgu-is-imgu*
using *assms* **by** *blast*

lemma *Fun-arg-types:*
assumes
welltyped F V (Fun f fs) τ
welltyped F V (Fun f gs) τ
obtains *τs* **where**

$\mathcal{F} f = (\tau s, \tau)$
 $list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ fs\ \tau s$
 $list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ gs\ \tau s$
by (*smt* (*verit*, *ccfu-SIG*) *Pair-inject* *assms*(1) *assms*(2) *option.inject* *term.distinct*(1)
term.inject(2) *welltyped.simps*)

lemma *welltyped-zip-option*:

assumes

$welltyped\ \mathcal{F}\ \mathcal{V}\ (Fun\ f\ ts)\ \tau$

$welltyped\ \mathcal{F}\ \mathcal{V}\ (Fun\ f\ ss)\ \tau$

$zip\text{-}option\ ts\ ss = Some\ ds$

shows

$\forall (a, b) \in set\ ds. \exists \tau. welltyped\ \mathcal{F}\ \mathcal{V}\ a\ \tau \wedge welltyped\ \mathcal{F}\ \mathcal{V}\ b\ \tau$

proof –

obtain τs **where**

$list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ ts\ \tau s$

$list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ ss\ \tau s$

using *Fun-arg-types*[*OF* *assms*(1, 2)].

with *assms*(3) **show** *?thesis*

proof (*induction* *ts* *ss* *arbitrary*: $\tau s\ ds$ *rule*: *zip-induct*)

case (*Cons-Cons* *t* *ts* *s* *ss*)

then obtain $\tau' \tau s'$ **where** $\tau s = \tau' \# \tau s'$

by (*meson* *list-all2-Cons1*)

from *Cons-Cons*(2)

obtain $d' ds'$ **where** $ds = d' \# ds'$

by *auto*

have $zip\text{-}option\ ts\ ss = Some\ ds'$

using *Cons-Cons*(2)

unfolding *ds*

by *fastforce*

moreover have $list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ ts\ \tau s'$

using *Cons-Cons.prem*s(2) τs **by** *blast*

moreover have $list\text{-}all2\ (welltyped\ \mathcal{F}\ \mathcal{V})\ ss\ \tau s'$

using *Cons-Cons.prem*s(3) τs **by** *blast*

ultimately have $\forall (t, s) \in set\ ds'. \exists \tau. welltyped\ \mathcal{F}\ \mathcal{V}\ t\ \tau \wedge welltyped\ \mathcal{F}\ \mathcal{V}\ s\ \tau$

using *Cons-Cons.IH*

by *presburger*

moreover have $\exists \tau. welltyped\ \mathcal{F}\ \mathcal{V}\ t\ \tau \wedge welltyped\ \mathcal{F}\ \mathcal{V}\ s\ \tau$

using *Cons-Cons.prem*s(2) *Cons-Cons.prem*s(3) τs **by** *blast*

ultimately show *?case*

using *Cons-Cons.prem(1) ds*
by *fastforce*
qed(*auto*)
qed

lemma *welltyped-decompose'*:

assumes

welltyped $\mathcal{F} \mathcal{V} (\text{Fun } f \text{ fs}) \tau$

welltyped $\mathcal{F} \mathcal{V} (\text{Fun } f \text{ gs}) \tau$

decompose $(\text{Fun } f \text{ fs}) (\text{Fun } g \text{ gs}) = \text{Some } ds$

shows $\forall (t, t') \in \text{set } ds. \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

using *assms welltyped-zip-option[OF assms(1,2)]*

by *force*

lemma *welltyped-decompose*:

assumes

welltyped $\mathcal{F} \mathcal{V} f \tau$

welltyped $\mathcal{F} \mathcal{V} g \tau$

decompose $f g = \text{Some } ds$

shows $\forall (t, t') \in \text{set } ds. \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

proof –

obtain *f' fs gs* **where** $f = \text{Fun } f' \text{ fs } g = \text{Fun } f' \text{ gs}$

using *assms(3)*

unfolding *decompose-def*

by (*smt (z3) option.distinct(1) prod.simps(2) rel-option-None1 term.split-sels(2)*)

then show *?thesis*

using *assms welltyped-decompose'*

by (*metis (mono-tags, lifting)*)

qed

lemma *welltyped-subst'-subst*:

assumes *welltyped* $\mathcal{F} \mathcal{V} (\text{Var } x) \tau$ *welltyped* $\mathcal{F} \mathcal{V} t \tau$

shows *welltyped* _{σ} $\mathcal{F} \mathcal{V} (\text{subst } x \ t)$

using *assms*

unfolding *subst-def welltyped _{σ} -def*

by (*simp add: welltyped.simps*)

lemma *welltyped-unify*:

assumes

unify $es \ bs = \text{Some } \text{unifier}$

$\forall (t, t') \in \text{set } es. \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

welltyped _{σ} $\mathcal{F} \mathcal{V} (\text{subst-of } bs)$

shows *welltyped* _{σ} $\mathcal{F} \mathcal{V} (\text{subst-of } \text{unifier})$

using *assms*

proof(*induction* $es \ bs$ *arbitrary: unifier rule: unify.induct*)

case ($1 \ bs$)

then show *?case*

```

    by simp
next
case (2 f ss g ts E bs)
then obtain  $\tau$  where  $\tau$ :
  welltyped  $\mathcal{F} \mathcal{V}$  (Fun f ss)  $\tau$ 
  welltyped  $\mathcal{F} \mathcal{V}$  (Fun g ts)  $\tau$ 
  by auto

obtain ds where ds: decompose (Fun f ss) (Fun g ts) = Some ds
  using 2(2)
  by(simp split: option.splits)

moreover then have unify (ds @ E) bs = Some unifier
  using 2.prem(1) by auto

moreover have  $\forall (t, t') \in \text{set } (ds @ E). \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$ 
  using welltyped-decompose[OF  $\tau$  ds] 2(3)
  by fastforce

ultimately show ?case
  using 2
  by blast
next
case (3 x t E bs)
show ?case
proof(cases t = Var x)
  case True
  then show ?thesis
    using 3
    by simp
  next
  case False
  then have unify (subst-list (subst x t) E) ((x, t) # bs) = Some unifier
    using 3
    by(auto split: if-splits)

moreover have
   $\forall (s, s') \in \text{set } E. \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} (s \cdot t \text{ Var}(x := t)) \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} (s' \cdot t \text{ Var}(x := t)) \tau$ 
  using 3(4)
  by (smt (verit, ccfv-threshold) case-prodD case-prodI2 fun-upd-apply welltyped.Var
    list.set-intros(1) list.set-intros(2) right-uniqueD welltyped-right-unique
    welltyped $_{\sigma}$ -def welltyped $_{\sigma}$ -welltyped)

moreover then have
   $\forall (s, s') \in \text{set } (\text{subst-list } (\text{subst } x t) E). \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} s \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} s' \tau$ 

```

unfolding *subst-def subst-list-def*
by *fastforce*

moreover have $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\text{subst } x \ t)$
using $\mathfrak{3}(4)$ *welltyped-subst'-subst*
by *fastforce*

moreover then have $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\text{subst-of } ((x, t) \# \text{bs}))$
using $\mathfrak{3}(5)$
unfolding *welltyped_σ-def*
by (*simp add: calculation(4) subst-compose-def welltyped_σ-welltyped*)

ultimately show *?thesis*
using $\mathfrak{3}(2, 3)$ *False by force*

qed

next

case $(\mathfrak{4} \ t \ ts \ x \ E \ \text{bs})$
then have $\text{unify} (\text{subst-list} (\text{subst } x \ (\text{Fun } t \ ts)) \ E) ((x, (\text{Fun } t \ ts)) \# \text{bs}) = \text{Some}$
unifier
by(*auto split: if-splits*)

moreover have
 $\forall (s, s') \in \text{set } E. \exists \tau.$
 $\text{welltyped } \mathcal{F} \mathcal{V} (s \cdot t \ \text{Var}(x := (\text{Fun } t \ ts))) \ \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} (s' \cdot t \ \text{Var}(x :=$
 $(\text{Fun } t \ ts))) \ \tau$
using $\mathfrak{4}(3)$
by (*smt (verit, ccfv-threshold) case-prodD case-prodI2 fun-upd-apply welltyped.Var*

$\text{list.set-intros}(1) \ \text{list.set-intros}(2) \ \text{right-uniqueD} \ \text{welltyped-right-unique}$
 $\text{welltyped}_\sigma\text{-def} \ \text{welltyped}_\sigma\text{-welltyped}$)

moreover then have
 $\forall (s, s') \in \text{set} (\text{subst-list} (\text{subst } x \ (\text{Fun } t \ ts)) \ E). \exists \tau.$
 $\text{welltyped } \mathcal{F} \mathcal{V} \ s \ \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} \ s' \ \tau$
unfolding *subst-def subst-list-def*
by *fastforce*

moreover have $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\text{subst } x \ (\text{Fun } t \ ts))$
using $\mathfrak{4}(3)$ *welltyped-subst'-subst*
by *fastforce*

moreover then have $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\text{subst-of } ((x, (\text{Fun } t \ ts)) \# \text{bs}))$
using $\mathfrak{4}(4)$
unfolding *welltyped_σ-def*
by (*simp add: calculation(4) subst-compose-def welltyped_σ-welltyped*)

ultimately show *?case*
using $\mathfrak{4}(1, 2)$
by (*metis (no-types, lifting) option.distinct(1) unify.simps(4)*)

qed

lemma *welltyped-unify'*:

assumes

unify: *unify* [(*t*, *t'*)] [] = *Some unifier* **and**

$\tau: \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

shows $\text{welltyped}_\sigma \mathcal{F} \mathcal{V} (\text{subst-of unifier})$

using *assms welltyped-unify*[*OF unify*] τ *welltyped_σ-Var*

by *fastforce*

lemma *welltyped-the-mgu*:

assumes

the-mgu: *the-mgu* *t t'* = μ **and**

$\tau: \exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} t \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} t' \tau$

shows

$\text{welltyped}_\sigma \mathcal{F} \mathcal{V} \mu$

using *assms welltyped-unify'*[*of t t' - F V*]

unfolding *the-mgu-def mgu-def welltyped_σ-def*

by(*auto simp: welltyped.Var split: option.splits*)

abbreviation *welltyped-imgu* **where**

welltyped-imgu $\mathcal{F} \mathcal{V} \text{term term}' \mu \equiv$

$\forall \tau. \text{welltyped } \mathcal{F} \mathcal{V} \text{term } \tau \longrightarrow \text{welltyped } \mathcal{F} \mathcal{V} \text{term}' \tau \longrightarrow \text{welltyped}_\sigma \mathcal{F} \mathcal{V} \mu$

lemma *welltyped-imgu-exists*:

fixes $v :: ('f, 'v) \text{subst}$

assumes *unified*: $\text{term} \cdot t v = \text{term}' \cdot t v$

obtains $\mu :: ('f, 'v) \text{subst}$

where

$v = \mu \odot v$

term-subst.is-imgu $\mu \{\{\text{term}, \text{term}'\}\}$

welltyped-imgu $\mathcal{F} \mathcal{V} \text{term term}' \mu$

proof–

obtain μ **where** μ : *the-mgu* *term term'* = μ

using *assms ex-mgu-if-subst-apply-term-eq-subst-apply-term* **by** *blast*

have *welltyped-imgu* $\mathcal{F} \mathcal{V} \text{term term}'$ (*the-mgu* *term term'*)

using *welltyped-the-mgu*[*OF μ, of F V*] *assms*

unfolding μ

by *blast*

then show *?thesis*

using *that imgu-exists-extendable*[*OF unified*]

by (*metis the-mgu the-mgu-term-subst-is-imgu unified*)

qed

abbreviation *welltyped-imgu'* **where**

welltyped-imgu' $\mathcal{F} \mathcal{V} \text{term term}' \mu \equiv$

$\exists \tau. \text{welltyped } \mathcal{F} \mathcal{V} \text{term } \tau \wedge \text{welltyped } \mathcal{F} \mathcal{V} \text{term}' \tau \wedge \text{welltyped}_\sigma \mathcal{F} \mathcal{V} \mu$

```

lemma welltyped-ingu'-exists:
  fixes  $v :: ('f, 'v) \text{ subst}$ 
  assumes unified:  $\text{term} \cdot t \ v = \text{term}' \cdot t \ v$  and welltyped  $\mathcal{F} \ \mathcal{V} \ \text{term} \ \tau \ \text{welltyped} \ \mathcal{F}$ 
 $\mathcal{V} \ \text{term}' \ \tau$ 
  obtains  $\mu :: ('f, 'v) \text{ subst}$ 
  where
     $v = \mu \odot v$ 
     $\text{term-subst.is-ingu} \ \mu \ \{\{\text{term}, \text{term}'\}\}$ 
     $\text{welltyped-ingu}' \ \mathcal{F} \ \mathcal{V} \ \text{term} \ \text{term}' \ \mu$ 
proof –
  obtain  $\mu$  where  $\mu$ : the-mgu term term' =  $\mu$ 
    using assms ex-mgu-if-subst-apply-term-eq-subst-apply-term by blast

  have welltyped-ingu  $\mathcal{F} \ \mathcal{V} \ \text{term} \ \text{term}'$  (the-mgu term term')
    using welltyped-the-mgu[OF  $\mu$ , of  $\mathcal{F} \ \mathcal{V}$ ] assms
    unfolding  $\mu$ 
    by blast

  then show ?thesis
    using that ingu-exists-extendable[OF unified]
    by (metis assms(2) assms(3) the-mgu the-mgu-term-subst-is-ingu unified)
qed

end
theory First-Order-Select
  imports
    Selection-Function
    First-Order-Clause
    First-Order-Type-System
begin

type-synonym  $('f, 'v, 'ty) \text{ typed-clause} = ('f, 'v) \text{ atom clause} \times ('v, 'ty) \text{ var-types}$ 

type-synonym  $'f \text{ ground-select} = 'f \text{ ground-atom clause} \Rightarrow 'f \text{ ground-atom clause}$ 
type-synonym  $('f, 'v) \text{ select} = ('f, 'v) \text{ atom clause} \Rightarrow ('f, 'v) \text{ atom clause}$ 

definition is-select-grounding  $:: ('f, 'v) \text{ select} \Rightarrow 'f \text{ ground-select} \Rightarrow \text{bool}$  where
   $\bigwedge \text{select} \ \text{select}_G.$ 
     $\text{is-select-grounding} \ \text{select} \ \text{select}_G = (\forall \text{ clause}_G. \exists \text{ clause} \ \gamma.$ 
       $\text{clause.is-ground} \ (\text{clause} \cdot \gamma) \ \wedge$ 
       $\text{clause}_G = \text{clause.to-ground} \ (\text{clause} \cdot \gamma) \ \wedge$ 
       $\text{select}_G \ \text{clause}_G = \text{clause.to-ground} \ ((\text{select} \ \text{clause}) \cdot \gamma))$ 

lemma infinite-lists-per-length: infinite  $\{l :: ('a :: \text{infinite}) \text{ list}. \text{length} \ (tl \ l) = y\}$ 
proof(induction y)
  case  $0$ 

  show ?case

```

```

proof
  assume  $a$ : finite  $\{l :: 'a \text{ list. length } (tl \ l) = 0\}$ 

  define  $f$  where  $\bigwedge x :: 'a . f \ x \equiv [x]$ 

  have  $\bigwedge x \ y. f \ x = f \ y \implies x = y$ 
    unfolding f-def
    by (metis nth-Cons-0)

  moreover have  $\bigwedge x. \text{length } (f \ x) \leq \text{Suc } 0$ 
    unfolding f-def
    by simp

  moreover have  $\bigwedge x. \text{length } x = \text{Suc } 0 \implies x \in \text{range } f$ 
    unfolding f-def
    by (smt (z3) One-nat-def Suc-length-conv Suc-pred' diff-Suc-1 diff-is-0-eq'
      length-0-conv nat.simps(3) not-gr0 rangeI)

  moreover have  $\bigwedge x. \llbracket x \notin \text{range } f; \text{length } x \leq \text{Suc } 0 \rrbracket \implies x = []$ 
    using calculation(3) le-Suc-eq by auto

  moreover have  $\bigwedge xa. f \ xa = [] \implies \text{False}$ 
    unfolding f-def
    by simp

  ultimately have  $tt$ : bij-betw  $f \ UNIV \ (\{l. \text{length } (tl \ l) = 0\} - \{[]\})$ 
    unfolding bij-betw-def inj-def
    by auto presburger

  then have infinite  $(\{l :: 'a \text{ list. length } (tl \ l) = 0\} - \{[]\})$ 
    using bij-betw-finite infinite-UNIV by blast

  then have infinite  $\{l :: 'a \text{ list. length } (tl \ l) = 0\}$ 
    by simp

  with  $a$  show False
    by blast
qed
next
case (Suc  $y$ )

  have  $1$ :  $\{l :: 'a \text{ list. length } (tl \ l) = y\} =$ 
    (if  $y = 0$  then insert  $[] \{l. \text{length } l = 1\}$  else  $\{l. \text{length } l = \text{Suc } y\}$ )
    by (auto simp: le-Suc-eq)

  have  $2$ :  $\bigwedge x. \text{length } x = \text{Suc } y \implies x \in tl \ ' \{l. \text{length } l - \text{Suc } 0 = \text{Suc } y\}$ 
    by (metis (mono-tags, lifting) One-nat-def imageI length-tl list.sel(3) mem-Collect-eq)

```

```

show ?case
proof
  assume finite {l :: 'a list. length (tl l) = Suc y}

  then have finite (tl ' {l :: 'a list. length (tl l) = Suc y})
    by blast

  moreover have tl ' {l :: 'a list. length (tl l) = Suc y} = {l :: 'a list. length l
= Suc y}
    using 2
    by auto

  ultimately show False
    using Suc 1
    by (smt (verit, ccfv-SIG) Collect-cong One-nat-def finite-insert)
qed
qed

lemma infinite-prods': {p :: 'a × 'a . fst p = y} = {y} × UNIV
  by auto

lemma infinite-prods: infinite {p :: (('a :: infinite) × 'a). fst p = y}
  unfolding infinite-prods'
  using finite-cartesian-productD2 infinite-UNIV by blast

lemma nat-version': ∃ f :: nat ⇒ nat. ∀ y :: nat. infinite {x. f x = y}
proof –
  obtain g :: nat ⇒ nat × nat where bij-g: bij g
    using bij-prod-decode by blast

  define f :: nat ⇒ nat where
    ∧x. f x ≡ fst (g x)

  have ∧y. infinite {x. f x = y}
  proof –
    fix y
    have x: {x. fst (g x) = y} = inv g ' {p. fst p = y}
      by (smt (verit, ccfv-SIG) Collect-cong bij-g bij-image-Collect-eq bij-imp-bij-inv
inv-inv-eq)

    show infinite {x. f x = y}
      unfolding f-def x
      using infinite-prods
      by (metis bij-betw-def bij-g finite-imageI image-f-inv-f)
  qed

  then show ?thesis

```


by *blast*
qed

lemma *not-nat-version'*: $\exists f :: ('a :: \text{infinite}) \Rightarrow 'a. \forall y. \text{infinite } \{x. f x = y\}$

proof –

obtain $g :: 'a \Rightarrow 'a \times 'a$ **where** *bij-g*: *bij g*
using *Times-same-infinite-bij-betw-types* *bij-betw-inv* *infinite-UNIV* **by** *blast*

define $f :: 'a \Rightarrow 'a$ **where**
 $\bigwedge x. f x \equiv \text{fst } (g x)$

have $\bigwedge y. \text{infinite } \{x. f x = y\}$

proof –

fix y

have $x: \{x. \text{fst } (g x) = y\} = \text{inv } g \text{ ' } \{p. \text{fst } p = y\}$

by (*smt* (*verit*, *ccfv-SIG*) *Collect-cong* *bij-g* *bij-image-Collect-eq* *bij-imp-bij-inv* *inv-inv-eq*)

show $\text{infinite } \{x. f x = y\}$

unfolding *f-def x*

using *infinite-prods*

by (*metis* *bij-g* *bij-is-surj* *finite-imageI* *image-f-inv-f*)

qed

then show *?thesis*

by *blast*

qed

lemma *not-nat-version''*:

assumes $|UNIV :: 'b \text{ set}| \leq o |UNIV :: ('a :: \text{infinite}) \text{ set}|$

shows $\exists f :: 'a \Rightarrow 'b. \forall y. \text{infinite } \{x. f x = y\}$

proof –

obtain $g :: 'a \Rightarrow 'a \times 'a$ **where** *bij-g*: *bij g*
using *Times-same-infinite-bij-betw-types* *bij-betw-inv* *infinite-UNIV* **by** *blast*

define $f :: 'a \Rightarrow 'a$ **where**

$\bigwedge x. f x \equiv \text{fst } (g x)$

have *inf*: $\bigwedge y. \text{infinite } \{x. f x = y\}$

proof –

fix y

have $x: \{x. \text{fst } (g x) = y\} = \text{inv } g \text{ ' } \{p. \text{fst } p = y\}$

by (*smt* (*verit*, *ccfv-SIG*) *Collect-cong* *bij-g* *bij-image-Collect-eq* *bij-imp-bij-inv* *inv-inv-eq*)

show $\text{infinite } \{x. f x = y\}$

unfolding *f-def x*

using *infinite-prods*

by (*metis* *bij-g* *bij-is-surj* *finite-imageI* *image-f-inv-f*)

```

qed

obtain  $f' :: 'a \Rightarrow 'b$  where  $surj\ f'$ 
  using  $assms$ 
  by ( $metis\ card-of-ordLeq2\ empty-not-UNIV$ )

then have  $\bigwedge y. infinite\ \{x. f'\ (f\ x) = y\}$ 
  using  $inf$ 
  by ( $smt\ (verit,\ ccfv-SIG)\ Collect-mono\ finite-subset\ surjD$ )

then show  $?thesis$ 
  by  $meson$ 
qed

lemma  $nat-version: \exists f :: nat \Rightarrow nat. \forall y :: nat. infinite\ \{x. f\ x = y\}$ 
proof -
  obtain  $g :: nat \Rightarrow nat\ list$  where  $bij-g: bij\ g$ 
  using  $bij-list-decode$  by  $blast$ 

  define  $f :: nat \Rightarrow nat$  where
     $\bigwedge x. f\ x \equiv length\ (tl\ (g\ x))$ 

  have  $\bigwedge y. infinite\ \{x. f\ x = y\}$ 
  proof -
    fix  $y$ 
    have  $\{x. length\ (tl\ (g\ x)) = y\} = inv\ g\ \{l. length\ (tl\ l) = y\}$ 
    by ( $smt\ (verit,\ ccfv-SIG)\ Collect-cong\ bij-betw-def\ bij-g\ bij-image-Collect-eq$ 
     $image-inv-f-f$ 
     $inv-inv-eq\ surj-imp-inj-inv$ )

    then show  $infinite\ \{x. f\ x = y\}$ 
    unfolding  $f-def$ 
    using  $infinite-lists-per-length$ 
    by ( $metis\ bij-g\ bij-is-surj\ finite-imageI\ image-f-inv-f$ )
  qed

  then show  $?thesis$ 
  by  $blast$ 
qed

definition  $all-types$  where
   $all-types\ \mathcal{V} \equiv \forall ty. infinite\ \{x. \mathcal{V}\ x = ty\}$ 

lemma  $all-types-nat: \exists \mathcal{V} :: nat \Rightarrow nat. all-types\ \mathcal{V}$ 
  unfolding  $all-types-def$ 
  using  $nat-version$ 

```

by *blast*

lemma *all-types*: $\exists \mathcal{V} :: ('v :: \{\text{infinite}, \text{countable}\} \Rightarrow 'ty :: \text{countable})$. *all-types* \mathcal{V}

proof –

obtain $\mathcal{V}\text{-nat} :: \text{nat} \Rightarrow \text{nat}$ **where** $\mathcal{V}\text{-nat}$: *all-types* $\mathcal{V}\text{-nat}$
using *all-types-nat*
by *blast*

obtain $v\text{-to-nat} :: 'v \Rightarrow \text{nat}$ **where** $v\text{-to-nat}$: *bij v-to-nat*
using *countableI-type infinite-UNIV to-nat-on-infinite* **by** *blast*

obtain $\text{nat-to-ty} :: \text{nat} \Rightarrow 'ty$ **and** N **where** nat-to-ty : *bij-betw nat-to-ty N UNIV*
using *countableE-bij*
by (*metis countableI-type*)

define \mathcal{V} **where** $\bigwedge x. \mathcal{V} x \equiv \text{nat-to-ty} (\mathcal{V}\text{-nat} (v\text{-to-nat} x))$

have 1: $\bigwedge ty. \{x. \mathcal{V}\text{-nat} (v\text{-to-nat} x) = ty\} = \text{inv } v\text{-to-nat} \text{ ‘ } \{x. \mathcal{V}\text{-nat} x = ty\}$
by (*smt (verit, best) Collect-cong bij-image-Collect-eq bij-imp-bij-inv inv-inv-eq v-to-nat*)

have 2: $\bigwedge ty. \text{infinite } \{x. \mathcal{V}\text{-nat} (v\text{-to-nat} x) = ty\}$
unfolding 1
using $\mathcal{V}\text{-nat}$
unfolding *all-types-def*
by (*metis bij-betw-def finite-imageI image-f-inv-f v-to-nat*)

have $\bigwedge ty. \text{infinite } \{x. \mathcal{V} x = ty\}$
using $\mathcal{V}\text{-nat}$
unfolding $\mathcal{V}\text{-def}$ *all-types-def*
by (*smt (verit) 2 Collect-mono UNIV-I bij-betw-iff-bijections finite-subset nat-to-ty*)

then show $\exists \mathcal{V} :: 'v :: \{\text{infinite}, \text{countable}\} \Rightarrow 'ty :: \text{countable}$. *all-types* \mathcal{V}
unfolding *all-types-def*
by *fast*

qed

lemma *all-types'*:

assumes $|UNIV :: 'ty \text{ set}| \leq o |UNIV :: ('v :: \text{infinite}) \text{ set}|$
shows $\exists \mathcal{V} :: ('v :: \text{infinite} \Rightarrow 'ty)$. *all-types* \mathcal{V}
using *not-nat-version''[OF assms]*
unfolding *all-types-def*
by *argo*

definition *clause-groundings* :: $('f, 'ty) \text{ fun-types} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow 'f$
ground-atom clause set **where**
 $\text{clause-groundings } \mathcal{F} \text{ clause} = \{ \text{clause.to-ground } (fst \text{ clause} \cdot \gamma) \mid \gamma.$
 $\text{term-subst.is-ground-subst } \gamma \wedge$

$$\begin{aligned} & \text{welltyped}_c \mathcal{F} (\text{snd clause}) (\text{fst clause}) \wedge \\ & \text{welltyped}_{\sigma\text{-on}} (\text{clause.vars} (\text{fst clause})) \mathcal{F} (\text{snd clause}) \gamma \wedge \\ & \text{all-types} (\text{snd clause}) \\ & \} \end{aligned}$$

abbreviation *select-subst-stability-on where*

$$\begin{aligned} & \bigwedge \text{select select}_G. \text{select-subst-stability-on } \mathcal{F} \text{ select select}_G \text{ premises} \equiv \\ & \forall \text{premise}_G \in \bigcup (\text{clause-groundings } \mathcal{F} \text{ ' premises}). \exists (\text{premise}, \mathcal{V}) \in \text{premises}. \\ & \exists \gamma. \\ & \quad \text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G \wedge \\ & \quad \text{select}_G (\text{clause.to-ground} (\text{premise} \cdot \gamma)) = \text{clause.to-ground} ((\text{select } \text{premise}) \\ & \cdot \gamma) \wedge \\ & \quad \text{welltyped}_c \mathcal{F} \mathcal{V} \text{ premise} \wedge \text{welltyped}_{\sigma\text{-on}} (\text{clause.vars } \text{premise}) \mathcal{F} \mathcal{V} \gamma \wedge \\ & \quad \text{term-subst.is-ground-subst } \gamma \wedge \\ & \quad \text{all-types } \mathcal{V} \end{aligned}$$

lemma *obtain-subst-stable-on-select-grounding:*

fixes *select* :: ('f, 'v) *select*

obtains *select*_G **where**

select-subst-stability-on \mathcal{F} *select* *select*_G *premises*

is-select-grounding *select* *select*_G

proof–

let *?premise-groundings* = $\bigcup (\text{clause-groundings } \mathcal{F} \text{ ' premises})$

have *select*_G-*exists-for-premises*:

$\forall \text{premise}_G \in \text{?premise-groundings}. \exists \text{select}_G \gamma. \exists (\text{premise}, \mathcal{V}) \in \text{premises}.$

$\text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G$

$\wedge \text{select}_G \text{premise}_G = \text{clause.to-ground} ((\text{select } \text{premise}) \cdot \gamma)$

$\wedge \text{welltyped}_c \mathcal{F} \mathcal{V} \text{premise} \wedge \text{welltyped}_{\sigma\text{-on}} (\text{clause.vars } \text{premise}) \mathcal{F} \mathcal{V} \gamma$

$\wedge \text{term-subst.is-ground-subst } \gamma \wedge \text{all-types } \mathcal{V}$

unfolding *clause-groundings-def*

using *clause.is-ground-subst-is-ground*

by *fastforce*

obtain *select*_G-*on-premise-groundings where*

*select*_G-*on-premise-groundings*: $\forall \text{premise}_G \in \text{?premise-groundings}. \exists (\text{premise}, \mathcal{V}) \in \text{premises}. \exists \gamma.$

$\text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G$

$\wedge \text{select}_G\text{-on-premise-groundings} (\text{clause.to-ground} (\text{premise} \cdot \gamma)) =$

$\text{clause.to-ground} ((\text{select } \text{premise}) \cdot \gamma)$

$\wedge \text{welltyped}_c \mathcal{F} \mathcal{V} \text{premise} \wedge \text{welltyped}_{\sigma\text{-on}} (\text{clause.vars } \text{premise}) \mathcal{F} \mathcal{V} \gamma$

$\wedge \text{term-subst.is-ground-subst } \gamma \wedge \text{all-types } \mathcal{V}$

using *Ball-Ex-comm(1)[OF select*_G-*exists-for-premises]*

prod.case-eq-if clause.from-ground-inverse

by *fastforce*

define *select*_G **where**

$\bigwedge \text{clause}_G. \text{select}_G \text{clause}_G = ($

$\text{if } \text{clause}_G \in \text{?premise-groundings}$

then $\text{select}_G\text{-on-premise-groundings } \text{clause}_G$
 else $\text{clause.to-ground } (\text{select } (\text{clause.from-ground } \text{clause}_G))$
)

have $\text{grounding: is-select-grounding } \text{select } \text{select}_G$
proof–
have $\bigwedge \text{clause}_G \ a \ b.$
 $\llbracket \forall y \in \text{premises.}$
 $\quad \forall \text{premise}_G \in \text{clause-groundings } \mathcal{F} \ y.$
 $\quad \exists x \in \text{premises.}$
 $\quad \text{case } x \text{ of}$
 $\quad (\text{premise}, \mathcal{V}) \Rightarrow$
 $\quad \exists \gamma. \text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G \wedge$
 $\quad \text{select}_G\text{-on-premise-groundings } (\text{clause.to-ground } (\text{premise} \cdot \gamma))$
 =
 $\quad \text{clause.to-ground } (\text{select } \text{premise} \cdot \gamma) \wedge$
 $\quad \text{welltyped}_c \ \mathcal{F} \ \mathcal{V} \ \text{premise} \wedge$
 $\quad \text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{premise}) \ \mathcal{F} \ \mathcal{V} \ \gamma \wedge$
 $\quad \text{term-subst.is-ground-subst } \gamma \wedge \text{all-types } \mathcal{V};$
 $\quad (a, b) \in \text{premises}; \text{clause}_G \in \text{clause-groundings } \mathcal{F} \ (a, b)\rrbracket$
 $\Rightarrow \exists \text{clause } \gamma.$
 $\quad \text{clause.vars } (\text{clause} \cdot \gamma) = \{\}$
 $\quad \text{clause}_G = \text{clause.to-ground } (\text{clause} \cdot \gamma) \wedge$
 $\quad \text{select}_G\text{-on-premise-groundings } \text{clause}_G = \text{clause.to-ground } (\text{select } \text{clause}$
 $\cdot \gamma)$
by force

moreover have $\bigwedge \text{clause}_G.$
 $\llbracket \forall y \in \text{premises.}$
 $\quad \forall \text{premise}_G \in \text{clause-groundings } \mathcal{F} \ y.$
 $\quad \exists x \in \text{premises.}$
 $\quad \text{case } x \text{ of}$
 $\quad (\text{premise}, \mathcal{V}) \Rightarrow$
 $\quad \exists \gamma. \text{premise} \cdot \gamma = \text{clause.from-ground } \text{premise}_G \wedge$
 $\quad \text{select}_G\text{-on-premise-groundings } (\text{clause.to-ground } (\text{premise} \cdot \gamma))$
 =
 $\quad \text{clause.to-ground } (\text{select } \text{premise} \cdot \gamma) \wedge$
 $\quad \text{welltyped}_c \ \mathcal{F} \ \mathcal{V} \ \text{premise} \wedge$
 $\quad \text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{premise}) \ \mathcal{F} \ \mathcal{V} \ \gamma \wedge$
 $\quad \text{term-subst.is-ground-subst } \gamma \wedge \text{all-types } \mathcal{V};$
 $\quad \forall x \in \text{premises. } \text{clause}_G \notin \text{clause-groundings } \mathcal{F} \ x\rrbracket$
 $\Rightarrow \exists \text{clause } \gamma.$
 $\quad \text{clause.vars } (\text{clause} \cdot \gamma) = \{\}$
 $\quad \text{clause}_G = \text{clause.to-ground } (\text{clause} \cdot \gamma) \wedge$
 $\quad \text{clause.to-ground } (\text{select } (\text{clause.from-ground } \text{clause}_G)) =$
 $\quad \text{clause.to-ground } (\text{select } \text{clause} \cdot \gamma)$
by ($\text{metis } (\text{no-types, opaque-lifting}) \text{clause.comp-subst.left.action-neutral}$
 $\text{clause.ground-is-ground } \text{clause.from-ground-inverse}$)

```

ultimately show ?thesis
  unfolding is-select-grounding-def selectG-def
  using selectG-on-premise-groundings
  by auto
qed

show ?thesis
  using that[OF - grounding] selectG-on-premise-groundings
  unfolding selectG-def
  by fastforce
qed

locale first-order-select = select select
  for select :: ('f, 'v) atom clause ⇒ ('f, 'v) atom clause
begin

abbreviation is-grounding :: 'f ground-select ⇒ bool where
  is-grounding selectG ≡ is-select-grounding select selectG

definition selectGs where
  selectGs = { ground-select. is-grounding ground-select }

definition selectG-simple where
  selectG-simple clause = clause.to-ground (select (clause.from-ground clause))

lemma selectG-simple: is-grounding selectG-simple
  unfolding is-select-grounding-def selectG-simple-def
  by (metis clause.from-ground-inverse clause.ground-is-ground clause.subst-id-subst)

lemma select-from-ground-clause1:
  assumes clause.is-ground clause
  shows clause.is-ground (select clause)
  using select-subset sub-ground-clause assms
  by metis

lemma select-from-ground-clause2:
  assumes literal ∈# select (clause.from-ground clause)
  shows literal.is-ground literal
  using assms clause.sub-in-ground-is-ground select-subset
  by blast

lemma select-from-ground-clause3:
  assumes clause.is-ground clause literalG ∈# clause.to-ground clause
  shows literal.from-ground literalG ∈# clause
  using assms
  by (metis clause.to-ground-inverse clause.ground-sub-in-ground)

lemmas select-from-ground-clause =
  select-from-ground-clause1

```

select-from-ground-clause2
select-from-ground-clause3

lemma *select-subst1*:
assumes *clause.is-ground* (*clause* · γ)
shows *clause.is-ground* (*select clause* · γ)
using *assms*
by (*metis image-mset-subseteq-mono select-subset sub-ground-clause clause.subst-def*)

lemma *select-subst2*:
assumes *literal* $\in\#$ *select clause* · γ
shows *is-neg literal*
using *assms subst-neg-stable select-negative-lits*
unfolding *clause.subst-def*
by *auto*

lemmas *select-subst* = *select-subst1 select-subst2*

end

locale *grounded-first-order-select* =
first-order-select select **for** *select* +
fixes *select_G*
assumes *select_G: is-select-grounding select select_G*
begin

abbreviation *subst-stability-on where*
subst-stability-on \mathcal{F} premises \equiv *select-subst-stability-on \mathcal{F} select select_G premises*

lemma *select_G-subset*: *select_G clause* $\subseteq\#$ *clause*
using *select_G*
unfolding *is-select-grounding-def*
by (*metis select-subset clause.to-ground-def image-mset-subseteq-mono clause.subst-def*)

lemma *select_G-negative*:
assumes *literal_G* $\in\#$ *select_G clause_G*
shows *is-neg literal_G*
proof –
obtain *clause* γ **where**
is-ground: clause.is-ground (*clause* · γ) **and**
select_G: select_G clause_G = clause.to-ground (*select clause* · γ)
using *select_G*
unfolding *is-select-grounding-def*
by *blast*

show *?thesis*
using
select-from-ground-clause(3)[
OF select-subst(1)][*OF is-ground*] *assms*[*unfolded select_G*],

```

    THEN select-subst(2)
  ]
  unfolding literal.from-ground-def
  by simp
qed

sublocale ground: select selectG
  by unfold-locales (simp-all add: selectG-subset selectG-negative)

end

end

theory First-Order-Ordering
  imports
    First-Order-Clause
    Ground-Ordering
    Relation-Extra
begin

context ground-ordering
begin

lemmas lesslG-transitive-on = literal-order.transp-on-less
lemmas lesslG-asymmetric-on = literal-order.asymp-on-less
lemmas lesslG-total-on = literal-order.totalp-on-less

lemmas lesscG-transitive-on = clause-order.transp-on-less
lemmas lesscG-asymmetric-on = clause-order.asymp-on-less
lemmas lesscG-total-on = clause-order.totalp-on-less

lemmas is-maximal-lit-def = is-maximal-in-mset-wrt-iff[OF lesslG-transitive-on
lesslG-asymmetric-on]
lemmas is-strictly-maximal-lit-def =
  is-strictly-maximal-in-mset-wrt-iff[OF lesslG-transitive-on lesslG-asymmetric-on]

end

```

6 First order ordering

```

locale first-order-ordering = term-ordering-lifting lesst
  for
    lesst :: ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool (infix <t 50) +
  assumes
    lesst-total-on [intro]: totalp-on {term. term.is-ground term} (<t) and
    lesst-wellfounded-on: wfp-on {term. term.is-ground term} (<t) and
    lesst-ground-context-compatible:
      ∧ context term1 term2.
        term1 <t term2 ⇒
        term.is-ground term1 ⇒

```


$term.is-ground\ term_2 \implies$
 $context.is-ground\ context \implies$
 $context\langle term_1 \rangle \prec_t\ context\langle term_2 \rangle$ **and**
less_t-ground-subst-stability:
 $\bigwedge term_1\ term_2\ (\gamma :: 'v \Rightarrow ('f, 'v)\ term).$
 $term.is-ground\ (term_1 \cdot t\ \gamma) \implies$
 $term.is-ground\ (term_2 \cdot t\ \gamma) \implies$
 $term_1 \prec_t\ term_2 \implies$
 $term_1 \cdot t\ \gamma \prec_t\ term_2 \cdot t\ \gamma$ **and**
less_t-ground-subterm-property:
 $\bigwedge term_G\ context_G.$
 $term.is-ground\ term_G \implies$
 $context.is-ground\ context_G \implies$
 $context_G \neq \square \implies$
 $term_G \prec_t\ context_G\langle term_G \rangle$
begin

lemmas *less_t-transitive* = *transp-less-trm*
lemmas *less_t-asymmetric* = *asymp-less-trm*

6.1 Definitions

abbreviation *less-eq_t* (**infix** \preceq_t 50) **where**
 $less-eq_t \equiv (\prec_t)^{==}$

definition *less_{tG}* :: $'f\ ground-term \Rightarrow 'f\ ground-term \Rightarrow bool$ (**infix** \prec_{tG} 50) **where**
 $term_{G1} \prec_{tG}\ term_{G2} \equiv term.from-ground\ term_{G1} \prec_t\ term.from-ground\ term_{G2}$

notation *less-lit* (**infix** \prec_l 50)

notation *less-cls* (**infix** \prec_c 50)

lemma

assumes

$L-in: L \in \# C$ **and**

subst-stability: $\bigwedge L\ K. L \prec_l K \implies (L \cdot l\ \sigma) \prec_l (K \cdot l\ \sigma)$ **and**

$L\sigma-max-in-C\sigma$: *literal-order.is-maximal-in-mset* $(C \cdot \sigma)\ (L \cdot l\ \sigma)$

shows *literal-order.is-maximal-in-mset* $C\ L$

proof –

have $L\sigma-in: L \cdot l\ \sigma \in \# C \cdot \sigma$ **and** $L\sigma-max: \forall y \in \# C \cdot \sigma. y \neq L \cdot l\ \sigma \longrightarrow \neg L \cdot l\ \sigma \prec_l y$

using $L\sigma-max-in-C\sigma$

unfolding *atomize-conj literal-order.is-maximal-in-mset-iff*

by *argo*

show *literal-order.is-maximal-in-mset* $C\ L$

unfolding *literal-order.is-maximal-in-mset-iff*

proof (*intro conjI ballI impI*)

show $L \in \# C$

using $L-in$.

next
show $\bigwedge y. y \in \# C \implies y \neq L \implies \neg L \prec_l y$
using *subst-stability*
by (*metis L σ -max clause.subst-in-to-set-subst literal-order.order.strict-iff-order*)
qed
qed

lemmas *less_l-def = less-lit-def*
lemmas *less_c-def = less-cls-def*

abbreviation *less-eq_l* (**infix** \preceq_l 50) **where**
less-eq_l \equiv (\prec_l)⁼⁼

abbreviation *less-eq_c* (**infix** \preceq_c 50) **where**
less-eq_c \equiv (\prec_c)⁼⁼

abbreviation *is-maximal_l* ::
('f, 'v) atom literal \Rightarrow ('f, 'v) atom clause \Rightarrow bool **where**
is-maximal_l literal clause \equiv is-maximal-in-mset-wrt (\prec_l) clause literal

abbreviation *is-strictly-maximal_l* ::
('f, 'v) atom literal \Rightarrow ('f, 'v) atom clause \Rightarrow bool **where**
is-strictly-maximal_l literal clause \equiv is-strictly-maximal-in-mset-wrt (\prec_l) clause literal

6.2 Term ordering

lemmas *less_t-asymmetric-on = term-order.asymp-on-less*
lemmas *less_t-irreflexive-on = term-order.irreflp-on-less*
lemmas *less_t-transitive-on = term-order.transp-on-less*

lemma *less_t-wellfounded-on'*: *Wellfounded.wfp-on (term.from-ground ' terms_G) (\prec_t)*

proof (*rule Wellfounded.wfp-on-subset*)
show *Wellfounded.wfp-on {term. term.is-ground term} (\prec_t)*
using *less_t-wellfounded-on* .

next
show *term.from-ground ' terms_G \subseteq {term. term.is-ground term}*
by *force*
qed

lemma *less_t-total-on'*: *totalp-on (term.from-ground ' terms_G) (\prec_t)*
using *less_t-total-on*
by (*simp add: totalp-on-def*)

lemma *less_{tG}-wellfounded: wfp (\prec_{tG})*

proof –
have *Wellfounded.wfp-on (range term.from-ground) (\prec_t)*
using *less_t-wellfounded-on'* **by** *metis*

hence $wfp (\lambda term_{G1} term_{G2}. term.from-ground term_{G1} \prec_t term.from-ground term_{G2})$
unfolding $Wellfounded.wfp-on-image[symmetric]$.
thus $wfp (\prec_{tG})$
unfolding $less_{tG}-def$.
qed

6.3 Ground term ordering

lemma $less_{tG}-asymmetric [intro]: asymp (\prec_{tG})$
by $(simp\ add: wfp-imp-asymp\ less_{tG}-wellfounded)$

lemmas $less_{tG}-asymmetric-on = less_{tG}-asymmetric [THEN\ asymp-on-subset, OF\ subset-UNIV]$

lemma $less_{tG}-transitive [intro]: transp (\prec_{tG})$
using $less_{tG}-def\ less_{tG}-transitive\ transpE\ transpI$
by $(metis\ (full-types))$

lemmas $less_{tG}-transitive-on = less_{tG}-transitive [THEN\ transp-on-subset, OF\ subset-UNIV]$

lemma $less_{tG}-total [intro]: totalp (\prec_{tG})$
unfolding $less_{tG}-def$
using $totalp-on-image [OF\ inj-term-of-gterm] less_{tG}-total-on'$
by $blast$

lemmas $less_{tG}-total-on = less_{tG}-total [THEN\ totalp-on-subset, OF\ subset-UNIV]$

lemma $less_{tG}-context-compatible [simp]:$
assumes $term_1 \prec_{tG} term_2$
shows $context(term_1)_G \prec_{tG} context(term_2)_G$
using $assms\ less_{tG}-ground-context-compatible$
unfolding $less_{tG}-def$
by $(metis\ context.ground-is-ground\ term.ground-is-ground\ ground-term-with-context(3))$

lemma $less_{tG}-subterm-property [simp]:$
assumes $context \neq \square_G$
shows $term \prec_{tG} context(term)_G$
using
 $assms$
 $less_{tG}-ground-subterm-property [OF\ term.ground-is-ground\ context.ground-is-ground]$
 $context-from-ground-hole$
unfolding $less_{tG}-def\ ground-term-with-context(3)$
by $blast$

lemma $less_t-less_{tG} [clause-simp]:$

assumes *term.is-ground term₁ and term.is-ground term₂*
shows *term₁ <_t term₂ ↔ term.to-ground term₁ <_{tG} term.to-ground term₂*
by (*simp add: assms less_{tG}-def*)

lemma *less-eq_t-ground-subst-stability*:

assumes *term.is-ground (term₁ · t γ) term.is-ground (term₂ · t γ) term₁ ≼_t term₂*

shows *term₁ · t γ ≼_t term₂ · t γ*

using *less_t-ground-subst-stability[OF assms(1, 2)] assms(3)*

by *auto*

6.4 Literal ordering

lemmas *less_l-asymmetric [intro] = literal-order.asymp-on-less[of UNIV]*

lemmas *less_l-asymmetric-on [intro] = literal-order.asymp-on-less*

lemmas *less_l-transitive [intro] = literal-order.transp-on-less[of UNIV]*

lemmas *less_l-transitive-on = literal-order.transp-on-less*

lemmas *is-maximal_l-def = is-maximal-in-mset-wrt-iff[OF less_l-transitive-on less_l-asymmetric-on]*

lemmas *is-strictly-maximal_l-def =*

is-strictly-maximal-in-mset-wrt-iff[OF less_l-transitive-on less_l-asymmetric-on]

lemmas *is-maximal_l-if-is-strictly-maximal_l =*

is-maximal-in-mset-wrt-if-is-strictly-maximal-in-mset-wrt[OF
less_l-transitive-on less_l-asymmetric-on

]

lemma *less_l-ground-subst-stability*:

assumes

literal.is-ground (literal · l γ)

literal.is-ground (literal' · l γ)

shows *literal <_l literal' ⇒ literal · l γ <_l literal' · l γ*

unfolding *less_l-def mset-mset-lit-subst[symmetric]*

proof (*elim multp-map-strong[rotated -1]*)

show *monotone-on (set-mset (mset-lit literal + mset-lit literal')) (<_l) (<_l)*
(λterm. term · t γ)

by (*rule monotone-onI*)

(metis assms(1,2) less_t-ground-subst-stability ground-term-in-ground-literal-subst union-iff)

qed (*use less_l-asymmetric less_l-transitive in simp-all*)

lemma *maximal_l-in-clause*:

assumes *is-maximal_l literal clause*

shows *literal ∈# clause*

using *assms*

unfolding *is-maximal_l-def*

by(*rule conjunct1*)

lemma *strictly-maximal_l-in-clause*:
assumes *is-strictly-maximal_l literal clause*
shows *literal ∈# clause*
using *assms*
unfolding *is-strictly-maximal_l-def*
by(*rule conjunct1*)

6.5 Clause ordering

lemmas *less_c-asymmetric* [*intro*] = *clause-order.asymp-on-less*[*of UNIV*]
lemmas *less_c-asymmetric-on* [*intro*] = *clause-order.asymp-on-less*
lemmas *less_c-transitive* [*intro*] = *clause-order.transp-on-less*[*of UNIV*]
lemmas *less_c-transitive-on* [*intro*] = *clause-order.transp-on-less*

lemma *less_c-ground-subst-stability*:
assumes
 clause.is-ground (clause · γ)
 clause.is-ground (clause' · γ)
shows *clause <_c clause' ⇒ clause · γ <_c clause' · γ*
unfolding *clause.subst-def less_c-def*
proof (*elim multp-map-strong*[*rotated -1*])
 show *monotone-on (set-mset (clause + clause')) (<_l) (<_l) (λliteral. literal · l γ)*
 by (*rule monotone-onI*)
 (*metis assms(1,2) clause.to-set-is-ground-subst less_l-ground-subst-stability union-iff*)
qed (*use less_l-asymmetric less_l-transitive in simp-all*)

6.6 Grounding

sublocale *ground: ground-ordering (<_{tG})*
apply *unfold-locales*
by(*simp-all add: less_{tG}-transitive less_{tG}-asymmetric less_{tG}-wellfounded less_{tG}-total*)

notation *ground.less-lit* (**infix** *<_{lG}* 50)
notation *ground.less-cls* (**infix** *<_{cG}* 50)

notation *ground.lesseq-trm* (**infix** *≲_{tG}* 50)
notation *ground.lesseq-lit* (**infix** *≲_{lG}* 50)
notation *ground.lesseq-cls* (**infix** *≲_{cG}* 50)

lemma *not-less-eq_{tG}*: $\neg \text{term}_{G2} \preceq_{tG} \text{term}_{G1} \longleftrightarrow \text{term}_{G1} <_{tG} \text{term}_{G2}$
using *ground.term-order.not-le* .

lemma *less-eq_t-less-eq_{tG}*:
assumes *term.is-ground term₁ and term.is-ground term₂*
shows *term₁ ≲_t term₂ ⇔ term.to-ground term₁ ≲_{tG} term.to-ground term₂*
unfolding *reflclp-iff less_t-less_{tG}[OF assms]*
using *assms[THEN term.to-ground-inverse]*
by *auto*

lemma *less-eq_{tG}-less-eq_t*:

*term*_{G1} \preceq_{tG} *term*_{G2} \iff *term.from-ground* *term*_{G1} \preceq_t *term.from-ground* *term*_{G2}

unfolding

less-eq_t-less-eq_{tG}[*OF term.ground-is-ground term.ground-is-ground*]

term.from-ground-inverse

..

lemma *not-less-eq_t*:

assumes *term.is-ground* *term*₁ **and** *term.is-ground* *term*₂

shows \neg *term*₂ \preceq_t *term*₁ \iff *term*₁ \prec_t *term*₂

unfolding *less_t-less_{tG}*[*OF assms*] *less-eq_t-less-eq_{tG}*[*OF assms(2, 1)*] *not-less-eq_{tG}*

..

lemma *less_{lG}-less_l*:

*literal*_{G1} \prec_{lG} *literal*_{G2} \iff *literal.from-ground* *literal*_{G1} \prec_l *literal.from-ground* *literal*_{G2}

unfolding *less_l-def* *ground.less-lit-def* *less_{tG}-def* *mset-literal-from-ground*

using

multp-image-mset-image-msetI[*OF - less_t-transitive*]

multp-image-mset-image-msetD[*OF - less_t-transitive-on term.inj-from-ground*]

by *blast*

lemma *less_l-less_{lG}*:

assumes *literal.is-ground* *literal*₁ *literal.is-ground* *literal*₂

shows *literal*₁ \prec_l *literal*₂ \iff *literal.to-ground* *literal*₁ \prec_{lG} *literal.to-ground* *literal*₂

using *assms*

by (*simp add: less_{lG}-less_l*)

lemma *less-eq_l-less-eq_{lG}*:

assumes *literal.is-ground* *literal*₁ **and** *literal.is-ground* *literal*₂

shows *literal*₁ \preceq_l *literal*₂ \iff *literal.to-ground* *literal*₁ \preceq_{lG} *literal.to-ground* *literal*₂

unfolding *reflclp-iff less_l-less_{lG}*[*OF assms*]

using *assms[THEN literal.to-ground-inverse]*

by *auto*

lemma *less-eq_{lG}-less-eq_l*:

*literal*_{G1} \preceq_{lG} *literal*_{G2} \iff *literal.from-ground* *literal*_{G1} \preceq_l *literal.from-ground* *literal*_{G2}

unfolding

less-eq_l-less-eq_{lG}[*OF literal.ground-is-ground literal.ground-is-ground*]

literal.from-ground-inverse

..

lemma *maximal-lit-in-clause*:

assumes *ground.is-maximal-lit* *literal*_G *clause*_G

shows *literal*_G $\in\#$ *clause*_G

```

using assms
unfolding ground.is-maximal-lit-def
by(rule conjunct1)

lemma is-maximall-empty [simp]:
assumes is-maximall literal {#}
shows False
using assms maximall-in-clause
by fastforce

lemma is-strictly-maximall-empty [simp]:
assumes is-strictly-maximall literal {#}
shows False
using assms strictly-maximall-in-clause
by fastforce

lemma is-maximal-lit-iff-is-maximall:
 $ground.is-maximal-lit\ literal_G\ clause_G \longleftrightarrow$ 
 $is-maximal_l\ (literal.from-ground\ literal_G)\ (clause.from-ground\ clause_G)$ 
unfolding
is-maximall-def
ground.is-maximal-lit-def
clause.ground-sub-in-ground[symmetric]
using
lessl-lesslG[OF literal.ground-is-ground clause.sub-in-ground-is-ground]
clause.sub-in-ground-is-ground
clause.ground-sub-in-ground
by (metis literal.to-ground-inverse literal.from-ground-inverse)

lemma is-strictly-maximalG1-iff-is-strictly-maximall:
 $ground.is-strictly-maximal-lit\ literal_G\ clause_G$ 
 $\longleftrightarrow is-strictly-maximal_l\ (literal.from-ground\ literal_G)\ (clause.from-ground\ clause_G)$ 
unfolding
is-strictly-maximal-in-mset-wrt-iff-is-greatest-in-mset-wrt[OF
ground.lesslG-transitive-on ground.lesslG-asymmetric-on ground.lesslG-total-on,
symmetric
]
ground.is-strictly-maximal-lit-def
is-strictly-maximall-def
clause.ground-sub-in-ground[symmetric]
remove1-mset-literal-from-ground
clause.ground-sub
less-eqlG-less-eql
..

lemma not-less-eqlG:  $\neg literal_{G2} \preceq_{lG} literal_{G1} \longleftrightarrow literal_{G1} \prec_{lG} literal_{G2}$ 
using asymptD[OF ground.lesslG-asymmetric-on] totalpD[OF ground.lesslG-total-on]
by blast

```

lemma *not-less-eq_l*:

assumes *literal.is-ground literal₁ and literal.is-ground literal₂*

shows $\neg \text{literal}_2 \preceq_l \text{literal}_1 \longleftrightarrow \text{literal}_1 \prec_l \text{literal}_2$

unfolding *less_l-less_{lG}[OF assms] less-eq_l-less-eq_{lG}[OF assms(2, 1)] not-less-eq_{lG}*

..

lemma *less_{cG}-less_c*:

clause_{G1} \prec_{cG} clause_{G2} \longleftrightarrow clause.from-ground clause_{G1} \prec_c clause.from-ground clause_{G2}

proof (*rule iffI*)

show *clause_{G1} \prec_{cG} clause_{G2} \implies clause.from-ground clause_{G1} \prec_c clause.from-ground clause_{G2}*

unfolding *less_c-def*

by (*auto simp: clause.from-ground-def ground.less-cls-def less_{lG}-less_l*

intro!: multp-image-mset-image-msetI elim: multp-mono-strong)

next

have *transp ($\lambda x y. \text{literal.from-ground } x \prec_l \text{literal.from-ground } y$)*

by (*metis (no-types, lifting) literal-order.less-trans transpI*)

thus *clause.from-ground clause_{G1} \prec_c clause.from-ground clause_{G2} \implies clause_{G1} \prec_{cG} clause_{G2}*

unfolding *ground.less-cls-def clause.from-ground-def less_c-def*

by (*auto simp: less_{lG}-less_l*

dest!: multp-image-mset-image-msetD[OF - less_l-transitive literal.inj-from-ground]

elim!: multp-mono-strong)

qed

lemma *less_c-less_{cG}*:

assumes *clause.is-ground clause₁ clause.is-ground clause₂*

shows *clause₁ \prec_c clause₂ \longleftrightarrow clause.to-ground clause₁ \prec_{cG} clause.to-ground clause₂*

using *assms*

by (*simp add: less_{cG}-less_c*)

lemma *less-eq_c-less-eq_{cG}*:

assumes *clause.is-ground clause₁ and clause.is-ground clause₂*

shows *clause₁ \preceq_c clause₂ \longleftrightarrow clause.to-ground clause₁ \preceq_{cG} clause.to-ground clause₂*

unfolding *reflclp-iff less_c-less_{cG}[OF assms]*

using *assms[THEN clause.to-ground-inverse]*

by *fastforce*

lemma *less-eq_{cG}-less-eq_c*:

clause_{G1} \preceq_{cG} clause_{G2} \longleftrightarrow clause.from-ground clause_{G1} \preceq_c clause.from-ground clause_{G2}

unfolding

less-eq_c-less-eq_{cG}[OF clause.ground-is-ground clause.ground-is-ground]

clause.from-ground-inverse

..

lemma *not-less-eq_{cG}*: $\neg \text{clause}_{G2} \preceq_{cG} \text{clause}_{G1} \longleftrightarrow \text{clause}_{G1} \prec_{cG} \text{clause}_{G2}$
using *asypD*[*OF ground.less_{cG}-asymmetric-on*] *totalpD*[*OF ground.less_{cG}-total-on*]
by *blast*

lemma *not-less-eq_c*:
assumes *clause.is-ground clause₁* **and** *clause.is-ground clause₂*
shows $\neg \text{clause}_2 \preceq_c \text{clause}_1 \longleftrightarrow \text{clause}_1 \prec_c \text{clause}_2$
unfolding *less_c-less_{cG}*[*OF assms*] *less-eq_c-less-eq_{cG}*[*OF assms(2, 1)*] *not-less-eq_{cG}*
..

lemma *less_t-ground-context-compatible'*:
assumes
context.is-ground context
term.is-ground term
term.is-ground term'
context⟨term⟩ ≺_t context⟨term'⟩
shows *term ≺_t term'*
using *assms*
by (*metis less_t-ground-context-compatible not-less-eq_t term-order.dual-order.asym*
term-order.order.not-eq-order-implies-strict)

lemma *less_t-ground-context-compatible-iff*:
assumes
context.is-ground context
term.is-ground term
term.is-ground term'
shows *context⟨term⟩ ≺_t context⟨term'⟩* \longleftrightarrow *term ≺_t term'*
using *assms less_t-ground-context-compatible less_t-ground-context-compatible'*
by *blast*

6.7 Stability under ground substitution

lemma *less_t-less-eq_t-ground-subst-stability*:
assumes
term.is-ground (term₁ · t γ)
term.is-ground (term₂ · t γ)
term₁ · t γ ≺_t term₂ · t γ
shows
 $\neg \text{term}_2 \preceq_t \text{term}_1$

proof
assume *assumption: term₂ ≺_t term₁*
have *term₂ · t γ ≺_t term₁ · t γ*
using *less-eq_t-ground-subst-stability*[*OF*
assms(2, 1)
assumption
].

then show *False*
using *assms(3)* **by order**
qed

lemma *less-eq_l-ground-subst-stability*:

assumes
literal.is-ground (literal₁ · l γ)
literal.is-ground (literal₂ · l γ)
literal₁ ≼_l literal₂
shows *literal₁ · l γ ≼_l literal₂ · l γ*
using *less_l-ground-subst-stability[OF assms(1, 2)] assms(3)*
by auto

lemma *less_l-less-eq_l-ground-subst-stability*: **assumes**

literal.is-ground (literal₁ · l γ)
literal.is-ground (literal₂ · l γ)
literal₁ · l γ ≺_l literal₂ · l γ
shows
 \neg *literal₂ ≼_l literal₁*
by (*meson assms less-eq_l-ground-subst-stability not-less-eq_l*)

lemma *less-eq_c-ground-subst-stability*:

assumes
clause.is-ground (clause₁ · γ)
clause.is-ground (clause₂ · γ)
clause₁ ≼_c clause₂
shows *clause₁ · γ ≼_c clause₂ · γ*
using *less_c-ground-subst-stability[OF assms(1, 2)] assms(3)*
by auto

lemma *less_c-less-eq_c-ground-subst-stability*: **assumes**

clause.is-ground (clause₁ · γ)
clause.is-ground (clause₂ · γ)
clause₁ · γ ≺_c clause₂ · γ
shows
 \neg *clause₂ ≼_c clause₁*
by (*meson assms less-eq_c-ground-subst-stability not-less-eq_c*)

lemma *is-maximal_l-ground-subst-stability*:

assumes
clause-not-empty: clause ≠ {#} **and**
clause-grounding: clause.is-ground (clause · γ)
obtains *literal*
where *is-maximal_l literal clause is-maximal_l (literal · l γ) (clause · γ)*
proof –
assume *assumption*:
 \bigwedge *literal. is-maximal_l literal clause \implies is-maximal_l (literal · l γ) (clause · γ)*
 \implies *thesis*

```

from clause-not-empty
have clause-grounding-not-empty:  $clause \cdot \gamma \neq \{\#\}$ 
  unfolding clause.subst-def
  by simp

obtain literal where
  literal:  $literal \in \# clause$  and
  literal-grounding-is-maximal:  $is\_maximal_l (literal \cdot l \gamma) (clause \cdot \gamma)$ 
  using
  ex-maximal-in-mset-wrt[OF lessl-transitive-on lessl-asymmetric-on clause-grounding-not-empty]

  maximall-in-clause
  unfolding clause.subst-def
  by force

from literal-grounding-is-maximal
have no-bigger-than-literal:
   $\forall literal' \in \# clause \cdot \gamma. literal' \neq literal \cdot l \gamma \longrightarrow \neg literal \cdot l \gamma \prec_l literal'$ 
  unfolding is-maximall-def
  by simp

show ?thesis
proof(cases is-maximall literal clause)
  case True
  with literal-grounding-is-maximal assumption show ?thesis
  by blast
next
  case False
  then obtain literal' where
    literal':  $literal' \in \# clause$   $literal \prec_l literal'$ 
    unfolding is-maximall-def
    using literal
    by blast

  note literals-in-clause = literal(1) literal'(1)
  note literals-grounding = literals-in-clause[THEN
    clause.to-set-is-ground-subst[OF - clause-grounding]
  ]

  have  $literal \cdot l \gamma \prec_l literal' \cdot l \gamma$ 
  using lessl-ground-subst-stability[OF literals-grounding literal'(2)].

then have False
  using
    no-bigger-than-literal
    clause.subst-in-to-set-subst[OF literal'(1)]
  by (metis asymp-onD lessl-asymmetric-on)

```

then show *?thesis..*
qed
qed

lemma *is-maximal_l-ground-subst-stability'*:

assumes

literal $\in\#$ *clause*

clause.is-ground (*clause* \cdot γ)

is-maximal_l (*literal* \cdot *l* γ) (*clause* \cdot γ)

shows

is-maximal_l *literal* *clause*

proof(*rule ccontr*)

assume \neg *is-maximal_l* *literal* *clause*

then obtain *literal'* **where** *literal'*:

literal \prec_l *literal'*

literal' $\in\#$ *clause*

using *assms*(1)

unfolding *is-maximal_l-def*

by *blast*

then have *literal'-grounding*: *literal.is-ground* (*literal'* \cdot *l* γ)

using *assms*(2) *clause.to-set-is-ground-subst* **by** *blast*

have *literal-grounding*: *literal.is-ground* (*literal* \cdot *l* γ)

using *assms*(1) *assms*(2) *clause.to-set-is-ground-subst* **by** *blast*

have *literal- γ -in-premise*: *literal'* \cdot *l* $\gamma \in\#$ *clause* \cdot γ

using *clause.subst-in-to-set-subst*[*OF literal'*(2)]

by *simp*

have *literal* \cdot *l* $\gamma \prec_l$ *literal'* \cdot *l* γ

using *less_l-ground-subst-stability*[*OF literal-grounding literal'-grounding literal'*(1)].

then have \neg *is-maximal_l* (*literal* \cdot *l* γ) (*clause* \cdot γ)

using *literal- γ -in-premise*

unfolding *is-maximal_l-def* *literal.subst-comp-subst*

by (*metis asympD less_l-asymmetric*)

then show *False*

using *assms*(3)

by *blast*

qed

lemma *less_l-total-on* [*intro*]: *totalp-on* (*literal.from-ground* ' *literals_G*) (\prec_l)

by (*smt* (*verit*, *best*) *image-iff less_{lG}-less_l totalpD ground.less_{lG}-total-on totalp-on-def*)

lemmas *less_l-total-on-set-mset* =
less_l-total-on[*THEN totalp-on-subset*, *OF clause.to-set-from-ground*[*THEN equalityD1*]]

lemma *less_c-total-on*: *totalp-on* (*clause.from-ground* ‘ *clauses*) (\prec_c)
by (*smt ground.clause-order.totalp-on-less image-iff less_{cG}-less_c totalpD totalp-onI*)

lemma *unique-maximal-in-ground-clause*:
assumes
clause.is-ground clause
is-maximal_l literal clause
is-maximal_l literal' clause
shows
literal = literal'
using *assms(2, 3)*
unfolding *is-maximal_l-def*
by (*metis assms(1) less_l-total-on-set-mset clause.to-ground-inverse totalp-onD*)

lemma *unique-strictly-maximal-in-ground-clause*:
assumes
clause.is-ground clause
is-strictly-maximal_l literal clause
is-strictly-maximal_l literal' clause
shows
literal = literal'
proof –
note *are-maximal_l = assms(2, 3)*[*THEN is-maximal_l-if-is-strictly-maximal_l*]

show *?thesis*
using *unique-maximal-in-ground-clause*[*OF assms(1) are-maximal_l*].
qed

lemma *is-strictly-maximal_l-ground-subst-stability*:
assumes
clause-grounding: clause.is-ground (clause · γ) **and**
ground-strictly-maximal: is-strictly-maximal_l literal_G (clause · γ)
obtains *literal* **where**
is-strictly-maximal_l literal clause literal · l γ = literal_G
proof –
assume *assumption: \bigwedge literal.*
is-strictly-maximal_l literal clause \implies literal · l γ = literal_G \implies thesis

have *clause-grounding-not-empty: clause · γ \neq {#}*
using *ground-strictly-maximal*
unfolding *is-strictly-maximal_l-def*
by *fastforce*

have *literal_G-in-clause-grounding: literal_G \in # clause · γ*
using *ground-strictly-maximal is-strictly-maximal_l-def* **by** *blast*

obtain *literal* **where** *literal*: $literal \in \# clause$ $literal \cdot l \ \gamma = literal_G$
by (*smt* (*verit*, *best*) *clause.subst-def imageE literal_G-in-clause-grounding multiset.set-map*)

show *?thesis*
proof(*cases is-strictly-maximal_l literal clause*)
case *True*
then show *?thesis*
using *assumption*
using *literal(2)* **by** *blast*
next
case *False*

then obtain *literal'* **where** *literal'*:
 $literal' \in \# clause - \{\# literal \ \#\}$
 $literal \preceq_l literal'$
unfolding *is-strictly-maximal_l-def*
using *literal(1)*
by *blast*

note *literal-grounding* =
 $clause.to-set-is-ground-subst[OF literal(1) clause-grounding]$

have *literal'-grounding*: $literal.is-ground (literal' \cdot l \ \gamma)$
using *literal'(1) clause-grounding*
by (*meson clause.to-set-is-ground-subst in-diffD*)

have $literal \cdot l \ \gamma \preceq_l literal' \cdot l \ \gamma$
using *less-eq_l-ground-subst-stability[OF literal-grounding literal'-grounding literal'(2)]*.

then have *False*
using $clause.subst-in-to-set-subst[OF literal'(1)]$ *ground-strictly-maximal*
unfolding
is-strictly-maximal_l-def
literal(2)[symmetric]
subst-clause-remove1-mset[OF literal(1)]
by *blast*

then show *?thesis..*

qed
qed

lemma *is-strictly-maximal_l-ground-subst-stability'*:
assumes
 $literal \in \# clause$
 $clause.is-ground (clause \cdot \gamma)$
 $is-strictly-maximal_l (literal \cdot l \ \gamma) (clause \cdot \gamma)$

shows
is-strictly-maximal_l literal clause

using
is-maximal_l-ground-subst-stability'[*OF*
assms(1,2)
is-maximal_l-if-is-strictly-maximal_l[*OF assms(3)*]
]
assms(3)

unfolding
is-strictly-maximal_l-def is-maximal_l-def
subst-clause-remove1-mset[*OF assms(1), symmetric*]
by (*metis in-diffD clause.subst-in-to-set-subst reflclp-iff*)

lemma *less_t-less_l*:
assumes $term_1 \prec_t term_2$
shows
 $term_1 \approx term_3 \prec_l term_2 \approx term_3$
 $term_1 !\approx term_3 \prec_l term_2 !\approx term_3$
using *assms*
unfolding *less_l-def multp-eq-multp_{HO}*[*OF less_t-asymmetric less_t-transitive*] *multp_{HO}-def*

by (*auto simp: add-mset-eq-add-mset*)

lemma *less_t-less_l'*:
assumes
 $\forall term \in set-uprod (atm-of literal). term \cdot t \sigma' \preceq_t term \cdot t \sigma$
 $\exists term \in set-uprod (atm-of literal). term \cdot t \sigma' \prec_t term \cdot t \sigma$
shows $literal \cdot l \sigma' \prec_l literal \cdot l \sigma$
proof(*cases literal*)
case (*Pos atom*)
show *?thesis*
proof(*cases atom*)
case (*Upair term₁ term₂*)
have $term_2 \cdot t \sigma' \prec_t term_2 \cdot t \sigma \implies$
 $multp (\prec_t) \{\#term_1 \cdot t \sigma, term_2 \cdot t \sigma'\# \} \{\#term_1 \cdot t \sigma, term_2 \cdot t \sigma\# \}$
using *multp-add-mset'*[*of* (\prec_t) $term_2 \cdot t \sigma' term_2 \cdot t \sigma \{\#term_1 \cdot t \sigma\# \}$]
add-mset-commute
by *metis*

then show *?thesis*
using *assms*
unfolding *less_l-def Pos subst-literal(1) Upair subst-atom*
by (*auto simp: multp-add-mset multp-add-mset'*)

qed

next
case (*Neg atom*)
show *?thesis*
proof(*cases atom*)
case (*Upair term₁ term₂*)

```

have  $term_2 \cdot t \sigma' \prec_t term_2 \cdot t \sigma \implies$ 
   $multp (\prec_t)$ 
  {# $term_1 \cdot t \sigma, term_1 \cdot t \sigma, term_2 \cdot t \sigma', term_2 \cdot t \sigma'\#$ }
  {# $term_1 \cdot t \sigma, term_1 \cdot t \sigma, term_2 \cdot t \sigma, term_2 \cdot t \sigma\#$ }
using  $multp\text{-}add\text{-}mset'$   $multp\text{-}add\text{-}same$ [OF lesst-asymmetric lesst-transitive]
by simp

then show ?thesis
using assms
unfolding  $less_l\text{-}def$   $Neg$   $subst\text{-}literal(2)$   $Upair$   $subst\text{-}atom$ 
by (auto simp: multp-add-mset multp-add-mset' add-mset-commute)
qed
qed

lemmas  $less_c\text{-}add\text{-}mset = multp\text{-}add\text{-}mset\text{-}reflclp$ [OF lessl-asymmetric lessl-transitive,
folded lessc-def]

lemmas  $less_c\text{-}add\text{-}same = multp\text{-}add\text{-}same$ [OF lessl-asymmetric lessl-transitive,
folded lessc-def]

lemma  $less\text{-}eq_l\text{-}less\text{-}eq_c$ :
assumes  $\forall literal \in\# clause. literal \cdot l \sigma' \preceq_l literal \cdot l \sigma$ 
shows  $clause \cdot \sigma' \preceq_c clause \cdot \sigma$ 
using assms
by(induction clause)(clause-auto simp: lessc-add-same lessc-add-mset)

lemma  $less_l\text{-}less_c$ :
assumes
 $\forall literal \in\# clause. literal \cdot l \sigma' \preceq_l literal \cdot l \sigma$ 
 $\exists literal \in\# clause. literal \cdot l \sigma' \prec_l literal \cdot l \sigma$ 
shows  $clause \cdot \sigma' \prec_c clause \cdot \sigma$ 
using assms
proof(induction clause)
case empty
then show ?case by auto
next
case (add literal clause)
then have  $less\text{-}eq: \forall literal \in\# clause. literal \cdot l \sigma' \preceq_l literal \cdot l \sigma$ 
by (metis add-mset-remove-trivial in-diffD)

show ?case
proof(cases literal \cdot l \sigma' \prec_l literal \cdot l \sigma)
case True
moreover have  $clause \cdot \sigma' \preceq_c clause \cdot \sigma$ 
using  $less\text{-}eq_l\text{-}less\text{-}eq_c$ [OF less-eq].

ultimately show ?thesis
using  $less_c\text{-}add\text{-}mset$ 
unfolding  $subst\text{-}clause\text{-}add\text{-}mset$   $less_c\text{-}def$ 

```



```

    by blast
next
case False
then have less:  $\exists \text{literal} \in \# \text{ clause. literal} \cdot l \sigma' \prec_l \text{literal} \cdot l \sigma$ 
  using add.premis(2) by auto

from False have eq:  $\text{literal} \cdot l \sigma' = \text{literal} \cdot l \sigma$ 
  using add.premis(1) by force

show ?thesis
  using add(1)[OF less-eq less] lessc-add-same
  unfolding subst-clause-add-mset eq lessc-def
  by blast
qed
qed

```

6.8 Substitution update

```

lemma lesst-subst-upd:
  fixes  $\gamma :: ('f, 'v) \text{ subst}$ 
  assumes
    update-is-ground:  $\text{term.is-ground update}$  and
    update-less:  $\text{update} \prec_t \gamma \text{ var}$  and
    term-grounding:  $\text{term.is-ground (term} \cdot t \gamma)$  and
    var:  $\text{var} \in \text{term.vars term}$ 
  shows  $\text{term} \cdot t \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t \gamma$ 
  using assms(3, 4)
proof(induction term)
case Var
  then show ?case
    using update-is-ground update-less
    by simp
next
case (Fun f terms)

  then have  $\forall \text{term} \in \text{set terms. term} \cdot t \gamma(\text{var} := \text{update}) \preceq_t \text{term} \cdot t \gamma$ 
    by (metis eval-with-fresh-var is-ground-iff reflclp-iff term.set-intros(4))

  moreover then have  $\exists \text{term} \in \text{set terms. term} \cdot t \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t \gamma$ 
    using Fun assms(2)
  by (metis (full-types) fun-upd-same term.distinct(1) term.sel(4) term.set-cases(2)

      term-order.dual-order.strict-iff-order term-subst-eq-rev)

  ultimately show ?case
    using Fun(2, 3)
  proof(induction filter  $(\lambda \text{term. term} \cdot t \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t \gamma)$  terms
    arbitrary: terms)

```

```

case Nil
then show ?case
  unfolding empty-filter-conv
  by blast
next
case first: (Cons t ts)

  have update-grounding [simp]: term.is-ground (t · t  $\gamma$ (var := update))
    using first.premis(3) update-is-ground first.hyps(2)
    by (metis (no-types, lifting) filter-eq-ConsD fun-upd-other fun-upd-same
in-set-conv-decomp
is-ground-iff term.set-intros(4))

  then have t-grounding [simp]: term.is-ground (t · t  $\gamma$ )
    using update-grounding Fun.premis(1,2)
    by (metis fun-upd-other is-ground-iff)

show ?case
proof(cases ts)
  case Nil
  then obtain ss1 ss2 where terms: terms = ss1 @ t # ss2
    using filter-eq-ConsD[OF first.hyps(2)[symmetric]]
    by blast

  have ss1:  $\forall$  term  $\in$  set ss1. term · t  $\gamma$ (var := update) = term · t  $\gamma$ 
    using first.hyps(2) first.premis(1)
    unfolding Nil terms
    by (smt (verit, del-insts) Un-iff append-Cons-eq-iff filter-empty-conv fil-
ter-eq-ConsD
set-append term-order.antisym-conv2)

  have ss2:  $\forall$  term  $\in$  set ss2. term · t  $\gamma$ (var := update) = term · t  $\gamma$ 
    using first.hyps(2) first.premis(1)
    unfolding Nil terms
    by (smt (verit, cfv-SIG) Un-iff append-Cons-eq-iff filter-empty-conv fil-
ter-eq-ConsD
list.set-intros(2) set-append term-order.antisym-conv2)

  let ?context = More f (map ( $\lambda$ term. (term · t  $\gamma$ )) ss1)  $\square$  (map ( $\lambda$ term. (term
· t  $\gamma$ )) ss2)

  have 1: term.is-ground (t · t  $\gamma$ )
    using terms first(5)
    by auto

  moreover then have term.is-ground (t · t  $\gamma$ (var := update))
    by (metis assms(1) fun-upd-other fun-upd-same is-ground-iff)

  moreover have context.is-ground ?context

```

```

using terms first(5)
by auto

moreover have  $t \cdot t \ \gamma(\text{var} := \text{update}) \prec_t t \cdot t \ \gamma$ 
using first.hyps(2)
by (meson Cons-eq-filterD)

ultimately have  $?context\langle t \cdot t \ \gamma(\text{var} := \text{update}) \rangle \prec_t ?context\langle t \cdot t \ \gamma \rangle$ 
using lesst-ground-context-compatible
by blast

moreover have  $\text{Fun } f \ \text{terms} \cdot t \ \gamma(\text{var} := \text{update}) = ?context\langle t \cdot t \ \gamma(\text{var} :=$ 
update)
unfolding terms
using ss1 ss2
by simp

moreover have  $\text{Fun } f \ \text{terms} \cdot t \ \gamma = ?context\langle t \cdot t \ \gamma \rangle$ 
unfolding terms
by auto

ultimately show ?thesis
by argo
next
case (Cons t' ts')

from first(2)
obtain ss1 ss2 where
  terms: terms = ss1 @ t # ss2 and
  ss1:  $\forall s \in \text{set } ss1. \neg s \cdot t \ \gamma(\text{var} := \text{update}) \prec_t s \cdot t \ \gamma$  and
  less:  $t \cdot t \ \gamma(\text{var} := \text{update}) \prec_t t \cdot t \ \gamma$  and
  ts: ts = filter ( $\lambda \text{term}. \text{term} \cdot t \ \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t \ \gamma$ ) ss2
using Cons-eq-filter-iff[of t ts ( $\lambda \text{term}. \text{term} \cdot t \ \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t$ 
 $\gamma$ )]
by blast

let ?terms' = ss1 @ (t · t γ(var := update)) # ss2

have [simp]:  $t \cdot t \ \gamma(\text{var} := \text{update}) \cdot t \ \gamma = t \cdot t \ \gamma(\text{var} := \text{update})$ 
using first.premis(3) update-is-ground
unfolding terms
by (simp add: is-ground-iff)

have [simp]:  $t \cdot t \ \gamma(\text{var} := \text{update}) \cdot t \ \gamma(\text{var} := \text{update}) = t \cdot t \ \gamma(\text{var} := \text{update})$ 
using first.premis(3) update-is-ground
unfolding terms
by (simp add: is-ground-iff)

have ts = filter ( $\lambda \text{term}. \text{term} \cdot t \ \gamma(\text{var} := \text{update}) \prec_t \text{term} \cdot t \ \gamma$ ) ?terms'

```

```

using ss1 ts
by auto

moreover have  $\forall term \in set \ ?terms'. term \cdot t \ \gamma(var := update) \preceq_t term \cdot t \ \gamma$ 
using first.premis(1)
unfolding terms
by simp

moreover have  $\exists term \in set \ ?terms'. term \cdot t \ \gamma(var := update) \prec_t term \cdot t \ \gamma$ 
using calculation(1) Cons neq-Nil-conv by force

moreover have terms'-grounding: term.is-ground (Fun f ?terms' .t  $\gamma$ )
using first.premis(3)
unfolding terms
by simp

moreover have var  $\in$  term.vars (Fun f ?terms')
by (metis calculation(3) eval-with-fresh-var term.set-intros(4) term-order.less-irrefl)

ultimately have less-terms': Fun f ?terms' .t  $\gamma(var := update) \prec_t Fun f$ 
?terms' .t  $\gamma$ 
using first.hyps(1) first.premis(3) by blast

have context-grounding: context.is-ground (More f ss1  $\square$  ss2 .tc  $\gamma$ )
using terms'-grounding
by auto

have Fun f (ss1 @ t .t  $\gamma(var := update) \# ss2) .t \ \gamma \prec_t Fun f terms .t \ \gamma$ 
unfolding terms
using lesst-ground-context-compatible[OF less - - context-grounding]
by simp

with less-terms' show ?thesis
unfolding terms
by auto
qed
qed
qed

lemma lessl-subst-upd:
fixes  $\gamma :: ('f, 'v) \ subst$ 
assumes
  update-is-ground: term.is-ground update and
  update-less: update  $\prec_t \ \gamma \ var$  and
  literal-grounding: literal.is-ground (literal .l  $\gamma$ ) and
  var: var  $\in$  literal.vars literal
shows literal .l  $\gamma(var := update) \prec_l literal .l \ \gamma$ 
proof -
note lesst-subst-upd = lesst-subst-upd[of -  $\gamma$ , OF update-is-ground update-less]

```

have *all-ground-terms*: $\forall term \in set-uprod (atm-of literal). term.is-ground (term \cdot t \gamma)$
using *assms*(\mathcal{I})
apply(*cases literal*)
by (*simp add: ground-term-in-ground-literal-subst*)+

then have

$\forall term \in set-uprod (atm-of literal).$
 $var \in term.vars term \longrightarrow term \cdot t \gamma(var := update) \prec_t term \cdot t \gamma$
using *less_t-subst-upd*
by *blast*

moreover have

$\forall term \in set-uprod (atm-of literal).$
 $var \notin term.vars term \longrightarrow term \cdot t \gamma(var := update) = term \cdot t \gamma$
by (*meson eval-with-fresh-var*)

ultimately have $\forall term \in set-uprod (atm-of literal). term \cdot t \gamma(var := update) \preceq_t term \cdot t \gamma$
by *blast*

moreover have $\exists term \in set-uprod (atm-of literal). term \cdot t \gamma(var := update) \prec_t term \cdot t \gamma$
using *update-less var less_t-subst-upd all-ground-terms*
unfolding *literal.vars-def atom.vars-def set-literal-atm-of*
by *blast*

ultimately show *?thesis*

using *less_t-less_t'*
by *blast*

qed

lemma *less_c-subst-upd*:

assumes

update-is-ground: *term.is-ground update* **and**
update-less: *update* $\prec_t \gamma$ *var* **and**
literal-grounding: *clause.is-ground (clause \cdot \gamma)* **and**
 $var: var \in clause.vars clause$

shows $clause \cdot \gamma(var := update) \prec_c clause \cdot \gamma$

proof –

note *less_l-subst-upd* = *less_l-subst-upd[of - \gamma, OF update-is-ground update-less]*

have *all-ground-literals*: $\forall literal \in\# clause. literal.is-ground (literal \cdot l \gamma)$
using *clause.to-set-is-ground-subst[OF - literal-grounding]* **by** *blast*

then have

$\forall literal \in\# clause.$
 $var \in literal.vars literal \longrightarrow literal \cdot l \gamma(var := update) \prec_l literal \cdot l \gamma$

```

using lessl-subst-upd
by blast

then have  $\forall \text{literal} \in \# \text{ clause. literal} \cdot l \ \gamma(\text{var} := \text{update}) \preceq_l \text{literal} \cdot l \ \gamma$ 
by fastforce

moreover have  $\exists \text{literal} \in \# \text{ clause. literal} \cdot l \ \gamma(\text{var} := \text{update}) \prec_l \text{literal} \cdot l \ \gamma$ 
using update-less var lessl-subst-upd all-ground-literals
unfolding clause.vars-def
by blast

ultimately show ?thesis
using lessl-lessc
by blast
qed

end

end

theory First-Order-Superposition
imports
  Saturation-Framework.Lifting-to-Non-Ground-Calculi
  Ground-Superposition
  First-Order-Select
  First-Order-Ordering
  First-Order-Type-System
begin

hide-type Inference-System.inference
hide-const
  Inference-System.Infer
  Inference-System.prem-of
  Inference-System.concl-of
  Inference-System.main-prem-of

hide-fact
  Restricted-Predicates.wfp-on-imp-minimal
  Restricted-Predicates.wfp-on-imp-inductive-on
  Restricted-Predicates.inductive-on-imp-wfp-on
  Restricted-Predicates.wfp-on-iff-inductive-on
  Restricted-Predicates.wfp-on-iff-minimal
  Restricted-Predicates.wfp-on-imp-has-min-elt
  Restricted-Predicates.wfp-on-induct
  Restricted-Predicates.wfp-on-UNIV
  Restricted-Predicates.wfp-less
  Restricted-Predicates.wfp-on-measure-on
  Restricted-Predicates.wfp-on-mono
  Restricted-Predicates.wfp-on-subset

```

Restricted-Predicates.wfp-on-restrict-to
Restricted-Predicates.wfp-on-imp-irreflp-on
Restricted-Predicates.accessible-on-imp-wfp-on
Restricted-Predicates.wfp-on-tranclp-imp-wfp-on
Restricted-Predicates.wfp-on-imp-accessible-on
Restricted-Predicates.wfp-on-accessible-on-iff
Restricted-Predicates.wfp-on-restrict-to-tranclp
Restricted-Predicates.wfp-on-restrict-to-tranclp'
Restricted-Predicates.wfp-on-restrict-to-tranclp-wfp-on-conv

7 First-Order Layer

locale *first-order-superposition-calculus* =
first-order-select select +
first-order-ordering less_t
for
select :: ('f, ('v :: infinite)) *select* **and**
less_t :: ('f, 'v) *term* ⇒ ('f, 'v) *term* ⇒ bool (**infix** <_t 50) +
fixes
tiebreakers :: 'f *gatom clause* ⇒ ('f, 'v) *atom clause* ⇒ ('f, 'v) *atom clause* ⇒
bool and
typeof-fun :: ('f, 'ty) *fun-types*
assumes
wellfounded-tiebreakers:
 $\bigwedge clause_G. wfp (tiebreakers clause_G) \wedge$
 $transp (tiebreakers clause_G) \wedge$
 $asymp (tiebreakers clause_G)$ **and**
function-symbols: $\bigwedge \tau. \exists f. typeof-fun f = ([], \tau)$ **and**
ground-critical-pair-theorem: $\bigwedge (R :: 'f\ gterm\ rel). ground-critical-pair-theorem$
R and
variables: $|UNIV :: 'ty\ set| \leq o |UNIV :: 'v\ set|$
begin
abbreviation *typed-tiebreakers* ::
'f gatom clause ⇒ ('f, 'v, 'ty) *typed-clause* ⇒ ('f, 'v, 'ty) *typed-clause* ⇒ bool
where
typed-tiebreakers clause_G clause₁ clause₂ ≡ *tiebreakers clause_G (fst clause₁) (fst clause₂)*
lemma *wellfounded-typed-tiebreakers*:
 $wfp (typed-tiebreakers clause_G) \wedge$
 $transp (typed-tiebreakers clause_G) \wedge$
 $asymp (typed-tiebreakers clause_G)$
proof(*intro conjI*)
show *wfp (typed-tiebreakers clause_G)*
using *wellfounded-tiebreakers*
by (*meson wfp-if-convertible-to-wfp*)

show *transp* (*typed-tiebreakers clause_G*)
using *wellfounded-tiebreakers*
by (*smt* (*verit*, *ccfv-threshold*) *transpD transpI*)

show *asympt* (*typed-tiebreakers clause_G*)
using *wellfounded-tiebreakers*
by (*meson asymptD asymptI*)

qed

definition *is-merged-var-type-env where*

is-merged-var-type-env $\mathcal{V} X \mathcal{V}_X \varrho_X Y \mathcal{V}_Y \varrho_Y \equiv$
 $(\forall x \in X. \text{welltyped typeof-fun } \mathcal{V} (\varrho_X x) (\mathcal{V}_X x)) \wedge$
 $(\forall y \in Y. \text{welltyped typeof-fun } \mathcal{V} (\varrho_Y y) (\mathcal{V}_Y y))$

inductive *eq-resolution* :: (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow
bool where

eq-resolutionI:

premise = *add-mset literal premise'* \Rightarrow
literal = *term !_≈ term'* \Rightarrow
term-subst.is-ingu μ $\{\{ \textit{term}, \textit{term}' \}\}$ \Rightarrow
welltyped-ingu' *typeof-fun* \mathcal{V} *term term' μ* \Rightarrow
select premise = $\{\#\}$ \wedge *is-maximal_l* (*literal* \cdot *l* μ) (*premise* \cdot μ) \vee
is-maximal_l (*literal* \cdot *l* μ) ((*select premise*) \cdot μ) \Rightarrow
conclusion = *premise' \cdot μ* \Rightarrow
eq-resolution (*premise*, \mathcal{V}) (*conclusion*, \mathcal{V})

inductive *eq-factoring* :: (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow
bool where

eq-factoringI:

premise = *add-mset literal₁ (add-mset literal₂ premise')* \Rightarrow
literal₁ = *term₁ \approx term₁'* \Rightarrow
literal₂ = *term₂ \approx term₂'* \Rightarrow
select premise = $\{\#\}$ \Rightarrow
is-maximal_l (*literal₁ \cdot l μ*) (*premise* \cdot μ) \Rightarrow
 \neg (*term₁ \cdot t μ \preceq_t term₁' \cdot t μ) \Rightarrow
term-subst.is-ingu μ $\{\{ \textit{term}_1, \textit{term}_2 \}\}$ \Rightarrow
welltyped-ingu' *typeof-fun* \mathcal{V} *term₁ term₂ μ* \Rightarrow
conclusion = *add-mset (term₁ \approx term₂') (add-mset (term₁' !_≈ term₂') premise')*
 \cdot μ \Rightarrow
eq-factoring (*premise*, \mathcal{V}) (*conclusion*, \mathcal{V})*

inductive *superposition* ::

(*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow (*'f*, *'v*, *'ty*) *typed-clause* \Rightarrow
bool

where

superpositionI:

term-subst.is-renaming ϱ_1 \Rightarrow
term-subst.is-renaming ϱ_2 \Rightarrow
clause.vars (*premise₁ \cdot ϱ_1*) \cap *clause.vars* (*premise₂ \cdot ϱ_2*) = $\{\}$ \Rightarrow

$$\begin{aligned}
& \text{premise}_1 = \text{add-mset literal}_1 \text{ premise}_1' \implies \\
& \text{premise}_2 = \text{add-mset literal}_2 \text{ premise}_2' \implies \\
& \mathcal{P} \in \{\text{Pos}, \text{Neg}\} \implies \\
& \text{literal}_1 = \mathcal{P} (\text{Upair context}_1 \langle \text{term}_1 \rangle \text{ term}_1') \implies \\
& \text{literal}_2 = \text{term}_2 \approx \text{term}_2' \implies \\
& \neg \text{is-Var term}_1 \implies \\
& \text{term-subst.is-ingu } \mu \{ \{ \text{term}_1 \cdot t \varrho_1, \text{term}_2 \cdot t \varrho_2 \} \} \implies \\
& \text{welltyped-ingu' typeof-fun } \mathcal{V}_3 (\text{term}_1 \cdot t \varrho_1) (\text{term}_2 \cdot t \varrho_2) \mu \implies \\
& \forall x \in \text{clause.vars} (\text{premise}_1 \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \forall x \in \text{clause.vars} (\text{premise}_2 \cdot \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x \implies \\
& \text{welltyped}_\sigma\text{-on} (\text{clause.vars premise}_1) \text{ typeof-fun } \mathcal{V}_1 \varrho_1 \implies \\
& \text{welltyped}_\sigma\text{-on} (\text{clause.vars premise}_2) \text{ typeof-fun } \mathcal{V}_2 \varrho_2 \implies \\
& (\wedge \tau \tau'. \text{has-type typeof-fun } \mathcal{V}_2 \text{ term}_2 \tau \implies \text{has-type typeof-fun } \mathcal{V}_2 \text{ term}_2' \tau' \\
\implies \tau = \tau') \implies \\
& \neg (\text{premise}_1 \cdot \varrho_1 \cdot \mu \preceq_c \text{premise}_2 \cdot \varrho_2 \cdot \mu) \implies \\
& (\mathcal{P} = \text{Pos} \\
& \quad \wedge \text{select premise}_1 = \{\#\} \\
& \quad \wedge \text{is-strictly-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) (\text{premise}_1 \cdot \varrho_1 \cdot \mu)) \vee \\
& (\mathcal{P} = \text{Neg} \\
& \quad \wedge (\text{select premise}_1 = \{\#\} \wedge \text{is-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) (\text{premise}_1 \cdot \varrho_1 \cdot \\
\mu) \\
& \quad \vee \text{is-maximal}_l (\text{literal}_1 \cdot l \varrho_1 \cdot l \mu) ((\text{select premise}_1) \cdot \varrho_1 \cdot \mu))) \implies \\
& \text{select premise}_2 = \{\#\} \implies \\
& \text{is-strictly-maximal}_l (\text{literal}_2 \cdot l \varrho_2 \cdot l \mu) (\text{premise}_2 \cdot \varrho_2 \cdot \mu) \implies \\
& \neg (\text{context}_1 \langle \text{term}_1 \rangle \cdot t \varrho_1 \cdot t \mu \preceq_t \text{term}_1' \cdot t \varrho_1 \cdot t \mu) \implies \\
& \neg (\text{term}_2 \cdot t \varrho_2 \cdot t \mu \preceq_t \text{term}_2' \cdot t \varrho_2 \cdot t \mu) \implies \\
& \text{conclusion} = \text{add-mset} (\mathcal{P} (\text{Upair} (\text{context}_1 \cdot t_c \varrho_1) \langle \text{term}_2' \cdot t \varrho_2 \rangle (\text{term}_1' \cdot t \varrho_1))) \\
& \\
& (\text{premise}_1' \cdot \varrho_1 + \text{premise}_2' \cdot \varrho_2) \cdot \mu \implies \\
& \text{all-types } \mathcal{V}_1 \implies \text{all-types } \mathcal{V}_2 \implies \\
& \text{superposition} (\text{premise}_2, \mathcal{V}_2) (\text{premise}_1, \mathcal{V}_1) (\text{conclusion}, \mathcal{V}_3)
\end{aligned}$$

abbreviation *eq-factoring-inferences* **where**

$$\begin{aligned}
& \text{eq-factoring-inferences} \equiv \\
& \{ \text{Infer} [\text{premise}] \text{ conclusion} \mid \text{premise conclusion. eq-factoring premise conclusion} \\
& \}
\end{aligned}$$

abbreviation *eq-resolution-inferences* **where**

$$\begin{aligned}
& \text{eq-resolution-inferences} \equiv \\
& \{ \text{Infer} [\text{premise}] \text{ conclusion} \mid \text{premise conclusion. eq-resolution premise conclu-} \\
& \text{ sion} \}
\end{aligned}$$

abbreviation *superposition-inferences* **where**

$$\begin{aligned}
& \text{superposition-inferences} \equiv \{ \text{Infer} [\text{premise}_2, \text{premise}_1] \text{ conclusion} \\
& \mid \text{premise}_2 \text{ premise}_1 \text{ conclusion. superposition premise}_2 \text{ premise}_1 \text{ conclusion} \}
\end{aligned}$$

definition *inferences* :: (*f*, *v*, *ty*) *typed-clause inference set* **where**

$$\text{inferences} \equiv \text{superposition-inferences} \cup \text{eq-resolution-inferences} \cup \text{eq-factoring-inferences}$$

abbreviation $bottom_F :: ('f, 'v, 'ty) \text{ typed-clause set } (\perp_F) \text{ where}$
 $bottom_F \equiv \{(\{\#\}, \mathcal{V}) \mid \mathcal{V}. \text{ all-types } \mathcal{V}\}$

7.0.1 Alternative Specification of the Superposition Rule

inductive $pos\text{-}superposition ::$

$('f, 'v, 'ty) \text{ typed-clause} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow$
 $bool$

where

$pos\text{-}superpositionI:$
 $term\text{-}subst.is\text{-}renaming \varrho_1 \Longrightarrow$
 $term\text{-}subst.is\text{-}renaming \varrho_2 \Longrightarrow$
 $clause.vars (P_1 \cdot \varrho_1) \cap clause.vars (P_2 \cdot \varrho_2) = \{\} \Longrightarrow$
 $P_1 = add\text{-}mset L_1 P_1' \Longrightarrow$
 $P_2 = add\text{-}mset L_2 P_2' \Longrightarrow$
 $L_1 = s_1 \langle u_1 \rangle \approx s_1' \Longrightarrow$
 $L_2 = t_2 \approx t_2' \Longrightarrow$
 $\neg is\text{-}Var u_1 \Longrightarrow$
 $term\text{-}subst.is\text{-}imgu \mu \{\{u_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\} \Longrightarrow$
 $wel\text{-}typed\text{-}imgu' \text{ typeof-fun } \mathcal{V}_3 (u_1 \cdot t \varrho_1) (t_2 \cdot t \varrho_2) \mu \Longrightarrow$
 $\forall x \in clause.vars (P_1 \cdot \varrho_1). \mathcal{V}_1 (the\text{-}inv \varrho_1 (Var x)) = \mathcal{V}_3 x \Longrightarrow$
 $\forall x \in clause.vars (P_2 \cdot \varrho_2). \mathcal{V}_2 (the\text{-}inv \varrho_2 (Var x)) = \mathcal{V}_3 x \Longrightarrow$
 $wel\text{-}typed_\sigma\text{-}on (clause.vars P_1) \text{ typeof-fun } \mathcal{V}_1 \varrho_1 \Longrightarrow$
 $wel\text{-}typed_\sigma\text{-}on (clause.vars P_2) \text{ typeof-fun } \mathcal{V}_2 \varrho_2 \Longrightarrow$
 $(\bigwedge \tau \tau'. has\text{-}type \text{ typeof-fun } \mathcal{V}_2 t_2 \tau \Longrightarrow has\text{-}type \text{ typeof-fun } \mathcal{V}_2 t_2' \tau' \Longrightarrow \tau =$
 $\tau') \Longrightarrow$
 $\neg (P_1 \cdot \varrho_1 \cdot \mu \preceq_c P_2 \cdot \varrho_2 \cdot \mu) \Longrightarrow$
 $select P_1 = \{\#\} \Longrightarrow$
 $is\text{-}strictly\text{-}maximal_l (L_1 \cdot l \varrho_1 \cdot l \mu) (P_1 \cdot \varrho_1 \cdot \mu) \Longrightarrow$
 $select P_2 = \{\#\} \Longrightarrow$
 $is\text{-}strictly\text{-}maximal_l (L_2 \cdot l \varrho_2 \cdot l \mu) (P_2 \cdot \varrho_2 \cdot \mu) \Longrightarrow$
 $\neg (s_1 \langle u_1 \rangle \cdot t \varrho_1 \cdot t \mu \preceq_t s_1' \cdot t \varrho_1 \cdot t \mu) \Longrightarrow$
 $\neg (t_2 \cdot t \varrho_2 \cdot t \mu \preceq_t t_2' \cdot t \varrho_2 \cdot t \mu) \Longrightarrow$
 $C = add\text{-}mset ((s_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle \approx (s_1' \cdot t \varrho_1)) (P_1' \cdot \varrho_1 + P_2' \cdot \varrho_2) \cdot \mu \Longrightarrow$
 $all\text{-}types \mathcal{V}_1 \Longrightarrow all\text{-}types \mathcal{V}_2 \Longrightarrow$
 $pos\text{-}superposition (P_2, \mathcal{V}_2) (P_1, \mathcal{V}_1) (C, \mathcal{V}_3)$

lemma $superposition\text{-}if\text{-}pos\text{-}superposition:$

assumes $pos\text{-}superposition P_2 P_1 C$

shows $superposition P_2 P_1 C$

using $assms$

proof ($cases \text{ rule: } pos\text{-}superposition.cases$)

case ($pos\text{-}superpositionI \varrho_1 \varrho_2 P_1 P_2 L_1 P_1' L_2 P_2' s_1 u_1 s_1' t_2 t_2' \mu \mathcal{V}_3 \mathcal{V}_1 \mathcal{V}_2$
 C)

then show $?thesis$

using $superpositionI[of \varrho_1 \varrho_2 P_1 P_2]$

by $blast$

qed

inductive *neg-superposition* ::

$(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow $(f, 'v, 'ty)$ typed-clause \Rightarrow bool

where

neg-superpositionI:

term-subst.is-renaming $\varrho_1 \Rightarrow$

term-subst.is-renaming $\varrho_2 \Rightarrow$

clause.vars $(P_1 \cdot \varrho_1) \cap$ *clause.vars* $(P_2 \cdot \varrho_2) = \{\} \Rightarrow$

$P_1 = \text{add-mset } L_1 P_1' \Rightarrow$

$P_2 = \text{add-mset } L_2 P_2' \Rightarrow$

$L_1 = s_1 \langle u_1 \rangle !\approx s_1' \Rightarrow$

$L_2 = t_2 \approx t_2' \Rightarrow$

\neg *is-Var* $u_1 \Rightarrow$

term-subst.is-ingu $\mu \{\{u_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\} \Rightarrow$

welltyped-ingu' *typeof-fun* $\mathcal{V}_3 (u_1 \cdot t \varrho_1) (t_2 \cdot t \varrho_2) \mu \Rightarrow$

$\forall x \in$ *clause.vars* $(P_1 \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x \Rightarrow$

$\forall x \in$ *clause.vars* $(P_2 \cdot \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x \Rightarrow$

welltyped $_{\sigma}$ -*on* $(\text{clause.vars } P_1)$ *typeof-fun* $\mathcal{V}_1 \varrho_1 \Rightarrow$

welltyped $_{\sigma}$ -*on* $(\text{clause.vars } P_2)$ *typeof-fun* $\mathcal{V}_2 \varrho_2 \Rightarrow$

$(\bigwedge \tau \tau'. \text{has-type } \text{typeof-fun } \mathcal{V}_2 t_2 \tau \Rightarrow \text{has-type } \text{typeof-fun } \mathcal{V}_2 t_2' \tau' \Rightarrow \tau = \tau') \Rightarrow$

$\neg (P_1 \cdot \varrho_1 \cdot \mu \preceq_c P_2 \cdot \varrho_2 \cdot \mu) \Rightarrow$

select $P_1 = \{\#\} \wedge$

is-maximal_l $(L_1 \cdot l \varrho_1 \cdot l \mu) (P_1 \cdot \varrho_1 \cdot \mu) \vee$ *is-maximal_l* $(L_1 \cdot l \varrho_1 \cdot l \mu) ((\text{select } P_1) \cdot \varrho_1 \cdot \mu) \Rightarrow$

select $P_2 = \{\#\} \Rightarrow$

is-strictly-maximal_l $(L_2 \cdot l \varrho_2 \cdot l \mu) (P_2 \cdot \varrho_2 \cdot \mu) \Rightarrow$

$\neg (s_1 \langle u_1 \rangle \cdot t \varrho_1 \cdot t \mu \preceq_t s_1' \cdot t \varrho_1 \cdot t \mu) \Rightarrow$

$\neg (t_2 \cdot t \varrho_2 \cdot t \mu \preceq_t t_2' \cdot t \varrho_2 \cdot t \mu) \Rightarrow$

$C = \text{add-mset } (\text{Neg } (\text{Upair } (s_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (s_1' \cdot t \varrho_1))) (P_1' \cdot \varrho_1 + P_2' \cdot \varrho_2) \cdot \mu \Rightarrow$

all-types $\mathcal{V}_1 \Rightarrow$ *all-types* $\mathcal{V}_2 \Rightarrow$

neg-superposition $(P_2, \mathcal{V}_2) (P_1, \mathcal{V}_1) (C, \mathcal{V}_3)$

lemma *superposition-if-neg-superposition*:

assumes *neg-superposition* $P_2 P_1 C$

shows *superposition* $P_2 P_1 C$

using *assms*

proof (*cases* $P_2 P_1 C$ *rule*: *neg-superposition.cases*)

case (*neg-superpositionI* $\varrho_1 \varrho_2 P_1 L_1 P_1' P_2 L_2 P_2' s_1 u_1 s_1' t_2 t_2' \mu \mathcal{V}_3 \mathcal{V}_1 \mathcal{V}_2 C$)

then show *?thesis*

using *superpositionI*[*of* $\varrho_1 \varrho_2 P_1 L_1 P_1' P_2 L_2 P_2'$]

by *blast*

qed

lemma *superposition-iff-pos-or-neg*:

superposition $P_2 P_1 C \longleftrightarrow$ *pos-superposition* $P_2 P_1 C \vee$ *neg-superposition* $P_2 P_1 C$

proof (*rule iffI*)
assume *superposition* $P_2 P_1 C$
thus *pos-superposition* $P_2 P_1 C \vee$ *neg-superposition* $P_2 P_1 C$
proof (*cases* $P_2 P_1 C$ *rule: superposition.cases*)
case (*superpositionI* $\varrho_1 \varrho_2$ *premise*₁ *premise*₂ *literal*₁ *premise*₁' *literal*₂ *premise*₂'
 \mathcal{P} *context*₁
 $term_1 term_1' term_2 term_2' \mu$)
then show *?thesis*
using
 $pos\text{-}superpositionI$ [*of* $\varrho_1 \varrho_2$ *premise*₁ *premise*₂ *literal*₁ *premise*₁' *literal*₂
*premise*₂' *context*₁
 $term_1 term_1' term_2 term_2' \mu$]
 $neg\text{-}superpositionI$ [*of* $\varrho_1 \varrho_2$ *premise*₁ *premise*₂ *literal*₁ *premise*₁' *literal*₂
*premise*₂' *context*₁
 $term_1 term_1' term_2 term_2' \mu$]
by *blast*
qed
next
assume *pos-superposition* $P_2 P_1 C \vee$ *neg-superposition* $P_2 P_1 C$
thus *superposition* $P_2 P_1 C$
using *superposition-if-neg-superposition superposition-if-pos-superposition* **by**
metis
qed

lemma *eq-resolution-preserves-typing*:
assumes
 $step$: *eq-resolution* $(D, \mathcal{V}) (C, \mathcal{V})$ **and**
 $wt\text{-}D$: *welltyped_c typeof-fun* $\mathcal{V} D$
shows *welltyped_c typeof-fun* $\mathcal{V} C$
using $step$
proof (*cases* $(D, \mathcal{V}) (C, \mathcal{V})$ *rule: eq-resolution.cases*)
case (*eq-resolutionI* *literal* *premise*' *term* *term*' μ)
obtain τ **where** τ :
 $welltyped$ *typeof-fun* $\mathcal{V} term \tau$
 $welltyped$ *typeof-fun* $\mathcal{V} term' \tau$
using $wt\text{-}D$
unfolding
 $eq\text{-}resolutionI$
 $welltyped_c\text{-}add\text{-}mset$
 $welltyped_l\text{-}def$
 $welltyped_a\text{-}def$
by *clause-simp*

then have *welltyped_c typeof-fun* $\mathcal{V} (D \cdot \mu)$
using $wt\text{-}D$ $welltyped_\sigma\text{-}welltyped_c$ $eq\text{-}resolutionI(4)$
by *blast*

then show *?thesis*
unfolding $eq\text{-}resolutionI$ *subst-clause-add-mset welltyped_c-add-mset*

by *clause-simp*
 qed

lemma *has-type-welltyped*:
 assumes *has-type typeof-fun* \mathcal{V} *term* τ *welltyped typeof-fun* \mathcal{V} *term* τ'
 shows *welltyped typeof-fun* \mathcal{V} *term* τ
 using *assms*
 by (*smt (verit, best) welltyped.simps has-type.simps has-type-right-unique right-uniqueD*)

lemma *welltyped-has-type*:
 assumes *welltyped typeof-fun* \mathcal{V} *term* τ
 shows *has-type typeof-fun* \mathcal{V} *term* τ
 using *assms welltyped.cases has-type.simps* by *fastforce*

lemma *eq-factoring-preserves-typing*:
 assumes
 step: eq-factoring (D, \mathcal{V}) (C, \mathcal{V}) and
 wt-D: welltyped_c typeof-fun \mathcal{V} D
 shows *welltyped_c typeof-fun* \mathcal{V} C
 using *step*
proof (*cases* (D, \mathcal{V}) (C, \mathcal{V}) *rule: eq-factoring.cases*)
 case (*eq-factoringI literal₁ literal₂ premise' term₁ term₁' term₂ term₂' μ*)

have *wt-D μ : welltyped_c typeof-fun* \mathcal{V} $(D \cdot \mu)$
 using *wt-D welltyped _{σ} -welltyped_c eq-factoringI*
 by *blast*

show *?thesis*

proof –

have $\bigwedge_{\tau} \tau'$.

$\llbracket \forall L \in \# \text{premise}' \cdot \mu.$

$\exists \tau. \forall t \in \text{set-uprod (atm-of } L). \text{First-Order-Type-System.welltyped typeof-fun}$

$\mathcal{V} \ t \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_1 \cdot t \ \mu) \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_1' \cdot t \ \mu) \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_2 \cdot t \ \mu) \ \tau';$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_2' \cdot t \ \mu) \ \tau \rrbracket$

$\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_1 \cdot t \ \mu) \ \tau \wedge$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_2' \cdot t \ \mu) \ \tau$

by (*metis welltyped-right-unique eq-factoringI(8) right-uniqueD welltyped _{σ} -welltyped*)

moreover have $\bigwedge_{\tau} \tau'$.

$\llbracket \forall L \in \# \text{premise}' \cdot \mu.$

$\exists \tau. \forall t \in \text{set-uprod (atm-of } L). \text{First-Order-Type-System.welltyped typeof-fun}$

$\mathcal{V} \ t \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_1 \cdot t \ \mu) \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_1' \cdot t \ \mu) \ \tau;$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_2 \cdot t \ \mu) \ \tau';$

$\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \ (\text{term}_2' \cdot t \ \mu) \ \tau \rrbracket$

$\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} (\text{term}_1' \cdot t \mu) \tau \wedge$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} (\text{term}_2' \cdot t \mu) \tau$
by (*metis welltyped-right-unique eq-factoringI(8) right-uniqueD welltyped $_{\sigma}$ -welltyped*)

ultimately show *?thesis*

using *wt-D μ*

unfolding *welltyped $_c$ -def welltyped $_l$ -def welltyped $_a$ -def eq-factoringI subst-clause-add-mset*

subst-literal subst-atom

by *auto*

qed

qed

lemma *superposition-preserves-typing:*

assumes

step: superposition (D, \mathcal{V}_2) (C, \mathcal{V}_1) (E, \mathcal{V}_3) and

wt-C: welltyped $_c$ typeof-fun \mathcal{V}_1 C and

wt-D: welltyped $_c$ typeof-fun \mathcal{V}_2 D

shows *welltyped $_c$ typeof-fun \mathcal{V}_3 E*

using *step*

proof (*cases (D, \mathcal{V}_2) (C, \mathcal{V}_1) (E, \mathcal{V}_3) rule: superposition.cases*)

case (*superpositionI ϱ_1 ϱ_2 literal $_1$ premise $_1'$ literal $_2$ premise $_2'$ \mathcal{P} context $_1$ term $_1$*

term $_1'$ term $_2$

term $_2'$ μ)

have *welltyped- μ : welltyped $_{\sigma}$ typeof-fun \mathcal{V}_3 μ*

using *superpositionI(11)*

by *blast*

have *welltyped $_c$ typeof-fun \mathcal{V}_3 (C \cdot ϱ_1)*

using *wt-C welltyped $_c$ -renaming-weaker[OF superpositionI(1, 12)]*

by *blast*

then have *wt-C μ : welltyped $_c$ typeof-fun \mathcal{V}_3 (C \cdot ϱ_1 \cdot μ)*

using *welltyped $_{\sigma}$ -welltyped $_c$ [OF welltyped- μ]*

by *blast*

have *welltyped $_c$ typeof-fun \mathcal{V}_3 (D \cdot ϱ_2)*

using *wt-D welltyped $_c$ -renaming-weaker[OF superpositionI(2, 13)]*

by *blast*

then have *wt-D μ : welltyped $_c$ typeof-fun \mathcal{V}_3 (D \cdot ϱ_2 \cdot μ)*

using *welltyped $_{\sigma}$ -welltyped $_c$ [OF welltyped- μ]*

by *blast*

note *imgu = term-subst.subst-imgu-eq-subst-imgu[OF superpositionI(10)]*

show *?thesis*

using *literal-cases[OF superpositionI(6)] wt-C μ wt-D μ*

```

    by cases (clause-simp simp: superpositionI imgu)
qed

end

end

theory Grounded-First-Order-Superposition
  imports
    First-Order-Superposition
    Ground-Superposition-Completeness
begin

context ground-superposition-calculus
begin

abbreviation eq-resolution-inferences where
  eq-resolution-inferences  $\equiv$  {Infer [P] C | P C. ground-eq-resolution P C}

abbreviation eq-factoring-inferences where
  eq-factoring-inferences  $\equiv$  {Infer [P] C | P C. ground-eq-factoring P C}

abbreviation superposition-inferences where
  superposition-inferences  $\equiv$  {Infer [P2, P1] C | P1 P2 C. ground-superposition P2 P1 C}

end

locale grounded-first-order-superposition-calculus =
  first-order-superposition-calculus select - - typeof-fun +
  grounded-first-order-select select
for
  select :: ('f, 'v :: infinite) select and
  typeof-fun :: ('f, 'ty) fun-types
begin

sublocale ground: ground-superposition-calculus where
  less-trm = ( $\prec_{tG}$ ) and select = selectG
  by unfold-locale (rule ground-critical-pair-theorem)

definition is-inference-grounding where
  is-inference-grounding  $\iota \iota_G \gamma \varrho_1 \varrho_2 \equiv$ 
  (case  $\iota$  of
    Infer [(premise,  $\mathcal{V}'$ )] (conclusion,  $\mathcal{V}$ )  $\Rightarrow$ 
      term-subst.is-ground-subst  $\gamma$ 
     $\wedge \iota_G =$  Infer [clause.to-ground (premise  $\cdot \gamma$ )] (clause.to-ground (conclusion
     $\cdot \gamma$ ))
     $\wedge$  welltypedc typeof-fun  $\mathcal{V}$  premise
     $\wedge$  welltyped\sigma-on (clause.vars conclusion) typeof-fun  $\mathcal{V}$   $\gamma$ 
     $\wedge$  welltypedc typeof-fun  $\mathcal{V}$  conclusion
  )

```

```

    ∧  $\mathcal{V} = \mathcal{V}'$ 
    ∧ all-types  $\mathcal{V}$ 
  | Infer [(premise2,  $\mathcal{V}_2$ ), (premise1,  $\mathcal{V}_1$ )] (conclusion,  $\mathcal{V}_3$ ) ⇒
    term-subst.is-renaming  $\varrho_1$ 
    ∧ term-subst.is-renaming  $\varrho_2$ 
    ∧ clause.vars (premise1 ·  $\varrho_1$ ) ∩ clause.vars (premise2 ·  $\varrho_2$ ) = {}
    ∧ term-subst.is-ground-subst  $\gamma$ 
    ∧  $\iota_G =$ 
      Infer
        [clause.to-ground (premise2 ·  $\varrho_2$  ·  $\gamma$ ), clause.to-ground (premise1 ·  $\varrho_1$  ·
 $\gamma$ )]
        (clause.to-ground (conclusion ·  $\gamma$ ))
    ∧ welltypedc typeof-fun  $\mathcal{V}_1$  premise1
    ∧ welltypedc typeof-fun  $\mathcal{V}_2$  premise2
    ∧ welltyped $\sigma$ -on (clause.vars conclusion) typeof-fun  $\mathcal{V}_3$   $\gamma$ 
    ∧ welltypedc typeof-fun  $\mathcal{V}_3$  conclusion
    ∧ all-types  $\mathcal{V}_1$  ∧ all-types  $\mathcal{V}_2$  ∧ all-types  $\mathcal{V}_3$ 
  | - ⇒ False
)
∧  $\iota_G \in \text{ground.G-Inf}$ 

```

definition *inference-groundings where*

inference-groundings $\iota = \{ \iota_G \mid \iota_G \gamma \varrho_1 \varrho_2. \text{is-inference-grounding } \iota \iota_G \gamma \varrho_1 \varrho_2 \}$

lemma *is-inference-grounding-inference-groundings:*

is-inference-grounding $\iota \iota_G \gamma \varrho_1 \varrho_2 \implies \iota_G \in \text{inference-groundings } \iota$

unfolding *inference-groundings-def*

by *blast*

lemma *inference_G-concl-in-clause-grounding:*

assumes $\iota_G \in \text{inference-groundings } \iota$

shows *concl-of* $\iota_G \in \text{clause-groundings typeof-fun (concl-of } \iota)$

proof –

obtain *premises_G conclusion_G where*

$\iota_G: \iota_G = \text{Infer premises}_G \text{ conclusion}_G$

using *Calculus.inference.exhaust by blast*

obtain *premises conclusion* \mathcal{V} **where**

$\iota: \iota = \text{Infer premises (conclusion, } \mathcal{V})$

using *Calculus.inference.exhaust*

by (*metis prod.collapse*)

obtain γ **where**

clause.is-ground (*conclusion* · γ)

conclusion_G = clause.to-ground (*conclusion* · γ)

welltyped_c typeof-fun \mathcal{V} *conclusion* ∧ *welltyped _{σ -on}* (*clause.vars conclusion*)

typeof-fun \mathcal{V} γ ∧

term-subst.is-ground-subst γ ∧ *all-types* \mathcal{V}

proof –


```

have  $\bigwedge \gamma \varrho_1 \varrho_2$ .
   $\llbracket \bigwedge \gamma$ .  $\llbracket \text{clause.vars } (\text{conclusion} \cdot \gamma) = \{\}; \text{conclusion}_G = \text{clause.to-ground}$ 
   $(\text{conclusion} \cdot \gamma)$ ;
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V} \text{ conclusion} \wedge$ 
     $\text{welltyped}_\sigma\text{-on } (\text{clause.vars conclusion}) \text{ typeof-fun } \mathcal{V} \gamma \wedge$ 
     $\text{term-subst.is-ground-subst } \gamma \wedge \text{all-types } \mathcal{V} \rrbracket$ 
     $\implies \text{thesis}$ ;
   $\text{Infer premises}_G \text{ conclusion}_G \in \text{ground.G-Inf}$ ;
  case  $\text{premises of } \square \implies \text{False}$ 
  |  $\llbracket (\text{premise}, \mathcal{V}') \rrbracket \implies$ 
     $\text{term-subst.is-ground-subst } \gamma \wedge$ 
     $\text{Infer premises}_G \text{ conclusion}_G =$ 
     $\text{Infer } [\text{clause.to-ground } (\text{premise} \cdot \gamma)] (\text{clause.to-ground } (\text{conclusion} \cdot \gamma))$ 
 $\wedge$ 
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V} \text{ premise} \wedge$ 
     $\text{welltyped}_\sigma\text{-on } (\text{clause.vars conclusion}) \text{ typeof-fun } \mathcal{V} \gamma \wedge$ 
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V} \text{ conclusion} \wedge \mathcal{V} = \mathcal{V}' \wedge$ 
   $\text{all-types } \mathcal{V}$ 
  |  $\llbracket (\text{premise}, \mathcal{V}'), (\text{premise}_1, \mathcal{V}_1) \rrbracket \implies$ 
     $\text{clause.is-renaming } \varrho_1 \wedge$ 
     $\text{clause.is-renaming } \varrho_2 \wedge$ 
     $\text{clause.vars } (\text{premise}_1 \cdot \varrho_1) \cap \text{clause.vars } (\text{premise} \cdot \varrho_2) = \{\} \wedge$ 
     $\text{term-subst.is-ground-subst } \gamma \wedge$ 
     $\text{Infer premises}_G \text{ conclusion}_G =$ 
     $\text{Infer } [\text{clause.to-ground } (\text{premise} \cdot \varrho_2 \cdot \gamma), \text{clause.to-ground } (\text{premise}_1 \cdot$ 
   $\varrho_1 \cdot \gamma)]$ 
     $(\text{clause.to-ground } (\text{conclusion} \cdot \gamma)) \wedge$ 
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V}_1 \text{ premise}_1 \wedge$ 
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V}' \text{ premise} \wedge$ 
     $\text{welltyped}_\sigma\text{-on } (\text{clause.vars conclusion}) \text{ typeof-fun } \mathcal{V} \gamma \wedge$ 
     $\text{First-Order-Type-System.welltyped}_c \text{ typeof-fun } \mathcal{V} \text{ conclusion} \wedge$ 
     $\text{all-types } \mathcal{V}_1 \wedge \text{all-types } \mathcal{V}' \wedge \text{all-types } \mathcal{V}$ 
  |  $(\text{premise}, \mathcal{V}') \# (\text{premise}_1, \mathcal{V}_1) \# a \# \text{lista} \implies \text{False} \rrbracket$ 
   $\implies \text{thesis}$ 
by  $(\text{auto simp: clause.is-ground-subst-is-ground split: list.splits})$ 
   $(\text{metis list-4-cases prod.exhaust-sel})$ 

then show  $?thesis$ 
using  $\text{that assms}$ 
unfolding  $\text{inference-groundings-def } \iota \iota_G \text{ Calculus.inference.case}$ 
by  $(\text{auto simp: is-inference-grounding-def})$ 
qed

then show  $?thesis$ 
unfolding  $\iota \iota_G \text{ clause-groundings-def}$ 
by  $\text{auto}$ 
qed

lemma  $\text{inference}_G\text{-red-in-clause-grounding-of-concl}$ :

```

assumes $\iota_G \in \text{inference-groundings } \iota$
shows $\iota_G \in \text{ground.Red-I (clause-groundings typeof-fun (concl-of } \iota))$

proof –

from *assms* **have** $\iota_G \in \text{ground.G-Inf}$
unfolding *inference-groundings-def is-inference-grounding-def*
by *blast*

moreover have $\text{concl-of } \iota_G \in \text{clause-groundings typeof-fun (concl-of } \iota)$
using *assms inference_G-concl-in-clause-grounding*
by *auto*

ultimately show $\iota_G \in \text{ground.Red-I (clause-groundings typeof-fun (concl-of } \iota))$
using *ground.Red-I-of-Inf-to-N*
by *blast*

qed

lemma *obtain-welltyped-ground-subst:*

obtains $\gamma :: ('f, 'v) \text{ subst}$ **and** $\mathcal{F}_G :: ('f, 'ty) \text{ fun-types}$
where *welltyped_σ typeof-fun \mathcal{V} γ term-subst.is-ground-subst γ*

proof –

define $\gamma :: ('f, 'v) \text{ subst}$ **where**
 $\bigwedge x. \gamma x \equiv \text{Fun (SOME } f. \text{ typeof-fun } f = ([], \mathcal{V} x)) []$

moreover have *welltyped_σ typeof-fun \mathcal{V} γ*

proof –

have $\bigwedge x. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}$
 $(\text{Fun (SOME } f. \text{ typeof-fun } f = ([], \mathcal{V} x)) []) (\mathcal{V} x)$
by *(meson function-symbols list-all2-Nil someI-ex welltyped.Fun)*

then show *?thesis*

unfolding *welltyped_σ-def γ -def*
by *auto*

qed

moreover have *term-subst.is-ground-subst γ*

unfolding *term-subst.is-ground-subst-def γ -def*

by *(smt (verit) Nil-is-map-conv equals0D eval-term.simps(2) is-ground-iff is-ground-trm-iff-ident-forall-subst)*

ultimately show *?thesis*

using *that*
by *blast*

qed

lemma *welltyped_σ-on-empty: welltyped_σ-on $\{\}$ \mathcal{F} \mathcal{V} σ*

unfolding *welltyped_σ-on-def*

by *simp*

```

sublocale lifting:
  tiebreaker-lifting
     $\perp_F$ 
    inferences
    ground.G-Bot
    ground.G-entails
    ground.G-Inf
    ground.GRed-I
    ground.GRed-F
    clause-groundings typeof-fun
    (Some  $\circ$  inference-groundings)
    typed-tiebreakers
proof unfold-locales
  show  $\perp_F \neq \{\}$ 
    using all-types [OF variables]
    by blast
next
  fix bottom
  assume  $bottom \in \perp_F$ 

  then show clause-groundings typeof-fun bottom  $\neq \{\}$ 
    unfolding clause-groundings-def
    using welltyped $_{\sigma}$ -Var
  proof –
    have  $\exists f. \text{welltyped}_{\sigma}\text{-on } (clause.vars \{\#\}) \text{ typeof-fun } (snd \text{ bottom}) f \wedge$ 
       $First\text{-Order-Type-System.welltyped}_c \text{ typeof-fun } (snd \text{ bottom}) \{\#\} \wedge$ 
       $term\text{-subst.is-ground-subst } f$ 
    by (metis First-Order-Type-System.welltyped $_c$ -def empty-clause-is-ground
      ex-in-conv
      set-mset-eq-empty-iff term.obtain-ground-subst welltyped $_{\sigma}$ -on-empty)

    then show  $\{clause.to-ground (fst \text{ bottom} \cdot f) \mid f. term\text{-subst.is-ground-subst } f$ 
       $\wedge First\text{-Order-Type-System.welltyped}_c \text{ typeof-fun } (snd \text{ bottom}) (fst \text{ bottom})$ 
       $\wedge \text{welltyped}_{\sigma}\text{-on } (clause.vars (fst \text{ bottom})) \text{ typeof-fun } (snd \text{ bottom}) f$ 
       $\wedge \text{all-types } (snd \text{ bottom})\} \neq \{\}$ 
    using  $\langle bottom \in \perp_F \rangle$  by force
  qed
next
  fix bottom
  assume  $bottom \in \perp_F$ 
  then show clause-groundings typeof-fun bottom  $\subseteq \text{ground.G-Bot}$ 
    unfolding clause-groundings-def
    by clause-auto
next
  fix clause
  show clause-groundings typeof-fun clause  $\cap \text{ground.G-Bot} \neq \{\} \longrightarrow clause \in \perp_F$ 
    unfolding clause-groundings-def clause.to-ground-def clause.subst-def
    by (smt (verit) disjoint-insert(1) image-mset-is-empty-iff inf-bot-right mem-Collect-eq

```

```

      prod.exhaust-sel)
next
  fix  $\iota :: ('f, 'v, 'ty)$  typed-clause inference

  show the  $((Some \circ inference-groundings) \iota) \subseteq$ 
    ground.GRed-I (clause-groundings typeof-fun (concl-of  $\iota$ ))
  using inferenceG-red-in-clause-grounding-of-concl
  by auto
next
  show  $\bigwedge clause_G. po-on$  (typed-tiebreakers clauseG) UNIV
  unfolding po-on-def
  using wellfounded-typed-tiebreakers
  by simp
next
  show  $\bigwedge clause_G. Restricted-Predicates.wfp-on$  (typed-tiebreakers clauseG) UNIV
  using wellfounded-typed-tiebreakers
  by simp
qed

end

sublocale first-order-superposition-calculus  $\subseteq$ 
  lifting-intersection
  inferences
  {{#}}
  selectGs
  ground-superposition-calculus.G-Inf ( $\prec_t$ G)
   $\lambda-. ground-superposition-calculus.G-entails$ 
  ground-superposition-calculus.GRed-I ( $\prec_t$ G)
   $\lambda-. ground-superposition-calculus.GRed-F$  ( $\prec_t$ G)
   $\perp_F$ 
   $\lambda-. clause-groundings$  typeof-fun
   $\lambda select_G. Some \circ$ 
    (grounded-first-order-superposition-calculus.inference-groundings ( $\prec_t$ ) selectG
  typeof-fun)
  typed-tiebreakers
proof(unfold-locales; (intro ballI)?)
  show selectGs  $\neq \{\}$ 
  using selectG-simple
  unfolding selectGs-def
  by blast
next
  fix selectG
  assume selectG  $\in$  selectGs

  then interpret grounded-first-order-superposition-calculus
  where selectG = selectG
  apply unfold-locales

```

```

    by(simp add: selectGs-def)

  show consequence-relation ground.G-Bot ground.G-entails
    using ground.consequence-relation-axioms.
next
  fix selectG
  assume selectG ∈ selectGs

  then interpret grounded-first-order-superposition-calculus
    where selectG = selectG
    by unfold-locales (simp add: selectGs-def)

  show tiebreaker-lifting
     $\perp_F$ 
    inferences
    ground.G-Bot
    ground.G-entails
    ground.G-Inf
    ground.GRed-I
    ground.GRed-F
    (clause-groundings typeof-fun)
    (Some ∘ inference-groundings)
    typed-tiebreakers
  by unfold-locales
qed

end
theory First-Order-Superposition-Completeness
  imports
    Ground-Superposition-Completeness
    Grounded-First-Order-Superposition
    HOL-ex.Sketch-and-Explore
begin

lemma welltypedσ-on-term:
  assumes welltypedσ-on (term.vars term)  $\mathcal{F} \mathcal{V} \gamma$ 
  shows welltyped  $\mathcal{F} \mathcal{V} term \tau \longleftrightarrow welltyped \mathcal{F} \mathcal{V} (term \cdot t \gamma) \tau$ 
  by (simp add: asms welltypedσ-on-welltyped)

context grounded-first-order-superposition-calculus
begin

lemma eq-resolution-lifting:
  fixes
    premiseG conclusionG :: 'f gatom clause and
    premise conclusion :: ('f, 'v) atom clause and
     $\gamma :: ('f, 'v) subst$ 
  defines

```

```

  premiseG [simp]: premiseG ≡ clause.to-ground (premise · γ) and
  conclusionG [simp]: conclusionG ≡ clause.to-ground (conclusion · γ)
assumes
  premise-grounding: clause.is-ground (premise · γ) and
  conclusion-grounding: clause.is-ground (conclusion · γ) and
  select: clause.from-ground (selectG premiseG) = (select premise) · γ and
  ground-eq-resolution: ground.ground-eq-resolution premiseG conclusionG and
  typing:
  welltypedc typeof-fun  $\mathcal{V}$  premise
  term-subst.is-ground-subst γ
  welltypedσ-on (clause.vars premise) typeof-fun  $\mathcal{V}$  γ
  all-types  $\mathcal{V}$ 
obtains conclusion'
where
  eq-resolution (premise,  $\mathcal{V}$ ) (conclusion',  $\mathcal{V}$ )
  Infer [premiseG] conclusionG ∈ inference-groundings (Infer [(premise,  $\mathcal{V}$ )]
  (conclusion',  $\mathcal{V}$ ))
  conclusion' · γ = conclusion · γ
using ground-eq-resolution
proof(cases premiseG conclusionG rule: ground.ground-eq-resolution.cases)
case (ground-eq-resolutionI literalG premiseG' termG)

have premise-not-empty: premise ≠ {#}
using
  ground-eq-resolutionI(1)
  empty-not-add-mset
  clause-subst-empty
unfolding premiseG
by (metis clause-from-ground-empty-mset clause.from-ground-inverse)

have premise · γ = clause.from-ground (add-mset literalG (clause.to-ground
  (conclusion · γ)))
using
  ground-eq-resolutionI(1)[THEN arg-cong, of clause.from-ground]
  clause.to-ground-inverse[OF premise-grounding]
  ground-eq-resolutionI(4)
unfolding premiseG conclusionG
by metis

also have ... = add-mset (literal.from-ground literalG) (conclusion · γ)
unfolding clause-from-ground-add-mset
by (simp add: conclusion-grounding)

finally have premise-γ: premise · γ = add-mset (literal.from-ground literalG)
  (conclusion · γ).

let ?selectG-empty = selectG premiseG = {#}
let ?selectG-not-empty = selectG premiseG ≠ {#}

```

obtain *max-literal* **where** *max-literal*:
is-maximal_l *max-literal* *premise*
is-maximal_l (*max-literal* · *l* γ) (*premise* · γ)
using *is-maximal_l-ground-subst-stability*[*OF* *premise-not-empty* *premise-grounding*]
by *blast*

moreover then have *max-literal* $\in \#$ *premise*
using *maximal_l-in-clause* **by** *fastforce*

moreover have *max-literal* · γ : *max-literal* · *l* $\gamma =$ *literal.from-ground* (*term_G* \approx *term_G*)

if *?select_G-empty*

proof –

have *ground.is-maximal-lit* *literal_G* *premise_G*
using *ground-eq-resolutionI*(3) **that** *maximal-lit-in-clause*
by (*metis* *empty-iff* *set-mset-empty*)

then show *?thesis*

using *max-literal*(2) *unique-maximal-in-ground-clause*[*OF* *premise-grounding*]

unfolding

ground-eq-resolutionI(2)
is-maximal-lit-iff-is-maximal_l
premise_G
clause.to-ground-inverse[*OF* *premise-grounding*]
by *blast*

qed

moreover obtain *selected-literal* **where**

selected-literal · *l* $\gamma =$ *literal.from-ground* (*term_G* \approx *term_G*) **and**
is-maximal_l *selected-literal* (*select* *premise*)

if *?select_G-not-empty*

proof –

have *ground.is-maximal-lit* *literal_G* (*select_G* *premise_G*) **if** *?select_G-not-empty*
using *ground-eq-resolutionI*(3) **that**
by *blast*

then show *?thesis*

using

that
select
unique-maximal-in-ground-clause[*OF* *select-subst*(1)[*OF* *premise-grounding*]]
is-maximal_l-ground-subst-stability[*OF* - *select-subst*(1)[*OF* *premise-grounding*]]

unfolding

ground-eq-resolutionI(2)
premise_G
is-maximal-lit-iff-is-maximal_l

by (*metis* (*full-types*) *clause-subst-empty*(2) *clause.from-ground-inverse* *clause-to-ground-empty-mset*)

qed

moreover then have *selected-literal* $\in\#$ *premise* **if** *?select_G-not-empty*
by (*meson that maximal_l-in-clause mset-subset-eqD select-subset*)

ultimately obtain *literal* **where**

literal- γ : *literal* $\cdot l \ \gamma = \text{literal.from-ground } (term_G \ !\approx term_G)$ **and**
literal-in-premise: *literal* $\in\#$ *premise* **and**
literal-selected: *?select_G-not-empty* \implies *is-maximal_l literal* (*select premise*) **and**
literal-max: *?select_G-empty* \implies *is-maximal_l literal premise*
by *blast*

have *literal-grounding*: *literal.is-ground* (*literal* $\cdot l \ \gamma$)
using *literal- γ*
by *simp*

from *literal- γ* **obtain** *term term'* **where**

literal: *literal* = *term* $\! \approx$ *term'*
using *subst-polarity-stable literal-from-ground-polarity-stable*
by (*metis literal.collapse(2) literal.disc(2) uprod-exhaust*)

have *literal_G*:

literal.from-ground *literal_G* = (*term* $\! \approx$ *term'*) $\cdot l \ \gamma$
literal_G = *literal.to-ground* ((*term* $\! \approx$ *term'*) $\cdot l \ \gamma$)
using *literal- γ literal ground-eq-resolutionI(2)*
by *simp-all*

obtain *conclusion'* **where** *conclusion'*: *premise* = *add-mset literal conclusion'*
using *multi-member-split[OF literal-in-premise]*
by *blast*

have *term* $\cdot t \ \gamma = \text{term}' \cdot t \ \gamma$
using *literal- γ*

unfolding *literal subst-literal(2) atom.subst-def literal.from-ground-def atom.from-ground-def*
by *simp*

moreover obtain τ **where** *welltyped typeof-fun* \mathcal{V} *term* τ *welltyped typeof-fun*
 \mathcal{V} *term'* τ
using *typing(1)*
unfolding *conclusion' literal welltyped_c-def welltyped_l-def welltyped_a-def*
by *auto*

ultimately obtain $\mu \ \sigma$ **where** μ :

term-subst.is-imgu $\mu \ \{\{term, term'\}\}$
 $\gamma = \mu \odot \sigma$
welltyped-imgu' typeof-fun \mathcal{V} *term term' μ*
using *welltyped-imgu'-exists*
by *meson*


```

have conclusion'- $\gamma$ : conclusion' .  $\gamma$  = conclusion .  $\gamma$ 
  using premise- $\gamma$ 
unfolding conclusion' ground-eq-resolutionI(2) literal- $\gamma$ [symmetric] subst-clause-add-mset
by simp

have eq-resolution: eq-resolution (premise,  $\mathcal{V}$ ) (conclusion' .  $\mu$ ,  $\mathcal{V}$ )
proof (rule eq-resolutionI)
  show premise = add-mset literal conclusion'
    using conclusion'.
next
  show literal = term ! $\approx$  term'
    using literal.
next
  show term-subst.is-imgu  $\mu$  {{term, term'}}
    using  $\mu(1)$ .
next
  show select premise = {#}  $\wedge$  is-maximall (literal .l  $\mu$ ) (premise .  $\mu$ )
     $\vee$  is-maximall (literal .l  $\mu$ ) ((select premise) .  $\mu$ )
proof(cases ?selectG-empty)
  case selectG-empty: True

  then have max-literal .l  $\gamma$  = literal .l  $\gamma$ 
    by (simp add: max-literal- $\gamma$  literal- $\gamma$ )

  then have literal- $\gamma$ -is-maximal: is-maximall (literal .l  $\gamma$ ) (premise .  $\gamma$ )
    using max-literal(2) by simp

  have literal- $\mu$ -in-premise: literal .l  $\mu \in \#$  premise .  $\mu$ 
    by (simp add: clause.subst-in-to-set-subst literal-in-premise)

  have is-maximall (literal .l  $\mu$ ) (premise .  $\mu$ )
    using is-maximall-ground-subst-stability'[OF
      literal- $\mu$ -in-premise
      premise-grounding[unfolded  $\mu(2)$  clause.subst-comp-subst]
      literal- $\gamma$ -is-maximal[unfolded  $\mu(2)$  clause.subst-comp-subst literal.subst-comp-subst]
    ].

  then show ?thesis
    using select selectG-empty
    by clause-auto
next
  case False

  have selected-grounding: clause.is-ground (select premise .  $\mu$  .  $\sigma$ )
    using select-subst(1)[OF premise-grounding]
    unfolding  $\mu(2)$  clause.subst-comp-subst.

  note selected-subst =
literal-selected[OF False, THEN maximall-in-clause, THEN clause.subst-in-to-set-subst]

```

```

have is-maximall (literal · l  $\gamma$ ) (select premise ·  $\gamma$ )
  using False ground-eq-resolutionI( $\beta$ )
  unfolding ground-eq-resolutionI( $2$ ) is-maximal-lit-iff-is-maximall literal- $\gamma$ 
select
  by presburger

then have is-maximall (literal · l  $\mu$ ) (select premise ·  $\mu$ )
  unfolding  $\mu$ ( $2$ ) clause.subst-comp-subst literal.subst-comp-subst
  using is-maximall-ground-subst-stability'[OF selected-subst selected-grounding]
  by argo

with False show ?thesis
  by blast
qed
next
  show welltyped-imgu' typeof-fun  $\mathcal{V}$  term term'  $\mu$ 
  using  $\mu$ ( $\beta$ ).
next
  show conclusion' ·  $\mu$  = conclusion' ·  $\mu$  ..
qed

have term-subst.is-idem  $\mu$ 
  using  $\mu$ ( $1$ )
  by (simp add: term-subst.is-imgu-iff-is-idem-and-is-mgu)

then have  $\mu$ - $\gamma$ :  $\mu \odot \gamma = \gamma$ 
  unfolding  $\mu$ ( $2$ ) term-subst.is-idem-def
  by (metis subst-compose-assoc)

have vars-conclusion': clause.vars (conclusion' ·  $\mu$ )  $\subseteq$  clause.vars premise
  using vars-clause-imgu[OF  $\mu$ ( $1$ )]
  unfolding conclusion' literal
  by clause-auto

have conclusion' ·  $\mu$  ·  $\gamma$  = conclusion ·  $\gamma$ 
  using conclusion'- $\gamma$ 
  unfolding clause.subst-comp-subst[symmetric]  $\mu$ - $\gamma$ .

moreover have
  Infer [premiseG] conclusionG  $\in$  inference-groundings (Infer [(premise,  $\mathcal{V}$ )]
(conclusion' ·  $\mu$ ,  $\mathcal{V}$ ))
  unfolding inference-groundings-def mem-Collect-eq
proof –
  have Infer [premiseG] conclusionG  $\in$  ground.G-Inf
  unfolding ground.G-Inf-def
  using ground-eq-resolution by blast

then have  $\exists \varrho_1 \varrho_2$ . is-inference-grounding

```

```

      (Infer [(premise,  $\mathcal{V}$ )] (conclusion' ·  $\mu$ ,  $\mathcal{V}$ ))
      (Infer [premiseG] conclusionG)  $\gamma$   $\varrho_1$   $\varrho_2$ 
unfolding is-inference-grounding-def Calculus.inference.case list.case prod.case
using typing
by (smt (verit) calculation conclusionG eq-resolution eq-resolution-preserves-typing
premiseG
      vars-conclusion' welltyped $\sigma$ -on-subset)

thus  $\exists \iota_G \gamma \varrho_1 \varrho_2$ . Infer [premiseG] conclusionG =  $\iota_G \wedge$ 
      is-inference-grounding (Infer [(premise,  $\mathcal{V}$ )] (conclusion' ·  $\mu$ ,  $\mathcal{V}$ ))  $\iota_G \gamma \varrho_1 \varrho_2$ 
by iprover
qed

ultimately show ?thesis
using that[OF eq-resolution]
by blast
qed

lemma eq-factoring-lifting:
fixes
  premiseG conclusionG :: 'f gatom clause and
  premise conclusion :: ('f, 'v) atom clause and
   $\gamma$  :: ('f, 'v) subst
defines
  premiseG [simp]: premiseG  $\equiv$  clause.to-ground (premise ·  $\gamma$ ) and
  conclusionG [simp]: conclusionG  $\equiv$  clause.to-ground (conclusion ·  $\gamma$ )
assumes
  premise-grounding: clause.is-ground (premise ·  $\gamma$ ) and
  conclusion-grounding: clause.is-ground (conclusion ·  $\gamma$ ) and
  select: clause.from-ground (selectG premiseG) = (select premise) ·  $\gamma$  and
  ground-eq-factoring: ground.ground-eq-factoring premiseG conclusionG and
  typing:
  welltypedc typeof-fun  $\mathcal{V}$  premise
  term-subst.is-ground-subst  $\gamma$ 
  welltyped $\sigma$ -on (clause.vars premise) typeof-fun  $\mathcal{V}$   $\gamma$ 
  all-types  $\mathcal{V}$ 
obtains conclusion'
where
  eq-factoring (premise,  $\mathcal{V}$ ) (conclusion',  $\mathcal{V}$ )
  Infer [premiseG] conclusionG  $\in$  inference-groundings (Infer [(premise,  $\mathcal{V}$ )]
(conclusion',  $\mathcal{V}$ ))
  conclusion' ·  $\gamma$  = conclusion ·  $\gamma$ 
using ground-eq-factoring
proof(cases premiseG conclusionG rule: ground.ground-eq-factoring.cases)
case (ground-eq-factoringI literalG1 literalG2 premise'G termG1 termG2 termG3)

have premise-not-empty: premise  $\neq$  {#}
using ground-eq-factoringI(1) empty-not-add-mset clause-subst-empty premiseG
by (metis clause-from-ground-empty-mset clause.from-ground-inverse)

```

```

have select-empty: select premise = {#}
  using ground-eq-factoringI(4) select clause-subst-empty
  by clause-auto

have premise-γ: premise · γ = clause.from-ground (add-mset literalG1 (add-mset
literalG2 premise'G))
  using ground-eq-factoringI(1) premiseG
  by (metis premise-grounding clause.to-ground-inverse)

obtain literal1 where literal1-maximal:
  is-maximall literal1 premise
  is-maximall (literal1 · l γ) (premise · γ)
  using is-maximall-ground-subst-stability[OF premise-not-empty premise-grounding]
  by blast

have max-ground-literal: is-maximall (literal.from-ground (termG1 ≈ termG2))
(premise · γ)
  using ground-eq-factoringI(5)
  unfolding
    is-maximal-lit-iff-is-maximall
    ground-eq-factoringI(2)
    premiseG
    clause.to-ground-inverse[OF premise-grounding].

have literal1-γ: literal1 · l γ = literal.from-ground literalG1
  using
    unique-maximal-in-ground-clause[OF premise-grounding literal1-maximal(2)
max-ground-literal]
    ground-eq-factoringI(2)
  by blast

then have is-pos literal1
  unfolding ground-eq-factoringI(2)
  using literal-from-ground-stable subst-pos-stable
  by (metis literal.disc(1))

with literal1-γ obtain term1 term1' where
  literal1-terms: literal1 = term1 ≈ term1' and
  termG1-term1: term.from-ground termG1 = term1 · t γ
  unfolding ground-eq-factoringI(2)
  by clause-simp

obtain premise'' where premise'': premise = add-mset literal1 premise''
  using maximall-in-clause[OF literal1-maximal(1)]
  by (meson multi-member-split)

then have premise''-γ: premise'' · γ = add-mset (literal.from-ground literalG2)
(clause.from-ground premise'G)

```

using *premise- γ*
unfolding *clause-from-ground-add-mset literal₁- γ [symmetric]*
by (*simp add: subst-clause-add-mset*)

then obtain *literal₂* **where** *literal₂*:
literal₂ · l γ = literal.from-ground literal_{G2}
literal₂ ∈ # premise''
unfolding *clause.subst-def*
using *msed-map-invR* **by** *force*

then have *is-pos literal₂*
unfolding *ground-eq-factoringI(3)*
using *literal-from-ground-stable subst-pos-stable*
by (*metis literal.disc(1)*)

with *literal₂* **obtain** *term₂* *term₂'* **where**
literal₂-terms: literal₂ = term₂ ≈ term₂' and
term_{G1}-term₂: term.from-ground term_{G1} = term₂ · t γ
unfolding *ground-eq-factoringI(3)*
by *clause-simp*

have *term_{G2}-term₁'*: *term.from-ground term_{G2} = term₁' · t γ*
using *literal₁- γ term_{G1}-term₁*
unfolding
literal₁-terms
ground-eq-factoringI(2)
apply *clause-simp*
by *auto*

have *term_{G3}-term₂'*: *term.from-ground term_{G3} = term₂' · t γ*
using *literal₂ term_{G1}-term₂*
unfolding
literal₂-terms
ground-eq-factoringI(3)
by *clause-auto*

obtain *premise'* **where** *premise: premise = add-mset literal₁ (add-mset literal₂ premise')*
using *literal₂(2) maximal₁-in-clause[OF literal₁-maximal(1)] premise''*
by (*metis multi-member-split*)

then have *premise'- γ* : *premise' · γ = clause.from-ground premise'_G*
using *premise''- γ premise''*
unfolding *literal₂(1)[symmetric]*
by (*simp add: subst-clause-add-mset*)

have *term₁-term₂*: *term₁ · t γ = term₂ · t γ*
using *term_{G1}-term₁ term_{G1}-term₂*
by *argo*

moreover obtain τ **where** *welltyped typeof-fun* \mathcal{V} *term*₁ τ *welltyped typeof-fun*
 \mathcal{V} *term*₂ τ
proof–
have *welltyped_c typeof-fun* \mathcal{V} (*premise* $\cdot \gamma$)
using *typing*
using *welltyped _{σ -on-welltyped_c}* **by** *blast*

then obtain τ **where** *welltyped typeof-fun* \mathcal{V} (*term.from-ground* *term*_{G1}) τ
unfolding *premise- γ* *ground-eq-factoringI*
by *clause-simp*

then have *welltyped typeof-fun* \mathcal{V} (*term*₁ $\cdot t \gamma$) τ *welltyped typeof-fun* \mathcal{V} (*term*₂
 $\cdot t \gamma$) τ
using *term_{G1}-term₁* *term_{G1}-term₂*
by *metis+*

then have *welltyped typeof-fun* \mathcal{V} *term*₁ τ *welltyped typeof-fun* \mathcal{V} *term*₂ τ
using *typing(3)* *welltyped _{σ -on-term}*
unfolding *welltyped _{σ -on-def}* *premise* *literal₁-terms* *literal₂-terms*
apply *clause-simp*
by (*metis UnCI welltyped _{σ -on-def welltyped _{σ -on-term}}*)+

then show *?thesis*
using *that*
by *blast*
qed

ultimately obtain $\mu \sigma$ **where** μ :
term-subst.is-imgu μ $\{\{term_1, term_2\}\}$
 $\gamma = \mu \odot \sigma$
welltyped-imgu' *typeof-fun* \mathcal{V} *term*₁ *term*₂ μ
using *welltyped-imgu'-exists*
by *meson*

let *?conclusion'* = *add-mset* (*term*₁ \approx *term*₂') (*add-mset* (*term*₁' \approx *term*₂')
premise')

have *eq-factoring*: *eq-factoring* (*premise*, \mathcal{V}) (*?conclusion'* $\cdot \mu$, \mathcal{V})
proof (*rule eq-factoringI*)
show *premise* = *add-mset* *literal₁* (*add-mset* *literal₂* *premise'*)
using *premise.*
next
show *literal₁* = *term*₁ \approx *term*₁'
using *literal₁-terms.*
next
show *literal₂* = *term*₂ \approx *term*₂'
using *literal₂-terms.*
next

```

show select premise = {#}
  using select-empty.
next
  have literal1-μ-in-premise: literal1 · l μ ∈# premise · μ
    using literal1-maximal(1) clause.subst-in-to-set-subst maximal1-in-clause by
blast

  have is-maximall (literal1 · l μ) (premise · μ)
    using is-maximall-ground-subst-stability'[OF
      literal1-μ-in-premise
      premise-grounding[unfolded μ(2) clause.subst-comp-subst]
      literal1-maximal(2)[unfolded μ(2) clause.subst-comp-subst literal.subst-comp-subst]
    ].

  then show is-maximall (literal1 · l μ) (premise · μ)
    by blast
next
  have term-groundings: term.is-ground (term1' · t μ · t σ) term.is-ground (term1 · t μ · t σ)
    unfolding
      term-subst.subst-comp-subst[symmetric]
      μ(2)[symmetric]
      termG1-term1[symmetric]
      termG2-term1'[symmetric]
    using term.ground-is-ground
    by simp-all

  have term1' · t μ · t σ <t term1 · t μ · t σ
    using ground-eq-factoringI(6)[unfolded
      lesstG-def
      termG1-term1
      termG2-term1'
      μ(2)
      term-subst.subst-comp-subst
    ].

  then show  $\neg$  term1 · t μ <=t term1' · t μ
    using lesst-less-eqt-ground-subst-stability[OF term-groundings]
    by blast
next
  show term-subst.is-ingu μ {{term1, term2}}
    using μ(1).
next
  show welltyped-ingu' typeof-fun V term1 term2 μ
    using μ(3).
next
  show ?conclusion' · μ = ?conclusion' · μ
  ..
qed

```

```

have term-subst.is-idem  $\mu$ 
  using  $\mu(1)$ 
  by (simp add: term-subst.is-ingu-iff-is-idem-and-is-mgu)

then have  $\mu\text{-}\gamma: \mu \odot \gamma = \gamma$ 
  unfolding  $\mu(2)$  term-subst.is-idem-def
  by (metis subst-compose-assoc)

have vars-conclusion': clause.vars (?conclusion'  $\cdot \mu$ )  $\subseteq$  clause.vars premise
  using vars-clause-ingu[OF  $\mu(1)$ ] vars-term-ingu[OF  $\mu(1)$ ]
  unfolding premise literal1-terms literal2-terms
  by clause-auto

have conclusion  $\cdot \gamma =$ 
  add-mset (term.from-ground termG2  $\approx$  term.from-ground termG3)
  (add-mset (term.from-ground termG1  $\approx$  term.from-ground termG3) (clause.from-ground
premise'G))
  using ground-eq-factoringI( $\gamma$ ) clause.to-ground-inverse[OF conclusion-grounding]
  unfolding atom-from-ground-term-from-ground[symmetric]
  literal-from-ground-atom-from-ground[symmetric] clause-from-ground-add-mset[symmetric]
  by simp

then have conclusion $\text{-}\gamma$ :
  conclusion  $\cdot \gamma =$  add-mset (term1  $\approx$  term2') (add-mset (term1'  $\approx$  term2')
premise')  $\cdot \gamma$ 
  unfolding
    termG2-term1'
    termG3-term2'
    termG1-term1
    premise'- $\gamma$ [symmetric]
  by(clause-simp simp: add-mset-commute)

then have ?conclusion'  $\cdot \mu \cdot \gamma =$  conclusion  $\cdot \gamma$ 
  by (metis  $\mu\text{-}\gamma$  clause.subst-comp-subst)

moreover have
  Infer [premiseG] conclusionG  $\in$  inference-groundings (Infer [(premise,  $\mathcal{V}$ )]
(?conclusion'  $\cdot \mu$ ,  $\mathcal{V}$ ))
  unfolding inference-groundings-def mem-Collect-eq
proof –
  have Infer [premiseG] conclusionG  $\in$  ground.G-Inf
  unfolding ground.G-Inf-def
  using ground-eq-factoring conclusion-grounding premise-grounding
  by blast

then have  $\exists \varrho_1 \varrho_2.$  is-inference-grounding
  (Infer [(premise,  $\mathcal{V}$ )] (?conclusion'  $\cdot \mu$ ,  $\mathcal{V}$ ))
  (Infer [premiseG] conclusionG)  $\gamma \varrho_1 \varrho_2$ 

```


unfolding *is-inference-grounding-def* *Calculus.inference.case list.case prod.case*
using *typing*
by (*smt* (*verit*) *calculation conclusion_G eq-factoring eq-factoring-preserves-typing*
premise_G
vars-conclusion' welltyped_σ-on-subset)

thus $\exists \iota_G \gamma \varrho_1 \varrho_2$. *Infer* [*premise_G*] *conclusion_G* = $\iota_G \wedge$
is-inference-grounding (*Infer* [(*premise*, \mathcal{V})] (*?conclusion' · μ*, \mathcal{V})) $\iota_G \gamma \varrho_1 \varrho_2$
by *iprover*

qed

ultimately show *?thesis*
using *that[OF eq-factoring]*
by *blast*

qed

lemma *if-subst-sth* [*clause-simp*]: (*if b then Pos else Neg*) *atom · l ρ* =
(*if b then Pos else Neg*) (*atom · a ρ*)
by *clause-auto*

lemma *superposition-lifting*:

fixes

premise_{G1} premise_{G2} conclusion_G :: '*f* *gatom clause* **and**
premise₁ premise₂ conclusion :: ('*f*, '*v*) *atom clause* **and**
 $\gamma \varrho_1 \varrho_2$:: ('*f*, '*v*) *subst* **and**
 $\mathcal{V}_1 \mathcal{V}_2$

defines

premise_{G1} [*simp*]: *premise_{G1}* \equiv *clause.to-ground* (*premise₁ · ρ₁ · γ*) **and**
premise_{G2} [*simp*]: *premise_{G2}* \equiv *clause.to-ground* (*premise₂ · ρ₂ · γ*) **and**
conclusion_G [*simp*]: *conclusion_G* \equiv *clause.to-ground* (*conclusion · γ*) **and**
premise-groundings [*simp*]:
premise-groundings \equiv *clause-groundings typeof-fun* (*premise₁*, \mathcal{V}_1) \cup
clause-groundings typeof-fun (*premise₂*, \mathcal{V}_2) **and**
 ι_G [*simp*]: $\iota_G \equiv$ *Infer* [*premise_{G2}*, *premise_{G1}*] *conclusion_G*

assumes

renaming:

term-subst.is-renaming ϱ_1

term-subst.is-renaming ϱ_2

clause.vars (*premise₁ · ρ₁*) \cap *clause.vars* (*premise₂ · ρ₂*) = {} **and**

premise₁-grounding: *clause.is-ground* (*premise₁ · ρ₁ · γ*) **and**

premise₂-grounding: *clause.is-ground* (*premise₂ · ρ₂ · γ*) **and**

conclusion-grounding: *clause.is-ground* (*conclusion · γ*) **and**

select:

clause.from-ground (*select_G premise_{G1}*) = (*select* *premise₁*) · ϱ_1 · γ

clause.from-ground (*select_G premise_{G2}*) = (*select* *premise₂*) · ϱ_2 · γ **and**

ground-superposition: *ground.ground-superposition* *premise_{G2} premise_{G1} conclusion_G* **and**

non-redundant: $\iota_G \notin \text{ground.Red-I premise-groundings}$ **and**
typing:
welltyped_c typeof-fun \mathcal{V}_1 *premise*₁
welltyped_c typeof-fun \mathcal{V}_2 *premise*₂
term-subst.is-ground-subst γ
welltyped _{σ} -on (*clause.vars* *premise*₁) *typeof-fun* \mathcal{V}_1 ($\varrho_1 \odot \gamma$)
welltyped _{σ} -on (*clause.vars* *premise*₂) *typeof-fun* \mathcal{V}_2 ($\varrho_2 \odot \gamma$)
welltyped _{σ} -on (*clause.vars* *premise*₁) *typeof-fun* \mathcal{V}_1 ϱ_1
welltyped _{σ} -on (*clause.vars* *premise*₂) *typeof-fun* \mathcal{V}_2 ϱ_2
all-types \mathcal{V}_1 *all-types* \mathcal{V}_2
obtains *conclusion'* \mathcal{V}_3
where
superposition (*premise*₂, \mathcal{V}_2) (*premise*₁, \mathcal{V}_1) (*conclusion'*, \mathcal{V}_3)
 $\iota_G \in \text{inference-groundings (Infer [(premise}_2, \mathcal{V}_2), (\text{premise}_1, \mathcal{V}_1)] (\text{conclusion}'$,
 $\mathcal{V}_3))$
conclusion' $\cdot \gamma = \text{conclusion} \cdot \gamma$
using *ground-superposition*
proof(*cases* *premise*_{G2} *premise*_{G1} *conclusion*_G *rule*: *ground.ground-superposition.cases*)
case (*ground-superpositionI*
*literal*_{G1}
*premise*_{G1}'
*literal*_{G2}
*premise*_{G2}'
 \mathcal{P}_G
*context*_G
*term*_{G1}
*term*_{G2}
*term*_{G3}
)

have *premise*_{1-not-empty}: *premise*₁ $\neq \{\#\}$
using *ground-superpositionI*(1) *empty-not-add-mset clause-subst-empty* *premise*_{G1}
by (*metis clause-from-ground-empty-mset clause.from-ground-inverse*)

have *premise*_{2-not-empty}: *premise*₂ $\neq \{\#\}$
using *ground-superpositionI*(2) *empty-not-add-mset clause-subst-empty* *premise*_{G2}
by (*metis clause-from-ground-empty-mset clause.from-ground-inverse*)

have *premise*_{1- γ} : *premise*₁ $\cdot \varrho_1 \cdot \gamma = \text{clause.from-ground (add-mset literal}_{G1}$
*premise*_{G1}'
using *ground-superpositionI*(1) *premise*_{G1}
by (*metis premise*_{1-grounding} *clause.to-ground-inverse*)

have *premise*_{2- γ} : *premise*₂ $\cdot \varrho_2 \cdot \gamma = \text{clause.from-ground (add-mset literal}_{G2}$
*premise*_{G2}'
using *ground-superpositionI*(2) *premise*_{G2}
by (*metis premise*_{2-grounding} *clause.to-ground-inverse*)

let *?select*_{G-empty} = *select*_G (*clause.to-ground* (*premise*₁ $\cdot \varrho_1 \cdot \gamma$)) = $\{\#\}$

let $?select_G\text{-not-empty} = select_G (clause.to\text{-ground} (premise_1 \cdot \varrho_1 \cdot \gamma)) \neq \{\#\}$

have $pos\text{-literal}_{G_1}\text{-is-strictly-maximal}_l$:
 $is\text{-strictly-maximal}_l (literal.from\text{-ground} literal_{G_1}) (premise_1 \cdot \varrho_1 \odot \gamma)$ **if** $\mathcal{P}_G = Pos$

Pos
using $ground\text{-superposition}I(9)$ *that*
unfolding $is\text{-strictly-maximal}_{G_1}\text{-iff-is-strictly-maximal}_l$
by($simp$ *add: premise_1-grounding*)

have $neg\text{-literal}_{G_1}\text{-is-maximal}_l$:
 $is\text{-maximal}_l (literal.from\text{-ground} literal_{G_1}) (premise_1 \cdot \varrho_1 \odot \gamma)$ **if** $?select_G\text{-empty}$

using
that
 $ground\text{-superposition}I(9)$
 $is\text{-maximal}_l\text{-if-is-strictly-maximal}_l$
 $is\text{-maximal}_l\text{-empty}$
 $premise_1\text{-}\gamma$

unfolding
 $is\text{-maximal-lit-iff-is-maximal}_l$
 $is\text{-strictly-maximal}_{G_1}\text{-iff-is-strictly-maximal}_l$
 $ground\text{-superposition}I(1)$

apply $clause\text{-auto}$

by ($metis$ $premise_1\text{-}\gamma$ $clause\text{-from-ground-empty-mset}$ $clause\text{-from-ground-inverse}$)

obtain $pos\text{-literal}_1$ **where**
 $is\text{-strictly-maximal}_l pos\text{-literal}_1 premise_1$
 $pos\text{-literal}_1 \cdot l \varrho_1 \odot \gamma = literal.from\text{-ground} literal_{G_1}$

if $\mathcal{P}_G = Pos$

using $is\text{-strictly-maximal}_l\text{-ground-subst-stability}[OF$
 $premise_1\text{-grounding}[folded clause.subst-comp-subst]$
 $pos\text{-literal}_{G_1}\text{-is-strictly-maximal}_l$
 $]$

by $blast$

moreover then have $pos\text{-literal}_1 \in\# premise_1$ **if** $\mathcal{P}_G = Pos$

using $that$ $strictly\text{-maximal}_l\text{-in-clause}$ **by** $fastforce$

moreover obtain $neg\text{-max-literal}_1$ **where**
 $is\text{-maximal}_l neg\text{-max-literal}_1 premise_1$
 $neg\text{-max-literal}_1 \cdot l \varrho_1 \odot \gamma = literal.from\text{-ground} literal_{G_1}$

if $\mathcal{P}_G = Neg$ $?select_G\text{-empty}$

using
 $is\text{-maximal}_l\text{-ground-subst-stability}[OF$
 $premise_1\text{-not-empty}$
 $premise_1\text{-grounding}[folded clause.subst-comp-subst]$
 $]$
 $neg\text{-literal}_{G_1}\text{-is-maximal}_l$

by ($metis$ ($no\text{-types}$, $opaque\text{-lifting}$) $assms(9)$ $clause.comp\text{-subst.left.monoid-action-compatibility}$ $unique\text{-maximal-in-ground-clause}$)

moreover then have $neg\text{-}max\text{-}literal_1 \in \# \text{ premise}_1$ **if** $\mathcal{P}_G = Neg \text{ ?select}_G\text{-empty}$
using *that maximal_l-in-clause by fastforce*

moreover obtain $neg\text{-}selected\text{-}literal_1$ **where**
is-maximal_l neg-selected-literal_l (select premise₁)
neg-selected-literal_l · l ρ₁ ⊙ γ = literal.from-ground literal_{G1}

if $\mathcal{P}_G = Neg \text{ ?select}_G\text{-not-empty}$

proof –

have *ground.is-maximal-lit literal_{G1} (select_G premise_{G1})* **if** $\mathcal{P}_G = Neg \text{ ?select}_G\text{-not-empty}$
using *ground-superpositionI(9)* **that**
by *simp*

then show *?thesis*

using

that

select(1)

unique-maximal-in-ground-clause

is-maximal_l-ground-subst-stability

unfolding *premise_{G1} is-maximal-lit-iff-is-maximal_l*

by (*metis (mono-tags, lifting) clause.comp-subst.monoid-action-compatibility*
clause-subst-empty(2) clause.ground-is-ground image-mset-is-empty-iff
clause.from-ground-def)

qed

moreover then have $neg\text{-}selected\text{-}literal_1 \in \# \text{ premise}_1$ **if** $\mathcal{P}_G = Neg \text{ ?select}_G\text{-not-empty}$

using *that*

by (*meson maximal_l-in-clause mset-subset-eqD select-subset*)

ultimately obtain $literal_1$ **where**

literal₁-γ: literal₁ · l ρ₁ ⊙ γ = literal.from-ground literal_{G1} **and**

literal₁-in-premise₁: literal₁ ∈ # premise₁ **and**

literal₁-is-strictly-maximal: $\mathcal{P}_G = Pos \implies is\text{-}strictly\text{-}maximal_l \text{ literal}_1 \text{ premise}_1$

and

literal₁-is-maximal: $\mathcal{P}_G = Neg \implies ?select_G\text{-empty} \implies is\text{-}maximal_l \text{ literal}_1$
premise₁ **and**

literal₁-selected: $\mathcal{P}_G = Neg \implies ?select_G\text{-not-empty} \implies is\text{-}maximal_l \text{ literal}_1$
(select premise₁)

by (*metis ground-superpositionI(9) literals-distinct(1)*)

then have *literal₁-grounding: literal.is-ground (literal₁ · l ρ₁ ⊙ γ)*

by *simp*

have *literal_{G2}-is-strictly-maximal_l:*

is-strictly-maximal_l (literal.from-ground literal_{G2}) (premise₂ · ρ₂ ⊙ γ)

using *ground-superpositionI(11)*

unfolding *is-strictly-maximal_{G1}-iff-is-strictly-maximal_l*

by (*simp add: premise₂-grounding*)

obtain $literal_2$ **where**

$literal_2$ -strictly-maximal: is-strictly-maximal₁ $literal_2$ $premise_2$ **and**
 $literal_2$ - γ : $literal_2 \cdot l \varrho_2 \odot \gamma = literal.from-ground\ literal_{G_2}$
using is-strictly-maximal₁-ground-subst-stability[OF
premise₂-grounding[folded clause.subst-comp-subst]
 $literal_{G_2}$ -is-strictly-maximal₁
].

then have $literal_2$ -in-premise₂: $literal_2 \in \#\ premise_2$
using strictly-maximal₁-in-clause **by** blast

have $literal_2$ -grounding: $literal.is-ground\ (literal_2 \cdot l \varrho_2 \odot \gamma)$
using $literal_2$ - γ **by** simp

obtain $premise_1'$ **where** $premise_1$: $premise_1 = add-mset\ literal_1\ premise_1'$
by (meson $literal_1$ -in-premise₁ multi-member-split)

then have $premise_1'$ - γ : $premise_1' \cdot \varrho_1 \cdot \gamma = clause.from-ground\ premise_{G_1}'$
using $premise_1$ - γ
unfolding clause-from-ground-add-mset $literal_1$ - γ [symmetric]
by (simp add: subst-clause-add-mset)

obtain $premise_2'$ **where** $premise_2$: $premise_2 = add-mset\ literal_2\ premise_2'$
by (meson $literal_2$ -in-premise₂ multi-member-split)

then have $premise_2'$ - γ : $premise_2' \cdot \varrho_2 \cdot \gamma = clause.from-ground\ premise_{G_2}'$
using $premise_2$ - γ
unfolding clause-from-ground-add-mset $literal_2$ - γ [symmetric]
by (simp add: subst-clause-add-mset)

let $?P = if\ \mathcal{P}_G = Pos\ then\ Pos\ else\ Neg$

have [simp]: $\mathcal{P}_G \neq Pos \longleftrightarrow \mathcal{P}_G = Neg$
using ground-superpositionI(4)
by auto

have $literal_1 \cdot l \varrho_1 \cdot l \gamma =$
 $?P\ (Upair\ (context.from-ground\ context_G)\langle term.from-ground\ term_{G_1}\rangle\ (term.from-ground\ term_{G_2}))$
using $literal_1$ - γ
unfolding ground-superpositionI(5)
by (simp add: literal-from-ground-atom-from-ground atom-from-ground-term-from-ground
ground-term-with-context(3))

then obtain $term_1$ -with-context $term_1'$ **where**

$literal_1$: $literal_1 = ?P\ (Upair\ term_1$ -with-context $term_1')$ **and**
 $term_1'$ - γ : $term_1' \cdot t \varrho_1 \cdot t \gamma = term.from-ground\ term_{G_2}$ **and**

$term_1$ -with-context- γ :
 $term_1$ -with-context $\cdot t \varrho_1 \cdot t \gamma = (\text{context.from-ground } context_G)(\text{term.from-ground } term_{G1})$
by (*smt* (*verit*) *obtain-from-literal-subst*)

from $literal_2$ - γ **have** $literal_2 \cdot l \varrho_2 \cdot l \gamma = \text{term.from-ground } term_{G1} \approx \text{term.from-ground } term_{G3}$
unfolding *ground-superpositionI*(6) *atom-from-ground-term-from-ground*
literal-from-ground-atom-from-ground(2) *literal.subst-comp-subst*.

then obtain $term_2$ $term_2'$ **where**
 $literal_2$: $literal_2 = term_2 \approx term_2'$ **and**
 $term_2$ - γ : $term_2 \cdot t \varrho_2 \cdot t \gamma = \text{term.from-ground } term_{G1}$ **and**
 $term_2'$ - γ : $term_2' \cdot t \varrho_2 \cdot t \gamma = \text{term.from-ground } term_{G3}$
using *obtain-from-pos-literal-subst*
by *metis*

let $?inference$ -into- $var = \# context_1 term_1$.
 $term_1$ -with-context = $context_1(term_1) \wedge$
 $term_1 \cdot t \varrho_1 \cdot t \gamma = \text{term.from-ground } term_{G1} \wedge$
 $context_1 \cdot t_c \varrho_1 \cdot t_c \gamma = \text{context.from-ground } context_G \wedge$
is-Fun $term_1$

have *inference*-into- var -is-redundant:
 $?inference$ -into- $var \implies \text{ground.redundant-infer } \text{premise-groundings } \iota_G$
proof–
assume *inference*-into- var : $?inference$ -into- var

obtain $term_x$ $context_x$ $context_x'$ **where**
 $term_1$ -with-context: $term_1$ -with-context = $context_x(term_x)$ **and**
is-Var- $term_x$: *is-Var* $term_x$ **and**
 $\text{context.from-ground } context_G = (\text{context}_x \cdot t_c \varrho_1 \cdot t_c \gamma) \circ_c context_x'$

proof–
from *inference*-into- var $term_1$ -with-context- γ
have
 $\exists term_x context_x context_x'$.
 $term_1$ -with-context = $context_x(term_x) \wedge$
is-Var $term_x \wedge$
 $\text{context.from-ground } context_G = (\text{context}_x \cdot t_c \varrho_1 \cdot t_c \gamma) \circ_c context_x'$

proof(*induction* $term_1$ -with-context *arbitrary*: $context_G$)
case (*Var* x)
show $?case$
proof(*intro* *exI* *conjI*)
show
 $Var\ x = \square \langle Var\ x \rangle$
is-Var (*Var* x)
 $\text{context.from-ground } context_G = (\square \cdot t_c \varrho_1 \cdot t_c \gamma) \circ_c \text{context.from-ground } context_G$
by *simp-all*

```

qed
next
  case (Fun f terms)

  then have  $context_G \neq GHole$ 
    by (metis Fun.premis(2) ctxt-apply-term.simps(1) ctxt-of-gctxt.simps(1)
      subst-apply-ctxt.simps(1) term.discI(2))

  then obtain  $terms_{G1}$   $context_{G'}$   $terms_{G2}$  where
     $context_G: context_G = GMore\ f\ terms_{G1}\ context_{G'}\ terms_{G2}$ 
    using Fun(3)
    by(cases context_G) auto

  have  $terms-\gamma$ :
     $map\ (\lambda term.\ term\ \cdot\ \varrho_1\ \cdot\ t\ \gamma)\ terms =$ 
     $map\ term.from-ground\ terms_{G1}\ @\ (context.from-ground\ context_{G'})\ \langle term.from-ground$ 
 $term_{G1} \rangle \#$ 
     $map\ term.from-ground\ terms_{G2}$ 
    using Fun(3)
    unfolding  $context_G$ 
    by(simp add: comp-def)

  then obtain  $terms_1$   $term$   $terms_2$  where
     $terms: terms = terms_1\ @\ term\ \# \ terms_2$  and
     $terms_1-\gamma: map\ (\lambda term.\ term\ \cdot\ \varrho_1\ \cdot\ t\ \gamma)\ terms_1 = map\ term.from-ground$ 
 $terms_{G1}$  and
     $terms_2-\gamma: map\ (\lambda term.\ term\ \cdot\ \varrho_1\ \cdot\ t\ \gamma)\ terms_2 = map\ term.from-ground$ 
 $terms_{G2}$ 
    by (smt (z3) append-eq-map-conv map-eq-Cons-D)

  with  $terms-\gamma$ 
  have  $term-\gamma: term\ \cdot\ \varrho_1\ \cdot\ t\ \gamma = (context.from-ground\ context_{G'})\ \langle term.from-ground$ 
 $term_{G1} \rangle$ 
    by simp

  show ?case
  proof(cases term.is-ground term)
    case True

    with  $term-\gamma$ 
    obtain  $term_1$   $context_1$  where
       $term = context_1\ \langle term_1 \rangle$ 
       $term_1\ \cdot\ \varrho_1\ \cdot\ t\ \gamma = term.from-ground\ term_{G1}$ 
       $context_1\ \cdot\ t_c\ \varrho_1\ \cdot\ t_c\ \gamma = context.from-ground\ context_{G'}$ 
       $is-Fun\ term_1$ 
      by (metis Term.ground-vars-term-empty context.ground-is-ground
        ground-subst-apply
        term.ground-is-ground context.subst-ident-if-ground gterm-is-fun)

```

moreover then have $Fun\ f\ terms = (More\ f\ terms_1\ context_1\ terms_2)\langle term_1 \rangle$
unfolding $terms$
by $auto$

ultimately have

$\exists context_1\ term_1.$
 $Fun\ f\ terms = context_1\langle term_1 \rangle \wedge$
 $term_1 \cdot t\ \varrho_1 \cdot t\ \gamma = term.from-ground\ term_{G1} \wedge$
 $context_1 \cdot t_c\ \varrho_1 \cdot t_c\ \gamma = context.from-ground\ context_G \wedge$
 $is-Fun\ term_1$
by $(auto$
 $\quad intro: exI[of - More\ f\ terms_1\ context_1\ terms_2]\ exI[of - term_1]$
 $\quad simp: comp-def\ terms_1-\gamma\ terms_2-\gamma\ context_G)$

then show $?thesis$

using $Fun(2)$

by $argo$

next

case $False$

moreover have $term \in set\ terms$

using $terms$ **by** $auto$

moreover have

$\nexists context_1\ term_1. term = context_1\langle term_1 \rangle \wedge$
 $term_1 \cdot t\ \varrho_1 \cdot t\ \gamma = term.from-ground\ term_{G1} \wedge$
 $context_1 \cdot t_c\ \varrho_1 \cdot t_c\ \gamma = context.from-ground\ context_G' \wedge$
 $is-Fun\ term_1$

proof $(rule\ notI)$

assume

$\exists context_1\ term_1.$
 $term = context_1\langle term_1 \rangle \wedge$
 $term_1 \cdot t\ \varrho_1 \cdot t\ \gamma = term.from-ground\ term_{G1} \wedge$
 $context_1 \cdot t_c\ \varrho_1 \cdot t_c\ \gamma = context.from-ground\ context_G' \wedge$
 $is-Fun\ term_1$

then obtain $context_1\ term_1$ **where**

$term: term = context_1\langle term_1 \rangle$
 $term_1 \cdot t\ \varrho_1 \cdot t\ \gamma = term.from-ground\ term_{G1}$
 $context_1 \cdot t_c\ \varrho_1 \cdot t_c\ \gamma = context.from-ground\ context_G'$
 $is-Fun\ term_1$
by $blast$

then have

$\exists context_1\ term_1.$
 $Fun\ f\ terms = context_1\langle term_1 \rangle \wedge$
 $term_1 \cdot t\ \varrho_1 \cdot t\ \gamma = term.from-ground\ term_{G1} \wedge$
 $context_1 \cdot t_c\ \varrho_1 \cdot t_c\ \gamma = context.from-ground\ context_G \wedge$
 $is-Fun\ term_1$
by $(auto$

intro: exI[of - (More f terms₁ context₁ terms₂)] exI[of - term₁]
simp: term terms terms₁- γ terms₂- γ context_G comp-def)

then show *False*
using *Fun(2)*
by *argo*
qed

ultimately obtain *term_x context_x context_x'* **where**
term = context_x \langle term_x \rangle
is-Var term_x
context.from-ground context_G' = (context_x \cdot t_c ϱ_1 \cdot t_c γ) \circ_c context_x'
using *Fun(1) term- γ by blast*

then have
Fun f terms = (More f terms₁ context_x terms₂) \langle term_x \rangle
is-Var term_x
context.from-ground context_G = (More f terms₁ context_x terms₂ \cdot t_c ϱ_1 \cdot t_c γ) \circ_c context_x'
by *(auto simp: terms terms₁- γ terms₂- γ context_G comp-def)*

then show *?thesis*
by *blast*
qed
qed

then show *?thesis*
using *that*
by *blast*
qed

then have *context_G: context.from-ground context_G = context_x \circ_c context_x' \cdot t_c ϱ_1 \cdot t_c γ*
using *ground-context-subst[OF context.ground-is-ground] ctxt-compose-subst-compose-distrib*
by *metis*

obtain *τ_x* **where** *τ_x : welltyped typeof-fun \mathcal{V}_1 term_x τ_x*
using *term₁-with-context typing(1)*
unfolding *premise₁ welltyped_c-def literal₁ welltyped_l-def welltyped_a-def*
by *(metis welltyped.simps is-Var-term_x term.collapse(1))*

have *ι_G -parts:*
set (side-prems-of ι_G) = {premise_{G2}}
main-prem-of ι_G = premise_{G1}
concl-of ι_G = conclusion_G
unfolding *ι_G*
by *simp-all*

from *is-Var-term_x*

```

obtain  $var_x$  where  $var_x: Var$   $var_x = term_x \cdot t \varrho_1$ 
using renaming(1)
unfolding is-Var-def term-subst.is-renaming-def subst-compose-def
by (metis eval-term.simps(1) subst-apply-eq-Var)

have  $\tau_x$ - $var_x$ : welltyped typeof-fun  $\mathcal{V}_1 (Var\ var_x) \tau_x$ 
using  $\tau_x$  typing(6)
unfolding welltyped $_{\sigma}$ -on-def var_x premise $_1$  literal $_1$  term $_1$ -with-context
by(clause-auto simp: welltyped $_{\sigma}$ -on-def welltyped $_{\sigma}$ -on-welltyped)

show ?thesis
proof(unfold ground.redundant-infer-def  $\iota_G$ -parts, intro exI conjI)

let  $?update = (context_{x'} \cdot t_c \varrho_1 \cdot t_c \gamma) \langle term.from-ground\ term_{G3} \rangle$ 

define  $\gamma'$  where
 $\gamma': \gamma' \equiv \gamma(var_x := ?update)$ 

have update-grounding: term.is-ground ?update
proof–
have context.is-ground  $((context_x \cdot t_c \varrho_1 \cdot t_c \gamma) \circ_c (context_{x'} \cdot t_c \varrho_1 \cdot t_c \gamma))$ 
using context.ground-is-ground[of context $_G$ ] context $_G$ 
by fastforce

then show ?thesis
using context-is-ground-context-compose1(2)
by auto
qed
let  $?context_{x'}\text{-}\gamma = context.to-ground (context_{x'} \cdot t_c \varrho_1 \cdot t_c \gamma)$ 

note term-from-ground-context =
ground-term-with-context1[OF - term.ground-is-ground, unfolded term.from-ground-inverse]

have  $term_x\text{-}\gamma$ : term.to-ground  $(term_x \cdot t \varrho_1 \cdot t \gamma) = ?context_{x'}\text{-}\gamma \langle term_{G1} \rangle_G$ 
using term $_1$ -with-context- $\gamma$  update-grounding
unfolding term $_1$ -with-context context $_G$ 
by(auto simp: term-from-ground-context)

have  $term_x\text{-}\gamma'$ : term.to-ground  $(term_x \cdot t \varrho_1 \cdot t \gamma') = ?context_{x'}\text{-}\gamma \langle term_{G3} \rangle_G$ 
using update-grounding
unfolding var_x[symmetric]  $\gamma'$ 
by(auto simp: term-from-ground-context)

have  $aux$ :  $term_x \cdot t \varrho_1 \cdot t \gamma = (context_{x'} \cdot t_c \varrho_1 \cdot t_c \gamma) \langle term.from-ground\ term_{G1} \rangle$ 
using  $term_x\text{-}\gamma$ 
by (metis ground-term-with-context2 term-subst.is-ground-subst-is-ground
term-with-context-is-ground term.to-ground-inverse typing(3) update-grounding)

have welltyped $_c$  typeof-fun  $\mathcal{V}_2 (clause.from-ground\ premise_{G2})$ 

```

by (*metis ground-superpositionI*(2) *premise₂- γ*
clause.comp-subst.left.monoid-action-compatibility typing(2) *typing*(5)
welltyped _{σ} -on-welltyped_c)

then have $\exists \tau$. *welltyped typeof-fun* \mathcal{V}_2 (*term.from-ground term_{G1}*) $\tau \wedge$
welltyped typeof-fun \mathcal{V}_2 (*term.from-ground term_{G3}*) τ
unfolding *ground-superpositionI*
by *clause-simp*

then have *aux'*: $\exists \tau$. *welltyped typeof-fun* \mathcal{V}_1 (*term.from-ground term_{G1}*) $\tau \wedge$
welltyped typeof-fun \mathcal{V}_1 (*term.from-ground term_{G3}*) τ
by (*meson term.ground-is-ground welltyped-is-ground*)

have *welltyped typeof-fun* \mathcal{V}_1 (*term_x · t* ϱ_1 · *t* γ) τ_x
proof–

have
 $\llbracket \forall x \in \text{context.vars } \text{context}_x \cup \text{term.vars } \text{term}_x \cup \text{term.vars } \text{term}_1' \cup$
clause.vars premise₁'.
First-Order-Type-System.welltyped typeof-fun \mathcal{V}_1 ($(\varrho_1 \odot \gamma) x$) ($\mathcal{V}_1 x$);
First-Order-Type-System.welltyped typeof-fun \mathcal{V}_1 *term_x τ_x*
 \implies *First-Order-Type-System.welltyped typeof-fun* \mathcal{V}_1 (*term_x · t* ϱ_1 · *t* γ)

τ_x
by (*metis UnI2 sup commute term-subst.subst-comp-subst welltyped _{σ} -on-def*
welltyped _{σ} -on-term)

then show *?thesis*
using *typing*(4) τ_x
unfolding *welltyped _{σ} -on-def var_x premise₁ literal₁ term₁-with-context*
by *clause-simp*

qed

then have τ_x -*update*: *welltyped typeof-fun* \mathcal{V}_1 *?update* τ_x
unfolding *aux*
using *aux'*
by (*meson welltyped _{κ}*)

let *?premise₁- γ'* = *clause.to-ground* (*premise₁ · ϱ_1 · γ'*)
have *premise₁- γ' -grounding*: *clause.is-ground* (*premise₁ · ϱ_1 · γ'*)
using *clause.ground-subst-upd[OF update-grounding premise₁-grounding]*
unfolding γ'
by *blast*

have γ' -*ground*: *term-subst.is-ground-subst* ($\varrho_1 \odot \gamma'$)
by (*metis γ' term.ground-subst-upd term-subst.comp-subst.left.monoid-action-compatibility*
term-subst.is-ground-subst-def typing(3) *update-grounding*)

have γ' -*wt*: *welltyped _{σ} -on* (*clause.vars premise₁*) *typeof-fun* \mathcal{V}_1 ($\varrho_1 \odot \gamma'$)

```

using welldtypedσ-on-subst-upd[OF τx-varx τx-update typing(4)]
unfolding γ' welldtypedσ-on-def subst-compose
using First-Order-Type-System.welldtyped.simps τx τx-update eval-term.simps(1)

eval-with-fresh-var fun-upd-same is-Var-termx renaming(1) subst-compose-def
term.collapse(1) term.distinct(1) term.set-cases(2) term-subst-is-renaming-iff

  the-inv-f-f typing(4) varx welldtypedσ-on-def
by (smt (verit, del-insts))

show {?premise1-γ'} ⊆ premise-groundings
using premise1-γ'-grounding typing γ'-wt γ'-ground
unfolding clause.subst-comp-subst[symmetric] premise1 premise-groundings

  clause-groundings-def
by auto

show finite {?premise1-γ'}
by simp

show ground.G-entails ({?premise1-γ'} ∪ {premiseG2}) {conclusionG}
proof(unfold ground.G-entails-def, intro allI impI)
  fix I :: 'f gterm rel'
  let ?I = upair ' I

assume
  refl: refl I and
  trans: trans I and
  sym: sym I and
  compatible-with-gctxt: compatible-with-gctxt I and
  premise: ?I ⊨s {?premise1-γ'} ∪ {premiseG2}

have varx-γ-ground: term.is-ground (Var varx ·t γ)
using term1-with-context-γ
unfolding term1-with-context varx
by(clause-simp simp: term-subst.is-ground-subst-is-ground typing(3))

show ?I ⊨s {conclusionG}
proof(cases ?I ⊨ premiseG2')
  case True
  then show ?thesis
    unfolding ground-superpositionI(12)
    by auto
  next
  case False
  then have literalG2: ?I ⊨l literalG2
    using premise
    unfolding ground-superpositionI(2)

```

by *blast*

then have $?I \models ?context_x'-\gamma\langle term_{G1}\rangle_G \approx ?context_x'-\gamma\langle term_{G3}\rangle_G$
unfolding *ground-superpositionI(6)*
using *compatible-with-gctxt compatible-with-gctxt-def sym*
by *auto*

then have $?I \models term.to-ground (term_x \cdot t \varrho_1 \cdot t \gamma) \approx term.to-ground$
 $(term_x \cdot t \varrho_1 \cdot t \gamma')$
using *term_x-\gamma term_x-\gamma'*
by *argo*

moreover then have $?I \models ?premise_1-\gamma'$
using *premise by fastforce*

ultimately have $?I \models premise_{G1}$
using
interpretation-clause-congruence[OF
trans sym compatible-with-gctxt update-grounding var_x-\gamma-ground
premise_1-grounding
 $]$
var_x
unfolding γ'
by *simp*

then have $?I \models add-mset (\mathcal{P}_G (Upair\ context_G\langle term_{G1}\rangle_G\ term_{G2}))$
 $premise_{G1}'$
using *ground-superpositionI(1) ground-superpositionI(5) by auto*

then have $?I \models add-mset (\mathcal{P}_G (Upair\ context_G\langle term_{G3}\rangle_G\ term_{G2}))$
 $premise_{G1}'$
using
literal_{G2}
interpretation-context-congruence[OF trans sym compatible-with-gctxt]
interpretation-context-congruence'[OF trans sym compatible-with-gctxt]
ground-superpositionI(4)
unfolding *ground-superpositionI(6)*
by $(cases\ \mathcal{P}_G = Pos)(auto\ simp: sym)$

then show *?thesis*
unfolding *ground-superpositionI(12)*
by *blast*

qed
qed

show $\forall clause_G \in \{?premise_1-\gamma'\}. clause_G \prec_{cG} premise_{G1}$
proof–
have $var_x-\gamma: \gamma\ var_x = term_x \cdot t \varrho_1 \cdot t \gamma$
using *var_x*

```

by simp

have contextx-grounding: context.is-ground (contextx · tc ρ1 · tc γ)
  using contextG
  unfolding subst-compose-ctxt-compose-distrib
by (metis context.ground-is-ground context-is-ground-context-compose1(1))

have termx-grounding: term.is-ground (termx · t ρ1 · t γ)
  using term1-with-context-γ
  unfolding term1-with-context
by (clause-simp simp: term-subst.is-ground-subst-is-ground typing(3))

have
  (contextx ∘c contextx' · tc ρ1 · tc γ)⟨term.from-ground termG3⟩  $\prec_t$  con-
textx⟨termx⟩ · t ρ1 · t γ
  using ground-superpositionI(8)
  unfolding
    lesstG-def
    contextG[symmetric]
    term1-with-context[symmetric]
    term1-with-context-γ
    lesst-ground-context-compatible-iff[OF
      context.ground-is-ground term.ground-is-ground term.ground-is-ground].

then have update-smaller: ?update  $\prec_t$  γ varx
  unfolding
    varx-γ
    subst-apply-term-ctxt-apply-distrib
    subst-compose-ctxt-compose-distrib
    Subterm-and-Context.ctxt-ctxt-compose
by(rule lesst-ground-context-compatible'[OF
      contextx-grounding update-grounding termx-grounding])

have varx-in-literal1: varx ∈ literal.vars (literal1 · l ρ1)
  unfolding literal1 term1-with-context literal.vars-def atom.vars-def
  using varx
  by(auto simp: subst-literal subst-atom)

have literal1-smaller: literal1 · l ρ1 · l γ' <l literal1 · l ρ1 · l γ
  unfolding γ'
  using lessl-subst-upd[OF
    update-grounding
    update-smaller
    literal1-grounding[unfolded literal.subst-comp-subst]
    varx-in-literal1
  ].

have premise1'-grounding: clause.is-ground (premise1' · ρ1 · γ)
  using premise1'-γ

```

by *simp*
have *premise₁'-smaller*: $premise_1' \cdot \varrho_1 \cdot \gamma' \preceq_c premise_1' \cdot \varrho_1 \cdot \gamma$
unfolding γ'
using *less_c-subst-upd*[*of - γ , OF update-grounding update-smaller premise₁'-grounding*]
by(*cases var_x \in clause.vars (premise₁' \cdot ϱ_1)*) *simp-all*

have *?premise₁- γ' \prec_{cG} premise_{G1}*
using *less_c-add-mset*[*OF literal₁-smaller premise₁'-smaller*]
unfolding
less_{cG}-less_c
premise_{G1}
subst-clause-add-mset[*symmetric*]
clause.to-ground-inverse[*OF premise₁- γ' -grounding*]
clause.to-ground-inverse[*OF premise₁-grounding*]
unfolding *premise₁*.

then show *?thesis*
by *blast*
qed
qed
qed

obtain *context₁ term₁ where*
term₁-with-context: $term_1\text{-with-context} = context_1 \langle term_1 \rangle$ **and**
term₁- γ : $term_1 \cdot t \varrho_1 \cdot t \gamma = term.\text{from-ground } term_{G1}$ **and**
context₁- γ : $context_1 \cdot t_c \varrho_1 \cdot t_c \gamma = context.\text{from-ground } context_G$ **and**
term₁-not-Var: $\neg is\text{-Var } term_1$
using *non-redundant ground-superposition inference-into-var-is-redundant*
unfolding
ground.Red-I-def
ground.G-Inf-def
premise-groundings
 ι_G
conclusion_G
ground-superpositionI(1, 2)
premise-groundings
by *blast*

obtain *term₂'-with-context where*
term₂'-with-context- γ :
 $term_2'\text{-with-context} \cdot t \gamma = (context.\text{from-ground } context_G) \langle term.\text{from-ground } term_{G3} \rangle$ **and**
term₂'-with-context: $term_2'\text{-with-context} = (context_1 \cdot t_c \varrho_1) \langle term_2' \cdot t \varrho_2 \rangle$
unfolding *term₂'- γ* [*symmetric*] *context₁- γ* [*symmetric*]
by *force*

define \mathcal{V}_3 **where**
 $\bigwedge x. \mathcal{V}_3 x \equiv$

```

    if  $x \in \text{clause.vars } (\text{premise}_1 \cdot \varrho_1)$ 
    then  $\mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x))$ 
    else  $\mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x))$ 

have  $\text{wt-}\gamma$ :
   $\text{welltyped}_\sigma\text{-on } (\text{clause.vars } (\text{premise}_1 \cdot \varrho_1) \cup \text{clause.vars } (\text{premise}_2 \cdot \varrho_2)) \text{ typeof-fun}$ 
 $\mathcal{V}_3 \gamma$ 
proof( $\text{unfold welltyped}_\sigma\text{-on-def, intro ballI}$ )
  fix  $x$ 
  assume  $x\text{-in-vars: } x \in \text{clause.vars } (\text{premise}_1 \cdot \varrho_1) \cup \text{clause.vars } (\text{premise}_2 \cdot \varrho_2)$ 

  obtain  $f \text{ ts where } \gamma\text{-}x: \gamma x = \text{Fun } f \text{ ts}$ 
  using  $\text{obtain-ground-fun term-subst.is-ground-subst-is-ground}[OF \text{ typing}(3)]$ 
  by ( $\text{metis eval-term.simps}(1)$ )

  have  $\text{welltyped typeof-fun } \mathcal{V}_3 (\gamma x) (\mathcal{V}_3 x)$ 
  proof( $\text{cases } x \in \text{clause.vars } (\text{premise}_1 \cdot \varrho_1)$ )
    case  $\text{True}$ 
      then have  $\text{Var } x \in \varrho_1 \text{ ' clause.vars premise}_1$ 
      by ( $\text{metis image-eqI renaming}(1) \text{ renaming-vars-clause}$ )

      then have  $y\text{-in-vars: the-inv } \varrho_1 (\text{Var } x) \in \text{clause.vars premise}_1$ 
      by ( $\text{metis (no-types, lifting) image-iff renaming}(1) \text{ term-subst-is-renaming-iff}$ 
 $\text{the-inv-f-f}$ )

      define  $y$  where  $y \equiv \text{the-inv } \varrho_1 (\text{Var } x)$ 

      have  $\text{term.is-ground } (\text{Var } y \cdot t \varrho_1 \cdot t \gamma)$ 
      using  $\text{term-subst.is-ground-subst-is-ground typing}(3)$  by  $\text{blast}$ 

      moreover have  $\text{welltyped typeof-fun } \mathcal{V}_1 (\text{Var } y \cdot t \varrho_1 \cdot t \gamma) (\mathcal{V}_1 y)$ 
      using  $\text{typing}(4) y\text{-in-vars}$ 
      unfolding  $\text{welltyped}_\sigma\text{-on-def } y\text{-def}$ 
      by ( $\text{simp add: subst-compose}$ )

      ultimately have  $\text{welltyped typeof-fun } \mathcal{V}_3 (\text{Var } y \cdot t \varrho_1 \cdot t \gamma) (\mathcal{V}_1 y)$ 
      by ( $\text{meson welltyped-is-ground}$ )

      moreover have  $\varrho_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \text{Var } x$ 
      by ( $\text{metis } \langle \text{Var } x \in \varrho_1 \text{ ' clause.vars premise}_1 \rangle \text{ image-iff renaming}(1)$ 
 $\text{term-subst-is-renaming-iff the-inv-f-f}$ )

      ultimately show  $?thesis$ 
      using  $\text{True}$ 
      unfolding  $\mathcal{V}_3\text{-def } y\text{-def}$ 
      by  $\text{simp}$ 
    next
      case  $\text{False}$ 
      then have  $\text{Var } x \in \varrho_2 \text{ ' clause.vars premise}_2$ 

```


using *x-in-vars*
by (*metis Un-iff image-eqI renaming(2) renaming-vars-clause*)

then have *y-in-vars: the-inv ϱ_2 (Var x) \in clause.vars premise₂*
by (*metis (no-types, lifting) image-iff renaming(2) term-subst-is-renaming-iff the-inv-f-f*)

define y where $y \equiv \text{the-inv } \varrho_2 \text{ (Var } x)$

have *term.is-ground (Var y · t ϱ_2 · t γ)*
using *term-subst.is-ground-subst-is-ground typing(3) by blast*

moreover have *welltyped typeof-fun \mathcal{V}_2 (Var y · t ϱ_2 · t γ) (\mathcal{V}_2 y)*
using *typing(5) y-in-vars*
unfolding *welltyped _{σ} -on-def y-def*
by (*simp add: subst-compose*)

ultimately have *welltyped typeof-fun \mathcal{V}_3 (Var y · t ϱ_2 · t γ) (\mathcal{V}_2 y)*
by (*meson welltyped-is-ground*)

moreover have ϱ_2 (*the-inv ϱ_2 (Var x) = Var x*)
by (*metis \langle Var x \in ϱ_2 ‘ clause.vars premise₂ \rangle image-iff renaming(2) term-subst-is-renaming-iff the-inv-f-f*)

ultimately show *?thesis*
using *False*
unfolding *\mathcal{V}_3 -def y-def*
by *simp*

qed

then show *welltyped typeof-fun \mathcal{V}_3 (γ x) (\mathcal{V}_3 x)*
unfolding *γ -x.*

qed

have *term₁ · t ϱ_1 · t γ = term₂ · t ϱ_2 · t γ*
unfolding *term₁- γ term₂- γ ..*

moreover have
 $\exists \tau. \text{welltyped typeof-fun } \mathcal{V}_3 \text{ (term}_1 \cdot t \varrho_1) \tau \wedge \text{welltyped typeof-fun } \mathcal{V}_3 \text{ (term}_2 \cdot t \varrho_2) \tau$

proof –
have *welltyped_c typeof-fun \mathcal{V}_2 (premise₂ · ϱ_2 · γ)*
using *typing*
by (*metis clause.subst-comp-subst welltyped _{σ} -on-welltyped_c*)

then obtain τ where
welltyped typeof-fun \mathcal{V}_2 (term.from-ground term_{G1}) τ
unfolding *premise₂- γ ground-superpositionI*
by *clause-simp*

then have
welltyped typeof-fun \mathcal{V}_3 (*term.from-ground* $term_{G1}$) τ
using *welltyped-is-ground*
by (*metis term.ground-is-ground*)+

then have
welltyped typeof-fun \mathcal{V}_3 (*term.from-ground* $term_{G1}$) τ
by *auto*

then have
welltyped typeof-fun \mathcal{V}_3 ($term_1 \cdot t \varrho_1 \cdot t \gamma$) τ *welltyped typeof-fun* \mathcal{V}_3 ($term_2$
 $\cdot t \varrho_2 \cdot t \gamma$) τ
using *term₁- γ term₂- γ*
by *presburger+*

moreover have
 $term.vars (term_1 \cdot t \varrho_1) \subseteq clause.vars (premise_1 \cdot \varrho_1)$
 $term.vars (term_2 \cdot t \varrho_2) \subseteq clause.vars (premise_2 \cdot \varrho_2)$
unfolding *premise₁ literal₁ subst-clause-add-mset term₁-with-context premise₂*
literal₂
by *clause-simp*

ultimately have
welltyped typeof-fun \mathcal{V}_3 ($term_1 \cdot t \varrho_1$) τ *welltyped typeof-fun* \mathcal{V}_3 ($term_2 \cdot t \varrho_2$)
 τ
using *wt- γ*
unfolding *welltyped _{σ} -on-def*
by (*meson sup-ge1 sup-ge2 welltyped _{σ} -on-subset welltyped _{σ} -on-term wt- γ*)+

then show *?thesis*
by *blast*

qed

ultimately obtain $\mu \sigma$ **where** μ :
 $term\text{-}subst.is\text{-}imgu \mu \{\{term_1 \cdot t \varrho_1, term_2 \cdot t \varrho_2\}\}$
 $\gamma = \mu \odot \sigma$
welltyped-imgu' typeof-fun \mathcal{V}_3 ($term_1 \cdot t \varrho_1$) ($term_2 \cdot t \varrho_2$) μ
using *welltyped-imgu'-exists*
by (*smt (verit, del-insts)*)

define *conclusion'* **where**
 $conclusion'$: $conclusion' \equiv$
 $add\text{-}mset (?P (Upair term_2'\text{-}with\text{-}context (term_1' \cdot t \varrho_1))) (premise_1' \cdot \varrho_1 +$
 $premise_2' \cdot \varrho_2) \cdot \mu$

show *?thesis*
proof(*rule that*)
show *superposition (premise₂, \mathcal{V}_2) (premise₁, \mathcal{V}_1) (conclusion', \mathcal{V}_3)*

```

proof(rule superpositionI)
  show term-subst.is-renaming  $\varrho_1$ 
    using renaming(1).
next
  show term-subst.is-renaming  $\varrho_2$ 
    using renaming(2).
next
  show  $\text{premise}_1 = \text{add-mset literal}_1 \text{ premise}_1'$ 
    using premise1.
next
  show  $\text{premise}_2 = \text{add-mset literal}_2 \text{ premise}_2'$ 
    using premise2.
next
  show  $?P \in \{Pos, Neg\}$ 
    by simp
next
  show  $\text{literal}_1 = ?P (\text{Upair context}_1 \langle \text{term}_1 \rangle \text{ term}_1')$ 
    unfolding literal1 term1-with-context..
next
  show  $\text{literal}_2 = \text{term}_2 \approx \text{term}_2'$ 
    using literal2.
next
  show is-Fun term1
    using term1-not-Var.
next
  show term-subst.is-ingu  $\mu \{\{\text{term}_1 \cdot t \varrho_1, \text{term}_2 \cdot t \varrho_2\}\}$ 
    using  $\mu(1)$ .
next
note premises-clause-to-ground-inverse = assms(9, 10)[THEN clause.to-ground-inverse]

note premise-groundings = assms(10, 9)[unfolded  $\mu(2)$  clause.subst-comp-subst]

  have  $\text{premise}_2 \cdot \varrho_2 \cdot \mu \cdot \sigma \prec_c \text{premise}_1 \cdot \varrho_1 \cdot \mu \cdot \sigma$ 
    using ground-superpositionI(3)
  unfolding premiseG1 premiseG2 lesscG-lessc premises-clause-to-ground-inverse

  unfolding  $\mu(2)$  clause.subst-comp-subst
  by blast

  then show  $\neg \text{premise}_1 \cdot \varrho_1 \cdot \mu \preceq_c \text{premise}_2 \cdot \varrho_2 \cdot \mu$ 
    using lessc-less-eqc-ground-subst-stability[OF premise-groundings]
    by blast
next
  show  $?P = Pos$ 
     $\wedge$  select  $\text{premise}_1 = \{\#\}$ 
     $\wedge$  is-strictly-maximall ( $\text{literal}_1 \cdot l \varrho_1 \cdot l \mu$ ) ( $\text{premise}_1 \cdot \varrho_1 \cdot \mu$ )
   $\vee$   $?P = Neg$ 
     $\wedge$  (select  $\text{premise}_1 = \{\#\}$   $\wedge$  is-maximall ( $\text{literal}_1 \cdot l \varrho_1 \cdot l \mu$ ) ( $\text{premise}_1 \cdot$ 
 $\varrho_1 \cdot \mu$ ))

```

```

       $\vee$  is-maximall (literal1 · l  $\varrho_1$  · l  $\mu$ ) ((select premise1) ·  $\varrho_1$  ·  $\mu$ )
proof(cases ?P = Pos)
  case True
    moreover then have select-empty: select premise1 = {#}
      using clause-subst-empty select(1) ground-superpositionI(9)
      by clause-auto

    moreover have is-strictly-maximall (literal1 · l  $\varrho_1$  · l  $\mu$  · l  $\sigma$ ) (premise1 ·  $\varrho_1$ 
·  $\mu$  ·  $\sigma$ )
      using True pos-literalG1-is-strictly-maximall
      unfolding literal1- $\gamma$ [symmetric]  $\mu(2)$ 
      by force

    moreover then have is-strictly-maximall (literal1 · l  $\varrho_1$  · l  $\mu$ ) (premise1 ·  $\varrho_1$ 
·  $\mu$ )
      using
        is-strictly-maximall-ground-subst-stability'[OF
        -
        premise1-grounding[unfolded  $\mu(2)$  clause.subst-comp-subst
        ]
        clause.subst-in-to-set-subst
        literal1-in-premise1
      by blast

    ultimately show ?thesis
      by auto
  next
    case P-not-Pos: False
    then have PG-Neg: PG = Neg
      using ground-superpositionI(4)
      by fastforce

    show ?thesis
    proof(cases ?selectG-empty)
      case selectG-empty: True

        then have select premise1 = {#}
          using clause-subst-empty select(1) ground-superpositionI(9) PG-Neg
          by clause-auto

        moreover have is-maximall (literal1 · l  $\varrho_1$  · l  $\mu$  · l  $\sigma$ ) (premise1 ·  $\varrho_1$  ·  $\mu$  ·  $\sigma$ )
          using neg-literalG1-is-maximall[OF selectG-empty]
          unfolding literal1- $\gamma$ [symmetric]  $\mu(2)$ 
          by simp

        moreover then have is-maximall (literal1 · l  $\varrho_1$  · l  $\mu$ ) (premise1 ·  $\varrho_1$  ·  $\mu$ )
          using
            is-maximall-ground-subst-stability'[OF
            -

```

```

      premise1-grounding[unfolded  $\mu(2)$  clause.subst-comp-subst]
    ]
    clause.subst-in-to-set-subst
    literal1-in-premise1
  by blast

ultimately show ?thesis
  using  $\mathcal{P}_G$ -Neg
  by simp
next
case selectG-not-empty: False

have selected-grounding: clause.is-ground (select premise1 ·  $\varrho_1$  ·  $\mu$  ·  $\sigma$ )
  using select-subst(1)[OF premise1-grounding] select(1)
  unfolding  $\mu(2)$  clause.subst-comp-subst
  by (metis clause.ground-is-ground)

note selected-subst =
  literal1-selected[
    OF  $\mathcal{P}_G$ -Neg selectG-not-empty,
    THEN maximal1-in-clause,
    THEN clause.subst-in-to-set-subst]

have is-maximal1 (literal1 ·  $l$  ·  $\varrho_1$  ·  $l$  ·  $\gamma$ ) (select premise1 ·  $\varrho_1$  ·  $\gamma$ )
  using selectG-not-empty ground-superpositionI(9)  $\mathcal{P}_G$ -Neg
  unfolding is-maximal-lit-iff-is-maximal1 literal1- $\gamma$ [symmetric] select(1)
  by simp

then have is-maximal1 (literal1 ·  $l$  ·  $\varrho_1$  ·  $l$  ·  $\mu$ ) ((select premise1) ·  $\varrho_1$  ·  $\mu$ )
  using is-maximal1-ground-subst-stability'[OF - selected-grounding] selected-subst
  by (metis  $\mu(2)$  clause.subst-comp-subst literal.subst-comp-subst)

with selectG-not-empty  $\mathcal{P}_G$ -Neg show ?thesis
  by simp
qed
qed
next
show select premise2 = {#}
  using ground-superpositionI(10) select(2)
  by clause-auto
next
have is-strictly-maximal1 (literal2 ·  $l$  ·  $\varrho_2$  ·  $l$  ·  $\mu$  ·  $l$  ·  $\sigma$ ) (premise2 ·  $\varrho_2$  ·  $\mu$  ·  $\sigma$ )
  using literalG2-is-strictly-maximal1
  unfolding literal2- $\gamma$ [symmetric]  $\mu(2)$ 
  by simp

then show is-strictly-maximal1 (literal2 ·  $l$  ·  $\varrho_2$  ·  $l$  ·  $\mu$ ) (premise2 ·  $\varrho_2$  ·  $\mu$ )
  using

```

```

    is-strictly-maximal1-ground-subst-stability'[OF
      - premise2-grounding[unfolded μ(2) clause.subst-comp-subst]]
    literal2-in-premise2
    clause.subst-in-to-set-subst
  by blast
next
have term-groundings:
  term.is-ground (term1' · t ρ1 · t μ · t σ)
  term.is-ground (context1(term1) · t ρ1 · t μ · t σ)
  unfolding
    term1-with-context[symmetric]
    term1-with-context-γ[unfolded μ(2) term-subst.subst-comp-subst]
    term1'-γ[unfolded μ(2) term-subst.subst-comp-subst]
  by simp-all

have term1' · t ρ1 · t μ · t σ <t context1(term1) · t ρ1 · t μ · t σ
  using ground-superpositionI(7)
  unfolding
    term1'-γ[unfolded μ(2) term-subst.subst-comp-subst]
    term1-with-context[symmetric]
    term1-with-context-γ[unfolded μ(2) term-subst.subst-comp-subst]
    lesstG-def
    ground-term-with-context(3).

then show ¬ context1(term1) · t ρ1 · t μ <=t term1' · t ρ1 · t μ
  using lesst-less-eqt-ground-subst-stability[OF term-groundings]
  by blast
next
have term-groundings:
  term.is-ground (term2' · t ρ2 · t μ · t σ)
  term.is-ground (term2 · t ρ2 · t μ · t σ)
  unfolding
    term2-γ[unfolded μ(2) term-subst.subst-comp-subst]
    term2'-γ[unfolded μ(2) term-subst.subst-comp-subst]
  by simp-all

have term2' · t ρ2 · t μ · t σ <t term2 · t ρ2 · t μ · t σ
  using ground-superpositionI(8)
  unfolding
    term2-γ[unfolded μ(2) term-subst.subst-comp-subst]
    term2'-γ[unfolded μ(2) term-subst.subst-comp-subst]
    lesstG-def.

then show ¬ term2 · t ρ2 · t μ <=t term2' · t ρ2 · t μ
  using lesst-less-eqt-ground-subst-stability[OF term-groundings]
  by blast
next
show
  conclusion' = add-mset (?P (Upair (context1 · tc ρ1)(term2' · t ρ2) (term1' · t

```

$\varrho_1)))$
 $(\text{premise}_1' \cdot \varrho_1 + \text{premise}_2' \cdot \varrho_2) \cdot \mu$
unfolding *term₂'-with-context conclusion'*.
show *welltyped-imgu' typeof-fun* \mathcal{V}_3 $(\text{term}_1 \cdot t \varrho_1) (\text{term}_2 \cdot t \varrho_2) \mu$
using $\mu(\mathcal{B})$ **by** *blast*

show $\text{clause.vars} (\text{premise}_1 \cdot \varrho_1) \cap \text{clause.vars} (\text{premise}_2 \cdot \varrho_2) = \{\}$
using *renaming*(\mathcal{B}).

show $\forall x \in \text{clause.vars} (\text{premise}_1 \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x$
unfolding $\mathcal{V}_3\text{-def}$
by *meson*

show $\forall x \in \text{clause.vars} (\text{premise}_2 \cdot \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x$
unfolding $\mathcal{V}_3\text{-def}$
using *renaming*(\mathcal{B})
by (*meson disjoint-iff*)

show *welltyped _{σ} -on* $(\text{clause.vars } \text{premise}_1)$ *typeof-fun* $\mathcal{V}_1 \varrho_1$
using *typing*(6).

show *welltyped _{σ} -on* $(\text{clause.vars } \text{premise}_2)$ *typeof-fun* $\mathcal{V}_2 \varrho_2$
using *typing*(7).

have $\exists \tau. \text{welltyped } \text{typeof-fun } \mathcal{V}_2 \text{ term}_2 \tau \wedge \text{welltyped } \text{typeof-fun } \mathcal{V}_2 \text{ term}_2' \tau$
using *typing*(2)
unfolding *premise₂ literal₂ welltyped_c-def welltyped_i-def welltyped_a-def*
by *auto*

then show $\bigwedge \tau \tau'. \llbracket \text{has-type } \text{typeof-fun } \mathcal{V}_2 \text{ term}_2 \tau; \text{has-type } \text{typeof-fun } \mathcal{V}_2 \text{ term}_2' \tau \rrbracket \implies \tau = \tau'$
by (*metis welltyped-right-unique has-type-welltyped right-uniqueD*)

show *all-types* \mathcal{V}_1 *all-types* \mathcal{V}_2
using *typing*
by *auto*
qed

have *term-subst.is-idem* μ
using $\mu(1)$
by (*simp add: term-subst.is-imgu-iff-is-idem-and-is-mgu*)

then have $\mu\text{-}\gamma: \mu \odot \gamma = \gamma$
unfolding $\mu(2)$ *term-subst.is-idem-def*
by (*metis subst-compose-assoc*)

have *conclusion'- γ : conclusion' \cdot $\gamma = \text{conclusion} \cdot \gamma$*
proof–
have *conclusion \cdot $\gamma =$*

add_mset ($?P$ ($Upair$ ($context.from-ground$ $context_G$) ($term.from-ground$ $term_{G3}$) ($term.from-ground$ $term_{G2}$)))
 $(clause.from-ground$ $premise_{G1}' + clause.from-ground$ $premise_{G2}'$)

proof–
have \llbracket
 $conclusion_G = add_mset$ ($context_G$ ($term_{G3}$) $_G \approx term_{G2}$) ($premise_{G1}' +$
 $premise_{G2}'$);
 $clause.from-ground$ ($clause.to-ground$ ($conclusion \cdot \gamma$)) = $conclusion \cdot \gamma$;
 $\mathcal{P}_G = Pos$ \rrbracket
 $\implies conclusion \cdot \gamma =$
 add_mset
 $((if$ $Pos = Pos$ $then$ Pos $else$ Neg)
 $(Upair$ ($term.from-ground$ $context_G$ ($term_{G3}$) $_G$) ($term.from-ground$
 $term_{G2}$)))
 $(clause.from-ground$ $premise_{G1}' + clause.from-ground$ $premise_{G2}'$)
by ($simp$ add : $literal-from-ground-atom-from-ground(2)$ $clause-from-ground-add-mset$
 $atom-from-ground-term-from-ground$)

moreover have \llbracket
 $conclusion_G = add_mset$ ($context_G$ ($term_{G3}$) $_G \not\approx term_{G2}$) ($premise_{G1}' +$
 $premise_{G2}'$);
 $clause.from-ground$ ($clause.to-ground$ ($conclusion \cdot \gamma$)) = $conclusion \cdot \gamma$;
 $\mathcal{P}_G = Neg$ \rrbracket
 $\implies conclusion \cdot \gamma =$
 add_mset
 $((if$ $Neg = Pos$ $then$ Pos $else$ Neg)
 $(Upair$ ($term.from-ground$ $context_G$ ($term_{G3}$) $_G$) ($term.from-ground$
 $term_{G2}$)))
 $(clause.from-ground$ $premise_{G1}' + clause.from-ground$ $premise_{G2}'$)
by ($simp$ add : $literal-from-ground-atom-from-ground(1)$ $clause-from-ground-add-mset$
 $atom-from-ground-term-from-ground$)

ultimately show $?thesis$
using $ground-superpositionI(4, 12)$ $clause.to-ground-inverse[OF$ $conclu-$
 $sion-grounding]$
unfolding $ground-term-with-context(3)$
by $clause-simp$
qed

then show $?thesis$
unfolding
 $conclusion'$
 $term_2'-with-context-\gamma[symmetric]$
 $premise_1'-\gamma[symmetric]$
 $premise_2'-\gamma[symmetric]$
 $term_1'-\gamma[symmetric]$
 $subst-clause-plus[symmetric]$


```

      subst-apply-term-ctxt-apply-distrib[symmetric]
      subst-atom[symmetric]
unfolding
      clause.subst-comp-subst[symmetric]
       $\mu\text{-}\gamma$ 
by(simp add: subst-clause-add-mset subst-literal)
qed

have vars-conclusion':
  clause.vars conclusion'  $\subseteq$  clause.vars (premise1 ·  $\varrho_1$ )  $\cup$  clause.vars (premise2
  ·  $\varrho_2$ )
proof
  fix x
  assume x  $\in$  clause.vars conclusion'

  then consider
    (term2'-with-context) x  $\in$  term.vars (term2'-with-context · t  $\mu$ )
  | (term1') x  $\in$  term.vars (term1' · t  $\varrho_1$  · t  $\mu$ )
  | (premise1') x  $\in$  clause.vars (premise1' ·  $\varrho_1$  ·  $\mu$ )
  | (premise2') x  $\in$  clause.vars (premise2' ·  $\varrho_2$  ·  $\mu$ )
  unfolding conclusion' subst-clause-add-mset subst-clause-plus subst-literal
  by clause-simp

  then show x  $\in$  clause.vars (premise1 ·  $\varrho_1$ )  $\cup$  clause.vars (premise2 ·  $\varrho_2$ )
  proof(cases)
    case t: term2'-with-context
    then show ?thesis
      using vars-context-imgu[OF  $\mu(1)$ ] vars-term-imgu[OF  $\mu(1)$ ]
    unfolding premise1 literal1 term1-with-context premise2 literal2 term2'-with-context
    apply clause-simp
    by blast
  next
    case term1'
    then show ?thesis
      using vars-term-imgu[OF  $\mu(1)$ ]
      unfolding premise1 subst-clause-add-mset literal1 term1-with-context
premise2 literal2
      by clause-simp
  next
    case premise1'
    then show ?thesis
      using vars-clause-imgu[OF  $\mu(1)$ ]
      unfolding premise1 subst-clause-add-mset literal1 term1-with-context
premise2 literal2
      by clause-simp
  next
    case premise2'
    then show ?thesis
      using vars-clause-imgu[OF  $\mu(1)$ ]

```

```

      unfolding premise1 subst-clause-add-mset literal1 term1-with-context
premise2 literal2
      by clause-simp
      qed
qed

have surjx: surj ( $\lambda x. \text{the-inv } \varrho_2 \text{ (Var } x)$ )
using surj-the-inv[OF renaming(2)].

have yy:
 $\bigwedge P Q b ty. \{x. (\text{if } b \ x \ \text{then } P \ x \ \text{else } Q \ x) = ty\} =$ 
 $\{x. b \ x \wedge P \ x = ty\} \cup \{x. \neg b \ x \wedge Q \ x = ty\}$ 
by auto

have qq:  $\bigwedge ty. \text{infinite } \{x. \mathcal{V}_2 (\text{the-inv } \varrho_2 \text{ (Var } x)) = ty\}$ 
using needed[OF surjx typing(9)[unfolded all-types-def, rule-format]].

have zz:
 $\bigwedge ty. \{x. x \notin \text{clause.vars } (\text{premise}_1 \cdot \varrho_1) \wedge \mathcal{V}_2 (\text{the-inv } \varrho_2 \text{ (Var } x)) = ty\} =$ 
 $\{x. \mathcal{V}_2 (\text{the-inv } \varrho_2 \text{ (Var } x)) = ty\} - \{x. x \in \text{clause.vars } (\text{premise}_1 \cdot \varrho_1)\}$ 
by auto

have  $\bigwedge ty. \text{infinite } \{x. x \notin \text{clause.vars } (\text{premise}_1 \cdot \varrho_1) \wedge \mathcal{V}_2 (\text{the-inv } \varrho_2 \text{ (Var } x)) = ty\}$ 
unfolding zz
using qq
by auto

then have all-types-V3: all-types V3
unfolding V3-def all-types-def yy
by auto

show  $\iota_G \in \text{inference-groundings } (\text{Infer } [(\text{premise}_2, \mathcal{V}_2), (\text{premise}_1, \mathcal{V}_1)] (\text{conclusion}', \mathcal{V}_3))$ 
proof–
have  $\llbracket \text{conclusion}' \cdot \gamma = \text{conclusion} \cdot \gamma;$ 
 $\text{ground.ground-superposition } (\text{clause.to-ground } (\text{premise}_2 \cdot \varrho_2 \cdot \gamma))$ 
 $(\text{clause.to-ground } (\text{premise}_1 \cdot \varrho_1 \cdot \gamma)) (\text{clause.to-ground } (\text{conclusion} \cdot \gamma));$ 
 $\text{welltyped}_\sigma\text{-on } (\text{clause.vars } \text{conclusion}') \ \text{typeof-fun } \mathcal{V}_3 \ \gamma; \ \text{all-types } \mathcal{V}_3 \rrbracket$ 
 $\implies \text{First-Order-Type-System.welltyped}_c \ \text{typeof-fun } \mathcal{V}_3 \ \text{conclusion}'$ 
using  $\langle \text{superposition } (\text{premise}_2, \mathcal{V}_2) (\text{premise}_1, \mathcal{V}_1) (\text{conclusion}', \mathcal{V}_3) \rangle$ 
 $\text{superposition-preserves-typing } \text{typing}(1) \ \text{typing}(2) \ \mathbf{by} \ \text{blast}$ 

then have
 $\text{is-inference-grounding } (\text{Infer } [(\text{premise}_2, \mathcal{V}_2), (\text{premise}_1, \mathcal{V}_1)] (\text{conclusion}', \mathcal{V}_3)) \ \iota_G \ \gamma \ \varrho_1 \ \varrho_2$ 
using
 $\text{conclusion}'\text{-}\gamma \ \text{ground-superposition}$ 
 $\text{welltyped}_\sigma\text{-on-subset}[\text{OF } \text{wt-}\gamma \ \text{vars-conclusion}']$ 

```

all-types- \mathcal{V}_3
unfolding *is-inference-grounding-def*
unfolding *ground.G-Inf-def ι_G*
by (*auto simp: typing renaming premise₁-grounding premise₂-grounding conclusion-grounding*)

then show *?thesis*
using *is-inference-grounding-inference-groundings*
by *blast*
qed

show *conclusion' · γ = conclusion · γ*
using *conclusion'- γ .*
qed
qed

lemma *eq-resolution-ground-instance:*

assumes

$\iota_G \in \text{ground.eq-resolution-inferences}$
 $\iota_G \in \text{ground.Inf-from-q select}_G (\bigcup (\text{clause-groundings typeof-fun ' premises}))$
subst-stability-on typeof-fun premises

obtains *ι where*

$\iota \in \text{Inf-from premises}$
 $\iota_G \in \text{inference-groundings } \iota$

proof –

obtain *premise_G conclusion_G where*

$\iota_G : \iota_G = \text{Infer [premise}_G] \text{ conclusion}_G$ and
ground-eq-resolution: ground.ground-eq-resolution premise_G conclusion_G
using *assms(1)*
by *blast*

have *premise_G-in-groundings: premise_G $\in \bigcup (\text{clause-groundings typeof-fun ' premises})$*

using *assms(2)*
unfolding *$\iota_G \text{ ground.Inf-from-q-def ground.Inf-from-def}$*
by *simp*

obtain *premise conclusion $\gamma \mathcal{V}$ where*

clause.from-ground premise_G = premise · γ and
clause.from-ground conclusion_G = conclusion · γ and
select: clause.from-ground (select_G premise_G) = select premise · γ and
premise-in-premises: (premise, \mathcal{V}) \in premises and
typing: welltyped_c typeof-fun \mathcal{V} premise
term-subst.is-ground-subst γ
welltyped _{σ} -on (clause.vars premise) typeof-fun \mathcal{V} γ
all-types \mathcal{V}

proof –

have *x: $\bigwedge a b. \llbracket \bigwedge \text{premise } \gamma \text{ conclusion } \mathcal{V}. \llbracket \text{clause.from-ground premise}_G = \text{premise} \cdot \gamma; \llbracket \text{clause.from-ground conclusion}_G = \text{conclusion} \cdot \gamma;$*

```

      clause.from-ground (selectG premiseG) = select premise · γ;
      (premise, V) ∈ premises;
      First-Order-Type-System.welltypedc typeof-fun V premise;
      term-subst.is-ground-subst γ;
      welltypedσ-on (clause.vars premise) typeof-fun V γ; all-types V]]
    ⇒ thesis;
  ∀ y ∈ premises.
    ∀ premiseG ∈ clause-groundings typeof-fun y.
      ∃ x ∈ premises.
        case x of
          (premise, V) ⇒
            ∃ γ. premise · γ = clause.from-ground premiseG ∧
              selectG (clause.to-ground (premise · γ)) =
                clause.to-ground (select premise · γ) ∧
                First-Order-Type-System.welltypedc typeof-fun V premise ∧
                welltypedσ-on (clause.vars premise) typeof-fun V γ ∧
                term-subst.is-ground-subst γ ∧ all-types V;
            Infer [premiseG] conclusionG ∈ ground.G-Inf; (a, b) ∈ premises;
            premiseG ∈ clause-groundings typeof-fun (a, b)]
          ⇒ thesis
  by (smt (verit, del-insts) case-prodE clause.ground-is-ground select-subst1
      clause.subst-ident-if-ground clause.from-ground-inverse clause.to-ground-inverse)

then show ?thesis
  using assms(2, 3) premiseG-in-groundings that
  unfolding ιG ground.Inf-from-q-def ground.Inf-from-def
  by auto
qed

then have
  premise-grounding: clause.is-ground (premise · γ) and
  premiseG: premiseG = clause.to-ground (premise · γ) and
  conclusion-grounding: clause.is-ground (conclusion · γ) and
  conclusionG: conclusionG = clause.to-ground (conclusion · γ)
  using clause.ground-is-ground clause.from-ground-inverse
  by(smt(verit))+

obtain conclusion' where
  eq-resolution: eq-resolution (premise, V) (conclusion', V) and
  ιG: ιG = Infer [clause.to-ground (premise · γ)] (clause.to-ground (conclusion' ·
  γ)) and
  inference-groundings: ιG ∈ inference-groundings (Infer [(premise, V)] (conclusion',
  V)) and
  conclusion'-conclusion: conclusion' · γ = conclusion · γ
  using
  eq-resolution-lifting[OF
  premise-grounding
  conclusion-grounding
  select[unfolded premiseG]

```

```

      ground-eq-resolution[unfolded premiseG conclusionG]
      typing
    ]
  unfolding premiseG conclusionG ιG
  by metis

let ?ι = Infer [(premise, V)] (conclusion', V)

show ?thesis
proof(rule that)
  show ?ι ∈ Inf-from premises
  using premise-in-premises eq-resolution
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto

  show ιG ∈ inference-groundings ?ι
  using inference-groundings.
qed
qed

lemma eq-factoring-ground-instance:
  assumes
    ιG ∈ ground.eq-factoring-inferences
    ιG ∈ ground.Inf-from-q selectG (⋃(clause-groundings typeof-fun ' premises))
    subst-stability-on typeof-fun premises
  obtains ι where
    ι ∈ Inf-from premises
    ιG ∈ inference-groundings ι
proof-
  obtain premiseG conclusionG where
    ιG : ιG = Infer [premiseG] conclusionG and
    ground-eq-factoring: ground.ground-eq-factoring premiseG conclusionG
  using assms(1)
  by blast

  have premiseG-in-groundings: premiseG ∈ ⋃(clause-groundings typeof-fun ' premises)
  using assms(2)
  unfolding ιG ground.Inf-from-q-def ground.Inf-from-def
  by simp

  obtain premise conclusion γ V where
    clause.from-ground premiseG = premise · γ and
    clause.from-ground conclusionG = conclusion · γ and
    select: clause.from-ground (selectG (clause.to-ground (premise · γ))) = select
premise · γ and
  premise-in-premises: (premise, V) ∈ premises and
  typing:
  welltypedc typeof-fun V premise
  term-subst.is-ground-subst γ

```

```

welltyped $\sigma$ -on (clause.vars premise) typeof-fun  $\mathcal{V}$   $\gamma$ 
all-types  $\mathcal{V}$ 
using assms(2, 3) premise $_G$ -in-groundings
unfolding  $\iota_G$  ground.Inf-from-q-def ground.Inf-from-def
by (smt (verit) clause.subst-ident-if-ground clause.ground-is-ground
      old.prod.case old.prod.exhaust select-subst1 clause.to-ground-inverse)

```

then have

```

premise-grounding: clause.is-ground (premise  $\cdot$   $\gamma$ ) and
premise $_G$ : premise $_G$  = clause.to-ground (premise  $\cdot$   $\gamma$ ) and
conclusion-grounding: clause.is-ground (conclusion  $\cdot$   $\gamma$ ) and
conclusion $_G$ : conclusion $_G$  = clause.to-ground (conclusion  $\cdot$   $\gamma$ )
by (smt(verit) clause.ground-is-ground clause.from-ground-inverse)+

```

obtain conclusion' **where**

```

eq-factoring: eq-factoring (premise,  $\mathcal{V}$ ) (conclusion',  $\mathcal{V}$ ) and
inference-groundings:  $\iota_G \in$  inference-groundings (Infer [(premise,  $\mathcal{V}$ )] (conclusion',
 $\mathcal{V}$ )) and
conclusion'-conclusion: conclusion'  $\cdot$   $\gamma$  = conclusion  $\cdot$   $\gamma$ 
using
  eq-factoring-lifting[OF
    premise-grounding
    conclusion-grounding
    select
    ground-eq-factoring[unfolded premise $_G$  conclusion $_G$ ]
  ]
  typing
unfolding premise $_G$  conclusion $_G$   $\iota_G$ 
by metis

```

let ? ι = Infer [(premise, \mathcal{V})] (conclusion', \mathcal{V})

show ?thesis

proof(rule that)

```

show ? $\iota \in$  Inf-from premises
using premise-in-premises eq-factoring
unfolding Inf-from-def inferences-def inference-system.Inf-from-def
by auto

```

```

show  $\iota_G \in$  inference-groundings ? $\iota$ 
using inference-groundings.

```

qed

qed

lemma subst-compose-if: $\sigma \odot (\lambda var. \text{if } var \in \text{range-vars}' \sigma \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = \sigma \odot \sigma_1$

```

unfolding subst-compose-def range-vars'-def
using term-subst-eq-conv
by fastforce

```

lemma *subst-compose-if'*:

assumes $\text{range-vars}' \sigma \cap \text{range-vars}' \sigma' = \{\}$

shows $\sigma \odot (\lambda \text{var. if } \text{var} \in \text{range-vars}' \sigma' \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = \sigma \odot \sigma_2$

proof –

have $\bigwedge x. \sigma x \cdot t (\lambda \text{var. if } \text{var} \in \text{range-vars}' \sigma' \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = \sigma x \cdot t \sigma_2$

proof –

fix x

have $\bigwedge xa. \llbracket \sigma x = \text{Var } xa; xa \in \text{range-vars}' \sigma' \rrbracket \implies \sigma_1 xa = \sigma_2 xa$

by (*metis IntI assms emptyE subst-compose-def term.set-intros(3) term-subst.comp-subst.left.right-neutral vars-term-range-vars'*)

moreover have $\bigwedge x1a x2 xa.$

$\llbracket \sigma x = \text{Fun } x1a x2; xa \in \text{set } x2 \rrbracket$

$\implies xa \cdot t (\lambda \text{var. if } \text{var} \in \text{range-vars}' \sigma' \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = xa \cdot t \sigma_2$

by (*smt (verit, ccfv-threshold) UNIV-I UN-iff assms disjoint-iff image-iff range-vars'-def term.set-intros(4) term-subst-eq-conv*)

ultimately show $\sigma x \cdot t (\lambda \text{var. if } \text{var} \in \text{range-vars}' \sigma' \text{ then } \sigma_1 \text{ var else } \sigma_2 \text{ var}) = \sigma x \cdot t \sigma_2$

by (*induction* σx) *auto*

qed

then show *?thesis*

unfolding *subst-compose-def*

by *presburger*

qed

lemma *is-ground-subst-if*:

assumes *term-subst.is-ground-subst* γ_1 *term-subst.is-ground-subst* γ_2

shows *term-subst.is-ground-subst* $(\lambda \text{var. if } \text{var} \in \text{range-vars}' \sigma' \text{ then } \gamma_1 \text{ var else } \gamma_2 \text{ var})$

using *assms*

unfolding *term-subst.is-ground-subst-def*

by (*simp add: is-ground-iff*)

lemma *superposition-ground-instance*:

assumes

$\iota_G \in \text{ground.superposition-inferences}$

$\iota_G \in \text{ground.Inf-from-q select}_G (\bigcup (\text{clause-groundings typeof-fun ' premises}))$

$\iota_G \notin \text{ground.GRed-I} (\bigcup (\text{clause-groundings typeof-fun ' premises}))$

subst-stability-on typeof-fun premises

obtains ι **where**

$\iota \in \text{Inf-from premises}$

$\iota_G \in \text{inference-groundings } \iota$

proof –

obtain premise_{G1} premise_{G2} conclusion_G **where**

$\iota_G : \iota_G = \text{Infer } [\text{premise}_{G2}, \text{premise}_{G1}] \text{ conclusion}_G$ **and**

ground-superposition: ground.ground-superposition premise_{G2} premise_{G1} con-

```

clusionG
  using assms(1)
  by blast

  have
    premiseG1-in-groundings: premiseG1 ∈ ∪ ( clause-groundings typeof-fun ‘ premises )
  and
    premiseG2-in-groundings: premiseG2 ∈ ∪ ( clause-groundings typeof-fun ‘ premises )
    using assms(2)
    unfolding ιG ground.Inf-from-q-def ground.Inf-from-def
    by simp-all

  obtain premise1 V1 premise2 V2 γ1 γ2 where
    premise1-γ1: premise1 · γ1 = clause.from-ground premiseG1 and
    premise2-γ2: premise2 · γ2 = clause.from-ground premiseG2 and
    select:
    clause.from-ground ( selectG ( clause.to-ground ( premise1 · γ1 ) ) ) = select premise1
  · γ1
    clause.from-ground ( selectG ( clause.to-ground ( premise2 · γ2 ) ) ) = select premise2
  · γ2 and
    premise1-in-premises: ( premise1, V1 ) ∈ premises and
    premise2-in-premises: ( premise2, V2 ) ∈ premises and
    wt:
    welltypedσ-on ( clause.vars premise1 ) typeof-fun V1 γ1
    welltypedσ-on ( clause.vars premise2 ) typeof-fun V2 γ2
    term-subst.is-ground-subst γ1
    term-subst.is-ground-subst γ2
    welltypedc typeof-fun V1 premise1
    welltypedc typeof-fun V2 premise2
    all-types V1
    all-types V2
    using assms(2, 4) premiseG1-in-groundings premiseG2-in-groundings
    unfolding ιG ground.Inf-from-q-def ground.Inf-from-def
  by ( smt ( verit, ccfv-threshold ) case-prod-conv clause.ground-is-ground select-subst1

    surj-pair clause.to-ground-inverse )

  obtain ρ1 ρ2 :: ( 'f, 'v ) subst where
    renaming:
    term-subst.is-renaming ρ1
    term-subst.is-renaming ρ2
    ρ1 ‘ ( clause.vars premise1 ) ∩ ρ2 ‘ ( clause.vars premise2 ) = {} and
    wt-ρ:
    welltypedσ-on ( clause.vars premise1 ) typeof-fun V1 ρ1
    welltypedσ-on ( clause.vars premise2 ) typeof-fun V2 ρ2
  using welltyped-on-renaming-exists [ OF - - wt(7,8)[unfolded all-types-def, rule-format] ]

  by ( metis clause.finite-vars(1) )

```


have *renaming-distinct*: $clause.vars (premise_1 \cdot \varrho_1) \cap clause.vars (premise_2 \cdot \varrho_2)$
 $= \{\}$
using *renaming*(3)
unfolding *renaming*(1,2)[*THEN* *renaming-vars-clause*, *symmetric*]
by *blast*

from *renaming* **obtain** $\varrho_1\text{-inv}$ $\varrho_2\text{-inv}$ **where**
 $\varrho_1\text{-inv}: \varrho_1 \odot \varrho_1\text{-inv} = Var$ **and**
 $\varrho_2\text{-inv}: \varrho_2 \odot \varrho_2\text{-inv} = Var$
unfolding *term-subst.is-renaming-def*
by *blast*

have *select* $premise_1 \subseteq\# premise_1$ *select* $premise_2 \subseteq\# premise_2$
by (*simp-all add: select-subset*)

then have *select-subset*:
select $premise_1 \cdot \varrho_1 \subseteq\# premise_1 \cdot \varrho_1$
select $premise_2 \cdot \varrho_2 \subseteq\# premise_2 \cdot \varrho_2$
by (*simp-all add: image-mset-subseteq-mono clause.subst-def*)

define γ **where**
 $\gamma: \bigwedge var. \gamma var \equiv$
if $var \in clause.vars (premise_1 \cdot \varrho_1)$
then $(\varrho_1\text{-inv} \odot \gamma_1) var$
else $(\varrho_2\text{-inv} \odot \gamma_2) var$

have $\gamma_1: \forall x \in clause.vars premise_1. (\varrho_1 \odot \gamma) x = \gamma_1 x$
proof(*intro ballI*)
fix x
assume $x\text{-in-vars}: x \in clause.vars premise_1$

obtain y **where** $y: \varrho_1 x = Var y$
by (*meson is-Var-def renaming(1) term-subst-is-renaming-iff*)

then have $y \in clause.vars (premise_1 \cdot \varrho_1)$
using $x\text{-in-vars}$ *renaming(1) renaming-vars-clause* **by** *fastforce*

then have $\gamma y = \varrho_1\text{-inv } y \cdot t \gamma_1$
by (*simp add: γ subst-compose*)

then show $(\varrho_1 \odot \gamma) x = \gamma_1 x$
by (*metis $y \varrho_1\text{-inv eval-term.simps(1) subst-compose$*)

qed

have $\gamma_2: \forall x \in clause.vars premise_2. (\varrho_2 \odot \gamma) x = \gamma_2 x$
proof(*intro ballI*)
fix x
assume $x\text{-in-vars}: x \in clause.vars premise_2$

```

obtain  $y$  where  $y: \varrho_2 x = \text{Var } y$ 
  by (meson is-Var-def renaming(2) term-subst-is-renaming-iff)

then have  $y \in \text{clause.vars } (\text{premise}_2 \cdot \varrho_2)$ 
  using  $x\text{-in-vars renaming(2) renaming-vars-clause}$  by fastforce

then have  $\gamma y = \varrho_2\text{-inv } y \cdot t \ \gamma_2$ 
  using  $\gamma$  renaming-distinct subst-compose by fastforce

then show  $(\varrho_2 \odot \gamma) x = \gamma_2 x$ 
  by (metis y \varrho_2\text{-inv eval-term.simps(1) subst-compose)
qed

have  $\gamma_1\text{-is-ground}: \forall x \in \text{clause.vars } \text{premise}_1. \text{term.is-ground } (\gamma_1 x)$ 
  by (metis Term.term.simps(17) insert-iff is-ground-iff term-subst.is-ground-subst-def wt(3))

have  $\gamma_2\text{-is-ground}: \forall x \in \text{clause.vars } \text{premise}_2. \text{term.is-ground } (\gamma_2 x)$ 
  by (metis Term.term.simps(17) insert-iff is-ground-iff term-subst.is-ground-subst-def wt(4))

have  $wt\text{-}\gamma$ :
  welltyped $_{\sigma}$ -on (clause.vars premise $_1$ ) typeof-fun  $\mathcal{V}_1 (\varrho_1 \odot \gamma)$ 
  welltyped $_{\sigma}$ -on (clause.vars premise $_2$ ) typeof-fun  $\mathcal{V}_2 (\varrho_2 \odot \gamma)$ 
  using  $wt(1,2)$  welltyped $_{\sigma}$ -subset welltyped $_{\sigma}$ -welltyped $_{\sigma}$ -on  $\gamma_1 \ \gamma_2$ 
  unfolding welltyped $_{\sigma}$ -on-def
  by auto

have  $\text{term-subst.is-ground-subst } (\varrho_1\text{-inv} \odot \gamma_1) \text{term-subst.is-ground-subst } (\varrho_2\text{-inv} \odot \gamma_2)$ 
  using term-subst.is-ground-subst-comp-right wt by blast+

then have  $\text{is-ground-subst-}\gamma: \text{term-subst.is-ground-subst } \gamma$ 
  unfolding  $\gamma$ 
  using is-ground-subst-if
  by fast

have  $\text{premise}_1\text{-}\gamma: \text{premise}_1 \cdot \varrho_1 \cdot \gamma = \text{clause.from-ground } \text{premise}_{G_1}$ 
proof –
  have  $\text{premise}_1 \cdot \varrho_1 \odot (\varrho_1\text{-inv} \odot \gamma_1) = \text{clause.from-ground } \text{premise}_{G_1}$ 
  by (metis \varrho_1\text{-inv premise}_1\text{-}\gamma_1 \text{subst-monoid-mult.mult.left-neutral subst-monoid-mult.mult-assoc})

  then show ?thesis
    using  $\gamma_1$  premise}_1\text{-}\gamma_1 \text{clause.subst-eq} by fastforce
qed

have  $\text{premise}_2\text{-}\gamma: \text{premise}_2 \cdot \varrho_2 \cdot \gamma = \text{clause.from-ground } \text{premise}_{G_2}$ 

```

```

proof –
  have  $premise_2 \cdot \varrho_2 \odot (\varrho_2\text{-inv} \odot \gamma_2) = \text{clause.from-ground } premise_{G2}$ 
  by (metis  $\varrho_2\text{-inv } premise_2\text{-}\gamma_2$  subst-monoid-mult.mult.left-neutral subst-monoid-mult.mult-assoc)

  then show ?thesis
    using  $\gamma_2$  premise_2- $\gamma_2$  clause.subst-eq by force
qed

have  $premise_1 \cdot \varrho_1 \cdot \gamma = premise_1 \cdot \gamma_1$ 
by (simp add: premise_1- $\gamma$  premise_1- $\gamma_1$ )

moreover have select  $premise_1 \cdot \varrho_1 \cdot \gamma = \text{select } premise_1 \cdot \gamma_1$ 
proof–
  have  $\text{clause.vars } (\text{select } premise_1 \cdot \varrho_1) \subseteq \text{clause.vars } (premise_1 \cdot \varrho_1)$ 
  using select-subset(1) clause-submset-vars-clause-subset by blast

  then show ?thesis
    unfolding  $\gamma$ 
    by (smt (verit, best)  $\varrho_1\text{-inv } clause.subst-eq subsetD$ 
      clause.comp-subst.left.monoid-action-compatibility
      term-subst.comp-subst.left.right-neutral)
qed

ultimately have select1:
   $\text{clause.from-ground } (\text{select}_G (\text{clause.to-ground } (premise_1 \cdot \varrho_1 \cdot \gamma))) = \text{select}$ 
 $premise_1 \cdot \varrho_1 \cdot \gamma$ 
  using select(1)
  by argo

have  $premise_2 \cdot \varrho_2 \cdot \gamma = premise_2 \cdot \gamma_2$ 
by (simp add: premise_2- $\gamma$  premise_2- $\gamma_2$ )

moreover have select  $premise_2 \cdot \varrho_2 \cdot \gamma = \text{select } premise_2 \cdot \gamma_2$ 
proof–
  have  $\text{clause.vars } (\text{select } premise_2 \cdot \varrho_2) \subseteq \text{clause.vars } (premise_2 \cdot \varrho_2)$ 
  using select-subset(2) clause-submset-vars-clause-subset by blast

  then show ?thesis
    unfolding  $\gamma$ 
    by (smt (verit, best)  $\gamma_2 \gamma \langle \text{select } premise_2 \subseteq \# \text{premise}_2 \rangle$ 
      clause-submset-vars-clause-subset
      clause.subst-eq subset-iff clause.comp-subst.left.monoid-action-compatibility)
qed

ultimately have select2:
   $\text{clause.from-ground } (\text{select}_G (\text{clause.to-ground } (premise_2 \cdot \varrho_2 \cdot \gamma))) = \text{select}$ 
 $premise_2 \cdot \varrho_2 \cdot \gamma$ 
  using select(2)
  by argo

```

obtain *conclusion* **where**

conclusion- γ : $conclusion \cdot \gamma = clause.from-ground\ conclusion_G$
by (*meson clause.ground-is-ground clause.subst-ident-if-ground*)

then have

premise₁-grounding: $clause.is-ground\ (premise_1 \cdot \varrho_1 \cdot \gamma)$ **and**
premise₂-grounding: $clause.is-ground\ (premise_2 \cdot \varrho_2 \cdot \gamma)$ **and**
premise_{G1}: $premise_{G1} = clause.to-ground\ (premise_1 \cdot \varrho_1 \cdot \gamma)$ **and**
premise_{G2}: $premise_{G2} = clause.to-ground\ (premise_2 \cdot \varrho_2 \cdot \gamma)$ **and**
conclusion-grounding: $clause.is-ground\ (conclusion \cdot \gamma)$ **and**
conclusion_G: $conclusion_G = clause.to-ground\ (conclusion \cdot \gamma)$
by (*simp-all add: premise₁- γ premise₂- γ*)

have *clause-groundings typeof-fun* ($premise_1, \mathcal{V}_1$) \cup *clause-groundings typeof-fun*
($premise_2, \mathcal{V}_2$)

$\subseteq \bigcup$ (*clause-groundings typeof-fun ' premises*)

using *premise₁-in-premises premise₂-in-premises* **by** *blast*

then have ι_G -*not-redundant*:

$\iota_G \notin ground.GRed-I$ (*clause-groundings typeof-fun* ($premise_1, \mathcal{V}_1$) \cup *clause-groundings*
typeof-fun ($premise_2, \mathcal{V}_2$))

using *assms(3) ground.Red-I-of-subset*

by *blast*

then obtain *conclusion'* \mathcal{V}_3 **where**

superposition: *superposition* ($premise_2, \mathcal{V}_2$) ($premise_1, \mathcal{V}_1$) (*conclusion'*, \mathcal{V}_3)

and

inference-groundings:

$\iota_G \in inference-groundings$ (*Infer* [($premise_2, \mathcal{V}_2$), ($premise_1, \mathcal{V}_1$)] (*conclusion'*,
 \mathcal{V}_3)) **and**

conclusion'- γ -conclusion- γ : $conclusion' \cdot \gamma = conclusion \cdot \gamma$

using

superposition-lifting[OF

renaming(1,2)

renaming-distinct

premise₁-grounding

premise₂-grounding

conclusion-grounding

select₁

select₂

ground-superposition[unfolded premise_{G2} premise_{G1} conclusion_G]

ι_G -not-redundant[unfolded ι_G premise_{G2} premise_{G1} conclusion_G]

wt(5, 6)

is-ground-subst- γ

wt- γ

wt- ϱ

wt(7, 8)

]

unfolding ι_G *conclusion_G premise_{G1} premise_{G2}*

```

    by blast

let ?ι = Infer [(premise2, V2), (premise1, V1)] (conclusion', V3)

show ?thesis
proof(rule that)
  show ?ι ∈ Inf-from-premises
  using premise1-in-premises premise2-in-premises superposition
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto

  show ιG ∈ inference-groundings ?ι
  using inference-groundings.
qed
qed

lemma ground-instances:
  assumes
    ιG ∈ ground.Inf-from-q selectG (⋃ (clause-groundings typeof-fun ' premises))
    ιG ∉ ground.Red-I (⋃ (clause-groundings typeof-fun ' premises))
    subst-stability-on typeof-fun premises
  obtains ι where
    ι ∈ Inf-from-premises
    ιG ∈ inference-groundings ι
proof-
  have ιG ∈ ground.superposition-inferences ∨
    ιG ∈ ground.eq-resolution-inferences ∨
    ιG ∈ ground.eq-factoring-inferences
  using assms(1)
  unfolding
    ground.Inf-from-q-def
    ground.Inf-from-def
    ground.G-Inf-def
    inference-system.Inf-from-def
  by fastforce

  then show ?thesis
proof(elim disjE)
  assume ιG ∈ ground.superposition-inferences
  then show ?thesis
  using that superposition-ground-instance assms
  by blast
next
  assume ιG ∈ ground.eq-resolution-inferences
  then show ?thesis
  using that eq-resolution-ground-instance assms
  by blast
next
  assume ιG ∈ ground.eq-factoring-inferences

```

```

    then show ?thesis
      using that eq-factoring-ground-instance assms
      by blast
  qed
qed

end

context first-order-superposition-calculus
begin

lemma overapproximation:
  obtains selectG where
    ground-Inf-overapproximated selectG premises
    is-grounding selectG
proof -
  obtain selectG where
    subst-stability: select-subst-stability-on typeof-fun select selectG premises and
    is-grounding selectG
  using obtain-subst-stable-on-select-grounding
  by blast

  then interpret grounded-first-order-superposition-calculus
    where selectG = selectG
    by unfold-locales

  have overapproximation: ground-Inf-overapproximated selectG premises
    using ground-instances[OF - - subst-stability]
    by auto

  show thesis
    using that[OF overapproximation selectG].
qed

sublocale statically-complete-calculus ⊥F inferences entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
proof (unfold static-empty-ord-inter-equiv-static-inter,
  rule stat-ref-comp-to-non-ground-fam-inter,
  rule ballI)
  fix selectG
  assume selectG ∈ selectGs
  then interpret grounded-first-order-superposition-calculus
    where selectG = selectG
    by unfold-locales (simp add: selectGs-def)

  show statically-complete-calculus
    ground.G-Bot
    ground.G-Inf
    ground.G-entails
    ground.Red-I

```

```

      ground.Red-F
    using ground.statically-complete-calculus-axioms.
next
  fix clauses

  have  $\bigwedge \text{clauses. } \exists \text{select}_G \in \text{select}_{G_s}. \text{ground-Inf-overapproximated select}_G \text{ clauses}$ 

    using overapproximation
    unfolding select_{G_s}-def
    by (smt (verit, best) mem-Collect-eq)

    then show empty-ord.saturated clauses  $\implies$ 
       $\exists \text{select}_G \in \text{select}_{G_s}. \text{ground-Inf-overapproximated select}_G \text{ clauses.}$ 
qed

end

end

```

8 Integration of IsaFoR Terms and the Knuth–Bendix Order

This theory implements the abstract interface for atoms and substitutions using the IsaFoR library.

```

theory IsaFoR-Term-Copy
  imports
    First-Order-Terms.Unification
    HOL-Cardinals.Wellorder-Extension
    Open-Induction.Restricted-Predicates
    Knuth-Bendix-Order.KBO
begin

```

This part extends and integrates and the Knuth–Bendix order defined in IsaFoR.

```

record 'f weights =
  w :: 'f  $\times$  nat  $\Rightarrow$  nat
  w0 :: nat
  pr-strict :: 'f  $\times$  nat  $\Rightarrow$  'f  $\times$  nat  $\Rightarrow$  bool
  least :: 'f  $\Rightarrow$  bool
  scf :: 'f  $\times$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat

class weighted =
  fixes weights :: 'a weights
  assumes weights-adm:
    admissible-kbo
    (w weights) (w0 weights) (pr-strict weights) (((pr-strict weights)==) (least weights) (scf weights)

```

and *pr-strict-total*: $fi = gj \vee pr\text{-strict weights } fi \text{ } gj \vee pr\text{-strict weights } gj \text{ } fi$
and *pr-strict-asymp*: *asymp* (*pr-strict weights*)
and *scf-ok*: $i < n \implies scf \text{ weights } (f, n) \ i \leq 1$

instantiation *unit* :: *weighted begin*

definition *weights-unit* :: *unit weights where weights-unit* =
 $\langle w = Suc \circ snd, w0 = 1, pr\text{-strict} = \lambda(-, n) \ (-, m). \ n > m, least = \lambda-. \ True,$
 $scf = \lambda- \ -. \ 1 \rangle$

instance

by (*intro-classes, unfold-locales*) (*auto simp: weights-unit-def SN-iff-wf irreflp-def*
intro: asympI intro!: wf-subset[OF wf-inv-image[OF wf], of - snd])
end

global-interpretation *KBO*:

admissible-kbo
 w (*weights* :: '*f* :: *weighted weights*) $w0$ (*weights* :: '*f* :: *weighted weights*)
pr-strict weights ((*pr-strict weights*)⁼⁼) *least weights scf weights*
defines *weight* = *KBO.weight*
and *kbo* = *KBO.kbo*
by (*simp add: weights-adm*)

lemma *kbo-code*[*code*]: *kbo s t* =

$(let \ wt = weight \ t; \ ws = weight \ s \ in$
 $if \ vars\text{-term}\text{-ms} \ (KBO.SCF \ t) \subseteq\# \ vars\text{-term}\text{-ms} \ (KBO.SCF \ s) \wedge \ wt \leq \ ws$
 $then$
 $(if \ wt < \ ws \ then \ (True, \ True)$
 $else$
 $(case \ s \ of$
 $\ Var \ y \Rightarrow \ (False, \ case \ t \ of \ Var \ x \Rightarrow \ True \ | \ Fun \ g \ ts \Rightarrow \ ts = [] \wedge \ least \ weights$
 $g)$
 $\ | \ Fun \ f \ ss \Rightarrow$
 $\ (case \ t \ of$
 $\ \ Var \ x \Rightarrow \ (True, \ True)$
 $\ | \ Fun \ g \ ts \Rightarrow$
 $\ \ if \ pr\text{-strict weights} \ (f, \ length \ ss) \ (g, \ length \ ts) \ then \ (True, \ True)$
 $\ \ else \ if \ (f, \ length \ ss) = (g, \ length \ ts) \ then \ lex\text{-ext}\text{-unbounded} \ kbo \ ss \ ts$
 $\ \ else \ (False, \ False))))$
 $else \ (False, \ False))$
by (*subst KBO.kbo.simps*) (*auto simp: Let-def split: term.splits*)

definition *less-kbo* *s t* = *fst (kbo t s)*

lemma *less-kbo-gtotal*: $ground \ s \implies ground \ t \implies s = t \vee less\text{-kbo} \ s \ t \vee less\text{-kbo} \ t \ s$

unfolding *less-kbo-def* **using** *KBO.S-ground-total* **by** (*metis pr-strict-total subset-UNIV*)


```

lemma less-kbo-subst:
  fixes  $\sigma :: ('f :: \text{weighted}, 'v) \text{subst}$ 
  shows  $\text{less-kbo } s \ t \implies \text{less-kbo } (s \cdot \sigma) \ (t \cdot \sigma)$ 
  unfolding less-kbo-def by (rule KBO.S-subst)

lemma wfP-less-kbo: wfP less-kbo
proof -
  have  $SN \ \{(x, y). \text{fst } (kbo \ x \ y)\}$ 
    using pr-strict-asymp by (fastforce simp: asympI irreftp-def intro!: KBO.S-SN
scf-ok)
  then show ?thesis
    unfolding SN-iff-wf wfP-def by (rule wf-subset) (auto simp: less-kbo-def)
qed

end
theory First-Order-Superposition-Example
  imports
    IsaFoR-Term-Copy
    First-Order-Superposition
begin

abbreviation trivial-select ::  $('f, 'v) \text{select where}$ 
  trivial-select -  $\equiv \{\#\}$ 

abbreviation trivial-tiebreakers where
  trivial-tiebreakers - - -  $\equiv \text{False}$ 

context
  assumes ground-critical-pair-theorem:
     $\bigwedge (R :: ('f :: \text{weighted}) \text{gterm rel}). \text{ground-critical-pair-theorem } R$ 
begin

interpretation first-order-superposition-calculus
  trivial-select ::  $('f :: \text{weighted}, 'v :: \text{infinite}) \text{select}$ 
  less-kbo
  trivial-tiebreakers
   $\lambda\_. (\ [], \ ())$ 
proof(unfold-locales)
  fix clause ::  $('f, 'v) \text{atom clause}$ 

  show trivial-select clause  $\subseteq\#$  clause
    by simp
next
  fix clause ::  $('f, 'v) \text{atom clause and literal}$ 

  assume literal  $\in\#$  trivial-select clause

  then show is-neg literal
    by simp

```

```

next
  show transp less-kbo
    using KBO.S-trans
    unfolding transp-def less-kbo-def
    by blast
next
  show asympt less-kbo
    using wfP-imp-asympt wfP-less-kbo
    by blast
next
  show Wellfounded.wfp-on {term. term.is-ground term} less-kbo
    using Wellfounded.wfp-on-subset[OF wfP-less-kbo subset-UNIV] .
next
  show totalp-on {term. term.is-ground term} less-kbo
    using less-kbo-gtotal
    unfolding totalp-on-def Term.ground-vars-term-empty
    by blast
next
  fix
     $context_G :: ('f, 'v) context$  and
     $term_{G1} term_{G2} :: ('f, 'v) term$ 

    assume less-kbo termG1 termG2

    then show less-kbo contextG(termG1) contextG(termG2)
      using KBO.S-ctxt less-kbo-def by blast
next
  fix
     $term_1 term_2 :: ('f, 'v) term$  and
     $\gamma :: ('f, 'v) subst$ 

    assume less-kbo term1 term2

    then show less-kbo (term1 · t  $\gamma$ ) (term2 · t  $\gamma$ )
      using less-kbo-subst by blast
next
  fix
     $term_G :: ('f, 'v) term$  and
     $context_G :: ('f, 'v) context$ 
    assume
      term.is-ground termG
      context.is-ground contextG
      contextG ≠ □

    then show less-kbo termG contextG(termG)
      by (simp add: KBO.S-supt less-kbo-def nectxt-imp-supt-ctxt)
next
  show  $\bigwedge (R :: ('f gterm \times 'f gterm) set). ground-critical-pair-theorem R$ 
    using ground-critical-pair-theorem .

```

```

next
  show  $\bigwedge clause_G. wfP (\lambda-. False) \wedge transp (\lambda-. False) \wedge asymp (\lambda-. False)$ 
    by (simp add: asympI)
next
  show  $\bigwedge \tau. \exists f. ([], ()) = ([], \tau)$ 
    by simp
next
  show  $|UNIV :: unit\ set| \leq o |UNIV|$ 
    unfolding UNIV-unit
    by simp
qed

end

end

theory First-Order-Superposition-Soundness
  imports Grounded-First-Order-Superposition

```

```
begin
```

8.1 Soundness

```
context grounded-first-order-superposition-calculus
begin
```

```
abbreviation entailsF (infix  $\Vdash_F$  50) where
  entailsF  $\equiv$  lifting.entails- $\mathcal{G}$ 
```

```
lemma welltyped-extension:
```

```
  assumes clause.is-ground (C ·  $\gamma$ ) welltyped $\sigma$ -on (clause.vars C) typeof-fun  $\mathcal{V}$   $\gamma$ 
```

```
  obtains  $\gamma'$ 
```

```
  where
```

```
    term-subst.is-ground-subst  $\gamma'$ 
```

```
    welltyped $\sigma$  typeof-fun  $\mathcal{V}$   $\gamma'$ 
```

```
     $\forall x \in clause.vars\ C. \gamma\ x = \gamma'\ x$ 
```

```
  using assms function-symbols
```

```
proof -
```

```
  define  $\gamma'$  where  $\bigwedge x. \gamma'\ x \equiv$ 
```

```
    if  $x \in clause.vars\ C$ 
```

```
    then  $\gamma\ x$  else
```

```
    Fun (SOME f. typeof-fun f = ([],  $\mathcal{V}\ x$ )) []
```

```
  have term-subst.is-ground-subst  $\gamma'$ 
```

```
    unfolding term-subst.is-ground-subst-def
```

```
  proof (intro allI)
```

```
    fix t
```

```
    show term.is-ground (t · t  $\gamma'$ )
```

```
    proof (induction t)
```

```
      case (Var x)
```

```

then show ?case
  using assms(1)
  unfolding  $\gamma'$ -def term-subst.is-ground-subst-def is-ground-iff
  by(auto simp: clause.variable-grounding)
next
  case Fun
  then show ?case
    by simp
qed
qed

moreover have welltyped $_{\sigma}$  typeof-fun  $\mathcal{V}$   $\gamma'$ 
proof-
  have  $\bigwedge x. \llbracket \forall x \in \text{clause.vars } C. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V} \text{ (}\gamma x \text{) (}\mathcal{V} x \text{)} \rrbracket$ ;
     $\bigwedge \tau. \exists f. \text{typeof-fun } f = (\llbracket, \tau \rrbracket); x \notin \text{clause.vars } C \rrbracket$ 
     $\implies \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}$ 
    (Fun (SOME f. typeof-fun f = (\llbracket, \mathcal{V} x) \rrbracket) (\mathcal{V} x))
  by (meson First-Order-Type-System.welltyped.intros(2) list-all2-Nil someI-ex)

  then show ?thesis
    using assms(2) function-symbols
    unfolding  $\gamma'$ -def welltyped $_{\sigma}$ -def welltyped $_{\sigma}$ -on-def
    by auto
qed

moreover have  $\forall x \in \text{clause.vars } C. \gamma x = \gamma' x$ 
  unfolding  $\gamma'$ -def
  by auto

ultimately show ?thesis
  using that
  by blast
qed

lemma vars-subst:  $\bigcup ( \text{term.vars } ' \varrho ' \text{ term.vars } t ) = \text{term.vars } (t \cdot t \ \varrho)$ 
  by(induction t) auto

lemma vars-subst $_a$ :  $\bigcup ( \text{term.vars } ' \varrho ' \text{ atom.vars } a ) = \text{atom.vars } (a \cdot a \ \varrho)$ 
  using vars-subst
  unfolding atom.vars-def atom.subst-def
  by (smt (verit) SUP-UNION Sup.SUP-cong UN-extend-simps(10) uprod.set-map)

lemma vars-subst $_l$ :  $\bigcup ( \text{term.vars } ' \varrho ' \text{ literal.vars } l ) = \text{literal.vars } (l \cdot l \ \varrho)$ 
  unfolding literal.vars-def literal.subst-def set-literal-atm-of
  by (metis (no-types, lifting) UN-insert Union-image-empty literal.map-sel vars-subst $_a$ )

lemma vars-subst $_c$ :  $\bigcup ( \text{term.vars } ' \varrho ' \text{ clause.vars } C ) = \text{clause.vars } (C \cdot \varrho)$ 
  using vars-subst $_l$ 

```

unfolding *clause.vars-def clause.subst-def*
by *fastforce*

lemma *eq-resolution-sound:*

assumes *step: eq-resolution P C*

shows $\{P\} \Vdash_F \{C\}$

using *step*

proof (*cases P C rule: eq-resolution.cases*)

case (*eq-resolutionI P L P' s₁ s₂ μ V C*)

{
fix *I :: 'f gterm rel and γ :: ('f, 'v) subst*

let *?I = upair ' I*

assume

refl-I: refl I and

premise:

$\forall P_G. (\exists \gamma'. P_G = \text{clause.to-ground } (P \cdot \gamma') \wedge \text{term-subst.is-ground-subst } \gamma' \wedge \text{welltyped}_c \text{ typeof-fun } \mathcal{V} P \wedge \text{welltyped}_\sigma\text{-on } (\text{clause.vars } P) \text{ typeof-fun } \mathcal{V} \gamma')$

$\mathcal{V} \gamma')$

$\longrightarrow ?I \Vdash P_G$ **and**

grounding: term-subst.is-ground-subst γ and

wt: welltyped_c typeof-fun V C welltyped_σ-on (clause.vars C) typeof-fun V γ

have *grounding': clause.is-ground (C · γ)*

using *grounding*

by (*simp add: clause.is-ground-subst-is-ground*)

obtain *γ' where*

γ': term-subst.is-ground-subst γ' welltyped_σ typeof-fun V γ'

$\forall x \in \text{clause.vars } C. \gamma x = \gamma' x$

using *welltyped-extension[OF grounding' wt(2)].*

let *?P = clause.to-ground (P · μ · γ')*

let *?L = literal.to-ground (L · l μ · l γ')*

let *?P' = clause.to-ground (P' · μ · γ')*

let *?s₁ = term.to-ground (s₁ · t μ · t γ')*

let *?s₂ = term.to-ground (s₂ · t μ · t γ')*

have *welltyped_c typeof-fun V (P' · μ)*

using *eq-resolutionI(8) wt(1)*

by *blast*

moreover have *welltyped-μ: welltyped_σ typeof-fun V μ*

using *eq-resolutionI(6) wt(1)*

by *auto*

ultimately have *welltyped-P': welltyped_c typeof-fun V P'*

```

using welltypedσ-welltypedc
by blast

from welltyped-μ have welltypedσ-on (clause.vars C) typeof-fun  $\mathcal{V}$  ( $\mu \odot \gamma'$ )
  using  $\gamma'(2)$ 
by (simp add: subst-compose-def welltypedσ-def welltypedσ-on-def welltypedσ-welltyped)

moreover have welltypedc typeof-fun  $\mathcal{V}$  (add-mset ( $s_1 \approx s_2$ )  $P'$ )
  using eq-resolutionI(6) welltyped-add-literal[OF welltyped- $P'$ ] wt(1)
by auto

ultimately have  $?I \models ?P$ 
  using premise[rule-format, of ?P, OF exI, of  $\mu \odot \gamma'$ ]  $\gamma'(1)$ 
  term-subst.is-ground-subst-comp-right eq-resolutionI
by (smt (verit, ccfv-threshold)  $\gamma'(2)$  clause.comp-subst.left.monoid-action-compatibility

      subst-compose-def welltypedσ-def welltypedσ-on-def welltypedσ-welltyped)

then obtain  $L'$  where L'-in-P:  $L' \in \# ?P$  and I-models-L':  $?I \models L'$ 
  by (auto simp: true-cls-def)

have [simp]:  $?P = \text{add-mset } ?L ?P'$ 
  by (simp add: clause.to-ground-def eq-resolutionI(3) subst-clause-add-mset)

have [simp]:  $?L = (\text{Neg } (\text{Upair } ?s_1 ?s_2))$ 
  unfolding eq-resolutionI(4) atm.to-ground-def literal.to-ground-def
by clause-auto

have [simp]:  $?s_1 = ?s_2$ 
  using term-subst.subst-ingu-eq-subst-ingu[OF eq-resolutionI(5)] by simp

have is-neg ?L
  by (simp add: literal.to-ground-def eq-resolutionI(4) subst-literal)

have  $?I \models \text{clause.to-ground } (C \cdot \gamma)$ 
proof (cases  $L' = ?L$ )
  case True

    then have  $?I \models (\text{Neg } (\text{atm-of } ?L))$ 
      using I-models-L' by simp

    moreover have atm-of  $L' \in ?I$ 
      using True reflD[OF refl-I, of ?s1] by auto

    ultimately show thesis
      using True by blast
  next
  case False
  then have  $L' \in \# \text{clause.to-ground } (P' \cdot \mu \cdot \gamma')$ 

```

```

using  $L'$ -in- $P$  by force

then have  $L' \in \#$  clause.to-ground ( $C \cdot \gamma'$ )
unfolding eq-resolutionI.

then show ?thesis
using I-models-L'
by (metis  $\gamma'(\beta)$  clause.subst-eq true-cls-def)
qed
}

then show ?thesis
unfolding ground.G-entails-def true-cls-def clause-groundings-def
using eq-resolutionI(1, 2) by auto
qed

lemma eq-factoring-sound:
assumes step: eq-factoring P C
shows  $\{P\} \Vdash_F \{C\}$ 
using step
proof (cases P C rule: eq-factoring.cases)
case (eq-factoringI P L1 L2 P' s1 s1' t2 t2'  $\mu$   $\mathcal{V}$  C)

have
 $\wedge I \ \gamma \ \mathcal{F}_G. \llbracket$ 
  trans I;
  sym I;
   $\forall P_G. (\exists \gamma'. P_G = \text{clause.to-ground } (P \cdot \gamma') \wedge \text{term-subst.is-ground-subst } \gamma'$ 
     $\wedge \text{welltyped}_c \text{ typeof-fun } \mathcal{V} P \wedge \text{welltyped}_\sigma\text{-on } (\text{clause.vars } P) \text{ typeof-fun}$ 
 $\mathcal{V} \gamma')$ 
   $\longrightarrow \text{upair } 'I \Vdash P_G;$ 
  term-subst.is-ground-subst  $\gamma$ ;
  welltypedc typeof-fun  $\mathcal{V} C$ ; welltyped $\sigma$ -on (clause.vars C) typeof-fun  $\mathcal{V} \gamma$ 
 $\rrbracket \Longrightarrow \text{upair } 'I \Vdash \text{clause.to-ground } (C \cdot \gamma)$ 
proof-
fix  $I :: 'f \text{ gterm rel}$  and  $\gamma :: 'v \Rightarrow ('f, 'v) \text{ Term.term}$ 

let  $?I = \text{upair } 'I$ 

assume
trans-I: trans I and
sym-I: sym I and
premise:
 $\forall P_G. (\exists \gamma'. P_G = \text{clause.to-ground } (P \cdot \gamma') \wedge \text{term-subst.is-ground-subst } \gamma'$ 
   $\wedge \text{welltyped}_c \text{ typeof-fun } \mathcal{V} P \wedge \text{welltyped}_\sigma\text{-on } (\text{clause.vars } P) \text{ typeof-fun}$ 
 $\mathcal{V} \gamma')$ 
 $\longrightarrow ?I \Vdash P_G$  and
grounding: term-subst.is-ground-subst  $\gamma$  and
wt: welltypedc typeof-fun  $\mathcal{V} C$  welltyped $\sigma$ -on (clause.vars C) typeof-fun  $\mathcal{V} \gamma$ 

```

obtain γ' **where**
 γ' : *term-subst.is-ground-subst* γ' *welltyped* _{σ} *typeof-fun* \mathcal{V} γ'
 $\forall x \in \text{clause.vars } C. \gamma x = \gamma' x$
using *welltyped-extension*
using *grounding wt(2)*
by (*smt (verit, ccfv-threshold) clause.ground-subst-iff-base-ground-subst*
clause.is-ground-subst-is-ground)

let $?P = \text{clause.to-ground } (P \cdot \mu \cdot \gamma')$
let $?P' = \text{clause.to-ground } (P' \cdot \mu \cdot \gamma')$
let $?L_1 = \text{literal.to-ground } (L_1 \cdot l \cdot \mu \cdot l \cdot \gamma')$
let $?L_2 = \text{literal.to-ground } (L_2 \cdot l \cdot \mu \cdot l \cdot \gamma')$
let $?s_1 = \text{term.to-ground } (s_1 \cdot t \cdot \mu \cdot t \cdot \gamma')$
let $?s_1' = \text{term.to-ground } (s_1' \cdot t \cdot \mu \cdot t \cdot \gamma')$
let $?t_2 = \text{term.to-ground } (t_2 \cdot t \cdot \mu \cdot t \cdot \gamma')$
let $?t_2' = \text{term.to-ground } (t_2' \cdot t \cdot \mu \cdot t \cdot \gamma')$
let $?C = \text{clause.to-ground } (C \cdot \gamma')$

have wt' :
welltyped _{c} *typeof-fun* \mathcal{V} $(P' \cdot \mu)$
welltyped _{l} *typeof-fun* \mathcal{V} $(s_1 \approx t_2' \cdot l \cdot \mu)$
welltyped _{l} *typeof-fun* \mathcal{V} $(s_1' \approx t_2' \cdot l \cdot \mu)$
using $wt(1)$
unfolding *eq-factoringI(11) welltyped* _{c} -*add-mset subst-clause-add-mset*
by *auto*

moreover have *welltyped* _{μ} : *welltyped* _{σ} *typeof-fun* \mathcal{V} μ
using *eq-factoringI(10) wt(1)*
by *blast*

ultimately have *welltyped* _{P'} : *welltyped* _{c} *typeof-fun* \mathcal{V} P'
using *welltyped* _{σ} -*welltyped* _{c}
by *blast*

have xx : *welltyped* _{l} *typeof-fun* \mathcal{V} $(s_1 \approx t_2')$ *welltyped* _{l} *typeof-fun* \mathcal{V} $(s_1' \approx t_2')$
using $wt'(2, 3)$ *welltyped* _{σ} -*welltyped* _{l} [*OF welltyped* _{μ}]
by *auto*

then have *welltyped* _{L_1} : *welltyped* _{l} *typeof-fun* \mathcal{V} $(s_1 \approx s_1')$
unfolding *welltyped* _{l} -*def welltyped* _{a} -*def*
using *right-uniqueD[OF welltyped-right-unique]*
by (*smt (verit, best) insert-iff set-uprod-simps literal.sel*)

have *welltyped* _{L_2} : *welltyped* _{l} *typeof-fun* \mathcal{V} $(t_2 \approx t_2')$
using xx *right-uniqueD[OF welltyped-right-unique]* *eq-factoringI(10) wt(1)*
unfolding *welltyped* _{l} -*def welltyped* _{a} -*def*
by (*smt (verit) insert-iff set-uprod-simps literal.sel(1)*)


```

from welltyped-μ have welltypedσ typeof-fun  $\mathcal{V}$  ( $\mu \odot \gamma'$ )
  using wt(2)  $\gamma'$ 
  by (simp add: subst-compose-def welltypedσ-def welltypedσ-welltyped)

moreover have welltypedc typeof-fun  $\mathcal{V}$   $P$ 
  unfolding eq-factoringI welltypedc-add-mset
  using welltyped-P' welltyped-L1 welltyped-L2
  by blast

ultimately have  $?I \models ?P$ 
  using
    premise[rule-format, of ?P, OF exI, of  $\mu \odot \gamma'$ ]
    term-subst.is-ground-subst-comp-right  $\gamma'(1)$ 
  by (metis clause.subst-comp-subst welltypedσ-def welltypedσ-on-def)

then obtain  $L'$  where  $L'\text{-in-}P$ :  $L' \in \# ?P$  and  $I\text{-models-}L'$ :  $?I \models_l L'$ 
  by (auto simp: true-cls-def)

then have  $s_1\text{-equals-}t_2$ :  $?t_2 = ?s_1$ 
  using term-subst.subst-ingu-eq-subst-ingu[OF eq-factoringI(9)]
  by simp

have  $L_1$ :  $?L_1 = ?s_1 \approx ?s_1'$ 
  unfolding literal.to-ground-def eq-factoringI(4) atom.to-ground-def
  by (simp add: atom.subst-def subst-literal)

have  $L_2$ :  $?L_2 = ?t_2 \approx ?t_2'$ 
  unfolding literal.to-ground-def eq-factoringI(5) atom.to-ground-def
  by (simp add: atom.subst-def subst-literal)

have  $C$ :  $?C = \text{add-mset } (?s_1 \approx ?t_2') (\text{add-mset } (\text{Neg } (\text{Upair } ?s_1' ?t_2'))) ?P'$ 
  unfolding eq-factoringI
  by (simp add: clause.to-ground-def literal.to-ground-def atom.subst-def subst-clause-add-mset
      
subst-literal atom.to-ground-def)

show  $?I \models \text{clause.to-ground } (C \cdot \gamma)$ 
proof (cases  $L' = ?L_1 \vee L' = ?L_2$ )
  case True

    then have  $I \models_l \text{Pos } (?s_1, ?s_1') \vee I \models_l \text{Pos } (?s_1, ?t_2')$ 
      using true-lit-uprod-iff-true-lit-prod[OF sym-I] I-models-L'
      by (metis L1 L2 s1-equals-t2)

    then have  $I \models_l \text{Pos } (?s_1, ?t_2') \vee I \models_l \text{Neg } (?s_1', ?t_2')$ 
      by (meson transD trans-I true-lit-simps(1) true-lit-simps(2))

    then have  $?I \models_l ?s_1 \approx ?t_2' \vee ?I \models_l \text{Neg } (\text{Upair } ?s_1' ?t_2')$ 
      unfolding true-lit-uprod-iff-true-lit-prod[OF sym-I].

```

```

then show ?thesis
  using clause.subst-eq  $\gamma'(3)$  C
  by (smt (verit, best) true-cls-add-mset)
next
  case False
  then have  $L' \in\# ?P'$ 
    using L'-in-P
    unfolding eq-factoringI
    by (simp add: clause.to-ground-def subst-clause-add-mset)

  then have  $L' \in\#$  clause.to-ground (C ·  $\gamma$ )
    using clause.subst-eq  $\gamma'(3)$  C
    by fastforce

  then show ?thesis
    using I-models-L' by blast
qed
qed

then show ?thesis
  unfolding ground.G-entails-def true-cls-def clause-groundings-def
  using eq-factoringI(1,2) by auto
qed

lemma superposition-sound:
  assumes step: superposition P2 P1 C
  shows {P1, P2}  $\models_F$  {C}
  using step
proof (cases P2 P1 C rule: superposition.cases)
  case (superpositionI  $\varrho_1$   $\varrho_2$  P1 P2 L1 P1' L2 P2'  $\mathcal{P}$   $s_1$   $u_1$   $s_1'$   $t_2$   $t_2'$   $\mu$   $\mathcal{V}_3$   $\mathcal{V}_1$   $\mathcal{V}_2$ 
  C)

  have
     $\bigwedge^I \gamma. \llbracket$ 
      refl I;
      trans I;
      sym I;
      compatible-with-gtxt I;
       $\forall P_G. (\exists \gamma'. P_G = \text{clause.to-ground } (P_1 \cdot \gamma') \wedge \text{term-subst.is-ground-subst}$ 
 $\gamma' \wedge$ 
      welltypedc typeof-fun  $\mathcal{V}_1$  P1  $\wedge$  welltyped $\sigma$ -on (clause.vars P1) typeof-fun
 $\mathcal{V}_1 \gamma' \wedge$ 
      all-types  $\mathcal{V}_1) \longrightarrow \text{upair } 'I \models P_G;$ 
       $\forall P_G. (\exists \gamma'. P_G = \text{clause.to-ground } (P_2 \cdot \gamma') \wedge \text{term-subst.is-ground-subst}$ 
 $\gamma' \wedge$ 
      welltypedc typeof-fun  $\mathcal{V}_2$  P2  $\wedge$  welltyped $\sigma$ -on (clause.vars P2) typeof-fun
 $\mathcal{V}_2 \gamma' \wedge$ 
      all-types  $\mathcal{V}_2) \longrightarrow \text{upair } 'I \models P_G;$ 

```

$term\text{-}subst.is\text{-}ground\text{-}subst \ \gamma; welltyped_c \ typeof\text{-}fun \ \mathcal{V}_3 \ C;$
 $welltyped_\sigma\text{-}on \ (clause.vars \ C) \ typeof\text{-}fun \ \mathcal{V}_3 \ \gamma; all\text{-}types \ \mathcal{V}_3$
 $\mathbb{I} \implies (\lambda(x, y). \ Upair \ x \ y) \ 'I \models clause.to\text{-}ground \ (C \cdot \gamma)$

proof –

fix $I :: 'f \ gterm \ rel$ **and** $\gamma :: 'v \Rightarrow ('f, 'v) \ Term.term$

let $?I = (\lambda(x, y). \ Upair \ x \ y) \ 'I$

assume

refl-I: **refl** I **and**

trans-I: **trans** I **and**

sym-I: **sym** I **and**

compatible-with-ground-context-I: **compatible-with-gctx** I **and**

premise1:

$\forall P_G. (\exists \gamma'. P_G = clause.to\text{-}ground \ (P_1 \cdot \gamma') \wedge term\text{-}subst.is\text{-}ground\text{-}subst \ \gamma'$
 $\wedge welltyped_c \ typeof\text{-}fun \ \mathcal{V}_1 \ P_1 \wedge welltyped_\sigma\text{-}on \ (clause.vars \ P_1) \ typeof\text{-}fun$
 $\mathcal{V}_1 \ \gamma'$
 $\wedge all\text{-}types \ \mathcal{V}_1) \longrightarrow ?I \models P_G$ **and**

premise2:

$\forall P_G. (\exists \gamma'. P_G = clause.to\text{-}ground \ (P_2 \cdot \gamma') \wedge term\text{-}subst.is\text{-}ground\text{-}subst \ \gamma'$
 $\wedge welltyped_c \ typeof\text{-}fun \ \mathcal{V}_2 \ P_2 \wedge welltyped_\sigma\text{-}on \ (clause.vars \ P_2) \ typeof\text{-}fun$
 $\mathcal{V}_2 \ \gamma'$
 $\wedge all\text{-}types \ \mathcal{V}_2) \longrightarrow ?I \models P_G$ **and**

grounding: $term\text{-}subst.is\text{-}ground\text{-}subst \ \gamma \ welltyped_c \ typeof\text{-}fun \ \mathcal{V}_3 \ C$
 $welltyped_\sigma\text{-}on \ (clause.vars \ C) \ typeof\text{-}fun \ \mathcal{V}_3 \ \gamma \ all\text{-}types \ \mathcal{V}_3$

have *grounding'*: $clause.is\text{-}ground \ (C \cdot \gamma)$

using *grounding*

by (*simp add*: $clause.is\text{-}ground\text{-}subst\text{-}is\text{-}ground$)

obtain γ' **where**

γ' : $term\text{-}subst.is\text{-}ground\text{-}subst \ \gamma' \ welltyped_\sigma \ typeof\text{-}fun \ \mathcal{V}_3 \ \gamma'$
 $\forall x \in clause.vars \ C. \ \gamma \ x = \gamma' \ x$
using $welltyped\text{-}extension[OF \ grounding' \ grounding(\mathcal{V})]$.

let $?P_1 = clause.to\text{-}ground \ (P_1 \cdot \varrho_1 \cdot \mu \cdot \gamma')$
let $?P_2 = clause.to\text{-}ground \ (P_2 \cdot \varrho_2 \cdot \mu \cdot \gamma')$

let $?L_1 = literal.to\text{-}ground \ (L_1 \cdot l \ \varrho_1 \cdot l \ \mu \cdot l \ \gamma')$
let $?L_2 = literal.to\text{-}ground \ (L_2 \cdot l \ \varrho_2 \cdot l \ \mu \cdot l \ \gamma')$

let $?P_1' = clause.to\text{-}ground \ (P_1' \cdot \varrho_1 \cdot \mu \cdot \gamma')$
let $?P_2' = clause.to\text{-}ground \ (P_2' \cdot \varrho_2 \cdot \mu \cdot \gamma')$

let $?s_1 = context.to\text{-}ground \ (s_1 \cdot t_c \ \varrho_1 \cdot t_c \ \mu \cdot t_c \ \gamma')$
let $?s_1' = term.to\text{-}ground \ (s_1' \cdot t \ \varrho_1 \cdot t \ \mu \cdot t \ \gamma')$
let $?t_2 = term.to\text{-}ground \ (t_2 \cdot t \ \varrho_2 \cdot t \ \mu \cdot t \ \gamma')$
let $?t_2' = term.to\text{-}ground \ (t_2' \cdot t \ \varrho_2 \cdot t \ \mu \cdot t \ \gamma')$
let $?u_1 = term.to\text{-}ground \ (u_1 \cdot t \ \varrho_1 \cdot t \ \mu \cdot t \ \gamma')$

let $?P = \text{if } P = \text{Pos then Pos else Neg}$
let $?C = \text{clause.to-ground } (C \cdot \gamma')$
have *ground-subst*:
term-subst.is-ground-subst $(\varrho_1 \odot \mu \odot \gamma')$
term-subst.is-ground-subst $(\varrho_2 \odot \mu \odot \gamma')$
term-subst.is-ground-subst $(\mu \odot \gamma')$
using *term-subst.is-ground-subst-comp-right* $[OF \ \gamma'(1)]$
by *blast+*

have $xx: \forall x \in \text{term.vars } (t_2 \cdot t \ \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x$
 $\forall x \in \text{term.vars } (t_2' \cdot t \ \varrho_2). \mathcal{V}_2 (\text{the-inv } \varrho_2 (\text{Var } x)) = \mathcal{V}_3 x$
using *superpositionI*(16)
by (*simp-all add: clause.vars-def local.superpositionI*(11) *local.superpositionI*(8))

subst-atom subst-clause-add-mset subst-literal(1) *vars-atom vars-literal*(1))

have $wt-t: \exists \tau. \text{welltyped typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau \wedge \text{welltyped typeof-fun } \mathcal{V}_3$
 $(t_2' \cdot t \ \varrho_2 \cdot t \ \mu) \ \tau$
proof–
have $\bigwedge \tau \ \tau'.$
 $\llbracket \bigwedge \tau \ \tau'.$
 $\llbracket \text{has-type typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau; \text{has-type typeof-fun } \mathcal{V}_3 (t_2' \cdot t \ \varrho_2) \ \tau \rrbracket$
 $\implies \tau = \tau';$
 $\forall L \in \#(P_1' \cdot \varrho_1 + P_2' \cdot \varrho_2) \cdot \mu.$
 $\exists \tau. \forall t \in \text{set-uprod } (\text{atm-of } L). \text{First-Order-Type-System.welltyped typeof-fun}$
 $\mathcal{V}_3 \ t \ \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (u_1 \cdot t \ \varrho_1) \ \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau; \text{welltyped}_\sigma$
 $\text{typeof-fun } \mathcal{V}_3 \ \mu;$
 $\mathcal{P} = \text{Pos};$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3$
 $(s_1 \cdot t_c \ \varrho_1 \cdot t_c \ \mu)(t_2' \cdot t \ \varrho_2 \cdot t \ \mu) \ \tau';$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (s_1' \cdot t \ \varrho_1 \cdot t \ \mu) \ \tau \rrbracket$
 $\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau \wedge$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2' \cdot t \ \varrho_2 \cdot t \ \mu) \ \tau$
 $\bigwedge \tau \ \tau'.$
 $\llbracket \bigwedge \tau \ \tau'.$
 $\llbracket \text{has-type typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau; \text{has-type typeof-fun } \mathcal{V}_3 (t_2' \cdot t \ \varrho_2) \ \tau \rrbracket$
 $\implies \tau = \tau';$
 $\forall L \in \#(P_1' \cdot \varrho_1 + P_2' \cdot \varrho_2) \cdot \mu.$
 $\exists \tau. \forall t \in \text{set-uprod } (\text{atm-of } L). \text{First-Order-Type-System.welltyped typeof-fun}$
 $\mathcal{V}_3 \ t \ \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (u_1 \cdot t \ \varrho_1) \ \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2 \cdot t \ \varrho_2) \ \tau; \text{welltyped}_\sigma$
 $\text{typeof-fun } \mathcal{V}_3 \ \mu;$
 $\mathcal{P} = \text{Neg};$

$\mu\rangle \tau'$;
First-Order-Type-System.welltyped typeof-fun $\mathcal{V}_3 (s_1 \cdot t_c \varrho_1 \cdot t_c \mu)\langle t_2' \cdot t \varrho_2 \cdot t$
 $\mu\rangle \tau'$;
First-Order-Type-System.welltyped typeof-fun $\mathcal{V}_3 (s_1' \cdot t \varrho_1 \cdot t \mu) \tau'$
 $\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2 \cdot t \varrho_2) \tau \wedge$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (t_2' \cdot t \varrho_2 \cdot t \mu) \tau$
by (*metis welltyped $_{\kappa}'$ welltyped $_{\sigma}$ -welltyped welltyped-has-type*) $+$

then show *?thesis*
using *grounding(2) superpositionI(9, 14, 19)*
unfolding *superpositionI welltyped $_c$ -def welltyped $_l$ -def welltyped $_a$ -def subst-clause-add-mset*
unfolding *xx[THEN has-type-renaming-weaker[OF superpositionI(5)]]*
by (*auto simp: welltyped $_{\kappa}'$ subst-literal subst-atom*)
qed

have *wt-P $_1$: welltyped $_c$ typeof-fun* $\mathcal{V}_1 P_1$
proof–
have *xx: $\forall x \in \text{clause.vars} (P_1' \cdot \varrho_1). \mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x$*
using *superpositionI(15)*
unfolding *superpositionI subst-clause-add-mset*
by *clause-simp*

have *wt-P $_1'$: welltyped $_c$ typeof-fun* $\mathcal{V}_1 P_1'$
proof–
have $\llbracket \text{welltyped}_l \text{ typeof-fun } \mathcal{V}_3 (\mathcal{P} (\text{Upair} (s_1 \cdot t_c \varrho_1)\langle t_2' \cdot t \varrho_2 \rangle (s_1' \cdot t \varrho_1)) \cdot l$
 $\mu)\rrbracket$;
 $\text{welltyped}_c \text{ typeof-fun } \mathcal{V}_3 (P_1' \cdot \varrho_1 \cdot \mu);$
 $\text{welltyped}_c \text{ typeof-fun } \mathcal{V}_3 (P_2' \cdot \varrho_2 \cdot \mu)\rrbracket$
 $\implies \text{welltyped}_c \text{ typeof-fun } \mathcal{V}_1 P_1'$
unfolding *welltyped $_c$ -renaming-weaker[OF superpositionI(4) xx]*
using *superpositionI(14) welltyped $_{\sigma}$ -welltyped $_c$*
by *blast*

then show *?thesis*
using *grounding(2)*
unfolding *superpositionI subst-clause-add-mset subst-clause-plus well-*
typed $_c$ -add-mset
welltyped $_c$ -plus
by *auto*
qed

from *wt-t* **have** *x1:*
 $\exists \tau. \text{welltyped typeof-fun } \mathcal{V}_3 (s_1 \cdot t_c \varrho_1)\langle u_1 \cdot t \varrho_1 \rangle \tau \wedge \text{welltyped typeof-fun } \mathcal{V}_3$
 $(s_1' \cdot t \varrho_1) \tau$
proof–
have $\exists \tau. \text{welltyped typeof-fun } \mathcal{V}_3 (s_1 \cdot t_c \varrho_1 \cdot t_c \mu)\langle t_2' \cdot t \varrho_2 \cdot t \mu \rangle \tau \wedge$
 $\text{welltyped typeof-fun } \mathcal{V}_3 (s_1' \cdot t \varrho_1 \cdot t \mu) \tau$
using *grounding(2) superpositionI(9, 14, 15)*
unfolding *superpositionI welltyped $_c$ -def welltyped $_l$ -def welltyped $_a$ -def*
by *clause-auto*

then have $\exists \tau. \text{welltyped typeof-fun } \mathcal{V}_3 (s_1 \cdot t_c \varrho_1 \cdot t_c \mu) \langle u_1 \cdot t \varrho_1 \cdot t \mu \rangle \tau \wedge$
 $\text{welltyped typeof-fun } \mathcal{V}_3 (s_1' \cdot t \varrho_1 \cdot t \mu) \tau$
by (*meson local.superpositionI(14)* *welltyped_κ welltyped_σ-welltyped wt-t*)

then show *?thesis*
by (*metis local.superpositionI(14)* *subst-apply-term-ctxt-apply-distrib*
welltyped_σ-welltyped)

qed

then have $\exists \tau. \text{welltyped typeof-fun } \mathcal{V}_1 s_1 \langle u_1 \rangle \tau \wedge \text{welltyped typeof-fun } \mathcal{V}_1 s_1'$
 τ

proof–

have $x1'$: $\bigwedge \tau. \llbracket \forall x \in \text{literal.vars} ((s_1 \cdot t_c \varrho_1) \langle u_1 \cdot t \varrho_1 \rangle \approx s_1' \cdot t \varrho_1) \cup \text{clause.vars}$
 $(P_1' \cdot \varrho_1).$

$\mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x;$
 $\bigwedge t \mathcal{V} \mathcal{V}' \mathcal{F} \tau.$
 $\forall x \in \text{term.vars} (t \cdot t \varrho_1). \mathcal{V} (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}' x \implies$
 $\text{First-Order-Type-System.welltyped } \mathcal{F} \mathcal{V} t \tau =$
 $\text{First-Order-Type-System.welltyped } \mathcal{F} \mathcal{V}' (t \cdot t \varrho_1) \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (s_1 \cdot t_c \varrho_1) \langle u_1 \cdot t \varrho_1 \rangle \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (s_1' \cdot t \varrho_1) \tau; \mathcal{P} = \text{Pos}]$
 $\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_1 s_1 \langle u_1 \rangle \tau \wedge$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_1 s_1' \tau$
 $\bigwedge \tau. \llbracket \forall x \in \text{literal.vars} ((s_1 \cdot t_c \varrho_1) \langle u_1 \cdot t \varrho_1 \rangle \approx s_1' \cdot t \varrho_1) \cup \text{clause.vars} (P_1'$
 $\cdot \varrho_1).$

$\mathcal{V}_1 (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}_3 x;$
 $\bigwedge t \mathcal{V} \mathcal{V}' \mathcal{F} \tau.$
 $\forall x \in \text{term.vars} (t \cdot t \varrho_1). \mathcal{V} (\text{the-inv } \varrho_1 (\text{Var } x)) = \mathcal{V}' x \implies$
 $\text{First-Order-Type-System.welltyped } \mathcal{F} \mathcal{V} t \tau =$
 $\text{First-Order-Type-System.welltyped } \mathcal{F} \mathcal{V}' (t \cdot t \varrho_1) \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (s_1 \cdot t_c \varrho_1) \langle u_1 \cdot t \varrho_1 \rangle \tau;$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_3 (s_1' \cdot t \varrho_1) \tau; \mathcal{P} = \text{Neg}]$
 $\implies \exists \tau. \text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_1 s_1 \langle u_1 \rangle \tau \wedge$
 $\text{First-Order-Type-System.welltyped typeof-fun } \mathcal{V}_1 s_1' \tau$

by *clause-simp (metis (mono-tags) Un-iff welltyped-renaming-weaker[OF*
superpositionI(4)]
subst-apply-term-ctxt-apply-distrib vars-term-ctxt-apply)+

with $x1$ **show** *?thesis*
using *superpositionI(15)* *superpositionI(9)*
welltyped-renaming-weaker[OF superpositionI(4)]
unfolding *superpositionI subst-clause-add-mset vars-clause-add-mset*
by (*auto simp: welltyped_κ' subst-literal subst-atom*)

qed

then show *?thesis*
using *grounding(2)* *superpositionI(9, 14)* *wt-P₁'*
unfolding *superpositionI welltyped_c-def welltyped_l-def welltyped_a-def subst-clause-add-mset*

```

      subst-clause-plus
    by auto
  qed

  have wt-P2: welltypedc typeof-fun V2 P2
  proof-
    have xx: ∀ x ∈ clause.vars (P2' · ρ2). V2 (the-inv ρ2 (Var x)) = V3 x
      using superpositionI(16)
      unfolding superpositionI subst-clause-add-mset
      by clause-simp

    have wt-P2': welltypedc typeof-fun V2 P2'
      using grounding(2)
      unfolding superpositionI subst-clause-add-mset subst-clause-plus well-
typedc-add-mset
      welltypedc-plus welltypedc-renaming-weaker[OF superpositionI(5) xx]
      using superpositionI(14) welltypedσ-welltypedc by blast

    have tt: ∃ τ. welltyped typeof-fun V3 (t2 · t ρ2) τ ∧ welltyped typeof-fun V3 (t2'
· t ρ2) τ
      using wt-t
      by (meson superpositionI(14) welltypedσ-welltyped)

    show ?thesis
    proof-
      have ∃ τ. welltyped typeof-fun V2 t2 τ ∧ welltyped typeof-fun V2 t2' τ
        using superpositionI(16) welltyped-renaming-weaker[OF superpositionI(5)]
        unfolding superpositionI
        by (metis (no-types, lifting) Un-iff subst-atom subst-clause-add-mset
subst-literal(1) tt
vars-atom vars-clause-add-mset vars-literal(1))

      with wt-P2' show ?thesis
      unfolding welltypedc-def welltyped1-def welltypeda-def superpositionI
      by auto
    qed
  qed

  have wt-μ-γ: welltypedσ typeof-fun V3 (μ ⊙ γ')
  by (metis γ'(2) local.superpositionI(14) subst-compose-def welltypedσ-def
welltypedσ-welltyped)

  have wt-γ: welltypedσ-on (clause.vars P1) typeof-fun V1 (ρ1 ⊙ μ ⊙ γ')
  welltypedσ-on (clause.vars P2) typeof-fun V2 (ρ2 ⊙ μ ⊙ γ')
  using
    superpositionI(15, 16)
    welltypedσ-renaming-ground-subst-weaker[OF superpositionI(4) wt-μ-γ su-
perpositionI(17)]

```

```

      ground-subst( $\beta$ )
      welltyped $_{\sigma}$ -renaming-ground-subst-weaker[OF superpositionI(5) wt- $\mu$ - $\gamma$  su-
perpositionI(18)
      ground-subst( $\beta$ )
      unfolding vars-subst $_c$ 
      by (simp-all add: subst-compose-assoc)

have ?I  $\models$  ?P $_1$ 
  using premise1[rule-format, of ?P $_1$ , OF exI, of  $\varrho_1 \odot \mu \odot \gamma$ ] ground-subst
wt-P $_1$  wt- $\gamma$ 
  superpositionI(27)
  by auto

moreover have ?I  $\models$  ?P $_2$ 
  using premise2[rule-format, of ?P $_2$ , OF exI, of  $\varrho_2 \odot \mu \odot \gamma$ ] ground-subst
wt-P $_2$  wt- $\gamma$ 
  superpositionI(28)
  by auto

ultimately obtain L $_1'$  L $_2'$ 
  where
    L $_1'$ -in-P1: L $_1' \in \#$  ?P $_1$  and
    I-models-L $_1'$ : ?I  $\models$  l L $_1'$  and
    L $_2'$ -in-P2: L $_2' \in \#$  ?P $_2$  and
    I-models-L $_2'$ : ?I  $\models$  l L $_2'$ 
  by (auto simp: true-cls-def)

have u $_1$ -equals-t $_2$ : ?t $_2 = ?u_1$ 
  using term-subst.subst-imgu-eq-subst-imgu[OF superpositionI(13)]
  by argo

have s $_1$ -u $_1$ : ?s $_1$ (?u $_1$ ) $_G = \text{term.to-ground } (s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma')(u_1 \cdot t \varrho_1 \cdot t \mu \cdot t$ 
 $\gamma')$ 
  using
    ground-term-with-context(1)[OF
      context.is-ground-subst-is-ground
      term-subst.is-ground-subst-is-ground
    ]
     $\gamma'(1)$ 
  by auto

have s $_1$ -t $_2'$ : (?s $_1$ )(?t $_2'$ ) $_G = \text{term.to-ground } (s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma')(t_2' \cdot t \varrho_2 \cdot t$ 
 $\mu \cdot t \gamma')$ 
  using
    ground-term-with-context(1)[OF
      context.is-ground-subst-is-ground
      term-subst.is-ground-subst-is-ground
    ]
     $\gamma'(1)$ 

```


by *auto*

have \mathcal{P} -pos-or-neg: $\mathcal{P} = Pos \vee \mathcal{P} = Neg$
using *superpositionI(9)* by *blast*

then have L_1 : $?L_1 = ?\mathcal{P} (Upair ?s_1 \langle ?u_1 \rangle_G ?s_1')$
using s_1 - u_1
unfolding *superpositionI literal.to-ground-def atom.to-ground-def*
by *clause-auto*

have *literal.to-ground*
 $((s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma') \langle t_2' \cdot t \varrho_2 \cdot t \mu \cdot t \gamma' \rangle \approx s_1' \cdot t \varrho_1 \cdot t \mu \cdot t \gamma') =$
 $term.to-ground (s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma') \langle t_2' \cdot t \varrho_2 \cdot t \mu \cdot t \gamma' \rangle \approx$
 $term.to-ground (s_1' \cdot t \varrho_1 \cdot t \mu \cdot t \gamma')$
by (*metis atom.to-ground-def ground-atom-in-ground-literal(1) map-uprod-simps*)

moreover have *literal.to-ground*
 $((s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma') \langle t_2' \cdot t \varrho_2 \cdot t \mu \cdot t \gamma' \rangle !\approx s_1' \cdot t \varrho_1 \cdot t \mu \cdot t \gamma') =$
 $term.to-ground (s_1 \cdot t_c \varrho_1 \cdot t_c \mu \cdot t_c \gamma') \langle t_2' \cdot t \varrho_2 \cdot t \mu \cdot t \gamma' \rangle !\approx$
 $term.to-ground (s_1' \cdot t \varrho_1 \cdot t \mu \cdot t \gamma')$
by (*metis atom.to-ground-def ground-atom-in-ground-literal(2) map-uprod-simps*)

ultimately have C : $?C = add-mset (?\mathcal{P} (Upair (?s_1) \langle ?t_2 \rangle_G (?s_1'))) (?P_1' + ?P_2')$
using \mathcal{P} -pos-or-neg
unfolding
 s_1 - t_2'
superpositionI
clause.to-ground-def
subst-clause-add-mset
subst-clause-plus
by (*auto simp: subst-atom subst-literal*)

show $?I \Vdash clause.to-ground (C \cdot \gamma)$
proof (cases $L_1' = ?L_1$)
case L_1' -def: True
then have $?I \Vdash ?L_1$
using *superpositionI*
using *I-models-L_1'* by *blast*

show *?thesis*
proof (cases $L_2' = ?L_2$)
case L_2' -def: True

then have *ts-in-I*: $(?t_2, ?t_2') \in I$
using *I-models-L_2'* *true-lit-uprod-iff-true-lit-prod[OF sym-I]* *superpositionI(11)*
unfolding *literal.to-ground-def atom.to-ground-def*
by (*smt (verit) literal.simps(9) map-uprod-simps atom.subst-def subst-literal*)

```

      true-lit-simps(1))

  have ?thesis if  $\mathcal{P} = Pos$ 
  proof –
    from that have  $(?s_1 \langle ?t_2 \rangle_G, ?s_1 \wedge) \in I$ 
    using I-models- $L_1'$   $L_1'$ -def  $L_1$  true-lit-uprod-iff-true-lit-prod[OF sym-I]
  u1-equals-t2
    unfolding superpositionI
    by (smt (verit, best) true-lit-simps(1))

  then have  $(?s_1 \langle ?t_2 \wedge \rangle_G, ?s_1 \wedge) \in I$ 
    using ts-in-I compatible-with-ground-context-I refl-I sym-I trans-I
    by (meson compatible-with-gtxtD refl-onD1 symD trans-onD)

  then have  $?I \models ?s_1 \langle ?t_2 \wedge \rangle_G \approx ?s_1'$ 
    by blast

  then show ?thesis
    unfolding C that
  by (smt (verit) C  $\gamma'(3)$  clause.subst-eq that true-cls-def union-single-eq-member)
  qed

  moreover have ?thesis if  $\mathcal{P} = Neg$ 
  proof –
    from that have  $(?s_1 \langle ?t_2 \rangle_G, ?s_1 \wedge) \notin I$ 
    using I-models- $L_1'$   $L_1'$ -def  $L_1$  true-lit-uprod-iff-true-lit-prod[OF sym-I]
  u1-equals-t2
    unfolding superpositionI
    by (smt (verit, ccfv-threshold) literals-distinct(2) true-lit-simps(2))

  then have  $(?s_1 \langle ?t_2 \wedge \rangle_G, ?s_1 \wedge) \notin I$ 
    using ts-in-I compatible-with-ground-context-I trans-I
    by (meson compatible-with-gtxtD transD)

  then have  $?I \models Neg (Upair ?s_1 \langle ?t_2 \wedge \rangle_G ?s_1 \wedge)$ 
    by (meson true-lit-uprod-iff-true-lit-prod(2) sym-I true-lit-simps(2))

  then show ?thesis
    unfolding C that
    by (smt (verit, best) C  $\gamma'(3)$  calculation clause.subst-eq true-cls-def
        union-single-eq-member)
  qed

  ultimately show ?thesis
    using  $\mathcal{P}$ -pos-or-neg by blast
next
case False
then have  $L_2' \in \# ?P_2'$ 

```

```

using  $L_2'$ -in- $P_2$ 
unfolding superpositionI
by (simp add: clause.to-ground-def subst-clause-add-mset)

then have  $?I \models ?P_2'$ 
using I-models- $L_2'$  by blast

then show ?thesis
unfolding superpositionI
by (smt (verit, ccfv-SIG) C  $\gamma'(3)$  clause.subst-eq local.superpositionI(26))
true-cls-union
union-mset-add-mset-left)
qed
next
case False
then have  $L_1' \in \# ?P_1'$ 
using  $L_1'$ -in- $P_1$ 
unfolding superpositionI
by (simp add: clause.to-ground-def subst-clause-add-mset)

then have  $?I \models ?P_1'$ 
using I-models- $L_1'$  by blast

then show ?thesis
unfolding superpositionI
by (smt (verit, best) C  $\gamma'(3)$  clause.subst-eq local.superpositionI(26))
true-cls-union
union-mset-add-mset-right)
qed
qed

then show ?thesis
unfolding ground.G-entails-def clause-groundings-def true-cls-def superpositionI(1-3)
by auto
qed

end

sublocale grounded-first-order-superposition-calculus  $\subseteq$ 
sound-inference-system inferences  $\perp_F$  ( $\models_F$ )
proof unfold-locales
fix  $\iota$ 
assume  $\iota \in$  inferences
then show set (prems-of  $\iota$ )  $\models_F$  {concl-of  $\iota$ }
using
eq-factoring-sound
eq-resolution-sound
superposition-sound

```

```

    unfolding inferences-def ground.G-entails-def
    by auto
qed

sublocale first-order-superposition-calculus  $\subseteq$ 
  sound-inference-system inferences  $\perp_F$  entails- $\mathcal{G}$ 
proof unfold-locales
  obtain select $_G$  where select $_G$ : select $_G \in$  select $_{G_s}$ 
  using Q-nonempty by blast

  then interpret grounded-first-order-superposition-calculus
  where select $_G =$  select $_G$ 
  by unfold-locales (simp add: select $_{G_s}$ -def)

  show  $\bigwedge \iota. \iota \in$  inferences  $\implies$  entails- $\mathcal{G}$  (set (prems-of  $\iota$ )) {concl-of  $\iota$ }
  using sound
  unfolding entails-def
  by blast
qed

end
theory Ground-Superposition-Soundness
  imports Ground-Superposition
begin

lemma (in ground-superposition-calculus) soundness-ground-superposition:
  assumes
    step: ground-superposition P1 P2 C
  shows G-entails {P1, P2} {C}
  using step
proof (cases P1 P2 C rule: ground-superposition.cases)
  case (ground-superpositionI L1 P1' L2 P2'  $\mathcal{P}$  s t s' t')

  show ?thesis
    unfolding G-entails-def true-cls-singleton
    unfolding true-cls-insert
  proof (intro allI impI, elim conjE)
    fix I :: 'f gterm rel
    let ?I' = ( $\lambda(t_1, t). \text{Upair } t_1 t$ ) ' I
    assume refl I and trans I and sym I and compatible-with-gctxt I and
      ?I'  $\models$  P1 and ?I'  $\models$  P2
    then obtain K1 K2 :: 'f gatom literal where
      K1  $\in\#$  P1 and ?I'  $\models_l$  K1 and K2  $\in\#$  P2 and ?I'  $\models_l$  K2
    by (auto simp: true-cls-def)

  show ?I'  $\models$  C
  proof (cases K2 =  $\mathcal{P}$  (Upair s<t> $_G$  s'))
    case K1-def: True
    hence ?I'  $\models_l$   $\mathcal{P}$  (Upair s<t> $_G$  s')
  end
end

```

```

using ⟨?I'  $\models$  K2⟩ by simp

show ?thesis
proof (cases K1 = Pos (Upair t t'))
  case K2-def: True
  hence (t, t') ∈ I
  using ⟨?I'  $\models$  K1⟩ true-lit-uprod-iff-true-lit-prod[OF ⟨sym I⟩] by simp

  have ?thesis if  $\mathcal{P} = \text{Pos}$ 
  proof –
    from that have (s⟨t⟩G, s') ∈ I
    using ⟨?I'  $\models$  K2⟩ K1-def true-lit-uprod-iff-true-lit-prod[OF ⟨sym I⟩] by
simp
    hence (s⟨t'⟩G, s') ∈ I
    using ⟨(t, t') ∈ I⟩
    using ⟨compatible-with-gctxt I⟩ ⟨refl I⟩ ⟨sym I⟩ ⟨trans I⟩
    by (meson compatible-with-gctxtD refl-onD1 symD trans-onD)
    hence ?I'  $\models$  Pos (Upair s⟨t'⟩G s')
    by blast
    thus ?thesis
    unfolding ground-superpositionI that
    by simp
  qed

  moreover have ?thesis if  $\mathcal{P} = \text{Neg}$ 
  proof –
    from that have (s⟨t⟩G, s') ∉ I
    using ⟨?I'  $\models$  K2⟩ K1-def true-lit-uprod-iff-true-lit-prod[OF ⟨sym I⟩] by
simp
    hence (s⟨t'⟩G, s') ∉ I
    using ⟨(t, t') ∈ I⟩
    using ⟨compatible-with-gctxt I⟩ ⟨trans I⟩
    by (metis compatible-with-gctxtD transD)
    hence ?I'  $\models$  Neg (Upair s⟨t'⟩G s')
    by (meson ⟨sym I⟩ true-lit-simps(2) true-lit-uprod-iff-true-lit-prod(2))
    thus ?thesis
    unfolding ground-superpositionI that by simp
  qed

  ultimately show ?thesis
  using ⟨ $\mathcal{P} \in \{\text{Pos}, \text{Neg}\}$ ⟩ by auto
next
case False
hence K1 ∈# P2'
using ⟨K1 ∈# P1⟩
unfolding ground-superpositionI by simp
hence ?I'  $\models$  P2'
using ⟨?I'  $\models$  K1⟩ by blast
thus ?thesis

```

```

      unfolding ground-superpositionI by simp
    qed
  next
  case False
  hence  $K2 \in\# P_1'$ 
  using  $\langle K2 \in\# P2 \rangle$ 
  unfolding ground-superpositionI by simp
  hence  $?I' \Vdash P_1'$ 
  using  $\langle ?I' \Vdash_l K2 \rangle$  by blast
  thus ?thesis
  unfolding ground-superpositionI by simp
  qed
  qed
  qed

```

lemma (in *ground-superposition-calculus*) *soundness-ground-eq-resolution*:

```

  assumes step: ground-eq-resolution P C
  shows G-entails {P} {C}
  using step
  proof (cases P C rule: ground-eq-resolution.cases)
  case (ground-eq-resolutionI L D' t)
  show ?thesis
    unfolding G-entails-def true-cls-singleton
  proof (intro allI impI)
  fix I :: 'f gterm rel
  assume refl I and  $(\lambda(t_1, t_2). \text{Upair } t_1 t_2) ' I \Vdash P$ 
  then obtain K where  $K \in\# P$  and  $(\lambda(t_1, t_2). \text{Upair } t_1 t_2) ' I \Vdash_l K$ 
  by (auto simp: true-cls-def)
  hence  $K \neq L$ 
  by (metis  $\langle \text{refl } I \rangle$  ground-eq-resolutionI(2) pair-imageI reflD true-lit-simps(2))
  hence  $K \in\# C$ 
  using  $\langle K \in\# P \rangle \langle P = \text{add-mset } L D' \rangle \langle C = D' \rangle$  by simp
  thus  $(\lambda(t_1, t_2). \text{Upair } t_1 t_2) ' I \Vdash C$ 
  using  $\langle (\lambda(t_1, t_2). \text{Upair } t_1 t_2) ' I \Vdash_l K \rangle$  by blast
  qed
  qed
  qed

```

lemma (in *ground-superposition-calculus*) *soundness-ground-eq-factoring*:

```

  assumes step: ground-eq-factoring P C
  shows G-entails {P} {C}
  using step
  proof (cases P C rule: ground-eq-factoring.cases)
  case (ground-eq-factoringI L1 L2 P' t t' t'')
  show ?thesis
    unfolding G-entails-def true-cls-singleton
  proof (intro allI impI)
  fix I :: 'f gterm rel
  let ?I' =  $(\lambda(t_1, t). \text{Upair } t_1 t) ' I$ 
  assume trans I and sym I and  $?I' \Vdash P$ 

```

then obtain $K :: 'f \text{ gatom literal}$ **where**
 $K \in \# P$ **and** $?I' \models K$
by (*auto simp: true-cls-def*)

show $?I' \models C$
proof (*cases* $K = L_1 \vee K = L_2$)
case *True*
hence $I \models \text{Pos}(t, t') \vee I \models \text{Pos}(t, t'')$
unfolding *ground-eq-factoringI*
using $\langle ?I' \models K \rangle$ *true-lit-uprod-iff-true-lit-prod[OF \langle sym I \rangle]* **by** *metis*
hence $I \models \text{Pos}(t, t'') \vee I \models \text{Neg}(t', t'')$
proof (*elim disjE*)
assume $I \models \text{Pos}(t, t')$
then show *?thesis*
unfolding *true-lit-simps*
by (*metis \langle trans I \rangle transD*)
next
assume $I \models \text{Pos}(t, t'')$
then show *?thesis*
by *simp*
qed
hence $?I' \models \text{Pos}(U\text{pair } t \ t'') \vee ?I' \models \text{Neg}(U\text{pair } t' \ t'')$
unfolding *true-lit-uprod-iff-true-lit-prod[OF \langle sym I \rangle]* .
thus *?thesis*
unfolding *ground-eq-factoringI*
by (*metis true-cls-add-mset*)
next
case *False*
hence $K \in \# P'$
using $\langle K \in \# P \rangle$
unfolding *ground-eq-factoringI*
by *auto*
hence $K \in \# C$
by (*simp add: ground-eq-factoringI(1,2,7)*)
thus *?thesis*
using $\langle (\lambda(t_1, t). U\text{pair } t_1 \ t) \ ' I \models K \rangle$ **by** *blast*
qed
qed
qed

sublocale *ground-superposition-calculus* \subseteq *sound-inference-system* **where**
 $\text{Inf} = G\text{-Inf}$ **and**
 $\text{Bot} = G\text{-Bot}$ **and**
 $\text{entails} = G\text{-entails}$
proof *unfold-locales*
show $\bigwedge \iota. \iota \in G\text{-Inf} \implies G\text{-entails}(\text{set}(\text{prems-of } \iota)) \{\text{concl-of } \iota\}$
unfolding *G-Inf-def*
using *soundness-ground-superposition*
using *soundness-ground-eq-resolution*

```

    using soundness-ground-eq-factoring
    by (auto simp: G-entails-def)
qed

end
theory Ground-Superposition-Welltypedness-Preservation
  imports Ground-Superposition
begin

lemma (in ground-superposition-calculus) ground-superposition-preserves-typing:
  assumes
    step: ground-superposition D E C and
    wt-D: welltypedc F D and
    wt-E: welltypedc F E
  shows welltypedc F C
  using step
proof (cases D E C rule: ground-superposition.cases)
  case hyps: (ground-superpositionI LE E' LD D' P κ t u t')
  show ?thesis
    unfolding ⟨C = add-mset (P (Upair κ⟨t⟩G u)) (E' + D')⟩
    unfolding welltypedc-add-mset welltypedc-plus
  proof (intro conjI)
    have ∃τ. welltyped F κ⟨t⟩G τ ∧ welltyped F u τ
    proof –
      have welltypedi F LE
      using wt-E
      unfolding ⟨E = add-mset LE E'⟩ welltypedc-add-mset
      by argo
      hence welltypeda F (Upair κ⟨t⟩G u)
      using ⟨P ∈ {Pos, Neg}⟩
      unfolding ⟨LE = P (Upair κ⟨t⟩G u)⟩ welltypedi-def
      by auto
      thus ?thesis
      unfolding welltypeda-def by simp
    qed

    moreover have ∃τ. welltyped F t τ ∧ welltyped F t' τ
    proof –
      have welltypedi F LD
      using wt-D
      unfolding ⟨D = add-mset LD D'⟩ welltypedc-add-mset
      by argo
      hence welltypeda F (Upair t t')
      using ⟨P ∈ {Pos, Neg}⟩
      unfolding ⟨LD = t ≈ t'⟩ welltypedi-def
      by auto
      thus ?thesis
      unfolding welltypeda-def by simp
    qed
  qed

```


ultimately have $\exists \tau. \text{welltyped } \mathcal{F} \kappa \langle t \rangle_G \tau \wedge \text{welltyped } \mathcal{F} u \tau$
using *gctxt-apply-term-preserves-typing*[of $\mathcal{F} \kappa t - - t'$]
by *blast*
hence $\text{welltyped}_a \mathcal{F} (\text{Upair } \kappa \langle t \rangle_G u)$
unfolding *welltyped_a-def* **by** *simp*
thus $\text{welltyped}_1 \mathcal{F} (\mathcal{P} (\text{Upair } \kappa \langle t \rangle_G u))$
unfolding *welltyped₁-def*
using $\langle \mathcal{P} \in \{\text{Pos}, \text{Neg}\} \rangle$ **by** *auto*
next
show $\text{welltyped}_c \mathcal{F} E'$
using *wt-E*
unfolding $\langle E = \text{add-mset } L_E E' \rangle$ *welltyped_c-add-mset*
by *argo*
next
show $\text{welltyped}_c \mathcal{F} D'$
using *wt-D*
unfolding $\langle D = \text{add-mset } L_D D' \rangle$ *welltyped_c-add-mset*
by *argo*
qed
qed

lemma (in *ground-superposition-calculus*) *ground-eq-resolution-preserves-typing*:
assumes
step: ground-eq-resolution D C and
wt-D: welltyped_c F D
shows $\text{welltyped}_c \mathcal{F} C$
using *step*
proof (*cases D C rule: ground-eq-resolution.cases*)
case (*ground-eq-resolutionI L D' t*)
thus *?thesis*
using *wt-D*
unfolding *welltyped_c-def*
by *simp*
qed

lemma (in *ground-superposition-calculus*) *ground-eq-factoring-preserves-typing*:
assumes
step: ground-eq-factoring D C and
wt-D: welltyped_c F D
shows $\text{welltyped}_c \mathcal{F} C$
using *step*
proof (*cases D C rule: ground-eq-factoring.cases*)
case (*ground-eq-factoringI L₁ L₂ D' t t' t''*)
hence $\text{welltyped}_1 \mathcal{F} (t \approx t')$ **and** $\text{welltyped}_1 \mathcal{F} (t \approx t'')$ **and** $\text{welltyped}_c \mathcal{F} D'$
unfolding *atomize-conj*
using *wt-D welltyped_c-add-mset* **by** *metis*

hence $\exists \tau. \text{welltyped } \mathcal{F} t \tau \wedge \text{welltyped } \mathcal{F} t' \tau \exists \tau. \text{welltyped } \mathcal{F} t \tau \wedge \text{welltyped}$

```

 $\mathcal{F} \ t'' \ \tau$ 
  unfolding atomize-conj welltypedl-def welltypeda-def by simp

hence t-t'-same-type:  $\exists \tau. \text{welltyped } \mathcal{F} \ t' \ \tau \wedge \text{welltyped } \mathcal{F} \ t'' \ \tau$ 
  using welltyped-right-unique[THEN right-uniqueD] by metis

show ?thesis
  unfolding  $\langle C = \text{add-mset } (t' \! \approx t'') \ (\text{add-mset } (t \approx t'') \ D') \rangle$  welltypedc-add-mset
  proof (intro conjI)
    show welltypedl  $\mathcal{F} \ (t' \! \approx t'')$ 
      using t-t'-same-type
      unfolding welltypedl-def welltypeda-def by simp
    next
      show welltypedl  $\mathcal{F} \ (t \approx t'')$ 
        using  $\langle \text{welltyped}_l \ \mathcal{F} \ (t \approx t'') \rangle$  .
    next
      show welltypedc  $\mathcal{F} \ D'$ 
        using  $\langle \text{welltyped}_c \ \mathcal{F} \ D' \rangle$  .
  qed
qed

end

```

References

- [1] M. Desharnais, B. Toth, U. Waldmann, J. Blanchette, and S. Tournet. A Modular Formalization of Superposition in Isabelle/HOL. In Y. Bertot, T. Kutsia, and M. Norrish, editors, *15th International Conference on Interactive Theorem Proving (ITP 2024)*, volume 309 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 12:1–12:20, Dagstuhl, Germany, 2024. Schloss Dagstuhl – Leibniz-Zentrum für Informatik.