

# Sorted Terms\*

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## Abstract

This entry provides a basic library for many-sorted terms and algebras. We view sorted sets just as partial maps from elements to sorts, and define sorted set of terms reusing the data type from the existing library of (unsorted) first order terms. All the existing functionality, such as substitutions and contexts, can be reused without any modifications. We provide predicates stating what substitutions or contexts are considered sorted, and prove facts that they preserve sorts as expected.

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## 1 Introduction

This entry extends the First-Order Terms [1] entry with many-sorted terms. Instead of defining a new datatype for sorted terms, we just define sorted sets over the existing datatype of unsorted terms. We do not even introduce our type for sorted sets: we just view sorted sets as partial maps from elements to their sorts.

Part of the entry is presented in [2].

```
theory Sorted-Sets
imports
  Main
  HOL-Library.FuncSet
  HOL-Library.Monad-Syntax
  Complete-Non-Orders.Binary-Relations
begin
```

## 2 Auxiliary Lemmas

```
lemma ex-set-conv-ex-nth:
  ( $\exists x \in set xs. P x$ ) = ( $\exists i. i < length xs \wedge P (xs ! i)$ )
  ⟨proof⟩

lemma Ball-Pair-conv: ( $\forall (x,y) \in R. P x y$ )  $\longleftrightarrow$  ( $\forall x y. (x,y) \in R \longrightarrow P x y$ ) ⟨proof⟩

lemma Some-eq-bind-conv: ( $Some x = f \gg g$ ) = ( $\exists y. f = Some y \wedge g y = Some x$ )
  ⟨proof⟩

lemma length-le-nth-append:  $length xs \leq n \implies (xs @ ys)!n = ys!(n - length xs)$ 
  ⟨proof⟩

lemma list-all2-same-left:
   $\forall a' \in set as. a' = a \implies list-all2 r as bs \longleftrightarrow length as = length bs \wedge (\forall b \in set bs. r a b)$ 
```

$\langle proof \rangle$

**lemma** *list-all2-same-leftI*:

$\forall a' \in \text{set } as. a' = a \implies \text{length } as = \text{length } bs \implies \forall b \in \text{set } bs. r a b \implies \text{list-all2}$   
 $r as bs$

$\langle proof \rangle$

**lemma** *list-all2-same-right*:

$\forall b' \in \text{set } bs. b' = b \implies \text{list-all2 } r as bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. r a b)$

$\langle proof \rangle$

**lemma** *list-all2-same-rightI*:

$\forall b' \in \text{set } bs. b' = b \implies \text{length } as = \text{length } bs \implies \forall a \in \text{set } as. r a b \implies \text{list-all2}$   
 $r as bs$

$\langle proof \rangle$

**lemma** *list-all2-all-all*:

$\forall a \in \text{set } as. \forall b \in \text{set } bs. r a b \implies \text{list-all2 } r as bs \longleftrightarrow \text{length } as = \text{length } bs$

$\langle proof \rangle$

**lemma** *list-all2-indep1*:

$\text{list-all2 } (\lambda a b. P b) as bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall b \in \text{set } bs. P b)$

$\langle proof \rangle$

**lemma** *list-all2-indep2*:

$\text{list-all2 } (\lambda a b. P a) as bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. P a)$

$\langle proof \rangle$

**lemma** *list-all2-replicate[simp]*:

$\text{list-all2 } r (\text{replicate } n x) ys \longleftrightarrow \text{length } ys = n \wedge (\forall y \in \text{set } ys. r x y)$

$\text{list-all2 } r xs (\text{replicate } n y) \longleftrightarrow \text{length } xs = n \wedge (\forall x \in \text{set } xs. r x y)$

$\langle proof \rangle$

**lemma** *list-all2-choice-nth*: **assumes**  $\forall i < \text{length } xs. \exists y. r (xs[i]) y$  **shows**  $\exists ys.$

$\text{list-all2 } r xs ys$

$\langle proof \rangle$

**lemma** *list-all2-choice*:  $\forall x \in \text{set } xs. \exists y. r x y \implies \exists ys. \text{list-all2 } r xs ys$

$\langle proof \rangle$

**lemma** *list-all2-concat*:

$\text{list-all2 } (\text{list-all2 } r) ass bss \implies \text{list-all2 } r (\text{concat } ass) (\text{concat } bss)$

$\langle proof \rangle$

**lemma** *those-eq-None[simp]*:  $\text{those } as = \text{None} \longleftrightarrow \text{None} \in \text{set } as$   $\langle proof \rangle$

**lemma** *those-eq-Some[simp]*:  $\text{those } xos = \text{Some } xs \longleftrightarrow xos = \text{map Some } xs$   
 $\langle proof \rangle$

```

lemma those-map-Some[simp]: those (map Some xs) = Some xs ⟨proof⟩

lemma those-append:
those (as @ bs) = do {xs ← those as; ys ← those bs; Some (xs@ys)}
⟨proof⟩

lemma those-Cons:
those (a#as) = do {x ← a; xs ← those as; Some (x # xs)}
⟨proof⟩

lemma map-singleton-o[simp]: (λx. [x]) ∘ f = (λx. [f x]) ⟨proof⟩

lemmas list-3-cases = remdups-adj.cases

lemma in-set-updateD: x ∈ set (xs[n := y]) ⟹ x ∈ set xs ∨ x = y
⟨proof⟩

lemma map-nth': length xs = n ⟹ map (nth xs) [0..<n] = xs
⟨proof⟩

lemma product-lists-map-map: product-lists (map (map f) xss) = map (map f)
(product-lists xss)
⟨proof⟩

lemma (in monoid-add) sum-list-concat: sum-list (concat xs) = sum-list (map
sum-list xs)
⟨proof⟩

context semiring-1 begin

lemma prod-list-map-sum-list-distrib:
shows prod-list (map sum-list xss) = sum-list (map prod-list (product-lists xss))
⟨proof⟩

lemma prod-list-sum-list-distrib:
( $\prod$  xs ← xss.  $\sum$  x ← xs. f x) = ( $\sum$  xs ← product-lists xss.  $\prod$  x ← xs. f x)
⟨proof⟩

end

lemma ball-set-bex-set-distrib:
( $\forall$  xs ∈ set xss.  $\exists$  x ∈ set xs. f x) ⟷ ( $\exists$  xs ∈ set (product-lists xss).  $\forall$  x ∈ set xs. f x)
⟨proof⟩

lemma bex-set-ball-set-distrib:
( $\exists$  xs ∈ set xss.  $\forall$  x ∈ set xs. f x) ⟷ ( $\forall$  xs ∈ set (product-lists xss).  $\exists$  x ∈ set xs. f x)
⟨proof⟩

```

```

declare upt-Suc[simp del]

lemma map-nth-Cons: map (nth (x#xs)) [0..<n] = (case n of 0 ⇒ [] | Suc n ⇒
x # map (nth xs) [0..<n])
⟨proof⟩

lemma upt-0-Suc-Cons: [0..<Suc i] = 0 # map Suc [0..<i]
⟨proof⟩

lemma upt-map-add: i ≤ j ⇒ [i..<j] = map ( $\lambda k. k + i$ ) [0..<j-i]
⟨proof⟩

lemma map-nth-append:
map (nth (xs @ ys)) [0..<n] =
(if n < length xs then map (nth xs) [0..<n] else xs @ map (nth ys) [0..<n-length xs])
⟨proof⟩

lemma all-dom: ( $\forall x \in \text{dom } f. P x$ ) ⇔ ( $\forall x y. f x = \text{Some } y \rightarrow P x$ ) ⟨proof⟩

lemma trancl-Collect: {(x,y). r x y}+ = {(x,y). tranclp r x y}
⟨proof⟩

lemma restrict-submap[intro!]: A |‘ S ⊆m A
⟨proof⟩

lemma restrict-map-mono-left: A ⊆m A' ⇒ A |‘ S ⊆m A' |‘ S
and restrict-map-mono-right: S ⊆ S' ⇒ A |‘ S ⊆m A |‘ S'
⟨proof⟩

```

### 3 Sorted Sets and Maps

**declare** *domIff*[iff del]

We view sorted sets just as partial maps from elements to their sorts. We just introduce the following notation:

**definition** *hastype* (((-) :/ (-) *in*/ (-)) [50,61,51]50)  
**where** *a* :  $\sigma$  *in A* ≡ *A a = Some σ*

**abbreviation** *all-hastype*  $\sigma$  *A P* ≡  $\forall a. a : \sigma \text{ in } A \rightarrow P a$   
**abbreviation** *ex-hastype*  $\sigma$  *A P* ≡  $\exists a. a : \sigma \text{ in } A \wedge P a$

#### syntax

*all-hastype* :: 'pttrn ⇒ 'a ⇒ 'a ⇒ 'a ⇒ 'a ( $\forall - : / - \text{ in } / - / -$  [50,51,51,10]10)  
*ex-hastype* :: 'pttrn ⇒ 'a ⇒ 'a ⇒ 'a ⇒ 'a ( $\exists - : / - \text{ in } / - / -$  [50,51,51,10]10)

#### translations

$\forall a : \sigma \text{ in } A. e \Rightarrow \text{CONST all-hastype } \sigma A (\lambda a. e)$   
 $\exists a : \sigma \text{ in } A. e \Rightarrow \text{CONST ex-hastype } \sigma A (\lambda a. e)$

```

lemmas hastypeI = hastype-def[unfolded atomize-eq, THEN iffD2]
lemmas hastypeD[dest] = hastype-def[unfolded atomize-eq, THEN iffD1]
lemmas eq-Some-iff-hastype = hastype-def[symmetric]

lemma has-same-type: assumes a : σ in A shows a : σ' in A  $\longleftrightarrow$  σ' = σ
  ⟨proof⟩

lemma sset-eqI: assumes ( $\bigwedge a \sigma. a : \sigma$  in A  $\longleftrightarrow$  a : σ in B) shows A = B
  ⟨proof⟩

lemma in-dom-iff-ex-type: a ∈ dom A  $\longleftrightarrow$  ( $\exists \sigma. a : \sigma$  in A) ⟨proof⟩

lemma in-dom-hastypeE: a ∈ dom A  $\implies$  ( $\bigwedge \sigma. a : \sigma$  in A  $\implies$  thesis)  $\implies$  thesis
  ⟨proof⟩

lemma hastype-imp-dom[simp]: a : σ in A  $\implies$  a ∈ dom A ⟨proof⟩

lemma untyped-imp-not-hastype: A a = None  $\implies$   $\neg$  a : σ in A ⟨proof⟩

lemma nex-hastype-iff: ( $\nexists \sigma. a : \sigma$  in A)  $\longleftrightarrow$  A a = None ⟨proof⟩

lemma all-dom-iff-all-hastype: ( $\forall x \in \text{dom } A. P x$ )  $\longleftrightarrow$  ( $\forall x \sigma. x : \sigma$  in A  $\longrightarrow$  P
x)
  ⟨proof⟩

abbreviation hastype-list (((-) :l/ (-) in/ (-)) [50,61,51]50)
  where as :l σs in A ≡ list-all2 (λa σ. a : σ in A) as σs

lemma has-same-type-list:
  as :l σs in A  $\implies$  as :l σs' in A  $\longleftrightarrow$  σs' = σs
  ⟨proof⟩

lemma hastype-list-iff-those: as :l σs in A  $\longleftrightarrow$  those (map A as) = Some σs
  ⟨proof⟩

lemmas hastype-list-imp-those[simp] = hastype-list-iff-those[THEN iffD1]

lemma hastype-list-imp-lists-dom: xs :l σs in A  $\implies$  xs ∈ lists (dom A)
  ⟨proof⟩

lemma subsset: A ⊆m A'  $\longleftrightarrow$  ( $\forall a \sigma. a : \sigma$  in A  $\longrightarrow$  a : σ in A')
  ⟨proof⟩

lemmas subssetI = subsset[THEN iffD2, rule-format]
lemmas subssetD = subsset[THEN iffD1, rule-format]

lemma subsset-hastype-listD: A ⊆m A'  $\implies$  as :l σs in A  $\implies$  as :l σs in A'
  ⟨proof⟩

```

**lemma** *has-same-type-in-subset*:  
 $a : \sigma \text{ in } A' \implies A \subseteq_m A' \implies a : \sigma' \text{ in } A \implies \sigma' = \sigma$   
*(proof)*

**lemma** *has-same-type-in-dom-subset*:  
 $a : \sigma \text{ in } A' \implies A \subseteq_m A' \implies a \in \text{dom } A \longleftrightarrow a : \sigma \text{ in } A$   
*(proof)*

**lemma** *hastype-restrict*:  $a : \sigma \text{ in } A \mid ' S \longleftrightarrow a \in S \wedge a : \sigma \text{ in } A$   
*(proof)*

**lemma** *hastype-the-simp[simp]*:  $a : \sigma \text{ in } A \implies \text{the } (A a) = \sigma$   
*(proof)*

**lemma** *hastype-in-Some[simp]*:  $x : \sigma \text{ in } \text{Some} \longleftrightarrow x = \sigma$  *(proof)*

**lemma** *hastype-in-upd[simp]*:  $x : \sigma \text{ in } A(y \mapsto \tau) \longleftrightarrow (\text{if } x = y \text{ then } \sigma = \tau \text{ else } x : \sigma \text{ in } A)$   
*(proof)*

**lemma** *all-set-hastype-iff-those*:  $\forall a \in \text{set as}. a : \sigma \text{ in } A \implies \text{those } (\text{map } A \text{ as}) = \text{Some } (\text{replicate } (\text{length as}) \sigma)$   
*(proof)*

The partial version of list nth:

**primrec** *safe-nth* **where**  
 $\text{safe-nth} [] = \text{None}$   
 $\mid \text{safe-nth} (a\#as) n = (\text{case } n \text{ of } 0 \Rightarrow \text{Some } a \mid \text{Suc } n \Rightarrow \text{safe-nth as } n)$

**lemma** *safe-nth-simp[simp]*:  $i < \text{length as} \implies \text{safe-nth as } i = \text{Some } (\text{as} ! i)$   
*(proof)*

**lemma** *safe-nth-None[simp]*:  
 $\text{length as} \leq i \implies \text{safe-nth as } i = \text{None}$   
*(proof)*

**lemma** *safe-nth*:  $\text{safe-nth as } i = (\text{if } i < \text{length as} \text{ then } \text{Some } (\text{as} ! i) \text{ else } \text{None})$   
*(proof)*

**lemma** *safe-nth-eq-SomeE*:  
 $\text{safe-nth as } i = \text{Some } a \implies (i < \text{length as} \implies \text{as} ! i = a \implies \text{thesis}) \implies \text{thesis}$   
*(proof)*

**lemma** *dom-safe-nth[simp]*:  $\text{dom } (\text{safe-nth as}) = \{0..<\text{length as}\}$   
*(proof)*

**lemma** *safe-nth-replicate[simp]*:  
 $\text{safe-nth } (\text{replicate } n a) i = (\text{if } i < n \text{ then } \text{Some } a \text{ else } \text{None})$

$\langle proof \rangle$

**lemma** *safe-nth-append*:

*safe-nth* (*ls@rs*) *i* = (if *i* < *length ls* then *Some (ls!i)* else *safe-nth rs (i - length ls)*)

$\langle proof \rangle$

**lemma** *hastype-in-safe-nth[simp]*: *i : σ in safe-nth σs*  $\longleftrightarrow$  *i < length σs*  $\wedge$  *σ = σs!i*

$\langle proof \rangle$

**lemmas** *hastype-in-safe-nthE* = *safe-nth-eq-SomeE[folded hastype-def]*

**lemma** *hastype-in-o[simp]*: *a : σ in A o f*  $\longleftrightarrow$  *f a : σ in A*  $\langle proof \rangle$

**definition** *o-sset (infix os 55) where*

*f os A*  $\equiv$  *map-option f o A*

**lemma** *hastype-in-o-sset*: *a : σ' in f os A*  $\longleftrightarrow$  ( $\exists \sigma. a : \sigma$  in *A*  $\wedge$   $\sigma' = f \sigma$ )  
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetI*: *a : σ in A*  $\implies$  *f σ = σ'*  $\implies$  *a : σ' in f os A*  
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetD*: *a : τ in f os A*  $\implies$   $\exists \sigma. a : \sigma$  in *A*  $\wedge$   $\tau = f \sigma$   
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetE*: *a : τ in f os A*  $\implies$  ( $\bigwedge \sigma. a : \sigma$  in *A*  $\implies$   $\tau = f \sigma$   $\implies$  *thesis*)  $\implies$  *thesis*  
 $\langle proof \rangle$

**lemma** *o-sset-restrict-sset-assoc[simp]*: *f os (A |‘ X)* = *(f os A) |‘ X*  
 $\langle proof \rangle$

**lemma** *id-o-sset[simp]*: *id os A* = *A*

**and** *identity-o-sset[simp]*: *(λx. x) os A* = *A*

$\langle proof \rangle$

**lemma** *o-ssetI*: *A x = Some y*  $\implies$  *z = f y*  $\implies$  *(f os A) x = Some z*  $\langle proof \rangle$

**lemma** *o-ssetE*: *(f os A) x = Some z*  $\implies$  ( $\bigwedge y. A x = Some y \implies z = f y$   $\implies$  *thesis*)  $\implies$  *thesis*  
 $\langle proof \rangle$

**lemma** *dom-o-sset[simp]*: *dom (f os A)* = *dom A*  
 $\langle proof \rangle$

**lemma** *safe-nth-map*: *safe-nth (map f as)* = *f os safe-nth as*  
 $\langle proof \rangle$

```

notation Map.empty ( $\emptyset$ )
lemma safe-nth-Nil[simp]: safe-nth [] =  $\emptyset$   $\langle proof \rangle$ 

lemma o-set-empty[simp]: f os  $\emptyset$  =  $\emptyset$   $\langle proof \rangle$ 

lemma hastype-in-empty[simp]:  $\neg x : \sigma$  in  $\emptyset$   $\langle proof \rangle$ 

3.1 Maps between Sorted Sets

locale sort-preserving = fixes f :: ' $a \Rightarrow b$ ' and A :: ' $a \rightarrow s$ '  

assumes same-value-imp-same-type:  $a : \sigma$  in A  $\implies$   $b : \tau$  in A  $\implies$  f a = f b  $\implies$   

 $\sigma = \tau$   

begin

lemma same-value-imp-in-dom-iff:  

assumes fafa': f a = f a' and a:  $a : \sigma$  in A shows a':  $a' \in \text{dom } A \longleftrightarrow a' : \sigma$   

in A  

 $\langle proof \rangle$ 

end

lemma sort-preserving-cong:  

A = A'  $\implies$  ( $\bigwedge a \sigma. a : \sigma$  in A  $\implies$  f a = f' a)  $\implies$  sort-preserving f A  $\longleftrightarrow$   

sort-preserving f' A'  

 $\langle proof \rangle$ 

lemma inj-on-dom-imp-sort-preserving:  

assumes inj-on f (dom A) shows sort-preserving f A  

 $\langle proof \rangle$ 

lemma inj-imp-sort-preserving:  

assumes inj f shows sort-preserving f A  

 $\langle proof \rangle$ 

locale sorted-map =  

fixes f :: ' $a \Rightarrow b$ ' and A :: ' $a \rightarrow s$ ' and B :: ' $b \rightarrow s$ '  

assumes sorted-map:  $\bigwedge a \sigma. a : \sigma$  in A  $\implies$  f a :  $\sigma$  in B  

begin

lemma target-has-same-type:  $a : \sigma$  in A  $\implies$  f a :  $\tau$  in B  $\longleftrightarrow \sigma = \tau$   

 $\langle proof \rangle$ 

lemma target-dom-iff-hastype:  

 $a : \sigma$  in A  $\implies$  f a  $\in \text{dom } B \longleftrightarrow f a : \sigma$  in B  

 $\langle proof \rangle$ 

lemma source-dom-iff-hastype:  

f a :  $\sigma$  in B  $\implies$  a  $\in \text{dom } A \longleftrightarrow a : \sigma$  in A

```

```

⟨proof⟩

lemma elim:
  assumes a: ( $\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B$ )  $\implies P$ 
  shows P
  ⟨proof⟩

sublocale sort-preserving
  ⟨proof⟩

lemma funcset-dom: f : dom A  $\rightarrow$  dom B
  ⟨proof⟩

lemma sorted-map-list: as :l σs in A  $\implies$  map f as :l σs in B
  ⟨proof⟩

lemma in-dom: a  $\in$  dom A  $\implies$  f a  $\in$  dom B ⟨proof⟩

end

notation sorted-map (- :s(/ -  $\rightarrow$ / -) [50,51,51]50)

abbreviation all-sorted-map A B P  $\equiv$   $\forall f. f :_s A \rightarrow B \longrightarrow P f$ 
abbreviation ex-sorted-map A B P  $\equiv$   $\exists f. f :_s A \rightarrow B \wedge P f$ 

syntax
  all-sorted-map :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\forall - :_s (/ - \rightarrow / -). / - [50,51,51,10]10$ )
  ex-sorted-map :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\exists - :_s (/ - \rightarrow / -). / - [50,51,51,10]10$ )

translations
   $\forall f :_s A \rightarrow B. e \Leftarrow \text{CONST all-sorted-map } A B (\lambda f. e)$ 
   $\exists f :_s A \rightarrow B. e \Leftarrow \text{CONST ex-sorted-map } A B (\lambda f. e)$ 

lemmas sorted-mapI = sorted-map.intro

lemma sorted-mapD: f :s A  $\rightarrow$  B  $\implies$  a : σ in A  $\implies$  f a : σ in B
  ⟨proof⟩

lemmas sorted-mapE = sorted-map.elim

lemma assumes f :s A  $\rightarrow$  B
  shows sorted-map-o: g :s B  $\rightarrow$  C  $\implies$  g o f :s A  $\rightarrow$  C
  and sorted-map-cmono: A'  $\subseteq_m$  A  $\implies$  f :s A'  $\rightarrow$  B
  and sorted-map-mono: B  $\subseteq_m$  B'  $\implies$  f :s A  $\rightarrow$  B'
  ⟨proof⟩

lemma sorted-map-cong:
   $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies$ 
   $A = A' \implies$ 

```

```
( $\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B \longleftrightarrow f a : \sigma \text{ in } B')$   $\implies$ 
 $f :_s A \rightarrow B \longleftrightarrow f' :_s A' \rightarrow B'$ 
 $\langle proof \rangle$ 
```

**lemma** sorted-choice:

```
assumes  $\forall a \sigma. a : \sigma \text{ in } A \longrightarrow (\exists b : \sigma \text{ in } B. P a b)$ 
shows  $\exists f :_s A \rightarrow B. (\forall a \in \text{dom } A. P a (f a))$ 
 $\langle proof \rangle$ 
```

**lemma** sorted-map-empty[simp]:  $f :_s \emptyset \rightarrow A$

$\langle proof \rangle$

**lemma** sorted-map-comp-nth:

```
 $\alpha :_s (f \circ s \text{ safe-nth } (a \# as)) \rightarrow A \longleftrightarrow \alpha 0 : f a \text{ in } A \wedge (\alpha \circ \text{Suc} :_s (f \circ s \text{ safe-nth } as) \rightarrow A)$ 
(is  $?l \longleftrightarrow ?r$ )
 $\langle proof \rangle$ 
```

**locale** inhabited = fixes A

**assumes** inhabited:  $\bigwedge \sigma. \exists a. a : \sigma \text{ in } A$

**begin**

**lemma** ex-sorted-map:  $\exists \alpha. \alpha :_s V \rightarrow A$

$\langle proof \rangle$

**end**

## 3.2 Sorted Images

The partial version of *The* operator.

**definition** safe-The  $P \equiv \text{if } \exists !x. P x \text{ then Some } (\text{The } P) \text{ else None}$

**lemma** safe-The-eq-Some:  $\text{safe-The } P = \text{Some } x \longleftrightarrow P x \wedge (\forall x'. P x' \longrightarrow x' = x)$

$\langle proof \rangle$

**lemma** safe-The-eq-None:  $\text{safe-The } P = \text{None} \longleftrightarrow \neg(\exists !x. P x)$

$\langle proof \rangle$

**lemma** safe-The-False[simp]:  $\text{safe-The } (\lambda x. \text{False}) = \text{None}$

$\langle proof \rangle$

**definition** sorted-image ::  $('a \Rightarrow 'b) \Rightarrow ('a \multimap 's) \Rightarrow 'b \multimap 's$  (**infixr** ‘s 90) **where**  
 $(f `s A) b \equiv \text{safe-The } (\lambda \sigma. \exists a : \sigma \text{ in } A. f a = b)$

**lemma** hastype-in-imageE:

**assumes**  $fx : \sigma \text{ in } f `s X$

**and**  $\bigwedge x. x : \sigma \text{ in } X \implies fx = f x \implies \text{thesis}$

**shows**  $\text{thesis}$

```

⟨proof⟩

lemma in-dom-imageE:
   $b \in \text{dom } (f `` A) \implies (\bigwedge a \sigma. a : \sigma \text{ in } A \implies b = f a \implies \text{thesis}) \implies \text{thesis}$ 
  ⟨proof⟩

context sort-preserving begin

lemma hastype-in-imageI:  $a : \sigma \text{ in } A \implies b = f a \implies b : \sigma \text{ in } f `` A$ 
  ⟨proof⟩

lemma hastype-in-imageI2:  $a : \sigma \text{ in } A \implies f a : \sigma \text{ in } f `` A$ 
  ⟨proof⟩

lemma hastype-in-image:  $b : \sigma \text{ in } f `` A \longleftrightarrow (\exists a : \sigma \text{ in } A. f a = b)$ 
  ⟨proof⟩

lemma in-dom-imageI:  $a \in \text{dom } A \implies b = f a \implies b \in \text{dom } (f `` A)$ 
  ⟨proof⟩

lemma in-dom-imageI2:  $a \in \text{dom } A \implies f a \in \text{dom } (f `` A)$ 
  ⟨proof⟩

lemma hastype-list-in-image:  $bs :_l \sigma s \text{ in } f `` A \longleftrightarrow (\exists as. as :_l \sigma s \text{ in } A \wedge \text{map } f as = bs)$ 
  ⟨proof⟩

lemma dom-image[simp]:  $\text{dom } (f `` A) = f ` \text{dom } A$ 
  ⟨proof⟩

sublocale to-image: sorted-map  $f A f `` A$ 
  ⟨proof⟩

lemma sorted-map-iff-image-subset:
   $f :_s A \rightarrow B \longleftrightarrow f `` A \subseteq_m B$ 
  ⟨proof⟩

end

lemma sort-preserving-o:
  assumes  $f$ : sort-preserving  $f A$  and  $g$ : sort-preserving  $g (f `` A)$ 
  shows sort-preserving  $(g \circ f) A$ 
  ⟨proof⟩

lemma sorted-image-image:
  assumes  $f$ : sort-preserving  $f A$  and  $g$ : sort-preserving  $g (f `` A)$ 
  shows  $g `` f `` A = (g \circ f) `` A$ 
  ⟨proof⟩

```

```

context sorted-map begin

lemma image-subset[intro!]:  $f `` A \subseteq_m B$ 
  <proof>

lemma dom-image-subset[intro!]:  $f ` \text{dom } A \subseteq \text{dom } B$ 
  <proof>

end

lemma sorted-image-cong:  $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies f `` A = f' `` A$ 
  <proof>

lemma inj-on-dom-imp-sort-preserving-inv-into:
  assumes inj: inj-on  $f (\text{dom } A)$  shows sort-preserving (inv-into (dom A) f) ( $f `` A$ )
  <proof>

lemma inj-imp-sort-preserving-inv:
  assumes inj: inj  $f$  shows sort-preserving (inv f) ( $f `` A$ )
  <proof>

lemma inj-on-dom-imp-inv-into-image-cancel:
  assumes inj: inj-on  $f (\text{dom } A)$ 
  shows inv-into (dom A)  $f `` f `` A = A$ 
  <proof>

lemma inj-imp-inv-image-cancel:
  assumes inj: inj  $f$ 
  shows inv  $f `` f `` A = A$ 
  <proof>

definition sorted-Imagep (infixr `` 90)
  where  $((\sqsubseteq) `` A) b \equiv \text{safe-The } (\lambda \sigma. \exists a : \sigma \text{ in } A. a \sqsubseteq b)$  for r (infix  $\sqsubseteq$  50)

lemma untyped-hastypeE:  $A a = \text{None} \implies a : \sigma \text{ in } A \implies \text{thesis}$ 
  <proof>

end

```

## 4 Sorted Terms

```

theory Sorted-Terms
  imports Sorted-Sets First-Order-Terms.Term
begin

```

### 4.1 Overloaded Notations

```

consts vars :: 'a  $\Rightarrow$  'b set

```

```

adhoc-overloading vars vars-term

consts map-vars :: ('a ⇒ 'b) ⇒ 'c ⇒ 'd

adhoc-overloading map-vars map-term (λx. x)

lemma map-term-eq-Var: map-term F V s = Var y ↔ (∃x. s = Var x ∧ y = V
x)
⟨proof⟩

lemma map-vars-id-iff: map-vars f s = s ↔ (∀x ∈ vars-term s. f x = x)
⟨proof⟩

lemma map-var-term-id[simp]: map-term (λx. x) id = id ⟨proof⟩

lemma map-term-eq-Fun:
map-term F V s = Fun g ts ↔ (∃f ss. s = Fun f ss ∧ g = F f ∧ ts = map
(map-term F V) ss)
⟨proof⟩

declare domIff[iff del]

```

## 4.2 Sorted Signatures and Sorted Sets of Terms

We view a sorted signature as a partial map that assigns an output sort to the pair of a function symbol and a list of input sorts.

**type-synonym** ('f,'s) ssig = 'f × 's list → 's

**definition** hastype-in-ssig :: 'f ⇒ 's list ⇒ 's ⇒ ('f,'s) ssig ⇒ bool  
(- : - → - in - [50,61,61,50]50)  
**where** f : σs → τ in F ≡ F (f,σs) = Some τ

**lemmas** hastype-in-ssigI = hastype-in-ssig-def[unfolded atomize-eq, THEN iffD2]  
**lemmas** hastype-in-ssigD = hastype-in-ssig-def[unfolded atomize-eq, THEN iffD1]

**lemma** hastype-in-ssig-imp-dom:  
**assumes** f : σs → τ in F **shows** (f,σs) ∈ dom F  
⟨proof⟩

**lemma** has-same-type-ssig:  
**assumes** f : σs → τ in F **and** f : σs → τ' in F **shows** τ = τ'  
⟨proof⟩

**lemma** hastype-restrict-ssig: f : σs → τ in F | ` S ↔ (f,σs) ∈ S ∧ f : σs → τ
in F  
⟨proof⟩

**lemma** subssigI: **assumes** ⋀f σs τ. f : σs → τ in F ⇒ f : σs → τ in F'

**shows**  $F \subseteq_m F'$   
 $\langle proof \rangle$

**lemma**  $subssigD$ : **assumes**  $FF: F \subseteq_m F'$  **and**  $f : \sigma s \rightarrow \tau$  **in**  $F$  **shows**  $f : \sigma s \rightarrow \tau$  **in**  $F'$   
 $\langle proof \rangle$

The sorted set of terms:

**primrec**  $Term (\mathcal{T}'(-,-))$  **where**  
 $\mathcal{T}(F, V) (Var v) = V v$   
 $| \mathcal{T}(F, V) (Fun f ss) =$   
 $(case those (map \mathcal{T}(F, V) ss) of None \Rightarrow None | Some \sigma s \Rightarrow F (f, \sigma s))$

**lemma**  $Var\text{-}hastype[simp]$ :  $Var v : \sigma$  **in**  $\mathcal{T}(F, V) \longleftrightarrow v : \sigma$  **in**  $V$   
 $\langle proof \rangle$

**lemma**  $Fun\text{-}hastype$ :  
 $Fun f ss : \tau$  **in**  $\mathcal{T}(F, V) \longleftrightarrow (\exists \sigma s. f : \sigma s \rightarrow \tau$  **in**  $F \wedge ss :_l \sigma s$  **in**  $\mathcal{T}(F, V))$   
 $\langle proof \rangle$

**lemma**  $Fun\text{-}in\text{-}dom\text{-}imp\text{-}arg\text{-}in\text{-}dom$ :  $Fun f ss \in dom \mathcal{T}(F, V) \implies s \in set ss \implies$   
 $s \in dom \mathcal{T}(F, V)$   
 $\langle proof \rangle$

**lemma**  $Fun\text{-}hastypeI$ :  $f : \sigma s \rightarrow \tau$  **in**  $F \implies ss :_l \sigma s$  **in**  $\mathcal{T}(F, V) \implies Fun f ss : \tau$  **in**  $\mathcal{T}(F, V)$   
 $\langle proof \rangle$

**lemma**  $hastype\text{-}in\text{-}Term\text{-}induct$ [*case-names*  $Var$   $Fun$ , *induct pred*]:  
**assumes**  $s: s : \sigma$  **in**  $\mathcal{T}(F, V)$   
**and**  $V: \bigwedge v \sigma. v : \sigma$  **in**  $V \implies P (Var v) \sigma$   
**and**  $F: \bigwedge f ss \sigma s \tau.$   
 $f : \sigma s \rightarrow \tau$  **in**  $F \implies ss :_l \sigma s$  **in**  $\mathcal{T}(F, V) \implies list-all2 P ss \sigma s \implies P (Fun f ss) \tau$   
**shows**  $P s \sigma$   
 $\langle proof \rangle$

**lemma**  $in\text{-}dom\text{-}Term\text{-}induct$ [*case-names*  $Var$   $Fun$ , *induct pred*]:  
**assumes**  $s: s \in dom \mathcal{T}(F, V)$   
**assumes**  $V: \bigwedge v \sigma. v : \sigma$  **in**  $V \implies P (Var v)$   
**assumes**  $F: \bigwedge f ss \sigma s \tau.$   
 $f : \sigma s \rightarrow \tau$  **in**  $F \implies ss :_l \sigma s$  **in**  $\mathcal{T}(F, V) \implies \forall s \in set ss. P s \implies P (Fun f ss)$   
**shows**  $P s$   
 $\langle proof \rangle$

**lemma**  $Term\text{-}mono\text{-}left$ : **assumes**  $FF: F \subseteq_m F'$  **shows**  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F', V)$   
 $\langle proof \rangle$

**lemmas**  $hastype\text{-}in\text{-}Term\text{-}mono\text{-}left = Term\text{-}mono\text{-}left[THEN subsubsetD]$

```

lemmas dom-Term-mono-left = Term-mono-left[THEN map-le-implies-dom-le]

lemma Term-mono-right: assumes VV:  $V \subseteq_m V'$  shows  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F, V')$ 
<proof>

lemmas hastype-in-Term-mono-right = Term-mono-right[THEN subsetD]

lemmas dom-Term-mono-right = Term-mono-right[THEN map-le-implies-dom-le]

lemmas Term-mono = map-le-trans[OF Term-mono-left Term-mono-right]

lemmas hastype-in-Term-mono = Term-mono[THEN subsetD]

lemmas dom-Term-mono = Term-mono[THEN map-le-implies-dom-le]

lemma hastype-in-Term-restrict-vars: s : σ in T(F, V) | vars s  $\longleftrightarrow$  s : σ in T(F, V)
(is ?l s  $\longleftrightarrow$  ?r s)
<proof>

lemma hastype-in-Term-imp-vars: s : σ in T(F, V)  $\implies$  v ∈ vars s  $\implies$  v ∈ dom V
<proof>

lemma in-dom-Term-imp-vars: s ∈ dom T(F, V)  $\implies$  v ∈ vars s  $\implies$  v ∈ dom V
<proof>

lemma hastype-in-Term-imp-vars-subset: t : s in T(F, V)  $\implies$  vars t ⊆ dom V
<proof>

interpretation Var: sorted-map Var V T(F, V) for F V <proof>

```

### 4.3 Sorted Algebras

```

locale sorted-algebra-syntax =
  fixes F :: ('f, 's) ssig and A :: 'a → 's and I :: 'f ⇒ 'a list ⇒ 'a

locale sorted-algebra = sorted-algebra-syntax +
  assumes sort-matches: f : σs → τ in F  $\implies$  as :_l σs in A  $\implies$  I f as : τ in A
begin

context
  fixes α V
  assumes α: α :_s V → A
begin

lemma eval-hastype:
  assumes s: s : σ in T(F, V) shows I[s]α : σ in A

```

$\langle proof \rangle$

```

interpretation eval: sorted-map  $\lambda s. I[s] \alpha \mathcal{T}(F, V) A$ 
   $\langle proof \rangle$ 

lemmas eval-sorted-map = eval.sorted-map-axioms
lemmas eval-dom = eval.in-dom
lemmas map-eval-hastype = eval.sorted-map-list
lemmas eval-has-same-type = eval.target-has-same-type
lemmas eval-dom-iff-hastype = eval.target-dom-iff-hastype
lemmas dom-iff-hastype = eval.source-dom-iff-hastype

end

lemmas eval-hastype-vars =
  eval-hastype[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

lemmas eval-has-same-type-vars =
  eval-has-same-type[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

end

lemma sorted-algebra-cong:
  assumes F = F' and A = A'
    and  $\bigwedge f \sigma s \tau \text{ as. } f : \sigma s \rightarrow \tau \text{ in } F' \implies as :_l \sigma s \text{ in } A' \implies If as = I' f as$ 
  shows sorted-algebra F A I = sorted-algebra F' A' I'
   $\langle proof \rangle$ 

```

#### 4.3.1 Term Algebras

The sorted set of terms constitutes a sorted algebra, in which evaluation is substitution.

```

interpretation term: sorted-algebra F  $\mathcal{T}(F, V)$  Fun for F V
   $\langle proof \rangle$ 

```

Sorted substitution preserves type:

```

lemma subst-hastype:  $\vartheta :_s X \rightarrow \mathcal{T}(F, V) \implies s : \sigma \text{ in } \mathcal{T}(F, X) \implies s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

lemmas subst-hastype-imp-dom-iff = term.dom-iff-hastype
lemmas subst-hastype-vars = term.eval-hastype-vars
lemmas subst-has-same-type = term.eval-has-same-type
lemmas subst-same-vars = eval-same-vars[of --- Fun]
lemmas subst-map-vars = eval-map-vars[of Fun]
lemmas subst-o = eval-o[of Fun]
lemmas subst-sorted-map = term.eval-sorted-map
lemmas map-subst-hastype = term.map-eval-hastype

```

```

lemma subst-compose-sorted-map:
  assumes  $\vartheta :_s X \rightarrow \mathcal{T}(F, Y)$  and  $\varrho :_s Y \rightarrow \mathcal{T}(F, Z)$ 
  shows  $\vartheta \circ_s \varrho :_s X \rightarrow \mathcal{T}(F, Z)$ 
   $\langle proof \rangle$ 

lemma subst-hastype-iff-vars:
  assumes  $\forall x \in vars\ s. \forall \sigma. \vartheta x : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow x : \sigma \text{ in } V$ 
  shows  $s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow s : \sigma \text{ in } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

lemma subst-in-dom-imp-var-in-dom:
  assumes  $s \cdot \vartheta \in \text{dom } \mathcal{T}(F, V)$  and  $x \in vars\ s$  shows  $\vartheta x \in \text{dom } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

lemma subst-sorted-map-restrict-vars:
  assumes  $\vartheta :_s X \rightarrow \mathcal{T}(F, V)$  and  $WV : W \subseteq_m V$  and  $s\vartheta : s \cdot \vartheta \in \text{dom } \mathcal{T}(F, W)$ 
  shows  $\vartheta :_s X \mid^* vars\ s \rightarrow \mathcal{T}(F, W)$ 
   $\langle proof \rangle$ 

```

#### 4.3.2 Homomorphisms

```

locale sorted-distributive =
  sort-preserving  $\varphi A + source: sorted-algebra F A I$  for  $F \varphi A I J +$ 
  assumes distrib:  $f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies \varphi (I f as) = J f (\text{map } \varphi as)$ 
begin

```

```

lemma distrib-eval:
  assumes  $\alpha: \alpha :_s V \rightarrow A$  and  $s: s : \sigma \text{ in } \mathcal{T}(F, V)$ 
  shows  $\varphi (I[s]\alpha) = J[s](\varphi \circ \alpha)$ 
   $\langle proof \rangle$ 

```

The image of a distributive map forms a sorted algebra.

```

sublocale image: sorted-algebra  $F \varphi `` A J$ 
   $\langle proof \rangle$ 

```

**end**

```

lemma sorted-distributive-cong:
  fixes  $A A' :: 'a \rightharpoonup 's$  and  $\varphi :: 'a \Rightarrow 'b$  and  $I :: 'f \Rightarrow 'a \text{ list} \Rightarrow 'a$ 
  assumes  $\varphi: \bigwedge a \sigma. a : \sigma \text{ in } A \implies \varphi a = \varphi' a$ 
  and  $A: A = A'$ 
  and  $I: \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies I f as = I' f as$ 
  and  $J: \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies J f (\text{map } \varphi as) = J' f (\text{map } \varphi as)$ 
  shows sorted-distributive  $F \varphi A I J = \text{sorted-distributive } F \varphi' A' I' J'$ 
   $\langle proof \rangle$ 

```

```

lemma sorted-distributive-o:
  assumes sorted-distributive F φ A I J and sorted-distributive F ψ (φ `` A) J K
  shows sorted-distributive F (ψ ∘ φ) A I K
  ⟨proof⟩

locale sorted-homomorphism = sorted-distributive F φ A I J + sorted-map φ A
B +
  target: sorted-algebra F B J for F φ A I B J
begin
end

lemma sorted-homomorphism-o:
  assumes sorted-homomorphism F φ A I B J and sorted-homomorphism F ψ B
J C K
  shows sorted-homomorphism F (ψ ∘ φ) A I C K
  ⟨proof⟩

context sorted-algebra begin

context fixes α V assumes sorted: α :s V → A
begin

The term algebra is free in all  $F$ -algebras; that is, every assignment  $\alpha :_s V \rightarrow A$  is extended to a homomorphism  $\lambda s. I[s]\alpha$ .

interpretation sorted-map α V A ⟨proof⟩

interpretation eval: sorted-map ⟨λs. I[s]α⟩ ⟨T(F, V)⟩ A ⟨proof⟩

interpretation eval: sorted-homomorphism F ⟨λs. I[s]α⟩ ⟨T(F, V)⟩ Fun A I
⟨proof⟩

lemmas eval-sorted-homomorphism = eval.sorted-homomorphism-axioms

end

end

lemma sorted-homomorphism-cong:
  fixes A A' :: 'a → 's and φ :: 'a ⇒ 'b and I :: 'f ⇒ 'a list ⇒ 'a
  assumes φ: ∀a σ. a : σ in A ⇒ φ a = φ' a
  and A: A = A'
  and I: ∀f σs τ as. f : σs → τ in F ⇒ as :l σs in A ⇒ If as = I' f as
  and B: B = B'
  and J: ∀f σs τ bs. f : σs → τ in F ⇒ bs :l σs in B ⇒ J f bs = J' f bs
  shows sorted-homomorphism F φ A I B J = sorted-homomorphism F φ' A' I'
B' J' (is ?l ←→ ?r)
  ⟨proof⟩

context sort-preserving begin

```

```

lemma sort-preserving-map-vars: sort-preserving (map-vars f)  $\mathcal{T}(F,A)$ 
⟨proof⟩

lemma map-vars-image-Term: map-vars f “  $\mathcal{T}(F,A) = \mathcal{T}(F,f `` A)$  (is ?L = ?R)
⟨proof⟩

end

context sorted-map begin

lemma sorted-map-map-vars: map-vars f :s  $\mathcal{T}(F,A) \rightarrow \mathcal{T}(F,B)$ 
⟨proof⟩

end

```

#### 4.4 Lifting Sorts

By ‘uni-sorted’ we mean the situation where there is only one sort (). This situation is isomorphic to sets.

**definition** unisorted A a ≡ if a ∈ A then Some () else None

```

lemma unisorted-eq-Some[simp]: unisorted A a = Some σ ↔ a ∈ A
and unisorted-eq-None[simp]: unisorted A a = None ↔ a ∉ A
and hastype-in-unisorted[simp]: a : σ in unisorted A ↔ a ∈ A
⟨proof⟩

```

```

lemma hastype-list-in-unisorted[simp]: as :l σs in unisorted A ↔ length as =
length σs ∧ set as ⊆ A
⟨proof⟩

```

```

lemma dom-unisorted[simp]: dom (unisorted A) = A
⟨proof⟩

```

```

lemma unisorted-map[simp]:
f :s unisorted A → τ ↔ f : A → dom τ
f :s σ → unisorted B ↔ f : dom σ → B
⟨proof⟩

```

```

lemma image-unisorted[simp]: f “ unisorted A = unisorted (f ‘ A)
⟨proof⟩

```

```

definition unisorted-sig :: ('f × nat) set ⇒ ('f, unit) ssig
where unisorted-sig F ≡ λ(f, σs). if (f, length σs) ∈ F then Some () else None

```

```

lemma in-unisorted-sig[simp]: f : σs → τ in unisorted-sig F ↔ (f, length σs) ∈
F
⟨proof⟩

```

```

inductive-set uTerm ( $\mathfrak{T}'(-,-)$  [1,1]1000) for F V where
  Var v  $\in \mathfrak{T}(F, V)$  if  $v \in V$ 
  |  $\forall s \in \text{set } ss. s \in \mathfrak{T}(F, V) \implies \text{Fun } f ss \in \mathfrak{T}(F, V)$  if  $(f, \text{length } ss) \in F$ 

lemma Var-in-Term[simp]: Var x  $\in \mathfrak{T}(F, V) \longleftrightarrow x \in V$ 
  ⟨proof⟩

lemma Fun-in-Term[simp]: Fun f ss  $\in \mathfrak{T}(F, V) \longleftrightarrow (f, \text{length } ss) \in F \wedge \text{set } ss \subseteq \mathfrak{T}(F, V)$ 
  ⟨proof⟩

lemma hastype-in-unisorted-Term[simp]:
  s : σ in  $\mathcal{T}(\text{unisorted-sig } F, \text{ unisorted } V) \longleftrightarrow s \in \mathfrak{T}(F, V)$ 
  ⟨proof⟩

lemma unisorted-Term:  $\mathcal{T}(\text{unisorted-sig } F, \text{ unisorted } V) = \text{unisorted } \mathfrak{T}(F, V)$ 
  ⟨proof⟩

locale algebra =
  fixes F :: ('f × nat) set and A :: 'a set and I
  assumes closed:  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies I f as \in A$ 
  begin
  end

lemma unisorted-algebra: sorted-algebra (unisorted-sig F) (unisorted A) I  $\longleftrightarrow$ 
  algebra F A I
  (is ?l  $\longleftrightarrow$  ?r)
  ⟨proof⟩

context algebra begin

interpretation unisorted: sorted-algebra ⟨unisorted-sig F⟩ ⟨unisorted A⟩ I
  ⟨proof⟩

lemma eval-closed: α : V → A  $\implies s \in \mathfrak{T}(F, V) \implies I[s]\alpha \in A$ 
  ⟨proof⟩

end

locale distributive =
  source: algebra F A I for F φ A I J +
  assumes distrib:  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies \varphi(I f as) = J f (\text{map } \varphi as)$ 

lemma unisorted-distributive:
  sorted-distributive (unisorted-sig F) φ (unisorted A) I J  $\longleftrightarrow$ 
  distributive F φ A I J (is ?l  $\longleftrightarrow$  ?r)
  ⟨proof⟩

```

```

locale homomorphism =
  distributive F φ A I J + target: algebra F B J for F φ A I B J +
  assumes funcset: φ : A → B

lemma unisorted-homomorphism:
  sorted-homomorphism (unisorted-sig F) φ (unisorted A) I (unisorted B) J ←→
    homomorphism F φ A I B J (is ?l ←→ ?r)
  ⟨proof⟩

lemma homomorphism-cong:
  assumes φ: ⋀a. a ∈ A ⇒ φ a = φ' a
  and A: A = A'
  and I: ⋀f as. (f, length as) ∈ F ⇒ I f as = I' f as
  and B: B = B'
  and J: ⋀f bs. (f, length bs) ∈ F ⇒ J f bs = J' f bs
  shows homomorphism F φ A I B J = homomorphism F φ' A' I' B' J'
  ⟨proof⟩

context algebra begin

interpretation unisorted: sorted-algebra <unisorted-sig F> <unisorted A> I
  ⟨proof⟩

lemma eval-homomorphism: α : V → A ⇒ homomorphism F (λs. I[s]α) T(F, V)
  Fun A I
  ⟨proof⟩

end

context homomorphism begin

interpretation unisorted: sorted-homomorphism <unisorted-sig F> φ <unisorted
A> I <unisorted B> J
  ⟨proof⟩

lemma distrib-eval: α : V → A ⇒ s ∈ T(F, V) ⇒ φ (I[s]α) = J[s](φ ∘ α)
  ⟨proof⟩

end

By ‘unsorted’ we mean the situation where any element has the unique type ().

lemma Term-UNIV[simp]: T(UNIV, UNIV) = UNIV
  ⟨proof⟩

When the carrier is unsorted, any interpretation forms an algebra.

interpretation unsorted: algebra UNIV UNIV I
  rewrites ⋀a. a ∈ UNIV ←→ True
  and ⋀P0. (True ⇒ P0) ≡ Trueprop P0

```

```

and  $\bigwedge P_0. (\text{True} \implies \text{PROP } P_0) \equiv \text{PROP } P_0$ 
and  $\bigwedge P_0 P_1. (\text{True} \implies \text{PROP } P_1 \implies P_0) \equiv (\text{PROP } P_1 \implies P_0)$ 
for  $F I$ 
⟨proof⟩

```

```

interpretation unsorted.eval: homomorphism UNIV  $\lambda s. I[s]\alpha$  UNIV Fun UNIV
I
rewrites  $\bigwedge a. a \in \text{UNIV} \longleftrightarrow \text{True}$ 
and  $\bigwedge X. X \subseteq \text{UNIV} \longleftrightarrow \text{True}$ 
and  $\bigwedge P_0. (\text{True} \implies P_0) \equiv \text{Trueprop } P_0$ 
and  $\bigwedge P_0. (\text{True} \implies \text{PROP } P_0) \equiv \text{PROP } P_0$ 
and  $\bigwedge P_0 P_1. (\text{True} \implies \text{PROP } P_1 \implies P_0) \equiv (\text{PROP } P_1 \implies P_0)$ 
for  $I$ 
⟨proof⟩

```

Evaluation distributes over evaluations in the term algebra, i.e., substitutions.

```

lemma subst-eval:  $I[s \cdot \vartheta]\alpha = I[s](\lambda x. I[\vartheta x]\alpha)$ 
⟨proof⟩

```

```

lemmas subst-subst = subst-eval[of Fun]

```

#### 4.4.1 Collecting Variables via Evaluation

```

definition var-list-term  $t \equiv (\lambda f. \text{concat})[t](\lambda v. [v])$ 

```

```

lemma var-list-Fun[simp]: var-list-term ( $\text{Fun } f ss$ ) =  $\text{concat}(\text{map } \text{var-list-term} ss)$ 
and var-list-Var[simp]: var-list-term ( $\text{Var } x$ ) =  $[x]$ 
⟨proof⟩

```

```

lemma set-var-list[simp]: set (var-list-term  $s$ ) = vars  $s$ 
⟨proof⟩

```

```

lemma eval-subset-Un-vars:
assumes  $\forall f as. \text{foo}(I f as) \subseteq \bigcup(\text{foo} ` \text{set } as)$ 
shows  $\text{foo}(I[s]\alpha) \subseteq (\bigcup_{x \in \text{vars-term } s} \text{foo}(\alpha x))$ 
⟨proof⟩

```

#### 4.4.2 Ground terms

```

lemma hastype-in-Term-empty-imp-vars:  $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies \text{vars } s = \{\}$ 
⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-vars-subst:  $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies \text{vars } (s \cdot \vartheta) = \{\}$ 
⟨proof⟩

```

```

lemma ground-Term-iff:  $s : \sigma$  in  $\mathcal{T}(F, V) \wedge \text{ground } s \longleftrightarrow s : \sigma$  in  $\mathcal{T}(F, \emptyset)$ 
⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-subst:
   $s : \sigma \text{ in } \mathcal{T}(F, \emptyset) \implies s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

locale subsignature = fixes  $F\ G :: ('f, 's)\ ssig$  assumes  $\text{subssig}: F \subseteq_m G$ 
begin

  lemmas Term-subsubset = Term-mono-left[ $OF\ subssig$ ]
  lemmas hastype-in-Term-sub = Term-subsubset[ $THEN\ subsubsetD$ ]

  lemma subsignature:  $f : \sigma s \rightarrow \tau \text{ in } F \implies f : \sigma s \rightarrow \tau \text{ in } G$ 
   $\langle proof \rangle$ 

end

locale subsignature-algebra = subsignature + super: sorted-algebra  $G$ 
begin

  sublocale sorted-algebra  $F\ A\ I$ 
   $\langle proof \rangle$ 

end

locale subalgebra = sorted-algebra  $F\ A\ I + super: sorted-algebra G\ B\ J +$ 
  subsignature  $F\ G$ 
  for  $F :: ('f, 's)\ ssig$  and  $A :: 'a \rightarrow 's$  and  $I$ 
  and  $G :: ('f, 's)\ ssig$  and  $B :: 'a \rightarrow 's$  and  $J +$ 
  assumes  $\text{subcar}: A \subseteq_m B$ 
  assumes  $\text{subintp}: f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies If\ as = Jf\ as$ 
begin

  lemma subcarrier:  $a : \sigma \text{ in } A \implies a : \sigma \text{ in } B$ 
   $\langle proof \rangle$ 

  lemma subeval:
    assumes  $s: s : \sigma \text{ in } \mathcal{T}(F, V)$  and  $\alpha: \alpha :_s V \rightarrow A$  shows  $J[s]\alpha = I[s]\alpha$ 
   $\langle proof \rangle$ 

end

lemma term-subalgebra:
  assumes  $FG: F \subseteq_m G$  and  $VW: V \subseteq_m W$ 
  shows subalgebra  $F\ \mathcal{T}(F, V)\ Fun\ G\ \mathcal{T}(G, W)\ Fun$ 
   $\langle proof \rangle$ 

```

An algebra where every element has a representation:

```

locale sorted-algebra-constant = sorted-algebra-syntax +
  fixes const

```

```

assumes vars-const[simp]:  $\bigwedge d. \text{vars}(\text{const } d) = \{\}$ 
assumes eval-const[simp]:  $\bigwedge d \alpha. I[\![\text{const } d]\!] \alpha = d$ 
begin

lemma eval-subst-const[simp]:  $I[\![e \cdot (\text{const} \circ \alpha)]\!] \beta = I[\![e]\!] \alpha$ 
   $\langle \text{proof} \rangle$ 

lemma eval-upd-as-subst:  $I[\![e]\!] \alpha(x:=a) = I[\![e \cdot \text{Var}(x:=\text{const } a)]\!] \alpha$ 
   $\langle \text{proof} \rangle$ 

end

context sorted-algebra-syntax begin

definition constant-at f  $\sigma s i \equiv$ 
   $\forall as b. as :_l \sigma s \text{ in } A \longrightarrow A b = A (as!i) \longrightarrow I f (as[i:=b]) = I f as$ 

lemma constant-atI[intro]:
  assumes  $\bigwedge as b. as :_l \sigma s \text{ in } A \implies A b = A (as!i) \implies I f (as[i:=b]) = I f as$ 
  shows constant-at f  $\sigma s i \langle \text{proof} \rangle$ 

lemma constant-atD:
   $\text{constant-at } f \sigma s i \implies as :_l \sigma s \text{ in } A \implies A b = A (as!i) \implies I f (as[i:=b]) = I f as$ 
   $\langle \text{proof} \rangle$ 

lemma constant-atE[elim]:
  assumes constant-at f  $\sigma s i$ 
  and ( $\bigwedge as b. as :_l \sigma s \text{ in } A \implies A b = A (as!i) \implies I f (as[i:=b]) = I f as$ )
  thesis
  shows thesis  $\langle \text{proof} \rangle$ 

definition constant-term-on s x  $\equiv \forall \alpha a. I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$ 

lemma constant-term-onI:
  assumes  $\bigwedge \alpha a. I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$  shows constant-term-on s x
   $\langle \text{proof} \rangle$ 

lemma constant-term-onD:
  assumes constant-term-on s x shows  $I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$ 
   $\langle \text{proof} \rangle$ 

lemma constant-term-onE:
  assumes constant-term-on s x and ( $\bigwedge \alpha a. I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha \implies \text{thesis}$ )
  shows thesis  $\langle \text{proof} \rangle$ 

lemma constant-term-on-extra-var:  $x \notin \text{vars } s \implies \text{constant-term-on } s x$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma constant-term-on-eq:
  assumes st:  $I[s] = I[t]$  and s: constant-term-on s x shows constant-term-on t x
   $\langle proof \rangle$ 

definition constant-term  $s \equiv \forall x. \text{constant-term-on } s x$ 

lemma constant-termI: assumes  $\bigwedge x. \text{constant-term-on } s x$  shows constant-term s
   $\langle proof \rangle$ 

lemma ground-imp-constant: vars s = {}  $\implies$  constant-term s
   $\langle proof \rangle$ 

end

end

```

## 5 Sorted Contexts

```

theory Sorted-Contexts
  imports
    First-Order-Terms.Subterm-and-Context
    Sorted-Terms
  begin

lemma subst-in-dom:
  assumes s:  $s \in \text{dom } \mathcal{T}(F, V)$  and st:  $s \sqsupseteq t$  shows  $t \in \text{dom } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

```

```

inductive has-type-context :: ('f,'s) ssig  $\Rightarrow$  ('v  $\rightarrow$  's)  $\Rightarrow$  's  $\Rightarrow$  ('f,'v) ctxt  $\Rightarrow$  's  $\Rightarrow$  bool
  for F V σ where
    Hole: has-type-context F V σ Hole σ
  | More:  $f : \sigma_b @ \varrho \# \sigma_a \rightarrow \tau$  in F  $\implies$ 
    bef :_l σb in  $\mathcal{T}(F, V)$   $\implies$  has-type-context F V σ C ρ  $\implies$  aft :_l σa in  $\mathcal{T}(F, V)$ 
   $\implies$ 
    has-type-context F V σ (More f bef C aft) τ

```

**hide-fact (open)** Hole More

**abbreviation** has-type-context' (((-) :c / (-)  $\rightarrow$  (-) in/  $\mathcal{T}'(-,-')$ ) [50,61,51,51,51]50)
 **where** C :c σ → τ in  $\mathcal{T}(F, V)$   $\equiv$  has-type-context F V σ C τ

**lemma** hastype-context-apply:
 **assumes** C :c σ → τ in  $\mathcal{T}(F, V)$  **and** t : σ in  $\mathcal{T}(F, V)$

```

shows  $C\langle t \rangle : \tau$  in  $\mathcal{T}(F, V)$ 
 $\langle proof \rangle$ 

lemma hastype-context-decompose:
assumes  $C\langle t \rangle : \tau$  in  $\mathcal{T}(F, V)$ 
shows  $\exists \sigma. C :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, V) \wedge t : \sigma$  in  $\mathcal{T}(F, V)$ 
 $\langle proof \rangle$ 

lemma apply-ctxt-in-dom-imp-in-dom:
assumes  $C\langle t \rangle \in \text{dom } \mathcal{T}(F, V)$ 
shows  $t \in \text{dom } \mathcal{T}(F, V)$ 
 $\langle proof \rangle$ 

lemma apply-ctxt-hastype-imp-hastype-context:
assumes  $C: C\langle t \rangle : \tau$  in  $\mathcal{T}(F, V)$  and  $t: t : \sigma$  in  $\mathcal{T}(F, V)$ 
shows  $C :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, V)$ 
 $\langle proof \rangle$ 

lemma subst-apply-ctxt-sorted:
assumes  $C :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, X)$  and  $\vartheta :_s X \rightarrow \mathcal{T}(F, V)$ 
shows  $C \cdot_c \vartheta :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, V)$ 
 $\langle proof \rangle$ 

end

```

## References

- [1] C. Sternagel and R. Thiemann. First-order terms. *Archive of Formal Proofs*, February 2018. [https://isa-afp.org/entries/First\\_Order\\_Terms.html](https://isa-afp.org/entries/First_Order_Terms.html), Formal proof development.
- [2] R. Thiemann and A. Yamada. A verified algorithm for deciding pattern completeness. In J. Rehof, editor, *9th International Conference on Formal Structures for Computation and Deduction, FSCD 2024, July 10-13, 2024, Tallinn, Estonia*, LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2024. To appear.