

Shallow Expressions

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Abstract

Most verification techniques use expressions, for example when assigning to variables or forming assertions over the state. Deep embeddings provide such expressions using a datatype, which allows queries over the syntax, such as calculating the free variables, and performing substitutions. Shallow embeddings, in contrast, model expressions as query functions over the state type, and are more amenable to automating verification tasks. The goal of this library is provide an intuitive implementation of shallow expressions, which nevertheless provides many of the benefits of a deep embedding. We harness the Optics library to provide an algebraic semantics for state variables, and use syntax translations to provide an intuitive lifted expression syntax. Furthermore, we provide a variety of meta-logic-style queries on expressions, such as dependencies on a state variable, and substitution of a variable for an expression. We also provide proof methods, based on the simplifier, to automate the associated proof tasks.

Contents

1	Introduction	3
2	Variables as Lenses	3
2.1	Constructors	4
2.2	Syntax Translations	7
2.3	Simplifications	10
3	Expressions	10
3.1	Types and Constructs	10
3.2	Lifting Parser and Printer	11
3.3	Reasoning	13
3.4	Algebraic laws	14

4	Unrestriction	15
5	Substitutions	18
5.1	Types and Constants	18
5.2	Syntax Translations	19
5.3	Substitution Laws	21
5.4	Proof rules	23
5.5	Ordering substitutions	24
5.6	Substitution Unrestriction Laws	24
5.7	Conditional Substitution Laws	24
5.8	Substitution Restriction Laws	25
5.9	Evaluation	25
6	Extension and Restriction	27
6.1	Syntax	27
6.2	Laws	27
6.3	Substitutions	28
6.4	Liberation	29
6.5	Definition and Syntax	29
6.6	Laws	30
6.7	Quantifying Lenses	30
6.8	Operators and Syntax	31
6.9	Laws	31
6.10	Cylindric Algebra	32
7	Collections	33
7.1	Partial Lens Definedness	33
7.2	Dynamic Lenses	34
7.3	Overloaded Collection Lens	34
7.4	Syntax for Collection Lenses	35
8	Named Expression Definitions	36
9	Local State	36
10	Shallow Expressions Meta-Theory	37
11	Expression Test Cases and Examples	37
12	Examples of Shallow Expressions	39
12.1	Basic Expressions and Queries	39
12.2	Hierarchical State	41
12.3	Program Semantics	41

1 Introduction

This session provides a library for expressions in shallow embeddings, based on the Optics package [5]. It provides the following key features:

1. Parse and print translations for converting between intuitive expression syntax, and state functions using lenses to model variables. The translation uses the type system to determine whether each free variable in an expression is (1) a lens (i.e. a state variable); (2) another expression; (3) a literal, and gives the correct interpretation for each. The lifting mechanism is manifested through the bracket notation, $(_)_e$, but can usually be hidden behind syntax.
2. Syntax for complex state variable constructions, using the lens operators [5], such as simultaneous assignment, hierarchical state, and initial/final state variables.
3. The “unrestriction” predicate [7, 3], $x \# e$, which characterises whether an expression e depends on a particular variable x or not, based on the lens laws. It can often be used as a replacement for syntactically checking for free variables in a deep embedding, as needed in several verification techniques.
4. Semantic substitution of variables for expressions, $e[v/x]$, with support for evaluation from the simplifier. A notation is provided for expressing substitution objects as a sequence of simultaneous variable assignments, $[x_1 \rightsquigarrow e_1, x_2 \rightsquigarrow e_2, \dots]$.
5. Collection lenses, $x[i]$, which can be used to model updating a component of a larger structure, for example mutating an element of an array by its index.
6. Supporting transformations and constructors for expressions, such as state extension, state restriction, and quantifiers.

The majority of these concepts have been adapted from Isabelle/UTP [3], but have been generalised for use in other Isabelle-based verification tools. Several proof methods are provided, such as for discharging unrestriction conditions (`unrest`) and evaluating substitutions (`subst_eval`).

The Shallow Expressions library has been applied in the IsaVODEs tool [6], for verifying hybrid systems, and Isabelle/ITrees [4], for verification of process-algebraic languages.

2 Variables as Lenses

`theory Variables`

```

imports Optics.Optics
begin

```

Here, we implement foundational constructors and syntax translations for state variables, using lens manipulations, for use in shallow expressions.

2.1 Constructors

The following bundle allows us to override the colon operator, which we use for variable namespaces.

```

bundle Expression-Syntax
begin

```

```

no-notation

```

```

  Set.member (<'(:)>) and
  Set.member (<(<notation=<infix :>>- / : -)> [51, 51] 50)

```

```

end

```

```

unbundle Expression-Syntax

```

```

declare fst-vwb-lens [simp] and snd-vwb-lens [simp]

```

Lenses [3] can also be used to effectively define sets of variables. Here we define the the universal alphabet (Σ) to be the bijective lens 1_L . This characterises the whole of the source type, and thus is effectively the set of all alphabet variables.

```

definition univ-var :: (' $\alpha$   $\implies$  ' $\alpha$ ) (v) where
[lens-defs]: univ-var =  $1_L$ 

```

```

lemma univ-var-vwb [simp]: vwb-lens univ-var
  <proof>

```

```

definition univ-alpha :: ' $s$  scene where
[lens-defs]: univ-alpha =  $\top_S$ 

```

```

definition emp-alpha :: ' $s$  scene where
[lens-defs]: emp-alpha =  $\perp_S$ 

```

```

definition var-alpha :: (' $a$   $\implies$  ' $s$ )  $\Rightarrow$  ' $s$  scene where
[lens-defs]: var-alpha  $x$  = lens-scene  $x$ 

```

```

definition ns-alpha :: (' $b$   $\implies$  ' $c$ )  $\Rightarrow$  (' $a$   $\implies$  ' $b$ )  $\Rightarrow$  ' $a$   $\implies$  ' $c$  where
[lens-defs]: ns-alpha  $a$   $x$  =  $x ;_L a$ 

```

```

definition var-fst :: (' $a$   $\times$  ' $b$   $\implies$  ' $s$ )  $\Rightarrow$  (' $a$   $\implies$  ' $s$ ) where
[lens-defs]: var-fst  $x$  = fst $_L ;_L x$ 

```

definition $var\text{-}snd :: ('a \times 'b \Longrightarrow 's) \Rightarrow ('b \Longrightarrow 's)$ **where**
 $[lens\text{-}defs]: var\text{-}snd\ x = snd_L ;_L x$

definition $var\text{-}pair :: ('a \Longrightarrow 's) \Rightarrow ('b \Longrightarrow 's) \Rightarrow ('a \times 'b \Longrightarrow 's)$ **where**
 $[lens\text{-}defs]: var\text{-}pair\ x\ y = x +_L y$

abbreviation $var\text{-}member :: ('a \Longrightarrow 's) \Rightarrow 's\ scene \Rightarrow bool$ (**infix** \in_v 50) **where**
 $x \in_v A \equiv var\text{-}alpha\ x \leq A$

lemma $ns\text{-}alpha\text{-}weak$ $[simp]: \llbracket weak\text{-}lens\ a; weak\text{-}lens\ x \rrbracket \Longrightarrow weak\text{-}lens\ (ns\text{-}alpha\ a\ x)$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}mwb$ $[simp]: \llbracket mwb\text{-}lens\ a; mwb\text{-}lens\ x \rrbracket \Longrightarrow mwb\text{-}lens\ (ns\text{-}alpha\ a\ x)$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}vwb$ $[simp]: \llbracket vwb\text{-}lens\ a; vwb\text{-}lens\ x \rrbracket \Longrightarrow vwb\text{-}lens\ (ns\text{-}alpha\ a\ x)$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}indep\text{-}1$ $[simp]: a \bowtie b \Longrightarrow ns\text{-}alpha\ a\ x \bowtie ns\text{-}alpha\ b\ y$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}indep\text{-}2$ $[simp]: a \bowtie y \Longrightarrow ns\text{-}alpha\ a\ x \bowtie y$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}indep\text{-}3$ $[simp]: x \bowtie b \Longrightarrow x \bowtie ns\text{-}alpha\ b\ y$
 $\langle proof \rangle$

lemma $ns\text{-}alpha\text{-}indep\text{-}4$ $[simp]: \llbracket mwb\text{-}lens\ a; x \bowtie y \rrbracket \Longrightarrow ns\text{-}alpha\ a\ x \bowtie ns\text{-}alpha\ a\ y$
 $\langle proof \rangle$

lemma $var\text{-}fst\text{-}mwb$ $[simp]: mwb\text{-}lens\ x \Longrightarrow mwb\text{-}lens\ (var\text{-}fst\ x)$
 $\langle proof \rangle$

lemma $var\text{-}snd\text{-}mwb$ $[simp]: mwb\text{-}lens\ x \Longrightarrow mwb\text{-}lens\ (var\text{-}snd\ x)$
 $\langle proof \rangle$

lemma $var\text{-}fst\text{-}vwb$ $[simp]: vwb\text{-}lens\ x \Longrightarrow vwb\text{-}lens\ (var\text{-}fst\ x)$
 $\langle proof \rangle$

lemma $var\text{-}snd\text{-}vwb$ $[simp]: vwb\text{-}lens\ x \Longrightarrow vwb\text{-}lens\ (var\text{-}snd\ x)$
 $\langle proof \rangle$

lemma $var\text{-}fst\text{-}indep\text{-}1$ $[simp]: x \bowtie y \Longrightarrow var\text{-}fst\ x \bowtie y$
 $\langle proof \rangle$

lemma *var-fst-indep-2* [simp]: $x \bowtie y \implies x \bowtie \text{var-fst } y$
 ⟨proof⟩

lemma *var-snd-indep-1* [simp]: $x \bowtie y \implies \text{var-snd } x \bowtie y$
 ⟨proof⟩

lemma *var-snd-indep-2* [simp]: $x \bowtie y \implies x \bowtie \text{var-snd } y$
 ⟨proof⟩

lemma *mwb-var-pair* [simp]: $\llbracket \text{mwb-lens } x; \text{mwb-lens } y; x \bowtie y \rrbracket \implies \text{mwb-lens } (\text{var-pair } x \ y)$
 ⟨proof⟩

lemma *vwb-var-pair* [simp]: $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \bowtie y \rrbracket \implies \text{vwb-lens } (\text{var-pair } x \ y)$
 ⟨proof⟩

lemma *var-pair-pres-indep* [simp]:
 $\llbracket x \bowtie y; x \bowtie z \rrbracket \implies x \bowtie \text{var-pair } y \ z$
 $\llbracket x \bowtie y; x \bowtie z \rrbracket \implies \text{var-pair } y \ z \bowtie x$
 ⟨proof⟩

definition *res-alpha* :: $('a \implies 'b) \Rightarrow ('c \implies 'b) \Rightarrow 'a \implies 'c$ **where**
 [lens-defs]: *res-alpha* $x \ a = x /_L a$

lemma *idem-scene-var* [simp]:
 $\text{vwb-lens } x \implies \text{idem-scene } (\text{var-alpha } x)$
 ⟨proof⟩

lemma *var-alpha-combine*: $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \bowtie y \rrbracket \implies \text{var-alpha } x \sqcup_S \text{var-alpha } y = \text{var-alpha } (x +_L y)$
 ⟨proof⟩

lemma *var-alpha-indep* [simp]:
assumes $\text{vwb-lens } x \ \text{vwb-lens } y$
shows $\text{var-alpha } x \bowtie_S \text{var-alpha } y \longleftrightarrow x \bowtie y$
 ⟨proof⟩

lemma *pre-var-indep-prod* [simp]: $x \bowtie a \implies \text{ns-alpha } \text{fst}_L \ x \ \bowtie \ a \times_L \ b$
 ⟨proof⟩

lemma *post-var-indep-prod* [simp]: $x \bowtie b \implies \text{ns-alpha } \text{snd}_L \ x \ \bowtie \ a \times_L \ b$
 ⟨proof⟩

lemma *lens-indep-impl-scene-indep-var* [simp]:
 $(X \bowtie Y) \implies \text{var-alpha } X \ \bowtie_S \ \text{var-alpha } Y$
 ⟨proof⟩

declare *lens-scene-override* [simp]

declare *uminus-scene-twice* [*simp*]

lemma *var-alpha-override* [*simp*]:

mwb-lens $X \implies s_1 \oplus_S s_2$ on *var-alpha* $X = s_1 \oplus_L s_2$ on X
 ⟨*proof*⟩

lemma *var-alpha-indep-compl* [*simp*]:

assumes *vwb-lens* x *vwb-lens* y
shows *var-alpha* $x \bowtie_S -$ *var-alpha* $y \iff x \subseteq_L y$
 ⟨*proof*⟩

lemma *var-alpha-subset* [*simp*]:

assumes *vwb-lens* x *vwb-lens* y
shows *var-alpha* $x \leq$ *var-alpha* $y \iff x \subseteq_L y$
 ⟨*proof*⟩

2.2 Syntax Translations

In order to support nice syntax for variables, we here set up some translations. The first step is to introduce a collection of non-terminals.

nonterminal *svid* **and** *svids* **and** *salpha* **and** *sframe-enum* **and** *sframe*

These non-terminals correspond to the following syntactic entities. Non-terminal *svid* is an atomic variable identifier, and *svids* is a list of identifier. *salpha* is an alphabet or set of variables. *sframe* is a frame. Such sets can be constructed only through lens composition due to typing restrictions. Next we introduce some syntax constructors.

syntax — Identifiers

-svid :: *id-position* \Rightarrow *svid* (- [999] 999)
-svlongid :: *longid-position* \Rightarrow *svid* (- [999] 999)
 :: *svid* \Rightarrow *svids* (-)
-svid-list :: *svid* \Rightarrow *svids* \Rightarrow *svids* (-,/ -)
-svid-alpha :: *svid* (**v**)
-svid-index :: *id-position* \Rightarrow *logic* \Rightarrow *svid* (-'(-') [999] 999)
-svid-tuple :: *svids* \Rightarrow *svid* ('(-'))
-svid-dot :: *svid* \Rightarrow *svid* \Rightarrow *svid* (-:- [999,998] 998)
-svid-res :: *svid* \Rightarrow *svid* \Rightarrow *svid* (-|- [999,998] 998)
-svid-pre :: *svid* \Rightarrow *svid* (-< [997] 997)
-svid-post :: *svid* \Rightarrow *svid* (-> [997] 997)
-svid-fst :: *svid* \Rightarrow *svid* (-.1 [997] 997)
-svid-snd :: *svid* \Rightarrow *svid* (-.2 [997] 997)
-mk-svid-list :: *svids* \Rightarrow *logic* — Helper function for summing a list of identifiers
-of-svid-list :: *logic* \Rightarrow *svids* — Reverse of the above
-svid-view :: *logic* \Rightarrow *svid* (\mathcal{V} [-]) — View of a symmetric lens
-svid-coview :: *logic* \Rightarrow *svid* (\mathcal{C} [-]) — Coview of a symmetric lens
-svid-prod :: *svid* \Rightarrow *svid* \Rightarrow *svid* (**infixr** \times 85)

`-svid-pow2` :: $svid \Rightarrow svid$ ($-^2$ [999] 999)

A variable can be decorated with an ampersand, to indicate it is a predicate variable, with a dollar to indicate its an unprimed relational variable, or a dollar and “acute” symbol to indicate its a primed relational variable. Isabelle’s parser is extensible so additional decorations can be and are added later.

syntax — Variable sets

`-salphaid` :: $id\text{-}position \Rightarrow salpha$ ($-$ [990] 990)
`-salphavar` :: $svid \Rightarrow salpha$ ($\$-$ [990] 990)
`-salphaparen` :: $salpha \Rightarrow salpha$ ($'(-')$)
`-salphaunion` :: $salpha \Rightarrow salpha \Rightarrow salpha$ (**infixr** \cup 75)
`-salphainter` :: $salpha \Rightarrow salpha \Rightarrow salpha$ (**infixr** \cap 75)
`-salphaminus` :: $salpha \Rightarrow salpha \Rightarrow salpha$ (**infixl** $-$ 65)
`-salphacompl` :: $salpha \Rightarrow salpha$ ($-$ $-$ [81] 80)
`-salpha-all` :: $salpha$ (Σ)
`-salpha-none` :: $salpha$ (\emptyset)

`-salphaset` :: $svids \Rightarrow salpha$ ($\{-\}$)
`-sframeid` :: $id \Rightarrow sframe$ ($-$)
`-sframeset` :: $svids \Rightarrow sframe\text{-}enum$ ($\{\!-\!\}$)
`-sframeunion` :: $sframe \Rightarrow sframe \Rightarrow sframe$ (**infixr** \cup 75)
`-sframeinter` :: $sframe \Rightarrow sframe \Rightarrow sframe$ (**infixr** \cap 75)
`-sframeminus` :: $sframe \Rightarrow sframe \Rightarrow sframe$ (**infixl** $-$ 65)
`-sframecompl` :: $sframe \Rightarrow sframe$ ($-$ $-$ [81] 80)
`-sframe-all` :: $sframe$ (Σ)
`-sframe-none` :: $sframe$ (\emptyset)
`-sframe-pre` :: $sframe \Rightarrow sframe$ ($-<$ [989] 989)
`-sframe-post` :: $sframe \Rightarrow sframe$ ($->$ [989] 989)
`-sframe-enum` :: $sframe\text{-}enum \Rightarrow sframe$ ($-$)
`-sframe-alpha` :: $sframe\text{-}enum \Rightarrow salpha$ ($-$)
`-salphamk` :: $logic \Rightarrow salpha$
`-mk-alpha-list` :: $svids \Rightarrow logic$
`-mk-frame-list` :: $svids \Rightarrow logic$

The terminals of an alphabet are either HOL identifiers or UTP variable identifiers. We support two ways of constructing alphabets; by composition of smaller alphabets using a semi-colon or by a set-style construction $\{a, b, c\}$ with a list of UTP variables.

syntax — Quotations

`-svid-set` :: $svids \Rightarrow logic$ ($\{-\}_v$)
`-svid-empty` :: $logic$ ($\{\}_v$)
`-svar` :: $svid \Rightarrow logic$ ($'(-')_v$)

For various reasons, the syntax constructors above all yield specific grammar categories and will not parser at the HOL top level (basically this is to do with us wanting to reuse the syntax for expressions). As a result we provide some quotation constructors above.

Next we need to construct the syntax translations rules. Finally, we set up the translations rules.

translations

— Identifiers

$-svid\ x \rightarrow x$
 $-svlongid\ x \rightarrow x$
 $-svid-alpha \rightleftharpoons CONST\ univ-var$
 $-svid-tuple\ xs \rightarrow -mk-svid-list\ xs$
 $-svid-dot\ x\ y \rightleftharpoons CONST\ ns-alpha\ x\ y$
 $-svid-index\ x\ i \rightarrow x\ i$
 $-svid-res\ x\ y \rightleftharpoons x\ /_L\ y$
 $-svid-pre\ x \rightleftharpoons -svid-dot\ fst_L\ x$
 $-svid-post\ x \rightleftharpoons -svid-dot\ snd_L\ x$
 $-svid-fst\ x \rightleftharpoons CONST\ var-fst\ x$
 $-svid-snd\ x \rightleftharpoons CONST\ var-snd\ x$
 $-svid-prod\ x\ y \rightleftharpoons x\ \times_L\ y$
 $-svid-pow2\ x \rightarrow x\ \times_L\ x$
 $-mk-svid-list\ (-svid-list\ x\ xs) \rightarrow CONST\ var-pair\ x\ (-mk-svid-list\ xs)$
 $-mk-svid-list\ x \rightarrow x$
 $-mk-alpha-list\ (-svid-list\ x\ xs) \rightarrow CONST\ var-alpha\ x\ \sqcup_S\ -mk-alpha-list\ xs$
 $-mk-alpha-list\ x \rightarrow CONST\ var-alpha\ x$

$-svid-view\ a \Rightarrow \mathcal{V}_a$

$-svid-coview\ a \Rightarrow \mathcal{C}_a$

$-svid-list\ (-svid-tuple\ (-of-svid-list\ (CONST\ var-pair\ x\ y)))\ (-of-svid-list\ z) \leftarrow$
 $-of-svid-list\ (CONST\ var-pair\ (CONST\ var-pair\ x\ y)\ z)$
 $-svid-list\ x\ (-of-svid-list\ y) \leftarrow -of-svid-list\ (CONST\ var-pair\ x\ y)$
 $x \leftarrow -of-svid-list\ x$

$-svid-tuple\ (-svid-list\ x\ y) \leftarrow CONST\ var-pair\ x\ y$

$-svid-list\ x\ ys \leftarrow -svid-list\ x\ (-svid-tuple\ ys)$

— Alphabets

$-salphaparen\ a \rightarrow a$
 $-salphaid\ x \rightarrow x$
 $-salphainter\ x\ y \rightarrow x\ \sqcup_S\ y$
 $-salphainter\ x\ y \rightarrow x\ \sqcap_S\ y$
 $-salphaminus\ x\ y \rightarrow x - y$
 $-salphacompl\ x \rightarrow -x$

$-salphavar\ x \rightleftharpoons CONST\ var-alpha\ x$

$-salphaset\ A \rightarrow -mk-alpha-list\ A$

$-sframeid\ A \rightarrow A$

$(-svid-list\ x\ (-salphamk\ y)) \leftarrow -salphamk\ (x +_L\ y)$

$x \leftarrow -salphamk\ x$

$-salpha-all \rightleftharpoons CONST\ univ-alpha$

$-salpha-none \rightleftharpoons CONST\ emp-alpha$

— Quotations
-svid-set $A \rightarrow$ *-mk-alpha-list* A
-svid-empty $\rightarrow 0_L$
-svar $x \rightarrow x$

The translation rules mainly convert syntax into lens constructions, using a mixture of lens operators and the bespoke variable definitions. Notably, a colon variable identifier qualification becomes a lens composition, and variable sets are constructed using `len sum`. The translation rules are carefully crafted to ensure both parsing and pretty printing.

Finally we create the following useful utility translation function that allows us to construct a UTP variable (lens) type given a return and alphabet type.

syntax
-uvar-ty $::$ *type* \Rightarrow *type* \Rightarrow *type*

<ML>

2.3 Simplifications

lemma *get-pre* [*simp*]: $get_{(x<)}_v (s_1, s_2) = get_x s_1$
<proof>

lemma *get-post* [*simp*]: $get_{(x>)}_v (s_1, s_2) = get_x s_2$
<proof>

lemma *get-prod-decomp*: $get_x s = (get_{var-fst} x s, get_{var-snd} x s)$
<proof>

end

3 Expressions

theory *Expressions*
imports *Variables*
keywords *expr-constructor* *expr-function* $::$ *thy-decl-block*
begin

3.1 Types and Constructs

named-theorems *expr-defs* **and** *named-expr-defs*

An expression is represented simply as a function from the state space $'s$ to the return type $'a$, which is the simplest shallow model for Isabelle/HOL.

The aim of this theory is to provide transparent conversion between this representation and a more intuitive expression syntax. For example, an expression $x + y$ where x and y are both state variables, can be represented

by $\lambda s. \text{get}_x s + \text{get}_y s$ when both variables are modelled using lenses. Rather than having to write λ -terms directly, it is more convenient to hide this threading of state behind a parser. We introduce the expression bracket syntax, $(-)_e$ to support this.

type-synonym $('a, 's) \text{expr} = 's \Rightarrow 'a$

The following constructor is used to syntactically mark functions that actually denote expressions. It is semantically vacuous.

definition $SEXP :: ('s \Rightarrow 'a) \Rightarrow ('a, 's) \text{expr} \text{ } ([-]_e)$ **where**
 $[\text{expr-defs}]: SEXP x = x$

lemma $SEXP\text{-apply}$ $[\text{simp}]: SEXP e s = (e s) \langle \text{proof} \rangle$

lemma $SEXP\text{-idem}$ $[\text{simp}]: [[e]_e]_e = [e]_e \langle \text{proof} \rangle$

We can create the core constructs of a simple expression language as indicated below.

abbreviation $(\text{input}) \text{var} :: ('a \Longrightarrow 's) \Rightarrow ('a, 's) \text{expr}$ **where**
 $\text{var } x \equiv (\lambda s. \text{get}_x s)$

abbreviation $(\text{input}) \text{lit} :: 'a \Rightarrow ('a, 's) \text{expr}$ **where**
 $\text{lit } k \equiv (\lambda s. k)$

abbreviation $(\text{input}) \text{uop} :: ('a \Rightarrow 'b) \Rightarrow ('a, 's) \text{expr} \Rightarrow ('b, 's) \text{expr}$ **where**
 $\text{uop } f e \equiv (\lambda s. f (e s))$

abbreviation $(\text{input}) \text{bop}$
 $:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 's) \text{expr} \Rightarrow ('b, 's) \text{expr} \Rightarrow ('c, 's) \text{expr}$ **where**
 $\text{bop } f e_1 e_2 \equiv (\lambda s. f (e_1 s) (e_2 s))$

definition $\text{taut} :: (\text{bool}, 's) \text{expr} \Rightarrow \text{bool}$ **where**
 $[\text{expr-defs}]: \text{taut } e = (\forall s. e s)$

definition $\text{expr-select} :: ('a, 's) \text{expr} \Rightarrow ('b \Longrightarrow 'a) \Rightarrow ('b, 's) \text{expr}$ **where**
 $[\text{expr-defs}, \text{code-unfold}]: \text{expr-select } e x = (\lambda s. \text{get}_x (e s))$

definition $\text{expr-if} :: ('a, 's) \text{expr} \Rightarrow (\text{bool}, 's) \text{expr} \Rightarrow ('a, 's) \text{expr} \Rightarrow ('a, 's) \text{expr}$
where
 $[\text{expr-defs}, \text{code-unfold}]: \text{expr-if } P b Q = (\lambda s. \text{if } (b s) \text{ then } P s \text{ else } Q s)$

3.2 Lifting Parser and Printer

The lifting parser creates a parser directive that converts an expression to a $SEXP$ boxed λ -term that gives it a semantics. A pretty printer converts a boxed λ -term back to an expression.

nonterminal sexp

We create some syntactic constants and define parse and print translations for them.

syntax

```

-sexp-state      :: id
-sexp-quote     :: logic ⇒ logic ('(-)e)
— Convert the expression to a lambda term, but do not box it.
-sexp-quote-1way :: logic ⇒ logic ('(-)e)
-sexp-lit       :: logic ⇒ logic («-»)
-sexp-var       :: svid ⇒ logic ($- [990] 990)
-sexp-evar      :: id-position ⇒ logic (@- [999] 999)
-sexp-evar      :: logic ⇒ logic (@'(-) [999] 999)
-sexp-pqt       :: logic ⇒ sexp ([-]e)
-sexp-taut      :: logic ⇒ logic ('-')
-sexp-select    :: logic ⇒ svid ⇒ logic (-:- [1000, 999] 1000)
-sexp-if        :: logic ⇒ logic ⇒ logic ⇒ logic ((?- < - >/ -) [52,0,53] 52)

```

⟨ML⟩

We create a number of attributes for configuring the way the parser works.

```
declare [[pretty-print-exprs=true]]
```

We can toggle pretty printing of λ expressions using *pretty-print-exprs*.

```
declare [[literal-variables=false]]
```

Expressions, of course, can contain variables. However, a variable can denote one of three things: (1) a state variable (i.e. a lens); (2) a placeholder for a value (i.e. a HOL literal); and (3) a placeholder for another expression. The attribute *literal-variables* selects option (2) as the default behaviour when true, and option (3) when false.

```
expr-constructor expr-select
```

```
expr-constructor expr-if
```

Some constants should not be lifted, since they are effectively constructors for expressions. The command **expr-constructor** allows us to specify such constants to not be lifted. This being the case, the arguments are left unlifted, unless included in a list of numbers before the constant name. The state is passed as the final argument to expression constructors.

⟨ML⟩

translations

```

-sexp-var x => getx -sexp-state
-sexp-taut p == CONST taut (p)e
-sexp-select e x == CONST expr-select (e)e x
-sexp-if P b Q == CONST expr-if P (b)e Q
-sexp-var (-svid-tuple (-of-svid-list (x +L y))) <= -sexp-var (x +L y)

```

The main directive is the *e* subscripted brackets, $(e)_e$. This converts the expression *e* to a boxed λ term. Essentially, the behaviour is as follows:

1. a new λ abstraction over the state variable s is wrapped around e ;
2. every occurrence of a free lens $get_x s$ in e is replaced by $get_x s$;
3. every occurrence of an expression variable e is replaced by $e s$;
4. every occurrence of any other free variable is left unchanged.

The pretty printer does this in reverse.

Below is a grammar category for lifted expressions.

nonterminal *sexpr*

syntax *-sexpr* :: *logic* \Rightarrow *sexpr* (-)

$\langle ML \rangle$

3.3 Reasoning

lemma *expr-eq-iff*: $P = Q \longleftrightarrow 'P = Q'$
 $\langle proof \rangle$

lemma *refine-iff-implies*: $P \leq Q \longleftrightarrow 'P \longrightarrow Q'$
 $\langle proof \rangle$

lemma *taut-True* [*simp*]: $'True' = True$
 $\langle proof \rangle$

lemma *taut-False* [*simp*]: $'False' = False$
 $\langle proof \rangle$

lemma *tautI*: $[[\bigwedge s. P s]] \Longrightarrow taut P$
 $\langle proof \rangle$

named-theorems *expr-simps*

Lemmas to help automation of expression reasoning

lemma *fst-case-sum* [*simp*]: $fst (case p of Inl x \Rightarrow (a1 x, a2 x) | Inr x \Rightarrow (b1 x, b2 x)) = (case p of Inl x \Rightarrow a1 x | Inr x \Rightarrow b1 x)$
 $\langle proof \rangle$

lemma *snd-case-sum* [*simp*]: $snd (case p of Inl x \Rightarrow (a1 x, a2 x) | Inr x \Rightarrow (b1 x, b2 x)) = (case p of Inl x \Rightarrow a2 x | Inr x \Rightarrow b2 x)$
 $\langle proof \rangle$

lemma *sum-case-apply* [*simp*]: $(case p of Inl x \Rightarrow f x | Inr x \Rightarrow g x) y = (case p of Inl x \Rightarrow f x y | Inr x \Rightarrow g x y)$
 $\langle proof \rangle$

Proof methods for simplifying shallow expressions to HOL terms. The first retains the lens structure, and the second removes it when alphabet lenses are present.

```
method expr-lens-simp uses add =
  ((simp add: expr-simps)? — Perform any possible simplifications retaining the
  lens structure
  ;((simp add: fun-eq-iff prod.case-eq-if expr-defs named-expr-defs lens-defs add)?)
; — Explode the rest
  (simp add: expr-defs named-expr-defs lens-defs add)?)
```

```
method expr-simp uses add = (expr-lens-simp add: alpha-splits add)
```

Methods for dealing with tautologies

```
method expr-lens-taut uses add =
  (rule tautI;
  expr-lens-simp add: add)
```

```
method expr-taut uses add =
  (rule tautI;
  expr-simp add: add;
  rename-alpha-vars?)
```

A method for simplifying shallow expressions to HOL terms and applying *auto*

```
method expr-auto uses add =
  (expr-simp add: add;
  (auto simp add: alpha-splits lens-defs add)?;
  (rename-alpha-vars)? — Rename any logical variables with v subscripts
  )
```

3.4 Algebraic laws

```
lemma expr-if-idem [simp]:  $P \triangleleft b \triangleright P = P$ 
  <proof>
```

```
lemma expr-if-sym:  $P \triangleleft b \triangleright Q = Q \triangleleft \neg b \triangleright P$ 
  <proof>
```

```
lemma expr-if-assoc:  $(P \triangleleft b \triangleright Q) \triangleleft c \triangleright R = P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R)$ 
  <proof>
```

```
lemma expr-if-distr:  $P \triangleleft b \triangleright (Q \triangleleft c \triangleright R) = (P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R)$ 
  <proof>
```

```
lemma expr-if-true [simp]:  $P \triangleleft \text{True} \triangleright Q = P$ 
  <proof>
```

```
lemma expr-if-false [simp]:  $P \triangleleft \text{False} \triangleright Q = Q$ 
```

<proof>

lemma *expr-if-reach* [*simp*]: $P \triangleleft b \triangleright (Q \triangleleft b \triangleright R) = P \triangleleft b \triangleright R$
<proof>

lemma *expr-if-disj* [*simp*]: $P \triangleleft b \triangleright (P \triangleleft c \triangleright Q) = P \triangleleft b \vee c \triangleright Q$
<proof>

lemma *SEXP-expr-if*: $[expr\text{-if } P \ b \ Q]_e = expr\text{-if } P \ b \ Q$
<proof>

end

4 Unrestriction

theory *Unrestriction*
imports *Expressions*
begin

Unrestriction means that an expression does not depend on the value of the state space described by the given scene (i.e. set of variables) for its valuation. It is a semantic characterisation of fresh variables.

consts *unrest* :: $'s \ scene \Rightarrow 'p \Rightarrow bool$

definition *unrest-expr* :: $'s \ scene \Rightarrow ('b, 's) \ expr \Rightarrow bool$ **where**
[expr-defs]: $unrest\text{-expr } a \ e = (\forall \ s \ s'. \ e \ (s \oplus_S \ s' \ on \ a) = e \ s)$

adhoc-overloading $unrest \equiv unrest\text{-expr}$

syntax
-unrest :: $salpha \Rightarrow logic \Rightarrow logic \Rightarrow logic$ (**infix** $\# \ 20$)

translations
-unrest $x \ p == CONST \ unrest \ x \ p$

named-theorems *unrest*

lemma *unrest-empty* [*unrest*]: $\emptyset \# P$
<proof>

lemma *unrest-var-union* [*unrest*]:
 $\llbracket A \# P; B \# P \rrbracket \Longrightarrow A \cup B \# P$
<proof>

lemma *unrest-neg-union*:
assumes $A \#\#_S B - A \# P - B \# P$
shows $(- (A \cup B)) \# P$
<proof>

The following two laws greatly simplify proof when reasoning about unrestricted lens, and so we add them to the expression simplification set.

lemma *unrest-lens* [*expr-simps*]:

mwb-lens $x \implies (\$x \# e) = (\forall s v. e (put_x s v) = e s)$
 $\langle proof \rangle$

lemma *unrest-compl-lens* [*expr-simps*]:

mwb-lens $x \implies (- \$x \# e) = (\forall s s'. e (put_x s' (get_x s)) = e s)$
 $\langle proof \rangle$

lemma *unrest-subscene*: $\llbracket idem-scene\ a; a \# e; b \subseteq_S a \rrbracket \implies b \# e$

$\langle proof \rangle$

lemma *unrest-lens-comp* [*unrest*]: $\llbracket mwb-lens\ x; mwb-lens\ y; \$x \# e \rrbracket \implies \$x:y \# e$

$\langle proof \rangle$

lemma *unrest-expr* [*unrest*]: $x \# e \implies x \# (e)_e$

$\langle proof \rangle$

lemma *unrest-lit* [*unrest*]: $x \# (\llbracket v \rrbracket)_e$

$\langle proof \rangle$

lemma *unrest-var* [*unrest*]:

$\llbracket vwb-lens\ x; idem-scene\ a; var-alpha\ x \bowtie_S a \rrbracket \implies a \# (\$x)_e$
 $\langle proof \rangle$

lemma *unrest-var-single* [*unrest*]:

$\llbracket mwb-lens\ x; x \bowtie y \rrbracket \implies \$x \# (\$y)_e$
 $\langle proof \rangle$

lemma *unrest-sublens*:

assumes *mwb-lens* $x\ \$x \# P\ y \subseteq_L x$
shows $\$y \# P$
 $\langle proof \rangle$

If two lenses are equivalent, and thus they characterise the same state-space regions, then clearly unrestricted over them are equivalent.

lemma *unrest-equiv*:

assumes *mwb-lens* $y\ x \approx_L y\ \$x \# P$
shows $\$y \# P$
 $\langle proof \rangle$

If we can show that an expression is unrestricted on a bijective lens, then is unrestricted on the entire state-space.

lemma *bij-lens-unrest-all*:

assumes *bij-lens* $x\ \$x \# P$
shows $\Sigma \# P$
 $\langle proof \rangle$

lemma *bij-lens-unrest-all-eq*:
assumes *bij-lens* x
shows $(\Sigma \# P) \longleftrightarrow (\$x \# P)$
 $\langle proof \rangle$

If an expression is unrestricted by all variables, then it is unrestricted by any variable

lemma *unrest-all-var*:
assumes $\Sigma \# e$
shows $\$x \# e$
 $\langle proof \rangle$

lemma *unrest-pair* [*unrest*]:
assumes *mwb-lens* x *mwb-lens* y $\$x \# P$ $\$y \# P$
shows $\$(x, y) \# P$
 $\langle proof \rangle$

lemma *unrest-pair-split*:
assumes $x \bowtie y$ *vwb-lens* x *vwb-lens* y
shows $\$(x, y) \# P = ((\$x \# P) \wedge (\$y \# P))$
 $\langle proof \rangle$

lemma *unrest-get* [*unrest*]: $\llbracket \text{mwb-lens } x; x \bowtie y \rrbracket \Longrightarrow \$x \# \text{get}_y$
 $\langle proof \rangle$

lemma *unrest-conj* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# (P \wedge Q)_e$
 $\langle proof \rangle$

lemma *unrest-not* [*unrest*]:
 $\llbracket x \# P \rrbracket \Longrightarrow x \# (\neg P)_e$
 $\langle proof \rangle$

lemma *unrest-disj* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# (P \vee Q)_e$
 $\langle proof \rangle$

lemma *unrest-implies* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# (P \longrightarrow Q)_e$
 $\langle proof \rangle$

lemma *unrest-expr-if* [*unrest*]:
assumes $a \# P$ $a \# Q$ $a \# (e)$
shows $a \# (P \triangleleft e \triangleright Q)$
 $\langle proof \rangle$

lemma *unrest-uop*:
 $\llbracket x \# e \rrbracket \Longrightarrow x \# (\llbracket f \rrbracket e)_e$

<proof>

lemma *unrest-bop*:

$\llbracket x \# e_1; x \# e_2 \rrbracket \implies x \# (\langle f \rangle e_1 e_2)_e$
<proof>

lemma *unrest-trop*:

$\llbracket x \# e_1; x \# e_2; x \# e_3 \rrbracket \implies x \# (\langle f \rangle e_1 e_2 e_3)_e$
<proof>

end

5 Substitutions

theory *Substitutions*

imports *Unrestriction*

begin

5.1 Types and Constants

A substitution is simply a function between two state spaces. Typically, they are used to express mappings from variables to values (e.g. assignments).

type-synonym (s_1, s_2) *psubst* = $s_1 \Rightarrow s_2$

type-synonym s *subst* = $s \Rightarrow s$

There are different ways of constructing an empty substitution.

definition *subst-id* :: s *subst* ($\langle \rightsquigarrow \rangle$)

where [*expr-defs*, *code-unfold*]: *subst-id* = $(\lambda s. s)$

definition *subst-nil* :: (s_1, s_2) *psubst* ($\langle \rightsquigarrow \rangle$)

where [*expr-defs*, *code-unfold*]: $\langle \rightsquigarrow \rangle$ = $(\lambda s. \text{undefined})$

definition *subst-default* :: $(s_1, s_2::\text{default})$ *psubst* ($\langle \rightsquigarrow \rangle$)

where [*expr-defs*, *code-unfold*]: $\langle \rightsquigarrow \rangle$ = $(\lambda s. \text{default})$

We can update a substitution by adding a new variable maplet.

definition *subst-upd* :: (s_1, s_2) *psubst* $\Rightarrow (a \Rightarrow s_2) \Rightarrow (a, s_1)$ *expr* $\Rightarrow (s_1,$

$s_2)$ *psubst*

where [*expr-defs*, *code-unfold*]: *subst-upd* σ x e = $(\lambda s. \text{put}_x (\sigma s) (e s))$

The next two operators extend and restrict the alphabet of a substitution.

definition *subst-ext* :: $(s_1 \Rightarrow s_2) \Rightarrow (s_2, s_1)$ *psubst* ($\langle \uparrow [999] 999 \rangle$) **where**

[*expr-defs*, *code-unfold*]: *subst-ext* a = *get_a*

definition *subst-res* :: $(s_1 \Rightarrow s_2) \Rightarrow (s_1, s_2)$ *psubst* ($\langle \downarrow [999] 999 \rangle$) **where**

[*expr-defs*, *code-unfold*]: *subst-res* a = *create_a*

Application of a substitution to an expression is effectively function composition.

definition $subst\text{-}app :: ('s_1, 's_2) psubst \Rightarrow ('a, 's_2) expr \Rightarrow ('a, 's_1) expr$
where $[expr\text{-}defs]: subst\text{-}app \sigma e = (\lambda s. e (\sigma s))$

abbreviation $aext P a \equiv subst\text{-}app (a^\uparrow) P$

abbreviation $ares P a \equiv subst\text{-}app (a^\downarrow) P$

We can also lookup the expression a variable is mapped to.

definition $subst\text{-}lookup :: ('s_1, 's_2) psubst \Rightarrow ('a \Longrightarrow 's_2) \Rightarrow ('a, 's_1) expr (\langle - \rangle_s)$
where $[expr\text{-}defs, code\text{-}unfold]: \langle \sigma \rangle_s x = (\lambda s. get_x (\sigma s))$

definition $subst\text{-}comp :: ('s_1, 's_2) psubst \Rightarrow ('s_3, 's_1) psubst \Rightarrow ('s_3, 's_2) psubst$
(infixl \circ_s **55)**
where $[expr\text{-}defs, code\text{-}unfold]: subst\text{-}comp = comp$

definition $unrest\text{-}usubst :: 's scene \Rightarrow 's subst \Rightarrow bool$
where $[expr\text{-}defs]: unrest\text{-}usubst a \sigma = (\forall s s'. \sigma (s \oplus_S s' \text{ on } a) = (\sigma s) \oplus_S s' \text{ on } a)$

definition $par\text{-}subst :: 's subst \Rightarrow 's scene \Rightarrow 's scene \Rightarrow 's subst \Rightarrow 's subst$
where $[expr\text{-}defs]: par\text{-}subst \sigma_1 A B \sigma_2 = (\lambda s. (s \oplus_S (\sigma_1 s) \text{ on } A) \oplus_S (\sigma_2 s) \text{ on } B)$

definition $subst\text{-}restrict :: 's subst \Rightarrow 's scene \Rightarrow 's subst$ **where**
 $[expr\text{-}defs]: subst\text{-}restrict \sigma a = (\lambda s. s \oplus_S \sigma s \text{ on } a)$

Create a substitution that copies the region from the given scene from a given state. This is used primarily in calculating unrestriction conditions.

definition $sset :: 's scene \Rightarrow 's \Rightarrow 's subst$
where $[expr\text{-}defs, code\text{-}unfold]: sset a s' = (\lambda s. s \oplus_S s' \text{ on } a)$

syntax $\text{-}sset :: salpha \Rightarrow logic \Rightarrow logic (sset[-, -])$
translations $\text{-}sset a P == CONST sset a P$

5.2 Syntax Translations

nonterminal $uexprs$ and $smaplet$ and $smaplets$

syntax

$\text{-}subst\text{-}app :: logic \Rightarrow logic \Rightarrow logic$ **(infix** \dagger **65)**

$\text{-}smaplet :: [svid, logic] \Rightarrow smaplet (- \rightsquigarrow -)$
 $:: smaplet \Rightarrow smaplets (-)$

$\text{-}SMaplets :: [smaplet, smaplets] \Rightarrow smaplets (-, / -)$

— A little syntax utility to extract a list of variable identifiers from a substitution

$\text{-}smaplets\text{-}svids :: smaplets \Rightarrow logic$

$\text{-}SubstUpd :: [logic, smaplets] \Rightarrow logic (-/'(-) [900,0] 900)$

$\text{-}Subst :: smaplets \Rightarrow logic ((1[-]))$

```

-PSubst      :: smaplets => logic ((1 (| - |)))
-DSubst      :: smaplets => logic ((1 (| - |)))
-psubst      :: [logic, svids, uexprs] => logic
-subst       :: logic => uexprs => svids => logic (([-' / -]) [990,0,0] 991)
-uexprs      :: [logic, uexprs] => uexprs (-, / -)
              :: logic => uexprs (-)
-par-subst   :: logic => salpha => salpha => logic => logic (- [-| -]_s - [100,0,0,101]
101)
-subst-restrict :: logic => salpha => logic (infixl |_s 85)
-unrest-usubst :: salpha => logic => logic => logic (infix #_s 20)

```

translations

```

-subst-app σ e          == CONST subst-app σ e
-subst-app σ e         <= -subst-app σ (e)_e
-SubstUpd m (-SMaplets xy ms) == -SubstUpd (-SubstUpd m xy) ms
-SubstUpd m (-smaplet x y)   == CONST subst-upd m x (y)_e
-Subst ms                 == -SubstUpd [~>] ms
-Subst (-SMaplets ms1 ms2)  <= -SubstUpd (-Subst ms1) ms2
-PSubst ms                == -SubstUpd (|~>|) ms
-PSubst (-SMaplets ms1 ms2) <= -SubstUpd (-PSubst ms1) ms2
-DSubst ms                == -SubstUpd (|~>|) ms
-DSubst (-SMaplets ms1 ms2) <= -SubstUpd (-DSubst ms1) ms2
-SMaplets ms1 (-SMaplets ms2 ms3) <= -SMaplets (-SMaplets ms1 ms2) ms3
-smaplets-svids (-SMaplets (-smaplet x e) ms) => x +_L (-smaplets-svids ms)
-smaplets-svids (-smaplet x e) => x
-subst P es vs => CONST subst-app (-psubst [~>] vs es) P
-psubst m (-svid-list x xs) (-uexprs v vs) => -psubst (-psubst m x v) xs vs
-psubst m x v => CONST subst-upd m x (v)_e
-subst P v x <= CONST subst-app (CONST subst-upd [~>] x (v)_e) P
-subst P v x <= -subst-app (-Subst (-smaplet x v)) P
-subst P v x <= -subst (-sexp-quote P) v x
-subst P v (-svid-tuple (-of-svid-list (x +_L y))) <= -subst P v (x +_L y)
-par-subst σ1 A B σ2 == CONST par-subst σ1 A B σ2
-subst-restrict σ a == CONST subst-restrict σ a
-unrest-usubst x p == CONST unrest-usubst x p
-unrest-usubst (-salphaset (-salphamk (x +_L y))) P <= -unrest-usubst (x +_L y)
P

```

expr-constructor *subst-app* (1) — Only the second parameter (1) should be treated as a lifted expression.

expr-constructor *subst-id*

expr-constructor *subst-nil*

expr-constructor *subst-default*

expr-constructor *subst-upd*

expr-constructor *subst-lookup*

(ML)

5.3 Substitution Laws

named-theorems *usubst* and *usubst-eval*

lemma *subst-id-apply* [*usubst*]: $[\rightsquigarrow] \dagger P = P$
<proof>

lemma *subst-unrest* [*usubst*]:
 $\llbracket \text{vub-lens } x; \$x \# v \rrbracket \implies \sigma(x \rightsquigarrow e) \dagger v = \sigma \dagger v$
<proof>

lemma *subst-lookup-id* [*usubst*]: $\langle [\rightsquigarrow] \rangle_s x = [\text{var } x]_e$
<proof>

lemma *subst-lookup-aext* [*usubst*]: $\langle a^\dagger \rangle_s x = [\text{get}_{\text{ns-alpha } a} x]_e$
<proof>

lemma *subst-id-var*: $[\rightsquigarrow] = (\$v)_e$
<proof>

lemma *subst-upd-id-lam* [*usubst*]: $\text{subst-upd } (\$v)_e x v = \text{subst-upd } [\rightsquigarrow] x v$
<proof>

lemma *subst-id [simp]*: $[\rightsquigarrow] \circ_s \sigma = \sigma \sigma \circ_s [\rightsquigarrow] = \sigma$
<proof>

lemma *subst-default-id [simp]*: $\langle \rightsquigarrow \rangle \circ_s \sigma = \langle \rightsquigarrow \rangle$
<proof>

lemma *subst-lookup-one-lens* [*usubst*]: $\langle \sigma \rangle_s 1_L = \sigma$
<proof>

The following law can break expressions abstraction, so it is not by default a "usubst" law.

lemma *subst-apply-SEXP*: $\text{subst-app } \sigma [e]_e = [\text{subst-app } \sigma e]_e$
<proof>

lemma *subst-apply-twice* [*usubst*]:
 $\varrho \dagger (\sigma \dagger e) = (\sigma \circ_s \varrho) \dagger e$
<proof>

lemma *subst-apply-twice-SEXP* [*usubst*]:
 $\varrho \dagger [\sigma \dagger e]_e = (\sigma \circ_s \varrho) \dagger [e]_e$
<proof>

term $(f (\sigma \dagger e))_e$

term $(\forall x. x + \$y > \$z)_e$

term $(\forall k. P[\langle\langle k \rangle\rangle/x])_e$

lemma *subst-get* [*usubst*]: $\sigma \dagger \text{get}_x = \langle\sigma\rangle_s x$
<proof>

lemma *subst-var* [*usubst*]: $\sigma \dagger (\$x)_e = \langle\sigma\rangle_s x$
<proof>

We can't use this as simplification unfortunately as the expression structure is too ambiguous to support automatic rewriting.

lemma *subst-uop*: $\sigma \dagger (\langle\langle f \rangle\rangle e)_e = (\langle\langle f \rangle\rangle (\sigma \dagger e))_e$
<proof>

lemma *subst-bop*: $\sigma \dagger (\langle\langle f \rangle\rangle e_1 e_2)_e = (\langle\langle f \rangle\rangle (\sigma \dagger e_1) (\sigma \dagger e_2))_e$
<proof>

lemma *subst-lit* [*usubst*]: $\sigma \dagger (\langle\langle v \rangle\rangle)_e = (\langle\langle v \rangle\rangle)_e$
<proof>

lemmas *subst-basic-ops* [*usubst*] =
subst-bop[**where** *f=conj*]
subst-bop[**where** *f=disj*]
subst-bop[**where** *f=implies*]
subst-uop[**where** *f=Not*]
subst-bop[**where** *f=HOL.eq*]
subst-bop[**where** *f=less*]
subst-bop[**where** *f=less-eq*]
subst-bop[**where** *f=Set.member*]
subst-bop[**where** *f=inf*]
subst-bop[**where** *f=sup*]
subst-bop[**where** *f=Pair*]

A substitution update naturally yields the given expression.

lemma *subst-lookup-upd* [*usubst*]:
assumes *weak-lens* *x*
shows $\langle\sigma(x \rightsquigarrow v)\rangle_s x = (v)_e$
<proof>

lemma *subst-lookup-upd-diff* [*usubst*]:
assumes $x \bowtie y$
shows $\langle\sigma(y \rightsquigarrow v)\rangle_s x = \langle\sigma\rangle_s x$
<proof>

lemma *subst-lookup-pair* [*usubst*]:
 $\langle\sigma\rangle_s (x +_L y) = ((\langle\sigma\rangle_s x, \langle\sigma\rangle_s y))_e$
<proof>

Substitution update is idempotent.

lemma *usubst-upd-idem* [*usubst*]:
assumes *mwb-lens* x
shows $\sigma(x \rightsquigarrow u, x \rightsquigarrow v) = \sigma(x \rightsquigarrow v)$
 $\langle \text{proof} \rangle$

lemma *usubst-upd-idem-sub* [*usubst*]:
assumes $x \subseteq_L y$ *mwb-lens* y
shows $\sigma(x \rightsquigarrow u, y \rightsquigarrow v) = \sigma(y \rightsquigarrow v)$
 $\langle \text{proof} \rangle$

Substitution updates commute when the lenses are independent.

lemma *subst-upd-comm*:
assumes $x \bowtie y$
shows $\sigma(x \rightsquigarrow u, y \rightsquigarrow v) = \sigma(y \rightsquigarrow v, x \rightsquigarrow u)$
 $\langle \text{proof} \rangle$

lemma *subst-upd-comm2*:
assumes $z \bowtie y$
shows $\sigma(x \rightsquigarrow u, y \rightsquigarrow v, z \rightsquigarrow s) = \sigma(x \rightsquigarrow u, z \rightsquigarrow s, y \rightsquigarrow v)$
 $\langle \text{proof} \rangle$

lemma *subst-upd-var-id* [*usubst*]:
vwb-lens $x \implies [x \rightsquigarrow \$x] = [\rightsquigarrow]$
 $\langle \text{proof} \rangle$

lemma *subst-upd-pair* [*usubst*]:
 $\sigma((x, y) \rightsquigarrow (e, f)) = \sigma(y \rightsquigarrow f, x \rightsquigarrow e)$
 $\langle \text{proof} \rangle$

lemma *subst-upd-comp* [*usubst*]:
 $\varrho(x \rightsquigarrow v) \circ_s \sigma = (\varrho \circ_s \sigma)(x \rightsquigarrow \sigma \dagger v)$
 $\langle \text{proof} \rangle$

lemma *swap-subst-inj*: $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \bowtie y \rrbracket \implies \text{inj } \llbracket (x, y) \rightsquigarrow (\$y, \$x) \rrbracket$
 $\langle \text{proof} \rangle$

5.4 Proof rules

In proof, a lens can always be substituted for an arbitrary but fixed value.

lemma *taut-substI*:
assumes *vwb-lens* $x \wedge v$. $P[\llbracket \text{«}v \rrbracket / x]$
shows P
 $\langle \text{proof} \rangle$

lemma *eq-substI*:
assumes *vwb-lens* $x \wedge v$. $P[\llbracket \text{«}v \rrbracket / x] = Q[\llbracket \text{«}v \rrbracket / x]$

shows $P = Q$
 $\langle proof \rangle$

lemma *bool-eq-substI*:

assumes $vwb\text{-lens } x \ P[[True/x]] = Q[[True/x]] \ P[[False/x]] = Q[[False/x]]$
shows $P = Q$
 $\langle proof \rangle$

lemma *less-eq-substI*:

assumes $vwb\text{-lens } x \ \wedge \ v. \ P[\llbracket v \rrbracket/x] \leq Q[\llbracket v \rrbracket/x]$
shows $P \leq Q$
 $\langle proof \rangle$

5.5 Ordering substitutions

A simplification procedure to reorder substitutions maplets lexicographically by variable syntax

$\langle ML \rangle$

5.6 Substitution Unrestriction Laws

lemma *unrest-subst-lens* [*expr-simps*]: $mwb\text{-lens } x \implies (\$x \#_s \sigma) = (\forall s \ v. \ \sigma \ (put_x \ s \ v) = put_x \ (\sigma \ s) \ v)$
 $\langle proof \rangle$

lemma *unrest-subst-empty* [*unrest*]: $x \#_s [\rightsquigarrow]$
 $\langle proof \rangle$

lemma *unrest-subst-upd* [*unrest*]: $\llbracket vwb\text{-lens } x; \ x \bowtie y; \ \$x \# (e)_e; \ \$x \#_s \sigma \rrbracket \implies \$x \#_s \sigma(y \rightsquigarrow e)$
 $\langle proof \rangle$

lemma *unrest-subst-upd-compl* [*unrest*]: $\llbracket vwb\text{-lens } x; \ y \subseteq_L x; \ -\$x \# (e)_e; \ -\$x \#_s \sigma \rrbracket \implies -\$x \#_s \sigma(y \rightsquigarrow e)$
 $\langle proof \rangle$

lemma *unrest-subst-apply* [*unrest*]:
 $\llbracket \$x \# P; \ \$x \#_s \sigma \rrbracket \implies \$x \# (\sigma \dagger P)$
 $\langle proof \rangle$

lemma *unrest-sset* [*unrest*]:
 $x \bowtie y \implies \$x \#_s \text{sset}[\$y, v]$
 $\langle proof \rangle$

5.7 Conditional Substitution Laws

lemma *subst-cond-upd-1* [*usubst*]:
 $\sigma(x \rightsquigarrow u) \triangleleft b \triangleright \varrho(x \rightsquigarrow v) = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow (u \triangleleft b \triangleright v))$
 $\langle proof \rangle$

lemma *subst-cond-upd-2* [*usubst*]:

$$\llbracket \text{vwb-lens } x; \$x \#_s \varrho \rrbracket \Longrightarrow \sigma(x \rightsquigarrow u) \triangleleft b \triangleright \varrho = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow (u \triangleleft b \triangleright (\$x)_e))$$

<proof>

lemma *subst-cond-upd-3* [*usubst*]:

$$\llbracket \text{vwb-lens } x; \$x \#_s \sigma \rrbracket \Longrightarrow \sigma \triangleleft b \triangleright \varrho(x \rightsquigarrow v) = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow ((\$x)_e \triangleleft b \triangleright v))$$

<proof>

lemma *expr-if-bool-var-left*: *vwb-lens* $x \Longrightarrow P[\text{True}/x] \triangleleft \$x \triangleright Q = P \triangleleft \$x \triangleright Q$

<proof>

lemma *expr-if-bool-var-right*: *vwb-lens* $x \Longrightarrow P \triangleleft \$x \triangleright Q[\text{False}/x] = P \triangleleft \$x \triangleright Q$

<proof>

lemma *subst-expr-if* [*usubst*]: $\sigma \dagger (P \triangleleft B \triangleright Q) = (\sigma \dagger P) \triangleleft (\sigma \dagger B) \triangleright (\sigma \dagger Q)$

<proof>

5.8 Substitution Restriction Laws

lemma *subst-restrict-id* [*usubst*]: *idem-scene* $a \Longrightarrow [\rightsquigarrow] \upharpoonright_s a = [\rightsquigarrow]$

<proof>

lemma *subst-restrict-out* [*usubst*]: $\llbracket \text{vwb-lens } x; \text{vwb-lens } a; x \bowtie a \rrbracket \Longrightarrow \sigma(x \rightsquigarrow e)$

$$\upharpoonright_s \$a = \sigma \upharpoonright_s \$a$$

<proof>

lemma *subst-restrict-in* [*usubst*]: $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \subseteq_L y \rrbracket \Longrightarrow \sigma(x \rightsquigarrow e)$

$$\upharpoonright_s \$y = (\sigma \upharpoonright_s \$y)(x \rightsquigarrow e)$$

<proof>

lemma *subst-restrict-twice* [*simp*]: $\sigma \upharpoonright_s a \upharpoonright_s a = \sigma \upharpoonright_s a$

<proof>

5.9 Evaluation

lemma *subst-SEXP* [*usubst-eval*]: $\sigma \dagger [\lambda s. e s]_e = [\lambda s. e (\sigma s)]_e$

<proof>

lemma *get-subst-id* [*usubst-eval*]: $\text{get}_x([\rightsquigarrow] s) = \text{get}_x s$

<proof>

lemma *get-subst-upd-same* [*usubst-eval*]: *weak-lens* $x \Longrightarrow \text{get}_x((\sigma(x \rightsquigarrow e)) s) = e$

s

<proof>

lemma *get-subst-upd-indep* [*usubst-eval*]:

$$x \bowtie y \Longrightarrow \text{get}_x((\sigma(y \rightsquigarrow e)) s) = \text{get}_x(\sigma s)$$

<proof>

lemma *unrest-ssubst*: $(a \# P) \longleftrightarrow (\forall s'. \text{sset } a \ s' \dagger P = (P)_e)$
 ⟨proof⟩

lemma *unrest-ssubst-expr*: $(a \# (P)_e) = (\forall s'. \text{sset}[a, s'] \dagger (P)_e = (P)_e)$
 ⟨proof⟩

lemma *get-subst-sset-out* [*usubst-eval*]: $\llbracket \text{vwb-lens } x; \text{var-alpha } x \bowtie_S a \rrbracket \implies \text{get}_x$
 $(\text{sset } a \ s' \ s) = \text{get}_x \ s$
 ⟨proof⟩

lemma *get-subst-sset-in* [*usubst-eval*]: $\llbracket \text{vwb-lens } x; \text{var-alpha } x \leq a \rrbracket \implies \text{get}_x$
 $(\text{sset } a \ s' \ s) = \text{get}_x \ s'$
 ⟨proof⟩

lemma *get-subst-ext* [*usubst-eval*]: $\text{get}_x (\text{subst-ext } a \ s) = \text{get}_{\text{ns-alpha } a \ x} \ s$
 ⟨proof⟩

lemma *unrest-sset-lens* [*unrest*]: $\llbracket \text{mwb-lens } x; \text{mwb-lens } y; x \bowtie y \rrbracket \implies \text{\$}x \ \#_s$
 $\text{sset}[\text{\$}y, \ s]$
 ⟨proof⟩

lemma *get-subst-restrict-out* [*usubst-eval*]: $\llbracket \text{vwb-lens } a; x \bowtie a \rrbracket \implies \text{get}_x ((\sigma \upharpoonright_s$
 $\text{\$}a) \ s) = \text{get}_x \ s$
 ⟨proof⟩

lemma *get-subst-restrict-in* [*usubst-eval*]: $\llbracket \text{vwb-lens } a; x \subseteq_L a \rrbracket \implies \text{get}_x ((\sigma \upharpoonright_s$
 $\text{\$}a) \ s) = \text{get}_x (\sigma \ s)$
 ⟨proof⟩

If a variable is unrestricted in a substitution then it's application has no effect.

lemma *subst-apply-unrest*:
 $\llbracket \text{vwb-lens } x; \text{\$}x \ \#_s \ \sigma \rrbracket \implies \langle \sigma \rangle_s \ x = \text{var } x$
 ⟨proof⟩

A tactic for proving unrestrictions by evaluating a special kind of substitution.

method *unrest uses add* = (*simp add: add unrest unrest-ssubst-expr var-alpha-combine usubst usubst-eval*)

A tactic for evaluating substitutions.

method *subst-eval uses add* = (*simp add: add usubst-eval usubst unrest*)

We can exercise finer grained control over substitutions with the following method.

declare *vwb-lens-mwb* [*lens*]
declare *mwb-lens-weak* [*lens*]

```

method subst-eval' = (simp only: lens usubst-eval usubst unrest SEXP-apply)
end

```

6 Extension and Restriction

```

theory Extension
  imports Substitutions
begin

```

It is often necessary to coerce an expression into a different state space using a lens, for example when the state space grows to add additional variables. Extension and restriction is provided by *aext* and *ares* respectively. Here, we provide syntax translations and reasoning support for these.

6.1 Syntax

```

syntax
  -aext    :: logic  $\Rightarrow$  svid  $\Rightarrow$  logic (infixl  $\uparrow$  80)
  -ares    :: logic  $\Rightarrow$  svid  $\Rightarrow$  logic (infixl  $\downarrow$  80)
  -pre     :: logic  $\Rightarrow$  logic (-< [999] 1000)
  -post    :: logic  $\Rightarrow$  logic (-> [999] 1000)
  -drop-pre :: logic  $\Rightarrow$  logic (-< [999] 1000)
  -drop-post :: logic  $\Rightarrow$  logic (-> [999] 1000)

```

```

translations
  -aext P a == CONST aext P a
  -ares P a == CONST ares P a
  -pre P == -aext (P)e fstL
  -post P == -aext (P)e sndL
  -drop-pre P == -ares (P)e fstL
  -drop-post P == -ares (P)e sndL

```

```

expr-constructor aext
expr-constructor ares

```

```

named-theorems alpha

```

6.2 Laws

```

lemma aext-var [alpha]: ( $\$x$ )e  $\uparrow$  a = ( $\$a:x$ )e
  <proof>

```

```

lemma ares-aext [alpha]: weak-lens a  $\Longrightarrow$  P  $\uparrow$  a  $\downarrow$  a = P
  <proof>

```

```

lemma aext-ares [alpha]:  $\llbracket$  mwb-lens a; ( $-\ \$a$ )  $\#$  P  $\rrbracket$   $\Longrightarrow$  P  $\downarrow$  a  $\uparrow$  a = P
  <proof>

```

lemma *expr-pre* [*simp*]: $e^< (s_1, s_2) = (e)_e s_1$
 ⟨*proof*⟩

lemma *expr-post* [*simp*]: $e^> (s_1, s_2) = (@e)_e s_2$
 ⟨*proof*⟩

lemma *unrest-aext-expr-lens* [*unrest*]: $\llbracket \text{mwb-lens } x; x \bowtie a \rrbracket \Longrightarrow \$x \# (P \uparrow a)$
 ⟨*proof*⟩

lemma *unrest-init-pre* [*unrest*]: $\llbracket \text{mwb-lens } x; \$x \# e \rrbracket \Longrightarrow \$x^< \# e^<$
 ⟨*proof*⟩

lemma *unrest-init-post* [*unrest*]: $\text{mwb-lens } x \Longrightarrow \$x^< \# e^>$
 ⟨*proof*⟩

lemma *unrest-fin-pre* [*unrest*]: $\text{mwb-lens } x \Longrightarrow \$x^> \# e^<$
 ⟨*proof*⟩

lemma *unrest-fin-post* [*unrest*]: $\llbracket \text{mwb-lens } x; \$x \# e \rrbracket \Longrightarrow \$x^> \# e^>$
 ⟨*proof*⟩

lemma *aext-get-fst* [*usubst*]: $\text{aext } (get_x) \text{ fst}_L = \text{get}_{ns-alpha} \text{ fst}_L x$
 ⟨*proof*⟩

lemma *aext-get-snd* [*usubst*]: $\text{aext } (get_x) \text{ snd}_L = \text{get}_{ns-alpha} \text{ snd}_L x$
 ⟨*proof*⟩

6.3 Substitutions

definition *subst-aext* :: $'a \text{ subst} \Rightarrow ('a \Longrightarrow 'b) \Rightarrow 'b \text{ subst}$
 where [*expr-defs*]: $\text{subst-aext } \sigma x = (\lambda s. \text{put}_x s (\sigma (\text{get}_x s)))$

definition *subst-ares* :: $'b \text{ subst} \Rightarrow ('a \Longrightarrow 'b) \Rightarrow 'a \text{ subst}$
 where [*expr-defs*]: $\text{subst-ares } \sigma x = (\lambda s. \text{get}_x (\sigma (\text{create}_x s)))$

syntax

-*subst-aext* :: $\text{logic} \Rightarrow \text{svid} \Rightarrow \text{logic}$ (**infixl** \uparrow_s 80)
 -*subst-ares* :: $\text{logic} \Rightarrow \text{svid} \Rightarrow \text{logic}$ (**infixl** \downarrow_s 80)

translations

-*subst-aext* $P a == \text{CONST } \text{subst-aext } P a$
 -*subst-ares* $P a == \text{CONST } \text{subst-ares } P a$

lemma *unrest-subst-aext* [*unrest*]: $x \bowtie a \Longrightarrow \$x \#_s (\sigma \uparrow_s a)$
 ⟨*proof*⟩

lemma *subst-id-ext* [*usubst*]:
 $\text{vwb-lens } x \Longrightarrow [\rightsquigarrow] \uparrow_s x = [\rightsquigarrow]$

<proof>

lemma *upd-subst-ext* [*alpha*]:

$$vwb\text{-lens } x \implies \sigma(y \rightsquigarrow e) \uparrow_s x = (\sigma \uparrow_s x)(x:y \rightsquigarrow e \uparrow x)$$

<proof>

lemma *apply-subst-ext* [*alpha*]:

$$vwb\text{-lens } x \implies (\sigma \dagger e) \uparrow x = (\sigma \uparrow_s x) \dagger (e \uparrow x)$$

<proof>

lemma *subst-aext-compose* [*alpha*]: $(\sigma \uparrow_s x) \uparrow_s y = \sigma \uparrow_s y:x$

<proof>

lemma *subst-aext-comp* [*usubst*]:

$$vwb\text{-lens } a \implies (\sigma \uparrow_s a) \circ_s (\rho \uparrow_s a) = (\sigma \circ_s \rho) \uparrow_s a$$

<proof>

lemma *subst-id-res*: $mwb\text{-lens } a \implies [\rightsquigarrow] \downarrow_s a = [\rightsquigarrow]$

<proof>

lemma *upd-subst-res-in*:

$$\llbracket mwb\text{-lens } a; x \subseteq_L a \rrbracket \implies \sigma(x \rightsquigarrow e) \downarrow_s a = (\sigma \downarrow_s a)(x \uparrow a \rightsquigarrow e \downarrow a)$$

<proof>

lemma *upd-subst-res-out*:

$$\llbracket mwb\text{-lens } a; x \bowtie a \rrbracket \implies \sigma(x \rightsquigarrow e) \downarrow_s a = \sigma \downarrow_s a$$

<proof>

lemma *subst-ext-lens-apply*: $\llbracket mwb\text{-lens } a; -\$a \#_s \sigma \rrbracket \implies (a^\uparrow \circ_s \sigma) \dagger P = ((\sigma \downarrow_s a) \dagger P) \uparrow a$

<proof>

end

6.4 Liberation

theory *Liberation*

imports *Extension*

begin

Liberation [1] is an operator that removes dependence on a number of variables. It is similar to existential quantification, but is defined over a scene (a variable set).

6.5 Definition and Syntax

definition *liberate* :: $('s \Rightarrow \text{bool}) \Rightarrow 's \text{ scene} \Rightarrow ('s \Rightarrow \text{bool})$ **where**

[*expr-defs*]: $\text{liberate } P \ x = (\lambda \ s. \exists \ s'. P (s \oplus_S s' \text{ on } x))$

syntax

-liberate :: logic \Rightarrow salpha \Rightarrow logic (**infixl** \ 80)

translations

-liberate $P\ x ==$ CONST liberate $P\ x$

-liberate $P\ x <=$ -liberate $(P)_e\ x$

expr-constructor liberate (0)

6.6 Laws

lemma liberate-lens [expr-simps]:

$mwb\text{-lens}\ x \Longrightarrow P \setminus \$x = (\lambda s. \exists s'. P (s \triangleleft_x s'))$

\langle proof \rangle

lemma liberate-lens': $mwb\text{-lens}\ x \Longrightarrow P \setminus \$x = (\lambda s. \exists v. P (put_x\ s\ v))$

\langle proof \rangle

lemma liberate-as-subst: $vwb\text{-lens}\ x \Longrightarrow e \setminus \$x = (\exists v. e[\langle\langle v \rangle\rangle/x])_e$

\langle proof \rangle

lemma unrest-liberate: $a \# P \setminus a$

\langle proof \rangle

lemma unrest-liberate-iff: $(a \# P) \longleftrightarrow (P \setminus a = P)$

\langle proof \rangle

lemma liberate-none [simp]: $P \setminus \emptyset = P$

\langle proof \rangle

lemma liberate-idem [simp]: $P \setminus a \setminus a = P \setminus a$

\langle proof \rangle

lemma liberate-commute [simp]: $a \bowtie_S b \Longrightarrow P \setminus a \setminus b = P \setminus b \setminus a$

\langle proof \rangle

lemma liberate-true [simp]: $(True)_e \setminus a = (True)_e$

\langle proof \rangle

lemma liberate-false [simp]: $(False)_e \setminus a = (False)_e$

\langle proof \rangle

lemma liberate-disj [simp]: $(P \vee Q)_e \setminus a = (P \setminus a \vee Q \setminus a)_e$

\langle proof \rangle

end

6.7 Quantifying Lenses

theory Quantifiers

imports *Liberation*
begin

We define operators to existentially and universally quantify an expression over a lens.

6.8 Operators and Syntax

definition $ex\text{-}expr :: ('a \Longrightarrow 's) \Rightarrow (bool, 's) \text{ expr} \Rightarrow (bool, 's) \text{ expr}$ **where**
 $[expr\text{-}defs]: ex\text{-}expr\ x\ e = (\lambda\ s.\ (\exists\ v.\ e\ (put_x\ s\ v)))$

definition $ex1\text{-}expr :: ('a \Longrightarrow 's) \Rightarrow (bool, 's) \text{ expr} \Rightarrow (bool, 's) \text{ expr}$ **where**
 $[expr\text{-}defs]: ex1\text{-}expr\ x\ e = (\lambda\ s.\ (\exists!\ v.\ e\ (put_x\ s\ v)))$

definition $all\text{-}expr :: ('a \Longrightarrow 's) \Rightarrow (bool, 's) \text{ expr} \Rightarrow (bool, 's) \text{ expr}$ **where**
 $[expr\text{-}defs]: all\text{-}expr\ x\ e = (\lambda\ s.\ (\forall\ v.\ e\ (put_x\ s\ v)))$

expr-constructor $ex\text{-}expr\ (1)$

expr-constructor $ex1\text{-}expr\ (1)$

expr-constructor $all\text{-}expr\ (1)$

syntax

$-ex\text{-}expr :: svid \Rightarrow logic \Rightarrow logic\ (\exists\ -\bullet\ -\ [0, 20]\ 20)$

$-ex1\text{-}expr :: svid \Rightarrow logic \Rightarrow logic\ (\exists_1\ -\bullet\ -\ [0, 20]\ 20)$

$-all\text{-}expr :: svid \Rightarrow logic \Rightarrow logic\ (\forall\ -\bullet\ -\ [0, 20]\ 20)$

translations

$-ex\text{-}expr\ x\ P == CONST\ ex\text{-}expr\ x\ P$

$-ex1\text{-}expr\ x\ P == CONST\ ex1\text{-}expr\ x\ P$

$-all\text{-}expr\ x\ P == CONST\ all\text{-}expr\ x\ P$

6.9 Laws

lemma $ex\text{-}is\text{-}liberation: mwb\text{-}lens\ x \Longrightarrow (\exists\ x\bullet\ P) = (P \setminus \$x)$
 $\langle proof \rangle$

lemma $ex\text{-}unrest\text{-}iff: \llbracket mwb\text{-}lens\ x \rrbracket \Longrightarrow (\$x\ \#\ P) \longleftrightarrow (\exists\ x\bullet\ P) = P$
 $\langle proof \rangle$

lemma $ex\text{-}unrest: \llbracket mwb\text{-}lens\ x; \$x\ \#\ P \rrbracket \Longrightarrow (\exists\ x\bullet\ P) = P$
 $\langle proof \rangle$

lemma $unrest\text{-}ex\text{-}in\ [unrest]:$
 $\llbracket mwb\text{-}lens\ y; x \subseteq_L y \rrbracket \Longrightarrow \$x\ \#\ (\exists\ y\bullet\ P)$
 $\langle proof \rangle$

lemma $unrest\text{-}ex\text{-}out\ [unrest]:$
 $\llbracket mwb\text{-}lens\ x; \$x\ \#\ P; x \bowtie y \rrbracket \Longrightarrow \$x\ \#\ (\exists\ y\bullet\ P)$
 $\langle proof \rangle$

lemma *subst-ex-out* [*usubst*]: $\llbracket \text{mwb-lens } x; \$x \#_s \sigma \rrbracket \Longrightarrow \sigma \dagger (\exists x \bullet P) = (\exists x \bullet \sigma \dagger P)$
 ⟨*proof*⟩

lemma *subst-lit-ex-indep* [*usubst*]:
 $y \bowtie x \Longrightarrow \sigma(y \rightsquigarrow \langle v \rangle) \dagger (\exists x \bullet P) = \sigma \dagger (\exists x \bullet [y \rightsquigarrow \langle v \rangle] \dagger P)$
 ⟨*proof*⟩

lemma *subst-ex-in* [*usubst*]:
 $\llbracket \text{vwb-lens } a; x \subseteq_L a \rrbracket \Longrightarrow \sigma(x \rightsquigarrow e) \dagger (\exists a \bullet P) = \sigma \dagger (\exists a \bullet P)$
 ⟨*proof*⟩

declare *lens-plus-right-sublens* [*simp*]

lemma *ex-as-subst*: $\text{vwb-lens } x \Longrightarrow (\exists x \bullet e) = (\exists v. e[\langle v \rangle/x])_e$
 ⟨*proof*⟩

lemma *ex-twice* [*simp*]: $\text{mwb-lens } x \Longrightarrow (\exists x \bullet \exists x \bullet P) = (\exists x \bullet P)$
 ⟨*proof*⟩

lemma *ex-commute*: $x \bowtie y \Longrightarrow (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$
 ⟨*proof*⟩

lemma *ex-true* [*simp*]: $(\exists x \bullet (\text{True})_e) = (\text{True})_e$
 ⟨*proof*⟩

lemma *ex-false* [*simp*]: $(\exists x \bullet (\text{False})_e) = (\text{False})_e$
 ⟨*proof*⟩

lemma *ex-disj* [*simp*]: $(\exists x \bullet (P \vee Q)_e) = ((\exists x \bullet P) \vee (\exists x \bullet Q))_e$
 ⟨*proof*⟩

lemma *ex-plus*:
 $(\exists (y,x) \bullet P) = (\exists x \bullet \exists y \bullet P)$
 ⟨*proof*⟩

lemma *all-as-ex*: $(\forall x \bullet P) = (\neg (\exists x \bullet \neg P))_e$
 ⟨*proof*⟩

lemma *ex-as-all*: $(\exists x \bullet P) = (\neg (\forall x \bullet \neg P))_e$
 ⟨*proof*⟩

6.10 Cylindric Algebra

lemma *ex-C1*: $(\exists x \bullet (\text{False})_e) = (\text{False})_e$
 ⟨*proof*⟩

lemma *ex-C2*: $\text{wb-lens } x \Longrightarrow 'P \longrightarrow (\exists x \bullet P)'$

<proof>

lemma *ex-C3*: $mwb\text{-lens } x \implies (\exists x \bullet (P \wedge (\exists x \bullet Q)))_e = ((\exists x \bullet P) \wedge (\exists x \bullet Q))_e$
<proof>

lemma *ex-C4a*: $x \approx_L y \implies (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$
<proof>

lemma *ex-C4b*: $x \bowtie y \implies (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$
<proof>

lemma *ex-C5*:
fixes $x :: ('a \implies 'a)$
shows $(\$x = \$x)_e = (True)_e$
<proof>

lemma *ex-C6*:
assumes $wb\text{-lens } x \bowtie y \bowtie z$
shows $(\$y = \$z)_e = (\exists x \bullet \$y = \$x \wedge \$x = \$z)_e$
<proof>

lemma *ex-C7*:
assumes $weak\text{-lens } x \bowtie y$
shows $((\exists x \bullet \$x = \$y \wedge P) \wedge (\exists x \bullet \$x = \$y \wedge \neg P))_e = (False)_e$
<proof>

end

7 Collections

theory *Collections*
imports *Substitutions*
begin

A lens whose source is a collection type (e.g. a list or map) can be divided into several lenses, corresponding to each of the elements in the collection. This can be used to support update of an individual collection element, such as an array update. Here, we provide the infrastructure to support such collection lenses [2].

7.1 Partial Lens Definedness

Partial lenses (e.g. *mwb-lens*) are only defined for certain states. For example, the list lens is defined only when the source list is of a sufficient length. Below, we define a predicate that characterises the states in a which such a lens is defined.

definition *lens-defined* :: ('a \implies 's) \Rightarrow (bool, 's) *expr* **where**
 [*expr-defs*]: *lens-defined* x = ($\$v \in \mathcal{S}_{\langle x \rangle}$)_e

syntax *-lens-defined* :: *svid* \Rightarrow *logic* ($\mathbf{D}'(-)$)

translations *-lens-defined* x == *CONST lens-defined* x

expr-constructor *lens-defined*

7.2 Dynamic Lenses

Dynamics lenses [2] are used to model elements of a lens indexed by some type 'i. The index is typically used to select different elements of a collection. The lens is “dynamic” because the particular index is provided by an expression 's \Rightarrow 'i, which can change from state to state. We normally assume that this expression does not refer to the indexed lens itself.

definition *dyn-lens* :: ('i \Rightarrow ('a \implies 's)) \Rightarrow ('s \Rightarrow 'i) \Rightarrow ('a \implies 's) **where**
 [*lens-defs*]: *dyn-lens* f x = (\lfloor *lens-get* = (λ s. *get_f* (x s) s), *lens-put* = (λ s v. *put_f* (x s) s v) \rfloor)

lemma *dyn-lens-mwb* [*simp*]: $\llbracket \bigwedge i. \text{mwb-lens } (f\ i); \bigwedge i. \$f(i) \# e \rrbracket \implies \text{mwb-lens } (dyn-lens\ f\ e)$
<proof>

lemma *ind-lens-vwb* [*simp*]: $\llbracket \bigwedge i. \text{vwb-lens } (f\ i); \bigwedge i. \$f(i) \# e \rrbracket \implies \text{vwb-lens } (dyn-lens\ f\ e)$
<proof>

lemma *src-dyn-lens*: $\llbracket \bigwedge i. \text{mwb-lens } (f\ i); \bigwedge i. \$f(i) \# e \rrbracket \implies \mathcal{S}_{dyn-lens\ f\ e} = \{s. s \in \mathcal{S}_f\ (e\ s)\}$
<proof>

lemma *subst-lookup-dyn-lens* [*usubst*]: $\llbracket \bigwedge i. f\ i \bowtie x \rrbracket \implies \langle \text{subst-upd } \sigma\ (dyn-lens\ f\ k)\ e \rangle_s\ x = \langle \sigma \rangle_s\ x$
<proof>

lemma *get-upd-dyn-lens* [*usubst-eval*]: $\llbracket \bigwedge i. f\ i \bowtie x \rrbracket \implies \text{get}_x\ (\text{subst-upd } \sigma\ (dyn-lens\ f\ k)\ e\ s) = \text{get}_x\ (\sigma\ s)$
<proof>

7.3 Overloaded Collection Lens

The following polymorphic constant is used to provide implementations of different collection lenses. Type 'k is the index into the collection. For the list collection lens, the index has type *nat*.

consts *collection-lens* :: 'k \Rightarrow ('a \implies 's)

definition [*lens-defs*]: *fun-collection-lens* = *fun-lens*

definition [*lens-defs*]: $list\text{-}collection\text{-}lens = list\text{-}lens$

adhoc-overloading

$collection\text{-}lens \equiv fun\text{-}collection\text{-}lens$ **and**
 $collection\text{-}lens \equiv list\text{-}collection\text{-}lens$

lemma *vwb-fun-collection-lens* [*simp*]: $vwb\text{-}lens (fun\text{-}collection\text{-}lens\ k)$
 $\langle proof \rangle$

lemma *put-fun-collection-lens* [*simp*]:
 $put_{fun\text{-}collection\text{-}lens}\ i = (\lambda f. fun\text{-}upd\ f\ i)$
 $\langle proof \rangle$

lemma *mwb-list-collection-lens* [*simp*]: $mwb\text{-}lens (list\text{-}collection\text{-}lens\ i)$
 $\langle proof \rangle$

lemma *source-list-collection-lens*: $\mathcal{S}_{list\text{-}collection\text{-}lens}\ i = \{xs. i < length\ xs\}$
 $\langle proof \rangle$

lemma *put-list-collection-lens* [*simp*]:
 $put_{list\text{-}collection\text{-}lens}\ i = (\lambda xs. list\text{-}augment\ xs\ i)$
 $\langle proof \rangle$

7.4 Syntax for Collection Lenses

We add variable identifier syntax for collection lenses, which allows us to write $x[i]$ for some collection and index.

abbreviation *dyn-lens-poly* $f\ x\ i \equiv dyn\text{-}lens (\lambda k. ns\text{-}alpha\ x\ (f\ k))\ i$

syntax

$-svid\text{-}collection :: svid \Rightarrow logic \Rightarrow svid\ (-[-]\ [999, 0]\ 999)$

translations

$-svid\text{-}collection\ x\ e == CONST\ dyn\text{-}lens\text{-}poly\ CONST\ collection\text{-}lens\ x\ (e)_e$

lemma *source-ns-alpha*: $\llbracket mwb\text{-}lens\ a; mwb\text{-}lens\ x \rrbracket \Longrightarrow \mathcal{S}_{ns\text{-}alpha\ a\ x} = \{s \in \mathcal{S}_a. get_a\ s \in \mathcal{S}_x\}$
 $\langle proof \rangle$

lemma *defined-vwb-lens* [*simp*]: $vwb\text{-}lens\ x \Longrightarrow \mathbf{D}(x) = (True)_e$
 $\langle proof \rangle$

lemma *defined-list-collection-lens* [*simp*]:
 $\llbracket vwb\text{-}lens\ x; \$x\ \# e \rrbracket \Longrightarrow \mathbf{D}(x[e]) = (e < length(\$x))_e$
 $\langle proof \rangle$

lemma *lens-defined-list-code* [*code-unfold*]:
 $vwb\text{-}lens\ x \Longrightarrow lens\text{-}defined\ (ns\text{-}alpha\ x\ (list\text{-}collection\text{-}lens\ i)) = (\llbracket i \rrbracket < length(\$x))_e$

<proof>

The next theorem allows the simplification of a collection lens update.

lemma *get-subst-upd-dyn-lens* [*simp*]:

$$\begin{aligned} \text{mwb-lens } x &\Longrightarrow \text{get}_x (\text{subst-upd } \sigma (\text{dyn-lens-poly } cl \ x \ (e)_e) \ v \ s) \\ &= \text{lens-put } (cl \ (e \ (\sigma \ s))) \ (\text{get}_x \ (\sigma \ s)) \ (v \ s) \end{aligned}$$

<proof>

end

8 Named Expression Definitions

theory *Named-Expressions*

imports *Expressions*

keywords *edefinition expression* :: *thy-decl-block* **and** *over*

begin

Here, we add a command that allows definition of a named expression. It provides a more concise version of **definition** and inserts the expression brackets.

<ML>

end

9 Local State

theory *Local-State*

imports *Expressions*

begin

This theory supports ad-hoc extension of an alphabet type with a tuple of lenses constructed using successive applications of fst_L and snd_L . It effectively allows local variables, since we always add a collection of new variables.

We declare a number of syntax translations to produce lens and product types, to obtain a type for the overall state space, to construct a tuple that denotes the lens vector parameter, to construct the vector itself, and finally to construct the state declaration.

syntax

-lensT :: *type* \Rightarrow *type* \Rightarrow *type* (*LENSTYPE'*(-, -'))

-pairT :: *type* \Rightarrow *type* \Rightarrow *type* (*PAIRTYPE'*(-, -'))

-state-type :: *pttrn* \Rightarrow *type*

-state-tuple :: *type* \Rightarrow *pttrn* \Rightarrow *logic*

-state-lenses :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* (*localstate* (-)/ *over* (-) [0, 10] 10)

-lvar-abs :: *id* \Rightarrow *type* \Rightarrow *logic* \Rightarrow *logic*

translations

(*type*) *PAIRTYPE*('a, 'b) => (*type*) 'a × 'b
(*type*) *LENSTYPE*('a, 'b) => (*type*) 'a ==> 'b

-*state-type* (-*constrain* x t) => t
-*state-type* (*CONST Product-Type.Pair* (-*constrain* x t) vs) => -*pairT* t (-*state-type* vs)

-*state-tuple* st (-*constrain* x t) => -*constrain* x (-*lensT* t st)
-*state-tuple* st (*CONST Pair* (-*constrain* x t) vs) =>
 CONST Product-Type.Pair (-*constrain* x (-*lensT* t st)) (-*state-tuple* st vs)

⟨ML⟩

term *localstate* (x::int, y::int) over 1_L

end

10 Shallow Expressions Meta-Theory

theory *Shallow-Expressions*

imports

Variables Expressions Unrestriction Substitutions Extension Liberation Quantifiers Collections

Named-Expressions Local-State

begin end

11 Expression Test Cases and Examples

theory *Expressions-Tests*

imports *Expressions Named-Expressions*

begin

Some examples of lifted expressions follow. For now, we turn off the pretty printer so that we can see the results of the parser.

declare [[*pretty-print-exprs=false*]]

term (f + g)_e — Lift an expression and insert *-sexp-pqt* for pretty printing

term (f + g)_e — Lift an expression and don't insert *-sexp-pqt*

The default behaviour of our parser is to recognise identifiers as expression variables. So, the above expression becomes the term [λs. f s + g]_e. We can easily change this using the attribute *literal-variables*:

declare [[*literal-variables*]]

term (f + g)_e

Now, f and g are both parsed as literals, and so the term is $[\lambda s. f + g]_e$. Alternatively, we could have a lens in the expression, by marking a free variable with a dollar :

term $(\$x + g)_e$

This gives the term $[\lambda s. get_x s + g]_e$. Although we have default behaviours for parsing, we can use different markup to coerce identifiers to particular variable kinds.

term $(\$x + @g)_e$

This gives $[\lambda s. get_x s + g]_e$, the we have requested that g is treated as an expression variable. We can do similar with literal, as show below.

term $(f + \langle\langle x \rangle\rangle)_e$

Some further examples follow.

term $(\langle\langle f \rangle\rangle (@e))_e$

term $(@f + @g)_e$

term $(@x)_e$

term $(\$x:y:z)_e$

term $((\$x:y):z)_e$

term $(x::nat)_e$

term $(\forall x::nat. x > 2)_e$

term $SEXP(\lambda s. get_x s + e s + v)$

term $(v \in \$xs \cup (\$f) ys \cup \{\} \wedge @e)_e$

We now turn pretty printing back on, so we can see how the user sees expressions.

declare $[[pretty-print-exprs, literal-variables=false]]$

term $(\$x^< = \$x^>)_e$

term $(\$x.1 = \$y.2)_e$

The pretty printer works even when we don't use the parser, as shown below.

term $[\lambda s. get_x s + e s + v]_e$

By default, dollars are printed next to free variables that are lenses. However, we can alter this behaviour with the attribute *mark-state-variables*:

declare $[[mark-state-variables=false]]$

```
term ( $\$x + e + v$ )e
```

This way, the x variable is indistinguishable when printed from the e and v . Usually, this information can be inferred from the types of the entities:

```
alphabet st =  
  x :: int
```

```
term ( $x + e + v$ )e
```

```
expression x-is-big over st is  $x > 1000$ 
```

```
term (x-is-big  $\longrightarrow x > 0$ )e
```

Here, x is a lens defined by the **alphabet** command, and so the lifting translation treats it as a state variable. This is hidden from the user.

```
dataspace testspace =  
  variables z :: int
```

```
declare [[literal-variables]]
```

```
context testspace  
begin
```

```
edefinition z-is-bigger y = ( $z > y$ )
```

```
term (z-is-bigger ( $z + 1$ ))e
```

```
end
```

```
end
```

12 Examples of Shallow Expressions

```
theory Shallow-Expressions-Examples  
  imports Shallow-Expressions  
begin
```

12.1 Basic Expressions and Queries

We define some basic variables using the **alphabet** command, process some simple expressions, and then perform some unrestriction queries and substitution transformations.

```
declare [[literal-variables]]
```

```
alphabet st =  
  v1 :: int
```

$v2 :: int$
 $v3 :: string$

term $(v1 > a)_e$

declare $[[pretty-print-exprs=false]]$

term $(v1 > a)_e$

declare $[[pretty-print-exprs]]$

lemma $\$v2 \# (v1 > 5)_e$
 $\langle proof \rangle$

lemma $(v1 > 5)_e[[v2/v1]] = (v2 > 5)_e$
 $\langle proof \rangle$

We sometimes would like to define “constructors” for expressions. These are functions that produce expressions, and may also have expressions as arguments. Unlike for other functions, during lifting the state is not passed to the arguments, but is passed to the constructor constant itself. An example is given below:

definition $v1-greater :: int \Rightarrow (bool, st) \text{ expr}$ **where**
 $v1-greater\ x = (v1 > x)_e$

expr-constructor $v1-greater$

Definition $v1-greater$ is a constructor for an expression, and so it should not be lifted. Therefore we use the command **expr-constructor** to specify this, which modifies the behaviour of the lifting parser, and means that $(v1-greater\ 7)_e$ is correctly translated.

If it is desired that one or more of the arguments is an expression, then this can be specified using an optional list of numbers. In the example below, the first argument is an expression.

definition $v1-greater' :: (int, st) \text{ expr} \Rightarrow (bool, st) \text{ expr}$ **where**
 $v1-greater'\ x = (v1 > @x)_e$

expr-constructor $v1-greater' (0)$

term $(v1-greater' (v1 + 1))_e$

We also sometimes wish to have functions that return expressions, whose arguments should be lifted. We can achieve this using the **expr-function** command:

definition $v1-less :: int \Rightarrow (bool, st) \text{ expr}$ **where**
 $v1-less\ x = (v1 < x)_e$

expr-function *v1-less*

This means, we can parse terms like $(v1-less\ \$v1 + 1)_e$ – notice that this returns an expression and takes an expression as an input. Alternatively, we can achieve the same effect with the **edefinition** command, which is like **definition**, but uses the expression parse and lifts the arguments as expressions. It is typically used for user-level functions that depend on the state.

edefinition *v1-less'* **where** *v1-less' x = (v1 < x)*

term $(v1-less'\ (v1 + 1))_e$

In addition, we can define an expression using the command below, which automatically performs expression lifting in the defining term. These constants are also set as expression constructors.

expression *v1-is-big* **over** *st* **is** $v1 > 100$

expression *inc-v1* **over** $st \times st$ **is** $v1^> = v1^< + 1$

Definitional equations for named expressions are collected in the theorem attribute $v1-less'\ ?x = (\$v1 < ?x)_e$

$v1-is-big = (100 < \$v1)_e$

$inc-v1 = ((\$v1)^> = (\$v1)^< + 1)_e$.

thm *named-expr-defs*

12.2 Hierarchical State

alphabet *person* =

name :: *string*

age :: *nat*

alphabet *company* =

adam :: *person*

bella :: *person*

carol :: *person*

term $(\$adam:age > \$carol:age)_e$

term $(\$adam:name \neq \$bella:name)_e$

12.3 Program Semantics

We give a predicative semantics to a simple imperative programming language with sequence, conditional, and assignment, using lenses and shallow expressions. We then use these definitions to prove some basic laws of programming.


```
adam:name ::= "Adam" ;; bella:name ::= "Bella" =  
bella:name ::= "Bella" ;; adam:name ::= "Adam"  
⟨proof⟩
```

end

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