

Secret-Directed Unwinding

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Abstract

This entry formalizes the secret-directed unwinding disproof method for relative security. The method was presented in the CSF 2023 paper “Relative Security: Formally Modeling and (Dis)Proving Resilience Against Semantic Optimization Vulnerabilities” [1]. Secret-directed unwinding can be used to prove the existence of transient execution vulnerabilities.

The main characteristics of secret-directed unwinding are that (1) it is used to disprove rather than prove security and (2) it proceeds in a manner that is “directed” by given sequences of secrets. The second characteristic is shared with the unwinding method for bounded-deducibility security [2].

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1 Finitary Secret-Directed Unwinding

This theory formalizes the finitary version of secret-directed unwinding, which allows one to disprove finitary relative security.

theory *SD-Unwinding-fin*

imports *Relative-Security.Relative-Security*

begin

context *Rel-Sec*

begin

fun *validEtransO* **where** *validEtransO* (*s,secl*) (*s',secl'*) \longleftrightarrow
validTransV (*s,s'*) \wedge
(\neg *isSecV* *s* \wedge *secl* = *secl'* \vee
isSecV *s* \wedge *secl* = *getSecV* *s* # *secl'*)

definition $move1 \Gamma sv1 secl1 sv2 secl2 \equiv$
 $\forall sv1' secl1'. validEtransO (sv1, secl1) (sv1', secl1') \longrightarrow \Gamma sv1' secl1' sv2 secl2$

definition $move2 \Gamma sv1 secl1 sv2 secl2 \equiv$
 $\forall sv2' secl2'. validEtransO (sv2, secl2) (sv2', secl2') \longrightarrow \Gamma sv1 secl1 sv2' secl2'$

definition $move12 \Gamma sv1 secl1 sv2 secl2 \equiv$
 $\forall sv1' secl1' sv2' secl2'.$
 $validEtransO (sv1, secl1) (sv1', secl1') \wedge validEtransO (sv2, secl2) (sv2', secl2')$
 $\longrightarrow \Gamma sv1' secl1' sv2' secl2'$

definition $unwindSDCond ::$
 $('stateV \Rightarrow 'secret list \Rightarrow 'stateV \Rightarrow 'secret list \Rightarrow bool) \Rightarrow bool$

where

$unwindSDCond \Gamma \equiv \forall sv1 secl1 sv2 secl2.$

$reachV sv1 \wedge reachV sv2 \wedge$

$\Gamma sv1 secl1 sv2 secl2$

\longrightarrow

$(isIntV sv1 \longleftrightarrow isIntV sv2) \wedge$

$(\neg isIntV sv1 \longrightarrow move1 \Gamma sv1 secl1 sv2 secl2 \wedge move2 \Gamma sv1 secl1 sv2 secl2) \wedge$

$(isIntV sv1 \longrightarrow getActV sv1 = getActV sv2 \longrightarrow getObsV sv1 = getObsV sv2 \wedge$
 $move12 \Gamma sv1 secl1 sv2 secl2)$

proposition $unwindSDCond-aux:$

assumes $unw: unwindSDCond \Gamma$

and $1: \Gamma sv1 secl1 sv2 secl2$

$reachV sv1 \text{ Van.}validFromS sv1 trv1 completedFromV sv1 trv1$

$reachV sv2 \text{ Van.}validFromS sv2 trv2 completedFromV sv2 trv2$

$\text{Van.}S trv1 = secl1 \text{ Van.}S trv2 = secl2$

$\text{Van.}A trv1 = \text{Van.}A trv2$

shows $\text{Van.}O trv1 = \text{Van.}O trv2$

using 1 **proof**($induct\ length\ trv1 + length\ trv2\ arbitrary: sv1\ sv2\ trv1\ trv2\ secl1\ secl2$ rule: $nat-less-induct$)

case $(1\ trv1\ trv2\ sv1\ sv2\ secl1\ secl2)$

show $?case$

proof($cases\ isIntV\ sv1$)

case $True$ **hence** $isIntV\ sv2$ **using** $1(2-)$ unw **unfolding** $unwindSDCond-def$

by $auto$

note $isv12 = True\ this$

have $\neg\ finalV\ sv1$ **using** $isv12$ $\text{Van.}final-not-isInt$ **by** $auto$

then obtain $sv1' trv1'$ **where** $trv1: trv1 = sv1 \# trv1'$ $validTransV (sv1, sv1')$

$\text{Van.}validFromS sv1' trv1' completedFromV sv1' trv1'$

using 1 **by** ($metis\ \text{Van.}completed-Cons\ \text{Van.}completed-Nil\ \text{Van.}validFromS-Cons-iff\ list.exhaust$)

have $\neg\ finalV\ sv2$ **using** $isv12$ $\text{Van.}final-not-isInt$ **by** $auto$

then obtain $sv2' trv2'$ **where** $trv2: trv2 = sv2 \# trv2' \text{ validTransV } (sv2, sv2')$

$Van.\text{validFromS } sv2' trv2' \text{ completedFromV } sv2' trv2'$
using 1 **by** (*metis* $Van.\text{completed-Cons } Van.\text{completed-Nil } Van.\text{validFromS-Cons-iff}$ *list.exhaust*)

define $secl1'$ **where** $secl1' \equiv \text{if isSecV } sv1 \text{ then tl } secl1 \text{ else } secl1$
have $v1: \text{validEtransO } (sv1, secl1) (sv1', secl1')$
using $trv1$ **unfolding** $\text{validEtransO.simps } secl1'\text{-def}$
using 1 $\langle \neg \text{finalV } sv1 \rangle$
by (*auto simp add: Van.S-def*)

define $secl2'$ **where** $secl2' \equiv \text{if isSecV } sv2 \text{ then tl } secl2 \text{ else } secl2$
have $v2: \text{validEtransO } (sv2, secl2) (sv2', secl2')$
using $trv2$ **unfolding** $\text{validEtransO.simps } secl2'\text{-def}$
using 1 $\langle \neg \text{finalV } sv2 \rangle$ **by** (*auto simp add: Van.S-def*)

have $sl12': secl1' = Van.S trv1' secl2' = Van.S trv2'$
using 1(2-) $trv1 trv2$ **unfolding** $secl1'\text{-def } secl2'\text{-def}$ **by** (*auto simp add: Van.S-def*)

have $r12': \text{reachV } sv1' \wedge \text{reachV } sv2'$
by (*metis* 1.prem(2) 1.prem(5) $Van.\text{reach.Step fst-conv snd-conv } trv1(2) trv2(2)$)

have $gasv12: \text{getActV } sv1 = \text{getActV } sv2$ **using** $\langle Van.A trv1 = Van.A trv2 \rangle$
 $isv12$ **unfolding** $trv1 trv2$
using 1.prem(4) 1.prem(7) $\langle \neg \text{finalV } sv2 \rangle$ $trv1(1) trv2(1)$ **by** *auto*
hence $osv12: \text{getObsV } sv1 = \text{getObsV } sv2$
using $\langle \Gamma sv1 secl1 sv2 secl2 \rangle$ 1.prem(2,5) $isv12 \text{ unw }$ **unfolding** unwindSD-Cond-def **by** *auto*

have $gam: \Gamma sv1' secl1' sv2' secl2'$
using $\langle \Gamma sv1 secl1 sv2 secl2 \rangle$ $v1 v2 \text{ unw } r12' isv12 gasv12$ **unfolding** $\text{unwindSD-Cond-def move12-def}$
using 1(2-) **by** *blast*

have $A12: Van.A trv1' = Van.A trv2'$
using 1.prem(10) 1.prem(4) 1.prem(7) $True isv12(2) trv1(1) trv2(1)$ **by** *fastforce*

have $O12': Van.O trv1' = Van.O trv2'$
apply(*rule* 1(1)[*rule-format*]) **using** $trv1 trv2 gam sl12' r12' A12$ **by** *auto*

thus *thesis* **unfolding** $trv1(1) trv2(1)$ **using** $isv12 osv12$
using 1.prem(4) 1.prem(7) $\langle \neg \text{finalV } sv1 \rangle \langle \neg \text{finalV } sv2 \rangle$ $trv1(1) trv2(1)$
by *auto*
next
case *False* **hence** $\neg \text{isIntV } sv2$ **using** 1(2-) unw **unfolding** unwindSD-

```

Cond-def by auto
note isv12 = False this

show ?thesis
proof(cases length trv1 ≤ 1)
  case True note trv1 = True
  show ?thesis
  proof(cases length trv2 ≤ 1)
    case True thus ?thesis
    using One-nat-def Van.O.length-Nil trv1 by presburger
  next
  case False
    then obtain sv2' trv2' where trv2: trv2 = sv2 # trv2' validTransV
(sv2,sv2')
    Van.validFromS sv2' trv2' completedFromV sv2' trv2'
    using ⟨Van.validFromS sv2 trv2⟩ ⟨completedFromV sv2 trv2⟩
    by (smt (z3) One-nat-def Van.completed-Cons Van.length-toS Van.toS-eq-Nil

      Van.toS-fromS-nonSingl Van.toS-length-gt-eq Van.validFromS-Cons-iff
diff-Suc-1
      diff-is-0-eq le-SucI length-Suc-conv list.size(3))
    define secl2' where secl2' ≡ if isSecV sv2 then tl secl2 else secl2
    have v2: validEtransO (sv2,secl2) (sv2',secl2')
    using trv2 unfolding validEtransO.simps secl2'-def
    using 1.premis(7) 1.premis(9) Van.final-def by auto
    have sl2': secl2' = Van.S trv2'
    using 1(2-) trv1 trv2 unfolding secl2'-def by auto
    have r2': reachV sv2'
    by (metis 1.premis(5) Van.reach.Step fst-conv snd-conv trv2(2))

    have gam: Γ sv1 secl1 sv2' secl2'
    using ⟨Γ sv1 secl1 sv2 secl2⟩ v2 unw r2' isv12 unfolding unwindSDCond-def
move2-def
    using 1(2-) by blast

    have A12: Van.A trv1 = Van.A trv2'
    by (simp add: 1.premis(10) isv12(2) trv2(1))

    have O12': Van.O trv1 = Van.O trv2'
    apply(rule 1(1)[rule-format, OF - - gam])
    using trv1 trv2 gam sl2' r2' A12 1(2-) by auto

    thus ?thesis unfolding trv1(1) trv2(1) using isv12 by auto
  qed
next
case False
  then obtain sv1' trv1' where trv1: trv1 = sv1 # trv1' validTransV
(sv1,sv1')
  Van.validFromS sv1' trv1' completedFromV sv1' trv1'

```

using $\langle \text{Van.validFromS } sv1 \ trv1 \rangle \langle \text{completedFromV } sv1 \ trv1 \rangle$
by (smt (z3) *One-nat-def Simple-Transition-System.validFromS-Cons-iff*
Van.completed-Cons
completedFromV-def le-SucI length-Suc-conv list.exhaust list.inject list.size(3)
not-less-eq-eq)

define *secl1'* **where** *secl1' \equiv if isSecV sv1 then tl secl1 else secl1*
have *v1: validEtransO (sv1,secl1) (sv1',secl1')*
using *trv1 unfolding validEtransO.simps secl1'-def*
using *1.premis Van.final-def by auto*
have *sl1': secl1' = Van.S trv1'*
using *1(2-) trv1 unfolding secl1'-def by auto*
have *r1': reachV sv1'*
by (*metis 1.premis(2) Van.reach.Step fst-conv snd-conv trv1(2)*)

have *gam: $\Gamma \ sv1' \ secl1' \ sv2 \ secl2$*
using $\langle \Gamma \ sv1 \ secl1 \ sv2 \ secl2 \rangle \ v1 \ unw \ r1' \ isv12$ **unfolding** *unwindSDCond-def*
move1-def
using *1(2-) by blast*

have *A12: Van.A trv1' = Van.A trv2*
using *1.premis(10) isv12(1) trv1(1) by auto*

have *O12': Van.O trv1' = Van.O trv2*
apply(*rule 1(1)[rule-format, OF - - gam]*)
using *trv1 gam r1' A12 1(2-) sl1' by auto*

thus *?thesis unfolding trv1(1) using isv12 by auto*

qed

qed

qed

proposition *unwindSDCond-aux-strong:*

assumes *unw: unwindSDCond Γ*

and *1: $\Gamma \ sv1 \ secl1 \ sv2 \ secl2$*

reachV sv1 Van.validFromS sv1 (trv1 @ [trn1]) never isIntV trv1 **and** *11: isIntV trn1* **and**

2: reachV sv2 Van.validFromS sv2 (trv2 @ [trn2]) never isIntV trv2 **and** *22: isIntV trn2* **and**

3: Van.S trv1 @ ssecl1 = secl1 Van.S trv2 @ ssecl2 = secl2

shows $\Gamma \ (\text{lastt } sv1 \ trv1) \ ssecl1 \ (\text{lastt } sv2 \ trv2) \ ssecl2$

using *1 2 3 proof(induct length trv1 + length trv2 arbitrary: sv1 sv2 trv1 trv2 secl1 secl2 rule: nat-less-induct)*

case (*1 trv1 trv2 sv1 sv2 secl1 secl2*)

```

show ?case
proof(cases isIntV sv1)
  case True hence sv2: isIntV sv2 using 1(2-) unw unfolding unwindSD-
  Cond-def by auto
  note isv12 = True this

  have trv1: trv1 = [] using True 1(4,5) unfolding list-all-nth apply(cases
  trv1, auto)
  by (metis Van.validFromS-Cons-iff nth-Cons-0 zero-less-Suc)
  have trv2: trv2 = [] using sv2 1(7,8) unfolding list-all-nth apply(cases trv2,
  auto)
  by (metis Van.validFromS-Cons-iff nth-Cons-0 zero-less-Suc)

  show ?thesis using 1(2-) by (simp add: trv1 trv2)
next
  case False hence ¬ isIntV sv2 using 1(2-) unw unfolding unwindSD-
  Cond-def by auto
  note isv12 = False this
  have trv1ne: trv1 ≠ [] using isv12 1.prem(3) 11 by force
  then obtain sv1' trv1' where trv1: trv1 = sv1 # trv1' validTransV (sv1,sv1')

  Van.validFromS sv1' trv1' never isIntV trv1'
  using ⟨Van.validFromS sv1 (trv1 @ [trn1])⟩ ⟨never isIntV trv1⟩
  by (metis Simple-Transition-System.validFromS-Cons-iff Simple-Transition-System.validFromS-def

  Simple-Transition-System.validS-append1
  Simple-Transition-System.validS-validTrans hd-append2 list-all-simps(1) neq-Nil-conv
  snoc-eq-iff-butlast)
  have trv2ne: trv2 ≠ [] using isv12 1.prem(6) 22 by force
  then obtain sv2' trv2' where trv2: trv2 = sv2 # trv2' validTransV (sv2,sv2')

  Van.validFromS sv2' trv2' never isIntV trv2'
  using ⟨Van.validFromS sv2 (trv2 @ [trn2])⟩ ⟨never isIntV trv2⟩
  by (metis Simple-Transition-System.validFromS-Cons-iff Simple-Transition-System.validFromS-def

  Simple-Transition-System.validS-append1
  Simple-Transition-System.validS-validTrans hd-append2 list-all-simps(1) neq-Nil-conv
  snoc-eq-iff-butlast)

show ?thesis
proof(cases length trv1 = Suc 0 ∧ length trv2 = Suc 0)
  case True
  hence trv12: trv1 = [sv1] ∧ trv2 = [sv2] apply(intro conjI)
  subgoal using 1(4) Van.validFromS-Cons-iff by (cases trv1, auto)
  subgoal using 1(7) Van.validFromS-Cons-iff by (cases trv2, auto) .
  show ?thesis using 1(2) 1.prem(8,9) trv12 unfolding lastt-def by auto
next
  case False note f = False
  show ?thesis

```

```

proof(cases length trv1 = Suc 0)
  case True
  hence length trv2 > Suc 0 using f trv2ne by (simp add: Suc-lessI)
  hence trv2'ne: trv2' ≠ [] by (simp add: trv2(1))

  define secl2' where secl2' ≡ if isSecV sv2 then tl secl2 else secl2
  have v2: validEtransO (sv2,secl2) (sv2',secl2')
  using trv2 unfolding validEtransO.simps secl2'-def
  using 1.premis(9) trv2'ne by auto
  have sl2': secl2' = Van.S trv2' @ ssecl2
  using 1.premis(9) trv2(1) v2 by (auto simp: trv2'ne)
  have r2': reachV sv2'
  by (metis 1.premis(5) Van.reach.Step fst-conv snd-conv trv2(2))

  have gam: Γ sv1 secl1 sv2' secl2'
  using ⟨Γ sv1 secl1 sv2 secl2⟩ v2 unw r2' isv12 unfolding unwindSDCond-def
  move2-def
  using 1(2-) by blast

  have gam': Γ (lastt sv1 trv1) ssecl1 (lastt sv2' trv2') ssecl2
  apply(rule 1(1)[rule-format, OF - - gam])
  using trv2 gam sl2' ⟨reachV sv1⟩ ⟨reachV sv2⟩ r2'
  using 1.premis(3,4,6,8)
  by auto (metis Van.validFromS-Cons-iff Van.validFromS-def hd-append
  trv2'ne)

  show ?thesis unfolding trv2 using gam' trv2'ne unfolding lastt-def by
  auto

  next
  case False
  hence length trv1 > Suc 0 using f trv1ne by (simp add: Suc-lessI)
  hence trv1'ne: trv1' ≠ [] by (simp add: trv1(1))

  define secl1' where secl1' ≡ if isSecV sv1 then tl secl1 else secl1
  have v1: validEtransO (sv1,secl1) (sv1',secl1')
  using trv1 unfolding validEtransO.simps secl1'-def
  using 1.premis(8) trv1'ne by auto
  have sl1': secl1' = Van.S trv1' @ ssecl1
  using 1.premis(8) trv1(1) v1 by (auto simp: trv1'ne)
  have r1': reachV sv1'
  by (metis 1.premis(2) Van.reach.Step fst-conv snd-conv trv1(2))

  have gam: Γ sv1' secl1' sv2 secl2
  using ⟨Γ sv1 secl1 sv2 secl2⟩ v1 unw r1' isv12 unfolding unwindSDCond-def
  move1-def
  using 1(2-) by blast

  have gam': Γ (lastt sv1' trv1') ssecl1 (lastt sv2 trv2) ssecl2
  apply(rule 1(1)[rule-format, OF - - gam])

```

```

using trv1 gam sl1' ⟨reachV sv2⟩ ⟨reachV sv1⟩ r1'
using 1.premis
  by auto (metis Van.validFromS-Cons-iff Van.validFromS-def hd-append
trv1'ne)

```

```

show ?thesis unfolding trv1 using gam' trv1'ne unfolding lastt-def by
auto
  qed
  qed
  qed
  qed

```

lemma *S-eq-empty-ConsE*:

```

assumes ⟨Van.S (x # xs) = Opt.S (y # ys)⟩ and ⟨xs ≠ []⟩ and ⟨ys ≠ []⟩
shows ⟨(isSecO y ∧ isSecV x ⟶ getSecV x = getSecO y ∧ Van.S xs = Opt.S
ys)
  ∧ (isSecO y ∧ ¬isSecV x ⟶ Van.S xs = (getSecO y # Opt.S ys))
  ∧ (¬isSecO y ∧ isSecV x ⟶ (getSecV x # Van.S xs) = Opt.S ys)
  ∧ (¬isSecO y ∧ ¬isSecV x ⟶ Van.S xs = Opt.S ys)⟩
using assms unfolding Van.S.Cons-unfold Opt.S.Cons-unfold
by (simp split: if-splits)

```

theorem *unwindSD-rsecure*:

```

assumes tr14: istateO s1 Opt.validFromS s1 tr1 completedFromO s1 tr1
  istateO s4 Opt.validFromS s4 tr2 completedFromO s4 tr2
  Opt.A tr1 = Opt.A tr2 Opt.O tr1 ≠ Opt.O tr2
and init: ∧sv1 sv2. [istateV sv1; corrState sv1 s1; istateV sv2; corrState sv2
s4] ⟹
  Γ sv1 (Opt.S tr1) sv2 (Opt.S tr2)
and unw: unwindSDCond Γ
shows ¬ rsecure
unfolding rsecure-def2 unfolding not-all not-imp
apply(rule exI[of - s1]) apply(rule exI[of - tr1])
apply(rule exI[of - s4]) apply(rule exI[of - tr2])
apply(rule conjI)
subgoal using tr14 by (intro conjI)
subgoal by (metis Van.Istate init unw unwindSDCond-aux) .

```

end

end

2 Secret-Directed Unwinding

This theory formalizes the secret-directed unwinding disproof method for relative security.

theory *SD-Unwinding*

imports *Relative-Security.Relative-Security*
begin

context *Rel-Sec*
begin

fun *lvalidEtransO* **where** *lvalidEtransO* (*s,secl*) (*s',secl'*) \longleftrightarrow
 $\text{validTransV } (s,s') \wedge$
 $(\neg \text{isSecV } s \wedge \text{secl} = \text{secl}' \vee$
 $\text{isSecV } s \wedge \text{secl} = \text{LCons } (\text{getSecV } s) \text{ secl}')$

definition *lmove1* Γ *sv1 secl1 sv2 secl2 \equiv
 $\forall \text{ sv1}' \text{ secl1}'. \text{lvalidEtransO } (\text{sv1},\text{secl1}) (\text{sv1}',\text{secl1}') \longrightarrow \Gamma \text{ sv1}' \text{ secl1}' \text{ sv2 secl2}$*

definition *lmove2* Γ *sv1 secl1 sv2 secl2 \equiv
 $\forall \text{ sv2}' \text{ secl2}'. \text{lvalidEtransO } (\text{sv2},\text{secl2}) (\text{sv2}',\text{secl2}') \longrightarrow \Gamma \text{ sv1 secl1 sv2}' \text{ secl2}'$*

definition *lmove12* Γ *sv1 secl1 sv2 secl2 \equiv
 $\forall \text{ sv1}' \text{ secl1}' \text{ sv2}' \text{ secl2}'.$
 $\text{lvalidEtransO } (\text{sv1},\text{secl1}) (\text{sv1}',\text{secl1}') \wedge \text{lvalidEtransO } (\text{sv2},\text{secl2}) (\text{sv2}',\text{secl2}')$
 $\longrightarrow \Gamma \text{ sv1}' \text{ secl1}' \text{ sv2}' \text{ secl2}'$*

definition *lunwindSDCond* ::
 $(\text{'stateV} \Rightarrow \text{'secret llist} \Rightarrow \text{'stateV} \Rightarrow \text{'secret llist} \Rightarrow \text{bool}) \Rightarrow \text{bool}$

where

lunwindSDCond $\Gamma \equiv \forall \text{ sv1 secl1 sv2 secl2}.$

$\text{reachV } \text{sv1} \wedge \text{reachV } \text{sv2} \wedge$

$\Gamma \text{ sv1 secl1 sv2 secl2}$

\longrightarrow

$(\text{isIntV } \text{sv1} \longleftrightarrow \text{isIntV } \text{sv2}) \wedge$

$(\neg \text{isIntV } \text{sv1} \longrightarrow \text{lmove1 } \Gamma \text{ sv1 secl1 sv2 secl2} \wedge \text{lmove2 } \Gamma \text{ sv1 secl1 sv2 secl2})$

\wedge

$(\text{isIntV } \text{sv1} \wedge \text{getActV } \text{sv1} = \text{getActV } \text{sv2} \longrightarrow \text{getObsV } \text{sv1} = \text{getObsV } \text{sv2} \wedge$
 $\text{lmove12 } \Gamma \text{ sv1 secl1 sv2 secl2})$

lemma *lunwindSDCond-imp*:

assumes *lunwindSDCond* Γ *reachV sv1 reachV sv2* Γ *sv1 secl1 sv2 secl2*

shows

$(\text{isIntV } \text{sv1} \longleftrightarrow \text{isIntV } \text{sv2}) \wedge$

$(\neg \text{isIntV } \text{sv1} \longrightarrow \text{lmove1 } \Gamma \text{ sv1 secl1 sv2 secl2} \wedge \text{lmove2 } \Gamma \text{ sv1 secl1 sv2 secl2})$

\wedge

$(\text{isIntV } \text{sv1} \wedge \text{getActV } \text{sv1} = \text{getActV } \text{sv2} \longrightarrow \text{getObsV } \text{sv1} = \text{getObsV } \text{sv2} \wedge$
 $\text{lmove12 } \Gamma \text{ sv1 secl1 sv2 secl2})$

using *assms unfolding lunwindSDCond-def* **by** *auto*

lemma *lunwindSDCond-lmove12*:

assumes $unw: \text{lunwindSDCond } \Gamma$ **and** $gam: \text{reachV } sv1 \text{ reachV } sv2 \Gamma \text{ } sv1 \text{ } secl1 \text{ } sv2 \text{ } secl2$
and $i: \text{isIntV } sv1 \longrightarrow \text{getActV } sv1 = \text{getActV } sv2$
shows $\text{lmove12 } \Gamma \text{ } sv1 \text{ } secl1 \text{ } sv2 \text{ } secl2$
proof(*cases isIntV sv1*)
 case *True*
 then show *?thesis using unw gam i unfolding lunwindSDCond-def by blast*
next
 case *False*
 then show *?thesis using unw gam unfolding lunwindSDCond-def*
 by (*smt (verit) Van.reach.Step fst-conv lmove12-def lmove1-def lmove2-def*
 lvalidEtransO.simps snd-conv)
qed

proposition *unwindSDCond-aux-inductive*:
assumes $unw: \text{lunwindSDCond } \Gamma$
and $1: \Gamma \text{ } sv1 \text{ } secl1 \text{ } sv2 \text{ } secl2$
 $\text{reachV } sv1 \text{ Van.validFromS } sv1 \text{ } (trv1 \text{ @ } [ssv1]) \text{ never isIntV } trv1$ **and** $11: \text{isIntV } ssv1$ **and**
 $2: \text{reachV } sv2 \text{ Van.validFromS } sv2 \text{ } (trv2 \text{ @ } [ssv2]) \text{ never isIntV } trv2$ **and** $22: \text{isIntV } ssv2$ **and**
 $3: \text{lappend } (\text{llist-of } (\text{Van.S } trv1)) \text{ } ssecl1 = secl1 \text{ lappend } (\text{llist-of } (\text{Van.S } trv2)) \text{ } ssecl2 = secl2$
shows $\Gamma \text{ } (\text{lastt } sv1 \text{ } trv1) \text{ } ssecl1 \text{ } (\text{lastt } sv2 \text{ } trv2) \text{ } ssecl2$
using $1 \ 2 \ 3$ **proof**(*induct length trv1 + length trv2 arbitrary: sv1 sv2 trv1 trv2 secl1 secl2 rule: nat-less-induct*)
 case ($1 \ trv1 \ trv2 \ sv1 \ sv2 \ secl1 \ secl2$)
 show *?case*
 proof(*cases isIntV sv1*)
 case *True* **hence** $sv2: \text{isIntV } sv2$ **using** $1(2-)$ *unw unfolding lunwindSD-Cond-def by auto*
 note $isv12 = \text{True this}$

 have $trv1: trv1 = []$ **using** $\text{True } 1(4,5)$ **unfolding** *list-all-nth* **apply**(*cases trv1, auto*)
 by (*metis Van.validFromS-Cons-iff nth-Cons-0 zero-less-Suc*)
 have $trv2: trv2 = []$ **using** $sv2 \ 1(7,8)$ **unfolding** *list-all-nth* **apply**(*cases trv2, auto*)
 by (*metis Van.validFromS-Cons-iff nth-Cons-0 zero-less-Suc*)

 show *?thesis using 1(2-) by (simp add: trv1 trv2 Van.S-def)*
next
 case *False* **hence** $\neg \text{isIntV } sv2$ **using** $1(2-)$ *unw unfolding lunwindSD-Cond-def by auto*
 note $isv12 = \text{False this}$
 have $trv1ne: trv1 \neq []$ **using** $isv12 \ 1.\text{prems}(3) \ 11$ **by** *force*
 then obtain $sv1' \ trv1'$ **where** $trv1: trv1 = sv1 \ \# \ trv1' \ \text{validTransV } (sv1, sv1')$

```

    Van.validFromS sv1' trv1' never isIntV trv1'
  using ⟨Van.validFromS sv1 (trv1 @ [ssv1])⟩ ⟨never isIntV trv1⟩
  by (metis Simple-Transition-System.validFromS-Cons-iff Simple-Transition-System.validFromS-def

    Simple-Transition-System.validS-append1
    Simple-Transition-System.validS-validTrans hd-append2 list-all-simps(1) neq-Nil-conv
  snoc-eq-iff-butlast)
  have trv2ne: trv2 ≠ [] using isv12 1.prem(6) 22 by force
  then obtain sv2' trv2' where trv2: trv2 = sv2 # trv2' validTransV (sv2,sv2')

    Van.validFromS sv2' trv2' never isIntV trv2'
  using ⟨Van.validFromS sv2 (trv2 @ [ssv2])⟩ ⟨never isIntV trv2⟩
  by (metis Simple-Transition-System.validFromS-Cons-iff Simple-Transition-System.validFromS-def

    Simple-Transition-System.validS-append1
    Simple-Transition-System.validS-validTrans hd-append2 list-all-simps(1) neq-Nil-conv
  snoc-eq-iff-butlast)

  show ?thesis
  proof(cases length trv1 = Suc 0 ∧ length trv2 = Suc 0)
  case True
  hence trv12: trv1 = [sv1] ∧ trv2 = [sv2] apply(intro conjI)
  subgoal using 1(4) Van.validFromS-Cons-iff by (cases trv1, auto)
  subgoal using 1(7) Van.validFromS-Cons-iff by (cases trv2, auto) .
  show ?thesis using 1(2) 1.prem(8,9) trv12 unfolding lastt-def
  using Van.S.FiltermapBL FiltermapBL.simps(4) by fastforce
  next
  case False note f = False
  show ?thesis
  proof(cases length trv1 = Suc 0)
  case True
  hence length trv2 > Suc 0 using f trv2ne by (simp add: Suc-lessI)
  hence trv2'ne: trv2' ≠ [] by (simp add: trv2(1))

  define secl2' where secl2' ≡ if isSecV sv2 then ltl secl2 else secl2
  have v2: lvalidEtransO (sv2,secl2) (sv2',secl2')
  using trv2 unfolding lvalidEtransO.simps secl2'-def
  using 1.prem(9) trv2'ne Van.S.FiltermapBL FiltermapBL.simps(3) by
  fastforce
  have sl2': secl2' = lappend (llist-of (Van.S trv2')) ssecl2
  using 1.prem(9) trv2(1) v2 by (auto simp: trv2'ne)
  have r2': reachV sv2'
  by (metis 1.prem(5) Van.reach.Step fst-conv snd-conv trv2(2))

  have gam: Γ sv1 secl1 sv2' secl2'
  using ⟨Γ sv1 secl1 sv2 secl2⟩ v2 unω r2' isv12 unfolding lunwindSDCond-def
  lmove2-def
  using 1(2-) by blast

```

```

have gam':  $\Gamma$  (lastt sv1 trv1) ssecl1 (lastt sv2' trv2') ssecl2
apply(rule 1(1)[rule-format, OF - - gam])
using trv2 gam sl2' <reachV sv1> <reachV sv2> r2'
using 1.premis(3,4,6,8)
by auto (metis Van.validFromS-Cons-iff Van.validFromS-def hd-append
trv2'ne)

show ?thesis unfolding trv2 using gam' trv2'ne unfolding lastt-def by
auto
next
case False
hence length trv1 > Suc 0 using f trv1ne by (simp add: Suc-lessI)
hence trv1'ne: trv1'  $\neq$  [] by (simp add: trv1(1))

define secl1' where secl1'  $\equiv$  if isSecV sv1 then ltl secl1 else secl1
have v1: lvalidEtransO (sv1,secl1) (sv1',secl1')
using trv1 unfolding lvalidEtransO.simps secl1'-def
using 1.premis(8) trv1'ne Van.S.FiltermapBL FiltermapBL.Cons-unfold by
fastforce
have sl1': secl1' = lappend (llist-of (Van.S trv1')) ssecl1
using 1.premis(8) trv1(1) v1 by (auto simp: trv1'ne)
have r1': reachV sv1'
by (metis 1.premis(2) Van.reach.Step fst-conv snd-conv trv1(2))

have gam:  $\Gamma$  sv1' secl1' sv2 secl2
using < $\Gamma$  sv1 secl1 sv2 secl2> v1 unw r1' isv12 unfolding lunwindSDCond-def
lmove1-def
using 1(2-) by blast

have gam':  $\Gamma$  (lastt sv1' trv1') ssecl1 (lastt sv2 trv2) ssecl2
apply(rule 1(1)[rule-format, OF - - gam])
using trv1 gam sl1' <reachV sv2> <reachV sv1> r1'
using 1.premis
by auto (metis Van.validFromS-Cons-iff Van.validFromS-def hd-append
trv1'ne)

show ?thesis unfolding trv1 using gam' trv1'ne unfolding lastt-def by
auto
qed
qed
qed
qed

```

proposition unwindSDCond-inductive:
assumes unw: lunwindSDCond Γ
and gam: Γ sv1 secl1 sv2 secl2 **and**
trv1: reachV sv1 Van.validFromS sv1 (trv1 @ [ssv1]) never isIntV trv1 isIntV ssv1
and

$trv2: reachV\ sv2\ Van.validFromS\ sv2\ (trv2\ @\ [ssv2])\ never\ isIntV\ trv2\ isIntV\ ssv2$
and
 $s: lappend\ (l\text{list-of}\ (map\ getSecV\ (filter\ isSecV\ trv1)))\ ssecl1 = secl1$
 $lappend\ (l\text{list-of}\ (map\ getSecV\ (filter\ isSecV\ trv2)))\ ssecl2 = secl2$
shows $(getActV\ ssv1 = getActV\ ssv2 \longrightarrow \Gamma\ ssv1\ ssecl1\ ssv2\ ssecl2) \wedge$
 $(getActV\ ssv1 = getActV\ ssv2 \longrightarrow getObsV\ ssv1 = getObsV\ ssv2)$
proof –
define $ssecl1'$ **where** $ssecl1' = (if\ trv1 = [] \vee (trv1 \neq [] \wedge \neg\ isSecV\ (last\ trv1))$
 $then\ ssecl1\ else\ (getSecV\ (last\ trv1))\ \$\ ssecl1)$
define $ssecl2'$ **where** $ssecl2' = (if\ trv2 = [] \vee (trv2 \neq [] \wedge \neg\ isSecV\ (last\ trv2))$
 $then\ ssecl2\ else\ (getSecV\ (last\ trv2))\ \$\ ssecl2)$
have $s': lappend\ (l\text{list-of}\ (Van.S\ trv1))\ ssecl1' = secl1$
 $lappend\ (l\text{list-of}\ (Van.S\ trv2))\ ssecl2' = secl2$
subgoal unfolding $ssecl1'$ -def $s[symmetric]$ $Van.S.map-filter$
by simp $(metis\ List-Filtermap.filtermap-def\ filtermap-butlast\ holds-filtermap-RCons$
 $lappend-l\text{list-of-LCons}\ snoc-eq-iff-butlast)$
subgoal unfolding $ssecl2'$ -def $s[symmetric]$ $Van.S.map-filter$
by simp $(metis\ List-Filtermap.filtermap-def\ append-butlast-last-id\ filtermap-butlast$
 $holds-filtermap-RCons\ lappend-l\text{list-of-LCons})$.

have $gg: \Gamma\ (lastt\ sv1\ trv1)\ ssecl1'\ (lastt\ sv2\ trv2)\ ssecl2'$
using $unwindSDCond-aux-inductive[OF\ unw\ gam\ trv1\ trv2\ s']$.
have $rsv1: reachV\ (lastt\ sv1\ trv1)$
by $(metis\ Van.reach-validFromS-reach\ Van.validFromS-def\ Van.validS-append1$
 $append-is-Nil-conv\ assms(3)\ assms(4)\ hd-append\ lastt-def)$
have $rsv2: reachV\ (lastt\ sv2\ trv2)$
by $(metis\ Van.lvalidFromS-lappend-finite\ Van.lvalidFromS-l\text{list-of-validFromS}$
 $Van.reach-validFromS-reach\ assms(7)\ assms(8)\ lappend-l\text{list-of-l\text{list-of}\ lastt-def)$

have $trv1 = [] \longleftrightarrow trv2 = []$ **using** $trv1(2-4)\ trv2(2-4)$ **unfolding** $list-all-nth$

apply safe
subgoal by simp $(smt\ (verit)\ Simple-Transition-System.validFromS-def\ assms(3)$
 $assms(7)\ gam$
 $hd-append\ hd-conv-nth\ length-greater-0-conv\ lunwindSDCond-def\ snoc-eq-iff-butlast$
 $unw)$
subgoal by simp $(smt\ (verit)\ Simple-Transition-System.validFromS-def\ assms(3)$
 $assms(7)\ gam$
 $hd-append\ hd-conv-nth\ length-greater-0-conv\ lunwindSDCond-def\ snoc-eq-iff-butlast$
 $unw)$.

hence $ddd: (trv1 = [] \wedge trv2 = []) \vee (trv1 \neq [] \wedge trv2 \neq [])$ **by blast**

show $?thesis$
using ddd **proof** $(elim\ conjE\ disjE)$
assume $trv12: trv1 = []\ trv2 = []$
hence $sv12: ssv1 = lastt\ sv1\ trv1\ ssv2 = lastt\ sv2\ trv2$ **and** $sv12': ssv1 = sv1$
 $ssv2 = sv2$
and $secl: ssecl1 = ssecl1'\ ssecl2 = ssecl2'$

```

using trv1(2) trv2(2) ssecl1'-def ssecl2'-def by auto

show ?thesis proof safe
show g:  $\Gamma$  ssv1 ssecl1 ssv2 ssecl2 unfolding sv12 secl using gg .
assume getActV ssv1 = getActV ssv2
thus getObsV ssv1 = getObsV ssv2
using lunwindSDCond-imp[OF unvw rsv1 rsv2, unfolded sv12[symmetric], OF
g] trv1(4) by auto
qed
next
assume trv12: trv1  $\neq$  [] trv2  $\neq$  []
have n:  $\neg$  isIntV (last trv1)
by (metis append-butlast-last-id list.pred-inject(2) list-all-append trv1(3)
trv12(1))
have v1: validTransV (lastt sv1 trv1, ssv1)
by (metis Van.validFromS-def Van.validS-validTrans lastt-def list.sel(1) not-Cons-self
snoc-eq-iff-butlast trv1(2) trv12(1))
have v2: validTransV (lastt sv2 trv2, ssv2)
by (metis Nil-is-append-conv Van.validFromS-def Van.validS-validTrans lastt-def
list.discI list.sel(1) trv12(2) trv2(2))
show ?thesis proof safe
assume gssv12: getActV ssv1 = getActV ssv2
show g:  $\Gamma$  ssv1 ssecl1 ssv2 ssecl2
apply(rule lunwindSDCond-lmove12[OF unvw rsv1 rsv2 gg, unfolded lmove12-def,
rule-format])
unfolding lvalidEtransO.simps ssecl1'-def ssecl2'-def
using trv12 v1 v2 n by (auto simp: lastt-def)

show getObsV ssv1 = getObsV ssv2 using gssv12
using lunwindSDCond-imp[OF unvw - - g]
by (metis Van.reach.Step fst-conv rsv1 rsv2 snd-conv trv1(4) v1 v2)
qed
qed
qed

```

proposition lunwindSDCond-aux:
assumes unvw: lunwindSDCond Γ
and 1: Γ sv1 secl1 sv2 secl2
reachV sv1 Van.lvalidFromS sv1 trv1 lcompletedFromV sv1 trv1
reachV sv2 Van.lvalidFromS sv2 trv2 lcompletedFromV sv2 trv2
Van.lS trv1 = secl1 Van.lS trv2 = secl2
Van.lA trv1 = Van.lA trv2
shows Van.lO trv1 = Van.lO trv2
proof –
{**fix** obl1 obl2
assume \exists sv1 trv1 secl1 sv2 trv2 secl2. obl1 = Van.lO trv1 \wedge obl2 = Van.lO
trv2 \wedge
 Γ sv1 secl1 sv2 secl2 \wedge
reachV sv1 \wedge Van.lvalidFromS sv1 trv1 \wedge lcompletedFromV sv1 trv1 \wedge

$reachV\ sv2 \wedge Van.lvalidFromS\ sv2\ trv2 \wedge lcompletedFromV\ sv2\ trv2 \wedge$
 $Van.lS\ trv1 = secl1 \wedge Van.lS\ trv2 = secl2 \wedge Van.lA\ trv1 = Van.lA\ trv2$
hence $obl1 = obl2$
proof (*coinduct rule: llist.coinduct*)
case (*Eq-llist obl1 obl2*)
then obtain $sv1\ trv1\ secl1\ sv2\ trv2\ secl2$ **where** $obl: obl1 = Van.lO\ trv1\ obl2$
 $= Van.lO\ trv2$
and $gam: \Gamma\ sv1\ secl1\ sv2\ secl2$
and $trv1: reachV\ sv1\ Van.lvalidFromS\ sv1\ trv1\ lcompletedFromV\ sv1\ trv1$
and $trv2: reachV\ sv2\ Van.lvalidFromS\ sv2\ trv2\ lcompletedFromV\ sv2\ trv2$
and $Str: Van.lS\ trv1 = secl1\ Van.lS\ trv2 = secl2$ **and** $Atr: Van.lA\ trv1 =$
 $Van.lA\ trv2$
by *blast*
show *?case proof(intro conjI impI)*
show $lnull: lnull\ obl1 = lnull\ obl2$
using $obl\ Atr$ **unfolding** *lnull-def*
by (*metis LNil-eq-lmap Van.lA.lmap-lfilter Van.lO.lmap-lfilter*)

assume $ln: \neg\ lnull\ obl1 \neg\ lnull\ obl2$
then obtain $ob1\ obl1'\ ob2\ obl2'$ **where**
 $obl1: obl1 = LCons\ ob1\ obl1'$ **and** $obl2: obl2 = LCons\ ob2\ obl2'$
unfolding *lnull-def* **using** *llist.exhaust-sel* **by** *blast*
hence $lhd: lhd\ obl1 = ob1\ lhd\ obl2 = ob2$
and $ltl: ltl\ obl1 = obl1'\ ltl\ obl2 = obl2'$
by *auto*

obtain $ftrv1\ sv1'\ trv1'$ **where**
 $trv1\text{-eq}: trv1 = lappend\ (llist\text{-of}\ ftrv1)\ (sv1'\ \$\ trv1')$ **and** $ftrv1a: never\ isIntV$
 $ftrv1$
and $sv1': isIntV\ sv1'\ getObsV\ sv1' = ob1$ **and** $trv1': Van.lO\ trv1' = obl1'$
using $Van.lO.\text{eq-}LCons[OF\ obl(1)[symmetric,\ unfolded\ obl1]]$ **by** *auto*
define $sv11$ **where** $sv11 = lastt\ sv1\ ftrv1$
have $trv11': Van.lvalidFromS\ sv1'\ (sv1'\ \$\ trv1')$
and $ftrv1b: Van.validFromS\ sv1\ ftrv1$
 $(ftrv1 = [] \wedge sv1 = sv1' \wedge sv11 = sv1) \vee (ftrv1 \neq [] \wedge validTransV\ (sv11,$
 $sv1'))$
using $trv1(2)$
unfolding $trv1\text{-eq}$ **unfolding** $Van.lvalidFromS\text{-lappend-}LCons$
unfolding $lastt\text{-def}\ sv11\text{-def}$ **by** *auto*
note $ftrv1 = ftrv1a\ ftrv1b$
have $fftrv1: filter\ isIntV\ ftrv1 = []$ **by** (*metis ftrv1(1) never-Nil-filter*)
have $ftrv1c: Van.validFromS\ sv1\ (ftrv1\ @\ [sv1'])$

by (*metis Van.lvalidFromS-lappend-finite lappend-llist-of-LCons trv1(2) trv1-eq*)

define $ssv1'$ **where** $ssv1' \equiv if\ trv1' = [[]]\ then\ sv1'\ else\ lhd\ trv1'$

obtain $ftrv2\ sv2'\ trv2'$ **where**
 $trv2\text{-eq}: trv2 = lappend\ (llist\text{-of}\ ftrv2)\ (sv2'\ \$\ trv2')$ **and** $ftrv2a: never\ isIntV$

```

ftrv2
  and sv2': isIntV sv2' getObsV sv2' = ob2 and trv2': Van.lO trv2' = obl2'
  using Van.lO.eq-LCons[OF obl(2)][symmetric, unfolded obl2]] by auto
  define sv22 where sv22 = lastt sv2 ftrv2
  have trv22': Van.lvalidFromS sv2' (sv2' $ trv2')
  and ftrv2b: Van.validFromS sv2 ftrv2
  (ftrv2 = [] ∧ sv2 = sv2' ∧ sv22 = sv2) ∨ (ftrv2 ≠ [] ∧ validTransV (sv22,
sv2'))
  using trv2(2)
  unfolding trv2-eq unfolding Van.lvalidFromS-lappend-LCons
  unfolding lastt-def sv22-def by auto
  note ftrv2 = ftrv2a ftrv2b
  have ftrv2c: filter isIntV ftrv2 = [] by (metis ftrv2(1) never-Nil-filter)
  have ftrv2c: Van.validFromS sv2 (ftrv2 @ [sv2'])
  by (metis Van.lvalidFromS-lappend-finite lappend-llist-of-LCons trv2(2) trv2-eq)

  define ssv2' where ssv2' ≡ if trv2' = [[]] then sv2' else lhd trv2'
  have rsv1': reachV sv1'
    by (metis Van.reach.Step Van.reach-validFromS-reach
      fst-conv ftrv1b(1) ftrv1b(2) lastt-def sv11-def snd-conv trv1(1))
  have rsv2': reachV sv2'
    by (metis Van.reach.Step Van.reach-validFromS-reach fst-conv ftrv2b(1)
      ftrv2b(2) lastt-def sv22-def snd-conv trv2(1))

  have rsv11: reachV sv11
    by (metis Van.reach-validFromS-reach ftrv1b(1) lastt-def sv11-def trv1(1))
  have rsv22: reachV sv22
    by (metis Van.reach-validFromS-reach ftrv2b(1) lastt-def sv22-def trv2(1))

  define secl1' secl2' where secl1': secl1' ≡ Van.lS trv1' and secl2': secl2' ≡
Van.lS trv2'

  define ssecl1' where ssecl1' ≡ Van.lS (sv1' $ trv1')
  define ssecl2' where ssecl2' ≡ Van.lS (sv2' $ trv2')

  have trv12'ne: trv1' ≠ [[]] ∧ trv2' ≠ [[]]
    by (metis Van.lO.lmap-lfilter Van.lO.simps(4) ftrv1 ftrv2 lappend-LNil2
lbutlast-lappend
  lbutlast-singl lfilter-LNil lfilter-llist-of llist.distinct(1) llist-of.simps(1) obl(1)
obl(2) obl1 obl2 trv1-eq trv2-eq)

  have gasv12': getActV sv1' = getActV sv2' using Atr trv12'ne unfolding
trv1-eq trv2-eq
  unfolding Van.lA.lmap-lfilter
  using ftrv1 ftrv2 sv1'(1) sv2'(1)
  by (auto simp: lbutlast-lappend lmap-lappend-distrib lappend-eq-LNil-iff split:
if-splits)

```


have *ggam-gao*: (*getActV sv1' = getActV sv2' → Γ sv1' ssecl1' sv2' ssecl2'*)
 \wedge (*getActV sv1' = getActV sv2' → getObsV sv1' = getObsV sv2'*)
apply(*rule unwindSDCond-inductive*[*OF unW gam*
trv1(1) ftrv1c ftrv1(1) sv1'(1)
trv2(1) ftrv2c ftrv2(1) sv2'(1)
])
subgoal unfolding *Str(1)*[*symmetric*] **unfolding** *trv1-eq*
unfolding *ssecl1'-def sv11-def Van.S.map-filter Van.lS.lmap-lfilter*
by (*auto simp: lastt-def lbutlast-lappend lmap-lappend-distrib lappend-eq-LNil-iff*
split: if-splits)
subgoal unfolding *Str(2)*[*symmetric*] **unfolding** *trv2-eq*
unfolding *ssecl2'-def sv11-def Van.S.map-filter Van.lS.lmap-lfilter*
by (*auto simp: lastt-def lbutlast-lappend lmap-lappend-distrib lappend-eq-LNil-iff*)
.

note *ggam = ggam-gao*[*THEN conjunct1, rule-format, OF gasv12'*] **note**
gao = ggam-gao[*THEN conjunct2, rule-format, OF gasv12'*]

have *getObsV sv1' = getObsV sv2'* **using** *gao gasv12'* **by** *simp*

thus *lhd obl1 = lhd obl2*
unfolding *lhd sv1'(2)*[*symmetric*] *sv2'(2)*[*symmetric*] .

show $\exists sv1 trv1 secl1 sv2 trv2 secl2$.
ltl obl1 = Van.lO trv1 \wedge *ltl obl2 = Van.lO trv2* \wedge
 $\Gamma sv1 secl1 sv2 secl2 \wedge$
reachV sv1 \wedge *Van.lvalidFromS sv1 trv1* \wedge *lcompletedFromV sv1 trv1* \wedge
reachV sv2 \wedge *Van.lvalidFromS sv2 trv2* \wedge *lcompletedFromV sv2 trv2* \wedge
Van.lS trv1 = secl1 \wedge *Van.lS trv2 = secl2* \wedge *Van.lA trv1 = Van.lA trv2*
proof(*intro exI*[*of - ssv1'*] *exI*[*of - trv1'*], *rule exI*[*of - secl1'*],
intro exI[*of - ssv2'*] *exI*[*of - trv2'*], *rule exI*[*of - secl2'*],
intro conjI)
show *reachV ssv1'*
unfolding *ssv1'-def* **using** *rsv1'* **apply**(*cases trv1' = []*), *simp-all*)
by (*metis Van.lvalidFromS-Cons-iff Van.reach.simps fst-conv snd-conv trv11'*)
show *reachV ssv2'*
unfolding *ssv2'-def* **using** *rsv2'* **apply**(*cases trv2' = []*), *simp-all*)
by (*metis Van.lvalidFromS-Cons-iff Van.reach.simps fst-conv snd-conv trv22'*)

show *ltl obl1 = Van.lO trv1'* **unfolding** *ltl trv1'* ..
show *ltl obl2 = Van.lO trv2'* **unfolding** *ltl trv2'* ..

show *Van.lvalidFromS ssv1' trv1'* **using** *trv11' Van.lvalidFromS-Cons-iff*
ssv1'-def **by** *auto*
show *lc1': lcompletedFromV ssv1' trv1'* **using** *trv1(3)* **unfolding** *trv1-eq*
unfolding *Van.lcompletedFrom-def*
by (*metis lfinite-code(2) lfinite-lappend lfinite-llist-of llast-LCons2*
llast-lappend-LCons llast-last-llist-of llist.exhaust-sel trv12'ne)
show *Van.lvalidFromS ssv2' trv2'* **using** *trv22' Van.lvalidFromS-Cons-iff*
ssv2'-def **by** *auto*

```

show  $lc2'$ :  $lcompletedFromV$   $ssv2'$   $trv2'$  using  $trv2(3)$  unfolding  $trv2\text{-eq}$ 
unfolding  $Van.lcompletedFrom\text{-def}$ 
by ( $metis$   $lfinite\text{-code}(2)$   $lfinite\text{-lappend}$   $lfinite\text{-llist-of}$   $l\text{last-LCons2}$   $l\text{last-lappend-LCons}$ 
 $l\text{last-last-llist-of}$   $l\text{list.exhaust-sel}$   $trv12'$   $ne$ )

```

```

show  $Van.lA$   $trv1'$  =  $Van.lA$   $trv2'$ 
using  $Atr$  unfolding  $trv1\text{-eq}$   $trv2\text{-eq}$  using  $ftrv1(1)$   $ftrv2(1)$   $sv1'(1)$   $sv2'(1)$ 
unfolding  $Van.lA.lmap\text{-lfilter}$ 
by ( $simp$   $add$ :  $fftrv1$   $fftrv2$   $lbutlast\text{-lappend}$   $trv12'$   $ne$ )

```

```

show  $Van.lS$   $trv1'$  =  $secl1'$  unfolding  $secl1'$  ..
show  $Van.lS$   $trv2'$  =  $secl2'$  unfolding  $secl2'$  ..

```

```

show  $\Gamma$   $ssv1'$   $secl1'$   $ssv2'$   $secl2'$ 
  apply( $rule$   $lunwindSDCond\text{-lmove12}[OF$   $unw$   $rsv1'$   $rsv2'$   $ggam$ ,  $unfolded$ 
 $lmove12\text{-def}$ ,  $rule\text{-format}$ ,  $OF$   $gasv12'$   $\wedge$ ])
  apply( $rule$   $conjI$ )
  subgoal unfolding  $lvalidEtransO.simps$  apply( $rule$   $conjI$ )
    subgoal using  $trv12'$   $ne$   $Van.lvalidFromS\text{-Cons-iff}$   $trv11'$  unfolding
 $ssv1'\text{-def}$  by  $auto$ 
      subgoal using  $trv12'$   $ne$  unfolding  $ssecl1'\text{-def}$   $secl1'$  by ( $auto$   $simp$ :
 $Van.lS.lmap\text{-lfilter}$ ) .
        subgoal unfolding  $lvalidEtransO.simps$  apply( $rule$   $conjI$ )
          subgoal using  $trv12'$   $ne$   $Van.lvalidFromS\text{-Cons-iff}$   $trv22'$  unfolding
 $ssv2'\text{-def}$  by  $auto$ 
            subgoal using  $trv12'$   $ne$  unfolding  $ssecl2'\text{-def}$   $secl2'$  by ( $auto$   $simp$ :
 $Van.lS.lmap\text{-lfilter}$ ) . .
              qed
            qed
          qed
        qed
      qed
    qed
  thus  $?thesis$  using  $assms$  by  $blast$ 
qed

```

theorem $unwindSD\text{-lrsecure}$:

```

assumes  $tr14$ :  $istateO$   $s1$   $Opt.lvalidFromS$   $s1$   $tr1$   $lcompletedFromO$   $s1$   $tr1$ 
 $istateO$   $s2$   $Opt.lvalidFromS$   $s2$   $tr2$   $lcompletedFromO$   $s2$   $tr2$ 
 $Opt.lA$   $tr1$  =  $Opt.lA$   $tr2$   $Opt.lO$   $tr1$   $\neq$   $Opt.lO$   $tr2$ 
and  $init$ :  $\bigwedge sv1$   $sv2$ .  $istateV$   $sv1$   $\implies$   $corrState$   $sv1$   $s1$   $\implies$   $istateV$   $sv2$   $\implies$   $corrState$ 
 $sv2$   $s2$   $\implies$ 
   $\Gamma$   $sv1$  ( $Opt.lS$   $tr1$ )  $sv2$  ( $Opt.lS$   $tr2$ )
and  $unw$ :  $lunwindSDCond$   $\Gamma$ 
shows  $\neg$   $lrsecure$ 
unfolding  $lrsecure\text{-def2}$  unfolding  $not\text{-all}$   $not\text{-imp}$ 
apply( $rule$   $exI[of - s1]$ ) apply( $rule$   $exI[of - tr1]$ )
apply( $rule$   $exI[of - s2]$ ) apply( $rule$   $exI[of - tr2]$ )
apply( $rule$   $conjI$ )
  subgoal using  $tr14$  by  $auto$ 
  subgoal unfolding  $not\text{-ex}$  apply  $safe$ 

```

```

subgoal for sv1 trv1 sv2 trv2 apply(erule cnf.clause2raw-notE[of Van.lO trv1
≠ Van.lO trv2], simp)
apply(rule lunwindSDCond-aux[OF unw, OF init])
using Van.Istate by auto . .

end

end

```

References

- [1] A. P. Brijesh Dongol, Matt Griffin and J. Wright. Relative security: Formally modeling and (dis)proving resilience against semantic optimization vulnerabilities. In *37th IEEE Computer Security Foundations Symposium, CSF 2024*. To appear.
- [2] A. Popescu, T. Bauereiss, and P. Lammich. Bounded-Deducibility security (invited paper). In L. Cohen and C. Kaliszyk, editors, *12th International Conference on Interactive Theorem Proving, ITP 2021, June 29 to July 1, 2021, Rome, Italy (Virtual Conference)*, volume 193 of *LIPICs*, pages 3:1–3:20. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2021.