

SCL(FOL) Can Simulate Ground Nonredundant Ordered Resolution

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Abstract

SCL(FOL) (i.e., Simple Clause Learning for First-Order Logic without equality) is known to be able to simulate the derivation of nonredundant clauses by the ground ordered resolution calculus [1]. Due to the space constraints of a 16-pages paper, the published proof is monolithic and hard to comprehend. In this work, we reuse the existing strategy for ground ordered resolution and present a new, simpler strategy for SCL(FOL). We prove a stronger bisimulation theorem between these two strategies (i.e., they both simulate each other). Our proof is modular: it consists of ten refinement steps focusing on different aspects of the two strategies.

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```

theory Isabelle-2024-Compatibility
  imports
    Main
    HOL-Library.Multiset
begin

lemmas wfp-def = wfP-def
lemmas wfp-if-convertible-to-wfp = wfP-if-convertible-to-wfP
lemmas wfp-imp-asymp = wfP-imp-asymp
lemmas wfp-induct-rule = wfP-induct-rule
lemmas wfp-multp = wfP-multp
lemmas wfp-subset-mset = wfP-subset-mset

end
theory Ground-Ordered-Resolution
  imports
    Saturation-Framework.Calculus
    Saturation-Framework-Extensions.Clausal-Calculus
    Isabelle-2024-Compatibility
    Superposition-Calculus.Ground-Ctxt-Extra
    Superposition-Calculus.HOL-Extra
    Superposition-Calculus.Transitive-Closure-Extra
    Min-Max-Least-Greatest.Min-Max-Least-Greatest-FSet
    Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset
    Superposition-Calculus.Multiset-Extra
    Superposition-Calculus.Relation-Extra
begin

hide-type Inference-System.inference
hide-const
  Inference-System.Infer
  Inference-System.prem-s-of
  Inference-System.concl-of
  Inference-System.main-prem-of

```

primrec *mset-lit* :: 'a literal \Rightarrow 'a multiset **where**

mset-lit (Pos A) = {#A#} |
mset-lit (Neg A) = {#A, A#}

type-synonym 't atom = 't

1 Ground Resolution Calculus

locale *ground-ordered-resolution-calculus* =

fixes

less-trm :: 'f gterm \Rightarrow 'f gterm \Rightarrow bool (**infix** \prec_t 50) **and**
select :: 'f gterm atom clause \Rightarrow 'f gterm atom clause

assumes

transp-less-trm[simp]: *transp* (\prec_t) **and**
asympt-less-trm[intro]: *asympt* (\prec_t) **and**
wfP-less-trm[intro]: *wfP* (\prec_t) **and**
totalp-less-trm[intro]: *totalp* (\prec_t) **and**
less-trm-compatible-with-gctxt[simp]: $\bigwedge \text{ctxt } t \ t'. \ t \prec_t \ t' \Longrightarrow \text{ctxt}\langle t \rangle_G \prec_t \text{ctxt}\langle t' \rangle_G$

and

less-trm-if-subterm[simp]: $\bigwedge t \ \text{ctxt}. \ \text{ctxt} \neq \square_G \Longrightarrow t \prec_t \text{ctxt}\langle t \rangle_G$ **and**
select-subset: $\bigwedge C. \ \text{select } C \subseteq\# C$ **and**
select-negative-lits: $\bigwedge C \ L. \ L \in\# \text{select } C \Longrightarrow \text{is-neg } L$

begin

lemma *irreflp-on-less-trm[simp]*: *irreflp-on* A (\prec_t)
 <proof>

abbreviation *lesseq-trm* (**infix** \preceq_t 50) **where**

lesseq-trm $\equiv (\prec_t)^{==}$

lemma *lesseq-trm-if-subtermeq*: $t \preceq_t \text{ctxt}\langle t \rangle_G$

<proof>

definition *less-lit* ::

'f gterm atom literal \Rightarrow 'f gterm atom literal \Rightarrow bool (**infix** \prec_l 50) **where**
less-lit L1 L2 $\equiv \text{multp } (\prec_t) (\text{mset-lit } L1) (\text{mset-lit } L2)$

abbreviation *lesseq-lit* (**infix** \preceq_l 50) **where**

lesseq-lit $\equiv (\prec_l)^{==}$

abbreviation *less-cls* ::

'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow bool (**infix** \prec_c 50) **where**
less-cls $\equiv \text{multp } (\prec_l)$

abbreviation *lesseq-cls* (**infix** \preceq_c 50) **where**

lesseq-cls $\equiv (\prec_c)^{==}$

lemma *transp-on-less-lit[simp]*: *transp-on* A (\prec_l)

<proof>

corollary *transp-less-lit*: *transp* (\prec_l)
<proof>

lemma *transp-less-cls[simp]*: *transp* (\prec_c)
<proof>

lemma *asympt-on-less-lit[simp]*: *asympt-on* A (\prec_l)
<proof>

corollary *asympt-less-lit[simp]*: *asympt* (\prec_l)
<proof>

lemma *asympt-less-cls[simp]*: *asympt* (\prec_c)
<proof>

lemma *irreflp-on-less-lit[simp]*: *irreflp-on* A (\prec_l)
<proof>

lemma *wfP-less-lit[simp]*: *wfP* (\prec_l)
<proof>

lemma *wfP-less-cls[simp]*: *wfP* (\prec_c)
<proof>

lemma *totalp-on-less-lit[simp]*: *totalp-on* A (\prec_l)
<proof>

corollary *totalp-less-lit*: *totalp* (\prec_l)
<proof>

lemma *totalp-less-cls[simp]*: *totalp* (\prec_c)
<proof>

interpretation *term-order*: *linorder lesseq-trm less-trm*
<proof>

interpretation *literal-order*: *linorder lesseq-lit less-lit*
<proof>

interpretation *clause-order*: *linorder lesseq-cls less-cls*
<proof>

lemma *less-lit-simps[simp]*:

Pos $A_1 \prec_l$ *Pos* $A_2 \iff A_1 \prec_t A_2$

Pos $A_1 \prec_l$ *Neg* $A_2 \iff A_1 \preceq_t A_2$

Neg $A_1 \prec_l$ *Neg* $A_2 \iff A_1 \prec_t A_2$

Neg $A_1 \prec_l$ *Pos* $A_2 \iff A_1 \prec_t A_2$

<proof>

1.1 Ground Rules

abbreviation *is-maximal-lit* :: 'f gterm literal \Rightarrow 'f gterm clause \Rightarrow bool **where**
is-maximal-lit L M \equiv *is-maximal-in-mset-wrt* (\prec_l) M L

abbreviation *is-strictly-maximal-lit* :: 'f gterm literal \Rightarrow 'f gterm clause \Rightarrow bool **where**
is-strictly-maximal-lit L M \equiv *is-greatest-in-mset-wrt* (\prec_l) M L

inductive *ground-resolution* ::
'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow bool **where**

ground-resolutionI:

$$P_1 = \text{add-mset } (\text{Neg } t) P_1' \Longrightarrow$$

$$P_2 = \text{add-mset } (\text{Pos } t) P_2' \Longrightarrow$$

$$P_2 \prec_c P_1 \Longrightarrow$$

$$\text{select } P_1 = \{\#\} \wedge \text{is-maximal-lit } (\text{Neg } t) P_1 \vee \text{Neg } t \in \# \text{ select } P_1 \Longrightarrow$$

$$\text{select } P_2 = \{\#\} \Longrightarrow$$

$$\text{is-strictly-maximal-lit } (\text{Pos } t) P_2 \Longrightarrow$$

$$C = P_1' + P_2' \Longrightarrow$$

$$\text{ground-resolution } P_1 P_2 C$$

inductive *ground-factoring* :: 'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow bool **where**

ground-factoringI:

$$P = \text{add-mset } (\text{Pos } t) (\text{add-mset } (\text{Pos } t) P') \Longrightarrow$$

$$\text{select } P = \{\#\} \Longrightarrow$$

$$\text{is-maximal-lit } (\text{Pos } t) P \Longrightarrow$$

$$C = \text{add-mset } (\text{Pos } t) P' \Longrightarrow$$

$$\text{ground-factoring } P C$$

1.2 Ground Layer

definition *G-Inf* :: 'f gterm atom clause inference set **where**

$$G\text{-Inf} =$$

$$\{\text{Infer } [P_2, P_1] C \mid P_2 P_1 C. \text{ground-resolution } P_1 P_2 C\} \cup$$

$$\{\text{Infer } [P] C \mid P C. \text{ground-factoring } P C\}$$

abbreviation *G-Bot* :: 'f gterm atom clause set **where**

$$G\text{-Bot} \equiv \{\{\#\}\}$$

definition *G-entails* :: 'f gterm atom clause set \Rightarrow 'f gterm atom clause set \Rightarrow bool **where**

$$G\text{-entails } N_1 N_2 \iff (\forall (I :: 'f \text{ gterm set}). I \Vdash_s N_1 \longrightarrow I \Vdash_s N_2)$$

1.3 Correctness

lemma *soundness-ground-resolution*:

assumes
step: ground-resolution P1 P2 C
shows $G\text{-entails } \{P1, P2\} \{C\}$
 $\langle\text{proof}\rangle$

lemma *soundness-ground-factoring:*
assumes *step: ground-factoring P C*
shows $G\text{-entails } \{P\} \{C\}$
 $\langle\text{proof}\rangle$

interpretation G : *sound-inference-system G-Inf G-Bot G-entails*
 $\langle\text{proof}\rangle$

1.4 Redundancy Criterion

lemma *ground-resolution-smaller-conclusion:*
assumes
step: ground-resolution P1 P2 C
shows $C \prec_c P1$
 $\langle\text{proof}\rangle$

lemma *ground-factoring-smaller-conclusion:*
assumes *step: ground-factoring P C*
shows $C \prec_c P$
 $\langle\text{proof}\rangle$

interpretation G : *calculus-with-finitary-standard-redundancy G-Inf G-Bot G-entails*
 (\prec_c)
 $\langle\text{proof}\rangle$

1.5 Refutational Completeness

context
fixes $N :: 'f\text{ gterm atom clause set}$
begin

function *production* :: $'f\text{ gterm atom clause} \Rightarrow 'f\text{ gterm set}$ **where**
production $C = \{A \mid A C'\}$.
 $C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } A) C' \wedge$
select $C = \{\#\} \wedge$
is-strictly-maximal-lit $(\text{Pos } A) C \wedge$
 $\neg (\bigcup D \in \{D \in N. D \prec_c C\}, \text{production } D) \Vdash C$
 $\langle\text{proof}\rangle$

termination *production*
 $\langle\text{proof}\rangle$

declare *production.simps*[*simp del*]

end

lemma *Uniq-strictly-maximal-lit-in-ground-clc*:

$\exists_{\leq 1} L. \text{is-strictly-maximal-lit } L \ C$
<proof>

lemma *production-eq-empty-or-singleton*:

$\text{production } N \ C = \{\} \vee (\exists A. \text{production } N \ C = \{A\})$
<proof>

lemma *production-eq-singleton-if-atom-in-production*:

assumes $A \in \text{production } N \ C$
shows $\text{production } N \ C = \{A\}$
<proof>

definition *interp where*

$\text{interp } N \ C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{production } N \ D)$

lemma *interp-mempty[simp]*: $\text{interp } N \ \{\#\} = \{\}$

<proof>

lemma *production-unfold*: $\text{production } N \ C = \{A \mid A \ C'\}$

$C \in N \wedge$
 $C = \text{add-mset } (Pos \ A) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal-lit } (Pos \ A) \ C \wedge$
 $\neg \text{interp } N \ C \models C$
<proof>

lemma *production-unfold'*: $\text{production } N \ C = \{A \mid A.$

$C \in N \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal-lit } (Pos \ A) \ C \wedge$
 $\neg \text{interp } N \ C \models C$
<proof>

lemma *mem-productionE*:

assumes *C-prod*: $A \in \text{production } N \ C$

obtains *C'* **where**

$C \in N$ **and**
 $C = \text{add-mset } (Pos \ A) \ C'$ **and**
 $\text{select } C = \{\#\}$ **and**
 $\text{is-strictly-maximal-lit } (Pos \ A) \ C$ **and**
 $\neg \text{interp } N \ C \models C$
<proof>

lemma *production-subset-if-less-clc*: $C \prec_c D \implies \text{production } N \ C \subseteq \text{interp } N \ D$

<proof>

lemma *Uniq-production-eq-singleton*: $\exists_{\leq 1} C. \text{production } N C = \{A\}$
 ⟨proof⟩

lemma *singleton-eq-CollectD*: $\{x\} = \{y. P y\} \implies P x$
 ⟨proof⟩

lemma *subset-Union-mem-CollectI*: $P x \implies f x \subseteq (\bigcup y \in \{z. P z\}. f y)$
 ⟨proof⟩

lemma *interp-subset-if-less-cls*: $C \prec_c D \implies \text{interp } N C \subseteq \text{interp } N D$
 ⟨proof⟩

lemma *interp-subset-if-less-cls'*: $C \prec_c D \implies \text{interp } N C \subseteq \text{interp } N D \cup \text{production } N D$
 ⟨proof⟩

lemma *split-Union-production*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{production } N C) =$

$\text{interp } N D \cup \text{production } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{production } N C)$

⟨proof⟩

lemma *split-Union-production'*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{production } N C) = \text{interp } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{production } N C)$

⟨proof⟩

lemma *split-interp*:

assumes $C \in N$ **and** *D-in*: $D \in N$ **and** $D \prec_c C$

shows $\text{interp } N C = \text{interp } N D \cup (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{production } N C')$

⟨proof⟩

lemma *less-imp-Interp-subseteq-interp*: $C \prec_c D \implies \text{interp } N C \cup \text{production } N C \subseteq \text{interp } N D$

⟨proof⟩

lemma *not-interp-to-Interp-imp-le*: $A \notin \text{interp } N C \implies A \in \text{interp } N D \cup \text{production } N D \implies C \preceq_c D$

⟨proof⟩

lemma *produces-imp-in-interp*:

assumes *Neg A* $A \in \# C$ **and** *D-prod*: $A \in \text{production } N D$

shows $A \in \text{interp } N C$

⟨proof⟩

lemma *neg-notin-Interp-not-produce*:

$\text{Neg } A \in \# C \implies A \notin \text{interp } N D \cup \text{production } N D \implies C \preceq_c D \implies A \notin$

production $N D''$
 $\langle \text{proof} \rangle$

lemma *lift-interp-entails:*

assumes

$D\text{-in}: D \in N$ **and**

$D\text{-entailed}: \text{interp } N D \models D$ **and**

$C\text{-in}: C \in N$ **and**

$D\text{-lt-}C: D \prec_c C$

shows $\text{interp } N C \models D$

$\langle \text{proof} \rangle$

lemma *lift-interp-entails-to-interp-production-entails:*

assumes

$C\text{-in}: C \in N$ **and**

$D\text{-in}: D \in N$ **and**

$C\text{-lt-}D: D \prec_c C$ **and**

$D\text{-entailed}: \text{interp } N C \models D$

shows $\text{interp } N C \cup \text{production } N C \models D$

$\langle \text{proof} \rangle$

lemma *lift-entailment-to-Union:*

fixes $N D$

assumes

$D\text{-in}: D \in N$ **and**

$R_D\text{-entails-}D: \text{interp } N D \models D$

shows

$(\bigcup C \in N. \text{production } N C) \models D$

$\langle \text{proof} \rangle$

lemma

assumes

$D \preceq_c C$ **and**

$C\text{-prod}: A \in \text{production } N C$ **and**

$L\text{-in}: L \in \# D$

shows

$\text{lesseq-trm-if-pos}: \text{is-pos } L \implies \text{atm-of } L \preceq_t A$ **and**

$\text{less-trm-if-neg}: \text{is-neg } L \implies \text{atm-of } L \prec_t A$

$\langle \text{proof} \rangle$

lemma *less-trm-iff-less-cls-if-mem-production:*

assumes $C\text{-prod}: A_C \in \text{production } N C$ **and** $D\text{-prod}: A_D \in \text{production } N D$

shows $A_C \prec_t A_D \iff C \prec_c D$

$\langle \text{proof} \rangle$

lemma *false-cls-if-productive-production:*

assumes $C\text{-prod}: A \in \text{production } N C$ **and** $D \in N$ **and** $C \prec_c D$

shows $\neg \text{interp } N D \models C - \{\#Pos A\}$

<proof>

lemma *production-subset-Union-production:*

$\bigwedge C N. C \in N \implies \text{production } N C \subseteq (\bigcup D \in N. \text{production } N D)$
<proof>

lemma *interp-subset-Union-production:*

$\bigwedge C N. C \in N \implies \text{interp } N C \subseteq (\bigcup D \in N. \text{production } N D)$
<proof>

lemma *model-construction:*

fixes

$N :: 'f \text{ gterm atom clause set and}$

$C :: 'f \text{ gterm atom clause}$

assumes $G.\text{saturated } N$ **and** $\{\#\} \notin N$ **and** $C\text{-in: } C \in N$

shows

$\text{production } N C = \{\} \longleftrightarrow \text{interp } N C \models C$

$(\bigcup D \in N. \text{production } N D) \models C$

$D \in N \implies C \prec_c D \implies \text{interp } N D \models C$

<proof>

lemma

assumes $\text{clause-order.is-least-in-fset } N C$ **and** $A \in \text{production } (\text{fset } N) C$

shows $\bigwedge A'. A' \prec_t A \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

<proof>

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp-if-productive:*

assumes $A \in \text{production } (\text{fset } N) C$

shows $\bigwedge A'. A' \prec_t A \implies A' \notin \text{interp } (\text{fset } N) C \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

<proof>

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp-if-not-productive:*

assumes $\text{literal-order.is-maximal-in-mset } C L$ **and** $\text{production } (\text{fset } N) C = \{\}$

shows $\bigwedge A'. A' \prec_t \text{atm-of } L \implies A' \notin \text{interp } (\text{fset } N) C \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

<proof>

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp:*

fixes A

assumes

$L\text{-max: literal-order.is-maximal-in-mset } C L$ **and**

$A\text{-less: } A \prec_t \text{atm-of } L$ **and**

$A\text{-no-in: } A \notin \text{interp } (\text{fset } N) C$

shows $A \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

<proof>

lemma *lesser-atoms-in-previous-interp-are-in-final-interp:*

fixes A

assumes

L-max: literal-order.is-maximal-in-mset C L and

A-less: $A \prec_t \text{atm-of } L$ and

A-in: $A \in \text{interp } N C$

shows $A \in (\bigcup D \in N. \text{production } N D)$

<proof>

lemma *interp-fixed-for-smaller-literals:*

fixes *A*

assumes

L-max: literal-order.is-maximal-in-mset C L and

A-less: $A \prec_t \text{atm-of } L$ and

$C \prec_c D$

shows $A \in \text{interp } N C \longleftrightarrow A \in \text{interp } N D$

<proof>

lemma *neg-lits-not-in-model-stay-out-of-model:*

assumes

L-in: $L \in \# C$ and

L-neg: is-neg L and

atm-L-not-in: $\text{atm-of } L \notin \text{interp } N C$

shows $\text{atm-of } L \notin (\bigcup D \in N. \text{production } N D)$

<proof>

lemma *neg-lits-already-in-model-stay-in-model:*

assumes

L-in: $L \in \# C$ and

L-neg: is-neg L and

atm-L-not-in: $\text{atm-of } L \in \text{interp } N C$

shows $\text{atm-of } L \in (\bigcup D \in N. \text{production } N D)$

<proof>

lemma *image-eq-imageI:*

assumes $\bigwedge x. x \in X \implies f x = g x$

shows $f ' X = g ' X$

<proof>

lemma *production-swap-clause-set:*

assumes

agree: $\{D \in N1. D \preceq_c C\} = \{D \in N2. D \preceq_c C\}$

shows $\text{production } N1 C = \text{production } N2 C$

<proof>

lemma *interp-swap-clause-set:*

assumes *agree: $\{D \in N1. D \prec_c C\} = \{D \in N2. D \prec_c C\}$*

shows $\text{interp } N1 C = \text{interp } N2 C$

<proof>

definition *interp'* **where**

$interp' N \equiv (\bigcup C \in N. production N C)$

lemma *interp-eq-interp'*: $interp N D = interp' \{C \in N. C \prec_c D\}$
(proof)

lemma *production-unfold''*: $production N C = \{A \mid A.$
 $C \in N \wedge select C = \{\#\} \wedge$
 $is-strictly-maximal-lit (Pos A) C \wedge$
 $\neg interp' \{B \in N. B \prec_c C\} \models C\}$
(proof)

lemma *Interp-swap-clause-set*:
 assumes $agree: \{D \in N1. D \preceq_c C\} = \{D \in N2. D \preceq_c C\}$
 shows $interp N1 C \cup production N1 C = interp N2 C \cup production N2 C$
(proof)

lemma *production-insert-greater-clause*:
 assumes $C \prec_c D$
 shows $production (insert D N) C = production N C$
(proof)

lemma *interp-insert-greater-clause-strong*:
 assumes $C \preceq_c D$
 shows $interp (insert D N) C = interp N C$
(proof)

lemma *interp-insert-greater-clause*:
 assumes $C \prec_c D$
 shows $interp (insert D N) C = interp N C$
(proof)

lemma *Interp-insert-greater-clause*:
 assumes $C \prec_c D$
 shows $interp (insert D N) C \cup production (insert D N) C = interp N C \cup$
 $production N C$
(proof)

lemma *production-add-irrelevant-clause-to-set0*:
 assumes
 $fin: finite N$ **and**
 $D-irrelevant: E \in N E \subset \# D set-mset D = set-mset E$ **and**
 $no-select: select E = \{\#\}$
 shows $production (insert D N) D = \{\}$
(proof)

lemma *production-add-irrelevant-clause-to-set*:
 assumes
 $fin: finite N$ **and**
 $C-in: C \in N$ **and**

D-irrelevant: $\exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
no-select: $\bigwedge C. \text{select } C = \{\#\}$
shows *production* (*insert* D N) $C = \text{production } N C$
 ⟨*proof*⟩

lemma *production-add-irrelevant-clauses-to-set0*:

assumes
fin: *finite* N *finite* N' **and**
D-in: $D \in N'$ **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
no-select: $\bigwedge C. \text{select } C = \{\#\}$
shows *production* ($N \cup N'$) $D = \{\}$
 ⟨*proof*⟩

lemma *production-add-irrelevant-clauses-to-set*:

assumes
fin: *finite* N *finite* N' **and**
C-in: $C \in N$ **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
no-select: $\bigwedge C. \text{select } C = \{\#\}$
shows *production* ($N \cup N'$) $C = \text{production } N C$
 ⟨*proof*⟩

lemma *interp-add-irrelevant-clauses-to-set*:

assumes
fin: *finite* N *finite* N' **and**
C-in: $C \in N$ **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
no-select: $\bigwedge C. \text{select } C = \{\#\}$
shows *interp* ($N \cup N'$) $C = \text{interp } N C$
 ⟨*proof*⟩

lemma *interp-add-irrelevant-clauses-to-set'*:

assumes
fin: *finite* N *finite* N' **and**
C-in: $C \in N$ **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subseteq\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
no-select: $\bigwedge C. \text{select } C = \{\#\}$
shows *interp* ($N \cup N'$) $C = \text{interp } N C$
 ⟨*proof*⟩

lemma *lesser-entailed-clause-stays-entailed'*:

assumes $C \preceq_c D$ **and** *D-lt*: $D \prec_c E$ **and** *C-entailed*: *interp* $N D \cup \text{production } N D \Vdash C$
shows *interp* $N E \Vdash C$
 ⟨*proof*⟩

lemma *lesser-entailed-clause-stays-entailed*:

assumes *C-le*: $C \preceq_c D$ **and** *D-lt*: $D \prec_c E$ **and** *C-entailed*: *interp* $N D \cup \text{pro-$

duction $N D \Vdash C$
shows $\text{interp } N E \cup \text{production } N E \Vdash C$
 ⟨proof⟩

lemma *entailed-clause-stays-entailed'*:
assumes $C\text{-lt}: C \prec_c D$ **and** $C\text{-entailed}: \text{interp } N C \cup \text{production } N C \Vdash C$
shows $\text{interp } N D \Vdash C$
 ⟨proof⟩

lemma *entailed-clause-stays-entailed*:
assumes $C\text{-lt}: C \prec_c D$ **and** $C\text{-entailed}: \text{interp } N C \cup \text{production } N C \Vdash C$
shows $\text{interp } N D \cup \text{production } N D \Vdash C$
 ⟨proof⟩

lemma *multp-if-all-left-smaller*: $M2 \neq \{\#\} \implies \forall k \in \#M1. \exists j \in \#M2. R k j \implies$
 $\text{multp } R M1 M2$
 ⟨proof⟩

lemma
fixes
 $P1 :: 'f \text{ gterm and}$
 $C1 :: 'f \text{ gterm clause and}$
 $N :: 'f \text{ gterm clause set}$
defines
 $C1 \equiv \{\#Neg P1\# \}$ **and**
 $N \equiv \{C1\}$
assumes
 $\text{no-select}: \bigwedge C. \text{select } C = \{\#\}$
shows
 $False$
 ⟨proof⟩

lemma
fixes
 $P1 P2 :: 'f \text{ gterm and}$
 $C1 :: 'f \text{ gterm clause and}$
 $N :: 'f \text{ gterm clause set}$
defines
 $C1 \equiv \{\#Pos P1, Neg P2\# \}$ **and**
 $N \equiv \{C1\}$
assumes
 $\text{term-order}: P1 \prec_t P2$ **and**
 $\text{no-select}: \bigwedge C. \text{select } C = \{\#\}$
shows $False$
 ⟨proof⟩

```

lemma
  fixes
     $P1\ P2\ P3\ P4 :: 'f\ gterm\ \mathbf{and}$ 
     $C1\ C2\ C3\ C4\ C5 :: 'f\ gterm\ clause\ \mathbf{and}$ 
     $N :: 'f\ gterm\ clause\ set$ 
  defines
     $C1 \equiv \{\#Neg\ P1,\ Neg\ P2\#\}\ \mathbf{and}$ 
     $C2 \equiv \{\#Pos\ P2,\ Neg\ P3\#\}\ \mathbf{and}$ 
     $C3 \equiv \{\#Pos\ P1,\ Pos\ P2,\ Pos\ P4\#\}\ \mathbf{and}$ 
     $C4 \equiv \{\#Pos\ P2,\ Pos\ P3,\ Pos\ P4\#\}\ \mathbf{and}$ 
     $C5 \equiv \{\#Pos\ P2,\ Neg\ P4\#\}\ \mathbf{and}$ 
     $N \equiv \{C1,\ C2,\ C3,\ C4,\ C5\}$ 
  assumes
     $term\text{-}order: P1 \prec_t P2\ P2 \prec_t P3\ P3 \prec_t P4\ \mathbf{and}$ 
     $no\text{-}select: \bigwedge C. select\ C = \{\#\}$ 
  shows
     $C1 \prec_c C2\ C2 \prec_c C3\ C3 \prec_c C4\ C4 \prec_c C5$ 
   $\langle proof \rangle$ 

interpretation  $G: statically\text{-}complete\text{-}calculus\ G\text{-}Bot\ G\text{-}Inf\ G\text{-}entails\ G.Red\text{-}I\ G.Red\text{-}F$ 
   $\langle proof \rangle$ 

end

end

theory  $Lower\text{-}Set$ 
  imports  $Main$ 
begin

definition  $is\text{-}lower\text{-}set\text{-}wrt :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow 'a\ set \Rightarrow bool\ \mathbf{where}$ 
   $transp\text{-}on\ X\ R \Longrightarrow asymp\text{-}on\ X\ R \Longrightarrow$ 
   $is\text{-}lower\text{-}set\text{-}wrt\ R\ L\ X \longleftrightarrow L \subseteq X \wedge (\forall l \in L. \forall x \in X. R\ x\ l \longrightarrow x \in L)$ 

definition  $is\text{-}strict\text{-}lower\text{-}set\text{-}wrt :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow 'a\ set \Rightarrow bool$ 
where
   $transp\text{-}on\ X\ R \Longrightarrow asymp\text{-}on\ X\ R \Longrightarrow$ 
   $is\text{-}strict\text{-}lower\text{-}set\text{-}wrt\ R\ L\ X \longleftrightarrow L \subset X \wedge (\forall l \in L. \forall x \in X. R\ x\ l \longrightarrow x \in L)$ 

lemma  $is\text{-}lower\text{-}set\text{-}wrt\text{-}empty:$ 
  fixes  $X :: 'a\ set\ \mathbf{and}\ R :: 'a \Rightarrow 'a \Rightarrow bool$ 
  assumes  $transp\text{-}on\ X\ R\ \mathbf{and}\ asymp\text{-}on\ X\ R$ 
  shows  $is\text{-}lower\text{-}set\text{-}wrt\ R\ \{\}\ X$ 
   $\langle proof \rangle$ 

lemma  $is\text{-}lower\text{-}set\text{-}wrt\text{-}refl:$ 
  fixes  $X :: 'a\ set\ \mathbf{and}\ R :: 'a \Rightarrow 'a \Rightarrow bool$ 
  assumes  $transp\text{-}on\ X\ R\ \mathbf{and}\ asymp\text{-}on\ X\ R$ 
  shows  $is\text{-}lower\text{-}set\text{-}wrt\ R\ X\ X$ 

```


<proof>

lemma *is-lower-set-wrt-trans:*

fixes $X Y Z :: 'a \text{ set}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes

transp-on $Z R$ **and** *asympt-on* $Z R$ **and**

is-lower-set-wrt $R X Y$ **and** *is-lower-set-wrt* $R Y Z$

shows *is-lower-set-wrt* $R X Z$

<proof>

lemma *is-lower-set-wrt-antisym:*

fixes $X Y :: 'a \text{ set}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes

transp-on $Y R$ **and** *asympt-on* $Y R$ **and**

is-lower-set-wrt $R X Y$ **and** *is-lower-set-wrt* $R Y X$

shows $X = Y$

<proof>

lemma *order-is-lower-set-wrt:*

fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes *transp* R **and** *asympt* R

shows *class.order* (*is-lower-set-wrt* R) (*is-strict-lower-set-wrt* R)

<proof>

lemma *is-lower-set-wrt-insertI:*

assumes *transp-on* (*insert* $x X$) R **and** *asympt-on* (*insert* $x X$) R **and**

$x \in X$ **and** $\forall w \in X. R w x \longrightarrow w \in L$ **and** *is-lower-set-wrt* $R L X$

shows *is-lower-set-wrt* R (*insert* $x L$) X

<proof>

lemma *lower-set-wrt-appendI:*

assumes

trans: *transp-on* (*set* ($xs @ ys$)) R **and**

asym: *asympt-on* (*set* ($xs @ ys$)) R **and**

sorted: *sorted-wrt* R ($xs @ ys$)

shows *is-lower-set-wrt* R (*set* xs) (*set* ($xs @ ys$))

<proof>

lemma *sorted-and-lower-set-wrt-appendD-left:*

assumes *transp-on* $A R$ **and** *asympt-on* $A R$ **and**

sorted-wrt R ($xs @ ys$) **and** *is-lower-set-wrt* R (*set* ($xs @ ys$)) A

shows *sorted-wrt* R xs **and** *is-lower-set-wrt* R (*set* xs) A

<proof>

lemma *sorted-and-lower-set-wrt-appendD-right:*

assumes *transp-on* $A R$ **and** *asympt-on* $A R$ **and**

sorted-wrt $(\lambda x y. R y x)$ ($xs @ ys$) **and** *is-lower-set-wrt* R (*set* ($xs @ ys$)) A

shows *sorted-wrt* $(\lambda x y. R y x)$ ys **and** *is-lower-set-wrt* R (*set* ys) A

<proof>

lemma *not-in-lower-set-wrtI*:
fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes *trans*: *transp-on* $Y R$ **and** *asym*: *asympt-on* $Y R$
shows *is-lower-set-wrt* $R X Y \implies y \notin X \implies y \in Y \implies R y z \implies z \notin X$
 $\langle \text{proof} \rangle$

abbreviation (**in** *preorder*) *is-lower-set* **where**
is-lower-set \equiv *is-lower-set-wrt* $(<)$

lemmas (**in** *preorder*) *is-lower-set-iff* =
is-lower-set-wrt-def[*OF transp-on-less asympt-on-less*]

context *linorder* **begin**

sublocale *is-lower-set*: *order is-lower-set-wrt* $(<)$ *is-strict-lower-set-wrt* $(<)$
 $\langle \text{proof} \rangle$

end

lemmas (**in** *preorder*) *is-lower-set-empty*[*simp*] =
is-lower-set-wrt-empty[*OF transp-on-less asympt-on-less*]

lemmas (**in** *preorder*) *is-lower-set-insertI* =
is-lower-set-wrt-insertI[*OF transp-on-less asympt-on-less*]

lemmas (**in** *preorder*) *lower-set-appendI* =
lower-set-wrt-appendI[*OF transp-on-less asympt-on-less*]

lemmas (**in** *preorder*) *sorted-and-lower-set-appendD-left* =
sorted-and-lower-set-wrt-appendD-left[*OF transp-on-less asympt-on-less*]

lemmas (**in** *preorder*) *sorted-and-lower-set-appendD-right* =
sorted-and-lower-set-wrt-appendD-right[*OF transp-on-less asympt-on-less*]

lemmas (**in** *preorder*) *not-in-lower-setI* =
not-in-lower-set-wrtI[*OF transp-on-less asympt-on-less*]

end

theory *HOL-Extra-Extra*

imports *Superposition-Calculus.HOL-Extra*

begin

no-notation *restrict-map* (**infixl** $|'$ 110)

lemma
assumes $\exists_{\leq 1} x. P x$

shows $finite \{x. P x\}$
<proof>

lemma *finite-if-Uniq-Uniq:*

assumes
 $\exists_{\leq 1} x. P x$
 $\forall x. \exists_{\leq 1} y. Q x y$
shows $finite \{y. \exists x. P x \wedge Q x y\}$
<proof>

lemma *finite-if-finite-finite:*

assumes
 $finite \{x. P x\}$
 $\forall x. finite \{y. Q x y\}$
shows $finite \{y. \exists x. P x \wedge Q x y\}$
<proof>

lemma *strict-partial-order-wfp-on-finite-set:*

assumes *transp-on* $\mathcal{X} R$ **and** *asyp-on* $\mathcal{X} R$
shows $finite \mathcal{X} \implies Wellfounded.wfp-on \mathcal{X} R$
<proof>

lemma (*in order*) *greater-wfp-on-finite-set:* $finite \mathcal{X} \implies Wellfounded.wfp-on \mathcal{X}$ ($>$)
<proof>

lemma (*in order*) *less-wfp-on-finite-set:* $finite \mathcal{X} \implies Wellfounded.wfp-on \mathcal{X}$ ($<$)
<proof>

lemma *sorted-wrt-dropWhile:* $sorted-wrt R xs \implies sorted-wrt R (dropWhile P xs)$
<proof>

lemma *sorted-wrt-takeWhile:* $sorted-wrt R xs \implies sorted-wrt R (takeWhile P xs)$
<proof>

lemma *distinct-if-sorted-wrt-asyp:*

assumes *asyp-on* (*set xs*) R **and** *sorted-wrt* $R xs$
shows *distinct xs*
<proof>

lemma *dropWhile-append-eq-rhs:*

fixes $xs ys :: 'a list$ **and** $P :: 'a \implies bool$
assumes
 $\bigwedge x. x \in set xs \implies P x$ **and**
 $\bigwedge y. y \in set ys \implies \neg P y$
shows $dropWhile P (xs @ ys) = ys$
<proof>

lemma *mem-set-dropWhile-conv-if-list-sorted-and-pred-monotone:*
fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $xs :: 'a \text{ list}$ **and** $P :: 'a \Rightarrow \text{bool}$
assumes *sorted-wrt* R xs **and** *monotone-on* $(\text{set } xs)$ R (\geq) P
shows $x \in \text{set } (\text{dropWhile } P \ xs) \iff \neg P \ x \wedge x \in \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *ball-set-dropWhile-if-sorted-wrt-and-monotone-on:*
fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $xs :: 'a \text{ list}$ **and** $P :: 'a \Rightarrow \text{bool}$
assumes *sorted-wrt* R xs **and** *monotone-on* $(\text{set } xs)$ R (\geq) P
shows $\forall x \in \text{set } (\text{dropWhile } P \ xs). \neg P \ x$
 $\langle \text{proof} \rangle$

lemma *filter-set-eq-filter-set-minus-singleton:*
assumes $\neg P \ y$
shows $\{x \in X. P \ x\} = \{x \in X - \{y\}. P \ x\}$
 $\langle \text{proof} \rangle$

lemma *ex1-subset-eq-image-if-bij-betw:*
fixes $f :: 'a \Rightarrow 'b$ **and** $X :: 'a \text{ set}$ **and** $Y :: 'b \text{ set}$
assumes *bij-betw* f X Y **and** $Y' \subseteq Y$
shows $\exists! X'. X' \subseteq X \wedge Y' = f \ ` \ X'$
 $\langle \text{proof} \rangle$

lemma *Collect-eq-image-filter-Collect-if-bij-betw:*
fixes $f :: 'a \Rightarrow 'b$ **and** $X :: 'a \text{ set}$ **and** $Y :: 'b \text{ set}$
assumes *bij*: *bij-betw* f X Y **and** *sub*: $\{y. P \ y\} \subseteq Y$
shows $\{y. P \ y\} = f \ ` \ \{x. x \in X \wedge P \ (f \ x)\}$
 $\langle \text{proof} \rangle$

lemma (**in** *linorder*) *ex1-sorted-list-for-set-if-finite:*
finite $X \implies \exists! xs. \text{sorted-wrt } (<) \ xs \wedge \text{set } xs = X$
 $\langle \text{proof} \rangle$

lemma *restrict-map-ident-if-dom-subset:* $\text{dom } \mathcal{M} \subseteq A \implies \text{restrict-map } \mathcal{M} \ A = \mathcal{M}$
 $\langle \text{proof} \rangle$

lemma *dropWhile-ident-if-pred-always-false:*
assumes $\bigwedge x. x \in \text{set } xs \implies \neg P \ x$
shows $\text{dropWhile } P \ xs = xs$
 $\langle \text{proof} \rangle$

1.6 Move to HOL.Transitive-Closure

lemma *relpowp-right-unique:*
fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $n :: \text{nat}$ **and** $x \ y \ z :: 'a$
assumes *runique*: $\bigwedge x \ y \ z. R \ x \ y \implies R \ x \ z \implies y = z$
shows $(R \ \overset{\sim}{\sim} \ n) \ x \ y \implies (R \ \overset{\sim}{\sim} \ n) \ x \ z \implies y = z$
 $\langle \text{proof} \rangle$

lemma *Uniq-relpowp*:

fixes $n :: \text{nat}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes *runiq*: $\forall x. \exists_{\leq 1} y. R x y$

shows $\exists_{\leq 1} y. (R \text{ } \rightsquigarrow \text{ } n) x y$

<proof>

lemma *relpowp-plus-of-right-unique*:

assumes

right-unique R

$(R \text{ } \rightsquigarrow \text{ } m) x y$ **and**

$(R \text{ } \rightsquigarrow \text{ } (m + n)) x z$

shows $(R \text{ } \rightsquigarrow \text{ } n) y z$

<proof>

lemma *relpowp-plusD*:

assumes $(R \text{ } \rightsquigarrow \text{ } (m + n)) x z$

shows $\exists y. (R \text{ } \rightsquigarrow \text{ } m) x y \wedge (R \text{ } \rightsquigarrow \text{ } n) y z$

<proof>

lemma *relpowp-Suc-of-right-unique*:

assumes

right-unique R

$R x y$ **and**

$(R \text{ } \rightsquigarrow \text{ } \text{Suc } n) x z$

shows $(R \text{ } \rightsquigarrow \text{ } n) y z$

<proof>

lemma *relpowp-trans[trans]*:

$(R \text{ } \rightsquigarrow \text{ } i) x y \implies (R \text{ } \rightsquigarrow \text{ } j) y z \implies (R \text{ } \rightsquigarrow \text{ } (i + j)) x z$

<proof>

lemma *tranclp-if-relpowp*: $n \neq 0 \implies (R \text{ } \rightsquigarrow \text{ } n) x y \implies R^{++} x y$

<proof>

lemma *ex-terminating-rtranclp-strong*:

assumes *wf*: *Wellfounded.wfp-on* $\{x'. R^{**} x x'\} R^{-1-1}$

shows $\exists y. R^{**} x y \wedge (\nexists z. R y z)$

<proof>

lemma *transp-on-singleton[simp]*: *transp-on* $\{x\} R$

<proof>

lemma *rtranclp-rtranclp-compose-if-right-unique*:

assumes *runique*: *right-unique* R **and** $R^{**} a b$ **and** $R^{**} a c$

shows $R^{**} a b \wedge R^{**} b c \vee R^{**} a c \wedge R^{**} c b$

<proof>

lemma *right-unique-terminating-rtranclp*:

```

assumes right-unique R
shows right-unique ( $\lambda x y. R^{**} x y \wedge (\nexists z. R y z)$ )
  <proof>

lemma ex-terminating-rtranclp:
assumes wf: wfp  $R^{-1-1}$ 
shows  $\exists y. R^{**} x y \wedge (\nexists z. R y z)$ 
  <proof>

end
theory The-Optional
  imports Main
begin

definition The-optional :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a option where
  The-optional P = (if  $\exists!x. P x$  then Some (THE x. P x) else None)

lemma The-optional-eq-SomeD: The-optional P = Some x  $\Longrightarrow$  P x
  <proof>

lemma Some-eq-The-optionalD: Some x = The-optional P  $\Longrightarrow$  P x
  <proof>

lemma The-optional-eq-NoneD: The-optional P = None  $\Longrightarrow$   $\nexists!x. P x$ 
  <proof>

lemma None-eq-The-optionalD: None = The-optional P  $\Longrightarrow$   $\nexists!x. P x$ 
  <proof>

lemma The-optional-eq-SomeI:
assumes  $\exists_{\leq 1}x. P x$  and P x
shows The-optional P = Some x
  <proof>

end
theory Full-Run
  imports
    VeriComp.Transfer-Extras
    HOL-Extra-Extra
begin

definition full-run where
  full-run  $\mathcal{R} x y \longleftrightarrow \mathcal{R}^{**} x y \wedge (\nexists z. \mathcal{R} y z)$ 

lemma Uniq-full-run:
assumes Uniq-R:  $\bigwedge x. \exists_{\leq 1}y. R x y$ 
shows  $\exists_{\leq 1}y. \text{full-run } R x y$ 
  <proof>

```

lemma *ex1-full-run*:
assumes *Uniq-R*: $\bigwedge x. \exists \leq_1 y. R x y$ **and** *wfP-R*: $wfP R^{-1-1}$
shows $\exists ! y. \text{full-run } R x y$
 $\langle \text{proof} \rangle$

lemma *full-run-preserves-invariant*:
assumes
run: *full-run* $R x y$ **and**
P-init: $P x$ **and**
R-preserves-P: $\bigwedge x y. R x y \implies P x \implies P y$
shows $P y$
 $\langle \text{proof} \rangle$

end

theory *Background*

imports

Simple-Clause-Learning.SCL-FOL
Simple-Clause-Learning.Correct-Termination
Simple-Clause-Learning.Initial-Literals-Generalize-Learned-Literals
Simple-Clause-Learning.Termination
Ground-Ordered-Resolution
Min-Max-Least-Greatest.Min-Max-Least-Greatest-FSet
Superposition-Calculus.Multiset-Extra
VeriComp.Compiler
HOL-ex.Sketch-and-Explore
HOL-Library.FuncSet
Lower-Set
HOL-Extra-Extra
The-Optional
Full-Run

begin

lemma $I \models L \longleftrightarrow (\text{is-pos } L \longleftrightarrow \text{atm-of } L \in I)$
 $\langle \text{proof} \rangle$

2 Move to *HOL-Library.Multiset*

lemmas *strict-subset-implies-multp* = *subset-implies-multp*

hide-fact *subset-implies-multp*

lemma *subset-implies-reflclp-multp*: $A \subseteq \# B \implies (\text{multp } R)^{==} A B$
 $\langle \text{proof} \rangle$

lemma *member-mset-repeat-msetD*: $L \in \# \text{repeat-mset } n M \implies L \in \# M$
 $\langle \text{proof} \rangle$

lemma *member-mset-repeat-mset-Suc[simp]*: $L \in \# \text{repeat-mset } (Suc n) M \longleftrightarrow L \in \# M$
 $\langle \text{proof} \rangle$

lemma *image-msetI*: $x \in\# M \implies f x \in\# \text{image-mset } f M$
 ⟨*proof*⟩

lemma *inj-image-mset-mem-iff*: $\text{inj } f \implies f x \in\# \text{image-mset } f M \longleftrightarrow x \in\# M$
 ⟨*proof*⟩

3 Move to *HOL-Library.FSet*

declare *wfP-pfsubset*[*intro*]

syntax

-FFilter :: *ptrn* \Rightarrow 'a *fset* \Rightarrow bool \Rightarrow 'a *fset* ((1{|- | \in | -/ -|}))

translations

{|x | \in | X. P|} == *CONST ffilter* ($\lambda x. P$) X

lemma *fimage-ffUnion*: $f \mid^{\dagger} \text{ffUnion } SS = \text{ffUnion } ((\mid^{\dagger}) f \mid^{\dagger} SS)$
 ⟨*proof*⟩

lemma *ffilter-eq-ffilter-minus-singleton*:

assumes $\neg P y$

shows {|x | \in | X. P x|} = {|x | \in | X - {|y|}. P x|}

⟨*proof*⟩

lemma *fun-upd-fimage*: $f(x := y) \mid^{\dagger} A = (\text{if } x \mid\in A \text{ then } \text{finsert } y (f \mid^{\dagger} (A - \{|x|\})) \text{ else } f \mid^{\dagger} A)$

⟨*proof*⟩

lemma *ffilter-fempty*[*simp*]: *ffilter* P {|} = {|}

⟨*proof*⟩

lemma *fstrict-subset-iff-fset-strict-subset-fset*:

fixes $\mathcal{X} \mathcal{Y} :: \text{- fset}$

shows $\mathcal{X} \mid\subset \mathcal{Y} \longleftrightarrow \text{fset } \mathcal{X} \subset \text{fset } \mathcal{Y}$

⟨*proof*⟩

lemma (in *linorder*) *ex1-sorted-list-for-fset*:

$\exists! xs. \text{sorted-wrt } (<) xs \wedge \text{fset-of-list } xs = X$

⟨*proof*⟩

lemma (in *linorder*) *is-least-in-fset-ffilterD*:

assumes *is-least-in-fset-wrt* (<) (*ffilter* P X) x

shows $x \mid\in X P x$

⟨*proof*⟩

4 Move to *VeriComp.Simulation*

```

locale forward-simulation-with-measuring-function =
  L1: semantics step1 final1 +
  L2: semantics step2 final2
for
  step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and
  step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  final1 :: 'state1  $\Rightarrow$  bool and
  final2 :: 'state2  $\Rightarrow$  bool +
fixes
  match :: 'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  measure :: 'state1  $\Rightarrow$  'index and
  order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool (infix  $\square$  70)
assumes
  wfp-order:
    wfp ( $\square$ ) and
  match-final:
    match s1 s2  $\Longrightarrow$  final1 s1  $\Longrightarrow$  final2 s2 and
  simulation:
    match s1 s2  $\Longrightarrow$  step1 s1 s1'  $\Longrightarrow$ 
      ( $\exists$  s2'. step2++ s2 s2'  $\wedge$  match s1' s2')  $\vee$  (match s1' s2  $\wedge$  measure s1'  $\square$ 
measure s1)
begin

sublocale forward-simulation where
  step1 = step1 and step2 = step2 and final1 = final1 and final2 = final2 and
  order = order and
  match =  $\lambda i x y. i = \text{measure } x \wedge \text{match } x y$ 
  ⟨proof⟩

end

locale backward-simulation-with-measuring-function =
  L1: semantics step1 final1 +
  L2: semantics step2 final2
for
  step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and
  step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  final1 :: 'state1  $\Rightarrow$  bool and
  final2 :: 'state2  $\Rightarrow$  bool +
fixes
  match :: 'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  measure :: 'state2  $\Rightarrow$  'index and
  order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool (infix  $\square$  70)
assumes
  wfp-order:
    wfp ( $\square$ ) and
  match-final:

```

$match\ s1\ s2 \implies final2\ s2 \implies final1\ s1$ **and**
simulation:
 $match\ s1\ s2 \implies step2\ s2\ s2' \implies$
 $(\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2') \vee (match\ s1\ s2' \wedge measure\ s2' \sqsubset$
 $measure\ s2)$
begin

sublocale *backward-simulation* **where**
 $step1 = step1$ **and** $step2 = step2$ **and** $final1 = final1$ **and** $final2 = final2$ **and**
 $order = order$ **and**
 $match = \lambda i\ x\ y.\ i = measure\ y \wedge match\ x\ y$
 $\langle proof \rangle$

end

5 Move to *Simple-Clause-Learning.SCL-FOL*

definition *trail-true-lit* :: $(- literal \times - option) list \Rightarrow - literal \Rightarrow bool$ **where**
 $trail-true-lit\ \Gamma\ L \longleftrightarrow L \in fst\ 'set\ \Gamma$

definition *trail-false-lit* :: $(- literal \times - option) list \Rightarrow - literal \Rightarrow bool$ **where**
 $trail-false-lit\ \Gamma\ L \longleftrightarrow - L \in fst\ 'set\ \Gamma$

definition *trail-true-cl* :: $(- literal \times - option) list \Rightarrow - clause \Rightarrow bool$ **where**
 $trail-true-cl\ \Gamma\ C \longleftrightarrow (\exists L \in \# C.\ trail-true-lit\ \Gamma\ L)$

definition *trail-false-cl* :: $(- literal \times - option) list \Rightarrow - clause \Rightarrow bool$ **where**
 $trail-false-cl\ \Gamma\ C \longleftrightarrow (\forall L \in \# C.\ trail-false-lit\ \Gamma\ L)$

lemma *trail-false-cl-mempty[simp]*: $trail-false-cl\ \Gamma\ \{\#\}$
 $\langle proof \rangle$

definition *trail-defined-lit* :: $(- literal \times - option) list \Rightarrow - literal \Rightarrow bool$ **where**
 $trail-defined-lit\ \Gamma\ L \longleftrightarrow (L \in fst\ 'set\ \Gamma \vee - L \in fst\ 'set\ \Gamma)$

lemma *trail-defined-lit-iff*: $trail-defined-lit\ \Gamma\ L \longleftrightarrow atm-of\ L \in atm-of\ 'fst\ 'set\ \Gamma$
 $\langle proof \rangle$

definition *trail-defined-cl* :: $(- literal \times - option) list \Rightarrow - clause \Rightarrow bool$ **where**
 $trail-defined-cl\ \Gamma\ C \longleftrightarrow (\forall L \in \# C.\ trail-defined-lit\ \Gamma\ L)$

lemma *trail-defined-lit-iff-true-or-false*:
 $trail-defined-lit\ \Gamma\ L \longleftrightarrow trail-true-lit\ \Gamma\ L \vee trail-false-lit\ \Gamma\ L$
 $\langle proof \rangle$

lemma *trail-true-or-false-cl-if-defined*:
 $trail-defined-cl\ \Gamma\ C \implies trail-true-cl\ \Gamma\ C \vee trail-false-cl\ \Gamma\ C$
 $\langle proof \rangle$

lemma *subtrail-falseI*:

assumes *tr-false*: *trail-false-cls* ((*L*, *Cl*) # Γ) *C* **and** *L-not-in*: $-L \notin \# C$
shows *trail-false-cls* Γ *C*
(*proof*)

inductive *trail-consistent* :: ('a literal \times 'b option) list \Rightarrow bool **where**

Nil[simp]: *trail-consistent* [] |

Cons: \neg *trail-defined-lit* Γ *L* \Longrightarrow *trail-consistent* Γ \Longrightarrow *trail-consistent* ((*L*, *u*) # Γ)

lemma *distinct-lits-if-trail-consistent*:

trail-consistent Γ \Longrightarrow *distinct* (map *fst* Γ)
(*proof*)

lemma *trail-true-lit-if-trail-true-suffix*:

suffix Γ' Γ \Longrightarrow *trail-true-lit* Γ' *K* \Longrightarrow *trail-true-lit* Γ *K*
(*proof*)

lemma *trail-true-cls-if-trail-true-suffix*:

suffix Γ' Γ \Longrightarrow *trail-true-cls* Γ' *C* \Longrightarrow *trail-true-cls* Γ *C*
(*proof*)

lemma *trail-false-lit-if-trail-false-suffix*:

suffix Γ' Γ \Longrightarrow *trail-false-lit* Γ' *K* \Longrightarrow *trail-false-lit* Γ *K*
(*proof*)

lemma *trail-false-cls-if-trail-false-suffix*:

suffix Γ' Γ \Longrightarrow *trail-false-cls* Γ' *C* \Longrightarrow *trail-false-cls* Γ *C*
(*proof*)

lemma *trail-defined-lit-if-trail-defined-suffix*:

suffix Γ' Γ \Longrightarrow *trail-defined-lit* Γ' *K* \Longrightarrow *trail-defined-lit* Γ *K*
(*proof*)

lemma *trail-defined-cls-if-trail-defined-suffix*:

suffix Γ' Γ \Longrightarrow *trail-defined-cls* Γ' *C* \Longrightarrow *trail-defined-cls* Γ *C*
(*proof*)

lemma *not-trail-true-lit-and-trail-false-lit*:

fixes Γ :: ('a literal \times 'b option) list **and** *L* :: 'a literal

shows *trail-consistent* Γ \Longrightarrow \neg (*trail-true-lit* Γ *L* \wedge *trail-false-lit* Γ *L*)
(*proof*)

lemma *not-trail-true-cls-and-trail-false-cls*:

fixes Γ :: ('a literal \times 'b option) list **and** *C* :: 'a clause

shows *trail-consistent* Γ \Longrightarrow \neg (*trail-true-cls* Γ *C* \wedge *trail-false-cls* Γ *C*)
(*proof*)

lemma *not-lit-and-comp-lit-false-if-trail-consistent:*
assumes *trail-consistent* Γ
shows $\neg (\text{trail-false-lit } \Gamma L \wedge \text{trail-false-lit } \Gamma (-L))$
 $\langle \text{proof} \rangle$

lemma *not-both-lit-and-comp-lit-in-false-clause-if-trail-consistent:*
assumes Γ -consistent: *trail-consistent* Γ **and** C -false: *trail-false-cls* ΓC
shows $\neg (L \in \# C \wedge -L \in \# C)$
 $\langle \text{proof} \rangle$

6 Move to ground ordered resolution

lemma (*in ground-ordered-resolution-calculus*) *unique-ground-resolution:*
shows $\exists_{\leq 1} C. \text{ground-resolution } P1 P2 C$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *unique-ground-factoring:*
shows $\exists_{\leq 1} C. \text{ground-factoring } P C$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *termination-ground-factoring:*
shows *wfP* *ground-factoring*⁻¹⁻¹
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *atms-of-concl-subset-if-ground-resolution:*
assumes *ground-resolution* $P_1 P_2 C$
shows *atms-of* $C \subseteq \text{atms-of } P_1 \cup \text{atms-of } P_2$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *strict-subset-mset-if-ground-factoring:*
assumes *ground-factoring* $P C$
shows $C \subset \# P$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *set-mset-eq-set-mset-if-ground-factoring:*
assumes *ground-factoring* $P C$
shows *set-mset* $P = \text{set-mset } C$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *atms-of-concl-eq-if-ground-factoring:*
assumes *ground-factoring* $P C$
shows *atms-of* $C = \text{atms-of } P$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *ground-factoring-preserves-maximal-literal:*
assumes *ground-factoring* $P C$
shows *is-maximal-lit* $L P = \text{is-maximal-lit } L C$
 $\langle \text{proof} \rangle$

lemma (in *ground-ordered-resolution-calculus*) *ground-factorings-preserves-maximal-literal*:
assumes *ground-factoring*** $P C$
shows *is-maximal-lit* $L P = \text{is-maximal-lit } L C$
 ⟨*proof*⟩

lemma (in *ground-ordered-resolution-calculus*) *ground-factoring-reduces-maximal-pos-lit*:
assumes *ground-factoring* $P C$ **and** *is-pos* L **and**
is-maximal-lit $L P$ **and** *count* $P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** *count* $C L = \text{Suc } n$
 ⟨*proof*⟩

lemma (in *ground-ordered-resolution-calculus*) *ground-factorings-reduces-maximal-pos-lit*:
assumes (*ground-factoring* $\widehat{\sim} m$) $P C$ **and** $m \leq \text{Suc } n$ **and** *is-pos* L **and**
is-maximal-lit $L P$ **and** *count* $P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** *count* $C L = \text{Suc } (\text{Suc } n - m)$
 ⟨*proof*⟩

lemma (in *ground-ordered-resolution-calculus*) *full-ground-factorings-reduces-maximal-pos-lit*:
assumes *steps*: (*ground-factoring* $\widehat{\sim} \text{Suc } n$) $P C$ **and** *L-pos*: *is-pos* L **and**
L-max: *is-maximal-lit* $L P$ **and** *L-count*: *count* $P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** *count* $C L = \text{Suc } 0$
 ⟨*proof*⟩

7 Move somewhere?

lemma *true-cls-imp-neq-empty*: $\mathcal{I} \models C \implies C \neq \{\#\}$
 ⟨*proof*⟩

lemma *lift-tranclp-to-pairs-with-constant-fst*:
 $(R x)^{++} y z \implies (\lambda(x, y) (x', z). x = x' \wedge R x y z)^{++} (x, y) (x, z)$
 ⟨*proof*⟩

abbreviation (in *preorder*) *is-lower-fset* **where**
is-lower-fset $X Y \equiv \text{is-lower-set-wrt } (<) (fset X) (fset Y)$

lemma *lower-set-wrt-prefixI*:
assumes
trans: *transp-on* (set zs) R **and**
asym: *asyp-on* (set zs) R **and**
sorted: *sorted-wrt* $R zs$ **and**
prefix: *prefix* $xs zs$
shows *is-lower-set-wrt* $R (set xs) (set zs)$
 ⟨*proof*⟩

lemmas (in *preorder*) *lower-set-prefixI* =
lower-set-wrt-prefixI[*OF transp-on-less asyp-on-less*]

lemma *lower-set-wrt-suffixI*:
assumes

trans: *transp-on* (set *zs*) *R* **and**
asym: *asym-on* (set *zs*) *R* **and**
sorted: *sorted-wrt* R^{-1-1} *zs* **and**
suffix: *suffix* *ys zs*
shows *is-lower-set-wrt* *R* (set *ys*) (set *zs*)
 ⟨*proof*⟩

lemmas (in *preorder*) *lower-set-suffixI* =
lower-set-wrt-suffixI[*OF transp-on-less asym-on-less*]

lemma *true-cls-repeat-mset-Suc*[*simp*]: $I \Vdash \text{repeat-mset } (Suc\ n)\ C \longleftrightarrow I \Vdash C$
 ⟨*proof*⟩

lemma (in *backward-simulation*)
assumes *match* *i S1 S2* **and** $\neg L1.\text{inf-step } S1$
shows $\neg L2.\text{inf-step } S2$
 ⟨*proof*⟩

lemma (in *scl-fol-calculus*) *grounding-of-cls-ground*:
assumes *is-ground-cls* *N*
shows *grounding-of-cls* $N = N$
 ⟨*proof*⟩

lemma (in *scl-fol-calculus*) *propagateI'*:
 $C \Vdash N \mid U \implies C = \text{add-mset } L\ C' \implies \text{is-ground-cls } (C \cdot \gamma) \implies$
 $\forall K \in\# C \cdot \gamma. \text{atm-of } K \preceq_B \beta \implies$
 $C_0 = \{\#K \in\# C'. K \cdot l\ \gamma \neq L \cdot l\ \gamma\# \} \implies C_1 = \{\#K \in\# C'. K \cdot l\ \gamma = L \cdot l\ \gamma\# \} \implies$
 $SCL-FOL.\text{trail-false-cls } \Gamma (C_0 \cdot \gamma) \implies \neg SCL-FOL.\text{trail-defined-lit } \Gamma (L \cdot l\ \gamma)$
 \implies
 $\text{is-ingu } \mu \{ \text{atm-of ' set-mset (add-mset } L\ C_1) \} \implies$
 $\Gamma' = \text{trail-propagate } \Gamma (L \cdot l\ \mu) (C_0 \cdot \mu)\ \gamma \implies$
 $\text{propagate } N\ \beta (\Gamma, U, \text{None}) (\Gamma', U, \text{None})$
 ⟨*proof*⟩

lemma (in *scl-fol-calculus*) *decideI'*:
 $\text{is-ground-lit } (L \cdot l\ \gamma) \implies \neg SCL-FOL.\text{trail-defined-lit } \Gamma (L \cdot l\ \gamma) \implies \text{atm-of } L \cdot a$
 $\gamma \preceq_B \beta \implies$
 $\Gamma' = \text{trail-decide } \Gamma (L \cdot l\ \gamma) \implies$
 $\text{decide } N\ \beta (\Gamma, U, \text{None}) (\Gamma', U, \text{None})$
 ⟨*proof*⟩

lemma *ground-iff-vars-term-empty*: $\text{ground } t \longleftrightarrow \text{vars-term } t = \{\}$
 ⟨*proof*⟩

lemma *is-ground-atm-eq-ground*[*iff*]: *is-ground-atm* = *ground*
 ⟨*proof*⟩

definition *lit-of-glit* :: 'f gterm literal \Rightarrow ('f, 'v) term literal **where**
lit-of-glit = map-literal term-of-gterm

definition *glit-of-lit* **where**
glit-of-lit = map-literal gterm-of-term

definition *cls-of-gcls* **where**
cls-of-gcls = image-mset lit-of-glit

definition *gcls-of-cls* **where**
gcls-of-cls = image-mset glit-of-lit

lemma *inj-lit-of-glit*: inj lit-of-glit
 ⟨proof⟩

lemma *atm-of-lit-of-glit-conv*: atm-of (lit-of-glit L) = term-of-gterm (atm-of L)
 ⟨proof⟩

lemma *ground-atm-of-lit-of-glit[simp]*: Term-Context.ground (atm-of (lit-of-glit L))
 ⟨proof⟩

lemma *is-ground-lit-lit-of-glit[simp]*: is-ground-lit (lit-of-glit L)
 ⟨proof⟩

lemma *is-ground-cls-cls-of-gcls[simp]*: is-ground-cls (cls-of-gcls C)
 ⟨proof⟩

lemma *glit-of-lit-lit-of-glit[simp]*: glit-of-lit (lit-of-glit L) = L
 ⟨proof⟩

lemma *gcls-of-cls-cls-of-gcls[simp]*: gcls-of-cls (cls-of-gcls L) = L
 ⟨proof⟩

lemma *lit-of-glit-glit-of-lit-ident[simp]*: is-ground-lit L \Longrightarrow lit-of-glit (glit-of-lit L)
 = L
 ⟨proof⟩

lemma *cls-of-gcls-gcls-of-cls-ident[simp]*: is-ground-cls D \Longrightarrow cls-of-gcls (gcls-of-cls
 D) = D
 ⟨proof⟩

lemma *vars-lit-lit-of-glit[simp]*: vars-lit (lit-of-glit L) = {}
 ⟨proof⟩

lemma *vars-cls-cls-of-gcls[simp]*: vars-cls (cls-of-gcls C) = {}
 ⟨proof⟩

definition *atms-of-cls* :: 'a clause \Rightarrow 'a fset **where**
atms-of-cls C = atm-of | \uparrow | fset-mset C

definition *atms-of-clss* :: 'a clause fset \Rightarrow 'a fset **where**
atms-of-clss $N = \text{ffUnion } (\text{atms-of-cl } \mid \mid N)$

lemma *atms-of-clss-fempty[simp]*: *atms-of-clss* $\{\{\}\} = \{\{\}\}$
 $\langle \text{proof} \rangle$

lemma *atms-of-clss-finsert[simp]*:
atms-of-clss $(\text{finsert } C N) = \text{atms-of-cl } C \mid \cup \mid \text{atms-of-clss } N$
 $\langle \text{proof} \rangle$

definition *lits-of-clss* :: 'a clause fset \Rightarrow 'a literal fset **where**
lits-of-clss $N = \text{ffUnion } (\text{fset-mset } \mid \mid N)$

definition *lit-occures-in-clss* **where**
lit-occures-in-clss $L N \longleftrightarrow \text{fBex } N (\lambda C. L \in \# C)$

inductive constant-context for R **where**
 $R C \mathcal{D} \mathcal{D}' \Longrightarrow \text{constant-context } R (C, \mathcal{D}) (C, \mathcal{D}')$

lemma *rtranclp-constant-context*: $(R C)^{**} \mathcal{D} \mathcal{D}' \Longrightarrow (\text{constant-context } R)^{**} (C, \mathcal{D}) (C, \mathcal{D}')$
 $\langle \text{proof} \rangle$

lemma *tranclp-constant-context*: $(R C)^{++} \mathcal{D} \mathcal{D}' \Longrightarrow (\text{constant-context } R)^{++} (C, \mathcal{D}) (C, \mathcal{D}')$
 $\langle \text{proof} \rangle$

lemma *right-unique-constant-context*:
assumes $R\text{-ru}$: $\bigwedge C. \text{right-unique } (R C)$
shows *right-unique* $(\text{constant-context } R)$
 $\langle \text{proof} \rangle$

lemma *safe-state-constant-context-if-invars*:
fixes $N s$
assumes
 \mathcal{R} -preserves- \mathcal{I} :
 $\bigwedge N s s'. \mathcal{R} N s s' \Longrightarrow \mathcal{I} N s \Longrightarrow \mathcal{I} N s'$ **and**
ex- \mathcal{R} -if-not-final:
 $\bigwedge N s. \neg \mathcal{F} (N, s) \Longrightarrow \mathcal{I} N s \Longrightarrow \exists s'. \mathcal{R} N s s'$
assumes *invars*: $\mathcal{I} N s$
shows *safe-state* $(\text{constant-context } \mathcal{R}) \mathcal{F} (N, s)$
 $\langle \text{proof} \rangle$

primrec *trail-atms* :: $(- \text{ literal } \times -) \text{ list } \Rightarrow - \text{ fset}$ **where**
trail-atms $\{\} = \{\{\}\}$ |
trail-atms $(Ln \# \Gamma) = \text{finsert } (\text{atm-of } (\text{fst } Ln)) (\text{trail-atms } \Gamma)$

lemma *fset-trail-atms*: $\text{fset } (\text{trail-atms } \Gamma) = \text{atm-of } ' \text{fst } ' \text{ set } \Gamma$

<proof>

lemma *trail-defined-lit-iff-trail-defined-atm*:
trail-defined-lit Γ $L \longleftrightarrow \text{atm-of } L \mid \in \mid \text{trail-atms } \Gamma$
<proof>

lemma *trail-atms-subset-if-suffix*:
assumes *suffix* $\Gamma' \Gamma$
shows *trail-atms* $\Gamma' \mid \subseteq \mid \text{trail-atms } \Gamma$
<proof>

lemma *dom-model-eq-trail-interp*:
assumes
 $\forall A C. \mathcal{M} A = \text{Some } C \longleftrightarrow \text{map-of } \Gamma (\text{Pos } A) = \text{Some } (\text{Some } C)$ **and**
 $\forall Ln \in \text{set } \Gamma. \forall L. Ln = (L, \text{None}) \longrightarrow \text{is-neg } L$
shows *dom* $\mathcal{M} = \text{trail-interp } \Gamma$
<proof>

type-synonym *'f gliteral* = *'f gterm literal*
type-synonym *'f gclause* = *'f gterm clause*

locale *simulation-SCLFOL-ground-ordered-resolution* =
renaming-apart renaming-vars
for *renaming-vars* :: *'v set* \Rightarrow *'v* \Rightarrow *'v* +
fixes
less-trm :: *'f gterm* \Rightarrow *'f gterm* \Rightarrow *bool* (**infix** \prec_t 50)
assumes
transp-less-trm[simp]: *transp* (\prec_t) **and**
asyp-less-trm[intro]: *asyp* (\prec_t) **and**
wfP-less-trm[intro]: *wfP* (\prec_t) **and**
totalp-less-trm[intro]: *totalp* (\prec_t) **and**
finite-less-trm: $\bigwedge \beta. \text{finite } \{x. x \prec_t \beta\}$ **and**
less-trm-compatible-with-gctxt[simp]: $\bigwedge \text{ctxt } t t'. t \prec_t t' \Longrightarrow \text{ctxt}(t)_G \prec_t \text{ctxt}(t')_G$
and
less-trm-if-subterm[simp]: $\bigwedge t \text{ ctxt}. \text{ctxt} \neq \square_G \Longrightarrow t \prec_t \text{ctxt}(t)_G$

8 Ground ordered resolution for ground terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

sublocale *ord-res*: *ground-ordered-resolution-calculus* $(\prec_t) \lambda\cdot. \{\#\}$
<proof>

sublocale *linorder-trm*: *linorder* $(\preceq_t) (\prec_t)$
<proof>

sublocale *linorder-lit*: *linorder* (\preceq_l) (\prec_l)
 ⟨*proof*⟩

sublocale *linorder-cls*: *linorder* (\preceq_c) (\prec_c)
 ⟨*proof*⟩

declare *linorder-trm.is-least-in-fset-ffilterD*[*no-atp*]
declare *linorder-lit.is-least-in-fset-ffilterD*[*no-atp*]
declare *linorder-cls.is-least-in-fset-ffilterD*[*no-atp*]

end

9 Common definitions and lemmas

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

abbreviation *ord-res-Interp* **where**

ord-res-Interp $N\ C \equiv \text{ord-res.interp } N\ C \cup \text{ord-res.production } N\ C$

definition *is-least-false-clause* **where**

is-least-false-clause $N\ C \longleftrightarrow$

linorder-cls.is-least-in-fset $\{|C\ |\in\ N. \neg \text{ord-res-Interp } (\text{fset } N)\ C \models C|\} C$

lemma *is-least-false-clause-finsert-smaller-false-clause*:

assumes

D-least: *is-least-false-clause* $N\ D$ **and**

$C \prec_c D$ **and**

C-false: $\neg \text{ord-res-Interp } (\text{fset } (\text{finsert } C\ N))\ C \models C$

shows *is-least-false-clause* $(\text{finsert } C\ N)\ C$

⟨*proof*⟩

lemma *is-least-false-clause-swap-swap-compatible-fsets*:

assumes $\{|x\ |\in\ N1. x \preceq_c C|\} = \{|x\ |\in\ N2. x \preceq_c C|\}$

shows *is-least-false-clause* $N1\ C \longleftrightarrow \text{is-least-false-clause } N2\ C$

⟨*proof*⟩

lemma *Uniq-is-least-false-clause*: $\exists_{\leq 1} C. \text{is-least-false-clause } N\ C$

⟨*proof*⟩

lemma *empty-lesseq-cls*[*simp*]: $\{\#\} \preceq_c C$ **for** C

⟨*proof*⟩

lemma *is-least-false-clause-empty*: $\{\#\} \in N \implies \text{is-least-false-clause } N\ \{\#\}$

⟨*proof*⟩

lemma *production-union-unproductive-strong*:

assumes

fin: *finite* $N1$ *finite* $N2$ **and**

N2-unproductive: $\forall x \in N2 - N1. \text{ord-res.production } (N1 \cup N2) x = \{\}$ **and**
C-in: $C \in N1$
shows $\text{ord-res.production } (N1 \cup N2) C = \text{ord-res.production } N1 C$
 $\langle \text{proof} \rangle$

lemma *production-union-unproductive*:

assumes
fin: *finite* $N1$ *finite* $N2$ **and**
N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$ **and**
C-in: $C \in N1$
shows $\text{ord-res.production } (N1 \cup N2) C = \text{ord-res.production } N1 C$
 $\langle \text{proof} \rangle$

lemma *interp-union-unproductive*:

assumes
fin: *finite* $N1$ *finite* $N2$ **and**
N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$
shows $\text{ord-res.interp } (N1 \cup N2) = \text{ord-res.interp } N1$
 $\langle \text{proof} \rangle$

lemma *Interp-union-unproductive*:

assumes
fin: *finite* $N1$ *finite* $N2$ **and**
N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$
shows $\text{ord-res-Interp } (N1 \cup N2) C = \text{ord-res-Interp } N1 C$
 $\langle \text{proof} \rangle$

lemma *Interp-insert-unproductive*:

assumes
fin: *finite* $N1$ **and**
x-unproductive: $\text{ord-res.production } (\text{insert } x N1) x = \{\}$
shows $\text{ord-res-Interp } (\text{insert } x N1) C = \text{ord-res-Interp } N1 C$
 $\langle \text{proof} \rangle$

lemma *extended-partial-model-entails-iff-partial-model-entails*:

assumes
fin: *finite* N *finite* N' **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
C-in: $C \in N$
shows $\text{ord-res-Interp } (N \cup N') C \models C \iff \text{ord-res-Interp } N C \models C$
 $\langle \text{proof} \rangle$

lemma *nex-strictly-maximal-pos-lit-if-factorizable*:

assumes $\text{ord-res.ground-factoring } C C'$
shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$
 $\langle \text{proof} \rangle$

lemma *unproductive-if-nex-strictly-maximal-pos-lit*:

assumes $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$

shows $\text{ord-res.production } N \ C = \{\}$
 $\langle \text{proof} \rangle$

lemma *ball-unproductive-if-nex-strictly-maximal-pos-lit*:
assumes $\forall C \in N'. \nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L \ C$
shows $\forall C \in N'. \text{ord-res.production } (N \cup N') \ C = \{\}$
 $\langle \text{proof} \rangle$

lemma *is-least-false-clause-finsert-cancel*:
assumes
C-unproductive: $\text{ord-res.production } (\text{fset } (\text{finsert } C \ N)) \ C = \{\}$ **and**
C-entailed-by-smaller: $\exists D \mid \in \ N. \ D \prec_c \ C \wedge \{D\} \models_e \{C\}$
shows $\text{is-least-false-clause } (\text{finsert } C \ N) = \text{is-least-false-clause } N$
 $\langle \text{proof} \rangle$

lemma *is-least-false-clause-funion-cancel-right-strong*:
assumes
 $\forall C \mid \in \ N2 - N1. \forall U. \text{ord-res.production } U \ C = \{\}$ **and**
 $\forall C \mid \in \ N2 - N1. \exists D \mid \in \ N1. \ D \prec_c \ C \wedge \{D\} \models_e \{C\}$
shows $\text{is-least-false-clause } (N1 \mid \cup \ N2) = \text{is-least-false-clause } N1$
 $\langle \text{proof} \rangle$

lemma *is-least-false-clause-funion-cancel-right*:
assumes
 $\forall C \mid \in \ N2. \forall U. \text{ord-res.production } U \ C = \{\}$ **and**
 $\forall C \mid \in \ N2. \exists D \mid \in \ N1. \ D \prec_c \ C \wedge \{D\} \models_e \{C\}$
shows $\text{is-least-false-clause } (N1 \mid \cup \ N2) = \text{is-least-false-clause } N1$
 $\langle \text{proof} \rangle$

definition *ex-false-clause where*
 $\text{ex-false-clause } N = (\exists C \in N. \neg \text{ord-res.interp } N \ C \cup \text{ord-res.production } N \ C \models_e C)$

lemma *obtains-least-false-clause-if-ex-false-clause*:
assumes $\text{ex-false-clause } (\text{fset } N)$
obtains C **where** $\text{is-least-false-clause } N \ C$
 $\langle \text{proof} \rangle$

lemma *ex-false-clause-if-least-false-clause*:
assumes $\text{is-least-false-clause } N \ C$
shows $\text{ex-false-clause } (\text{fset } N)$
 $\langle \text{proof} \rangle$

lemma *ex-false-clause-iff*: $\text{ex-false-clause } (\text{fset } N) \iff (\exists C. \text{is-least-false-clause } N \ C)$
 $\langle \text{proof} \rangle$

definition *ord-res-model where*
 $\text{ord-res-model } N = (\bigcup D \in N. \text{ord-res.production } N \ D)$

lemma *ord-res-model-eq-interp-union-production-of-greatest-clause*:
assumes *C-greatest*: *linorder-cls.is-greatest-in-set* N C
shows *ord-res-model* $N = \text{ord-res.interp } N$ $C \cup \text{ord-res.production } N$ C
 $\langle \text{proof} \rangle$

lemma *ord-res-model-entails-clauses-if-nex-false-clause*:
assumes *finite* N **and** $N \neq \{\}$ **and** $\neg \text{ex-false-clause } N$
shows *ord-res-model* $N \models_s N$
 $\langle \text{proof} \rangle$

lemma *pos-lit-not-greatest-if-maximal-in-least-false-clause*:
assumes
C-least: *linorder-cls.is-least-in-fset* $\{C \mid \in N. \neg \text{ord-res.Interp } (\text{fset } N) C \models C\}$ C **and**
C-max-lit: *ord-res.is-maximal-lit* $(\text{Pos } A)$ C
shows $\neg \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A)$ C
 $\langle \text{proof} \rangle$

lemma *ex-ground-factoringI*:
assumes
C-max-lit: *ord-res.is-maximal-lit* $(\text{Pos } A)$ C **and**
C-not-max-lit: $\neg \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A)$ C
shows $\exists C'. \text{ord-res.ground-factoring } C$ C'
 $\langle \text{proof} \rangle$

lemma *true-cls-if-true-lit-in*: $L \in \# C \implies I \models_l L \implies I \models C$
 $\langle \text{proof} \rangle$

lemma *bx-smaller-productive-clause-if-least-false-clause-has-negative-max-lit*:
assumes
C-least-false: *is-least-false-clause* N C **and**
Neg-A-max: *ord-res.is-maximal-lit* $(\text{Neg } A)$ C
shows $\text{fBex } N (\lambda D. D \prec_c C \wedge \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A) D \wedge \text{ord-res.production } (\text{fset } N) D = \{A\})$
 $\langle \text{proof} \rangle$

lemma *bx-smaller-productive-clause-if-least-false-clause-has-negative-max-lit'*:
assumes
C-least-false: *is-least-false-clause* N C **and**
L-max: *ord-res.is-maximal-lit* L C **and**
L-neg: *is-neg* L
shows $\text{fBex } N (\lambda D. D \prec_c C \wedge \text{ord-res.is-strictly-maximal-lit } (\text{Neg } L) D \wedge \text{ord-res.production } (\text{fset } N) D = \{\text{atm-of } L\})$
 $\langle \text{proof} \rangle$

lemma *ex-ground-resolutionI*:
assumes
C-max-lit: *ord-res.is-maximal-lit* $(\text{Neg } A)$ C **and**

D-lt: $D \prec_c C$ **and**
D-max-lit: *ord-res.is-strictly-maximal-lit* (*Pos A*) D
shows $\exists CD. \text{ord-res.ground-resolution } C D CD$
 ⟨*proof*⟩

lemma

fixes $N N'$

assumes

fin: *finite* N *finite* N' **and**

irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**

C-in: $C \in N$ **and**

C-not-entailed: $\neg \text{ord-res.interp } N C \cup \text{ord-res.production } N C \models C$

shows $\neg \text{ord-res.interp } (N \cup N') C \cup \text{ord-res.production } (N \cup N') C \models C$

⟨*proof*⟩

lemma *trail-consistent-if-sorted-wrt-atoms:*

assumes *sorted-wrt* $(\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)) \Gamma$

shows *trail-consistent* Γ

⟨*proof*⟩

lemma *mono-atms-lt:* *monotone-on* (*set* Γ) $(\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x))$ $(\lambda x y. y \leq x)$

$(\lambda x. \text{atm-of } K \preceq_t \text{atm-of } (fst x))$ **for** K

⟨*proof*⟩

lemma *in-trail-atms-dropWhileI:*

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on (*set* Γ) $R (\geq) (\lambda x. P (\text{atm-of } (fst x)))$ **and**

$\neg P A$ **and**

$A \in | \text{trail-atms } \Gamma$

shows $A \in | \text{trail-atms } (\text{dropWhile } (\lambda Ln. P (\text{atm-of } (fst Ln)))) \Gamma$

⟨*proof*⟩

lemma *trail-defined-lit-dropWhileI:*

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on (*set* Γ) $R (\geq) (\lambda x. P (fst x))$ **and**

$\neg P L \wedge \neg P (- L)$ **and**

trail-defined-lit ΓL

shows *trail-defined-lit* $(\text{dropWhile } (\lambda Ln. P (fst Ln))) \Gamma L$

⟨*proof*⟩

lemma *trail-defined-cls-dropWhileI:*

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on (*set* Γ) $R (\geq) (\lambda x. P (fst x))$ **and**

$\forall L \in \# C. \neg P L \wedge \neg P (- L)$ **and**

*trail-defined-cl*s ΓC
shows *trail-defined-cl*s (*dropWhile* ($\lambda Ln. P (fst Ln)$) Γ) C
 $\langle proof \rangle$

lemma *nbe*x-less-than-least-in-fset: $\neg (\exists w \mid \in \mid X. w \prec_c x)$
if *linorder-cl*s.is-least-in-fset $X x$ **for** $X x$
 $\langle proof \rangle$

lemma *clause-le-if-lt-least-greater*:
fixes $N U_{er} \mathcal{F} C D$
defines
 $C \equiv The\text{-}optional (linorder\text{-}cls.is\text{-}least\text{-}in\text{-}fset (ffilter ((\prec_c) D) N))$
assumes
 $C\text{-}lt: \bigwedge E. C = Some E \implies C \prec_c E$ **and**
 $C\text{-}in: C \mid \in \mid N$
shows $C \preceq_c D$
 $\langle proof \rangle$

end

10 Lemmas about going between ground and first-order terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma *ex1-gterm-of-term*:
fixes $t :: 'f\ gterm$
shows $\exists!(t' :: ('f, 'v)\ term). ground\ t' \wedge t = gterm\text{-}of\text{-}term\ t'$
 $\langle proof \rangle$

lemma *binj-betw-gterm-of-term*: *binj-betw* *gterm-of-term* $\{t. ground\ t\}$ *UNIV*
 $\langle proof \rangle$

end

11 SCL(FOL) for first-order terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *less-B* **where**
 $less\text{-}B\ x\ y \longleftrightarrow ground\ x \wedge ground\ y \wedge gterm\text{-}of\text{-}term\ x \prec_t gterm\text{-}of\text{-}term\ y$

sublocale *order-less-B*: *order* $less\text{-}B^{==}$ *less-B*
 $\langle proof \rangle$

sublocale *scl-fol*: *scl-fol-calculus* *renaming-vars* *less-B*
 $\langle proof \rangle$

end

lemma *trail-atms-eq-trail-atms-if-same-lits*:
 assumes *list-all2* ($\lambda x y. \text{fst } x = \text{fst } y$) $\Gamma_9 \Gamma_{10}$
 shows *trail-atms* $\Gamma_9 = \text{trail-atms } \Gamma_{10}$
 <proof>

lemma *trail-false-lit-eq-trail-false-lit-if-same-lits*:
 assumes *list-all2* ($\lambda x y. \text{fst } x = \text{fst } y$) $\Gamma_9 \Gamma_{10}$
 shows *trail-false-lit* $\Gamma_9 = \text{trail-false-lit } \Gamma_{10}$
 <proof>

lemma *trail-false-cls-eq-trail-false-cls-if-same-lits*:
 assumes *list-all2* ($\lambda x y. \text{fst } x = \text{fst } y$) $\Gamma_9 \Gamma_{10}$
 shows *trail-false-cls* $\Gamma_9 = \text{trail-false-cls } \Gamma_{10}$
 <proof>

lemma *trail-defined-lit-eq-trail-defined-lit-if-same-lits*:
 assumes *list-all2* ($\lambda x y. \text{fst } x = \text{fst } y$) $\Gamma_9 \Gamma_{10}$
 shows *trail-defined-lit* $\Gamma_9 = \text{trail-defined-lit } \Gamma_{10}$
 <proof>

lemma *trail-defined-cls-eq-trail-defined-cls-if-same-lits*:
 assumes *list-all2* ($\lambda x y. \text{fst } x = \text{fst } y$) $\Gamma_9 \Gamma_{10}$
 shows *trail-defined-cls* $\Gamma_9 = \text{trail-defined-cls } \Gamma_{10}$
 <proof>

end

theory *ORD-RES*

imports *Background*

begin

12 ORD-RES

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma *ex-false-clause* $N \longleftrightarrow \neg (\forall C \in N. \text{ord-res-Interp } N C \models C)$
 <proof>

lemma $\neg \text{ex-false-clause } N \longleftrightarrow (\forall C \in N. \text{ord-res-Interp } N C \models C)$
 <proof>

definition *ord-res-final* **where**

ord-res-final $N \longleftrightarrow \{\#\} \mid \in \mid N \vee \neg \text{ex-false-clause } (\text{fset } N)$

inductive *ord-res* **where**

factoring: $\{\#\} \mid \notin \mid N \implies \text{ex-false-clause } (\text{fset } N) \implies$

— Maybe write $\neg \text{ord-res-final } N$ instead?

$P \mid \in \mid N \implies \text{ord-res.ground-factoring } P \ C \implies$
 $N' = \text{finsert } C \ N \implies$
 $\text{ord-res } N \ N' \mid$

resolution: $\{\#\} \mid \notin \mid N \implies \text{ex-false-clause } (\text{fset } N) \implies$
 $P1 \mid \in \mid N \implies P2 \mid \in \mid N \implies \text{ord-res.ground-resolution } P1 \ P2 \ C \implies$
 $N' = \text{finsert } C \ N \implies$
 $\text{ord-res } N \ N'$

inductive *ord-res-load* **where**

$N \neq \{\mid\} \implies \text{ord-res-load } N \ N$

sublocale *ord-res-antics: semantics* **where**

step = *ord-res* **and**

final = *ord-res-final*

$\langle \text{proof} \rangle$

sublocale *ord-res-language: language* **where**

step = *ord-res* **and**

final = *ord-res-final* **and**

load = *ord-res-load*

$\langle \text{proof} \rangle$

end

end

theory *ORD-RES-1*

imports *ORD-RES*

begin

13 ORD-RES-1 (deterministic)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-1* **where**

factoring:

is-least-false-clause $N \ C \implies$

linorder-lit.is-maximal-in-mset $C \ L \implies$

is-pos $L \implies$

ord-res.ground-factoring $C \ C' \implies$

$N' = \text{finsert } C' \ N \implies$

ord-res-1 $N \ N' \mid$

resolution:

is-least-false-clause $N \ C \implies$

linorder-lit.is-maximal-in-mset $C \ L \implies$

is-neg $L \implies$

$D \mid \in \mid N \implies$

$D \prec_c C \implies$

$ord-res.production (fset N) D = \{atm-of L\} \implies$
 $ord-res.ground-resolution C D CD \implies$
 $N' = finsert CD N \implies$
 $ord-res-1 N N'$

lemma

assumes $ord-res.ground-resolution C D CD$
shows $D \prec_c C$
 $\langle proof \rangle$

lemma *right-unique-ord-res-1: right-unique ord-res-1*
 $\langle proof \rangle$

definition *ord-res-1-final* **where**

$ord-res-1-final N \longleftrightarrow ord-res-final N$

inductive *ord-res-1-load* **where**

$N \neq \{\|\} \implies ord-res-1-load N N$

sublocale *ord-res-1-antics: semantics* **where**

$step = ord-res-1$ **and**
 $final = ord-res-1-final$

$\langle proof \rangle$

sublocale *ord-res-1-language: language* **where**

$step = ord-res-1$ **and**
 $final = ord-res-1-final$ **and**
 $load = ord-res-1-load$

$\langle proof \rangle$

lemma *ex-ord-res-1-if-not-final:*

assumes $\neg ord-res-1-final N$
shows $\exists N'. ord-res-1 N N'$

$\langle proof \rangle$

corollary *ord-res-1-safe: ord-res-1-final N \vee ($\exists N'. ord-res-1 N N'$)*

$\langle proof \rangle$

end

end

theory *Exhaustive-Factorization*

imports *Background*

begin

14 Function for full factorization

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition $efac :: 'f\ gterm\ clause \Rightarrow 'f\ gterm\ clause$ **where**

$efac\ C = (THE\ C'.\ ord-res.ground-factorizing^{**}\ C\ C' \wedge (\nexists\ C''.\ ord-res.ground-factorizing\ C'\ C''))$

The function $efac$ performs exhaustive factorization of its input clause.

lemma $ex1-efac-eq-factorizing-chain$:

$\exists!C'.\ efac\ C = C' \wedge ord-res.ground-factorizing^{**}\ C\ C' \wedge (\nexists\ C''.\ ord-res.ground-factorizing\ C'\ C'')$

$\langle proof \rangle$

lemma $efac-eq-disj$:

$efac\ C = C \vee (\exists!C'.\ efac\ C = C' \wedge ord-res.ground-factorizing^{**}\ C\ C' \wedge (\nexists\ C''.\ ord-res.ground-factorizing\ C'\ C''))$

$\langle proof \rangle$

lemma $member-mset-if-count-eq-Suc$: $count\ X\ x = Suc\ n \Longrightarrow x \in\# X$

$\langle proof \rangle$

lemmas $member-fsetE = mset-add$

lemma $ord-res-ground-factorizing-iff$: $ord-res.ground-factorizing\ C\ C' \longleftrightarrow$

$(\exists A.\ ord-res.is-maximal-lit\ (Pos\ A)\ C \wedge (\exists n.\ count\ C\ (Pos\ A) = Suc\ (Suc\ n) \wedge C' = C - \{\#Pos\ A\}))$

$\langle proof \rangle$

lemma $tranclp-ord-res-ground-factorizing-iff$:

$ord-res.ground-factorizing^{++}\ C\ C' \wedge (\nexists\ C''.\ ord-res.ground-factorizing\ C'\ C'') \longleftrightarrow$
 $(\exists A.\ ord-res.is-maximal-lit\ (Pos\ A)\ C \wedge (\exists n.\ count\ C\ (Pos\ A) = Suc\ (Suc\ n) \wedge C' = C - replicate-mset\ (Suc\ n)\ (Pos\ A)))$

$\langle proof \rangle$

lemma $minus-mset-replicate-mset-eq-add-mset-filter-mset$:

assumes $count\ X\ x = Suc\ n$

shows $X - replicate-mset\ n\ x = add-mset\ x\ \{\#y \in\# X.\ y \neq x\}$

$\langle proof \rangle$

lemma $minus-mset-replicate-mset-eq-add-mset-add-mset-filter-mset$:

assumes $count\ X\ x = Suc\ (Suc\ n)$

shows $X - replicate-mset\ n\ x = add-mset\ x\ (add-mset\ x\ \{\#y \in\# X.\ y \neq x\})$

$\langle proof \rangle$

lemma $rtrancl-ground-factorizing-iff$:

shows $ord-res.ground-factorizing^{**}\ C\ C' \wedge (\nexists\ C''.\ ord-res.ground-factorizing\ C'\ C'')$

\longleftrightarrow

$((\nexists A.\ ord-res.is-maximal-lit\ (Pos\ A)\ C \wedge count\ C\ (Pos\ A) \geq 2) \wedge C = C' \vee$

$(\exists A.\ ord-res.is-maximal-lit\ (Pos\ A)\ C \wedge C' = add-mset\ (Pos\ A)\ \{\#L \in\# C.\ L \neq Pos\ A\}))$

$\langle proof \rangle$

lemma *efac-spec*: $efac\ C = C \vee$
 $(\exists A. ord-res.is-maximal-lit\ (Pos\ A)\ C \wedge efac\ C = add-mset\ (Pos\ A)\ \{\#L \in\#$
 $C. L \neq Pos\ A\#\})$
 $\langle proof \rangle$

lemma *efac-spec-if-pos-lit-is-maximal*:
assumes $L-pos: is-pos\ L$ **and** $L-max: ord-res.is-maximal-lit\ L\ C$
shows $efac\ C = add-mset\ L\ \{\#K \in\# C. K \neq L\#\}$
 $\langle proof \rangle$

lemma *efac-mempty[simp]*: $efac\ \{\#\} = \{\#\}$
 $\langle proof \rangle$

lemma *set-mset-efac[simp]*: $set-mset\ (efac\ C) = set-mset\ C$
 $\langle proof \rangle$

lemma *efac-subset*: $efac\ C \subseteq\# C$
 $\langle proof \rangle$

lemma *true-cls-efac-iff[simp]*:
fixes $\mathcal{I} :: 'f\ gterm\ set$ **and** $C :: 'f\ gclause$
shows $\mathcal{I} \models efac\ C \longleftrightarrow \mathcal{I} \models C$
 $\langle proof \rangle$

lemma *obtains-positive-greatest-lit-if-efac-not-ident*:
assumes $efac\ C \neq C$
obtains L **where** $is-pos\ L$ **and** $linorder-lit.is-greatest-in-mset\ (efac\ C)\ L$
 $\langle proof \rangle$

lemma *mempty-in-image-efac-iff[simp]*: $\{\#\} \in efac\ 'N \longleftrightarrow \{\#\} \in N$
 $\langle proof \rangle$

lemma *greatest-literal-in-efacI*:
assumes $is-pos\ L$ **and** $C-max-lit: linorder-lit.is-maximal-in-mset\ C\ L$
shows $linorder-lit.is-greatest-in-mset\ (efac\ C)\ L$
 $\langle proof \rangle$

lemma *linorder-lit.is-maximal-in-mset* $(efac\ C)\ L \longleftrightarrow linorder-lit.is-maximal-in-mset$
 $C\ L$
 $\langle proof \rangle$

lemma
assumes $is-pos\ L$
shows $linorder-lit.is-greatest-in-mset\ (efac\ C)\ L \longleftrightarrow linorder-lit.is-maximal-in-mset$
 $C\ L$
 $\langle proof \rangle$

lemma *factorizable-if-neq-efac*:
assumes $C \neq efac\ C$

shows $\exists C'. \text{ord-res.ground-factoring } C C'$
 $\langle \text{proof} \rangle$

lemma *nex-strictly-maximal-pos-lit-if-neg-efac*:

assumes $C \neq \text{efac } C$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$
 $\langle \text{proof} \rangle$

lemma *efac-properties-if-not-ident*:

assumes $\text{efac } C \neq C$

shows $\text{efac } C \prec_c C$ **and** $\{\text{efac } C\} \models_e \{C\}$
 $\langle \text{proof} \rangle$

end

end

theory *ORD-RES-2*

imports

ORD-RES

Exhaustive-Factorization

begin

15 ORD-RES-2 (full factorization)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-2* **where**

factoring:

is-least-false-clause $(N \mid \cup \mid U_r \mid \cup \mid U_{ef}) C \implies$

linorder-lit.is-maximal-in-mset $C L \implies$

is-pos $L \implies$

$U_{ef}' = \text{finsert } (\text{efac } C) U_{ef} \implies$

ord-res-2 $N (U_r, U_{ef}) (U_r, U_{ef}') \mid$

resolution:

is-least-false-clause $(N \mid \cup \mid U_r \mid \cup \mid U_{ef}) C \implies$

linorder-lit.is-maximal-in-mset $C L \implies$

is-neg $L \implies$

$D \mid \in \mid N \mid \cup \mid U_r \mid \cup \mid U_{ef} \implies$

$D \prec_c C \implies$

ord-res.production $(\text{fset } (N \mid \cup \mid U_r \mid \cup \mid U_{ef})) D = \{\text{atm-of } L\} \implies$

ord-res.ground-resolution $C D CD \implies$

$U_r' = \text{finsert } CD U_r \implies$

ord-res-2 $N (U_r, U_{ef}) (U_r', U_{ef})$

inductive *ord-res-2-step* **where**

ord-res-2 $N S S' \implies \text{ord-res-2-step } (N, S) (N, S')$

inductive *ord-res-2-final* **where**

$ord\text{-}res\text{-}final (N \mid\cup\mid U_r \mid\cup\mid U_{ef}) \implies ord\text{-}res\text{-}2\text{-}final (N, (U_r, U_{ef}))$

inductive *ord-res-2-load* **where**

$N \neq \{\mid\} \implies ord\text{-}res\text{-}2\text{-}load N (N, (\{\mid\}, \{\mid\}))$

sublocale *ord-res-2-semantic: semantics* **where**

step = *ord-res-2-step* **and**

final = *ord-res-2-final*

<proof>

sublocale *ord-res-2-language: language* **where**

step = *ord-res-2-step* **and**

final = *ord-res-2-final* **and**

load = *ord-res-2-load*

<proof>

lemma *is-least-in-fset-with-irrelevant-clauses-if-is-least-in-fset:*

assumes

irrelevant: $\forall D \mid\in\mid N'. \exists E \mid\in\mid N. E \subset\# D \wedge set\text{-}mset D = set\text{-}mset E$ **and**

C-least: $linorder\text{-}cls.is\text{-}least\text{-}in\text{-}fset \{ \{C \mid\in\mid N. \neg ord\text{-}res\text{-}Interp (fset N) C \mid\} C \}$

shows $linorder\text{-}cls.is\text{-}least\text{-}in\text{-}fset \{ \{C \mid\in\mid N \mid\cup\mid N'. \neg ord\text{-}res\text{-}Interp (fset (N \mid\cup\mid N')) C \mid\} C \}$

<proof>

primrec *fset-upto* :: $nat \Rightarrow nat \Rightarrow nat \text{ fset}$ **where**

fset-upto i $0 = (if\ i = 0\ then\ \{ \mid 0 \mid\} \ else\ \{ \mid \mid \}) \mid$

fset-upto i $(Suc\ n) = (if\ i \leq Suc\ n\ then\ finsert\ (Suc\ n)\ (fset\text{-}upto\ i\ n)\ else\ \{ \mid \mid \})$

lemma

fset-upto 0 $0 = \{ \mid 0 \mid \}$

fset-upto 0 $1 = \{ \mid 0, 1 \mid \}$

fset-upto 0 $2 = \{ \mid 0, 1, 2 \mid \}$

fset-upto 0 $3 = \{ \mid 0, 1, 2, 3 \mid \}$

fset-upto 1 $3 = \{ \mid 1, 2, 3 \mid \}$

fset-upto 2 $3 = \{ \mid 2, 3 \mid \}$

fset-upto 3 $3 = \{ \mid 3 \mid \}$

fset-upto 4 $3 = \{ \mid \mid \}$

<proof>

lemma $i \leq 1 + j \implies List.\text{upto}\ i\ (1 + j) = List.\text{upto}\ i\ j\ @\ [1 + j]$

<proof>

lemma *fset-of-append-singleton*: $fset\text{-of}\text{-list}\ (xs\ @\ [x]) = finsert\ x\ (fset\text{-of}\text{-list}\ xs)$

<proof>

lemma *fset-of-list* $(List.\text{upto}\ (int\ i)\ (int\ j)) = int\ \mid\uparrow\ fset\text{-upto}\ i\ j$

<proof>

lemma *fset-fset-upto[simp]*: $fset (fset-upto m n) = \{m..n\}$
 ⟨proof⟩

lemma *minus-mset-replicate-strict-subset-minus-msetI*:
assumes $m < n$ **and** $n < count C L$
shows $C - replicate-mset n L \subset\# C - replicate-mset m L$
 ⟨proof⟩

lemma *factoring-all-is-between-efac-and-original-clause*:
fixes z
assumes
 $is-pos L$ **and** $ord-res.is-maximal-lit L C$ **and** $count C L = Suc (Suc n)$
 $m' \leq n$ **and**
 $z-in: z \in (\lambda i. C - replicate-mset i L) \mid^q fset-upto 0 m'$
shows $efac C \subset\# z$ **and** $z \subseteq\# C$
 ⟨proof⟩

lemma
assumes
 $linorder-cls.is-least-in-fset \{x \in N1. P N1 x\} x$ **and**
 $linorder-cls.is-least-in-fset N2 y$ **and**
 $\forall z \in N2. z \preceq_c x$ **and**
 $P (N1 \mid\cup N2) y$ **and**
 $\forall z \in N1. z \prec_c x \longrightarrow \neg P (N1 \mid\cup N2) z$
shows $linorder-cls.is-least-in-fset \{x \in N1 \mid\cup N2. P (N1 \mid\cup N2) x\} y$
 ⟨proof⟩

lemma *ground-factoring-preserves-efac*:
assumes $ord-res.ground-factoring P C$
shows $efac P = efac C$
 ⟨proof⟩

lemma *ground-factorings-preserves-efac*:
assumes $ord-res.ground-factoring^{**} P C$
shows $efac P = efac C$
 ⟨proof⟩

lemma *ex-ord-res-2-if-not-final*:
assumes $\neg ord-res-2-final S$
shows $\exists S'. ord-res-2-step S S'$
 ⟨proof⟩

corollary *ord-res-2-step-safe*: $ord-res-2-final S \vee (\exists S'. ord-res-2-step S S')$
 ⟨proof⟩

lemma *is-least-false-clause-if-is-least-false-clause-in-union-unproductive*:
assumes
 $N2-unproductive: \forall C \in N2. ord-res.production (fset (N1 \mid\cup N2)) C = \{\}$

and

C-in: $C \in N1$ **and**

C-least-false: *is-least-false-clause* ($N1 \cup N2$) C

shows *is-least-false-clause* $N1 C$

<proof>

lemma *ground-factoring-replicate-max-pos-lit*:

ord-res.ground-factoring

$(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$

$(C_0 + \text{replicate-mset } (\text{Suc } n) (\text{Pos } A))$

if *ord-res.is-maximal-lit* ($\text{Pos } A$) $(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$

for $A C_0 n$

<proof>

lemma *ground-factorings-replicate-max-pos-lit*:

assumes

ord-res.is-maximal-lit ($\text{Pos } A$) $(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$

shows $m \leq \text{Suc } n \implies (\text{ord-res.ground-factoring } \widetilde{m})$

$(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$

$(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n - m)) (\text{Pos } A))$

<proof>

lemma *ord-res-Interp-entails-if-greatest-lit-is-pos*:

assumes *C-in*: $C \in N$ **and** *L-greatest*: *linorder-lit.is-greatest-in-mset* $C L$ **and**

L-pos: *is-pos* L

shows *ord-res-Interp* $N C \models C$

<proof>

lemma *right-unique-ord-res-2*: *right-unique* (*ord-res-2* N)

<proof>

lemma *right-unique-ord-res-2-step*: *right-unique* *ord-res-2-step*

<proof>

end

end

theory *Exhaustive-Resolution*

imports *Background*

begin

16 Function for full resolution

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *ground-resolution* **where**

ground-resolution $D C CD = \text{ord-res.ground-resolution } C D CD$

lemma *Uniq-ground-resolution*: $\exists_{\leq 1} DC$. *ground-resolution* $D C DC$

<proof>

lemma *ground-resolution-terminates: wfP (ground-resolution D)⁻¹⁻¹*
<proof>

lemma *not-ground-resolution-mempty-left: \neg ground-resolution {#} C x*
<proof>

lemma *not-ground-resolution-mempty-right: \neg ground-resolution C {#} x*
<proof>

lemma *not-tranclp-ground-resolution-mempty-left: \neg (ground-resolution {#})⁺⁺ C x*
<proof>

lemma *not-tranclp-ground-resolution-mempty-right: \neg (ground-resolution C)⁺⁺ {#} x*
<proof>

lemma *left-premise-lt-right-premise-if-ground-resolution:*
ground-resolution D C DC \implies D \prec_c C
<proof>

lemma *left-premise-lt-right-premise-if-tranclp-ground-resolution:*
(ground-resolution D)⁺⁺ C DC \implies D \prec_c C
<proof>

lemma *resolvent-lt-right-premise-if-ground-resolution:*
ground-resolution D C DC \implies DC \prec_c C
<proof>

lemma *resolvent-lt-right-premise-if-tranclp-ground-resolution:*
(ground-resolution D)⁺⁺ C DC \implies DC \prec_c C
<proof>

Exhaustive resolution

definition *eres where*

eres D C = (THE DC. full-run (ground-resolution D) C DC)

The function *eres* performs exhaustive resolution between its two input clauses. The first clause is repeatedly used, while the second clause is only use to start the resolution chain.

lemma *eres-ident-iff: eres D C = C \longleftrightarrow (\exists DC. ground-resolution D C DC)*
<proof>

lemma

assumes

step1: ground-resolution D C DC and

stuck: \exists DDC. ground-resolution D DC DDC

shows $eres\ D\ C = DC$
<proof>

lemma

assumes

step1: ground-resolution D C DC and

step2: ground-resolution D DC DDC and

stuck: \nexists DDDC. ground-resolution D DDC DDDC

shows $eres\ D\ C = DDC$

<proof>

lemma

assumes

step1: ground-resolution D C DC and

step2: ground-resolution D DC DDC and

step3: ground-resolution D DDC DDDC and

stuck: \nexists DDDDC. ground-resolution D DDDC DDDDC

shows $eres\ D\ C = DDDC$

<proof>

lemma *eres-empty-left[simp]:* $eres\ \{\#\}\ C = C$

<proof>

lemma *eres-empty-right[simp]:* $eres\ C\ \{\#\} = \{\#\}$

<proof>

lemma *ex1-eres-eq-full-run-ground-resolution:* $\exists! DC. eres\ D\ C = DC \wedge full-run$
(ground-resolution D) C DC

<proof>

lemma *eres-le:* $eres\ D\ C \preceq_c C$

<proof>

lemma *clause-lt-clause-if-max-lit-comp:*

assumes *E-max-lit: linorder-lit.is-maximal-in-mset E L and L-neg: is-neg L and*

D-max-lit: linorder-lit.is-maximal-in-mset D (- L)

shows $D \prec_c E$

<proof>

lemma *eres-lt-if:*

assumes *E-max-lit: ord-res.is-maximal-lit L E and L-neg: is-neg L and*

D-max-lit: linorder-lit.is-greatest-in-mset D (- L)

shows $eres\ D\ E \prec_c E$

<proof>

lemma *eres-eq-after-ground-resolution:*

assumes *ground-resolution D C DC*

shows $eres\ D\ C = eres\ D\ DC$

<proof>

lemma *eres-eq-after-rtranclp-ground-resolution:*

assumes $(\text{ground-resolution } D)^{**} C DC$

shows $\text{eres } D C = \text{eres } D DC$

$\langle \text{proof} \rangle$

lemma *eres-eq-after-tranclp-ground-resolution:*

assumes $(\text{ground-resolution } D)^{++} C DC$

shows $\text{eres } D C = \text{eres } D DC$

$\langle \text{proof} \rangle$

lemma *resolvable-if-neq-eres:*

assumes $C \neq \text{eres } D C$

shows $\exists ! DC. \text{ground-resolution } D C DC$

$\langle \text{proof} \rangle$

lemma *nex-maximal-pos-lit-if-resolvable:*

assumes $\text{ground-resolution } D C DC$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-maximal-lit } L C$

$\langle \text{proof} \rangle$

corollary *nex-strictly-maximal-pos-lit-if-resolvable:*

assumes $\text{ground-resolution } D C DC$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$

$\langle \text{proof} \rangle$

corollary *nex-maximal-pos-lit-if-neq-eres:*

assumes $C \neq \text{eres } D C$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-maximal-lit } L C$

$\langle \text{proof} \rangle$

corollary *nex-strictly-maximal-pos-lit-if-neq-eres:*

assumes $C \neq \text{eres } D C$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$

$\langle \text{proof} \rangle$

lemma *ground-resolutionD:*

assumes $\text{ground-resolution } D C DC$

shows $\exists m A D' C'.$

$\text{linorder-lit.is-greatest-in-mset } D (\text{Pos } A) \wedge$

$\text{linorder-lit.is-maximal-in-mset } C (\text{Neg } A) \wedge$

$D = \text{add-mset } (\text{Pos } A) D' \wedge$

$C = \text{replicate-mset } (\text{Suc } m) (\text{Neg } A) + C' \wedge \text{Neg } A \notin \# C' \wedge$

$DC = D' + \text{replicate-mset } m (\text{Neg } A) + C'$

$\langle \text{proof} \rangle$

lemma *relpowp-ground-resolutionD:*

assumes $n \neq 0$ **and** $(\text{ground-resolution } D \rightsquigarrow n) C DnC$

shows $\exists m A D' C'. \text{Suc } m \geq n \wedge$

$linorder\text{-}lit.is.greatest\text{-}in.mset\ D\ (Pos\ A) \wedge$
 $linorder\text{-}lit.is.maximal\text{-}in.mset\ C\ (Neg\ A) \wedge$
 $D = add.mset\ (Pos\ A)\ D' \wedge$
 $C = replicate.mset\ (Suc\ m)\ (Neg\ A) + C' \wedge Neg\ A \notin\# C' \wedge$
 $DnC = repeat.mset\ n\ D' + replicate.mset\ (Suc\ m - n)\ (Neg\ A) + C'$
 <proof>

lemma *trancpl-ground-resolutionD*:

assumes $(ground\text{-}resolution\ D)^{++}\ C\ DnC$
shows $\exists n\ m\ A\ D'\ C'.\ Suc\ m \geq Suc\ n \wedge$
 $linorder\text{-}lit.is.greatest\text{-}in.mset\ D\ (Pos\ A) \wedge$
 $linorder\text{-}lit.is.maximal\text{-}in.mset\ C\ (Neg\ A) \wedge$
 $D = add.mset\ (Pos\ A)\ D' \wedge$
 $C = replicate.mset\ (Suc\ m)\ (Neg\ A) + C' \wedge Neg\ A \notin\# C' \wedge$
 $DnC = repeat.mset\ (Suc\ n)\ D' + replicate.mset\ (Suc\ m - Suc\ n)\ (Neg\ A) +$
 C'
 <proof>

lemma *eres-not-identD*:

assumes $eres\ D\ C \neq C$
shows $\exists m\ A\ D'\ C'.$
 $linorder\text{-}lit.is.greatest\text{-}in.mset\ D\ (Pos\ A) \wedge$
 $linorder\text{-}lit.is.maximal\text{-}in.mset\ C\ (Neg\ A) \wedge$
 $D = add.mset\ (Pos\ A)\ D' \wedge$
 $C = replicate.mset\ (Suc\ m)\ (Neg\ A) + C' \wedge Neg\ A \notin\# C' \wedge$
 $eres\ D\ C = repeat.mset\ (Suc\ m)\ D' + C'$
 <proof>

lemma *lit-in-one-of-resolvents-if-in-eres*:

fixes $L :: 'f\ gterm\ literal$ **and** $C\ D :: 'f\ gclause$
assumes $L \in\# eres\ C\ D$
shows $L \in\# C \vee L \in\# D$
 <proof>

lemma *strong-lit-in-one-of-resolvents-if-in-eres*:

fixes $L :: 'f\ gterm\ literal$ **and** $C\ D :: 'f\ gclause$
assumes
 $D\text{-max-lit: } linorder\text{-}lit.is.maximal\text{-}in.mset\ D\ L$ **and**
 $K\text{-in: } K \in\# eres\ C\ D$
shows $K \in\# C \wedge K \neq -L \vee K \in\# D$
 <proof>

lemma *stronger-lit-in-one-of-resolvents-if-in-eres*:

fixes $K\ L :: 'f\ gterm\ literal$ **and** $C\ D :: 'f\ gclause$
assumes $eres\ C\ D \neq D$ **and**
 $D\text{-max-lit: } linorder\text{-}lit.is.maximal\text{-}in.mset\ D\ L$ **and**
 $K\text{-in-eres: } K \in\# eres\ C\ D$
shows $K \in\# C \wedge K \neq -L \vee K \in\# D \wedge K \neq L$

<proof>

lemma *lit-in-eres-lt-greatest-lit-in-greatest-resolvent:*

fixes $K L :: 'f \text{ gterm literal}$ **and** $C D :: 'f \text{ gclause}$

assumes $\text{eres } C D \neq D$ **and**

$D\text{-max-lit: linorder-lit.is-maximal-in-mset } D L$ **and**

$- L \notin \# D$ **and**

$K\text{-in-eres: } K \in \# \text{eres } C D$

shows $\text{atm-of } K \prec_t \text{atm-of } L$

<proof>

lemma *eres-entails-resolvent:*

fixes $C D :: 'f \text{ gterm clause}$

assumes $(\text{ground-resolution } C)^{++} D_0 D$

shows $\{\text{eres } C D_0\} \models_e \{D\}$

<proof>

lemma *clause-true-if-resolved-true:*

assumes

$(\text{ground-resolution } D)^{++} C DC$ **and**

$D\text{-productive: ord-res.production } N D \neq \{\}$ **and**

$C\text{-true: ord-res-Interp } N DC \models DC$

shows $\text{ord-res-Interp } N C \models C$

<proof>

lemma *clause-true-if-eres-true:*

assumes

$(\text{ground-resolution } D1)^{++} D2 C$ **and**

$C \neq \text{eres } D1 C$ **and**

$\text{eres-}C\text{-true: ord-res-Interp } N (\text{eres } D1 C) \models \text{eres } D1 C$

shows $\text{ord-res-Interp } N C \models C$

<proof>

end

end

theory *ORD-RES-3*

imports

ORD-RES

Exhaustive-Factorization

Exhaustive-Resolution

begin

17 ORD-RES-3 (full resolve)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive ord-res-3 where*factoring:*

$is\text{-least-false-clause } (N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}) C \implies$
 $linorder\text{-lit.is-maximal-in-mset } C L \implies$
 $is\text{-pos } L \implies$
 $U_{ef}' = \text{finsert } (efac C) U_{ef} \implies$
 $ord\text{-res-3 } N (U_{er}, U_{ef}) (U_{er}, U_{ef}') \mid$

resolution:

$is\text{-least-false-clause } (N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}) C \implies$
 $linorder\text{-lit.is-maximal-in-mset } C L \implies$
 $is\text{-neg } L \implies$
 $D \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef} \implies$
 $D \prec_c C \implies$
 $ord\text{-res.production } (fset (N \mid \cup \mid U_{er} \mid \cup \mid U_{ef})) D = \{atm\text{-of } L\} \implies$
 $U_{er}' = \text{finsert } (eres D C) U_{er} \implies$
 $ord\text{-res-3 } N (U_{er}, U_{ef}) (U_{er}', U_{ef})$

inductive ord-res-3-step where
 $ord\text{-res-3 } N s s' \implies ord\text{-res-3-step } (N, s) (N, s')$
inductive ord-res-3-final where
 $ord\text{-res-final } (N \mid \cup \mid U_{rr} \mid \cup \mid U_{ef}) \implies ord\text{-res-3-final } (N, (U_{rr}, U_{ef}))$
inductive ord-res-3-load where
 $N \neq \{\mid\} \implies ord\text{-res-3-load } N (N, (\{\mid\}, \{\mid\}))$
sublocale ord-res-3-semantic: semantics where
 $step = ord\text{-res-3-step}$ **and**
 $final = ord\text{-res-3-final}$
*<proof>***sublocale ord-res-3-language: language where**
 $step = ord\text{-res-3-step}$ **and**
 $final = ord\text{-res-3-final}$ **and**
 $load = ord\text{-res-3-load}$
*<proof>***lemma is-least-false-clause-conv-if-partial-resolution-invariant:**

assumes $\forall C \mid \in \mid U_{pr}. \exists D1 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}. \exists D2 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}.$
 $(ground\text{-resolution } D1)^{++} D2 C \wedge C \neq eres D1 D2 \wedge eres D1 D2 \mid \in \mid U_{er}$

shows $is\text{-least-false-clause } (N \mid \cup \mid U_{pr} \mid \cup \mid U_{er} \mid \cup \mid U_{ef}) = is\text{-least-false-clause}$
 $(N \mid \cup \mid U_{er} \mid \cup \mid U_{ef})$

*<proof>***lemma right-unique-ord-res-3: right-unique (ord-res-3 N)***<proof>***lemma right-unique-ord-res-3-step: right-unique ord-res-3-step**

<proof>

lemma *ex-ord-res-3-if-not-final*:

assumes $\neg \text{ord-res-3-final } S$

shows $\exists S'. \text{ord-res-3-step } S S'$

<proof>

corollary *ord-res-3-step-safe*: $\text{ord-res-3-final } S \vee (\exists S'. \text{ord-res-3-step } S S')$

<proof>

end

end

theory *Implicit-Exhaustive-Factorization*

imports

Exhaustive-Factorization

Exhaustive-Resolution

begin

18 Function for implicit full factorization

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *iefac* **where**

iefac $\mathcal{F} C = (\text{if } C \in \mathcal{F} \text{ then } \text{efac } C \text{ else } C)$

lemma *iefac-empty[simp]*:

fixes $\mathcal{F} :: 'f \text{ gclause fset}$

shows $\text{iefac } \mathcal{F} \{\#\} = \{\#\}$

<proof>

lemma *fset-mset-iefac[simp]*:

fixes $\mathcal{F} :: 'f \text{ gclause fset}$ **and** $C :: 'f \text{ gclause}$

shows $\text{fset-mset } (\text{iefac } \mathcal{F} C) = \text{fset-mset } C$

<proof>

lemma *atms-of-cls-iefac[simp]*:

fixes $\mathcal{F} :: 'f \text{ gclause fset}$ **and** $C :: 'f \text{ gclause}$

shows $\text{atms-of-cls } (\text{iefac } \mathcal{F} C) = \text{atms-of-cls } C$

<proof>

lemma *iefac-le*:

fixes $\mathcal{F} :: 'f \text{ gclause fset}$ **and** $C :: 'f \text{ gclause}$

shows $\text{iefac } \mathcal{F} C \preceq_c C$

<proof>

lemma *true-cls-iefac-iff[simp]*:

fixes $\mathcal{I} :: 'f \text{ gterm set}$ **and** $\mathcal{F} :: 'f \text{ gclause fset}$ **and** $C :: 'f \text{ gclause}$

shows $\mathcal{I} \models \text{iefac } \mathcal{F} C \longleftrightarrow \mathcal{I} \models C$

<proof>

lemma *union-union-eq-union-union-fimage-iefac-if:*

assumes $U_{ef}\text{-eq}: U_{ef} = \text{iefac } \mathcal{F} \mid \uparrow \{ \{ C \mid \in \mid N \mid \cup \mid U_{er}. \text{iefac } \mathcal{F} \ C \neq C \} \}$

shows $N \mid \cup \mid U_{er} \mid \cup \mid U_{ef} = N \mid \cup \mid U_{er} \mid \cup \mid (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))$

<proof>

lemma *clauses-for-iefac-are-unproductive:*

$\forall C \mid \in \mid N \mid - \mid \text{iefac } \mathcal{F} \mid \uparrow \mid N. \forall U. \text{ord-res.production } U \ C = \{ \}$

<proof>

lemma *clauses-for-iefac-have-smaller-entailing-clause:*

$\forall C \mid \in \mid N \mid - \mid \text{iefac } \mathcal{F} \mid \uparrow \mid N. \exists D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid N. D \prec_c C \wedge \{ D \} \models_e \{ C \}$

<proof>

lemma *is-least-false-clause-with-iefac-conv:*

is-least-false-clause $(N \mid \cup \mid U_{er} \mid \cup \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) =$

is-least-false-clause $(\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))$

<proof>

lemma *MAGIC4:*

fixes $N \ \mathcal{F} \ \mathcal{F}' \ U_{er} \ U_{er}'$

defines

$N1 \equiv \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})$ **and**

$N2 \equiv \text{iefac } \mathcal{F}' \mid \uparrow (N \mid \cup \mid U_{er}')$

assumes

subsets-agree: $\{ \{ x \mid \in \mid N1. x \prec_c C \} \} = \{ \{ x \mid \in \mid N2. x \prec_c C \} \}$ **and**

is-least-false-clause $N1 \ D$ **and**

is-least-false-clause $N2 \ E$ **and**

$C \prec_c D$

shows $C \preceq_c E$

<proof>

lemma *atms-of-clss-fimage-iefac[simp]:*

atms-of-clss $(\text{iefac } \mathcal{F} \mid \uparrow N) = \text{atms-of-clss } N$

<proof>

lemma *atm-of-in-atms-of-clssI:*

assumes $L\text{-in}: L \in \# \ C$ **and** $C\text{-in}: C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid N$

shows *atm-of* $L \mid \in \mid \text{atms-of-clss } N$

<proof>

lemma *clause-almost-almost-definedI:*

fixes $\Gamma \ D \ K$

assumes

$D\text{-in}: D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})$ **and**

$D\text{-max-lit}: \text{ord-res.is-maximal-lit } K \ D$ **and**

no-undef-atm: $\neg (\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } K \wedge A \notin | \text{trail-atms } \Gamma)$
shows *trail-defined-cl*s $\Gamma \{ \#L \in \# D. L \neq K \wedge L \neq -K \# \}$
<proof>

lemma *clause-almost-definedI*:

fixes $\Gamma D K$
assumes
D-in: $D | \in | \text{iefac } \mathcal{F} \uparrow (N \cup U_{er})$ **and**
D-max-lit: *ord-res.is-maximal-lit* $K D$ **and**
no-undef-atm: $\neg (\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } K \wedge A \notin | \text{trail-atms } \Gamma)$ **and**
K-defined: *trail-defined-lit* ΓK
shows *trail-defined-cl*s $\Gamma \{ \#Ka \in \# D. Ka \neq K \# \}$
<proof>

lemma *eres-not-in-known-clauses-if-trail-false-cl*s:

fixes
 $\mathcal{F} :: 'f \text{ gclause fset}$ **and**
 $\Gamma :: ('f \text{ gliteral} \times 'f \text{ gclause option}) \text{ list}$
assumes
 Γ -*consistent*: *trail-consistent* Γ **and**
clauses-lt-E-true: $\forall C | \in | \text{iefac } \mathcal{F} \uparrow (N \cup U_{er}). C \prec_c E \longrightarrow \text{trail-true-cl} \Gamma$
C **and**
eres $D E \prec_c E$ **and**
*trail-false-cl*s Γ (*eres* $D E$)
shows *eres* $D E \notin | N \cup U_{er}$
<proof>

lemma *no-undefined-atom-le-max-lit-of-false-clause*:

assumes
 Γ -*lower-set*: *linorder-trm.is-lower-fset* (*trail-atms* Γ) (*atms-of-clss* $(N \cup U_{er})$)
and
D-in: $D | \in | \text{iefac } \mathcal{F} \uparrow (N \cup U_{er})$ **and**
D-false: *trail-false-cl*s ΓD **and**
D-max-lit: *linorder-lit.is-maximal-in-mset* $D L$
shows $\neg (\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \preceq_t \text{atm-of } L \wedge A \notin | \text{trail-atms } \Gamma)$
<proof>

lemma *trail-defined-if-no-undef-atom-le-max-lit*:

assumes
C-in: $C | \in | \text{iefac } \mathcal{F} \uparrow (N \cup U_{er})$ **and**
C-max-lit: *linorder-lit.is-maximal-in-mset* $C K$ **and**
no-undef-atom-le-K:
 $\neg (\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \preceq_t \text{atm-of } K \wedge A \notin | \text{trail-atms } \Gamma)$
shows *trail-defined-cl*s ΓC
<proof>

lemma *no-undef-atom-le-max-lit-if-lt-false-clause*:

assumes
 Γ -lower-set: *linorder-trm.is-lower-fset* (*trail-atms* Γ) (*atms-of-clss* ($N \mid \cup \mid U_{er}$))
and
D-in: $D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er})$ **and**
D-false: *trail-false-cls* Γ D **and**
D-max-lit: *linorder-lit.is-maximal-in-mset* D L **and**
C-in: $C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er})$ **and**
C-max-lit: *linorder-lit.is-maximal-in-mset* C K **and**
C-lt: $C \prec_c D$
shows $\neg (\exists A \mid \in \mid \text{atms-of-clss} (N \mid \cup \mid U_{er}). A \preceq_t \text{atm-of } K \wedge A \mid \notin \mid \text{trail-atms } \Gamma)$
 $\langle \text{proof} \rangle$

lemma *bex-trail-false-cls-simp*:
fixes $\mathcal{F} N \Gamma$
shows $fBex (\text{iefac } \mathcal{F} \mid \uparrow \mid N) (\text{trail-false-cls } \Gamma) \longleftrightarrow fBex N (\text{trail-false-cls } \Gamma)$
 $\langle \text{proof} \rangle$

end

end

theory *ORD-RES-4*

imports

ORD-RES

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

begin

19 ORD-RES-4 (implicit factorization)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-4* **where**

factoring:

$NN = \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}) \implies$

is-least-false-clause NN $C \implies$

linorder-lit.is-maximal-in-mset C $L \implies$

is-pos $L \implies$

$\mathcal{F}' = \text{finsert } C \mathcal{F} \implies$

ord-res-4 $N (U_{er}, \mathcal{F}) (U_{er}, \mathcal{F}') \mid$

resolution:

$NN = \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}) \implies$

is-least-false-clause NN $C \implies$

linorder-lit.is-maximal-in-mset C $L \implies$

is-neg $L \implies$

$D \mid \in \mid NN \implies$

$D \prec_c C \implies$

ord-res.production (*fset* NN) $D = \{\text{atm-of } L\} \implies$

$U_{er}' = \text{finsert } (\text{eres } D C) U_{er} \implies$

ord-res-4 $N (U_{er}, \mathcal{F}) (U_{er}', \mathcal{F})$

inductive *ord-res-4-step* **where**

ord-res-4 $N s s' \implies \text{ord-res-4-step } (N, s) (N, s')$

inductive *ord-res-4-final* **where**

ord-res-final (*iefac* $\mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})$) $\implies \text{ord-res-4-final } (N, U_{er}, \mathcal{F})$

sublocale *ord-res-4-semantic: semantics* **where**

step = *ord-res-4-step* **and**

final = *ord-res-4-final*

<proof>

lemma *right-unique-ord-res-4: right-unique* (*ord-res-4* N)

<proof>

lemma *right-unique-ord-res-4-step: right-unique ord-res-4-step*

<proof>

lemma *ex-ord-res-4-if-not-final:*

assumes $\neg \text{ord-res-4-final } S$

shows $\exists S'. \text{ord-res-4-step } S S'$

<proof>

corollary *ord-res-4-step-safe: ord-res-4-final* $S \vee (\exists S'. \text{ord-res-4-step } S S')$

<proof>

end

end

theory *ORD-RES-5*

imports

Background

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

begin

20 ORD-RES-5 (explicit model construction)

type-synonym *'f ord-res-5* = *'f gclause fset* \times *'f gclause fset* \times *'f gclause fset* \times
(*'f gterm* \implies *'f gclause option*) \times *'f gclause option*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-5* **where**

skip:

(*dom* \mathcal{M}) $\models C \implies$

$C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})\}.$

$C \prec_c D\}) \implies$

$ord\text{-}res\text{-}5\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)\ (U_{er}, \mathcal{F}, \mathcal{M}, C')\ |$

production:

$\neg\ (dom\ \mathcal{M})\ \models\ C\ \Longrightarrow$
 $linorder\text{-}lit.\text{is-maximal-in-mset}\ C\ L\ \Longrightarrow$
 $is\text{-}pos\ L\ \Longrightarrow$
 $linorder\text{-}lit.\text{is-greatest-in-mset}\ C\ L\ \Longrightarrow$
 $\mathcal{M}' = \mathcal{M}(\text{atm-of } L := \text{Some } C)\ \Longrightarrow$
 $C' = \text{The-optional}\ (linorder\text{-}cls.\text{is-least-in-fset}\ \{|D|\in|\ \text{iefac } \mathcal{F}\ |\uparrow\ (N\ |\cup|\ U_{er}).$
 $C\ \prec_c\ D\})\ \Longrightarrow$
 $ord\text{-}res\text{-}5\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)\ (U_{er}, \mathcal{F}, \mathcal{M}', C')\ |$

factoring:

$\neg\ (dom\ \mathcal{M})\ \models\ C\ \Longrightarrow$
 $linorder\text{-}lit.\text{is-maximal-in-mset}\ C\ L\ \Longrightarrow$
 $is\text{-}pos\ L\ \Longrightarrow$
 $\neg\ linorder\text{-}lit.\text{is-greatest-in-mset}\ C\ L\ \Longrightarrow$
 $\mathcal{F}' = \text{finsert}\ C\ \mathcal{F}\ \Longrightarrow$
 $\mathcal{M}' = (\lambda\cdot.\ \text{None})\ \Longrightarrow$
 $C' = \text{The-optional}\ (linorder\text{-}cls.\text{is-least-in-fset}\ (\text{iefac } \mathcal{F}'\ |\uparrow\ (N\ |\cup|\ U_{er})))\ \Longrightarrow$
 $ord\text{-}res\text{-}5\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)\ (U_{er}, \mathcal{F}', \mathcal{M}', C')\ |$

resolution:

$\neg\ (dom\ \mathcal{M})\ \models\ C\ \Longrightarrow$
 $linorder\text{-}lit.\text{is-maximal-in-mset}\ C\ L\ \Longrightarrow$
 $is\text{-}neg\ L\ \Longrightarrow$
 $\mathcal{M}\ (\text{atm-of } L) = \text{Some } D\ \Longrightarrow$
 $U_{er}' = \text{finsert}\ (\text{eres } D\ C)\ U_{er}\ \Longrightarrow$
 $\mathcal{M}' = (\lambda\cdot.\ \text{None})\ \Longrightarrow$
 $C' = \text{The-optional}\ (linorder\text{-}cls.\text{is-least-in-fset}\ (\text{iefac } \mathcal{F}\ |\uparrow\ (N\ |\cup|\ U_{er}')))\ \Longrightarrow$
 $ord\text{-}res\text{-}5\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)\ (U_{er}', \mathcal{F}, \mathcal{M}', C')$

inductive $ord\text{-}res\text{-}5\text{-}step :: 'f\ ord\text{-}res\text{-}5\ \Rightarrow\ 'f\ ord\text{-}res\text{-}5\ \Rightarrow\ bool$ **where**
 $ord\text{-}res\text{-}5\ N\ s\ s' \Longrightarrow ord\text{-}res\text{-}5\text{-}step\ (N, s)\ (N, s')$

lemma $tranclp\text{-}ord\text{-}res\text{-}5\text{-}step\text{-}if\text{-}tranclp\text{-}ord\text{-}res\text{-}5$:
 $(ord\text{-}res\text{-}5\ N)^{++}\ s\ s' \Longrightarrow ord\text{-}res\text{-}5\text{-}step^{++}\ (N, s)\ (N, s')$
<proof>

inductive $ord\text{-}res\text{-}5\text{-}final :: 'f\ ord\text{-}res\text{-}5\ \Rightarrow\ bool$ **where**
model-found:

$ord\text{-}res\text{-}5\text{-}final\ (N, U_{er}, \mathcal{F}, \mathcal{M}, \text{None})\ |$

contradiction-found:

$ord\text{-}res\text{-}5\text{-}final\ (N, U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } \{\#\})$

sublocale $ord\text{-}res\text{-}5\text{-}semantics$: *semantics* **where**
 $step = ord\text{-}res\text{-}5\text{-}step$ **and**
 $final = ord\text{-}res\text{-}5\text{-}final$

<proof>

lemma *right-unique-ord-res-5: right-unique (ord-res-5 N)*

<proof>

lemma *right-unique-ord-res-5-step: right-unique ord-res-5-step*

<proof>

definition *next-clause-in-factorized-clause where*

next-clause-in-factorized-clause N s \longleftrightarrow

($\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) \longrightarrow C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})$)

lemma *next-clause-in-factorized-clause:*

assumes *step: ord-res-5 N s s'*

shows *next-clause-in-factorized-clause N s'*

<proof>

definition *implicitly-factorized-clauses-subset where*

implicitly-factorized-clauses-subset N s \longleftrightarrow

($\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, C) \longrightarrow \mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er}$)

lemma *ord-res-5-preserves-implicitly-factorized-clauses-subset:*

assumes

step: ord-res-5 N s s' and

invars:

implicitly-factorized-clauses-subset N s and

next-clause-in-factorized-clause N s

shows *implicitly-factorized-clauses-subset N s'*

<proof>

lemma *interp-eq-Interp-if-least-greater:*

assumes

C-in: C $\mid \in \mid NN$ and

D-least-gt-C: linorder-cls.is-least-in-fset (ffilter ((\prec_c) C) NN) D

shows *ord-res.interp (fset NN) D = ord-res.interp (fset NN) C \cup ord-res.production (fset NN) C*

<proof>

lemma *interp-eq-empty-if-least-in-set:*

assumes *linorder-cls.is-least-in-set N C*

shows *ord-res.interp N C = {}*

<proof>

definition *model-eq-interp-upto-next-clause where*

model-eq-interp-upto-next-clause N s \longleftrightarrow

($\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) \longrightarrow$

dom $\mathcal{M} = \text{ord-res.interp (fset (iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) C$)

lemma *model-eq-interp-upto-next-clause:*

assumes *step: ord-res-5* $N s s'$ **and**
invars:
model-eq-interp-upto-next-clause $N s$
next-clause-in-factorized-clause $N s$
shows *model-eq-interp-upto-next-clause* $N s'$
 $\langle \text{proof} \rangle$

definition *all-smaller-clauses-true-wrt-respective-Interp* **where**
all-smaller-clauses-true-wrt-respective-Interp $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $\text{ord-res-Interp } (fset (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) C \models C))$

lemma *all-smaller-clauses-true-wrt-respective-Interp:*
assumes *step: ord-res-5* $N s s'$ **and**
invars:
all-smaller-clauses-true-wrt-respective-Interp $N s$
model-eq-interp-upto-next-clause $N s$
next-clause-in-factorized-clause $N s$
shows *all-smaller-clauses-true-wrt-respective-Interp* $N s'$
 $\langle \text{proof} \rangle$

lemma *all-smaller-clauses-true-wrt-model:*
assumes
invars:
all-smaller-clauses-true-wrt-respective-Interp $N s$
model-eq-interp-upto-next-clause $N s$
shows $\forall U_{er} \mathcal{F} \mathcal{M} D. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D) \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c D \longrightarrow \text{dom } \mathcal{M} \models C)$
 $\langle \text{proof} \rangle$

definition *model-eq-sublocale* **where**
model-eq-sublocale $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \mathcal{M}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{None}) \longrightarrow$
 $(\text{let } NN = fset (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \text{ in } \text{dom } \mathcal{M} = \bigcup (\text{ord-res.production}$
 $NN \text{ ' } NN)))$

lemma *all-smaller-clauses-true-wrt-model-strong:*
assumes
invars:
all-smaller-clauses-true-wrt-respective-Interp $N s$
model-eq-interp-upto-next-clause $N s$
model-eq-sublocale $N s$
shows $\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow \text{dom } \mathcal{M}$
 $\models C)$
 $\langle \text{proof} \rangle$

lemma *next-clause-lt-least-false-clause:*

assumes

invars:

all-smaller-clauses-true-wrt-respective-Interp $N s$

model-eq-interp-upto-next-clause $N s$

shows $\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) \longrightarrow$

$(\forall D. \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) D \longrightarrow C \preceq_c D)$

<proof>

definition *atoms-in-model-were-produced* **where**

atoms-in-model-were-produced $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, C) \longrightarrow (\forall A C. \mathcal{M} A = \text{Some } C \longrightarrow$

$A \in \text{ord-res.production } (\text{fset } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) C))$

lemma *atoms-in-model-were-produced:*

assumes *step: ord-res-5* $N s s'$ **and**

invars:

atoms-in-model-were-produced $N s$

model-eq-interp-upto-next-clause $N s$

next-clause-in-factorized-clause $N s$

shows *atoms-in-model-were-produced* $N s'$

<proof>

definition *all-produced-atoms-in-model* **where**

all-produced-atoms-in-model $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M} D. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D) \longrightarrow (\forall C A. C \prec_c D \longrightarrow$

$A \in \text{ord-res.production } (\text{fset } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) C \longrightarrow \mathcal{M} A = \text{Some } C))$

lemma *all-produced-atoms-in-model:*

assumes *step: ord-res-5* $N s s'$ **and**

invars:

all-produced-atoms-in-model $N s$

model-eq-interp-upto-next-clause $N s$

next-clause-in-factorized-clause $N s$

shows *all-produced-atoms-in-model* $N s'$

<proof>

definition *ord-res-5-invars* **where**

ord-res-5-invars $N s \longleftrightarrow$

next-clause-in-factorized-clause $N s \wedge$

implicitly-factorized-clauses-subset $N s \wedge$

model-eq-interp-upto-next-clause $N s \wedge$

all-smaller-clauses-true-wrt-respective-Interp $N s \wedge$

atoms-in-model-were-produced $N s \wedge$

all-produced-atoms-in-model $N s$

lemma *ord-res-5-invars-initial-state:*

assumes

F-subset: $\mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er}$ **and**
C-least: *linorder-cl*.*is-least-in-fset* (*iefac* $\mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er})$) *C*
shows *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \text{Map.empty}, \text{Some } C)$
 ⟨*proof*⟩

lemma *ord-res-5-preserves-invars*:
assumes *step*: *ord-res-5* $N s s'$ **and** *invars*: *ord-res-5-invars* $N s$
shows *ord-res-5-invars* $N s'$
 ⟨*proof*⟩

lemma *rtranclp-ord-res-5-preserves-invars*:
assumes *steps*: $(\text{ord-res-5 } N)^{**} s s'$ **and** *invars*: *ord-res-5-invars* $N s$
shows *ord-res-5-invars* $N s'$
 ⟨*proof*⟩

lemma *tranclp-ord-res-5-preserves-invars*:
assumes *steps*: $(\text{ord-res-5 } N)^{++} s s'$ **and** *invars*: *ord-res-5-invars* $N s$
shows *ord-res-5-invars* $N s'$
 ⟨*proof*⟩

lemma *le-least-false-clause*:
fixes $N s U_{er} \mathcal{F} \mathcal{M} C D$
assumes
 invars: *ord-res-5-invars* $N s$ **and**
 s-def: $s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)$ **and**
 D-least-false: *is-least-false-clause* (*iefac* $\mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er})$) *D*
shows $C \preceq_c D$
 ⟨*proof*⟩

lemma *ex-ord-res-5-if-not-final*:
assumes
 not-final: $\neg \text{ord-res-5-final } S$ **and**
 invars: $\forall N s. S = (N, s) \longrightarrow \text{ord-res-5-invars } N s$
shows $\exists S'. \text{ord-res-5-step } S S'$
 ⟨*proof*⟩

lemma *ord-res-5-safe-state-if-invars*:
fixes $N s$
assumes *invars*: *ord-res-5-invars* $N s$
shows *safe-state ord-res-5-step ord-res-5-final* (N, s)
 ⟨*proof*⟩

lemma *MAGIC1*:
assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$
shows $\exists \mathcal{M}' \mathcal{C}'. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') \wedge$
 $(\nexists \mathcal{M}'' \mathcal{C}''. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') (U_{er}, \mathcal{F}, \mathcal{M}'', \mathcal{C}''))$
 ⟨*proof*⟩

lemma *MAGIC2*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)$
assumes $C \neq \{\#\}$
shows $\exists s'. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) s'$
 <proof>

lemma *MAGIC3*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, C)$ **and**
steps: $(\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, C) (U_{er}, \mathcal{F}, \mathcal{M}', C')$ **and**
no-more-steps: $(\nexists \mathcal{M}'' C''. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}', C') (U_{er}, \mathcal{F}, \mathcal{M}'', C''))$
shows $(\forall C. C' = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) C)$
 <proof>

lemma *ord-res-5-construct-model-upto-least-false-clause*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, C)$
shows $\exists \mathcal{M}' C'. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, C) (U_{er}, \mathcal{F}, \mathcal{M}', C') \wedge$
 $(\forall C. C' = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) C)$
 <proof>

end

end

theory *ORD-RES-6*

imports

ORD-RES-5

begin

21 ORD-RES-6 (model backjump)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-6* **where**

skip:

$(\text{dom } \mathcal{M}) \models C \implies$
 $C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 $C \prec_c D\}) \implies$
 $\text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}, C') \mid$

production:

$\neg (\text{dom } \mathcal{M}) \models C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\text{is-pos } L \implies$
 $\text{linorder-lit.is-greatest-in-mset } C L \implies$
 $\mathcal{M}' = \mathcal{M}(\text{atm-of } L := \text{Some } C) \implies$
 $C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 $C \prec_c D\}) \implies$
 $\text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}', C') \mid$

factoring:

$\neg (\text{dom } \mathcal{M}) \models C \implies$

$linorder-lit.is-maximal-in-mset\ C\ L \implies$
 $is-pos\ L \implies$
 $\neg\ linorder-lit.is-greatest-in-mset\ C\ L \implies$
 $\mathcal{F}' = finsert\ C\ \mathcal{F} \implies$
 $ord-res-6\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C)\ (U_{er}, \mathcal{F}', \mathcal{M}, Some\ (efac\ C))\ |$

resolution-bot:

$\neg\ (dom\ \mathcal{M}) \models C \implies$
 $linorder-lit.is-maximal-in-mset\ C\ L \implies$
 $is-neg\ L \implies$
 $\mathcal{M}\ (atm-of\ L) = Some\ D \implies$
 $U_{er}' = finsert\ (eres\ D\ C)\ U_{er} \implies$
 $eres\ D\ C = \{\#\} \implies$
 $\mathcal{M}' = (\lambda-. None) \implies$
 $ord-res-6\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C)\ (U_{er}', \mathcal{F}, \mathcal{M}', Some\ \{\#\})\ |$

resolution-pos:

$\neg\ (dom\ \mathcal{M}) \models C \implies$
 $linorder-lit.is-maximal-in-mset\ C\ L \implies$
 $is-neg\ L \implies$
 $\mathcal{M}\ (atm-of\ L) = Some\ D \implies$
 $U_{er}' = finsert\ (eres\ D\ C)\ U_{er} \implies$
 $eres\ D\ C \neq \{\#\} \implies$
 $\mathcal{M}' = restrict-map\ \mathcal{M}\ \{A.\ A\ \prec_t\ atm-of\ K\} \implies$
 $linorder-lit.is-maximal-in-mset\ (eres\ D\ C)\ K \implies$
 $is-pos\ K \implies$
 $ord-res-6\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C)\ (U_{er}', \mathcal{F}, \mathcal{M}', Some\ (eres\ D\ C))\ |$

resolution-neg:

$\neg\ (dom\ \mathcal{M}) \models C \implies$
 $linorder-lit.is-maximal-in-mset\ C\ L \implies$
 $is-neg\ L \implies$
 $\mathcal{M}\ (atm-of\ L) = Some\ D \implies$
 $U_{er}' = finsert\ (eres\ D\ C)\ U_{er} \implies$
 $eres\ D\ C \neq \{\#\} \implies$
 $\mathcal{M}' = restrict-map\ \mathcal{M}\ \{A.\ A\ \prec_t\ atm-of\ K\} \implies$
 $linorder-lit.is-maximal-in-mset\ (eres\ D\ C)\ K \implies$
 $is-neg\ K \implies$
 $\mathcal{M}\ (atm-of\ K) = Some\ E \implies$
 $ord-res-6\ N\ (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C)\ (U_{er}', \mathcal{F}, \mathcal{M}', Some\ E)$

inductive *ord-res-6-step* **where**

$ord-res-6\ N\ s\ s' \implies ord-res-6-step\ (N, s)\ (N, s')$

lemma *tranclp-ord-res-6-step-if-tranclp-ord-res-6:*

$(ord-res-6\ N)^{++}\ s\ s' \implies ord-res-6-step^{++}\ (N, s)\ (N, s')$
<proof>

lemma *right-unique-ord-res-6:* *right-unique* $(ord-res-6\ N)$

<proof>

lemma *right-unique-ord-res-6-step: right-unique ord-res-6-step*

<proof>

inductive *ord-res-6-final* **where**

model-found:

ord-res-6-final ($N, U_{er}, \mathcal{F}, \mathcal{M}, \text{None}$) |

contradiction-found:

ord-res-6-final ($N, U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } \{\#\}$)

sublocale *ord-res-6-semantic: semantics* **where**

step = *ord-res-6-step* **and**

final = *ord-res-6-final*

<proof>

lemma *ord-res-6-preserves-invars:*

assumes *step: ord-res-6* $N s s'$ **and** *invars: ord-res-5-invars* $N s$

shows *ord-res-5-invars* $N s'$

<proof>

lemma *rtranclp-ord-res-6-preserves-invars:*

assumes *steps: (ord-res-6 N)^{**} $s s'$* **and** *invars: ord-res-5-invars* $N s$

shows *ord-res-5-invars* $N s'$

<proof>

lemma *ex-ord-res-6-if-not-final:*

assumes

not-final: \neg ord-res-6-final S **and**

invars: $\forall N s. S = (N, s) \longrightarrow$ ord-res-5-invars $N s$

shows $\exists S'. \text{ord-res-6-step } S S'$

<proof>

lemma *ord-res-6-safe-state-if-invars:*

safe-state ord-res-6-step ord-res-6-final (N, s) **if** *invars: ord-res-5-invars* $N s$ **for** $N s$

<proof>

lemma *ex-model-build-from-least-clause-to-any-less-than-least-false:*

assumes

\mathcal{F} -subset: $\mathcal{F} \subseteq N \cup U_{er}$ **and**

C -least: linorder-cls.is-least-in-fset (*iefac* $\mathcal{F} \upharpoonright (N \cup U_{er})$) C **and**

D -in: $D \in \text{iefac } \mathcal{F} \upharpoonright (N \cup U_{er})$ **and**

D -lt-least-false: $\forall E. \text{is-least-false-clause}$ (*iefac* $\mathcal{F} \upharpoonright (N \cup U_{er})$) $E \longrightarrow D \preceq_c$

E **and**

$C \preceq_c D$

shows $\exists \mathcal{M}. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \text{Map.empty}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D)$

<proof>

lemma *full-rtranclp-ord-res-5-run-upto*:

assumes

ord-res-6 $N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } E) (U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D)$ **and**

invars: *ord-res-5-invars* $N (U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D)$ **and**

M'-def: $\mathcal{M}' = \text{restrict-map } \mathcal{M} \{A. \exists K. \text{linorder-lit.is-maximal-in-mset } D K \wedge A \prec_t \text{atm-of } K\}$ **and**

C-least: *linorder-cls.is-least-in-fset* (*iefac* $\mathcal{F}' \mid \uparrow (N \mid \cup \mid U_{er}')$) C

shows (*ord-res-5* N)** ($U_{er}', \mathcal{F}', \text{Map.empty}, \text{Some } C$) ($U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D$)
<proof>

end

end

theory *ORD-RES-7*

imports

Background

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

begin

22 ORD-RES-7 (clause-guided literal trail construction)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-7* **where**

decide-neg:

$\neg \text{trail-false-cls } \Gamma C \implies$

linorder-lit.is-maximal-in-mset $C L \implies$

linorder-trm.is-least-in-fset $\{|A \mid \in \mid \text{atms-of-cls } (N \mid \cup \mid U_{er})\}$.

$A \prec_t \text{atm-of } L \wedge A \notin \text{trail-atms } \Gamma \} A \implies$

$\Gamma' = (\text{Neg } A, \text{None}) \# \Gamma \implies$

ord-res-7 $N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', \text{Some } C) \mid$

skip-defined:

$\neg \text{trail-false-cls } \Gamma C \implies$

linorder-lit.is-maximal-in-mset $C L \implies$

$\neg (\exists A \mid \in \mid \text{atms-of-cls } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \text{trail-atms } \Gamma) \implies$

trail-defined-lit $\Gamma L \implies$

$C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})\}$.

$C \prec_c D \} \implies$

ord-res-7 $N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma, C') \mid$

skip-undefined-neg:

$\neg \text{trail-false-cls } \Gamma C \implies$

linorder-lit.is-maximal-in-mset $C L \implies$

$\neg(\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-neg } L \implies$
 $\Gamma' = (L, \text{None}) \# \Gamma \implies$
 $\mathcal{C}' = \text{The-optional } (\text{linorder-clss.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}).$
 $C \prec_c D\}) \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', \mathcal{C}') \mid$

skip-undefined-pos:

$\neg \text{trail-false-clss } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\neg \text{trail-false-clss } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\text{linorder-clss.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). C \prec_c D\} D \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma, \text{Some } D) \mid$

skip-undefined-pos-ultimate:

$\neg \text{trail-false-clss } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\neg \text{trail-false-clss } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\Gamma' = (- L, \text{None}) \# \Gamma \implies$
 $\neg(\exists D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). C \prec_c D) \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', \text{None}) \mid$

production:

$\neg \text{trail-false-clss } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\text{trail-false-clss } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\text{linorder-lit.is-greatest-in-mset } C L \implies$
 $\Gamma' = (L, \text{Some } C) \# \Gamma \implies$
 $\mathcal{C}' = \text{The-optional } (\text{linorder-clss.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}).$
 $C \prec_c D\}) \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', \mathcal{C}') \mid$

factoring:

$\neg \text{trail-false-clss } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } L \wedge A \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\text{trail-false-clss } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$

$\neg \text{linorder-lit.is-greatest-in-mset } C L \implies$
 $\mathcal{F}' = \text{finsert } C \mathcal{F} \implies$
 $\text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}', \Gamma, \text{Some } (\text{efac } C)) \mid$

resolution-bot:

$\text{trail-false-cls } \Gamma E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma (- L) = \text{Some } (\text{Some } D) \implies$
 $U_{er}' = \text{finsert } (\text{eres } D E) U_{er} \implies$
 $\text{eres } D E = \{\#\} \implies$
 $\Gamma' = [] \implies$
 $\text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) (U_{er}', \mathcal{F}, \Gamma', \text{Some } \{\#\}) \mid$

resolution-pos:

$\text{trail-false-cls } \Gamma E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma (- L) = \text{Some } (\text{Some } D) \implies$
 $U_{er}' = \text{finsert } (\text{eres } D E) U_{er} \implies$
 $\text{eres } D E \neq \{\#\} \implies$
 $\Gamma' = \text{dropWhile } (\lambda Ln. \text{atm-of } K \preceq_t \text{atm-of } (\text{fst } Ln)) \Gamma \implies$
 $\text{linorder-lit.is-maximal-in-mset } (\text{eres } D E) K \implies$
 $\text{is-pos } K \implies$
 $\text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) (U_{er}', \mathcal{F}, \Gamma', \text{Some } (\text{eres } D E)) \mid$

resolution-neg:

$\text{trail-false-cls } \Gamma E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma (- L) = \text{Some } (\text{Some } D) \implies$
 $U_{er}' = \text{finsert } (\text{eres } D E) U_{er} \implies$
 $\text{eres } D E \neq \{\#\} \implies$
 $\Gamma' = \text{dropWhile } (\lambda Ln. \text{atm-of } K \preceq_t \text{atm-of } (\text{fst } Ln)) \Gamma \implies$
 $\text{linorder-lit.is-maximal-in-mset } (\text{eres } D E) K \implies$
 $\text{is-neg } K \implies$
 $\text{map-of } \Gamma (- K) = \text{Some } (\text{Some } C) \implies$
 $\text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) (U_{er}', \mathcal{F}, \Gamma', \text{Some } C)$

lemma *right-unique-ord-res-7:*

fixes $N :: 'f \text{ gclause } fset$
shows *right-unique* ($\text{ord-res-7 } N$)
<proof>

inductive *ord-res-7-final* **where**

model-found:
 $\text{ord-res-7-final } (N, U_{er}, \mathcal{F}, \Gamma, \text{None}) \mid$

contradiction-found:

ord-res-7-final ($N, U_{er}, \mathcal{F}, \Gamma, \text{Some } \{\#\}$)

sublocale *ord-res-7-antics: semantics* **where**

step = *constant-context ord-res-7* **and**

final = *ord-res-7-final*

\langle *proof* \rangle

inductive *ord-res-7-invars* **for** N **where**

ord-res-7-invars N ($U_{er}, \mathcal{F}, \Gamma, \mathcal{C}$) **if**

$\mathcal{F} \mid\subseteq\mid N \mid\cup\mid U_{er}$ **and**

$(\forall C. \mathcal{C} = \text{Some } C \longrightarrow C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}))$ **and**

$(\forall D. \mathcal{C} = \text{Some } D \longrightarrow$

$(\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). C \prec_c D \longrightarrow$

$(\forall L_C. \text{linorder-lit.is-maximal-in-mset } C L_C \longrightarrow$

$\neg \text{trail-defined-lit } \Gamma L_C \longrightarrow (\text{trail-true-cls } \Gamma \{\#K \in\# C. K \neq L_C\#}$

$\wedge \text{is-pos } L_C))))$ **and**

$(\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$

$\text{trail-true-cls } \Gamma C)$ **and**

$(\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$

$(\forall K. \text{linorder-lit.is-maximal-in-mset } C K \longrightarrow$

$\neg (\exists A \mid\in\mid \text{atms-of-clss } (N \mid\cup\mid U_{er}). A \prec_t \text{atm-of } K \wedge A \not\in\mid \text{trail-atms}$

$\Gamma))))$ **and**

sorted-wrt ($\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)$) Γ **and**

linorder-trm.is-lower-fset (*trail-atms* Γ) (*atms-of-clss* ($N \mid\cup\mid U_{er}$)) **and**

$(\mathcal{C} = \text{None} \longrightarrow \text{trail-atms } \Gamma = \text{atms-of-clss } (N \mid\cup\mid U_{er}))$ **and**

$(\forall C. \mathcal{C} = \text{Some } C \longrightarrow$

$(\forall A \mid\in\mid \text{trail-atms } \Gamma. \exists L. \text{linorder-lit.is-maximal-in-mset } C L \wedge A \preceq_t \text{atm-of}$

$L))$ **and**

$(\forall Ln \in \text{set } \Gamma. \text{is-neg } (fst Ln) \longleftrightarrow \text{snd } Ln = \text{None})$ **and**

$(\forall Ln \in \text{set } \Gamma. \text{snd } Ln = \text{None} \longrightarrow$

$(\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). C \prec_c \{\#fst Ln\#} \longrightarrow \text{trail-true-cls } \Gamma C))$

and

$(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{linorder-lit.is-greatest-in-mset } C$

$(fst Ln))$ **and**

$(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}))$ **and**

$(\forall \Gamma_1 L C \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } C) \# \Gamma_0 \longrightarrow \text{trail-false-cls } \Gamma_0 \{\#K \in\#$

$C. K \neq L\#\})$ **and**

$(\forall \Gamma_1 L D \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } D) \# \Gamma_0 \longrightarrow$

$(\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). C \prec_c D \longrightarrow \text{trail-true-cls } \Gamma_0 C))$

lemma *clause-almost-defined-if-lt-next-clause:*

assumes *ord-res-7-invars* N ($U_{er}, \mathcal{F}, \Gamma, \mathcal{C}$)

shows $\forall C \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$

$(\forall K. \text{linorder-lit.is-maximal-in-mset } C K \longrightarrow \text{trail-defined-cls } \Gamma \{\#L \in\# C. L \neq K\#})$

\langle *proof* \rangle

lemma *ord-res-7-invars-def:*

$ord\text{-}res\text{-}7\text{-}invars\ N\ s\ \longleftrightarrow$
 $(\forall U_{er}\ \mathcal{F}\ \Gamma\ \mathcal{C}. s = (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) \longrightarrow$
 $\mathcal{F} \mid\subseteq N \mid\cup U_{er} \wedge$
 $(\forall C. C = Some\ C \longrightarrow C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er})) \wedge$
 $(\forall D. C = Some\ D \longrightarrow$
 $(\forall C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er}). C \prec_c D \longrightarrow$
 $(\forall L_C. linorder\text{-}lit.is\text{-}maximal\text{-}in\text{-}mset\ C\ L_C \longrightarrow$
 $\neg trail\text{-}defined\text{-}lit\ \Gamma\ L_C \longrightarrow (trail\text{-}true\text{-}cls\ \Gamma\ \{\#K \in\# C. K \neq L_C\#$
 $\wedge is\text{-}pos\ L_C)))) \wedge$
 $(\forall C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er}). (\forall D. C = Some\ D \longrightarrow C \prec_c D) \longrightarrow$
 $trail\text{-}true\text{-}cls\ \Gamma\ C) \wedge$
 $(\forall C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er}). (\forall D. C = Some\ D \longrightarrow C \prec_c D) \longrightarrow$
 $(\forall K. linorder\text{-}lit.is\text{-}maximal\text{-}in\text{-}mset\ C\ K \longrightarrow$
 $\neg (\exists A \mid\in atms\text{-}of\text{-}clss\ (N \mid\cup U_{er}). A \prec_t atm\text{-}of\ K \wedge A \not\in trail\text{-}atms$
 $\Gamma))) \wedge$
 $sorted\text{-}wrt\ (\lambda x\ y. atm\text{-}of\ (fst\ y) \prec_t atm\text{-}of\ (fst\ x))\ \Gamma \wedge$
 $linorder\text{-}trm.is\text{-}lower\text{-}fset\ (trail\text{-}atms\ \Gamma)\ (atms\text{-}of\text{-}clss\ (N \mid\cup U_{er})) \wedge$
 $(C = None \longrightarrow trail\text{-}atms\ \Gamma = atms\text{-}of\text{-}clss\ (N \mid\cup U_{er})) \wedge$
 $(\forall C. C = Some\ C \longrightarrow$
 $(\forall A \mid\in trail\text{-}atms\ \Gamma. \exists L. linorder\text{-}lit.is\text{-}maximal\text{-}in\text{-}mset\ C\ L \wedge A \preceq_t atm\text{-}of$
 $L)) \wedge$
 $(\forall Ln \in set\ \Gamma. is\text{-}neg\ (fst\ Ln) \longleftrightarrow snd\ Ln = None) \wedge$
 $(\forall Ln \in set\ \Gamma. snd\ Ln = None \longrightarrow$
 $(\forall C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er}). C \prec_c \{\#fst\ Ln\# \longrightarrow trail\text{-}true\text{-}cls\ \Gamma\ C))$
 \wedge
 $(\forall Ln \in set\ \Gamma. \forall C. snd\ Ln = Some\ C \longrightarrow linorder\text{-}lit.is\text{-}greatest\text{-}in\text{-}mset\ C$
 $(fst\ Ln)) \wedge$
 $(\forall Ln \in set\ \Gamma. \forall C. snd\ Ln = Some\ C \longrightarrow C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er})) \wedge$
 $(\forall \Gamma_1\ L\ C\ \Gamma_0. \Gamma = \Gamma_1 @ (L, Some\ C) \# \Gamma_0 \longrightarrow trail\text{-}false\text{-}cls\ \Gamma_0\ \{\#K \in\#$
 $C. K \neq L\#\}) \wedge$
 $(\forall \Gamma_1\ L\ D\ \Gamma_0. \Gamma = \Gamma_1 @ (L, Some\ D) \# \Gamma_0 \longrightarrow$
 $(\forall C \mid\in iefac\ \mathcal{F} \mid\uparrow (N \mid\cup U_{er}). C \prec_c D \longrightarrow trail\text{-}true\text{-}cls\ \Gamma_0\ C)))$
 $(is\ ?NICE\ N\ s \longleftrightarrow ?UGLY\ N\ s)$
 $\langle proof \rangle$

lemma *ord-res-7-invars-implies-trail-consistent:*

assumes *ord-res-7-invars* $N\ (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$

shows *trail-consistent* Γ

$\langle proof \rangle$

lemma *ord-res-7-invars-implies-propagated-clause-almost-false:*

assumes *invars: ord-res-7-invars* $N\ (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$ **and** $(L, Some\ C) \in set\ \Gamma$

shows *trail-false-cls* $\Gamma\ \{\#K \in\# C. K \neq L\#\}$

$\langle proof \rangle$

lemma *ord-res-7-preserves-invars:*

assumes *step: ord-res-7* $N\ s\ s'$ **and** *invar: ord-res-7-invars* $N\ s$

shows *ord-res-7-invars* $N\ s'$

$\langle proof \rangle$

lemma *rtranclp-ord-res- γ -preserves-ord-res- γ -invars*:

assumes

step: $(\text{ord-res-}\gamma\ N)^{**}\ s\ s'$ **and**

invars: $\text{ord-res-}\gamma\text{-invars}\ N\ s$

shows $\text{ord-res-}\gamma\text{-invars}\ N\ s'$

<proof>

lemma *tranclp-ord-res- γ -preserves-ord-res- γ -invars*:

assumes

step: $(\text{ord-res-}\gamma\ N)^{++}\ s\ s'$ **and**

invars: $\text{ord-res-}\gamma\text{-invars}\ N\ s$

shows $\text{ord-res-}\gamma\text{-invars}\ N\ s'$

<proof>

lemma *propagating-clause-almost-false*:

assumes *invars*: $\text{ord-res-}\gamma\text{-invars}\ N\ (U_{er}, \mathcal{F}, \Gamma, C)$ **and** $(L, \text{Some } C) \in \text{set } \Gamma$

shows $\text{trail-false-cls } \Gamma\ \{\#K \in\# C. K \neq L\# \}$

<proof>

lemma *ex-ord-res- γ -if-not-final*:

assumes

not-final: $\neg \text{ord-res-}\gamma\text{-final}\ (N, s)$ **and**

invars: $\text{ord-res-}\gamma\text{-invars}\ N\ s$

shows $\exists s'. \text{ord-res-}\gamma\ N\ s\ s'$

<proof>

lemma *ord-res- γ -safe-state-if-invars*:

fixes $N :: 'f\ g\text{clause}\ f\text{set}\ \text{and}\ s$

assumes *invars*: $\text{ord-res-}\gamma\text{-invars}\ N\ s$

shows $\text{safe-state}\ (\text{constant-context}\ \text{ord-res-}\gamma)\ \text{ord-res-}\gamma\text{-final}\ (N, s)$

<proof>

end

end

theory *Clause-Could-Propagate*

imports

Background

Implicit-Exhaustive-Factorization

begin

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *clause-could-propagate* **where**

clause-could-propagate $\Gamma\ C\ L \longleftrightarrow \neg \text{trail-defined-lit } \Gamma\ L \wedge$

linorder-lit.is-maximal-in-mset } C\ L \wedge \text{trail-false-cls } \Gamma\ \{\#K \in\# C. K \neq L\# \}

lemma *trail-false-if-could-have-propagated*:

clause-could-propagate $\Gamma C L \implies \text{trail-false-cls } ((- L, n) \# \Gamma) C$
 ⟨proof⟩

lemma *atoms-of-trail-lt-atom-of-propagatable-literal*:

assumes

Γ -lower: *linorder-trm.is-lower-set* (*fset* (*trail-atms* Γ)) \mathcal{A} **and**

C-prop: *clause-could-propagate* $\Gamma C L$ **and**

atm-of $L \in \mathcal{A}$

shows $\forall A \in \text{trail-atms } \Gamma. A \prec_t \text{atm-of } L$

⟨proof⟩

lemma *trail-false-cls-filter-mset-iff*:

trail-false-cls $\Gamma \{\#Ka \in \# C. Ka \neq K\# \} \longleftrightarrow (\forall L \in \# C. L \neq K \longrightarrow \text{trail-false-lit } \Gamma L)$

⟨proof⟩

lemma *clause-could-propagate-iff*: *clause-could-propagate* $\Gamma C K \longleftrightarrow$

$\neg \text{trail-defined-lit } \Gamma K \wedge \text{ord-res.is-maximal-lit } K C \wedge (\forall L \in \# C. L \neq K \longrightarrow \text{trail-false-lit } \Gamma L)$

⟨proof⟩

lemma *clause-could-propagate-efac*: *clause-could-propagate* $\Gamma (\text{efac } C) = \text{clause-could-propagate } \Gamma C$

⟨proof⟩

lemma *bex-clause-could-propagate-simp*:

fixes $\mathcal{F} N \Gamma L$

shows *fBex* (*iefac* $\mathcal{F} \mid \uparrow N$) ($\lambda C. \text{clause-could-propagate } \Gamma C L$) \longleftrightarrow

fBex N ($\lambda C. \text{clause-could-propagate } \Gamma C L$)

sketch (*rule iffI*; *elim bexE*)

⟨proof⟩

end

end

theory *ORD-RES-8*

imports

Background

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

Clause-Could-Propagate

begin

23 ORD-RES-8 (atom-guided literal trail construction)

type-synonym *'f ord-res-8-state* =

'f gclause fset \times *'f gclause fset* \times *'f gclause fset* \times (*'f gliteral* \times *'f gclause option*)

list

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-8* **where**

decide-neg:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ clause-could-propagate } \Gamma C (Pos A)) \implies \\ & \Gamma' = (Neg A, None) \# \Gamma \implies \\ & \text{ord-res-8 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid \end{aligned}$$

propagate:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \text{linorder-lit.is-greatest-in-mset } C (Pos A) \implies \\ & \Gamma' = (Pos A, Some C) \# \Gamma \implies \\ & \text{ord-res-8 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid \end{aligned}$$

factorize:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \neg \text{linorder-lit.is-greatest-in-mset } C (Pos A) \implies \\ & \mathcal{F}' = \text{finsert } C \mathcal{F} \implies \\ & \text{ord-res-8 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma) \mid \end{aligned}$$

resolution:

$$\begin{aligned} & \text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma D\} \\ & D \implies \\ & \text{linorder-lit.is-maximal-in-mset } D (Neg A) \implies \\ & \text{map-of } \Gamma (Pos A) = \text{Some } (Some C) \implies \\ & U_{er}' = \text{finsert } (eres C D) U_{er} \implies \\ & \Gamma' = \text{dropWhile } (\lambda Ln. \forall K. \\ & \quad \text{linorder-lit.is-maximal-in-mset } (eres C D) K \longrightarrow \text{atm-of } K \preceq_t \text{atm-of } (fst \\ & Ln)) \Gamma \implies \\ & \text{ord-res-8 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}', \mathcal{F}, \Gamma') \end{aligned}$$

lemma *right-unique-ord-res-8:*

fixes $N :: 'f \text{ gclause fset}$

shows *right-unique* (*ord-res-8* N)

<proof>

inductive *ord-res-8-final* :: 'f *ord-res-8-state* \Rightarrow bool **where**

model-found:

$\neg (\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \mid \notin \mid \text{trail-atms } \Gamma) \Longrightarrow$
 $\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-clc } \Gamma C) \Longrightarrow$
ord-res-8-final ($N, U_{er}, \mathcal{F}, \Gamma$) |

contradiction-found:

$\{\#\} \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}) \Longrightarrow$
ord-res-8-final ($N, U_{er}, \mathcal{F}, \Gamma$)

sublocale *ord-res-8-semantic: semantic* **where**

step = *constant-context ord-res-8* **and**

final = *ord-res-8-final*

<proof>

definition *trail-is-sorted* **where**

trail-is-sorted $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$

sorted-wrt $(\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)) \Gamma)$

lemma *ord-res-8-preserves-trail-is-sorted*:

assumes

step: *ord-res-8* $N s s'$ **and**

invar: *trail-is-sorted* $N s$

shows *trail-is-sorted* $N s'$

<proof>

inductive *trail-annotations-invars*

for $N :: 'f \text{ gterm literal multiset fset}$

where

Nil:

trail-annotations-invars $N (U_{er}, \mathcal{F}, [])$ |

Cons-None:

trail-annotations-invars $N (U_{er}, \mathcal{F}, (L, None) \# \Gamma)$

if *trail-annotations-invars* $N (U_{er}, \mathcal{F}, \Gamma)$ |

Cons-Some:

trail-annotations-invars $N (U_{er}, \mathcal{F}, (L, Some D) \# \Gamma)$

if *linorder-lit.is-greatest-in-mset* $D L$ **and**

$D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er})$ **and**

trail-false-clc $\Gamma \{\#K \in \# D. K \neq L\# \}$ **and**

linorder-clc.is-least-in-fset

$\{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{clause-could-propagate } \Gamma D L|\} D$ **and**

trail-annotations-invars $N (U_{er}, \mathcal{F}, \Gamma)$

lemma

assumes

linorder-lit.is-greatest-in-mset $C L$ **and**

trail-false-clc $\Gamma \{\#K \in \# C. K \neq L\# \}$ **and**

$\neg \text{trail-defined-clc } \Gamma C$

shows *clause-could-propagate* $\Gamma C L$
 ⟨*proof*⟩

lemma *propagating-clause-in-clauses*:

assumes *trail-annotations-invars* $N (U_{er}, \mathcal{F}, \Gamma)$ **and** *map-of* $\Gamma L = \text{Some} (\text{Some } C)$

shows $C \in | \text{iefac } \mathcal{F} |^\uparrow (N \mid \cup \mid U_{er})$
 ⟨*proof*⟩

lemma *trail-annotations-invars-mono-wrt-trail-suffix*:

assumes *suffix* $\Gamma' \Gamma$ *trail-annotations-invars* $N (U_{er}, \mathcal{F}, \Gamma)$

shows *trail-annotations-invars* $N (U_{er}, \mathcal{F}, \Gamma')$
 ⟨*proof*⟩

lemma *ord-res-8-preserves-trail-annotations-invars*:

assumes

step: *ord-res-8* $N s s'$ **and**

invars:

trail-annotations-invars $N s$

trail-is-sorted $N s$

shows *trail-annotations-invars* $N s'$
 ⟨*proof*⟩

definition *trail-is-lower-set* **where**

trail-is-lower-set $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$

$\text{linorder-trm.is-lower-fset} (\text{trail-atms } \Gamma) (\text{atms-of-clss } (N \mid \cup \mid U_{er})))$

lemma *atoms-not-in-clause-set-undefined-if-trail-is-sorted-lower-set*:

assumes *invar*: *trail-is-lower-set* $N (U_{er}, \mathcal{F}, \Gamma)$

shows $\forall A. A \notin | \text{atms-of-clss } (N \mid \cup \mid U_{er}) \longrightarrow A \notin | \text{trail-atms } \Gamma$
 ⟨*proof*⟩

lemma *ord-res-8-preserves-atoms-in-trail-lower-set*:

assumes

step: *ord-res-8* $N s s'$ **and**

invars:

trail-is-lower-set $N s$

trail-annotations-invars $N s$

trail-is-sorted $N s$

shows *trail-is-lower-set* $N s'$
 ⟨*proof*⟩

definition *false-cls-is-empty-or-has-neg-max-lit* **where**

false-cls-is-empty-or-has-neg-max-lit $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow (\forall C \in | \text{iefac } \mathcal{F} |^\uparrow (N \mid \cup \mid U_{er}).$

$\text{trail-false-cls } \Gamma C \longrightarrow C = \{\#\} \vee (\exists A. \text{linorder-lit.is-maximal-in-mset } C$

$(\text{Neg } A))))$

lemma *ord-res-8-preserves-false-cls-is-mempty-or-has-neg-max-lit*:

assumes

step: *ord-res-8* N s s' **and**

invars:

false-cls-is-mempty-or-has-neg-max-lit N s

trail-is-lower-set N s

trail-is-sorted N s

shows *false-cls-is-mempty-or-has-neg-max-lit* N s'

<proof>

definition *decided-literals-all-neg* **where**

decided-literals-all-neg N s \longleftrightarrow

$(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$

$(\forall Ln \in \text{set } \Gamma. \forall L. Ln = (L, \text{None}) \longrightarrow \text{is-neg } L))$

lemma *ord-res-8-preserves-decided-literals-all-neg*:

assumes

step: *ord-res-8* N s s' **and**

invar: *decided-literals-all-neg* N s

shows *decided-literals-all-neg* N s'

<proof>

definition *ord-res-8-invars* **where**

ord-res-8-invars N s \longleftrightarrow

trail-is-sorted N s \wedge

trail-is-lower-set N s \wedge

false-cls-is-mempty-or-has-neg-max-lit N s \wedge

trail-annotations-invars N s \wedge

decided-literals-all-neg N s

lemma *ord-res-8-preserves-invars*:

assumes

step: *ord-res-8* N s s' **and**

invars: *ord-res-8-invars* N s

shows *ord-res-8-invars* N s'

<proof>

lemma *rtranclp-ord-res-8-preserves-invars*:

assumes

step: $(\text{ord-res-8 } N)^{**}$ s s' **and**

invars: *ord-res-8-invars* N s

shows *ord-res-8-invars* N s'

<proof>

lemma *tranclp-ord-res-8-preserves-invars*:

assumes

step: $(\text{ord-res-8 } N)^{++}$ s s' **and**

invars: *ord-res-8-invars* N s

shows *ord-res-8-invars* $N s'$
 $\langle \text{proof} \rangle$

lemma *ex-ord-res-8-if-not-final*:

assumes
not-final: $\neg \text{ord-res-8-final } (N, s)$ **and**
invars: *ord-res-8-invars* $N s$
shows $\exists s'. \text{ord-res-8 } N s s'$
 $\langle \text{proof} \rangle$

lemma *ord-res-8-safe-state-if-invars*:

fixes $N s$
assumes *invars*: *ord-res-8-invars* $N s$
shows *safe-state* (*constant-context ord-res-8*) *ord-res-8-final* (N, s)
 $\langle \text{proof} \rangle$

end

end

theory *ORD-RES-9*

imports
ORD-RES-8

begin

24 ORD-RES-9 (factorize when propagating)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-9* **where**

decide-neg:
 $\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$
 $\text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies$
 $\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{clause-could-propagate } \Gamma C (Pos A)) \implies$
 $\Gamma' = (Neg A, None) \# \Gamma \implies$
 $\text{ord-res-9 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid$

propagate:

$\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$
 $\text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies$
 $\text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 $\text{clause-could-propagate } \Gamma C (Pos A)\} C \implies$
 $\Gamma' = (Pos A, Some (efac C)) \# \Gamma \implies$
 $\mathcal{F}' = (\text{if } \text{linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else } \text{finsert } C \mathcal{F}) \implies$
 $\text{ord-res-9 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma') \mid$

resolution:

$linorder-clis-is-least-in-fset \{ |D | \in | iefac \mathcal{F} | ^\dagger (N \cup | U_{er}). trail-false-clis \Gamma D \}$
 $D \implies$
 $linorder-lit.is-maximal-in-mset D (Neg A) \implies$
 $map-of \Gamma (Pos A) = Some (Some C) \implies$
 $U_{er}' = finsert (eres C D) U_{er} \implies$
 $\Gamma' = dropWhile (\lambda Ln. \forall K.$
 $linorder-lit.is-maximal-in-mset (eres C D) K \longrightarrow atm-of K \preceq_t atm-of (fst$
 $Ln)) \Gamma \implies$
 $ord-res-9 N (U_{er}, \mathcal{F}, \Gamma) (U_{er}', \mathcal{F}, \Gamma')$

lemma *right-unique-ord-res-9*:
fixes $N :: 'f gclause fset$
shows *right-unique (ord-res-9 N)*
 $\langle proof \rangle$

lemma *ord-res-9-is-one-or-two-ord-res-9-steps*:
fixes $N s s'$
assumes *step: ord-res-9 N s s'*
shows $ord-res-8 N s s' \vee (ord-res-8 N OO ord-res-8 N) s s'$
 $\langle proof \rangle$

lemma *ord-res-9-preserves-invars*:
assumes
 $step: ord-res-9 N s s'$ **and**
 $invars: ord-res-8-invars N s$
shows $ord-res-8-invars N s'$
 $\langle proof \rangle$

lemma *rtrancpl-ord-res-9-preserves-ord-res-8-invars*:
assumes
 $step: (ord-res-9 N)** s s'$ **and**
 $invars: ord-res-8-invars N s$
shows $ord-res-8-invars N s'$
 $\langle proof \rangle$

lemma *ex-ord-res-9-if-not-final*:
assumes
 $not-final: \neg ord-res-8-final (N, s)$ **and**
 $invars: ord-res-8-invars N s$
shows $\exists s'. ord-res-9 N s s'$
 $\langle proof \rangle$

lemma *ord-res-9-safe-state-if-invars*:
fixes $N s$
assumes $invars: ord-res-8-invars N s$
shows *safe-state (constant-context ord-res-9) ord-res-8-final (N, s)*
 $\langle proof \rangle$

sublocale *ord-res-9-antics: semantics where*

$step = constant\text{-}context\ ord\text{-}res\text{-}9$ **and**
 $final = ord\text{-}res\text{-}8\text{-}final$
 <proof>

end

end

theory *ORD-RES-10*
imports *ORD-RES-8*
begin

25 ORD-RES-10 (propagate iff a conflict is produced)

type-synonym *'f ord-res-10-state =*
'f gclause fset × 'f gclause fset × 'f gclause fset × ('f gliteral × 'f gclause option)
list

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-10* **where**

decide-neg:

$\neg (\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). trail\text{-}false\text{-}cls \Gamma C) \implies$
 $linorder\text{-}trm.is\text{-}least\text{-}in\text{-}fset \{ \mid A_2 \mid \in \mid atms\text{-}of\text{-}clss (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid trail\text{-}atms \Gamma. A_1 \prec_t A_2 \} A \implies$
 $\neg (\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). clause\text{-}could\text{-}propagate \Gamma C (Pos A)) \implies$
 $\Gamma' = (Neg A, None) \# \Gamma \implies$
 $ord\text{-}res\text{-}10 N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid$

decide-pos:

$\neg (\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). trail\text{-}false\text{-}cls \Gamma C) \implies$
 $linorder\text{-}trm.is\text{-}least\text{-}in\text{-}fset \{ \mid A_2 \mid \in \mid atms\text{-}of\text{-}clss (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid trail\text{-}atms \Gamma. A_1 \prec_t A_2 \} A \implies$
 $linorder\text{-}cls.is\text{-}least\text{-}in\text{-}fset \{ \mid C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 $clause\text{-}could\text{-}propagate \Gamma C (Pos A) \} C \implies$
 $\Gamma' = (Pos A, None) \# \Gamma \implies$
 $\neg (\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). trail\text{-}false\text{-}cls \Gamma' C) \implies$
 $\mathcal{F}' = (if\ linorder\text{-}lit.is\text{-}greatest\text{-}in\text{-}mset C (Pos A) then \mathcal{F} else\ finsert C \mathcal{F}) \implies$
 $ord\text{-}res\text{-}10 N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma') \mid$

propagate:

$\neg (\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). trail\text{-}false\text{-}cls \Gamma C) \implies$
 $linorder\text{-}trm.is\text{-}least\text{-}in\text{-}fset \{ \mid A_2 \mid \in \mid atms\text{-}of\text{-}clss (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid trail\text{-}atms \Gamma. A_1 \prec_t A_2 \} A \implies$
 $linorder\text{-}cls.is\text{-}least\text{-}in\text{-}fset \{ \mid C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 $clause\text{-}could\text{-}propagate \Gamma C (Pos A) \} C \implies$
 $\Gamma' = (Pos A, Some (efac C)) \# \Gamma \implies$
 $(\exists C \mid \in \mid iefac \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). trail\text{-}false\text{-}cls \Gamma' C) \implies$

$\mathcal{F}' = (\text{if } \text{linorder-lit.is-greatest-in-mset } C \text{ (Pos } A) \text{ then } \mathcal{F} \text{ else } \text{finsert } C \mathcal{F}) \implies$
 $\text{ord-res-10 } N \text{ (} U_{er}, \mathcal{F}, \Gamma \text{) (} U_{er}, \mathcal{F}', \Gamma' \text{) |}$

resolution:

$\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow \text{ (} N \text{ |} \cup \text{| } U_{er} \text{). trail-false-cls } \Gamma \text{ } D \text{|}\}$
 $D \implies$
 $\text{linorder-lit.is-maximal-in-mset } D \text{ (Neg } A) \implies$
 $\text{map-of } \Gamma \text{ (Pos } A) = \text{Some (Some } C) \implies$
 $U_{er}' = \text{finsert (eres } C \text{ } D) U_{er} \implies$
 $\Gamma' = \text{dropWhile } (\lambda Ln. \forall K.$
 $\text{linorder-lit.is-maximal-in-mset (eres } C \text{ } D) K \longrightarrow \text{atm-of } K \preceq_t \text{atm-of (fst$
 $Ln)) \Gamma \implies$
 $\text{ord-res-10 } N \text{ (} U_{er}, \mathcal{F}, \Gamma \text{) (} U_{er}', \mathcal{F}, \Gamma' \text{)}$

lemma *right-unique-ord-res-10:*

fixes $N :: 'f \text{ gclause fset}$
shows *right-unique (ord-res-10 N)*
 $\langle \text{proof} \rangle$

sublocale *ord-res-10-semantic: semantics where*

step = constant-context ord-res-10 and
final = ord-res-8-final
 $\langle \text{proof} \rangle$

inductive *ord-res-10-invars for N where*

ord-res-10-invars N (} U_{er}, \mathcal{F}, \Gamma \text{) if
sorted-wrt } (\lambda x y. \text{atm-of (fst } y) \prec_t \text{atm-of (fst } x)) \Gamma \text{ and}
 $\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{linorder-lit.is-greatest-in-mset } C \text{ (fst$
 $Ln) \text{ and}$
 $\text{linorder-trm.is-lower-fset (trail-atms } \Gamma) \text{ (atms-of-clss (} N \text{ |} \cup \text{| } U_{er} \text{)) and}$
 $\forall Ln \Gamma'. \Gamma = Ln \# \Gamma' \longrightarrow$
 $(\text{snd } Ln \neq \text{None} \longleftrightarrow (\exists C | \in | \text{iefac } \mathcal{F} | \uparrow \text{ (} N \text{ |} \cup \text{| } U_{er} \text{). trail-false-cls } \Gamma \text{ } C))$
 \wedge
 $(\text{snd } Ln \neq \text{None} \longrightarrow \text{is-pos (fst } Ln)) \wedge$
 $(\forall C. \text{snd } Ln = \text{Some } C \longrightarrow C | \in | \text{iefac } \mathcal{F} | \uparrow \text{ (} N \text{ |} \cup \text{| } U_{er} \text{))} \wedge$
 $(\forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{clause-could-propagate } \Gamma' \text{ } C \text{ (fst } Ln)) \wedge$
 $(\forall x \in \text{set } \Gamma'. \text{snd } x = \text{None}) \text{ and}$
 $\forall \Gamma_1 Ln \Gamma_0. \Gamma = \Gamma_1 @ Ln \# \Gamma_0 \longrightarrow$
 $\text{snd } Ln = \text{None} \longrightarrow \neg(\exists C | \in | \text{iefac } \mathcal{F} | \uparrow \text{ (} N \text{ |} \cup \text{| } U_{er} \text{). trail-false-cls (Ln \#$
 $\Gamma_0) \text{ } C)$

lemma *ord-res-10-preserves-invars:*

assumes
step: ord-res-10 N s s' and
invars: ord-res-10-invars N s
shows *ord-res-10-invars N s'*
 $\langle \text{proof} \rangle$

lemma *rtranclp-ord-res-10-preserves-invars:*

assumes
step: $(ord-res-10\ N)^{**}\ s\ s'$ **and**
invars: $ord-res-10-invars\ N\ s$
shows $ord-res-10-invars\ N\ s'$
 $\langle proof \rangle$

lemma *ex-ord-res-10-if-not-final*:

assumes
not-final: $\neg\ ord-res-8-final\ (N,\ s)$ **and**
invars: $ord-res-10-invars\ N\ s$
shows $\exists\ s'.\ ord-res-10\ N\ s\ s'$
 $\langle proof \rangle$

lemma *ord-res-10-safe-state-if-invars*:

fixes $N\ s$
assumes *invars*: $ord-res-10-invars\ N\ s$
shows *safe-state* (*constant-context* $ord-res-10$) $ord-res-8-final\ (N,\ s)$
 $\langle proof \rangle$

end

end

theory *ORD-RES-11*
imports *ORD-RES-10*
begin

26 ORD-RES-11 (SCL strategy)

type-synonym *'f ord-res-11-state* =
'f gclause fset \times *'f gclause fset* \times *'f gclause fset* \times (*'f gliteral* \times *'f gclause option*)
list \times
'f gclause option

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma

fixes $N\ U_{er}\ \mathcal{F}\ \Gamma\ A$
assumes
no-false-cls: $\neg\ (\exists\ C\ |\in|\ iefac\ \mathcal{F}\ |\uparrow|\ (N\ |\cup|\ U_{er}).\ trail-false-cls\ \Gamma\ C)$ **and**
A-least: $linorder-trm.is-least-in-fset\ \{A_2\ |\in|\ atms-of-clss\ (N\ |\cup|\ U_{er}).$
 $\forall\ A_1\ |\in|\ trail-atms\ \Gamma.\ A_1\ \prec_t\ A_2\}$ A **and**
C-least: $linorder-cls.is-least-in-fset\ \{C\ |\in|\ iefac\ \mathcal{F}\ |\uparrow|\ (N\ |\cup|\ U_{er}).$
 $clause-could-propagate\ \Gamma\ C\ (Pos\ A)\}$ C

defines

$\Gamma' \equiv (Pos\ A,\ None)\ \#\ \Gamma$ **and**
 $\mathcal{F}' \equiv (if\ linorder-lit.is-greatest-in-mset\ C\ (Pos\ A)\ then\ \mathcal{F}\ else\ finsert\ C\ \mathcal{F})$

shows

$(\exists\ C\ |\in|\ iefac\ \mathcal{F}\ |\uparrow|\ (N\ |\cup|\ U_{er}).\ trail-false-cls\ \Gamma'\ C) \longleftrightarrow$
 $(\exists\ C\ |\in|\ iefac\ \mathcal{F}'\ |\uparrow|\ (N\ |\cup|\ U_{er}).\ trail-false-cls\ \Gamma'\ C)$

$\langle \text{proof} \rangle$

inductive ord-res-11 where

decide-neg:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ clause-could-propagate } \Gamma C (Pos A)) \implies \\ & \Gamma' = (Neg A, None) \# \Gamma \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, None) (U_{er}, \mathcal{F}, \Gamma', None) \mid \end{aligned}$$

decide-pos:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \Gamma' = (Pos A, None) \# \Gamma \implies \\ & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma' C) \implies \\ & \mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, None) (U_{er}, \mathcal{F}', \Gamma', None) \mid \end{aligned}$$

propagate:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \Gamma' = (Pos A, Some (efac C)) \# \Gamma \implies \\ & (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma' C) \implies \\ & \mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, None) (U_{er}, \mathcal{F}', \Gamma', None) \mid \end{aligned}$$

conflict:

$$\begin{aligned} & \text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma D\} \\ & D \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, None) (U_{er}, \mathcal{F}, \Gamma, Some D) \mid \end{aligned}$$

skip: $- L \notin \# C \implies$

$$\text{ord-res-11 } N (U_{er}, \mathcal{F}, (L, n) \# \Gamma, Some C) (U_{er}, \mathcal{F}, \Gamma, Some C) \mid$$

resolution:

$$\begin{aligned} & \Gamma = (L, Some D) \# \Gamma' \implies - L \in \# C \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, Some C) (U_{er}, \mathcal{F}, \Gamma, Some ((C - \{\#- L\#\}) + (D \\ & - \{\#L\#\}))) \mid \end{aligned}$$

backtrack:

$$\begin{aligned} & \Gamma = (L, None) \# \Gamma' \implies - L \in \# C \implies \\ & \text{ord-res-11 } N (U_{er}, \mathcal{F}, \Gamma, Some C) (\text{finsert } C U_{er}, \mathcal{F}, \Gamma', None) \end{aligned}$$

lemma *right-unique-ord-res-11*:
fixes $N :: 'f \text{ gclause fset}$
shows *right-unique (ord-res-11 N)*
 $\langle \text{proof} \rangle$

inductive *ord-res-11-final* :: ' $f \text{ ord-res-11-state} \Rightarrow \text{bool}$ **where**
model-found:
 $\neg (\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \mid \notin \mid \text{trail-atms } \Gamma) \Longrightarrow$
 $\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-cl } \Gamma \ C) \Longrightarrow$
ord-res-11-final $(N, U_{er}, \mathcal{F}, \Gamma, \text{None}) \mid$

contradiction-found:
ord-res-11-final $(N, U_{er}, \mathcal{F}, [], \text{Some } \{\#\})$

sublocale *ord-res-11-semantic: semantics* **where**
step = *constant-context ord-res-11* **and**
final = *ord-res-11-final*
 $\langle \text{proof} \rangle$

inductive *ord-res-11-invars* **where**
ord-res-11-invars $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$ **if**
ord-res-10-invars $N (U_{er}, \mathcal{F}, \Gamma)$ **and**
 $\{\#\} \mid \in \mid N \mid \cup \mid U_{er} \longrightarrow \Gamma = []$ **and**
 $\forall C. \mathcal{C} = \text{Some } C \longrightarrow \text{atms-of-cl } C \mid \subseteq \mid \text{atms-of-clss } (N \mid \cup \mid U_{er})$ **and**
 $\forall C. \mathcal{C} = \text{Some } C \longrightarrow \text{trail-false-cl } \Gamma \ C$ **and**
 $\text{atms-of-clss } U_{er} \mid \subseteq \mid \text{atms-of-clss } N$ **and**
 $\forall C \mid \in \mid \mathcal{F}. \exists L. \text{is-pos } L \wedge \text{linorder-lit.is-maximal-in-mset } C \ L$

lemma *ord-res-11-invars-initial-state*: *ord-res-11-invars* $N (\{\|\}, \{\|\}, [], \text{None})$
 $\langle \text{proof} \rangle$

lemma *mempty-in-fimage-iefac[simp]*: $\{\#\} \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid N \longleftrightarrow \{\#\} \mid \in \mid N$
 $\langle \text{proof} \rangle$

lemma *ord-res-11-preserves-invars*:
assumes
step: *ord-res-11* $N \ s \ s'$ **and**
invars: *ord-res-11-invars* $N \ s$
shows *ord-res-11-invars* $N \ s'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-ord-res-11-preserves-invars*:
assumes
step: $(\text{ord-res-11 } N)^{**} \ s \ s'$ **and**
invars: *ord-res-11-invars* $N \ s$

```

shows ord-res-11-invars  $N s'$ 
⟨proof⟩

lemma tranclp-ord-res-11-preserves-invars:
assumes
  step:  $(ord-res-11\ N)^{++}\ s\ s'$  and
  invars: ord-res-11-invars  $N\ s$ 
shows ord-res-11-invars  $N\ s'$ 
⟨proof⟩

lemma ex-ord-res-11-if-not-final:
assumes
  not-final:  $\neg\ ord-res-11-final\ (N,\ s)$  and
  invars: ord-res-11-invars  $N\ s$ 
shows  $\exists\ s'.\ ord-res-11\ N\ s\ s'$ 
⟨proof⟩

lemma ord-res-11-safe-state-if-invars:
fixes  $N\ s$ 
assumes invars: ord-res-11-invars  $N\ s$ 
shows safe-state (constant-context ord-res-11) ord-res-11-final  $(N,\ s)$ 
⟨proof⟩

lemma rtrancl-ord-res-11-all-resolution-steps:
assumes C-max-lit: ord-res.is-strictly-maximal-lit  $K\ C$ 
shows  $(ord-res-11\ N)^{**}\ (U,\ \mathcal{F},\ (K,\ Some\ C)\ \#\ \Gamma,\ Some\ D)\ (U,\ \mathcal{F},\ (K,\ Some\ C)\ \#\ \Gamma,\ Some\ (eres\ C\ D))$ 
⟨proof⟩

lemma rtrancl-ord-res-11-all-skip-steps:
 $(ord-res-11\ N)^{**}\ (U,\ \mathcal{F},\ \Gamma,\ Some\ C)\ (U,\ \mathcal{F},\ dropWhile\ (\lambda Ln.\ -\ fst\ Ln\ \notin\ C)\ \Gamma,\ Some\ C)$ 
⟨proof⟩

end

end

theory Simulation-SCLFOL-ORDRES
imports
  Background
  ORD-RES
  ORD-RES-1
  ORD-RES-2
  ORD-RES-3
  ORD-RES-4
  ORD-RES-5
  ORD-RES-6
  ORD-RES-7
  ORD-RES-8

```

ORD-RES-9
 ORD-RES-10
 ORD-RES-11
 Clause-Could-Propagate
begin

27 ORD-RES-1 (deterministic)

type-synonym 'f ord-res-1-state = 'f gclause fset

context simulation-SCLFOL-ground-ordered-resolution **begin**

sublocale backward-simulation-with-measuring-function **where**

step1 = ord-res **and**
 step2 = ord-res-1 **and**
 final1 = ord-res-final **and**
 final2 = ord-res-1-final **and**
 order = λ-. False **and**
 match = (=) **and**
 measure = λ-. ()
 ⟨proof⟩

end

28 ORD-RES-2 (full factorization)

type-synonym 'f ord-res-2-state = 'f gclause fset × 'f gclause fset × 'f gclause fset

context simulation-SCLFOL-ground-ordered-resolution **begin**

fun ord-res-1-matches-ord-res-2

:: 'f ord-res-1-state ⇒ - ⇒ bool **where**
 ord-res-1-matches-ord-res-2 S1 (N, (U_r, U_{ef})) ↔ (∃ U_f.
 S1 = N |∪| U_r |∪| U_{ef} |∪| U_f ∧
 (∀ C_f |∈| U_f. ∃ C |∈| N |∪| U_r |∪| U_{ef}. ord-res.ground-factoring⁺⁺ C C_f ∧
 C_f ≠ efac C_f ∧
 (efac C_f |∈| U_{ef} ∨ is-least-false-clause (N |∪| U_r |∪| U_{ef}) C)))

lemma ord-res-1-matches-ord-res-2-simps':

ord-res-1-matches-ord-res-2 S1 (N, (U_r, U_{ef})) ↔
 (∃ U_f. S1 = N |∪| U_r |∪| U_{ef} |∪| U_f ∧
 (∀ C_f |∈| U_f. C_f ≠ efac C_f ∧ (∃ C |∈| N |∪| U_r |∪| U_{ef}. ord-res.ground-factoring⁺⁺
 C C_f ∧
 (efac C_f |∈| U_{ef} ∨ is-least-false-clause (N |∪| U_r |∪| U_{ef}) C)))
 ⟨proof⟩

lemma ord-res-1-matches-ord-res-2-simps'':

$ord\text{-}res\text{-}1\text{-}matches\text{-}ord\text{-}res\text{-}2\ S1\ (N, (U_r, U_{ef})) \longleftrightarrow$
 $(\exists U_f. S1 = N \mid \cup \mid U_r \mid \cup \mid U_{ef} \mid \cup \mid U_f \wedge$
 $(\forall C_f \mid \in \mid U_f. C_f \neq efac\ C_f \wedge (\exists C \mid \in \mid N \mid \cup \mid U_r \mid \cup \mid U_{ef}. ord\text{-}res\text{-}ground\text{-}factoring^{++}$
 $C\ C_f \wedge$
 $(efac\ C \mid \in \mid U_{ef} \vee is\text{-}least\text{-}false\text{-}clause\ (N \mid \cup \mid U_r \mid \cup \mid U_{ef})\ C))))$
 $\langle proof \rangle$

lemma *ord-res-1-final-iff-ord-res-2-final*:
assumes *match*: *ord-res-1-matches-ord-res-2* $S_1\ S_2$
shows *ord-res-1-final* $S_1 \longleftrightarrow ord\text{-}res\text{-}2\text{-}final\ S_2$
 $\langle proof \rangle$

lemma *safe-states-if-ord-res-1-matches-ord-res-2*:
assumes *match*: *ord-res-1-matches-ord-res-2* $S_1\ S_2$
shows *safe-state* *ord-res-1* *ord-res-1-final* $S_1 \wedge safe\text{-}state\ ord\text{-}res\text{-}2\text{-}step\ ord\text{-}res\text{-}2\text{-}final$
 S_2
 $\langle proof \rangle$

definition *ord-res-1-measure* **where**
ord-res-1-measure $s1 =$
if $\exists C. is\text{-}least\text{-}false\text{-}clause\ s1\ C$ *then*
The $(is\text{-}least\text{-}false\text{-}clause\ s1)$
else
 $\{\#\}$

lemma *forward-simulation*:
assumes *match*: *ord-res-1-matches-ord-res-2* $s1\ s2$ **and**
step1: *ord-res-1* $s1\ s1'$
shows $(\exists s2'. ord\text{-}res\text{-}2\text{-}step^{++}\ s2\ s2' \wedge ord\text{-}res\text{-}1\text{-}matches\text{-}ord\text{-}res\text{-}2\ s1'\ s2') \vee$
 $ord\text{-}res\text{-}1\text{-}matches\text{-}ord\text{-}res\text{-}2\ s1'\ s2 \wedge ord\text{-}res\text{-}1\text{-}measure\ s1' \subset \# ord\text{-}res\text{-}1\text{-}measure$
 $s1$
 $\langle proof \rangle$

theorem *bisimulation-ord-res-1-ord-res-2*:
defines *match* $\equiv \lambda i\ s1\ s2. i = ord\text{-}res\text{-}1\text{-}measure\ s1 \wedge ord\text{-}res\text{-}1\text{-}matches\text{-}ord\text{-}res\text{-}2$
 $s1\ s2$
shows $\exists (MATCH :: nat \times nat \Rightarrow 'f\ ord\text{-}res\text{-}1\text{-}state \Rightarrow 'f\ ord\text{-}res\text{-}2\text{-}state \Rightarrow bool)$
 $\mathcal{R}. bisimulation\ ord\text{-}res\text{-}1\ ord\text{-}res\text{-}2\text{-}step\ ord\text{-}res\text{-}1\text{-}final\ ord\text{-}res\text{-}2\text{-}final\ \mathcal{R}\ MATCH$

$\langle proof \rangle$

end

29 ORD-RES-3 (full resolve)

type-synonym *'f ord-res-3-state* $= 'f\ gclause\ fset \times 'f\ gclause\ fset \times 'f\ gclause$
 $fset$

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-2-matches-ord-res-3* :: - \Rightarrow 'f *ord-res-3-state* \Rightarrow bool **where**

($\forall C \mid \in \mid U_{pr} \cdot \exists D1 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef} \cdot \exists D2 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef} \cdot$
 (ground-resolution $D1$)⁺⁺ $D2 \ C \wedge C \neq \text{eres } D1 \ D2 \wedge \text{eres } D1 \ D2 \mid \in \mid U_{er}$)

\Rightarrow

ord-res-2-matches-ord-res-3 ($N, (U_{pr} \mid \cup \mid U_{er}, U_{ef})$) ($N, (U_{er}, U_{ef})$)

lemma *ord-res-2-final-iff-ord-res-3-final*:

assumes *match*: *ord-res-2-matches-ord-res-3* $S_2 \ S_3$

shows *ord-res-2-final* $S_2 \longleftrightarrow$ *ord-res-3-final* S_3

<proof>

definition *ord-res-2-measure* **where**

ord-res-2-measure $S1 =$

(let ($N, (U_r, U_{ef})$) = $S1$ in

(if $\exists C$. *is-least-false-clause* ($N \mid \cup \mid U_r \mid \cup \mid U_{ef}$) C then

The (*is-least-false-clause* ($N \mid \cup \mid U_r \mid \cup \mid U_{ef}$))

else

{#}))

definition *resolvent-at* **where**

resolvent-at $C \ D \ i = (\text{THE } CD. (\text{ground-resolution } C \ \overset{\sim}{\sim} \ i) \ D \ CD)$

lemma *resolvent-at-0[simp]*: *resolvent-at* $C \ D \ 0 = D$

<proof>

lemma *resolvent-at-less-cls-resolvent-at*:

assumes *reso-at*: (ground-resolution $C \ \overset{\sim}{\sim} \ n$) $D \ CD$

assumes $i < j$ **and** $j \leq n$

shows *resolvent-at* $C \ D \ j \prec_c$ *resolvent-at* $C \ D \ i$

<proof>

lemma

assumes *reso-at*: (ground-resolution $C \ \overset{\sim}{\sim} \ n$) $D \ CD$ **and** $i < n$

shows

left-premise-lt-resolvent-at: $C \prec_c$ *resolvent-at* $C \ D \ i$ **and**

max-lit-resolvent-at:

ord-res.is-maximal-lit $L \ D \ \Longrightarrow$ *ord-res.is-maximal-lit* L (*resolvent-at* $C \ D \ i$)

and

nex-pos-strictly-max-lit-in-resolvent-at:

$\nexists L$. *is-pos* $L \wedge$ *ord-res.is-strictly-maximal-lit* L (*resolvent-at* $C \ D \ i$) **and**

ground-resolution-resolvent-at-resolvent-at-Suc:

ground-resolution C (*resolvent-at* $C \ D \ i$) (*resolvent-at* $C \ D$ (*Suc* i)) **and**

relpoup-to-resolvent-at: (ground-resolution $C \ \overset{\sim}{\sim} \ i$) D (*resolvent-at* $C \ D \ i$)

<proof>

definition *resolvents-upto* **where**

resolvents-upto $C \ D \ n = \text{resolvent-at } C \ D \ \mid \uparrow \ \text{fset-upto } (\text{Suc } 0) \ n$

lemma *resolvents-upto-0*[simp]:

resolvents-upto C D 0 = {||}
<proof>

lemma *resolvents-upto-Suc*[simp]:

resolvents-upto C D (Suc n) = finsert (resolvent-at C D (Suc n)) (resolvents-upto C D n)
<proof>

lemma *resolvent-at-fmember-resolvents-upto*:

assumes $k \neq 0$
shows *resolvent-at C D k |∈| resolvents-upto C D k*
<proof>

lemma *backward-simulation-2-to-3*:

fixes *match measure less*
defines *match* \equiv *ord-res-2-matches-ord-res-3*
assumes
 match: match S2 S3 and
 step2: ord-res-3-step S3 S3'
shows $(\exists S2'. \text{ord-res-2-step}^{++} S2 S2' \wedge \text{match } S2' S3')$
<proof>

lemma *safe-states-if-ord-res-2-matches-ord-res-3*:

assumes *match: ord-res-2-matches-ord-res-3 S2 S3*
shows
 safe-state ord-res-2-step ord-res-2-final S2
 safe-state ord-res-3-step ord-res-3-final S3
<proof>

theorem *bisimulation-ord-res-2-ord-res-3*:

defines *match* $\equiv \lambda S2 S3. \text{ord-res-2-matches-ord-res-3 } S2 S3$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ ord-res-2-state} \Rightarrow 'f \text{ ord-res-3-state} \Rightarrow \text{bool})$
 $\mathcal{R}. \text{bisimulation ord-res-2-step ord-res-3-step ord-res-2-final ord-res-3-final } \mathcal{R} \text{ MATCH}$
<proof>

end

30 ORD-RES-4 (implicit factorization)

type-synonym *'f ord-res-4-state* = *'f gclause fset* \times *'f gclause fset* \times *'f gclause fset*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-3-matches-ord-res-4* :: *'f ord-res-3-state* \Rightarrow *'f ord-res-4-state* \Rightarrow

bool where

$\mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er} \implies U_{ef} = \text{iefac } \mathcal{F} \mid \uparrow \{ \mid C \mid \in \mid N \mid \cup \mid U_{er}. \text{iefac } \mathcal{F} \ C \neq C \} \implies$
 $\text{ord-res-3-matches-ord-res-4 } (N, (U_{er}, U_{ef})) (N, U_{er}, \mathcal{F})$

lemma ord-res-3-final-iff-ord-res-4-final:

assumes *match*: $\text{ord-res-3-matches-ord-res-4 } S3 \ S4$

shows $\text{ord-res-3-final } S3 \longleftrightarrow \text{ord-res-4-final } S4$

<proof>

lemma forward-simulation-between-3-and-4:

assumes

match: $\text{ord-res-3-matches-ord-res-4 } S3 \ S4$ **and**

step: $\text{ord-res-3-step } S3 \ S3'$

shows $(\exists S4'. \text{ord-res-4-step}^{++} \ S4 \ S4' \wedge \text{ord-res-3-matches-ord-res-4 } S3' \ S4')$

<proof>

theorem bisimulation-ord-res-3-ord-res-4:

defines *match* $\equiv \lambda. \text{ord-res-3-matches-ord-res-4 } S3 \ S4$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-3-state} \Rightarrow 'f \text{ord-res-4-state} \Rightarrow \text{bool})$

\mathcal{R} .

bisimulation ord-res-3-step ord-res-4-step ord-res-3-final ord-res-4-final \mathcal{R} *MATCH*

<proof>

end

31 ORD-RES-5 (explicit model construction)

type-synonym $'f \text{ord-res-5-state} = 'f \text{gclause fset} \times 'f \text{gclause fset} \times 'f \text{gclause fset} \times$

$('f \text{gterm} \Rightarrow 'f \text{gclause option}) \times 'f \text{gclause option}$

context simulation-SCLFOL-ground-ordered-resolution begin

inductive $\text{ord-res-4-matches-ord-res-5} :: 'f \text{ord-res-4-state} \Rightarrow 'f \text{ord-res-5-state} \Rightarrow$

bool where

ord-res-5-invars $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \implies$

$(\forall C. C = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \ C) \implies$

$\text{ord-res-4-matches-ord-res-5 } (N, U_{er}, \mathcal{F}) (N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

lemma ord-res-4-final-iff-ord-res-5-final:

assumes *match*: $\text{ord-res-4-matches-ord-res-5 } S4 \ S5$

shows $\text{ord-res-4-final } S4 \longleftrightarrow \text{ord-res-5-final } S5$

<proof>

lemma forward-simulation-between-4-and-5:

fixes $S4 \ S4' \ S5$

assumes *match*: $\text{ord-res-4-matches-ord-res-5 } S4 \ S5$ **and** *step*: $\text{ord-res-4-step } S4 \ S4'$

shows $\exists S5'. \text{ord-res-5-step}^{++} S5 S5' \wedge \text{ord-res-4-matches-ord-res-5 } S4' S5'$
 ⟨proof⟩

theorem *bisimulation-ord-res-4-ord-res-5*:

defines *match* $\equiv \lambda-. \text{ord-res-4-matches-ord-res-5}$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-4-state} \Rightarrow 'f \text{ord-res-5-state} \Rightarrow \text{bool})$
 \mathcal{R} .

bisimulation ord-res-4-step ord-res-5-step ord-res-4-final ord-res-5-final \mathcal{R} *MATCH*

⟨proof⟩

end

32 ORD-RES-6 (model backjump)

type-synonym *'f ord-res-6-state* = *'f gclause fset* × *'f gclause fset* × *'f gclause fset* ×
'f gterm ⇒ *'f gclause option* × *'f gclause option*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-5-matches-ord-res-6* :: *'f ord-res-5-state* ⇒ *'f ord-res-6-state* ⇒
bool **where**

ord-res-5-invars $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \Longrightarrow$
ord-res-5-matches-ord-res-6 $(N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

lemma *ord-res-5-final-iff-ord-res-6-final*:

fixes *i S5 S6*

assumes *match*: *ord-res-5-matches-ord-res-6 S5 S6*

shows *ord-res-5-final S5* \longleftrightarrow *ord-res-6-final S6*

⟨proof⟩

lemma *backward-simulation-between-5-and-6*:

fixes *S5 S6 S6'*

assumes *match*: *ord-res-5-matches-ord-res-6 S5 S6* **and** *step*: *ord-res-6-step S6*
S6'

shows $\exists S5'. \text{ord-res-5-step}^{++} S5 S5' \wedge \text{ord-res-5-matches-ord-res-6 } S5' S6'$

⟨proof⟩

theorem *bisimulation-ord-res-5-ord-res-6*:

defines *match* $\equiv \lambda-. \text{ord-res-5-matches-ord-res-6}$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-5-state} \Rightarrow 'f \text{ord-res-6-state} \Rightarrow \text{bool})$
 \mathcal{R} .

bisimulation ord-res-5-step ord-res-6-step ord-res-5-final ord-res-6-final \mathcal{R} *MATCH*

⟨proof⟩

end

33 ORD-RES-7 (clause-guided literal trail construction)

type-synonym *'f ord-res-7-state* =
'f gclause fset × *'f gclause fset* × *'f gclause fset* × (*'f gliteral* × *'f gclause option*)
list ×
'f gclause option

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-6-matches-ord-res-7* ::
'f gterm fset ⇒ *'f ord-res-6-state* ⇒ *'f ord-res-7-state* ⇒ *bool* **where**
ord-res-5-invars *N (U_{er}, F, M, C)* ⇒
ord-res-7-invars *N (U_{er}, F, Γ, C)* ⇒
(∀ *A C. M A = Some C* ⇔ *map-of Γ (Pos A) = Some (Some C)*) ⇒
(∀ *A. M A = None* ⇔ *map-of Γ (Neg A) ≠ None* ∨ *A |∉| trail-atms Γ*) ⇒
i = atms-of-clss (N |∪| U_{er}) - trail-atms Γ ⇒
ord-res-6-matches-ord-res-7 i (N, U_{er}, F, M, C) (N, U_{er}, F, Γ, C)

lemma *ord-res-6-final-iff-ord-res-7-final*:
fixes *i S6 S7*
assumes *match: ord-res-6-matches-ord-res-7 i S6 S7*
shows *ord-res-6-final S6* ⇔ *ord-res-7-final S7*
⟨*proof*⟩

lemma *backward-simulation-between-6-and-7*:
fixes *i S6 S7 S7'*
assumes *match: ord-res-6-matches-ord-res-7 i S6 S7* **and** *step: constant-context ord-res-7 S7 S7'*
shows
(∃ *i' S6'. ord-res-6-step⁺⁺ S6 S6' ∧ ord-res-6-matches-ord-res-7 i' S6' S7'*) ∨
(∃ *i'. ord-res-6-matches-ord-res-7 i' S6 S7' ∧ i' |<| i*)
⟨*proof*⟩

theorem *bisimulation-ord-res-6-ord-res-7*:
defines *match* ≡ *ord-res-6-matches-ord-res-7*
shows ∃ (*MATCH* :: *nat* × *nat* ⇒ *'f ord-res-6-state* ⇒ *'f ord-res-7-state* ⇒ *bool*)
R.
bisimulation ord-res-6-step (constant-context ord-res-7) ord-res-6-final ord-res-7-final
R MATCH

⟨*proof*⟩

end

34 ORD-RES-8 (atom-guided literal trail construction)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-8-can-decide-neg* **where**

- \neg *trail-false-cls* $\Gamma C \implies$
- linorder-lit.is-maximal-in-mset* $C L \implies$
- linorder-trm.is-least-in-fset* $\{|A| \in| \text{atms-of-clss } (N \cup| U_{er}).$
- $A \prec_t \text{atm-of } L \wedge A \notin| \text{trail-atms } \Gamma| \} A \implies$
- ord-res-8-can-decide-neg* $N U_{er} \mathcal{F} \Gamma C$

inductive *ord-res-8-can-skip-undefined-neg* **where**

- \neg *trail-false-cls* $\Gamma C \implies$
- linorder-lit.is-maximal-in-mset* $C L \implies$
- $\neg(\exists A \in| \text{atms-of-clss } (N \cup| U_{er}). A \prec_t \text{atm-of } L \wedge A \notin| \text{trail-atms } \Gamma) \implies$
- \neg *trail-defined-lit* $\Gamma L \implies$
- is-neg* $L \implies$
- ord-res-8-can-skip-undefined-neg* $N U_{er} \mathcal{F} \Gamma C$

inductive *ord-res-8-can-skip-undefined-pos-ultimate* **where**

- \neg *trail-false-cls* $\Gamma C \implies$
- linorder-lit.is-maximal-in-mset* $C L \implies$
- $\neg(\exists A \in| \text{atms-of-clss } (N \cup| U_{er}). A \prec_t \text{atm-of } L \wedge A \notin| \text{trail-atms } \Gamma) \implies$
- \neg *trail-defined-lit* $\Gamma L \implies$
- is-pos* $L \implies$
- \neg *trail-false-cls* $\Gamma \{\#K \in\# C. K \neq L\# \} \implies$
- $\neg(\exists D \in| \text{iefac } \mathcal{F} \mid^i (N \cup| U_{er}). C \prec_c D) \implies$
- ord-res-8-can-skip-undefined-pos-ultimate* $N U_{er} \mathcal{F} \Gamma C$

inductive *ord-res-8-can-produce* **where**

- \neg *trail-false-cls* $\Gamma C \implies$
- linorder-lit.is-maximal-in-mset* $C L \implies$
- $\neg(\exists A \in| \text{atms-of-clss } (N \cup| U_{er}). A \prec_t \text{atm-of } L \wedge A \notin| \text{trail-atms } \Gamma) \implies$
- \neg *trail-defined-lit* $\Gamma L \implies$
- is-pos* $L \implies$
- trail-false-cls* $\Gamma \{\#K \in\# C. K \neq L\# \} \implies$
- linorder-lit.is-greatest-in-mset* $C L \implies$
- ord-res-8-can-produce* $N U_{er} \mathcal{F} \Gamma C$

inductive *ord-res-8-can-factorize* **where**

- \neg *trail-false-cls* $\Gamma C \implies$
- linorder-lit.is-maximal-in-mset* $C L \implies$
- $\neg(\exists A \in| \text{atms-of-clss } (N \cup| U_{er}). A \prec_t \text{atm-of } L \wedge A \notin| \text{trail-atms } \Gamma) \implies$
- \neg *trail-defined-lit* $\Gamma L \implies$
- is-pos* $L \implies$
- trail-false-cls* $\Gamma \{\#K \in\# C. K \neq L\# \} \implies$
- linorder-lit.is-greatest-in-mset* $C L \implies$
- ord-res-8-can-factorize* $N U_{er} \mathcal{F} \Gamma C$

definition *is-least-nonskipped-clause* **where**

is-least-nonskipped-clause $N U_{er} \mathcal{F} \Gamma C \longleftrightarrow$
linorder-cl.*is-least-in-fset* $\{|C| \in |iefac \mathcal{F}|\} (N \cup U_{er})$.
trail-false-cl $\Gamma C \vee$
ord-res-8-can-decide-neg $N U_{er} \mathcal{F} \Gamma C \vee$
ord-res-8-can-skip-undefined-neg $N U_{er} \mathcal{F} \Gamma C \vee$
ord-res-8-can-skip-undefined-pos-ultimate $N U_{er} \mathcal{F} \Gamma C \vee$
ord-res-8-can-produce $N U_{er} \mathcal{F} \Gamma C \vee$
ord-res-8-can-factorize $N U_{er} \mathcal{F} \Gamma C\} C$

lemma *is-least-nonskipped-clause-mempty*:

assumes *bot-in*: $\{\#\} \in |iefac \mathcal{F}|\} (N \cup U_{er})$
shows *is-least-nonskipped-clause* $N U_{er} \mathcal{F} \Gamma \{\#\}$
 $\langle proof \rangle$

lemma *nex-is-least-nonskipped-clause-if*:

assumes
no-undef-atom: $\neg (\exists A \in |atms-of-clss (N \cup U_{er})|. A \notin |trail-atms \Gamma|)$ **and**
no-false-clause: $\neg fBex (iefac \mathcal{F}|\} (N \cup U_{er})) (trail-false-cl \Gamma)$
shows $\nexists C. C = Some C \longleftrightarrow is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma C$
 $\langle proof \rangle$

lemma *MAGIC5*:

assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, C)$ **and**
no-more-steps: $\nexists C'. ord-res-7 N (U_{er}, \mathcal{F}, \Gamma, C) (U_{er}, \mathcal{F}, \Gamma, C')$
shows $(\forall C. C = Some C \longleftrightarrow is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma C)$
 $\langle proof \rangle$

lemma *MAGIC6*:

assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, C)$
shows $\exists C'. (ord-res-7 N)^{**} (U_{er}, \mathcal{F}, \Gamma, C) (U_{er}, \mathcal{F}, \Gamma, C') \wedge$
 $(\nexists C''. ord-res-7 N (U_{er}, \mathcal{F}, \Gamma, C') (U_{er}, \mathcal{F}, \Gamma, C''))$
 $\langle proof \rangle$

inductive *ord-res-7-matches-ord-res-8* :: '*f* *ord-res-7-state* \Rightarrow '*f* *ord-res-8-state* \Rightarrow
bool **where**

ord-res-7-invars $N (U_{er}, \mathcal{F}, \Gamma, C) \Longrightarrow$
ord-res-8-invars $N (U_{er}, \mathcal{F}, \Gamma) \Longrightarrow$
 $(\forall C. C = Some C \longleftrightarrow is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma C) \Longrightarrow$
ord-res-7-matches-ord-res-8 $(N, U_{er}, \mathcal{F}, \Gamma, C) (N, U_{er}, \mathcal{F}, \Gamma)$

lemma *ord-res-7-final-iff-ord-res-8-final*:

fixes *S7 S8*
assumes *match*: *ord-res-7-matches-ord-res-8* *S7 S8*
shows *ord-res-7-final* *S7* \longleftrightarrow *ord-res-8-final* *S8*
 $\langle proof \rangle$

lemma *backward-simulation-between-7-and-8*:

fixes $i S7 S8 S8'$
assumes $match: ord-res-7-matches-ord-res-8 S7 S8$ **and step:** $constant-context ord-res-8 S8 S8'$
shows $\exists S7'. (constant-context ord-res-7)^{++} S7 S7' \wedge ord-res-7-matches-ord-res-8 S7' S8'$
 $\langle proof \rangle$

theorem $bisimulation-ord-res-7-ord-res-8$:
defines $match \equiv \lambda-. ord-res-7-matches-ord-res-8$
shows $\exists (MATCH :: nat \times nat \Rightarrow 'f ord-res-7-state \Rightarrow 'f ord-res-8-state \Rightarrow bool)$
 \mathcal{R} .
bisimulation
 $(constant-context ord-res-7) (constant-context ord-res-8)$
 $ord-res-7-final ord-res-8-final$
 $\mathcal{R} MATCH$

$\langle proof \rangle$

end

35 ORD-RES-9 (factorize when propagating)

type-synonym $'f ord-res-9-state =$
 $'f gclause fset \times 'f gclause fset \times 'f gclause fset \times ('f gliteral \times 'f gclause option)$
 $list$

context $simulation-SCLFOL-ground-ordered-resolution$ **begin**

inductive $ord-res-8-matches-ord-res-9 :: 'f ord-res-8-state \Rightarrow 'f ord-res-9-state \Rightarrow$
 $bool$ **where**
 $ord-res-8-invars N (U_{er}, \mathcal{F}, \Gamma) \implies$
 $ord-res-8-matches-ord-res-9 (N, U_{er}, \mathcal{F}, \Gamma) (N, U_{er}, \mathcal{F}, \Gamma)$

lemma $ord-res-8-final-iff-ord-res-9-final$:
fixes $S8 S9$
assumes $match: ord-res-8-matches-ord-res-9 S8 S9$
shows $ord-res-8-final S8 \longleftrightarrow ord-res-8-final S9$
 $\langle proof \rangle$

lemma $backward-simulation-between-8-and-9$:
fixes $S8 S9 S9'$
assumes $match: ord-res-8-matches-ord-res-9 S8 S9$ **and step:** $constant-context ord-res-9 S9 S9'$
shows $\exists S8'. (constant-context ord-res-8)^{++} S8 S8' \wedge ord-res-8-matches-ord-res-9 S8' S9'$
 $\langle proof \rangle$

theorem $bisimulation-ord-res-8-ord-res-9$:
defines $match \equiv \lambda-. ord-res-8-matches-ord-res-9$

shows $\exists (MATCH :: nat \times nat \Rightarrow 'f \text{ ord-res-8-state} \Rightarrow 'f \text{ ord-res-9-state} \Rightarrow bool)$
 \mathcal{R} .

bisimulation
(constant-context ord-res-8) (constant-context ord-res-9)
ord-res-8-final ord-res-8-final
 $\mathcal{R} \text{ MATCH}$

<proof>

end

36 ORD-RES-10 (propagate iff a conflict is produced)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-9-matches-ord-res-10* :: *'f ord-res-9-state* \Rightarrow *'f ord-res-10-state*
 $\Rightarrow bool$ **where**

ord-res-8-invars $N (U_{er}, \mathcal{F}, \Gamma_9) \Longrightarrow$
ord-res-10-invars $N (U_{er}, \mathcal{F}, \Gamma_{10}) \Longrightarrow$
list-all2 $(\lambda x y. \text{fst } x = \text{fst } y) \Gamma_9 \Gamma_{10} \Longrightarrow$
list-all2 $(\lambda x y. \text{snd } y \neq \text{None} \longrightarrow x = y) \Gamma_9 \Gamma_{10} \Longrightarrow$
ord-res-9-matches-ord-res-10 $(N, U_{er}, \mathcal{F}, \Gamma_9) (N, U_{er}, \mathcal{F}, \Gamma_{10})$

lemma *ord-res-9-final-iff-ord-res-10-final*:

fixes $S9 \ S10$
assumes *match: ord-res-9-matches-ord-res-10* $S9 \ S10$
shows *ord-res-8-final* $S9 \longleftrightarrow \text{ord-res-8-final } S10$
<proof>

lemma *backward-simulation-between-9-and-10*:

fixes $S9 \ S10 \ S10'$
assumes
match: ord-res-9-matches-ord-res-10 $S9 \ S10$ **and**
step: constant-context ord-res-10 $S10 \ S10'$
shows $\exists S9'. (\text{constant-context ord-res-9})^{++} S9 \ S9' \wedge \text{ord-res-9-matches-ord-res-10}$
 $S9' \ S10'$
<proof>

theorem *bisimulation-ord-res-9-ord-res-10*:

defines *match* $\equiv \lambda-. \text{ord-res-9-matches-ord-res-10}$
shows $\exists (MATCH :: nat \times nat \Rightarrow 'f \text{ ord-res-8-state} \Rightarrow 'f \text{ ord-res-9-state} \Rightarrow bool)$
 \mathcal{R} .

bisimulation
(constant-context ord-res-9) (constant-context ord-res-10)
ord-res-8-final ord-res-8-final
 $\mathcal{R} \text{ MATCH}$

<proof>

end

37 ORD-RES-11 (SCL strategy)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-10-matches-ord-res-11* :: 'f *ord-res-10-state* \Rightarrow 'f *ord-res-11-state*
 \Rightarrow *bool* **where**

ord-res-10-invars $N (U_{er10}, \mathcal{F}, \Gamma) \Longrightarrow$
ord-res-11-invars $N (U_{er11}, \mathcal{F}, \Gamma, \mathcal{C}) \Longrightarrow$
 $U_{er11} = U_{er10} - \{\{\#\}\} \Longrightarrow$
if $\{\#\} \in \text{iefac } \mathcal{F} \mid^i (N \mid \cup \mid U_{er10})$ *then* $\Gamma = [] \wedge \mathcal{C} = \text{Some } \{\#\}$ *else* $\mathcal{C} =$
None \Longrightarrow
ord-res-10-matches-ord-res-11 $(N, U_{er10}, \mathcal{F}, \Gamma) (N, U_{er11}, \mathcal{F}, \Gamma, \mathcal{C})$

lemma *ord-res-10-final-iff-ord-res-11-final*:

fixes *S10 S11*

assumes *match*: *ord-res-10-matches-ord-res-11* *S10 S11*

shows *ord-res-8-final* *S10* \longleftrightarrow *ord-res-11-final* *S11*

<proof>

lemma *forward-simulation-between-10-and-11*:

fixes *S10 S11 S10'*

assumes

match: *ord-res-10-matches-ord-res-11* *S10 S11* **and**

step: *constant-context ord-res-10* *S10 S10'*

shows $\exists S11'. (\text{constant-context ord-res-11})^{++} S11 S11' \wedge \text{ord-res-10-matches-ord-res-11}$
S10' S11'

<proof>

theorem *bisimulation-ord-res-10-ord-res-11*:

defines *match* $\equiv \lambda-. \text{ord-res-10-matches-ord-res-11}$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow \text{'f ord-res-10-state} \Rightarrow \text{'f ord-res-11-state} \Rightarrow$
bool) \mathcal{R} .

bisimulation

(constant-context ord-res-10) (constant-context ord-res-11)

ord-res-8-final ord-res-11-final

\mathcal{R} *MATCH*

<proof>

end

lemma *forward-simulation-composition*:

assumes

forward-simulation step1 step2 final1 final2 order1 match1

forward-simulation step2 step3 final2 final3 order2 match2

```

defines  $\mathcal{R} \equiv \lambda i i'. \text{lex-prodp } \text{order2}^{++} \text{ order1 } (\text{prod.swap } i) (\text{prod.swap } i')$ 
shows forward-simulation step1 step3 final1 final3  $\mathcal{R} (\text{rel-comp } \text{match1 } \text{match2})$ 
<proof>

```

For AFP-devel, delete $\llbracket \text{forward-simulation } ?\text{step1}.0 ?\text{step2}.0 ?\text{final1}.0 ?\text{final2}.0 ?\text{order1}.0 ?\text{match1}.0; \text{forward-simulation } ?\text{step2}.0 ?\text{step3}.0 ?\text{final2}.0 ?\text{final3}.0 ?\text{order2}.0 ?\text{match2}.0 \rrbracket \implies \text{forward-simulation } ?\text{step1}.0 ?\text{step3}.0 ?\text{final1}.0 ?\text{final3}.0 (\lambda i i'. \text{Well-founded.lex-prodp } ?\text{order2}.0^{++} ?\text{order1}.0 (\text{prod.swap } i) (\text{prod.swap } i')) (\text{rel-comp } ?\text{match1}.0 ?\text{match2}.0)$ as it is available in *Veri-Comp.Simulation*.

```

type-synonym bisim-index-1-2 =  $\text{nat} \times \text{nat}$ 
type-synonym bisim-index-1-3 =  $\text{bisim-index-1-2} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-4 =  $\text{bisim-index-1-3} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-5 =  $\text{bisim-index-1-4} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-6 =  $\text{bisim-index-1-5} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-7 =  $\text{bisim-index-1-6} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-8 =  $\text{bisim-index-1-7} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-9 =  $\text{bisim-index-1-8} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-10 =  $\text{bisim-index-1-9} \times (\text{nat} \times \text{nat})$ 
type-synonym bisim-index-1-11 =  $\text{bisim-index-1-10} \times (\text{nat} \times \text{nat})$ 

```

```

context simulation-SCLFOL-ground-ordered-resolution begin

```

```

theorem forward-simulation-ord-res-1-ord-res-11:

```

```

obtains

```

```

  MATCH ::  $\text{bisim-index-1-11} \Rightarrow 'f \text{ord-res-1-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}$ 

```

```

and

```

```

   $\mathcal{R} :: \text{bisim-index-1-11} \Rightarrow \text{bisim-index-1-11} \Rightarrow \text{bool}$ 

```

```

where

```

```

  forward-simulation
  ord-res-1 (constant-context ord-res-11)
  ord-res-1-final ord-res-11-final
   $\mathcal{R}$  MATCH

```

```

<proof>

```

```

theorem backward-simulation-ord-res-1-ord-res-11:

```

```

obtains

```

```

  MATCH ::  $\text{bisim-index-1-11} \Rightarrow 'f \text{ord-res-1-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}$ 

```

```

and

```

```

   $\mathcal{R} :: \text{bisim-index-1-11} \Rightarrow \text{bisim-index-1-11} \Rightarrow \text{bool}$ 

```

```

where

```

```

  backward-simulation
  ord-res-1 (constant-context ord-res-11)
  ord-res-1-final ord-res-11-final
   $\mathcal{R}$  MATCH

```

```

<proof>

```

38 ORD-RES-11 is a regular SCL strategy

definition *gtrailelem-of-trailelem* **where**

gtrailelem-of-trailelem $\equiv \lambda(L, opt).$

(*lit-of-glit* L , *map-option* ($\lambda C. (cls\text{-of-gcls } \{\#K \in\# C. K \neq L\# \}, lit\text{-of-glit } L, Var)) opt$)

fun *state-of-gstate* $:: - \Rightarrow ('f, 'v) SCL\text{-FOL.state}$ **where**

state-of-gstate ($U_G, -, \Gamma_G, C_G$) =

(*let*

$\Gamma = map\ gtrailelem\text{-of-trailelem } \Gamma_G;$

$U = cls\text{-of-gcls } |\cdot| U_G;$

$C = map\text{-option } (\lambda C_G. (cls\text{-of-gcls } C_G, Var)) C_G$

in (Γ, U, C)

lemma *fst-case-prod-simp*: $fst (case\ p\ of\ (x, y) \Rightarrow (f\ x, g\ x\ y)) = f (fst\ p)$

<proof>

lemma *trail-false-cls-nonground-iff-trail-false-cls-ground*:

fixes Γ_G **and** $D_G :: 'f\ gclause$

fixes $\Gamma :: ('f, 'v) SCL\text{-FOL.trail}$ **and** $D :: ('f, 'v) term\ clause$

defines $\Gamma \equiv map\ gtrailelem\text{-of-trailelem } \Gamma_G$ **and** $D \equiv cls\text{-of-gcls } D_G$

shows $trail\text{-false-cls } \Gamma\ D \longleftrightarrow trail\text{-false-cls } \Gamma_G\ D_G$

<proof>

theorem *ord-res-11-is-strategy-for-regular-scl*:

fixes

$N_G :: 'f\ gclause\ fset$ **and**

$N :: ('f, 'v) term\ clause\ fset$ **and**

$\beta_G :: 'f\ gterm$ **and**

$\beta :: ('f, 'v) term$ **and**

$S_G\ S_G' :: 'f\ gclause\ fset \times 'f\ gclause\ fset \times ('f\ gliteral \times 'f\ gclause\ option)\ list$

$\times 'f\ gclause\ option$ **and**

$S\ S' :: ('f, 'v) SCL\text{-FOL.state}$

defines

$N \equiv cls\text{-of-gcls } |\cdot| N_G$ **and**

$\beta \equiv term\text{-of-gterm } \beta_G$ **and**

$S \equiv state\text{-of-gstate } S_G$ **and**

$S' \equiv state\text{-of-gstate } S_G'$

assumes

ball-le-beta_G: $\forall A_G \in | \in | atms\text{-of-cls } N_G. A_G \preceq_t \beta_G$ **and**

run: (*ord-res-11* N_G)** ($\{\{\}\}, \{\{\}\}, [], None$) S_G **and**

step: *ord-res-11* $N_G\ S_G\ S_G'$

shows

scl-fol.regular-scl $N\ \beta\ S\ S'$

<proof>

end

lemma *wfp-on-antimono-stronger*:

fixes

$A :: 'a \text{ set}$ **and** $B :: 'b \text{ set}$ **and**
 $f :: 'a \Rightarrow 'b$ **and**
 $R :: 'b \Rightarrow 'b \Rightarrow \text{bool}$ **and** $Q :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes

$wf: \text{wfp-on } B \ R$ **and**
 $sub: f \text{ ' } A \subseteq B$ **and**
 $mono: \bigwedge x \ y. x \in A \Longrightarrow y \in A \Longrightarrow Q \ x \ y \Longrightarrow R \ (f \ x) \ (f \ y)$

shows $wfp\text{-on } A \ Q$

<proof>

For AFP-devel, delete $\llbracket wfp\text{-on } ?B \ ?R; ?f \text{ ' } ?A \subseteq ?B; \bigwedge x \ y. \llbracket x \in ?A; y \in ?A; ?Q \ x \ y \rrbracket \Longrightarrow ?R \ (?f \ x) \ (?f \ y) \rrbracket \Longrightarrow wfp\text{-on } ?A \ ?Q$ as it is available in *HOL.Wellfounded*.

corollary (in *scl-fol-calculus*) *termination-projectable-strategy*:

fixes

$N :: ('f, 'v) \text{ Term.term clause fset}$ **and**
 $\beta :: ('f, 'v) \text{ Term.term}$ **and**
 $strategy$ **and** $strategy\text{-init}$ **and** $proj$

assumes *strategy-restricts-regular-scl*:

$\bigwedge S \ S'. \text{strategy}^{**} \text{strategy-init } S \Longrightarrow \text{strategy } S \ S' \Longrightarrow \text{regular-scl } N \ \beta \ (proj \ S)$
(proj S') **and**

initial-state: proj strategy-init = initial-state

shows $wfp\text{-on } \{S. \text{strategy}^{**} \text{strategy-init } S\} \text{strategy}^{-1-1}$

<proof>

For AFP-devel, delete $\llbracket scl\text{-fol-calculus } ?renaming\text{-vars } ?less\text{-B}; \bigwedge S \ S'. \llbracket ?strategy^{**} ?strategy\text{-init } S; ?strategy \ S \ S' \rrbracket \Longrightarrow scl\text{-fol-calculus.regular-scl } ?less\text{-B } ?N ?\beta \ (?proj \ S) \ (?proj \ S'); ?proj \ ?strategy\text{-init} = \text{initial-state} \rrbracket \Longrightarrow wfp\text{-on } \{S. ?strategy^{**} ?strategy\text{-init } S\} ?strategy^{-1-1}$ as it is available in *Simple-Clause-Learning.Termination*.

corollary (in *simulation-SCLFOL-ground-ordered-resolution*) *ord-res-11-termination*:

fixes $N :: 'f \text{ gclause fset}$

shows $wfp\text{-on } \{S. (\text{ord-res-11 } N)^{**} (\{\|\}, \{\|\}, [], \text{None}) \ S\} (\text{ord-res-11 } N)^{-1-1}$

<proof>

corollary (in *scl-fol-calculus*) *static-non-subsumption-projectable-strategy*:

fixes $strategy$ **and** $strategy\text{-init}$ **and** $proj$

assumes

$run: \text{strategy}^{**} \text{strategy-init } S$ **and**
 $step: \text{backtrack } N \ \beta \ (proj \ S) \ S'$ **and**
strategy-restricts-regular-scl:

$\bigwedge S \ S'. \text{strategy}^{**} \text{strategy-init } S \Longrightarrow \text{strategy } S \ S' \Longrightarrow \text{regular-scl } N \ \beta \ (proj \ S) \ (proj \ S')$ **and**

initial-state: proj strategy-init = initial-state

defines

$U \equiv \text{state-learned } (\text{proj } S)$
shows $\exists C \gamma. \text{state-conflict } (\text{proj } S) = \text{Some } (C, \gamma) \wedge \neg (\exists D | \in | N | \cup | U.$
subsumes $D C)$
 <proof>

For AFP-devel, delete $\llbracket \text{scl-fol-calculus } ?\text{renaming-vars } ?\text{less-B}; ?\text{strategy}^{**}$
 $?\text{strategy-init } ?S; \text{scl-fol-calculus.backtrack } ?N ?\beta (?proj ?S) ?S'; \bigwedge S S'.$
 $\llbracket ?\text{strategy}^{**} ?\text{strategy-init } S; ?\text{strategy } S S' \rrbracket \implies \text{scl-fol-calculus.regular-scl}$
 $?less-B ?N ?\beta (?proj S) (?proj S'); ?proj ?\text{strategy-init} = \text{initial-state} \rrbracket$
 $\implies \exists C \gamma. \text{state-conflict } (?proj ?S) = \text{Some } (C, \gamma) \wedge \neg (\exists D | \in | ?N | \cup |$
 $\text{state-learned } (?proj ?S). \text{subsumes } D C)$ as it is available in *Simple-Clause-Learning.Non-Redundancy*.

corollary (in *simulation-SCLFOL-ground-ordered-resolution*) *ord-res-11-non-subsumption*:

fixes $N_G :: 'f \text{ gclause fset}$ **and** $s :: - \times - \times - \times -$

defines

$\beta \equiv (\text{THE } A. \text{linorder-trm.is-greatest-in-fset } (\text{atms-of-cls } N_G) A)$

assumes

run: $(\text{ord-res-11 } N_G)^{**} (\{\|\}, \{\|\}, [], \text{None}) s$ **and**

step: $\text{scl-fol.backtrack } (\text{cls-of-gcls } | \uparrow | N_G) (\text{term-of-gterm } \beta) (\text{state-of-gstate } s)$

s'

shows $\exists U_{er} \mathcal{F} \Gamma D. s = (U_{er}, \mathcal{F}, \Gamma, \text{Some } D) \wedge \neg (\exists C | \in | N_G | \cup | U_{er}. C \subseteq \#$
 $D)$

<proof>

end

References

- [1] M. Bromberger, C. Jain, and C. Weidenbach. SCL(FOL) can simulate non-redundant superposition clause learning. In B. Pientka and C. Tinelli, editors, *Automated Deduction – CADE 29*, pages 134–152, Cham, 2023. Springer Nature Switzerland.