

# Schönhage-Strassen Multiplication on Integers

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## Abstract

We give a verified implementation of the Schönhage-Strassen Multiplication on Integers based on the original paper by Schönhage and Strassen [3] and verify its asymptotic complexity of  $\mathcal{O}(n \log n \log \log n)$  bit operations.

Integers are represented as LSBF (least significant bit first) boolean lists. The running time is verified using the Time Monad defined in [2]. For verifying correctness, we adapt the formalization of Number Theoretic Transforms (NTTs) by Ammer and Kreuzer [1] to the context of rings that need not be fields.

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## 1 Preliminaries

**theory** *Schoenhage-Strassen-Preliminaries*

**imports**

*Main*

*HOL-Library.FuncSet*

*Karatsuba.Karatsuba-Preliminaries*

*Karatsuba.Nat-LSBF*

**begin**

**lemma** *two-pow-pos*:  $(2 :: nat) ^ x > 0$   
 ⟨*proof*⟩

**lemma** *length-take-cobounded1*:  $\text{length } (\text{take } n \text{ } xs) \leq n$   
 ⟨*proof*⟩

**lemma** *const-diff-mod-idem*:  
**assumes**  $m \geq (n :: nat)$   
 $f = (\lambda i. (m - i) \bmod n)$   
**shows**  $(\bigwedge i. i \in \{0..<n\} \implies f (f i) = i)$   
 ⟨*proof*⟩

**lemma** *const-diff-mod-bij*:  $m \geq (n :: nat) \implies \text{bij-betw } (\lambda i. (m - i) \bmod n) \{0..<n\} \{0..<n\}$   
 ⟨*proof*⟩

**lemma** *const-add-mod-bij*:  $\text{bij-betw } (\lambda i. ((m :: nat) + i) \bmod n) \{0..<n\} \{0..<n\}$   
 ⟨*proof*⟩

**lemma** *mod-diff-add-eq*:  $(a - b + c) \bmod (m :: int) = (a \bmod m - b \bmod m + c \bmod m) \bmod m$   
 ⟨*proof*⟩

**lemma** *set-map-subseteqI*:  
**assumes**  $\bigwedge x. x \in A \implies f x \in B$   
**assumes**  $\text{set } xs \subseteq A$   
**shows**  $\text{set } (\text{map } f \text{ } xs) \subseteq B$   
 ⟨*proof*⟩

**lemma** *in-set-conv-nth-map2*:  
**assumes**  $z \in \text{set } (\text{map2 } f \text{ } xs \text{ } ys)$   
**shows**  $\exists i. i < \min (\text{length } xs) (\text{length } ys) \wedge z = f (xs ! i) (ys ! i)$

*<proof>*

**lemma** *set-map2*:

**assumes**  $z \in \text{set } (\text{map2 } f \text{ } xs \text{ } ys)$

**shows**  $\exists x y. x \in \text{set } xs \wedge y \in \text{set } ys \wedge z = f \ x \ y$

*<proof>*

**lemma** *set-map2-subseteqI*:

**assumes**  $\bigwedge x y. x \in A \implies y \in B \implies f \ x \ y \in C$

**assumes**  $\text{set } xs \subseteq A \ \text{set } ys \subseteq B$

**shows**  $\text{set } (\text{map2 } f \text{ } xs \text{ } ys) \subseteq C$

*<proof>*

**lemma** *in-set-conv-nth-map3*:

**assumes**  $w \in \text{set } (\text{map3 } f \text{ } xs \text{ } ys \text{ } zs)$

**shows**  $\exists i. i < \min (\min (\text{length } xs) (\text{length } ys)) (\text{length } zs) \wedge w = f \ (xs \ ! \ i) \ (ys \ ! \ i) \ (zs \ ! \ i)$

*<proof>*

**lemma** *set-map3*:

**assumes**  $w \in \text{set } (\text{map3 } f \text{ } xs \text{ } ys \text{ } zs)$

**shows**  $\exists x \ y \ z. x \in \text{set } xs \wedge y \in \text{set } ys \wedge z \in \text{set } zs \wedge w = f \ x \ y \ z$

*<proof>*

**lemma** *set-map3-subseteqI*:

**assumes**  $\bigwedge x \ y \ z. x \in A \implies y \in B \implies z \in C \implies f \ x \ y \ z \in D$

**assumes**  $\text{set } xs \subseteq A \ \text{set } ys \subseteq B \ \text{set } zs \subseteq C$

**shows**  $\text{set } (\text{map3 } f \text{ } xs \text{ } ys \text{ } zs) \subseteq D$

*<proof>*

**lemma** *map3-compose3*:  $\text{map3 } (\lambda x \ y \ z. f \ x \ y \ (g \ z)) \ xs \ ys \ zs = \text{map3 } f \ xs \ ys \ (\text{map } g \ zs)$

*<proof>*

**definition** *rotate-left* ::  $\text{nat} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list}$  **where**

$\text{rotate-left } k \ xs = (\text{let } (xs1, xs2) = \text{split-at } (k \ \text{mod } \text{length } xs) \ xs \ \text{in } xs2 \ @ \ xs1)$

**lemma** *rotate-left-rotate[simp]*:  $\text{rotate-left } k \ xs = \text{rotate } k \ xs$

*<proof>*

**definition** *rotate-right* **where**

$\text{rotate-right } k \ xs = \text{rotate-left } (\text{length } xs - (k \ \text{mod } \text{length } xs)) \ xs$

**lemma** *length-rotate-right[simp]*:  $\text{length } (\text{rotate-right } k \ xs) = \text{length } xs$

*<proof>*

**lemma** *rotate-right-rotate[simp]*:  $\text{rotate-right } k \ (\text{rotate } k \ xs) = xs$

*<proof>*

**lemma** *rotate-rotate-right*[simp]:  $\text{rotate } k (\text{rotate-right } k \text{ } xs) = xs$   
(proof)

**value** *rotate* 5 [1::nat..<8]  
**value** *rotate-right* 3 [True, False, False]

**lemma** *rotate-right-append*:  $\text{rotate-right } (\text{length } q) (l @ q) = q @ l$   
(proof)

**lemma** *rotate-right-conv-mod*:  $\text{rotate-right } n \text{ } xs = \text{rotate-right } (n \bmod \text{length } xs) \text{ } xs$   
(proof)

**lemma** *mod-diff-right-eq-nat*:  
assumes  $(a::nat) \geq b$   
shows  $(a - b) \bmod m = (a - (b \bmod m)) \bmod m$   
(proof)

**lemma** *rotate-right k (rotate-right l xs) = rotate-right (k + l) xs*  
(proof)

**lemma** *nth-rotate-right*:  $n < \text{length } xs \implies m < \text{length } xs \implies \text{rotate-right } m \text{ } xs !$   
 $n = xs ! ((n + \text{length } xs - m) \bmod \text{length } xs)$   
(proof)

end

## 1.1 Some Running Time Formalizations

**theory** *Schoenhage-Strassen-Runtime-Preliminaries*

**imports**

*Main*  
*Karatsuba.Time-Monad-Extended*  
*Karatsuba.Main-TM*  
*Karatsuba.Karatsuba-Preliminaries*  
*Karatsuba.Nat-LSBF*  
*Karatsuba.Nat-LSBF-TM*  
*Karatsuba.Estimation-Method*  
*Schoenhage-Strassen-Preliminaries*  
*Akra-Bazzi.Akra-Bazzi*  
*HOL-Library.Landau-Symbols*

**begin**

**fun** *zip-tm* :: 'a list  $\Rightarrow$  'b list  $\Rightarrow$  ('a  $\times$  'b) list tm **where**  
*zip-tm* xs [] = 1 return []  
| *zip-tm* [] ys = 1 return []  
| *zip-tm* (x # xs) (y # ys) = 1 do { rs  $\leftarrow$  *zip-tm* xs ys; return ((x, y) # rs) }

**lemma** *val-zip-tm*[simp, val-simp]:  $\text{val } (\text{zip-tm } xs \text{ } ys) = \text{zip } xs \text{ } ys$

*<proof>*

**lemma** *time-zip-tm[simp]*:  $\text{time } (\text{zip-tm } xs \ ys) = \min (\text{length } xs) (\text{length } ys) + 1$   
*<proof>*

**fun** *map3-tm* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c  $\Rightarrow$  'd tm)  $\Rightarrow$  'a list  $\Rightarrow$  'b list  $\Rightarrow$  'c list  $\Rightarrow$  'd list tm  
**where**  
*map3-tm* f (x # xs) (y # ys) (z # zs) =1 do {  
  r  $\leftarrow$  f x y z;  
  rs  $\leftarrow$  *map3-tm* f xs ys zs;  
  return (r # rs)  
}  
| *map3-tm* f - - - =1 return []

**lemma** *val-map3-tm[simp, val-simp]*:  $\text{val } (\text{map3-tm } f \ xs \ ys \ zs) = \text{map3 } (\lambda x \ y \ z. \text{val } (f \ x \ y \ z)) \ xs \ ys \ zs$   
*<proof>*

**lemma** *time-map3-tm-bounded*:  
  **assumes**  $\bigwedge x \ y \ z. x \in \text{set } xs \Longrightarrow y \in \text{set } ys \Longrightarrow z \in \text{set } zs \Longrightarrow \text{time } (f \ x \ y \ z) \leq c$   
  **shows**  $\text{time } (\text{map3-tm } f \ xs \ ys \ zs) \leq (c + 1) * \min (\min (\text{length } xs) (\text{length } ys)) (\text{length } zs) + 1$   
*<proof>*

**fun** *map4-tm* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c  $\Rightarrow$  'd  $\Rightarrow$  'e tm)  $\Rightarrow$  'a list  $\Rightarrow$  'b list  $\Rightarrow$  'c list  $\Rightarrow$  'd list  $\Rightarrow$  'e list tm **where**  
*map4-tm* f (x # xs) (y # ys) (z # zs) (w # ws) =1 do {  
  r  $\leftarrow$  f x y z w;  
  rs  $\leftarrow$  *map4-tm* f xs ys zs ws;  
  return (r # rs)  
}  
| *map4-tm* f - - - - =1 return []

**lemma** *val-map4-tm[simp, val-simp]*:  $\text{val } (\text{map4-tm } f \ xs \ ys \ zs \ ws) = \text{map4 } (\lambda x \ y \ z \ w. \text{val } (f \ x \ y \ z \ w)) \ xs \ ys \ zs \ ws$   
*<proof>*

**lemma** *time-map4-tm-bounded*:  
  **assumes**  $\bigwedge x \ y \ z \ w. x \in \text{set } xs \Longrightarrow y \in \text{set } ys \Longrightarrow z \in \text{set } zs \Longrightarrow w \in \text{set } ws \Longrightarrow \text{time } (f \ x \ y \ z \ w) \leq c$   
  **shows**  $\text{time } (\text{map4-tm } f \ xs \ ys \ zs \ ws) \leq (c + 1) * \min (\min (\min (\text{length } xs) (\text{length } ys)) (\text{length } zs)) (\text{length } ws) + 1$   
*<proof>*

**definition** *map2-tm* **where**  
*map2-tm* f xs ys =1 do {  
  xys  $\leftarrow$  *zip-tm* xs ys;  
  map-tm ( $\lambda(x,y). f \ x \ y$ ) xys  
}

**lemma** *val-map2-tm[simp, val-simp]*:  $\text{val } (\text{map2-tm } f \text{ } xs \text{ } ys) = \text{map2 } (\lambda x y. \text{val } (f \ x \ y)) \text{ } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *time-map2-tm-bounded*:  
**assumes**  $\text{length } xs = \text{length } ys$   
**assumes**  $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } ys \implies \text{time } (f \ x \ y) \leq c$   
**shows**  $\text{time } (\text{map2-tm } f \text{ } xs \text{ } ys) \leq (c + 2) * \text{length } xs + 3$   
 ⟨proof⟩

**definition** *rotate-left-tm* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list tm}$  **where**  
*rotate-left-tm*  $k \text{ } xs = 1$  **do** {  
    $\text{lenxs} \leftarrow \text{length-tm } xs$ ;  
    $k\text{mod} \leftarrow k \text{ mod}_t \text{ lenxs}$ ;  
    $(xs1, xs2) \leftarrow \text{split-at-tm } k\text{mod } xs$ ;  
    $xs2 \text{ @}_t xs1$   
 }

**lemma** *val-rotate-left-tm[simp, val-simp]*:  $\text{val } (\text{rotate-left-tm } k \text{ } xs) = \text{rotate-left } k \text{ } xs$   
 ⟨proof⟩

**lemma** *time-rotate-left-tm-le*:  $\text{time } (\text{rotate-left-tm } k \text{ } xs) \leq 13 + 14 * \max k (\text{length } xs)$   
 ⟨proof⟩

**definition** *rotate-right-tm* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list tm}$  **where**  
*rotate-right-tm*  $k \text{ } xs = 1$  **do** {  
    $\text{lenxs} \leftarrow \text{length-tm } xs$ ;  
    $k\text{mod} \leftarrow k \text{ mod}_t \text{ lenxs}$ ;  
    $rk \leftarrow \text{lenxs} -_t k\text{mod}$ ;  
    $\text{rotate-left-tm } rk \text{ } xs$   
 }

**lemma** *val-rotate-right-tm[simp, val-simp]*:  $\text{val } (\text{rotate-right-tm } k \text{ } xs) = \text{rotate-right } k \text{ } xs$   
 ⟨proof⟩

**lemma** *time-rotate-right-tm-le*:  $\text{time } (\text{rotate-right-tm } k \text{ } xs) \leq 23 + 26 * \max k (\text{length } xs)$   
 ⟨proof⟩

## 1.2 Auxiliary Lemmas for Landau Notation

**lemma** *eventually-early-nat*:  
**fixes**  $f \ g :: \text{nat} \Rightarrow \text{nat}$   
**assumes**  $f \in O(g)$   
**assumes**  $\bigwedge x. x \geq n0 \implies g \ x > 0$

**shows**  $\exists c. (\forall x. x \geq n0 \longrightarrow f x \leq c * g x)$   
(proof)

**lemma** *eventually-early-real*:

**fixes**  $f g :: nat \Rightarrow real$   
**assumes**  $f \in O(g)$   
**assumes**  $\bigwedge x. x \geq n0 \implies f x \geq 0 \wedge g x \geq 1$   
**shows**  $\exists c. (\forall x \geq n0. f x \leq c * g x)$   
(proof)

**lemma** *floor-in-nat-iff*:  $floor x \in \mathbf{N} \longleftrightarrow x \geq 0$   
(proof)

**lemma** *bigO-floor*:

**fixes**  $f :: nat \Rightarrow nat$   
**fixes**  $g :: nat \Rightarrow real$   
**assumes**  $(\lambda x. real (f x)) \in O(g)$   
**assumes** *eventually*  $(\lambda x. g x \geq 1)$  *at-top*  
**shows**  $(\lambda x. real (f x)) \in O(\lambda x. real (nat (floor (g x))))$   
(proof)

**end**

**theory** *Schoenhage-Strassen-Ring-Lemmas*

**imports** *HOL-Algebra.Ring* *HOL-Algebra.Multiplicative-Group*

**begin**

**context** *cring*

**begin**

**lemma** *diff-diff*:

**assumes**  $a \in carrier R$   $b \in carrier R$   $c \in carrier R$   
**shows**  $a \ominus (b \ominus c) = a \ominus b \oplus c$   
(proof)

**lemma** *minus-eq-mult-one*:

**assumes**  $a \in carrier R$   
**shows**  $\ominus a = (\ominus \mathbf{1}) \otimes a$   
(proof)

**lemma** *diff-eq-add-mult-one*:

**assumes**  $a \in carrier R$   $b \in carrier R$   
**shows**  $a \ominus b = a \oplus (\ominus \mathbf{1}) \otimes b$   
(proof)

**lemma** *minus-cancel*:

**assumes**  $a \in carrier R$   $b \in carrier R$   
**shows**  $a \ominus b \oplus b = a$   
(proof)

**lemma** *assoc4*:

**assumes**  $a \in carrier R$   $b \in carrier R$   $c \in carrier R$   $d \in carrier R$   
**shows**  $a \otimes (b \otimes (c \otimes d)) = a \otimes b \otimes c \otimes d$   
(proof)

**lemma** *diff-sum*:

**assumes**  $a \in \text{carrier } R$   $b \in \text{carrier } R$   $c \in \text{carrier } R$   $d \in \text{carrier } R$   
**shows**  $(a \ominus c) \oplus (b \ominus d) = (a \oplus b) \ominus (c \oplus d)$   
*<proof>*

**end**

**lemma** (*in ring*) *inv-cancel-left*:

**assumes**  $x \in \text{carrier } R$   
**assumes**  $y \in \text{carrier } R$   
**assumes**  $z \in \text{Units } R$   
**assumes**  $x = z \otimes y$   
**shows**  $\text{inv } z \otimes x = y$   
*<proof>*

**lemma** (*in ring*) *r-distr-diff*:

**assumes**  $x \in \text{carrier } R$   
**assumes**  $y \in \text{carrier } R$   
**assumes**  $z \in \text{carrier } R$   
**shows**  $x \otimes (y \ominus z) = x \otimes y \ominus x \otimes z$   
*<proof>*

**lemma** (*in group*)

**assumes**  $x \in \text{carrier } G$   
**shows**  $\bigwedge i. i \in \{1..<\text{ord } x\} \implies x [i] \neq \mathbf{1}$   
*<proof>*

### 1.3 Multiplicative Subgroups

**locale** *multiplicative-subgroup* = *cring* +

**fixes**  $X$

**fixes**  $M$

**assumes** *Units-subset*:  $X \subseteq \text{Units } R$

**assumes** *M-def*:  $M = \langle \mid \text{carrier} = X, \text{monoid.mult} = (\otimes), \text{one} = \mathbf{1} \rangle$

**assumes** *M-group*: *group*  $M$

**begin**

**lemma** *carrier-M[simp]*:  $\text{carrier } M = X$  *<proof>*

**lemma** *one-eq*:  $\mathbf{1}_M = \mathbf{1}$  *<proof>*

**lemma** *mult-eq*:  $a \otimes_M b = a \otimes b$  *<proof>*

**lemma** *inv-eq*:

**assumes**  $x \in X$

**shows**  $\text{inv}_M x = \text{inv } x$

*<proof>*

**lemma** *nat-pow-eq*:  $x [i]_M (m :: \text{nat}) = x [i] m$



*<proof>*

**lemma** *int-pow-eq*:

**assumes**  $x \in X$

**shows**  $x [\wedge]_M (i :: \text{int}) = x [\wedge] i$

*<proof>*

**end**

**context** *cring*

**begin**

**interpretation** *units-group*: *group units-of R*

*<proof>*

**lemma** *units-subgroup*: *multiplicative-subgroup R (Units R) (units-of R)*

*<proof>*

**interpretation** *units-subgroup*: *multiplicative-subgroup R Units R units-of R*

*<proof>*

**lemma** *inv-nat-pow*:

**assumes**  $a \in \text{Units } R$

**shows**  $\text{inv } (a [\wedge] (b :: \text{nat})) = \text{inv } a [\wedge] b$

*<proof>*

**lemma** *int-pow-mult*:

**fixes**  $m1\ m2 :: \text{int}$

**assumes**  $x \in \text{Units } R$

**shows**  $x [\wedge] m1 \otimes x [\wedge] m2 = x [\wedge] (m1 + m2)$

*<proof>*

**lemma** *int-pow-pow*:

**fixes**  $m1\ m2 :: \text{int}$

**assumes**  $x \in \text{Units } R$

**shows**  $(x [\wedge] m1) [\wedge] m2 = x [\wedge] (m1 * m2)$

*<proof>*

**lemma** *int-pow-one*:

**1**  $[\wedge] (i :: \text{int}) = \mathbf{1}$

*<proof>*

**lemma** *int-pow-closed*:

**assumes**  $x \in \text{Units } R$

**shows**  $x [\wedge] (i :: \text{int}) \in \text{Units } R$

*<proof>*

**lemma** *units-of-int-pow*:  $\mu \in \text{Units } R \implies \mu [\wedge]_{(\text{units-of } R)} i = \mu [\wedge] (i :: \text{int})$

*<proof>*

**lemma** *units-int-pow-neg*:  $\mu \in \text{Units } R \implies (\text{inv } \mu) [\wedge] (n :: \text{int}) = \mu [\wedge] (- n)$

*<proof>*

**lemma** *units-inv-int-pow*:  $\mu \in \text{Units } R \implies \text{inv } \mu = \mu [\uparrow] (- (1 :: \text{int}))$   
 ⟨*proof*⟩

**lemma** *inv-prod*:  $\mu \in \text{Units } R \implies \nu \in \text{Units } R \implies \text{inv } (\mu \otimes \nu) = \text{inv } \nu \otimes \text{inv } \mu$   
 ⟨*proof*⟩

**lemma** *powers-of-negative*:  
**fixes**  $r :: \text{nat}$   
**assumes**  $x \in \text{carrier } R$   
**shows**  $\text{even } r \implies (\ominus x) [\uparrow] r = x [\uparrow] r$   $\text{odd } r \implies (\ominus x) [\uparrow] r = \ominus (x [\uparrow] r)$   
 ⟨*proof*⟩

**end**

## 1.4 Additive Subgroups

**locale** *additive-subgroup* = *cring* +  
**fixes**  $X$   
**fixes**  $M$   
**assumes** *Units-subset*:  $X \subseteq \text{carrier } R$   
**assumes** *M-def*:  $M = \langle \text{carrier} = X, \text{monoid.mult} = (\oplus), \text{one} = \mathbf{0} \rangle$   
**assumes** *M-group*: *group*  $M$   
**begin**

**lemma** *carrier-M[simp]*:  $\text{carrier } M = X$   
 ⟨*proof*⟩

**lemma** *one-eq*:  $\mathbf{1}_M = \mathbf{0}$  ⟨*proof*⟩

**lemma** *mult-eq*:  $a \otimes_M b = a \oplus b$   
 ⟨*proof*⟩

**lemma** *inv-eq*:  
**assumes**  $a \in X$   
**shows**  $\text{inv}_M a = \ominus a$   
 ⟨*proof*⟩

**end**

**end**

## 2 Number Theoretic Transforms in Rings

**theory** *NTT-Rings*  
**imports**  
*Number-Theoretic-Transform.NTT*  
*Karatsuba.Monoid-Sums*  
*Karatsuba.Karatsuba-Preliminaries*  
 ../Preliminaries/Schoenhage-Strassen-Preliminaries

../Preliminaries/Schoenhage-Strassen-Ring-Lemmas  
**begin**

**lemma** *max-dividing-power-factorization*:

**fixes**  $a :: \text{nat}$   
**assumes**  $a \neq 0$   
**assumes**  $k = \text{Max } \{s. p \wedge s \text{ dvd } a\}$   
**assumes**  $r = a \text{ div } (p \wedge k)$   
**assumes** *prime*  $p$   
**shows**  $a = r * p \wedge k$  *coprime*  $p$   $r$   
 $\langle \text{proof} \rangle$

**context** *cring*  
**begin**

**interpretation** *units-group*: *group units-of*  $R$   
 $\langle \text{proof} \rangle$

**interpretation** *units-subgroup*: *multiplicative-subgroup*  $R$  *Units*  $R$  *units-of*  $R$   
 $\langle \text{proof} \rangle$

## 2.1 Roots of Unity

**definition** *root-of-unity*  $:: \text{nat} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*root-of-unity*  $n$   $\mu \equiv \mu \in \text{carrier } R \wedge \mu [\wedge] n = \mathbf{1}$

**lemma** *root-of-unityI*[*intro*]:  $\mu \in \text{carrier } R \implies \mu [\wedge] n = \mathbf{1} \implies \text{root-of-unity } n$   $\mu$   
 $\langle \text{proof} \rangle$

**lemma** *root-of-unityD*[*simp*]:  $\text{root-of-unity } n$   $\mu \implies \mu [\wedge] n = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** *root-of-unity-closed*[*simp*]:  $\text{root-of-unity } n$   $\mu \implies \mu \in \text{carrier } R$   
 $\langle \text{proof} \rangle$

**context**  
**fixes**  $n :: \text{nat}$   
**assumes**  $n > 0$   
**begin**

**lemma** *roots-Units*[*simp*]:  
**assumes** *root-of-unity*  $n$   $\mu$   
**shows**  $\mu \in \text{Units } R$   
 $\langle \text{proof} \rangle$

**definition** *roots-of-unity-group* **where**  
*roots-of-unity-group*  $\equiv (\mid \text{carrier} = \{\mu. \text{root-of-unity } n \mu\}, \text{monoid.mult} = (\otimes), \text{one} = \mathbf{1} \mid)$

**lemma** *roots-of-unity-group-is-group*:

**shows** *group roots-of-unity-group*

*<proof>*

**interpretation** *root-group* : *group roots-of-unity-group*

*<proof>*

**interpretation** *root-subgroup* : *multiplicative-subgroup R { $\mu$ . root-of-unity n  $\mu$ }*  
*roots-of-unity-group*

*<proof>*

**lemma** *root-of-unity-inv*:

**assumes** *root-of-unity n  $\mu$*

**shows** *root-of-unity n (inv  $\mu$ )*

*<proof>*

**lemma** *inv-root-of-unity*:

**assumes** *root-of-unity n  $\mu$*

**shows** *inv  $\mu$  =  $\mu$  [ $\wedge$ ] (n - 1)*

*<proof>*

**lemma** *inv-pow-root-of-unity*:

**assumes** *root-of-unity n  $\mu$*

**assumes** *i  $\in$  {1.. $n$ }*

**shows** *(inv  $\mu$ ) [ $\wedge$ ] i =  $\mu$  [ $\wedge$ ] (n - i) n - i  $\in$  {1.. $n$ }*

*<proof>*

**lemma** *root-of-unity-nat-pow-closed*:

**assumes** *root-of-unity n  $\mu$*

**shows** *root-of-unity n ( $\mu$  [ $\wedge$ ] (m :: nat))*

*<proof>*

**lemma** *root-of-unity-powers*:

**assumes** *root-of-unity n  $\mu$*

**shows**  *$\mu$  [ $\wedge$ ] i =  $\mu$  [ $\wedge$ ] (i mod n)*

*<proof>*

**lemma** *root-of-unity-powers-modint*:

**assumes** *root-of-unity n  $\mu$*

**shows**  *$\mu$  [ $\wedge$ ] (i :: int) =  $\mu$  [ $\wedge$ ] (i mod int n)*

*<proof>*

**lemma** *root-of-unity-powers-nat*:

**assumes** *root-of-unity n  $\mu$*

**assumes** *i mod n = j mod n*

**shows**  *$\mu$  [ $\wedge$ ] i =  $\mu$  [ $\wedge$ ] j*

*<proof>*

**lemma** *root-of-unity-powers-int*:  
**assumes** *root-of-unity*  $n \mu$   
**assumes**  $i \bmod \text{int } n = j \bmod \text{int } n$   
**shows**  $\mu [\wedge] i = \mu [\wedge] j$   
 $\langle \text{proof} \rangle$

**end**

## 2.2 Primitive Roots

**definition** *primitive-root* ::  $\text{nat} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*primitive-root*  $n \mu \equiv \text{root-of-unity } n \mu \wedge (\forall i \in \{1..<n\}. \mu [\wedge] i \neq \mathbf{1})$

**lemma** *primitive-rootI*[*intro*]:  
**assumes**  $\mu \in \text{carrier } R$   
**assumes**  $\mu [\wedge] n = \mathbf{1}$   
**assumes**  $\bigwedge i. i > 0 \implies i < n \implies \mu [\wedge] i \neq \mathbf{1}$   
**shows** *primitive-root*  $n \mu$   
 $\langle \text{proof} \rangle$

**lemma** *primitive-root-is-root-of-unity*[*simp*]: *primitive-root*  $n \mu \implies \text{root-of-unity } n \mu$   
 $\langle \text{proof} \rangle$

**lemma** *primitive-root-recursion*:  
**assumes** *even*  $n$   
**assumes** *primitive-root*  $n \mu$   
**shows** *primitive-root*  $(n \text{ div } 2) (\mu [\wedge] (2 :: \text{nat}))$   
 $\langle \text{proof} \rangle$

**lemma** *primitive-root-inv*:  
**assumes**  $n > 0$   
**assumes** *primitive-root*  $n \mu$   
**shows** *primitive-root*  $n (\text{inv } \mu)$   
 $\langle \text{proof} \rangle$

## 2.3 Number Theoretic Transforms

**definition** *NTT* ::  $'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$  **where**  
*NTT*  $\mu a \equiv \text{let } n = \text{length } a \text{ in } [\oplus j \leftarrow [0..<n]. (a ! j) \otimes (\mu [\wedge] i) [\wedge] j. i \leftarrow [0..<n]]$

**lemma** *NTT-length*[*simp*]:  $\text{length } (\text{NTT } \mu a) = \text{length } a$   
 $\langle \text{proof} \rangle$

**lemma** *NTT-nth*:  
**assumes**  $\text{length } a = n$   
**assumes**  $i < n$   
**shows**  $\text{NTT } \mu a ! i = (\oplus j \leftarrow [0..<n]. (a ! j) \otimes (\mu [\wedge] i) [\wedge] j)$   
 $\langle \text{proof} \rangle$

**lemma** *NTT-nth-2*:

**assumes**  $\text{length } a = n$

**assumes**  $i < n$

**assumes**  $\mu \in \text{carrier } R$

**shows**  $\text{NTT } \mu \ a \ ! \ i = (\bigoplus j \leftarrow [0..<n]. (a \ ! \ j) \otimes (\mu \ [\uparrow] (i * j)))$

*<proof>*

**lemma** *NTT-nth-closed*:

**assumes**  $\text{set } a \subseteq \text{carrier } R$

**assumes**  $\mu \in \text{carrier } R$

**assumes**  $\text{length } a = n$

**assumes**  $i < n$

**shows**  $\text{NTT } \mu \ a \ ! \ i \in \text{carrier } R$

*<proof>*

**lemma** *NTT-closed*:

**assumes**  $\text{set } a \subseteq \text{carrier } R$

**assumes**  $\mu \in \text{carrier } R$

**shows**  $\text{set } (\text{NTT } \mu \ a) \subseteq \text{carrier } R$

*<proof>*

**lemma** *primitive-root 1 1*

*<proof>*

**lemma**  $(\ominus \mathbf{1}) \ [\uparrow] \ (2::\text{nat}) = \mathbf{1}$

*<proof>*

**lemma**  $\mathbf{1} \oplus \mathbf{1} \neq \mathbf{0} \implies \text{primitive-root } 2 \ (\ominus \mathbf{1})$

*<proof>*

### 2.3.1 Inversion Rule

**theorem** *inversion-rule*:

**fixes**  $\mu :: 'a$

**fixes**  $n :: \text{nat}$

**assumes**  $n > 0$

**assumes** *primitive-root*  $n \ \mu$

**assumes** *good*:  $\bigwedge i. i \in \{1..<n\} \implies (\bigoplus j \leftarrow [0..<n]. (\mu \ [\uparrow] \ i) \ [\uparrow] \ j) = \mathbf{0}$

**assumes**<sub>[simp]</sub>:  $\text{length } a = n$

**assumes**<sub>[simp]</sub>:  $\text{set } a \subseteq \text{carrier } R$

**shows**  $\text{NTT } (\text{inv } \mu) \ (\text{NTT } \mu \ a) = \text{map } (\lambda x. \text{nat-embedding } n \otimes x) \ a$

*<proof>*

**lemma** *inv-good*:

**assumes**  $n > 0$

**assumes** *primitive-root*  $n \ \mu$

**assumes** *good*:  $\bigwedge i. i \in \{1..<n\} \implies (\bigoplus j \leftarrow [0..<n]. (\mu \ [\uparrow] \ i) \ [\uparrow] \ j) = \mathbf{0}$

**shows** *primitive-root*  $n \ (\text{inv } \mu)$

$\bigwedge i. i \in \{1..<n\} \implies (\bigoplus j \leftarrow [0..<n]. ((\text{inv } \mu) \ [\uparrow] \ i) \ [\uparrow] \ j) = \mathbf{0}$

$\langle proof \rangle$

**lemma** *inv-halfway-property*:

**assumes**  $\mu \in Units\ R$   
**assumes**  $\mu [\uparrow] (i::nat) = \ominus \mathbf{1}$   
**shows**  $(inv\ \mu) [\uparrow] i = \ominus \mathbf{1}$

$\langle proof \rangle$

**lemma** *sufficiently-good-aux*:

**assumes** *primitive-root*  $m\ \eta$   
**assumes**  $m = 2^{\wedge} j$   
**assumes**  $\eta [\uparrow] (m\ div\ 2) = \ominus \mathbf{1}$   
**assumes** *odd*  $r$   
**assumes**  $r * 2^{\wedge} k < m$   
**shows**  $(\bigoplus l \leftarrow [0..<m]. (\eta [\uparrow] (r * 2^{\wedge} k)) [\uparrow] l) = \mathbf{0}$

$\langle proof \rangle$

**lemma** *sufficiently-good*:

**assumes** *primitive-root*  $n\ \mu$   
**assumes**  $domain\ R \vee (n = 2^{\wedge} k \wedge \mu [\uparrow] (n\ div\ 2) = \ominus \mathbf{1})$   
**shows** *good*:  $\bigwedge i. i \in \{1..<n\} \implies (\bigoplus j \leftarrow [0..<n]. (\mu [\uparrow] i) [\uparrow] j) = \mathbf{0}$

$\langle proof \rangle$

**corollary** *inversion-rule-inv*:

**fixes**  $\mu :: 'a$   
**fixes**  $n :: nat$   
**assumes**  $n > 0$   
**assumes** *primitive-root*  $n\ \mu$   
**assumes** *good*:  $\bigwedge i. i \in \{1..<n\} \implies (\bigoplus j \leftarrow [0..<n]. (\mu [\uparrow] i) [\uparrow] j) = \mathbf{0}$   
**assumes**[*simp*]:  $length\ a = n$   
**assumes**[*simp*]:  $set\ a \subseteq carrier\ R$   
**shows**  $NTT\ \mu\ (NTT\ (inv\ \mu)\ a) = map\ (\lambda x. nat\_embedding\ n\ \otimes\ x)\ a$

$\langle proof \rangle$

## 2.3.2 Convolution Theorem

**lemma** *root-of-unity-power-sum-product*:

**assumes** *root-of-unity*  $n\ x$   
**assumes**[*simp*]:  $\bigwedge i. i < n \implies f\ i \in carrier\ R$   
**assumes**[*simp*]:  $\bigwedge i. i < n \implies g\ i \in carrier\ R$   
**shows**  $(\bigoplus i \leftarrow [0..<n]. f\ i \otimes x [\uparrow] i) \otimes (\bigoplus i \leftarrow [0..<n]. g\ i \otimes x [\uparrow] i) =$   
 $(\bigoplus k \leftarrow [0..<n]. (\bigoplus i \leftarrow [0..<n]. f\ i \otimes g\ ((n + k - i)\ mod\ n)) \otimes x [\uparrow] k)$

$\langle proof \rangle$

**context**

**fixes**  $n :: nat$

**begin**

**definition** *cyclic-convolution* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list (**infixl**  $\star$  70) **where**  
*cyclic-convolution*  $a\ b \equiv [(\bigoplus \sigma \leftarrow [0..<n]. (a\ !\ \sigma \otimes b\ !\ ((n + i - \sigma) \bmod n)))]$ .  $i$   
 $\leftarrow [0..<n]$

**lemma** *cyclic-convolution-length[simp]*:  
 $length\ (a\ \star\ b) = n$   $\langle$ proof $\rangle$

**lemma** *cyclic-convolution-nth*:  
 $i < n \implies (a\ \star\ b)\ !\ i = (\bigoplus \sigma \leftarrow [0..<n]. (a\ !\ \sigma \otimes b\ !\ ((n + i - \sigma) \bmod n)))$   
 $\langle$ proof $\rangle$

**lemma** *cyclic-convolution-closed*:  
**assumes**  $length\ a = n$   $length\ b = n$   
**assumes**  $set\ a \subseteq carrier\ R$   $set\ b \subseteq carrier\ R$   
**shows**  $set\ (a\ \star\ b) \subseteq carrier\ R$   
 $\langle$ proof $\rangle$

**theorem** *convolution-rule*:  
**assumes**  $length\ a = n$   
**assumes**  $length\ b = n$   
**assumes**  $set\ a \subseteq carrier\ R$   
**assumes**  $set\ b \subseteq carrier\ R$   
**assumes** *root-of-unity*  $n\ \mu$   
**assumes**  $i < n$   
**shows**  $NTT\ \mu\ a\ !\ i \otimes NTT\ \mu\ b\ !\ i = NTT\ \mu\ (a\ \star\ b)\ !\ i$   
 $\langle$ proof $\rangle$

end

end

end

## 2.4 Fast Number Theoretic Transforms in Rings

**theory** *FNNT-Rings*  
**imports** *NTT-Rings Number-Theoretic-Transform.Butterfly*  
**begin**

**context** *cring* **begin**

The following lemma is the essence of Fast Number Theoretic Transforms (FNNTs).

**lemma** *NTT-recursion*:  
**assumes** *even*  $n$   
**assumes** *primitive-root*  $n\ \mu$   
**assumes**[*simp*]:  $length\ a = n$   
**assumes**[*simp*]:  $j < n$   
**assumes**[*simp*]:  $set\ a \subseteq carrier\ R$



**defines**  $j' \equiv (\text{if } j < n \text{ div } 2 \text{ then } j \text{ else } j - n \text{ div } 2)$   
**shows**  $j' < n \text{ div } 2 \wedge j = (\text{if } j < n \text{ div } 2 \text{ then } j' \text{ else } j' + n \text{ div } 2)$   
**and**  $(NTT \mu a) ! j = (NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter even } [0..<n]]) ! j'$   
 $\oplus \mu [\uparrow] j \otimes (NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter odd } [0..<n]]) ! j'$   
 $\langle \text{proof} \rangle$

**lemma** *NTT-recursion-1*:

**assumes** *even*  $n$   
**assumes** *primitive-root*  $n \mu$   
**assumes** $[\text{simp}]$ :  $\text{length } a = n$   
**assumes** $[\text{simp}]$ :  $j < n \text{ div } 2$   
**assumes** $[\text{simp}]$ :  $\text{set } a \subseteq \text{carrier } R$   
**shows**  $(NTT \mu a) ! j =$   
 $(NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter even } [0..<n]]) ! j$   
 $\oplus \mu [\uparrow] j \otimes (NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter odd } [0..<n]]) ! j$   
 $\langle \text{proof} \rangle$

**lemma** *NTT-recursion-2*:

**assumes** *even*  $n$   
**assumes** *primitive-root*  $n \mu$   
**assumes** $[\text{simp}]$ :  $\text{length } a = n$   
**assumes** $[\text{simp}]$ :  $j < n \text{ div } 2$   
**assumes** $[\text{simp}]$ :  $\text{set } a \subseteq \text{carrier } R$   
**assumes** *halfway-property*:  $\mu [\uparrow] (n \text{ div } 2) = \ominus \mathbf{1}$   
**shows**  $(NTT \mu a) ! (n \text{ div } 2 + j) =$   
 $(NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter even } [0..<n]]) ! j$   
 $\oplus \mu [\uparrow] j \otimes (NTT (\mu [\uparrow] (2::nat)) [a ! i. i \leftarrow \text{filter odd } [0..<n]]) ! j$   
 $\langle \text{proof} \rangle$

**lemma** *NTT-diffs*:

**assumes** *even*  $n$   
**assumes** *primitive-root*  $n \mu$   
**assumes**  $\text{length } a = n$   
**assumes**  $j < n \text{ div } 2$   
**assumes**  $\text{set } a \subseteq \text{carrier } R$   
**assumes**  $\mu [\uparrow] (n \text{ div } 2) = \ominus \mathbf{1}$   
**shows**  $NTT \mu a ! j \ominus NTT \mu a ! (n \text{ div } 2 + j) = \text{nat-embedding } 2 \otimes (\mu [\uparrow] j$   
 $\otimes NTT (\mu [\uparrow] (2::nat)) (\text{map } (!) a) (\text{filter odd } [0..<n])) ! j$   
 $\langle \text{proof} \rangle$

The following algorithm is adapted from *Number-Theoretic-Transform.Butterfly*

**lemma** *FNTT-term-aux* $[\text{simp}]$ :  $\text{length } (\text{filter } P [0..<l]) < \text{Suc } l$

$\langle \text{proof} \rangle$

**fun** *FNTT* ::  $'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$  **where**

$FNTT \mu [] = []$

$| FNTT \mu [x] = [x]$

$| FNTT \mu [x, y] = [x \oplus y, x \ominus y]$

$| FNTT \mu a = (\text{let } n = \text{length } a;$

$\text{nums1} = [a ! i. i \leftarrow \text{filter even } [0..<n]];$

```

      nums2 = [a!i. i ← filter odd [0..<n]];
      b = FNTT (μ [∧] (2::nat)) nums1;
      c = FNTT (μ [∧] (2::nat)) nums2;
      g = [b!i ⊕ (μ [∧] i) ⊗ c!i. i ← [0..<(n div 2)]];
      h = [b!i ⊖ (μ [∧] i) ⊗ c!i. i ← [0..<(n div 2)]];
      in g@h)
lemmas [simp del] = FNTT-term-aux

declare FNTT.simps[simp del]

lemma length-FNTT[simp]:
  assumes length a = 2 ^ k
  shows length (FNTT μ a) = length a
  ⟨proof⟩

theorem FNTT-NTT:
  assumes[simp]: μ ∈ carrier R
  assumes n = 2 ^ k
  assumes primitive-root n μ
  assumes halfway-property: μ [∧] (n div 2) = ⊖ 1
  assumes[simp]: length a = n
  assumes set a ⊆ carrier R
  shows FNTT μ a = NTT μ a
  ⟨proof⟩

end

The following is copied from Number-Theoretic-Transform.Butterfly and
moved outside of the butterfly locale.

fun evens-odds where
evens-odds - [] = []
| evens-odds True (x#xs) = (x # evens-odds False xs)
| evens-odds False (x#xs) = evens-odds True xs

lemma map-filter-shift: map f (filter even [0..<Suc g]) =
  f 0 # map (λ x. f (x+1)) (filter odd [0..<g])
  ⟨proof⟩

lemma map-filter-shift': map f (filter odd [0..<Suc g]) =
  map (λ x. f (x+1)) (filter even [0..<g])
  ⟨proof⟩

lemma filter-comprehension-evens-odds:
  [xs ! i. i ← filter even [0..<length xs]] = evens-odds True xs ∧
  [xs ! i. i ← filter odd [0..<length xs]] = evens-odds False xs
  ⟨proof⟩

lemma FNTT'-termination-aux[simp]: length (evens-odds True xs) < Suc (length
xs)

```

$length (evens-odds \text{ False } xs) < Suc (length xs)$   
 ⟨proof⟩

(End of copy)

**lemma** *map-evens-odds*:  $map f (evens-odds x a) = evens-odds x (map f a)$   
 ⟨proof⟩

**lemma** *length-evens-odds*:  
 $length (evens-odds \text{ True } a) = (if \text{ even } (length a) \text{ then } length a \text{ div } 2 \text{ else } length a \text{ div } 2 + 1)$   
 $length (evens-odds \text{ False } a) = length a \text{ div } 2$   
 ⟨proof⟩

**lemma** *set-evens-odds*:  
 $set (evens-odds x a) \subseteq set a$   
 ⟨proof⟩

**context** *cring* **begin**

Similar to *Number-Theoretic-Transform.Butterfly*, we give an abstract algorithm that can be refined more easily to a verifiably efficient FNTT algorithm.

**fun** *FNTT'* :: 'a ⇒ 'a list ⇒ 'a list **where**  
*FNTT'* μ [] = []  
 | *FNTT'* μ [x] = [x]  
 | *FNTT'* μ [x, y] = [x ⊕ y, x ⊖ y]  
 | *FNTT'* μ a = (let n = length a;  
                   nums1 = evens-odds True a;  
                   nums2 = evens-odds False a;  
                   b = *FNTT'* (μ [∩] (2::nat)) nums1;  
                   c = *FNTT'* (μ [∩] (2::nat)) nums2;  
                   g = [b!i ⊕ (μ [∩] i) ⊗ c!i. i ← [0..<(n div 2)]];  
                   h = [b!i ⊖ (μ [∩] i) ⊗ c!i. i ← [0..<(n div 2)]]  
                   in g@h)

**lemma** *FNTT'-FNTT*:  $FNTT' \mu xs = FNTT \mu xs$   
 ⟨proof⟩

**fun** *FNTT''* :: 'a ⇒ 'a list ⇒ 'a list **where**  
*FNTT''* μ [] = []  
 | *FNTT''* μ [x] = [x]  
 | *FNTT''* μ [x, y] = [x ⊕ y, x ⊖ y]  
 | *FNTT''* μ a = (let n = length a;  
                   nums1 = evens-odds True a;  
                   nums2 = evens-odds False a;  
                   b = *FNTT''* (μ [∩] (2::nat)) nums1;  
                   c = *FNTT''* (μ [∩] (2::nat)) nums2;  
                   g = map2 (⊕) b (map2 (⊗) [μ [∩] i. i ← [0..<(n div 2)]] c);

c) 
$$h = \text{map2 } (\lambda x y. x \ominus y) b (\text{map2 } (\otimes) [\mu [\ulcorner i. i \leftarrow [0..<(n \text{ div } 2)]]])$$
  
in  $g@h$ )

**lemma** *FNTT''-FNTT'*:  
**assumes**  $\text{length } a = 2 \wedge k$   
**shows**  $FNTT'' \mu a = FNTT' \mu a$   
 $\langle \text{proof} \rangle$

**end**

**end**

### 3 The Schoenhage-Strassen Algorithm

#### 3.1 Representing $\mathbb{Z}_{2^n}$

**theory** *Z-mod-power-of-2*  
**imports**  
*Karatsuba.Nat-LSBF-TM*  
*Finite-Fields.Ring-Characteristic*  
*Karatsuba.Abstract-Representations-2*  
*HOL-Number-Theory.Number-Theory*  
**begin**

**context** *cring* **begin**

**lemma** *pow-one-imp-unit*:  
 $(n::\text{nat}) > 0 \implies a \in \text{carrier } R \implies a [\ulcorner n = \mathbf{1} \implies a \in \text{Units } R$   
 $\langle \text{proof} \rangle$   
**end**

**definition** *ensure-length* **where**  $\text{ensure-length } k \text{ } xs = \text{take } k \text{ (fill } k \text{ } xs)$

**lemma** *ensure-length-correct[simp]*:  $\text{length } (\text{ensure-length } k \text{ } xs) = k \langle \text{proof} \rangle$

**lemma** *to-nat-ensure-length*:  $\text{Nat-LSBF.to-nat } xs < 2 \wedge n \implies \text{Nat-LSBF.to-nat } (\text{ensure-length } n \text{ } xs) = \text{Nat-LSBF.to-nat } xs$   
 $\langle \text{proof} \rangle$

**locale** *int-lsbf-mod* =  
**fixes**  $k :: \text{nat}$   
**assumes** *k-positive*:  $k > 0$   
**begin**

**abbreviation**  $n$  **where**  $n \equiv (2::\text{nat}) \wedge k$

**definition**  $Zn$  **where**  $Zn \equiv \text{residue-ring } (\text{int } n)$

**lemma** *n-positive[simp]*:  $n > 0$   
 $\langle \text{proof} \rangle$

**sublocale** *residues*  $n$   $Z_n$   
*<proof>*

**definition** *to-residue-ring* :: *nat-lsbf*  $\Rightarrow$  *int* **where**  
*to-residue-ring*  $xs = \text{int } (\text{Nat-LSBF.to-nat } xs) \bmod \text{int } n$

**lemma** *to-residue-ring-in-carrier*: *to-residue-ring*  $xs \in \text{carrier } Z_n$   
*<proof>*

**definition** *from-residue-ring* :: *int*  $\Rightarrow$  *nat-lsbf* **where**  
*from-residue-ring*  $x = \text{fill } k (\text{Nat-LSBF.from-nat } (\text{nat } x))$

**definition** *reduce* **where**  
*reduce*  $xs = \text{ensure-length } k \ xs$

**lemma** *length-reduce*: *length* (*reduce*  $xs$ ) =  $k$   
*<proof>*

**lemma** *to-nat-reduce*: *Nat-LSBF.to-nat* (*reduce*  $xs$ ) = *Nat-LSBF.to-nat*  $xs \bmod n$   
*<proof>*

**definition** *add-mod* **where**  
*add-mod*  $x \ y = \text{reduce } (\text{add-nat } x \ y)$

**definition** *subtract-mod* **where**  
*subtract-mod*  $xs \ ys =$   
  *(if compare-nat*  $xs \ ys$  *then*  
    *reduce* (*subtract-nat* ((*fill*  $k \ xs$ ) @ [*True*])  $ys$ )  
  *else*  
    *subtract-nat*  $xs \ ys$ )

**lemma** *to-nat-add-mod*: *Nat-LSBF.to-nat* (*add-mod*  $x \ y$ ) = (*Nat-LSBF.to-nat*  $x$   
+ *Nat-LSBF.to-nat*  $y$ )  $\bmod n$   
*<proof>*

**lemma** *to-nat-subtract-mod*: *length*  $xs \leq k \Longrightarrow \text{length } ys \leq k \Longrightarrow \text{int } (\text{Nat-LSBF.to-nat}$   
(*subtract-mod*  $xs \ ys$ )) = (*int* (*Nat-LSBF.to-nat*  $xs$ ) - *int* (*Nat-LSBF.to-nat*  $ys$ ))  
 $\bmod n$   
*<proof>*

**lemma** *length-subtract-mod*: *length*  $xs \leq k \Longrightarrow \text{length } ys \leq k \Longrightarrow \text{length } (\text{subtract-mod}$   
 $xs \ ys) \leq k$   
*<proof>*

**lemma** *add-mod-correct*: *to-residue-ring* (*add-mod*  $x \ y$ ) = *to-residue-ring*  $x \oplus_{Z_n}$   
*to-residue-ring*  $y$   
*<proof>*

**lemma** *subtract-mod-correct*:  
**assumes**  $\text{length } x \leq k$   
**assumes**  $\text{length } y \leq k$   
**assumes**  $n > 1$   
**shows**  $\text{to-residue-ring } (\text{subtract-mod } x \ y) = \text{to-residue-ring } x \ominus_{Z_n} \text{to-residue-ring } y$   
 $\langle \text{proof} \rangle$

**lemma** *length-from-residue-ring*:  $x < 2^k \implies \text{length } (\text{from-residue-ring } x) = k$   
 $\langle \text{proof} \rangle$

**interpretation** *int-lsbf-mod*: *abstract-representation-2 from-residue-ring to-residue-ring*  
 $\{0..<\text{int } n\}$   
**rewrites**  $\text{int-lsbf-mod.reduce} = \text{reduce}$   
**and**  $\text{int-lsbf-mod.representations} = \{x \ :: \ \text{bool list. length } x = k\}$   
 $\langle \text{proof} \rangle$

**lemma** *add-mod-closed*:  $\text{length } (\text{add-mod } x \ y) = k$   
 $\langle \text{proof} \rangle$

**end**

**end**

**theory** *Z-mod-power-of-2-TM*  
**imports** *Z-mod-power-of-2 Karatsuba.Nat-LSBF-TM*  
**begin**

**definition** *ensure-length-tm* ::  $\text{nat} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf tm}$  **where**  
 $\text{ensure-length-tm } k \ xs = 1 \ \text{fill-tm } k \ xs \ggg \ \text{take-tm } k$

**lemma** *val-ensure-length-tm[simp, val-simp]*:  $\text{val } (\text{ensure-length-tm } k \ xs) = \text{ensure-length } k \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *time-ensure-length-tm[simp]*:  $\text{time } (\text{ensure-length-tm } k \ xs) = 7 + 2 * \text{length } xs + 2 * k$   
 $\langle \text{proof} \rangle$

**context** *int-lsbf-mod*  
**begin**

**definition** *reduce-tm* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf tm}$  **where**  
 $\text{reduce-tm } xs = 1 \ \text{ensure-length-tm } k \ xs$

**lemma** *val-reduce-tm[simp, val-simp]*:  $\text{val } (\text{reduce-tm } xs) = \text{reduce } xs$   
 $\langle \text{proof} \rangle$

**lemma** *time-reduce-tm[simp]*:  $\text{time } (\text{reduce-tm } xs) = 8 + 2 * \text{length } xs + 2 * k$

*<proof>*

**definition** *add-mod-tm* :: *nat-lsbf*  $\Rightarrow$  *nat-lsbf*  $\Rightarrow$  *nat-lsbf tm* **where**  
*add-mod-tm xs ys = 1 xs +<sub>nt</sub> ys  $\ggg$  reduce-tm*

**lemma** *val-add-mod-tm[simp, val-simp]*: *val (add-mod-tm xs ys) = add-mod xs ys*  
*<proof>*

**lemma** *time-add-mod-tm-le*: *time (add-mod-tm xs ys)  $\leq$  14 + 4 \* max (length xs)*  
*(length ys) + 2 \* k*  
*<proof>*

**definition** *subtract-mod-tm* :: *nat-lsbf*  $\Rightarrow$  *nat-lsbf*  $\Rightarrow$  *nat-lsbf tm* **where**  
*subtract-mod-tm xs ys = 1 do {*  
    *b  $\leftarrow$  xs  $\leq_{nt}$  ys;*  
    *if b then do {*  
        *fillx  $\leftarrow$  fill-tm k xs;*  
        *fillx1  $\leftarrow$  fillx @<sub>t</sub> [True];*  
        *fillx1 -<sub>nt</sub> ys  $\ggg$  reduce-tm*  
    *} else xs -<sub>nt</sub> ys*  
*}*

**lemma** *val-subtract-mod-tm[simp, val-simp]*: *val (subtract-mod-tm xs ys) = subtract-mod xs ys*  
*<proof>*

**lemma** *time-subtract-mod-tm-le*: *time (subtract-mod-tm xs ys)  $\leq$  118 + 51 \* max*  
*k (max (length xs) (length ys))*  
*<proof>*

**end**

**end**

### 3.2 Representing $\mathbb{Z}_{F_n}$

**theory** *Z-mod-Fermat*

**imports**

*Z-mod-power-of-2*

*../NTT-Rings/FNTT-Rings*

*../Preliminaries/Schoenhage-Strassen-Preliminaries*

*Karatsuba.Estimation-Method*

**begin**

**lemma** *to-nat-replicate-True2*:

**assumes** *Nat-LSBF.to-nat xs = 2 ^ (length xs) - 1*

**shows** *xs = replicate (length xs) True*

*<proof>*

**lemma** *residue-ring-pow*:  $n > 1 \implies a [\wedge]_{\text{residue-ring } n} b = (a \wedge b) \text{ mod } n$   
 ⟨proof⟩

**lemma** (in *residues*) *pow-nat-eq*:  
 $a [\wedge]_R (n :: \text{nat}) = a \wedge n \text{ mod } m$   
 ⟨proof⟩

**locale** *int-lsb-f-fermat* =  
 fixes  $k :: \text{nat}$   
 begin

**abbreviation** *n where*  $n \equiv (2 :: \text{nat}) \wedge (2 \wedge k) + 1$

**lemma** *n-positive[simp]*:  $n > 0$  ⟨proof⟩

**lemma** *n-gt-1[simp]*:  $n > 1$  ⟨proof⟩

**lemma** *n-gt-2[simp]*:  $n > 2$   
 ⟨proof⟩

**definition** *Fn where*  $Fn \equiv \text{residue-ring } (\text{int } n)$

**sublocale** *residues n Fn*  
 ⟨proof⟩

**definition** *fermat-non-unique-carrier where*  
 $\text{fermat-non-unique-carrier} \equiv \{xs :: \text{nat-lsbf. length } xs = 2 \wedge (k + 1)\}$

**lemma** *fermat-non-unique-carrierI[intro]*:  
 $\text{length } xs = 2 \wedge (k + 1) \implies xs \in \text{fermat-non-unique-carrier}$   
 ⟨proof⟩

**lemma** *fermat-non-unique-carrierE[elim]*:  
 $xs \in \text{fermat-non-unique-carrier} \implies (\text{length } xs = 2 \wedge (k + 1) \implies P) \implies P$   
 ⟨proof⟩

**lemma** *two-pow-half-carrier-length[simp]*:  $(\text{int } 2 \wedge (2 \wedge k)) \text{ mod } n = -1 \text{ mod } n$   
 ⟨proof⟩

**lemma** *two-pow-half-carrier-length-neq-1*:  $2 \wedge (2 \wedge k) \text{ mod } n \neq 1$   
 ⟨proof⟩

**lemma** *two-pow-carrier-length[simp]*:  $(2 :: \text{nat}) \wedge (2 \wedge (k + 1)) \text{ mod } n = 1$   
 ⟨proof⟩

**lemma** *two-pow-half-carrier-length-residue-ring[simp]*:  
 $(2 :: \text{int}) [\wedge]_{Fn} (2 :: \text{nat}) \wedge k = \ominus_{Fn} \mathbf{1}_{Fn}$   
 ⟨proof⟩

**lemma** *two-pow-carrier-length-residue-ring[simp]*:  
 $(2 :: \text{int}) [\wedge]_{Fn} (2 :: \text{nat}) \wedge (k + 1) = \mathbf{1}_{Fn}$



*<proof>*

**corollary** *two-is-unit*:  $2 \in \text{Units } Fn$

*<proof>*

**corollary** *two-in-carrier*:  $2 \in \text{carrier } Fn$

*<proof>*

**lemma** *nat-mod-eqE*:  $(a::nat) \bmod m = b \bmod m \implies \exists i j. a + i * m = b + j * m$

*<proof>*

**corollary** *pow-mod-carrier-length*:

**assumes**  $(a::nat) \bmod 2^{k+1} = b \bmod 2^{k+1}$

**shows**  $2 \lceil_{Fn} a = 2 \lceil_{Fn} b$

*<proof>*

**lemma** *two-powers-trivial*:

**assumes**  $s \leq 2^k$

**shows**  $2 \lceil_{Fn} s = 2^s$

*<proof>*

**lemma** *two-powers-Units*:

**assumes**  $s \leq 2^k$

**shows**  $2^s \in \text{Units } Fn$

*<proof>*

**corollary** *two-powers-in-carrier*:

**assumes**  $s \leq 2^k$

**shows**  $2^s \in \text{carrier } Fn$

*<proof>*

**lemma** *two-powers-half-carrier-length-residue-ring[simp]*:

**assumes**  $i + s = k$

**shows**  $(2^{2^i}) \lceil_{Fn} (2::nat)^s = \ominus_{Fn} \mathbf{1}_{Fn}$

*<proof>*

**interpretation** *z-mod-fermat-unit-group*: *group units-of Fn*

*<proof>*

**lemma** *inv-of-2[simp]*:

$\text{inv}_{Fn} 2 = 2 \lceil_{Fn} ((2::nat)^{k+1} - 1)$

*<proof>*

**lemma** *inv-of-2-powers*:

**assumes**  $s \leq 2^k$

**shows**  $\text{inv}_{Fn} (2^s) = 2 \lceil_{Fn} (2^{k+1} - s)$

*<proof>*

**lemma** *inv-pow-mod-carrier-length*:

**assumes**  $(a::nat) \bmod 2^{k+1} = b \bmod 2^{k+1}$   
**shows**  $(inv_{Fn} 2) [\uparrow]_{Fn} a = (inv_{Fn} 2) [\uparrow]_{Fn} b$   
 $\langle proof \rangle$

**lemma**

**assumes**  $m > 0$   
**shows**  $\exists i j. (a::nat) = j + i * m \wedge j < m$   
 $\langle proof \rangle$

**corollary** *two-powers*:  $(2::nat)^a \bmod n = (2::nat)^{a \bmod (2^{k+1})} \bmod n$   
 $\langle proof \rangle$

**lemma** *fermat-carrier-length[simp]*:  $xs \in \text{fermat-non-unique-carrier} \implies \text{length } xs = 2^{k+1}$   
 $\langle proof \rangle$

**fun** *to-residue-ring* ::  $nat\text{-lsbf} \Rightarrow int$  **where**  
*to-residue-ring*  $xs = int (Nat-LSBF.to-nat xs) \bmod int n$   
**fun** *from-residue-ring* ::  $int \Rightarrow nat\text{-lsbf}$  **where**  
*from-residue-ring*  $x = fill (2^{k+1}) (Nat-LSBF.from-nat (nat x))$

**lemma** *to-residue-ring-in-carrier[simp]*:  $to-residue-ring xs \in carrier Fn$   
 $\langle proof \rangle$

**lemma** *to-residue-ring-eq-to-nat*:  $Nat-LSBF.to-nat xs < n \implies to-residue-ring xs = int (Nat-LSBF.to-nat xs)$   
 $\langle proof \rangle$

**definition** *multiply-with-power-of-2* ::  $nat\text{-lsbf} \Rightarrow nat \Rightarrow nat\text{-lsbf}$  **where**  
*multiply-with-power-of-2*  $xs m = rotate-right m xs$

**definition** *divide-by-power-of-2* ::  $nat\text{-lsbf} \Rightarrow nat \Rightarrow nat\text{-lsbf}$  **where**  
*divide-by-power-of-2*  $xs m = rotate-left m xs$

**lemma** *length-multiply-with-power-of-2[simp]*:  $\text{length} (multiply-with-power-of-2 xs m) = \text{length } xs$   
 $\langle proof \rangle$

**lemma** *length-divide-by-power-of-2[simp]*:  $\text{length} (divide-by-power-of-2 xs m) = \text{length } xs$   
 $\langle proof \rangle$

**lemma** (**in** *euclidean-semiring-cancel*) *sum-list-mod*:  $(\sum i \leftarrow xs. (f i \bmod m)) \bmod m = (\sum i \leftarrow xs. f i) \bmod m$   
 $\langle proof \rangle$

**lemma** (**in** *euclidean-semiring-cancel*) *sum-list-mod'*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \text{ mod } m = g i \text{ mod } m$   
**shows**  $(\sum i \leftarrow xs. f i) \text{ mod } m = (\sum i \leftarrow xs. g i) \text{ mod } m$   
 ⟨proof⟩

**lemma** *multiply-with-power-of-2-correct'*:  $xs \in \text{fermat-non-unique-carrier} \implies \text{Nat-LSBF.to-nat}$   
 $(\text{multiply-with-power-of-2 } xs \ m) \text{ mod } n = \text{Nat-LSBF.to-nat } xs * 2^m \text{ mod } n \wedge$   
 $\text{multiply-with-power-of-2 } xs \ m \in \text{fermat-non-unique-carrier}$   
 ⟨proof⟩

**corollary** *multiply-with-power-of-2-closed*:  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**shows**  $\text{multiply-with-power-of-2 } xs \ m \in \text{fermat-non-unique-carrier}$   
 ⟨proof⟩

**corollary** *multiply-with-power-of-2-correct*:  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**shows**  $\text{to-residue-ring } (\text{multiply-with-power-of-2 } xs \ m) = \text{to-residue-ring } xs \otimes_{F_n} 2^m$   
 $[\bigwedge]_{F_n} m$   
 ⟨proof⟩

**lemma**  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**shows** *divide-by-power-of-2-correct*:  $\text{to-residue-ring } (\text{divide-by-power-of-2 } xs \ m)$   
 $= \text{to-residue-ring } xs \otimes_{F_n} (\text{inv}_{F_n} 2)^m [\bigwedge]_{F_n} m$   
**and** *divide-by-power-of-2-closed*:  $\text{divide-by-power-of-2 } xs \ m \in \text{fermat-non-unique-carrier}$   
 ⟨proof⟩

**definition** *add-fermat where*  
 $\text{add-fermat } xs \ ys = (\text{let } zs = \text{add-nat } xs \ ys \text{ in if length } zs = 2^{k+1} + 1 \text{ then}$   
 $\text{inc-nat } (\text{butlast } zs) \text{ else } zs)$

**lemma** *add-fermat-correct'*:  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**assumes**  $ys \in \text{fermat-non-unique-carrier}$   
**shows**  $\text{add-fermat } xs \ ys \in \text{fermat-non-unique-carrier} \wedge \text{Nat-LSBF.to-nat } (\text{add-fermat}$   
 $xs \ ys) \text{ mod } n = (\text{Nat-LSBF.to-nat } xs + \text{Nat-LSBF.to-nat } ys) \text{ mod } n$   
 ⟨proof⟩

**corollary** *add-fermat-closed*:  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**assumes**  $ys \in \text{fermat-non-unique-carrier}$   
**shows**  $\text{add-fermat } xs \ ys \in \text{fermat-non-unique-carrier}$   
 ⟨proof⟩

**corollary** *add-fermat-correct*:  
**assumes**  $xs \in \text{fermat-non-unique-carrier}$   
**assumes**  $ys \in \text{fermat-non-unique-carrier}$   
**shows**  $\text{to-residue-ring } (\text{add-fermat } xs \ ys) = \text{to-residue-ring } xs \oplus_{F_n} \text{to-residue-ring}$   
 $ys$

*<proof>*

**definition** *subtract-fermat* **where**

*subtract-fermat xs ys = add-fermat xs (multiply-with-power-of-2 ys (2 ^ k))*

**lemma** *subtract-fermat-correct'*:

**assumes** *xs ∈ fermat-non-unique-carrier*

**assumes** *ys ∈ fermat-non-unique-carrier*

**shows** *subtract-fermat xs ys ∈ fermat-non-unique-carrier ∧ int (Nat-LSBF.to-nat (subtract-fermat xs ys)) mod n = (int (Nat-LSBF.to-nat xs) - int (Nat-LSBF.to-nat ys)) mod n*

*<proof>*

**corollary** *subtract-fermat-closed*:

**assumes** *xs ∈ fermat-non-unique-carrier*

**assumes** *ys ∈ fermat-non-unique-carrier*

**shows** *subtract-fermat xs ys ∈ fermat-non-unique-carrier*

*<proof>*

**corollary** *subtract-fermat-correct*:

**assumes** *xs ∈ fermat-non-unique-carrier*

**assumes** *ys ∈ fermat-non-unique-carrier*

**shows** *to-residue-ring (subtract-fermat xs ys) = to-residue-ring xs ⊖<sub>F<sub>n</sub></sub> to-residue-ring ys*

*<proof>*

**end**

**context** *int-lsbf-fermat* **begin**

**definition** *reduce* :: *nat-lsbf ⇒ nat-lsbf* **where**

*reduce xs = (let (ys, zs) = split xs in*

*if compare-nat zs ys then*

*subtract-nat ys zs*

*else*

*subtract-nat (add-nat (True # replicate (2 ^ k - 1) False @ [True]) ys) zs)*

**lemma** *reduce-correct'*:

**assumes** *xs ∈ fermat-non-unique-carrier*

**shows** *Nat-LSBF.to-nat (reduce xs) < n ∧ Nat-LSBF.to-nat (reduce xs) mod n = Nat-LSBF.to-nat xs mod n* **and** *length (reduce xs) ≤ 2 ^ k + 2*

*<proof>*

**lemma** *reduce-correct*:

**assumes** *xs ∈ fermat-non-unique-carrier*

**shows** *Nat-LSBF.to-nat xs mod n = Nat-LSBF.to-nat (reduce xs)*

*<proof>*

**lemma** *add-take-drop-carry-aux*:

**assumes**  $xs' = \text{add-nat } (take\ e\ xs) (drop\ e\ xs)$   
**assumes**  $length\ xs = e + 1$   
**assumes**  $e \geq 1$   
**shows**  $length\ xs' \leq e \vee (xs' = \text{replicate } e\ False @ [True] \wedge xs = \text{replicate } e\ True$   
 $@ [True])$   
 $\langle proof \rangle$

**function**  $from\text{-}nat\text{-}lsbf :: nat\text{-}lsbf \Rightarrow nat\text{-}lsbf$  **where**  
 $from\text{-}nat\text{-}lsbf\ xs = (if\ length\ xs \leq 2^{k+1}\ then\ fill\ (2^{k+1})\ xs$   
 $\quad else\ from\text{-}nat\text{-}lsbf\ (\text{add-nat } (take\ (2^{k+1})\ xs) (drop\ (2^{k+1})\ xs)))$   
 $\langle proof \rangle$

**lemma**  $from\text{-}nat\text{-}lsbf\text{-}dom\text{-}termination$ : *All from-nat-lsbf-dom*  
 $\langle proof \rangle$

**termination**  $\langle proof \rangle$

**declare**  $from\text{-}nat\text{-}lsbf.simps[simp\ del]$

**lemma**  $from\text{-}nat\text{-}lsbf\text{-}correct$ :  
**shows**  $from\text{-}nat\text{-}lsbf\ xs \in \text{fermat-non-unique-carrier}$   
 $\quad to\text{-}residue\text{-}ring\ (from\text{-}nat\text{-}lsbf\ xs) = to\text{-}residue\text{-}ring\ xs$   
 $\langle proof \rangle$

**lemma**  $length\text{-}from\text{-}nat\text{-}lsbf$ :  $length\ (from\text{-}nat\text{-}lsbf\ xs) = 2^{k+1}$   
 $\langle proof \rangle$

### 3.3 Implementing FNTT in $\mathbb{Z}_{F_n}$

**lemma**  $n\text{-}odd$ : *odd n*  
 $\langle proof \rangle$

**lemma**  $ord\text{-}2$ :  $ord\ n\ 2 = 2^{k+1}$   
 $\langle proof \rangle$

**corollary**  $ord\text{-}2\text{-}int$ :  $ord\ (int\ n)\ 2 = 2^{k+1}$   
 $\langle proof \rangle$

**lemma**  $two\text{-}is\text{-}primitive\text{-}root$ :  $primitive\text{-}root\ (2^{k+1})\ 2$   
 $\langle proof \rangle$

**lemma**  $two\text{-}inv\text{-}is\text{-}primitive\text{-}root$ :  $primitive\text{-}root\ (2^{k+1})\ (inv_{F_n}\ 2)$   
 $\langle proof \rangle$

**lemma**  $two\text{-}powers\text{-}primitive\text{-}root$ :  
**assumes**  $i + s = k + 1$   
**assumes**  $i \leq k$   
**shows**  $primitive\text{-}root\ (2^s)\ (2^{[F_n]}\ (2::nat)^i)$   
 $\langle proof \rangle$

**fun**  $fft\text{-}combine\text{-}b\text{-}c\text{-}aux :: (nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow nat\text{-}lsbf) \Rightarrow (nat\text{-}lsbf \Rightarrow nat \Rightarrow$   
 $nat\text{-}lsbf) \Rightarrow nat \Rightarrow nat\text{-}lsbf\ list \times nat \Rightarrow nat\text{-}lsbf\ list \Rightarrow nat\text{-}lsbf\ list \Rightarrow nat\text{-}lsbf\ list$

**where**

```
fft-combine-b-c-aux f g l (revs, e) [] [] = rev revs
| fft-combine-b-c-aux f g l (revs, e) (b # bs) (c # cs) =
  fft-combine-b-c-aux f g l ((f b (g c e)) # revs, (e + l) mod 2 ^ (k + 1)) bs cs
| fft-combine-b-c-aux f g l - - - = undefined
```

**fun fft-iff-combine-b-c-add where**

```
fft-iff-combine-b-c-add True l bs cs = fft-combine-b-c-aux add-fermat divide-by-power-of-2
l ([], 0) bs cs
| fft-iff-combine-b-c-add False l bs cs = fft-combine-b-c-aux add-fermat multiply-with-power-of-2
l ([], 0) bs cs
```

**fun fft-iff-combine-b-c-subtract where**

```
fft-iff-combine-b-c-subtract True l bs cs = fft-combine-b-c-aux subtract-fermat di-
vide-by-power-of-2 l ([], 0) bs cs
| fft-iff-combine-b-c-subtract False l bs cs = fft-combine-b-c-aux subtract-fermat
multiply-with-power-of-2 l ([], 0) bs cs
```

**lemma fft-combine-b-c-aux-correct:**

```
assumes length bs = len-bc length cs = len-bc
assumes e < 2 ^ (k + 1)
shows fft-combine-b-c-aux f g l (revs, e) bs cs = rev revs @ map3 (λx y i. f x (g
y ((e + l * i) mod 2 ^ (k + 1)))) bs cs [0..<len-bc]
⟨proof⟩
```

**lemma fft-iff-combine-b-c-add-correct:**

```
assumes length bs = len-bc length cs = len-bc
shows fft-iff-combine-b-c-add it l bs cs = map3 (λx y i. add-fermat x ((if it then
divide-by-power-of-2 else multiply-with-power-of-2) y ((l * i) mod 2 ^ (k + 1))))
bs cs [0..<len-bc]
⟨proof⟩
```

**lemma fft-iff-combine-b-c-subtract-correct:**

```
assumes length bs = len-bc length cs = len-bc
shows fft-iff-combine-b-c-subtract it l bs cs = map3 (λx y i. subtract-fermat x
((if it then divide-by-power-of-2 else multiply-with-power-of-2) y ((l * i) mod 2 ^
(k + 1)))) bs cs [0..<len-bc]
⟨proof⟩
```

**lemma fft-iff-combine-b-c-add-carrier:**

```
assumes length bs = len-bc length cs = len-bc
assumes set bs ⊆ fermat-non-unique-carrier
assumes set cs ⊆ fermat-non-unique-carrier
shows set (fft-iff-combine-b-c-add it l bs cs) ⊆ fermat-non-unique-carrier
⟨proof⟩
```

**lemma fft-iff-combine-b-c-subtract-carrier:**

```
assumes length bs = len-bc length cs = len-bc
assumes set bs ⊆ fermat-non-unique-carrier
```

**assumes**  $set\ cs \subseteq fermap-non-unique-carrier$   
**shows**  $set\ (fft-iff-combine-b-c-subtract\ it\ l\ bs\ cs) \subseteq fermap-non-unique-carrier$   
 $\langle proof \rangle$

**fun**  $fft-iff :: bool \Rightarrow nat \Rightarrow nat-lsbf\ list \Rightarrow nat-lsbf\ list$  **where**  
 $fft-iff\ it\ l\ [] = []$   
 $| fft-iff\ it\ l\ [x] = [x]$   
 $| fft-iff\ it\ l\ [x, y] = [add-fermat\ x\ y, subtract-fermat\ x\ y]$   
 $| fft-iff\ it\ l\ a = (let\ nums1 = evens-odds\ True\ a;$   
 $\quad\quad\quad nums2 = evens-odds\ False\ a;$   
 $\quad\quad\quad b = fft-iff\ it\ (2 * l)\ nums1;$   
 $\quad\quad\quad c = fft-iff\ it\ (2 * l)\ nums2;$   
 $\quad\quad\quad g = fft-iff-combine-b-c-add\ it\ l\ b\ c;$   
 $\quad\quad\quad h = fft-iff-combine-b-c-subtract\ it\ l\ b\ c$   
 $\quad\quad\quad in\ g@h)$

**fun**  $fft$  **where**  $fft\ l\ xs = fft-iff\ False\ l\ xs$   
**fun**  $iff$  **where**  $iff\ l\ xs = fft-iff\ True\ l\ xs$

**end**

**locale**  $fft-context = int-lsbf-fermat +$   
**fixes**  $it :: bool$   
**fixes**  $l\ e :: nat$   
**fixes**  $a1\ a2\ a3 :: nat-lsbf$   
**fixes**  $as :: nat-lsbf\ list$   
**assumes**  $length-a': length\ (a1\ \# \ a2\ \# \ a3\ \# \ as) = 2^e$   
**begin**

**definition**  $a$  **where**  $a = a1\ \# \ a2\ \# \ a3\ \# \ as$   
**definition**  $nums1$  **where**  $nums1 = evens-odds\ True\ a$   
**definition**  $nums2$  **where**  $nums2 = evens-odds\ False\ a$   
**definition**  $b$  **where**  $b = fft-iff\ it\ (2 * l)\ nums1$   
**definition**  $c$  **where**  $c = fft-iff\ it\ (2 * l)\ nums2$   
**definition**  $g$  **where**  $g = fft-iff-combine-b-c-add\ it\ l\ b\ c$   
**definition**  $h$  **where**  $h = fft-iff-combine-b-c-subtract\ it\ l\ b\ c$   
**lemmas**  $defs = a-def\ nums1-def\ nums2-def\ b-def\ c-def\ g-def\ h-def$

**lemma**  $length-a: length\ a = 2^e$   $\langle proof \rangle$

**lemma**  $e-ge-2: e \geq 2$

$\langle proof \rangle$

**lemma**  $e-pos: e > 0$   $\langle proof \rangle$

**lemma**  $two-pow-e-div-2: (2::nat)^e\ div\ 2 = 2^{e-1}$

$\langle proof \rangle$

**lemma**  $two-pow-e-as-sum: (2::nat)^e = 2^{e-1} + 2^{e-1}$

$\langle proof \rangle$

**lemma**

**shows** *length-nums1*:  $\text{length } \text{nums1} = 2^{e-1}$   
**and** *length-nums2*:  $\text{length } \text{nums2} = 2^{e-1}$   
*<proof>*

**lemma** *result-eq*:  $\text{fft-iff } l \ a = g \ @ \ h$   
*<proof>*

**lemma**  
**assumes** *set a*  $\subseteq$  *fermat-non-unique-carrier*  
**shows** *nums1-carrier*:  $\text{set } \text{nums1} \subseteq$  *fermat-non-unique-carrier*  
**and** *nums2-carrier*:  $\text{set } \text{nums2} \subseteq$  *fermat-non-unique-carrier*  
*<proof>*

**end**

**context** *int-lsb-fermat*  
**begin**

**lemma** *length-fft-iff*:  
**assumes**  $\text{length } a = 2^e$   
**shows**  $\text{length } (\text{fft-iff } l \ a) = 2^e$   
*<proof>*

**lemma** *length-fft*:  
**assumes**  $\text{length } a = 2^e$   
**shows**  $\text{length } (\text{fft } l \ a) = 2^e$   
*<proof>*

**lemma** *length-iff*:  
**assumes**  $\text{length } a = 2^e$   
**shows**  $\text{length } (\text{iff } l \ a) = 2^e$   
*<proof>*

**end**

**context** *fft-context* **begin**

**lemma** *length-b*:  $\text{length } b = 2^{e-1}$   
*<proof>*

**lemma** *length-c*:  $\text{length } c = 2^{e-1}$   
*<proof>*

**lemma** *length-g*:  $\text{length } g = 2^{e-1}$   
*<proof>*

**lemma** *length-h*:  $\text{length } h = 2^{e-1}$   
*<proof>*

**end**

**context** *int-lsb-fermat*



**begin**

**lemma** *fft-iff-carrier*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{set } (\text{fft-iff } \text{it } s \ a) \subseteq \text{fermat-non-unique-carrier}$

*<proof>*

**lemma** *fft-carrier*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{set } (\text{fft } s \ a) \subseteq \text{fermat-non-unique-carrier}$

*<proof>*

**lemma** *iff-carrier*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{set } (\text{iff } s \ a) \subseteq \text{fermat-non-unique-carrier}$

*<proof>*

**lemma** *fft-iff-correct'*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $l \leq k + 1$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{map to-residue-ring } (\text{fft-iff } \text{it } s \ a) = \text{FNNTT'' } ((\text{if } \text{it} \ \text{then } \text{inv}_{F_n} \ 2 \ \text{else } 2) \ [\wedge]_{F_n} \ s) \ (\text{map to-residue-ring } a)$

*<proof>*

**lemma** *fft-iff-correct*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $s = 2 \wedge i$

**assumes**  $i + l = k + 1$

**assumes**  $l > 0$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{map to-residue-ring } (\text{fft-iff } \text{it } s \ a) = \text{NTT } ((\text{if } \text{it} \ \text{then } \text{inv}_{F_n} \ 2 \ \text{else } 2) \ [\wedge]_{F_n} \ s) \ (\text{map to-residue-ring } a)$

*<proof>*

**lemma** *fft-correct*:

**assumes**  $\text{length } a = 2 \wedge l$

**assumes**  $s = 2 \wedge i$

**assumes**  $i + l = k + 1$

**assumes**  $l > 0$

**assumes**  $\text{set } a \subseteq \text{fermat-non-unique-carrier}$

**shows**  $\text{map to-residue-ring } (\text{fft } s \ a) = \text{NTT } (2 \ [\wedge]_{F_n} \ s) \ (\text{map to-residue-ring } a)$

*<proof>*

**lemma** *iff-correct*:

**assumes**  $\text{length } a = 2 \wedge l$

```

assumes  $s = 2^i$ 
assumes  $i + l = k + 1$ 
assumes  $l > 0$ 
assumes  $set\ a \subseteq\ fermat\ non\ unique\ carrier$ 
shows  $map\ to\ residue\ ring\ (iff\ s\ a) = NTT\ ((inv_{F_n}\ 2)\ [\wedge]_{F_n}\ s)\ (map\ to\ residue\ ring\ a)$ 
  <proof>

```

**end**

**end**

**theory** *Z-mod-Fermat-TM*

**imports**

*Z-mod-Fermat*

*Z-mod-power-of-2-TM*

*../Preliminaries/Schoenhage-Strassen-Runtime-Preliminaries*

**begin**

**fun** *evens-odds-tm* ::  $bool \Rightarrow 'a\ list \Rightarrow 'a\ list\ tm$  **where**

*evens-odds-tm*  $b\ [] = 1$  **return**  $[]$

| *evens-odds-tm*  $True\ (x\ \#\ xs) = 1$  **do** {

$rs \leftarrow$  *evens-odds-tm*  $False\ xs$ ;

**return**  $(x\ \#\ rs)$

}

| *evens-odds-tm*  $False\ (x\ \#\ xs) = 1$  *evens-odds-tm*  $True\ xs$

**lemma** *val-evens-odds-tm[simp, val-simp]*:  $val\ (evens-odds-tm\ b\ xs) = evens-odds\ b\ xs$

<proof>

**lemma** *time-evens-odds-tm-le*:  $time\ (evens-odds-tm\ b\ xs) \leq length\ xs + 1$

<proof>

**context** *int-lsbf-fermat*

**begin**

**definition** *multiply-with-power-of-2-tm* ::  $nat-lsbf \Rightarrow nat \Rightarrow nat-lsbf\ tm$  **where**  
*multiply-with-power-of-2-tm*  $xs\ m = 1$  *rotate-right-tm*  $m\ xs$

**lemma** *val-multiply-with-power-of-2-tm[simp, val-simp]*:

$val\ (multiply-with-power-of-2-tm\ xs\ m) = multiply-with-power-of-2\ xs\ m$

<proof>

**lemma** *time-multiply-with-power-of-2-tm-le*:

$time\ (multiply-with-power-of-2-tm\ xs\ m) \leq 24 + 26 * max\ m\ (length\ xs)$

<proof>

**definition** *divide-by-power-of-2-tm* ::  $nat-lsbf \Rightarrow nat \Rightarrow nat-lsbf\ tm$  **where**  
*divide-by-power-of-2-tm*  $xs\ m = 1$  *rotate-left-tm*  $m\ xs$

**lemma** *val-divide-by-power-of-2-tm*[simp, val-simp]:  
*val (divide-by-power-of-2-tm xs m) = divide-by-power-of-2 xs m*  
 ⟨proof⟩

**lemma** *time-divide-by-power-of-2-tm-le*:  
*time (divide-by-power-of-2-tm xs m) ≤ 24 + 26 \* max m (length xs)*  
 ⟨proof⟩

**definition** *add-fermat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm* **where**  
*add-fermat-tm xs ys = 1 do {*  
   *zs ← xs +<sub>nt</sub> ys;*  
   *lenzs ← length-tm zs;*  
   *k1 ← k +<sub>t</sub> 1;*  
   *powk ← 2 <sup>t</sup> k1;*  
   *powk1 ← powk +<sub>t</sub> 1;*  
   *b ← lenzs =<sub>t</sub> powk1;*  
   *if b then do {*  
     *zsr ← butlast-tm zs;*  
     *inc-nat-tm zsr*  
   *} else return zs*  
*}*

**lemma** *val-add-fermat-tm*[simp, val-simp]: *val (add-fermat-tm xs ys) = add-fermat xs ys*  
 ⟨proof⟩

**lemma** *time-add-fermat-tm-le*: *time (add-fermat-tm xs ys) ≤ 13 + 7 \* max (length xs) (length ys) + 28 \* 2 <sup>k</sup>*  
 ⟨proof⟩

**definition** *subtract-fermat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm* **where**  
*subtract-fermat-tm xs ys = 1 do {*  
   *powk ← 2 <sup>t</sup> k;*  
   *minusy ← multiply-with-power-of-2-tm ys powk;*  
   *add-fermat-tm xs minusy*  
*}*

**lemma** *val-subtract-fermat-tm*[simp, val-simp]: *val (subtract-fermat-tm xs ys) = subtract-fermat xs ys*  
 ⟨proof⟩

**lemma** *time-subtract-fermat-tm-le*: *time (subtract-fermat-tm xs ys) ≤ 38 + 66 \* 2 <sup>k</sup> + 26 \* length ys + 7 \* max (length xs) (length ys)*  
 ⟨proof⟩

**definition** *reduce-tm* :: *nat-lsbf ⇒ nat-lsbf tm* **where**  
*reduce-tm xs = 1 do {*  
   *(ys, zs) ← split-tm xs;*  
*}*

```

    b ← zs ≤nt ys;
    if b then ys -nt zs
    else do {
      kpow ← 2 ^t k;
      kpow1 ← kpow -t 1;
      zeros ← replicate-tm kpow1 False;
      a1 ← zeros @t [True];
      s ← (True # a1) +nt ys;
      s -nt zs
    }
  }
}

```

**lemma** *val-reduce-tm*[simp, val-simp]: val (reduce-tm xs) = reduce xs  
 ⟨proof⟩

**lemma** *time-reduce-tm-le*: time (reduce-tm xs) ≤ 155 + 85 \* length xs + 46 \* 2<sup>^</sup><sub>k</sub>  
 ⟨proof⟩

**function** (domintros) *from-nat-lsbf-tm* :: nat-lsbf ⇒ nat-lsbf tm **where**  
*from-nat-lsbf-tm* xs = 1 do {  
 k1 ← k +<sub>t</sub> 1;  
 powk ← 2 <sup>^</sup><sub>t</sub> k1;  
 lenxs ← length-tm xs;  
 b ← lenxs ≤<sub>t</sub> powk;  
 if b then fill-tm powk xs else do {  
 xs1 ← take-tm powk xs;  
 xs2 ← drop-tm powk xs;  
 a ← xs1 +<sub>nt</sub> xs2;  
 from-nat-lsbf-tm a  
 }  
}
 }  
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**declare** *from-nat-lsbf-tm.simps*[simp del]

**lemma** *val-from-nat-lsbf-tm*[simp, val-simp]: val (from-nat-lsbf-tm xs) = from-nat-lsbf xs  
 ⟨proof⟩

**abbreviation** *e* :: nat **where** *e* ≡ 2<sup>^</sup>(*k* + 1)

**lemma** *e-ge-1*: *e* ≥ 1 ⟨proof⟩

**lemma** *e-ge-2*: *e* ≥ 2 ⟨proof⟩

**lemma** *e-ge-4*: *k* > 0 ⇒ *e* ≥ 4 ⟨proof⟩

**lemma** *time-from-nat-lsbf-tm-le-aux*:  
 assumes *xs'* = add-nat (take *e* xs) (drop *e* xs)

**shows**  $\text{time}(\text{from-nat-lsbf-tm } xs) \leq 18 * e + 4 * \text{length } xs + 9 +$   
 (if  $\text{length } xs \leq e$  then 0 else  $\text{time}(\text{from-nat-lsbf-tm } xs')$ )  
 <proof>

**lemma** *time-from-nat-lsbf-tm-le-aux'*:

**assumes**  $xs' = \text{add-nat}(\text{take } e \text{ } xs) (\text{drop } e \text{ } xs)$

**shows**  $\text{time}(\text{from-nat-lsbf-tm } xs) \leq 66 * e + 4 * \text{length } xs + 35 +$   
 (if  $\text{length } xs \leq e + 1$  then 0 else  $\text{time}(\text{from-nat-lsbf-tm } xs')$ )

<proof>

**function** *time-from-nat-lsbf-tm-bound* **where**

*time-from-nat-lsbf-tm-bound*  $l = 88 * e + 4 * l + 48 +$

(if  $l \leq 2 * e$  then 0 else  $\text{time-from-nat-lsbf-tm-bound}(l - (e - 1))$ )

<proof>

**termination**

<proof>

**declare** *time-from-nat-lsbf-tm-bound.simps*[*simp del*]

**lemma** *time-from-nat-lsbf-tm-le-bound*:

**assumes**  $\text{length } xs \leq l$

**shows**  $\text{time}(\text{from-nat-lsbf-tm } xs) \leq \text{time-from-nat-lsbf-tm-bound } l$

<proof>

**lemma** *time-from-nat-lsbf-tm-bound-closed*:

**assumes**  $x \leq 2 * e$

**assumes**  $x \geq e + 2$

**shows**  $\text{time-from-nat-lsbf-tm-bound}(x + l * (e - 1)) =$

$(l + 1) * (88 * e + 4 * x + 48) + 4 * (\sum \{0..l\}) * (e - 1)$

<proof>

**lemma** *time-from-nat-lsbf-tm-le*:

**assumes**  $e \geq 4$

**assumes**  $\text{length } xs \leq c * e$

**shows**  $\text{time}(\text{from-nat-lsbf-tm } xs) \leq (288 * c + 144) + (96 + 192 * c + 8 * c$   
 $* c) * e$

<proof>

**fun** *fft-combine-b-c-aux-tm* **where**

*fft-combine-b-c-aux-tm*  $f \ g \ l \ (\text{revs}, s) \ [] \ [] = 1 \ \text{rev-tm } \text{revs}$

| *fft-combine-b-c-aux-tm*  $f \ g \ l \ (\text{revs}, s) \ (b \ \# \ bs) \ (c \ \# \ cs) = 1 \ \text{do} \ \{$

$c\text{-shifted} \leftarrow g \ c \ s;$

$r \leftarrow f \ b \ c\text{-shifted};$

$s\text{-new} \leftarrow s +_t l;$

$k1 \leftarrow k +_t 1;$

$\text{powk1} \leftarrow 2 \hat{=} k1;$

$s\text{-new-mod} \leftarrow s\text{-new} \text{ mod}_t \text{powk1};$

$\text{fft-combine-b-c-aux-tm } f \ g \ l \ (r \ \# \ \text{revs}, s\text{-new-mod}) \ bs \ cs$

$\}$

| *fft-combine-b-c-aux-tm* - - - - - = *undefined*

**lemma** *val-fft-combine-b-c-aux-tm*[simp, val-simp]:

**assumes**  $\text{length } bs = \text{length } cs$

**shows**  $\text{val } (\text{fft-combine-b-c-aux-tm } f \ g \ l \ (\text{revs}, s) \ bs \ cs) =$

$\text{fft-combine-b-c-aux } (\lambda x \ y. \text{val } (f \ x \ y)) \ (\lambda x \ y. \text{val } (g \ x \ y)) \ l \ (\text{revs}, s) \ bs \ cs$

*<proof>*

**lemma** *time-fft-combine-b-c-aux-tm-le*:

**assumes**  $\text{length } bs = \text{length } cs$

**assumes**  $\bigwedge b. b \in \text{set } bs \implies \text{length } b = e$

**assumes**  $\bigwedge c. c \in \text{set } cs \implies \text{length } c = e$

**assumes**  $\bigwedge xs \ ys. \text{time } (f \ xs \ ys) \leq 38 + 66 * 2^k + 26 * \text{length } ys + 7 * \max(\text{length } xs) (\text{length } ys)$

**assumes**  $s < e$

**assumes**  $g = \text{multiply-with-power-of-2-tm} \vee g = \text{divide-by-power-of-2-tm}$

**shows**  $\text{time } (\text{fft-combine-b-c-aux-tm } f \ g \ l \ (\text{revs}, s) \ bs \ cs) \leq \text{length } \text{revs} + 3 + \text{length } bs * (72 + 116 * e + 8 * l)$

*<proof>*

**fun** *fft-iff-fft-combine-b-c-add-tm* ::  $\text{bool} \Rightarrow \text{nat} \Rightarrow \text{nat-lsbf list} \Rightarrow \text{nat-lsbf list} \Rightarrow \text{nat-lsbf list tm}$  **where**

*fft-iff-fft-combine-b-c-add-tm*  $\text{True } l \ bs \ cs = 1 \ \text{fft-combine-b-c-aux-tm } \text{add-fermat-tm}$

*divide-by-power-of-2-tm*  $l \ (\ [], 0) \ bs \ cs$

$| \ \text{fft-iff-fft-combine-b-c-add-tm } \text{False } l \ bs \ cs = 1 \ \text{fft-combine-b-c-aux-tm } \text{add-fermat-tm}$

*multiply-with-power-of-2-tm*  $l \ (\ [], 0) \ bs \ cs$

**fun** *fft-iff-fft-combine-b-c-subtract-tm* ::  $\text{bool} \Rightarrow \text{nat} \Rightarrow \text{nat-lsbf list} \Rightarrow \text{nat-lsbf list} \Rightarrow \text{nat-lsbf list tm}$  **where**

*fft-iff-fft-combine-b-c-subtract-tm*  $\text{True } l \ bs \ cs = 1 \ \text{fft-combine-b-c-aux-tm } \text{subtract-fermat-tm}$

*divide-by-power-of-2-tm*  $l \ (\ [], 0) \ bs \ cs$

$| \ \text{fft-iff-fft-combine-b-c-subtract-tm } \text{False } l \ bs \ cs = 1 \ \text{fft-combine-b-c-aux-tm } \text{subtract-fermat-tm}$

*multiply-with-power-of-2-tm*  $l \ (\ [], 0) \ bs \ cs$

**lemma** *val-fft-iff-fft-combine-b-c-add-tm*[simp, val-simp]:

**assumes**  $\text{length } bs = \text{length } cs$

**shows**  $\text{val } (\text{fft-iff-fft-combine-b-c-add-tm } \text{it } l \ bs \ cs) = \text{fft-iff-fft-combine-b-c-add } \text{it } l \ bs \ cs$

*<proof>*

*<proof>*

**lemma** *val-fft-iff-fft-combine-b-c-subtract-tm*[simp, val-simp]:

**assumes**  $\text{length } bs = \text{length } cs$

**shows**  $\text{val } (\text{fft-iff-fft-combine-b-c-subtract-tm } \text{it } l \ bs \ cs) = \text{fft-iff-fft-combine-b-c-subtract } \text{it } l \ bs \ cs$

*<proof>*

*<proof>*

**lemma** *time-fft-combine-b-c-add-tm-le*:

**assumes**  $\text{length } bs = \text{length } cs$

**assumes**  $\bigwedge b. b \in \text{set } bs \implies \text{length } b = e$

**assumes**  $\bigwedge c. c \in \text{set } cs \implies \text{length } c = e$

**shows**  $\text{time } (\text{fft-iffit-combine-b-c-add-tm it l bs cs}) \leq 4 + \text{length bs} * (72 + 116 * e + 8 * l)$   
 <proof>

**lemma** *time-fft-combine-b-c-subtract-tm-le:*

**assumes**  $\text{length bs} = \text{length cs}$   
**assumes**  $\bigwedge b. b \in \text{set bs} \implies \text{length } b = e$   
**assumes**  $\bigwedge c. c \in \text{set cs} \implies \text{length } c = e$   
**shows**  $\text{time } (\text{fft-iffit-combine-b-c-subtract-tm it l bs cs}) \leq 4 + \text{length bs} * (72 + 116 * e + 8 * l)$   
 <proof>

**fun** *fft-iffit-tm* **where**

```
fft-iffit-tm it l [] = 1 return []
| fft-iffit-tm it l [x] = 1 return [x]
| fft-iffit-tm it l [x, y] = 1 do {
  r1 ← add-fermat-tm x y;
  r2 ← subtract-fermat-tm x y;
  return [r1, r2]
}
| fft-iffit-tm it l a = 1 do {
  nums1 ← evens-odds-tm True a;
  nums2 ← evens-odds-tm False a;
  b ← fft-iffit-tm it (2 * l) nums1;
  c ← fft-iffit-tm it (2 * l) nums2;
  g ← fft-iffit-combine-b-c-add-tm it l b c;
  h ← fft-iffit-combine-b-c-subtract-tm it l b c;
  g @t h
}
```

**lemma** *val-fft-iffit-tm[simp, val-simp]:*  $\text{length } a = 2^m \implies \text{val } (\text{fft-iffit-tm it l } a) = \text{fft-iffit it l } a$   
 <proof>

**lemma** *time-fft-iffit-tm-le-aux:*

**assumes**  $\bigwedge x. x \in \text{set } a \implies \text{length } x = e$   
**assumes**  $\text{length } a = 2^m$   
**shows**  $\text{time } (\text{fft-iffit-tm it l } a) \leq 2^{m-1} * (52 + 87 * e) + (m - 1) * 2^m * (76 + 116 * e) + (\sum i \leftarrow [0..<m-1]. 2^i) * (8 * 2^m * l + 13)$   
 <proof>

**lemma** *time-fft-iffit-tm-le:*

**assumes**  $\bigwedge x. x \in \text{set } a \implies \text{length } x = e$   
**assumes**  $\text{length } a = 2^m$   
**shows**  $\text{time } (\text{fft-iffit-tm it l } a) \leq 2^m * (65 + 87 * e) + m * 2^m * (76 + 116 * e) + (8 * l) * 2^{(2 * m)}$   
 <proof>

**fun** *fft-tm* **where**

```

fft-tm l a =1 fft-iff-tm False l a
fun iff-tm where
iff-tm l a =1 fft-iff-tm True l a

```

```

lemma val-fft-tm[simp, val-simp]: length a = 2 ^ m  $\implies$  val (fft-tm l a) = fft l a
<proof>

```

```

lemma val-iff-tm[simp, val-simp]: length a = 2 ^ m  $\implies$  val (iff-tm l a) = iff l a
<proof>

```

```

lemma time-fft-tm-le:

```

```

  assumes  $\bigwedge x. x \in \text{set } a \implies \text{length } x = e$ 
  assumes length a = 2 ^ m
  shows time (fft-tm l a)  $\leq$  2 ^ m * (66 + 87 * e) + m * 2 ^ m * (76 + 116 *
e) + (8 * l) * 2 ^ (2 * m)
<proof>

```

```

lemma time-iff-tm-le:

```

```

  assumes  $\bigwedge x. x \in \text{set } a \implies \text{length } x = e$ 
  assumes length a = 2 ^ m
  shows time (iff-tm l a)  $\leq$  2 ^ m * (66 + 87 * e) + m * 2 ^ m * (76 + 116 *
e) + (8 * l) * 2 ^ (2 * m)
<proof>

```

```

end

```

```

end

```

### 3.4 Final Preparations

```

theory Schoenhage-Strassen

```

```

imports

```

```

  Main
  HOL-Algebra.IntRing
  HOL-Algebra.QuotRing
  HOL-Algebra.Chinese-Remainder
  HOL-Algebra.Ring
  HOL-Algebra.Polynomials
  Word-Lib.Bit-Comprehension
  Z-mod-power-of-2
  Z-mod-Fermat
  Karatsuba.Nat-LSBF
  Karatsuba.Karatsuba-Sum-Lemmas
  Karatsuba.Karatsuba
  ../Preliminaries/Schoenhage-Strassen-Ring-Lemmas

```

```

begin

```

```

lemma aux-ineq-1: n > 1  $\implies$  2 ^ (2 * n - 1) > n + 1 + 2 ^ n
<proof>

```



**lemma** *aux-ineq-2*:  $n > 2 \implies 2^{\wedge}(2 * n - 2) > n + 2^{\wedge}n$   
 ⟨proof⟩

**lemma** *aux-ineq-3*:  $n > 1 \implies 2^{\wedge}n \geq n + 2$   
 ⟨proof⟩

**lemma** (in *residues*) *nat-embedding-eq*: *ring.nat-embedding*  $R$   $x = \text{int } x \text{ mod } m$   
 ⟨proof⟩

**lemma** (in *residues*) *carrier-mod-eq*:  $x \in \text{carrier } R \implies x \text{ mod } m = x$   
 ⟨proof⟩

The Schoenhage-Strassen Multiplication in  $\mathbb{Z}_{F_m}$  works recursively. In the following, we will state some lemmas that will be useful in the recursion case ( $m \geq 3$ ).

**locale** *m-lemmas* =  
 fixes  $m :: \text{nat}$   
 assumes *m-ge-3*:  $\neg m < 3$   
**begin**

Lemmas in *nat* resp. *int*.

**lemma** *m-gt-0*:  $m > 0$  ⟨proof⟩

**definition**  $n :: \text{nat}$  **where**  
 $n \equiv (\text{if odd } m \text{ then } (m + 1) \text{ div } 2 \text{ else } (m + 2) \text{ div } 2)$

**definition**  $oe-n :: \text{nat}$  **where**  
 $oe-n \equiv (\text{if odd } m \text{ then } n + 1 \text{ else } n)$

**lemma** *n-gt-1*:  $n > 1$  ⟨proof⟩

**lemma** *n-ge-2*:  $n \geq 2$  ⟨proof⟩

**lemma** *n-gt-0*:  $n > 0$  ⟨proof⟩

**lemma** *even-m-imp-n-ge-3*: *even*  $m \implies n \geq 3$  ⟨proof⟩

**lemma** *n-lt-m*:  $n < m$  ⟨proof⟩

**lemma** *oe-n-gt-1*:  $oe-n > 1$  ⟨proof⟩

**lemma** *oe-n-gt-0*:  $oe-n > 0$  ⟨proof⟩

**lemma** *oe-n-le-n*:  $oe-n \leq n + 1$  ⟨proof⟩

**lemma** *oe-n-minus-1-le-n*:  $oe-n - 1 \leq n$  ⟨proof⟩

**lemma** *two-pow-oe-n-div-2*:  $(2 :: \text{nat})^{\wedge} oe-n \text{ div } 2 = 2^{\wedge}(oe-n - 1)$   
 ⟨proof⟩

**lemma** *two-pow-oe-n-as-halves*:  $(2 :: \text{nat})^{\wedge} oe-n = 2^{\wedge}(oe-n - 1) + 2^{\wedge}(oe-n - 1)$   
 ⟨proof⟩

**lemma** *two-pow-Suc-oe-n-as-prod*:  $(2 :: \text{nat})^{\wedge}(oe-n + 1) = 4 * 2^{\wedge}(oe-n - 1)$   
 ⟨proof⟩

**lemma** *index-intros*:

**fixes**  $i :: \text{nat}$

**assumes**  $i < 2^{\wedge}(oe-n - 1)$

**shows**  $i < 2^{\wedge}oe-n \ 2^{\wedge}(oe-n - 1) + i < 2^{\wedge}oe-n$

*<proof>*

**lemma** *index-decomp*:

**assumes**  $j < (2::\text{nat})^{\wedge}(oe-n + 1)$

**shows**

$j \text{ div } 2^{\wedge}(oe-n - 1) < 4$

$j \text{ mod } 2^{\wedge}(oe-n - 1) < 2^{\wedge}(oe-n - 1)$

$j = (j \text{ div } 2^{\wedge}(oe-n - 1)) * 2^{\wedge}(oe-n - 1) + (j \text{ mod } 2^{\wedge}(oe-n - 1))$

*<proof>*

**lemma** *index-comp*:

**fixes**  $i \ j :: \text{nat}$

**assumes**  $i < 4 \ j < 2^{\wedge}(oe-n - 1)$

**shows**

$i * 2^{\wedge}(oe-n - 1) + j < 2^{\wedge}(oe-n + 1)$

$(i * 2^{\wedge}(oe-n - 1) + j) \text{ div } 2^{\wedge}(oe-n - 1) = i$

$(i * 2^{\wedge}(oe-n - 1) + j) \text{ mod } 2^{\wedge}(oe-n - 1) = j$

*<proof>*

**lemma** *mn*:

*odd*  $m \implies m = 2 * n - 1$

*even*  $m \implies m = 2 * n - 2$

*<proof>*

**lemma** *m0*:  $m = (n - 1) + (oe-n - 1)$

*<proof>*

**lemma** *m1*:  $m + 1 = (n - 1) + oe-n$

*<proof>*

**lemma** *two-pow-m1-as-prod*:  $(2::\text{nat})^{\wedge}(m + 1) = 2^{\wedge}(n - 1) * 2^{\wedge}oe-n$

*<proof>*

**lemma** *two-pow-m0-as-prod*:  $(2::\text{nat})^{\wedge}m = 2^{\wedge}(n - 1) * 2^{\wedge}(oe-n - 1)$

*<proof>*

**lemma** *two-pow-two-n-le*:  $(2::\text{nat})^{\wedge}(2 * n) \leq 2 * 2^{\wedge}(m + 1)$

*<proof>*

**lemma** *oe-n-m-bound-0*:  $oe-n + 2^{\wedge}n < 2^{\wedge}m$

*<proof>*

**lemma** *oe-n-m-bound-1*:  $oe-n + 1 + 2^{\wedge}n \leq 2^{\wedge}m$

*<proof>*

**lemma** *two-pow-oe-n-m-bound-1*:  $(2::'\text{a}::\text{linordered-semidom})^{\wedge}(oe-n + 1 + 2^{\wedge}n) \leq 2^{\wedge}2^{\wedge}m$

*<proof>*

**lemma** *two-pow-oe-n-m-bound-0-int*:  $2^{\wedge}(oe-n + 2^{\wedge}n) < \text{int-lsbf-fermat.n } m$

*<proof>*

**lemma** *two-pow-oe-n-m-bound-1-int*:  $2^{\wedge}(\text{oe-n} + 1 + 2^{\wedge}n) < \text{int-lsb-f-fermat.n}$   
 $m$

*<proof>*

**lemma** *oe-n-n-bound-1*:  $\text{oe-n} + 1 + 2^{\wedge}n \leq 2^{\wedge}(n + 1)$

*<proof>*

**definition** *pad-length* **where** *pad-length* =  $3 * n + 5$

Lemmas using residue rings.

**definition** *Zn* **where** *Zn* = *residue-ring (int-lsb-f-mod.n (n + 2))*

**definition** *Fn* **where** *Fn* = *residue-ring (int-lsb-f-fermat.n n)*

**definition** *Fm* **where** *Fm* = *residue-ring (int-lsb-f-fermat.n m)*

Lemmas in  $\mathbb{Z}_{2^{n+2}}$

**sublocale** *Znr* : *int-lsb-f-mod n + 2*

**rewrites** *Znr.Zn*  $\equiv$  *Zn*

*<proof>*

Lemmas in  $\mathbb{Z}_{F_m}$  resp.  $\mathbb{Z}_{F_n}$ .

**sublocale** *Fnr* : *int-lsb-f-fermat n*

**rewrites** *Fnr.Fn*  $\equiv$  *Fn*

*<proof>*

**sublocale** *Fnr-M* : *multiplicative-subgroup Fn Units Fn units-of Fn*

*<proof>*

**sublocale** *Fmr* : *int-lsb-f-fermat m*

**rewrites** *Fmr.Fn*  $\equiv$  *Fm*

*<proof>*

**sublocale** *Fmr-M* : *multiplicative-subgroup Fm Units Fm units-of Fm*

*<proof>*

**lemma** *two-pow-oe-n-primitive-root-Fm*:

*Fmr.primitive-root (2^{\wedge}oe-n) (2 [\wedge]\_{Fm} (2::nat)^{\wedge}(n - 1))*

*<proof>*

**lemma** *two-pow-oe-n-root-of-unity-Fm*:

*Fmr.root-of-unity (2^{\wedge}oe-n) (2 [\wedge]\_{Fm} (2::nat)^{\wedge}(n - 1))*

*<proof>*

**lemma** *four-prim-root-Fn*: *Fnr.primitive-root (2^{\wedge}n) (2 [\wedge]\_{Fn} (2::nat))*

*<proof>*

**lemma** *two-oe-n*:  $2 [\wedge]_{Fn} \text{oe-n} = 2^{\wedge} \text{oe-n}$

*<proof>*

**lemma** *two-oe-n-Units-Fn*:  $2^{\wedge} \text{oe-n} \in \text{Units } Fn$

*<proof>*

**lemma** *two-oe-n-carrier-Fn*:  $2^{\wedge} \text{oe-n} \in \text{carrier } Fn$

*<proof>*

**definition** *prim-root-exponent* :: nat **where** *prim-root-exponent* = (if odd m then 1 else 2)

**definition**  $\mu$  **where**  $\mu = 2 \lceil \lceil_{Fn} \text{prim-root-exponent}$

**lemma**  $\mu$ -Units-Fn:  $\mu \in \text{Units } Fn$

*<proof>*

**lemma**  $\mu$ -carrier-Fn:  $\mu \in \text{carrier } Fn$

*<proof>*

**lemma**  $\mu$ -prim-root: *Fnr.primitive-root* ( $2 \wedge \text{oe-n}$ )  $\mu$

*<proof>*

**lemma**  $\mu$ -root-of-unity: *Fnr.root-of-unity* ( $2 \wedge \text{oe-n}$ )  $\mu$

*<proof>*

**lemma**  $\mu$ -halfway-property:  $\mu \lceil \lceil_{Fn} ((2::nat) \wedge \text{oe-n div } 2) = \ominus_{Fn} \mathbf{1}_{Fn}$

*<proof>*

**end**

Lemmas only depending on one of the input arguments (and  $m$ ).

**locale** *carrier-input* = *m-lemmas* +

**fixes** *num* :: nat-lsbf

**assumes** *num-carrier*:  $\text{num} \in \text{int-lsbf-fermat.fermat-non-unique-carrier } m$

**begin**

**definition** *num-blocks* **where** *num-blocks* = *subdivide* ( $2 \wedge (n - 1)$ ) *num*

**definition** *num-blocks-carrier* **where** *num-blocks-carrier* = *map* (*fill* ( $2 \wedge (n + 1)$ )) *num-blocks*

**definition** *num-Zn* **where** *num-Zn* = *map* *Znr.reduce* *num-blocks*

**definition** *num-Zn-pad* **where** *num-Zn-pad* = *concat* (*map* (*fill pad-length*) *num-Zn*)

**definition** *num-dft* **where** *num-dft* = *Fnr.fft* *prim-root-exponent* *num-blocks-carrier*

**definition** *num-dft-odds* **where** *num-dft-odds* = *evens-odds* *False* *num-dft*

**lemmas** *defs* = *num-blocks-def* *num-blocks-carrier-def* *num-Zn-def* *num-Zn-pad-def* *num-dft-def* *num-dft-odds-def*

**lemma** *length-num*[*simp*]: *length num* =  $2 \wedge (m + 1)$

*<proof>*

**lemma** *length-num-blocks*[*simp*]: *length num-blocks* =  $2 \wedge \text{oe-n}$

*<proof>*

**lemma** *length-nth-num-blocks*[*simp*]:

**fixes**  $i$  :: nat

**assumes**  $i < 2 \wedge \text{oe-n}$

**shows** *length* (*num-blocks* !  $i$ ) =  $2 \wedge (n - 1)$

*<proof>*

**lemma** *num-blocks-bound*[*simp*]:

**fixes**  $i$  :: nat

**assumes**  $i < 2^{\text{oe-n}}$   
**shows**  $\text{Nat-LSBF.to-nat } (\text{num-blocks ! } i) < 2^{\text{oe-n}}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{num-blocks-carrier-Fm}[simp]$ :  
**fixes**  $i :: \text{nat}$   
**assumes**  $i < 2^{\text{oe-n}}$   
**shows**  $\text{int } (\text{Nat-LSBF.to-nat } (\text{num-blocks ! } i)) \in \text{carrier Fm}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-num-blocks-carrier}[simp]$ :  $\text{length num-blocks-carrier} = 2^{\text{oe-n}}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{to-res-num}$ :  $\text{Fmr.to-residue-ring num} = (\bigoplus_{Fm} j \leftarrow [0..<2^{\text{oe-n}}].$   
 $\text{map } (\text{int} \circ \text{Nat-LSBF.to-nat}) \text{ num-blocks ! } j \otimes_{Fm} ((2^{\text{oe-n}} \text{ [ } \uparrow_{Fm} j))$   
 $\left. - 1)) \text{ [ } \uparrow_{Fm} j))\right)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-num-Zn}[simp]$ :  $\text{length num-Zn} = 2^{\text{oe-n}}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-nth-num-Zn}[simp]$ :  
**fixes**  $i :: \text{nat}$   
**assumes**  $i < 2^{\text{oe-n}}$   
**shows**  $\text{length } (\text{num-Zn ! } i) = n + 2$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-num-Zn-pad}[simp]$ :  $\text{length num-Zn-pad} = \text{pad-length} * 2^{\text{oe-n}}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{to-nat-num-Zn-pad}$ :  
 $\text{Nat-LSBF.to-nat num-Zn-pad} = (\sum i \leftarrow [0..<2^{\text{oe-n}}]. \text{Nat-LSBF.to-nat } (\text{num-Zn}$   
 $\text{! } i) * 2^{\text{oe-n}} (i * \text{pad-length}))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-num-dft}[simp]$ :  $\text{length num-dft} = 2^{\text{oe-n}}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fill-num-blocks-carrier}[simp]$ :  $\text{set num-blocks-carrier} \subseteq \text{Fnr.fermat-non-unique-carrier}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{num-dft-carrier}[simp]$ :  $\text{set num-dft} \subseteq \text{Fnr.fermat-non-unique-carrier}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{to-res-num-dft}$ :  
 $\text{map Fnr.to-residue-ring num-dft} = \text{Fnr.NTT } \mu (\text{map Fnr.to-residue-ring num-blocks-carrier})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-num-dft-odds}[simp]$ :  $\text{length num-dft-odds} = 2^{\text{oe-n} - 1}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{num-dft-odds-carrier}[simp]$ :  $\text{set num-dft-odds} \subseteq \text{Fnr.fermat-non-unique-carrier}$

*<proof>*

**end**

### 3.4.1 A special residue problem

**definition** *solve-special-residue-problem* **where**

*solve-special-residue-problem*  $n \xi \eta =$

(let  $\delta = \text{int-lsbf-mod.subtract-mod } (n + 2) \eta$  (take  $(n + 2) \xi$ ) in  
add-nat  $\xi$  (add-nat  $(\delta \gg_n (2 \wedge n)) \delta$ ))

**lemma** *two-pow-n-geq-n-plus-2*:  $n \geq 2 \implies 2 \wedge n \geq n + 2$

*<proof>*

**lemma** *fermat-mod-pow-2-aux*:  $n \geq 2 \implies (2::\text{nat}) \wedge (2 \wedge n) \text{ mod } 2 \wedge (n + 2) = 0$

*<proof>*

**definition** *solves-special-residue-problem* **where**

*solves-special-residue-problem*  $z n \xi \eta \equiv$

$z < 2 \wedge (n + 2) * \text{int-lsbf-fermat.n } n$

$\wedge z \text{ mod } \text{int-lsbf-fermat.n } n = \xi$

$\wedge z \text{ mod } (2 \wedge (n + 2)) = \eta$

**lemma** *solve-special-residue-problem-correct*:

**fixes**  $n :: \text{nat}$

**fixes**  $\xi \eta :: \text{nat-lsbf}$

**assumes**  $n \geq 2$

**assumes**  $\text{length } \eta \leq n + 2$

**assumes**  $\text{Nat-LSBF.to-nat } \xi < \text{int-lsbf-fermat.n } n$

**assumes**  $z = \text{solve-special-residue-problem } n \xi \eta$

**shows** *solves-special-residue-problem* ( $\text{Nat-LSBF.to-nat } z$ )  $n$  ( $\text{Nat-LSBF.to-nat } \xi$ ) ( $\text{Nat-LSBF.to-nat } \eta$ )

*<proof>*

**lemma** *fn-zn-coprime*:  $\text{coprime } (\text{int-lsbf-fermat.n } n) (2 \wedge (n + 2))$

*<proof>*

**lemma** *int-ideal-add*:  $\text{Idl}_{\mathcal{Z}} \{m\} <+>_{\mathcal{Z}} \text{Idl}_{\mathcal{Z}} \{n\} = \text{Idl}_{\mathcal{Z}} \{\text{gcd } m \ n\}$

*<proof>*

**lemma** *int-ideal-inter*:  $\text{Idl}_{\mathcal{Z}} \{m\} \cap \text{Idl}_{\mathcal{Z}} \{n\} = \text{Idl}_{\mathcal{Z}} \{\text{lcm } m \ n\}$

*<proof>*

**corollary**  $\text{coprime } m \ n \implies \text{Idl}_{\mathcal{Z}} \{m\} <+>_{\mathcal{Z}} \text{Idl}_{\mathcal{Z}} \{n\} = \text{carrier } \mathcal{Z}$

*<proof>*

**lemma** *genideal-uminus*:  $\text{Idl}_{\mathcal{Z}} \{-x\} = \text{Idl}_{\mathcal{Z}} \{x\}$

*<proof>*

**lemma** *genideal-normalize*:  $\text{Idl}_{\mathcal{Z}} \{x\} = \text{Idl}_{\mathcal{Z}} \{\text{normalize } x\}$   
 ⟨proof⟩

**corollary** *coprime*  $m \ n \implies \text{Idl}_{\mathcal{Z}} \{m\} \cap \text{Idl}_{\mathcal{Z}} \{n\} = \text{Idl}_{\mathcal{Z}} \{m * n\}$   
 ⟨proof⟩

**lemma** *int-ideal-inter-a-r-coset-distrib*:  $(\text{Idl}_{\mathcal{Z}} \{m\} \cap \text{Idl}_{\mathcal{Z}} \{n\}) +>_{\mathcal{Z}} x = (\text{Idl}_{\mathcal{Z}} \{m\} +>_{\mathcal{Z}} x) \cap (\text{Idl}_{\mathcal{Z}} \{n\} +>_{\mathcal{Z}} x)$   
 ⟨proof⟩

**lemma** *chinese-remainder-very-simple-int*:  
 fixes  $x \ y \ m \ n :: \text{int}$   
 assumes  $x \bmod m = y \bmod m$   
 assumes  $x \bmod n = y \bmod n$   
 shows  $x \bmod (\text{lcm } m \ n) = y \bmod (\text{lcm } m \ n)$   
 ⟨proof⟩

**lemma** *chinese-remainder-very-simple-nat*:  
 fixes  $x \ y \ m \ n :: \text{nat}$   
 assumes  $x \bmod m = y \bmod m$   
 assumes  $x \bmod n = y \bmod n$   
 shows  $x \bmod (\text{lcm } m \ n) = y \bmod (\text{lcm } m \ n)$   
 ⟨proof⟩

**lemma** *special-residue-problem-unique-solution*:  
 fixes  $n :: \text{nat}$   
 fixes  $\xi \ \eta :: \text{nat}$   
 assumes *solves-special-residue-problem*  $z1 \ n \ \xi \ \eta$   
 assumes *solves-special-residue-problem*  $z2 \ n \ \xi \ \eta$   
 shows  $z1 = z2$   
 ⟨proof⟩

### 3.4.2 Subroutine for combining the final result

**fun** *combine-z-aux* **where**  
*combine-z-aux*  $l \ \text{acc} \ [] = \text{concat} (\text{rev } \text{acc})$   
 | *combine-z-aux*  $l \ \text{acc} \ [z] = \text{combine-z-aux } l \ (z \ \# \ \text{acc}) \ []$   
 | *combine-z-aux*  $l \ \text{acc} \ (z1 \ \# \ z2 \ \# \ \text{zs}) = (\text{let}$   
    $(z1h, z1t) = \text{split-at } l \ z1 \ \text{in}$   
   *combine-z-aux*  $l \ (z1h \ \# \ \text{acc}) \ ((\text{add-nat } z1t \ z2) \ \# \ \text{zs})$   
 )

**definition** *combine-z*  $:: \text{nat} \Rightarrow \text{nat-lsbf list} \Rightarrow \text{nat-lsbf}$  **where**  
*combine-z*  $l \ \text{zs} = \text{combine-z-aux } l \ [] \ \text{zs}$

**lemma** *combine-z-aux-correct*:  
 assumes  $l > 0$   
 assumes  $\bigwedge z. z \in \text{set } \text{zs} \implies \text{length } z \geq l$

**shows**  $\text{Nat-LSBF.to-nat } (\text{combine-z-aux } l \text{ acc } zs) = \text{Nat-LSBF.to-nat } (\text{concat } (\text{rev acc})) + 2^{\wedge} (\text{length } (\text{concat acc})) * (\sum i \leftarrow [0..<\text{length } zs]. \text{Nat-LSBF.to-nat } (zs ! i) * 2^{\wedge} (i * l))$   
 ⟨proof⟩

**lemma** *combine-z-correct*:

**assumes**  $l > 0$

**assumes**  $\bigwedge z. z \in \text{set } zs \implies \text{length } z \geq l$

**shows**  $\text{Nat-LSBF.to-nat } (\text{combine-z } l \text{ zs}) = (\sum i \leftarrow [0..<\text{length } zs]. \text{Nat-LSBF.to-nat } (zs ! i) * 2^{\wedge} (i * l))$   
 ⟨proof⟩

**lemma** *length-combine-z-aux-le*:

**assumes**  $\bigwedge z. z \in \text{set } zs \implies \text{length } z \leq lz$

**assumes**  $\text{length } z \leq lz + 1$

**assumes**  $l > 0$

**shows**  $\text{length } (\text{combine-z-aux } l \text{ acc } (z \# zs)) \leq (lz + 1) * (\text{length } zs + 1) + \text{length } (\text{concat acc})$   
 ⟨proof⟩

**lemma** *length-combine-z-le*:

**assumes**  $\bigwedge z. z \in \text{set } zs \implies \text{length } z \leq lz$

**assumes**  $l > 0$

**shows**  $\text{length } (\text{combine-z } l \text{ zs}) \leq (lz + 1) * \text{length } zs$   
 ⟨proof⟩

### 3.5 Schoenhage-Strassen Multiplication in $\mathbb{Z}_{F_m}$

**function** *schoenhage-strassen* ::  $\text{nat} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**  
*schoenhage-strassen*  $m$   $a$   $b =$

(if  $m < 3$  then *int-lsbf-fermat.from-nat-lsbf*  $m$  (*grid-mul-nat*  $a$   $b$ ) else

let

$n = (\text{if odd } m \text{ then } (m + 1) \text{ div } 2 \text{ else } (m + 2) \text{ div } 2);$

$oe-n = (\text{if odd } m \text{ then } n + 1 \text{ else } n);$

$a' = \text{subdivide } (2^{\wedge} (n - 1)) \ a;$

$b' = \text{subdivide } (2^{\wedge} (n - 1)) \ b;$

— residue mod  $2^{n+2}$

$\alpha = \text{map } (\text{int-lsbf-mod.reduce } (n + 2)) \ a';$

$u = \text{concat } (\text{map } (\text{fill } (3*n + 5)) \ \alpha);$

$\beta = \text{map } (\text{int-lsbf-mod.reduce } (n + 2)) \ b';$

$v = \text{concat } (\text{map } (\text{fill } (3*n + 5)) \ \beta);$

$w = \text{ensure-length } ((3*n + 5) * 2^{\wedge} (oe-n + 1)) \ (\text{karatsuba-mul-nat } u \ v);$

$\gamma = \text{subdivide } (2^{\wedge} (oe-n - 1)) \ (\text{subdivide } (3*n + 5) \ w);$

$\eta = \text{map4 } (\lambda x \ y \ z \ w.$

$\text{int-lsbf-mod.add-mod } (n + 2)$

$(\text{int-lsbf-mod.subtract-mod } (n + 2) \ (\text{take } (n + 2) \ x) \ (\text{take } (n + 2) \ y))$

$(\text{int-lsbf-mod.subtract-mod } (n + 2) \ (\text{take } (n + 2) \ z) \ (\text{take } (n + 2) \ w))$



)  
 ( $\gamma ! 0$ ) ( $\gamma ! 1$ ) ( $\gamma ! 2$ ) ( $\gamma ! 3$ );

— residue mod  $F_n$

```

prim-root-exponent = (if odd m then 1 else 2);
a'-carrier = map (fill (2 ^ (n + 1))) a';
b'-carrier = map (fill (2 ^ (n + 1))) b';
a-dft = int-lsbj-fermat.fft n prim-root-exponent a'-carrier;
b-dft = int-lsbj-fermat.fft n prim-root-exponent b'-carrier;
a-dft-odds = evens-odds False a-dft;
b-dft-odds = evens-odds False b-dft;
c-dft-odds = map2 (schoenhage-strassen n) a-dft-odds b-dft-odds;
c-diffs = int-lsbj-fermat.iffn n (prim-root-exponent * 2) c-dft-odds;
ξ' = map2 (λcj j. int-lsbj-fermat.add-fermat n
  (int-lsbj-fermat.divide-by-power-of-2 cj (oe-n + prim-root-exponent * j - 1))
  (int-lsbj-fermat.from-nat-lsbj n (replicate (oe-n + 2 ^ n) False @ [True])))
  c-diffs [0..<2 ^ (oe-n - 1)];
ξ = map (int-lsbj-fermat.reduce n) ξ';

```

— calculate  $z_j$  for  $j < 2^n$

```

z = map2 (solve-special-residue-problem n) ξ η;
z-filled = map (fill (2 ^ (n - 1))) z;
z-consts = replicate (2 ^ (oe-n - 1)) (replicate (oe-n + 2 ^ n) False @ [True]);
z-sum = combine-z (2 ^ (n - 1)) (z-filled @ z-consts);
result = int-lsbj-fermat.from-nat-lsbj m z-sum

```

— return the resulting sum

in result)

*<proof>*

**termination**

*<proof>*

**declare** *schoenhage-strassen.simps*[*simp del*]

**locale** *schoenhage-strassen-context* =

**fixes**  $m :: \text{nat}$

**fixes**  $a :: \text{nat-lsbj}$

**fixes**  $b :: \text{nat-lsbj}$

**assumes**  $m \geq 3: \neg m < 3$

**assumes**  $a\text{-carrier}: a \in \text{int-lsbj-fermat.fermat-non-unique-carrier } m$

**assumes**  $b\text{-carrier}: b \in \text{int-lsbj-fermat.fermat-non-unique-carrier } m$

**begin**

**sublocale** *m-lemmas*

*<proof>*

**sublocale** *A: carrier-input*  $m a$

*<proof>*

**sublocale** *B*: *carrier-input m b*

*<proof>*

**definition** *uv-length* **where**  $uv\text{-length} = pad\text{-length} * 2^{\wedge}(oe\text{-}n + 1)$

**definition** *uv-unpadded* **where**  $uv\text{-unpadded} = karatsuba\text{-mul}\text{-nat } A.\text{num}\text{-}Zn\text{-}pad$   
 $B.\text{num}\text{-}Zn\text{-}pad$

**definition** *uv* **where**  $uv = ensure\text{-length } uv\text{-length } uv\text{-unpadded}$

**definition**  $\gamma s$  **where**  $\gamma s = subdivide\ pad\text{-length } uv$

**definition**  $\gamma$  **where**  $\gamma = subdivide (2^{\wedge}(oe\text{-}n - 1)) \gamma s$

**definition**  $\eta$  **where**  $\eta = map_4 (\lambda x y z w. int\text{-lsbf}\text{-mod}.\text{add}\text{-mod } (n + 2)$   
 $(int\text{-lsbf}\text{-mod}.\text{subtract}\text{-mod } (n + 2) (take (n + 2) x) (take (n + 2) y))$   
 $(int\text{-lsbf}\text{-mod}.\text{subtract}\text{-mod } (n + 2) (take (n + 2) z) (take (n + 2) w))$   
 $) (\gamma ! 0) (\gamma ! 1) (\gamma ! 2) (\gamma ! 3)$

**definition** *c-dft-odds* **where**  $c\text{-dft}\text{-odds} = map2 (schoenhage\text{-strassen } n) A.\text{num}\text{-dft}\text{-odds}$   
 $B.\text{num}\text{-dft}\text{-odds}$

**definition** *c-diffs* **where**  $c\text{-diffs} = int\text{-lsbf}\text{-fermat}.\text{iff } n (prim\text{-root}\text{-exponent} * 2)$   
 $c\text{-dft}\text{-odds}$

**definition**  $\xi'$  **where**  $\xi' = map2 (\lambda c j. int\text{-lsbf}\text{-fermat}.\text{add}\text{-fermat } n$   
 $(int\text{-lsbf}\text{-fermat}.\text{divide}\text{-by}\text{-power}\text{-of}\text{-}2\ cj\ (oe\text{-}n + prim\text{-root}\text{-exponent} * j - 1))$   
 $(int\text{-lsbf}\text{-fermat}.\text{from}\text{-nat}\text{-lsbf } n (replicate (oe\text{-}n + 2^{\wedge}n) False @ [True])))$   
 $c\text{-diffs } [0..<2^{\wedge}(oe\text{-}n - 1)]$

**definition**  $\xi$  **where**  $\xi = map (int\text{-lsbf}\text{-fermat}.\text{reduce } n) \xi'$

**definition** *z* **where**  $z = map2 (solve\text{-special}\text{-residue}\text{-problem } n) \xi \eta$

**definition** *z-filled* **where**  $z\text{-filled} = map (fill (2^{\wedge}(n - 1))) z$

**definition** *z-consts* **where**  $z\text{-consts} = replicate (2^{\wedge}(oe\text{-}n - 1)) (replicate (oe\text{-}n$   
 $+ 2^{\wedge}n) False @ [True])$

**definition** *z-sum* **where**  $z\text{-sum} = combine\text{-z } (2^{\wedge}(n - 1)) (z\text{-filled} @ z\text{-consts})$

**definition** *result* **where**  $result = int\text{-lsbf}\text{-fermat}.\text{from}\text{-nat}\text{-lsbf } m\ z\text{-sum}$

**lemmas** *defs = n-def oe-n-def A.defs B.defs pad-length-def uv-length-def uv-unpadded-def*  
*uv-def*

*γs-def γ-def η-def c-dft-odds-def c-diffs-def ξ'-def ξ-def z-def z-filled-def z-consts-def*  
*z-sum-def result-def prim-root-exponent-def μ-def*

**lemma** *result-eq*: *schoenhage-strassen m a b = result*

*<proof>*

**lemma** *length-uv*: *length uv = uv-length*

*<proof>*

**lemma** *pad-length-gt-0*: *pad-length > 0* *<proof>*

**lemma** *scuv*:

*length (subdivide pad-length uv) = 2^{\wedge}(oe-n + 1)*

*x ∈ set (subdivide pad-length uv) ⇒ length x = pad-length*

*<proof>*

**lemma** *length-c-dft-odds*:  $\text{length } c\text{-dft-odds} = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *length-c-diffs*:  $\text{length } c\text{-diffs} = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *length-ξ'*:  $\text{length } \xi' = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *length-ξ*:  $\text{length } \xi = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *γ-nth*:  $\bigwedge i j. i < 4 \implies j < 2^{\wedge}(oe\text{-}n - 1) \implies \gamma ! i ! j = (\text{subdivide } \text{pad-length } uv) ! (i * 2^{\wedge}(oe\text{-}n - 1) + j)$   
 ⟨proof⟩

**lemma** *γ-nth'*:  $\bigwedge j. j < 2^{\wedge}(oe\text{-}n + 1) \implies \gamma ! (j \text{ div } 2^{\wedge}(oe\text{-}n - 1)) ! (j \text{ mod } 2^{\wedge}(oe\text{-}n - 1)) = \text{subdivide } \text{pad-length } uv ! j$   
 ⟨proof⟩

**lemma** *scγ*:  $\text{length } \gamma = 4 \bigwedge i. i < 4 \implies \text{length } (\gamma ! i) = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemmas** *length-γ* = *scγ*(1)

**lemmas** *length-γ-i* = *scγ*(2)

**lemma** *length-γ-nth*:  $\bigwedge i j. i < 4 \implies j < 2^{\wedge}(oe\text{-}n - 1) \implies \text{length } (\gamma ! i ! j) = \text{pad-length}$   
 ⟨proof⟩

**lemma** *length-η*:  $\text{length } \eta = 2^{\wedge}(oe\text{-}n - 1)$  ⟨proof⟩

**lemma** *length-z*:  $\text{length } z = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *nth-z*:  $z ! j = \text{solve-special-residue-problem } n (\xi ! j) (\eta ! j)$  **if**  $j < 2^{\wedge}(oe\text{-}n - 1)$  **for**  $j$   
 ⟨proof⟩

**lemma** *length-z-filled*:  $\text{length } z\text{-filled} = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**lemma** *length-z-consts*:  $\text{length } z\text{-consts} = 2^{\wedge}(oe\text{-}n - 1)$   
 ⟨proof⟩

**end**

**theorem** *schoenhage-strassen-correct'*:

**assumes**  $a \in \text{int-lsbf-fermat.fermat-non-unique-carrier } m$

**assumes**  $b \in \text{int-lsbf-fermat.fermat-non-unique-carrier } m$

**shows**  $\text{int-lsbf-fermat.to-residue-ring } m (\text{schoenhage-strassen } m a b)$

$= \text{int-lsbf-fermat.to-residue-ring } m a \otimes_{\text{int-lsbf-fermat.Fn } m} \text{int-lsbf-fermat.to-residue-ring}$

$m b \wedge \text{schoenhage-strassen } m a b \in \text{int-lsbf-fermat.fermat-non-unique-carrier } m$

⟨proof⟩

### 3.6 Schoenhage-Strassen Multiplication in $\mathbb{N}$

In order to multiply  $a$  and  $b$  (given in LSBF representation), find an  $m$  s.t.  $a \cdot b < F_m$ .

It suffices to just pick  $m = \max(\text{bitsize}(\text{length } a), \text{bitsize}(\text{length } b)) + 1$ .

**definition** *schoenhage-strassen-mul* **where**  
*schoenhage-strassen-mul*  $a\ b = (\text{let } m = \max (\text{bitsize } (\text{length } a)) (\text{bitsize } (\text{length } b)) + 1 \text{ in}$   
*int-lsbfermat.reduce*  $m$  (*schoenhage-strassen*  $m$  (*fill*  $(2 \wedge (m + 1))$   $a$ ) (*fill*  $(2 \wedge (m + 1))$   $b$ ))  
 $)$

**locale** *schoenhage-strassen-mul-context* =  
**fixes**  $a\ b :: \text{nat-lsbfermat}$   
**begin**

**definition** *bits-a* **where**  $\text{bits-a} = \text{bitsize } (\text{length } a)$

**definition** *bits-b* **where**  $\text{bits-b} = \text{bitsize } (\text{length } b)$

**definition**  $m'$  **where**  $m' = \max \text{bits-a } \text{bits-b}$

**definition**  $m$  **where**  $m = m' + 1$

**definition** *car-len* **where**  $\text{car-len} = (2::\text{nat}) \wedge (m + 1)$

**definition** *fill-a* **where**  $\text{fill-a} = \text{fill } \text{car-len } a$

**definition** *fill-b* **where**  $\text{fill-b} = \text{fill } \text{car-len } b$

**definition** *fm-result* **where**  $\text{fm-result} = \text{schoenhage-strassen } m \text{ fill-a fill-b}$

**lemmas** *defs* = *bits-a-def bits-b-def m'-def m-def car-len-def fill-a-def fill-b-def*

**lemma**

**shows** *length-a*:  $\text{length } a < 2 \wedge (m - 1)$   
**and** *length-b*:  $\text{length } b < 2 \wedge (m - 1)$   
 $\langle \text{proof} \rangle$

**lemma**

**shows** *length-a'*:  $\text{length } a \leq 2 \wedge (m + 1)$   
**and** *length-b'*:  $\text{length } b \leq 2 \wedge (m + 1)$   
 $\langle \text{proof} \rangle$

**lemma** *length-fill-a*:  $\text{length } \text{fill-a} = 2 \wedge (m + 1)$   
 $\langle \text{proof} \rangle$

**lemma** *length-fill-b*:  $\text{length } \text{fill-b} = 2 \wedge (m + 1)$   
 $\langle \text{proof} \rangle$

**sublocale** *fm*: *int-lsbfermat*  $m$   $\langle \text{proof} \rangle$

**definition** *Fm* **where**  $Fm = \text{residue-ring } (\text{int-lsbfermat.n } m)$

**sublocale** *Fmr*: *residues*  $\text{fm.n } Fm$

**rewrites** *fm-Fm*:  $\text{fm.Fn} \equiv Fm$   
 $\langle \text{proof} \rangle$

**lemma** *fill-a-carrier*[*simp, intro*]:  $\text{fill-a} \in \text{fm.fermat-non-unique-carrier}$   
 $\langle \text{proof} \rangle$

**lemma** *fill-b-carrier*[*simp, intro*]:  $\text{fill-b} \in \text{fm.fermat-non-unique-carrier}$   
 $\langle \text{proof} \rangle$

**lemma** *fm-result-carrier*[simp, intro]: *fm-result*  $\in$  *fm.fermat-non-unique-carrier*  
 ⟨proof⟩

**lemma** *ssc'*: *fm.to-residue-ring fm-result* = *fm.to-residue-ring fill-a*  $\otimes_{Fm}$  *fm.to-residue-ring fill-b*  
**and** *fm-result*  $\in$  *int-lsb-fermat.fermat-non-unique-carrier m*  
 ⟨proof⟩

**end**

**theorem** *schoenhage-strassen-mul-correct*: *Nat-LSBF.to-nat* (*schoenhage-strassen-mul a b*) = *Nat-LSBF.to-nat a* \* *Nat-LSBF.to-nat b*  
 ⟨proof⟩

**end**

## 4 Running Time Formalization

**theory** *Schoenhage-Strassen-TM*

**imports**

*Schoenhage-Strassen*

*../Preliminaries/Schoenhage-Strassen-Preliminaries*

*Z-mod-Fermat-TM*

*Karatsuba.Karatsuba-TM*

*Landau-Symbols.Landau-More*

**begin**

**definition** *solve-special-residue-problem-tm* **where**

*solve-special-residue-problem-tm n  $\xi$   $\eta$  = 1* do {  
   *n2*  $\leftarrow$  *n* +<sub>t</sub> 2;  
    *$\xi$ mod*  $\leftarrow$  *take-tm n2  $\xi$* ;  
    *$\delta$*   $\leftarrow$  *int-lsb-mod.subtract-mod-tm n2  $\eta$   $\xi$ mod*;  
   *pown*  $\leftarrow$  2 <sup>^</sup><sub>t</sub> *n*;  
    *$\delta$ -shifted*  $\leftarrow$   *$\delta$*  >><sub>nt</sub> *pown*;  
    *$\delta 1$*   $\leftarrow$   *$\delta$ -shifted* +<sub>nt</sub>  *$\delta$* ;  
    *$\xi$*  +<sub>nt</sub>  *$\delta 1$*   
 }

**lemma** *val-solve-special-residue-problem-tm*[simp, val-simp]:

*val* (*solve-special-residue-problem-tm n  $\xi$   $\eta$* ) = *solve-special-residue-problem n  $\xi$   $\eta$*   
 ⟨proof⟩

**lemma** *time-solve-special-residue-problem-tm-le*:

*time* (*solve-special-residue-problem-tm n  $\xi$   $\eta$* )  $\leq$  245 + 74 \* 2 <sup>^</sup> *n* + 55 \* *length  $\eta$*  + 2 \* *length  $\xi$*   
 ⟨proof⟩

**fun** *combine-z-aux-tm* **where**

```

combine-z-aux-tm l acc [] =1 rev-tm acc >>= concat-tm
| combine-z-aux-tm l acc [z] =1 combine-z-aux-tm l (z # acc) []
| combine-z-aux-tm l acc (z1 # z2 # zs) =1 do {
  (z1h, z1t) ← split-at-tm l z1;
  r ← z1t +nt z2;
  combine-z-aux-tm l (z1h # acc) (r # zs)
}

```

**lemma** *val-combine-z-aux-tm*[*simp*, *val-simp*]: *val* (combine-z-aux-tm l acc zs) =  
combine-z-aux l acc zs  
⟨*proof*⟩

**lemma** *time-combine-z-aux-tm-le*:  
**assumes**  $\bigwedge z. z \in \text{set } zs \implies \text{length } z \leq lz$   
**assumes**  $\text{length } z \leq lz + 1$   
**assumes**  $l > 0$   
**shows**  $\text{time } (\text{combine-z-aux-tm } l \text{ acc } (z \# zs)) \leq (2 * l + 2 * lz + 7) * \text{length } zs + 3 * (\text{length } \text{acc} + \text{length } zs) + \text{length } (\text{concat } \text{acc}) + \text{length } zs * l + lz + 9$   
⟨*proof*⟩

**definition** *combine-z-tm* **where** *combine-z-tm* l zs =1 *combine-z-aux-tm* l [] zs

**lemma** *val-combine-z-tm*[*simp*, *val-simp*]: *val* (combine-z-tm l zs) = *combine-z* l zs  
⟨*proof*⟩

**lemma** *time-combine-z-tm-le*:  
**assumes**  $\bigwedge z. z \in \text{set } zs \implies \text{length } z \leq lz$   
**assumes**  $l > 0$   
**shows**  $\text{time } (\text{combine-z-tm } l \text{ zs}) \leq 10 + (3 * l + 2 * lz + 10) * \text{length } zs$   
⟨*proof*⟩

**lemma** *schoenhage-strassen-tm-termination-aux*:  $\neg m < 3 \implies \text{Suc } (m \text{ div } 2) < m$   
⟨*proof*⟩

**function** *schoenhage-strassen-tm* :: *nat*  $\Rightarrow$  *nat-lsb*  $\Rightarrow$  *nat-lsb*  $\Rightarrow$  *nat-lsb* *tm* **where**  
*schoenhage-strassen-tm* m a b =1 do {  
 m-le-3 ← m <<sub>t</sub> 3;  
 if m-le-3 then do {  
 ab ← a \*<sub>nt</sub> b;  
 int-lsb-fermat.from-nat-lsb-tm m ab  
 } else do {  
 odd-m ← odd-tm m;  
 n ← (if odd-m then do {  
 m1 ← m +<sub>t</sub> 1;  
 m1 div<sub>t</sub> 2  
 } else do {  
 m2 ← m +<sub>t</sub> 2;  
 m2 div<sub>t</sub> 2  
 })  
 }

```

});
n-plus-1 ← n +t 1;
n-minus-1 ← n -t 1;
n-plus-2 ← n +t 2;
oe-n ← (if odd-m then return n-plus-1 else return n);
segment-len ← 2 ^t n-minus-1;
a' ← subdivide-tm segment-len a;
b' ← subdivide-tm segment-len b;
α ← map-tm (int-lsbf-mod.reduce-tm n-plus-2) a';
three-n ← 3 *t n;
pad-length ← three-n +t 5;
α-padded ← map-tm (fill-tm pad-length) α;
u ← concat-tm α-padded;
β ← map-tm (int-lsbf-mod.reduce-tm n-plus-2) b';
β-padded ← map-tm (fill-tm pad-length) β;
v ← concat-tm β-padded;
oe-n-plus-1 ← oe-n +t 1;
two-pow-oe-n-plus-1 ← 2 ^t oe-n-plus-1;
uv-length ← pad-length *t two-pow-oe-n-plus-1;
uv-unpadded ← karatsuba-mul-nat-tm u v;
uv ← ensure-length-tm uv-length uv-unpadded;
oe-n-minus-1 ← oe-n -t 1;
two-pow-oe-n-minus-1 ← 2 ^t oe-n-minus-1;
γs ← subdivide-tm pad-length uv;
γ ← subdivide-tm two-pow-oe-n-minus-1 γs;
γ0 ← nth-tm γ 0;
γ1 ← nth-tm γ 1;
γ2 ← nth-tm γ 2;
γ3 ← nth-tm γ 3;
η ← map4-tm
  (λx y z w. do {
    xmod ← take-tm n-plus-2 x;
    ymod ← take-tm n-plus-2 y;
    zmod ← take-tm n-plus-2 z;
    wmod ← take-tm n-plus-2 w;
    xy ← int-lsbf-mod.subtract-mod-tm n-plus-2 xmod ymod;
    zw ← int-lsbf-mod.subtract-mod-tm n-plus-2 zmod wmod;
    int-lsbf-mod.add-mod-tm n-plus-2 xy zw
  })
  γ0 γ1 γ2 γ3;
prim-root-exponent ← if odd-m then return 1 else return 2;
fn-carrier-len ← 2 ^t n-plus-1;
a'-carrier ← map-tm (fill-tm fn-carrier-len) a';
b'-carrier ← map-tm (fill-tm fn-carrier-len) b';
a-dft ← int-lsbf-fermat.fft-tm n prim-root-exponent a'-carrier;
b-dft ← int-lsbf-fermat.fft-tm n prim-root-exponent b'-carrier;
a-dft-odds ← evens-odds-tm False a-dft;
b-dft-odds ← evens-odds-tm False b-dft;
c-dft-odds ← map2-tm (schoenhage-strassen-tm n) a-dft-odds b-dft-odds;

```





**lemmas** *defs'* =  
*segment-lens-def fn-carrier-len-def*  
*c-diffs-def interval1-def interval2-def*  
*oe-n-plus-two-pow-n-zeros-def oe-n-plus-two-pow-n-one-def*  
*z-complete-def*

**lemma** *z-filled-def'*: *z-filled* = *map (fill segment-lens) z*  
⟨*proof*⟩

**lemma** *z-sum-def'*: *z-sum* = *combine-z segment-lens z-complete*  
⟨*proof*⟩

**lemmas** *defs''* = *defs' z-filled-def' z-sum-def'*

**lemma** *segment-lens-pos*: *segment-lens* > 0 ⟨*proof*⟩

**lemma** *length-γs*: *length γs* =  $2^{\wedge}(\text{oe-n} + 1)$   
⟨*proof*⟩

**lemma** *length-γs'*: *length γs'* =  $2^{\wedge}(\text{oe-n} - 1) * 4$   
⟨*proof*⟩

**lemma** *val-nth-γ[simp, val-simp]*:  
*val (nth-tm γ 0) = γ ! 0*  
*val (nth-tm γ 1) = γ ! 1*  
*val (nth-tm γ 2) = γ ! 2*  
*val (nth-tm γ 3) = γ ! 3*  
⟨*proof*⟩

**lemma** *val-fft1[simp, val-simp]*: *val (int-lsbfermat.fft-tm n prim-root-exponent A.num-blocks-carrier) =*  
*int-lsbfermat.fft n prim-root-exponent A.num-blocks-carrier*  
⟨*proof*⟩

**lemma** *val-fft2[simp, val-simp]*: *val (int-lsbfermat.fft-tm n prim-root-exponent B.num-blocks-carrier) =*  
*int-lsbfermat.fft n prim-root-exponent B.num-blocks-carrier*  
⟨*proof*⟩

**lemma** *val-iff[simp, val-simp]*: *val (int-lsbfermat.iff-tm n (prim-root-exponent \* 2) c-dft-odds) =*  
*int-lsbfermat.iff n (prim-root-exponent \* 2) c-dft-odds*  
⟨*proof*⟩

**end**

**lemma** *val-schoenhage-strassen-tm[simp, val-simp]*:  
**assumes** *a* ∈ *int-lsbfermat.fermat-non-unique-carrier m*  
**assumes** *b* ∈ *int-lsbfermat.fermat-non-unique-carrier m*  
**shows** *val (schoenhage-strassen-tm m a b) = schoenhage-strassen m a b*

*<proof>*

**fun** *schoenhage-strassen-Fm-bound* **where**  
*schoenhage-strassen-Fm-bound*  $m =$  (if  $m < 3$  then 5336 else  
let  $n =$  (if odd  $m$  then  $(m + 1) \text{ div } 2$  else  $(m + 2) \text{ div } 2$ );  
oe- $n =$  (if odd  $m$  then  $n + 1$  else  $n$ ) in  
 $23525 * 2^m + 8093 * (n * 2^{(2 * n)}) + 8410 +$   
*time-karatsuba-mul-nat-bound*  $((3 * n + 5) * 2^{oe-n}) +$   
 $4 * \textit{karatsuba-lower-bound} +$   
*schoenhage-strassen-Fm-bound*  $n * 2^{(oe-n - 1)}$ )

**declare** *schoenhage-strassen-Fm-bound.simps*[*simp del*]

**lemma** *time-schoenhage-strassen-tm-le*:  
**assumes**  $a \in \textit{int-lsb-f-fermat.fermat-non-unique-carrier } m$   
**assumes**  $b \in \textit{int-lsb-f-fermat.fermat-non-unique-carrier } m$   
**shows** *time* (*schoenhage-strassen-tm*  $m$   $a$   $b$ )  $\leq$  *schoenhage-strassen-Fm-bound*  $m$   
*<proof>*

**definition** *karatsuba-const* **where**  
*karatsuba-const* = (SOME  $c. (\forall x. x > 0 \longrightarrow \textit{time-karatsuba-mul-nat-bound } x \leq c * \textit{nat} (\textit{floor} (\textit{real } x \textit{ powr } \log 2 3))))$ )

**lemma** *real-divide-mult-eq*:  
**assumes**  $(c :: \textit{real}) \neq 0$   
**shows**  $a / c * c = a$   
*<proof>*

**lemma** *powr-unbounded*:  
**assumes**  $(c :: \textit{real}) > 0$   
**shows** eventually  $(\lambda x. d \leq x \textit{ powr } c)$  at-top  
*<proof>*

**lemma** *time-kar-le-kar-const*:  
**assumes**  $x > 0$   
**shows** *time-karatsuba-mul-nat-bound*  $x \leq \textit{karatsuba-const} * \textit{nat} (\textit{floor} (\textit{real } x \textit{ powr } \log 2 3))$   
*<proof>*

**lemma** *poly-smallo-exp*:  
**assumes**  $c > 1$   
**shows**  $(\lambda n. (\textit{real } n) \textit{ powr } d) \in o(\lambda n. c \textit{ powr } (\textit{real } n))$   
*<proof>*

**lemma** *kar-aux-lem*:  $(\lambda n. \textit{real} (n * 2^n) \textit{ powr } \log 2 3) \in O(\lambda n. \textit{real} (2^{(2 * n)}))$   
*<proof>*

**definition** *kar-aux-const* **where** *kar-aux-const* = (SOME  $c. \forall n \geq 1. \textit{real} (n * 2^n) \leq c * \textit{real} (2^{(2 * n)})$ )

$\wedge n) \text{ powr log } 2 \ 3 \leq c * \text{ real } (2 \wedge (2 * n))$

**lemma** *kar-aux-lem-le*:

**assumes**  $n > 0$

**shows**  $\text{ real } (n * 2 \wedge n) \text{ powr log } 2 \ 3 \leq \text{ kar-aux-const } * \text{ real } (2 \wedge (2 * n))$

*<proof>*

**lemma** *kar-aux-const-gt-0*:  $\text{ kar-aux-const } > 0$

*<proof>*

**definition** *kar-aux-const-nat* **where**  $\text{ kar-aux-const-nat } = \text{ karatsuba-const } * \text{ nat } [16 \text{ powr log } 2 \ 3] * \text{ nat } [\text{ kar-aux-const }]$

**definition** *s-const1* **where**  $\text{ s-const1 } = 55897 + 4 * \text{ kar-aux-const-nat}$

**definition** *s-const2* **where**  $\text{ s-const2 } = 8410 + 4 * \text{ karatsuba-lower-bound}$

**function** *schoenhage-strassen-Fm-bound'* ::  $\text{ nat } \Rightarrow \text{ nat}$  **where**

$m < 3 \implies \text{ schoenhage-strassen-Fm-bound}' m = 5336$

$| m \geq 3 \implies \text{ schoenhage-strassen-Fm-bound}' m = \text{ s-const1 } * (m * 2 \wedge m) + \text{ s-const2 } + \text{ schoenhage-strassen-Fm-bound}' ((m + 2) \text{ div } 2) * 2 \wedge ((m + 1) \text{ div } 2)$

*<proof>*

**termination**

*<proof>*

**declare** *schoenhage-strassen-Fm-bound'.simps*[*simp del*]

**lemma** *schoenhage-strassen-Fm-bound-le-schoenhage-strassen-Fm-bound'*:

**shows**  $\text{ schoenhage-strassen-Fm-bound } m \leq \text{ schoenhage-strassen-Fm-bound}' m$

*<proof>*

**definition**  $\gamma\text{-}0$  **where**  $\gamma\text{-}0 = 2 * \text{ s-const1 } + \text{ s-const2}$

**lemma** *schoenhage-strassen-Fm-bound'-oe-rec*:

**assumes**  $n \geq 3$

**shows**  $\text{ schoenhage-strassen-Fm-bound}' (2 * n - 2) \leq \gamma\text{-}0 * n * 2 \wedge (2 * n - 2) + \text{ schoenhage-strassen-Fm-bound}' n * 2 \wedge (n - 1)$

**and**  $\text{ schoenhage-strassen-Fm-bound}' (2 * n - 1) \leq \gamma\text{-}0 * n * 2 \wedge (2 * n - 1) + \text{ schoenhage-strassen-Fm-bound}' n * 2 \wedge n$

*<proof>*

**definition**  $\gamma$  **where**  $\gamma = \text{ Max } \{\gamma\text{-}0, \text{ schoenhage-strassen-Fm-bound}' 0, \text{ schoenhage-strassen-Fm-bound}' 1, \text{ schoenhage-strassen-Fm-bound}' 2, \text{ schoenhage-strassen-Fm-bound}' 3\}$

**lemma** *schoenhage-strassen-Fm-bound'-le-aux1*:

**assumes**  $m \leq 2 \wedge \text{ Suc } k + 1$

**shows**  $\text{ schoenhage-strassen-Fm-bound}' m \leq \gamma * \text{ Suc } k * 2 \wedge (\text{ Suc } k + m)$

*<proof>*

**lemma** *schoenhage-strassen-Fm-bound'-le-aux2*:  
**assumes**  $k \geq 1$   
**assumes**  $m \leq 2^k + 1$   
**shows** *schoenhage-strassen-Fm-bound'*  $m \leq \gamma * k * 2^{k+m}$   
*<proof>*

## 4.1 Multiplication in $\mathbb{N}$

**definition** *schoenhage-strassen-mul-tm* **where**  
*schoenhage-strassen-mul-tm*  $a\ b = 1$  **do** {  
 $bits\ a \leftarrow length\ tm\ a \gg bitsize\ tm;$   
 $bits\ b \leftarrow length\ tm\ b \gg bitsize\ tm;$   
 $m' \leftarrow max\ nat\ tm\ bits\ a\ bits\ b;$   
 $m \leftarrow m' +_t 1;$   
 $m\ plus\ 1 \leftarrow m +_t 1;$   
 $car\ len \leftarrow 2^m\ plus\ 1;$   
 $fill\ a \leftarrow fill\ tm\ car\ len\ a;$   
 $fill\ b \leftarrow fill\ tm\ car\ len\ b;$   
 $fm\ result \leftarrow schoenhage\ strassen\ tm\ m\ fill\ a\ fill\ b;$   
 $int\ lsb\ f\ fermat.reduce\ tm\ m\ fm\ result$   
**}**

**lemma** *val-schoenhage-strassen-mul-tm[simp, val-simp]*:  
 $val\ (schoenhage\ strassen\ mul\ tm\ a\ b) = schoenhage\ strassen\ mul\ a\ b$   
*<proof>*

**lemma** *real-power*:  $a > 0 \implies real\ ((a :: nat) ^ x) = real\ a\ powr\ real\ x$   
*<proof>*

**definition** *schoenhage-strassen-bound* **where**  
*schoenhage-strassen-bound*  $n = 146 * n + 218 + 4 * (bitsize\ n + 1) + 126 * 2^{bitsize\ n + 2} +$   
 $\gamma * bitsize\ (bitsize\ n + 1) * 2^{bitsize\ (bitsize\ n + 1) + (bitsize\ n + 1)}$

**theorem** *time-schoenhage-strassen-mul-tm-le*:  
**assumes**  $length\ a \leq n\ length\ b \leq n$   
**shows**  $time\ (schoenhage\ strassen\ mul\ tm\ a\ b) \leq schoenhage\ strassen\ bound\ n$   
*<proof>*

**lemma** *real-diff*:  $a \leq b \implies real\ (b - a) = real\ b - real\ a$   
*<proof>*

**lemma** *bitsize-le-log*:  $n > 0 \implies real\ (bitsize\ n) \leq log\ 2\ (real\ n) + 1$   
*<proof>*

**lemma** *powr-mono-base2*:  $a \leq b \implies 2\ powr\ (a :: real) \leq 2\ powr\ b$   
*<proof>*

**lemma** *log-mono-base2*:  $a > 0 \implies b > 0 \implies a \leq b \implies log\ 2\ a \leq log\ 2\ b$

$\langle proof \rangle$

**lemma** *log-nonneg-base2*:  $x \geq 1 \implies \log 2 x \geq 0$

$\langle proof \rangle$

**lemma** *powr-log-cancel-base2*:  $x > 0 \implies 2^{\text{powr } (\log 2 x)} = x$

$\langle proof \rangle$

**lemma** *const-bigo-log*:  $1 \in O(\log 2)$

$\langle proof \rangle$

**lemma** *const-bigo-log-log*:  $1 \in O(\lambda x. \log 2 (\log 2 x))$

$\langle proof \rangle$

**theorem** *schoenhage-strassen-bound-bigo*:  $\text{schoenhage-strassen-bound} \in O(\lambda n. n * \log 2 n * \log 2 (\log 2 n))$

$\langle proof \rangle$

**end**

## References

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