Quantum Fourier Transform

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Abstract

This work presents a formalization of the Quantum Fourier Transform, a fundamental component of Shor's factoring algorithm, with proofs of its correctness and unitarity. The proof is carried out by induction, relying on the algorithm's recursive definition. This formalization builds upon the *Isabelle Marries Dirac* quantum computing library, developed by A. Bordg, H. Lachnitt, and Y. He.

Contents

1	Some useful lemmas	2
2	The operator R_k	3
3	The SWAP gate:3.1Downwards SWAP cascade3.2Upwards SWAP cascade	3 4 4
4	Reversing qubits	4
5	Controlled operations	5
6	Quantum Fourier Transform Circuit6.1QFT definition6.2QFT circuit	6 6 7
7	QFT circuit correctness 7.1 QFT with qubits reordering correctness	7 9
8	Unitarity	11
9	Acknowledgements	13

theory QFT

imports
 Isabelle-Marries-Dirac.Deutsch
begin

1 Some useful lemmas

```
lemma gate-carrier-mat[simp]:
 assumes gate n U
 shows U \in carrier-mat(2\hat{n})(2\hat{n})
\langle proof \rangle
lemma state-carrier-mat[simp]:
 assumes state n \psi
  shows \psi \in carrier-mat (2<sup>n</sup>) 1
\langle proof \rangle
lemma state-basis-carrier-mat[simp]:
  |state-basis n j\rangle \in carrier-mat (2^n) 1
  \langle proof \rangle
lemma left-tensor-id[simp]:
  assumes A \in carrier-mat \ nr \ nc
 shows (1_m \ 1) \bigotimes A = A
  \langle proof \rangle
lemma right-tensor-id[simp]:
  assumes A \in carrier-mat \ nr \ nc
 shows A \bigotimes (1_m \ 1) = A
  \langle proof \rangle
lemma tensor-carrier-mat[simp]:
 assumes A \in carrier-mat ra ca
   and B \in carrier-mat \ rb \ cb
 shows A \bigotimes B \in carrier-mat (ra*rb) (ca*cb)
\langle proof \rangle
lemma smult-tensor[simp]:
 assumes dim-col A > 0 and dim-col B > 0
 shows (a \cdot_m A) \bigotimes (b \cdot_m B) = (a \cdot b) \cdot_m (A \bigotimes B)
\langle proof \rangle
lemma smult-tensor1[simp]:
 assumes dim-col A > 0 and dim-col B > 0
  shows a \cdot_m (A \bigotimes B) = (a \cdot_m A) \bigotimes B
\langle proof \rangle
lemma set-list:
  set [m..< n] = \{m..< n\}
```

 $\langle proof \rangle$

lemma sumof2: $(\sum_{k < (2::nat).} f k) = f 0 + f 1$ $\langle proof \rangle$

lemma sumof4: $(\sum k < (4::nat). f k) = f 0 + f 1 + f 2 + f 3$ $\langle proof \rangle$

2 The operator R_k

 $\begin{array}{l} \textbf{definition } R:: \ nat \Rightarrow \ complex \ Matrix.mat \ \textbf{where} \\ R \ k = \ mat-of-cols-list \ 2 \ [[1, \ 0], \\ [0, \ exp(2*pi*i/2^k)]] \end{array}$

3 The SWAP gate:

definition SWAP:: complex Matrix.mat where $SWAP \equiv Matrix.mat \ 4 \ (\lambda(i,j). \ if \ i=0 \ \land \ j=0 \ then \ 1 \ else$ $if \ i=1 \ \land \ j=2 \ then \ 1 \ else$ $if \ i=2 \ \land \ j=1 \ then \ 1 \ else$ $if \ i=3 \ \land \ j=3 \ then \ 1 \ else \ 0)$

lemma SWAP-index:

```
SWAP  $$ (0,0) = 1 \land
SWAP $$ (0,1) = 0 \land
SWAP $$ (0,2) = 0 \land
SWAP $$ (0,3) = 0 \land
SWAP $$ (1,0) = 0 \land
SWAP  $$ (1,1) = 0 \land
SWAP  (1,2) = 1 \land
SWAP $$ (1,3) = 0 \land
SWAP $$ (2,0) = 0 \land
SWAP $$ (2,1) = 1 \land
SWAP $$ (2,2) = 0 \land
SWAP $$ (2,3) = 0 \land
SWAP $$ (3,0) = 0 \land
SWAP $$ (3,1) = 0 \land
SWAP  (3,2) = 0 \land
SWAP $$ (3,3) = 1
\langle proof \rangle
```

lemma SWAP-nrows: dim-row SWAP = 4 $\langle proof \rangle$

lemma SWAP-ncols:

 $dim\text{-}col \ SWAP = 4$ $\langle proof \rangle$

```
lemma SWAP-carrier-mat[simp]:
SWAP \in carrier-mat 4 4
\langle proof \rangle
```

The SWAP gate indeed swaps the states of two qubits (it is not necessary to assume unitarity)

3.1 Downwards SWAP cascade

fun SWAP-down:: $nat \Rightarrow complex Matrix.mat$ where SWAP-down $0 = 1_m 1$ $\mid SWAP-down (Suc 0) = 1_m 2$ $\mid SWAP-down (Suc (Suc 0)) = SWAP$ $\mid SWAP-down (Suc (Suc n)) = ((1_m (2^n)) \otimes SWAP) * ((SWAP-down (Suc n)))$ $\otimes (1_m 2))$

lemma SWAP-down-carrier-mat[simp]: shows SWAP-down $n \in carrier-mat(2^n)(2^n)$ (is ?P n) $\langle proof \rangle$

3.2 Upwards SWAP cascade

fun SWAP-up:: $nat \Rightarrow complex Matrix.mat$ where $SWAP-up \ 0 = 1_m \ 1$ $\mid SWAP-up \ (Suc \ 0) = 1_m \ 2$ $\mid SWAP-up \ (Suc \ (Suc \ 0)) = SWAP$ $\mid SWAP-up \ (Suc \ (Suc \ n)) = (SWAP \ (1_m \ (2^n))) * ((1_m \ 2) \ (SWAP-up \ (Suc \ n)))$

lemma SWAP-up-carrier-mat[simp]: shows SWAP-up $n \in carrier-mat(2^n)(2^n)$ (is ?P n) $\langle proof \rangle$

4 Reversing qubits

In order to reverse the order of n qubits, we iteratively swap opposite qubits (swap 0th and (n-1)th qubits, 1st and (n-2)th qubits, and so on).

fun reverse-qubits:: $nat \Rightarrow complex Matrix.mat$ where reverse-qubits $0 = 1_m 1$ | reverse-qubits (Suc 0) = $(1_m 2)$ $| reverse-qubits (Suc (Suc 0)) = SWAP \\ | reverse-qubits (Suc n) = ((reverse-qubits n) \bigotimes (1_m 2)) * (SWAP-down (Suc n))$

lemma reverse-qubits-carrier-mat[simp]: (reverse-qubits n) \in carrier-mat (2^n) (2^n) (proof)

5 Controlled operations

The two-qubit gate control2 performs a controlled U operation on the first qubit with the second qubit as control

definition *control2*:: *complex Matrix.mat* \Rightarrow *complex Matrix.mat* **where** control2 $U \equiv mat-of-cols-list \not 4 [[1, 0, 0, 0]],$ [0, U (0,0), 0, U (1,0)],[0, 0, 1, 0],[0, U (0, 1), 0, U (1, 1)]**lemma** *control2-carrier-mat*[*simp*]: shows control2 $U \in carrier-mat 4 4$ $\langle proof \rangle$ lemma control2-zero: assumes dim-row v = 2 and dim-col v = 1shows control2 $U * (v \bigotimes |zero\rangle) = v \bigotimes |zero\rangle$ $\langle proof \rangle$ **lemma** *vtensorone-index*[*simp*]: assumes dim-row v = 2 and dim-col v = 1shows $(v \bigotimes |one\rangle)$ \$\$ $(0,0) = 0 \land$ $(v \bigotimes |one\rangle)$ \$\$ (1,0) = v \$\$ $(0,0) \land$ $(v \bigotimes |one\rangle)$ \$\$ $(2,0) = 0 \land$ $(v \bigotimes |one\rangle)$ \$\$ (3,0) = v \$\$ (1,0) $\langle proof \rangle$ lemma control2-one:

assumes dim-row v = 2 and dim-col v = 1 and dim-row U = 2 and dim-col U = 2shows control2 $U * (v \otimes |one\rangle) = (U*v) \otimes |one\rangle$ $\langle proof \rangle$

Given a single qubit gate U, control n U creates a quantum n-qubit gate that performs a controlled-U operation on the first qubit using the last qubit as control.

fun control:: $nat \Rightarrow complex Matrix.mat \Rightarrow complex Matrix.mat$ where

 $\begin{array}{l} control \ 0 \ U = 1_m \ 1 \\ | \ control \ (Suc \ 0) \ U = 1_m \ 2 \\ | \ control \ (Suc \ (Suc \ 0)) \ U = control 2 \ U \\ | \ control \ (Suc \ (Suc \ n)) \ U = \\ ((1_m \ 2) \ \bigotimes \ SWAP-down \ (Suc \ n)) * (control 2 \ U \ \bigotimes \ (1_m \ (2\ n))) * ((1_m \ 2) \ \bigotimes \ SWAP-up \ (Suc \ n)) \end{array}$

lemma control-carrier-mat[simp]: **shows** control $n \ U \in carrier-mat(2^n)(2^n)$ $\langle proof \rangle$

6 Quantum Fourier Transform Circuit

6.1 QFT definition

The function kron is the generalization of the Kronecker product to a finite number of qubits

fun kron:: $(nat \Rightarrow complex Matrix.mat) \Rightarrow nat list \Rightarrow complex Matrix.mat where$ $kron <math>f [] = 1_m 1$ | kron $f (x \# xs) = (f x) \bigotimes (kron f xs)$

lemma kron-carrier-mat[simp]: **assumes** $\forall m. dim$ -row $(f m) = 2 \land dim$ -col (f m) = 1 **shows** kron $f xs \in carrier$ -mat $(2 \cap (length xs)) 1$ $\langle proof \rangle$

lemma kron-cons-right: **shows** kron $f(xs@[x]) = kron f xs \bigotimes f x$ $\langle proof \rangle$

We define the QFT product representation

definition QFT-product-representation:: $nat \Rightarrow nat \Rightarrow complex Matrix.mat$ where QFT-product-representation $j \ n \equiv 1/(sqrt \ (2\ n)) \cdot_m$

 $(kron \ (\lambda(l::nat). \ |zero\rangle + \ exp \ (2*i*pi*j/(2^l)) \cdot_m$

 $|one\rangle)$

(map nat [1..n]))

We also define the reverse version of the QFT product representation, which is the output state of the QFT circuit alone

definition reverse-QFT-product-representation:: $nat \Rightarrow nat \Rightarrow complex Matrix.mat$ where

 $\begin{aligned} & reverse-QFT\text{-}product\text{-}representation \ j \ n \equiv 1/(sqrt \ (2^{n})) \cdot_{m} \\ & (kron \ (\lambda(l::nat). \ |zero\rangle + \ exp \ (2*i*pi*j/(2^{n})) \\ \cdot_{m} \ |one\rangle) \end{aligned}$

(map nat (rev [1..n])))

6.2 QFT circuit

The recursive function controlled_rotations computes the controlled- R_k gates subcircuit of the QFT circuit at each stage (i.e. for each qubit).

fun controlled-rotations:: nat \Rightarrow complex Matrix.mat where controlled-rotations $0 = 1_m 1$ | controlled-rotations (Suc 0) = $1_m 2$ | controlled-rotations (Suc n) = (control (Suc n) (R (Suc n))) * ((controlled-rotations n) $\bigotimes (1_m 2)$)

```
lemma controlled-rotations-carrier-mat[simp]:
controlled-rotations n \in \text{carrier-mat}(2\n)(2\n)
\langle proof \rangle
```

The recursive function QFT computes the Quantum Fourier Transform circuit.

 $\begin{array}{l} \textbf{fun } QFT:: nat \Rightarrow complex \ Matrix.mat \ \textbf{where} \\ QFT \ 0 = 1_m \ 1 \\ \mid QFT \ (Suc \ 0) = H \\ \mid QFT \ (Suc \ n) = \ ((1_m \ 2) \ \bigotimes \ (QFT \ n)) * (controlled-rotations \ (Suc \ n)) * (H \ \bigotimes \ ((1_m \ (2^{\widehat{}}n)))) \end{array}$

lemma QFT-carrier-mat[simp]: $QFT \ n \in carrier-mat (2^n) (2^n) \langle proof \rangle$

ordered_QFT reverses the order of the qubits at the end of the QFT circuit

definition ordered-QFT:: $nat \Rightarrow complex Matrix.mat$ where ordered-QFT $n \equiv (reverse-qubits \ n) * (QFT \ n)$

7 QFT circuit correctness

Some useful lemmas:

lemma state-basis-dec: **assumes** $j < 2 \ Suc \ n$ **shows** $|state-basis \ 1 \ (j \ div \ 2\ n)\rangle \bigotimes |state-basis \ n \ (j \ mod \ 2\ n)\rangle = |state-basis \ (Suc \ n) \ j\rangle$ $\langle proof \rangle$

lemma state-basis-dec':

 $\forall j. \ j < 2 \ \widehat{} \ Suc \ n \longrightarrow$

 $|state-basis \ n \ (j \ div \ 2)\rangle \bigotimes |state-basis \ 1 \ (j \ mod \ 2)\rangle = |state-basis \ (Suc \ n) \ j\rangle \\ \langle proof \rangle$

Action of the H gate in the circuit

lemma *H*-on-first-qubit: assumes $j < 2 \ \widehat{} Suc \ n$ shows $((H \otimes ((1_m (2^n))))) * | state-basis (Suc n) j \rangle =$ $1/sqrt \ 2 \cdot_m (|zero\rangle + exp(2*i*pi*(complex-of-nat (j div 2^n))/2) \cdot_m |one\rangle)$ \otimes $|state-basis n (j \mod 2\hat{n})\rangle$ $\langle proof \rangle$

Action of the R gate in the circuit

lemma *R*-action: assumes $j < 2 \cap Suc \ n \text{ and } j \ mod \ 2 = 1$ shows $(R (Suc n)) * (|zero\rangle + exp (2*i*pi*complex-of-nat (j div 2) / 2^n) \cdot_m$ $|one\rangle) =$ $|zero\rangle + exp (2*i*pi*complex-of-nat j / 2^(Suc n)) \cdot_m |one\rangle$ $\langle proof \rangle$

Action of the SWAP cascades in the circuit

lemma SWAP-up-action: $\forall j. j < 2 (Suc (Suc n)) \longrightarrow$ SWAP-up (Suc (Suc n)) * (|state-basis (Suc n) (j div 2)) \bigotimes |state-basis 1 (j $mod (2)\rangle) =$ $|state-basis 1 \ (j \mod 2)\rangle \bigotimes |state-basis (Suc n) \ (j \ div \ 2)\rangle$ $\langle proof \rangle$

lemma SWAP-down-action:

 $\forall j. j < 2 \ \widehat{Suc} \ (Suc \ n) \longrightarrow$ SWAP-down (Suc (Suc n)) * (|state-basis 1 (j mod 2)) \bigotimes |state-basis (Suc n) $(j \ div \ 2)\rangle) =$ $|state-basis (Suc n) (j div 2)\rangle \bigotimes |state-basis 1 (j mod 2)\rangle$ $\langle proof \rangle$

Action of the controlled-R gates in the circuit

lemma controlR-action: assumes $j < 2 \ \widehat{Suc} \ (Suc \ n)$ **shows** (control (Suc (Suc n)) (R (Suc (Suc n)))) * $((|zero\rangle + exp (2*i*pi*complex-of-nat (j div 2) / 2^(Suc n)) \cdot_m |one\rangle) \otimes$ $|state-basis \ n \ ((j \ mod \ 2 \ (Suc \ n)) \ div \ 2)\rangle \otimes |state-basis \ 1 \ (j \ mod \ 2)\rangle) =$ $(|zero\rangle + exp (2*i*pi*complex-of-nat j / 2 (Suc (Suc n))) \cdot_m |one\rangle) \otimes$ $|\text{state-basis } n \ ((j \mod 2 \widehat{(Suc \ n)}) \ div \ 2) \rangle \bigotimes |\text{state-basis } 1 \ (j \mod 2) \rangle$

 $\langle proof \rangle$

Action of the controlled rotations subcircuit

lemma controlled-rotations-ind:

 $\forall j. j < 2 \ \widehat{} Suc \ n \longrightarrow$ controlled-rotations (Suc n) * $((|zero\rangle + exp(2*i*pi*(complex-of-nat (j div 2^n))/2) \cdot_m |one\rangle) \bigotimes |state-basis$ $n (j \mod 2\hat{n}) \rangle =$

 $(|zero\rangle + exp(2*i*pi*j/(2^(Suc n))) \cdot_m |one\rangle) \otimes |state-basis n (j mod 2^n)\rangle \langle proof \rangle$

 $\begin{array}{l} \textbf{lemma controlled-rotations-on-first-qubit:}\\ \textbf{assumes } j < 2 ^ Suc n\\ \textbf{shows controlled-rotations (Suc n) *}\\ (1/sqrt 2 \cdot_m (|zero\rangle + exp(2*i*pi*(complex-of-nat (j div 2^n))/2) \cdot_m |one\rangle))\\ \bigotimes\\ |state-basis n (j mod 2^n)\rangle) =\\ (1/sqrt 2 \cdot_m ((|zero\rangle + exp(2*i*pi*j/(2^(Suc n))) \cdot_m |one\rangle)) \bigotimes |state-basis n (j mod 2^n)\rangle)\\ \langle proof \rangle \end{array}$

More useful lemmas:

lemma exp-j: assumes l < Suc nshows exp $(2*i*pi*j/(2^{)}) = exp (2*i*pi*(j mod 2^{)})/(2^{)})$ $\langle proof \rangle$

lemma kron-list-fun[simp]: $\forall x. List.member xs \ x \longrightarrow f \ x = g \ x \Longrightarrow kron \ f \ xs = kron \ g \ xs \ \langle proof \rangle$

lemma member-rev: **shows** List.member (rev xs) x = List.member xs x $\langle proof \rangle$

 $\begin{array}{l} \textbf{lemma kron-j:} \\ \textbf{shows kron } (\lambda(l::nat). \ |zero\rangle \ + \ exp \ (2*i*pi*j/(2\,\widehat{\ })) \ \cdot_m \ |one\rangle) \ (map \ nat \ (rev \ [1..n])) = \\ kron \ (\lambda(l::nat). \ |zero\rangle \ + \ exp \ (2*i*pi*(complex-of-nat \ (j \ mod \ 2\,\widehat{\ }n))/(2\,\widehat{\ })) \\ \cdot_m \ |one\rangle) \\ (map \ nat \ (rev \ [1..n])) \\ \langle proof\rangle \end{array}$

We proof that the QFT circuit is correct:

theorem *QFT-is-correct:* **shows** $\forall j. j < 2^n \longrightarrow (QFT n) * |state-basis n j\rangle = reverse-QFT-product-representation$ j n $\langle proof \rangle$

7.1 QFT with qubits reordering correctness

lemma SWAP-down-kron:

assumes $\forall m. dim\text{-}row (f m) = 2 \land dim\text{-}col (f m) = 1$ shows SWAP-down (length (x#xs)) * kron f $(x\#xs) = kron f xs \bigotimes f x$ $\langle proof \rangle$

 $\begin{array}{l} \textbf{lemma SWAP-down-kron-map-rev:}\\ \textbf{assumes} \ \forall \ m. \ dim-row \ (f \ m) = 2 \ \land \ dim-col \ (f \ m) = 1\\ \textbf{shows} \ (SWAP-down \ (Suc \ k)) \ \ast\\ kron \ f \ (map \ nat \ (rev \ [1..int \ (Suc \ k)])) =\\ (kron \ f \ (map \ nat \ (rev \ [1..int \ k])) \ \bigotimes \ (f \ (Suc \ k)))\\ \langle proof \rangle \end{array}$

```
lemma reverse-qubits-kron:

assumes \forall m. dim-row (f m) = 2 \land dim-col (f m) = 1

shows (reverse-qubits n) * (kron f (map nat (rev [1..n]))) = kron f (map nat [1..n])

(proof)
```

```
lemma prod-rep-fun:

assumes f = (\lambda(l::nat). |zero\rangle + exp (2*i*pi*j/(2^{))) \cdot_m |one\rangle)

shows \forall m. dim-row (f m) = 2 \land dim-col (f m) = 1

\langle proof \rangle
```

```
lemma rev-upto:

assumes n1 \le n2

shows rev [n1..n2] = n2 \ \# \ rev \ [n1..(n2-1)]

\langle proof \rangle
```

```
lemma dim-row-kron:

shows dim-row (kron f xs) = (\prod x \leftarrow xs. dim-row (f x))

\langle proof \rangle
```

```
lemma dim-col-kron:

shows dim-col (kron f xs) = (\prod x \leftarrow xs. dim-col (f x))

\langle proof \rangle
```

lemma prod-2-n: $(\prod x \leftarrow map \ nat \ (rev \ [1..int \ n]). \ 2) = 2 \ \widehat{} n$ $\langle proof \rangle$

```
lemma prod-2-n-b:

(\prod x \leftarrow map \ nat \ [1..int \ n]. \ 2) = 2 \ \widehat{} n

\langle proof \rangle
```

```
lemma prod-1-n:

(\prod x \leftarrow map \ nat \ (rev \ [1..int \ n]). \ 1) = 1

\langle proof \rangle
```

```
lemma prod-1-n-b:

(\prod x \leftarrow map \ nat \ [1..int \ n]. \ Suc \ 0) = Suc \ 0

\langle proof \rangle
```

 ${\bf lemma}\ reverse-qubits-product-representation:$

reverse-qubits n * reverse-QFT-product-representation j n = QFT-product-representation $j n \langle proof \rangle$

Finally, we proof the correctness of the algorithm

theorem ordered-QFT-is-correct: **assumes** $j < 2^n$ **shows** (ordered-QFT n) * |state-basis n j> = QFT-product-representation j n $\langle proof \rangle$

8 Unitarity

Although unitarity is not required to proof QFT's correctness, in this section we will prove it, i.e., QFT and ordered_QFT functions create quantum gates and QFT product representation is a quantum state.

```
lemma state-basis-is-state:

assumes j < n

shows state n | state-basis n j \rangle

\langle proof \rangle
```

```
lemma R-dagger-mat:

shows (R \ k)^{\dagger} = Matrix.mat \ 2 \ 2 \ (\lambda(i,j). if \ i \neq j \ then \ 0 \ else \ (if \ i=0 \ then \ 1 \ else \ exp(-2*pi*i/2^k)))

\langle proof \rangle
```

lemma R-is-gate: shows gate 1 (R n) $\langle proof \rangle$

lemma SWAP-dagger-mat: shows SWAP[†] = SWAP $\langle proof \rangle$

lemma SWAP-inv: **shows** SWAP * (SWAP[†]) = 1_m 4 $\langle proof \rangle$

lemma SWAP-inv': **shows** (SWAP[†]) * SWAP = $1_m 4$ $\langle proof \rangle$

lemma SWAP-is-gate:

```
shows gate 2 SWAP \langle proof \rangle
```

```
lemma control2-inv:

assumes gate 1 U

shows (control2 U) * ((control2 U)<sup>†</sup>) = 1_m 4

\langle proof \rangle
```

lemma control2-inv': assumes gate 1 U shows (control2 U)[†] * (control2 U) = 1_m 4 $\langle proof \rangle$

```
lemma control2-is-gate:
  assumes gate 1 U
  shows gate 2 (control2 U)
  ⟨proof⟩
```

```
lemma SWAP-down-is-gate:
shows gate n (SWAP-down n)
\langle proof \rangle
```

```
lemma SWAP-up-is-gate:

shows gate n (SWAP-up n)

\langle proof \rangle
```

```
lemma control-is-gate:
  assumes gate 1 U
  shows gate n (control n U)
  ⟨proof⟩
```

```
lemma controlled-rotations-is-gate:

shows gate n (controlled-rotations n)

\langle proof \rangle
```

```
theorem QFT-is-gate:

shows gate n (QFT n)

\langle proof \rangle
```

```
corollary QFT-is-unitary:

shows unitary (QFT n)

\langle proof \rangle
```

```
corollary reverse-product-rep-is-state:

assumes j < 2^n

shows state n (reverse-QFT-product-representation j n)

\langle proof \rangle
```

```
lemma reverse-qubits-is-gate:
shows gate n (reverse-qubits n)
\langle proof \rangle
theorem ordered-QFT-is-gate:
shows gate n (ordered-QFT n)
\langle proof \rangle
corollary ordered-QFT-is-unitary:
shows unitary (ordered-QFT n)
\langle proof \rangle
corollary product-rep-is-state:
assumes j < 2^n
shows state n (QFT-product-representation j n)
\langle proof \rangle
```

 \mathbf{end}

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