

# Sorted Terms\*

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## Abstract

This entry provides a basic library for many-sorted terms and algebras. We view sorted sets just as partial maps from elements to sorts, and define sorted set of terms reusing the data type from the existing library of (unsorted) first order terms. All the existing functionality, such as substitutions and contexts, can be reused without any modifications. We provide predicates stating what substitutions or contexts are considered sorted, and prove facts that they preserve sorts as expected.

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## 1 Introduction

This entry extends the First-Order Terms [1] entry with many-sorted terms. Instead of defining a new datatype for sorted terms, we just define sorted sets over the existing datatype of unsorted terms. We do not even introduce our type for sorted sets: we just view sorted sets as partial maps from elements to their sorts.

Part of the entry is presented in [2].

```
theory Sorted-Sets
imports
  Main
  HOL-Library.FuncSet
  HOL-Library.Monad-Syntax
  Complete-Non-Orders.Binary-Relations
begin
```

## 2 Auxiliary Lemmas

```
lemma ex-set-conv-ex-nth:
  ( $\exists x \in set xs. P x$ ) = ( $\exists i. i < length xs \wedge P (xs ! i)$ )
  by (auto simp add: set-conv-nth)

lemma Ball-Pair-conv: ( $\forall (x,y) \in R. P x y$ )  $\longleftrightarrow$  ( $\forall x y. (x,y) \in R \longrightarrow P x y$ ) by
  auto

lemma Some-eq-bind-conv: ( $Some x = f \gg= g$ ) = ( $\exists y. f = Some y \wedge g y = Some x$ )
  by (fold bind-eq-Some-conv, auto)

lemma length-le-nth-append:  $length xs \leq n \implies (xs @ ys)!n = ys!(n - length xs)$ 
  by (simp add: nth-append)

lemma list-all2-same-left:
```

```

 $\forall a' \in \text{set } as. a' = a \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall b \in \text{set } bs. r a b)$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-same-leftI:
 $\forall a' \in \text{set } as. a' = a \implies \text{length } as = \text{length } bs \implies \forall b \in \text{set } bs. r a b \implies \text{list-all2 } r \text{ as } bs$ 
by (auto simp: list-all2-same-left)

lemma list-all2-same-rightI:
 $\forall b' \in \text{set } bs. b' = b \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. r a b)$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-same-rightI:
 $\forall b' \in \text{set } bs. b' = b \implies \text{length } as = \text{length } bs \implies \forall a \in \text{set } as. r a b \implies \text{list-all2 } r \text{ as } bs$ 
by (auto simp: list-all2-same-right)

lemma list-all2-all-all:
 $\forall a \in \text{set } as. \forall b \in \text{set } bs. r a b \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-indep1:
 $\text{list-all2 } (\lambda a b. P b) \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall b \in \text{set } bs. P b)$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-indep2:
 $\text{list-all2 } (\lambda a b. P a) \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. P a)$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-replicate[simp]:
 $\text{list-all2 } r (\text{replicate } n x) \text{ ys} \longleftrightarrow \text{length } ys = n \wedge (\forall y \in \text{set } ys. r x y)$ 
 $\text{list-all2 } r xs (\text{replicate } n y) \longleftrightarrow \text{length } xs = n \wedge (\forall x \in \text{set } xs. r x y)$ 
by (auto simp: list-all2-conv-all-nth all-set-conv-all-nth)

lemma list-all2-choice-nth: assumes  $\forall i < \text{length } xs. \exists y. r (xs!i) y$  shows  $\exists ys.$ 
 $\text{list-all2 } r xs ys$ 
proof-
  from assms have  $\forall i \in \{0..<\text{length } xs\}. \exists y. r (xs!i) y$  by auto
  from finite-set-choice[OF - this]
  obtain f where  $\forall i < \text{length } xs. r (xs ! i) (f i)$  by (auto simp: Ball-def)
  then have  $\text{list-all2 } r xs (\text{map } f [0..<\text{length } xs])$  by (auto simp: list-all2-conv-all-nth)
  then show ?thesis by auto
qed

lemma list-all2-choice:  $\forall x \in \text{set } xs. \exists y. r x y \implies \exists ys. \text{list-all2 } r xs ys$ 
using list-all2-choice-nth by (auto simp: all-set-conv-all-nth)

```

```

lemma list-all2-concat:
  list-all2 (list-all2 r) ass bss  $\implies$  list-all2 r (concat ass) (concat bss)
  by (induct rule:list-all2-induct, auto intro!: list-all2-appendI)

lemma those-eq-None[simp]: those as = None  $\leftrightarrow$  None  $\in$  set as by (induct as,
auto split:option.split)

lemma those-eq-Some[simp]: those xos = Some xs  $\leftrightarrow$  xos = map Some xs
by (induct xos arbitrary:xs, auto split:option.split-asm)

lemma those-map-Some[simp]: those (map Some xs) = Some xs by simp

lemma those-append:
  those (as @ bs) = do {xs  $\leftarrow$  those as; ys  $\leftarrow$  those bs; Some (xs@ys)}
  by (auto simp: those-eq-None split: bind-split)

lemma those-Cons:
  those (a#as) = do {x  $\leftarrow$  a; xs  $\leftarrow$  those as; Some (x # xs)}
  by (auto split: option.split bind-split)

lemma map-singleton-o[simp]: ( $\lambda x$ . [x])  $\circ$  f = ( $\lambda x$ . [f x]) by auto

lemmas list-3-cases = remdups-adj.cases

lemma in-set-updateD: x  $\in$  set (xs[n := y])  $\implies$  x  $\in$  set xs  $\vee$  x = y
  by (auto dest: subsetD[OF set-update-subset-insert])

lemma map-nth': length xs = n  $\implies$  map (nth xs) [0..<n] = xs
  using map-nth by auto

lemma product-lists-map-map: product-lists (map (map f) xss) = map (map f)
  (product-lists xss)
  by (induct xss, auto simp: Cons o-def map-concat)

lemma (in monoid-add) sum-list-concat: sum-list (concat xs) = sum-list (map
sum-list xs)
  by (induct xs, auto)

context semiring-1 begin

lemma prod-list-map-sum-list-distrib:
  shows prod-list (map sum-list xss) = sum-list (map prod-list (product-lists xss))
  by (induct xss, simp-all add: map-concat o-def sum-list-concat sum-list-const-mult
sum-list-mult-const)

lemma prod-list-sum-list-distrib:
  ( $\prod$  xs  $\leftarrow$  xss.  $\sum$  x  $\leftarrow$  xs. f x) = ( $\sum$  xs  $\leftarrow$  product-lists xss.  $\prod$  x  $\leftarrow$  xs. f x)
  using prod-list-map-sum-list-distrib[of map (map f) xss]
  by (simp add: o-def product-lists-map-map)

```

```
end
```

```
lemma ball-set-bex-set-distrib:
```

```
( $\forall xs \in set xss. \exists x \in set xs. f x$ )  $\longleftrightarrow$  ( $\exists xs \in set (product-lists xss). \forall x \in set xs. f x$ )  
by (induct xss, auto)
```

```
lemma bex-set-ball-set-distrib:
```

```
( $\exists xs \in set xss. \forall x \in set xs. f x$ )  $\longleftrightarrow$  ( $\forall xs \in set (product-lists xss). \exists x \in set xs. f x$ )  
by (induct xss, auto)
```

```
declare upt-Suc[simp del]
```

```
lemma map-nth-Cons: map (nth (x#xs)) [0..<n] = (case n of 0  $\Rightarrow$  [] | Suc n  $\Rightarrow$   
x # map (nth xs) [0..<n])
```

```
by (auto simp:map-upt-Suc split: nat.split)
```

```
lemma upt-0-Suc-Cons: [0..<Suc i] = 0 # map Suc [0..<i]  
using map-upt-Suc[of id] by simp
```

```
lemma upt-map-add:  $i \leq j \implies [i..<j] = map (\lambda k. k + i) [0..<j-i]$   
by (simp add: map-add-upt)
```

```
lemma map-nth-append:
```

```
map (nth (xs @ ys)) [0..<n] =  
(if  $n < length xs$  then map (nth xs) [0..<n] else xs @ map (nth ys) [0..<n-length  
xs])  
by (induct xs arbitrary: n, auto simp: map-nth-Cons split: nat.split)
```

```
lemma all-dom: ( $\forall x \in dom f. P x$ )  $\longleftrightarrow$  ( $\forall x y. f x = Some y \longrightarrow P x$ ) by auto
```

```
lemma trancl-Collect:  $\{(x,y). r x y\}^+ = \{(x,y). tranclp r x y\}$   
by (simp add: tranclp-unfold)
```

```
lemma restrict-submap[intro!]:  $A \mid^{\epsilon} S \subseteq_m A$   
by (auto simp: restrict-map-def map-le-def domIff)
```

```
lemma restrict-map-mono-left:  $A \subseteq_m A' \implies A \mid^{\epsilon} S \subseteq_m A' \mid^{\epsilon} S$   
and restrict-map-mono-right:  $S \subseteq S' \implies A \mid^{\epsilon} S \subseteq_m A \mid^{\epsilon} S'$   
by (auto simp: map-le-def)
```

### 3 Sorted Sets and Maps

```
declare domIff[iff del]
```

We view sorted sets just as partial maps from elements to their sorts. We just introduce the following notation:

```
definition hastype (((-) :/ (-) in/ (-)) [50,61,51]50)  
where  $a : \sigma$  in  $A \equiv A a = Some \sigma$ 
```

**abbreviation** *all-hastype*  $\sigma$   $A$   $P \equiv \forall a. a : \sigma \text{ in } A \longrightarrow P a$   
**abbreviation** *ex-hastype*  $\sigma$   $A$   $P \equiv \exists a. a : \sigma \text{ in } A \wedge P a$

**syntax**

*all-hastype* :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\forall - : / - \text{in}/ - ./ - [50,51,51,10] 10$ )  
*ex-hastype* :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\exists - : / - \text{in}/ - ./ - [50,51,51,10] 10$ )

**translations**

$\forall a : \sigma \text{ in } A. e \Rightarrow \text{CONST all-hastype } \sigma A (\lambda a. e)$   
 $\exists a : \sigma \text{ in } A. e \Rightarrow \text{CONST ex-hastype } \sigma A (\lambda a. e)$

**lemmas** *hastypeI* = *hastype-def*[unfolded atomize-eq, THEN iffD2]  
**lemmas** *hastypeD[dest]* = *hastype-def*[unfolded atomize-eq, THEN iffD1]  
**lemmas** *eq-Some-iff-hastype* = *hastype-def*[symmetric]

**lemma** *has-same-type*: **assumes**  $a : \sigma \text{ in } A$  **shows**  $a : \sigma' \text{ in } A \longleftrightarrow \sigma' = \sigma$   
**using assms by** (unfold *hastype-def*, auto)

**lemma** *sset-eqI*: **assumes**  $(\bigwedge a \sigma. a : \sigma \text{ in } A \longleftrightarrow a : \sigma \text{ in } B)$  **shows**  $A = B$   
**proof** (intro ext)  
fix  $a$  show  $A a = B a$  **using assms apply** (cases  $A a$ , auto simp: *hastype-def*)  
by (metis option.exhaust)  
qed

**lemma** *in-dom-iff-ex-type*:  $a \in \text{dom } A \longleftrightarrow (\exists \sigma. a : \sigma \text{ in } A)$  **by** (auto simp:  
*hastype-def domIff*)

**lemma** *in-dom-hastypeE*:  $a \in \text{dom } A \Longrightarrow (\bigwedge \sigma. a : \sigma \text{ in } A \Longrightarrow \text{thesis}) \Longrightarrow \text{thesis}$   
**by** (auto simp: *hastype-def domIff*)

**lemma** *hastype-imp-dom[simp]*:  $a : \sigma \text{ in } A \Longrightarrow a \in \text{dom } A$  **by** (auto simp: *domIff*)

**lemma** *untyped-imp-not-hastype*:  $A a = \text{None} \Longrightarrow \neg a : \sigma \text{ in } A$  **by** auto

**lemma** *nex-hastype-iff*:  $(\nexists \sigma. a : \sigma \text{ in } A) \longleftrightarrow A a = \text{None}$  **by** (auto simp: *hastype-def*)

**lemma** *all-dom-iff-all-hastype*:  $(\forall x \in \text{dom } A. P x) \longleftrightarrow (\forall x \sigma. x : \sigma \text{ in } A \longrightarrow P x)$   
**by** (simp add: *all-dom hastype-def*)

**abbreviation** *hastype-list* (((-)  $:_l$  (-)  $\text{in}/$  (-)) [50,61,51]50)  
**where**  $as :_l \sigma s \text{ in } A \equiv \text{list-all2 } (\lambda a \sigma. a : \sigma \text{ in } A) as \sigma s$

**lemma** *has-same-type-list*:  
 $as :_l \sigma s \text{ in } A \Longrightarrow as :_l \sigma s' \text{ in } A \longleftrightarrow \sigma s' = \sigma s$   
**proof** (induct as arbitrary:  $\sigma s \sigma s'$ )  
case Nil  
then show ?case by auto

```

next
  case (Cons a as)
    then show ?case by (auto simp: has-same-type list-all2-Cons1)
qed

lemma hastype-list-iff-those: as :l  $\sigma$ s in A  $\longleftrightarrow$  those (map A as) = Some  $\sigma$ s
proof (induct as arbitrary: $\sigma$ s)
  case Nil
  then show ?case by auto
next
  case IH: (Cons a as  $\sigma$ s)
  show ?case
  proof (cases  $\sigma$ s)
    case [simp]: Nil
    show ?thesis by (auto split:option.split)
next
  case [simp]: (Cons  $\sigma$   $\sigma$ s)
  from IH show ?thesis by (auto intro!:hastypeI split: option.split)
qed
qed

lemmas hastype-list-imp-those[simp] = hastype-list-iff-those[THEN iffD1]

lemma hastype-list-imp-lists-dom: xs :l  $\sigma$ s in A  $\implies$  xs  $\in$  lists (dom A)
  by (auto simp: list-all2-conv-all-nth in-set-conv-nth hastype-def)

lemma subsset: A  $\subseteq_m$  A'  $\longleftrightarrow$  ( $\forall a \sigma. a : \sigma$  in A  $\longrightarrow$  a :  $\sigma$  in A')
  by(auto simp: Ball-def map-le-def hastype-def domIff)

lemmas subssetI = subsset[THEN iffD2, rule-format]
lemmas subssetD = subsset[THEN iffD1, rule-format]

lemma subsset-hastype-listD: A  $\subseteq_m$  A'  $\implies$  as :l  $\sigma$ s in A  $\implies$  as :l  $\sigma$ s in A'
  by (auto simp: list-all2-conv-all-nth subssetD)

lemma has-same-type-in-subsset:
  a :  $\sigma$  in A'  $\implies$  A  $\subseteq_m$  A'  $\implies$  a :  $\sigma'$  in A  $\implies$   $\sigma' = \sigma$ 
  by (auto dest!: subssetD simp: has-same-type)

lemma has-same-type-in-dom-subsset:
  a :  $\sigma$  in A'  $\implies$  A  $\subseteq_m$  A'  $\implies$  a  $\in$  dom A  $\longleftrightarrow$  a :  $\sigma$  in A
  by (auto simp: in-dom-iff-ex-type dest: has-same-type-in-subsset)

lemma hastype-restrict: a :  $\sigma$  in A |` S  $\longleftrightarrow$  a  $\in$  S  $\wedge$  a :  $\sigma$  in A
  by (auto simp: restrict-map-def hastype-def)

lemma hastype-the-simp[simp]: a :  $\sigma$  in A  $\implies$  the (A a) =  $\sigma$ 
  by (auto)

```

```
lemma hastype-in-Some[simp]:  $x : \sigma$  in Some  $\longleftrightarrow x = \sigma$  by (auto simp: hastype-def)
```

```
lemma hastype-in-upd[simp]:  $x : \sigma$  in  $A(y \mapsto \tau) \longleftrightarrow (\text{if } x = y \text{ then } \sigma = \tau \text{ else } x : \sigma \text{ in } A)$   

by (auto simp: hastype-def)
```

```
lemma all-set-hastype-iff-those:  $\forall a \in \text{set as}. a : \sigma$  in  $A \implies$   

 $\text{those}(\text{map } A \text{ as}) = \text{Some}(\text{replicate}(\text{length as}) \sigma)$   

by (induct as, auto)
```

The partial version of list nth:

```
primrec safe-nth where  

safe-nth [] - = None  

| safe-nth (a#as) n = (case n of 0  $\Rightarrow$  Some a | Suc n  $\Rightarrow$  safe-nth as n)
```

```
lemma safe-nth-simp[simp]:  $i < \text{length as} \implies \text{safe-nth as } i = \text{Some}(\text{as} ! i)$   

by (induct as arbitrary:i, auto split:nat.split)
```

```
lemma safe-nth-None[simp]:  

 $\text{length as} \leq i \implies \text{safe-nth as } i = \text{None}$   

by (induct as arbitrary:i, auto split:nat.split)
```

```
lemma safe-nth: safe-nth as i = (if  $i < \text{length as}$  then Some (as ! i) else None)  

by auto
```

```
lemma safe-nth-eq-SomeE:  

safe-nth as i = Some a  $\implies (i < \text{length as} \implies \text{as} ! i = a \implies \text{thesis}) \implies \text{thesis}$   

by (cases i < length as, auto)
```

```
lemma dom-safe-nth[simp]: dom (safe-nth as) = {0..<length as}  

by (auto simp: domIff elim!: safe-nth-eq-SomeE)
```

```
lemma safe-nth-replicate[simp]:  

safe-nth (replicate n a) i = (if  $i < n$  then Some a else None)  

by auto
```

```
lemma safe-nth-append:  

safe-nth (ls@rs) i = (if  $i < \text{length ls}$  then Some (ls!i) else safe-nth rs ( $i - \text{length ls}$ ))  

by (cases i < length (ls@rs), auto simp: nth-append)
```

```
lemma hastype-in-safe-nth[simp]:  $i : \sigma$  in safe-nth  $\sigma s \longleftrightarrow i < \text{length } \sigma s \wedge \sigma = \sigma s ! i$   

by (auto simp: hastype-def safe-nth)
```

```
lemmas hastype-in-safe-nthE = safe-nth-eq-SomeE[folded hastype-def]
```

```
lemma hastype-in-o[simp]:  $a : \sigma$  in  $A \circ f \longleftrightarrow f a : \sigma$  in  $A$  by (simp add: hastype-def)
```

```

definition o-sset (infix os 55) where
  f os A ≡ map-option f ∘ A

lemma hastype-in-o-sset: a : σ' in f os A  $\longleftrightarrow$  ( $\exists \sigma. a : \sigma$  in A  $\wedge \sigma' = f \sigma$ )
  by (auto simp: o-sset-def hastype-def)

lemma hastype-in-o-ssetI: a : σ in A  $\implies$  f σ = σ'  $\implies$  a : σ' in f os A
  by (auto simp: o-sset-def hastype-def)

lemma hastype-in-o-ssetD: a : τ in f os A  $\implies$   $\exists \sigma. a : \sigma$  in A  $\wedge \tau = f \sigma$ 
  by (auto simp: o-sset-def hastype-def)

lemma hastype-in-o-ssetE: a : τ in f os A  $\implies$  ( $\bigwedge \sigma. a : \sigma$  in A  $\implies \tau = f \sigma$   $\implies$ 
  thesis)  $\implies$  thesis
  by (auto simp: o-sset-def hastype-def)

lemma o-sset-restrict-sset-assoc[simp]: f os (A |` X) = (f os A) |` X
  by (auto simp: o-sset-def restrict-map-def)

lemma id-o-sset[simp]: id os A = A
  and identity-o-sset[simp]: ( $\lambda x. x$ ) os A = A
  by (auto simp: o-sset-def map-option.id map-option.identity)

lemma o-ssetI: A x = Some y  $\implies$  z = f y  $\implies$  (f os A) x = Some z by (auto
  simp: o-sset-def)

lemma o-ssetE: (f os A) x = Some z  $\implies$  ( $\bigwedge y. A x = Some y \implies z = f y$   $\implies$ 
  thesis)  $\implies$  thesis
  by (auto simp: o-sset-def)

lemma dom-o-sset[simp]: dom (f os A) = dom A
  by (auto intro!: o-ssetI elim!: o-ssetE simp: domIff)

lemma safe-nth-map: safe-nth (map f as) = f os safe-nth as
  by (auto simp: safe-nth o-sset-def)

notation Map.empty ([])
lemma safe-nth-Nil[simp]: safe-nth [] = [] by auto

lemma o-sset-empty[simp]: f os [] = [] by (auto simp: o-sset-def)

lemma hastype-in-empty[simp]:  $\neg x : \sigma$  in [] by (auto simp: hastype-def)

```

### 3.1 Maps between Sorted Sets

```

locale sort-preserving = fixes f :: 'a  $\Rightarrow$  'b and A :: 'a  $\rightarrow$  's
  assumes same-value-imp-same-type: a : σ in A  $\implies$  b : τ in A  $\implies$  f a = f b  $\implies$ 
  σ = τ

```

```

begin

lemma same-value-imp-in-dom-iff:
  assumes  $f a = f a'$  and  $a : \sigma$  in  $A$  shows  $a' : \sigma \in \text{dom } A \longleftrightarrow a' : \sigma$ 
  in  $A$ 
  using same-value-imp-same-type[ $\text{OF } a - f a'$ ] by (auto elim!: in-dom-hastypeE)

end

lemma sort-preserving-cong:
   $A = A' \Rightarrow (\bigwedge a \in A \Rightarrow f a = f' a) \Rightarrow \text{sort-preserving } f A \longleftrightarrow$ 
  sort-preserving  $f' A'$ 
  by (auto simp: sort-preserving-def)

lemma inj-on-dom-imp-sort-preserving:
  assumes inj-on  $f$  ( $\text{dom } A$ ) shows sort-preserving  $f A$ 
  proof unfold-locales
    fix  $a b \sigma \tau$ 
    assume  $a : \sigma$  in  $A$  and  $b : \tau$  in  $A$  and eq:  $f a = f b$ 
    with inj-onD[ $\text{OF assms}$ ] have  $a = b$  by auto
    with  $a b$  show  $\sigma = \tau$  by (auto simp: has-same-type)
  qed

lemma inj-imp-sort-preserving:
  assumes inj  $f$  shows sort-preserving  $f A$ 
  using assms by (auto intro!: inj-on-dom-imp-sort-preserving simp: inj-on-def)

locale sorted-map =
  fixes  $f : 'a \Rightarrow 'b$  and  $A : 'a \rightarrow 's$  and  $B : 'b \rightarrow 's$ 
  assumes sorted-map:  $\bigwedge a \in A \Rightarrow f a : \sigma \in B$ 
begin

lemma target-has-same-type:  $a : \sigma \in A \Rightarrow f a : \tau \in B \longleftrightarrow \sigma = \tau$ 
  by (auto simp: has-same-type dest!: sorted-map)

lemma target-dom-iff-hastype:
   $a : \sigma \in A \Rightarrow f a \in \text{dom } B \longleftrightarrow f a : \sigma \in B$ 
  by (auto simp: in-dom-iff-ex-type target-has-same-type)

lemma source-dom-iff-hastype:
   $f a : \sigma \in B \Rightarrow a \in \text{dom } A \longleftrightarrow a : \sigma \in A$ 
  by (auto simp: in-dom-iff-ex-type target-has-same-type)

lemma elim:
  assumes  $a : (\bigwedge a \in A \Rightarrow f a : \sigma \in B) \Rightarrow P$ 
  shows  $P$ 
  using  $a$  by (auto simp: sorted-map)

sublocale sort-preserving

```

```

apply unfold-locales
by (auto simp add: sorted-map dest!: target-has-same-type)

lemma funcset-dom:  $f : \text{dom } A \rightarrow \text{dom } B$ 
  using sorted-map[unfolded hastype-def] by (auto simp: domIff)

lemma sorted-map-list:  $\text{as} :_l \sigma s \text{ in } A \implies \text{map } f \text{ as} :_l \sigma s \text{ in } B$ 
  by (auto simp: list-all2-conv-all-nth sorted-map)

lemma in-dom:  $a \in \text{dom } A \implies f a \in \text{dom } B$  by (auto elim!: in-dom-hastypeE
  dest!:sorted-map)

end

notation sorted-map (- :_s(/ - → / -)) [50,51,51]50

abbreviation all-sorted-map  $A B P \equiv \forall f. f :_s A \rightarrow B \longrightarrow P f$ 
abbreviation ex-sorted-map  $A B P \equiv \exists f. f :_s A \rightarrow B \wedge P f$ 

syntax
   $\text{all-sorted-map} :: 'pttrn \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a (\forall - :_s(/ - \rightarrow / -). / - [50,51,51,10]10)$ 
   $\text{ex-sorted-map} :: 'pttrn \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a (\exists - :_s(/ - \rightarrow / -). / - [50,51,51,10]10)$ 

translations
   $\forall f :_s A \rightarrow B. e \Leftarrow \text{CONST all-sorted-map } A B (\lambda f. e)$ 
   $\exists f :_s A \rightarrow B. e \Leftarrow \text{CONST ex-sorted-map } A B (\lambda f. e)$ 

lemmas sorted-mapI = sorted-map.intro

lemma sorted-mapD:  $f :_s A \rightarrow B \implies a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B$ 
  using sorted-map.sorted-map.

lemmas sorted-mapE = sorted-map.elim

lemma assumes  $f :_s A \rightarrow B$ 
shows sorted-map-o:  $g :_s B \rightarrow C \implies g \circ f :_s A \rightarrow C$ 
and sorted-map-cmono:  $A' \subseteq_m A \implies f :_s A' \rightarrow B$ 
and sorted-map-mono:  $B \subseteq_m B' \implies f :_s A \rightarrow B'$ 
using assms by (auto intro!:sorted-mapI dest!:subsetD sorted-mapD)

lemma sorted-map-cong:
 $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies$ 
 $A = A' \implies$ 
 $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B \longleftrightarrow f a : \sigma \text{ in } B') \implies$ 
 $f :_s A \rightarrow B \longleftrightarrow f' :_s A' \rightarrow B'$ 
by (auto simp: sorted-map-def)

lemma sorted-choice:
assumes  $\forall a \sigma. a : \sigma \text{ in } A \longrightarrow (\exists b : \sigma \text{ in } B. P a b)$ 

```

```

shows  $\exists f :_s A \rightarrow B. (\forall a \in \text{dom } A. P a (f a))$ 
proof-
  have  $\forall a \in \text{dom } A. \exists b. A a = B b \wedge P a b$ 
  proof
    fix a assume  $a \in \text{dom } A$ 
    then obtain  $\sigma$  where  $a: a : \sigma \text{ in } A$  by (auto elim!: in-dom-hastypeE)
    with assms obtain  $b$  where  $b: b : \sigma \text{ in } B$  and  $P: P a b$  by auto
    with a have  $A a = B b$  by (auto simp: hastype-def)
    with P show  $\exists b. A a = B b \wedge P a b$  by auto
  qed
  from bchoice[OF this] obtain f where  $f: \forall x \in \text{dom } A. A x = B (f x) \wedge P x (f x)$  by auto
  have  $f :_s A \rightarrow B$ 
  proof
    fix a  $\sigma$  assume  $a: a : \sigma \text{ in } A$ 
    then have  $a \in \text{dom } A$  by auto
    with f have  $A a = B (f a)$  by auto
    with a show  $f a : \sigma \text{ in } B$  by (auto simp: hastype-def)
  qed
  with f show ?thesis by auto
qed

lemma sorted-map-empty[simp]:  $f :_s \emptyset \rightarrow A$ 
by (auto simp: sorted-map-def)

lemma sorted-map-comp-nth:
 $\alpha :_s (f \circ s \text{ safe-nth} (a \# as)) \rightarrow A \longleftrightarrow \alpha 0 : f a \text{ in } A \wedge (\alpha \circ \text{Suc} :_s (f \circ s \text{ safe-nth} as) \rightarrow A)$ 
(is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l
  from sorted-mapD(1)[OF this, of 0] sorted-mapD(1)[OF this, of Suc -]
  show ?r
    apply (intro conjI sorted-map.intro, unfold hastype-in-o-sset)
    by (auto simp: hastype-def)
next
  assume r: ?r
  then have  $\theta: \alpha 0 : f a \text{ in } A$  and  $\alpha \circ \text{Suc} :_s f \circ s \text{ safe-nth} as \rightarrow A$  by auto
  then
    have  $*: i' < \text{length } as \implies \alpha (\text{Suc } i') : f (as!i') \text{ in } A$  for  $i'$ 
      apply (elim sorted-mapE)
      apply (unfold hastype-in-o-sset)
      apply (auto simp:sorted-map-def hastype-def).
  with  $\theta$  show ?l
    by (intro sorted-map.intro, unfold hastype-in-o-sset, unfold hastype-def, auto
split:nat.split-asm elim:safe-nth-eq-SomeE)
qed

locale inhabited = fixes A

```

```

assumes inhabited:  $\bigwedge \sigma. \exists a. a : \sigma \text{ in } A$ 
begin

lemma ex-sorted-map:  $\exists \alpha. \alpha :_s V \rightarrow A$ 
proof (unfold sorted-map-def, intro choice allI)
  fix v
  from inhabited
  obtain a where  $\forall \sigma. v : \sigma \text{ in } V \longrightarrow a : \sigma \text{ in } A$ 
    apply (cases V v)
    apply (auto dest: untyped-imp-not-hastype)[1]
    apply force.
  then show  $\exists y. \forall \sigma. v : \sigma \text{ in } V \longrightarrow y : \sigma \text{ in } A$ 
    by (intro exI[of - a], auto)
qed

end

```

### 3.2 Sorted Images

The partial version of *The* operator.

**definition** safe-The  $P \equiv \text{if } \exists !x. P x \text{ then Some } (\text{The } P) \text{ else None}$

```

lemma safe-The-eq-Some: safe-The  $P = \text{Some } x \longleftrightarrow P x \wedge (\forall x'. P x' \longrightarrow x' = x)$ 
  apply (unfold safe-The-def)
  apply (cases  $\exists !x. P x$ )
  apply (metis option.sel the-equality)
  by auto

```

```

lemma safe-The-eq-None: safe-The  $P = \text{None} \longleftrightarrow \neg(\exists !x. P x)$ 
  by (auto simp: safe-The-def)

```

```

lemma safe-The-False[simp]: safe-The  $(\lambda x. \text{False}) = \text{None}$ 
  by (auto simp: safe-The-def)

```

```

definition sorted-image ::  $('a \Rightarrow 'b) \Rightarrow ('a \multimap 's) \Rightarrow 'b \multimap 's$  (infixr `` 90 ) where
   $(f `` A) b \equiv \text{safe-The } (\lambda \sigma. \exists a : \sigma \text{ in } A. f a = b)$ 

```

```

lemma hastype-in-imageE:
  assumes fx :  $\sigma \text{ in } f `` X$ 
  and  $\bigwedge x. x : \sigma \text{ in } X \implies fx = f x \implies \text{thesis}$ 
  shows thesis
  using assms by (auto simp: hastype-def sorted-image-def safe-The-eq-Some)

```

```

lemma in-dom-imageE:
   $b \in \text{dom } (f `` A) \implies (\bigwedge a : \sigma. a : \sigma \text{ in } A \implies b = f a \implies \text{thesis}) \implies \text{thesis}$ 
  by (elim in-dom-hastypeE hastype-in-imageE)

```

context sort-preserving begin

```

lemma hastype-in-imageI:  $a : \sigma \text{ in } A \implies b = f a \implies b : \sigma \text{ in } f `` A$ 
by (auto simp: hastype-def sorted-image-def safe-The-eq-Some)
      (meson eq-Some-iff-hastype same-value-imp-same-type)

lemma hastype-in-imageI2:  $a : \sigma \text{ in } A \implies f a : \sigma \text{ in } f `` A$ 
using hastype-in-imageI by simp

lemma hastype-in-image:  $b : \sigma \text{ in } f `` A \longleftrightarrow (\exists a : \sigma \text{ in } A. f a = b)$ 
by (auto elim!: hastype-in-imageE intro!: hastype-in-imageI)

lemma in-dom-imageI:  $a \in \text{dom } A \implies b = f a \implies b \in \text{dom } (f `` A)$ 
by (auto intro!: hastype-imp-dom hastype-in-imageI elim!: in-dom-hastypeE)

lemma in-dom-imageI2:  $a \in \text{dom } A \implies f a \in \text{dom } (f `` A)$ 
by (auto intro!: in-dom-imageI)

lemma hastype-list-in-image:  $bs :_l \sigma s \text{ in } f `` A \longleftrightarrow (\exists as. as :_l \sigma s \text{ in } A \wedge \text{map } f as = bs)$ 
by (auto simp: list-all2-conv-all-nth hastype-in-image Skolem-list-nth intro!: nth-equalityI)

lemma dom-image[simp]:  $\text{dom } (f `` A) = f ` \text{dom } A$ 
by (auto intro!: map-le-implies-dom-le in-dom-imageI elim!: in-dom-imageE)

sublocale to-image: sorted-map f A f `` A
apply unfold-locales by (auto intro!: hastype-in-imageI)

lemma sorted-map-iff-image-subset:
 $f :_s A \rightarrow B \longleftrightarrow f `` A \subseteq_m B$ 
by (auto intro!: subsetI sorted-mapI hastype-in-imageI elim!: hastype-in-imageE
      sorted-mapE dest!:subsetD)

end

lemma sort-preserving-o:
assumes f: sort-preserving f A and g: sort-preserving g (f `` A)
shows sort-preserving (g o f) A
proof (intro sort-preserving.intro, unfold o-def)
interpret f: sort-preserving using f.
interpret g: sort-preserving g f `` A using g.
fix a b σ τ
assume a: a : σ in A and b: b : τ in A and eq: g (f a) = g (f b)
from a b have g (f a) : σ in g `` f `` A g (f b) : τ in g `` f `` A
by (auto intro!: g.hastype-in-imageI f.hastype-in-imageI)
with eq show σ = τ by (auto simp: has-same-type)
qed

lemma sorted-image-image:
assumes f: sort-preserving f A and g: sort-preserving g (f `` A)

```

```

shows  $g \circ f \circ A = (g \circ f) \circ A$ 
proof–
  interpret  $f$ : sort-preserving using  $f$ .
  interpret  $g$ : sort-preserving  $g f \circ A$  using  $g$ .
  interpret  $gf$ : sort-preserving  $\langle g \circ f \rangle A$  using sort-preserving-o[ $OF f g$ ].
  show ?thesis
    by (auto elim!: hastype-in-imageE
         intro!: sset-eqI gf.hastype-in-imageI g.hastype-in-imageI f.hastype-in-imageI)
qed

context sorted-map begin

lemma image-subsset[intro!]:  $f \circ A \subseteq_m B$ 
  by (auto intro!: subssetI sorted-map elim!: hastype-in-imageE)

lemma dom-image-subset[intro!]:  $f`dom A \subseteq dom B$ 
  using map-le-implies-dom-le[ $OF image\text{-}subsset$ ] by simp

end

lemma sorted-image-cong:  $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies f \circ A = f' \circ A$ 
  by (auto 0 3 intro!: ext arg-cong[of - - safe-The] simp: sorted-image-def)

lemma inj-on-dom-imp-sort-preserving-inv-into:
  assumes inj: inj-on  $f$  ( $dom A$ ) shows sort-preserving ( $inv\text{-}into$  ( $dom A$ )  $f$ ) ( $f \circ A$ )
  by (unfold-locales, auto elim!: hastype-in-imageE simp: inv-into-f-f[ $OF inj$ ] has-same-type)

lemma inj-imp-sort-preserving-inv:
  assumes inj: inj  $f$  shows sort-preserving ( $inv f$ ) ( $f \circ A$ )
  by (unfold-locales, auto elim!: hastype-in-imageE simp: inv-into-f-f[ $OF inj$ ] has-same-type)

lemma inj-on-dom-imp-inv-into-image-cancel:
  assumes inj: inj-on  $f$  ( $dom A$ )
  shows  $inv\text{-}into$  ( $dom A$ )  $f \circ f \circ A = A$ 
proof–
  interpret  $f$ : sort-preserving  $f A$  using inj-on-dom-imp-sort-preserving[ $OF inj$ ].
  interpret  $f'$ : sort-preserving  $\langle inv\text{-}into} (dom A) f \rangle \langle f \circ A$ 
    using inj-on-dom-imp-sort-preserving-inv-into[ $OF inj$ ].
  show ?thesis
    by (auto intro!: sset-eqI f'.hastype-in-imageI f.hastype-in-imageI elim!: hastype-in-imageE
         simp: inj)
qed

lemma inj-imp-inv-image-cancel:
  assumes inj: inj  $f$ 
  shows  $inv f \circ f \circ A = A$ 
proof–
  interpret  $f$ : sort-preserving  $f A$  using inj-imp-sort-preserving[ $OF inj$ ].

```

```

interpret f': sort-preserving <inv f> <f `` A> using inj-imp-sort-preserving-inv[OF
inj].
show ?thesis
by (auto intro!: sset-eqIf'.hastype-in-imageIf.hastype-in-imageI elim!: hastype-in-imageE
simp: inj)
qed

definition sorted-Imagep (infixr `` 90)
where ((≤) `` A) b ≡ safe-The (λσ. ∃ a : σ in A. a ≤ b) for r (infix ≤ 50)

lemma untyped-hastypeE: A a = None ==> a : σ in A ==> thesis
by (auto simp: hastype-def)

end

```

## 4 Sorted Terms

```

theory Sorted-Terms
imports Sorted-Sets First-Order-Terms.Term
begin

```

### 4.1 Overloaded Notations

```
consts vars :: 'a ⇒ 'b set
```

```
adhoc-overloading vars vars-term
```

```
consts map-vars :: ('a ⇒ 'b) ⇒ 'c ⇒ 'd
```

```
adhoc-overloading map-vars map-term (λx. x)
```

```

lemma map-term-eq-Var: map-term F V s = Var y ↔ (exists x. s = Var x ∧ y = V
x)
by (cases s, auto)

```

```

lemma map-vars-id-iff: map-vars f s = s ↔ (∀ x ∈ vars-term s. f x = x)
by (induct s, auto simp: list-eq-iff-nth-eq all-set-conv-all-nth)

```

```

lemma map-var-term-id[simp]: map-term (λx. x) id = id by (auto simp: id-def[symmetric]
term.map-id)

```

```

lemma map-term-eq-Fun:
map-term F V s = Fun g ts ↔ (exists f ss. s = Fun f ss ∧ g = F f ∧ ts = map
(map-term F V) ss)
by (cases s, auto)

```

```
declare domIff[iff del]
```

## 4.2 Sorted Signatures and Sorted Sets of Terms

We view a sorted signature as a partial map that assigns an output sort to the pair of a function symbol and a list of input sorts.

**type-synonym**  $('f, 's) ssig = 'f \times 's list \rightarrow 's$

**definition**  $hastype-in-ssig :: 'f \Rightarrow 's list \Rightarrow 's \Rightarrow ('f, 's) ssig \Rightarrow bool$   
 $(- : - \rightarrow - in - [50, 61, 61, 50] 50)$   
**where**  $f : \sigma s \rightarrow \tau$  in  $F \equiv F (f, \sigma s) = Some \tau$

**lemmas**  $hastype-in-ssigI = hastype-in-ssig-def[unfolded atomize-eq, THEN iffD2]$   
**lemmas**  $hastype-in-ssigD = hastype-in-ssig-def[unfolded atomize-eq, THEN iffD1]$

**lemma**  $hastype-in-ssig-imp-dom:$

**assumes**  $f : \sigma s \rightarrow \tau$  in  $F$  **shows**  $(f, \sigma s) \in dom F$   
**using assms by** (auto simp: hastype-in-ssig-def domIff)

**lemma**  $has\text{-}same\text{-}type\text{-}ssig:$

**assumes**  $f : \sigma s \rightarrow \tau$  in  $F$  **and**  $f : \sigma s \rightarrow \tau'$  in  $F$  **shows**  $\tau = \tau'$   
**using assms by** (auto simp: hastype-in-ssig-def)

**lemma**  $hastype-restrict-ssig: f : \sigma s \rightarrow \tau$  in  $F \mid^* S \longleftrightarrow (f, \sigma s) \in S \wedge f : \sigma s \rightarrow \tau$   
 in  $F$

**by** (auto simp: restrict-map-def hastype-in-ssig-def)

**lemma**  $subssigI: \text{assumes } \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies f : \sigma s \rightarrow \tau \text{ in } F'$   
**shows**  $F \subseteq_m F'$   
**using assms by** (auto simp: map-le-def hastype-in-ssig-def dom-def)

**lemma**  $subssigD: \text{assumes } FF: F \subseteq_m F' \text{ and } f : \sigma s \rightarrow \tau \text{ in } F \text{ shows } f : \sigma s \rightarrow \tau \text{ in } F'$   
**using assms by** (auto simp: map-le-def hastype-in-ssig-def dom-def)

The sorted set of terms:

**primrec**  $Term (\mathcal{T}'(-, -))$  **where**

$\mathcal{T}(F, V) (Var v) = V v$   
 $\mid \mathcal{T}(F, V) (Fun f ss) =$   
 $(case those (map \mathcal{T}(F, V) ss) of None \Rightarrow None \mid Some \sigma s \Rightarrow F (f, \sigma s))$

**lemma**  $Var\text{-}hastype[simp]: Var v : \sigma$  in  $\mathcal{T}(F, V) \longleftrightarrow v : \sigma$  in  $V$   
**by** (auto simp: hastype-def)

**lemma**  $Fun\text{-}hastype:$

$Fun f ss : \tau$  in  $\mathcal{T}(F, V) \longleftrightarrow (\exists \sigma s. f : \sigma s \rightarrow \tau \text{ in } F \wedge ss :_l \sigma s \text{ in } \mathcal{T}(F, V))$   
**apply** (unfold hastype-list-iff-those)  
**by** (auto simp: hastype-in-ssig-def hastype-def split:option.split-asm)

**lemma**  $Fun\text{-}in\text{-}dom\text{-}imp\text{-}arg\text{-}in\text{-}dom: Fun f ss \in dom \mathcal{T}(F, V) \implies s \in set ss \implies$   
 $s \in dom \mathcal{T}(F, V)$

```

by (auto simp: in-dom-iff-ex-type Fun-hastype list-all2-conv-all-nth in-set-conv-nth)

lemma Fun-hastypeI:  $f : \sigma s \rightarrow \tau$  in  $F \implies ss :_l \sigma s$  in  $\mathcal{T}(F, V) \implies \text{Fun } f ss : \tau$  in  $\mathcal{T}(F, V)$ 
  by (auto simp: Fun-hastype)

lemma hastype-in-Term-induct[case-names Var Fun, induct pred]:
  assumes  $s: s : \sigma$  in  $\mathcal{T}(F, V)$ 
  and  $V: \bigwedge v \sigma. v : \sigma$  in  $V \implies P (\text{Var } v) \sigma$ 
  and  $F: \bigwedge f ss \sigma s \tau.$ 
     $f : \sigma s \rightarrow \tau$  in  $F \implies ss :_l \sigma s$  in  $\mathcal{T}(F, V) \implies \text{list-all2 } P ss \sigma s \implies P (\text{Fun } f ss) \tau$ 
    shows  $P s \sigma$ 
  proof (insert s, induct s arbitrary:  $\sigma$  rule:term.induct)
    case ( $\text{Var } v \sigma$ )
      with  $V[\text{of } v \sigma]$  show ?case by auto
    next
      case ( $\text{Fun } f ss \tau$ )
        then obtain  $\sigma s$  where  $f: f : \sigma s \rightarrow \tau$  in  $F$  and  $ss: ss :_l \sigma s$  in  $\mathcal{T}(F, V)$  by (auto
          simp:Fun-hastype)
        show ?case
      proof (rule F[OF f ss], unfold list-all2-conv-all-nth, safe)
        from ss show len:  $\text{length } ss = \text{length } \sigma s$  by (auto dest: list-all2-lengthD)
        fix i assume i:  $i < \text{length } ss$ 
        with ss have *:  $ss ! i : \sigma s ! i$  in  $\mathcal{T}(F, V)$  by (auto simp: list-all2-conv-all-nth)
        from i have ssi:  $ss ! i \in \text{set } ss$  by auto
        from Fun(1)[OF this *]
        show  $P (ss ! i) (\sigma s ! i).$ 
      qed
    qed

lemma in-dom-Term-induct[case-names Var Fun, induct pred]:
  assumes  $s: s \in \text{dom } \mathcal{T}(F, V)$ 
  assumes  $V: \bigwedge v \sigma. v : \sigma$  in  $V \implies P (\text{Var } v)$ 
  assumes  $F: \bigwedge f ss \sigma s \tau.$ 
     $f : \sigma s \rightarrow \tau$  in  $F \implies ss :_l \sigma s$  in  $\mathcal{T}(F, V) \implies \forall s \in \text{set } ss. P s \implies P (\text{Fun } f ss)$ 
    shows  $P s$ 
  proof-
    from s obtain  $\sigma$  where  $s : \sigma$  in  $\mathcal{T}(F, V)$  by (auto elim!:in-dom-hastypeE)
    then show ?thesis
    by (induct rule: hastype-in-Term-induct, auto intro!: V F simp: list-all2-indep2)
  qed

lemma Term-mono-left: assumes FF:  $F \subseteq_m F'$  shows  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F', V)$ 
  proof (intro subsetI, elim hastype-in-Term-induct, goal-cases)
    case (1 a  $\sigma v \sigma'$ )
      then show ?case by auto
    next
      case (2 a  $\sigma f ss \sigma s \tau$ )

```

```

then show ?case
  by (auto intro!:exI[of - σs] dest!: subsigD[OF FF] simp: Fun-hastype)
qed

lemmas hastype-in-Term-mono-left = Term-mono-left[THEN subsetD]

lemmas dom-Term-mono-left = Term-mono-left[THEN map-le-implies-dom-le]

lemma Term-mono-right: assumes VV:  $V \subseteq_m V'$  shows  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F, V')$ 
proof (intro subsetI, elim hastype-in-Term-induct, goal-cases)
  case (1 a σ v σ')
    with VV show ?case by (auto dest!:subsetD)
next
  case (2 a σ f ss σs τ)
  then show ?case
    by (auto intro!:exI[of - σs] simp: Fun-hastype)
qed

lemmas hastype-in-Term-mono-right = Term-mono-right[THEN subsetD]

lemmas dom-Term-mono-right = Term-mono-right[THEN map-le-implies-dom-le]

lemmas Term-mono = map-le-trans[OF Term-mono-left Term-mono-right]

lemmas hastype-in-Term-mono = Term-mono[THEN subsetD]

lemmas dom-Term-mono = Term-mono[THEN map-le-implies-dom-le]

lemma hastype-in-Term-restrict-vars:  $s : \sigma$  in  $\mathcal{T}(F, V)$  |` vars s  $\longleftrightarrow$   $s : \sigma$  in  $\mathcal{T}(F, V)$ 
  (is ?l s  $\longleftrightarrow$  ?r s)
proof (rule iffI)
  assume ?l s
  from hastype-in-Term-mono-right[OF restrict-submap this]
  show ?r s.
next
  show ?r s  $\Longrightarrow$  ?l s
proof (induct rule: hastype-in-Term-induct)
  case (Var v σ)
    then show ?case by (auto simp:hastype-restrict)
next
  case (Fun f ss σs τ)
  have ss :l σs in  $\mathcal{T}(F, V)$  |` vars (Fun f ss))
  apply (rule list.rel-mono-strong[OF Fun(3) hastype-in-Term-mono-right])
  by (auto intro: restrict-map-mono-right)
  with Fun show ?case
    by (auto simp:Fun-hastype)
qed
qed

```

```

lemma hastype-in-Term-imp-vars:  $s : \sigma$  in  $\mathcal{T}(F, V) \implies v \in vars\ s \implies v \in dom\ V$ 
proof (induct s σ rule: hastype-in-Term-induct)
  case (Var v σ)
    then show ?case by auto
next
  case (Fun f ss σs τ)
    then obtain i where  $i : i < length\ ss$  and  $v : v \in vars\ (ss!i)$  by (auto simp:in-set-conv-nth)
    from Fun(β) i v
    show ?case by (auto simp: list-all2-conv-all-nth)
qed

lemma in-dom-Term-imp-vars:  $s \in dom\ \mathcal{T}(F, V) \implies v \in vars\ s \implies v \in dom\ V$ 
by (auto elim!: in-dom-hastypeE simp: hastype-in-Term-imp-vars)

lemma hastype-in-Term-imp-vars-subset:  $t : s$  in  $\mathcal{T}(F, V) \implies vars\ t \subseteq dom\ V$ 
by (auto dest: hastype-in-Term-imp-vars)

interpretation Var: sorted-map Var V  $\mathcal{T}(F, V)$  for F V by (auto intro!: sorted-mapI)

```

### 4.3 Sorted Algebras

```

locale sorted-algebra-syntax =
  fixes F :: ('f,'s) ssig and A :: 'a → 's and I :: 'f ⇒ 'a list ⇒ 'a

locale sorted-algebra = sorted-algebra-syntax +
  assumes sort-matches:  $f : \sigma s \rightarrow \tau$  in F  $\implies$  as :l σs in A  $\implies$  If as :  $\tau$  in A
begin

context
  fixes α V
  assumes α: α :s V → A
begin

lemma eval-hastype:
  assumes s:  $s : \sigma$  in  $\mathcal{T}(F, V)$  shows I[s]α : σ in A
  by (insert s, induct s σ rule: hastype-in-Term-induct,
    auto simp: sorted-mapD[OF α] intro!: sort-matches simp: list-all2-conv-all-nth)

interpretation eval: sorted-map λs. I[s]α  $\mathcal{T}(F, V)$  A
  by (auto intro!: sorted-mapI eval-hastype)

lemmas eval-sorted-map = eval.sorted-map-axioms
lemmas eval-dom = eval.in-dom
lemmas map-eval-hastype = eval.sorted-map-list
lemmas eval-has-same-type = eval.target-has-same-type
lemmas eval-dom-iff-hastype = eval.target-dom-iff-hastype
lemmas dom-iff-hastype = eval.source-dom-iff-hastype

```

```

end

lemmas eval-hastype-vars =
eval-hastype[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

lemmas eval-has-same-type-vars =
eval-has-same-type[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

end

lemma sorted-algebra-cong:
assumes F = F' and A = A'
and  $\bigwedge f \sigma s \tau \text{ as. } f : \sigma s \rightarrow \tau \text{ in } F' \implies \text{as} :_l \sigma s \text{ in } A' \implies I f \text{ as} = I' f \text{ as}$ 
shows sorted-algebra F A I = sorted-algebra F' A' I'
using assms by (auto simp: sorted-algebra-def)

```

### 4.3.1 Term Algebras

The sorted set of terms constitutes a sorted algebra, in which evaluation is substitution.

```

interpretation term: sorted-algebra F  $\mathcal{T}(F, V)$  Fun for F V
apply (unfold-locales)
by (auto simp: Fun-hastype)

```

Sorted substitution preserves type:

```

lemma subst-hastype:  $\vartheta :_s X \rightarrow \mathcal{T}(F, V) \implies s : \sigma \text{ in } \mathcal{T}(F, X) \implies s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, V)$ 
using term.eval-hastype.

```

```

lemmas subst-hastype-imp-dom-iff = term.dom-iff-hastype
lemmas subst-hastype-vars = term.eval-hastype-vars
lemmas subst-has-same-type = term.eval-has-same-type
lemmas subst-same-vars = eval-same-vars[of -- Fun]
lemmas subst-map-vars = eval-map-vars[of Fun]
lemmas subst-o = eval-o[of Fun]
lemmas subst-sorted-map = term.eval-sorted-map
lemmas map-subst-hastype = term.map-eval-hastype

```

```

lemma subst-compose-sorted-map:
assumes  $\vartheta :_s X \rightarrow \mathcal{T}(F, Y) \text{ and } \varrho :_s Y \rightarrow \mathcal{T}(F, Z)$ 
shows  $\vartheta \circ_s \varrho :_s X \rightarrow \mathcal{T}(F, Z)$ 
using assms by (simp add: sorted-map-def subst-compose subst-hastype)

```

```

lemma subst-hastype-iff-vars:
assumes  $\forall x \in \text{vars } s. \forall \sigma. \vartheta x : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow x : \sigma \text{ in } V$ 
shows  $s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow s : \sigma \text{ in } \mathcal{T}(F, V)$ 
proof (insert assms, induct s arbitrary:  $\sigma$ )

```

```

case (Var x)
then show ?case by (auto intro!: hastypeI)
next
  case (Fun f ss τ)
  then show ?case by (simp add:Fun-hastype list-all2-conv-all-nth cong:map-cong)
qed

lemma subst-in-dom-imp-var-in-dom:
  assumes s.θ ∈ dom  $\mathcal{T}(F, V)$  and x ∈ vars s shows θ x ∈ dom  $\mathcal{T}(F, V)$ 
  using assms
proof (induction s)
  case (Var v)
  then show ?case by auto
next
  case (Fun f ss)
  then obtain s where s: s ∈ set ss and s.θ : dom  $\mathcal{T}(F, V)$  and xs: x ∈ vars s
    by (auto dest!: Fun-in-dom-imp-arg-in-dom)
  from Fun.IH[OF this]
  show ?case.
qed

lemma subst-sorted-map-restrict-vars:
  assumes θ: θ :s X →  $\mathcal{T}(F, V)$  and WV: W ⊆m V and s.θ ∈ dom  $\mathcal{T}(F, W)$ 
  shows θ :s X |‘ vars s →  $\mathcal{T}(F, W)$ 
proof (safe intro!: sorted-mapI dest!: hastype-restrict[THEN iffD1])
  fix x σ assume xs: x ∈ vars s and xσ: x : σ in X
  from sorted-mapD[OF θ xσ] have xθσ: θ x : σ in  $\mathcal{T}(F, V)$  by auto
  from subst-in-dom-imp-var-in-dom[OF sθ xs]
  obtain σ' where θ x : σ' in  $\mathcal{T}(F, W)$  by (auto simp: in-dom-iff-ex-type)
  with hastype-in-Term-mono[OF map-le-refl WV this] xθσ
  show θ x : σ in  $\mathcal{T}(F, W)$  by (auto simp: has-same-type)
qed

```

### 4.3.2 Homomorphisms

```

locale sorted-distributive =
  sort-preserving φ A + source: sorted-algebra F A I for F φ A I J +
  assumes distrib: f : σs → τ in F ==> as :I σs in A ==> φ (If as) = Jf (map
φ as)
begin

lemma distrib-eval:
  assumes α: α :s V → A and s: s : σ in  $\mathcal{T}(F, V)$ 
  shows φ (I[s]α) = J[s](φ o α)
proof (insert s, induct rule: hastype-in-Term-induct)
  case (Var v σ)
  then show ?case by auto
next
  case (Fun f ss σs τ)

```

```

note ty = source.map-eval-hastype[OF α Fun(2)]
from Fun(3)[unfolded list-all2-indep2] distrib[OF Fun(1) ty]
show ?case by (auto simp: o-def cong:map-cong)
qed

```

The image of a distributive map forms a sorted algebra.

```

sublocale image: sorted-algebra F φ `` A J
proof (unfold-locales)
  fix f σs τ bs
  assume f: f : σs → τ in F and bs: bs :l σs in φ `` A
  from bs[unfolded hastype-list-in-image]
  obtain as where as: as :l σs in A and asbs: map φ as = bs by auto
  show J f bs : τ in φ `` A
    apply (rule hastype-in-imageI)
    apply (fact source.sort-matches[OF f as])
    by (auto simp: distrib[OF f as] asbs)
qed

```

**end**

```

lemma sorted-distributive-cong:
  fixes A A' :: 'a → 's and φ :: 'a ⇒ 'b and I :: 'f ⇒ 'a list ⇒ 'a
  assumes φ: ⋀a σ. a : σ in A ⇒ φ a = φ' a
  and A: A = A'
  and I: ⋀f σs τ as. f : σs → τ in F ⇒ as :l σs in A ⇒ I f as = I' f as
  and J: ⋀f σs τ as. f : σs → τ in F ⇒ as :l σs in A ⇒ J f (map φ as) =
  J' f (map φ as)
  shows sorted-distributive F φ A I J = sorted-distributive F φ' A' I' J'
proof-
  { fix A A' :: 'a → 's and φ φ' :: 'a ⇒ 'b and I I' :: 'f ⇒ 'a list ⇒ 'a and J J'
  :: 'f ⇒ 'b list ⇒ 'b
    assume φ: ⋀a σ. a : σ in A ⇒ φ a = φ' a
    have map-eq: as :l σs in A ⇒ map φ as = map φ' as for as σs
      by (auto simp: list-eq-iff-nth-eq φ dest:list-all2-nthD)
    { assume A: A = A'
      and I: ⋀f σs τ as. f : σs → τ in F ⇒ as :l σs in A' ⇒ I f as = I' f as
      and J: ⋀f σs τ as. f : σs → τ in F ⇒ as :l σs in A' ⇒ J f (map φ as)
      = J' f (map φ as)
      { assume hom: sorted-distributive F φ' A' I' J'
        from hom interpret sorted-distributive F φ' A' I' J'.
        interpret I: sorted-algebra F A I
        using source.sort-matches A I by (auto intro!: sorted-algebra.intro)
        have sorted-distributive F φ A I J
        proof (intro sorted-distributive.intro sorted-distributive-axioms.intro
          I.sorted-algebra-axioms)
          show sort-preserving φ A using sort-preserving-axioms[folded A] φ
            by (simp cong: sort-preserving-cong)
          fix f σs τ as
          assume f: f : σs → τ in F and as: as :l σs in A

```

```

from distrib[OF f as[unfolded A]]  $\varphi$  as I.sort-matches[OF f as]
    I[OF f as[unfolded A]]
    show  $\varphi(I f as) = J f$  (map  $\varphi$  as) by (auto simp: map-eq[symmetric])  $A$ 
intro!: J[OF f, symmetric])
    qed
}
}
note this map-eq
}
note  $*$  = this(1) and map-eq = this(2)
from map-eq[unfolded atomize-imp atomize-all, folded atomize-imp]  $\varphi$ 
have map-eq: as :l σs in A  $\Longrightarrow$  map  $\varphi$  as = map  $\varphi'$  as for as σs by metis
show ?thesis
proof (rule iffI)
    assume pre: sorted-distributive F φ A I J
    show sorted-distributive F φ' A' I' J'
        apply (rule *[rotated -1, OF pre])
        using assms by (auto simp: map-eq)
next
    assume pre: sorted-distributive F φ' A' I' J'
    show sorted-distributive F φ A I J
        apply (rule *[rotated -1, OF pre])
        using assms by auto
qed
qed

lemma sorted-distributive-o:
assumes sorted-distributive F φ A I J and sorted-distributive F ψ (φ `` A) J K
shows sorted-distributive F (ψ ∘ φ) A I K
proof-
    interpret  $\varphi$ : sorted-distributive F φ A I J + ψ: sorted-distributive F ψ φ `` A J K
    using assms.
    interpret sort-preserving  $\psi ∘ φ$  A by (rule sort-preserving-o; unfold-locales)
    show ?thesis
        apply (unfold-locales)
        by (simp add: φ.distrib ψ.distrib[OF - φ.to-image.sorted-map-list])
qed

locale sorted-homomorphism = sorted-distributive F φ A I J + sorted-map φ A B +
target: sorted-algebra F B J for F φ A I B J
begin
end

lemma sorted-homomorphism-o:
assumes sorted-homomorphism F φ A I B J and sorted-homomorphism F ψ B J C K
shows sorted-homomorphism F (ψ ∘ φ) A I C K
proof-

```

```

interpret  $\varphi$ : sorted-homomorphism  $F \varphi A I B J + \psi$ : sorted-homomorphism  $F \psi B J C K$  using assms.
interpret sorted-map  $\psi \circ \varphi A C$ 
  using sorted-map-o[ $OF \varphi.\text{sorted-map-axioms} \psi.\text{sorted-map-axioms}$ ].
show ?thesis
  apply (unfold-locales)
  by (simp add:  $\varphi.\text{distrib} \psi.\text{distrib}[OF - \varphi.\text{sorted-map-list}]$ )
qed

context sorted-algebra begin

context fixes  $\alpha V$  assumes sorted:  $\alpha :_s V \rightarrow A$ 
begin

The term algebra is free in all  $F$ -algebras; that is, every assignment  $\alpha :_s V \rightarrow A$  is extended to a homomorphism  $\lambda s. I[s]\alpha$ .

interpretation sorted-map  $\alpha V A$  using sorted.

interpretation eval: sorted-map  $\langle \lambda s. I[s]\alpha \rangle \langle \mathcal{T}(F, V) \rangle A$  using eval-sorted-map[ $OF$  sorted].
interpretation eval: sorted-homomorphism  $F \langle \lambda s. I[s]\alpha \rangle \langle \mathcal{T}(F, V) \rangle \text{Fun } A I$ 
  apply (unfold-locales) by auto

lemmas eval-sorted-homomorphism = eval.sorted-homomorphism-axioms

end

end

lemma sorted-homomorphism-cong:
fixes  $A A' :: 'a \rightarrow 's$  and  $\varphi :: 'a \Rightarrow 'b$  and  $I :: 'f \Rightarrow 'a$  list  $\Rightarrow 'a$ 
assumes  $\varphi: \bigwedge a \sigma. a : \sigma \text{ in } A \implies \varphi a = \varphi' a$ 
and  $A: A = A'$ 
and  $I: \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies I f as = I' f as$ 
and  $B: B = B'$ 
and  $J: \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies bs :_l \sigma s \text{ in } B \implies J f bs = J' f bs$ 
shows sorted-homomorphism  $F \varphi A I B J = \text{sorted-homomorphism } F \varphi' A' I' B' J'$  (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l
  then interpret sorted-homomorphism  $F \varphi A I B J$ .
  have  $J': as :_l \sigma s \text{ in } A' \implies J f (\text{map } \varphi as) = J' f (\text{map } \varphi as)$  if  $f: f : \sigma s \rightarrow \tau$  in  $F$  for  $f \sigma s \tau$  as
    apply (rule  $J[OF f]$ ) using  $A B$  sorted-map-list by auto
  note * = sorted-distributive-cong[THEN iffD1, rotated -1,  $OF$  sorted-distributive-axioms]
  show ?r
    apply (intro sorted-homomorphism.intro *)
    using assms  $J'$  sorted-map-axioms target.sorted-algebra-axioms

```

```

    by (simp-all cong: sorted-map-cong sorted-algebra-cong)
next
  assume ?r
  then interpret sorted-homomorphism F φ' A' I' B' J'.
  have J': as :l σs in A' ==> J f (map φ' as) = J' f (map φ' as) if f: f : σs → τ
  in F for f σs τ as
    apply (rule J[OF f]) using A B sorted-map-list φ by auto
  note * = sorted-distributive-cong[THEN iffD1, rotated -1, OF sorted-distributive-axioms]
  note 2 = sorted-map-cong[THEN iffD1, rotated -1, OF sorted-map-axioms]
  show ?l
    apply (intro sorted-homomorphism.intro * 2)
    using assms J' target.sorted-algebra-axioms
    by (simp-all cong: sorted-distributive-cong sorted-algebra-cong)
qed

context sort-preserving begin

lemma sort-preserving-map-vars: sort-preserving (map-vars f) T(F,A)
proof
  fix a b σ τ
  assume a: a : σ in T(F,A) and b: b : τ in T(F,A) and eq: map-vars f a =
  map-vars f b
  from a b eq show σ = τ
  proof (induct arbitrary: τ b)
    case (Var x σ)
    then show ?case by (cases b, auto simp: same-value-imp-same-type)
  next
    case IH: (Fun ff ss σs σ)
    show ?case
    proof (cases b)
      case (Var y)
      with IH show ?thesis by auto
    next
      case (Fun gg tt)
      with IH have eq: map (map-vars f) ss = map (map-vars f) tt by (auto simp:
      id-def)
      from arg-cong[OF this, of length] have lensstt: length ss = length tt by auto
      with IH obtain τs where ff2: ff : τs → τ in F and tt: tt :l τs in T(F,A)
      by (auto simp: Fun Fun-hastype)
      from IH have lensss: length ss = length σs by (auto simp: list-all2-lengthD)
      have σs = τs
      proof (unfold list-eq-iff-nth-eq, safe)
        from lensstt tt IH show len2: length σs = length τs by (auto simp:
        list-all2-lengthD)
        fix i assume i < length σs
        with lensss have i: i < length ss by auto
        show σs ! i = τs ! i
        proof(rule list-all2-nthD[OF IH(3) i, rule-format])
          from i lensss lensstt arg-cong[OF eq, of λxs. xs!i]
        qed
      qed
    qed
  qed
qed

```

```

show map-vars f (ss ! i) = map-vars f (tt ! i) by auto
from i lensstt list-all2-nthD[OF tt]
show tt ! i : τs ! i in T(F,A) by auto
qed
qed
with ff2 Fun IH.hyps(1) show σ = τ by (auto simp: hastype-in-ssig-def)
qed
qed
qed
qed

lemma map-vars-image-Term: map-vars f `` T(F,A) = T(F,f `` A) (is ?L = ?R)
proof (intro sset-eqI)
interpret map-vars: sort-preserving map-term (λx. x) f T(F,A) using sort-preserving-map-vars.
fix a σ
show a : σ in ?L ↔ a : σ in ?R
proof (induct a arbitrary: σ)
case (Var x)
then show ?case
by (auto simp: map-vars.hastype-in-image map-term-eq-Var hastype-in-image)
(metis Var-hastype)
next
case IH: (Fun ff as)
show ?case
proof (unfold Fun-hastype map-vars.hastype-in-image map-term-eq-Fun, safe
dest!: Fun-hastype[THEN iffD1])
fix ss σs
assume as: as = map (map-vars f) ss and ff: ff : σs → σ in F and ss: ss
:_l σs in T(F,A)
from ss have map (map-vars f) ss :_l σs in map-vars f `` T(F,A)
by (auto simp: map-vars.hastype-list-in-image)
with IH[unfolded as]
have map (map-vars f) ss :_l σs in T(F,f `` A)
by (auto simp: list-all2-conv-all-nth)
with ff
show ∃σs. ff : σs → σ in F ∧ map (map-vars f) ss :_l σs in T(F,f `` A) by
auto
next
fix σs assume ff: ff : σs → σ in F and as: as :_l σs in T(F,f `` A)
with IH have as :_l σs in map-vars f `` T(F,A)
by (auto simp: map-vars.hastype-in-image list-all2-conv-all-nth)
then obtain ss where ss: ss :_l σs in T(F,A) and as: as = map (map-vars
f) ss
by (auto simp: map-vars.hastype-list-in-image)
from ss ff have a: Fun ff ss : σ in T(F,A) by (auto simp: Fun-hastype)
show ∃a. a : σ in T(F,A) ∧ (∃fa ss. a = Fun fa ss ∧ ff = fa ∧ as = map
(map-vars f) ss)
apply (rule exI[of - Fun ff ss])
using a as by auto
qed

```

```

qed
qed

end

context sorted-map begin

lemma sorted-map-map-vars: map-vars f :s  $\mathcal{T}(F,A) \rightarrow \mathcal{T}(F,B)$ 
proof-
  interpret map-vars: sort-preserving ⟨map-vars f⟩ ⟨ $\mathcal{T}(F,A)$ ⟩ using sort-preserving-map-vars.
  show ?thesis
    apply (unfold map-vars.sorted-map-iff-image-subset)
    apply (unfold map-vars-image-Term)
    apply (rule Term-mono-right)
    using image-subset.
qed

end

```

#### 4.4 Lifting Sorts

By ‘uni-sorted’ we mean the situation where there is only one sort (). This situation is isomorphic to sets.

**definition** unisorted A a ≡ if a ∈ A then Some () else None

```

lemma unisorted-eq-Some[simp]: unisorted A a = Some σ ↔ a ∈ A
  and unisorted-eq-None[simp]: unisorted A a = None ↔ a ∉ A
  and hastype-in-unisorted[simp]: a : σ in unisorted A ↔ a ∈ A
  by (auto simp: unisorted-def hastype-def)

```

```

lemma hastype-list-in-unisorted[simp]: as :l σs in unisorted A ↔ length as =
length σs ∧ set as ⊆ A
  by (auto simp: list-all2-conv-all-nth dest: all-nth-imp-all-set)

```

```

lemma dom-unisorted[simp]: dom (unisorted A) = A
  by (auto simp: unisorted-def domIff split;if-split-asm)

```

```

lemma unisorted-map[simp]:
  f :s unisorted A → τ ↔ f : A → dom τ
  f :s σ → unisorted B ↔ f : dom σ → B
  by (auto simp: sorted-map-def hastype-def domIff)

```

```

lemma image-unisorted[simp]: f `` unisorted A = unisorted (f ` A)
  by (auto intro!:sset-eqI simp: hastype-def sorted-image-def safe-The-eq-Some)

```

```

definition unisorted-sig :: ('f × nat) set ⇒ ('f, unit) ssig
  where unisorted-sig F ≡ λ(f,σs). if (f, length σs) ∈ F then Some () else None

```

```

lemma in-unisorted-sig[simp]: f : σs → τ in unisorted-sig F ↔ (f,length σs) ∈

```

```

F
by (auto simp: unisorted-sig-def hastype-in-ssig-def)

inductive-set uTerm (T'(-,-) [1,1]1000) for F V where
  Var v ∈ T(F,V) if v ∈ V
  | ∀ s ∈ set ss. s ∈ T(F,V) ==> Fun f ss ∈ T(F,V) if (f,length ss) ∈ F

lemma Var-in-Term[simp]: Var x ∈ T(F,V) <=> x ∈ V
  using uTerm.cases by (auto intro: uTerm.intros)

lemma Fun-in-Term[simp]: Fun f ss ∈ T(F,V) <=> (f,length ss) ∈ F ∧ set ss ⊆ T(F,V)
  apply (unfold subset-iff)
  apply (fold Ball-def)
  by (metis (no-types, lifting) term.distinct(1) term.inject(2) uTerm.simps)

lemma hastype-in-unisorted-Term[simp]:
  s : σ in T(unisorted-sig F, unisorted V) <=> s ∈ T(F,V)
proof (induct s)
  case (Var x)
    then show ?case by auto
  next
    case (Fun f ss)
      then show ?case
        by (auto simp: in-dom-iff-ex-type Fun-hastype list-all2-indep2
          intro!: exI[of - replicate (length ss) ()])
qed

lemma unisorted-Term: T(unisorted-sig F, unisorted V) = unisorted T(F,V)
  by (auto intro!: sset-eqI)

locale algebra =
  fixes F :: ('f × nat) set and A :: 'a set and I
  assumes closed: (f, length as) ∈ F ==> set as ⊆ A ==> I f as ∈ A
begin
end

lemma unisorted-algebra: sorted-algebra (unisorted-sig F) (unisorted A) I <=>
algebra F A I
  (is ?l <=> ?r)
proof
  assume ?r
  then interpret algebra.
  show ?l
    apply unfold-locales by (auto simp: list-all2-indep2 intro!: closed)
next
  assume ?l
  then interpret sorted-algebra ⟨unisorted-sig F⟩ ⟨unisorted A⟩ I.
  show ?r

```

```

proof unfold-locales
  fix f as assume f:  $(f, \text{length } as) \in F$  and asA: set as  $\subseteq A$ 
  from f have f : replicate (length as) ()  $\rightarrow$  () in unisorted-sig F by auto
  from sort-matches[OF this] asA
  show I f as  $\in A$  by auto
qed
qed

context algebra begin

interpretation unisorted: sorted-algebra <unisorted-sig F> <unisorted A> I
  apply (unfold unisorted-algebra).. 

lemma eval-closed:  $\alpha : V \rightarrow A \implies s \in \mathfrak{T}(F, V) \implies I[s]\alpha \in A$ 
  using unisorted.eval-hastype[of  $\alpha$  unisorted V] by simp

end

locale distributive =
  source: algebra F A I for F  $\varphi$  A I J +
  assumes distrib:  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies \varphi(I f as) = J f (\text{map } \varphi as)$ 

lemma unisorted-distributive:
  sorted-distributive (unisorted-sig F)  $\varphi$  (unisorted A) I J  $\longleftrightarrow$ 
    distributive F  $\varphi$  A I J (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?r
  then interpret distributive.
  show ?l
    apply (intro sorted-distributive.intro unisorted-algebra[THEN iffD2])
    apply (unfold-locales)
    by (auto intro!: distrib simp: list-all2-same-right)
next
  assume ?l
  then interpret sorted-distributive <unisorted-sig F>  $\varphi$  <unisorted A> I J.
  from source.sorted-algebra-axioms
  interpret source: algebra F A I by (unfold unisorted-algebra)
  show ?r
proof unfold-locales
  fix f as
  show  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies \varphi(I f as) = J f (\text{map } \varphi as)$ 
    using distrib[of f replicate (length as) () - as]
    by auto
qed
qed

locale homomorphism =
  distributive F  $\varphi$  A I J + target: algebra F B J for F  $\varphi$  A I B J +

```

```

assumes funcset:  $\varphi : A \rightarrow B$ 

lemma unisorted-homomorphism:
  sorted-homomorphism (unisorted-sig  $F$ )  $\varphi$  (unisorted  $A$ )  $I$  (unisorted  $B$ )  $J \longleftrightarrow$ 
  homomorphism  $F \varphi A I B J$  (is  $?l \longleftrightarrow ?r$ )
  by (auto simp: sorted-homomorphism-def unisorted-distributive unisorted-algebra
    homomorphism-def homomorphism-axioms-def)

lemma homomorphism-cong:
  assumes  $\varphi: \bigwedge a. a \in A \implies \varphi a = \varphi' a$ 
  and  $A: A = A'$ 
  and  $I: \bigwedge f as. (f, length as) \in F \implies I f as = I' f as$ 
  and  $B: B = B'$ 
  and  $J: \bigwedge f bs. (f, length bs) \in F \implies J f bs = J' f bs$ 
  shows homomorphism  $F \varphi A I B J = \text{homomorphism } F \varphi' A' I' B' J'$ 
proof -
  note sorted-homomorphism-cong
  [where  $F = \text{unisorted-sig } F$  and  $A = \text{unisorted } A$  and  $A' = \text{unisorted } A'$  and
   $B = \text{unisorted } B$  and  $B' = \text{unisorted } B'$ ]
  note  $* = \text{this}[\text{unfolded unisorted-homomorphism}]$ 
  show ?thesis apply (rule *)
    by (auto simp: A B  $\varphi I J$  list-all2-same-right)
qed

context algebra begin

interpretation unisorted: sorted-algebra <unisorted-sig  $F$ > <unisorted  $A$ >  $I$ 
  apply (unfold unisorted-algebra).. 

lemma eval-homomorphism:  $\alpha : V \rightarrow A \implies \text{homomorphism } F (\lambda s. I[s]\alpha) \mathfrak{T}(F, V)$ 
  Fun  $A I$ 
  apply (fold unisorted-homomorphism)
  apply (fold unisorted-Term)
  apply (rule unisorted.eval-sorted-homomorphism)
  by auto

end

context homomorphism begin

interpretation unisorted: sorted-homomorphism <unisorted-sig  $F$ >  $\varphi$  <unisorted  $A$ >  $I$  <unisorted  $B$ >  $J$ 
  apply (unfold unisorted-homomorphism).. 

lemma distrib-eval:  $\alpha : V \rightarrow A \implies s \in \mathfrak{T}(F, V) \implies \varphi (I[s]\alpha) = J[s](\varphi \circ \alpha)$ 
  using unisorted.distrib-eval[of - unisorted  $V$ ] by simp

end

```

By ‘unsorted’ we mean the situation where any element has the unique type

().

**lemma** *Term-UNIV[simp]*:  $\mathfrak{T}(UNIV, UNIV) = UNIV$

**proof-**

have  $s \in \mathfrak{T}(UNIV, UNIV)$  for  $s$  by (induct  $s$ , auto)

then show ?thesis by auto

qed

When the carrier is unsorted, any interpretation forms an algebra.

**interpretation** *unsorted*: *algebra UNIV UNIV I*

rewrites  $\bigwedge a. a \in UNIV \longleftrightarrow True$

and  $\bigwedge P0. (True \implies P0) \equiv Trueprop P0$

and  $\bigwedge P0. (True \implies PROP P0) \equiv PROP P0$

and  $\bigwedge P0 P1. (True \implies PROP P1 \implies P0) \equiv (PROP P1 \implies P0)$

for  $F I$

apply unfold-locales by auto

**interpretation** *unsorted.eval*: *homomorphism UNIV  $\lambda s. I[s]\alpha$  UNIV Fun UNIV*

*I*

rewrites  $\bigwedge a. a \in UNIV \longleftrightarrow True$

and  $\bigwedge X. X \subseteq UNIV \longleftrightarrow True$

and  $\bigwedge P0. (True \implies P0) \equiv Trueprop P0$

and  $\bigwedge P0. (True \implies PROP P0) \equiv PROP P0$

and  $\bigwedge P0 P1. (True \implies PROP P1 \implies P0) \equiv (PROP P1 \implies P0)$

for  $I$

using *unsorted.eval-homomorphism[of - UNIV]* by auto

Evaluation distributes over evaluations in the term algebra, i.e., substitutions.

**lemma** *subst-eval*:  $I[s.\vartheta]\alpha = I[s](\lambda x. I[\vartheta x]\alpha)$

using *unsorted.eval.distrib-eval[of - UNIV, unfolded o-def]*

by auto

**lemmas** *subst-subst* = *subst-eval[of Fun]*

#### 4.4.1 Collecting Variables via Evaluation

**definition** *var-list-term*  $t \equiv (\lambda f. concat)[t](\lambda v. [v])$

**lemma** *var-list-Fun[simp]*: *var-list-term (Fun f ss) = concat (map var-list-term ss)*

and *var-list-Var[simp]*: *var-list-term (Var x) = [x]*

by (simp-all add: *var-list-term-def[abs-def]*)

**lemma** *set-var-list[simp]*: *set (var-list-term s) = vars s*

by (induct  $s$ , auto simp: *var-list-term-def*)

**lemma** *eval-subset-Un-vars*:

assumes  $\forall f as. foo(I f as) \subseteq \bigcup(foo ` set as)$

shows  $foo(I[s]\alpha) \subseteq (\bigcup_{x \in vars-term s} foo(\alpha x))$

**proof** (induct  $s$ )

```

case (Var x)
show ?case by simp
next
  case (Fun f ss)
  have foo (I[Fun f ss] $\alpha$ ) = foo (I f (map ( $\lambda$ s. I[s] $\alpha$ ) ss)) by simp
  also note assms[rule-format]
  also have  $\bigcup$  (foo ‘set (map ( $\lambda$ s. I[s] $\alpha$ ) ss)) = ( $\bigcup$  s  $\in$  set ss. foo (I[s] $\alpha$ )) by simp
  also have ...  $\subseteq$  ( $\bigcup$  s  $\in$  set ss. ( $\bigcup$  x  $\in$  vars-term s. foo ( $\alpha$  x)))
  apply (rule UN-mono)
  using Fun by auto
  finally show ?case by simp
qed

```

#### 4.4.2 Ground terms

```

lemma hastype-in-Term-empty-imp-vars: s :  $\sigma$  in  $\mathcal{T}(F, \emptyset)$   $\implies$  vars s = {}
  by (auto dest: hastype-in-Term-imp-vars-subset)

lemma hastype-in-Term-empty-imp-vars-subst: s :  $\sigma$  in  $\mathcal{T}(F, \emptyset)$   $\implies$  vars (s. $\vartheta$ ) =
  {}
  by (auto simp: vars-term-subst-apply-term hastype-in-Term-empty-imp-vars)

lemma ground-Term-iff: s :  $\sigma$  in  $\mathcal{T}(F, V)$   $\wedge$  ground s  $\longleftrightarrow$  s :  $\sigma$  in  $\mathcal{T}(F, \emptyset)$ 
  using hastype-in-Term-restrict-vars[of s  $\sigma$  F V]
  by (auto simp: hastype-in-Term-empty-imp-vars ground-vars-term-empty)

lemma hastype-in-Term-empty-imp-subst:
  s :  $\sigma$  in  $\mathcal{T}(F, \emptyset)$   $\implies$  s. $\vartheta$  :  $\sigma$  in  $\mathcal{T}(F, V)$ 
  by (rule subst-hastype, auto)

locale subsignature = fixes F G :: ('f, 's) ssig assumes subssig: F  $\subseteq_m$  G
begin

  lemmas Term-subset = Term-mono-left[OF subssig]
  lemmas hastype-in-Term-sub = Term-subset[THEN subssigD]

  lemma subsignature: f :  $\sigma$  s  $\rightarrow$   $\tau$  in F  $\implies$  f :  $\sigma$  s  $\rightarrow$   $\tau$  in G
    using subssig by (auto dest: subssigD)

  end

  locale subsignature-algebra = subsignature + super: sorted-algebra G
  begin

    sublocale sorted-algebra F A I
      apply unfold-locales
      using super.sort-matches[OF subssigD[OF subssig]] by auto

  end

```

```

locale subalgebra = sorted-algebra F A I + super: sorted-algebra G B J +
  subsignature F G
  for F :: ('f,'s) ssig and A :: 'a → 's and I
  and G :: ('f,'s) ssig and B :: 'a → 's and J +
  assumes subcar: A ⊆m B
  assumes subintp: f : σs → τ in F ⇒ as :I σs in A ⇒ If as = Jf as
begin

lemma subcarrier: a : σ in A ⇒ a : σ in B
  using subcar by (auto dest: subsetD)

lemma subeval:
  assumes s: s : σ in T(F,V) and α: α :s V → A shows J[s]α = I[s]α
  proof (insert s, induct rule: hastype-in-Term-induct)
    case (Var v σ)
    then show ?case by auto
  next
    case (Fun f ss σs τ)
    then show ?case
      by (auto simp: list-all2-indep2 cong:map-cong intro!:subintp[symmetric] map-eval-hastype
        α)
  qed

end

lemma term-subalgebra:
  assumes FG: F ⊆m G and VW: V ⊆m W
  shows subalgebra F T(F,V) Fun G T(G,W) Fun
  apply unfold-locales
  using FG VW Term-mono[OF FG VW] by auto

```

An algebra where every element has a representation:

```

locale sorted-algebra-constant = sorted-algebra-syntax +
  fixes const
  assumes vars-const[simp]: ⋀d. vars (const d) = {}
  assumes eval-const[simp]: ⋀d α. I[e]const d]α = d
begin

lemma eval-subst-const[simp]: I[e · (const ∘ α)]β = I[e]α
  by (induct e, auto simp:o-def intro!:arg-cong[of - - I -])

lemma eval-upd-as-subst: I[e]α(x:=a) = I[e · Var(x:=const a)]α
  by (induct e, auto simp: o-def intro: arg-cong[of - - I -])

end

context sorted-algebra-syntax begin

```

**definition** *constant-at f σs i* ≡  
 $\forall as\ b. as :_l \sigma s \text{ in } A \longrightarrow A\ b = A\ (as!i) \longrightarrow If\ (as[i:=b]) = If\ as$

**lemma** *constant-atI[intro]*:  
**assumes**  $\bigwedge as\ b. as :_l \sigma s \text{ in } A \implies A\ b = A\ (as!i) \implies If\ (as[i:=b]) = If\ as$   
**shows** *constant-at f σs i* **using assms by** (auto simp: constant-at-def)

**lemma** *constant-atD*:  
*constant-at f σs i* **implies**  $as :_l \sigma s \text{ in } A \implies A\ b = A\ (as!i) \implies If\ (as[i:=b]) = If\ as$   
**by** (auto simp: constant-at-def)

**lemma** *constant-atE[elim]*:  
**assumes** *constant-at f σs i*  
**and**  $(\bigwedge as\ b. as :_l \sigma s \text{ in } A \implies A\ b = A\ (as!i) \implies If\ (as[i:=b]) = If\ as) \implies thesis$   
**shows** *thesis* **using assms by** (auto simp: constant-at-def)

**definition** *constant-term-on s x* ≡  $\forall \alpha\ a. I[s]\alpha(x:=a) = I[s]\alpha$

**lemma** *constant-term-onI*:  
**assumes**  $\bigwedge \alpha\ a. I[s]\alpha(x:=a) = I[s]\alpha$  **shows** *constant-term-on s x*  
**using assms by** (auto simp: constant-term-on-def)

**lemma** *constant-term-onD*:  
**assumes** *constant-term-on s x* **shows**  $I[s]\alpha(x:=a) = I[s]\alpha$   
**using assms by** (auto simp: constant-term-on-def)

**lemma** *constant-term-onE*:  
**assumes** *constant-term-on s x* **and**  $(\bigwedge \alpha\ a. I[s]\alpha(x:=a) = I[s]\alpha) \implies thesis$   
**shows** *thesis* **using assms by** (auto simp: constant-term-on-def)

**lemma** *constant-term-on-extra-var*:  $x \notin vars\ s \implies \text{constant-term-on}\ s\ x$   
**by** (auto intro!: constant-term-onI simp: eval-with-fresh-var)

**lemma** *constant-term-on-eq*:  
**assumes**  $st: I[s] = I[t] \text{ and } s: \text{constant-term-on}\ s\ x$  **shows** *constant-term-on t x*  
**using** *s fun-cong[OF st]* **by** (auto simp: constant-term-on-def)

**definition** *constant-term s* ≡  $\forall x. \text{constant-term-on}\ s\ x$

**lemma** *constant-termI*: **assumes**  $\bigwedge x. \text{constant-term-on}\ s\ x$  **shows** *constant-term s*  
**using assms by** (auto simp: constant-term-def)

**lemma** *ground-imp-constant*:  $vars\ s = \{\} \implies \text{constant-term}\ s$   
**by** (auto intro!: constant-termI constant-term-on-extra-var)

```
end
```

```
end
```

## 5 Sorted Contexts

```
theory Sorted-Contexts
```

```
imports
```

```
First-Order-Terms.Subterm-and-Context
```

```
Sorted-Terms
```

```
begin
```

```
lemma subt-in-dom:
```

```
assumes s: s ∈ dom  $\mathcal{T}(F, V)$  and st: s ⊇ t shows t ∈ dom  $\mathcal{T}(F, V)$ 
```

```
using st s
```

```
proof (induction)
```

```
case (refl t)
```

```
then show ?case.
```

```
next
```

```
case (subt u ss t f)
```

```
from Fun-in-dom-imp-arg-in-dom[OF ⟨Fun f ss ∈ dom  $\mathcal{T}(F, V)$ ⟩ ⟨u ∈ set ss⟩]  
subt.IH
```

```
show ?case by auto
```

```
qed
```

```
inductive has-type-context :: ('f,'s) ssig ⇒ ('v → 's) ⇒ 's ⇒ ('f,'v) ctxt ⇒ 's ⇒ bool
```

```
for F V σ where
```

```
Hole: has-type-context F V σ Hole σ
```

```
| More: f : σb @ ρ # σa → τ in F ==>
```

```
bef :l σb in  $\mathcal{T}(F, V)$  ==> has-type-context F V σ C ρ ==> aft :l σa in  $\mathcal{T}(F, V)$ 
```

```
==>
```

```
has-type-context F V σ (More f bef C aft) τ
```

```
hide-fact (open) Hole More
```

```
abbreviation has-type-context' (((-) :c / (-) → (-) in/  $\mathcal{T}'(-,-')$ ) [50,61,51,51,51]50)
```

```
where C :c σ → τ in  $\mathcal{T}(F, V)$  ≡ has-type-context F V σ C τ
```

```
lemma hastype-context-apply:
```

```
assumes C :c σ → τ in  $\mathcal{T}(F, V)$  and t : σ in  $\mathcal{T}(F, V)$ 
```

```
shows C(t) : τ in  $\mathcal{T}(F, V)$ 
```

```
using assms
```

```
proof induct
```

```
case (More f σb ρ σa τ bef C aft)
```

```
show ?case unfolding ctxt-apply-term.simps
```

```

proof (intro Fun-hastypeI[OF More(1)])
  show bef @  $C\langle t \rangle \# aft :_l \sigma b @ \varrho \# \sigma a$  in  $\mathcal{T}(F, V)$ 
    using More(2,5) More(4)[OF More(6)]
    by (simp add: list-all2-appendI)
  qed
qed auto

lemma hastype-context-decompose:
  assumes  $C\langle t \rangle : \tau$  in  $\mathcal{T}(F, V)$ 
  shows  $\exists \sigma. C :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, V) \wedge t : \sigma$  in  $\mathcal{T}(F, V)$ 
  using assms
proof (induct C arbitrary:  $\tau$ )
  case Hole
  then show ?case by (auto intro: has-type-context.Hole)
next
  case (More f bef C aft  $\tau$ )
  from More(2) have Fun f (bef @  $C\langle t \rangle \# aft$ ) :  $\tau$  in  $\mathcal{T}(F, V)$  by auto
  from this[unfolded Fun-hastype] obtain  $\sigma s$  where
     $f: f : \sigma s \rightarrow \tau$  in  $F$  and list: bef @  $C\langle t \rangle \# aft :_l \sigma s$  in  $\mathcal{T}(F, V)$ 
    by auto
  from list have  $len: length \sigma s = length bef + Suc (length aft)$ 
    by (simp add: list-all2-conv-all-nth)
  let  $?i = length bef$ 
  from len have  $?i < length \sigma s$  by auto
  hence id: take ?i  $\sigma s @ \sigma s ! ?i \# drop (Suc ?i) \sigma s = \sigma s$ 
    by (metis id-take-nth-drop)
  from list have  $Ct: C\langle t \rangle : \sigma s ! ?i$  in  $\mathcal{T}(F, V)$ 
    by (metis (no-types, lifting) list-all2-Cons1 list-all2-append1 nth-append-length)
  from list have bef: bef :_l take ?i  $\sigma s$  in  $\mathcal{T}(F, V)$ 
    by (metis (no-types, lifting) append-eq-conv-conj list-all2-append1)
  from list have aft: aft :_l drop (Suc ?i)  $\sigma s$  in  $\mathcal{T}(F, V)$ 
    by (metis (no-types, lifting) Cons-nth-drop-Suc append-eq-conv-conj drop-all
      length-greater-0-conv linorder-le-less-linear list.rel-inject(2) list.simps(3) list-all2-append1)
    from More(1)[OF Ct] obtain  $\sigma$  where  $C: C :_c \sigma \rightarrow \sigma s ! ?i$  in  $\mathcal{T}(F, V)$  and  $t:$ 
       $t : \sigma$  in  $\mathcal{T}(F, V)$ 
      by auto
    show ?case
      by (intro exI[of -  $\sigma$ ] conjI has-type-context.More[OF - bef - aft, of -  $\sigma s ! ?i$ ] C
        t, unfold id, rule f)
  qed

lemma apply-ctxt-in-dom-imp-in-dom:
  assumes  $C\langle t \rangle \in \text{dom } \mathcal{T}(F, V)$ 
  shows  $t \in \text{dom } \mathcal{T}(F, V)$ 
  apply (rule subt-in-dom[OF assms]) by simp

lemma apply-ctxt-hastype-imp-hastype-context:
  assumes  $C: C\langle t \rangle : \tau$  in  $\mathcal{T}(F, V)$  and  $t: t : \sigma$  in  $\mathcal{T}(F, V)$ 
  shows  $C :_c \sigma \rightarrow \tau$  in  $\mathcal{T}(F, V)$ 

```

```

using hastype-context-decompose[OF C] t by (auto simp: has-same-type)

lemma subst-apply ctxt-sorted:
assumes C :c σ → τ in T(F,X) and θ :s X → T(F,V)
shows C ·c θ :c σ → τ in T(F,V)
using assms
proof(induct arbitrary: θ rule: hastype-context.induct)
  case (Hole)
  then show ?case by (simp add: hastype-context.Hole)
next
  case (More f σ b ρ σ a τ bef C aft)
  have fssig: f : σb @ ρ # σa → τ in F using More(1) .
  have bef:bef :l σb in T(F,X) using More(2) .
  have Cssig:C :c σ → ρ in T(F,X) using More(3) .
  have aft:aft :l σa in T(F,X) using More(5) .
  have theta:θ :s X → T(F,V) using More(6) .
  hence ctheta:C ·c θ :c σ → ρ in T(F,V) using More(4) by simp
  have len-bef:length bef = length σb using bef list-all2-iff by blast
  have len-aft:length aft = length σa using aft list-all2-iff by blast
  { fix i
    assume len-i:i < length σb
    hence bef ! i · θ : σb ! i in T(F,V)
    proof -
      have bef ! i : σb ! i in T(F,X) using bef
        by (simp add: len-i list-all2-conv-all-nth)
      from subst-hastype[OF theta this]
      show ?thesis.
    qed
  } note *= this
  have mb: map (λt. t · θ) bef :l σb in T(F,V) using length-map
    by (auto simp: theta bef list-all2-conv-all-nth len-bef)
  { fix i
    assume len-i:i < length σa
    hence aft ! i · θ : σa ! i in T(F,V)
    proof -
      have aft ! i : σa ! i in T(F,X) using aft
        by (simp add: len-i list-all2-conv-all-nth)
      from subst-hastype[OF theta this]
      show ?thesis.
    qed
  } note **= this
  have ma: map (λt. t · θ) aft :l σa in T(F,V) using length-map
    by (auto simp: theta aft list-all2-conv-all-nth len-aft)
  show More f bef C aft ·c θ :c σ → τ in T(F,V)
    by (auto intro!: hastype-context.intros fssig simp: ctheta mb ma)
qed

end

```

## References

- [1] C. Sternagel and R. Thiemann. First-order terms. *Archive of Formal Proofs*, February 2018. [https://isa-afp.org/entries/First\\_Order\\_Terms.html](https://isa-afp.org/entries/First_Order_Terms.html), Formal proof development.
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