

LL(1) Parser Generator

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Abstract

In this formalization, we implement an LL(1) parser generator that first pre-computes the NULLABLE set, FIRST map and FOLLOW map, to then build a lookahead table. We prove correctness, soundness and error-free termination for LL(1) grammars. We provide the JSON grammar and show how to parse a tokenized JSON string using a parser created with the verified parser generator. The proof structure is significantly based on Vermillion [2], an LL(1) parser generator verified in Coq.

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1 Introduction

An LL Parser is a top-down parser, i.e., it constructs the parse tree starting at the root by selecting rules for the expansion of non-terminals. The selection between alternative rules is in general not deterministic as there may be multiple rules applicable for some non-terminal. For a more substantiated decision, an $LL(k)$ parser can inspect the first k symbols of the remaining input. Grammars for which a lookahead of length k is sufficient to deterministically choose the correct rule for expansion are called $LL(k)$ grammars. In this work, we focus on $LL(1)$ parsers, i.e., parsers that only spy on the single next symbol of the remaining input [3].

In the first few theories we provide fixpoint algorithms to successively compute the `NULLABLE` set, `FIRST` and `FOLLOW` map for a grammar, and prove their termination and the soundness and completeness of the result. With these pre-computed attributes, a parse table recording which alternative rule needs to be chosen for which lookahead can be generated for $LL(k)$ grammars. In case the input grammar is not $LL(k)$, an ambiguous lookahead will be detected, and the parse table generation will return an error. For the case that a parse table is generated successfully, we prove its soundness and completeness. As a last step, a function for parsing a tokenized input with the help of the generated parse table is provided. It

either returns a parse tree as a result, rejects the input if it is not within the language described by the grammar, or returns an error. The soundness and completeness of the parser follow from the correctness theorems about the parse table. Additionally, the parser is shown to terminate without error for any successfully generated parse table.

For demonstration, we generate two parsers — one for a mini programming language and one for JSON strings — and use them to parse small tokenized strings.

2 Types and Definitions

```
theory Grammar
imports Main
begin
```

2.1 Grammar

We first define the datatypes for a grammar. A symbol is either a non-terminal of type `'n` or a terminal of type `'t`. A production is then a tuple of a non-terminal, and a list of symbols. An empty list of symbols corresponds to the empty word. A grammar is defined through a non-terminal as start symbol and a list of productions. Note that there may be more than one production for some non-terminal.

```
datatype ('n, 't) symbol = NT 'n | T 't

type_synonym ('n, 't) rhs = " (('n, 't) symbol) list"

type_synonym ('n, 't) prod = "'n × ('n, 't) rhs"
type_synonym ('n, 't) prods = " ('n, 't) prod list"

datatype ('n, 't) grammar = G (start: "'n") (prods: " ('n, 't) prods")
```

An LL(1) parser considers a lookahead of size one to determine the appropriate rule for the next expansion. A lookahead may either be a terminal symbol or `EOF`, the special lookahead to mark the end of input.

```
datatype 't lookahead = LA 't | EOF
```

2.2 Definition of Nullable, First, Follow and Lookahead

The set of nullable symbols contains all nonterminals from which the empty word can be derived. This is the case, either when there is a production for the non-terminal with an empty right-hand side or when the right-hand side consists only of nullable symbols.

```
inductive nullable_sym :: " ('n, 't) grammar ⇒ ('n, 't) symbol ⇒ bool"
  and nullable_gamma :: " ('n, 't) grammar ⇒ ('n, 't) rhs ⇒ bool"
```

```

for g where
  NullableSym:
    "(x, gamma) ∈ set (prods g) ⇒ nullable_gamma g gamma
    ⇒ nullable_sym g (NT x)"
| NullableNil:
  "nullable_gamma g []"
| NullableCons:
  "nullable_sym g s ⇒ nullable_gamma g ss
  ⇒ nullable_gamma g (s # ss)"

```

First symbols are all symbols that are prefixes of possible derivations. For some lookahead, this is the terminal corresponding to the lookahead, and all non-terminals for which there exists a production where a first symbol occurs after a nullable prefix.

```

inductive first_sym
  :: "('n, 't) grammar ⇒ 't lookahead ⇒ ('n, 't) symbol ⇒ bool"
for g where
  FirstT: "first_sym g (LA y) (T y)"
| FirstNT:
  "(x, gpre @ s # gsuf) ∈ set (prods g) ⇒ nullable_gamma g gpre
  ⇒ first_sym g la s
  ⇒ first_sym g la (NT x)"

```

```

inductive first_gamma
  :: "('n, 't) grammar ⇒ 't lookahead ⇒ ('n, 't) symbol list ⇒ bool"
for g where
  FirstGamma:
  "nullable_gamma g gpre ⇒ first_sym g la s
  ⇒ first_gamma g la (gpre @ s # gsuf)"

```

The set of follow symbols contains for some non-terminal all symbols that may directly follow after a derivation for it. For the start symbol a follow symbol is EOF. In general, follow symbols of some non-terminal are all first symbols of the list of symbols following after an occurrence of this non-terminal in the productions right-hand sides. In case the list of symbols following a non-terminal in a production's right-hand side is nullable, the non-terminal on the left-hand side of the production is a follow symbol of it as well.

```

inductive follow_sym :: "('n, 't) grammar ⇒ 't lookahead ⇒ ('n, 't)
symbol ⇒ bool"
for g where
  FollowStart: "follow_sym g EOF (NT (start g))"
| FollowRight:
  "(x1, gpre @ (NT x2) # gsuf) ∈ set (prods g)
  ⇒ first_gamma g la gsuf
  ⇒ follow_sym g la (NT x2)"
| FollowLeft : "(x1, gpre @ (NT x2) # gsuf) ∈ set (prods g)
  ⇒ nullable_gamma g gsuf"

```

```

⇒ follow_sym g la (NT x1)
⇒ follow_sym g la (NT x2)"

```

A symbol is a lookahead for some production if it is either a first symbol of the production's right-hand side or, when the right-hand side is nullable, a follow symbol of the non-terminal on the production's left-hand side.

definition *lookahead_for*

```

:: "'t lookahead ⇒ 'n ⇒ ('n, 't) rhs ⇒ ('n, 't) grammar ⇒ bool"

```

where

```

"lookahead_for la x gamma g = (
  first_gamma g la gamma
  ∨ (nullable_gamma g gamma ∧ follow_sym g la (NT x)))"

```

2.3 Left-Recursive Grammars

A left-recursive grammar is a grammar where some non-terminal symbol can be reached from the same non-terminal symbol via some nullable path. LL(1) grammars may not be left-recursive. We give a definition for left-recursive grammars to later use it as an error condition for parsing.

inductive *nullable_path* ::

```

"'( 'n, 't) grammar ⇒ 't lookahead ⇒ ('n, 't) symbol ⇒ ('n, 't) symbol
⇒ bool"

```

where

```

DirectPath: "(x, gamma) ∈ set (prods g) ⇒ gamma = gpre @ NT z # gsuf
⇒ nullable_gamma g gpre ⇒ lookahead_for la x gamma g
⇒ nullable_path g la (NT x) (NT z)"
| IndirectPath: "(x, gamma) ∈ set (prods g)
⇒ gamma = gpre @ NT y # gsuf
⇒ nullable_gamma g gpre ⇒ lookahead_for la x gamma g
⇒ nullable_path g la (NT y) (NT z)
⇒ nullable_path g la (NT x) (NT z)"

```

abbreviation *left_recursive* ::

```

"'( 'n, 't) grammar ⇒ ('n, 't) symbol ⇒ 't lookahead ⇒ bool"

```

where

```

"left_recursive g s la ≡ nullable_path g la s s"

```

end

3 Nullable Set

theory *Nullable_Set*

imports *Grammar*

begin

definition *lhSet* :: "'('n, 't) prods ⇒ 'n set" where

```

"lhSet ps = set (map fst ps)"

fun nullableGamma :: "('n, 't) rhs ⇒ 'n set ⇒ bool" where
  "nullableGamma [] _ = True"
| "nullableGamma ((T _)#_) _ = False"
| "nullableGamma ((NT x)#gamma') nu = (if x ∈ nu then nullableGamma gamma'
nu else False)"

definition updateNu :: "('n, 't) prod ⇒ 'n set ⇒ 'n set" where
  "updateNu ≡ λ(x, gamma) nu. (if nullableGamma gamma nu then insert
x nu else nu)"

definition nullablePass :: "('n, 't) prods ⇒ 'n set ⇒ 'n set" where
  "nullablePass ps nu = foldr updateNu ps nu"

function mkNullableSet' :: "('n, 't) prods ⇒ 'n set ⇒ 'n set" where
  "mkNullableSet' ps nu = (let nu' = nullablePass ps nu in
(if nu=nu' then nu else mkNullableSet' ps nu'))"
  ⟨proof⟩

definition mkNullableSet :: "('n, 't) grammar ⇒ 'n set" where
  "mkNullableSet g = mkNullableSet' (prods g) {}"

```

3.1 Termination

```

definition countNullCands :: "('n, 't) prods ⇒ 'n set ⇒ nat" where
  "countNullCands ps nu = (let candidates = lhSet ps in card (candidates
- nu))"

lemma nullablePass_subset: "(nu::'n set) ⊆ (nullablePass ps nu)"
  ⟨proof⟩

lemma nullablePass_Nil[simp]: "nullablePass [] nu = nu"
  ⟨proof⟩

lemma nullablePass_cons[simp]: "nullablePass ((y,gamma)#ps') nu =
  (if nullableGamma gamma (nullablePass ps' nu) then insert y else id)
(nullablePass ps' nu)"
  ⟨proof⟩

lemma nullable_pass_mono:
  "nullablePass ps nu ⊆ nullablePass (qs @ ps) nu"
  ⟨proof⟩

lemma nullablePass_subset_lhSet:
  "nullablePass ps nu ⊆ lhSet ps ∪ nu"
  ⟨proof⟩

lemma nullablePass_neq_candidates_lt:

```

assumes "nu \neq nullablePass ps nu"
shows "countNullCands ps (nullablePass ps nu) < countNullCands ps nu"
 <proof>

termination mkNullableSet'
 <proof>

3.2 Correctness Definitions

definition nullable_set_sound :: "'n set \Rightarrow ('n, 't) grammar \Rightarrow bool" where
 "nullable_set_sound nu g = ($\forall x \in \text{nu}$. nullable_sym g (NT x))"

definition nullable_set_complete :: "'n set \Rightarrow ('n, 't) grammar \Rightarrow bool"
where
 "nullable_set_complete nu g = ($\forall x$. nullable_sym g (NT x) \longrightarrow x \in nu)"

abbreviation nullable_set_for :: "'n set \Rightarrow ('n, 't) grammar \Rightarrow bool"
where
 "nullable_set_for nu g \equiv nullable_set_sound nu g \wedge nullable_set_complete nu g"

3.3 Soundness

lemma nu_sound_nullableGamma_sound:
 "nullable_set_sound nu g \Longrightarrow nullableGamma gamma nu \Longrightarrow nullable_gamma g gamma"
 <proof>

lemma nullablePass_preserves_soundness':
 "nullable_set_sound nu g \Longrightarrow set ps \subseteq set (prods g)
 \Longrightarrow nullable_set_sound (nullablePass ps nu) g"
 <proof>

lemma nullablePass_preserves_soundness:
 "nullable_set_sound nu g \Longrightarrow nullable_set_sound (nullablePass (prods g) nu) g"
 <proof>

lemmas [simp del] = mkNullableSet'.simps

lemma mkNullableSet'_preserves_soundness:
 "nullable_set_sound nu g \Longrightarrow nullable_set_sound (mkNullableSet' (prods g) nu) g"
 <proof>

lemma empty_nu_sound: "nullable_set_sound {} g"
 <proof>

theorem mkNullableSet_sound: "nullable_set_sound (mkNullableSet g) g"
 <proof>

3.4 Completeness

```
lemma nullablePass_add_equal: "x ∈ nu ⇒ nullablePass ps nu = insert
x (nullablePass ps nu)"
⟨proof⟩
```

```
lemma nullable_gamma_nullableGamma_true:
"nullable_gamma g ys ⇒ ∀x. (NT x) ∈ set ys → x ∈ nu ⇒ nullableGamma
ys nu"
⟨proof⟩
```

```
lemma nullableGamma_saturated_if_nullablePass_fixpoint:
assumes "nu = nullablePass ps nu"
shows "∀ (x, gamma) ∈ set ps. nullableGamma gamma nu → x ∈ nu"
⟨proof⟩
```

```
lemma nullablePass_equal_complete':
assumes "nu = nullablePass (prods g) nu"
shows "nullable_sym g s ⇒ ∀y. s = NT y → y ∈ nu"
"nullable_gamma g ys ⇒ ∀y. NT y ∈ set ys → y ∈ nu"
⟨proof⟩
```

```
lemma nullablePass_equal_complete: "nu = (nullablePass (prods g) nu)
⇒ nullable_set_complete nu g"
⟨proof⟩
```

```
lemma mkNullableSet'_complete: "nullable_set_complete (mkNullableSet'
(prods g) nu) g"
⟨proof⟩
```

```
theorem mkNullableSet_complete: "nullable_set_complete (mkNullableSet
g) g"
⟨proof⟩
```

```
theorem mkNullableSet_correct: "nullable_set_for (mkNullableSet g) g"
⟨proof⟩
```

end

4 First map

```
theory First_Map
imports Nullable_Set "HOL-Library.Finite_Map"
begin

type_synonym ('n, 't) first_map = "('n, 't) lookahead list) fmap"

fun nullableSym :: "('n, 't) symbol ⇒ 'n set ⇒ bool" where
"nullableSym (T _) _ = False"
```

```

| "nullableSym (NT x) nu = (x ∈ nu)"

definition findOrEmpty :: "'n ⇒ ('n, 't) first_map ⇒ 't lookahead list"
where
  "findOrEmpty x m = (case fmlookup m x of None ⇒ [] | Some y ⇒ y)"

fun firstSym :: "('n, 't) symbol ⇒ ('n, 't) first_map ⇒ 't lookahead
list" where
  "firstSym (T x) _ = [LA x]"
| "firstSym (NT x) fi = findOrEmpty x fi"

definition list_union :: "'a list ⇒ 'a list ⇒ 'a list" (infixr "@@" 65)
where
  "list_union ls1 ls2 = ls1 @ (filter (λx. x ∉ set ls1) ls2)"

lemma in_atleast1_list: "a ∈ set (ls1 @@ ls2) ⇒ a ∈ set ls1 ∨ a ∈
set ls2"
  ⟨proof⟩

lemma set_list_union[simp]: "set (ls1 @@ ls2) = set ls1 ∪ set ls2"
  ⟨proof⟩

lemma mem_list_union: "ls1 = ls1 @@ ls2 ⇒ e ∈ set ls2 ⇒ e ∈ set
ls1"
  ⟨proof⟩

lemma list_union_I2: "e ∈ set ls2 ⇒ e ∈ set (ls1 @@ ls2)"
  ⟨proof⟩

fun firstGamma :: "('n, 't) rhs ⇒ 'n set ⇒ ('n, 't) first_map ⇒ 't
lookahead list" where
  "firstGamma [] _ _ = []"
| "firstGamma (s#gamma') nu fi =
  (if nullableSym s nu then firstSym s fi @@ firstGamma gamma' nu fi
  else firstSym s fi)"

definition updateFi :: "'n set ⇒ ('n, 't) prod ⇒ ('n, 't) first_map ⇒
('n, 't) first_map" where
  "updateFi ≡ λnu (x, gamma) fi. (let
    fg = firstGamma gamma nu fi;
    xFirst = findOrEmpty x fi;
    xFirst' = xFirst @@ fg in (if xFirst' = xFirst ∨ fg = [] then fi else
fmupd x xFirst' fi))"

definition firstPass :: "('n, 't) prods ⇒ 'n set ⇒ ('n, 't) first_map
⇒ ('n, 't) first_map" where
  "firstPass ps nu fi = foldr (updateFi nu) ps fi"

```

```

partial_function (option) mkFirstMap' :: "('n, 't) prods ⇒ 'n set ⇒
('n, 't) first_map
  ⇒ ('n, 't) first_map option" where
  "mkFirstMap' ps nu fi = (let fi' = firstPass ps nu fi in
    (if fi = fi' then Some fi else mkFirstMap' ps nu fi'))"

```

```

definition mkFirstMap :: "('n, 't) grammar ⇒ 'n set ⇒ ('n, 't) first_map"
where
  "mkFirstMap g nu = the (mkFirstMap' (prods g) nu fempty)"

```

4.1 Termination

```

fun leftmostLookahead :: "('n, 't) rhs ⇒ 't lookahead option" where
  "leftmostLookahead [] = None"
| "leftmostLookahead ((T y)#gamma') = Some (LA y)"
| "leftmostLookahead ((NT _)#gamma') = leftmostLookahead gamma'"

```

```

definition leftmostLookaheads :: "('n, 't) prods ⇒ 't lookahead set" where
  "leftmostLookaheads ps = the ' leftmostLookahead ' snd ' set ps"

```

```

lemma in_leftmostLookaheads_cons: "x ∈ leftmostLookaheads ps ⇒ x ∈
leftmostLookaheads (p # ps)"
  <proof>

```

```

definition pairsOf :: "('n, 't) first_map ⇒ ('n × 't lookahead) set"
where
  "pairsOf fi = {(a, b). a |∈| fmdom fi ∧ b ∈ set (findOrEmpty a fi)}"

```

```

definition all_nt :: "('n, 't) rhs ⇒ bool" where
  "all_nt gamma = (∀s ∈ set gamma. (case s of (NT _) ⇒ True | (T _)
⇒ False))"

```

```

definition all_pairs_are_first_candidates :: "('n, 't) first_map ⇒ ('n,
't) prods ⇒ bool" where
  "all_pairs_are_first_candidates fi ps =
  (∀x la. (x, la) ∈ pairsOf fi → (x ∈ lhSet ps ∧ la ∈ leftmostLookaheads
ps))"

```

```

definition countFirstCands :: "('n, 't) prods ⇒ ('n, 't) first_map ⇒
nat" where
  "countFirstCands ps fi = (let allCandidates = (lhSet ps) × (leftmostLookaheads
ps) in
  card (allCandidates - (pairsOf fi)))"

```

```

lemma gpre_nullable_leftmost_lk_some: "all_nt gpre
  ⇒ leftmostLookahead (gpre @ (T y) # gsuf) = Some (LA y)"
  <proof>

```

```

lemma gpre_nullable_in_leftmost_lks:

```

```

"(x, (gpre @ (T y) # gsuf)) ∈ set ps ⇒ all_nt gpre ⇒ (LA y) ∈ leftmostLookaheads
ps"
⟨proof⟩

```

```

lemma in_firstGamma_in_leftmost_lks':
  assumes "(x, gpre @ gsuf) ∈ set ps" "all_pairs_are_first_candidates
fi ps" "all_nt gpre"
  shows "la ∈ set (firstGamma gsuf nu fi) ⇒ la ∈ leftmostLookaheads
ps"
⟨proof⟩

```

```

lemma in_firstGamma_in_leftmost_lks: "(x, gamma) ∈ set ps ⇒ all_pairs_are_first_candidates
fi ps
⇒ la ∈ set (firstGamma gamma nu fi) ⇒ la ∈ leftmostLookaheads ps"
⟨proof⟩

```

```

lemma updateFi_cases:
  fixes nu and x :: 'n and gamma :: "('n, 't) rhs" and fi
  defines "fg ≡ firstGamma gamma nu fi"
  defines "xFirst ≡ findOrEmpty x fi"
  defines "xFirst' ≡ xFirst @@ fg"
  obtains (unchanged) "xFirst' = xFirst" "updateFi nu (x, gamma) fi =
fi"
| (empty) "fg = []" "updateFi nu (x, gamma) fi = fi"
| (new) la where "xFirst' ≠ xFirst ⇒ la ∈ set fg ⇒ la ∉ set xFirst
⇒ updateFi nu (x, gamma) fi = fmupd x xFirst' fi"
⟨proof⟩

```

```

lemma firstPass_induct:
  fixes ps :: "('n, 't) prods"
  and nu :: "'n set"
  and fi :: "('n, 't) first_map"
  and P :: "('n, 't) prods ⇒ 'n set ⇒ ('n, 't) first_map ⇒ bool"
  assumes Nil: "P [] nu fi"
  and Cons_changed: "∧p ps'. (P ps' nu fi ⇒ fi ≠ firstPass ps' nu
fi ⇒ P (p # ps') nu fi)"
  and Cons_same: "∧p ps'. (P ps' nu fi ⇒ fi = firstPass ps' nu fi
⇒ P (p # ps') nu fi)"
  shows "P (ps :: ('n, 't) prods) nu fi"
⟨proof⟩

```

```

lemma in_findOrEmpty_iff_in_pairsOf: "la ∈ set (findOrEmpty x fi) ⇔
(x, la) ∈ pairsOf fi"
⟨proof⟩

```

```

lemma in_pairsOf_exists: "(x, la) ∈ pairsOf fi ⇔ (∃s. fmlookup fi
x = Some s ∧ la ∈ set s)"
⟨proof⟩

```

lemma in_findOrElseExistsSet:
 "la ∈ set (findOrElse x m) ↔ (∃ s. fmlookup m x = Some s ∧ la ∈ set s)"
 ⟨proof⟩

lemma in_add_value: "(x, la) ∈ pairsOf (fmupd x s fi) ↔ la ∈ set s"
 ⟨proof⟩

lemma firstPass_Nil[simp]: "firstPass [] x y = y"
 ⟨proof⟩

Lemma for the simplification of *firstPass*. In general, one function call should be unfolded instead of replacing it with its definition with *foldr*.

lemma firstPass_cons[simp]: "firstPass (a # ps) nu fi = updateFi nu a (firstPass ps nu fi)"
 ⟨proof⟩

lemma unfold_updateFi: "updateFi nu (x, gamma) fi =
 (if findOrElse x fi @@ firstGamma gamma nu fi = findOrElse x fi
 ∨ findOrElse x fi @@ firstGamma gamma nu fi = []
 then fi else fmupd x (findOrElse x fi @@ firstGamma gamma nu fi) fi)"
 ⟨proof⟩

lemma in_add_keys: "la ∈ set s ↔ (x, la) ∈ pairsOf (fmupd x s fi)"
 ⟨proof⟩

lemma in_add_keys_neq: "x ≠ y ⇒ (y, la) ∈ pairsOf fi ↔ (y, la) ∈ pairsOf (fmupd x s fi)"
 ⟨proof⟩

lemma updateFi_subset: "pairsOf fi ⊆ pairsOf (updateFi nu p fi)"
 ⟨proof⟩

lemma firstPass_cons_subset: "pairsOf (firstPass ps nu fi) ⊆ pairsOf (firstPass (p # ps) nu fi)"
 ⟨proof⟩

lemma firstPass_mono: "pairsOf (firstPass ps nu fi) ⊆ pairsOf (firstPass (qs @ ps) nu fi)"
 ⟨proof⟩

lemma firstPass_subset: "pairsOf fi ⊆ pairsOf (firstPass ps nu fi)"
 ⟨proof⟩

lemma firstPass_empty_set:
 "fmlookup (firstPass ps nu fi) x = Some [] ⇒ fmlookup fi x = Some []"
 " "

<proof>

lemma *firstPass_None*: "fmlookup (firstPass ps nu fi) x = None \implies fmlookup fi x = None"

<proof>

lemma *firstPass_neq_findOrEmpty*:

assumes "fmlookup fi x \neq fmlookup (firstPass ps nu fi) x"

shows "findOrEmpty x fi \neq findOrEmpty x (firstPass ps nu fi)"

<proof>

Injectivity of *pairsOf*

lemma *firstPass_only_appends*: " \exists suf. findOrEmpty x (firstPass ps nu fi) = findOrEmpty x fi @ suf"

<proof>

lemma *firstPass_suf_distinct*: "findOrEmpty x (firstPass ps nu fi) = findOrEmpty x fi @ suf"

\implies suf \neq [] \implies la \in set suf \implies la \notin set (findOrEmpty x fi)"

<proof>

lemma *pairsOf_inj*: "fi \neq firstPass ps nu fi \implies pairsOf fi \neq pairsOf (firstPass ps nu fi)"

<proof>

lemma *firstPass_not_equiv_subset*:

"fi \neq firstPass ps nu fi \implies pairsOf fi \subset pairsOf (firstPass ps nu fi)"

<proof>

lemma *firstPass_subset_lhs_lks*: "all_pairs_are_first_candidates (firstPass ps nu fi) ps"

\implies pairsOf (firstPass ps nu fi) \subseteq lhSet ps \times leftmostLookaheads ps

\cup pairsOf fi"

<proof>

lemma *finite_leftmostLookaheads*: "finite (leftmostLookaheads ps)"

<proof>

lemma *firstPass_not_equiv_candidates_lt*: "all_pairs_are_first_candidates (firstPass ps nu fi) ps"

\implies fi \neq (firstPass ps nu fi)

\implies countFirstCands ps (firstPass ps nu fi) < countFirstCands ps fi"

<proof>

lemma *firstPass_preserves_apac'*: "all_pairs_are_first_candidates fi (ps1 @ ps2)"

\implies all_pairs_are_first_candidates (firstPass ps2 nu fi) (ps1 @ ps2)"

<proof>

```

lemma firstPass_preserves_apac:
  "all_pairs_are_first_candidates fi ps  $\implies$  all_pairs_are_first_candidates
  (firstPass ps nu fi) ps"
  <proof>

```

Termination proof for `mkFirstMap'` given that `all_pairs_are_first_candidates` holds for the first call and therefore also for every following iteration.

```

lemma mkFirstMap'_dom_if_apac:
  "mkFirstMap' ps nu fi  $\neq$  None" if "all_pairs_are_first_candidates fi
  ps"
  <proof>

```

```

lemma empty_fi_apac: "all_pairs_are_first_candidates fmempty ps"
  <proof>

```

```

lemma mkFirstMap_simp: "mkFirstMap g nu  $\equiv$  (let fi' = firstPass (prods
  g) nu fmempty in
  (if fmempty = fi' then fmempty else the (mkFirstMap' (prods g) nu
  fi')))"
  <proof>

```

4.2 Correctness Definitions

```

definition first_map_sound :: "('n, 't) first_map  $\Rightarrow$  ('n, 't) grammar  $\Rightarrow$ 
  bool" where
  "first_map_sound fi g =
  ( $\forall$  x la xFirst. fmlookup fi x = Some xFirst  $\wedge$  la  $\in$  set xFirst  $\longrightarrow$  first_sym
  g la (NT x))"

```

```

definition first_map_complete :: "('n, 't) first_map  $\Rightarrow$  ('n, 't) grammar
 $\Rightarrow$  bool" where
  "first_map_complete fi g = ( $\forall$  la s x. first_sym g la s
   $\wedge$  s = (NT x)  $\longrightarrow$  ( $\exists$  xFirst. fmlookup fi x = Some xFirst  $\wedge$  la  $\in$  set
  xFirst))"

```

```

abbreviation first_map_for :: "('n, 't) first_map  $\Rightarrow$  ('n, 't) grammar
 $\Rightarrow$  bool" where
  "first_map_for fi g  $\equiv$  first_map_sound fi g  $\wedge$  first_map_complete fi
  g"

```

4.3 Soundness

```

lemma firstSym_first_sym: assumes "first_map_sound fi g" and "la  $\in$ 
  set (firstSym s fi)"
  shows "first_sym g la s"
  <proof>

```

```

lemma nullable_app: "nullable_gamma g xs  $\implies$  nullable_gamma g ys  $\implies$ 
  nullable_gamma g (xs @ ys)"

```

<proof>

lemma nullableSym_nullable_sym: assumes "nullable_set_for nu g"
shows "nullableSym s nu \longleftrightarrow nullable_sym g s"
<proof>

lemma firstGamma_first_sym': "nullable_set_for nu g \implies first_map_sound
fi g
 \implies (x, gpre @ gsuf) \in set (prods g) \implies nullable_gamma g gpre
 \implies la \in set (firstGamma gsuf nu fi) \implies first_sym g la (NT x)"
<proof>

lemma firstGamma_first_sym: "nullable_set_for nu g \implies first_map_sound
fi g
 \implies (x, gamma) \in set (prods g) \implies la \in set (firstGamma gamma nu
fi) \implies first_sym g la (NT x)"
<proof>

lemma firstPass_preserves_soundness': "nullable_set_for nu g \implies first_map_sound
fi g
 \implies set ps \subseteq set (prods g) \implies first_map_sound (firstPass ps nu fi)
g"
<proof>

lemma firstPass_preserves_soundness: "nullable_set_for nu g \implies first_map_sound
fi g
 \implies first_map_sound (firstPass (prods g) nu fi) g"
<proof>

lemma mkFirstMap'_preserves_soundness: "nullable_set_for nu g \implies first_map_sound
fi g
 \implies all_pairs_are_first_candidates fi (prods g)
 \implies first_map_sound (the (mkFirstMap' (prods g) nu fi)) g"
<proof>

lemma empty_fi_sound: "first_map_sound fmempty g"
<proof>

theorem mkFirstMap_sound: "nullable_set_for nu g \implies first_map_sound
(mkFirstMap g nu) g"
<proof>

4.4 Completeness

lemma la_in_firstGamma_t: "nullable_set_for nu g \implies nullable_gamma
g gpre
 \implies LA y \in set (firstGamma (gpre @ T y # gsuf) nu fi)"
<proof>

lemma *la_in_firstGamma_nt*: "nullable_set_for nu g \implies nullable_gamma g gpre
 \implies fmlookup fi x = Some xFirst \implies la \in set xFirst
 \implies la \in set (firstGamma (gpre @ NT x # gsuf) nu fi)"
 <proof>

lemma *firstPass_preserves_key_value_subset*: "fmlookup fi x = Some xFirst
 \implies \exists xFirst'. fmlookup (firstPass ps nu fi) x = Some xFirst' \wedge set xFirst \subseteq set xFirst'"
 <proof>

lemma *firstPass_equiv_cons_tl*: assumes "fi = firstPass (p # ps) nu fi"
 shows "fi = firstPass ps nu fi"
 <proof>

lemma *firstPass_equiv_right_t'*: "(lx, gpre @ (T y) # gsuf) \in set psuf
 \implies nullable_set_for nu g
 \implies nullable_gamma g gpre \implies fi = firstPass psuf nu fi \implies prods g = ppre @ psuf
 \implies (\exists lxFirst. fmlookup fi lx = Some lxFirst \wedge (LA y) \in set lxFirst)"
 <proof>

lemma *firstPass_equiv_right_t*: "(lx, gpre @ (T y) # gsuf) \in set (prods g)
 \implies nullable_set_for nu g
 \implies nullable_gamma g gpre \implies fi = firstPass (prods g) nu fi
 \implies \exists lxFirst. fmlookup fi lx = Some lxFirst \wedge LA y \in set lxFirst"
 <proof>

lemma *firstPass_equiv_right_nt'*: "nullable_set_for nu g \implies fi = firstPass psuf nu fi
 \implies (lx, gpre @ (NT rx) # gsuf) \in set psuf \implies nullable_gamma g gpre
 \implies fmlookup fi rx = Some rxFirst \implies la \in set rxFirst \implies ppre @ psuf = (prods g)
 \implies \exists lxFirst. fmlookup fi lx = Some lxFirst \wedge la \in set lxFirst"
 <proof>

lemma *firstPass_equiv_right_nt*: "nullable_set_for nu g \implies fi = firstPass (prods g) nu fi
 \implies (lx, gpre @ (NT rx) # gsuf) \in set (prods g) \implies nullable_gamma g gpre
 \implies fmlookup fi rx = Some rxFirst \implies la \in set rxFirst
 \implies \exists lxFirst. fmlookup fi lx = Some lxFirst \wedge la \in set lxFirst"
 <proof>

lemma *firstPass_equiv_complete*: assumes "nullable_set_for nu g" "fi = firstPass (prods g) nu fi"
 shows "first_map_complete fi g"
 <proof>

```

lemma mkFirstMap'_complete: "nullable_set_for nu g  $\implies$  all_pairs_are_first_candidates
fi (prods g)
 $\implies$  first_map_complete (the (mkFirstMap' (prods g) nu fi)) g"
⟨proof⟩

theorem mkFirstMap_complete: "nullable_set_for nu g  $\implies$  first_map_complete
(mkFirstMap g nu) g"
⟨proof⟩

theorem mkFirstMap_correct: "nullable_set_for nu g  $\implies$  first_map_for
(mkFirstMap g nu) g"
⟨proof⟩

declare mkFirstMap'.simps[code]

end

```

5 Follow map

```

theory Follow_Map
imports First_Map
begin

type_synonym ('n, 't) follow_map = "('n, 't) lookahead list) fmap"

fun updateFo :: "'n set  $\Rightarrow$  ('n, 't) first_map  $\Rightarrow$  'n  $\Rightarrow$  ('n, 't) rhs  $\Rightarrow$ 
('n, 't) follow_map
 $\Rightarrow$  ('n, 't) follow_map" where
  "updateFo nu fi lx [] fo = fo"
| "updateFo nu fi lx ((T _) # gamma') fo = updateFo nu fi lx gamma' fo"
| "updateFo nu fi lx ((NT rx) # gamma') fo = (let fo' = updateFo nu fi
lx gamma' fo;
  lSet = findOrElse lx fo';
  rSet = firstGamma gamma' nu fi;
  additions = (if nullableGamma gamma' nu then lSet @@ rSet else rSet)
in (case fmlookup fo' rx of
  None  $\Rightarrow$  (if additions = [] then fo' else fmupd rx additions fo')
  | Some rxFollow  $\Rightarrow$  (if set additions  $\subseteq$  set rxFollow then fo'
  else fmupd rx (rxFollow @@ additions) fo')))"

definition followPass :: "('n, 't) prods  $\Rightarrow$  'n set  $\Rightarrow$  ('n, 't) first_map
 $\Rightarrow$  ('n, 't) follow_map
 $\Rightarrow$  ('n, 't) follow_map" where
  "followPass ps nu fi fo = foldr ( $\lambda$ (x, gamma) fo. updateFo nu fi x gamma
fo) ps fo"

partial_function (option) mkFollowMap' :: "('n, 't) grammar  $\Rightarrow$  'n set
 $\Rightarrow$  ('n, 't) first_map
 $\Rightarrow$  ('n, 't) follow_map  $\Rightarrow$  ('n, 't) follow_map option" where

```

```

"mkFollowMap' g nu fi fo = (let fo' = followPass (prods g) nu fi fo
in
  (if fo = fo' then Some fo else mkFollowMap' g nu fi fo'))"

abbreviation initial_fo :: "('n, 't) grammar ⇒ ('n, 't) follow_map" where
  "initial_fo g ≡ fmupd (start g) [EOF] fmempty"

definition mkFollowMap :: "('n, 't) grammar ⇒ 'n set ⇒ ('n, 't) first_map
⇒ ('n, 't) follow_map" where
  "mkFollowMap g nu fi = the (mkFollowMap' g nu fi (initial_fo g))"

```

5.1 Termination

```

fun ntsOfGamma :: "('n, 't) rhs ⇒ 'n set" where
  "ntsOfGamma [] = {}"
| "ntsOfGamma ((T _)#gamma') = ntsOfGamma gamma'"
| "ntsOfGamma ((NT x)#gamma') = insert x (ntsOfGamma gamma)'"

definition ntsOf :: "('n, 't) grammar ⇒ 'n set" where
  "ntsOf g = {start g} ∪ fst ` set (prods g) ∪ ⋃ (ntsOfGamma ` snd ` set
(prods g))"

fun lookaheadsOfGamma :: "('n, 't) rhs ⇒ 't lookahead set" where
  "lookaheadsOfGamma [] = {}"
| "lookaheadsOfGamma ((T x)#gamma') = insert (LA x) (lookaheadsOfGamma
gamma) "
| "lookaheadsOfGamma ((NT _)#gamma') = lookaheadsOfGamma gamma'"

definition lookaheadsOf :: "('n, 't) grammar ⇒ 't lookahead set" where
  "lookaheadsOf g = {EOF} ∪ ⋃ (lookaheadsOfGamma ` snd ` set (prods g))"

definition all_pairs_are_follow_candidates ::
  "('n, 't) follow_map ⇒ ('n, 't) grammar ⇒ bool" where
  "all_pairs_are_follow_candidates fo g =
  (∀ (x, la) ∈ pairsOf fo. x ∈ ntsOf g ∧ la ∈ lookaheadsOf g)"

definition countFollowCands :: "('n, 't) grammar ⇒ ('n, 't) follow_map
⇒ nat" where
  "countFollowCands g fo =
  (let allCandidates = (ntsOf g) × (lookaheadsOf g) in card (allCandidates
- (pairsOf fo)))"

```

```

lemma followPass_cons[simp]:
  "followPass ((x, gamma) # ps) nu fi fo = updateFo nu fi x gamma (followPass
ps nu fi fo)"
  ⟨proof⟩

```

```

lemma medial_t_in_lookaheadsOf:

```

```

"(x, gpre @ (T y) # gsuf) ∈ set (prods g) ⇒ (LA y) ∈ lookaheadsOf
g"
⟨proof⟩

lemma first_sym_in_lookaheadsOf: "first_sym g la s ⇒ s = NT x ⇒
la ∈ lookaheadsOf g"
⟨proof⟩

lemma first_map_la_in_lookaheadsOf:
"first_map_for fi g ⇒ fmlookup fi x = Some s ⇒ la ∈ set s ⇒ la
∈ lookaheadsOf g"
⟨proof⟩

lemma in_firstGamma_in_lookaheadsOf:
"first_map_for fi g ⇒ (x, gpre @ gsuf) ∈ set (prods g) ⇒ la ∈ set
(firstGamma gsuf nu fi)
⇒ la ∈ lookaheadsOf g"
⟨proof⟩

lemma la_in_fo_in_lookaheadsOf: "fmlookup fo x = Some xFollow ⇒ la
∈ set xFollow
⇒ all_pairs_are_follow_candidates fo g ⇒ la ∈ lookaheadsOf g"
⟨proof⟩

lemma medial_nt_in_ntsOfGamma: "x ∈ ntsOfGamma (gpre @ (NT x) # gsuf)"
⟨proof⟩

lemma medial_nt_in_ntsOf: "(lx, gpre @ (NT rx) # gsuf) ∈ set (prods
g) ⇒ rx ∈ (ntsOf g)"
⟨proof⟩

lemma updateFo_induct_refined:
fixes nu :: "'n set"
and lx :: "'n"
and gamma' :: "('n, 't) symbol list"
and fi :: "('n, 't) first_map"
and fo :: "('n, 't) follow_map"
and P :: "'n set ⇒ ('n, 't) first_map ⇒ 'n ⇒ ('n, 't) symbol list
⇒ ('n, 't) follow_map
⇒ bool"
defines "additions ≡ (λnu fi lx gamma' fo. (if nullableGamma gamma'
nu
then findOrElseEmpty lx (updateFo nu fi lx gamma' fo) @@ (firstGamma
gamma' nu fi)
else firstGamma gamma' nu fi))"
assumes Nil: "(∧nu fi lx fo. P nu fi lx [] fo)"
and T: "(∧nu fi lx y gamma' fo. P nu fi lx gamma' fo ⇒ P nu fi
lx (T y # gamma') fo)"
and NT_None_same: "(∧nu fi lx rx gamma' fo. P nu fi lx gamma' fo

```

```

    ⇒ fmlookup (updateFo nu fi lx gamma' fo) rx = None ⇒ additions
nu fi lx gamma' fo = []
    ⇒ P nu fi lx (NT rx # gamma') fo)"
    and NT_None_new: "(∧ nu fi lx rx gamma' fo. P nu fi lx gamma' fo
    ⇒ fmlookup (updateFo nu fi lx gamma' fo) rx = None ⇒ additions
nu fi lx gamma' fo ≠ []
    ⇒ P nu fi lx (NT rx # gamma') fo)"
    and NT_Some_same: "(∧ nu fi lx rx gamma' fo rxFollow. P nu fi lx gamma'
fo
    ⇒ fmlookup (updateFo nu fi lx gamma' fo) rx = Some rxFollow
    ⇒ set (additions nu fi lx gamma' fo) ⊆ set rxFollow ⇒ P nu
fi lx (NT rx # gamma') fo)"
    and NT_Some_new: "(∧ nu fi lx rx gamma' fo rxFollow. P nu fi lx gamma'
fo
    ⇒ fmlookup (updateFo nu fi lx gamma' fo) rx = Some rxFollow
    ⇒ ¬ set (additions nu fi lx gamma' fo) ⊆ set rxFollow ⇒ P nu
fi lx (NT rx # gamma') fo)"
    shows "P (nu::'n set) fi lx (gamma :: ('n, 't) symbol list) fo"
    ⟨proof⟩

```

```

lemma updateFo_preserves_apac_fmupd_additions: assumes "first_map_for
fi g"
    and "all_pairs_are_follow_candidates (updateFo nu fi lx gamma' fo) g"
    and "(lx, gpre @ NT rx # gamma') ∈ set (prods g)"
    and "la ∈ set (if nullableGamma gamma' nu then (findOrElse lx (updateFo
nu fi lx gamma' fo))
    @@ (firstGamma gamma' nu fi) else firstGamma gamma' nu fi)"
    shows "rx ∈ ntsOf g ∧ la ∈ lookaheadsOf g"
    ⟨proof⟩

```

```

lemma updateFo_preserves_apac:
    "first_map_for fi g ⇒ (lx, gpre @ gsuf) ∈ set (prods g)
    ⇒ all_pairs_are_follow_candidates fo g
    ⇒ all_pairs_are_follow_candidates (updateFo nu fi lx gsuf fo) g"
    ⟨proof⟩

```

```

lemma followPass_preserves_apac': "first_map_for fi g ⇒ pre @ suf
= (prods g)
    ⇒ all_pairs_are_follow_candidates fo g
    ⇒ all_pairs_are_follow_candidates (followPass suf nu fi fo) g"
    ⟨proof⟩

```

```

lemma followPass_preserves_apac: "first_map_for fi g ⇒ all_pairs_are_follow_candidates
fo g
    ⇒ all_pairs_are_follow_candidates (followPass (prods g) nu fi fo)
g"
    ⟨proof⟩

```

```

lemma updateFo_subset: "pairsOf fo ⊆ pairsOf (updateFo nu fi x' gamma

```

fo)"
<proof>

lemma followPass_subset: "pairsOf fo \subseteq pairsOf (followPass ps nu fi fo)"
<proof>

lemma updateFo_not_equiv_exists': "first_map_for fi g \implies (lx, gpre @ gsuf) \in set (prods g)
 \implies all_pairs_are_follow_candidates fo g
 \implies fo \neq (updateFo nu fi lx gsuf fo)
 $\implies \exists x' \text{ la. } x' \in \text{ntsOf } g \wedge \text{la} \in \text{lookaheadsOf } g \wedge (x', \text{la}) \notin \text{pairsOf fo}$
 $\wedge (x', \text{la}) \in \text{pairsOf (updateFo nu fi lx gsuf fo)}$ "
<proof>

lemma updateFo_not_equiv_exists: "first_map_for fi g \implies (lx, gamma) \in set (prods g)
 \implies all_pairs_are_follow_candidates fo g
 \implies fo \neq (updateFo nu fi lx gamma fo)
 $\implies \exists x' \text{ la. } x' \in \text{ntsOf } g \wedge \text{la} \in \text{lookaheadsOf } g \wedge (x', \text{la}) \notin \text{pairsOf fo}$
 $\wedge (x', \text{la}) \in \text{pairsOf (updateFo nu fi lx gamma fo)}$ "
<proof>

lemma followPass_equiv_or_exists': "first_map_for fi g \implies all_pairs_are_follow_candidates fo g
 \implies pre @ suf = (prods g) \implies fo \neq (followPass suf nu fi fo)
 $\implies (\exists x \text{ la. } x \in (\text{ntsOf } g) \wedge \text{la} \in (\text{lookaheadsOf } g) \wedge (x, \text{la}) \notin (\text{pairsOf fo})$
 $\wedge (x, \text{la}) \in (\text{pairsOf (followPass suf nu fi fo)}))$ "
<proof>

lemma followPass_not_equiv_exists: "first_map_for fi g \implies all_pairs_are_follow_candidates fo g
 \implies fo \neq followPass (prods g) nu fi fo $\implies \exists x \text{ la. } x \in \text{ntsOf } g \wedge \text{la} \in \text{lookaheadsOf } g$
 $\wedge (x, \text{la}) \notin \text{pairsOf fo} \wedge (x, \text{la}) \in \text{pairsOf (followPass (prods g) nu fi fo)}$ "
<proof>

lemma finite_ntsOfGamma: "finite (ntsOfGamma gamma)"
<proof>

lemma finite_ntsOf: "finite (ntsOf g)"
<proof>

lemma finite_lookaheadsOfGamma: "finite (lookaheadsOfGamma gamma)"
<proof>

lemma *finite_lookaheadsOf*: "finite (lookaheadsOf g)"
 ⟨proof⟩

lemma *finite_allCandidates_follow*: "finite (ntsOf g × lookaheadsOf g)"
 ⟨proof⟩

lemma *followPass_not_equiv_candidates_lt*:
 "first_map_for fi g \implies all_pairs_are_follow_candidates fo g
 \implies fo \neq (followPass (prods g) nu fi fo)
 \implies countFollowCands g (followPass (prods g) nu fi fo) < countFollowCands
 g fo"
 ⟨proof⟩

Termination proof for mkFollowMap' with the assumption that fi is a correct first map, and all_pairs_are_follow_candidates holds in the beginning and thus for every other iteration

lemma *mkFollowMap'_dom_if_apac*: "mkFollowMap' g nu fi fo \neq None"
 if "first_map_for fi g" and "all_pairs_are_follow_candidates fo g"
 ⟨proof⟩

lemma *initial_fo_apac*: "all_pairs_are_follow_candidates (initial_fo g)
 g"
 ⟨proof⟩

5.2 Correctness Definitions

definition *follow_map_sound* :: "('n, 't) follow_map \Rightarrow ('n, 't) grammar
 \Rightarrow bool" where
 "follow_map_sound fo g =
 (\forall x la xFollow. fmlookup fo x = Some xFollow \wedge la \in set xFollow \longrightarrow
 follow_sym g la (NT x))"

definition *follow_map_complete* :: "('n, 't) follow_map \Rightarrow ('n, 't) grammar
 \Rightarrow bool" where
 "follow_map_complete fo g = (\forall la s x. follow_sym g la s \wedge s = NT x
 \longrightarrow (\exists xFollow. fmlookup fo x = Some xFollow \wedge la \in set xFollow))"

abbreviation *follow_map_for* :: "('n, 't) follow_map \Rightarrow ('n, 't) grammar
 \Rightarrow bool" where
 "follow_map_for fo g \equiv follow_map_sound fo g \wedge follow_map_complete
 fo g"

5.3 Soundness

lemma *first_gamma_tail_cons*: "nullable_sym g s \implies nullable_gamma g
 gpre \implies first_gamma g la gsuf
 \implies first_gamma g la (gpre @ s # gsuf)"
 ⟨proof⟩

lemma *firstGamma_first_gamma*: "nullable_set_for nu g \implies first_map_for fi g
 \implies la \in set (firstGamma gamma nu fi) \implies first_gamma g la gamma"
 <proof>

lemma *first_gamma_firstGamma*: "nullable_set_for nu g \implies first_map_for fi g
 first_gamma g la gamma \implies la \in set (firstGamma gamma nu fi)"
 <proof>

lemma *updateFo_preserves_soundness'*:
 "nullable_set_for nu g \implies first_map_for fi g \implies (lx, gpre @ gsuf)
 \in set (prods g)
 \implies follow_map_sound fo g \implies follow_map_sound (updateFo nu fi lx gsuf fo) g"
 <proof>

lemma *updateFo_preserves_soundness*: "nullable_set_for nu g \implies first_map_for fi g
 \implies (lx, gamma) \in set (prods g) \implies follow_map_sound fo g
 \implies follow_map_sound (updateFo nu fi lx gamma fo) g"
 <proof>

lemma *followPass_preserves_soundness'*: "nullable_set_for nu g \implies first_map_for fi g
 \implies follow_map_sound fo g \implies pre @ suf = prods g
 \implies follow_map_sound (followPass suf nu fi fo) g"
 <proof>

lemma *followPass_preserves_soundness*: "nullable_set_for nu g \implies first_map_for fi g
 \implies follow_map_sound fo g \implies follow_map_sound (followPass (prods g) nu fi fo) g"
 <proof>

lemma *mkFollowMap'_preserves_soundness*: "nullable_set_for nu g \implies first_map_for fi g
 \implies follow_map_sound fo g \implies all_pairs_are_follow_candidates fo g
 \implies follow_map_sound (the (mkFollowMap' g nu fi fo)) g"
 <proof>

lemma *initial_fo_sound*: "follow_map_sound (initial_fo g) g"
 <proof>

theorem *mkFollowMap_sound*:
 "nullable_set_for nu g \implies first_map_for fi g \implies follow_map_sound (mkFollowMap g nu fi) g"
 <proof>

5.4 Completeness

lemma `updateFo_preserves_map_keys`: "x |∈| fmdom fo \implies x |∈| fmdom (updateFo nu fi lx gamma fo)"
<proof>

lemma `followPass_preserves_map_keys`: "x |∈| fmdom fo \implies x |∈| fmdom (followPass ps nu fi fo)"
<proof>

lemma `find_updateFo_cons_neq`: "x \neq x' \implies fmlookup (updateFo nu fi lx gsuf fo) x = Some xFollow
 \iff fmlookup (updateFo nu fi lx (NT x' # gsuf) fo) x = Some xFollow"
<proof>

lemma `updateFo_value_subset`:
"fmlookup fo x = Some s1 \implies fmlookup (updateFo nu fi lx gamma fo) x = Some s2
 \implies set s1 \subseteq set s2"
<proof>

lemma `updateFo_only_appends`:
"fmlookup fo x = Some s1 \implies fmlookup (updateFo nu fi lx gamma fo) x = Some s2
 \implies \exists suf. s2 = s1 @ suf"
<proof>

lemma `followPass_value_subset`:
"fmlookup fo x = Some s1 \implies fmlookup (followPass ps nu fi fo) x = Some s2 \implies set s1 \subseteq set s2"
<proof>

lemma `followPass_only_appends`: "fmlookup fo x = Some s1
 \implies fmlookup (followPass ps nu fi fo) x = Some s2 \implies \exists suf. s2 = s1 @ suf"
<proof>

lemma `followPass_equiv_cons_tl`: "fo = followPass ((x, gamma) # ps) nu fi fo
 \implies fo = followPass ps nu fi fo"
<proof>

lemma `exists_follow_set_Cons`:
assumes "nullable_set_for nu g" "first_map_for fi g"
and " \exists rxFollow. fmlookup (updateFo nu fi lx gamma fo) rx = Some rxFollow
 \wedge la \in set rxFollow"
shows " \exists rxFollow. fmlookup (updateFo nu fi lx (s # gamma) fo) rx = Some rxFollow
 \wedge la \in set rxFollow"

<proof>

lemma *exists_follow_set_containing_first_gamma:*

"nullable_set_for nu g \implies first_map_for fi g \implies first_gamma g la
gsuf
 \implies (\exists rxFollow. fmlookup (updateFo nu fi lx (gpre @ NT rx # gsuf) fo)
rx = Some rxFollow
 \wedge la \in set rxFollow)"

<proof>

lemma *followPass_equiv_right:* "nullable_set_for nu g \implies first_map_for
fi g

\implies fo = followPass psuf nu fi fo \implies (lx, gpre @ NT rx # gsuf) \in set
psuf

\implies first_gamma g la gsuf \implies ppre @ psuf = prods g

\implies (\exists rxFollow. fmlookup fo rx = Some rxFollow \wedge la \in set rxFollow)"

<proof>

lemma *nullable_gamma_nullableGamma:*

"nullable_set_for nu g \implies nullable_gamma g gamma \implies nullableGamma
gamma nu"

<proof>

lemma *updateFo_preserves_membership_in_value:*

"fmlookup fo x = Some s \implies la \in set s \implies la \in set (findOrElse x
(updateFo nu fi x' gamma fo))"

<proof>

lemma *exists_follow_set_containing_follow_left:* "nullable_set_for nu
g \implies first_map_for fi g

\implies nullable_gamma g gsuf \implies fmlookup fo lx = Some lxFollow \implies la
 \in set lxFollow

\implies (\exists rxFollow. fmlookup (updateFo nu fi lx (gpre @ NT rx # gsuf) fo)
rx = Some rxFollow

\wedge la \in set rxFollow)"

<proof>

lemma *followPass_equiv_left:* "nullable_set_for nu g \implies first_map_for
fi g

\implies fo = followPass psuf nu fi fo \implies (lx, gpre @ NT rx # gsuf) \in set
psuf

\implies ppre @ psuf = prods g \implies nullable_gamma g gsuf \implies fmlookup fo
lx = Some lxFollow

\implies la \in set lxFollow \implies (\exists rxFollow. fmlookup fo rx = Some rxFollow
 \wedge la \in set rxFollow)"

<proof>

lemma *followPass_equiv_complete:* "nullable_set_for nu g \implies first_map_for
fi g

```

    => (start g, EOF) ∈ pairsOf fo => fo = followPass (prods g) nu fi
fo
    => follow_map_complete fo g"
⟨proof⟩

```

```

lemma mkFollowMap'_complete: "(start g, EOF) ∈ pairsOf fo => nullable_set_for
nu g
    => first_map_for fi g => all_pairs_are_follow_candidates fo g
    => follow_map_complete (the (mkFollowMap' g nu fi fo)) g"
⟨proof⟩

```

```

lemma start_eof_in_initial_fo: "(start g, EOF) ∈ pairsOf (initial_fo
g)"
⟨proof⟩

```

```

theorem mkFollowMap_complete:
"nullable_set_for nu g => first_map_for fi g => follow_map_complete
(mkFollowMap g nu fi) g"
⟨proof⟩

```

```

theorem mkFollowMap_correct:
"nullable_set_for nu g => first_map_for fi g => follow_map_for (mkFollowMap
g nu fi) g"
⟨proof⟩

```

```

declare mkFollowMap'.simps[code]

```

```

end

```

6 Parse Table

```

theory Parse_Table
imports Follow_Map
begin

```

From the (correct) NULLABLE, FIRST, and FOLLOW sets we build a list of parse table entries.

```

type_synonym ('n, 't) table_key = "('n × 't lookahead)"

```

```

type_synonym ('n, 't) parse_table = "((('n × 't lookahead), ('n, 't)
prod) fmap)"

```

```

definition firstKeysForProd ::
"('n, 't) prod => 'n set => ('n, 't) first_map => ('n, 't) table_key
list" where
"firstKeysForProd ≡ (λ(x, gamma) nu fi. map (λla. (x, la)) (firstGamma
gamma nu fi))"

```

```

definition followKeysForProd :: "('n, 't) prod ⇒ 'n set ⇒ ('n, 't) first_map
  ⇒ ('n, 't) follow_map ⇒ ('n, 't) table_key list" where
  "followKeysForProd ≡ (λ(x, gamma) nu fi fo.
    map (λla. (x, la)) (if nullableGamma gamma nu then findOrElse x fo
    else []))"

```

```

abbreviation keysForProd :: "'n set ⇒ ('n, 't) first_map ⇒ ('n, 't)
  follow_map ⇒ ('n, 't) prod
  ⇒ ('n, 't) table_key list" where
  "keysForProd nu fi fo xp ≡ (firstKeysForProd xp nu fi) @ (followKeysForProd
  xp nu fi fo)"

```

```

datatype ('n, 't) ll1_parse_table = PT "('n, 't) parse_table"
  | ERROR_GRAMMAR_NOT_LL1_AMB_LA "'t lookahead × ('n, 't) prod × ('n,
  't) prod"

```

```

fun addEntries :: "('n × 't lookahead) list ⇒ ('n, 't) prod ⇒ ('n,
  't) ll1_parse_table
  ⇒ ('n, 't) ll1_parse_table" where
  "addEntries (k # keys) xp (PT pt) = (case fmlookup pt k of
    None ⇒ addEntries keys xp (PT (fmupd k xp pt))
    | Some xp' ⇒ (if xp = xp' then addEntries keys xp (PT pt)
    else ERROR_GRAMMAR_NOT_LL1_AMB_LA (snd k, xp, xp')))"
  | "addEntries keys xp pt = pt"

```

```

fun mkParseTable' :: "('n, 't) prods ⇒ 'n set ⇒ ('n, 't) first_map ⇒
  ('n, 't) follow_map
  ⇒ ('n, 't) ll1_parse_table ⇒ ('n, 't) ll1_parse_table" where
  "mkParseTable' [] nu fi fo pt = pt"
  | "mkParseTable' (p # ps) nu fi fo pt = (let las = keysForProd nu fi fo
  p in
    mkParseTable' ps nu fi fo (addEntries las p pt))"

```

```

definition mkParseTable :: "('n, 't) grammar ⇒ ('n, 't) ll1_parse_table"
where
  "mkParseTable g = (let
    nu = mkNullableSet g;
    fi = mkFirstMap g nu;
    fo = mkFollowMap g nu fi
  in mkParseTable' (prods g) nu fi fo (PT fmempty))"

```

6.1 Correctness Definitions

```

definition pt_sound :: "('n, 't) parse_table ⇒ ('n, 't) grammar ⇒ bool"
where
  "pt_sound pt g ≡ (∀x x' la gamma. fmlookup pt (x', la) = Some (x, gamma)
  → x' = x ∧ (x, gamma) ∈ set (prods g) ∧ lookahead_for la x gamma
  g)"

```

definition `pt_complete` :: `('n, 't) parse_table ⇒ ('n, 't) grammar ⇒ bool` where
`"pt_complete pt g ≡ (∀ x la gamma. (x, gamma) ∈ set (prods g) ∧ lookahead_for la x gamma g`
`→ fmlookup pt (x, la) = Some (x, gamma))"`

abbreviation `parse_table_correct` :: `('n, 't) parse_table ⇒ ('n, 't) grammar ⇒ bool` where
`"parse_table_correct pt g ≡ pt_sound pt g ∧ pt_complete pt g"`

6.2 Soundness

lemma `firstKeysForProd_lookaheads`:
`assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for fo g"`
`"(x, la) ∈ set (firstKeysForProd (y, gamma) nu fi)"`
`shows "x = y ∧ lookahead_for la x gamma g"`
`<proof>`

lemma `followKeysForProd_lookaheads`:
`assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for fo g"`
`"(x, la) ∈ set (followKeysForProd (y, gamma) nu fi fo)"`
`shows "x = y ∧ lookahead_for la x gamma g"`
`<proof>`

lemma `keys_are_lookaheads`:
`assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for fo g"`
`"(x, la) ∈ set (keysForProd nu fi fo (y, gamma))"`
`shows "x = y ∧ lookahead_for la y gamma g"`
`<proof>`

lemma `findOrEmpty_sset_laOf_fi`: `"first_map_for fi g ⇒ set (findOrEmpty x fi) ⊆ lookaheadsOf g"`
`<proof>`

lemma `follow_sym_in_lookaheadsOf`:
`"follow_sym g la (NT x) ⇒ la ∈ lookaheadsOf g"`
`<proof>`

lemma `follow_map_la_in_lookaheadsOf`:
`"follow_map_for fo g ⇒ fmlookup fo x = Some s ⇒ la ∈ set s ⇒ la ∈ lookaheadsOf g"`
`<proof>`

lemma `findOrEmpty_sset_laOf_fo`: `"follow_map_for fo g ⇒ set (findOrEmpty x fo) ⊆ lookaheadsOf g"`
`<proof>`

```

lemma addEntries_preserves_soundness:
  assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for
fo g" "p ∈ set (prods g)"
  shows "pt_sound pt g ⇒ set las ⊆ set (keysForProd nu fi fo p)
⇒ addEntries las p (PT pt) = PT pt' ⇒ pt_sound pt' g"
⟨proof⟩

lemma mkParseTable'_nested: "mkParseTable' suf nu fi fo (mkParseTable'
pre nu fi fo pt)
= mkParseTable' (pre @ suf) nu fi fo pt"
⟨proof⟩

lemma mkParseTable'_failure_preserved:
  "mkParseTable' pre nu fi fo pt = ERROR_GRAMMAR_NOT_LL1_AMB_LA e
⇒ mkParseTable' (pre @ suf) nu fi fo pt = ERROR_GRAMMAR_NOT_LL1_AMB_LA
e"
⟨proof⟩

lemma all_pre_pt_non_failure:
  "mkParseTable' (pre @ suf) nu fi fo (PT pt) = PT pt'
⇒ ∃ pre_pt'. mkParseTable' pre nu fi fo (PT pt) = PT pre_pt'"
⟨proof⟩

lemma mkParseTable'_preserves_soundness:
  assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for
fo g"
  shows "set ps ⊆ set (prods g) ⇒ pt_sound pt g ⇒ mkParseTable'
ps nu fi fo (PT pt) = PT pt'
⇒ pt_sound pt' g"
⟨proof⟩

lemma initial_pt_sound: "pt_sound fmempty g"
⟨proof⟩

theorem mkParseTable_sound: "mkParseTable g = PT pt ⇒ pt_sound pt g"
⟨proof⟩

```

6.3 Completeness

```

lemma la_in_keysForProd:
  assumes "nullable_set_for nu g" "first_map_for fi g" "follow_map_for
fo g"
  "lookahead_for la x gamma g"
  shows "(x, la) ∈ set (keysForProd nu fi fo (x, gamma))"
⟨proof⟩

lemma addEntries_lookup_same_or_none: "addEntries las xp (PT pt) = PT
pt' ⇒ fmllookup pt k = Some x"

```

$\implies \text{fmlookup pt}' k = \text{Some } x$
<proof>

lemma *mkParseTable'_lookup_same_or_none*: "mkParseTable' ps nu fi fo (PT pt) = PT pt'
 $\implies \text{fmlookup pt } k = \text{Some } x \implies \text{fmlookup pt}' k = \text{Some } x$ "
<proof>

lemma *addEntries_in_pt*:
"k \in set las \implies addEntries las xp (PT pt) = PT pt' \implies fmlookup pt' k = Some xp"
<proof>

lemma *mkParseTable'_complete'*: "nullable_set_for nu g \implies first_map_for fi g
 \implies follow_map_for fo g \implies prods g = ppre @ psuf \implies (x, gamma) \in set psuf
 \implies lookahead_for la x gamma g \implies mkParseTable' psuf nu fi fo (PT pt) = PT pt'
 \implies fmlookup pt' (x, la) = Some (x, gamma)"
<proof>

lemma *mkParseTable'_complete*: "nullable_set_for nu g \implies first_map_for fi g \implies follow_map_for fo g
 \implies (x, gamma) \in set (prods g) \implies lookahead_for la x gamma g
 \implies mkParseTable' (prods g) nu fi fo (PT fmempty) = PT pt
 \implies fmlookup pt (x, la) = Some (x, gamma)"
<proof>

theorem *mkParseTable_complete*: "mkParseTable g = PT pt \implies pt_complete pt g"
<proof>

theorem *mkParseTable_correct*: "mkParseTable g = PT pt \implies parse_table_correct pt g"
<proof>

end

7 Parser

theory *LL1_Parser*
imports *Parse_Table*
begin

datatype ('n, 't) *parse_tree* = Node "'n" "('n, 't) *parse_tree* list" | Leaf "'t"

```

datatype ('n, 't, 's) return_type = RESULT "('n, 't × 's) parse_tree"
  "('t × 's) list"
  | ERROR "string" "'n" "('t × 's) list"
  | GRAMMAR_NOT_LL1 "string" "'t lookahead"
  | REJECT "string" "('t × 's) list"

fun peek :: "('t × 's) list ⇒ 't lookahead" where
  "peek [] = EOF"
| "peek (t#ts) = LA (fst t)"

locale parse =
  fixes showT :: "'t ⇒ string" and showS :: "'s ⇒ string"
begin

definition mismatchMessage :: "'t ⇒ 't × 's ⇒ string" where
  "mismatchMessage a ≡ λ(a', s).
  ''Token mismatch. Expected '' @ showT a @ '', saw '' @ showT a' @ ''
  ('' @ showS s @ '')''"

function (domintros) parseSymbol ::
  "('n, 't) parse_table ⇒ ('n, 't) symbol ⇒ ('t × 's) list ⇒ 'n fset
  ⇒ ('n, 't, 's) return_type"
and
  parseGamma ::
  "('n, 't) parse_table ⇒ 'n ⇒ ('n, 't) symbol list ⇒ ('t × 's) list
  ⇒ 'n fset
  ⇒ ('n, 't, 's) return_type"
where
  "parseSymbol _ (T a) [] _ = REJECT ''input exhausted'' []"
| "parseSymbol pt (T a) (t#ts) vis = (if fst t = a then RESULT (Leaf
t) ts
  else REJECT (mismatchMessage a t) (t#ts))"
| "parseSymbol pt (NT x) ts vis = (if x |∈| vis then ERROR ''left recursion
detected'' x ts
  else (case fmlookup pt (x, peek ts) of
    None ⇒ REJECT ''lookup failure'' ts
    | Some (x', gamma) ⇒ (if x ≠ x' then ERROR ''malformed parse table''
x ts
  else parseGamma pt x gamma ts (finsert x vis)
  )))"
| "parseGamma pt n [] ts vis = RESULT (Node n []) ts"
| "parseGamma pt n (s#gamma') ts vis = (let parse_s = parseSymbol pt
s ts vis in
  (case parse_s of
    RESULT t r ⇒
      (let parse_g = parseGamma pt n gamma' r (if length r < length
ts then {} else vis) in

```

```

      (case parse_g of
        RESULT (Node n t1s) r' ⇒ RESULT (Node n (t # t1s)) r'
        | e ⇒ e))
    | e ⇒ e))"
⟨proof⟩

definition nt_from_pt :: "('n, 't) parse_table ⇒ 'n fset" where
  "nt_from_pt pt = fst |' | fmdom pt"

definition parse_ind_meas_sym ::
  "('n, 't) parse_table ⇒ ('n, 't) symbol ⇒ ('t × 's) list ⇒ 'n fset
  ⇒ nat × nat × nat" where
  "parse_ind_meas_sym pt s ts vis = (length ts, fcard (nt_from_pt pt
  |-| vis), 0)"

definition parse_ind_meas_sym_list ::
  "('n, 't) parse_table ⇒ ('n, 't) symbol list ⇒ ('t × 's) list ⇒
  'n fset ⇒ nat × nat × nat"
  where
  "parse_ind_meas_sym_list pt ss ts vis = (length ts, fcard (nt_from_pt
  pt |-| vis), length ss + 1)"

definition parse_ind_meas :: "('n, 't) parse_table ⇒ ('n, 't) symbol +
  ('n, 't) symbol list ⇒
  ('t × 's) list ⇒ 'n fset ⇒ nat × nat × nat" where
  "parse_ind_meas pt ss ts vis = (length ts, fcard (nt_from_pt pt |-|
  vis),
  (case ss of Inl ss' ⇒ 0 | Inr ss' ⇒ length ss' + 1))"

definition lex_triple ::
  "('a × 'a) set ⇒ ('b × 'b) set ⇒ ('c × 'c) set ⇒ (('a × 'b ×
  'c) × ('a × 'b × 'c)) set"
  where "lex_triple ra rb rc = ra <*lex*> (rb <*lex*> rc)"

lemma in_lex_triple[simp]: "((a, b, c), (a', b', c')) ∈ lex_triple r
  s t
  ⟷ (a, a') ∈ r ∨ a = a' ∧ (b, b') ∈ s ∨ a = a' ∧ b = b' ∧ (c,
  c') ∈ t"
  ⟨proof⟩

lemma wf_lex_triple[intro!]:
  assumes "wf ra" "wf rb" "wf rc"
  shows "wf (lex_triple ra rb rc)"
  ⟨proof⟩

definition mlex_triple :: "('a ⇒ nat × nat × nat) ⇒ ('a × 'a) set"
  where
  "mlex_triple f = inv_image (lex_triple less_than less_than less_than)
  f"

```

```

lemma parseSymbol_length_bound_partial:
  "parseSymbol_parseGamma_dom (Inl (pt, s, ts, vis))
  ⇒ (∧tr r. parseSymbol pt s ts vis = RESULT tr r ⇒ length r ≤ length
  ts)" and
  parseGamma_length_bound_partial:
  "parseSymbol_parseGamma_dom (Inr (pt, n, gamma, ts, vis))
  ⇒ (∧tr r. parseGamma pt n gamma ts vis = RESULT tr r ⇒ length r
  ≤ length ts)"
⟨proof⟩

lemma fcard_diff_insert_less:
  assumes "x ∉ vis" "fmlookup pt (x,peek ts) = Some (x,ss)"
  shows "fcard (nt_from_pt pt - (finsert x vis)) < fcard (nt_from_pt pt
  - vis)"
⟨proof⟩

termination
⟨proof⟩

fun parse ::
  "('n, 't) ll1_parse_table ⇒ ('n, 't) symbol ⇒ ('t × 's) list ⇒ ('n,
  't, 's) return_type"
where
  "parse (PT pt) s ts = parseSymbol pt s ts {||}"
| "parse (ERROR_GRAMMAR_NOT_LL1_AMB_LA l) s ts =
  (case l of (a, p1, p) ⇒ GRAMMAR_NOT_LL1 ''Grammar not LL1, ambiguous
  lookahead '' a)"

fun concatWithSep :: "string ⇒ string ⇒ string" where
  "concatWithSep [] [] = []"
| "concatWithSep [] acc = acc"
| "concatWithSep s [] = s"
| "concatWithSep s (c # acc) = (if c = CHR '' '' then s @ c # acc else
  s @ '' '' @ c # acc)"

fun parseTreeToString :: "('n, 't × 's) parse_tree ⇒ string" where
  "parseTreeToString (Leaf (a, s)) = ''('' @ showT a @ '', '' @ showS
  s @ '')''"
| "parseTreeToString (Node n ls) = foldr concatWithSep (map parseTreeToString
  ls) ''''''

fun parseToString ::
  "('n, 't) ll1_parse_table ⇒ ('n, 't) symbol ⇒ ('t × 's) list ⇒ string"
where
  "parseToString (PT pt) s ts = (case parseSymbol pt s ts {||} of
  RESULT t [] ⇒ parseTreeToString t)"
| "parseToString (ERROR_GRAMMAR_NOT_LL1_AMB_LA l) s ts = ''Grammar not
  LL1, ambiguous lookahead '' @

```

(case l of (a, p1, p) ⇒ (case a of LA t ⇒ showT t | EOF ⇒ ''EOF''))"

7.1 Soundness

```

inductive sym_derives_prefix ::
  "('n, 't) grammar ⇒ ('n, 't) symbol ⇒ ('t × 's) list
  ⇒ ('n, 't × 's) parse_tree ⇒ ('t × 's) list ⇒ bool"
  and gamma_derives_prefix :: "('n, 't) grammar ⇒ 'n ⇒ ('n, 't) symbol
  list ⇒ ('t × 's) list
  ⇒ ('n, 't × 's) parse_tree ⇒ ('t × 's) list ⇒ bool" for g
where
  T_sdp: "sym_derives_prefix g (T a) [(a, s)] (Leaf (a, s)) r"
| NT_sdp: "(x, gamma) ∈ set (prods g) ⇒ lookahead_for (peek (w @ r))
x gamma g
  ⇒ gamma_derives_prefix g x gamma w t r ⇒ sym_derives_prefix g
(NT x) w t r"
| Nil_gdp: "gamma_derives_prefix g x [] [] (Node x []) r"
| Cons_gdp: "sym_derives_prefix g s wpre v (wsuf @ r)
  ⇒ gamma_derives_prefix g n ss wsuf (Node n vs) r
  ⇒ gamma_derives_prefix g n (s#ss) (wpre @ wsuf) (Node n (v # vs))
r"

```

lemma parseSymbol_ts_contains_remainder:

" $\bigwedge t r.$ parseSymbol pt s ts vis = RESULT t r $\implies \exists ts'. ts' @ r = ts$ "

and

parseGamma_ts_contains_remainder:

" $\bigwedge t r.$ parseGamma pt n gamma ts vis = RESULT t r $\implies \exists ts'. ts' @ r = ts$ "

<proof>

lemma parse_meas_induct:

assumes " $\bigwedge y. (\bigwedge x. ((x,y) \in \text{mlex_triple } (\lambda x. \text{case } x \text{ of}$
 Inl (pt, s, ts, vis) \implies parse_ind_meas_sym pt s ts vis
 | Inr (pt, x, ss, ts, vis) \implies parse_ind_meas_sym_list pt ss ts vis)
 $\implies P x)$ $\implies P y$ "

shows "P z"

<proof>

lemma parseGamma_node: "parseSymbol pt s ts vis = RESULT v r \implies True"

"parseGamma pt x gamma ts vis = RESULT v r $\implies \exists ls. v = \text{Node } x \text{ } ls$ "

<proof>

lemma parseSymbol_parseGamma_sound: "case x of Inl (pt, s, ts, vis) \implies
 parse_table_correct pt g

\longrightarrow parseSymbol pt s ts vis = RESULT v r $\longrightarrow (\exists w. w @ r = ts \wedge \text{sym_derives_prefix } g \text{ } s \text{ } w \text{ } v \text{ } r)$

| Inr (pt, n, gamma, ts, vis) \implies parse_table_correct pt g

\longrightarrow (parseGamma pt n gamma ts vis = RESULT v r

$\longrightarrow (\exists w. w @ r = ts \wedge \text{gamma_derives_prefix } g \text{ } n \text{ } gamma \text{ } w \text{ } v \text{ } r))$ "

<proof>

theorem parse_sound: "parse_table_correct pt g \implies parse (PT pt) s (w @ r) = RESULT v r
 \implies sym_derives_prefix g s w v r"
<proof>

7.2 Completeness

lemma parseSymbol_parseGamma_complete_or_error:
assumes "parse_table_correct pt g"
shows "sym_derives_prefix g s w v r
 \implies (\forall vis. (\exists m x ts'. parseSymbol pt s (w @ r) vis = ERROR m x ts')
 \vee (parseSymbol pt s (w @ r) vis = RESULT v r))"
and "gamma_derives_prefix g y ss w v r
 \implies (\forall vis. (\exists m x ts'. parseGamma pt y ss (w @ r) vis = ERROR m x
ts')
 \vee (parseGamma pt y ss (w @ r) vis = RESULT v r))"
<proof>

lemma parse_complete_or_error: "parse_table_correct pt g \implies sym_derives_prefix
g s w v r
 \implies \exists m x ts'. parse (PT pt) s (w @ r) = ERROR m x ts'
 \vee (parse (PT pt) s (w @ r) = RESULT v r)"
<proof>

7.3 Error-free Termination

inductive sized_first_sym :: "('n, 't) grammar \Rightarrow 't lookahead \Rightarrow ('n,
't) symbol \Rightarrow nat \Rightarrow bool"
for g where
SzFirstT: "sized_first_sym g (LA y) (T y) 0"
| SzFirstNT: "(x, gpre @ s # gsuf) \in set (prods g) \implies nullable_gamma
g gpre
 \implies sized_first_sym g la s n \implies sized_first_sym g la (NT x) (Suc
n)"

lemma first_sym_exists_size: "first_sym g la s \implies \exists n. sized_first_sym
g la s n"
<proof>

lemma sized_fs_fs: "sized_first_sym g la s n \implies first_sym g la s"
<proof>

lemma medial : "pre @ s # suf = pre' @ s' # suf'
 \implies s \in set pre' \vee s' \in set pre \vee pre = pre' \wedge s = s' \wedge suf = suf'"
<proof>

lemma nullable_sym_in: "nullable_gamma g gamma \implies s \in set gamma \implies
nullable_sym g s"

<proof>

lemma nullable_split: "nullable_gamma g (xs @ ys) \implies nullable_gamma g ys"
<proof>

lemma first_gamma_split:
"first_gamma g la ys \implies nullable_gamma g xs \implies first_gamma g la (xs @ ys)"
<proof>

lemma follow_pre: "(x, pre @ suf) \in set (prods g) \implies s \in set pre \implies nullable_gamma g pre \implies first_gamma g la suf \implies follow_sym g la s"
<proof>

lemma no_first_follow_conflicts:
assumes "parse_table_correct tbl g"
shows "first_sym g la s \implies nullable_sym g s \implies \neg follow_sym g la s"
<proof>

lemma first_sym_rhs_eqs: "parse_table_correct t g \implies (x, pre @ s # suf) \in set (prods g) \implies (x, pre' @ s' # suf') \in set (prods g) \implies nullable_gamma g pre \implies nullable_gamma g pre' \implies first_sym g la s \implies first_sym g la s' \implies pre = pre' \wedge s = s' \wedge suf = suf'"
<proof>

lemma sized_first_sym_det:
assumes "parse_table_correct t g"
shows "sized_first_sym g la s n \implies ($\forall n'$. s = s' \longrightarrow sized_first_sym g la s' n' \longrightarrow n = n')"
<proof>

lemma sized_first_sym_np: "nullable_path g la x y \implies first_sym g la y \implies $\exists nx ny$. sized_first_sym g la x nx \wedge sized_first_sym g la y ny \wedge ny < nx"
<proof>

inductive sized_nullable_sym :: "('n, 't) grammar \Rightarrow ('n, 't) symbol \Rightarrow nat \Rightarrow bool"
and sized_nullable_gamma :: "('n, 't) grammar \Rightarrow ('n, 't) symbol list \Rightarrow nat \Rightarrow bool" for g where
SzNullableSym: "(x, gamma) \in set (prods g) \implies sized_nullable_gamma g gamma n \implies sized_nullable_sym g (NT x) (Suc n)"

```

| SzNullableNil: "sized_nullable_gamma g [] 0"
| SzNullableCons: "sized_nullable_sym g s n  $\implies$  sized_nullable_gamma g
ss n'
 $\implies$  sized_nullable_gamma g (s # ss) (n + n')"

lemma sized_ng_ng: "sized_nullable_sym g s n  $\implies$  nullable_sym g s"
"sized_nullable_gamma g gamma n  $\implies$  nullable_gamma g gamma"
<proof>

lemma ng_sized_ng: "nullable_sym g s  $\implies$   $\exists$ n. sized_nullable_sym g s
n"
"nullable_gamma g gamma  $\implies$   $\exists$ n. sized_nullable_gamma g gamma n"
<proof>

lemma sized_nullable_sym_det':
assumes "parse_table_correct pt g"
shows "sized_nullable_sym g s n
 $\implies$  ( $\bigwedge$ n'. follow_sym g la s  $\implies$  sized_nullable_sym g s n'  $\implies$  n =
n')"
and "sized_nullable_gamma g gsuf n  $\implies$  ( $\bigwedge$ x gpre n'. (x, gpre @ gsuf)
 $\in$  set (prods g)
 $\implies$  follow_sym g la (NT x)  $\implies$  sized_nullable_gamma g gsuf n'  $\implies$ 
n = n')"
<proof>

lemma sized_nullable_sym_det:
assumes "parse_table_correct t g"
shows "sized_nullable_sym g s n  $\implies$  follow_sym g la s  $\implies$  sized_nullable_sym
g s n'  $\implies$  n = n'"
<proof>

lemma sym_in_gamma_size_le: "nullable_gamma g gamma  $\implies$  s  $\in$  set gamma
 $\implies$   $\exists$ n n'. sized_nullable_sym g s n  $\wedge$  sized_nullable_gamma g gamma
n'  $\wedge$  n  $\leq$  n'"
<proof>

lemma sized_ns_np:
assumes "(x, pre @ NT y # suf)  $\in$  set (prods g)" "nullable_gamma g (pre
@ NT y # suf)"
"nullable_sym g (NT y)"
shows " $\exists$ nx ny. sized_nullable_sym g (NT x) nx  $\wedge$  sized_nullable_sym
g (NT y) ny  $\wedge$  ny < nx"
<proof>

lemma exist_decreasing_nullable_sym_sizes_in_null_path:
shows "nullable_path g la x y  $\implies$  parse_table_correct t g  $\implies$  nullable_sym
g x
 $\implies$  follow_sym g la x
 $\implies$   $\exists$ nx ny. sized_nullable_sym g x nx  $\wedge$  sized_nullable_sym g y ny"

```

$\wedge ny < nx$ "
{proof}

lemma nullable_path_exists_production: "nullable_path g la (NT x) y
 $\implies \exists \text{gamma}. (x, \text{gamma}) \in \text{set (prods } g) \wedge \text{lookahead_for la } x \text{ gamma } g$ "
{proof}

lemma l11_parse_table_impl_no_left_recursion:
assumes "parse_table_correct tbl (g :: ('n, 't) grammar)"
shows " \neg left_recursive g (NT x) la"
{proof}

lemma input_length_lt_or_nullable_sym: "case x of Inl (pt, s, ts, vis)
 \implies parse_table_correct pt g
 \longrightarrow parseSymbol pt s ts vis = RESULT v r \longrightarrow length r < length ts
 \vee nullable_sym g s
| Inr (pt, x, ss, ts, vis) \implies parse_table_correct pt g \longrightarrow parseGamma
pt x ss ts vis = RESULT v r
 \longrightarrow length r < length ts \vee nullable_gamma g ss"
{proof}

lemma input_length_eq_nullable_sym:
"parse_table_correct tbl g \implies parseSymbol tbl s ts vis = RESULT v ts
 \implies nullable_sym g s"
{proof}

lemma error_conditions: "case y of
Inl (pt, s, ts, vis) \implies parse_table_correct pt g \longrightarrow parseSymbol pt
s ts vis = ERROR m z ts'
 $\longrightarrow ((z \in | \text{vis} \wedge (s = \text{NT } z \vee \text{nullable_path } g (\text{peek } ts) s (\text{NT } z)))$
 $\vee (\exists \text{la}. \text{left_recursive } g (\text{NT } z) \text{ la}))$
| Inr (pt, x, ss, ts, vis) \implies parse_table_correct pt g \longrightarrow
parseGamma pt x ss ts vis = ERROR m z ts' $\longrightarrow (\exists \text{pre } s \text{ suf}. \text{ss} =$
pre @ s # suf
 $\wedge \text{nullable_gamma } g \text{ pre} \wedge z \in | \text{vis} \wedge (s = \text{NT } z \vee \text{nullable_path}$
g (peek ts) s (NT z)))
 $\vee (\exists \text{la}. (\text{left_recursive } g (\text{NT } z) \text{ la}))$ "
{proof}

theorem parse_terminates_without_error:
"parse_table_correct pt g \implies parse (PT pt) s (w @ r) \neq ERROR m x ts'"
{proof}

theorem parse_complete: "parse_table_correct pt g \implies sym_derives_prefix
g s w v r
 \implies parse (PT pt) s (w @ r) = RESULT v r"
{proof}

end

```

declare parse.parseSymbol.simps [code]
declare parse.parseGamma.simps [code]
declare parse.parse.simps [code]
declare parse.parseToString.simps [code]
declare parse.parseTreeToString.simps [code]
declare parse.mismatchMessage_def [code]

end

```

7.4 Interpretation

```

theory LL1_Parser_show
  imports LL1_Parser "Show.Show"
begin

global_interpretation parse_show: parse "show" "show"
  defines parse = parse_show.parse
    and parseToString = parse_show.parseToString
    and parseSymbol = parse_show.parseSymbol
    and parseGamma = parse_show.parseGamma
    and mismatchMessage = parse_show.mismatchMessage
  <proof>

end

```

8 Examples

```

theory Parser_Example
  imports LL1_Parser_show "Show.Show_Instances"
begin

```

In this section we present two examples for LL1-grammars to show how the parser generator can be used to create a parse tree from a sequence of symbols.

8.1 Mini-language

The first example is based on Grammar 3.11 from Appel’s “Modern Compiler Implementation in ML” [1]:

$S \rightarrow \text{if } E \text{ then } S \text{ else } S \mid \text{begin } S \text{ L} \mid \text{print } E$

$L \rightarrow \text{end} \mid ; S \text{ L}$

$E \rightarrow \text{num} = \text{num}$

```

datatype terminal = If | Then | Else | Begin | Print | End | Semi | Num
  / Eq

```

```

datatype nterminal = S | L | E

```

```

derive "show" "terminal"
derive "show" "nterminal"
derive "show" "(terminal, nterminal) symbol"

```

```

definition gr :: "(nterminal, terminal) grammar" where
  "gr = G S [
    (S, [T If, NT E, T Then, NT S, T Else, NT S]),
    (S, [T Begin, NT S, NT L]),
    (S, [T Print, NT E]),

    (L, [T End]),
    (L, [T Semi, NT S, NT L]),

    (E, [T Num, T Eq, T Num])
  ]"

```

```

definition pt :: "(nterminal, terminal) l11_parse_table" where
  "pt = mkParseTable gr"

```

— We parse lists of pairs of terminal symbols and lexemes. We ignore the latter here.

```

definition L where
  "L x = (x, ())"

```

```

lemma "parse pt (NT (start gr))
  (map L [If, Num, Eq, Num, Then, Print, Num, Eq, Num, Else, Print, Num,
Eq, Num]) =
  RESULT (map_parse_tree id L
    (Node S
      [Leaf If, Node E [Leaf Num, Leaf Eq, Leaf Num], Leaf Then,
        Node S [Leaf Print, Node E [Leaf Num, Leaf Eq, Leaf Num]], Leaf
Else,
        Node S [Leaf Print, Node E [Leaf Num, Leaf Eq, Leaf Num]]]))
  []"
  <proof>

```

Example input:

```

if 2 = 5 then
  print 2 = 5
else
  print 42 = 42

```

```

lemma "parseToString pt (NT (start gr))
  (map L [If, Num, Eq, Num, Then, Print, Num, Eq, Num, Else, Print, Num,
Eq, Num]) =
  ''(If, ()) (Num, ()) (Eq, ()) (Num, ()) (Then, ()) (Print, ()) (Num,
()) (Eq, ()) (Num, ()) ''

```

```
@ ''(Else, ()) (Print, ()) (Num, ()) (Eq, ()) (Num, ())''
⟨proof⟩
```

Example input:

```
if 2 5 then
  print 2 = 5
else
  print 42 = 42
```

```
lemma "parse pt (NT (start gr))
  (map L [If, Num, Num, Then, Print, Num, Eq, Num, Else, Print, Num, Eq,
Num]) =
  REJECT ''Token mismatch. Expected Eq, saw Num (())''
  (map L [Num, Then, Print, Num, Eq, Num, Else, Print, Num, Eq, Num])"
⟨proof⟩
```

end

8.2 Generating a JSON Parser

```
theory Json_Parser
  imports LL1_Parser_show "Show.Show_Instances"
begin

datatype terminal =
  TInt
  | Float
  | Str
  | Tru
  | Fls
  | Null
  | LeftBrace
  | RightBrace
  | LeftBrack
  | RightBrack
  | Colon
  | Comma

datatype nterminal =
  Value
  | Pairs
  | PairsTl
  | Pair
  | Elts
  | EltsTl

derive "show" "terminal"
derive "show" "nterminal"
```

```

derive "show" "(terminal, nterminal) symbol"

definition jsonGrammar :: "(nterminal, terminal) grammar" where
  "jsonGrammar = G Value [
    (Value, [T LeftBrace, NT Pairs, T RightBrace]),
    (Value, [T LeftBrack, NT Elts, T RightBrack]),
    (Value, [T Str]),
    (Value, [T TInt]),
    (Value, [T Float]),
    (Value, [T Tru]),
    (Value, [T Fls]),
    (Value, [T Null]),

    (Pairs, []),
    (Pairs, [NT Pair, NT PairsTl]),

    (PairsTl, []),
    (PairsTl, [T Comma, NT Pair, NT PairsTl]),

    (Pair, [T Str, T Colon, NT Value]),

    (Elts, []),
    (Elts, [NT Value, NT EltsTl]),

    (EltsTl, []),
    (EltsTl, [T Comma, NT Value, NT EltsTl])
  ]"

definition pt :: "(nterminal, terminal) l11_parse_table" where
  "pt = mkParseTable jsonGrammar"

datatype lex =
  LInt (lex_int: int)
  | LFloat
  | LStr (lex_str: string)
  | LNone

derive "show" "lex"

definition
  "mkS x = (x, LNone)"

definition
  "StrS s = (Str, LStr s)"

abbreviation
  "LeftBraceS ≡ mkS LeftBrace"

abbreviation

```

`"RightBraceS ≡ mkS RightBrace"`

abbreviation

`"LeftBrackS ≡ mkS LeftBrack"`

abbreviation

`"RightBrackS ≡ mkS RightBrack"`

abbreviation

`"ColonS ≡ mkS Colon"`

abbreviation

`"CommaS ≡ mkS Comma"`

abbreviation

`"FlsS ≡ mkS Fls"`

abbreviation

`"TruS ≡ mkS Tru"`

definition

`"IntS s = (TInt, LInt s)"`

Example input: {

`"items": []`

}

lemma `"parse pt (NT (start jsonGrammar))`

`[LeftBraceS, StrS ''items'', ColonS, LeftBrackS, RightBrackS, RightBraceS]`

`=`

`RESULT`

`(Node Value`

`[Leaf (mkS LeftBrace),`

`Node Pairs [`

`Node Pair [Leaf (StrS ''items''), Leaf (mkS Colon),`

`Node Value [Leaf (mkS LeftBrack), Node Elts [], Leaf (mkS`

`RightBrack)]],`

`Node PairsTl [],`

`Leaf (mkS RightBrace)])`

`[]"`

`<proof>`

Example input: {

`"items": [`

`{`

`"id": 65,`

`"description": "Title",`

`"visible": false},`


```

        Leaf RightBraceS],
        Node EltsTl [ ]],
        Leaf RightBrackS]],
        Node PairsTl [ ]],
        Leaf RightBraceS])
    []"
  <proof>

```

8.3 Reading the Parse Tree

```

datatype JSON =
  Object "(string × JSON) list"
| Array "JSON list"
| String string — An Isabelle string rather than a JSON string
| Int int — The number type is split into natural Isabelle/HOL types
| Nat nat
| Rat nat int
| Boolean bool — True and False are contracted to one constructor
| Nil

```

```

primrec fold_parse_tree :: "('t ⇒ 'a) ⇒ ('a list ⇒ 'n ⇒ 'a) ⇒ ('n,
't) parse_tree ⇒ 'a"

```

where

```

  "fold_parse_tree t n (Leaf x) = t x"
| "fold_parse_tree t n (Node x ts) = n (map (fold_parse_tree t n) ts)
  x"

```

definition

```

"json_leaf ≡ λ(t, s). (case t of
  Str => JSON.String (lex_str s) |
  TInt => JSON.Int (lex_int s) |
  Float => JSON.Rat 1 0 |
  Tru => JSON.Boolean True |
  Fls => JSON.Boolean False |
  Null => JSON.Nil |
  _ => JSON.Nil
)"

```

definition

```

"combine_objects x y = (case x of JSON.Object xs => case y of JSON.Object
ys =>
  JSON.Object (xs @ ys)
)"

```

definition

```

"cons_array x y = (case y of JSON.Array ys =>
  JSON.Array (x # ys)
)"

```

definition

```
"the_str = (λJSON.String s ⇒ s)"
```

definition

```
"json_node vs = (
λ Value ⇒ (
  case vs of
    [x] ⇒ x
  | [x,y,z] ⇒ y
)
| Pair ⇒ (
  case vs of
    [s, _, v] ⇒ JSON.Object [(the_str s, v)]
)
| Pairs ⇒ (
  case vs of
    [] ⇒ JSON.Object []
  | [n,ntl] ⇒ combine_objects n ntl
)
| PairsTl ⇒ (
  case vs of
    [] ⇒ JSON.Object []
  | [_ ,n,ntl] ⇒ combine_objects n ntl
)
| Elts ⇒ (
  case vs of
    [] ⇒ JSON.Array []
  | [n,ntl] ⇒ cons_array n ntl
)
| EltsTl ⇒ (
  case vs of
    [] ⇒ JSON.Array []
  | [_ ,n,ntl] ⇒ cons_array n ntl
)
)"
```

definition

```
"parse_tree_to_json = fold_parse_tree json_leaf json_node"
```

definition

```
"the_RESULT = (λRESULT r _ ⇒ r)"
```

lemma "parse_tree_to_json (the_RESULT (parse pt (NT (start jsonGrammar))
 [LeftBraceS, StrS ''items'', ColonS, LeftBrackS, RightBrackS, RightBraceS]))
 = Object [(''items'', Array [])]"
 ⟨proof⟩

lemma "parse_tree_to_json (the_RESULT (parse pt (NT (start jsonGrammar))
 [LeftBraceS, StrS ''items'', ColonS, LeftBrackS,

```

    LeftBraceS, StrS ''id'', ColonS, IntS 65, CommaS, StrS ''description'',
ColonS, StrS ''Title'',
    CommaS, StrS ''visible'', ColonS, FlsS, RightBraceS, CommaS,
    LeftBraceS, StrS ''id'', ColonS, IntS 42, CommaS, StrS ''visible'',
ColonS, TruS,
    RightBraceS, RightBrackS, RightBraceS]))
= Object
  [('',items'',
    Array
      [Object
        [('',id'', JSON.Int 65), ('',description'', String ''Title''),
        ('',visible'', Boolean False)],
        Object [('',id'', JSON.Int 42), ('',visible'', Boolean True)]]])]''
⟨proof⟩

```

Note that in Vermillion one can attach the functions to read parse trees directly to the production rules. While this would be possible in Isabelle/HOL, it would give little advantage over defining the reader function directly, since we are missing dependent types.

end

References

- [1] A. W. Appel. *Modern Compiler Implementation in ML*. Cambridge University Press, 1998.
- [2] S. Lasser, C. Casinghino, K. Fisher, and C. Roux. A Verified LL(1) Parser Generator. In J. Harrison, J. O’Leary, and A. Tolmach, editors, *10th International Conference on Interactive Theorem Proving (ITP 2019)*, volume 141 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 24:1–24:18, Dagstuhl, Germany, 2019. Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik.
- [3] R. Wilhelm, H. Seidl, and S. Hack. *Compiler Design - Syntactic and Semantic Analysis*. Springer, 2013.