

Residuated Transition Systems

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Abstract

A *residuated transition system* (RTS) is a transition system that is equipped with a certain partial binary operation, called *residuation*, on transitions. Using the residuation operation, one can express nuances, such as a distinction between nondeterministic and concurrent choice, as well as partial commutativity relationships between transitions, which are not captured by ordinary transition systems. A version of residuated transition systems was introduced by the author in [10], where they were called “concurrent transition systems” in view of the original motivation for their definition from the study of concurrency. In the first part of the present article, we give a formal development that generalizes and subsumes the original presentation. We give an axiomatic definition of residuated transition systems that assumes only a single partial binary operation as given structure. From the axioms, we derive notions of “arrow” (transition), “source”, “target”, “identity”, as well as “composition” and “join” of transitions; thereby recovering structure that in the previous work was assumed as given. We formalize and generalize the result, that residuation extends from transitions to transition paths, and we systematically develop the properties of this extension. A significant generalization made in the present work is the identification of a general notion of congruence on RTS’s, along with an associated quotient construction.

In the second part of this article, we use the RTS framework to formalize several results in the theory of reduction in Church’s λ -calculus. Using a de Bruijn index-based syntax in which terms represent parallel reduction steps, we define residuation on terms and show that it satisfies the axioms for an RTS. An application of the results on paths from the first part of the article allows us to prove the classical Church-Rosser Theorem with little additional effort. We then use residuation to define the notion of “development” and we prove the Finite Developments Theorem, that every development is finite, formalizing and adapting to de Bruijn indices a proof by de Vrijer. We also use residuation to define the notion of a “standard reduction path”, and we prove the Standardization Theorem: that every reduction path is congruent to a standard one. As a corollary of the Standardization Theorem, we obtain the Leftmost Reduction Theorem: that leftmost reduction is a normalizing strategy.

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Chapter 1

Introduction

A *transition system* is a graph used to represent the dynamics of a computational process. It consists simply of nodes, called *states*, and edges, called *transitions*. Paths through a transition system correspond to possible computations. A *residuated transition system* is a transition system that is equipped with a partial binary operation, called *residuation*, on transitions, subject to certain axioms. Among other things, these axioms imply that if residuation is defined for transitions t and u , then t and u must be *coinitial*; that is, they must have a common source state. If the residuation is defined for coinitial transitions t and u , then we regard transitions t and u as *consistent*, otherwise they are *in conflict*. The residuation $t \setminus u$ of t along u can be thought of as what remains of transition t after the portion that it has in common with u has been cancelled.

A version of residuated transition systems was introduced in [10], where I called them “concurrent transition systems”, because my motivation for the definition was to be able to have a way of representing information about concurrency and nondeterministic choice. Indeed, transitions that are in conflict can be thought of as representing a nondeterministic choice between steps that cannot occur in a single computation, whereas consistent transitions represent steps that can so occur and are therefore in some sense concurrent with each other. Whereas performing a product construction on ordinary transition system results in a transition system that records no information about commutativity of concurrent steps, with residuated transition systems the residuation operation makes it possible to represent such information.

In [10], concurrent transition systems were defined in terms of graphs, consisting of states, transitions, and a pair of functions that assign to each transition a *source* (or domain) state and a *target* (or codomain) state. In addition, the presence of transitions that are *identities* for the residuation was assumed. Identity transitions had the same source and target state, and they could be thought of as representing empty computational steps. The key axiom for concurrent transition systems is the “cube axiom”, which is a parallel moves property stating that the same result is achieved when transporting a transition by residuation along the two paths from the base to the apex of a “commuting diamond”. Using the residuation operation and the associated cube axiom, it becomes possible to define notions of “join” and “composition” of transitions. The residuation also

induces a notion of congruence of transitions; namely, transitions t and u are congruent whenever they are coinitial and both $t \setminus u$ and $u \setminus t$ are identities. In [10], the basic definition of concurrent transition system included an axiom, called “extensionality”, which states that the congruence relation is trivial (*i.e.* coincides with equality). An advantage of the extensionality axiom is that, in its presence, joins and composites of transitions are uniquely defined when they exist. It was shown in [10] that a concurrent transition system could always be quotiented by congruence to achieve extensionality.

A focus of the basic theory developed in [10] was to show that the residuation operation \setminus on individual transitions extended in a natural way to a residuation operation \setminus^* on paths, so that a concurrent transition system could be completed to one having a composite for each “composable” pair of transitions. The construction involved quotienting by the congruence on paths obtained by declaring paths T and U to be congruent if they are coinitial and both $T \setminus^* U$ and $U \setminus^* T$ are paths consisting only of identities. Besides collapsing paths of identities, this congruence reflects permutation relations induced by the residuation. In particular, if t and u are consistent, then the paths $t(u \setminus t)$ and $u(t \setminus u)$ are congruent.

Imposing the extensionality requirement as part of the basic definition of concurrent transition systems does not end up being particularly desirable, since natural examples of situations where there is a residuation on transitions (such as on reductions in the λ -calculus) often do not naturally satisfy the extensionality condition and can only be made to do so if a quotient construction is applied. Also, the treatment of identity transitions and quotienting in [10] was not entirely satisfactory. The definition of “strong congruence” given there was somewhat awkward and basically existed to capture the specific congruence that was induced on paths by the underlying residuation. It was clear that a more general quotient construction ought to be possible than the one used in [10], but it was not clear what the right general definition ought to be.

In the present article we revisit the notion of transition systems equipped with a residuation operation, with the idea of developing a more general theory that does not require the assumption of extensionality as part of the basic axioms, and of clarifying the general notion of congruence that applies to such structures. We use the term “residuated transition systems” to refer to the more general structures defined here, as the name is perhaps more suggestive of what the theory is about and it does not seem to limit the interpretation of the residuation operation only to settings that have something to do with concurrency.

Rather than starting out by assuming source, target, and identities as basic structure, here we develop residuated transition systems purely as a theory about a partial binary operation (residuation) that is subject to certain axioms. The axioms will allow us to introduce sources, targets, and identities as defined notions, and we will be able to recover the properties of this additional structure that in [10] were taken as axiomatic. This idea of defining residuated transition systems purely in terms of a partial binary operation of residuation is similar to the approach taken in [11], where we formalized categories purely in terms of a partial binary operation of composition.

This article comprises two parts. In the first part, we give the definition of residuated transition systems and systematically develop the basic theory. We show how sources,

composites, and identities can be defined in terms of the residuation operation. We also show how residuation can be used to define the notions of join and composite of transitions, as well as the simple notion of congruence that relates transitions t and u whenever both $t \setminus u$ and $u \setminus t$ are identities. We then present a much more general notion of congruence, based a definition of “coherent normal sub-RTS”, which abstracts the properties enjoyed by the sub-RTS of identity transitions. After defining this general notion of congruence, we show that it admits a quotient construction, which yields a quotient RTS having the extensionality property. After studying congruences and quotients, we consider paths in an RTS, represented as nonempty lists of transitions whose sources and targets match up in the expected “domino fashion”. We show that the residuation operation of an RTS lifts to a residuation on its paths, yielding an “RTS of paths” in which composites of paths are given by list concatenation. The collection of paths that consist entirely of identity transitions is then shown to form a coherent normal sub-RTS of the RTS of paths. The associated congruence on paths can be seen as “permutation congruence”: the least congruence respecting composition that relates the two-element lists $[t, t \setminus u]$ and $[u, u \setminus t]$ whenever t and u are consistent, and that relates $[t, b]$ and $[t]$ whenever b is an identity transition that is a target of t . Quotienting by the associated congruence results in a free “composite completion” of the original RTS. The composite completion has a composite for each pair of “composable” transitions, and it will in general exhibit nontrivial equations between composites, as a result of the congruence induced on paths by the underlying residuation. In summary, the first part of this article can be seen as a significant generalization and more satisfactory development of the results originally presented in [10].

The second part of this article applies the formal framework developed in the first part to prove various results about reduction in Church’s λ -calculus. Although many of these results have had machine-checked proofs given by other authors (*e.g.* the basic formalization of residuation in the λ -calculus given by Huet [7]), the presentation here develops a number of such results in a single formal framework: that of residuated transition systems. For the presentation of the λ -calculus given here we employ (as was also done in [7]) the device of de Bruijn indices [4], in order to avoid having to treat the issue of α -convertibility. The terms in our syntax represent reductions in which multiple redexes are contracted in parallel; this is done to deal with the well-known fact that contractions of single redexes are not preserved by residuation, in general. We treat only β -reduction here; leaving the extension to the $\beta\eta$ -calculus for future work. We define residuation on terms essentially as is done in [7] and we develop a similar series of lemmas concerning residuation, substitution, and de Bruijn indices, culminating in Lévy’s “Cube Lemma” [8], which is the key property needed to show that a residuated transition system is obtained. In this residuated transition system, the identities correspond to the usual λ -terms, and transitions correspond to parallel reductions, represented by λ -terms with “marked redexes”. The source of a transition is obtained by erasing the markings on the redexes; the target is obtained by contracting all the marked redexes.

Once having obtained an RTS whose transitions represent parallel reductions, we exploit the general results proved in the first part of this article to extend the residuation to sequences of reductions. It is then possible to prove the Church-Rosser Theorem

with very little additional effort. After that, we turn our attention to the notion of a “development”, which is a reduction sequence in which the only redexes contracted are those that are residuals of redexes in some originally marked set. We give a formal proof of the Finite Developments Theorem ([9, 6]), which states that all developments are finite. The proof here follows the one by de Vrijer [5], with the difference that here we are using de Bruijn indices, whereas de Vrijer used a classical λ -calculus syntax. The modifications of de Vrijer’s proof required for de Bruijn indices were not entirely straightforward to find. We then proceed to define the notion of “standard reduction path”, which is a reduction sequence that in some sense contracts redexes in a left-to-right fashion, perhaps with some jumps. We give a formal proof of the Standardization Theorem ([3]), stated in the strong form which asserts that every reduction is permutation congruent to a standard reduction. The proof presented here proceeds by stating and proving correct the definition of a recursive function that transforms a given path of parallel reductions into a standard reduction path, using a technique roughly analogous to insertion sort. Finally, as a corollary of the Standardization Theorem, we prove the Leftmost Reduction Theorem, which is the well-known result that the leftmost (or normal-order) reduction strategy is normalizing.

Chapter 2

Residuated Transition Systems

```
theory ResiduatedTransitionSystem
imports Main
begin
```

2.1 Basic Definitions and Properties

2.1.1 Partial Magmas

A *partial magma* consists simply of a partial binary operation. We represent the partiality by assuming the existence of a unique value *null* that behaves as a zero for the operation.

```
locale partial-magma =
fixes OP :: 'a ⇒ 'a ⇒ 'a
assumes ex-un-null: ∃!n. ∀t. OP n t = n ∧ OP t n = n
begin

definition null :: 'a
where null = (THE n. ∀t. OP n t = n ∧ OP t n = n)

lemma null-eqI:
assumes ∀t. OP n t = n ∧ OP t n = n
shows n = null
  using assms null-def ex-un-null the1-equality [of λn. ∀t. OP n t = n ∧ OP t n = n]
  by auto

lemma null-is-zero [simp]:
shows OP null t = null ∧ OP t null = null
  using null-def ex-un-null theI' [of λn. ∀t. OP n t = n ∧ OP t n = n]
  by auto

end
```

2.1.2 Residuation

A *residuation* is a partial magma subject to three axioms. The first, *con-sym-ax*, states that the domain of a residuation is symmetric. The second, *con-imp-arr-resid*, constrains the results of residuation either to be *null*, which indicates inconsistency, or something that is self-consistent, which we will define below to be an “arrow”. The “cube axiom”, *cube-ax*, states that if v can be transported by residuation around one side of the “commuting square” formed by t and $u \setminus t$, then it can also be transported around the other side, formed by u and $t \setminus u$, with the same result.

```

type-synonym 'a resid = 'a ⇒ 'a ⇒ 'a

locale residuation = partial-magma resid
for resid :: 'a resid (infix \ 70) +
assumes con-sym-ax:  $t \setminus u \neq \text{null} \implies u \setminus t \neq \text{null}$ 
and con-imp-arr-resid:  $t \setminus u \neq \text{null} \implies (t \setminus u) \setminus (t \setminus u) \neq \text{null}$ 
and cube-ax:  $(v \setminus t) \setminus (u \setminus t) \neq \text{null} \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
begin
```

The axiom *cube-ax* is equivalent to the following unconditional form. The locale assumptions use the weaker form to avoid having to treat the case $(v \setminus t) \setminus (u \setminus t) = \text{null}$ specially for every interpretation.

```

lemma cube:
shows  $(v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
using cube-ax by metis
```

We regard t and u as *consistent* if the residuation $t \setminus u$ is defined. It is convenient to make this a definition, with associated notation.

```

definition con (infix ∘ 50)
where  $t \circ u \equiv t \setminus u \neq \text{null}$ 
```

```

lemma conI [intro]:
assumes  $t \setminus u \neq \text{null}$ 
shows  $t \circ u$ 
using assms con-def by blast
```

```

lemma conE [elim]:
assumes  $t \circ u$ 
and  $t \setminus u \neq \text{null} \implies T$ 
shows  $T$ 
using assms con-def by simp
```

```

lemma con-sym:
assumes  $t \circ u$ 
shows  $u \circ t$ 
using assms con-def con-sym-ax by blast
```

We call t an *arrow* if it is self-consistent.

```
definition arr
```

where $\text{arr } t \equiv t \rightsquigarrow t$

lemma arrI [*intro*]:

assumes $t \rightsquigarrow t$

shows $\text{arr } t$

using *assms arr-def* **by** *simp*

lemma arrE [*elim*]:

assumes $\text{arr } t$

and $t \rightsquigarrow t \implies T$

shows T

using *assms arr-def* **by** *simp*

lemma not-arr-null [*simp*]:

shows $\neg \text{arr null}$

by (*auto simp add: con-def*)

lemma con-implies-arr :

assumes $t \rightsquigarrow u$

shows $\text{arr } t \text{ and } \text{arr } u$

using *assms*

by (*metis arrI con-def con-imp-arr-resid cube null-is-zero(2)*) +

lemma arr-resid [*simp*]:

assumes $t \rightsquigarrow u$

shows $\text{arr } (t \setminus u)$

using *assms con-imp-arr-resid* **by** *blast*

lemma arr-resid-iff-con :

shows $\text{arr } (t \setminus u) \longleftrightarrow t \rightsquigarrow u$

by *auto*

lemma con-arr-self [*simp*]:

assumes $\text{arr } f$

shows $f \rightsquigarrow f$

using *assms arrE* **by** *auto*

lemma not-con-null [*simp*]:

shows $\text{con null } t = \text{False} \text{ and } \text{con } t \text{ null} = \text{False}$

by *auto*

The residuation of an arrow along itself is the *canonical target* of the arrow.

definition trg

where $\text{trg } t \equiv t \setminus t$

lemma resid-arr-self :

shows $t \setminus t = \text{trg } t$

using *trg-def* **by** *auto*

An *identity* is an arrow that is its own target.

```

definition ide
where ide a ≡ a ∘ a ∧ a \ a = a

lemma ideI [intro]:
assumes a ∘ a and a \ a = a
shows ide a
  using assms ide-def by auto

lemma ideE [elim]:
assumes ide a
and [a ∘ a; a \ a = a]  $\implies$  T
shows T
  using assms ide-def by blast

lemma ide-implies-arr [simp]:
assumes ide a
shows arr a
  using assms ide-def by blast

lemma not-ide-null [simp]:
shows ide null = False
  by auto

end

```

2.1.3 Residuated Transition System

A *residuated transition system* consists of a residuation subject to additional axioms that concern the relationship between identities and residuation. These axioms make it possible to sensibly associate with each arrow certain nonempty sets of identities called the *sources* and *targets* of the arrow. Axiom *ide-trg* states that the canonical target *trg t* of an arrow *t* is an identity. Axiom *resid-arr-ide* states that identities are right units for residuation, when it is defined. Axiom *resid-ide-arr* states that the residuation of an identity along an arrow is again an identity, assuming that the residuation is defined. Axiom *con-imp-coinitial-ax* states that if arrows *t* and *u* are consistent, then there is an identity that is consistent with both of them (*i.e.* they have a common source). Axiom *con-target* states that an identity of the form *t \ u* (which may be regarded as a “target” of *u*) is consistent with any other arrow *v \ u* obtained by residuation along *u*. We note that replacing the premise *ide* (*t \ u*) in this axiom by either *arr* (*t \ u*) or *t ∘ u* would result in a strictly stronger statement.

```

locale rts = residuation +
assumes ide-trg [simp]: arr t  $\implies$  ide (trg t)
and resid-arr-ide: [ide a; t ∘ a]  $\implies$  t \ a = t
and resid-ide-arr [simp]: [ide a; a ∘ t]  $\implies$  ide (a \ t)
and con-imp-coinitial-ax: t ∘ u  $\implies$   $\exists$  a. ide a  $\wedge$  a ∘ t  $\wedge$  a ∘ u
and con-target: [ide (t \ u); u ∘ v]  $\implies$  t \ u ∘ v \ u
begin

```

We define the *sources* of an arrow t to be the identities that are consistent with t .

definition *sources*
where *sources* $t = \{a. \text{ide } a \wedge t \sim a\}$

We define the *targets* of an arrow t to be the identities that are consistent with the canonical target $\text{trg } t$.

definition *targets*
where *targets* $t = \{b. \text{ide } b \wedge \text{trg } t \sim b\}$

lemma *in-sourcesI* [intro, simp]:
assumes *ide a* **and** $t \sim a$
shows $a \in \text{sources } t$
using *assms sources-def* **by** simp

lemma *in-sourcesE* [elim]:
assumes $a \in \text{sources } t$
and $[\text{ide } a; t \sim a] \implies T$
shows T
using *assms sources-def* **by** auto

lemma *in-targetsI* [intro, simp]:
assumes *ide b* **and** $\text{trg } t \sim b$
shows $b \in \text{targets } t$
using *assms targets-def resid-arr-self* **by** simp

lemma *in-targetsE* [elim]:
assumes $b \in \text{targets } t$
and $[\text{ide } b; \text{trg } t \sim b] \implies T$
shows T
using *assms targets-def resid-arr-self* **by** force

lemma *trg-in-targets*:
assumes *arr t*
shows $\text{trg } t \in \text{targets } t$
using *assms*
by (meson *ideE ide-trg in-targetsI*)

lemma *source-is-ide*:
assumes $a \in \text{sources } t$
shows *ide a*
using *assms* **by** blast

lemma *target-is-ide*:
assumes $a \in \text{targets } t$
shows *ide a*
using *assms* **by** blast

Consistent arrows have a common source.

lemma *con-imp-common-source*:

```

assumes  $t \succsim u$ 
shows  $\text{sources } t \cap \text{sources } u \neq \{\}$ 
  using assms
  by (meson disjoint-iff in-sourcesI con-imp-coinitial-ax con-sym)

```

Arrows are characterized by the property of having a nonempty set of sources, or equivalently, by that of having a nonempty set of targets.

```

lemma arr-iff-has-source:
shows  $\text{arr } t \longleftrightarrow \text{sources } t \neq \{\}$ 
  using con-imp-common-source con-implies-arr(1) sources-def by blast

lemma arr-iff-has-target:
shows  $\text{arr } t \longleftrightarrow \text{targets } t \neq \{\}$ 
  using trg-def trg-in-targets by fastforce

```

The residuation of a source of an arrow along that arrow gives a target of the same arrow. However, it is *not* true that every target of an arrow t is of the form $u \setminus t$ for some u with $t \succsim u$.

```

lemma resid-source-in-targets:
assumes  $a \in \text{sources } t$ 
shows  $a \setminus t \in \text{targets } t$ 
  by (metis arr-resid assms con-target con-sym resid-arr-ide ide-trg
    in-sourcesE resid-ide-arr in-targetsI resid-arr-self)

```

Residuation along an identity reflects identities.

```

lemma ide-backward-stable:
assumes ide a and ide ( $t \setminus a$ )
shows ide t
  by (metis assms ideE resid-arr-ide arr-resid-iff-con)

```

```

lemma resid-reflects-con:
assumes  $t \succsim v$  and  $u \succsim v$  and  $t \setminus v \succsim u \setminus v$ 
shows  $t \succsim u$ 
  using assms cube
  by (elim conE) auto

```

```

lemma con-transitive-on-ide:
assumes ide a and ide b and ide c
shows  $\llbracket a \succsim b; b \succsim c \rrbracket \implies a \succsim c$ 
  using assms
  by (metis resid-arr-ide con-target con-sym)

```

```

lemma sources-are-con:
assumes  $a \in \text{sources } t$  and  $a' \in \text{sources } t$ 
shows  $a \succsim a'$ 
  using assms
  by (metis (no-types, lifting) CollectD con-target con-sym resid-ide-arr
    sources-def resid-reflects-con)

```

```

lemma sources-con-closed:
assumes  $a \in \text{sources } t$  and  $\text{ide } a' \text{ and } a \rightsquigarrow a'$ 
shows  $a' \in \text{sources } t$ 
using assms
by (metis (no-types, lifting) con-target con-sym resid-arr-ide
      mem-Collect-eq sources-def)

lemma sources-eqI:
assumes  $\text{sources } t \cap \text{sources } t' \neq \{\}$ 
shows  $\text{sources } t = \text{sources } t'$ 
using assms sources-def sources-are-con sources-con-closed by blast

lemma targets-are-con:
assumes  $b \in \text{targets } t$  and  $b' \in \text{targets } t$ 
shows  $b \rightsquigarrow b'$ 
using assms sources-are-con sources-def targets-def by blast

lemma targets-con-closed:
assumes  $b \in \text{targets } t$  and  $\text{ide } b' \text{ and } b \rightsquigarrow b'$ 
shows  $b' \in \text{targets } t$ 
using assms sources-con-closed sources-def targets-def by blast

lemma targets-eqI:
assumes  $\text{targets } t \cap \text{targets } t' \neq \{\}$ 
shows  $\text{targets } t = \text{targets } t'$ 
using assms targets-def targets-are-con targets-con-closed by blast

```

Arrows are *coinitial* if they have a common source, and *coterminal* if they have a common target.

```

definition coinitial
where coinitial  $t u \equiv \text{sources } t \cap \text{sources } u \neq \{\}$ 

definition coterminal
where coterminal  $t u \equiv \text{targets } t \cap \text{targets } u \neq \{\}$ 

lemma coinitialI [intro]:
assumes arr  $t$  and sources  $t = \text{sources } u$ 
shows coinitial  $t u$ 
using assms coinitial-def arr-iff-has-source by simp

lemma coinitialE [elim]:
assumes coinitial  $t u$ 
and  $\llbracket \text{arr } t; \text{arr } u; \text{sources } t = \text{sources } u \rrbracket \implies T$ 
shows  $T$ 
using assms coinitial-def sources-eqI arr-iff-has-source by auto

lemma con-imp-coinitial:
assumes  $t \rightsquigarrow u$ 
shows coinitial  $t u$ 

```

```

using assms
by (simp add: coinitial-def con-imp-common-source)

lemma coinitial-iff:
shows coinitial t t'  $\longleftrightarrow$  arr t  $\wedge$  arr t'  $\wedge$  sources t = sources t'
by (metis arr-iff-has-source coinitial-def inf-idem sources-eqI)

lemma coterminal-iff:
shows coterminal t t'  $\longleftrightarrow$  arr t  $\wedge$  arr t'  $\wedge$  targets t = targets t'
by (metis arr-iff-has-target coterminal-def inf-idem targets-eqI)

lemma coterminal-iff-con-trg:
shows coterminal t u  $\longleftrightarrow$  trg t  $\sim$  trg u
by (metis coinitial-iff con-imp-coinitial coterminal-iff in-targetsE trg-in-targets
resid-arr-self arr-resid-iff-con sources-def targets-def)

lemma coterminalI [intro]:
assumes arr t and targets t = targets u
shows coterminal t u
using assms coterminal-iff arr-iff-has-target by auto

lemma coterminalE [elim]:
assumes coterminal t u
and  $\llbracket \text{arr } t; \text{arr } u; \text{targets } t = \text{targets } u \rrbracket \implies T$ 
shows T
using assms coterminal-iff by auto

lemma sources-resid [simp]:
assumes t  $\sim$  u
shows sources (t \ u) = targets u
unfolding targets-def trg-def
using assms conI conE
by (metis con-imp-arr-resid assms coinitial-iff con-imp-coinitial
cube ex-un-null sources-def)

lemma targets-resid-sym:
assumes t  $\sim$  u
shows targets (t \ u) = targets (u \ t)
using assms
apply (intro targets-eqI)
by (metis (no-types, opaque-lifting) assms cube inf-idem arr-iff-has-target arr-def
arr-resid-iff-con sources-resid)

Arrows t and u are sequential if the set of targets of t equals the set of sources of u.

definition seq
where seq t u  $\equiv$  arr t  $\wedge$  arr u  $\wedge$  targets t = sources u

lemma seqI [intro]:
shows  $\llbracket \text{arr } t; \text{targets } t = \text{sources } u \rrbracket \implies \text{seq } t u$ 

```

```

and  $\llbracket \text{arr } u; \text{targets } t = \text{sources } u \rrbracket \implies \text{seq } t u$ 
using seq-def arr-iff-has-source arr-iff-has-target by metis+

```

```

lemma seqE [elim]:
assumes seq t u
and  $\llbracket \text{arr } t; \text{arr } u; \text{targets } t = \text{sources } u \rrbracket \implies T$ 
shows T
using assms seq-def by blast

```

Congruence of Transitions

Residuation induces a preorder \lesssim on transitions, defined by $t \lesssim u$ if and only if $t \setminus u$ is an identity.

```

abbreviation prfx (infix  $\lesssim$  50)
where  $t \lesssim u \equiv \text{ide}(t \setminus u)$ 

```

```

lemma prfxE:
assumes  $t \lesssim u$ 
and  $\text{ide}(t \setminus u) \implies T$ 
shows T
using assms by fastforce

```

```

lemma prfx-implies-con:
assumes  $t \lesssim u$ 
shows  $t \sim u$ 
using assms arr-resid-iff-con by blast

```

```

lemma prfx-reflexive:
assumes arr t
shows  $t \lesssim t$ 
by (simp add: assms resid-arr-self)

```

```

lemma prfx-transitive [trans]:
assumes  $t \lesssim u$  and  $u \lesssim v$ 
shows  $t \lesssim v$ 
using assms con-target resid-ide-arr ide-backward-stable cube conI
by metis

```

```

lemma source-is-prfx:
assumes  $a \in \text{sources } t$ 
shows  $a \lesssim t$ 
using assms resid-source-in-targets by blast

```

The equivalence \sim associated with \lesssim is substitutive with respect to residuation.

```

abbreviation cong (infix  $\sim$  50)
where  $t \sim u \equiv t \lesssim u \wedge u \lesssim t$ 

```

```

lemma congE:
assumes  $t \sim u$ 

```

```

and  $\llbracket t \sim u; \text{ide} (t \setminus u); \text{ide} (u \setminus t) \rrbracket \implies T$ 
shows  $T$ 
  using assms prfx-implies-con by blast

lemma cong-reflexive:
assumes arr t
shows  $t \sim t$ 
  using assms prfx-reflexive by simp

lemma cong-symmetric:
assumes  $t \sim u$ 
shows  $u \sim t$ 
  using assms by simp

lemma cong-transitive [trans]:
assumes  $t \sim u$  and  $u \sim v$ 
shows  $t \sim v$ 
  using assms prfx-transitive by auto

lemma cong-subst-left:
assumes  $t \sim t'$  and  $t \sim u$ 
shows  $t' \sim u$  and  $t \setminus u \sim t' \setminus u$ 
  apply (meson assms con-sym con-target prfx-implies-con resid-reflects-con)
  by (metis assms con-sym con-target cube prfx-implies-con resid-ide-arr resid-reflects-con)

lemma cong-subst-right:
assumes  $u \sim u'$  and  $t \sim u$ 
shows  $t \sim u'$  and  $t \setminus u \sim t \setminus u'$ 
proof -
  have 1:  $t \sim u' \wedge t \setminus u' \sim u \setminus u' \wedge$ 
     $(t \setminus u) \setminus (u' \setminus u) = (t \setminus u') \setminus (u \setminus u')$ 
  using assms cube con-sym con-target cong-subst-left(1) by meson
  show  $t \sim u'$ 
    using 1 by simp
  show  $t \setminus u \sim t \setminus u'$ 
    by (metis 1 arr-resid-iff-con assms(1) cong-reflexive resid-arr-ide)
qed

lemma cong-implies-coinitial:
assumes  $u \sim u'$ 
shows coinitial u u'
  using assms con-imp-coinitial prfx-implies-con by simp

lemma cong-implies-coterminal:
assumes  $u \sim u'$ 
shows coterminal u u'
  using assms
  by (metis con-implies-arr(1) coterminalI ideE prfx-implies-con sources-resid targets-resid-sym)

```

```

lemma ide-imp-con-iff-cong:
assumes ide t and ide u
shows t ∼ u ↔ t ~ u
using assms
by (metis con-sym resid-ide-arr prfx-implies-con)

lemma sources-are-cong:
assumes a ∈ sources t and a' ∈ sources t
shows a ∼ a'
using assms sources-are-con
by (metis CollectD ide-imp-con-iff-cong sources-def)

lemma sources-cong-closed:
assumes a ∈ sources t and a ∼ a'
shows a' ∈ sources t
using assms sources-def
by (meson in-sourcesE in-sourcesI cong-subst-right(1) ide-backward-stable)

lemma targets-are-cong:
assumes b ∈ targets t and b' ∈ targets t
shows b ∼ b'
using assms(1–2) sources-are-cong sources-def targets-def by blast

lemma targets-cong-closed:
assumes b ∈ targets t and b ∼ b'
shows b' ∈ targets t
using assms targets-def sources-cong-closed sources-def by blast

lemma targets-char:
shows targets t = {b. arr t ∧ t \ t ∼ b}
unfolding targets-def
by (metis (no-types, lifting) con-def con-implies-arr(2) con-sym cong-reflexive
ide-def resid-arr-ide trg-def)

lemma coinitial-ide-are-cong:
assumes ide a and ide a' and coinitial a a'
shows a ∼ a'
using assms coinitial-def
by (metis ideE in-sourcesI coinitialE sources-are-cong)

lemma cong-respects-seq:
assumes seq t u and cong t t' and cong u u'
shows seq t' u'
by (metis assms coterminaleE rts.coinitialE rts.cong-implies-coinitial
rts.cong-implies-coterminal rts-axioms seqE seqI)

end

```

2.1.4 Weakly Extensional RTS

A *weakly extensional* RTS is an RTS that satisfies the additional condition that identity arrows have trivial congruence classes. This axiom has a number of useful consequences, including that each arrow has a unique source and target.

```

locale weakly-extensional-rts = rts +
assumes weak-extensionality:  $\llbracket t \sim u; \text{ide } t; \text{ide } u \rrbracket \implies t = u$ 
begin

lemma con-ide-are-eq:
assumes ide a and ide a' and a  $\sim$  a'
shows a = a'
using assms ide-imp-con-iff-cong weak-extensionality by blast

lemma coinitial-ide-are-eq:
assumes ide a and ide a' and coinitial a a'
shows a = a'
using assms coinitial-def con-ide-are-eq by blast

lemma arr-has-un-source:
assumes arr t
shows  $\exists!a. a \in \text{sources } t$ 
using assms
by (meson arr-iff-has-source con-ide-are-eq ex-in-conv in-sourcesE sources-are-con)

lemma arr-has-un-target:
assumes arr t
shows  $\exists!b. b \in \text{targets } t$ 
using assms
by (metis arrE arr-has-un-source arr-resid sources-resid)

definition src
where src t  $\equiv$  if arr t then THE a. a  $\in$  sources t else null

lemma src-in-sources:
assumes arr t
shows src t  $\in$  sources t
using assms src-def arr-has-un-source
    the1I2 [of  $\lambda a. a \in \text{sources } t \ \lambda a. a \in \text{sources } t$ ]
by simp

lemma src-eqI:
assumes ide a and a  $\sim$  t
shows src t = a
using assms src-in-sources
by (metis arr-has-un-source resid-arr-ide in-sourcesI arr-resid-iff-con con-sym)

lemma sources-char:
shows sources t = {a. arr t  $\wedge$  src t = a}

```

```

using src-in-sources arr-has-un-source arr-iff-has-source by auto

lemma targets-charWE:
shows targets t = {b. arr t ∧ trg t = b}
using trg-in-targets arr-has-un-target arr-iff-has-target by auto

lemma arr-src-iff-arr:
shows arr (src t)  $\longleftrightarrow$  arr t
by (metis arrI conE null-is-zero(2) sources-are-con arrE src-def src-in-sources)

lemma arr-trg-iff-arr:
shows arr (trg t)  $\longleftrightarrow$  arr t
by (metis arrI arrE arr-resid-iff-con resid-arr-self)

lemma arr-src-if-arr [simp]:
assumes arr t
shows arr (src t)
using assms arr-src-iff-arr by blast

lemma arr-trg-if-arr [simp]:
assumes arr t
shows arr (trg t)
using assms arr-trg-iff-arr by blast

lemma con-imp-eq-src:
assumes t ∼ u
shows src t = src u
using assms
by (metis con-imp-coinitial-ax src-eqI)

lemma src-resid [simp]:
assumes t ∼ u
shows src (t \ u) = trg u
using assms
by (metis arr-resid-iff-con con-implies-arr(2) arr-has-un-source trg-in-targets
sources-resid src-in-sources)

lemma apex-sym:
shows trg (t \ u) = trg (u \ t)
by (metis arr-has-un-target con-sym-ax residuation.arr-resid-iff-con residuation.conI
residuation-axioms targets-resid-sym trg-in-targets)

lemma apex-arr-prfx:
assumes prfx t u
shows trg (u \ t) = trg u
and trg (t \ u) = trg u
using assms
apply (metis apex-sym arr-resid-iff-con ideE src-resid)
by (metis arr-resid-iff-con assms ideE src-resid)

```

```

lemma seqIWE [intro, simp]:
shows  $\llbracket \text{arr } t; \text{trg } t = \text{src } u \rrbracket \implies \text{seq } t u$ 
and  $\llbracket \text{arr } u; \text{trg } t = \text{src } u \rrbracket \implies \text{seq } t u$ 
by (metis arrE arr-src-iff-arr arr-trg-iff-arr in-sourcesE rts.resid-arr-ide
rts-axioms seqI(1) sources-resid src-in-sources trg-def)+

lemma seqEWE [elim]:
assumes seq t u
and  $\llbracket \text{arr } u; \text{arr } t; \text{trg } t = \text{src } u \rrbracket \implies T$ 
shows T
using assms
by (metis arr-has-un-source seq-def src-in-sources trg-in-targets)

lemma coinitial-iffWE:
shows coinitial t u  $\longleftrightarrow$  arr t  $\wedge$  arr u  $\wedge$  src t = src u
by (metis arr-has-un-source coinitial-def coinitial-iff disjoint-iff-not-equal
src-in-sources)

lemma coterminal-iffWE:
shows coterminal t u  $\longleftrightarrow$  arr t  $\wedge$  arr u  $\wedge$  trg t = trg u
by (metis arr-has-un-target coterminal-iff-con-trg coterminal-iff trg-in-targets)

lemma coinitialIWE [intro]:
assumes arr t and src t = src u
shows coinitial t u
using assms coinitial-iffWE by (metis arr-src-iff-arr)

lemma coinitialEWE [elim]:
assumes coinitial t u
and  $\llbracket \text{arr } t; \text{arr } u; \text{src } t = \text{src } u \rrbracket \implies T$ 
shows T
using assms coinitial-iffWE by blast

lemma coterminalIWE [intro]:
assumes arr t and trg t = trg u
shows coterminal t u
using assms coterminal-iffWE by (metis arr-trg-iff-arr)

lemma coterminalEWE [elim]:
assumes coterminal t u
and  $\llbracket \text{arr } t; \text{arr } u; \text{trg } t = \text{trg } u \rrbracket \implies T$ 
shows T
using assms coterminal-iffWE by blast

lemma ide-src [simp]:
assumes arr t
shows ide (src t)
using assms

```

```

by (metis arrE con-imp-coinitial-ax src-eqI)

lemma src-ide [simp]:
assumes ide a
shows src a = a
using arrI assms src-eqI by blast

lemma trg-ide [simp]:
assumes ide a
shows trg a = a
using assms resid-arr-self by auto

lemma ide-iff-src-self:
assumes arr a
shows ide a  $\longleftrightarrow$  src a = a
using assms by (metis ide-src src-ide)

lemma ide-iff-trg-self:
assumes arr a
shows ide a  $\longleftrightarrow$  trg a = a
using assms ide-def resid-arr-self ide-trg by metis

lemma src-src [simp]:
shows src (src t) = src t
using ide-src src-def src-ide by auto

lemma trg-trg [simp]:
shows trg (trg t) = trg t
by (metis con-def con-implies-arr(2) cong-reflexive ide-def null-is-zero(2) resid-arr-self)

lemma src-trg [simp]:
shows src (trg t) = trg t
by (metis con-def not-arr-null src-def src-resid trg-def)

lemma trg-src [simp]:
shows trg (src t) = src t
by (metis ide-src null-is-zero(2) resid-arr-self src-def trg-ide)

lemma resid-ide:
assumes ide a and coinitial a t
shows t \ a = t and a \ t = trg t
using assms resid-arr-ide apply blast
using assms
by (metis con-def con-sym-ax ideE in-sourcesE in-sourcesI resid-ide-arr
coinitialE src-ide src-resid)

lemma con-arr-src [simp]:
assumes arr f
shows f ∼ src f and src f ∼ f

```

```

using assms src-in-sources con-sym by blast+

lemma resid-src-arr [simp]:
assumes arr f
shows src f \ f = trg f
  using assms
  by (simp add: con-imp-coinitial resid-ide(2))

lemma resid-arr-src [simp]:
assumes arr f
shows f \ src f = f
  using assms
  by (simp add: resid-arr-ide)

end

```

2.1.5 Extensional RTS

An *extensional* RTS is an RTS in which all arrows have trivial congruence classes; that is, congruent arrows are equal.

```

locale extensional-rts = rts +
assumes extensional: t ~ u ==> t = u
begin

sublocale weakly-extensional-rts
  using extensional
  by unfold-locales auto

lemma cong-char:
shows t ~ u <=> arr t ∧ t = u
  by (metis arrI cong-reflexive prfx-implies-con extensional)

end

```

2.1.6 Composites of Transitions

Residuation can be used to define a notion of composite of transitions. Composites are not unique, but they are unique up to congruence.

```

context rts
begin

definition composite-of
where composite-of u t v ≡ u ⪻ v ∧ v \ u ~ t

lemma composite-ofI [intro]:
assumes u ⪻ v and v \ u ~ t
shows composite-of u t v
  using assms composite-of-def by blast

```

```

lemma composite-ofE [elim]:
assumes composite-of u t v
and  $\llbracket u \lesssim v; v \setminus u \sim t \rrbracket \implies T$ 
shows  $T$ 
  using assms composite-of-def by auto

lemma arr-composite-of:
assumes composite-of u t v
shows arr v
  using assms
  by (meson composite-of-def con-implies-arr(2) prfx-implies-con)

lemma composite-of-unq-upto-cong:
assumes composite-of u t v and composite-of u t v'
shows  $v \sim v'$ 
  using assms cube ide-backward-stable prfx-transitive
  by (elim composite-ofE) metis

lemma composite-of-ide-arr:
assumes ide a
shows composite-of a t t  $\longleftrightarrow t \sim a$ 
  using assms
  by (metis composite-of-def con-implies-arr(1) con-sym resid-arr-ide resid-ide-arr
      prfx-implies-con prfx-reflexive)

lemma composite-of-arr-ide:
assumes ide b
shows composite-of t b t  $\longleftrightarrow t \setminus t \sim b$ 
  using assms
  by (metis arr-resid-iff-con composite-of-def ide-imp-con-iff-cong con-implies-arr(1)
      prfx-implies-con prfx-reflexive)

lemma composite-of-source-arr:
assumes arr t and  $a \in \text{sources } t$ 
shows composite-of a t t
  using assms composite-of-ide-arr sources-def by auto

lemma composite-of-arr-target:
assumes arr t and  $b \in \text{targets } t$ 
shows composite-of t b t
  by (metis arrE assms composite-of-arr-ide in-sourcesE sources-resid)

lemma composite-of-ide-self:
assumes ide a
shows composite-of a a
  using assms composite-of-ide-arr by blast

lemma con-prfx-composite-of:

```

```

assumes composite-of t u w
shows t ∼ w and w ∼ v ==> t ∼ v
  using assms apply force
  using assms composite-of-def con-target prfx-implies-con
    resid-reflects-con con-sym
  by meson

lemma sources-composite-of:
assumes composite-of u t v
shows sources v = sources u
  using assms
by (meson arr-resid-iff-con composite-of-def con-imp-coinitial cong-implies-coinitial
  coinitial-iff)

lemma targets-composite-of:
assumes composite-of u t v
shows targets v = targets t
proof -
  have targets t = targets (v \ u)
  using assms composite-of-def
  by (meson cong-implies-coterminal coterminal-iff)
  also have ... = targets (u \ v)
  using assms targets-resid-sym con-prfx-composite-of by metis
  also have ... = targets v
  using assms composite-of-def
  by (metis prfx-implies-con sources-resid ideE)
  finally show ?thesis by auto
qed

lemma resid-composite-of:
assumes composite-of t u w and w ∼ v
shows v \ t ∼ w \ t
and v \ t ∼ u
and v \ w ∼ (v \ t) \ u
and composite-of (t \ v) (u \ (v \ t)) (w \ v)
proof -
  show 0: v \ t ∼ w \ t
  using assms con-def
  by (metis con-target composite-ofE conE con-sym cube)
  show 1: v \ w ∼ (v \ t) \ u
  proof -
    have v \ w = (v \ w) \ (t \ w)
    using assms composite-of-def
    by (metis (no-types, opaque-lifting) con-target con-sym resid-arr-ide)
    also have ... = (v \ t) \ (w \ t)
    using assms cube by metis
    also have ... ∼ (v \ t) \ u
    using assms 0 cong-subst-right(2) [of w \ t u v \ t] by blast
    finally show ?thesis by blast
  qed
qed

```

```

qed
show ?:  $v \setminus t \sim u$ 
  using assms 1 by force
show composite-of ( $t \setminus v$ ) ( $u \setminus (v \setminus t)$ ) ( $w \setminus v$ )
proof (unfold composite-of-def, intro conjI)
  show  $t \setminus v \lesssim w \setminus v$ 
    using assms cube con-target composite-of-def resid-ide-arr by metis
    show  $(w \setminus v) \setminus (t \setminus v) \lesssim u \setminus (v \setminus t)$ 
      by (metis assms(1) 2 composite-ofE con-sym cong-subst-left(2) cube)
    thus  $u \setminus (v \setminus t) \lesssim (w \setminus v) \setminus (t \setminus v)$ 
      using assms
      by (metis composite-of-def con-implies-arr(2) cong-subst-left(2)
           prfx-implies-con arr-resid-iff-con cube)
qed
qed

lemma con-composite-of-iff:
assumes composite-of t u v
shows  $w \sim v \longleftrightarrow w \setminus t \sim u$ 
by (meson arr-resid-iff-con assms composite-ofE con-def con-implies-arr(1)
      con-sym-ax cong-subst-right(1) resid-composite-of(2) resid-reflects-con)

definition composable
where composable t u ≡ ∃ v. composite-of t u v

lemma composableD [dest]:
assumes composable t u
shows arr t and arr u and targets t = sources u
using assms arr-composite-of arr-iff-has-source composable-def sources-composite-of
      arr-composite-of arr-iff-has-target composable-def targets-composite-of
apply auto[2]
by (metis assms composable-def composite-ofE con-prfx-composite-of(1) con-sym
      cong-implies-coinitial coinitial-iff sources-resid)

lemma composable-imp-seq:
assumes composable t u
shows seq t u
using assms by blast

lemma bounded-imp-con:
assumes composite-of t u v and composite-of t' u' v
shows con t t'
by (meson assms composite-of-def con-prfx-composite-of prfx-implies-con
      arr-resid-iff-con con-implies-arr(2))

lemma composite-of-cancel-left:
assumes composite-of t u v and composite-of t u' v
shows  $u \sim u'$ 
using assms composite-of-def cong-transitive by blast

```

```
end
```

RTS with Composites

```
locale rts-with-composites = rts +
assumes has-composites: seq t u ==> composable t u
begin

lemma composable-iff-seq:
shows composable g f <=> seq g f
using composable-imp-seq has-composites by blast

lemma composableI [intro]:
assumes seq g f
shows composable g f
using assms composable-iff-seq by auto

lemma composableE [elim]:
assumes composable g f and seq g f ==> T
shows T
using assms composable-iff-seq by blast

lemma obtains-composite-of:
assumes seq g f
obtains h where composite-of g f h
using assms has-composites composable-def by blast

lemma diamond-commutes-up-to-cong:
assumes composite-of t (u \ t) v and composite-of u (t \ u) v'
shows v ~ v'
using assms cube ide-backward-stable prfx-transitive
by (elim composite-ofE) metis

end
```

2.1.7 Joins of Transitions

```
context rts
begin
```

Transition v is a *join* of u and v when v is the diagonal of the square formed by u , v , and their residuals. As was the case for composites, joins in an RTS are not unique, but they are unique up to congruence.

```
definition join-of
where join-of t u v ==> composite-of t (u \ t) v ∧ composite-of u (t \ u) v

lemma join-ofI [intro]:
assumes composite-of t (u \ t) v and composite-of u (t \ u) v
```

```

shows join-of t u v
using assms join-of-def by simp

lemma join-ofE [elim]:
assumes join-of t u v
and [|composite-of t (u \ t) v; composite-of u (t \ u) v|] ==> T
shows T
using assms join-of-def by simp

definition joinable
where joinable t u ≡ ∃ v. join-of t u v

lemma joinable-implies-con:
assumes joinable t u
shows t ∼ u
by (meson assms bounded-imp-con join-of-def joinable-def)

lemma joinable-implies-coinitial:
assumes joinable t u
shows coinitial t u
using assms
by (simp add: con-imp-coinitial joinable-implies-con)

lemma join-of-un-upto-cong:
assumes join-of t u v and join-of t u v'
shows v ∼ v'
using assms join-of-def composite-of-unq-upto-cong by auto

lemma join-of-symmetric:
assumes join-of t u v
shows join-of u t v
using assms join-of-def by simp

lemma join-of-arr-self:
assumes arr t
shows join-of t t t
by (meson assms composite-of-arr-ide ideE join-of-def prfx-reflexive)

lemma join-of-arr-src:
assumes arr t and a ∈ sources t
shows join-of a t t and join-of t a t
proof -
show join-of a t t
by (meson assms composite-of-arr-target composite-of-def composite-of-source-arr join-of-def
      prfx-transitive resid-source-in-targets)
thus join-of t a t
using join-of-symmetric by blast
qed

```

```

lemma sources-join-of:
assumes join-of t u v
shows sources t = sources v and sources u = sources v
using assms join-of-def sources-composite-of by blast+

lemma targets-join-of:
assumes join-of t u v
shows targets (t \ u) = targets v and targets (u \ t) = targets v
using assms join-of-def targets-composite-of by blast+

lemma join-of-resid:
assumes join-of t u w and con v w
shows join-of (t \ v) (u \ v) (w \ v)
using assms con-sym cube join-of-def resid-composite-of(4) by fastforce

lemma con-with-join-of-iff:
assumes join-of t u w
shows u ∼ v ∧ v \ u ∼ t \ u ⇒ w ∼ v
and w ∼ v ⇒ t ∼ v ∧ v \ t ∼ u \ t
proof -
have *: t ∼ v ∧ v \ t ∼ u \ t ⇔ u ∼ v ∧ v \ u ∼ t \ u
by (metis arr-resid-iff-con con-implies-arr(1) con-sym cube)
show u ∼ v ∧ v \ u ∼ t \ u ⇒ w ∼ v
by (meson assms con-composite-of-iff con-sym join-of-def)
show w ∼ v ⇒ t ∼ v ∧ v \ t ∼ u \ t
by (meson assms con-prfx-composite-of join-of-def resid-composite-of(2))
qed

end

```

RTS with Joins

```

locale rts-with-joins = rts +
assumes has-joins: t ∼ u ⇒ joinable t u

```

2.1.8 Joins and Composites in a Weakly Extensional RTS

```

context weakly-extensional-rts
begin

lemma src-composite-of:
assumes composite-of u t v
shows src v = src u
using assms
by (metis con-imp-eq-src con-prfx-composite-of(1))

lemma trg-composite-of:
assumes composite-of u t v
shows trg v = trg t
by (metis arr-composite-of arr-has-un-target arr-iff-has-target assms)

```

```

targets-composite-of trg-in-targets)

lemma src-join-of:
assumes join-of t u v
shows src t = src v and src u = src v
  by (metis assms join-ofE src-composite-of)+

lemma trg-join-of:
assumes join-of t u v
shows trg (t \ u) = trg v and trg (u \ t) = trg v
  by (metis assms join-of-def trg-composite-of)+

end

```

2.1.9 Joins and Composites in an Extensional RTS

```

context extensional-rts
begin

lemma composite-of-unique:
assumes composite-of t u v and composite-of t u v'
shows v = v'
  using assms composite-of-unq-upto-cong extensional by fastforce

```

Here we define composition of transitions. Note that we compose transitions in diagram order, rather than in the order used for function composition. This may eventually lead to confusion, but here (unlike in the case of a category) transitions are typically not functions, so we don't have the constraint of having to conform to the order of function application and composition, and diagram order seems more natural.

```

definition comp (infixr · 55)
where t · u ≡ if composable t u then THE v. composite-of t u v else null

lemma comp-is-composite-of:
shows composable t u ==> composite-of t u (t · u)
and composite-of t u v ==> t · u = v
proof -
  show composable t u ==> composite-of t u (t · u)
    using comp-def composite-of-unique the1I2 [of composite-of t u composite-of t u]
      composable-def
    by metis
  thus composite-of t u v ==> t · u = v
    using composite-of-unique composable-def by auto
qed

lemma comp-null [simp]:
shows null · t = null and t · null = null
  by (meson composableD not-arr-null comp-def)+

lemma composable-iff-arr-comp:

```

```

shows composable t u  $\longleftrightarrow$  arr (t · u)
by (metis arr-composite-of comp-is-composite-of(2) composable-def comp-def not-arr-null)

lemma composable-iff-comp-not-null:
shows composable t u  $\longleftrightarrow$  t · u  $\neq$  null
by (metis composable-iff-arr-comp comp-def not-arr-null)

lemma comp-src-arr [simp]:
assumes arr t and src t = a
shows a · t = t
using assms comp-is-composite-of(2) composite-of-source-arr src-in-sources by blast

lemma comp-arr-trg [simp]:
assumes arr t and trg t = b
shows t · b = t
using assms comp-is-composite-of(2) composite-of-arr-target trg-in-targets by blast

lemma comp-ide-self:
assumes ide a
shows a · a = a
using assms comp-is-composite-of(2) composite-of-ide-self by fastforce

lemma arr-comp [intro, simp]:
assumes composable t u
shows arr (t · u)
using assms composable-iff-arr-comp by blast

lemma trg-comp [simp]:
assumes composable t u
shows trg (t · u) = trg u
by (metis arr-has-un-target assms comp-is-composite-of(2) composable-def
composable-imp-seq arr-iff-has-target seq-def targets-composite-of trg-in-targets)

lemma src-comp [simp]:
assumes composable t u
shows src (t · u) = src t
using assms comp-is-composite-of arr-iff-has-source sources-composite-of src-def
composable-def
by auto

lemma con-comp-iff:
shows w ∘ t · u  $\longleftrightarrow$  composable t u  $\wedge$  w \ t ∘ u
by (meson comp-is-composite-of(1) composable-iff-arr-comp con-composite-of-iff
con-implies-arr(2))

lemma con-compI [intro]:
assumes composable t u and w \ t ∘ u
shows w ∘ t · u and t · u ∘ w
using assms con-comp-iff con-sym by blast+

```

```

lemma resid-comp:
assumes t · u ∼ w
shows w \ (t · u) = (w \ t) \ u
and (t · u) \ w = (t \ w) · (u \ (w \ t))
proof -
  have 1: composable t u
  using assms composable-iff-comp-not-null by force
  show w \ (t · u) = (w \ t) \ u
    using 1
    by (meson assms cong-char composable-def resid-composite-of(3) comp-is-composite-of(1))
  show (t · u) \ w = (t \ w) · (u \ (w \ t))
    using assms 1 composable-def comp-is-composite-of(2) resid-composite-of
    by metis
qed

lemma prfx-decomp:
assumes t ⪯ u
shows t · (u \ t) = u
by (meson assms arr-resid-iff-con comp-is-composite-of(2) composite-of-def con-sym
cong-reflexive prfx-implies-con)

lemma prfx-comp:
assumes arr u and t · v = u
shows t ⪯ u
by (metis assms comp-is-composite-of(2) composable-def composable-iff-arr-comp
composite-of-def)

lemma comp-eqI:
assumes t ⪯ v and u = v \ t
shows t · u = v
by (metis assms prfx-decomp)

lemma comp-assoc:
assumes composable (t · u) v
shows t · (u · v) = (t · u) · v
proof -
  have 1: t ⪯ (t · u) · v
  by (meson assms composable-iff-arr-comp composableD prfx-comp
prfx-transitive)
  moreover have ((t · u) · v) \ t = u · v
  proof -
    have ((t · u) · v) \ t = ((t · u) \ t) · (v \ (t \ (t · u)))
    by (meson assms calculation con-sym prfx-implies-con resid-comp(2))
    also have ... = u · v
    proof -
      have 2: (t · u) \ t = u
      by (metis assms comp-is-composite-of(2) composable-def composable-iff-arr-comp
composable-imp-seq composite-of-def extensional seqE)
    qed
  qed
qed

```

```

moreover have  $v \setminus (t \setminus (t \cdot u)) = v$ 
  using assms
  by (meson 1 con-comp-iff con-sym composable-imp-seq resid-arr-ide
       prfx-implies-con prfx-comp seqE)
  ultimately show ?thesis by simp
qed
finally show ?thesis by blast
qed
ultimately show  $t \cdot (u \cdot v) = (t \cdot u) \cdot v$ 
  by (metis comp-eqI)
qed

```

We note the following assymmetry: *composable* $(t \cdot u) v \implies$ *composable* $u v$ is true, but *composable* $t (u \cdot v) \implies$ *composable* $t u$ is not.

```

lemma comp-cancel-left:
assumes arr  $(t \cdot u)$  and  $t \cdot u = t \cdot v$ 
shows  $u = v$ 
using assms
by (metis composable-def composable-iff-arr-comp composite-of-cancel-left extensional
     comp-is-composite-of(2))

lemma comp-resid-prfx [simp]:
assumes arr  $(t \cdot u)$ 
shows  $(t \cdot u) \setminus t = u$ 
using assms
by (metis comp-cancel-left comp-eqI prfx-comp)

lemma bounded-imp-conE:
assumes  $t \cdot u \sim t' \cdot u'$ 
shows  $t \sim t'$ 
by (metis arr-resid-iff-con assms con-comp-iff con-implies-arr(2) prfx-implies-con
     con-sym)

lemma join-of-unique:
assumes join-of  $t u v$  and join-of  $t u v'$ 
shows  $v = v'$ 
using assms join-of-def composite-of-unique by blast

definition join (infix  $\sqcup$  52)
where  $t \sqcup u \equiv \text{if joinable } t u \text{ then THE } v. \text{join-of } t u v \text{ else null}$ 

lemma join-is-join-of:
assumes joinable  $t u$ 
shows join-of  $t u (t \sqcup u)$ 
using assms joinable-def join-def join-of-unique the1I2 [of join-of  $t u$  join-of  $t u$ ]
by force

lemma joinable-iff-arr-join:
shows joinable  $t u \longleftrightarrow \text{arr } (t \sqcup u)$ 

```

```

by (metis cong-char join-is-join-of join-of-un-up-to-cong not-arr-null join-def)

lemma joinable-iff-join-not-null:
shows joinable t u  $\longleftrightarrow$   $t \sqcup u \neq \text{null}$ 
by (metis join-def joinable-iff-arr-join not-arr-null)

lemma join-sym:
shows  $t \sqcup u = u \sqcup t$ 
by (metis extensional-rts.join-def extensional-rts.join-of-unique extensional-rts-axioms
join-is-join-of join-of-symmetric joinable-def)

lemma src-join:
assumes joinable t u
shows  $\text{src}(t \sqcup u) = \text{src } t$ 
using assms
by (metis con-imp-eq-src con-prfx-composite-of(1) join-is-join-of join-of-def)

lemma trg-join:
assumes joinable t u
shows  $\text{trg}(t \sqcup u) = \text{trg}(t \setminus u)$ 
using assms
by (metis arr-resid-iff-con join-is-join-of joinable-iff-arr-join joinable-implies-con
in-targetsE src-eqI targets-join-of(1) trg-in-targets)

lemma resid-join_E [simp]:
assumes joinable t u and  $v \curvearrowleft t \sqcup u$ 
shows  $v \setminus (t \sqcup u) = (v \setminus u) \setminus (t \setminus u)$ 
and  $v \setminus (t \sqcup u) = (v \setminus t) \setminus (u \setminus t)$ 
and  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
proof -
  show 1:  $v \setminus (t \sqcup u) = (v \setminus u) \setminus (t \setminus u)$ 
    by (meson assms con-sym join-of-def resid-composite-of(3) extensional join-is-join-of)
  show  $v \setminus (t \sqcup u) = (v \setminus t) \setminus (u \setminus t)$ 
    by (metis 1 cube)
  show  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
    using assms joinable-def join-of-resid join-is-join-of extensional
    by (meson join-of-unique)
qed

lemma join-eqI:
assumes  $t \lesssim v$  and  $u \lesssim v$  and  $v \setminus u = t \setminus u$  and  $v \setminus t = u \setminus t$ 
shows  $t \sqcup u = v$ 
using assms composite-of-def cube ideE join-of-def joinable-def join-of-unique
join-is-join-of trg-def
by metis

lemma comp-join:
assumes joinable  $(t \cdot u) (t \cdot u')$ 
shows composable  $t (u \sqcup u')$ 

```

```

and  $t \cdot (u \sqcup u') = t \cdot u \sqcup t \cdot u'$ 
proof –
  have  $t \lesssim t \cdot u \sqcup t \cdot u'$ 
  using assms
  by (metis composable-def composite-of-def join-of-def join-is-join-of
        joinable-implies-con prfx-transitive comp-is-composite-of(2) con-comp-iff)
  moreover have  $(t \cdot u \sqcup t \cdot u') \setminus t = u \sqcup u'$ 
  by (metis arr-resid-iff-con assms calculation comp-resid-prfx con-implies-arr(2)
        joinable-implies-con resid-join_E(3) con-implies-arr(1) ide-implies-arr)
  ultimately show  $t \cdot (u \sqcup u') = t \cdot u \sqcup t \cdot u'$ 
  by (metis comp-eqI)
  thus composable  $t \cdot (u \sqcup u')$ 
  by (metis assms joinable-iff-join-not-null comp-def)
qed

lemma join-src:
assumes arr  $t$ 
shows src  $t \sqcup t = t$ 
  using assms joinable-def join-of-arr-src join-is-join-of join-of-unique src-in-sources
  by meson

lemma join-arr-self:
assumes arr  $t$ 
shows  $t \sqcup t = t$ 
  using assms joinable-def join-of-arr-self join-is-join-of join-of-unique by blast

lemma arr-prfx-join-self:
assumes joinable  $t u$ 
shows  $t \lesssim t \sqcup u$ 
  using assms
  by (meson composite-of-def join-is-join-of join-of-def)

lemma con-prfx:
shows  $\llbracket t \smallfrown u; v \lesssim u \rrbracket \implies t \smallfrown v$ 
and  $\llbracket t \smallfrown u; v \lesssim t \rrbracket \implies v \smallfrown u$ 
  apply (metis arr-resid con-arr-src(1) ide-iff-src-self prfx-implies-con resid-reflects-con
        src-resid)
  by (metis arr-resid-iff-con comp-eqI con-comp-iff con-implies-arr(1) con-sym)

lemma join-prfx:
assumes  $t \lesssim u$ 
shows  $t \sqcup u = u$  and  $u \sqcup t = u$ 
proof –
  show  $t \sqcup u = u$ 
  using assms
  by (metis (no-types, lifting) join-eqI ide-iff-src-self ide-implies-arr resid-arr-self
        prfx-implies-con src-resid)
  thus  $u \sqcup t = u$ 
  by (metis join-sym)

```

qed

lemma *con-with-join-if* [*intro, simp*]:
assumes *joinable t u* **and** *u ⊖ v* **and** *v \ u ⊖ t \ u*
shows *t ⊔ u ⊖ v*
and *v ⊖ t ⊔ u*
proof –
 show *t ⊔ u ⊖ v*
 using *assms con-with-join-of-iff* [*of t u join t u v*] *join-is-join-of* **by** *simp*
 thus *v ⊖ t ⊔ u*
 using *assms con-sym* **by** *blast*
qed

lemma *join-assoc_E*:
assumes *arr ((t ⊔ u) ⊔ v)* **and** *arr (t ⊔ (u ⊔ v))*
shows *(t ⊔ u) ⊔ v = t ⊔ (u ⊔ v)*
proof (*intro join-eqI*)
 have *tu: joinable t u*
 by (*metis arr-src-iff-arr assms(1) joinable-iff-arr-join src-join*)
 have *uv: joinable u v*
 by (*metis assms(2) joinable-iff-arr-join joinable-iff-join-not-null joinable-implies-con not-con-null(2)*)
 have *tu-v: joinable (t ⊔ u) v*
 by (*simp add: assms(1) joinable-iff-arr-join*)
 have *t-uv: joinable t (u ⊔ v)*
 by (*simp add: assms(2) joinable-iff-arr-join*)
 show *0: t ⊔ u ≈ t ⊔ (u ⊔ v)*
 proof –
 have *(t ⊔ u) \ (t ⊔ (u ⊔ v)) = ((u \ t) \ (u \ t)) \ ((v \ t) \ (u \ t))*
 proof –
 have *(t ⊔ u) \ (t ⊔ (u ⊔ v)) = ((t ⊔ u) \ t) \ ((u ⊔ v) \ t)*
 by (*metis t-uv tu arr-prfx-join-self conI con-with-join-if(2) join-sym joinable-iff-join-not-null not-ide-null resid-join_E(2)*)
 also have ... = *(t \ t ⊔ u \ t) \ ((u ⊔ v) \ t)*
 by (*simp add: tu con-sym joinable-implies-con*)
 also have ... = *(t \ t ⊔ u \ t) \ (u \ t ⊔ v \ t)*
 by (*simp add: t-uv uv joinable-implies-con*)
 also have ... = *(u \ t) \ join (u \ t) (v \ t)*
 by (*metis tu con-implies-arr(1) cong-subst-left(2) cube join-eqI join-sym joinable-iff-join-not-null joinable-implies-con prfx-reflexive trg-def trg-join*)
 also have ... = *((u \ t) \ (u \ t)) \ ((v \ t) \ (u \ t))*
 proof –
 have *1: joinable (u \ t) (v \ t)*
 by (*metis t-uv uv con-sym joinable-iff-join-not-null joinable-implies-con resid-join_E(3) conE*)
 moreover have *u \ t ⊖ u \ t ⊔ v \ t*
 using *arr-prfx-join-self 1 prfx-implies-con* **by** *blast*
 ultimately show *?thesis*
 using *resid-join_E(2) [of u \ t v \ t u \ t]* **by** *blast*

```

qed
finally show ?thesis by blast
qed
moreover have ide ...
  by (metis tu-v tu arr-resid-iff-con con-sym cube joinable-implies-con prfx-reflexive
       resid-joinE(2))
ultimately show ?thesis by simp
qed
show 1:  $v \lesssim t \sqcup (u \sqcup v)$ 
  by (metis arr-prfx-join-self join-sym joinable-iff-join-not-null prfx-transitive t-uv uv)
show  $(t \sqcup (u \sqcup v)) \setminus v = (t \sqcup u) \setminus v$ 
proof -
  have  $(t \sqcup (u \sqcup v)) \setminus v = t \setminus v \sqcup (u \sqcup v) \setminus v$ 
    by (metis 1 assms(2) join-def not-arr-null resid-joinE(3) prfx-implies-con)
  also have ... =  $t \setminus v \sqcup (u \setminus v \sqcup v \setminus v)$ 
    by (metis 1 conE conI con-sym join-def resid-joinE(1) resid-joinE(3) null-is-zero(2)
         prfx-implies-con)
  also have ... =  $t \setminus v \sqcup u \setminus v$ 
    by (metis arr-resid-iff-con con-sym cube cong-char join-prfx(2) joinable-implies-con uv)
  also have ... =  $(t \sqcup u) \setminus v$ 
    by (metis 0 1 con-implies-arr(1) con-prfx(1) joinable-iff-arr-join resid-joinE(3)
         prfx-implies-con)
  finally show ?thesis by blast
qed
show  $(t \sqcup (u \sqcup v)) \setminus (t \sqcup u) = v \setminus (t \sqcup u)$ 
proof -
  have 2:  $(t \sqcup (u \sqcup v)) \setminus (t \sqcup u) = t \setminus (t \sqcup u) \sqcup (u \sqcup v) \setminus (t \sqcup u)$ 
    by (metis 0 assms(2) join-def not-arr-null resid-joinE(3) prfx-implies-con)
  also have 3: ... =  $(t \setminus t) \setminus (u \setminus t) \sqcup (u \sqcup v) \setminus (t \sqcup u)$ 
    by (metis tu arr-prfx-join-self prfx-implies-con resid-joinE(2))
  also have 4: ... =  $(u \sqcup v) \setminus (t \sqcup u)$ 
  proof -
    have  $(t \setminus t) \setminus (u \setminus t) = \text{src}((u \sqcup v) \setminus (t \sqcup u))$ 
      using src-resid trg-join
      by (metis (full-types) t-uv tu 0 arr-resid-iff-con con-implies-arr(1) con-sym
           cube prfx-implies-con resid-joinE(1) trg-def)
    thus ?thesis
      by (metis tu arr-prfx-join-self conE join-src prfx-implies-con resid-joinE(2) src-def)
  qed
  also have ... =  $u \setminus (t \sqcup u) \sqcup v \setminus (t \sqcup u)$ 
    by (metis 0 2 3 4 uv conI con-sym-ax not-ide-null resid-joinE(3))
  also have ... =  $(u \setminus u) \setminus (t \setminus u) \sqcup v \setminus (t \sqcup u)$ 
    by (metis tu arr-prfx-join-self join-sym joinable-iff-join-not-null prfx-implies-con
         resid-joinE(1))
  also have ... =  $v \setminus (t \sqcup u)$ 
  proof -
    have  $(u \setminus u) \setminus (t \setminus u) = \text{src}(v \setminus (t \sqcup u))$ 
      by (metis tu-v tu con-sym cube joinable-implies-con src-resid trg-def trg-join
           apex-sym)
  qed

```

```

thus ?thesis
  using tu-v arr-resid-iff-con con-sym join-src joinable-implies-con
  by presburger
qed
finally show ?thesis by blast
qed
qed

lemma join-prfx-monotone:
assumes t ⪯ u and u ⊔ v ⪯ t ⊔ v
shows t ⊔ v ⪯ u ⊔ v
proof -
have (t ⊔ v) \ (u ⊔ v) = (t \ u) \ (v \ u)
proof -
have (t ⊔ v) \ (u ⊔ v) = t \ (u ⊔ v) ⊔ v \ (u ⊔ v)
  using assms join-sym resid-joinE(3) [of t v join u v] joinable-iff-join-not-null
  by fastforce
also have ... = (t \ u) \ (v \ u) ⊔ (v \ u) \ (v \ u)
  by (metis (full-types) assms(2) conE conI joinable-iff-join-not-null null-is-zero(1)
       resid-joinE(1-2) con-sym-ax)
also have ... = (t \ u) \ (v \ u) ⊔ trg (v \ u)
  using trg-def by fastforce
also have ... = (t \ u) \ (v \ u) ⊔ src ((t \ u) \ (v \ u))
  by (metis assms(1-2) con-implies-arr(1) con-target joinable-iff-arr-join
       joinable-implies-con src-resid)
also have ... = (t \ u) \ (v \ u)
  by (metis arr-resid-iff-con assms(2) con-implies-arr(1) con-sym join-def
       join-src join-sym not-arr-null resid-joinE(2))
finally show ?thesis by blast
qed
moreover have ide ...
  by (metis arr-resid-iff-con assms(1-2) calculation con-sym resid-ide-arr)
ultimately show ?thesis by presburger
qed

```

```

lemma join-eqI':
assumes t ⪯ v and u ⪯ v and v \ u = t \ u and v \ t = u \ t
shows v = t ⊔ u
using assms composite-of-def cube ideE join-of-def joinable-def join-of-unique
      join-is-join-of trg-def
by metis

```

We note that it is not the case that the existence of either of $t \sqcup (u \sqcup v)$ or $(t \sqcup u) \sqcup v$ implies that of the other. For example, if $(t \sqcup u) \sqcup v \neq \text{null}$, then it is not necessarily the case that $u \sqcup v \neq \text{null}$.

end

Extensional RTS with Joins

```

locale extensional-rts-with-joins =
  rts-with-joins +
  extensional-rts
begin

  lemma joinable-iff-con [iff]:
  shows joinable t u  $\longleftrightarrow$   $t \sqcap u$ 
    by (meson has-joins joinable-implies-con)

  lemma joinableE [elim]:
  assumes joinable t u and  $t \sqcap u \implies T$ 
  shows T
    using assms joinable-iff-con by blast

  lemma src-joinEJ [simp]:
  assumes t  $\sqcap u$ 
  shows src (t  $\sqcup u$ ) = src t
    using assms
    by (meson has-joins src-join)

  lemma trg-joinEJ:
  assumes t  $\sqcap u$ 
  shows trg (t  $\sqcup u$ ) = trg (t  $\setminus u$ )
    using assms
    by (meson has-joins trg-join)

  lemma resid-joinEJ [simp]:
  assumes t  $\sqcap u$  and v  $\sqcap t \sqcup u$ 
  shows v  $\setminus (t \sqcup u)$  = (v  $\setminus t$ )  $\setminus (u \setminus t)$ 
  and (t  $\sqcup u$ )  $\setminus v$  = (t  $\setminus v$ )  $\sqcup (u \setminus v)$ 
    using assms has-joins resid-joinE [of t u v] by blast+

  lemma join-assoc:
  shows t  $\sqcup (u \sqcup v)$  = (t  $\sqcup u$ )  $\sqcup v$ 
  proof -
    have *:  $\bigwedge t u v. \text{con} (t \sqcup u) v \implies t \sqcup (u \sqcup v) = (t \sqcup u) \sqcup v$ 
    proof -
      fix t u v
      assume 1: con (t  $\sqcup u$ ) v
      have vt-ut: v  $\setminus t \sqcap u \setminus t$ 
        using 1
        by (metis con-with-join-of-iff(2) join-def join-is-join-of not-con-null(1))
      have tv-uv: t  $\setminus v \sqcap u \setminus v$ 
        using vt-ut cube con-sym
        by (metis arr-resid-iff-con)
      have 2: (t  $\sqcup u$ )  $\sqcup v$  = (t  $\cdot (u \setminus t)$ )  $\cdot (v \setminus (t \cdot (u \setminus t)))$ 
        using 1
        by (metis comp-is-composite-of(2) con-implies-arr(1) has-joins join-is-join-of)
    qed
  qed
end

```

```

join-of-def joinable-iff-arr-join)
also have ... =  $t \cdot ((u \setminus t) \cdot (v \setminus (t \cdot (u \setminus t))))$ 
  using 1
  by (metis calculation has-joins joinable-iff-join-not-null comp-assoc comp-def)
also have ... =  $t \cdot ((u \setminus t) \cdot ((v \setminus t) \setminus (u \setminus t)))$ 
  using 1
  by (metis 2 comp-null(2) con-compI(2) con-comp-iff has-joins resid-comp(1)
       conI joinable-iff-join-not-null)
also have ... =  $t \cdot ((v \setminus t) \sqcup (u \setminus t))$ 
  by (metis vt-ut comp-is-composite-of(2) has-joins join-of-def join-is-join-of)
also have ... =  $t \cdot ((u \setminus t) \sqcup (v \setminus t))$ 
  using join-sym by metis
also have ... =  $t \cdot ((u \sqcup v) \setminus t)$ 
  by (metis tv-uv vt-ut con-implies-arr(2) con-sym con-with-join-of-iff(1) has-joins
       join-is-join-of arr-resid-iff-con resid-join_E(3))
also have ... =  $t \sqcup (u \sqcup v)$ 
  by (metis comp-is-composite-of(2) comp-null(2) conI has-joins join-is-join-of
       join-of-def joinable-iff-join-not-null)
finally show  $t \sqcup (u \sqcup v) = (t \sqcup u) \sqcup v$ 
  by simp
qed
thus ?thesis
  by (metis (full-types) has-joins joinable-iff-join-not-null joinable-implies-con con-sym)
qed

lemma join-is-lub:
assumes  $t \lesssim v$  and  $u \lesssim v$ 
shows  $t \sqcup u \lesssim v$ 
proof -
  have  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
    using assms resid-join_E(3) [of  $t u v$ ]
    by (metis arr-prfx-join-self con-target con-sym join-assoc joinable-iff-con
         joinable-iff-join-not-null prfx-implies-con resid-reflects-con)
  also have ... =  $\text{trg } v \sqcup \text{trg } v$ 
    using assms
    by (metis ideE prfx-implies-con src-resid trg-ide)
  also have ... =  $\text{trg } v$ 
    by (metis assms(2) ide-iff-src-self ide-implies-arr join-arr-self prfx-implies-con
         src-resid)
  finally have  $(t \sqcup u) \setminus v = \text{trg } v$  by blast
  moreover have ide ( $\text{trg } v$ )
    using assms
    by (metis con-implies-arr(2) prfx-implies-con cong-char trg-def)
  ultimately show ?thesis by simp
qed

end

```

Extensional RTS with Composites

If an extensional RTS is assumed to have composites for all composable pairs of transitions, then the “semantic” property of transitions being composable can be replaced by the “syntactic” property of transitions being sequential. This results in simpler statements of a number of properties.

```

locale extensional-rts-with-composites =
  rts-with-composites +
  extensional-rts
begin

  lemma seq-implies-arr-comp:
    assumes seq t u
    shows arr (t · u)
    using assms
    by (meson composable-iff-arr-comp composable-iff-seq)

  lemma arr-compEC [intro, simp]:
    assumes arr t and arr u and trg t = src u
    shows arr (t · u)
    using assms
    by (simp add: seq-implies-arr-comp)

  lemma arr-compEEC [elim]:
    assumes arr (t · u)
    and [|arr t; arr u; trg t = src u|]  $\implies$  T
    shows T
    using assms composable-iff-arr-comp composable-iff-seq by blast

  lemma trg-compEC [simp]:
    assumes seq t u
    shows trg (t · u) = trg u
    by (meson assms has-composites trg-comp)

  lemma src-compEC [simp]:
    assumes seq t u
    shows src (t · u) = src t
    using assms src-comp has-composites by simp

  lemma con-comp-iffEC [simp]:
    shows w ∘ t · u  $\longleftrightarrow$  seq t u  $\wedge$  u ∘ w \ t
    and t · u ∘ w  $\longleftrightarrow$  seq t u  $\wedge$  u ∘ w \ t
    using composable-iff-seq con-comp-iff con-sym by meson+

  lemma comp-assocEC:
    shows t · (u · v) = (t · u) · v
    apply (cases seq t u)
    apply (metis arr-comp comp-assoc comp-def not-arr-null arr-compEEC arr-compEC
      seq-implies-arr-comp trg-compEC)

```

by (metis comp-def composable-iff-arr-comp seqIWE(1) src-comp arr-compE_{EC})

lemma diamond-commutes:

shows $t \cdot (u \setminus t) = u \cdot (t \setminus u)$

proof (cases $t \setminus u$)

show $\neg t \setminus u \implies ?thesis$

by (metis comp-null(2) conI con-sym)

assume $con: t \setminus u$

have $(t \cdot (u \setminus t)) \setminus u = (t \setminus u) \cdot ((u \setminus t) \setminus (u \setminus t))$

using con

by (metis (no-types, lifting) arr-resid-iff-con con-compI(2) con-implies-arr(1)

resid-comp(2) con-imp-arr-resid con-sym comp-def arr-compE_{EC} src-resid conI)

moreover have $u \lesssim t \cdot (u \setminus t)$

by (metis arr-resid-iff-con calculation con cong-reflexive comp-arr-trg resid-arr-self resid-comp(1) apex-sym)

ultimately show $?thesis$

by (metis comp-eqI con comp-arr-trg resid-arr-self arr-resid apex-sym)

qed

lemma mediating-transition:

assumes $t \cdot v = u \cdot w$

shows $v \setminus (u \setminus t) = w \setminus (t \setminus u)$

proof (cases seq t v)

assume 1: seq t v

hence 2: arr $(u \cdot w)$

using assms by (metis arr-compE_{EC} seqE_{WE})

have 3: $v \setminus (u \setminus t) = ((t \cdot v) \setminus t) \setminus (u \setminus t)$

by (metis 2 assms comp-resid-prfx)

also have ... = $(t \cdot v) \setminus (t \cdot (u \setminus t))$

by (metis (no-types, lifting) 2 assms con-comp-iffE_{EC}(2) con-imp-eq-src

con-implies-arr(2) con-sym comp-resid-prfx prfx-comp resid-comp(1)

arr-compE_{EC} arr-compE_{EC} prfx-implies-con)

also have ... = $(u \cdot w) \setminus (u \cdot (t \setminus u))$

using assms diamond-commutes by presburger

also have ... = $((u \cdot w) \setminus u) \setminus (t \setminus u)$

by (metis 3 assms calculation cube)

also have ... = $w \setminus (t \setminus u)$

using 2 by simp

finally show $?thesis$ by blast

next

assume 1: $\neg \text{seq } t v$

have $v \setminus (u \setminus t) = null$

using 1

by (metis (mono-tags, lifting) arr-resid-iff-con coinitial-iff_{WE} con-imp-coinitial seqIWE(2) src-resid conI)

also have ... = $w \setminus (t \setminus u)$

by (metis (no-types, lifting) 1 arr-compE_{EC} assms composable-imp-seq con-imp-eq-src con-implies-arr(1) con-implies-arr(2) comp-def not-arr-null conI src-resid)

finally show $?thesis$ by blast

qed

lemma *induced-arrow*:

assumes $\text{seq } t \ u \text{ and } t \cdot u = t' \cdot u'$
shows $(t' \setminus t) \cdot (u \setminus (t' \setminus t)) = u$
and $(t \setminus t') \cdot (u \setminus (t' \setminus t)) = u'$
and $(t' \setminus t) \cdot v = u \implies v = u \setminus (t' \setminus t)$
apply (*metis assms comp-eqI arr-compE_{EC} prfx-comp resid-comp(1) arr-resid-iff-con seq-implies-arr-comp*)
apply (*metis assms comp-resid-prfx arr-compE_{EC} resid-comp(2) arr-resid-iff-con seq-implies-arr-comp*)
by (*metis assms(1) comp-resid-prfx seq-def*)

If an extensional RTS has composites, then it automatically has joins.

sublocale *extensional-rts-with-joins*

proof

fix $t \ u$
assume $\text{con}: t \curvearrowright u$
have 1: $\text{con } u \ (t \cdot (u \setminus t))$
using *con-compI(1) [of t u \ t u]*
by (*metis con con-implies-arr(1) con-sym diamond-commutes prfx-implies-con arr-resid prfx-comp src-resid arr-compE_C*)
have $t \sqcup u = t \cdot (u \setminus t)$
proof (*intro join-eqI*)
show $t \lesssim t \cdot (u \setminus t)$
by (*metis 1 composable-def comp-is-composite-of(2) composite-of-def con-comp-iff*)
moreover show 2: $u \lesssim t \cdot (u \setminus t)$
using 1 *arr-resid con con-sym prfx-reflexive resid-comp(1) by metis*
moreover show $(t \cdot (u \setminus t)) \setminus u = t \setminus u$
using 1 *diamond-commutes induced-arrow(2) resid-comp(2) by force*
ultimately show $(t \cdot (u \setminus t)) \setminus t = u \setminus t$
by (*metis con-comp-iff_{EC}(1) con-sym prfx-implies-con resid-comp(2) induced-arrow(1)*)
qed
thus *joinable t u*
by (*metis 1 con-implies-arr(2) joinable-iff-join-not-null not-arr-null*)
qed

lemma *comp-join_{EC}*:

assumes *composable t u and joinable u u'*
shows *composable t (u ⊔ u')*
and $t \cdot (u \sqcup u') = t \cdot u \sqcup t \cdot u'$
proof –
have 1: $u \sqcup u' = u \cdot (u' \setminus u) \wedge u \sqcup u' = u' \cdot (u \setminus u')$
using *assms joinable-implies-con diamond-commutes*
by (*metis comp-is-composite-of(2) join-is-join-of join-ofE*)
show 2: *composable t (u ⊔ u')*
using *assms 1 composable-iff-seq arr-comp src-join arr-compE_{EC} joinable-iff-arr-join seqI_{WE}(1)*
by *metis*

```

have con (t · u) (t · u')
  using 1 2 arr-comp arr-compEC assms(2) comp-assocEC comp-resid-prfx
    con-comp-iff joinable-implies-con comp-def not-arr-null
    by metis
thus t · (u ∙ u') = t · u ∙ t · u'
  using assms comp-join(2) joinable-iff-con by blast
qed

lemma join-expansion:
assumes t ∙ u
shows t ∙ u = t · (u \ t) and seq t (u \ t)
proof -
  show t ∙ u = t · (u \ t)
  by (metis assms comp-is-composite-of(2) has-joins join-is-join-of join-of-def)
  thus seq t (u \ t)
  by (meson assms composable-def composable-iff-seq has-joins join-is-join-of join-of-def)
qed

lemma join3-expansion:
assumes t ∙ u and t ∙ v and u ∙ v
shows (t ∙ u) ∙ v = (t · (u \ t)) · ((v \ t) \ (u \ t))
proof (cases v \ t ∙ u \ t)
  show ∙ v \ t ∙ u \ t ==> ?thesis
  by (metis assms(1) comp-null(2) join-expansion(1) joinable-implies-con
      resid-comp(1) join-def conI)
  assume 1: v \ t ∙ u \ t
  have (t ∙ u) ∙ v = (t ∙ u) · (v \ (t ∙ u))
  by (metis comp-null(1) diamond-commutes ex-un-null join-expansion(1)
      joinable-implies-con null-is-zero(2) join-def conI)
  also have ... = (t · (u \ t)) · (v \ (t ∙ u))
  using join-expansion [of t u] assms(1) by presburger
  also have ... = (t · (u \ t)) · ((v \ u) \ (t \ u))
  using assms 1 join-of-resid(1) [of t u v] cube [of v t u]
  by (metis con-compI(2) con-implies-arr(2) join-expansion(1) not-arr-null resid-comp(1)
      con-sym comp-def src-resid arr-compEC)
  also have ... = (t · (u \ t)) · ((v \ t) \ (u \ t))
  by (metis cube)
  finally show ?thesis by blast
qed

lemma resid-common-prefix:
assumes t · u ∙ t · v
shows (t · u) \ (t · v) = u \ v
using assms
by (metis con-comp-iff con-sym con-comp-iffEC(2) con-implies-arr(2) induced-arrow(1)
      resid-comp(1) resid-comp(2) residuation.arr-resid-iff-con residuation-axioms)

lemma join-comp:
assumes t · u ∙ v

```

```

shows  $(t \cdot u) \sqcup v = t \cdot (v \setminus t) \cdot (u \setminus (v \setminus t))$ 
using assms
by (metis comp-assocEC diamond-commutes join-expansion(1) resid-comp(1))

end

```

2.1.10 Confluence

An RTS is *confluent* if every coinitial pair of transitions is consistent.

```

locale confluent-rts = rts +
assumes confluence: coinitial t u ==> con t u

```

2.2 Simulations

Simulations are morphisms of residuated transition systems. They are assumed to preserve consistency and residuation.

```

locale simulation =
A: rts A +
B: rts B
for A :: 'a resid    (infix \_A 70)
and B :: 'b resid    (infix \_B 70)
and F :: 'a => 'b +
assumes extensional: ~ A.arr t ==> F t = B.null
and preserves-con [simp]: A.con t u ==> B.con (F t) (F u)
and preserves-resid [simp]: A.con t u ==> F (t \_A u) = F t \_B F u
begin

notation A.con    (infix ~_A 50)
notation A.prfx   (infix ⪻_A 50)
notation A.cong   (infix ∼_A 50)

notation B.con    (infix ~_B 50)
notation B.prfx   (infix ⪻_B 50)
notation B.cong   (infix ∼_B 50)

lemma preserves-reflects-arr [iff]:
shows B.arr (F t) <=> A.arr t
by (metis A.arr-def B.con-implies-arr(2) B.not-arr-null extensional preserves-con)

lemma preserves-ide [simp]:
assumes A.ide a
shows B.ide (F a)
by (metis A.ideE assms preserves-con preserves-resid B.ideI)

lemma preserves-sources:
shows F ` A.sources t ⊆ B.sources (F t)
using A.sources-def B.sources-def preserves-con preserves-ide by auto

```

```

lemma preserves-targets:
shows F ` A.targets t ⊆ B.targets (F t)
by (metis A.arrE B.arrE A.sources-resid B.sources-resid equals0D image-subset-iff
A.arr-iff-has-target preserves-reflects-arr preserves-resid preserves-sources)

lemma preserves-trg [simp]:
assumes A.arr t
shows B.trg (F t) = F (A.trg t)
using assms A.trg-def B.trg-def by auto

lemma preserves-composites:
assumes A.composite-of t u v
shows B.composite-of (F t) (F u) (F v)
using assms
by (metis A.composite-ofE A.prfx-implies-con B.composite-of-def preserves-ide
preserves-resid A.con-sym)

lemma preserves-joins:
assumes A.join-of t u v
shows B.join-of (F t) (F u) (F v)
using assms A.join-of-def B.join-of-def A.joinable-def
by (metis A.joinable-implies-con preserves-composites preserves-resid)

lemma preserves-prfx:
assumes t ⪯A u
shows F t ⪯B F u
using assms
by (metis A.prfx-implies-con preserves-ide preserves-resid)

lemma preserves-cong:
assumes t ~A u
shows F t ~B F u
using assms preserves-prfx by simp

end

```

2.2.1 Identity Simulation

```

locale identity-simulation =
  rts
begin

abbreviation map
where map ≡ λt. if arr t then t else null

sublocale simulation resid resid map
  using con-implies-arr con-sym arr-resid-iff-con
  by unfold-locales auto

```

```
end
```

2.2.2 Composite of Simulations

```
lemma simulation-comp [intro]:
assumes simulation A B F and simulation B C G
shows simulation A C (G o F)
proof -
  interpret F: simulation A B F using assms(1) by auto
  interpret G: simulation B C G using assms(2) by auto
  show simulation A C (G o F)
    using F.extensional G.extensional by unfold-locales auto
qed

locale composite-simulation =
F: simulation A B F +
G: simulation B C G
for A :: 'a resid
and B :: 'b resid
and C :: 'c resid
and F :: 'a ⇒ 'b
and G :: 'b ⇒ 'c
begin

abbreviation map
where map ≡ G o F

sublocale simulation A C map
  using F.simulation-axioms G.simulation-axioms by blast

lemma is-simulation:
shows simulation A C map
  using F.simulation-axioms G.simulation-axioms by blast

end
```

2.2.3 Simulations into a Weakly Extensional RTS

```
locale simulation-to-weakly-extensional-rts =
simulation +
B: weakly-extensional-rts B
begin

lemma preserves-src [simp]:
shows a ∈ A.sources t ⇒ B.src (F t) = F a
  by (metis equals0D image-subset-iff B.arr-iff-has-source
      preserves-sources B.arr-has-un-source B.src-in-sources)

lemma preserves-trg [simp]:
shows b ∈ A.targets t ⇒ B.trg (F t) = F b
```

by (metis equals0D image-subset-iff B.arr-iff-has-target
preserves-targets B.arr-has-un-target B.trg-in-targets)

end

2.2.4 Simulations into an Extensional RTS

```
locale simulation-to-extensional-rts =
  simulation +
  B: extensional-rts B
begin

  notation B.comp (infixr ·B 55)
  notation B.join (infix ∪B 52)

  lemma preserves-comp:
    assumes A.composite-of t u v
    shows F v = F t ·B F u
    using assms
    by (metis preserves-composites B.comp-is-composite-of(2))

  lemma preserves-join:
    assumes A.join-of t u v
    shows F v = F t ∪B F u
    using assms preserves-joins
    by (meson B.join-is-join-of B.join-of-unique B.joinable-def)

end
```

2.2.5 Simulations between Extensional RTS's

```
locale simulation-between-extensional-rts =
  simulation-to-extensional-rts +
  A: extensional-rts A
begin

  notation A.comp (infixr ·A 55)
  notation A.join (infix ∪A 52)

  lemma preserves-src [simp]:
    shows B.src (F t) = F (A.src t)
    by (metis A.arr-src-iff-arr A.src-in-sources extensional image-subset-iff
        preserves-reflects-arr preserves-sources B.arr-has-un-source B.src-def
        B.src-in-sources)

  lemma preserves-trg [simp]:
    shows B.trg (F t) = F (A.trg t)
    by (metis A.arr-trg-iff-arr A.residuation-axioms A.trg-def B.null-is-zero(2) B.trg-def
        extensional preserves-resid residuation.arrE)
```

```

lemma preserves-comp:
assumes A.composable t u
shows F (t ·A u) = F t ·B F u
using assms
by (metis A.arr-comp A.comp-resid-prfx A.composableD(2) A.not-arr-null
      A.prfx-comp A.residuation-axioms B.comp-eqI preserves-prfx preserves-resid
      residuation.conI)

lemma preserves-join:
assumes A.joinable t u
shows F (t ⊔A u) = F t ⊔B F u
using assms
by (meson A.join-is-join-of B.joinable-def preserves-joins B.join-is-join-of
      B.join-of-unique)

end

```

2.2.6 Transformations

A *transformation* is a morphism of simulations, analogously to how a natural transformation is a morphism of functors, except the normal commutativity condition for that “naturality squares” is replaced by the requirement that the arrows at the apex of such a square are given by residuation of the arrows at the base. If the codomain RTS is extensional, then this condition implies the commutativity of the square with respect to composition, as would be the case for a natural transformation between functors.

The proper way to define a transformation when the domain and codomain are general RTS’s is not yet clear to me. However, if the domain and codomain are weakly extensional, then we have unique sources and targets, so there is no problem. The definition below is limited to that case. I do not make any attempt here to develop facts about transformations. My main reason for including this definition here is so that in the subsequent application to the λ -calculus, I can exhibit β -reduction as an example of a transformation.

```

locale transformation =
A: weakly-extensional-rts A +
B: weakly-extensional-rts B +
F: simulation A B F +
G: simulation A B G
for A :: 'a resid    (infix \_A 70)
and B :: 'b resid    (infix \_B 70)
and F :: 'a ⇒ 'b
and G :: 'a ⇒ 'b
and τ :: 'a ⇒ 'b +
assumes extensional: ¬ A.arr f ⇒ τ f = B.null
and preserves-src: A.ide f ⇒ B.src (τ f) = F f
and preserves-trg: A.ide f ⇒ B.trg (τ f) = G f
and naturality1-ax: A.arr f ⇒ τ (A.src f) \_B F f = τ (A.trg f)
and naturality2-ax: A.arr f ⇒ F f \_B τ (A.src f) = G f

```

```

and naturality3: A.arr f ==> B.join-of (τ (A.src f)) (F f) (τ f)
begin

  notation A.con    (infix ⪻_A 50)
  notation A.prfx   (infix ⪻_A 50)

  notation B.con    (infix ⪻_B 50)
  notation B.prfx   (infix ⪻_B 50)

  lemma naturality1:
  shows τ (A.src f) \_B F f = τ (A.trg f)
  by (metis A.arr-trg-iff-arr B.null-is-zero(2) F.extensional_transformation.extensional_transformation.naturality1_ax transformation-axioms)

  lemma naturality2:
  shows F f \_B τ (A.src f) = G f
  by (metis A.weakly-extensional RTS-axioms B.null-is-zero(2) G.extensional_transformation.naturality2_ax weakly-extensional_RTS.arr-src-iff-arr)

end

```

2.3 Normal Sub-RTS's and Congruence

We now develop a general quotient construction on an RTS. We define a *normal sub-RTS* of an RTS to be a collection of transitions \mathfrak{N} having certain “local” closure properties. A normal sub-RTS induces an equivalence relation \approx_0 , which we call *semi-congruence*, by defining $t \approx_0 u$ to hold exactly when $t \setminus u$ and $u \setminus t$ are both in \mathfrak{N} . This relation generalizes the relation \sim defined for an arbitrary RTS, in the sense that \sim is obtained when \mathfrak{N} consists of all and only the identity transitions. However, in general the relation \approx_0 is fully substitutive only in the left argument position of residuation; for the right argument position, a somewhat weaker property is satisfied. We then coarsen \approx_0 to a relation \approx , by defining $t \approx u$ to hold exactly when t and u can be transported by residuation along transitions in \mathfrak{N} to a common source, in such a way that the residuals are related by \approx_0 . To obtain full substitutivity of \approx with respect to residuation, we need to impose an additional condition on \mathfrak{N} . This condition, which we call *coherence*, states that transporting a transition t along parallel transitions u and v in \mathfrak{N} always yields residuals $t \setminus u$ and $u \setminus t$ that are related by \approx_0 . We show that, under the assumption of coherence, the relation \approx is fully substitutive, and the quotient of the original RTS by this relation is an extensional RTS which has the \mathfrak{N} -connected components of the original RTS as identities. Although the coherence property has a somewhat *ad hoc* feel to it, we show that, in the context of the other conditions assumed for \mathfrak{N} , coherence is in fact equivalent to substitutivity for \approx .

2.3.1 Normal Sub-RTS's

```
locale normal-sub-rts =
```

```

R: rts +
fixes  $\mathfrak{N} :: 'a set$ 
assumes elements-are-arr:  $t \in \mathfrak{N} \Rightarrow R.\text{arr } t$ 
and ide-closed:  $R.\text{ide } a \Rightarrow a \in \mathfrak{N}$ 
and forward-stable:  $\llbracket u \in \mathfrak{N}; R.\text{coinitial } t u \rrbracket \Rightarrow u \setminus t \in \mathfrak{N}$ 
and backward-stable:  $\llbracket u \in \mathfrak{N}; t \setminus u \in \mathfrak{N} \rrbracket \Rightarrow t \in \mathfrak{N}$ 
and composite-closed-left:  $\llbracket u \in \mathfrak{N}; R.\text{seq } u t \rrbracket \Rightarrow \exists v. R.\text{composite-of } u t v$ 
and composite-closed-right:  $\llbracket u \in \mathfrak{N}; R.\text{seq } t u \rrbracket \Rightarrow \exists v. R.\text{composite-of } t u v$ 
begin

lemma prfx-closed:
assumes  $u \in \mathfrak{N}$  and  $R.\text{prfx } t u$ 
shows  $t \in \mathfrak{N}$ 
using assms backward-stable ide-closed by blast

lemma composite-closed:
assumes  $t \in \mathfrak{N}$  and  $u \in \mathfrak{N}$  and  $R.\text{composite-of } t u v$ 
shows  $v \in \mathfrak{N}$ 
using assms backward-stable R.composite-of-def prfx-closed by blast

lemma factor-closed:
assumes  $R.\text{composite-of } t u v$  and  $v \in \mathfrak{N}$ 
shows  $t \in \mathfrak{N}$  and  $u \in \mathfrak{N}$ 
apply (metis assms R.composite-of-def prfx-closed)
by (meson assms R.composite-of-def R.con-imp-coinitial forward-stable prfx-closed
      R.prfx-implies-con)

lemma resid-along-elem-preserves-con:
assumes  $t \sim t'$  and  $R.\text{coinitial } t u$  and  $u \in \mathfrak{N}$ 
shows  $t \setminus u \sim t' \setminus u$ 
proof -
  have  $R.\text{coinitial } (t \setminus t') (u \setminus t')$ 
    by (metis assms R.arr-resid-iff-con R.coinitialI R.con-imp-common-source forward-stable
        elements-are-arr R.con-implies-arr(2) R.sources-resid R.sources-eqI)
  hence  $t \setminus t' \sim u \setminus t'$ 
    by (metis assms(3) R.coinitial-iff R.con-imp-coinitial R.con-sym elements-are-arr
        forward-stable R.arr-resid-iff-con)
  thus ?thesis
    using assms R.cube forward-stable by fastforce
qed

end

```

Normal Sub-RTS's of an Extensional RTS with Composites

```

locale normal-in-extensional-rts-with-composites =
  R: extensional-rts +
  R: rts-with-composites +
  normal-sub-rts

```

```

begin

  lemma factor-closedEC:
    assumes  $t \cdot u \in \mathfrak{N}$ 
    shows  $t \in \mathfrak{N}$  and  $u \in \mathfrak{N}$ 
    using assms factor-closed
    by (metis R.arrE R.composable-def R.comp-is-composite-of(2) R.con-comp-iff elements-are-arr)+

  lemma comp-in-normal-iff:
    shows  $t \cdot u \in \mathfrak{N} \longleftrightarrow t \in \mathfrak{N} \wedge u \in \mathfrak{N} \wedge R.seq\ t\ u$ 
    by (metis R.comp-is-composite-of(2) composite-closed elements-are-arr factor-closed(1-2) R.composable-def R.has-composites R.rts-with-composites-axioms R.extensional-rts-axioms extensional-rts-with-composites.arr-compEEC extensional-rts-with-composites-def R.seqIWE(1))

end

```

2.3.2 Semi-Congruence

```

context normal-sub-rts
begin

```

We will refer to the elements of \mathfrak{N} as *normal transitions*. Generalizing identity transitions to normal transitions in the definition of congruence, we obtain the notion of *semi-congruence* of transitions with respect to a normal sub-RTS.

```

abbreviation Congo0 (infix  $\approx_0$  50)
where  $t \approx_0 t' \equiv t \setminus t' \in \mathfrak{N} \wedge t' \setminus t \in \mathfrak{N}$ 

```

```

lemma Congo0-reflexive:
assumes R.arr t
shows  $t \approx_0 t$ 
using assms R.cong-reflexive ide-closed by simp

```

```

lemma Congo0-symmetric:
assumes  $t \approx_0 t'$ 
shows  $t' \approx_0 t$ 
using assms by simp

```

```

lemma Congo0-transitive [trans]:
assumes  $t \approx_0 t'$  and  $t' \approx_0 t''$ 
shows  $t \approx_0 t''$ 
by (metis (full-types) R.arr-resid-iff-con assms backward-stable forward-stable elements-are-arr R.coinitialI R.cube R.sources-resid)

```

```

lemma Congo0-imp-con:
assumes  $t \approx_0 t'$ 
shows R.con t t'
using assms R.arr-resid-iff-con elements-are-arr by blast

```

```

lemma Cong0-imp-coinitial:
assumes t ≈0 t'
shows R.sources t = R.sources t'
using assms by (meson Cong0-imp-con R.coinitial-iff R.con-imp-coinitial)

```

Semi-congruence is preserved and reflected by residuation along normal transitions.

```

lemma Resid-along-normal-preserves-Cong0:
assumes t ≈0 t' and u ∈ Σ and R.sources t = R.sources u
shows t \ u ≈0 t' \ u
by (metis Cong0-imp-coinitial R.arr-resid-iff-con R.coinitialI R.coinitial-def
      R.cube R.sources-resid assms elements-are-arr forward-stable)

```

```

lemma Resid-along-normal-reflects-Cong0:
assumes t \ u ≈0 t' \ u and u ∈ Σ
shows t ≈0 t'
using assms
by (metis backward-stable R.con-imp-coinitial R.cube R.null-is-zero(2)
      forward-stable R.conI)

```

Semi-congruence is substitutive for the left-hand argument of residuation.

```

lemma Cong0-subst-left:
assumes t ≈0 t' and t ⊂ u
shows t' ⊂ u and t \ u ≈0 t' \ u
proof –
  have 1: t ⊂ u ∧ t ⊂ t' ∧ u \ t ⊂ t' \ t
  using assms
  by (metis Resid-along-normal-preserves-Cong0 Cong0-imp-con Cong0-reflexive R.con-sym
        R.null-is-zero(2) R.arr-resid-iff-con R.sources-resid R.conI)
  hence 2: t' ⊂ u ∧ u \ t ⊂ t' \ t ∧
    (t \ u) \ (t' \ u) = (t \ t') \ (u \ t') ∧
    (t' \ u) \ (t \ u) = (t' \ t) \ (u \ t)
  by (meson R.con-sym R.cube R.resid-reflects-con)
  show t' ⊂ u
  using 2 by simp
  show t \ u ≈0 t' \ u
  using assms 1 2
  by (metis R.arr-resid-iff-con R.con-imp-coinitial R.cube forward-stable)
qed

```

Semi-congruence is not exactly substitutive for residuation on the right. Instead, the following weaker property is satisfied. Obtaining exact substitutivity on the right is the motivation for defining a coarser notion of congruence below.

```

lemma Cong0-subst-right:
assumes u ≈0 u' and t ⊂ u
shows t ⊂ u' and (t \ u) \ (u' \ u) ≈0 (t \ u') \ (u \ u')
using assms
apply (meson Cong0-subst-left(1) R.con-sym)
using assms

```

by (*metis R.sources-resid Congo₀-imp-con Congo₀-reflexive Resid-along-normal-preserves-Congo R.arr-resid-iff-con residuation.cube R.residuation-axioms*)

lemma *Congo₀-subst-Con*:

assumes $t \approx_0 t'$ **and** $u \approx_0 u'$

shows $t \sim u \longleftrightarrow t' \sim u'$

using assms

by (*meson Congo₀-subst-left(1) Congo₀-subst-right(1)*)

lemma *Congo₀-cancel-left*:

assumes *R.composite-of t u v and R.composite-of t u' v'* **and** $v \approx_0 v'$

shows $u \approx_0 u'$

proof –

have $u \approx_0 v \setminus t$

using assms(1) ide-closed by blast

also have $v \setminus t \approx_0 v' \setminus t$

by (*meson assms(1,3) Congo₀-subst-left(2) R.composite-of-def R.con-sym R.prfx-implies-con*)
also have $v' \setminus t \approx_0 u'$

using assms(2) ide-closed by blast

finally show ?thesis by auto

qed

lemma *Congo₀-iff*:

shows $t \approx_0 t' \longleftrightarrow$

$(\exists u u' v v'. u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge v \approx_0 v' \wedge$
R.composite-of t u v and R.composite-of t' u' v')

proof (*intro iffI*)

show $\exists u u' v v'. u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge v \approx_0 v' \wedge$

R.composite-of t u v and R.composite-of t' u' v'

$\implies t \approx_0 t'$

by (*meson Congo₀-transitive R.composite-of-def ide-closed prfx-closed*)

show $t \approx_0 t' \implies \exists u u' v v'. u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge v \approx_0 v' \wedge$

R.composite-of t u v and R.composite-of t' u' v'

by (*metis Congo₀-imp-con Congo₀-transitive R.composite-of-def R.prfx-reflexive R.arrI R.ideE*)

qed

lemma *diamond-commutes-upto-Congo₀*:

assumes $t \sim u$ **and** *R.composite-of t (u \setminus t) v and R.composite-of u (t \setminus u) v'*

shows $v \approx_0 v'$

proof –

have $v \setminus v \approx_0 v' \setminus v \wedge v' \setminus v' \approx_0 v \setminus v'$

proof –

have 1: $(v \setminus t) \setminus (u \setminus t) \approx_0 (v' \setminus u) \setminus (t \setminus u)$

using assms(2–3) R(cube [of v t u])

by (*metis R.con-target R.composite-ofE R.ide-imp-con-iff-cong ide-closed R.conI*)

have 2: $v \setminus v \approx_0 v' \setminus v$

proof –

```

have  $v \setminus v \approx_0 (v \setminus t) \setminus (u \setminus t)$ 
  using assms R.composite-of-def ide-closed
  by (meson R.composite-of-unq-up-to-cong R.prfx-implies-con R.resid-composite-of(3))
also have  $(v \setminus t) \setminus (u \setminus t) \approx_0 (v' \setminus u) \setminus (t \setminus u)$ 
  using 1 by simp
also have  $(v' \setminus u) \setminus (t \setminus u) \approx_0 (v' \setminus t) \setminus (u \setminus t)$ 
  by (metis 1 Congo-transitive R(cube))
also have  $(v' \setminus t) \setminus (u \setminus t) \approx_0 v' \setminus v$ 
  using assms R.composite-of-def ide-closed
  by (metis 1 R.conI R.con-sym-ax R(cube) R.null-is-zero(2) R.resid-composite-of(3))
finally show ?thesis by auto
qed
moreover have  $v' \setminus v' \approx_0 v \setminus v'$ 
proof -
  have  $v' \setminus v' \approx_0 (v' \setminus u) \setminus (t \setminus u)$ 
    using assms R.composite-of-def ide-closed
    by (meson R.composite-of-unq-up-to-cong R.prfx-implies-con R.resid-composite-of(3))
  also have  $(v' \setminus u) \setminus (t \setminus u) \approx_0 (v \setminus t) \setminus (u \setminus t)$ 
    using 1 by simp
  also have  $(v \setminus t) \setminus (u \setminus t) \approx_0 (v \setminus u) \setminus (t \setminus u)$ 
    using R(cube) [of v t u] ide-closed
    by (metis Congo-reflexive R.arr-resid-iff-con assms(2) R.composite-of-def
        R.prfx-implies-con)
  also have  $(v \setminus u) \setminus (t \setminus u) \approx_0 v \setminus v'$ 
    using assms R.composite-of-def ide-closed
    by (metis 2 R.conI elements-are-arr R.not-arr-null R.null-is-zero(2)
        R.resid-composite-of(3))
  finally show ?thesis by auto
qed
ultimately show ?thesis by blast
thus ?thesis
  by (metis assms(2-3) R.composite-of-unq-up-to-cong R.resid-arr-ide Congo-imp-con)
qed

```

2.3.3 Congruence

We use semi-congruence to define a coarser relation as follows.

definition Cong (infix ≈ 50)
where Cong $t t' \equiv \exists u u'. u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$

lemma CongI [intro]:
assumes $u \in \mathfrak{N}$ and $u' \in \mathfrak{N}$ and $t \setminus u \approx_0 t' \setminus u'$
shows Cong $t t'$
 using assms Cong-def by auto

lemma CongE [elim]:
assumes $t \approx t'$
obtains $u u'$

where $u \in \mathfrak{N}$ **and** $u' \in \mathfrak{N}$ **and** $t \setminus u \approx_0 t' \setminus u'$
using assms Cong-def **by** auto

lemma Cong-imp-arr:
assumes $t \approx t'$
shows R.arr t **and** R.arr t'
using assms Cong-def
by (meson R.arr-resid-iff-con R.con-implies-arr(2) R.con-sym elements-are-arr)+

lemma Cong-reflexive:
assumes R.arr t
shows $t \approx t$
by (metis CongI Cong0-reflexive assms R.con-imp-coinitial-ax ide-closed
R.resid-arr-ide R.arrE R.con-sym)

lemma Cong-symmetric:
assumes $t \approx t'$
shows $t' \approx t$
using assms Cong-def **by** auto

The existence of composites of normal transitions is used in the following.

lemma Cong-transitive [trans]:
assumes $t \approx t''$ **and** $t'' \approx t'$
shows $t \approx t'$
proof –
obtain $u u''$ **where** $uu'': u \in \mathfrak{N} \wedge u'' \in \mathfrak{N} \wedge t \setminus u \approx_0 t'' \setminus u''$
using assms Cong-def **by** blast
obtain $v' v''$ **where** $v'v'': v' \in \mathfrak{N} \wedge v'' \in \mathfrak{N} \wedge t'' \setminus v'' \approx_0 t' \setminus v'$
using assms Cong-def **by** blast
let ?w = $(t \setminus u) \setminus (v'' \setminus u'')$
let ?w' = $(t' \setminus v') \setminus (u'' \setminus v'')$
let ?w'' = $(t'' \setminus v'') \setminus (u'' \setminus v'')$
have $w'': ?w'' = (t'' \setminus u'') \setminus (v'' \setminus u'')$
by (metis R.cube)
have $u''v'': R.coinitial u'' v''$
by (metis (full-types) R.coinitial-iff elements-are-arr R.con-imp-coinitial
R.arr-resid-iff-con uu'' v'v'')
hence $v''u'': R.coinitial v'' u''$
by (meson R.con-imp-coinitial elements-are-arr forward-stable R.arr-resid-iff-con v'v'')
have 1: $?w \setminus ?w'' \in \mathfrak{N}$
proof –
have $(v'' \setminus u'') \setminus (t'' \setminus u'') \in \mathfrak{N}$
by (metis Cong0-transitive R.con-imp-coinitial forward-stable Cong0-imp-con
resid-along-elem-preserves-con R.arrI R.arr-resid-iff-con u''v'' uu'' v'v'')
thus ?thesis
by (metis Cong0-subst-left(2) R.con-sym R.null-is-zero(1) uu'' w'' R.conI)
qed
have 2: $?w'' \setminus ?w \in \mathfrak{N}$
by (metis 1 Cong0-subst-left(2) uu'' w'' R.conI)

```

have 3:  $R.\text{seq } u (v'' \setminus u'')$ 
  by (metis (full-types) 2 Cong0-imp-coinitial R.sources-resid
    Cong0-imp-con R.arr-resid-iff-con R.con-implies-arr(2) R.seqI(1) uu'' R.conI)
have 4:  $R.\text{seq } v' (u'' \setminus v'')$ 
  by (metis 1 Cong0-imp-coinitial Cong0-imp-con R.arr-resid-iff-con
    R.con-implies-arr(2) R.seq-def R.sources-resid v'v'' R.conI)
obtain x where x:  $R.\text{composite-of } u (v'' \setminus u'') x$ 
  using 3 composite-closed-left uu'' by blast
obtain x' where x':  $R.\text{composite-of } v' (u'' \setminus v'') x'$ 
  using 4 composite-closed-left v'v'' by presburger
have ?w ≈0 ?w'
proof -
  have ?w ≈0 ?w'' ∧ ?w' ≈0 ?w''
    using 1 2
    by (metis Cong0-subst-left(2) R.null-is-zero(2) v'v'' R.conI)
  thus ?thesis
    using Cong0-transitive by blast
qed
moreover have x ∈ Ω ∧ ?w ≈0 t \ x
  apply (intro conjI)
    apply (meson composite-closed forward-stable u''v'' uu'' v'v'' x)
    apply (metis (full-types) R.arr-resid-iff-con R.con-implies-arr(2) R.con-sym
      ide-closed forward-stable R.composite-of-def R.resid-composite-of(3)
      Cong0-subst-right(1) prfx-closed u''v'' uu'' v'v'' x R.conI)
    by (metis (no-types, lifting) 1 R.con-composite-of-iff ide-closed
      R.resid-composite-of(3) R.arr-resid-iff-con R.con-implies-arr(1) R.con-sym x R.conI)
moreover have x' ∈ Ω ∧ ?w' ≈0 t' \ x'
  apply (intro conjI)
    apply (meson composite-closed forward-stable uu'' v''u'' v'v'' x')
    apply (metis (full-types) Cong0-subst-right(1) R.composite-ofE R.con-sym
      ide-closed forward-stable R.con-imp-coinitial prfx-closed
      R.resid-composite-of(3) R.arr-resid-iff-con R.con-implies-arr(1) uu'' v'v'' x' R.conI)
  by (metis (full-types) Cong0-subst-left(1) R.composite-ofE R.con-sym ide-closed
    forward-stable R.con-imp-coinitial prfx-closed R.resid-composite-of(3)
    R.arr-resid-iff-con R.con-implies-arr(1) uu'' v'v'' x' R.conI)
ultimately show t ≈ t'
  using Cong-def Cong0-transitive by metis
qed

lemma Cong-closure-props:
shows t ≈ u ==> u ≈ t
and [t ≈ u; u ≈ v] ==> t ≈ v
and t ≈0 u ==> t ≈ u
and [u ∈ Ω; R.sources t = R.sources u] ==> t ≈ t \ u
proof -
  show t ≈ u ==> u ≈ t
    using Cong-symmetric by blast
  show [t ≈ u; u ≈ v] ==> t ≈ v
    using Cong-transitive by blast

```

```

show  $t \approx_0 u \implies t \approx u$ 
  by (metis Cong0-subst-left(2) Cong-def Cong-reflexive R.con-implies-arr(1)
        R.null-is-zero(2) R.conI)
show  $\llbracket u \in \mathfrak{N} ; R.\text{sources } t = R.\text{sources } u \rrbracket \implies t \approx t \setminus u$ 
proof -
  assume  $u : u \in \mathfrak{N}$  and coinitial:  $R.\text{sources } t = R.\text{sources } u$ 
  obtain  $a$  where  $a : a \in R.\text{targets } u$ 
    by (meson elements-are-arr empty-subsetI R.arr-iff-has-target subsetI subset-antisym u)
  have  $t \setminus u \approx_0 (t \setminus u) \setminus a$ 
  proof -
    have  $R.\text{arr } t$ 
      using R.arr-iff-has-source coinitial elements-are-arr u by presburger
    thus ?thesis
      by (meson u a R.arr-resid-iff-con coinitial ide-closed forward-stable
           elements-are-arr R.coinitial-iff R.composite-of-arr-target R.resid-composite-of(3))
  qed
  thus ?thesis
    using Cong-def
    by (metis a R.composite-of-arr-target elements-are-arr factor-closed(2) u)
  qed
qed

lemma Cong0-implies-Cong:
assumes  $t \approx_0 t'$ 
shows  $t \approx t'$ 
  using assms Cong-closure-props(3) by simp

lemma in-sources-respects-Cong:
assumes  $t \approx t'$  and  $a \in R.\text{sources } t$  and  $a' \in R.\text{sources } t'$ 
shows  $a \approx a'$ 
proof -
  obtain  $u u'$  where  $uu' : u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$ 
    using assms Cong-def by blast
  show  $a \approx a'$ 
  proof
    show  $u \in \mathfrak{N}$ 
      using uu' by simp
    show  $u' \in \mathfrak{N}$ 
      using uu' by simp
    show  $a \setminus u \approx_0 a' \setminus u'$ 
    proof -
      have  $a \setminus u \in R.\text{targets } u$ 
        by (metis Cong0-imp-con R.arr-resid-iff-con assms(2) R.con-imp-common-source
              R.con-implies-arr(1) R.resid-source-in-targets R.sources-eqI uu')
      moreover have  $a' \setminus u' \in R.\text{targets } u'$ 
        by (metis Cong0-imp-con R.arr-resid-iff-con assms(3) R.con-imp-common-source
              R.resid-source-in-targets R.con-implies-arr(1) R.sources-eqI uu')
      moreover have  $R.\text{targets } u = R.\text{targets } u'$ 
        by (metis Cong0-imp-coinitial Cong0-imp-con R.arr-resid-iff-con)
    qed
  qed
qed

```

```

R.con-implies-arr(1) R.sources-resid uu'
ultimately show ?thesis
  using ide-closed R.targets-are-cong by presburger
qed
qed
qed

lemma in-targets-respects-Cong:
assumes  $t \approx t'$  and  $b \in R.targets\ t$  and  $b' \in R.targets\ t'$ 
shows  $b \approx b'$ 
proof –
  obtain  $u\ u'$  where  $uu': u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$ 
    using assms Cong-def by blast
  have seq:  $R.seq(u \setminus t)((t' \setminus u') \setminus (t \setminus u)) \wedge R.seq(u' \setminus t')((t \setminus u) \setminus (t' \setminus u'))$ 
    by (metis R.arr-iff-has-source R.arr-iff-has-target R.conI elements-are-arr R.not-arr-null
      R.seqI(2) R.sources-resid R.targets-resid-sym uu')
  obtain  $v$  where  $v: R.composite-of(u \setminus t)((t' \setminus u') \setminus (t \setminus u)) v$ 
    using seq composite-closed-right uu' by presburger
  obtain  $v'$  where  $v': R.composite-of(u' \setminus t')((t \setminus u) \setminus (t' \setminus u')) v'$ 
    using seq composite-closed-right uu' by presburger
  show  $b \approx b'$ 
proof
  show  $v\text{-in-}\mathfrak{N}: v \in \mathfrak{N}$ 
    by (metis composite-closed R.con-imp-coinitial R.con-implies-arr(1) forward-stable
      R.composite-of-def R.prfx-implies-con R.arr-resid-iff-con R.con-sym uu' v)
  show  $v'\text{-in-}\mathfrak{N}: v' \in \mathfrak{N}$ 
    by (metis backward-stable R.composite-of-def R.con-imp-coinitial forward-stable
      R.null-is-zero(2) prfx-closed uu' v' R.conI)
  show  $b \setminus v \approx_0 b' \setminus v'$ 
    using assms uu' v v'
    by (metis R.arr-resid-iff-con ide-closed R.seq-def R.sources-resid R.targets-resid-sym
      R.resid-source-in-targets seq R.sources-composite-of R.targets-are-cong
      R.targets-composite-of)
qed
qed

```

```

lemma sources-are-Cong:
assumes  $a \in R.sources\ t$  and  $a' \in R.sources\ t$ 
shows  $a \approx a'$ 
using assms
by (simp add: ide-closed R.sources-are-cong Cong-closure-props(3))

```

```

lemma targets-are-Cong:
assumes  $b \in R.targets\ t$  and  $b' \in R.targets\ t$ 
shows  $b \approx b'$ 
using assms
by (simp add: ide-closed R.targets-are-cong Cong-closure-props(3))

```

It is *not* the case that sources and targets are \approx -closed; *i.e.* $t \approx t' \implies sources\ t = sources\ t'$ and $t \approx t' \implies targets\ t = targets\ t'$ do not hold, in general.

```

lemma Resid-along-normal-preserves-reflects-con:
assumes  $u \in \mathfrak{N}$  and  $R.\text{sources } t = R.\text{sources } u$ 
shows  $t \setminus u \sim t' \setminus u \longleftrightarrow t \sim t'$ 
by (metis  $R.\text{arr-resid-iff-con assms } R.\text{con-implies-arr}(1-2)$  elements-are-arr  $R.\text{coinitial-iff}$   

 $R.\text{resid-reflects-con resid-along-elem-preserves-con}$ )

```

We can alternatively characterize \approx as the least symmetric and transitive relation on transitions that extends \approx_0 and has the property of being preserved by residuation along transitions in \mathfrak{N} .

```

inductive Cong'
where  $\bigwedge t u. \text{Cong}' t u \implies \text{Cong}' u t$ 
  |  $\bigwedge t u v. [\![\text{Cong}' t u; \text{Cong}' u v]\!] \implies \text{Cong}' t v$ 
  |  $\bigwedge t u. t \approx_0 u \implies \text{Cong}' t u$ 
  |  $\bigwedge t u. [\![R.\text{arr } t; u \in \mathfrak{N}; R.\text{sources } t = R.\text{sources } u]\!] \implies \text{Cong}' t (t \setminus u)$ 

```

```

lemma Cong'-if:
shows  $[\![u \in \mathfrak{N}; u' \in \mathfrak{N}; t \setminus u \approx_0 t' \setminus u']!] \implies \text{Cong}' t t'$ 
proof –
  assume  $u: u \in \mathfrak{N}$  and  $u': u' \in \mathfrak{N}$  and  $1: t \setminus u \approx_0 t' \setminus u'$ 
  show  $\text{Cong}' t t'$ 
    using  $u u' 1$ 
    by (metis (no-types, lifting) Cong'.simp Cong0-imp-con  $R.\text{arr-resid-iff-con}$   

 $R.\text{coinitial-iff } R.\text{con-imp-coinitial}$ )
qed

```

```

lemma Cong-char:
shows  $\text{Cong } t t' \longleftrightarrow \text{Cong}' t t'$ 
proof –
  have  $\text{Cong } t t' \implies \text{Cong}' t t'$ 
    using Cong-def Cong'-if by blast
  moreover have  $\text{Cong}' t t' \implies \text{Cong } t t'$ 
    apply (induction rule: Cong'.induct)
    using Cong-symmetric apply simp
    using Cong-transitive apply simp
    using Cong-closure-props(3) apply simp
    using Cong-closure-props(4) by simp
  ultimately show ?thesis
    using Cong-def by blast
qed

```

```

lemma normal-is-Cong-closed:
assumes  $t \in \mathfrak{N}$  and  $t \approx t'$ 
shows  $t' \in \mathfrak{N}$ 
using assms
by (metis (full-types) CongE  $R.\text{con-imp-coinitial forward-stable}$   

 $R.\text{null-is-zero}(2)$  backward-stable  $R.\text{conI}$ )

```

2.3.4 Congruence Classes

Here we develop some notions relating to the congruence classes of \approx .

definition *Cong-class* ($\{\cdot\}$)

where *Cong-class* $t \equiv \{t'. t \approx t'\}$

definition *is-Cong-class*

where *is-Cong-class* $\mathcal{T} \equiv \exists t. t \in \mathcal{T} \wedge \mathcal{T} = \{t\}$

definition *Cong-class-rep*

where *Cong-class-rep* $\mathcal{T} \equiv \text{SOME } t. t \in \mathcal{T}$

lemma *Cong-class-is-nonempty*:

assumes *is-Cong-class* \mathcal{T}

shows $\mathcal{T} \neq \{\}$

using *assms is-Cong-class-def Cong-class-def* by *auto*

lemma *rep-in-Cong-class*:

assumes *is-Cong-class* \mathcal{T}

shows *Cong-class-rep* $\mathcal{T} \in \mathcal{T}$

using *assms is-Cong-class-def Cong-class-rep-def someI-ex [of $\lambda t. t \in \mathcal{T}$]*

by *metis*

lemma *arr-in-Cong-class*:

assumes *R.arr t*

shows $t \in \{t\}$

using *assms Cong-class-def Cong-reflexive* by *simp*

lemma *is-Cong-classI*:

assumes *R.arr t*

shows *is-Cong-class* $\{t\}$

using *assms Cong-class-def is-Cong-class-def Cong-reflexive* by *blast*

lemma *is-Cong-classI' [intro]*:

assumes $\mathcal{T} \neq \{\}$

and $\bigwedge t t'. [t \in \mathcal{T}; t' \in \mathcal{T}] \implies t \approx t'$

and $\bigwedge t t'. [t \in \mathcal{T}; t' \approx t] \implies t' \in \mathcal{T}$

shows *is-Cong-class* \mathcal{T}

proof –

obtain t **where** $t: t \in \mathcal{T}$

using *assms* by *auto*

have $\mathcal{T} = \{t\}$

unfolding *Cong-class-def*

using *assms(2–3) t* by *blast*

thus *?thesis*

using *is-Cong-class-def t* by *blast*

qed

lemma *Cong-class-memb-is-arr*:

```

assumes is-Cong-class  $\mathcal{T}$  and  $t \in \mathcal{T}$ 
shows  $R.\text{arr } t$ 
  using assms Cong-class-def is-Cong-class-def Cong-imp-arr(2) by force

lemma Cong-class-mems-are-Cong:
assumes is-Cong-class  $\mathcal{T}$  and  $t \in \mathcal{T}$  and  $t' \in \mathcal{T}$ 
shows Cong  $t t'$ 
  using assms Cong-class-def is-Cong-class-def
  by (metis CollectD Cong-closure-props(2) Cong-symmetric)

lemma Cong-class-eqI:
assumes  $t \approx t'$ 
shows  $\{t\} = \{t'\}$ 
  using assms Cong-class-def
  by (metis (full-types) Collect-cong Cong'.intros(1–2) Cong-char)

lemma Cong-class-eqI':
assumes is-Cong-class  $\mathcal{T}$  and is-Cong-class  $\mathcal{U}$  and  $\mathcal{T} \cap \mathcal{U} \neq \{\}$ 
shows  $\mathcal{T} = \mathcal{U}$ 
  using assms is-Cong-class-def Cong-class-eqI Cong-class-mems-are-Cong Int-emptyI
  by (metis (no-types, lifting))

lemma is-Cong-classE [elim]:
assumes is-Cong-class  $\mathcal{T}$ 
and  $\llbracket \mathcal{T} \neq \{\}; \bigwedge t t'. \llbracket t \in \mathcal{T}; t' \in \mathcal{T} \rrbracket \implies t \approx t'; \bigwedge t t'. \llbracket t \in \mathcal{T}; t' \approx t \rrbracket \implies t' \in \mathcal{T} \rrbracket \implies T$ 
shows  $T$ 
proof –
  have  $\mathcal{T}: \mathcal{T} \neq \{\}$ 
    using assms Cong-class-is-nonempty by simp
  moreover have 1:  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \in \mathcal{T} \rrbracket \implies t \approx t'$ 
    using assms Cong-class-mems-are-Cong by metis
  moreover have  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \approx t \rrbracket \implies t' \in \mathcal{T}$ 
    using assms Cong-class-def
    by (metis 1 Cong-class-eqI Cong-imp-arr(1) is-Cong-class-def arr-in-Cong-class)
  ultimately show ?thesis
    using assms by blast
qed

lemma Cong-class-rep [simp]:
assumes is-Cong-class  $\mathcal{T}$ 
shows  $\{ \text{Cong-class-rep } \mathcal{T} \} = \mathcal{T}$ 
by (metis Cong-class-mems-are-Cong Cong-class-eqI assms is-Cong-class-def rep-in-Cong-class)

lemma Cong-class-memb-Cong-rep:
assumes is-Cong-class  $\mathcal{T}$  and  $t \in \mathcal{T}$ 
shows Cong  $t (\text{Cong-class-rep } \mathcal{T})$ 
  using assms Cong-class-mems-are-Cong rep-in-Cong-class by simp

lemma composite-of-normal-arr:

```

```

shows  $\llbracket R.arr t; u \in \mathfrak{N}; R.composite-of u t t' \rrbracket \implies t' \approx t$ 
by (meson Cong'.intros(3) Cong-char R.composite-of-def R.con-implies-arr(2)
      ide-closed R.prfx-implies-con Cong-closure-props(2,4) R.sources-composite-of)

lemma composite-of-arr-normal:
shows  $\llbracket arr t; u \in \mathfrak{N}; R.composite-of t u t' \rrbracket \implies t' \approx_0 t$ 
by (meson Cong-closure-props(3) R.composite-of-def ide-closed prfx-closed)

end

```

2.3.5 Coherent Normal Sub-RTS's

A *coherent* normal sub-RTS is one that satisfies a parallel moves property with respect to arbitrary transitions. The congruence \approx induced by a coherent normal sub-RTS is fully substitutive with respect to consistency and residuation, and in fact coherence is equivalent to substitutivity in this context.

```

locale coherent-normal-sub-rts = normal-sub-rts +
assumes coherent:  $\llbracket R.arr t; u \in \mathfrak{N}; u' \in \mathfrak{N}; R.sources u = R.sources u';$ 
 $R.targets u = R.targets u'; R.sources t = R.sources u \rrbracket$ 
 $\implies t \setminus u \approx_0 t \setminus u'$ 

```

```

context normal-sub-rts
begin

```

The above “parallel moves” formulation of coherence is equivalent to the following formulation, which involves “opposing spans”.

```

lemma coherent-iff:
shows  $(\forall t u u'. R.arr t \wedge u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge R.sources t = R.sources u \wedge$ 
 $R.sources u = R.sources u' \wedge R.targets u = R.targets u')$ 
 $\longrightarrow t \setminus u \approx_0 t \setminus u')$ 
 $\longleftrightarrow$ 
 $(\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge$ 
 $R.sources v = R.sources w \wedge R.sources v' = R.sources w' \wedge$ 
 $R.targets w = R.targets w' \wedge t \setminus v \approx_0 t' \setminus v')$ 
 $\longrightarrow t \setminus w \approx_0 t' \setminus w')$ 

```

proof

```

assume 1:  $\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge$ 
 $R.sources v = R.sources w \wedge R.sources v' = R.sources w' \wedge$ 
 $R.targets w = R.targets w' \wedge t \setminus v \approx_0 t' \setminus v')$ 
 $\longrightarrow t \setminus w \approx_0 t' \setminus w'$ 

```

```

show  $\forall t u u'. R.arr t \wedge u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge R.sources t = R.sources u \wedge$ 
 $R.sources u = R.sources u' \wedge R.targets u = R.targets u')$ 
 $\longrightarrow t \setminus u \approx_0 t \setminus u'$ 

```

proof (intro allI impI, elim conjE)

fix $t u u'$

assume $t: R.arr t$ and $u: u \in \mathfrak{N}$ and $u': u' \in \mathfrak{N}$

```

and tu: R.sources t = R.sources u and sources: R.sources u = R.sources u'
and targets: R.targets u = R.targets u'
show t \ u ≈0 t \ u'
    by (metis 1 Congo-reflexive Resid-along-normal-preserves-Congo sources t targets
          tu u u')
qed
next
assume 1: ∀ t u u'. R.arr t ∧ u ∈ ℙ ∧ u' ∈ ℙ ∧ R.sources t = R.sources u ∧
           R.sources u = R.sources u' ∧ R.targets u = R.targets u'
           → t \ u ≈0 t \ u'
show ∀ t t' v v' w w'. v ∈ ℙ ∧ v' ∈ ℙ ∧ w ∈ ℙ ∧ w' ∈ ℙ ∧
           R.sources v = R.sources w ∧ R.sources v' = R.sources w' ∧
           R.targets w = R.targets w' ∧ t \ v ≈0 t' \ v'
           → t \ w ≈0 t' \ w'
proof (intro allI impI, elim conjE)
  fix t t' v v' w w'
  assume v: v ∈ ℙ and v': v' ∈ ℙ and w: w ∈ ℙ and w': w' ∈ ℙ
  and vw: R.sources v = R.sources w and v'w': R.sources v' = R.sources w'
  and ww': R.targets w = R.targets w'
  and tvt'v': (t \ v) \ (t' \ v') ∈ ℙ and t'v'tv: (t' \ v') \ (t \ v) ∈ ℙ
  show t \ w ≈0 t' \ w'
proof –
  have 3: R.sources t = R.sources v ∧ R.sources t' = R.sources v'
  using R.con-imp-coinitial
  by (meson Congo-imp-con tvt'v' t'v'tv
        R.coinitial-iff R.arr-resid-iff-con)
  have 2: t \ w ≈ t' \ w'
  using Cong-closure-props
  by (metis tvt'v' t'v'tv 3 vw v'w' v v' w w')
  obtain z z' where zz': z ∈ ℙ ∧ z' ∈ ℙ ∧ (t \ w) \ z ≈0 (t' \ w') \ z'
  using 2 by auto
  have (t \ w) \ z ≈0 (t \ w) \ z'
  proof –
    have R.coinitial ((t \ w) \ z) ((t \ w) \ z')
  proof –
    have R.targets z = R.targets z'
    using ww' zz'
    by (metis Congo-imp-coinitial Congo-imp-con R.con-sym-ax R.null-is-zero(2)
          R.sources-resid R.conI)
  moreover have R.sources ((t \ w) \ z) = R.targets z
    using ww' zz'
    by (metis R.con-def R.not-arr-null R.null-is-zero(2)
          R.sources-resid elements-are-arr)
  moreover have R.sources ((t \ w) \ z') = R.targets z'
    using ww' zz'
    by (metis Cong-closure-props(4) Cong-imp-arr(2) R.arr-resid-iff-con
          R.coinitial-iff R.con-imp-coinitial R.rts-axioms rts.sources-resid)
  ultimately show ?thesis
    using ww' zz'

```

```

apply (intro R.coinitial)
  apply auto
  by (meson R.arr-resid-iff-con R.con-implies-arr(2) elements-are-arr)
qed
thus ?thesis
  apply (intro conjI)
  by (metis 1 R.coinitial-iff R.con-imp-coinitial R.arr-resid-iff-con
    R.sources-resid zz')++
qed
hence  $(t \setminus w) \setminus z' \approx_0 (t' \setminus w') \setminus z'$ 
  using zz' Congo-transitive Congo-symmetric by blast
thus ?thesis
  using zz' Resid-along-normal-reflects-Congo by metis
qed
qed
qed

```

end

context coherent-normal-sub-rts
begin

The proof of the substitutivity of \approx with respect to residuation only uses coherence in the “opposing spans” form.

```

lemma coherent':
assumes  $v \in \mathfrak{N}$  and  $v' \in \mathfrak{N}$  and  $w \in \mathfrak{N}$  and  $w' \in \mathfrak{N}$ 
and  $R.sources v = R.sources w$  and  $R.sources v' = R.sources w'$ 
and  $R.targets w = R.targets w'$  and  $t \setminus v \approx_0 t' \setminus v'$ 
shows  $t \setminus w \approx_0 t' \setminus w'$ 
proof
  show  $(t \setminus w) \setminus (t' \setminus w') \in \mathfrak{N}$ 
    using assms coherent coherent-iff by meson
  show  $(t' \setminus w') \setminus (t \setminus w) \in \mathfrak{N}$ 
    using assms coherent coherent-iff by meson
qed

```

The relation \approx is substitutive with respect to both arguments of residuation.

```

lemma Cong-subst:
assumes  $t \approx t'$  and  $u \approx u'$  and  $t \frown u$  and  $R.sources t' = R.sources u'$ 
shows  $t' \frown u'$  and  $t \setminus u \approx t' \setminus u'$ 
proof –
  obtain  $v v'$  where  $vv': v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t \setminus v \approx_0 t' \setminus v'$ 
    using assms by auto
  obtain  $w w'$  where  $ww': w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u \setminus w \approx_0 u' \setminus w'$ 
    using assms by auto
  let  $?x = t \setminus v$  and  $?x' = t' \setminus v'$ 
  let  $?y = u \setminus w$  and  $?y' = u' \setminus w'$ 
  have  $xx': ?x \approx_0 ?x'$ 
    using assms vv' by blast

```

```

have yy': ?y ≈0 ?y'
  using assms ww' by blast
have 1: t \ w ≈0 t' \ w'
proof –
  have R.sources v = R.sources w
    by (metis (no-types, lifting) Congo-imp-con R.arr-resid-iff-con assms(3)
      R.con-imp-common-source R.con-implies-arr(2) R.sources-eqI ww' xx')
  moreover have R.sources v' = R.sources w'
    by (metis (no-types, lifting) assms(4) R.coinitial-iff R.con-imp-coinitial
      Congo-imp-con R.arr-resid-iff-con ww' xx')
  moreover have R.targets w = R.targets w'
    by (metis Congo-implies-Cong Congo-imp-coinitial Cong-imp-arr(1)
      R.arr-resid-iff-con R.sources-resid ww')
  ultimately show ?thesis
    using assms vv' ww'
    by (intro coherent' [of v v' w w' t]) auto
qed
have 2: t' \ w' ∼ u' \ w'
  using assms 1 ww'
by (metis Cong0-subst-left(1) Cong0-subst-right(1) Resid-along-normal-preserves-reflects-con
  R.arr-resid-iff-con R.coinitial-iff R.con-imp-coinitial elements-are-arr)
thus 3: t' ∼ u'
  using ww' R.cube by force
have t \ u ≈ ((t \ u) \ (w \ u)) \ (?y' \ ?y)
proof –
  have t \ u ≈ (t \ u) \ (w \ u)
    by (metis Cong-closure-props(4) assms(3) R.con-imp-coinitial
      elements-are-arr forward-stable R.arr-resid-iff-con R.con-implies-arr(1)
      R.sources-resid ww')
  also have ... ≈ ((t \ u) \ (w \ u)) \ (?y' \ ?y)
    by (metis Congo-imp-con Cong-closure-props(4) Cong-imp-arr(2)
      R.arr-resid-iff-con calculation R.con-implies-arr(2) R.targets-resid-sym
      R.sources-resid ww')
  finally show ?thesis by simp
qed
also have ... ≈ (((t \ w) \ ?y) \ (?y' \ ?y))
  using ww'
  by (metis Cong-imp-arr(2) Cong-reflexive calculation R.cube)
also have ... ≈ (((t' \ w') \ ?y) \ (?y' \ ?y))
  using 1 Congo-subst-left(2) [of t \ w (t' \ w') ?y]
    Congo-subst-left(2) [of (t \ w) \ ?y (t' \ w') \ ?y ?y' \ ?y]
  by (meson 2 Congo-implies-Cong Congo-subst-Con Cong-imp-arr(2)
    R.arr-resid-iff-con calculation ww')
also have ... ≈ ((t' \ w') \ ?y') \ (?y \ ?y')
  using 2 Congo-implies-Cong Congo-subst-right(2) ww' by presburger
also have 4: ... ≈ (t' \ u') \ (w' \ u')
  using 2 ww'
  by (metis Congo-imp-con Cong-closure-props(4) Cong-symmetric R.cube R.sources-resid)
also have ... ≈ t' \ u'

```

```

using ww'34
by (metis Cong-closure-props(4) Cong-imp-arr(2) Cong-symmetric R.con-imp-coinitial
R.con-implies-arr(2) forward-stable R.sources-resid R.arr-resid-iff-con)
finally show t \ u ≈ t' \ u' by simp
qed

```

```

lemma Cong-subst-con:
assumes R.sources t = R.sources u and R.sources t' = R.sources u' and t ≈ t' and u ≈ u'
shows t ∘ u ↔ t' ∘ u'
using assms by (meson Cong-subst(1) Cong-symmetric)

```

```

lemma Congo-composite-of-arr-normal:
assumes R.composite-of t u t' and u ∈ Ω
shows t' ≈₀ t
using assms backward-stable R.composite-of-def ide-closed by blast

```

```

lemma Cong-composite-of-normal-arr:
assumes R.composite-of u t t' and u ∈ Ω
shows t' ≈ t
using assms
by (meson Cong-closure-props(2-4) R.arr-composite-of ide-closed R.composite-of-def
R.sources-composite-of)

```

end

```

context normal-sub-rts
begin

```

Coherence is not an arbitrary property: here we show that substitutivity of congruence in residuation is equivalent to the “opposing spans” form of coherence.

```

lemma Cong-subst-iff-coherent':
shows  $(\forall t t' u u'. t \approx t' \wedge u \approx u' \wedge t \circ u \wedge R.sources t' = R.sources u')$ 
 $\longrightarrow t' \circ u' \wedge t \setminus u \approx t' \setminus u')$ 
 $\longleftrightarrow$ 
 $(\forall t t' v v' w w'. v \in \Omega \wedge v' \in \Omega \wedge w \in \Omega \wedge w' \in \Omega \wedge$ 
 $R.sources v = R.sources w \wedge R.sources v' = R.sources w' \wedge$ 
 $R.targets w = R.targets w' \wedge t \setminus v \approx_0 t' \setminus v')$ 
 $\longrightarrow t \setminus w \approx_0 t' \setminus w')$ 

```

proof

```

assume 1:  $\forall t t' u u'. t \approx t' \wedge u \approx u' \wedge t \circ u \wedge R.sources t' = R.sources u'$ 
 $\longrightarrow t' \circ u' \wedge t \setminus u \approx t' \setminus u'$ 

```

```

show  $\forall t t' v v' w w'. v \in \Omega \wedge v' \in \Omega \wedge w \in \Omega \wedge w' \in \Omega \wedge$ 
 $R.sources v = R.sources w \wedge R.sources v' = R.sources w' \wedge$ 
 $R.targets w = R.targets w' \wedge t \setminus v \approx_0 t' \setminus v')$ 
 $\longrightarrow t \setminus w \approx_0 t' \setminus w'$ 

```

proof (*intro allI impI, elim conjE*)

fix *t t' v v' w w'*

assume *v: v ∈ Ω and v': v' ∈ Ω and w: w ∈ Ω and w': w' ∈ Ω*
and *sources-vw: R.sources v = R.sources w*

and *sources-v'w'*: $R.\text{sources } v' = R.\text{sources } w'$
and *targets-ww'*: $R.\text{targets } w = R.\text{targets } w'$
and $tt': (t \setminus v) \setminus (t' \setminus v') \in \mathfrak{N}$ **and** $t't: (t' \setminus v') \setminus (t \setminus v) \in \mathfrak{N}$
show $t \setminus w \approx_0 t' \setminus w'$
proof –
have 2: $\bigwedge t t' u u'. [t \approx t'; u \approx u'; t \succsim u; R.\text{sources } t' = R.\text{sources } u] \Rightarrow t' \succsim u' \wedge t \setminus u \approx t' \setminus u'$
using 1 **by** *blast*
have 3: $t \setminus w \approx t \setminus v \wedge t' \setminus w' \approx t' \setminus v'$
by (*metis tt' t't sources-vw sources-v'w' Congo-subst-right(2)* *Cong-closure-props(4)*
Cong-def R.arr-resid-iff-con Cong-closure-props(3) *Cong-imp-arr(1)*
normal-is-Cong-closed v w v' w')
have $(t \setminus w) \setminus (t' \setminus w') \approx (t \setminus v) \setminus (t' \setminus v')$
using 2 [*of t \setminus w t \setminus v t' \setminus w' t' \setminus v'*] 3
by (*metis tt' t't targets-ww' Congo-imp-con Cong-imp-arr(1)* *Cong-symmetric*
R.arr-resid-iff-con R.sources-resid)
moreover have $(t' \setminus w') \setminus (t \setminus w) \approx (t' \setminus v') \setminus (t \setminus v)$
using 2 3
by (*metis tt' t't targets-ww' Congo-imp-con Cong-symmetric*
Cong-imp-arr(1) *R.arr-resid-iff-con R.sources-resid*)
ultimately show ?thesis
by (*meson tt' t't normal-is-Cong-closed Cong-symmetric*)
qed
qed
next
assume 1: $\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge$
 $R.\text{sources } v = R.\text{sources } w \wedge R.\text{sources } v' = R.\text{sources } w' \wedge$
 $R.\text{targets } w = R.\text{targets } w' \wedge t \setminus v \approx_0 t' \setminus v'$
 $\rightarrow t \setminus w \approx_0 t' \setminus w'$
show $\forall t t' u u'. t \approx t' \wedge u \approx u' \wedge t \succsim u \wedge R.\text{sources } t' = R.\text{sources } u'$
 $\rightarrow t' \succsim u' \wedge t \setminus u \approx t' \setminus u'$
proof (*intro allI impI, elim conjE, intro conjI*)
have *: $\bigwedge t t' v v' w w'. [v \in \mathfrak{N}; v' \in \mathfrak{N}; w \in \mathfrak{N}; w' \in \mathfrak{N};$
 $R.\text{sources } v = R.\text{sources } w; R.\text{sources } v' = R.\text{sources } w';$
 $R.\text{targets } v = R.\text{targets } v'; R.\text{targets } w = R.\text{targets } w';$
 $t \setminus v \approx_0 t' \setminus v'] \Rightarrow t \setminus w \approx_0 t' \setminus w'$
using 1 **by** *metis*
fix $t t' u u'$
assume $tt': t \approx t'$ **and** $uu': u \approx u'$ **and** $con: t \succsim u$
and $t'u': R.\text{sources } t' = R.\text{sources } u'$
obtain $v v'$ **where** $vv': v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t \setminus v \approx_0 t' \setminus v'$
using tt' **by** *auto*
obtain $w w'$ **where** $ww': w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u \setminus w \approx_0 u' \setminus w'$
using uu' **by** *auto*
let $?x = t \setminus v$ **and** $?x' = t' \setminus v'$
let $?y = u \setminus w$ **and** $?y' = u' \setminus w'$
have $xx': ?x \approx_0 ?x'$
using $tt' vv'$ **by** *blast*

```

have yy': ?y ≈₀ ?y'
  using uu' ww' by blast
have 1: t \ w ≈₀ t' \ w'
proof -
  have R.sources v = R.sources w ∧ R.sources v' = R.sources w'
  proof
    show R.sources v' = R.sources w'
    using Congo-imp-con R.arr-resid-iff-con R.coinitial-iff R.con-imp-coinitial
      t'u' vv' ww'
    by metis
    show R.sources v = R.sources w
    by (metis con elements-are-arr R.not-arr-null R.null-is-zero(2) R.conI
      R.con-imp-common-source rts.sources-eqI R.rts-axioms vv' ww')
  qed
  moreover have R.targets v = R.targets v' ∧ R.targets w = R.targets w'
  by (metis Congo-imp-coinitial Congo-imp-con R.arr-resid-iff-con
    R.con-implies-arr(2) R.sources-resid vv' ww')
  ultimately show ?thesis
  using vv' ww' xx'
  by (intro * [of v v' w w' t t']) auto
qed
have 2: t' \ w' ⊂ u' \ w'
  using 1 tt' ww'
  by (meson Congo-imp-con Cong0-subst-Con R.arr-resid-iff-con con R.con-imp-coinitial
    R.con-implies-arr(2) resid-along-elem-preserves-con)
thus 3: t' ⊂ u'
  using ww' R.cube by force
have t \ u ≈ (t \ u) \ (w \ u)
  by (metis Cong-closure-props(4) R.arr-resid-iff-con con R.con-imp-coinitial
    elements-are-arr forward-stable R.con-implies-arr(2) R.sources-resid ww')
also have (t \ u) \ (w \ u) ≈ ((t \ u) \ (w \ u)) \ (?y' \ ?y)
  using yy'
  by (metis Congo-imp-con Cong-closure-props(4) Cong-imp-arr(2)
    R.arr-resid-iff-con calculation R.con-implies-arr(2) R.sources-resid R.targets-resid-sym)
also have ... ≈ (((t \ w) \ ?y) \ (?y' \ ?y))
  using ww'
  by (metis Cong-imp-arr(2) Cong-reflexive calculation R.cube)
also have ... ≈ (((t' \ w') \ ?y) \ (?y' \ ?y))
proof -
  have ((t \ w) \ ?y) \ (?y' \ ?y) ≈₀ ((t' \ w') \ ?y) \ (?y' \ ?y)
  using 1 2 Cong0-subst-left(2)
  by (meson Cong0-subst-Con calculation Cong-imp-arr(2) R.arr-resid-iff-con ww')
  thus ?thesis
  using Congo-implies-Cong by presburger
qed
also have ... ≈ ((t' \ w') \ ?y') \ (?y \ ?y')
  by (meson 2 Congo-implies-Cong Cong0-subst-right(2) ww')
also have 4: ... ≈ (t' \ u') \ (w' \ u')
  using 2 ww'

```

```

by (metis Cong0-imp-con Cong-closure-props(4) Cong-symmetric R.cube R.sources-resid)
also have ... ≈ t' \ u'
  using ww' 2 3 4
  by (metis Cong'.intros(1) Cong'.intros(4) Cong-char Cong-imp-arr(2)
    R.arr-resid-iff-con forward-stable R.con-imp-coinitial R.sources-resid
    R.con-implies-arr(2))
finally show t \ u ≈ t' \ u' by simp
qed
qed

end

```

2.3.6 Quotient by Coherent Normal Sub-RTS

We now define the quotient of an RTS by a coherent normal sub-RTS and show that it is an extensional RTS.

```

locale quotient-by-coherent-normal =
  R: rts +
  N: coherent-normal-sub-rts
begin

definition Resid (infix `\\` 70)
where T `\\` U ≡
  if N.is-Cong-class T ∧ N.is-Cong-class U ∧ (∃ t u. t ∈ T ∧ u ∈ U ∧ t ∼ u)
  then N.Cong-class
    (fst (SOME tu. fst tu ∈ T ∧ snd tu ∈ U ∧ fst tu ∼ snd tu) \
     snd (SOME tu. fst tu ∈ T ∧ snd tu ∈ U ∧ fst tu ∼ snd tu))
  else {}

sublocale partial-magma Resid
  using N.Cong-class-is-nonempty Resid-def
  by unfold-locales metis

lemma is-partial-magma:
shows partial-magma Resid
  ..

lemma null-char:
shows null = {}
  using N.Cong-class-is-nonempty Resid-def
  by (metis null-is-zero(2))

lemma Resid-by-members:
assumes N.is-Cong-class T and N.is-Cong-class U and t ∈ T and u ∈ U and t ∼ u
shows T `\\` U = {t \ u}
  using assms Resid-def someI-ex [of λtu. fst tu ∈ T ∧ snd tu ∈ U ∧ fst tu ∼ snd tu]
  apply simp
  by (meson N.Cong-class-mems-are-Cong N.Cong-class-eqI N.Cong-subst(2)
    R.coinitial-iff R.con-imp-coinitial)

```

```

abbreviation Con (infix {|} 50)
where  $\mathcal{T} \{|} \mathcal{U} \equiv \mathcal{T} \{\backslash\} \mathcal{U} \neq \{\}$ 

lemma Con-char:
shows  $\mathcal{T} \{|} \mathcal{U} \longleftrightarrow$ 
 $N.\text{is-Cong-class } \mathcal{T} \wedge N.\text{is-Cong-class } \mathcal{U} \wedge (\exists t u. t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u)$ 
by (metis (no-types, opaque-lifting) N.Cong-class-is-nonempty N.is-Cong-classI
Resid-def Resid-by-members R.arr-resid-iff-con)

lemma Con-sym:
assumes Con  $\mathcal{T} \mathcal{U}$ 
shows Con  $\mathcal{U} \mathcal{T}$ 
using assms Con-char R.con-sym by meson

lemma is-Cong-class-Resid:
assumes  $\mathcal{T} \{|} \mathcal{U}$ 
shows  $N.\text{is-Cong-class } (\mathcal{T} \{\backslash\} \mathcal{U})$ 
using assms Con-char Resid-by-members R.arr-resid-iff-con N.is-Cong-classI by auto

lemma Con-witnesses:
assumes  $\mathcal{T} \{|} \mathcal{U}$  and  $t \in \mathcal{T}$  and  $u \in \mathcal{U}$ 
shows  $\exists v w. v \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge t \setminus v \sim u \setminus w$ 
proof -
have 1:  $N.\text{is-Cong-class } \mathcal{T} \wedge N.\text{is-Cong-class } \mathcal{U} \wedge (\exists t u. t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u)$ 
using assms Con-char by simp
obtain t' u' where t'u':  $t' \in \mathcal{T} \wedge u' \in \mathcal{U} \wedge t' \sim u'$ 
using 1 by auto
have 2:  $t' \approx t \wedge u' \approx u$ 
using assms 1 t'u' N.Cong-class-mems-are-Cong by auto
obtain v v' where vv':  $v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t' \setminus v \approx_0 t \setminus v'$ 
using 2 by auto
obtain w w' where ww':  $w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u' \setminus w \approx_0 u \setminus w'$ 
using 2 by auto
have 3:  $w \sim v$ 
by (metis R.arr-resid-iff-con R.con-def R.con-imp-coinitial R.ex-un-null
N.elements-are-arr R.null-is-zero(2) N.resid-along-elem-preserves-con t'u' vv' ww')
have R.seq v (w \ v)
by (simp add: N.elements-are-arr R.seq-def 3 vv')
obtain x where x:  $R.\text{composite-of } v (w \setminus v) x$ 
using N.composite-closed-left <R.seq v (w \ v)> vv' by blast
obtain x' where x':  $R.\text{composite-of } v' (w \setminus v) x'$ 
using x vv' N.composite-closed-left
by (metis N.Congo-implies-Cong N.Congo-imp-coinitial N.Cong-imp-arr(1)
R.composable-def R.composable-imp-seq R.con-implies-arr(2)
R.seq-def R.sources-resid R.arr-resid-iff-con)
have *:  $t' \setminus x \approx_0 t \setminus x'$ 
by (metis N.coherent' N.composite-closed N.forward-stable R.con-imp-coinitial
R.targets-composite-of 3 R.con-sym R.sources-composite-of vv' ww' x x')

```

```

obtain y where y: R.composite-of w (v \ w) y
  using x vv' ww'
  by (metis R.arr-resid-iff-con R.composable-def R.composable-imp-seq
    R.con-imp-coinitial R.seq-def R.sources-resid N.elements-are-arr
    N.forward-stable N.composite-closed-left)
obtain y' where y': R.composite-of w' (v \ w) y'
  using y ww'
  by (metis N.Congo-imp-coinitial N.Cong-closure-props(3) N.Cong-imp-arr(1)
    R.composable-def R.composable-imp-seq R.con-implies-arr(2) R.seq-def
    R.sources-resid N.composite-closed-left R.arr-resid-iff-con)
have **: u' \ y ≈0 u \ y'
  by (metis N.composite-closed N.forward-stable R.con-imp-coinitial R.targets-composite-of
    ⟨w ∼ v⟩ N.coherent' R.sources-composite-of vv' ww' y y')
have 4: x ∈ Σ ∧ y ∈ Σ
  using x y vv' ww' ** *
  by (metis 3 N.composite-closed N.forward-stable R.con-imp-coinitial R.con-sym)
have t \ x' ∼ u \ y'
proof -
  have t \ x' ≈0 t' \ x
    using * by simp
  moreover have t' \ x ∼ u' \ y
  proof -
    have t' \ x ∼ u' \ x
      using t'u' vv' ww' 4 *
      by (metis N.Resid-along-normal-preserves-reflects-con N.elements-are-arr
        R.coinitial-iff R.con-imp-coinitial R.arr-resid-iff-con)
    moreover have u' \ x ≈0 u' \ y
      using ww' x y
      by (metis 4 N.Congo-imp-coinitial N.Congo-imp-con N.Congo-transitive
        N.coherent' N.factor-closed(2) R.sources-composite-of
        R.targets-composite-of R.targets-resid-sym)
    ultimately show ?thesis
      using N.Congo-subst-right by blast
  qed
  moreover have u' \ y ≈0 u \ y'
    using ** R.con-sym by simp
  ultimately show ?thesis
    using N.Congo-subst-Con by auto
qed
moreover have x' ∈ Σ ∧ y' ∈ Σ
  using x' y' vv' ww'
  by (metis N.Cong-composite-of-normal-arr N.Cong-imp-arr(2) N.composite-closed
    R.con-imp-coinitial N.forward-stable R.arr-resid-iff-con)
ultimately show ?thesis by auto
qed

```

abbreviation Arr
where Arr T ≡ Con T T

```

lemma Arr-Resid:
assumes Con T U
shows Arr (T {\setminus} U)
by (metis Con-char N.Cong-class-memb-is-arr R.arrE N.rep-in-Cong-class
      assms is-Cong-class-Resid)

lemma Cube:
assumes Con (V {\setminus} T) (U {\setminus} T)
shows (V {\setminus} T) {\setminus} (U {\setminus} T) = (V {\setminus} U) {\setminus} (T {\setminus} U)
proof -
  obtain t u where tu:  $t \in T \wedge u \in U \wedge t \sim u \wedge T \setminus U = \{t \setminus u\}$ 
    using assms
    by (metis Con-char N.Cong-class-is-nonempty R.con-sym Resid-by-members)
  obtain t' v where t'v:  $t' \in T \wedge v \in V \wedge t' \sim v \wedge T \setminus V = \{t' \setminus v\}$ 
    using assms
    by (metis Con-char N.Cong-class-is-nonempty Resid-by-members Con-sym)
  have tt':  $t \approx t'$ 
    using assms
    by (metis N.Cong-class-mems-are-Cong N.Cong-class-is-nonempty Resid-def t'v tu)
  obtain w w' where ww':  $w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge t \setminus w \approx_0 t' \setminus w'$ 
    using tu t'v tt' by auto
  have 1:  $U \setminus T = \{u \setminus t\} \wedge V \setminus T = \{v \setminus t'\}$ 
    by (metis Con-char N.Cong-class-is-nonempty R.con-sym Resid-by-members assms t'v tu)
  obtain x x' where xx':  $x \in \mathfrak{N} \wedge x' \in \mathfrak{N} \wedge (u \setminus t) \setminus x \sim (v \setminus t') \setminus x'$ 
    using 1 Con-witnesses [of U {\setminus} T V {\setminus} T u \setminus t v \setminus t']
    by (metis N.arr-in-Cong-class R.con-sym t'v tu assms Con-sym R.arr-resid-iff-con)
  have R.seq t x
    by (metis R.arr-resid-iff-con R.coinitial-iff R.con-imp-coinitial R.seqI(2)
        R.sources-resid xx')
  have R.seq t' x'
    by (metis R.arr-resid-iff-con R.sources-resid R.coinitialE R.con-imp-coinitial
        R.seqI(2) xx')
  obtain tx where tx: R.composite-of t x tx
    using xx' (R.seq t x) N.composite-closed-right [of x t] R.composable-def by auto
  obtain t'x' where t'x': R.composite-of t' x' t'x'
    using xx' (R.seq t' x') N.composite-closed-right [of x' t'] R.composable-def by auto
  let ?tx-w = tx \ w and ?t'x'-w' = t'x' \ w'
  let ?w-tx = (w \ t) \ x and ?w'-t'x' = (w' \ t') \ x'
  let ?u-tx = (u \ t) \ x and ?v-t'x' = (v \ t') \ x'
  let ?u-w = u \ w and ?v-w' = v \ w'
  let ?w-u = w \ u and ?w'-v = w' \ v
  have w-tx-in-?N: ?w-tx \in \mathfrak{N}
    using tx ww' xx' R.con-composite-of-iff [of t x tx w]
    by (metis (full-types) N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
        N.forward-stable R.null-is-zero(2) R.con-imp-coinitial R.conI R.con-sym)
  have w'-t'x'-in-?N: ?w'-t'x' \in \mathfrak{N}
    using t'x' ww' xx' R.con-composite-of-iff [of t' x' t'x' w]
    by (metis (full-types) N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
        R.con-sym N.forward-stable R.null-is-zero(2) R.con-imp-coinitial R.conI)

```

```

have 2:  $?tx-w \approx_0 ?t'x'-w'$ 
proof –
  have  $?tx-w \approx_0 t \setminus w$ 
  using  $t'x' tx ww' xx' N.Congo\_composite-of-arr-normal [of t x tx] N.Congo\_subst-left(2)$ 
  by (metis N.Congo-transitive R.conI)
  also have  $t \setminus w \approx_0 t' \setminus w'$ 
  using  $ww'$  by blast
  also have  $t' \setminus w' \approx_0 ?t'x'-w'$ 
  using  $t'x' tx ww' xx' N.Congo\_composite-of-arr-normal [of t' x' t'x'] N.Congo\_subst-left(2)$ 
  by (metis N.Congo-transitive R.conI)
  finally show  $?thesis$  by blast
qed
obtain  $z$  where  $z: R.composite-of ?tx-w (?t'x'-w' \setminus ?tx-w) z$ 
  by (metis 2 R.arr-resid-iff-con R.con-implies-arr(2) N.elements-are-arr
     $N.composite-closed-right R.seqI(1) R.sources-resid$ )
obtain  $z'$  where  $z': R.composite-of ?t'x'-w' (?tx-w \setminus ?t'x'-w') z'$ 
  by (metis 2 R.arr-resid-iff-con R.con-implies-arr(2) N.elements-are-arr
     $N.composite-closed-right R.seqI(1) R.sources-resid$ )
have 3:  $z \approx_0 z'$ 
  using 2 N.diamond-commutes-up-to-Congo N.Congo-imp-con z z' by blast
have  $R.targets z = R.targets z'$ 
  by (metis R.targets-resid-sym z z' R.targets-composite-of R.conI)
have  $Con-z uw: z \curvearrowright ?u-w$ 
proof –
  have  $?tx-w \curvearrowright ?u-w$ 
  by (meson 3 N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
     $R.bounded-imp-con R.con-implies-arr(1) R.con-imp-coinitial$ 
     $N.resid-along-elem-preserves-con tu tx ww' xx' z z' R.arr-resid-iff-con$ )
  thus  $?thesis$ 
  using 2 N.Congo-composite-of-arr-normal N.Congo-subst-left(1) z by blast
qed
moreover have  $Con-z' vw': z' \curvearrowright ?v-w'$ 
proof –
  have  $?t'x'-w' \curvearrowright ?v-w'$ 
  by (meson 3 N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
     $R.bounded-imp-con t'v t'x' ww' xx' z z' R.con-imp-coinitial$ 
     $N.resid-along-elem-preserves-con R.arr-resid-iff-con R.con-implies-arr(1)$ )
  thus  $?thesis$ 
  by (meson 2 N.Congo-composite-of-arr-normal N.Congo-subst-left(1) z')
qed
moreover have  $Con-z vw': z \curvearrowright ?v-w'$ 
  using 3 Con-z' vw' N.Congo-subst-left(1) by blast
moreover have  $*: ?u-w \setminus z \curvearrowright ?v-w' \setminus z$ 
proof –
  obtain  $y$  where  $y: R.composite-of (w \setminus tx) (?t'x'-w' \setminus ?tx-w) y$ 
  by (metis 2 R.arr-resid-iff-con R.composable-def R.composable-imp-seq
     $R.con-imp-coinitial N.elements-are-arr N.composite-closed-right$ 
     $R.seq-def R.targets-resid-sym ww' z N.forward-stable$ )
  obtain  $y'$  where  $y': R.composite-of (w' \setminus t'x') (?tx-w \setminus ?t'x'-w') y'$ 

```

```

by (metis 2 R.arr-resid-iff-con R.composable-def R.composable-imp-seq
      R.con-imp-coinitial N.elements-are-arr N.composite-closed-right
      R.targets-resid-sym ww' z' R.seq-def N.forward-stable)
have y-comp: R.composite-of (w \ tx) ((t'x' \ w') \ (tx \ w)) y
  using y by simp
have y-in-normal: y ∈ Ω
  by (metis 2 Con-z-uw R.arr-iff-has-source R.arr-resid-iff-con N.composite-closed
        R.con-imp-coinitial R.con-implies-arr(1) N.forward-stable
        R.sources-composite-of ww' y-comp z)
have y-coinitial: R.coinitial y (u \ tx)
  using y R.arr-composite-of R.sources-composite-of
  apply (intro R.coinitialI)
  apply auto
  apply (metis N.Congo-composite-of-arr-normal N.Congo-subst-right(1)
        R.composite-of-cancel-left R.con-sym R.not-ide-null R.null-is-zero(2)
        R.sources-resid R.conI tu tx xx')
by (metis R.arr-iff-has-source R.not-arr-null R.sources-resid empty-iff R.conI)
have y-con: y ∼ u \ tx
  using y-in-normal y-coinitial
  by (metis R.coinitial-iff N.elements-are-arr N.forward-stable
        R.arr-resid-iff-con)
have A: ?u-w \ z ∼ (u \ tx) \ y
proof −
  have (u \ tx) \ y ∼ ((u \ tx) \ (w \ tx)) \ (?t'x'-w' \ ?tx-w)
  using y-comp y-con
    R.resid-composite-of(3) [of w \ tx ?t'x'-w' \ ?tx-w y u \ tx]
  by simp
  also have ((u \ tx) \ (w \ tx)) \ (?t'x'-w' \ ?tx-w) ∼ ?u-w \ z
    by (metis Con-z-uw R.resid-composite-of(3) z R.cube)
  finally show ?thesis by blast
qed
have y'-comp: R.composite-of (w' \ t'x') (?tx-w \ ?t'x'-w') y'
  using y' by simp
have y'-in-normal: y' ∈ Ω
  by (metis 2 Con-z'-vw' R.arr-iff-has-source R.arr-resid-iff-con
        N.composite-closed R.con-imp-coinitial R.con-implies-arr(1)
        N.forward-stable R.sources-composite-of ww' y'-comp z')
have y'-coinitial: R.coinitial y' (v \ t'x')
  using y' R.coinitial-def
  by (metis Con-z'-vw' R.arr-resid-iff-con R.composite-ofE R.con-imp-coinitial
        R.con-implies-arr(1) R.cube R.prfx-implies-con R.resid-composite-of(1)
        R.sources-resid z')
have y'-con: y' ∼ v \ t'x'
  using y'-in-normal y'-coinitial
  by (metis R.coinitial-iff N.elements-are-arr N.forward-stable
        R.arr-resid-iff-con)
have B: ?v-w' \ z' ∼ (v \ t'x') \ y'
proof −
  have (v \ t'x') \ y' ∼ ((v \ t'x') \ (w' \ t'x')) \ (?tx-w \ ?t'x'-w')

```

```

using  $y'$ -comp  $y'$ -con
  R.resid-composite-of(3) [of  $w' \setminus t'x' ?tx-w \setminus ?t'x'-w' y' v \setminus t'x'$ ]
  by blast
also have  $((v \setminus t'x') \setminus (w' \setminus t'x')) \setminus (?tx-w \setminus ?t'x'-w') \sim ?v-w' \setminus z'$ 
  by (metis Con-z'-vw' R(cube R.resid-composite-of(3) z'))
finally show ?thesis by blast
qed
have C:  $u \setminus tx \sim v \setminus t'x'$ 
  using tx t'x' xx' R.con-sym R.cong-subst-right(1) R.resid-composite-of(3)
  by (meson R.coinitial-iff R.arr-resid-iff-con y'-coinitial y-coinitial)
have D:  $y \approx_0 y'$ 
proof -
  have  $y \approx_0 w \setminus tx$ 
    using 2 N.Cong0-composite-of-arr-normal y-comp by blast
  also have  $w \setminus tx \approx_0 w' \setminus t'x'$ 
  proof -
    have  $w \setminus tx \in \mathfrak{N} \wedge w' \setminus t'x' \in \mathfrak{N}$ 
      using N.factor-closed(1) y-comp y-in-normal y'-comp y'-in-normal by blast
    moreover have R.coinitial  $(w \setminus tx) (w' \setminus t'x')$ 
      by (metis C R.coinitial-def R.con-implies-arr(2) N.elements-are-arr
          R.sources-resid calculation R.con-imp-coinitial R.arr-resid-iff-con y-con)
    ultimately show ?thesis
      by (meson R.arr-resid-iff-con R.con-imp-coinitial N.forward-stable
          N.elements-are-arr)
  qed
  also have  $w' \setminus t'x' \approx_0 y'$ 
    using 2 N.Cong0-composite-of-arr-normal y'-comp by blast
  finally show ?thesis by blast
qed
have par-y-y': R.sources y = R.sources y'  $\wedge$  R.targets y = R.targets y'
  using D N.Cong0-imp-coinitial R.targets-composite-of y'-comp y-comp z z'
   $\langle R.targets z = R.targets z' \rangle$ 
  by presburger
have E:  $(u \setminus tx) \setminus y \sim (v \setminus t'x') \setminus y'$ 
proof -
  have  $(u \setminus tx) \setminus y \sim (v \setminus t'x') \setminus y$ 
    using C N.Resid-along-normal-preserves-reflects-con R.coinitial-iff
    y-coinitial y-in-normal
    by presburger
  moreover have  $(v \setminus t'x') \setminus y \approx_0 (v \setminus t'x') \setminus y'$ 
    using par-y-y' N.coherent R.coinitial-iff y'-coinitial y'-in-normal y-in-normal
    by presburger
  ultimately show ?thesis
    using N.Cong0-subst-right(1) by blast
qed
hence  $?u-w \setminus z \sim ?v-w' \setminus z'$ 
proof -
  have  $(u \setminus tx) \setminus y \sim ?u-w \setminus z$ 
    using A by simp

```

moreover have $(u \setminus tx) \setminus y \sim (v \setminus t'x') \setminus y'$
using E **by** *blast*
moreover have $(v \setminus t'x') \setminus y' \sim ?v-w' \setminus z'$
using B $R.cong\text{-symmetric}$ **by** *blast*
moreover have $R.sources((u \setminus w) \setminus z) = R.sources((v \setminus w') \setminus z')$
by (*simp add: Con-z'-vw' Con-z-uw R.con-sym <R.targets z = R.targets z'>*)
ultimately show $?thesis$
by (*meson N.Congo-subst-Con N.ide-closed*)
qed
moreover have $?v-w' \setminus z' \approx ?v-w' \setminus z$
by (*meson 3 Con-z-vw' N.CongI N.Congo-subst-right(2) R.con-sym*)
moreover have $R.sources((v \setminus w') \setminus z) = R.sources((u \setminus w) \setminus z)$
by (*metis R.con-implies-arr(1) R.sources-resid calculation(1) calculation(2)*
N.Cong-imp-arr(2) R.arr-resid-iff-con)
ultimately show $?thesis$
by (*metis N.Cong-reflexive N.Cong-subst(1) R.con-implies-arr(1)*)
qed
ultimately have $**: ?v-w' \setminus z \sim ?u-w \setminus z \wedge$
 $(?v-w' \setminus z) \setminus (?u-w \setminus z) = (?v-w' \setminus ?u-w) \setminus (z \setminus ?u-w)$
by (*meson R.con-sym R(cube)*)
have $Cong-t-z: t \approx z$
by (*metis 2 N.Congo-composite-of-arr-normal N.Cong-closure-props(2-3)*
N.Cong-closure-props(4) N.Cong-imp-arr(2) R.coinitial-iff R.con-imp-coinitial
tx ww' xx' z R.arr-resid-iff-con)
have $Cong-u-uw: u \approx ?u-w$
by (*meson Con-z-uw N.Cong-closure-props(4) R.coinitial-iff R.con-imp-coinitial*
ww' R.arr-resid-iff-con)
have $Cong-v-vw': v \approx ?v-w'$
by (*meson Con-z-vw' N.Cong-closure-props(4) R.coinitial-iff ww' R.con-imp-coinitial*
R.arr-resid-iff-con)
have $\mathcal{T}: N.is-Cong-class \mathcal{T} \wedge z \in \mathcal{T}$
by (*metis (no-types, lifting) Cong-t-z N.Cong-class-eqI N.Cong-class-is-nonempty*
N.Cong-class-memb-Cong-rep N.Cong-class-arr N.Cong-imp-arr(2) N.arr-in-Cong-class
tu assms Con-char)
have $\mathcal{U}: N.is-Cong-class \mathcal{U} \wedge ?u-w \in \mathcal{U}$
by (*metis Con-char Con-z-uw Cong-u-uw Int-iff N.Cong-class-eqI' N.Cong-class-eqI*
N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI tu assms empty-iff)
have $\mathcal{V}: N.is-Cong-class \mathcal{V} \wedge ?v-w' \in \mathcal{V}$
by (*metis Con-char Con-z-vw' Cong-v-vw' Int-iff N.Cong-class-eqI' N.Cong-class-eqI*
N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI t'v assms empty-iff)
show $(\mathcal{V} \setminus \{\mathcal{T}\}) \setminus \{\mathcal{U}\} (\mathcal{U} \setminus \{\mathcal{T}\}) = (\mathcal{V} \setminus \{\mathcal{U}\}) \setminus \{\mathcal{U}\} (\mathcal{T} \setminus \{\mathcal{U}\})$
proof –
have $(\mathcal{V} \setminus \{\mathcal{T}\}) \setminus \{\mathcal{U}\} (\mathcal{U} \setminus \{\mathcal{T}\}) = \{(?v-w' \setminus z) \setminus (?u-w \setminus z)\}$
using $\mathcal{T} \mathcal{U} \mathcal{V} * Resid\text{-by-members}$
by (*metis ** Con-char N.arr-in-Cong-class R.arr-resid-iff-con assms R.con-implies-arr(2)*)
moreover have $(\mathcal{V} \setminus \{\mathcal{U}\}) \setminus \{\mathcal{U}\} (\mathcal{T} \setminus \{\mathcal{U}\}) = \{(?v-w' \setminus ?u-w) \setminus (z \setminus ?u-w)\}$
using *Resid-by-members* [*of* $\mathcal{V} \mathcal{U} ?v-w' ?u-w$] *Resid-by-members* [*of* $\mathcal{T} \mathcal{U} z ?u-w$]
Resid-by-members [*of* $\mathcal{V} \setminus \{\mathcal{U}\} \mathcal{U} \mathcal{T} \setminus \{\mathcal{U}\} ?v-w' \setminus ?u-w z \setminus ?u-w$]
by (*metis T U V * ** N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI*)

```

R.resid-reflects-con R.arr-resid-iff-con)
ultimately show ?thesis
  using ** by simp
qed
qed

sublocale residuation Resid
  using null-char Con-sym Arr-Resid Cube
  by unfold-locales metis+

lemma is-residuation:
shows residuation Resid
..

lemma arr-char:
shows arr T  $\longleftrightarrow$  N.is-Cong-class T
  by (metis N.is-Cong-class-def arrI not-arr-null null-char N.Cong-class-memb-is-arr
Con-char R.arrE arrE arr-resid conI)

lemma ide-char:
shows ide U  $\longleftrightarrow$  arr U  $\wedge$  U  $\cap$  N  $\neq \{\}$ 
proof
  show ide U  $\implies$  arr U  $\wedge$  U  $\cap$  N  $\neq \{\}$ 
    apply (elim ideE)
    by (metis Con-char N.Congo-reflexive Resid-by-members disjoint-iff null-char
N.arr-in-Cong-class R.arrE R.arr-resid arr-resid conE)
  show arr U  $\wedge$  U  $\cap$  N  $\neq \{\}$   $\implies$  ide U
  proof -
    assume U: arr U  $\wedge$  U  $\cap$  N  $\neq \{\}$ 
    obtain u where u: R.arr u  $\wedge$  u  $\in$  U  $\cap$  N
      using U arr-char
      by (metis IntI N.Cong-class-memb-is-arr disjoint-iff)
    show ?thesis
      by (metis IntD1 IntD2 N.Cong-class-eqI N.Cong-closure-props(4) N.arr-in-Cong-class
N.is-Cong-classI Resid-by-members U arrE arr-char disjoint-iff ideI
N.Cong-class-eqI' R.arrE u)
  qed
qed

lemma ide-char':
shows ide A  $\longleftrightarrow$  arr A  $\wedge$  A  $\subseteq$  N
  by (metis Int-absorb2 Int-emptyI N.Cong-class-memb-Cong-rep N.Cong-closure-props(1)
ide-char not-arr-null null-char N.normal-is-Cong-closed arr-char subsetI)

lemma con-charQCN:
shows con T U  $\longleftrightarrow$ 
  N.is-Cong-class T  $\wedge$  N.is-Cong-class U  $\wedge$  ( $\exists t u. t \in T \wedge u \in U \wedge t \sim u$ )
  by (metis Con-char coneE conI null-char)

```

lemma *con-imp-coinitial-members-are-con*:
assumes *con* \mathcal{T} \mathcal{U} **and** $t \in \mathcal{T}$ **and** $u \in \mathcal{U}$ **and** $R.sources\ t = R.sources\ u$
shows $t \sim u$
by (meson assms *N.Cong-subst(1)* *N.is-Cong-classE con-char_{QCN}*)

sublocale *rts Resid*

proof

show 1: $\bigwedge \mathcal{A} \mathcal{T}. \llbracket ide \mathcal{A}; con \mathcal{T} \mathcal{A} \rrbracket \implies \mathcal{T} \setminus \mathcal{A} = \mathcal{T}$

proof –

fix $\mathcal{A} \mathcal{T}$

assume \mathcal{A} : *ide* \mathcal{A} **and** *con*: *con* $\mathcal{T} \mathcal{A}$

obtain $t a$ **where** ta : $t \in \mathcal{T} \wedge a \in \mathcal{A} \wedge R.con\ t\ a \wedge \mathcal{T} \setminus \mathcal{A} = \{t \setminus a\}$

using *con con-char_{QCN}* *Resid-by-members* **by** auto

have $a \in \mathfrak{N}$

using $\mathcal{A} ta$ *ide-char'* **by** auto

hence $t \setminus a \approx t$

by (meson *N.Cong-closure-props(4)* *N.Cong-symmetric* *R.coinitialE* *R.con-imp-coinitial* ta)

thus $\mathcal{T} \setminus \mathcal{A} = \mathcal{T}$

using ta

by (metis *N.Cong-class-eqI* *N.Cong-class-memb-Cong-rep* *N.Cong-class-rep* *con* *con-char_{QCN}*)

qed

show $\bigwedge \mathcal{T}. arr \mathcal{T} \implies ide (trg \mathcal{T})$

by (metis *N.Congo-reflexive* *Resid-by-members* *disjoint-iff* *ide-char* *N.Cong-class-memb-is-arr* *N.arr-in-Cong-class* *N.is-Cong-class-def* *arr-char* *R.arrE* *R.arr-resid* *resid-arr-self*)

show $\bigwedge \mathcal{A} \mathcal{T}. \llbracket ide \mathcal{A}; con \mathcal{A} \mathcal{T} \rrbracket \implies ide (\mathcal{A} \setminus \mathcal{T})$

by (metis 1 *arrE* *arr-resid* *con-sym* *ideE* *ideI* *cube*)

show $\bigwedge \mathcal{T} \mathcal{U}. con \mathcal{T} \mathcal{U} \implies \exists \mathcal{A}. ide \mathcal{A} \wedge con \mathcal{A} \mathcal{T} \wedge con \mathcal{A} \mathcal{U}$

proof –

fix $\mathcal{T} \mathcal{U}$

assume $\mathcal{T} \mathcal{U}$: *con* $\mathcal{T} \mathcal{U}$

obtain $t u$ **where** tu : $\mathcal{T} = \{t\} \wedge \mathcal{U} = \{u\} \wedge t \sim u$

using $\mathcal{T} \mathcal{U}$ *con-char_{QCN}* *arr-char*

by (metis *N.Cong-class-memb-Cong-rep* *N.Cong-class-eqI* *N.Cong-class-rep*)

obtain a **where** a : $a \in R.sources\ t$

using $\mathcal{T} \mathcal{U} tu$ *R.con-implies-arr(1)* *R.arr-iff-has-source* **by** blast

have *ide* $\{a\} \wedge con \{a\} \mathcal{T} \wedge con \{a\} \mathcal{U}$

proof (*intro* *conjI*)

have 2: $a \in \mathfrak{N}$

using $\mathcal{T} \mathcal{U} tu a$ *arr-char* *N.ide-closed* *R.sources-def* **by** force

show 3: *ide* $\{a\}$

using $\mathcal{T} \mathcal{U} tu 2 a$ *ide-char* *arr-char* *con-char_{QCN}*

by (metis *IntI* *N.arr-in-Cong-class* *N.is-Cong-classI* *empty-iff* *N.elements-are-arr*)

show *con* $\{a\} \mathcal{T}$

using $\mathcal{T} \mathcal{U} tu 2 3 a$ *ide-char* *arr-char* *con-char_{QCN}*

by (metis *N.arr-in-Cong-class* *R.composite-of-source-arr* *R.composite-of-def* *R.prfx-implies-con* *R.con-implies-arr(1)*)

```

show con {a} U
  using TU tu a ide-char arr-char con-charQCN
  by (metis N.arr-in-Cong-class R.composite-of-source-arr R.con-prfx-composite-of
       N.is-Cong-classI R.con-implies-arr(1) R.con-implies-arr(2))
qed
thus ∃A. ide A ∧ con A T ∧ con A U by auto
qed
show ∨T U V. [ide (T {\ } U); con U V] ==> con (T {\ } U) (V {\ } U)
proof -
  fix T U V
  assume TU: ide (T {\ } U)
  assume UV: con U V
  obtain t u where tu: t ∈ T ∧ u ∈ U ∧ t ∼ u ∧ T {\ } U = {t \ u}
    using TU
    by (meson Resid-by-members ide-implies-arr quotient-by-coherent-normal.con-charQCN
        quotient-by-coherent-normal-axioms arr-resid-iff-con)
  obtain v u' where vu': v ∈ V ∧ u' ∈ U ∧ v ∼ u' ∧ V {\ } U = {v \ u'}
    by (meson R.con-sym Resid-by-members UV con-charQCN)
  have 1: u ≈ u'
    using UV tu vu'
    by (meson N.Cong-class-mems-are-Cong con-charQCN)
  obtain w w' where ww': w ∈ Ω ∧ w' ∈ Ω ∧ u \ w ≈0 u' \ w'
    using 1 by auto
  have 2: ((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w)) ∼
    (((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w')))
  proof -
    have ((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w)) ∈ Ω
    proof -
      have t \ u ∈ Ω
        using tu N.arr-in-Cong-class R.arr-resid-iff-con TU ide-char' by blast
      hence (t \ u) \ (w \ u) ∈ Ω
        by (metis N.Cong-closure-props(4) N.forward-stable R.null-is-zero(2)
            R.con-imp-coinitial R.sources-resid N.Cong-imp-arr(2) R.arr-resid-iff-con
            tu ww' R.conI)
    thus ?thesis
      by (metis N.Cong-closure-props(4) N.normal-is-Cong-closed R.sources-resid
          R.targets-resid-sym N.elements-are-arr R.arr-resid-iff-con ww' R.conI)
    qed
    moreover have R.sources (((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w))) =
      R.sources (((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w')))
    proof -
      have R.sources (((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w))) =
        R.targets ((u' \ w') \ (u \ w))
        using R.arr-resid-iff-con N.elements-are-arr R.sources-resid calculation by blast
      also have ... = R.targets ((u \ w) \ (u' \ w'))
        by (metis R.targets-resid-sym R.conI)
      also have ... = R.sources (((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w')))
        using R.arr-resid-iff-con N.elements-are-arr R.sources-resid
        by (metis N.Cong-closure-props(4) N.Cong-imp-arr(2) R.con-implies-arr(1))
    qed
  qed

```

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R.con-imp-coinitial N.forward-stable R.targets-resid-sym vu' ww')
finally show ?thesis by simp
qed
ultimately show ?thesis
by (metis (no-types, lifting) N.Congo-imp-con N.Cong-closure-props(4)
N.Cong-imp-arr(2) R.arr-resid-iff-con R.con-imp-coinitial N.forward-stable
R.null-is-zero(2) R.conI)
qed
moreover have t \ u ≈ ((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w))
by (metis (no-types, opaque-lifting) N.Cong-closure-props(4) N.Cong-transitive
N.forward-stable R.arr-resid-iff-con R.con-imp-coinitial R.rts-axioms calculation
rts.coinitial-iff ww')
moreover have v \ u' ≈ ((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w'))
proof -
have w' \ u' ∈ ℙ
by (meson R.con-implies-arr(2) R.con-imp-coinitial N.forward-stable
ww' N.Congo-imp-con R.arr-resid-iff-con)
moreover have (u \ w) \ (u' \ w') ∈ ℙ
using ww' by blast
ultimately show ?thesis
by (meson 2 N.Cong-closure-props(2) N.Cong-closure-props(4) R.arr-resid-iff-con
R.coinitial-iff R.con-imp-coinitial)
qed
ultimately show con (T {\ } U) (V {\ } U)
using con-charQCN N.Cong-class-def N.is-Cong-classI tu vu' R.arr-resid-iff-con
by auto
qed
qed

lemma is-rts:
shows rts Resid
..
sublocale extensional-rts Resid
proof
fix T U
assume TU: cong T U
show T = U
proof -
obtain t u where tu: T = {t} ∧ U = {u} ∧ t ∼ u
by (metis Con-char N.Cong-class-eqI N.Cong-class-memb-Cong-rep N.Cong-class-rep
TU ide-char not-arr-null null-char)
have t ≈0 u
proof
show t \ u ∈ ℙ
using tu TU Resid-by-members [of T U t u]
by (metis (full-types) N.arr-in-Cong-class R.con-implies-arr(1-2)
N.is-Cong-classI ide-char' R.arr-resid-iff-con subset-iff)
show u \ t ∈ ℙ

```

```

using tu  $\mathcal{T}\mathcal{U}$  Resid-by-members [of  $\mathcal{U} \mathcal{T} u t$ ]  $R.con\text{-}sym$ 
by (metis (full-types)  $N.arr\text{-}in\text{-}Cong\text{-}class R.con\text{-}implies\text{-}arr(1\text{--}2)$ 
 $N.is\text{-}Cong\text{-}classI ide\text{-}char' R.arr\text{-}resid\text{-}iff\text{-}con subset\text{-}iff)$ 
qed
hence  $t \approx u$ 
using  $N.Cong_0\text{-}implies\text{-}Cong$  by simp
thus  $\mathcal{T} = \mathcal{U}$ 
by (simp add:  $N.Cong\text{-}class\text{-}eqI tu$ )
qed
qed

theorem is-extensional-rts:
shows extensional-rts Resid
..

lemma sources-charQCN:
shows sources  $\mathcal{T} = \{\mathcal{A}. arr \mathcal{T} \wedge \mathcal{A} = \{a. \exists t a'. t \in \mathcal{T} \wedge a' \in R.sources t \wedge a' \approx a\}\}$ 
proof -
let  $?A = \{a. \exists t a'. t \in \mathcal{T} \wedge a' \in R.sources t \wedge a' \approx a\}$ 
have 1:  $arr \mathcal{T} \implies ide ?A$ 
proof (unfold ide-char', intro conjI)
assume  $\mathcal{T}: arr \mathcal{T}$ 
show  $?A \subseteq \mathfrak{N}$ 
using N.ide-closed N.normal-is-Cong-closed by blast
show arr ?A
proof -
have N.is-Cong-class ?A
proof
show  $?A \neq \{\}$ 
by (metis (mono-tags, lifting) Collect-empty-eq N.Cong-class-def N.Cong-imp-arr(1)
 $N.is\text{-}Cong\text{-}class\text{-}def N.sources\text{-}are\text{-}Cong R.arr\text{-}iff\text{-}has\text{-}source R.sources\text{-}def$ 
 $\mathcal{T} arr\text{-}char mem\text{-}Collect\text{-}eq$ )
show  $\bigwedge a a'. [a \in ?A; a' \approx a] \implies a' \in ?A$ 
using N.Cong-transitive by blast
show  $\bigwedge a a'. [a \in ?A; a' \in ?A] \implies a \approx a'$ 
proof -
fix a a'
assume a:  $a \in ?A$  and a':  $a' \in ?A$ 
obtain t b where b:  $t \in \mathcal{T} \wedge b \in R.sources t \wedge b \approx a$ 
using a by blast
obtain t' b' where b':  $t' \in \mathcal{T} \wedge b' \in R.sources t' \wedge b' \approx a'$ 
using a' by blast
have b ≈ b'
using  $\mathcal{T} arr\text{-}char b b'$ 
by (meson IntD1 N.Cong-class-mems-are-Cong N.in-sources-respects-Cong)
thus a ≈ a'
by (meson N.Cong-symmetric N.Cong-transitive b b')
qed
qed
qed

```

```

thus ?thesis
  using arr-char by auto
qed
qed
moreover have arr T ==> con T ?A
proof -
  assume T: arr T
  obtain t a where a: t ∈ T ∧ a ∈ R.sources t
    using T arr-char
    by (metis N.Cong-class-is-nonempty R.arr-iff-has-source empty-subsetI
        N.Cong-class-memb-is-arr subsetI subset-antisym)
  have t ∈ T ∧ a ∈ {a. ∃ t a'. t ∈ T ∧ a' ∈ R.sources t ∧ a' ≈ a} ∧ t ∼ a
    using a N.Cong-reflexive R.sources-def R.con-implies-arr(2) by fast
  thus ?thesis
    using T 1 arr-char con-charQCN [of T ?A] by auto
qed
ultimately have arr T ==> ?A ∈ sources T
  using sources-def by blast
thus ?thesis
  using 1 ide-char sources-char by auto
qed

lemma targets-charQCN:
shows targets T = {B. arr T ∧ B = T {\setminus} T}
proof -
  have targets T = {B. ide B ∧ con (T {\setminus} T) B}
    by (simp add: targets-def trg-def)
  also have ... = {B. arr T ∧ ide B ∧ (∃ t u. t ∈ T {\setminus} T ∧ u ∈ B ∧ t ∼ u)}
    using arr-resid-iff-con con-charQCN arr-char arr-def by auto
  also have ... = {B. arr T ∧ ide B ∧
    (∃ t t' b u. t ∈ T ∧ t' ∈ T ∧ t ∼ t' ∧ b ∈ {t \ t'} ∧ u ∈ B ∧ b ∼ u)}
    apply auto
    apply (metis (full-types) Resid-by-members cong-char not-ide-null null-char Con-char)
    by (metis Resid-by-members arr-char)
  also have ... = {B. arr T ∧ ide B ∧
    (∃ t t' b. t ∈ T ∧ t' ∈ T ∧ t ∼ t' ∧ b ∈ {t \ t'})}
  proof -
    have ⋀B t t' b. [|arr T; ide B; t ∈ T; t' ∈ T; t ∼ t'; b ∈ {t \ t'}|]
      ==> (∃ u. u ∈ B ∧ b ∼ u) ↔ b ∈ B
  proof -
    fix B t t' b
    assume T: arr T and B: ide B and t: t ∈ T and t': t' ∈ T
      and tt': t ∼ t' and b: b ∈ {t \ t'}
    have 0: b ∈ Ⓛ
      by (metis Resid-by-members T b ide-char' ide-trg arr-char subsetD t t' trg-def tt')
    show (∃ u. u ∈ B ∧ b ∼ u) ↔ b ∈ B
      using 0
    by (meson N.Cong-closure-props(3) N.forward-stable N.elements-are-arr
        B arr-char R.con-imp-coinitial N.is-Cong-classE ide-char' R.arrE

```

```

R.con-sym subsetD)
qed
thus ?thesis
  using ide-char arr-char
  by (metis (no-types, lifting))
qed
also have ... = {B. arr T ∧ ide B ∧ (∃ t t'. t ∈ T ∧ t' ∈ T ∧ t ∘ t' ∧ {t \ t'} ⊆ B)}
proof -
  have ∧B t t' b. [arr T; ide B; t ∈ T; t' ∈ T; t ∘ t']
    ⟹ (∃ b. b ∈ {t \ t'} ∧ b ∈ B) ↔ {t \ t'} ⊆ B
  using ide-char arr-char
  apply (intro iffI)
  apply (metis IntI N.Cong-class-eqI' R.arr-resid-iff-con N.is-Cong-classI empty-iff
    set-eq-subset)
  by (meson N.arr-in-Cong-class R.arr-resid-iff-con subsetD)
thus ?thesis
  using ide-char arr-char
  by (metis (no-types, lifting))
qed
also have ... = {B. arr T ∧ ide B ∧ T {\setminus} T ⊆ B}
  using arr-char ide-char Resid-by-members [of T T]
  by (metis (no-types, opaque-lifting) arrE con-char_QCN)
also have ... = {B. arr T ∧ B = T {\setminus} T}
  by (metis (no-types, lifting) arr-has-un-target calculation con-ide-are-eq
    cong-reflexive mem-Collect-eq targets-def trg-def)
finally show ?thesis by blast
qed

```

```

lemma src-char_QCN:
shows src T = {a. arr T ∧ (∃ t a'. t ∈ T ∧ a' ∈ R.sources t ∧ a' ≈ a)}
  using sources-char_QCN [of T]
  by (simp add: null-char src-def)

```

```

lemma trg-char_QCN:
shows trg T = T {\setminus} T
  unfolding trg-def by blast

```

Quotient Map

```

abbreviation quot
where quot t ≡ {t}

sublocale quot: simulation resid Resid quot
proof
  show ∀t. ¬ R.arr t ⟹ {t} = null
  using N.Cong-class-def N.Cong-imp-arr(1) null-char by force
  show ∀t u. t ∘ u ⟹ con {t} {u}
  by (meson N.arr-in-Cong-class N.is-Cong-classI R.con-implies-arr(1-2) con-char_QCN)
  show ∀t u. t ∘ u ⟹ {t \ u} = {t} {\setminus} {u}

```

```

by (metis N.arr-in-Cong-class N.is-Cong-classI R.con-implies-arr(1–2) Resid-by-members)
qed

lemma quotient-is-simulation:
shows simulation resid Resid quot
..

end

```

2.3.7 Identities form a Coherent Normal Sub-RTS

We now show that the collection of identities of an RTS form a coherent normal sub-RTS, and that the associated congruence \approx coincides with \sim . Thus, every RTS can be factored by the relation \sim to obtain an extensional RTS. Although we could have shown that fact much earlier, we have delayed proving it so that we could simply obtain it as a special case of our general quotient result without redundant work.

```

context rts
begin

interpretation normal-sub-rts resid ⌜Collect ide⌝
proof
  show  $\bigwedge t. t \in \text{Collect ide} \implies \text{arr } t$ 
    by blast
  show  $\bigwedge a. \text{ide } a \implies a \in \text{Collect ide}$ 
    by blast
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{coinitial } t u] \implies u \setminus t \in \text{Collect ide}$ 
    by (metis 1 CollectD arr-def coinitial-iff
        con-sym in-sourcesE in-sourcesI resid-ide-arr)
  show  $\bigwedge u t. [u \in \text{Collect ide}; t \setminus u \in \text{Collect ide}] \implies t \in \text{Collect ide}$ 
    using ide-backward-stable by blast
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{seq } u t] \implies \exists v. \text{composite-of } u t v$ 
    by (metis composite-of-source-arr ide-def in-sourcesI mem-Collect-eq seq-def
        resid-source-in-targets)
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{seq } t u] \implies \exists v. \text{composite-of } t u v$ 
    by (metis arrE composite-of-arr-target in-sourcesI seqE mem-Collect-eq)
qed

lemma identities-form-normal-sub-rts:
shows normal-sub-rts resid (Collect ide)
..

interpretation coherent-normal-sub-rts resid ⌜Collect ide⌝
apply unfold-locales
by (metis CollectD Cong0-reflexive Cong-closure-props(4) Cong-imp-arr(2)
    arr-resid-iff-con resid-arr-ide)

```

```

lemma identities-form-coherent-normal-sub-rts:
shows coherent-normal-sub-rts resid (Collect ide)
..
lemma Cong-iff-cong:
shows Cong t u  $\longleftrightarrow$  t ~ u
by (metis CollectD Cong-def ide-closed resid-arr-ide
Cong-closure-props(3) Cong-imp-arr(2) arr-resid-iff-con)

end

```

2.4 Paths

A *path* in an RTS is a nonempty list of arrows such that the set of targets of each arrow suitably matches the set of sources of its successor. The residuation on the given RTS extends inductively to a residuation on paths, so that paths also form an RTS. The append operation on lists yields a composite for each pair of compatible paths.

```

locale paths-in-rts =
R: rts
begin

fun Srcs
where Srcs [] = {}
| Srcs [t] = R.sources t
| Srcs (t # T) = R.sources t

fun Trgs
where Trgs [] = {}
| Trgs [t] = R.targets t
| Trgs (t # T) = Trgs T

fun Arr
where Arr [] = False
| Arr [t] = R.arr t
| Arr (t # T) = (R.arr t  $\wedge$  Arr T  $\wedge$  R.targets t  $\subseteq$  Srcs T)

fun Ide
where Ide [] = False
| Ide [t] = R.ide t
| Ide (t # T) = (R.ide t  $\wedge$  Ide T  $\wedge$  R.targets t  $\subseteq$  Srcs T)

lemma set-Arr-subset-arr:
shows Arr T  $\implies$  set T  $\subseteq$  Collect R.arr
apply (induct T)
apply auto
using Arr.elims(2)
apply blast
by (metis Arr.simps(3) Ball-Collect list.set-cases)

```

```

lemma Arr-imp-arr-hd [simp]:
assumes Arr T
shows R.arr (hd T)
using assms
by (metis Arr.simps(1) CollectD hd-in-set set-Arr-subset-arr subset-code(1))

lemma Arr-imp-arr-last [simp]:
assumes Arr T
shows R.arr (last T)
using assms
by (metis Arr.simps(1) CollectD in-mono last-in-set set-Arr-subset-arr)

lemma Arr-imp-Arr-tl [simp]:
assumes Arr T and tl T ≠ []
shows Arr (tl T)
using assms
by (metis Arr.simps(3) list.exhaust-sel list.sel(2))

lemma set-Ide-subset-ide:
shows Ide T ==> set T ⊆ Collect R.ide
apply (induct T)
apply auto
using Ide.elims(2)
apply blast
by (metis Ide.simps(3) Ball-Collect list.set-cases)

lemma Ide-imp-Ide-hd [simp]:
assumes Ide T
shows R.ide (hd T)
using assms
by (metis Ide.simps(1) CollectD hd-in-set set-Ide-subset-ide subset-code(1))

lemma Ide-imp-Ide-last [simp]:
assumes Ide T
shows R.ide (last T)
using assms
by (metis Ide.simps(1) CollectD in-mono last-in-set set-Ide-subset-ide)

lemma Ide-imp-Ide-tl [simp]:
assumes Ide T and tl T ≠ []
shows Ide (tl T)
using assms
by (metis Ide.simps(3) list.exhaust-sel list.sel(2))

lemma Ide-implies-Arr:
shows Ide T ==> Arr T
apply (induct T)
apply simp

```

```

using Ide.elims(2) by fastforce

lemma const-ide-is-Ide:
shows  $\llbracket T \neq [] ; R.\text{ide} (\text{hd } T) ; \text{set } T \subseteq \{\text{hd } T\} \rrbracket \implies \text{Ide } T$ 
  apply (induct T)
  apply auto
by (metis Ide.simps(2–3) R.ideE R.sources-resid Srcs.simps(2–3) empty-iff insert-iff
    list.exhaustsel list.setsel(1) order-refl subset-singletonD)

lemma Ide-char:
shows  $\text{Ide } T \longleftrightarrow \text{Arr } T \wedge \text{set } T \subseteq \text{Collect } R.\text{ide}$ 
  apply (induct T)
  apply auto[1]
by (metis Arr.simps(3) Ide.simps(2–3) Ide-implies-Arr empty-subsetI
    insert-subset list.simps(15) mem-Collect-eq neq-Nil-conv set-empty)

lemma IdeI [intro]:
assumes Arr T and set T ⊆ Collect R.ide
shows Ide T
  using assms Ide-char by force

lemma Arr-has-Src:
shows Arr T  $\implies$  Srcs T ≠ {}
  apply (cases T)
  apply simp
by (metis R.arr-iff-has-source Srcs.elims Arr.elims(2) list.distinct(1) list.sel(1))

lemma Arr-has-Trg:
shows Arr T  $\implies$  Trgs T ≠ {}
  using R.arr-iff-has-target
  apply (induct T)
  apply simp
by (metis Arr.simps(2) Arr.simps(3) Trgs.simps(2–3) list.exhaustsel)

lemma Srcs-are-ide:
shows Srcs T ⊆ Collect R.ide
  apply (cases T)
  apply simp
by (metis (no-types, lifting) Srcs.elims list.distinct(1) mem-Collect-eq
    R.sources-def subsetI)

lemma Trgs-are-ide:
shows Trgs T ⊆ Collect R.ide
  apply (induct T)
  apply simp
by (metis R.arr-iff-has-target R.sources-resid Srcs.simps(2) Trgs.simps(2–3)
    Srcs-are-ide empty-subsetI list.exhaust R.arrE)

lemma Srcs-are-con:

```

```

assumes  $a \in \text{Srcs } T$  and  $a' \in \text{Srcs } T$ 
shows  $a \sim a'$ 
  using assms
  by (metis Srcs.elims empty-iff R.sources-are-con)

lemma Srcs-con-closed:
assumes  $a \in \text{Srcs } T$  and  $R.\text{ide } a' \text{ and } a \sim a'$ 
shows  $a' \in \text{Srcs } T$ 
  using assms R.sources-con-closed
  apply (cases  $T$ , auto)
  by (metis Srcs.simps(2–3) neq-Nil-conv)

lemma Srcs-eqI:
assumes  $\text{Srcs } T \cap \text{Srcs } T' \neq \{\}$ 
shows  $\text{Srcs } T = \text{Srcs } T'$ 
  using assms R.sources-eqI
  apply (cases  $T$ ; cases  $T'$ )
    apply auto
  apply (metis IntI Srcs.simps(2–3) empty-iff neq-Nil-conv)
  by (metis Srcs.simps(2–3) assms neq-Nil-conv)

lemma Trgs-are-con:
shows  $\llbracket b \in \text{Trgs } T; b' \in \text{Trgs } T \rrbracket \implies b \sim b'$ 
  apply (induct  $T$ )
    apply auto
  by (metis R.targets-are-con Trgs.simps(2–3) list.exhaust-sel)

lemma Trgs-con-closed:
shows  $\llbracket b \in \text{Trgs } T; R.\text{ide } b'; b \sim b' \rrbracket \implies b' \in \text{Trgs } T$ 
  apply (induct  $T$ )
    apply auto
  by (metis R.targets-con-closed Trgs.simps(2–3) neq-Nil-conv)

lemma Trgs-eqI:
assumes  $\text{Trgs } T \cap \text{Trgs } T' \neq \{\}$ 
shows  $\text{Trgs } T = \text{Trgs } T'$ 
  using assms Trgs-are-ide Trgs-are-con Trgs-con-closed by blast

lemma Srcs-simpP:
assumes Arr  $T$ 
shows  $\text{Srcs } T = R.\text{sources} (\text{hd } T)$ 
  using assms
  by (metis Arr-has-Src Srcs.simps(1) Srcs.simps(2) Srcs.simps(3) list.exhaust-sel)

lemma Trgs-simpP:
shows Arr  $T \implies \text{Trgs } T = R.\text{targets} (\text{last } T)$ 
  apply (induct  $T$ )
    apply simp
  by (metis Arr.simps(3) Trgs.simps(2) Trgs.simps(3) last-ConsL last-ConsR neq-Nil-conv)

```

2.4.1 Residuation on Paths

It was more difficult than I thought to get a correct formal definition for residuation on paths and to prove things from it. Straightforward attempts to write a single recursive definition ran into problems with being able to prove termination, as well as getting the cases correct so that the domain of definition was symmetric. Eventually I found the definition below, which simplifies the termination proof to some extent through the use of two auxiliary functions, and which has a symmetric form that makes symmetry easier to prove. However, there was still some difficulty in proving the recursive expansions with respect to cons and append that I needed.

The following defines residuation of a single transition along a path, yielding a transition.

```
fun Resid1x (infix `^*` 70)
where t `^*` [] = R.null
    | t `^*` [u] = t \ u
    | t `^*` (u # U) = (t \ u) `^*` U
```

Next, we have residuation of a path along a single transition, yielding a path.

```
fun Residx1 (infix `^*\` 70)
where [] `^*\` u = []
    | [t] `^*\` u = (if t ∼ u then [t \ u] else [])
    | (t # T) `^*\` u =
        (if t ∼ u ∧ T `^*\` (u \ t) ≠ [] then (t \ u) # T `^*\` (u \ t) else [])
```

Finally, residuation of a path along a path, yielding a path.

```
function (sequential) Resid (infix `^*` 70)
where [] `^*` - = []
    | - `^*` [] = []
    | [t] `^*` [u] = (if t ∼ u then [t \ u] else [])
    | [t] `^*` (u # U) =
        (if t ∼ u ∧ (t \ u) `^*` U ≠ R.null then [(t \ u) `^*` U] else [])
    | (t # T) `^*` [u] =
        (if t ∼ u ∧ T `^*\` (u \ t) ≠ [] then (t \ u) # (T `^*\` (u \ t)) else [])
    | (t # T) `^*` (u # U) =
        (if t ∼ u ∧ (t \ u) `^*` U ≠ R.null ∧
            (T `^*\` (u \ t)) `^*` (U `^*\` (t \ u)) ≠ []
            then (t \ u) `^*` U # (T `^*\` (u \ t)) `^*` (U `^*\` (t \ u))
            else [])
by pat-completeness auto
```

Residuation of a path along a single transition is length non-increasing. Actually, it is length-preserving, except in case the path and the transition are not consistent. We will show that later, but for now this is what we need to establish termination for (`\`).

```
lemma length-Residx1:
shows length (T `^*\` u) ≤ length T
proof (induct T arbitrary: u)
show ∀u. length ([] `^*\` u) ≤ length []
```

```

by simp
fix t T u
assume ind:  $\bigwedge u. \text{length} (T * \setminus^1 u) \leq \text{length } T$ 
show  $\text{length} ((t \# T) * \setminus^1 u) \leq \text{length} (t \# T)$ 
  using ind
  by (cases T, cases t  $\sim$  u, cases  $T * \setminus^1 (u \setminus t)$ ) auto
qed

termination Resid
proof (relation measure  $(\lambda(T, U). \text{length } T + \text{length } U))$ 
  show wf (measure  $(\lambda(T, U). \text{length } T + \text{length } U))$ 
    by simp
  fix t' T u U
  have  $\text{length} ((t' \# T) * \setminus^1 (u \setminus t)) + \text{length} (U * \setminus^1 (t \setminus u))$ 
     $< \text{length} (t \# t' \# T) + \text{length} (u \# U)$ 
  using length-Residx1
  by (metis add-less-le-mono impossible-Cons le-neq-implies-less list.size(4) trans-le-add1)
  thus 1:  $((t' \# T) * \setminus^1 (u \setminus t), U * \setminus^1 (t \setminus u)), t \# t' \# T, u \# U)$ 
     $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
    by simp
  show  $((t' \# T) * \setminus^1 (u \setminus t), U * \setminus^1 (t \setminus u)), t \# t' \# T, u \# U)$ 
     $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
  using 1 length-Residx1 by blast
  have  $\text{length} (T * \setminus^1 (u \setminus t)) + \text{length} (U * \setminus^1 (t \setminus u)) \leq \text{length } T + \text{length } U$ 
    using length-Residx1 by (simp add: add-mono)
  thus 2:  $((T * \setminus^1 (u \setminus t), U * \setminus^1 (t \setminus u)), t \# T, u \# U)$ 
     $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
    by simp
  show  $((T * \setminus^1 (u \setminus t), U * \setminus^1 (t \setminus u)), t \# T, u \# U)$ 
     $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
  using 2 length-Residx1 by blast
qed

lemma Resid1x-null:
shows  $R.\text{null}^{1\setminus *} T = R.\text{null}$ 
apply (induct T)
apply auto
by (metis R.null-is-zero(1) Resid1x.simps(2–3) list.exhaust)

lemma Resid1x-ide:
shows  $\llbracket R.\text{ide } a; a^{1\setminus *} T \neq R.\text{null} \rrbracket \implies R.\text{ide } (a^{1\setminus *} T)$ 
proof (induct T arbitrary: a)
  show  $\bigwedge a. a^{1\setminus *} [] \neq R.\text{null} \implies R.\text{ide } (a^{1\setminus *} [])$ 
    by simp
  fix a t T
  assume a:  $R.\text{ide } a$ 
  assume ind:  $\bigwedge a. \llbracket R.\text{ide } a; a^{1\setminus *} T \neq R.\text{null} \rrbracket \implies R.\text{ide } (a^{1\setminus *} T)$ 
  assume con:  $a^{1\setminus *} (t \# T) \neq R.\text{null}$ 
  have 1:  $a \sim t$ 

```

```

using con
by (metis R.con-def Resid1x.simps(2–3) Resid1x-null list.exhaust)
show R.ide (a1\* (t # T))
  using a 1 con ind R.resid-ide-arr
  by (metis Resid1x.simps(2–3) list.exhaust)
qed

```

abbreviation Con (infix $\text{^}\backslash\text{*} \sim\text{*}$ 50)
where $T \text{^}\backslash\text{*} U \equiv T \text{^}\backslash\text{*} U \neq []$

```

lemma Con-sym1:
shows  $T \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} T \neq R.\text{null}$ 
proof (induct T arbitrary: u)
  show  $\bigwedge u. [] \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} [] \neq R.\text{null}$ 
    by simp
  show  $\bigwedge t T u. (\bigwedge u. T \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} T \neq R.\text{null})$ 
     $\implies (t \# T) \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} (t \# T) \neq R.\text{null}$ 
proof –
  fix t T u
  assume ind:  $\bigwedge u. T \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} T \neq R.\text{null}$ 
  show  $(t \# T) \text{^}\backslash\text{*} u \neq [] \longleftrightarrow u \text{^}\backslash\text{*} (t \# T) \neq R.\text{null}$ 
  proof
    show  $(t \# T) \text{^}\backslash\text{*} u \neq [] \implies u \text{^}\backslash\text{*} (t \# T) \neq R.\text{null}$ 
    by (metis R.con-sym Resid1x.simps(2–3) Resid1x.simps(2–3)
      ind neq-Nil-conv R.conE)
    show  $u \text{^}\backslash\text{*} (t \# T) \neq R.\text{null} \implies (t \# T) \text{^}\backslash\text{*} u \neq []$ 
    using ind R.con-sym
    apply (cases T)
    apply auto
    by (metis R.conI Resid1x-null)
  qed
  qed
qed

```

```

lemma Con-sym-ind:
shows length T + length U  $\leq n \implies T \text{^}\backslash\text{*} U \longleftrightarrow U \text{^}\backslash\text{*} T$ 
proof (induct n arbitrary: T U)
  show  $\bigwedge T U. \text{length } T + \text{length } U \leq 0 \implies T \text{^}\backslash\text{*} U \longleftrightarrow U \text{^}\backslash\text{*} T$ 
    by simp
  fix n and T U :: 'a list
  assume ind:  $\bigwedge T U. \text{length } T + \text{length } U \leq n \implies T \text{^}\backslash\text{*} U \longleftrightarrow U \text{^}\backslash\text{*} T$ 
  assume 1:  $\text{length } T + \text{length } U \leq \text{Suc } n$ 
  show  $T \text{^}\backslash\text{*} U \longleftrightarrow U \text{^}\backslash\text{*} T$ 
    using R.con-sym Con-sym1
    apply (cases T; cases U)
    apply auto[3]
proof –
  fix t u T' U'

```

```

assume T:  $T = t \# T'$  and U:  $U = u \# U'$ 
show  $T * \sim^* U \longleftrightarrow U * \sim^* T$ 
proof (cases  $T' = []$ )
  show  $T' = [] \implies T * \sim^* U \longleftrightarrow U * \sim^* T$ 
    using T U Con-sym1 R.con-sym
    by (cases U') auto
  show  $T' \neq [] \implies T * \sim^* U \longleftrightarrow U * \sim^* T$ 
  proof (cases  $U' = []$ )
    show  $[(T' \neq []; U' = [])] \implies T * \sim^* U \longleftrightarrow U * \sim^* T$ 
      using T U R.con-sym Con-sym1
      by (cases T') auto
    show  $[(T' \neq []; U' \neq [])] \implies T * \sim^* U \longleftrightarrow U * \sim^* T$ 
    proof -
      assume  $T': T' \neq []$  and  $U': U' \neq []$ 
      have 2:  $\text{length}(U'^*\setminus^1(t \setminus u)) + \text{length}(T'^*\setminus^1(u \setminus t)) \leq n$ 
      proof -
        have  $\text{length}(U'^*\setminus^1(t \setminus u)) + \text{length}(T'^*\setminus^1(u \setminus t)) \leq \text{length } U' + \text{length } T'$ 
          by (simp add: add-le-mono length-Residx1)
        also have ...  $\leq \text{length } T' + \text{length } U'$ 
          using T' add.commute not-less-eq-eq by auto
        also have ...  $\leq n$ 
          using 1 T U by simp
        finally show ?thesis by blast
      qed
      show  $T * \sim^* U \longleftrightarrow U * \sim^* T$ 
      proof
        assume Con:  $T * \sim^* U$ 
        have 3:  $t \sim u \wedge T'^*\setminus^1(u \setminus t) \neq [] \wedge (t \setminus u)^{1\setminus^*} U' \neq R.\text{null} \wedge (T'^*\setminus^1(u \setminus t))^* \setminus^* (U'^*\setminus^1(t \setminus u)) \neq []$ 
          using Con T U T' U' Con-sym1
        apply (cases T'; cases U')
          apply simp-all
          by (metis Resid.simps(1) Resid.simps(6) neq-Nil-conv)
        hence u ∼ t ∧ U'^*\setminus^1(t \setminus u) ≠ [] ∧ (u \setminus t)^{1\setminus^*} T' ≠ R.null
          using T' U' R.con-sym Con-sym1 by simp
        moreover have (U'^*\setminus^1(t \setminus u))^* \setminus^* (T'^*\setminus^1(u \setminus t)) ≠ []
          using 2 3 ind by simp
        ultimately show  $U * \sim^* T$ 
          using T U T' U'
          by (cases T'; cases U') auto
      next
        assume Con:  $U * \sim^* T$ 
        have 3:  $u \sim t \wedge U'^*\setminus^1(t \setminus u) \neq [] \wedge (u \setminus t)^{1\setminus^*} T' \neq R.\text{null} \wedge (U'^*\setminus^1(t \setminus u))^* \setminus^* (T'^*\setminus^1(u \setminus t)) \neq []$ 
          using Con T U T' U' Con-sym1
        apply (cases T'; cases U')
          apply auto
          apply argo
    qed
  qed
qed

```

by force
 hence $t \setminus u \wedge T' * \setminus^1 (u \setminus t) \neq [] \wedge (t \setminus u) \setminus^1 * U' \neq R.\text{null}$
 using $T' U' R.\text{con-sym}$ *Con-sym1* by *simp*
 moreover have $(T' * \setminus^1 (u \setminus t)) * \setminus^* (U' * \setminus^1 (t \setminus u)) \neq []$
 using *2 3 ind* by *simp*
 ultimately show $T * \setminus^* U$
 using $T U T' U'$
 by (cases T' ; cases U') auto
 qed
 qed
 qed
 qed
 qed
 qed
 qed

```

lemma Con-sym:
shows T * \^1 U = T * \^* [U]
using Con-sym-ind by blast

lemma Residx1-as-Resid:
shows T * \^1 u = T * \^* [u]
proof (induct T)
  show [] * \^1 u = [] * \^* [u] by simp
  fix t T
  assume ind: T * \^1 u = T * \^* [u]
  show (t # T) * \^1 u = (t # T) * \^* [u]
    by (cases T) auto
qed

```

```

lemma Resid1x-as-Resid':
shows t1\* U = (if [t]*\* U ≠ [] then hd ([t]*\* U) else R.null)
proof (induct U)
  show t1\* [] = (if [t]*\* [] ≠ [] then hd ([t]*\* []) else R.null) by simp
  fix u U
  assume ind: t1\* U = (if [t]*\* U ≠ [] then hd ([t]*\* U) else R.null)
  show t1\* (u # U) = (if [t]*\* (u # U) ≠ [] then hd ([t]*\* (u # U)) else R.null)
    using Resid1x-null
    by (cases U) auto
qed

```

The following recursive expansion for consistency of paths is an intermediate result that is not yet quite in the form we really want.

lemma *Con-rec*:

shows $[t] * \sim^* [u] \longleftrightarrow t \sim u$

and $T \neq [] \implies t \# T * \sim^* [u] \longleftrightarrow t \sim u \wedge T * \sim^* [u \setminus t]$

and $U \neq [] \implies [t] * \sim^* (u \# U) \longleftrightarrow t \sim u \wedge [t \setminus u] * \sim^* U$

and $\llbracket T \neq [] ; U \neq [] \rrbracket \implies$

$$t \# T * \sim^* u \# U \longleftrightarrow t \sim u \wedge T * \sim^* [u \setminus t] \wedge [t \setminus u] * \sim^* U \wedge$$

$$T * \setminus^* [u \setminus t] * \sim^* U * \setminus^* [t \setminus u]$$

```

proof -
  show  $[t] * \sim^* [u] \longleftrightarrow t \sim u$ 
    by simp
  show  $T \neq [] \implies t \# T * \sim^* [u] \longleftrightarrow t \sim u \wedge T * \sim^* [u \setminus t]$ 
    using Residx1-as-Resid
    by (cases T) auto
  show  $U \neq [] \implies [t] * \sim^* (u \# U) \longleftrightarrow t \sim u \wedge [t \setminus u] * \sim^* U$ 
    using Residx1x-as-Resid' Con-sym Con-sym1 Resid1x.simps(3) Residx1-as-Resid
    by (cases U) auto
  show  $\llbracket T \neq []; U \neq [] \rrbracket \implies$ 
     $t \# T * \sim^* u \# U \longleftrightarrow t \sim u \wedge T * \sim^* [u \setminus t] \wedge [t \setminus u] * \sim^* U \wedge$ 
     $T * \setminus^* [u \setminus t] * \sim^* U * \setminus^* [t \setminus u]$ 
    using Residx1-as-Resid Resid1x-as-Resid' Con-sym1 Con-sym R.con-sym
    by (cases T; cases U) auto
qed

```

This version is a more appealing form of the previously proved fact *Resid1x-as-Resid'*.

lemma Resid1x-as-Resid:

```

assumes  $[t] * \setminus^* U \neq []$ 
shows  $[t] * \setminus^* U = [t \setminus^* U]$ 
  using assms Con-rec(2,4)
  apply (cases U; cases tl U)
    apply auto
  by argo+

```

The following is an intermediate version of a recursive expansion for residuation, to be improved subsequently.

lemma Resid-rec:

```

shows  $[simp]: [t] * \sim^* [u] \implies [t] * \setminus^* [u] = [t \setminus u]$ 
and  $\llbracket T \neq []; t \# T * \sim^* [u] \rrbracket \implies (t \# T) * \setminus^* [u] = (t \setminus u) \# (T * \setminus^* [u \setminus t])$ 
and  $\llbracket U \neq []; Con [t] (u \# U) \rrbracket \implies [t] * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U$ 
and  $\llbracket T \neq []; U \neq []; Con (t \# T) (u \# U) \rrbracket \implies$ 
   $(t \# T) * \setminus^* (u \# U) = ([t \setminus u] * \setminus^* U) @ ((T * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
proof -

```

```

  show  $[t] * \sim^* [u] \implies Resid [t] [u] = [t \setminus u]$ 
    by (meson Resid.simps(3))
  show  $\llbracket T \neq []; t \# T * \sim^* [u] \rrbracket \implies (t \# T) * \setminus^* [u] = (t \setminus u) \# (T * \setminus^* [u \setminus t])$ 
    using Residx1-as-Resid
    by (metis Residx1.simps(3) list.exhaust-sel)
  show  $1: \llbracket U \neq []; [t] * \sim^* u \# U \rrbracket \implies [t] * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U$ 
    by (metis Con-rec(3) Resid1x.simps(3) Resid1x-as-Resid list.exhaust)
  show  $\llbracket T \neq []; U \neq []; t \# T * \sim^* u \# U \rrbracket \implies$ 
     $(t \# T) * \setminus^* (u \# U) = ([t \setminus u] * \setminus^* U) @ ((T * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
proof -

```

```

  assume  $T: T \neq []$  and  $U: U \neq []$  and  $Con: Con (t \# T) (u \# U)$ 
  have  $tu: t \sim u$ 
    using Con Con-rec by metis
  have  $(t \# T) * \setminus^* (u \# U) = ((t \setminus u) \setminus^* U) \# ((T * \setminus^* (u \setminus t)) * \setminus^* (U * \setminus^* (t \setminus u)))$ 
    using T U Con tu

```

```

by (cases T; cases U) auto
also have ... = ([t \ u] *`* U) @ ((T *`* [u \ t]) *`* (U *`* [t \ u]))
  using T U Con tu Con-rec(4) Resid1x-as-Resid Residx1-as-Resid by force
  finally show ?thesis by simp
qed
qed

```

For consistent paths, residuation is length-preserving.

```

lemma length-Resid-ind:
shows [|length T + length U ≤ n; T *`* U|] ==> length (T *`* U) = length T
  apply (induct n arbitrary: T U)
  apply simp
proof -
  fix n T U
  assume ind: ∀ T U. [|length T + length U ≤ n; T *`* U|]
    ==> length (T *`* U) = length T
  assume Con: T *`* U
  assume len: length T + length U ≤ Suc n
  show length (T *`* U) = length T
    using Con len ind Resid1x-as-Resid length-Cons Con-rec(2) Resid-rec(2)
    apply (cases T; cases U)
    apply auto
    apply (cases tl T = []; cases tl U = [])
    apply auto
    apply metis
    apply fastforce
  proof -
    fix t T' u U'
    assume T: T = t # T' and U: U = u # U'
    assume T': T' ≠ [] and U': U' ≠ []
    show length ((t # T') *`* (u # U')) = Suc (length T')
      using Con Con-rec(4) Con-sym Resid-rec(4) T T' U U' ind len by auto
  qed
qed

```

```

lemma length-Resid:
assumes T *`* U
shows length (T *`* U) = length T
  using assms length-Resid-ind by auto

```

```

lemma Con-initial-left:
shows t # T *`* U ==> [t] *`* U
  apply (induct U)
  apply simp
  by (metis Con-rec(1-4))

```

```

lemma Con-initial-right:
shows T *`* u # U ==> T *`* [u]
  apply (induct T)

```

apply simp
by (metis Con-rec(1–4))

lemma Resid-cons-ind:

shows $\llbracket T \neq []; U \neq []; \text{length } T + \text{length } U \leq n \rrbracket \implies$
 $(\forall t. t \# T * \sim^* U \longleftrightarrow [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]) \wedge$
 $(\forall u. T * \sim^* u \# U \longleftrightarrow T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U) \wedge$
 $(\forall t. t \# T * \sim^* U \longrightarrow (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])) \wedge$
 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$

proof (induct n arbitrary: T U)

show $\wedge T U. \llbracket T \neq []; U \neq []; \text{length } T + \text{length } U \leq 0 \rrbracket \implies$
 $(\forall t. t \# T * \sim^* U \longleftrightarrow [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]) \wedge$
 $(\forall u. T * \sim^* u \# U \longleftrightarrow T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U) \wedge$
 $(\forall t. t \# T * \sim^* U \longrightarrow (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])) \wedge$
 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$

by simp

fix n and T U :: 'a list

assume ind: $\wedge T U. \llbracket T \neq []; U \neq []; \text{length } T + \text{length } U \leq n \rrbracket \implies$
 $(\forall t. t \# T * \sim^* U \longleftrightarrow [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]) \wedge$
 $(\forall u. T * \sim^* u \# U \longleftrightarrow T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U) \wedge$
 $(\forall t. t \# T * \sim^* U \longrightarrow (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])) \wedge$
 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$

assume T: T ≠ [] and U: U ≠ []

assume len: $\text{length } T + \text{length } U \leq \text{Suc } n$

show $(\forall t. t \# T * \sim^* U \longleftrightarrow [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]) \wedge$
 $(\forall u. T * \sim^* u \# U \longleftrightarrow T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U) \wedge$
 $(\forall t. t \# T * \sim^* U \longrightarrow (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])) \wedge$
 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$

proof (intro allI conjI iffI impI)

fix t

show 1: $t \# T * \sim^* U \implies (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])$

proof (cases U)

show $U = [] \implies ?thesis$

using U by simp

fix u U'

assume U: U = u # U'

assume Con: t # T * \sim^* U

show ?thesis

proof (cases U' = [])

show $U' = [] \implies ?thesis$

using T U Con R.con-sym Con-rec(2) Resid-rec(2) by auto

assume U': U' ≠ []

have $(t \# T) * \setminus^* U = [t \setminus u] * \setminus^* U' @ (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$

using T U U' Con Resid-rec(4) by fastforce

also have 1: ... = [t] * \setminus^* U @ (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])

using T U U' Con Con-rec(3–4) Resid-rec(3) by auto

also have ... = [t] * \setminus^* U @ T * \setminus^* ((u \setminus t) \# (U' * \setminus^* [t \setminus u]))

proof –

have $T * \setminus^* ((u \setminus t) \# (U' * \setminus^* [t \setminus u])) = (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$

```

using T U U' ind [of T U' *`[t \ u]] Con Con-rec(4) Con-sym len length-Resid
by fastforce
thus ?thesis by auto
qed
also have ... = [t] *`[t] @ T *`[t] (U *`[t])
using T U U' 1 Con Con-rec(4) Con-sym1 Residx1-as-Resid
      Resid1x-as-Resid Resid-rec(2) Con-sym Con-initial-left
      by auto
finally show ?thesis by simp
qed
qed
show t # T *`[t] U ==> [t] *`[t] U
  by (simp add: Con-initial-left)
show t # T *`[t] U ==> T *`[t] (U *`[t])
  by (metis 1 Suc-inject T append-Nil2 length-0-conv length-Cons length-Resid)
show [t] *`[t] U ∧ T *`[t] U *`[t] ==> t # T *`[t] U
proof (cases U)
  show [[t] *`[t] U ∧ T *`[t] U *`[t]; U = []]] ==> t # T *`[t] U
    using U by simp
  fix u U'
  assume U: U = u # U'
  assume Con: [t] *`[t] U ∧ T *`[t] U *`[t]
  show t # T *`[t] U
  proof (cases U' = [])
    show U' = [] ==> ?thesis
      using T U Con
      by (metis Con-rec(2) Resid.simps(3) R.con-sym)
    assume U': U' ≠ []
    show ?thesis
  proof -
    have t ∼ u
    using T U U' Con Con-rec(3) by blast
    moreover have T *`[t] U
      using T U U' Con Con-initial-right Con-sym1 Residx1-as-Resid
          Resid1x-as-Resid Resid-rec(2)
      by (metis Con-sym)
    moreover have [t \ u] *`[t] U'
      using T U U' Con Resid-rec(3) by force
    moreover have T *`[t] U *`[t] U' *`[t] U
      by (metis (no-types, opaque-lifting) Con Con-sym Resid-rec(2) Suc-le-mono
          T U U' add-Suc-right calculation(3) ind len length-Cons length-Resid)
    ultimately show ?thesis
      using T U U' Con-rec(4) by simp
  qed
  qed
qed
next
fix u
show 1: T *`[t] U ==> T *`[t] (u # U) = (T *`[t] u) *`[t] U

```

```

proof (cases T)
  show 2:  $\llbracket T * \sim^* u \# U; T = [] \rrbracket \implies T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U$ 
    using T by simp
  fix t T'
  assume T:  $T = t \# T'$ 
  assume Con:  $T * \sim^* u \# U$ 
  show ?thesis
  proof (cases  $T' = []$ )
    show  $T' = [] \implies$  ?thesis
      using T U Con Con-rec(3) Resid1x-as-Resid Resid-rec(3) by force
    assume T':  $T' \neq []$ 
    have  $T * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U @ (T' * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u])$ 
      using T U T' Con Resid-rec(4) [of  $T' U t u$ ] by simp
    also have ... =  $((t \setminus u) \# (T' * \setminus^* [u \setminus t])) * \setminus^* U$ 
    proof -
      have length  $(T' * \setminus^* [u \setminus t]) +$  length U  $\leq n$ 
        by (metis (no-types, lifting) Con Con-rec(4) One-nat-def Suc-eq-plus1 Suc-leI
          T T' U add-Suc le-less-trans len length-Resid lessI list.size(4)
          not-le)
      thus ?thesis
        using ind [of  $T' * \setminus^* [u \setminus t] U$ ] Con Con-rec(4) T T' U by auto
    qed
    also have ... =  $(T * \setminus^* [u]) * \setminus^* U$ 
      using T U T' Con Con-rec(2,4) Resid-rec(2) by force
      finally show ?thesis by simp
    qed
  qed
  show  $T * \sim^* u \# U \implies T * \sim^* [u]$ 
    using 1 by force
  show  $T * \sim^* u \# U \implies T * \setminus^* [u] * \sim^* U$ 
    using 1 by fastforce
  show  $T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U \implies T * \sim^* u \# U$ 
  proof (cases T)
    show  $\llbracket T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U; T = [] \rrbracket \implies T * \sim^* u \# U$ 
      using T by simp
    fix t T'
    assume T:  $T = t \# T'$ 
    assume Con:  $T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U$ 
    show Con T ( $u \# U$ )
    proof (cases  $T' = []$ )
      show  $T' = [] \implies$  ?thesis
        using Con T U Con-rec(1,3) by auto
      assume T':  $T' \neq []$ 
      have t  $\sim u$ 
        using Con T U T' Con-rec(2) by blast
      moreover have 2:  $T' * \sim^* [u \setminus t]$ 
        using Con T U T' Con-rec(2) by blast
      moreover have  $[t \setminus u] * \sim^* U$ 
        using Con T U T'

```

```

by (metis Con-initial-left Resid-rec(2))
moreover have  $T' * \setminus [u \setminus t] * \sim^* U * \setminus [t \setminus u]$ 
proof -
have 0:  $\text{length}(U * \setminus [t \setminus u]) = \text{length } U$ 
using Con T U T' length-Resid Con-sym calculation(3) by blast
hence 1:  $\text{length } T' + \text{length}(U * \setminus [t \setminus u]) \leq n$ 
using Con T U T' len length-Resid Con-sym by simp
have  $\text{length}((T * \setminus [u]) * \setminus U) =$ 
 $\text{length}([t \setminus u] * \setminus U) + \text{length}((T' * \setminus [u \setminus t]) * \setminus (U * \setminus [t \setminus u]))$ 
proof -
have  $(T * \setminus [u]) * \setminus U =$ 
 $[t \setminus u] * \setminus U @ (T' * \setminus [u \setminus t]) * \setminus (U * \setminus [t \setminus u])$ 
by (metis 0 1 2 Con Resid-rec(2) T T' U ind length-Resid)
thus ?thesis
using Con T U T' length-Resid by simp
qed
moreover have  $\text{length}((T * \setminus [u]) * \setminus U) = \text{length } T$ 
using Con T U T' length-Resid by metis
moreover have  $\text{length}([t \setminus u] * \setminus U) \leq 1$ 
using Con T U T' Resid1x-as-Resid
by (metis One-nat-def length-Cons list.size(3) order-refl zero-le)
ultimately show ?thesis
using Con T U T' length-Resid by auto
qed
ultimately show  $T * \sim^* u \# U$ 
using T Con-rec(4) [of T' U t u] by fastforce
qed
qed
qed
qed

```

The following are the final versions of recursive expansion for consistency and residuation on paths. These are what I really wanted the original definitions to look like, but if this is tried, then *Con* and *Resid* end up having to be mutually recursive, expressing the definitions so that they are single-valued becomes an issue, and proving termination is more problematic.

```

lemma Con-cons:
assumes T ≠ [] and U ≠ []
shows t # T * ∼* U ↔ [t] * ∼* U ∧ T * ∼* U * \setminus [t]
and T * ∼* u # U ↔ T * ∼* [u] ∧ T * \setminus [u] * ∼* U
using assms Resid-cons-ind [of T U] by blast+

```

lemma Con-consI [intro, simp]:

```

shows [T ≠ []; U ≠ []; [t] * ∼* U; T * ∼* U * \setminus [t]] ⇒ t # T * ∼* U
and [T ≠ []; U ≠ []; T * ∼* [u]; T * \setminus [u] * ∼* U] ⇒ T * ∼* u # U
using Con-cons by auto

```

lemma Resid-cons:

```

assumes  $U \neq []$ 
shows  $t \# T * \sim^* U \implies (t \# T) * \setminus^* U = ([t] * \setminus^* U) @ (T * \setminus^* (U * \setminus^* [t]))$ 
and  $T * \sim^* u \# U \implies T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U$ 
  using assms Resid-cons-ind [of  $T$   $U$ ] Resid.simps(1)
  by blast+

```

The following expansion of residuation with respect to the first argument is stated in terms of the more primitive cons, rather than list append, but as a result $1\setminus^*$ has to be used.

```

lemma Resid-cons':
assumes  $T \neq []$ 
shows  $t \# T * \sim^* U \implies (t \# T) * \setminus^* U = (t * \setminus^* U) \# (T * \setminus^* (U * \setminus^* [t]))$ 
  using assms
  by (metis Con-sym Resid.simps(1) Resid1x-as-Resid Resid-cons(1)
       append-Cons append-Nil)

```

```

lemma Srcs-Resid-Arr-single:
assumes  $T * \sim^* [u]$ 
shows Srcs ( $T * \setminus^* [u]$ ) = R.targets  $u$ 
proof (cases  $T$ )
  show  $T = [] \implies \text{Srcs } (T * \setminus^* [u]) = R.\text{targets } u$ 
    using assms by simp
  fix  $t T'$ 
  assume  $T: T = t \# T'$ 
  show Srcs ( $T * \setminus^* [u]$ ) = R.targets  $u$ 
  proof (cases  $T' = []$ )
    show  $T' = [] \implies ?\text{thesis}$ 
      using assms T R.sources-resid by auto
    assume  $T': T' \neq []$ 
    have Srcs ( $T * \setminus^* [u]$ ) = Srcs (( $t \# T'$ ) *  $\setminus^* [u]$ )
      using T by simp
    also have ... = Srcs (( $t \setminus u$ ) # ( $T' * \setminus^* ([u] * \setminus^* T')$ ))
      using assms T
      by (metis Resid-rec(2) Srcs.elims T' list.distinct(1) list.sel(1))
    also have ... = R.sources ( $t \setminus u$ )
      using Srcs.elims by blast
    also have ... = R.targets  $u$ 
      using assms Con-rec(2) T T' R.sources-resid by force
    finally show ?thesis by blast
  qed
qed

```

```

lemma Srcs-Resid-single-Arr:
shows  $[u] * \sim^* T \implies \text{Srcs } ([u] * \setminus^* T) = \text{Trgs } T$ 
proof (induct  $T$  arbitrary:  $u$ )
  show  $\bigwedge u. [u] * \sim^* [] \implies \text{Srcs } ([u] * \setminus^* []) = \text{Trgs } []$ 
    by simp
  fix  $t u T$ 
  assume ind:  $\bigwedge u. [u] * \sim^* T \implies \text{Srcs } ([u] * \setminus^* T) = \text{Trgs } T$ 

```

```

assume Con:  $[u] * \sim^* t \# T$ 
show Srcs ( $[u] * \setminus^* (t \# T)$ ) = Trgs ( $t \# T$ )
proof (cases  $T = []$ )
  show  $T = [] \implies ?thesis$ 
    using Con Srcs-Resid-Arr-single Trgs.simps(2) by presburger
  assume  $T \neq []$ 
  have Srcs ( $[u] * \setminus^* (t \# T)$ ) = Srcs ( $[u \setminus t] * \setminus^* T$ )
    using Con Resid-rec(3)  $T$  by force
  also have ... = Trgs  $T$ 
    using Con ind Con-rec(3)  $T$  by auto
  also have ... = Trgs ( $t \# T$ )
    by (metis  $T$  Trgs.elims Trgs.simps(3))
  finally show ?thesis by simp
qed
qed

lemma Trgs-Resid-sym-Arr-single:
shows  $T * \sim^* [u] \implies Trgs(T * \setminus^* [u]) = Trgs([u] * \setminus^* T)$ 
proof (induct  $T$  arbitrary:  $u$ )
  show  $\bigwedge u. [] * \sim^* [u] \implies Trgs([] * \setminus^* [u]) = Trgs([u] * \setminus^* [])$ 
    by simp
  fix  $t u T$ 
  assume ind:  $\bigwedge u. T * \sim^* [u] \implies Trgs(T * \setminus^* [u]) = Trgs([u] * \setminus^* T)$ 
  assume Con:  $t \# T * \sim^* [u]$ 
  show Trgs ( $((t \# T) * \setminus^* [u]) = Trgs([u] * \setminus^* (t \# T))$ 
proof (cases  $T = []$ )
  show  $T = [] \implies ?thesis$ 
    using R.targets-resid-sym
    by (simp add: R.con-sym)
  assume  $T \neq []$ 
  show ?thesis
proof -
  have Trgs ( $((t \# T) * \setminus^* [u]) = Trgs((t \setminus u) \# (T * \setminus^* [u \setminus t]))$ 
    using Con Resid-rec(2)  $T$  by auto
  also have ... = Trgs ( $(T * \setminus^* [u \setminus t])$ 
    using T Con Con-rec(2) [of  $T t u$ ]
    by (metis Trgs.elims Trgs.simps(3))
  also have ... = Trgs ( $[u \setminus t] * \setminus^* T$ )
    using T Con ind Con-sym by metis
  also have ... = Trgs ( $[u] * \setminus^* (t \# T)$ )
    using T Con Con-sym Resid-rec(3) by presburger
  finally show ?thesis by blast
qed
qed
qed

lemma Srcs-Resid [simp]:
shows  $T * \sim^* U \implies Srcs(T * \setminus^* U) = Trgs U$ 
proof (induct  $U$  arbitrary:  $T$ )

```

```

show  $\bigwedge T. T^* \sim^* [] \implies \text{Srcs}(T^* \setminus^* []) = \text{Trgs} []$ 
  using Con-sym Resid.simps(1) by blast
fix u U T
assume ind:  $\bigwedge T. T^* \sim^* U \implies \text{Srcs}(T^* \setminus^* U) = \text{Trgs}(U)$ 
assume Con:  $T^* \sim^* u \# U$ 
show  $\text{Srcs}(T^* \setminus^* (u \# U)) = \text{Trgs}(u \# U)$ 
  by (metis Con Resid-cons(2) Srcs-Resid-Arr-single Trgs.simps(2-3) ind
       list.exhaustsel)
qed

lemma Trgs-Resid-sym [simp]:
shows  $T^* \sim^* U \implies \text{Trgs}(T^* \setminus^* U) = \text{Trgs}(U^* \setminus^* T)$ 
proof (induct U arbitrary: T)
  show  $\bigwedge T. T^* \sim^* [] \implies \text{Trgs}(T^* \setminus^* []) = \text{Trgs}([]^* \setminus^* T)$ 
    by (meson Con-sym Resid.simps(1))
  fix u U T
  assume ind:  $\bigwedge T. T^* \sim^* U \implies \text{Trgs}(T^* \setminus^* U) = \text{Trgs}(U^* \setminus^* T)$ 
  assume Con:  $T^* \sim^* u \# U$ 
  show  $\text{Trgs}(T^* \setminus^* (u \# U)) = \text{Trgs}((u \# U)^* \setminus^* T)$ 
  proof (cases U = [])
    show U = []  $\implies ?thesis$ 
      using Con Trgs-Resid-sym-Arr-single by blast
    assume U:  $U \neq []$ 
    show ?thesis
    proof -
      have  $\text{Trgs}(T^* \setminus^* (u \# U)) = \text{Trgs}((T^* \setminus^* [u])^* \setminus^* U)$ 
        using U by (metis Con Resid-cons(2))
      also have ... =  $\text{Trgs}(U^* \setminus^* (T^* \setminus^* [u]))$ 
        using U Con by (metis Con-sym ind)
      also have ... =  $\text{Trgs}((u \# U)^* \setminus^* T)$ 
        by (metis (no-types, opaque-lifting) Con-cons(1) Con-sym Resid.simps(1) Resid-cons'
             Trgs.simps(3) U neq-Nil-conv)
      finally show ?thesis by simp
    qed
  qed
qed

```

lemma img-Resid-Srcs:

```

shows Arr T  $\implies (\lambda a. [a]^* \setminus^* T) \cdot \text{Srcs} T \subseteq (\lambda b. [b]) \cdot \text{Trgs} T$ 
proof (induct T)
  show Arr []  $\implies (\lambda a. [a]^* \setminus^* []) \cdot \text{Srcs} [] \subseteq (\lambda b. [b]) \cdot \text{Trgs} []$ 
    by simp
  fix t :: 'a and T :: 'a list
  assume tT: Arr (t # T)
  assume ind: Arr T  $\implies (\lambda a. [a]^* \setminus^* T) \cdot \text{Srcs} T \subseteq (\lambda b. [b]) \cdot \text{Trgs} T$ 
  show  $(\lambda a. [a]^* \setminus^* (t \# T)) \cdot \text{Srcs}(t \# T) \subseteq (\lambda b. [b]) \cdot \text{Trgs}(t \# T)$ 
  proof
    fix B
    assume B:  $B \in (\lambda a. [a]^* \setminus^* (t \# T)) \cdot \text{Srcs}(t \# T)$ 

```

```

show  $B \in (\lambda b. [b]) \circ Trgs (t \# T)$ 
proof (cases  $T = []$ )
  assume  $T: T = []$ 
  obtain  $a$  where  $a: a \in R.sources t \wedge [a \setminus t] = B$ 
    by (metis (no-types, lifting)  $B R.composite-of-source-arr R.con-prfx-composite-of(1)$ 
      Resid-rec(1) Srcs.simps(2) T Arr.simps(2) Con-rec(1) imageE tT)
  have  $a \setminus t \in Trgs (t \# T)$ 
    using  $tT T a$ 
    by (simp add: R.resid-source-in-targets)
  thus ?thesis
    using  $B a$  image-iff by fastforce
  next
  assume  $T: T \neq []$ 
  obtain  $a$  where  $a: a \in R.sources t \wedge [a] * \setminus^* (t \# T) = B$ 
    using  $tT T B$  Srcs.elims by blast
  have  $[a \setminus t] * \setminus^* T = B$ 
    using  $tT T B a$ 
    by (metis Con-rec(3) R.arrI R.resid-source-in-targets R.targets-are-cong
      Resid-rec(3) R.arr-resid-iff-con R.ide-implies-arr)
  moreover have  $a \setminus t \in Srcs T$ 
    using  $a tT$ 
    by (metis Arr.simps(3) R.resid-source-in-targets T neq-Nil-conv subsetD)
  ultimately show ?thesis
    using  $T tT ind$ 
    by (metis Trgs.simps(3) Arr.simps(3) image-iff list.exhaust-sel subsetD)
qed
qed
qed

```

lemma Resid-Arr-Src:

shows $\llbracket Arr T; a \in Srcs T \rrbracket \implies T * \setminus^* [a] = T$

proof (induct T arbitrary: a)

 show $\bigwedge a. \llbracket Arr []; a \in Srcs [] \rrbracket \implies [] * \setminus^* [a] = []$
 by simp

 fix $a t T$

 assume $ind: \bigwedge a. \llbracket Arr T; a \in Srcs T \rrbracket \implies T * \setminus^* [a] = T$

 assume $Arr: Arr (t \# T)$

 assume $a: a \in Srcs (t \# T)$

 show $(t \# T) * \setminus^* [a] = t \# T$

 proof (cases $T = []$)

 show $T = [] \implies ?thesis$
 using a R.resid-arr-ide R.sources-def by auto

 assume $T: T \neq []$
 show $(t \# T) * \setminus^* [a] = t \# T$
 proof –

 have 1: $R.arr t \wedge Arr T \wedge R.targets t \subseteq Srcs T$
 using Arr T
 by (metis Arr.elims(2) list.sel(1) list.sel(3))
 have 2: $t \# T * \setminus^* [a]$

```

using T a Arr Con-rec(2)
by (metis (no-types, lifting) img-Resid-Srcs Con-sym imageE image-subset-iff
list.distinct(1))
have (t # T) *` [a] = (t \ a) # (T *` [a \ t])
  using 2 T Resid-rec(2) by simp
moreover have t \ a = t
  using Arr a R.sources-def
  by (metis 2 CollectD Con-rec(2) T Srcs-are-ide in-mono R.resid-arr-ide)
moreover have T *` [a \ t] = T
  by (metis 1 2 R.in-sourcesI R.resid-source-in-targets Srcs-are-ide T a
Con-rec(2) in-mono ind mem-Collect-eq)
ultimately show ?thesis by simp
qed
qed
qed

lemma Con-single-ide-ind:
shows R.ide a ==> [a] *` T <=> Arr T ∧ a ∈ Srcs T
proof (induct T arbitrary: a)
  show ∀a. [a] *` [] <=> Arr [] ∧ a ∈ Srcs []
    by simp
  fix a t T
  assume ind: ∀a. R.ide a ==> [a] *` T <=> Arr T ∧ a ∈ Srcs T
  assume a: R.ide a
  show [a] *` (t # T) <=> Arr (t # T) ∧ a ∈ Srcs (t # T)
  proof (cases T = [])
    show T = [] ==> ?thesis
      using a Con-sym
      by (metis Arr.simps(2) Resid-Arr-Src Srcs.simps(2) R.arr-iff-has-source
Con-rec(1) empty-iff R.in-sourcesI list.distinct(1))
    assume T: T ≠ []
    have 1: [a] *` (t # T) <=> a ∼ t ∧ [a \ t] *` T
      using a T Con-cons(2) [of [a] T t] by simp
    also have 2: ... <=> a ∼ t ∧ Arr T ∧ a \ t ∈ Srcs T
      using a T ind R.resid-ide-arr by blast
    also have ... <=> Arr (t # T) ∧ a ∈ Srcs (t # T)
      using a T Con-sym R.con-sym Resid-Arr-Src R.con-implies-arr Srcs-are-ide
      apply (cases T)
      apply simp
      by (metis Arr.simps(3) R.resid-arr-ide R.targets-resid-sym Srcs.simps(3)
Srcs-Resid-Arr-single calculation dual-order.eq-iff list.distinct(1)
R.in-sourcesI)
    finally show ?thesis by simp
  qed
qed

lemma Con-single-ide-iff:
assumes R.ide a
shows [a] *` T <=> Arr T ∧ a ∈ Srcs T

```

```

using assms Con-single-ide-ind by simp

lemma Con-single-ideI [intro]:
assumes R.ide a and Arr T and a ∈ Srcs T
shows [a] *¬* T and T *¬* [a]
  using assms Con-single-ide-iff Con-sym by auto

lemma Resid-single-ide:
assumes R.ide a and [a] *¬* T
shows [a] *¬* T ∈ (λb. [b]) ` Trgs T and [simp]: T *¬* [a] = T
  using assms Con-single-ide-ind img-Resid-Srcs Resid-Arr-Src Con-sym
  by blast+

lemma Resid-Arr-Ide-ind:
shows [| Ide A; T *¬* A|] ⇒ T *¬* A = T
proof (induct A)
  show [| Ide []; T *¬* []|] ⇒ T *¬* [] = T
    by simp
  fix a A
  assume ind: [| Ide A; T *¬* A|] ⇒ T *¬* A = T
  assume Ide: Ide (a # A)
  assume Con: T *¬* a # A
  show T *¬* (a # A) = T
    by (metis (no-types, lifting) Con Con-initial-left Con-sym Ide Ide.elims(2)
      Resid-cons(2) Resid-single-ide(2) ind list.inject)
qed

lemma Resid-Ide-Arr-ind:
shows [| Ide A; A *¬* T|] ⇒ Ide (A *¬* T)
proof (induct A)
  show [| Ide []; [] *¬* T|] ⇒ Ide ([] *¬* T)
    by simp
  fix a A
  assume ind: [| Ide A; A *¬* T|] ⇒ Ide (A *¬* T)
  assume Ide: Ide (a # A)
  assume Con: a # A *¬* T
  have T: Arr T
    using Con Ide Con-single-ide-ind Con-initial-left Ide.elims(2)
    by blast
  show Ide ((a # A) *¬* T)
  proof (cases A = [])
    show A = [] ⇒ ?thesis
      by (metis Con Con-sym1 Ide Ide.simps(2) Resid1x-as-Resid Resid1x-ide
        Resid1x-as-Resid Con-sym)
    assume A: A ≠ []
    show ?thesis
    proof –
      have Ide ([a] *¬* T)
        by (metis Con Con-initial-left Con-sym Con-sym1 Ide Ide.simps(3))
    qed
  qed
qed

```

```

Resid1x-as-Resid Residx1-as-Resid Ide.simps(2) Resid1x-ide
list.exhaust-sel)
moreover have Trgs ([a] *` T) ⊆ Srcs (A *` T)
  using A T Ide Con
  by (metis (no-types, lifting) Con-sym Ide.elims(2) Ide.simps(2) Resid-Arr-Ide-ind
      Srcs-Resid Trgs-Resid-sym Con-cons(2) dual-order.eq-iff list.inject)
moreover have Ide (A *` (T *` [a]))
  by (metis A Con Con-cons(1) Con-sym Ide Ide.simps(3) Resid-Arr-Ide-ind
      Resid-single-ide(2) ind list.exhaust-sel)
moreover have Ide ((a # A) *` T) ↔
  Ide ([a] *` T) ∧ Ide (A *` (T *` [a])) ∧
  Trgs ([a] *` T) ⊆ Srcs (A *` T)
  using calculation(1–3)
by (metis Arr.simps(1) Con Ide Ide.simps(3) Resid1x-as-Resid Resid-cons'
    Trgs.simps(2) Con-single-ide-iff Ide.simps(2) Ide-implies-Arr Resid-Arr-Src
    list.exhaust-sel)
ultimately show ?thesis by blast
qed
qed
qed

```

lemma Resid-Ide:
assumes Ide A **and** A *` T
shows T *` A = T **and** Ide (A *` T)
using assms Resid-Ide-Arr-ind Resid-Arr-Ide-ind Con-sym **by** auto

lemma Con-Ide-iff:
shows Ide A ⇒ A *` T ↔ Arr T ∧ Srcs T = Srcs A
proof (induct A)
 show Ide [] ⇒ [] *` T ↔ Arr T ∧ Srcs T = Srcs []
 by simp
 fix a A
 assume ind: Ide A ⇒ A *` T ↔ Arr T ∧ Srcs T = Srcs A
 assume Ide: Ide (a # A)
 show a # A *` T ↔ Arr T ∧ Srcs T = Srcs (a # A)
proof (cases A = [])
 show A = [] ⇒ ?thesis
 using Con-single-ide-ind Ide
 by (metis Arr.simps(2) Con-sym Ide.simps(2) Ide-implies-Arr R.arrE
 Resid-Arr-Src Srcs.simps(2) Srcs-Resid R.in-sourcesI)
 assume A: A ≠ []
 have a # A *` T ↔ [a] *` T ∧ A *` T *` [a]
 using A Ide Con-cons(1) [of A T a] **by** fastforce
 also have 1: ... ↔ Arr T ∧ a ∈ Srcs T
 by (metis A Arr-has-Src Con-single-ide-ind Ide Ide.elims(2) Resid-Arr-Src
 Srcs-Resid-Arr-single Con-sym Srcs-eqI ind inf.absorb-iff2 list.inject)
 also have ... ↔ Arr T ∧ Srcs T = Srcs (a # A)
 by (metis A 1 Con-sym Ide Ide.simps(3) R.ideE
 R.sources-resid Resid-Arr-Src Srcs.simps(3) Srcs-Resid-Arr-single)

```

list.exhaust-sel R.in-sourcesI)
finally show a # A *¬¬ T ↔ Arr T ∧ Srcs T = Srcs (a # A)
  by blast
qed
qed

lemma Con-IdeI:
assumes Ide A and Arr T and Srcs T = Srcs A
shows A *¬¬ T and T *¬¬ A
  using assms Con-Ide-iff Con-sym by auto

lemma Con-Arr-self:
shows Arr T ⇒ T *¬¬ T
proof (induct T)
  show Arr [] ⇒ [] *¬¬ []
    by simp
  fix t T
  assume ind: Arr T ⇒ T *¬¬ T
  assume Arr: Arr (t # T)
  show t # T *¬¬ t # T
  proof (cases T = [])
    show T = [] ⇒ ?thesis
      using Arr R.arrE by simp
    assume T: T ≠ []
    have t ∼ t ∧ T *¬¬ [t \ t] ∧ [t \ t] *¬¬ T ∧ T *¬¬ [t \ t] *¬¬ T *¬¬ [t \ t]
    proof -
      have t ∼ t
        using Arr Arr.elims(1) by auto
      moreover have T *¬¬ [t \ t]
      proof -
        have Ide [t \ t]
          by (simp add: R.arr-def R.prfx-reflexive calculation)
        moreover have Srcs [t \ t] = Srcs T
          by (metis Arr Arr.simps(2) Arr-has-Trg R.arrE R.sources-resid Srcs.simps(2)
              Srcs-eqI T Trgs.simps(2) Arr.simps(3) inf.absorb-iff2 list.exhaust)
        ultimately show ?thesis
          by (metis Arr Con-sym T Arr.simps(3) Con-Ide-iff neq-Nil-conv)
      qed
      ultimately show ?thesis
        by (metis Con-single-ide-ind Con-sym R.prfx-reflexive
            Resid-single-ide(2) ind R.con-implies-arr(1))
    qed
    thus ?thesis
      using Con-rec(4) [of T T t t] by force
  qed
qed
qed

lemma Resid-Arr-self:
shows Arr T ⇒ Ide (T *¬¬ T)

```

```

proof (induct T)
show Arr [] ==> Ide ([] *`* [])
  by simp
fix t T
assume ind: Arr T ==> Ide (T *`* T)
assume Arr: Arr (t # T)
show Ide ((t # T) *`* (t # T))
proof (cases T = [])
  show T = [] ==> ?thesis
    using Arr R.prfx-reflexive by auto
  assume T: T ≠ []
  have 1: (t # T) *`* (t # T) = t `* (t # T) # T *`* ((t # T) *`* [t])
    using Arr T Resid-cons' [of T t t # T] Con-Arr-self by presburger
  also have ... = (t \ t) `* T # T *`* (t `* [t] # T *`* ([t] *`* [t]))
    using Arr T Resid-cons' [of T t [t]]
    by (metis Con-initial-right Resid1x.simps(3) calculation neq-Nil-conv)
  also have ... = (t \ t) `* T # (T *`* ([t] *`* [t])) *`* (T *`* ([t] *`* [t]))
    by (metis 1 Resid1x.simps(2) Resid1x.simps(2) Resid1x-as-Resid T calculation
        Con-cons(1) Con-rec(4) Resid-cons(2) list.distinct(1) list.inject)
  finally have 2: (t # T) *`* (t # T) =
    (t \ t) `* T # (T *`* ([t] *`* [t])) *`* (T *`* ([t] *`* [t]))
    by blast
  moreover have Ide ...
  proof -
    have R.ide ((t \ t) `* T)
      using Arr T
      by (metis Con-initial-right Con-rec(2) Con-sym1 R.con-implies-arr(1)
          Resid1x-ide Con-Arr-self Resid1x-as-Resid R.prfx-reflexive)
    moreover have Ide ((T *`* ([t] *`* [t])) *`* (T *`* ([t] *`* [t])))
      using Arr T
      by (metis Con-Arr-self Con-rec(4) Resid-single-ide(2) Con-single-ide-ind
          Resid.simps(3) ind R.prfx-reflexive R.con-implies-arr(2))
    moreover have R.targets ((t \ t) `* T) ⊆
      Srcs ((T *`* ([t] *`* [t])) *`* (T *`* ([t] *`* [t])))
      by (metis (no-types, lifting) 1 2 Con-cons(1) Resid1x-as-Resid T Trgs.simps(2)
          Trgs-Resid-sym Srcs-Resid dual-order.eq-iff list.discI list.inject)
    ultimately show ?thesis
      using Arr T
      by (metis Ide.simps(1,3) list.exhaust-sel)
  qed
  ultimately show ?thesis by auto
qed
qed

```

```

lemma Con-imp-eq-Srcs:
assumes T *`* U
shows Srcs T = Srcs U
proof (cases T)
  show T = [] ==> ?thesis

```

```

using assms by simp
fix t T'
assume T: T = t # T'
show Srcs T = Srcs U
proof (cases U)
  show U = []  $\implies$  ?thesis
    using assms T by simp
    fix u U'
    assume U: U = u # U'
    show Srcs T = Srcs U
      by (metis Con-initial-right Con-rec(1) Con-sym R.con-imp-common-source
           Srcs.simps(2–3) Srcs-eqI T Trgs.cases U assms)
  qed
qed

lemma Arr-iff-Con-self:
shows Arr T  $\longleftrightarrow$  T * $\frown$ * T
proof (induct T)
  show Arr []  $\longleftrightarrow$  [] * $\frown$ * []
    by simp
  fix t T
  assume ind: Arr T  $\longleftrightarrow$  T * $\frown$ * T
  show Arr (t # T)  $\longleftrightarrow$  t # T * $\frown$ * t # T
  proof (cases T = [])
    show T = []  $\implies$  ?thesis
      by auto
    assume T: T  $\neq$  []
    show ?thesis
    proof
      show Arr (t # T)  $\implies$  t # T * $\frown$ * t # T
        using Con-Arr-self by simp
      show t # T * $\frown$ * t # T  $\implies$  Arr (t # T)
      proof –
        assume Con: t # T * $\frown$ * t # T
        have R.arr t
        using T Con Con-rec(4) [of T T t t] by blast
        moreover have Arr T
        using T Con Con-rec(4) [of T T t t] ind R.arrI
        by (meson R.prfx-reflexive Con-single-ide-ind)
        moreover have R.targets t  $\subseteq$  Srcs T
        using T Con
        by (metis Con-cons(2) Con-imp-eq-Srcs Trgs.simps(2)
             Srcs-Resid list.distinct(1) subsetI)
        ultimately show ?thesis
        by (cases T) auto
      qed
    qed
  qed
qed

```

```

lemma Arr-Resid-single:
shows  $T^* \sim^* [u] \implies \text{Arr}(T^* \setminus^* [u])$ 
proof (induct T arbitrary: u)
  show  $\bigwedge u. []^* \sim^* [u] \implies \text{Arr}([]^* \setminus^* [u])$ 
    by simp
  fix t u T
  assume ind:  $\bigwedge u. T^* \sim^* [u] \implies \text{Arr}(T^* \setminus^* [u])$ 
  assume Con:  $t \# T^* \sim^* [u]$ 
  show  $\text{Arr}((t \# T)^* \setminus^* [u])$ 
  proof (cases T = [])
    show  $T = [] \implies ?\text{thesis}$ 
      using Con Arr-iff-Con-self R.con-imp-arr-resid Con-rec(1) by fastforce
    assume T:  $T \neq []$ 
    have  $\text{Arr}((t \# T)^* \setminus^* [u]) \longleftrightarrow \text{Arr}((t \setminus u) \# (T^* \setminus^* [u \setminus t]))$ 
      using Con T Resid-rec(2) by auto
    also have ...  $\longleftrightarrow R.\text{arr}(t \setminus u) \wedge \text{Arr}(T^* \setminus^* [u \setminus t]) \wedge$ 
       $R.\text{targets}(t \setminus u) \subseteq \text{Srcs}(T^* \setminus^* [u \setminus t])$ 
      using Con T
      by (metis Arr.simps(3) Con-rec(2) neq-Nil-conv)
    also have ...  $\longleftrightarrow R.\text{con } t \ u \wedge \text{Arr}(T^* \setminus^* [u \setminus t])$ 
      using Con T
      by (metis Srcs-Resid-Arr-single Con-rec(2) R.arr-resid-iff-con subsetI
          R.targets-resid-sym)
    also have ...  $\longleftrightarrow \text{True}$ 
      using Con ind T Con-rec(2) by blast
    finally show ?thesis by auto
  qed
qed

```

```

lemma Con-imp-Arr-Resid:
shows  $T^* \sim^* U \implies \text{Arr}(T^* \setminus^* U)$ 
proof (induct U arbitrary: T)
  show  $\bigwedge T. T^* \sim^* [] \implies \text{Arr}(T^* \setminus^* [])$ 
    by (meson Con-sym Resid.simps(1))
  fix u U T
  assume ind:  $\bigwedge T. T^* \sim^* U \implies \text{Arr}(T^* \setminus^* U)$ 
  assume Con:  $T^* \sim^* u \# U$ 
  show  $\text{Arr}(T^* \setminus^* (u \# U))$ 
    by (metis Arr-Resid-single Con Resid-cons(2) ind)
qed

```

```

lemma Cube-ind:
shows  $\llbracket T^* \sim^* U; V^* \sim^* T; \text{length } T + \text{length } U + \text{length } V \leq n \rrbracket \implies$ 
 $(V^* \setminus^* T^* \sim^* U^* \setminus^* T \longleftrightarrow V^* \setminus^* U^* \sim^* T^* \setminus^* U) \wedge$ 
 $(V^* \setminus^* T^* \sim^* U^* \setminus^* T \longrightarrow$ 
 $(V^* \setminus^* T)^* \setminus^* (U^* \setminus^* T) = (V^* \setminus^* U)^* \setminus^* (T^* \setminus^* U))$ 
proof (induct n arbitrary: T U V)
  show  $\bigwedge T U V. \llbracket T^* \sim^* U; V^* \sim^* T; \text{length } T + \text{length } U + \text{length } V \leq 0 \rrbracket \implies$ 

```

```


$$(V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U) \wedge$$


$$(V * \setminus^* T * \setminus^* U * \setminus^* T \longrightarrow$$


$$(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U))$$

by simp
fix n and T U V :: 'a list
assume Con-TU: T * \setminus^* U and Con-VT: V * \setminus^* T
have T: T ≠ []
using Con-TU by auto
have U: U ≠ []
using Con-TU Con-sym Resid.simps(1) by blast
have V: V ≠ []
using Con-VT by auto
assume len: length T + length U + length V ≤ Suc n
assume ind: ∀ T U V. [T * \setminus^* U; V * \setminus^* T; length T + length U + length V ≤ n] ⇒

$$(V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U) \wedge$$


$$(V * \setminus^* T * \setminus^* U * \setminus^* T \longrightarrow$$


$$(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U))$$

show (V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U) \wedge

$$(V * \setminus^* T * \setminus^* U * \setminus^* T \longrightarrow (V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U))$$

proof (cases V)
show V = [] ⇒ ?thesis
using V by simp

fix v V'
assume V: V = v # V'
show ?thesis
proof (cases U)
show U = [] ⇒ ?thesis
using U by simp
fix u U'
assume U: U = u # U'
show ?thesis
proof (cases T)
show T = [] ⇒ ?thesis
using T by simp
fix t T'
assume T: T = t # T'
show ?thesis
proof (cases V' = [], cases U' = [], cases T' = [])
show [V' = []; U' = []; T' = []] ⇒ ?thesis
using T U V R.cube Con-TU Resid.simps(2-3) R.arr-resid-iff-con
R.con-implies-arr Con-sym
by metis
assume T': T' ≠ [] and V': V' = [] and U': U' = []
have 1: U * \setminus^* [t]
using T Con-TU Con-cons(2) Con-sym Resid.simps(2) by metis
have 2: V * \setminus^* [t]
using V Con-VT Con-initial-right T by blast
show ?thesis

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proof (intro conjI impI)
  have 3: length [t] + length U + length V ≤ n
    using T T' le-Suc-eq len by fastforce
  show ∗: V ∗\* T ∗\* U ∗\* T ←→ V ∗\* U ∗\* T ∗\* U
  proof −
    have V ∗\* T ∗\* U ∗\* T ←→ (V ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t]) ∗\* T'
      using Con-TU Con-VT Con-sym Resid-cons(2) T T' by force
    also have ... ←→ V ∗\* [t] ∗\* U ∗\* [t] ∧
      (V ∗\* [t]) ∗\* (U ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t])
  proof (intro iffI conjI)
    show (V ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t]) ∗\* T' ⇒ V ∗\* [t] ∗\* U ∗\* [t]
      using T U V T' U' V' 1 ind [of T'] len Con-TU Con-rec(2) Resid-rec(1)
        Resid.simps(1) length-Cons Suc-le-mono add-Suc
      by (metis (no-types))
    show (V ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t]) ∗\* T' ⇒
      (V ∗\* [t]) ∗\* (U ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t])
      using T U V T' U' V'
      by (metis Con-sym Resid.simps(1) Resid-rec(1) Suc-le-mono ind len
        length-Cons list.size(3–4))
    show V ∗\* [t] ∗\* U ∗\* [t] ∧
      (V ∗\* [t]) ∗\* (U ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t]) ⇒
      (V ∗\* [t]) ∗\* T' ∗\* (U ∗\* [t]) ∗\* T'
      using T U V T' U' V' 1 ind len Con-TU Con-VT Con-rec(1–3)
      by (metis (no-types, lifting) One-nat-def Resid-rec(1) Suc-le-mono
        add.commute list.size(3) list.size(4) plus-1-eq-Suc)
  qed
  also have ... ←→ (V ∗\* U) ∗\* ([t] ∗\* U) ∗\* T' ∗\* (U ∗\* [t])
    by (metis 2 3 Con-sym ind Resid.simps(1))
  also have ... ←→ V ∗\* U ∗\* T ∗\* U
    using Con-rec(2) [of T' t]
    by (metis (no-types, lifting) 1 Con-TU Con-cons(2) Resid.simps(1)
      Resid.simps(3) Resid-rec(2) T T' U U')
  finally show ?thesis by simp
  qed
assume Con: V ∗\* T ∗\* U ∗\* T
  show (V ∗\* T) ∗\* (U ∗\* T) = (V ∗\* U) ∗\* (T ∗\* U)
  proof −
    have (V ∗\* T) ∗\* (U ∗\* T) = ((V ∗\* [t]) ∗\* T') ∗\* ((U ∗\* [t]) ∗\* T')
      using Con-TU Con-VT Con-sym Resid-cons(2) T T' by force
    also have ... = ((V ∗\* [t]) ∗\* (U ∗\* [t])) ∗\* (T' ∗\* (U ∗\* [t]))
      using T U V T' U' V' 1 Con ind [of T' Resid U [t] Resid V [t]]
      by (metis One-nat-def add.commute calculation len length-0-conv length-Resid
        list.size(4) nat-add-left-cancel-le Con-sym plus-1-eq-Suc)
    also have ... = ((V ∗\* U) ∗\* ([t] ∗\* U)) ∗\* (T' ∗\* (U ∗\* [t]))
      by (metis 1 2 3 Con-sym ind)
    also have ... = (V ∗\* U) ∗\* (T ∗\* U)
      using T U T' U' Con *
      by (metis Con-sym Resid-rec(1–2) Resid.simps(1) Resid-cons(2))
  finally show ?thesis by simp

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```

qed
qed
next
assume  $U': U' \neq []$  and  $V': V' = []$ 
show ?thesis
proof (intro conjI impI)
  show *:  $V * \setminus^* T * \frown^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \frown^* T * \setminus^* U$ 
  proof (cases  $T' = []$ )
    assume  $T': T' = []$ 
    show ?thesis
    proof -
      have  $V * \setminus^* T * \frown^* U * \setminus^* T \longleftrightarrow V * \setminus^* [t] * \frown^* (u \ t) \# (U' * \setminus^* [t \ u])$ 
      using Con-TU Con-sym Resid-rec(2) T T' U U' by auto
      also have ...  $\longleftrightarrow (V * \setminus^* [t]) * \setminus^* [u \ t] * \frown^* U' * \setminus^* [t \ u]$ 
      by (metis Con-TU Con-cons(2) Con-rec(3) Con-sym Resid.simps(1) T U U')
      also have ...  $\longleftrightarrow (V * \setminus^* [u]) * \setminus^* [t \ u] * \frown^* U' * \setminus^* [t \ u]$ 
      using T U V V' R.cube-ax
      apply simp
      by (metis R.con-implies-arr(1) R.not-arr-null R.con-def)
      also have ...  $\longleftrightarrow (V * \setminus^* [u]) * \setminus^* U' * \frown^* [t \ u] * \setminus^* U'$ 
      proof -
        have length  $[t \ u] + \text{length } U' + \text{length } (V * \setminus^* [u]) \leq n$ 
        using T U V V' len by force
        thus ?thesis
        by (metis Con-sym Resid.simps(1) add.commute ind)
      qed
      also have ...  $\longleftrightarrow V * \setminus^* U * \frown^* T * \setminus^* U$ 
      by (metis Con-TU Resid-cons(2) Resid-rec(3) T T' U U' Con-cons(2)
          length-Resid length-0-conv)
      finally show ?thesis by simp
    qed
  next
  assume  $T': T' \neq []$ 
  show ?thesis
  proof -
    have  $V * \setminus^* T * \frown^* U * \setminus^* T \longleftrightarrow (V * \setminus^* [t]) * \setminus^* T' * \frown^* ((U * \setminus^* [t]) * \setminus^* T')$ 
    using Con-TU Con-VT Con-sym Resid-cons(2) T T' by force
    also have ...  $\longleftrightarrow (V * \setminus^* [t]) * \setminus^* (U * \setminus^* [t]) * \frown^* T' * \setminus^* (U * \setminus^* [t])$ 
    proof -
      have length  $T' + \text{length } (U * \setminus^* [t]) + \text{length } (V * \setminus^* [t]) \leq n$ 
      by (metis (no-types, lifting) Con-TU Con-VT Con-initial-right Con-sym
          One-nat-def Suc-eq-plus1 T ab-semigroup-add-class.add-ac(1)
          add-le-imp-le-left len length-Resid list.size(4) plus-1-eq-Suc)
      thus ?thesis
      by (metis Con-TU Con-VT Con-cons(1) Con-cons(2) T T' U V ind list.discI)
    qed
    also have ...  $\longleftrightarrow (V * \setminus^* U) * \setminus^* ([t] * \setminus^* U) * \frown^* T' * \setminus^* (U * \setminus^* [t])$ 
    proof -
      have length  $[t] + \text{length } U + \text{length } V \leq n$ 

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using T T' le-Suc-eq len by fastforce
thus ?thesis
  by (metis Con-TU Con-VT Con-initial-left Con-initial-right T ind)
qed
also have ...  $\longleftrightarrow$  V * \* U * \* T * \* U
  by (metis Con-cons(2) Con-sym Resid.simps(1) Resid1x-as-Resid
    Residx1-as-Resid Resid-cons' T T')
finally show ?thesis by blast
qed
qed
show V * \* T * \* U * \* T  $\implies$ 
  (V * \* T) * \* (U * \* T) = (V * \* U) * \* (T * \* U)
proof -
  assume Con: V * \* T * \* U * \* T
  show ?thesis
  proof (cases T' = [])
    assume T': T' = []
    show ?thesis
    proof -
      have 1: (V * \* T) * \* (U * \* T) =
        (V * \* T) * \* ((u \ t) # (U' * \* [t \ u]))
      using Con-TU Con-sym Resid-rec(2) T T' U U' by force
      also have ... = ((V * \* [t]) * \* [u \ t]) * \* (U' * \* [t \ u])
      by (metis Con Con-TU Con-rec(2) Con-sym Resid-cons(2) T T' U U'
        calculation)
      also have ... = ((V * \* [u]) * \* [t \ u]) * \* (U' * \* [t \ u])
      by (metis * Con Con-rec(3) R.cube Resid.simps(1,3) T T' U V V'
        calculation R.conI R.conE)
      also have ... = ((V * \* [u]) * \* U') * \* ([t \ u] * \* U')
      proof -
        have length [t \ u] + length (U' * \* [t \ u]) + length (V * \* [u])  $\leq$  n
        by (metis (no-types, lifting) Nat.le-diff-conv2 One-nat-def T U V V'
          add.commute add-diff-cancel-left' add-leD2 len length-Cons
          length-Resid list.size(3) plus-1-eq-Suc)
      thus ?thesis
        by (metis Con-sym add.commute Resid.simps(1) ind length-Resid)
      qed
      also have ... = (V * \* U) * \* (T * \* U)
      by (metis Con-TU Con-cons(2) Resid-cons(2) T T' U U'
        Resid-rec(3) length-0-conv length-Resid)
      finally show ?thesis by blast
    qed
  next
    assume T': T'  $\neq$  []
    show ?thesis
    proof -
      have (V * \* T) * \* (U * \* T) =
        ((V * \* T) * \* ([u] * \* T)) * \* (U' * \* (T * \* [u]))
      by (metis Con Con-TU Resid.simps(2) Resid1x-as-Resid U U'
        )
    qed
  qed

```

$\text{Con-cons}(2) \text{ Con-sym Resid-cons' Resid-cons}(2)$
also have ... = (($V * \setminus^* [u]$) * \setminus^* ($T * \setminus^* [u]$)) * \setminus^* ($U' * \setminus^* (T * \setminus^* [u])$)
proof –
have $\text{length } T + \text{length } [u] + \text{length } V \leq n$
using $U U'$ *antisym-conv* len *not-less-eq-eq* **by** *fastforce*
thus ?*thesis*
by (*metis* *Con-TU Con-VT Con-initial-right* U *ind*)
qed
also have ... = (($V * \setminus^* [u]$) * \setminus^* U') * \setminus^* (($T * \setminus^* [u]$) * \setminus^* U')
proof –
have $\text{length } (T * \setminus^* [u]) + \text{length } U' + \text{length } (V * \setminus^* [u]) \leq n$
using *Con-TU Con-initial-right* $U V V'$ len *length-Resid* **by** *force*
thus ?*thesis*
by (*metis* *Con Con-TU Con-cons(2)* $U U'$ *calculation* *ind* *length-0-conv*
length-Resid)
qed
also have ... = ($V * \setminus^* U$) * \setminus^* ($T * \setminus^* U$)
by (*metis* * *Con Con-TU Resid-cons(2)* $U U'$ *length-Resid* *length-0-conv*)
finally show ?*thesis* **by** *blast*
qed
qed
qed
qed
next
assume $V': V' \neq []$
show ?*thesis*
proof (*cases* $U' = []$)
assume $U': U' = []$
show ?*thesis*
proof (*cases* $T' = []$)
assume $T': T' = []$
show ?*thesis*
proof (*intro conjI impI*)
show *: $V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$
proof –
have $V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow (v \setminus t) \# (V' * \setminus^* [t \setminus v]) * \setminus^* [u \setminus t]$
using *Con-TU Con-VT Con-sym Resid-rec(1-2)* $T T' U U' V V'$
by *metis*
also have ... $\longleftrightarrow [v \setminus t] * \setminus^* [u \setminus t] \wedge$
 $V' * \setminus^* [t \setminus v] * \setminus^* [u \setminus v] * \setminus^* [t \setminus v]$
proof –
have $V' * \setminus^* [t \setminus v]$
using $T T' V V'$ *Con-VT Con-rec(2)* **by** *blast*
thus ?*thesis*
using *R.con-def R.con-sym R.cube*
Con-rec(2) [*of* $V' * \setminus^* [t \setminus v] v \setminus t u \setminus t$]
by *auto*
qed
also have ... $\longleftrightarrow [v \setminus t] * \setminus^* [u \setminus t] \wedge$

```

 $V' * \setminus^* [u \setminus v] * \sim^* [t \setminus v] * \setminus^* [u \setminus v]$ 
proof –
  have  $\text{length } [t \setminus v] + \text{length } [u \setminus v] + \text{length } V' \leq n$ 
    using  $T U V \text{len}$  by fastforce
  thus  $?thesis$ 
    by (metis Con-imp-Arr-Resid Arr-has-Src Con-VT T T' Trgs.simps(1)
          Trgs-Resid-sym V V' Con-rec(2) Srcs-Resid ind)
  qed
  also have  $\dots \longleftrightarrow [v \setminus t] * \sim^* [u \setminus t] \wedge$ 
     $V' * \setminus^* [u \setminus v] * \sim^* [t \setminus u] * \setminus^* [v \setminus u]$ 
    by (simp add: R.con-def R(cube))
  also have  $\dots \longleftrightarrow V * \setminus^* U * \sim^* T * \setminus^* U$ 
  proof
    assume 1:  $V * \setminus^* U * \sim^* T * \setminus^* U$ 
    have  $tu\text{-vu}: t \setminus u \sim v \setminus u$ 
      by (metis (no-types, lifting) 1 T T' U U' V V' Con-rec(3)
            Resid-rec(1–2) Con-sym length-Resid length-0-conv)
    have  $vt\text{-ut}: v \setminus t \sim u \setminus t$ 
      using 1
      by (metis R.con-def R.con-sym R(cube) tu-vu)
    show  $[v \setminus t] * \sim^* [u \setminus t] \wedge V' * \setminus^* [u \setminus v] * \sim^* [t \setminus u] * \setminus^* [v \setminus u]$ 
      by (metis (no-types, lifting) 1 Con-TU Con-cons(1) Con-rec(1–2)
            Resid-rec(1) T T' U U' V V' Resid-rec(2) length-Resid
            length-0-conv vt-ut)
  next
    assume 1:  $[v \setminus t] * \sim^* [u \setminus t] \wedge$ 
       $V' * \setminus^* [u \setminus v] * \sim^* [t \setminus u] * \setminus^* [v \setminus u]$ 
    have  $tu\text{-vu}: t \setminus u \sim v \setminus u \wedge v \setminus t \sim u \setminus t$ 
      by (metis 1 Con-sym Resid.simps(1) Residx1.simps(2)
            Residx1-as-Resid)
    have  $tu: t \sim u$ 
      using Con-TU Con-rec(1) T T' U U' by blast
    show  $V * \setminus^* U * \sim^* T * \setminus^* U$ 
      by (metis (no-types, opaque-lifting) 1 Con-rec(2) Con-sym
            R.con-implies-arr(2) Resid.simps(1,3) T T' U U' V V'
            Resid-rec(2) R.arr-resid-iff-con)
  qed
  finally show  $?thesis$  by simp
qed
show  $V * \setminus^* T * \sim^* U * \setminus^* T \implies$ 
   $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U)$ 
proof –
  assume  $Con: V * \setminus^* T * \sim^* U * \setminus^* T$ 
  have  $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = ((v \setminus t) \# (V' * \setminus^* [t \setminus v])) * \setminus^* [u \setminus t]$ 
  using Con-TU Con-VT Con-sym Resid-rec(1–2) T T' U U' V V' by metis
  also have 1:  $\dots = ((v \setminus t) \setminus (u \setminus t)) \#$ 
     $(V' * \setminus^* [t \setminus v]) * \setminus^* ([u \setminus v] * \setminus^* [t \setminus v])$ 
  apply simp
  by (metis Con Con-VT Con-rec(2) R.conE R.conI R.con-sym R(cube)
```

$\text{Resid-rec}(2) \ T \ T' \ V \ V' \ \text{calculation}(1)$
also have ... = $((v \setminus t) \setminus (u \setminus t)) \#$
 $((V' * \setminus^* [u \setminus v]) * \setminus^* ([t \setminus v] * \setminus^* [u \setminus v]))$
proof –
have $\text{length } [t \setminus v] + \text{length } [u \setminus v] + \text{length } V' \leq n$
using $T \ U \ V \ \text{len}$ **by** *fastforce*
moreover have $u \setminus v \sim t \setminus v$
by (*metis 1 Con-VT Con-rec(2) R.con-sym-ax T T' V V' list.discI R.conE R.conI R(cube)*)
moreover have $t \setminus v \sim u \setminus v$
using *R.con-sym calculation(2)* **by** *blast*
ultimately show ?thesis
by (*metis Con-VT Con-rec(2) T T' V V' Con-rec(1) ind*)
qed
also have ... = $((v \setminus t) \setminus (u \setminus t)) \#$
 $((V' * \setminus^* [u \setminus v]) * \setminus^* ([t \setminus u] * \setminus^* [v \setminus u]))$
using *R(cube)* **by** *fastforce*
also have ... = $((v \setminus u) \setminus (t \setminus u)) \#$
 $((V' * \setminus^* [u \setminus v]) * \setminus^* ([t \setminus u] * \setminus^* [v \setminus u]))$
by (*metis R(cube)*)
also have ... = $(V * \setminus^* U) * \setminus^* (T * \setminus^* U)$
proof –
have $(V * \setminus^* U) * \setminus^* (T * \setminus^* U) = ((v \setminus u) \# ((V' * \setminus^* [u \setminus v]))) * \setminus^* [t \setminus u]$
using $T \ T' \ U \ U' \ V \ \text{Resid-cons}(1)$ [*of* $[u] \ v \ V$]
by (*metis * Con Con-TU Resid.simps(1) Resid-rec(1) Resid-rec(2)*)
also have ... = $((v \setminus u) \setminus (t \setminus u)) \#$
 $((V' * \setminus^* [u \setminus v]) * \setminus^* ([t \setminus u] * \setminus^* [v \setminus u]))$
by (*metis * Con Con-initial-left calculation Con-sym Resid.simps(1) Resid-rec(1-2)*)
finally show ?thesis **by** *simp*
qed
finally show ?thesis **by** *simp*
qed
qed
next
assume $T': T' \neq []$
show ?thesis
proof (*intro conjI impI*)
show *: $V * \setminus^* T * \sim^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \sim^* T * \setminus^* U$
proof –
have $V * \setminus^* T * \sim^* U * \setminus^* T \longleftrightarrow (V * \setminus^* [t]) * \setminus^* T' * \sim^* [u \setminus t] * \setminus^* T'$
using *Con-TU Con-VT Con-sym Resid-cons(2) Resid-rec(3) T T' U U'*
by *force*
also have ... $\longleftrightarrow (V * \setminus^* [t]) * \setminus^* [u \setminus t] * \sim^* T' * \setminus^* [u \setminus t]$
proof –
have $\text{length } [u \setminus t] + \text{length } T' + \text{length } (V * \setminus^* [t]) \leq n$
using *Con-VT Con-initial-right T U length-Resid len* **by** *fastforce*
thus ?thesis
by (*metis Con-TU Con-VT Con-rec(2) T T' U V add.commute Con-cons(2)*)

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ind list.discI)

qed
also have ...  $\longleftrightarrow$  ( $V * \setminus^* [u]$ ) * $\setminus^*$   $[t \setminus u] * \sim^* T' * \setminus^* [u \setminus t]$ 
proof -
  have length  $[t] + \text{length } [u] + \text{length } V \leq n$ 
  using  $T T' U \text{ le-Suc-eq len by fastforce}$ 
  hence  $(V * \setminus^* [t]) * \setminus^* ([u] * \setminus^* [t]) = (V * \setminus^* [u]) * \setminus^* ([t] * \setminus^* [u])$ 
  using ind [of  $[t]$   $[u]$   $V$ ]
  by (metis Con-TU Con-VT Con-initial-left Con-initial-right  $T U$ )
  thus ?thesis
  by (metis (full-types) Con-TU Con-initial-left Con-sym Resid-rec(1)  $T U$ )
qed
also have ...  $\longleftrightarrow V * \setminus^* U * \sim^* T * \setminus^* U$ 
  by (metis Con-TU Con-cons(2) Con-rec(2) Resid.simps(1) Resid-rec(2)
       $T T' U U'$ )
  finally show ?thesis by simp
qed
show  $V * \setminus^* T * \sim^* U * \setminus^* T \implies$ 
   $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U)$ 
proof -
  assume Con:  $V * \setminus^* T * \sim^* U * \setminus^* T$ 
  have  $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = ((V * \setminus^* [t]) * \setminus^* T') * \setminus^* ([u \setminus t] * \setminus^* T')$ 
  using Con-TU Con-VT Con-sym Resid-cons(2) Resid-rec(3)  $T T' U U'$ 
  by force
  also have ... =  $((V * \setminus^* [t]) * \setminus^* [u \setminus t]) * \setminus^* (T' * \setminus^* [u \setminus t])$ 
  proof -
    have length  $[u \setminus t] + \text{length } T' + \text{length } (\text{Resid } V [t]) \leq n$ 
    using Con-VT Con-initial-right  $T U \text{ length-Resid len by fastforce}$ 
    thus ?thesis
    by (metis Con-TU Con-VT Con-cons(2) Con-rec(2)  $T T' U V \text{ add.commute}$ 
        ind list.discI)
  qed
  also have ... =  $((V * \setminus^* [u]) * \setminus^* [t \setminus u]) * \setminus^* (T' * \setminus^* [u \setminus t])$ 
  proof -
    have length  $[t] + \text{length } [u] + \text{length } V \leq n$ 
    using  $T T' U \text{ le-Suc-eq len by fastforce}$ 
    thus ?thesis
    using ind [of  $[t]$   $[u]$   $V$ ]
    by (metis Con-TU Con-VT Con-initial-left Con-sym Resid-rec(1)  $T U$ )
  qed
  also have ... =  $(V * \setminus^* U) * \setminus^* (T * \setminus^* U)$ 
  using * Con Con-TU Con-rec(2) Resid-cons(2) Resid-rec(2)  $T T' U U'$ 
  by auto
  finally show ?thesis by simp
qed
qed
qed
next
assume  $U': U' \neq []$ 

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show ?thesis
proof (cases T' = [])
  assume T': T' = []
  show ?thesis
  proof (intro conjI impI)
    show *: V *` T *` U *` T  $\longleftrightarrow$  V *` U *` T *` U
    proof -
      have V *` T *` U *` T  $\longleftrightarrow$  V *` [t] *` (u \ t) # (U' *` [t \ u])
      using T U V T' U' V' Con-TU Con-VT Con-sym Resid-rec(2) by auto
      also have ...  $\longleftrightarrow$  V *` [t] *` [u \ t]  $\wedge$ 
        (V *` [t]) *` [u \ t] *` U' *` [t \ u]
      by (metis Con-TU Con-VT Con-cons(2) Con-initial-right
          Con-rec(2) Con-sym T U U')
      also have ...  $\longleftrightarrow$  V *` [t] *` [u \ t]  $\wedge$ 
        (V *` [u]) *` [t \ u] *` U' *` [t \ u]
    proof -
      have length [u] + length [t] + length V  $\leq$  n
      using T U V T' U' V' len not-less-eq-eq order-trans by fastforce
      thus ?thesis
        using ind [of [t] [u] V]
        by (metis Con-TU Con-VT Con-initial-right Resid-rec(1) T U
            Con-sym length-Cons)
    qed
    also have ...  $\longleftrightarrow$  V *` [u] *` [t \ u]  $\wedge$ 
      (V *` [u]) *` [t \ u] *` U' *` [t \ u]
  proof -
    have length [t] + length [u] + length V  $\leq$  n
    using T U V T' U' V' len antisym-conv not-less-eq-eq by fastforce
    thus ?thesis
      using ind [of [t]]
      by (metis (full-types) Con-TU Con-VT Con-initial-right Con-sym
          Resid-rec(1) T U)
    qed
    also have ...  $\longleftrightarrow$  (V *` [u]) *` U' *` [t \ u] *` U'
  proof -
    have length [t \ u] + length U' + length (V *` [u])  $\leq$  n
    by (metis T T' U add.assoc add.right-neutral add-leD1
        add-le-cancel-left length-Resid len length-Cons list.size(3)
        plus-1-eq-Suc)
    thus ?thesis
      by (metis (no-types, opaque-lifting) Con-sym Resid.simps(1)
          add.commute ind)
    qed
    also have ...  $\longleftrightarrow$  V *` U *` T *` U
    by (metis Con-TU Resid-cons(2) Resid-rec(3) T T' U U'
        Con-cons(2) length-Resid length-0-conv)
    finally show ?thesis by blast
  qed
  show V *` T *` U *` T  $\Longrightarrow$ 

```

$(V * \setminus T) * \setminus (U * \setminus T) = (V * \setminus U) * \setminus (T * \setminus U)$

proof –

assume $Con: V * \setminus T * \sim U * \setminus T$

have $(V * \setminus T) * \setminus (U * \setminus T) =$
 $(V * \setminus [t]) * \setminus ((u \setminus t) \# (U' * \setminus [t \setminus u]))$

using $Con-TU Con-sym Resid-rec(2) T T' U U'$ by auto

also have ... = $((V * \setminus [t]) * \setminus [u \setminus t]) * \setminus (U' * \setminus [t \setminus u])$
by (metis $Con Con-TU Con-rec(2) Con-sym T T' U U'$ calculation
Resid-cons(2))

also have ... = $((V * \setminus [u]) * \setminus [t \setminus u]) * \setminus (U' * \setminus [t \setminus u])$

proof –

have $length [t] + length [u] + length V \leq n$

using $T U U'$ le-Suc-eq len by fastforce

thus ?thesis

using $T U Con-TU Con-VT Con-sym ind [of [t] [u] V]$
by (metis (no-types, opaque-lifting) Con-initial-right Resid.simps(3))

qed

also have ... = $((V * \setminus [u]) * \setminus U') * \setminus ([t \setminus u] * \setminus U')$

proof –

have $length [t \setminus u] + length U' + length (V * \setminus [u]) \leq n$
by (metis (no-types, opaque-lifting) T T' U add.left-commute
add.right-neutral add-leD2 add-le-cancel-left len length-Cons
length-Resid list.size(3) plus-1-eq-Suc)

thus ?thesis

by (metis $Con Con-TU Con-rec(3) T T' U U'$ calculation
ind length-0-conv length-Resid)

qed

also have ... = $(V * \setminus U) * \setminus (T * \setminus U)$
by (metis * $Con Con-TU Resid-rec(3) T T' U U'$ Resid-cons(2)
length-Resid length-0-conv)

finally show ?thesis by blast

qed

qed

next

assume $T': T' \neq []$

show ?thesis

proof (intro conjI impI)

have 1: $U * \sim [t]$
using $T Con-TU$
by (metis Con-cons(2) Con-sym Resid.simps(2))

have 2: $V * \sim [t]$
using $V Con-VT Con-initial-right T$ by blast

have 3: $length T' + length (U * \setminus [t]) + length (V * \setminus [t]) \leq n$
using 1 2 T len length-Resid by force

have 4: $length [t] + length U + length V \leq n$
using $T T' len antisym-conv not-less-eq-eq$ by fastforce

show *: $V * \setminus T * \sim U * \setminus T \longleftrightarrow V * \setminus U * \sim T * \setminus U$

proof –

have $V * \setminus T * \sim U * \setminus T \longleftrightarrow (V * \setminus [t]) * \setminus T' * \sim (U * \setminus [t]) * \setminus T'$

lemma *Cube*:

shows $T^* \setminus^* U^* \frown^* V^* \setminus^* U \longleftrightarrow T^* \setminus^* V^* \frown^* U^* \setminus^* V$

$$\text{and } T^* \setminus^* U^* \sim^* V^* \setminus^* U \implies (T^* \setminus^* U)^* \setminus^* (V^* \setminus^* U) = (T^* \setminus^* V)^* \setminus^* (U^* \setminus^* V)$$

proof –

show $T^* \backslash^* U^* \curvearrowright^* V^* \backslash^* U \longleftrightarrow T^* \backslash^* V^* \curvearrowright^* U^* \backslash^* V$

using *Cube-ind* by (*metis Con-sym Resid.simps(1) le-add2*)

show $T * \setminus^* U * \setminus^* V * \setminus^* U \Rightarrow (T * \setminus^* U) * \setminus^* (V * \setminus^* U) = (T * \setminus^* V) * \setminus^* (U * \setminus^* V)$

using *Cube-ind* by (metis *Con-sym Resid.simps(1) order-refl*)

```

qed

lemma Con-implies-Arr:
assumes T *¬* U
shows Arr T and Arr U
  using assms Con-sym
  by (metis Con-imp-Arr-Resid Arr-iff-Con-self Cube(1) Resid.simps(1))+

sublocale partial-magma Resid
  by (unfold-locales, metis Resid.simps(1) Con-sym)

lemma is-partial-magma:
shows partial-magma Resid
..

lemma null-char:
shows null = []
  by (metis null-is-zero(2) Resid.simps(1))

sublocale residuation Resid
  using null-char Con-sym Arr-iff-Con-self Con-imp-Arr-Resid Cube null-is-zero(2)
  by unfold-locales auto

lemma is-residuation:
shows residuation Resid
..

lemma arr-char:
shows arr T ←→ Arr T
  using null-char Arr-iff-Con-self by fastforce

lemma arrIP [intro]:
assumes Arr T
shows arr T
  using assms arr-char by auto

lemma ide-char:
shows ide T ←→ Ide T
  by (metis Con-Arr-self Ide-implies-Arr Resid-Arr-Ide-ind Resid-Arr-self arr-char ide-def
arr-def)

lemma con-char:
shows con T U ←→ Con T U
  using null-char by auto

lemma conIP [intro]:
assumes Con T U
shows con T U
  using assms con-char by auto

```

```

sublocale rts Resid
proof
  show  $\bigwedge A T. \llbracket \text{ide } A; \text{con } T A \rrbracket \implies T * \setminus^* A = T$ 
    using Resid-Arr-Ide-ind ide-char null-char by auto
  show  $\bigwedge T. \text{arr } T \implies \text{ide } (\text{trg } T)$ 
    by (metis arr-char Resid-Arr-self ide-char resid-arr-self)
  show  $\bigwedge A T. \llbracket \text{ide } A; \text{con } A T \rrbracket \implies \text{ide } (A * \setminus^* T)$ 
    by (simp add: Resid-Ide-Arr-ind con-char ide-char)
  show  $\bigwedge T U. \text{con } T U \implies \exists A. \text{ide } A \wedge \text{con } A T \wedge \text{con } A U$ 
  proof -
    fix T U
    assume TU:  $\text{con } T U$ 
    have 1:  $\text{Srcs } T = \text{Srcs } U$ 
      using TU Con-imp-eq-Srcs con-char by force
    obtain a where a:  $a \in \text{Srcs } T \cap \text{Srcs } U$ 
      using 1
      by (metis Int-absorb Int-emptyI TU arr-char Arr-has-Src con-implies-arr(1))
    show  $\exists A. \text{ide } A \wedge \text{con } A T \wedge \text{con } A U$ 
      using a 1
      by (metis (full-types) Ball-Collect Con-single-ide-ind Ide.simps(2) Int-absorb TU
          Srcs-are-ide arr-char con-char con-implies-arr(1-2) ide-char)
  qed
  show  $\bigwedge T U V. \llbracket \text{ide } (\text{Resid } T U); \text{con } U V \rrbracket \implies \text{con } (T * \setminus^* U) (V * \setminus^* U)$ 
    using null-char ide-char
    by (metis Con-imp-Arr-Resid Con-Ide-iff Srcs-Resid con-char con-sym arr-resid-iff-con
        ide-implies-arr)
qed

theorem is-rts:
shows rts Resid
..

notation cong (infix  $*\sim*$  50)
notation prfx (infix  $*\lesssim*$  50)

lemma sources-charP:
shows sources T = {A. Ide A  $\wedge$  Arr T  $\wedge$  Srcs A = Srcs T}
  using Con-Ide-iff Con-sym con-char ide-char sources-def by fastforce

lemma sources-cons:
shows Arr (t # T)  $\implies$  sources (t # T) = sources [t]
  apply (induct T)
  apply simp
  using sources-charP by auto

lemma targets-charP:
shows targets T = {B. Ide B  $\wedge$  Arr T  $\wedge$  Srcs B = Trgs T}
  unfolding targets-def

```

by (metis (no-types, lifting) trg-def Arr.simps(1) Ide-implies-Arr Resid-Arr-self arr-char Con-Ide-iff Srcs-Resid con-char ide-char con-implies-arr(1))

```

lemma seq-char':
shows seq T U  $\longleftrightarrow$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
proof
  show seq T U  $\implies$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
  unfolding seq-def
  using Arr-has-Trg arr-char Con-Arr-self sources-charP trg-def trg-in-targets
  by fastforce
  assume 1: Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
  have targets T = sources U
  proof -
    obtain a where a: R.ide a  $\wedge$  a  $\in$  Trgs T  $\wedge$  a  $\in$  Srcs U
    using 1 Trgs-are-ide by blast
    have Trgs [a] = Trgs T
    using a 1
    by (metis Con-single-ide-ind Con-sym Resid-Arr-Src Srcs-Resid Trgs-eqI)
    moreover have Srcs [a] = Srcs U
    using a 1 Con-single-ide-ind Con-imp-eq-Srcs by blast
    moreover have Trgs [a] = Srcs [a]
    using a
    by (metis R.residuation-axioms R.sources-resid Srcs.simps(2) Trgs.simps(2)
      residuation.ideE)
    ultimately show ?thesis
    using 1 sources-charP targets-charP by auto
  qed
  thus seq T U
    using 1 by blast
  qed

lemma seq-char:
shows seq T U  $\longleftrightarrow$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T = Srcs U
  by (metis Int-absorb Srcs-Resid Arr-has-Src Arr-iff-Con-self Srcs-eqI seq-char')

lemma seqIP [intro]:
assumes Arr T and Arr U and Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
shows seq T U
  using assms seq-char' by auto

lemma Ide-imp-sources-eq-targets:
assumes Ide T
shows sources T = targets T
  using assms
  by (metis Resid-Arr-Ide-ind arr-iff-has-source arr-iff-has-target con-char
    arr-def sources-resid)

```

2.4.2 Inclusion Map

Inclusion of an RTS to the RTS of its paths.

```

abbreviation incl
where incl ≡ λt. if R.arr t then [t] else null

lemma incl-is-simulation:
shows simulation resid Resid incl
  using R.con-implies-arr(1–2) con-char R.arr-resid-iff-con null-char
  by unfold-locales auto

lemma incl-is-injective:
shows inj-on incl (Collect R.arr)
  by (intro inj-onI) simp

lemma reflects-con:
assumes incl t *¬* incl u
shows t ∼ u
  using assms
  by (metis (full-types) Arr.simps(1) Con-implies-Arr(1–2) Con-rec(1) null-char)

end

```

2.4.3 Composites of Paths

The RTS of paths has composites, given by the append operation on lists.

```

context paths-in-rts
begin

lemma Srcs-append [simp]:
assumes T ≠ []
shows Srcs (T @ U) = Srcs T
  by (metis Nil-is-append-conv Srcs.simps(2) Srcs.simps(3) assms hd-append list.exhaustsel)

lemma Trgs-append [simp]:
shows U ≠ [] ==> Trgs (T @ U) = Trgs U
proof (induct T)
  show U ≠ [] ==> Trgs ([] @ U) = Trgs U
    by auto
  show ⋀t T. [|U ≠ [] ==> Trgs (T @ U) = Trgs U; U ≠ []|]
    ==> Trgs ((t # T) @ U) = Trgs U
    by (metis Nil-is-append-conv Trgs.simps(3) append-Cons list.exhaust)
qed

lemma seq-implies-Trgs-eq-Srcs:
shows [|Arr T; Arr U; Trgs T ⊆ Srcs U|] ==> Trgs T = Srcs U
  by (metis inf.orderE Arr-has-Trg seqIP seq-char)

lemma Arr-append-iff_P:

```

```

shows  $\llbracket T \neq []; U \neq [] \rrbracket \implies \text{Arr}(T @ U) \longleftrightarrow \text{Arr} T \wedge \text{Arr} U \wedge \text{Trgs } T \subseteq \text{Srcs } U$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. \llbracket [] \neq []; U \neq [] \rrbracket \implies \text{Arr} ([] @ U) = (\text{Arr} [] \wedge \text{Arr} U \wedge \text{Trgs } [] \subseteq \text{Srcs } U)$ 
    by simp
  fix t T and U :: 'a list
  assume ind:  $\bigwedge U. \llbracket T \neq []; U \neq [] \rrbracket$ 
     $\implies \text{Arr}(T @ U) = (\text{Arr} T \wedge \text{Arr} U \wedge \text{Trgs } T \subseteq \text{Srcs } U)$ 
  assume U:  $U \neq []$ 
  show  $\text{Arr}((t \# T) @ U) \longleftrightarrow \text{Arr}(t \# T) \wedge \text{Arr} U \wedge \text{Trgs}(t \# T) \subseteq \text{Srcs } U$ 
  proof (cases T = [])
    show  $T = [] \implies ?\text{thesis}$ 
      using Arr.elims(1) U by auto
    assume T:  $T \neq []$ 
    have  $\text{Arr}((t \# T) @ U) \longleftrightarrow \text{Arr}(t \# (T @ U))$ 
      by simp
    also have ...  $\longleftrightarrow R.\text{arr } t \wedge \text{Arr}(T @ U) \wedge R.\text{targets } t \subseteq \text{Srcs}(T @ U)$ 
      using T U
      by (metis Arr.simps(3) Nil-is-append-conv neq-Nil-conv)
    also have ...  $\longleftrightarrow R.\text{arr } t \wedge \text{Arr } T \wedge \text{Arr } U \wedge \text{Trgs } T \subseteq \text{Srcs } U \wedge R.\text{targets } t \subseteq \text{Srcs } T$ 
      using T U ind by auto
    also have ...  $\longleftrightarrow \text{Arr}(t \# T) \wedge \text{Arr } U \wedge \text{Trgs}(t \# T) \subseteq \text{Srcs } U$ 
      using T U
      by (metis Arr.simps(3) Trgs.simps(3) neq-Nil-conv)
    finally show ?thesis by auto
  qed
qed

lemma Arr-consIP [intro, simp]:
assumes R.arr t and Arr U and R.targets t ⊆ Srcs U
shows Arr(t # U)
using assms Arr.elims(3) by blast

lemma Arr-appendIP [intro, simp]:
assumes Arr T and Arr U and Trgs T ⊆ Srcs U
shows Arr(T @ U)
using assms
by (metis Arr.simps(1) Arr-append-iff_P)

lemma Arr-appendEP [elim]:
assumes Arr(T @ U) and T ≠ [] and U ≠ []
and  $\llbracket \text{Arr } T; \text{Arr } U; \text{Trgs } T = \text{Srcs } U \rrbracket \implies \text{thesis}$ 
shows thesis
using assms Arr-append-iff_P seq-implies-Trgs-eq-Srcs by force

lemma Ide-append-iff_P:
shows  $\llbracket T \neq []; U \neq [] \rrbracket \implies \text{Ide}(T @ U) \longleftrightarrow \text{Ide } T \wedge \text{Ide } U \wedge \text{Trgs } T \subseteq \text{Srcs } U$ 
using Ide-char by auto

lemma Ide-appendIP [intro, simp]:

```

assumes *Ide T and Ide U and Trgs T ⊆ Srcs U*
shows *Ide (T @ U)*
using *assms*
by (*metis Ide.simps(1) Ide-append-iff P*)

lemma *Resid-append-ind:*

shows $\llbracket T \neq []; U \neq []; V \neq [] \rrbracket \implies$
 $(V @ T * \sim^* U \longleftrightarrow V * \sim^* U \wedge T * \sim^* U * \setminus^* V) \wedge$
 $(T * \sim^* V @ U \longleftrightarrow T * \sim^* V \wedge T * \setminus^* V * \sim^* U) \wedge$
 $(V @ T * \sim^* U \longrightarrow (V @ T) * \setminus^* U = V * \setminus^* U @ T * \setminus^* (U * \setminus^* V)) \wedge$
 $(T * \sim^* V @ U \longrightarrow T * \setminus^* (V @ U) = (T * \setminus^* V) * \setminus^* U)$

proof (*induct V arbitrary: T U*)

show $\bigwedge T U. \llbracket T \neq []; U \neq []; [] \neq [] \rrbracket \implies$
 $([] @ T * \sim^* U \longleftrightarrow [] * \sim^* U \wedge T * \sim^* U * \setminus^* []) \wedge$
 $(T * \sim^* [] @ U \longleftrightarrow T * \sim^* [] \wedge T * \setminus^* [] * \sim^* U) \wedge$
 $([] @ T * \sim^* U \longrightarrow ([] @ T) * \setminus^* U = [] * \setminus^* U @ T * \setminus^* (U * \setminus^* [])) \wedge$
 $(T * \sim^* [] @ U \longrightarrow T * \setminus^* ([] @ U) = (T * \setminus^* []) * \setminus^* U)$

by *simp*

fix *v :: 'a and T U V :: 'a list*

assume *ind: $\bigwedge T U. \llbracket T \neq []; U \neq []; V \neq [] \rrbracket \implies$*

$(V @ T * \sim^* U \longleftrightarrow V * \sim^* U \wedge T * \sim^* U * \setminus^* V) \wedge$
 $(T * \sim^* V @ U \longleftrightarrow T * \sim^* V \wedge T * \setminus^* V * \sim^* U) \wedge$
 $(V @ T * \sim^* U \longrightarrow (V @ T) * \setminus^* U = V * \setminus^* U @ T * \setminus^* (U * \setminus^* V)) \wedge$
 $(T * \sim^* V @ U \longrightarrow T * \setminus^* (V @ U) = (T * \setminus^* V) * \setminus^* U)$

assume *T: T ≠ [] and U: U ≠ []*

show $((v \# V) @ T * \sim^* U \longleftrightarrow (v \# V) * \sim^* U \wedge T * \sim^* U * \setminus^* (v \# V)) \wedge$
 $(T * \sim^* (v \# V) @ U \longleftrightarrow T * \sim^* (v \# V) \wedge T * \setminus^* (v \# V) * \sim^* U) \wedge$
 $((v \# V) @ T * \sim^* U \longrightarrow ((v \# V) @ T) * \setminus^* U = (v \# V) * \setminus^* U @ T * \setminus^* (U * \setminus^* (v \# V))) \wedge$
 $(T * \sim^* (v \# V) @ U \longrightarrow T * \setminus^* ((v \# V) @ U) = (T * \setminus^* (v \# V)) * \setminus^* U)$

proof (*intro conjI iffI impI*)

show 1: $(v \# V) @ T * \sim^* U \implies$
 $((v \# V) @ T) * \setminus^* U = (v \# V) * \setminus^* U @ T * \setminus^* (U * \setminus^* (v \# V))$

proof (*cases V = []*)

show *V = [] \implies (v # V) @ T * \sim^* U \implies ?thesis*
using *T U Resid-cons(1) U by auto*

assume *V: V ≠ []*
assume *Con: (v # V) @ T * \sim^* U*
have $((v \# V) @ T) * \setminus^* U = (v \# (V @ T)) * \setminus^* U$
by *simp*

also have ... = $[v] * \setminus^* U @ (V @ T) * \setminus^* (U * \setminus^* [v])$
using *T U Con Resid-cons by simp*

also have ... = $[v] * \setminus^* U @ V * \setminus^* (U * \setminus^* [v]) @ T * \setminus^* ((U * \setminus^* [v]) * \setminus^* V)$
using *T U V Con ind Resid-cons*
by (*metis Con-sym Cons-eq-appendI append-is-Nil-conv Con-cons(1)*)

also have ... = $(v \# V) * \setminus^* U @ T * \setminus^* (U * \setminus^* (v \# V))$
using *ind[of T]*

by (*metis Con Con-cons(2) Cons-eq-appendI Resid-cons(1) Resid-cons(2) T U V append.assoc append-is-Nil-conv Con-sym*)

```

finally show ?thesis by simp
qed
show 2:  $T * \sim^* (v \# V) @ U \implies T * \setminus^* ((v \# V) @ U) = (T * \setminus^* (v \# V)) * \setminus^* U$ 
proof (cases  $V = []$ )
  show  $V = [] \implies T * \sim^* (v \# V) @ U \implies ?thesis$ 
    using Resid-cons(2) T U by auto
  assume  $V \neq []$ 
  assume Con:  $T * \sim^* (v \# V) @ U$ 
  have  $T * \setminus^* ((v \# V) @ U) = T * \setminus^* (v \# (V @ U))$ 
    by simp
  also have 1: ... =  $(T * \setminus^* [v]) * \setminus^* (V @ U)$ 
    using V Con Resid-cons(2) T by force
  also have ... =  $((T * \setminus^* [v]) * \setminus^* V) * \setminus^* U$ 
    using T U V 1 Con ind
    by (metis Con-initial-right Cons-eq-appendI)
  also have ... =  $(T * \setminus^* (v \# V)) * \setminus^* U$ 
    using T V Con
    by (metis Con-cons(2) Con-initial-right Cons-eq-appendI Resid-cons(2))
  finally show ?thesis by blast
qed
show  $(v \# V) @ T * \sim^* U \implies v \# V * \sim^* U$ 
  by (metis 1 Con-sym Resid.simps(1) append-Nil)
show  $(v \# V) @ T * \sim^* U \implies T * \sim^* U * \setminus^* (v \# V)$ 
  using T U Con-sym
  by (metis 1 Con-initial-right Resid-cons(1-2) append.simps(2) ind self-append-conv)
show  $T * \sim^* (v \# V) @ U \implies T * \sim^* v \# V$ 
  using 2 by fastforce
show  $T * \sim^* (v \# V) @ U \implies T * \setminus^* (v \# V) * \sim^* U$ 
  using 2 by fastforce
show  $T * \sim^* v \# V \wedge T * \setminus^* (v \# V) * \sim^* U \implies T * \sim^* (v \# V) @ U$ 
proof -
  assume Con:  $T * \sim^* v \# V \wedge T * \setminus^* (v \# V) * \sim^* U$ 
  have  $T * \sim^* (v \# V) @ U \longleftrightarrow T * \sim^* v \# (V @ U)$ 
    by simp
  also have ...  $\longleftrightarrow T * \sim^* [v] \wedge T * \setminus^* [v] * \sim^* V @ U$ 
    using T U Con-cons(2) by simp
  also have ...  $\longleftrightarrow T * \setminus^* [v] * \sim^* V @ U$ 
    by fastforce
  also have ...  $\longleftrightarrow True$ 
    using Con ind
    by (metis Con-cons(2) Resid-cons(2) T U self-append-conv2)
  finally show ?thesis by blast
qed
show  $v \# V * \sim^* U \wedge T * \sim^* U * \setminus^* (v \# V) \implies (v \# V) @ T * \sim^* U$ 
proof -
  assume Con:  $v \# V * \sim^* U \wedge T * \sim^* U * \setminus^* (v \# V)$ 
  have  $(v \# V) @ T * \sim^* U \longleftrightarrow v \# (V @ T) * \sim^* U$ 
    by simp
  also have ...  $\longleftrightarrow [v] * \sim^* U \wedge V @ T * \sim^* U * \setminus^* [v]$ 

```

```

using T U Con-cons(1) by simp
also have ...  $\longleftrightarrow$  V @ T * $\frown$ * U * $\setminus$ * [v]
  by (metis Con Cons(1) U)
also have ...  $\longleftrightarrow$  True
  using Con ind
  by (metis Con-cons(1) Con-sym Resid-cons(2) T U append-self-conv2)
finally show ?thesis by blast
qed
qed
qed

lemma Con-append:
assumes T  $\neq$  [] and U  $\neq$  [] and V  $\neq$  []
shows T @ U * $\frown$ * V  $\longleftrightarrow$  T * $\frown$ * V  $\wedge$  U * $\frown$ * V * $\setminus$ * T
and T * $\frown$ * U @ V  $\longleftrightarrow$  T * $\frown$ * U  $\wedge$  T * $\setminus$ * U * $\frown$ * V
  using assms Resid-append-ind by blast+

lemma Con-appendI [intro]:
shows [[T * $\frown$ * V; U * $\frown$ * V * $\setminus$ * T]]  $\Longrightarrow$  T @ U * $\frown$ * V
and [[T * $\frown$ * U; T * $\setminus$ * U * $\frown$ * V]]  $\Longrightarrow$  T * $\frown$ * U @ V
  by (metis Con-append(1) Con-sym Resid.simps(1))+

lemma Resid-append [intro, simp]:
shows [[T  $\neq$  []; T @ U * $\frown$ * V]]  $\Longrightarrow$  (T @ U) * $\setminus$ * V = (T * $\setminus$ * V) @ (U * $\setminus$ * (V * $\setminus$ * T))
and [[U  $\neq$  []; V  $\neq$  []; T * $\frown$ * U @ V]]  $\Longrightarrow$  T * $\setminus$ * (U @ V) = (T * $\setminus$ * U) * $\setminus$ * V
  using Resid-append-ind
  apply (metis Con-sym Resid.simps(1) append-self-conv)
  using Resid-append-ind
  by (metis Resid.simps(1))

lemma Resid-append2 [simp]:
assumes T  $\neq$  [] and U  $\neq$  [] and V  $\neq$  [] and W  $\neq$  []
and T @ U * $\frown$ * V @ W
shows (T @ U) * $\setminus$ * (V @ W) =
  (T * $\setminus$ * V) * $\setminus$ * W @ (U * $\setminus$ * (V * $\setminus$ * T)) * $\setminus$ * (W * $\setminus$ * (T * $\setminus$ * V))
  using assms Resid-append
  by (metis Con-append(1-2) append-is-Nil-conv)

lemma append-is-composite-of:
assumes seq T U
shows composite-of T U (T @ U)
  unfolding composite-of-def
  using assms
apply (intro conjI)
  apply (metis Arr.simps(1) Resid-Arr-self Resid-Ide-Arr-ind Arr-appendIP
    Resid-append-ind ide-char order-refl seq-char)
  apply (metis Arr.simps(1) Arr-appendIP Con-Arr-self Resid-Arr-self Resid-append-ind
    ide-char seq-char order-refl)
  by (metis Arr.simps(1) Con-Arr-self Con-append(1) Resid-Arr-self Arr-appendIP
    Resid-Arr-self Resid-append-ind)

```

Ide-append-iff_P Resid-append(1) ide-char seq-char order-refl)

sublocale rts-with-composites Resid
using append-is-composite-of composable-def by unfold-locales blast

theorem is-rts-with-composites:
shows rts-with-composites Resid
..

lemma arr-append [intro, simp]:
assumes seq T U
shows arr (T @ U)
using assms arrI_P seq-char by simp

lemma arr-append-imp-seq:
assumes T ≠ [] and U ≠ [] and arr (T @ U)
shows seq T U
using assms arr-char seq-char Arr-append-iff_P seq-implies-Trgs-eq-Srcs by simp

lemma sources-append [simp]:
assumes seq T U
shows sources (T @ U) = sources T
using assms
by (meson append-is-composite-of sources-composite-of)

lemma targets-append [simp]:
assumes seq T U
shows targets (T @ U) = targets U
using assms
by (meson append-is-composite-of targets-composite-of)

lemma cong-respects-seq_P:
assumes seq T U and T *~* T' and U *~* U'
shows seq T' U'
by (meson assms cong-respects-seq)

lemma cong-append [intro]:
assumes seq T U and T *~* T' and U *~* U'
shows T @ U *~* T' @ U'
proof
have 1: $\bigwedge T U T' U'. \llbracket \text{seq } T U; T *~* T'; U *~* U' \rrbracket \implies \text{seq } T' U'$
using assms cong-respects-seq_P by simp
have 2: $\bigwedge T U T' U'. \llbracket \text{seq } T U; T *~* T'; U *~* U' \rrbracket \implies T @ U *~* T' @ U'$
proof –
fix T U T' U'
assume TU: seq T U and TT': T *~* T' and UU': U *~* U'
have T'U': seq T' U'
using TU TT' UU' cong-respects-seq_P by simp

```

have 3: Ide (T *` T') ∧ Ide (T' *` T) ∧ Ide (U *` U') ∧ Ide (U' *` U)
  using TU TT' UU' ide-char by blast
have (T @ U) *` (T' @ U') =
  ((T *` T') *` U') @ U *` ((T' *` T) @ U' *` (T *` T'))
proof -
  have 4: T ≠ [] ∧ U ≠ [] ∧ T' ≠ [] ∧ U' ≠ []
    using TU TT' UU' Arr.simps(1) seq-char ide-char by auto
  moreover have (T @ U) *` (T' @ U') ≠ []
    proof (intro Con-appendI)
      show T *` T' ≠ []
        using 3 by force
      show (T *` T') *` U' ≠ []
        using 3 T'U' ` T *` T' ≠ [] Con-Ide-iff seq-char by fastforce
      show U *` ((T' @ U') *` T) ≠ []
      proof -
        have U *` ((T' @ U') *` T) = U *` ((T' *` T) @ U' *` (T *` T'))
          by (metis Con-appendI(1) Resid-append(1) ` (T *` T') *` U' ≠ [] ` T *` T' ≠ [] calculation Con-sym)
        also have ... = (U *` (T' *` T)) *` (U' *` (T *` T))
          by (metis Arr.simps(1) Con-append(2) Resid-append(2) ` (T *` T') *` U' ≠ [] Con-implies-Arr(1) Con-sym)
        also have ... = U *` U'
          by (metis (mono-tags, lifting) 3 Ide.simps(1) Resid-Ide(1) Srcs-Resid TU ` (T *` T') *` U' ≠ [] Con-Ide-iff seq-char)
        finally show ?thesis
          using 3 UU' by force
      qed
    qed
    ultimately show ?thesis
    using Resid-append2 [of T U T' U'] seq-char
    by (metis Con-append(2) Con-sym Resid-append(2) Resid.simps(1))
qed
moreover have Ide ...
proof
  have 3: Ide (T *` T') ∧ Ide (T' *` T) ∧ Ide (U *` U') ∧ Ide (U' *` U)
    using TU TT' UU' ide-char by blast
  show 4: Ide ((T *` T') *` U')
    using TU T'U' TT' UU' 1 3
    by (metis (full-types) Srcs-Resid Con-Ide-iff Resid-Ide-Arr-ind seq-char)
  show 5: Ide (U *` ((T' *` T) @ U' *` (T *` T')))
  proof -
    have U *` (T' *` T) = U
      by (metis (full-types) 3 TT' TU Con-Ide-iff Resid-Ide(1) Srcs-Resid con-char seq-char prfx-implies-con)
    moreover have U' *` (T *` T') = U'
      by (metis 3 4 Ide.simps(1) Resid-Ide(1))
    ultimately show ?thesis
    by (metis 3 4 Arr.simps(1) Con-append(2) Ide.simps(1) Resid-append(2) TU Con-sym seq-char)
  qed

```

```

qed
show Trgs ((T *\ $\setminus$ * T') *\ $\setminus$ * U') ⊆ Srcs (U *\ $\setminus$ * (T' *\ $\setminus$ * T @ U' *\ $\setminus$ * (T *\ $\setminus$ * T'))
  by (metis 4 5 Arr-append-iffP Ide.simps(1) Nil-is-append-conv
       calculation Con-imp-Arr-Resid)
qed
ultimately show T @ U *≤* T' @ U'
  using ide-char by presburger
qed
show T @ U *≤* T' @ U'
  using assms 2 by simp
show T' @ U' *≤* T @ U
  using assms 1 2 cong-symmetric by blast
qed

lemma cong-cons [intro]:
assumes seq [t] U and t ~ t' and U *~* U'
shows t # U *~* t' # U'
  using assms cong-append [of [t] U [t'] U']
  by (simp add: R.prfx-implies-con ide-char)

lemma cong-append-ideI [intro]:
assumes seq T U
shows ide T ==> T @ U *~* U and ide U ==> T @ U *~* T
and ide T ==> U *~* T @ U and ide U ==> T *~* T @ U
proof -
  show 1: ide T ==> T @ U *~* U
    using assms
    by (metis append-is-composite-of composite-ofE resid-arr-ide prfx-implies-con
        con-sym)
  show 2: ide U ==> T @ U *~* T
    by (meson assms append-is-composite-of composite-ofE ide-backward-stable)
  show ide T ==> U *~* T @ U
    using 1 cong-symmetric by auto
  show ide U ==> T *~* T @ U
    using 2 cong-symmetric by auto
qed

lemma cong-cons-ideI [intro]:
assumes seq [t] U and R.ide t
shows t # U *~* U and U *~* t # U
  using assms cong-append-ideI [of [t] U]
  by (auto simp add: ide-char)

lemma prfx-decomp:
assumes [t] *≤* [u]
shows [t] @ [u \ t] *~* [u]
proof

  show 1: [u] *≤* [t] @ [u \ t]

```

```

using assms
by (metis Con-imp-Arr-Resid Con-rec(3) Resid.simps(3) Resid-rec(3) R.con-sym
      append.left-neutral append-Cons arr-char cong-reflexive list.distinct(1))
show [t] @ [u \ t] *≤* [u]
proof –
  have ([t] @ [u \ t]) *＼* [u] = ([t] *＼* [u]) @ ([u \ t] *＼* [u \ t])
  using assms
  by (metis Arr-Resid-single Con-Arr-self Con-appendI(1) Con-sym Resid-append(1)
      Resid-rec(1) con-char list.discI prfx-implies-con)
  moreover have Ide ...
  using assms
  by (metis 1 Con-sym append-Nil2 arr-append-imp-seq calculation cong-append-ideI(4)
      ide-backward-stable Con-implies-Arr(2) Resid-Arr-self con-char ide-char
      prfx-implies-con arr-resid-iff-con)
  ultimately show ?thesis
  using ide-char by presburger
qed
qed

lemma composite-of-single-single:
assumes R.composite-of t u v
shows composite-of [t] [u] ([t] @ [u])
proof
  show [t] *≤* [t] @ [u]
  proof –
    have [t] *＼* ([t] @ [u]) = ([t] *＼* [t]) *＼* [u]
    using assms by auto
    moreover have Ide ...
    by (metis (no-types, lifting) Con-implies-Arr(2) R.bounded-imp-con
        R.con-composite-of-iff R.con-prfx-composite-of(1) assms resid-ide-arr
        Con-rec(1) Resid.simps(3) Resid-Arr-self con-char ide-char)
    ultimately show ?thesis
    using ide-char by presburger
  qed
  show ([t] @ [u]) *＼* [t] *~* [u]
  using assms
  by (metis ⟨prfx [t] ([t] @ [u])⟩ append-is-composite-of arr-append-imp-seq
      composite-ofE con-def not-Cons-self2 Con-implies-Arr(2) arr-char null-char
      prfx-implies-con)
qed

end

```

2.4.4 Paths in a Weakly Extensional RTS

```

locale paths-in-weakly-extensional-rts =
  R: weakly-extensional-rts +
  paths-in-rts
begin

```

```

lemma ex-un-Src:
assumes Arr T
shows  $\exists !a. a \in Srcs T$ 
using assms
by (simp add: R.weakly-extensional-rts-axioms Srcs-simpP R.arr-has-un-source)

fun Src
where Src T = R.src (hd T)

lemma Srcs-simpPWE:
assumes Arr T
shows Srcs T = {Src T}
proof -
have [R.src (hd T)] ∈ sources T
by (metis Arr-imp-arr-hd Con-single-ide-ind Ide.simps(2) Srcs-simpP assms
con-char ide-char in-sourcesI con-sym R.ide-src R.src-in-sources)
hence R.src (hd T) ∈ Srcs T
using assms
by (metis Srcs.elims Arr-has-Src list.sel(1) R.arr-iff-has-source R.src-in-sources)
thus ?thesis
using assms ex-un-Src by auto
qed

lemma ex-un-Trg:
assumes Arr T
shows  $\exists !b. b \in Trgs T$ 
using assms
apply (induct T)
apply auto[1]
by (metis Con-Arr-self Ide-implies-Arr Resid-Arr-self Srcs-Resid ex-un-Src)

fun Trg
where Trg [] = R.null
| Trg [t] = R.trg t
| Trg (t # T) = Trg T

lemma Trg-simp [simp]:
shows T ≠ []  $\implies$  Trg T = R.trg (last T)
apply (induct T)
apply auto
by (metis Trg.simps(3) list.exhaust-sel)

lemma Trgs-simpPWE [simp]:
assumes Arr T
shows Trgs T = {Trg T}
using assms
by (metis Arr-imp-arr-last Con-Arr-self Con-imp-Arr-Resid R.trg-in-targets
Srcs.simps(1) Srcs-Resid Srcs-simpPWE Trg-simp insertE insert-absorb insert-not-empty)

```

```

 $\text{Trgs-simp}_P)$ 

lemma  $\text{Src-resid}$  [simp]:
assumes  $T * \sim^* U$ 
shows  $\text{Src}(T * \setminus^* U) = \text{Trg } U$ 
using assms  $\text{Con-imp-Arr-Resid}$   $\text{Con-implies-Arr}(2)$   $\text{Srcs-Resid}$   $\text{Srcs-simp}_{\text{PWE}}$  by force

lemma  $\text{Trg-resid-sym}$ :
assumes  $T * \sim^* U$ 
shows  $\text{Trg}(T * \setminus^* U) = \text{Trg}(U * \setminus^* T)$ 
using assms  $\text{Con-imp-Arr-Resid}$   $\text{Con-sym}$   $\text{Trgs-Resid-sym}$  by auto

lemma  $\text{Src-append}$  [simp]:
assumes  $\text{seq } T U$ 
shows  $\text{Src}(T @ U) = \text{Src } T$ 
using assms
by (metis Arr.simps(1)  $\text{Src.simps hd-append seq-char}$ )

lemma  $\text{Trg-append}$  [simp]:
assumes  $\text{seq } T U$ 
shows  $\text{Trg}(T @ U) = \text{Trg } U$ 
using assms
by (metis Ide.simps(1)  $\text{Resid.simps(1)}$   $\text{Trg-simp append-is-Nil-conv ide-char ide-trg}$ 
       $\text{last-appendR seqE trg-def}$ )

lemma  $\text{Arr-append-iff}_{\text{PWE}}$ :
assumes  $T \neq []$  and  $U \neq []$ 
shows  $\text{Arr}(T @ U) \longleftrightarrow \text{Arr } T \wedge \text{Arr } U \wedge \text{Trg } T = \text{Src } U$ 
using assms  $\text{Arr-appendE}_P$   $\text{Srcs-simp}_{\text{PWE}}$  by auto

lemma  $\text{Arr-consI}_{\text{PWE}}$  [intro, simp]:
assumes  $R.\text{arr } t$  and  $\text{Arr } U$  and  $R.\text{trg } t = \text{Src } U$ 
shows  $\text{Arr}(t \# U)$ 
using assms
by (metis Arr.simps(2)  $\text{Srcs-simp}_{\text{PWE}}$   $\text{Trg.simps(2)}$   $\text{Trgs.simps(2)}$   $\text{Trgs-simp}_{\text{PWE}}$ 
       $\text{dual-order.eq-iff Arr-consI}_P$ )

lemma  $\text{Arr-conse}$  [elim]:
assumes  $\text{Arr}(t \# U)$ 
and  $\llbracket R.\text{arr } t; U \neq [] \implies \text{Arr } U; U \neq [] \implies R.\text{trg } t = \text{Src } U \rrbracket \implies \text{thesis}$ 
shows thesis
using assms
by (metis Arr-append-iff_{PWE}  $\text{Trg.simps(2)}$   $\text{append-Cons append-Nil list.distinct(1)}$ 
       $\text{Arr.simps(2)}$ )

lemma  $\text{Arr-appendI}_{\text{PWE}}$  [intro, simp]:
assumes  $\text{Arr } T$  and  $\text{Arr } U$  and  $\text{Trg } T = \text{Src } U$ 
shows  $\text{Arr}(T @ U)$ 
using assms

```

```

by (metis Arr.simps(1) Arr-append-iffPWE)

lemma Arr-appendEPWE [elim]:
assumes Arr (T @ U) and T ≠ [] and U ≠ []
and [Arr T; Arr U; Trg T = Src U] ==> thesis
shows thesis
  using assms Arr-append-iffPWE seq-implies-Trgs-eq-Srcs by force

lemma Ide-append-iffPWE:
assumes T ≠ [] and U ≠ []
shows Ide (T @ U) ↔ Ide T ∧ Ide U ∧ Trg T = Src U
  using assms Ide-char
  apply (intro iffI)
  by force auto

lemma Ide-appendIPWE [intro, simp]:
assumes Ide T and Ide U and Trg T = Src U
shows Ide (T @ U)
  using assms
  by (metis Ide.simps(1) Ide-append-iffPWE)

lemma Ide-appendE [elim]:
assumes Ide (T @ U) and T ≠ [] and U ≠ []
and [Ide T; Ide U; Trg T = Src U] ==> thesis
shows thesis
  using assms Ide-append-iffPWE by metis

lemma Ide-consI [intro, simp]:
assumes R.ide t and Ide U and R.trg t = Src U
shows Ide (t # U)
  using assms
  by (simp add: Ide-char)

lemma Ide-consE [elim]:
assumes Ide (t # U)
and [R.ide t; U ≠ [] ==> Ide U; U ≠ [] ==> R.trg t = Src U] ==> thesis
shows thesis
  using assms
  by (metis Con-rec(4) Ide.simps(2) Ide-imp-Ide-hd Ide-imp-Ide-tl R.trg-def R.trg-ide
    Resid-Arr-Ide-ind Trg.simps(2) ide-char list.sel(1) list.sel(3) list.simps(3)
    Src-resid ide-def)

lemma Ide-imp-Src-eq-Trg:
assumes Ide T
shows Src T = Trg T
  using assms
  by (metis Ide.simps(1) Src-resid ide-char ide-def)

end

```

2.4.5 Paths in a Confluent RTS

Here we show that confluence of an RTS extends to confluence of the RTS of its paths.

```

locale paths-in-confluent-rts =
  paths-in-rts +
  R: confluent-rts
begin

  lemma confluence-single:
  assumes  $\bigwedge t u. R.\text{coinitial } t u \implies t \rightsquigarrow u$ 
  shows  $\llbracket R.\text{arr } t; Arr U; R.\text{sources } t = Srcs U \rrbracket \implies [t] * \rightsquigarrow^* U$ 
  proof (induct U arbitrary: t)
    show  $\bigwedge t. \llbracket R.\text{arr } t; Arr []; R.\text{sources } t = Srcs [] \rrbracket \implies [t] * \rightsquigarrow^* []$ 
      by simp
    fix t u U
    assume ind:  $\bigwedge t. \llbracket R.\text{arr } t; Arr U; R.\text{sources } t = Srcs U \rrbracket \implies [t] * \rightsquigarrow^* U$ 
    assume t: R.arr t
    assume uU: Arr (u # U)
    assume coinitial: R.sources t = Srcs (u # U)
    hence 1: R.coinitial t u
      using t uU
      by (metis Arr.simps(2) Con-implies-Arr(1) Con-imp-eq-Srcs Con-initial-left
           Srcs.simps(2) Con-Arr-self R.coinitial-iff)
    show  $[t] * \rightsquigarrow^* u \# U$ 
    proof (cases U = [])
      show U = []  $\implies ?\text{thesis}$ 
        using assms t uU coinitial R.coinitial-iff by fastforce
      assume U: U  $\neq []$ 
      show ?thesis
      proof -
        have 2: Arr [t \ u]  $\wedge$  Arr U  $\wedge$  Srcs [t \ u] = Srcs U
        using assms 1 t uU U R.arr-resid-iff-con
        apply (intro conjI)
        apply simp
        apply (metis Con-Arr-self Con-implies-Arr(2) Resid-cons(2))
        by (metis (full-types) Con-cons(2) Srcs.simps(2) Srcs-Resid Trgs.simps(2)
             Con-Arr-self Con-imp-eq-Srcs list.simps(3) R.sources-resid)
        have  $[t] * \rightsquigarrow^* u \# U \longleftrightarrow t \rightsquigarrow u \wedge [t \setminus u] * \rightsquigarrow^* U$ 
        using U Con-rec(3) [of U t u] by simp
        also have ...  $\longleftrightarrow$  True
        using assms t uU U 1 2 ind by force
        finally show ?thesis by blast
      qed
    qed
  qed

  lemma confluence-ind:
  shows  $\llbracket Arr T; Arr U; Srcs T = Srcs U \rrbracket \implies T * \rightsquigarrow^* U$ 
  proof (induct T arbitrary: U)

```

```

show  $\bigwedge U. \llbracket \text{Arr } []; \text{Arr } U; \text{Srcs } [] = \text{Srcs } U \rrbracket \implies []^* \sim^* U$ 
  by simp
fix t T U
assume ind:  $\bigwedge U. \llbracket \text{Arr } T; \text{Arr } U; \text{Srcs } T = \text{Srcs } U \rrbracket \implies T^* \sim^* U$ 
assume tT: Arr (t # T)
assume U: Arr U
assume coinitial: Srcs (t # T) = Srcs U
show t # T ^* ∼* U
proof (cases T = [])
  show T = []  $\implies ?thesis$ 
    using U tT coinitial confluence-single [of t U] R.confluence by simp
  assume T ≠ []
  show ?thesis
  proof –
    have 1: [t] ^* ∼* U
      using tT U coinitial R.confluence
      by (metis R.arr-def Srcs.simps(2) T Con-Arr-self Con-imp-eq-Srcs
          Con-initial-right Con-rec(4) confluence-single)
    moreover have T ^* ∼* U ^* \* [t]
      using 1 tT U T coinitial ind [of U ^* \* [t]]
      by (metis (full-types) Con-imp-Arr-Resid Arr-iff-Con-self Con-implies-Arr(2)
          Con-imp-eq-Srcs Con-sym R.sources-resid Srcs.simps(2) Srcs-Resid
          Trgs.simps(2) Con-rec(4))
    ultimately show ?thesis
      using Con-cons(1) [of T U t] by fastforce
  qed
  qed
qed

lemma confluence_P:
assumes coinitial T U
shows con T U
using assms confluence-ind sources-char_P coinitial-def con-char by auto

sublocale confluent-rts Resid
apply (unfold-locales)
using confluence_P by simp

lemma is-confluent-rts:
shows confluent-rts Resid
..
end

```

2.4.6 Simulations Lift to Paths

In this section we show that a simulation from RTS A to RTS B determines a simulation from the RTS of paths in A to the RTS of paths in B . In other words, the path-RTS construction is functorial with respect to simulation.

```

context simulation
begin

  interpretation  $P_A$ : paths-in-rts  $A$ 
  ..
  interpretation  $P_B$ : paths-in-rts  $B$ 
  ..

lemma map-Resid-single:
shows  $P_A.con T [u] \implies map F (P_A.Resid T [u]) = P_B.Resid (map F T) [F u]$ 
  apply (induct T arbitrary:  $u$ )
  apply simp
proof -
  fix  $t u T$ 
  assume ind:  $\bigwedge u. P_A.con T [u] \implies map F (P_A.Resid T [u]) = P_B.Resid (map F T) [F u]$ 
  assume 1:  $P_A.con (t \# T) [u]$ 
  show  $map F (P_A.Resid (t \# T) [u]) = P_B.Resid (map F (t \# T)) [F u]$ 
  proof (cases  $T = []$ )
    show  $T = [] \implies ?thesis$ 
    using 1  $P_A.null-char$  by fastforce
    assume  $T: T \neq []$ 
    show ?thesis
    using T 1 ind  $P_A.con\text{-def}$   $P_A.null\text{-char}$   $P_A.Con\text{-rec}(2)$   $P_A.Resid\text{-rec}(2)$   $P_B.Con\text{-rec}(2)$ 
       $P_B.Resid\text{-rec}(2)$ 
    apply simp
    by (metis A.con-sym Nil-is-map-conv preserves-con preserves-resid)
  qed
qed

lemma map-Resid:
shows  $P_A.con T U \implies map F (P_A.Resid T U) = P_B.Resid (map F T) (map F U)$ 
  apply (induct U arbitrary:  $T$ )
  using  $P_A.Resid.simps(1)$   $P_A.con\text{-char}$   $P_A.con\text{-sym}$ 
  apply blast
proof -
  fix  $u U T$ 
  assume ind:  $\bigwedge T. P_A.con T U \implies$ 
     $map F (P_A.Resid T U) = P_B.Resid (map F T) (map F U)$ 
  assume 1:  $P_A.con T (u \# U)$ 
  show  $map F (P_A.Resid T (u \# U)) = P_B.Resid (map F T) (map F (u \# U))$ 
  proof (cases  $U = []$ )
    show  $U = [] \implies ?thesis$ 
    using 1 map-Resid-single by force
    assume  $U: U \neq []$ 
    have  $P_B.Resid (map F T) (map F (u \# U)) =$ 
       $P_B.Resid (P_B.Resid (map F T) [F u]) (map F U)$ 
    using U 1  $P_B.Resid\text{-cons}(2)$ 
    apply simp
    by (metis  $P_B.Arr.simps(1)$   $P_B.Con\text{-consI}(2)$   $P_B.Con\text{-implies-Arr}(1)$  list.map-disc-iff)

```

```

also have ... = map F (PA.Resid (PA.Resid T [u]) U)
  using U 1 ind
  by (metis PA.Con-initial-right PA.Resid-cons(2) PA.con-char map-Resid-single)
also have ... = map F (PA.Resid T (u # U))
  using 1 PA.Resid-cons(2) PA.con-char U by auto
  finally show ?thesis by simp
qed
qed

lemma preserves-paths:
shows PA.Arr T ==> PB.Arr (map F T)
  by (metis PA.Con-Arr-self PA.conIP PB.Arr-iff-Con-self map-Resid map-is-Nil-conv)

interpretation Fx: simulation PA.Resid PB.Resid <λT. if PA.Arr T then map F T else []>
proof
  let ?Fx = λT. if PA.Arr T then map F T else []
  show ∫T. ¬ PA.arr T ==> ?Fx T = PB.null
    by (simp add: PA.arr-char PB.null-char)
  show ∫T U. PA.con T U ==> PB.con (?Fx T) (?Fx U)
    using PA.Con-implies-Arr(1) PA.Con-implies-Arr(2) PA.con-char map-Resid by fastforce
  show ∫T U. PA.con T U ==> ?Fx (PA.Resid T U) = PB.Resid (?Fx T) (?Fx U)
    by (simp add: PA.Con-imp-Arr-Resid PA.Con-implies-Arr(1) PA.Con-implies-Arr(2)
      PA.con-char map-Resid)
qed

lemma lifts-to-paths:
shows simulation PA.Resid PB.Resid (λT. if PA.Arr T then map F T else [])
  ..
end

```

2.4.7 Normal Sub-RTS's Lift to Paths

Here we show that a normal sub-RTS N of an RTS R lifts to a normal sub-RTS of the RTS of paths in N , and that it is coherent if N is.

```

locale paths-in-rts-with-normal =
  R: rts +
  N: normal-sub-rts +
  paths-in-rts
begin

```

We define a “normal path” to be a path that consists entirely of normal transitions. We show that the collection of all normal paths is a normal sub-RTS of the RTS of paths.

```

definition NPath
where NPath T ≡ (Arr T ∧ set T ⊆ Ω)

lemma Ide-implies-NPath:
assumes Ide T
shows NPath T

```

```

using assms
by (metis Ball-Collect NPath-def Ide-implies-Arr N.ide-closed set-Ide-subset-ide
subsetI)

lemma NPath-implies-Arr:
assumes NPath T
shows Arr T
using assms NPath-def by simp

lemma NPath-append:
assumes T ≠ [] and U ≠ []
shows NPath (T @ U) ↔ NPath T ∧ NPath U ∧ Trgs T ⊆ Srcs U
using assms NPath-def by auto

lemma NPath-appendI [intro, simp]:
assumes NPath T and NPath U and Trgs T ⊆ Srcs U
shows NPath (T @ U)
using assms NPath-def by simp

lemma NPath-Resid-single-Arr:
shows [t ∈ Ι; Arr U; R.sources t = Srcs U] ⇒ NPath (Resid [t] U)
proof (induct U arbitrary: t)
  show ∀t. [t ∈ Ι; Arr []; R.sources t = Srcs []] ⇒ NPath (Resid [t] [])
    by simp
  fix t u U
  assume ind: ∀t. [t ∈ Ι; Arr U; R.sources t = Srcs U] ⇒ NPath (Resid [t] U)
  assume t: t ∈ Ι
  assume uU: Arr (u # U)
  assume src: R.sources t = Srcs (u # U)
  show NPath (Resid [t] (u # U))
  proof (cases U = [])
    show U = [] ⇒ ?thesis
    using NPath-def t src
    apply simp
    by (metis Arr.simps(2) R.arr-resid-iff-con R.coinitialI N.forward-stable
      N.elements-are-arr uU)
    assume U: U ≠ []
    show ?thesis
    proof –
      have NPath (Resid [t] (u # U)) ↔ NPath (Resid [t \ u] U)
      using t U uU src
      by (metis Arr.simps(2) Con-implies-Arr(1) Resid-rec(3) Con-rec(3) R.arr-resid-iff-con)
      also have ... ↔ True
      proof –
        have t \ u ∈ Ι
        using t U uU src N.forward-stable [of t u]
        by (metis Con-Arr-self Con-imp-eq-Srcs Con-initial-left
          Srcs.simps(2) inf.idem Arr-has-Src R.coinitial-def)
      moreover have Arr U

```

```

using U uU
by (metis Arr.simps(3) neq-Nil-conv)
moreover have R.sources (t \ u) = Srcs U
  using t uU src
  by (metis Con-Arr-self Srcs.simps(2) U calculation(1) Con-imp-eq-Srcs
    Con-rec(4) N.elements-are-arr R.sources-resid R.arr-resid-iff-con)
ultimately show ?thesis
  using ind [of t \ u] by simp
qed
finally show ?thesis by blast
qed
qed
qed

lemma NPath-Resid-Arr-single:
shows [[ NPath T; R.arr u; Srcs T = R.sources u ]]  $\implies$  NPath (Resid T [u])
proof (induct T arbitrary: u)
  show  $\bigwedge u. [[ NPath []; R.arr u; Srcs [] ] = R.sources u]] \implies NPath (Resid [] [u])$ 
    by simp
  fix t u T
  assume ind:  $\bigwedge u. [[ NPath T; R.arr u; Srcs T = R.sources u]] \implies NPath (Resid T [u])$ 
  assume tT: NPath (t # T)
  assume u: R.arr u
  assume src: Srcs (t # T) = R.sources u
  show NPath (Resid (t # T) [u])
  proof (cases T = [])
    show T = []  $\implies$  ?thesis
      using tT u src NPath-def
      by (metis Arr.simps(2) NPath-Resid-single-Arr Srcs.simps(2) list.set-intros(1) subsetD)
    assume T: T  $\neq$  []
    have R.coinitial u t
      by (metis R.coinitialI Srcs.simps(3) T list.exhaust-sel src u)
    hence con: t ∼ u
      using tT T u src R.con-sym NPath-def
      by (metis N.forward-stable N.elements-are-arr R.not-arr-null
        list.set-intros(1) R.conI subsetD)
    have 1: NPath (Resid (t # T) [u])  $\longleftrightarrow$  NPath ((t \ u) # Resid T [u \ t])
    proof -
      have t # T * ∼* [u]
      proof -
        have 2: [t] * ∼* [u]
        by (simp add: Con-rec(1) con)
        moreover have T * ∼* Resid [u] [t]
        proof -
          have NPath T
            using tT T NPath-def
            by (metis NPath-append append-Cons append-Nil)
          moreover have 3: R.arr (u \ t)
            using con by (meson R.arr-resid-iff-con R.con-sym)
        qed
      qed
    qed
  qed
qed

```

```

moreover have Srcs T = R.sources (u \ t)
  using tT T u src con
  by (metis 3 Arr-iff-Con-self Con-cons(2) Con-imp-eq-Srcs
      R.sources-resid Srcs-Resid Trgs.simps(2) NPath-implies-Arr list.discI
      R.arr-resid-iff-con)
ultimately show ?thesis
  using 2 ind [of u \ t] NPath-def by auto
qed
ultimately show ?thesis
  using tT T u src Con-cons(1) [of T [u] t] by simp
qed
thus ?thesis
  using tT T u src Resid-cons(1) [of T t [u]] Resid-rec(2) by presburger
qed
also have ...  $\longleftrightarrow$  True
proof -
  have 2:  $t \setminus u \in \mathfrak{N} \wedge R.arr (u \setminus t)$ 
  using tT u src con NPath-def
  by (meson R.arr-resid-iff-con R.con-sym N.forward-stable <R.coinitial u t>
      list.set-intros(1) subsetD)
moreover have 3: NPath (T * \ [u \ t])
  using tT ind [of u \ t] NPath-def
  by (metis Con-Arr-self Con-imp-eq-Srcs Con-rec(4) R.arr-resid-iff-con
      R.sources-resid Srcs.simps(2) T calculation insert-subset list.exhaust
      list.simps(15) Arr.simps(3))
moreover have R.targets (t \ u)  $\subseteq$  Srcs (Resid T [u \ t])
  using tT T u src NPath-def
  by (metis 3 Arr.simps(1) R.targets-resid-sym Srcs-Resid-Arr-single con subset-refl)
ultimately show ?thesis
  using NPath-def
  by (metis Arr-consIP N.elements-are-arr insert-subset list.simps(15))
qed
finally show ?thesis by blast
qed
qed

lemma NPath-Resid [simp]:
shows [[NPath T; Arr U; Srcs T = Srcs U]  $\implies$  NPath (T * \ U)]
proof (induct T arbitrary: U)
  show  $\bigwedge U$ . [[NPath []; Arr U; Srcs []] = Srcs U]  $\implies$  NPath ([] * \ U)
    by simp
  fix t T U
  assume ind:  $\bigwedge U$ . [[NPath T; Arr U; Srcs T = Srcs U]  $\implies$  NPath (T * \ U)]
  assume tT: NPath (t # T)
  assume U: Arr U
  assume Coinital: Srcs (t # T) = Srcs U
  show NPath ((t # T) * \ U)
  proof (cases T = [])
    show T = []  $\implies$  ?thesis
  
```

```

using tT U Coinitial NPath-Resid-single-Arr [of t U] NPath-def by force
assume T: T ≠ []
have 0: NPath ((t # T) *＼* U) ←→ NPath ([t] *＼* U @ T *＼* (U *＼* [t]))
proof -
  have U ≠ []
  using U by auto
  moreover have (t # T) *＼* U
  proof -
    have t ∈ Ω
    using tT NPath-def by auto
    moreover have R.sources t = Srcs U
    using Coinitial
    by (metis Srcs.elims U list.sel(1) Arr-has-Src)
    ultimately have 1: [t] *＼* U
    using U NPath-Resid-single-Arr [of t U] NPath-def by auto
    moreover have T *＼* (U *＼* [t])
    proof -
      have Srcs T = Srcs (U *＼* [t])
      using tT U Coinitial 1
      by (metis Con-Arr-self Con-cons(2) Con-imp-eq-Srcs Con-sym Srcs-Resid-Arr-single
          T list.discI NPath-implies-Arr)
      hence NPath (T *＼* (U *＼* [t]))
      using tT U Coinitial 1 Con-sym ind [of Resid U [t]] NPath-def
      by (metis Con-imp-Arr-Resid Srcs.elims T insert-subset list.simps(15)
          Arr.simps(3))
      thus ?thesis
      using NPath-def by auto
    qed
    ultimately show ?thesis
    using Con-cons(1) [of T U t] by fastforce
  qed
  ultimately show ?thesis
  using tT U T Coinitial Resid-cons(1) by auto
qed
also have ... ←→ True
proof (intro iffI, simp-all)
  have 1: NPath ([t] *＼* U)
  by (metis Coinitial NPath-Resid-single-Arr Srcs-simp_P U insert-subset
      list.sel(1) list.simps(15) NPath-def tT)
  moreover have 2: NPath (T *＼* (U *＼* [t]))
  by (metis 0 Arr.simps(1) Con-cons(1) Con-imp-eq-Srcs Con-implies-Arr(1-2)
      NPath-def T append-Nil2 calculation ind insert-subset list.simps(15) tT)
  moreover have Trgs ([t] *＼* U) ⊆ Srcs (T *＼* (U *＼* [t]))
  by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym calculation(2)
      dual-order.refl)
  ultimately show NPath ([t] *＼* U @ T *＼* (U *＼* [t]))
  using NPath-append [of T *＼* (U *＼* [t]) [t] *＼* U] by fastforce
qed
finally show ?thesis by blast

```

```

qed
qed

lemma Backward-stable-single:
shows  $\llbracket NPath U; NPath ([t] * \setminus^* U) \rrbracket \implies NPath [t]$ 
proof (induct U arbitrary: t)
  show  $\bigwedge t. \llbracket NPath []; NPath ([t] * \setminus^* []) \rrbracket \implies NPath [t]$ 
    using NPath-def by simp
  fix t u U
  assume ind:  $\bigwedge t. \llbracket NPath U; NPath ([t] * \setminus^* U) \rrbracket \implies NPath [t]$ 
  assume uU:  $NPath (u \# U)$ 
  assume resid:  $NPath ([t] * \setminus^* (u \# U))$ 
  show  $NPath [t]$ 
    using uU ind NPath-def
    by (metis Arr.simps(1) Arr.simps(2) Con-implies-Arr(2) N.backward-stable
        N.elements-are-arr Resid-rec(1) Resid-rec(3) insert-subset list.simps(15) resid)
qed

lemma Backward-stable:
shows  $\llbracket NPath U; NPath (T * \setminus^* U) \rrbracket \implies NPath T$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. \llbracket NPath U; NPath ([] * \setminus^* U) \rrbracket \implies NPath []$ 
    by simp
  fix t T U
  assume ind:  $\bigwedge U. \llbracket NPath U; NPath (T * \setminus^* U) \rrbracket \implies NPath T$ 
  assume U:  $NPath U$ 
  assume resid:  $NPath ((t \# T) * \setminus^* U)$ 
  show  $NPath (t \# T)$ 
  proof (cases T = [])
    show  $T = [] \implies ?thesis$ 
      using U resid Backward-stable-single by blast
    assume T:  $T \neq []$ 
    have 1:  $NPath ([t] * \setminus^* U) \wedge NPath (T * \setminus^* (U * \setminus^* [t]))$ 
      using T U NPath-append resid NPath-def
      by (metis Arr.simps(1) Con-cons(1) Resid-cons(1))
    have 2:  $t \in \mathfrak{N}$ 
      using 1 U Backward-stable-single NPath-def by simp
    moreover have  $NPath T$ 
      using 1 U resid ind
      by (metis 2 Arr.simps(2) Con-imp-eq-Srcs NPath-Resid N.elements-are-arr)
    moreover have  $R.targets t \subseteq Srcs T$ 
      using resid 1 Con-imp-eq-Srcs Con-sym Srcs-Resid-Arr-single NPath-def
      by (metis Arr.simps(1) dual-order.eq-iff)
    ultimately show ?thesis
      using NPath-def
      by (simp add: N.elements-are-arr)
  qed
qed

```

```

sublocale normal-sub-rts Resid <Collect NPath>
  using Ide-implies-NPath NPath-implies-Arr arr-char ide-char coinitial-def
    sources-charP append-is-composite-of
  apply unfold-locales
    apply auto
  using Backward-stable
  by metis+

theorem normal-extends-to-paths:
shows normal-sub-rts Resid (Collect NPath)
 $\dots$ 

lemma Resid-NPath-preserves-reflects-Con:
assumes NPath U and Srcs T = Srcs U
shows T *\\* U *\\* T' *\\* U  $\longleftrightarrow$  T *\\* T'
using assms NPath-def NPath-Resid con-char con-imp-coinitial resid-along-elem-preserves-con
  Con-implies-Arr(2) Con-sym Cube(1)
by (metis Arr.simps(1) mem-Collect-eq)

notation Cong0 (infix  $\approx^*_0$  50)
notation Cong (infix  $\approx^*$  50)

lemma Cong0-cancel-leftCS:
assumes T @ U  $\approx^*_0$  T @ U' and T  $\neq \emptyset$  and U  $\neq \emptyset$  and U'  $\neq \emptyset$ 
shows U  $\approx^*_0$  U'
  using assms Cong0-cancel-left [of T U T @ U U' T @ U'] Cong0-reflexive
    append-is-composite-of
  by (metis Cong0-implies-Cong Cong-imp-arr(1) arr-append-imp-seq)

lemma Srcs-respects-Cong:
assumes T  $\approx^*$  T' and a  $\in$  Srcs T and a'  $\in$  Srcs T'
shows [a]  $\approx^*$  [a']
proof -
  obtain U U' where UU': NPath U  $\wedge$  NPath U'  $\wedge$  T *\\* U  $\approx^*_0$  T' *\\* U'
    using assms(1) by blast
  show ?thesis
proof
  show U  $\in$  Collect NPath
    using UU' by simp
  show U'  $\in$  Collect NPath
    using UU' by simp
  show [a] *\\* U  $\approx^*_0$  [a'] *\\* U'
proof -
  have NPath ([a] *\\* U)  $\wedge$  NPath ([a'] *\\* U')
    by (metis Arr.simps(1) Con-imp-eq-Srcs Con-implies-Arr(1) Con-single-ide-ind
      NPath-implies-Arr N.ide-closed R.in-sourcesE Srcs.simps(2) Srcs-simpP
      UU' assms(2-3) elements-are-arr not-arr-null null-char NPath-Resid-single-Arr)
  thus ?thesis

```

```

using  $UU'$ 
by (metis Con-imp-eq-Srcs Congo-imp-con NPath-Resid Srcs-Resid
      con-char NPath-implies-Arr mem-Collect-eq arr-resid-iff-con con-implies-arr(2))
qed
qed
qed

lemma Trgs-respects-Cong:
assumes  $T \approx^* T'$  and  $b \in \text{Trgs } T$  and  $b' \in \text{Trgs } T'$ 
shows  $[b] \approx^* [b']$ 
proof -
  have  $[b] \in \text{targets } T \wedge [b'] \in \text{targets } T'$ 
  proof -
    have 1: Ide [b]  $\wedge$  Ide [b']
    using assms
    by (metis Ball-Collect Trgs-are-ide Ide.simps(2))
    moreover have Srcs [b] = Trgs T
    using assms
    by (metis 1 Con-imp-Arr-Resid Con-imp-eq-Srcs Cong-imp-arr(1) Ide.simps(2)
          Srcs-Resid Con-single-ide-ind con-char arrE)
    moreover have Srcs [b'] = Trgs T'
    using assms
    by (metis Con-imp-Arr-Resid Con-imp-eq-Srcs Cong-imp-arr(2) Ide.simps(2)
          Srcs-Resid 1 Con-single-ide-ind con-char arrE)
    ultimately show ?thesis
    unfolding targets-charP
    using assms Cong-imp-arr(2) arr-char by blast
  qed
  thus ?thesis
  using assms targets-char in-targets-respects-Cong [of  $T T' [b] [b']$ ] by simp
qed

lemma Congo-append-resid-NPath:
assumes NPath ( $T * \setminus^* U$ )
shows Congo ( $(T @ (U * \setminus^* T)) U$ )
proof (intro conjI)
  show 0:  $(T @ (U * \setminus^* T)) * \setminus^* U \in \text{Collect NPath}$ 
  proof -
    have 1:  $(T @ (U * \setminus^* T)) * \setminus^* U = T * \setminus^* U @ ((U * \setminus^* T) * \setminus^* (U * \setminus^* T))$ 
    by (metis Arr.simps(1) NPath-implies-Arr assms Con-append(1) Con-implies-Arr(2)
        Con-sym Resid-append(1) con-imp-arr-resid null-char)
    moreover have NPath ...
    using assms
    by (metis 1 Arr-append-iffP NPath-append NPath-implies-Arr Ide-implies-NPath
        Nil-is-append-conv Resid-Arr-self arr-char con-char arr-resid-iff-con
        self-append-conv)
    ultimately show ?thesis by simp
  qed
  show  $U * \setminus^* (T @ (U * \setminus^* T)) \in \text{Collect NPath}$ 
end

```

```

using assms 0
by (metis Arr.simps(1) Con-implies-Arr(2) Congo-reflexive Resid-append(2)
      append.right-neutral arr-char Con-sym)
qed

end

locale paths-in-rts-with-coherent-normal =
  R: rts +
  N: coherent-normal-sub-rts +
  paths-in-rts
begin

  sublocale paths-in-rts-with-normal resid  $\mathfrak{N}$  ..

  notation Congo (infix  $\approx^*_0$  50)
  notation Cong (infix  $\approx^*$  50)

```

Since composites of normal transitions are assumed to exist, normal paths can be “folded” by composition down to single transitions.

```

lemma NPath-folding:
shows NPath U  $\implies \exists u. u \in \mathfrak{N} \wedge R.sources u = Srcs U \wedge R.targets u = Trgs U \wedge$ 
         $(\forall t. con [t] U \longrightarrow [t]^* \setminus^* U \approx^*_0 [t \setminus u])$ 
proof (induct U)
  show NPath []  $\implies \exists u. u \in \mathfrak{N} \wedge R.sources u = Srcs [] \wedge R.targets u = Trgs [] \wedge$ 
     $(\forall t. con [t] [] \longrightarrow [t]^* \setminus^* [] \approx^*_0 [t \setminus u])$ 
  using NPath-def by auto
  fix v U
  assume ind: NPath U  $\implies \exists u. u \in \mathfrak{N} \wedge R.sources u = Srcs U \wedge R.targets u = Trgs U \wedge$ 
     $(\forall t. con [t] U \longrightarrow [t]^* \setminus^* U \approx^*_0 [t \setminus u])$ 
  assume vU: NPath (v # U)
  show  $\exists vU. vU \in \mathfrak{N} \wedge R.sources vU = Srcs (v \# U) \wedge R.targets vU = Trgs (v \# U) \wedge$ 
     $(\forall t. con [t] (v \# U) \longrightarrow [t]^* \setminus^* (v \# U) \approx^*_0 [t \setminus vU])$ 
  proof (cases U = [])
    show U = []  $\implies \exists vU. vU \in \mathfrak{N} \wedge R.sources vU = Srcs (v \# U) \wedge$ 
       $R.targets vU = Trgs (v \# U) \wedge$ 
       $(\forall t. con [t] (v \# U) \longrightarrow [t]^* \setminus^* (v \# U) \approx^*_0 [t \setminus vU])$ 
    using vU Resid-rec(1) con-char
    by (metis Congo-reflexive NPath-def Srcs.simps(2) Trgs.simps(2) arr-resid-iff-con
          insert-subset list.simps(15))
    assume U  $\neq []$ 
    hence U: NPath U
    using vU by (metis NPath-append append-Cons append-Nil)
    obtain u where u:  $u \in \mathfrak{N} \wedge R.sources u = Srcs U \wedge R.targets u = Trgs U \wedge$ 
       $(\forall t. con [t] U \longrightarrow [t]^* \setminus^* U \approx^*_0 [t \setminus u])$ 
    using U ind by blast
    have seq: R.seq v u
    proof
      show R.arr u

```

```

by (simp add: N.elements-are-arr u)
show R.targets v = R.sources u
by (metis (full-types) NPath-implies-Arr R.sources-resid Srcs.simps(2) `U ≠ []`  

    Con-Arr-self Con-imp-eq-Srcs Con-initial-right Con-rec(2) u vU)
qed
obtain vu where vu: R.composite-of v u vu
  using N.composite-closed-right seq u by presburger
have vu ∈ ℙ ∧ R.sources vu = Srcs (v # U) ∧ R.targets vu = Trgs (v # U) ∧
  (∀ t. con [t] (v # U) → [t] *＼* (v # U) ≈*₀ [t \ vu])
proof (intro conjI allI)
  show vu ∈ ℙ
    by (meson NPath-def N.composite-closed list.set-intros(1) subsetD u vU vu)
  show R.sources vu = Srcs (v # U)
    by (metis Con-imp-eq-Srcs Con-initial-right NPath-implies-Arr  

        R.sources-composite-of Srcs.simps(2) Arr-iff-Con-self vU vu)
  show R.targets vu = Trgs (v # U)
    by (metis R.targets-composite-of Trgs.simps(3) `U ≠ []` list.exhaust-sel u vu)
  fix t
  show con [t] (v # U) → [t] *＼* (v # U) ≈*₀ [t \ vu]
  proof (intro impI)
    assume t: con [t] (v # U)
    have 1: [t] *＼* (v # U) = [t \ v] *＼* U
      using t Resid-rec(3) `U ≠ []` con-char by force
    also have ... ≈*₀ [(t \ v) \ u]
      using 1 t u by force
    also have [(t \ v) \ u] ≈*₀ [t \ vu]
    proof -
      have (t \ v) \ u ~ t \ vu
        using vu R.resid-composite-of
    by (metis (no-types, lifting) N.Cong₀-composite-of-arr-normal N.Cong₀-subst-right(1)  

        `U ≠ []` Con-rec(3) con-char R.con-sym t u)
    thus ?thesis
      using Ide.simps(2) R.prfx-implies-con Resid.simps(3) ide-char ide-closed
        by presburger
    qed
    finally show [t] *＼* (v # U) ≈*₀ [t \ vu] by blast
  qed
qed
thus ?thesis by blast
qed
qed
qed

```

Coherence for single transitions extends inductively to paths.

lemma *Coherent-single*:
assumes *R.arr t and NPath U and NPath U'*
and *R.sources t = Srcs U and Srcs U = Srcs U' and Trgs U = Trgs U'*
shows *[t] *＼* U ≈*₀ [t] *＼* U'*
proof –
 have 1: *con [t] U ∧ con [t] U'*

```

using assms
by (metis Arr.simps(1–2) Arr-iff-Con-self Resid-NPath-preserves-reflects-Con
      Srcs.simps(2) con-char)
obtain u where u: u ∈ Ι ∧ R.sources u = Srcs U ∧ R.targets u = Trgs U ∧
      (forall t. con [t] U —> [t] *＼* U ≈*0 [t \ u])
using assms NPath-folding by metis
obtain u' where u': u' ∈ Ι ∧ R.sources u' = Srcs U' ∧ R.targets u' = Trgs U' ∧
      (forall t. con [t] U' —> [t] *＼* U' ≈*0 [t \ u'])
using assms NPath-folding by metis
have [t] *＼* U ≈*0 [t \ u]
using u 1 by blast
also have [t \ u] ≈*0 [t \ u']
using assms(1,4–6) N.Cong0-imp-con N.coherent u u' NPath-def by simp
also have [t \ u'] ≈*0 [t] *＼* U'
using u' 1 by simp
finally show ?thesis by simp
qed

```

lemma Coherent:

```

shows [[ Arr T; NPath U; NPath U'; Srcs T = Srcs U;
           Srcs U = Srcs U'; Trgs U = Trgs U' ]]
      —> T *＼* U ≈*0 T *＼* U'
proof (induct T arbitrary: U U')
show ⋀ U U'. [[ Arr []; NPath U; NPath U'; Srcs [] = Srcs U;
                  Srcs U = Srcs U'; Trgs U = Trgs U' ]]
      —> [] *＼* U ≈*0 [] *＼* U'
by (simp add: arr-char)
fix t T U U'
assume tT: Arr (t # T) and U: NPath U and U': NPath U'
and Srcs1: Srcs (t # T) = Srcs U and Srcs2: Srcs U = Srcs U'
and Trgs: Trgs U = Trgs U'
and ind: ⋀ U U'. [[ Arr T; NPath U; NPath U'; Srcs T = Srcs U;
                      Srcs U = Srcs U'; Trgs U = Trgs U' ]]
      —> T *＼* U ≈*0 T *＼* U'
have t: R.arr t
using tT by (metis Arr.simps(2) Con-Arr-self Con-rec(4) R.arrI)
show (t # T) *＼* U ≈*0 (t # T) *＼* U'
proof (cases T = [])
show T = [] —> ?thesis
by (metis Srcs.simps(2) Srcs1 Srcs2 Trgs U U' Coherent-single Arr.simps(2) tT)
assume T: T ≠ []
let ?t = [t] *＼* U and ?t' = [t] *＼* U'
let ?T = T *＼* (U *＼* [t])
let ?T' = T *＼* (U' *＼* [t])
have 0: (t # T) *＼* U = ?t @ ?T ∧ (t # T) *＼* U' = ?t' @ ?T'
using tT U U' Srcs1 Srcs2
by (metis Arr-has-Src Arr-iff-Con-self Resid-cons(1) Srcs.simps(1)
      Resid-NPath-preserves-reflects-Con)
have 1: ?t ≈*0 ?t'

```

```

by (metis Srcs1 Srcs2 Srcs-simpP Trgs U U' list.sel(1) Coherent-single t tT)
have A: ?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t) = T *\ $\backslash$ * ((U *\ $\backslash$ * [t]) @ (?t' *\ $\backslash$ * ?t))
  using 1 Arr.simps(1) Con-append(2) Con-sym Resid-append(2) Con-implies-Arr(1)
    NPath-def
  by (metis arr-char elements-are-arr)
have B: ?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t') = T *\ $\backslash$ * ((U' *\ $\backslash$ * [t]) @ (?t *\ $\backslash$ * ?t'))
  by (metis 1 Con-appendI(2) Con-sym Resid.simps(1) Resid-append(2) elements-are-arr
    not-arr-null null-char)
have E: ?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t) ≈0 * ?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')
proof -
  have Arr T
    using Arr.elims(1) T tT by blast
  moreover have NPath (U *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U') *\ $\backslash$ * ([t] *\ $\backslash$ * U))
    using 1 U t tT Srcs1 Srcs-simpP
    apply (intro NPath-appendI)
      apply auto
    by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym)
  moreover have NPath (U' *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U) *\ $\backslash$ * ([t] *\ $\backslash$ * U'))
    using t U' 1 Con-imp-eq-Srcs Trgs-Resid-sym
    apply (intro NPath-appendI)
      apply auto
    apply (metis Arr.simps(2) NPath-Resid Resid.simps(1))
    by (metis Arr.simps(1) NPath-def Srcs-Resid)
  moreover have Srcs T = Srcs (U *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U') *\ $\backslash$ * ([t] *\ $\backslash$ * U))
    using A B
    by (metis (full-types) 0 1 Arr-has-Src Con-cons(1) Con-implies-Arr(1)
      Srcs.simps(1) Srcs-append T elements-are-arr not-arr-null null-char
      Con-imp-eq-Srcs)
  moreover have Srcs (U *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U') *\ $\backslash$ * ([t] *\ $\backslash$ * U)) =
    Srcs (U' *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U) *\ $\backslash$ * ([t] *\ $\backslash$ * U'))
    by (metis 1 Con-implies-Arr(2) Con-sym Congo-imp-con Srcs-Resid Srcs-append
      arr-char con-char arr-resid-iff-con)
  moreover have Trgs (U *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U') *\ $\backslash$ * ([t] *\ $\backslash$ * U)) =
    Trgs (U' *\ $\backslash$ * [t] @ ([t] *\ $\backslash$ * U) *\ $\backslash$ * ([t] *\ $\backslash$ * U'))
    using 1 Congo-imp-con con-char by force
  ultimately show ?thesis
    using A B ind [of (U *\ $\backslash$ * [t]) @ (?t' *\ $\backslash$ * ?t) (U' *\ $\backslash$ * [t]) @ (?t *\ $\backslash$ * ?t')]
    by simp
qed
have C: NPath ((?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)) *\ $\backslash$ * (?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')))
  using E by blast
have D: NPath ((?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')) *\ $\backslash$ * (?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)))
  using E by blast
show ?thesis
proof
  have 2: ((t # T) *\ $\backslash$ * U) *\ $\backslash$ * ((t # T) *\ $\backslash$ * U') =
    ((?t *\ $\backslash$ * ?t') *\ $\backslash$ * ?T') @ ((?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)) *\ $\backslash$ * (?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')))
  proof -
    have ((t # T) *\ $\backslash$ * U) *\ $\backslash$ * ((t # T) *\ $\backslash$ * U') = (?t @ ?T) *\ $\backslash$ * (?t' @ ?T')

```

```

using 0 by fastforce
also have ... = ((?t @ ?T) *\ $\backslash$ * ?t') *\ $\backslash$ * ?T'
  using tT T U U' Srcs1 Srcs2 0
    by (metis Con-appendI(2) Con-cons(1) Con-sym Resid.simps(1) Resid-append(2))
  also have ... = ((?t *\ $\backslash$ * ?t') @ (?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t))) *\ $\backslash$ * ?T'
    by (metis (no-types, lifting) Arr.simps(1) Con-appendI(1) Con-implies-Arr(1)
        D NPath-def Resid-append(1) null-is-zero(2))
  also have ... = ((?t *\ $\backslash$ * ?t') *\ $\backslash$ * ?T') @
    ((?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)) *\ $\backslash$ * (?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')))

proof -
  have ?t *\ $\backslash$ * ?t' @ ?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t) *~* ?T'
    using C D E Con-sym
    by (metis Con-append(2) Congo-imp-con con-char arr-resid-iff-con
        con-implies-arr(2))
  thus ?thesis
    using Resid-append(1)
    by (metis Con-sym append.right-neutral Resid.simps(1))
qed
finally show ?thesis by simp
qed
moreover have 3: NPath ...
proof -
  have NPath ((?t *\ $\backslash$ * ?t') *\ $\backslash$ * ?T')
    using 0 1 E
    by (metis Con-imp-Arr-Resid Con-imp-eq-Srcs NPath-Resid Resid.simps(1)
        ex-un-null mem-Collect-eq)
  moreover have Trgs ((?t *\ $\backslash$ * ?t') *\ $\backslash$ * ?T') =
    Srcs ((?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)) *\ $\backslash$ * (?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t'))
    using C
    by (metis NPath-implies-Arr Srcs.simps(1) Srcs-Resid
        Trgs-Resid-sym Arr-has-Src)
  ultimately show ?thesis
    using C by blast
qed
ultimately show ((t # T) *\ $\backslash$ * U) *\ $\backslash$ * ((t # T) *\ $\backslash$ * U') ∈ Collect NPath
  by simp

have 4: ((t # T) *\ $\backslash$ * U') *\ $\backslash$ * ((t # T) *\ $\backslash$ * U) =
  ((?t' *\ $\backslash$ * ?t) *\ $\backslash$ * ?T) @ ((?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')) *\ $\backslash$ * (?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)))
by (metis 0 2 3 Arr.simps(1) Con-implies-Arr(1) Con-sym D NPath-def Resid-append2)
moreover have NPath ...
proof -
  have NPath ((?t' *\ $\backslash$ * ?t) *\ $\backslash$ * ?T)
    by (metis 1 CollectD Congo-imp-con E con-imp-coinitial forward-stable
        arr-resid-iff-con con-implies-arr(2))
  moreover have NPath ((?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')) *\ $\backslash$ * (?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)))
    using U U' 1 D ind Coherent-single [of t U' U] by blast
  moreover have Trgs ((?t' *\ $\backslash$ * ?t) *\ $\backslash$ * ?T) =
    Srcs ((?T' *\ $\backslash$ * (?t *\ $\backslash$ * ?t')) *\ $\backslash$ * (?T *\ $\backslash$ * (?t' *\ $\backslash$ * ?t)))

```

```

    by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym calculation(2))
  ultimately show ?thesis by blast
qed
ultimately show ((t # T) *`* U') *`* ((t # T) *`* U) ∈ Collect NPath
  by simp
qed
qed
qed

sublocale rts-with-composites Resid
  using is-rts-with-composites by simp

sublocale coherent-normal-sub-rts Resid <Collect NPath>
proof
fix T U U'
assume T: arr T and U: U ∈ Collect NPath and U': U' ∈ Collect NPath
assume sources-UU': sources U = sources U' and targets-UU': targets U = targets U'
and TU: sources T = sources U
have Srcs T = Srcs U
  using TU sources-charP T arr-iff-has-source by auto
moreover have Srcs U = Srcs U'
  by (metis Con-imp-eq-Srcs T TU con-char con-imp-coinitial-ax con-sym in-sourcesE
      in-sourcesI arr-def sources-UU')
moreover have Trgs U = Trgs U'
  using U U' targets-UU' targets-char
  by (metis (full-types) arr-iff-has-target composable-def composable-iff-seq
      composite-of-arr-target elements-are-arr equals0I seq-char)
ultimately show T *`* U ≈*0 T *`* U'
  using T U U' Coherent [of T U U'] arr-char by blast
qed

theorem coherent-normal-extends-to-paths:
shows coherent-normal-sub-rts Resid (Collect NPath)
..
lemma Cong0-append-Arr-NPath:
assumes T ≠ [] and Arr (T @ U) and NPath U
shows Cong0 (T @ U) T
  using assms
by (metis Arr.simps(1) Arr-appendEP NPath-implies-Arr append-is-composite-of arrIP
    arr-append-imp-seq composite-of-arr-normal mem-Collect-eq)

lemma Cong-append-NPath-Arr:
assumes T ≠ [] and Arr (U @ T) and NPath U
shows U @ T ≈* T
  using assms
by (metis (full-types) Arr.simps(1) Con-Arr-self Con-append(2) Con-implies-Arr(2)
    Con-imp-eq-Srcs composite-of-normal-arr Srcs-Resid append-is-composite-of arr-char
    NPath-implies-Arr mem-Collect-eq seq-char)

```

Permutation Congruence

Here we show that ${}^*\sim{}^*$ coincides with “permutation congruence”: the least congruence respecting composition that relates $[t, u \setminus t]$ and $[u, t \setminus u]$ whenever $t \sim u$ and that relates $T @ [b]$ and T whenever b is an identity such that $\text{seq } T [b]$.

```
inductive PCong
where Arr T ==> PCong T T
      | PCong T U ==> PCong U T
      | [[PCong T U; PCong U V]] ==> PCong T V
      | [[seq T U; PCong T T'; PCong U U']] ==> PCong (T @ U) (T' @ U')
      | [[seq T [b]; R.ide b]] ==> PCong (T @ [b]) T
      | t ∼ u ==> PCong [t, u \ t] [u, t \ u]
```

lemmas PCong.intros(3) [trans]

```
lemma PCong-append-Ide:
shows [[seq T B; Ide B]] ==> PCong (T @ B) T
proof (induct B)
  show [[seq T []; Ide []]] ==> PCong (T @ []) T
    by auto
  fix b B T
  assume ind: [[seq T B; Ide B]] ==> PCong (T @ B) T
  assume seq: seq T (b # B)
  assume Ide: Ide (b # B)
  have T @ (b # B) = (T @ [b]) @ B
    by simp
  also have PCong ... (T @ B)
    apply (cases B = [])
    using Ide PCong.intros(5) seq apply force
    using seq Ide PCong.intros(4) [of T @ [b] B T B]
    by (metis Arr.simps(1) Ide-imp-Ide-hd PCong.intros(1) PCong.intros(5)
        append-is-Nil-conv arr-append arr-append-imp-seq arr-char calculation
        list.distinct(1) list.sel(1) seq-char)
  also have PCong (T @ B) T
    proof (cases B = [])
      show B = [] ==> ?thesis
        using PCong.intros(1) seq seq-char by force
      assume B: B ≠ []
      have seq T B
        using B seq Ide
        by (metis Con-imp-eq-Srcs Ide-imp-Ide-hd Trgs-append ‹T @ b # B = (T @ [b]) @ B›
            append-is-Nil-conv arr-append arr-append-imp-seq arr-char cong-cons-ideI(2)
            list.distinct(1) list.sel(1) not-arr-null null-char seq-char ide-implies-arr)
      thus ?thesis
        using seq Ide ind
        by (metis Arr.simps(1) Ide.elims(3) Ide.simps(3) seq-char)
    qed
    finally show PCong (T @ (b # B)) T by blast
qed
```

```

lemma PCong-imp-Cong:
shows PCong T U ==> T *~* U
proof (induct rule: PCong.induct)
  show &T. Arr T ==> T *~* T
    using cong-reflexive by blast
  show &T U. [PCong T U; T *~* U] ==> U *~* T
    by blast
  show &T U V. [PCong T U; T *~* U; PCong U V; U *~* V] ==> T *~* V
    using cong-transitive by blast
  show &T U U' T'. [seq T U; PCong T T'; T *~* T'; PCong U U'; U *~* U'] ==> T @ U *~* T' @ U'
    using cong-append by simp
  show &T b. [seq T [b]; R.ide b] ==> T @ [b] *~* T
    using cong-append-ideI(4) ide-char by force
  show &t u. t ∼ u ==> [t, u \ t] *~* [u, t \ u]
  proof -
    have &t u. t ∼ u ==> [t, u \ t] *~* [u, t \ u]
    proof -
      fix t u
      assume con: t ∼ u
      have ([t] @ [u \ t]) *~* ([u] @ [t \ u]) =
        [(t \ u) \ (t \ u), ((u \ t) \ (u \ t)) \ ((t \ u) \ (t \ u))]
      using con Resid-append2 [of [t] [u \ t] [u] [t \ u]]
      apply simp
      by (metis R.arr-resid-iff-con R.con-target R.conE R.con-sym
          R.prfx-implies-con R.prfx-reflexive R(cube))
    moreover have Ide ...
      using con
      by (metis Arr.simps(2) Arr.simps(3) Ide.simps(2) Ide.simps(3) R.arr-resid-iff-con
          R.con-sym R.resid-ide-arr R.prfx-reflexive calculation Con-imp-Arr-Resid)
    ultimately show [t, u \ t] *~* [u, t \ u]
      using ide-char by auto
    qed
    thus &t u. t ∼ u ==> [t, u \ t] *~* [u, t \ u]
      using R.con-sym by blast
  qed
qed

```

```

lemma PCong-permute-single:
shows [t] *~* U ==> PCong ([t] @ (U *~* [t])) (U @ ([t] *~* U))
proof (induct U arbitrary: t)
  show &t. [t] *~* [] ==> PCong ([t] @ [] *~* [t]) ([] @ [t] *~* [])
    by auto
  fix t u U
  assume ind: &t. [t] *~* U ≠ [] ==> PCong ([t] @ (U *~* [t])) (U @ ([t] *~* U))
  assume con: [t] *~* u # U
  show PCong ([t] @ (u # U) *~* [t]) ((u # U) @ [t] *~* (u # U))
  proof (cases U = [])

```

```

show  $U = [] \implies ?thesis$ 
by (metis PCong.intros(6) Resid.simps(3) append-Cons append-eq-append-conv2
append-self-conv con-char con-def con con-sym-ax)
assume  $U \neq []$ 
show PCong ([t] @ ((u # U) *` [t])) ((u # U) @ ([t] *` (u # U)))
proof -
have [t] @ ((u # U) *` [t]) = [t] @ ([u \ t] @ (U *` [t \ u]))
using Con-sym Resid-rec(2) U con by auto
also have ... = ([t] @ [u \ t]) @ (U *` [t \ u])
by auto
also have PCong ... (([u] @ [t \ u]) @ (U *` [t \ u]))
proof -
have PCong ([t] @ [u \ t]) ([u] @ [t \ u])
using con
by (simp add: Con-rec(3) PCong.intros(6) U)
thus ?thesis
by (metis Arr-Resid-single Con-implies-Arr(1) Con-rec(2) Con-sym
PCong.intros(1,4) Srcs-Resid U append-is-Nil-conv append-is-composite-of
arr-append-imp-seq arr-char calculation composite-of-unq-up-to-cong
con not-arr-null null-char ide-implies-arr seq-char)
qed
also have ([u] @ [t \ u]) @ (U *` [t \ u]) = [u] @ ([t \ u] @ (U *` [t \ u]))
by simp
also have PCong ... ([u] @ (U @ ([t \ u] *` U)))
proof -
have PCong ([t \ u] @ (U *` [t \ u])) (U @ ([t \ u] *` U))
using ind
by (metis Resid-rec(3) U con)
moreover have seq [u] ([t \ u] @ U *` [t \ u])
proof
show Arr [u]
using Con-implies-Arr(2) Con-initial-right con by blast
show Arr ([t \ u] @ U *` [t \ u])
using Con-implies-Arr(1) U con Con-imp-Arr-Resid Con-rec(3) Con-sym
by fastforce
show Trgs [u] ∩ Srcs ([t \ u] @ U *` [t \ u]) ≠ {}
by (metis Arr.simps(1) Arr.simps(2) Arr-has-Trg Con-implies-Arr(1)
Int-absorb R.arr-resid-iff-con R.sources-resid Resid-rec(3)
Srcs.simps(2) Srcs-append Trgs.simps(2) U ⟨Arr [u]⟩ con)
qed
moreover have PCong [u] [u]
using PCong.intros(1) calculation(2) seq-char by force
ultimately show ?thesis
using U arr-append arr-char con seq-char
PCong.intros(4) [of [u] [t \ u] @ (U *` [t \ u])
[u] U @ ([t \ u] *` U)]
by blast
qed
also have ([u] @ (U @ ([t \ u] *` U))) = ((u # U) @ [t] *` (u # U))

```

```

by (metis Resid-rec(3) U append-Cons append-Nil con)
finally show ?thesis by blast
qed
qed
qed

lemma PCong-permute:
shows T *~* U ==> PCong (T @ (U *` T)) (U @ (T *` U))
proof (induct T arbitrary: U)
show <math>\bigwedge U. \square *` U \neq \square ==> PCong (\square @ U *` \square) (U @ \square *` U)
  by simp
fix t T U
assume ind: <math>\bigwedge U. T *~* U ==> PCong (T @ (U *` T)) (U @ (T *` U))
assume con: t # T *~* U
show PCong ((t # T) @ (U *` (t # T))) (U @ ((t # T) *` U))
proof (cases T = \square)
assume T: T = \square
have (t # T) @ (U *` (t # T)) = [t] @ (U *` [t])
  using con T by simp
also have PCong ... (U @ ([t] *` U))
  using PCong-permute-single T con by blast
finally show ?thesis
  using T by fastforce
next
assume T: T \neq \square
have (t # T) @ (U *` (t # T)) = [t] @ (T @ (U *` (t # T)))
  by simp
also have PCong ... ([t] @ (U *` [t]) @ (T *` (U *` [t])))
  using ind [of U *` [t]]
  by (metis Arr.simps(1) Con-imp-Arr-Resid Con-implies-Arr(2) Con-sym
      PCong.intros(1,4) Resid-cons(2) Srcs-Resid T arr-append arr-append-imp-seq
      calculation con not-arr-null null-char seq-char)
also have [t] @ (U *` [t]) @ (T *` (U *` [t])) =
  ([t] @ (U *` [t])) @ (T *` (U *` [t]))
  by simp
also have PCong (([t] @ (U *` [t])) @ (T *` (U *` [t])))
  ((U @ ([t] *` U)) @ (T *` (U *` [t])))
  by (metis Arr.simps(1) Con-cons(1) Con-imp-Arr-Resid Con-implies-Arr(2)
      PCong.intros(1,4) PCong-permute-single Srcs-Resid T Trgs-append arr-append
      arr-char con seq-char)
also have (U @ ([t] *` U)) @ (T *` (U *` [t])) = U @ ((t # T) *` U)
  by (metis Resid.simps(2) Resid-cons(1) append.assoc con)
finally show ?thesis by blast
qed
qed

lemma Cong-imp-PCong:
assumes T *~* U
shows PCong T U

```

```

proof -
  have PCong T (T @ (U * \^* T))
    using assms PCong.intros(2) PCong-append-Ide
    by (metis Con-implies-Arr(1) Ide.simps(1) Srcs-Resid ide-char Con-imp-Arr-Resid
        seq-char)
  also have PCong (T @ (U * \^* T)) (U @ (T * \^* U))
    using PCong-permute assms con-char prfx-implies-con by presburger
  also have PCong (U @ (T * \^* U)) U
    using assms PCong-append-Ide
    by (metis Con-imp-Arr-Resid Con-implies-Arr(1) Srcs-Resid arr-resid-iff-con
        ide-implies-arr con-char ide-char seq-char)
  finally show ?thesis by blast
qed

lemma Cong-iff-PCong:
shows T *~* U  $\longleftrightarrow$  PCong T U
  using PCong-imp-Cong Cong-imp-PCong by blast

end

```

2.5 Composite Completion

The RTS of paths in an RTS factors via the coherent normal sub-RTS of identity paths into an extensional RTS with composites, which can be regarded as a “composite completion” of the original RTS.

```

locale composite-completion =
  R: rts
begin

  interpretation N: coherent-normal-sub-rts resid <Collect R.ide>
    using R.rts-axioms R.identities-form-coherent-normal-sub-rts by auto
  sublocale P: paths-in-rts-with-coherent-normal resid <Collect R.ide> ..
  sublocale quotient-by-coherent-normal P.Resid <Collect P.NPath> ..

  notation P.Resid (infix * \^* 70)
  notation P.Con (infix * \sim* 50)
  notation P.Cong (infix * \approx* 50)
  notation P.Congo_0 (infix * \approx_0* 50)
  notation P.Cong-class ({\}-\{})

  notation Resid (infix {\} * \^* \} 70)
  notation con (infix {\} \sim* \} 50)
  notation prfx (infix {\} \lesssim* \} 50)

  lemma NPath-char:
  shows P.NPath T  $\longleftrightarrow$  P.Ide T
    using P.NPath-def P.Ide-implies-NPath by blast

```

```

lemma Cong-eq-Congo:
shows  $T * \approx^* T' \longleftrightarrow T * \approx_0^* T'$ 
by (metis P.Cong-iff-cong P.ide-char P.ide-closed CollectD Collect-cong
      NPath-char)

lemma Srcs-respects-Cong:
assumes  $T * \approx^* T'$ 
shows P.Srcs  $T = P.Srcs T'$ 
using assms
by (meson P.Con-imp-eq-Srcs P.Congo0-imp-con P.con-char Cong-eq-Congo0)

lemma sources-respects-Cong:
assumes  $T * \approx^* T'$ 
shows P.sources  $T = P.sources T'$ 
using assms
by (meson P.Congo0-imp-coinitial Cong-eq-Congo0)

lemma Trgs-respects-Cong:
assumes  $T * \approx^* T'$ 
shows P.Trgs  $T = P.Trgs T'$ 
proof -
  have  $P.Trgs T = P.Trgs (T @ (T' * \setminus^* T))$ 
  using assms NPath-char PArr.simps(1) P.Con-imp-Arr-Resid
    P.Con-sym P.Cong-def P.Con-Arr-self
    P.Con-implies-Arr(2) P.Resid-Ide(1) P.Srcs-Resid P.Trgs-append
  by (metis P.Congo0-imp-con P.con-char CollectD)
  also have ... =  $P.Trgs (T' @ (T * \setminus^* T'))$ 
  using P.Congo0-imp-con P.con-char Cong-eq-Congo0 assms by force
  also have ... =  $P.Trgs T'$ 
  using assms NPath-char PArr.simps(1) P.Con-imp-Arr-Resid
    P.Con-sym P.Cong-def P.Con-Arr-self
    P.Con-implies-Arr(2) P.Resid-Ide(1) P.Srcs-Resid P.Trgs-append
  by (metis P.Congo0-imp-con P.con-char CollectD)
  finally show ?thesis by blast
qed

lemma targets-respects-Cong:
assumes  $T * \approx^* T'$ 
shows P.targets  $T = P.targets T'$ 
using assms P.Cong-imp-arr(1) P.Cong-imp-arr(2) P.arr-iff-has-target
  P.targets-charP Trgs-respects-Cong
by force

lemma ide-charCC:
shows ide  $\mathcal{T} \longleftrightarrow arr \mathcal{T} \wedge (\forall T. T \in \mathcal{T} \longrightarrow P.Ide T)$ 
using NPath-char ide-char' by blast

lemma con-charCC:
shows  $\mathcal{T} \{ * \rightsquigarrow^* \} \mathcal{U} \longleftrightarrow arr \mathcal{T} \wedge arr \mathcal{U} \wedge P.Cong-class-rep \mathcal{T} * \rightsquigarrow^* P.Cong-class-rep \mathcal{U}$ 

```

```

proof
show arr T ∧ arr U ∧ P.Cong-class-rep T *¬¬* P.Cong-class-rep U ⇒ T {*¬¬*} U
  using arr-char P.con-char
  by (meson P.rep-in-Cong-class con-charQCN)
show T {*¬¬*} U ⇒ arr T ∧ arr U ∧ P.Cong-class-rep T *¬¬* P.Cong-class-rep U
proof -
  assume con: T {*¬¬*} U
  have 1: arr T ∧ arr U
    using con coinitial-iff con-imp-coinitial by blast
  moreover have P.Cong-class-rep T *¬¬* P.Cong-class-rep U
  proof -
    obtain T U where TU: T ∈ T ∧ U ∈ U ∧ P.Con T U
      using con Resid-def
      by (meson P.con-char con-charQCN)
    have T *≈* P.Cong-class-rep T ∧ U *≈* P.Cong-class-rep U
      using TU 1 by (meson P.Cong-class-memb-Cong-rep arr-char)
    thus ?thesis
      using TU P.Cong-subst(1) [of T P.Cong-class-rep T U P.Cong-class-rep U]
      by (metis P.coinitial-iff P.con-char P.con-imp-coinitial sources-respects-Cong)
  qed
  ultimately show ?thesis by simp
qed
qed

lemma con-charCC':
shows T {*¬¬*} U ↔ arr T ∧ arr U ∧ (∀ T U. T ∈ T ∧ U ∈ U → T *¬¬* U)
proof
  show arr T ∧ arr U ∧ (∀ T U. T ∈ T ∧ U ∈ U → T *¬¬* U) ⇒ T {*¬¬*} U
    using con-charCC
    by (simp add: P.rep-in-Cong-class arr-char)
  show T {*¬¬*} U ⇒ arr T ∧ arr U ∧ (∀ T U. T ∈ T ∧ U ∈ U → T *¬¬* U)
  proof (intro conjI allI impI)
    assume 1: T {*¬¬*} U
    show arr T
      using 1 con-implies-arr by simp
    show arr U
      using 1 con-implies-arr by simp
    fix T U
    assume 2: T ∈ T ∧ U ∈ U
    show T *¬¬* U
      using 1 2 P.Cong-class-memb-Cong-rep
      by (meson P.Cong0-subst-Con P.con-char Cong-eq-Cong0 arr-char con-charCC)
  qed
qed
qed

lemma resid-char:
shows T {*\ *} U =
  (if T {*¬¬*} U then {P.Cong-class-rep T *\* P.Cong-class-rep U} else {})
  by (metis P.con-char P.rep-in-Cong-class Resid-by-members arr-char arr-resid-iff-con)

```

con-char_{CC} is-Cong-class-Resid)

```

lemma src-char':
shows src  $\mathcal{T} = \{A. arr \mathcal{T} \wedge P.Ide A \wedge P.Srcs (P.Cong-class-rep \mathcal{T}) = P.Srcs A\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg arr \mathcal{T} \implies ?thesis$ 
    by (simp add: null-char src-def)
  assume  $\mathcal{T}: arr \mathcal{T}$ 
  have 1:  $\exists A. P.Ide A \wedge P.Srcs (P.Cong-class-rep \mathcal{T}) = P.Srcs A$ 
    by (metis P.Arr.simps(1) P.Con-imp-eq-Srcs P.Congo-imp-con
      P.Cong-class-memb-Cong-rep P.Cong-def P.con-char P.rep-in-Cong-class
      CollectD  $\mathcal{T}$  NPath-char P.Con-implies-Arr(1) arr-char)
  let ?A = SOME A. P.Ide A  $\wedge$  P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs A
  have A: P.Ide ?A  $\wedge$  P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs ?A
    using 1 someI-ex [of  $\lambda A. P.Ide A \wedge P.Srcs (P.Cong-class-rep \mathcal{T}) = P.Srcs A$ ] by simp
  have a: arr {?A}
    using A P.ide-char P.is-Cong-classI arr-char by blast
  have ide-a: ide {?A}
    using a A P.Cong-class-def P.normal-is-Cong-closed NPath-char ide-charCC by auto
  have sources  $\mathcal{T} = \{\{?A\}\}$ 
  proof -
    have  $\mathcal{T} \{* \rightsquigarrow *\} \{?A\}$ 
      by (metis (no-types, lifting) A P.Con-Ide-iff P.Cong-class-memb-Cong-rep
        P.Cong-imp-arr(1) P.arr-char P.arr-in-Cong-class P.ide-char
        P.ide-implies-arr P.rep-in-Cong-class Con-char a  $\mathcal{T}$  P.con-char
        null-char arr-char P.con-sym conI)
    hence {?A}  $\in$  sources  $\mathcal{T}$ 
      using ide-a in-sourcesI by simp
    thus ?thesis
      using sources-char by auto
  qed
  moreover have {?A} = {A. P.Ide A  $\wedge$  P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs A}
  proof
    show {A. P.Ide A  $\wedge$  P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs A}  $\subseteq$  {?A}
      using A P.Cong-class-def P.Cong-closure-props(3) P.Ide-implies-Arr
        P.ide-closed P.ide-char
      by fastforce
    show {?A}  $\subseteq$  {A. P.Ide A  $\wedge$  P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs A}
      using a A P.Cong-class-def Srcs-respects-Cong ide-a ide-charCC by blast
  qed
  ultimately show ?thesis
    using  $\mathcal{T}$  src-in-sources sources-char by auto
  qed

lemma src-char:
shows src  $\mathcal{T} = \{A. arr \mathcal{T} \wedge P.Ide A \wedge (\forall T. T \in \mathcal{T} \longrightarrow P.Srcs T = P.Srcs A)\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg arr \mathcal{T} \implies ?thesis$ 
    by (simp add: null-char src-def)

```

```

assume  $\mathcal{T}$ : arr  $\mathcal{T}$ 
have  $\bigwedge T. T \in \mathcal{T} \implies P.\text{Srcs } T = P.\text{Srcs } (P.\text{Cong-class-rep } \mathcal{T})$ 
  using  $\mathcal{T}$   $P.\text{Cong-class-memb-Cong-rep Srcs-respects-Cong arr-char}$  by auto
  thus ?thesis
    using  $\mathcal{T}$   $\text{src-char}' P.\text{is-Cong-class-def arr-char}$  by force
qed

lemma  $\text{trg-char}'$ :
shows  $\text{trg } \mathcal{T} = \{B. \text{arr } \mathcal{T} \wedge P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg \text{arr } \mathcal{T} \implies \text{?thesis}$ 
    by (metis (no-types, lifting) Collect-empty-eq arrI resid-arr-self resid-char)
  assume  $\mathcal{T}$ : arr  $\mathcal{T}$ 
  have 1:  $\exists B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ 
    by (metis P.Con-implies-Arr(2) P.Resid-Arr-self P.Srcs-Resid  $\mathcal{T}$  con-charCC arrE)
  define  $B$  where  $B = (\text{SOME } B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B)$ 
  have  $B: P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ 
    unfolding  $B\text{-def}$ 
    using 1 someI-ex [of  $\lambda B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ ] by simp
  hence 2:  $P.\text{Ide } B \wedge P.\text{Con } (P.\text{Resid } (P.\text{Cong-class-rep } \mathcal{T}) (P.\text{Cong-class-rep } \mathcal{T})) B$ 
    using  $\mathcal{T}$ 
    by (metis (no-types, lifting) P.Con-Ide-iff P.Ide-implies-Arr P.Resid-Arr-self
      P.Srcs-Resid arrE P.Con-implies-Arr(2) con-charCC)
  have  $b: \text{arr } \{B\}$ 
    by (simp add: 2 P.ide-char P.is-Cong-classI arr-char)
  have  $\text{ide-}b: \text{ide } \{B\}$ 
    by (meson 2 P.arr-in-Cong-class P.ide-char P.ide-closed
      b disjoint-iff ide-char P.ide-implies-arr)
  have targets  $\mathcal{T} = \{\{B\}\}$ 
proof –
  have cong ( $\mathcal{T} \setminus \{B\}$ )  $\{B\}$ 
  proof –
    have  $\mathcal{T} \setminus \{B\} = \{B\}$ 
      by (metis (no-types, lifting) 2 P.Cong-class-eqI P.Cong-closure-props(3)
        P.Resid-Arr-Ide-ind P.Resid-Ide(1) NPath-char  $\mathcal{T}$  con-charCC resid-char
        P.Con-implies-Arr(2) P.Resid-Arr-self mem-Collect-eq)
    thus ?thesis
      using b cong-reflexive by presburger
  qed
  thus ?thesis
    using  $\mathcal{T}$  targets-charQCN [of  $\mathcal{T}$ ] cong-char by auto
qed
  moreover have  $\{B\} = \{B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\}$ 
proof
  show  $\{B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\} \subseteq \{B\}$ 
    using B P.Cong-class-def P.Cong-closure-props(3) P.Ide-implies-Arr
      P.ide-closed P.ide-char
    by force
  show  $\{B\} \subseteq \{B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\}$ 

```

```

proof -
  have  $\bigwedge B'. P.Cong B' B \implies P.Ide B' \wedge P.Trgs (P.Cong-class-rep \mathcal{T}) = P.Srcs B'$ 
    using  $B.NPath\text{-}char P.normal\text{-}is\text{-}Cong\text{-}closed Srcs\text{-}respects\text{-}Cong$ 
    by (metis  $P.Cong\text{-closure}\text{-}props(1)$  mem-Collect-eq)
  thus ?thesis
    using  $P.Cong\text{-class}\text{-}def$  by blast
  qed
  qed
  ultimately show ?thesis
    using  $\mathcal{T}.trg\text{-in}\text{-}targets targets\text{-}char$  by auto
  qed

lemma  $trg\text{-}char$ :
shows  $trg \mathcal{T} = \{B. arr \mathcal{T} \wedge P.Ide B \wedge (\forall T. T \in \mathcal{T} \longrightarrow P.Trgs T = P.Srcs B)\}$ 
proof (cases  $arr \mathcal{T}$ )
  show  $\neg arr \mathcal{T} \implies$  ?thesis
    using  $trg\text{-}char'$  by presburger
  assume  $T: arr \mathcal{T}$ 
  have  $\bigwedge T. T \in \mathcal{T} \implies P.Trgs T = P.Trgs (P.Cong\text{-class-rep} \mathcal{T})$ 
    using  $\mathcal{T}$ 
    by (metis  $P.Cong\text{-class}\text{-}memb\text{-}Cong\text{-}rep Trgs\text{-}respects\text{-}Cong arr\text{-}char$ )
  thus ?thesis
    using  $\mathcal{T}.trg\text{-}char' P.is\text{-}Cong\text{-}class\text{-}def arr\text{-}char$  by force
  qed

lemma  $is\text{-}extensional\text{-}rts\text{-}with\text{-}composites$ :
shows  $extensional\text{-}rts\text{-}with\text{-}composites Resid$ 
proof
  fix  $\mathcal{T} \mathcal{U}$ 
  assume  $seq: seq \mathcal{T} \mathcal{U}$ 
  obtain  $T$  where  $T: \mathcal{T} = \{\mathcal{T}\}$ 
    using  $seq P.Cong\text{-class-rep} arr\text{-}char seq\text{-}def$  by blast
  obtain  $U$  where  $U: \mathcal{U} = \{\mathcal{U}\}$ 
    using  $seq P.Cong\text{-class-rep} arr\text{-}char seq\text{-}def$  by blast
  have  $1: P.Arr T \wedge P.Arr U$ 
    using  $seq T U P.Con\text{-}implies\text{-}Arr(2) P.Cong_0\text{-}subst\text{-}right(1) P.Cong\text{-}class\text{-}def$ 
     $P.con\text{-}char seq\text{-}def$ 
    by (metis Collect-empty-eq  $P.Cong\text{-imp}\text{-}arr(1)$   $P.arr\text{-}char P.rep\text{-}in\text{-}Cong\text{-}class$ 
      empty-iff arr-char)
  have  $2: P.Trgs T = P.Srcs U$ 
  proof -
    have  $targets \mathcal{T} = sources \mathcal{U}$ 
      using  $seq seq\text{-}def sources\text{-}char targets\text{-}char_{WE}$  by force
    hence  $3: trg \mathcal{T} = src \mathcal{U}$ 
      using  $seq arr\text{-}has\text{-}un\text{-}source arr\text{-}has\text{-}un\text{-}target$ 
      by (metis seq-def src-in-sources trg-in-targets)
    hence  $\{B. P.Ide B \wedge P.Trgs (P.Cong\text{-class-rep} \mathcal{T}) = P.Srcs B\} =$ 
       $\{A. P.Ide A \wedge P.Srcs (P.Cong\text{-class-rep} \mathcal{U}) = P.Srcs A\}$ 
      using  $seq seq\text{-}def src\text{-}char' [of] \mathcal{U} trg\text{-}char' [of] \mathcal{T}$  by force

```

hence $P.\text{Trgs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{U})$
using seq seq-def arr-char
by (metis (mono-tags, lifting) 3 P.Cong-class-is-nonempty Collect-empty-eq
arr-src-iff-arr mem-Collect-eq trg-char')

thus ?thesis
using seq seq-def arr-char T U P.Srcs-respects-Cong P.Trgs-respects-Cong
P.Cong-class-memb-Cong-rep P.Cong-symmetric
by (metis 1 P.arr-char P.arr-in-Cong-class Srcs-respects-Cong Trgs-respects-Cong)

qed
have P.Arr (T @ U)
using 1 2 **by** simp
moreover have P.Ide (T *` (T @ U))
by (metis 1 P.Con-append(2) P.Con-sym P.Resid-Arr-self P.Resid-Ide-Arr-ind
P.Resid-append(2) P.Trgs.simps(1) calculation P.Arr-has-Trg)
moreover have (T @ U) *` T *≈* U
by (metis 1 P.Arr.simps(1) P.Con-sym P.Congo0-append-resid-NPath P.Congo0-cancel-left_{CS}
P.Ide.simps(1) calculation(2) Cong-eq-Congo0 NPath-char)
ultimately have composite-of $\mathcal{T} \cup \{T @ U\}$
proof (unfold composite-of-def, intro conjI)
show prfx \mathcal{T} (P.Cong-class (T @ U))
proof –
have ide ($\mathcal{T} \setminus \{T @ U\}$)
proof (unfold ide-char, intro conjI)
have 3: $T *` (T @ U) \in \mathcal{T} \setminus \{T @ U\}$
proof –
have $\mathcal{T} \setminus \{T @ U\} = \{T *` (T @ U)\}$
by (metis 1 P.Ide.simps(1) P.arr-char P.arr-in-Cong-class P.con-char
P.is-Cong-classI Resid-by-members T ⟨P.Arr (T @ U)⟩
⟨P.Ide (T *` (T @ U))⟩)
thus ?thesis
by (simp add: P.arr-in-Cong-class P.elements-are-arr NPath-char
⟨P.Ide (T *` (T @ U))⟩)

qed
show arr ($\mathcal{T} \setminus \{T @ U\}$)
using 3 arr-char is-Cong-class-Resid **by** blast
show $\mathcal{T} \setminus \{T @ U\} \cap \text{Collect } P.NPath \neq \{\}$
using 3 P.ide-closed P.ide-char ⟨P.Ide (T *` (T @ U))⟩ **by** blast
qed
thus ?thesis **by** blast

qed
show {T @ U} *` $\mathcal{T} \lesssim^* \mathcal{U}$
proof –
have 3: $((T @ U) *` T) *` U \in (\{T @ U\} *` \mathcal{T}) *` \mathcal{U}$
proof –
have ($\{T @ U\} *` \mathcal{T}$) *` $\mathcal{U} = \{((T @ U) *` T) *` U\}$
proof –
have $\{T @ U\} *` \mathcal{T} = \{(T @ U) *` T\}$
by (metis 1 P.Cong-imp-arr(1) P.arr-char P.arr-in-Cong-class
P.is-Cong-classI T ⟨P.Arr (T @ U)⟩ ⟨(T @ U) *` T *≈* U⟩

Resid-by-members P.arr-resid-iff-con)
moreover
have $\{(T @ U) * \setminus^* T\} \{*\setminus^*\} \mathcal{U} = \{((T @ U) * \setminus^* T) * \setminus^* U\}$
by (metis 1 P.Cong-class-eqI P.Cong-imp-arr(1) P.arr-char
P.arr-in-Cong-class P.con-char P.is-Cong-classI arr-char arrE U
 $\langle(T @ U) * \setminus^* T * \approx^* U\rangle$ con-char_{CC'} Resid-by-members)
ultimately show ?thesis **by** auto
qed
thus ?thesis
by (metis 1 P.Arr.simps(1) P.Congo-reflexive P.Resid-append(2) P.arr-char
P.arr-in-Cong-class P.elements-are-arr ⟨P.Arr (T @ U)⟩)
qed
have $\{T @ U\} \{*\setminus^*\} \mathcal{T} \{*\lesssim^*\} \mathcal{U}$
proof (*unfold ide-char, intro conjI*)
show arr $(\{T @ U\} \{*\setminus^*\} \mathcal{T}) \{*\setminus^*\} \mathcal{U}$
using 3 arr-char is-Cong-class-Resid **by** blast
show $(\{T @ U\} \{*\setminus^*\} \mathcal{T}) \{*\setminus^*\} \mathcal{U} \cap \text{Collect } P.NPath \neq \{\}$
by (metis 1 3 P.Arr.simps(1) P.Resid-append(2) P.con-char
IntI ⟨P.Arr (T @ U)⟩ NPath-char P.Resid-Arr-self P.arr-char empty-iff
mem-Collect-eq P.arrE)
qed
thus ?thesis **by** blast
qed
show $\mathcal{U} \{*\lesssim^*\} \{T @ U\} \{*\setminus^*\} \mathcal{T}$
proof (*unfold ide-char, intro conjI*)
have 3: $U * \setminus^* ((T @ U) * \setminus^* T) \in \mathcal{U} \{*\setminus^*\} (\{T @ U\} \{*\setminus^*\} \mathcal{T})$
proof –
have $\mathcal{U} \{*\setminus^*\} (\{T @ U\} \{*\setminus^*\} \mathcal{T}) = \{U * \setminus^* ((T @ U) * \setminus^* T)\}$
proof –
have $\{T @ U\} \{*\setminus^*\} \mathcal{T} = \{(T @ U) * \setminus^* T\}$
by (metis 1 P.Con-sym P.Ide.simps(1) P.arr-char P.arr-in-Cong-class
P.con-char P.is-Cong-classI Resid-by-members T ⟨P.Arr (T @ U)⟩
 $\langle P.Ide (T * \setminus^* (T @ U)) \rangle$)
moreover have $\mathcal{U} \{*\setminus^*\} (\{T @ U\} \{*\setminus^*\} \mathcal{T}) = \{U * \setminus^* ((T @ U) * \setminus^* T)\}$
by (metis 1 P.Cong-class-eqI P.Cong-imp-arr(1) P.arr-char
P.arr-in-Cong-class P.con-char P.is-Cong-classI prfx-implies-con
 $U \langle(T @ U) * \setminus^* T * \approx^* U\rangle \langle\{T @ U\} \{*\setminus^*\} \mathcal{T}\} \{*\lesssim^*\} \mathcal{U}$
calculation con-char_{CC'} Resid-by-members)
ultimately show ?thesis **by** blast
qed
thus ?thesis
by (metis 1 P.Arr.simps(1) P.Resid-append-ind P.arr-in-Cong-class
P.con-char ⟨P.Arr (T @ U)⟩ P.Con-Arr-self P.arr-resid-iff-con)
qed
show arr $(\mathcal{U} \{*\setminus^*\} (\{T @ U\} \{*\setminus^*\} \mathcal{T}))$
by (metis 3 arr-resid-iff-con empty-iff resid-char)
show $\mathcal{U} \{*\setminus^*\} (\{T @ U\} \{*\setminus^*\} \mathcal{T}) \cap \text{Collect } P.NPath \neq \{\}$
by (metis 1 3 P.Arr.simps(1) P.Congo-append-resid-NPath P.Congo-cancel-left_{CS}
*P.Cong-imp-arr(1) P.arr-char NPath-char IntI ⟨(T @ U) * \setminus^* T * \approx^* U⟩*

```

    ⟨P.Idc (T * \* (T @ U))⟩ empty-iff)
qed
qed
thus composable T U
  using composable-def by auto
qed

sublocale extensional-rts-with-composites Resid
  using is-extensional-rts-with-composites by simp

```

2.5.1 Inclusion Map

```

abbreviation incl
where incl t ≡ {[t]}

The inclusion into the composite completion preserves consistency and residuation.

lemma incl-preserves-con:
assumes t ⊂ u
shows {[t]} {* ⊂ *} {[u]}
  using assms
by (meson P.Con-rec(1) P.arr-in-Cong-class P.con-char P.is-Cong-classI
      con-charQCN P.con-implies-arr(1–2))

lemma incl-preserves-resid:
shows {[t \ u]} = {[t]} {* \ *} {[u]}
proof (cases t ⊂ u)
  show t ⊂ u ==> ?thesis
  proof –
    assume 1: t ⊂ u
    have P.is-Cong-class {[t]} ∧ P.is-Cong-class {[u]}
      using 1 con-charQCN incl-preserves-con by presburger
    moreover have [t] ∈ {[t]} ∧ [u] ∈ {[u]}
      using 1
      by (meson P.Con-rec(1) P.arr-in-Cong-class P.con-char
          P.Con-implies-Arr(2) P.arr-char P.con-implies-arr(1))
    moreover have P.con [t] [u]
      using 1 by (simp add: P.con-char)
    ultimately show ?thesis
      using Resid-by-members [of {[t]} {[u]} [t] [u]]
      by (simp add: 1)
  qed
  assume 1: ¬ t ⊂ u
  have {[t \ u]} = {}
    using 1 R.arrI
    by (metis Collect-empty-eq P.Con-Arr-self P.Con-rec(1)
        P.Cong-class-def P.Cong-imp-arr(1) P.arr-char R.arr-resid-iff-con)
  also have ... = {[t]} {* \ *} {[u]}
    by (metis (full-types) 1 Con-char CollectD P.Con-rec(1) P.Cong-class-def
        P.Cong-imp-arr(1) P.arr-in-Cong-class con-charCC' null-char conI)
  finally show ?thesis by simp

```

qed

lemma *incl-reflects-con*:
assumes $\{[t]\} \{^*\sim^*\} \{[u]\}$
shows $t \sim u$
 by (metis *P.Con-rec(1)* *P.Cong-class-def* *P.Cong-imp-arr(1)* *P.arr-in-Cong-class*
 CollectD assms con-char_{CC}' con-char_{QCN})

The inclusion map is a simulation.

sublocale *incl*: *simulation resid Resid incl*
proof
 show $\bigwedge t. \neg R.arr t \implies incl t = null$
 by (metis *Collect-empty-eq* *P.Cong-class-def* *P.Cong-imp-arr(1)* *P.Ide.simps(2)*
 P.Resid-rec(1) *P.cong-reflexive* *P.elements-are-arr* *P.ide-char* *P.ide-closed*
 P.not-arr-null *P.null-char* *R.prfx-implies-con* *null-char* *R.con-implies-arr(1)*)
 show $\bigwedge t u. t \sim u \implies incl t \{^*\sim^*\} incl u$
 using *incl-preserves-con* **by** *blast*
 show $\bigwedge t u. t \sim u \implies incl(t \setminus u) = incl t \{^*\setminus^*\} incl u$
 using *incl-preserves-resid* **by** *blast*
qed

lemma *inclusion-is-simulation*:
shows *simulation resid Resid incl*
..

lemma *incl-preserves-arr*:
assumes *R.arr a*
shows *arr {[a]}*
 using *assms incl-preserves-con* **by** *auto*

lemma *incl-preserves-ide*:
assumes *R.ide a*
shows *ide {[a]}*
 by (metis *assms incl-preserves-con incl-preserves-resid R.ide-def ide-def*)

lemma *cong-iff-eq-incl*:
assumes *R.arr t and R.arr u*
shows $\{[t]\} = \{[u]\} \longleftrightarrow t \sim u$
proof
 show $\{[t]\} = \{[u]\} \implies t \sim u$
 by (metis *P.Con-rec(1)* *P.Ide.simps(2)* *P.Resid.simps(3)* *P.arr-in-Cong-class*
 P.con-char *R.arr-def* *R.cong-reflexive assms(1)* *ide-char_{CC}*
 incl-preserves-con *incl-preserves-ide* *incl-preserves-resid* *incl-reflects-con*
 P.arr-resid-iff-con)
 show $t \sim u \implies \{[t]\} = \{[u]\}$
 using *assms*
 by (metis *incl-preserves-resid extensional incl-preserves-ide*)
qed

The inclusion is surjective on identities.

```

lemma img-incl-ide:
shows incl ` (Collect R.ide) = Collect ide
proof
  show incl ` Collect R.ide ⊆ Collect ide
    by (simp add: image-subset-iff)
  show Collect ide ⊆ incl ` Collect R.ide
  proof
    fix A
    assume A: A ∈ Collect ide
    obtain A where A: A ∈ A
      using A ide-char by blast
    have P.NPath A
      by (metis A Ball-Collect A ide-char' mem-Collect-eq)
    obtain a where a: a ∈ P.Srcs A
      using ⟨P.NPath A⟩
      by (meson P.NPath-implies-Arr equals0I P.Arr-has-Src)
    have P.Congo A [a]
    proof –
      have P.Ide [a]
        by (metis NPath-char P.Con-Arr-self P.Ide.simps(2) P.NPath-implies-Arr
          P.Resid-Ide(1) P.Srcs.elims R.in-sourcesE ⟨P.NPath A⟩ a)
      thus ?thesis
        using a A
        by (metis P.Ide.simps(2) P.ide-char P.ide-closed ⟨P.NPath A⟩ NPath-char
          P.Con-single-ide-iff P.Ide-implies-Arr P.Resid-Arr-Ide-ind P.Resid-Arr-Src)
    qed
    have A = {[a]}
    by (metis A P.Congo-imp-con P.Congo-implies-Cong P.Congo-transitive P.Cong-class-eqI
      P.ide-char P.resid-arr-ide Resid-by-members A ⟨A *≈₀* [a]⟩ ⟨P.NPath A⟩ arr-char
      NPath-char ideE ide-implies-arr mem-Collect-eq)
    thus A ∈ incl ` Collect R.ide
      using NPath-char P.Ide.simps(2) P.backward-stable ⟨A *≈₀* [a]⟩ ⟨P.NPath A⟩ by blast
    qed
  qed
end

```

2.5.2 Composite Completion of an Extensional RTS

```

locale composite-completion-of-extensional-rts =
  R: extensional-rts +
  composite-completion
begin

```

```

  sublocale P: paths-in-weakly-extensional-rts resid ..

```

```

  notation comp (infixr {*.*} 55)

```

When applied to an extensional RTS, the composite completion construction does not identify any states that are distinct in the original RTS.

```

lemma incl-injective-on-ide:
shows inj-on incl (Collect R.ide)
  using R.extensional cong-iff-eq-incl
  by (intro inj-onI) auto

```

When applied to an extensional RTS, the composite completion construction is a bijection between the states of the original RTS and the states of its completion.

```

lemma incl-bijective-on-ide:
shows bij-betw incl (Collect R.ide) (Collect ide)
  using incl-injective-on-ide img-incl-ide bij-betw-def by blast

```

end

2.5.3 Freeness of Composite Completion

In this section we show that the composite completion construction is free: any simulation from RTS A to an extensional RTS with composites B extends uniquely to a simulation on the composite completion of A .

```

locale extension-of-simulation =
  A: paths-in-rts residA +
  B: extensional-rts-with-composites residB +
  F: simulation residA residB F
  for residA :: 'a resid    (infix `_\A` 70)
  and residB :: 'b resid    (infix `_\B` 70)
  and F :: 'a ⇒ 'b
  begin

    notation A.Resid    (infix `*\_\A\*` 70)
    notation A.Resid1x  (infix `^*\_\A\*` 70)
    notation A.Residx1  (infix `*\_\A^1\*` 70)
    notation A.Con     (infix `*\_^\A\*` 70)
    notation B.comp    (infixr `·_B` 55)
    notation B.con     (infix `\_B` 50)

    fun map
    where map [] = B.null
      | map [t] = F t
      | map (t # T) = (if A.arr (t # T) then F t ·B map T else B.null)

    lemma map-o-incl-eq:
    shows map (A.incl t) = F t
      by (simp add: A.null-char F.extensional)

    lemma extensional:
    shows ¬ A.arr T ==> map T = B.null
      using F.extensional A.arr-char
      by (metis AArr.simps(2) map.elims)

    lemma preserves-comp:

```

```

shows  $\llbracket T \neq [] ; U \neq [] ; A.\text{Arr} (T @ U) \rrbracket \implies \text{map} (T @ U) = \text{map} T \cdot_B \text{map} U$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. [] \neq [] \implies \text{map} ([] @ U) = \text{map} [] \cdot_B \text{map} U$ 
    by simp
  fix t and T U :: 'a list
  assume ind:  $\bigwedge U. \llbracket T \neq [] ; U \neq [] ; A.\text{Arr} (T @ U) \rrbracket$ 
     $\implies \text{map} (T @ U) = \text{map} T \cdot_B \text{map} U$ 
  assume U:  $U \neq []$ 
  assume Arr:  $A.\text{Arr} ((t \# T) @ U)$ 
  hence 1:  $A.\text{Arr} (t \# (T @ U))$ 
    by simp
  have 2:  $A.\text{Arr} (t \# T)$ 
  by (metis A.Con-Arr-self A.Con-append(1) A.Con-implies-Arr(1) Arr U append-is-Nil-conv
       list.distinct(1))
  show  $\text{map} ((t \# T) @ U) = B.\text{comp} (\text{map} (t \# T)) (\text{map} U)$ 
  proof (cases T = [])
    show  $T = [] \implies \text{thesis}$ 
      by (metis (full-types) 1 A.arr-char U append-Cons append-Nil list.exhaust
           map.simps(2) map.simps(3))
    assume T:  $T \neq []$ 
    have  $\text{map} ((t \# T) @ U) = \text{map} (t \# (T @ U))$ 
      by simp
    also have ... =  $F t \cdot_B \text{map} (T @ U)$ 
      using T 1
      by (metis A.arr-char Nil-is-append-conv list.exhaust map.simps(3))
    also have ... =  $F t \cdot_B (\text{map} T \cdot_B \text{map} U)$ 
      using ind
      by (metis 1 A.Con-Arr-self A.Con-implies-Arr(1) A.Con-rec(4) T U append-is-Nil-conv)
    also have ... =  $(F t \cdot_B \text{map} T) \cdot_B \text{map} U$ 
      using B.comp-assocEC by blast
    also have ... =  $\text{map} (t \# T) \cdot_B \text{map} U$ 
      using T 2
      by (metis A.arr-char list.exhaust map.simps(3))
    finally show  $\text{map} ((t \# T) @ U) = \text{map} (t \# T) \cdot_B \text{map} U$  by simp
  qed
qed

```

```

lemma preserves-arr-ind:
shows  $\llbracket A.\text{arr} T ; a \in A.\text{Srcs} T \rrbracket \implies B.\text{arr} (\text{map} T) \wedge B.\text{src} (\text{map} T) = F a$ 
proof (induct T arbitrary: a)
  show  $\bigwedge a. \llbracket A.\text{arr} [] ; a \in A.\text{Srcs} [] \rrbracket \implies B.\text{arr} (\text{map} []) \wedge B.\text{src} (\text{map} []) = F a$ 
    using A.arr-char by simp
  fix a t T
  assume a:  $a \in A.\text{Srcs} (t \# T)$ 
  assume tT:  $A.\text{arr} (t \# T)$ 
  assume ind:  $\bigwedge a. \llbracket A.\text{arr} T ; a \in A.\text{Srcs} T \rrbracket \implies B.\text{arr} (\text{map} T) \wedge B.\text{src} (\text{map} T) = F a$ 
  have 1:  $a \in A.R.\text{sources} t$ 
    using a tT A.Con-imp-eq-Srcs A.Con-initial-right A.Srcs.simps(2) A.con-char
    by blast

```

```

show B.arr (map (t # T)) ∧ B.src (map (t # T)) = F a
proof (cases T = [])
  show T = [] ==> ?thesis
    by (metis 1 AArr.simps(2) A.arr-char B.arr-has-un-source B.src-in-sources
        F.preserves-reflects-arr F.preserves-sources image-subset-iff map.simps(2) tT)
  assume T: T ≠ []
  obtain a' where a': a' ∈ A.R.targets t
    using tT 1 A.R.resid-source-in-targets by auto
  have 2: a' ∈ A.Srcs T
    using a' tT
    by (metis A.Con-Arr-self A.R.sources-resid A.Srcs.simps(2) A.arr-char T
        A.Con-imp-eq-Srcs A.Con-rec(4))
  have B.arr (map (t # T)) ↔ B.arr (F t ·B map T)
    using tT T by (metis map.simps(3) neq-Nil-conv)
  also have 2: ... ↔ True
    by (metis (no-types, lifting) 2 A.arr-char B.arr-compEC B.arr-has-un-target
        B.trg-in-targets F.preserves-reflects-arr F.preserves-targets T a'
        AArr.elims(2) image-subset-iff ind list.sel(1) list.sel(3) tT)
  finally have B.arr (map (t # T)) by simp
  moreover have B.src (map (t # T)) = F a
  proof –
    have B.src (map (t # T)) = B.src (F t ·B map T)
      using tT T by (metis map.simps(3) neq-Nil-conv)
    also have ... = B.src (F t)
      using 2 B.con-comp-iff by force
    also have ... = F a
      by (meson 1 B.weakly-extensional-rts-axioms F.simulation-axioms
          simulation-to-weakly-extensional-rts.preserves-src
          simulation-to-weakly-extensional-rts-def)
    finally show ?thesis by simp
  qed
  ultimately show ?thesis by simp
qed
qed

lemma preserves-arr:
shows A.arr T ==> B.arr (map T)
  using preserves-arr-ind A.arr-char AArr-has-Src by blast

lemma preserves-src:
assumes A.arr T and a ∈ A.Srcs T
shows B.src (map T) = F a
  using assms preserves-arr-ind by simp

lemma preserves-trg:
shows [A.arr T; b ∈ A.Trgs T] ==> B.trg (map T) = F b
proof (induct T)
  show [A.arr []; b ∈ A.Trgs []] ==> B.trg (map []) = F b
    by simp

```

```

fix t T
assume tT: A.arr (t # T)
assume b: b ∈ A.Trgs (t # T)
assume ind: [A.arr T; b ∈ A.Trgs T] ⇒ B.trg (map T) = F b
show B.trg (map (t # T)) = F b
proof (cases T = [])
  show T = [] ⇒ ?thesis
  using tT b
  by (metis A.Trgs.simps(2) B.arr-has-un-target B.trg-in-targets F.preserves-targets
       preserves-arr image-subset-iff map.simps(2))
  assume T: T ≠ []
  have 1: B.trg (map (t # T)) = B.trg (F t ·B map T)
    using tT T b
    by (metis map.simps(3) neq-Nil-conv)
  also have ... = B.trg (map T)
    by (metis B.arr-trg-iff-arr B.composable-iff-arr-comp B.trg-comp calculation
         preserves-arr tT)
  also have ... = F b
    using tT b ind
    by (metis A.Trgs.simps(3) T A.ARR.simps(3) A.arr-char list.exhaust)
  finally show ?thesis by simp
qed
qed

```

lemma preserves-Resid1x-ind:

shows $t^1 \setminus_{A^*} U \neq A.R.null \Rightarrow F t \sim_B map U \wedge F(t^1 \setminus_{A^*} U) = F t \setminus_B map U$

proof (induct U arbitrary: t)

show $\bigwedge t. t^1 \setminus_{A^*} [] \neq A.R.null \Rightarrow F t \sim_B map [] \wedge F(t^1 \setminus_{A^*} []) = F t \setminus_B map []$
 by simp

fix t u U

assume uU: $t^1 \setminus_{A^*} (u \# U) \neq A.R.null$

assume ind: $\bigwedge t. t^1 \setminus_{A^*} U \neq A.R.null \Rightarrow F t \sim_B map U \wedge F(t^1 \setminus_{A^*} U) = F t \setminus_B map U$

show $F t \sim_B map (u \# U) \wedge F(t^1 \setminus_{A^*} (u \# U)) = F t \setminus_B map (u \# U)$

proof

show 1: $F t \sim_B map (u \# U)$

proof (cases U = [])

show U = [] ⇒ ?thesis
 using A.Resid1x.simps(2) map.simps(2) F.preserves-con uU by fastforce

assume U: $U \neq []$

have 3: $[t]^* \setminus_{A^*} [u] \neq [] \wedge ([t]^* \setminus_{A^*} [u])^* \setminus_{A^*} U \neq []$
 using A.Con-cons(2) [of [t] U u]
 by (meson A.Resid1x-as-Resid' U not-Cons-self2 uU)

hence 2: $F t \sim_B F u \wedge F t \setminus_B F u \sim_B map U$
 by (metis A.Con-rec(1) A.Con-sym A.Con-sym1 A.Resid1x-as-Resid A.Resid-rec(1)
 F.preserves-con F.preserves-resid ind)

moreover have B.seq (F u) (map U)
 by (metis B.coinitial-iff_{WE} B.con-imp-coinitial B.seqI_{WE}(1) B.src-resid calculation)

ultimately have $F t \sim_B map ([u] @ U)$

```

using B.con-comp-iffEC(1) [of F t F u map U] B.con-sym preserves-comp
by (metis 3 A.Con-cons(2) A.Con-implies-Arr(2)
      append.left-neutral append-Cons map.simps(2) not-Cons-self2)
thus ?thesis by simp
qed
show F (t 1\A* (u # U)) = F t \B map (u # U)
proof (cases U = [])
  show U = [] ==> ?thesis
    using A.Resid1x.simps(2) F.preserves-resid map.simps(2) uU by fastforce
    assume U: U ≠ []
    have F (t 1\A* (u # U)) = F ((t \A u) 1\A* U)
      using A.Resid1x-as-Resid' A.Resid-rec(3) U uU by metis
    also have ... = F (t \A u) \B map U
      using uU U ind A.Con-rec(3) A.Resid1x-as-Resid [of t \A u U]
      by (metis A.Resid1x.simps(3) list.exhaust)
    also have ... = (F t \B F u) \B map U
      using uU U
      by (metis A.Resid1x-as-Resid' F.preserves-resid A.Con-rec(3))
    also have ... = F t \B (F u \B map U)
      by (metis B.comp-null(2) B.composable-iff-comp-not-null B.con-compI(2) B.conI
          B.con-sym-ax B.mediating-transition B.null-is-zero(2) B.resid-comp(1))
    also have ... = F t \B map (u # U)
      by (metis A.Resid1x-as-Resid' A.con-char U map.simps(3) neq-Nil-conv
          A.con-implies-arr(2) uU)
    finally show ?thesis by simp
qed
qed
qed

```

lemma preserves-Residx1-ind:

shows $U^*\setminus_A^1 t \neq [] \Rightarrow \text{map } U \curvearrowright_B F t \wedge \text{map } (U^*\setminus_A^1 t) = \text{map } U \setminus_B F t$

proof (induct U arbitrary: t)

show $\bigwedge t. []^*\setminus_A^1 t \neq [] \Rightarrow \text{map } [] \curvearrowright_B F t \wedge \text{map } ([]^*\setminus_A^1 t) = \text{map } [] \setminus_B F t$

by simp

fix t u U

assume ind: $\bigwedge t. U^*\setminus_A^1 t \neq [] \Rightarrow \text{map } U \curvearrowright_B F t \wedge \text{map } (U^*\setminus_A^1 t) = \text{map } U \setminus_B F t$

assume uU: $(u \# U)^*\setminus_A^1 t \neq []$

show $\text{map } (u \# U) \curvearrowright_B F t \wedge \text{map } ((u \# U)^*\setminus_A^1 t) = \text{map } (u \# U) \setminus_B F t$

proof (cases U = [])

show U = [] ==> ?thesis

using A.Resid1x.simps(2) F.preserves-con F.preserves-resid map.simps(2) uU

by presburger

assume U: U ≠ []

show ?thesis

proof

show $\text{map } (u \# U) \curvearrowright_B F t$

using uU U A.Con-sym1 B.con-sym preserves-Resid1x-ind by blast

show $\text{map } ((u \# U)^*\setminus_A^1 t) = \text{map } (u \# U) \setminus_B F t$

proof –

```

have map ((u # U) *`A1 t) = map ((u `A t) # U *`A1 (t `A u))
  using uU U A.Residx1-as-Resid A.Resid-rec(2) by fastforce
also have ... = F (u `A t) ·B map (U *`A1 (t `A u))
  by (metis A.Residx1-as-Resid A.arr-char U A.Con-imp-Arr-Resid
      A.Con-rec(2) A.Resid-rec(2) list.exhaust map.simps(3) uU)
also have ... = F (u `A t) ·B map U \_B F (t `A u)
  using uU U ind A.Con-rec(2) A.Residx1-as-Resid by force
also have ... = (F u \_B F t) ·B map U \_B (F t \_B F u)
  using uU U
  by (metis A.Con-initial-right A.Con-rec(1) A.Con-sym1 A.Resid1x-as-Resid'
      A.Residx1-as-Resid F.preserves-resid)
also have ... = (F u ·B map U) \_B F t
  by (metis B.comp-null(2) B.composable-iff-comp-not-null B.con-compI(2) B.con-sym
      B.mediating-transition B.null-is-zero(2) B.resid-comp(2) B.con-def)
also have ... = map (u # U) \_B F t
  by (metis A.Con-implies-Arr(2) A.Con-sym A.Residx1-as-Resid U
      A.arr-char map.simps(3) neq-Nil-conv uU)
  finally show ?thesis by simp
qed
qed
qed
qed

lemma preserves-resid-ind:
shows A.con T U ==> map T ∼B map U ∧ map (T *`A* U) = map T \_B map U
proof (induct T arbitrary: U)
  show ∀ U. A.con [] U ==> map [] ∼B map U ∧ map ([] *`A* U) = map [] \_B map U
    using A.con-char A.Resid.simps(1) by blast
  fix t T U
  assume tT: A.con (t # T) U
  assume ind: ∀ U. A.con T U ==>
    map T ∼B map U ∧ map (T *`A* U) = map T \_B map U
  show map (t # T) ∼B map U ∧ map ((t # T) *`A* U) = map (t # T) \_B map U
  proof (cases T = [])
    assume T: T = []
    show ?thesis
      using T tT
      apply simp
      by (metis A.Resid1x-as-Resid A.Residx1-as-Resid A.con-char
          A.Con-sym A.Con-sym1 map.simps(2) preserves-Resid1x-ind)
  next
    assume T: T ≠ []
    have 1: map (t # T) = F t ·B map T
      using tT T
      by (metis A.con-implies-arr(1) list.exhaust map.simps(3))
    show ?thesis
  proof
    show 2: B.con (map (t # T)) (map U)
      using T tT
  qed
qed

```

```

by (metis 1 A.Con-cons(1) A.Residx1-as-Resid A.con-char A.not-arr-null
    A.null-char B.composable-iff-comp-not-null B.con-compI(2) B.con-sym
    B.not-arr-null preserves-arr ind preserves-Residx1-ind A.con-implies-arr(1-2))
show map ((t # T) *`A* U) = map (t # T) \B{map} U
proof -
  have map ((t # T) *`A* U) = map ([[t]] *`A* U) @ (T *`A* (U *`A* [t]))
  by (metis A.Resid.simps(1) A.Resid-cons(1) A.con-char A.ex-un-null tT)
  also have ... = map ([t] *`A* U) ·B map (T *`A* (U *`A* [t]))
  by (metis AArr.simps(1) A.Con-imp-Arr-Resid A.Con-implies-Arr(2) A.Con-sym
      A.Resid-cons(1-2) A.con-char T preserves-comp tT)
  also have ... = (map [t] \B{map} U) ·B map (T *`A* (U *`A* [t]))
  by (metis A.Con-initial-right A.Con-sym A.Resid1x-as-Resid
      A.Resid1x-as-Resid A.con-char A.Con-sym1 map.simps(2)
      preserves-Resid1x-ind tT)
  also have ... = (map [t] \B{map} U) ·B (map T \B{map} (U *`A* [t]))
  using tT T ind
  by (metis A.Con-cons(1) A.Con-sym A.Resid.simps(1) A.con-char)
  also have ... = (map [t] \B{map} U) ·B (map T \B{map} (map U \B{map} [t]))
  using tT T
  by (metis A.Con-cons(1) A.Con-sym A.Resid.simps(2) A.Residx1-as-Resid
      A.con-char map.simps(2) preserves-Residx1-ind)
  also have ... = (F t \B{map} U) ·B (map T \B{map} (map U \B{map} F t))
  using tT T by simp
  also have ... = map (t # T) \B{map} U
  using 1 2 B.resid-comp(2) by presburger
  finally show ?thesis by simp
qed
qed
qed
qed

lemma preserves-con:
assumes A.con T U
shows map T \B{map} U
using assms preserves-resid-ind by simp

lemma preserves-resid:
assumes A.con T U
shows map (T *`A* U) = map T \B{map} U
using assms preserves-resid-ind by simp

sublocale simulation A.Resid resid_B map
  using A.con-char preserves-con preserves-resid extensional
  by unfold-locales auto

sublocale simulation-to-extensional-rts A.Resid resid_B map ..
  ..
```

lemma is-universal:
assumes rts-with-composites resid_B **and** simulation resid_A resid_B F

```

shows  $\exists !F'. \text{simulation } A.\text{Resid resid}_B F' \wedge F' \circ A.\text{incl} = F$ 
proof
  interpret  $B$ : rts-with-composites  $\text{resid}_B$ 
    using assms by auto
  interpret  $F$ : simulation  $\text{resid}_A \text{resid}_B F$ 
    using assms by auto
  show simulation  $A.\text{Resid resid}_B \text{map} \wedge \text{map} \circ A.\text{incl} = F$ 
    using map-o-incl-eq simulation-axioms by auto
  show  $\bigwedge F'. \text{simulation } A.\text{Resid resid}_B F' \wedge F' \circ A.\text{incl} = F \implies F' = \text{map}$ 
  proof
    fix  $F' T$ 
    assume  $F': \text{simulation } A.\text{Resid resid}_B F' \wedge F' \circ A.\text{incl} = F$ 
    interpret  $F'$ : simulation  $A.\text{Resid resid}_B F'$ 
      using  $F'$  by simp
    show  $F' T = \text{map} T$ 
    proof (induct  $T$ )
      show  $F' [] = \text{map} []$ 
        by (simp add: A.arr-char  $F'.$ extensional)
      fix  $t T$ 
      assume  $ind: F' T = \text{map} T$ 
      show  $F' (t \# T) = \text{map} (t \# T)$ 
      proof (cases AArr  $(t \# T)$ )
        show  $\neg A.\text{Arr} (t \# T) \implies ?thesis$ 
          by (simp add: A.arr-char  $F'.$ extensional extensional)
        assume  $tT: A.\text{Arr} (t \# T)$ 
        show  $?thesis$ 
        proof (cases  $T = []$ )
          show  $2: T = [] \implies ?thesis$ 
            using  $F' tT$  by auto
          assume  $T: T \neq []$ 
          have  $F' (t \# T) = F' [t] \cdot_B \text{map} T$ 
          proof -
            have  $F' (t \# T) = F' ([t] @ T)$ 
              by simp
            also have ... =  $F' [t] \cdot_B F' T$ 
            proof -
              have  $A.\text{composite-of} [t] T ([t] @ T)$ 
                using  $T tT$ 
              by (metis (full-types) AArr.simps(2) AConArrSelf
                  A.append-is-composite-of AConImpliesArr(1) AConImpEqSrcs
                  AConRec(4) AResidRec(1) ASrcsResid ASeqChar ARarrI)
            thus  $?thesis$ 
              using  $F'.\text{preserves-composites} [\text{of} [t] T [t] @ T] B.\text{comp-is-composite-of}$ 
                by auto
            qed
            also have ... =  $F' [t] \cdot_B \text{map} T$ 
              using  $T ind$  by simp
            finally show  $?thesis$  by simp
          qed
        qed
      qed
    qed
  qed

```

```

also have ... = ( $F' \circ A.incl$ )  $t \cdot_B map T$ 
  using  $tT$ 
  by (simp add: A.arr-char A.null-char  $F'.extensional$ )
also have ... =  $F t \cdot_B map T$ 
  using  $F'$  by simp
also have ... =  $map(t \# T)$ 
  using  $T tT$ 
  by (metis A.arr-char list.exhaust map.simps(3))
finally show ?thesis by simp
qed
qed
qed
qed
qed
qed

end

lemma composite-completion-of-rts:
assumes rts A
shows  $\exists(C :: 'a list resid) I. rts\text{-with}\text{-composites } C \wedge simulation A C I \wedge$ 
 $(\forall B (J :: 'a \Rightarrow 'c). extensional\text{-rts}\text{-with}\text{-composites } B \wedge simulation A B J$ 
 $\longrightarrow (\exists!J'. simulation C B J' \wedge J' o I = J))$ 
proof (intro exI conjI)
  interpret A: rts A
    using assms by auto
  interpret PA: paths-in-rts A ..
  show rts-with-composites PA.Resid
    using PA.rts-with-composites-axioms by simp
  show simulation A PA.Resid PA.incl
    using PA.incl-is-simulation by simp
  show  $\forall B (J :: 'a \Rightarrow 'c). extensional\text{-rts}\text{-with}\text{-composites } B \wedge simulation A B J$ 
     $\longrightarrow (\exists!J'. simulation PA.Resid B J' \wedge J' o PA.incl = J)$ 
  proof (intro allI impI)
    fix B :: 'c resid and J
    assume 1: extensional-rts-with-composites B  $\wedge simulation A B J$ 
    interpret B: extensional-rts-with-composites B
      using 1 by simp
    interpret J: simulation A B J
      using 1 by simp
    interpret J: extension-of-simulation A B J
      ..
    have simulation PA.Resid B J.map
      using J.simulation-axioms by simp
    moreover have  $J.map o PA.incl = J$ 
      using J.map-o-incl-eq by auto
    moreover have  $\bigwedge J'. simulation PA.Resid B J' \wedge J' o PA.incl = J \implies J' = J.map$ 
      using 1 B.rts-with-composites-axioms J.is-universal J.simulation-axioms
      calculation(2)
  qed
qed

```

```

    by blast
ultimately show  $\exists! J'. \text{simulation } P_A.\text{Resid } B J' \wedge J' \circ P_A.\text{incl} = J$  by auto
qed
qed

```

2.6 Constructions on RTS's

2.6.1 Products of RTS's

```

locale product-rts =
A: rts A +
B: rts B
for A :: 'a resid      (infix  $\setminus_A$  70)
and B :: 'b resid      (infix  $\setminus_B$  70)
begin

  notation A.con      (infix  $\frown_A$  50)
  notation A.prfx    (infix  $\lesssim_A$  50)
  notation A.cong    (infix  $\sim_A$  50)

  notation B.con      (infix  $\frown_B$  50)
  notation B.prfx    (infix  $\lesssim_B$  50)
  notation B.cong    (infix  $\sim_B$  50)

type-synonym ('c, 'd) arr = 'c * 'd

abbreviation (input) Null :: ('a, 'b) arr
where Null ≡ (A.null, B.null)

definition resid :: ('a, 'b) arr ⇒ ('a, 'b) arr ⇒ ('a, 'b) arr
where resid t u = (if fst t  $\frown_A$  fst u ∧ snd t  $\frown_B$  snd u
                     then (fst t  $\setminus_A$  fst u, snd t  $\setminus_B$  snd u)
                     else Null)

notation resid      (infix  $\setminus$  70)

sublocale partial-magma resid
  by unfold-locales
  (metis A.con-implies-arr(1–2) A.not-arr-null fst-conv resid-def)

lemma is-partial-magma:
shows partial-magma resid
..

lemma null-char [simp]:
shows null = Null
by (metis B.null-is-zero(1) B.residuation-axioms ex-un-null null-is-zero(1)
      resid-def residuation.conE snd-conv)

```

```

sublocale residuation resid
proof
  show  $\bigwedge t u. t \setminus u \neq \text{null} \implies u \setminus t \neq \text{null}$ 
    by (metis A.con-def A.con-sym null-char prod.inject resid-def B.con-sym)
  show  $\bigwedge t u. t \setminus u \neq \text{null} \implies (t \setminus u) \setminus (t \setminus u) \neq \text{null}$ 
    by (metis (no-types, lifting) A.arrE B.con-def B.con-imp-arr-resid fst-conv null-char
        resid-def A.arr-resid snd-conv)
  show  $\bigwedge v t u. (v \setminus t) \setminus (u \setminus t) \neq \text{null} \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
  proof -
    fix t u v
    assume 1:  $(v \setminus t) \setminus (u \setminus t) \neq \text{null}$ 
    have  $(\text{fst } v \setminus_A \text{fst } t) \setminus_A (\text{fst } u \setminus_A \text{fst } t) \neq A.\text{null}$ 
      by (metis 1 A.not-arr-null fst-conv null-char null-is-zero(1-2)
           resid-def A.arr-resid)
    moreover have  $(\text{snd } v \setminus_B \text{snd } t) \setminus_B (\text{snd } u \setminus_B \text{snd } t) \neq B.\text{null}$ 
      by (metis 1 B.not-arr-null snd-conv null-char null-is-zero(1-2)
           resid-def B.arr-resid)
    ultimately show  $(v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
      using resid-def null-char A.con-def B.con-def A.cube B.cube
      apply simp
      by (metis (no-types, lifting) A.conI A.con-sym-ax A.resid-reflects-con
          B.con-sym-ax B.null-is-zero(1))
  qed
qed

lemma is-residuation:
shows residuation resid
..

notation con   (infix ⊣ 50)

lemma arr-char [iff]:
shows arr t  $\longleftrightarrow$  A.arr (fst t)  $\wedge$  B.arr (snd t)
by (metis (no-types, lifting) A.arr-def B.arr-def B.conE null-char resid-def
     residuation.arr-def residuation.con-def residuation-axioms snd-eqD)

lemma ide-char [iff]:
shows ide t  $\longleftrightarrow$  A.ide (fst t)  $\wedge$  B.ide (snd t)
by (metis (no-types, lifting) A.residuation-axioms B.residuation-axioms
     arr-char arr-def fst-conv null-char prod.collapse resid-def residuation.conE
     residuation.ide-def residuation.ide-implies-arr residuation-axioms snd-conv)

lemma con-char [iff]:
shows t ⊣ u  $\longleftrightarrow$  fst t ⊣A fst u  $\wedge$  snd t ⊣B snd u
by (simp add: B.residuation-axioms con-def resid-def residuation.con-def)

lemma trg-char:
shows trg t = (if arr t then (A.trg (fst t), B.trg (snd t)) else Null)
using A.trg-def B.trg-def resid-def trg-def by auto

```

```

sublocale rts resid
proof
  show  $\bigwedge t. \text{arr } t \implies \text{ide}(\text{trg } t)$ 
    by (simp add: trg-char)
  show  $\bigwedge a t. [\![\text{ide } a; t \rightsquigarrow a]\!] \implies t \setminus a = t$ 
    by (simp add: A.resid-arr-ide B.resid-arr-ide resid-def)
  thus  $\bigwedge a t. [\![\text{ide } a; a \rightsquigarrow t]\!] \implies \text{ide}(a \setminus t)$ 
    using arr-resid cube
    apply (elim ideE, intro ideI)
    apply auto
    by (metis 1 conI con-sym-ax ideI null-is-zero(2))
  show  $\bigwedge t u. t \rightsquigarrow u \implies \exists a. \text{ide } a \wedge a \rightsquigarrow t \wedge a \rightsquigarrow u$ 
  proof -
    fix t u
    assume tu:  $t \rightsquigarrow u$ 
    obtain a1 where a1:  $a1 \in A.\text{sources}(\text{fst } t) \cap A.\text{sources}(\text{fst } u)$ 
      by (meson A.con-imp-common-source all-not-in-conv con-char tu)
    obtain a2 where a2:  $a2 \in B.\text{sources}(\text{snd } t) \cap B.\text{sources}(\text{snd } u)$ 
      by (meson B.con-imp-common-source all-not-in-conv con-char tu)
    have ide(a1, a2)  $\wedge (a1, a2) \rightsquigarrow t \wedge (a1, a2) \rightsquigarrow u$ 
      using a1 a2 ide-char con-char
      by (metis A.con-imp-common-source A.in-sourcesE A.sources-eqI
          B.con-imp-common-source B.in-sourcesE B.sources-eqI con-sym
          fst-conv inf-idem snd-conv tu)
    thus  $\exists a. \text{ide } a \wedge a \rightsquigarrow t \wedge a \rightsquigarrow u$  by blast
  qed
  show  $\bigwedge t u v. [\![\text{ide } (t \setminus u); u \rightsquigarrow v]\!] \implies t \setminus u \rightsquigarrow v \setminus u$ 
  proof -
    fix t u v
    assume tu:  $\text{ide } (t \setminus u)$ 
    assume uv:  $u \rightsquigarrow v$ 
    have A.ide(fst(t \setminus_A fst u))  $\wedge B.\text{ide } (\text{snd } t \setminus_B \text{snd } u)$ 
      using tu ide-char
      by (metis conI con-char fst-eqD ide-implies-arr not-arr-null resid-def snd-conv)
    moreover have fst u \rightsquigarrow_A fst v  $\wedge \text{snd } u \rightsquigarrow_B \text{snd } v$ 
      using uv con-char by blast
    ultimately show  $t \setminus u \rightsquigarrow v \setminus u$ 
      by (simp add: A.con-target A.con-sym A.prfx-implies-con
          B.con-target B.con-sym B.prfx-implies-con resid-def)
  qed
qed

lemma is-rts:
shows rts resid
..

notation prfx  (infix " $\lesssim$  50")
notation cong  (infix " $\sim$  50")

```

```

lemma sources-char:
shows sources t = A.sources (fst t) × B.sources (snd t)
by force

lemma targets-char:
shows targets t = A.targets (fst t) × B.targets (snd t)
proof
  show targets t ⊆ A.targets (fst t) × B.targets (snd t)
    using targets-def ide-char con-char resid-def trg-char trg-def by auto
  show A.targets (fst t) × B.targets (snd t) ⊆ targets t
proof
  fix a
  assume a: a ∈ A.targets (fst t) × B.targets (snd t)
  show a ∈ targets t
proof
  show ide a
    using a ide-char by auto
  show trg t ∼ a
    using a trg-char con-char [of trg t a]
    by (metis (no-types, lifting) SigmaE arr-char con-char con-implies-arr(1)
      fst-conv A.in-targetsE B.in-targetsE A.arr-resid-iff-con B.arr-resid-iff-con
      A.trg-def B.trg-def snd-conv)
  qed
qed
qed

lemma prfx-char:
shows t ≤ u ↔ fst t ≤A fst u ∧ snd t ≤B snd u
using A.prfx-implies-con B.prfx-implies-con resid-def by auto

lemma cong-char:
shows t ∼ u ↔ fst t ∼A fst u ∧ snd t ∼B snd u
using prfx-char by auto

lemma join-of-char:
shows join-of t u v ↔ A.join-of (fst t) (fst u) (fst v) ∧ B.join-of (snd t) (snd u) (snd v)
and joinable t u ↔ A.joinable (fst t) (fst u) ∧ B.joinable (snd t) (snd u)
proof –
  show ⋀v. join-of t u v ↔
    A.join-of (fst t) (fst u) (fst v) ∧ B.join-of (snd t) (snd u) (snd v)
proof
  fix v
  show join-of t u v ==>
    A.join-of (fst t) (fst u) (fst v) ∧ B.join-of (snd t) (snd u) (snd v)
proof –
  assume 1: join-of t u v
  have 2: t ∼ u ∧ t ∼ v ∧ u ∼ v ∧ u ∼ t ∧ v ∼ t ∧ v ∼ u
  by (meson 1 bounded-imp-con con-prfx-composite-of(1) join-ofE con-sym)

```

```

show A.join-of (fst t) (fst u) (fst v) ∧ B.join-of (snd t) (snd u) (snd v)
  using 1 2 prfx-char resid-def
  by (elim conjE join-ofE composite-ofE congE conE,
      intro conjI A.join-ofI B.join-ofI A.composite-ofI B.composite-ofI)
      auto
qed
show A.join-of (fst t) (fst u) (fst v) ∧ B.join-of (snd t) (snd u) (snd v)
  ==> join-of t u v
  using cong-char resid-def
  by (elim conjE A.join-ofE B.join-ofE A.composite-ofE B.composite-ofE,
      intro join-ofI composite-ofI)
      auto
qed
thus joinable t u <=> A.joinable (fst t) (fst u) ∧ B.joinable (snd t) (snd u)
  using joinable-def A.joinable-def B.joinable-def by simp
qed

end

locale product-of-weakly-extensional-rts =
  A: weakly-extensional-rts A +
  B: weakly-extensional-rts B +
  product-rts
begin

sublocale weakly-extensional-rts resid
proof
  show ∀t u. [t ~ u; ide t; ide u] ==> t = u
  by (metis cong-char ide-char prod.exhaust-sel A.weak-extensionality B.weak-extensionality)
qed

lemma is-weakly-extensional-rts:
shows weakly-extensional-rts resid
..
lemma src-char:
shows src t = (if arr t then (A.src (fst t), B.src (snd t)) else null)
proof (cases arr t)
  show ¬ arr t ==> ?thesis
  using src-def by presburger
  assume t: arr t
  show ?thesis
  using t con-char arr-char
  by (intro src-eqI) auto
qed

end

locale product-of-extensional-rts =

```

```

A: extensional-rts A +
B: extensional-rts B +
product-of-weakly-extensional-rts
begin

sublocale extensional-rts resid
proof
  show  $\bigwedge t u. t \sim u \implies t = u$ 
    by (metis A.extensional B.extensional cong-char prod.collapse)
qed

lemma is-extensional-rts:
shows extensional-rts resid
..
end

```

Product Simulations

```

locale product-simulation =
A1: rts A1 +
A0: rts A0 +
B1: rts B1 +
B0: rts B0 +
A1xA0: product-rts A1 A0 +
B1xB0: product-rts B1 B0 +
F1: simulation A1 B1 F1 +
F0: simulation A0 B0 F0
for A1 :: 'a1 resid  (infix \_A1 70)
and A0 :: 'a0 resid  (infix \_A0 70)
and B1 :: 'b1 resid  (infix \_B1 70)
and B0 :: 'b0 resid  (infix \_B0 70)
and F1 :: 'a1  $\Rightarrow$  'b1
and F0 :: 'a0  $\Rightarrow$  'b0
begin

definition map
where map = ( $\lambda a.$  if  $A1xA0.arr a$  then  $(F1 (fst a), F0 (snd a))$ 
else  $(F1 A1.null, F0 A0.null)$ )

lemma map-simp [simp]:
assumes A1.arr a1 and A0.arr a0
shows map (a1, a0) = (F1 a1, F0 a0)
using assms map-def by auto

sublocale simulation A1xA0.resid B1xB0.resid map
proof
  show  $\bigwedge t. \neg A1xA0.arr t \implies map t = B1xB0.null$ 
    using map-def F1.extensional F0.extensional by auto

```

```

show  $\bigwedge t u. A1xA0.con t u \implies B1xB0.con (\text{map } t) (\text{map } u)$ 
  using  $A1xA0.con\text{-char } B1xB0.con\text{-char } A1.con\text{-implies-arr } A0.con\text{-implies-arr}$  by auto
show  $\bigwedge t u. A1xA0.con t u \implies \text{map} (A1xA0.resid t u) = B1xB0.resid (\text{map } t) (\text{map } u)$ 
  using  $A1xA0.resid\text{-def } B1xB0.resid\text{-def } A1.con\text{-implies-arr } A0.con\text{-implies-arr}$ 
  by auto
qed

lemma is-simulation:
shows simulation  $A1xA0.resid B1xB0.resid \text{map}$ 
..
end

```

Binary Simulations

```

locale binary-simulation =
A1: rts A1 +
A0: rts A0 +
A: product-rts A1 A0 +
B: rts B +
simulation A.resid B F
for A1 :: 'a1 resid  (infix  $\setminus_{A1}$  70)
and A0 :: 'a0 resid  (infix  $\setminus_{A0}$  70)
and B :: 'b resid   (infix  $\setminus_B$  70)
and F :: 'a1 * 'a0  $\Rightarrow$  'b
begin

lemma fixing-ide-gives-simulation-1:
assumes A1.ide a1
shows simulation A0 B ( $\lambda t0. F (a1, t0)$ )
proof
  show  $\bigwedge t0. \neg A0.arr t0 \implies F (a1, t0) = B.\text{null}$ 
    using assms extensional A.arr-char by simp
  show  $\bigwedge t0 u0. A0.con t0 u0 \implies B.con (F (a1, t0)) (F (a1, u0))$ 
    using assms A.con-char preserves-con by auto
  show  $\bigwedge t0 u0. A0.con t0 u0 \implies F (a1, t0 \setminus_{A0} u0) = F (a1, t0) \setminus_B F (a1, u0)$ 
    using assms A.con-char A.resid-def preserves-resid
    by (metis A1.ideE fst-conv snd-conv)
qed

lemma fixing-ide-gives-simulation-0:
assumes A0.ide a0
shows simulation A1 B ( $\lambda t1. F (t1, a0)$ )
proof
  show  $\bigwedge t1. \neg A1.arr t1 \implies F (t1, a0) = B.\text{null}$ 
    using assms extensional A.arr-char by simp
  show  $\bigwedge t1 u1. A1.con t1 u1 \implies B.con (F (t1, a0)) (F (u1, a0))$ 
    using assms A.con-char preserves-con by auto
  show  $\bigwedge t1 u1. A1.con t1 u1 \implies F (t1 \setminus_{A1} u1, a0) = F (t1, a0) \setminus_B F (u1, a0)$ 

```

```

using assms A.con-char A.resid-def preserves-resid
  by (metis A0.ideE fst-conv snd-conv)
qed

end

```

2.6.2 Sub-RTS's

```

locale sub-rts =
  R: rts R
for R :: 'a resid    (infix \_R 70)
and Arr :: 'a ⇒ bool +
assumes inclusion: Arr t ⇒ R.arr t
and sources-closed: Arr t ⇒ R.sources t ⊆ Collect Arr
and resid-closed: [Arr t; Arr u; R.con t u] ⇒ Arr (t \_R u)
begin

  notation R.con    (infix ∘_R 50)
  notation R.prfx   (infix ⪻_R 50)
  notation R.cong   (infix ∼_R 50)

  definition resid  (infix \ 70)
  where t \ u ≡ (if Arr t ∧ Arr u ∧ t ∘_R u then t \_R u else R.null)

  sublocale partial-magma resid
    by unfold-locales
      (metis R.ex-un-null R.null-is-zero(2) resid-def)

  lemma is-partial-magma:
    shows partial-magma resid
    ..

  lemma null-char [simp]:
    shows null = R.null
    by (metis R.null-is-zero(1) ex-un-null null-is-zero(1) resid-def)

  sublocale residuation resid
  proof
    show ∀t u. t \ u ≠ null ⇒ u \ t ≠ null
    by (metis R.con-sym R.con-sym-ax null-char resid-def)
    show ∀t u. t \ u ≠ null ⇒ (t \ u) \ (t \ u) ≠ null
    by (metis R.arrE R.arr-resid R.not-arr-null null-char resid-closed resid-def)
    show ∀v t u. (v \ t) \ (u \ t) ≠ null ⇒ (v \ t) \ (u \ t) = (v \ u) \ (t \ u)
    by (metis R.cube R.ex-un-null R.null-is-zero(1) R.residuation-axioms null-is-zero(2)
      resid-closed resid-def residuation.conE residuation.conI)
  qed

  lemma is-residuation:
    shows residuation resid

```

```

..
notation con      (infix  $\sim$  50)

lemma arr-char [iff]:
shows arr t  $\longleftrightarrow$  Arr t
proof
  show arr t  $\implies$  Arr t
    by (metis arrE conE null-char resid-def)
  show Arr t  $\implies$  arr t
    by (metis R.arrE R.conE conI con-implies-arr(2) inclusion null-char resid-def)
qed

lemma ide-char [iff]:
shows ide t  $\longleftrightarrow$  Arr t  $\wedge$  R.ide t
  by (metis R.ide-def arrE arr-char coneE ide-def null-char resid-def)

lemma con-char [iff]:
shows t  $\sim$  u  $\longleftrightarrow$  Arr t  $\wedge$  Arr u  $\wedge$  t  $\sim_R$  u
  using con-def resid-def by auto

lemma trg-char:
shows trg t = (if arr t then R.trg t else null)
  using R.trg-def arr-def resid-def trg-def by force

sublocale rts resid
proof
  show  $\bigwedge t. \text{arr } t \implies \text{ide} (\text{trg } t)$ 
    by (metis R.ide-trg arrE arr-char arr-resid ide-char inclusion trg-char trg-def)
  show  $\bigwedge a t. [\text{ide } a; t \sim a] \implies t \setminus a = t$ 
    by (simp add: R.resid-arr-ide resid-def)
  show  $\bigwedge a t. [\text{ide } a; a \sim t] \implies \text{ide} (a \setminus t)$ 
    by (metis R.resid-ide-arr arr-resid-iff-con arr-char con-char ide-char resid-def)
  show  $\bigwedge t u. t \sim u \implies \exists a. \text{ide } a \wedge a \sim t \wedge a \sim u$ 
    by (metis (full-types) R.con-imp-coinitial-ax R.con-sym R.in-sourcesI
         con-char ide-char in-mono mem-Collect-eq sources-closed)
  show  $\bigwedge t u v. [\text{ide} (t \setminus u); u \sim v] \implies t \setminus u \sim v \setminus u$ 
    by (metis R.con-target arr-resid-iff-con con-char con-sym ide-char
          ide-implies-arr resid-closed resid-def)
qed

lemma is-rts:
shows rts resid
..

notation prfx  (infix  $\lesssim$  50)
notation cong   (infix  $\sim$  50)

lemma sources-charSR:

```

```

shows sources t = {a. Arr t ∧ a ∈ R.sources t}
  using sources-closed by auto

lemma targets-charSRTS:
shows targets t = {b. Arr t ∧ b ∈ R.targets t}
proof
  show targets t ⊆ {b. Arr t ∧ b ∈ R.targets t}
  proof
    fix b
    assume b: b ∈ targets t
    show b ∈ {b. Arr t ∧ b ∈ R.targets t}
    proof
      have Arr t
      using arr-iff-has-target b by force
      moreover have Arr b
      using b by blast
      moreover have b ∈ R.targets t
      by (metis R.in-targetsI b calculation(1) con-char in-targetsE
           arr-char ide-char trg-char)
      ultimately show Arr t ∧ b ∈ R.targets t by blast
    qed
  qed
  show {b. Arr t ∧ b ∈ R.targets t} ⊆ targets t
  proof
    fix b
    assume b: b ∈ {b. Arr t ∧ b ∈ R.targets t}
    show b ∈ targets t
    proof (intro in-targetsI)
      show ide b
      using b
      by (metis R.arrE ide-char inclusion mem-Collect-eq R.sources-resid
           R.target-is-ide resid-closed sources-closed subset-eq)
      show trg t ∼ b
      using b
      using <ide b> ide-trg trg-char by auto
    qed
  qed
qed

lemma prfx-charSRTS:
shows t ∼ u ↔ Arr t ∧ Arr u ∧ t ∼R u
  by (metis R.prfx-implies-con con-char ide-char prfx-implies-con resid-closed resid-def)

lemma cong-charSRTS:
shows t ∼ u ↔ Arr t ∧ Arr u ∧ t ∼R u
  using prfx-charSRTS by force

lemma inclusion-is-simulation:
shows simulation resid R (λt. if arr t then t else null)

```

```

using resid-closed resid-def
by unfold-locales auto

interpretation P_R: paths-in-rts R
..
interpretation P: paths-in-rts resid
..

lemma path-reflection:
shows  $\llbracket P_R.\text{Arr } T; \text{set } T \subseteq \text{Collect Arr} \rrbracket \implies P.\text{Arr } T$ 
apply (induct T)
apply simp
proof -
fix t T
assume ind:  $\llbracket P_R.\text{Arr } T; \text{set } T \subseteq \text{Collect Arr} \rrbracket \implies P.\text{Arr } T$ 
assume tT:  $P_R.\text{Arr } (t \# T)$ 
assume set:  $\text{set } (t \# T) \subseteq \text{Collect Arr}$ 
have 1:  $R.\text{arr } t$ 
using tT
by (metis P_R.Arr-imp-arr-hd list.sel(1))
show  $P.\text{Arr } (t \# T)$ 
proof (cases T = [])
show  $T = [] \implies ?\text{thesis}$ 
using 1 set by simp
assume T:  $T \neq []$ 
show  $??\text{thesis}$ 
proof
show arr t
using 1 arr-char set by simp
show  $P.\text{Arr } T$ 
using T tT P_R.Arr-imp-Arr-tl
by (metis ind insert-subset list.sel(3) list.simps(15) set)
show targets t  $\subseteq P.\text{Srcs } T$ 
proof -
have targets t  $\subseteq R.\text{targets } t$ 
using targets-charSRTS by blast
also have ...  $\subseteq R.\text{sources } (\text{hd } T)$ 
using T tT
by (metis P_R.Arr.simps(3) P_R.Srcs-simpP list.collapse)
also have ...  $\subseteq P.\text{Srcs } T$ 
using P.Arr-imp-arr-hd P.Srcs-simpP ⟨P.Arr T⟩ sources-charSRTS by force
finally show  $??\text{thesis}$  by blast
qed
qed
qed
qed

end

```

```

locale sub-weakly-extensional-rts =
  sub-rts +
  R: weakly-extensional-rts R
begin

  sublocale weakly-extensional-rts resid
    apply unfold-locales
    using R.weak-extensionality cong-charsRTS
    by blast

  lemma is-weakly-extensional-rts:
    shows weakly-extensional-rts resid
    ..
    lemma src-char:
      shows src t = (if arr t then R.src t else null)
      proof (cases arr t)
        show ~arr t ==> ?thesis
        by (simp add: src-def)
        assume t: arr t
        show ?thesis
        proof (intro src-eqI)
          show ide (if arr t then R.src t else null)
          using t sources-closed inclusion R.src-in-sources
          by (metis (full-types) CollectD R.ide-src arr-char in-mono ide-char)
          show con (if arr t then R.src t else null) t
          using t con-char
          by (metis (full-types) R.con-sym R.in-sourcesE R.src-in-sources
            <ide (if arr t then R.src t else null)> arr-char ide-char inclusion)
        qed
      qed

  end

```

Here we justify the terminology “normal sub-RTS”, which was introduced earlier, by showing that a normal sub-RTS really is a sub-RTS.

```

lemma (in normal-sub-rts) is-sub-rts:
  shows sub-rts resid (λt. t ∈ ℙ)
  using elements-are-arr ide-closed
  apply unfold-locales
  apply auto[2]
  by (meson R.con-imp-coinitial R.con-sym forward-stable)

end

```

Chapter 3

The Lambda Calculus

In this second part of the article, we apply the residuated transition system framework developed in the first part to the theory of reductions in Church’s λ -calculus. The underlying idea is to exhibit λ -terms as states (identities) of an RTS, with reduction steps as non-identity transitions. We represent both states and transitions in a unified, variable-free syntax based on de Bruijn indices. A difficulty one faces in regarding the λ -calculus as an RTS is that “elementary reductions”, in which just one redex is contracted, are not preserved by residuation: an elementary reduction can have zero or more residuals along another elementary reduction. However, “parallel reductions”, which permit the contraction of multiple redexes existing in a term to be contracted in a single step, are preserved by residuation. For this reason, in our syntax each term represents a parallel reduction of zero or more redexes; a parallel reduction of zero redexes representing an identity. We have syntactic constructors for variables, λ -abstractions, and applications. An additional constructor represents a β -redex that has been marked for contraction. This is a slightly different approach than that taken by other authors (*e.g.* [1] or [7]), in which it is the application constructor that is marked to indicate a redex to be contracted, but it seems more natural in the present setting in which a single syntax is used to represent both terms and reductions.

Once the syntax has been defined, we define the residuation operation and prove that it satisfies the conditions for a weakly extensional RTS. In this RTS, the source of a term is obtained by “erasing” the markings on redexes, leaving an identity term. The target of a term is the contractum of the parallel reduction it represents. As the definition of residuation involves the use of substitution, a necessary prerequisite is to develop the theory of substitution using de Bruijn indices. In addition, various properties concerning the commutation of residuation and substitution have to be proved. This part of the work has benefited greatly from previous work of Huet [7], in which the theory of residuation was formalized in the proof assistant Coq. In particular, it was very helpful to have already available known-correct statements of various lemmas regarding indices, substitution, and residuation. The development of the theory culminates in the proof of Lévy’s “Cube Lemma” [8], which is the key axiom in the definition of RTS.

Once reductions in the λ -calculus have been cast as transitions of an RTS, we are

able to take advantage of generic results already proved for RTS's; in particular, the construction of the RTS of paths, which represent reduction sequences. Very little additional effort is required at this point to prove the Church-Rosser Theorem. Then, after proving a series of miscellaneous lemmas about reduction paths, we turn to the study of developments. A development of a term is a reduction path from that term in which the only redexes that are contracted are those that are residuals of redexes in the original term. We prove the Finite Developments Theorem: all developments are finite. The proof given here follows that given by de Vrijer [5], except that here we make the adaptations necessary for a syntax based on de Bruijn indices, rather than the classical named-variable syntax used by de Vrijer. Using the Finite Developments Theorem, we define a function that takes a term and constructs a “complete development” of that term, which is a development in which no residuals of original redexes remain to be contracted.

We then turn our attention to “standard reduction paths”, which are reduction paths in which redexes are contracted in a left-to-right order, perhaps with some skips. After giving a definition of standard reduction paths, we define a function that takes a term and constructs a complete development that is also standard. Using this function as a base case, we then define a function that takes an arbitrary parallel reduction path and transforms it into a standard reduction path that is congruent to the given path. The algorithm used is roughly analogous to insertion sort. We use this function to prove strong form of the Standardization Theorem: every reduction path is congruent to a standard reduction path. As a corollary of the Standardization Theorem, we prove the Leftmost Reduction Theorem: leftmost reduction is a normalizing reduction strategy.

It should be noted that, in this article, we consider only the $\lambda\beta$ -calculus. In the early stages of this work, I made an exploratory attempt to incorporate η -reduction as well, but after encountering some unanticipated difficulties I decided not to attempt that extension until the β -only case had been well-developed.

```
theory LambdaCalculus
imports Main ResiduatedTransitionSystem
begin
```

3.1 Syntax

```
locale lambda-calculus
begin
```

The syntax of terms has constructors *Var* for variables, *Lam* for λ -abstraction, and *App* for application. In addition, there is a constructor *Beta* which is used to represent a β -redex that has been marked for contraction. The idea is that a term *Beta t u* represents a marked version of the term *App (Lam t) u*. Finally, there is a constructor *Nil* which is used to represent the null element required for the residuation operation.

```
datatype (discs-sels) lambda =
  Nil
  | Var nat
  | Lam lambda
  | App lambda lambda
```

| Beta lambda lambda

The following notation renders $\text{Beta } t \ u$ as a “marked” version of $\text{App } (\text{Lam } t) \ u$, even though the former is a single constructor, whereas the latter contains two constructors.

```
notation Nil (#)
notation Var («-»)
notation Lam ( $\lambda[-]$ )
notation App (infixl o 55)
notation Beta (( $\lambda[-]$  • -) [55, 56] 55)
```

The following function computes the set of free variables of a term. Note that since variables are represented by numeric indices, this is a set of numbers.

```
fun FV
where FV # = {}
| FV «i» = {i}
| FV  $\lambda[t] = (\lambda n. n - 1) \cdot (FV t - \{0\})$ 
| FV  $(t \circ u) = FV t \cup FV u$ 
| FV  $(\lambda[t] \bullet u) = (\lambda n. n - 1) \cdot (FV t - \{0\}) \cup FV u$ 
```

3.1.1 Some Orderings for Induction

We will need to do some simultaneous inductions on pairs and triples of subterms of given terms. We prove the well-foundedness of the associated relations using the following size measure.

```
fun size :: lambda  $\Rightarrow$  nat
where size # = 0
| size «-» = 1
| size  $\lambda[t] = \text{size } t + 1$ 
| size  $(t \circ u) = \text{size } t + \text{size } u + 1$ 
| size  $(\lambda[t] \bullet u) = (\text{size } t + 1) + \text{size } u + 1$ 

lemma wf-if-img-lt:
fixes r :: ('a * 'a) set and f :: 'a  $\Rightarrow$  nat
assumes  $\bigwedge x y. (x, y) \in r \implies f x < f y$ 
shows wf r
using assms
by (metis in-measure wf-iff-no-infinite-down-chain wf-measure)

inductive subterm
where  $\bigwedge t. \text{subterm } t \lambda[t]$ 
|  $\bigwedge t u. \text{subterm } t (t \circ u)$ 
|  $\bigwedge t u. \text{subterm } u (t \circ u)$ 
|  $\bigwedge t u. \text{subterm } t (\lambda[t] \bullet u)$ 
|  $\bigwedge t u. \text{subterm } u (\lambda[t] \bullet u)$ 
|  $\bigwedge t u v. [\text{subterm } t u; \text{subterm } u v] \implies \text{subterm } t v$ 

lemma subterm-implies-smaller:
shows subterm t u  $\implies$  size t < size u
```

```

by (induct rule: subterm.induct) auto

abbreviation subterm-rel
where subterm-rel ≡ {(t, u). subterm t u}

lemma wf-subterm-rel:
shows wf subterm-rel
using subterm-implies-smaller wf-if-img-lt
by (metis case-prod-conv mem-Collect-eq)

abbreviation subterm-pair-rel
where subterm-pair-rel ≡ {((t1, t2), u1, u2). subterm t1 u1 ∧ subterm t2 u2}

lemma wf-subterm-pair-rel:
shows wf subterm-pair-rel
using subterm-implies-smaller
wf-if-img-lt [of subterm-pair-rel λ(t1, t2). max (size t1) (size t2)]
by fastforce

abbreviation subterm-triple-rel
where subterm-triple-rel ≡
{((t1, t2, t3), u1, u2, u3). subterm t1 u1 ∧ subterm t2 u2 ∧ subterm t3 u3}

lemma wf-subterm-triple-rel:
shows wf subterm-triple-rel
using subterm-implies-smaller
wf-if-img-lt [of subterm-triple-rel
λ(t1, t2, t3). max (max (size t1) (size t2)) (size t3)]
by fastforce

lemma subterm-lemmas:
shows subterm t λ[t]
and subterm t (λ[t] ∘ u) ∧ subterm u (λ[t] ∘ u)
and subterm t (t ∘ u) ∧ subterm u (t ∘ u)
and subterm t (λ[t] • u) ∧ subterm u (λ[t] • u)
by (metis subterm.simps)+
```

3.1.2 Arrows and Identities

Here we define some special classes of terms. An “arrow” is a term that contains no occurrences of *Nil*. An “identity” is an arrow that contains no occurrences of *Beta*. It will be important for the commutation of substitution and residuation later on that substitution not be used in a way that could create any marked redexes; for example, we don’t want the substitution of *Lam (Var 0)* for *Var 0* in an application *App (Var 0) (Var 0)* to create a new “marked” redex. The use of the separate constructor *Beta* for marked redexes automatically avoids this.

```

fun Arr
where Arr # = False
```

```

|  $\text{Arr} \llbracket t \rrbracket = \text{True}$ 
|  $\text{Arr } \lambda[t] = \text{Arr } t$ 
|  $\text{Arr } (t \circ u) = (\text{Arr } t \wedge \text{Arr } u)$ 
|  $\text{Arr } (\lambda[t] \bullet u) = (\text{Arr } t \wedge \text{Arr } u)$ 

```

```

lemma Arr-not-Nil:
assumes  $\text{Arr } t$ 
shows  $t \neq \emptyset$ 
using assms by auto

```

```

fun Ide
where  $\text{Ide } \emptyset = \text{False}$ 
|  $\text{Ide} \llbracket t \rrbracket = \text{True}$ 
|  $\text{Ide } \lambda[t] = \text{Ide } t$ 
|  $\text{Ide } (t \circ u) = (\text{Ide } t \wedge \text{Ide } u)$ 
|  $\text{Ide } (\lambda[t] \bullet u) = \text{False}$ 

```

```

lemma Ide-implies-Arr:
shows  $\text{Ide } t \implies \text{Arr } t$ 
by (induct t) auto

```

```

lemma ArrE [elim]:
assumes  $\text{Arr } t$ 
and  $\bigwedge i. t = \llbracket i \rrbracket \implies T$ 
and  $\bigwedge u. t = \lambda[u] \implies T$ 
and  $\bigwedge u v. t = u \circ v \implies T$ 
and  $\bigwedge u v. t = \lambda[u] \bullet v \implies T$ 
shows  $T$ 
using assms
by (cases t) auto

```

3.1.3 Raising Indices

For substitution, we need to be able to raise the indices of all free variables in a subterm by a specified amount. To do this recursively, we need to keep track of the depth of nesting of λ 's and only raise the indices of variables that are already greater than or equal to that depth, as these are the variables that are free in the current context. This leads to defining a function *Raise* that has two arguments: the depth threshold d and the increment n to be added to indices above that threshold.

```

fun Raise
where  $\text{Raise } - - \emptyset = \emptyset$ 
|  $\text{Raise } d n \llbracket i \rrbracket = (\text{if } i \geq d \text{ then } \llbracket i+n \rrbracket \text{ else } \llbracket i \rrbracket)$ 
|  $\text{Raise } d n \lambda[t] = \lambda[\text{Raise } (\text{Suc } d) n t]$ 
|  $\text{Raise } d n (t \circ u) = \text{Raise } d n t \circ \text{Raise } d n u$ 
|  $\text{Raise } d n (\lambda[t] \bullet u) = \lambda[\text{Raise } (\text{Suc } d) n t] \bullet \text{Raise } d n u$ 

```

Ultimately, the definition of substitution will only directly involve the function that raises all indices of variables that are free in the outermost context; in a term, so we introduce an abbreviation for this special case.

abbreviation *raise*
where *raise* == *Raise* 0

lemma *size-Raise*:
shows $\bigwedge d. \text{size}(\text{Raise } d \ n \ t) = \text{size } t$
by (*induct t*) *auto*

lemma *Raise-not-Nil*:
assumes $t \neq \emptyset$
shows $\text{Raise } d \ n \ t \neq \emptyset$
using *assms*
by (*cases t*) *auto*

lemma *FV-Raise*:
shows $\text{FV}(\text{Raise } d \ n \ t) = (\lambda x. \text{if } x \geq d \text{ then } x + n \text{ else } x) \cdot \text{FV } t$
apply (*induct t arbitrary: d n*)

apply *auto[3]*
apply *force*
apply *force*
apply *force*
apply *force*
apply *force*

proof –

fix *t u d n*

assume *ind1*: $\bigwedge d \ n. \text{FV}(\text{Raise } d \ n \ t) = (\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV } t$

assume *ind2*: $\bigwedge d \ n. \text{FV}(\text{Raise } d \ n \ u) = (\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV } u$

have $\text{FV}(\text{Raise } d \ n (\lambda[t] \bullet u)) =$

$(\lambda x. x - \text{Suc } 0) \cdot ((\lambda x. x + n) \cdot$
 $(\text{FV } t \cap \{x. \text{Suc } d \leq x\}) \cup \text{FV } t \cap \{x. \neg \text{Suc } d \leq x\} - \{0\}) \cup$
 $((\lambda x. x + n) \cdot (\text{FV } u \cap \{x. d \leq x\}) \cup \text{FV } u \cap \{x. \neg d \leq x\})$

using *ind1 ind2 by simp*

also have ... = $(\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV}(\lambda[t] \bullet u)$

by *auto force+*

finally show $\text{FV}(\text{Raise } d \ n (\lambda[t] \bullet u)) =$

$(\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV}(\lambda[t] \bullet u)$

by *blast*

qed

lemma *Arr-Raise*:
shows $\text{Arr } t \leftrightarrow \text{Arr}(\text{Raise } d \ n \ t)$
using *FV-Raise*
by (*induct t arbitrary: d n*) *auto*

lemma *Ide-Raise*:
shows $\text{Ide } t \leftrightarrow \text{Ide}(\text{Raise } d \ n \ t)$
by (*induct t arbitrary: d n*) *auto*

lemma *Raise-0*:
shows $\text{Raise } d \ 0 \ t = t$

```
by (induct t arbitrary: d) auto
```

lemma *Raise-Suc*:

```
shows Raise d (Suc n) t = Raise d 1 (Raise d n t)
  by (induct t arbitrary: d n) auto
```

lemma *Raise-Var*:

```
shows Raise d n «i» = «if i < d then i else i + n»
  by auto
```

The following development of the properties of raising indices, substitution, and residuation has benefited greatly from the previous work by Huet [7]. In particular, it was very helpful to have correct statements of various lemmas available, rather than having to reconstruct them.

lemma *Raise-plus*:

```
shows Raise d (m + n) t = Raise (d + m) n (Raise d m t)
  by (induct t arbitrary: d m n) auto
```

lemma *Raise-plus'*:

```
shows [[d' ≤ d + n; d ≤ d']] ⇒ Raise d (m + n) t = Raise d' m (Raise d n t)
  by (induct t arbitrary: n m d d') auto
```

lemma *Raise-Raise*:

```
shows i ≤ n ⇒ Raise i p (Raise n k t) = Raise (p + n) k (Raise i p t)
  by (induct t arbitrary: i k n p) auto
```

lemma *raise-plus*:

```
shows d ≤ n ⇒ raise (m + n) t = Raise d m (raise n t)
  using Raise-plus' by auto
```

lemma *raise-Raise*:

```
shows raise p (Raise n k t) = Raise (p + n) k (raise p t)
  by (simp add: Raise-Raise)
```

lemma *Raise-inj*:

```
shows Raise d n t = Raise d n u ⇒ t = u
```

proof (induct t arbitrary: d n u)

```
  show ⋀d n u. Raise d n # = Raise d n u ⇒ # = u
    by (metis Raise.simps(1) Raise-not-Nil)
```

```
  show ⋀x d n. Raise d n «x» = Raise d n u ⇒ «x» = u for u
```

using *Raise-Var*

apply (cases u, auto)

by (metis *add-lessD1 add-right-imp-eq*)

```
  show ⋀t d n. ⌈ ⋀d n u'. Raise d n t = Raise d n u' ⇒ t = u' ;
```

```
    Raise d n λ[t] = Raise d n u]
      ⇒ λ[t] = u
```

for u

apply (cases u, auto)

by (metis *lambda.distinct*(9))

```

show  $\wedge t1 t2 d n . [\wedge d n u'. Raise d n t1 = Raise d n u' \implies t1 = u';$ 
 $\quad \wedge d n u'. Raise d n t2 = Raise d n u' \implies t2 = u';$ 
 $\quad Raise d n (t1 \circ t2) = Raise d n u]$ 
 $\implies t1 \circ t2 = u$ 
for  $u$ 
apply (cases  $u$ , auto)
by (metis lambda.distinct(11))
show  $\wedge t1 t2 d n . [\wedge d n u'. Raise d n t1 = Raise d n u' \implies t1 = u';$ 
 $\quad \wedge d n u'. Raise d n t2 = Raise d n u' \implies t2 = u';$ 
 $\quad Raise d n (\lambda[t1] \bullet t2) = Raise d n u]$ 
 $\implies \lambda[t1] \bullet t2 = u$ 
for  $u$ 
apply (cases  $u$ , auto)
by (metis lambda.distinct(13))
qed

```

3.1.4 Substitution

Following [7], we now define a generalized substitution operation with adjustment of indices. The ultimate goal is to define the result of contraction of a marked redex *Beta* $t u$ to be *subst* $u t$. However, to be able to give a proper recursive definition of *subst*, we need to introduce a parameter n to keep track of the depth of nesting of *Lam*'s as we descend into the the term t . So, instead of *subst* $u t$ simply substituting u for occurrences of *Var* 0, *Subst* $n u t$ will be substituting for occurrences of *Var* n , and the term u will have the indices of its free variables raised by n before replacing *Var* n . In addition, any variables in t that have indices greater than n will have these indices lowered by one, to account for the outermost *Lam* that is being removed by the contraction. We can then define *subst* $u t$ to be *Subst* 0 $u t$.

```

fun Subst
where Subst - -  $\# = \#$ 
| Subst  $n v \langle\langle i \rangle\rangle = (\text{if } n < i \text{ then } \langle\langle i-1 \rangle\rangle \text{ else if } n = i \text{ then raise } n v \text{ else } \langle\langle i \rangle\rangle)$ 
| Subst  $n v \lambda[t] = \lambda[\text{Subst} (\text{Suc } n) v t]$ 
| Subst  $n v (t \circ u) = \text{Subst} n v t \circ \text{Subst} n v u$ 
| Subst  $n v (\lambda[t] \bullet u) = \lambda[\text{Subst} (\text{Suc } n) v t] \bullet \text{Subst} n v u$ 

abbreviation subst
where subst  $\equiv$  Subst 0

lemma Subst-Nil:
shows Subst  $n v \# = \#$ 
by (cases  $v = \#$ ) auto

lemma Subst-not-Nil:
assumes  $v \neq \# \text{ and } t \neq \#$ 
shows  $t \neq \# \implies \text{Subst} n v t \neq \#$ 
using assms Raise-not-Nil
by (induct  $t$ ) auto

```

The following expression summarizes how the set of free variables of a term $\text{Subst } d u t$, obtained by substituting u into t at depth d , relates to the sets of free variables of t and u . This expression is not used in the subsequent formal development, but it has been left here as an aid to understanding.

```

abbreviation FVS
where FVS d v t ≡ (FV t ∩ {x. x < d}) ∪
          (λx. x - 1) ‘ {x. x > d ∧ x ∈ FV t} ∪
          (λx. x + d) ‘ {x. d ∈ FV t ∧ x ∈ FV v}

lemma FV-Subst:
shows FV (Subst d v t) = FVS d v t
proof (induct t arbitrary: d v)
  have ⋀d t v. (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) = FVS d v λ[t]
  proof –
    fix d t v
    have FVS d v λ[t] =
      (λx. x - Suc 0) ‘ (FV t - {0}) ∩ {x. x < d} ∪
      (λx. x - Suc 0) ‘ {x. d < x ∧ x ∈ (λx. x - Suc 0) ‘ (FV t - {0})} ∪
      (λx. x + d) ‘ {x. d ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∧ x ∈ FV v}
    by simp
    also have ... = (λx. x - 1) ‘ (FVS (Suc d) v t - {0})
    by auto force+
    finally show (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) = FVS d v λ[t]
    by metis
  qed
  thus ⋀d t v. (⋀d v. FV (Subst d v t) = FVS d v t)
     $\implies$  FV (Subst d v λ[t]) = FVS d v λ[t]
  by simp
  have ⋀t u v d. (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u = FVS d v (λ[t] • u)
  proof –
    fix t u v d
    have FVS d v (λ[t] • u) =
      ((λx. x - Suc 0) ‘ (FV t - {0}) ∪ FV u) ∩ {x. x < d} ∪
      (λx. x - Suc 0) ‘ {x. d < x ∧ (x ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∨ x ∈ FV u)} ∪
      (λx. x + d) ‘ {x. (d ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∨ d ∈ FV u) ∧ x ∈ FV v}
    by simp
    also have ... = (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u
    by force
    finally show (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u = FVS d v (λ[t] • u)
    by metis
  qed
  thus ⋀t u v d. [ ⋀d v. FV (Subst d v t) = FVS d v t;
    ⋀d v. FV (Subst d v u) = FVS d v u ]
     $\implies$  FV (Subst d v (λ[t] • u)) = FVS d v (λ[t] • u)
  by simp
  qed (auto simp add: FV-Raise)

lemma Arr-Subst:
assumes Arr v

```

```

shows  $\text{Arr } t \implies \text{Arr} (\text{Subst } n v t)$ 
  using assms Arr-Raise FV-Subst
  by (induct t arbitrary: n) auto

lemma vacuous-Subst:
shows  $[\text{Arr } v; i \notin \text{FV } t] \implies \text{Raise } i 1 (\text{Subst } i v t) = t$ 
  apply (induct t arbitrary: i v, auto)
  by force+

lemma Ide-Subst-iff:
shows  $\text{Ide} (\text{Subst } n v t) \longleftrightarrow \text{Ide } t \wedge (n \in \text{FV } t \rightarrow \text{Ide } v)$ 
  using Ide-Raise vacuous-Subst
  apply (induct t arbitrary: n)
    apply auto[5]
    apply fastforce
  by (metis Diff-empty Diff-insert0 One-nat-def diff-Suc-1 image-iff insertE
      insert-Diff nat.distinct(1))

lemma Ide-Subst:
shows  $[\text{Ide } t; \text{Ide } v] \implies \text{Ide} (\text{Subst } n v t)$ 
  using Ide-Raise
  by (induct t arbitrary: n) auto

lemma Raise-Subst:
shows  $\text{Raise} (p + n) k (\text{Subst } p v t) = \text{Subst } p (\text{Raise } n k v) (\text{Raise} (\text{Suc } (p + n)) k t)$ 
  using raise-Raise
  apply (induct t arbitrary: v k n p, auto)
  by (metis add-Suc)+

lemma Raise-Subst':
assumes  $t \neq \sharp$ 
shows  $[\forall v \neq \sharp; k \leq n] \implies \text{Raise } k p (\text{Subst } n v t) = \text{Subst } (p + n) v (\text{Raise } k p t)$ 
  using assms raise-plus
  apply (induct t arbitrary: v k n p, auto)
    apply (metis Raise.simps(1) Subst-Nil Suc-le-mono add-Suc-right)
    apply fastforce
    apply fastforce
  apply (metis Raise.simps(1) Subst-Nil Suc-le-mono add-Suc-right)
  by fastforce

lemma Raise-subst:
shows  $\text{Raise } n k (\text{subst } v t) = \text{subst} (\text{Raise } n k v) (\text{Raise} (\text{Suc } n) k t)$ 
  using Raise-0
  apply (induct t arbitrary: v k n, auto)
  by (metis One-nat-def Raise-Subst plus-1-eq-Suc)+

lemma raise-Subst:
assumes  $t \neq \sharp$ 
shows  $v \neq \sharp \implies \text{raise } p (\text{Subst } n v t) = \text{Subst } (p + n) v (\text{raise } p t)$ 

```

```

using assms Raise-plus raise-Raise Raise-Subst'
apply (induct t arbitrary: v n p)
by (meson zero-le)+

lemma Subst-Raise:
shows [|v ≠ #; d ≤ m; m ≤ n + d|] ⇒ Subst m v (Raise d (Suc n) t) = Raise d n t
  by (induct t arbitrary: v m n d) auto

lemma Subst-raise:
shows [|v ≠ #; m ≤ n|] ⇒ Subst m v (raise (Suc n) t) = raise n t
  using Subst-Raise
  by (induct t arbitrary: v m n) auto

lemma Subst-Subst:
shows [|v ≠ #; w ≠ #|] ⇒
  Subst (m + n) w (Subst m v t) = Subst m (Subst n w v) (Subst (Suc (m + n)) w t)
  using Raise-0 raise-Subst Subst-not-Nil Subst-raise
  apply (induct t arbitrary: v w m n, auto)
  by (metis add-Suc)+
```

The Substitution Lemma, as given by Huet [7].

```

lemma substitution-lemma:
shows [|v ≠ #; w ≠ #|] ⇒ Subst n v (subst w t) = subst (Subst n v w) (Subst (Suc n) v t)
  by (metis Subst-Subst add-0)
```

3.2 Lambda-Calculus as an RTS

3.2.1 Residuation

We now define residuation on terms. Residuation is an operation which, when defined for terms t and u , produces terms $t \setminus u$ and $u \setminus t$ that represent, respectively, what remains of the reductions of t after performing the reductions in u , and what remains of the reductions of u after performing the reductions in t .

The definition ensures that, if residuation is defined for two terms, then those terms in must be arrows that are *coinitial* (*i.e.* they are the same after erasing marks on redexes). The residual $t \setminus u$ then has marked redexes at positions corresponding to redexes that were originally marked in t and that were not contracted by any of the reductions of u .

This definition has also benefited from the presentation in [7].

```

fun resid (infix \ 70)
where «i» \ «i'» = (if i = i' then «i» else #)
  | λ[t] \ λ[t'] = (if t \ t' = # then # else λ[t \ t'])
  | (t o u) \ (t' o u') = (if t \ t' = # ∨ u \ u' = # then # else (t \ t') o (u \ u'))
  | (λ[t] • u) \ (λ[t'] • u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | (λ[t] o u) \ (λ[t'] • u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | (λ[t] • u) \ (λ[t'] o u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | resid - - = #
```

Terms t and u are *consistent* if residuation is defined for them.

abbreviation *Con* (**infix** \frown 50)
where *Con* *t u* \equiv *resid t u* $\neq \sharp$

lemma *ConE* [*elim*]:
assumes *t* \frown *t'*
and $\bigwedge i. \llbracket t = \langle\!\langle i \rangle\!\rangle; t' = \langle\!\langle i \rangle\!\rangle; \text{resid } t \ t' = \langle\!\langle i \rangle\!\rangle \rrbracket \implies T$
and $\bigwedge u \ u'. \llbracket t = \lambda[u]; t' = \lambda[u']; u \frown u'; t \setminus t' = \lambda[u \setminus u'] \rrbracket \implies T$
and $\bigwedge u \ v \ u' \ v'. \llbracket t = u \circ v; t' = u' \circ v'; u \frown u'; v \frown v'; t \setminus t' = (u \setminus u') \circ (v \setminus v') \rrbracket \implies T$
and $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \bullet v; t' = \lambda[u'] \bullet v'; u \frown u'; v \frown v'; t \setminus t' = \text{subst}(v \setminus v')(u \setminus u') \rrbracket \implies T$
and $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \circ v; t' = \text{Beta } u' \ v'; u \frown u'; v \frown v'; t \setminus t' = \text{subst}(v \setminus v')(u \setminus u') \rrbracket \implies T$
and $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \bullet v; t' = \lambda[u'] \circ v'; u \frown u'; v \frown v'; t \setminus t' = \lambda[u \setminus u'] \bullet (v \setminus v') \rrbracket \implies T$

shows *T*

using *assms*
apply (*cases t; cases t'*)
 apply *simp-all*
 apply *metis*
 apply *metis*
 apply *metis*
 apply (*cases un-App1 t, simp-all*)
 apply *metis*
 apply (*cases un-App1 t', simp-all*)
 apply *metis*
by *metis*

A term can only be consistent with another if both terms are “arrows”.

lemma *Con-implies-Arr1*:
shows *t* \frown *u* \implies *Arr t*
proof (*induct t arbitrary: u*)
 fix *u v t'*
 assume *ind1*: $\bigwedge u'. u \frown u' \implies \text{Arr } u$
 assume *ind2*: $\bigwedge v'. v \frown v' \implies \text{Arr } v$
 show *u o v* \frown *t' \implies Arr (u o v)*
 using *ind1 ind2*
 apply (*cases t', simp-all*)
 apply *metis*
 apply (*cases u, simp-all*)
 by (*metis lambda.distinct(3) resid.simps(2)*)
 show $\lambda[u] \bullet v \frown t' \implies \text{Arr } (\lambda[u] \bullet v)$
 using *ind1 ind2*
 apply (*cases t', simp-all*)
 apply (*cases un-App1 t', simp-all*)
 by *metis+*
qed auto

lemma *Con-implies-Arr2*:

```

shows  $t \sim u \Rightarrow \text{Arr } u$ 
proof (induct  $u$  arbitrary:  $t$ )
  fix  $u' v' t$ 
  assume ind1:  $\bigwedge u. u \sim u' \Rightarrow \text{Arr } u'$ 
  assume ind2:  $\bigwedge v. v \sim v' \Rightarrow \text{Arr } v'$ 
  show  $t \sim u' \circ v' \Rightarrow \text{Arr } (u' \circ v')$ 
    using ind1 ind2
    apply (cases  $t$ , simp-all)
    apply metis
    apply (cases  $u'$ , simp-all)
    by (metis lambda.distinct(3) resid.simps(2))
  show  $t \sim (\lambda[u] \bullet v') \Rightarrow \text{Arr } (\lambda[u] \bullet v')$ 
    using ind1 ind2
    apply (cases  $t$ , simp-all)
    apply (cases un-App1  $t$ , simp-all)
    by metis+
qed auto

lemma Cond:
shows  $t \circ u \sim t' \circ u' \Rightarrow t \sim t' \wedge u \sim u'$ 
and  $\lambda[v] \bullet u \sim \lambda[v'] \bullet u' \Rightarrow \lambda[v] \sim \lambda[v'] \wedge u \sim u'$ 
and  $\lambda[v] \bullet u \sim t' \circ u' \Rightarrow \lambda[v] \sim t' \wedge u \sim u'$ 
and  $t \circ u \sim \lambda[v] \bullet u' \Rightarrow t \sim \lambda[v] \wedge u \sim u'$ 
  by auto

```

Residuation on consistent terms preserves arrows.

```

lemma Arr-resid:
shows  $t \sim u \Rightarrow \text{Arr } (t \setminus u)$ 
proof (induct  $t$  arbitrary:  $u$ )
  fix  $t1 t2 u$ 
  assume ind1:  $\bigwedge u. t1 \sim u \Rightarrow \text{Arr } (t1 \setminus u)$ 
  assume ind2:  $\bigwedge u. t2 \sim u \Rightarrow \text{Arr } (t2 \setminus u)$ 
  show  $t1 \circ t2 \sim u \Rightarrow \text{Arr } ((t1 \circ t2) \setminus u)$ 
    using ind1 ind2 Arr-Subst
    apply (cases  $u$ , auto)
    apply (cases  $t1$ , auto)
    by (metis Arr.simps(3) Cond(2) resid.simps(2) resid.simps(4))
  show  $\lambda[t1] \bullet t2 \sim u \Rightarrow \text{Arr } ((\lambda[t1] \bullet t2) \setminus u)$ 
    using ind1 ind2 Arr-Subst
    by (cases  $u$ ) auto
qed auto

```

3.2.2 Source and Target

Here we give syntactic versions of the *source* and *target* of a term. These will later be shown to agree (on arrows) with the versions derived from the residuation. The underlying idea here is that a term stands for a reduction sequence in which all marked redexes (corresponding to instances of the constructor *Beta*) are contracted in a bottom-up fashion. A term without any marked redexes stands for an empty reduction sequence;

such terms will be shown to be the identities derived from the residuation. The source of term is the identity obtained by erasing all markings; that is, by replacing all subterms of the form *Beta* t u by *App* (*Lam* t) u . The target of a term is the identity that is the result of contracting all the marked redexes.

```

fun Src
where Src # = #
| Src «i» = «i»
| Src λ[t] = λ[Src t]
| Src (t o u) = Src t o Src u
| Src (λ[t] • u) = λ[Src t] o Src u

fun Trg
where Trg «i» = «i»
| Trg λ[t] = λ[Trg t]
| Trg (t o u) = Trg t o Trg u
| Trg (λ[t] • u) = subst (Trg u) (Trg t)
| Trg - = #

lemma Ide-Src:
shows Arr t ==> Ide (Src t)
by (induct t) auto

lemma Ide-iff-Src-self:
assumes Arr t
shows Ide t <=> Src t = t
using assms Ide-Src
by (induct t) auto

lemma Arr-Src [simp]:
assumes Arr t
shows Arr (Src t)
using assms Ide-Src Ide-implies-Arr by blast

lemma Con-Src:
shows [size t + size u ≤ n; t ∼ u] ==> Src t ∼ Src u
by (induct n arbitrary: t u) auto

lemma Src-eq-iff:
shows Src «i» = Src «i'» <=> i = i'
and Src (t o u) = Src (t' o u') <=> Src t = Src t' ∧ Src u = Src u'
and Src (λ[t] • u) = Src (λ[t'] • u') <=> Src t = Src t' ∧ Src u = Src u'
and Src (λ[t] o u) = Src (λ[t'] o u') <=> Src t = Src t' ∧ Src u = Src u'
by auto

lemma Src-Raise:
shows Src (Raise d n t) = Raise d n (Src t)
by (induct t arbitrary: d) auto

lemma Src-Subst [simp]:
```

```

shows  $\llbracket \text{Arr } t; \text{Arr } u \rrbracket \implies \text{Src} (\text{Subst } d t u) = \text{Subst } d (\text{Src } t) (\text{Src } u)$ 
  using Src-Raise
  by (induct u arbitrary: d X) auto

```

```

lemma Ide-Trg:
shows Arr t  $\implies$  Ide (Trg t)
  using Ide-Subst
  by (induct t) auto

```

```

lemma Ide-iff-Trg-self:
shows Arr t  $\implies$  Ide t  $\longleftrightarrow$  Trg t = t
  apply (induct t)
  apply auto
by (metis Ide.simps(5) Ide-Subst Ide-Trg)+
```

```

lemma Arr-Trg [simp]:
assumes Arr X
shows Arr (Trg X)
  using assms Ide-Trg Ide-implies-Arr by blast

```

```

lemma Src-Src [simp]:
assumes Arr t
shows Src (Src t) = Src t
  using assms Ide-Src Ide-iff-Src-self Ide-implies-Arr by blast

```

```

lemma Trg-Src [simp]:
assumes Arr t
shows Trg (Src t) = Src t
  using assms Ide-Src Ide-iff-Trg-self Ide-implies-Arr by blast

```

```

lemma Trg-Trg [simp]:
assumes Arr t
shows Trg (Trg t) = Trg t
  using assms Ide-Trg Ide-iff-Trg-self Ide-implies-Arr by blast

```

```

lemma Src-Trg [simp]:
assumes Arr t
shows Src (Trg t) = Trg t
  using assms Ide-Trg Ide-iff-Src-self Ide-implies-Arr by blast

```

Two terms are syntactically *coinitial* if they are arrows with the same source; that is, they represent two reductions from the same starting term.

```

abbreviation Coinitial
where Coinitial t u  $\equiv$  Arr t  $\wedge$  Arr u  $\wedge$  Src t = Src u

```

We now show that terms are consistent if and only if they are coinitial.

```

lemma Coinitial-cases:
assumes Arr t and Arr t' and Src t = Src t'
shows (t = #  $\wedge$  t' = #)  $\vee$ 

```

```

(∃ x. t = «x» ∧ t' = «x») ∨
(∃ u u'. t = λ[u] ∧ t' = λ[u']) ∨
(∃ u v u' v'. t = u ○ v ∧ t' = u' ○ v') ∨
(∃ u v u' v'. t = λ[u] • v ∧ t' = λ[u'] • v') ∨
(∃ u v u' v'. t = λ[u] ○ v ∧ t' = λ[u'] • v') ∨
(∃ u v u' v'. t = λ[u] • v ∧ t' = λ[u'] ○ v')

using assms
by (cases t; cases t') auto

lemma Con-implies-Coinitial-ind:
shows [|size t + size u ≤ n; t ∼ u|] ==> Coinitial t u
using Con-implies-Arr1 Con-implies-Arr2
by (induct n arbitrary: t u) auto

lemma Coinitial-implies-Con-ind:
shows [|size (Src t) ≤ n; Coinitial t u|] ==> t ∼ u
proof (induct n arbitrary: t u)
show ∀t u. [|size (Src t) ≤ 0; Coinitial t u|] ==> t ∼ u
by auto
fix n t u
assume Coinitial: Coinitial t u
assume n: size (Src t) ≤ Suc n
assume ind: ∀t u. [|size (Src t) ≤ n; Coinitial t u|] ==> t ∼ u
show t ∼ u
using n ind Coinitial Coinitial-cases [of t u] Subst-not-Nil by auto
qed

lemma Coinitial-iff-Con:
shows Coinitial t u ↔ t ∼ u
using Coinitial-implies-Con-ind Con-implies-Coinitial-ind by blast

lemma Coinitial-Raise-Raise:
shows Coinitial t u ==> Coinitial (Raise d n t) (Raise d n u)
using Arr-Raise Src-Raise
apply (induct t arbitrary: d n u, auto)
by (metis Raise.simps(3-4))

lemma Con-sym:
shows t ∼ u ↔ u ∼ t
by (metis Coinitial-iff-Con)

lemma ConI [intro, simp]:
assumes Arr t and Arr u and Src t = Src u
shows Con t u
using assms Coinitial-iff-Con by blast

lemma Con-Arr-Src [simp]:
assumes Arr t
shows t ∼ Src t and Src t ∼ t

```

```

using assms
by (auto simp add: Ide-Src Ide-implies-Arr)

```

```

lemma resid-Arr-self:
shows Arr t  $\implies$  t \ t = Trg t
by (induct t) auto

```

The following result is not used in the formal development that follows, but it requires some proof and might eventually be useful.

```

lemma finite-branching:
shows Ide a  $\implies$  finite {t. Arr t  $\wedge$  Src t = a}
proof (induct a)
  show Ide  $\emptyset$   $\implies$  finite {t. Arr t  $\wedge$  Src t =  $\emptyset$ }
    by simp
  fix x
  have  $\bigwedge$ t. Src t = «x»  $\longleftrightarrow$  t = «x»
    using Src.elims by blast
  thus finite {t. Arr t  $\wedge$  Src t = «x»}
    by simp
  next
  fix a
  assume a: Ide  $\lambda[a]$ 
  assume ind: Ide a  $\implies$  finite {t. Arr t  $\wedge$  Src t = a}
  have {t. Arr t  $\wedge$  Src t =  $\lambda[a]$ } = Lam ‘{t. Arr t  $\wedge$  Src t = a}’
    using Coinitial-cases by fastforce
  thus finite {t. Arr t  $\wedge$  Src t =  $\lambda[a]$ }
    using a ind by simp
  next
  fix a1 a2
  assume ind1: Ide a1  $\implies$  finite {t. Arr t  $\wedge$  Src t = a1}
  assume ind2: Ide a2  $\implies$  finite {t. Arr t  $\wedge$  Src t = a2}
  assume a: Ide ( $\lambda[a1] \bullet a2$ )
  show finite {t. Arr t  $\wedge$  Src t =  $\lambda[a1] \bullet a2$ }
    using a ind1 ind2 by simp
  next
  fix a1 a2
  assume ind1: Ide a1  $\implies$  finite {t. Arr t  $\wedge$  Src t = a1}
  assume ind2: Ide a2  $\implies$  finite {t. Arr t  $\wedge$  Src t = a2}
  assume a: Ide ( $a1 \circ a2$ )
  have {t. Arr t  $\wedge$  Src t = a1  $\circ$  a2} =
    ({t. is-App t}  $\cap$  ({t. Arr t  $\wedge$  Src (un-App1 t) = a1  $\wedge$  Src (un-App2 t) = a2}))  $\cup$ 
    ({t. is-Beta t  $\wedge$  is-Lam a1}  $\cap$ 
     ({t. Arr t  $\wedge$  is-Lam a1  $\wedge$  Src (un-Beta1 t) = un-Lam a1  $\wedge$  Src (un-Beta2 t) = a2}))
    by fastforce
  have {t. Arr t  $\wedge$  Src t = a1  $\circ$  a2} =
    ( $\lambda(t1, t2). t1 \circ t2$ ) ‘({t1. Arr t1  $\wedge$  Src t1 = a1}  $\times$  {t2. Arr t2  $\wedge$  Src t2 = a2})’  $\cup$ 
    ( $\lambda(t1, t2). \lambda[t1] \bullet t2$ ) ‘
      ({t1t2. is-Lam a1}  $\cap$ 
       {t1. Arr t1  $\wedge$  Src t1 = un-Lam a1}  $\times$  {t2. Arr t2  $\wedge$  Src t2 = a2})
    ’

```

```

proof
  show  $(\lambda(t_1, t_2). t_1 \circ t_2) \cdot (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
     $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
     $\subseteq \{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
  by auto
  show  $\{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
     $\subseteq (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
       $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
       $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
       $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
         $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
proof
  fix  $t$ 
  assume  $t: t \in \{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
  have  $\text{is-App } t \vee \text{is-Beta } t$ 
  using  $t$  by auto
  moreover have  $\text{is-App } t \implies t \in (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
     $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $t$  image-iff  $\text{is-App-def}$  by fastforce
  moreover have  $\text{is-Beta } t \implies$ 
     $t \in (\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $t$  is-Beta-def by fastforce
  ultimately show  $t \in (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
     $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
     $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  by blast
  qed
  qed
  moreover have  $\text{finite } (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $a \text{ ind1 ind2 Ide.simps}(4)$  by blast
  moreover have  $\text{is-Lam } a_1 \implies$ 
     $\text{finite } (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
proof -
  assume  $a_1: \text{is-Lam } a_1$ 
  have  $\text{Ide } (\text{un-Lam } a_1)$ 
  using  $a_1 \text{ is-Lam-def}$  by force
  have  $\text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} = \{t. \text{Arr } t \wedge \text{Src } t = a_1\}$ 
proof
  show  $\text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \subseteq \{t. \text{Arr } t \wedge \text{Src } t = a_1\}$ 
  using  $a_1$  by fastforce
  show  $\{t. \text{Arr } t \wedge \text{Src } t = a_1\} \subseteq \text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\}$ 
proof
  fix  $t$ 

```

```

assume  $t: t \in \{t. \text{Arr } t \wedge \text{Src } t = a1\}$ 
have  $\text{is-Lam } t$ 
  using  $a1 t$  by auto
hence  $\text{un-Lam } t \in \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  using  $\text{is-Lam-def } t$  by force
thus  $t \in \text{Lam} \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  by (metis  $\langle \text{is-Lam } t \rangle \text{ lambda-collapse}(2)$  rev-image-eqI)
qed
qed
moreover have  $\text{inj } \text{Lam}$ 
  using  $\text{inj-on-def}$  by blast
ultimately have  $\text{finite } \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  by (metis (mono-tags, lifting) Ide.simps(4)  $a$  finite-imageD ind1 injD inj-onI)
moreover have  $\text{finite } \{t2. \text{Arr } t2 \wedge \text{Src } t2 = a2\}$ 
  using Ide.simps(4)  $a$  ind2 by blast
ultimately
show  $\text{finite } (\{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\} \times \{t2. \text{Arr } t2 \wedge \text{Src } t2 = a2\})$ 
  by blast
qed
ultimately show  $\text{finite } \{t. \text{Arr } t \wedge \text{Src } t = a1 \circ a2\}$ 
  using  $a$  ind1 ind2 by simp
qed

```

3.2.3 Residuation and Substitution

We now develop a series of lemmas that involve the interaction of residuation and substitution.

```

lemma Raise-resid:
shows  $t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
proof –
  let  $?P = \lambda(t, u). \forall k n. t \succsim u \longrightarrow \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
  have  $\bigwedge t u.$ 
     $\forall t' u'. ((t', u'), (t, u)) \in \text{subterm-pair-rel} \longrightarrow$ 
       $(\forall k n. t' \succsim u' \longrightarrow$ 
         $\text{Raise } k n (t' \setminus u') = \text{Raise } k n t' \setminus \text{Raise } k n u') \implies$ 
         $(\bigwedge k n. t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u)$ 
    using subterm-lemmas Coinitial-iff-Con Coinitial-Raise-Raise Raise-subst by auto
    thus  $t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
    using wf-subterm-pair-rel wf-induct [of subterm-pair-rel ?P] by blast
  qed

```

```

lemma Con-Raise:
shows  $t \succsim u \implies \text{Raise } d n t \succsim \text{Raise } d n u$ 
  by (metis Raise-not-Nil Raise-resid)

```

The following is Huet’s Commutation Theorem [7]: “substitution commutes with residuation”.

```

lemma resid-Subst:

```

assumes $t \sim t'$ **and** $u \sim u'$
shows $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$
proof –
let $?P = \lambda(u, u'). \forall n \ t \ t'. t \sim t' \wedge u \sim u' \rightarrow$
 $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$
have $\bigwedge u \ u'. \forall w \ w'. ((w, w'), (u, u')) \in \text{subterm-pair-rel} \rightarrow$
 $(\forall n \ v \ v'. v \sim v' \wedge w \sim w' \rightarrow$
 $\text{Subst } n \ v \ w \setminus \text{Subst } n \ v' \ w' = \text{Subst } n \ (v \setminus v') \ (w \setminus w')) \Rightarrow$
 $\forall n \ t \ t'. t \sim t' \wedge u \sim u' \rightarrow$
 $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$
using *subterm-lemmas* *Raise-resid* *Subst-not-Nil* *Con-Raise* *Raise-subst* *substitution-lemma*
by auto
thus $?thesis$
using *assms* *wf-subterm-pair-rel* *wf-induct* [*of subterm-pair-rel* $?P$] **by auto**
qed

lemma *Trg-Subst* [*simp*]:
shows $\llbracket \text{Arr } t; \text{Arr } u \rrbracket \Rightarrow \text{Trg} (\text{Subst } d \ t \ u) = \text{Subst } d \ (\text{Trg } t) \ (\text{Trg } u)$
by (*metis Arr-Subst Arr-Trg Arr-not-Nil resid-Arr-self resid-Subst*)

lemma *Src-resid*:
shows $t \sim u \Rightarrow \text{Src} (t \setminus u) = \text{Trg } u$
proof (*induct* u *arbitrary*: t , *auto simp add*: *Arr-resid*)
fix $t \ t1'$
show $\bigwedge t2'. \llbracket \bigwedge t1. t1 \sim t1' \Rightarrow \text{Src} (t1 \setminus t1') = \text{Trg } t1';$
 $\bigwedge t2. t2 \sim t2' \Rightarrow \text{Src} (t2 \setminus t2') = \text{Trg } t2';$
 $t \sim t1' \circ t2' \rrbracket$
 $\Rightarrow \text{Src} (t \setminus (t1' \circ t2')) = \text{Trg } t1' \circ \text{Trg } t2'$
apply (*cases* t ; *cases* $t1'$)
apply *auto*
by (*metis Src.simps(3) lambda.distinct(3) lambda.sel(2) resid.simps(2)*)

qed

lemma *Coinitial-resid-resid*:
assumes $t \sim v$ **and** $u \sim v$
shows $\text{Coinitial} (t \setminus v) (u \setminus v)$
using *assms* *Src-resid* *Arr-resid* *Coinitial-iff-Con* **by presburger**

lemma *Con-implies-is-Lam-iff-is-Lam*:
assumes $t \sim u$
shows *is-Lam* $t \longleftrightarrow$ *is-Lam* u
using *assms* **by auto**

lemma *Con-implies-Coinitial3*:
assumes $t \setminus v \sim u \setminus v$
shows $\text{Coinitial } v \ u$ **and** $\text{Coinitial } v \ t$ **and** $\text{Coinitial } u \ t$
using *assms*
by (*metis Coinitial-iff-Con resid.simps(7)*)+

We can now prove Lévy’s “Cube Lemma” [8], which is the key axiom for a residuated

transition system.

```

lemma Cube:
shows  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
proof -
  let ?P =  $\lambda(t, u, v). v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
  have  $\bigwedge t u v.$ 
     $\forall t' u' v'.$ 
       $((t', u', v'), (t, u, v)) \in \text{subterm-triple-rel} \implies ?P(t', u', v') \implies$ 
         $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
proof -
  fix  $t u v$ 
  assume ind:  $\forall t' u' v'.$ 
     $((t', u', v'), (t, u, v)) \in \text{subterm-triple-rel} \implies ?P(t', u', v')$ 
  show  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
  proof (intro impI)
    assume con:  $v \setminus t \sim u \setminus t$ 
    have Con v t
      using con by auto
    moreover have Con u t
      using con by auto
    ultimately show  $(v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
    using subterm-lemmas ind Coinitial-iff-Con Coinitial-resid-resid resid-Subst
    apply (elim ConE [of v t] ConE [of u t])
      apply simp-all
      apply metis
      apply metis
      apply (cases un-App1 t; cases un-App1 v, simp-all)
      apply metis
      apply metis
      apply metis
      apply metis
      apply metis
      apply metis
      apply (cases un-App1 u, simp-all)
      apply metis
      by metis
    qed
  qed
  hence ?P (t, u, v)
  using wf-subterm-triple-rel wf-induct [of subterm-triple-rel ?P] by blast
  thus  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
    by simp
  qed

```

3.2.4 Residuation Determines an RTS

We are now in a position to verify that the residuation operation that we have defined satisfies the axioms for a residuated transition system, and that various notions which we have defined syntactically above (*e.g.* arrow, source, target) agree with the versions derived abstractly from residuation.

```

sublocale partial-magma resid
  apply unfold-locales
  by (metis Arr.simps(1) Coinitial-iff-Con)

lemma null-char [simp]:
shows null = ¤
  using null-def
  by (metis null-is-zero(2) resid.simps(7))

sublocale residuation resid
  using null-char Arr-resid Coinitial-iff-Con Cube
  apply (unfold-locales, auto)
  by metis+

notation resid (infix \ 70)

lemma resid-is-residuation:
shows residuation resid
..

lemma arr-char [iff]:
shows arr t  $\longleftrightarrow$  Arr t
  using Coinitial-iff-Con arr-def con-def null-char by auto

lemma ide-char [iff]:
shows ide t  $\longleftrightarrow$  Ide t
  by (metis Ide-iff-Trg-self Ide-implies-Arr arr-char arr-resid-iff-con ide-def
      resid-Arr-self)

lemma resid-Arr-Ide:
shows  $\llbracket \text{Ide } a; \text{Coinitial } t \ a \rrbracket \implies t \setminus a = t$ 
  using Ide-iff-Src-self
  by (induct t arbitrary: a, auto)

lemma resid-Ide-Arr:
shows  $\llbracket \text{Ide } a; \text{Coinitial } a \ t \rrbracket \implies \text{Ide } (a \setminus t)$ 
  by (metis Coinitial-resid-resid ConI Ide-iff-Trg-self cube resid-Arr-Ide resid-Arr-self)

lemma resid-Arr-Src [simp]:
assumes Arr t
shows t \ Src t = t
  using assms Ide-Src
  by (simp add: Ide-implies-Arr resid-Arr-Ide)

lemma resid-Src-Arr [simp]:
assumes Arr t
shows Src t \ t = Trg t
  using assms

```

by (metis (full-types) Con-*Arr-Src*(2) Con-implies-*Arr1 Src-Src Src-resid cube resid-*Arr-Src resid-*Arr-self**)*

```

sublocale rts resid
proof
  show  $\bigwedge a t. \llbracket \text{ide } a; \text{con } t \ a \rrbracket \implies t \setminus a = t$ 
    using ide-char resid-Arr-Ide
    by (metis Coinitial-iff-Con con-def null-char)
  show  $\bigwedge t. \text{arr } t \implies \text{ide} (\text{trg } t)$ 
    by (simp add: Ide-Trg resid-Arr-self trg-def)
  show  $\bigwedge a t. \llbracket \text{ide } a; \text{con } a \ t \rrbracket \implies \text{ide} (\text{resid } a \ t)$ 
    using ide-char null-char resid-Ide-Arr Coinitial-iff-Con con-def by force
  show  $\bigwedge t u. \text{con } t \ u \implies \exists a. \text{ide } a \wedge \text{con } a \ t \wedge \text{con } a \ u$ 
    by (metis Coinitial-iff-Con Ide-Src Ide-iff-Src-self Ide-implies-Arr con-def ide-char null-char)
  show  $\bigwedge t u v. \llbracket \text{ide } (\text{resid } t \ u); \text{con } u \ v \rrbracket \implies \text{con} (\text{resid } t \ u) (\text{resid } v \ u)$ 
    by (metis Coinitial-resid-resid ide-char not-arr-null null-char resid-Ide-Arr con-def con-sym ide-implies-arr)
qed

lemma is-rts:
shows rts resid
  ..
lemma sources-char $_{\Lambda}$ :
shows sources t = (if Arr t then {Src t} else {})
proof (cases Arr t)
  show  $\neg \text{Arr } t \implies ?\text{thesis}$ 
    using arr-char arr-iff-has-source by auto
  assume t: Arr t
  have 1: {Src t}  $\subseteq$  sources t
    using t Ide-Src by force
  moreover have sources t  $\subseteq$  {Src t}
    by (metis Coinitial-iff-Con Ide-iff-Src-self ide-char in-sourcesE null-char con-def singleton-iff subsetI)
  ultimately show ?thesis
    using t by auto
qed

lemma sources-simp [simp]:
assumes Arr t
shows sources t = {Src t}
  using assms sources-char $_{\Lambda}$  by auto

lemma sources-simps [simp]:
shows sources  $\emptyset = \{\}$ 
and sources «x» = {«x»}
and arr t  $\implies$  sources  $\lambda[t] = \{\lambda[\text{Src } t]\}$ 
and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{sources } (t \circ u) = \{\text{Src } t \circ \text{Src } u\}$ 

```

and $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{sources } (\lambda[t] \bullet u) = \{\lambda[\text{Src } t] \circ \text{Src } u\}$
using *sources-char_Λ* **by** *auto*

```

lemma targets-charΛ:
shows targets  $t = (\text{if Arr } t \text{ then } \{\text{Trg } t\} \text{ else } \{\})$ 
proof (cases Arr  $t$ )
  show  $\neg \text{Arr } t \implies ?\text{thesis}$ 
    by (meson arr-char arr-iff-has-target)
  assume  $t : \text{Arr } t$ 
  have 1:  $\{\text{Trg } t\} \subseteq \text{targets } t$ 
    using  $t \text{ resid-}\text{Arr}\text{-self } \text{trg-def } \text{trg-in-targets}$  by force
  moreover have targets  $t \subseteq \{\text{Trg } t\}$ 
    by (metis 1 Ide-iff-Src-self arr-char ide-char ide-implies-arr
      in-targetsE insert-subset prfx-implies-con resid-Arr-self
      sources-resid sources-simp t)
  ultimately show  $??\text{thesis}$ 
    using  $t$  by auto
qed

lemma targets-simp [simp]:
assumes Arr  $t$ 
shows targets  $t = \{\text{Trg } t\}$ 
using assms targets-charΛ by auto

lemma targets-simps [simp]:
shows targets  $\# = \{\}$ 
and targets  $\langle\!\langle x \rangle\!\rangle = \{\langle\!\langle x \rangle\!\rangle\}$ 
and arr  $t \implies \text{targets } \lambda[t] = \{\lambda[\text{Trg } t]\}$ 
and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{targets } (t \circ u) = \{\text{Trg } t \circ \text{Trg } u\}$ 
and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{targets } (\lambda[t] \bullet u) = \{\text{subst } (\text{Trg } u) (\text{Trg } t)\}$ 
using targets-charΛ by auto

lemma seq-char:
shows seq  $t u \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge \text{Trg } t = \text{Src } u$ 
using seq-def arr-char sources-charΛ targets-charΛ by force

lemma seqIΛ [intro, simp]:
assumes Arr  $t$  and Arr  $u$  and Trg  $t = \text{Src } u$ 
shows seq  $t u$ 
using assms seq-char by simp

lemma seqEΛ [elim]:
assumes seq  $t u$ 
and  $\llbracket \text{Arr } t; \text{Arr } u; \text{Trg } t = \text{Src } u \rrbracket \implies T$ 
shows  $T$ 
using assms seq-char by blast

```

The following classifies the ways that transitions can be sequential. It is useful for later proofs by case analysis.

```

lemma seq-cases:
assumes seq t u
shows (is-Var t ∧ is-Var u) ∨
      (is-Lam t ∧ is-Lam u) ∨
      (is-App t ∧ is-App u) ∨
      (is-App t ∧ is-Beta u ∧ is-Lam (un-App1 t)) ∨
      (is-App t ∧ is-Beta u ∧ is-Beta (un-App1 t)) ∨
      is-Beta t
using assms seq-char
by (cases t; cases u) auto

sublocale confluent-rts resid
  by (unfold-locales) fastforce

lemma is-confluent-rts:
shows confluent-rts resid
..

lemma con-char [iff]:
shows con t u ↔ Con t u
  by fastforce

lemma coinitial-char [iff]:
shows coinitial t u ↔ Coinitial t u
  by fastforce

lemma sources-Raise:
assumes Arr t
shows sources (Raise d n t) = {Raise d n (Src t)}
  using assms
  by (simp add: Coinitial-Raise-Raise Src-Raise)

lemma targets-Raise:
assumes Arr t
shows targets (Raise d n t) = {Raise d n (Trg t)}
  using assms
  by (metis Arr-Raise ConI Raise-resid resid-Arr-self targets-char_Λ)

lemma sources-subst [simp]:
assumes Arr t and Arr u
shows sources (subst t u) = {subst (Src t) (Src u)}
  using assms sources-char_Λ Arr-Subst arr-char by simp

lemma targets-subst [simp]:
assumes Arr t and Arr u
shows targets (subst t u) = {subst (Trg t) (Trg u)}
  using assms targets-char_Λ Arr-Subst arr-char by simp

notation prfx (infix " $\lesssim$  50)

```

```

notation cong (infix  $\sim$  50)

lemma prfx-char iff:
shows  $t \lesssim u \longleftrightarrow \text{Ide}(t \setminus u)$ 
using ide-char by simp

lemma prfx-Var-iff:
shows  $u \lesssim \langle\langle i \rangle\rangle \longleftrightarrow u = \langle\langle i \rangle\rangle$ 
by (metis Arr.simps(2) Coinitial-iff-Con Ide.simps(1) Ide-iff-Src-self Src.simps(2)
ide-char resid-Arr-Ide)

lemma prfx-Lam-iff:
shows  $u \lesssim \text{Lam } t \longleftrightarrow \text{is-Lam } u \wedge \text{un-Lam } u \lesssim t$ 
using ide-char Arr-not-Nil Con-implies-is-Lam-iff-is-Lam Ide-implies-Arr is-Lam-def
by fastforce

lemma prfx-App-iff:
shows  $u \lesssim t1 \circ t2 \longleftrightarrow \text{is-App } u \wedge \text{un-App1 } u \lesssim t1 \wedge \text{un-App2 } u \lesssim t2$ 
using ide-char
by (cases u; cases t1) auto

lemma prfx-Beta-iff:
shows  $u \lesssim \lambda[t1] \bullet t2 \longleftrightarrow$ 
 $(\text{is-App } u \wedge \text{un-App1 } u \lesssim \lambda[t1] \wedge \text{un-App2 } u \succ t2 \wedge$ 
 $(0 \in FV(\text{un-Lam}(\text{un-App1 } u) \setminus t1) \longrightarrow \text{un-App2 } u \lesssim t2) \vee$ 
 $(\text{is-Beta } u \wedge \text{un-Beta1 } u \lesssim t1 \wedge \text{un-Beta2 } u \succ t2 \wedge$ 
 $(0 \in FV(\text{un-Beta1 } u \setminus t1) \longrightarrow \text{un-Beta2 } u \lesssim t2))$ 
using ide-char Ide-Subst-iff
by (cases u; cases un-App1 u) auto

lemma cong-Ide-are-eq:
assumes t ~ u and Ide t and Ide u
shows t = u
using assms
by (metis Coinitial-iff-Con Ide-iff-Src-self con-char prfx-implies-con)

lemma eq-Ide-are-cong:
assumes t = u and Ide t
shows t ~ u
using assms Ide-implies-Arr resid-Ide-Arr by blast

sublocale weakly-extensional-rts resid
apply unfold-locales
by (metis Coinitial-iff-Con Ide-iff-Src-self Ide-implies-Arr ide-char ide-def)

lemma is-weakly-extensional-rts:
shows weakly-extensional-rts resid
..

```

```

lemma src-char [simp]:
shows src t = (if Arr t then Src t else #)
  using src-def by force

```

```

lemma trg-char [simp]:
shows trg t = (if Arr t then Trg t else #)
  by (metis Coinitial-iff-Con resid-Arr-self trg-def)

```

We “almost” have an extensional RTS. The case that fails is $\lambda[t1] \bullet t2 \sim u \implies \lambda[t1]$

- $t2 = u$. This is because $t1$ might ignore its argument, so that $\text{subst } t2 \ t1 = \text{subst } t2' \ t1$, with both sides being identities, even if $t2 \neq t2'$.

The following gives a concrete example of such a situation.

```

abbreviation non-extensional-ex1
where non-extensional-ex1  $\equiv \lambda[\lambda[\langle\langle 0\rangle\rangle \circ \lambda[\langle\langle 0\rangle\rangle]] \bullet (\lambda[\langle\langle 0\rangle\rangle \bullet \lambda[\langle\langle 0\rangle\rangle])$ 

```

```

abbreviation non-extensional-ex2
where non-extensional-ex2  $\equiv \lambda[\lambda[\langle\langle 0\rangle\rangle \circ \lambda[\langle\langle 0\rangle\rangle]] \bullet (\lambda[\langle\langle 0\rangle\rangle \circ \lambda[\langle\langle 0\rangle\rangle])$ 

```

```

lemma non-extensional:
shows  $\lambda[\langle\langle 1\rangle\rangle \bullet \text{non-extensional-ex1} \sim \lambda[\langle\langle 1\rangle\rangle \bullet \text{non-extensional-ex2}$ 
and  $\lambda[\langle\langle 1\rangle\rangle \bullet \text{non-extensional-ex1} \neq \lambda[\langle\langle 1\rangle\rangle \bullet \text{non-extensional-ex2}$ 
  by auto

```

The following gives an example of two terms that are both coinitial and coterminal, but which are not congruent.

```

abbreviation cong-nontrivial-ex1
where cong-nontrivial-ex1  $\equiv$ 
 $\lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle \circ \lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle] \circ (\lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle] \bullet \lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle])$ 

```

```

abbreviation cong-nontrivial-ex2
where cong-nontrivial-ex2  $\equiv$ 
 $\lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle \bullet \lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle] \circ (\lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle] \circ \lambda[\langle\langle 0\rangle\rangle \circ \langle\langle 0\rangle\rangle])$ 

```

```

lemma cong-nontrivial:
shows coinitial cong-nontrivial-ex1 cong-nontrivial-ex2
and coterminal cong-nontrivial-ex1 cong-nontrivial-ex2
and  $\neg \text{cong cong-nontrivial-ex1 cong-nontrivial-ex2}$ 
  by auto

```

Every two coinitial transitions have a join, obtained structurally by unioning the sets of marked redexes.

```

fun Join (infix  $\sqcup$  52)
where  $\langle\langle x\rangle\rangle \sqcup \langle\langle x'\rangle\rangle = (\text{if } x = x' \text{ then } \langle\langle x\rangle\rangle \text{ else } #)$ 
  |  $\lambda[t] \sqcup \lambda[t'] = \lambda[t \sqcup t']$ 
  |  $\lambda[t] \circ u \sqcup \lambda[t'] \bullet u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $\lambda[t] \bullet u \sqcup \lambda[t'] \circ u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $t \circ u \sqcup t' \circ u' = (t \sqcup t') \circ (u \sqcup u')$ 
  |  $\lambda[t] \bullet u \sqcup \lambda[t'] \bullet u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $- \sqcup - = #$ 

```

```

lemma Join-sym:
shows  $t \sqcup u = u \sqcup t$ 
using Join.induct [of  $\lambda t u. t \sqcup u = u \sqcup t$ ] by auto

lemma Src-Join:
shows Coinitial  $t u \implies \text{Src} (t \sqcup u) = \text{Src} t$ 
proof (induct t arbitrary: u)
  show  $\bigwedge u. \text{Coinitial } \sharp u \implies \text{Src} (\sharp \sqcup u) = \text{Src} \sharp$ 
    by simp
  show  $\bigwedge x u. \text{Coinitial } \langle\!\langle x \rangle\!\rangle u \implies \text{Src} (\langle\!\langle x \rangle\!\rangle \sqcup u) = \text{Src} \langle\!\langle x \rangle\!\rangle$ 
    by auto
  fix t u
  assume ind:  $\bigwedge u. \text{Coinitial } t u \implies \text{Src} (t \sqcup u) = \text{Src} t$ 
  assume tu: Coinitial  $\lambda[t] u$ 
  show  $\text{Src} (\lambda[t] \sqcup u) = \text{Src} \lambda[t]$ 
    using tu ind
    by (cases u) auto
  next
  fix t1 t2 u
  assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies \text{Src} (t1 \sqcup u_1) = \text{Src} t1$ 
  assume ind2:  $\bigwedge u_2. \text{Coinitial } t2 u_2 \implies \text{Src} (t2 \sqcup u_2) = \text{Src} t2$ 
  assume tu: Coinitial  $(t1 \circ t2) u$ 
  show  $\text{Src} (t1 \circ t2 \sqcup u) = \text{Src} (t1 \circ t2)$ 
    using tu ind1 ind2
    apply (cases u, simp-all)
    apply (cases t1, simp-all)
    by (metis Arr.simps(3) Join.simps(2) Src.simps(3) lambda.sel(2))
  next
  fix t1 t2 u
  assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies \text{Src} (t1 \sqcup u_1) = \text{Src} t1$ 
  assume ind2:  $\bigwedge u_2. \text{Coinitial } t2 u_2 \implies \text{Src} (t2 \sqcup u_2) = \text{Src} t2$ 
  assume tu: Coinitial  $(\lambda[t1] \bullet t2) u$ 
  show  $\text{Src} ((\lambda[t1] \bullet t2) \sqcup u) = \text{Src} (\lambda[t1] \bullet t2)$ 
    using tu ind1 ind2
    apply (cases u, simp-all)
    by (cases un-App1 u) auto
qed

lemma resid-Join:
shows Coinitial  $t u \implies (t \sqcup u) \setminus u = t \setminus u$ 
proof (induct t arbitrary: u)
  show  $\bigwedge u. \text{Coinitial } \sharp u \implies (\sharp \sqcup u) \setminus u = \sharp \setminus u$ 
    by auto
  show  $\bigwedge x u. \text{Coinitial } \langle\!\langle x \rangle\!\rangle u \implies (\langle\!\langle x \rangle\!\rangle \sqcup u) \setminus u = \langle\!\langle x \rangle\!\rangle \setminus u$ 
    by auto
  fix t u
  assume ind:  $\bigwedge u. \text{Coinitial } t u \implies (t \sqcup u) \setminus u = t \setminus u$ 
  assume tu: Coinitial  $\lambda[t] u$ 

```

```

show  $(\lambda[t] \sqcup u) \setminus u = \lambda[t] \setminus u$ 
  using tu ind
  by (cases u) auto
next
fix t1 t2 u
assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies (t1 \sqcup u_1) \setminus u_1 = t1 \setminus u_1$ 
assume ind2:  $\bigwedge u_2. \text{Cointial } t2 u_2 \implies (t2 \sqcup u_2) \setminus u_2 = t2 \setminus u_2$ 
assume tu:  $\text{Cointial } (t1 \circ t2) u$ 
show  $(t1 \circ t2 \sqcup u) \setminus u = (t1 \circ t2) \setminus u$ 
  using tu ind1 ind2 Cointial-iff-Con
  apply (cases u, simp-all)
proof -
  fix u1 u2
  assume u:  $u = \lambda[u_1] \bullet u_2$ 
  have t2u2:  $t2 \sim u_2$ 
    using Arr-not-Nil Arr-resid tu u by simp
  have t1u1:  $\text{Cointial } (\text{un-Lam } t1 \sqcup u_1) u_1$ 
  proof -
    have Arr (un-Lam t1  $\sqcup u_1$ )
      by (metis Arr.simps(3-5) Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam
           Join.simps(2) Src.simps(3-5) ind1 lambda.collapse(2) lambda.disc(8)
           lambda.sel(3) tu u)
    thus ?thesis
      using Src-Join
      by (metis Arr.simps(3-5) Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam
           Src.simps(3-5) lambda.collapse(2) lambda.disc(8) lambda.sel(2-3) tu u)
  qed
  have un-Lam t1  $\sim u_1$ 
    using t1u1
    by (metis Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam ConD(4) lambda.collapse(2)
         lambda.disc(8) resid.simps(2) tu u)
  thus  $(t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2) = (t1 \circ t2) \setminus (\lambda[u_1] \bullet u_2)$ 
    using u tu t1u1 t2u2 ind1 ind2
    apply (cases t1, auto)
  proof -
    fix v
    assume v:  $t1 = \lambda[v]$ 
    show subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1) = \text{subst } (t2 \setminus u_2) (v \setminus u_1)$ 
    proof -
      have subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1) = (t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2)$ 
        by (simp add: Cointial-iff-Con ind2 t2u2 v)
      also have ... =  $(t1 \circ t2) \setminus (\lambda[u_1] \bullet u_2)$ 
      proof -
        have  $(t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2) =$ 
           $(\lambda[(v \sqcup u_1)] \bullet (t2 \sqcup u_2)) \setminus (\lambda[u_1] \bullet u_2)$ 
        using v by simp
      also have ... = subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1)$ 
        by (simp add: Cointial-iff-Con ind2 t2u2)
      also have ... = subst  $(t2 \setminus u_2) (v \setminus u_1)$ 
    qed
  qed

```

```

proof -
  have  $(t1 \sqcup \lambda[u1]) \setminus \lambda[u1] = t1 \setminus \lambda[u1]$ 
    using  $u$   $tu$   $ind1$  by simp
    thus  $?thesis$ 
      using  $\langle un-Lam$   $t1 \setminus u1 \neq \sharp t1u1 v$  by force
  qed
  also have  $\dots = (t1 \circ t2) \setminus (\lambda[u1] \bullet u2)$ 
    using  $tu$   $u$   $v$  by force
    finally show  $?thesis$  by blast
  qed
  also have  $\dots = subst(t2 \setminus u2)(v \setminus u1)$ 
    by (simp add:  $t2u2 v$ )
    finally show  $?thesis$  by auto
  qed
  qed
  qed
  next
  fix  $t1$   $t2$   $u$ 
  assume  $ind1: \bigwedge u1. Coinitial t1 u1 \implies (t1 \sqcup u1) \setminus u1 = t1 \setminus u1$ 
  assume  $ind2: \bigwedge u2. Coinitial t2 u2 \implies (t2 \sqcup u2) \setminus u2 = t2 \setminus u2$ 
  assume  $tu: Coinitial (\lambda[t1] \bullet t2) u$ 
  show  $((\lambda[t1] \bullet t2) \sqcup u) \setminus u = (\lambda[t1] \bullet t2) \setminus u$ 
    using  $tu$   $ind1$   $ind2$  Coinitial-iff-Con
    apply (cases  $u$ , simp-all)
  proof -
    fix  $u1$   $u2$ 
    assume  $u: u = u1 \circ u2$ 
    show  $(\lambda[t1] \bullet t2 \sqcup u1 \circ u2) \setminus (u1 \circ u2) = (\lambda[t1] \bullet t2) \setminus (u1 \circ u2)$ 
      using  $ind1$   $ind2$   $tu$   $u$ 
      by (cases  $u1$ ) auto
  qed
  qed

lemma prfx-Join:
shows  $Coinitial t u \implies u \lesssim t \sqcup u$ 
proof (induct  $t$  arbitrary:  $u$ )
  show  $\bigwedge u. Coinitial \sharp u \implies u \lesssim \sharp \sqcup u$ 
    by simp
  show  $\bigwedge x u. Coinitial \langle\langle x\rangle\rangle u \implies u \lesssim \langle\langle x\rangle\rangle \sqcup u$ 
    by auto
  fix  $t u$ 
  assume  $ind: \bigwedge u. Coinitial t u \implies u \lesssim t \sqcup u$ 
  assume  $tu: Coinitial \lambda[t] u$ 
  show  $u \lesssim \lambda[t] \sqcup u$ 
    using  $tu$   $ind$ 
    apply (cases  $u$ , auto)
    by force
  next
  fix  $t1$   $t2$   $u$ 

```

```

assume ind1:  $\bigwedge u_1. \text{Coinitial } t_1 u_1 \implies u_1 \lesssim t_1 \sqcup u_1$ 
assume ind2:  $\bigwedge u_2. \text{Cointial } t_2 u_2 \implies u_2 \lesssim t_2 \sqcup u_2$ 
assume tu:  $\text{Cointial } (t_1 \circ t_2) u$ 
show  $u \lesssim t_1 \circ t_2 \sqcup u$ 
  using tu ind1 ind2 Cointial-iff-Con
  apply (cases u, simp-all)
  apply (metis Ide.simps(1))
proof -
  fix u1 u2
  assume u:  $u = \lambda[u_1] \bullet u_2$ 
  assume 1:  $\text{Arr } t_1 \wedge \text{Arr } t_2 \wedge \text{Arr } u_1 \wedge \text{Arr } u_2 \wedge \text{Src } t_1 = \lambda[\text{Src } u_1] \wedge \text{Src } t_2 = \text{Src } u_2$ 
  have 2:  $u_1 \sim \text{un-Lam } t_1 \sqcup u_1$ 
    by (metis 1 Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam Con-Arr-Src(2)
      lambda.collapse(2) lambda.disc(8) resid.simps(2) resid-Join)
  have 3:  $u_2 \sim t_2 \sqcup u_2$ 
    by (metis 1 cone ind2 null-char prfx-implies-con)
  show Ide  $(\lambda[u_1] \bullet u_2) \setminus (t_1 \circ t_2 \sqcup \lambda[u_1] \bullet u_2)$ 
    using u tu 1 2 3 ind1 ind2
    apply (cases t1, simp-all)
  by (metis Arr.simps(3) Ide.simps(3) Ide-Subst Join.simps(2) Src.simps(3) resid.simps(2))
  qed
next
fix t1 t2 u
assume ind1:  $\bigwedge u_1. \text{Cointial } t_1 u_1 \implies u_1 \lesssim t_1 \sqcup u_1$ 
assume ind2:  $\bigwedge u_2. \text{Cointial } t_2 u_2 \implies u_2 \lesssim t_2 \sqcup u_2$ 
assume tu:  $\text{Cointial } (\lambda[t_1] \bullet t_2) u$ 
show  $u \lesssim (\lambda[t_1] \bullet t_2) \sqcup u$ 
  using tu ind1 ind2 Cointial-iff-Con
  apply (cases u, simp-all)
  apply (cases un-App1 u, simp-all)
  by (metis Ide.simps(1) Ide-Subst) +
qed

lemma Ide-resid-Join:
shows  $\text{Cointial } t u \implies \text{Ide } (u \setminus (t \sqcup u))$ 
  using ide-char prfx-Join by blast

lemma join-of-Join:
assumes  $\text{Cointial } t u$ 
shows  $\text{join-of } t u (t \sqcup u)$ 
proof (unfold join-of-def composite-of-def, intro conjI)
  show  $t \lesssim t \sqcup u$ 
    using assms Join-sym prfx-Join [of u t] by simp
  show  $u \lesssim t \sqcup u$ 
    using assms Ide-resid-Join ide-char by simp
  show  $(t \sqcup u) \setminus t \lesssim u \setminus t$ 
    by (metis <prfx u (Join t u) arr-char assms cong-subst-right(2) prfx-implies-con
      prfx-reflexive resid-Join con-sym cube)
  show  $u \setminus t \lesssim (t \sqcup u) \setminus t$ 

```

```

by (metis Coinitial-resid-resid ⟨prfx t (Join t u)⟩ ⟨prfx u (Join t u)⟩ conE ide-char
    null-char prfx-implies-con resid-Ide-Arr cube)
show (t ⊒ u) \ u ⪯ t \ u
  using ⟨(t ⊒ u) \ t ⪯ u \ t⟩ cube by auto
show t \ u ⪯ (t ⊒ u) \ u
  by (metis ⟨(t ⊒ u) \ t ⪯ u \ t⟩ assms cube resid-Join)
qed

sublocale rts-with-joins resid
  using join-of-Join
  apply unfold-locales
  by (metis Coinitial-iff-Con conE joinable-def null-char)

lemma is-rts-with-joins:
shows rts-with-joins resid
..

```

3.2.5 Simulations from Syntactic Constructors

Here we show that the syntactic constructors *Lam* and *App*, as well as the substitution operation *subst*, determine simulations. In addition, we show that *Beta* determines a transformation from *App* \circ (*Lam* \times *Id*) to *subst*.

```

abbreviation Lamext
where Lamext t ≡ if arr t then  $\lambda[t]$  else  $\sharp$ 

lemma Lam-is-simulation:
shows simulation resid resid Lamext
  using Arr-resid Coinitial-iff-Con
  by unfold-locales auto

interpretation Lam: simulation resid resid Lamext
  using Lam-is-simulation by simp

interpretation  $\Lambda x \Lambda$ : product-of-weakly-extensional-rts resid resid
..
abbreviation Appext
where Appext t ≡ if  $\Lambda x \Lambda$ .arr t then fst t  $\circ$  snd t else  $\sharp$ 

lemma App-is-binary-simulation:
shows binary-simulation resid resid resid Appext
proof
  show  $\bigwedge t. \neg \Lambda x \Lambda$ .arr t  $\implies$  Appext t = null
    by auto
  show  $\bigwedge t u. \Lambda x \Lambda$ .con t u  $\implies$  con (Appext t) (Appext u)
    using  $\Lambda x \Lambda$ .con-char Coinitial-iff-Con by auto
  show  $\bigwedge t u. \Lambda x \Lambda$ .con t u  $\implies$  Appext ( $\Lambda x \Lambda$ .resid t u) = Appext t \ Appext u
    using  $\Lambda x \Lambda$ .arr-char  $\Lambda x \Lambda$ .resid-def
    apply simp

```

```

by (metis Arr-resid Con-implies-Arr1 Con-implies-Arr2)
qed

interpretation App: binary-simulation resid resid resid Appext
  using App-is-binary-simulation by simp

abbreviation substext
where substext ≡ λt. if ΛxΛ.arr t then subst (snd t) (fst t) else #"

lemma subst-is-binary-simulation:
shows binary-simulation resid resid resid substext
proof
  show ∀t. ¬ ΛxΛ.arr t ⇒ substext t = null
    by auto
  show ∀t u. ΛxΛ.con t u ⇒ con (substext t) (substext u)
    using ΛxΛ.con-char con-char Subst-not-Nil resid-Subst ΛxΛ.coinitialE
      ΛxΛ.con-imp-coinitial
    apply simp
    by metis
  show ∀t u. ΛxΛ.con t u ⇒ substext (ΛxΛ.resid t u) = substext t \ substext u
    using ΛxΛ.arr-char ΛxΛ.resid-def
    apply simp
    by (metis Arr-resid Con-implies-Arr1 Con-implies-Arr2 resid-Subst)
qed

interpretation subst: binary-simulation resid resid resid substext
  using subst-is-binary-simulation by simp

interpretation Id: identity-simulation resid
..
interpretation Lam-Id: product-simulation resid resid resid Lamext Id.map
..
interpretation App-o-Lam-Id: composite-simulation ΛxΛ.resid ΛxΛ.resid resid Lam-Id.map
Appext
..

abbreviation Betaext
where Betaext t ≡ if ΛxΛ.arr t then λ[fst t] • snd t else #"

lemma Beta-is-transformation:
shows transformation ΛxΛ.resid resid App-o-Lam-Id.map substext Betaext
proof
  show ∀f. ¬ ΛxΛ.arr f ⇒ Betaext f = null
    by simp
  show ∀f. ΛxΛ.ide f ⇒ src (Betaext f) = App-o-Lam-Id.map f
    using ΛxΛ.src-char ΛxΛ.src-ide Lam-Id.map-def by force
  show ∀f. ΛxΛ.ide f ⇒ trg (Betaext f) = substext f
    using ΛxΛ.trg-char ΛxΛ.trg-ide by force
  show ∀f. ΛxΛ.arr f ⇒

```

```

 $\text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f) \setminus \text{App-o-Lam-Id.map } f = \text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{trg } f)$ 
using  $\Lambda x \Lambda. \text{src-char } \Lambda x \Lambda. \text{trg-char } \text{Arr-Trg } \text{Arr-not-Nil } \text{Lam-Id.map-def}$  by simp
show  $\bigwedge f. \Lambda x \Lambda. \text{arr } f \implies \text{App-o-Lam-Id.map } f \setminus \text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f) = \text{subst}_{\text{ext}} f$ 
using  $\Lambda x \Lambda. \text{src-char } \Lambda x \Lambda. \text{trg-char } \text{Lam-Id.map-def}$  by auto
show  $\bigwedge f. \Lambda x \Lambda. \text{arr } f \implies \text{join-of} (\text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f)) (\text{App-o-Lam-Id.map } f) (\text{Beta}_{\text{ext}} f)$ 
proof –
  fix  $f$ 
  assume  $f: \Lambda x \Lambda. \text{arr } f$ 
  show  $\text{join-of} (\text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f)) (\text{App-o-Lam-Id.map } f) (\text{Beta}_{\text{ext}} f)$ 
  proof (intro join-ofI composite-ofI)
    show  $\text{App-o-Lam-Id.map } f \lesssim \text{Beta}_{\text{ext}} f$ 
    using  $f \text{ Lam-Id.map-def Ide-Subst arr-char prfx-char prfx-reflexive}$  by auto
    show  $\text{Beta}_{\text{ext}} f \setminus \text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f) \sim \text{App-o-Lam-Id.map } f \setminus \text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f)$ 
    using  $f \text{ Lam-Id.map-def } \Lambda x \Lambda. \text{src-char trg-char trg-def}$ 
    apply auto
    by (metis Arr-Subst Ide-Trg)
    show  $1: \text{Beta}_{\text{ext}} f \setminus \text{App-o-Lam-Id.map } f \sim \text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f) \setminus \text{App-o-Lam-Id.map } f$ 
    using  $f \text{ Lam-Id.map-def Ide-Subst } \Lambda x \Lambda. \text{src-char Ide-Trg Arr-resid Coinitial-iff-Con resid-Arr-self}$ 
    apply simp
    by metis
    show  $\text{Beta}_{\text{ext}} (\Lambda x \Lambda. \text{src } f) \lesssim \text{Beta}_{\text{ext}} f$ 
    using  $f 1 \text{ Lam-Id.map-def Ide-Subst } \Lambda x \Lambda. \text{src-char resid-Arr-self}$  by auto
  qed
  qed
  qed

```

The next two results are used to show that mapping App over lists of transitions preserves paths.

```

lemma App-is-simulation1:
assumes ide a
shows simulation resid resid ( $\lambda t. \text{if arr } t \text{ then } t \circ a \text{ else } \sharp$ )
proof –
  have ( $\lambda t. \text{if } \Lambda x \Lambda. \text{arr } (t, a) \text{ then } \text{fst } (t, a) \circ \text{snd } (t, a) \text{ else } \sharp$ ) =
    ( $\lambda t. \text{if arr } t \text{ then } t \circ a \text{ else } \sharp$ )
  using assms ide-implies-arr by force
  thus ?thesis
    using assms App.fixing-ide-gives-simulation-0 [of a] by auto
  qed

```

```

lemma App-is-simulation2:
assumes ide a
shows simulation resid resid ( $\lambda t. \text{if arr } t \text{ then } a \circ t \text{ else } \sharp$ )
proof –
  have ( $\lambda t. \text{if } \Lambda x \Lambda. \text{arr } (a, t) \text{ then } \text{fst } (a, t) \circ \text{snd } (a, t) \text{ else } \sharp$ ) =
    ( $\lambda t. \text{if arr } t \text{ then } a \circ t \text{ else } \sharp$ )
  using assms ide-implies-arr by force
  thus ?thesis
    using assms App.fixing-ide-gives-simulation-1 [of a] by auto

```

qed

3.2.6 Reduction and Conversion

Here we define the usual relations of reduction and conversion. Reduction is the least transitive relation that relates a to b if there exists an arrow t having a as its source and b as its target. Conversion is the least transitive relation that relates a to b if there exists an arrow t in either direction between a and b .

```

inductive red
where Arr t ==> red (Src t) (Trg t)
      | [red a b; red b c] ==> red a c

inductive cnv
where Arr t ==> cnv (Src t) (Trg t)
      | Arr t ==> cnv (Trg t) (Src t)
      | [cnv a b; cnv b c] ==> cnv a c

lemma cnv-refl:
assumes Ide a
shows cnv a a
using assms
by (metis Ide-iff-Src-self Ide-implies-Arr cnv.simps)

lemma cnv-sym:
shows cnv a b ==> cnv b a
apply (induct rule: cnv.induct)
using cnv.intros(1-2)
apply auto[2]
using cnv.intros(3) by blast

lemma red-imp-cnv:
shows red a b ==> cnv a b
using cnv.intros(1,3) red.inducts by blast

end

```

We now define a locale that extends the residuation operation defined above to paths, using general results that have already been shown for paths in an RTS. In particular, we are taking advantage of the general proof of the Cube Lemma for residuation on paths.

Our immediate goal is to prove the Church-Rosser theorem, so we first prove a lemma that connects the reduction relation to paths. Later, we will prove many more facts in this locale, thereby developing a general framework for reasoning about reduction paths in the λ -calculus.

```

locale reduction-paths =
   $\Lambda$ : lambda-calculus
begin

  sublocale  $\Lambda$ : rts  $\Lambda$ .resid

```

```

by (simp add: Λ.is-rts-with-joins rts-with-joins.axioms(1))
sublocale paths-in-weakly-extensional-rts Λ.resid
..
sublocale paths-in-confluent-rts Λ.resid
using confluent-rts.axioms(1) Λ.is-confluent-rts paths-in-rts-def
paths-in-confluent-rts.intro
by blast

notation Λ.resid (infix \ $\setminus$  70)
notation Λ.con (infix  $\sim$  50)
notation Λ.prfx (infix  $\lesssim$  50)
notation Λ.cong (infix  $\simeq$  50)

notation Resid (infix  $*\setminus^*$  70)
notation Resid1x (infix  ${}^1\setminus^*$  70)
notation Residx1 (infix  $*\setminus^1$  70)
notation con (infix  $*\sim^*$  50)
notation prfx (infix  $*\lesssim^*$  50)
notation cong (infix  $*\simeq^*$  50)

lemma red-iff:
shows Λ.red a b  $\longleftrightarrow$  ( $\exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = b)
proof
show Λ.red a b  $\implies$   $\exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = b
proof (induct rule: Λ.red.induct)
show  $\bigwedge t$ . Λ.Arr t  $\implies$   $\exists T$ . Arr T  $\wedge$  Src T = Λ.Src t  $\wedge$  Trg T = Λ.Trг t
by (metis Arr.simps(2) Srcs.simps(2) Srcs-simpPWE Trg.simps(2) Λ.trg-def
Λ.arr-char Λ.resid-Arr-self Λ.sources-charΛ singleton-insert-inj-eq')
show  $\bigwedge a b c$ . [ $\exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = b;
 $\exists T$ . Arr T  $\wedge$  Src T = b  $\wedge$  Trg T = c]
 $\implies \exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = c
by (metis Arr.simps(1) Arr-appendIPWE Sres-append Srcs-simpPWE Trgs-append
Trgs-simpPWE singleton-insert-inj-eq')
qed
show  $\exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = b  $\implies$  Λ.red a b
proof -
have Arr T  $\implies$  Λ.red (Src T) (Trg T) for T
proof (induct T)
show Arr []  $\implies$  Λ.red (Src []) (Trg [])
by auto
fix t T
assume ind: Arr T  $\implies$  Λ.red (Src T) (Trg T)
assume Arr: Arr (t # T)
show Λ.red (Src (t # T)) (Trg (t # T))
proof (cases T = [])
show T = []  $\implies$  ?thesis
using Arr arr-char Λ.red.intros(1) by simp
assume T: T  $\neq$  []
have Λ.red (Src (t # T)) (Λ.Trг t)

```

```

apply simp
by (meson Arr Arr.simps(2) Con-Arr-self Con-implies-Arr(1) Con-initial-left
       $\Lambda$ .arr-char  $\Lambda$ .red.intros(1))
moreover have  $\Lambda$ .Trg t = Src T
  using Arr
  by (metis Arr.elims(2) Srcs-simpPWE T  $\Lambda$ .arr-iff-has-target insert-subset
       $\Lambda$ .targets-char $\Lambda$  list.sel(1) list.sel(3) singleton-iff)
ultimately show ?thesis
  using ind
  by (metis (no-types, opaque-lifting) Arr Con-Arr-self Con-implies-Arr(2)
      Resid-cons(2) T Trg.simps(3)  $\Lambda$ .red.intros(2) neq-Nil-conv)
qed
qed
thus  $\exists T$ . Arr T  $\wedge$  Src T = a  $\wedge$  Trg T = b  $\Longrightarrow$   $\Lambda$ .red a b
  by blast
qed
qed

end

```

3.2.7 The Church-Rosser Theorem

```

context lambda-calculus
begin

interpretation  $\Lambda$ x: reduction-paths .

theorem church-rosser:
shows cnv a b  $\Longrightarrow$   $\exists c$ . red a c  $\wedge$  red b c
proof (induct rule: cnv.induct)
  show  $\bigwedge t$ . Arr t  $\Longrightarrow$   $\exists c$ . red (Src t) c  $\wedge$  red (Trg t) c
    by (metis Ide-Trg Ide-iff-Src-self Ide-iff-Trg-self Ide-implies-Arr red.intros(1))
  thus  $\bigwedge t$ . Arr t  $\Longrightarrow$   $\exists c$ . red (Trg t) c  $\wedge$  red (Src t) c
    by auto
  show  $\bigwedge a b c$ . [cnv a b; cnv b c;  $\exists x$ . red a x  $\wedge$  red b x;  $\exists y$ . red b y  $\wedge$  red c y]
     $\Longrightarrow$   $\exists z$ . red a z  $\wedge$  red c z
proof -
  fix a b c
  assume ind1:  $\exists x$ . red a x  $\wedge$  red b x and ind2:  $\exists y$ . red b y  $\wedge$  red c y
  obtain x where x: red a x  $\wedge$  red b x
    using ind1 by blast
  obtain y where y: red b y  $\wedge$  red c y
    using ind2 by blast
  obtain T1 U1 where 1:  $\Lambda$ x. Arr T1  $\wedge$   $\Lambda$ x. Arr U1  $\wedge$   $\Lambda$ x. Src T1 = a  $\wedge$   $\Lambda$ x. Src U1 = b  $\wedge$ 
     $\Lambda$ x. Trgs T1 =  $\Lambda$ x. Trgs U1
    using x  $\Lambda$ x.red-iff [of a x]  $\Lambda$ x.red-iff [of b x] by fastforce
  obtain T2 U2 where 2:  $\Lambda$ x. Arr T2  $\wedge$   $\Lambda$ x. Arr U2  $\wedge$   $\Lambda$ x. Src T2 = b  $\wedge$   $\Lambda$ x. Src U2 = c  $\wedge$ 
     $\Lambda$ x. Trgs T2 =  $\Lambda$ x. Trgs U2
    using y  $\Lambda$ x.red-iff [of b y]  $\Lambda$ x.red-iff [of c y] by fastforce

```

```

show  $\exists e. \text{red } a e \wedge \text{red } c e$ 
proof -
  let  $?T = T1 @ (\Lambda x.\text{Resid } T2 U1)$  and  $?U = U2 @ (\Lambda x.\text{Resid } U1 T2)$ 
  have  $\exists: \Lambda x.\text{Arr } ?T \wedge \Lambda x.\text{Arr } ?U \wedge \Lambda x.\text{Src } ?T = a \wedge \Lambda x.\text{Src } ?U = c$ 
    using 1 2
    by (metis  $\Lambda x.\text{Arr-append}_{PWE} \Lambda x.\text{Arr-has-Trg} \Lambda x.\text{Con-imp-Arr-Resid} \Lambda x.\text{Src-append}$ 
       $\Lambda x.\text{Src-resid} \Lambda x.\text{Srcs-simp}_{PWE} \Lambda x.\text{Trgs.simps}(1) \Lambda x.\text{Trgs-simp}_{PWE} \Lambda x.\text{arr}_{IP}$ 
       $\Lambda x.\text{arr-append-imp-seq} \Lambda x.\text{confluence-ind singleton-insert-inj-eq}'$ )
  moreover have  $\Lambda x.\text{Trgs } ?T = \Lambda x.\text{Trgs } ?U$ 
    using 1 2 3  $\Lambda x.\text{Srcs-simp}_{PWE} \Lambda x.\text{Trgs-Resid-sym} \Lambda x.\text{Trgs-append} \Lambda x.\text{confluence-ind}$ 
      by presburger
  ultimately have  $\exists T U. \Lambda x.\text{Arr } T \wedge \Lambda x.\text{Arr } U \wedge \Lambda x.\text{Src } T = a \wedge \Lambda x.\text{Src } U = c \wedge$ 
     $\Lambda x.\text{Trgs } T = \Lambda x.\text{Trgs } U$ 
    by blast
  thus  $?thesis$ 
    using  $\Lambda x.\text{red-iff} \Lambda x.\text{Arr-has-Trg}$  by fastforce
  qed
  qed
qed

```

corollary *weak-diamond*:
assumes $\text{red } a b$ **and** $\text{red } a b'$
obtains c **where** $\text{red } b c$ **and** $\text{red } b' c$
proof -
have $\text{cnv } b b'$
using *assms*
by (metis $\text{cnv.intros}(1,3)$ $\text{cnv-sym red.induct}$)
thus $?thesis$
using *that church-rosser* **by blast**
qed

As a consequence of the Church-Rosser Theorem, the collection of all reduction paths forms a coherent normal sub-RTS of the RTS of reduction paths, and on identities the congruence induced by this normal sub-RTS coincides with convertibility. The quotient of the λ -calculus RTS by this congruence is then obviously discrete: the only transitions are identities.

```

interpretation Red: normal-sub-rts  $\Lambda x.\text{Resid} \langle \text{Collect } \Lambda x.\text{Arr} \rangle$ 
proof
  show  $\bigwedge t. t \in \text{Collect } \Lambda x.\text{Arr} \implies \Lambda x.\text{arr } t$ 
    by blast
  show  $\bigwedge a. \Lambda x.\text{ide } a \implies a \in \text{Collect } \Lambda x.\text{Arr}$ 
    using  $\Lambda x.\text{Ide-char} \Lambda x.\text{ide-char}$  by blast
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{coinitial } t u] \implies \Lambda x.\text{Resid } u t \in \text{Collect } \Lambda x.\text{Arr}$ 
  by (metis  $\Lambda x.\text{Con-imp-Arr-Resid} \Lambda x.\text{Resid.simps}(1) \Lambda x.\text{con-sym} \Lambda x.\text{confluence}_P \Lambda x.\text{ide-def}$ 
     $\langle \bigwedge a. \Lambda x.\text{ide } a \implies a \in \text{Collect } \Lambda x.\text{Arr} \rangle \text{ mem-Collect-eq } \Lambda x.\text{arr-resid-iff-con}$ )
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{Resid } t u \in \text{Collect } \Lambda x.\text{Arr}] \implies t \in \text{Collect } \Lambda x.\text{Arr}$ 
    by (metis  $\Lambda x.\text{Arr.simps}(1) \Lambda x.\text{Con-implies-Arr}(1)$   $\text{mem-Collect-eq}$ )
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{seq } u t] \implies \exists v. \Lambda x.\text{composite-of } u t v$ 
    by (meson  $\Lambda x.\text{obtains-composite-of}$ )

```

```

show  $\lambda u t. [u \in \text{Collect } \Lambda x. \text{Arr}; \Lambda x. \text{seq } t u] \implies \exists v. \Lambda x. \text{composite-of } t u v$ 
  by (meson  $\Lambda x. \text{obtains-composite-of}$ )
qed

interpretation Red: coherent-normal-sub-rts  $\Lambda x. \text{Resid} \langle \text{Collect } \Lambda x. \text{Arr} \rangle$ 
  apply unfold-locales
  by (metis Red.Cong-closure-props(4) Red.Cong-imp-arr(2)  $\Lambda x. \text{Con-imp-Arr-Resid}$ 
     $\Lambda x. \text{arr-resid-iff-con}$   $\Lambda x. \text{con-char}$   $\Lambda x. \text{sources-resid}$  mem-Collect-eq)

lemma cnv-iff-Cong:
assumes ide a and ide b
shows cnv a b  $\longleftrightarrow$  Red.Cong [a] [b]
proof
  assume 1: Red.Cong [a] [b]
  obtain U V
    where UV:  $\Lambda x. \text{Arr } U \wedge \Lambda x. \text{Arr } V \wedge \text{Red.Congo}_0 (\Lambda x. \text{Resid } [a] U) (\Lambda x. \text{Resid } [b] V)$ 
    using 1 Red.Cong-def [of [a] [b]] by blast
    have red a ( $\Lambda x. \text{Trg } U$ )  $\wedge$  red b ( $\Lambda x. \text{Trg } V$ )
    by (metis UV  $\Lambda x. \text{Arr.simps}(1)$   $\Lambda x. \text{Con-implies-Arr}(1)$   $\Lambda x. \text{Resid-single-ide}(2)$   $\Lambda x. \text{Src-resid}$ 
       $\Lambda x. \text{Trg.simps}(2)$  assms(1–2) mem-Collect-eq reduction-paths.red-iff trg-ide)
    moreover have  $\Lambda x. \text{Trg } U = \Lambda x. \text{Trg } V$ 
      using UV
      by (metis (no-types, lifting) Red.Congo0-imp-con  $\Lambda x. \text{Arr.simps}(1)$   $\Lambda x. \text{Con-Arr-self}$ 
         $\Lambda x. \text{Con-implies-Arr}(1)$   $\Lambda x. \text{Resid-single-ide}(2)$   $\Lambda x. \text{Src-resid}$   $\Lambda x. \text{cube}$   $\Lambda x. \text{ide-def}$ 
         $\Lambda x. \text{resid-arr-ide}$  assms(1) mem-Collect-eq)
    ultimately show cnv a b
      by (metis cnv-sym cnv.intros(3) red-imp-cnv)
next
  assume 1: cnv a b
  obtain c where c: red a c  $\wedge$  red b c
    using 1 church-rosser by blast
  obtain U where U:  $\Lambda x. \text{Arr } U \wedge \Lambda x. \text{Src } U = a \wedge \Lambda x. \text{Trg } U = c$ 
    using c  $\Lambda x. \text{red-iff}$  by blast
  obtain V where V:  $\Lambda x. \text{Arr } V \wedge \Lambda x. \text{Src } V = b \wedge \Lambda x. \text{Trg } V = c$ 
    using c  $\Lambda x. \text{red-iff}$  by blast
  have  $\Lambda x. \text{Resid1x } a U = c \wedge \Lambda x. \text{Resid1x } b V = c$ 
    by (metis U V  $\Lambda x. \text{Con-single-ide-ind}$   $\Lambda x. \text{Ide.simps}(2)$   $\Lambda x. \text{Resid1x-as-Resid}$ 
       $\Lambda x. \text{Resid-Ide-Arr-ind}$   $\Lambda x. \text{Resid-single-ide}(2)$   $\Lambda x. \text{Srcs-simp}_{\text{PWE}}$   $\Lambda x. \text{Trg.simps}(2)$ 
       $\Lambda x. \text{Trg-resid-sym}$   $\Lambda x. \text{ex-un-Src}$  assms(1–2) singletonD trg-ide)
  hence Red.Congo0 ( $\Lambda x. \text{Resid } [a] U$ ) ( $\Lambda x. \text{Resid } [b] V$ )
    by (metis Red.Cong0-reflexive U V  $\Lambda x. \text{Con-single-ideI}(1)$   $\Lambda x. \text{Resid1x-as-Resid}$ 
       $\Lambda x. \text{Srcs-simp}_{\text{PWE}}$   $\Lambda x. \text{arr-resid}$   $\Lambda x. \text{con-char}$  assms(1–2) empty-set
      list.set-intros(1) list.simps(15))
  thus Red.Cong [a] [b]
    using U V Red.Cong-def [of [a] [b]] by blast
qed

interpretation  $\Lambda q.$  quotient-by-coherent-normal  $\Lambda x. \text{Resid} \langle \text{Collect } \Lambda x. \text{Arr} \rangle$ 
..

```

```

lemma quotient-by-cnv-is-discrete:
shows  $\Lambda q.\text{arr } t \longleftrightarrow \Lambda q.\text{ide } t$ 
by (metis Red.Cong-class-memb-is-arr  $\Lambda q.\text{arr-char } \Lambda q.\text{ide-char}' \Lambda x.\text{arr-char}$ 
mem-Collect-eq subsetI)

```

3.2.8 Normalization

A *normal form* is an identity that is not the source of any non-identity arrow.

definition *NF*
where $\text{NF } a \equiv \text{Ide } a \wedge (\forall t. \text{Arr } t \wedge \text{Src } t = a \longrightarrow \text{Ide } t)$

```

lemma (in reduction-paths) path-from-NF-is-Ide:
assumes  $\Lambda.\text{NF } a$ 
shows  $\llbracket \text{Arr } U; \text{Src } U = a \rrbracket \implies \text{Ide } U$ 
proof (induct U, simp)
  fix u U
  assume ind:  $\llbracket \text{Arr } U; \text{Src } U = a \rrbracket \implies \text{Ide } U$ 
  assume uU:  $\text{Arr } (u \# U) \text{ and } a: \text{Src } (u \# U) = a$ 
  have  $\Lambda.\text{Ide } u$ 
  using assms a  $\Lambda.\text{NF-def } uU$  by force
  thus  $\text{Ide } (u \# U)$ 
  using a uU ind
  by (metis Arr-consE Con-Arr-self Con-imp-eq-Srcs Con-initial-right Ide.simps(2)
    Ide-consI Srcs.simps(2) Srcs-simpPWE  $\Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-implies-Arr}$ 
     $\Lambda.\text{sources-char}_\Lambda \Lambda.\text{try-ide } \Lambda.\text{ide-char}$ 
    singleton-insert-inj-eq)
qed

```

```

lemma NF-reduct-is-trivial:
assumes  $\text{NF } a \text{ and } \text{red } a b$ 
shows  $a = b$ 
proof –
  interpret  $\Lambda x.$  reduction-paths .
  have  $\bigwedge U. \llbracket \Lambda x.\text{Arr } U; a \in \Lambda x.\text{Srcs } U \rrbracket \implies \Lambda x.\text{Ide } U$ 
  using assms  $\Lambda x.\text{path-from-NF-is-Ide}$ 
  by (simp add:  $\Lambda x.\text{Srcs-simp}_{\text{PWE}}$ )
  thus ?thesis
  using assms  $\Lambda x.\text{red-iff}$ 
  by (metis  $\Lambda x.\text{Con-Arr-self } \Lambda x.\text{Resid-Arr-Ide-ind } \Lambda x.\text{Src-resid } \Lambda x.\text{path-from-NF-is-Ide}$ )
qed

```

```

lemma NF-unique:
assumes  $\text{red } t u \text{ and } \text{red } t u' \text{ and } \text{NF } u \text{ and } \text{NF } u'$ 
shows  $u = u'$ 
  using assms weak-diamond NF-reduct-is-trivial by metis

```

A term is *normalizable* if it is an identity that is reducible to a normal form.

definition *normalizable*

where $\text{normalizable } a \equiv \text{Ide } a \wedge (\exists b. \text{red } a b \wedge \text{NF } b)$

end

3.3 Reduction Paths

In this section we develop further facts about reduction paths for the λ -calculus.

```
context reduction-paths
begin
```

3.3.1 Sources and Targets

lemma $\text{Srcs-simp}_{\Lambda P}$:

```
shows  $\text{Arr } t \implies \text{Srcs } t = \{\Lambda.\text{Src} (\text{hd } t)\}$ 
by (metis Arr-has-Src Srcs.elims list.sel(1)  $\Lambda.\text{sources-char}_{\Lambda}$ )
```

lemma $\text{Trgs-simp}_{\Lambda P}$:

```
shows  $\text{Arr } t \implies \text{Trgs } t = \{\Lambda.\text{Trg} (\text{last } t)\}$ 
by (metis Arr.simps(1) Arr-has-Trg Trgs.simps(2) Trgs-append
append-butlast-last-id not-Cons-self2  $\Lambda.\text{targets-char}_{\Lambda}$ )
```

lemma $\text{sources-single-Src}$ [simp]:

```
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{sources } [\Lambda.\text{Src } t] = \text{sources } [t]$ 
using assms
by (metis  $\Lambda.\text{Con-Arr-Src}(1)$   $\Lambda.\text{Ide-Src}$  Ide.simps(2) Resid.simps(3) con-char ideE
ide-char sources-resid  $\Lambda.\text{con-char}$   $\Lambda.\text{ide-char}$  list.discI  $\Lambda.\text{resid-Arr-Src}$ )
```

lemma $\text{targets-single-Trg}$ [simp]:

```
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{targets } [\Lambda.\text{Trg } t] = \text{targets } [t]$ 
using assms
by (metis (full-types) Resid.simps(3) conI_P  $\Lambda.\text{Arr-Trg}$   $\Lambda.\text{arr-char}$   $\Lambda.\text{resid-Arr-Src}$ 
 $\Lambda.\text{resid-Src-Arr}$   $\Lambda.\text{arr-resid-iff-con}$  targets-resid-sym)
```

lemma $\text{sources-single-Trg}$ [simp]:

```
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{sources } [\Lambda.\text{Trg } t] = \text{targets } [t]$ 
using assms
by (metis  $\Lambda.\text{Ide-Trg}$  Ide.simps(2) ideE ide-char sources-resid  $\Lambda.\text{ide-char}$ 
targets-single-Trg)
```

lemma $\text{targets-single-Src}$ [simp]:

```
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{targets } [\Lambda.\text{Src } t] = \text{sources } [t]$ 
using assms
by (metis  $\Lambda.\text{Arr-Src}$   $\Lambda.\text{Trg-Src}$  sources-single-Src sources-single-Trg)
```

```

lemma single-Src-hd-in-sources:
assumes Arr T
shows [ $\Lambda$ .Src (hd T)]  $\in$  sources T
using assms
by (metis Arr.simps(1) Arr-has-Src Ide.simps(2) Resid-Arr-Src Srcs-simpP
 $\Lambda$ .source-is-ide conIP empty-set ide-char in-sourcesI  $\Lambda$ .sources-char $\Lambda$ 
list.set-intros(1) list.simps(15))

lemma single-Trg-last-in-targets:
assumes Arr T
shows [ $\Lambda$ .Trg (last T)]  $\in$  targets T
using assms targets-charP Arr-imp-arr-last Trgs-simpAP  $\Lambda$ .Ide-Trg by fastforce

lemma in-sources-iff:
assumes Arr T
shows A  $\in$  sources T  $\longleftrightarrow$  A *~* [ $\Lambda$ .Src (hd T)]
using assms
by (meson single-Src-hd-in-sources sources-are-cong sources-cong-closed)

lemma in-targets-iff:
assumes Arr T
shows B  $\in$  targets T  $\longleftrightarrow$  B *~* [ $\Lambda$ .Trg (last T)]
using assms
by (meson single-Trg-last-in-targets targets-are-cong targets-cong-closed)

lemma seq-imp-cong-Trg-last-Src-hd:
assumes seq T U
shows  $\Lambda$ .Trg (last T) ~  $\Lambda$ .Src (hd U)
using assms Arr-imp-arr-hd Arr-imp-arr-last Srcs-simpPWE Trgs-simpPWE
 $\Lambda$ .cong-reflexive seq-char
by (metis Srcs-simpAP Trgs-simpAP  $\Lambda$ .Arr-Trg  $\Lambda$ .arr-char singleton-inject)

lemma sources-charAP:
shows sources T = {A. Arr T  $\wedge$  A *~* [ $\Lambda$ .Src (hd T)]}
using in-sources-iff arr-char sources-charP by auto

lemma targets-charAP:
shows targets T = {B. Arr T  $\wedge$  B *~* [ $\Lambda$ .Trg (last T)]}
using in-targets-iff arr-char targets-char by auto

lemma Src-hd-eqI:
assumes T *~* U
shows  $\Lambda$ .Src (hd T) =  $\Lambda$ .Src (hd U)
using assms
by (metis Con-imp-eq-Srcs Con-implies-Arr(1) Ide.simps(1) Srcs-simpAP ide-char
singleton-insert-inj-eq')

lemma Trg-last-eqI:
assumes T *~* U

```

```

shows  $\Lambda.Trg\ (last\ T) = \Lambda.Trg\ (last\ U)$ 
proof -
  have 1:  $[\Lambda.Trg\ (last\ T)] \in targets\ T \wedge [\Lambda.Trg\ (last\ U)] \in targets\ U$ 
  using assms
  by (metis Con-implies-Arr(1) Ide.simps(1) ide-char single-Trg-last-in-targets)
  have  $\Lambda.cong\ (\Lambda.Trg\ (last\ T))\ (\Lambda.Trg\ (last\ U))$ 
  by (metis 1 Ide.simps(2) Resid.simps(3) assms con-char cong-implies-coterminal
       coterminal-iff ide-char prfx-implies-con targets-are-cong)
  moreover have  $\Lambda.Ide\ (\Lambda.Trg\ (last\ T)) \wedge \Lambda.Ide\ (\Lambda.Trg\ (last\ U))$ 
  using 1 Ide.simps(2) ide-char by blast
  ultimately show ?thesis
  using  $\Lambda.\text{weak-extensionality}$  by blast
qed

lemma Trg-last-Src-hd-eqI:
assumes seq T U
shows  $\Lambda.Trg\ (last\ T) = \Lambda.Src\ (hd\ U)$ 
using assms Arr-imp-arr-hd Arr-imp-arr-last  $\Lambda.Ide$ -Src  $\Lambda.\text{weak-extensionality}$   $\Lambda.Ide$ -Trg
seq-char seq-imp-cong-Trg-last-Src-hd
by force

lemma seqI $_{\Lambda P}$  [intro]:
assumes Arr T and Arr U and  $\Lambda.Trg\ (last\ T) = \Lambda.Src\ (hd\ U)$ 
shows seq T U
by (metis assms Arr-imp-arr-last Srcs-simp $_{\Lambda P}$  arr-char targets-char $_{\Lambda}$ 
Trgs-simp $_{\Lambda P}$  seq-char)

lemma conI $_{\Lambda P}$  [intro]:
assumes arr T and arr U and  $\Lambda.Src\ (hd\ T) = \Lambda.Src\ (hd\ U)$ 
shows  $T^*\rightsquigarrow^* U$ 
using assms
by (simp add: Srcs-simp $_{\Lambda P}$  arr-char con-char confluence-ind)

```

3.3.2 Mapping Constructors over Paths

```

lemma Arr-map-Lam:
assumes Arr T
shows Arr (map  $\Lambda.\text{Lam}\ T$ )
proof -
  interpret Lam: simulation  $\Lambda.\text{resid}$   $\Lambda.\text{resid}$   $\langle \lambda t. \text{if } \Lambda.\text{arr} t \text{ then } \lambda[t] \text{ else } \sharp \rangle$ 
  using  $\Lambda.\text{Lam-is-simulation}$  by simp
  interpret simulation Resid Resid
     $\langle \lambda T. \text{if } \text{Arr} T \text{ then map } (\lambda t. \text{if } \Lambda.\text{arr} t \text{ then } \lambda[t] \text{ else } \sharp) T \text{ else } [] \rangle$ 
  using assms Lam.lifts-to-paths by blast
  have map  $(\lambda t. \text{if } \Lambda.\text{Arr} t \text{ then } \lambda[t] \text{ else } \sharp) T = \text{map } \Lambda.\text{Lam} T$ 
  using assms set-Arr-subset-arr by fastforce
  thus ?thesis
  using assms preserves-reflects-arr [of T] arr-char
  by (simp add:  $\langle \text{map } (\lambda t. \text{if } \Lambda.\text{Arr} t \text{ then } \lambda[t] \text{ else } \sharp) T = \text{map } \Lambda.\text{Lam} T \rangle$ )

```

qed

```
lemma Arr-map-App1:
assumes Λ.Ide b and Arr T
shows Arr (map (λt. t o b) T)
proof -
  interpret App1: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then t o b else #⟩
    using assms Λ.App-is-simulation1 [of b] by simp
  interpret simulation Resid Resid
    ⟨λT. if Arr T then map (λt. if Λ.arr t then t o b else #) T else []⟩
    using assms App1.lifts-to-paths by blast
  have map (λt. if Λ.arr t then t o b else #) T = map (λt. t o b) T
    using assms set-Arr-subset-arr by auto
  thus ?thesis
    using assms preserves-reflects-arr arr-char
    by (metis (mono-tags, lifting))
qed
```

```
lemma Arr-map-App2:
assumes Λ.Ide a and Arr T
shows Arr (map (Λ.App a) T)
proof -
  interpret App2: simulation Λ.resid Λ.resid ⟨λu. if Λ.arr u then a o u else #⟩
    using assms Λ.App-is-simulation2 by simp
  interpret simulation Resid Resid
    ⟨λT. if Arr T then map (λu. if Λ.arr u then a o u else #) T else []⟩
    using assms App2.lifts-to-paths by blast
  have map (λu. if Λ.arr u then a o u else #) T = map (λu. a o u) T
    using assms set-Arr-subset-arr by auto
  thus ?thesis
    using assms preserves-reflects-arr arr-char
    by (metis (mono-tags, lifting))
qed
```

```
interpretation ΛLam: sub-rts Λ.resid ⟨λt. Λ.Arr t ∧ Λ.is-Lam t⟩
proof
  show ∀t. Λ.Arr t ∧ Λ.is-Lam t ⇒ Λ.arr t
    by blast
  show ∀t. Λ.Arr t ∧ Λ.is-Lam t ⇒ Λ.sources t ⊆ {t. Λ.Arr t ∧ Λ.is-Lam t}
    by auto
  show ⟦Λ.Arr t ∧ Λ.is-Lam t; Λ.Arr u ∧ Λ.is-Lam u; Λ.con t u⟧
    ⇒ Λ.Arr (t \ u) ∧ Λ.is-Lam (t \ u)
    for t u
    apply (cases t; cases u)
      apply simp-all
    using Λ.Coinitial-resid-resid
    by presburger
qed
```

interpretation *un-Lam*: simulation $\Lambda_{Lam}.\text{resid}$ $\Lambda.\text{resid}$
 $\langle \lambda t. \text{if } \Lambda_{Lam}.\text{arr } t \text{ then } \Lambda.\text{un-Lam } t \text{ else } \# \rangle$

proof

let $?un\text{-Lam} = \lambda t. \text{if } \Lambda_{Lam}.\text{arr } t \text{ then } \Lambda.\text{un-Lam } t \text{ else } \#$

show $\bigwedge t. \neg \Lambda_{Lam}.\text{arr } t \implies ?un\text{-Lam } t = \Lambda.\text{null}$
by auto

show $\bigwedge t u. \Lambda_{Lam}.\text{con } t u \implies \Lambda.\text{con} (?un\text{-Lam } t) (?un\text{-Lam } u)$
by auto

show $\bigwedge t u. \Lambda_{Lam}.\text{con } t u \implies ?un\text{-Lam} (\Lambda_{Lam}.\text{resid } t u) = ?un\text{-Lam } t \setminus ?un\text{-Lam } u$
using $\Lambda_{Lam}.\text{resid-closed}$ $\Lambda_{Lam}.\text{resid-def}$ by auto

qed

lemma *Arr-map-un-Lam*:

assumes *Arr T and set T ⊆ Collect Λ.is-Lam*

shows *Arr (map Λ.un-Lam T)*

proof –

have $\text{map} (\lambda t. \text{if } \Lambda_{Lam}.\text{arr } t \text{ then } \Lambda.\text{un-Lam } t \text{ else } \#) T = \text{map } \Lambda.\text{un-Lam } T$
using *assms set-Arr-subset-arr* by auto

thus *?thesis*
using *assms*
by (*metis (no-types, lifting) Λ_{Lam}.path-reflection Λ.arr-char mem-Collect-eq set-Arr-subset-arr subset-code(1) un-Lam.preserves-paths*)

qed

interpretation Λ_{App} : sub-rts $\Lambda.\text{resid}$ $\langle \lambda t. \Lambda.\text{Arr } t \wedge \Lambda.\text{is-App } t \rangle$

proof

show $\bigwedge t. \Lambda.\text{Arr } t \wedge \Lambda.\text{is-App } t \implies \Lambda.\text{arr } t$
by *blast*

show $\bigwedge t. \Lambda.\text{Arr } t \wedge \Lambda.\text{is-App } t \implies \Lambda.\text{sources } t \subseteq \{t. \Lambda.\text{Arr } t \wedge \Lambda.\text{is-App } t\}$
by auto

show $\llbracket \Lambda.\text{Arr } t \wedge \Lambda.\text{is-App } t; \Lambda.\text{Arr } u \wedge \Lambda.\text{is-App } u; \Lambda.\text{con } t u \rrbracket$
 $\implies \Lambda.\text{Arr} (t \setminus u) \wedge \Lambda.\text{is-App} (t \setminus u)$
for $t u$
using $\Lambda.\text{Arr-resid}$
by (*cases t; cases u*) auto

qed

interpretation *un-App1*: simulation $\Lambda_{App}.\text{resid}$ $\Lambda.\text{resid}$
 $\langle \lambda t. \text{if } \Lambda_{App}.\text{arr } t \text{ then } \Lambda.\text{un-App1 } t \text{ else } \# \rangle$

proof

let $?un\text{-App1} = \lambda t. \text{if } \Lambda_{App}.\text{arr } t \text{ then } \Lambda.\text{un-App1 } t \text{ else } \#$

show $\bigwedge t. \neg \Lambda_{App}.\text{arr } t \implies ?un\text{-App1 } t = \Lambda.\text{null}$
by auto

show $\bigwedge t u. \Lambda_{App}.\text{con } t u \implies \Lambda.\text{con} (?un\text{-App1 } t) (?un\text{-App1 } u)$
by auto

show $\Lambda_{App}.\text{con } t u \implies ?un\text{-App1} (\Lambda_{App}.\text{resid } t u) = ?un\text{-App1 } t \setminus ?un\text{-App1 } u$
for $t u$
using $\Lambda_{App}.\text{resid-def}$ $\Lambda.\text{Arr-resid}$
by (*cases t; cases u*) auto

```

qed

interpretation un-App2: simulation  $\Lambda_{App}.resid$   $\Lambda.resid$ 
   $\langle \lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App2 t \text{ else } \# \rangle$ 

proof
  let ?un-App2 =  $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App2 t \text{ else } \#$ 
  show  $\wedge t. \neg \Lambda_{App}.arr t \implies ?un-App2 t = \Lambda.null$ 
    by auto
  show  $\wedge t u. \Lambda_{App}.con t u \implies \Lambda.con (?un-App2 t) (?un-App2 u)$ 
    by auto
  show  $\Lambda_{App}.con t u \implies ?un-App2 (\Lambda_{App}.resid t u) = ?un-App2 t \setminus ?un-App2 u$ 
    for  $t u$ 
    using  $\Lambda_{App}.resid\text{-def}$   $\Lambda.\text{Arr-resid}$ 
    by (cases  $t$ ; cases  $u$ ) auto
qed

lemma Arr-map-un-App1:
assumes Arr T and set T ⊆ Collect  $\Lambda.is-App$ 
shows Arr (map  $\Lambda.un-App1 T$ )
proof –
  interpret  $P_{App}$ : paths-in-rts  $\Lambda_{App}.resid$ 
  ..
  interpret un-App1: simulation  $P_{App}.Resid$  Resid
     $\langle \lambda T. \text{if } P_{App}.Arr T \text{ then }$ 
     $\text{map} (\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#) T$ 
     $\text{else } [] \rangle$ 
    using un-App1.lifts-to-paths by simp
  have 1:  $\text{map} (\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#) T = \text{map } \Lambda.un-App1 T$ 
    using assms set-Arr-subset-arr by auto
  have 2:  $P_{App}.Arr T$ 
    using assms set-Arr-subset-arr  $\Lambda_{App}.path\text{-reflection}$  [of  $T$ ] by blast
  hence arr (if  $P_{App}.Arr T$  then map ( $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#$ )  $T$  else [])
    using un-App1.preserves-reflects-arr [of  $T$ ] by blast
  hence Arr (if  $P_{App}.Arr T$  then map ( $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#$ )  $T$  else [])
    using arr-char by auto
  hence Arr (if  $P_{App}.Arr T$  then map  $\Lambda.un-App1 T$  else [])
    using 1 by metis
  thus ?thesis
    using 2 by simp
qed

lemma Arr-map-un-App2:
assumes Arr T and set T ⊆ Collect  $\Lambda.is-App$ 
shows Arr (map  $\Lambda.un-App2 T$ )
proof –
  interpret  $P_{App}$ : paths-in-rts  $\Lambda_{App}.resid$ 
  ..
  interpret un-App2: simulation  $P_{App}.Resid$  Resid
     $\langle \lambda T. \text{if } P_{App}.Arr T \text{ then }$ 

```

```

map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T
else []>

using un-App2.lifts-to-paths by simp
have 1: map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T = map Λ.un-App2 T
  using assms set-Arr-subset-arr by auto
have 2: PApp.Arr T
  using assms set-Arr-subset-arr ΛApp.path-reflection [of T] by blast
hence arr (if PApp.Arr T then map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T else [])
  using un-App2.preserves-reflects-arr [of T] by blast
hence Arr (if PApp.Arr T then map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T else [])
  using arr-char by blast
hence Arr (if PApp.Arr T then map Λ.un-App2 T else [])
  using 1 by metis
thus ?thesis
  using 2 by simp
qed

lemma map-App-map-un-App1:
shows [|Arr U; set U ⊆ Collect Λ.is-App; Λ.Ide b; Λ.un-App2 ‘ set U ⊆ {b}|] ==>
  map (λt. Λ.App t b) (map Λ.un-App1 U) = U
  by (induct U) auto

lemma map-App-map-un-App2:
shows [|Arr U; set U ⊆ Collect Λ.is-App; Λ.Ide a; Λ.un-App1 ‘ set U ⊆ {a}|] ==>
  map (Λ.App a) (map Λ.un-App2 U) = U
  by (induct U) auto

lemma map-Lam-Resid:
assumes coinitial T U
shows map Λ.Lam (T *` U) = map Λ.Lam T *` map Λ.Lam U
proof –
  interpret Lam: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then λ[t] else #⟩
    using Λ.Lam-is-simulation by simp
  interpret Lamx: simulation Resid Resid
    ⟨λT. if Arr T then
      map (λt. if Λ.arr t then λ[t] else #) T
    else []⟩
    using Lam.lifts-to-paths by simp
  have ∫ T. Arr T ==> map (λt. if Λ.arr t then λ[t] else #) T = map Λ.Lam T
    using set-Arr-subset-arr by auto
  moreover have Arr (T *` U)
    using assms confluenceP Con-imp-Arr-Resid con-char by force
  moreover have T *` U
    using assms confluence by simp
  moreover have Arr T ∧ Arr U
    using assms arr-char by auto
  ultimately show ?thesis
    using assms Lamx.preserves-resid [of T U] by presburger
qed

```

```

lemma map-App1-Resid:
assumes  $\Lambda$ .Ide  $x$  and coinitial  $T$   $U$ 
shows  $\text{map}(\Lambda.\text{App } x) (T * \setminus^* U) = \text{map}(\Lambda.\text{App } x) T * \setminus^* \text{map}(\Lambda.\text{App } x) U$ 
proof -
  interpret App: simulation  $\Lambda.\text{resid}$   $\Lambda.\text{resid}$   $\langle \lambda t. \text{if } \Lambda.\text{arr } t \text{ then } x \circ t \text{ else } \sharp \rangle$ 
    using assms  $\Lambda.\text{App-is-simulation2}$  by simp
  interpret Appx: simulation Resid Resid
     $\langle \lambda T. \text{if } \text{Arr } T \text{ then } \text{map}(\lambda t. \text{if } \Lambda.\text{arr } t \text{ then } x \circ t \text{ else } \sharp) T \text{ else } [] \rangle$ 
    using App.lifts-to-paths by simp
  have  $\bigwedge T. \text{Arr } T \implies \text{map}(\lambda t. \text{if } \Lambda.\text{arr } t \text{ then } x \circ t \text{ else } \sharp) T = \text{map}(\Lambda.\text{App } x) T$ 
    using set-Arr-subset-arr by auto
  moreover have Arr  $(T * \setminus^* U)$ 
    using assms confluenceP Con-imp-Arr-Resid con-char by force
  moreover have  $T * \setminus^* U$ 
    using assms confluence by simp
  moreover have Arr  $T \wedge \text{Arr } U$ 
    using assms arr-char by auto
  ultimately show ?thesis
    using assms Appx.preserves-resid [of  $T$   $U$ ] by presburger
qed

lemma map-App2-Resid:
assumes  $\Lambda$ .Ide  $x$  and coinitial  $T$   $U$ 
shows  $\text{map}(\lambda t. t \circ x) (T * \setminus^* U) = \text{map}(\lambda t. t \circ x) T * \setminus^* \text{map}(\lambda t. t \circ x) U$ 
proof -
  interpret App: simulation  $\Lambda.\text{resid}$   $\Lambda.\text{resid}$   $\langle \lambda t. \text{if } \Lambda.\text{arr } t \text{ then } t \circ x \text{ else } \sharp \rangle$ 
    using assms  $\Lambda.\text{App-is-simulation1}$  by simp
  interpret Appx: simulation Resid Resid
     $\langle \lambda T. \text{if } \text{Arr } T \text{ then } \text{map}(\lambda t. \text{if } \Lambda.\text{arr } t \text{ then } t \circ x \text{ else } \sharp) T \text{ else } [] \rangle$ 
    using App.lifts-to-paths by simp
  have  $\bigwedge T. \text{Arr } T \implies \text{map}(\lambda t. \text{if } \Lambda.\text{arr } t \text{ then } t \circ x \text{ else } \sharp) T = \text{map}(\lambda t. t \circ x) T$ 
    using set-Arr-subset-arr by auto
  moreover have Arr  $(T * \setminus^* U)$ 
    using assms confluenceP Con-imp-Arr-Resid con-char by force
  moreover have  $T * \setminus^* U$ 
    using assms confluence by simp
  moreover have Arr  $T \wedge \text{Arr } U$ 
    using assms arr-char by auto
  ultimately show ?thesis
    using assms Appx.preserves-resid [of  $T$   $U$ ] by presburger
qed

lemma cong-map-Lam:
shows  $T * \setminus^* U \implies \text{map } \Lambda.\text{Lam } T * \setminus^* \text{map } \Lambda.\text{Lam } U$ 
apply (induct  $U$  arbitrary:  $T$ )
  apply (simp add: ide-char)
by (metis map-Lam-Resid cong-implies-coinitial cong-reflexive ideE
  map-is-Nil-conv Con-imp-Arr-Resid arr-char)

```

```

lemma cong-map-App1:
shows  $\llbracket \Lambda.\text{Ide } x; T * \sim^* U \rrbracket \implies \text{map}(\Lambda.\text{App } x) T * \sim^* \text{map}(\Lambda.\text{App } x) U$ 
apply (induct U arbitrary: x T)
apply (simp add: ide-char)
apply (intro conjI)
by (metis Nil-is-map-conv arr-resid-iff-con con-char con-imp-coinitial
cong-reflexive ideE map-App1-Resid)+

lemma cong-map-App2:
shows  $\llbracket \Lambda.\text{Ide } x; T * \sim^* U \rrbracket \implies \text{map}(\lambda X. X \circ x) T * \sim^* \text{map}(\lambda X. X \circ x) U$ 
apply (induct U arbitrary: x T)
apply (simp add: ide-char)
apply (intro conjI)
by (metis Nil-is-map-conv arr-resid-iff-con con-char cong-implies-coinitial
cong-reflexive ide-def arr-char ideE map-App2-Resid)+
```

3.3.3 Decomposition of ‘App Paths’

The following series of results is aimed at showing that a reduction path, all of whose transitions have *App* as their top-level constructor, can be factored up to congruence into a reduction path in which only the “rator” components are reduced, followed by a reduction path in which only the “rand” components are reduced.

```

lemma orthogonal-App-single-single:
assumes  $\Lambda.\text{Arr } t$  and  $\Lambda.\text{Arr } u$ 
shows  $\llbracket \Lambda.\text{Src } t \circ u \rrbracket * \backslash^* [t \circ \Lambda.\text{Src } u] = \llbracket \Lambda.\text{Trg } t \circ u \rrbracket$ 
and  $\llbracket t \circ \Lambda.\text{Src } u \rrbracket * \backslash^* [\Lambda.\text{Src } t \circ u] = [t \circ \Lambda.\text{Trg } u]$ 
using assms arr-char  $\Lambda.\text{Arr-not-Nil}$  by auto

lemma orthogonal-App-single-Arr:
shows  $\llbracket \text{Arr } [t]; \text{Arr } U \rrbracket \implies$ 
 $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \backslash^* [t \circ \Lambda.\text{Src}(\text{hd } U)] = \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U \wedge$ 
 $[t \circ \Lambda.\text{Src}(\text{hd } U)] * \backslash^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U = [t \circ \Lambda.\text{Trg}(\text{last } U)]$ 
proof (induct U arbitrary: t)
show  $\bigwedge t. \llbracket \text{Arr } [t]; \text{Arr } [] \rrbracket \implies$ 
 $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) [] * \backslash^* [t \circ \Lambda.\text{Src}(\text{hd } [])] = \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) [] \wedge$ 
 $[t \circ \Lambda.\text{Src}(\text{hd } [])] * \backslash^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) [] = [t \circ \Lambda.\text{Trg}(\text{last } [])]$ 
by fastforce
fix t u U
assume ind:  $\bigwedge t. \llbracket \text{Arr } [t]; \text{Arr } U \rrbracket \implies$ 
 $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \backslash^* [t \circ \Lambda.\text{Src}(\text{hd } U)] =$ 
 $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U \wedge$ 
 $[t \circ \Lambda.\text{Src}(\text{hd } U)] * \backslash^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U = [t \circ \Lambda.\text{Trg}(\text{last } U)]$ 
assume t:  $\text{Arr } [t]$ 
assume uU:  $\text{Arr } (u \# U)$ 
show  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) * \backslash^* [t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] =$ 
 $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t))(u \# U) \wedge$ 
 $[t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] * \backslash^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) =$ 
 $[t \circ \Lambda.\text{Trg}(\text{last } (u \# U))]$ 
```

```

proof (cases  $U = []$ )
  show  $U = [] \implies ?thesis$ 
    using  $t uU$  orthogonal-App-single-single by simp
  assume  $U \neq []$ 
  have  $\vartheta: coinital ([\Lambda.\text{Src } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U) [t \circ \Lambda.\text{Src } u]$ 
  proof
    show  $\beta: arr ([\Lambda.\text{Src } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U)$ 
      using  $t uU$ 
      by (metis Arr-iff-Con-self Arr-map-App2 Con-rec(1) append-Cons append-Nil arr-char
            $\Lambda.\text{Con-implies-Arr2 } \Lambda.\text{Ide-Src } \Lambda.\text{con-char list.simps}(9)$ )
    show  $\text{sources} ([\Lambda.\text{Src } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U) = \text{sources} [t \circ \Lambda.\text{Src } u]$ 
    proof -
      have  $\text{seq} [\Lambda.\text{Src } t \circ u] (map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U)$ 
        using  $U \beta$  arr-append-imp-seq by force
      thus  $?thesis$ 
        using sources-append [of  $[\Lambda.\text{Src } t \circ u]$   $map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U$ ]
          sources-single-Src [of  $\Lambda.\text{Src } t \circ u$ ]
          sources-single-Src [of  $t \circ \Lambda.\text{Src } u$ ]
        using arr-char  $t$ 
        by (simp add: seq-char)
      qed
    qed
  show  $?thesis$ 
  proof
    show  $\vartheta: map (\Lambda.\text{App} (\Lambda.\text{Src } t)) (u \# U) * \setminus^* [t \circ \Lambda.\text{Src } (hd (u \# U))] =$ 
       $map (\Lambda.\text{App} (\Lambda.\text{Trg } t)) (u \# U)$ 
    proof -
      have  $map (\Lambda.\text{App} (\Lambda.\text{Src } t)) (u \# U) * \setminus^* [t \circ \Lambda.\text{Src } (hd (u \# U))] =$ 
         $([\Lambda.\text{Src } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U) * \setminus^* [t \circ \Lambda.\text{Src } u]$ 
      by simp
      also have ... =  $[\Lambda.\text{Src } t \circ u] * \setminus^* [t \circ \Lambda.\text{Src } u] @$ 
         $map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U * \setminus^* ([t \circ \Lambda.\text{Src } u] * \setminus^* [\Lambda.\text{Src } t \circ u])$ 
      by (meson  $\vartheta$  Resid-append(1) con-char confluence not-Cons-self2)
      also have ... =  $[\Lambda.\text{Trg } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Src } t)) U * \setminus^* [t \circ \Lambda.\text{Trg } u]$ 
      using  $t \Lambda.\text{Arr-not-Nil}$ 
      by (metis Arr-imp-arr-hd  $\Lambda.\text{arr-char list.sel}(1)$  orthogonal-App-single-single(1)
           orthogonal-App-single-single(2)  $uU$ )
      also have ... =  $[\Lambda.\text{Trg } t \circ u] @ map (\Lambda.\text{App} (\Lambda.\text{Trg } t)) U$ 
    proof -
      have  $\Lambda.\text{Src } (hd U) = \Lambda.\text{Trg } u$ 
        using  $U uU$  Arr.elims(2) Srcs-simp $_{\Lambda P}$  by force
      thus  $?thesis$ 
        using  $t uU$  ind Arr.elims(2) by fastforce
      qed
      also have ... =  $map (\Lambda.\text{App} (\Lambda.\text{Trg } t)) (u \# U)$ 
      by auto
      finally show  $?thesis$  by blast
    qed
    show  $[t \circ \Lambda.\text{Src } (hd (u \# U))] * \setminus^* map (\Lambda.\text{App} (\Lambda.\text{Src } t)) (u \# U) =$ 

```

```

[t o Λ.Trig (last (u # U))]

proof –
  have [t o Λ.Src (hd (u # U))] *\\* map (Λ.App (Λ.Src t)) (u # U) =
    ([t o Λ.Src (hd (u # U))] *\\* [Λ.Src t o u]) *\\* map (Λ.App (Λ.Src t)) U
    by (metis U 4 Con-sym Resid-cons(2) list.distinct(1) list.simps(9) map-is-Nil-conv)
  also have ... = [t o Λ.Trig u] *\\* map (Λ.App (Λ.Src t)) U
    by (metis Arr-imp-arr-hd lambda-calculus.arr-char list.sel(1)
      orthogonal-App-single-single(2) t uU)
  also have ... = [t o Λ.Trig (last (u # U))]
    by (metis 2 t U uU Con-Arr-self Con-cons(1) Con-implies-Arr(1) Trig-last-Src-hd-eqI
      arr-append-imp-seq coinitialE ind Λ.Src.simps(4) Λ.Trig.simps(3)
      Λ.lambda.inject(3) last.simps list.distinct(1) list.map sel(1) map-is-Nil-conv)
    finally show ?thesis by blast
  qed
  qed
  qed
  qed

lemma orthogonal-App-Arr-Arr:
shows [|Arr T; Arr U|] ==>
  map (Λ.App (Λ.Src (hd T))) U *\\* map (λX. Λ.App X (Λ.Src (hd U))) T =
  map (Λ.App (Λ.Trig (last T))) U ∧
  map (λX. X o Λ.Src (hd U)) T *\\* map (Λ.App (Λ.Src (hd T))) U =
  map (λX. X o Λ.Trig (last U)) T

proof (induct T arbitrary: U)
  show |Arr []; Arr U| ==>
    ==> map (Λ.App (Λ.Src (hd []))) U *\\* map (λX. X o Λ.Src (hd U)) [] =
    map (Λ.App (Λ.Trig (last []))) U ∧
    map (λX. X o Λ.Src (hd U)) [] *\\* map (Λ.App (Λ.Src (hd []))) U =
    map (λX. X o Λ.Trig (last U)) []
    by simp
  fix t T U
  assume ind: |Arr T; Arr U|
    ==> map (Λ.App (Λ.Src (hd T))) U *\\*
        map (λX. Λ.App X (Λ.Src (hd U))) T =
        map (Λ.App (Λ.Trig (last T))) U ∧
        map (λX. X o Λ.Src (hd U)) T *\\* map (Λ.App (Λ.Src (hd T))) U =
        map (λX. X o Λ.Trig (last U)) T
  assume tT: Arr (t # T)
  assume U: Arr U
  show map (Λ.App (Λ.Src (hd (t # T)))) U *\\* map (λX. X o Λ.Src (hd U)) (t # T) =
    map (Λ.App (Λ.Trig (last (t # T)))) U ∧
    map (λX. X o Λ.Src (hd U)) (t # T) *\\* map (Λ.App (Λ.Src (hd (t # T)))) U =
    map (λX. X o Λ.Trig (last U)) (t # T)

proof (cases T = [])
  show T = [] ==> ?thesis
  using tT U
  by (simp add: orthogonal-App-single-Arr)
  assume T: T ≠ []

```

```

have 1: Arr T
  using T tT Arr-imp-Arr-tl by fastforce
have 2:  $\Lambda.\text{Src}(\text{hd } T) = \Lambda.\text{Trg } t$ 
  using tT T Arr.elims(2) Srcs-simpΛP by force
show ?thesis
proof
  show 3:  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U * \setminus^*$ 
     $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) =$ 
     $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } (t \# T)))) U$ 
proof –
  have  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U * \setminus^* \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T)$ 
  =
   $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \setminus^*$ 
   $([\Lambda.\text{App } t (\Lambda.\text{Src } (\text{hd } U))] @ \text{map}(\lambda X. X \circ \Lambda.\text{Src } (\text{hd } U)) T)$ 
  using tT U by simp
also have ... =  $(\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \setminus^* [t \circ \Lambda.\text{Src}(\text{hd } U)]) * \setminus^*$ 
   $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T$ 
  using tT U Resid-append(2)
  by (metis Con-appendI(2) Resid.simps(1) T map-is-Nil-conv not-Cons-self2)
also have ... =  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U * \setminus^* \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T$ 
  using tT U orthogonal-App-single-Arr Arr-imp-arr-hd by fastforce
also have ... =  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } (t \# T)))) U$ 
  using tT U 1 2 ind by auto
finally show ?thesis by blast
qed
show  $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) * \setminus^*$ 
   $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U =$ 
   $\text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) (t \# T)$ 
proof –
  have  $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) * \setminus^*$ 
     $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U =$ 
     $([t \circ \Lambda.\text{Src}(\text{hd } U)] @ \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T) * \setminus^*$ 
     $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U$ 
  using tT U by simp
also have ... =  $([t \circ \Lambda.\text{Src}(\text{hd } U)] * \setminus^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U) @$ 
   $(\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T * \setminus^*$ 
   $(\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \setminus^* [t \circ \Lambda.\text{Src}(\text{hd } U)]))$ 
using tT U 3 Con-sym
  Resid-append(1)
   $[of [t \circ \Lambda.\text{Src}(\text{hd } U)] \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T$ 
   $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U]$ 
by fastforce
also have ... =  $[t \circ \Lambda.\text{Trg}(\text{last } U)] @$ 
   $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T * \setminus^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U$ 
using tT U Arr-imp-arr-hd orthogonal-App-single-Arr by fastforce
also have ... =  $[t \circ \Lambda.\text{Trg}(\text{last } U)] @ \text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) T$ 
using tT U 1 2 ind by presburger
also have ... =  $\text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) (t \# T)$ 
by simp

```

```

    finally show ?thesis by blast
qed
qed
qed
qed

lemma orthogonal-App-cong:
assumes Arr T and Arr U
shows map (λX. X o Λ.Src (hd U)) T @ map (Λ.App (Λ.Trg (last T))) U *~*
      map (Λ.App (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T

proof
have 1: Arr (map (λX. X o Λ.Src (hd U)) T)
  using assms Arr-imp-arr-hd Arr-map-App1 Λ.Ide-Src by force
have 2: Arr (map (Λ.App (Λ.Trg (last T))) U)
  using assms Arr-imp-arr-last Arr-map-App2 Λ.Ide-Trg by force
have 3: Arr (map (Λ.App (Λ.Src (hd T))) U)
  using assms Arr-imp-arr-hd Arr-map-App2 Λ.Ide-Src by force
have 4: Arr (map (λX. X o Λ.Trg (last U)) T)
  using assms Arr-imp-arr-last Arr-map-App1 Λ.Ide-Trg by force
have 5: Arr (map (λX. X o Λ.Src (hd U)) T @ map (Λ.App (Λ.Trg (last T))) U)
  using assms
  by (metis (no-types, lifting) 1 2 Arr.simps(2) Arr-has-Src Arr-imp-arr-last
      Srcs.simps(1) Srcs-Resid-Arr-single Trgs-simpP arr-append arr-char last-map
      orthogonal-App-single-Arr seq-char)
have 6: Arr (map (Λ.App (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)
  using assms
  by (metis (no-types, lifting) 3 4 Arr.simps(2) Arr-has-Src Arr-imp-arr-hd
      Srcs.simps(1) Srcs.simps(2) Srcs-Resid Srcs-simpP arr-append arr-char hd-map
      orthogonal-App-single-Arr seq-char)
have 7: Con (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U)
  (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)
  using assms orthogonal-App-Arr-Arr [of T U]
  by (metis 1 2 5 6 Con-imp-eq-Srcs Resid.simps(1) Srcs-append confluence-ind)
have 8: Con (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)
  (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U)
  using 7 Con-sym by simp
show map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U *~*
      map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T
proof -
have (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U) *\ $\backslash$ *
  (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T) =
  map (λX. X o Λ.Trg (last U)) T *\ $\backslash$ * map (λX. X o Λ.Trg (last U)) T @
  (map ((o) (Λ.Trg (last T))) U *\ $\backslash$ * map ((o) (Λ.Trg (last T))) U) *\ $\backslash$ *
  (map (λX. X o Λ.Trg (last U)) T *\ $\backslash$ * map (λX. X o Λ.Trg (last U)) T)
using assms 7 orthogonal-App-Arr-Arr
  Resid-append2
  [of map (λX. X o Λ.Src (hd U)) T map (Λ.App (Λ.Trg (last T))) U
   map (Λ.App (Λ.Src (hd T))) U map (λX. X o Λ.Trg (last U)) T]

```

```

by fastforce
moreover have Ide ...
  using assms 1 2 3 4 5 6 7 Resid-Arr-self
  by (metis Arr-append-iffP Con-Arr-self Con-imp-Arr-Resid Ide-appendIP
    Resid-Ide-Arr-ind append-Nil2 calculation)
ultimately show ?thesis
  using ide-char by presburger
qed
show map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T *~*
  map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U
proof –
  have map ((o) (Λ.Src (hd T))) U *` map (λX. X o Λ.Src (hd U)) T =
  map ((o) (Λ.Trg (last T))) U
  by (simp add: assms orthogonal-App-Arr-Arr)
  have (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T) *`*
    (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U) =
    (map ((o) (Λ.Trg (last T))) U) *` map ((o) (Λ.Trg (last T))) U @
    (map (λX. X o Λ.Trg (last U)) T *` map (λX. X o Λ.Trg (last U)) T) *`*
    (map ((o) (Λ.Trg (last T))) U *` map ((o) (Λ.Trg (last T))) U)
  using assms 8 orthogonal-App-Arr-Arr [of T U]
  Resid-append2
  [of map (Λ.App (Λ.Src (hd T))) U map (λX. X o Λ.Trg (last U)) T
   map (λX. X o Λ.Src (hd U)) T map (Λ.App (Λ.Trg (last T))) U]
  by fastforce
moreover have Ide ...
  using assms 1 2 3 4 5 6 8 Resid-Arr-self Arr-append-iffP Con-sym
  by (metis Con-Arr-self Con-imp-Arr-Resid Ide-appendIP Resid-Ide-Arr-ind
    append-Nil2 calculation)
ultimately show ?thesis
  using ide-char by presburger
qed
qed

```

We arrive at the final objective of this section: factorization, up to congruence, of a path whose transitions all have *App* as the top-level constructor, into the composite of a path that reduces only the “rators” and a path that reduces only the “rands”.

```

lemma map-App-decomp:
shows [Arr U; set U ⊆ Collect Λ.is-App] ==>
  map (λX. X o Λ.Src (Λ.un-App2 (hd U))) (map Λ.un-App1 U) @
  map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U) *~*
  U
proof (induct U)
show Arr [] ==> map (λX. X o Λ.Src (Λ.un-App2 (hd []))) (map Λ.un-App1 []) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last [])))) (map Λ.un-App2 []) *~*
  []
  by simp
fix u U
assume ind: [Arr U; set U ⊆ Collect Λ.is-App] ==>
  map (λX. Λ.App X (Λ.Src (Λ.un-App2 (hd U)))) (map Λ.un-App1 U) @

```

```

map (λX. Λ.Trg (Λ.un-App1 (last U)) ○ X) (map Λ.un-App2 U) *~*
U
assume uU: Arr (u # U)
assume set: set (u # U) ⊆ Collect Λ.is-App
have u: Λ.Arr u ∧ Λ.is-App u
  using set set-Arr-subset-arr uU by fastforce
show map (λX. X ○ Λ.Src (Λ.un-App2 (hd (u # U)))) (map Λ.un-App1 (u # U)) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) (map Λ.un-App2 (u # U)) *~*
  u # U
proof (cases U = [])
  assume U: U = []
  show ?thesis
    using u U Λ.Con-sym Λ.Ide-iff-Src-self Λ.resid-Arr-self Λ.resid-Src-Arr
    Λ.resid-Arr-Src Λ.Src-resid Λ.Arr-resid ide-char Λ.Arr-not-Nil
    by (cases u, simp-all)
next
assume U: U ≠ []
have 1: Arr (map Λ.un-App1 U)
  using U set Arr-map-un-App1 uU
  by (metis Arr-imp-Arr-tl list.distinct(1) list.map-disc-iff list.map-sel(2) list.sel(3))
have 2: Arr [Λ.un-App2 u]
  using U uU set
  by (metis Arr.simps(2) Arr-imp-arr-hd Arr-map-un-App2 hd-map list.discI list.sel(1))
have 3: Λ.Arr (Λ.un-App1 u) ∧ Λ.Arr (Λ.un-App2 u)
  using uU set
  by (metis Arr-imp-arr-hd Arr-map-un-App1 Arr-map-un-App2 Λ.arr-char
  list.distinct(1) list.map-sel(1) list.sel(1))
have 4: map (λX. X ○ Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
  [Λ.Trg (Λ.un-App1 (last U)) ○ Λ.un-App2 u] *~*
  [Λ.Src (hd (map Λ.un-App1 U)) ○ Λ.un-App2 u] @
  map (λX. X ○ Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U)
proof –
  have map (λX. X ○ Λ.Src (hd [Λ.un-App2 u])) (map Λ.un-App1 U) =
    map (λX. X ○ Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U)
    using U uU set by simp
  moreover have map (Λ.App (Λ.Trg (last (map Λ.un-App1 U)))) [Λ.un-App2 u] =
    [Λ.Trg (Λ.un-App1 (last U)) ○ Λ.un-App2 u]
    by (simp add: U last-map)
  moreover have map (Λ.App (Λ.Src (hd (map Λ.un-App1 U)))) [Λ.un-App2 u] =
    [Λ.Src (hd (map Λ.un-App1 U)) ○ Λ.un-App2 u]
    by simp
  moreover have map (λX. X ○ Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U) =
    map (λX. X ○ Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U)
  using U uU set by blast
  ultimately show ?thesis
  using U uU set last-map hd-map 1 2 3
    orthogonal-App-cong [of map Λ.un-App1 U [Λ.un-App2 u]]
  by presburger
qed

```

```

have 5:  $\Lambda.\text{Arr}(\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u))$ 
  by (simp add: 3)
have 6:  $\text{Arr}(\text{map}(\lambda X. \Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)) \circ X) (\text{map } \Lambda.\text{un-App2 } U))$ 
  by (metis 1 Arr-imp-arr-last Arr-map-App2 Arr-map-un-App2 Con-implies-Arr(2)
    Ide.simps(1) Resid-Arr-self Resid-cons(2) U insert-subset
     $\Lambda.\text{Ide-Trg } \Lambda.\text{arr-char last-map list.simps}(15) \text{ set } uU)$ 
have 7:  $\Lambda.\text{Arr}(\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)))$ 
  by (metis 4 Arr.simps(2) Arr-append-iffP Con-implies-Arr(2) Ide.simps(1)
    U ide-char  $\Lambda.\text{Arr.simps}(4) \Lambda.\text{arr-char list.map-disc-iff not-Cons-self2}$ )
have 8:  $\Lambda.\text{Src}(\text{hd } (\text{map } \Lambda.\text{un-App1 } U)) = \Lambda.\text{Trg}(\Lambda.\text{un-App1 } u)$ 
proof -
  have  $\Lambda.\text{Src}(\text{hd } U) = \Lambda.\text{Trg } u$ 
    using u uU U by fastforce
  thus ?thesis
    using u uU U set
    apply (cases u; cases hd U)
      apply (simp-all add: list.map-sel(1))
    using list.set-sel(1)
    by fastforce
qed
have 9:  $\Lambda.\text{Src}(\Lambda.\text{un-App2 } (\text{hd } U)) = \Lambda.\text{Trg}(\Lambda.\text{un-App2 } u)$ 
proof -
  have  $\Lambda.\text{Src}(\text{hd } U) = \Lambda.\text{Trg } u$ 
    using u uU U by fastforce
  thus ?thesis
    using u uU U set
    apply (cases u; cases hd U)
      apply simp-all
    by (metis lambda-calculus.lambda.disc(15) list.set-sel(1) mem-Collect-eq
      subset-code(1))
qed
have map ( $\lambda X. X \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } (\text{hd } (u \# U)))) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
   $\text{map } ((\circ) (\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))))) (\text{map } \Lambda.\text{un-App2 } (u \# U)) =$ 
   $[\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)] @$ 
   $(\text{map } (\lambda X. X \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u))$ 
     $(\text{map } \Lambda.\text{un-App1 } U) @ [\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)) \circ \Lambda.\text{un-App2 } u]) @$ 
   $\text{map } ((\circ) (\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)))) (\text{map } \Lambda.\text{un-App2 } U)$ 
  using uU U by simp
also have 12: cong ...  $[\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)] @$ 
   $([\Lambda.\text{Src}(\text{hd } (\text{map } \Lambda.\text{un-App1 } U)) \circ \Lambda.\text{un-App2 } u] @$ 
     $\text{map } (\lambda X. X \circ \Lambda.\text{Trg}(\text{last } [\Lambda.\text{un-App2 } u])) (\text{map } \Lambda.\text{un-App1 } U)) @$ 
     $\text{map } ((\circ) (\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)))) (\text{map } \Lambda.\text{un-App2 } U))$ 
proof (intro cong-append [of  $[\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)]$ ])
  cong-append [where U = map ( $\lambda X. \Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)) \circ X$ 
     $(\text{map } \Lambda.\text{un-App2 } U))]$ 
  show  $[\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)] * \sim^* [\Lambda.\text{un-App1 } u \circ \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)]$ 
    using 5 arr-char cong-reflexive Arr.simps(2) Arr-map-App2 Arr-map-un-App2 Con-implies-Arr(2)
  show map ( $\lambda X. \Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)) \circ X$ ) ( $\text{map } \Lambda.\text{un-App2 } U$ ) *  $\sim^*$ 
    map ( $\lambda X. \Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } U)) \circ X$ ) ( $\text{map } \Lambda.\text{un-App2 } U$ )

```

```

using 6 cong-reflexive by auto
show map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u] *~*
[Λ.Src (hd (map Λ.un-App1 U)) o Λ.un-App2 u] @
map (λX. X o Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U)
using 4 by simp
show 10: seq [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)]
((map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]) @
map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U))
proof
show Arr [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)]
using 5 Arr.simps(2) by blast
show Arr ((map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]) @
map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U)))
proof (intro Arr-appendIPWE)
show Arr (map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U))
using 1 3 Arr-map-App1_lambda-calculus.Idc-Src by blast
show Arr [Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]
by (simp add: 3 7)
show Trg (map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U)) =
Src [Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]
by (metis 4 Arr-appendEPWE Con-implies-Arr(2) Ide.simps(1) U ide-char
list.map-disc-iff not-Cons-self2)
show Arr (map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U))
using 6 by simp
show Trg (map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]) =
Src (map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U))
using U uU set 1 3 6 7 9 Srcs-simpPWE Arr-imp-arr-hd Arr-imp-arr-last
apply auto
by (metis Nil-is-map-conv hd-map Λ.Src.simps(4) Λ.Src-Trg Λ.Trg-Trg
last-map list.map-comp)
qed
show Λ.Trg (last [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)]) =
Λ.Src (hd ((map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u]) @
map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U)))
using 8 9
by (simp add: 3 U hd-map)
qed
show seq (map (λX. X o Λ.Src (Λ.un-App2 u)) (map Λ.un-App1 U) @
[Λ.Trg (Λ.un-App1 (last U)) o Λ.un-App2 u])
(map (λX. Λ.Trg (Λ.un-App1 (last U)) o X) (map Λ.un-App2 U))
by (metis Nil-is-map-conv U 10 append-is-Nil-conv arr-append-imp-seq seqE)
qed
also have 11: [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)] @
([Λ.Src (hd (map Λ.un-App1 U)) o Λ.un-App2 u] @

```

```

map (λX. X o Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U)) @
map ((o) (Λ.Trg (Λ.un-App1 (last U)))) (map Λ.un-App2 U) =
([Λ.un-App1 u o Λ.Src (Λ.un-App2 u)] @
[Λ.Src (hd (map Λ.un-App1 U)) o Λ.un-App2 u]) @
map (λX. X o Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U) @
map ((o) (Λ.Trg (Λ.un-App1 (last U)))) (map Λ.un-App2 U)

by simp
also have cong ... ([u] @ U)
proof (intro cong-append)
  show seq ([Λ.un-App1 u o Λ.Src (Λ.un-App2 u)] @
  [Λ.Src (hd (map Λ.un-App1 U)) o Λ.un-App2 u])
  (map (λX. X o Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U) @
  map ((o) (Λ.Trg (Λ.un-App1 (last U)))) (map Λ.un-App2 U))
by (metis 5 11 12 U Arr.simps(1–2) Con-implies-Arr(2) Ide.simps(1) Nil-is-map-conv
append-is-Nil-conv arr-append-imp-seq arr-char ide-char Λ.arr-char)
show [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)] @
  [Λ.Src (hd (map Λ.un-App1 U)) o Λ.un-App2 u] *~*
[u]
proof –
  have [Λ.un-App1 u o Λ.Src (Λ.un-App2 u)] @
    [Λ.Trg (Λ.un-App1 u) o Λ.un-App2 u] *~*
[u]
  using u uU U ΛArr-Trg ΛArr-not-Nil Λ.resid-Arr-self
  apply (cases u)
  apply auto
  by force+
  thus ?thesis using 8 by simp
qed
show map (λX. X o Λ.Trg (last [Λ.un-App2 u])) (map Λ.un-App1 U) @
  map ((o) (Λ.Trg (Λ.un-App1 (last U)))) (map Λ.un-App2 U) *~*
U
using ind set 9
apply simp
using U uU by blast
qed
also have [u] @ U = u # U
  by simp
finally show ?thesis by blast
qed
qed

```

3.3.4 Miscellaneous

```

lemma Resid-parallel:
assumes cong t t' and coinitial t u
shows u *＼* t = u *＼* t'
proof –
  have u *＼* t = (u *＼* t) *＼* (t' *＼* t)
  using assms

```

```

by (metis con-target conI_P con-sym resid-arr-ide)
also have ... = (u *` t') *` (t *` t')
  using cube by auto
also have ... = u *` t'
  using assms
by (metis con-target conI_P con-sym resid-arr-ide)
finally show ?thesis by blast
qed

lemma set-Ide-subset-single-hd:
shows Ide T ==> set T ⊆ {hd T}
apply (induct T, auto)
using Λ.coinitial-Ide-are-cong
by (metis Arr-imp-arr-hd Ide-consE Ide-imp-Ide-hd Ide-implies-Arr Srcs-simpPWE Srcs-simpΛP
Λ.trg-Ide equals0D Λ.Ide-iff-Src-self Λ.arr-char Λ.ideal-char set-empty singletonD
subset-code(1))

```

A single parallel reduction with *Beta* as the top-level operator factors, up to congruence, either as a path in which the top-level redex is contracted first, or as a path in which the top-level redex is contracted last.

```

lemma Beta-decomp:
assumes ΛArr t and ΛArr u
shows [λ[ΛSrc t] • ΛSrc u] @ [Λsubst u t] *~* [λ[t] • u]
and [λ[t] o u] @ [λ[ΛTrg t] • ΛTrg u] *~* [λ[t] • u]
using assms ΛArr-not-Nil ΛSubst-not-Nil ide-char Λ.Ide-Subst Λ.Ide-Trg
ΛArr-Subst Λ.resid-Arr-self
by auto

```

If a reduction path follows an initial reduction whose top-level constructor is *Lam*, then all the terms in the path have *Lam* as their top-level constructor.

```

lemma seq-Lam-Arr-implies:
shows [[seq [t] U; Λ.is-Lam t]] ==> set U ⊆ Collect Λ.is-Lam
proof (induct U arbitrary: t)
  show ∀t. [[seq [t] []; Λ.is-Lam t]] ==> set [] ⊆ Collect Λ.is-Lam
    by simp
  fix u U t
  assume ind: ∀t. [[seq [t] U; Λ.is-Lam t]] ==> set U ⊆ Collect Λ.is-Lam
  assume uU: seq [t] (u # U)
  assume t: Λ.is-Lam t
  show set (u # U) ⊆ Collect Λ.is-Lam
  proof -
    have Λ.is-Lam u
    by (metis Trg-last-Src-hd-eqI ΛSrc.simps(1-2,4-5) ΛTrg.simps(2) Λ.is-App-def
      Λ.is-Beta-def Λ.is-Lam-def Λ.is-Var-def Λ.lambda.disc(9) Λ.lambda.exhaust-disc
      last-ConsL list.sel(1) t uU)
    moreover have set U ⊆ Collect Λ.is-Lam
    proof (cases U = [])
      show U = [] ==> ?thesis
        by simp
    qed
  qed

```

```

assume  $U: U \neq []$ 
have  $\text{seq } [u] \ U$ 
  by (metis  $U$  append-Cons arr-append-imp-seq not-Cons-self2 self-append-conv2
    seqE  $u U$ )
thus ?thesis
  using ind calculation by simp
qed
ultimately show ?thesis by auto
qed
qed

lemma seq-map-un-Lam:
assumes  $\text{seq } [\lambda[t]] \ U$ 
shows  $\text{seq } [t] \ (\text{map } \Lambda.\text{un-Lam } U)$ 
proof –
  have Arr  $(\lambda[t] \ # \ U)$ 
    using assms
    by (simp add: seq-char)
  hence Arr  $(\text{map } \Lambda.\text{un-Lam } (\lambda[t] \ # \ U)) \wedge \text{Arr } U$ 
    using seq-Lam-Arr-implies
    by (metis Arr-map-un-Lam ‹seq [λ[t]] U› Λ.lambda.discI(2) mem-Collect-eq
      seq-char set-ConsD subset-code(1))
  hence Arr  $(\Lambda.\text{un-Lam } \lambda[t] \ # \ \text{map } \Lambda.\text{un-Lam } U) \wedge \text{Arr } U$ 
    by simp
  thus ?thesis
    using seq-char
    by (metis (no-types, lifting) Arr.simps(1) Con-imp-eq-Srcs Con-implies-Arr(2)
      Con-initial-right Resid-rec(1) Resid-rec(3) Srcs-Resid Λ.lambda.sel(2)
      map-is-Nil-conv confluence-ind)
qed

end

```

3.4 Developments

A *development* is a reduction path from a term in which at each step exactly one redex is contracted, and the only redexes that are contracted are those that are residuals of redexes present in the original term. That is, no redexes are contracted that were newly created as a result of the previous reductions. The main theorem about developments is the Finite Developments Theorem, which states that all developments are finite. A proof of this theorem was published by Hindley [6], who attributes the result to Schroer [9]. Other proofs were published subsequently. Here we follow the paper by de Vrijer [5], which may in some sense be considered the definitive work because de Vrijer's proof gives an exact bound on the number of steps in a development. Since de Vrijer used a classical, named-variable representation of λ -terms, for the formalization given in the present article it was necessary to find the correct way to adapt de Vrijer's proof to the de Bruijn index representation of terms. I found this to be a somewhat delicate matter

and to my knowledge it has not been done previously.

```
context lambda-calculus
begin
```

We define an *elementary reduction* defined to be a term with exactly one marked redex. These correspond to the most basic computational steps.

```
fun elementary-reduction
where elementary-reduction  $\# \longleftrightarrow \text{False}$ 
  | elementary-reduction ( $\langle\langle - \rangle\rangle$ )  $\longleftrightarrow \text{False}$ 
  | elementary-reduction  $\lambda[t]$   $\longleftrightarrow \text{elementary-reduction } t$ 
  | elementary-reduction ( $t \circ u$ )  $\longleftrightarrow$ 
    ( $\text{elementary-reduction } t \wedge \text{Ide } u$ )  $\vee (\text{Ide } t \wedge \text{elementary-reduction } u)$ 
  | elementary-reduction ( $\lambda[t] \bullet u$ )  $\longleftrightarrow \text{Ide } t \wedge \text{Ide } u$ 
```

It is tempting to imagine that elementary reductions would be atoms with respect to the preorder \lesssim , but this is not necessarily the case. For example, suppose $t = \lambda[\langle\langle 1 \rangle\rangle] \bullet (\lambda[\langle\langle 0 \rangle\rangle] \circ \langle\langle 0 \rangle\rangle)$ and $u = \lambda[\langle\langle 1 \rangle\rangle] \bullet (\lambda[\langle\langle 0 \rangle\rangle] \bullet \langle\langle 0 \rangle\rangle)$. Then t is an elementary reduction, $u \lesssim t$ (in fact $u \sim t$) but u is not an identity, nor is it elementary.

```
lemma elementary-reduction-is-arr:
shows elementary-reduction  $t \implies \text{arr } t$ 
using Ide-implies-Arr arr-char
by (induct  $t$ ) auto
```

```
lemma elementary-reduction-not-ide:
shows elementary-reduction  $t \implies \neg \text{ide } t$ 
using ide-char
by (induct  $t$ ) auto
```

```
lemma elementary-reduction-Raise-iff:
shows  $\bigwedge d n. \text{elementary-reduction}(\text{Raise } d n t) \longleftrightarrow \text{elementary-reduction } t$ 
using Ide-Raise
by (induct  $t$ ) auto
```

```
lemma elementary-reduction-Lam-iff:
shows is-Lam  $t \implies \text{elementary-reduction } t \longleftrightarrow \text{elementary-reduction}(\text{un-Lam } t)$ 
by (metis elementary-reduction.simps(3) lambda.collapse(2))
```

```
lemma elementary-reduction-App-iff:
shows is-App  $t \implies \text{elementary-reduction } t \longleftrightarrow$ 
  ( $\text{elementary-reduction}(\text{un-App1 } t) \wedge \text{ide}(\text{un-App2 } t)$ )  $\vee$ 
  ( $\text{ide}(\text{un-App1 } t) \wedge \text{elementary-reduction}(\text{un-App2 } t)$ )
using ide-char
by (metis elementary-reduction.simps(4) lambda.collapse(3))
```

```
lemma elementary-reduction-Beta-iff:
shows is-Beta  $t \implies \text{elementary-reduction } t \longleftrightarrow \text{ide}(\text{un-Beta1 } t) \wedge \text{ide}(\text{un-Beta2 } t)$ 
using ide-char
by (metis elementary-reduction.simps(5) lambda.collapse(4))
```

```

lemma cong-elementary-reductions-are-equal:
shows [[elementary-reduction t; elementary-reduction u; t ~ u] ==> t = u]
proof (induct t arbitrary: u)
  show !u. [[elementary-reduction #; elementary-reduction u; # ~ u] ==> # = u]
    by simp
  show !x u. [[elementary-reduction «x»; elementary-reduction u; «x» ~ u] ==> «x» = u]
    by simp
  show !t u. [!u. [[elementary-reduction t; elementary-reduction u; t ~ u] ==> t = u;
    elementary-reduction λ[t]; elementary-reduction u; λ[t] ~ u]
    ==> λ[t] = u]
    by (metis elementary-reduction-Lam-iff lambda.collapse(2) lambda.inject(2) prfx-Lam-iff)
  show !t1 t2. [!u. [[elementary-reduction t1; elementary-reduction u; t1 ~ u] ==> t1 = u;
    !u. [[elementary-reduction t2; elementary-reduction u; t2 ~ u] ==> t2 = u;
    elementary-reduction (t1 o t2); elementary-reduction u; t1 o t2 ~ u]
    ==> t1 o t2 = u]
    for u
    using prfx-App-iff
    apply (cases u)
      apply auto[3]
    apply (metis elementary-reduction-App-iff ide-backward-stable lambda.sel(3-4)
      weak-extensionality)
    by auto
  show !t1 t2. [!u. [[elementary-reduction t1; elementary-reduction u; t1 ~ u] ==> t1 = u;
    !u. [[elementary-reduction t2; elementary-reduction u; t2 ~ u] ==> t2 = u;
    elementary-reduction (λ[t1] • t2); elementary-reduction u; λ[t1] • t2 ~ u]
    ==> λ[t1] • t2 = u]
    for u
    using prfx-App-iff
    apply (cases u, simp-all)
    by (metis (full-types) Coinitial-iff-Con Ide-iff-Src-self Ide.simps(1))
qed

```

An *elementary reduction path* is a path in which each step is an elementary reduction. It will be convenient to regard the empty list as an elementary reduction path, even though it is not actually a path according to our previous definition of that notion.

```

definition (in reduction-paths) elementary-reduction-path
where elementary-reduction-path T <=>
  (T = [] ∨ Arr T ∧ set T ⊆ Collect Λ.elementary-reduction)

```

In the formal definition of “development” given below, we represent a set of redexes simply by a term, in which the occurrences of *Beta* correspond to the redexes in the set. To express the idea that an elementary reduction u is a member of the set of redexes represented by term t , it is not adequate to say $u \lesssim t$. To see this, consider the developments of a term of the form $\lambda[t_1] \bullet t_2$. Intuitively, such developments should consist of a (possibly empty) initial segment containing only transitions of the form $t_1 \circ t_2$, followed by a transition of the form $\lambda[u_1] \bullet u_2'$, followed by a development of the residual of the original $\lambda[t_1] \bullet t_2$ after what has come so far. The requirement $u \lesssim \lambda[t_1] \bullet t_2$ is not a strong enough constraint on the transitions in the initial segment,

because $\lambda[u_1] \bullet u_2 \lesssim \lambda[t_1] \bullet t_2$ can hold for t_2 and u_2 coinitial, but otherwise without any particular relationship between their sets of marked redexes. In particular, this can occur when u_2 and t_2 occur as subterms that can be deleted by the contraction of an outer redex. So we need to introduce a notion of containment between terms that is stronger and more “syntactic” than \lesssim . The notion “subsumed by” defined below serves this purpose. Term u is subsumed by term t if both terms are arrows with exactly the same form except that t may contain $\lambda[t_1] \bullet t_2$ (a marked redex) in places where u contains $\lambda[t_1] \circ t_2$.

```

fun subs (infix  $\sqsubseteq$  50)
where « $i$ »  $\sqsubseteq$  « $i'$ »  $\longleftrightarrow i = i'$ 
  |  $\lambda[t] \sqsubseteq \lambda[t'] \longleftrightarrow t \sqsubseteq t'$ 
  |  $t \circ u \sqsubseteq t' \circ u' \longleftrightarrow t \sqsubseteq t' \wedge u \sqsubseteq u'$ 
  |  $\lambda[t] \circ u \sqsubseteq \lambda[t'] \bullet u' \longleftrightarrow t \sqsubseteq t' \wedge u \sqsubseteq u'$ 
  |  $\lambda[t] \bullet u \sqsubseteq \lambda[t'] \bullet u' \longleftrightarrow t \sqsubseteq t' \wedge u \sqsubseteq u'$ 
  |  $- \sqsubseteq - \longleftrightarrow \text{False}$ 

lemma subs-implies-prfx:
shows  $t \sqsubseteq u \implies t \lesssim u$ 
apply (induct t arbitrary: u)
  apply auto[1]
using subs.elims(2)
  apply fastforce
proof –
  show  $\bigwedge t. [\bigwedge u. t \sqsubseteq u \implies t \lesssim u; \lambda[t] \sqsubseteq u] \implies \lambda[t] \lesssim u$  for u
    by (cases u, auto) fastforce
  show  $\bigwedge t_2. [\bigwedge u_1. t_1 \sqsubseteq u_1 \implies t_1 \lesssim u_1;$ 
     $\bigwedge u_2. t_2 \sqsubseteq u_2 \implies t_2 \lesssim u_2;$ 
     $t_1 \circ t_2 \sqsubseteq u]$ 
     $\implies t_1 \circ t_2 \lesssim u$  for  $t_1 u$ 
  apply (cases  $t_1$ ; cases u)
    apply simp-all
  apply fastforce+
  apply (metis Ide-Subst con-char lambda.sel(2) subs.simps(2) prfx-Lam-iff prfx-char
    prfx-implies-con)
  by fastforce+
  show  $\bigwedge t_1 t_2. [\bigwedge u_1. t_1 \sqsubseteq u_1 \implies t_1 \lesssim u_1;$ 
     $\bigwedge u_2. t_2 \sqsubseteq u_2 \implies t_2 \lesssim u_2;$ 
     $\lambda[t_1] \bullet t_2 \sqsubseteq u]$ 
     $\implies \lambda[t_1] \bullet t_2 \lesssim u$  for u
  using Ide-Subst
  apply (cases u, simp-all)
  by (metis Ide.simps(1))
qed

```

The following is an example showing that two terms can be related by \lesssim without being related by \sqsubseteq .

```

lemma subs-example:
shows  $\lambda[\langle\!\langle 1\rangle\!\rangle] \bullet (\lambda[\langle\!\langle 0\rangle\!\rangle] \bullet \langle\!\langle 0\rangle\!\rangle) \lesssim \lambda[\langle\!\langle 1\rangle\!\rangle] \bullet (\lambda[\langle\!\langle 0\rangle\!\rangle] \circ \langle\!\langle 0\rangle\!\rangle) = \text{True}$ 

```

```

and  $\lambda[\langle\!\rangle 1] \bullet (\lambda[\langle\!\rangle 0] \bullet \langle\!\rangle 0) \sqsubseteq \lambda[\langle\!\rangle 1] \bullet (\lambda[\langle\!\rangle 0] \circ \langle\!\rangle 0) = False$ 
  by auto

lemma subs-Ide:
shows  $[ide u; Src t = Src u] \implies u \sqsubseteq t$ 
  using Ide-Src Ide-implies-Arr Ide-iff-Src-self
  by (induct t arbitrary: u, simp-all) force+

lemma subs-App:
shows  $u \sqsubseteq t1 \circ t2 \longleftrightarrow is-App u \wedge un-App1 u \sqsubseteq t1 \wedge un-App2 u \sqsubseteq t2$ 
  by (metis lambda.collapse(3) prfx-App-iff subs.simps(3) subs-implies-prfx)

end

```

context reduction-paths
begin

We now formally define a *development* of t to be an elementary reduction path U that is coinitial with $[t]$ and is such that each transition u in U is subsumed by the residual of t along the prefix of U coming before u . Stated another way, each transition in U corresponds to the contraction of a single redex that is the residual of a redex originally marked in t .

```

fun development
where development  $t [] \longleftrightarrow \Lambda.\text{Arr } t$ 
  | development  $t (u \# U) \longleftrightarrow$ 
     $\Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t \wedge \text{development } (t \setminus u) U$ 

lemma development-imp-Arr:
assumes development  $t U$ 
shows  $\Lambda.\text{Arr } t$ 
  using assms
  by (metis \.Con-implies-Arr2 \.Ide.simps(1) \.ide-char \.subs-implies-prfx
       development.elims(2))

```

```

lemma development-Ide:
shows  $\Lambda.\text{Ide } t \implies \text{development } t U \longleftrightarrow U = []$ 
  using \.Ide-implies-Arr
  apply (induct U arbitrary: t)
  apply auto
  by (meson \.elementary-reduction-not-ide \.ide-backward-stable \.ide-char
       \.subs-implies-prfx)

```

```

lemma development-implies:
shows development  $t U \implies \text{elementary-reduction-path } U \wedge (U \neq [] \longrightarrow U * \lesssim^* [t])$ 
  apply (induct U arbitrary: t)
  using elementary-reduction-path-def
  apply simp
proof -
fix t u U

```

```

assume ind:  $\bigwedge t. \text{development } t \ U \implies$   

            elementary-reduction-path  $U \wedge (U \neq [] \longrightarrow U * \lesssim^* [t])$   

show development  $t (u \ # \ U) \implies$   

            elementary-reduction-path  $(u \ # \ U) \wedge (u \ # \ U \neq [] \longrightarrow u \ # \ U * \lesssim^* [t])$   

proof (cases  $U = []$ )  

  assume  $uU: \text{development } t (u \ # \ U)$   

  show  $U = [] \implies ?\text{thesis}$   

  using  $uU \ \Lambda.\text{subs-implies-prfx ide-char} \ \Lambda.\text{elementary-reduction-is-arr}$   

            elementary-reduction-path-def prfx-implies-con  

  by force  

  assume  $U: U \neq []$   

  have  $\Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t \wedge \text{development } (t \setminus u) \ U$   

  using  $U \ uU \ \text{development.elims}(1)$  by blast  

  hence 1:  $\Lambda.\text{elementary-reduction } u \wedge \text{elementary-reduction-path } U \wedge u \sqsubseteq t \wedge$   

             $(U \neq [] \longrightarrow U * \lesssim^* [t \setminus u])$   

  using  $U \ uU \ \text{ind}$  by auto  

  show  $??\text{thesis}$   

proof (unfold elementary-reduction-path-def, intro conjI)  

  show  $u \ # \ U = [] \vee \text{Arr } (u \ # \ U) \wedge \text{set } (u \ # \ U) \subseteq \text{Collect } \Lambda.\text{elementary-reduction}$   

  using  $U \ 1$   

  by (metis Con-implies-Arr(1) Con-rec(2) con-char prfx-implies-con  

        elementary-reduction-path-def insert-subset list.simps(15) mem-Collect-eq  

         $\Lambda.\text{prfx-implies-con} \ \Lambda.\text{subs-implies-prfx})$   

  show  $u \ # \ U \neq [] \longrightarrow u \ # \ U * \lesssim^* [t]$   

proof –  

  have  $u \ # \ U * \lesssim^* [t] \longleftrightarrow \text{ide } ([u \setminus t] @ U * \setminus^* [t \setminus u])$   

  using 1  $U \ \text{Con-rec}(2) \ \text{Resid-rec}(2) \ \text{con-char} \ \text{prfx-implies-con}$   

             $\Lambda.\text{prfx-implies-con} \ \Lambda.\text{subs-implies-prfx}$   

  by simp  

  also have ...  $\longleftrightarrow \text{True}$   

  using  $U \ 1 \ \text{ide-char Ide-append-iff}_{PWE} [\text{of } [u \setminus t] \ U * \setminus^* [t \setminus u]]$   

  by (metis Ide.simps(2) Ide-appendIPWE Src-resid Trg.simps(2)  

         $\Lambda.\text{apex-sym} \ \text{con-char} \ \Lambda.\text{subs-implies-prfx} \ \text{prfx-implies-con})$   

  finally show  $??\text{thesis}$  by blast  

qed  

qed  

qed  

qed

```

The converse of the previous result does not hold, because there could be a stage i at which $u_i \lesssim t_i$, but t_i deletes the redex contracted in u_i , so there is nothing forcing that redex to have been originally marked in t . So U being a development of t is a stronger property than U just being an elementary reduction path such that $U * \lesssim^* [t]$.

```

lemma development-append:  

shows  $[\text{development } t \ U; \ \text{development } (t \ 1 \setminus^* U) \ V] \implies \text{development } t (U @ V)$   

using development-imp-Arr null-char  

apply (induct  $U$  arbitrary:  $t \ V$ )  

apply auto  

by (metis Resid1x.simps(2–3) append-Nil neq-Nil-conv)

```

```

lemma development-map-Lam:
shows development t T  $\implies$  development  $\lambda[t]$  (map  $\Lambda.\text{Lam}$  T)
  using  $\Lambda.\text{Arr-not-Nil}$  development-imp-Arr
  by (induct T arbitrary: t) auto

lemma development-map-App-1:
shows [development t T;  $\Lambda.\text{Arr}$  u]  $\implies$  development (t o u) (map ( $\lambda x.$  x o  $\Lambda.\text{Src}$  u) T)
  apply (induct T arbitrary: t)
  apply (simp add:  $\Lambda.\text{Ide-implies-Arr}$ )
proof -
  fix t T t'
  assume ind:  $\bigwedge t.$  [development t T;  $\Lambda.\text{Arr}$  u]
     $\implies$  development (t o u) (map ( $\lambda x.$  x o  $\Lambda.\text{Src}$  u) T)
  assume t'T: development t (t' # T)
  assume u:  $\Lambda.\text{Arr}$  u
  show development (t o u) (map ( $\lambda x.$  x o  $\Lambda.\text{Src}$  u) (t' # T))
    using u t'T ind
    apply simp
    using  $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{Ide-Src}$  development-imp-Arr  $\Lambda.\text{subs-Ide}$  by force
qed

lemma development-map-App-2:
shows [ $\Lambda.\text{Arr}$  t; development u U]  $\implies$  development (t o u) (map ( $\lambda x.$   $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t) x)
U)
  apply (induct U arbitrary: u)
  apply (simp add:  $\Lambda.\text{Ide-implies-Arr}$ )
proof -
  fix u U u'
  assume ind:  $\bigwedge u.$  [ $\Lambda.\text{Arr}$  t; development u U]
     $\implies$  development (t o u) (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t)) U)
  assume u'U: development u (u' # U)
  assume t:  $\Lambda.\text{Arr}$  t
  show development (t o u) (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t)) (u' # U))
    using t u'U ind
    apply simp
    by (metis  $\Lambda.\text{Cinitial-iff-Con}$   $\Lambda.\text{Ide-Src}$   $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr}$ 
      development-imp-Arr  $\Lambda.\text{ide-char}$   $\Lambda.\text{resid-Arr-Ide}$   $\Lambda.\text{subs-Ide}$ )
qed

```

3.4.1 Finiteness of Developments

A term t has the finite developments property if there exists a finite value that bounds the length of all developments of t . The goal of this section is to prove the Finite Developments Theorem: every term has the finite developments property.

```

definition FD
where FD t  $\equiv \exists n. \forall U.$  development t U  $\longrightarrow$  length U  $\leq n$ 
end

```

In [6], Hindley proceeds by using structural induction to establish a bound on the length of a development of a term. The only case that poses any difficulty is the case of a β -redex, which is $\lambda[t] \bullet u$ in the notation used here. He notes that there is an easy bound on the length of a development of a special form in which all the contractions of residuals of t occur before the contraction of the top-level redex. The development first takes $\lambda[t] \bullet u$ to $\lambda[t'] \bullet u'$, then to $\text{subst } u' t'$, then continues with independent developments of u' . The number of independent developments of u' is given by the number of free occurrences of $\text{Var } 0$ in t' . As there can be only finitely many such t' , we can use the maximum number of free occurrences of $\text{Var } 0$ over all such t' to bound the steps in the independent developments of u' .

In the general case, the problem is that reductions of residuals of t can increase the number of free occurrences of $\text{Var } 0$, so we can't readily count them at any particular stage. Hindley shows that developments in which there are reductions of residuals of t that occur after the contraction of the top-level redex are equivalent to reductions of the special form, by a transformation with a bounded increase in length. This can be considered as a weak form of standardization for developments.

A later paper by de Vrijer [5] obtains an explicit function for the exact number of steps in a development of maximal length. His proof is very straightforward and amenable to formalization, and it is what we follow here. The main issue for us is that de Vrijer uses a classical representation of λ -terms, with variable names and α -equivalence, whereas here we are using de Bruijn indices. This means that we have to discover the correct modification of de Vrijer's definitions to apply to the present situation.

```
context lambda-calculus
begin
```

Our first definition is that of the “multiplicity” of a free variable in a term. This is a count of the maximum number of times a variable could occur free in a term reachable in a development. The main issue in adjusting to de Bruijn indices is that the same variable will have different indices depending on the depth at which it occurs in the term. So, we need to keep track of how the indices of variables change as we move through the term. Our modified definitions adjust the parameter to the multiplicity function on each recursive call, to account for the contextual depth (*i.e.* the number of binders on a path from the root of the term).

The definition of this function is readily understandable, except perhaps for the *Beta* case. The multiplicity $mtp x (\lambda[t] \bullet u)$ has to be at least as large as $mtp x (\lambda[t] \circ u)$, to account for developments in which the top-level redex is not contracted. However, if the top-level redex $\lambda[t] \bullet u$ is contracted, then the contractum is $\text{subst } u t$, so the multiplicity has to be at least as large as $mtp x (\text{subst } u t)$. This leads to the relation:

$$mtp x (\lambda[t] \bullet u) = \max (mtp x (\lambda[t] \circ u)) (mtp x (\text{subst } u t))$$

This is not directly suitable for use in a definition of the function mtp , because proving the termination is problematic. Instead, we have to guess the correct expression for $mtp x (\text{subst } u t)$ and use that.

Now, each variable x in $\text{subst } u t$ other than the variable 0 that is substituted for still has all the occurrences that it does in $\lambda[t]$. In addition, the variable being substituted

for (which has index 0 in the outermost context of t) will in general have multiple free occurrences in t , with a total multiplicity given by $mtp\ 0\ t$. The substitution operation replaces each free occurrence by u , which has the effect of multiplying the multiplicity of a variable x in t by a factor of $mtp\ 0\ t$. These considerations lead to the following:

$$mtp\ x\ (\lambda[t] \bullet u) = \max(mtp\ x\ \lambda[t] + mtp\ x\ u) (mtp\ x\ \lambda[t] + mtp\ x\ u * mtp\ 0\ t)$$

However, we can simplify this to:

$$mtp\ x\ (\lambda[t] \bullet u) = mtp\ x\ \lambda[t] + mtp\ x\ u * \max 1\ (mtp\ 0\ t)$$

and replace the $mtp\ x\ \lambda[t]$ by $mtp\ (\text{Suc } x)\ t$ to simplify the ordering necessary for the termination proof and allow it to be done automatically.

The final result is perhaps about the first thing one would think to write down, but there are possible ways to go wrong and it is of course still necessary to discover the proper form required for the various induction proofs. I followed a long path of rather more complicated-looking definitions, until I eventually managed to find the proper inductive forms for all the lemmas and eventually arrive back at this definition.

```
fun mtp :: nat ⇒ lambda ⇒ nat
where mtp x # = 0
| mtp x «z» = (if z = x then 1 else 0)
| mtp x λ[t] = mtp (Suc x) t
| mtp x (t o u) = mtp x t + mtp x u
| mtp x (λ[t] • u) = mtp (Suc x) t + mtp x u * max 1 (mtp 0 t)
```

The multiplicity function generalizes the free variable predicate. This is not actually used, but is included for explanatory purposes.

```
lemma mtp-gt-0-iff-in-FV:
shows mtp x t > 0 ↔ x ∈ FV t
proof (induct t arbitrary: x)
show ∀x. 0 < mtp x # ↔ x ∈ FV #
by simp
show ∀x z. 0 < mtp x «z» ↔ x ∈ FV «z»
by auto
show Lam: ∀t x. (∀x. 0 < mtp x t ↔ x ∈ FV t)
    ⇒ 0 < mtp x λ[t] ↔ x ∈ FV λ[t]
proof -
fix t and x :: nat
assume ind: ∀x. 0 < mtp x t ↔ x ∈ FV t
show 0 < mtp x λ[t] ↔ x ∈ FV λ[t]
using ind
apply auto
apply (metis Diff-iff One-nat-def diff-Suc-1 empty-iff imageI insert-iff
nat.distinct(1))
by (metis Suc-pred neq0-conv)
qed
show ∀t u x.
  [ ] ∀x. 0 < mtp x t ↔ x ∈ FV t;
```

```

 $\bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u]$ 
 $\implies 0 < mtp x (t \circ u) \longleftrightarrow x \in FV (t \circ u)$ 
by simp
show  $\bigwedge t u x.$ 
 $\llbracket \bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t;$ 
 $\bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u]$ 
 $\implies 0 < mtp x (\lambda[t] \bullet u) \longleftrightarrow x \in FV (\lambda[t] \bullet u)$ 
proof –
  fix  $t u$  and  $x :: nat$ 
  assume  $ind1: \bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t$ 
  assume  $ind2: \bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u$ 
  show  $0 < mtp x (\lambda[t] \bullet u) \longleftrightarrow x \in FV (\lambda[t] \bullet u)$ 
    using  $ind1\ ind2$ 
    apply simp
    by force
  qed
qed

```

We now establish a fact about commutation of multiplicity and Raise that will be needed subsequently.

```

lemma mtpE-eq-Raise:
shows  $x < d \implies mtp x (Raise d k t) = mtp x t$ 
by (induct t arbitrary: x k d) auto

lemma mtp-Raise-ind:
shows  $\llbracket l \leq d; size t \leq s \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
proof (induct s arbitrary: d x k l t)
  show  $\bigwedge d x k l. \llbracket l \leq d; size t \leq 0 \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
    for  $t$ 
    by (cases t) auto
  show  $\bigwedge s d x k l.$ 
     $\llbracket \bigwedge d x k l t. \llbracket l \leq d; size t \leq s \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t;$ 
     $l \leq d; size t \leq Suc s \rrbracket$ 
     $\implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
  for  $t$ 
  proof (cases t)
    show  $\bigwedge d x k l s. t = \sharp \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
      by simp
    show  $\bigwedge z d x k l s. \llbracket l \leq d; t = «z» \rrbracket$ 
       $\implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
    by simp
    show  $\bigwedge u d x k l s. \llbracket l \leq d; size t \leq Suc s; t = \lambda[u];$ 
       $(\bigwedge d x k l u. \llbracket l \leq d; size u \leq s \rrbracket$ 
       $\implies mtp (x + d + k) (Raise l k u) = mtp (x + d) u) \rrbracket$ 
       $\implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
  proof –
    fix  $u d x s$  and  $k l :: nat$ 
    assume  $l: l \leq d$  and  $s: size t \leq Suc s$  and  $t: t = \lambda[u]$ 
    assume  $ind: \bigwedge d x k l u. \llbracket l \leq d; size u \leq s \rrbracket$ 

```

```

 $\implies mtp(x + d + k) (Raise l k u) = mtp(x + d) u$ 
show  $mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  have  $mtp(x + d + k) (Raise l k t) = mtp(Suc(x + d + k)) (Raise (Suc l) k u)$ 
    using  $t$  by simp
  also have ... =  $mtp(x + Suc d) u$ 
  proof -
    have  $size u \leq s$ 
      using  $t s$  by force
      thus  $?thesis$ 
        using  $l s$  ind [of  $Suc l Suc d$ ] by simp
  qed
  also have ... =  $mtp(x + d) t$ 
    using  $t$  by auto
    finally show  $?thesis$  by blast
  qed
qed
show  $\bigwedge t1 t2 d x k l s.$ 
   $\llbracket \bigwedge d x k l t1. [l \leq d; size t1 \leq s] \rrbracket$ 
     $\implies mtp(x + d + k) (Raise l k t1) = mtp(x + d) t1;$ 
   $\bigwedge d x k l t2. [l \leq d; size t2 \leq s]$ 
     $\implies mtp(x + d + k) (Raise l k t2) = mtp(x + d) t2;$ 
   $[l \leq d; size t \leq Suc s; t = t1 \circ t2]$ 
     $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  fix  $t1 t2 s$ 
  assume  $s: size t \leq Suc s$  and  $t: t = t1 \circ t2$ 
  have  $size t1 \leq s \wedge size t2 \leq s$ 
    using  $s t$  by auto
  thus  $\bigwedge d x k l.$ 
     $\llbracket \bigwedge d x k l t1. [l \leq d; size t1 \leq s] \rrbracket$ 
       $\implies mtp(x + d + k) (Raise l k t1) = mtp(x + d) t1;$ 
     $\bigwedge d x k l t2. [l \leq d; size t2 \leq s]$ 
       $\implies mtp(x + d + k) (Raise l k t2) = mtp(x + d) t2;$ 
     $[l \leq d; size t \leq Suc s; t = t1 \circ t2]$ 
       $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
  by simp
qed
show  $\bigwedge t1 t2 d x k l s.$ 
   $\llbracket \bigwedge d x k l t1. [l \leq d; size t1 \leq s] \rrbracket$ 
     $\implies mtp(x + d + k) (Raise l k t1) = mtp(x + d) t1;$ 
   $\bigwedge d x k l t2. [l \leq d; size t2 \leq s]$ 
     $\implies mtp(x + d + k) (Raise l k t2) = mtp(x + d) t2;$ 
   $[l \leq d; size t \leq Suc s; t = \lambda[t1] \bullet t2]$ 
     $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  fix  $t1 t2 d x s$  and  $k l :: nat$ 
  assume  $l: l \leq d$  and  $s: size t \leq Suc s$  and  $t: t = \lambda[t1] \bullet t2$ 
  assume  $ind: \bigwedge d x k l N. [l \leq d; size N \leq s]$ 

```

```

 $\implies mtp(x + d + k) (Raise l k N) = mtp(x + d) N$ 
show  $mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  have 1:  $size t1 \leq s \wedge size t2 \leq s$ 
    using  $s t$  by auto
  have  $mtp(x + d + k) (Raise l k t) =$ 
     $mtp(Suc(x + d + k)) (Raise(Suc l) k t1) +$ 
     $mtp(x + d + k) (Raise l k t2) * max 1 (mtp 0 (Raise(Suc l) k t1))$ 
    using  $t l$  by simp
  also have ... =  $mtp(Suc(x + d + k)) (Raise(Suc l) k t1) +$ 
     $mtp(x + d) t2 * max 1 (mtp 0 (Raise(Suc l) k t1))$ 
    using  $l 1$  ind by auto
  also have ... =  $mtp(x + Suc d) t1 + mtp(x + d) t2 * max 1 (mtp 0 t1)$ 
  proof -
    have  $mtp(x + Suc d + k) (Raise(Suc l) k t1) = mtp(x + Suc d) t1$ 
      using  $l 1$  ind [of Suc l Suc d t1] by simp
    moreover have  $mtp 0 (Raise(Suc l) k t1) = mtp 0 t1$ 

      using  $l 1$  ind [of Suc l Suc d t1 k] mtpE-eq-Raise by simp
      ultimately show ?thesis
        by simp
      qed
      also have ... =  $mtp(x + d) t$ 
        using  $t$  by auto
        finally show ?thesis by blast
      qed
    qed
  qed
  qed
lemma mtp-Raise:
assumes  $l \leq d$ 
shows  $mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
  using assms mtp-Raise-ind by blast

lemma mtp-Raise':
shows  $mtp l (Raise l (Suc k) t) = 0$ 
  by (induct t arbitrary: k l) auto

lemma mtp-raise:
shows  $mtp(x + Suc d) (raise d t) = mtp(Suc x) t$ 
  by (metis Suc-eq-plus1 add.assoc le-add2 le-add-same-cancel2 mtp-Raise plus-1-eq-Suc)

lemma mtp-Subst-cancel:
shows  $mtp k (Subst(Suc d + k) u t) = mtp k t$ 
proof (induct t arbitrary: k d)
  show  $\bigwedge k d. mtp k (Subst(Suc d + k) u \sharp) = mtp k \sharp$ 
    by simp
  show  $\bigwedge k z d. mtp k (Subst(Suc d + k) u \langle z \rangle) = mtp k \langle z \rangle$ 
```

```

using mtp-Raise'
apply auto
by (metis add-Suc-right add-Suc-shift order-refl raise-plus)
show  $\bigwedge t k d. (\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t) = mtp k t)$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u \lambda[t]) = mtp k \lambda[t]$ 
by (metis Subst.simps(3) add-Suc-right mtp.simps(3))
show  $\bigwedge t1 t2 k d.$ 
 $\llbracket \bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t1) = mtp k t1;$ 
 $\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t2) = mtp k t2 \rrbracket$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u (t1 \circ t2)) = mtp k (t1 \circ t2)$ 
by auto
show  $\bigwedge t1 t2 k d.$ 
 $\llbracket \bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t1) = mtp k t1;$ 
 $\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t2) = mtp k t2 \rrbracket$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u (\lambda[t1] \bullet t2)) = mtp k (\lambda[t1] \bullet t2)$ 
using mtp-Raise'
apply auto
by (metis Nat.add-0-right add-Suc-right)
qed

```

```

lemma mtp0-Subst-cancel:
shows mtp 0 (\text{Subst} (\text{Suc } d) u t) = mtp 0 t
using mtp-Subst-cancel [of 0] by simp

```

We can now (!) prove the desired generalization of de Vrijer's formula for the commutation of multiplicity and substitution. This is the main lemma whose form is difficult to find. To get this right, the proper relationships have to exist between the various depth parameters to *Subst* and the arguments to *mtp*.

```

lemma mtp-Subst':
shows mtp (x + Suc d) (\text{Subst } d u t) = mtp (x + Suc (Suc d)) t + mtp (Suc x) u * mtp d t
proof (induct t arbitrary: d x u)
show  $\bigwedge d x u. mtp (x + Suc d) (\text{Subst } d u \sharp) =$ 
 $mtp (x + Suc (Suc d)) \sharp + mtp (Suc x) u * mtp d \sharp$ 
by simp
show  $\bigwedge z d x u. mtp (x + Suc d) (\text{Subst } d u \langle\langle z \rangle\rangle) =$ 
 $mtp (x + Suc (Suc d)) \langle\langle z \rangle\rangle + mtp (Suc x) u * mtp d \langle\langle z \rangle\rangle$ 
using mtp-raise by auto
show  $\bigwedge t d x u.$ 
 $(\bigwedge d x u. mtp (x + Suc d) (\text{Subst } d u t) =$ 
 $mtp (x + Suc (Suc d)) t + mtp (Suc x) u * mtp d t)$ 
 $\implies mtp (x + Suc d) (\text{Subst } d u \lambda[t]) =$ 
 $mtp (x + Suc (Suc d)) \lambda[t] + mtp (Suc x) u * mtp d \lambda[t]$ 
proof -
fix t u d x
assume ind:  $\bigwedge d x N. mtp (x + Suc d) (\text{Subst } d N t) =$ 
 $mtp (x + Suc (Suc d)) t + mtp (Suc x) N * mtp d t$ 
have mtp (x + Suc d) (\text{Subst } d u \lambda[t]) =
 $mtp (Suc x + Suc (Suc d)) t +$ 
 $mtp (x + Suc (Suc d)) (\text{raise} (\text{Suc } d) u) * mtp (\text{Suc } d) t$ 

```

```

using ind mtp-raise add-Suc-shift
by (metis Subst.simps(3) add-Suc-right mtp.simps(3))
also have ... = mtp (x + Suc (Suc d))  $\lambda[t]$  + mtp (Suc x) u * mtp d  $\lambda[t]$ 
  using Raise-Suc
  by (metis add-Suc-right add-Suc-shift mtp.simps(3) mtp-raise)
finally show mtp (x + Suc d) (Subst d u  $\lambda[t]$ ) =
  mtp (x + Suc (Suc d))  $\lambda[t]$  + mtp (Suc x) u * mtp d  $\lambda[t]$ 
  by blast
qed
show  $\bigwedge t1 t2 u d x$ .
   $\llbracket \bigwedge d x u. mtp (x + Suc d) (Subst d u t1) =$ 
    mtp (x + Suc (Suc d)) t1 + mtp (Suc x) u * mtp d t1;
   $\bigwedge d x u. mtp (x + Suc d) (Subst d u t2) =$ 
    mtp (x + Suc (Suc d)) t2 + mtp (Suc x) u * mtp d t2 $\rrbracket$ 
   $\implies mtp (x + Suc d) (Subst d u (t1 \circ t2)) =$ 
    mtp (x + Suc (Suc d)) (t1 \circ t2) + mtp (Suc x) u * mtp d (t1 \circ t2)
  by (simp add: add-mult-distrib2)
show  $\bigwedge t1 t2 u d x$ .
   $\llbracket \bigwedge d x N. mtp (x + Suc d) (Subst d N t1) =$ 
    mtp (x + Suc (Suc d)) t1 + mtp (Suc x) N * mtp d t1;
   $\bigwedge d x N. mtp (x + Suc d) (Subst d N t2) =$ 
    mtp (x + Suc (Suc d)) t2 + mtp (Suc x) N * mtp d t2 $\rrbracket$ 
   $\implies mtp (x + Suc d) (Subst d u (\lambda[t1] \bullet t2)) =$ 
    mtp (x + Suc (Suc d)) ( $\lambda[t1] \bullet t2$ ) + mtp (Suc x) u * mtp d ( $\lambda[t1] \bullet t2$ )
proof -
fix t1 t2 u d x
assume ind1:  $\bigwedge d x N. mtp (x + Suc d) (Subst d N t1) =$ 
  mtp (x + Suc (Suc d)) t1 + mtp (Suc x) N * mtp d t1
assume ind2:  $\bigwedge d x N. mtp (x + Suc d) (Subst d N t2) =$ 
  mtp (x + Suc (Suc d)) t2 + mtp (Suc x) N * mtp d t2
show mtp (x + Suc d) (Subst d u ( $\lambda[t1] \bullet t2$ )) =
  mtp (x + Suc (Suc d)) ( $\lambda[t1] \bullet t2$ ) + mtp (Suc x) u * mtp d ( $\lambda[t1] \bullet t2$ )
proof -
let ?A = mtp (Suc x + Suc (Suc d)) t1
let ?B = mtp (Suc x + Suc d) t2
let ?M1 = mtp (Suc d) t1
let ?M2 = mtp d t2
let ?M1_0 = mtp 0 (Subst (Suc d) u t1)
let ?M1_0' = mtp 0 t1
let ?N = mtp (Suc x) u
have mtp (x + Suc d) (Subst d u ( $\lambda[t1] \bullet t2$ )) =
  mtp (x + Suc d) ( $\lambda[Subst (Suc d) u t1] \bullet Subst d u t2$ )
  by simp
also have ... = mtp (x + Suc (Suc d)) (Subst (Suc d) u t1) +
  mtp (x + Suc d) (Subst d u t2) *
  max 1 (mtp 0 (Subst (Suc d) u t1))
  by simp
also have ... = (?A + ?N * ?M1) + (?B + ?N * ?M2) * max 1 ?M1_0
  using ind1 ind2 add-Suc-shift by presburger

```

```

also have ... = ?A + ?N * ?M1 + ?B * max 1 ?M1_0 + ?N * ?M2 * max 1 ?M1_0
  by algebra
also have ... = ?A + ?B * max 1 ?M1_0' + ?N * ?M1 + ?N * ?M2 * max 1 ?M1_0'
proof -
  have ?M1_0 = ?M1_0'

  using mtp0-Subst-cancel by blast
  thus ?thesis by auto
qed
also have ... = ?A + ?B * max 1 ?M1_0' + ?N * (?M1 + ?M2 * max 1 ?M1_0')
  by algebra
also have ... = mtp (Suc x + Suc d) ( $\lambda[t1] \bullet t2$ ) + mtp (Suc x) u * mtp d ( $\lambda[t1] \bullet t2$ )
  by simp
finally show ?thesis by simp
qed
qed
qed

```

The following lemma provides expansions that apply when the parameter to *mtp* is 0, as opposed to the previous lemma, which only applies for parameters greater than 0.

```

lemma mtp-Subst:
shows mtp k (Subst k u t) = mtp (Suc k) t + mtp k (raise k u) * mtp k t
proof (induct t arbitrary: u k)
  show  $\bigwedge u k. mtp k (\text{Subst } k u \sharp) = mtp (\text{Suc } k) \sharp + mtp k (\text{raise } k u) * mtp k \sharp$ 
    by simp
  show  $\bigwedge x u k. mtp k (\text{Subst } k u \langle\langle x \rangle\rangle) =$ 
    
$$mtp (\text{Suc } k) \langle\langle x \rangle\rangle + mtp k (\text{raise } k u) * mtp k \langle\langle x \rangle\rangle$$

    by auto
  show  $\bigwedge t u k. (\bigwedge u k. mtp k (\text{Subst } k u t) = mtp (\text{Suc } k) t + mtp k (\text{raise } k u) * mtp k t)$ 
    
$$\implies mtp k (\text{Subst } k u \lambda[t]) =$$

    
$$mtp (\text{Suc } k) \lambda[t] + mtp k (\text{Raise } 0 k u) * mtp k \lambda[t]$$

  using mtp-Raise [of 0]
  apply auto
  by (metis add.left-neutral)
show  $\bigwedge t1 t2 u k.$ 

$$\llbracket \bigwedge u k. mtp k (\text{Subst } k u t1) = mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1;$$


$$\bigwedge u k. mtp k (\text{Subst } k u t2) = mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2 \rrbracket$$


$$\implies mtp k (\text{Subst } k u (t1 \circ t2)) =$$


$$mtp (\text{Suc } k) (t1 \circ t2) + mtp k (\text{raise } k u) * mtp k (t1 \circ t2)$$

  by (auto simp add: distrib-left)
show  $\bigwedge t1 t2 u k.$ 

$$\llbracket \bigwedge u k. mtp k (\text{Subst } k u t1) = mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1;$$


$$\bigwedge u k. mtp k (\text{Subst } k u t2) = mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2 \rrbracket$$


$$\implies mtp k (\text{Subst } k u (\lambda[t1] \bullet t2)) =$$


$$mtp (\text{Suc } k) (\lambda[t1] \bullet t2) + mtp k (\text{raise } k u) * mtp k (\lambda[t1] \bullet t2)$$

proof -
  fix t1 t2 u k
  assume ind1:  $\bigwedge u k. mtp k (\text{Subst } k u t1) =$ 
    
$$mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1$$


```

```

assume ind2:  $\bigwedge u k. mtp k (\text{Subst } k u t2) =$ 
 $mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2$ 
show  $mtp k (\text{Subst } k u (\lambda[t1] \bullet t2)) =$ 
 $mtp (\text{Suc } k) (\lambda[t1] \bullet t2) + mtp k (\text{raise } k u) * mtp k (\lambda[t1] \bullet t2)$ 
proof –
  have  $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 
 $(mtp (\text{Suc } k) t2 + mtp k (\text{Raise } 0 k u) * mtp k t2) * \max (\text{Suc } 0) (mtp 0 t1) =$ 
 $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 
 $mtp k (\text{Raise } 0 k u) * (mtp (\text{Suc } k) t1 + mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1))$ 
proof –
  have  $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 
 $(mtp (\text{Suc } k) t2 + mtp k (\text{Raise } 0 k u) * mtp k t2) * \max (\text{Suc } 0) (mtp 0 t1) =$ 
 $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 
 $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 
 $mtp k (\text{Raise } 0 k u) * mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1)$ 
  by algebra
  also have ... =  $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 
 $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 
 $mtp 0 u * mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1)$ 
  using mtp-Raise [of 0 0 k u] by auto
  also have ... =  $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 
 $mtp k (\text{Raise } 0 k u) *$ 
 $(mtp (\text{Suc } k) t1 + mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1))$ 
  by (metis (no-types, lifting) ab-semigroup-add-class.add-ac(1)
    ab-semigroup-mult-class.mult-ac(1) add-mult-distrib2 le-add1 mtp-Raise
    plus-nat.add-0)
  finally show ?thesis by blast
qed
thus ?thesis
  using ind1 ind2 mtp0-Subst-cancel by auto
qed
qed
qed

```

```

lemma mtp0-subst-le:
shows  $mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
proof (cases t)
  show  $t = \# \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
    by auto
  show  $\bigwedge z. t = \langle\langle z \rangle\rangle \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
    using Raise-0 by force
  show  $\bigwedge P. t = \lambda[P] \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
    using mtp-Subst [of 0 u t] Raise-0 by force
  show  $\bigwedge t1 t2. t = t1 \circ t2 \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
    using mtp-Subst Raise-0 add-mult-distrib2 nat-mult-max-right by auto
  show  $\bigwedge t1 t2. t = \lambda[t1] \bullet t2 \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$ 
    using mtp-Subst Raise-0
    by (metis Nat.add-0-right dual-order.eq-iff max-def mult.commute mult-zero-left
      not-less-eq-eq plus-1-eq-Suc trans-le-add1)

```

qed

```

lemma elementary-reduction-nonincreases-mtp:
shows [[elementary-reduction u; u ⊑ t] ⇒ mtp x (resid t u) ≤ mtp x t
proof (induct t arbitrary: u x)
  show ∀u x. [[elementary-reduction u; u ⊑ #] ⇒ mtp x (resid # u) ≤ mtp x #
    by simp
  show ∀x u i. [[elementary-reduction u; u ⊑ «i»]
    ⇒ mtp x (resid «i» u) ≤ mtp x «i»
    by (meson Ide.simps(2) elementary-reduction-not-ide ide-backward-stable ide-char
      subs-implies-prfx)
  fix u
  show ∀t x. [[∀u x. [[elementary-reduction u; u ⊑ t] ⇒ mtp x (resid t u) ≤ mtp x t;
    elementary-reduction u; u ⊑ λ[t]]]
    ⇒ mtp x (λ[t] \ u) ≤ mtp x λ[t]
    by (cases u) auto
  show ∀t1 t2 x.
    [[∀u x. [[elementary-reduction u; u ⊑ t1] ⇒ mtp x (resid t1 u) ≤ mtp x t1;
      ∀u x. [[elementary-reduction u; u ⊑ t2] ⇒ mtp x (resid t2 u) ≤ mtp x t2;
      elementary-reduction u; u ⊑ t1 ○ t2]]
      ⇒ mtp x (resid (t1 ○ t2) u) ≤ mtp x (t1 ○ t2)
    apply (cases u)
    apply auto
    apply (metis Coinitial-iff-Con add-mono-thms-linordered-semiring(3) resid-Arr-Ide)
    by (metis Coinitial-iff-Con add-mono-thms-linordered-semiring(2) resid-Arr-Ide)

  show ∀t1 t2 x.
    [[∀u1 x. [[elementary-reduction u1; u1 ⊑ t1] ⇒ mtp x (resid t1 u1) ≤ mtp x t1;
      ∀u2 x. [[elementary-reduction u2; u2 ⊑ t2] ⇒ mtp x (resid t2 u2) ≤ mtp x t2;
      elementary-reduction u; u ⊑ λ[t1] • t2]]
      ⇒ mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2)
    proof –
      fix t1 t2 x
      assume ind1: ∀u1 x. [[elementary-reduction u1; u1 ⊑ t1]
        ⇒ mtp x (t1 \ u1) ≤ mtp x t1
      assume ind2: ∀u2 x. [[elementary-reduction u2; u2 ⊑ t2]
        ⇒ mtp x (t2 \ u2) ≤ mtp x t2
      assume u: elementary-reduction u
      assume subs: u ⊑ λ[t1] • t2
      have 1: is-App u ∨ is-Beta u
        using subs by (metis prfx-Beta-iff subs-implies-prfx)
      have is-App u ⇒ mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2)
      proof –
        assume 2: is-App u
        obtain u1 u2 where u1u2: u = λ[u1] ○ u2
          using 2 u
        by (metis ConD(3) Con-implies-is-Lam-iff-is-Lam Con-sym con-def is-App-def is-Lam-def
          lambda.disc(8) null-char prfx-implies-con subs subs-implies-prfx)
        have mtp x ((λ[t1] • t2) \ u) = mtp x (λ[t1] \ u1) • (t2 \ u2))

```

```

using u1u2 subs
by (metis Con-sym Ide.simps(1) ide-char resid.simps(6) subs-implies-prfx)
also have ... = mtp (Suc x) (resid t1 u1) +
    mtp x (resid t2 u2) * max 1 (mtp 0 (resid t1 u1))
by simp
also have ... ≤ mtp (Suc x) t1 + mtp x (resid t2 u2) * max 1 (mtp 0 (resid t1 u1))
using u1u2 ind1 [of u1 Suc x] con-sym ide-char resid-arr-ide prfx-implies-con
    subs subs-implies-prfx u
by force
also have ... ≤ mtp (Suc x) t1 + mtp x t2 * max 1 (mtp 0 (resid t1 u1))
using u1u2 ind2 [of u2 x]
by (metis (no-types, lifting) Con-implies-Coinitial-ind add-left-mono
    dual-order.eq-iff elementary-reduction.simps(4) lambda.disc(11)
    mult-le-cancel2 prfx-App-iff resid.simps(31) resid-Arr-Ide subs subs.simps(4)
    subs-implies-prfx u)
also have ... ≤ mtp (Suc x) t1 + mtp x t2 * max 1 (mtp 0 t1)
using ind1 [of u1 0]
by (metis Con-implies-Coinitial-ind Ide.simps(3) elementary-reduction.simps(3)
    elementary-reduction.simps(4) lambda.disc(11) max.mono mult-le-mono
    nat-add-left-cancel-le nat-le-linear prfx-App-iff resid.simps(31) resid-Arr-Ide
    subs subs.simps(4) subs-implies-prfx u u1u2)
also have ... = mtp x (λ[t1] • t2)
by auto
finally show mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2) by blast
qed
moreover have is-Beta u ==> mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2)
proof -
  assume 2: is-Beta u
  obtain u1 u2 where u1u2: u = λ[u1] • u2
  using 2 u is-Beta-def by auto
  have mtp x ((λ[t1] • t2) \ u) = mtp x (subst (t2 \ u2) (t1 \ u1))
  using u1u2 subs
  by (metis con-def con-sym null-char prfx-implies-con resid.simps(4) subs-implies-prfx)
  also have ... ≤ mtp (Suc x) (resid t1 u1) +
    mtp x (resid t2 u2) * max 1 (mtp 0 (resid t1 u1))
  apply (cases x = 0)
  using mtp0-subst-le Raise-0 mtp-Subst' [of x = 0 resid t2 u2 resid t1 u1]
  by auto
  also have ... ≤ mtp (Suc x) t1 + mtp x t2 * max 1 (mtp 0 t1)
  using ind1 ind2
  apply simp
  by (metis Coinitial-iff-Con Ide.simps(1) dual-order.eq-iff elementary-reduction.simps(5)
      ide-char resid.simps(4) resid-Arr-Ide subs subs-implies-prfx u u1u2)
  also have ... = mtp x (λ[t1] • t2)
  by simp
  finally show mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2) by blast
qed
ultimately show mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2)
using 1 by blast

```

```
qed
qed
```

Next we define the “height” of a term. This counts the number of steps in a development of maximal length of the given term.

```
fun hgt
where hgt # = 0
| hgt ``-`` = 0
| hgt  $\lambda[t]$  = hgt t
| hgt (t o u) = hgt t + hgt u
| hgt ( $\lambda[t] \bullet u$ ) = Suc (hgt t + hgt u * max 1 (mtp 0 t))

lemma hgt-resid-ide:
shows  $\llbracket \text{ide } u; u \sqsubseteq t \rrbracket \implies \text{hgt}(\text{resid } t u) \leq \text{hgt } t$ 
by (metis con-sym eq-imp-le resid-arr-ide prfx-implies-con subs-implies-prfx)

lemma hgt-Raise:
shows hgt (Raise l k t) = hgt t
using mtpE-eq-Raise
by (induct t arbitrary: l k) auto

lemma hgt-Subst:
shows Arr u  $\implies$  hgt (Subst k u t) = hgt t + hgt u * mtp k t
proof (induct t arbitrary: u k)
show  $\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u \#) = \text{hgt } \# + \text{hgt } u * \text{mtp } k \#$ 
by simp
show  $\bigwedge_x u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u ``x") = \text{hgt } ``x" + \text{hgt } u * \text{mtp } k ``x"$ 
using hgt-Raise by auto
show  $\bigwedge_t u k. [\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t) = \text{hgt } t + \text{hgt } u * \text{mtp } k t; \text{Arr } u]$ 
 $\implies \text{hgt}(\text{Subst } k u \lambda[t]) = \text{hgt } \lambda[t] + \text{hgt } u * \text{mtp } k \lambda[t]$ 
by auto
show  $\bigwedge_{t1 t2 u k} [\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1;$ 
 $\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2; \text{Arr } u]$ 
 $\implies \text{hgt}(\text{Subst } k u (t1 o t2)) = \text{hgt } (t1 o t2) + \text{hgt } u * \text{mtp } k (t1 o t2)$ 
by (simp add: distrib-left)
show  $\bigwedge_{t1 t2 u k} [\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1;$ 
 $\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2; \text{Arr } u]$ 
 $\implies \text{hgt}(\text{Subst } k u (\lambda[t1] \bullet t2)) = \text{hgt } (\lambda[t1] \bullet t2) + \text{hgt } u * \text{mtp } k (\lambda[t1] \bullet t2)$ 
proof -
fix t1 t2 u k
assume ind1:  $\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1$ 
assume ind2:  $\bigwedge_u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2$ 
assume u: Arr u
show hgt (Subst k u ( $\lambda[t1] \bullet t2$ )) = hgt ( $\lambda[t1] \bullet t2$ ) + hgt u * mtp k ( $\lambda[t1] \bullet t2$ )
proof -
have hgt (Subst k u ( $\lambda[t1] \bullet t2$ )) =
Suc (hgt (Subst (Suc k) u t1) +
```

```

    hgt (Subst k u t2) * max 1 (mtp 0 (Subst (Suc k) u t1)))
  by simp
also have ... = Suc ((hgt t1 + hgt u * mtp (Suc k) t1) +
  (hgt t2 + hgt u * mtp k t2) * max 1 (mtp 0 (Subst (Suc k) u t1)))
  using u ind1 [of u Suc k] ind2 [of u k] by simp
also have ... = Suc (hgt t1 + hgt t2 * max 1 (mtp 0 (Subst (Suc k) u t1)) +
  hgt u * mtp (Suc k) t1) +
  hgt u * mtp k t2 * max 1 (mtp 0 (Subst (Suc k) u t1))
  using comm-semiring-class.distrib by force
also have ... = Suc (hgt t1 + hgt t2 * max 1 (mtp 0 (Subst (Suc k) u t1)) +
  hgt u * (mtp (Suc k) t1 +
  mtp k t2 * max 1 (mtp 0 (Subst (Suc k) u t1))))
  by (simp add: distrib-left)
also have ... = Suc (hgt t1 + hgt t2 * max 1 (mtp 0 t1) +
  hgt u * (mtp (Suc k) t1 +
  mtp k t2 * max 1 (mtp 0 t1)))
proof -
  have mtp 0 (Subst (Suc k) u t1) = mtp 0 t1
  using mtp0-Subst-cancel by auto
  thus ?thesis by simp
qed
also have ... = hgt ( $\lambda[t1] \bullet t2$ ) + hgt u * mtp k ( $\lambda[t1] \bullet t2$ )
  by simp
finally show ?thesis by blast
qed
qed
qed

lemma elementary-reduction-decreases-hgt:
shows [[elementary-reduction u; u ⊑ t]]  $\implies$  hgt (t \ u) < hgt t
proof (induct t arbitrary: u)
  show  $\bigwedge u$ . [[elementary-reduction u; u ⊑ \]]  $\implies$  hgt (\ \ u) < hgt \
  by simp
  show  $\bigwedge u x$ . [[elementary-reduction u; u ⊑ «x»]]  $\implies$  hgt («x» \ u) < hgt «x»
  using Ide.simps(2) elementary-reduction-not-ide ide-backward-stable ide-char
    subs-implies-prfx
  by blast
  show  $\bigwedge t u$ . [[ $\bigwedge u$ . [[elementary-reduction u; u ⊑ t]]  $\implies$  hgt (t \ u) < hgt t;
    elementary-reduction u; u ⊑  $\lambda[t]$ ]
     $\implies$  hgt ( $\lambda[t]$  \ u) < hgt  $\lambda[t]$ ]
proof -
  fix t u
  assume ind:  $\bigwedge u$ . [[elementary-reduction u; u ⊑ t]]  $\implies$  hgt (t \ u) < hgt t
  assume u: elementary-reduction u
  assume subs: u ⊑  $\lambda[t]$ 
  show hgt ( $\lambda[t]$  \ u) < hgt  $\lambda[t]$ 
  using u subs ind
  apply (cases u)
  apply simp-all

```

```

    by fastforce
qed
show  $\bigwedge t_1 t_2 u$ .
 $\llbracket \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_1] \implies \text{hgt}(t_1 \setminus u) < \text{hgt } t_1;$ 
 $\llbracket \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_2] \implies \text{hgt}(t_2 \setminus u) < \text{hgt } t_2;$ 
 $\llbracket \text{elementary-reduction } u; u \sqsubseteq t_1 \circ t_2 \rrbracket$ 
 $\implies \text{hgt}((t_1 \circ t_2) \setminus u) < \text{hgt}(t_1 \circ t_2)$ 
proof -
fix  $t_1 t_2 u$ 
assume  $ind1: \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_1] \implies \text{hgt}(t_1 \setminus u) < \text{hgt } t_1$ 
assume  $ind2: \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_2] \implies \text{hgt}(t_2 \setminus u) < \text{hgt } t_2$ 
assume  $u: \text{elementary-reduction } u$ 
assume  $subs: u \sqsubseteq t_1 \circ t_2$ 
show  $\text{hgt}((t_1 \circ t_2) \setminus u) < \text{hgt}(t_1 \circ t_2)$ 
using  $u$   $subs$   $ind1$   $ind2$ 
apply (cases  $u$ )
apply simp-all
by (metis add-le-less-mono add-less-le-mono hgt-resid-ide ide-char not-less0
      zero-less-iff-neq-zero)
qed
show  $\bigwedge t_1 t_2 u$ .
 $\llbracket \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_1] \implies \text{hgt}(t_1 \setminus u) < \text{hgt } t_1;$ 
 $\llbracket \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_2] \implies \text{hgt}(t_2 \setminus u) < \text{hgt } t_2;$ 
 $\llbracket \text{elementary-reduction } u; u \sqsubseteq \lambda[t_1] \bullet t_2 \rrbracket$ 
 $\implies \text{hgt}((\lambda[t_1] \bullet t_2) \setminus u) < \text{hgt}(\lambda[t_1] \bullet t_2)$ 
proof -
fix  $t_1 t_2 u$ 
assume  $ind1: \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_1] \implies \text{hgt}(t_1 \setminus u) < \text{hgt } t_1$ 
assume  $ind2: \bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t_2] \implies \text{hgt}(t_2 \setminus u) < \text{hgt } t_2$ 
assume  $u: \text{elementary-reduction } u$ 
assume  $subs: u \sqsubseteq \lambda[t_1] \bullet t_2$ 
have is-App  $u \vee$  is-Beta  $u$ 
using  $subs$  by (metis prfx-Beta-iff subs-implies-prfx)
moreover have is-App  $u \implies \text{hgt}((\lambda[t_1] \bullet t_2) \setminus u) < \text{hgt}(\lambda[t_1] \bullet t_2)$ 
proof -
fix  $u_1 u_2$ 
assume  $0: \text{is-App } u$ 
obtain  $u_1 u_1' u_2$  where  $1: u = u_1 \circ u_2 \wedge u_1 = \lambda[u_1']$ 
using  $u$   $0$ 
by (metis ConD(3) Con-implies-is-Lam-iff-is-Lam Con-sym con-def is-App-def is-Lam-def
      null-char prfx-implies-con subs subs-implies-prfx)
have  $\text{hgt}((\lambda[t_1] \bullet t_2) \setminus u) = \text{hgt}((\lambda[t_1] \bullet t_2) \setminus (u_1 \circ u_2))$ 
using  $1$  by simp
also have ... =  $\text{hgt}(\lambda[t_1 \setminus u_1] \bullet t_2 \setminus u_2)$ 
by (metis 1 Con-sym Ide.simps(1) ide-char resid.simps(6) subs subs-implies-prfx)
also have ... =  $\text{Suc}(\text{hgt}(t_1 \setminus u_1') + \text{hgt}(t_2 \setminus u_2) * \max(\text{Suc } 0)(\text{mtp } 0(t_1 \setminus u_1')))$ 
by auto
also have ... <  $\text{hgt}(\lambda[t_1] \bullet t_2)$ 
proof -

```

```

have elementary-reduction (un-App1 u) ∧ ide (un-App2 u) ∨
    ide (un-App1 u) ∧ elementary-reduction (un-App2 u)
using u 1 elementary-reduction-App-iff [of u] by simp
moreover have elementary-reduction (un-App1 u) ∧ ide (un-App2 u) ==> ?thesis
proof -
  assume 2: elementary-reduction (un-App1 u) ∧ ide (un-App2 u)
  have elementary-reduction u1' ∧ ide (un-App2 u)
    using 1 2 u elementary-reduction-Lam-iff by force
  moreover have mtp 0 (t1 \ u1') ≤ mtp 0 t1
    using 1 calculation elementary-reduction-nonincreases-mtp subs
      subs.simps(4)
    by blast
  moreover have mtp 0 (t2 \ u2) ≤ mtp 0 t2
    using 1 hgt-resid-ide [of u2 t2]
    by (metis calculation(1) con-sym eq-refl resid-arr-ide lambda.sel(4)
        prfx-implies-con subs subs.simps(4) subs-implies-prfx)
  ultimately show ?thesis
    using 1 2 ind1 [of u1'] hgt-resid-ide
    apply simp
    by (metis 1 Suc-le-mono <mtp 0 (t1 \ u1') ≤ mtp 0 t1> add-less-le-mono
        le-add1 le-add-same-cancel1 max.mono mult-le-mono subs subs.simps(4))
qed
moreover have ide (un-App1 u) ∧ elementary-reduction (un-App2 u) ==> ?thesis
proof -
  assume 2: ide (un-App1 u) ∧ elementary-reduction (un-App2 u)
  have ide (un-App1 u) ∧ elementary-reduction u2
    using 1 2 u elementary-reduction-Lam-iff by force
  moreover have mtp 0 (t1 \ u1') ≤ mtp 0 t1
    using 1 hgt-resid-ide [of u1' t1]
    by (metis Ide.simps(3) calculation con-sym eq-refl ide-char resid-arr-ide
        lambda.sel(3) prfx-implies-con subs subs.simps(4) subs-implies-prfx)
  moreover have mtp 0 (t2 \ u2) ≤ mtp 0 t2
    using 1 elementary-reduction-nonincreases-mtp subs calculation(1) subs.simps(4)
    by blast
  ultimately show ?thesis
    using 1 2 ind2 [of u2]
    apply simp
    by (metis Coinitial-iff-Con Ide-iff-Src-self Nat.add-0-right add-le-less-mono
        ide-char Ide.simps(1) subs.simps(4) le-add1 max-nat.neutr-eq-iff
        mult-less-cancel2 nat.distinct(1) neq0-conv resid-Arr-Src subs
        subs-implies-prfx)
qed
ultimately show ?thesis by blast
qed
also have ... = Suc (hgt t1 + hgt t2 * max 1 (mtp 0 t1))
  by simp
also have ... = hgt (λ[t1] • t2)
  by simp
finally show hgt ((λ[t1] • t2) \ u) < hgt (λ[t1] • t2)

```

```

    by blast
qed
moreover have is-Beta u ==> hgt (( $\lambda$ [t1] • t2) \ u) < hgt ( $\lambda$ [t1] • t2)
proof -
  fix u1 u2
  assume 0: is-Beta u
  obtain u1 u2 where 1: u =  $\lambda$ [u1] • u2
    using u 0 by (metis lambda.collapse(4))
  have hgt (( $\lambda$ [t1] • t2) \ u) = hgt (( $\lambda$ [t1] • t2) \ ( $\lambda$ [u1] • u2))
    using 1 by simp
  also have ... = hgt (subst (resid t2 u2) (resid t1 u1))
    by (metis 1 con-def con-sym null-char prfx-implies-con resid.simps(4)
         subs subs-implies-prfx)
  also have ... = hgt (resid t1 u1) + hgt (resid t2 u2) * mtp 0 (resid t1 u1)
  proof -
    have Arr (resid t2 u2)
      by (metis 1 Coinitial-resid-resid Con-sym Ide.simps(1) ide-char resid.simps(4)
           subs subs-implies-prfx)
    thus ?thesis
      using hgt-Subst [of resid t2 u2 0 resid t1 u1] by simp
  qed
  also have ... < hgt ( $\lambda$ [t1] • t2)
  proof -
    have ide u1 ∧ ide u2
    using u 1 elementary-reduction-Beta-iff [of u] by auto
    thus ?thesis
      using 1 hgt-resid-ide
      by (metis add-le-mono con-sym hgt.simps(5) resid-arr-ide less-Suc-eq-le
           max.cobounded2 nat-mult-max-right prfx-implies-con subs subs.simps(5)
           subs-implies-prfx)
  qed
  finally show hgt (( $\lambda$ [t1] • t2) \ u) < hgt ( $\lambda$ [t1] • t2)
    by blast
qed
ultimately show hgt (( $\lambda$ [t1] • t2) \ u) < hgt ( $\lambda$ [t1] • t2) by blast
qed
qed
end

```

context reduction-paths
begin

```

lemma length-devel-le-hgt:
  shows development t U ==> length U ≤ Λ.hgt t
    using Λ.elementary-reduction-decreases-hgt
    by (induct U arbitrary: t, auto, fastforce)

```

We finally arrive at the main result of this section: the Finite Developments Theorem.

```

theorem finite-developments:
shows FD t
  using length-devel-le-hgt [of t] FD-def by auto

```

3.4.2 Complete Developments

A *complete development* is a development in which there are no residuals of originally marked redexes left to contract.

```

definition complete-development
where complete-development t U  $\equiv$  development t U  $\wedge$  ( $\Lambda.\text{Ide } t \vee [t] * \lesssim^* U$ )

lemma complete-development-Ide-iff:
shows complete-development t U  $\implies$   $\Lambda.\text{Ide } t \longleftrightarrow U = []$ 
  using complete-development-def development-Ide Ide.simps(1) ide-char
  by (induct t) auto

lemma complete-development-cons:
assumes complete-development t (u # U)
shows complete-development (t \ u) U
  using assms complete-development-def
  by (metis Ide.simps(1) Ide.simps(2) Resid-rec(1) Resid-rec(3)
    complete-development-Ide-iff ide-char development.simps(2)
     $\Lambda.\text{ide-char list.simps}(3)$ )

lemma complete-development-cong:
shows [[complete-development t U;  $\neg \Lambda.\text{Ide } t]] \implies [t] * \sim^* U$ 
  using complete-development-def development-implies
  by (induct U) auto

lemma complete-developments-cong:
assumes  $\neg \Lambda.\text{Ide } t$  and complete-development t U and complete-development t V
shows U *  $\sim^*$  V
  using assms complete-development-cong [of t] cong-symmetric cong-transitive
  by blast

lemma Trgs-complete-development:
shows [[complete-development t U;  $\neg \Lambda.\text{Ide } t]] \implies \text{Trgs } U = \{\Lambda.\text{Trg } t\}$ 
  using complete-development-cong Ide.simps(1) Srcs-Resid Trgs.simps(2)
    Trgs-Resid-sym ide-char complete-development-def development-imp-Arr  $\Lambda.\text{targets-char}_\Lambda$ 
  apply simp
  by (metis Srcs-Resid Trgs.simps(2) con-char ide-def)

```

Now that we know all developments are finite, it is easy to construct a complete development by an iterative process that at each stage contracts one of the remaining marked redexes at each stage. It is also possible to construct a complete development by structural induction without using the finite developments property, but it is more work to prove the correctness.

```
fun (in lambda-calculus) bottom-up-redex
```

```

where bottom-up-redex  $\sharp = \sharp$ 
| bottom-up-redex « $x$ » = « $x$ »
| bottom-up-redex  $\lambda[M] = \lambda[\text{bottom-up-redex } M]$ 
| bottom-up-redex  $(M \circ N) =$ 
  (if  $\neg \text{Ide } M$  then bottom-up-redex  $M \circ \text{Src } N$  else  $M \circ \text{bottom-up-redex } N$ )
| bottom-up-redex  $(\lambda[M] \bullet N) =$ 
  (if  $\neg \text{Ide } M$  then  $\lambda[\text{bottom-up-redex } M] \circ \text{Src } N$ 
   else if  $\neg \text{Ide } N$  then  $\lambda[M] \circ \text{bottom-up-redex } N$ 
   else  $\lambda[M] \bullet N$ )

```

lemma (in lambda-calculus) elementary-reduction-bottom-up-redex:
shows $\llbracket \text{Arr } t; \neg \text{Ide } t \rrbracket \implies \text{elementary-reduction}(\text{bottom-up-redex } t)$
using Ide-Src
by (induct t) auto

lemma (in lambda-calculus) subs-bottom-up-redex:
shows $\text{Arr } t \implies \text{bottom-up-redex } t \sqsubseteq t$
apply (induct t)
apply auto[3]
apply (metis Arr.simps(4) Ide.simps(4) Ide-Src Ide-iff-Src-self Ide-implies-Arr
 bottom-up-redex.simps(4) ide-char lambda.disc(14) lambda.sel(3) lambda.sel(4)
 subs-App subs-*Ide*)
by (metis Arr.simps(5) Ide-Src Ide-iff-Src-self Ide-implies-Arr bottom-up-redex.simps(5)
 ide-char subs.simps(4) subs.simps(5) subs-*Ide*)

function (sequential) bottom-up-development
where bottom-up-development $t =$
 (*if* $\neg \Lambda.\text{Arr } t \vee \Lambda.\text{Ide } t$ *then* []
else $\Lambda.\text{bottom-up-redex } t \# (\text{bottom-up-development}(t \setminus \Lambda.\text{bottom-up-redex } t))$)
by pat-completeness auto

termination bottom-up-development
using $\Lambda.\text{elementary-reduction-decreases-hgt } \Lambda.\text{elementary-reduction-bottom-up-redex}$
 $\Lambda.\text{subs-bottom-up-redex}$
by (relation measure $\Lambda.\text{hgt}$) auto

lemma complete-development-bottom-up-development-ind:
shows $\llbracket \Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n \rrbracket$
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$
proof (induct n arbitrary: t)
 show $\bigwedge t. \llbracket \Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq 0 \rrbracket$
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$
using complete-development-def development-Ide **by** auto
 show $\bigwedge n t. \llbracket \bigwedge t. \llbracket \Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n \rrbracket$
 $\implies \text{complete-development } t (\text{bottom-up-development } t);$
 $\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq \text{Suc } n \rrbracket$
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$
proof –
 fix n t

```

assume  $t: \Lambda.\text{Arr } t$ 
assume  $n: \text{length}(\text{bottom-up-development } t) \leq \text{Suc } n$ 
assume  $\text{ind}: \bigwedge t. [\![\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n]\!] \implies \text{complete-development } t (\text{bottom-up-development } t)$ 
show  $\text{complete-development } t (\text{bottom-up-development } t)$ 
proof (cases bottom-up-development t)
  show  $\text{bottom-up-development } t = [] \implies ?\text{thesis}$ 
  using  $\text{ind } t$  by force
  fix  $u U$ 
  assume  $uU: \text{bottom-up-development } t = u \# U$ 
  have  $1: \Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t$ 
    using  $t uU$ 
    by (metis bottom-up-development.simps  $\Lambda.\text{elementary-reduction-bottom-up-redex}$ 
       $\text{list.inject list.simps}(3) \Lambda.\text{subs-bottom-up-redex}$ )
  moreover have  $\text{complete-development} (\Lambda.\text{resid } t u) U$ 
    using  $1 \text{ ind}$ 
    by (metis Suc-le-length-iff  $\Lambda.\text{arr-char}$   $\Lambda.\text{arr-resid-iff-con}$  bottom-up-development.simps
       $\text{list.discI list.inject n not-less-eq-eq}$   $\Lambda.\text{prfx-implies-con}$ 
       $\Lambda.\text{con-sym}$   $\Lambda.\text{subs-implies-prfx } uU$ )
  ultimately show  $??\text{thesis}$ 
    by (metis Con-sym Ide.simps(2)  $\text{Resid-rec}(1)$   $\text{Resid-rec}(3)$ 
       $\text{complete-development-Ide-iff}$   $\text{complete-development-def ide-char}$ 
       $\text{development.simps}(2)$   $\text{development-implies }$   $\Lambda.\text{ide-char}$   $\text{list.simps}(3) uU$ )
  qed
  qed
  qed

lemma  $\text{complete-development-bottom-up-development}:$ 
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{complete-development } t (\text{bottom-up-development } t)$ 
using assms  $\text{complete-development-bottom-up-development-ind}$  by blast

end

```

3.5 Reduction Strategies

```

context lambda-calculus
begin

```

A *reduction strategy* is a function taking an identity term to an arrow having that identity as its source.

```

definition reduction-strategy
where  $\text{reduction-strategy } f \longleftrightarrow (\forall t. \text{Ide } t \longrightarrow \text{Coinitial } (f t) t)$ 

```

The following defines the iterated application of a reduction strategy to an identity term.

```

fun reduce
where  $\text{reduce } f a 0 = a$ 
   $| \text{reduce } f a (\text{Suc } n) = \text{reduce } f (\text{Trg } (f a)) n$ 

```

```

lemma red-reduce:
assumes reduction-strategy f
shows Ide a  $\implies$  red a (reduce f a n)
apply (induct n arbitrary: a, auto)
apply (metis Ide-iff-Src-self Ide-iff-Trg-self Ide-implies-Arr red.simps)
by (metis Ide-Trg Ide-iff-Src-self assms red.intros(1) red.intros(2) reduction-strategy-def)

```

A reduction strategy is *normalizing* if iterated application of it to a normalizable term eventually yields a normal form.

```

definition normalizing-strategy
where normalizing-strategy f  $\longleftrightarrow$  ( $\forall a. \text{normalizable } a \longrightarrow (\exists n. \text{NF} (\text{reduce } f a n))$ )

```

end

```

context reduction-paths
begin

```

The following function constructs the reduction path that results by iterating the application of a reduction strategy to a term.

```

fun apply-strategy
where apply-strategy f a 0 = []
| apply-strategy f a (Suc n) = f a # apply-strategy f (Λ.Trg (f a)) n

lemma apply-strategy-gives-path-ind:
assumes Λ.reduction-strategy f
shows [Λ.Ide a; n > 0]  $\implies$  Arr (apply-strategy f a n)  $\wedge$ 
length (apply-strategy f a n) = n  $\wedge$ 
Src (apply-strategy f a n) = a  $\wedge$ 
Trg (apply-strategy f a n) = Λ.reduce f a n

proof (induct n arbitrary: a, simp)
fix n a
assume ind:  $\bigwedge a. [\Lambda.\text{Ide } a; 0 < n] \implies \text{Arr} (\text{apply-strategy } f a n) \wedge$ 
length (apply-strategy f a n) = n  $\wedge$ 
Src (apply-strategy f a n) = a  $\wedge$ 
Trg (apply-strategy f a n) = Λ.reduce f a n

assume a: Λ.Ide a
show Arr (apply-strategy f a (Suc n))  $\wedge$ 
length (apply-strategy f a (Suc n)) = Suc n  $\wedge$ 
Src (apply-strategy f a (Suc n)) = a  $\wedge$ 
Trg (apply-strategy f a (Suc n)) = Λ.reduce f a (Suc n)

proof (intro conjI)
have 1: Λ.Arr (f a)  $\wedge$  Λ.Src (f a) = a
using assms a Λ.reduction-strategy-def
by (metis Λ.Ide-iff-Src-self)
show Arr (apply-strategy f a (Suc n))
using 1 Arr.elims(3) ind Λ.targets-charΛ Λ.Ide-Trg by fastforce
show Src (apply-strategy f a (Suc n)) = a
by (simp add: 1)

```

```

show length (apply-strategy f a (Suc n)) = Suc n
  by (metis 1 Λ.Ide-Trg One-nat-def Suc-eq-plus1 ind list.size(3) list.size(4)
       neq0-conv apply-strategy.simps(1) apply-strategy.simps(2))
show Trg (apply-strategy f a (Suc n)) = Λ.reduce f a (Suc n)
proof (cases apply-strategy f (Λ.Trг (f a)) n = [])
  show apply-strategy f (Λ.Trг (f a)) n = [] ==> ?thesis
    using a 1 ind [of Λ.Trг (f a)] Λ.Ide-Trг Λ.targets-char_Λ by force
  assume 2: apply-strategy f (Λ.Trг (f a)) n ≠ []
  have Trг (apply-strategy f a (Suc n)) = Trг (apply-strategy f (Λ.Trг (f a)) n)
    using a 1 ind [of Λ.Trг (f a)]
    by (simp add: 2)
  also have ... = Λ.reduce f a (Suc n)
    using 1 2 Λ.Ide-Trг ind [of Λ.Trг (f a)] by fastforce
  finally show ?thesis by blast
qed
qed
qed

lemma apply-strategy-gives-path:
assumes Λ.reduction-strategy f and Λ.Ide a and n > 0
shows Arr (apply-strategy f a n)
and length (apply-strategy f a n) = n
and Src (apply-strategy f a n) = a
and Trг (apply-strategy f a n) = Λ.reduce f a n
  using assms apply-strategy-gives-path-ind by auto

lemma reduce-eq-Trг-apply-strategy:
assumes Λ.reduction-strategy S and Λ.Ide a
shows n > 0 ==> Λ.reduce S a n = Trг (apply-strategy S a n)
  using assms
  apply (induct n)
  apply simp-all
  by (metis Arr.simps(1) Trг-simp apply-strategy-gives-path-ind Λ.Ide-Trг
       Λ.reduce.simps(1) Λ.reduction-strategy-def Λ.trг-char neq0-conv
       apply-strategy.simps(1))

end

```

3.5.1 Parallel Reduction

```

context lambda-calculus
begin

```

Parallel reduction is the strategy that contracts all available redexes at each step.

```

fun parallel-strategy
where parallel-strategy «i» = «i»
  | parallel-strategy λ[t] = λ[parallel-strategy t]
  | parallel-strategy (λ[t] o u) = λ[parallel-strategy t] • parallel-strategy u
  | parallel-strategy (t o u) = parallel-strategy t o parallel-strategy u
  | parallel-strategy (λ[t] • u) = λ[parallel-strategy t] • parallel-strategy u

```

```

| parallel-strategy # = #

lemma parallel-strategy-is-reduction-strategy:
shows reduction-strategy parallel-strategy
proof (unfold reduction-strategy-def, intro allI impI)
fix t
show Ide t ==> Coinitial (parallel-strategy t) t
using Ide-implies-Arr
apply (induct t, auto)
by force+
qed

lemma parallel-strategy-Src-eq:
shows Arr t ==> parallel-strategy (Src t) = parallel-strategy t
by (induct t) auto

lemma subs-parallel-strategy-Src:
shows Arr t ==> t ⊑ parallel-strategy (Src t)
by (induct t) auto

end

context reduction-paths
begin

Parallel reduction is a universal strategy in the sense that every reduction path is
 $\lesssim^*$ -below the path generated by the parallel reduction strategy.

lemma parallel-strategy-is-universal:
shows [[n > 0; n ≤ length U; Arr U]]
      ==> take n U * $\lesssim^*$  apply-strategy Λ.parallel-strategy (Src U) n
proof (induct n arbitrary: U, simp)
fix n a and U :: Λ.lambda list
assume n: Suc n ≤ length U
assume U: Arr U
assume ind:  $\bigwedge U$ . [[0 < n; n ≤ length U; Arr U]]
      ==> take n U * $\lesssim^*$  apply-strategy Λ.parallel-strategy (Src U) n
have 1: take (Suc n) U = hd U # take n (tl U)
by (metis U Arr.simps(1) take-Suc)
have 2: hd U ⊑ Λ.parallel-strategy (Src U)
by (metis Arr-imp-arr-hd Con-single-ideI(2) Resid-Arr-Src Src-resid Srcs-simpΛP
Trg.simps(2) U Λ.source-is-ide Λ.trg-ide empty-set Λ.arr-char Λ.sources-charΛ
Λ.subs-parallel-strategy-Src list.set-intros(1) list.simps(15))
show take (Suc n) U * $\lesssim^*$  apply-strategy Λ.parallel-strategy (Src U) (Suc n)
proof (cases apply-strategy Λ.parallel-strategy (Src U) (Suc n))
show apply-strategy Λ.parallel-strategy (Src U) (Suc n) = [] ==>
take (Suc n) U * $\lesssim^*$  apply-strategy Λ.parallel-strategy (Src U) (Suc n)
by simp
fix v V
assume 3: apply-strategy Λ.parallel-strategy (Src U) (Suc n) = v # V

```

```

show take (Suc n) U *≤* apply-strategy Λ.parallel-strategy (Src U) (Suc n)
proof (cases V = [])
  show V = [] ==> ?thesis
  using 1 2 3 ind ide-char
  by (metis Suc-inject Ide.simps(2) Resid.simps(3) list.discI list.inject
      Λ.prfx-implies-con apply-strategy.elims Λ.subs-implies-prfx take0)
assume V: V ≠ []
have 4: Arr (v # V)
  using 3 apply-strategy-gives-path(1)
  by (metis Arr-imp-arr-hd Srcs-simpPWE Srcs-simpΛP U Λ.Ide-Src Λ.arr-iff-has-target
      Λ.parallel-strategy-is-reduction-strategy Λ.targets-charΛ singleton-insert-inj-eq'
      zero-less-Suc)
have 5: Arr (hd U # take n (tl U))
  by (metis 1 U Arr-append-iffP id-take-nth-drop list.discI not-less take-all-iff)
have 6: Srcs (hd U # take n (tl U)) = Srcs (v # V)
  by (metis 2 3 Λ.Coinitial-iff-Con Λ.Ide.simps(1) Srcs.simps(2) Srcs.simps(3)
      Λ.ide-char list.exhaust-sel list.inject apply-strategy.simps(2) Λ.sources-charΛ
      Λ.subs-implies-prfx)
have take (Suc n) U *＼* apply-strategy Λ.parallel-strategy (Src U) (Suc n) =
  [hd U \ v] *＼* V @ (take n (tl U) *＼* [v \ hd U]) *＼* (V *＼* [hd U \ v])
using U V 1 3 4 5 6
by (metis Resid.simps(1) Resid-cons(1) Resid-rec(3–4) confluence-ind)
moreover have Ide ...
proof
  have 7: v = Λ.parallel-strategy (Src U) ∧
    V = apply-strategy Λ.parallel-strategy (Src U \ v) n
  using 3 Λ.subs-implies-prfx Λ.subs-parallel-strategy-Src
  apply simp
  by (metis (full-types) Λ.Coinitial-iff-Con Λ.Ide.simps(1) Λ.Trg.simps(5)
      Λ.parallel-strategy.simps(9) Λ.resid-Src-Arr)
show 8: Ide ([hd U \ v] *＼* V)
  by (metis 2 4 5 6 7 V Con-initial-left Ide.simps(2)
      confluence-ind Con-rec(3) Resid-Ide-Arr-ind Λ.subs-implies-prfx)
show 9: Ide ((take n (tl U) *＼* [v \ hd U]) *＼* (V *＼* [hd U \ v]))
proof –
  have 10: Λ.Ide (hd U \ v)
  using 2 7 Λ.ide-char Λ.subs-implies-prfx by presburger
  have 11: V = apply-strategy Λ.parallel-strategy (Λ.Trg v) n
  using 3 by auto
  have (take n (tl U) *＼* [v \ hd U]) *＼* (V *＼* [hd U \ v]) =
    (take n (tl U) *＼* [v \ hd U]) *＼*
    apply-strategy Λ.parallel-strategy (Λ.Trg v) n
  by (metis 8 10 11 Ide.simps(1) Resid-single-ide(2) Λ.prfx-char)
moreover have Ide ...
proof –
  have Ide (take n (take n (tl U) *＼* [v \ hd U]) *＼*
    apply-strategy Λ.parallel-strategy (Λ.Trg v) n)
proof –
  have 0 < n

```

```

proof -
  have length V = n
    using apply-strategy-gives-path
    by (metis 10 11 V Λ.Coinitial-iff-Con Λ.Ide-Try Λ.Arr-not-Nil
          Λ.Ide-implies-Arr Λ.parallel-strategy-is-reduction-strategy neq0-conv
          apply-strategy.simps(1))
  thus ?thesis
    using V by blast
  qed
  moreover have n ≤ length (take n (tl U) *＼* [v \ hd U])
  proof -
    have length (take n (tl U)) = n
    using n by force
    thus ?thesis
      using n U length-Resid [of take n (tl U) [v \ hd U]]
      by (metis 4 5 6 Arr.simps(1) Con-cons(2) Con-rec(2)
            confluence-ind dual-order.eq-iff)
  qed
  moreover have Λ.Trig v = Src (take n (tl U) *＼* [v \ hd U])
  proof -
    have Src (take n (tl U) *＼* [v \ hd U]) = Trig [v \ hd U]
    by (metis Src-resid calculation(1-2) linorder-not-less list.size(3))
    also have ... = Λ.Trig v
    by (metis 10 Trig.simps(2) Λ.Arr-not-Nil Λ.apex-sym Λ.trg-ide
          Λ.Ide-iff-Src-self Λ.Ide-implies-Arr Λ.Src-resid Λ.prfx-char)
    finally show ?thesis by simp
  qed
  ultimately show ?thesis
    using ind [of Resid (take n (tl U)) [Λ.resid v (hd U)]] ide-char
    by (metis Con-imp-Arr-Resid le-zero-eq less-not-refl list.size(3))
  qed
  moreover have take n (take n (tl U) *＼* [v \ hd U]) =
    take n (tl U) *＼* [v \ hd U]
  proof -
    have Arr (take n (tl U) *＼* [v \ hd U])
    by (metis Con-imp-Arr-Resid Con-implies-Arr(1) Ide.simps(1) calculation
          take-Nil)
    thus ?thesis
      by (metis 1 Arr.simps(1) length-Resid dual-order.eq-iff length-Cons
            length-take min.absorb2 n old.nat.inject take-all)
  qed
  ultimately show ?thesis by simp
  qed
  ultimately show ?thesis by auto
  qed
  show Trig ([hd U \ v] *＼* V) =
    Src ((take n (tl U) *＼* [v \ hd U]) *＼* (V *＼* [hd U \ v]))
    by (metis 9 Ide.simps(1) Src-resid Trig-resid-sym)
  qed

```

```

ultimately show ?thesis
  using ide-char by presburger
qed
qed
qed

end

context lambda-calculus
begin

Parallel reduction is a normalizing strategy.

lemma parallel-strategy-is-normalizing:
shows normalizing-strategy parallel-strategy
proof -
  interpret  $\Lambda x$ : reduction-paths .

  have  $\bigwedge a$ . normalizable  $a \implies \exists n$ . NF (reduce parallel-strategy  $a$   $n$ )
  proof -
    fix  $a$ 
    assume 1: normalizable  $a$ 
    obtain  $U b$  where  $U : \Lambda x$ .Arr  $U \wedge \Lambda x$ .Src  $U = a \wedge \Lambda x$ .Trg  $U = b \wedge \text{NF } b$ 
      using 1 normalizable-def  $\Lambda x$ .red-iff by blast
    have 2:  $\bigwedge n$ .  $\llbracket 0 < n; n \leq \text{length } U \rrbracket \implies \Lambda x$ .Ide ( $\Lambda x$ .Resid (take  $n$   $U$ ) ( $\Lambda x$ .apply-strategy parallel-strategy  $a$   $n$ ))
      using  $U$   $\Lambda x$ .parallel-strategy-is-universal  $\Lambda x$ .ide-char by blast
    let ?PR =  $\Lambda x$ .apply-strategy parallel-strategy  $a$  (length  $U$ )
    have  $\Lambda x$ .Trg ?PR =  $b$ 
    proof -
      have 3:  $\Lambda x$ .Ide ( $\Lambda x$ .Resid  $U$  ?PR)
        using  $U$  2 [of length  $U$ ] by force
      have  $\Lambda x$ .Trg ( $\Lambda x$ .Resid ?PR  $U$ ) =  $b$ 
        by (metis 3 NF-reduct-is-trivial  $U$   $\Lambda x$ .Con-imp-Arr-Resid  $\Lambda x$ .Con-sym  $\Lambda x$ .Ide.simps(1)
           $\Lambda x$ .Src-resid reduction-paths.red-iff)
      thus ?thesis
        by (metis 3  $\Lambda x$ .Con-Arr-self  $\Lambda x$ .Ide-implies-Arr  $\Lambda x$ .Resid-Arr-Ide-ind
           $\Lambda x$ .Src-resid  $\Lambda x$ .Trg-resid-sym)
    qed
    hence reduce parallel-strategy  $a$  (length  $U$ ) =  $b$ 
      using 1  $U$ 
      by (metis  $\Lambda x$ .Arr.simps(1) length-greater-0-conv normalizable-def
         $\Lambda x$ .apply-strategy-gives-path(4) parallel-strategy-is-reduction-strategy)
    thus  $\exists n$ . NF (reduce parallel-strategy  $a$   $n$ )
      using  $U$  by blast
  qed
  thus ?thesis
    using normalizing-strategy-def by blast
qed

```

An alternative characterization of a normal form is a term on which the parallel

reduction strategy yields an identity.

```

abbreviation has-redex
where has-redex t ≡ Arr t ∧ ¬ Ide (parallel-strategy t)

lemma NF-iff-has-no-redex:
shows Arr t ⇒ NF t ⇔ ¬ has-redex t
proof (induct t)
  show Arr # ⇒ NF # ⇔ ¬ has-redex #
    using NF-def by simp
  show ∀x. Arr «x» ⇒ NF «x» ⇔ ¬ has-redex «x»
    using NF-def by force
  show ∀t. [Arr t ⇒ NF t ⇔ ¬ has-redex t; Arr λ[t]] ⇒ NF λ[t] ⇔ ¬ has-redex λ[t]
  proof –
    fix t
    assume ind: Arr t ⇒ NF t ⇔ ¬ has-redex t
    assume t: Arr λ[t]
    show NF λ[t] ⇔ ¬ has-redex λ[t]
    proof
      show NF λ[t] ⇒ ¬ has-redex λ[t]
        using t ind
        by (metis NF-def Arr.simps(3) Ide.simps(3) Src.simps(3) parallel-strategy.simps(2))
      show ¬ has-redex λ[t] ⇒ NF λ[t]
        using t ind
        by (metis NF-def ide-backward-stable ide-char parallel-strategy-Src-eq
              subs-implies-prfx subs-parallel-strategy-Src)
    qed
  qed
  show ∀t1 t2. [Arr t1 ⇒ NF t1 ⇔ ¬ has-redex t1;
    Arr t2 ⇒ NF t2 ⇔ ¬ has-redex t2;
    Arr (λ[t1] • t2)] ⇒ NF (λ[t1] • t2) ⇔ ¬ has-redex (λ[t1] • t2)
    using NF-def Ide.simps(5) parallel-strategy.simps(8) by presburger
  show ∀t1 t2. [Arr t1 ⇒ NF t1 ⇔ ¬ has-redex t1;
    Arr t2 ⇒ NF t2 ⇔ ¬ has-redex t2;
    Arr (t1 ○ t2)] ⇒ NF (t1 ○ t2) ⇔ ¬ has-redex (t1 ○ t2)
  proof –
    fix t1 t2
    assume ind1: Arr t1 ⇒ NF t1 ⇔ ¬ has-redex t1
    assume ind2: Arr t2 ⇒ NF t2 ⇔ ¬ has-redex t2
    assume t: Arr (t1 ○ t2)
    show NF (t1 ○ t2) ⇔ ¬ has-redex (t1 ○ t2)
      using t ind1 ind2 NF-def
      apply (intro iffI)
      apply (metis Ide-iff-Src-self parallel-strategy-is-reduction-strategy
            reduction-strategy-def)
      apply (cases t1)
        apply simp-all
      apply (metis Ide-iff-Src-self ide-char parallel-strategy.simps(1,5))

```

```

parallel-strategy-is-reduction-strategy reduction-strategy-def resid-Arr-Src
subs-implies-prfx subs-parallel-strategy-Src)
by (metis Ide-iff-Src-self ide-char ind1 Arr.simps(4) parallel-strategy.simps(6)
parallel-strategy-is-reduction-strategy reduction-strategy-def resid-Arr-Src
subs-implies-prfx subs-parallel-strategy-Src)
qed
qed

lemma (in lambda-calculus) not-NF-elim:
assumes  $\neg NF t$  and  $Ide t$ 
obtains  $u$  where  $coinitial t u \wedge \neg Ide u$ 
using assms NF-def by auto

lemma (in lambda-calculus) NF-Lam-iff:
shows  $NF \lambda[t] \longleftrightarrow NF t$ 
using NF-def
by (metis Ide-implies-Arr NF-iff-has-no-redex Ide.simps(3) parallel-strategy.simps(2))

lemma (in lambda-calculus) NF-App-iff:
shows  $NF (t1 \circ t2) \longleftrightarrow \neg is-Lam t1 \wedge NF t1 \wedge NF t2$ 
proof -
have  $\neg NF (t1 \circ t2) \implies is-Lam t1 \vee \neg NF t1 \vee \neg NF t2$ 
apply (cases is-Lam t1)
apply simp-all
apply (cases t1)
apply simp-all
using NF-def Ide.simps(1) apply presburger
apply (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(4)
parallel-strategy.simps(5))
apply (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(4)
parallel-strategy.simps(6))
using NF-def Ide.simps(5) by presburger
moreover have  $is-Lam t1 \vee \neg NF t1 \vee \neg NF t2 \implies \neg NF (t1 \circ t2)$ 
proof -
have  $is-Lam t1 \implies \neg NF (t1 \circ t2)$ 
by (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(5) lambda.collapse(2)
parallel-strategy.simps(3,8))
moreover have  $\neg NF t1 \implies \neg NF (t1 \circ t2)$ 
using NF-def Ide-iff-Src-self Ide-implies-Arr
apply auto
by (metis (full-types) Arr.simps(4) Ide.simps(4) Src.simps(4))
moreover have  $\neg NF t2 \implies \neg NF (t1 \circ t2)$ 
using NF-def Ide-iff-Src-self Ide-implies-Arr
apply auto
by (metis (full-types) Arr.simps(4) Ide.simps(4) Src.simps(4))
ultimately show  $is-Lam t1 \vee \neg NF t1 \vee \neg NF t2 \implies \neg NF (t1 \circ t2)$ 
by auto
qed
ultimately show ?thesis by blast

```

qed

3.5.2 Head Reduction

Head reduction is the strategy that only contracts a redex at the “head” position, which is found at the end of the “left spine” of applications, and does nothing if there is no such redex.

The following function applies to an arbitrary arrow t , and it marks the redex at the head position, if any, otherwise it yields $\text{Src } t$.

```
fun head-strategy
where head-strategy «i» = «i»
| head-strategy  $\lambda[t] = \lambda[\text{head-strategy } t]$ 
| head-strategy  $(\lambda[t] \circ u) = \lambda[\text{Src } t] \bullet \text{Src } u$ 
| head-strategy  $(t \circ u) = \text{head-strategy } t \circ \text{Src } u$ 
| head-strategy  $(\lambda[t] \bullet u) = \lambda[\text{Src } t] \bullet \text{Src } u$ 
| head-strategy  $\sharp = \sharp$ 

lemma Arr-head-strategy:
shows Arr  $t \implies \text{Arr}(\text{head-strategy } t)$ 
apply (induct  $t$ )
  apply auto
proof -
  fix  $t u$ 
  assume ind: Arr  $(\text{head-strategy } t)$ 
  assume  $t: \text{Arr } t \text{ and } u: \text{Arr } u$ 
  show Arr  $(\text{head-strategy } (t \circ u))$ 
    using  $t u$  ind
    by (cases  $t$ ) auto
qed

lemma Src-head-strategy:
shows Arr  $t \implies \text{Src}(\text{head-strategy } t) = \text{Src } t$ 
apply (induct  $t$ )
  apply auto
proof -
  fix  $t u$ 
  assume ind: Src  $(\text{head-strategy } t) = \text{Src } t$ 
  assume  $t: \text{Arr } t \text{ and } u: \text{Arr } u$ 
  have Src  $(\text{head-strategy } (t \circ u)) = \text{Src}(\text{head-strategy } t \circ \text{Src } u)$ 
    using  $t$  ind
    by (cases  $t$ ) auto
  also have ... = Src  $t \circ \text{Src } u$ 
    using  $t u$  ind by auto
  finally show Src  $(\text{head-strategy } (t \circ u)) = \text{Src } t \circ \text{Src } u$  by simp
qed

lemma Con-head-strategy:
shows Arr  $t \implies \text{Con } t (\text{head-strategy } t)$ 
```

```

apply (induct t)
  apply auto
apply (simp add: Arr-head-strategy Src-head-strategy)
using Arr-Subst Arr-not-Nil by auto

lemma head-strategy-Src:
shows Arr t ==> head-strategy (Src t) = head-strategy t
apply (induct t)
  apply auto
using Arr.elims(2) by fastforce

lemma head-strategy-is-elementary:
shows [|Arr t; ~ Ide (head-strategy t)|] ==> elementary-reduction (head-strategy t)
using Ide-Src
apply (induct t)
  apply auto
proof -
fix t1 t2
assume t1: Arr t1 and t2: Arr t2
assume t: ~ Ide (head-strategy (t1 o t2))
assume 1: ~ Ide (head-strategy t1) ==> elementary-reduction (head-strategy t1)
assume 2: ~ Ide (head-strategy t2) ==> elementary-reduction (head-strategy t2)
show elementary-reduction (head-strategy (t1 o t2))
  using t t1 t2 1 2 Ide-Src Ide-implies-Arr
    by (cases t1) auto
qed

lemma head-strategy-is-reduction-strategy:
shows reduction-strategy head-strategy
proof (unfold reduction-strategy-def, intro allI impI)
fix t
show Ide t ==> Coinitial (head-strategy t) t
proof (induct t)
  show Ide # ==> Coinitial (head-strategy #) #
    by simp
  show !x. Ide «x» ==> Coinitial (head-strategy «x») «x»
    by simp
  show !t. [|Ide t ==> Coinitial (head-strategy t) t; Ide !t|]
    ==> Coinitial (head-strategy !t) !t
    by simp
fix t1 t2
assume ind1: Ide t1 ==> Coinitial (head-strategy t1) t1
assume ind2: Ide t2 ==> Coinitial (head-strategy t2) t2
assume t: Ide (t1 o t2)
show Coinitial (head-strategy (t1 o t2)) (t1 o t2)
  using t ind1 Ide-implies-Arr Ide-iff-Src-self
    by (cases t1) simp-all
next
fix t1 t2

```

```

assume ind1: Ide t1  $\implies$  Coinitial (head-strategy t1) t1
assume ind2: Ide t2  $\implies$  Coinitial (head-strategy t2) t2
assume t: Ide ( $\lambda[t_1] \bullet t_2$ )
show Coinitial (head-strategy ( $\lambda[t_1] \bullet t_2$ )) ( $\lambda[t_1] \bullet t_2$ )
    using t by auto
qed
qed

```

The following function tests whether a term is an elementary reduction of the head redex.

```

fun is-head-reduction
where is-head-reduction «-»  $\longleftrightarrow$  False
| is-head-reduction  $\lambda[t]$   $\longleftrightarrow$  is-head-reduction t
| is-head-reduction ( $\lambda[-] \circ -$ )  $\longleftrightarrow$  False
| is-head-reduction (t  $\circ$  u)  $\longleftrightarrow$  is-head-reduction t  $\wedge$  Ide u
| is-head-reduction ( $\lambda[t] \bullet u$ )  $\longleftrightarrow$  Ide t  $\wedge$  Ide u
| is-head-reduction  $\sharp$   $\longleftrightarrow$  False

lemma is-head-reduction-char:
shows is-head-reduction t  $\longleftrightarrow$  elementary-reduction t  $\wedge$  head-strategy (Src t) = t
apply (induct t)
    apply simp-all
proof -
    fix t1 t2
    assume ind: is-head-reduction t1  $\longleftrightarrow$ 
        elementary-reduction t1  $\wedge$  head-strategy (Src t1) = t1
    show is-head-reduction (t1  $\circ$  t2)  $\longleftrightarrow$ 
        (elementary-reduction t1  $\wedge$  Ide t2  $\vee$  Ide t1  $\wedge$  elementary-reduction t2)  $\wedge$ 
        head-strategy (Src t1  $\circ$  Src t2) = t1  $\circ$  t2
    using ind Ide-implies-Arr Ide-iff-Src-self Ide-Src elementary-reduction-not-ide
        ide-char
    apply (cases t1)
        apply simp-all
        apply (metis Ide-Src arr-char elementary-reduction-is-arr)
        apply (metis Ide-Src arr-char elementary-reduction-is-arr)
        by metis
    next
    fix t1 t2
    show Ide t1  $\wedge$  Ide t2  $\longleftrightarrow$  Ide t1  $\wedge$  Ide t2  $\wedge$  Src (Src t1) = t1  $\wedge$  Src (Src t2) = t2
        by (metis Ide-iff-Src-self Ide-implies-Arr)
    qed

lemma is-head-reductionI:
assumes Arr t and elementary-reduction t and head-strategy (Src t) = t
shows is-head-reduction t
    using assms is-head-reduction-char by blast

```

The following function tests whether a redex in the head position of a term is marked.

```
fun contains-head-reduction
```

```

where contains-head-reduction «-»  $\longleftrightarrow$  False
| contains-head-reduction  $\lambda[t]$   $\longleftrightarrow$  contains-head-reduction t
| contains-head-reduction  $(\lambda[-] \circ -)$   $\longleftrightarrow$  False
| contains-head-reduction  $(t \circ u)$   $\longleftrightarrow$  contains-head-reduction t  $\wedge$  Arr u
| contains-head-reduction  $(\lambda[t] \bullet u)$   $\longleftrightarrow$  Arr t  $\wedge$  Arr u
| contains-head-reduction  $\sharp$   $\longleftrightarrow$  False

lemma is-head-reduction-imp-contains-head-reduction:
shows is-head-reduction t  $\implies$  contains-head-reduction t
  using Ide-implies-Arr
  apply (induct t)
    apply auto
proof -
  fix t1 t2
  assume ind1: is-head-reduction t1  $\implies$  contains-head-reduction t1
  assume ind2: is-head-reduction t2  $\implies$  contains-head-reduction t2
  assume t: is-head-reduction  $(t1 \circ t2)$ 
  show contains-head-reduction  $(t1 \circ t2)$ 
    using t ind1 ind2 Ide-implies-Arr
    by (cases t1) auto
qed

```

An *internal reduction* is one that does not contract any redex at the head position.

```

fun is-internal-reduction
where is-internal-reduction «-»  $\longleftrightarrow$  True
| is-internal-reduction  $\lambda[t]$   $\longleftrightarrow$  is-internal-reduction t
| is-internal-reduction  $(\lambda[t] \circ u)$   $\longleftrightarrow$  Arr t  $\wedge$  Arr u
| is-internal-reduction  $(t \circ u)$   $\longleftrightarrow$  is-internal-reduction t  $\wedge$  Arr u
| is-internal-reduction  $(\lambda[-] \bullet -)$   $\longleftrightarrow$  False
| is-internal-reduction  $\sharp$   $\longleftrightarrow$  False

lemma is-internal-reduction-iff:
shows is-internal-reduction t  $\longleftrightarrow$  Arr t  $\wedge$   $\neg$  contains-head-reduction t
  apply (induct t)
    apply simp-all
proof -
  fix t1 t2
  assume ind1: is-internal-reduction t1  $\longleftrightarrow$  Arr t1  $\wedge$   $\neg$  contains-head-reduction t1
  assume ind2: is-internal-reduction t2  $\longleftrightarrow$  Arr t2  $\wedge$   $\neg$  contains-head-reduction t2
  show is-internal-reduction  $(t1 \circ t2)$   $\longleftrightarrow$ 
    Arr t1  $\wedge$  Arr t2  $\wedge$   $\neg$  contains-head-reduction  $(t1 \circ t2)$ 
    using ind1 ind2
    apply (cases t1)
      apply simp-all
    by blast
qed

```

Head reduction steps are either \lesssim -prefixes of, or are preserved by, residuation along arbitrary reductions.

```

lemma is-head-reduction-resid:

```

```

shows [[is-head-reduction t; Arr u; Src t = Src u] ==> t ≤ u ∨ is-head-reduction (t \ u)
proof (induct t arbitrary: u)
  show ∀u. [[is-head-reduction #; Arr u; Src # = Src u] ==> # ≤ u ∨ is-head-reduction (# \ u)
    by auto
  show ∀x u. [[is-head-reduction «x»; Arr u; Src «x» = Src u] ==> «x» ≤ u ∨ is-head-reduction («x» \ u)
    by auto
  fix t u
  assume ind: ∀u. [[is-head-reduction t; Arr u; Src t = Src u] ==> t ≤ u ∨ is-head-reduction (t \ u)
  assume t: is-head-reduction λ[t]
  assume u: Arr u
  assume tu: Src λ[t] = Src u
  have 1: Arr t
    by (metis Arr-head-strategy head-strategy-Src is-head-reduction-char Arr.simps(3) t tu u)
  show λ[t] ≤ u ∨ is-head-reduction (λ[t] \ u)
    using t u tu 1 ind
    by (cases u) auto
  next
  fix t1 t2 u
  assume ind1: ∀u1. [[is-head-reduction t1; Arr u1; Src t1 = Src u1] ==> t1 ≤ u1 ∨ is-head-reduction (t1 \ u1)
  assume ind2: ∀u2. [[is-head-reduction t2; Arr u2; Src t2 = Src u2] ==> t2 ≤ u2 ∨ is-head-reduction (t2 \ u2)
  assume t: is-head-reduction (λ[t1] • t2)
  assume u: Arr u
  assume tu: Src (λ[t1] • t2) = Src u
  show λ[t1] • t2 ≤ u ∨ is-head-reduction ((λ[t1] • t2) \ u)
    using t u tu ind1 ind2 Coinitial-iff-Con Ide-implies-Arr ide-char resid-Ide-Arr Ide-Subst
    by (cases u; cases un-App1 u) auto
  next
  fix t1 t2 u
  assume ind1: ∀u1. [[is-head-reduction t1; Arr u1; Src t1 = Src u1] ==> t1 ≤ u1 ∨ is-head-reduction (t1 \ u1)
  assume ind2: ∀u2. [[is-head-reduction t2; Arr u2; Src t2 = Src u2] ==> t2 ≤ u2 ∨ is-head-reduction (t2 \ u2)
  assume t: is-head-reduction (t1 ○ t2)
  assume u: Arr u
  assume tu: Src (t1 ○ t2) = Src u
  have Arr (t1 ○ t2)
    using is-head-reduction-char elementary-reduction-is-arr t by blast
  hence t1: Arr t1 and t2: Arr t2
    by auto
  have 0: ¬ is-Lam t1
    using t is-Lam-def by fastforce
  have 1: is-head-reduction t1
    using t t1 by force
  show t1 ○ t2 ≤ u ∨ is-head-reduction ((t1 ○ t2) \ u)

```

proof –

```
have  $\neg \text{Ide}((t1 \circ t2) \setminus u) \implies \text{is-head-reduction}((t1 \circ t2) \setminus u)$ 
proof (intro is-head-reductionI)
  assume  $\mathcal{Q}: \neg \text{Ide}((t1 \circ t2) \setminus u)$ 
  have  $\mathcal{S}: \text{is-App } u \implies \neg \text{Ide}(t1 \setminus \text{un-App1 } u) \vee \neg \text{Ide}(t2 \setminus \text{un-App2 } u)$ 
  by (metis 2 ide-char lambda.collapse(3) lambda.discI(3) lambda.sel(3-4) prfx-App-iff)
  have  $\mathcal{A}: \text{is-Beta } u \implies \neg \text{Ide}(t1 \setminus \text{un-Beta1 } u) \vee \neg \text{Ide}(t2 \setminus \text{un-Beta2 } u)$ 
  using  $u \text{ tu } \mathcal{Q}$ 
  by (metis 0 ConI Con-implies-is-Lam-iff-is-Lam <Arr (t1 \circ t2)>
        ConD(4) lambda.collapse(4) lambda.disc(8)))
  show  $\mathcal{C}: \text{Arr}((t1 \circ t2) \setminus u)$ 
    using Arr-resid <Arr (t1 \circ t2)> tu u by auto
  show head-strategy (Src ((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u
  proof (cases u)
    show  $u = \mathbb{H} \implies \text{head-strategy}(\text{Src}((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u$ 
      by simp
    show  $\lambda x. u = \langle x \rangle \implies \text{head-strategy}(\text{Src}((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u$ 
      by auto
    show  $\lambda v. u = \lambda[v] \implies \text{head-strategy}(\text{Src}((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u$ 
      by simp
    show  $\lambda u1 u2. u = \lambda[u1] \bullet u2 \implies \text{head-strategy}(\text{Src}((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u$ 
      by (metis 0 5 Arr-not-Nil ConD(4) Con-implies-is-Lam-iff-is-Lam lambda.disc(8))
    show  $\lambda u1 u2. u = \text{App } u1 u2 \implies \text{head-strategy}(\text{Src}((t1 \circ t2) \setminus u)) = (t1 \circ t2) \setminus u$ 
  proof –
    fix  $u1 u2$ 
    assume  $u1u2: u = u1 \circ u2$ 
    have head-strategy (Src ((t1 \circ t2) \setminus u)) = head-strategy (Src (t1 \setminus u1) \circ Src (t2 \setminus u2))
      using  $u \text{ u1u2 tu t1 t2 Coinitial-iff-Con by auto}$ 
    also have  $\dots = \text{head-strategy}(\text{Trg } u1 \circ \text{Trg } u2)$ 
      using  $5 \text{ u1u2 Src-resid}$ 
      by (metis Arr-not-Nil ConD(1))
    also have  $\dots = (t1 \circ t2) \setminus u$ 
    proof (cases Trg u1)
      show  $\text{Trg } u1 = \mathbb{H} \implies \text{head-strategy}(\text{Trg } u1 \circ \text{Trg } u2) = (t1 \circ t2) \setminus u$ 
        using Arr-not-Nil u u1u2 by force
      show  $\lambda x. \text{Trg } u1 = \langle x \rangle \implies \text{head-strategy}(\text{Trg } u1 \circ \text{Trg } u2) = (t1 \circ t2) \setminus u$ 
        using  $tu \text{ t u t1 t2 u1u2 Arr-not-Nil Ide-iff-Src-self}$ 
        by (cases u1; cases t1) auto
      show  $\lambda v. \text{Trg } u1 = \lambda[v] \implies \text{head-strategy}(\text{Trg } u1 \circ \text{Trg } u2) = (t1 \circ t2) \setminus u$ 
        using  $tu \text{ t u t1 t2 u1u2 Arr-not-Nil Ide-iff-Src-self}$ 
        apply (cases u1; cases t1)
          apply auto
        by (metis 2 5 Src-resid Trg.simps(3-4) resid.simps(3-4) resid-Src-Arr)
      show  $\lambda u11 u12. \text{Trg } u1 = u11 \circ u12 \implies \text{head-strategy}(\text{Trg } u1 \circ \text{Trg } u2) = (t1 \circ t2) \setminus u$ 
    proof –
      fix  $u11 u12$ 
      assume  $u1: \text{Trg } u1 = u11 \circ u12$ 
```

```

show head-strategy (Trg u1 o Trg u2) = (t1 o t2) \ u
proof (cases Trg u1)
  show Trg u1 = # ==> ?thesis
    using u1 by simp
  show <\x. Trg u1 = «x» ==> ?thesis
    apply simp
    using u1 by force
  show <\v. Trg u1 = \lambda[v] ==> ?thesis
    using u1 by simp
  show <\u11 u12. Trg u1 = u11 o u12 ==> ?thesis
    using t u tu u1u2 1 2 ind1 elementary-reduction-not-ide
      is-head-reduction-char Src-resid Ide-iff-Src-self
      <Arr (t1 o t2)> Coinitial-iff-Con
    by fastforce
  show <\u11 u12. Trg u1 = \lambda[u11] • u12 ==> ?thesis
    using u1 by simp
qed
qed
show <\u11 u12. Trg u1 = \lambda[u11] • u12 ==> ?thesis
  using u1u2 u Ide-Trg by fastforce
qed
finally show head-strategy (Src ((t1 o t2) \ u)) = (t1 o t2) \ u
  by simp
qed
qed
thus elementary-reduction ((t1 o t2) \ u)
  by (metis 2 5 Ide-Src Ide-implies-Arr head-strategy-is-elementary)
qed
thus ?thesis by blast
qed
qed

```

Internal reductions are closed under residuation.

```

lemma is-internal-reduction-resid:
shows [[is-internal-reduction t; is-internal-reduction u; Src t = Src u]]
  ==> is-internal-reduction (t \ u)
apply (induct t arbitrary: u)
  apply auto
apply (metis Con-implies-Arr2 con-char weak-extensionality Arr.simps(2) Src.simps(2)
  parallel-strategy.simps(1) prfx-implies-con resid-Arr-Src subs-Ide
  subs-implies-prfx subs-parallel-strategy-Src)
proof -
  fix t u
  assume ind: <\u. [[is-internal-reduction u; Src t = Src u]] ==> is-internal-reduction (t \ u)
  assume t: is-internal-reduction t
  assume u: is-internal-reduction u
  assume tu: \lambda[Src t] = Src u
  show is-internal-reduction (\lambda[t] \ u)
    using t u tu ind

```

```

apply (cases u)
by auto fastforce
next
fix t1 t2 u
assume ind1:  $\bigwedge u. [\text{is-internal-reduction } t1; \text{is-internal-reduction } u; \text{Src } t1 = \text{Src } u]$ 
 $\implies \text{is-internal-reduction } (t1 \setminus u)$ 
assume t: is-internal-reduction (t1 o t2)
assume u: is-internal-reduction u
assume tu: Src t1 o Src t2 = Src u
show is-internal-reduction ((t1 o t2) \ u)
using t u tu ind1 Coinitial-resid-resid Coinitial-iff-Con Arr-Src
is-internal-reduction-iff
apply auto
apply (metis Arr.simps(4) Src.simps(4))
proof -
assume t1: Arr t1 and t2: Arr t2 and u: Arr u
assume tu: Src t1 o Src t2 = Src u
assume 1:  $\neg \text{contains-head-reduction } u$ 
assume 2:  $\neg \text{contains-head-reduction } (t1 \circ t2)$ 
assume 3: contains-head-reduction ((t1 o t2) \ u)
show False
using t1 t2 u tu 1 2 3 is-internal-reduction-iff
apply (cases u)
apply simp-all
apply (cases t1; cases un-App1 u)
apply simp-all
by (metis Coinitial-iff-Con ind1 Arr.simps(4) Src.simps(4) resid.simps(3))
qed
qed

```

A head reduction is preserved by residuation along an internal reduction, so a head reduction can only be canceled by a transition that contains a head reduction.

```

lemma is-head-reduction-resid':
shows  $[\text{is-head-reduction } t; \text{is-internal-reduction } u; \text{Src } t = \text{Src } u]$ 
 $\implies \text{is-head-reduction } (t \setminus u)$ 
proof (induct t arbitrary: u)
show  $\bigwedge u. [\text{is-head-reduction } \sharp; \text{is-internal-reduction } u; \text{Src } \sharp = \text{Src } u]$ 
 $\implies \text{is-head-reduction } (\sharp \setminus u)$ 
by simp
show  $\bigwedge x u. [\text{is-head-reduction } \langle\langle x\rangle\rangle; \text{is-internal-reduction } u; \text{Src } \langle\langle x\rangle\rangle = \text{Src } u]$ 
 $\implies \text{is-head-reduction } (\langle\langle x\rangle\rangle \setminus u)$ 
by simp
show  $\bigwedge t. \bigwedge u. [\text{is-head-reduction } t; \text{is-internal-reduction } u; \text{Src } t = \text{Src } u]$ 
 $\implies \text{is-head-reduction } (t \setminus u);$ 
 $\text{is-head-reduction } \lambda[t]; \text{is-internal-reduction } u; \text{Src } \lambda[t] = \text{Src } u]$ 
 $\implies \text{is-head-reduction } (\lambda[t] \setminus u)$ 
for u
by (cases u, simp-all) fastforce
fix t1 t2 u

```

```

assume ind1:  $\bigwedge u. \llbracket \text{is-head-reduction } t1; \text{is-internal-reduction } u; \text{Src } t1 = \text{Src } u \rrbracket$ 
     $\implies \text{is-head-reduction } (t1 \setminus u)$ 
assume t: is-head-reduction (t1  $\circ$  t2)
assume u: is-internal-reduction u
assume tu: Src (t1  $\circ$  t2) = Src u
show is-head-reduction ((t1  $\circ$  t2)  $\setminus$  u)
  using t u tu ind1
  apply (cases u)
    apply simp-all
proof (intro conjI impI)
  fix u1 u2
  assume u1u2: u = u1  $\circ$  u2
  show 1: Con t1 u1
    using Coinitial-iff-Con tu u1u2 ide-char
    by (metis Cond(1) Ide.simps(1) is-head-reduction.simps(9) is-head-reduction-resid
      is-internal-reduction.simps(9) is-internal-reduction-resid t u)
  show Con t2 u2
    using Coinitial-iff-Con tu u1u2 ide-char
    by (metis Cond(1) Ide.simps(1) is-head-reduction.simps(9) is-head-reduction-resid
      is-internal-reduction.simps(9) is-internal-reduction-resid t u)
  show is-head-reduction (t1  $\setminus$  u1  $\circ$  t2  $\setminus$  u2)
    using t u u1u2 1 Coinitial-iff-Con <Con t2 u2> ide-char ind1 resid-Ide-Arr
    apply (cases t1; simp-all; cases u1; simp-all; cases un-App1 u1)
      apply auto
    by (metis 1 ind1 is-internal-reduction.simps(6) resid.simps(3))
qed
next
fix t1 t2 u
assume ind1:  $\bigwedge u. \llbracket \text{is-head-reduction } t1; \text{is-internal-reduction } u; \text{Src } t1 = \text{Src } u \rrbracket$ 
     $\implies \text{is-head-reduction } (t1 \setminus u)$ 
assume t: is-head-reduction ( $\lambda[t1] \bullet t2$ )
assume u: is-internal-reduction u
assume tu: Src ( $\lambda[t1] \bullet t2$ ) = Src u
show is-head-reduction (( $\lambda[t1] \bullet t2$ )  $\setminus$  u)
  using t u tu ind1
  apply (cases u)
    apply simp-all
  by (metis Con-implies-Arr1 is-head-reduction-resid is-internal-reduction.simps(9)
    is-internal-reduction-resid lambda.disc(15) prfx-App-iff t tu)
qed

```

The following function differs from *head-strategy* in that it only selects an already-marked redex, whereas *head-strategy* marks the redex at the head position.

```

fun head-redex
where head-redex # = #
  | head-redex «x» = «x»
  | head-redex  $\lambda[t] = \lambda[\text{head-redex } t]$ 
  | head-redex ( $\lambda[t] \circ u$ ) =  $\lambda[\text{Src } t] \circ \text{Src } u$ 
  | head-redex ( $t \circ u$ ) = head-redex t  $\circ$  Src u

```

| head-reduced $(\lambda[t] \bullet u) = (\lambda[Src\ t] \bullet Src\ u)$

```

lemma elementary-reduction-head-reduced:
shows  $\llbracket Arr\ t; \neg Ide\ (head\text{-}reduced\ t) \rrbracket \implies$  elementary-reduction (head-reduced t)
  using Ide-Src
  apply (induct t)
    apply auto
proof -
  show  $\bigwedge t_2. \llbracket \neg Ide\ (head\text{-}reduced\ t_1) \implies$  elementary-reduction (head-reduced t1);
     $\neg Ide\ (head\text{-}reduced\ (t_1 \circ t_2));$ 
     $\bigwedge t. Arr\ t \implies Ide\ (Src\ t); Arr\ t_1; Arr\ t_2 \rrbracket$ 
     $\implies$  elementary-reduction (head-reduced (t1  $\circ$  t2))
  for t1
  using Ide-Src
  by (cases t1) auto
qed

```

lemma subs-head-reduced:

```

shows  $Arr\ t \implies$  head-reduced t  $\sqsubseteq$  t
  using Ide-Src subs-Ide
  apply (induct t)
    apply simp-all
proof -
  show  $\bigwedge t_2. \llbracket$  head-reduced t1  $\sqsubseteq$  t1; head-reduced t2  $\sqsubseteq$  t2;
     $Arr\ t_1 \wedge Arr\ t_2; \bigwedge t. Arr\ t \implies Ide\ (Src\ t);$ 
     $\bigwedge u. \llbracket Ide\ u; Src\ t = Src\ u \rrbracket \implies u \sqsubseteq t \rrbracket$ 
     $\implies$  head-reduced (t1  $\circ$  t2)  $\sqsubseteq$  t1  $\circ$  t2
  for t1
  using Ide-Src subs-Ide
  by (cases t1) auto
qed

```

lemma contains-head-reduction-iff:

```

shows contains-head-reduction t  $\longleftrightarrow$   $Arr\ t \wedge \neg Ide\ (head\text{-}reduced\ t)$ 
  apply (induct t)
    apply simp-all
proof -
  show  $\bigwedge t_2. \text{contains-head-reduction}\ t_1 = (Arr\ t_1 \wedge \neg Ide\ (head\text{-}reduced\ t_1))$ 
     $\implies$  contains-head-reduction (t1  $\circ$  t2) =
       $(Arr\ t_1 \wedge Arr\ t_2 \wedge \neg Ide\ (head\text{-}reduced\ (t_1 \circ t_2)))$ 
  for t1
  using Ide-Src
  by (cases t1) auto
qed

```

lemma head-reduced-is-head-reduced:

```

shows  $\llbracket Arr\ t; \text{contains-head-reduced}\ t \rrbracket \implies$  is-head-reduced (head-reduced t)
  using Ide-Src
  apply (induct t)

```

```

apply simp-all
proof -
  show ⋀t2. [|contains-head-reduction t1 ==> is-head-reduction (head-redex t1);
             Arr t1 ∧ Arr t2;
             contains-head-reduction (t1 o t2); ⋀t. Arr t ==> Ide (Src t)|]
    ==> is-head-reduction (head-redex (t1 o t2))
  for t1
  using Ide-Src contains-head-reduction-iff subs-implies-prfx
  by (cases t1) auto
qed

lemma Arr-head-redex:
assumes Arr t
shows Arr (head-redex t)
using assms Ide-implies-Arr elementary-reduction-head-redex elementary-reduction-is-arr
by blast

lemma Src-head-redex:
assumes Arr t
shows Src (head-redex t) = Src t
using assms
by (metis Coinitial-iff-Con Ide.simps(1) ide-char subs-head-redex subs-implies-prfx)

lemma Con-Arr-head-redex:
assumes Arr t
shows Con t (head-redex t)
using assms
by (metis Con-sym Ide.simps(1) ide-char subs-head-redex subs-implies-prfx)

lemma is-head-reduction-if:
shows [|contains-head-reduction u; elementary-reduction u|] ==> is-head-reduction u
apply (induct u)
  apply auto
using contains-head-reduction.elims(2)
  apply fastforce
proof -
  fix u1 u2
  assume u1: Ide u1
  assume u2: elementary-reduction u2
  assume 1: contains-head-reduction (u1 o u2)
  have False
    using u1 u2 1
    apply (cases u1)
      apply auto
    by (metis Arr-head-redex Ide-iff-Src-self Src-head-redex contains-head-reduction-iff
        ide-char resid-Arr-Src subs-head-redex subs-implies-prfx u1)
  thus is-head-reduction (u1 o u2)
    by blast
qed

```

```

lemma (in reduction-paths) head-redex-decomp:
assumes  $\Lambda.\text{Arr } t$ 
shows  $[\Lambda.\text{head-redex } t] @ [t \setminus \Lambda.\text{head-redex } t] * \sim^* [t]$ 
  using assms prfx-decomp  $\Lambda.\text{subs-head-redex } \Lambda.\text{subs-implies-prfx}$ 
  by (metis Ide.simps(2) Resid.simps(3)  $\Lambda.\text{prfx-implies-con ide-char}$ )

```

An internal reduction cannot create a new head redex.

```

lemma internal-reduction-preserves-no-head-redex:
shows  $\llbracket \text{is-internal-reduction } u; \text{Ide}(\text{head-strategy}(\text{Src } u)) \rrbracket$ 
   $\implies \text{Ide}(\text{head-strategy}(\text{Trg } u))$ 
apply (induct u)
  apply simp-all
proof –
  fix  $u_1 u_2$ 
  assume ind1:  $\llbracket \text{is-internal-reduction } u_1; \text{Ide}(\text{head-strategy}(\text{Src } u_1)) \rrbracket$ 
     $\implies \text{Ide}(\text{head-strategy}(\text{Trg } u_1))$ 
  assume ind2:  $\llbracket \text{is-internal-reduction } u_2; \text{Ide}(\text{head-strategy}(\text{Src } u_2)) \rrbracket$ 
     $\implies \text{Ide}(\text{head-strategy}(\text{Trg } u_2))$ 
  assume u:  $\text{is-internal-reduction}(u_1 \circ u_2)$ 
  assume 1:  $\text{Ide}(\text{head-strategy}(\text{Src } u_1 \circ \text{Src } u_2))$ 
  show  $\text{Ide}(\text{head-strategy}(\text{Trg } u_1 \circ \text{Trg } u_2))$ 
    using u 1 ind1 ind2 Ide-Src Ide-Trg Ide-implies-Arr
    by (cases u1) auto
qed

```

```

lemma head-reduction-unique:
shows  $\llbracket \text{is-head-reduction } t; \text{is-head-reduction } u; \text{coinitial } t \text{ } u \rrbracket \implies t = u$ 
  by (metis Coinitial-iff-Con con-def confluence is-head-reduction-char null-char)

```

Residuation along internal reductions preserves head reductions.

```

lemma resid-head-strategy-internal:
shows  $\text{is-internal-reduction } u \implies \text{head-strategy}(\text{Src } u) \setminus u = \text{head-strategy}(\text{Trg } u)$ 
  using internal-reduction-preserves-no-head-redex Arr-head-strategy Ide-iff-Src-self
    Src-head-strategy Src-resid head-strategy-is-elementary is-head-reduction-char
    is-head-reduction-resid' is-internal-reduction-iff
  apply (cases u)
    apply simp-all
    apply (metis head-strategy-Src resid-Src-Arr)
    apply (metis head-strategy-Src Arr.simps(4) Src.simps(4) Trg.simps(3) resid-Src-Arr)
  by blast

```

An internal reduction followed by a head reduction can be expressed as a join of the internal reduction with a head reduction.

```

lemma resid-head-strategy-Src:
assumes  $\text{is-internal-reduction } t \text{ and is-head-reduction } u$ 
and seq t u
shows  $\text{head-strategy}(\text{Src } t) \setminus t = u$ 
and composite-of t u (Join (head-strategy (Src t)) t)

```

```

proof -
  show 1: head-strategy (Src t) \ t = u
    using assms internal-reduction-preserves-no-head-redex resid-head-strategy-internal
      elementary-reduction-not-ide ide-char is-head-reduction-char seq-char
    by force
  show composite-of t u (Join (head-strategy (Src t)) t)
    using assms(3) 1 Arr-head-strategy Src-head-strategy join-of-Join join-of-def seq-char
    by force
qed

lemma App-Var-contains-no-head-reduction:
shows ¬ contains-head-reduction («x» o u)
  by simp

lemma hgt-resid-App-head-redex:
assumes Arr (t o u) and ¬ Ide (head-redex (t o u))
shows hgt ((t o u) \ head-redex (t o u)) < hgt (t o u)
  using assms contains-head-reduction-iff elementary-reduction-decreases-hgt
    elementary-reduction-head-redex subs-head-redex
  by blast

```

3.5.3 Leftmost Reduction

Leftmost (or normal-order) reduction is the strategy that produces an elementary reduction path by contracting the leftmost redex at each step. It agrees with head reduction as long as there is a head redex, otherwise it continues on with the next subterm to the right.

```

fun leftmost-strategy
where leftmost-strategy «x» = «x»
  | leftmost-strategy  $\lambda[t] = \lambda[\text{leftmost-strategy } t]$ 
  | leftmost-strategy  $(\lambda[t] \circ u) = \lambda[t] \bullet u$ 
  | leftmost-strategy  $(t \circ u) =$ 
    (if  $\neg \text{Ide}(\text{leftmost-strategy } t)$ 
     then leftmost-strategy  $t \circ u$ 
     else  $t \circ \text{leftmost-strategy } u$ )
  | leftmost-strategy  $(\lambda[t] \bullet u) = \lambda[t] \bullet u$ 
  | leftmost-strategy  $\sharp = \sharp$ 

```

```

definition is-leftmost-reduction
where is-leftmost-reduction t  $\longleftrightarrow$  elementary-reduction t  $\wedge$  leftmost-strategy (Src t) = t

```

```

lemma leftmost-strategy-is-reduction-strategy:
shows reduction-strategy leftmost-strategy
proof (unfold reduction-strategy-def, intro allI impI)
  fix t
  show Ide t  $\Longrightarrow$  Coinitial (leftmost-strategy t) t
  proof (induct t, auto)
    show  $\bigwedge t_2. [\text{Arr}(\text{leftmost-strategy } t_1); \text{Arr}(\text{leftmost-strategy } t_2);$ 

```

```

Ide t1; Ide t2;
Arr t1; Src (leftmost-strategy t1) = Src t1;
Arr t2; Src (leftmost-strategy t2) = Src t2]]
    ==> Arr (leftmost-strategy (t1 o t2))
for t1
by (cases t1) auto
qed
qed

lemma elementary-reduction-leftmost-strategy:
shows Ide t ==> elementary-reduction (leftmost-strategy t) ∨ Ide (leftmost-strategy t)
apply (induct t)
apply simp-all
proof -
fix t1 t2
show [[elementary-reduction (leftmost-strategy t1) ∨ Ide (leftmost-strategy t1);
elementary-reduction (leftmost-strategy t2) ∨ Ide (leftmost-strategy t2);
Ide t1 ∧ Ide t2]]
    ==> elementary-reduction (leftmost-strategy (t1 o t2)) ∨
Ide (leftmost-strategy (t1 o t2))
by (cases t1) auto
qed

lemma (in lambda-calculus) leftmost-strategy-selects-head-reduction:
shows is-head-reduction t ==> t = leftmost-strategy (Src t)
proof (induct t)
show ∀t1 t2. [[is-head-reduction t1 ==> t1 = leftmost-strategy (Src t1);
is-head-reduction (t1 o t2)]]
    ==> t1 o t2 = leftmost-strategy (Src (t1 o t2))
proof -
fix t1 t2
assume ind1: is-head-reduction t1 ==> t1 = leftmost-strategy (Src t1)
assume t: is-head-reduction (t1 o t2)
show t1 o t2 = leftmost-strategy (Src (t1 o t2))
using t ind1
apply (cases t1)
apply simp-all
apply (cases Src t1)
apply simp-all
using ind1
apply force
using ind1
apply force
using ind1
apply force
apply (metis Ide-iff-Src-self Ide-implies-Arr elementary-reduction-not-ide
ide-char ind1 is-head-reduction-char)
using ind1
apply force

```

```

by (metis Ide-iff-Src-self Ide-implies-Arr)
qed
show  $\wedge t1\ t2. \llbracket \text{is-head-reduction } t1 \implies t1 = \text{leftmost-strategy} (\text{Src } t1);$ 
       $\text{is-head-reduction} (\lambda[t1] \bullet t2) \rrbracket$ 
       $\implies \lambda[t1] \bullet t2 = \text{leftmost-strategy} (\text{Src } (\lambda[t1] \bullet t2))$ 
by (metis Ide-iff-Src-self Ide-implies-Arr Src.simps(5)
      is-head-reduction.simps(8) leftmost-strategy.simps(3))
qed auto

lemma has-redex-iff-not-Ide-leftmost-strategy:
shows Arr t  $\implies$  has-redex t  $\longleftrightarrow$   $\neg$  Ide (leftmost-strategy (Src t))
apply (induct t)
apply simp-all
proof -
fix t1 t2
assume ind1: Ide (parallel-strategy t1)  $\longleftrightarrow$  Ide (leftmost-strategy (Src t1))
assume ind2: Ide (parallel-strategy t2)  $\longleftrightarrow$  Ide (leftmost-strategy (Src t2))
assume t: Arr t1  $\wedge$  Arr t2
show Ide (parallel-strategy (t1  $\circ$  t2))  $\longleftrightarrow$ 
      Ide (leftmost-strategy (Src t1  $\circ$  Src t2))
using t ind1 ind2 Ide-Src Ide-iff-Src-self
by (cases t1) auto
qed

lemma leftmost-reduction-preservation:
shows  $\llbracket \text{is-leftmost-reduction } t; \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u;$ 
       $\text{coinitial } t\ u \rrbracket \implies \text{is-leftmost-reduction} (t \setminus u)$ 
proof (induct t arbitrary: u)
show  $\wedge u. \text{coinitial } \sharp u \implies \text{is-leftmost-reduction} (\sharp \setminus u)$ 
by simp
show  $\wedge x\ u. \text{is-leftmost-reduction } \langle\!\langle x \rangle\!\rangle \implies \text{is-leftmost-reduction} (\langle\!\langle x \rangle\!\rangle \setminus u)$ 
by (simp add: is-leftmost-reduction-def)
fix t u
show  $\llbracket \wedge u. \llbracket \text{is-leftmost-reduction } t; \text{elementary-reduction } u;$ 
       $\neg \text{is-leftmost-reduction } u; \text{coinitial } t\ u \rrbracket \implies \text{is-leftmost-reduction} (t \setminus u);$ 
       $\text{is-leftmost-reduction} (\text{Lam } t); \text{elementary-reduction } u;$ 
       $\neg \text{is-leftmost-reduction } u; \text{coinitial } \lambda[t]\ u \rrbracket$ 
       $\implies \text{is-leftmost-reduction} (\lambda[t] \setminus u)$ 
using is-leftmost-reduction-def
by (cases u) auto
next
fix t1 t2 u
show  $\llbracket \text{is-leftmost-reduction} (\lambda[t1] \bullet t2); \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u;$ 
       $\text{coinitial } (\lambda[t1] \bullet t2)\ u \rrbracket$ 
       $\implies \text{is-leftmost-reduction} ((\lambda[t1] \bullet t2) \setminus u)$ 
using is-leftmost-reduction-def Src-resid Ide-Trg Ide-iff-Src-self Arr-Trg Arr-not-Nil
apply (cases u)
apply simp-all
by (cases un-App1 u) auto

```

```

assume ind1:  $\bigwedge u. [\text{is-leftmost-reduction } t1; \text{elementary-reduction } u;$ 
     $\neg \text{is-leftmost-reduction } u; \text{coinitial } t1 u]$ 
     $\implies \text{is-leftmost-reduction } (t1 \setminus u)$ 
assume ind2:  $\bigwedge u. [\text{is-leftmost-reduction } t2; \text{elementary-reduction } u;$ 
     $\neg \text{is-leftmost-reduction } u; \text{coinitial } t2 u]$ 
     $\implies \text{is-leftmost-reduction } (t2 \setminus u)$ 
assume 1:  $\text{is-leftmost-reduction } (t1 \circ t2)$ 
assume 2:  $\text{elementary-reduction } u$ 
assume 3:  $\neg \text{is-leftmost-reduction } u$ 
assume 4:  $\text{coinitial } (t1 \circ t2) u$ 
show  $\text{is-leftmost-reduction } ((t1 \circ t2) \setminus u)$ 
using 1 2 3 4 ind1 ind2 is-leftmost-reduction-def Src-resid
apply (cases u)
apply auto[3]
proof -
show  $\bigwedge u1 u2. u = \lambda[u1] \bullet u2 \implies \text{is-leftmost-reduction } ((t1 \circ t2) \setminus u)$ 
by (metis 2 3 is-leftmost-reduction-def elementary-reduction.simps(5)
    is-head-reduction.simps(8) leftmost-strategy-selects-head-reduction)
fix u1 u2
assume u:  $u = u1 \circ u2$ 
show  $\text{is-leftmost-reduction } ((t1 \circ t2) \setminus u)$ 
using u 1 2 3 4 ind1 ind2 is-leftmost-reduction-def Src-resid Ide-Trg
    elementary-reduction-not-ide
apply (cases u)
apply simp-all
apply (cases u1)
    apply simp-all
    apply auto[1]
using Ide-iff-Src-self
apply simp-all
proof -
fix u11 u12
assume u:  $u = u11 \circ u12 \circ u2$ 
assume u1:  $u1 = u11 \circ u12$ 
have A:  $(\text{elementary-reduction } t1 \wedge \text{Src } u2 = t2 \vee$ 
     $\text{Src } u11 \circ \text{Src } u12 = t1 \wedge \text{elementary-reduction } t2) \wedge$ 
    (if  $\neg \text{Ide } (\text{leftmost-strategy } (\text{Src } u11 \circ \text{Src } u12))$ 
        then  $\text{leftmost-strategy } (\text{Src } u11 \circ \text{Src } u12) \circ \text{Src } u2$ 
        else  $\text{Src } u11 \circ \text{Src } u12 \circ \text{leftmost-strategy } (\text{Src } u2) = t1 \circ t2$ )
using 1 4 Ide-iff-Src-self is-leftmost-reduction-def u by auto
have B:  $(\text{elementary-reduction } u11 \wedge \text{Src } u12 = u12 \vee$ 
     $\text{Src } u11 = u11 \wedge \text{elementary-reduction } u12) \wedge \text{Src } u2 = u2 \vee$ 
     $\text{Src } u11 = u11 \wedge \text{Src } u12 = u12 \wedge \text{elementary-reduction } u2$ 
using 2 4 Ide-iff-Src-self u by force
have C:  $t1 = u11 \circ u12 \longrightarrow t2 \neq u2$ 
using 1 3 u by fastforce
have D:  $\text{Arr } t1 \wedge \text{Arr } t2 \wedge \text{Arr } u11 \wedge \text{Arr } u12 \wedge \text{Arr } u2 \wedge$ 
     $\text{Src } t1 = \text{Src } u11 \circ \text{Src } u12 \wedge \text{Src } t2 = \text{Src } u2$ 
using 4 u by force

```

```

have E:  $\bigwedge u. \llbracket \text{elementary-reduction } t1 \wedge \text{leftmost-strategy } (\text{Src } u) = t1;$ 
       $\text{elementary-reduction } u;$ 
       $t1 \neq u;$ 
       $\text{Arr } u \wedge \text{Src } u11 \circ \text{Src } u12 = \text{Src } u \rrbracket$ 
       $\implies \text{elementary-reduction } (t1 \setminus u) \wedge$ 
       $\text{leftmost-strategy } (\text{Trg } u) = t1 \setminus u$ 
using D Src-resid.ind1 is-leftmost-reduction-def by auto
have F:  $\bigwedge u. \llbracket \text{elementary-reduction } t2 \wedge \text{leftmost-strategy } (\text{Src } u) = t2;$ 
       $\text{elementary-reduction } u;$ 
       $t2 \neq u;$ 
       $\text{Arr } u \wedge \text{Src } u2 = \text{Src } u \rrbracket$ 
       $\implies \text{elementary-reduction } (t2 \setminus u) \wedge$ 
       $\text{leftmost-strategy } (\text{Trg } u) = t2 \setminus u$ 
using D Src-resid.ind2 is-leftmost-reduction-def by auto
have G:  $\bigwedge t. \text{elementary-reduction } t \implies \neg \text{Ide } t$ 
using elementary-reduction-not-ide.ide-char by blast
have H:  $\text{elementary-reduction } (t1 \setminus (u11 \circ u12)) \wedge \text{Ide } (t2 \setminus u2) \vee$ 
       $\text{Ide } (t1 \setminus (u11 \circ u12)) \wedge \text{elementary-reduction } (t2 \setminus u2)$ 
proof (cases Ide (t2 \ u2))
assume 1: Ide (t2 \ u2)
hence elementary-reduction (t1 \ (u11 \circ u12))
by (metis A B C D E F G Ide-Src Arr.simps(4) Src.simps(4)
      elementary-reduction.simps(4) lambda.inject(3) resid-Arr-Src)
thus ?thesis
using 1 by auto
next
assume 1:  $\neg \text{Ide } (t2 \setminus u2)$ 
hence Ide (t1 \ (u11 \circ u12))  $\wedge$  elementary-reduction (t2 \ u2)
apply (intro conjI)
apply (metis 1 A D Ide-Src Arr.simps(4) Src.simps(4) resid-Ide-Arr)
by (metis A B C D F Ide-iff-Src-self lambda.inject(3) resid-Arr-Src resid-Ide-Arr)
thus ?thesis by simp
qed
show ( $\neg \text{Ide } (\text{leftmost-strategy } (\text{Trg } u11 \circ \text{Trg } u12)) \longrightarrow$ 
       $(\text{elementary-reduction } (t1 \setminus (u11 \circ u12)) \wedge \text{Ide } (t2 \setminus u2) \vee$ 
       $\text{Ide } (t1 \setminus (u11 \circ u12)) \wedge \text{elementary-reduction } (t2 \setminus u2)) \wedge$ 
       $\text{leftmost-strategy } (\text{Trg } u11 \circ \text{Trg } u12) = t1 \setminus (u11 \circ u12) \wedge \text{Trg } u2 = t2 \setminus u2) \wedge$ 
       $(\text{Ide } (\text{leftmost-strategy } (\text{Trg } u11 \circ \text{Trg } u12))) \longrightarrow$ 
       $(\text{elementary-reduction } (t1 \setminus (u11 \circ u12)) \wedge \text{Ide } (t2 \setminus u2) \vee$ 
       $\text{Ide } (t1 \setminus (u11 \circ u12)) \wedge \text{elementary-reduction } (t2 \setminus u2)) \wedge$ 
       $\text{Trg } u11 \circ \text{Trg } u12 = t1 \setminus (u11 \circ u12) \wedge \text{leftmost-strategy } (\text{Trg } u2) = t2 \setminus u2)$ 
proof (intro conjI impI)
show H:  $\text{elementary-reduction } (t1 \setminus (u11 \circ u12)) \wedge \text{Ide } (t2 \setminus u2) \vee$ 
       $\text{Ide } (t1 \setminus (u11 \circ u12)) \wedge \text{elementary-reduction } (t2 \setminus u2)$ 
by fact
show H:  $\text{elementary-reduction } (t1 \setminus (u11 \circ u12)) \wedge \text{Ide } (t2 \setminus u2) \vee$ 
       $\text{Ide } (t1 \setminus (u11 \circ u12)) \wedge \text{elementary-reduction } (t2 \setminus u2)$ 
by fact
assume K:  $\neg \text{Ide } (\text{leftmost-strategy } (\text{Trg } u11 \circ \text{Trg } u12))$ 

```

```

show J: Trg u2 = t2 \ u2
  using A B D G K has-redex-iff-not-Ide-leftmost-strategy
    NF-def NF-iff-has-no-redex NF-App-iff resid-Arr-Src resid-Src-Arr
    by (metis lambda.inject(3))
show leftmost-strategy (Trg u11 o Trg u12) = t1 \ (u11 o u12)
  using 2 A B C D E G H J u Ide-Trg Src-Src
    has-redex-iff-not-Ide-leftmost-strategy resid-Arr-Ide resid-Src-Arr
    by (metis Arr.simps(4) Ide.simps(4) Src.simps(4) Trg.simps(3)
      elementary-reduction.simps(4) lambda.inject(3))
next
assume K: Ide (leftmost-strategy (Trg u11 o Trg u12))
show I: Trg u11 o Trg u12 = t1 \ (u11 o u12)
  using 2 A D E K u Coinitial-resid-resid ConI resid-Arr-self resid-Ide-Arr
    resid-Arr-Ide Ide-iff-Src-self Src-resid
  apply (cases Ide (leftmost-strategy (Src u11 o Src u12)))
    apply simp
  using lambda-calculus.Con-Arr-Src(2)
    apply force
    apply simp
  using u1 G H Coinitial-iff-Con
  apply (cases elementary-reduction u11;
    cases elementary-reduction u12)
    apply simp-all
    apply metis
    apply (metis Src.simps(4) Trg.simps(3) elementary-reduction.simps(1,4))
    apply (metis Src.simps(4) Trg.simps(3) elementary-reduction.simps(1,4))
  by (metis Trg-Src)
show leftmost-strategy (Trg u2) = t2 \ u2
  using 2 A C D F G H I u Ide-Trg Ide-iff-Src-self NF-def NF-iff-has-no-redex
    has-redex-iff-not-Ide-leftmost-strategy resid-Ide-Arr
  by (metis Arr.simps(4) Src.simps(4) Trg.simps(3) elementary-reduction.simps(4)
    lambda.inject(3))
qed
qed
qed
qed

end

```

3.6 Standard Reductions

In this section, we define the notion of a *standard reduction*, which is an elementary reduction path that performs reductions from left to right, possibly skipping some redexes that could be contracted. Once a redex has been skipped, neither that redex nor any redex to its left will subsequently be contracted. We then define and prove correct a function that transforms an arbitrary elementary reduction path into a congruent standard reduction path. Using this function, we prove the Standardization Theorem, which says that every elementary reduction path is congruent to a standard reduction

path. We then show that a standard reduction path that reaches a normal form is in fact a leftmost reduction path. From this fact and the Standardization Theorem we prove the Leftmost Reduction Theorem: leftmost reduction is a normalizing strategy.

The Standardization Theorem was first proved by Curry and Feys [3], with subsequent proofs given by a number of authors. Formalized proofs have also been given; a recent one (using Agda) is presented in [2], with references to earlier work. The version of the theorem that we formalize here is a “strong” version, which asserts the existence of a standard reduction path congruent to a given elementary reduction path. At the core of the proof is a function that directly transforms a given reduction path into a standard one, using an algorithm roughly analogous to insertion sort. The Finite Development Theorem is used in the proof of termination. The proof of correctness is long, due to the number of cases that have to be considered, but the use of a proof assistant makes this manageable.

3.6.1 Standard Reduction Paths

‘Standardly Sequential’ Reductions

We first need to define the notion of a “standard reduction”. In contrast to what is typically done by other authors, we define this notion by direct comparison of adjacent terms in an elementary reduction path, rather than by using devices such as a numbering of subterms from left to right.

The following function decides when two terms t and u are elementary reductions that are “standardly sequential”. This means that t and u are sequential, but in addition no marked redex in u is the residual of an (unmarked) redex “to the left of” any marked redex in t . Some care is required to make sure that the recursive definition captures what we intend. Most of the clauses are readily understandable. One clause that perhaps could use some explanation is the one for $sseq ((\lambda[t] \bullet u) \circ v) w$. Referring to the previously proved fact *seq-cases*, which classifies the way in which two terms t and u can be sequential, we see that one case that must be covered is when t has the form $\lambda[t] \bullet v$ and the top-level constructor of u is *Beta*. In this case, it is the reduction of t that creates the top-level redex contracted in u , so it is impossible for u to be a residual of a redex that already exists in *Src t*.

```
context lambda-calculus
begin

fun sseq
where sseq - $ = False
| sseq ``-» ``-» = False
| sseq  $\lambda[t]$   $\lambda[t']$  = sseq t t'
| sseq (t  $\circ$  u) (t'  $\circ$  u') =
  ((sseq t t'  $\wedge$  Ide u  $\wedge$  u = u')  $\vee$ 
   (Ide t  $\wedge$  t = t'  $\wedge$  sseq u u')  $\vee$ 
   (elementary-reduction t  $\wedge$  Trg t = t'  $\wedge$ 
    (u = Src u'  $\wedge$  elementary-reduction u')))
```

```

| sseq ( $\lambda[t] \circ u$ ) ( $\lambda[t] \bullet u'$ ) = False
| sseq (( $\lambda[t] \bullet u$ )  $\circ v$ ) w =
  (Ide t  $\wedge$  Ide u  $\wedge$  Ide v  $\wedge$  elementary-reduction w  $\wedge$  seq (( $\lambda[t] \bullet u$ )  $\circ v$ ) w)
| sseq ( $\lambda[t] \bullet u$ ) v = (Ide t  $\wedge$  Ide u  $\wedge$  elementary-reduction v  $\wedge$  seq ( $\lambda[t] \bullet u$ ) v)
| sseq - - = False

```

```

lemma sseq-imp-seq:
shows sseq t u  $\implies$  seq t u
proof (induct t arbitrary: u)
  show  $\bigwedge u$ . sseq  $\sharp u$   $\implies$  seq  $\sharp u$ 
    using sseq.elims(1) by blast
  fix u
  show  $\bigwedge x$ . sseq «x» u  $\implies$  seq «x» u
    using sseq.elims(1) by blast
  show  $\bigwedge t$ . [ $\bigwedge u$ . sseq t u  $\implies$  seq t u; sseq  $\lambda[t]$  u]  $\implies$  seq  $\lambda[t]$  u
    using seq-char by (cases u) auto
  show  $\bigwedge t_1 t_2$ . [ $\bigwedge u$ . sseq t_1 u  $\implies$  seq t_1 u;  $\bigwedge u$ . sseq t_2 u  $\implies$  seq t_2 u;
    sseq ( $\lambda[t_1] \bullet t_2$ ) u]
     $\implies$  seq ( $\lambda[t_1] \bullet t_2$ ) u
    using seq-char Ide-implies-Arr
    by (cases u) auto
  fix t1 t2
  show [ $\bigwedge u$ . sseq t1 u  $\implies$  seq t1 u;  $\bigwedge u$ . sseq t2 u  $\implies$  seq t2 u; sseq (t1  $\circ$  t2) u]
     $\implies$  seq (t1  $\circ$  t2) u
proof -
  assume ind1:  $\bigwedge u$ . sseq t1 u  $\implies$  seq t1 u
  assume ind2:  $\bigwedge u$ . sseq t2 u  $\implies$  seq t2 u
  assume 1: sseq (t1  $\circ$  t2) u
  show ?thesis
    using 1 ind1 ind2 seq-char arr-char elementary-reduction-is-arr
      Ide-Src Ide-Trg Ide-implies-Arr Coinitial-iff-Con resid-Arr-self
    apply (cases u, simp-all)
      apply (cases t1, simp-all)
      apply (cases t1, simp-all)
      apply (cases Ide t1; cases Ide t2)
        apply simp-all
        apply (metis Ide-iff-Src-self Ide-iff-Trg-self)
        apply (metis Ide-iff-Src-self Ide-iff-Trg-self)
        apply (metis Ide-iff-Trg-self Src-Trg)
        by (cases t1) auto
  qed
qed

```

```

lemma sseq-imp-elementary-reduction1:
shows sseq t u  $\implies$  elementary-reduction t
proof (induct u arbitrary: t)
  show  $\bigwedge t$ . sseq t  $\sharp$   $\implies$  elementary-reduction t
    by simp
  show  $\bigwedge x$  t. sseq t «x»  $\implies$  elementary-reduction t

```

```

using elementary-reduction.simps(2) sseq.elims(1) by blast
show  $\bigwedge u. [\![\lambda t. \text{sseq } t \ u \implies \text{elementary-reduction } t; \text{sseq } t \ \lambda[u]]\!] \implies \text{elementary-reduction } t \text{ for } t$ 
  using seq-cases sseq-imp-seq
  apply (cases t, simp-all)
  by force
show  $\bigwedge u_1 \ u_2. [\![\lambda t. \text{sseq } t \ u_1 \implies \text{elementary-reduction } t;$ 
 $\quad [\![\lambda t. \text{sseq } t \ u_2 \implies \text{elementary-reduction } t;$ 
 $\quad \text{sseq } t \ (u_1 \circ u_2)]\!] \implies \text{elementary-reduction } t \text{ for } t$ 
  using seq-cases sseq-imp-seq Ide-Src elementary-reduction-is-arr
  apply (cases t, simp-all)
  by blast
show  $\bigwedge u_1 \ u_2.$ 
 $[\![\lambda t. \text{sseq } t \ u_1 \implies \text{elementary-reduction } t; \lambda t. \text{sseq } t \ u_2 \implies \text{elementary-reduction } t;$ 
 $\quad \text{sseq } t \ (\lambda[u_1] \bullet u_2)]\!] \implies \text{elementary-reduction } t \text{ for } t$ 
  using seq-cases sseq-imp-seq
  apply (cases t, simp-all)
  by fastforce
qed

lemma sseq-imp-elementary-reduction2:
shows sseq t u  $\implies$  elementary-reduction u
proof (induct u arbitrary: t)
  show  $\bigwedge t. \text{sseq } t \ \sharp \implies \text{elementary-reduction } \sharp$ 
    by simp
  show  $\bigwedge x \ t. \text{sseq } t \ «x» \implies \text{elementary-reduction } «x»$ 
    using elementary-reduction.simps(2) sseq.elims(1) by blast
  show  $\bigwedge u. [\![\lambda t. \text{sseq } t \ u \implies \text{elementary-reduction } u; \text{sseq } t \ \lambda[u]]\!] \implies \text{elementary-reduction } \lambda[u] \text{ for } t$ 
    using seq-cases sseq-imp-seq
    apply (cases t, simp-all)
    by force
  show  $\bigwedge u_1 \ u_2. [\![\lambda t. \text{sseq } t \ u_1 \implies \text{elementary-reduction } u_1;$ 
 $\quad [\![\lambda t. \text{sseq } t \ u_2 \implies \text{elementary-reduction } u_2;$ 
 $\quad \text{sseq } t \ (u_1 \circ u_2)]\!] \implies \text{elementary-reduction } (u_1 \circ u_2) \text{ for } t$ 
    using seq-cases sseq-imp-seq Ide-Trg elementary-reduction-is-arr
    by (cases t) auto
  show  $\bigwedge u_1 \ u_2. [\![\lambda t. \text{sseq } t \ u_1 \implies \text{elementary-reduction } u_1;$ 
 $\quad [\![\lambda t. \text{sseq } t \ u_2 \implies \text{elementary-reduction } u_2;$ 
 $\quad \text{sseq } t \ (\lambda[u_1] \bullet u_2)]\!] \implies \text{elementary-reduction } (\lambda[u_1] \bullet u_2) \text{ for } t$ 
    using seq-cases sseq-imp-seq
    apply (cases t, simp-all)
    by fastforce
qed

```

```

lemma sseq-Beta:
  shows sseq ( $\lambda[t] \bullet u$ ) v  $\longleftrightarrow$  Ide t  $\wedge$  Ide u  $\wedge$  elementary-reduction v  $\wedge$  seq ( $\lambda[t] \bullet u$ ) v
    by (cases v) auto

lemma sseq-BetaI [intro]:
  assumes Ide t and Ide u and elementary-reduction v and seq ( $\lambda[t] \bullet u$ ) v
  shows sseq ( $\lambda[t] \bullet u$ ) v
    using assms sseq-Beta by simp

```

A head reduction is standardly sequential with any elementary reduction that can be performed after it.

```

lemma sseq-head-reductionI:
  shows [[is-head-reduction t; elementary-reduction u; seq t u]]  $\implies$  sseq t u
  proof (induct t arbitrary: u)
    show  $\bigwedge u$ . [[is-head-reduction  $\sharp$ ; elementary-reduction u; seq  $\sharp$  u]]  $\implies$  sseq  $\sharp$  u
      by simp
    show  $\bigwedge x$  u. [[is-head-reduction «x»; elementary-reduction u; seq «x» u]]  $\implies$  sseq «x» u
      by auto
    show  $\bigwedge t$ . [[ $\bigwedge u$ . [[is-head-reduction t; elementary-reduction u; seq t u]]  $\implies$  sseq t u;
      is-head-reduction  $\lambda[t]$ ; elementary-reduction u; seq  $\lambda[t]$  u]]
       $\implies$  sseq  $\lambda[t]$  u for u
      by (cases u) auto
    show  $\bigwedge t2$ . [[ $\bigwedge u$ . [[is-head-reduction t1; elementary-reduction u; seq t1 u]]  $\implies$  sseq t1 u;
       $\bigwedge u$ . [[is-head-reduction t2; elementary-reduction u; seq t2 u]]  $\implies$  sseq t2 u;
      is-head-reduction (t1  $\circ$  t2); elementary-reduction u; seq (t1  $\circ$  t2) u]]
       $\implies$  sseq (t1  $\circ$  t2) u for t1 u
    using seq-char
    apply (cases u)
      apply simp-all
    apply (metis ArrE Ide-iff-Src-self Ide-iff-Trg-self App-Var-contains-no-head-reduction
      is-head-reduction-char is-head-reduction-imp-contains-head-reduction
      is-head-reduction.simps(3,6–7))
    by (cases t1) auto
    show  $\bigwedge t1 t2$  u. [[ $\bigwedge u$ . [[is-head-reduction t1; elementary-reduction u; seq t1 u]]  $\implies$  sseq t1 u;
       $\bigwedge u$ . [[is-head-reduction t2; elementary-reduction u; seq t2 u]]  $\implies$  sseq t2 u;
      is-head-reduction ( $\lambda[t1] \bullet t2$ ); elementary-reduction u; seq ( $\lambda[t1] \bullet t2$ ) u]]
       $\implies$  sseq ( $\lambda[t1] \bullet t2$ ) u
      by auto
  qed

```

Once a head reduction is skipped in an application, then all terms that follow it in a standard reduction path are also applications that do not contain head reductions.

```

lemma sseq-preserves-App-and-no-head-reduction:
  shows [[sseq t u; is-App t  $\wedge$   $\neg$  contains-head-reduction t]]
     $\implies$  is-App u  $\wedge$   $\neg$  contains-head-reduction u
  apply (induct t arbitrary: u)
    apply simp-all
  proof –
    fix t1 t2 u

```

```

assume ind1:  $\bigwedge u. [\text{sseq } t1 \ u; \text{is-App } t1 \wedge \neg \text{contains-head-reduction } t1]$ 
     $\implies \text{is-App } u \wedge \neg \text{contains-head-reduction } u$ 
assume ind2:  $\bigwedge u. [\text{sseq } t2 \ u; \text{is-App } t2 \wedge \neg \text{contains-head-reduction } t2]$ 
     $\implies \text{is-App } u \wedge \neg \text{contains-head-reduction } u$ 
assume sseq: sseq ( $t1 \circ t2$ )  $u$ 
assume t:  $\neg \text{contains-head-reduction } (t1 \circ t2)$ 
have u:  $\neg \text{is-Beta } u$ 
using sseq t sseq-imp-seq seq-cases
by (cases t1; cases u) auto
have 1: is-App u
using u sseq sseq-imp-seq
apply (cases u)
apply simp-all
by fastforce+
moreover have  $\neg \text{contains-head-reduction } u$ 
proof (cases u)
    show  $\bigwedge v. u = \lambda[v] \implies \neg \text{contains-head-reduction } u$ 
        using 1 by auto
    show  $\bigwedge v w. u = \lambda[v] \bullet w \implies \neg \text{contains-head-reduction } u$ 
        using u by auto
fix u1 u2
assume u:  $u = u1 \circ u2$ 
have 1:  $(\text{sseq } t1 \ u1 \wedge \text{Ide } t2 \wedge t2 = u2) \vee (\text{Ide } t1 \wedge t1 = u1 \wedge \text{sseq } t2 \ u2) \vee$ 
     $(\text{elementary-reduction } t1 \wedge u1 = \text{Trg } t1 \wedge t2 = \text{Src } u2 \wedge \text{elementary-reduction } u2)$ 
    using sseq u by force
moreover have Ide t1  $\wedge t1 = u1 \wedge \text{sseq } t2 \ u2 \implies ?thesis$ 
    using Ide-implies-Arr ide-char sseq-imp-seq t u by fastforce
moreover have elementary-reduction t1  $\wedge u1 = \text{Trg } t1 \wedge t2 = \text{Src } u2 \wedge$ 
     $\text{elementary-reduction } u2$ 
     $\implies ?thesis$ 
proof -
assume 2: elementary-reduction t1  $\wedge u1 = \text{Trg } t1 \wedge t2 = \text{Src } u2 \wedge$ 
     $\text{elementary-reduction } u2$ 
have contains-head-reduction u  $\implies \text{contains-head-reduction } u1$ 
    using u
    apply simp
    using contains-head-reduction.elims(2) by fastforce
hence contains-head-reduction u  $\implies \neg \text{Ide } u1$ 
    using contains-head-reduction-iff
    by (metis Coinitial-iff-Con Ide-iff-Src-self Ide-implies-Arr ide-char resid-Arr-Src
        subs-head-redex subs-implies-prfx)
thus ?thesis
    using 2
    by (metis Arr.simps(4) Ide-Trg seq-char sseq sseq-imp-seq)
qed
moreover have sseq t1 u1  $\wedge \text{Ide } t2 \wedge t2 = u2 \implies ?thesis$ 
    using t u ind1 [of u1] Ide-implies-Arr sseq-imp-elementary-reduction1
    apply (cases t1, simp-all)
    using elementary-reduction.simps(1)

```

```

apply blast
using elementary-reduction.simps(2)
apply blast
using contains-head-reduction.elims(2)
apply fastforce
apply (metis contains-head-reduction.simps(6) is-App-def)
using sseq-Beta by blast
ultimately show ?thesis by blast
qed auto
ultimately show is-App u ∧ ¬ contains-head-reduction u
by blast
qed

end

```

Standard Reduction Paths

```

context reduction-paths
begin

```

A *standard reduction path* is an elementary reduction path in which successive reductions are standardly sequential.

```

fun Std
where Std [] = True
| Std [t] = Λ.elementary-reduction t
| Std (t # U) = (Λ.sseq t (hd U) ∧ Std U)

lemma Std-consE [elim]:
assumes Std (t # U)
and [|Λ.Arr t; U ≠ []|] ⇒ Λ.sseq t (hd U); Std U] ⇒ thesis
shows thesis
using assms
by (metis Λ.arr-char Λ.elementary-reduction-is-arr Λ.seq-char Λ.sseq-imp-seq
list.exhaust-sel list.sel(1) Std.simps(1–3))

lemma Std-imp-Arr [simp]:
shows [|Std T; T ≠ []|] ⇒ Arr T
proof (induct T)
show [] ≠ [] ⇒ Arr []
by simp
fix t U
assume ind: [|Std U; U ≠ []|] ⇒ Arr U
assume tU: Std (t # U)
show Arr (t # U)
proof (cases U = [])
show U = [] ⇒ Arr (t # U)
using Λ.elementary-reduction-is-arr tU Λ.Ide-implies-Arr Std.simps(2) Arr.simps(2)
by blast
assume U: U ≠ []

```

```

show Arr (t # U)
proof -
  have Λ.sseq t (hd U)
  using tU U
  by (metis list.exhaustsel reduction-paths.Std.simps(3))
thus ?thesis
  using U ind Λ.sseq-imp-seq
  apply auto
  using reduction-paths.Std.elims(3) tU
  by fastforce
qed
qed
qed

lemma Std-imp-sseq-last-hd:
shows [|Std (T @ U); T ≠ []; U ≠ []|] ⇒ Λ.sseq (last T) (hd U)
apply (induct T arbitrary: U)
apply simp-all
by (metis Std.elims(3) Std.simps(3) append-self-conv2 neq-Nil-conv)

lemma Std-implies-set-subset-elementary-reduction:
shows Std U ⇒ set U ⊆ Collect Λ.elementary-reduction
apply (induct U)
apply auto
by (metis Std.simps(2) Std.simps(3) neq-Nil-conv Λ.sseq-imp-elementary-reduction1)

lemma Std-map-Lam:
shows Std T ⇒ Std (map Λ.Lam T)
proof (induct T)
  show Std [] ⇒ Std (map Λ.Lam [])
  by simp
  fix t U
  assume ind: Std U ⇒ Std (map Λ.Lam U)
  assume tU: Std (t # U)
  have Std (map Λ.Lam (t # U)) ←→ Std (λ[t] # map Λ.Lam U)
  by auto
  also have ... = True
  apply (cases U = [])
  apply simp-all
  using Arr.simps(3) Std.simps(2) arr-char tU
  apply presburger
qed

```

```

proof -
  assume U: U ≠ []
  have Std (λ[t] # map Λ.Lam U) ←→ Λ.sseq λ[t] λ[hd U] ∧ Std (map Λ.Lam U)
  using U
  by (metis Nil-is-map-conv Std.simps(3) hd-map list.exhaustsel)
  also have ... ←→ Λ.sseq t (hd U) ∧ Std (map Λ.Lam U)
  by auto
  also have ... = True

```

```

using ind tU U
by (metis Std.simps(3) list.exhaustsel)
finally show Std (λ[t] # map Λ.Lam U) by blast
qed
finally show Std (map Λ.Lam (t # U)) by blast
qed

lemma Std-map-App1:
shows [[Λ.Ide b; Std T]] ==> Std (map (λX. X o b) T)
proof (induct T)
show [[Λ.Ide b; Std []]] ==> Std (map (λX. X o b) [])
by simp
fix t U
assume ind: [[Λ.Ide b; Std U]] ==> Std (map (λX. X o b) U)
assume b: Λ.Ide b
assume tU: Std (t # U)
show Std (map (λv. v o b) (t # U))
proof (cases U = [])
show U = [] ==> ?thesis
using Ide-implies-Arr b Λ.arr-char tU by force
assume U: U ≠ []
have Std (map (λv. v o b) (t # U)) = Std ((t o b) # map (λX. X o b) U)
by simp
also have ... = (Λ.sseq (t o b) (hd U o b) ∧ Std (map (λX. X o b) U))
using U reduction-paths.Std.simps(3) hd-map
by (metis Nil-is-map-conv neq-Nil-conv)
also have ... = True
using b tU U ind
by (metis Std.simps(3) list.exhaustsel Λ.sseq.simps(4))
finally show Std (map (λv. v o b) (t # U)) by blast
qed
qed

lemma Std-map-App2:
shows [[Λ.Ide a; Std T]] ==> Std (map (λu. a o u) T)
proof (induct T)
show [[Λ.Ide a; Std []]] ==> Std (map (λu. a o u) [])
by simp
fix t U
assume ind: [[Λ.Ide a; Std U]] ==> Std (map (λu. a o u) U)
assume a: Λ.Ide a
assume tU: Std (t # U)
show Std (map (λu. a o u) (t # U))
proof (cases U = [])
show U = [] ==> ?thesis
using a tU by force
assume U: U ≠ []
have Std (map (λu. a o u) (t # U)) = Std ((a o t) # map (λu. a o u) U)
by simp

```

```

also have ... = ( $\Lambda.sseq(a \circ t) (a \circ hd U) \wedge Std(map(\lambda u. a \circ u) U)$ )
  using  $U$ 
  by (metis Nil-is-map-conv Std.simps(3) hd-map list.exhaustsel)
also have ... = True
  using  $a t U U$  ind
  by (metis Std.simps(3) list.exhaustsel  $\Lambda.sseq.simps(4)$ )
finally show  $Std(map(\lambda u. a \circ u) (t \# U))$  by blast
qed
qed

lemma Std-map-un-Lam:
shows  $[Std T; set T \subseteq Collect \Lambda.is-Lam] \implies Std(map \Lambda.un-Lam T)$ 
proof (induct T)
  show  $[Std []; set [] \subseteq Collect \Lambda.is-Lam] \implies Std(map \Lambda.un-Lam [])$ 
    by simp
  fix  $t T$ 
  assume ind:  $[Std T; set T \subseteq Collect \Lambda.is-Lam] \implies Std(map \Lambda.un-Lam T)$ 
  assume  $tT: Std(t \# T)$ 
  assume 1:  $set(t \# T) \subseteq Collect \Lambda.is-Lam$ 
  show  $Std(map \Lambda.un-Lam(t \# T))$ 
  proof (cases  $T = []$ )
    show  $T = [] \implies Std(map \Lambda.un-Lam(t \# T))$ 
      by (metis 1 Std.simps(2)  $\Lambda.elementary-reduction.simps(3)$   $\Lambda.lambda.collapse(2)$ 
          list.set-intros(1) list.simps(8) list.simps(9) mem-Collect-eq subset-code(1) tT)
    assume  $T: T \neq []$ 
    show  $Std(map \Lambda.un-Lam(t \# T))$ 
      using  $T tT 1$  ind Std.simps(3) [of  $\Lambda.un-Lam t \Lambda.un-Lam(hd T) map \Lambda.un-Lam(tl T)$ ]
      by (metis  $\Lambda.lambda.collapse(2)$   $\Lambda.sseq.simps(3)$  list.exhaustsel list.sel(1)
          list.set-intros(1) map-eq-Cons-conv mem-Collect-eq reduction-paths.Std.simps(3)
          set-subset-Cons subset-code(1))
  qed
qed
qed

lemma Std-append-single:
shows  $[Std T; T \neq []; \Lambda.sseq(last T) u] \implies Std(T @ [u])$ 
proof (induct T)
  show  $[Std []; [] \neq []; \Lambda.sseq([]) u] \implies Std([] @ [u])$ 
    by blast
  fix  $t T$ 
  assume ind:  $[Std T; T \neq []; \Lambda.sseq(last T) u] \implies Std(T @ [u])$ 
  assume  $tT: Std(t \# T)$ 
  assume sseq:  $\Lambda.sseq(last(t \# T)) u$ 
  have  $Std(t \# (T @ [u]))$ 
    using  $\Lambda.sseq-imp-elementary-reduction2$  sseq ind tT
    apply (cases  $T = []$ )
    apply simp
    by (metis append-Cons last-ConsR list.sel(1) neq-Nil-conv reduction-paths.Std.simps(3))
  thus  $Std((t \# T) @ [u])$  by simp
qed

```

```

lemma Std-append:
shows  $\llbracket \text{Std } T; \text{Std } U; T = [] \vee U = [] \vee \Lambda.\text{sseq}(\text{last } T)(\text{hd } U) \rrbracket \implies \text{Std } (T @ U)$ 
proof (induct  $U$  arbitrary:  $T$ )
  show  $\bigwedge T. \llbracket \text{Std } T; \text{Std } []; T = [] \vee [] = [] \vee \Lambda.\text{sseq}(\text{last } T)(\text{hd } []) \rrbracket \implies \text{Std } (T @ [])$ 
    by simp
  fix  $u T U$ 
  assume  $\text{ind}: \bigwedge T. \llbracket \text{Std } T; \text{Std } U; T = [] \vee U = [] \vee \Lambda.\text{sseq}(\text{last } T)(\text{hd } U) \rrbracket$ 
     $\implies \text{Std } (T @ U)$ 
  assume  $T: \text{Std } T$ 
  assume  $uU: \text{Std } (u \# U)$ 
  have  $U: \text{Std } U$ 
    using  $uU \text{Std.elims}(3)$  by fastforce
  assume  $\text{seq}: T = [] \vee u \# U = [] \vee \Lambda.\text{sseq}(\text{last } T)(\text{hd } (u \# U))$ 
  show  $\text{Std } (T @ (u \# U))$ 
    by (metis Std-append-single T U append.assoc append.left-neutral append-Cons
      ind last-snoc list.distinct(1) list.exhaust-sel list.sel(1) Std.simps(3) seq uU)
  qed

```

Projections of Standard ‘App Paths’

Given a standard reduction path, all of whose transitions have App as their top-level constructor, we can apply *un-App1* or *un-App2* to each transition to project the path onto paths formed from the “rator” and the “rand” of each application. These projected paths are not standard, since the projection operation will introduce identities, in general. However, in this section we show that if we remove the identities, then in fact we do obtain standard reduction paths.

```

abbreviation notIde
where  $\text{notIde} \equiv \lambda u. \neg \Lambda.\text{Ide } u$ 

lemma filter-notIde-Ide:
shows  $\text{Ide } U \implies \text{filter notIde } U = []$ 
  by (induct  $U$ ) auto

lemma cong-filter-notIde:
shows  $\llbracket \text{Arr } U; \neg \text{Ide } U \rrbracket \implies \text{filter notIde } U * \sim^* U$ 
proof (induct  $U$ )
  show  $\llbracket \text{Arr } []; \neg \text{Ide } [] \rrbracket \implies \text{filter notIde } [] * \sim^* []$ 
    by simp
  fix  $u U$ 
  assume  $\text{ind}: \llbracket \text{Arr } U; \neg \text{Ide } U \rrbracket \implies \text{filter notIde } U * \sim^* U$ 
  assume  $\text{Arr}: \text{Arr } (u \# U)$ 
  assume  $1: \neg \text{Ide } (u \# U)$ 
  show  $\text{filter notIde } (u \# U) * \sim^* (u \# U)$ 
  proof (cases  $\Lambda.\text{Ide } u$ )
    assume  $u: \Lambda.\text{Ide } u$ 
    have  $U: \text{Arr } U \wedge \neg \text{Ide } U$ 
      using  $\text{Arr } u 1 \text{ Ide.elims}(3)$  by fastforce

```

```

have filter notIde (u # U) = filter notIde U
  using u by simp
also have ... *~* U
  using U ind by blast
also have U *~* [u] @ U
  using u
  by (metis (full-types) Arr Arr-has-Src Cons-eq-append-conv Ide.elims(3) Ide.simps(2)
      Srcs.simps(1) U arrIP arr-append-imp-seq cong-append-ideI(3) ide-char
      Λ.ide-char not-Cons-self2)
also have [u] @ U = u # U
  by simp
finally show ?thesis by blast
next
assume u: ¬ Ide u
show ?thesis
proof (cases Ide U)
  assume U: Ide U
  have filter notIde (u # U) = [u]
    using u U filter-notIde-Ide by simp
  moreover have [u] *~* [u] @ U
    using u U cong-append-ideI(4) [of [u] U]
    by (metis Arr Con-Arr-self Cons-eq-appendI Resid-Ide(1) arr-append-imp-seq
        arr-char ide-char ide-implies-arr neq-Nil-conv self-append-conv2)
  moreover have [u] @ U = u # U
    by simp
  ultimately show ?thesis by auto
next
assume U: ¬ Ide U
have filter notIde (u # U) = [u] @ filter notIde U
  using u U Arr by simp
also have ... *~* [u] @ U
proof (cases U = [])
  show U = [] ==> ?thesis
    by (metis Arr arr-char cong-reflexive append-Nil2 filter.simps(1))
  assume 1: U ≠ []
  have seq [u] (filter notIde U)
    by (metis (full-types) 1 Arr Arr.simps(2-3) Con-imp-eq-Srcs Con-implies-Arr(1)
        Ide.elims(3) Ide.simps(1) Trgs.simps(2) U ide-char ind seq-char
        seq-implies-Trgs-eq-Srcs)
  thus ?thesis
    using u U Arr ind cong-append [of [u] filter notIde U [u] U]
    by (meson 1 Arr-conse cong-reflexive seqE)
qed
also have [u] @ U = u # U
  by simp
finally show ?thesis by argo
qed
qed
qed

```

```

lemma Std-filter-map-un-App1:
shows [[Std U; set U ⊆ Collect Λ.is-App] ⇒ Std (filter notIde (map Λ.un-App1 U))]
proof (induct U)
  show [[Std []; set [] ⊆ Collect Λ.is-App] ⇒ Std (filter notIde (map Λ.un-App1 []))]
    by simp
  fix u U
  assume ind: [[Std U; set U ⊆ Collect Λ.is-App] ⇒ Std (filter notIde (map Λ.un-App1 U))]
  assume 1: Std (u # U)
  assume 2: set (u # U) ⊆ Collect Λ.is-App
  show Std (filter notIde (map Λ.un-App1 (u # U)))
    using 1 2 ind
    apply (cases u)
      apply simp-all
  proof -
    fix u1 u2
    assume uU: Std ((u1 ∘ u2) # U)
    assume set: set U ⊆ Collect Λ.is-App
    assume ind: Std U ⇒ Std (filter notIde (map Λ.un-App1 U))
    assume u: u = u1 ∘ u2
    show (¬ Λ.Ide u1 → Std (u1 # filter notIde (map Λ.un-App1 U))) ∧
      (Λ.Ide u1 → Std (filter notIde (map Λ.un-App1 U)))
    proof (intro conjI impI)
      assume u1: Λ.Ide u1
      show Std (filter notIde (map Λ.un-App1 U))
        by (metis 1 Std.simps(1) Std.simps(3) ind neq-Nil-conv)
      next
      assume u1: ¬ Λ.Ide u1
      show Std (u1 # filter notIde (map Λ.un-App1 U))
      proof (cases Ide (map Λ.un-App1 U))
        show Ide (map Λ.un-App1 U) ⇒ ?thesis
      proof -
        assume U: Ide (map Λ.un-App1 U)
        have filter notIde (map Λ.un-App1 U) = []
          by (metis U Ide-char filter-False Λ.ide-char
              mem-Collect-eq subsetD)
        thus ?thesis
          by (metis Std.elims(1) Std.simps(2) Λ.elementary-reduction.simps(4) list.discI
              list.sel(1) Λ.sseq-imp-elementary-reduction1 u1 uU)
      qed
      assume U: ¬ Ide (map Λ.un-App1 U)
      show ?thesis
      proof (cases U = [])
        show U = [] ⇒ ?thesis
          using 1 u u1 by fastforce
        assume U ≠ []
        hence U: U ≠ [] ∧ ¬ Ide (map Λ.un-App1 U)
          using U by simp
        have Λ.sseq u1 (hd (filter notIde (map Λ.un-App1 U)))
      
```

```

proof -
  have  $\bigwedge u_1 u_2. \llbracket \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}; \neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U); U \neq [];$ 
     $\quad \text{Std } ((u_1 \circ u_2) \# U); \neg \Lambda.\text{Ide } u_1 \rrbracket$ 
     $\implies \Lambda.\text{sseq } u_1 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } U)))$ 
  for  $U$ 
  apply (induct  $U$ )
  apply simp-all
  apply (intro conjI impI)
proof -
  fix  $u U u_1 u_2$ 
  assume  $\text{ind}: \bigwedge u_1 u_2. \llbracket \neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U); U \neq [];$ 
     $\quad \text{Std } ((u_1 \circ u_2) \# U); \neg \Lambda.\text{Ide } u_1 \rrbracket$ 
     $\implies \Lambda.\text{sseq } u_1 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } U)))$ 
  assume  $1: \Lambda.\text{is-App } u \wedge \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
  assume  $2: \neg \text{Ide } (\Lambda.\text{un-App1 } u \# \text{map } \Lambda.\text{un-App1 } U)$ 
  assume  $3: \Lambda.\text{sseq } (u_1 \circ u_2) u \wedge \text{Std } (u \# U)$ 
  show  $\neg \Lambda.\text{Ide } (\Lambda.\text{un-App1 } u) \implies \Lambda.\text{sseq } u_1 (\Lambda.\text{un-App1 } u)$ 
  by (metis 1 3  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide-Trg }$   $\Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{seq-char}$ 
     $\Lambda.\text{sseq.simps}(4)$   $\Lambda.\text{sseq-imp-seq}$ )
  assume  $4: \neg \Lambda.\text{Ide } u_1$ 
  assume  $5: \Lambda.\text{Ide } (\Lambda.\text{un-App1 } u)$ 
  have  $u_1: \Lambda.\text{elementary-reduction } u_1$ 
  using 3 4  $\Lambda.\text{elementary-reduction.simps}(4)$   $\Lambda.\text{sseq-imp-elementary-reduction1}$ 
  by blast
  have  $6: \text{Arr } (\Lambda.\text{un-App1 } u \# \text{map } \Lambda.\text{un-App1 } U)$ 
  using 1 3  $\text{Std-imp-Arr }$   $\text{Arr-map-un-App1 } [\text{of } u \# U]$  by auto
  have  $7: \text{Arr } (\text{map } \Lambda.\text{un-App1 } U)$ 
  using 1 2 3 5 6  $\text{Arr-map-un-App1 }$   $\text{Std-imp-Arr }$   $\Lambda.\text{ide-char}$  by fastforce
  have  $8: \neg \text{Ide } (\text{map } \Lambda.\text{un-App1 } U)$ 
  using 2 5 6  $\text{set-}\text{Ide-}\text{subset-}\text{ide}$  by fastforce
  have  $9: \Lambda.\text{seq } u (\text{hd } U)$ 
  by (metis 3 7  $\text{Std.simps}(3)$   $\text{Arr.simps}(1)$   $\text{list.collapse }$   $\text{list.simps}(8)$ 
     $\Lambda.\text{sseq-imp-seq}$ )
  show  $\Lambda.\text{sseq } u_1 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } U)))$ 
proof -
  have  $\Lambda.\text{sseq } (u_1 \circ \Lambda.\text{Trg } (\Lambda.\text{un-App2 } u)) (\text{hd } U)$ 
  proof (cases  $\Lambda.\text{Ide } (\Lambda.\text{un-App1 } (\text{hd } U))$ )
    assume  $10: \Lambda.\text{Ide } (\Lambda.\text{un-App1 } (\text{hd } U))$ 
    hence  $\Lambda.\text{elementary-reduction } (\Lambda.\text{un-App2 } (\text{hd } U))$ 
    by (metis (full-types) 1 3 7  $\text{Std.elims}(2)$   $\text{Arr.simps}(1)$ 
       $\Lambda.\text{elementary-reduction-}\text{App-}\text{iff }$   $\Lambda.\text{elementary-reduction-}\text{not-}\text{ide}$ 
       $\Lambda.\text{ide-}\text{char }$   $\text{list.sel}(2)$   $\text{list.sel}(3)$   $\text{list.set-}\text{sel}(1)$   $\text{list.simps}(8)$ 
       $\text{mem-}\text{Collect-}\text{eq }$   $\Lambda.\text{sseq-imp-elementary-reduction2 }$   $\text{subsetD}$ )
  moreover have  $\Lambda.\text{Trg } u_1 = \Lambda.\text{un-App1 } (\text{hd } U)$ 
proof -
  have  $\Lambda.\text{Trg } u_1 = \Lambda.\text{Src } (\Lambda.\text{un-App1 } u)$ 
  by (metis 1 3 5  $\Lambda.\text{Ide-}\text{iff-}\text{Src-}\text{self }$   $\Lambda.\text{Ide-}\text{implies-}\text{Arr }$   $\Lambda.\text{Trg-}\text{Src}$ 
     $\Lambda.\text{elementary-reduction-}\text{not-}\text{ide }$   $\Lambda.\text{ide-}\text{char }$   $\Lambda.\text{lambda.collapse}(3)$ 
     $\Lambda.\text{sseq.simps}(4)$   $\Lambda.\text{sseq-imp-elementary-reduction2 }$ )

```

```

also have ... =  $\Lambda.\text{Trg} (\Lambda.\text{un-App1 } u)$ 
  by (metis 5  $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-iff-Trg-self}$ 
        $\Lambda.\text{Ide-implies-Arr}$ )
also have ... =  $\Lambda.\text{un-App1} (\text{hd } U)$ 
  using 1 3 5 7  $\Lambda.\text{Ide-iff-Trg-self}$ 
  by (metis 9 10  $\text{Arr.simps}(1)$   $\text{lambda-calculus.Ide-iff-Src-self}$ 
        $\Lambda.\text{Ide-implies-Arr}$   $\Lambda.\text{Src-Src}$   $\Lambda.\text{Src-eq-iff}(2)$   $\Lambda.\text{Trg.simps}(3)$ 
        $\Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{seqE}_\Lambda$   $\text{list.setsel}(1)$   $\text{list.simps}(8)$ 
        $\text{mem-Collect-eq subsetD}$ )
  finally show ?thesis by argo
qed
moreover have  $\Lambda.\text{Trg} (\Lambda.\text{un-App2 } u) = \Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } U))$ 
  by (metis 1 7 9  $\text{Arr.simps}(1)$   $\text{hd-in-set}$   $\Lambda.\text{Src.simps}(4)$   $\Lambda.\text{Src-Src}$ 
        $\Lambda.\text{Trg.simps}(3)$   $\Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{lambda.sel}(4)$ 
        $\Lambda.\text{seq-char list.simps}(8)$   $\text{mem-Collect-eq subset-code}(1)$ )
ultimately show ?thesis
  using  $\Lambda.\text{sseq.simps}(4)$ 
  by (metis 1 7  $u1$   $\text{Arr.simps}(1)$   $\text{hd-in-set}$   $\Lambda.\text{lambda.collapse}(3)$ 
        $\text{list.simps}(8)$   $\text{mem-Collect-eq subsetD}$ )
next
assume 10:  $\neg \Lambda.\text{Ide} (\Lambda.\text{un-App1} (\text{hd } U))$ 
have False
proof -
  have  $\Lambda.\text{elementary-reduction} (\Lambda.\text{un-App2 } u)$ 
    using 1 3 5  $\Lambda.\text{elementary-reduction-App-iff}$ 
     $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{sseq-imp-elementary-reduction2}$ 
    by blast
  moreover have  $\Lambda.\text{sseq } u (\text{hd } U)$ 
    by (metis 3 7  $\text{Std.simps}(3)$   $\text{Arr.simps}(1)$ 
          $\text{hd-Cons-tl list.simps}(8)$ )
  moreover have  $\Lambda.\text{elementary-reduction} (\Lambda.\text{un-App1} (\text{hd } U))$ 
    by (metis 1 7 10  $\text{Nil-is-map-conv}$   $\text{Arr.simps}(1)$ 
          $\text{calculation}(2)$   $\Lambda.\text{elementary-reduction-App-iff}$   $\text{hd-in-set}$   $\Lambda.\text{ide-char}$ 
          $\text{mem-Collect-eq}$   $\Lambda.\text{sseq-imp-elementary-reduction2 subset-iff}$ )
  ultimately show ?thesis
    using  $\Lambda.\text{sseq.simps}(4)$ 
    by (metis 1 5 7  $\text{Arr.simps}(1)$   $\Lambda.\text{elementary-reduction-not-ide}$ 
          $\text{hd-in-set}$   $\Lambda.\text{ide-char}$   $\Lambda.\text{lambda.collapse}(3)$   $\text{list.simps}(8)$ 
          $\text{mem-Collect-eq subset-iff}$ )
  qed
  thus ?thesis by argo
qed
hence  $\text{Std } ((u1 \circ \Lambda.\text{Trg} (\Lambda.\text{un-App2 } u)) \# U)$ 
  by (metis 3 7  $\text{Std.simps}(3)$   $\text{Arr.simps}(1)$   $\text{list.exhaustsel}$   $\text{list.simps}(8)$ )
thus ?thesis
  using ind
  by (metis 7 8  $u1$   $\text{Arr.simps}(1)$   $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{ide-char}$ 
        $\text{list.simps}(8)$ )
qed

```

```

qed
thus ?thesis
  using U set u1 uU by blast
qed
thus ?thesis
  by (metis 1 Std.simps(2-3) `U ≠ []` ind list.exhaustsel list.sel(1)
      Λ.sseq-imp-elementary-reduction1)
qed
qed
qed
qed
qed
qed

lemma Std-filter-map-un-App2:
shows ``Std U; set U ⊆ Collect Λ.is-App`` ==> Std (filter notIde (map Λ.un-App2 U))
proof (induct U)
  show ``Std []; set [] ⊆ Collect Λ.is-App`` ==> Std (filter notIde (map Λ.un-App2 []))
    by simp
  fix u U
  assume ind: ``Std U; set U ⊆ Collect Λ.is-App`` ==> Std (filter notIde (map Λ.un-App2 U))
  assume 1: Std (u # U)
  assume 2: set (u # U) ⊆ Collect Λ.is-App
  show Std (filter notIde (map Λ.un-App2 (u # U)))
    using 1 2 ind
    apply (cases u)
      apply simp-all
  proof -
    fix u1 u2
    assume uU: Std ((u1 ∘ u2) # U)
    assume set: set U ⊆ Collect Λ.is-App
    assume ind: Std U ==> Std (filter notIde (map Λ.un-App2 U))
    assume u: u = u1 ∘ u2
    show (¬ Λ.Ide u2 ==> Std (u2 # filter notIde (map Λ.un-App2 U))) ∧
      (Λ.Ide u2 ==> Std (filter notIde (map Λ.un-App2 U)))
    proof (intro conjI impI)
      assume u2: Λ.Ide u2
      show Std (filter notIde (map Λ.un-App2 U))
        by (metis 1 Std.simps(1) Std.simps(3) ind neq-Nil-conv)
      next
      assume u2: ¬ Λ.Ide u2
      show Std (u2 # filter notIde (map Λ.un-App2 U))
      proof (cases Ide (map Λ.un-App2 U))
        show Ide (map Λ.un-App2 U) ==> ?thesis
      proof -
        assume U: Ide (map Λ.un-App2 U)
        have filter notIde (map Λ.un-App2 U) = []
          by (metis U Ide-char filter-False Λ.ide-char mem-Collect-eq subsetD)
        thus ?thesis
          by (metis Std.elims(1) Std.simps(2) Λ.elementary-reduction.simps(4) list.discI)
      qed
    qed
  qed
qed

```

```

list.sel(1)  $\Lambda$ .sseq-imp-elementary-reduction1  $u2$   $uU$ )
qed
assume  $U : \neg \text{Ide}(\text{map } \Lambda.\text{un-App2 } U)$ 
show ?thesis
proof (cases  $U = []$ )
  show  $U = [] \implies ?\text{thesis}$ 
    using 1  $u u2$  by fastforce
  assume  $U \neq []$ 
  hence  $U : U \neq [] \wedge \neg \text{Ide}(\text{map } \Lambda.\text{un-App2 } U)$ 
    using  $U$  by simp
  have  $\Lambda.\text{sseq } u2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
  proof -
    have  $\bigwedge u1 u2. [\text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}; \neg \text{Ide}(\text{map } \Lambda.\text{un-App2 } U); U \neq [];$ 
       $\text{Std } ((u1 \circ u2) \# U); \neg \Lambda.\text{Ide } u2]$ 
       $\implies \Lambda.\text{sseq } u2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
    for  $U$ 
    apply (induct  $U$ )
    apply simp-all
    apply (intro conjI impI)
  proof -
    fix  $u U u1 u2$ 
    assume ind:  $\bigwedge u1 u2. [\neg \text{Ide}(\text{map } \Lambda.\text{un-App2 } U); U \neq [];$ 
       $\text{Std } ((u1 \circ u2) \# U); \neg \Lambda.\text{Ide } u2]$ 
       $\implies \Lambda.\text{sseq } u2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
    assume 1:  $\Lambda.\text{is-App } u \wedge \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
    assume 2:  $\neg \text{Ide}(\Lambda.\text{un-App2 } u \# \text{map } \Lambda.\text{un-App2 } U)$ 
    assume 3:  $\Lambda.\text{sseq } (u1 \circ u2) u \wedge \text{Std } (u \# U)$ 
    assume 4:  $\neg \Lambda.\text{Ide } u2$ 
    show  $\neg \Lambda.\text{Ide } (\Lambda.\text{un-App2 } u) \implies \Lambda.\text{sseq } u2 (\Lambda.\text{un-App2 } u)$ 
    by (metis 1 3 4  $\Lambda$ .elementary-reduction.simps(4)
       $\Lambda$ .elementary-reduction-not-ide  $\Lambda$ .ide-char  $\Lambda$ .lambda.collapse(3)
       $\Lambda$ .sseq.simps(4)  $\Lambda$ .sseq-imp-elementary-reduction1)
    assume 5:  $\Lambda.\text{Ide } (\Lambda.\text{un-App2 } u)$ 
    have False
    by (metis 1 3 4 5  $\Lambda$ .elementary-reduction-not-ide  $\Lambda$ .ide-char
       $\Lambda$ .lambda.collapse(3)  $\Lambda$ .sseq.simps(4)  $\Lambda$ .sseq-imp-elementary-reduction2)
    thus  $\Lambda.\text{sseq } u2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$  by argo
  qed
  thus ?thesis
    using  $U \text{ set } u2 uU$  by blast
qed
thus ?thesis
  by (metis 1 Std.simps(2) Std.simps(3)  $\langle U \neq [] \rangle$  ind list.exhaust-sel list.sel(1)
     $\Lambda$ .sseq-imp-elementary-reduction1)
qed
qed
qed
qed
qed
qed

```

If the first step in a standard reduction path contracts a redex that is not at the head position, then all subsequent terms have *App* as their top-level operator.

```

lemma seq-App-Std-implies:
shows  $\llbracket \text{Std } (t \# U); \Lambda.\text{is-App } t \wedge \neg \Lambda.\text{contains-head-reduction } t \rrbracket$ 
     $\implies \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
proof (induct U arbitrary: t)
  show  $\bigwedge t. \llbracket \text{Std } [t]; \Lambda.\text{is-App } t \wedge \neg \Lambda.\text{contains-head-reduction } t \rrbracket$ 
     $\implies \text{set } [] \subseteq \text{Collect } \Lambda.\text{is-App}$ 
    by simp
  fix t u U
  assume ind:  $\bigwedge t. \llbracket \text{Std } (t \# U); \Lambda.\text{is-App } t \wedge \neg \Lambda.\text{contains-head-reduction } t \rrbracket$ 
     $\implies \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
  assume Std: Std (t # u # U)
  assume t:  $\Lambda.\text{is-App } t \wedge \neg \Lambda.\text{contains-head-reduction } t$ 
  have U: set (u # U)  $\subseteq \text{Collect } \Lambda.\text{elementary-reduction}$ 
    using Std Std-implies-set-subset-elementary-reduction by fastforce
  have u:  $\Lambda.\text{elementary-reduction } u$ 
    using U by simp
  have set U  $\subseteq \text{Collect } \Lambda.\text{elementary-reduction}$ 
    using U by simp
  show set (u # U)  $\subseteq \text{Collect } \Lambda.\text{is-App}$ 
proof (cases U = [])
  show U = []  $\implies ?\text{thesis}$ 
    by (metis Std empty-set empty-subsetI insert-subset
       $\Lambda.\text{sseq-preserves-App-and-no-head-reduction }$  list.sel(1) list.simps(15)
      mem-Collect-eq reduction-paths.Std.simps(3) t)
  assume U: U  $\neq []$ 
  have  $\Lambda.\text{sseq } t u$ 
    using Std by auto
  hence  $\Lambda.\text{is-App } u \wedge \neg \Lambda.\text{Ide } u \wedge \neg \Lambda.\text{contains-head-reduction } u$ 
    using t u U  $\Lambda.\text{sseq-preserves-App-and-no-head-reduction } [\text{of } t u]$ 
       $\Lambda.\text{elementary-reduction-not-ide}$ 
    by blast
  thus ?thesis
    using Std ind [of u] ⟨set U  $\subseteq \text{Collect } \Lambda.\text{elementary-reduction}$ ⟩ by simp
  qed
qed

```

3.6.2 Standard Developments

The following function takes a term *t* (representing a parallel reduction) and produces a standard reduction path that is a complete development of *t* and is thus congruent to $[t]$. The proof of termination makes use of the Finite Development Theorem.

```

function (sequential) standard-development
where standard-development  $\sharp = []$ 
  | standard-development «-» = []
  | standard-development  $\lambda[t] = \text{map } \Lambda.\text{Lam } (\text{standard-development } t)$ 
  | standard-development  $(t \circ u) =$ 

```

```

(if  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$  then
    map  $(\lambda v. v \circ \Lambda.\text{Src } u)$  (standard-development  $t$ ) @
    map  $(\lambda v. \Lambda.\text{Trg } t \circ v)$  (standard-development  $u$ )
else [])
| standard-development  $(\lambda[t] \bullet u) =$ 
(if  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$  then
     $(\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) \# \text{standard-development } (\Lambda.\text{subst } u t)$ 
else [])
by pat-completeness auto

```

abbreviation (in lambda-calculus) *stddev-term-rel*
where *stddev-term-rel* \equiv mlex-prod hgt subterm-rel

lemma (in lambda-calculus) *subst-lt-Beta*:
assumes *Arr t* and *Arr u*
shows $(\text{subst } u t, \lambda[t] \bullet u) \in \text{stddev-term-rel}$
proof –
have $(\lambda[t] \bullet u) \setminus (\lambda[\text{Src } t] \bullet \text{Src } u) = \text{subst } u t$
using assms
by (metis Arr-not-Nil Ide-Src Ide-iff-Src-self Ide-implies-Arr resid.simps(4)
 resid-Arr-Ide)
moreover have elementary-reduction $(\lambda[\text{Src } t] \bullet \text{Src } u)$
by (simp add: assms Ide-Src)
moreover have $\lambda[\text{Src } t] \bullet \text{Src } u \sqsubseteq \lambda[t] \bullet u$
by (metis assms Arr.simps(5) head-redex.simps(9) subs-head-redex)
ultimately show ?thesis
using assms elementary-reduction-decreases-hgt [of $\lambda[\text{Src } t] \bullet \text{Src } u \lambda[t] \bullet u$]
by (metis mlex-less)
qed

termination standard-development
proof (relation $\Lambda.\text{stddev-term-rel}$)
show wf $\Lambda.\text{stddev-term-rel}$
using $\Lambda.\text{wf-subterm-rel wf-mlex}$ by blast
show $\bigwedge t. (t, \lambda[t]) \in \Lambda.\text{stddev-term-rel}$
by (simp add: $\Lambda.\text{subterm-lemmas}(1)$ mlex-prod-def)
show $\bigwedge t u. (t, t \circ u) \in \Lambda.\text{stddev-term-rel}$
using $\Lambda.\text{subterm-lemmas}(3)$
by (metis antisym-conv1 $\Lambda.\text{hgt.simps}(4)$ le-add1 mem-Collect-eq mlex-iff old.prod.case)
show $\bigwedge t u. (u, t \circ u) \in \Lambda.\text{stddev-term-rel}$
using $\Lambda.\text{subterm-lemmas}(3)$ by (simp add: mlex-leq)
show $\bigwedge t u. \Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u \implies (\Lambda.\text{subst } u t, \lambda[t] \bullet u) \in \Lambda.\text{stddev-term-rel}$
using $\Lambda.\text{subst-lt-Beta}$ by simp
qed

lemma *Ide-iff-standard-development-empty*:
shows $\Lambda.\text{Arr } t \implies \Lambda.\text{Ide } t \longleftrightarrow \text{standard-development } t = []$
by (induct t) auto

```

lemma set-standard-development:
shows  $\Lambda.\text{Arr } t \rightarrow \text{set}(\text{standard-development } t) \subseteq \text{Collect } \Lambda.\text{elementary-reduction}$ 
  apply (rule standard-development.induct)
  using  $\Lambda.\text{Ide-Src } \Lambda.\text{Ide-Trg } \Lambda.\text{Arr-Subst}$  by auto

lemma cong-standard-development:
shows  $\Lambda.\text{Arr } t \wedge \neg \Lambda.\text{Ide } t \rightarrow \text{standard-development } t * \sim^* [t]$ 
proof (rule standard-development.induct)
  show  $\Lambda.\text{Arr } \# \wedge \neg \Lambda.\text{Ide } \# \rightarrow \text{standard-development } \# * \sim^* [\#]$ 
    by simp
  show  $\bigwedge x. \Lambda.\text{Arr } \langle\!\langle x \rangle\!\rangle \wedge \neg \Lambda.\text{Ide } \langle\!\langle x \rangle\!\rangle$ 
     $\rightarrow \text{standard-development } \langle\!\langle x \rangle\!\rangle * \sim^* [\langle\!\langle x \rangle\!\rangle]$ 
    by simp
  show  $\bigwedge t. \Lambda.\text{Arr } t \wedge \neg \Lambda.\text{Ide } t \rightarrow \text{standard-development } t * \sim^* [t] \implies$ 
     $\Lambda.\text{Arr } \lambda[t] \wedge \neg \Lambda.\text{Ide } \lambda[t] \rightarrow \text{standard-development } \lambda[t] * \sim^* [\lambda[t]]$ 
    by (metis (mono-tags, lifting) cong-map-Lam  $\Lambda.\text{Arr.simps}(3)$   $\Lambda.\text{Ide.simps}(3)$ 
      list.simps(8,9) standard-development.simps(3))
  show  $\bigwedge t u. [\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
     $\implies \Lambda.\text{Arr } t \wedge \neg \Lambda.\text{Ide } t \rightarrow \text{standard-development } t * \sim^* [t];$ 
     $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
     $\implies \Lambda.\text{Arr } u \wedge \neg \Lambda.\text{Ide } u \rightarrow \text{standard-development } u * \sim^* [u]]$ 
     $\implies \Lambda.\text{Arr } (t \circ u) \wedge \neg \Lambda.\text{Ide } (t \circ u) \rightarrow$ 
     $\text{standard-development } (t \circ u) * \sim^* [t \circ u]$ 
proof
  fix  $t u$ 
  assume  $ind1: \Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
     $\implies \Lambda.\text{Arr } t \wedge \neg \Lambda.\text{Ide } t \rightarrow \text{standard-development } t * \sim^* [t]$ 
  assume  $ind2: \Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
     $\implies \Lambda.\text{Arr } u \wedge \neg \Lambda.\text{Ide } u \rightarrow \text{standard-development } u * \sim^* [u]$ 
  assume  $1: \Lambda.\text{Arr } (t \circ u) \wedge \neg \Lambda.\text{Ide } (t \circ u)$ 
  show standard-development  $(t \circ u) * \sim^* [t \circ u]$ 
  proof (cases standard-development  $t = []$ )
    show standard-development  $t = [] \implies ?thesis$ 
    using  $1\ ind2\ cong\text{-map}\text{-App1}\ Ide\text{-iff}\text{-standard}\text{-development}\text{-empty}\ \Lambda.\text{Ide}\text{-iff}\text{-Trg}\text{-self}$ 
    apply simp
    by (metis (no-types, opaque-lifting) list.simps(8,9))
  assume  $t: \text{standard-development } t \neq []$ 
  show ?thesis
  proof (cases standard-development  $u = []$ )
    assume  $u: \text{standard-development } u = []$ 
    have standard-development  $(t \circ u) = \text{map } (\lambda X. X \circ u) (\text{standard-development } t)$ 
    using  $u\ 1\ \Lambda.\text{Ide}\text{-iff}\text{-Src}\text{-self}\ ide\text{-char}\ ind2$  by auto
  also have ...  $* \sim^* \text{map } (\lambda a. a \circ u) [t]$ 
  using cong-map-App2 [of  $u$ ]
  by (meson  $1\ \Lambda.\text{Arr.simps}(4)\ Ide\text{-iff}\text{-standard}\text{-development}\text{-empty}\ t\ u\ ind1$ )
  also have  $\text{map } (\lambda a. a \circ u) [t] = [t \circ u]$ 
  by simp
  finally show ?thesis by blast
next

```

```

assume u: standard-development  $u \neq []$ 
have standard-development  $(t \circ u) =$ 
    map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t) @
    map  $(\lambda b. \Lambda.\text{Trg } t \circ b)$  (standard-development u)
using 1 by force
moreover have map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t)  $\sim^* [t \circ \Lambda.\text{Src } u]$ 
proof -
  have map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t)  $\sim^* \text{map } (\lambda a. a \circ \Lambda.\text{Src } u) [t]$ 
  using t u 1 ind1  $\Lambda.\text{Ide-Src }$  Ide-iff-standard-development-empty cong-map-App2
  by (metis  $\Lambda.\text{Arr.simps}(4)$ )
  also have map  $(\lambda a. a \circ \Lambda.\text{Src } u) [t] = [t \circ \Lambda.\text{Src } u]$ 
  by simp
  finally show ?thesis by blast
qed
moreover have map  $(\lambda b. \Lambda.\text{Trg } t \circ b)$  (standard-development u)  $\sim^* [\Lambda.\text{Trg } t \circ u]$ 
  using t u 1 ind2  $\Lambda.\text{Ide-Trg }$  Ide-iff-standard-development-empty cong-map-App1
  by (metis (mono-tags, opaque-lifting)  $\Lambda.\text{Arr.simps}(4)$  list.simps(8,9))
moreover have seq (map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t))
  (map  $(\lambda b. \Lambda.\text{Trg } t \circ b)$  (standard-development u))
proof
  show Arr (map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t))
  by (metis Con-implies-Arr(1) Ide.simps(1) calculation(2) ide-char)
  show Arr (( $\circ$ ) ( $\Lambda.\text{Trg } t$ )) (standard-development u))
  by (metis Con-implies-Arr(1) Ide.simps(1) calculation(3) ide-char)
  show  $\Lambda.\text{Trg } (\text{last} (\text{map} (\lambda a. a \circ \Lambda.\text{Src } u) (\text{standard-development } t))) =$ 
     $\Lambda.\text{Src } (\text{hd} (\text{map} ((\circ) (\Lambda.\text{Trg } t)) (\text{standard-development } u)))$ 
  using 1 Src-hd-eqI Trg-last-eqI calculation(2) calculation(3) by auto
qed
ultimately have standard-development  $(t \circ u) \sim^* [t \circ \Lambda.\text{Src } u] @ [\Lambda.\text{Trg } t \circ u]$ 
  using cong-append [of map  $(\lambda a. a \circ \Lambda.\text{Src } u)$  (standard-development t)]
    map  $(\lambda b. \Lambda.\text{Trg } t \circ b)$  (standard-development u)
     $[t \circ \Lambda.\text{Src } u] [\Lambda.\text{Trg } t \circ u]$ 
  by simp
moreover have  $[t \circ \Lambda.\text{Src } u] @ [\Lambda.\text{Trg } t \circ u] \sim^* [t \circ u]$ 
  using 1  $\Lambda.\text{Ide-Trg }$   $\Lambda.\text{resid-Arr-Src }$   $\Lambda.\text{resid-Arr-self }$   $\Lambda.\text{null-char }$ 
  ide-char  $\Lambda.\text{Arr-not-Nil}$ 
  by simp
ultimately show ?thesis
  using cong-transitive by blast
qed
qed
qed
show  $\bigwedge t u. (\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u \implies$ 
   $\Lambda.\text{Arr } (\Lambda.\text{subst } u t) \wedge \neg \Lambda.\text{Ide } (\Lambda.\text{subst } u t)$ 
   $\implies \text{standard-development } (\Lambda.\text{subst } u t) \sim^* [\Lambda.\text{subst } u t]) \implies$ 
   $\Lambda.\text{Arr } (\lambda[t] \bullet u) \wedge \neg \Lambda.\text{Ide } (\lambda[t] \bullet u) \implies$ 
   $\text{standard-development } (\lambda[t] \bullet u) \sim^* [\lambda[t] \bullet u]$ 
proof
  fix t u

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assume 1:  $\Lambda.\text{Arr}(\lambda[t] \bullet u) \wedge \neg \Lambda.\text{Ide}(\lambda[t] \bullet u)$ 
assume ind:  $\Lambda.\text{Arr} t \wedge \Lambda.\text{Arr} u \implies$ 
     $\Lambda.\text{Arr}(\Lambda.\text{subst } u t) \wedge \neg \Lambda.\text{Ide}(\Lambda.\text{subst } u t)$ 
     $\longrightarrow \text{standard-development } (\Lambda.\text{subst } u t) * \sim^* [\Lambda.\text{subst } u t]$ 
show standard-development  $(\lambda[t] \bullet u) * \sim^* [\lambda[t] \bullet u]$ 
proof (cases  $\Lambda.\text{Ide}(\Lambda.\text{subst } u t)$ )
  assume 2:  $\Lambda.\text{Ide}(\Lambda.\text{subst } u t)$ 
  have standard-development  $(\lambda[t] \bullet u) = [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u]$ 
  using 1 2 Ide-iff-standard-development-empty [of  $\Lambda.\text{subst } u t$ ]  $\Lambda.\text{Arr-Subst}$ 
  by simp
  also have  $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] * \sim^* [\lambda[t] \bullet u]$ 
  using 1 2  $\Lambda.\text{Ide-Src }$   $\Lambda.\text{Ide-implies-Arr }$  ide-char  $\Lambda.\text{resid-Arr-Ide}$ 
  apply (intro conjI)
  apply simp-all
  apply (metis  $\Lambda.\text{Ide.simps}(1)$   $\Lambda.\text{Ide-Subst-iff }$   $\Lambda.\text{Ide-Trg}$ )
  by fastforce
  finally show ?thesis by blast
  next
  assume 2:  $\neg \Lambda.\text{Ide}(\Lambda.\text{subst } u t)$ 
  have standard-development  $(\lambda[t] \bullet u) =$ 
     $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ \text{standard-development } (\Lambda.\text{subst } u t)$ 
  using 1 by auto
  also have  $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ \text{standard-development } (\Lambda.\text{subst } u t) * \sim^*$ 
     $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u t]$ 
  proof (intro cong-append)
    show seq  $[\Lambda.\text{Beta}(\Lambda.\text{Src } t)(\Lambda.\text{Src } u)]$  (standard-development  $(\Lambda.\text{subst } u t)$ )
    using 1 2 ind arr-char ide-implies-arr  $\Lambda.\text{Arr-Subst }$  Con-implies-Arr(1) Src-hd-eqI
    apply (intro seqI $_{\Lambda P}$ )
    apply simp-all
    by (metis Arr.simps(1))
    show  $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] * \sim^* [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u]$ 
    using 1
    by (metis  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Ide-Src }$   $\Lambda.\text{Ide-implies-Arr }$  Arr.simps(2) Resid-Arr-self
      ide-char  $\Lambda.\text{arr-char}$ )
    show standard-development  $(\Lambda.\text{subst } u t) * \sim^* [\Lambda.\text{subst } u t]$ 
    using 1 2  $\Lambda.\text{Arr-Subst }$  ind by simp
  qed
  also have  $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u t] * \sim^* [\lambda[t] \bullet u]$ 
  proof
    show  $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u t] * \lesssim^* [\lambda[t] \bullet u]$ 
    proof –
      have  $t \setminus \Lambda.\text{Src } t \neq \sharp \wedge u \setminus \Lambda.\text{Src } u \neq \sharp$ 
      by (metis 1  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Coinitial-iff-Con }$   $\Lambda.\text{Ide-Src }$   $\Lambda.\text{Ide-iff-Src-self }$ 
         $\Lambda.\text{Ide-implies-Arr }$ )
      moreover have  $\Lambda.\text{con } (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) (\lambda[t] \bullet u)$ 
      by (metis 1  $\Lambda.\text{head-redex.simps}(9)$   $\Lambda.\text{prfx-implies-con }$   $\Lambda.\text{subs-head-redex }$ 
         $\Lambda.\text{subs-implies-prfx}$ )
      ultimately have  $([\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u t]) * \backslash^* [\lambda[t] \bullet u] =$ 
         $[\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] * \backslash^* [\lambda[t] \bullet u] @$ 

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 $[\Lambda.\text{subst } u \ t] * \setminus^* ([\lambda[t] \bullet u] * \setminus^* [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u])$ 
using Resid-append(1)
 $[\text{of } [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] \ [\Lambda.\text{subst } u \ t] \ [\lambda[t] \bullet u]]$ 
apply simp
by (metis  $\Lambda.\text{Arr-Subst}$   $\Lambda.\text{Coinitial-iff-Con}$   $\Lambda.\text{Ide-Src}$   $\Lambda.\text{resid-Arr-Ide}$ )
also have ... =  $[\Lambda.\text{subst} (\Lambda.\text{Trg } u) (\Lambda.\text{Trg } t)] @ ([\Lambda.\text{subst } u \ t] * \setminus^* [\Lambda.\text{subst } u \ t])$ 
proof -
  have  $t \setminus \Lambda.\text{Src } t \neq \# \wedge u \setminus \Lambda.\text{Src } u \neq \#$ 
  by (metis 1  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Cointial-iff-Con}$   $\Lambda.\text{Ide-Src}$ 
     $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr}$ )
  moreover have  $\Lambda.\text{Src } t \setminus t \neq \# \wedge \Lambda.\text{Src } u \setminus u \neq \#$ 
  using  $\Lambda.\text{Con-sym calculation}(1)$  by presburger
  moreover have  $\Lambda.\text{con} (\Lambda.\text{subst } u \ t) (\Lambda.\text{subst } u \ t)$ 
  by (meson  $\Lambda.\text{Arr-Subst}$   $\Lambda.\text{Con-implies-Arr2}$   $\Lambda.\text{arr-char}$   $\Lambda.\text{arr-def calculation}(2)$ )
  moreover have  $\Lambda.\text{con} (\lambda[t] \bullet u) (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u)$ 
  using  $\langle \Lambda.\text{con} (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) (\lambda[t] \bullet u) \rangle$   $\Lambda.\text{con-sym}$  by blast
  moreover have  $\Lambda.\text{con} (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) (\lambda[t] \bullet u)$ 
  using  $\langle \Lambda.\text{con} (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) (\lambda[t] \bullet u) \rangle$  by blast
  moreover have  $\Lambda.\text{con} (\Lambda.\text{subst } u \ t) (\Lambda.\text{subst} (u \setminus \Lambda.\text{Src } u) (t \setminus \Lambda.\text{Src } t))$ 
  by (metis  $\Lambda.\text{Cointial-iff-Con}$   $\Lambda.\text{Ide-Src}$  calculation(1–3)  $\Lambda.\text{resid-Arr-Ide}$ )
  ultimately show ?thesis
  using 1 by auto
qed
finally have  $([\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u \ t]) * \setminus^* [\lambda[t] \bullet u] =$ 
 $[\Lambda.\text{subst} (\Lambda.\text{Trg } u) (\Lambda.\text{Trg } t)] @ [\Lambda.\text{subst } u \ t] * \setminus^* [\Lambda.\text{subst } u \ t]$ 
by blast
moreover have Ide ...
by (metis 1 2  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Arr-Subst}$   $\Lambda.\text{Ide-Subst}$   $\Lambda.\text{Ide-Trg}$ 
  Nil-is-append-conv Arr-append-iffPWE Con-implies-Arr(2) Ide.simps(1–2)
  Ide-appendIPWE Resid-Arr-self ide-char calculation  $\Lambda.\text{ide-char ind}$ 
  Con-imp-Arr-Resid)
ultimately show ?thesis
using ide-char by presburger
qed
show  $[\lambda[t] \bullet u] * \lesssim^* [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u \ t]$ 
proof -
  have  $[\lambda[t] \bullet u] * \setminus^* ([\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u] @ [\Lambda.\text{subst } u \ t]) =$ 
 $(([\lambda[t] \bullet u] * \setminus^* [\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u]) * \setminus^* [\Lambda.\text{subst } u \ t])$ 
  by fastforce
  also have ... =  $[\Lambda.\text{subst } u \ t] * \setminus^* [\Lambda.\text{subst } u \ t]$ 
proof -
  have  $t \setminus \Lambda.\text{Src } t \neq \# \wedge u \setminus \Lambda.\text{Src } u \neq \#$ 
  by (metis 1  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Cointial-iff-Con}$   $\Lambda.\text{Ide-Src}$ 
     $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr}$ )
  moreover have  $\Lambda.\text{con} (\Lambda.\text{subst } u \ t) (\Lambda.\text{subst } u \ t)$ 
  by (metis 1  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Arr-Subst}$   $\Lambda.\text{Cointial-iff-Con}$ 
     $\Lambda.\text{con-def}$   $\Lambda.\text{null-char}$ )
  moreover have  $\Lambda.\text{con} (\lambda[t] \bullet u) (\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u)$ 
  by (metis 1  $\Lambda.\text{Con-sym}$   $\Lambda.\text{con-def}$   $\Lambda.\text{head-redex.simps}(9)$   $\Lambda.\text{null-char}$ )

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 $\Lambda.prfx\text{-implies-con } \Lambda.subs\text{-head-redex } \Lambda.subs\text{-implies-prfx})$ 
moreover have  $\Lambda.con (\Lambda.subst (u \setminus \Lambda.Src u) (t \setminus \Lambda.Src t)) (\Lambda.subst u t)$ 
  by (metis  $\Lambda.Coinitial\text{-iff-Con } \Lambda.Ide\text{-Src calculation(1) calculation(2)}$ 
     $\Lambda.resid\text{-Arr-Ide})$ 
ultimately show ?thesis
  using  $\Lambda.resid\text{-Arr-Ide}$ 
  apply simp
  by (metis  $\Lambda.Coinitial\text{-iff-Con } \Lambda.Ide\text{-Src})$ 
qed
finally have  $[\lambda[t] \bullet u]^{*\setminus*} ([\lambda[\Lambda.Src t] \bullet \Lambda.Src u] @ [\Lambda.subst u t]) =$ 
   $[\Lambda.subst u t]^{*\setminus*} [\Lambda.subst u t]$ 
  by blast
moreover have Ide ...
  by (metis 1 2  $\Lambda.Arr.simps(5) \Lambda.Arr\text{-Subst Con-implies-Arr(2) Resid-Arr-self}$ 
     $ind ide\text{-char})$ 
ultimately show ?thesis
  using ide-char by presburger
qed
qed
finally show ?thesis by blast
qed
qed
qed

lemma Src-hd-standard-development:
assumes  $\Lambda.Arr t$  and  $\neg \Lambda.Ide t$ 
shows  $\Lambda.Src (hd (standard\text{-development } t)) = \Lambda.Src t$ 
  by (metis assms Src-hd-eqI cong-standard-development list.sel(1))

lemma Trg-last-standard-development:
assumes  $\Lambda.Arr t$  and  $\neg \Lambda.Ide t$ 
shows  $\Lambda.Trg (last (standard\text{-development } t)) = \Lambda.Trg t$ 
  by (metis assms Trg-last-eqI cong-standard-development last-ConsL)

lemma Srcs-standard-development:
shows  $\llbracket \Lambda.Arr t; standard\text{-development } t \neq [] \rrbracket$ 
   $\implies Srcs (standard\text{-development } t) = \{\Lambda.Src t\}$ 
by (metis Con-implies-Arr(1) Ide.simps(1) Ide-iff-standard-development-empty
  Src-hd-standard-development Srcs-simp $_{\Lambda P}$  cong-standard-development ide-char)

lemma Trgs-standard-development:
shows  $\llbracket \Lambda.Arr t; standard\text{-development } t \neq [] \rrbracket$ 
   $\implies Trgs (standard\text{-development } t) = \{\Lambda.Trg t\}$ 
by (metis Con-implies-Arr(2) Ide.simps(1) Ide-iff-standard-development-empty
  Trg-last-standard-development Trgs-simp $_{\Lambda P}$  cong-standard-development ide-char)

lemma development-standard-development:
shows  $\Lambda.Arr t \longrightarrow development t$  (standard-development t)
  apply (rule standard-development.induct)

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apply blast
apply simp
apply (simp add: development-map-Lam)
proof
fix t1 t2
assume ind1:  $\Lambda.\text{Arr } t1 \wedge \Lambda.\text{Arr } t2$ 
 $\implies \Lambda.\text{Arr } t1 \longrightarrow \text{development } t1 \text{ (standard-development } t1)$ 
assume ind2:  $\Lambda.\text{Arr } t1 \wedge \Lambda.\text{Arr } t2$ 
 $\implies \Lambda.\text{Arr } t2 \longrightarrow \text{development } t2 \text{ (standard-development } t2)$ 
assume t:  $\Lambda.\text{Arr } (t1 \circ t2)$ 
show development (t1  $\circ$  t2) (standard-development (t1  $\circ$  t2))
proof (cases standard-development t1 = [])
show standard-development t1 = []
 $\implies \text{development } (t1 \circ t2) \text{ (standard-development } (t1 \circ t2))$ 
using t ind2  $\Lambda.\text{Ide-Src } \Lambda.\text{Ide-Trg } \Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-iff-Trg-self}$ 
    Ide-iff-standard-development-empty
    development-map-App-2 [of  $\Lambda.\text{Src } t1 \ t2$  standard-development t2]
by fastforce
assume t1: standard-development t1 = []
show development (t1  $\circ$  t2) (standard-development (t1  $\circ$  t2))
proof (cases standard-development t2 = [])
assume t2: standard-development t2 = []
show ?thesis
using t t2 ind1 Ide-iff-standard-development-empty development-map-App-1 by simp
next
assume t2: standard-development t2 = []
have development (t1  $\circ$  t2) (map ( $\lambda a. a \circ \Lambda.\text{Src } t2$ ) (standard-development t1))
using  $\Lambda.\text{Arr.simps}(4)$  development-map-App-1 ind1 t by presburger
moreover have development ((t1  $\circ$  t2) $^{1\backslash *}$ 
    map ( $\lambda a. a \circ \Lambda.\text{Src } t2$ ) (standard-development t1))
    (map ( $\lambda a. \Lambda.\text{Trg } t1 \circ a$ ) (standard-development t2))
proof -
have  $\Lambda.\text{App } t1 \ t2^{1\backslash *} \ \text{map } (\lambda a. a \circ \Lambda.\text{Src } t2) \text{ (standard-development } t1) =$ 
 $\Lambda.\text{Trg } t1 \circ t2$ 
proof -
have map ( $\lambda a. a \circ \Lambda.\text{Src } t2$ ) (standard-development t1) *~* [t1  $\circ$   $\Lambda.\text{Src } t2$ ]
proof -
have map ( $\lambda a. a \circ \Lambda.\text{Src } t2$ ) (standard-development t1) =
    standard-development (t1  $\circ$   $\Lambda.\text{Src } t2$ )
by (metis  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide-Src } \Lambda.\text{Ide-iff-Src-self}$ 
    Ide-iff-standard-development-empty  $\Lambda.\text{Ide-implies-Arr }$  Nil-is-map-conv
    append-Nil2 standard-development.simps(4) t)
also have standard-development (t1  $\circ$   $\Lambda.\text{Src } t2$ ) *~* [t1  $\circ$   $\Lambda.\text{Src } t2$ ]
by (metis  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide.simps}(4)$   $\Lambda.\text{Ide-Src } \Lambda.\text{Ide-implies-Arr }$ 
    cong-standard-development development-Ide ind1 t t1)
finally show ?thesis by blast
qed
hence [t1  $\circ$  t2] $^{1\backslash *}$  map ( $\lambda a. a \circ \Lambda.\text{Src } t2$ ) (standard-development t1) =
    [t1  $\circ$  t2] $^{1\backslash *}$  [t1  $\circ$   $\Lambda.\text{Src } t2$ ]

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by (metis Resid-parallel con-imp-coinitial prfx-implies-con calculation
      development-implies map-is-Nil-conv t1)
also have [t1 o t2] *` [t1 o Λ.Src t2] = [Λ.Trg t1 o t2]
  using t Λ.arr-resid-iff-con Λ.resid-Arr-self
  by simp force
finally have [t1 o t2] *` map (λa. a o Λ.Src t2) (standard-development t1) =
  [Λ.Trg t1 o t2]
  by blast
thus ?thesis
  by (simp add: Resid1x-as-Resid')
qed
thus ?thesis
  by (metis ind2 Λ.ARR.simps(4) Λ.Ide-Trg Λ.Ide-iff-Src-self development-map-App-2
      Λ.reduction-strategy-def Λ.head-strategy-is-reduction-strategy t)
qed
ultimately show ?thesis
  using t development-append [of t1 o t2
    map (λa. a o Λ.Src t2) (standard-development t1)
    map (λb. Λ.Trg t1 o b) (standard-development t2)]
  by auto
qed
qed
next
fix t1 t2
assume ind: Λ.ARR t1 ∧ Λ.ARR t2 ==>
  Λ.ARR (Λ.subst t2 t1)
  ==> development (Λ.subst t2 t1) (standard-development (Λ.subst t2 t1))
show Λ.ARR (λ[t1] • t2) ==> development (λ[t1] • t2) (standard-development (λ[t1] • t2))
proof
  assume 1: Λ.ARR (λ[t1] • t2)
  have development (Λ.subst t2 t1) (standard-development (Λ.subst t2 t1))
    using 1 ind by (simp add: Λ.ARR-Subst)
  thus development (λ[t1] • t2) (standard-development (λ[t1] • t2))
    using 1 Λ.Ide-Src Λ.subs-Ide by auto
qed
qed

lemma Std-standard-development:
shows Std (standard-development t)
apply (rule standard-development.induct)
  apply simp-all
  using Std-map-Lam
  apply blast
proof
  fix t u
  assume t: Λ.ARR t ∧ Λ.ARR u ==> Std (standard-development t)
  assume u: Λ.ARR t ∧ Λ.ARR u ==> Std (standard-development u)
  assume θ: Λ.ARR t ∧ Λ.ARR u
  show Std (map (λa. a o Λ.Src u) (standard-development t)) @

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map ( $\lambda b. \Lambda.Trg t \circ b$ ) (standard-development  $u$ ))
proof (cases  $\Lambda.Ide t$ )
  show  $\Lambda.Ide t \implies ?thesis$ 
    using 0  $\Lambda.Ide\text{-}iff\text{-}Trg\text{-}self Ide\text{-}iff\text{-}standard\text{-}development\text{-}empty u Std\text{-}map\text{-}App2$ 
    by fastforce
  assume 1:  $\neg \Lambda.Ide t$ 
  show ?thesis
  proof (cases  $\Lambda.Ide u$ )
    show  $\Lambda.Ide u \implies ?thesis$ 
      using  $t u 0 1 Std\text{-}map\text{-}App1 [of \Lambda.Src u standard\text{-}development t] \Lambda.Ide\text{-}Src$ 
      by (metis Ide-iff-standard-development-empty append-Nil2 list.simps(8))
    assume 2:  $\neg \Lambda.Ide u$ 
    show ?thesis
    proof (intro Std-append)
      show 3:  $Std (map (\lambda a. a \circ \Lambda.Src u) (standard\text{-}development t))$ 
      using  $t 0 Std\text{-}map\text{-}App1 \Lambda.Ide\text{-}Src$  by blast
      show  $Std (map (\lambda b. \Lambda.Trg t \circ b) (standard\text{-}development u))$ 
      using  $u 0 Std\text{-}map\text{-}App2 \Lambda.Ide\text{-}Trg$  by simp
      show  $map (\lambda a. a \circ \Lambda.Src u) (standard\text{-}development t) = [] \vee$ 
         $map (\lambda b. \Lambda.Trg t \circ b) (standard\text{-}development u) = [] \vee$ 
         $\Lambda.sseq (last (map (\lambda a. a \circ \Lambda.Src u) (standard\text{-}development t)))$ 
         $(hd (map (\lambda b. \Lambda.Trg t \circ b) (standard\text{-}development u)))$ 
    proof -
      have  $\Lambda.sseq (last (map (\lambda a. a \circ \Lambda.Src u) (standard\text{-}development t)))$ 
         $(hd (map (\lambda b. \Lambda.Trg t \circ b) (standard\text{-}development u)))$ 
    proof -
      obtain  $x$  where  $x: last (map (\lambda a. a \circ \Lambda.Src u) (standard\text{-}development t)) =$ 
         $x \circ \Lambda.Src u$ 
      using 0 1 Ide-iff-standard-development-empty last-map by auto
      obtain  $y$  where  $y: hd (map (\lambda b. \Lambda.Trg t \circ b) (standard\text{-}development u)) =$ 
         $\Lambda.Trg t \circ y$ 
      using 0 2 Ide-iff-standard-development-empty list.mapsel(1) by auto
      have  $\Lambda.elementary\text{-}reduction x$ 
      proof -
        have  $\Lambda.elementary\text{-}reduction (x \circ \Lambda.Src u)$ 
        using  $x$ 
        by (metis 0 1 3 Ide-iff-standard-development-empty Nil-is-map-conv Std.simps(2)
          Std-imp-sseq-last-hd append-butlast-last-id append-self-conv2 list.discI
          list.sel(1)  $\Lambda.sseq\text{-}imp\text{-}elementary\text{-}reduction2$ )
      thus ?thesis
        using 0  $\Lambda.Ide\text{-}Src \Lambda.elementary\text{-}reduction\text{-}not\text{-}ide$  by auto
      qed
      moreover have  $\Lambda.elementary\text{-}reduction y$ 
      proof -
        have  $\Lambda.elementary\text{-}reduction (\Lambda.Trg t \circ y)$ 
        using  $y$ 
        by (metis 0 2  $\Lambda.Ide\text{-}Trg$  Ide-iff-standard-development-empty
           $u Std.elims(2) \Lambda.elementary\text{-}reduction.simps(4) list.mapsel(1) list.sel(1)$ 
           $\Lambda.sseq\text{-}imp\text{-}elementary\text{-}reduction1$ )
    
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thus ?thesis
  using 0 Λ.Ide-Trg Λ.elementary-reduction-not-ide by auto
qed
moreover have Λ.Trg t = Λ.Trg x
  by (metis 0 1 Ide-iff-standard-development-empty Trg-last-standard-development
      x Λ.lambda.inject(3) last-map)
moreover have Λ.Src u = Λ.Src y
  using y
  by (metis 0 2 Λ.Arr-not-Nil Λ.Coinitial-iff-Con
      Ide-iff-standard-development-empty development.elims(2) development-imp-Arr
      development-standard-development Λ.lambda.inject(3) list.map sel(1)
      list.sel(1))
ultimately show ?thesis
  using x y by simp
qed
thus ?thesis by blast
qed
qed
qed
next
fix t u
assume ind: Λ.Arr t ∧ Λ.Arr u ==> Std (standard-development (Λ.subst u t))
show Λ.Arr t ∧ Λ.Arr u
  → Std ((λ[Λ.Src t] • Λ.Src u) # standard-development (Λ.subst u t))
proof
  assume 1: Λ.Arr t ∧ Λ.Arr u
  show Std ((λ[Λ.Src t] • Λ.Src u) # standard-development (Λ.subst u t))
  proof (cases Λ.Ide (Λ.subst u t))
    show Λ.Ide (Λ.subst u t)
      ==> Std ((λ[Λ.Src t] • Λ.Src u) # standard-development (Λ.subst u t))
      using 1 Λ.Arr-Subst Λ.Ide-Src Ide-iff-standard-development-empty by simp
    assume 2: ¬ Λ.Ide (Λ.subst u t)
    show Std ((λ[Λ.Src t] • Λ.Src u) # standard-development (Λ.subst u t))
    proof –
      have Λ.sseq (λ[Λ.Src t] • Λ.Src u) (hd (standard-development (Λ.subst u t)))
    proof –
      have Λ.elementary-reduction (hd (standard-development (Λ.subst u t)))
        using ind
        by (metis 1 2 Λ.Arr-Subst Ide-iff-standard-development-empty
            Std.elims(2) list.sel(1) Λ.sseq-imp-elementary-reduction1)
      moreover have Λ.seq (λ[Λ.Src t] • Λ.Src u)
        (hd (standard-development (Λ.subst u t)))
      using 1 2 Src-hd-standard-development calculation Λ.Arr.simps(5)
        Λ.Arr-Src Λ.Arr-Subst Λ.Src-Subst Λ.Trg.simps(4) Λ.Trg-Src Λ.arr-char
        Λ.elementary-reduction-is-arr Λ.seq-char
        by presburger
      ultimately show ?thesis
        using 1 Λ.Ide-Src Λ.sseq-Beta by auto
    qed
  qed
qed

```

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qed
moreover have Std (standard-development ( $\Lambda$ .subst  $u$   $t$ ))
  using 1 ind by blast
ultimately show ?thesis
  by (metis 1 2  $\Lambda$ .Arr-Subst Ide-iff-standard-development-empty Std.simps(3)
      list.collapse)
qed
qed
qed
qed

```

3.6.3 Standardization

In this section, we define and prove correct a function that takes an arbitrary reduction path and produces a standard reduction path congruent to it. The method is roughly analogous to insertion sort: given a path, recursively standardize the tail and then “insert” the head into to the result. A complication is that in general the head may be a parallel reduction instead of an elementary reduction, and in any case elementary reductions are not preserved under residuation so we need to be able to handle the parallel reductions that arise from permuting elementary reductions. In general, this means that parallel reduction steps have to be decomposed into factors, and then each factor has to be inserted at its proper position. Another issue is that reductions don’t all happen at the top level of a term, so we need to be able to descend recursively into terms during the insertion procedure. The key idea here is: in a standard reduction, once a step has occurred that is not a head reduction, then all subsequent terms will have *App* as their top-level constructor. So, once we have passed a step that is not a head reduction, we can recursively descend into the subsequent applications and treat the “rator” and the “rand” parts independently.

The following function performs the core insertion part of the standardization algorithm. It assumes that it is given an arbitrary parallel reduction t and an already-standard reduction path U , and it inserts t into U , producing a standard reduction path that is congruent to $t \# U$. A somewhat elaborate case analysis is required to determine whether t needs to be factored and whether part of it might need to be permuted with the head of U . The recursion is complicated by the need to make sure that the second argument U is always a standard reduction path. This is so that it is possible to decide when the rest of the steps will be applications and it is therefore possible to recurse into them. This constrains what recursive calls we can make, since we are not able to make a recursive call in which an identity has been prepended to U . Also, if $t \# U$ consists completely of identities, then its standardization is the empty list $[]$, which is not a path and cannot be congruent to $t \# U$. So in order to be able to apply the induction hypotheses in the correctness proof, we need to make sure that we don’t make recursive calls when U itself would consist entirely of identities. Finally, when we descend through an application, the step t and the path U are projected to their “rator” and “rand” components, which are treated separately and the results concatenated. However, the projection operations can introduce identities and therefore do not preserve elementary

reductions. To handle this, we need to filter out identities after projection but before the recursive call.

Ensuring termination also involves some care: we make recursive calls in which the length of the second argument is increased, but the “height” of the first argument is decreased. So we use a lexicographic order that makes the height of the first argument more significant and the length of the second argument secondary. The base cases either discard paths that consist entirely of identities, or else they expand a single parallel reduction t into a standard development.

```

function (sequential) stdz-insert
where stdz-insert [] = standard-development t
      | stdz-insert «-» U = stdz-insert (hd U) (tl U)
      | stdz-insert  $\lambda[t]$  U =
        (if  $\Lambda.\text{Ide}$  t then
          stdz-insert (hd U) (tl U)
        else
          map  $\Lambda.\text{Lam}$  (stdz-insert t (map  $\Lambda.\text{un-Lam}$  U)))
      | stdz-insert ( $\lambda[t]$   $\bullet$  u) (( $\lambda[-]$   $\bullet$  -) # U) = stdz-insert ( $\lambda[t]$   $\bullet$  u) U
      | stdz-insert (t  $\circ$  u) U =
        (if  $\Lambda.\text{Ide}$  (t  $\circ$  u) then
          stdz-insert (hd U) (tl U)
        else if  $\Lambda.\text{seq}$  (t  $\circ$  u) (hd U) then
          if  $\Lambda.\text{contains-head-reduction}$  (t  $\circ$  u) then
            if  $\Lambda.\text{Ide}$  ((t  $\circ$  u) \  $\Lambda.\text{head-redex}$  (t  $\circ$  u)) then
               $\Lambda.\text{head-redex}$  (t  $\circ$  u) # stdz-insert (hd U) (tl U)
            else
               $\Lambda.\text{head-redex}$  (t  $\circ$  u) # stdz-insert ((t  $\circ$  u) \  $\Lambda.\text{head-redex}$  (t  $\circ$  u)) U
          else if  $\Lambda.\text{contains-head-reduction}$  (hd U) then
            if  $\Lambda.\text{Ide}$  ((t  $\circ$  u) \  $\Lambda.\text{head-strategy}$  (t  $\circ$  u)) then
              stdz-insert ( $\Lambda.\text{head-strategy}$  (t  $\circ$  u)) (tl U)
            else
               $\Lambda.\text{head-strategy}$  (t  $\circ$  u) # stdz-insert ((t  $\circ$  u) \  $\Lambda.\text{head-strategy}$  (t  $\circ$  u)) (tl U)
          else
            map ( $\lambda a.$  a  $\circ$   $\Lambda.\text{Src}$  u)
              (stdz-insert t (filter not $\text{Ide}$  (map  $\Lambda.\text{un-App1}$  U))) @
            map ( $\lambda b.$   $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last U))  $\circ$  b)
              (stdz-insert u (filter not $\text{Ide}$  (map  $\Lambda.\text{un-App2}$  U)))
        else [])
      | stdz-insert ( $\lambda[t]$   $\bullet$  u) U =
        (if  $\Lambda.\text{Arr}$  t  $\wedge$   $\Lambda.\text{Arr}$  u then
          ( $\lambda[\Lambda.\text{Src} t]$   $\bullet$   $\Lambda.\text{Src}$  u) # stdz-insert ( $\Lambda.\text{subst}$  u t) U
        else [])
      | stdz-insert - - = []
by pat-completeness auto

```

```

fun standardize
where standardize [] = []

```

```

| standardize  $U = stdz\text{-}insert (hd U) (standardize (tl U))$ 

abbreviation stdzins-rel
  where stdzins-rel  $\equiv mlex\text{-}prod (length o snd) (inv\text{-}image (mlex\text{-}prod \Lambda.hgt \Lambda.subterm\text{-}rel) fst)$ 

termination stdz-insert
  using \Lambda.subterm.intros(2–3) \Lambda.hgt-Subst less-Suc-eq-le \Lambda.elementary-reduction-decreases-hgt
    \Lambda.elementary-reduction-head-redex \Lambda.contains-head-reduction-iff
    \Lambda.elementary-reduction-is-arr \Lambda.Src-head-redex \Lambda.App-Var-contains-no-head-reduction
    \Lambda.hgt-resid-App-head-redex \Lambda.seq-char
  apply (relation stdzins-rel)
  apply (auto simp add: wf-mlex \Lambda.wf-subterm-rel mlex-iff mlex-less \Lambda.subterm-lemmas(1))
  by (meson dual-order.eq-iff length-filter-le not-less-eq-eq)+

lemma stdz-insert-Ide:
  shows Ide ( $t \# U$ )  $\implies stdz\text{-}insert t U = []$ 
  proof (induct  $U$  arbitrary:  $t$ )
    show  $\bigwedge t. Ide [t] \implies stdz\text{-}insert t [] = []$ 
      by (metis Ide-iff-standard-development-empty \Lambda.Ide-implies-Arr Ide.simps(2)
        \Lambda.ide-char stdz-insert.simps(1))
    show  $\bigwedge U. [\bigwedge t. Ide (t \# U) \implies stdz\text{-}insert t U = []; Ide (t \# u \# U)] \implies stdz\text{-}insert t (u \# U) = []$ 
      for  $t u$ 
      using \Lambda.ide-char
      apply (cases  $t$ ; cases  $u$ )
        apply simp-all
      by fastforce
  qed

lemma stdz-insert-Ide-Std:
  shows  $[\Lambda.Ide u; seq [u] U; Std U] \implies stdz\text{-}insert u U = stdz\text{-}insert (hd U) (tl U)$ 
  proof (induct  $U$  arbitrary:  $u$ )
    show  $\bigwedge u. [\Lambda.Ide u; seq [u] []; Std []] \implies stdz\text{-}insert u [] = stdz\text{-}insert (hd []) (tl [])$ 
      by (simp add: seq-char)
    fix  $u v U$ 
    assume  $u: \Lambda.Ide u$ 
    assume  $seq: seq [u] (v \# U)$ 
    assume  $Std: Std (v \# U)$ 
    assume ind:  $\bigwedge u. [\Lambda.Ide u; seq [u] U; Std U] \implies stdz\text{-}insert u U = stdz\text{-}insert (hd U) (tl U)$ 
    show  $stdz\text{-}insert u (v \# U) = stdz\text{-}insert (hd (v \# U)) (tl (v \# U))$ 
      using u ind stdz-insert-Ide Ide-implies-Arr
      apply (cases  $u$ ; cases  $v$ )
        apply simp-all
  proof –
    fix  $x y a b$ 
    assume  $xy: \Lambda.Ide x \wedge \Lambda.Ide y$ 
    assume  $u': u = x \circ y$ 

```

```

assume  $v': v = \lambda[a] \bullet b$ 
have  $ab: \Lambda.Ide\ a \wedge \Lambda.Ide\ b$ 
  using  $Std\ \langle v = \lambda[a] \bullet b \rangle\ Std.elims(2)\ \Lambda.sseq-Beta$ 
  by (metis  $Std-consE\ \Lambda.elementary-reduction.simps(5)\ Std.simps(2)$ )
have  $x = \lambda[a] \wedge y = b$ 
  using  $xy\ ab\ u\ u'\ v'\ seq\ seq-char$ 
  by (metis  $\Lambda.Ide-iff-Src-self\ \Lambda.Ide-iff-Trg-self\ \Lambda.Ide-implies-Arr\ \Lambda.Src.simps(5)$ 
     $Srcs-simp_{AP}\ Trgs.simps(2)\ \Lambda.lambda.inject(3)\ list.sel(1)\ singleton-insert-inj-eq$ 
     $\Lambda.targets-char_\Lambda$ )
thus  $stdz-insert\ (x \circ y) ((\lambda[a] \bullet b) \# U) = stdz-insert\ (\lambda[a] \bullet b) U$ 
  using  $u\ u'\ stdz-insert.simps(4)$  by presburger
qed
qed

```

Insertion of a term with *Beta* as its top-level constructor always leaves such a term at the head of the result. Stated another way, *Beta* at the top-level must always come first in a standard reduction path.

```

lemma  $stdz-insert-Beta-ind$ :
shows  $\llbracket \Lambda.hgt\ t + length\ U \leq n; \Lambda.is-Beta\ t; seq\ [t]\ U \rrbracket$ 
   $\implies \Lambda.is-Beta\ (hd\ (stdz-insert\ t\ U))$ 
proof (induct n arbitrary: t U)
  show  $\bigwedge t\ U.\ \llbracket \Lambda.hgt\ t + length\ U \leq 0; \Lambda.is-Beta\ t; seq\ [t]\ U \rrbracket$ 
     $\implies \Lambda.is-Beta\ (hd\ (stdz-insert\ t\ U))$ 
  using  $Arr.simps(1)\ seq-char$  by blast
  fix n t U
  assume ind:  $\bigwedge t\ U.\ \llbracket \Lambda.hgt\ t + length\ U \leq n; \Lambda.is-Beta\ t; seq\ [t]\ U \rrbracket$ 
     $\implies \Lambda.is-Beta\ (hd\ (stdz-insert\ t\ U))$ 
  assume seq:  $seq\ [t]\ U$ 
  assume n:  $\Lambda.hgt\ t + length\ U \leq Suc\ n$ 
  assume t:  $\Lambda.is-Beta\ t$ 
  show  $\Lambda.is-Beta\ (hd\ (stdz-insert\ t\ U))$ 
    using t seq seq-char
    by (cases U; cases t; cases hd U) auto
qed

```

```

lemma  $stdz-insert-Beta$ :
assumes  $\Lambda.is-Beta\ t$  and  $seq\ [t]\ U$ 
shows  $\Lambda.is-Beta\ (hd\ (stdz-insert\ t\ U))$ 
  using assms  $stdz-insert-Beta-ind$  by blast

```

This is the correctness lemma for insertion: Given a term t and standard reduction path U sequential with it, the result of insertion is a standard reduction path which is congruent to $t \# U$ unless $t \# U$ consists entirely of identities.

The proof is very long. Its structure parallels that of the definition of the function *stdz-insert*. For really understanding the details, I strongly suggest viewing the proof in Isabelle/JEdit and using the code folding feature to unfold the proof a little bit at a time.

```

lemma  $stdz-insert-correctness$ :

```

```

shows seq [t] U ∧ Std U →
  Std (stdz-insert t U) ∧ (¬ Ide (t # U) → cong (stdz-insert t U) (t # U))
  (is ?P t U)
proof (rule stdz-insert.induct [of ?P])
  show ∀t. ?P t []
    using seq-char by simp
  show ∀u U. ?P [] (u # U)
    using seq-char not-arr-null null-char by auto
  show ∀x u U. ?P (hd (u # U)) (tl (u # U)) ⇒ ?P «x» (u # U)
  proof -
    fix x u U
    assume ind: ?P (hd (u # U)) (tl (u # U))
    show ?P «x» (u # U)
    proof (intro impI, elim conjE, intro conjI)
      assume seq: seq [«x»] (u # U)
      assume Std: Std (u # U)
      have 1: stdz-insert «x» (u # U) = stdz-insert u U
        by simp
      have 2: U ≠ [] ⇒ seq [u] U
        using Std Std-imp-Arr
        by (simp add: arrI_P arr-append-imp-seq)
      show Std (stdz-insert «x» (u # U))
        using ind
        by (metis 1 2 Std Std-standard-development list.exhaust-sel list.sel(1) list.sel(3)
          reduction-paths.Std.simps(3) reduction-paths.stdz-insert.simps(1))
      show ¬ Ide («x» # u # U) ⇒ stdz-insert «x» (u # U) *~* «x» # u # U
      proof (cases U = [])
        show U = [] ⇒ ?thesis
          using cong-standard-development cong-cons-ideI(1)
          apply simp
          by (metis Arr.simps(1-2) Arr-iff-Con-self Con-rec(3) Λ.in-sourcesI con-char
            cong-transitive ideE Λ.Ide.simps(2) Λ.arr-char Λ.ide-char seq)
        assume U: U ≠ []
        show ?thesis
          using 1 2 ind seq seq-char cong-cons-ideI(1)
          apply simp
          by (metis Std Std-conse U Λ.Arr.simps(2) Λ.Ide.simps(2) Λ.targets-simps(2)
            prfx-transitive)
        qed
      qed
    qed
    show ∀M u U. [Λ.Ide M ⇒ ?P (hd (u # U)) (tl (u # U));
      ¬ Λ.Ide M ⇒ ?P M (map Λ.un-Lam (u # U))]
      ⇒ ?P λ[M] (u # U)
  proof -
    fix M u U
    assume ind1: Λ.Ide M ⇒ ?P (hd (u # U)) (tl (u # U))
    assume ind2: ¬ Λ.Ide M ⇒ ?P M (map Λ.un-Lam (u # U))
    show ?P λ[M] (u # U)

```

```

proof (intro impI, elim conjE)
  assume seq: seq [ $\lambda[M]$ ] ( $u \# U$ )
  assume Std: Std ( $u \# U$ )
  have u:  $\Lambda.\text{is-Lam } u$ 
    using seq
    by (metis insert-subset  $\Lambda.\text{lambda.disc}(8)$  list.simps(15) mem-Collect-eq seq-Lam-Arr-implies)
  have U: set U  $\subseteq$  Collect  $\Lambda.\text{is-Lam}$ 
    using u seq
    by (metis insert-subset  $\Lambda.\text{lambda.disc}(8)$  list.simps(15) seq-Lam-Arr-implies)
  show Std (stdz-insert  $\lambda[M]$  ( $u \# U$ ))  $\wedge$ 
    ( $\neg \text{Ide}(\lambda[M] \# u \# U) \longrightarrow \text{stdz-insert } \lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U$ )
proof (cases  $\Lambda.\text{Ide } M$ )
  assume M:  $\Lambda.\text{Ide } M$ 
  have 1: stdz-insert  $\lambda[M]$  ( $u \# U$ ) = stdz-insert u U
    using M by simp
  show ?thesis
proof (cases  $\text{Ide}(u \# U)$ )
  show  $\text{Ide}(u \# U) \implies ?\text{thesis}$ 
    using 1 Std-standard-development Ide-iff-standard-development-empty
    by (metis Ide-imp-Ide-hd Std Std-implies-set-subset-elementary-reduction  $\Lambda.\text{elementary-reduction-not-ide}$  list.sel(1) list.set-intros(1) mem-Collect-eq subset-code(1))
  assume 2:  $\neg \text{Ide}(u \# U)$ 
  show ?thesis
proof (cases  $U = []$ )
  assume 3:  $U = []$ 
  have 4: standard-development  $u * \sim^* [\lambda[M]] @ [u]$ 
    using M 2 3 seq ide-char cong-standard-development [of u]
      cong-append-ideI(1) [of  $[\lambda[M]] [u]$ ]
    by (metis Arr-imp-arr-hd Ide.simps(2) Std Std-imp-Arr cong-transitive  $\Lambda.\text{Ide.simps}(3)$   $\Lambda.\text{arr-char}$   $\Lambda.\text{ide-char}$  list.sel(1) not-Cons-self2)
  show ?thesis
    using 1 3 4 Std-standard-development by force
next
  assume 3:  $U \neq []$ 
  have stdz-insert  $\lambda[M]$  ( $u \# U$ ) = stdz-insert u U
    using M 3 by simp
  have 5:  $\Lambda.\text{Arr } u \wedge \neg \Lambda.\text{Ide } u$ 
    by (meson 3 Std Std-conse  $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{ide-char}$   $\Lambda.\text{sseq-imp-elementary-reduction1}$ )
  have 4: standard-development  $u @ U * \sim^* ([\lambda[M]] @ [u]) @ U$ 
proof (intro cong-append seqI $_{\Lambda P}$ )
  show Arr (standard-development u)
  using 5 Std-standard-development Std-imp-Arr Ide-iff-standard-development-empty by force
  show Arr U
    using Std 3 by auto
  show  $\Lambda.\text{Trg}(\text{last}(\text{standard-development } u)) = \Lambda.\text{Src}(\text{hd } U)$ 

```

```

by (metis 3 5 Std Std-conse Trg-last-standard-development Λ.seq-char
Λ.sseq-imp-seq)
show standard-development u *~* [λ[M]] @ [u]
using M 5 Std Std-imp-Arr cong-standard-development [of u]
cong-append-ideI(3) [of [λ[M]] [u]]
by (metis (no-types, lifting) Arr.simps(2) Ide.simps(2) arr-char ide-char
Λ.Ide.simps(3) Λ.arr-char Λ.ide-char prfx-transitive seq seq-def
sources-cons)
show U *~* U
by (simp add: ‹Arr U› arr-char prfx-reflexive)
qed
show ?thesis
proof (intro conjI)
show Std (stdz-insert λ[M] (u # U))
by (metis (no-types, lifting) 1 3 M Std Std-conse append-Cons
append-eq-append-conv2 append-self-conv arr-append-imp-seq ind1
list.sel(1) list.sel(3) not-Cons-self2 seq seq-def)
show ¬ Ide (λ[M] # u # U) —> stdz-insert λ[M] (u # U) *~* λ[M] # u # U
proof
have seq [u] U ∧ Std U
using 2 3 Std
by (metis Cons-eq-appendI Std-conse arr-append-imp-seq neq-Nil-conv
self-append-conv2 seq seqE)
thus stdz-insert λ[M] (u # U) *~* λ[M] # u # U
using M 1 2 3 4 ind1 cong-cons-ideI(1) [of λ[M] u # U]
apply simp
by (meson cong-transitive seq)
qed
qed
qed
qed
next
assume M: ¬ Λ.Ide M
have 1: stdz-insert λ[M] (u # U) =
map Λ.Lam (stdz-insert M (Λ.un-Lam u # map Λ.un-Lam U))
using M by simp
show ?thesis
proof (intro conjI)
show Std (stdz-insert λ[M] (u # U))
by (metis 1 M Std Std-map-Lam Std-map-un-Lam ind2 Λ.lambda.disc(8)
list.simps(9) seq seq-Lam-Arr-implies seq-map-un-Lam)
show ¬ Ide (λ[M] # u # U) —> stdz-insert λ[M] (u # U) *~* λ[M] # u # U
proof
have map Λ.Lam (stdz-insert M (Λ.un-Lam u # map Λ.un-Lam U)) *~*
λ[M] # u # U
proof –
have map Λ.Lam (stdz-insert M (Λ.un-Lam u # map Λ.un-Lam U)) *~*
map Λ.Lam (M # Λ.un-Lam u # map Λ.un-Lam U)
by (metis (mono-tags, opaque-lifting) Ide-imp-Ide-hd M Std Std-map-un-Lam

```

```

cong-map-Lam ind2  $\Lambda$ .ide-char  $\Lambda$ .lambda.discI(2)
list.sel(1) list.simps(9) seq seq-Lam-Arr-implies seq-map-un-Lam)
thus ?thesis
  using u U
  by (simp add: map-idI subset-code(1))
qed
thus stdz-insert  $\lambda[M]$  ( $u \# U$ )  $*\sim*$   $\lambda[M] \# u \# U$ 
  using 1 by presburger
qed
qed
qed
qed
qed
show  $\bigwedge M N A B U$ . ?P ( $\lambda[M] \bullet N$ )  $U \implies$  ?P ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ )
proof -
fix M N A B U
assume ind: ?P ( $\lambda[M] \bullet N$ )  $U$ 
show ?P ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ )
proof (intro impI, elim conjE)
  assume seq: seq [ $\lambda[M] \circ N$ ] (( $\lambda[A] \bullet B$ )  $\# U$ )
  assume Std: Std (( $\lambda[A] \bullet B$ )  $\# U$ )
  have MN:  $\Lambda$ .Arr M  $\wedge$   $\Lambda$ .Arr N
    using seq
    by (simp add: seq-char)
  have AB:  $\Lambda$ .Trg M = A  $\wedge$   $\Lambda$ .Trg N = B
  proof -
    have 1:  $\Lambda$ .Ide A  $\wedge$   $\Lambda$ .Ide B
      using Std
      by (metis Std.simps(2) Std.simps(3)  $\Lambda$ .elementary-reduction.simps(5)
           list.exhaustsel  $\Lambda$ .sseq-Beta)
    moreover have Trgs [ $\lambda[M] \circ N$ ] = Srcs [ $\lambda[A] \bullet B$ ]
      using 1 seq seq-char
      by (simp add:  $\Lambda$ .Ide-implies-Arr Srcs-simp $_{\Lambda P}$ )
    ultimately show ?thesis
      by (metis  $\Lambda$ .Ide-iff-Src-self  $\Lambda$ .Ide-implies-Arr  $\Lambda$ .Src.simps(5) Srcs-simp $_{\Lambda P}$ 
            $\Lambda$ .Trg.simps(2-3) Trgs-simp $_{\Lambda P}$   $\Lambda$ .lambda.inject(2)  $\Lambda$ .lambda.sel(3-4)
           last.simps list.sel(1) seq-char seq the-elem-eq)
  qed
  have 1: stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ ) = stdz-insert ( $\lambda[M] \bullet N$ )  $U$ 
    by auto
  show Std (stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ ))  $\wedge$ 
    ( $\neg$  Ide (( $\lambda[M] \circ N$ )  $\# (\lambda[A] \bullet B)$ )  $\# U$ )  $\longrightarrow$ 
     stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ )  $*\sim*$  ( $\lambda[M] \circ N$ )  $\# (\lambda[A] \bullet B)$   $\# U$ )
  proof (cases U = [])
    assume U: U = []
    have 1: stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ )  $\# U$ ) =
      standard-development ( $\lambda[M] \bullet N$ )
      using U by simp
    show ?thesis
  qed

```

```

proof (intro conjI)
  show Std (stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ ))
    using 1 Std-standard-development by presburger
  show  $\neg \text{Ide} ((\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U) \longrightarrow$ 
    stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ )  $*\sim^*$  ( $\lambda[M] \circ N$ )  $\# (\lambda[A] \bullet B) \# U$ 
proof (intro impI)
  assume 2:  $\neg \text{Ide} ((\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U)$ 
  have stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ ) =
     $(\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) \# \text{standard-development} (\Lambda.\text{subst } N M)$ 
    using 1 MN by simp
  also have ...  $*\sim^* [\lambda[M] \bullet N]$ 
    using MN AB cong-standard-development
    by (metis 1 calculation  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Ide.simps}(5)$ )
  also have  $[\lambda[M] \bullet N] * \sim^* (\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U$ 
    using AB MN U Beta-decomp(2) [of M N] by simp
  finally show stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ )  $*\sim^*$ 
     $(\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U$ 
    by blast
qed
qed
next
assume  $U: U \neq []$ 
have 1: stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ ) = stdz-insert ( $\lambda[M] \bullet N$ )  $U$ 
  using  $U$  by simp
have 2: seq  $[\lambda[M] \bullet N] U$ 
  using MN AB U Std  $\Lambda.\text{sseq-imp-seq}$ 
  apply (intro seqIΛP)
    apply auto
  by fastforce
have 3: Std  $U$ 
  using Std by fastforce
show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ ))
    using 2 3 ind by simp
  show  $\neg \text{Ide} ((\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U) \longrightarrow$ 
    stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ )  $*\sim^* (\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U$ 
proof
  have stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ )  $*\sim^* [\lambda[M] \bullet N] @ U$ 
    by (metis 1 2 3  $\Lambda.\text{Ide.simps}(5)$   $U$  Std.append.left-neutral
      append-Cons  $\Lambda.\text{ide-char}$  ind list.exhaust)
  also have  $[\lambda[M] \bullet N] @ U * \sim^* ([\lambda[M] \circ N] @ [\lambda[A] \bullet B]) @ U$ 
    using MN AB Beta-decomp
    by (meson 2 cong-append cong-reflexive seqE)
  also have  $([\lambda[M] \circ N] @ [\lambda[A] \bullet B]) @ U = (\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U$ 
    by simp
  finally show stdz-insert ( $\lambda[M] \circ N$ ) ( $(\lambda[A] \bullet B) \# U$ )  $*\sim^*$ 
     $(\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U$ 
    by argo

```

```

qed
qed
qed
qed
qed
qed
show  $\wedge M N u U. (\Lambda.\text{Arr} M \wedge \Lambda.\text{Arr} N \implies ?P (\Lambda.\text{subst} N M) (u \# U))$ 
       $\implies ?P (\lambda[M] \bullet N) (u \# U)$ 
proof -
fix  $M N u U$ 
assume  $\text{ind}: \Lambda.\text{Arr} M \wedge \Lambda.\text{Arr} N \implies ?P (\Lambda.\text{subst} N M) (u \# U)$ 
show  $?P (\lambda[M] \bullet N) (u \# U)$ 
proof (intro impI, elim conjE)
  assume seq: seq  $[\lambda[M] \bullet N] (u \# U)$ 
  assume Std: Std  $(u \# U)$ 
  have MN:  $\Lambda.\text{Arr} M \wedge \Lambda.\text{Arr} N$ 
    using seq seq-char by simp
  show Std (stdz-insert  $(\lambda[M] \bullet N) (u \# U)$ )  $\wedge$ 
     $(\neg \text{Ide} (\Lambda.\text{Beta} M N \# u \# U) \longrightarrow$ 
     $\text{cong} (\text{stdz-insert} (\lambda[M] \bullet N) (u \# U)) ((\lambda[M] \bullet N) \# u \# U))$ 
  proof (cases  $\Lambda.\text{Ide} (\Lambda.\text{subst} N M)$ )
    assume 1:  $\Lambda.\text{Ide} (\Lambda.\text{subst} N M)$ 
    have 2:  $\neg \text{Ide} (u \# U)$ 
    using Std Std-implies-set-subset-elementary-reduction  $\Lambda.\text{elementary-reduction-not-ide}$ 
    by force
    have 3: stdz-insert  $(\lambda[M] \bullet N) (u \# U) = (\lambda[\Lambda.\text{Src} M] \bullet \Lambda.\text{Src} N) \# \text{stdz-insert} u U$ 
    using MN 1 seq seq-char Std stdz-insert-Ide-Std [of  $\Lambda.\text{subst} N M u \# U$ ]
       $\Lambda.\text{Ide-implies-Arr}$ 
    by (cases  $U = []$ ) auto
    show ?thesis
  proof (cases  $U = []$ )
    assume U:  $U = []$ 
    have 3: stdz-insert  $(\lambda[M] \bullet N) (u \# U) =$ 
       $(\lambda[\Lambda.\text{Src} M] \bullet \Lambda.\text{Src} N) \# \text{standard-development} u$ 
    using 2 3 U by force
    have 4:  $\Lambda.\text{seq} (\lambda[\Lambda.\text{Src} M] \bullet \Lambda.\text{Src} N) (\text{hd} (\text{standard-development} u))$ 
  proof
    show  $\Lambda.\text{Arr} (\lambda[\Lambda.\text{Src} M] \bullet \Lambda.\text{Src} N)$ 
      using MN by simp
    show  $\Lambda.\text{Arr} (\text{hd} (\text{standard-development} u))$ 
      by (metis 2 Arr-imp-arr-hd Ide.simps(2) Ide-iff-standard-development-empty
          Std Std-consE Std-imp-Arr Std-standard-development U  $\Lambda.\text{arr-char}$ 
           $\Lambda.\text{ide-char}$ )
    show  $\Lambda.\text{Trg} (\lambda[\Lambda.\text{Src} M] \bullet \Lambda.\text{Src} N) = \Lambda.\text{Src} (\text{hd} (\text{standard-development} u))$ 
      by (metis 2 Ide.simps(2) MN Src-hd-standard-development Std Std-consE
          Trg-last-Src-hd-eqI U  $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr}$   $\Lambda.\text{Src-Subst}$ 
           $\Lambda.\text{Trg.simps}(4)$   $\Lambda.\text{Trg-Src}$   $\Lambda.\text{Trg-Subst}$   $\Lambda.\text{ide-char}$  last-ConsL list.sel(1) seq)
  qed
  show ?thesis
  proof (intro conjI)

```

```

show Std (stdz-insert ( $\lambda[M] \bullet N$ ) ( $u \# U$ ))
proof -
  have  $\Lambda.sseq (\lambda[\Lambda.Src M] \bullet \Lambda.Src N) (hd (standard-development u))$ 
  using  $MN 2 \frac{4}{4} U \Lambda.Ide-Src$ 
  apply (intro  $\Lambda.sseq$ -BetaI)
  apply auto
  by (metis Ide.simps(1) Resid.simps(2) Std Std-consE
      Std-standard-development cong-standard-development hd-Cons-tl ide-char
       $\Lambda.sseq$ -imp-elementary-reduction1 Std.simps(2))
thus ?thesis
  by (metis 3 Std.simps(2-3) Std-standard-development hd-Cons-tl
       $\Lambda.sseq$ -imp-elementary-reduction1)
qed
show  $\neg Ide ((\lambda[M] \bullet N) \# u \# U)$ 
      $\longrightarrow stdz\text{-}insert (\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
proof
  have stdz-insert ( $\lambda[M] \bullet N$ ) ( $u \# U$ ) =
     $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ standard\text{-}development u$ 
  using 3 by simp
  also have 5:  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ standard\text{-}development u * \sim^*$ 
     $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [u]$ 
  proof (intro cong-append)
    show seq  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] (standard\text{-}development u)$ 
    by (metis 2 3 Ide.simps(2) Ide-iff-standard-development-empty
        Std Std-consE Std-imp-Arr U <Std (stdz-insert ( $\Lambda.Beta M N$ ) ( $u \# U$ )))
        arr-append-imp-seq arr-char calculation  $\Lambda.ide\text{-}char neq Nil\text{-}conv$ )
    thus  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] * \sim^* [\lambda[\Lambda.Src M] \bullet \Lambda.Src N]$ 
      using cong-reflexive by blast
    show standard-development  $u * \sim^* [u]$ 
    by (metis 2 Arr.simps(2) Ide.simps(2) Std Std-imp-Arr U
        cong-standard-development  $\Lambda.arr\text{-}char \Lambda.ide\text{-}char not\text{-}Cons\text{-}self2$ )
  qed
  also have  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [u] * \sim^*$ 
     $([\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [\Lambda.subst N M]) @ [u]$ 
  proof (intro cong-append)
    show seq  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] [u]$ 
    by (metis 5 Con-implies-Arr(1) Ide.simps(1) arr-append-imp-seq
        arr-char ide-char not-Cons-self2)
    show  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] * \sim^* [\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [\Lambda.subst N M]$ 
    by (metis (full-types) 1 MN Ide-iff-standard-development-empty
        cong-standard-development cong-transitive  $\Lambda.Arr.simps(5) \Lambda.Arr\text{-}Subst$ 
         $\Lambda.Ide.simps(5) Beta\text{-}decomp(1) standard\text{-}development.simps(5))$ 
    show  $[u] * \sim^* [u]$ 
      using Resid-Arr-self Std Std-imp-Arr U ide-char by blast
  qed
  also have  $([\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [\Lambda.subst N M]) @ [u] * \sim^* [\lambda[M] \bullet N] @ [u]$ 
  by (metis Beta-decomp(1) MN U Resid-Arr-self cong-append
      ide-char seq-char seq)
  also have  $[\lambda[M] \bullet N] @ [u] = (\lambda[M] \bullet N) \# u \# U$ 

```

```

    using U by simp
  finally show stdz-insert ( $\lambda[M] \bullet N$ ) ( $u \# U$ ) *~* ( $\lambda[M] \bullet N$ ) #  $u \# U$ 
    by blast
qed
qed
next
assume U:  $U \neq []$ 
have 4: seq [u] U
  by (simp add: Std U arrIP arr-append-imp-seq)
have 5: Std U
  using Std by auto
have 6: Std (stdz-insert u U) ∧
  set (stdz-insert u U) ⊆ {a.  $\Lambda$ .elementary-reduction a} ∧
  ( $\neg$  Ide ( $u \# U$ ) →
  cong (stdz-insert u U) ( $u \# U$ ))
proof -
  have seq [ $\Lambda$ .subst N M] ( $u \# U$ ) ∧ Std ( $u \# U$ )
    using MN Std Std-imp-Arr  $\Lambda$ .Arr-Subst
    apply (intro conjI seqIAP)
      apply simp-all
    by (metis Trg-last-Src-hd-eqI  $\Lambda$ .Trg.simps(4) last-ConsL list.sel(1) seq)
  thus ?thesis
    using MN 1 2 3 4 5 ind Std-implies-set-subset-elementary-reduction
      stdz-insert-Ide-Std
      apply simp
      by (meson cong-cons-ideI(1) cong-transitive lambda-calculus.ide-char)
qed
have 7:  $\Lambda$ .seq ( $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ) (hd (stdz-insert u U))
  using MN 1 2 6 Arr-imp-arr-hd Con-implies-Arr(2) ide-char  $\Lambda$ .arr-char
    Ide-iff-standard-development-empty Src-hd-eqI Trg-last-Src-hd-eqI
    Trg-last-standard-development  $\Lambda$ .Ide-implies-Arr seq
  apply (intro  $\Lambda$ .seqIΛ)
    apply simp
    apply (metis Ide.simps(1))
  by (metis  $\Lambda$ .Arr.simps(5)  $\Lambda$ .Ide.simps(5) last.simps standard-development.simps(5))
have 8: seq [ $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ] (stdz-insert u U)
  by (metis 2 6 7 seqIAP Arr.simps(2) Con-implies-Arr(2)
    Ide.simps(1) ide-char last.simps  $\Lambda$ .seqE  $\Lambda$ .seq-char)
show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $\lambda[M] \bullet N$ ) ( $u \# U$ ))
  proof -
    have  $\Lambda$ .sseq ( $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ) (hd (stdz-insert u U))
      by (metis MN 2 6 7  $\Lambda$ .Ide-Src Std.elims(2) Ide.simps(1)
        Resid.simps(2) ide-char list.sel(1)  $\Lambda$ .sseq-BetaI
         $\Lambda$ .sseq-imp-elementary-reduction1)
    thus ?thesis
      by (metis 2 3 6 Std.simps(3) Resid.simps(1) con-char prfx-implies-con
        list.exhaustsel)
  qed
qed

```

```

qed
show  $\neg \text{Ide}((\lambda[M] \bullet N) \# u \# U)$ 
       $\longrightarrow \text{stdz-insert } (\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
proof
have  $\text{stdz-insert } (\lambda[M] \bullet N) (u \# U) = [\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ \text{stdz-insert } u U$ 
  using 3 by simp
also have ...  $* \sim^* [\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ u \# U$ 
  using MN 2 3 6 8 cong-append
  by (meson cong-reflexive seqE)
also have  $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ u \# U * \sim^*$ 
   $([\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [\Lambda.\text{subst } N M]) @ u \# U$ 
  using MN 1 2 6 8 Beta-decomp(1) Std Src-hd-eqI Trg-last-Src-hd-eqI
   $\Lambda.\text{Arr-Subst } \Lambda.\text{ide-char ide-char}$ 
apply (intro cong-append cong-append-ideI seqI $_{\Delta P}$ )
  apply auto[2]
  apply metis
  apply auto[4]
  by (metis cong-transitive)
also have  $([\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [\Lambda.\text{subst } N M]) @ u \# U * \sim^*$ 
   $[\lambda[M] \bullet N] @ u \# U$ 
  by (meson MN 2 6 Beta-decomp(1) cong-append prfx-transitive seq)
also have  $[\lambda[M] \bullet N] @ u \# U = (\lambda[M] \bullet N) \# u \# U$ 
  by simp
finally show  $\text{stdz-insert } (\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
  by simp
qed
qed
qed
next
assume 1:  $\neg \Lambda.\text{Ide } (\Lambda.\text{subst } N M)$ 
have 2:  $\text{stdz-insert } (\lambda[M] \bullet N) (u \# U) =$ 
   $(\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) \# \text{stdz-insert } (\Lambda.\text{subst } N M) (u \# U)$ 
  using 1 MN by simp
have 3: seq  $[\Lambda.\text{subst } N M] (u \# U)$ 
  using  $\Lambda.\text{Arr-Subst } MN \text{ seq-char seq}$  by force
have 4:  $\text{Std } (\text{stdz-insert } (\Lambda.\text{subst } N M) (u \# U)) \wedge$ 
  set  $(\text{stdz-insert } (\Lambda.\text{subst } N M) (u \# U)) \subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
   $\text{stdz-insert } (\Lambda.\text{Subst } 0 N M) (u \# U) * \sim^* \Lambda.\text{subst } N M \# u \# U$ 
  using 1 3 Std ind MN Ide.simps(3)  $\Lambda.\text{ide-char}$ 
  Std-implies-set-subset-elementary-reduction
  by presburger
have 5:  $\Lambda.\text{seq } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) (\text{hd } (\text{stdz-insert } (\Lambda.\text{subst } N M) (u \# U)))$ 
  using MN 4
  apply (intro  $\Lambda.\text{seqI}_{\Lambda}$ )
  apply simp
  apply (metis Arr-imp-arr-hd Con-implies-Arr(1) Ide.simps(1) ide-char  $\Lambda.\text{arr-char}$ )
  using Src-hd-eqI
  by force
show ?thesis

```

```

proof (intro conjI)
show Std (stdz-insert ( $\lambda[M] \bullet N$ ) (u # U))
proof -
have  $\Lambda.sseq (\lambda[\Lambda.Src M] \bullet \Lambda.Src N) (hd (stdz-insert (\Lambda.subst N M) (u # U)))$ 
using 5
by (metis 4 MN  $\Lambda.Ide$ -Src Std.elims(2) Ide.simps(1) Resid.simps(2)
ide-char list.sel(1)  $\Lambda.sseq$ -BetaI  $\Lambda.sseq$ -imp-elementary-reduction1)
thus ?thesis
by (metis 2 4 Std.simps(3) Arr.simps(1) Con-implies-Arr(2)
Ide.simps(1) ide-char list.exhaustsel)
qed
show  $\neg Ide ((\lambda[M] \bullet N) \# u \# U)$ 
 $\longrightarrow stdz-insert (\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
proof
have stdz-insert ( $\lambda[M] \bullet N$ ) (u # U) =
 $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ stdz-insert (\Lambda.subst N M) (u \# U)$ 
using 2 by simp
also have ...  $* \sim^* [\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ \Lambda.subst N M \# u \# U$ 
proof (intro cong-append)
show seq [ $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ] (stdz-insert ( $\Lambda.subst N M$ ) (u # U))
by (metis 4 5 Arr.simps(2) Con-implies-Arr(1) Ide.simps(1) ide-char
 $\Lambda.arr$ -char  $\Lambda.seq$ -char last-ConsL seqI $_{\Lambda P}$ )
show  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] * \sim^* [\lambda[\Lambda.Src M] \bullet \Lambda.Src N]$ 
by (meson MN cong-transitive  $\Lambda$ .Arr-Src Beta-decomp(1))
show stdz-insert ( $\Lambda.subst N M$ ) (u # U)  $* \sim^* \Lambda.subst N M \# u \# U$ 
using 4 by fastforce
qed
also have  $[\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ \Lambda.subst N M \# u \# U =$ 
 $([\lambda[\Lambda.Src M] \bullet \Lambda.Src N] @ [\Lambda.subst N M]) @ u \# U$ 
by simp
also have ...  $* \sim^* [\lambda[M] \bullet N] @ u \# U$ 
by (meson Beta-decomp(1) MN cong-append cong-reflexive seqE seq)
also have  $[\lambda[M] \bullet N] @ u \# U = (\lambda[M] \bullet N) \# u \# U$ 
by simp
finally show stdz-insert ( $\lambda[M] \bullet N$ ) (u # U)  $* \sim^* (\lambda[M] \bullet N) \# u \# U$ 
by blast
qed
qed
qed
qed
qed
qed

```

Because of the way the function package processes the pattern matching in the definition of $stdz\text{-}insert$, it produces eight separate subgoals for the remainder of the proof, even though these subgoals are all simple consequences of a single, more general fact. We first prove this fact, then use it to discharge the eight subgoals.

```

have *:  $\bigwedge M N u U$ .
 $\llbracket \neg (\Lambda.is\text{-}Lam M \wedge \Lambda.is\text{-}Beta u);$ 
 $\Lambda.Ide (M \circ N) \implies ?P (hd (u \# U)) (tl (u \# U));$ 

```

```

 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N))) \rrbracket$ 
 $\implies ?P(hd(u \# U))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N))) \rrbracket$ 
 $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N)))(u \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(u \# U));$ 
 $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}strategy(M \circ N))) \rrbracket$ 
 $\implies ?P(\Lambda.head\text{-}strategy(M \circ N))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(u \# U));$ 
 $\neg \Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}strategy(M \circ N))) \rrbracket$ 
 $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head\text{-}strategy(M \circ N)))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(u \# U)) \rrbracket$ 
 $\implies ?P M(filter notIde(map \Lambda.un\text{-}App1(u \# U)));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N);$ 
 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(u \# U)) \rrbracket$ 
 $\implies ?P N(filter notIde(map \Lambda.un\text{-}App2(u \# U))) \rrbracket$ 
 $\implies ?P(M \circ N)(u \# U)$ 

```

proof –

fix $M N u U$

assume $ind1: \Lambda.Ide(M \circ N) \implies ?P(hd(u \# U))(tl(u \# U))$

assume $ind2: \llbracket \neg \Lambda.Ide(M \circ N);$

```

 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N))) \rrbracket$ 
 $\implies ?P(hd(u \# U))(tl(u \# U))$ 

```

assume $ind3: \llbracket \neg \Lambda.Ide(M \circ N);$

```

 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N))) \rrbracket$ 
 $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head\text{-}redex(M \circ N)))(u \# U)$ 

```

assume $ind4: \llbracket \neg \Lambda.Ide(M \circ N);$

```

 $\Lambda.seq(M \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 

```

```

 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\Lambda.\text{Ide}(\Lambda.\text{resid}(M \circ N)(\Lambda.\text{head-strategy}(M \circ N))) \Rightarrow ?P(\Lambda.\text{head-strategy}(M \circ N))(\text{tl}(u \# U))$ 
assume ind5:  $\llbracket \neg \Lambda.\text{Ide}(M \circ N);$ 
 $\Lambda.\text{seq}(M \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{Ide}(\Lambda.\text{resid}(M \circ N)(\Lambda.\text{head-strategy}(M \circ N))) \Rightarrow ?P(\Lambda.\text{resid}(M \circ N)(\Lambda.\text{head-strategy}(M \circ N)))(\text{tl}(u \# U))$ 
assume ind7:  $\llbracket \neg \Lambda.\text{Ide}(M \circ N);$ 
 $\Lambda.\text{seq}(M \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U)) \Rightarrow ?P M (\text{filter not Ide}(\text{map } \Lambda.\text{un-App1}(u \# U)))$ 
assume ind8:  $\llbracket \neg \Lambda.\text{Ide}(M \circ N);$ 
 $\Lambda.\text{seq}(M \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U)) \Rightarrow ?P N (\text{filter not Ide}(\text{map } \Lambda.\text{un-App2}(u \# U)))$ 
assume *:  $\neg(\Lambda.\text{is-Lam } M \wedge \Lambda.\text{is-Beta } u)$ 
show  $?P(M \circ N)(u \# U)$ 
proof (intro impI, elim conjE)
assume seq:  $\text{seq}[M \circ N](u \# U)$ 
assume Std:  $\text{Std}(u \# U)$ 
have MN:  $\Lambda.\text{Arr } M \wedge \Lambda.\text{Arr } N$ 
using seq-char seq by force
have u:  $\Lambda.\text{Arr } u$ 
using Std
by (meson Std-imp-Arr Arr.simps(2) Con-Arr-self Con-implies-Arr(1)
Con-initial-left  $\Lambda.\text{arr-char list.simps}(3)$ )
have U  $\neq [] \Rightarrow \text{Arr } U$ 
using Std Std-imp-Arr Arr.simps(3)
by (metis Arr.elims(3) list.discI)
have  $\Lambda.\text{is-App } u \vee \Lambda.\text{is-Beta } u$ 
using * seq MN u seq-char  $\Lambda.\text{arr-char Srcs-simp}_{\Lambda P}$   $\Lambda.\text{targets-char}_{\Lambda}$ 
by (cases M; cases u) auto
have **:  $\Lambda.\text{seq}(M \circ N) u$ 
using Srcs-simp $_{\Lambda P}$  seq-char seq  $\Lambda.\text{seq-def } u$  by force
show Std (stdz-insert  $(M \circ N)(u \# U) \wedge$ 
 $(\neg \text{Ide}((M \circ N) \# u \# U) \rightarrow \text{cong}(\text{stdz-insert}(M \circ N)(u \# U), ((M \circ N) \# u \# U)))$ )
proof (cases  $\Lambda.\text{Ide}(M \circ N)$ )
assume 1:  $\Lambda.\text{Ide}(M \circ N)$ 
have MN:  $\Lambda.\text{Arr } M \wedge \Lambda.\text{Arr } N \wedge \Lambda.\text{Ide } M \wedge \Lambda.\text{Ide } N$ 
using MN 1 by simp
have 2:  $\text{stdz-insert}(M \circ N)(u \# U) = \text{stdz-insert } u \text{ } U$ 
using MN 1
by (simp add: Std seq stdz-insert-Ide-Std)
show ?thesis

```

```

proof (cases  $U = []$ )
  assume  $U: U = []$ 
  have 2: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) = standard-development  $u$ 
    using 1 2  $U$  by simp
  show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $M \circ N$ ) ( $u \# U$ ))
    using 2 Std-standard-development by presburger
  show  $\neg \text{Ide}((M \circ N) \# u \# U) \longrightarrow$ 
    stdz-insert ( $M \circ N$ ) ( $u \# U$ )  $*\sim*$  ( $M \circ N$ )  $\# u \# U$ 
    by (metis 1 2 Ide.simps(2)  $U$  cong-cons-ideI(1) cong-standard-development
      ide-backward-stable ide-char  $\Lambda.\text{ide-char}$  prfx-transitive seq  $u$ )
qed
next
  assume  $U: U \neq []$ 
  have 2: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) = stdz-insert  $u$   $U$ 
    using 1 2  $U$  by simp
  have 3: seq [ $u$ ]  $U$ 
    by (simp add: Std  $U$  arrI_P arr-append-imp-seq)
  have 4: Std (stdz-insert  $u$   $U$ )  $\wedge$ 
    set (stdz-insert  $u$   $U$ )  $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
     $(\neg \text{Ide}(u \# U) \longrightarrow \text{cong}(\text{stdz-insert } u \text{ } U)(u \# U))$ 
    using  $MN$  3 Std ind1 Std-implies-set-subset-elementary-reduction
    by (metis 1 Std.simps(3)  $U$  list.sel(1) list.sel(3) standardize.cases)
  show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $M \circ N$ ) ( $u \# U$ ))
    by (metis 1 2 3 Std Std.simps(3)  $U$  ind1 list.exhaustsel list.sel(1,3))
  show  $\neg \text{Ide}((M \circ N) \# u \# U) \longrightarrow$ 
    stdz-insert ( $M \circ N$ ) ( $u \# U$ )  $*\sim*$  ( $M \circ N$ )  $\# u \# U$ 
proof
  assume 5:  $\neg \text{Ide}((M \circ N) \# u \# U)$ 
  have stdz-insert ( $M \circ N$ ) ( $u \# U$ )  $*\sim*$   $u \# U$ 
    using 1 2 4 5 seq-char seq by force
  also have  $u \# U * \sim* [M \circ N] @ u \# U$ 
    using 1 Ide.simps(2) cong-append-ideI(1) ide-char seq by blast
  also have  $[M \circ N] @ (u \# U) = (M \circ N) \# u \# U$ 
    by simp
  finally show stdz-insert ( $M \circ N$ ) ( $u \# U$ )  $*\sim*$  ( $M \circ N$ )  $\# u \# U$ 
    by blast
qed
qed
qed
next
  assume 1:  $\neg \Lambda.\text{Ide}(M \circ N)$ 
  show ?thesis
proof (cases  $\Lambda.\text{contains-head-reduction}(M \circ N)$ )
  assume 2:  $\Lambda.\text{contains-head-reduction}(M \circ N)$ 
  show ?thesis

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```

proof (cases  $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N)))$ )
  assume 3:  $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
  have 4:  $\neg Ide(u \# U)$ 
    by (metis Std Std-implies-set-subset-elementary-reduction in-mono
          $\Lambda.elementary\text{-}reduction\text{-}not\text{-}ide list.set\text{-}intros(1) mem\text{-}Collect\text{-}eq$ 
         set-Ide-subset-ide)
  have 5: stdz-insert  $(M \circ N)(u \# U) = \Lambda.head\text{-}redex(M \circ N) \# stdz\text{-}insert u U$ 
    using MN 1 2 3 4 ** by auto
  show ?thesis
proof (cases  $U = []$ )
  assume U:  $U = []$ 
  have u:  $\Lambda.Arr u \wedge \neg \Lambda.Ide u$ 
    using 4 U u by force
  have 5: stdz-insert  $(M \circ N)(u \# U) =$ 
     $\Lambda.head\text{-}redex(M \circ N) \# standard\text{-}development u$ 
    using 5 U by simp
  show ?thesis
proof (intro conjI)
  show Std (stdz-insert  $(M \circ N)(u \# U)$ )
  proof -
    have  $\Lambda.sseq(\Lambda.head\text{-}redex(M \circ N))(hd(standard\text{-}development u))$ 
    proof -
      have  $\Lambda.seq(\Lambda.head\text{-}redex(M \circ N))(hd(standard\text{-}development u))$ 
        show  $\Lambda.Arr(\Lambda.head\text{-}redex(M \circ N))$ 
          using MN  $\Lambda.Arr.simps(4) \Lambda.Arr\text{-}head\text{-}redex$  by presburger
        show  $\Lambda.Arr(hd(standard\text{-}development u))$ 
          using Arr-imp-arr-hd Ide-iff-standard-development-empty
            Std-standard-development u
          by force
      show  $\Lambda.Trig(\Lambda.head\text{-}redex(M \circ N)) = \Lambda.Src(hd(standard\text{-}development u))$ 
      proof -
        have  $\Lambda.Trig(\Lambda.head\text{-}redex(M \circ N)) =$ 
           $\Lambda.Trig((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
        by (metis 3 MN  $\Lambda.Con\text{-}Arr\text{-}head\text{-}redex \Lambda.Src\text{-}resid$ 
              $\Lambda.Arr.simps(4) \Lambda.Ide\text{-}iff\text{-}Src\text{-}self \Lambda.Ide\text{-}iff\text{-}Trg\text{-}self$ 
              $\Lambda.Ide\text{-}implies\text{-}Arr)$ 
        also have ... =  $\Lambda.Src u$ 
        using MN
        by (metis Trg-last-Src-hd-eqI Trg-last-eqI head-redex-decomp
              $\Lambda.Arr.simps(4) last\text{-}ConsL last\text{-}appendR list.sel(1)$ 
             not-Cons-self2 seq)
        also have ... =  $\Lambda.Src(hd(standard\text{-}development u))$ 
        using ** 2 3 u MN Src-hd-standard-development [of u] by metis
        finally show ?thesis by blast
      qed
    qed
    thus ?thesis
      by (metis 2 u MN  $\Lambda.Arr.simps(4) Ide\text{-}iff\text{-}standard\text{-}development\text{-}empty$ )

```

$\text{development.simps}(2) \text{ development-standard-development}$
 $\Lambda.\text{head-redex-is-head-reduction list.exhaust-sel}$
 $\Lambda.\text{sseq-head-reductionI})$
qed
thus ?thesis
by (metis 5 Ide-iff-standard-development-empty Std.simps(3)
 Std-standard-development list.exhaust u)
qed
show $\neg \text{Ide}((M \circ N) \# u \# U) \longrightarrow$
 $\text{stdz-insert}(M \circ N)(u \# U) * \sim^* (M \circ N) \# u \# U$
proof
have $\text{stdz-insert}(M \circ N)(u \# U) =$
 $[\Lambda.\text{head-redex}(M \circ N)] @ \text{standard-development } u$
using 5 **by** simp
also have ... $* \sim^* [\Lambda.\text{head-redex}(M \circ N)] @ [u]$
using u cong-standard-development [of u] cong-append
by (metis 2 5 Ide-iff-standard-development-empty Std-imp-Arr
 $\langle \text{Std}(\text{stdz-insert}(M \circ N)(u \# U)) \rangle$
 arr-append-imp-seq arr-char calculation cong-standard-development
 cong-transitive $\Lambda.\text{Arr-head-redex } \Lambda.\text{contains-head-reduction-if}$
 $\text{list.distinct}(1)$)
also have $[\Lambda.\text{head-redex}(M \circ N)] @ [u] * \sim^*$
 $([\Lambda.\text{head-redex}(M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)]) @ [u]$
proof –
have $[\Lambda.\text{head-redex}(M \circ N)] * \sim^*$
 $[\Lambda.\text{head-redex}(M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)]$
by (metis (no-types, lifting) 1 3 MN Arr-iff-Con-self Ide.simps(2)
 Resid.simps(2) arr-append-imp-seq arr-char cong-append-ideI(4)
 cong-transitive head-redex-decomp ide-backward-stable ide-char
 $\Lambda.\text{Arr.simps}(4) \Lambda.\text{ide-char not-Cons-self2})$
thus ?thesis
using MN U u seq
by (meson cong-append head-redex-decomp $\Lambda.\text{Arr.simps}(4)$ prfx-transitive)
qed
also have $([\Lambda.\text{head-redex}(M \circ N)] @$
 $[(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)]) @ [u] * \sim^*$
 $[M \circ N] @ [u]$
by (metis $\Lambda.\text{Arr.simps}(4)$ MN U Resid-Arr-self cong-append ide-char
 seq-char head-redex-decomp seq)
also have $[M \circ N] @ [u] = (M \circ N) \# u \# U$
using U **by** simp
finally show $\text{stdz-insert}(M \circ N)(u \# U) * \sim^* (M \circ N) \# u \# U$
by blast
qed
qed
next
assume U: $U \neq []$
have 6: $\text{Std}(\text{stdz-insert } u \ U) \wedge$
 $\text{set}(\text{stdz-insert } u \ U) \subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$

```

cong (stdz-insert u U) (u # U)

proof -
  have seq [u] U
    by (simp add: Std.U arrIP arr-append-imp-seq)
  moreover have Std U
    using Std.Std.elims(2) U by blast
  ultimately show ?thesis
    using ind2 ** 1 2 3 4 Std-implies-set-subset-elementary-reduction
    by force
  qed
  show ?thesis
  proof (intro conjI)
    show Std (stdz-insert (M o N) (u # U))
    proof -
      have Λ.sseq (Λ.head-redex (M o N)) (hd (stdz-insert u U))
      proof -
        have Λ.seq (Λ.head-redex (M o N)) (hd (stdz-insert u U))
        proof
          show Λ.Arr (Λ.head-redex (M o N))
            using MN Λ.Arr-head-redex by force
          show Λ.Arr (hd (stdz-insert u U))
            using 6
            by (metis Arr-imp-arr-hd Con-implies-Arr(2) Ide.simps(1) ide-char
                  Λ.arr-char)
          show Λ.Trg (Λ.head-redex (M o N)) = Λ.Src (hd (stdz-insert u U))
          proof -
            have Λ.Trg (Λ.head-redex (M o N)) =
              Λ.Trg ((M o N) \ Λ.head-redex (M o N))
            by (metis 3 Λ.Arr-not-Nil Λ.Ide-iff-Src-self
                  Λ.Ide-iff-Trg-self Λ.Ide-implies-Arr Λ.Src-resid)
            also have ... = Λ.Trg (M o N)
            by (metis 1 MN Trg-last-eqI Trg-last-standard-development
                  cong-standard-development head-redex-decomp Λ.Arr.simps(4)
                  last-snoc)
            also have ... = Λ.Src (hd (stdz-insert u U))
            by (metis ** 6 Src-hd-eqI Λ.seqEΛ list.sel(1))
            finally show ?thesis by blast
        qed
      qed
    thus ?thesis
      by (metis 2 6 MN Λ.Arr.simps(4) Std.elims(1) Ide.simps(1)
            Resid.simps(2) ide-char Λ.head-redex-is-head-reduction
            list.sel(1) Λ.sseq-head-reductionI Λ.sseq-imp-elementary-reduction1)
    qed
    thus ?thesis
      by (metis 5 6 Std.simps(3) Arr.simps(1) Con-implies-Arr(1)
            con-char prfx-implies-con list.exhaust-sel)
  qed
  show ¬ Ide ((M o N) # u # U) →

```

```

stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  have stdz-insert (M o N) (u # U) =
    [Λ.head-redex (M o N)] @ stdz-insert u U
    using 5 by simp
  also have 7: [Λ.head-redex (M o N)] @ stdz-insert u U *~*
    [Λ.head-redex (M o N)] @ u # U
    using 6 cong-append [of [Λ.head-redex (M o N)] stdz-insert u U
      [Λ.head-redex (M o N)] u # U]
    by (metis 2 5 Arr.simps(1) Resid.simps(2) Std-imp-Arr
      ⟨Std (stdz-insert (M o N) (u # U))⟩
      arr-append-imp-seq arr-char calculation cong-standard-development
      cong-transitive ide-implies-arr ΛArr-head-redex
      Λ.contains-head-reduction-iff list.distinct(1))
  also have [Λ.head-redex (M o N)] @ u # U *~*
    ([Λ.head-redex (M o N)] @
     [(M o N) \ Λ.head-redex (M o N)]) @ u # U
  proof –
    have [Λ.head-redex (M o N)] *~*
      [Λ.head-redex (M o N)] @ [(M o N) \ Λ.head-redex (M o N)]
    by (metis 2 3 head-redex-decomp ΛArr-head-redex
      Λ.Con-Arr-head-redex Λ.Ide-iff-Src-self Λ.Ide-implies-Arr
      Λ.Src-resid Λ.contains-head-reduction-iff Λ.resid-Arr-self
      prfx-decomp prfx-transitive)
    moreover have seq [Λ.head-redex (M o N)] (u # U)
    by (metis 7 arr-append-imp-seq cong-implies-coterminalE
      list.distinct(1))
    ultimately show ?thesis
      using 3 ide-char cong-symmetric cong-append
      by (meson 6 prfx-transitive)
  qed
  also have ([Λ.head-redex (M o N)] @
    [(M o N) \ Λ.head-redex (M o N)]) @ u # U *~*
    [M o N] @ u # U
  by (meson 6 MN ΛArr.simps(4) cong-append prfx-transitive
    head-redex-decomp seq)
  also have [M o N] @ (u # U) = (M o N) # u # U
    by simp
  finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
    by blast
  qed
  qed
  qed
next
assume 3:  $\neg \Lambda.Ide((M o N) \setminus \Lambda.head-redex(M o N))$ 
have 4: stdz-insert (M o N) (u # U) =
  Λ.head-redex (M o N) #
  stdz-insert ((M o N) \ Λ.head-redex (M o N)) (u # U)
using MN 1 2 3 ** by auto

```

```

have 5:  $\text{Std}(\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U)) \wedge$ 
        $\text{set}(\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U))$ 
        $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
        $\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U) * \sim *$ 
        $(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N) \# u \# U$ 
proof -
  have seq  $[(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)] (u \# U)$ 
  by (metis (full-types) MN arr-append-imp-seq cong-implies-coterminal
       coterminalE head-redex-decomp  $\Lambda.\text{Arr.simps}(4)$  not-Cons-self2
       seq seq-def targets-append)
  thus ?thesis
    using ind3 1 2 3 ** Std Std-implies-set-subset-elementary-reduction
    by auto
qed
show ?thesis
proof (intro conjI)
  show  $\text{Std}(\text{stdz-insert}(M \circ N) (u \# U))$ 
  proof -
    have  $\Lambda.\text{sseq}(\Lambda.\text{head-redex}(M \circ N))$ 
          $(\text{hd}(\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U)))$ 
    proof -
      have  $\Lambda.\text{seq}(\Lambda.\text{head-redex}(M \circ N))$ 
             $(\text{hd}(\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U)))$ 
      using MN 5  $\Lambda.\text{Arr-head-redex}$ 
      by (metis (no-types, lifting) Arr-imp-arr-hd Con-implies-Arr(2)
           Ide.simps(1) Src-hd-eqI ide-char  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Arr-head-redex}$ 
            $\Lambda.\text{Con-Arr-head-redex}$   $\Lambda.\text{Src-resid}$   $\Lambda.\text{arr-char}$   $\Lambda.\text{seq-char}$  list.sel(1))
    moreover have  $\Lambda.\text{elementary-reduction}$ 
       $(\text{hd}(\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N))$ 
       $(u \# U)))$ 
    using 5
    by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1) hd-in-set
         ide-char mem-Collect-eq subset-code(1))
  ultimately show ?thesis
    using MN 2  $\Lambda.\text{head-redex-is-head-reduction}$   $\Lambda.\text{sseq-head-reductionI}$ 
    by simp
qed
thus ?thesis
  by (metis 4 5 Std.simps(3) Arr.simps(1) Con-implies-Arr(2)
       Ide.simps(1) ide-char list.exhaust-sel)
qed
show  $\neg \text{Ide}((M \circ N) \# u \# U) \rightarrow$ 
       $\text{stdz-insert}(M \circ N) (u \# U) * \sim * (M \circ N) \# u \# U$ 
proof
  have stdz-insert  $(M \circ N) (u \# U) =$ 
     $[\Lambda.\text{head-redex}(M \circ N)] @$ 
     $\text{stdz-insert}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (u \# U)$ 
  using 4 by simp
  also have ...  $* \sim * [\Lambda.\text{head-redex}(M \circ N)] @$ 

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```

 $((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N) \# u \# U)$ 
proof (intro cong-append)
  show seq [ $\Lambda.\text{head-redex} (M \circ N)$ ]
     $(\text{stdz-insert} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)) (u \# U))$ 
  by (metis 4 5 Ide.simps(1) Resid.simps(1) Std-imp-Arr
         $\langle \text{Std} (\text{stdz-insert} (M \circ N) (u \# U)) \rangle \text{ arrI}_P \text{ arr-append-imp-seq}$ 
        calculation ide-char list.discI)
  show [ $\Lambda.\text{head-redex} (M \circ N)$ ]  $*\sim*$  [ $\Lambda.\text{head-redex} (M \circ N)$ ]
  using MN  $\Lambda.\text{cong-reflexive ide-char} \Lambda.\text{Arr-head-redex}$  by force
  show stdz-insert  $((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)) (u \# U)$   $*\sim* (M \circ N) \setminus$ 
     $\Lambda.\text{head-redex} (M \circ N) \# u \# U$ 
  using 5 by fastforce
qed
also have ( $[\Lambda.\text{head-redex} (M \circ N)] @$ 
   $((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N) \# u \# U)) =$ 
  ( $[\Lambda.\text{head-redex} (M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)]) @ (u \# U)$ 
  by simp
also have ( $[\Lambda.\text{head-redex} (M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)]) @ u \# U$   $*\sim*$ 
   $[M \circ N] @ u \# U$ 
  by (meson ** cong-append cong-reflexive seqE head-redex-decomp
        seq  $\Lambda.\text{seq-char}$ )
also have  $[M \circ N] @ (u \# U) = (M \circ N) \# u \# U$ 
  by simp
finally show stdz-insert  $(M \circ N) (u \# U)$   $*\sim* (M \circ N) \# u \# U$ 
  by blast
qed
qed
qed
next
assume 2:  $\neg \Lambda.\text{contains-head-reduction} (M \circ N)$ 
show ?thesis
proof (cases  $\Lambda.\text{contains-head-reduction} u$ )
  assume 3:  $\Lambda.\text{contains-head-reduction} u$ 
  have B:  $[\Lambda.\text{head-strategy} (M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)]$   $*\sim*$ 
     $[M \circ N] @ [u]$ 
proof –
  have  $[M \circ N] @ [u]$   $*\sim* [\Lambda.\text{head-strategy} (\Lambda.\text{Src} (M \circ N)) \sqcup M \circ N]$ 
proof –
  have  $\Lambda.\text{is-internal-reduction} (M \circ N)$ 
  using 2  $\text{** } \Lambda.\text{is-internal-reduction-iff}$  by blast
  moreover have  $\Lambda.\text{is-head-reduction} u$ 
proof –
  have  $\Lambda.\text{elementary-reduction} u$ 
  by (metis Std lambda-calculus.sseq-imp-elementary-reduction1
        list.discI list.sel(1) reduction-paths.Std.elims(2))
thus ?thesis
  using  $\Lambda.\text{is-head-reduction-if}$  3 by force

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qed
moreover have  $\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \setminus (M \circ N) = u$ 
  using  $\Lambda.\text{resid-head-strategy-Src}(1)$  ** calculation(1–2) by fastforce
moreover have  $[M \circ N] * \lesssim * [\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N]$ 
  using  $MN \Lambda.\text{prfx-implies-con ide-char } \Lambda.\text{Arr-head-strategy}$ 
     $\Lambda.\text{Src-head-strategy } \Lambda.\text{prfx-Join}$ 
  by force
ultimately show ?thesis
  using  $u \Lambda.\text{Coinitial-iff-Con } \Lambda.\text{Arr-not-Nil } \Lambda.\text{resid-Join}$ 
     $\text{prfx-decomp } [of M \circ N \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N]$ 
  by simp
qed
also have  $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N] * \sim *$ 
   $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))]$ 
proof –
  have 3:  $\Lambda.\text{composite-of}$ 
     $(\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)))$ 
     $((M \circ N) \setminus \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)))$ 
     $(\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N)$ 
  using  $\Lambda.\text{Arr-head-strategy } MN \Lambda.\text{Src-head-strategy } \Lambda.\text{join-of-Join}$ 
     $\Lambda.\text{join-of-def}$ 
  by force
  hence composite-of
     $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))]$ 
     $[(M \circ N) \setminus \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))]$ 
     $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N]$ 
  using composite-of-single-single
  by (metis (no-types, lifting)  $\Lambda.\text{Con-sym Ide.simps}(2)$  Resid.simps(3)
    composite-ofI  $\Lambda.\text{composite-ofE }$   $\Lambda.\text{con-char ide-char } \Lambda.\text{prfx-implies-con}$ )
  hence  $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))] @$ 
     $[(M \circ N) \setminus \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))] * \sim *$ 
     $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N)) \sqcup M \circ N]$ 
  using  $\Lambda.\text{resid-Join}$ 
  by (meson 3 composite-of-single-single composite-of-unq-up-to-cong)
  thus ?thesis by blast
qed
also have  $[\Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy}(\Lambda.\text{Src}(M \circ N))] * \sim *$ 
   $[\Lambda.\text{head-strategy}(M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)]$ 
  by (metis (full-types)  $\Lambda.\text{Arr.simps}(4)$  MN prfx-transitive calculation
     $\Lambda.\text{head-strategy-Src}$ )
  finally show ?thesis by blast
qed
show ?thesis
proof (cases  $\Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)))$ 
  assume 4:  $\Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N))$ 
  have A:  $[\Lambda.\text{head-strategy}(M \circ N)] * \sim *$ 

```

```

[ $\Lambda.\text{head-strategy } (M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)]$ 
by (meson 4 B Con-implies-Arr(1) Ide.simps(2) arr-append-imp-seq arr-char
con-char cong-append-ideI(2) ide-char  $\Lambda.\text{ide-char}$  not-Cons-self2
prfx-implies-con)
have 5:  $\neg \text{Ide } (u \# U)$ 
by (meson 3 Ide-consE  $\Lambda.\text{ide-backward-stable}$   $\Lambda.\text{subs-head-redex}$ 
 $\Lambda.\text{subs-implies-prfx}$   $\Lambda.\text{contains-head-reduction-iff}$ 
 $\Lambda.\text{elementary-reduction-head-redex}$   $\Lambda.\text{elementary-reduction-not-ide}$ )
have 6: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
  stdz-insert ( $\Lambda.\text{head-strategy } (M \circ N)$ )  $U$ 
using 1 2 3 4 5 * ** < $\Lambda.\text{is-App } u \vee \Lambda.\text{is-Beta } u$ >
apply (cases  $u$ )
  apply simp-all
  apply blast
  by (cases  $M$ ) auto
show ?thesis
proof (cases  $U = []$ )
  assume  $U: U = []$ 
  have  $u: \neg \Lambda.\text{Ide } u$ 
    using 5  $U$  by simp
  have 6: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
    standard-development ( $\Lambda.\text{head-strategy } (M \circ N)$ )
  using 6  $U$  by simp
  show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $M \circ N$ ) ( $u \# U$ ))
    using 6 Std-standard-development by presburger
  show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
    stdz-insert ( $M \circ N$ ) ( $u \# U$ ) *~* ( $M \circ N$ )  $\# u \# U$ 
proof
  have stdz-insert ( $M \circ N$ ) ( $u \# U$ ) *~* [ $\Lambda.\text{head-strategy } (M \circ N)$ ]
    using 4 6 cong-standard-development ** 1 2 3  $\Lambda.\text{Arr.simps}(4)$ 
       $\Lambda.\text{Arr-head-strategy } MN$   $\Lambda.\text{ide-backward-stable}$   $\Lambda.\text{ide-char}$ 
    by metis
  also have [ $\Lambda.\text{head-strategy } (M \circ N)$ ] *~* [ $M \circ N$ ] @ [u]
    by (meson A B prfx-transitive)
  also have [ $M \circ N$ ] @ [u] = ( $M \circ N$ )  $\# u \# U$ 
    using  $U$  by auto
  finally show stdz-insert ( $M \circ N$ ) ( $u \# U$ ) *~* ( $M \circ N$ )  $\# u \# U$ 
    by blast
qed
qed
next
assume  $U: U \neq []$ 
have 7: seq [ $\Lambda.\text{head-strategy } (M \circ N)$ ]  $U$ 
proof
  show Arr [ $\Lambda.\text{head-strategy } (M \circ N)$ ]
    by (meson A Con-implies-Arr(1) con-char prfx-implies-con)
  show Arr  $U$ 

```

```

using  $U \setminus U \neq [] \implies \text{Arr } U$  by presburger
show  $\Lambda.\text{Trg}(\text{last } [\Lambda.\text{head-strategy } (M \circ N)]) = \Lambda.\text{Src}(\text{hd } U)$ 
by (metis A B Std Std-conse Trg-last-eqI U  $\Lambda.\text{seqE}_\Lambda$   $\Lambda.\text{sseq-imp-seq}$  last-snoc)
qed
have 8:  $\text{Std}(\text{stdz-insert } (\Lambda.\text{head-strategy } (M \circ N)) \ U) \wedge$ 
   $\text{set } (\text{stdz-insert } (\Lambda.\text{head-strategy } (M \circ N)) \ U)$ 
   $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
   $\text{stdz-insert } (\Lambda.\text{head-strategy } (M \circ N)) \ U * \sim^*$ 
   $\Lambda.\text{head-strategy } (M \circ N) \# U$ 
proof -
  have Std U
    by (metis Std Std.simps(3) U list.exhaustsel)
  moreover have  $\neg \text{Ide } (\Lambda.\text{head-strategy } (M \circ N) \# \text{tl } (u \# U))$ 
    using 1 4  $\Lambda.\text{ide-backward-stable}$  by blast
  ultimately show ?thesis
    using ind4 ** 1 2 3 4 7 Std-implies-set-subset-elementary-reduction
    by force
qed
show ?thesis
proof (intro conjI)
  show  $\text{Std}(\text{stdz-insert } (M \circ N) \ (u \# U))$ 
    using 6 8 by presburger
  show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
     $\text{stdz-insert } (M \circ N) \ (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
  have stdz-insert  $(M \circ N) \ (u \# U) =$ 
    stdz-insert  $(\Lambda.\text{head-strategy } (M \circ N)) \ U$ 
    using 6 by simp
  also have ...  $* \sim^* [\Lambda.\text{head-strategy } (M \circ N)] @ U$ 
    using 8 by simp
  also have  $[\Lambda.\text{head-strategy } (M \circ N)] @ U * \sim^* ([M \circ N] @ [u]) @ U$ 
    by (meson A B U 7 Resid-Arr-self cong-append ide-char
      prfx-transitive  $\setminus U \neq [] \implies \text{Arr } U$ )
  also have  $([M \circ N] @ [u]) @ U = (M \circ N) \# u \# U$ 
    by simp
  finally show stdz-insert  $(M \circ N) \ (u \# U) * \sim^* (M \circ N) \ # u \ # U$ 
    by blast
qed
qed
qed
next
assume 4:  $\neg \Lambda.\text{Ide } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N))$ 
show ?thesis
proof (cases  $U = []$ )
  assume U:  $U = []$ 
  have 5: stdz-insert  $(M \circ N) \ (u \ # U) =$ 
     $\Lambda.\text{head-strategy } (M \circ N) \ #$ 
    standard-development  $((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N))$ 
  using 1 2 3 4 U **  $\langle \Lambda.\text{is-App } u \vee \Lambda.\text{is-Beta } u \rangle$ 

```

```

apply (cases u)
  apply simp-all
  apply blast
apply (cases M)
  apply simp-all
by blast+
show ?thesis
proof (intro conjI)
  show Std (stdz-insert (M o N) (u # U))
  proof -
    have Λ.sseq (Λ.head-strategy (M o N))
      (hd (standard-development
            ((M o N) \ Λ.head-strategy (M o N))))
  proof -
    have Λ.seq (Λ.head-strategy (M o N))
      (hd (standard-development
            ((M o N) \ Λ.head-strategy (M o N))))
  using MN ** 4 Λ.Arr-head-strategy Arr-imp-arr-hd
    Ide-iff-standard-development-empty Src-hd-standard-development
    Std-imp-Arr Std-standard-development Λ.Arr-resid
    Λ.Src-head-strategy Λ.Src-resid
  by force
  moreover have Λ.elementary-reduction
    (hd (standard-development
          ((M o N) \ Λ.head-strategy (M o N))))
  by (metis 4 Ide-iff-standard-development-empty MN Std-consE
      Std-standard-development hd-Cons-tl Λ.Arr.simps(4)
      Λ.Arr-resid Λ.Con-head-strategy
      Λ.sseq-imp-elementary-reduction1 Std.simps(2))
  ultimately show ?thesis
  using Λ.sseq-head-reductionI Std-standard-development
  by (metis ** 2 3 Std U Λ.internal-reduction-preserves-no-head-redex
      Λ.is-internal-reduction-iff Λ.Src-head-strategy
      Λ.elementary-reduction-not-ide Λ.head-strategy-Src
      Λ.head-strategy-is-elementary Λ.ide-char Λ.is-head-reduction-char
      Λ.is-head-reduction-if Λ.seqE_Λ Std.simps(2))
qed
thus ?thesis
  by (metis 4 5 MN Ide-iff-standard-development-empty
      Std-standard-development Λ.Arr.simps(4) Λ.Arr-resid
      Λ.Con-head-strategy list.exhaust-sel Std.simps(3))
qed
show ¬ Ide ((M o N) # u # U) —→
  stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  have stdz-insert (M o N) (u # U) =
    [Λ.head-strategy (M o N)] @
    standard-development ((M o N) \ Λ.head-strategy (M o N))
  using 5 by simp

```

```

also have ... *~* [ $\Lambda.\text{head-strategy} (M \circ N)$ ] @
  [ $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ]
proof (intro cong-append)
  show 6: seq [ $\Lambda.\text{head-strategy} (M \circ N)$ ]
    (standard-development
      ( $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ))
  using 4 Ide-iff-standard-development-empty MN
    <Std (stdz-insert (M ∘ N) (u # U))>
    arr-append-imp-seq arr-char calculation  $\Lambda.\text{Arr-head-strategy}$ 
     $\Lambda.\text{Arr-resid lambda-calculus.Src-head-strategy}$ 
    by force
  show [ $\Lambda.\text{head-strategy} (M \circ N)$ ] *~* [ $\Lambda.\text{head-strategy} (M \circ N)$ ]
    by (meson MN 6 cong-reflexive seqE)
  show standard-development ( $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ) *~*
    [ $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ]
  using 4 MN cong-standard-development  $\Lambda.\text{Arr.simps}(4)$ 
     $\Lambda.\text{Arr-resid } \Lambda.\text{Con-head-strategy}$ 
    by presburger
qed
also have [ $\Lambda.\text{head-strategy} (M \circ N)$ ] @
  [ $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ] *~*
  [ $M \circ N$ ] @ [u]
using B by blast
also have [ $M \circ N$ ] @ [u] = ( $M \circ N$ ) # u # U
  using U by simp
finally show stdz-insert ( $M \circ N$ ) (u # U) *~* ( $M \circ N$ ) # u # U
  by blast
qed
qed
next
assume U:  $U \neq []$ 
have 5: stdz-insert ( $M \circ N$ ) (u # U) =
   $\Lambda.\text{head-strategy} (M \circ N) \#$ 
  stdz-insert ( $\Lambda.\text{resid} (M \circ N)$  ( $\Lambda.\text{head-strategy} (M \circ N)$ )) U
using 1 2 3 4 U ** < $\Lambda.\text{is-App} u \vee \Lambda.\text{is-Beta} u$ >
apply (cases u)
  apply simp-all
  apply blast
  apply (cases M)
    apply simp-all
  by blast+
have 6: Std (stdz-insert (( $M \circ N$ ) \setminus  $\Lambda.\text{head-strategy} (M \circ N)$ ) U) ∧
  set (stdz-insert (( $M \circ N$ ) \setminus  $\Lambda.\text{head-strategy} (M \circ N)$ ) U)
  ⊆ {a.  $\Lambda.\text{elementary-reduction} a$ } ∧
  stdz-insert (( $M \circ N$ ) \setminus  $\Lambda.\text{head-strategy} (M \circ N)$ ) U *~*
  ( $M \circ N$ ) \setminus  $\Lambda.\text{head-strategy} (M \circ N)$  # U
proof -
  have seq [ $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)$ ] U
  proof

```

```

show Arr [( $M \circ N$ ) \  $\Lambda.\text{head-strategy}$  ( $M \circ N$ )]
  by (simp add: MN  $\Lambda.\text{Arr-resid}$   $\Lambda.\text{Con-head-strategy}$ )
show Arr  $U$ 
  using  $U \setminus U \neq [] \implies \text{Arr } U$  by blast
show  $\Lambda.\text{Trg} (\text{last} [(\mathcal{M} \circ N) \setminus \Lambda.\text{head-strategy} (\mathcal{M} \circ N)]) = \Lambda.\text{Src} (\text{hd } U)$ 
  by (metis (mono-tags, lifting) B U Std Std-conse Trg-last-eqI
     $\Lambda.\text{seq-char}$   $\Lambda.\text{sseq-imp-seq}$  last-ConsL last-snoc)
qed
thus ?thesis
  using ind5 Std-implies-set-subset-elementary-reduction
  by (metis ** 1 2 3 4 Std Std.simps(3) Arr-iff-Con-self Ide.simps(3)
    Resid.simps(1) seq-char  $\Lambda.\text{ide-char}$  list.exhaustsel list.sel(1,3))
qed
show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $M \circ N$ ) (u #  $U$ ))
  proof -
    have  $\Lambda.\text{sseq} (\Lambda.\text{head-strategy} (\mathcal{M} \circ N))$ 
      (hd (stdz-insert (( $M \circ N$ ) \  $\Lambda.\text{head-strategy}$  ( $M \circ N$ ))  $U$ ))
  proof -
    have  $\Lambda.\text{seq} (\Lambda.\text{head-strategy} (\mathcal{M} \circ N))$ 
      (hd (stdz-insert (( $M \circ N$ ) \  $\Lambda.\text{head-strategy}$  ( $M \circ N$ ))  $U$ ))
  proof
    show  $\Lambda.\text{Arr} (\Lambda.\text{head-strategy} (\mathcal{M} \circ N))$ 
      using MN  $\Lambda.\text{Arr-head-strategy}$  by force
    show  $\Lambda.\text{Arr} (\text{hd} (\text{stdz-insert} ((\mathcal{M} \circ N) \setminus \Lambda.\text{head-strategy} (\mathcal{M} \circ N))) \ U)$ 
      using 6
      by (metis Ide.simps(1) Resid.simps(2) Std-conse hd-Cons-tl ide-char)
    show  $\Lambda.\text{Trg} (\Lambda.\text{head-strategy} (\mathcal{M} \circ N)) =$ 
       $\Lambda.\text{Src} (\text{hd} (\text{stdz-insert} ((\mathcal{M} \circ N) \setminus \Lambda.\text{head-strategy} (\mathcal{M} \circ N))) \ U)$ 
      using 6
      by (metis MN Src-hd-eqI Arr.simps(4) Con-head-strategy
        Src-resid list.sel(1))
  qed
  moreover have  $\Lambda.\text{is-head-reduction} (\Lambda.\text{head-strategy} (\mathcal{M} \circ N))$ 
  using ** 1 2 3  $\Lambda.\text{Src-head-strategy}$   $\Lambda.\text{head-strategy-is-elementary}$ 
     $\Lambda.\text{head-strategy-Src}$   $\Lambda.\text{is-head-reduction-char}$   $\Lambda.\text{seq-char}$ 
  by (metis  $\Lambda.\text{Src-head-redex}$   $\Lambda.\text{contains-head-reduction-iff}$ 
     $\Lambda.\text{head-redex-is-head-reduction}$ 
     $\Lambda.\text{internal-reduction-preserves-no-head-redex}$ 
     $\Lambda.\text{is-internal-reduction-iff}$ )
  moreover have  $\Lambda.\text{elementary-reduction}$ 
    (hd (stdz-insert (( $M \circ N$ ) \  $\Lambda.\text{head-strategy}$  ( $M \circ N$ ))  $U$ ))
  by (metis 6 Ide.simps(1) Resid.simps(2) ide-char hd-in-set
    in-mono mem-Collect-eq)
  ultimately show ?thesis
  using  $\Lambda.\text{sseq-head-reductionI}$  by blast
qed
thus ?thesis

```

```

by (metis 5 6 Std.simps(3) Arr.simps(1) Con-implies-Arr(1)
    con-char prfx-implies-con list.exhaust-sel)
qed
show  $\neg \text{Ide}((M \circ N) \# u \# U) \longrightarrow$ 
      stdz-insert  $(M \circ N)$   $(u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
have stdz-insert  $(M \circ N)$   $(u \# U) =$ 
   $[\Lambda.\text{head-strategy} (M \circ N)] @$ 
  stdz-insert  $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U$ 
using 5 by simp
also have 10: ...  $* \sim^* [\Lambda.\text{head-strategy} (M \circ N)] @$ 
   $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U)$ 
proof (intro cong-append)
show 10: seq  $[\Lambda.\text{head-strategy} (M \circ N)]$ 
  stdz-insert  $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U$ 
by (metis 5 6 Ide.simps(1) Resid.simps(1) Std-imp-Arr
     `Std (stdz-insert  $(M \circ N)$   $(u \# U))` arr-append-imp-seq
     arr-char calculation ide-char list.distinct(1))
show  $[\Lambda.\text{head-strategy} (M \circ N)] * \sim^* [\Lambda.\text{head-strategy} (M \circ N)]$ 
using MN 10 cong-reflexive by blast
show stdz-insert  $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U * \sim^*$ 
   $(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U$ 
using 6 by auto
qed
also have 11:  $[\Lambda.\text{head-strategy} (M \circ N)] @$ 
   $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U) =$ 
   $([\Lambda.\text{head-strategy} (M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)]) @ U$ 
by simp
also have ...  $* \sim^* (([M \circ N] @ [u]) @ U)$ 
proof -
have seq  $([\Lambda.\text{head-strategy} (M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)]) U$ 
by (metis U 10 11 append-is-Nil-conv arr-append-imp-seq
     cong-implies-coterminal coterminalE not-Cons-self2)
thus ?thesis
  using B cong-append cong-reflexive by blast
qed
also have  $([M \circ N] @ [u]) @ U = (M \circ N) \# u \# U$ 
  by simp
finally show stdz-insert  $(M \circ N)$   $(u \# U) * \sim^* (M \circ N) \# u \# U$ 
  by blast
qed
qed
qed
qed
next
assume 3:  $\neg \Lambda.\text{contains-head-reduction} u$ 
have u:  $\Lambda.\text{Arr} u \wedge \Lambda.\text{is-App} u \wedge \neg \Lambda.\text{contains-head-reduction} u$$ 
```

```

using 3 <Λ.is-App u ∨ Λ.is-Beta u> Λ.is-Beta-def u by force
have 5: ¬ Λ.Ide u
  by (metis Std Std.simps(2) Std.simps(3) Λ.elementary-reduction-not-ide
       Λ.ide-char neq-Nil-conv Λ.sseq-imp-elementary-reduction1)
show ?thesis
proof -
  have 4: stdz-insert (M o N) (u # U) =
    map (λX. Λ.App X (Λ.Src N))
      (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
    (stdz-insert N (filter notIde (map Λ.un-App2 (u # U))))
  using MN 1 2 3 5 ** <Λ.is-App u ∨ Λ.is-Beta u>
  apply (cases U = []; cases M; cases u)
    apply simp-all
  by blast+
  have ***: set U ⊆ Collect Λ.is-App
    using u 5 Std seq-App-Std-implies by blast
  have X: Std (filter notIde (map Λ.un-App1 (u # U)))
    by (metis *** Std Std-filter-map-un-App1 insert-subset list.simps(15)
        mem-Collect-eq u)
  have Y: Std (filter notIde (map Λ.un-App2 (u # U)))
    by (metis *** u Std Std-filter-map-un-App2 insert-subset list.simps(15)
        mem-Collect-eq)
  have A: ¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide ==>
    Std (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) ∧
    set (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) ⊆ {a. Λ.elementary-reduction a} ∧
    stdz-insert M (filter notIde (map Λ.un-App1 (u # U))) *~*
    M # filter notIde (map Λ.un-App1 (u # U))
  proof -
    assume *: ¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide
    have seq [M] (filter notIde (map Λ.un-App1 (u # U)))
    proof
      show Arr [M]
        using MN by simp
      show Arr (filter notIde (map Λ.un-App1 (u # U)))
        by (metis (mono-tags, lifting) * Std-imp-Arr X empty-filter-conv
            list.set-map mem-Collect-eq subset-code(1))
      show Λ.Trg (last [M]) = Λ.Src (hd (filter notIde (map Λ.un-App1 (u # U))))
    proof -
      have Λ.Trg (last [M]) = Λ.Src (hd (map Λ.un-App1 (u # U)))
        using ** u by fastforce
      also have ... = Λ.Src (hd (filter notIde (map Λ.un-App1 (u # U))))
    proof -
      have Arr (map Λ.un-App1 (u # U))
        using u ***
      by (metis Arr-map-un-App1 Std Std-imp-Arr insert-subset
          list.simps(15) mem-Collect-eq neq-Nil-conv)
      moreover have ¬ Ide (map Λ.un-App1 (u # U))
    qed
  qed
qed

```

```

    by (metis * Collect-cong Λ.ide-char list.set-map set-Ide-subset-ide)
  ultimately show ?thesis
    using Src-hd-eqI cong-filter-notIde by blast
qed
finally show ?thesis by blast
qed
qed
moreover have ¬ Ide (M # filter notIde (map Λ.un-App1 (u # U)))
  using *
  by (metis (no-types, lifting) *** Arr-map-un-App1 Std Std-imp-Arr
      Arr.simps(1) Ide.elims(2) Resid-Arr-Ide-ind ide-char
      seq-char calculation(1) cong-filter-notIde filter-notIde-Ide
      insert-subset list.discI list.sel(3) list.simps(15) mem-Collect-eq u)
ultimately show ?thesis
  by (metis X 1 2 3 ** ind7 Std-implies-set-subset-elementary-reduction
      list.sel(1))
qed
have B: ¬ Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide ==>
  Std (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) ∧
  set (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) ⊆ {a. Λ.elementary-reduction a} ∧
  stdz-insert N (filter notIde (map Λ.un-App2 (u # U))) *~*
  N # filter notIde (map Λ.un-App2 (u # U))
proof -
  assume **: ¬ Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide
  have seq [N] (filter notIde (map Λ.un-App2 (u # U)))
  proof
    show Arr [N]
    using MN by simp
    show Arr (filter (λu. ¬ Λ.Ide u) (map Λ.un-App2 (u # U)))
      by (metis (mono-tags, lifting) ** Std-imp-Arr Y empty-filter-conv
          list.set-map mem-Collect-eq subset-code(1))
    show Λ.Trg (last [N]) = Λ.Src (hd (filter notIde (map Λ.un-App2 (u # U))))
  proof -
    have Λ.Trg (last [N]) = Λ.Src (hd (map Λ.un-App2 (u # U)))
    by (metis u seq Trg-last-Src-hd-eqI Λ.Src.simps(4)
        Λ.Trg.simps(3) Λ.is-App-def Λ.lambda.sel(4) last-ConsL
        list.discI list.map sel(1) list.sel(1))
    also have ... = Λ.Src (hd (filter notIde (map Λ.un-App2 (u # U))))
  proof -
    have Arr (map Λ.un-App2 (u # U))
      using u ***
      by (metis Arr-map-un-App2 Std Std-imp-Arr list.distinct(1)
          mem-Collect-eq set-ConsD subset-code(1))
    moreover have ¬ Ide (map Λ.un-App2 (u # U))
      by (metis ** Collect-cong Λ.ide-char list.set-map set-Ide-subset-ide)
    ultimately show ?thesis
      using Src-hd-eqI cong-filter-notIde by blast
  qed

```

```

    finally show ?thesis by blast
qed
qed
moreover have  $\Lambda.seq(M \circ N) u$ 
by (metis u Srcs-simp $_{\Lambda P}$  Arr.simps(2) Trgs.simps(2) seq-char
list.sel(1) seq  $\Lambda.seqI(1)$   $\Lambda.sources-char_{\Lambda}$ )
moreover have  $\neg Ide(N \# filter notIde (map \Lambda.un-App2 (u \# U)))$ 
using u *
by (metis (no-types, lifting) *** Arr-map-un-App2 Std Std-imp-Arr
Arr.simps(1) Ide.elims(2) Resid-Arr-Ide-ind ide-char
seq-char calculation(1) cong-filter-notIde filter-notIde-Ide
insert-subset list.discI list.sel(3) list.simps(15) mem-Collect-eq)
ultimately show ?thesis
using * 1 2 3 Y ind8 Std-implies-set-subset-elementary-reduction
by simp
qed
show ?thesis
proof (cases  $\Lambda.un-App1 ` set(u \# U) \subseteq Collect \Lambda.Ide$ ;
cases  $\Lambda.un-App2 ` set(u \# U) \subseteq Collect \Lambda.Ide$ )
show  $\llbracket \Lambda.un-App1 ` set(u \# U) \subseteq Collect \Lambda.Ide; \Lambda.un-App2 ` set(u \# U) \subseteq Collect \Lambda.Ide \rrbracket$ 
 $\implies ?thesis$ 
proof -
assume *:  $\Lambda.un-App1 ` set(u \# U) \subseteq Collect \Lambda.Ide$ 
assume **:  $\Lambda.un-App2 ` set(u \# U) \subseteq Collect \Lambda.Ide$ 
have False
using u 5 * ** Ide-iff-standard-development-empty
by (metis  $\Lambda.Ide.simps(4)$  image-subset-iff  $\Lambda.lambda.collapse(3)$ 
list.set-intros(1) mem-Collect-eq)
thus ?thesis by blast
qed
show  $\llbracket \Lambda.un-App1 ` set(u \# U) \subseteq Collect \Lambda.Ide; \neg \Lambda.un-App2 ` set(u \# U) \subseteq Collect \Lambda.Ide \rrbracket$ 
 $\implies ?thesis$ 
proof -
assume *:  $\Lambda.un-App1 ` set(u \# U) \subseteq Collect \Lambda.Ide$ 
assume **:  $\neg \Lambda.un-App2 ` set(u \# U) \subseteq Collect \Lambda.Ide$ 
have 6:  $\Lambda.Trg(\Lambda.un-App1 (last(u \# U))) = \Lambda.Trg M$ 
proof -
have  $\Lambda.Trg M = \Lambda.Src(hd(map \Lambda.un-App1 (u \# U)))$ 
by (metis u seq Trg-last-Src-hd-eqI hd-map  $\Lambda.Src.simps(4)$   $\Lambda.Trg.simps(3)$ 
 $\Lambda.is-App-def \Lambda.lambda.sel(3)$  last-ConsL list.discI list.sel(1))
also have ... =  $\Lambda.Trg(last(map \Lambda.un-App1 (u \# U)))$ 
proof -
have 6:  $Ide(map \Lambda.un-App1 (u \# U))$ 
using * *** u Std Std-imp-Arr Ide-char ide-char Arr-map-un-App1
by (metis (mono-tags, lifting) Collect-cong insert-subset
 $\Lambda.ide-char$  list.distinct(1) list.set-map list.simps(15)
mem-Collect-eq)

```

```

hence Src (map  $\Lambda.un$ -App1 ( $u \# U$ ) = Trg (map  $\Lambda.un$ -App1 ( $u \# U$ ))
  using Ide-imp-Src-eq-Trg by blast
thus ?thesis
  using 6 Ide-implies-Arr by force
qed
also have ... =  $\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ )))
  by (simp add: last-map)
finally show ?thesis by simp
qed
have filter notIde (map  $\Lambda.un$ -App1 ( $u \# U$ ) = [])
  using * by (simp add: subset-eq)
hence 4: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
  map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) @
  map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ )))))
  (stdz-insert  $N$  (filter notIde (map  $\Lambda.un$ -App2 ( $u \# U$ ))))
using u 4 5 * ** Ide-iff-standard-development-empty MN
by simp
show ?thesis
proof (intro conjI)
  have Std (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ )))))
    (stdz-insert  $N$  (filter notIde (map  $\Lambda.un$ -App2 ( $u \# U$ )))))
  proof (intro Std-append)
    show Std (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ))
      using Std-map-App1 Std-standard-development MN  $\Lambda.Ide$ -Src
      by force
    show Std (map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ ))))))
      (stdz-insert  $N$  (filter notIde (map  $\Lambda.un$ -App2 ( $u \# U$ ))))
    using ** B MN 6 Std-map-App2  $\Lambda.Ide$ -Trg by presburger
    show map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) = []  $\vee$ 
      map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ )))))
      (stdz-insert  $N$  (filter notIde (map  $\Lambda.un$ -App2 ( $u \# U$ )))) = []  $\vee$ 
       $\Lambda.sseq$  (last (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ )))
      (hd (map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ ))))))
      (stdz-insert  $N$  (filter notIde
        (map  $\Lambda.un$ -App2 ( $u \# U$ ))))))
  proof (cases  $\Lambda.Ide M$ )
    show  $\Lambda.Ide M \implies$  ?thesis
      using Ide-iff-standard-development-empty MN by blast
    assume M:  $\neg \Lambda.Ide M$ 
    have  $\Lambda.sseq$  (last (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ )))
      (hd (map ( $\Lambda.App (\Lambda.Trg (\Lambda.un$ -App1 (last ( $u \# U$ ))))))
      (stdz-insert  $N$  (filter notIde
        (map  $\Lambda.un$ -App2 ( $u \# U$ ))))) )
  proof -
    have last (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ )) =
       $\Lambda.App$  (last (standard-development  $M$ )) ( $\Lambda.Src N$ )
    using M
    by (simp add: Ide-iff-standard-development-empty MN last-map)
  
```

```

moreover have  $hd (map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$ 
 $(stdz-insert N (filter notIde$ 
 $(map \Lambda.un-App2 (u \# U)))) =$ 
 $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ 
 $(hd (stdz-insert N (filter notIde$ 
 $(map \Lambda.un-App2 (u \# U)))))$ 
by (metis ** B Ide.simps(1) Resid.simps(2) hd-map ide-char)
moreover
have  $\Lambda.sseq (\Lambda.App (last (standard-development M)) (\Lambda.Src N))$ 
...
proof -
have  $\Lambda.elementary-reduction (last (standard-development M))$ 
using  $M MN Std-standard-development$ 
 $Ide-iff-standard-development-empty last-in-set$ 
 $mem-Collect-eq set-standard-development subsetD$ 
by metis
moreover have  $\Lambda.elementary-reduction$ 
 $(hd (stdz-insert N$ 
 $(filter notIde (map \Lambda.un-App2 (u \# U)))))$ 
using ** B
by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
ide-char in-mono list.setsel(1) mem-Collect-eq)
moreover have  $\Lambda.Trg (last (standard-development M)) =$ 
 $\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))$ 
using  $M MN 6 Trg-last-standard-development$  by presburger
moreover have  $\Lambda.Src N =$ 
 $\Lambda.Src (hd (stdz-insert N$ 
 $(filter notIde (map \Lambda.un-App2 (u \# U)))))$ 
by (metis ** B Src-hd-eqI list.sel(1))
ultimately show ?thesis
by simp
qed
ultimately show ?thesis by simp
qed
thus ?thesis by blast
qed
qed
thus  $Std (stdz-insert (M \circ N) (u \# U))$ 
using 4 by simp
show  $\neg Ide ((M \circ N) \# u \# U) \rightarrow$ 
 $stdz-insert (M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
show  $stdz-insert (M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof (cases  $\Lambda.Ide M$ )
assume  $M : \Lambda.Ide M$ 
have  $stdz-insert (M \circ N) (u \# U) =$ 
 $map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$ 
 $(stdz-insert N (filter notIde (map \Lambda.un-App2 (u \# U))))$ 
using 4  $M MN Ide-iff-standard-development-empty$  by simp

```

```

also have ... *~* (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

                     (N # filter notIde (map Λ.un-App2 (u # U))))  

proof -  

  have Λ.Ide (Λ.Trg (Λ.un-App1 (last (u # U))))  

    using M 6 Λ.Ide-Trg Λ.Ide-implies-Arr by fastforce  

  thus ?thesis  

    using ** *** B u cong-map-App1 by blast  

qed  

also have map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (N # filter notIde (map Λ.un-App2 (u # U))) =  

  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (filter notIde (N # map Λ.un-App2 (u # U)))  

  using 1 M by force  

also have map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (filter notIde (N # map Λ.un-App2 (u # U))) *~*  

  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (N # map Λ.un-App2 (u # U))  

proof -  

  have Arr (N # map Λ.un-App2 (u # U))  

  proof  

    show Λ.arr N  

    using MN by blast  

    show Arr (map Λ.un-App2 (u # U))  

    using *** u Std Arr-map-un-App2  

    by (metis Std-imp-Arr insert-subset list.distinct(1)  

        list.simps(15) mem-Collect-eq)  

    show Λ.trg N = Src (map Λ.un-App2 (u # U))  

    using u ⟨Λ.seq (M o N) u⟩ Λ.seq-char Λ.is-App-def by auto  

  qed  

  moreover have ¬ Ide (N # map Λ.un-App2 (u # U))  

  using 1 M by force  

  moreover have Λ.Ide (Λ.Trg (Λ.un-App1 (last (u # U))))  

  using M 6 Λ.Ide-Trg Λ.Ide-implies-Arr by presburger  

  ultimately show ?thesis  

  using cong-filter-notIde cong-map-App1 by blast  

qed  

also have map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (N # map Λ.un-App2 (u # U)) =  

  map (Λ.App M) (N # map Λ.un-App2 (u # U))  

using M MN ⟨Λ.Trg (Λ.un-App1 (last (u # U))) = Λ.Trg M⟩  

  Λ.Ide-iff-Trg-self  

by force  

also have ... = (M o N) # map (Λ.App M) (map Λ.un-App2 (u # U))  

  by simp  

also have ... = (M o N) # u # U  

proof -  

  have Arr (u # U)  

  using Std Std-imp-Arr by blast  

  moreover have set (u # U) ⊆ Collect Λ.is-App

```

```

using *** u by simp
moreover have  $\Lambda.un\text{-}App1\ u = M$ 
by (metis * u M seq Trg-last-Src-hd-eqI  $\Lambda.Ide\text{-}iff\text{-}Src\text{-}self$ 
 $\Lambda.Ide\text{-}iff\text{-}Trg\text{-}self$   $\Lambda.Ide\text{-}implies\text{-}Arr$   $\Lambda.Src.simps(4)$ 
 $\Lambda.Trg.simps(3)$   $\Lambda.lambda.collapse(3)$   $\Lambda.lambda.sel(3)$ 
last.simps list.distinct(1) list.sel(1) list.set-intros(1)
list.set-map list.simps(9) mem-Collect-eq standardize.cases
subset-iff)
moreover have  $\Lambda.un\text{-}App1`set\ (u \# U) \subseteq \{M\}$ 
proof -
have Ide (map  $\Lambda.un\text{-}App1\ (u \# U)$ )
using * *** Std Std-imp-Arr Arr-map-un-App1
by (metis Collect-cong Ide-char calculation(1-2)  $\Lambda.ide\text{-}char$ 
list.set-map)
thus ?thesis
by (metis calculation(3) hd-map list.discI list.sel(1)
list.set-map set-Ide-subset-single-hd)
qed
ultimately show ?thesis
using M map-App-map-un-App2 by blast
qed
finally show ?thesis by blast
next
assume  $M : \neg \Lambda.Ide\ M$ 
have stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
map ( $\lambda X. X \circ \Lambda.Src\ N$ ) (standard-development  $M$ ) @
map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
(stddz-insert  $N$  (filter notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ )))
using 4 6 by simp
also have ...  $\sim [M \circ \Lambda.Src\ N] @ [\Lambda.Trg\ M \circ N] @$ 
map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
(filter notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ ))
proof (intro cong-append)
show map ( $\lambda X. X \circ \Lambda.Src\ N$ ) (standard-development  $M$ )  $\sim [M \circ \Lambda.Src\ N]$ 
using MN M cong-standard-development  $\Lambda.Ide\text{-}Src$ 
cong-map-App2 [of  $\Lambda.Src\ N$  standard-development  $M$  [M]]
by simp
show map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
(stddz-insert  $N$  (filter notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ )))  $\sim [\Lambda.Trg\ M \circ N] @$ 
map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
(filter notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ ))
proof -
have map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
(stddz-insert  $N$  (filter notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ )))  $\sim$ 
map ( $\lambda X. \Lambda.Trg\ M \circ X$ )
( $N \# filter$  notIde (map  $\Lambda.un\text{-}App2\ (u \# U)$ ))
using ** B MN cong-map-App1 lambda-calculus.Ide-Trg

```

```

by presburger
also have map (λX. Λ.Trg M o X)
  (N # filter notIde (map Λ.un-App2 (u # U))) =
  [Λ.Trg M o N] @
  map (λX. Λ.Trg M o X)
  (filter notIde (map Λ.un-App2 (u # U)))
by simp
finally show ?thesis by blast
qed
show seq (map (λX. X o Λ.Src N) (standard-development M))
  (map (λX. Λ.Trg M o X)
    (stdz-insert N (filter notIde
      (map Λ.un-App2 (u # U)))))

using MN M ** B cong-standard-development [of M]
by (metis Nil-is-append-conv Resid.simps(2) Std-imp-Arr
  ‹Std (stdz-insert (M o N) (u # U))› arr-append-imp-seq
  arr-char calculation complete-development-Ide-iff
  complete-development-def list.map-disc-iff development.simps(1))
qed
also have [M o Λ.Src N] @ [Λ.Trg M o N] @
  map (λX. Λ.Trg M o X)
  (filter notIde (map Λ.un-App2 (u # U))) =
  ([M o Λ.Src N] @ [Λ.Trg M o N]) @
  map (λX. Λ.Trg M o X)
  (filter notIde (map Λ.un-App2 (u # U)))
by simp
also have ([M o Λ.Src N] @ [Λ.Trg M o N]) @
  map (λX. Λ.Trg M o X)
  (filter notIde (map Λ.un-App2 (u # U))) *~*
  ([M o Λ.Src N] @ [Λ.Trg M o N]) @
  map (λX. Λ.Trg M o X) (map Λ.un-App2 (u # U))
proof (intro cong-append)
show seq ([M o Λ.Src N] @ [Λ.Trg M o N])
  (map (λX. Λ.Trg M o X)
    (filter notIde (map Λ.un-App2 (u # U))))
proof
show Arr ([M o Λ.Src N] @ [Λ.Trg M o N])
  by (simp add: MN)
show 9: Arr (map (λX. Λ.Trg M o X)
  (filter notIde (map Λ.un-App2 (u # U))))
proof -
have Arr (map Λ.un-App2 (u # U))
using *** u Arr-map-un-App2
by (metis Std Std-imp-Arr list.distinct(1) mem-Collect-eq
  set-ConsD subset-code(1))
moreover have ¬ Ide (map Λ.un-App2 (u # U))
using **
by (metis Collect-cong Λ.ide-char list.set-map
  set-Ide-subset-ide)

```

```

ultimately show ?thesis
  using cong-filter-notIde
  by (metis Arr-map-App2 Con-implies-Arr(2) Ide.simps(1)
      MN ide-char Λ.Ide-Trg)
qed
show Λ.Trг (last ([M o Λ.Src N] @ [Λ.Trг M o N])) =
  Λ.Src (hd (map (λX. Λ.Trг M o X)
    (filter notIde (map Λ.un-App2 (u # U)))))

proof -
have Λ.Trг (last ([M o Λ.Src N] @ [Λ.Trг M o N])) =
  Λ.Trг M o Λ.Trг N
  using MN by auto
also have ... = Λ.Src u
  using Trг-last-Src-hd-eqI seq by force
also have ... = Λ.Src (Λ.Trг M o Λ.un-App2 u)
  using MN ⟨Λ.App (Λ.Trг M) (Λ.Trг N) = Λ.Src u⟩ u by auto
also have 8: ... = Λ.Trг M o Λ.Src (Λ.un-App2 u)
  using MN by simp
also have 7: ... = Λ.Trг M o
  Λ.Src (hd (filter notIde
    (map Λ.un-App2 (u # U))))
  using u 5 list.simps(9) cong-filter-notIde
  ⟨filter notIde (map Λ.un-App1 (u # U)) = []⟩
  by auto
also have ... = Λ.Src (hd (map (λX. Λ.Trг M o X)
  (filter notIde
    (map Λ.un-App2 (u # U)))))

by (metis 7 8 9 Arr.simps(1) hd-map Λ.Src.simps(4)
  Λ.lambda.sel(4) list.simps(8))
finally show Λ.Trг (last ([M o Λ.Src N] @ [Λ.Trг M o N])) =
  Λ.Src (hd (map (λX. Λ.Trг M o X)
    (filter notIde
      (map Λ.un-App2 (u # U)))))

by blast
qed
qed
show seq [M o Λ.Src N] [Λ.Trг M o N]
  using MN by force
show [M o Λ.Src N] *~* [M o Λ.Src N]
  using MN
  by (meson head-redex-decomp ΛArr.simps(4) ΛArr-Src
    prfx-transitive)
show [Λ.Trг M o N] *~* [Λ.Trг M o N]
  using MN
  by (meson ⟨seq [M o Λ.Src N] [Λ.Trг M o N], cong-reflexive seqE⟩)
show map (λX. Λ.Trг M o X)
  (filter notIde (map Λ.un-App2 (u # U))) *~*
  map (λX. Λ.Trг M o X) (map Λ.un-App2 (u # U))

```

```

proof -
  have  $\text{Arr}(\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
    using ***  $u \text{ Arr-map-un-App2}$ 
    by (metis Std Std-imp-Arr list.distinct(1) mem-Collect-eq
      set-ConsD subset-code(1))
  moreover have  $\neg \text{Ide}(\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
    using **
    by (metis Collect-cong  $\Lambda.\text{ide-char}$  list.set-map
      set-Ide-subset-ide)
  ultimately show ?thesis
    using M MN cong-filter-notIde cong-map-App1  $\Lambda.\text{Ide-Trg}$ 
    by presburger
  qed
qed
also have  $([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N]) @$ 
   $\text{map}(\lambda X. \Lambda.\text{Trg } M \circ X) (\text{map } \Lambda.\text{un-App2 } (u \# U)) * \sim^*$ 
   $[M \circ N] @ u \# U$ 
proof (intro cong-append)
  show seq  $([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N])$ 
     $(\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X) (\text{map } \Lambda.\text{un-App2 } (u \# U)))$ 
  by (metis Nil-is-append-conv Nil-is-map-conv arr-append-imp-seq
    calculation cong-implies-coterminal coterminale
    list.distinct(1))
  show  $[M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N] * \sim^* [M \circ N]$ 
  using MN  $\Lambda.\text{resid-Arr-self}$   $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{Ide-Trg ide-char}$  by simp
  show  $\text{map}(\lambda X. \Lambda.\text{Trg } M \circ X) (\text{map } \Lambda.\text{un-App2 } (u \# U)) * \sim^* u \# U$ 
proof -
  have  $\text{map}(\lambda X. \Lambda.\text{Trg } M \circ X) (\text{map } \Lambda.\text{un-App2 } (u \# U)) = u \# U$ 
proof (intro map-App-map-un-App2)
  show  $\text{Arr}(u \# U)$ 
  using Std Std-imp-Arr by blast
  show  $\text{set}(u \# U) \subseteq \text{Collect } \Lambda.\text{is-App}$ 
  using ***  $u$  by auto
  show  $\Lambda.\text{Ide}(\Lambda.\text{Trg } M)$ 
  using MN  $\Lambda.\text{Ide-Trg}$  by blast
  show  $\Lambda.\text{un-App1} \setminus \text{set}(u \# U) \subseteq \{\Lambda.\text{Trg } M\}$ 
proof -
  have  $\Lambda.\text{un-App1 } u = \Lambda.\text{Trg } M$ 
  using *  $u$  seq seq-char
  apply (cases  $u$ )
    apply simp-all
  by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Ide-iff-Src-self}$ 
     $\Lambda.\text{Src-Src}$   $\Lambda.\text{Src-Trg}$   $\Lambda.\text{Src-eq-iff}(2)$   $\Lambda.\text{Trg.simps}(3)$ 
    last-ConsL list.sel(1) seq  $u$ )
  moreover have Ide  $(\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
  using * Std Std-imp-Arr Arr-map-un-App1
  by (metis Collect-cong Ide-char
    ⟨Arr  $(u \# U)$ ⟩ ⟨set  $(u \# U)$ ⟩ ⊆ Collect  $\Lambda.\text{is-App}$ 
     $\Lambda.\text{ide-char}$  list.set-map)

```

```

ultimately show ?thesis
  using set-Ide-subset-single-hd by force
qed
qed
thus ?thesis
  by (simp add: Resid-Arr-self Std ide-char)
qed
qed
also have [M o N] @ u # U = (M o N) # u # U
  by simp
finally show ?thesis by blast
qed
qed
qed
qed
show [| ~ (Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide;
          Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide]
      ==> ?thesis
proof -
  assume *: ~ (Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide)
  assume **: Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide
  have 10: filter notIde (map Λ.un-App2 (u # U)) = []
    using ** by (simp add: subset-eq)
  hence 4: stdz-insert (M o N) (u # U) =
    map (λX. X o Λ.Src N)
      (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
      map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
      (standard-development N)
    using u 4 5 * ** Ide-iff-standard-development-empty MN
    by simp
  have 6: Λ.Ide (Λ.Trg (Λ.un-App1 (last (u # U))))
    using *** u Std Std-imp-Arr
    by (metis Arr-imp-arr-last in-mono ΛArr.simps(4) Λ.Ide-Trg Λ.arr-char
        Λ.lambda-collapse(3) last.simps last-in-set list.discI mem-Collect-eq)
  show ?thesis
proof (intro conjI)
  show Std (stdz-insert (M o N) (u # U))
proof -
  have Std (map (λX. X o Λ.Src N)
    (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
    (standard-development N))
proof (intro Std-append)
  show Std (map (λX. X o Λ.Src N)
    (stdz-insert M (filter notIde
      (map Λ.un-App1 (u # U))))) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
    (standard-development N))
  using * A MN Std-map-App1 Λ.Ide-Src by presburger
  show Std (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
    (standard-development N))

```

```

using MN 6 Std-map-App2 Std-standard-development by simp
show map ( $\lambda X. X \circ \Lambda.\text{Src } N$ )
  (stdz-insert M
    (filter notIde (map  $\Lambda.\text{un-App1}$  (u # U))) = []  $\vee$ 
    map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last (u # U)))))  

    (standard-development N) = []  $\vee$ 
     $\Lambda.\text{sseq}$  (last (map ( $\lambda X. \Lambda.\text{App } X$  ( $\Lambda.\text{Src } N$ )))
      (stdz-insert M
        (filter notIde (map  $\Lambda.\text{un-App1}$  (u # U))))))
      (hd (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last (u # U))))))  

      (standard-development N)))
proof (cases  $\Lambda.\text{Ide } N$ )
  show  $\Lambda.\text{Ide } N \implies ?\text{thesis}$ 
    using Ide-iff-standard-development-empty MN by blast
    assume  $N: \neg \Lambda.\text{Ide } N$ 
    have  $\Lambda.\text{sseq}$  (last (map ( $\lambda X. X \circ \Lambda.\text{Src } N$ ))
      (stdz-insert M
        (filter notIde (map  $\Lambda.\text{un-App1}$  (u # U))))))
      (hd (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last (u # U))))))  

      (standard-development N)))
proof –
  have hd (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last (u # U)))))  

  (standard-development N)) =
   $\Lambda.\text{App}$  ( $\Lambda.\text{Trg}$  ( $\Lambda.\text{un-App1}$  (last (u # U))))  

  (hd (standard-development N))
  by (meson Ide-iff-standard-development-empty MN N list.map-sel(1))
  moreover have last (map ( $\lambda X. X \circ \Lambda.\text{Src } N$ )
    (stdz-insert M
      (filter notIde (map  $\Lambda.\text{un-App1}$  (u # U))))) =
   $\Lambda.\text{App}$  (last (stdz-insert M
    (filter notIde  

    (map  $\Lambda.\text{un-App1}$  (u # U)))))  

    ( $\Lambda.\text{Src } N$ )
  by (metis * A Ide.simps(1) Resid.simps(1) ide-char last-map)
  moreover have  $\Lambda.\text{sseq} \dots (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } (u \# U))))$   

  (hd (standard-development N)))
proof –
  have 7:  $\Lambda.\text{elementary-reduction}$ 
    (last (stdz-insert M (filter notIde  

    (map  $\Lambda.\text{un-App1}$  (u # U)))))  

  using * A
  by (metis Ide.simps(1) Resid.simps(2) ide-char last-in-set  

  mem-Collect-eq subset-iff)
  moreover
  have  $\Lambda.\text{elementary-reduction}$  (hd (standard-development N))
  using MN N hd-in-set set-standard-development
  Ide-iff-standard-development-empty
  by blast
  moreover have  $\Lambda.\text{Src } N = \Lambda.\text{Src}$  (hd (standard-development N))

```

```

using MN N Src-hd-standard-development by auto
moreover have  $\Lambda.Trg\ (last\ (stdz-insert\ M\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U)))))) =$ 
 $\Lambda.Trg\ (\Lambda.un-App1\ (last\ (u\ #\ U)))$ 
proof -
have  $\Lambda.Trg\ (last\ (stdz-insert\ M\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U)))))) =$ 
 $[\Lambda.Trg\ (\Lambda.un-App1\ (last\ (u\ #\ U)))]$ 
proof -
have  $\Lambda.Trg\ (last\ (stdz-insert\ M\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U)))))) =$ 
 $\Lambda.Trg\ (last\ (map\ \Lambda.un-App1\ (u\ #\ U)))$ 
proof -
have  $\Lambda.Trg\ (last\ (stdz-insert\ M\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U)))))) =$ 
 $\Lambda.Trg\ (last\ (M\ #\ filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U)))))$ 
using * A Trg-last-eqI by blast
also have ... =  $\Lambda.Trg\ (last\ ([M]\ @\ filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U))))$ 
by simp
also have ... =  $\Lambda.Trg\ (last\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U))))$ 
proof -
have seq [M] (filter notIde (map \Lambda.un-App1 (u # U)))
proof
show Arr [M]
using MN by simp
show Arr (filter notIde (map \Lambda.un-App1 (u # U)))
using * Std-imp-Arr
by (metis (no-types, lifting)
    X empty-filter-conv list.set-map mem-Collect-eq subsetI)
show  $\Lambda.Trg\ (last\ [M]) =$ 
 $\Lambda.Src\ (hd\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U))))$ 
proof -
have  $\Lambda.Trg\ (last\ [M]) = \Lambda.Trg\ M$ 
using MN by simp
also have ... =  $\Lambda.Src\ (\Lambda.un-App1\ u)$ 
by (metis Trg-last-Src-hd-eqI \Lambda.Src.simps(4)
    \Lambda.Trg.simps(3) \Lambda.lambda.collapse(3)
    \Lambda.lambda.inject(3) last-ConsL list.sel(1) seq u)
also have ... =  $\Lambda.Src\ (hd\ (map\ \Lambda.un-App1\ (u\ #\ U)))$ 
by auto
also have ... =  $\Lambda.Src\ (hd\ (filter\ notIde\ (map\ \Lambda.un-App1\ (u\ #\ U))))$ 
using u 5 10 by force
finally show ?thesis by blast

```

```

qed
qed
thus ?thesis by fastforce
qed
also have ... =  $\Lambda$ .Trg (last (map  $\Lambda$ .un-App1 (u # U)))
proof -
  have filter ( $\lambda u. \neg \Lambda$ .Ide u) (map  $\Lambda$ .un-App1 (u # U)) *~*
    map  $\Lambda$ .un-App1 (u # U)
  using * *** u Std Std-imp-Arr Arr-map-un-App1 [of u # U]
    cong-filter-notIde
  by (metis (mono-tags, lifting) empty-filter-conv
      filter-notIde-Ide list.discI list.set-map
      mem-Collect-eq set-ConsD subset-code(1))
thus ?thesis
  using cong-implies-coterminal Trg-last-eqI
  by presburger
qed
finally show ?thesis by blast
qed
thus ?thesis
  by (simp add: last-map)
qed
moreover
have  $\Lambda$ .Ide ( $\Lambda$ .Trg (last (stdz-insert M
  (filter notIde
    (map  $\Lambda$ .un-App1 (u # U))))))
  using 7  $\Lambda$ .Ide-Trg  $\Lambda$ .elementary-reduction-is-arr by blast
moreover have  $\Lambda$ .Ide ( $\Lambda$ .Trg ( $\Lambda$ .un-App1 (last (u # U))))
  using 6 by blast
ultimately show ?thesis by simp
qed
ultimately show ?thesis
  using  $\Lambda$ .sseq.simps(4) by blast
qed
ultimately show ?thesis by argo
qed
thus ?thesis by blast
qed
qed
thus ?thesis
  using 4 by simp
qed
show  $\neg$  Ide ((M o N) # u # U)  $\longrightarrow$ 
  stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  proof (cases  $\Lambda$ .Ide N)
    assume N:  $\Lambda$ .Ide N
    have stdz-insert (M o N) (u # U) =

```

```

map ( $\lambda X. X \circ N$ )
  (stdz-insert M (filter notIde
    (map  $\Lambda.un-App1 (u \# U)$ )))
using 4 N MN Ide-iff-standard-development-empty  $\Lambda$ .Ide-iff-Src-self
by force
also have ...  $*\sim*$  map ( $\lambda X. X \circ N$ )
  (M  $\#$  filter notIde
    (map  $\Lambda.un-App1 (u \# U)$ ))
using * A MN N  $\Lambda$ .Ide-Src cong-map-App2  $\Lambda$ .Ide-iff-Src-self
by blast
also have map ( $\lambda X. X \circ N$ )
  (M  $\#$  filter notIde
    (map  $\Lambda.un-App1 (u \# U)$ ) =
  [M  $\circ$  N] @
    map ( $\lambda X. \Lambda.App X N$ )
    (filter notIde (map  $\Lambda.un-App1 (u \# U)$ )))
by auto
also have [M  $\circ$  N] @
  map ( $\lambda X. X \circ N$ )
  (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))  $*\sim*$ 
  [M  $\circ$  N] @ map ( $\lambda X. X \circ N$ ) (map  $\Lambda.un-App1 (u \# U)$ )
proof (intro cong-append)
show seq [M  $\circ$  N]
  (map ( $\lambda X. X \circ N$ )
    (filter notIde (map  $\Lambda.un-App1 (u \# U)$ )))
proof
have 20: Arr (map  $\Lambda.un-App1 (u \# U)$ )
using *** u Std Arr-map-un-App1
by (metis Std-imp-Arr insert-subset list.discI list.simps(15)
      mem-Collect-eq)
show Arr [M  $\circ$  N]
using MN by auto
show 21: Arr (map ( $\lambda X. X \circ N$ )
  (filter notIde (map  $\Lambda.un-App1 (u \# U)$ )))
proof -
have Arr (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))
using u 20 cong-filter-notIde
by (metis (no-types, lifting) * Std-imp-Arr
      <Std (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))>
      empty-filter-conv list.set-map mem-Collect-eq subsetI)
thus ?thesis
using MN N Arr-map-App1  $\Lambda$ .Ide-Src by presburger
qed
show  $\Lambda$ .Trg (last [M  $\circ$  N]) =
 $\Lambda$ .Src (hd (map ( $\lambda X. X \circ N$ )
  (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))))
proof -
have  $\Lambda$ .Trg (last [M  $\circ$  N]) =  $\Lambda$ .Trg M  $\circ$  N
using MN N  $\Lambda$ .Ide-iff-Trg-self by simp

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also have ... =  $\Lambda.\text{Src} (\Lambda.\text{un-App1 } u) \circ N$ 
  using  $MN u \text{ seq seq-char}$ 
  by (metis Trg-last-Src-hd-eqI calculation  $\Lambda.\text{Src-Src } \Lambda.\text{Src-Trg }$ 
     $\Lambda.\text{Src-eq-iff}(2) \Lambda.\text{is-App-def } \Lambda.\text{lambda.sel}(3) \text{ list.sel}(1)$ )
also have ... =  $\Lambda.\text{Src} (\Lambda.\text{un-App1 } u \circ N)$ 
  using  $MN N \Lambda.\text{Ide-iff-Src-self}$  by simp
also have ... =  $\Lambda.\text{Src} (\text{hd } (\text{map } (\lambda X. X \circ N)$ 
   $(\text{map } \Lambda.\text{un-App1 } (u \# U))))$ 
  by simp
also have ... =  $\Lambda.\text{Src} (\text{hd } (\text{map } (\lambda X. X \circ N)$ 
   $(\text{filter notIde}$ 
   $(\text{map } \Lambda.\text{un-App1 } (u \# U))))))$ 
proof -
  have cong ( $\text{map } \Lambda.\text{un-App1 } (u \# U)$ )
     $(\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U)))$ 
    using * 20 21 cong-filter-notIde
    by (metis Arr.simps(1) filter-notIde-Ide map-is-Nil-conv)
thus ?thesis
  by (metis (no-types, lifting) Ide.simps(1) Resid.simps(2)
    Src-hd-eqI hd-map ide-char  $\Lambda.\text{Src.simps}(4)$ 
    list.distinct(1) list.simps(9))
qed
finally show ?thesis by blast
qed
show cong [M o N] [M o N]
  using MN
  by (meson head-redex-decomp  $\Lambda.\text{Arr.simps}(4) \Lambda.\text{Arr-Src}$ 
    prfx-transitive)
show map ( $\lambda X. X \circ N$ )  $(\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U))) * \sim^*$ 
   $\text{map } (\lambda X. X \circ N) (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
proof -
  have Arr ( $\text{map } \Lambda.\text{un-App1 } (u \# U)$ )
    using *** u Std Arr-map-un-App1
    by (metis Std-imp-Arr insert-subset list.discI list.simps(15)
      mem-Collect-eq)
  moreover have  $\neg \text{Ide } (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
    using *
    by (metis Collect-cong  $\Lambda.\text{ide-char}$  list.set-map
      set-Ide-subset-ide)
  ultimately show ?thesis
    using *** u MN N cong-filter-notIde cong-map-App2
    by (meson  $\Lambda.\text{Ide-Src}$ )
qed
qed
also have [M o N] @ map ( $\lambda X. X \circ N$ )  $(\text{map } \Lambda.\text{un-App1 } (u \# U)) * \sim^*$ 
  [M o N] @ u # U
proof -
  have map ( $\lambda X. X \circ N$ )  $(\text{map } \Lambda.\text{un-App1 } (u \# U)) * \sim^* u \# U$ 

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proof -
  have map ( $\lambda X. X \circ N$ ) (map  $\Lambda.un\text{-}App1$  ( $u \# U$ )) =  $u \# U$ 
  proof (intro map- $\text{App}$ -map- $\text{un}\text{-}App1)
    show Arr ( $u \# U$ )
      using Std Std-imp-Arr by simp
    show set ( $u \# U$ )  $\subseteq$  Collect  $\Lambda.is\text{-}App$ 
      using ***  $u$  by auto
    show  $\Lambda.Ide N$ 
      using  $N$  by simp
    show  $\Lambda.un\text{-}App2`set (u \# U) \subseteq \{N\}$ 
    proof -
      have  $\Lambda.Src (\Lambda.un\text{-}App2 u) = \Lambda.Trg N$ 
      using ** seq  $u$  seq-char  $N$ 
      apply (cases  $u$ )
        apply simp-all
      by (metis Trg-last-Src-hd-eqI  $\Lambda.Src.simps(4)$   $\Lambda.Trg.simps(3)$ 
            $\Lambda.lambda.inject(3)$  last-ConsL list.sel(1) seq)
      moreover have  $\Lambda.Ide (\Lambda.un\text{-}App2 u) \wedge \Lambda.Ide N$ 
      using **  $N$  by simp
      moreover have Ide (map  $\Lambda.un\text{-}App2$  ( $u \# U$ ))
      using ** Std Std-imp-Arr Arr-map-un- $\text{App2}$ 
      by (metis Collect-cong Ide-char
           <Arr ( $u \# U$ )> <set ( $u \# U$ )>  $\subseteq$  Collect  $\Lambda.is\text{-}App$ 
            $\Lambda.ide\text{-}char$  list.set-map)
      ultimately show ?thesis
      by (metis hd-map  $\Lambda.Ide\text{-iff}\text{-}Src\text{-self}$   $\Lambda.Ide\text{-iff}\text{-Trg\text{-}self}$ 
            $\Lambda.Ide\text{-implies}\text{-Arr}$  list.discI list.sel(1)
           list.set-map set-Ide-subset-single-hd)
      qed
      qed
      thus ?thesis
        by (simp add: Resid-Arr-self Std ide-char)
      qed
      thus ?thesis
        using MN cong-append
        by (metis (no-types, lifting) 1 cong-standard-development
              cong-transitive  $\Lambda.Arr.simps(4)$  seq)
      qed
      also have  $[M \circ N] @ (u \# U) = (M \circ N) \# u \# U$ 
      by simp
      finally show ?thesis by blast
      next
      assume  $N: \neg \Lambda.Ide N$ 
      have stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
        map ( $\lambda X. X \circ \Lambda.Src N$ )
        (stdz-insert  $M$  (filter notIde (map  $\Lambda.un\text{-}App1$  ( $u \# U$ )))) @
        map ( $\Lambda.App (\Lambda.Trg (\Lambda.un\text{-}App1 (last (u \# U))))$ )
        (standard-development  $N$ )
      using 4 by simp$ 
```

```

also have ... *~* map (λX. X o Λ.Src N)
  (M # filter notIde (map Λ.un-App1 (u # U))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]
proof (intro cong-append)
show 23: map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) *~*
  map (λX. X o Λ.Src N)
  (M # filter notIde (map Λ.un-App1 (u # U)))
using * A MN Λ.Ide-Src cong-map-App2 by blast
show 22: map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) *
  (standard-development N) *~*
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]
using 6 *** u Std Std-imp-Arr MN N cong-standard-development
cong-map-App1
by presburger
show seq (map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde
    (map Λ.un-App1 (u # U)))))
  (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))
  (standard-development N))
proof -
have seq (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U))))
  (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N])
proof
show 26: Arr (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U))))
  by (metis 23 Con-implies-Arr(2) Ide.simps(1) ide-char)
show Arr (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N])
  by (meson 22 arr-char con-implies-arr(2) prfx-implies-con)
show Λ.Trg (last (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U))))) =
  Λ.Src (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))) =
  [N])
proof -
have Λ.Trg (last (map (λX. X o Λ.Src N)
  (M # map Λ.un-App1 (u # U))))
  ~
  Λ.Trg (last (map (λX. X o Λ.Src N)
    (M # filter notIde
      (map Λ.un-App1 (u # U)))))

proof -
have targets (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U)))) =
  targets (map (λX. X o Λ.Src N))

```

```


$$(M \# map \Lambda.un-App1 (u \# U)))$$

proof –
  have  $map (\lambda X. X \circ \Lambda.Src N)$ 
     $(M \# filter notIde (map \Lambda.un-App1 (u \# U))) * \sim *$ 
     $map (\lambda X. X \circ \Lambda.Src N)$ 
     $(M \# map \Lambda.un-App1 (u \# U))$ 
proof –
  have  $map (\lambda X. X \circ \Lambda.Src N)$ 
     $(M \# map \Lambda.un-App1 (u \# U)) =$ 
     $map (\lambda X. X \circ \Lambda.Src N)$ 
     $([M] @ map \Lambda.un-App1 (u \# U))$ 
  by simp
  also have  $cong ... (map (\lambda X. X \circ \Lambda.Src N)$ 
     $([M] @ filter notIde$ 
     $(map \Lambda.un-App1 (u \# U))))$ 
proof –
  have  $[M] @ map \Lambda.un-App1 (u \# U) * \sim *$ 
   $[M] @ filter notIde$ 
   $(map \Lambda.un-App1 (u \# U))$ 
proof (intro cong-append)
  show  $cong [M] [M]$ 
  using MN
  by (meson head-redex-decomp prfx-transitive)
  show  $seq [M] (map \Lambda.un-App1 (u \# U))$ 
proof
  show  $Arr [M]$ 
  using MN by simp
  show  $Arr (map \Lambda.un-App1 (u \# U))$ 
  using *** u Std Arr-map-un-App1
  by (metis Std-imp-Arr insert-subset list.discI
    list.simps(15) mem-Collect-eq)
  show  $\Lambda.Trig (last [M]) =$ 
     $\Lambda.Src (hd (map \Lambda.un-App1 (u \# U)))$ 
  using MN u seq seq-char Srcs-simpAP by auto
qed
  show  $cong (map \Lambda.un-App1 (u \# U))$ 
     $(filter notIde$ 
     $(map \Lambda.un-App1 (u \# U)))$ 
proof –
  have  $Arr (map \Lambda.un-App1 (u \# U))$ 
  by (metis *** Arr-map-un-App1 Std Std-imp-Arr
    insert-subset list.discI list.simps(15)
    mem-Collect-eq u)
  moreover have  $\neg Ide (map \Lambda.un-App1 (u \# U))$ 
  using * set-Ide-subset-ide by fastforce
  ultimately show ?thesis
  using cong-filter-notIde by blast
qed
qed

```

```

thus map (λX. X o Λ.Src N)
  ([M] @ map Λ.un-App1 (u # U)) *~*
  map (λX. X o Λ.Src N)
  ([M] @ filter notIde (map Λ.un-App1 (u # U)))
  using MN cong-map-App2 Λ.Ide-Src by presburger
qed
finally show ?thesis by simp
qed
thus ?thesis
  using cong-implies-coterminal by blast
qed
moreover have [Λ.Trig (last (map (λX. X o Λ.Src N)
  (M # map Λ.un-App1 (u # U))))]
  ∈ targets (map (λX. X o Λ.Src N)
  (M # map Λ.un-App1 (u # U)))
  by (metis (no-types, lifting) 26 calculation mem-Collect-eq
    single-Trg-last-in-targets targets-charAP)
moreover have [Λ.Trig (last (map (λX. X o Λ.Src N)
  (M # filter notIde
  (map Λ.un-App1 (u # U))))]
  ∈ targets (map (λX. X o Λ.Src N)
  (M # filter notIde
  (map Λ.un-App1 (u # U))))
  using 26 single-Trg-last-in-targets by blast
ultimately show ?thesis
  by (metis (no-types, lifting) 26 Ide.simps(1–2) Resid-rec(1)
    in-targets-iff ide-char)
qed
moreover have Λ.Ide (Λ.Trig (last (map (λX. X o Λ.Src N)
  (M # map Λ.un-App1 (u # U))))]
  by (metis 6 MN Λ.Ide.simps(4) Λ.Ide-Src Λ.Trig.simps(3)
    Λ.Trig-Src last-ConsR last-map list.distinct(1)
    list.simps(9))
moreover have Λ.Ide (Λ.Trig (last (map (λX. X o Λ.Src N)
  (M # filter notIde
  (map Λ.un-App1 (u # U))))))
  using Λ.ide-backward-stable calculation(1–2) by fast
ultimately show ?thesis
  by (metis (no-types, lifting) 6 MN hd-map
    Λ.Ide-iff-Src-self Λ.Ide-implies-Arr Λ.Src.simps(4)
    Λ.Trig.simps(3) Λ.Trig-Src Λ.cong-Ide-are-eq
    last.simps last-map list.distinct(1) list.map-disc-iff
    list.sel(1))
qed
qed
thus ?thesis
  using 22 23 cong-respects-seqP by presburger
qed
qed

```

```

also have map (λX. X o Λ.Src N)
  (M # filter notIde (map Λ.un-App1 (u # U))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N] =
  [M o Λ.Src N] @
  map (λX. X o Λ.Src N)
  (filter notIde (map Λ.un-App1 (u # U))) @
  [Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N]
by simp
also have 1: [M o Λ.Src N] @
  map (λX. X o Λ.Src N)
  (filter notIde (map Λ.un-App1 (u # U))) @
  [Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N] *~*
  [M o Λ.Src N] @
  map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U)) @
  [Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N]
proof (intro cong-append)
show [M o Λ.Src N] *~* [M o Λ.Src N]
using MN
by (meson head-redex-decomp lambda-calculusArr.simps(4)
lambda-calculusArr-Src prfx-transitive)
show 21: map (λX. X o Λ.Src N)
  (filter notIde (map Λ.un-App1 (u # U))) *~*
  map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))
proof -
have filter notIde (map Λ.un-App1 (u # U)) *~*
  map Λ.un-App1 (u # U)
proof -
have ¬ Ide (map Λ.un-App1 (u # U))
using *
by (metis Collect-cong Λ.ide-char list.set-map
set-Ide-subset-ide)
thus ?thesis
using *** u Std Std-imp-Arr Arr-map-un-App1
cong-filter-notIde
by (metis ↣ Ide (map Λ.un-App1 (u # U))›
list.distinct(1) mem-Collect-eq set-ConsD
subset-code(1))
qed
thus ?thesis
using MN cong-map-App2 [of Λ.Src N] Λ.Ide-Src by presburger
qed
show [Λ.Trg (Λ.un-App1 (last (u # U))) o N] *~*
  [Λ.Trg (Λ.un-App1 (last (u # U))) o N]
by (metis 6 Con-implies-Arr(1) MN Λ.Ide-implies-Arr arr-char
cong-reflexive Λ.Ide-iff-Src-self neq-Nil-conv
orthogonal-App-single-single(1))
show seq (map (λX. X o Λ.Src N)
  (filter notIde (map Λ.un-App1 (u # U)))))
  [Λ.Trg (Λ.un-App1 (last (u # U))) o N]

```

```

proof
  show  $\text{Arr}(\text{map}(\lambda X. X \circ \Lambda.\text{Src } N))$ 
    ( $\text{filter not}\text{Ide}(\text{map } \Lambda.\text{un-App1 } (u \# U)))$ 
    by (metis 21 Con-implies- $\text{Arr}(2)$  Ide.simps(1) ide-char)
  show  $\text{Arr}[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N]$ 
    by (metis Con-implies- $\text{Arr}(2)$  Ide.simps(1)
       $\langle [\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] * \sim^*$ 
       $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] \rangle$ 
      ide-char)
  show  $\Lambda.\text{Trg}(\text{last } (\text{map}(\lambda X. X \circ \Lambda.\text{Src } N)))$ 
    ( $\text{filter not}\text{Ide}$ 
     ( $\text{map } \Lambda.\text{un-App1 } (u \# U))) =$ 
      $\Lambda.\text{Src}(\text{hd } [\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ )
    by (metis (no-types, lifting) 6 21 MN Trg-last-eqI
       $\Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-implies-}\text{Arr } \Lambda.\text{Src.simps}(4)$ 
       $\Lambda.\text{Trg.simps}(3) \Lambda.\text{Trg-Src last-map list.distinct}(1)$ 
       $\text{list.map-disc-iff list.sel}(1))$ 
  qed
  show  $\text{seq}[M \circ \Lambda.\text{Src } N]$ 
    ( $\text{map}(\lambda X. X \circ \Lambda.\text{Src } N)$ 
     ( $\text{filter not}\text{Ide}(\text{map } \Lambda.\text{un-App1 } (u \# U))) @$ 
      $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ 
proof
  show  $\text{Arr}[M \circ \Lambda.\text{Src } N]$ 
    using MN by simp
  show  $\text{Arr}(\text{map}(\lambda X. X \circ \Lambda.\text{Src } N))$ 
    ( $\text{filter not}\text{Ide}(\text{map } \Lambda.\text{un-App1 } (u \# U))) @$ 
     $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ 
  apply (intro Arr-appendIP)
  apply (metis 21 Con-implies- $\text{Arr}(2)$  Ide.simps(1) ide-char)
  apply (metis Con-implies- $\text{Arr}(1)$  Ide.simps(1)
     $\langle [\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] * \sim^*$ 
     $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] \rangle$  ide-char)
  by (metis (no-types, lifting) 21 Arr.simps(1)
    Arr-append-iffP Con-implies- $\text{Arr}(2)$  Ide.simps(1)
    append-is-Nil-conv calculation ide-char not-Cons-self2)
  show  $\Lambda.\text{Trg}(\text{last } [M \circ \Lambda.\text{Src } N]) =$ 
     $\Lambda.\text{Src}(\text{hd } (\text{map}(\lambda X. X \circ \Lambda.\text{Src } N)))$ 
    ( $\text{filter not}\text{Ide}$ 
     ( $\text{map } \Lambda.\text{un-App1 } (u \# U))) @$ 
      $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ )
  by (metis (no-types, lifting) Con-implies- $\text{Arr}(2)$  Ide.simps(1)
    Trg-last-Src-hd-eqI append-is-Nil-conv arr-append-imp-seq
    arr-char calculation ide-char not-Cons-self2)
  qed
qed
also have  $[M \circ \Lambda.\text{Src } N] @$ 
   $\text{map}(\lambda X. X \circ \Lambda.\text{Src } N)(\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
   $[\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] * \sim^*$ 

```

```

 $[M \circ \Lambda.\text{Src } N] @$ 
 $[\Lambda.\text{Trg } M \circ N] @$ 
 $\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
proof (intro cong-append [of [ $\Lambda.\text{App } M (\Lambda.\text{Src } N)$ ]])
show  $\text{seq } [M \circ \Lambda.\text{Src } N]$ 
 $(\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$ 
 $(\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $[\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ 
proof
show  $\text{Arr } [M \circ \Lambda.\text{Src } N]$ 
using  $MN$  by simp
show  $\text{Arr } (\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$ 
 $(\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $[\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ 
by (metis (no-types, lifting) 1 Con-append(2) Con-implies-Arr(2)
Ide.simps(1) append-is-Nil-conv ide-char not-Cons-self2)
show  $\Lambda.\text{Trg } (\text{last } [M \circ \Lambda.\text{Src } N]) =$ 
 $\Lambda.\text{Src } (\text{hd } (\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$ 
 $(\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $[\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N]))$ 
proof –
have  $\Lambda.\text{Trg } M = \Lambda.\text{Src } (\Lambda.\text{un-App1 } u)$ 
using  $u$  seq
by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Src}.simps(4)$   $\Lambda.\text{Trg}.simps(3)$ 
 $\Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{lambda.inject}(3)$  last-ConsL
list.sel(1))
thus  $?thesis$ 
using  $MN$  by auto
qed
qed
show  $[M \circ \Lambda.\text{Src } N] * \sim^* [M \circ \Lambda.\text{Src } N]$ 
using  $MN$ 
by (metis head-redex-decomp  $\Lambda.\text{Arr}.simps(4)$   $\Lambda.\text{Arr-Src}$ 
prfx-transitive)
show  $\text{map } (\lambda X. X \circ \Lambda.\text{Src } N) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $[\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N] * \sim^*$ 
 $[\Lambda.\text{Trg } M \circ N] @$ 
 $\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
proof –
have  $\text{map } (\lambda X. X \circ \Lambda.\text{Src } (\text{hd } [N])) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\text{last } (\text{map } \Lambda.\text{un-App1 } (u \# U))))) [N] * \sim^*$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Src } (\text{hd } (\text{map } \Lambda.\text{un-App1 } (u \# U))))) [N] @$ 
 $\text{map } (\lambda X. X \circ \Lambda.\text{Trg } (\text{last } [N])) (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
proof –
have  $\text{Arr } (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
using  $Std *** u$  Arr-map-un-App1
by (metis Std-imp-Arr insert-subset list.discI list.simps(15)
mem-Collect-eq)
moreover have  $\text{Arr } [N]$ 

```

```

        using MN by simp
ultimately show ?thesis
        using orthogonal-App-cong by blast
qed
moreover
have map (Λ.App (Λ.Src (hd (map Λ.un-App1 (u # U))))) [N] =
[Λ.Trг M o N]
by (metis Trг-last-Src-hd-eqI λ-calculus.Src.simps(4)
Λ.Trг.simps(3) Λ.lambda.collapse(3) Λ.lambda.sel(3)
last-ConsL list.sel(1) list.simps(8) list.simps(9) seq u)
moreover have [Λ.Trг (Λ.un-App1 (last (u # U))) o N] =
map (Λ.App (Λ.Trг (last (map Λ.un-App1 (u # U))))) [N]
by (simp add: last-map)
ultimately show ?thesis
        using last-map by auto
qed
qed
also have [M o Λ.Src N] @
[Λ.Trг M o N] @
map (λX. X o Λ.Trг N) (map Λ.un-App1 (u # U)) =
([M o Λ.Src N] @ [Λ.Trг M o N]) @
map (λX. X o Λ.Trг N) (map Λ.un-App1 (u # U))
by simp
also have ... *~* [M o N] @ (u # U)
proof (intro cong-append)
show [M o Λ.Src N] @ [Λ.Trг M o N] *~* [M o N]
using MN Λ.resid-Arr-self ΛArr-not-Nil Λ.Ide-Trг ide-char
by auto
show 1: map (λX. X o Λ.Trг N) (map Λ.un-App1 (u # U)) *~* u # U
proof -
have map (λX. X o Λ.Trг N) (map Λ.un-App1 (u # U)) = u # U
proof (intro map-App-map-un-App1)
show Arr (u # U)
using Std Std-imp-Arr by simp
show set (u # U) ⊆ Collect Λ.is-App
using *** u by auto
show Λ.Ide (Λ.Trг N)
using MN Λ.Ide-Trг by simp
show Λ.un-App2 ` set (u # U) ⊆ {Λ.Trг N}
proof -
have Λ.Src (Λ.un-App2 u) = Λ.Trг N
using u seq seq-char
apply (cases u)
apply simp-all
by (metis Trг-last-Src-hd-eqI Λ.Src.simps(4) Λ.Trг.simps(3)
Λ.lambda.inject(3) last-ConsL list.sel(1) seq)
moreover have Λ.Ide (Λ.un-App2 u)
using ** by simp
moreover have Ide (map Λ.un-App2 (u # U))

```

```

using ** Std Std-imp-Arr Arr-map-un-App2
by (metis Collect-cong Ide-char
    ‹Arr (u # U)› ‹set (u # U) ⊆ Collect Λ.is-App›
    Λ.ide-char list.set-map)
ultimately show ?thesis
by (metis Λ.Ide-iff-Src-self Λ.Ide-implies-Arr list.sel(1)
    list.set-map list.simps(9) set-Ide-subset-single-hd
    singleton-insert-inj-eq)
qed
qed
thus ?thesis
by (simp add: Resid-Arr-self Std ide-char)
qed
show seq ([M o Λ.Src N] @ [Λ.Trg M o N])
    (map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U)))
proof
show Arr ([M o Λ.Src N] @ [Λ.Trg M o N])
using MN by simp
show Arr (map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U)))
using MN Std Std-imp-Arr Arr-map-un-App1 Arr-map-App1
by (metis 1 Con-implies-Arr(1) Ide.simps(1) ide-char)
show Λ.Trg (last ([M o Λ.Src N] @ [Λ.Trg M o N])) =
    Λ.Src (hd (map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U))))
using MN Std Std-imp-Arr Arr-map-un-App1 Arr-map-App1
seq seq-char u Srcs-simpΛP by auto
qed
qed
also have [M o N] @ (u # U) = (M o N) # u # U
by simp
finally show ?thesis by blast
qed
qed
qed
qed
show [¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide;
    ¬ Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide]
    ==> ?thesis
proof -
assume *: ¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide
assume **: ¬ Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide
show ?thesis
proof (intro conjI)
show Std (stdz-insert (M o N) (u # U))
proof -
have Std (map (λX. X o Λ.Src N)
    (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))
    (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))))
proof (intro Std-append)

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```

show Std (map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))))  

  using * A Λ.Ide-Src MN Std-map-App1 by presburger  

show Std (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))))  

proof –  

  have ΛArr (Λ.un-App1 (last (u # U)))  

    by (metis *** ΛArr.simps(4) Std Std-imp-Arr Arr.simps(2)  

      Arr-append-iffP append-butlast-last-id append-self-conv2  

      Λ.arr-char Λ.lambda.collapse(3) last.simps last-in-set  

      list.discI mem-Collect-eq subset-code(1) u)  

  thus ?thesis  

    using ** B Λ.Ide-Trg MN Std-map-App2 by presburger  

qed  

show map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) = [] ∨  

  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))  

  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) = [] ∨  

  Λ.sseq (last (map (λX. X o Λ.Src N))
    (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))))  

    (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))  

      (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))))  

proof –  

  have Λ.sseq (last (map (λX. X o Λ.Src N)
    (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))))  

    (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))  

      (stdz-insert N (filter notIde (map Λ.un-App2 (u # U))))))  

  proof –  

    let ?M = Λ.un-App1 (last (map (λX. X o Λ.Src N)
      (stdz-insert M
        (filter notIde
          (map Λ.un-App1 (u # U))))))  

    let ?M' = Λ.Trg (Λ.un-App1 (last (u # U)))  

    let ?N = Λ.Src N  

    let ?N' = Λ.un-App2
      (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))  

        (stdz-insert N
          (filter notIde
            (map Λ.un-App2 (u # U))))))  

    have M: ?M = last (stdz-insert M
      (filter notIde (map Λ.un-App1 (u # U))))  

      by (metis * A Ide.simps(1) Resid.simps(1) ide-char  

        Λ.lambda.sel(3) last-map)  

    have N': ?N' = hd (stdz-insert N
      (filter notIde (map Λ.un-App2 (u # U))))  

      by (metis ** B Ide.simps(1) Resid.simps(2) ide-char  

        Λ.lambda.sel(4) hd-map)  

    have AppMN: last (map (λX. X o Λ.Src N)
      (stdz-insert M
        (filter notIde (map Λ.un-App2 (u # U))))))  

      by (metis *** ΛArr.simps(4) Std Std-imp-Arr Arr.simps(2)  

        Arr-append-iffP append-butlast-last-id append-self-conv2  

        Λ.arr-char Λ.lambda.collapse(3) last.simps last-in-set  

        list.discI mem-Collect-eq subset-code(1) u)

```

```

        (filter notIde (map Λ.un-App1 (u # U)))) = ?M o ?N
by (metis * A Ide.simps(1) M Resid.simps(2) ide-char last-map)
moreover
have 4: hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) =
  (stdz-insert N
    (filter notIde (map Λ.un-App2 (u # U)))) = ?M' o ?N'
by (metis (no-types, lifting) ** B Resid.simps(2) con-char
  prfx-implies-con Λ.lambda.collapse(3) Λ.lambda.discI(3)
  Λ.lambda.inject(3) list.map-sel(1))
moreover have MM: Λ.elementary-reduction ?M
by (metis * A Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
  M ide-char in-mono last-in-set mem-Collect-eq)
moreover have NN': Λ.elementary-reduction ?N'
using ** B N'
by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
  ide-char in-mono list.set-sel(1) mem-Collect-eq)
moreover have Λ.Trg ?M = ?M'
proof –
have 1: [Λ.Trg ?M] *~* [?M']
proof –
have [Λ.Trg ?M] *~*
  [Λ.Trg (last (M # filter notIde (map Λ.un-App1 (u # U))))]
proof –
have targets (stdz-insert M
  (filter notIde (map Λ.un-App1 (u # U)))) =
  targets (M # filter notIde (map Λ.un-App1 (u # U)))
using * A cong-implies-coterminal by blast
moreover
have [Λ.Trg (last (M # filter notIde (map Λ.un-App1 (u # U))))]
  ∈ targets (M # filter notIde (map Λ.un-App1 (u # U)))
by (metis (no-types, lifting) * A ΛArr-Trg Λ.Ide-Trg
  Arr.simps(2) Arr-append-iffP Arr-iff-Con-self
  Con-implies-Arr(2) Ide.simps(1) Ide.simps(2)
  Resid-Arr-Ide-ind ide-char append-butlast-last-id
  append-self-conv2 Λ.arr-char in-targets-iff Λ.ide-char
  list.discI)
ultimately show ?thesis
using * A M in-targets-iff
by (metis (no-types, lifting) Con-implies-Arr(1)
  con-char prfx-implies-con in-targets-iff)
qed
also have 2: [Λ.Trg (last (M # filter notIde
  (map Λ.un-App1 (u # U)))] *~*
  [Λ.Trg (last (filter notIde
  (map Λ.un-App1 (u # U)))]]
by (metis (no-types, lifting) * prfx-transitive
  calculation empty-filter-conv last-ConsR list.set-map

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mem-Collect-eq subsetI)
also have [Λ.Trg (last (filter notIde
    (map Λ.un-App1 (u # U))))] *~*
[Λ.Trg (last (map Λ.un-App1 (u # U)))]
proof -
have map Λ.un-App1 (u # U) *~*
filter notIde (map Λ.un-App1 (u # U))
by (metis (mono-tags, lifting) * *** Arr-map-un-App1
Std Std-imp-Arr cong-filter-notIde empty-filter-conv
filter-notIde-Ide insert-subset list.discI list.set-map
list.simps(15) mem-Collect-eq subsetI u)
thus ?thesis
by (metis 2 Trg-last-eqI prfx-transitive)
qed
also have [Λ.Trg (last (map Λ.un-App1 (u # U)))] = [?M']
by (simp add: last-map)
finally show ?thesis by blast
qed
have 3: Λ.Trg ?M = Λ.Trg ?M \ ?M'
by (metis (no-types, lifting) 1 * A M Con-implies-Arr(2)
Ide.simps(1) Resid-Arr-Ide-ind Resid-rec(1)
ide-char target-is-ide in-targets-iff list.inject)
also have ... = ?M'
by (metis (no-types, lifting) 1 4 Arr.simps(2) Con-implies-Arr(2)
Ide.simps(1) Ide.simps(2) MM NN' Resid-Arr-Ide-ind
Resid-rec(1) Src-hd-eqI calculation ide-char
Λ.Ide-iff-Src-self Λ.Src-Trg Λ.arr-char
Λ.elementary-reduction.simps(4)
Λ.elementary-reduction-App-iff Λ.elementary-reduction-is-arr
Λ.elementary-reduction-not-ide Λ.lambda.discI(3)
Λ.lambda.sel(3) list.sel(1))
finally show ?thesis by blast
qed
moreover have ?N = Λ.Src ?N'
proof -
have 1: [Λ.Src ?N'] *~* [?N]
proof -
have sources (stdz-insert N
(filter notIde (map Λ.un-App2 (u # U)))) =
sources [N]
using ** B
by (metis Con-implies-Arr(2) Ide.simps(1) coinitialE
cong-implies-coinitial ide-char sources-cons)
thus ?thesis
by (metis (no-types, lifting) AppMN ** B Λ.Ide-Src
MM MN N' NN' Λ.Trg-Src Arr.simps(1) Arr.simps(2)
Con-implies-Arr(1) Ide.simps(2) con-char ideE ide-char
sources-cons Λ.arr-char in-targets-iff
Λ.elementary-reduction.simps(4) Λ.elementary-reduction-App-iff

```

```

 $\Lambda.\text{elementary-reduction-is-arr } \Lambda.\text{elementary-reduction-not-ide}$ 
 $\Lambda.\text{lambda.disc}(14) \Lambda.\text{lambda.sel}(4) \text{ last-ConsL list.exhaust-sel}$ 
 $\text{targets-single-Src})$ 
qed
have  $\Lambda.\text{Src } ?N' = \Lambda.\text{Src } ?N' \setminus ?N$ 
by (metis (no-types, lifting) 1 MN  $\Lambda.\text{Coinitial-iff-Con}$ 
 $\Lambda.\text{Ide-Src Arr.simps}(2)$   $\text{Ide.simps}(1)$   $\text{Ide-implies-Arr}$ 
 $\text{Resid-rec}(1)$   $\text{ide-char }$   $\Lambda.\text{not-arr-null }$   $\Lambda.\text{null-char }$ 
 $\Lambda.\text{resid-Arr-Ide})$ 
also have ... =  $?N$ 
by (metis 1 MN NN' Src-hd-eqI calculation  $\Lambda.\text{Src-Src }$   $\Lambda.\text{arr-char }$ 
 $\Lambda.\text{elementary-reduction-is-arr list.sel}(1)$ )
finally show  $?thesis$  by simp
qed
ultimately show  $?thesis$ 
using  $u$   $\Lambda.\text{sseq.simps}(4)$ 
by (metis (mono-tags, lifting))
qed
thus  $?thesis$  by blast
qed
qed
thus  $?thesis$ 
using 4 by presburger
qed
show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
 $\text{stdz-insert } (M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
have  $\text{stdz-insert } (M \circ N) (u \# U) =$ 
 $\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$ 
 $(\text{stdz-insert } M (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U)))) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U)))))$ 
 $(\text{stdz-insert } N (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
using 4 by simp
also have ...  $* \sim^* \text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$ 
 $(M \# \text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U)))))$ 
 $(N \# \text{map } \Lambda.\text{un-App2 } (u \# U))$ 
proof (intro cong-append)
have  $X : \text{stdz-insert } M (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U))) * \sim^*$ 
 $M \# \text{map } \Lambda.\text{un-App1 } (u \# U)$ 
proof -
have  $\text{stdz-insert } M (\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U))) * \sim^*$ 
 $[M] @ \text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U))$ 
using * A by simp
also have  $[M] @ \text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U)) * \sim^*$ 
 $[M] @ \text{map } \Lambda.\text{un-App1 } (u \# U)$ 
proof -
have  $\text{filter notIde } (\text{map } \Lambda.\text{un-App1 } (u \# U)) * \sim^*$ 
 $\text{map } \Lambda.\text{un-App1 } (u \# U)$ 

```

```

using * cong-filter-notIde
by (metis (mono-tags, lifting) *** Arr-map-un-App1 Std
    Std-imp-Arr empty-filter-conv filter-notIde-Ide insert-subset
    list.discI list.set-map list.simps(15) mem-Collect-eq subsetI u)
moreover have seq [M] (filter notIde (map Λ.un-App1 (u # U)))
by (metis * A Arr.simps(1) Con-implies-Arr(1) append-Cons
    append-Nil arr-append-imp-seq arr-char calculation
    ide-implies-arr list.discI)
ultimately show ?thesis
    using cong-append cong-reflexive by blast
qed
also have [M] @ map Λ.un-App1 (u # U) =
    M # map Λ.un-App1 (u # U)
by simp
finally show ?thesis by blast
qed
have Y: stdz-insert N (filter notIde (map Λ.un-App2 (u # U))) *~*
    N # map Λ.un-App2 (u # U)
proof –
    have 5: stdz-insert N (filter notIde (map Λ.un-App2 (u # U))) *~*
        [N] @ filter notIde (map Λ.un-App2 (u # U))
    using ** B by simp
    also have [N] @ filter notIde (map Λ.un-App2 (u # U)) *~*
        [N] @ map Λ.un-App2 (u # U)
proof –
    have filter notIde (map Λ.un-App2 (u # U)) *~*
        map Λ.un-App2 (u # U)
    using ** cong-filter-notIde
    by (metis (mono-tags, lifting) *** Arr-map-un-App2 Std
        Std-imp-Arr empty-filter-conv filter-notIde-Ide insert-subset
        list.discI list.set-map list.simps(15) mem-Collect-eq subsetI u)
moreover have seq [N] (filter notIde (map Λ.un-App2 (u # U)))
by (metis 5 Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
    arr-append-imp-seq arr-char calculation ide-char not-Cons-self2)
ultimately show ?thesis
    using cong-append cong-reflexive by blast
qed
also have [N] @ map Λ.un-App2 (u # U) =
    N # map Λ.un-App2 (u # U)
by simp
finally show ?thesis by blast
qed
show seq (map (λX. X ○ Λ.Src N)
    (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))))
    (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))
    (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))))
by (metis 4 * ** A B Ide.simps(1) Nil-is-append-conv Nil-is-map-conv
    Resid.simps(1) Std-imp-Arr <Std (stdz-insert (M ○ N) (u # U))>
    arr-append-imp-seq arr-char ide-char)

```

```

show map ( $\lambda X. X \circ \Lambda.Src N$ )
  (stdz-insert M (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))) *~*
  map ( $\lambda X. X \circ \Lambda.Src N$ ) ( $M \# map \Lambda.un-App1 (u \# U)$ )
  using  $X$  cong-map-App2 MN lambda-calculus.Ide-Src by presburger
show map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
  (stdz-insert N (filter notIde (map  $\Lambda.un-App2 (u \# U)$ ))) *~*
  map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
  ( $N \# map \Lambda.un-App2 (u \# U)$ )
proof –
  have set  $U \subseteq Collect \LambdaArr \cap Collect \Lambda.is-App$ 
  using *** Std Std-implies-set-subset-elementary-reduction
     $\Lambda.elementary-reduction-is-arr$ 
  by blast
  hence  $\Lambda.Ide (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ 
  by (metis inf.boundedE  $\LambdaArr.simps(4)$   $\Lambda.Ide-Trg$ 
     $\Lambda.lambda-collapse(3)$  last.simps last-in-set mem-Collect-eq
    subset-eq u)
  thus ?thesis
  using Y cong-map-App1 by blast
qed
qed
also have map ( $\lambda X. X \circ \Lambda.Src N$ ) ( $M \# map \Lambda.un-App1 (u \# U)$ ) @
  map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
  ( $N \# map \Lambda.un-App2 (u \# U)$ ) *~*
  [ $M \circ N$ ] @ [u] @ U
proof –
  have (map ( $\lambda X. X \circ \Lambda.Src N$ ) ( $M \# map \Lambda.un-App1 (u \# U)$ ) @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
    ( $N \# map \Lambda.un-App2 (u \# U)$ ) =
  ([ $M \circ \Lambda.Src N$ ] @
    map ( $\lambda X. X \circ \Lambda.Src N$ ) (map  $\Lambda.un-App1 (u \# U)$ ) @
    ([ $\Lambda.Trg (\Lambda.un-App1 (last (u \# U))) \circ N$ ] @
      map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
      (map  $\Lambda.un-App2 (u \# U)$ ))
  by simp
also have ... = [ $M \circ \Lambda.Src N$ ] @
  (map ( $\lambda X. X \circ \Lambda.Src N$ ) (map  $\Lambda.un-App1 (u \# U)$ ) @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ ) [N] @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
    (map  $\Lambda.un-App2 (u \# U)$ )
  by auto
also have ... *~* [ $M \circ \Lambda.Src N$ ] @
  (map ( $\Lambda.App (\Lambda.Src (\Lambda.un-App1 u))$ ) [N] @
    map ( $\lambda X. X \circ \Lambda.Trg N$ ) (map  $\Lambda.un-App1 (u \# U)$ ) @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
    (map  $\Lambda.un-App2 (u \# U)$ )
proof –
  have (map ( $\lambda X. X \circ \Lambda.Src N$ ) (map  $\Lambda.un-App1 (u \# U)$ ) @

```

```

map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N] @
map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

  (map Λ.un-App2 (u # U)) *~*
(map (Λ.App (Λ.Src (Λ.un-App1 u))) [N] @
  map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

  (map Λ.un-App2 (u # U))

proof –
  have 1: Arr (map Λ.un-App1 (u # U))
    using u ***
    by (metis Arr-map-un-App1 Std Std-imp-Arr list.discI
      mem-Collect-eq set-ConsD subset-code(1))
  have map (λX. Λ.App X (Λ.Src N)) (map Λ.un-App1 (u # U)) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N] *~*
    map (Λ.App (Λ.Src (Λ.un-App1 u))) [N] @
    map (λX. Λ.App X (Λ.Trg N)) (map Λ.un-App1 (u # U))

proof –
  have Arr [N]
    using MN by simp
  moreover have Λ.Trg (last (map Λ.un-App1 (u # U))) =
    Λ.Trg (Λ.un-App1 (last (u # U)))
    by (simp add: last-map)
  ultimately show ?thesis
    using 1 orthogonal-App-cong [of map Λ.un-App1 (u # U) [N]]
    by simp
  qed
  moreover have seq (map (λX. X o Λ.Src N)) (map Λ.un-App1 (u #
U)) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]
    (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

      (map Λ.un-App2 (u # U)))

proof
  show Arr (map (λX. X o Λ.Src N)
    (map Λ.un-App1 (u # U)) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N])
    by (metis Con-implies-Arr(1) Ide.simps(1) calculation ide-char)
  show Arr (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

    (map Λ.un-App2 (u # U)))
    using u ***
    by (metis 1 Arr-imp-arr-last Arr-map-App2 Arr-map-un-App2
      Std Std-imp-Arr Λ.Ide-Trg Λ.arr-char last-map list.discI
      mem-Collect-eq set-ConsD subset-code(1))
  show Λ.Trg (last (map (λX. X o Λ.Src N)
    (map Λ.un-App1 (u # U)) @
    map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

    [N])) =
    Λ.Src (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

      (map Λ.un-App2 (u # U)))))

proof –

```

```

have 1:  $\Lambda.\text{Arr}(\Lambda.\text{un-App1 } u)$ 
  using  $u \Lambda.\text{is-App-def}$  by force
have 2:  $U \neq [] \implies \Lambda.\text{Arr}(\Lambda.\text{un-App1} (\text{last } U))$ 
  by (metis *** Arr-imp-arr-last Arr-map-un-App1
       ‹ $U \neq [] \implies \text{Arr } U$ ›  $\Lambda.\text{arr-char}$  last-map)
have 3:  $\Lambda.\text{Trg } N = \Lambda.\text{Src}(\Lambda.\text{un-App2 } u)$ 
  by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Src.simps}(4)$   $\Lambda.\text{Trg.simps}(3)$ 
        $\Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{lambda.inject}(3)$  last-ConsL
       list.sel(1) seq u)
show ?thesis
  using  $u *** \text{seq } 1\ 2\ 3$ 
  by (cases  $U = []$ ) auto
qed
qed
moreover have map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
  ( $\text{map } \Lambda.\text{un-App2}(u \# U)$ ) *~*
  map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
  ( $\text{map } \Lambda.\text{un-App2}(u \# U)$ )
using calculation(2) cong-reflexive by blast
ultimately show ?thesis
  using cong-append by blast
qed
moreover have seq [ $M \circ \Lambda.\text{Src } N$ ]
  (( $\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$  ( $\text{map } \Lambda.\text{un-App1}(u \# U)$ ) @
    $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U)))) [N])$  @
    $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ 
   ( $\text{map } \Lambda.\text{un-App2}(u \# U)$ ))
proof
  show Arr [ $M \circ \Lambda.\text{Src } N$ ]
    using MN by simp
  show Arr (( $\text{map } (\lambda X. X \circ \Lambda.\text{Src } N)$  ( $\text{map } \Lambda.\text{un-App1}(u \# U)$ ) @
             $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U)))) [N])$  @
             $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ 
            ( $\text{map } \Lambda.\text{un-App2}(u \# U)$ ))
    using MN u seq
    by (metis Con-implies-Arr(1) Ide.simps(1) calculation ide-char)
  show  $\Lambda.\text{Trg}(\text{last } [M \circ \Lambda.\text{Src } N]) =$ 
     $\Lambda.\text{Src}(\text{hd } ((\text{map } (\lambda X. X \circ \Lambda.\text{Src } N) (\text{map } \Lambda.\text{un-App1}(u \# U)) @$ 
     $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U)))) [N]) @$ 
     $\text{map } (\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ 
    ( $\text{map } \Lambda.\text{un-App2}(u \# U)$ ))
    using MN u seq seq-char Srcs-simp $_{\Lambda P}$ 
    by (cases u) auto
qed
ultimately show ?thesis
  using cong-append
  by (meson Resid-Arr-self ide-char seq-char)
qed
also have [ $M \circ \Lambda.\text{Src } N$ ] @

```

```


$$\begin{aligned}
& (\text{map } (\Lambda.\text{App} (\Lambda.\text{Src} (\Lambda.\text{un-App1 } u))) [N] @ \\
& \quad \text{map } (\lambda X. \Lambda.\text{App} X (\Lambda.\text{Trg } N)) (\text{map } \Lambda.\text{un-App1} (u \# U)) @ \\
& \quad \text{map } (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } (u \# U))))) \\
& \quad \quad (\text{map } \Lambda.\text{un-App2} (u \# U)) = \\
& ([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Src} (\Lambda.\text{un-App1 } u) \circ N]) @ \\
& \quad (\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1} (u \# U))) @ \\
& \quad \text{map } (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } (u \# U))))) \\
& \quad \quad (\text{map } \Lambda.\text{un-App2} (u \# U))
\end{aligned}$$


by simp



also have ...  $\sim^*$  ( $[M \circ N]$  @  $[u]$  @  $U$ )



proof –



have  $[M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Src} (\Lambda.\text{un-App1 } u) \circ N] \sim^* [M \circ N]$



proof –



have  $\Lambda.\text{Src} (\Lambda.\text{un-App1 } u) = \Lambda.\text{Trg } M$



by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Src.simps}(4)$   $\Lambda.\text{Trg.simps}(3)$   

 $\Lambda.\text{lambda-collapse}(3)$   $\Lambda.\text{lambda-inject}(3)$   $\text{last.simps}$   

 $\text{list.sel}(1)$  seq u)



thus ?thesis



using  $MN$  u seq seq-char  $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{resid-Arr-self ide-char}$   

 $\Lambda.\text{Ide-Trg}$



by simp



qed



moreover have  $\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1} (u \# U)) @$   

 $\quad \text{map } (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } (u \# U)))))$   

 $\quad \quad (\text{map } \Lambda.\text{un-App2} (u \# U)) \sim^*$   

 $[u] @ U$



proof –



have  $\text{Arr } ([u] @ U)$



by (simp add: Std)



moreover have  $\text{set } ([u] @ U) \subseteq \text{Collect } \Lambda.\text{is-App}$



using  $*** u$  by auto



moreover have  $\Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } ([u] @ U))) = \Lambda.\text{Trg } N$



proof –



have  $\Lambda.\text{Ide } (\Lambda.\text{Trg } N)$



using  $MN$  lambda-calculus.Ide-Trg by presburger



moreover have  $\Lambda.\text{Ide } (\Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } ([u] @ U))))$



by (metis Std Std-implies-set-subset-elementary-reduction  

 $\Lambda.\text{Ide-Src}$   $\Lambda.\text{arr-iff-has-source}$   $\Lambda.\text{ide-implies-arr}$   

 $\langle \text{set } ([u] @ U) \subseteq \text{Collect } \Lambda.\text{is-App} \rangle$  append-Cons  

 $\Lambda.\text{elementary-reduction-App-iff}$   $\Lambda.\text{elementary-reduction-is-arr}$   

 $\Lambda.\text{sources-char}_\Lambda$  list.sel(1) list.set-intros(1)  

 $\text{mem-Collect-eq}$  subset-code(1))



moreover have  $\Lambda.\text{Src } (\Lambda.\text{Trg } N) =$   

 $\quad \Lambda.\text{Src } (\Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } ([u] @ U))))$



proof –



have  $\Lambda.\text{Src } (\Lambda.\text{Trg } N) = \Lambda.\text{Trg } N$



using  $MN$  by simp



also have ... =  $\Lambda.\text{Src} (\Lambda.\text{un-App2 } u)$



using  $u$  seq seq-char Srcs-simp $_{\Lambda P}$


```

The eight remaining subgoals are now trivial consequences of fact *. Unfortunately, I haven't found a way to discharge them without having to state each one of them explicitly.

```

show  $\wedge N u\ U.$   $\llbracket \Lambda.Ide (\# \circ N) \implies ?P (hd (u \# U)) (tl (u \# U));$ 
 $\llbracket \neg \Lambda.Ide (\# \circ N); \Lambda.seq (\# \circ N) (hd (u \# U));$ 
 $\Lambda.contains-head-reduction (\# \circ N);$ 
 $\Lambda.Ide ((\# \circ N) \setminus \Lambda.head-redex (\# \circ N)) \rrbracket$ 
 $\implies ?P (hd (u \# U)) (tl (u \# U));$ 
 $\llbracket \neg \Lambda.Ide (\# \circ N); \Lambda.seq (\# \circ N) (hd (u \# U));$ 
 $\Lambda.contains-head-reduction (\# \circ N);$ 

```

```

¬  $\Lambda.\text{Ide}((\sharp \circ N) \setminus \Lambda.\text{head-redex}(\sharp \circ N))$ 
 $\implies ?P((\sharp \circ N) \setminus \Lambda.\text{head-redex}(\sharp \circ N))(u \# U);$ 
 $\llbracket \neg \Lambda.\text{Ide}(\sharp \circ N); \Lambda.\text{seq}(\sharp \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\sharp \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\Lambda.\text{Ide}((\sharp \circ N) \setminus \Lambda.\text{head-strategy}(\sharp \circ N))$ 
 $\implies ?P(\Lambda.\text{head-strategy}(\sharp \circ N))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\sharp \circ N); \Lambda.\text{seq}(\sharp \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\sharp \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{Ide}((\sharp \circ N) \setminus \Lambda.\text{head-strategy}(\sharp \circ N))$ 
 $\implies ?P(\Lambda.\text{resid}(\sharp \circ N)(\Lambda.\text{head-strategy}(\sharp \circ N)))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\sharp \circ N); \Lambda.\text{seq}(\sharp \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\sharp \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))$ 
 $\neg \Lambda.\text{contains-head-reduction}((\sharp \circ N) \setminus \Lambda.\text{head-strategy}(\sharp \circ N))$ 
 $\implies ?P(\sharp (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App1}(u \# U))));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\sharp \circ N); \Lambda.\text{seq}(\sharp \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\sharp \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))$ 
 $\implies ?P N(\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App2}(u \# U)))$ 
 $\implies ?P(\sharp \circ N)(u \# U)$ 

using *  $\Lambda.\text{lambda.disc}(6)$  by presburger

show  $\wedge x N u U. [\Lambda.\text{Ide}(\langle\!\rangle x \circ N) \implies ?P(\text{hd}(u \# U))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\Lambda.\text{Ide}((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-redex}(\langle\!\rangle x \circ N))$ 
 $\implies ?P(\text{hd}(u \# U))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\neg \Lambda.\text{Ide}((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-redux}(\langle\!\rangle x \circ N))$ 
 $\implies ?P((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-redux}(\langle\!\rangle x \circ N))(u \# U);$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\Lambda.\text{Ide}((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-strategy}(\langle\!\rangle x \circ N))$ 
 $\implies ?P(\Lambda.\text{head-strategy}(\langle\!\rangle x \circ N))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{Ide}((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-strategy}(\langle\!\rangle x \circ N))$ 
 $\implies ?P((\langle\!\rangle x \circ N) \setminus \Lambda.\text{head-strategy}(\langle\!\rangle x \circ N))(tl(u \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))$ 
 $\implies ?P \langle\!\rangle x (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App1}(u \# U)));$ 
 $\llbracket \neg \Lambda.\text{Ide}(\langle\!\rangle x \circ N); \Lambda.\text{seq}(\langle\!\rangle x \circ N)(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\langle\!\rangle x \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))$ 
 $\implies ?P N(\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App2}(u \# U)))$ 

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```

 $\implies ?P (\llbracket \mathbf{c}x \rrbracket \circ N) (u \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(7)$  by presburger
show  $\bigwedge M1 M2 N u U. [\Lambda.\text{Ide}(M1 \circ M2 \circ N) \implies ?P (\text{hd}(u \# U)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\Lambda.\text{Ide}((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-redex}(M1 \circ M2 \circ N))]$ 
 $\implies ?P (\text{hd}(u \# U)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.\text{Ide}((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-redex}(M1 \circ M2 \circ N))]$ 
 $\implies ?P ((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-redex}(M1 \circ M2 \circ N)) (u \# U);$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\Lambda.\text{Ide}((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-strategy}(M1 \circ M2 \circ N))]$ 
 $\implies ?P (\Lambda.\text{head-strategy}(M1 \circ M2 \circ N)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{Ide}((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-strategy}(M1 \circ M2 \circ N))]$ 
 $\implies ?P ((M1 \circ M2 \circ N) \setminus \Lambda.\text{head-strategy}(M1 \circ M2 \circ N)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))]$ 
 $\implies ?P (M1 \circ M2) (\text{filter not}\text{Ide}(\text{map } \Lambda.\text{un-App1}(u \# U)));$ 
 $[\neg \Lambda.\text{Ide}(M1 \circ M2 \circ N); \Lambda.\text{seq}(M1 \circ M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(u \# U))]$ 
 $\implies ?P N (\text{filter not}\text{Ide}(\text{map } \Lambda.\text{un-App2}(u \# U)))]$ 
 $\implies ?P (M1 \circ M2 \circ N) (u \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(9)$  by presburger
show  $\bigwedge M1 M2 N u U. [\Lambda.\text{Ide}(\lambda[M1] \bullet M2 \circ N) \implies ?P (\text{hd}(u \# U)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq}(\lambda[M1] \bullet M2 \circ N) (\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(\lambda[M1] \bullet M2 \circ N);$ 
 $\Lambda.\text{Ide}((\lambda[M1] \bullet M2 \circ N) \setminus (\Lambda.\text{head-redex}(\lambda[M1] \bullet M2 \circ N)))]$ 
 $\implies ?P (\text{hd}(u \# U)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq}(\lambda[M1] \bullet M2 \circ N) (\text{hd}(u \# U));$ 
 $\Lambda.\text{contains-head-reduction}(\lambda[M1] \bullet M2 \circ N);$ 
 $\neg \Lambda.\text{Ide}((\lambda[M1] \bullet M2 \circ N) \setminus (\Lambda.\text{head-redex}(\lambda[M1] \bullet M2 \circ N)))]$ 
 $\implies ?P (\Lambda.\text{resid}(\lambda[M1] \bullet M2 \circ N) (\Lambda.\text{head-redex}(\lambda[M1] \bullet M2 \circ N)))$ 
 $(u \# U);$ 
 $[\neg \Lambda.\text{Ide}(\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq}(\lambda[M1] \bullet M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\lambda[M1] \bullet M2 \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 
 $\Lambda.\text{Ide}((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.\text{head-strategy}(\lambda[M1] \bullet M2 \circ N))]$ 
 $\implies ?P (\Lambda.\text{head-strategy}(\lambda[M1] \bullet M2 \circ N)) (\text{tl}(u \# U));$ 
 $[\neg \Lambda.\text{Ide}(\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq}(\lambda[M1] \bullet M2 \circ N) (\text{hd}(u \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(\lambda[M1] \bullet M2 \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(u \# U));$ 

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```

 $\neg \Lambda.Ide((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.head-strategy(\lambda[M1] \bullet M2 \circ N))$ 
 $\implies ?P((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.head-strategy(\lambda[M1] \bullet M2 \circ N))$ 
 $(tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(\lambda[M1] \bullet M2 \circ N); \Lambda.seq(\lambda[M1] \bullet M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\lambda[M1] \bullet M2 \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U)) \rrbracket$ 
 $\implies ?P(\lambda[M1] \bullet M2) (filter notIde(map \Lambda.un-App1(u \# U)));$ 
 $\llbracket \neg \Lambda.Ide(\lambda[M1] \bullet M2 \circ N); \Lambda.seq(\lambda[M1] \bullet M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\lambda[M1] \bullet M2 \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U)) \rrbracket$ 
 $\implies ?P N (filter notIde(map \Lambda.un-App2(u \# U))) \rrbracket$ 
 $\implies ?P(\lambda[M1] \bullet M2 \circ N)(u \# U)$ 
using *  $\Lambda.lambda.disc(10)$  by presburger
show  $\bigwedge M N U. [\Lambda.Ide(M \circ N) \implies ?P(hd(\sharp \# U))(tl(\sharp \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\Lambda.contains-head-reduction(M \circ N);$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head-redex(M \circ N)) \rrbracket$ 
 $\implies ?P(hd(\sharp \# U))(tl(\sharp \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\Lambda.contains-head-reduction(M \circ N);$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head-redex(M \circ N)) \rrbracket$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head-redex(M \circ N))(\sharp \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\neg \Lambda.contains-head-reduction(M \circ N);$ 
 $\Lambda.contains-head-reduction(hd(\sharp \# U));$ 
 $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head-strategy(M \circ N))) \rrbracket$ 
 $\implies ?P(\Lambda.head-strategy(M \circ N))(tl(\sharp \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\neg \Lambda.contains-head-reduction(M \circ N);$ 
 $\Lambda.contains-head-reduction(hd(\sharp \# U));$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head-strategy(M \circ N)) \rrbracket$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head-strategy(M \circ N))(tl(\sharp \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\neg \Lambda.contains-head-reduction(M \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(\sharp \# U)) \rrbracket$ 
 $\implies ?P M (filter notIde(map \Lambda.un-App1(\sharp \# U)));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\sharp \# U));$ 
 $\neg \Lambda.contains-head-reduction(M \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(\sharp \# U)) \rrbracket$ 
 $\implies ?P N (filter notIde(map \Lambda.un-App2(\sharp \# U))) \rrbracket$ 
 $\implies ?P(M \circ N)(\sharp \# U)$ 
using *  $\Lambda.lambda.disc(16)$  by presburger
show  $\bigwedge M N x U. [\Lambda.Ide(M \circ N) \implies ?P(hd(\langle\!\langle x\rangle\!\rangle \# U))(tl(\langle\!\langle x\rangle\!\rangle \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\langle\!\langle x\rangle\!\rangle \# U));$ 
 $\Lambda.contains-head-reduction(M \circ N);$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head-redex(M \circ N)) \rrbracket$ 
 $\implies ?P(hd(\langle\!\langle x\rangle\!\rangle \# U))(tl(\langle\!\langle x\rangle\!\rangle \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\langle\!\langle x\rangle\!\rangle \# U));$ 
 $\Lambda.contains-head-reduction(M \circ N);$ 

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```

¬  $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))(\langle x \rangle \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\langle x \rangle \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\langle x \rangle \# U));$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.head\text{-}strategy(M \circ N))(tl(\langle x \rangle \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\langle x \rangle \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\langle x \rangle \# U));$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))(tl(\langle x \rangle \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\langle x \rangle \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\langle x \rangle \# U))$ 
 $\implies ?P M(filter notIde(map \Lambda.un\text{-}App1(\langle x \rangle \# U)))$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\langle x \rangle \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\langle x \rangle \# U))$ 
 $\implies ?P N(filter notIde(map \Lambda.un\text{-}App2(\langle x \rangle \# U)))$ 
 $\implies ?P(M \circ N)(\langle x \rangle \# U)$ 
using *  $\Lambda.lambda.disc(17)$  by presburger
show  $\bigwedge M N P U. [\Lambda.Ide(M \circ N) \implies ?P(hd(\lambda[P] \# U))(tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P(hd(\lambda[P] \# U))(tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))(\lambda[P] \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U));$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.head\text{-}strategy(M \circ N))(tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head\text{-}strategy(M \circ N)))(tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U))$ 
 $\implies ?P M(filter notIde(map \Lambda.un\text{-}App1(\lambda[P] \# U)))$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N)(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U))$ 
 $\implies ?P N(filter notIde(map \Lambda.un\text{-}App2(\lambda[P] \# U)))$ 

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```

 $\implies ?P (M \circ N) (\lambda[P] \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(18)$  by presburger
show  $\bigwedge M N P1 P2 U. [\Lambda.\text{Ide} (M \circ N)$ 
 $\implies ?P (\text{hd} ((P1 \circ P2) \# U)) (\text{tl} ((P1 \circ P2) \# U));$ 
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))]$ 
 $\implies ?P (\text{hd} ((P1 \circ P2) \# U)) (\text{tl} ((P1 \circ P2) \# U));$ 
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\neg \Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))]$ 
 $\implies ?P ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)) ((P1 \circ P2) \# U);$ 
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\Lambda.\text{contains-head-reduction} (\text{hd} ((P1 \circ P2) \# U));$ 
 $\Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N))]$ 
 $\implies ?P (\Lambda.\text{head-strategy} (M \circ N)) (\text{tl} ((P1 \circ P2) \# U));$ 
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\Lambda.\text{contains-head-reduction} (\text{hd} ((P1 \circ P2) \# U));$ 
 $\neg \Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N))]$ 
 $\implies ?P ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) (\text{tl} ((P1 \circ P2) \# U));$ 
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction} (\text{hd} ((P1 \circ P2) \# U))]$ 
 $\implies ?P M (\text{filter not} \text{Ide} (\text{map } \Lambda.\text{un-App1} ((P1 \circ P2) \# U)))$ ;
 $[\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} ((P1 \circ P2) \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction} (\text{hd} ((P1 \circ P2) \# U))]$ 
 $\implies ?P N (\text{filter not} \text{Ide} (\text{map } \Lambda.\text{un-App2} ((P1 \circ P2) \# U)))$ 
 $\implies ?P (M \circ N) ((P1 \circ P2) \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(19)$  by presburger
qed

```

The Standardization Theorem

Using the function *standardize*, we can now prove the Standardization Theorem. There is still a little bit more work to do, because we have to deal with various cases in which the reduction path to be standardized is empty or consists entirely of identities.

```

theorem standardization-theorem:
shows Arr T  $\implies$  Std (standardize T)  $\wedge$  (Ide T  $\longrightarrow$  standardize T = [])
 $\wedge$  ( $\neg$  Ide T  $\longrightarrow$  cong (standardize T) T)
proof (induct T)
show Arr []  $\implies$  Std (standardize [])  $\wedge$  (Ide []  $\longrightarrow$  standardize [] = [])
 $\wedge$  ( $\neg$  Ide []  $\longrightarrow$  cong (standardize []) [])
by simp
fix t T
assume ind: Arr T  $\implies$  Std (standardize T)  $\wedge$  (Ide T  $\longrightarrow$  standardize T = [])
 $\wedge$  ( $\neg$  Ide T  $\longrightarrow$  cong (standardize T) T)

```

```

assume tT: Arr (t # T)
have t: Λ.Arr t
  using tT Arr-imp-arr-hd by force
show Std (standardize (t # T)) ∧ (Ide (t # T) → standardize (t # T) = []) ∧
  (¬ Ide (t # T) → cong (standardize (t # T)) (t # T))
proof (cases T = [])
  show T = [] ⇒ ?thesis
    using t tT Ide-iff-standard-development-empty Std-standard-development
      cong-standard-development
    by simp
  assume 0: T ≠ []
  hence T: Arr T
    using tT
    by (metis Arr-imp-Arr-tl list.sel(3))
  show ?thesis
  proof (intro conjI)
    show Std (standardize (t # T))
    proof –
      have 1: ¬ Ide T ⇒ seq [t] (standardize T)
      using t T ind 0 ide-char Con-implies-Arr(1)
      apply (intro seqIΛP)
      apply simp
      apply (metis Con-implies-Arr(1) Ide.simps(1) ide-char)
      by (metis Src-hd-eqI Trg-last-Src-hd-eqI ‹T ≠ []› append-Cons arrIP
        arr-append-imp-seq list.distinct(1) self-append-conv2 tT)
    show ?thesis
      using T 1 ind Std-standard-development stdz-insert-correctness by auto
  qed
  show Ide (t # T) → standardize (t # T) = []
  using Ide-conse Ide-iff-standard-development-empty Ide-implies-Arr ind
    Λ.Ide-implies-Arr Λ.ide-char
  by (metis list.sel(1,3) standardize.simps(1–2) stdz-insert.simps(1))
  show ¬ Ide (t # T) → standardize (t # T) *~* t # T
  proof
    assume 1: ¬ Ide (t # T)
    show standardize (t # T) *~* t # T
    proof (cases Λ.Ide t)
      assume t: Λ.Ide t
      have 2: ¬ Ide T
        using 1 t tT by fastforce
      have standardize (t # T) = stdz-insert t (standardize T)
        by simp
      also have ... *~* t # T
      proof –
        have 3: Std (standardize T) ∧ standardize T *~* T
        using T 2 ind by blast
        have stdz-insert t (standardize T) =
          stdz-insert (hd (standardize T)) (tl (standardize T))
      proof –

```

```

have seq [t] (standardize T)
  using 0 2 tT ind
  by (metis Arr.elims(2) Con-imp-eq-Srcs Con-implies-Arr(1) Ide.simps(1-2)
    Ide-implies-Arr Trgs.simps(2) ide-char Λ.ide-char list.inject
    seq-char seq-implies-Trgs-eq-Srcs t)
thus ?thesis
  using t 3 stdz-insert-Ide-Std by blast
qed
also have ... *~* hd (standardize T) # tl (standardize T)
proof -
  have ¬ Ide (standardize T)
    using 2 3 ide-backward-stable ide-char by blast
  moreover have tl (standardize T) ≠ [] ==>
    seq [hd (standardize T)] (tl (standardize T)) ∧
    Std (tl (standardize T))
  by (metis 3 Std-conse Std-imp-Arr append.left-neutral append-Cons
    arr-append-imp-seq arr-char hd-Cons-tl list.discI tl-Nil)
  ultimately show ?thesis
  by (metis 2 Ide.simps(2) Resid.simps(1) Std-conse T cong-standard-development
    ide-char ind Λ.ide-char list.exhaust-sel stdz-insert.simps(1)
    stdz-insert-correctness)
qed
also have hd (standardize T) # tl (standardize T) = standardize T
  by (metis 3 Arr.simps(1) Con-implies-Arr(2) Ide.simps(1) ide-char
    list.exhaust-sel)
also have standardize T *~* T
  using 3 by simp
also have T *~* t # T
  using 0 t tT arr-append-imp-seq arr-char cong-cons-ideI(2) by simp
finally show ?thesis by blast
qed
thus ?thesis by auto
next
assume t: ¬ Λ.Ide t
show ?thesis
proof (cases Ide T)
  assume T: Ide T
  have standardize (t # T) = standard-development t
    using t T Ide-implies-Arr ind by simp
  also have ... *~* [t]
    using t T tT cong-standard-development [of t] by blast
  also have [t] *~* [t] @ T
    using t T tT cong-append-ideI(4) [of [t] T]
    by (simp add: 0 arrIP arr-append-imp-seq ide-char)
finally show ?thesis by auto
next
assume T: ¬ Ide T
have 1: Std (standardize T) ∧ standardize T *~* T
  using T ⟨Arr T⟩ ind by blast

```

```

have 2: seq [t] (standardize T)
  by (metis 0 Arr.simps(2) Arr.simps(3) Con-imp-eq-Srcs Con-implies-Arr(2)
       Ide.elims(3) Ide.simps(1) T Trgs.simps(2) ide-char ind
       seq-char seq-implies-Trgs-eq-Srcs tT)
have stdz-insert t (standardize T) *~* t # standardize T
  using t 1 2 stdz-insert-correctness [of t standardize T] by blast
also have t # standardize T *~* t # T
  using 1 2
  by (meson Arr.simps(2) Λ.prfx-reflexive cong-cons seq-char)
finally show ?thesis by auto
qed
qed
qed
qed
qed
qed
qed

```

The Leftmost Reduction Theorem

In this section we prove the Leftmost Reduction Theorem, which states that leftmost reduction is a normalizing strategy.

We first show that if a standard reduction path reaches a normal form, then the path must be the one produced by following the leftmost reduction strategy. This is because, in a standard reduction path, once a leftmost redex is skipped, all subsequent reductions occur “to the right of it”, hence they are all non-leftmost reductions that do not contract the skipped redex, which remains in the leftmost position.

The Leftmost Reduction Theorem then follows from the Standardization Theorem. If a term is normalizable, there is a reduction path from that term to a normal form. By the Standardization Theorem we may as well assume that path is standard. But a standard reduction path to a normal form is the path generated by following the leftmost reduction strategy, hence leftmost reduction reaches a normal form after a finite number of steps.

```

lemma sseq-reflects-leftmost-reduction:
assumes Λ.sseq t u and Λ.is-leftmost-reduction u
shows Λ.is-leftmost-reduction t
proof -
  have *:  $\bigwedge u. u = \Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \setminus t \implies \neg \Lambda.\text{sseq } t u$  for t
  proof (induct t)
    show  $\bigwedge u. \neg \Lambda.\text{sseq } \sharp u$ 
      using Λ.sseq-imp-seq by blast
    show  $\bigwedge x u. \neg \Lambda.\text{sseq } \langle\!\rangle x u$ 
      using Λ.elementary-reduction.simps(2) Λ.sseq-imp-elementary-reduction1 by blast
    show  $\bigwedge t u. [\bigwedge u. u = \Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \setminus t \implies \neg \Lambda.\text{sseq } t u]$ ;
      u =  $\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } \lambda[t]) \setminus \lambda[t]$ 
       $\implies \neg \Lambda.\text{sseq } \lambda[t] u$ 
    by auto
    show  $\bigwedge t1 t2 u. [\bigwedge u. u = \Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t1) \setminus t1 \implies \neg \Lambda.\text{sseq } t1 u]$ ;
  
```

```

 $\bigwedge u. u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t2) \setminus t2 \implies \neg \Lambda.\text{sseq } t2 u;$ 
 $u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{Src}(\lambda[t1] \bullet t2)) \setminus (\lambda[t1] \bullet t2)]$ 
 $\implies \neg \Lambda.\text{sseq}(\lambda[t1] \bullet t2) u$ 
apply simp
by (metis  $\Lambda.\text{sseq-imp-elementary-reduction2}$   $\Lambda.\text{Coinitial-iff-Con}$   $\Lambda.\text{Ide-Src}$ 
 $\Lambda.\text{Ide-Subst}$   $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{ide-char}$   $\Lambda.\text{resid-Ide-Arr}$ )
show  $\bigwedge t1 t2. [\bigwedge u. u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t1) \setminus t1 \implies \neg \Lambda.\text{sseq } t1 u;$ 
 $\bigwedge u. u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t2) \setminus t2 \implies \neg \Lambda.\text{sseq } t2 u;$ 
 $u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{Src}(\Lambda.\text{App } t1 t2)) \setminus \Lambda.\text{App } t1 t2]$ 
 $\implies \neg \Lambda.\text{sseq}(\Lambda.\text{App } t1 t2) u$  for  $u$ 
apply (cases u)
apply simp-all
apply (metis  $\Lambda.\text{elementary-reduction.simps}(2)$   $\Lambda.\text{sseq-imp-elementary-reduction2}$ )
apply (metis  $\Lambda.\text{Src.simps}(3)$   $\Lambda.\text{Src-resid}$   $\Lambda.\text{Trg.simps}(3)$   $\Lambda.\text{lambda.distinct}(15)$ 
 $\Lambda.\text{lambda.distinct}(3))$ 
proof -
show  $\bigwedge t1 t2 u1 u2.$ 
 $[\neg \Lambda.\text{sseq } t1 (\Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t1) \setminus t1);$ 
 $\neg \Lambda.\text{sseq } t2 (\Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t2) \setminus t2);$ 
 $\lambda[u1] \bullet u2 = \Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2;$ 
 $u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2]$ 
 $\implies \neg \Lambda.\text{sseq}(\Lambda.\text{App } t1 t2)$ 
 $(\Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2)$ 
by (metis  $\Lambda.\text{sseq-imp-elementary-reduction1}$   $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Arr-resid}$ 
 $\Lambda.\text{Coinitial-iff-Con}$   $\Lambda.\text{Ide.simps}(5)$   $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Src.simps}(4)$ 
 $\Lambda.\text{Src-resid}$   $\Lambda.\text{contains-head-reduction.simps}(8)$   $\Lambda.\text{is-head-reduction-if}$ 
 $\Lambda.\text{lambda.discI}(3)$   $\Lambda.\text{lambda.distinct}(7)$ 
 $\Lambda.\text{leftmost-strategy-selects-head-reduction}$   $\Lambda.\text{resid-Arr-self}$ 
 $\Lambda.\text{sseq-preserves-App-and-no-head-reduction})$ 
show  $\bigwedge u1 u2.$ 
 $[\neg \Lambda.\text{sseq } t1 (\Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t1) \setminus t1);$ 
 $\neg \Lambda.\text{sseq } t2 (\Lambda.\text{leftmost-strategy}(\Lambda.\text{Src } t2) \setminus t2);$ 
 $\Lambda.\text{App } u1 u2 = \Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2;$ 
 $u = \Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2]$ 
 $\implies \neg \Lambda.\text{sseq}(\Lambda.\text{App } t1 t2)$ 
 $(\Lambda.\text{leftmost-strategy}(\Lambda.\text{App}(\Lambda.\text{Src } t1)(\Lambda.\text{Src } t2)) \setminus \Lambda.\text{App } t1 t2)$ 
for  $t1 t2$ 
apply (cases  $\neg \Lambda.\text{Arr } t1)$ 
apply simp-all
apply (meson  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{seq-char}$   $\Lambda.\text{sseq-imp-seq})$ 
apply (cases  $\neg \Lambda.\text{Arr } t2)$ 
apply simp-all
apply (meson  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{seq-char}$   $\Lambda.\text{sseq-imp-seq})$ 
using  $\Lambda.\text{Arr-not-Nil}$ 
apply (cases  $t1)$ 
apply simp-all
using  $\Lambda.\text{NF-iff-has-no-redex}$   $\Lambda.\text{has-redex-iff-not-Ide-leftmost-strategy}$ 
 $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-iff-Trg-self}$ 
 $\Lambda.\text{NF-def}$   $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{eq-Ide-are-cong}$ 

```

```

 $\Lambda.\text{leftmost-strategy-is-reduction-strategy } \Lambda.\text{reduction-strategy-def}$ 
 $\Lambda.\text{resid-Arr-Src}$ 
apply simp
apply (metis  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide.simps}(4)$   $\Lambda.\text{Ide-Trg}$   $\Lambda.\text{Src.simps}(4)$ 
 $\Lambda.\text{sseq-imp-elementary-reduction2}$ )
by (metis  $\Lambda.\text{Ide-Trg}$   $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{ide-char}$ )
qed
qed
have  $t \neq \Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \implies \text{False}$ 
proof –
assume 1:  $t \neq \Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t)$ 
have 2:  $\neg \Lambda.\text{Ide} (\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t))$ 
by (meson assms(1)  $\Lambda.\text{NF-def}$   $\Lambda.\text{NF-iff-has-no-redex}$   $\Lambda.\text{arr-char}$ 
 $\Lambda.\text{elementary-reduction-is-arr}$   $\Lambda.\text{elementary-reduction-not-ide}$ 
 $\Lambda.\text{has-redex-iff-not-Ide-leftmost-strategy}$   $\Lambda.\text{ide-char}$ 
 $\Lambda.\text{sseq-imp-elementary-reduction1}$ )
have  $\Lambda.\text{is-leftmost-reduction} (\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \setminus t)$ 
proof –
have  $\Lambda.\text{is-leftmost-reduction} (\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t))$ 
by (metis assms(1) 2  $\Lambda.\text{Ide-Src}$   $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{arr-char}$ 
 $\Lambda.\text{elementary-reduction-is-arr}$   $\Lambda.\text{elementary-reduction-leftmost-strategy}$ 
 $\Lambda.\text{is-leftmost-reduction-def}$   $\Lambda.\text{leftmost-strategy-is-reduction-strategy}$ 
 $\Lambda.\text{reduction-strategy-def}$   $\Lambda.\text{sseq-imp-elementary-reduction1}$ )
moreover have 3:  $\Lambda.\text{elementary-reduction}$ 
using assms  $\Lambda.\text{sseq-imp-elementary-reduction1}$  by simp
moreover have  $\neg \Lambda.\text{is-leftmost-reduction}$   $t$ 
using 1  $\Lambda.\text{is-leftmost-reduction-def}$  by auto
moreover have  $\Lambda.\text{coinitial} (\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t))$   $t$ 
using 3  $\Lambda.\text{leftmost-strategy-is-reduction-strategy}$   $\Lambda.\text{reduction-strategy-def}$ 
 $\Lambda.\text{Ide-Src}$   $\Lambda.\text{elementary-reduction-is-arr}$ 
by force
ultimately show ?thesis
using 1  $\Lambda.\text{leftmost-reduction-preservation}$  by blast
qed
moreover have  $\Lambda.\text{coinitial} (\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \setminus t)$   $u$ 
using assms(1) calculation  $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{Src-resid}$   $\Lambda.\text{elementary-reduction-is-arr}$ 
 $\Lambda.\text{is-leftmost-reduction-def}$   $\Lambda.\text{seq-char}$   $\Lambda.\text{sseq-imp-seq}$ 
by force
moreover have  $\bigwedge v. [\![\Lambda.\text{is-leftmost-reduction } v; \Lambda.\text{coinitial } v \, u]\!] \implies v = u$ 
by (metis  $\Lambda.\text{arr-iff-has-source}$   $\Lambda.\text{arr-resid-iff-con}$   $\Lambda.\text{confluence assms(2)}$ 
 $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{Coinitial-iff-Con}$   $\Lambda.\text{is-leftmost-reduction-def}$   $\Lambda.\text{sources-char}_\Lambda$ )
ultimately have  $\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src } t) \setminus t = u$ 
by blast
thus ?thesis
using assms(1) * by blast
qed
thus ?thesis
using assms(1)  $\Lambda.\text{is-leftmost-reduction-def}$   $\Lambda.\text{sseq-imp-elementary-reduction1}$  by force
qed

```

```

lemma elementary-reduction-to-NF-is-leftmost:
shows [[ $\Lambda$ .elementary-reduction  $t$ ;  $\Lambda$ .NF ( $\text{Trg}[t]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t$ ) =  $t$ ]
proof (induct  $t$ )
  show  $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $\sharp$ ) =  $\sharp$ 
    by simp
  show  $\bigwedge x$ . [[ $\Lambda$ .elementary-reduction « $x$ »;  $\Lambda$ .NF ( $\text{Trg}[\langle\langle x\rangle\rangle]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src « $x$ ») = « $x$ »]
    by auto
  show  $\bigwedge t$ . [[[[ $\Lambda$ .elementary-reduction  $t$ ;  $\Lambda$ .NF ( $\text{Trg}[t]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t$ ) =  $t$ ;  

     $\Lambda$ .elementary-reduction  $\lambda[t]$ ;  $\Lambda$ .NF ( $\text{Trg}[\lambda[t]]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $\lambda[t]$ ) =  $\lambda[t]$ ]
  using lambda-calculus.NF-Lam-iff lambda-calculus.elementary-reduction-is-arr by force
  show  $\bigwedge t_1 t_2$ . [[[ $\Lambda$ .elementary-reduction  $t_1$ ;  $\Lambda$ .NF ( $\text{Trg}[t_1]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t_1$ ) =  $t_1$ ;  

    [[ $\Lambda$ .elementary-reduction  $t_2$ ;  $\Lambda$ .NF ( $\text{Trg}[t_2]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t_2$ ) =  $t_2$ ;  

     $\Lambda$ .elementary-reduction ( $\lambda[t_1] \bullet t_2$ );  $\Lambda$ .NF ( $\text{Trg}[\lambda[t_1] \bullet t_2]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src ( $\lambda[t_1] \bullet t_2$ )) =  $\lambda[t_1] \bullet t_2$ 
  apply simp
  by (metis  $\Lambda$ .Ide-iff-Src-self  $\Lambda$ .Ide-implies-Arr)
fix  $t_1 t_2$ 
assume ind1: [[ $\Lambda$ .elementary-reduction  $t_1$ ;  $\Lambda$ .NF ( $\text{Trg}[t_1]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t_1$ ) =  $t_1$ ]
assume ind2: [[ $\Lambda$ .elementary-reduction  $t_2$ ;  $\Lambda$ .NF ( $\text{Trg}[t_2]$ )]  $\implies$   $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src  $t_2$ ) =  $t_2$ ]
assume  $t$ :  $\Lambda$ .elementary-reduction ( $\Lambda$ .App  $t_1 t_2$ )
have  $t_1$ :  $\Lambda$ .Arr  $t_1$ 
  using  $t$   $\Lambda$ .Arr.simps(4)  $\Lambda$ .elementary-reduction-is-arr by blast
have  $t_2$ :  $\Lambda$ .Arr  $t_2$ 
  using  $t$   $\Lambda$ .Arr.simps(4)  $\Lambda$ .elementary-reduction-is-arr by blast
assume NF:  $\Lambda$ .NF ( $\text{Trg}[\Lambda.\text{App } t_1 t_2]$ )
have 1:  $\neg \Lambda$ .is-Lam  $t_1$ 
  using NF  $\Lambda$ .NF-def
  apply (cases  $t_1$ )
    apply simp-all
  by (metis (mono-tags)  $\Lambda$ .Ide.simps(1)  $\Lambda$ .NF-App-iff  $\Lambda$ .Trg.simps(2–3)  $\Lambda$ .lambda.discI(2))
have 2:  $\Lambda$ .NF ( $\Lambda$ .Trg  $t_1$ )  $\wedge$   $\Lambda$ .NF ( $\Lambda$ .Trg  $t_2$ )
  using NF  $t_1 t_2$  1  $\Lambda$ .NF-App-iff by simp
show  $\Lambda$ .leftmost-strategy ( $\Lambda$ .Src ( $\Lambda$ .App  $t_1 t_2$ )) =  $\Lambda$ .App  $t_1 t_2$ 
  using  $t$   $t_1 t_2$  1 2 ind1 ind2
  apply (cases  $t_1$ )
    apply simp-all
    apply (metis  $\Lambda$ .Ide.simps(4)  $\Lambda$ .Ide-iff-Src-self  $\Lambda$ .Ide-iff-Trg-self
       $\Lambda$ .NF-iff-has-no-redex  $\Lambda$ .elementary-reduction-not-ide  $\Lambda$ .eq-Ide-are-cong
       $\Lambda$ .has-redex-iff-not-Ide-leftmost-strategy  $\Lambda$ .resid-Arr-Src  $t_1$ )
    using  $\Lambda$ .Ide-iff-Src-self by blast
qed

```

```

lemma Std-path-to-NF-is-leftmost:
shows  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
proof -
  have 1:  $\bigwedge t. \llbracket \text{Std } (t \# T); \Lambda.\text{NF} (\text{Trg } (t \# T)) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t \text{ for } T$ 
  proof (induct T)
    show  $\bigwedge t. \llbracket \text{Std } [t]; \Lambda.\text{NF} (\text{Trg } [t]) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t$ 
    using elementary-reduction-to-NF-is-leftmost  $\Lambda.\text{is-leftmost-reduction-def}$  by simp
    fix t u T
    assume ind:  $\bigwedge t. \llbracket \text{Std } (t \# T); \Lambda.\text{NF} (\text{Trg } (t \# T)) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t$ 
    assume Std:  $\text{Std } (t \# u \# T)$ 
    assume NF:  $\text{NF } \Lambda.\text{NF} (\text{Trg } (t \# u \# T))$ 
    show  $\Lambda.\text{is-leftmost-reduction } t$ 
    using Std ⟨ $\Lambda.\text{NF} (\text{Trg } (t \# u \# T))$ ⟩ ind sseq-reflects-leftmost-reduction by auto
  qed
  show  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
  proof (induct T)
    show 2:  $\text{set } [] \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
    by simp
    fix t T
    assume ind:  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
    assume Std:  $\text{Std } (t \# T)$  and NF:  $\text{NF } \Lambda.\text{NF} (\text{Trg } (t \# T))$ 
    show  $\text{set } (t \# T) \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
    by (metis 1 2 NF Std Std-conse Trg.elims ind insert-subset list.inject list.simps(15)
         mem-Collect-eq)
  qed
qed

```

```

theorem leftmost-reduction-theorem:
shows  $\Lambda.\text{normalizing-strategy } \Lambda.\text{leftmost-strategy}$ 
proof (unfold  $\Lambda.\text{normalizing-strategy-def}$ , intro allI impI)
  fix a
  assume a:  $\Lambda.\text{normalizable } a$ 
  show  $\exists n. \Lambda.\text{NF} (\Lambda.\text{reduce } \Lambda.\text{leftmost-strategy } a n)$ 
  proof (cases  $\Lambda.\text{NF } a$ )
    show  $\Lambda.\text{NF } a \implies ?\text{thesis}$ 
    by (metis lambda-calculus.reduce.simps(1))
    assume 1:  $\neg \Lambda.\text{NF } a$ 
    obtain T where T:  $\text{Arr } T \wedge \text{Src } T = a \wedge \Lambda.\text{NF} (\text{Trg } T)$ 
    using a  $\Lambda.\text{normalizable-def red-iff}$  by auto
    have 2:  $\neg \text{Ide } T$ 
    using T 1 Ide-imp-Src-eq-Trg by fastforce
    obtain U where U:  $\text{Std } U \wedge \text{cong } T U$ 
    using T 2 standardization-theorem by blast
    have 3:  $\text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
    using 1 U Std-path-to-NF-is-leftmost
    by (metis Con-Arr-self Resid-parallel Src-resid T cong-implies-coinitial)
    have  $\bigwedge U. [\text{Arr } U; \text{length } U = n; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}] \implies$ 
         $U = \text{apply-strategy } \Lambda.\text{leftmost-strategy } (\text{Src } U) (\text{length } U) \text{ for } n$ 

```

```

proof (induct n)
  show  $\bigwedge U. [\![\text{Arr } U; \text{length } U = 0; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}]\!]$ 
     $\implies U = \text{apply-strategy } \Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U)$ 
    by simp
  fix n U
  assume ind:  $\bigwedge U. [\![\text{Arr } U; \text{length } U = n; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}]\!]$ 
     $\implies U = \text{apply-strategy } \Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U)$ 
  assume U: Arr U
  assume n: length U = Suc n
  assume set: set U ⊆ Collect Λ.is-leftmost-reduction
  show U = apply-strategy Λ.leftmost-strategy (Src U) (length U)
  proof (cases n = 0)
    show n = 0  $\implies$  ?thesis
    using U n 1 set Λ.is-leftmost-reduction-def
    by (cases U) auto
    assume 5: n ≠ 0
    have 4: hd U = Λ.leftmost-strategy (Src U)
    using n U set Λ.is-leftmost-reduction-def
    by (cases U) auto
    have 6: tl U ≠ []
    using 4 5 n U
    by (metis Suc-length-conv list.sel(3) list.size(3))
    show ?thesis
    using 4 5 6 n U set ind [of tl U]
    apply (cases n)
    apply simp-all
    by (metis (no-types, lifting) Arr-conse Nil-tl Nitpick.size-list-simp(2)
      ind [of tl U] Λ.arr-char Λ.trg-char list.collapse list.set-sel(2)
      old.nat.inject reduction-paths.apply-strategy.simps(2) subset-code(1))
    qed
  qed
  hence U = apply-strategy Λ.leftmost-strategy (Src U) (length U)
    by (metis 3 Con-implies-Arr(1) Ide.simps(1) U ide-char)
  moreover have Src U = a
    using T U cong-implies-coinitial
    by (metis Con-imp-eq-Srcs Con-implies-Arr(2) Ide.simps(1) Srcs-simpPWE empty-set
      ex-un-Src ide-char list.set-intros(1) list.simps(15))
  ultimately have Trg U = Λ.reduce Λ.leftmost-strategy a (length U)
    using reduce-eq-Trg-apply-strategy
    by (metis Arr.simps(1) Con-implies-Arr(1) Ide.simps(1) U a ide-char
      Λ.leftmost-strategy-is-reduction-strategy Λ.normalizeable-def length-greater-0-conv)
  thus ?thesis
    by (metis Ide.simps(1) Ide-imp-Src-eq-Trg Src-resid T Trg-resid-sym U ide-char)
  qed
  qed
end
end

```

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