

Tensor Products in Hilbert Spaces

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Abstract

We formalize the tensor product of Hilbert spaces, and related material. Specifically, we define the product of vectors in Hilbert spaces, of operators on Hilbert spaces, and of subspaces of Hilbert spaces, and of von Neumann algebras, and study their properties.

The theory is based on the AFP entry `Complex_Bounded_Operators` that introduces Hilbert spaces and operators and related concepts, but in addition to their work, we defined and study a number of additional concepts needed for the tensor product.

Specifically: Hilbert-Schmidt and trace-class operators; compact operators; positive operators; the weak operator, strong operator, and weak* topology; the spectral theorem for compact operators; and the double commutant theorem.

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1	<i>Misc-Tensor-Product</i> – Miscellaneous results missing from other theories	

theory *Misc-Tensor-Product*

imports *HOL-Analysis.Elementary-Topology* *HOL-Analysis.Abstract-Topology*
HOL-Analysis.Abstract-Limits *HOL-Analysis.Function-Topology* *HOL-Cardinals.Cardinals*
HOL-Analysis.Infinite-Sum *HOL-Analysis.Harmonic-Numbers* *Containers.Containers-Auxiliary*
Complex-Bounded-Operators.Extra-General
Complex-Bounded-Operators.Extra-Vector-Spaces
Complex-Bounded-Operators.Extra-Ordered-Fields

begin

unbundle *lattice-syntax*

lemma *local-defE*: $(\bigwedge x. x=y \implies P) \implies P$ **by** *metis*

— A helper lemma to introduce a local “definition“ in the current goal when backwards reasoning. *apply* (*rule local-defE*[*where* $x=\langle stuff \rangle$]) will insert $x = stuff$ as a premise. This can be useful before using *apply transfer* because it will introduce some additional knowledge about the properties of x into the transferred goal.

lemma *inv-prod-swap*[*simp*]: $\langle inv\ prod.swap = prod.swap \rangle$
by (*simp add: inv-unique-comp*)

lemma *filterlim-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle bi\ unique\ S \rangle$

shows $\langle (R \implies S) \implies rel\ filter\ S \implies rel\ filter\ R \implies (=) filterlim\ filterlim \rangle$

using *filtermap-parametric*[*transfer-rule*] *le-filter-parametric*[*transfer-rule*] **apply** *fail?*

unfolding *filterlim-def*

by *transfer-prover*

definition *rel-topology* :: $\langle ('a \implies 'b \implies bool) \implies ('a\ topology \implies 'b\ topology \implies bool) \rangle$ **where**
 $\langle rel\ topology\ R\ S\ T \iff (rel\ fun\ (rel\ set\ R)\ (=))\ (openin\ S)\ (openin\ T) \wedge (\forall U. openin\ S\ U \implies Domainp\ (rel\ set\ R)\ U) \wedge (\forall U. openin\ T\ U \implies Rangep\ (rel\ set\ R)\ U) \rangle$

lemma *rel-topology-eq*[*relator-eq*]: $\langle rel\ topology\ (=) = (=) \rangle$
unfolding *rel-topology-def* **using** *openin-inject*
by (*auto simp: rel-fun-eq rel-set-eq fun-eq-iff*)

lemma *Rangep-conversep*[*simp*]: $\langle Rangep\ (R^{-1-1}) = Domainp\ R \rangle$
by *blast*

lemma *Domainp-conversep*[*simp*]: $\langle Domainp\ (R^{-1-1}) = Rangep\ R \rangle$
by *blast*

lemma *conversep-rel-fun*:

includes *lifting-syntax*

shows $\langle (T \implies U)^{-1-1} = (T^{-1-1}) \implies (U^{-1-1}) \rangle$

by (*auto simp: rel-fun-def*)

lemma *rel-topology-conversep*[*simp*]: $\langle rel\ topology\ (R^{-1-1}) = ((rel\ topology\ R)^{-1-1}) \rangle$
by (*auto simp add: rel-topology-def*[*abs-def*] *simp flip: conversep-rel-fun*[**where** $U=\langle (=) \rangle$, *simplified*])

lemma *openin-parametric*[*transfer-rule*]:

includes *lifting-syntax*

shows $\langle (rel\ topology\ R \implies rel\ set\ R \implies (=))\ openin\ openin \rangle$

by (*auto simp add: rel-fun-def rel-topology-def*)

```

lemma topspace-parametric [transfer-rule]:
  includes lifting-syntax
  shows  $\langle \text{rel-topology } R \implies \text{rel-set } R \rangle \text{ topspace } \text{topspace}$ 
proof -
  have *:  $\langle \exists y \in \text{topspace } T'. R \ x \ y \rangle$  if  $\langle \text{rel-topology } R \ T \ T' \rangle \langle x \in \text{topspace } T \rangle$  for  $x \ T \ T'$  and
   $R :: \langle 'q \Rightarrow 'r \Rightarrow \text{bool} \rangle$ 
proof -
  from that obtain  $U$  where  $\langle \text{openin } T \ U \rangle$  and  $\langle x \in U \rangle$ 
    unfolding topspace-def
    by auto
  from  $\langle \text{openin } T \ U \rangle$ 
  have  $\langle \text{Domainp } (\text{rel-set } R) \ U \rangle$ 
    using  $\langle \text{rel-topology } R \ T \ T' \rangle$  rel-topology-def by blast
  then obtain  $V$  where [transfer-rule]:  $\langle \text{rel-set } R \ U \ V \rangle$ 
    by blast
  with  $\langle x \in U \rangle$  obtain  $y$  where  $\langle R \ x \ y \rangle$  and  $\langle y \in V \rangle$ 
    by (meson rel-set-def)
  from  $\langle \text{rel-set } R \ U \ V \rangle \langle \text{rel-topology } R \ T \ T' \rangle \langle \text{openin } T \ U \rangle$ 
  have  $\langle \text{openin } T' \ V \rangle$ 
    by (simp add: rel-topology-def rel-fun-def)
  with  $\langle y \in V \rangle$  have  $\langle y \in \text{topspace } T' \rangle$ 
    using openin-subset by auto
  with  $\langle R \ x \ y \rangle$  show  $\langle \exists y \in \text{topspace } T'. R \ x \ y \rangle$ 
    by auto
qed

```

```

show ?thesis
  using * [where ?R.1 = R]
  using * [where ?R.1 =  $\langle R^{-1-1} \rangle$ ]
  by (auto intro!: rel-setI)

```

qed

```

lemma [transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]:  $\langle \text{bi-total } S \rangle$ 
  assumes [transfer-rule]:  $\langle \text{bi-unique } S \rangle$ 
  assumes [transfer-rule]:  $\langle \text{bi-total } R \rangle$ 
  assumes [transfer-rule]:  $\langle \text{bi-unique } R \rangle$ 
  shows  $\langle \text{rel-topology } R \implies \text{rel-topology } S \implies (R \implies S) \implies (=) \rangle$  continuous-map continuous-map
  unfolding continuous-map-def Pi-def
  by transfer-prover

```

```

lemma limitin-closedin:
  assumes  $\langle \text{limitin } T \ f \ c \ F \rangle$ 
  assumes  $\langle \text{range } f \subseteq S \rangle$ 
  assumes  $\langle \text{closedin } T \ S \rangle$ 
  assumes  $\langle \neg \text{trivial-limit } F \rangle$ 

```

shows $\langle c \in S \rangle$
proof –
from *assms* **have** $\langle T \text{ closure-of } S = S \rangle$
by (*simp add: closure-of-eq*)
moreover have $\langle c \in T \text{ closure-of } S \rangle$
using *assms*(1) - *assms*(4) **apply** (*rule limitin-closure-of*)
using *range-subsetD*[*OF assms*(2)] **by** *auto*
ultimately show *?thesis*
by *simp*
qed

lemma *closure-nhds-principal*: $\langle a \in \text{closure } A \iff \text{inf } (\text{nhds } a) (\text{principal } A) \neq \text{bot} \rangle$
proof (*rule iffI*)
show $\langle \text{inf } (\text{nhds } a) (\text{principal } A) \neq \text{bot} \rangle$ **if** $\langle a \in \text{closure } A \rangle$
proof (*cases* $\langle a \in A \rangle$)
case *True*
thus *?thesis*
unfolding *filter-eq-iff eventually-inf eventually-principal eventually-nhds* **by** *force*
next
case *False*
have *at a within A* $\neq \text{bot}$
using *False that* **by** (*subst at-within-eq-bot-iff*) *auto*
also have *at a within A* $= \text{inf } (\text{nhds } a) (\text{principal } A)$
using *False* **by** (*simp add: at-within-def*)
finally show *?thesis* .
qed
show $\langle a \in \text{closure } A \rangle$ **if** $\langle \text{inf } (\text{nhds } a) (\text{principal } A) \neq \text{bot} \rangle$
by (*metis Diff-empty Diff-insert0 at-within-def closure-subset not-in-closure-trivial-limitI subsetD that*)
qed

lemma *limit-in-closure*:
assumes *lim*: $\langle f \longrightarrow x \rangle F$
assumes *nt*: $\langle F \neq \text{bot} \rangle$
assumes *inA*: $\langle \forall_F x \text{ in } F. f x \in A \rangle$
shows $\langle x \in \text{closure } A \rangle$
proof (*rule Lim-in-closed-set*[*of - - F*])
show $\forall_F x \text{ in } F. f x \in \text{closure } A$
using *inA* **by** *eventually-elim* (*use closure-subset in blast*)
qed (*use assms in auto*)

lemma *filterlim-nhdsin-iff-limitin*:
 $\langle l \in \text{topspace } T \wedge \text{filterlim } f (\text{nhdsin } T l) F \iff \text{limitin } T f l F \rangle$
unfolding *limitin-def*
proof *safe*
fix *U* **assume** \ast : $l \in \text{topspace } T \text{ filterlim } f (\text{nhdsin } T l) F \text{ openin } T \ U \ l \in U$

hence *eventually* $(\lambda y. y \in U)$ $(nhdsin T l)$
unfolding *eventually-nhdsin* **by** *blast*
thus *eventually* $(\lambda x. f x \in U)$ F
using $*(2)$ *eventually-compose-filterlim* **by** *blast*
next
assume $*$: $l \in \text{topspace } T \forall U. \text{openin } T U \wedge l \in U \longrightarrow (\forall_F x \text{ in } F. f x \in U)$
show *filterlim* f $(nhdsin T l)$ F
unfolding *filterlim-def* *le-filter-def* *eventually-filtermap*
proof *safe*
fix $P :: 'a \Rightarrow \text{bool}$
assume *eventually* P $(nhdsin T l)$
then obtain U **where** $U: \text{openin } T U \wedge l \in U \forall x \in U. P x$
using $*(1)$ **unfolding** *eventually-nhdsin* **by** *blast*
with $*$ **have** *eventually* $(\lambda x. f x \in U)$ F
by *blast*
thus *eventually* $(\lambda x. P (f x))$ F
by *eventually-elim* $(\text{use } U \text{ in } \text{blast})$
qed
qed

lemma *pullback-topology-bi-cont*:
fixes $g :: \langle 'a \Rightarrow ('b \Rightarrow 'c :: \text{topological-space}) \rangle$
and $f :: \langle 'a \Rightarrow 'a \Rightarrow 'a \rangle$ **and** $f' :: \langle 'c \Rightarrow 'c \Rightarrow 'c \rangle$
assumes $gf \cdot f' g: \langle \bigwedge a b i. g (f a b) i = f' (g a i) (g b i) \rangle$
assumes $f' \text{-cont}: \langle \bigwedge a' b'. (\text{case-prod } f' \longrightarrow f' a' b') (nhds a' \times_F nhds b') \rangle$
defines $\langle T \equiv \text{pullback-topology } UNIV g \text{ euclidean} \rangle$
shows $\langle LIM (x,y) nhdsin T a \times_F nhdsin T b. f x y :> nhdsin T (f a b) \rangle$
proof –
have *topspace[simp]*: $\langle \text{topspace } T = UNIV \rangle$
unfolding *T-def* *topspace-pullback-topology* **by** *simp*
have *openin*: $\langle \text{openin } T U \longleftrightarrow (\exists V. \text{open } V \wedge U = g -' V) \rangle$ **for** U
by $(\text{simp add: } \text{assms } \text{openin-pullback-topology})$

have $1: \langle nhdsin T a = \text{filtercomap } g (nhds (g a)) \rangle$
for $a :: 'a$
by $(\text{auto simp add: } \text{filter-eq-iff } \text{eventually-filtercomap } \text{eventually-nhds } \text{eventually-nhdsin } \text{openin})$

have $\langle ((g \circ \text{case-prod } f) \longrightarrow g (f a b)) (nhdsin T a \times_F nhdsin T b) \rangle$
proof $(\text{unfold } \text{tendsto-def}, \text{intro } \text{allI } \text{impI})$
fix S **assume** $\langle \text{open } S \rangle$ **and** $gfS: \langle g (f a b) \in S \rangle$
obtain U **where** $gf \cdot PiE: \langle g (f a b) \in PiE UNIV U \rangle$ **and** $openU: \langle \forall i. \text{openin } \text{euclidean } (U i) \rangle$
and $finiteD: \langle \text{finite } \{i. U i \neq \text{topspace } \text{euclidean}\} \rangle$ **and** $US: \langle PiE UNIV U \subseteq S \rangle$
using *product-topology-open-contains-basis* $[OF \langle \text{open } S \rangle [\text{unfolded } \text{open-fun-def}] gfS]$
by *auto*

define D **where** $\langle D = \{i. U i \neq UNIV\} \rangle$
with $finiteD$ **have** $\langle \text{finite } D \rangle$

by *auto*

from *openU* **have** *openU*: $\langle \text{open } (U \ i) \rangle$ **for** *i*
by *auto*

have *: $\langle f' (g \ a \ i) (g \ b \ i) \in U \ i \rangle$ **for** *i*
by (*metis PiE-mem gf-PiE iso-tuple-UNIV-I gf-f'g*)

have $\langle \forall_F x \text{ in } \text{nhds } (g \ a \ i) \times_F \text{nhds } (g \ b \ i). \text{ case-prod } f' \ x \in U \ i \rangle$ **for** *i*
using *tendsto-def[THEN iffD1, rule-format, OF f'-cont openU *, of i]* **by** –

then obtain *Pa Pb* **where** $\langle \text{eventually } (Pa \ i) (\text{nhds } (g \ a \ i)) \rangle$ **and** $\langle \text{eventually } (Pb \ i) (\text{nhds } (g \ b \ i)) \rangle$
and *PaPb-plus*: $\langle (\forall x \ y. Pa \ i \ x \longrightarrow Pb \ i \ y \longrightarrow f' \ x \ y \in U \ i) \rangle$ **for** *i*
unfolding *eventually-prod-filter* **by** (*metis prod.simps(2)*)

from $\langle \bigwedge i. \text{eventually } (Pa \ i) (\text{nhds } (g \ a \ i)) \rangle$
obtain *Ua* **where** $\langle \text{open } (Ua \ i) \rangle$ **and** *a-Ua*: $\langle g \ a \ i \in Ua \ i \rangle$ **and** *Ua-Pa*: $\langle Ua \ i \subseteq \text{Collect } (Pa \ i) \rangle$ **for** *i*
unfolding *eventually-nhds*
apply *atomize-elim*
by (*metis mem-Collect-eq subsetI*)

from $\langle \bigwedge i. \text{eventually } (Pb \ i) (\text{nhds } (g \ b \ i)) \rangle$
obtain *Ub* **where** $\langle \text{open } (Ub \ i) \rangle$ **and** *b-Ub*: $\langle g \ b \ i \in Ub \ i \rangle$ **and** *Ub-Pb*: $\langle Ub \ i \subseteq \text{Collect } (Pb \ i) \rangle$ **for** *i*
unfolding *eventually-nhds*
apply *atomize-elim*
by (*metis mem-Collect-eq subsetI*)

have *UaUb-plus*: $\langle x \in Ua \ i \implies y \in Ub \ i \implies f' \ x \ y \in U \ i \rangle$ **for** *i x y*
by (*metis PaPb-plus Ua-Pa Ub-Pb mem-Collect-eq subsetD*)

define *Ua'* **where** $\langle Ua' \ i = (\text{if } i \in D \text{ then } Ua \ i \text{ else } UNIV) \rangle$ **for** *i*
define *Ub'* **where** $\langle Ub' \ i = (\text{if } i \in D \text{ then } Ub \ i \text{ else } UNIV) \rangle$ **for** *i*

have *Ua'-UNIV*: $\langle U \ i = UNIV \implies Ua' \ i = UNIV \rangle$ **for** *i*
by (*simp add: D-def Ua'-def*)

have *Ub'-UNIV*: $\langle U \ i = UNIV \implies Ub' \ i = UNIV \rangle$ **for** *i*
by (*simp add: D-def Ub'-def*)

have [*simp*]: $\langle \text{open } (Ua' \ i) \rangle$ **for** *i*
by (*simp add: Ua'-def <open (Ua -)>*)

have [*simp*]: $\langle \text{open } (Ub' \ i) \rangle$ **for** *i*
by (*simp add: Ub'-def <open (Ub -)>*)

have *a-Ua'*: $\langle g \ a \ i \in Ua' \ i \rangle$ **for** *i*
by (*simp add: Ua'-def a-Ua*)

have *b-Ub'*: $\langle g \ b \ i \in Ub' \ i \rangle$ **for** *i*
by (*simp add: Ub'-def b-Ub*)

have *UaUb'-plus*: $\langle a' \in Ua' \ i \implies b' \in Ub' \ i \implies f' \ a' \ b' \in U \ i \rangle$ **for** *i a' b'*
apply (*cases <i ∈ D>*)
using *UaUb-plus* **by** (*auto simp add: Ua'-def Ub'-def D-def*)


```

define  $Ua''$  where  $\langle Ua'' = Pi\ UNIV\ Ua' \rangle$ 
define  $Ub''$  where  $\langle Ub'' = Pi\ UNIV\ Ub' \rangle$ 

have  $\langle open\ Ua'' \rangle$ 
  using  $finiteD\ Ua'\text{-UNIV}$ 
  by (auto simp add: open-fun-def Ua''-def PiE-UNIV-domain
    openin-product-topology-alt D-def intro!: exI[where x= $\langle Ua' \rangle$ ] intro: rev-finite-subset)
have  $\langle open\ Ub'' \rangle$ 
  using  $finiteD\ Ub'\text{-UNIV}$ 
  by (auto simp add: open-fun-def Ub''-def PiE-UNIV-domain
    openin-product-topology-alt D-def intro!: exI[where x= $\langle Ub' \rangle$ ] intro: rev-finite-subset)
have  $a\text{-}Ua''$ :  $\langle g\ a \in Ua'' \rangle$ 
  by (simp add: Ua''-def a-Ua')
have  $b\text{-}Ub''$ :  $\langle g\ b \in Ub'' \rangle$ 
  by (simp add: Ub''-def b-Ub')
have  $UaUb''\text{-plus}$ :  $\langle a' \in Ua'' \implies b' \in Ub'' \implies f'(a' i) (b' i) \in U\ i \rangle$  for  $i\ a'\ b'$ 
  using  $UaUb''\text{-plus}$  by (force simp add: Ua''-def Ub''-def)

define  $Ua'''$  where  $\langle Ua''' = g\ \text{-}'\ Ua'' \rangle$ 
define  $Ub'''$  where  $\langle Ub''' = g\ \text{-}'\ Ub'' \rangle$ 
have  $\langle openin\ T\ Ua''' \rangle$ 
  using  $\langle open\ Ua'' \rangle$  by (auto simp: openin Ua'''-def)
have  $\langle openin\ T\ Ub''' \rangle$ 
  using  $\langle open\ Ub'' \rangle$  by (auto simp: openin Ub'''-def)
have  $a\text{-}Ua'''$ :  $\langle a \in Ua''' \rangle$ 
  by (simp add: Ua'''-def a-Ua'')
have  $b\text{-}Ub'''$ :  $\langle b \in Ub''' \rangle$ 
  by (simp add: Ub'''-def b-Ub'')
have  $UaUb'''\text{-plus}$ :  $\langle a \in Ua''' \implies b \in Ub''' \implies f'(g\ a\ i) (g\ b\ i) \in U\ i \rangle$  for  $i\ a\ b$ 
  by (simp add: Ua'''-def UaUb''-plus Ub'''-def)

define  $Pa'$  where  $\langle Pa'\ a \longleftrightarrow a \in Ua''' \rangle$  for  $a$ 
define  $Pb'$  where  $\langle Pb'\ b \longleftrightarrow b \in Ub''' \rangle$  for  $b$ 

have  $Pa'\text{-nhd}$ :  $\langle eventually\ Pa'\ (nhdsin\ T\ a) \rangle$ 
  using  $\langle openin\ T\ Ua''' \rangle$ 
  by (auto simp add: Pa'-def eventually-nhdsin intro!: exI[of -  $\langle Ua''' \rangle$ ] a-Ua'')
have  $Pb'\text{-nhd}$ :  $\langle eventually\ Pb'\ (nhdsin\ T\ b) \rangle$ 
  using  $\langle openin\ T\ Ub''' \rangle$ 
  by (auto simp add: Pb'-def eventually-nhdsin intro!: exI[of -  $\langle Ub''' \rangle$ ] b-Ub'')
have  $Pa'Pb'\text{-plus}$ :  $\langle (g \circ case\text{-prod}\ f) (a, b) \in S \rangle$  if  $\langle Pa'\ a \rangle \langle Pb'\ b \rangle$  for  $a\ b$ 
  using that UaUb''-plus US
  by (auto simp add: Pa'-def Pb'-def PiE-UNIV-domain Pi-iff gf-f'g)

show  $\langle \forall_F\ x\ in\ nhdsin\ T\ a \times_F\ nhdsin\ T\ b.\ (g \circ case\text{-prod}\ f)\ x \in S \rangle$ 
  using  $Pa'\text{-nhd}\ Pb'\text{-nhd}\ Pa'Pb'\text{-plus}$ 
  unfolding eventually-prod-filter
  apply  $-$ 

```

```

    apply (rule exI[of - Pa])
    apply (rule exI[of - Pb])
    by simp
qed
then show ?thesis
  unfolding 1 filterlim-filtercomap-iff by -
qed

```

definition $\langle \text{has-sum-in } T f A x \longleftrightarrow \text{limitin } T (\text{sum } f) x (\text{finite-subsets-at-top } A) \rangle$

lemma *has-sum-in-finite*:
assumes *finite F*
assumes $\langle \text{sum } f F \in \text{topspace } T \rangle$
shows $\text{has-sum-in } T f F (\text{sum } f F)$
using *assms*
by (*simp add: finite-subsets-at-top-finite has-sum-in-def limitin-def eventually-principal*)

definition $\langle \text{summable-on-in } T f A \longleftrightarrow (\exists x. \text{has-sum-in } T f A x) \rangle$

definition $\langle \text{infsum-in } T f A = (\text{let } L = \text{Collect } (\text{has-sum-in } T f A) \text{ in if card } L = 1 \text{ then the-elem } L \text{ else } 0) \rangle$

lemma *hausdorff-OFCLASS-t2-space*: $\langle \text{OFCLASS}('a::\text{topological-space}, \text{t2-space-class}) \text{ if } \langle \text{Hausdorff-space } (\text{euclidean } :: 'a \text{ topology}) \rangle$

proof *intro-classes*
fix *a b :: 'a*
assume $\langle a \neq b \rangle$
from *that*
show $\langle \exists U V. \text{open } U \wedge \text{open } V \wedge a \in U \wedge b \in V \wedge U \cap V = \{\} \rangle$
unfolding *Hausdorff-space-def disjnt-def*
using $\langle a \neq b \rangle$ **by** *auto*
qed

lemma *hausdorffI*:
assumes $\langle \bigwedge x y. x \in \text{topspace } T \implies y \in \text{topspace } T \implies x \neq y \implies \exists U V. \text{openin } T U \wedge \text{openin } T V \wedge x \in U \wedge y \in V \wedge U \cap V = \{\} \rangle$
shows $\langle \text{Hausdorff-space } T \rangle$
using *assms* **by** (*auto simp: Hausdorff-space-def disjnt-def*)

lemma *hausdorff-euclidean[simp]*: $\langle \text{Hausdorff-space } (\text{euclidean } :: \text{t2-space topology}) \rangle$
apply (*rule hausdorffI*)
by (*metis (mono-tags, lifting) hausdorff open-openin*)

lemma *has-sum-in-unique*:
assumes $\langle \text{Hausdorff-space } T \rangle$
assumes $\langle \text{has-sum-in } T f A l \rangle$

assumes $\langle \text{has-sum-in } T f A l' \rangle$
shows $\langle l = l' \rangle$
using $\text{assms}(2,3)[\text{unfolded has-sum-in-def}] - \text{assms}(1)$
apply $(\text{rule limitin-Hausdorff-unique})$
by simp

lemma $\text{infsum-in-def}'$:

assumes $\langle \text{Hausdorff-space } T \rangle$
shows $\langle \text{infsum-in } T f A = (\text{if summable-on-in } T f A \text{ then } (\text{THE } s. \text{has-sum-in } T f A s) \text{ else } 0) \rangle$
proof $(\text{cases } \langle \text{Collect } (\text{has-sum-in } T f A) = \{\} \rangle)$
case True
then show $?thesis$ **using** True
by $(\text{auto simp: infsum-in-def summable-on-in-def Let-def card-1-singleton-iff})$
next
case False
then have $\langle \text{summable-on-in } T f A \rangle$
by $(\text{metis } (\text{no-types, lifting}) \text{empty-Collect-eq summable-on-in-def})$
from $\text{False } \langle \text{Hausdorff-space } T \rangle$
have $\langle \text{card } (\text{Collect } (\text{has-sum-in } T f A)) = 1 \rangle$
by $(\text{metis } (\text{mono-tags, opaque-lifting}) \text{has-sum-in-unique is-singletonI' is-singleton-altdef mem-Collect-eq})$
then show $?thesis$
using $\langle \text{summable-on-in } T f A \rangle$
by $(\text{smt } (\text{verit, best}) \text{assms card-1-singletonE has-sum-in-unique infsum-in-def mem-Collect-eq singletonI the-elem-eq the-equality})$
qed

lemma $\text{has-sum-in-infsum-in}$:

assumes $\langle \text{Hausdorff-space } T \rangle$ **and** $\text{summable: } \langle \text{summable-on-in } T f A \rangle$
shows $\langle \text{has-sum-in } T f A (\text{infsum-in } T f A) \rangle$
apply $(\text{simp add: infsum-in-def}'[\text{OF } \langle \text{Hausdorff-space } T \rangle] \text{summable})$
apply $(\text{rule theI}'[\text{of } \langle \text{has-sum-in } T f A \rangle])$
using $\text{has-sum-in-unique}[\text{OF } \langle \text{Hausdorff-space } T \rangle, \text{of } f A] \text{summable}$
by $(\text{meson summable-on-in-def})$

lemma nhdsin-mono :

assumes $[\text{simp}]: \langle \bigwedge x. \text{openin } T' x \implies \text{openin } T x \rangle$
assumes $[\text{simp}]: \langle \text{topspace } T = \text{topspace } T' \rangle$
shows $\langle \text{nhdsin } T a \leq \text{nhdsin } T' a \rangle$
unfolding nhdsin-def
by $(\text{auto intro!: INF-superset-mono})$

lemma has-sum-in-cong :

assumes $\bigwedge x. x \in A \implies f x = g x$
shows $\text{has-sum-in } T f A x \longleftrightarrow \text{has-sum-in } T g A x$
proof –

have $\langle (\forall_F x \text{ in } \text{finite-subsets-at-top } A. \text{ sum } f x \in U) \longleftrightarrow (\forall_F x \text{ in } \text{finite-subsets-at-top } A. \text{ sum } g x \in U) \rangle$ **for** U
apply (rule eventually-subst)
apply (subst eventually-finite-subsets-at-top)
by (metis (mono-tags, lifting) assms empty-subsetI finite.emptyI subset-eq sum.cong)
then show ?thesis
by (simp add: has-sum-in-def limitin-def)
qed

lemma *infsun-in-eqI'*:
fixes $f g :: \langle 'a \Rightarrow 'b :: \text{comm-monoid-add} \rangle$
assumes $\langle \bigwedge x. \text{ has-sum-in } T f A x \longleftrightarrow \text{ has-sum-in } T g B x \rangle$
shows $\langle \text{infsun-in } T f A = \text{infsun-in } T g B \rangle$
by (simp add: infsun-in-def assms[abs-def] summable-on-in-def)

lemma *infsun-in-cong*:
assumes $\bigwedge x. x \in A \implies f x = g x$
shows $\text{infsun-in } T f A = \text{infsun-in } T g A$
using assms *infsun-in-eqI'* *has-sum-in-cong* **by** blast

lemma *limitin-cong*: $\text{limitin } T f c F \longleftrightarrow \text{limitin } T g c F$ **if** eventually $(\lambda x. f x = g x) F$
by (smt (verit, best) eventually-elim2 limitin-transform-eventually that)

lemma *has-sum-in-reindex*:
assumes $\langle \text{inj-on } h A \rangle$
shows $\langle \text{has-sum-in } T g (h \text{ ` } A) x \longleftrightarrow \text{has-sum-in } T (g \circ h) A x \rangle$
proof –
have $\langle \text{has-sum-in } T g (h \text{ ` } A) x \longleftrightarrow \text{limitin } T (\text{sum } g) x (\text{finite-subsets-at-top } (h \text{ ` } A)) \rangle$
by (simp add: has-sum-in-def)
also have $\langle \dots \longleftrightarrow \text{limitin } T (\lambda F. \text{sum } g (h \text{ ` } F)) x (\text{finite-subsets-at-top } A) \rangle$
apply (subst filtermap-image-finite-subsets-at-top[symmetric])
by (simp-all add: assms eventually-filtermap limitin-def)
also have $\langle \dots \longleftrightarrow \text{limitin } T (\text{sum } (g \circ h)) x (\text{finite-subsets-at-top } A) \rangle$
apply (rule limitin-cong)
apply (rule eventually-finite-subsets-at-top-weakI)
apply (rule sum.reindex)
using assms subset-inj-on **by** blast
also have $\langle \dots \longleftrightarrow \text{has-sum-in } T (g \circ h) A x \rangle$
by (simp add: has-sum-in-def)
finally show ?thesis .
qed

lemma *summable-on-in-reindex*:
assumes $\langle \text{inj-on } h A \rangle$
shows $\langle \text{summable-on-in } T g (h \text{ ` } A) \longleftrightarrow \text{summable-on-in } T (g \circ h) A \rangle$
by (simp add: assms summable-on-in-def has-sum-in-reindex)

lemma *infsun-in-reindex*:
assumes $\langle \text{inj-on } h A \rangle$

shows $\langle \text{infsum-in } T g (h \text{ ' } A) = \text{infsum-in } T (g \circ h) A \rangle$
by (*metis Collect-cong assms has-sum-in-reindex infsum-in-def*)

lemma *has-sum-in-reindex-bij-betw*:

assumes *bij-betw g A B*

shows $\text{has-sum-in } T (\lambda x. f (g x)) A s \longleftrightarrow \text{has-sum-in } T f B s$

proof –

have $\langle \text{has-sum-in } T (\lambda x. f (g x)) A s \longleftrightarrow \text{has-sum-in } T f (g \text{ ' } A) s \rangle$

by (*metis (mono-tags, lifting) assms bij-betw-imp-inj-on has-sum-in-cong has-sum-in-reindex o-def*)

also have $\langle \dots = \text{has-sum-in } T f B s \rangle$

using *assms bij-betw-imp-surj-on* **by** *blast*

finally show *?thesis* .

qed

lemma *has-sum-euclidean-iff*: $\langle \text{has-sum-in euclidean } f A s \longleftrightarrow (f \text{ has-sum } s) A \rangle$

by (*simp add: has-sum-def has-sum-in-def*)

lemma *summable-on-euclidean-eq*: $\langle \text{summable-on-in euclidean } f A \longleftrightarrow f \text{ summable-on } A \rangle$

by (*auto simp add: infsum-def infsum-in-def has-sum-euclidean-iff[abs-def] has-sum-def t2-space-class.Lim-def summable-on-def summable-on-in-def*)

lemma *infsum-euclidean-eq*: $\langle \text{infsum-in euclidean } f A = \text{infsum } f A \rangle$

by (*auto simp add: infsum-def infsum-in-def' summable-on-euclidean-eq has-sum-euclidean-iff[abs-def] has-sum-def t2-space-class.Lim-def*)

lemma *infsum-in-reindex-bij-betw*:

assumes *bij-betw g A B*

shows $\text{infsum-in } T (\lambda x. f (g x)) A = \text{infsum-in } T f B$

proof –

have $\langle \text{infsum-in } T (\lambda x. f (g x)) A = \text{infsum-in } T f (g \text{ ' } A) \rangle$

by (*metis (mono-tags, lifting) assms bij-betw-imp-inj-on infsum-in-cong infsum-in-reindex o-def*)

also have $\langle \dots = \text{infsum-in } T f B \rangle$

using *assms bij-betw-imp-surj-on* **by** *blast*

finally show *?thesis* .

qed

lemma *limitin-parametric[transfer-rule]*:

includes *lifting-syntax*

assumes *[transfer-rule]: <bi-unique S>*

shows $\langle (\text{rel-topology } S \text{ ==>} (R \text{ ==>} S) \text{ ==>} S \text{ ==>} \text{rel-filter } R \text{ ==>} (\longleftrightarrow))$

limitin limitin>

proof (*intro rel-funI, rename-tac T T' f f' l l' F F'*)

fix *T T' f f' l l' F F'*

assume *[transfer-rule]: <rel-topology S T T'>*

assume *[transfer-rule]: <(R ==> S) f f'>*

assume *[transfer-rule]: <S l l'>*

```

assume [transfer-rule]: ⟨rel-filter R F F'⟩

have topspace: ⟨l ∈ topspace T ↔ l' ∈ topspace T'⟩
  by transfer-prover

have open1: ⟨∀F x in F. f x ∈ U⟩
  if ⟨openin T U⟩ and ⟨l ∈ U⟩ and lhs: ⟨(∀ V. openin T' V ∧ l' ∈ V → (∀F x in F'. f' x
  ∈ V))⟩
  for U
proof –
  from ⟨rel-topology S T T'⟩ ⟨openin T U⟩
  obtain V where ⟨openin T' V⟩ and [transfer-rule]: ⟨rel-set S U V⟩
  by (smt (verit, best) Domainp.cases rel-fun-def rel-topology-def)
  with ⟨S l l'⟩ have ⟨l' ∈ V⟩
  by (metis (no-types, lifting) assms bi-uniqueDr rel-setD1 that(2))
  with lhs ⟨openin T' V⟩
  have ⟨∀F x in F'. f' x ∈ V⟩
  by auto
  then show ⟨∀F x in F. f x ∈ U⟩
  by transfer simp
qed

have open2: ⟨∀F x in F'. f' x ∈ V⟩
  if ⟨openin T' V⟩ and ⟨l' ∈ V⟩ and lhs: ⟨(∀ U. openin T U ∧ l ∈ U → (∀F x in F. f x ∈
  U))⟩
  for V
proof –
  from ⟨rel-topology S T T'⟩ ⟨openin T' V⟩
  obtain U where ⟨openin T U⟩ and [transfer-rule]: ⟨rel-set S U V⟩
  by (auto simp: rel-topology-def rel-fun-def)
  with ⟨S l l'⟩ have ⟨l ∈ U⟩
  by (metis (full-types) assms bi-unique-def rel-setD2 that(2))
  with lhs ⟨openin T U⟩
  have ⟨∀F x in F. f x ∈ U⟩
  by auto
  then show ⟨∀F x in F'. f' x ∈ V⟩
  by transfer simp
qed

from topspace open1 open2
show ⟨limitin T f l F = limitin T' f' l' F'⟩
  unfolding limitin-def by auto
qed

lemma finite-subsets-at-top-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: ⟨bi-unique R⟩
  shows ⟨(rel-set R ==> rel-filter (rel-set R)) finite-subsets-at-top finite-subsets-at-top⟩
proof (intro rel-funI)

```

```

fix A B assume ⟨rel-set R A B⟩
from ⟨bi-unique R⟩ obtain f where Rf: ⟨R x (f x)⟩ if ⟨x ∈ A⟩ for x
  by (metis (no-types, opaque-lifting) ⟨rel-set R A B⟩ rel-setD1)
have ⟨inj-on f A⟩
  by (metis (no-types, lifting) Rf assms bi-unique-def inj-onI)
have ⟨B = f ′ A⟩
  by (metis (mono-tags, lifting) Rf ⟨rel-set R A B⟩ assms bi-uniqueDr bi-unique-rel-set-lemma
image-cong)

have RfX: ⟨rel-set R X (f ′ X)⟩ if ⟨X ⊆ A⟩ for X
  apply (rule rel-setI)
  subgoal
    by (metis (no-types, lifting) Rf ⟨inj-on f A⟩ in-mono inj-on-image-mem-iff that)
  subgoal
    by (metis (no-types, lifting) Rf imageE subsetD that)
  done

have Piff: ⟨(∃ X. finite X ∧ X ⊆ A ∧ (∀ Y. finite Y ∧ X ⊆ Y ∧ Y ⊆ A ⟶ P (f ′ Y))) ⟷
(∃ X. finite X ∧ X ⊆ B ∧ (∀ Y. finite Y ∧ X ⊆ Y ∧ Y ⊆ B ⟶ P Y))⟩ for P
proof (rule iffI)
  assume ⟨∃ X. finite X ∧ X ⊆ A ∧ (∀ Y. finite Y ∧ X ⊆ Y ∧ Y ⊆ A ⟶ P (f ′ Y))⟩
  then obtain X where ⟨finite X⟩ and ⟨X ⊆ A⟩ and XP: ⟨finite Y ⟹ X ⊆ Y ⟹ Y ⊆
A ⟹ P (f ′ Y)⟩ for Y
    by auto
  define X' where ⟨X' = f ′ X⟩
  have ⟨finite X'⟩
    by (metis X'-def ⟨finite X⟩ finite-imageI)
  have ⟨X' ⊆ B⟩
    by (smt (verit, best) Rf X'-def ⟨X ⊆ A⟩ ⟨rel-set R A B⟩ assms bi-uniqueDr image-subset-iff
rel-setD1 subsetD)
  have ⟨P Y'⟩ if ⟨finite Y'⟩ and ⟨X' ⊆ Y'⟩ and ⟨Y' ⊆ B⟩ for Y'
  proof -
    define Y where ⟨Y = (f - ′ Y') ∩ A⟩
    have ⟨finite Y⟩
      by (metis Y-def ⟨inj-on f A⟩ finite-vimage-IntI that(1))
    moreover have ⟨X ⊆ Y⟩
      by (metis (no-types, lifting) X'-def Y-def ⟨X ⊆ A⟩ image-subset-iff-subset-vimage le-inf-iff
that(2))
    moreover have ⟨Y ⊆ A⟩
      by (metis (no-types, lifting) Y-def inf-le2)
    ultimately have ⟨P (f ′ Y)⟩
      by (rule XP)
    then show ⟨P Y'⟩
      by (metis (no-types, lifting) Int-greatest Y-def ⟨B = f ′ A⟩ dual-order.refl image-subset-iff-subset-vimage
inf-le1 subset-antisym subset-image-iff that(3))
  qed
  then show ⟨∃ X. finite X ∧ X ⊆ B ∧ (∀ Y. finite Y ∧ X ⊆ Y ∧ Y ⊆ B ⟶ P Y)⟩
    by (metis (no-types, opaque-lifting) ⟨X' ⊆ B⟩ ⟨finite X'⟩)
next

```

```

assume  $\langle \exists X. \text{finite } X \wedge X \subseteq B \wedge (\forall Y. \text{finite } Y \wedge X \subseteq Y \wedge Y \subseteq B \longrightarrow P Y) \rangle$ 
then obtain  $X$  where  $\langle \text{finite } X \rangle$  and  $\langle X \subseteq B \rangle$  and  $XP: \langle \text{finite } Y \Longrightarrow X \subseteq Y \Longrightarrow Y \subseteq B \Longrightarrow P Y \rangle$ 
for  $Y$ 
  by auto
define  $X'$  where  $\langle X' = (f \text{ ` } X) \cap A \rangle$ 
have  $\langle \text{finite } X' \rangle$ 
  by (simp add: X'-def  $\langle \text{finite } X \rangle$  inj-on f A finite-vimage-IntI)
have  $\langle X' \subseteq A \rangle$ 
  by (simp add: X'-def)
have  $\langle P (f \text{ ` } Y') \rangle$  if  $\langle \text{finite } Y' \rangle$  and  $\langle X' \subseteq Y' \rangle$  and  $\langle Y' \subseteq A \rangle$  for  $Y'$ 
proof –
  define  $Y$  where  $\langle Y = f \text{ ` } Y' \rangle$ 
have  $\langle \text{finite } Y \rangle$ 
  by (metis Y-def finite-imageI that(1))
moreover have  $\langle X \subseteq Y \rangle$ 
  using X'-def Y-def  $\langle B = f \text{ ` } A \rangle$   $\langle X \subseteq B \rangle$  that(2) by blast
moreover have  $\langle Y \subseteq B \rangle$ 
  by (metis Y-def  $\langle B = f \text{ ` } A \rangle$  image-mono that(3))
ultimately have  $\langle P Y \rangle$ 
  by (rule XP)
then show  $\langle P (f \text{ ` } Y') \rangle$ 
  by (smt (z3) Y-def  $\langle B = f \text{ ` } A \rangle$  imageE imageI subset-antisym subset-iff that(3) vimage-eq)
qed
then show  $\langle \exists X. \text{finite } X \wedge X \subseteq A \wedge (\forall Y. \text{finite } Y \wedge X \subseteq Y \wedge Y \subseteq A \longrightarrow P (f \text{ ` } Y)) \rangle$ 
  by (metis  $\langle X' \subseteq A \rangle$   $\langle \text{finite } X' \rangle$ )
qed

define  $Z$  where  $\langle Z = \text{filtermap } (\lambda M. (M, f \text{ ` } M)) (\text{finite-subsets-at-top } A) \rangle$ 
have  $\langle \forall_F (x, y) \text{ in } Z. \text{rel-set } R \ x \ y \rangle$ 
  by (auto intro!: eventually-finite-subsets-at-top-weakI simp add: Z-def eventually-filtermap RfX)
moreover have  $\langle \text{map-filter-on } \{(x, y). \text{rel-set } R \ x \ y\} \text{fst } Z = \text{finite-subsets-at-top } A \rangle$ 
  apply (rule filter-eq-iff[THEN iffD2])
  apply (subst eventually-map-filter-on)
subgoal
  by (auto intro!: eventually-finite-subsets-at-top-weakI simp add: Z-def eventually-filtermap RfX)[1]
subgoal
  by (auto simp add: Z-def eventually-filtermap eventually-finite-subsets-at-top RfX)
done
moreover have  $\langle \text{map-filter-on } \{(x, y). \text{rel-set } R \ x \ y\} \text{snd } Z = \text{finite-subsets-at-top } B \rangle$ 
  apply (rule filter-eq-iff[THEN iffD2])
  apply (subst eventually-map-filter-on)
subgoal
  by (auto intro!: eventually-finite-subsets-at-top-weakI simp add: Z-def eventually-filtermap RfX)[1]
subgoal
  by (simp add: Z-def eventually-filtermap eventually-finite-subsets-at-top RfX Piff)
done

```


ultimately show $\langle \text{rel-filter } (\text{rel-set } R) (\text{finite-subsets-at-top } A) (\text{finite-subsets-at-top } B) \rangle$
by $(\text{rule } \text{rel-filter.intros}[\text{where } Z=Z])$
qed

lemma *sum-parametric'*[*transfer-rule*]:
includes *lifting-syntax*
fixes $R :: \langle 'a \Rightarrow 'b \Rightarrow \text{bool} \rangle$ **and** $S :: \langle 'c::\text{comm-monoid-add} \Rightarrow 'd::\text{comm-monoid-add} \Rightarrow \text{bool} \rangle$
assumes [*transfer-rule*]: $\langle \text{bi-unique } R \rangle$
assumes [*transfer-rule*]: $\langle (S \text{====} S \text{====} S) (+) (+) \rangle$
assumes [*transfer-rule*]: $\langle S \ 0 \ 0 \rangle$
shows $\langle ((R \text{====} S) \text{====} \text{rel-set } R \text{====} S) \text{ sum sum} \rangle$
proof (*intro rel-funI*)
fix $A \ B \ f \ g$ **assume** $\langle \text{rel-set } R \ A \ B \rangle$ **and** $\langle (R \text{====} S) \ f \ g \rangle$
from $\langle \text{bi-unique } R \rangle$ **obtain** p **where** $Rf: \langle R \ x \ (p \ x) \rangle$ **if** $\langle x \in A \rangle$ **for** x
by (*metis (no-types, opaque-lifting) rel-set R A B rel-setD1*)
have $\langle \text{inj-on } p \ A \rangle$
by (*metis (no-types, lifting) Rf rel-set R A B bi-unique-def inj-onI*)
have $\langle B = p \ 'A \rangle$
by (*metis (mono-tags, lifting) Rf rel-set R A B rel-set R A B bi-uniqueDr bi-unique-rel-set-lemma image-cong*)

define *A-copy* **where** $\langle A\text{-copy} = A \rangle$

have $*$: $\langle S \ (f \ x + \text{sum } f \ F) \ (g \ (p \ x) + \text{sum } g \ (p \ 'F)) \rangle$
if [*transfer-rule*]: $\langle S \ (\text{sum } f \ F) \ (\text{sum } g \ (p \ 'F)) \rangle$ **and** [*simp*]: $\langle x \in A \ \text{for } x \ F \rangle$
by (*metis (no-types, opaque-lifting) Rf rel-set R A B assms(2) rel-fun-def that(1) that(2)*)
have *ind-step*: $\langle S \ (\text{sum } f \ (\text{insert } x \ F)) \ (\text{sum } g \ (p \ ' \text{insert } x \ F)) \rangle$
if $\langle S \ (\text{sum } f \ F) \ (\text{sum } g \ (p \ 'F)) \rangle$ $\langle x \in A \rangle$ $\langle x \notin F \rangle$ $\langle \text{finite } F \rangle$ $\langle F \subseteq A \rangle$ **for** $x \ F$
proof –
have $\text{sum } g \ (p \ ' \text{insert } x \ F) = g \ (p \ x) + \text{sum } g \ (p \ 'F)$
unfolding *image-insert* **using** *that*
by (*subst sum.insert*) (*use inj-onD[OF inj-on p A, of x] in auto*)
thus *?thesis*
using *that* $*$ **by** *simp*
qed

have $\langle S \ (\sum x \in A. f \ x) \ (\sum x \in p \ 'A. g \ x) \rangle$ **if** $\langle A \subseteq A\text{-copy} \rangle$
using *that*
apply (*induction A rule:infinite-finite-induct*)
unfolding *A-copy-def*
subgoal
by (*metis (no-types, lifting) inj-on p A assms(3) finite-image-iff subset-inj-on sum.infinite*)
using $\langle S \ 0 \ 0 \rangle$ *ind-step* **by** *auto*
hence $\langle S \ (\sum x \in A. f \ x) \ (\sum x \in p \ 'A. g \ x) \rangle$
by (*simp add: A-copy-def*)
also have $\langle \dots = (\sum x \in B. g \ x) \rangle$
by (*metis (full-types) B = p 'A*)
finally show $\langle S \ (\sum x \in A. f \ x) \ (\sum x \in B. g \ x) \rangle$

by –
qed

lemma *has-sum-in-parametric*[transfer-rule]:

includes *lifting-syntax*

fixes $R :: \langle 'a \Rightarrow 'b \Rightarrow \text{bool} \rangle$ **and** $S :: \langle 'c :: \text{comm-monoid-add} \Rightarrow 'd :: \text{comm-monoid-add} \Rightarrow \text{bool} \rangle$

assumes [transfer-rule]: $\langle \text{bi-unique } R \rangle$

assumes [transfer-rule]: $\langle \text{bi-unique } S \rangle$

assumes [transfer-rule]: $\langle (S \text{ ===== } S \text{ ===== } S) (+) (+) \rangle$

assumes [transfer-rule]: $\langle S \ 0 \ 0 \rangle$

shows $\langle (\text{rel-topology } S \text{ ===== } (R \text{ ===== } S) \text{ ===== } (\text{rel-set } R) \text{ ===== } S \text{ ===== } (=))$

has-sum-in has-sum-in

proof –

note *sum-parametric'*[transfer-rule]

show *?thesis*

unfolding *has-sum-in-def*

by *transfer-prover*

qed

lemma *has-sum-in-topspace*: $\langle \text{has-sum-in } T \ f \ A \ s \implies s \in \text{topspace } T \rangle$

by (*metis has-sum-in-def limitin-def*)

lemma *summable-on-in-parametric*[transfer-rule]:

includes *lifting-syntax*

fixes $R :: \langle 'a \Rightarrow 'b \Rightarrow \text{bool} \rangle$

assumes [transfer-rule]: $\langle \text{bi-unique } R \rangle$

assumes [transfer-rule]: $\langle \text{bi-unique } S \rangle$

assumes [transfer-rule]: $\langle (S \text{ ===== } S \text{ ===== } S) (+) (+) \rangle$

assumes [transfer-rule]: $\langle S \ 0 \ 0 \rangle$

shows $\langle (\text{rel-topology } S \text{ ===== } (R \text{ ===== } S) \text{ ===== } (\text{rel-set } R) \text{ ===== } (=))$

summable-on-in

proof (*intro rel-funI*)

fix $T \ T'$ **assume** [transfer-rule]: $\langle \text{rel-topology } S \ T \ T' \rangle$

fix $f \ f'$ **assume** [transfer-rule]: $\langle (R \text{ ===== } S) \ f \ f' \rangle$

fix $A \ A'$ **assume** [transfer-rule]: $\langle \text{rel-set } R \ A \ A' \rangle$

define $ExT \ ExT'$ **where** $\langle ExT \ P \longleftrightarrow (\exists x \in \text{Collect } (\text{Domainp } S). \ P \ x) \rangle$ **and** $\langle ExT' \ P' \longleftrightarrow (\exists x \in \text{Collect } (\text{Rangep } S). \ P' \ x) \rangle$ **for** $P \ P'$

have [transfer-rule]: $\langle ((S \text{ ===== } (\longleftrightarrow)) \text{ ===== } (\longleftrightarrow)) \ ExT \ ExT' \rangle$

by (*smt (z3) Domainp-iff ExT'-def ExT-def RangePI Rangep.cases mem-Collect-eq rel-fun-def*)

from $\langle \text{rel-topology } S \ T \ T' \rangle$ **have** *top1*: $\langle \text{topspace } T \subseteq \text{Collect } (\text{Domainp } S) \rangle$

unfolding *rel-topology-def*

by (*metis (no-types, lifting) Domainp-set mem-Collect-eq openin-topspace subsetI*)

from $\langle \text{rel-topology } S \ T \ T' \rangle$ **have** *top2*: $\langle \text{topspace } T' \subseteq \text{Collect } (\text{Rangep } S) \rangle$

unfolding *rel-topology-def*

by (*metis (no-types, lifting) RangePI Rangep.cases mem-Collect-eq openin-topspace rel-setD2 subsetI*)


```

have cardLL': ⟨card L = 1 ⟷ card L' = 1⟩
  by (metis (no-types, lifting) ⟨rel-set S L L'⟩ assms(2) bi-unique-rel-set-lemma card-image)

have ⟨S (infsun-in T f A) (infsun-in T' f' A')⟩ if ⟨card L ≠ 1⟩
  using that cardLL' by (simp add: infsun-in-def L'-def L-def Let-def that ⟨S 0 0⟩ flip: sum-iff)

moreover have ⟨S (infsun-in T f A) (infsun-in T' f' A')⟩ if [simp]: ⟨card L = 1⟩
proof -
  have [simp]: ⟨card L' = 1⟩
    using that cardLL' by simp
  have ⟨S (the-elem L) (the-elem L')⟩
    using ⟨rel-set S L L'⟩
    by (metis (no-types, opaque-lifting) ⟨card L' = 1⟩ is-singleton-altdef is-singleton-the-elem
rel-setD1 singleton-iff that)
  then show ?thesis
    by (simp add: infsun-in-def flip: L'-def L-def)
qed

ultimately show ⟨S (infsun-in T f A) (infsun-in T' f' A')⟩
  by auto
qed

lemma infsun-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: ⟨bi-unique R⟩
  shows ⟨((R == => (=)) == => (rel-set R) == => (=)) infsun infsun⟩
  unfolding infsun-euclidean-eq[symmetric]
  by transfer-prover

lemma summable-on-transfer[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: ⟨bi-unique R⟩
  shows ⟨((R == => (=)) == => (rel-set R) == => (=)) Infinite-Sum.summable-on Infi-
nite-Sum.summable-on⟩
  unfolding summable-on-euclidean-eq[symmetric]
  by transfer-prover

lemma abs-gbinomial: ⟨abs (a gchoose n) = (-1)^(n - nat (ceiling a)) * (a gchoose n)⟩
proof -
  have ⟨(∏ i=0..<n. abs (a - of-nat i)) = (- 1) ^ (n - nat (ceiling a)) * (∏ i=0..<n. a -
of-nat i)⟩
  proof (induction n)
    case 0
    then show ?case
      by simp
  next
    case (Suc n)
    consider (geq) ⟨of-int n ≥ a⟩ | (lt) ⟨of-int n < a⟩
    by fastforce

```

```

then show ?case
proof cases
  case geq
    from geq have  $\langle \text{abs } (a - \text{of-int } n) = - (a - \text{of-int } n) \rangle$ 
      by simp
    moreover from geq have  $\langle (\text{Suc } n - \text{nat } (\text{ceiling } a)) = (n - \text{nat } (\text{ceiling } a)) + 1 \rangle$ 
      by (metis Suc-diff-le Suc-eq-plus1 ceiling-le nat-le-iff)
    ultimately show ?thesis
      apply (simp add: Suc)
      by (metis (no-types, lifting)  $\langle |a - \text{of-int } (\text{int } n)| = - (a - \text{of-int } (\text{int } n)) \rangle$  mult.assoc
mult-minus-right of-int-of-nat-eq)
  next
    case lt
      from lt have  $\langle \text{abs } (a - \text{of-int } n) = (a - \text{of-int } n) \rangle$ 
        by simp
      moreover from lt have  $\langle (\text{Suc } n - \text{nat } (\text{ceiling } a)) = (n - \text{nat } (\text{ceiling } a)) \rangle$ 
        by (smt (verit, ccfv-threshold) Suc-leI cancel-comm-monoid-add-class.diff-cancel diff-commute
diff-diff-cancel diff-le-self less-ceiling-iff linorder-not-le order-less-le zless-nat-eq-int-zless)
      ultimately show ?thesis
        by (simp add: Suc)
    qed
  qed
then show ?thesis
  by (simp add: gbinomial-prod-rev abs-prod)
qed

```

lemma *gbinomial-sum-lower-abs:*

```

fixes a ::  $\langle 'a :: \{\text{floor-ceiling}\} \rangle$ 
defines  $\langle a' \equiv \text{nat } (\text{ceiling } a) \rangle$ 
assumes  $\langle \text{of-nat } m \geq a - 1 \rangle$ 
shows  $\langle \sum_{k \leq m}. \text{abs } (a \text{ gchoose } k) =$ 
   $(-1)^{a'} * ((-1)^m * (a - 1 \text{ gchoose } m))$ 
   $- (-1)^{a'} * \text{of-bool } (a' > 0) * ((-1)^{(a'-1)} * (a - 1 \text{ gchoose } (a' - 1)))$ 
   $+ (\sum_{k < a'}. \text{abs } (a \text{ gchoose } k)) \rangle$ 

```

proof –

```

from assms
have  $\langle a' \leq \text{Suc } m \rangle$ 
  using ceiling-mono by force

```

```

have  $\langle (\sum_{k \leq m}. \text{abs } (a \text{ gchoose } k)) = (\sum_{k = a'..m}. \text{abs } (a \text{ gchoose } k)) + (\sum_{k < a'}. \text{abs } (a \text{ gchoose } k)) \rangle$ 

```

```

  apply (subst asm-rl[of  $\langle \{..m\} = \{a'..m\} \cup \{..<a'\} \rangle$ ])
  using  $\langle a' \leq \text{Suc } m \rangle$  apply auto[1]
  apply (subst sum.union-disjoint)
  by auto

```

```

also have  $\langle \dots = (\sum_{k = a'..m}. (-1)^{k-a'} * (a \text{ gchoose } k)) + (\sum_{k < a'}. \text{abs } (a \text{ gchoose } k)) \rangle$ 
  apply (rule arg-cong[where  $f = \langle \lambda x. x + - \rangle$ ])
  apply (rule sum.cong[OF refl])
  apply (subst abs-gbinomial)

```

using a' -def **by** blast
also have $\langle \dots = (\sum k=a'..m. (-1)^k * (-1)^{a'} * (a \text{ gchoose } k)) + (\sum k<a'. \text{abs } (a \text{ gchoose } k)) \rangle$
apply (rule arg-cong[where $f = \langle \lambda x. x + \cdot \rangle$])
apply (rule sum.cong[OF refl])
by (simp add: power-diff-conv-inverse)
also have $\langle \dots = (-1)^{a'} * (\sum k=a'..m. (a \text{ gchoose } k) * (-1)^k) + (\sum k<a'. \text{abs } (a \text{ gchoose } k)) \rangle$
by (auto intro: sum.cong simp: sum-distrib-left)
also have $\langle \dots = (-1)^{a'} * (\sum k \leq m. (a \text{ gchoose } k) * (-1)^k) - (-1)^{a'} * (\sum k<a'. (a \text{ gchoose } k) * (-1)^k) + (\sum k<a'. \text{abs } (a \text{ gchoose } k)) \rangle$
apply (subst asm-rl[of $\langle \{..m\} = \{..<a'\} \cup \{a'..m\} \rangle$)
using $\langle a' \leq \text{Suc } m \rangle$ **apply** auto[1]
apply (subst sum.union-disjoint)
by (auto simp: distrib-left)
also have $\langle \dots = (-1)^{a'} * ((-1)^m * (a - 1 \text{ gchoose } m)) - (-1)^{a'} * (\sum k<a'. (a \text{ gchoose } k) * (-1)^k) + (\sum k<a'. \text{abs } (a \text{ gchoose } k)) \rangle$
apply (subst gbinomial-sum-lower-neg)
by simp
also have $\langle \dots = (-1)^{a'} * ((-1)^m * (a - 1 \text{ gchoose } m)) - (-1)^{a'} * \text{of-bool } (a' > 0) * ((-1)^{a'-1} * (a - 1 \text{ gchoose } (a' - 1))) + (\sum k<a'. \text{abs } (a \text{ gchoose } k)) \rangle$
apply (cases $\langle a' = 0 \rangle$)
subgoal
by simp
subgoal
by (subst asm-rl[of $\langle \{..<a'\} = \{..a'-1\} \rangle$]) (auto simp: gbinomial-sum-lower-neg)
done
finally show ?thesis
by -
qed

lemma abs-gbinomial-leq1:

fixes $a :: \langle 'a :: \{\text{linordered-field}\} \rangle$

assumes $\langle \text{abs } a \leq 1 \rangle$

shows $\langle \text{abs } (a \text{ gchoose } b) \leq 1 \rangle$

proof -

have *: $\langle -1 \leq a \rangle \langle a \leq 1 \rangle$

using abs-le-D2 assms minus-le-iff abs-le-iff assms **by** auto

have $\langle \text{abs } (a \text{ gchoose } b) = \text{abs } ((\prod i = 0..<b. a - \text{of-nat } i) / \text{fact } b) \rangle$

by (simp add: gbinomial-prod-rev)

also have $\langle \dots = \text{abs } ((\prod i=0..<b. a - \text{of-nat } i)) / \text{fact } b \rangle$

apply (subst abs-divide)

by simp

also have $\langle \dots = (\prod i=0..<b. \text{abs } (a - \text{of-nat } i)) / \text{fact } b \rangle$

apply (subst abs-prod) **by** simp

also have $\langle \dots \leq (\prod i=0..<b. \text{of-nat } (\text{Suc } i)) / \text{fact } b \rangle$

proof (intro divide-right-mono prod-mono conjI)

fix i **assume** $i \in \{0..<b\}$

```

have |a - of-nat i| ≤ |a| + |of-nat i|
  by linarith
also have |a| ≤ 1
  by fact
finally show |a - of-nat i| ≤ of-nat (Suc i)
  by simp
qed auto
also have ⟨... = fact b / fact b⟩
  by (subst (2) fact-prod-Suc) auto
also have ⟨... = 1⟩
  by simp
finally show ?thesis
  by -
qed

```

lemma *gbinomial-summable-abs*:

```

fixes a :: real
assumes ⟨a ≥ 0⟩ and ⟨a ≤ 1⟩
shows ⟨summable (λn. abs (a gchoose n))⟩
proof -
define a' where ⟨a' = nat (ceiling a)⟩
have a': ⟨a' = 0 ∨ a' = 1⟩
  by (metis One-nat-def a'-def assms(2) ceiling-le-one less-one nat-1 nat-mono order-le-less)
have aux1: ⟨abs x ≤ x' ⟹ abs y ≤ y' ⟹ abs z ≤ z' ⟹ x - y + z ≤ x' + y' + z'⟩ for x
y z x' y' z' :: real
  by auto
have ⟨(∑ i ≤ n. |a gchoose i|) = (- 1) ^ a' * ((- 1) ^ n * (a - 1 gchoose n)) -
(- 1) ^ a' * of-bool (0 < a') * ((- 1) ^ (a' - 1) * (a - 1 gchoose (a' - 1))) +
(∑ k < a'. |a gchoose k|)⟩ for n
  unfolding a'-def by (rule gbinomial-sum-lower-abs) (use assms in auto)
also have ⟨... n ≤ 1 + 1 + 1⟩ for n
  by (rule aux1) (use a' in ⟨auto simp add: abs-mult abs-gbinomial-leq1 assms⟩)
also have ⟨... = 3⟩
  by simp
finally show ?thesis
  by (meson abs-ge-zero bounded-imp-summable)
qed

```

lemma *summable-tendsto-times-n*:

```

fixes f :: ⟨nat ⇒ real⟩
assumes pos: ⟨∧ n. f n ≥ 0⟩
assumes dec: ⟨decseq (λn. (n+M) * f (n + M))⟩
assumes sum: ⟨summable f⟩
shows ⟨(λn. n * f n) ⟶ 0⟩
proof (rule ccontr)
assume lim-not-0: ⟨¬ (λn. n * f n) ⟶ 0⟩
obtain B where ⟨(λn. (n+M) * f (n+M)) ⟶ B⟩ and nfB': ⟨(n+M) * f (n+M) ≥ B⟩
for n
  apply (rule decseq-convergent[where B=0, OF dec])

```

```

    using pos that by auto
  then have lim-B:  $\langle \lambda n. n * f n \longrightarrow B \rangle$ 
    by - (rule LIMSEQ-offset)
  have  $\langle B \geq 0 \rangle$ 
    apply (subgoal-tac  $\langle \bigwedge n. n * f n \geq 0 \rangle$ )
    using Lim-bounded2 lim-B apply blast
    by (simp add: pos)
  moreover have  $\langle B \neq 0 \rangle$ 
    using lim-B lim-not-0 by blast
  ultimately have  $\langle B > 0 \rangle$ 
    by linarith

  have ge:  $\langle f n \geq B / n \rangle$  if  $\langle n \geq M \rangle$  for n
    using nfb'[of  $\langle n - M \rangle$ ] that  $\langle B > 0 \rangle$  by (auto simp: divide-simps mult-ac)

  have  $\langle \text{summable } (\lambda n. B / n) \rangle$ 
    by (rule summable-comparison-test'[where  $N=M$ ]) (use sum  $\langle B > 0 \rangle$  ge in auto)

  moreover have  $\langle \neg \text{summable } (\lambda n. B / n) \rangle$ 
  proof (rule ccontr)
    define C where  $\langle C = (\sum n. 1 / \text{real } n) \rangle$ 
    assume  $\langle \neg \neg \text{summable } (\lambda n. B / \text{real } n) \rangle$ 
    then have  $\langle \text{summable } (\lambda n. \text{inverse } B * (B / \text{real } n)) \rangle$ 
      using summable-mult by blast
    then have  $\langle \text{summable } (\lambda n. 1 / \text{real } n) \rangle$ 
      using  $\langle B \neq 0 \rangle$  by auto
    then have  $\langle (\sum n=1..m. 1 / \text{real } n) \leq C \rangle$  for m
      unfolding C-def by (rule sum-le-suminf) auto
    then have  $\langle \text{harm } m \leq C \rangle$  for m
      by (simp add: harm-def inverse-eq-divide)
    then have  $\langle \text{harm } (\text{nat } (\text{ceiling } (\text{exp } C))) \leq C \rangle$ 
      by -
    then have  $\langle \ln (\text{real } (\text{nat } (\text{ceiling } (\text{exp } C)))) + 1 \leq C \rangle$ 
      by (smt (verit, best) ln-le-harm)
    then show False
      by (smt (z3) exp-ln ln-ge-iff of-nat-0-le-iff real-nat-ceiling-ge)
  qed

  ultimately show False
    by simp
  qed

```

```

lemma gbinomial-tendsto-0:
  fixes a :: real
  assumes  $\langle a > -1 \rangle$ 
  shows  $\langle \lambda n. (a \text{ gchoose } n) \longrightarrow 0 \rangle$ 
  proof -

```



```

have thesis1: ⟨(λn. (a gchoose n)) ⟶ 0⟩ if ⟨a ≥ 0⟩ for a :: real
proof -
  define m where ⟨m = nat (floor a)⟩
  have m: ⟨a ≥ real m⟩ ⟨a ≤ real m + 1⟩
    by (simp-all add: m-def that)
  show ?thesis
  proof (insert m, induction m arbitrary: a)
    case 0
    then have *: ⟨a ≥ 0⟩ ⟨a ≤ 1⟩
      using assms by auto
    show ?case
      using gbinomial-summable-abs[OF *]
      using summable-LIMSEQ-zero tendsto-rabs-zero-iff by blast
  next
  case (Suc m)
  have 1: ⟨(λn. (a-1 gchoose n)) ⟶ 0⟩
    by (rule Suc.IH) (use Suc.prem in auto)
  then have ⟨(λn. (a-1 gchoose Suc n)) ⟶ 0⟩
    using filterlim-sequentially-Suc by blast
  with 1 have ⟨(λn. (a-1 gchoose n) + (a-1 gchoose Suc n)) ⟶ 0⟩
    by (simp add: tendsto-add-zero)
  then have ⟨(λn. (a gchoose Suc n)) ⟶ 0⟩
    using gbinomial-Suc-Suc[of ⟨a-1⟩] by simp
  then show ?case
    using filterlim-sequentially-Suc by blast
  qed
qed

have thesis2: ⟨(λn. (a gchoose n)) ⟶ 0⟩ if ⟨a > -1⟩ ⟨a ≤ 0⟩
proof -
  have decseq: ⟨decseq (λn. abs (a gchoose n))⟩
  proof (rule decseq-SucI)
    fix n
    have ⟨|a gchoose Suc n| = |a gchoose n| * (|a - real n| / (1 + n))⟩
      unfolding gbinomial-prod-rev by (simp add: abs-mult)
    also have ⟨... ≤ |a gchoose n|⟩
      apply (rule mult-left-le)
      using assms that(2) by auto
    finally show ⟨|a gchoose Suc n| ≤ |a gchoose n|⟩
      by -
  qed
  have abs-a1: ⟨abs (a+1) = a+1⟩
    using assms by auto

  have ⟨0 ≤ |a + 1 gchoose n|⟩ for n
    by simp
  moreover have ⟨decseq (λn. (n+1) * abs (a+1 gchoose (n+1)))⟩
    using decseq apply (simp add: gbinomial-rec abs-mult)
    by (smt (verit, best) decseq-def mult.commute mult-left-mono)

```

moreover have $\langle \text{summable } (\lambda n. \text{abs } (a+1 \text{ gchoose } n)) \rangle$
apply $(\text{rule gbinomial-summable-abs})$
using that by auto
ultimately have $\langle (\lambda n. n * \text{abs } (a+1 \text{ gchoose } n)) \longrightarrow 0 \rangle$
by $(\text{rule summable-tendsto-times-n})$
then have $\langle (\lambda n. \text{Suc } n * \text{abs } (a+1 \text{ gchoose } \text{Suc } n)) \longrightarrow 0 \rangle$
by $(\text{rule-tac LIMSEQ-ignore-initial-segment}[\text{where } k=1 \text{ and } a=0, \text{simplified}])$
then have $\langle (\lambda n. \text{abs } (\text{Suc } n * (a+1 \text{ gchoose } \text{Suc } n))) \longrightarrow 0 \rangle$
by $(\text{simp add: abs-mult})$
then have $\langle (\lambda n. (a+1) * \text{abs } (a \text{ gchoose } n)) \longrightarrow 0 \rangle$
apply $(\text{subst } (\text{asm } \text{gbinomial-absorption}))$
by $(\text{simp add: abs-mult abs-a1})$
then have $\langle (\lambda n. \text{abs } (a \text{ gchoose } n)) \longrightarrow 0 \rangle$
using that(1) by force
then show $\langle (\lambda n. (a \text{ gchoose } n)) \longrightarrow 0 \rangle$
by $(\text{rule tendsto-rabs-zero-cancel})$
qed

from thesis1 thesis2 assms show ?thesis
using linorder-linear by blast
qed

lemma gbinomial-abs-sum:

fixes $a :: \text{real}$

assumes $\langle a > 0 \rangle$ **and** $\langle a \leq 1 \rangle$

shows $\langle (\lambda n. \text{abs } (a \text{ gchoose } n)) \text{ sums } 2 \rangle$

proof –

define a' **where** $\langle a' = \text{nat } (\text{ceiling } a) \rangle$

have $\langle a' = 1 \rangle$

using $a'\text{-def}$ $\text{assms}(1)$ $\text{assms}(2)$ **by** linarith

have $\text{lim: } \langle (\lambda n. (a - 1 \text{ gchoose } n)) \longrightarrow 0 \rangle$

by $(\text{simp add: assms}(1) \text{gbinomial-tendsto-0})$

have $\langle (\sum k \leq n. \text{abs } (a \text{ gchoose } k)) = (-1)^{a'} * ((-1)^n * (a - 1 \text{ gchoose } n)) - (-1)^{a'} * \text{of_bool } (0 < a') * ((-1)^{(a'-1)} * (a - 1 \text{ gchoose } (a' - 1))) + (\sum k < a'. |a \text{ gchoose } k|) \rangle$ **for** n

unfolding $a'\text{-def}$

apply $(\text{rule gbinomial-sum-lower-abs})$

using $\text{assms}(2)$ **by** linarith

also have $\langle \dots n = 2 - (-1)^n * (a - 1 \text{ gchoose } n) \rangle$ **for** n

using assms

by $(\text{auto simp add: } \langle a' = 1 \rangle)$

finally have $\langle (\sum k \leq n. \text{abs } (a \text{ gchoose } k)) = 2 - (-1)^n * (a - 1 \text{ gchoose } n) \rangle$ **for** n

by –

moreover have $\langle (\lambda n. 2 - (-1)^n * (a - 1 \text{ gchoose } n)) \longrightarrow 2 \rangle$

proof –

have $\langle (\lambda n. ((-1)^n * (a - 1 \text{ gchoose } n))) \longrightarrow 0 \rangle$

```

    by (rule tendsto-rabs-zero-cancel) (use lim in ⟨simp add: abs-mult tendsto-rabs-zero-iff⟩)
  then have ⟨(λn. 2 - (- 1) ^ n * (a - 1 gchoose n)) ⟶ 2 - 0⟩
    by (rule tendsto-diff[rotated]) simp
  then show ?thesis
    by simp
qed
ultimately have ⟨(λn. ∑ k≤n. abs (a gchoose k)) ⟶ 2⟩
  by auto
then show ?thesis
  using sums-def-le by blast
qed

lemma sums-has-sum:
  fixes s :: ⟨'a :: banach⟩
  assumes sums: ⟨f sums s⟩
  assumes abs-sum: ⟨summable (λn. norm (f n))⟩
  shows ⟨f has-sum s⟩ UNIV
proof (rule has-sumI-metric)
  fix e :: real assume ⟨0 < e⟩
  define e' where ⟨e' = e/2⟩
  then have ⟨e' > 0⟩
    using ⟨0 < e⟩ half-gt-zero by blast
  from suminf-exist-split[where r=e', OF ⟨0 < e'⟩ abs-sum]
  obtain N where ⟨norm (∑ i. norm (f (i + N))) < e'⟩
    by auto
  then have N: ⟨(∑ i. norm (f (i + N))) < e'⟩
    by auto
  then have N': ⟨norm (∑ i. f (i + N)) < e'⟩
    apply (rule dual-order.strict-trans2)
    by (auto intro!: summable-norm summable-iff-shift[THEN iffD2] abs-sum)

  define X where ⟨X = {..<N}⟩
  then have ⟨finite X⟩
    by auto
  moreover have ⟨dist (sum f Y) s < e⟩ if ⟨finite Y⟩ and ⟨X ⊆ Y⟩ for Y
  proof -
    have ⟨dist (sum f Y) s = norm (s - sum f {..<N} - sum f (Y - {..<N}))⟩
      by (metis X-def diff-diff-eq2 dist-norm norm-minus-commute sum.subset-diff that(1)
        that(2))
    also have ⟨... ≤ norm (s - sum f {..<N}) + norm (sum f (Y - {..<N}))⟩
      using norm-triangle-ineq4 by blast
    also have ⟨... = norm (∑ i. f (i + N)) + norm (sum f (Y - {..<N}))⟩
      apply (subst suminf-minus-initial-segment)
      using sums sums-summable apply blast
      using sums sums-unique by blast
    also have ⟨... < e' + norm (sum f (Y - {..<N}))⟩
      using N' by simp
    also have ⟨... ≤ e' + norm (∑ i∈Y - {..<N}. norm (f i))⟩
      apply (rule add-left-mono)

```

```

    by (smt (verit, best) real-norm-def sum-norm-le)
  also have ⟨... ≤ e' + (∑ i∈Y-{..<N}. norm (f i))⟩
    apply (rule add-left-mono)
    by (simp add: sum-nonneg)
  also have (∑ i∈Y-{..<N}. norm (f i)) = (∑ i|i+N∈Y. norm (f (i + N)))
    by (rule sum.reindex-bij-witness[of - λi. i + N λi. i - N]) auto
  also have ⟨e' + ... ≤ e' + (∑ i. norm (f (i + N)))⟩
    by (auto intro!: add-left-mono sum-le-suminf summable-iff-shift[THEN iffD2] abs-sum
finite-inverse-image ⟨finite Y⟩)
    also have ⟨... ≤ e' + e'⟩
      using N by simp
    also have ⟨... = e⟩
      by (simp add: e'-def)
    finally show ?thesis
      by -
  qed
  ultimately show ⟨∃ X. finite X ∧ X ⊆ UNIV ∧ (∀ Y. finite Y ∧ X ⊆ Y ∧ Y ⊆ UNIV →
dist (sum f Y) s < e)⟩
    by auto
  qed

```

lemma *sums-has-sum-pos*:

```

  fixes s :: real
  assumes ⟨f sums s⟩
  assumes ⟨∧ n. f n ≥ 0⟩
  shows ⟨(f has-sum s) UNIV⟩
  apply (rule sums-has-sum)
  apply (simp add: assms(1))
  using assms(1) assms(2) summable-def by auto

```

lemma *gbinomial-abs-has-sum*:

```

  fixes a :: real
  assumes ⟨a > 0⟩ and ⟨a ≤ 1⟩
  shows ⟨((λ n. abs (a gchoose n)) has-sum 2) UNIV⟩
  apply (rule sums-has-sum-pos)
  apply (rule gbinomial-abs-sum)
  using assms by auto

```

lemma *gbinomial-abs-has-sum-1*:

```

  fixes a :: real
  assumes ⟨a > 0⟩ and ⟨a ≤ 1⟩
  shows ⟨((λ n. abs (a gchoose n)) has-sum 1) (UNIV - {0})⟩
  proof -
  have ⟨((λ n. abs (a gchoose n)) has-sum 2 - (∑ n∈{0}. abs (a gchoose n))) (UNIV - {0})⟩
    apply (rule has-sum-Diff)
    apply (rule gbinomial-abs-has-sum)
    using assms apply auto[2]
    apply (rule has-sum-finite)
  by auto

```

then show *?thesis*
by *simp*
qed

lemma *gbinomial-abs-summable*:
fixes $a :: \text{real}$
assumes $\langle a > 0 \rangle$ **and** $\langle a \leq 1 \rangle$
shows $\langle \lambda n. (a \text{ gchoose } n) \text{ abs-summable-on } UNIV \rangle$
using *assms* **by** (*auto intro!*: *has-sum-imp-summable gbinomial-abs-has-sum*)

lemma *gbinomial-abs-summable-1*:
fixes $a :: \text{real}$
assumes $\langle a > 0 \rangle$ **and** $\langle a \leq 1 \rangle$
shows $\langle \lambda n. (a \text{ gchoose } n) \text{ abs-summable-on } UNIV - \{0\} \rangle$
using *assms* **by** (*auto intro!*: *has-sum-imp-summable gbinomial-abs-has-sum-1*)

lemma *has-sum-singleton[simp]*: $\langle (f \text{ has-sum } y) \{x\} \longleftrightarrow f x = y \rangle$ **for** $y :: \langle 'a :: \{ \text{comm-monoid-add, } t2\text{-space} \} \rangle$
using *has-sum-finite[of $\langle \{x\} \rangle$ infsumI[of $f \{x\} y$]* **by** *auto*

lemma *has-sum-sums*: $\langle f \text{ sums } s \rangle$ **if** $\langle (f \text{ has-sum } s) UNIV \rangle$
proof –
have $\langle \lambda n. \text{sum } f \{..<n\} \longrightarrow s \rangle$
proof (*unfold tendsto-def, intro allI impI*)
fix S **assume** $\langle \text{open } S \rangle$ **and** $\langle s \in S \rangle$
with $\langle (f \text{ has-sum } s) UNIV \rangle$
have $\langle \forall F. F \text{ in finite-subsets-at-top } UNIV. \text{sum } f F \in S \rangle$
using *has-sum-def tendsto-def* **by** *blast*
then
show $\langle \forall F. x \text{ in sequentially. sum } f \{..<x\} \in S \rangle$
using *eventually-compose-filterlim filterlim-lessThan-at-top* **by** *blast*
qed
then show *?thesis*
by (*simp add: sums-def*)
qed

lemma *The-eqI1*:
assumes $\langle \bigwedge x y. F x \implies F y \implies x = y \rangle$
assumes $\langle \exists z. F z \rangle$
assumes $\langle \bigwedge x. F x \implies P x = Q x \rangle$
shows $\langle P (\text{The } F) = Q (\text{The } F) \rangle$
by (*metis assms(1) assms(2) assms(3) theI*)

lemma *summable-on-uminus[intro!]*:
fixes $f :: \langle 'a \Rightarrow 'b :: \text{real-normed-vector} \rangle$
assumes $\langle f \text{ summable-on } A \rangle$
shows $\langle \lambda i. - f i \text{ summable-on } A \rangle$
apply (*subst asm-rl[of $\langle \lambda i. - f i = (\lambda i. (-1) *_R f i \rangle$]*)

apply *simp*
using *assms* **by** (*rule summable-on-scaleR-right*)

lemma *summable-on-diff*:

fixes $f\ g :: 'a \Rightarrow 'b::\text{real-normed-vector}$
assumes $\langle f \text{ summable-on } A \rangle$
assumes $\langle g \text{ summable-on } A \rangle$
shows $\langle (\lambda x. f\ x - g\ x) \text{ summable-on } A \rangle$
using *summable-on-add* [**where** $f=f$ **and** $g=\langle \lambda x. -\ g\ x \rangle$] *summable-on-uminus* [**where** $f=g$]
using *assms* **by** *auto*

lemma *gbinomial-1*: $\langle (1\ \text{gchoose } n) = \text{of-bool } (n \leq 1) \rangle$

proof –

consider $(0)\ \langle n=0 \rangle \mid (1)\ \langle n=1 \rangle \mid (\text{bigger})\ m$ **where** $\langle n = \text{Suc } (\text{Suc } m) \rangle$
by (*metis One-nat-def not0-implies-Suc*)

then show *?thesis*

proof *cases*

case *0*

then show *?thesis*

by *simp*

next

case *1*

then show *?thesis*

by *simp*

next

case *bigger*

then show *?thesis*

using *gbinomial-rec* [**where** $a=0$ **and** $k=\langle \text{Suc } m \rangle$]

by *simp*

qed

qed

lemma *gbinomial-a-Suc-n*:

$\langle (a\ \text{gchoose } \text{Suc } n) = (a\ \text{gchoose } n) * (a - n) / \text{Suc } n \rangle$
by (*simp add: gbinomial-prod-rev*)

lemma *has-sum-in-0* [*simp*]:

assumes $\langle 0 \in \text{topspace } T \rangle$

assumes $\langle \bigwedge x. x \in A \implies f\ x = 0 \rangle$

shows $\langle \text{has-sum-in } T\ f\ A\ 0 \rangle$

proof –

have $\langle \text{has-sum-in } T\ (\lambda-. 0)\ A\ 0 \rangle$

using *assms*

by (*simp add: has-sum-in-def sum.neutral-const* [*abs-def*])

then show *?thesis*

apply (*rule has-sum-in-cong* [*THEN iffD2, rotated*])

using *assms* by *simp*
qed

lemma *has-sum-diff*:

fixes $f g :: 'a \Rightarrow 'b :: \{\text{topological-ab-group-add}\}$
 assumes $\langle f \text{ has-sum } a \rangle A$
 assumes $\langle g \text{ has-sum } b \rangle A$
 shows $\langle (\lambda x. f x - g x) \text{ has-sum } (a - b) \rangle A$
 by (auto intro!: *has-sum-add has-sum-uminus*[*THEN iffD2*] *assms simp add: simp flip: add-uminus-conv-diff*)

lemma *has-sum-of-real*:

fixes $f :: 'a \Rightarrow \text{real}$
 assumes $\langle f \text{ has-sum } a \rangle A$
 shows $\langle (\lambda x. \text{of-real } (f x)) \text{ has-sum } (\text{of-real } a :: 'b :: \{\text{real-algebra-1, real-normed-vector}\}) \rangle A$
 apply (rule *has-sum-comm-additive*[*unfolded o-def*, **where** $f = \text{of-real}$])
 by (auto intro!: *additive.intro assms tendsto-of-real*)

lemma *summable-on-cdivide*:

fixes $f :: 'a \Rightarrow 'b :: \{\text{t2-space, topological-semigroup-mult, division-ring}\}$
 assumes $\langle f \text{ summable-on } A \rangle$
 shows $\langle \lambda x. f x / c \rangle \text{ summable-on } A$
 apply (subst *division-ring-class.divide-inverse*)
 using *assms summable-on-cmult-left* by blast

lemma *norm-abs*[*simp*]: $\langle \text{norm } (abs x) = \text{norm } x \rangle$ for $x :: 'a :: \{\text{idom-abs-sgn, real-normed-div-algebra}\}$

proof –

have $\langle \text{norm } x = \text{norm } (\text{sgn } x * abs x) \rangle$
 by (*simp add: sgn-mult-abs*)
 also have $\langle \dots = \text{norm } |x| \rangle$
 by (*simp add: norm-mult norm-sgn*)
 finally show *?thesis*
 by *simp*

qed

thm *abs-summable-product*

lemma *abs-summable-product*:

fixes $x :: 'a \Rightarrow 'b :: \text{real-normed-div-algebra}$
 assumes *x2-sum*: $(\lambda i. (x i)^2) \text{ abs-summable-on } A$
 and *y2-sum*: $(\lambda i. (y i)^2) \text{ abs-summable-on } A$
 shows $(\lambda i. x i * y i) \text{ abs-summable-on } A$

proof (rule *nonneg-bdd-above-summable-on*)

show $\langle 0 \leq \text{norm } (x i * y i) \rangle$ for i
 by *simp*

show $\langle \text{bdd-above } (\text{sum } (\lambda i. \text{norm } (x i * y i))) \text{ ' } \{F. F \subseteq A \wedge \text{finite } F\} \rangle$

proof (rule *bdd-aboveI2*, *rename-tac F*)

fix F assume $\langle F \in \{F. F \subseteq A \wedge \text{finite } F\} \rangle$

then have *finite F* and $F \subseteq A$

by *auto*

```

have norm (x i * y i) ≤ norm (x i * x i) + norm (y i * y i) for i
  unfolding norm-mult
  by (smt mult-left-mono mult-nonneg-nonneg mult-right-mono norm-ge-zero)
hence (∑ i∈F. norm (x i * y i)) ≤ (∑ i∈F. norm ((x i)2) + norm ((y i)2))
  using [[simp-trace]]
  by (simp add: power2-eq-square sum-mono)
also have ... = (∑ i∈F. norm ((x i)2)) + (∑ i∈F. norm ((y i)2))
  by (simp add: sum.distrib)
also have ... ≤ (∑∞ i∈A. norm ((x i)2)) + (∑∞ i∈A. norm ((y i)2))
  using x2-sum y2-sum ⟨finite F⟩ ⟨F ⊆ A⟩ by (auto intro!: finite-sum-le-infsum add-mono)
  finally show ⟨(∑ xa∈F. norm (x xa * y xa)) ≤ (∑∞ i∈A. norm ((x i)2)) + (∑∞ i∈A.
norm ((y i)2))⟩
  by simp
qed
qed

```

lemma *Cauchy-Schwarz-ineq-infsum:*

```

fixes x :: 'a ⇒ 'b::{real-normed-div-algebra}
assumes x2-sum: (λi. (x i)2) abs-summable-on A
  and y2-sum: (λi. (y i)2) abs-summable-on A
shows ⟨(∑∞ i∈A. norm (x i * y i)) ≤ sqrt (∑∞ i∈A. (norm (x i))2) * sqrt (∑∞ i∈A. (norm
(y i))2)⟩
proof -
  have ⟨(∑∞ i∈A. norm (x i * y i)) ≤ sqrt (∑∞ i∈A. (norm (x i))2) * sqrt (∑∞ i∈A. (norm
(y i))2)⟩
  proof (rule infsum-le-finite-sums)
    show ⟨(λi. x i * y i) abs-summable-on A⟩
      using Misc-Tensor-Product.abs-summable-product x2-sum y2-sum by blast
    fix F assume ⟨finite F⟩ and ⟨F ⊆ A⟩

```

```

  have sum1: ⟨(λi. (norm (x i))2) summable-on A⟩
    by (metis (mono-tags, lifting) norm-power summable-on-cong x2-sum)
  have sum2: ⟨(λi. (norm (y i))2) summable-on A⟩
    by (metis (no-types, lifting) norm-power summable-on-cong y2-sum)

```

```

  have ⟨(∑ i∈F. norm (x i * y i))2 = (∑ i∈F. norm (x i) * norm (y i))2⟩
    by (simp add: norm-mult)
  also have ⟨... ≤ (∑ i∈F. (norm (x i))2) * (∑ i∈F. (norm (y i))2)⟩
    using Cauchy-Schwarz-ineq-sum by fastforce
  also have ⟨... ≤ (∑∞ i∈A. (norm (x i))2) * (∑ i∈F. (norm (y i))2)⟩
    using sum1 ⟨finite F⟩ ⟨F ⊆ A⟩
    by (auto intro!: mult-right-mono finite-sum-le-infsum sum-nonneg)
  also have ⟨... ≤ (∑∞ i∈A. (norm (x i))2) * (∑∞ i∈A. (norm (y i))2)⟩
    using sum2 ⟨finite F⟩ ⟨F ⊆ A⟩
    by (auto intro!: mult-left-mono finite-sum-le-infsum infsum-nonneg)
  also have ⟨... = (sqrt (∑∞ i∈A. (norm (x i))2) * sqrt (∑∞ i∈A. (norm (y i))2))2⟩
    by (smt (verit, best) calculation real-sqrt-mult real-sqrt-pow2 zero-le-power2)
  finally show ⟨(∑ i∈F. norm (x i * y i)) ≤ sqrt (∑∞ i∈A. (norm (x i))2) * sqrt (∑∞ i∈A.

```



```

(norm (y i))2
  apply (rule power2-le-imp-le)
  by (auto intro!: mult-nonneg-nonneg infsum-nonneg)
qed
then show ?thesis
  by -
qed

```

lemma *continuous-map-pullback-both*:

```

assumes cont: ⟨continuous-map T1 T2 g'⟩
assumes g'g: ⟨ $\bigwedge x. f1\ x \in \text{topspace } T1 \implies x \in A1 \implies g'\ (f1\ x) = f2\ (g\ x)$ ⟩
assumes top1: ⟨ $f1\ -' \text{topspace } T1 \cap A1 \subseteq g\ -' A2$ ⟩
shows ⟨continuous-map (pullback-topology A1 f1 T1) (pullback-topology A2 f2 T2) g'⟩
proof -
  from cont
  have ⟨continuous-map (pullback-topology A1 f1 T1) T2 (g' ∘ f1)⟩
    by (rule continuous-map-pullback)
  then have ⟨continuous-map (pullback-topology A1 f1 T1) T2 (f2 ∘ g)⟩
    apply (rule continuous-map-eq)
    by (simp add: g'g topspace-pullback-topology)
  then show ?thesis
    apply (rule continuous-map-pullback')
    by (simp add: top1 topspace-pullback-topology)
qed

```

lemma *onorm-case-prod-plus-leq*: ⟨*onorm* (case-prod plus :: - ⇒ 'a::real-normed-vector) ≤ sqrt 2⟩

```

  apply (rule onorm-bound)
  using norm-plus-leq-norm-prod by auto

```

lemma *bounded-linear-case-prod-plus*[simp]: ⟨*bounded-linear* (case-prod plus)⟩

```

  apply (rule bounded-linear-intro[where K=⟨sqrt 2⟩])
  by (auto simp add: scaleR-right-distrib norm-plus-leq-norm-prod mult.commute)

```

lemma *pullback-topology-twice*:

```

assumes ⟨(f -' B) ∩ A = C⟩
shows ⟨pullback-topology A f (pullback-topology B g T) = pullback-topology C (g ∘ f) T⟩
proof -
  have aux: ⟨S = A ⟷ S = B⟩ if ⟨A = B⟩ for A B S :: 'z
    using that by simp
  have *: ⟨(∃ V. (openin T U ∧ V = g -' U ∩ B) ∧ S = f -' V ∩ A) = (openin T U ∧ S = (g ∘ f) -' U ∩ C)⟩ for S U
    apply (cases ⟨openin T U⟩)
    using assms
    by (auto intro!: aux simp: vimage-comp)
  then have *: ⟨(∃ V. (∃ U. openin T U ∧ V = g -' U ∩ B) ∧ S = f -' V ∩ A) = (∃ U. openin T U ∧ S = (g ∘ f) -' U ∩ C)⟩ for S
    by metis
  show ?thesis

```

```

    by (auto intro!: * simp: topology-eq openin-pullback-topology)
qed

lemma pullback-topology-homeo-cong:
  assumes ⟨homeomorphic-map T S g⟩
  assumes ⟨range f ⊆ topspace T⟩
  shows ⟨pullback-topology A f T = pullback-topology A (g o f) S⟩
proof -
  have ⟨∃ Us. openin S Us ∧ f -‘ Ut ∩ A = (g o f) -‘ Us ∩ A⟩ if ⟨openin T Ut⟩ for Ut
    apply (rule exI[of - ⟨g -‘ Ut⟩])
    using assms that apply auto
    using homeomorphic-map-openness-eq apply blast
  by (smt (verit, best) homeomorphic-map-maps homeomorphic-maps-map openin-subset rangeI
subsetD)
  moreover have ⟨∃ Ut. openin T Ut ∧ (g o f) -‘ Us ∩ A = f -‘ Ut ∩ A⟩ if ⟨openin S Us⟩
for Us
  apply (rule exI[of - ⟨g -‘ Us⟩ ∩ topspace T⟩])
  using assms that apply auto
  by (meson continuous-map-open homeomorphic-imp-continuous-map)
  ultimately show ?thesis
  by (auto simp: topology-eq openin-pullback-topology)
qed

```

definition $\langle \text{opensets-in } T = \text{Collect } (\text{openin } T) \rangle$

— This behaves more nicely with the *transfer*-method (and friends) than *openin*. So when rewriting a subgoal, using, e.g., $\exists U \in \text{opensets } T. xxx$ instead of $\exists U. \text{openin } T U \longrightarrow xxx$ can make *transfer* work better.

lemma *opensets-in-parametric*[*transfer-rule*]:

```

  includes lifting-syntax
  assumes ⟨bi-unique R⟩
  shows ⟨rel-topology R ==> rel-set (rel-set R)⟩ opensets-in opensets-in
proof (intro rel-funI rel-setI)
  fix S T
  assume rel-topo: ⟨rel-topology R S T⟩
  fix U
  assume ⟨U ∈ opensets-in S⟩
  then show ⟨∃ V ∈ opensets-in T. rel-set R U V⟩
    by (smt (verit, del-insts) Domainp.cases mem-Collect-eq opensets-in-def rel-fun-def rel-topo
rel-topology-def)
next
  fix S T assume rel-topo: ⟨rel-topology R S T⟩
  fix U assume ⟨U ∈ opensets-in T⟩
  then show ⟨∃ V ∈ opensets-in S. rel-set R V U⟩
    by (smt (verit) RangeE mem-Collect-eq opensets-in-def rel-fun-def rel-topo rel-topology-def)
qed

```

lemma *hausdorff-parametric*[*transfer-rule*]:

```

  includes lifting-syntax

```

assumes $\langle \text{transfer-rule} \rangle$: $\langle \text{bi-unique } R \rangle$
shows $\langle (\text{rel-topology } R \implies (\longleftrightarrow)) \text{ Hausdorff-space Hausdorff-space} \rangle$
proof –
have $\text{Hausdorff-space-def}'$: $\langle \text{Hausdorff-space } T \longleftrightarrow (\forall x \in \text{topspace } T. \forall y \in \text{topspace } T. x \neq y \rightarrow (\exists U \in \text{opensets-in } T. \exists V \in \text{opensets-in } T. x \in U \wedge y \in V \wedge U \cap V = \{\})) \rangle$
for $T :: \langle 'z \text{ topology} \rangle$
unfolding $\text{opensets-in-def Hausdorff-space-def disjnt-def Bex-def}$ **by** *auto*
show *?thesis*
unfolding $\text{Hausdorff-space-def}'$
by *transfer-prover*
qed

lemma *sum-cmod-pos*:

assumes $\langle \bigwedge x. x \in A \implies f x \geq 0 \rangle$
shows $\langle (\sum x \in A. \text{cmod } (f x)) = \text{cmod } (\sum x \in A. f x) \rangle$
by (*metis (mono-tags, lifting) Re-sum assms cmod-Re sum.cong sum-nonneg*)

lemma *min-power-distrib-left*: $\langle (\min x y) \wedge^n = \min (x \wedge^n) (y \wedge^n) \rangle$ **if** $\langle x \geq 0 \rangle$ **and** $\langle y \geq 0 \rangle$
for $x y :: \langle - :: \text{linordered-semidom} \rangle$

by (*metis linorder-le-cases min.absorb-iff2 min.order-iff power-mono that(1) that(2)*)

lemma *abs-summable-times*:

fixes $f :: \langle 'a \Rightarrow 'c :: \{\text{real-normed-algebra}\} \rangle$ **and** $g :: \langle 'b \Rightarrow 'c \rangle$
assumes sum-f : $\langle f \text{ abs-summable-on } A \rangle$
assumes sum-g : $\langle g \text{ abs-summable-on } B \rangle$
shows $\langle (\lambda(i,j). f i * g j) \text{ abs-summable-on } A \times B \rangle$

proof –

have $a1$: $\langle (\lambda j. \text{norm } (f i) * \text{norm } (g j)) \text{ abs-summable-on } B \rangle$ **if** $\langle i \in A \rangle$ **for** i
using sum-g **by** (*simp add: summable-on-cmult-right*)

then have $a2$: $\langle (\lambda j. f i * g j) \text{ abs-summable-on } B \rangle$ **if** $\langle i \in A \rangle$ **for** i
apply (*rule abs-summable-on-comparison-test*)

apply (*fact that*)
by (*simp add: norm-mult-ineq*)

from sum-f

have $\langle (\lambda x. \sum_{\infty} y \in B. \text{norm } (f x) * \text{norm } (g y)) \text{ abs-summable-on } A \rangle$

by (*auto simp add: infsum-cmult-right' infsum-nonneg intro!: summable-on-cmult-left*)

then have $b1$: $\langle (\lambda x. \sum_{\infty} y \in B. \text{norm } (f x * g y)) \text{ abs-summable-on } A \rangle$

apply (*rule abs-summable-on-comparison-test*)

using $a1 a2$ **by** (*simp-all add: norm-mult-ineq infsum-mono infsum-nonneg*)

from $a2 b1$ **show** *?thesis*

by (*intro abs-summable-on-Sigma-iff[THEN iffD2] auto*)

qed

definition $\langle \text{the-default def } S = (\text{if card } S = 1 \text{ then } (\text{THE } x. x \in S) \text{ else def}) \rangle$

lemma *card1I*:

assumes $a \in A$

assumes $\bigwedge x. x \in A \implies x = a$

shows $\langle \text{card } A = 1 \rangle$
by (*metis One-nat-def assms(1) assms(2) card-eq-Suc-0-ex1*)

lemma *the-default-CollectI*:
assumes $P a$
and $\bigwedge x. P x \implies x = a$
shows $P (\text{the-default } d (\text{Collect } P))$

proof –
have *card*: $\langle \text{card } (\text{Collect } P) = 1 \rangle$
apply (*rule card1I*)
using *assms* **by** *auto*
from *assms* **have** $\langle P (\text{THE } x. P x) \rangle$
by (*rule theI*)
then show *?thesis*
by (*simp add: the-default-def card*)

qed

lemma *the-default-singleton[simp]*: $\langle \text{the-default def } \{x\} = x \rangle$
unfolding *the-default-def* **by** *auto*

lemma *the-default-empty[simp]*: $\langle \text{the-default def } \{\} = \text{def} \rangle$
unfolding *the-default-def* **by** *auto*

lemma *the-default-The*: $\langle \text{the-default } z S = (\text{THE } x. x \in S) \rangle$ **if** $\langle \text{card } S = 1 \rangle$
by (*simp add: that the-default-def*)

lemma *the-default-parametricity[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$
shows $\langle (T \implies \text{rel-set } T \implies T) \text{ the-default the-default} \rangle$

proof (*intro rel-funI, rename-tac def def' S S'*)
fix *def def'* **assume** [*transfer-rule*]: $\langle T \text{ def def}' \rangle$
fix $S S'$ **assume** [*transfer-rule*]: $\langle \text{rel-set } T S S' \rangle$
have *card-eq*: $\langle \text{card } S = \text{card } S' \rangle$

by *transfer-prover*
show $\langle T (\text{the-default def } S) (\text{the-default def}' S') \rangle$

proof (*cases* $\langle \text{card } S = 1 \rangle$)

case *True*

define *theS theS'* **where** [*no-atp*]: $\langle \text{theS} = (\text{THE } x. x \in S) \rangle$ **and** [*no-atp*]: $\langle \text{theS}' = (\text{THE } x. x \in S') \rangle$

from *True* **have** *cardS'*: $\langle \text{card } S' = 1 \rangle$

by (*simp add: card-eq*)

have $\langle \text{theS} \in S \rangle$

unfolding *theS-def*

by (*rule theI'*) (*use True in* $\langle \text{simp add: card-eq-Suc-0-ex1} \rangle$)

moreover have $\langle \text{theS}' \in S' \rangle$

unfolding *theS'-def*

by (*rule theI'*) (*use cardS' in* $\langle \text{simp add: card-eq-Suc-0-ex1} \rangle$)

```

ultimately have ⟨T theS theS'⟩
  using ⟨rel-set T S S'⟩ True cardS'
  by (auto simp: rel-set-def card-1-singleton-iff)
then show ?thesis
  by (simp add: True cardS' the-default-def theS-def theS'-def)
next
case False
then have cardS': ⟨card S' ≠ 1⟩
  by (simp add: card-eq)
show ?thesis
  using False cardS' ⟨T def def'⟩
  by (auto simp add: the-default-def)
qed
qed

```

definition $\langle \text{rel-pred } T P Q = \text{rel-set } T (\text{Collect } P) (\text{Collect } Q) \rangle$

lemma *Collect-parametric*[*transfer-rule*]:
includes *lifting-syntax*
shows $\langle (\text{rel-pred } T \implies \text{rel-set } T) \text{Collect Collect} \rangle$
by (*auto simp: rel-pred-def*)

lemma *fold-graph-finite*:

— Exists as *comp-fun-commute-on.fold-graph-finite*, but the *comp-fun-commute-on*-assumption is not needed.

assumes *fold-graph f z A y*
shows *finite A*
using *assms by induct simp-all*

lemma *fold-graph-parametric*[*transfer-rule*]:

includes *lifting-syntax*
assumes [*transfer-rule, simp*]: $\langle \text{bi-unique } T \rangle$
shows $\langle ((T \implies U \implies U) \implies U \implies \text{rel-set } T \implies \text{rel-pred } U) \text{fold-graph fold-graph} \rangle$

proof (*intro rel-funI, rename-tac f f' z z' A A'*)

fix *f f'* **assume** [*transfer-rule, simp*]: $\langle (T \implies U \implies U) f f' \rangle$

fix *z z'* **assume** [*transfer-rule, simp*]: $\langle U z z' \rangle$

fix *A A'* **assume** [*transfer-rule, simp*]: $\langle \text{rel-set } T A A' \rangle$

have *one-direction*: $\langle \exists y'. \text{fold-graph } f' z' A' y' \wedge U y y' \rangle$ **if** $\langle \text{fold-graph } f z A y \rangle$

and [*transfer-rule*]: $\langle U z z' \rangle \langle (T \implies U \implies U) f f' \rangle \langle \text{rel-set } T A A' \rangle \langle \text{bi-unique } T \rangle$

for *f f' z z' A A' y* **and** *U* :: $\langle 'c1 \Rightarrow 'd1 \Rightarrow \text{bool} \rangle$ **and** *T* :: $\langle 'a1 \Rightarrow 'b1 \Rightarrow \text{bool} \rangle$

using $\langle \text{fold-graph } f z A y \rangle \langle \text{rel-set } T A A' \rangle$

proof (*induction arbitrary: A'*)

case *emptyI*

then show *?case*

by (*metis* $\langle U z z' \rangle$ *empty-iff equals0I fold-graph.intros(1) rel-setD2*)

next

case (*insertI x A y*)

from *insertI* **have** *foldA*: $\langle \text{fold-graph } f z A y \rangle$ **and** *T-xA*[*transfer-rule*]: $\langle \text{rel-set } T (\text{insert } x$

A) A' and xA : $\langle x \notin A \rangle$
 by *simp-all*
 define DT RT where $\langle DT = Collect (Domainp T) \rangle$ and $\langle RT = Collect (Rangep T) \rangle$
 from TxA have $\langle x \in DT \rangle$
 by (*metis DT-def DomainPI insertCI mem-Collect-eq rel-set-def*)
 then obtain x' where [*transfer-rule*]: $\langle T x x' \rangle$
 unfolding DT -def by *blast*
 have $\langle x' \in A' \rangle$
 apply *transfer* by *simp*
 define A'' where $\langle A'' = A' - \{x'\} \rangle$
 then have A' -def: $\langle A' = insert x' A'' \rangle$
 using $\langle x' \in A' \rangle$ by *fastforce*
 have $\langle x' \notin A'' \rangle$
 unfolding A'' -def by *simp*
 have [*transfer-rule*]: $\langle rel-set T A A'' \rangle$
 apply (*subst asm-rl*[of $\langle A = (insert x A) - \{x\} \rangle$])
 using *insertI.hyps* apply *blast*
 unfolding A'' -def
 by *transfer-prover*
 from *insertI.IH*[*OF this*]
 obtain y'' where *foldA''*: $\langle fold-graph f' z' A'' y'' \rangle$ and [*transfer-rule*]: $\langle U y y'' \rangle$
 by *auto*
 define y' where $\langle y' = f' x' y'' \rangle$
 have $\langle fold-graph f' z' A' y' \rangle$
 unfolding A' -def y' -def
 using $\langle x' \notin A'' \rangle$, *foldA''*
 by (*rule fold-graph.intros*)
 moreover have $\langle U (f x y) y' \rangle$
 unfolding y' -def by *transfer-prover*
 ultimately show ?case
 by *auto*
 qed

show $\langle rel-pred U (fold-graph f z A) (fold-graph f' z' A') \rangle$
 unfolding *rel-pred-def rel-set-def bex-simps*
 apply *safe*
 subgoal
 by (*rule one-direction*[of $f z A - U z' T f'$]) *auto*
 subgoal
 by (*rule one-direction*[of $f' z' A' - \langle U^{-1-1} \rangle z \langle T^{-1-1} \rangle f$, *simplified*])
 (*auto simp flip: conversep-rel-fun*)
 done
 qed

lemma *Domainp-rel-filter*:
 assumes $\langle Domainp r = S \rangle$
 shows $\langle Domainp (rel-filter r) F \longleftrightarrow (F \leq principal (Collect S)) \rangle$
 proof (*intro iffI, elim Domainp.cases, hypsubst*)
 fix G

```

assume  $\langle \text{rel-filter } r \ F \ G \rangle$ 
then obtain  $Z$  where  $rZ: \langle \forall_F (x, y) \text{ in } Z. r \ x \ y \rangle$ 
  and  $ZF: \text{map-filter-on } \{(x, y). r \ x \ y\} \text{ fst } Z = F$ 
  and  $\text{map-filter-on } \{(x, y). r \ x \ y\} \text{ snd } Z = G$ 
  using  $\text{rel-filter.simps}$  by  $\text{blast}$ 
show  $\langle F \leq \text{principal } (\text{Collect } S) \rangle$ 
  using  $rZ$ 
  by ( $\text{auto simp flip: } ZF \text{ assms intro!: filter-leI elim!: eventually-mono}$ 
     $\text{simp: eventually-principal eventually-map-filter-on case-prod-unfold DomainPI}$ )
next
assume  $\text{asm: } \langle F \leq \text{principal } (\text{Collect } S) \rangle$ 
define  $Z$  where  $\langle Z = \text{inf } (\text{filtercomap } \text{fst } F) (\text{principal } \{(x, y). r \ x \ y\}) \rangle$ 
have  $rZ: \langle \forall_F (x, y) \text{ in } Z. r \ x \ y \rangle$ 
  by ( $\text{simp add: } Z\text{-def eventually-inf-principal}$ )
moreover
have  $\langle \forall_F x \text{ in } Z. P (\text{fst } x) \wedge (\text{case } x \text{ of } (x, xa) \Rightarrow r \ x \ xa) = \text{eventually } P \ F \rangle$  for  $P$ 
  using  $\text{asm}$  apply ( $\text{auto simp add: le-principal } Z\text{-def eventually-inf-principal eventually-filtercomap}$ )
  by ( $\text{smt (verit, del-insts) DomainpE assms eventually-elim2}$ )
then have  $\langle \text{map-filter-on } \{(x, y). r \ x \ y\} \text{ fst } Z = F \rangle$ 
  by ( $\text{simp add: filter-eq-iff eventually-map-filter-on } rZ$ )
ultimately show  $\langle \text{Domainp } (\text{rel-filter } r) \ F \rangle$ 
  by ( $\text{auto simp: Domainp-iff intro!: exI rel-filter.intros}$ )
qed

```

```

lemma  $\text{map-filter-on-cong}$ :
assumes [ $\text{simp}$ ]:  $\langle \forall_F x \text{ in } F. x \in D \rangle$ 
assumes  $\langle \bigwedge x. x \in D \Longrightarrow f \ x = g \ x \rangle$ 
shows  $\langle \text{map-filter-on } D \ f \ F = \text{map-filter-on } D \ g \ F \rangle$ 
apply ( $\text{rule filter-eq-iff[THEN iffD2, rule-format]}$ )
apply ( $\text{simp add: eventually-map-filter-on}$ )
apply ( $\text{rule eventually-subst}$ )
apply ( $\text{rule always-eventually}$ )
using  $\text{assms}(2)$  by  $\text{auto}$ 

```

```

lemma  $\text{filtermap-cong}$ :
assumes  $\langle \forall_F x \text{ in } F. f \ x = g \ x \rangle$ 
shows  $\langle \text{filtermap } f \ F = \text{filtermap } g \ F \rangle$ 
apply ( $\text{rule filter-eq-iff[THEN iffD2, rule-format]}$ )
apply ( $\text{simp add: eventually-filtermap}$ )
by ( $\text{smt (verit, del-insts) assms eventually-elim2}$ )

```

```

lemma  $\text{filtermap-INF-eq}$ :
assumes  $\text{inj-f: } \langle \text{inj-on } f \ X \rangle$ 
assumes  $B\text{-nonempty: } \langle B \neq \{\} \rangle$ 
assumes  $F\text{-bounded: } \langle \bigwedge b. b \in B \Longrightarrow F \ b \leq \text{principal } X \rangle$ 
shows  $\langle \text{filtermap } f \ (\bigcap (F \ ` \ B)) = (\bigcap b \in B. \text{filtermap } f \ (F \ b)) \rangle$ 
proof ( $\text{rule antisym}$ )

```

```

show ⟨filtermap f (∏ (F ‘ B)) ≤ (∏ b ∈ B. filtermap f (F b)⟩
  by (rule filtermap-INF)
define f1 where ⟨f1 = inv-into X f⟩
have f1f: ⟨x ∈ X ⇒ f1 (f x) = x⟩ for x
  by (simp add: inj-f f1-def)
have ff1: ⟨x ∈ f ‘ X ⇒ x = f (f1 x)⟩ for x
  by (simp add: f1-def f-inv-into-f)

have ⟨filtermap f (F b) ≤ principal (f ‘ X)⟩ if ⟨b ∈ B⟩ for b
  by (metis F-bounded filtermap-mono filtermap-principal that)
then have ⟨(∏ b ∈ B. filtermap f (F b)) ≤ (∏ b ∈ B. principal (f ‘ X))⟩
  by (simp add: INF-greatest INF-lower2)
also have ⟨... = principal (f ‘ X)⟩
  by (simp add: B-nonempty)
finally have ⟨∀F x in ∏ b ∈ B. filtermap f (F b). x ∈ f ‘ X⟩
  using B-nonempty le-principal by auto
then have *: ⟨∀F x in ∏ b ∈ B. filtermap f (F b). x = f (f1 x)⟩
  apply (rule eventually-mono)
  by (simp add: ff1)

have ⟨∀F x in F b. x ∈ X⟩ if ⟨b ∈ B⟩ for b
  using F-bounded le-principal that by blast
then have **: ⟨∀F x in F b. f1 (f x) = x⟩ if ⟨b ∈ B⟩ for b
  apply (rule eventually-mono)
  using that by (simp-all add: f1f)

have ⟨(∏ b ∈ B. filtermap f (F b)) = filtermap f (filtermap f1 (∏ b ∈ B. filtermap f (F b)))⟩
  apply (simp add: filtermap-filtermap)
  using * by (rule filtermap-cong[where f=id, simplified])
also have ⟨... ≤ filtermap f (∏ b ∈ B. filtermap f1 (filtermap f (F b)))⟩
  apply (rule filtermap-mono)
  by (rule filtermap-INF)
also have ⟨... = filtermap f (∏ b ∈ B. F b)⟩
  apply (rule arg-cong[where f=⟨filtermap -⟩])
  apply (rule INF-cong, rule refl)
  unfolding filtermap-filtermap
  using ** by (rule filtermap-cong[where g=id, simplified])
finally show ⟨(∏ b ∈ B. filtermap f (F b)) ≤ filtermap f (∏ (F ‘ B))⟩
  by –
qed

lemma filtermap-inf-eq:
  assumes ⟨inj-on f X⟩
  assumes ⟨F1 ≤ principal X⟩
  assumes ⟨F2 ≤ principal X⟩
  shows ⟨filtermap f (F1 ∩ F2) = filtermap f F1 ∩ filtermap f F2⟩
proof –
  have ⟨filtermap f (F1 ∩ F2) = filtermap f (INF F ∈ {F1, F2}. F)⟩
  by simp

```



```

also have  $\langle \dots = (INF F \in \{F1, F2\}. filtermap f F) \rangle$ 
  apply (rule filtermap-INF-eq[where  $X=X$ ])
  using assms by auto
also have  $\langle \dots = filtermap f F1 \sqcap filtermap f F2 \rangle$ 
  by simp
finally show ?thesis
  by –
qed

```

definition $\langle transfer\text{-}bounded\text{-}filter\text{-}Inf B M = Inf M \sqcap principal B \rangle$

lemma *Inf-transfer-bounded-filter-Inf*: $\langle Inf M = transfer\text{-}bounded\text{-}filter\text{-}Inf UNIV M \rangle$
by (*metis inf-top.right-neutral top-eq-principal-UNIV transfer-bounded-filter-Inf-def*)

lemma *Inf-bounded-transfer-bounded-filter-Inf*:
assumes $\langle \bigwedge F. F \in M \implies F \leq principal B \rangle$
assumes $\langle M \neq \{\} \rangle$
shows $\langle Inf M = transfer\text{-}bounded\text{-}filter\text{-}Inf B M \rangle$
by (*simp add: Inf-less-eq assms(1) assms(2) inf-absorb1 transfer-bounded-filter-Inf-def*)

lemma *transfer-bounded-filter-Inf-parametric[transfer-rule]*:

```

includes lifting-syntax
fixes  $r :: \langle 'rep \Rightarrow 'abs \Rightarrow bool \rangle$ 
assumes [transfer-rule]:  $\langle bi\text{-}unique r \rangle$ 
shows  $\langle (rel\text{-}set r \implies rel\text{-}set (rel\text{-}filter r)) \implies rel\text{-}filter r \rangle$ 
  transfer-bounded-filter-Inf transfer-bounded-filter-Inf
proof (intro rel-funI, unfold transfer-bounded-filter-Inf-def)
fix  $BF BG$  assume BFBG[transfer-rule]:  $\langle rel\text{-}set r BF BG \rangle$ 
fix  $Fs Gs$  assume FsGs[transfer-rule]:  $\langle rel\text{-}set (rel\text{-}filter r) Fs Gs \rangle$ 
define  $D R$  where  $\langle D = Collect (Domainp r) \rangle$  and  $\langle R = Collect (Rangep r) \rangle$ 

```

```

have  $\langle rel\text{-}set r D R \rangle$ 
  by (smt (verit) D-def Domainp-iff R-def RangePI Rangep.cases mem-Collect-eq rel-setI)
with  $\langle bi\text{-}unique r \rangle$ 
obtain  $f$  where  $\langle R = f ` D \rangle$  and [simp]:  $\langle inj\text{-}on f D \rangle$  and  $rf0: \langle x \in D \implies r x (f x) \rangle$  for  $x$ 
  using bi-unique-rel-set-lemma
  by metis
have  $rf: \langle r x y \longleftrightarrow x \in D \wedge f x = y \rangle$  for  $x y$ 
  apply (auto simp: rf0)
  using D-def apply auto[1]
  using D-def assms bi-uniqueDr rf0 by fastforce

```

```

from BFBG
have  $\langle BF \subseteq D \rangle$ 
  by (metis rel-setD1 rf subsetI)

```

have $G: \langle G = filtermap f F \rangle$ **if** $\langle rel\text{-}filter r F G \rangle$ **for** $F G$

```

using that proof cases
case (1 Z)
then have Z[simp]:  $\langle \forall F (x, y) \text{ in } Z. r x y \rangle$ 
  by -
then have  $\langle \text{filtermap } f F = \text{filtermap } f (\text{map-filter-on } \{(x, y). r x y\} \text{fst } Z) \rangle$ 
  using 1 by simp
also have  $\langle \dots = \text{map-filter-on } \{(x, y). r x y\} (f \circ \text{fst}) Z \rangle$ 
  unfolding map-filter-on-UNIV[symmetric]
  apply (subst map-filter-on-comp)
  using Z by simp-all
also have  $\langle \dots = G \rangle$ 
  apply (simp add: o-def rf)
  apply (subst map-filter-on-cong[where g=snd])
  using Z apply (rule eventually-mono)
  using 1 by (auto simp: rf)
finally show ?thesis
  by simp
qed

have rf-filter:  $\langle \text{rel-filter } r F G \iff F \leq \text{principal } D \wedge \text{filtermap } f F = G \rangle$  for F G
  apply (intro iffI conjI)
  apply (metis D-def DomainPI Domainp-rel-filter)
  using G apply simp
  by (metis D-def Domainp-iff Domainp-rel-filter G)

have FD:  $\langle F \leq \text{principal } D \rangle$  if  $\langle F \in Fs \rangle$  for F
  by (meson FsGs rel-setD1 rf-filter that)

from BFBG
have [simp]:  $\langle BG = f ' BF \rangle$ 
  by (auto simp: rel-set-def rf)

from FsGs
have [simp]:  $\langle Gs = \text{filtermap } f ' Fs \rangle$ 
  using G apply (auto simp: rel-set-def rf)
  by fastforce

show  $\langle \text{rel-filter } r (\bigcap Fs \sqcap \text{principal } BF) (\bigcap Gs \sqcap \text{principal } BG) \rangle$ 
proof (cases  $\langle Fs = \{\} \rangle$ )
case True
  then have  $\langle Gs = \{\} \rangle$ 
    by transfer
  have  $\langle \text{rel-filter } r (\text{principal } BF) (\text{principal } BG) \rangle$ 
    by transfer-prover
  with True  $\langle Gs = \{\} \rangle$  show ?thesis
    by simp
next
case False
note False[simp]

```

```

then have [simp]: ⟨Gs ≠ {}⟩
  by transfer
have ⟨rel-filter r (∏ Fs ∩ principal BF) (filtermap f (∏ Fs ∩ principal BF))⟩
  apply (rule rf-filter[THEN iffD2])
  by (simp add: ⟨BF ⊆ D⟩ le-infI2)
then show ?thesis
  using FD ⟨BF ⊆ D⟩
  by (simp add: Inf-less-eq
    flip: filtermap-inf-eq[where X=D] filtermap-INF-eq[where X=D] flip: filtermap-principal)
qed
qed

```

definition ⟨transfer-inf-principal F M = F ∩ principal M⟩

```

lemma transfer-inf-principal-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: ⟨bi-unique T⟩
  shows ⟨(rel-filter T ==> rel-set T ==> rel-filter T) transfer-inf-principal transfer-inf-principal⟩
proof –
  have *: ⟨transfer-inf-principal F M = transfer-bounded-filter-Inf M {F}⟩ for F :: ⟨'z filter⟩
and M
  by (simp add: transfer-inf-principal-def[abs-def] transfer-bounded-filter-Inf-def)
  show ?thesis
  unfolding *
  apply transfer-prover-start
  apply transfer-step+
  by transfer-prover
qed

```

```

lemma continuous-map-is-continuous-at-point:
  assumes ⟨continuous-map T U f⟩
  shows ⟨filterlim f (nhdsin U (f l)) (atin T l)⟩
  by (metis assms atin-degenerate bot.extremum continuous-map-atin filterlim-iff-le-filtercomap
    filterlim-nhdsin-iff-limitin)

```

```

lemma set-compr-2-image-collect: ⟨{f x y |x y. P x y} = case-prod f ‘ Collect (case-prod P)⟩
  by fast

```

```

lemma closure-image-closure: ⟨continuous-on (closure S) f ==> closure (f ‘ closure S) = closure
(f ‘ S)⟩
  by (smt (verit) closed-closure closure-closure closure-mono closure-subset image-closure-subset
    image-mono set-eq-subset)

```

```

lemma has-sum-reindex-bij-betw:
  assumes bij-betw g A B
  shows ((λx. f (g x)) has-sum l) A ⟷ (f has-sum l) B

```

proof –
have $\langle (\lambda x. f (g x)) \text{ has-sum } l \rangle A \longleftrightarrow \langle f \text{ has-sum } l \rangle (g \text{ ' } A) \rangle$
apply (rule *has-sum-reindex*[*symmetric, unfolded o-def*])
using *assms* *bij-betw-imp-inj-on* **by** *blast*
also have $\langle \dots \longleftrightarrow \langle f \text{ has-sum } l \rangle B \rangle$
using *assms* *bij-betw-imp-surj-on* **by** *blast*
finally show *?thesis* .
qed

lemma *enum-inj*:
assumes $i < \text{CARD}('a)$ **and** $j < \text{CARD}('a)$
shows $(\text{Enum.enum } ! i :: 'a::\text{enum}) = \text{Enum.enum } ! j \longleftrightarrow i = j$
using *inj-on-nth*[*OF enum-distinct, where* $I = \langle \{.. < \text{CARD}('a)\} \rangle$]
using *assms* **by** (*auto dest: inj-onD simp flip: card-UNIV-length-enum*)

lemma *closedin-vimage*:
assumes $\langle \text{closedin } U \ S \rangle$
assumes $\langle \text{continuous-map } T \ U \ f \rangle$
shows $\langle \text{closedin } T \ (\text{topspace } T \cap (f \text{ - ' } S)) \rangle$
by (*meson assms(1) assms(2) continuous-map-closedin-preimage-eq*)

lemma *join-forall*: $\langle (\forall x. P x) \wedge (\forall x. Q x) \longleftrightarrow (\forall x. P x \wedge Q x) \rangle$
by *auto*

lemma *closedin-if-converge-inside*:
fixes $A :: 'a \text{ set}$
assumes $AT: \langle A \subseteq \text{topspace } T \rangle$
assumes $xA: \langle \bigwedge (F::'a \text{ filter}) f x. F \neq \perp \implies \text{limitin } T \ f \ x \ F \implies \text{range } f \subseteq A \implies x \in A \rangle$
shows $\langle \text{closedin } T \ A \rangle$
proof (*cases* $\langle A = \{\} \rangle$)
case *True*
then show *?thesis* **by** *simp*
next
case *False*
then obtain a **where** $\langle a \in A \rangle$
by *auto*
define Ac **where** $\langle Ac = \text{topspace } T - A \rangle$
have $\langle \exists U. \text{openin } T \ U \wedge x \in U \wedge U \subseteq Ac \rangle$ **if** $\langle x \in Ac \rangle$ **for** x
proof (rule *ccontr*)
assume $\langle \nexists U. \text{openin } T \ U \wedge x \in U \wedge U \subseteq Ac \rangle$
then have $UA: \langle U \cap A \neq \{\} \rangle$ **if** $\langle \text{openin } T \ U \rangle$ **and** $\langle x \in U \rangle$ **for** U
by (*metis Ac-def Diff-mono Diff-triv openin-subset subset-refl that*)
have [*simp*]: $\langle x \in \text{topspace } T \rangle$
using *that* **by** (*simp add: Ac-def*)

define F **where** $\langle F = \text{nhdsin } T \ x \sqcap \text{principal } A \rangle$
have $\langle F \neq \perp \rangle$
apply (*subst filter-eq-iff*)
apply (*auto intro!: exI[of - $\lambda \cdot. False$] simp: F-def eventually-inf eventually-principal*)

eventually-nhdsin
by (*meson UA disjoint-iff*)

define f **where** $\langle f\ y = (if\ y \in A\ then\ y\ else\ a) \rangle$ **for** y
with $\langle a \in A \rangle$ **have** $\langle range\ f \subseteq A \rangle$
by *force*

have $\langle \forall_F\ y\ in\ F.\ f\ y \in U \rangle$ **if** $\langle openin\ T\ U \rangle$ **and** $\langle x \in U \rangle$ **for** U
proof –

have $\langle eventually\ (\lambda x.\ x \in U)\ (nhdsin\ T\ x) \rangle$
using *eventually-nhdsin that by fastforce*
moreover have $\langle \exists R.\ (\forall x \in A.\ R\ x) \wedge (\forall x.\ x \in U \longrightarrow R\ x \longrightarrow f\ x \in U) \rangle$
apply (*rule exI[of - $\langle \lambda x.\ x \in A \rangle$]*)
by (*simp add: f-def*)
ultimately show *?thesis*
by (*auto simp add: F-def eventually-inf eventually-principal*)

qed

then have $\langle limitin\ T\ f\ x\ F \rangle$
unfolding *limitin-def* **by** *simp*
with $\langle F \neq \perp \rangle$ $\langle range\ f \subseteq A \rangle$ $x \in A$
have $\langle x \in A \rangle$
by *simp*
with that show *False*
by (*simp add: Ac-def*)

qed

then have $\langle openin\ T\ Ac \rangle$
apply (*rule-tac openin-subopen[THEN iffD2]*)
by *simp*
then show *?thesis*
by (*simp add: Ac-def AT closedin-def*)

qed

lemma *cmod-mono*: $\langle 0 \leq a \implies a \leq b \implies cmod\ a \leq cmod\ b \rangle$
by (*simp add: cmod-Re less-eq-complex-def*)

lemma *has-sum-mono-neutral-complex*:
fixes $f :: 'a \Rightarrow complex$
assumes $\langle (f\ has-sum\ a)\ A \rangle$ **and** $\langle (g\ has-sum\ b)\ B \rangle$
assumes $\langle \bigwedge x.\ x \in A \cap B \implies f\ x \leq g\ x \rangle$
assumes $\langle \bigwedge x.\ x \in A - B \implies f\ x \leq 0 \rangle$
assumes $\langle \bigwedge x.\ x \in B - A \implies g\ x \geq 0 \rangle$
shows $a \leq b$

proof –

have $\langle ((\lambda x.\ Re\ (f\ x))\ has-sum\ Re\ a)\ A \rangle$
using *assms(1) has-sum-Re has-sum-cong* **by** *blast*
moreover have $\langle ((\lambda x.\ Re\ (g\ x))\ has-sum\ Re\ b)\ B \rangle$
using *assms(2) has-sum-Re has-sum-cong* **by** *blast*
ultimately have $Re:\ \langle Re\ a \leq Re\ b \rangle$
apply (*rule has-sum-mono-neutral*)

using *assms(3-5)* **by** (*simp-all add: less-eq-complex-def*)
have $\langle (\lambda x. \text{Im } (f x)) \text{ has-sum Im } a \rangle A \rangle$
using *assms(1) has-sum-Im has-sum-cong* **by** *blast*
then have $\langle (\lambda x. \text{Im } (f x)) \text{ has-sum Im } a \rangle (A \cap B) \rangle$
apply (*rule has-sum-cong-neutral[THEN iffD1, rotated -1]*)
using *assms(3-5)* **by** (*auto simp add: less-eq-complex-def*)
moreover have $\langle (\lambda x. \text{Im } (g x)) \text{ has-sum Im } b \rangle B \rangle$
using *assms(2) has-sum-Im has-sum-cong* **by** *blast*
then have $\langle (\lambda x. \text{Im } (f x)) \text{ has-sum Im } b \rangle (A \cap B) \rangle$
apply (*rule has-sum-cong-neutral[THEN iffD1, rotated -1]*)
using *assms(3-5)* **by** (*auto simp add: less-eq-complex-def*)
ultimately have *Im: $\langle \text{Im } a = \text{Im } b \rangle$*
by (*rule has-sum-unique*)
from *Re Im show ?thesis*
using *less-eq-complexI* **by** *blast*
qed

lemma *choice2*: $\langle \exists f. (\forall x. Q1 x (f x)) \wedge (\forall x. Q2 x (f x)) \rangle$
if $\langle \forall x. \exists y. Q1 x y \wedge Q2 x y \rangle$
by (*meson that*)

lemma *choice3*: $\langle \exists f. (\forall x. Q1 x (f x)) \wedge (\forall x. Q2 x (f x)) \wedge (\forall x. Q3 x (f x)) \rangle$
if $\langle \forall x. \exists y. Q1 x y \wedge Q2 x y \wedge Q3 x y \rangle$
by (*meson that*)

lemma *choice4*: $\langle \exists f. (\forall x. Q1 x (f x)) \wedge (\forall x. Q2 x (f x)) \wedge (\forall x. Q3 x (f x)) \wedge (\forall x. Q4 x (f x)) \rangle$
if $\langle \forall x. \exists y. Q1 x y \wedge Q2 x y \wedge Q3 x y \wedge Q4 x y \rangle$
by (*meson that*)

lemma *choice5*: $\langle \exists f. (\forall x. Q1 x (f x)) \wedge (\forall x. Q2 x (f x)) \wedge (\forall x. Q3 x (f x)) \wedge (\forall x. Q4 x (f x)) \wedge (\forall x. Q5 x (f x)) \rangle$
if $\langle \forall x. \exists y. Q1 x y \wedge Q2 x y \wedge Q3 x y \wedge Q4 x y \wedge Q5 x y \rangle$
apply (*simp only: flip: all-conj-distrib*)
using *that* **by** (*rule choice*)

definition (*in order*) $\langle \text{is-Sup } X s \longleftrightarrow (\forall x \in X. x \leq s) \wedge (\forall y. (\forall x \in X. x \leq y) \longrightarrow s \leq y) \rangle$

definition (*in order*) $\langle \text{has-Sup } X \longleftrightarrow (\exists s. \text{is-Sup } X s) \rangle$

lemma (*in order*) *is-SupI*:
assumes $\langle \bigwedge x. x \in X \implies x \leq s \rangle$
assumes $\langle \bigwedge y. (\bigwedge x. x \in X \implies x \leq y) \implies s \leq y \rangle$
shows $\langle \text{is-Sup } X s \rangle$
using *assms* **by** (*auto simp add: is-Sup-def*)

lemma *is-Sup-unique*: $\langle \text{is-Sup } X a \implies \text{is-Sup } X b \implies a=b \rangle$
by (*simp add: Orderings.order-eq-iff is-Sup-def*)

lemma *has-Sup-bdd-above*: $\langle \text{has-Sup } X \implies \text{bdd-above } X \rangle$

by (metis bdd-above.unfold has-Sup-def is-Sup-def)

lemma *is-Sup-has-Sup*: $\langle is-Sup\ X\ s \implies has-Sup\ X \rangle$
using *has-Sup-def* **by** *blast*

class *Sup-order* = *order* + *Sup* + *sup* +
assumes *is-Sup-Sup*: $\langle has-Sup\ X \implies is-Sup\ X\ (Sup\ X) \rangle$
assumes *is-Sup-sup*: $\langle has-Sup\ \{x,y\} \implies is-Sup\ \{x,y\}\ (sup\ x\ y) \rangle$

lemma (in *Sup-order*) *is-Sup-eq-Sup*:
assumes $\langle is-Sup\ X\ s \rangle$
shows $\langle s = Sup\ X \rangle$
by (meson *assms local.dual-order.antisym local.has-Sup-def local.is-Sup-Sup local.is-Sup-def*)

lemma *is-Sup-cSup*:
fixes $X :: \langle 'a::conditionally-complete-lattice\ set \rangle$
assumes $\langle bdd-above\ X \rangle$ **and** $\langle X \neq \{\} \rangle$
shows $\langle is-Sup\ X\ (Sup\ X) \rangle$
using *assms* **by** (auto *intro!*: *cSup-upper cSup-least simp: is-Sup-def*)

lemma *continuous-map-iff-preserves-convergence*:
assumes $\langle \bigwedge F\ a.\ a \in\ topspace\ T \implies limitin\ T\ id\ a\ F \implies limitin\ U\ f\ (f\ a)\ F \rangle$
shows $\langle continuous-map\ T\ U\ f \rangle$
apply (rule *continuous-map-atin*[*THEN iffD2*], *intro ballI*)
using *assms*
by (*simp add: limitin-continuous-map*)

lemma *SMT-choices*:

— Was included as SMT.choices in Isabelle and disappeared

$\bigwedge Q.\ \forall x.\ \exists y\ ya.\ Q\ x\ y\ ya \implies \exists f\ fa.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb.\ Q\ x\ y\ ya\ yb \implies \exists f\ fa\ fb.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb\ yc.\ Q\ x\ y\ ya\ yb\ yc \implies \exists f\ fa\ fb\ fc.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb\ yc\ yd.\ Q\ x\ y\ ya\ yb\ yc\ yd \implies$
 $\quad \exists f\ fa\ fb\ fc\ fd.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)\ (fd\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb\ yc\ yd\ ye.\ Q\ x\ y\ ya\ yb\ yc\ yd\ ye \implies$
 $\quad \exists f\ fa\ fb\ fc\ fd\ fe.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)\ (fd\ x)\ (fe\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb\ yc\ yd\ ye\ yf.\ Q\ x\ y\ ya\ yb\ yc\ yd\ ye\ yf \implies$
 $\quad \exists f\ fa\ fb\ fc\ fd\ fe\ ff.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)\ (fd\ x)\ (fe\ x)\ (ff\ x)$
 $\bigwedge Q.\ \forall x.\ \exists y\ ya\ yb\ yc\ yd\ ye\ yf\ yg.\ Q\ x\ y\ ya\ yb\ yc\ yd\ ye\ yf\ yg \implies$
 $\quad \exists f\ fa\ fb\ fc\ fd\ fe\ ff\ fg.\ \forall x.\ Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)\ (fd\ x)\ (fe\ x)\ (ff\ x)\ (fg\ x)$
by *metis+*

lemma *closedin-pullback-topology*:

closedin (pullback-topology $A\ f\ T$) $S \iff (\exists C.\ closedin\ T\ C \wedge S = f^{-1}C \cap A)$

proof (rule *iffI*)

define $TT\ PT$ **where** $\langle TT = topspace\ T \rangle$ **and** $\langle PT = topspace\ (pullback-topology\ A\ f\ T) \rangle$

assume *closed*: $\langle closedin\ (pullback-topology\ A\ f\ T)\ S \rangle$

then have $\langle S \subseteq PT \rangle$
using *PT-def closedin-subset* **by** *blast*
from *closed* **have** $\langle \text{openin } (\text{pullback-topology } A \ f \ T) \ (PT - S) \rangle$
by *(auto intro!: simp: closedin-def PT-def)*
then obtain U **where** $\langle \text{openin } T \ U \rangle$ **and** $S\text{-fUA}$: $\langle PT - S = f -' U \cap A \rangle$
by *(auto simp: openin-pullback-topology)*
define C **where** $\langle C = TT - U \rangle$
have $\langle \text{closedin } T \ C \rangle$
using *C-def TT-def* $\langle \text{openin } T \ U \rangle$ **by** *blast*
moreover have $\langle S = f -' C \cap A \rangle$
using *S-fUA* $\langle S \subseteq PT \rangle$
apply *(simp only: C-def PT-def TT-def)*
by *(metis Diff-Diff-Int Diff-Int-distrib2 inf.absorb-iff2 topspace-pullback-topology vimage-Diff)*
ultimately show $\langle \exists C. \text{closedin } T \ C \wedge S = f -' C \cap A \rangle$
by *auto*
next
assume $\langle \exists U. \text{closedin } T \ U \wedge S = f -' U \cap A \rangle$
then show $\langle \text{closedin } (\text{pullback-topology } A \ f \ T) \ S \rangle$
apply *(simp add: openin-pullback-topology closedin-def topspace-pullback-topology)*
by *blast*
qed

lemma *regular-space-pullback[intro]*:
assumes $\langle \text{regular-space } T \rangle$
shows $\langle \text{regular-space } (\text{pullback-topology } A \ f \ T) \rangle$
proof *(unfold regular-space-def, intro allI impI)*
define $TT \ PT$ **where** $\langle TT = \text{topspace } T \rangle$ **and** $\langle PT = \text{topspace } (\text{pullback-topology } A \ f \ T) \rangle$
fix $S \ y$
assume *asm*: $\langle \text{closedin } (\text{pullback-topology } A \ f \ T) \ S \wedge y \in PT - S \rangle$
from *asm* **obtain** C **where** $\langle \text{closedin } T \ C \rangle$ **and** $S\text{-fCA}$: $\langle S = f -' C \cap A \rangle$
by *(auto simp: closedin-pullback-topology)*
from *asm S-fCA*
have $\langle f \ y \in TT - C \rangle$
by *(auto simp: PT-def TT-def topspace-pullback-topology)*
then obtain $U' \ V'$ **where** $\langle \text{openin } T \ U' \rangle$ **and** $\langle \text{openin } T \ V' \rangle$ **and** $\langle f \ y \in U' \rangle$ **and** $\langle C \subseteq V' \rangle$
and $\langle U' \cap V' = \{\} \rangle$
by *(metis TT-def* $\langle \text{closedin } T \ C \rangle$ *assms regular-space-def disjnt-def)*
define $U \ V$ **where** $\langle U = f -' U' \cap A \rangle$ **and** $\langle V = f -' V' \cap A \rangle$
have $\langle \text{openin } (\text{pullback-topology } A \ f \ T) \ U \rangle$
using *U-def* $\langle \text{openin } T \ U' \rangle$ *openin-pullback-topology* **by** *blast*
moreover have $\langle \text{openin } (\text{pullback-topology } A \ f \ T) \ V \rangle$
using *V-def* $\langle \text{openin } T \ V' \rangle$ *openin-pullback-topology* **by** *blast*
moreover have $\langle y \in U \rangle$
by *(metis DiffD1 Int-iff PT-def U-def* $\langle f \ y \in U' \rangle$ *asm topspace-pullback-topology vimageI)*
moreover have $\langle S \subseteq V \rangle$
using *S-fCA V-def* $\langle C \subseteq V' \rangle$ **by** *blast*
moreover have $\langle \text{disjnt } U \ V \rangle$
using *U-def V-def* $\langle U' \cap V' = \{\} \rangle$ *disjnt-def* **by** *blast*

ultimately show $\langle \exists U V. \text{openin} (\text{pullback-topology } A f T) U \wedge \text{openin} (\text{pullback-topology } A f T) V \wedge y \in U \wedge S \subseteq V \wedge \text{disjnt } U V \rangle$
apply (*rule-tac exI[of - U]*, *rule-tac exI[of - V]*)
by auto
qed

lemma *t3-space-euclidean-regular*[*iff*]: $\langle \text{regular-space} (\text{euclidean} :: 'a::t3\text{-space topology}) \rangle$
using *t3-space*
apply (*simp add: regular-space-def disjnt-def*)
by fast

definition *increasing-filter* :: $\langle 'a::\text{order filter} \Rightarrow \text{bool} \rangle$ **where**
— Definition suggested by [5]
 $\langle \text{increasing-filter } F \longleftrightarrow (\forall_F x \text{ in } F. \forall_F y \text{ in } F. y \geq x) \rangle$

lemma *increasing-filtermap*:
fixes $F :: \langle 'a::\text{order filter} \rangle$ **and** $f :: \langle 'a \Rightarrow 'b::\text{order} \rangle$ **and** $X :: \langle 'a \text{ set} \rangle$
assumes *increasing*: $\langle \text{increasing-filter } F \rangle$
assumes *mono*: $\langle \text{mono-on } X f \rangle$
assumes *ev-X*: $\langle \text{eventually} (\lambda x. x \in X) F \rangle$
shows $\langle \text{increasing-filter} (\text{filtermap } f F) \rangle$
proof —
from *increasing*
have *incr*: $\langle \forall_F x \text{ in } F. \forall_F y \text{ in } F. x \leq y \rangle$
apply (*simp add: increasing-filter-def*)
by —
have $\langle \forall_F x \text{ in } F. \forall_F y \text{ in } F. f x \leq f y \rangle$
proof (*rule eventually-elim2[OF ev-X incr]*)
fix x
assume $\langle x \in X \rangle$
assume $\langle \forall_F y \text{ in } F. x \leq y \rangle$
then show $\langle \forall_F y \text{ in } F. f x \leq f y \rangle$
proof (*rule eventually-elim2[OF ev-X]*)
fix y **assume** $\langle y \in X \rangle$ **and** $\langle x \leq y \rangle$
with $\langle x \in X \rangle$ **show** $\langle f x \leq f y \rangle$
using *mono* **by** (*simp add: mono-on-def*)
qed
qed
then show $\langle \text{increasing-filter} (\text{filtermap } f F) \rangle$
by (*simp add: increasing-filter-def eventually-filtermap*)
qed

lemma *increasing-finite-subsets-at-top*[*simp*]: $\langle \text{increasing-filter} (\text{finite-subsets-at-top } X) \rangle$
apply (*simp add: increasing-filter-def eventually-finite-subsets-at-top*)
by force

lemma *monotone-convergence*:
— Following [5]

```

fixes  $f :: \langle 'b \Rightarrow 'a :: \{order-topology, conditionally-complete-linorder\} \rangle$ 
assumes  $bounded: \langle \forall_F x \text{ in } F. f x \leq B \rangle$ 
assumes  $increasing: \langle increasing-filter (filtermap f F) \rangle$ 
shows  $\langle \exists l. (f \longrightarrow l) F \rangle$ 
proof (cases  $\langle F \neq \perp \rangle$ )
  case True
    note [simp] = True
    define  $S l$  where  $\langle S x \longleftrightarrow (\forall_F y \text{ in } F. f y \geq x) \wedge x \leq B \rangle$ 
      and  $\langle l = Sup (Collect S) \rangle$  for  $x$ 
    from  $bounded$   $increasing$ 
    have  $ev-S: \langle eventually S (filtermap f F) \rangle$ 
      by (auto intro!:  $eventually-conj$   $simp$ :  $S-def[abs-def]$   $increasing-filter-def$   $eventually-filtermap$ )
    have  $bdd-S: \langle bdd-above (Collect S) \rangle$ 
      by (auto simp:  $S-def$ )
    have  $S-nonempty: \langle Collect S \neq \{\} \rangle$ 
      using  $ev-S$ 
      by (metis  $Collect-empty-eq-bot$   $Set.empty-def$  True  $eventually-False$   $filtermap-bot-iff$ )
    have  $\langle (f \longrightarrow l) F \rangle$ 
    proof (rule  $order-tendstoI$ ; rename-tac  $x$ )
      fix  $x$ 
      assume  $\langle x < l \rangle$ 
      then obtain  $s$  where  $\langle S s \rangle$  and  $\langle x < s \rangle$ 
        using  $less-cSupD[OF S-nonempty]$   $l-def$ 
        by blast
      then
        show  $\langle \forall_F y \text{ in } F. x < f y \rangle$ 
          using  $S-def$   $basic-trans-rules(22)$   $eventually-mono$  by force
    next
      fix  $x$ 
      assume  $asm: \langle l < x \rangle$ 
      from  $ev-S$ 
      show  $\langle \forall_F y \text{ in } F. f y < x \rangle$ 
        unfolding  $eventually-filtermap$ 
        apply (rule  $eventually-mono$ )
        using  $asm$ 
        by (metis  $bdd-S$   $cSup-upper$   $dual-order.strict-trans2$   $l-def$   $mem-Collect-eq$ )
    qed
  then show  $\langle \exists l. (f \longrightarrow l) F \rangle$ 
    by (auto intro!:  $exI[of - l]$   $simp$ :  $filterlim-def$ )
next
  case False
  then show  $\langle \exists l. (f \longrightarrow l) F \rangle$ 
    by (auto intro!:  $exI$ )
qed

```

```

lemma monotone-convergence-complex:
  fixes  $f :: \langle 'b \Rightarrow complex \rangle$ 
  assumes  $bounded: \langle \forall_F x \text{ in } F. f x \leq B \rangle$ 

```

```

assumes increasing:  $\langle \text{increasing-filter } (\text{filtermap } f \ F) \rangle$ 
shows  $\langle \exists l. (f \longrightarrow l) \ F \rangle$ 
proof –
  have inc-re:  $\langle \text{increasing-filter } (\text{filtermap } (\lambda x. \text{Re } (f \ x)) \ F) \rangle$ 
    using increasing-filtermap[OF increasing, where f=Re and X=UNIV]
    by (simp add: less-eq-complex-def[abs-def] mono-def monotone-def filtermap-filtermap)
  from bounded have  $\langle \forall_F x \text{ in } F. \text{Re } (f \ x) \leq \text{Re } B \rangle$ 
    using eventually-mono less-eq-complex-def by fastforce
  from monotone-convergence[OF this inc-re]
  obtain re where lim-re:  $\langle ((\lambda x. \text{Re } (f \ x)) \longrightarrow \text{re}) \ F \rangle$ 
    by auto
  from bounded have  $\langle \forall_F x \text{ in } F. \text{Im } (f \ x) = \text{Im } B \rangle$ 
    by (simp add: less-eq-complex-def[abs-def] eventually-mono)
  then have lim-im:  $\langle ((\lambda x. \text{Im } (f \ x)) \longrightarrow \text{Im } B) \ F \rangle$ 
    by (simp add: tendsto-eventually)
  from lim-re lim-im have  $\langle (f \longrightarrow \text{Complex } \text{re } (\text{Im } B)) \ F \rangle$ 
    by (simp add: tendsto-complex-iff)
  then show ?thesis
    by auto
qed

lemma compact-closed-subset:
  assumes  $\langle \text{compact } s \rangle$ 
  assumes  $\langle \text{closed } t \rangle$ 
  assumes  $\langle t \subseteq s \rangle$ 
  shows  $\langle \text{compact } t \rangle$ 
  by (metis assms(1) assms(2) assms(3) compact-Int-closed inf.absorb-iff2)

definition separable where  $\langle \text{separable } S \longleftrightarrow (\exists B. \text{countable } B \wedge S \subseteq \text{closure } B) \rangle$ 

lemma compact-imp-separable:  $\langle \text{separable } S \rangle$  if  $\langle \text{compact } S \rangle$  for  $S :: \langle 'a :: \text{metric-space set} \rangle$ 
proof –
  from that
  obtain K where  $\langle \text{finite } (K \ n) \rangle$  and K-cover-S:  $\langle S \subseteq (\bigcup k \in K \ n. \text{ball } k \ (1 / \text{of-nat } (n+1))) \rangle$ 
for  $n :: \text{nat}$ 
  proof (atomize-elim, intro choice2 allI)
    fix  $n$ 
    have  $\langle S \subseteq (\bigcup k \in UNIV. \text{ball } k \ (1 / \text{of-nat } (n+1))) \rangle$ 
      apply (auto intro!: simp: )
      by (smt (verit, del-insts) dist-eq-0-iff linordered-field-class.divide-pos-pos of-nat-less-0-iff)
    then show  $\langle \exists K. \text{finite } K \wedge S \subseteq (\bigcup k \in K. \text{ball } k \ (1 / \text{real } (n + 1))) \rangle$ 
      apply (simp add: compact-eq-Heine-Borel)
      by (meson Elementary-Metric-Spaces.open-ball compactE-image compact S)
  qed
define B where  $\langle B = (\bigcup n. K \ n) \rangle$ 
have  $\langle \text{countable } B \rangle$ 
  using B-def  $\langle \text{finite } (K \ -) \rangle$  uncountable-infinite by blast
have  $\langle S \subseteq \text{closure } B \rangle$ 
proof (intro subsetI closure-approachable[THEN iffD2, rule-format])

```

```

fix  $x$  assume  $\langle x \in S \rangle$ 
fix  $e :: \text{real}$  assume  $\langle e > 0 \rangle$ 
define  $n :: \text{nat}$  where  $\langle n = \text{nat} (\text{ceiling} (1/e)) \rangle$ 
with  $\langle e > 0 \rangle$  have  $ne: \langle 1 / \text{real} (n+1) \leq e \rangle$ 
proof –
  have  $\langle 1 / \text{real} (n+1) \leq 1 / \text{ceiling} (1/e) \rangle$ 
    by (simp add:  $\langle 0 < e \rangle$  linordered-field-class.frac-le n-def)
  also have  $\langle \dots \leq 1 / (1/e) \rangle$ 
    by (smt (verit, del-insts)  $\langle 0 < e \rangle$  le-of-int-ceiling linordered-field-class.divide-pos-pos
linordered-field-class.frac-le)
  also have  $\langle \dots = e \rangle$ 
    by simp
  finally show ?thesis
    by –
qed
have  $\langle S \subseteq (\bigcup_{k \in K} n. \text{ball } k (1 / \text{of-nat} (n+1))) \rangle$ 
  using K-cover-S by presburger
then obtain  $k$  where  $\langle k \in K \ n \rangle$  and  $x\text{-ball}: \langle x \in \text{ball } k (1 / \text{of-nat} (n+1)) \rangle$ 
  using  $\langle x \in S \rangle$  by auto
from  $\langle k \in K \ n \rangle$  have  $\langle k \in B \rangle$ 
  using B-def by blast
moreover from  $x\text{-ball}$  have  $\langle \text{dist } k \ x < e \rangle$ 
  by (smt (verit) ne mem-ball)
ultimately show  $\langle \exists k \in B. \text{dist } k \ x < e \rangle$ 
  by fast
qed
show  $\langle \text{separable } S \rangle$ 
  using  $\langle S \subseteq \text{closure } B \rangle$   $\langle \text{countable } B \rangle$  separable-def by blast
qed

```

```

lemma ex-norm1-not-singleton:
  shows  $\langle \exists x :: 'a :: \{\text{real-normed-vector, not-singleton}\}. \text{norm } x = 1 \rangle$ 
  apply (rule ex-norm1)
  by simp

```

```

lemma is-Sup-approx-below:
  fixes  $b :: \langle 'a :: \text{linordered-ab-group-add} \rangle$ 
  assumes  $\langle \text{is-Sup } A \ b \rangle$ 
  assumes  $\langle \varepsilon > 0 \rangle$ 
  shows  $\langle \exists x \in A. b - \varepsilon \leq x \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg (\exists x \in A. b - \varepsilon \leq x) \rangle$ 
  then have  $\langle b - \varepsilon \geq x \rangle$  if  $\langle x \in A \rangle$  for  $x$ 
    using that by auto
  with assms
  have  $\langle b \leq b - \varepsilon \rangle$ 
    by (simp add: is-Sup-def)
  with  $\langle \varepsilon > 0 \rangle$ 
  show False

```

by *simp*
qed

lemma *sums-le-complex*:

fixes $f g :: \text{nat} \Rightarrow \text{complex}$
assumes $\langle \bigwedge n. f n \leq g n \rangle$
assumes $\langle f \text{ sums } s \rangle$
assumes $\langle g \text{ sums } t \rangle$
shows $\langle s \leq t \rangle$

proof –

have $\langle \text{Re } (f n) \leq \text{Re } (g n) \rangle$ **for** n
 by (*simp add: Re-mono assms(1)*)
moreover have $\langle (\lambda n. \text{Re } (f n)) \text{ sums } \text{Re } s \rangle$
 using *assms(2) sums-Re* **by auto**
moreover have $\langle (\lambda n. \text{Re } (g n)) \text{ sums } \text{Re } t \rangle$
 using *assms(3) sums-Re* **by auto**
ultimately have *re*: $\langle \text{Re } s \leq \text{Re } t \rangle$
 by (*rule sums-le*)
have $\langle \text{Im } (f n) = \text{Im } (g n) \rangle$ **for** n
 by (*simp add: assms(1) comp-Im-same*)
moreover have $\langle (\lambda n. \text{Im } (f n)) \text{ sums } \text{Im } s \rangle$
 using *assms(2) sums-Im* **by auto**
moreover have $\langle (\lambda n. \text{Im } (g n)) \text{ sums } \text{Im } t \rangle$
 using *assms(3) sums-Im* **by auto**
ultimately have *im*: $\langle \text{Im } s = \text{Im } t \rangle$
 using *sums-unique2* **by auto**
from *re im* **show** $\langle s \leq t \rangle$
 using *less-eq-complexI* **by auto**

qed

lemma *infsum-single*:

assumes $\bigwedge j. j \neq i \implies j \in A \implies f j = 0$
shows $\text{infsum } f A = (\text{if } i \in A \text{ then } f i \text{ else } 0)$
apply (*subst infsum-cong-neutral*[**where** $T = \langle A \cap \{i\} \rangle$ **and** $g = f$])
using *assms* **by auto**

lemma *suminf-eqI*:

fixes $x :: \langle - :: \{ \text{comm-monoid-add, t2-space} \} \rangle$
assumes $\langle f \text{ sums } x \rangle$
shows $\langle \text{suminf } f = x \rangle$
using *assms*
by (*auto intro!: simp: sums-iff*)

lemma *suminf-If-finite-set*:

fixes $f :: \langle - \Rightarrow - :: \{ \text{comm-monoid-add, t2-space} \} \rangle$
assumes $\langle \text{finite } F \rangle$
shows $\langle (\sum x \in F. f x) = (\sum x. \text{if } x \in F \text{ then } f x \text{ else } 0) \rangle$
by (*auto intro!: suminf-eqI[symmetric] sums-If-finite-set simp: assms*)

definition *separating-set* :: $\langle ('a \Rightarrow 'b) \Rightarrow \text{bool} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{separating-set } P \ S \ \longleftrightarrow (\forall f \ g. P \ f \ \longrightarrow P \ g \ \longrightarrow (\forall x \in S. f \ x = g \ x) \ \longrightarrow f = g) \rangle$

lemma *separating-set-mono*: $\langle S \subseteq T \Longrightarrow \text{separating-set } P \ S \Longrightarrow \text{separating-set } P \ T \rangle$
unfolding *separating-set-def* **by** *fast*

lemma *separating-set-UNIV*[*simp*]: $\langle \text{separating-set } P \ \text{UNIV} \rangle$
by (*auto intro!*: *ext simp: separating-set-def*)

lemma *eq-from-separatingI*:
assumes $\langle \text{separating-set } P \ S \rangle$
assumes $\langle P \ f \rangle$ **and** $\langle P \ g \rangle$
assumes $\langle \bigwedge x. x \in S \Longrightarrow f \ x = g \ x \rangle$
shows $\langle f = g \rangle$
using *assms* **by** (*simp add: separating-set-def*)

lemma *eq-from-separatingI2*:
assumes $\langle \text{separating-set } P \ ((\lambda(x,y). h \ x \ y) \ ` (S \times T)) \rangle$
assumes $\langle P \ f \rangle$ **and** $\langle P \ g \rangle$
assumes $\langle \bigwedge x \ y. x \in S \Longrightarrow y \in T \Longrightarrow f \ (h \ x \ y) = g \ (h \ x \ y) \rangle$
shows $\langle f = g \rangle$
apply (*rule eq-from-separatingI*[*OF assms(1)*])
using *assms(2-4)* **by** *auto*

lemma *separating-setI*:
assumes $\langle \bigwedge f \ g. P \ f \Longrightarrow P \ g \Longrightarrow (\bigwedge x. x \in S \Longrightarrow f \ x = g \ x) \Longrightarrow f = g \rangle$
shows $\langle \text{separating-set } P \ S \rangle$
by (*simp add: assms separating-set-def*)

lemma *tendsto-le-complex*:
fixes $x \ y :: \text{complex}$
assumes $F: \neg \text{trivial-limit } F$
and $x: (f \ \longrightarrow \ x) \ F$
and $y: (g \ \longrightarrow \ y) \ F$
and $ev: \text{eventually } (\lambda x. g \ x \leq f \ x) \ F$
shows $y \leq x$
proof (*rule less-eq-complexI*)
note F
moreover have $\langle ((\lambda x. \text{Re } (f \ x)) \ \longrightarrow \ \text{Re } x) \ F \rangle$
by (*simp add: assms tendsto-Re*)
moreover have $\langle ((\lambda x. \text{Re } (g \ x)) \ \longrightarrow \ \text{Re } y) \ F \rangle$
by (*simp add: assms tendsto-Re*)
moreover from ev **have** $\text{eventually } (\lambda x. \text{Re } (g \ x) \leq \text{Re } (f \ x)) \ F$
apply (*rule eventually-mono*)
by (*simp add: less-eq-complex-def*)
ultimately show $\langle \text{Re } y \leq \text{Re } x \rangle$
by (*rule tendsto-le*)
next

```

note F
moreover have  $\langle (\lambda x. \text{Im } (g \ x)) \longrightarrow \text{Im } y \ F \rangle$ 
  by (simp add: assms tendsto-Im)
moreover
have  $\langle (\lambda x. \text{Im } (g \ x)) \longrightarrow \text{Im } x \ F \rangle$ 
proof –
  have  $\langle (\lambda x. \text{Im } (f \ x)) \longrightarrow \text{Im } x \ F \rangle$ 
    by (simp add: assms tendsto-Im)
  moreover from ev have  $\langle \forall_F \ x \ \text{in } F. \text{Im } (f \ x) = \text{Im } (g \ x) \rangle$ 
    apply (rule eventually-mono)
    by (simp add: less-eq-complex-def)
  ultimately show ?thesis
    by (rule Lim-transform-eventually)
qed
ultimately show  $\langle \text{Im } y = \text{Im } x \rangle$ 
  by (rule tendsto-unique)
qed

lemma bdd-above-mono2:
  assumes  $\langle \text{bdd-above } (g \ ' B) \rangle$ 
  assumes  $\langle A \subseteq B \rangle$ 
  assumes  $\langle \bigwedge x. x \in A \implies f \ x \leq g \ x \rangle$ 
  shows  $\langle \text{bdd-above } (f \ ' A) \rangle$ 
by (smt (verit, del-insts) Set.basic-monos(7) assms(1) assms(2) assms(3) basic-trans-rules(23))
bdd-above.I2 bdd-above.unfold imageI

```

end

2 *Misc-Tensor-Product-BO* – Miscellaneous results missing from `Complex_Bounded_Operators`

```

theory Misc-Tensor-Product-BO
imports
  Complex-Bounded-Operators.Complex-L2
  Misc-Tensor-Product
  HOL-Library.Function-Algebras
begin

no-notation Set-Algebras.elt-set-eq (infix =o 50)

unbundle cblinfun-notation

instance cblinfun :: (chilbert-space, chilbert-space) ordered-comm-monoid-add
  by intro-classes

lemma rank1-scaleR[simp]:  $\langle \text{rank1 } (c \ *_R \ a) \rangle$  if  $\langle \text{rank1 } a \rangle$  and  $\langle c \neq 0 \rangle$ 

```

by (simp add: rank1-scaleC scaleR-scaleC that(1) that(2))

lemma rank1-butterfly[simp]: $\langle \text{rank1 } (\text{butterfly } x \ y) \rangle$
 apply (cases $\langle y = 0 \rangle$)
 by (auto intro: exI[of - 0] simp: rank1-def butterfly-is-rank1)

definition $\langle \text{cfinite-dim } S \longleftrightarrow (\exists B. \text{finite } B \wedge S \subseteq \text{cspan } B) \rangle$

lemma cfinite-dim-subspace-has-basis:
 assumes $\langle \text{cfinite-dim } S \rangle$ and $\langle \text{csubspace } S \rangle$
 shows $\langle \exists B. \text{finite } B \wedge \text{cindependent } B \wedge \text{cspan } B = S \rangle$
proof –
 obtain B where $\langle \text{cindependent } B \rangle$ and $\langle \text{cspan } B = S \rangle$
 by (rule complex-vector.maximal-independent-subset[where $V=S$])
 (use $\langle \text{csubspace } S \rangle$ complex-vector.span-subspace in blast)
 from $\langle \text{cfinite-dim } S \rangle$
 obtain C where $\langle \text{finite } C \rangle$ and $\langle S \subseteq \text{cspan } C \rangle$
 using cfinite-dim-def by auto
 from $\langle \text{cspan } B = S \rangle$ and $\langle S \subseteq \text{cspan } C \rangle$
 have $\langle B \subseteq \text{cspan } C \rangle$
 using complex-vector.span-superset by force
 from $\langle \text{finite } C \rangle$ $\langle \text{cindependent } B \rangle$ this
 have $\langle \text{finite } B \rangle$
 by (rule complex-vector.independent-span-bound[THEN conjunct1])
 from this and $\langle \text{cindependent } B \rangle$ and $\langle \text{cspan } B = S \rangle$
 show ?thesis
 by auto
qed

lemma cfinite-dim-subspace-has-onb:
 assumes $\langle \text{cfinite-dim } S \rangle$ and $\langle \text{csubspace } S \rangle$
 shows $\langle \exists B. \text{finite } B \wedge \text{is-ortho-set } B \wedge \text{cspan } B = S \wedge (\forall x \in B. \text{norm } x = 1) \rangle$
proof –
 from assms
 obtain C where $\langle \text{finite } C \rangle$ and $\langle \text{cindependent } C \rangle$ and $\langle \text{cspan } C = S \rangle$
 using cfinite-dim-subspace-has-basis by blast
 obtain B where $\langle \text{finite } B \rangle$ and $\langle \text{is-ortho-set } B \rangle$ and $\langle \text{cspan } B = \text{cspan } C \rangle$
 and norm: $\langle x \in B \implies \text{norm } x = 1 \rangle$ for x
 using orthonormal-basis-of-cspan[OF $\langle \text{finite } C \rangle$]
 by blast
 with $\langle \text{cspan } C = S \rangle$ have $\langle \text{cspan } B = S \rangle$
 by simp
 with $\langle \text{finite } B \rangle$ and $\langle \text{is-ortho-set } B \rangle$ and norm
 show ?thesis
 by blast
qed

lemma cspan-finite-dim[intro]: $\langle \text{cfinite-dim } (\text{cspan } B) \rangle$ if $\langle \text{finite } B \rangle$
 using cfinite-dim-def that by auto

lift-definition *finite-dim-ccsubspace* :: $\langle 'a::\text{complex-normed-vector ccsubspace} \Rightarrow \text{bool} \rangle$ is *cfi-finite-dim*.

lemma *ccspan-finite-dim[intro]*: $\langle \text{finite-dim-ccsubspace} (\text{ccspan } B) \rangle$ if $\langle \text{finite } B \rangle$
using *ccspan-finite finite-dim-ccsubspace.rep-eq* that **by** *fastforce*

lemma *finite-dim-ccsubspace-zero[iff]*: $\langle \text{finite-dim-ccsubspace } 0 \rangle$

proof –

have *: $\langle \text{cfinite-dim} (\text{cspan } \{0\}) \rangle$

by *blast*

show *?thesis*

apply *transfer*

using * **by** *simp*

qed

lemma *finite-dim-ccsubspace-bot[iff]*: $\langle \text{finite-dim-ccsubspace } \perp \rangle$

using *finite-dim-ccsubspace-zero* **by** *auto*

lemma *compact-scaleC*:

fixes *s* :: $'a::\text{complex-normed-vector set}$

assumes *compact s*

shows *compact (scaleC c ' s)*

by (*auto intro!*: *compact-continuous-image assms continuous-at-imp-continuous-on*)

lemma *Proj-nearest*:

assumes $\langle x \in \text{space-as-set } S \rangle$

shows $\langle \text{dist} (\text{Proj } S m) m \leq \text{dist } x m \rangle$

proof –

have $\langle \text{is-projection-on} (\text{Proj } S) (\text{space-as-set } S) \rangle$

by (*simp add: Proj.rep-eq*)

then have $\langle \text{is-arg-min} (\lambda x. \text{dist } x m) (\lambda x. x \in \text{space-as-set } S) (\text{Proj } S m) \rangle$

by (*simp add: is-projection-on-def*)

with *assms* **show** *?thesis*

by (*auto simp: is-arg-min-def*)

qed

lemma *norm-cblinfun-bound-unit*:

assumes $\langle b \geq 0 \rangle$

assumes $\langle \bigwedge \psi. \text{norm } \psi = 1 \implies \text{norm} (a *_V \psi) \leq b \rangle$

shows $\langle \text{norm } a \leq b \rangle$

proof (*rule norm-cblinfun-bound*)

from *assms* **show** $\langle b \geq 0 \rangle$ **by** *simp*

fix *x*

```

show ⟨norm (a *V x) ≤ b * norm x⟩
proof (cases ⟨x = 0⟩)
  case True
  then show ?thesis by simp
next
case False
have ⟨norm (a *V x) = norm (a *V (norm x *C sgn x))⟩
  by simp
also have ⟨... = norm (a *V sgn x) * norm x⟩
  by (simp add: cblinfun.scaleC-right del: norm-scaleC-sgn)
also have ⟨... ≤ (b * norm (sgn x)) * norm x⟩
  by (simp add: assms(2) norm-sgn)
also have ⟨... = b * norm x⟩
  by (simp add: norm-sgn)
finally show ?thesis
  by -
qed
qed

```

lemma *cblinfun-norm-is-Sup-cinner*:

— [2], Proposition II.2.13

fixes $A :: \langle 'a :: \{not-singleton, chilbert-space\} \Rightarrow_{CL} 'a \rangle$

assumes *Aselfadj*: ⟨*selfadjoint* A ⟩

shows ⟨*is-Sup* (($\lambda\psi. cmod (\psi \cdot_C (A *_V \psi))$) ‘ $\{\psi. norm \psi = 1\}$) (norm A)⟩

proof (*rule is-SupI*)

fix b **assume** ⟨ $b \in (\lambda\psi. cmod (\psi \cdot_C (A *_V \psi)))$ ‘ $\{\psi. norm \psi = 1\}$ ⟩

then obtain ψ **where** ⟨norm $\psi = 1$ ⟩ **and** $b\text{-}\psi$: ⟨ $b = cmod (\psi \cdot_C (A *_V \psi))$ ⟩

by *blast*

have ⟨ $b \leq norm (A \psi)$ ⟩

using $b\text{-}\psi$ ⟨norm $\psi = 1$ ⟩

by (*metis complex-inner-class.Cauchy-Schwarz-ineq2 mult-cancel-right2*)

also have ⟨... ≤ norm A ⟩

using ⟨norm $\psi = 1$ ⟩

by (*metis mult-cancel-left2 norm-cblinfun*)

finally show ⟨ $b \leq norm A$ ⟩

by —

next

fix c **assume** *asm*: ⟨($\bigwedge b. b \in (\lambda\psi. cmod (\psi \cdot_C A \psi))$) ‘ $\{\psi. norm \psi = 1\} \implies b \leq c$ ⟩

have *c-upper*: ⟨ $cmod (\psi \cdot_C (A *_V \psi)) \leq c$ ⟩ **if** ⟨norm $\psi = 1$ ⟩ **for** ψ

using that using *asm*[of ⟨ $cmod (\psi \cdot_C (A *_V \psi))$ ⟩] **by** *auto*

have ⟨ $c \geq 0$ ⟩

by (*smt* (*z3*) *ex-norm1-not-singleton c-upper norm-ge-zero*)

have *: ⟨ $Re (g \cdot_C A h) \leq c$ ⟩ **if** ⟨norm $g = 1$ ⟩ **and** ⟨norm $h = 1$ ⟩ **for** $g h$

proof —

have *c-upper'*: ⟨ $cmod (\psi \cdot_C (A *_V \psi)) \leq c * (norm \psi)^2$ ⟩ **for** ψ

apply (*cases* ⟨ $\psi = 0$ ⟩, *simp*)

apply (*subst* (2) *norm-scaleC-*sgn*[symmetric, of ψ]*)

```

apply (subst norm-scaleC-sgn[symmetric])
apply (simp only: cinner-scaleC-left cinner-scaleC-right cblinfun.scaleC-right)
using c-upper[of ⟨sgn ψ⟩]
by (simp add: norm-mult norm-sgn power2-eq-square)
from Aselfadj have Aselfadj':  $x \cdot_C (A *_V y) = (A *_V x) \cdot_C y$  for  $x y$ 
using cinner-adj-right[of  $x A y$ ] by (auto simp: selfadjoint-def)
from Aselfadj have Aselfadj'':  $(A *_V x) \cdot_C y = \text{cnj} ((A *_V y) \cdot_C x)$  for  $x y$ 
by (subst cinner-commute, subst Aselfadj') auto

have 1:  $\langle (h + g) \cdot_C A (h + g) = h \cdot_C A h + 2 * \text{Re} (g \cdot_C A h) + g \cdot_C A g \rangle$ 
by (simp add: cblinfun.cbilinear-simps algebra-simps
  Aselfadj' Aselfadj''[of  $h g$ ] complex-add-cnj del: cinner-commute')
from Aselfadj have 2:  $\langle (h - g) \cdot_C A (h - g) = h \cdot_C A h - 2 * \text{Re} (g \cdot_C A h) + g \cdot_C A g \rangle$ 
by (simp add: cblinfun.cbilinear-simps algebra-simps Aselfadj'
  Aselfadj''[of  $h g$ ] complex-add-cnj del: cinner-commute')
have  $\langle 4 * \text{Re} (g \cdot_C A h) = \text{Re} ((h + g) \cdot_C A (h + g)) - \text{Re} ((h - g) \cdot_C A (h - g)) \rangle$ 
by (smt (verit, ccfv-SIG) 1 2 Re-complex-of-real minus-complex.simps(1) plus-complex.sel(1))
also have  $\langle \dots \leq c * (\text{norm} (h + g))^2 - \text{Re} ((h - g) \cdot_C A (h - g)) \rangle$ 
using c-upper'[of  $\langle h + g \rangle$ ]
by (smt (verit, best) complex-Re-le-cmod)
also have  $\langle \dots \leq c * (\text{norm} (h + g))^2 + c * (\text{norm} (h - g))^2 \rangle$ 
unfolding diff-conv-add-uminus
by (rule add-left-mono)
  (use c-upper'[of  $\langle h - g \rangle$ ] in ⟨smt (verit) abs-Re-le-cmod add-uminus-conv-diff⟩)
also have  $\langle \dots = 2 * c * ((\text{norm} h)^2 + (\text{norm} g)^2) \rangle$ 
by (auto intro!: simp: polar-identity polar-identity-minus ring-distrib)
also have  $\langle \dots \leq 4 * c \rangle$ 
by (simp add: ⟨norm h = 1⟩ ⟨norm g = 1⟩)
finally show  $\langle \text{Re} (g \cdot_C (A *_V h)) \leq c \rangle$ 
by simp
qed
have *:  $\langle \text{cmod} (g \cdot_C A h) \leq c \rangle$  if  $\langle \text{norm} g = 1 \rangle$  and  $\langle \text{norm} h = 1 \rangle$  for  $g h$ 
proof –
define  $\gamma$  where  $\langle \gamma = (\text{if } g \cdot_C A h = 0 \text{ then } 1 \text{ else } \text{sgn} (g \cdot_C A h)) \rangle$ 
have  $\gamma$ :  $\langle \gamma * \text{cmod} (g \cdot_C A h) = g \cdot_C A h \rangle$ 
by (simp add:  $\gamma$ -def sgn-eq)
have  $\langle \text{norm } \gamma = 1 \rangle$ 
by (simp add:  $\gamma$ -def norm-sgn)
have  $\langle \text{cmod} (g \cdot_C A h) = \text{Re} (\text{complex-of-real} (\text{norm} (g \cdot_C A h))) \rangle$ 
by simp
also have  $\langle \dots = \text{Re} (g \cdot_C (A (h /_C \gamma))) \rangle$ 
using  $\gamma$   $\langle \text{cmod } \gamma = 1 \rangle$ 
by (smt (verit) Groups.mult-ac(2) Groups.mult-ac(3) cblinfun.scaleC-right cinner-scaleC-right
  left-inverse more-arith-simps(6) norm-eq-zero)
also have  $\langle \dots \leq c \rangle$ 
using  $\langle \text{norm } \gamma = 1 \rangle$ 
by (auto intro!: * simp: that norm-inverse)
finally show  $\langle \text{cmod} (g \cdot_C (A *_V h)) \leq c \rangle$ 
by –

```

qed
have $\langle \text{norm } (A \ h) \leq c \rangle$ **if** $\langle \text{norm } h = 1 \rangle$ **for** h
by (*cases* $\langle A \ h = 0 \rangle$)
(use $*[OF - \text{that, of } \langle \text{sgn } (A \ h) \rangle]$ **in** $\langle \text{simp-all add: norm-sgn } \langle 0 \leq c \rangle \rangle$)
then show $\langle \text{norm } A \leq c \rangle$
using $\langle c \geq 0 \rangle$ **by** (*auto intro!*: *norm-cblinfun-bound-unit*)
qed

lemma *cblinfun-norm-approx-witness-cinner*:
fixes $A :: \langle 'a :: \{ \text{not-singleton, chilbert-space} \} \Rightarrow_{CL} 'a \rangle$
assumes $\langle \text{selfadjoint } A \rangle$ **and** $\langle \varepsilon > 0 \rangle$
shows $\langle \exists \psi. \text{cmod } (\psi \cdot_C (A *_V \psi)) \geq \text{norm } A - \varepsilon \wedge \text{norm } \psi = 1 \rangle$
using *is-Sup-approx-below*[*OF cblinfun-norm-is-Sup-cinner*[*OF assms(1)*]] *assms(2)*]
by *blast*

lemma *cblinfun-norm-approx-witness-cinner'*:
fixes $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
assumes $\langle \text{selfadjoint } A \rangle$ **and** $\langle \varepsilon > 0 \rangle$
shows $\langle \exists \psi. \text{cmod } (\psi \cdot_C A \ \psi) / (\text{norm } \psi)^2 \geq \text{norm } A - \varepsilon \rangle$
proof (*cases* $\langle \text{class.not-singleton TYPE('a)} \rangle$)
case *True*
obtain ψ **where** $\langle \text{cmod } (\psi \cdot_C A \ \psi) \geq \text{norm } A - \varepsilon \rangle$ **and** $\langle \text{norm } \psi = 1 \rangle$
apply *atomize-elim*
using *chilbert-space-axioms True assms*
by (*rule cblinfun-norm-approx-witness-cinner*[*internalize-sort' 'a*])
then have $\langle \text{cmod } (\psi \cdot_C A \ \psi) / (\text{norm } \psi)^2 \geq \text{norm } A - \varepsilon \rangle$
by *simp*
then show *?thesis*
by *auto*
next
case *False*
show *?thesis*
apply (*subst not-not-singleton-cblinfun-zero*[*OF False*])
apply *simp*
apply (*subst not-not-singleton-cblinfun-zero*[*OF False*])
using $\langle \varepsilon > 0 \rangle$ **by** *simp*
qed

lemma *has-sum-mono-neutral-cblinfun*:
fixes $f :: 'a \Rightarrow ('b :: \text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $\langle (f \ \text{has-sum } a) \ A \rangle$ **and** $\langle (g \ \text{has-sum } b) \ B \rangle$
assumes $\langle \bigwedge x. x \in A \cap B \implies f \ x \leq g \ x \rangle$
assumes $\langle \bigwedge x. x \in A - B \implies f \ x \leq 0 \rangle$
assumes $\langle \bigwedge x. x \in B - A \implies g \ x \geq 0 \rangle$
shows $a \leq b$
proof –
from *assms*
have *sum-hfh*: $\langle ((\lambda x. h \cdot_C f \ x \ h) \ \text{has-sum } h \cdot_C a \ h) \ A \rangle$ **for** h
by (*intro has-sum-cinner-left has-sum-cblinfun-apply-left*)

```

from assms
have sum-hgh:  $\langle (\lambda x. h \cdot_C g x h) \text{ has-sum } h \cdot_C b h \rangle B$  for h
by (intro has-sum-cinner-left has-sum-cblinfun-apply-left)
from sum-hfh sum-hgh
have  $\langle h \cdot_C a h \leq h \cdot_C b h \rangle$  for h
apply (rule has-sum-mono-neutral-complex)
using assms
by (auto intro!; simp: less-eq-cblinfun-def)
then show  $\langle a \leq b \rangle$ 
by (simp add: less-eq-cblinfun-def)
qed

```

lemma *sums-mono-cblinfun*:

```

fixes f :: nat  $\Rightarrow$  (b::chilbert-space  $\Rightarrow_{CL}$  'b)
assumes  $\langle f \text{ sums } a \rangle$  and g sums b
assumes  $\langle \bigwedge n. f n \leq g n \rangle$ 
shows  $a \leq b$ 
proof (rule cblinfun-leI)
fix h
from  $\langle f \text{ sums } a \rangle$ 
have sum1:  $\langle (\lambda n. h \cdot_C (f n *_{\mathcal{V}} h)) \text{ sums } (h \cdot_C (a *_{\mathcal{V}} h)) \rangle$ 
apply (rule bounded-linear.sums[rotated])
using bounded-clinear.bounded-linear bounded-clinear-cinner-right bounded-linear-compose
cblinfun.real.bounded-linear-left by blast
from  $\langle g \text{ sums } b \rangle$ 
have sum2:  $\langle (\lambda n. h \cdot_C (g n *_{\mathcal{V}} h)) \text{ sums } (h \cdot_C (b *_{\mathcal{V}} h)) \rangle$ 
apply (rule bounded-linear.sums[rotated])
by (metis bounded-linear-compose cblinfun.real.bounded-linear-left cblinfun.real.bounded-linear-right
cblinfun-cinner-right.rep-eq)
have  $\langle h \cdot_C (f n *_{\mathcal{V}} h) \leq h \cdot_C (g n *_{\mathcal{V}} h) \rangle$  for n
using assms(3) less-eq-cblinfun-def by auto
with sum1 sum2
show  $\langle h \cdot_C (a *_{\mathcal{V}} h) \leq h \cdot_C (b *_{\mathcal{V}} h) \rangle$ 
by (rule sums-le-complex[rotated])
qed

```

lemma *scaleC-scaleR-commute*: $\langle a *_{\mathcal{C}} b *_{\mathcal{R}} x = b *_{\mathcal{R}} a *_{\mathcal{C}} x \rangle$ **for** *x* :: $\langle \cdot \rangle$:*complex-normed-vector*
by (*simp add: scaleR-scaleC scaleC-left-commute*)

lemma *sandwich-scaleC-left*: $\langle \text{sandwich } (c *_{\mathcal{C}} e) = (c \text{ mod } c)^{\wedge 2} *_{\mathcal{C}} \text{sandwich } e \rangle$
by (*auto intro!*; *cblinfun-eqI simp: sandwich-apply cnj-x-x abs-complex-def*)

lemma *sandwich-scaleR-left*: $\langle \text{sandwich } (r *_{\mathcal{R}} e) = r^{\wedge 2} *_{\mathcal{R}} \text{sandwich } e \rangle$
by (*simp add: scaleR-scaleC sandwich-scaleC-left flip: of-real-power*)

lemma *infsun-product*:

```

fixes f ::  $\langle 'a \Rightarrow 'c :: \{ \text{topological-semigroup-mult, division-ring, banach} \} \rangle$ 
assumes  $\langle (\lambda(x, y). f x * g y) \text{ summable-on } X \times Y \rangle$ 

```

shows $\langle (\sum_{\infty} x \in X. f x) * (\sum_{\infty} y \in Y. g y) = (\sum_{\infty} (x,y) \in X \times Y. f x * g y) \rangle$
using *assms*
by (*simp add: infsum-cmult-right' infsum-cmult-left' flip: infsum-Sigma'-banach*)

lemma *infsum-product'*:

fixes $f :: \langle 'a \Rightarrow 'c :: \{ \text{banach, times, real-normed-algebra} \} \rangle$ **and** $g :: \langle 'b \Rightarrow 'c \rangle$
assumes $\langle f \text{ abs-summable-on } X \rangle$
assumes $\langle g \text{ abs-summable-on } Y \rangle$
shows $\langle (\sum_{\infty} x \in X. f x) * (\sum_{\infty} y \in Y. g y) = (\sum_{\infty} (x,y) \in X \times Y. f x * g y) \rangle$
using *assms*

by (*simp add: abs-summable-times infsum-cmult-right infsum-cmult-left abs-summable-summable flip: infsum-Sigma'-banach*)

lemma *Proj-o-Proj-subspace-right*:

assumes $\langle A \geq B \rangle$
shows $\langle \text{Proj } A \text{ } o_{CL} \text{ } \text{Proj } B = \text{Proj } B \rangle$
by (*simp add: Proj-compose-cancelI assms*)

lemma *Proj-o-Proj-subspace-left*:

assumes $\langle A \leq B \rangle$
shows $\langle \text{Proj } A \text{ } o_{CL} \text{ } \text{Proj } B = \text{Proj } A \rangle$
by (*metis Proj-o-Proj-subspace-right adj-Proj adj-cblinfun-compose assms*)

lemma *orthogonal-spaces-SUP-left*:

assumes $\langle \bigwedge x. x \in X \implies \text{orthogonal-spaces } (A x) B \rangle$
shows $\langle \text{orthogonal-spaces } (\bigsqcup x \in X. A x) B \rangle$
by (*meson SUP-least assms orthogonal-spaces-leq-compl*)

lemma *orthogonal-spaces-SUP-right*:

assumes $\langle \bigwedge x. x \in X \implies \text{orthogonal-spaces } A (B x) \rangle$
shows $\langle \text{orthogonal-spaces } A (\bigsqcup x \in X. B x) \rangle$
by (*meson assms orthogonal-spaces-SUP-left orthogonal-spaces-sym*)

lemma *orthogonal-bot-left[simp]*: $\langle \text{orthogonal-spaces bot } S \rangle$

by (*simp add: orthogonal-spaces-def*)

lemma *infsum-bounded-linear-invertible*:

assumes $\langle \text{bounded-linear } h \rangle$
assumes $\langle \text{bounded-linear } h' \rangle$
assumes $\langle h' \circ h = \text{id} \rangle$
shows $\langle \text{infsum } (\lambda x. h (f x)) A = h (\text{infsum } f A) \rangle$

proof (*cases* $\langle f \text{ summable-on } A \rangle$)

case *True*

then show *?thesis*

using *assms(1) infsum-bounded-linear* **by** *blast*

next

case *False*

have $\langle \neg (\lambda x. h (f x)) \text{ summable-on } A \rangle$

```

proof (rule ccontr)
  assume  $\langle \neg \neg (\lambda x. h (f x)) \text{ summable-on } A \rangle$ 
  with  $\langle \text{bounded-linear } h' \rangle$  have  $\langle h' \circ h \circ f \text{ summable-on } A \rangle$ 
  by (auto intro: summable-on-bounded-linear simp: o-def)
  then have  $\langle f \text{ summable-on } A \rangle$ 
  by (simp add: assms(3))
  with False show False
  by blast
qed
then show ?thesis
by (simp add: False assms(1) infsum-not-exists linear-simps(3))
qed

lemma cblinfun-eq-from-separatingI:
  fixes  $a b :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$ 
  assumes  $\langle \text{separating-set (bounded-clinear} :: ('a \Rightarrow 'b) \Rightarrow \text{bool}) } S \rangle$ 
  assumes  $\langle \bigwedge x. x \in S \implies a x = b x \rangle$ 
  shows  $\langle a = b \rangle$ 
  apply (rule cblinfun-eqI, rule fun-cong[where  $f = \langle \text{cblinfun-apply } - \rangle$ ])
  using assms(1) apply (rule eq-from-separatingI)
  using assms(2) by (auto intro!: bounded-cbilinear-apply-bounded-clinear cblinfun.bounded-cbilinear-axioms
  simp: )

lemma cblinfun-eq-from-separatingI2:
  fixes  $a b :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$ 
  assumes  $\langle \text{separating-set (bounded-clinear} :: ('a \Rightarrow 'b) \Rightarrow \text{bool}) } ((\lambda(x,y). h x y) \text{ ' } (S \times T)) \rangle$ 
  assumes  $\langle \bigwedge x y. x \in S \implies y \in T \implies a (h x y) = b (h x y) \rangle$ 
  shows  $\langle a = b \rangle$ 
  apply (rule cblinfun-eqI, rule fun-cong[where  $f = \langle \text{cblinfun-apply } - \rangle$ ])
  using assms(1) apply (rule eq-from-separatingI2)
  using assms(2) by (auto intro!: bounded-cbilinear-apply-bounded-clinear cblinfun.bounded-cbilinear-axioms
  simp: )

lemma separating-set-bounded-clinear-dense:
  assumes  $\langle \text{ccspan } S = \top \rangle$ 
  shows  $\langle \text{separating-set bounded-clinear } S \rangle$ 
  unfolding separating-set-def
  by (intro allI impI ext, rule bounded-clinear-eq-on-closure[where  $G=S$ ])
  (use assms ccspan.rep-eq in force)+)

lemma separating-set-ket:  $\langle \text{separating-set bounded-clinear (range ket)} \rangle$ 
by (simp add: bounded-clinear-equal-ket separating-setI)

lemma separating-set-bounded-cbilinear-nested:
  assumes  $\langle \text{separating-set (bounded-clinear} :: (- \implies 'e::\text{complex-normed-vector}) \Rightarrow -) } ((\lambda(x, y). h x y) \text{ ' } (UNIV \times UNIV)) \rangle$ 
  assumes  $\langle \text{bounded-cbilinear } h \rangle$ 
  assumes  $\langle \text{separating-set (bounded-clinear} :: (- \implies 'e) \Rightarrow -) } A \rangle$ 
  assumes  $\langle \text{separating-set (bounded-clinear} :: (- \implies 'e) \Rightarrow -) } B \rangle$ 

```

```

  shows ‹separating-set (bounded-clinear :: (- => 'e) => -) ((λ(x,y). h x y) ‘(A × B))›
proof (rule separating-setI)
  fix f g :: ‹'a => 'e›
  assume [simp]: ‹bounded-clinear f› ‹bounded-clinear g›
  have [simp]: ‹bounded-clinear (λx. f (h x y))› for y
    apply (rule bounded-clinear-compose[OF ‹bounded-clinear f›])
    using assms(2) by (rule bounded-cbilinear.bounded-clinear-left)
  have [simp]: ‹bounded-clinear (λx. g (h x y))› for y
    apply (rule bounded-clinear-compose[OF ‹bounded-clinear g›])
    using assms(2) by (rule bounded-cbilinear.bounded-clinear-left)
  have [simp]: ‹bounded-clinear (λy. f (h x y))› for x
    apply (rule bounded-clinear-compose[OF ‹bounded-clinear f›])
    using assms(2) by (rule bounded-cbilinear.bounded-clinear-right)
  have [simp]: ‹bounded-clinear (λy. g (h x y))› for x
    apply (rule bounded-clinear-compose[OF ‹bounded-clinear g›])
    using assms(2) by (rule bounded-cbilinear.bounded-clinear-right)

  assume ‹z ∈ (λ(x, y). h x y) ‘(A × B) ==> f z = g z› for z
  then have ‹f (h x y) = g (h x y)› if ‹x ∈ A› and ‹y ∈ B› for x y
    using that by auto
  then have ‹(λx. f (h x y)) = (λx. g (h x y))› if ‹y ∈ B› for y
    by (intro eq-from-separatingI[OF assms(3)]) (use that in auto)
  then have ‹(λy. f (h x y)) = (λy. g (h x y))› for x
    apply (intro eq-from-separatingI[OF assms(4)])
    subgoal by simp
    subgoal by simp
    subgoal by meson
  done
  then have ‹f (h x y) = g (h x y)› for x y
    by meson
  with ‹bounded-clinear f› ‹bounded-clinear g›
  show ‹f = g›
    by (rule eq-from-separatingI2[where f=f and g=g and P=bounded-clinear and S=UNIV
and T=UNIV, rotated 1])
    (fact assms(1))
qed

```

```

lemma separating-set-bounded-clinear-antilinear:
  assumes ‹separating-set (bounded-clinear :: (- => 'e::complex-normed-vector conjugate-space)
=> -) A›
  shows ‹separating-set (bounded-antilinear :: (- => 'e) => -) A›
proof (rule separating-setI)
  fix f g :: ‹'a => 'e›
  assume ‹bounded-antilinear f›
  then have lin-f: ‹bounded-clinear (to-conjugate-space o f)›
    by (simp add: bounded-antilinear-o-bounded-antilinear)
  assume ‹bounded-antilinear g›
  then have lin-g: ‹bounded-clinear (to-conjugate-space o g)›

```



```

    by (simp add: bounded-antilinear-o-bounded-antilinear')
  assume ⟨f x = g x⟩ if ⟨x ∈ A⟩ for x
  then have ⟨(to-conjugate-space o f) x = (to-conjugate-space o g) x⟩ if ⟨x ∈ A⟩ for x
    by (simp add: that)
  with lin-f lin-g
  have ⟨to-conjugate-space o f = to-conjugate-space o g⟩
    by (rule eq-from-separatingI[OF assms])
  then show ⟨f = g⟩
    by (metis UNIV-I fun.inj-map-strong to-conjugate-space-inverse)
qed

```

lemma *separating-set-bounded-sesquilinear-nested*:

```

  assumes ⟨separating-set (bounded-clinear :: (- => 'e::complex-normed-vector) => -) ((λ(x, y).
  h x y) ' (UNIV × UNIV))⟩

```

```

  assumes ⟨bounded-sesquilinear h⟩

```

```

  assumes sep-A: ⟨separating-set (bounded-clinear :: (- => 'e conjugate-space) => -) A⟩

```

```

  assumes sep-B: ⟨separating-set (bounded-clinear :: (- => 'e) => -) B⟩

```

```

  shows ⟨separating-set (bounded-clinear :: (- => 'e) => -) ((λ(x,y). h x y) ' (A × B))⟩

```

proof (rule separating-setI)

```

  fix f g :: ⟨'a => 'e⟩

```

```

  assume [simp]: ⟨bounded-clinear f⟩ ⟨bounded-clinear g⟩

```

```

  have [simp]: ⟨bounded-antilinear (λx. f (h x y))⟩ for y

```

```

    apply (rule bounded-clinear-o-bounded-antilinear[OF ⟨bounded-clinear f⟩])

```

```

    using assms(2) by (rule bounded-sesquilinear.bounded-antilinear-left)

```

```

  have [simp]: ⟨bounded-antilinear (λx. g (h x y))⟩ for y

```

```

    apply (rule bounded-clinear-o-bounded-antilinear[OF ⟨bounded-clinear g⟩])

```

```

    using assms(2) by (rule bounded-sesquilinear.bounded-antilinear-left)

```

```

  have [simp]: ⟨bounded-clinear (λy. f (h x y))⟩ for x

```

```

    apply (rule bounded-clinear-compose[OF ⟨bounded-clinear f⟩])

```

```

    using assms(2) by (rule bounded-sesquilinear.bounded-clinear-right)

```

```

  have [simp]: ⟨bounded-clinear (λy. g (h x y))⟩ for x

```

```

    apply (rule bounded-clinear-compose[OF ⟨bounded-clinear g⟩])

```

```

    using assms(2) by (rule bounded-sesquilinear.bounded-clinear-right)

```

```

  from sep-A have sep-A': ⟨separating-set (bounded-antilinear :: (- => 'e) => -) A⟩

```

```

    by (rule separating-set-bounded-clinear-antilinear)

```

```

  assume ⟨z ∈ (λ(x, y). h x y) ' (A × B) ⟹ f z = g z⟩ for z

```

```

  then have ⟨f (h x y) = g (h x y)⟩ if ⟨x ∈ A⟩ and ⟨y ∈ B⟩ for x y

```

```

    using that by auto

```

```

  then have ⟨(λx. f (h x y)) = (λx. g (h x y))⟩ if ⟨y ∈ B⟩ for y

```

```

    by (intro eq-from-separatingI[OF sep-A']) (use that in auto)

```

```

  then have ⟨(λy. f (h x y)) = (λy. g (h x y))⟩ for x

```

```

    apply (intro eq-from-separatingI[OF sep-B])

```

```

    subgoal by simp

```

```

    subgoal by simp

```

```

    subgoal by meson

```

```

  done

```

```

  then have ⟨f (h x y) = g (h x y)⟩ for x y

```

```

    by meson

```

with $\langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$
show $\langle f = g \rangle$
by (rule eq-from-separatingI2[**where** $f=f$ **and** $g=g$ **and** $P=\text{bounded-clinear}$ **and** $S=\text{UNIV}$
and $T=\text{UNIV}$, rotated 1])
 (fact *assms*(1))
qed

lemma *eq-on-ccsubspaces-Sup*:

fixes $a\ b :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$
assumes $\langle \bigwedge i\ h. i \in I \implies h \in \text{space-as-set } (X\ i) \implies a\ h = b\ h \rangle$
shows $\langle \bigwedge h. h \in \text{space-as-set } (\bigsqcup i \in I. X\ i) \implies a\ h = b\ h \rangle$
proof –
from *assms*
have $\langle X\ i \leq \text{kernel } (a - b) \rangle$ **if** $\langle i \in I \rangle$ **for** i
using that **by** (auto intro!: *ccsubspace-leI simp: kernel.rep-eq minus-cblinfun.rep-eq*)
then have $\langle (\bigsqcup i \in I. X\ i) \leq \text{kernel } (a - b) \rangle$
by (*simp add: SUP-least*)
then show $\langle h \in \text{space-as-set } (\bigsqcup i \in I. X\ i) \implies a\ h = b\ h \rangle$ **for** h
using *kernel-memberD less-eq-ccsubspace.rep-eq*
by (*metis (no-types, opaque-lifting) cblinfun.diff-left cblinfun.real.diff-right cblinfun.real.zero-left*
diff-eq-diff-eq double-diff mem-simps(6) subset-refl)
qed

lemma *eq-on-ccsubspaces-sup*:

fixes $a\ b :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$
assumes $\langle \bigwedge h\ i. h \in \text{space-as-set } S \implies a\ h = b\ h \rangle$
assumes $\langle \bigwedge h\ i. h \in \text{space-as-set } T \implies a\ h = b\ h \rangle$
shows $\langle \bigwedge h. h \in \text{space-as-set } (S \sqcup T) \implies a\ h = b\ h \rangle$
apply (rule *eq-on-ccsubspaces-Sup*[**where** $I = \langle \{ \text{True}, \text{False} \} \rangle$ **and** $X = \langle \lambda i. \text{if } i \text{ then } T \text{ else } S \rangle$])
using *assms*
apply *presburger*
by *fastforce*

lemma *ccsubspace-contains-unit*:

assumes $\langle E \neq \perp \rangle$
shows $\langle \exists h \in \text{space-as-set } E. \text{norm } h = 1 \rangle$
proof –
from *assms* **have** $\langle \text{space-as-set } E \neq \{0\} \rangle$
by (*metis bot-ccsubspace.rep-eq space-as-set-inject*)
then obtain h_0 **where** $\langle h_0 \in \text{space-as-set } E \rangle$ **and** $\langle h_0 \neq 0 \rangle$
by *auto*
then have $\langle \text{sgn } h_0 \in \text{space-as-set } E \rangle$
using *ccsubspace-space-as-set*
by (auto intro!: *complex-vector.subspace-scale*
simp add: sgn-div-norm scaleR-scaleC)
moreover from $\langle h_0 \neq 0 \rangle$ **have** $\langle \text{norm } (\text{sgn } h_0) = 1 \rangle$
by (*simp add: norm-sgn*)
ultimately show *?thesis*

by auto
qed

lemma *Proj-0-compl*: $\langle \text{Proj } S \ x = 0 \rangle$ **if** $\langle x \in \text{space-as-set } (-S) \rangle$
by (*simp add: kernel-memberD* that)

lemma *csubspace-has-basis*:
assumes $\langle \text{csubspace } S \rangle$
shows $\langle \exists B. \text{cindependent } B \wedge \text{cspan } B = S \rangle$
proof –
obtain *B* **where** $\langle \text{cindependent } B \rangle$ **and** $\langle \text{cspan } B = S \rangle$
by (*rule complex-vector.maximal-independent-subset[where V=S]*)
(*use assms complex-vector.span-subspace in blast*)
then show *?thesis*
by auto
qed

lemma *inj-scaleC*:
fixes *A* :: $\langle 'a::\text{complex-vector set} \rangle$
assumes $\langle c \neq 0 \rangle$
shows $\langle \text{inj-on } (\text{scaleC } c) \ A \rangle$
by (*meson assms inj-onI scaleC-left-imp-eq*)

definition *diagonal-operator* **where** $\langle \text{diagonal-operator } f =$
(*if bdd-above (range (λx. cmod (f x))) then explicit-cblinfun (λx y. of-bool (x=y) * f x) else*
0) \rangle

lemma *diagonal-operator-exists*:
assumes $\langle \text{bdd-above (range (λx. cmod (f x)))} \rangle$
shows $\langle \text{explicit-cblinfun-exists } (\lambda x y. \text{of-bool } (x = y) * f x) \rangle$
proof –
from *assms* **obtain** *B* **where** *B*: $\langle \text{cmod } (f x) \leq B \rangle$ **for** *x*
by (*auto simp: bdd-above-def*)
show *?thesis*
proof (*rule explicit-cblinfun-exists-bounded*)
fix *S T* :: $\langle 'a \text{ set} \rangle$ **and** $\psi :: \langle 'a \Rightarrow \text{complex} \rangle$
assume [*simp*]: $\langle \text{finite } S \rangle \langle \text{finite } T \rangle$
assume $\langle \psi \ a = 0 \rangle$ **if** $\langle a \notin T \rangle$ **for** *a*
have $\langle (\sum b \in S. (\text{cmod } (\sum a \in T. \psi \ a *_{\mathbb{C}} (\text{of-bool } (b = a) * f b)))^2$
 $= (\sum b \in S. (\text{cmod } (\text{of-bool } (b \in T) * \psi \ b * f b))^2 \rangle$
apply (*rule sum.cong[OF refl]*)
subgoal for *b*
apply (*subst sum-single[where i=b]*)
by auto
by –
also have $\langle \dots = (\sum b \in S \cap T. (\text{cmod } (\psi \ b * f b))^2 \rangle$

```

    apply (rule sum.mono-neutral-cong-right)
    by auto
  also have ⟨... ≤ (∑ b∈T. (cmod (ψ b * f b))2)⟩
    by (simp add: sum-mono2)
  also have ⟨... ≤ (∑ b∈T. B2 * (cmod (ψ b))2)⟩
    by (rule sum-mono)
      (auto intro!: mult-left-mono power-mono B
        simp: norm-mult power-mult-distrib mult.commute[of B ^ 2])
  also have ⟨... = B2 * (∑ b∈T. (cmod (ψ b))2)⟩
    by (simp add: vector-space-over-itself.scale-sum-right)
  finally
  show ⟨(∑ b∈S. (cmod (∑ a∈T. ψ a *C (of-bool (b = a) * f b)))2)
    ≤ B2 * (∑ a∈T. (cmod (ψ a))2)⟩ .
qed
qed

```

```

lemma diagonal-operator-ket:
  assumes ⟨bdd-above (range (λx. cmod (f x)))⟩
  shows ⟨diagonal-operator f (ket x) = f x *C ket x⟩
proof -
  have [simp]: ⟨has-ell2-norm (λb. of-bool (b = x) * f b)⟩
    by (auto intro!: finite-nonzero-values-imp-summable-on simp: has-ell2-norm-def)
  have ⟨Abs-ell2 (λb. of-bool (b = x) * f b) = f x *C ket x⟩
    by (rule Rep-ell2-inject[THEN iffD1])
      (auto simp: Abs-ell2-inverse scaleC-ell2.rep-eq ket.rep-eq)
  then show ?thesis
    by (auto intro!: simp: diagonal-operator-def assms explicit-cblinfun-ket diagonal-operator-exists)
qed

```

```

lemma diagonal-operator-invalid:
  assumes ⟨¬ bdd-above (range (λx. cmod (f x)))⟩
  shows ⟨diagonal-operator f = 0⟩
  by (simp add: assms diagonal-operator-def)

```

```

lemma diagonal-operator-adj: ⟨diagonal-operator f* = diagonal-operator (λx. cnj (f x))⟩
  by (cases ⟨bdd-above (range (λx. cmod (f x)))⟩)
    (auto intro!: equal-ket cinner-ket-eqI
      simp: diagonal-operator-ket cinner-adj-right diagonal-operator-invalid)

```

```

lemma diagonal-operator-comp:
  assumes ⟨bdd-above (range (λx. cmod (f x)))⟩
  assumes ⟨bdd-above (range (λx. cmod (g x)))⟩
  shows ⟨diagonal-operator f oCL diagonal-operator g = diagonal-operator (λx. (f x * g x))⟩
proof -
  have ⟨bdd-above (range (λx. cmod (f x * g x)))⟩
  proof -
    from assms(1) obtain F where ⟨cmod (f x) ≤ F⟩ for x

```

```

    by (auto simp: bdd-above-def)
  moreover from assms(2) obtain G where  $\langle cmod (g x) \leq G \rangle$  for x
    by (auto simp: bdd-above-def)
  ultimately have  $\langle cmod (f x * g x) \leq F * G \rangle$  for x
    by (smt (verit, del-insts) mult-right-mono norm-ge-zero norm-mult ordered-comm-semiring-class.comm-mult-left-r)
  then show ?thesis
    by fast
qed
then show ?thesis
  by (auto intro!: equal-ket simp: diagonal-operator-ket assms cblinfun.scaleC-right)
qed

```

lemma *summable-on-bdd-above-real*: $\langle bdd-above (f 'M) \rangle$ if $\langle f \text{ summable-on } M \rangle$ for *f* :: $\langle 'a \Rightarrow real \rangle$

```

proof -
  from that have  $\langle f \text{ abs-summable-on } M \rangle$ 
    unfolding summable-on-iff-abs-summable-on-real[symmetric]
    by -
  then have  $\langle bdd-above (sum (\lambda x. norm (f x))) ' \{F. F \subseteq M \wedge \text{finite } F\} \rangle$ 
    unfolding abs-summable-iff-bdd-above by simp
  then have  $\langle bdd-above (sum (\lambda x. norm (f x))) ' (\lambda x. \{x\}) ' M \rangle$ 
    by (rule bdd-above-mono) auto
  then have  $\langle bdd-above ((\lambda x. norm (f x)) ' M) \rangle$ 
    by (simp add: image-image)
  then show ?thesis
    by (simp add: bdd-above-mono2)
qed

```

lemma *separating-set-clinear-cspan*:
assumes $\langle cspan S = UNIV \rangle$
shows $\langle separating-set \text{ clinear } S \rangle$
using *assms*
by (*auto intro: complex-vector.linear-eq-on simp: separating-set-def*)

lemma *less-eq-cblinfunI*:
fixes *a b* :: $\langle 'a \Rightarrow_{CL} 'a::\text{hilbert-space} \rangle$
assumes $\langle \bigwedge h. h \cdot_C a h \leq h \cdot_C b h \rangle$
shows $\langle a \leq b \rangle$
using *assms*
by (*simp add: less-eq-cblinfun-def*)

end

3 Strong-Operator-Topology – Strong operator topology on complex bounded operators

theory *Strong-Operator-Topology*
imports *Misc-Tensor-Product-BO*

begin

unbundle *cblinfun-notation*

typedef (overloaded) ('a,'b) *cblinfun-sot* = $\langle UNIV :: ('a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector}) \text{ set} \rangle ..$

setup-lifting *type-definition-cblinfun-sot*

instantiation *cblinfun-sot* :: (complex-normed-vector, complex-normed-vector) *complex-vector*

begin

lift-definition *scaleC-cblinfun-sot* :: $\langle \text{complex} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \rangle$
is $\langle \text{scaleC} \rangle .$

lift-definition *uminus-cblinfun-sot* :: $\langle ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \rangle$ **is** *uminus* .

lift-definition *zero-cblinfun-sot* :: $\langle ('a, 'b) \text{ cblinfun-sot} \rangle$ **is** *0* .

lift-definition *minus-cblinfun-sot* :: $\langle ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \rangle$ **is** *minus* .

lift-definition *plus-cblinfun-sot* :: $\langle ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \rangle$ **is** *plus* .

lift-definition *scaleR-cblinfun-sot* :: $\langle \text{real} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \Rightarrow ('a, 'b) \text{ cblinfun-sot} \rangle$ **is** *scaleR* .

instance

apply (*intro-classes*; *transfer*)

by (*auto simp add: scaleR-scaleC scaleC-add-right scaleC-add-left*)

end

instantiation *cblinfun-sot* :: (complex-normed-vector, complex-normed-vector) *topological-space*

begin

lift-definition *open-cblinfun-sot* :: $\langle ('a, 'b) \text{ cblinfun-sot set} \Rightarrow \text{bool} \rangle$ **is** $\langle \text{openin cstrong-operator-topology} \rangle$.

instance

proof *intro-classes*

show $\langle \text{open } (UNIV :: ('a,'b) \text{ cblinfun-sot set}) \rangle$

apply *transfer*

by (*metis cstrong-operator-topology-topspace openin-topspace*)

show $\langle \text{open } S \Longrightarrow \text{open } T \Longrightarrow \text{open } (S \cap T) \rangle$ **for** $S T :: \langle ('a,'b) \text{ cblinfun-sot set} \rangle$

apply *transfer by auto*

show $\langle \forall S \in K. \text{open } S \Longrightarrow \text{open } (\bigcup K) \rangle$ **for** $K :: \langle ('a,'b) \text{ cblinfun-sot set set} \rangle$

apply *transfer by auto*

qed

end

lemma *transfer-nhds-cstrong-operator-topology[transfer-rule]*:

includes *lifting-syntax*

shows $\langle (\text{cr-cblinfun-sot} ==>> \text{rel-filter cr-cblinfun-sot}) (\text{nhdsin cstrong-operator-topology}) \text{ nhds} \rangle$

unfolding *nhds-def nhdsin-def*

apply (*simp add: cstrong-operator-topology-topospace*)
by *transfer-prover*

lemma *filterlim-cstrong-operator-topology*: $\langle \text{filterlim } f \text{ (nhdsin cstrong-operator-topology } l) = \text{limitin cstrong-operator-topology } f \text{ } l \rangle$
by (*auto simp: cstrong-operator-topology-topospace simp flip: filterlim-nhdsin-iff-limitin*)

lemma *hausdorff-sot[*simp*]*: $\langle \text{Hausdorff-space cstrong-operator-topology} \rangle$

proof (*rule hausdorffI*)

fix $a \ b :: \langle 'a \Rightarrow_{CL} 'b \rangle$

assume $\langle a \neq b \rangle$

then obtain ψ **where** $\langle a *_V \psi \neq b *_V \psi \rangle$

by (*meson cblinfun-eqI*)

then obtain $U' \ V'$ **where** $\langle \text{open } U' \rangle \langle \text{open } V' \rangle \langle a *_V \psi \in U' \rangle \langle b *_V \psi \in V' \rangle \langle U' \cap V' = \{\} \rangle$

by (*meson hausdorff*)

define $U \ V$ **where** $\langle U = \{f. \forall i \in \{\}. f *_V \psi \in U'\} \rangle$ **and** $\langle V = \{f. \forall i \in \{\}. f *_V \psi \in V'\} \rangle$

have $1: \langle \text{openin cstrong-operator-topology } U \rangle$

unfolding *U-def* **apply** (*rule cstrong-operator-topology-basis*)

using $\langle \text{open } U' \rangle$ **by** *auto*

have $2: \langle \text{openin cstrong-operator-topology } V \rangle$

unfolding *V-def* **apply** (*rule cstrong-operator-topology-basis*)

using $\langle \text{open } V' \rangle$ **by** *auto*

show $\langle \exists U \ V. \text{openin cstrong-operator-topology } U \wedge \text{openin cstrong-operator-topology } V \wedge a \in U \wedge b \in V \wedge U \cap V = \{\} \rangle$

by (*rule exI[of - U], rule exI[of - V]*)

(*use 1 2* $\langle a *_V \psi \in U' \rangle \langle b *_V \psi \in V' \rangle \langle U' \cap V' = \{\} \rangle$ **in** $\langle \text{auto simp: } U\text{-def } V\text{-def} \rangle$)

qed

instance *cblinfun-sot* :: (*complex-normed-vector, complex-normed-vector*) *t2-space*

proof *intro-classes*

fix $a \ b :: \langle ('a, 'b) \text{cblinfun-sot} \rangle$

show $\langle a \neq b \implies \exists U \ V. \text{open } U \wedge \text{open } V \wedge a \in U \wedge b \in V \wedge U \cap V = \{\} \rangle$

apply *transfer using hausdorff-sot*

by (*metis UNIV-I cstrong-operator-topology-topospace Hausdorff-space-def disjnt-def*)

qed

lemma *Domainp-cr-cblinfun-sot[*simp*]*: $\langle \text{Domainp cr-cblinfun-sot} = (\lambda-. \text{True}) \rangle$

by (*metis (no-types, opaque-lifting) DomainPI cblinfun-sot.left-total left-totalE*)

lemma *Rangep-cr-cblinfun-sot[*simp*]*: $\langle \text{Rangep cr-cblinfun-sot} = (\lambda-. \text{True}) \rangle$

by (*meson RangePI cr-cblinfun-sot-def*)

lemma *Rangep-set[*relator-domain*]*: $\text{Rangep (rel-set } T) = (\lambda A. \text{Ball } A \text{ (Rangep } T))$

by (*metis (no-types, opaque-lifting) Domainp-conversep Domainp-set rel-set-conversep*)

```

lemma transfer-euclidean-cstrong-operator-topology[transfer-rule]:
  includes lifting-syntax
  shows ⟨(rel-topology cr-cblinfun-sot) cstrong-operator-topology euclidean⟩
proof (unfold rel-topology-def, intro conjI allI impI)
  show ⟨(rel-set cr-cblinfun-sot == => (=)) (openin cstrong-operator-topology) (openin euclidean)⟩
  unfolding rel-fun-def rel-set-def open-openin [symmetric] cr-cblinfun-sot-def
  by (transfer, intro allI impI arg-cong[of - - openin x for x]) blast
next
  fix U :: ⟨('a ⇒CL 'b) set⟩
  assume ⟨openin cstrong-operator-topology U⟩
  show ⟨Domainp (rel-set cr-cblinfun-sot) U⟩
  by (simp add: Domainp-set)
next
  fix U :: ⟨('a, 'b) cblinfun-sot set⟩
  assume ⟨openin euclidean U⟩
  show ⟨Rangep (rel-set cr-cblinfun-sot) U⟩
  by (simp add: Rangep-set)
qed

lemma openin-cstrong-operator-topology: ⟨openin cstrong-operator-topology U ⟷ (∃ V. open V ∧ U = (*V) -' V)⟩
  by (simp add: cstrong-operator-topology-def openin-pullback-topology)

lemma cstrong-operator-topology-plus-cont: ⟨LIM (x,y) nhdsin cstrong-operator-topology a ×F nhdsin cstrong-operator-topology b.
  x + y :> nhdsin cstrong-operator-topology (a + b)⟩
  unfolding cstrong-operator-topology-def
  by (rule pullback-topology-bi-cont[where f'=plus])
  (auto simp: case-prod-unfold tendsto-add-Pair cblinfun.add-left)

instance cblinfun-sot :: (complex-normed-vector, complex-normed-vector) topological-group-add
proof intro-classes
  show ⟨((λx. fst x + snd x) ⟶ a + b) (nhds a ×F nhds b)⟩ for a b :: ⟨('a,'b) cblinfun-sot⟩
  apply transfer
  using cstrong-operator-topology-plus-cont
  by (auto simp: case-prod-unfold)

  have *: ⟨continuous-map cstrong-operator-topology cstrong-operator-topology uminus⟩
  apply (subst continuous-on-cstrong-operator-topo-iff-coordinatewise)
  apply (rewrite at ⟨(λy. - y *V x)⟩ in ⟨∀x. ∃⟩ to ⟨(λy. y *V - x)⟩ DEADID.rel-mono-strong)
  by (auto simp: cstrong-operator-topology-continuous-evaluation cblinfun.minus-left cblinfun.minus-right)

  show ⟨(uminus ⟶ - a) (nhds a)⟩ for a :: ⟨('a,'b) cblinfun-sot⟩
  apply (subst tendsto-at-iff-tendsto-nhds[symmetric])
  apply (subst isCont-def[symmetric])
  apply (rule continuous-on-interior[where S=UNIV])
  apply (subst continuous-map-iff-continuous2[symmetric])

```



```

    apply transfer
    using * by auto
qed

lemma continuous-map-left-comp-sot[continuous-intros]:
  fixes b :: ⟨'b::complex-normed-vector ⇒CL 'c::complex-normed-vector⟩
    and f :: ⟨'a ⇒ 'd::complex-normed-vector ⇒CL 'b⟩
  assumes ⟨continuous-map T cstrong-operator-topology f⟩
  shows ⟨continuous-map T cstrong-operator-topology (λx. b oCL f x)⟩
proof -
  have *: ⟨open B ⇒ open ((*V) b - ' B)⟩ for B
    by (simp add: continuous-open-vimage)
  have **: ⟨((λa. b *V a ψ) - ' B ∩ UNIV) = (PiE UNIV (λi. if i=ψ then (λa. b *V a) - ' B
    else UNIV))⟩
    for ψ :: 'd and B
    by (auto simp: PiE-def Pi-def)
  have *: ⟨continuous-on UNIV (λ(a::'d ⇒ 'b). b *V (a ψ))⟩ for ψ
    unfolding continuous-on-open-vimage[OF open-UNIV]
    apply (intro allI impI)
    apply (subst **)
    apply (rule open-PiE)
    using * by auto
  have *: ⟨continuous-on UNIV (λ(a::'d ⇒ 'b) ψ. b *V a ψ)⟩
    apply (rule continuous-on-coordinatewise-then-product)
    by (rule *)
  have ⟨continuous-map cstrong-operator-topology cstrong-operator-topology
    (λx :: 'd ⇒CL 'b. b oCL x)⟩
    unfolding cstrong-operator-topology-def
    apply (rule continuous-map-pullback')
  subgoal
    apply (subst asm-rl[of ⟨(*V) ∘ (oCL) b = (λa x. b *V (a x)) ∘ (*V)⟩])
    subgoal by force
    subgoal by (rule continuous-map-pullback) (use * in auto)
  done
  subgoal using * by auto
done
from continuous-map-compose[OF assms this, unfolded o-def]
show ?thesis
  by -
qed

```

```

lemma continuous-cstrong-operator-topology-plus[continuous-intros]:
  assumes ⟨continuous-map T cstrong-operator-topology f⟩
  assumes ⟨continuous-map T cstrong-operator-topology g⟩
  shows ⟨continuous-map T cstrong-operator-topology (λx. f x + g x)⟩
  using assms
  by (auto intro!: continuous-map-add
    simp: continuous-on-cstrong-operator-topo-iff-coordinatewise cblinfun.add-left)

```

lemma *continuous-cstrong-operator-topology-uminus*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } f \rangle$
shows $\langle \text{continuous-map } T \text{ cstrong-operator-topology } (\lambda x. - f x) \rangle$
using *assms*
by (*auto simp add: continuous-on-cstrong-operator-topo-iff-coordinatewise cblinfun.minus-left*)

lemma *continuous-cstrong-operator-topology-minus*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } f \rangle$
assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } g \rangle$
shows $\langle \text{continuous-map } T \text{ cstrong-operator-topology } (\lambda x. f x - g x) \rangle$
apply (*subst diff-conv-add-uminus*)
by (*intro continuous-intros assms*)

lemma *continuous-map-right-comp-sot*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } f \rangle$
shows $\langle \text{continuous-map } T \text{ cstrong-operator-topology } (\lambda x. f x \circ_{CL} a) \rangle$
apply (*rule continuous-map-compose[OF assms, unfolded o-def]*)
by (*simp add: continuous-on-cstrong-operator-topo-iff-coordinatewise cstrong-operator-topology-continuous-evaluation*)

lemma *continuous-map-scaleC-sot*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } f \rangle$
shows $\langle \text{continuous-map } T \text{ cstrong-operator-topology } (\lambda x. c *_C f x) \rangle$
apply (*subst asm-rl[of $\langle \text{scaleC } c = (o_{CL}) (c *_C \text{id-cblinfun}) \rangle]$*)
apply *auto[1]*
using *assms* **by** (*rule continuous-map-left-comp-sot*)

lemma *continuous-scaleC-sot*[*continuous-intros*]:
fixes $f :: \langle 'a::\text{topological-space} \Rightarrow (-,-) \text{cblinfun-sot} \rangle$
assumes $\langle \text{continuous-on } X f \rangle$
shows $\langle \text{continuous-on } X (\lambda x. c *_C f x) \rangle$
apply (*rule continuous-on-compose[OF assms, unfolded o-def]*)
apply (*rule continuous-on-subset[rotated, where s=UNIV], simp*)
apply (*subst continuous-map-iff-continuous2[symmetric]*)
apply *transfer*
apply (*rule continuous-map-scaleC-sot*)
by *simp*

lemma *sot-closure-is-csubspace*[*simp*]:
fixes $A::(\text{'a}::\text{complex-normed-vector}, \text{'b}::\text{complex-normed-vector}) \text{cblinfun-sot set}$
assumes $\langle \text{csubspace } A \rangle$
shows $\langle \text{csubspace } (\text{closure } A) \rangle$
proof (*rule complex-vector.subspaceI*)
include *lattice-syntax*
show $0: \langle 0 \in \text{closure } A \rangle$
by (*simp add: assms closure-def complex-vector.subspace-0*)
show $\langle x + y \in \text{closure } A \rangle$ **if** $\langle x \in \text{closure } A \rangle \langle y \in \text{closure } A \rangle$ **for** $x y$
proof –

```

define FF where  $\langle FF = ((nhds\ x \sqcap principal\ A) \times_F (nhds\ y \sqcap principal\ A)) \rangle$ 
have nt:  $\langle FF \neq bot \rangle$ 
  by (simp add: prod-filter-eq-bot that(1) that(2) FF-def flip: closure-nhds-principal)
have  $\langle \forall_F\ x\ in\ FF.\ fst\ x \in A \rangle$ 
  unfolding FF-def
  by (smt (verit, ccfv-SIG) eventually-prod-filter fst-conv inf-sup-ord(2) le-principal)
moreover have  $\langle \forall_F\ x\ in\ FF.\ snd\ x \in A \rangle$ 
  unfolding FF-def
  by (smt (verit, ccfv-SIG) eventually-prod-filter snd-conv inf-sup-ord(2) le-principal)
ultimately have FF-plus:  $\langle \forall_F\ x\ in\ FF.\ fst\ x + snd\ x \in A \rangle$ 
  by (smt (verit, best) assms complex-vector.subspace-add eventually-elim2)

have  $\langle (fst \longrightarrow x) ((nhds\ x \sqcap principal\ A) \times_F (nhds\ y \sqcap principal\ A)) \rangle$ 
  apply (simp add: filterlim-def)
  using filtermap-fst-prod-filter
  using le-inf-iff by blast
moreover have  $\langle (snd \longrightarrow y) ((nhds\ x \sqcap principal\ A) \times_F (nhds\ y \sqcap principal\ A)) \rangle$ 
  apply (simp add: filterlim-def)
  using filtermap-snd-prod-filter
  using le-inf-iff by blast
ultimately have  $\langle (id \longrightarrow (x,y))\ FF \rangle$ 
  by (simp add: filterlim-def nhds-prod prod-filter-mono FF-def)

moreover note tendsto-add-Pair[of x y]
ultimately have  $\langle ((\lambda x.\ fst\ x + snd\ x) \circ id) \longrightarrow (\lambda x.\ fst\ x + snd\ x)\ (x,y)\ FF \rangle$ 
  unfolding filterlim-def nhds-prod
  by (smt (verit, best) filterlim-compose filterlim-def filterlim-filtermap fst-conv snd-conv
tendsto-compose-filtermap)

then have  $\langle (\lambda x.\ fst\ x + snd\ x) \longrightarrow (x+y)\ FF \rangle$ 
  by simp
then show  $\langle x + y \in closure\ A \rangle$ 
  using nt FF-plus by (rule limit-in-closure)
qed
show  $\langle c *_C\ x \in closure\ A \rangle$  if  $\langle x \in closure\ A \rangle$  for  $x\ c$ 
proof (cases c = 0)
  case False
  have  $(*_C)\ c \text{ ' } A \subseteq closure\ A$ 
    using csubspace-scaleC-invariant[of c A] assms False closure-subset[of A] by auto
  hence  $(*_C)\ c \text{ ' } closure\ A \subseteq closure\ A$ 
    by (intro image-closure-subset (auto intro!: continuous-intros))
  thus ?thesis
    using that by blast
qed (use 0 in auto)
qed

lemma limitin-cstrong-operator-topology:
 $\langle limitin\ cstrong\ operator\ topology\ f\ l\ F \longleftrightarrow (\forall i.\ ((\lambda j.\ f\ j *_V\ i) \longrightarrow l *_V\ i)\ F) \rangle$ 
by (simp add: cstrong-operator-topology-def limitin-pullback-topology)

```

tendsto-coordinatewise)

lemma *cstrong-operator-topology-in-closureI*:

assumes $\langle \bigwedge M \varepsilon. \varepsilon > 0 \implies \text{finite } M \implies \exists a \in A. \forall v \in M. \text{norm } ((b-a) *_V v) \leq \varepsilon \rangle$

shows $\langle b \in \text{cstrong-operator-topology closure-of } A \rangle$

proof –

define $F :: \langle ('a \text{ set} \times \text{real}) \text{ filter} \rangle$ **where** $\langle F = \text{finite-subsets-at-top UNIV} \times_F \text{at-right } 0 \rangle$

obtain f **where** $fA: \langle f M \varepsilon \in A \rangle$ **and** $f: \langle v \in M \implies \text{norm } ((f M \varepsilon - b) *_V v) \leq \varepsilon \rangle$ **if** $\langle \text{finite } M \rangle$ **and** $\langle \varepsilon > 0 \rangle$ **for** $M \varepsilon v$

apply *atomize-elim*

apply (*intro allI choice2*)

using *assms*

by (*metis cblinfun.diff-left norm-minus-commute*)

have $F\text{-props}: \langle \forall_F (M, \varepsilon) \text{ in } F. \text{finite } M \wedge \varepsilon > 0 \rangle$

by (*auto intro!: eventually-prodI simp: F-def case-prod-unfold eventually-at-right-less*)

then have $\text{in}A: \langle \forall_F (M, \varepsilon) \text{ in } F. f M \varepsilon \in A \rangle$

apply (*rule eventually-rev-mp*)

using fA **by** (*auto intro!: always-eventually*)

have $\langle \text{limitin cstrong-operator-topology (case-prod } f) b F \rangle$

proof –

have $\langle \forall_F (M, \varepsilon) \text{ in } F. \text{norm } (f M \varepsilon *_V v - b *_V v) < e \rangle$ **if** $\langle e > 0 \rangle$ **for** $e v$

proof –

have $1: \langle \forall_F (M, \varepsilon) \text{ in } F. (\text{finite } M \wedge v \in M) \wedge (\varepsilon > 0 \wedge \varepsilon < e) \rangle$

apply (*unfold F-def case-prod-unfold, rule eventually-prodI*)

using *eventually-at-right that*

by (*auto simp add: eventually-finite-subsets-at-top*)

have $2: \langle \text{norm } (f M \varepsilon *_V v - b *_V v) < e \rangle$ **if** $\langle (\text{finite } M \wedge v \in M) \wedge (\varepsilon > 0 \wedge \varepsilon < e) \rangle$

for $M \varepsilon$

by (*smt (verit) cblinfun.diff-left f that*)

show *?thesis*

using 1 **apply** (*rule eventually-mono*)

using 2 **by** *auto*

qed

then have $\langle ((\lambda(M, \varepsilon). f M \varepsilon *_V v) \longrightarrow b *_V v) F \rangle$ **for** v

by (*simp add: tendsto-iff dist-norm case-prod-unfold*)

then show *?thesis*

by (*simp add: case-prod-unfold limitin-cstrong-operator-topology*)

qed

then show *?thesis*

apply (*rule limitin-closure-of*)

using $\text{in}A$ **by** (*auto simp: F-def case-prod-unfold prod-filter-eq-bot*)

qed

lemma *sot-weaker-than-norm-limitin*: $\langle \text{limitin cstrong-operator-topology } a A F \rangle$ **if** $\langle (a \longrightarrow A) F \rangle$

proof –

from that have $\langle (\lambda x. a x *_{\mathbb{V}} \psi) \longrightarrow A \psi \rangle F \rangle$ **for** ψ
by *(auto intro!: cblinfun.tendsto)*
then show *?thesis*
by *(simp add: limitin-cstrong-operator-topology)*
qed

lemma *[transfer-rule]:*
includes *lifting-syntax*
shows $\langle (rel\text{-}set\ cr\text{-}cblinfun\text{-}sot \implies (=))\ csubspace\ csubspace \rangle$
unfolding *complex-vector.subspace-def*
by *transfer-prover*

lemma *[transfer-rule]:*
includes *lifting-syntax*
shows $\langle (rel\text{-}set\ cr\text{-}cblinfun\text{-}sot \implies (=))\ (closedin\ cstrong\text{-}operator\text{-}topology)\ closed \rangle$
apply *(simp add: closed-def[abs-def] closedin-def[abs-def] cstrong-operator-topology-topospace Compl-eq-Diff-UNIV)*
by *transfer-prover*

lemma *[transfer-rule]:*
includes *lifting-syntax*
shows $\langle (rel\text{-}set\ cr\text{-}cblinfun\text{-}sot \implies rel\text{-}set\ cr\text{-}cblinfun\text{-}sot)\ (Abstract\text{-}Topology.closure\text{-}of\ cstrong\text{-}operator\text{-}topology)\ closure \rangle$
apply *(subst closure-of-hull[where X=cstrong-operator-topology, unfolded cstrong-operator-topology-topospace, simplified, abs-def])*
apply *(subst closure-hull[abs-def])*
unfolding *hull-def*
by *transfer-prover*

lemma *sot-closure-is-csubspace'[simp]:*
fixes $A::('a::complex\text{-}normed\text{-}vector \Rightarrow_{CL} 'b::complex\text{-}normed\text{-}vector)\ set$
assumes $\langle csubspace\ A \rangle$
shows $\langle csubspace\ (cstrong\text{-}operator\text{-}topology\ closure\text{-}of\ A) \rangle$
using *sot-closure-is-csubspace[of $\langle Abs\text{-}cblinfun\text{-}sot\ 'A \rangle]$* *assms*
apply *(transfer fixing: A)*
by *simp*

lemma *has-sum-closed-cstrong-operator-topology:*
assumes $aA: \langle \bigwedge i. a\ i \in A \rangle$
assumes $closed: \langle closedin\ cstrong\text{-}operator\text{-}topology\ A \rangle$
assumes $subspace: \langle csubspace\ A \rangle$
assumes $has\text{-}sum: \langle \bigwedge \psi. ((\lambda i. a\ i *_{\mathbb{V}} \psi)\ has\text{-}sum\ (b *_{\mathbb{V}} \psi))\ I \rangle$
shows $\langle b \in A \rangle$

proof –

have $1: \langle range\ (sum\ a) \subseteq A \rangle$

proof –

have $\langle sum\ a\ X \in A \rangle$ **for** X

apply *(induction X rule:infinite-finite-induct)*

by *(auto simp add: subspace complex-vector.subspace-0 aA complex-vector.subspace-add)*

then show *?thesis*
by *auto*
qed

from *has-sum*
have $\langle (\lambda F. \sum i \in F. a \ i \ *_{\mathcal{V}} \ \psi) \longrightarrow b \ *_{\mathcal{V}} \ \psi \rangle$ (*finite-subsets-at-top I*) **for** ψ
using *has-sum-def by blast*
then have $\langle \text{limitin } \text{cstrong-operator-topology } (\lambda F. \sum i \in F. a \ i) \ b \ \langle \text{finite-subsets-at-top } I \rangle \rangle$
by (*auto simp add: limitin-cstrong-operator-topology cblinfun.sum-left*)
then show $\langle b \in A \rangle$
using *1 closed apply (rule limitin-closedin)*
by *simp*
qed

lemma *has-sum-in-cstrong-operator-topology*:
 $\langle \text{has-sum-in } \text{cstrong-operator-topology } f \ A \ l \longleftrightarrow (\forall \psi. ((\lambda i. f \ i \ *_{\mathcal{V}} \ \psi) \text{ has-sum } (l \ *_{\mathcal{V}} \ \psi)) \ A) \rangle$
by (*simp add: cblinfun.sum-left has-sum-in-def limitin-cstrong-operator-topology has-sum-def*)

lemma *summable-sot-absI*:
fixes $b :: \langle 'a \Rightarrow 'b :: \text{complex-normed-vector} \Rightarrow_{CL} 'c :: \text{hilbert-space} \rangle$
assumes $\langle \bigwedge f \ f. \text{finite } F \Longrightarrow (\sum n \in F. \text{norm } (b \ n \ *_{\mathcal{V}} \ f)) \leq K \ * \ \text{norm } f \rangle$
shows $\langle \text{summable-on-in } \text{cstrong-operator-topology } b \ \text{UNIV} \rangle$

proof –
obtain B' **where** $B': \langle ((\lambda n. b \ n \ *_{\mathcal{V}} \ f) \text{ has-sum } (B' \ f)) \ \text{UNIV} \rangle$ **for** f
proof (*atomize-elim, intro choice allI*)
fix f
have $\langle (\lambda n. b \ n \ *_{\mathcal{V}} \ f) \ \text{abs-summable-on } \text{UNIV} \rangle$
apply (*rule nonneg-bdd-above-summable-on*)
using *assms by (auto intro!: bdd-aboveI [where M = $\langle K \ * \ \text{norm } f \rangle$])*
then show $\langle \exists l. ((\lambda n. b \ n \ *_{\mathcal{V}} \ f) \text{ has-sum } l) \ \text{UNIV} \rangle$
by (*metis abs-summable-summable summable-on-def*)

qed
have $\langle \text{bounded-clinear } B' \rangle$
proof (*intro bounded-clinearI allI*)
fix $x \ y :: 'b$ **and** $c :: \text{complex}$
from B' [of x] B' [of y]
have $\langle ((\lambda n. b \ n \ *_{\mathcal{V}} \ x + b \ n \ *_{\mathcal{V}} \ y) \text{ has-sum } B' \ x + B' \ y) \ \text{UNIV} \rangle$
by (*simp add: has-sum-add*)
with B' [of $\langle x + y \rangle$]
show $\langle B' \ (x + y) = B' \ x + B' \ y \rangle$
by (*metis (no-types, lifting) cblinfun.add-right has-sum-cong infsunI*)
from B' [of x]
have $\langle (\lambda n. c \ *_{\mathcal{C}} \ (b \ n \ *_{\mathcal{V}} \ x)) \text{ has-sum } c \ *_{\mathcal{C}} \ B' \ x \ \text{UNIV} \rangle$
by (*metis cblinfun-scaleC-right.rep-eq has-sum-cblinfun-apply*)
with B' [of $\langle c \ *_{\mathcal{C}} \ x \rangle$]
show $\langle B' \ (c \ *_{\mathcal{C}} \ x) = c \ *_{\mathcal{C}} \ B' \ x \rangle$
by (*metis (no-types, lifting) cblinfun.scaleC-right has-sum-cong infsunI*)
show $\langle \text{norm } (B' \ x) \leq \text{norm } x \ * \ K \rangle$

```

proof –
  have *: ⟨(λn. b n *V x) abs-summable-on UNIV⟩
    apply (rule nonneg-bdd-above-summable-on)
    using assms by (auto intro!: bdd-aboveI[where M=⟨K * norm x⟩])
  have ⟨norm (B' x) ≤ (∑∞n. norm (b n *V x))⟩
    using - B'[of x] apply (rule norm-has-sum-bound)
    using * summable-iff-has-sum-infsum by blast
  also have ⟨(∑∞n. norm (b n *V x)) ≤ K * norm x⟩
    using * apply (rule infsum-le-finite-sums)
    using assms by simp
  finally show ?thesis
    by (simp add: mult commute)
qed
qed
define B where ⟨B = CBlinfun B'⟩
with ⟨bounded-clinear B'⟩ have BB': ⟨B *V f = B' f⟩ for f
  by (simp add: bounded-clinear-CBlinfun-apply)
have ⟨has-sum-in cstrong-operator-topology b UNIV B⟩
  using B' by (simp add: has-sum-in-cstrong-operator-topology BB')
then show ?thesis
  using summable-on-in-def by blast
qed

declare cstrong-operator-topology-topospace[simp]

lift-definition cblinfun-compose-sot :: ⟨('a::complex-normed-vector,'b::complex-normed-vector)
cblinfun-sot ⇒ ('c::complex-normed-vector,'a) cblinfun-sot ⇒ ('c,'b) cblinfun-sot⟩
  is cblinfun-compose .

lemma isCont-cblinfun-compose-sot-right[simp]: ⟨isCont (λF. cblinfun-compose-sot F G) x⟩
  apply (rule continuous-on-interior[where S=UNIV, rotated], simp)
  apply (rule continuous-map-iff-continuous2[THEN iffD1])
  apply transfer
  by (simp add: continuous-map-right-comp-sot)

lemma isCont-cblinfun-compose-sot-left[simp]: ⟨isCont (λF. cblinfun-compose-sot G F) x⟩
  apply (rule continuous-on-interior[where S=UNIV, rotated], simp)
  apply (rule continuous-map-iff-continuous2[THEN iffD1])
  apply transfer
  by (simp add: continuous-map-left-comp-sot)

lemma additive-cblinfun-compose-sot-right[simp]: ⟨additive (λF. cblinfun-compose-sot F G)⟩
  unfolding additive-def
  apply transfer
  by (simp add: cblinfun-compose-add-left)

lemma additive-cblinfun-compose-sot-left[simp]: ⟨additive (λF. cblinfun-compose-sot G F)⟩
  unfolding additive-def
  apply transfer

```

by (simp add: cblinfun-compose-add-right)

lemma *transfer-infsum-sot[transfer-rule]:*

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } R \rangle$

shows $\langle ((R \implies \text{cr-cblinfun-sot}) \implies \text{rel-set } R \implies \text{cr-cblinfun-sot}) (\text{infsum-in cstrong-operator-topology}) \text{infsum} \rangle$

apply (simp add: infsum-euclidean-eq[abs-def, symmetric])

by *transfer-prover*

lemma *transfer-summable-on-sot[transfer-rule]:*

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } R \rangle$

shows $\langle ((R \implies \text{cr-cblinfun-sot}) \implies \text{rel-set } R \implies (\longleftrightarrow)) (\text{summable-on-in cstrong-operator-topology}) (\text{summable-on}) \rangle$

apply (simp add: summable-on-euclidean-eq[abs-def, symmetric])

by *transfer-prover*

lemma *sandwich-sot-cont[continuous-intros]:*

assumes $\langle \text{continuous-map } T \text{ cstrong-operator-topology } f \rangle$

shows $\langle \text{continuous-map } T \text{ cstrong-operator-topology } (\lambda x. \text{sandwich } A (f x)) \rangle$

apply (simp add: sandwich-apply)

by (*intro continuous-intros assms*)

lemma *closed-map-sot-unitary-sandwich:*

fixes $U :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$

assumes $\langle \text{unitary } U \rangle$

shows $\langle \text{closed-map cstrong-operator-topology cstrong-operator-topology } (\lambda x. \text{sandwich } U x) \rangle$

apply (rule closed-eq-continuous-inverse-map[**where** $g = \langle \text{sandwich } (U^*) \rangle$, **THEN** iffD2])

using *assms*

by (*auto intro!: continuous-intros*)

simp flip: sandwich-compose cblinfun-apply-cblinfun-compose)

unbundle *no-cblinfun-notation*

end

4 Positive-Operators – Positive bounded operators

theory *Positive-Operators*

imports

Ordinary-Differential-Equations.Cones

Misc-Tensor-Product-BO

Strong-Operator-Topology

begin

no-notation *Infinite-Set-Sum.abs-summable-on* (**infix** *abs'-summable'-on* 50)
hide-const (**open**) *Infinite-Set-Sum.abs-summable-on*
hide-fact (**open**) *Infinite-Set-Sum.abs-summable-on-Sigma-iff*

unbundle *cblinfun-notation*

lemma *cinner-pos-if-pos*: $\langle f \cdot_C (A *_V f) \geq 0 \rangle$ **if** $\langle A \geq 0 \rangle$
using *less-eq-cblinfun-def* that **by force**

definition *sqrt-op* :: $\langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle \Rightarrow \langle 'a \Rightarrow_{CL} 'a \rangle$ **where**
 $\langle \text{sqrt-op } a = (\text{if } (\exists b :: 'a \Rightarrow_{CL} 'a. b \geq 0 \wedge b *_O_{CL} b = a) \text{ then } (\text{SOME } b. b \geq 0 \wedge b *_O_{CL} b = a) \text{ else } 0) \rangle$

lemma *sqrt-op-nonpos*: $\langle \text{sqrt-op } a = 0 \rangle$ **if** $\langle \neg a \geq 0 \rangle$

proof –
have $\langle \neg (\exists b. b \geq 0 \wedge b *_O_{CL} b = a) \rangle$
using *positive-cblinfun-squareI* that **by blast**
then show *?thesis*
by (*auto simp add: sqrt-op-def*)

qed

lemma *generalized-Cauchy-Schwarz*:

fixes *inner A*
assumes *Apos*: $\langle A \geq 0 \rangle$
defines *inner x y* $\equiv x \cdot_C (A *_V y)$
shows $\langle \text{complex-of-real } ((\text{norm } (\text{inner } x y))^2) \leq \text{inner } x x * \text{inner } y y \rangle$
proof (*cases* $\langle \text{inner } y y = 0 \rangle$)

case *True*
have [*simp*]: $\langle \text{inner } (s *_C x) y = \text{cnj } s * \text{inner } x y \rangle$ **for** $s x y$
by (*simp add: assms(2)*)
have [*simp*]: $\langle \text{inner } x (s *_C y) = s * \text{inner } x y \rangle$ **for** $s x y$
by (*simp add: assms(2) cblinfun.scaleC-right*)
have [*simp*]: $\langle \text{inner } (x - x') y = \text{inner } x y - \text{inner } x' y \rangle$ **for** $x x' y$
by (*simp add: cinner-diff-left inner-def*)
have [*simp*]: $\langle \text{inner } x (y - y') = \text{inner } x y - \text{inner } x y' \rangle$ **for** $x y y'$
by (*simp add: cblinfun.diff-right cinner-diff-right inner-def*)
have *Re0*: $\langle \text{Re } (\text{inner } x y) = 0 \rangle$ **for** x

proof –
have *: $\langle \text{Re } (\text{inner } x y) = (\text{inner } x y + \text{inner } y x) / 2 \rangle$
by (*smt (verit, del-insts) assms(1) assms(2) cinner-adj-left cinner-commute complex-Re-numeral complex-add-cn timer-of-halves numeral-One numeral-plus-numeral of-real-divide of-real-numeral one-complex.simps(1) positive-hermitianI semiring-norm(2)*)
have $\langle 0 \leq \text{Re } (\text{inner } (x - s *_C y) (x - s *_C y)) \rangle$ **for** s
by (*metis Re-mono assms(1) assms(2) cinner-pos-if-pos zero-complex.simps(1)*)
also have $\langle \dots s = \text{Re } (\text{inner } x x) - s * 2 * \text{Re } (\text{inner } x y) \rangle$ **for** s
apply (*auto simp: True*)
by (*smt (verit, ccv-threshold) Re-complex-of-real assms(1) assms(2) cinner-adj-right cinner-commute complex-add-cn timer-of-halves numeral-One numeral-plus-numeral of-real-divide of-real-numeral one-complex.simps(1) positive-hermitianI uminus-complex.sel(1)*)

```

    finally show ⟨Re (inner x y) = 0⟩
      by (metis add-le-same-cancel1 ge-iff-diff-ge-0 nonzero-eq-divide-eq not-numeral-le-zero
zero-neq-numeral)
    qed
    have ⟨Im (inner x y) = Re (inner (imaginary-unit *C x) y)⟩
      by simp
    also have ⟨... = 0⟩
      by (rule Re0)
    finally have ⟨inner x y = 0⟩
      using Re0[of x]
      using complex-eq-iff zero-complex.simps(1) zero-complex.simps(2) by presburger
    then show ?thesis
      by (auto simp: True)
next
case False
have inner-commute: ⟨inner x y = cnj (inner y x)⟩
  by (metis Apos cinner-adj-left cinner-commute' inner-def positive-hermitianI)
have [simp]: cnj (inner y y) = inner y y for y
  by (metis assms(1) cinner-adj-right cinner-commute' inner-def positive-hermitianI)
define r where r = cnj (inner x y) / inner y y
have 0 ≤ inner (x - scaleC r y) (x - scaleC r y)
  by (simp add: Apos inner-def cinner-pos-if-pos)
also have ... = inner x x - r * inner x y - cnj r * inner y x + r * cnj r * inner y y
  unfolding cinner-diff-left cinner-diff-right cinner-scaleC-left cinner-scaleC-right inner-def
  by (smt (verit, ccfv-threshold) cblinfun.diff-right cblinfun.scaleC-right cblinfun-cinner-right.rep-eq
cinner-scaleC-left cinner-scaleC-right diff-add-eq diff-diff-eq2 mult.assoc)
also have ... = inner x x - inner y x * cnj r
  unfolding r-def by auto
also have ... = inner x x - inner x y * cnj (inner x y) / inner y y
  unfolding r-def
  by (metis assms(1) assms(2) cinner-adj-right cinner-commute complex-cnj-divide mult commute
positive-hermitianI times-divide-eq-left)
finally have 0 ≤ inner x x - inner x y * cnj (inner x y) / inner y y .
hence inner x y * cnj (inner x y) / inner y y ≤ inner x x
  by (simp add: le-diff-eq)
hence ⟨(norm (inner x y)) ^ 2 / inner y y ≤ inner x x⟩
  using complex-norm-square by presburger
then show ?thesis
  by (metis False assms(1) assms(2) cinner-pos-if-pos mult-right-mono nonzero-eq-divide-eq)
qed

lemma sandwich-pos[intro]: ⟨sandwich b a ≥ 0⟩ if ⟨a ≥ 0⟩
  by (metis (no-types, opaque-lifting) positive-cblinfunI cblinfun-apply-cblinfun-compose cin-
ner-adj-left cinner-pos-if-pos sandwich-apply that)

lemma cblinfun-power-pos: ⟨cblinfun-power a n ≥ 0⟩ if ⟨a ≥ 0⟩
proof (cases ⟨even n⟩)
case True
  have ⟨0 ≤ (cblinfun-power a (n div 2))* oCL (cblinfun-power a (n div 2))⟩

```

```

    using positive-cblinfun-squareI by blast
  also have ⟨... = cblinfun-power a (n div 2 + n div 2)⟩
    by (metis cblinfun-power-adj cblinfun-power-compose positive-hermitianI that)
  also from True have ⟨... = cblinfun-power a n⟩
    by (metis add-self-div-2 div-plus-div-distrib-dvd-right)
  finally show ?thesis
    by -
next
case False
have ⟨0 ≤ sandwich (cblinfun-power a (n div 2)) a⟩
  using ⟨a ≥ 0⟩ by (rule sandwich-pos)
also have ⟨... = cblinfun-power a (n div 2 + 1 + n div 2)⟩
  unfolding sandwich-apply
  by (metis (no-types, lifting) One-nat-def cblinfun-compose-id-right cblinfun-power-0 cblin-
fun-power-Suc' cblinfun-power-adj cblinfun-power-compose positive-hermitianI that)
also from False have ⟨... = cblinfun-power a n⟩
  by (smt (verit, del-insts) Suc-1 add commute add.left-commute add-mult-distrib2 add-self-div-2
nat.simps(3) nonzero-mult-div-cancel-left odd-two-times-div-two-succ)
finally show ?thesis
  by -
qed

```

lemma *sqrt-op-existence*:

```

fixes A :: 'a::chilbert-space ⇒CL 'a::chilbert-space
assumes Apos: ⟨A ≥ 0⟩
shows ⟨∃ B. B ≥ 0 ∧ B oCL B = A ∧ (∀ F. A oCL F = F oCL A ⟶ B oCL F = F oCL B)
      ∧ B ∈ closure (cspan (range (cblinfun-power A)))⟩

```

proof –

```

define k S where ⟨k = norm A⟩ and ⟨S = A /R k - id-cblinfun⟩

```

```

have ⟨S ≤ 0⟩

```

```

proof (rule cblinfun-leI)

```

```

fix x :: 'a assume [simp]: ⟨norm x = 1⟩

```

```

with assms have aux1: ⟨complex-of-real (inverse (norm A)) * (x •C (A *V x)) ≤ 1⟩

```

```

by (smt (verit, del-insts) Reals-cnj-iff cinner-adj-left cinner-commute cinner-scaleR-left cin-
ner-scaleR-right cmod-Re complex-inner-class.Cauchy-Schwarz-ineq2 left-inverse less-eq-complex-def
linordered-field-class.inverse-nonnegative-iff-nonnegative mult-cancel-left2 mult-left-mono norm-cblinfun
norm-ge-zero norm-mult norm-of-real norm-one positive-hermitianI reals-zero-comparable zero-less-one-class.zero-le-0)

```

```

show ⟨x •C (S *V x) ≤ x •C (0 *V x)⟩

```

```

by (auto simp: S-def cinner-diff-right cblinfun.diff-left scaleR-scaleC cdot-square-norm k-def
complex-of-real-mono-iff [where y=1, simplified])

```

```

simp flip: assms of-real-inverse of-real-power of-real-mult power-mult-distrib power-inverse
intro!: power-le-one aux1)

```

qed

```

have [simp]: ⟨S* = S⟩

```

```

using ⟨S ≤ 0⟩ adj-0 comparable-hermitean' selfadjoint-def by blast

```

```

have ⟨← id-cblinfun ≤ S⟩

```

by (simp add: S-def assms k-def scaleR-nonneg-nonneg)
 then have $\langle \text{norm } (S *_V f) \leq \text{norm } f \rangle$ for f
 proof –
 have 1: $\langle -S \geq 0 \rangle$
 by (simp add: $\langle S \leq 0 \rangle$)
 have 2: $\langle f \cdot_C (-S *_V f) \leq f \cdot_C f \rangle$
 by (metis $\langle -id\text{-cblinfun} \leq S \rangle$ id-cblinfun-apply less-eq-cblinfun-def minus-le-iff)

 have $\langle (\text{norm } (S *_V f))^4 = \text{complex-of-real } ((\text{cmod } ((-S *_V f) \cdot_C (-S *_V f)))^2) \rangle$
 apply (auto simp: power4-eq-xxxx cblinfun.minus-left complex-of-real-cmod power2-eq-square
 simp flip: power2-norm-eq-cinner)
 by (smt (verit, ccfv-SIG) complex-of-real-cmod mult.assoc norm-ge-zero norm-mult norm-of-real
 of-real-mult)
 also have $\langle \dots \leq (-S *_V f) \cdot_C (-S *_V -S *_V f) * (f \cdot_C (-S *_V f)) \rangle$
 apply (rule generalized-Cauchy-Schwarz[where $A = \langle -S \rangle$ and $x = \langle -S *_V f \rangle$ and $y = f$])
 by (fact 1)
 also have $\langle \dots \leq (-S *_V f) \cdot_C (-S *_V -S *_V f) * (f \cdot_C f) \rangle$
 using 2 apply (rule mult-left-mono)
 using 1 cinner-pos-if-pos by blast
 also have $\langle \dots \leq (-S *_V f) \cdot_C (-S *_V f) * (f \cdot_C f) \rangle$
 apply (rule mult-right-mono)
 apply (metis $\langle -id\text{-cblinfun} \leq S \rangle$ id-cblinfun-apply less-eq-cblinfun-def neg-le-iff-le verit-minus-simplify(4))
 by simp
 also have $\langle \dots = (\text{norm } (-S *_V f))^2 * (\text{norm } f)^2 \rangle$
 by (simp add: cdot-square-norm)
 also have $\langle \dots = (\text{norm } (S *_V f))^2 * (\text{norm } f)^2 \rangle$
 by (simp add: cblinfun.minus-left)
 finally have $\langle \text{norm } (S *_V f) ^ 4 \leq (\text{norm } (S *_V f))^2 * (\text{norm } f)^2 \rangle$
 using complex-of-real-mono-iff by blast
 then have $\langle (\text{norm } (S *_V f))^2 \leq (\text{norm } f)^2 \rangle$
 by (smt (verit, best) $\langle \text{complex-of-real } (\text{norm } (S *_V f) ^ 4) = \text{complex-of-real } ((\text{cmod } ((-S *_V f) \cdot_C (-S *_V f)))^2) \rangle$ cblinfun.real.minus-left cinner-ge-zero cmod-Re mult-cancel-left
 mult-left-mono norm-minus-cancel-of-real-eq-iff power2-eq-square power2-norm-eq-cinner' zero-less-norm-iff)
 then show $\langle \text{norm } (S *_V f) \leq \text{norm } f \rangle$
 by auto
 qed
 then have norm-Snf: $\langle \text{norm } (\text{cblinfun-power } S n *_V f) \leq \text{norm } f \rangle$ for f n
 by (induction n, auto simp: cblinfun-power-Suc' intro: order.trans)
 have fSnf: $\langle \text{cmod } (f \cdot_C (\text{cblinfun-power } S n *_V f)) \leq \text{cmod } (f \cdot_C f) \rangle$ for f n
 by (smt (z3) One-nat-def Re-complex-of-real Suc-1 cdot-square-norm cinner-ge-zero cmod-Re
 complex-inner-class.Cauchy-Schwarz-ineq2 mult.commute mult-cancel-right1 mult-left-mono norm-Snf
 norm-ge-zero power-0 power-Suc)
 from norm-Snf have norm-Sn: $\langle \text{norm } (\text{cblinfun-power } S n) \leq 1 \rangle$ for n
 apply (rule-tac norm-cblinfun-bound)
 by auto
 define b where $\langle b = (\lambda n. (1/2 \text{ gchoose } n) *_R \text{cblinfun-power } S n) \rangle$
 define B0 B where $\langle B0 = \text{infsum } b \text{ UNIV} \rangle$ and $\langle B = \text{sqrt } k *_R B0 \rangle$

 have sum-norm-b: $\langle (\sum n \in F. \text{norm } (b n)) \leq 3 \rangle$ (is $\langle ?lhs \leq ?rhs \rangle$) if $\langle \text{finite } F \rangle$ for F

```

proof –
  have [simp]: ⟨[1 / 2 :: real] = 1⟩
    by (simp add: ceiling-eq-iff)
  from ⟨finite F⟩ obtain d where ⟨F ⊆ {...d}⟩ and [simp]: ⟨d > 0⟩
    by (metis Icc-subset-Iic-iff atLeast0AtMost bot-nat-0.extremum bot-nat-0.not-eq-extremum
dual-order.trans finite-nat-iff-bounded-le less-one)

  have ⟨?lhs = (∑ n∈F. norm ((1 / 2 gchoose n) *R (cblinfun-power S n)))⟩
    by (simp add: b-def scaleR-cblinfun.rep-eq)
  also have ⟨... ≤ (∑ n∈F. abs ((1 / 2 gchoose n)))⟩
    apply (auto intro!: sum-mono)
    using norm-Sn
    by (metis norm-cmul-rule-thm norm-scaleR verit-prod-simplify(2))
  also have ⟨... ≤ (∑ n≤d. abs (1/2 gchoose n))⟩
    using ⟨F ⊆ {...d}⟩ by (auto intro!: mult-right-mono sum-mono2)
  also have ⟨... = (2 - (-1) ^ d * (-1 / 2) gchoose d)⟩
    apply (subst gbinomial-sum-lower-abs)
    by auto
  also have ⟨... ≤ (2 + norm (- (1/2) gchoose d :: real))⟩
    apply (auto intro!: mult-right-mono)
  by (smt (verit) left-minus-one-mult-self mult.assoc mult-minus-left power2-eq-iff power2-eq-square)
  also have ⟨... ≤ 3⟩
    apply (subgoal-tac ⟨abs (- (1/2) gchoose d :: real) ≤ 1⟩)
    apply (metis add-le-cancel-left is-num-normalize(1) mult commute mult-left-mono norm-ge-zero
numeral-Bit0 numeral-Bit1 one-add-one real-norm-def)
    apply (rule abs-gbinomial-leq1)
    by auto
  finally show ?thesis
    by –
qed

have has-sum-b: ⟨(b has-sum B0) UNIV⟩
  apply (auto intro!: has-sum-infsum abs-summable-summable[where f=b] bdd-aboveI[where
M=3] simp: B0-def abs-summable-iff-bdd-above)
  using sum-norm-b
  by simp

have ⟨B0 ≥ 0⟩
proof (rule positive-cblinfunI)
  fix f :: 'a assume [simp]: ⟨norm f = 1⟩
  from has-sum-b
  have sum1: ⟨(λn. f •C (b n *V f)) summable-on UNIV⟩
    apply (intro summable-on-cinner-left summable-on-cblinfun-apply-left)
    by (simp add: has-sum-imp-summable)
  have sum2: ⟨(λx. - (complex-of-real |1 / 2 gchoose x| * (f •C f))) summable-on UNIV -
{0}⟩
    apply (rule abs-summable-summable)
    using gbinomial-abs-summable-1[of ⟨1/2⟩]
    by (auto simp add: cnorm-eq-1[THEN iffD1])

```

from *sum1* **have** *sum3*: $\langle (\lambda n. \text{complex-of-real } (1 / 2 \text{ gchoose } n) * (f \cdot_C (\text{cblinfun-power } S \ n *_{\mathcal{V}} f))) \text{ summable-on } UNIV - \{0\} \rangle$
unfolding *b-def*
by (*metis* (*no-types*, *lifting*) *cinner-scaleR-right finite.emptyI finite-insert scaleR-cblinfun.rep-eq summable-on-cofin-subset summable-on-cong*)

have *aux*: $\langle a \geq -b \rangle$ **if** $\langle \text{norm } a \leq \text{norm } b \rangle$ **and** $\langle a \in \mathbb{R} \rangle$ **and** $\langle b \geq 0 \rangle$ **for** $a \ b :: \text{complex}$
using *cmod-eq-Re complex-is-Real-iff less-eq-complex-def that(1) that(2) that(3)* **by force**

from *has-sum-b*
have $\langle f \cdot_C (B0 *_{\mathcal{V}} f) = (\sum_{\infty n. f \cdot_C (b \ n *_{\mathcal{V}} f)) \rangle$
by (*metis* *B0-def infsum-cblinfun-apply-left infsum-cinner-left summable-on-cblinfun-apply-left summable-on-def*)
moreover **have** $\langle \dots = (\sum_{\infty n \in UNIV - \{0\}. f \cdot_C (b \ n *_{\mathcal{V}} f)) + f \cdot_C (b \ 0 *_{\mathcal{V}} f) \rangle$
apply (*subst infsum-Diff*)
using *sum1* **by auto**
moreover **have** $\langle \dots = f \cdot_C (b \ 0 *_{\mathcal{V}} f) + (\sum_{\infty n \in UNIV - \{0\}. f \cdot_C ((1/2 \text{ gchoose } n) *_{\mathcal{R}} \text{cblinfun-power } S \ n *_{\mathcal{V}} f)) \rangle$
unfolding *b-def* **by simp**
moreover **have** $\langle \dots = f \cdot_C (b \ 0 *_{\mathcal{V}} f) + (\sum_{\infty n \in UNIV - \{0\}. \text{of-real } (1/2 \text{ gchoose } n) * (f \cdot_C (\text{cblinfun-power } S \ n *_{\mathcal{V}} f))) \rangle$
by (*simp add: scaleR-cblinfun.rep-eq*)
moreover **have** $\langle \dots \geq f \cdot_C (b \ 0 *_{\mathcal{V}} f) - (\sum_{\infty n \in UNIV - \{0\}. \text{of-real } (\text{abs } (1/2 \text{ gchoose } n)) * (f \cdot_C f)) \rangle$ **(is** $\langle - \geq \dots \rangle$ **)**
proof –
have *: $\langle - (\text{complex-of-real } (\text{abs } (1 / 2 \text{ gchoose } x)) * (f \cdot_C f)) \leq \text{complex-of-real } (1 / 2 \text{ gchoose } x) * (f \cdot_C (\text{cblinfun-power } S \ x *_{\mathcal{V}} f)) \rangle$ **for** x
apply (*rule aux*)
by (*auto simp: cblinfun-power-adj norm-mult fSnf selfadjoint-def intro!: cinner-real cinner-hermitian-real mult-left-mono Reals-mult mult-nonneg-nonneg*)
show *?thesis*
apply (*subst diff-conv-add-uminus*) **apply** (*rule add-left-mono*)
apply (*subst infsum-uminus[symmetric]*) **apply** (*rule infsum-mono-complex*)
apply (*rule sum2*)
apply (*rule sum3*)
by (*rule **)
qed
moreover **have** $\langle \dots = f \cdot_C (b \ 0 *_{\mathcal{V}} f) - (\sum_{\infty n \in UNIV - \{0\}. \text{of-real } (\text{abs } (1/2 \text{ gchoose } n))) * (f \cdot_C f) \rangle$
by (*simp add: infsum-cmult-left'*)
moreover **have** $\langle \dots = \text{of-real } (1 - (\sum_{\infty n \in UNIV - \{0\}. (\text{abs } (1/2 \text{ gchoose } n)))) * (f \cdot_C f) \rangle$
by (*simp add: b-def left-diff-distrib infsum-of-real*)
moreover **have** $\langle \dots \geq 0 * (f \cdot_C f) \rangle$ **(is** $\langle - \geq \dots \rangle$ **)**
apply (*auto intro!: mult-nonneg-nonneg*)
using *gbinomial-abs-has-sum-1* **[where** $a = \langle 1/2 \rangle$ **]**
by (*auto simp add: infsumI*)
moreover **have** $\langle \dots = 0 \rangle$
by simp

ultimately show $\langle f \cdot_C (B0 *_{\mathcal{V}} f) \geq 0 \rangle$
by force
qed
then have $\langle B \geq 0 \rangle$
by (*simp add: B-def k-def scaleR-nonneg-nonneg*)
then have $\langle B = B* \rangle$
by (*simp add: positive-hermitianI*)
have $\langle B0 \circ_{CL} B0 = id\text{-cblinfun} + S \rangle$
proof (*rule cblinfun-cinner-eqI*)
fix ψ
define s **bb where** $\langle s = \psi \cdot_C ((B0 \circ_{CL} B0) *_{\mathcal{V}} \psi) \rangle$ **and** $\langle bb\ k = (\sum n \leq k. (b\ n *_{\mathcal{V}} \psi) \cdot_C (b\ (k - n) *_{\mathcal{V}} \psi)) \rangle$ **for** k

have $\langle bb\ k = (\sum n \leq k. of\text{-real} ((1 / 2\ gchoose\ (k - n)) * (1 / 2\ gchoose\ n)) * (\psi \cdot_C (cblinfun\text{-power}\ S\ k *_{\mathcal{V}} \psi))) \rangle$ **for** k
by (*simp add: bb-def[abs-def] b-def cblinfun.scaleR-left cblinfun-power-adj mult.assoc flip: cinner-adj-right cblinfun-apply-cblinfun-compose*)
also have $\langle \dots k = of\text{-real} (\sum n \leq k. ((1 / 2\ gchoose\ n) * (1 / 2\ gchoose\ (k - n)))) * (\psi \cdot_C (cblinfun\text{-power}\ S\ k *_{\mathcal{V}} \psi)) \rangle$ **for** k
apply (*subst mult.commute*) **by** (*simp add: sum-distrib-right*)
also have $\langle \dots k = of\text{-real} (1\ gchoose\ k) * (\psi \cdot_C (cblinfun\text{-power}\ S\ k *_{\mathcal{V}} \psi)) \rangle$ **for** k
apply (*simp only: atMost-atLeast0 gbinomial-Vandermonde*)
by simp
also have $\langle \dots k = of\text{-bool} (k \leq 1) * (\psi \cdot_C (cblinfun\text{-power}\ S\ k *_{\mathcal{V}} \psi)) \rangle$ **for** k
by (*simp add: gbinomial-1*)
finally have $bb\text{-simp: } \langle bb\ k = of\text{-bool} (k \leq 1) * (\psi \cdot_C (cblinfun\text{-power}\ S\ k *_{\mathcal{V}} \psi)) \rangle$ **for** k
by –

have $bb\text{-sum: } \langle bb\ \text{summable-on}\ UNIV \rangle$
apply (*rule summable-on-cong-neutral[where T={..1} and g=bb, THEN iffD2]*)
by (*auto simp: bb-simp*)

from $has\text{-sum-b}$ **have** $b\psi\text{-sum: } \langle (\lambda n. b\ n *_{\mathcal{V}} \psi) \text{ summable-on}\ UNIV \rangle$
by (*simp add: has-sum-imp-summable summable-on-cblinfun-apply-left*)

have $b2\text{-pos: } \langle (b\ i *_{\mathcal{V}} \psi) \cdot_C (b\ j *_{\mathcal{V}} \psi) \geq 0 \rangle$ **if** $\langle i \neq 0 \rangle \langle j \neq 0 \rangle$ **for** $i\ j$
proof –
have $gchoose\text{-sign: } \langle (-1) \wedge (i+1) * ((1/2 :: real)\ gchoose\ i) \geq 0 \rangle$ **if** $\langle i \neq 0 \rangle$ **for** i
proof –
obtain j **where** $j: \langle Suc\ j = i \rangle$
using $\langle i \neq 0 \rangle$ **not0-implies-Suc** **by** *blast*
show *?thesis*
proof (*unfold j[symmetric], induction j*)
case 0
then show *?case*
by *simp*
next
case $(Suc\ j)$
have $\langle (-1) \wedge (Suc\ (Suc\ j) + 1) * (1 / 2\ gchoose\ Suc\ (Suc\ j)) \rangle$

```

      = ((- 1) ^ (Suc j + 1) * (1 / 2 gchoose Suc j)) * ((-1) * (1/2 - Suc j) / (Suc
(Suc j))),
    apply (simp add: gbinomial-a-Suc-n)
  by (smt (verit, ccfv-threshold) divide-divide-eq-left' divide-divide-eq-right minus-divide-right)
  also have ⟨... ≥ 0⟩
    apply (rule mult-nonneg-nonneg)
    apply (rule Suc.IH)
    apply (rule divide-nonneg-pos)
    apply (rule mult-nonpos-nonpos)
  by auto
  finally show ?case
  by -
qed
qed
from ⟨S ≤ 0⟩
have Sn-sign: ⟨ψ •C (cblinfun-power (- S) (i + j) *V ψ) ≥ 0⟩
  by (auto intro!: cinner-pos-if-pos cblinfun-power-pos)
have *: ⟨(- 1) ^ (i + (j + (i + j))) = (1::complex)⟩
  by (metis Parity.ring-1-class.power-minus-even even-add power-one)

have ⟨(b i *V ψ) •C (b j *V ψ)
  = complex-of-real (1 / 2 gchoose i) * complex-of-real (1 / 2 gchoose j)
  * (ψ •C (cblinfun-power S (i + j) *V ψ))⟩
  by (simp add: b-def cblinfun.scaleR-right cblinfun.scaleR-left cblinfun-power-adj
  flip: cinner-adj-right cblinfun-apply-cblinfun-compose)
  also have ⟨... = complex-of-real ((-1)^(i+1) * (1 / 2 gchoose i)) * complex-of-real
((-1)^(j+1) * (1 / 2 gchoose j))
  * (ψ •C (cblinfun-power (-S) (i + j) *V ψ))⟩
  by (simp add: cblinfun.scaleR-left cblinfun-power-uminus * flip: power-add)
  also have ⟨... ≥ 0⟩
    apply (rule mult-nonneg-nonneg)
    apply (rule mult-nonneg-nonneg)
  using complex-of-real-nn-iff gchoose-sign that(1) apply blast
  using complex-of-real-nn-iff gchoose-sign that(2) apply blast
  by (fact Sn-sign)
  finally show ?thesis
  by -
qed

have ⟨s = (B0 *V ψ) •C (B0 *V ψ)⟩
  by (metis ⟨0 ≤ B0⟩ cblinfun-apply-cblinfun-compose cinner-adj-left positive-hermitianI
s-def)
  also have ⟨... = (∑∞ n. b n *V ψ) •C (∑∞ n. b n *V ψ)⟩
    by (metis B0-def has-sum-b infsum-cblinfun-apply-left has-sum-imp-summable)
  also have ⟨... = (∑∞ n. bb n)⟩
    using bψ-sum bψ-sum unfolding bb-def
  apply (rule Cauchy-cinner-product-infsum[symmetric])
  using bψ-sum bψ-sum
  apply (rule Cauchy-cinner-product-summable[where X=⟨{0}⟩ and Y=⟨{0}⟩])

```



```

    using b2-pos by auto
  also have ⟨... = bb 0 + bb 1⟩
    apply (subst infsum-cong-neutral[where T={..1} and g=bb])
    by (auto simp: bb-simp)
  also have ⟨... = ψ ·C ((id-cblinfun + S) *V ψ)⟩
    by (simp add: cblinfun-power-Suc cblinfun.add-left cinner-add-right bb-simp)
  finally show ⟨s = ψ ·C ((id-cblinfun + S) *V ψ)⟩
    by -
qed
then have ⟨B oCL B = norm A *C (id-cblinfun + S)⟩
  apply (simp add: k-def B-def power2-eq-square scaleR-scaleC)
  by (metis norm-imp-pos-and-ge of-real-power power2-eq-square real-sqrt-pow2)
also have ⟨... = A⟩
  by (metis (no-types, lifting) k-def S-def add commute cancel-comm-monoid-add-class.diff-cancel
diff-add-cancel norm-eq-zero of-real-1 of-real-mult right-inverse scaleC-diff-right scaleC-one scaleC-scaleC
scaleR-scaleC)
finally have B2A: ⟨B oCL B = A⟩
  by -
have BF-comm: ⟨B oCL F = F oCL B⟩ if ⟨A oCL F = F oCL A⟩ for F
proof -
  have ⟨S oCL F = F oCL S⟩
  by (simp add: S-def that[symmetric] cblinfun-compose-minus-right cblinfun-compose-minus-left

      flip: cblinfun-compose-assoc)
  then have ⟨cblinfun-power S n oCL F = F oCL cblinfun-power S n⟩ for n
    apply (induction n)
    apply (simp-all add: cblinfun-power-Suc' cblinfun-compose-assoc)
    by (simp flip: cblinfun-compose-assoc)
  then have *: ⟨b n oCL F = F oCL b n⟩ for n
    by (simp add: b-def)
  have ⟨(∑∞ n. b n) oCL F = F oCL (∑∞ n. b n)⟩
  proof -
    have [simp]: ⟨b summable-on UNIV⟩
      using has-sum-b by (auto simp add: summable-on-def)
    have ⟨(∑∞ n. b n) oCL F = (∑∞ n. (b n) oCL F)⟩
      apply (subst infsum-comm-additive[where f=⟨λx. x oCL F⟩, symmetric])
      by (auto simp: o-def isCont-cblinfun-compose-left)
    also have ⟨... = (∑∞ n. F oCL (b n))⟩
      by (simp add: *)
    also have ⟨... = F oCL (∑∞ n. b n)⟩
      apply (subst infsum-comm-additive[where f=⟨λx. F oCL x⟩, symmetric])
      by (auto simp: o-def isCont-cblinfun-compose-right)
    finally show ?thesis
      by -
  qed
then have ⟨B0 oCL F = F oCL B0⟩
  unfolding B0-def
  unfolding infsum-euclidean-eq[abs-def, symmetric]
  apply (transfer fixing: b F)

```

```

    by simp
  then show ?thesis
    by (auto simp: B-def)
qed
have B-closure: ⟨B ∈ closure (cspan (range (cblinfun-power A)))⟩
proof (cases ⟨k = 0⟩)
  case True
  then show ?thesis
    unfolding B-def using closure-subset complex-vector.span-zero by auto
next
  case False
  then have ⟨k ≠ 0⟩
    by -
  from has-sum-b
  have limit: ⟨sum b ⟶ B0⟩ (finite-subsets-at-top UNIV)
  by (simp add: has-sum-def)
  have ⟨cblinfun-power (A /R k - id-cblinfun) n ∈ cspan (range (cblinfun-power A))⟩ for n
  proof (induction n)
    case 0
    then show ?case
      by (auto intro!: complex-vector.span-base range-eqI[where x=0])
  next
    case (Suc n)
    define pow-n where ⟨pow-n = cblinfun-power (A /R k - id-cblinfun) n⟩
    have pow-n-span: ⟨pow-n ∈ cspan (range (cblinfun-power A))⟩
      using Suc by (simp add: pow-n-def)
    have A-pow-n-span: ⟨A oCL pow-n ∈ cspan (range (cblinfun-power A))⟩
    proof -
      from pow-n-span
      obtain F r where ⟨finite F⟩ and F-A: ⟨F ⊆ range (cblinfun-power A)⟩
      and pow-n-sum: ⟨pow-n = (∑ a∈F. r a *C a)⟩
      by (auto simp add: complex-vector.span-explicit)
      have ⟨A oCL a ∈ range (cblinfun-power A)⟩ if ⟨a ∈ F⟩ for a
      proof -
        from that obtain m where ⟨a = cblinfun-power A m⟩
        using F-A by auto
        then have ⟨A oCL a = cblinfun-power A (Suc m)⟩
          by (simp add: cblinfun-power-Suc')
        then show ?thesis
          by auto
      qed
    then have ⟨(∑ a∈F. r a *C (A oCL a)) ∈ cspan (range (cblinfun-power A))⟩
      by (meson basic-trans-rules(31) complex-vector.span-scale complex-vector.span-sum
        complex-vector.span-superset)
    moreover have ⟨A oCL pow-n = (∑ a∈F. r a *C (A oCL a))⟩
      by (simp add: pow-n-sum cblinfun-compose-sum-right flip: cblinfun.scaleC-left)
    ultimately show ?thesis
      by simp
  qed

```

```

have ⟨cblinfun-power (A /R k - id-cblinfun) (Suc n) = (A oCL pow-n) /R k - pow-n⟩
  by (simp add: cblinfun-power-Suc' cblinfun-compose-minus-left flip: pow-n-def)
also from pow-n-span A-pow-n-span
have ⟨... ∈ cspan (range (cblinfun-power A))⟩
  by (auto intro!: complex-vector.span-diff complex-vector.span-scale
      simp: scaleR-scaleC)
finally show ?case
  by -
qed
then have b-range: ⟨b n ∈ cspan (range (cblinfun-power A))⟩ for n
  by (simp add: b-def S-def scaleR-scaleC complex-vector.span-scale)
have sum-bF: ⟨sum b F ∈ cspan (range (cblinfun-power A))⟩ if ⟨finite F⟩ for F
  using that apply induction
  using b-range complex-vector.span-add complex-vector.span-zero by auto
have ⟨B0 ∈ closure (cspan (range (cblinfun-power A)))⟩
  using limit apply (rule limit-in-closure)
  using sum-bF by (simp-all add: eventually-finite-subsets-at-top-weakI)
also have ⟨... = closure ((λx. inverse (sqrt k) *R x) ' cspan (range (cblinfun-power A)))⟩
  using ⟨k ≠ 0⟩ by (simp add: scaleR-scaleC csubspace-scaleC-invariant)
also have ⟨... = (λx. inverse (sqrt k) *R x) ' closure (cspan (range (cblinfun-power A)))⟩
  by (simp add: closure-scaleR)
finally show ?thesis
  apply (simp add: B-def image-def)
  using ⟨k ≠ 0⟩ by force
qed
from ⟨B ≥ 0⟩ B2A BF-comm B-closure
show ?thesis
  by metis
qed

```

lemma *wecken35hilfssatz*:

```

— Auxiliary lemma from [9]
⟨∃ P. is-Proj P ∧ (∀ F. F oCL (W - T) = (W - T) oCL F ⟶ F oCL P = P oCL F)
  ∧ (∀ f. W f = 0 ⟶ P f = f)
  ∧ (W = (2 *C P - id-cblinfun) oCL T)⟩
if WT-comm: ⟨W oCL T = T oCL W⟩ and ⟨W = W*⟩ and ⟨T = T*⟩
and WW-TT: ⟨W oCL W = T oCL T⟩
for W T :: ⟨'a::hilbert-space ⇒CL 'a⟩
proof (rule exI, intro conjI allI impI)
define P where ⟨P = Proj (kernel (W - T))⟩
show ⟨is-Proj P⟩
  by (simp add: P-def)
show thesis1: ⟨F oCL P = P oCL F⟩ if ⟨F oCL (W - T) = (W - T) oCL F⟩ for F
proof -
  have 1: ⟨F oCL P = P oCL F oCL P⟩ if ⟨F oCL (W - T) = (W - T) oCL F⟩ for F
  proof (rule cblinfun-eqI)
    fix ψ
    have ⟨P *V ψ ∈ space-as-set (kernel (W - T))⟩

```

by (metis *P-def Proj-range cblinfun-apply-in-image*)
 then have $\langle (W - T) *_V P *_V \psi = 0 \rangle$
 using *kernel-memberD* by blast
 then have $\langle (W - T) *_V F *_V P *_V \psi = 0 \rangle$
 by (metis *cblinfun.zero-right cblinfun-apply-cblinfun-compose that*)
 then have $\langle F *_V P *_V \psi \in \text{space-as-set} (\text{kernel} (W - T)) \rangle$
 using *kernel-memberI* by blast
 then have $\langle P *_V (F *_V P *_V \psi) = F *_V P *_V \psi \rangle$
 using *P-def Proj-fixes-image* by blast
 then show $\langle (F \circ_{CL} P) *_V \psi = (P \circ_{CL} F \circ_{CL} P) *_V \psi \rangle$
 by *simp*
 qed
 have 2: $\langle F *_V \circ_{CL} (W - T) = (W - T) \circ_{CL} F *_V \rangle$
 by (metis $\langle T = T *_V \rangle \langle W = W *_V \rangle$ *adj-cblinfun-compose adj-minus that*)
 have $\langle F \circ_{CL} P = P \circ_{CL} F \circ_{CL} P \rangle$ and $\langle F *_V \circ_{CL} P = P \circ_{CL} F *_V \circ_{CL} P \rangle$
 using 1[*OF that*] 1[*OF 2*] by *auto*
 then show $\langle F \circ_{CL} P = P \circ_{CL} F \rangle$
 by (metis *P-def adj-Proj adj-cblinfun-compose cblinfun-assoc-left(1) double-adj*)
 qed
 show *thesis2*: $\langle P *_V f = f \rangle$ if $\langle W *_V f = 0 \rangle$ for *f*
 proof –
 from *that*
 have $\langle 0 = (W *_V f) \cdot_C (W *_V f) \rangle$
 by *simp*
 also from $\langle W = W *_V \rangle$ have $\langle \dots = f \cdot_C ((W \circ_{CL} W) *_V f) \rangle$
 by (*simp add: that*)
 also from *WW-TT* have $\langle \dots = f \cdot_C ((T \circ_{CL} T) *_V f) \rangle$
 by *simp*
 also from $\langle T = T *_V \rangle$ have $\langle \dots = (T *_V f) \cdot_C (T *_V f) \rangle$
 by (metis *cblinfun-apply-cblinfun-compose cinner-adj-left*)
 finally have $\langle T *_V f = 0 \rangle$
 by *simp*
 then have $\langle (W - T) *_V f = 0 \rangle$
 by (*simp add: cblinfun.diff-left that*)
 then show $\langle P *_V f = f \rangle$
 using *P-def Proj-fixes-image kernel-memberI* by blast
 qed
 show *thesis3*: $\langle W = (2 *_C P - \text{id-cblinfun}) \circ_{CL} T \rangle$
 proof –
 from *WW-TT WT-comm* have *WT-binomial*: $\langle (W - T) \circ_{CL} (W + T) = 0 \rangle$
 by (*simp add: cblinfun-compose-add-right cblinfun-compose-minus-left*)
 have *PWT*: $\langle P \circ_{CL} (W + T) = W + T \rangle$
 proof (rule *cblinfun-eqI*)
 fix ψ
 from *WT-binomial* have $\langle (W + T) *_V \psi \in \text{space-as-set} (\text{kernel} (W - T)) \rangle$
 by (metis *cblinfun-apply-cblinfun-compose kernel-memberI zero-cblinfun.rep-eq*)
 then show $\langle (P \circ_{CL} (W + T)) *_V \psi = (W + T) *_V \psi \rangle$
 by (metis *P-def Proj-idempotent Proj-range cblinfun-apply-cblinfun-compose cblinfun-fixes-range*)
 qed

```

from  $P$ -def have  $\langle (W - T) \circ_{CL} P = 0 \rangle$ 
  by (metis Proj-range thesis1 cblinfun-apply-cblinfun-compose cblinfun-apply-in-image
    cblinfun-eqI kernel-memberD zero-cblinfun.rep-eq)
with PWT WT-comm thesis1 have  $\langle 2 *_{C} T \circ_{CL} P = W + T \rangle$ 
  by (metis (no-types, lifting) bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose
    cblinfun-compose-add-right cblinfun-compose-minus-left cblinfun-compose-minus-right eq-iff-diff-eq-0
    scaleC-2)
  with that(2) that(3) show ?thesis
  by (smt (verit, ccfv-threshold) P-def add-diff-cancel adj-Proj adj-cblinfun-compose adj-plus
    cblinfun-compose-id-right cblinfun-compose-minus-left cblinfun-compose-scaleC-left id-cblinfun-adjoint
    scaleC-2)
qed
qed

```

```

lemma sqrt-op-pos[simp]:  $\langle \text{sqrt-op } a \geq 0 \rangle$ 
proof (cases  $\langle a \geq 0 \rangle$ )
  case True
    from sqrt-op-existence[OF True]
    have *:  $\langle \exists b::'a \Rightarrow_{CL} 'a. b \geq 0 \wedge b * \circ_{CL} b = a \rangle$ 
      by (metis positive-hermitianI)
    then show ?thesis
      using * by (smt (verit, ccfv-threshold) someI-ex sqrt-op-def)
  next
    case False
    then show ?thesis
      by (simp add: sqrt-op-nonpos)
qed

```

```

lemma sqrt-op-square[simp]:
  assumes  $\langle a \geq 0 \rangle$ 
  shows  $\langle \text{sqrt-op } a \circ_{CL} \text{sqrt-op } a = a \rangle$ 
proof -
  from sqrt-op-existence[OF assms]
  have *:  $\langle \exists b::'a \Rightarrow_{CL} 'a. b \geq 0 \wedge b * \circ_{CL} b = a \rangle$ 
    by (metis positive-hermitianI)
  have  $\langle \text{sqrt-op } a \circ_{CL} \text{sqrt-op } a = (\text{sqrt-op } a) * \circ_{CL} \text{sqrt-op } a \rangle$ 
    by (metis positive-hermitianI sqrt-op-pos)
  also have  $\langle (\text{sqrt-op } a) * \circ_{CL} \text{sqrt-op } a = a \rangle$ 
    using * by (metis (mono-tags, lifting) someI-ex sqrt-op-def)
  finally show ?thesis
    by -
qed

```

```

lemma sqrt-op-unique:
  — Proof follows [9]
  assumes  $\langle b \geq 0 \rangle$  and  $\langle b * \circ_{CL} b = a \rangle$ 
  shows  $\langle b = \text{sqrt-op } a \rangle$ 
proof -
  have  $\langle a \geq 0 \rangle$ 

```

using *assms(2) positive-cblinfun-squareI* **by** *blast*
from *sqrt-op-existence[OF ⟨a ≥ 0⟩]*
obtain *sq* **where** $\langle sq \geq 0 \rangle$ **and** $\langle sq \circ_{CL} sq = a \rangle$ **and** *a-comm: ⟨a o_{CL} F = F o_{CL} a ⟹ sq o_{CL} F = F o_{CL} sq⟩* **for** *F*
by *metis*
have *eq-sq: ⟨b = sq⟩* **if** $\langle b \geq 0 \rangle$ **and** $\langle b^* \circ_{CL} b = a \rangle$ **for** *b*
proof –
have $\langle b \circ_{CL} a = a \circ_{CL} b \rangle$
by (*metis cblinfun-assoc-left(1) positive-hermitianI that(1) that(2)*)
then have *b-sqrt-comm: ⟨b o_{CL} sq = sq o_{CL} b⟩*
using *a-comm* **by** *force*
from $\langle b \geq 0 \rangle$ **have** $\langle b = b^* \rangle$
by (*simp add: assms(1) positive-hermitianI*)
have *sqrt-adj: ⟨sq = sq*⟩*
by (*simp add: ⟨0 ≤ sq⟩ positive-hermitianI*)
have *bb-sqrt: ⟨b o_{CL} b = sq o_{CL} sq⟩*
using $\langle b = b^* \rangle \langle sq \circ_{CL} sq = a \rangle$ *that(2)* **by** *fastforce*

from *wecken35hilfssatz[OF b-sqrt-comm ⟨b = b*⟩ sqrt-adj bb-sqrt]*
obtain *P* **where** $\langle is-Proj P \rangle$ **and** *b-P-sq: ⟨b = (2 *_C P - id-cblinfun) o_{CL} sq⟩*
and *P-comm: ⟨F o_{CL} (b - sq) = (b - sq) o_{CL} F ⟹ F o_{CL} P = P o_{CL} F⟩* **for** *F*
by *metis*

have *1: ⟨sandwich (id-cblinfun - P) b = (id-cblinfun - P) o_{CL} b⟩*
by (*smt (verit, del-insts) P-comm ⟨is-Proj P⟩ b-sqrt-comm cblinfun-assoc-left(1) cblinfun-compose-id-left cblinfun-compose-id-right cblinfun-compose-minus-left cblinfun-compose-minus-right cblinfun-compose-zero-left diff-0-right is-Proj-algebraic is-Proj-complement is-Proj-idempotent sandwich-apply*)
also have *2: ⟨... = - (id-cblinfun - P) o_{CL} sq⟩*
apply (*simp add: b-P-sq*)
by (*smt (verit, del-insts) ⟨0 ≤ sq⟩ ⟨is-Proj P⟩ add-diff-cancel-left' cancel-comm-monoid-add-class.diff-cancel cblinfun-compose-assoc cblinfun-compose-id-right cblinfun-compose-minus-right diff-diff-eq2 is-Proj-algebraic is-Proj-complement minus-diff-eq scaleC-2*)
also have $\langle ... = - sandwich (id-cblinfun - P) sq \rangle$
by (*metis ⟨(id-cblinfun - P) o_{CL} b = - (id-cblinfun - P) o_{CL} sq⟩ calculation cblinfun-compose-uminus-left sandwich-apply*)
also have $\langle ... \leq 0 \rangle$
by (*simp add: ⟨0 ≤ sq⟩ sandwich-pos*)
finally have $\langle sandwich (id-cblinfun - P) b \leq 0 \rangle$
by –
moreover from $\langle b \geq 0 \rangle$ **have** $\langle sandwich (id-cblinfun - P) b \geq 0 \rangle$
by (*simp add: sandwich-pos*)
ultimately have $\langle sandwich (id-cblinfun - P) b = 0 \rangle$
by *auto*
with *1 2* **have** $\langle (id-cblinfun - P) o_{CL} sq = 0 \rangle$
by (*metis add.inverse-neutral cblinfun-compose-uminus-left minus-diff-eq*)
with *b-P-sq* **show** $\langle b = sq \rangle$
by (*metis (no-types, lifting) add.inverse-neutral add-diff-cancel-right' adj-cblinfun-compose cblinfun-compose-id-right cblinfun-compose-minus-left diff-0 diff-eq-diff-eq id-cblinfun-adjoint scaleC-2*)

sqrt-adj)

qed

from *eq-sq* **have** $\langle \text{sqrt-op } a = \text{sq} \rangle$

by (*simp add: $\langle 0 \leq a \rangle$ comparable-hermitean[unfolded selfadjoint-def]*)

moreover from *eq-sq* **have** $\langle b = \text{sq} \rangle$

by (*simp add: assms(1) assms(2)*)

ultimately show $\langle b = \text{sqrt-op } a \rangle$

by *simp*

qed

lemma *sqrt-op-in-closure*: $\langle \text{sqrt-op } a \in \text{closure } (\text{cspan } (\text{range } (\text{cblinfun-power } a))) \rangle$

proof (*cases $\langle a \geq 0 \rangle$*)

case *True*

from *sqrt-op-existence[OF True]*

obtain $B :: \langle 'a \Rightarrow_{CL} 'a \rangle$ **where** $\langle B \geq 0 \rangle$ **and** $\langle B \circ_{CL} B = a \rangle$

and $B\text{-closure}$: $\langle B \in \text{closure } (\text{cspan } (\text{range } (\text{cblinfun-power } a))) \rangle$

by *metis*

then have $\langle \text{sqrt-op } a = B \rangle$

by (*metis positive-hermitianI sqrt-op-unique*)

with $B\text{-closure}$ **show** *?thesis*

by *simp*

next

case *False*

then have $\langle \text{sqrt-op } a = 0 \rangle$

by (*simp add: sqrt-op-nonpos*)

also have $\langle 0 \in \text{closure } (\text{cspan } (\text{range } (\text{cblinfun-power } a))) \rangle$

using *closure-subset complex-vector.span-zero* **by** *blast*

finally show *?thesis*

by $-$

qed

lemma *sqrt-op-commute*:

assumes $\langle A \geq 0 \rangle$

assumes $\langle A \circ_{CL} F = F \circ_{CL} A \rangle$

shows $\langle \text{sqrt-op } A \circ_{CL} F = F \circ_{CL} \text{sqrt-op } A \rangle$

by (*metis assms(1) assms(2) positive-hermitianI sqrt-op-existence sqrt-op-unique*)

lemma *sqrt-op-0[simp]*: $\langle \text{sqrt-op } 0 = 0 \rangle$

apply (*rule sqrt-op-unique[symmetric]*)

by *auto*

lemma *sqrt-op-scaleC*:

assumes $\langle c \geq 0 \rangle$ **and** $\langle a \geq 0 \rangle$

shows $\langle \text{sqrt-op } (c *_C a) = \text{sqrt } c *_C \text{sqrt-op } a \rangle$

apply (*rule sqrt-op-unique[symmetric]*)

using *assms* **apply** (*auto simp: split-scaleC-pos-le positive-hermitianI*)

by (*metis of-real-power power2-eq-square real-sqrt-pow2*)

definition $abs\text{-}op :: \langle 'a::chilbert\text{-}space \Rightarrow_{CL} 'b::complex\text{-}inner \Rightarrow 'a \Rightarrow_{CL} 'a \rangle$ **where** $\langle abs\text{-}op\ a = sqrt\text{-}op\ (a * o_{CL}\ a) \rangle$

lemma $abs\text{-}op\text{-}pos[simp]$: $\langle abs\text{-}op\ a \geq 0 \rangle$
by ($simp\ add: abs\text{-}op\text{-}def\ positive\text{-}cblinfun\text{-}squareI\ sqrt\text{-}op\text{-}pos$)

lemma $abs\text{-}op\text{-}0[simp]$: $\langle abs\text{-}op\ 0 = 0 \rangle$
unfolding $abs\text{-}op\text{-}def$ **by** $auto$

lemma $abs\text{-}op\text{-}idem[simp]$: $\langle abs\text{-}op\ (abs\text{-}op\ a) = abs\text{-}op\ a \rangle$
by ($metis\ abs\text{-}op\text{-}def\ abs\text{-}op\text{-}pos\ sqrt\text{-}op\text{-}unique$)

lemma $abs\text{-}op\text{-}uminus[simp]$: $\langle abs\text{-}op\ (-\ a) = abs\text{-}op\ a \rangle$
by ($simp\ add: abs\text{-}op\text{-}def\ adj\text{-}uminus\ bounded\text{-}cbilinear.\text{minus}\text{-}left\ bounded\text{-}cbilinear.\text{minus}\text{-}right\ bounded\text{-}cbilinear\text{-}cblinfun\text{-}compose$)

lemma $selfbutter\text{-}pos[simp]$: $\langle selfbutter\ x \geq 0 \rangle$
by ($metis\ butterfly\text{-}def\ double\text{-}adj\ positive\text{-}cblinfun\text{-}squareI$)

lemma $abs\text{-}op\text{-}butterfly[simp]$: $\langle abs\text{-}op\ (butterfly\ x\ y) = (norm\ x / norm\ y) *_{R}\ selfbutter\ y \rangle$ **for** $x :: \langle 'a::chilbert\text{-}space \rangle$ **and** $y :: \langle 'b::chilbert\text{-}space \rangle$

proof ($cases\ \langle y=0 \rangle$)

case $False$

have $\langle abs\text{-}op\ (butterfly\ x\ y) = sqrt\text{-}op\ (cinner\ x\ x *_{C}\ selfbutter\ y) \rangle$

unfolding $abs\text{-}op\text{-}def$ **by** $simp$

also have $\langle \dots = (norm\ x / norm\ y) *_{R}\ selfbutter\ y \rangle$

apply ($rule\ sqrt\text{-}op\text{-}unique[symmetric]$)

using $False$ **by** ($auto\ intro!: scaleC\text{-}nonneg\text{-}nonneg\ simp: scaleR\text{-}scaleC\ power2\text{-}eq\text{-}square\ simp\ flip: power2\text{-}norm\text{-}eq\text{-}cinner$)

finally show $?thesis$

by $-$

next

case $True$

then show $?thesis$

by $simp$

qed

lemma $abs\text{-}op\text{-}nondegenerate$: $\langle a = 0 \rangle$ **if** $\langle abs\text{-}op\ a = 0 \rangle$

proof $-$

from $that$

have $\langle sqrt\text{-}op\ (a * o_{CL}\ a) = 0 \rangle$

by ($simp\ add: abs\text{-}op\text{-}def$)

then have $\langle 0 * o_{CL}\ 0 = (a * o_{CL}\ a) \rangle$

by ($metis\ cblinfun\text{-}compose\text{-}zero\text{-}right\ positive\text{-}cblinfun\text{-}squareI\ sqrt\text{-}op\text{-}square$)

then show $\langle a = 0 \rangle$

apply ($rule\text{-}tac\ op\text{-}square\text{-}nondegenerate$)

by $simp$

qed

lemma *abs-op-scaleC*: $\langle \text{abs-op } (c \cdot_C a) = |c| \cdot_C \text{abs-op } a \rangle$

proof –

define *aa* **where** $\langle aa = a \cdot_{CL} a \rangle$

have $\langle \text{abs-op } (c \cdot_C a) = \text{sqr-op } (|c|^2 \cdot_C aa) \rangle$

by (*simp add: abs-op-def x-cnj-x aa-def*)

also have $\langle \dots = |c| \cdot_C \text{sqr-op } aa \rangle$

by (*smt (verit, best) aa-def abs-complex-def abs-nn cblinfun-compose-scaleC-left cblinfun-compose-scaleC-right complex-cnj-complex-of-real o-apply positive-cblinfun-squareI power2-eq-square scaleC-adj scaleC-nonneg-nonneg scaleC-scaleC sqr-op-pos sqr-op-square sqr-op-unique*)

also have $\langle \dots = |c| \cdot_C \text{abs-op } a \rangle$

by (*simp add: aa-def abs-op-def*)

finally show *?thesis*

by –

qed

lemma *kernel-abs-op[simp]*: $\langle \text{kernel } (\text{abs-op } a) = \text{kernel } a \rangle$

proof (*rule ccspace-eqI*)

fix *x*

have $\langle x \in \text{space-as-set } (\text{kernel } (\text{abs-op } a)) \longleftrightarrow \text{abs-op } a \ x = 0 \rangle$

using *kernel-memberD kernel-memberI* **by** *blast*

also have $\langle \dots \longleftrightarrow \text{abs-op } a \ x \cdot_C \text{abs-op } a \ x = 0 \rangle$

by *simp*

also have $\langle \dots \longleftrightarrow x \cdot_C ((\text{abs-op } a) \cdot_{CL} \text{abs-op } a) \ x = 0 \rangle$

by (*simp add: cinner-adj-right*)

also have $\langle \dots \longleftrightarrow x \cdot_C (a \cdot_{CL} a) \ x = 0 \rangle$

by (*simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI*)

also have $\langle \dots \longleftrightarrow a \ x \cdot_C a \ x = 0 \rangle$

by (*simp add: cinner-adj-right*)

also have $\langle \dots \longleftrightarrow a \ x = 0 \rangle$

by *simp*

also have $\langle \dots \longleftrightarrow x \in \text{space-as-set } (\text{kernel } a) \rangle$

using *kernel-memberD kernel-memberI* **by** *auto*

finally show $\langle x \in \text{space-as-set } (\text{kernel } (\text{abs-op } a)) \longleftrightarrow x \in \text{space-as-set } (\text{kernel } a) \rangle$

by –

qed

definition *polar-decomposition* **where**

– [1], 3.9 Polar Decomposition

$\langle \text{polar-decomposition } A = \text{cblinfun-extension } (\text{range } (\text{abs-op } A)) (\lambda \psi. A \cdot_V \text{inv } (\text{abs-op } A) \psi) \cdot_{CL} \text{Proj } (\text{abs-op } A \cdot_S \text{top}) \rangle$

for $A :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{complex-inner} \rangle$

lemma

fixes $A :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{hilbert-space} \rangle$

– [1], 3.9 Polar Decomposition

shows *polar-decomposition-correct*: $\langle \text{polar-decomposition } A \cdot_{CL} \text{abs-op } A = A \rangle$

and *polar-decomposition-final-space*: $\langle \text{polar-decomposition } A *_S \text{ top} = A *_S \text{ top} \rangle$
and *polar-decomposition-initial-space*[*simp*]: $\langle \text{kernel } (\text{polar-decomposition } A) = \text{kernel } A \rangle$
and *polar-decomposition-partial-isometry*[*simp*]: $\langle \text{partial-isometry } (\text{polar-decomposition } A) \rangle$
proof –
have *abs-A-norm*: $\langle \text{norm } (\text{abs-op } A \ h) = \text{norm } (A \ h) \rangle$ **for** h
proof –
have $\langle \text{complex-of-real } ((\text{norm } (A \ h))^2) = A \ h \cdot_C A \ h \rangle$
by (*simp add: cdot-square-norm*)
also have $\langle \dots = (A *_O_{CL} A) \ h \cdot_C h \rangle$
by (*simp add: cinner-adj-left*)
also have $\langle \dots = ((\text{abs-op } A) *_O_{CL} \text{abs-op } A) \ h \cdot_C h \rangle$
by (*simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI*)
also have $\langle \dots = \text{abs-op } A \ h \cdot_C \text{abs-op } A \ h \rangle$
by (*simp add: cinner-adj-left*)
also have $\langle \dots = \text{complex-of-real } ((\text{norm } (\text{abs-op } A \ h))^2) \rangle$
using *cnorm-eq-square* **by** *blast*
finally show *?thesis*
by (*simp add: cdot-square-norm cnorm-eq*)
qed

define $W \ W' \ P$
where $\langle W = (\lambda \psi. A *_V \text{inv } (\text{abs-op } A) \ \psi) \rangle$
and $\langle W' = \text{cblinfun-extension } (\text{range } (\text{abs-op } A)) \ W \rangle$
and $\langle P = \text{Proj } (\text{abs-op } A *_S \text{top}) \rangle$

have *pdA*: $\langle \text{polar-decomposition } A = W' \ o_{CL} \ P \rangle$
by (*auto simp: polar-decomposition-def W'-def W-def P-def*)

have *AA-norm*: $\langle \text{norm } (W \ \psi) = \text{norm } \psi \rangle$ **if** $\langle \psi \in \text{range } (\text{abs-op } A) \rangle$ **for** ψ
proof –
define h **where** $\langle h = \text{inv } (\text{abs-op } A) \ \psi \rangle$
from that have *absA-h*: $\langle \text{abs-op } A \ h = \psi \rangle$
by (*simp add: f-inv-into-f h-def*)
have $\langle \text{complex-of-real } ((\text{norm } (W \ \psi))^2) = \text{complex-of-real } ((\text{norm } (A \ h))^2) \rangle$
using *W-def h-def* **by** *blast*
also have $\langle \dots = A \ h \cdot_C A \ h \rangle$
by (*simp add: cdot-square-norm*)
also have $\langle \dots = (A *_O_{CL} A) \ h \cdot_C h \rangle$
by (*simp add: cinner-adj-left*)
also have $\langle \dots = ((\text{abs-op } A) *_O_{CL} \text{abs-op } A) \ h \cdot_C h \rangle$
by (*simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI*)
also have $\langle \dots = \text{abs-op } A \ h \cdot_C \text{abs-op } A \ h \rangle$
by (*simp add: cinner-adj-left*)
also have $\langle \dots = \text{complex-of-real } ((\text{norm } (\text{abs-op } A \ h))^2) \rangle$
using *cnorm-eq-square* **by** *blast*
also have $\langle \dots = \text{complex-of-real } ((\text{norm } \psi)^2) \rangle$
using *absA-h* **by** *fastforce*
finally show $\langle \text{norm } (W \ \psi) = \text{norm } \psi \rangle$
by (*simp add: cdot-square-norm cnorm-eq*)

qed
then have *AA-norm'*: $\langle \text{norm } (W \psi) \leq 1 * \text{norm } \psi \rangle$ **if** $\langle \psi \in \text{range } (abs\text{-op } A) \rangle$ **for** ψ
using *that* **by** *simp*

have *W-absA*: $\langle W (abs\text{-op } A h) = A h \rangle$ **for** h
proof –
have $\langle A h = A h' \rangle$ **if** $\langle abs\text{-op } A h = abs\text{-op } A h' \rangle$ **for** $h h'$
proof –
from *that* **have** $\langle \text{norm } (abs\text{-op } A (h - h')) = 0 \rangle$
by (*simp add: cblinfun.diff-right*)
with *AA-norm* **have** $\langle \text{norm } (A (h - h')) = 0 \rangle$
by (*simp add: abs-A-norm*)
then show $\langle A h = A h' \rangle$
by (*simp add: cblinfun.diff-right*)
qed
then show *?thesis*
by (*metis W-def f-inv-into-f rangeI*)
qed

have *range-subspace*: $\langle \text{csubspace } (\text{range } (abs\text{-op } A)) \rangle$
by (*auto intro!: range-is-csubspace*)

have *exP*: $\langle \exists P. \text{is-Proj } P \wedge \text{range } ((*_V) P) = \text{closure } (\text{range } ((*_V) (abs\text{-op } A))) \rangle$
apply (*rule exI[of - $\langle \text{Proj } (abs\text{-op } A *_S \top) \rangle]$*)
by (*metis (no-types, opaque-lifting) Proj-is-Proj Proj-range Proj-range-closed cblinfun-image.rep-eq closure-closed space-as-set-top*)

have *add*: $\langle W (x + y) = W x + W y \rangle$ **if** x -*in*: $\langle x \in \text{range } (abs\text{-op } A) \rangle$ **and** y -*in*: $\langle y \in \text{range } (abs\text{-op } A) \rangle$ **for** $x y$
proof –
obtain $x' y'$ **where** $\langle x = abs\text{-op } A x' \rangle$ **and** $\langle y = abs\text{-op } A y' \rangle$
using x -*in* y -*in* **by** *blast*
then show *?thesis*
by (*simp flip: cblinfun.add-right add: W-absA*)
qed

have *scale*: $\langle W (c *_C x) = c *_C W x \rangle$ **if** x -*in*: $\langle x \in \text{range } (abs\text{-op } A) \rangle$ **for** $c x$
proof –
obtain x' **where** $\langle x = abs\text{-op } A x' \rangle$
using x -*in* **by** *blast*
then show *?thesis*
by (*simp flip: cblinfun.scaleC-right add: W-absA*)
qed

have $\langle \text{cblinfun-extension-exists } (\text{range } (abs\text{-op } A)) W \rangle$
using *range-subspace exP add scale AA-norm'*
by (*rule cblinfun-extension-exists-proj*)

then have *W'-apply*: $\langle W' *_V \psi = W \psi \rangle$ **if** $\langle \psi \in \text{range } (abs\text{-op } A) \rangle$ **for** ψ

```

by (simp add: W'-def cblinfun-extension-apply that)

have ⟨norm (W' ψ) - norm ψ = 0⟩ if ⟨ψ ∈ range (abs-op A)⟩ for ψ
by (simp add: W'-apply AA-norm that)
then have ⟨norm (W' ψ) - norm ψ = 0⟩ if ⟨ψ ∈ closure (range (abs-op A))⟩ for ψ
  apply (rule-tac continuous-constant-on-closure[where S=⟨range (abs-op A)⟩])
  using that by (auto intro!: continuous-at-imp-continuous-on)
then have norm-W': ⟨norm (W' ψ) = norm ψ⟩ if ⟨ψ ∈ space-as-set (abs-op A *S top)⟩ for
ψ
  using cblinfun-image.rep-eq that by force

show correct: ⟨polar-decomposition A oCL abs-op A = A⟩
proof (rule cblinfun-eqI)
  fix ψ :: 'a
  have ⟨(polar-decomposition A oCL abs-op A) *V ψ = W (P (abs-op A ψ))⟩
  by (simp add: W'-apply P-def pdA Proj-fixes-image)
  also have ⟨... = W (abs-op A ψ)⟩
  by (auto simp: P-def Proj-fixes-image)
  also have ⟨... = A ψ⟩
  by (simp add: W-absA)

  finally show ⟨(polar-decomposition A oCL abs-op A) *V ψ = A *V ψ⟩
  by -
qed

show ⟨polar-decomposition A *S top = A *S top⟩
proof (rule antisym)
  have *: ⟨A *S top = polar-decomposition A *S abs-op A *S top⟩
  by (simp add: cblinfun-assoc-left(2) correct)
  also have ⟨... ≤ polar-decomposition A *S top⟩
  by (simp add: cblinfun-image-mono)
  finally show ⟨A *S top ≤ polar-decomposition A *S top⟩
  by -

  have ⟨W' ψ ∈ range A⟩ if ⟨ψ ∈ range (abs-op A)⟩ for ψ
  using W'-apply W-def that by blast
  then have ⟨W' ψ ∈ closure (range A)⟩ if ⟨ψ ∈ closure (range (abs-op A))⟩ for ψ
  using *
  by (metis (mono-tags, lifting) P-def Proj-range Proj-fixes-image cblinfun-apply-cblinfun-compose
cblinfun-apply-in-image cblinfun-compose-image cblinfun-image.rep-eq pdA that top-ccsubspace.rep-eq)
  then have ⟨W' ψ ∈ space-as-set (A *S top)⟩ if ⟨ψ ∈ space-as-set (abs-op A *S top)⟩ for ψ
  by (metis cblinfun-image.rep-eq that top-ccsubspace.rep-eq)
  then have ⟨polar-decomposition A ψ ∈ space-as-set (A *S top)⟩ for ψ
  by (metis P-def Proj-range cblinfun-apply-cblinfun-compose cblinfun-apply-in-image pdA)
  then show ⟨polar-decomposition A *S top ≤ A *S top⟩
  using *
  by (metis (no-types, lifting) Proj-idempotent Proj-range cblinfun-compose-image dual-order.eq-iff
polar-decomposition-def)
qed

```

show $\langle \text{partial-isometry (polar-decomposition } A) \rangle$
apply (rule *partial-isometryI'*[**where** $V = \langle \text{abs-op } A *_{\mathcal{S}} \text{top} \rangle$])
by (auto simp add: *P-def Proj-fixes-image norm-W' pdA kernel-memberD*)

have $\langle \text{kernel (polar-decomposition } A) = - (\text{abs-op } A *_{\mathcal{S}} \text{top}) \rangle$
apply (rule *partial-isometry-initial'*[**where** $V = \langle \text{abs-op } A *_{\mathcal{S}} \text{top} \rangle$])
by (auto simp add: *P-def Proj-fixes-image norm-W' pdA kernel-memberD*)
also have $\langle \dots = \text{kernel (abs-op } A) \rangle$
by (*metis abs-op-pos kernel-compl-adj-range positive-hermitianI*)
also have $\langle \dots = \text{kernel } A \rangle$
by (*simp add: kernel-abs-op*)
finally show $\langle \text{kernel (polar-decomposition } A) = \text{kernel } A \rangle$
by –

qed

lemma *polar-decomposition-correct'*: $\langle (\text{polar-decomposition } A) *_{\mathcal{O}_{CL}} A = \text{abs-op } A \rangle$
for $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{\mathcal{CL}} 'b :: \text{chilbert-space} \rangle$
proof –
have $\langle \text{polar-decomposition } A *_{\mathcal{O}_{CL}} A = (\text{polar-decomposition } A *_{\mathcal{O}_{CL}} \text{polar-decomposition } A)_{\mathcal{O}_{CL}} \text{abs-op } A \rangle$
by (*simp add: cblinfun-compose-assoc polar-decomposition-correct*)
also have $\langle \dots = \text{Proj } (- \text{kernel (polar-decomposition } A))_{\mathcal{O}_{CL}} \text{abs-op } A \rangle$
by (*simp add: partial-isometry-adj-a-o-a polar-decomposition-partial-isometry*)
also have $\langle \dots = \text{Proj } (- \text{kernel } A)_{\mathcal{O}_{CL}} \text{abs-op } A \rangle$
by (*simp add: polar-decomposition-initial-space*)
also have $\langle \dots = \text{Proj } (- \text{kernel (abs-op } A))_{\mathcal{O}_{CL}} \text{abs-op } A \rangle$
by *simp*
also have $\langle \dots = \text{Proj } (\text{abs-op } A *_{\mathcal{S}} \text{top})_{\mathcal{O}_{CL}} \text{abs-op } A \rangle$
by (*metis abs-op-pos kernel-compl-adj-range ortho-involution positive-hermitianI*)
also have $\langle \dots = \text{abs-op } A \rangle$
by (*simp add: Proj-fixes-image cblinfun-eqI*)
finally show *?thesis*
by –

qed

lemma *abs-op-adj*: $\langle \text{abs-op } (a *) = \text{sandwich (polar-decomposition } a) (\text{abs-op } a) \rangle$
proof –
have *pos*: $\langle \text{sandwich (polar-decomposition } a) (\text{abs-op } a) \geq 0 \rangle$
by (*simp add: sandwich-pos*)
have $\langle (\text{sandwich (polar-decomposition } a) (\text{abs-op } a)) *_{\mathcal{O}_{CL}} (\text{sandwich (polar-decomposition } a) (\text{abs-op } a)) \rangle$
 $= \text{polar-decomposition } a_{\mathcal{O}_{CL}} (\text{abs-op } a) *_{\mathcal{O}_{CL}} \text{abs-op } a_{\mathcal{O}_{CL}} (\text{polar-decomposition } a) *_{\mathcal{O}_{CL}} \text{abs-op } a \rangle$
apply (*simp add: sandwich-apply*)
by (*metis (no-types, lifting) cblinfun-assoc-left(1) polar-decomposition-correct polar-decomposition-correct'*)
also have $\langle \dots = a_{\mathcal{O}_{CL}} a * \rangle$
by (*metis abs-op-pos adj-cblinfun-compose cblinfun-assoc-left(1) polar-decomposition-correct positive-hermitianI*)
finally have $\langle \text{sandwich (polar-decomposition } a) (\text{abs-op } a) = \text{sqrt-op } (a_{\mathcal{O}_{CL}} a *) \rangle$

using *pos* **by** (*simp add: sqrt-op-unique*)
also have $\langle \dots = \text{abs-op } (a^*) \rangle$
by (*simp add: abs-op-def*)
finally show *?thesis*
by *simp*
qed

lemma *abs-opI*:
assumes $\langle a^* \text{ } o_{CL} \ a = b^* \text{ } o_{CL} \ b \rangle$
assumes $\langle a \geq 0 \rangle$
shows $\langle a = \text{abs-op } b \rangle$
by (*simp add: abs-op-def assms(1) assms(2) sqrt-op-unique*)

lemma *abs-op-id-on-pos*: $\langle a \geq 0 \implies \text{abs-op } a = a \rangle$
using *abs-opI* **by** *force*

lemma *norm-abs-op[simp]*: $\langle \text{norm } (\text{abs-op } a) = \text{norm } a \rangle$
for $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$
proof –
have $\langle (\text{norm } (\text{abs-op } a))^2 = \text{norm } (\text{abs-op } a^* \text{ } o_{CL} \ \text{abs-op } a) \rangle$
by *simp*
also have $\langle \dots = \text{norm } (a^* \text{ } o_{CL} \ a) \rangle$
by (*simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI*)
also have $\langle \dots = (\text{norm } a)^2 \rangle$
by *simp*
finally show *?thesis*
by *simp*
qed

lemma *partial-isometry-iff-square-proj*:
– [2], Exercise VIII.3.15
fixes $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$
shows $\langle \text{partial-isometry } A \longleftrightarrow \text{is-Proj } (A^* \text{ } o_{CL} \ A) \rangle$
proof (*rule iffI*)
show $\langle \text{is-Proj } (A^* \text{ } o_{CL} \ A) \rangle$ **if** $\langle \text{partial-isometry } A \rangle$
by (*simp add: partial-isometry-square-proj that*)
next
show $\langle \text{partial-isometry } A \rangle$ **if** $\langle \text{is-Proj } (A^* \text{ } o_{CL} \ A) \rangle$
proof (*rule partial-isometryI*)
fix h
from *that* **have** $\langle \text{norm } (A^* \text{ } o_{CL} \ A) \leq 1 \rangle$
using *norm-is-Proj* **by** *blast*
then have *normA*: $\langle \text{norm } A \leq 1 \rangle$ **and** *normAadj*: $\langle \text{norm } (A^*) \leq 1 \rangle$
by (*simp-all add: norm-AadjA abs-square-le-1*)
assume $\langle h \in \text{space-as-set } (- \text{kernel } A) \rangle$
also have $\langle \dots = \text{space-as-set } (- \text{kernel } (A^* \text{ } o_{CL} \ A)) \rangle$
by (*metis (no-types, lifting) abs-opI is-Proj-algebraic kernel-abs-op positive-cblinfun-squareI*)

that)

also have $\langle \dots = \text{space-as-set } ((A *_{o_{CL}} A) *_{S} \top) \rangle$
by (*simp add: kernel-compl-adj-range*)
finally have $\langle A *_{V} A *_{V} h = h \rangle$
by (*metis Proj-fixes-image Proj-on-own-range that cblinfun-apply-cblinfun-compose*)
then have $\langle \text{norm } h = \text{norm } (A *_{V} A *_{V} h) \rangle$
by *simp*
also have $\langle \dots \leq \text{norm } (A *_{V} h) \rangle$
by (*smt (verit) normAadj mult-left-le-one-le norm-cblinfun norm-ge-zero*)
also have $\langle \dots \leq \text{norm } h \rangle$
by (*smt (verit) normA mult-left-le-one-le norm-cblinfun norm-ge-zero*)
ultimately show $\langle \text{norm } (A *_{V} h) = \text{norm } h \rangle$
by *simp*

qed

qed

lemma *abs-op-square*: $\langle (\text{abs-op } A) *_{o_{CL}} \text{abs-op } A = A *_{o_{CL}} A \rangle$

by (*simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI*)

lemma *polar-decomposition-0*[*simp*]: $\langle \text{polar-decomposition } 0 = (0 :: 'a::\text{chilbert-space} \Rightarrow_{o_{CL}} 'b::\text{chilbert-space}) \rangle$

proof –

have $\langle \text{polar-decomposition } (0 :: 'a::\text{chilbert-space} \Rightarrow_{o_{CL}} 'b::\text{chilbert-space}) *_{S} \top = 0 *_{S} \top \rangle$

by (*simp add: polar-decomposition-final-space*)

then show *?thesis*

by *simp*

qed

lemma *polar-decomposition-unique*:

fixes $A :: 'a::\text{chilbert-space} \Rightarrow_{o_{CL}} 'b::\text{chilbert-space}$

assumes *ker*: $\langle \text{kernel } X = \text{kernel } A \rangle$

assumes *comp*: $\langle X *_{o_{CL}} \text{abs-op } A = A \rangle$

shows $\langle X = \text{polar-decomposition } A \rangle$

proof –

have $\langle X \psi = \text{polar-decomposition } A \psi \rangle$ **if** $\langle \psi \in \text{space-as-set } (\text{kernel } A) \rangle$ **for** ψ

proof –

have $\langle \psi \in \text{space-as-set } (\text{kernel } X) \rangle$

by (*simp add: ker that*)

then have $\langle X \psi = 0 \rangle$

by (*simp add: kernel.rep-eq*)

moreover

have $\langle \psi \in \text{space-as-set } (\text{kernel } (\text{polar-decomposition } A)) \rangle$

by (*simp add: polar-decomposition-initial-space that*)

then have $\langle \text{polar-decomposition } A \psi = 0 \rangle$

by (*simp add: kernel.rep-eq del: polar-decomposition-initial-space*)

ultimately show *?thesis*

by *simp*

qed

then have *1*: $\langle X *_{o_{CL}} \text{Proj } (\text{kernel } A) = \text{polar-decomposition } A *_{o_{CL}} \text{Proj } (\text{kernel } A) \rangle$

by (*metis assms(1) cblinfun-compose-Proj-kernel polar-decomposition-initial-space*)

have $*$: $\langle \text{abs-op } A *_{\mathcal{S}} \top = - \text{kernel } A \rangle$
by (*metis (mono-tags, opaque-lifting) abs-op-pos kernel-abs-op kernel-compl-adj-range ortho-involution positive-hermitianI*)

have $\langle X \text{ } o_{CL} \text{ abs-op } A = \text{polar-decomposition } A \text{ } o_{CL} \text{ abs-op } A \rangle$
by (*simp add: comp polar-decomposition-correct*)
then have $\langle X \psi = \text{polar-decomposition } A \psi \rangle$ **if** $\langle \psi \in \text{space-as-set } (\text{abs-op } A *_{\mathcal{S}} \top) \rangle$ **for** ψ
by (*simp add: cblinfun-same-on-image that*)
then have 2 : $\langle X \text{ } o_{CL} \text{ Proj } (- \text{kernel } A) = \text{polar-decomposition } A \text{ } o_{CL} \text{ Proj } (- \text{kernel } A) \rangle$
using $*$
by (*metis (no-types, opaque-lifting) Proj-idempotent cblinfun-eqI lift-cblinfun-comp(4) norm-Proj-apply*)
from $1 \ 2$ **have** $\langle X \text{ } o_{CL} \text{ Proj } (- \text{kernel } A) + X \text{ } o_{CL} \text{ Proj } (\text{kernel } A)$
 $= \text{polar-decomposition } A \text{ } o_{CL} \text{ Proj } (- \text{kernel } A) + \text{polar-decomposition } A \text{ } o_{CL} \text{ Proj } (\text{kernel } A) \rangle$
by *simp*
then show *?thesis*
by (*simp add: Proj-ortho-compl flip: cblinfun-compose-add-right*)

qed

lemma *norm-cblinfun-mono*:

— Would logically belong in *Complex-Bounded-Operators.Complex-Bounded-Linear-Function* but uses *sqr-op* from this theory in the proof.

fixes $A \ B :: \langle 'a::\text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
assumes $\langle A \geq 0 \rangle$
assumes $\langle A \leq B \rangle$
shows $\langle \text{norm } A \leq \text{norm } B \rangle$

proof —

have $\langle B \geq 0 \rangle$
using *assms by force*
have *sqrA*: $\langle (\text{sqr-op } A) * o_{CL} \text{ sqr-op } A = A \rangle$
by (*simp add: \langle A \geq 0 \rangle positive-hermitianI*)
have *sqrB*: $\langle (\text{sqr-op } B) * o_{CL} \text{ sqr-op } B = B \rangle$
by (*simp add: \langle B \geq 0 \rangle positive-hermitianI*)
have $\langle \text{norm } (\text{sqr-op } A \psi) \leq \text{norm } (\text{sqr-op } B \psi) \rangle$ **for** ψ
apply (*auto intro!: cnorm-le[THEN iffD2]*)
simp: sqrA sqrB
simp flip: cinner-adj-right cblinfun-apply-cblinfun-compose
using *assms less-eq-cblinfun-def by auto*
then have $\langle \text{norm } (\text{sqr-op } A) \leq \text{norm } (\text{sqr-op } B) \rangle$
by (*meson dual-order.trans norm-cblinfun norm-cblinfun-bound norm-ge-zero*)
moreover have $\langle \text{norm } A = (\text{norm } (\text{sqr-op } A))^2 \rangle$
by (*metis norm-AadjA sqrA*)
moreover have $\langle \text{norm } B = (\text{norm } (\text{sqr-op } B))^2 \rangle$
by (*metis norm-AadjA sqrB*)
ultimately show $\langle \text{norm } A \leq \text{norm } B \rangle$
by *force*

qed

lemma *sandwich-mono*: $\langle \text{sandwich } A \ B \leq \text{sandwich } A \ C \rangle$ **if** $\langle B \leq C \rangle$

by (metis cblinfun.real.diff-right diff-ge-0-iff-ge sandwich-pos that)

lemma *sums-pos-cblinfun*:

fixes $f :: \text{nat} \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $\langle f \text{ sums } a \rangle$
assumes $\langle \bigwedge n. f\ n \geq 0 \rangle$
shows $a \geq 0$
apply (rule *sums-mono-cblinfun*[**where** $f = \langle \lambda -. 0 \rangle$ **and** $g = f$])
using *assms* **by** *auto*

lemma *has-sum-mono-cblinfun*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes ($f \text{ has-sum } x$) A **and** ($g \text{ has-sum } y$) A
assumes $\langle \bigwedge x. x \in A \implies f\ x \leq g\ x \rangle$
shows $x \leq y$
using *assms* *has-sum-mono-neutral-cblinfun* **by** *force*

lemma *infsun-mono-cblinfun*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $f \text{ summable-on } A$ **and** $g \text{ summable-on } A$
assumes $\langle \bigwedge x. x \in A \implies f\ x \leq g\ x \rangle$
shows $\text{infsun } f\ A \leq \text{infsun } g\ A$
by (*meson* *assms* *has-sum-infsun* *has-sum-mono-cblinfun*)

lemma *suminf-mono-cblinfun*:

fixes $f :: \text{nat} \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $\text{summable } f$ **and** $\text{summable } g$
assumes $\langle \bigwedge x. f\ x \leq g\ x \rangle$
shows $\text{suminf } f \leq \text{suminf } g$
using *assms* *sums-mono-cblinfun* **by** *blast*

lemma *suminf-pos-cblinfun*:

fixes $f :: \text{nat} \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $\langle \text{summable } f \rangle$
assumes $\langle \bigwedge x. f\ x \geq 0 \rangle$
shows $\text{suminf } f \geq 0$
using *assms* *sums-mono-cblinfun* **by** *blast*

lemma *infsun-mono-neutral-cblinfun*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes $f \text{ summable-on } A$ **and** $g \text{ summable-on } B$
assumes $\langle \bigwedge x. x \in A \cap B \implies f\ x \leq g\ x \rangle$
assumes $\langle \bigwedge x. x \in A - B \implies f\ x \leq 0 \rangle$
assumes $\langle \bigwedge x. x \in B - A \implies g\ x \geq 0 \rangle$
shows $\text{infsun } f\ A \leq \text{infsun } g\ B$
by (*smt* (*verit*, *del-insts*) *assms*(1) *assms*(2) *assms*(3) *assms*(4) *assms*(5) *has-sum-infsun* *has-sum-mono-neutral-cblinfun*)

```

lemma abs-op-geq: ⟨abs-op a ≥ a⟩ if ⟨selfadjoint a⟩
proof -
  define A P where ⟨A = abs-op a⟩ and ⟨P = Proj (kernel (A + a))⟩
  from that have [simp]: ⟨a* = a⟩
  by (simp add: selfadjoint-def)
  have [simp]: ⟨A ≥ 0⟩
  by (simp add: A-def)
  then have [simp]: ⟨A* = A⟩
  using positive-hermitianI by fastforce
  have aa-AA: ⟨a oCL a = A oCL A⟩
  by (metis A-def ⟨A* = A⟩ abs-op-square that selfadjoint-def)
  have [simp]: ⟨P* = P⟩
  by (simp add: P-def adj-Proj)
  have Aa-aA: ⟨A oCL a = a oCL A⟩
  by (metis (full-types) A-def lift-cblinfun-comp(2) abs-op-def positive-cblinfun-squareI sqrt-op-commute
  that selfadjoint-def)

  have ⟨(A-a) ψ •C (A+a) φ = 0⟩ for φ ψ
  by (simp add: adj-minus that ⟨A* = A⟩ aa-AA Aa-aA cblinfun-compose-add-right cblin-
  fun-compose-minus-left
  flip: cinner-adj-right cblinfun-apply-cblinfun-compose)
  then have ⟨(A-a) ψ ∈ space-as-set (kernel (A+a))⟩ for ψ
  by (metis ⟨A* = A⟩ adj-plus call-zero-iff cinner-adj-left kernel-memberI that selfadjoint-def)
  then have P-fix: ⟨P oCL (A-a) = (A-a)⟩
  by (simp add: P-def Proj-fixes-image cblinfun-eqI)
  then have ⟨P oCL (A-a) oCL P = (A-a) oCL P⟩
  by simp
  also have ⟨... = (P oCL (A-a))*⟩
  by (simp add: adj-minus ⟨A* = A⟩ that ⟨P* = P⟩)
  also have ⟨... = (A-a)*⟩
  by (simp add: P-fix)
  also have ⟨... = A-a⟩
  by (simp add: ⟨A* = A⟩ that adj-minus)
  finally have 1: ⟨P oCL (A - a) oCL P = A - a⟩
  by -
  have 2: ⟨P oCL (A + a) oCL P = 0⟩
  by (simp add: P-def cblinfun-compose-assoc)
  have ⟨A - a = P oCL (A - a) oCL P + P oCL (A + a) oCL P⟩
  by (simp add: 1 2)
  also have ⟨... = sandwich P (2 *C A)⟩
  by (simp add: sandwich-apply cblinfun-compose-minus-left cblinfun-compose-minus-right
  cblinfun-compose-add-left cblinfun-compose-add-right scaleC-2 ⟨P* = P⟩)
  also have ⟨... ≥ 0⟩
  by (auto intro!: sandwich-pos scaleC-nonneg-nonneg simp: less-eq-complex-def)
  finally show ⟨A ≥ a⟩
  by auto
qed

```

```

lemma abs-op-geq-neq: ⟨abs-op a ≥ - a⟩ if ⟨selfadjoint a⟩

```

by (metis abs-op-geq abs-op-uminus adj-uminus that selfadjoint-def)

lemma *infsun-nonneg-cblinfun*:
fixes $f :: 'a \Rightarrow 'b::\text{hilbert-space} \Rightarrow_{CL} 'b$
assumes $\bigwedge x. x \in M \implies 0 \leq f x$
shows $\text{infsun } f M \geq 0$
apply (cases $\langle f \text{ summable-on } M \rangle$)
apply (subst *infsun-0-simp*[*symmetric*])
apply (rule *infsun-mono-cblinfun*)
using *assms* **by** (auto *simp: infsun-not-exists*)

lemma *adj-abs-op*[*simp*]: $\langle (\text{abs-op } a)^* = \text{abs-op } a \rangle$
by (*simp add: positive-hermitianI*)

lemma *cblinfun-image-less-eqI*:
fixes $A :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$
assumes $\langle \bigwedge h. h \in \text{space-as-set } S \implies A h \in \text{space-as-set } T \rangle$
shows $\langle A *_S S \leq T \rangle$
proof –
from *assms* **have** $\langle A ' \text{space-as-set } S \subseteq \text{space-as-set } T \rangle$
by *blast*
then **have** $\langle \text{closure } (A ' \text{space-as-set } S) \subseteq \text{closure } (\text{space-as-set } T) \rangle$
by (rule *closure-mono*)
also **have** $\langle \dots = \text{space-as-set } T \rangle$
by *force*
finally **show** *?thesis*
apply (*transfer fixing: A*)
by (*simp add: cblinfun-image.rep-eq cccsubspace-leI*)
qed

lemma *abs-op-plus-orthogonal*:
assumes $\langle a^* o_{CL} b = 0 \rangle$ **and** $\langle a o_{CL} b^* = 0 \rangle$
shows $\langle \text{abs-op } (a + b) = \text{abs-op } a + \text{abs-op } b \rangle$
proof (rule *abs-opI*[*symmetric*])
have *ba*: $\langle b^* o_{CL} a = 0 \rangle$
apply (rule *cblinfun-eqI*, rule *cinner-extensionality*)
apply (*simp add: cinner-adj-right flip: cinner-adj-left*)
by (*simp add: assms simp-a-oCL-b'*)
have *abs-ab*: $\langle \text{abs-op } a o_{CL} \text{abs-op } b = 0 \rangle$
proof –
have $\langle \text{abs-op } b *_S \top = - \text{kernel } (\text{abs-op } b) \rangle$
by (*simp add: kernel-compl-adj-range positive-hermitianI*)
also **have** $\langle \dots = - \text{kernel } b \rangle$
by *simp*
also **have** $\langle \dots = (b^*) *_S \top \rangle$
by (*simp add: kernel-compl-adj-range*)
also **have** $\langle \dots \leq \text{kernel } a \rangle$

apply (*auto intro!*: *cblinfun-image-less-eqI kernel-memberI simp*:)
by (*simp add: assms flip: cblinfun-apply-cblinfun-compose*)
also have $\langle \dots = \text{kernel } (\text{abs-op } a) \rangle$
by *simp*
finally show $\langle \text{abs-op } a \text{ } o_{CL} \text{ abs-op } b = 0 \rangle$
by (*metis Proj-compose-cancelI cblinfun-compose-Proj-kernel cblinfun-compose-assoc cblinfun-compose-zero-left*)
qed
then have *abs-ba*: $\langle \text{abs-op } b \text{ } o_{CL} \text{ abs-op } a = 0 \rangle$
by (*metis abs-op-pos adj-0 adj-cblinfun-compose positive-hermitianI*)
have $\langle (a + b)^* \text{ } o_{CL} (a + b) = (a^*) \text{ } o_{CL} a + (b^*) \text{ } o_{CL} b \rangle$
by (*simp add: cblinfun-compose-add-left cblinfun-compose-add-right adj-plus assms ba*)
also have $\langle \dots = (\text{abs-op } a + \text{abs-op } b)^* \text{ } o_{CL} (\text{abs-op } a + \text{abs-op } b) \rangle$
by (*simp add: cblinfun-compose-add-left cblinfun-compose-add-right adj-plus abs-ab abs-ba flip: abs-op-square*)
finally show $\langle (\text{abs-op } a + \text{abs-op } b)^* \text{ } o_{CL} (\text{abs-op } a + \text{abs-op } b) = (a + b)^* \text{ } o_{CL} (a + b) \rangle$
by *simp*
show $\langle 0 \leq \text{abs-op } a + \text{abs-op } b \rangle$
by *simp*
qed

definition *pos-op* :: $\langle 'a::\text{chilbert-space} \Rightarrow_{CL} 'a \Rightarrow 'a \Rightarrow_{CL} 'a \rangle$ **where**
 $\langle \text{pos-op } a = (\text{abs-op } a + a) /_R 2 \rangle$

definition *neg-op* :: $\langle 'a::\text{chilbert-space} \Rightarrow_{CL} 'a \Rightarrow 'a \Rightarrow_{CL} 'a \rangle$ **where**
 $\langle \text{neg-op } a = (\text{abs-op } a - a) /_R 2 \rangle$

lemma *pos-op-pos*:
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{pos-op } a \geq 0 \rangle$
using *abs-op-geq-neq[OF assms]*
apply (*simp add: pos-op-def*)
by (*smt (verit, best) add-le-cancel-right more-arith-simps(3) scaleR-nonneg-nonneg zero-le-divide-iff*)

lemma *neg-op-pos*:
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{neg-op } a \geq 0 \rangle$
using *abs-op-geq[OF assms]*
by (*simp add: neg-op-def scaleR-nonneg-nonneg*)

lemma *pos-op-neg-op-ortho*:
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{pos-op } a \text{ } o_{CL} \text{ neg-op } a = 0 \rangle$
apply (*auto intro!: simp: pos-op-def neg-op-def cblinfun-compose-add-left cblinfun-compose-minus-right*)
by (*metis (no-types, opaque-lifting) Groups.add-ac(2) abs-op-def abs-op-pos abs-op-square assms cblinfun-assoc-left(1) positive-cblinfun-squareI positive-hermitianI selfadjoint-def sqrt-op-commute*)

lemma *pos-op-plus-neg-op*: $\langle \text{pos-op } a + \text{neg-op } a = \text{abs-op } a \rangle$
by (*simp add: pos-op-def neg-op-def scaleR-diff-right scaleR-add-right pth-8*)

lemma *pos-op-minus-neg-op*: $\langle \text{pos-op } a - \text{neg-op } a = a \rangle$
by (*simp add: pos-op-def neg-op-def scaleR-diff-right scaleR-add-right pth-8*)

lemma *pos-op-neg-op-unique*:
assumes *bca*: $\langle b - c = a \rangle$
assumes $\langle b \geq 0 \rangle$ **and** $\langle c \geq 0 \rangle$
assumes *bc*: $\langle b \text{ } o_{CL} \text{ } c = 0 \rangle$
shows $\langle b = \text{pos-op } a \rangle$ **and** $\langle c = \text{neg-op } a \rangle$

proof –
from *bc* **have** *cb*: $\langle c \text{ } o_{CL} \text{ } b = 0 \rangle$
by (*metis adj-0 adj-cblinfun-compose assms(2) assms(3) positive-hermitianI*)
from $\langle b \geq 0 \rangle$ **have** [*simp*]: $\langle b^* = b \rangle$
by (*simp add: positive-hermitianI*)
from $\langle c \geq 0 \rangle$ **have** [*simp*]: $\langle c^* = c \rangle$
by (*simp add: positive-hermitianI*)
have *bc-abs*: $\langle b + c = \text{abs-op } a \rangle$
proof –
have $\langle (b + c)^* \text{ } o_{CL} \text{ } (b + c) = b \text{ } o_{CL} \text{ } b + c \text{ } o_{CL} \text{ } c \rangle$
by (*simp add: cblinfun-compose-add-left cblinfun-compose-add-right bc cb adj-plus*)
also have $\langle \dots = (b - c)^* \text{ } o_{CL} \text{ } (b - c) \rangle$
by (*simp add: cblinfun-compose-minus-left cblinfun-compose-minus-right bc cb adj-minus*)
also from *bca* **have** $\langle \dots = a^* \text{ } o_{CL} \text{ } a \rangle$
by *blast*
finally show *?thesis*
apply (*rule abs-opI*)
by (*simp add: \langle b \geq 0 \rangle \langle c \geq 0 \rangle*)
qed
from *arg-cong2[OF bca bc-abs, of plus]*
arg-cong2[OF pos-op-minus-neg-op[of a] pos-op-plus-neg-op[of a], of plus, symmetric]
show $\langle b = \text{pos-op } a \rangle$
by (*simp flip: scaleR-2*)
from *arg-cong2[OF bc-abs bca, of minus]*
arg-cong2[OF pos-op-plus-neg-op[of a] pos-op-minus-neg-op[of a], of minus, symmetric]
show $\langle c = \text{neg-op } a \rangle$
by (*simp flip: scaleR-2*)
qed

lemma *pos-imp-selfadjoint*: $\langle a \geq 0 \implies \text{selfadjoint } a \rangle$
using *positive-hermitianI selfadjoint-def* **by** *blast*

lemma *abs-op-one-dim*: $\langle \text{abs-op } x = \text{one-dim-iso } (\text{abs } (\text{one-dim-iso } x :: \text{complex})) \rangle$
by (*metis (mono-tags, lifting) abs-opI abs-op-scaleC of-complex-def one-cblinfun-adj one-comp-one-cblinfun one-dim-iso-is-of-complex one-dim-iso-of-one one-dim-iso-of-zero one-dim-loewner-order one-dim-scaleC-1*)

zero-less-one-class.zero-le-one)

lemma *pos-selfadjoint*: $\langle \text{selfadjoint } a \rangle$ **if** $\langle a \geq 0 \rangle$
using *adj-0 comparable-hermitean selfadjoint-def that by blast*

lemma *one-dim-loewner-order-strict*: $\langle A > B \longleftrightarrow \text{one-dim-iso } A > (\text{one-dim-iso } B :: \text{complex}) \rangle$
for $A B :: \langle 'a \Rightarrow_{CL} 'a :: \{\text{chilbert-space, one-dim}\} \rangle$
by (*auto simp: less-cblinfun-def one-dim-loewner-order*)

lemma *one-dim-cblinfun-zero-le-one*: $\langle 0 < (1 :: 'a :: \text{one-dim} \Rightarrow_{CL} 'a) \rangle$
by (*simp add: one-dim-loewner-order-strict*)

lemma *one-dim-cblinfun-one-pos*: $\langle 0 \leq (1 :: 'a :: \text{one-dim} \Rightarrow_{CL} 'a) \rangle$
by (*simp add: one-dim-loewner-order*)

lemma *Proj-pos[iff]*: $\langle \text{Proj } S \geq 0 \rangle$
apply (*rule positive-cblinfun-squareI[where B= $\langle \text{Proj } S \rangle$]*)
by (*simp add: adj-Proj*)

lemma *abs-op-Proj[simp]*: $\langle \text{abs-op } (\text{Proj } S) = \text{Proj } S \rangle$
by (*simp add: abs-op-id-on-pos*)

lemma *diagonal-operator-pos*:
assumes $\langle \bigwedge x. f x \geq 0 \rangle$
shows $\langle \text{diagonal-operator } f \geq 0 \rangle$
proof (*cases* $\langle \text{bdd-above } (\text{range } (\lambda x. \text{cmod } (f x))) \rangle$)
case *True*
have [*simp*]: $\langle \text{csqrt } (f x) = \text{sqrt } (\text{cmod } (f x)) \rangle$ **for** x
by (*simp add: Extra-Ordered-Fields.complex-of-real-cmod assms abs-pos of-real-sqrt*)
have *bdd*: $\langle \text{bdd-above } (\text{range } (\lambda x. \text{sqrt } (\text{cmod } (f x)))) \rangle$
proof –
from *True* **obtain** B **where** $\langle \text{cmod } (f x) \leq B \rangle$ **for** x
by (*auto simp: bdd-above-def*)
then show *?thesis*
by (*auto intro!: bdd-aboveI[where M= $\langle \text{sqrt } B \rangle$] simp:)
qed
show *?thesis*
apply (*rule positive-cblinfun-squareI[where B= $\langle \text{diagonal-operator } (\lambda x. \text{csqrt } (f x)) \rangle$]*)
by (*simp add: assms diagonal-operator-adj diagonal-operator-comp bdd complex-of-real-cmod abs-pos flip: of-real-mult*)
next
case *False*
then show *?thesis*
by (*simp add: diagonal-operator-invalid*)
qed*

```

lemma abs-op-diagonal-operator:
  ⟨abs-op (diagonal-operator f) = diagonal-operator (λx. abs (f x))⟩
proof (cases ⟨bdd-above (range (λx. cmod (f x)))⟩)
  case True
    show ?thesis
      apply (rule abs-opI[symmetric])
      by (auto intro!: diagonal-operator-pos abs-nn simp: True diagonal-operator-adj diagonal-operator-comp
cnj-x-x)
  next
    case False
      then show ?thesis
        by (simp add: diagonal-operator-invalid)
qed

```

end

5 *HS2Ell2* – Representing any Hilbert space as $\ell_2(X)$

theory *HS2Ell2*

imports *Complex-Bounded-Operators*.*Complex-L2* *Misc-Tensor-Product-BO*
begin

unbundle *cblinfun-notation*

typedef (**overloaded**) '*a*::⟨{*chilbert-space*, *not-singleton*}⟩ *chilbert2ell2* = ⟨*some-chilbert-basis*
 :: '*a* *set*⟩
using *some-chilbert-basis-nonempty* **by** *auto*

definition *ell2-to-hilbert* **where** ⟨*ell2-to-hilbert* = *cblinfun-extension* (*range* *ket*) (*Rep-chilbert2ell2*
o inv *ket*)⟩

lemma *ell2-to-hilbert-ket*: ⟨*ell2-to-hilbert* *_V *ket* *x* = *Rep-chilbert2ell2* *x*⟩

proof –

have ⟨*cblinfun-extension-exists* (*range* *ket*) (*Rep-chilbert2ell2* *o inv* *ket*)⟩

proof (*rule* *cblinfun-extension-exists-ortho*[**where** *B=1*])

fix *x* *y* :: '*b* *chilbert2ell2* *ell2*

assume *x* ∈ *range* *ket* *y* ∈ *range* *ket* *x* ≠ *y*

then obtain *x'* *y'* **where** *x'-y'*: *x* = *ket* *x'* *y* = *ket* *y'* *x'* ≠ *y'*

by *auto*

have *is-orthogonal* (*Rep-chilbert2ell2* *x'*) (*Rep-chilbert2ell2* *y'*)

by (*meson* *Rep-chilbert2ell2* *Rep-chilbert2ell2-inject* ⟨*x'* ≠ *y'*⟩ *is-ortho-set-def* *is-ortho-set-some-chilbert-basis*)

thus *is-orthogonal* ((*Rep-chilbert2ell2* *o inv* *ket*) *x*) ((*Rep-chilbert2ell2* *o inv* *ket*) *y*)

using *x'-y'* **by** *auto*

qed (*auto* *simp*: *Rep-chilbert2ell2* *is-normal-some-chilbert-basis*)

from *cblinfun-extension-apply*[*OF* *this*]

have *cblinfun-extension* (*range* *ket*) (*Rep-chilbert2ell2* *o inv* *ket*) *_V (*ket* *x*) =

```

      (Rep-chilbert2ell2 ∘ inv ket) (ket x)
    by blast
  thus ?thesis
    by (simp add: ell2-to-hilbert-def)
qed

lemma norm-ell2-to-hilbert: ⟨norm ell2-to-hilbert = 1⟩
proof (rule order.antisym)
  show ⟨norm ell2-to-hilbert ≤ 1⟩
    unfolding ell2-to-hilbert-def
  proof (rule cblinfun-extension-exists-ortho-norm[where B=1])
    fix x y :: 'b chilbert2ell2 ell2
    assume x ∈ range ket y ∈ range ket x ≠ y
    then obtain x' y' where x'-y': x = ket x' y = ket y' x' ≠ y'
      by auto
    have is-orthogonal (Rep-chilbert2ell2 x') (Rep-chilbert2ell2 y')
      by (meson Rep-chilbert2ell2 Rep-chilbert2ell2-inject ⟨x' ≠ y'⟩ is-ortho-set-def is-ortho-set-some-chilbert-basis)
    thus is-orthogonal ((Rep-chilbert2ell2 ∘ inv ket) x) ((Rep-chilbert2ell2 ∘ inv ket) y)
      using x'-y' by auto
  qed (auto simp: Rep-chilbert2ell2 is-normal-some-chilbert-basis)
  show ⟨norm ell2-to-hilbert ≥ 1⟩
    by (rule cblinfun-norm-geqI[where x=⟨ket undefined⟩])
      (auto simp: ell2-to-hilbert-ket Rep-chilbert2ell2 is-normal-some-chilbert-basis)
qed

lemma unitary-ell2-to-hilbert[simp]: ⟨unitary ell2-to-hilbert⟩
proof (rule surj-isometry-is-unitary)
  show ⟨isometry (ell2-to-hilbert :: 'a chilbert2ell2 ell2 ⇒CL -)⟩
  proof (rule orthogonal-on-basis-is-isometry)
    show ⟨ccspan (range ket) = top⟩
      by auto
    fix x y :: ⟨'a chilbert2ell2 ell2⟩
    assume ⟨x ∈ range ket⟩ ⟨y ∈ range ket⟩
    then obtain x' y' where [simp]: ⟨x = ket x'⟩ ⟨y = ket y'⟩
      by auto
    show ⟨(ell2-to-hilbert *V x) •C (ell2-to-hilbert *V y) = x •C y⟩
  proof (cases ⟨x'=y'⟩)
    case True
      hence Rep-chilbert2ell2 y' •C Rep-chilbert2ell2 y' = 1
        using Rep-chilbert2ell2 cnorm-eq-1 is-normal-some-chilbert-basis by blast
      then show ?thesis using True
        by (auto simp: ell2-to-hilbert-ket)
    next
      case False
        hence is-orthogonal (Rep-chilbert2ell2 x') (Rep-chilbert2ell2 y')
          by (metis Rep-chilbert2ell2 Rep-chilbert2ell2-inject is-ortho-set-def is-ortho-set-some-chilbert-basis)
        then show ?thesis
          using False by (auto simp: ell2-to-hilbert-ket cinner-ket)
  qed
qed

```


qed
have $\langle \text{cblinfun-apply ell2-to-hilbert } \text{' range ket } \supseteq \text{ some-hilbert-basis} \rangle$
by $(\text{metis Rep-chilbert2ell2-cases UNIV-I ell2-to-hilbert-ket image-eqI subsetI})$
then have $\langle \text{ell2-to-hilbert } *_{\mathcal{S}} \text{ top } \geq \text{ccspan some-hilbert-basis} \rangle$ **(is** $\langle - \geq \dots \rangle$ **)**
by $(\text{smt (verit, del-insts) cblinfun-image-ccspan ccspan-mono ccspan-range-ket})$
also have $\langle \dots = \text{top} \rangle$
by *simp*
finally show $\langle \text{ell2-to-hilbert } *_{\mathcal{S}} \text{ top} = \text{top} \rangle$
by $(\text{simp add: top.extremum-unique})$
qed

lemma *ell2-to-hilbert-adj-ket*: $\langle \text{ell2-to-hilbert} *_{\mathcal{V}} \psi = \text{ket (Abs-chilbert2ell2 } \psi) \rangle$ **if** $\langle \psi \in \text{some-hilbert-basis} \rangle$
using *ell2-to-hilbert-ket unitary-ell2-to-hilbert*
by $(\text{metis (no-types, lifting) cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply that type-definition.Abs-inverse type-definition-chilbert2ell2 unitaryD1})$

definition $\langle \text{cr-chilbert2ell2-ell2 } x \ y \longleftrightarrow \text{ell2-to-hilbert } *_{\mathcal{V}} \ x = y \rangle$

lemma *bi-unique-cr-chilbert2ell2-ell2*[*transfer-rule*]: $\langle \text{bi-unique cr-chilbert2ell2-ell2} \rangle$
by $(\text{metis (no-types, opaque-lifting) bi-unique-def cblinfun-apply-cblinfun-compose cr-chilbert2ell2-ell2-def id-cblinfun-apply unitaryD1 unitary-ell2-to-hilbert})$

lemma *bi-total-cr-chilbert2ell2-ell2*[*transfer-rule*]: $\langle \text{bi-total cr-chilbert2ell2-ell2} \rangle$
by $(\text{metis (no-types, opaque-lifting) bi-total-def cblinfun-apply-cblinfun-compose cr-chilbert2ell2-ell2-def id-cblinfun-apply unitaryD2 unitary-ell2-to-hilbert})$

named-theorems *c2l2l2*

lemma *c2l2l2-cinner*[*c2l2l2*]:
includes *lifting-syntax*
shows $\langle (\text{cr-chilbert2ell2-ell2} \implies \text{cr-chilbert2ell2-ell2} \implies (=)) \text{cinner cinner} \rangle$
proof –
have $*$: $\langle \text{ket } x \cdot_{\mathcal{C}} \text{ket } y = (\text{ell2-to-hilbert } *_{\mathcal{V}} \text{ket } x) \cdot_{\mathcal{C}} (\text{ell2-to-hilbert } *_{\mathcal{V}} \text{ket } y) \rangle$ **for** $x \ y :: \langle 'a \ \text{chilbert2ell2} \rangle$
by $(\text{metis Rep-chilbert2ell2 Rep-chilbert2ell2-inverse cinner-adj-right ell2-to-hilbert-adj-ket ell2-to-hilbert-ket})$
have $\langle x \cdot_{\mathcal{C}} y = (\text{ell2-to-hilbert } *_{\mathcal{V}} x) \cdot_{\mathcal{C}} (\text{ell2-to-hilbert } *_{\mathcal{V}} y) \rangle$ **for** $x \ y :: \langle 'a \ \text{chilbert2ell2} \ \text{ell2} \rangle$
apply $(\text{rule fun-cong[where } x=x])$
apply $(\text{rule bounded-antilinear-equal-ket})$
apply $(\text{intro bounded-linear-intros})$
apply $(\text{intro bounded-linear-intros})$
apply $(\text{rule fun-cong[where } x=y])$
apply $(\text{rule bounded-clinear-equal-ket})$
apply $(\text{intro bounded-linear-intros})$
apply $(\text{intro bounded-linear-intros})$
by $(\text{simp add: } *)$
then show *?thesis*
by $(\text{auto intro!: rel-funI simp: cr-chilbert2ell2-ell2-def})$

qed

```
lemma c2l2l2-norm[c2l2l2]:  
  includes lifting-syntax  
  shows ⟨(cr-chilbert2ell2-ell2 ==> (=)) norm norm⟩  
  apply (subst norm-eq-sqrt-cinner[abs-def])  
  apply (subst (2) norm-eq-sqrt-cinner[abs-def])  
  using c2l2l2-cinner[transfer-rule] apply fail?  
  by transfer-prover
```

```
lemma c2l2l2-scaleC[c2l2l2]:  
  includes lifting-syntax  
  shows ⟨((=) ==> cr-chilbert2ell2-ell2 ==> cr-chilbert2ell2-ell2) scaleC scaleC⟩  
proof –  
  have ⟨ell2-to-hilbert *V c *C x = c *C (ell2-to-hilbert *V x)⟩ for c and x :: ⟨'a chilbert2ell2  
ell2⟩  
  by (simp add: cblinfun.scaleC-right)  
  then show ?thesis  
  by (auto intro!: rel-funI simp: cr-chilbert2ell2-ell2-def)  
qed
```

```
lemma c2l2l2-zero[c2l2l2]:  
  includes lifting-syntax  
  shows ⟨cr-chilbert2ell2-ell2 0 0⟩  
  unfolding cr-chilbert2ell2-ell2-def by simp
```

```
lemma c2l2l2-is-ortho-set[c2l2l2]:  
  includes lifting-syntax  
  shows ⟨(rel-set cr-chilbert2ell2-ell2 ==> (=)) is-ortho-set (is-ortho-set :: 'a::{chilbert-space,not-singleton}  
set ⇒ bool)⟩  
  unfolding is-ortho-set-def  
  using c2l2l2[where 'a='a, transfer-rule] apply fail?  
  by transfer-prover
```

```
lemma c2l2l2-ccspan[c2l2l2]:  
  includes lifting-syntax  
  shows ⟨(rel-set cr-chilbert2ell2-ell2 ==> rel-ccsubspace cr-chilbert2ell2-ell2) ccspan ccspan⟩  
proof (rule rel-funI, rename-tac A B)  
  fix A and B :: ⟨'a set⟩  
  assume ⟨rel-set cr-chilbert2ell2-ell2 A B⟩  
  then have ⟨B = ell2-to-hilbert ' A⟩  
  by (metis (no-types, lifting) bi-unique-cr-chilbert2ell2-ell2 bi-unique-rel-set-lemma cr-chilbert2ell2-ell2-def  
image-cong)  
  then have ⟨space-as-set (ccspan B) = ell2-to-hilbert ' space-as-set (ccspan A)⟩  
  by (subst space-as-set-image-commute[where V=⟨ell2-to-hilbert*⟩])  
  (auto intro: unitaryD2 simp: cblinfun-image-ccspan)
```

```

then have ⟨rel-set cr-chilbert2ell2-ell2 (space-as-set (ccspan A)) (space-as-set (ccspan B))⟩
  by (smt (verit, best) cr-chilbert2ell2-ell2-def image-iff rel-setI)
then show ⟨rel-ccsubspace cr-chilbert2ell2-ell2 (ccspan A) (ccspan B)⟩
  by (simp add: rel-ccsubspace-def)
qed

```

```

lemma ell2-to-hilbert-adj-ell2-to-hilbert [simp]: ell2-to-hilbert* *V ell2-to-hilbert *V x = x
  using unitary-ell2-to-hilbert unfolding unitary-def
  by (metis cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply)

```

```

lemma ell2-to-hilbert-ell2-to-hilbert-adj [simp]: ell2-to-hilbert *V ell2-to-hilbert* *V x = x
  using unitary-ell2-to-hilbert unfolding unitary-def
  by (metis cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply)

```

```

lemma bi-total-rel-ccsubspace-cr-chilbert2ell2-ell2 [transfer-rule]:
  ⟨bi-total (rel-ccsubspace cr-chilbert2ell2-ell2)⟩
  apply (rule bi-totalI)
  subgoal
    by (rule left-total-rel-ccsubspace[where U=ell2-to-hilbert and V=⟨ell2-to-hilbert*⟩])
      (auto simp: cr-chilbert2ell2-ell2-def)[3]
  subgoal
    by (rule right-total-rel-ccsubspace[where U=⟨ell2-to-hilbert*⟩ and V=⟨ell2-to-hilbert⟩])
      (auto simp: cr-chilbert2ell2-ell2-def)
  done

```

```

lemma c2l2l2-top[c2l2l2]:
  includes lifting-syntax
  shows ⟨(rel-ccsubspace cr-chilbert2ell2-ell2) top top⟩
  unfolding rel-ccsubspace-def
  by (simp add: UNIV-transfer bi-total-cr-chilbert2ell2-ell2)

```

```

lemma c2l2l2-is-onb[c2l2l2]:
  includes lifting-syntax
  shows ⟨(rel-set cr-chilbert2ell2-ell2 == => (=)) is-onb is-onb⟩
  unfolding is-onb-def
  using c2l2l2[where 'a='a, transfer-rule] apply fail?
  by transfer-prover

```

```

unbundle no-cblinfun-notation

```

```

end

```

6 Weak-Operator-Topology – Weak operator topology on complex bounded operators

```

theory Weak-Operator-Topology
  imports Misc-Tensor-Product Strong-Operator-Topology Positive-Operators Wlog.Wlog

```

begin

unbundle *cblinfun-notation*

definition *cweak-operator-topology*::('a::complex-normed-vector \Rightarrow_{CL} 'b::complex-inner) topology
where *cweak-operator-topology* = *pullback-topology UNIV* ($\lambda a (x,y). \text{cinner } x (a *_V y)$) euclidean

lemma *cweak-operator-topology-topospace[simp]*:
topospace cweak-operator-topology = *UNIV*
unfolding *cweak-operator-topology-def topospace-pullback-topology topospace-euclidean* by *auto*

lemma *cweak-operator-topology-basis*:
fixes *f*::('a::complex-normed-vector \Rightarrow_{CL} 'b::complex-inner) and *U*::'i \Rightarrow complex set and
x::'i \Rightarrow 'b and *y*::'i \Rightarrow 'a
assumes *finite I* $\wedge i. i \in I \implies \text{open } (U i)$
shows *openin cweak-operator-topology* {*f*. $\forall i \in I. \text{cinner } (x i) (f *_V y i) \in U i$ }
proof –
have *open* {*g*::('b \times 'a) \Rightarrow complex}. $\forall i \in I. g (x i, y i) \in U i$
by (*rule product-topology-basis'[OF assms]*)
moreover have {*f*. $\forall i \in I. \text{cinner } (x i) (f *_V y i) \in U i$ }
= ($\lambda f (x,y). \text{cinner } x (f *_V y)$) – '... \cap *UNIV*
by *auto*
ultimately show ?thesis
unfolding *cweak-operator-topology-def* by (*subst openin-pullback-topology*) *auto*
qed

lemma *wot-weaker-than-sot*:
continuous-map cstrong-operator-topology cweak-operator-topology ($\lambda f. f$)
proof –
have *: $\langle \text{continuous-on UNIV } ((\lambda z. \text{cinner } x z) \circ (\lambda f. f y)) \rangle$ for *x*::'b and *y*::'a
apply (*rule continuous-on-compose*)
by (*auto intro: continuous-on-compose continuous-at-imp-continuous-on*)
have *: $\langle \text{continuous-map euclidean euclidean } (\lambda f (x::'b, y::'a). x \cdot_C f y) \rangle$
apply *simp*
apply (*rule continuous-on-coordinatewise-then-product*)
using * by *auto*
have *: $\langle \text{continuous-map } (\text{pullback-topology UNIV } (*_V) \text{ euclidean}) \text{ euclidean } ((\lambda f (x::'b, a::'a). x \cdot_C f a) \circ (*_V)) \rangle$
apply (*rule continuous-map-pullback*)
using * by *simp*
have *: $\langle \text{continuous-map } (\text{pullback-topology UNIV } (*_V) \text{ euclidean}) \text{ euclidean } ((\lambda a (x::'b, y::'a). x \cdot_C (a *_V y)) \circ (\lambda f. f)) \rangle$
apply (*subst asm-rl[of* $\langle ((\lambda a (x, y). x \cdot_C (a *_V y)) \circ (\lambda f. f)) = (\lambda f (a,b). \text{cinner } a (f b)) \circ (*_V) \rangle$)
using * by *auto*
show ?thesis
unfolding *cstrong-operator-topology-def cweak-operator-topology-def*
apply (*rule continuous-map-pullback'*)

using * **by** *auto*
qed

lemma *cweak-operator-topology-weaker-than-euclidean:*

continuous-map euclidean cweak-operator-topology ($\lambda f. f$)

by (*metis* (*mono-tags*, *lifting*) *continuous-map-compose continuous-map-eq cstrong-operator-topology-weaker-than-euclidean wot-weaker-than-sot o-def*)

lemma *cweak-operator-topology-cinner-continuous:*

continuous-map cweak-operator-topology euclidean ($\lambda f. \text{cinner } x (f *_{\mathbb{V}} y)$)

proof –

have *continuous-map cweak-operator-topology euclidean* ($(\lambda f. f (x,y)) \circ (\lambda a (x,y). \text{cinner } x (a *_{\mathbb{V}} y))$)

unfolding *cweak-operator-topology-def* **apply** (*rule continuous-map-pullback*)

using *continuous-on-product-coordinates* **by** *fastforce*

then show *?thesis* **unfolding** *comp-def* **by** *simp*

qed

lemma *continuous-on-cweak-operator-topo-iff-coordinatewise:*

continuous-map T cweak-operator-topology f

$\longleftrightarrow (\forall x y. \text{continuous-map } T \text{ euclidean } (\lambda z. \text{cinner } x (f z *_{\mathbb{V}} y)))$

proof (*intro iffI allI*)

fix *x::'c* **and** *y::'b*

assume *continuous-map T cweak-operator-topology f*

with *continuous-map-compose*[*OF this cweak-operator-topology-cinner-continuous*]

have *continuous-map T euclidean* ($(\lambda f. \text{cinner } x (f *_{\mathbb{V}} y)) \circ f$)

by *simp*

then show *continuous-map T euclidean* ($\lambda z. \text{cinner } x (f z *_{\mathbb{V}} y)$)

unfolding *comp-def* **by** *auto*

next

assume *: $\langle \forall x y. \text{continuous-map } T \text{ euclidean } (\lambda z. x \cdot_C (f z *_{\mathbb{V}} y)) \rangle$

then have *: *continuous-map T euclidean* ($(\lambda a (x,y). \text{cinner } x (a *_{\mathbb{V}} y)) \circ f$)

by (*auto simp flip: euclidean-product-topology*)

show *continuous-map T cweak-operator-topology f*

unfolding *cweak-operator-topology-def*

apply (*rule continuous-map-pullback'*)

by (*auto simp add: **)

qed

typedef (**overloaded**) (*'a','b*) *cblinfun-wot* = $\langle UNIV :: ('a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-inner}) \text{ set} \rangle ..$

setup-lifting *type-definition-cblinfun-wot*

instantiation *cblinfun-wot* :: (*complex-normed-vector, complex-inner*) *complex-vector* **begin**

lift-definition *scaleC-cblinfun-wot* :: $\langle \text{complex} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \rangle$

is $\langle \text{scaleC} \rangle .$

lift-definition *uminus-cblinfun-wot* :: $\langle ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \rangle$ **is** *uminus* .

lift-definition *zero-cblinfun-wot* :: $\langle ('a, 'b) \text{ cblinfun-wot} \rangle$ **is** *0* .

lift-definition *minus-cblinfun-wot* :: $\langle ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \rangle$ **is** *minus* .

lift-definition *plus-cblinfun-wot* :: $\langle ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \rangle$ **is** *plus* .

lift-definition *scaleR-cblinfun-wot* :: $\langle \text{real} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \Rightarrow ('a, 'b) \text{ cblinfun-wot} \rangle$ **is** *scaleR* .

instance

apply (*intro-classes; transfer*)

by (*auto simp add: scaleR-scaleC scaleC-add-right scaleC-add-left*)

end

instantiation *cblinfun-wot* :: (*complex-normed-vector, complex-inner*) *topological-space* **begin**

lift-definition *open-cblinfun-wot* :: $\langle ('a, 'b) \text{ cblinfun-wot set} \Rightarrow \text{bool} \rangle$ **is** $\langle \text{openin cweak-operator-topology} \rangle$

.

instance

proof *intro-classes*

show $\langle \text{open (UNIV :: ('a, 'b) cblinfun-wot set)} \rangle$

apply *transfer*

by (*metis cweak-operator-topology-topspace openin-topspace*)

show $\langle \text{open } S \Longrightarrow \text{open } T \Longrightarrow \text{open } (S \cap T) \rangle$ **for** $S T :: \langle ('a, 'b) \text{ cblinfun-wot set} \rangle$

apply *transfer by auto*

show $\langle \forall S \in K. \text{open } S \Longrightarrow \text{open } (\bigcup K) \rangle$ **for** $K :: \langle ('a, 'b) \text{ cblinfun-wot set set} \rangle$

apply *transfer by auto*

qed

end

lemma *transfer-nhds-cweak-operator-topology[transfer-rule]*:

includes *lifting-syntax*

shows $\langle (\text{cr-cblinfun-wot} ==> \text{rel-filter cr-cblinfun-wot}) (\text{nhdsin cweak-operator-topology}) \text{nhds} \rangle$

unfolding *nhds-def nhdsin-def*

apply (*simp add: cweak-operator-topology-topspace*)

by *transfer-prover*

lemma *limitin-cweak-operator-topology*:

$\langle \text{limitin cweak-operator-topology } f \text{ l } F \longleftrightarrow (\forall a \ b. ((\lambda i. a \cdot_C (f \ i \ *_V \ b)) \longrightarrow a \cdot_C (l \ *_V \ b)) \text{ F}) \rangle$

by (*simp add: cweak-operator-topology-def limitin-pullback-topology tendsto-coordinatewise*)

lemma *filterlim-cweak-operator-topology*: $\langle \text{filterlim } f (\text{nhdsin cweak-operator-topology } l) = \text{limitin cweak-operator-topology } f \text{ l} \rangle$

by (*auto simp: cweak-operator-topology-topspace simp flip: filterlim-nhdsin-iff-limitin*)

instance *cblinfun-wot* :: (*complex-normed-vector, complex-inner*) *t2-space*

proof *intro-classes*

fix $a \ b :: \langle ('a, 'b) \text{ cblinfun-wot} \rangle$

```

show  $\langle a \neq b \implies \exists U V. \text{open } U \wedge \text{open } V \wedge a \in U \wedge b \in V \wedge U \cap V = \{\} \rangle$ 
proof transfer
  fix  $a b :: \langle 'a \Rightarrow_{CL} 'b \rangle$ 
  assume  $\langle a \neq b \rangle$ 
  then obtain  $\varphi \psi$  where  $\langle \varphi \cdot_C (a *_V \psi) \neq \varphi \cdot_C (b *_V \psi) \rangle$ 
    by (meson cblinfun-eqI cinner-extensionality)
  then obtain  $U' V'$  where  $\langle \text{open } U' \rangle \langle \text{open } V' \rangle$  and  $\text{in } U': \langle \varphi \cdot_C (a *_V \psi) \in U' \rangle$  and  $\text{in } V':$ 
 $\langle \varphi \cdot_C (b *_V \psi) \in V' \rangle$  and  $\langle U' \cap V' = \{\} \rangle$ 
    by (meson hausdorff)
  define  $U V :: \langle ('a \Rightarrow_{CL} 'b) \text{ set} \rangle$  where  $\langle U = \{f. \forall i \in \{\}\}. \varphi \cdot_C (f *_V \psi) \in U' \rangle$  and  $\langle V$ 
 $= \{f. \forall i \in \{\}\}. \varphi \cdot_C (f *_V \psi) \in V' \rangle$ 
  have  $\langle \text{openin } \text{cweak-operator-topology } U \rangle$ 
    unfolding  $U\text{-def}$  apply (rule cweak-operator-topology-basis)
    using  $\langle \text{open } U' \rangle$  by auto
  moreover have  $\langle \text{openin } \text{cweak-operator-topology } V \rangle$ 
    unfolding  $V\text{-def}$  apply (rule cweak-operator-topology-basis)
    using  $\langle \text{open } V' \rangle$  by auto
  ultimately show  $\langle \exists U V. \text{openin } \text{cweak-operator-topology } U \wedge \text{openin } \text{cweak-operator-topology}$ 
 $V \wedge a \in U \wedge b \in V \wedge U \cap V = \{\} \rangle$ 
    apply (rule-tac exI[of - U])
    apply (rule-tac exI[of - V])
    using  $\text{in } U' \text{ in } V' \langle U' \cap V' = \{\} \rangle$  by (auto simp: U-def V-def)
qed
qed

```

```

lemma Domainp-cr-cblinfun-wot[simp]:  $\langle \text{Domainp } \text{cr-cblinfun-wot} = (\lambda-. \text{True}) \rangle$ 
by (metis (no-types, opaque-lifting) DomainPI cblinfun-wot.left-total left-totalE)

```

```

lemma Rangep-cr-cblinfun-wot[simp]:  $\langle \text{Rangep } \text{cr-cblinfun-wot} = (\lambda-. \text{True}) \rangle$ 
by (meson RangePI cr-cblinfun-wot-def)

```

```

lemma transfer-euclidean-cweak-operator-topology[transfer-rule]:
  includes lifting-syntax
  shows  $\langle (\text{rel-topology } \text{cr-cblinfun-wot}) \text{ cweak-operator-topology euclidean} \rangle$ 
proof (unfold rel-topology-def, intro conjI allI impI)
  show  $\langle (\text{rel-set } \text{cr-cblinfun-wot} ==> (=)) (\text{openin } \text{cweak-operator-topology}) (\text{openin euclidean}) \rangle$ 
    apply (auto simp: rel-topology-def cr-cblinfun-wot-def rel-set-def intro!: rel-funI)
    apply transfer
    apply auto
    apply (meson openin-subopen subsetI)
    apply transfer
    apply auto
    by (meson openin-subopen subsetI)

```

```

next
  fix  $U :: \langle ('a \Rightarrow_{CL} 'b) \text{ set} \rangle$ 
  assume  $\langle \text{openin } \text{cweak-operator-topology } U \rangle$ 
  show  $\langle \text{Domainp } (\text{rel-set } \text{cr-cblinfun-wot}) U \rangle$ 
    by (simp add: Domainp-set)
next

```

```

fix U :: ⟨('a, 'b) cblinfun-wot set⟩
assume ⟨openin euclidean U⟩
show ⟨Rangep (rel-set cr-cblinfun-wot) U⟩
  by (simp add: Rangep-set)
qed

```

```

lemma openin-cweak-operator-topology: ⟨openin cweak-operator-topology U ⟷ (∃ V. open V
  ∧ U = (λa (x,y). cinner x (a *V y)) - ' V)⟩
  by (simp add: cweak-operator-topology-def openin-pullback-topology)

```

```

lemma cweak-operator-topology-plus-cont: ⟨LIM (x,y) nhdsin cweak-operator-topology a ×F nhdsin
  cweak-operator-topology b.
  x + y :> nhdsin cweak-operator-topology (a + b)⟩

```

```

proof -
  show ?thesis
  unfolding cweak-operator-topology-def
  apply (rule-tac pullback-topology-bi-cont[where f'=plus])
  by (auto simp: case-prod-unfold tendsto-add-Pair cinner-add-right cblinfun.add-left)
qed

```

```

instance cblinfun-wot :: (complex-normed-vector, complex-inner) topological-group-add

```

```

proof intro-classes

```

```

  show ⟨((λx. fst x + snd x) ⟶ a + b) (nhds a ×F nhds b)⟩ for a b :: ⟨('a,'b) cblinfun-wot⟩
  apply transfer
  using cweak-operator-topology-plus-cont
  by (auto simp: case-prod-unfold)

```

```

  have *: ⟨continuous-map cweak-operator-topology cweak-operator-topology uminus⟩
  apply (subst continuous-on-cweak-operator-topo-iff-coordinatewise)
  apply (rewrite at ⟨(λz. x •C (- z *V y))⟩ in ⟨∀ x y. ∃⟩ to ⟨(λz. - x •C (z *V y))⟩ DEA-
  DID.rel-mono-strong)
  by (auto simp: cweak-operator-topology-cinner-continuous cblinfun.minus-left cblinfun.minus-right)
  show ⟨(uminus ⟶ - a) (nhds a)⟩ for a :: ⟨('a,'b) cblinfun-wot⟩
  apply (subst tendsto-at-iff-tendsto-nhds[symmetric])
  apply (subst isCont-def[symmetric])
  apply (rule continuous-on-interior[where S=UNIV])
  apply (subst continuous-map-iff-continuous2[symmetric])
  apply transfer
  using * by auto

```

```

qed

```

```

lemma continuous-map-left-comp-wot:

```

```

  ⟨continuous-map cweak-operator-topology cweak-operator-topology (λa::'a::complex-normed-vector
  ⇒CL -. b oCL a)⟩

```

```

  for b :: ⟨'b::hilbert-space ⇒CL 'c::complex-inner⟩

```

```

proof -

```

```

  have **: ⟨((λf::'b × 'a ⇒ complex. f (b* *V x, y)) - ' B ∩ UNIV)
    = (PiE UNIV (λz. if z = (b* *V x, y) then B else UNIV))⟩
  for x :: 'c and y :: 'a and B :: ⟨complex set⟩

```



```

by (auto simp: PiE-def Pi-def)
have *: ⟨continuous-on UNIV (λf::'b × 'a ⇒ complex. f (b* *V x, y))⟩ for x y
unfolding continuous-on-open-vimage[OF open-UNIV]
apply (intro allI impI)
apply (subst **)
apply (rule open-PiE)
by auto
have *: ⟨continuous-on UNIV (λ(f::'b × 'a ⇒ complex) (x, y). f (b* *V x, y))⟩
apply (rule continuous-on-coordinatewise-then-product)
using * by auto
show ?thesis
unfolding cweak-operator-topology-def
apply (rule continuous-map-pullback')
apply (subst asm-rl[of ⟨((λ(a::'a ⇒CL 'c) (x, y). x •C (a *V y)) ∘ (oCL) b) = (λf (x,y). f
(b* *V x,y)) ∘ (λa (x, y). x •C (a *V y))⟩)'])
apply (auto intro!: ext simp: cinner-adj-left)[1]
apply (rule continuous-map-pullback)
using * by auto
qed

```

lemma *continuous-map-scaleC-wot*: ⟨continuous-map cweak-operator-topology cweak-operator-topology

```

(scaleC c :: ('a::complex-normed-vector ⇒CL 'b::chilbert-space) ⇒ -)⟩
apply (subst asm-rl[of ⟨scaleC c = (oCL) (c *C id-cblinfun)⟩])
apply auto[1]
by (rule continuous-map-left-comp-wot)

```

lemma *continuous-scaleC-wot*: ⟨continuous-on X (scaleC c :: (-::complex-normed-vector, -::chilbert-space) cblinfun-wot ⇒ -)⟩

```

apply (rule continuous-on-subset[rotated, where s=UNIV], simp)
apply (subst continuous-map-iff-continuous2[symmetric])
apply transfer
by (rule continuous-map-scaleC-wot)

```

lemma *wot-closure-is-csubspace*[simp]:

```

fixes A::('a::complex-normed-vector, 'b::chilbert-space) cblinfun-wot set
assumes ⟨csubspace A⟩
shows ⟨csubspace (closure A)⟩

```

proof (rule complex-vector.subspaceI)

```

include lattice-syntax

```

```

show 0: ⟨0 ∈ closure A⟩

```

```

by (simp add: assms closure-def complex-vector.subspace-0)

```

```

show ⟨x + y ∈ closure A⟩ if ⟨x ∈ closure A⟩ ⟨y ∈ closure A⟩ for x y

```

proof –

```

define FF where ⟨FF = ((nhds x ∩ principal A) ×F (nhds y ∩ principal A))⟩

```

```

have nt: ⟨FF ≠ bot⟩

```

```

by (simp add: prod-filter-eq-bot that(1) that(2) FF-def flip: closure-nhds-principal)

```

```

have ⟨∀F x in FF. fst x ∈ A⟩

```

```

unfolding FF-def

```

```

  by (smt (verit, ccfv-SIG) eventually-prod-filter fst-conv inf-sup-ord(2) le-principal)
moreover have  $\langle \forall_F x \text{ in } FF. \text{snd } x \in A \rangle$ 
  unfolding FF-def
  by (smt (verit, ccfv-SIG) eventually-prod-filter snd-conv inf-sup-ord(2) le-principal)
ultimately have FF-plus:  $\langle \forall_F x \text{ in } FF. \text{fst } x + \text{snd } x \in A \rangle$ 
  by (smt (verit, best) assms complex-vector.subspace-add eventually-elim2)

have  $\langle \text{fst} \longrightarrow x \rangle ((\text{nhds } x \sqcap \text{principal } A) \times_F (\text{nhds } y \sqcap \text{principal } A))$ 
  apply (simp add: filterlim-def)
  using filtermap-fst-prod-filter
  using le-inf-iff by blast
moreover have  $\langle \text{snd} \longrightarrow y \rangle ((\text{nhds } x \sqcap \text{principal } A) \times_F (\text{nhds } y \sqcap \text{principal } A))$ 
  apply (simp add: filterlim-def)
  using filtermap-snd-prod-filter
  using le-inf-iff by blast
ultimately have  $\langle \text{id} \longrightarrow (x,y) \rangle FF$ 
  by (simp add: filterlim-def nhds-prod prod-filter-mono FF-def)

moreover note tendsto-add-Pair[of  $x \ y$ ]
ultimately have  $\langle ((\lambda x. \text{fst } x + \text{snd } x) \circ \text{id}) \longrightarrow (\lambda x. \text{fst } x + \text{snd } x) (x,y) \rangle FF$ 
  unfolding filterlim-def nhds-prod
  by (smt (verit, best) filterlim-compose filterlim-def filterlim-filtermap fst-conv snd-conv
  tendsto-compose-filtermap)

  then have  $\langle (\lambda x. \text{fst } x + \text{snd } x) \longrightarrow (x+y) \rangle FF$ 
    by simp
  then show  $\langle x + y \in \text{closure } A \rangle$ 
    using nt FF-plus by (rule limit-in-closure)
qed
show  $\langle c *_C x \in \text{closure } A \rangle$  if  $\langle x \in \text{closure } A \rangle$  for  $x \ c$ 
  using that
  using image-closure-subset[where  $S=A$  and  $T=\langle \text{closure } A \rangle$  and  $f=\langle \text{scaleC } c \rangle$ , OF continuous-scaleC-wot]
  apply auto
  by (metis 0 assms closure-subset csubspace-scaleC-invariant imageI in-mono scaleC-eq-0-iff)
qed

lemma [transfer-rule]:
  includes lifting-syntax
  shows  $\langle (\text{rel-set cr-cblinfun-wot} ==> (=)) \text{ csubspace csubspace} \rangle$ 
  unfolding complex-vector.subspace-def
  by transfer-prover

lemma [transfer-rule]:
  includes lifting-syntax
  shows  $\langle (\text{rel-set cr-cblinfun-wot} ==> (=)) (\text{closedin cweak-operator-topology}) \text{ closed} \rangle$ 
  apply (simp add: closed-def[abs-def] closedin-def[abs-def] cweak-operator-topology-topospace
  Compl-eq-Diff-UNIV)

```

by *transfer-prover*

lemma [*transfer-rule*]:

includes *lifting-syntax*

shows $\langle \text{rel-set cr-cblinfun-wot} \implies \text{rel-set cr-cblinfun-wot} \rangle$ (*Abstract-Topology.closure-of-cweak-operator-topology*) *closure*

apply (*subst closure-of-hull*[**where** $X = \text{cweak-operator-topology, unfolded cweak-operator-topology-topospace, simplified, abs-def}$])

apply (*subst closure-hull*[*abs-def*])

unfolding *hull-def*

by *transfer-prover*

lemma *wot-closure-is-csubspace*[*simp*]:

fixes $A :: ('a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{chilbert-space}) \text{ set}$

assumes $\langle \text{csubspace } A \rangle$

shows $\langle \text{csubspace } (\text{cweak-operator-topology closure-of } A) \rangle$

using *wot-closure-is-csubspace*[*of* $\langle \text{Abs-cblinfun-wot ' A} \rangle$] *assms*

apply (*transfer fixing: A*)

by *simp*

lemma *has-sum-closed-cweak-operator-topology*:

fixes $A :: ('b :: \text{complex-normed-vector} \Rightarrow_{CL} 'c :: \text{complex-inner}) \text{ set}$

assumes $aA: \langle \bigwedge i. a \ i \in A \rangle$

assumes *closed*: $\langle \text{closedin cweak-operator-topology } A \rangle$

assumes *subspace*: $\langle \text{csubspace } A \rangle$

assumes *has-sum*: $\langle \bigwedge \varphi \ \psi. ((\lambda i. \varphi \cdot_C (a \ i \ *_V \ \psi)) \text{ has-sum } \varphi \cdot_C (b \ *_V \ \psi)) \ I \rangle$

shows $\langle b \in A \rangle$

proof –

have I : $\langle \text{range } (\text{sum } a) \subseteq A \rangle$

proof –

have $\langle \text{sum } a \ X \in A \rangle$ **for** X

apply (*induction X rule:infinite-finite-induct*)

by (*auto simp add: subspace complex-vector.subspace-0 aA complex-vector.subspace-add*)

then show *?thesis*

by *auto*

qed

from *has-sum*

have $\langle ((\lambda F. \sum i \in F. \varphi \cdot_C (a \ i \ *_V \ \psi)) \longrightarrow \varphi \cdot_C (b \ *_V \ \psi)) \text{ (finite-subsets-at-top } I) \rangle$ **for** $\psi \ \varphi$

using *has-sum-def* **by** *blast*

then have $\langle \text{limitin cweak-operator-topology } (\lambda F. \sum i \in F. a \ i) \ b \text{ (finite-subsets-at-top } I) \rangle$

by (*auto simp add: limitin-cweak-operator-topology cblinfun.sum-left cinner-sum-right*)

then show $\langle b \in A \rangle$

using I *closed* **apply** (*rule limitin-closedin*)

by *simp*

qed

lemma *limitin-adj-wot*:

assumes $\langle \text{limitin cweak-operator-topology } f \ l \ F \rangle$

shows $\langle \text{limitin cweak-operator-topology } (\lambda i. (f i)^*) (l^*) F \rangle$
proof –
from *assms*
have $\langle ((\lambda i. a \cdot_C (f i *_{*V} b)) \longrightarrow a \cdot_C (l *_{*V} b)) F \rangle$ **for** $a b$
by (*simp add: limitin-cweak-operator-topology*)
then have $\langle ((\lambda i. cnj (a \cdot_C (f i *_{*V} b))) \longrightarrow cnj (a \cdot_C (l *_{*V} b))) F \rangle$ **for** $a b$
using *tendsto-cnj by blast*
then have $\langle ((\lambda i. cnj (((f i)^* *_{*V} a) \cdot_C b)) \longrightarrow cnj ((l^* *_{*V} a) \cdot_C b)) F \rangle$ **for** $a b$
by (*simp add: cinner-adj-left*)
then have $\langle ((\lambda i. b \cdot_C ((f i)^* *_{*V} a)) \longrightarrow b \cdot_C (l^* *_{*V} a)) F \rangle$ **for** $a b$
by *simp*
then show *?thesis*
by (*simp add: limitin-cweak-operator-topology*)
qed

lemma *hausdorff-cweak-operator-topology[simp]*: $\langle \text{Hausdorff-space cweak-operator-topology} \rangle$
proof (*rule hausdorffI*)
fix $A B :: \langle 'a \Rightarrow_{CL} 'b \rangle$ **assume** $\langle A \neq B \rangle$
then obtain y **where** $\langle A *_{*V} y \neq B *_{*V} y \rangle$
by (*meson cblinfun-eqI*)
then obtain x **where** $\langle x \cdot_C (A *_{*V} y) \neq x \cdot_C (B *_{*V} y) \rangle$
using *cinner-extensionality by blast*
then obtain $U' V'$ **where** $\langle \text{open } U' \rangle \langle \text{open } V' \rangle$ **and** *inside*: $\langle x \cdot_C (A *_{*V} y) \in U' \rangle \langle x \cdot_C (B *_{*V} y) \in V' \rangle$ **and** *disj*: $\langle U' \cap V' = \{\} \rangle$
by (*meson separation-t2*)
define $U'' V''$ **where** $\langle U'' = Pi_E UNIV (\lambda i. \text{if } i=(x,y) \text{ then } U' \text{ else } UNIV) \rangle$ **and** $\langle V'' = Pi_E UNIV (\lambda i. \text{if } i=(x,y) \text{ then } V' \text{ else } UNIV) \rangle$
from $\langle \text{open } U' \rangle \langle \text{open } V' \rangle$
have $\langle \text{open } U'' \rangle$ **and** $\langle \text{open } V'' \rangle$
by (*auto simp: U''-def V''-def open-fun-def intro!: product-topology-basis*)
define $U V :: \langle 'a \Rightarrow_{CL} 'b \rangle \text{ set}$ **where** $\langle U = (\lambda A (x, y). x \cdot_C (A *_{*V} y)) - ' U'' \rangle$ **and** $\langle V = (\lambda A (x, y). x \cdot_C (A *_{*V} y)) - ' V'' \rangle$
have *openin*: $\langle \text{openin cweak-operator-topology } U \rangle \langle \text{openin cweak-operator-topology } V \rangle$
using *U-def V-def open U'' open V'' openin-cweak-operator-topology by blast+*
have $\langle A \in U \rangle \langle B \in V \rangle$
using *inside by (auto simp: U-def V-def U''-def V''-def)*
have $\langle U \cap V = \{\} \rangle$
using *disj apply (auto simp: U-def V-def U''-def V''-def PiE-def Pi-iff)*
by (*metis disjoint-iff*)
show $\langle \exists U V. \text{openin cweak-operator-topology } U \wedge \text{openin cweak-operator-topology } V \wedge A \in U \wedge B \in V \wedge U \cap V = \{\} \rangle$
using $\langle A \in U \rangle \langle B \in V \rangle \langle U \cap V = \{\} \rangle$ *openin by blast*
qed

lemma *hermitian-limit-hermitian-wot*:
assumes $\langle F \neq \text{bot} \rangle$
assumes *herm*: $\langle \bigwedge i. (a i)^* = a i \rangle$
assumes *lim*: $\langle \text{limitin cweak-operator-topology } a A F \rangle$
shows $\langle A^* = A \rangle$

using - - $\langle F \neq \text{bot} \rangle$ *hausdorff-cweak-operator-topology*
apply (rule *limitin-Hausdorff-unique*)
using *lim* **apply** (rule *limitin-adj-wot*)
unfolding *herm* **by** (fact *lim*)

lemma *wot-weaker-than-sot-openin*:

$\langle \text{openin } \text{cweak-operator-topology } x \implies \text{openin } \text{cstrong-operator-topology } x \rangle$
using *wot-weaker-than-sot* **unfolding** *continuous-map-def* **by** *auto*

lemma *wot-weaker-than-sot-limitin*: $\langle \text{limitin } \text{cweak-operator-topology } a \ A \ F \rangle$ **if** $\langle \text{limitin } \text{cstrong-operator-topology } a \ A \ F \rangle$

using *that* **unfolding** *filterlim-cweak-operator-topology[symmetric]* *filterlim-cstrong-operator-topology[symmetric]*
apply (rule *filterlim-mono*)
apply (rule *nhdsin-mono*)
by (*auto simp: wot-weaker-than-sot-openin*)

lemma *hermitian-limit-hermitian-sot*:

assumes $\langle F \neq \text{bot} \rangle$
assumes $\langle \bigwedge i. (a \ i)^* = a \ i \rangle$
assumes $\langle \text{limitin } \text{cstrong-operator-topology } a \ A \ F \rangle$
shows $\langle A^* = A \rangle$
using *assms(1,2)* **apply** (rule *hermitian-limit-hermitian-wot*[**where** $a=a$ and $F=F$])
using *assms(3)* **by** (rule *wot-weaker-than-sot-limitin*)

lemma *hermitian-sum-hermitian-sot*:

assumes *herm*: $\langle \bigwedge i. (a \ i)^* = a \ i \rangle$
assumes *sum*: $\langle \text{has-sum-in } \text{cstrong-operator-topology } a \ X \ A \rangle$
shows $\langle A^* = A \rangle$

proof -

from *herm* **have** *herm-sum*: $\langle (\text{sum } a \ F)^* = \text{sum } a \ F \rangle$ **for** F
by (*simp add: sum-adj*)
show ?thesis
using - *herm-sum* *sum*[*unfolded has-sum-in-def*]
apply (rule *hermitian-limit-hermitian-sot*)
by *simp*

qed

lemma *wot-is-norm-topology-findim*[*simp*]:

$\langle (\text{cweak-operator-topology} :: ('a :: \{\text{cfinite-dim}, \text{chilbert-space}\} \Rightarrow_{CL} 'b :: \{\text{cfinite-dim}, \text{chilbert-space}\})) \text{ topology} \rangle = \text{euclidean} \rangle$

proof -

have $\langle \text{continuous-map } \text{euclidean } \text{cweak-operator-topology } \text{id} \rangle$
by (*simp add: id-def cweak-operator-topology-weaker-than-euclidean*)
moreover **have** $\langle \text{continuous-map } \text{cweak-operator-topology } \text{euclidean } (\text{id} :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$
proof (rule *continuous-map-iff-preserves-convergence*)
fix l **and** $F :: \langle ('a \Rightarrow_{CL} 'b) \text{ filter} \rangle$
assume *lim-wot*: $\langle \text{limitin } \text{cweak-operator-topology } \text{id } l \ F \rangle$
obtain $A :: \langle 'a \text{ set} \rangle$ **where** $\langle \text{is-onb } A \rangle$

using *is-onb-some-chilbert-basis* **by** *blast*
then have *idA*: $\langle \text{id-cblinfun} = (\sum_{x \in A} \text{selfbutter } x) \rangle$
using *butterflies-sum-id-finite* **by** *blast*
obtain *B* :: $\langle 'b \text{ set} \rangle$ **where** $\langle \text{is-onb } B \rangle$
using *is-onb-some-chilbert-basis* **by** *blast*
then have *idB*: $\langle \text{id-cblinfun} = (\sum_{x \in B} \text{selfbutter } x) \rangle$
using *butterflies-sum-id-finite* **by** *blast*
from *lim-wot* **have** $\langle ((\lambda x. b \cdot_C (x *_V a)) \longrightarrow b \cdot_C (l *_V a)) F \rangle$ **for** *a b*
by (*simp add: limitin-cweak-operator-topology*)
then have $\langle ((\lambda x. (b \cdot_C (x *_V a)) *_C \text{butterfly } b \ a) \longrightarrow (b \cdot_C (l *_V a)) *_C \text{butterfly } b \ a) F \rangle$ **for** *a b*
by (*simp add: tendsto-scaleC*)
then have $\langle ((\lambda x. \text{selfbutter } b \ o_{CL} \ x \ o_{CL} \ \text{selfbutter } a) \longrightarrow (\text{selfbutter } b \ o_{CL} \ l \ o_{CL} \ \text{selfbutter } a)) F \rangle$ **for** *a b*
by (*simp add: cblinfun-comp-butterfly*)
then have $\langle ((\lambda x. \sum_{b \in B} \text{selfbutter } b \ o_{CL} \ x \ o_{CL} \ \text{selfbutter } a) \longrightarrow (\sum_{b \in B} \text{selfbutter } b \ o_{CL} \ l \ o_{CL} \ \text{selfbutter } a)) F \rangle$ **for** *a*
by (*rule tendsto-sum*)
then have $\langle ((\lambda x. x \ o_{CL} \ \text{selfbutter } a) \longrightarrow (l \ o_{CL} \ \text{selfbutter } a)) F \rangle$ **for** *a*
by (*simp add: flip: cblinfun-compose-sum-left idB*)
then have $\langle ((\lambda x. \sum_{a \in A} x \ o_{CL} \ \text{selfbutter } a) \longrightarrow (\sum_{a \in A} l \ o_{CL} \ \text{selfbutter } a)) F \rangle$
by (*rule tendsto-sum*)
then have $\langle \text{id} \longrightarrow l \rangle F$
by (*simp add: flip: cblinfun-compose-sum-right idA id-def*)
then show $\langle \text{limitin euclidean id } (\text{id } l) F \rangle$
by *simp*
qed
ultimately show *?thesis*
by (*auto simp: topology-finer-continuous-id[symmetric] simp flip: openin-inject*)
qed

lemma *sot-is-norm-topology-fin-dim*[*simp*]:

$\langle (\text{cstrong-operator-topology} :: ('a :: \{ \text{cfinite-dim}, \text{chilbert-space} \} \Rightarrow_{CL} 'b :: \{ \text{cfinite-dim}, \text{chilbert-space} \})) \text{ topology} = \text{euclidean} \rangle$

proof –

have *1*: $\langle \text{continuous-map euclidean cstrong-operator-topology } (\text{id} :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$

by (*simp add: id-def cstrong-operator-topology-weaker-than-euclidean*)

have $\langle \text{continuous-map cstrong-operator-topology cweak-operator-topology } (\text{id} :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$

by (*metis eq-id-iff wot-weaker-than-sot*)

then have *2*: $\langle \text{continuous-map cstrong-operator-topology euclidean } (\text{id} :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$

by (*simp only: wot-is-norm-topology-findim*)

from *1 2*

show *?thesis*

by (*auto simp: topology-finer-continuous-id[symmetric] simp flip: openin-inject*)

qed

lemma *regular-space-wot*: $\langle \text{regular-space cweak-operator-topology} \rangle$

```

proof –
  have ⟨regular-space (product-topology (λi::'b×'a. euclidean :: complex topology) UNIV)⟩
    by (simp add: regular-space-product-topology)
  then have ⟨regular-space (euclidean :: ('b×'a ⇒ complex topology)⟩
    using euclidean-product-topology by metis
  then show ?thesis
    unfolding cweak-operator-topology-def
    by (rule-tac regular-space-pullback)
qed

```

```

instance cblinfun-wot :: (complex-normed-vector, complex-inner) t3-space
  apply intro-classes
  apply transfer
  using regular-space-wot
  by (auto simp add: regular-space-def disjnt-def)

```

```

instantiation cblinfun-wot :: (chilbert-space, chilbert-space) order begin
lift-definition less-eq-cblinfun-wot :: ⟨('a, 'b) cblinfun-wot ⇒ ('a, 'b) cblinfun-wot ⇒ bool⟩ is
less-eq.
lift-definition less-cblinfun-wot :: ⟨('a, 'b) cblinfun-wot ⇒ ('a, 'b) cblinfun-wot ⇒ bool⟩ is less.
instance
  apply (intro-classes; transfer')
  by auto
end

```

```

instance cblinfun-wot :: (chilbert-space, chilbert-space) ordered-comm-monoid-add
proof
  fix a b c :: ⟨('a, 'b) cblinfun-wot⟩
  assume ⟨a ≤ b⟩
  then show ⟨c + a ≤ c + b⟩
    apply transfer'
    by simp
qed

```

```

lemma limitin-wot-add:
  assumes ⟨limitin cweak-operator-topology f a F⟩
  assumes ⟨limitin cweak-operator-topology g b F⟩
  shows ⟨limitin cweak-operator-topology (λx. f x + g x) (a + b) F⟩
proof –
  have ⟨LIM x F. (f x, g x) :> nhdsin cweak-operator-topology a ×F nhdsin cweak-operator-topology
b⟩
    apply (rule filterlim-Pair)
    using assms by (simp-all add: filterlim-cweak-operator-topology)
  then have ⟨LIM x F. case (f x, g x) of (x, y) ⇒ x + y :> nhdsin cweak-operator-topology (a
+ b)⟩
    apply (rule filterlim-compose[rotated])

```

```

  by (rule cweak-operator-topology-plus-cont)
  then show ?thesis
  by (simp add: filterlim-cweak-operator-topology)
qed

```

lemma *monotone-convergence-wot*:

— [1], Proposition 43.1 (i), (ii), but translated to filters.

fixes $f :: \langle 'b \Rightarrow ('a \Rightarrow_{CL} 'a::\text{chilbert-space}) \rangle$

assumes *bounded*: $\langle \forall_F x \text{ in } F. f x \leq B \rangle$

assumes *increasing*: $\langle \text{increasing-filter } (\text{filtermap } f F) \rangle$

shows $\langle \exists L. \text{limitin cweak-operator-topology } f L F \rangle$

proof —

wlog *nontrivial*: $\langle F \neq \perp \rangle$

using *negation* **by** (*auto intro!*: *limitin-trivial*)

wlog *pos*: $\langle \forall_F x \text{ in } F. f x \geq 0 \rangle$ **generalizing** $f B$ **keeping** *bounded increasing nontrivial*

proof —

from *increasing*

have $\langle \forall_F y \text{ in } F. \exists L. \text{limitin cweak-operator-topology } f L F \rangle$

unfolding *increasing-filter-def eventually-filtermap*

proof (*rule eventually-mono*)

fix $x0$

assume *f-lower*: $\langle \forall_F x \text{ in } F. f x0 \leq f x \rangle$

define g **where** $\langle g x = f x - f x0 \rangle$ **for** x

from *bounded*

have *bounded-g*: $\langle \forall_F x \text{ in } F. g x \leq B - f x0 \rangle$

apply (*rule eventually-mono*)

by (*simp add: g-def*)

from *f-lower*

have *pos-g*: $\langle \forall_F x \text{ in } F. g x \geq 0 \rangle$

apply (*rule eventually-mono*)

by (*simp add: g-def*)

from *increasing*

have *increasing-g*: $\langle \text{increasing-filter } (\text{filtermap } g F) \rangle$

unfolding *increasing-filter-def eventually-filtermap*

apply (*rule eventually-mono*)

apply (*erule eventually-mono*)

by (*simp add: g-def[abs-def]*)

obtain L **where** $\langle \text{limitin cweak-operator-topology } g L F \rangle$

apply *atomize-elim*

using *pos-g bounded-g increasing-g nontrivial* **by** (*rule hypothesis*)

then **have** $\langle \text{limitin cweak-operator-topology } (\lambda x. g x + f x0) (L + f x0) F \rangle$

apply (*rule limitin-wot-add*)

by *simp*

then **have** $\langle \text{limitin cweak-operator-topology } f (L + f x0) F \rangle$

by (*auto intro!*: *simp: g-def[abs-def]*)

then **show** $\langle \exists L. \text{limitin cweak-operator-topology } f L F \rangle$

by *auto*

qed

then **show** *?thesis*

by (*simp add: nontrivial eventually-const*)
 qed

define *surround* **where** $\langle \text{surround } \psi \ a = \psi \cdot_C (a *_{\mathcal{V}} \psi) \rangle$ **for** $\psi :: 'a$ **and** a
have *mono-surround*: $\langle \text{mono } (\text{surround } \psi) \rangle$ **for** ψ
 by (*auto intro!: monoI simp: surround-def less-eq-cblinfun-def*)
obtain l' **where** *tendsto-l'*: $\langle ((\lambda x. \text{surround } \psi (f x)) \longrightarrow l' \psi) \ F \rangle$ **for** ψ
proof (*atomize-elim, intro choice allI*)
 fix $\psi :: 'a$
from *bounded*
have *surround-bound*: $\langle \forall_F x \text{ in } F. \text{surround } \psi (f x) \leq \text{surround } \psi \ B \rangle$
unfolding *surround-def*
apply (*rule eventually-mono*)
by (*simp add: less-eq-cblinfun-def*)
moreover **have** *increasing-filter* (*filtermap* $(\lambda x. \text{surround } \psi (f x)) \ F$)
using *increasing-filtermap*[*OF increasing mono-surround*]
by (*simp add: filtermap-filtermap*)
ultimately obtain l' **where** $\langle ((\lambda x. \text{surround } \psi (f x)) \longrightarrow l') \ F \rangle$
apply *atomize-elim*
by (*auto intro!: monotone-convergence-complex increasing mono-surround simp: eventually-filtermap*)
then show $\exists l'. ((\lambda x. \text{surround } \psi (f x)) \longrightarrow l') \ F$
by *auto*
 qed

define l **where** $\langle l \ \varphi \ \psi = (l' (\varphi + \psi) - l' (\varphi - \psi) - i * l' (\varphi + i *_{\mathcal{C}} \psi) + i * l' (\varphi - i *_{\mathcal{C}} \psi)) / 4 \rangle$
for $\varphi \ \psi :: 'a$
have *polar*: $\langle \varphi \cdot_C a \ \psi = (\text{surround } (\varphi + \psi) \ a - \text{surround } (\varphi - \psi) \ a - i * \text{surround } (\varphi + i *_{\mathcal{C}} \psi) \ a + i * \text{surround } (\varphi - i *_{\mathcal{C}} \psi) \ a) / 4 \rangle$ **for** $a :: 'a \Rightarrow_{\mathcal{C}L} 'a$ **and** $\varphi \ \psi$
by (*simp add: surround-def cblinfun.add-right cinner-add cblinfun.diff-right cinner-diff cblinfun.scaleC-right ring-distrib*)
have *tendsto-l*: $\langle ((\lambda x. \varphi \cdot_C f x \ \psi) \longrightarrow l \ \varphi \ \psi) \ F \rangle$ **for** $\varphi \ \psi$
by (*auto intro!: tendsto-divide tendsto-add tendsto-diff tendsto-l' simp: l-def polar*)
have *l-bound*: $\langle \text{norm } (l \ \varphi \ \psi) \leq \text{norm } B * \text{norm } \varphi * \text{norm } \psi \rangle$ **for** $\varphi \ \psi$
proof –
from *bounded pos*
have $\langle \forall_F x \text{ in } F. \text{norm } (\varphi \cdot_C f x \ \psi) \leq \text{norm } B * \text{norm } \varphi * \text{norm } \psi \rangle$ **for** $\varphi \ \psi$
proof (*rule eventually-elim2*)
 fix x
assume $\langle f x \leq B \rangle$ **and** $\langle 0 \leq f x \rangle$
have $\langle \text{cmod } (\varphi \cdot_C (f x *_{\mathcal{V}} \psi)) \leq \text{norm } \varphi * \text{norm } (f x *_{\mathcal{V}} \psi) \rangle$
using *complex-inner-class.Cauchy-Schwarz-ineq2* **by** *blast*
also **have** $\langle \dots \leq \text{norm } \varphi * (\text{norm } (f x) * \text{norm } \psi) \rangle$
by (*simp add: mult-left-mono norm-cblinfun*)
also **from** $\langle f x \leq B \rangle \ \langle 0 \leq f x \rangle$
have $\langle \dots \leq \text{norm } \varphi * (\text{norm } B * \text{norm } \psi) \rangle$
by (*auto intro!: mult-left-mono mult-right-mono norm-cblinfun-mono simp:*)
also **have** $\langle \dots = \text{norm } B * \text{norm } \varphi * \text{norm } \psi \rangle$
by *simp*
finally show $\langle \text{norm } (\varphi \cdot_C f x \ \psi) \leq \text{norm } B * \text{norm } \varphi * \text{norm } \psi \rangle$

```

    by -
  qed
  moreover from tendsto-l
  have ⟨((λx. norm (φ •C f x ψ)) → norm (l φ ψ)) F⟩ for φ ψ
    using tendsto-norm by blast
  ultimately show ?thesis
    using nontrivial tendsto-upperbound by blast
  qed
  have ⟨bounded-sesquilinear l⟩
  proof (rule bounded-sesquilinear.intro)
    fix φ φ' ψ ψ' and r :: complex
    from tendsto-l have ⟨((λx. φ •C f x ψ + φ •C f x ψ') → l φ ψ + l φ ψ') F⟩
      by (simp add: tendsto-add)
    moreover from tendsto-l have ⟨((λx. φ •C f x ψ + φ •C f x ψ') → l φ (ψ + ψ')) F⟩
      by (simp flip: cinner-add-right cblinfun.add-right)
    ultimately show ⟨l φ (ψ + ψ') = l φ ψ + l φ ψ'⟩
      by (rule tendsto-unique[OF nontrivial, rotated])
    from tendsto-l have ⟨((λx. φ •C f x ψ + φ' •C f x ψ) → l φ ψ + l φ' ψ) F⟩
      by (simp add: tendsto-add)
    moreover from tendsto-l have ⟨((λx. φ •C f x ψ + φ' •C f x ψ) → l (φ + φ') ψ) F⟩
      by (simp flip: cinner-add-left cblinfun.add-left)
    ultimately show ⟨l (φ + φ') ψ = l φ ψ + l φ' ψ⟩
      by (rule tendsto-unique[OF nontrivial, rotated])
    from tendsto-l have ⟨((λx. r •C (φ •C f x ψ)) → r •C l φ ψ) F⟩
      using tendsto-scaleC by blast
    moreover from tendsto-l have ⟨((λx. r •C (φ •C f x ψ)) → l φ (r •C ψ)) F⟩
      by (simp flip: cinner-scaleC-right cblinfun.scaleC-right)
    ultimately show ⟨l φ (r •C ψ) = r •C l φ ψ⟩
      by (rule tendsto-unique[OF nontrivial, rotated])
    from tendsto-l have ⟨((λx. cnj r •C (φ •C f x ψ)) → cnj r •C l φ ψ) F⟩
      using tendsto-scaleC by blast
    moreover from tendsto-l have ⟨((λx. cnj r •C (φ •C f x ψ)) → l (r •C φ) ψ) F⟩
      by (simp flip: cinner-scaleC-left cblinfun.scaleC-left)
    ultimately show ⟨l (r •C φ) ψ = cnj r •C l φ ψ⟩
      by (rule tendsto-unique[OF nontrivial, rotated])
  show ⟨∃ K. ∀ a b. cmod (l a b) ≤ norm a * norm b * K⟩
    using l-bound by (auto intro!: exI[of - ⟨norm B⟩] simp: mult-ac)
  qed
  define L where ⟨L = (the-riesz-rep-sesqui l)*⟩
  then have ⟨φ •C L ψ = l φ ψ⟩ for φ ψ
    by (auto intro!: ⟨bounded-sesquilinear l⟩ the-riesz-rep-sesqui-apply simp: cinner-adj-right)
  with tendsto-l have ⟨((λx. φ •C f x ψ) → φ •C L ψ) F⟩ for φ ψ
    by simp
  then have ⟨limitin cweak-operator-topology f L F⟩
    by (simp add: limitin-cweak-operator-topology)
  then show ?thesis
    by auto
  qed

```

```

lemma summable-wot-boundedI:
  fixes f :: ⟨'b ⇒ ('a ⇒CL 'a::hilbert-space)⟩
  assumes bounded: ⟨ $\bigwedge F. \text{finite } F \implies F \subseteq X \implies \text{sum } f F \leq B$ ⟩
  assumes pos: ⟨ $\bigwedge x. x \in X \implies f x \geq 0$ ⟩
  shows ⟨summable-on-in cweak-operator-topology f X⟩
proof -
  from pos have incr: ⟨increasing-filter (filtermap (sum f) (finite-subsets-at-top X))⟩
  by (auto intro!: increasing-filtermap[where X=⟨{F. finite F ∧ F ⊆ X}⟩] mono-onI sum-mono2)
  show ?thesis
    apply (simp add: summable-on-in-def has-sum-in-def)
    by (safe intro!: bounded incr monotone-convergence-wot[where B=B] eventually-finite-subsets-at-top-weakI)
qed

```

```

lemma summable-wot-boundedI':
  fixes f :: ⟨'b ⇒ ('a::hilbert-space, 'a) cblinfun-wot⟩
  assumes bounded: ⟨ $\bigwedge F. \text{finite } F \implies F \subseteq X \implies \text{sum } f F \leq B$ ⟩
  assumes pos: ⟨ $\bigwedge x. x \in X \implies f x \geq 0$ ⟩
  shows ⟨f summable-on X⟩
  apply (subst summable-on-euclidean-eq[symmetric])
  using assms
  apply (transfer' fixing: X)
  apply (rule summable-wot-boundedI)
  by auto

```

```

lemma has-sum-mono-neutral-wot:
  fixes f :: 'a ⇒ ('b::hilbert-space ⇒CL 'b)
  assumes ⟨has-sum-in cweak-operator-topology f A a⟩ and has-sum-in cweak-operator-topology
  g B b
  assumes ⟨ $\bigwedge x. x \in A \cap B \implies f x \leq g x$ ⟩
  assumes ⟨ $\bigwedge x. x \in A - B \implies f x \leq 0$ ⟩
  assumes ⟨ $\bigwedge x. x \in B - A \implies g x \geq 0$ ⟩
  shows a ≤ b
proof -
  have ψ-eq: ⟨ψ ·C a ψ ≤ ψ ·C b ψ⟩ for ψ
  proof -
    from assms(1)
    have sumA: ⟨((λx. ψ ·C f x ψ) has-sum ψ ·C a ψ) A⟩
      by (simp add: has-sum-in-def has-sum-def limitin-cweak-operator-topology
        cblinfun.sum-left cinner-sum-right)
    from assms(2)
    have sumB: ⟨((λx. ψ ·C g x ψ) has-sum ψ ·C b ψ) B⟩
      by (simp add: has-sum-in-def has-sum-def limitin-cweak-operator-topology
        cblinfun.sum-left cinner-sum-right)
    from sumA sumB
  show ?thesis
    apply (rule has-sum-mono-neutral-complex)
    using assms(3-5)

```

by (auto simp: less-eq-cblinfun-def)
 qed
 then show $\langle a \leq b \rangle$
 by (simp add: less-eq-cblinfun-def)
 qed

lemma *has-sum-mono-wot*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
 assumes *has-sum-in cweak-operator-topology* $f A x$ and *has-sum-in cweak-operator-topology* $g A y$
 assumes $\langle \bigwedge x. x \in A \implies f x \leq g x \rangle$
 shows $x \leq y$
 using *assms has-sum-mono-neutral-wot* by force

lemma *infsun-mono-neutral-wot*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
 assumes *summable-on-in cweak-operator-topology* $f A$ and *summable-on-in cweak-operator-topology* $g B$
 assumes $\langle \bigwedge x. x \in A \cap B \implies f x \leq g x \rangle$
 assumes $\langle \bigwedge x. x \in A - B \implies f x \leq 0 \rangle$
 assumes $\langle \bigwedge x. x \in B - A \implies g x \geq 0 \rangle$
 shows *infsun-in cweak-operator-topology* $f A \leq \text{infsun-in cweak-operator-topology } g B$
 using *assms*
 by (metis (mono-tags, lifting) *has-sum-in-infsun-in has-sum-mono-neutral-wot hausdorff-cweak-operator-topology*)

lemma *has-sum-on-wot-transfer[transfer-rule]*:

includes *lifting-syntax*
 shows $\langle (((=) \implies \text{cr-cblinfun-wot}) \implies (=) \implies \text{cr-cblinfun-wot} \implies (\longleftrightarrow)) \rangle$
 (*has-sum-in cweak-operator-topology HAS-SUM*)
 unfolding *has-sum-euclidean-iff[abs-def, symmetric]* *has-sum-in-def[abs-def]*
 by *transfer-prover*

lemma *summable-on-wot-transfer[transfer-rule]*:

includes *lifting-syntax*
 shows $\langle (((=) \implies \text{cr-cblinfun-wot}) \implies (=) \implies (\longleftrightarrow)) \rangle$ (*summable-on-in cweak-operator-topology*)
 (*summable-on*)
 apply (auto intro!: *simp: summable-on-def[abs-def] summable-on-in-def[abs-def]*)
 by *transfer-prover*

lemma *Abs-cblinfun-wot-transfer[transfer-rule]*:

includes *lifting-syntax*
 shows $\langle ((=) \implies \text{cr-cblinfun-wot}) \text{ id Abs-cblinfun-wot} \rangle$
 by (auto intro!: *rel-funI simp: cr-cblinfun-wot-def Abs-cblinfun-wot-inverse*)

lemma *infsun-mono-neutral-wot'*:

```

fixes  $f :: 'a \Rightarrow ('b::\text{hilbert-space}, 'b) \text{cblinfun-wot}$ 
assumes  $f \text{ summable-on } A$  and  $g \text{ summable-on } B$ 
assumes  $\langle \bigwedge x. x \in A \cap B \implies f x \leq g x \rangle$ 
assumes  $\langle \bigwedge x. x \in A - B \implies f x \leq 0 \rangle$ 
assumes  $\langle \bigwedge x. x \in B - A \implies g x \geq 0 \rangle$ 
shows  $\text{infsum } f A \leq \text{infsum } g B$ 
unfolding  $\text{infsum-euclidean-eq}[\text{symmetric}]$ 
using  $\text{assms}$ 
apply ( $\text{transfer}' \text{ fixing: } A B$ )
apply ( $\text{rule infsum-mono-neutral-wot}$ )
by auto

lemma infsum-nonneg-wot':
fixes  $f :: 'a \Rightarrow ('c::\text{hilbert-space}, 'c) \text{cblinfun-wot}$ 
assumes  $\bigwedge x. x \in M \implies 0 \leq f x$ 
shows  $\text{infsum } f M \geq 0$ 
proof ( $\text{cases } \langle f \text{ summable-on } M \rangle$ )
case True
show  $?thesis$ 
apply ( $\text{subst infsum-0}[\text{symmetric}, OF \text{ refl}]$ )
apply ( $\text{rule infsum-mono-neutral-wot}'[\text{where } A=M \text{ and } B=M]$ )
using  $\text{assms True by auto}$ 
next
case False
then have  $\langle \text{infsum } f M = 0 \rangle$ 
using  $\text{infsum-not-exists by blast}$ 
then show  $?thesis$ 
by simp
qed

lemma summable-on-Sigma-wotI:
fixes  $f :: \langle 'a \times 'b \Rightarrow ('c::\text{hilbert-space}, 'c) \text{cblinfun-wot} \rangle$ 
assumes  $\langle \bigwedge x y. x \in A \implies y \in B \ x \implies f (x,y) \geq 0 \rangle$ 
assumes  $\text{summableA: } \langle (\lambda x. \sum_{\infty y \in B} x. f (x,y)) \text{ summable-on } A \rangle$ 
assumes  $\text{summableB: } \langle \bigwedge x \in A \implies (\lambda y. f (x, y)) \text{ summable-on } (B x) \rangle$ 
shows  $\langle f \text{ summable-on Sigma } A B \rangle$ 
proof ( $\text{rule summable-wot-boundedI}'$ )
show  $\langle f x \geq 0 \rangle$  if  $\langle x \in \text{Sigma } A B \rangle$  for  $x$ 
using  $\text{assms that by blast}$ 
show  $\langle \text{sum } f F \leq (\sum_{\infty x \in A}. \sum_{\infty y \in B} x. f (x,y)) \rangle$  if  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq \text{Sigma } A B \rangle$  for  $F$ 
proof –
define  $FA$  where  $\langle FA = \text{fst } ' F \rangle$ 
define  $FB$  where  $\langle FB x = \{y. (x,y) \in F\} \rangle$  for  $x$ 
have  $F\text{-FAB: } \langle F = \text{Sigma } FA FB \rangle$ 
by ( $\text{auto simp: } FA\text{-def } FB\text{-def image-iff } Bex\text{-def}$ )
have  $[\text{simp}]: \langle \text{finite } FA \rangle \langle \text{finite } (FB x) \rangle$  for  $x$ 
using  $\langle \text{finite } F \rangle$  by ( $\text{auto intro!: finite-inverse-image injI simp: } FA\text{-def } FB\text{-def}$ )
have  $FA\text{-A: } \langle FA \subseteq A \rangle$ 

```

```

    using FA-def that(2) by auto
  have FB-B: ⟨FB x ⊆ B x⟩ if ⟨x ∈ A⟩ for x
    using FB-def ⟨F ⊆ Sigma A B⟩ by auto
  have ⟨sum f F = (∑ x∈FA. ∑ y∈FB x. f (x,y))⟩
    apply (subst sum.Sigma)
    by (auto simp: F-FAB)
  also have ⟨... = (∑ x∈FA. ∑ ∞y∈FB x. f (x,y))⟩
    by fastforce
  also have ⟨... ≤ (∑ x∈FA. ∑ ∞y∈B x. f (x,y))⟩
    apply (rule sum-mono)
    apply (rule infsum-mono-neutral-wot')
    using FA-A FB-B assms by auto
  also have ⟨... = (∑ ∞x∈FA. ∑ ∞y∈B x. f (x,y))⟩
    by fastforce
  also have ⟨... ≤ (∑ ∞x∈A. ∑ ∞y∈B x. f (x,y))⟩
    apply (rule infsum-mono-neutral-wot')
    using FA-A assms by (auto intro!: infsum-nonneg-wot')
  finally show ⟨sum f F ≤ (∑ ∞x∈A. ∑ ∞y∈B x. f (x,y))⟩
    by -
qed
qed

```

lift-definition *compose-wot* :: ⟨('b::complex-inner, 'c::complex-inner) cblinfun-wot ⇒ ('a::complex-normed-vector, 'b) cblinfun-wot ⇒ ('a, 'c) cblinfun-wot⟩ is *cblinfun-compose*.

lift-definition *adj-wot* :: ⟨('a::hilbert-space, 'b::complex-inner) cblinfun-wot ⇒ ('b, 'a) cblinfun-wot⟩ is *adj*.

lemma *infsum-wot-is-Sup*:

```

fixes f :: ⟨'b ⇒ ('a ⇒CL 'a::hilbert-space)⟩
assumes summable: ⟨summable-on-in cweak-operator-topology f X⟩
  — See also summable-wot-boundedI for proving this.
assumes pos: ⟨∧x. x ∈ X ⇒ f x ≥ 0⟩
defines S ≡ infsum-in cweak-operator-topology f X
shows ⟨is-Sup ((λF. ∑ x∈F. f x) ‘{F. finite F ∧ F ⊆ X}) S⟩
proof (rule is-SupI)
  have has-sum: ⟨has-sum-in cweak-operator-topology f X S⟩
    unfolding S-def
    apply (rule has-sum-in-infsum-in)
    using assms by auto
  show ⟨s ≤ S⟩ if ⟨s ∈ ((λF. ∑ x∈F. f x) ‘{F. finite F ∧ F ⊆ X})⟩ for s
  proof -
    from that obtain F where [simp]: ⟨finite F⟩ and ⟨F ⊆ X⟩ and s-def: ⟨s = (∑ x∈F. f x)⟩
      by auto
    show ?thesis
  proof (rule has-sum-mono-neutral-wot)
    show ⟨has-sum-in cweak-operator-topology f F s⟩
      by (auto intro!: has-sum-in-finite simp: s-def)
  end
end

```

```

show ⟨has-sum-in cweak-operator-topology f X S⟩
  by (fact has-sum)
show ⟨f x ≤ f x⟩ for x
  by simp
show ⟨f x ≤ 0⟩ if ⟨x ∈ F - X⟩ for x
  using ⟨F ⊆ X⟩ that by auto
show ⟨f x ≥ 0⟩ if ⟨x ∈ X - F⟩ for x
  using that pos by auto
qed
qed
show ⟨S ≤ y⟩
  if y-bound: ⟨ $\bigwedge x. x \in ((\lambda F. \sum_{x \in F}. f x) \text{ ' } \{F. \text{finite } F \wedge F \subseteq X\}) \implies x \leq y$ ⟩ for y
proof (rule cblinfun-leI, rename-tac  $\psi$ )
  fix  $\psi :: 'a$ 
  define g where ⟨g x =  $\psi \cdot_C \text{Rep-cblinfun-wot } x \psi$ ⟩ for x
from has-sum have lim: ⟨ $(\lambda i. \psi \cdot_C ((\sum_{x \in i}. f x) *_V \psi)) \longrightarrow \psi \cdot_C (S *_V \psi)$ ⟩ (finite-subsets-at-top X)
  by (simp add: has-sum-in-def limitin-cweak-operator-topology)
  have bound: ⟨ $\psi \cdot_C (\sum_{x \in F}. f x) \psi \leq \psi \cdot_C y \psi$ ⟩ if ⟨finite F⟩ ⟨F ⊆ X⟩ for F
  using y-bound less-eq-cblinfun-def that(1) that(2) by fastforce
  show ⟨ $\psi \cdot_C (S *_V \psi) \leq \psi \cdot_C y \psi$ ⟩
  using finite-subsets-at-top-neq-bot tendsto-const lim apply (rule tendsto-le-complex)
  using bound by (auto intro!: eventually-finite-subsets-at-top-weakI)
qed
qed

lemma has-sum-in-cweak-operator-topology-pointwise:
  ⟨has-sum-in cweak-operator-topology f X s  $\longleftrightarrow$   $(\forall \psi \varphi. ((\lambda x. \psi \cdot_C f x \varphi) \text{ has-sum } \psi \cdot_C s \varphi) X)$ ⟩
  by (simp add: has-sum-in-def has-sum-def limitin-cweak-operator-topology
    cblinfun.sum-left cinner-sum-right)

lemma summable-wot-bdd-above:
  fixes f :: ⟨'b  $\Rightarrow$  ('a  $\Rightarrow_{CL} 'a::\text{chilbert-space})$ ⟩
  assumes summable: ⟨summable-on-in cweak-operator-topology f X⟩
  — See also summable-wot-boundedI for proving this.
  assumes pos: ⟨ $\bigwedge x. x \in X \implies f x \geq 0$ ⟩
  shows ⟨bdd-above (sum f ' {F. finite F  $\wedge$  F ⊆ X})⟩
  using infsum-wot-is-Sup[OF assms]
  by (auto intro!: simp: is-Sup-def bdd-above-def)

lemma summable-on-in-cweak-operator-topology-pointwise:
  assumes ⟨summable-on-in cweak-operator-topology f X⟩
  shows ⟨ $(\lambda x. a \cdot_C f x b)$  summable-on X⟩
  using assms
  by (auto simp: summable-on-in-def summable-on-def has-sum-in-cweak-operator-topology-pointwise)

lemma infsum-in-cweak-operator-topology-pointwise:
  assumes ⟨summable-on-in cweak-operator-topology f X⟩

```

shows $\langle a \cdot_C (\text{infsun-in cweak-operator-topology } f X) b = (\sum_{\infty x \in X}. a \cdot_C f x b) \rangle$
by (metis (mono-tags, lifting) assms has-sum-in-cweak-operator-topology-pointwise has-sum-in-infsun-in hausdorff-cweak-operator-topology infsunI)

instance cblinfun-wot :: (complex-normed-vector, complex-inner) topological-ab-group-add
by intro-classes

lemma has-sum-in-wot-compose-left:

fixes $f :: \langle 'c \Rightarrow 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{chilbert-space} \rangle$
assumes $\langle \text{has-sum-in cweak-operator-topology } f X s \rangle$
shows $\langle \text{has-sum-in cweak-operator-topology } (\lambda x. a \ o_{CL} f x) X (a \ o_{CL} s) \rangle$
proof (rule has-sum-in-cweak-operator-topology-pointwise[THEN iffD2], intro allI, rename-tac g h)
fix g h
from assms **have** $\langle ((\lambda x. (a*) g \cdot_C f x h) \text{ has-sum } (a*) g \cdot_C s h) X \rangle$
by (metis has-sum-in-cweak-operator-topology-pointwise)
then show $\langle ((\lambda x. g \cdot_C (a \ o_{CL} f x) h) \text{ has-sum } g \cdot_C (a \ o_{CL} s) h) X \rangle$
by (metis (no-types, lifting) cblinfun-apply-cblinfun-compose cinner-adj-left has-sum-cong)
qed

lemma has-sum-in-wot-compose-right:

fixes $f :: \langle 'c \Rightarrow 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-inner} \rangle$
assumes $\langle \text{has-sum-in cweak-operator-topology } f X s \rangle$
shows $\langle \text{has-sum-in cweak-operator-topology } (\lambda x. f x \ o_{CL} a) X (s \ o_{CL} a) \rangle$
proof (rule has-sum-in-cweak-operator-topology-pointwise[THEN iffD2], intro allI, rename-tac g h)
fix g h
from assms **have** $\langle ((\lambda x. g \cdot_C f x (a h)) \text{ has-sum } g \cdot_C s (a h)) X \rangle$
by (metis has-sum-in-cweak-operator-topology-pointwise)
then show $\langle ((\lambda x. g \cdot_C (f x \ o_{CL} a) h) \text{ has-sum } g \cdot_C (s \ o_{CL} a) h) X \rangle$
by simp
qed

lemma summable-on-in-wot-compose-left:

fixes $f :: \langle 'c \Rightarrow 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{chilbert-space} \rangle$
assumes $\langle \text{summable-on-in cweak-operator-topology } f X \rangle$
shows $\langle \text{summable-on-in cweak-operator-topology } (\lambda x. a \ o_{CL} f x) X \rangle$
using has-sum-in-wot-compose-left assms
by (fastforce simp: summable-on-in-def)

lemma summable-on-in-wot-compose-right:

assumes $\langle \text{summable-on-in cweak-operator-topology } f X \rangle$
shows $\langle \text{summable-on-in cweak-operator-topology } (\lambda x. f x \ o_{CL} a) X \rangle$
using has-sum-in-wot-compose-right assms
by (fastforce simp: summable-on-in-def)

lemma *infsun-in-wot-compose-left*:

fixes $f :: \langle 'c \Rightarrow 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$

assumes $\langle \text{summable-on-in cweak-operator-topology } f \ X \rangle$

shows $\langle \text{infsun-in cweak-operator-topology } (\lambda x. a \ o_{CL} \ f \ x) \ X = a \ o_{CL} \ (\text{infsun-in cweak-operator-topology } f \ X) \rangle$

by (*metis (mono-tags, lifting) assms has-sum-in-infsun-in has-sum-in-unique hausdorff-cweak-operator-topology has-sum-in-wot-compose-left summable-on-in-wot-compose-left*)

lemma *infsun-in-wot-compose-right*:

fixes $f :: \langle 'c \Rightarrow 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-inner} \rangle$

assumes $\langle \text{summable-on-in cweak-operator-topology } f \ X \rangle$

shows $\langle \text{infsun-in cweak-operator-topology } (\lambda x. f \ x \ o_{CL} \ a) \ X = (\text{infsun-in cweak-operator-topology } f \ X) \ o_{CL} \ a \rangle$

by (*metis (mono-tags, lifting) assms has-sum-in-infsun-in has-sum-in-unique hausdorff-cweak-operator-topology has-sum-in-wot-compose-right summable-on-in-wot-compose-right*)

lemma *infsun-wot-boundedI*:

fixes $f :: \langle 'b \Rightarrow ('a \Rightarrow_{CL} 'a :: \text{chilbert-space}) \rangle$

assumes *bounded*: $\langle \bigwedge F. \text{finite } F \Longrightarrow F \subseteq X \Longrightarrow \text{sum } f \ F \leq B \rangle$

assumes *pos*: $\langle \bigwedge x. x \in X \Longrightarrow f \ x \geq 0 \rangle$

shows $\langle \text{infsun-in cweak-operator-topology } f \ X \leq B \rangle$

proof (*rule less-eq-cblinfunI*)

fix h

have *summ*: $\langle \text{summable-on-in cweak-operator-topology } f \ X \rangle$

using *assms* **by** (*rule summable-wot-boundedI*)

then have $\langle h \cdot_C (\text{infsun-in cweak-operator-topology } f \ X \ *_V \ h) = (\sum_{\infty x \in X. h \cdot_C (f \ x \ *_V \ h)}) \rangle$

by (*rule infsun-in-cweak-operator-topology-pointwise*)

also have $\langle \dots \leq h \cdot_C B \ h \rangle$

proof (*rule less-eq-complexI*)

from *summ* **have** *summ'*: $\langle \lambda x. h \cdot_C (f \ x \ *_V \ h) \ \text{summable-on } X \rangle$

by (*auto intro!: summable-on-in-cweak-operator-topology-pointwise*)

have $*$: $\langle (\sum_{x \in F. h \cdot_C (f \ x \ *_V \ h)) \leq h \cdot_C B \ h \rangle$ **if** $\langle \text{finite } F \rangle$ **and** $\langle F \subseteq X \rangle$ **for** F

using *that bounded*

by (*simp add: less-eq-cblinfun-def flip: cinner-sum-right cblinfun.sum-left*)

show $\langle \text{Im } (\sum_{\infty x \in X. h \cdot_C (f \ x \ *_V \ h)) = \text{Im } (h \cdot_C (B \ *_V \ h)) \rangle$

proof –

from $*$ [*of* $\langle \{ \} \rangle$] **have** $\langle h \cdot_C B \ h \geq 0 \rangle$

by *simp*

then have $\langle \text{Im } (h \cdot_C B \ h) = 0 \rangle$

using *comp-Im-same zero-complex.sel(2)* **by** *presburger*

moreover then have $\langle \text{Im } (h \cdot_C (f \ x \ *_V \ h)) = 0 \rangle$ **if** $\langle x \in X \rangle$ **for** x

using $*$ [*of* $\langle \{x\} \rangle$] **that**

by (*simp add: less-eq-complex-def*)

ultimately show $\langle \text{Im } (\sum_{\infty x \in X. h \cdot_C (f \ x \ *_V \ h)) = \text{Im } (h \cdot_C (B \ *_V \ h)) \rangle$

by (*auto intro!: infsun-0 simp: summ' simp flip: infsun-Im*)

qed

show $\langle \text{Re } (\sum_{\infty x \in X. h \cdot_C (f \ x \ *_V \ h)) \leq \text{Re } (h \cdot_C (B \ *_V \ h)) \rangle$

apply (*auto intro!: summable-on-Re infsun-le-finite-sums simp: summ' simp flip: infsun-Re*)

```

    using summ'
    by (metis * Re-sum less-eq-complex-def)
qed
finally show  $\langle h \cdot_C (\text{infsun-in cweak-operator-topology } f X *_V h) \leq h \cdot_C (B *_V h) \rangle$ 
    by -
qed

end

```

7 Misc-Tensor-Product-TTS – Miscellaneous results missing from Complex_Bounded_Operators

Here specifically results obtained from lifting existing results using the types to sets mechanism ([6]).

```

theory Misc-Tensor-Product-TTS
imports
  Complex-Bounded-Operators.Complex-Bounded-Linear-Function
  Misc-Tensor-Product
  Misc-Tensor-Product-BO
  With-Type.With-Type
begin

unbundle lattice-syntax
unbundle cblinfun-notation

```

7.1 Retrieving axioms

```

attribute-setup axiom =  $\langle \text{Scan.lift Parse.name-position} \gg (fn \text{name-pos} \Rightarrow \text{Thm.rule-attribute } \square$ 
  (fn context  $\Rightarrow$  fn -  $\Rightarrow$ 
    let val thy = Context.theory-of context
      val (full-name, -) = Name-Space.check context (Theory.axiom-table thy) name-pos
      in Thm.axiom thy full-name end)  $\rangle$ 
 $\langle$ Retrieve an axiom by name. E.g., write  $\text{@}\{thm [source] [[axiom HOL.refl]]\}$ . $\rangle$ 

```

7.2 Auxiliary lemmas

```

named-theorems unoverload-def

locale local-typedef = fixes S :: 'b set and s::'s itself
  assumes Ex-type-definition-S:  $\exists (Rep::'s \Rightarrow 'b) (Abs::'b \Rightarrow 's). \text{type-definition } Rep \text{ Abs } S$ 
begin
definition Rep = fst (SOME (Rep::'s  $\Rightarrow$  'b, Abs). type-definition Rep Abs S)
definition Abs = snd (SOME (Rep::'s  $\Rightarrow$  'b, Abs). type-definition Rep Abs S)
lemma type-definition-S: type-definition Rep Abs S

```

unfolding *Abs-def Rep-def split-beta'*
by (*rule someI-ex*) (*use Ex-type-definition-S in auto*)
lemma *rep-in-S[simp]*: $\text{Rep } x \in S$
and *rep-inverse[simp]*: $\text{Abs } (\text{Rep } x) = x$
and *Abs-inverse[simp]*: $y \in S \implies \text{Rep } (\text{Abs } y) = y$
using *type-definition-S*
unfolding *type-definition-def* **by** *auto*
definition *cr-S* **where** $\text{cr-S} \equiv \lambda s b. s = \text{Rep } b$
lemma *Domainp-cr-S[transfer-domain-rule]*: $\text{Domainp } \text{cr-S} = (\lambda x. x \in S)$
by (*metis Abs-inverse Domainp.simps cr-S-def rep-in-S*)
lemma *right-total-cr-S[transfer-rule]*: *right-total cr-S*
by (*rule typedef-right-total[OF type-definition-S cr-S-def]*)
lemma *bi-unique-cr-S[transfer-rule]*: *bi-unique cr-S*
by (*rule typedef-bi-unique[OF type-definition-S cr-S-def]*)
lemma *left-unique-cr-S[transfer-rule]*: *left-unique cr-S*
by (*rule typedef-left-unique[OF type-definition-S cr-S-def]*)
lemma *right-unique-cr-S[transfer-rule]*: *right-unique cr-S*
by (*rule typedef-right-unique[OF type-definition-S cr-S-def]*)
lemma *cr-S-Rep[intro, simp]*: $\text{cr-S } (\text{Rep } a) a$ **by** (*simp add: cr-S-def*)
lemma *cr-S-Abs[intro, simp]*: $a \in S \implies \text{cr-S } a (\text{Abs } a)$ **by** (*simp add: cr-S-def*)
lemma *UNIV-transfer[transfer-rule]*: $\langle \text{rel-set } \text{cr-S } S \text{ UNIV} \rangle$
using *Domainp-cr-S right-total-cr-S right-total-UNIV-transfer* **by** *fastforce*
end

lemma *complete-space-as-set[simp]*: $\langle \text{complete } (\text{space-as-set } V) \rangle$ **for** $V :: \langle \text{--}: \text{cbanach ccspace} \rangle$
by (*simp add: complete-eq-closed*)

definition $\langle \text{transfer-ball-range } A P \longleftrightarrow (\forall f. \text{range } f \subseteq A \longrightarrow P f) \rangle$

lemma *transfer-ball-range-parametric'[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule, simp*]: $\langle \text{right-unique } T \rangle \langle \text{bi-total } T \rangle \langle \text{bi-unique } U \rangle$
shows $\langle \text{rel-set } U \implies ((T \implies U) \implies (\longrightarrow)) \implies (\longrightarrow) \rangle$ *transfer-ball-range transfer-ball-range*

proof (*intro rel-funI impI, rename-tac A B P Q*)
fix $A B P Q$
assume [*transfer-rule*]: $\langle \text{rel-set } U A B \rangle$
assume *TUPQ[transfer-rule]*: $\langle ((T \implies U) \implies (\longrightarrow)) P Q \rangle$
assume $\langle \text{transfer-ball-range } A P \rangle$
then have $Pf: \langle P f \rangle$ **if** $\langle \text{range } f \subseteq A \rangle$ **for** f
unfolding *transfer-ball-range-def* **using** *that* **by** *auto*
have $\langle Q g \rangle$ **if** $\langle \text{range } g \subseteq B \rangle$ **for** g
proof –
from *that* $\langle \text{rel-set } U A B \rangle$
have $\langle \text{Rangep } (T \implies U) g \rangle$
apply (*auto simp add: conversep-rel-fun Domainp-pred-fun-eq simp flip: Domainp-conversep*)
apply (*simp add: Domainp-conversep*)
by (*metis Rangep.simps range-subsetD rel-setD2*)
then obtain f **where** *TUfg[transfer-rule]*: $\langle (T \implies U) f g \rangle$

```

    by blast
  from that have ⟨range f ⊆ A⟩
    by transfer
  then have ⟨P f⟩
    by (simp add: Pf)
  then show ⟨Q g⟩
    by (metis TUfg TUPQ rel-funD)
qed
then show ⟨transfer-ball-range B Q⟩
by (simp add: transfer-ball-range-def)
qed

```

lemma *transfer-ball-range-parametric*[*transfer-rule*]:

```

  includes lifting-syntax
  assumes [transfer-rule, simp]: ⟨bi-unique T⟩ ⟨bi-total T⟩ ⟨bi-unique U⟩
  shows ⟨(rel-set U ==> ((T ==> U) ==> (⟷))) ==> (⟷)) transfer-ball-range
transfer-ball-range⟩
proof –
  have ⟨(rel-set U ==> ((T ==> U) ==> (⟶))) ==> (⟶)) transfer-ball-range
transfer-ball-range⟩
  using assms(1) assms(2) assms(3) bi-unique-alt-def transfer-ball-range-parametric' by blast
  then have 1: ⟨(rel-set U ==> ((T ==> U) ==> (⟷))) ==> (⟶)) transfer-ball-range
transfer-ball-range⟩
    apply (rule rev-mp)
    apply (intro rel-fun-mono')
    by auto

  have ⟨(rel-set (U-1-1) ==> ((T-1-1 ==> U-1-1) ==> (⟶))) ==> (⟶)) trans-
fer-ball-range transfer-ball-range⟩
    apply (rule transfer-ball-range-parametric')
    using assms(1) bi-unique-alt-def bi-unique-conversep apply blast
    by auto
  then have ⟨(rel-set U ==> ((T ==> U) ==> (⟶)-1-1) ==> (⟶)-1-1) trans-
fer-ball-range transfer-ball-range⟩
    apply (rule-tac conversepD[where r=⟨(rel-set U ==> ((T ==> U) ==> (⟶)-1-1)
==> (⟶)-1-1)⟩])
    by (simp add: conversep-rel-fun del: conversep-iff)
  then have 2: ⟨(rel-set U ==> ((T ==> U) ==> (⟷))) ==> (⟶)-1-1) trans-
fer-ball-range transfer-ball-range⟩
    apply (rule rev-mp)
    apply (intro rel-fun-mono')
    by (auto simp: rev-implies-def)

from 1 2 show ?thesis
  apply (auto intro!: rel-funI simp: conversep-iff[abs-def])
  apply (smt (z3) rel-funE)
  by (smt (verit) rel-funE rev-implies-def)
qed

```

definition $\langle \text{transfer-Times } A B = A \times B \rangle$

lemma *transfer-Times-parametricity*[*transfer-rule*]:

includes *lifting-syntax*

shows $\langle (\text{rel-set } T \text{ ====} \rangle \text{ rel-set } U \text{ ====} \rangle \text{ rel-set } (\text{rel-prod } T U) \rangle \text{ transfer-Times transfer-Times}$

by (*auto intro!*: *rel-funI simp add: transfer-Times-def rel-set-def*)

lemma *csubspace-nonempty*: $\langle \text{csubspace } X \implies X \neq \{\} \rangle$

using *complex-vector.subspace-0* **by** *auto*

definition $\langle \text{transfer-vimage-into } f U s = (f \text{ -' } U) \cap s \rangle$

lemma *transfer-vimage-into-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle \langle \text{bi-unique } B \rangle$

shows $\langle ((A \text{ ====} \rangle B) \text{ ====} \rangle \text{ rel-set } B \text{ ====} \rangle \text{ rel-set } A \text{ ====} \rangle \text{ rel-set } A \rangle \text{ transfer-vimage-into transfer-vimage-into}$

unfolding *transfer-vimage-into-def*

apply (*auto intro!*: *rel-funI simp: rel-set-def*)

by (*metis Int-iff apply-rsp' assms bi-unique-def vimage-eq*)⁺

lemma *make-parametricity-proof-friendly*:

shows $\langle (\forall x. P \longrightarrow Q x) \longleftrightarrow (P \longrightarrow (\forall x. Q x)) \rangle$

and $\langle (\forall x. x \in S \longrightarrow Q x) \longleftrightarrow (\forall x \in S. Q x) \rangle$

and $\langle (\forall x \subseteq S. R x) \longleftrightarrow (\forall x \in \text{Pow } S. R x) \rangle$

and $\langle \{x \in S. Q x\} = \text{Set.filter } Q S \rangle$

and $\langle \{x. x \subseteq S \wedge R x\} = \text{Set.filter } R (\text{Pow } S) \rangle$

and $\langle \bigwedge P. (\forall f. \text{range } f \subseteq A \longrightarrow P f) = \text{transfer-ball-range } A P \rangle$

and $\langle \bigwedge A B. A \times B = \text{transfer-Times } A B \rangle$

and $\langle \bigwedge B P. (\exists A \subseteq B. P A) \longleftrightarrow (\exists A \in \text{Pow } B. P A) \rangle$

and $\langle \bigwedge f U s. (f \text{ -' } U) \cap s = \text{transfer-vimage-into } f U s \rangle$

and $\langle \bigwedge M B. \bigcap M \cap \text{principal } B = \text{transfer-bounded-filter-Inf } B M \rangle$

and $\langle \bigwedge F M. F \cap \text{principal } M = \text{transfer-inf-principal } F M \rangle$

by (*auto simp: transfer-ball-range-def transfer-Times-def transfer-vimage-into-def transfer-bounded-filter-Inf-def transfer-inf-principal-def*)

7.3 *plus*

locale *plus-ow* =

fixes *U plus*

assumes $\langle \forall x \in U. \forall y \in U. \text{plus } x y \in U \rangle$

lemma *plus-ow-parametricity*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$

shows $\langle (\text{rel-set } A \text{ ====} \rangle (A \text{ ====} \rangle A \text{ ====} \rangle A) \text{ ====} \rangle (=)$

plus-ow plus-ow
unfolding *plus-ow-def*
by *transfer-prover*

7.3.1 *minus*

locale *minus-ow* = **fixes** *U minus* **assumes** $\langle \forall x \in U. \forall y \in U. \text{minus } x \ y \in U \rangle$

lemma *minus-ow-parametricity*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ====} \rangle (A \text{ ====} \rangle A \text{ ====} \rangle A) \text{ ====} \rangle (=)$
minus-ow minus-ow
unfolding *minus-ow-def*
by *transfer-prover*

7.3.2 *uminus*

locale *uminus-ow* = **fixes** *U uminus* **assumes** $\langle \forall x \in U. \text{uminus } x \in U \rangle$

lemma *uminus-ow-parametricity*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ====} \rangle (A \text{ ====} \rangle A) \text{ ====} \rangle (=)$
uminus-ow uminus-ow
unfolding *uminus-ow-def*
by *transfer-prover*

7.4 *semigroup*

locale *semigroup-ow* = *plus-ow U plus* **for** *U plus +*
assumes $\langle \forall x \in U. \forall y \in U. \forall z \in U. \text{plus } x \ (\text{plus } y \ z) = \text{plus } (\text{plus } x \ y) \ z \rangle$

lemma *semigroup-ow-parametricity*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ====} \rangle (A \text{ ====} \rangle A \text{ ====} \rangle A) \text{ ====} \rangle (=)$
semigroup-ow semigroup-ow
unfolding *semigroup-ow-def semigroup-ow-axioms-def*
by *transfer-prover*

lemma *semigroup-ow-typeclass*[*simp, iff*]: $\langle \text{semigroup-ow } V \ (+) \rangle$
if $\langle \bigwedge x \ y. x \in V \implies y \in V \implies x + y \in V \rangle$ **for** $V :: \langle 'a :: \text{semigroup-add set} \rangle$
by (*auto intro!*: *plus-ow.intro semigroup-ow.intro semigroup-ow-axioms.intro simp: Groups.add-ac that*)

lemma *class-semigroup-add-ud*[*unoverload-def*]: $\langle \text{class.semigroup-add} = \text{semigroup-ow UNIV} \rangle$
by (*auto intro!*: *ext plus-ow.intro simp: class.semigroup-add-def semigroup-ow-def semigroup-ow-axioms-def*)

7.5 *abel-semigroup*

locale *abel-semigroup-ow* = *semigroup-ow* *U* *plus* **for** *U* *plus* +
assumes $\langle \forall x \in U. \forall y \in U. \text{plus } x \ y = \text{plus } y \ x \rangle$

lemma *abel-semigroup-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ==== } (A \text{ ==== } A \text{ ==== } A) \text{ ==== } (=))$
abel-semigroup-ow *abel-semigroup-ow* \rangle
unfolding *abel-semigroup-ow-def* *abel-semigroup-ow-axioms-def* *make-parametricity-proof-friendly*
by *transfer-prover*

lemma *abel-semigroup-ow-typeclass*[*simp*, *iff*]: $\langle \text{abel-semigroup-ow } V \ (+) \rangle$
if $\langle \bigwedge x \ y. x \in V \implies y \in V \implies x + y \in V \rangle$ **for** $V :: \langle 'a :: \text{ab-semigroup-add set} \rangle$
by (*auto simp: abel-semigroup-ow-def abel-semigroup-ow-axioms-def Groups.add-ac that*)

lemma *class-ab-semigroup-add-ud*[*unoverload-def*]: $\langle \text{class.ab-semigroup-add} = \text{abel-semigroup-ow}$
UNIV \rangle
by (*auto intro!: ext simp: class.ab-semigroup-add-def abel-semigroup-ow-def*
class-semigroup-add-ud abel-semigroup-ow-axioms-def class.ab-semigroup-add-axioms-def)

7.6 *comm-monoid*

locale *comm-monoid-ow* = *abel-semigroup-ow* *U* *plus* **for** *U* *plus* +
fixes *zero*
assumes $\langle \text{zero} \in U \rangle$
assumes $\langle \forall x \in U. \text{plus } x \ \text{zero} = x \rangle$

lemma *comm-monoid-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ==== } (A \text{ ==== } A \text{ ==== } A) \text{ ==== } A \text{ ==== } (=))$
comm-monoid-ow *comm-monoid-ow* \rangle
unfolding *comm-monoid-ow-def* *comm-monoid-ow-axioms-def* *make-parametricity-proof-friendly*
by *transfer-prover*

lemma *comm-monoid-ow-typeclass*[*simp*, *iff*]: $\langle \text{comm-monoid-ow } V \ (+) \ 0 \rangle$
if $\langle 0 \in V \rangle$ **and** $\langle \bigwedge x \ y. x \in V \implies y \in V \implies x + y \in V \rangle$ **for** $V :: \langle 'a :: \text{comm-monoid-add set} \rangle$
by (*auto simp: comm-monoid-ow-def comm-monoid-ow-axioms-def that*)

lemma *class-comm-monoid-add-ud*[*unoverload-def*]: $\langle \text{class.comm-monoid-add} = \text{comm-monoid-ow}$
UNIV \rangle
apply (*auto intro!: ext simp: class.comm-monoid-add-def comm-monoid-ow-def*
class-ab-semigroup-add-ud class.comm-monoid-add-axioms-def comm-monoid-ow-axioms-def)
by (*simp-all add: abel-semigroup-ow-def abel-semigroup-ow-axioms-def*)

7.7 *topological-space*

locale *topological-space-ow* =

fixes U *open*
assumes $\langle \text{open } U \rangle$
assumes $\langle \forall S \subseteq U. \forall T \subseteq U. \text{open } S \longrightarrow \text{open } T \longrightarrow \text{open } (S \cap T) \rangle$
assumes $\langle \forall K \subseteq \text{Pow } U. (\forall S \in K. \text{open } S) \longrightarrow \text{open } (\bigcup K) \rangle$

lemma *topological-space-ow-parametricity[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ =====} \text{ rel-set } A \text{ =====} (=)) \text{ =====} (=) \rangle$
topological-space-ow topological-space-ow
unfolding *topological-space-ow-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *class-topological-space-ud[unoverload-def]*: $\langle \text{class.topological-space} = \text{topological-space-ow UNIV} \rangle$
by (*auto intro!*: *ext simp*: *class.topological-space-def topological-space-ow-def*)

lemma *topological-space-ow-from-topology[simp]*: $\langle \text{topological-space-ow } (\text{topspace } T) (\text{openin } T) \rangle$
by (*auto intro!*: *topological-space-ow.intro*)

7.8 sum

definition $\langle \text{sum-ow } z \text{ plus } f \text{ } S =$
*(if finite } S \text{ then the-default } z \text{ (Collect (fold-graph (plus o } f) \text{ } z \text{ } S)) \text{ else } z) \rangle
for $U \text{ } z \text{ plus } S$*

lemma *sum-ow-parametric[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle \langle \text{bi-unique } U \rangle$
shows $\langle (T \text{ =====} (V \text{ =====} T \text{ =====} T) \text{ =====} (U \text{ =====} V) \text{ =====} \text{rel-set } U \text{ =====} T) \rangle$
sum-ow sum-ow
unfolding *sum-ow-def*
by *transfer-prover*

lemma (**in** *comm-monoid-set*) *comp-fun-commute-onI*: $\langle \text{Finite-Set.comp-fun-commute-on UNIV } ((*) \circ g) \rangle$
apply (*rule Finite-Set.comp-fun-commute-on.intro*)
by (*simp add*: *o-def left-commute*)

lemma (**in** *comm-monoid-set*) *F-via-the-default*: $\langle F \text{ } g \text{ } A = \text{the-default def (Collect (fold-graph } ((*) \circ g) \text{ } \mathbf{1} \text{ } A)) \rangle$
if $\langle \text{finite } A \rangle$
proof –
have $\langle y = x \rangle$ **if** $\langle \text{fold-graph } ((*) \circ g) \text{ } \mathbf{1} \text{ } A \text{ } x \rangle$ **and** $\langle \text{fold-graph } ((*) \circ g) \text{ } \mathbf{1} \text{ } A \text{ } y \rangle$ **for** $x \text{ } y$
using that **apply** (*rule Finite-Set.comp-fun-commute-on.fold-graph-determ[rotated 2, where } S = \text{UNIV}*)
by (*simp-all add*: *comp-fun-commute-onI*)
then have $\langle \text{Ex1 (fold-graph } ((*) \circ g) \text{ } \mathbf{1} \text{ } A) \rangle$

by (*meson finite-imp-fold-graph that*)
then have $\langle \text{card } (\text{Collect } (\text{fold-graph } ((*) \circ g) \mathbf{1} A)) = 1 \rangle$
using *card-eq-Suc-0-ex1* **by** *fastforce*
then show *?thesis*
using that by (*auto simp add: the-default-The eq-fold Finite-Set.fold-def*)
qed

lemma *sum-ud[unoverload-def]: $\langle \text{sum} = \text{sum-ow } 0 \text{ plus} \rangle$*
apply (*auto intro!: ext simp: sum-def sum-ow-def comm-monoid-set.F-via-the-default*)
apply (*subst comm-monoid-set.F-via-the-default*)
apply (*auto simp add: sum.comm-monoid-set-axioms*)
by (*metis comm-monoid-add-class.sum-def sum.infinite*)

7.9 *t2-space*

locale *t2-space-ow = topological-space-ow +*
assumes $\langle \forall x \in U. \forall y \in U. x \neq y \longrightarrow (\exists S \subseteq U. \exists T \subseteq U. \text{open } S \wedge \text{open } T \wedge x \in S \wedge y \in T \wedge S \cap T = \{\}) \rangle$

lemma *t2-space-ow-parametric[transfer-rule]:*
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ===} \rangle (\text{rel-set } A \text{ ===} \rangle (=)) \text{ ===} \rangle (=) \rangle$
t2-space-ow t2-space-ow
unfolding *t2-space-ow-def t2-space-ow-axioms-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *class-t2-space-ud[unoverload-def]: $\langle \text{class.t2-space} = \text{t2-space-ow UNIV} \rangle$*
by (*auto intro!: ext simp: class.t2-space-def class.t2-space-axioms-def t2-space-ow-def t2-space-ow-axioms-def class-topological-space-ud*)

lemma *t2-space-ow-from-topology[simp, iff]: $\langle \text{t2-space-ow } (\text{topspace } T) (\text{openin } T) \rangle$ if $\langle \text{Hausdorff-space } T \rangle$*
using that
apply (*auto intro!: t2-space-ow.intro simp: t2-space-ow-axioms-def Hausdorff-space-def disjoint-def*)
by (*metis openin-subset*)

7.9.1 *continuous-on*

definition *continuous-on-ow* **where** $\langle \text{continuous-on-ow } A B \text{ opnA opnB } s f \longleftrightarrow (\forall U \subseteq B. \text{opnB } U \longrightarrow (\exists V \subseteq A. \text{opnA } V \wedge (V \cap s) = (f \text{ -' } U) \cap s)) \rangle$
for $f :: \langle 'a \Rightarrow 'b \rangle$

lemma *continuous-on-ud[unoverload-def]: $\langle \text{continuous-on } s f \longleftrightarrow \text{continuous-on-ow UNIV UNIV open open } s f \rangle$*
for $f :: \langle 'a::\text{topological-space} \Rightarrow 'b::\text{topological-space} \rangle$
unfolding *continuous-on-ow-def continuous-on-open-invariant* **by** *auto*

lemma *continuous-on-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle \langle \text{bi-unique } B \rangle$
shows $\langle (\text{rel-set } A \text{ ==== } \text{rel-set } B \text{ ==== } (\text{rel-set } A \text{ ==== } (\longleftrightarrow)) \text{ ==== } (\text{rel-set } B \text{ ==== } (\longleftrightarrow)) \text{ ==== } \text{rel-set } A \text{ ==== } (A \text{ ==== } B) \text{ ==== } (\longleftrightarrow)) \text{ continuous-on-ow continuous-on-ow} \rangle$
unfolding *continuous-on-ow-def make-parametricity-proof-friendly*
by *transfer-prover*

7.10 *scaleR*

locale *scaleR-ow* =
fixes *U* **and** *scaleR* :: $\langle \text{real} \Rightarrow 'a \Rightarrow 'a \rangle$
assumes *scaleR-closed*: $\langle \forall a \in U. \text{scaleR } r \ a \in U \rangle$

lemma *scaleR-ow-typeclass*[*simp*]: $\langle \text{scaleR-ow UNIV scaleR} \rangle$ **for** *scaleR*
by (*simp add: scaleR-ow-def*)

lemma *scaleR-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ==== } ((=) \text{ ==== } A \text{ ==== } A) \text{ ==== } (=)) \text{ scaleR-ow scaleR-ow} \rangle$
unfolding *scaleR-ow-def make-parametricity-proof-friendly*
by *transfer-prover*

7.11 *scaleC*

locale *scaleC-ow* = *scaleR-ow* +
fixes *scaleC*
assumes *scaleC-closed*: $\langle \forall a \in U. \text{scaleC } c \ a \in U \rangle$
assumes $\langle \forall a \in U. \text{scaleR } r \ a = \text{scaleC } (\text{complex-of-real } r) \ a \rangle$

lemma *scaleC-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ==== } ((=) \text{ ==== } A \text{ ==== } A) \text{ ==== } ((=) \text{ ==== } A \text{ ==== } A) \text{ ==== } (=)) \text{ scaleC-ow scaleC-ow} \rangle$
unfolding *scaleC-ow-def scaleC-ow-axioms-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *class-scaleC-ud*[*unoverload-def*]: $\langle \text{class.scaleC} = \text{scaleC-ow UNIV} \rangle$
by (*auto intro!: ext simp: class.scaleC-def scaleC-ow-def scaleR-ow-def scaleC-ow-axioms-def*)

7.12 *ab-group-add*

locale *ab-group-add-ow* = *comm-monoid-ow U plus zero + minus-ow U minus + uminus-ow U uminus*
for *U plus zero minus uminus +*

assumes $\langle \forall a \in U. \text{uminus } a \in U \rangle$
assumes $\forall a \in U. \text{plus } (\text{uminus } a) a = \text{zero}$
assumes $\forall a \in U. \forall b \in U. \text{minus } a b = \text{plus } a (\text{uminus } b)$

lemma *ab-group-add-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{====>} (A \text{====>} A \text{====>} A) \text{====>} A \text{====>} (A \text{====>} A \text{====>} A) \text{====>} (A \text{====>} A) \text{====>} (=) \rangle$
ab-group-add-ow ab-group-add-ow
unfolding *ab-group-add-ow-def ab-group-add-ow-axioms-def*
apply *transfer-prover-start*
apply *transfer-step+*
by *transfer-prover*

lemma *ab-group-add-ow-typeclass*[*simp*]:
 $\langle \text{ab-group-add-ow } V (+) 0 (-) \text{uminus} \rangle$
if $\langle 0 \in V \rangle \langle \forall x \in V. -x \in V \rangle \langle \forall x \in V. \forall y \in V. x + y \in V \rangle$
for $V :: \langle - :: \text{ab-group-add set} \rangle$
using *that*
apply (*auto intro!*: *ab-group-add-ow.intro ab-group-add-ow-axioms.intro comm-monoid-ow-typeclass minus-ow.intro uminus-ow.intro*)
by *force*

lemma *class-ab-group-add-ud*[*unoverload-def*]: $\langle \text{class.ab-group-add} = \text{ab-group-add-ow UNIV} \rangle$
by (*auto intro!*: *ext simp: class.ab-group-add-def ab-group-add-ow-def class-comm-monoid-add-ud minus-ow-def uminus-ow-def ab-group-add-ow-axioms-def class.ab-group-add-axioms-def*)

7.13 vector-space

locale *vector-space-ow* = *ab-group-add-ow* U *plus zero minus uminus*
for U *plus zero minus uminus +*
fixes *scale* :: $'f :: \text{field} \Rightarrow 'a \Rightarrow 'a$
assumes
 $\langle \forall x \in U. \text{scale } a x \in U \rangle$
 $\forall x \in U. \forall y \in U. \text{scale } a (\text{plus } x y) = \text{plus } (\text{scale } a x) (\text{scale } a y)$
 $\forall x \in U. \text{scale } (a + b) x = \text{plus } (\text{scale } a x) (\text{scale } b x)$
 $\forall x \in U. \text{scale } a (\text{scale } b x) = \text{scale } (a * b) x$
 $\forall x \in U. \text{scale } 1 x = x$

lemma *vector-space-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{====>} (A \text{====>} A \text{====>} A) \text{====>} A \text{====>} (A \text{====>} A \text{====>} A) \text{====>} (A \text{====>} A) \text{====>} ((=) \text{====>} A \text{====>} A) \text{====>} (=) \rangle$
vector-space-ow vector-space-ow
unfolding *vector-space-ow-def vector-space-ow-axioms-def*
apply *transfer-prover-start*
apply *transfer-step+*

by *simp*

7.14 complex-vector

locale *complex-vector-ow* = *vector-space-ow* *U* plus zero minus uminus *scaleC* + *scaleC-ow* *U*
scaleR *scaleC*

for *U* *scaleR* *scaleC* plus zero minus uminus

lemma *complex-vector-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$

shows $\langle (\text{rel-set } A \implies ((=) \implies A \implies A) \implies ((=) \implies A \implies A) \implies (A \implies A \implies A) \implies$

$A \implies (A \implies A \implies A) \implies (A \implies A) \implies (=) \rangle$

complex-vector-ow *complex-vector-ow*

unfolding *complex-vector-ow-def* *make-parametricity-proof-friendly*

by *transfer-prover*

lemma *class-complex-vector-ud*[*unoverload-def*]: $\langle \text{class.complex-vector} = \text{complex-vector-ow } UNIV \rangle$

by (auto intro!: *ext simp*: *class.complex-vector-def* *vector-space-ow-def* *vector-space-ow-axioms-def*
class.complex-vector-axioms-def *class.scaleC-def* *complex-vector-ow-def*
class.scaleC-ud *class-ab-group-add-ud*)

lemma *vector-space-ow-typeclass*[*simp*]:

$\langle \text{vector-space-ow } V (+) 0 (-) \text{uminus } (*_C) \rangle$

if [*simp*]: $\langle \text{csubspace } V \rangle$

for $V :: \langle \text{--::complex-vector set} \rangle$

by (auto intro!: *vector-space-ow.intro* *ab-group-add-ow-typeclass* *scaleC-left.add*

vector-space-ow-axioms.intro *complex-vector.subspace-neg* *scaleC-add-right*

complex-vector.subspace-add *complex-vector.subspace-scale* *complex-vector.subspace-0*)

lemma *complex-vector-ow-typeclass*[*simp*]:

$\langle \text{complex-vector-ow } V (*_R) (*_C) (+) 0 (-) \text{uminus} \rangle$ if [*simp*]: $\langle \text{csubspace } V \rangle$

by (auto intro!: *scaleC-ow-def* *simp* *add*: *complex-vector-ow-def* *scaleC-ow-def*

scaleC-ow-axioms-def *scaleR-ow-def* *scaleR-scaleC* *complex-vector.subspace-scale*)

7.15 open-uniformity

locale *open-uniformity-ow* = *open* *open* + *uniformity* *uniformity*

for *A* *open* *uniformity* +

assumes *open-uniformity*:

$\bigwedge U. U \subseteq A \implies \text{open } U \iff (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U) \text{uniformity})$

lemma *open-uniformity-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$

shows $\langle (\text{rel-set } A \implies (\text{rel-set } A \implies (=) \implies \text{rel-filter } (\text{rel-prod } A A) \implies (=))$

$\text{open-uniformity-ow } \text{open-uniformity-ow} \rangle$

unfolding *open-uniformity-ow-def* *make-parametricity-proof-friendly*

by *transfer-prover*

lemma *class-open-uniformity-ud*[*unoverload-def*]: $\langle \text{class.open-uniformity} = \text{open-uniformity-ow UNIV} \rangle$

by (*auto intro!*: *ext simp*: *class.open-uniformity-def open-uniformity-ow-def*)

lemma *open-uniformity-on-typeclass*[*simp*]:

fixes $V :: \langle \text{open-uniformity set} \rangle$

assumes $\langle \text{closed } V \rangle$

shows $\langle \text{open-uniformity-ow } V \text{ (openin (top-of-set } V)) \text{ (uniformity-on } V) \rangle$

proof (*rule open-uniformity-ow.intro*, *intro allI impI iffI ballI*)

fix U assume $\langle U \subseteq V \rangle$

assume $\langle \text{openin (top-of-set } V) U \rangle$

then obtain T where $\langle U = T \cap V \rangle$ and $\langle \text{open } T \rangle$

by (*metis Int-ac*(\mathcal{I}) *openin-open*)

with *open-uniformity*

have *: $\langle \forall_F (x', y) \text{ in uniformity. } x' = x \longrightarrow y \in T \rangle$ if $\langle x \in T \rangle$ for x

using that by *blast*

have $\langle \forall_F (x', y) \text{ in uniformity-on } V. x' = x \longrightarrow y \in U \rangle$ if $\langle x \in U \rangle$ for x

apply (*rule eventually-inf-principal*[*THEN iffD2*])

using $*[of x]$ apply (*rule eventually-rev-mp*)

using $\langle U = T \cap V \rangle$ that by (*auto intro!*: *always-eventually*)

then show $\langle \forall_F (x', y) \text{ in uniformity-on } V. x' = x \longrightarrow y \in U \rangle$ if $\langle x \in U \rangle$ for x

using that by *blast*

next

fix U assume $\langle U \subseteq V \rangle$

assume *asm*: $\langle \forall x \in U. \forall_F (x', y) \text{ in uniformity-on } V. x' = x \longrightarrow y \in U \rangle$

from *asm*[*rule-format*]

have $\langle \forall_F (x', y) \text{ in uniformity. } x' \in V \wedge y \in V \wedge x' = x \longrightarrow y \in U \cup -V \rangle$ if $\langle x \in U \rangle$ for

x

unfolding *eventually-inf-principal*

apply (*rule eventually-rev-mp*)

using that by (*auto intro!*: *always-eventually*)

then have xU : $\langle \forall_F (x', y) \text{ in uniformity. } x' = x \longrightarrow y \in U \cup -V \rangle$ if $\langle x \in U \rangle$ for x

apply (*rule eventually-rev-mp*)

using that $\langle U \subseteq V \rangle$ by (*auto intro!*: *always-eventually*)

have $\langle \text{open } (-V) \rangle$

using *assms* by *auto*

with *open-uniformity*

have $\langle \forall_F (x', y) \text{ in uniformity. } x' = x \longrightarrow y \in -V \rangle$ if $\langle x \in -V \rangle$ for x

using that by *blast*

then have xV : $\langle \forall_F (x', y) \text{ in uniformity. } x' = x \longrightarrow y \in U \cup -V \rangle$ if $\langle x \in -V \rangle$ for x

apply (*rule eventually-rev-mp*)

apply (*rule that*)

apply (*rule always-eventually*)

by *auto*

have $\langle \forall_F (x', y) \text{ in uniformity. } x' = x \longrightarrow y \in U \cup -V \rangle$ if $\langle x \in U \cup -V \rangle$ for x

using $xV[of x]$ $xU[of x]$ that

by *auto*

```

then have ⟨open (U ∪ - V)⟩
  using open-uniformity by blast
then show ⟨openin (top-of-set V) U⟩
  using ⟨U ⊆ V⟩
  by (auto intro!: exI simp: openin-open)
qed

```

7.16 uniformity-dist

```

locale uniformity-dist-ow = dist dist + uniformity uniformity for U dist uniformity +
  assumes uniformity-dist: uniformity = (∏ e∈{0<..}. principal {(x, y)∈U×U. dist x y < e})

```

```

lemma class-uniformity-dist-ud[unoverload-def]: ⟨class.uniformity-dist = uniformity-dist-ow UNIV⟩
  by (auto intro!: ext simp: class.uniformity-dist-def uniformity-dist-ow-def)

```

```

lemma uniformity-dist-ow-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: ⟨bi-unique A⟩
  shows ⟨(rel-set A ==> (A ==> A ==> (=)) ==> rel-filter (rel-prod A A) ==>
  (=))
  uniformity-dist-ow uniformity-dist-ow⟩

```

proof –

```

  have *: uniformity-dist-ow U dist uniformity ↔
    uniformity = transfer-bounded-filter-Inf (transfer-Times U U)
    ((λe. principal (Set.filter (λ(x,y). dist x y < e) (transfer-Times U U))) ‘{0<..})

```

for U dist uniformity

```

  unfolding uniformity-dist-ow-def make-parametricity-proof-friendly case-prod-unfold
  prod.collapse

```

```

  apply (subst asm-rl[of ⟨∧x. Restr {(x, y). dist x y < x} V = {(x, y). x ∈ V ∧ y ∈ V ∧

```

```

  dist x y < x}⟩, rule-format))

```

show ?thesis

```

  unfolding *[abs-def]

```

```

  by transfer-prover

```

qed

```

lemma uniformity-dist-on-typeclass[simp]: ⟨uniformity-dist-ow V dist (uniformity-on V)⟩ for V
  :: ⟨-::uniformity-dist set⟩

```

```

  apply (auto simp add: uniformity-dist-ow-def uniformity-dist simp flip: INF-inf-const2)

```

```

  apply (subst asm-rl[of ⟨∧x. Restr {(x, y). dist x y < x} V = {(x, y). x ∈ V ∧ y ∈ V ∧
  dist x y < x}⟩, rule-format])

```

```

  by auto

```

7.17 sgn

```

locale sgn-ow =

```

```

  fixes U and sgn :: ⟨'a ⇒ 'a⟩

```

```

  assumes sgn-closed: ⟨∀ a∈U. sgn a ∈ U⟩

```

```

lemma sgn-ow-parametric[transfer-rule]:

```

includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \implies (A \implies A) \implies (=)) \implies (=) \rangle$
sgn-ow sgn-ow
unfolding *sgn-ow-def*
by *transfer-prover*

7.18 *sgn-div-norm*

locale *sgn-div-norm-ow* = *scaleR-ow U scaleR + norm norm + sgn-ow U sgn for U sgn norm scaleR +*
assumes $\forall x \in U. \text{sgn } x = \text{scaleR } (\text{inverse } (\text{norm } x)) \ x$

lemma *class-sgn-div-norm-ud[unoverload-def]*: $\langle \text{class.sgn-div-norm} = \text{sgn-div-norm-ow UNIV} \rangle$
by (*auto intro!*: *ext simp: class.sgn-div-norm-def sgn-div-norm-ow-def sgn-div-norm-ow-axioms-def unoverload-def sgn-ow-def*)

lemma *sgn-div-norm-ow-parametric[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \implies (A \implies A) \implies (A \implies (=)) \implies ((=) \implies A \implies A) \implies (=)) \implies (=) \rangle$
sgn-div-norm-ow sgn-div-norm-ow
unfolding *sgn-div-norm-ow-def sgn-div-norm-ow-axioms-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *sgn-div-norm-on-typeclass[simp]*:
fixes $V :: \langle \text{sgn-div-norm set} \rangle$
assumes $\langle \bigwedge v \ r. v \in V \implies \text{scaleR } r \ v \in V \rangle$
shows $\langle \text{sgn-div-norm-ow } V \ \text{sgn } \text{norm } (*_R) \rangle$
using *assms*
by (*auto simp add: sgn-ow-def sgn-div-norm-ow-axioms-def scaleR-ow-def sgn-div-norm-ow-def sgn-div-norm*)

7.19 *dist-norm*

locale *dist-norm-ow* = *dist dist + norm norm + minus-ow U minus for U minus dist norm +*
assumes *dist-norm*: $\forall x \in U. \forall y \in U. \text{dist } x \ y = \text{norm } (\text{minus } x \ y)$

lemma *dist-norm-ud[unoverload-def]*: $\langle \text{class.dist-norm} = \text{dist-norm-ow UNIV} \rangle$
by (*auto intro!*: *ext simp: class.dist-norm-def dist-norm-ow-def dist-norm-ow-axioms-def minus-ow-def unoverload-def*)

lemma *dist-norm-ow-parametric[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \implies (A \implies A \implies A) \implies (A \implies A \implies (=)) \implies (A \implies (=)) \implies (=)) \implies (=) \rangle$
dist-norm-ow dist-norm-ow

unfolding *dist-norm-ow-def dist-norm-ow-axioms-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *dist-norm-ow-typeclass[simp]*:
fixes $A :: \langle \text{dist-norm set} \rangle$
assumes $\langle \bigwedge a b. [a \in A; b \in A] \implies a - b \in A \rangle$
shows $\langle \text{dist-norm-ow } A \text{ (} - \text{) dist norm} \rangle$
by (*auto simp add: assms dist-norm-ow-def minus-ow-def dist-norm-ow-axioms-def dist-norm*)

7.20 complex-inner

locale *complex-inner-ow = complex-vector-ow U scaleR scaleC plus zero minus uminus*
+ dist-norm-ow U minus dist norm + sgn-div-norm-ow U sgn norm scaleR
+ uniformity-dist-ow U dist uniformity
+ open-uniformity-ow U open uniformity
for *U scaleR scaleC plus zero minus uminus dist norm sgn uniformity open +*
fixes *cinner :: 'a \Rightarrow 'a \Rightarrow complex*
assumes $\forall x \in U. \forall y \in U. \text{cinner } x y = \text{cnj } (\text{cinner } y x)$
and $\forall x \in U. \forall y \in U. \forall z \in U. \text{cinner } (\text{plus } x y) z = \text{cinner } x z + \text{cinner } y z$
and $\forall x \in U. \forall y \in U. \text{cinner } (\text{scaleC } r x) y = \text{cnj } r * \text{cinner } x y$
and $\forall x \in U. 0 \leq \text{cinner } x x$
and $\forall x \in U. \text{cinner } x x = 0 \iff x = \text{zero}$
and $\forall x \in U. \text{norm } x = \text{sqrt } (\text{cmod } (\text{cinner } x x))$

lemma *class-complex-inner-ud[unoverload-def]*: $\langle \text{class.complex-inner} = \text{complex-inner-ow UNIV} \rangle$
apply (*intro ext*)
by (*simp add: class-complex-inner-def class-complex-inner-axioms-def complex-inner-ow-def complex-inner-ow-axioms-def unoverload-def*)

lemma *complex-inner-ow-parametricity[transfer-rule]*:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$
shows $\langle (\text{rel-set } T \text{ ==== } ((=) \text{ ==== } T \text{ ==== } T) \text{ ==== } ((=) \text{ ==== } T \text{ ==== } T) \text{ ==== } (T \text{ ==== } T \text{ ==== } T) \text{ ==== } T$
 $\text{ ==== } (T \text{ ==== } T \text{ ==== } T) \text{ ==== } (T \text{ ==== } T) \text{ ==== } (T \text{ ==== } T \text{ ==== } (=(\text{====})) \text{ ==== } (T \text{ ==== } T) \text{ ==== } (=(\text{====}))$
 $\text{ ==== } (T \text{ ==== } T) \text{ ==== } \text{rel-filter } (\text{rel-prod } T T) \text{ ==== } (\text{rel-set } T \text{ ==== } (=(\text{====})))$
 $\text{ ==== } (T \text{ ==== } T \text{ ==== } (=(\text{====})) \text{ ==== } (=(\text{====})) \text{ complex-inner-ow complex-inner-ow} \rangle$
unfolding *complex-inner-ow-def complex-inner-ow-axioms-def*
by *transfer-prover*

lemma *complex-inner-ow-typeclass[simp]*:
fixes $V :: \langle \text{complex-inner set} \rangle$
assumes [*simp*]: $\langle \text{closed } V \rangle \langle \text{csubspace } V \rangle$
shows $\langle \text{complex-inner-ow } V \text{ (*}_R \text{) (*}_C \text{) (+) 0 (-) uminus dist norm sgn (uniformity-on } V \text{)$
 $\text{(openin (top-of-set } V \text{)) (*}_C \text{)} \rangle$
apply (*auto intro!: complex-vector-ow-typeclass dist-norm-ow-typeclass sgn-div-norm-on-typeclass*
simp: complex-inner-ow-def cinner-simps complex-vector.subspace-diff complex-inner-ow-axioms-def
scaleR-scaleC complex-vector.subspace-scale)

simp flip: norm-eq-sqrt-cinner)
by –

7.21 *is-ortho-set*

definition *is-ortho-set-ow* **where** $\langle \text{is-ortho-set-ow zero cinner } S \longleftrightarrow$
 $((\forall x \in S. \forall y \in S. x \neq y \longrightarrow \text{cinner } x \ y = 0) \wedge \text{zero} \notin S) \rangle$
for *zero cinner*

lemma *is-ortho-set-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (A \text{ ===== } (A \text{ ===== } A \text{ ===== } (=)) \text{ ===== } \text{rel-set } A \text{ ===== } (=))$
 $\text{is-ortho-set-ow is-ortho-set-ow} \rangle$
unfolding *is-ortho-set-ow-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *is-ortho-set-ud*[*unoverload-def*]: $\langle \text{is-ortho-set} = \text{is-ortho-set-ow } 0 \text{ cinner} \rangle$
by (*auto simp: is-ortho-set-ow-def is-ortho-set-def*)

7.22 *metric-space*

locale *metric-space-ow* = *uniformity-dist-ow* *U* *dist* *uniformity* + *open-uniformity-ow* *U* *open*
uniformity

for *U* *dist* *uniformity* *open* +
assumes $\forall x \in U. \forall y \in U. \text{dist } x \ y = 0 \longleftrightarrow x = y$
and $\forall x \in U. \forall y \in U. \forall z \in U. \text{dist } x \ y \leq \text{dist } x \ z + \text{dist } y \ z$

lemma *metric-space-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
shows $\langle (\text{rel-set } A \text{ ===== } (A \text{ ===== } A \text{ ===== } (=)) \text{ ===== } \text{rel-filter } (\text{rel-prod } A \ A) \text{ ===== } ($
 $\text{rel-set } A \text{ ===== } (=)) \text{ ===== } (=))$
 $\text{metric-space-ow metric-space-ow} \rangle$
unfolding *metric-space-ow-def metric-space-ow-axioms-def make-parametricity-proof-friendly*
by *transfer-prover*

lemma *class-metric-space-ud*[*unoverload-def*]: $\langle \text{class.metric-space} = \text{metric-space-ow } \text{UNIV} \rangle$
by (*auto intro!: ext simp: class.metric-space-def class.metric-space-axioms-def metric-space-ow-def*
metric-space-ow-axioms-def unoverload-def)

lemma *metric-space-ow-typeclass*[*simp*]:
fixes $V :: \langle \text{-}::\text{metric-space set} \rangle$
assumes $\langle \text{closed } V \rangle$
shows $\langle \text{metric-space-ow } V \text{ dist } (\text{uniformity-on } V) (\text{openin } (\text{top-of-set } V)) \rangle$
by (*auto simp: asms metric-space-ow-def metric-space-ow-axioms-def class.metric-space-axioms-def*
dist-triangle2)

7.23 nhds

definition *nhds-ow* **where** $\langle \text{nhds-ow } U \text{ open } a = (\text{INF } S \in \{S. S \subseteq U \wedge \text{open } S \wedge a \in S\}. \text{principal } S) \sqcap \text{principal } U \rangle$
for $U \text{ open}$

lemma *nhds-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$

shows $\langle (\text{rel-set } A \text{ =====} \text{ rel-set } A \text{ =====} (=)) \text{ =====} A \text{ =====} \text{rel-filter } A \rangle$
nhds-ow nhds-ow

unfolding *nhds-ow-def*[*folded transfer-bounded-filter-Inf-def*] *make-parametricity-proof-friendly*
by *transfer-prover*

lemma *topological-space-nhds-ud*[*unoverload-def*]: $\langle \text{topological-space.nhds} = \text{nhds-ow UNIV} \rangle$
by (*auto intro!*: *ext simp add: nhds-ow-def* [[*axiom topological-space.nhds-def-raw*]])

lemma *nhds-ud*[*unoverload-def*]: $\langle \text{nhds} = \text{nhds-ow UNIV open} \rangle$
by (*auto intro!*: *ext simp add: nhds-ow-def nhds-def*)

lemma *nhds-ow-topology*[*simp*]: $\langle \text{nhds-ow (topspace } T) (\text{openin } T) x = \text{nhdsin } T x \rangle$ **if** $\langle x \in \text{topspace } T \rangle$
using *that apply* (*auto intro!*: *ext simp add: nhds-ow-def nhdsin-def*[*abs-def*])
apply (*subst INF-inf-const2*[*symmetric*])
using *openin-subset* **by** (*auto intro!*: *INF-cong*)

7.24 at-within

definition $\langle \text{at-within-ow } U \text{ open } a s = \text{nhds-ow } U \text{ open } a \sqcap \text{principal } (s - \{a\}) \rangle$
for $U \text{ open } a s$

lemma *at-within-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$

shows $\langle ((\text{rel-set } T) \text{ =====} (\text{rel-set } T \text{ =====} (=)) \text{ =====} T \text{ =====} \text{rel-set } T \text{ =====} \text{rel-filter } T) \rangle$

at-within-ow at-within-ow

unfolding *at-within-ow-def* *make-parametricity-proof-friendly* *transfer-inf-principal-def*[*symmetric*]
by *transfer-prover*

lemma *at-within-ud*[*unoverload-def*]: $\langle \text{at-within} = \text{at-within-ow UNIV open} \rangle$
by (*auto intro!*: *ext simp: at-within-def at-within-ow-def unoverload-def*)

lemma *at-within-ow-topology*:

$\langle \text{at-within-ow (topspace } T) (\text{openin } T) a S = \text{nhdsin } T a \sqcap \text{principal } (S - \{a\}) \rangle$

if $\langle a \in \text{topspace } T \rangle$

using *that unfolding* *at-within-ow-def* **by** (*simp add: nhds-ow-topology*)

7.25 (has-sum)

definition \langle has-sum-ow U plus zero open $f A x =$
filterlim (sum-ow zero plus f) (nhds-ow $U (\lambda S. \text{open } S) x$)
(finite-subsets-at-top A) \rangle
for U plus zero open $f A x$

lemma has-sum-ow-parametric[transfer-rule]:

includes lifting-syntax
assumes [transfer-rule]: \langle bi-unique $T \rangle \langle$ bi-unique $U \rangle$
shows \langle (rel-set T $====>$ (V $====>$ T $====>$ T) $====>$ T $====>$ (rel-set T $====>$ (=))
 $====>$ (U $====>$ V) $====>$ rel-set U $====>$ T $====>$ (=))
has-sum-ow has-sum-ow \rangle
unfolding has-sum-ow-def
by transfer-prover

lemma has-sum-ud[unoverload-def]: \langle HAS-SUM = has-sum-ow UNIV plus ($0::'a::\{\text{comm-monoid-add, topological-space}\}$) open \rangle

by (auto intro!: ext simp: has-sum-def has-sum-ow-def unoverload-def)

lemma has-sum-ow-topology:

assumes $\langle l \in \text{topspace } T \rangle$
assumes $\langle 0 \in \text{topspace } T \rangle$
assumes $\langle \bigwedge x y. x \in \text{topspace } T \implies y \in \text{topspace } T \implies x + y \in \text{topspace } T \rangle$
shows \langle has-sum-ow (topspace T) (+) 0 (openin T) $f S l \iff$ has-sum-in $T f S l$ \rangle
using assms **apply** (simp add: has-sum-ow-def has-sum-in-def nhds-ow-topology sum-ud[symmetric])
by (metis filterlim-nhdsin-iff-limitin)

7.26 filterlim

7.27 convergent

definition convergent-ow **where**

\langle convergent-ow U open $X \iff (\exists L \in U. \text{filterlim } X (\text{nhds-ow } U \text{ open } L) \text{ sequentially}) \rangle$

for U open

lemma convergent-ow-parametric[transfer-rule]:

includes lifting-syntax
assumes [transfer-rule]: \langle bi-unique $T \rangle$
shows \langle (rel-set T $====>$ (rel-set T $====>$ (=)) $====>$ ((=) $====>$ T) $====>$ (\iff)
convergent-ow convergent-ow \rangle
unfolding convergent-ow-def
by transfer-prover

lemma convergent-ud[unoverload-def]: \langle convergent = convergent-ow UNIV open \rangle

by (auto simp: convergent-ow-def[abs-def] convergent-def[abs-def] unoverload-def)

lemma topological-space-convergent-ud[unoverload-def]: \langle topological-space.convergent = convergent-ow UNIV \rangle

by (auto intro!: ext simp: [[axiom topological-space.convergent-def-raw]])

convergent-ow-def *unoverload-def*)

lemma *convergent-ow-topology*[*simp*]:
⟨*convergent-ow* (*topspace* *T*) (*openin* *T*) *f* \longleftrightarrow ($\exists l. \textit{limitin } T \textit{ f l sequentially}$)⟩
by (*auto simp: convergent-ow-def simp flip: filterlim-nhdsin-iff-limitin*)

lemma *convergent-ow-typeclass*[*simp*]:
⟨*convergent-ow* *V* (*openin* (*top-of-set* *V*)) *f* \longleftrightarrow ($\exists l. \textit{limitin } (\textit{top-of-set } V) \textit{ f l sequentially}$)⟩
by (*simp flip: convergent-ow-topology*)

7.28 *uniform-space.cauchy-filter*

lemma *cauchy-filter-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: *bi-unique* *T*
shows (*rel-filter* (*rel-prod* *T* *T*) \implies *rel-filter* *T* \implies (=))
uniform-space.cauchy-filter
uniform-space.cauchy-filter
unfolding [[*axiom uniform-space.cauchy-filter-def-raw*]]
by *transfer-prover*

7.29 *uniform-space.Cauchy*

lemma *uniform-space-Cauchy-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: *bi-unique* *T*
shows (*rel-filter* (*rel-prod* *T* *T*) \implies ((=) \implies *T*) \implies (=))
uniform-space.Cauchy
uniform-space.Cauchy
unfolding [[*axiom uniform-space.Cauchy-uniform-raw*]]
using *filtermap-parametric*[*transfer-rule*] **apply** *fail?*
by *transfer-prover*

7.30 *complete-space*

locale *complete-space-ow* = *metric-space-ow* *U* *dist* *uniformity* *open*
for *U* *dist* *uniformity* *open* +
assumes ⟨*range* *X* \subseteq *U* \longrightarrow *uniform-space.Cauchy* *uniformity* *X* \longrightarrow *convergent-ow* *U* *open* *X*⟩

lemma *class-complete-space-ud*[*unoverload-def*]: ⟨*class.complete-space* = *complete-space-ow* *UNIV*⟩
by (*auto intro!: ext simp: class.complete-space-def class.complete-space-axioms-def complete-space-ow-def complete-space-ow-axioms-def unoverload-def*)

lemma *complete-space-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: *bi-unique* *T*
shows (*rel-set* *T* \implies (*T* \implies *T* \implies (=)) \implies *rel-filter* (*rel-prod* *T* *T*) \implies (*rel-set* *T* \implies (=)) \implies (=))

complete-space-ow complete-space-ow
unfolding *complete-space-ow-def complete-space-ow-axioms-def make-parametricity-proof-friendly*
 by *transfer-prover*

lemma *complete-space-ow-typeclass[simp]*:
 fixes $V :: \langle \text{-} :: \text{uniform-space set} \rangle$
 assumes $\langle \text{complete } V \rangle$
 shows $\langle \text{complete-space-ow } V \text{ dist (uniformity-on } V) \text{ (openin (top-of-set } V)) \rangle$
proof (rule *complete-space-ow.intro*)
 show $\langle \text{metric-space-ow } V \text{ dist (uniformity-on } V) \text{ (openin (top-of-set } V)) \rangle$
 apply (rule *metric-space-ow-typeclass*)
 by (simp add: *assms complete-imp-closed*)
 have $\langle \exists l. \text{limitin (top-of-set } V) X l \text{ sequentially} \rangle$
 if $XV: \langle \bigwedge n. X n \in V \rangle$ and *cauchy*: $\langle \text{uniform-space.Cauchy (uniformity-on } V) X \rangle$ for X
proof –
 from *cauchy*
 have $\langle \text{uniform-space.cauchy-filter (uniformity-on } V) \text{ (filtermap } X \text{ sequentially)} \rangle$
 by (simp add: *[[axiom uniform-space.Cauchy-uniform-raw]]*)
 then have $\langle \text{cauchy-filter (filtermap } X \text{ sequentially)} \rangle$
 by (auto simp: *cauchy-filter-def [[axiom uniform-space.cauchy-filter-def-raw]]*)
 then have $\langle \text{Cauchy } X \rangle$
 by (simp add: *Cauchy-uniform*)
 with $\langle \text{complete } V \rangle XV$ obtain $l: \langle X \longrightarrow l \rangle \langle l \in V \rangle$
 apply *atomize-elim*
 by (meson *completeE*)
 with $XV l$ show *?thesis*
 by (auto intro!: *exI[of - l] simp: convergent-def limitin-subtopology*)
qed
 then show $\langle \text{complete-space-ow-axioms } V \text{ (uniformity-on } V) \text{ (openin (top-of-set } V)) \rangle$
 apply (auto simp: *complete-space-ow-axioms-def complete-imp-closed assms*)
 by *blast*
qed

7.31 *chilbert-space*

locale *chilbert-space-ow* = *complex-inner-ow* + *complete-space-ow*

lemma *chilbert-space-ow-parametric[transfer-rule]*:
 includes *lifting-syntax*
 assumes [*transfer-rule*]: $\langle \text{bi-unique } A \rangle$
 shows $\langle (\text{rel-set } A \text{ } \text{====} \Rightarrow ((=) \text{====} \Rightarrow A \text{====} \Rightarrow A) \text{====} \Rightarrow ((=) \text{====} \Rightarrow A \text{====} \Rightarrow A) \text{====} \Rightarrow$
 $(A \text{====} \Rightarrow A \text{====} \Rightarrow A) \text{====} \Rightarrow$
 $A \text{====} \Rightarrow (A \text{====} \Rightarrow A \text{====} \Rightarrow A) \text{====} \Rightarrow (A \text{====} \Rightarrow A) \text{====} \Rightarrow (A \text{====} \Rightarrow A \text{====} \Rightarrow (=))$
 $\text{====} \Rightarrow (A \text{====} \Rightarrow (=)) \text{====} \Rightarrow$
 $(A \text{====} \Rightarrow A) \text{====} \Rightarrow \text{rel-filter (rel-prod } A A) \text{====} \Rightarrow (\text{rel-set } A \text{====} \Rightarrow (=)) \text{====} \Rightarrow (A$
 $\text{====} \Rightarrow A \text{====} \Rightarrow (=)) \text{====} \Rightarrow (=) \rangle$
chilbert-space-ow chilbert-space-ow
unfolding *chilbert-space-ow-def make-parametricity-proof-friendly*
 by *transfer-prover*

lemma *chilbert-space-on-typeclass*[*simp*]:
fixes $V :: \langle - :: \text{complex-inner set} \rangle$
assumes $\langle \text{complete } V \rangle \langle \text{csubspace } V \rangle$
shows $\langle \text{chilbert-space-ow } V (*_R) (*_C) (+) 0 (-) \text{uminus dist norm sgn}$
 $(\text{uniformity-on } V) (\text{openin (top-of-set } V)) (\cdot_C) \rangle$
by (*auto intro!*: *chilbert-space-ow.intro complex-inner-ow-typeclass*
simp: assms complete-imp-closed)

lemma *class-chilbert-space-ud*[*unoverload-def*]:
 $\langle \text{class.chilbert-space} = \text{chilbert-space-ow UNIV} \rangle$
by (*auto intro!*: *ext simp add: class.chilbert-space-def chilbert-space-ow-def unoverload-def*)

7.32 (hull)

definition $\langle \text{hull-ow } A S s = ((\lambda x. S x \wedge x \subseteq A) \text{ hull } s) \cap A \rangle$

lemma *hull-ow-nondegenerate*: $\langle \text{hull-ow } A S s = ((\lambda x. S x \wedge x \subseteq A) \text{ hull } s) \rangle$ **if** $\langle x \subseteq A \rangle$ **and**
 $\langle s \subseteq x \rangle$ **and** $\langle S x \rangle$

proof –

have $\langle ((\lambda x. S x \wedge x \subseteq A) \text{ hull } s) \subseteq x \rangle$

apply (*rule hull-minimal*)

using that by *auto*

also note $\langle x \subseteq A \rangle$

finally show *?thesis*

unfolding *hull-ow-def* **by** *auto*

qed

definition $\langle \text{transfer-bounded-Inf } B M = \text{Inf } M \sqcap B \rangle$

lemma *transfer-bounded-Inf-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes $\langle \text{bi-unique } T \rangle$

shows $\langle (\text{rel-set } T ==> \text{rel-set } (\text{rel-set } T) ==> \text{rel-set } T) \text{ transfer-bounded-Inf trans-fer-bounded-Inf} \rangle$

apply (*auto intro!*: *rel-funI simp: transfer-bounded-Inf-def rel-set-def Bex-def*)

apply (*metis (full-types) assms bi-uniqueDr*)

by (*metis (full-types) assms bi-uniqueDl*)

lemma *hull-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: *bi-unique T*

shows $(\text{rel-set } T ==> (\text{rel-set } T ==> (=)) ==> \text{rel-set } T ==> \text{rel-set } T)$
hull-ow hull-ow

proof –

have $*$: $\langle \text{hull-ow } A S s = \text{transfer-bounded-Inf } A (\text{Set.filter } (\lambda x. S x \wedge s \subseteq x) (\text{Pow } A)) \rangle$ **for**
 $A S s$

by (*auto simp add: hull-ow-def hull-def transfer-bounded-Inf-def*)

show *?thesis*

unfolding *
by *transfer-prover*
qed

lemma *hull-ow-ud*[*unoverload-def*]: $\langle \text{hull} \rangle = \text{hull-ow UNIV} \rangle$
unfolding *hull-def hull-ow-def* **by** *auto*

7.33 *csubspace*

definition

$\langle \text{subspace-ow plus zero scale } S = (\text{zero} \in S \wedge (\forall x \in S. \forall y \in S. \text{plus } x \ y \in S) \wedge (\forall c. \forall x \in S. \text{scale } c \ x \in S)) \rangle$
for *plus zero scale S*

lemma *subspace-ow-parametric*[*transfer-rule*]:

includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$
shows $\langle ((T \text{====} \rangle T \text{====} \rangle T) \text{====} \rangle T \text{====} \rangle ((=) \text{====} \rangle T \text{====} \rangle T) \text{====} \rangle \text{rel-set } T \text{====} \rangle (=) \rangle$
subspace-ow subspace-ow
unfolding *subspace-ow-def*
by *transfer-prover*

lemma *module-subspace-ud*[*unoverload-def*]: $\langle \text{module.subspace} = \text{subspace-ow plus } 0 \rangle$
by (*auto intro!*: *ext simp*: [[*axiom module.subspace-def-raw*]] *subspace-ow-def*)

lemma *csubspace-ud*[*unoverload-def*]: $\langle \text{csubspace} = \text{subspace-ow } (+) \ 0 \ (*_C) \rangle$
by (*simp add*: *csubspace-raw-def module-subspace-ud*)

7.34 *cspan*

definition

$\langle \text{span-ow } U \text{ plus zero scale } b = \text{hull-ow } U \ (\text{subspace-ow plus zero scale}) \ b \rangle$
for *U plus zero scale b*

lemma *span-ow-on-typeclass*:

assumes $\langle \text{csubspace } U \rangle$
assumes $\langle B \subseteq U \rangle$
shows $\langle \text{span-ow } U \text{ plus } 0 \text{ scale } C \ B = \text{cspan } B \rangle$

proof –

have $\langle \text{span-ow } U \text{ plus } 0 \text{ scale } C \ B = (\lambda x. \text{csubspace } x \wedge x \subseteq U) \ \text{hull } B \rangle$
using *assms*
by (*auto simp add*: *span-ow-def hull-ow-nondegenerate*[**where** $x=U$] *csubspace-raw-def* *simp flip*: *csubspace-ud*)
also have $\langle (\lambda x. \text{csubspace } x \wedge x \subseteq U) \ \text{hull } B = \text{cspan } B \rangle$
apply (*rule hull-unique*)
using *assms*(2) *complex-vector.span-superset* **apply** *force*
by (*simp-all add*: *assms complex-vector.span-minimal*)
finally show *?thesis*

by –
qed

lemma (in *Modules.module*) *span-ud*[*unoverload-def*]: $\langle \text{span} = \text{span-ow UNIV plus 0 scale} \rangle$
by (*auto intro!*: *ext simp*: *span-def span-ow-def*
module-subspace-ud hull-ow-ud)

lemmas *cspan-ud*[*unoverload-def*] = *complex-vector.span-ud*

lemma *span-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$
shows $\langle (\text{rel-set } T \text{ =====} \rangle (T \text{ =====} \rangle T \text{ =====} \rangle T) \text{ =====} \rangle T \text{ =====} \rangle ((=) \text{ =====} \rangle T \text{ =====} \rangle T) \text{ =====} \rangle \text{rel-set } T \text{ =====} \rangle \text{rel-set } T \rangle$
span-ow span-ow
unfolding *span-ow-def*
by *transfer-prover*

7.34.1 (*islimpt*)

definition $\langle \text{islimpt-ow } U \text{ open } x \ S \iff (\forall T \subseteq U. x \in T \longrightarrow \text{open } T \longrightarrow (\exists y \in S. y \in T \wedge y \neq x)) \rangle$
for *open*

lemma *islimpt-ow-parametric*[*transfer-rule*]:
includes *lifting-syntax*
assumes [*transfer-rule*]: $\langle \text{bi-unique } T \rangle$
shows $\langle (\text{rel-set } T \text{ =====} \rangle (\text{rel-set } T \text{ =====} \rangle (=)) \text{ =====} \rangle T \text{ =====} \rangle \text{rel-set } T \text{ =====} \rangle (\iff) \rangle$
islimpt-ow islimpt-ow
unfolding *islimpt-ow-def make-parametricity-proof-friendly*
by *transfer-prover*

definition $\langle \text{islimptin } T \ x \ S \iff x \in \text{topspace } T \wedge (\forall V. x \in V \longrightarrow \text{openin } T \ V \longrightarrow (\exists y \in S. y \in V \wedge y \neq x)) \rangle$

lemma *islimpt-ow-from-topology*: $\langle \text{islimpt-ow } (\text{topspace } T) \ (\text{openin } T) \ x \ S \iff \text{islimptin } T \ x \ S \vee x \notin \text{topspace } T \rangle$
apply (*cases* $\langle x \in \text{topspace } T \rangle$)
apply (*simp-all add: islimpt-ow-def islimptin-def Pow-def*)
by *blast+*

7.34.2 *closure*

definition $\langle \text{closure-ow } U \text{ open } S = S \cup \{x \in U. \text{islimpt-ow } U \text{ open } x \ S\} \rangle$ for *open*

lemma *closure-ow-with-typeclass*[*simp*]:
 $\langle \text{closure-ow } X \ (\text{openin } (\text{top-of-set } X)) \ S = (X \cap \text{closure } (X \cap S)) \cup S \rangle$

proof –

have $\langle \text{closure-ow } X \ (\text{openin } (\text{top-of-set } X)) \ S = (\text{top-of-set } X) \ \text{closure-of } S \cup S \rangle$
apply (*simp add: closure-ow-def islimpt-ow-def closure-of-def*)


```

apply safe
apply (meson PowI openin-imp-subset)
by auto
also have  $\langle \dots = (X \cap \text{closure } (X \cap S)) \cup S \rangle$ 
by (simp add: closure-of-subtopology)
finally show ?thesis
by –
qed

```

```

lemma closure-ow-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]:  $\langle \text{bi-unique } T \rangle$ 
  shows  $\langle (\text{rel-set } T \text{ ==== } \text{rel-set } T \text{ ==== } (=)) \text{ ==== } \text{rel-set } T \text{ ==== } \text{rel-set } T \rangle$  closure-ow
  closure-ow
  unfolding closure-ow-def make-parametricity-proof-friendly
  by transfer-prover

```

```

lemma closure-ow-from-topology:  $\langle \text{closure-ow } (\text{topspace } T) (\text{openin } T) S = T \text{ closure-of } S \rangle$  if
 $\langle S \subseteq \text{topspace } T \rangle$ 
  using that apply (auto simp: closure-ow-def islimpt-ow-from-topology in-closure-of)
  apply (meson in-closure-of islimptin-def)
  by (metis islimptin-def)

```

```

lemma closure-ud[unoverload-def]:  $\langle \text{closure} = \text{closure-ow UNIV open} \rangle$ 
  unfolding closure-def closure-ow-def islimpt-def islimpt-ow-def by auto

```

7.35 continuous

```

lemma continuous-on-ow-from-topology:  $\langle \text{continuous-on-ow } (\text{topspace } T) (\text{topspace } U) (\text{openin } T) (\text{openin } U) (\text{topspace } T) f \longleftrightarrow \text{continuous-map } T U f \rangle$ 
  if  $\langle f \text{ ' } \text{topspace } T \subseteq \text{topspace } U \rangle$ 
  apply (simp add: continuous-on-ow-def continuous-map-def)
  apply safe
  apply (meson image-subset-iff that)
  apply (smt (verit) Collect-mono-iff Int-def inf-absorb1 mem-Collect-eq openin-subopen openin-subset vimage-eq)
  by blast

```

7.36 is-onb

```

definition
   $\langle \text{is-onb-ow } U \text{ scaleC plus zero norm open cinner } E \longleftrightarrow \text{is-ortho-set-ow zero cinner } E \wedge (\forall b \in E. \text{norm } b = 1) \wedge \text{closure-ow } U \text{ open } (\text{span-ow } U \text{ plus zero scaleC } E) = U \rangle$ 
  for  $U \text{ scaleC plus zero norm open cinner}$ 

```

```

lemma is-onb-ow-parametric[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]:  $\langle \text{bi-unique } A \rangle$ 

```

```

shows ⟨(rel-set A ===>
  ((=) ===> A ===> A) ===>
  (A ===> A ===> A) ===>
  A ===>
  (A ===> (=)) ===> (rel-set A ===> (=)) ===> (A ===> A ===> (=)) ===>
rel-set A ===> (=)⟩
  is-onb-ow is-onb-ow
unfolding is-onb-ow-def
by transfer-prover

```

```

lemma is-onb-ud[unoverload-def]:
  ⟨is-onb = is-onb-ow UNIV scaleC plus 0 norm open cinner⟩
unfolding is-onb-def is-onb-ow-def
apply (subst asm-rl[of ⟨ $\bigwedge E. \text{ccspan } E = \top \iff \text{closure } (\text{cspan } E) = \text{UNIV}$ ⟩, rule-format])
apply (transfer, rule)
unfolding unoverload-def
apply transfer by auto

```

7.37 Transferring theorems

```

lemma closure-of-eqI:
  fixes f g :: ⟨'a ⇒ 'b⟩ and T :: ⟨'a topology⟩ and U :: ⟨'b topology⟩
  assumes hausdorff: ⟨Hausdorff-space U⟩
  assumes f-eq-g: ⟨ $\bigwedge x. x \in S \implies f x = g x$ ⟩
  assumes x: ⟨x ∈ T closure-of S⟩
  assumes f: ⟨continuous-map T U f⟩ and g: ⟨continuous-map T U g⟩
  shows ⟨f x = g x⟩
proof –
  have ⟨topspace T ≠ {}⟩
    by (metis assms(3) equals0D in-closure-of)
  have ⟨topspace U ≠ {}⟩
    using ⟨topspace T ≠ {}⟩ assms(5) continuous-map-image-subset-topspace by blast

  {
  assume  $\exists (\text{Rep} :: 't \Rightarrow 'a)$  Abs. type-definition Rep Abs (topspace T)
  then interpret T: local-typedef ⟨topspace T⟩ ⟨TYPE('t)⟩
    by unfold-locales
  assume  $\exists (\text{Rep} :: 'u \Rightarrow 'b)$  Abs. type-definition Rep Abs (topspace U)
  then interpret U: local-typedef ⟨topspace U⟩ ⟨TYPE('u)⟩
    by unfold-locales

  note on-closure-eqI
  note this[unfolded unoverload-def]
  note this[unoverload-type 'b, unoverload-type 'a]
  note this[unfolded unoverload-def]
  note this[where 'a='t and 'b='u]
  note this[untransferred]
  note this[where f=f and g=g and S=⟨S ∩ topspace T⟩ and x=x and ?open=openin T
and opena=⟨openin U⟩]

```

```

    note this[simplified]
  }
  note * = this[cancel-type-definition, OF ⟨topspace T ≠ {}⟩, cancel-type-definition, OF ⟨topspace
U ≠ {}⟩]

  have 2: ⟨f ‘ topspace T ⊆ topspace U⟩
  by (meson assms(4) continuous-map-image-subset-topspace)
  have 3: ⟨g ‘ topspace T ⊆ topspace U⟩
  by (simp add: continuous-map-image-subset-topspace g)
  have 4: ⟨x ∈ topspace T⟩
  by (meson assms(3) in-closure-of)
  have 5: ⟨topological-space-ow (topspace T) (openin T)⟩
  by simp
  have 6: ⟨t2-space-ow (topspace U) (openin U)⟩
  by (simp add: hausdorff)
  from x have ⟨x ∈ T closure-of (S ∩ topspace T)⟩
  by (metis closure-of-restrict inf-commute)
  then have 7: ⟨x ∈ closure-ow (topspace T) (openin T) (S ∩ topspace T)⟩
  by (simp add: closure-ow-from-topology)
  have 8: ⟨continuous-on-ow (topspace T) (topspace U) (openin T) (openin U) (topspace T) f⟩
  by (meson 2 continuous-on-ow-from-topology f)
  have 9: ⟨continuous-on-ow (topspace T) (topspace U) (openin T) (openin U) (topspace T) g⟩
  by (simp add: 3 continuous-on-ow-from-topology g)

  show ?thesis
  apply (rule *)
  using 2 3 4 5 6 f-eq-g 7 8 9 by auto
qed

```

lemma *orthonormal-subspace-basis-exists*:

```

fixes S :: ⟨'a::chilbert-space set⟩
assumes ⟨is-ortho-set S⟩ and norm: ⟨ $\bigwedge x. x \in S \implies \text{norm } x = 1$ ⟩ and ⟨S ⊆ space-as-set V⟩
shows ⟨ $\exists B. B \supseteq S \wedge \text{is-ortho-set } B \wedge (\forall x \in B. \text{norm } x = 1) \wedge \text{ccspan } B = V$ ⟩

```

proof –

```

{
  assume  $\exists (\text{Rep} :: 't \Rightarrow 'a)$  Abs. type-definition Rep Abs (space-as-set V)
  then interpret T: local-typedef ⟨space-as-set V⟩ ⟨TYPE('t)⟩
  by unfold-locales

```

```

  note orthonormal-basis-exists
  note this[unfolded unoverload-def]
  note this[unoverload-type 'a]
  note this[unfolded unoverload-def]
  note this[where 'a='t]
  note this[untransferred]
  note this[where plus=plus and scaleC=scaleC and scaleR=scaleR and zero=0 and mi-
nus=minus
    and uminus=uminus and sgn=sgn and S=S and norm=norm and cinner=cinner and

```

```

dist=dist
  and ?open=⟨openin (top-of-set (space-as-set V))⟩
  and uniformity=⟨uniformity-on (space-as-set V)⟩
  note this[simplified Domainp-rel-filter prod.Domainp-rel T.Domainp-cr-S]
}
note * = this[cancel-type-definition]
have 1: ⟨uniformity-on (space-as-set V)
  ≤ principal (Collect (pred-prod (λx. x ∈ space-as-set V) (λx. x ∈ space-as-set V)))⟩
by (auto simp: uniformity-dist intro!: le-infI2)
have ⟨∃ B ∈ {A. ∀ x ∈ A. x ∈ space-as-set V}.
  S ⊆ B ∧ is-onb-ow (space-as-set V) (*C) (+) 0 norm (openin (top-of-set (space-as-set V)))
(*C) B⟩
  apply (rule *)
  using ⟨S ⊆ space-as-set V⟩ ⟨is-ortho-set S⟩
  by (auto simp flip: unoverload-def
    intro!: complex-vector.subspace-scale real-vector.subspace-scale cspace-is-subspace
    cspace-nonempty complex-vector.subspace-add complex-vector.subspace-diff
    complex-vector.subspace-neg sgn-in-spaceI 1 norm)

then obtain B where ⟨B ⊆ space-as-set V⟩ and ⟨S ⊆ B⟩
  and is-onb: ⟨is-onb-ow (space-as-set V) (*C) (+) 0 norm (openin (top-of-set (space-as-set
V)))⟩ (*C) B⟩
  by auto

from ⟨B ⊆ space-as-set V⟩
have [simp]: ⟨cspan B ∩ space-as-set V = cspan B⟩
  by (smt (verit) basic-trans-rules(8) ccspan.rep-eq ccspan-leqI ccspan-superset complex-vector.span-span
inf-absorb1 less-eq-ccsubspace.rep-eq)
then have [simp]: ⟨space-as-set V ∩ cspan B = cspan B⟩
  by blast
from ⟨B ⊆ space-as-set V⟩
have [simp]: ⟨space-as-set V ∩ closure (cspan B) = closure (cspan B)⟩
  by (metis Int-absorb1 ccspan.rep-eq ccspan-leqI less-eq-ccsubspace.rep-eq)
have [simp]: ⟨closure X ∪ X = closure X⟩ for X :: ⟨'z::topological-space set⟩
  using closure-subset by blast

from is-onb have ⟨is-ortho-set B⟩
  by (auto simp: is-onb-ow-def unoverload-def)

moreover from is-onb have ⟨norm x = 1⟩ if ⟨x ∈ B⟩ for x
  by (auto simp: is-onb-ow-def that)

moreover from is-onb have ⟨closure (cspan B) = space-as-set V⟩
  by (simp add: is-onb-ow-def ⟨B ⊆ space-as-set V⟩
    closure-ow-with-typeclass span-ow-on-typeclass flip: unoverload-def)
then have ⟨ccspan B = V⟩
  by (simp add: ccspan.abs-eq space-as-set-inverse)

ultimately show ?thesis

```

```

    using ⟨S ⊆ B⟩ by auto
qed

lemma has-sum-in-comm-additive-general:
  fixes f :: 'a ⇒ 'b :: comm-monoid-add
    and g :: 'b ⇒ 'c :: comm-monoid-add
  assumes T0[simp]: ⟨0 ∈ topspace T⟩ and Tplus[simp]: ⟨∧x y. x ∈ topspace T ⇒ y ∈ topspace
T ⇒ x+y ∈ topspace T⟩
  assumes Uplus[simp]: ⟨∧x y. x ∈ topspace U ⇒ y ∈ topspace U ⇒ x+y ∈ topspace U⟩
  assumes grange: ⟨g ' topspace T ⊆ topspace U⟩
  assumes g0: ⟨g 0 = 0⟩
  assumes frange: ⟨f ' S ⊆ topspace T⟩
  assumes gcont: ⟨filterlim g (nhdsin U (g l)) (atin T l)⟩
  assumes gadd: ⟨∧x y. x ∈ topspace T ⇒ y ∈ topspace T ⇒ g (x+y) = g x + g y⟩
  assumes sumf: ⟨has-sum-in T f S l⟩
  shows ⟨has-sum-in U (g o f) S (g l)⟩
proof -
  define f' where ⟨f' x = (if x ∈ S then f x else 0)⟩ for x
  have ⟨topspace T ≠ {}⟩
    using T0 by blast
  then have ⟨topspace U ≠ {}⟩
    using grange by blast
  {
    assume ∃(Rep :: 't ⇒ 'b) Abs. type-definition Rep Abs (topspace T)
    then interpret T: local-typedef ⟨topspace T⟩ ⟨TYPE('t)⟩
      by unfold-locales
    assume ∃(Rep :: 'u ⇒ 'c) Abs. type-definition Rep Abs (topspace U)
    then interpret U: local-typedef ⟨topspace U⟩ ⟨TYPE('u)⟩
      by unfold-locales

    note [[show-types]]
    note has-sum-comm-additive-general
    note this[unfolded unoverload-def]
    note this[unoverload-type 'b, unoverload-type 'c]
    note this[where 'b='t and 'c='u and 'a='a]
    note this[unfolded unoverload-def]
    thm this[no-vars]
    note this[untransferred]
    note this[where f=g and g=f' and zero=0 and zeroa=0 and plus=plus and plusa=plus
and ?open=⟨openin U⟩ and opena=⟨openin T⟩ and x=l and S=S and T=⟨topspace
T⟩]
    note this[simplified]
  }
  note * = this[cancel-type-definition, OF ⟨topspace T ≠ {}⟩, cancel-type-definition, OF ⟨topspace
U ≠ {}⟩]

  have f'T[simp]: ⟨f' x ∈ topspace T⟩ for x
    using frange f'-def by force
  have [simp]: ⟨l ∈ topspace T⟩

```

```

    using sumf has-sum-in-topspace by blast
  have [simp]:  $\langle x \in \text{topspace } T \implies g x \in \text{topspace } U \rangle$  for  $x$ 
    using grange by auto
  have sumf'T:  $\langle (\sum x \in F. f' x) \in \text{topspace } T \rangle$  if  $\langle \text{finite } F \rangle$  for  $F$ 
    using that apply induction
    by auto
  have [simp]:  $\langle (\sum x \in F. f x) \in \text{topspace } T \rangle$  if  $\langle F \subseteq S \rangle$  for  $F$ 
    using that apply (induction F rule:infinite-finite-induct)
    apply auto
    by (metis Tplus f'T f'-def)
  have sum-gf:  $\langle (\sum x \in F. g (f' x)) = g (\sum x \in F. f' x) \rangle$ 
    if  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq S \rangle$  for  $F$ 
  proof -
    have  $\langle (\sum x \in F. g (f' x)) = (\sum x \in F. g (f x)) \rangle$ 
      apply (rule sum.cong)
      using frange that by (auto simp: f'-def)
    also have  $\langle \dots = g (\sum x \in F. f x) \rangle$ 
      using  $\langle \text{finite } F \rangle$   $\langle F \subseteq S \rangle$  apply induction
      using g0 frange apply auto
      apply (subst gadd)
      by (auto simp: f'-def)
    also have  $\langle \dots = g (\sum x \in F. f' x) \rangle$ 
      apply (rule arg-cong[where f=g])
      apply (rule sum.cong)
      using that by (auto simp: f'-def)
    finally show ?thesis
      by -
  qed
  from sumf have sumf':  $\langle \text{has-sum-in } T f' S l \rangle$ 
    apply (rule has-sum-in-cong[THEN iffD2, rotated])
    unfolding f'-def by auto
  have [simp]:  $\langle g l \in \text{topspace } U \rangle$ 
    using grange by auto
  from gcont have contg':  $\langle \text{filterlim } g (\text{nhdsin } U (g l)) (\text{nhdsin } T l \sqcap \text{principal } (\text{topspace } T - \{l\})) \rangle$ 
    apply (rule filterlim-cong[THEN iffD1, rotated -1])
    apply (rule refl)
    apply (simp add: atin-def)
    by (auto intro!: exI simp add: eventually-atin)
  from T0 grange g0 have [simp]:  $\langle 0 \in \text{topspace } U \rangle$ 
    by auto

  have [simp]:
     $\langle \text{comm-monoid-ow } (\text{topspace } T) (+) 0 \rangle$ 
     $\langle \text{comm-monoid-ow } (\text{topspace } U) (+) 0 \rangle$ 
    by (simp-all add: comm-monoid-ow-def abel-semigroup-ow-def
      semigroup-ow-def plus-ow-def semigroup-ow-axioms-def
      comm-monoid-ow-axioms-def Groups.add-ac abel-semigroup-ow-axioms-def)

```

```

have ⟨has-sum-ow (topspace U) (+) 0 (openin U) (g ∘ f') S (g l)⟩
  apply (rule *)
  by (auto simp: topological-space-ow-from-topology sum-gf sumf'
    sum-ud[symmetric] at-within-ow-topology has-sum-ow-topology
    contg' sumf'T)

then have ⟨has-sum-in U (g ∘ f') S (g l)⟩
  apply (rule has-sum-ow-topology[THEN iffD1, rotated -1])
  by simp-all
then have ⟨has-sum-in U (g ∘ f') S (g l)⟩
  by simp
then show ?thesis
  apply (rule has-sum-in-cong[THEN iffD1, rotated])
  unfolding f'-def using frange grange by auto
qed

lemma has-sum-in-comm-additive:
  fixes f :: ⟨'a ⇒ 'b :: ab-group-add⟩
    and g :: ⟨'b ⇒ 'c :: ab-group-add⟩
  assumes ⟨topspace T = UNIV⟩ and ⟨topspace U = UNIV⟩
  assumes ⟨Modules.additive g⟩
  assumes gcont: ⟨continuous-map T U g⟩
  assumes sumf: ⟨has-sum-in T f S l⟩
  shows ⟨has-sum-in U (g ∘ f) S (g l)⟩
  apply (rule has-sum-in-comm-additive-general[where T=T and U=U])
  using assms
  by (auto simp: additive.zero Modules.additive-def intro!: continuous-map-is-continuous-at-point)

```

8 Stuff relying on the above lifting

definition ⟨some-onb-of $X = (\text{SOME } B. \text{is-ortho-set } B \wedge (\forall b \in B. \text{norm } b = 1) \wedge \text{ccspan } B = X)$ ⟩

lemma

```

fixes X :: ⟨'a::hilbert-space ccspace⟩
shows some-onb-of-is-ortho-set[iff]: ⟨is-ortho-set (some-onb-of X)⟩
  and some-onb-of-norm1: ⟨b ∈ some-onb-of X ⇒ norm b = 1⟩
  and some-onb-of-ccspan[simp]: ⟨ccspan (some-onb-of X) = X⟩
proof -
let ?P = ⟨λB. is-ortho-set B ∧ (∀ b ∈ B. norm b = 1) ∧ ccspan B = X⟩
have ⟨Ex ?P⟩
  using orthonormal-subspace-basis-exists[where S=⟨{⟩ and V=X]
  by auto
then have ⟨?P (some-onb-of X)⟩
  by (simp add: some-onb-of-def verit-sko-ex)
then show is-ortho-set-some-onb-of: ⟨is-ortho-set (some-onb-of X)⟩
  and ⟨b ∈ some-onb-of X ⇒ norm b = 1⟩
  and ⟨ccspan (some-onb-of X) = X⟩

```

by auto
qed

lemma *ccsubspace-as-whole-type*:
fixes $X :: \langle 'a::\text{hilbert-space ccspace} \rangle$
assumes $\langle X \neq 0 \rangle$
shows $\langle \text{let } 'b::\text{type} = \text{some-onb-of } X \text{ in} \\ \exists U::'b \text{ ell2} \Rightarrow_{CL} 'a. \text{isometry } U \wedge U *_S \top = X \rangle$
proof *with-type-intro*
show $\langle \text{some-onb-of } X \neq \{\} \rangle$
using *some-onb-of-ccspan*[of X] *assms*
by (*auto simp del: some-onb-of-ccspan*)
fix $\text{Rep} :: \langle 'b \Rightarrow 'a \rangle$ **and** Abs
assume $\langle \text{bij-betw } \text{Rep } UNIV \text{ (some-onb-of } X) \rangle$
then interpret *type-definition* Rep $\langle \text{inv } \text{Rep} \rangle$ $\langle \text{some-onb-of } X \rangle$
by (*simp add: type-definition-bij-betw-iff*)
define U **where** $\langle U = \text{cblinfun-extension (range ket) (Rep o inv ket)} \rangle$
have [*simp*]: $\langle \text{Rep } i \cdot_C \text{Rep } j = 0 \rangle$ **if** $\langle i \neq j \rangle$ **for** $i \ j$
using Rep *some-onb-of-is-ortho-set*[*unfolded is-ortho-set-def*] *that*
by (*smt (verit) Rep-inverse*)
moreover have [*simp*]: $\langle \text{norm (Rep } i) = 1 \rangle$ **for** i
using Rep [of i] *some-onb-of-norm1*
by auto
ultimately have $\langle \text{cblinfun-extension-exists (range ket) (Rep o inv ket)} \rangle$
apply (*rule-tac cblinfun-extension-exists-ortho*)
by auto
then have $U\text{-ket}$ [*simp*]: $\langle U \text{ (ket } i) = \text{Rep } i \rangle$ **for** i
by (*auto simp: cblinfun-extension-apply U-def*)
have $\langle \text{isometry } U \rangle$
apply (*rule orthogonal-on-basis-is-isometry*[**where** $B = \langle \text{range ket} \rangle$])
by (*auto simp: cinner-ket simp flip: cnorm-eq-1*)
moreover have $\langle U *_S \text{ccspan (range ket)} = X \rangle$
apply (*subst cblinfun-image-ccspan*)
by (*simp add: Rep-range image-image*)
ultimately show $\langle \exists U :: 'b \text{ ell2} \Rightarrow_{CL} 'a. \text{isometry } U \wedge U *_S \top = X \rangle$
by auto
qed

lemma *some-onb-of-0*[*simp*]: $\langle \text{some-onb-of } (0 :: 'a::\text{hilbert-space ccspace}) = \{\} \rangle$
proof –
have no0 : $\langle 0 \notin \text{some-onb-of } (0 :: 'a \text{ ccspace}) \rangle$
using *some-onb-of-norm1*
by fastforce
have $\langle \text{ccspan (some-onb-of } 0) = (0 :: 'a \text{ ccspace}) \rangle$
by simp
then have $\langle \text{some-onb-of } 0 \subseteq \text{space-as-set } (0 :: 'a \text{ ccspace}) \rangle$
by (*metis ccspace-superset*)
also have $\langle \dots = \{0\} \rangle$
by simp

finally show *?thesis*
using *no0*
by *blast*
qed

lemma *some-onb-of-finite-dim*:
fixes $S :: \langle 'a::\text{hilbert-space ccspace} \rangle$
assumes $\langle \text{finite-dim-ccspace } S \rangle$
shows $\langle \text{finite (some-onb-of } S) \rangle$
proof –
from *assms* **obtain** C **where** $CS: \langle \text{ccspan } C = \text{space-as-set } S \rangle$ **and** $\langle \text{finite } C \rangle$
by (*meson cfinite-dim-subspace-has-basis cspace-space-as-set finite-dim-ccspace.rep-eq*)
then show $\langle \text{finite (some-onb-of } S) \rangle$
using *ccspan-superset complex-vector.independent-span-bound is-ortho-set-cindependent* **by**
fastforce
qed

lemma *some-onb-of-in-space[iff]*:
fixes $S :: \langle 'a::\text{hilbert-space ccspace} \rangle$
shows $\langle \text{some-onb-of } S \subseteq \text{space-as-set } S \rangle$
using *ccspan-superset* **by** *fastforce*

lemma *sum-some-onb-of-butterfly*:
fixes $S :: \langle 'a::\text{hilbert-space ccspace} \rangle$
assumes $\langle \text{finite-dim-ccspace } S \rangle$
shows $\langle (\sum x \in \text{some-onb-of } S. \text{butterfly } x \ x) = \text{Proj } S \rangle$
proof –
obtain B **where** *onb-S-in-B*: $\langle \text{some-onb-of } S \subseteq B \rangle$ **and** $\langle \text{is-onb } B \rangle$
apply *atomize-elim*
apply (*rule orthonormal-basis-exists*)
by (*simp-all add: some-onb-of-norm1*)
have *S-ccspan*: $\langle S = \text{ccspan (some-onb-of } S) \rangle$
by *simp*

show *?thesis*

proof (*rule cblinfun-eq-gen-eqI[where G=B]*)
show $\langle \text{ccspan } B = \top \rangle$
using $\langle \text{is-onb } B \rangle$ *is-onb-def* **by** *blast*
fix b **assume** $\langle b \in B \rangle$
show $\langle (\sum x \in \text{some-onb-of } S. \text{selfbutter } x) *_V b = \text{Proj } S *_V b \rangle$
proof (*cases* $\langle b \in \text{some-onb-of } S \rangle$)
case *True*
have $\langle (\sum x \in \text{some-onb-of } S. \text{selfbutter } x) *_V b = (\sum x \in \text{some-onb-of } S. \text{selfbutter } x *_V b) \rangle$
using *cblinfun.sum-left* **by** *blast*
also have $\langle \dots = b \rangle$
apply (*subst sum-single[where i=b]*)
using *True* **apply** (*auto intro!: simp add: assms some-onb-of-finite-dim*)

```

    using is-ortho-set-def apply fastforce
    using cnorm-eq-1 some-onb-of-norm1 by force
  also have  $\langle \dots = \text{Proj } S *_V b \rangle$ 
    apply (rule Proj-fixes-image[symmetric])
    using True some-onb-of-in-space by blast
  finally show ?thesis
    by  $-$ 
next
case False
have *:  $\langle \text{is-orthogonal } x b \rangle$  if  $\langle x \in \text{some-onb-of } S \rangle$  and  $\langle x \neq 0 \rangle$  for  $x$ 
proof  $-$ 
  have  $\langle x \in B \rangle$ 
    using onb-S-in-B that(1) by fastforce
  moreover note  $\langle b \in B \rangle$ 
  moreover have  $\langle x \neq b \rangle$ 
    using False that(1) by blast
  moreover note  $\langle \text{is-onb } B \rangle$ 
  ultimately show  $\langle \text{is-orthogonal } x b \rangle$ 
    by (simp add: is-onb-def is-ortho-set-def)
qed
have  $\langle (\sum_{x \in \text{some-onb-of } S} \text{selfbutter } x) *_V b = (\sum_{x \in \text{some-onb-of } S} \text{selfbutter } x *_V b) \rangle$ 
  using cblinfun.sum-left by blast
also have  $\langle \dots = 0 \rangle$ 
  by (auto intro!: sum.neutral simp: *)
also have  $\langle \dots = \text{Proj } S *_V b \rangle$ 
  apply (rule Proj-0-compl[symmetric])
  apply (subst S-ccspan)
  apply (rule mem-ortho-ccspanI)
  using * cinner-zero-right is-orthogonal-sym by blast
finally show ?thesis
  by  $-$ 
qed
qed
qed

```

lemma *cdim-infinite-0*:

assumes $\langle \neg \text{cfinite-dim } S \rangle$

shows $\langle \text{cdim } S = 0 \rangle$

proof $-$

from *assms* **have** *not-fin-cspan*: $\langle \neg \text{cfinite-dim } (\text{cspan } S) \rangle$

using *cfinite-dim-def cfinite-dim-subspace-has-basis complex-vector.span-superset* **by** *fastforce*

obtain B **where** $\langle \text{cindependent } B \rangle$ **and** $\langle \text{cspan } S = \text{cspan } B \rangle$

using *csubspace-has-basis* **by** *blast*

with *not-fin-cspan* **have** $\langle \text{infinite } B \rangle$

by *auto*

then **have** $\langle \text{card } B = 0 \rangle$

by *force*

have $\langle \text{cdim } (\text{cspan } S) = 0 \rangle$

```

apply (rule complex-vector.dim-unique[of B])
apply (auto intro!: simp add: ⟨cspan S = cspan B⟩ complex-vector.span-superset)
using ⟨cindependent B⟩ ⟨card B = 0⟩ by auto
then show ?thesis
by simp
qed

lemma some-onb-of-card:
  fixes S :: ⟨'a::chilbert-space ccspace⟩
  shows ⟨card (some-onb-of S) = cdim (space-as-set S)⟩
proof (cases ⟨finite-dim-ccspace S⟩)
case True
  show ?thesis
  apply (rule complex-vector.dim-eq-card[symmetric])
  apply (auto simp: is-ortho-set-cindependent)
  apply (metis True ccspan-finite some-onb-of-ccspan complex-vector.span-clauses(1) some-onb-of-finite-dim)
  by (metis True ccspan-finite some-onb-of-ccspan complex-vector.span-eq-iff cspace-space-as-set
some-onb-of-finite-dim)
next
case False
  then have ⟨cdim (space-as-set S) = 0⟩
  by (simp add: cdim-infinite-0 finite-dim-ccspace.rep-eq)
  moreover from False have ⟨infinite (some-onb-of S)⟩
  using ccspan-finite-dim by fastforce
  ultimately show ?thesis
  by simp
qed

end

```

9 Eigenvalues – Material related to eigenvalues and eigenspaces

theory *Eigenvalues*

imports

Weak-Operator-Topology

Misc-Tensor-Product-TTS

begin

definition *normal-op* :: ⟨('a::chilbert-space \Rightarrow_{CL} 'a) \Rightarrow bool⟩ **where**
 ⟨*normal-op A* \longleftrightarrow $A \circ_{CL} A^* = A^* \circ_{CL} A$ ⟩

definition *eigenvalues* :: ⟨('a::complex-normed-vector \Rightarrow_{CL} 'a) \Rightarrow complex set⟩ **where**
 ⟨*eigenvalues a* = {*x*. *eigenspace x a* \neq 0}⟩

definition *invariant-subspace* :: ⟨('a::complex-inner ccspace \Rightarrow ('a \Rightarrow_{CL} 'a) \Rightarrow bool) **where**
 ⟨*invariant-subspace S A* \longleftrightarrow $A *_S S \leq S$ ⟩

lemma *invariant-subspaceI*: $\langle A *_S S \leq S \implies \text{invariant-subspace } S A \rangle$
by (*simp add: invariant-subspace-def*)

definition *reducing-subspace* :: $\langle 'a::\text{complex-inner ccspace} \Rightarrow ('a \Rightarrow_{CL} 'a) \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{reducing-subspace } S A \longleftrightarrow \text{invariant-subspace } S A \wedge \text{invariant-subspace } (-S) A \rangle$

lemma *reducing-subspaceI*: $\langle A *_S S \leq S \implies A *_S (-S) \leq -S \implies \text{reducing-subspace } S A \rangle$
by (*simp add: reducing-subspace-def invariant-subspace-def*)

lemma *reducing-subspace-ortho*[*simp*]: $\langle \text{reducing-subspace } (-S) A \longleftrightarrow \text{reducing-subspace } S A \rangle$
for $S :: \langle 'a::\text{chilbert-space ccspace} \rangle$
by (*auto simp: reducing-subspace-def*)

lemma *invariant-subspace-bot*[*simp*]: $\langle \text{invariant-subspace } \perp A \rangle$
by (*simp add: invariant-subspaceI*)

lemma *invariant-subspace-top*[*simp*]: $\langle \text{invariant-subspace } \top A \rangle$
by (*simp add: invariant-subspaceI*)

lemma *reducing-subspace-bot*[*simp*]: $\langle \text{reducing-subspace } \perp A \rangle$
by (*metis cblinfun-image-bot eq-refl orthogonal-bot orthogonal-spaces-leq-compl reducing-subspaceI*)

lemma *reducing-subspace-top*[*simp*]: $\langle \text{reducing-subspace } \top A \rangle$
by (*simp add: reducing-subspace-def*)

lemma *kernel-uminus*[*simp*]: $\text{kernel } (-A) = \text{kernel } A$
for $a :: \text{complex}$ **and** $A :: (-,-) \text{ cblinfun}$
by *transfer auto*

lemma *kernel-scaleC'*: $\text{kernel } (a *_C A) = (\text{if } a = 0 \text{ then } \top \text{ else } \text{kernel } A)$
for $a :: \text{complex}$ **and** $A :: (-,-) \text{ cblinfun}$
by (*cases a = 0*) *auto*

lemma *eigenvalues-0*[*simp*]: $\langle \text{eigenvalues } (0 :: 'a::\{\text{not-singleton, complex-normed-vector}\} \Rightarrow_{CL} 'a) = \{0\} \rangle$
by (*auto simp: eigenvalues-def eigenspace-def kernel-scaleC'*)

lemma *nonzero-ccspace-contains-unit-vector*:

assumes $\langle S \neq 0 \rangle$

shows $\langle \exists \psi. \psi \in \text{space-as-set } S \wedge \text{norm } \psi = 1 \rangle$

proof –

from *assms*

obtain ψ **where** $\psi: \langle \psi \in \text{space-as-set } S \rangle \langle \psi \neq 0 \rangle$

by *transfer (auto simp: complex-vector.subspace-0)*

have $\langle \text{sgn } \psi \in \text{space-as-set } S \rangle$

using ψ **by** (*simp add: complex-vector.subspace-scale scaleR-scaleC sgn-div-norm*)

moreover have $\langle \text{norm } (\text{sgn } \psi) = 1 \rangle$

by (*simp add: $\langle \psi \neq 0 \rangle$ norm-sgn*)

ultimately show *?thesis*
 by *auto*
 qed

lemma *unit-eigenvector-ex*:
 assumes $\langle x \in \text{eigenvalues } a \rangle$
 shows $\langle \exists h. \text{norm } h = 1 \wedge a \ h = x *_{\mathbb{C}} h \rangle$
proof –
 from *assms* have $\langle \text{eigenspace } x \ a \neq 0 \rangle$
 by (*simp add: eigenvalues-def*)
 then obtain ψ where ψ -ev: $\langle \psi \in \text{space-as-set } (\text{eigenspace } x \ a) \rangle$ and $\langle \psi \neq 0 \rangle$
 using *nonzero-ccsubspace-contains-unit-vector* by *force*
 define h where $\langle h = \text{sgn } \psi \rangle$
 with $\langle \psi \neq 0 \rangle$ have $\langle \text{norm } h = 1 \rangle$
 by (*simp add: norm-sgn*)
 from ψ -ev have $\langle h \in \text{space-as-set } (\text{eigenspace } x \ a) \rangle$
 by (*simp add: h-def sgn-in-spaceI*)
 then have $\langle a *_{\mathbb{V}} h = x *_{\mathbb{C}} h \rangle$
 unfolding *eigenspace-def*
 by (*transfer' fixing: x*) *simp*
 with $\langle \text{norm } h = 1 \rangle$ show *?thesis*
 by *auto*
 qed

lemma *eigenvalue-norm-bound*:
 assumes $\langle e \in \text{eigenvalues } a \rangle$
 shows $\langle \text{norm } e \leq \text{norm } a \rangle$
proof –
 from *assms* obtain h where $\langle \text{norm } h = 1 \rangle$ and *ah-eh*: $\langle a \ h = e *_{\mathbb{C}} h \rangle$
 using *unit-eigenvector-ex* by *blast*
 have $\langle \text{cmod } e = \text{norm } (e *_{\mathbb{C}} h) \rangle$
 by (*simp add: norm h = 1*)
 also have $\langle \dots = \text{norm } (a \ h) \rangle$
 using *ah-eh* by *presburger*
 also have $\langle \dots \leq \text{norm } a \rangle$
 by (*metis norm h = 1 cblinfun.real.bounded-linear-right mult-cancel-left1 norm-cblinfun.rep-eq onorm*)
 finally show $\langle \text{cmod } e \leq \text{norm } a \rangle$
 by –
 qed

lemma *eigenvalue-selfadj-real*:
 assumes $\langle e \in \text{eigenvalues } a \rangle$
 assumes $\langle \text{selfadjoint } a \rangle$
 shows $\langle e \in \mathbb{R} \rangle$
proof –
 from *assms* obtain h where $\langle \text{norm } h = 1 \rangle$ and *ah-eh*: $\langle a \ h = e *_{\mathbb{C}} h \rangle$
 using *unit-eigenvector-ex* by *blast*

```

have ⟨e = h •C (e •C h)⟩
  by (metis ⟨norm h = 1⟩ cinner-simps(6) mult-cancel-left1 norm-one one-cinner-one power2-norm-eq-cinner
power2-norm-eq-cinner)
also have ⟨... = h •C a h⟩
  by (simp add: ah-eh)
also from assms(2) have ⟨... ∈ ℝ⟩
  using cinner-hermitian-real selfadjoint-def by blast
finally show ⟨e ∈ ℝ⟩
  by -
qed

```

lemma *is-Sup-imp-ex-tendsto*:

```

fixes X :: ⟨'a::{\linorder-topology,first-countable-topology} set⟩
assumes sup: ⟨is-Sup X l⟩
assumes ⟨X ≠ {}⟩
shows ⟨∃f. range f ⊆ X ∧ f ⟶ l⟩
proof (cases ⟨∃x. x < l⟩)
case True
obtain A :: ⟨nat ⇒ 'a set⟩ where openA: ⟨open (A n)⟩ and lA: ⟨l ∈ A n⟩
  and fl: ⟨(∧n. f n ∈ A n) ⟹ f ⟶ l⟩ for n f
  by (rule Topological-Spaces.countable-basis[of l]) blast
obtain f where fAX: ⟨f n ∈ A n ∩ X⟩ for n
proof (atomize-elim, intro choice allI)
fix n :: nat
from True obtain x where ⟨x < l⟩
  by blast
from open-left[OF openA lA this]
obtain b where ⟨b < l⟩ and bl-A: ⟨{b<..l} ⊆ A n⟩
  by blast
from sup ⟨b < l⟩ obtain x where ⟨x ∈ X⟩ and ⟨x > b⟩
  by (meson is-Sup-def leD leI)
from ⟨x ∈ X⟩ sup have ⟨x ≤ l⟩
  by (simp add: is-Sup-def)
from ⟨x ≤ l⟩ and ⟨x > b⟩ and bl-A
have ⟨x ∈ A n⟩
  by fastforce
with ⟨x ∈ X⟩
show ⟨∃x. x ∈ A n ∩ X⟩
  by blast
qed
with fl have ⟨f ⟶ l⟩
  by auto
moreover from fAX have ⟨range f ⊆ X⟩
  by auto
ultimately show ?thesis
  by blast
next
case False
from ⟨X ≠ {}⟩ obtain x where ⟨x ∈ X⟩

```

```

    by blast
  with ⟨is-Sup X l⟩ have ⟨x ≤ l⟩
    by (simp add: is-Sup-def)
  with False have ⟨x = l⟩
    using basic-trans-rules(17) by auto
  with ⟨x ∈ X⟩ have ⟨l ∈ X⟩
    by simp
  define f where ⟨f n = l⟩ for n :: nat
  then have ⟨f ⟶ l⟩
    by (auto intro!: simp: f-def[abs-def])
  moreover from ⟨l ∈ X⟩ have ⟨range f ⊆ X⟩
    by (simp add: f-def)
  ultimately show ?thesis
    by blast
qed

```

```

lemma eigenvaluesI:
  assumes ⟨A *V h = e *C h⟩
  assumes ⟨h ≠ 0⟩
  shows ⟨e ∈ eigenvalues A⟩
proof -
  from assms have ⟨h ∈ space-as-set (eigenspace e A)⟩
    by (simp add: eigenspace-def kernel.rep-eq cblinfun.diff-left)
  moreover from ⟨h ≠ 0⟩ have ⟨h ∉ space-as-set ⊥⟩
    by transfer simp
  ultimately have ⟨eigenspace e A ≠ ⊥⟩
    by fastforce
  then show ?thesis
    by (simp add: eigenvalues-def)
qed

```

```

lemma tendsto-diff-const-left-rewrite:
  fixes c d :: ⟨'a::topological-group-add, ab-group-add⟩
  assumes ⟨((λx. f x) ⟶ c - d) F⟩
  shows ⟨((λx. c - f x) ⟶ d) F⟩
  by (auto intro!: assms tendsto-eq-intros)

```

```

lemma not-not-singleton-no-eigenvalues:
  fixes a :: ⟨'a::complex-normed-vector ⇒CL 'a⟩
  assumes ⟨¬ class.not-singleton TYPE('a)⟩
  shows ⟨eigenvalues a = {}⟩
proof (rule equals0I)
  fix e assume ⟨e ∈ eigenvalues a⟩
  then have ⟨eigenspace e a ≠ ⊥⟩
    by (simp add: eigenvalues-def)
  then obtain h where ⟨norm h = 1⟩ and ⟨h ∈ space-as-set (eigenspace e a)⟩
    using nonzero-ccsubspace-contains-unit-vector by auto
  from assms have ⟨h = 0⟩
    by (rule not-not-singleton-zero)

```

with $\langle \text{norm } h = 1 \rangle$
show False
by *simp*
qed

lemma *cblinfun-cinner-eq0I*:
fixes $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'a \rangle$
assumes $\langle \bigwedge h. h \cdot_C a h = 0 \rangle$
shows $\langle a = 0 \rangle$
by (*rule cblinfun-cinner-eqI*) (*use assms in simp*)

lemma *normal-op-iff-adj-same-norms*:

— [2], Proposition II.2.16

fixes $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'a \rangle$
shows $\langle \text{normal-op } a \iff (\forall h. \text{norm } (a h) = \text{norm } ((a^*) h)) \rangle$
proof —
have $\text{aux} :: \langle (\bigwedge h. a h = b h) \implies (\forall h. a h = (0::\text{complex})) \iff (\forall h. b h = (0::\text{real})) \rangle$ **for** a
 $:: \langle 'a \Rightarrow \text{complex} \rangle$ **and** $b :: \langle 'a \Rightarrow \text{real} \rangle$
by *simp*
have $\langle \text{normal-op } a \iff (a^* o_{CL} a) - (a o_{CL} a^*) = 0 \rangle$
using *normal-op-def* **by** *force*
also have $\langle \dots \iff (\forall h. h \cdot_C ((a^* o_{CL} a) - (a o_{CL} a^*)) h = 0 \rangle$
by (*auto intro!*: *cblinfun-cinner-eqI* *simp*: *cblinfun.diff-left cinner-diff-right*
simp flip: *cblinfun-apply-cblinfun-compose*)
also have $\langle \dots \iff (\forall h. (\text{norm } (a h))^2 - (\text{norm } ((a^*) h))^2 = 0 \rangle$
proof (*rule aux*)
fix h
have $\langle (\text{norm } (a *_V h))^2 - (\text{norm } (a^* *_V h))^2$
 $= (a *_V h) \cdot_C (a *_V h) - (a^* *_V h) \cdot_C (a^* *_V h) \rangle$
by (*simp add*: *of-real-diff flip*: *cdot-square-norm of-real-power*)
also have $\langle \dots = h \cdot_C ((a^* o_{CL} a) - (a o_{CL} a^*)) h \rangle$
by (*simp add*: *cblinfun.diff-left cinner-diff-right cinner-adj-left*
cinner-adj-right flip: *cinner-adj-left*)
finally show $\langle h \cdot_C ((a^* o_{CL} a) - (a o_{CL} a^*)) h = (\text{norm } (a *_V h))^2 - (\text{norm } (a^* *_V h))^2 \rangle$
by *simp*
qed
also have $\langle \dots \iff (\forall h. \text{norm } (a h) = \text{norm } ((a^*) h)) \rangle$
by *simp*
finally show *?thesis*.
qed

lemma *normal-op-same-eigenspace-as-adj*:

— Shown inside the proof of [2, Proposition II.5.6]

assumes $\langle \text{normal-op } a \rangle$
shows $\langle \text{eigenspace } l a = \text{eigenspace } (cnj l) (a^*) \rangle$
proof —
from $\langle \text{normal-op } a \rangle$
have $\langle \text{normal-op } (a - l *_C \text{id-cblinfun}) \rangle$

by (auto intro!: simp: normal-op-def cblinfun-compose-minus-left
 cblinfun-compose-minus-right adj-minus scaleC-diff-right)
 then have *: $\langle \text{norm } ((a - l *_C \text{id-cblinfun}) h) = \text{norm } (((a - l *_C \text{id-cblinfun})* h) \rangle$ for h
 using normal-op-iff-adj-same-norms by blast
 show ?thesis
 proof (rule ccsubspace-eqI)
 fix h
 have $\langle h \in \text{space-as-set } (\text{eigenspace } l a) \longleftrightarrow \text{norm } ((a - l *_C \text{id-cblinfun}) h) = 0 \rangle$
 by (simp add: eigenspace-def kernel-member-iff)
 also have $\langle \dots \longleftrightarrow \text{norm } (((a*) - \text{cnj } l *_C \text{id-cblinfun}) h) = 0 \rangle$
 by (simp add: * adj-minus)
 also have $\langle \dots \longleftrightarrow h \in \text{space-as-set } (\text{eigenspace } (\text{cnj } l) (a*)) \rangle$
 by (simp add: eigenspace-def kernel-member-iff)
 finally show $\langle h \in \text{space-as-set } (\text{eigenspace } l a) \longleftrightarrow h \in \text{space-as-set } (\text{eigenspace } (\text{cnj } l) (a*)) \rangle$.
 qed
 qed

lemma normal-op-adj-eigenvalues:
 assumes $\langle \text{normal-op } a \rangle$
 shows $\langle \text{eigenvalues } (a*) = \text{cnj } \text{' eigenvalues } a \rangle$
 by (auto intro!: complex-cnj-cnj[symmetric] image-eqI
 simp: eigenvalues-def assms normal-op-same-eigenspace-as-adj)

lemma invariant-subspace-iff-PAP:
 — [2], Proposition II.3.7 (b)
 $\langle \text{invariant-subspace } S A \longleftrightarrow \text{Proj } S \text{ } o_{CL} A \text{ } o_{CL} \text{Proj } S = A \text{ } o_{CL} \text{Proj } S \rangle$

proof —
 define S' where $\langle S' = \text{space-as-set } S \rangle$
 have $\langle \text{invariant-subspace } S A \longleftrightarrow (\forall h \in S'. A h \in S') \rangle$
proof safe
 fix h assume $A: \text{invariant-subspace } S A$ and $h: h \in S'$
 from h have $A *_V h \in \text{space-as-set } (A *_S S)$
 using cblinfun-apply-in-image'[of $h S A$] unfolding S' -def by auto
 also have $\text{space-as-set } (A *_S S) \subseteq S'$
 using A unfolding S' -def invariant-subspace-def less-eq-ccsubspace-def by auto
 finally show $A *_V h \in S'$.

next
 assume *: $\forall h \in S'. A *_V h \in S'$
 hence $A *_S S \leq S$
 unfolding S' -def using cblinfun-image-less-eqI by blast
 thus invariant-subspace $S A$
 unfolding invariant-subspace-def less-eq-ccsubspace-def map-fun-def o-def id-def .

qed
 also have $\langle \dots \longleftrightarrow (\forall h. A *_V \text{Proj } S *_V h \in S') \rangle$
 by (metis (no-types, lifting) Proj-fixes-image Proj-range S' -def cblinfun-apply-in-image)
 also have $\langle \dots \longleftrightarrow (\forall h. \text{Proj } S *_V A *_V \text{Proj } S *_V h = A *_V \text{Proj } S *_V h) \rangle$
 using Proj-fixes-image S' -def space-as-setI-via-Proj by blast
 also have $\langle \dots \longleftrightarrow \text{Proj } S \text{ } o_{CL} A \text{ } o_{CL} \text{Proj } S = A \text{ } o_{CL} \text{Proj } S \rangle$

by (*auto intro!*: *cblinfun-eqI simp*:
 simp flip: cblinfun-apply-cblinfun-compose cblinfun-compose-assoc)
 finally show ?thesis
 by –
qed

lemma *reducing-iff-PA*:
 — [2], Proposition II.3.7 (e)
 $\langle \text{reducing-subspace } S A \longleftrightarrow \text{Proj } S \circ_{CL} A = A \circ_{CL} \text{Proj } S \rangle$
proof (*rule iffI*)
 assume *red*: $\langle \text{reducing-subspace } S A \rangle$
 define *P* where $\langle P = \text{Proj } S \rangle$
 from *red* have *AP*: $\langle P \circ_{CL} A \circ_{CL} P = A \circ_{CL} P \rangle$
 by (*simp add: invariant-subspace-iff-PAP reducing-subspace-def P-def*)
 from *red* have $\langle \text{reducing-subspace } (- S) A \rangle$
 by *simp*
 then have $\langle (id\text{-cblinfun } - P) \circ_{CL} A \circ_{CL} (id\text{-cblinfun } - P) = A \circ_{CL} (id\text{-cblinfun } - P) \rangle$
 using *invariant-subspace-iff-PAP*[of $\langle - S \rangle$] *reducing-subspace-def P-def Proj-ortho-compl*
 by *metis*
 then have $\langle P \circ_{CL} A = P \circ_{CL} A \circ_{CL} P \rangle$
 by (*simp add: cblinfun-compose-minus-left cblinfun-compose-minus-right*)
 with *AP* show $\langle P \circ_{CL} A = A \circ_{CL} P \rangle$
 by *simp*
next
 define *P* where $\langle P = \text{Proj } S \rangle$
 assume $\langle P \circ_{CL} A = A \circ_{CL} P \rangle$
 then have $\langle P \circ_{CL} A \circ_{CL} P = A \circ_{CL} P \circ_{CL} P \rangle$
 by *simp*
 then have $\langle P \circ_{CL} A \circ_{CL} P = A \circ_{CL} P \rangle$
 by (*metis P-def Proj-idempotent cblinfun-assoc-left(1)*)
 then have $\langle \text{invariant-subspace } S A \rangle$
 by (*simp add: P-def invariant-subspace-iff-PAP*)
 have $\langle (id\text{-cblinfun } - P) \circ_{CL} A \circ_{CL} (id\text{-cblinfun } - P) = A \circ_{CL} (id\text{-cblinfun } - P) \rangle$
 by (*metis (no-types, opaque-lifting) P-def Proj-idempotent Proj-ortho-compl* $\langle P \circ_{CL} A = A \circ_{CL} P \rangle$ *cblinfun-assoc-left(1) cblinfun-compose-id-left cblinfun-compose-minus-left cblinfun-compose-minus-right*)
 then have $\langle \text{invariant-subspace } (- S) A \rangle$
 by (*simp add: P-def Proj-ortho-compl invariant-subspace-iff-PAP*)
 with $\langle \text{invariant-subspace } S A \rangle$
 show $\langle \text{reducing-subspace } S A \rangle$
 using *reducing-subspace-def* by *blast*
qed

lemma *reducing-iff-also-adj-invariant*:
 — [2], Proposition II.3.7 (g)
 shows $\langle \text{reducing-subspace } S A \longleftrightarrow \text{invariant-subspace } S A \wedge \text{invariant-subspace } S (A^*) \rangle$
proof (*intro iffI conjI; (erule conjE)?*)
 assume $\langle \text{invariant-subspace } S A \rangle$ and $\langle \text{invariant-subspace } S (A^*) \rangle$
 have $\langle \text{invariant-subspace } (- S) A \rangle$

```

proof (intro invariant-subspaceI cblinfun-image-less-eqI)
  fix h assume ⟨h ∈ space-as-set (− S)⟩
  show ⟨A *V h ∈ space-as-set (− S)⟩
  proof (unfold uminus-ccsubspace.rep-eq, intro orthogonal-complementI)
    fix g assume ⟨g ∈ space-as-set S⟩
    with ⟨invariant-subspace S (A*)⟩ have ⟨(A*) g ∈ space-as-set S⟩
      by (metis Proj-compose-cancelI Proj-range cblinfun-apply-in-image' cblinfun-fixes-range
invariant-subspace-def space-as-setI-via-Proj)
    have ⟨A h ·C g = h ·C (A*) g⟩
      by (simp add: cinner-adj-right)
    also from ⟨(A*) g ∈ space-as-set S⟩ and ⟨h ∈ space-as-set (− S)⟩
      have ⟨... = 0⟩
      using orthogonal-spaces-def orthogonal-spaces-leq-compl by blast
    finally show ⟨A h ·C g = 0⟩
      by blast
  qed
qed
with ⟨invariant-subspace S A⟩
show ⟨reducing-subspace S A⟩
  using reducing-subspace-def by blast
next
assume ⟨reducing-subspace S A⟩
then show ⟨invariant-subspace S A⟩
  using reducing-subspace-def by blast
show ⟨invariant-subspace S (A*)⟩
  by (metis ⟨reducing-subspace S A⟩ adj-Proj adj-cblinfun-compose reducing-iff-PA reduc-
ing-subspace-def)
qed

lemma eigenspace-is-reducing:
  — [2], Proposition II.5.6
  assumes ⟨normal-op a⟩
  shows ⟨reducing-subspace (eigenspace l a) a⟩
proof (unfold reducing-iff-also-adj-invariant invariant-subspace-def,
  intro conjI cblinfun-image-less-eqI subsetI)
  fix h
  assume h-eigen: ⟨h ∈ space-as-set (eigenspace l a)⟩
  then have ⟨a h = l *C h⟩
    by (simp add: eigenspace-memberD)
  also have ⟨... ∈ space-as-set (eigenspace l a)⟩
    by (simp add: Proj-fixes-image cblinfun.scaleC-right h-eigen space-as-setI-via-Proj)
  finally show ⟨a h ∈ space-as-set (eigenspace l a)⟩.
next
fix h
  assume h-eigen: ⟨h ∈ space-as-set (eigenspace l a)⟩
  then have ⟨h ∈ space-as-set (eigenspace (cnj l) (a*))⟩
    by (simp add: assms normal-op-same-eigenspace-as-adj)
  then have ⟨(a*) h = cnj l *C h⟩
    by (simp add: eigenspace-memberD)

```

also have $\langle \dots \in \text{space-as-set } (\text{eigenspace } l \ a) \rangle$
by (*simp add: Proj-fixes-image cblinfun.scaleC-right h-eigen space-as-setI-via-Proj*)
finally show $\langle (a*) \ h \in \text{space-as-set } (\text{eigenspace } l \ a) \rangle$.
qed

lemma invariant-subspace-Inf:
assumes $\langle \bigwedge S. S \in M \implies \text{invariant-subspace } S \ a \rangle$
shows $\langle \text{invariant-subspace } (\bigcap M) \ a \rangle$
proof (*rule invariant-subspaceI*)
have $\langle a *_S \bigcap M \leq (\bigcap S \in M. a *_S S) \rangle$
using *cblinfun-image-INF-leq* [**where** $U=a$ **and** $V=id$ **and** $X=M$] **by** *simp*
also have $\langle \dots \leq (\bigcap S \in M. S) \rangle$
by (*rule INF-superset-mono, simp*) (*use assms in <auto simp: invariant-subspace-def>*)
also have $\langle \dots = \bigcap M \rangle$
by *simp*
finally show $\langle a *_S \bigcap M \leq \bigcap M \rangle$.
qed

lemma invariant-subspace-INF:
assumes $\langle \bigwedge x. x \in X \implies \text{invariant-subspace } (S \ x) \ a \rangle$
shows $\langle \text{invariant-subspace } (\bigcap x \in X. S \ x) \ a \rangle$
by (*smt (verit) assms imageE invariant-subspace-Inf*)

lemma invariant-subspace-Sup:
assumes $\langle \bigwedge S. S \in M \implies \text{invariant-subspace } S \ a \rangle$
shows $\langle \text{invariant-subspace } (\bigcup M) \ a \rangle$
proof –
have *: $\langle a \ \text{cspan } (\bigcup S \in M. \text{space-as-set } S) \subseteq \text{space-as-set } (\bigcup M) \rangle$
proof (*rule image-subsetI*)
fix h
assume $\langle h \in \text{cspan } (\bigcup S \in M. \text{space-as-set } S) \rangle$
then obtain $F \ r$ **where** $\langle h = (\sum g \in F. r \ g *_C \ g) \rangle$ **and** F -in-union: $\langle F \subseteq (\bigcup S \in M. \text{space-as-set } S) \rangle$
by (*auto intro!: simp: complex-vector.span-explicit*)
then have $\langle a \ h = (\sum g \in F. r \ g *_C \ a \ g) \rangle$
by (*simp add: cblinfun.scaleC-right cblinfun.sum-right*)
also have $\langle \dots \in \text{space-as-set } (\bigcup M) \rangle$
proof (*rule complex-vector.subspace-sum*)
show $\langle \text{csubspace } (\text{space-as-set } (\bigcup M)) \rangle$
by *simp*
fix g **assume** $\langle g \in F \rangle$
then obtain S **where** $\langle S \in M \rangle$ **and** $\langle g \in \text{space-as-set } S \rangle$
using F -in-union **by** *auto*
from *assms* [*OF* $\langle S \in M \rangle$] $\langle g \in \text{space-as-set } S \rangle$
have $\langle a \ g \in \text{space-as-set } S \rangle$
by (*simp add: Set.basic-monos(7) cblinfun-apply-in-image' invariant-subspace-def less-eq-ccsubspace.rep-eq*)
also from $\langle S \in M \rangle$ **have** $\langle \dots \subseteq \text{space-as-set } (\bigcup M) \rangle$
by (*meson Sup-upper less-eq-ccsubspace.rep-eq*)
finally show $\langle r \ g *_C \ (a \ g) \in \text{space-as-set } (\bigcup M) \rangle$

by (simp add: complex-vector.subspace-scale)
 qed
 finally show $\langle a \ h \in \text{space-as-set } (\bigsqcup M) \rangle$.
 qed
 have $\langle \text{space-as-set } (a *_S \bigsqcup M) = \text{closure } (a \ \langle \text{closure } (\text{cspan } (\bigsqcup S \in M. \text{space-as-set } S)) \rangle) \rangle$
 by (metis Sup-ccsubspace.rep-eq cblinfun-image.rep-eq)
 also have $\langle \dots = \text{closure } (a \ \langle \text{cspan } (\bigsqcup S \in M. \text{space-as-set } S) \rangle) \rangle$
 by (rule closure-bounded-linear-image-subset-eq)
 (simp add: cblinfun.real.bounded-linear-right)
 also from * have $\langle \dots \subseteq \text{closure } (\text{space-as-set } (\bigsqcup M)) \rangle$
 by (meson closure-mono)
 also have $\langle \dots = \text{space-as-set } (\bigsqcup M) \rangle$
 by force
 finally have $\langle a *_S \bigsqcup M \leq \bigsqcup M \rangle$
 by (simp add: less-eq-ccsubspace.rep-eq)
 then show ?thesis
 using invariant-subspaceI by blast
 qed

lemma invariant-subspace-SUP:
 assumes $\langle \bigwedge x. x \in X \implies \text{invariant-subspace } (S \ x) \ a \rangle$
 shows $\langle \text{invariant-subspace } (\bigsqcup x \in X. S \ x) \ a \rangle$
 by (metis (mono-tags, lifting) assms imageE invariant-subspace-Sup)

lemma reducing-subspace-Inf:
 fixes $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
 assumes $\langle \bigwedge S. S \in M \implies \text{reducing-subspace } S \ a \rangle$
 shows $\langle \text{reducing-subspace } (\bigcap M) \ a \rangle$
 using assms
 by (auto intro!: invariant-subspace-Inf invariant-subspace-SUP
 simp add: reducing-subspace-def uminus-Inf invariant-subspace-Inf)

lemma reducing-subspace-INF:
 fixes $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
 assumes $\langle \bigwedge x. x \in X \implies \text{reducing-subspace } (S \ x) \ a \rangle$
 shows $\langle \text{reducing-subspace } (\bigcap x \in X. S \ x) \ a \rangle$
 by (metis (mono-tags, lifting) assms imageE reducing-subspace-Inf)

lemma reducing-subspace-Sup:
 fixes $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
 assumes $\langle \bigwedge S. S \in M \implies \text{reducing-subspace } S \ a \rangle$
 shows $\langle \text{reducing-subspace } (\bigsqcup M) \ a \rangle$
 using assms
 by (auto intro!: invariant-subspace-Sup invariant-subspace-INF
 simp add: reducing-subspace-def uminus-Sup invariant-subspace-Inf)

lemma reducing-subspace-SUP:
 fixes $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$
 assumes $\langle \bigwedge x. x \in X \implies \text{reducing-subspace } (S \ x) \ a \rangle$

shows $\langle \text{reducing-subspace } (\bigsqcup x \in X. S x) a \rangle$
by $(\text{metis } (\text{mono-tags, lifting}) \text{ assms imageE reducing-subspace-Sup})$

lemma *selfadjoint-imp-normal*: $\langle \text{normal-op } a \rangle$ **if** $\langle \text{selfadjoint } a \rangle$
using that by $(\text{simp add: selfadjoint-def normal-op-def})$

lemma *eigenspaces-orthogonal*:

— [2], Proposition II.5.7

assumes $\langle e \neq f \rangle$

assumes $\langle \text{normal-op } a \rangle$

shows $\langle \text{orthogonal-spaces } (\text{eigenspace } e a) (\text{eigenspace } f a) \rangle$

proof $(\text{intro orthogonal-spaces-def}[\text{THEN iffD2}] \text{ ballI})$

fix $g h$ **assume** $g\text{-eigen}$: $\langle g \in \text{space-as-set } (\text{eigenspace } e a) \rangle$ **and** $h\text{-eigen}$: $\langle h \in \text{space-as-set } (\text{eigenspace } f a) \rangle$

with $\langle \text{normal-op } a \rangle$ **have** $\langle g \in \text{space-as-set } (\text{eigenspace } (\text{cnj } e) (a*)) \rangle$

by $(\text{simp add: normal-op-same-eigenspace-as-adj})$

then have $a\text{-adj-g}$: $\langle (a*) g = \text{cnj } e *_{\mathbb{C}} g \rangle$

using *eigenspace-memberD* **by** *blast*

from $h\text{-eigen}$ **have** $a\text{-h}$: $\langle a h = f *_{\mathbb{C}} h \rangle$

by $(\text{simp add: eigenspace-memberD})$

have $\langle f * (g \cdot_{\mathbb{C}} h) = g \cdot_{\mathbb{C}} a h \rangle$

by $(\text{simp add: } a\text{-h})$

also have $\langle \dots = (a*) g \cdot_{\mathbb{C}} h \rangle$

by $(\text{simp add: cinner-adj-left})$

also have $\langle \dots = e * (g \cdot_{\mathbb{C}} h) \rangle$

using $a\text{-adj-g}$ **by** *auto*

finally have $\langle (f - e) * (g \cdot_{\mathbb{C}} h) = 0 \rangle$

by $(\text{simp add: vector-space-over-itself.scale-left-diff-distrib})$

with $\langle e \neq f \rangle$ **show** $\langle g \cdot_{\mathbb{C}} h = 0 \rangle$

by *simp*

qed

definition *largest-eigenvalue* :: $\langle 'a :: \text{complex-normed-vector} \Rightarrow_{\mathbb{C}L} 'a \rangle \Rightarrow \text{complex}$ **where**

$\langle \text{largest-eigenvalue } a =$

$(\text{if } \exists x. x \in \text{eigenvalues } a \wedge (\forall y \in \text{eigenvalues } a. \text{cmod } x \geq \text{cmod } y) \text{ then}$

$\text{SOME } x. x \in \text{eigenvalues } a \wedge (\forall y \in \text{eigenvalues } a. \text{cmod } x \geq \text{cmod } y) \text{ else } 0) \rangle$

lemma *largest-eigenvalue-0-aux*:

$\langle \text{largest-eigenvalue } (0 :: 'a :: \{\text{not-singleton, complex-normed-vector}\}) \Rightarrow_{\mathbb{C}L} 'a) = 0 \rangle$

proof —

let $?zero = \langle 0 :: 'a \Rightarrow_{\mathbb{C}L} 'a \rangle$

define e **where** $\langle e = (\text{SOME } x. x \in \text{eigenvalues } ?zero \wedge (\forall y \in \text{eigenvalues } ?zero. \text{cmod } x \geq \text{cmod } y)) \rangle$

have $\langle \exists e. e \in \text{eigenvalues } ?zero \wedge (\forall y \in \text{eigenvalues } ?zero. \text{cmod } y \leq \text{cmod } e) \rangle$ **(is** $\langle \exists e. ?P e \rangle$)

by $(\text{auto intro!: exI}[\text{of } - 0])$

then have $\langle ?P e \rangle$

unfolding $e\text{-def}$

```

    by (rule someI-ex)
  then have ⟨e = 0⟩
    by simp
  then show ⟨largest-eigenvalue ?zero = 0⟩
    by (simp add: largest-eigenvalue-def)
qed

lemma largest-eigenvalue-0[simp]:
  ⟨largest-eigenvalue (0 :: 'a::complex-normed-vector ⇒CL 'a) = 0⟩
proof (cases ⟨class.not-singleton TYPE('a)⟩)
  case True
  show ?thesis
    using complex-normed-vector-axioms True
    by (rule largest-eigenvalue-0-aux[internalize-sort' 'a])
  next
  case False
  then have ⟨eigenvalues (0 :: 'a::complex-normed-vector ⇒CL 'a) = {}⟩
    by (rule not-not-singleton-no-eigenvalues)
  then show ?thesis
    by (auto simp add: largest-eigenvalue-def)
qed

```

hide-fact *largest-eigenvalue-0-aux*

```

lemma eigenvalues-nonneg:
  assumes ⟨a ≥ 0⟩ and ⟨v ∈ eigenvalues a⟩
  shows ⟨v ≥ 0⟩
proof -
  from assms obtain h where ⟨norm h = 1⟩ and ahvh: ⟨a *V h = v *C h⟩
  using unit-eigenvector-ex by blast
  have ⟨0 ≤ h ·C a h⟩
    by (simp add: assms(1) cinner-pos-if-pos)
  also have ⟨... = v * (h ·C h)⟩
    by (simp add: ahvh)
  also have ⟨... = v⟩
    using ⟨norm h = 1⟩ cnorm-eq-1 by auto
  finally show ⟨v ≥ 0⟩
    by blast
qed

```

end

10 Compact-Operators – Finite rank and compact operators

theory *Compact-Operators*

```

imports Misc-Tensor-Product-BO HS2Ell2
          Sqrt-Babylonian.Sqrt-Babylonian-Auxiliary Wlog.Wlog
          HOL-Analysis.Abstract-Metric-Spaces
          Strong-Operator-Topology
          Misc-Tensor-Product-TTS
          Eigenvalues
begin

unbundle cblinfun-notation

10.1 Finite rank operators

definition finite-rank where  $\langle \text{finite-rank } A \longleftrightarrow A \in \text{cspan } (\text{Collect rank1}) \rangle$ 

lemma finite-rank-0[simp]:  $\langle \text{finite-rank } 0 \rangle$ 
  by (simp add: complex-vector.span-zero finite-rank-def)

lemma finite-rank-scaleC[simp]:  $\langle \text{finite-rank } (c *_{\mathbb{C}} a) \rangle$  if  $\langle \text{finite-rank } a \rangle$ 
  using complex-vector.span-scale finite-rank-def that by blast

lemma finite-rank-scaleR[simp]:  $\langle \text{finite-rank } (c *_{\mathbb{R}} a) \rangle$  if  $\langle \text{finite-rank } a \rangle$ 
  by (simp add: scaleR-scaleC that)

lemma finite-rank-uminus[simp]:  $\langle \text{finite-rank } (-a) = \text{finite-rank } a \rangle$ 
  by (metis add.inverse-inverse complex-vector.span-neg finite-rank-def)

lemma finite-rank-plus[simp]:  $\langle \text{finite-rank } (a + b) \rangle$  if  $\langle \text{finite-rank } a \rangle$  and  $\langle \text{finite-rank } b \rangle$ 
  using that by (auto simp: finite-rank-def complex-vector.span-add-eq2)

lemma finite-rank-minus[simp]:  $\langle \text{finite-rank } (a - b) \rangle$  if  $\langle \text{finite-rank } a \rangle$  and  $\langle \text{finite-rank } b \rangle$ 
  using complex-vector.span-diff finite-rank-def that(1) that(2) by blast

lemma finite-rank-butterfly[simp]:  $\langle \text{finite-rank } (\text{butterfly } x \ y) \rangle$ 
  by (cases  $\langle x \neq 0 \wedge y \neq 0 \rangle$ )
  (auto intro: complex-vector.span-base complex-vector.span-zero simp add: finite-rank-def)

lemma finite-rank-sum-butterfly:
  fixes  $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{\mathbb{C}L} 'b::\text{hilbert-space} \rangle$ 
  assumes  $\langle \text{finite-rank } a \rangle$ 
  shows  $\langle \exists x \ y \ (n::\text{nat}). a = (\sum_{i < n}. \text{butterfly } (x \ i) \ (y \ i)) \rangle$ 
proof –
  from assms
  have  $\langle a \in \text{cspan } (\text{Collect rank1}) \rangle$ 
  by (simp add: finite-rank-def)
  then obtain  $r \ t$  where  $\langle \text{finite } t \rangle$  and  $t\text{-rank1}: \langle t \subseteq \text{Collect rank1} \rangle$ 
  and  $a\text{-sum}: \langle a = (\sum_{a \in t}. r \ a *_{\mathbb{C}} a) \rangle$ 
  by (smt (verit, best) complex-vector.span-alt mem-Collect-eq)
  from  $\langle \text{finite } t \rangle$  obtain  $\iota$  and  $n::\text{nat}$  where  $\iota: \langle \text{bij-betw } \iota \ \{..<n\} \ t \rangle$ 
  using bij-betw-from-nat-into-finite by blast

```



```

define c where ⟨c i = r (t i) *C t i⟩ for i
from t-rank1
have c-rank1: ⟨rank1 (c i) ∨ c i = 0⟩ if ⟨i < n⟩ for i
  by (auto intro!: rank1-scaleC simp: c-def bij-betw-apply subset-iff that)
have ac-sum: ⟨a = (∑ i<n. c i)⟩
  by (smt (verit, best) a-sum t c-def sum.cong sum.reindex-bij-betw)
from c-rank1
obtain x y where ⟨c i = butterfly (x i) (y i)⟩ if ⟨i < n⟩ for i
  apply atomize-elim
  apply (rule SMT-choices)
  using rank1-iff-butterfly by fastforce
with ac-sum show ?thesis
  by auto
qed

lemma finite-rank-sum: ⟨finite-rank (∑ x∈F. f x)⟩ if ⟨∧x. x∈F ⇒ finite-rank (f x)⟩
  using that by (induction F rule:infinite-finite-induct) (auto intro!: finite-rank-plus)

lemma rank1-finite-rank: ⟨finite-rank a⟩ if ⟨rank1 a⟩
  by (simp add: complex-vector.span-base finite-rank-def that)

lemma finite-rank-compose-left:
  assumes ⟨finite-rank B⟩
  shows ⟨finite-rank (A oCL B)⟩
proof -
  from assms have ⟨B ∈ cspan (Collect rank1)⟩
  by (simp add: finite-rank-def)
  then obtain F t where ⟨finite F⟩ and F-rank1: ⟨F ⊆ Collect rank1⟩ and ⟨B = (∑ x∈F. t
x *C x)⟩
  by (smt (verit, best) complex-vector.span-explicit mem-Collect-eq)
  then have ⟨A oCL B = (∑ x∈F. t x *C (A oCL x))⟩
  by (metis (mono-tags, lifting) cblinfun-compose-scaleC-right cblinfun-compose-sum-right
sum.cong)
  also have ⟨... ∈ cspan (Collect finite-rank)⟩
  by (intro complex-vector.span-sum complex-vector.span-scale)
  (use F-rank1 in ⟨auto intro!: complex-vector.span-base rank1-finite-rank rank1-compose-left⟩)
  also have ⟨... = Collect finite-rank⟩
  by (metis (no-types, lifting) complex-vector.span-superset cspan-eqI finite-rank-def mem-Collect-eq
subset-antisym subset-iff)
  finally show ?thesis
  by simp
qed

lemma finite-rank-compose-right:
  assumes ⟨finite-rank A⟩
  shows ⟨finite-rank (A oCL B)⟩
proof -

```

from *assms* **have** $\langle A \in \text{cspan } (\text{Collect rank1}) \rangle$
by (*simp add: finite-rank-def*)
then obtain F t **where** $\langle \text{finite } F \rangle$ **and** $F\text{-rank1}$: $\langle F \subseteq \text{Collect rank1} \rangle$ **and** $\langle A = (\sum_{x \in F}. t$
 $x *_{\mathbb{C}} x) \rangle$
by (*smt (verit, best) complex-vector.span-explicit mem-Collect-eq*)
then have $\langle A \text{ o}_{\mathbb{C}L} B = (\sum_{x \in F}. t x *_{\mathbb{C}} (x \text{ o}_{\mathbb{C}L} B)) \rangle$
by (*metis (mono-tags, lifting) cblinfun-compose-scaleC-left cblinfun-compose-sum-left sum.cong*)
also have $\langle \dots \in \text{cspan } (\text{Collect finite-rank}) \rangle$
by (*intro complex-vector.span-sum complex-vector.span-scale*)
(use F-rank1 in <auto intro!: complex-vector.span-base rank1-finite-rank rank1-compose-right>)
also have $\langle \dots = \text{Collect finite-rank} \rangle$
by (*metis (no-types, lifting) complex-vector.span-superset cspan-eqI finite-rank-def mem-Collect-eq*
subset-antisym subset-iff)
finally show *?thesis*
by *simp*
qed

lemma *rank1-Proj-singleton[iff]*: $\langle \text{rank1 } (\text{Proj } (\text{ccspan } \{x\})) \rangle$
using *Proj-range rank1-def by blast*

lemma *finite-rank-Proj-singleton[iff]*: $\langle \text{finite-rank } (\text{Proj } (\text{ccspan } \{x\})) \rangle$
by (*simp add: rank1-finite-rank*)

lemma *finite-rank-Proj-finite-dim*:
fixes $S :: \langle 'a::\text{chilbert-space ccspace} \rangle$
assumes $\langle \text{finite-dim-ccspace } S \rangle$
shows $\langle \text{finite-rank } (\text{Proj } S) \rangle$

proof –
from *assms*
obtain B **where** $\langle \text{is-ortho-set } B \rangle$ **and** $\langle \text{finite } B \rangle$ **and** $\text{span}B$: $\langle \text{cspan } B = \text{space-as-set } S \rangle$
unfolding *finite-dim-ccspace.rep-eq*
using *cfinite-dim-subspace-has-onb by force*
have $\langle \text{Proj } S = \text{Proj } (\text{ccspan } B) \rangle$
by (*metis Proj.rep-eq <finite B> cblinfun-apply-inject ccspan-finite spanB*)
moreover have $\langle \text{finite-rank } (\text{Proj } (\text{ccspan } B)) \rangle$
using $\langle \text{finite } B \rangle$ $\langle \text{is-ortho-set } B \rangle$
proof *induction*
case *empty*
then show *?case*
by *simp*
next
case (*insert x F*)
then have $\langle \text{is-ortho-set } F \rangle$
by (*meson is-ortho-set-antimono subset-insertI*)
have $\langle \text{Proj } (\text{ccspan } (\text{insert } x F)) = \text{proj } x + \text{Proj } (\text{ccspan } F) \rangle$
by (*subst Proj-orthog-ccspan-insert*)
(use insert in <auto simp: is-onb-def is-ortho-set-def>)
moreover have $\langle \text{finite-rank } \dots \rangle$
by (*rule finite-rank-plus*)

```

      (auto intro!: ‹is-ortho-set F› insert)
    ultimately show ?case
      by simp
  qed
  ultimately show ?thesis
    by simp
qed

lemma finite-rank-Proj-finite:
  fixes F :: ‹'a::hilbert-space set›
  assumes ‹finite F›
  shows ‹finite-rank (Proj (ccspan F))›
proof -
  obtain B where ‹is-ortho-set B› and ‹finite B› and ‹cspan B = cspan F›
  by (meson assms orthonormal-basis-of-cspan)
  have ‹Proj (ccspan F) = Proj (ccspan B)›
  by (simp add: ‹cspan B = cspan F› ccspan.abs-eq)
  moreover have ‹finite-rank (Proj (ccspan B))›
  using ‹finite B› ‹is-ortho-set B›
proof induction
  case empty
  then show ?case
    by simp
next
  case (insert x F)
  then have ‹is-ortho-set F›
  by (meson is-ortho-set-antimono subset-insertI)
  have ‹Proj (ccspan (insert x F)) = proj x + Proj (ccspan F)›
  by (subst Proj-orthog-ccspan-insert)
  (use insert in ‹auto simp: is-onb-def is-ortho-set-def›)
  moreover have ‹finite-rank ...›
  by (rule finite-rank-plus) (auto intro!: ‹is-ortho-set F› insert)
  ultimately show ?case
    by simp
qed
ultimately show ?thesis
  by simp
qed

lemma finite-rank-cfinite-dim[simp]: ‹finite-rank (a :: 'a :: {cfinite-dim, hilbert-space}) ⇒CL 'b
:: complex-normed-vector›
proof -
  obtain B :: ‹'a set› where ‹is-onb B›
  using is-onb-some-hilbert-basis by blast
  from ‹is-onb B› have [simp]: ‹finite B›
  by (auto intro!: cindependent-cfinite-dim-finite is-ortho-set-cindependent simp add: is-onb-def)
  have [simp]: ‹cspan B = UNIV›
proof -
  from ‹is-onb B› have ‹ccspan B = ⊤›

```

```

    using is-onb-def by blast
  then have ⟨closure (cspan B) = UNIV⟩
    by (metis ccspan.rep-eq space-as-set-top)
  then show ?thesis
    by simp
qed
have a-sum: ⟨a = (∑ b∈B. a oCL selfbutter b)⟩
proof (rule cblinfun-eq-on-UNIV-span[OF ⟨cspan B = UNIV⟩])
  fix s assume [simp]: ⟨s ∈ B⟩
  with ⟨is-onb B⟩ have ⟨norm s = 1⟩
    by (simp add: is-onb-def)
  have 1: ⟨j ≠ s ⟹ j ∈ B ⟹ (a oCL selfbutter j) *V s = 0⟩ for j
    using ⟨is-onb B⟩ ⟨s ∈ B⟩ cblinfun.scaleC-right is-onb-def is-ortho-set-def scaleC-eq-0-iff
    by fastforce
  have 2: ⟨a *V s = (if s ∈ B then (a oCL selfbutter s) *V s else 0)⟩
    using ⟨norm s = 1⟩ ⟨s ∈ B⟩ by (simp add: cnorm-eq-1)
  show ⟨a *V s = (∑ b∈B. a oCL selfbutter b) *V s⟩
    by (subst cblinfun.sum-left, subst sum-single[where i=s]) (use 1 2 in auto)
qed
have ⟨finite-rank (∑ b∈B. a oCL selfbutter b)⟩
  by (auto intro!: finite-rank-sum simp: cblinfun-comp-butterfly)
with a-sum show ?thesis
  by simp
qed

lemma finite-rank-cspan-butterflies:
  ⟨finite-rank a ⟷ a ∈ cspan (range (case-prod butterfly))⟩
  for a :: ⟨a::hilbert-space ⇒CL 'b::hilbert-space⟩
proof -
  have ⟨(Collect finite-rank :: ('a ⇒CL 'b) set) = cspan (Collect rank1)⟩
    using finite-rank-def by fastforce
  also have ⟨... = cspan (insert 0 (Collect rank1))⟩
    by force
  also have ⟨... = cspan (range (case-prod butterfly))⟩
    by (rule arg-cong[where f=cspan])
    (use butterfly-0-left in ⟨force simp: image-iff rank1-iff-butterfly simp del: butterfly-0-left⟩)
  finally show ?thesis
    by auto
qed

lemma finite-rank-comp-left: ⟨finite-rank (a oCL b)⟩ if ⟨finite-rank a⟩
  for a b :: ⟨-::hilbert-space ⇒CL -::hilbert-space⟩
proof -
  from that
  have ⟨a ∈ cspan (range (case-prod butterfly))⟩
    by (simp add: finite-rank-cspan-butterflies)
  then have ⟨a oCL b ∈ (λa. a oCL b) ` cspan (range (case-prod butterfly))⟩
    by fast

```

also have $\langle \dots = \text{cspan } ((\lambda a. a \text{ } o_{CL} b) \text{ ' } \text{range } (\text{case-prod butterfly})) \rangle$
by (*simp add: clinear-cblinfun-compose-left complex-vector.linear-span-image*)
also have $\langle \dots \subseteq \text{cspan } (\text{range } (\text{case-prod butterfly})) \rangle$
by (*force intro!: complex-vector.span-mono*
simp add: image-image case-prod-unfold butterfly-comp-cblinfun image-def)
finally show *?thesis*
using *finite-rank-cspan-butterflies* **by** *blast*
qed

lemma *finite-rank-comp-right*: $\langle \text{finite-rank } (a \text{ } o_{CL} b) \rangle$ **if** $\langle \text{finite-rank } b \rangle$
for $a \text{ } b :: \langle \text{--::chilbert-space} \Rightarrow_{CL} \text{--::chilbert-space} \rangle$
proof –
from *that*
have $\langle b \in \text{cspan } (\text{range } (\text{case-prod butterfly})) \rangle$
by (*simp add: finite-rank-cspan-butterflies*)
then have $\langle a \text{ } o_{CL} b \in ((o_{CL}) a) \text{ ' } \text{cspan } (\text{range } (\text{case-prod butterfly})) \rangle$
by *fast*
also have $\langle \dots = \text{cspan } (((o_{CL}) a) \text{ ' } \text{range } (\text{case-prod butterfly})) \rangle$
by (*simp add: clinear-cblinfun-compose-right complex-vector.linear-span-image*)
also have $\langle \dots \subseteq \text{cspan } (\text{range } (\text{case-prod butterfly})) \rangle$
by (*force intro!: complex-vector.span-mono*
simp add: image-image case-prod-unfold cblinfun-comp-butterfly image-def)
finally show *?thesis*
using *finite-rank-cspan-butterflies* **by** *blast*
qed

10.2 Compact operators

definition *compact-map* **where** $\langle \text{compact-map } f \longleftrightarrow \text{clinear } f \wedge \text{compact } (\text{closure } (f \text{ ' } \text{cball } 0 \ 1)) \rangle$

lemma $\langle \text{bounded-clinear } f \rangle$ **if** $\langle \text{compact-map } f \rangle$
– [2], Proposition II.4.2 (a)
thm *bounded-clinear-def*
proof (*unfold bounded-clinear-def bounded-clinear-axioms-def, intro conjI*)
show $\langle \text{clinear } f \rangle$
using *compact-map-def* **that** **by** *blast*
have $\langle \text{compact } (\text{closure } (f \text{ ' } \text{cball } 0 \ 1)) \rangle$
using *compact-map-def* **that** **by** *blast*
then have $\langle \text{bounded } (f \text{ ' } \text{cball } 0 \ 1) \rangle$
by (*meson bounded-subset closure-subset compact-imp-bounded*)
then obtain K **where** $\ast: \langle \text{norm } (f \ x) \leq K \rangle$ **if** $\langle \text{norm } x \leq 1 \rangle$ **for** x
by (*force simp: bounded-iff dist-norm ball-def*)
have $\langle \text{norm } (f \ x) \leq \text{norm } x \ * \ K \rangle$ **for** x
proof (*cases* $\langle x = 0 \rangle$)
case *True*
then show *?thesis*
using $\langle \text{clinear } f \rangle$ *complex-vector.linear-0* **by** *force*

```

next
  case False
  have ⟨norm (f x) = norm (f (norm x *C sgn x))⟩
    by simp
  also have ⟨... = norm x * norm (f (sgn x))⟩
    by (smt (verit, best) ⟨clinear f⟩ complex-vector.linear-scale norm-ge-zero norm-of-real
norm-scaleC)
  also have ⟨... ≤ norm x * K⟩
    by (simp add: * mult-left-mono norm-sgn)
  finally show ?thesis
    by -
qed
then show ⟨∃ K. ∀ x. norm (f x) ≤ norm x * K⟩
  by blast
qed

```

lift-definition *compact-op* :: ⟨('a::complex-normed-vector ⇒_{CL} 'b::complex-normed-vector) ⇒ bool⟩ is *compact-map*.

```

lemma compact-op-def2: ⟨compact-op a ⟷ compact (closure (a ' cball 0 1))⟩
  by transfer (use bounded-clinear.clinear compact-map-def in blast)

```

```

lemma compact-op-0[simp]: ⟨compact-op 0⟩
  by (simp add: compact-op-def2 image-constant[where x=0] mem-cball-leI[where x=0])

```

```

lemma compact-op-scaleC[simp]: ⟨compact-op (c *C a)⟩ if ⟨compact-op a⟩
proof -
  have ⟨compact (closure (a ' cball 0 1))⟩
    using compact-op-def2 that by blast
  then have *: ⟨compact (scaleC c ' closure (a ' cball 0 1))⟩
    using compact-scaleC by blast
  have ⟨closure ((c *C a) ' cball 0 1) = closure (scaleC c ' a ' cball 0 1)⟩
    by (metis (no-types, lifting) cblinfun.scaleC-left image-cong image-image)
  also have ⟨... = scaleC c ' closure (a ' cball 0 1)⟩
    using closure-scaleC by blast
  finally have ⟨compact (closure ((c *C a) ' cball 0 1))⟩
    using * by simp
  then show ?thesis
    using compact-op-def2 by blast
qed

```

```

lemma compact-op-scaleR[simp]: ⟨compact-op (c *R a)⟩ if ⟨compact-op a⟩
  by (simp add: scaleR-scaleC that)

```

```

lemma compact-op-uminus[simp]: ⟨compact-op (-a) = compact-op a⟩
  by (metis compact-op-scaleC scaleC-minus1-left verit-minus-simplify(4))

```

```

lemma compact-op-plus[simp]: ⟨compact-op (a + b)⟩ if ⟨compact-op a⟩ and ⟨compact-op b⟩
proof -

```

```

have ⟨compact (closure (a ‘ cball 0 1))⟩
  using compact-op-def2 that by blast
moreover have ⟨compact (closure (b ‘ cball 0 1))⟩
  using compact-op-def2 that by blast
ultimately have compact-sum:
  ⟨compact {x + y | x y. x ∈ closure ((*V) a ‘ cball 0 1)
    ∧ y ∈ closure ((*V) b ‘ cball 0 1)}⟩ (is ⟨compact ?sum⟩)
  by (rule compact-sums)
have ⟨compact (closure ((a + b) ‘ cball 0 1))⟩
proof –
  have ⟨((*V) (a + b) ‘ cball 0 1) ⊆ ?sum⟩
    using cblinfun.real.add-left closure-subset image-subset-iff by blast
  then have ⟨closure ((*V) (a + b) ‘ cball 0 1) ⊆ closure ?sum⟩
    by (meson closure-mono)
  also have ⟨... = ?sum⟩
    using compact-sum
    by (auto intro!: closure-closed compact-imp-closed)
  finally show ?thesis
    by (rule compact-closed-subset[rotated 2]) (use compact-sum in auto)
qed
then show ?thesis
  using compact-op-def2 by blast
qed

```

```

lemma csubspace-compact-op: ⟨csubspace (Collect compact-op)⟩
  — [2], Proposition II.4.2 (b)
  by (simp add: complex-vector.subspace-def)

```

```

lemma compact-op-minus[simp]: ⟨compact-op (a – b)⟩ if ⟨compact-op a⟩ and ⟨compact-op b⟩
  by (metis compact-op-plus compact-op-uminus that(1) that(2) uminus-add-conv-diff)

```

```

lemma compact-op-sgn[simp]: ⟨compact-op (sgn a) = compact-op a⟩

```

```

proof (cases ⟨a = 0⟩)
  case True
  then show ?thesis
    by simp
  next
  case False
  have ⟨compact-op (sgn a)⟩ if ⟨compact-op a⟩
    by (simp add: sgn-cblinfun-def that)
  moreover have ⟨compact-op (norm a *R sgn a)⟩ if ⟨compact-op (sgn a)⟩
    by (simp add: that)
  moreover have ⟨norm a *R sgn a = a⟩
    by (simp add: False sgn-div-norm)
  ultimately show ?thesis
    by auto
qed

```

```

lemma closed-compact-op:

```

shows $\langle \text{closed } (\text{Collect } (\text{compact-op} :: ('a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{hilbert-space}) \Rightarrow \text{bool})) \rangle$
 — [2], Proposition II.4.2 (b)
proof (intro closed-sequential-limits[THEN iffD2] allI impI conjI)
fix T and $A :: \langle 'a \Rightarrow_{CL} 'b \rangle$
assume $asm: \langle (\forall n. T\ n \in \text{Collect } \text{compact-op}) \wedge T \longrightarrow A \rangle$
have $\langle \text{Met-TC.mtotally-bounded } (A \text{ ' cball } 0\ 1) \rangle$
proof (unfold Met-TC.mtotally-bounded-def, intro allI impI)
fix $\varepsilon :: \text{real}$ **assume** $\langle \varepsilon > 0 \rangle$
define δ **where** $\langle \delta = \varepsilon/3 \rangle$
then have $\langle \delta > 0 \rangle$
using $\langle \varepsilon > 0 \rangle$ **by** *simp*
from asm [unfolded LIMSEQ-def, THEN conjunct2, rule-format, OF $\langle \delta > 0 \rangle$]
obtain n **where** $\text{dist-TA}: \langle \text{dist } (T\ n) A < \delta \rangle$
by *auto*
from asm **have** $\langle \text{compact-op } (T\ n) \rangle$
by *simp*
then have $\langle \text{Met-TC.mtotally-bounded } (T\ n \text{ ' cball } 0\ 1) \rangle$
by (subst Met-TC.mtotally-bounded-eq-compact-closure-of)
 (auto intro!: *simp*: compact-op-def2 Met-TC.mtotally-bounded-eq-compact-closure-of)
then obtain K **where** $\langle \text{finite } K \rangle$ **and** $K\text{-T}: \langle K \subseteq T\ n \text{ ' cball } 0\ 1 \rangle$ **and**
 $TK: \langle T\ n \text{ ' cball } 0\ 1 \subseteq (\bigcup_{k \in K}. \text{Met-TC.mball } k\ \delta) \rangle$
unfolding Met-TC.mtotally-bounded-def **using** $\langle \delta > 0 \rangle$ **by** *meson*
from $\langle \text{finite } K \rangle$ **and** $K\text{-T}$ **obtain** H **where** $\langle \text{finite } H \rangle$ **and** $\langle H \subseteq \text{cball } 0\ 1 \rangle$
and $KTH: \langle K = T\ n \text{ ' } H \rangle$
by (*meson finite-subset-image*)
from TK **have** $TH: \langle T\ n \text{ ' cball } 0\ 1 \subseteq (\bigcup_{h \in H}. \text{ball } (T\ n\ *_V\ h)\ \delta) \rangle$
by (*simp add: KTH*)
have $\langle A \text{ ' cball } 0\ 1 \subseteq (\bigcup_{h \in H}. \text{ball } (A\ h)\ \varepsilon) \rangle$
proof (*rule subsetI*)
fix x **assume** $\langle x \in (*_V) A \text{ ' cball } 0\ 1 \rangle$
then obtain l **where** $\langle l \in \text{cball } 0\ 1 \rangle$ **and** $xl: \langle x = A\ l \rangle$
by *blast*
then have $\langle T\ n\ l \in T\ n \text{ ' cball } 0\ 1 \rangle$
by *auto*
with TH **obtain** h **where** $\langle h \in H \rangle$ **and** $\langle T\ n\ l \in \text{ball } (T\ n\ h)\ \delta \rangle$
by *blast*
then have $\text{dist-Tlh}: \langle \text{dist } (T\ n\ l) (T\ n\ h) < \delta \rangle$
by (*simp add: dist-commute*)
have $\langle \text{dist } (A\ h) (A\ l) < \varepsilon \rangle$
proof —
have $\text{norm-h}: \langle \text{norm } h \leq 1 \rangle$
using $\langle H \subseteq \text{cball } 0\ 1 \rangle \langle h \in H \rangle$ *mem-cball-0* **by** *blast*
have $\text{norm-l}: \langle \text{norm } l \leq 1 \rangle$
using $\langle l \in \text{cball } 0\ 1 \rangle$ **by** *auto*
have $\langle \text{dist } (A\ h) (T\ n\ h) < \delta \rangle$
proof —
have $\langle \text{dist } (T\ n\ *_V\ h) (A\ *_V\ h) \leq \text{norm } h * \text{dist } (T\ n) A \rangle$
using *norm-cblinfun* [of $T\ n - A\ h$] **by** (*simp add: dist-norm cblinfun.diff-left mult-ac*)


```

also have  $\langle \dots \leq 1 * \text{dist } (T \ n) \ A \rangle$ 
  by (rule mult-right-mono) (use norm-h in auto)
also have  $\langle \text{dist } (T \ n) \ A < \delta \rangle$ 
  by fact
finally show ?thesis
  by (simp add: dist-commute)
qed
moreover have  $\langle \text{dist } (T \ n \ h) \ (T \ n \ l) < \delta \rangle$ 
  using dist-Tlh by (metis dist-commute)
moreover from dist-TA norm-l have  $\langle \text{dist } (T \ n \ l) \ (A \ l) < \delta \rangle$ 
proof –
  have  $\langle \text{dist } (T \ n \ *_V \ l) \ (A \ *_V \ l) \leq \text{norm } l * \text{dist } (T \ n) \ A \rangle$ 
    using norm-cblinfun[of T n – A l] by (simp add: dist-norm cblinfun.diff-left mult-ac)
  also have  $\langle \dots \leq 1 * \text{dist } (T \ n) \ A \rangle$ 
    by (rule mult-right-mono) (use norm-l in auto)
  also have  $\langle \text{dist } (T \ n) \ A < \delta \rangle$ 
    by fact
  finally show ?thesis
    by (simp add: dist-commute)
qed
ultimately show ?thesis
  unfolding  $\delta$ -def
  by (rule dist-triangle-third)
qed
then show  $\langle x \in (\bigcup h \in H. \text{ball } (A \ h) \ \varepsilon) \rangle$ 
  using  $\langle h \in H \rangle$  by (auto intro!: simp: xl)
qed
then show  $\langle \exists K. \text{finite } K \wedge K \subseteq (*_V) \ A \text{ ‘ cball } 0 \ 1 \wedge$ 
   $(*_V) \ A \text{ ‘ cball } 0 \ 1 \subseteq (\bigcup x \in K. \text{Met-TC.mball } x \ \varepsilon) \rangle$ 
  using  $\langle H \subseteq \text{cball } 0 \ 1 \rangle$ 
  by (force intro!: exI[of -  $\langle A \text{ ‘ } H \rangle$ ]  $\langle \text{finite } H \rangle$  simp: ball-def)
qed
then have  $\langle \text{Met-TC.mtotally-bounded } (\text{closure } (A \text{ ‘ cball } 0 \ 1)) \rangle$ 
  using Met-TC.mtotally-bounded-closure-of by auto
then have  $\langle \text{compact } (\text{closure } (A \text{ ‘ cball } 0 \ 1)) \rangle$ 
  by (simp-all add: Met-TC.mtotally-bounded-eq-compact-closure-of complete-UNIV-cuspace)
then show  $\langle A \in \text{Collect compact-op} \rangle$ 
  using compact-op-def2 by blast
qed

lemma rank1-compact-op:  $\langle \text{compact-op } a \rangle$  if  $\langle \text{rank1 } a \rangle$ 
proof –
  wlog  $\langle a \neq 0 \rangle$ 
  using negation by simp
  with that obtain  $\psi$  where  $\text{im-}a: \langle a *_S \top = \text{ccspan } \{\psi\} \rangle$  and  $\langle \psi \neq 0 \rangle$ 
  using rank1-def by fastforce
  define  $c$  where  $\langle c = \text{norm } a / \text{norm } \psi \rangle$ 
  have  $\text{compact-}\psi c: \langle \text{compact } ((\lambda x. x *_C \psi) \text{ ‘ cball } 0 \ c) \rangle$ 
proof –

```

```

have ⟨continuous-on (cball 0 c) (λx. x *C ψ)⟩
  by (auto intro!: continuous-at-imp-continuous-on)
moreover have ⟨compact (cball (0::complex) c)⟩
  by (simp add: compact-eq-bounded-closed)
ultimately show ?thesis
  by (rule compact-continuous-image)
qed
have ⟨a ‘ cball 0 1 ⊆ (λx. x *C ψ) ‘ cball 0 c⟩
proof (rule subsetI)
  fix φ
  assume asm: ⟨φ ∈ a ‘ cball 0 1⟩
  then have ⟨φ ∈ space-as-set (a *S ⊤)⟩
    using cblinfun-apply-in-image by blast
  also have ⟨... = cspan {ψ}⟩
    by (simp add: cspan.rep-eq im-a)
  finally obtain d where d: ⟨φ = d *C ψ⟩
    by (metis complex-vector.span-breakdown-eq complex-vector.span-empty eq-iff-diff-eq-0 singletonD)
  from asm obtain γ where ⟨φ = a γ⟩ and ⟨norm γ ≤ 1⟩
    by force
  have ⟨cmod d * norm ψ = norm φ⟩
    by (simp add: d)
  also have ⟨... ≤ norm a * norm γ⟩
    using ⟨φ = a *V γ⟩ complex-of-real-mono norm-cblinfun by blast
  also have ⟨... ≤ norm a⟩
    by (metis ⟨norm γ ≤ 1⟩ mult.commute mult-left-le-one-le norm-ge-zero)
  finally have ⟨cmod d ≤ c⟩
    by (smt (verit, ccfv-threshold) ⟨ψ ≠ 0⟩ c-def linordered-field-class.pos-divide-le-eq nonzero-eq-divide-eq norm-le-zero-iff)
  then show ⟨φ ∈ (λx. x *C ψ) ‘ cball 0 c⟩
    by (auto simp: d)
qed
with compact-ψc have cl-in-cl: ⟨closure (a ‘ cball 0 1) ⊆ ((λx. x *C ψ) ‘ cball 0 c)⟩
  using closure-mono[of - ⟨(λx. x *C ψ) ‘ cball 0 c⟩] compact-ψc
  by (simp add: compact-imp-closed)
with compact-ψc show ⟨compact-op a⟩
  using compact-closed-subset compact-op-def2 by blast
qed

lemma finite-rank-compact-op: ⟨compact-op a⟩ if ⟨finite-rank a⟩
proof –
  from that obtain t r where ⟨finite t⟩ and ⟨t ⊆ Collect rank1⟩
    and a-decomp: ⟨a = (∑ x∈t. r x *C x)⟩
    by (auto simp: finite-rank-def complex-vector.span-explicit)
  from ⟨finite t⟩ ⟨t ⊆ Collect rank1⟩ show ⟨compact-op a⟩
    by (unfold a-decomp, induction)
    (auto intro!: compact-op-plus compact-op-scaleC intro: rank1-compact-op)
qed

```

lemma *bounded-products-sot-lim-imp-lim*:
— Implicit in the proof of [2], Proposition II.4.4 (c)
fixes $A :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$
assumes $\text{lim-PA}: \langle \text{limitin cstrong-operator-topology } (\lambda x. P x \text{ } o_{CL} A) A F \rangle$
and $\langle \text{compact-op } A \rangle$
and $P\text{-leq-B}: \langle \bigwedge x. \text{norm } (P x) \leq B \rangle$
shows $\langle ((\lambda x. P x \text{ } o_{CL} A) \longrightarrow A) F \rangle$
proof –
wlog $\langle F \neq \perp \rangle$
using *negation* **by** *simp*
wlog $\langle B \neq 0 \rangle$
proof –
from *negation assms* **have** $P0: \langle P x = 0 \rangle$ **for** x
by *auto*
from *lim-PA* **have** $\langle ((\lambda x. 0) \longrightarrow \text{Abs-cblinfun-sot } A) F \rangle$
unfolding *limitin-canonical-iff* [*symmetric*]
by (*transfer fixing: P F*) (*use P0 in simp*)
moreover **have** $\langle ((\lambda x. 0) \longrightarrow 0) F \rangle$
by *simp*
ultimately **have** $\langle \text{Abs-cblinfun-sot } A = 0 \rangle$
using $\langle F \neq \perp \rangle$ *tendsto-unique* **by** *blast*
then **have** $\langle A = 0 \rangle$
by (*metis Abs-cblinfun-sot-inverse cstrong-operator-topology-topospace lim-PA*
limitin-def zero-cblinfun-sot.rep-eq)
with $P0$ **show** *?thesis*
by *simp*
qed
have $\langle B > 0 \rangle$
proof –
from $P\text{-leq-B}$ [*of undefined*] **have** $\langle B \geq 0 \rangle$
by (*smt (verit, del-Insts) norm-ge-zero*)
with $\langle B \neq 0 \rangle$
show *?thesis*
by *simp*
qed
show *?thesis*
proof (*rule metric-space-class.tendstoI*)
fix $\varepsilon :: \text{real}$ **assume** $\langle \varepsilon > 0 \rangle$
define $\delta \ \gamma \ T$ **where** $\langle \delta = \varepsilon/4 \rangle$ **and** $\langle \gamma = \min \delta (\delta/B) \rangle$ **and** $\langle T x = P x \text{ } o_{CL} A \rangle$ **for** x
then **have** $\langle \delta > 0 \rangle$
using $\langle \varepsilon > 0 \rangle$ **by** *simp*
then **have** $\langle \gamma > 0 \rangle$
using $\langle B > 0 \rangle$ **by** (*simp add: \gamma-def*)
from $\langle \text{compact-op } A \rangle$ **have** $\langle \text{Met-TC.mtotally-bounded } (A \text{ } ' \text{ cball } 0 \ 1) \rangle$
by (*subst Met-TC.mtotally-bounded-eq-compact-closure-of*)
(*auto intro!: simp: compact-op-def2 Met-TC.mtotally-bounded-eq-compact-closure-of*)
then **obtain** K **where** $\langle \text{finite } K \rangle$ **and** $K\text{-T}: \langle K \subseteq A \text{ } ' \text{ cball } 0 \ 1 \rangle$ **and**
 $AK: \langle A \text{ } ' \text{ cball } 0 \ 1 \subseteq (\bigcup_{k \in K. \text{Met-TC.mball } k \ \gamma}) \rangle$

```

    unfolding Met-TC.mtotally-bounded-def using ⟨ $\gamma > 0$ ⟩ by meson
  from ⟨finite K⟩ and K-T obtain H where ⟨finite H⟩ and ⟨ $H \subseteq \text{cball } 0 \ 1$ ⟩
    and KAH: ⟨ $K = A \ ' \ H$ ⟩
    by (meson finite-subset-image)
  from AK have AH: ⟨ $A \ ' \ \text{cball } 0 \ 1 \subseteq (\bigcup_{h \in H}. \text{ball } (A \ *_V \ h) \ \gamma)$ ⟩
    by (simp add: KAH)
  have ⟨ $\forall_F x \text{ in } F. \forall h \in H. \text{dist } (T \ x \ h) \ (A \ h) < \delta$ ⟩
    using lim-PA ⟨ $\delta > 0$ ⟩
    by (auto intro!: eventually-ball-finite ⟨finite H⟩
      simp: limitin-cstrong-operator-topology T-def metric-space-class.tendsto-iff)
  then show ⟨ $\forall_F x \text{ in } F. \text{dist } (T \ x) \ A < \varepsilon$ ⟩
  proof (rule eventually-mono)
    fix x
    assume asm: ⟨ $\forall h \in H. \text{dist } (T \ x \ *_V \ h) \ (A \ *_V \ h) < \delta$ ⟩
    have ⟨ $\text{dist } (T \ x \ l) \ (A \ l) \leq 3 \ * \ \delta$ ⟩ if ⟨norm l = 1⟩ for l
    proof -
      from that have ⟨ $A \ l \in A \ ' \ \text{cball } 0 \ 1$ ⟩
        by auto
      with AH obtain h where ⟨ $h \in H$ ⟩ and Al $\gamma$ : ⟨ $A \ l \in \text{ball } (A \ h) \ \gamma$ ⟩
        by blast
      then have dist-Alh: ⟨ $\text{dist } (A \ l) \ (A \ h) < \gamma$ ⟩
        by (simp add: dist-commute)
      have ⟨ $\text{dist } (A \ l) \ (A \ h) < \delta$ ⟩
        using dist-Alh by (simp add:  $\gamma$ -def)
      moreover from asm have ⟨ $\text{dist } (A \ h) \ (T \ x \ h) < \delta$ ⟩
        by (simp add: ⟨ $h \in H$ ⟩ dist-commute)
      moreover have ⟨ $\text{dist } (T \ x \ h) \ (T \ x \ l) < \delta$ ⟩
      proof -
        have ⟨ $\text{dist } (T \ x \ h) \ (T \ x \ l) \leq \text{norm } (P \ x) \ * \ \text{dist } (A \ h) \ (A \ l)$ ⟩
          by (metis T-def cblinfun.real.diff-right cblinfun-apply-cblinfun-compose
            dist-norm norm-cblinfun)
        also from Al $\gamma$  P-leq-B have ⟨ $\dots < B \ * \ \gamma$ ⟩
          by (smt (verit, ccfv-SIG) ⟨ $B \neq 0$ ⟩ linordered-semiring-strict-class.mult-le-less-imp-less
            linordered-semiring-strict-class.mult-strict-mono' mem-ball norm-ge-zero zero-le-dist)
        also have ⟨ $\dots \leq B \ * \ (\delta / B)$ ⟩
          by (smt (verit, best)  $\gamma$ -def ⟨ $0 < B$ ⟩ mult-left-mono)
        also have ⟨ $\dots \leq \delta$ ⟩
          by (simp add: ⟨ $B \neq 0$ ⟩)
        finally show ?thesis
          by -
      qed
    qed
    ultimately show ?thesis
      by (smt (verit) dist-commute dist-triangle2)
  qed
  then have ⟨ $\text{dist } (T \ x) \ A \leq 3 \ * \ \delta$ ⟩
    unfolding dist-norm using ⟨ $\delta > 0$ ⟩
    by (auto intro!: norm-cblinfun-bound-unit simp: cblinfun.diff-left)
  then show ⟨ $\text{dist } (T \ x) \ A < \varepsilon$ ⟩
    by (rule order.strict-trans1) (use ⟨ $\varepsilon > 0$ ⟩ in ⟨simp add:  $\delta$ -def⟩)

```

qed
 qed
 qed

lemma *compact-op-finite-rank*:

fixes $A :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$
shows $\langle \text{compact-op } A \longleftrightarrow A \in \text{closure } (\text{Collect finite-rank}) \rangle$
 — [2], Proposition II.4.4 (c)

proof (*rule iffI*)

assume $\langle A \in \text{closure } (\text{Collect finite-rank}) \rangle$
then have $\langle A \in \text{closure } (\text{Collect compact-op}) \rangle$
by (*metis closure-sequential finite-rank-compact-op mem-Collect-eq*)
also have $\langle \dots = \text{Collect compact-op} \rangle$
by (*simp add: closed-compact-op*)
finally show $\langle \text{compact-op } A \rangle$
by *simp*

next

assume $\langle \text{compact-op } A \rangle$
then have $\langle \text{compact } (\text{closure } (A \text{ ' cball } 0 \ 1)) \rangle$
using *compact-op-def2* **by** *blast*
then have *sep-A-ball*: $\langle \text{separable } (\text{closure } (A \text{ ' cball } 0 \ 1)) \rangle$
using *compact-imp-separable* **by** *blast*
define L **where** $\langle L = \text{closure } (\text{range } A) \rangle$
obtain $B :: \langle \text{nat} \Rightarrow \rightarrow \rangle$ **where** $\langle L \subseteq \text{closure } (\text{range } B) \rangle$
proof *atomize-elim*
from *sep-A-ball* **obtain** $B0$ **where** $\langle \text{countable } B0 \rangle$
and $A\text{-}B0$: $\langle A \text{ ' cball } 0 \ 1 \subseteq \text{closure } B0 \rangle$
by (*meson closure-subset order-trans separable-def*)
define $B1$ **where** $\langle B1 = (\bigcup n::\text{nat. scaleR } n \text{ ' } B0) \rangle$
from $\langle \text{countable } B0 \rangle$ **have** $\langle \text{countable } B1 \rangle$
by (*auto intro!: countable-UN countable-image simp: B1-def*)
have $\langle \text{range } A = (\bigcup n::\text{nat. } A \text{ ' scaleR } n \text{ ' cball } (0::'a) \ 1) \rangle$
proof —
have $\langle UNIV = (\bigcup n::\text{nat. scaleR } n \text{ ' cball } (0::'a) \ 1) \rangle$
proof (*intro antisym subsetI UNIV-I*)
fix $x :: 'a$
have $\text{norm } x < 1 + \text{real-of-int } \lceil \text{norm } x \rceil + \text{real-of-int } \lceil \text{norm } x \rceil > 0$
using *norm-ge-zero[of x]* **by** *linarith+*
hence $\langle x \in \text{scaleR } (\text{nat } (\text{ceiling } (\text{norm } x)) + 1) \text{ ' cball } (0::'a) \ 1 \rangle$
by (*intro image-eqI[where x= $x /_R (\text{nat } (\text{ceiling } (\text{norm } x)) + 1)$]*)
(auto simp: divide-simps)
then show $\langle x \in (\bigcup x::\text{nat. } (*_R) (\text{real } x) \text{ ' cball } 0 \ 1) \rangle$
by *blast*

qed

then show *?thesis*

by *fastforce*

qed

also have $\langle \dots = (\bigcup n::\text{nat. scaleR } n \text{ ' } A \text{ ' cball } 0 \ 1) \rangle$

by (auto simp: cblinfun.scaleR-right image-comp fun-eq-iff)
 also have $\langle \dots \subseteq (\bigcup n::\text{nat}. \text{scaleR } n \text{ ' closure } B0) \rangle$
 using A-B0 by fastforce
 also have $\langle \dots \subseteq \text{closure } (\bigcup n::\text{nat}. \text{scaleR } n \text{ ' } B0) \rangle$
 by (metis (mono-tags, lifting) SUP-le-iff closure-closure closure-mono closure-scaleR closure-subset)
 also have $\langle \dots = \text{closure } B1 \rangle$
 using B1-def by fastforce
 finally have $\langle L \subseteq \text{closure } B1 \rangle$
 by (simp add: L-def closure-minimal)
 with $\langle \text{countable } B1 \rangle$
 show $\langle \exists B :: \text{nat} \Rightarrow \dots. L \subseteq \text{closure } (\text{range } B) \rangle$
 by (metis L-def closure-eq-empty empty-not-UNIV image-is-empty range-from-nat-into subset-empty)
 qed
 define P T where $\langle P \ n = \text{Proj } (\text{ccspan } (B \text{ ' } \{..n\})) \rangle$
 and $\langle T \ n = P \ n \ o_{CL} \ A \rangle$ for n
 have $\langle \text{limitin cstrong-operator-topology } T \ A \text{ sequentially} \rangle$
 proof (intro limitin-cstrong-operator-topology[THEN iffD2, rule-format] metric-LIMSEQ-I)

 fix h and $\varepsilon :: \text{real}$ assume $\langle \varepsilon > 0 \rangle$
 define Ah where $\langle Ah = A \ h \rangle$
 have $\langle Ah \in \text{closure } (\text{range } B) \rangle$
 by (metis L-def Ah-def $\langle L \subseteq \text{closure } (\text{range } B) \rangle$ cblinfun-apply-in-image cblinfun-image.rep-eq subsetD top-ccsubspace.rep-eq)
 then obtain x where $\langle x \in \text{range } B \rangle$ and $\langle \text{dist } x \ Ah < \varepsilon \rangle$
 using $\langle \varepsilon > 0 \rangle$ unfolding closure-approachable by blast
 then obtain n0 where $x \cdot n0: \langle x = B \ n0 \rangle$
 by blast
 have $\langle \text{dist } (P \ n \ *_V \ Ah) \ Ah < \varepsilon \rangle$ if $\langle n \geq n0 \rangle$ for n
 proof -
 have $\langle x \in \text{space-as-set } (P \ n \ *_S \ \top) \rangle$
 using $\langle n \geq n0 \rangle$
 by (auto intro!: ccspan-superset' simp: P-def x-n0)
 from Proj-nearest[OF this, of Ah]
 have $\langle \text{dist } (P \ n \ *_V \ Ah) \ Ah \leq \text{dist } x \ Ah \rangle$
 by (simp add: P-def)
 with $\langle \text{dist } x \ Ah < \varepsilon \rangle$ show ?thesis
 by auto
 qed
 then show $\langle \exists n0. \forall n \geq n0. \text{dist } (T \ n \ *_V \ h) \ (A \ *_V \ h) < \varepsilon \rangle$
 unfolding T-def Ah-def by auto
 qed
 then have $\langle ((\lambda x. P \ x \ o_{CL} \ A) \longrightarrow A) \text{ sequentially} \rangle$
 unfolding T-def
 by (auto intro!: bounded-products-sot-lim-imp-lim[where B=1] $\langle \text{compact-op } A \rangle$ norm-is-Proj simp: P-def)
 moreover have $\langle \text{finite-rank } (P \ x \ o_{CL} \ A) \rangle$ for x
 by (auto intro!: finite-rank-compose-right finite-rank-Proj-finite simp: P-def)

ultimately show $\langle A \in \text{closure } (\text{Collect finite-rank}) \rangle$
using *closure-sequential* **by force**
qed

typedef (overloaded) $('a::\text{chilbert-space}, 'b::\text{complex-normed-vector})$ *compact-op* =
 $\langle \text{Collect } \text{compact-op} :: ('a \Rightarrow_{CL} 'b) \text{ set} \rangle$
morphisms *from-compact-op* *Abs-compact-op*
by *(auto intro!: exI[of - 0])*
setup-lifting *type-definition-compact-op*

instantiation *compact-op* :: $(\text{chilbert-space}, \text{complex-normed-vector})$ *complex-normed-vector* **begin**
lift-definition *scaleC-compact-op* :: $\langle \text{complex} \Rightarrow ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *scaleC* **by** *simp*
lift-definition *uminus-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *uminus* **by** *simp*
lift-definition *zero-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \rangle$ **is** *0* **by** *simp*
lift-definition *minus-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *minus* **by** *simp*
lift-definition *plus-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *plus* **by** *simp*
lift-definition *sgn-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *sgn* **by** *simp*
lift-definition *norm-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow \text{real} \rangle$ **is** *norm* .
lift-definition *scaleR-compact-op* :: $\langle \text{real} \Rightarrow ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \rangle$ **is** *scaleR*
by *simp*
lift-definition *dist-compact-op* :: $\langle ('a, 'b) \text{ compact-op} \Rightarrow ('a, 'b) \text{ compact-op} \Rightarrow \text{real} \rangle$ **is** *dist* .
definition [*code del*]:
 $\langle (\text{uniformity} :: (('a, 'b) \text{ compact-op} \times ('a, 'b) \text{ compact-op}) \text{ filter}) = (\text{INF } e \in \{0 <.. \}. \text{ principal } \{(x, y). \text{ dist } x \ y < e\}) \rangle$
definition *open-compact-op* :: $(('a, 'b) \text{ compact-op} \text{ set} \Rightarrow \text{bool})$
where [*code del*]: *open-compact-op* $S = (\forall x \in S. \forall_F (x', y) \text{ in } \text{uniformity}. x' = x \longrightarrow y \in S)$
instance
proof
show $((*_R) \ r :: ('a, 'b) \text{ compact-op} \Rightarrow -) = (*_C) (\text{complex-of-real } r)$ **for** r
by *(rule ext, transfer) (simp add: scaleR-scaleC)*
show $a + b + c = a + (b + c)$
for $a \ b \ c :: ('a, 'b) \text{ compact-op}$
by *transfer simp*
show $a + b = b + a$
for $a \ b :: ('a, 'b) \text{ compact-op}$
by *transfer simp*
show $0 + a = a$
for $a :: ('a, 'b) \text{ compact-op}$
by *transfer simp*
show $- (a :: ('a, 'b) \text{ compact-op}) + a = 0$
for $a :: ('a, 'b) \text{ compact-op}$
by *transfer simp*
show $a - b = a + - b$
for $a \ b :: ('a, 'b) \text{ compact-op}$

```

    by transfer simp
  show  $a *_C (x + y) = a *_C x + a *_C y$ 
    for  $a :: \text{complex}$  and  $x y :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: scaleC-add-right)
  show  $(a + b) *_C x = a *_C x + b *_C x$ 
    for  $a b :: \text{complex}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: scaleC-left.add)
  show  $a *_C b *_C x = (a * b) *_C x$ 
    for  $a b :: \text{complex}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $1 *_C x = x$ 
    for  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $\text{dist } x y = \text{norm } (x - y)$ 
    for  $x y :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: dist-norm)
  show  $a *_R (x + y) = a *_R x + a *_R y$ 
    for  $a :: \text{real}$  and  $x y :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: scaleR-right-distrib)
  show  $(a + b) *_R x = a *_R x + b *_R x$ 
    for  $a b :: \text{real}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: scaleR-left.add)
  show  $a *_R b *_R x = (a * b) *_R x$ 
    for  $a b :: \text{real}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $1 *_R x = x$ 
    for  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $\text{sgn } x = \text{inverse } (\text{norm } x) *_R x$ 
    for  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer (simp add: sgn-div-norm)
  show  $\text{uniformity} = (\text{INF } e \in \{0 <.. \}. \text{principal } \{(x, y). \text{dist } (x :: ('a, 'b) \text{compact-op}) y < e\})$ 
    using  $\text{uniformity-compact-op-def}$  by blast
  show  $\text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{uniformity}. x' = x \longrightarrow y \in U)$ 
    for  $U :: ('a, 'b) \text{compact-op set}$ 
    by (simp add: open-compact-op-def)
  show  $(\text{norm } x = 0) \longleftrightarrow (x = 0)$ 
    for  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $\text{norm } (x + y) \leq \text{norm } x + \text{norm } y$ 
    for  $x y :: ('a, 'b) \text{compact-op}$ 
    by transfer (use norm-triangle-ineq in blast)
  show  $\text{norm } (a *_R x) = |a| * \text{norm } x$ 
    for  $a :: \text{real}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
  show  $\text{norm } (a *_C x) = \text{cmod } a * \text{norm } x$ 
    for  $a :: \text{complex}$  and  $x :: ('a, 'b) \text{compact-op}$ 
    by transfer simp
qed

```


end

lemma *from-compact-op-plus*: $\langle \text{from-compact-op } (a + b) = \text{from-compact-op } a + \text{from-compact-op } b \rangle$
by *transfer simp*

lemma *from-compact-op-scaleC*: $\langle \text{from-compact-op } (c *_C a) = c *_C \text{from-compact-op } a \rangle$
by *transfer simp*

lemma *from-compact-op-norm[simp]*: $\langle \text{norm } (\text{from-compact-op } a) = \text{norm } a \rangle$
by *transfer simp*

lemma *compact-op-butterfly[simp]*: $\langle \text{compact-op } (\text{butterfly } x \ y) \rangle$
by (*simp add: finite-rank-compact-op*)

lift-definition *butterfly-co* :: $\langle 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{hilbert-space} \Rightarrow ('b, 'a) \text{compact-op} \rangle$ **is** *butterfly*
by *simp*

lemma *butterfly-co-add-left*: $\langle \text{butterfly-co } (a + a') \ b = \text{butterfly-co } a \ b + \text{butterfly-co } a' \ b \rangle$
by *transfer (rule butterfly-add-left)*

lemma *butterfly-co-add-right*: $\langle \text{butterfly-co } a \ (b + b') = \text{butterfly-co } a \ b + \text{butterfly-co } a \ b' \rangle$
by *transfer (rule butterfly-add-right)*

lemma *butterfly-co-scaleR-left[simp]*: $\text{butterfly-co } (r *_R \psi) \ \varphi = r *_C \text{butterfly-co } \psi \ \varphi$
by *transfer (rule butterfly-scaleR-left)*

lemma *butterfly-co-scaleR-right[simp]*: $\text{butterfly-co } \psi \ (r *_R \varphi) = r *_C \text{butterfly-co } \psi \ \varphi$
by *transfer (rule butterfly-scaleR-right)*

lemma *butterfly-co-scaleC-left[simp]*: $\text{butterfly-co } (r *_C \psi) \ \varphi = r *_C \text{butterfly-co } \psi \ \varphi$
by *transfer (rule butterfly-scaleC-left)*

lemma *butterfly-co-scaleC-right[simp]*: $\text{butterfly-co } \psi \ (r *_C \varphi) = \text{conj } r *_C \text{butterfly-co } \psi \ \varphi$
by *transfer (rule butterfly-scaleC-right)*

lemma *finite-rank-separating-on-compact-op*:

fixes $F \ G :: \langle ('a::\text{hilbert-space}, 'b::\text{hilbert-space}) \text{compact-op} \Rightarrow 'c::\text{complex-normed-vector} \rangle$

assumes $\langle \bigwedge x. \text{finite-rank } (\text{from-compact-op } x) \implies F \ x = G \ x \rangle$

assumes $\langle \text{bounded-clinear } F \rangle$

assumes $\langle \text{bounded-clinear } G \rangle$

shows $\langle F = G \rangle$

proof –

define FG **where** $\langle FG \ x = F \ x - G \ x \rangle$ **for** x

from $\langle \text{bounded-clinear } F \rangle$ **and** $\langle \text{bounded-clinear } G \rangle$

have $\langle \text{bounded-clinear } FG \rangle$

by (*auto simp: FG-def[abs-def] intro!: bounded-clinear-sub*)

then have contFG' : $\langle \text{continuous-map euclidean euclidean FG} \rangle$
by (*simp add: Complex-Vector-Spaces.bounded-clinear.bounded-linear linear-continuous-on*)
have $\langle \text{continuous-on (Collect compact-op) (FG o Abs-compact-op)} \rangle$
proof
fix $a :: 'a \Rightarrow_{CL} 'b$ **and** $e :: \text{real}$
assume $0 < e$ **and** $a\text{-compact}$: $a \in \text{Collect compact-op}$
have dist-rw : $\langle \text{dist } x' a = \text{dist (Abs-compact-op } x') (\text{Abs-compact-op } a) \rangle$ **if** $\langle \text{compact-op } x' \rangle$
for x'
by (*metis Abs-compact-op-inverse a-compact dist-compact-op.rep-eq mem-Collect-eq that*)

from $\langle \text{bounded-clinear FG} \rangle$
have $\langle \text{continuous-on UNIV FG} \rangle$
using contFG' *continuous-map-iff-continuous2* **by** *blast*
then have $\langle \exists d > 0. \forall x'. \text{dist } x' (\text{Abs-compact-op } a) < d \longrightarrow \text{dist (FG } x') (\text{FG (Abs-compact-op } a)) \leq e \rangle$
using $\langle e > 0 \rangle$ **by** (*force simp: continuous-on-iff*)
then have $\langle \exists d > 0. \forall x'. \text{compact-op } x' \longrightarrow \text{dist (Abs-compact-op } x') (\text{Abs-compact-op } a) < d \longrightarrow$
 $\text{dist (FG (Abs-compact-op } x')) (\text{FG (Abs-compact-op } a)) \leq e \rangle$
by *blast*
then show $\exists d > 0. \forall x' \in \text{Collect compact-op}. \text{dist } x' a < d \longrightarrow \text{dist ((FG o Abs-compact-op) } x') ((\text{FG o Abs-compact-op}) a) \leq e$
by (*simp add: dist-rw o-def*)
qed
then have contFG : $\langle \text{continuous-on (closure (Collect finite-rank)) (FG o Abs-compact-op)} \rangle$
by (*auto simp: compact-op-finite-rank[abs-def]*)

have FG0 : $\langle \text{finite-rank } a \implies (\text{FG o Abs-compact-op}) a = 0 \rangle$ **for** a
by (*metis (no-types, lifting) Abs-compact-op-inverse FG-def assms(1) closure-subset comp-apply compact-op-finite-rank eq-iff-diff-eq-0 mem-Collect-eq subset-eq*)

have $\langle (\text{FG o Abs-compact-op}) a = 0 \rangle$ **if** $\langle \text{compact-op } a \rangle$ **for** a
using contFG FG0
by (*rule continuous-constant-on-closure*) (*use that in* $\langle \text{auto simp: compact-op-finite-rank} \rangle$)

then have $\langle \text{FG } a = 0 \rangle$ **for** a
by (*metis Abs-compact-op-cases comp-apply mem-Collect-eq*)

then show $\langle F = G \rangle$
by (*auto simp: FG-def[abs-def] fun-eq-iff*)
qed

lemma *trunc-ell2-as-Proj*: $\langle \text{trunc-ell2 } S \psi = \text{Proj (ccspan (ket ' S)) } \psi \rangle$
proof (*rule cinner-ket-eqI*)
fix x
have $*$: $\langle \text{Proj (ccspan (ket ' S)) (ket } x) = 0 \rangle$ **if** $\langle x \notin S \rangle$
by (*auto intro!: Proj-0-compl mem-ortho-ccspanI simp: that*)
have $\langle \text{ket } x \cdot_C \text{trunc-ell2 } S \psi = \text{of-bool (} x \in S) * (\text{ket } x \cdot_C \psi) \rangle$
by (*simp add: cinner-ket-left trunc-ell2.rep-eq*)

also have $\langle \dots = \text{Proj} (\text{ccspan} (\text{ket } ' S)) (\text{ket } x) \cdot_C \psi \rangle$
by (*cases* $\langle x \in S \rangle$) (*auto simp add: * ccspan-superset' Proj-fixes-image*)
also have $\langle \dots = \text{ket } x \cdot_C (\text{Proj} (\text{ccspan} (\text{ket } ' S)) *_V \psi) \rangle$
by (*simp add: adj-Proj flip: cinner-adj-left*)
finally show $\langle \text{ket } x \cdot_C \text{trunc-ell2 } S \psi = \text{ket } x \cdot_C (\text{Proj} (\text{ccspan} (\text{ket } ' S)) *_V \psi) \rangle$.
qed

lemma unitary-between-bij-betw:
assumes $\langle \text{is-onb } A \rangle \langle \text{is-onb } B \rangle$
shows $\langle \text{bij-betw} ((*_V) (\text{unitary-between } A B)) A B \rangle$
using *bij-between-bases-bij[OF assms]*
by (*rule bij-betw-cong[THEN iffD1, rotated]*)
(simp add: assms(1) assms(2) unitary-between-apply)

lemma tendsto-finite-subsets-at-top-image:
assumes $\langle \text{inj-on } g X \rangle$
shows $\langle (f \longrightarrow x) (\text{finite-subsets-at-top} (g ' X)) \longleftrightarrow ((\lambda S. f (g ' S)) \longrightarrow x) (\text{finite-subsets-at-top } X) \rangle$
by (*simp add: filterlim-def assms o-def*)
flip: filtermap-image-finite-subsets-at-top filtermap-compose

lemma Proj-onb-limit:
shows $\langle \text{is-onb } A \implies ((\lambda S. \text{Proj} (\text{ccspan } S) \psi) \longrightarrow \psi) (\text{finite-subsets-at-top } A) \rangle$
proof –
have main: $\langle ((\lambda S. \text{Proj} (\text{ccspan } S) \psi) \longrightarrow \psi) (\text{finite-subsets-at-top } A) \rangle$ **if** $\langle \text{is-onb } A \rangle$
for $\psi :: \langle 'b :: \{\text{hilbert-space, not-singleton}\} \rangle$ **and** A
proof –
define U **where** $\langle U = \text{unitary-between} (\text{ell2-to-hilbert} * ' A) (\text{range } \text{ket}) \rangle$
have [*simp*]: $\langle \text{unitary } U \rangle$
by (*simp add: U-def that unitary-between-unitary unitary-image-onb*)
have *lim1*: $\langle ((\lambda S. \text{trunc-ell2 } S (U *_V \text{ell2-to-hilbert} * *_V \psi)) \longrightarrow U *_V \text{ell2-to-hilbert} * *_V \psi) (\text{finite-subsets-at-top } \text{UNIV}) \rangle$
by (*rule trunc-ell2-lim-at-UNIV*)
have *lim2*: $\langle ((\lambda S. \text{ell2-to-hilbert} *_V U * *_V \text{trunc-ell2 } S (U *_V \text{ell2-to-hilbert} * *_V \psi)) \longrightarrow \text{ell2-to-hilbert} *_V U * *_V U *_V \text{ell2-to-hilbert} * *_V \psi) (\text{finite-subsets-at-top } \text{UNIV}) \rangle$
by (*intro cblinfun.tendsto lim1 auto*)
have $*$: $\langle \text{ell2-to-hilbert} *_V U * *_V \text{trunc-ell2 } S (U *_V \text{ell2-to-hilbert} * *_V \psi) = \text{Proj} (\text{ccspan} ((\text{ell2-to-hilbert } o U * o \text{ket}) ' S)) \psi \rangle$ (**is** $\langle ?lhs = ?rhs \rangle$) **for** S
proof –
have $\langle ?lhs = (\text{sandwich } \text{ell2-to-hilbert} *_V \text{sandwich } (U *) *_V \text{Proj} (\text{ccspan} (\text{ket } ' S))) *_V \psi \rangle$
by (*simp add: trunc-ell2-as-Proj sandwich-apply*)
also have $\langle \dots = \text{Proj} (\text{ell2-to-hilbert} *_S U * *_S \text{ccspan} (\text{ket } ' S)) *_V \psi \rangle$
by (*simp add: Proj-sandwich*)
also have $\langle \dots = \text{Proj} (\text{ccspan} (\text{ell2-to-hilbert } ' U * ' \text{ket } ' S)) *_V \psi \rangle$
by (*simp add: cblinfun-image-ccspan*)
also have $\langle \dots = ?rhs \rangle$

```

    by (simp add: image-comp)
  finally show ?thesis
    by -
qed
have **: ⟨ell2-to-hilbert *V U* *V U *V ell2-to-hilbert* *V ψ = ψ⟩
  by (simp add: lift-cblinfun-comp[OF unitaryD1] lift-cblinfun-comp[OF unitaryD2])
have ***: ⟨range (ell2-to-hilbert o U* o ket) = A⟩ (is ⟨?lhs = -⟩)
proof -
  have ⟨bij-betw U (ell2-to-hilbert* 'A) (range ket)⟩
    by (auto intro!: unitary-between-bij-betw that unitary-image-onb simp add: U-def)
  then have bijUadj: ⟨bij-betw (U*) (range ket) (ell2-to-hilbert* 'A)⟩
  by (metis ⟨unitary U⟩ bij-betw-imp-surj-on inj-imp-bij-betw-inv unitary-adj-inv unitary-inj)
  have ⟨?lhs = ell2-to-hilbert 'U* 'range ket⟩
    by (simp add: image-comp)
  also from this and bijUadj have ⟨... = ell2-to-hilbert ' (ell2-to-hilbert* 'A)⟩
    by (metis bij-betw-imp-surj-on)
  also have ⟨... = A⟩
    by (metis image-inv-f-f unitary-adj unitary-adj-inv unitary-ell2-to-hilbert unitary-inj)
  finally show ?thesis
    by -
qed
from lim2 have lim3: ⟨((λS. Proj (ccspan ((ell2-to-hilbert o U* o ket) 'S)) ψ) → ψ)
  (finite-subsets-at-top UNIV)⟩
  unfolding * ** by -
  then have lim4: ⟨((λS. Proj (ccspan S) ψ) → ψ) (finite-subsets-at-top (range (ell2-to-hilbert
  o U* o ket)))⟩
    by (rule tendsto-finite-subsets-at-top-image[THEN iffD2, rotated])
      (intro inj-compose unitary-inj unitary-ell2-to-hilbert unitary-adj[THEN iffD2] ⟨unitary
  U⟩ inj-ket)
  then show ?thesis
    unfolding *** by -
qed
assume ⟨is-onb A⟩
show ?thesis
proof (cases ⟨class.not-singleton TYPE('a)⟩)
  case True
  show ?thesis
    using hilbert-space-class.hilbert-space-axioms True ⟨is-onb A⟩
    by (rule main[internalize-sort 'b2])
  next
  case False
  then have ⟨ψ = 0⟩
    by (rule not-not-singleton-zero)
  then show ?thesis
    by simp
qed
qed
lemma is-ortho-setD:

```

assumes *is-ortho-set* S $x \in S$ $y \in S$ $x \neq y$
shows $x \cdot_C y = 0$
using *assms unfolding is-ortho-set-def* **by** *blast*

lemma *finite-rank-dense-compact*:

fixes $A :: \langle 'a::\text{chilbert-space set} \rangle$ **and** $B :: \langle 'b::\text{chilbert-space set} \rangle$
assumes $\langle \text{is-onb } A \rangle$ **and** $\langle \text{is-onb } B \rangle$
shows $\langle \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B))) = \text{Collect compact-op} \rangle$
proof (*rule Set.equalityI*)
show $\langle \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B))) \subseteq \text{Collect compact-op} \rangle$
proof –
have $\langle \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B))) \subseteq \text{closure } (\text{Collect finite-rank}) \rangle$
proof (*rule closure-mono; safe*)
fix x **assume** $x \in \text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B))$
thus *finite-rank* x
by (*induction rule: complex-vector.span-induct-alt*) *auto*
qed
also have $\langle \dots = \text{Collect compact-op} \rangle$
by (*simp add: Set.set-eqI compact-op-finite-rank*)
finally show *?thesis*
by –
qed
show $\langle \text{Collect compact-op} \subseteq \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B))) \rangle$
proof –
have $\langle \text{Collect } (\text{compact-op} :: 'b \Rightarrow_{CL} 'a \Rightarrow -) = \text{closure } (\text{cspan } (\text{Collect rank1})) \rangle$
by (*simp add: compact-op-finite-rank[abs-def] finite-rank-def[abs-def]*)
also have $\langle \dots \subseteq \text{closure } (\text{cspan } (\text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) ' (A \times B)))) \rangle$
proof (*rule closure-mono, rule complex-vector.span-mono, rule subsetI*)
fix $x :: \langle 'b \Rightarrow_{CL} 'a \rangle$ **assume** $\langle x \in \text{Collect rank1} \rangle$
then obtain a b **where** $xab: \langle x = \text{butterfly } a \ b \rangle$
using *rank1-iff-butterfly* **by** *fastforce*
define f **where** $\langle f \ F \ G = \text{butterfly } (\text{Proj } (\text{ccspan } F) \ a) \ (\text{Proj } (\text{ccspan } G) \ b) \rangle$ **for** $F \ G$
have $\text{lim}: \langle (\text{case-prod } f \ \longrightarrow \ x) \ (\text{finite-subsets-at-top } A \ \times_F \ \text{finite-subsets-at-top } B) \rangle$
proof (*rule tendstoI, subst dist-norm*)
fix $e :: \text{real}$ **assume** $\langle e > 0 \rangle$
define d **where** $\langle d = (\text{if } \text{norm } a = 0 \ \wedge \ \text{norm } b = 0 \ \text{then } 1$
 $\text{else } e / (\text{max } (\text{norm } a) \ (\text{norm } b)) / 4) \rangle$
have [*simp*]: $d > 0$
unfolding *d-def* **using** $\langle e > 0 \rangle$
by (*auto intro!: divide-pos-pos simp: less-max-iff-disj*)
have $d: \langle \text{norm } a * d + \text{norm } a * d + \text{norm } b * d < e \rangle$
proof –
have $\langle x * d \leq e / 4 \rangle$ **if** $x: x \in \{\text{norm } a, \text{norm } b\}$ **for** x
proof (*cases x = 0*)
case *False*
have $d: d = e / (\text{max } (\text{norm } a) \ (\text{norm } b)) / 4$
using *False x* **by** (*auto simp: d-def*)
have $d \leq e / x / 4$
unfolding d **by** (*intro divide-left-mono divide-right-mono*)

(use $x \langle d > 0 \rangle \langle e > 0 \rangle$ *False in* $\langle \text{auto simp: less-max-iff-disj} \rangle$)

thus *?thesis*
using *False x by (auto simp: field-simps)*
qed (use $\langle e > 0 \rangle$ *in auto*)
hence $\text{norm } a * d \leq e / 4 \text{ norm } b * d \leq e / 4$
by *blast+*
hence $\langle \text{norm } a * d + \text{norm } a * d + \text{norm } b * d \leq 3 * e / 4 \rangle$
by *linarith*
also have $\langle \dots < e \rangle$
by (*simp add: $\langle 0 < e \rangle$*)
finally show *?thesis* .

qed
from *Proj-onb-limit[where $\psi=a$, OF *assms(1)*]*
have $\langle \forall_F F \text{ in finite-subsets-at-top } A. \text{norm } (\text{Proj } (\text{ccspan } F) a - a) < d \rangle$
by (*metis Lim-null $\langle 0 < d \rangle$ order-tendstoD(2) tendsto-norm-zero-iff*)
moreover from *Proj-onb-limit[where $\psi=b$, OF *assms(2)*]*
have $\langle \forall_F G \text{ in finite-subsets-at-top } B. \text{norm } (\text{Proj } (\text{ccspan } G) b - b) < d \rangle$
by (*metis Lim-null $\langle 0 < d \rangle$ order-tendstoD(2) tendsto-norm-zero-iff*)
ultimately have *FG-close: $\langle \forall_F (F,G) \text{ in finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top}$*

B.

$\text{norm } (\text{Proj } (\text{ccspan } F) a - a) < d \wedge \text{norm } (\text{Proj } (\text{ccspan } G) b - b) < d$

unfolding *case-prod-beta*
by (*rule eventually-prodI*)
have *fFG-dist: $\langle \text{norm } (f F G - x) < e \rangle$*
if $\langle \text{norm } (\text{Proj } (\text{ccspan } F) a - a) < d \rangle$ **and** $\langle \text{norm } (\text{Proj } (\text{ccspan } G) b - b) < d \rangle$
and $\langle F \subseteq A \rangle$ **and** $\langle G \subseteq B \rangle$ **for** $F G$

proof –
have *a-split: $\langle a = \text{Proj } (\text{ccspan } F) *_{\vee} a + \text{Proj } (\text{ccspan } (A-F)) *_{\vee} a \rangle$*
proof –
have A : *is-ortho-set A ccspan A = \top*
using *assms unfolding is-onb-def by auto*
have $\text{Proj } (\text{ccspan } (F \cup A)) = \text{Proj } (\text{ccspan } F) + \text{Proj } (\text{ccspan } (A-F))$
by (*subst Proj-orthog-ccspan-union [symmetric]*)
(use that in $\langle \text{auto intro!: is-ortho-setD[OF A(1)] \rangle$)
also have $F \cup A = A$
using *that by blast*
finally show *?thesis*
using $A(2)$ **by** (*simp flip: cblinfun.add-left*)

qed

have *b-split: $\langle b = \text{Proj } (\text{ccspan } G) *_{\vee} b + \text{Proj } (\text{ccspan } (B-G)) *_{\vee} b \rangle$*
proof –
have B : *is-ortho-set B ccspan B = \top*
using *assms unfolding is-onb-def by auto*
have $\text{Proj } (\text{ccspan } (G \cup B)) = \text{Proj } (\text{ccspan } G) + \text{Proj } (\text{ccspan } (B-G))$
by (*subst Proj-orthog-ccspan-union [symmetric]*)
(use that in $\langle \text{auto intro!: is-ortho-setD[OF B(1)] \rangle$)
also have $G \cup B = B$
using *that by blast*

```

    finally show ?thesis
      using B(2) by (simp flip: cblinfun.add-left)
  qed

  have n1: ⟨norm (f F (B-G)) ≤ norm a * d⟩ for F
  proof -
    have ⟨norm (f F (B-G)) ≤ norm a * norm (Proj (ccspan (B-G)) b)⟩
    by (auto intro!: mult-right-mono is-Proj-reduces-norm simp add: f-def norm-butterfly)
    also have ⟨... ≤ norm a * norm (Proj (ccspan G) b - b)⟩
    by (metis add-diff-cancel-left' b-split less-eq-real-def norm-minus-commute)
    also have ⟨... ≤ norm a * d⟩
    by (meson less-eq-real-def mult-left-mono norm-ge-zero that(2))
    finally show ?thesis
      by -
  qed

  have n2: ⟨norm (f (A-F) G) ≤ norm b * d⟩ for G
  proof -
    have ⟨norm (f (A-F) G) ≤ norm b * norm (Proj (ccspan (A-F)) a)⟩
    by (auto intro!: mult-right-mono is-Proj-reduces-norm simp add: f-def norm-butterfly
    mult.commute)
    also have ⟨... ≤ norm b * norm (Proj (ccspan F) a - a)⟩
    by (smt (verit, best) a-split add-diff-cancel-left' minus-diff-eq norm-minus-cancel)
    also have ⟨... ≤ norm b * d⟩
    by (meson less-eq-real-def mult-left-mono norm-ge-zero that(1))
    finally show ?thesis
      by -
  qed

  have ⟨norm (f F G - x) = norm (- f F (B-G) - f (A-F) (B-G) - f (A-F) G)⟩
  unfolding xab
  by (subst a-split, subst b-split)
  (simp add: f-def butterfly-add-right butterfly-add-left)
  also have ⟨... ≤ norm (f F (B-G)) + norm (f (A-F) (B-G)) + norm (f (A-F)
  G)⟩
  by (smt (verit, best) norm-minus-cancel norm-triangle-ineq4)
  also have ⟨... ≤ norm a * d + norm a * d + norm b * d⟩
  using n1 n2
  by (meson add-mono-thms-linordered-semiring(1))
  also have ⟨... < e⟩
  by (fact d)
  finally show ?thesis
    by -
  qed

  have ∀ F (F, G) in finite-subsets-at-top A × F finite-subsets-at-top B.
    (finite F ∧ F ⊆ A) ∧ finite G ∧ G ⊆ B
  unfolding case-prod-unfold by (intro eventually-prodI) auto
  thus ⟨∀ F FG in finite-subsets-at-top A × F finite-subsets-at-top B.
    norm ((case FG of (F, G) ⇒ f F G) - x) < e⟩
  using FG-close by eventually-elim (use fFG-dist in auto)
  qed

```

have *nontriv*: $\langle \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B \neq \perp \rangle$
by (*simp add: prod-filter-eq-bot*)
have *inside*: $\langle \forall_F x \text{ in } \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B.$
 $\text{case-prod } f x \in \text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B)) \rangle$
proof (*rule eventually-mp[where P= $\lambda(F, G). \text{finite } F \wedge \text{finite } G$]*)
show $\langle \forall_F (F, G) \text{ in } \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B. \text{finite } F \wedge \text{finite } G \rangle$
by (*smt (verit) case-prod-conv eventually-finite-subsets-at-top-weakI eventually-prod-filter*)
have *f-in-span*: $\langle f F G \in \text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B)) \rangle$ **if** [*simp*]: $\langle \text{finite } F \rangle$
 $\langle \text{finite } G \rangle$ **and** $\langle F \subseteq A \rangle \langle G \subseteq B \rangle$ **for** $F G$
proof –
have $\langle \text{Proj } (\text{ccspan } F) a \in \text{cspan } F \rangle$
by (*metis Proj-range cblinfun-apply-in-image ccspan-finite that(1)*)
then obtain *r* **where** *ProjFsum*: $\langle \text{Proj } (\text{ccspan } F) a = (\sum_{x \in F}. r x *_C x) \rangle$
using *complex-vector.span-finite[OF $\langle \text{finite } F \rangle$]* **by** *auto*
have $\langle \text{Proj } (\text{ccspan } G) b \in \text{cspan } G \rangle$
by (*metis Proj-range cblinfun-apply-in-image ccspan-finite that(2)*)
then obtain *s* **where** *ProjGsum*: $\langle \text{Proj } (\text{ccspan } G) b = (\sum_{x \in G}. s x *_C x) \rangle$
using *complex-vector.span-finite[OF $\langle \text{finite } G \rangle$]* **by** *auto*
have $\langle \text{butterfly } \xi \eta \in (\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B) \rangle$
if $\langle \eta \in G \rangle$ **and** $\langle \xi \in F \rangle$ **for** $\eta \xi$
using $\langle F \subseteq A \rangle \langle G \subseteq B \rangle$ **that** **by** *auto*
then show *?thesis*
by (*auto intro!: complex-vector.span-sum complex-vector.span-scale*
complex-vector.span-base[where a= $\langle \text{butterfly } - - \rangle$]
simp add: f-def ProjFsum ProjGsum butterfly-sum-left butterfly-sum-right)
qed
have $\forall_F (F, G) \text{ in } \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B.$
 $(\text{finite } F \wedge F \subseteq A) \wedge \text{finite } G \wedge G \subseteq B$
unfolding *case-prod-unfold* **by** (*intro eventually-prodI*) *auto*
thus $\langle \forall_F x \text{ in } \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B.$
 $(\text{case } x \text{ of } (F, G) \Rightarrow \text{finite } F \wedge \text{finite } G) \longrightarrow (\text{case } x \text{ of } (F, G) \Rightarrow f F G) \in$
 $\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B)) \rangle$
by *eventually-elim (auto intro!: f-in-span)*
qed
show $\langle x \in \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B))) \rangle$
using *lim nontriv inside* **by** (*rule limit-in-closure*)
qed
also have $\langle \dots = \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } \xi \eta) \text{ ' } (A \times B))) \rangle$
by (*simp add: complex-vector.span-eq-iff[THEN iffD2]*)
finally show *?thesis*
by –
qed
qed

lemma *compact-op-comp-left*: $\langle \text{compact-op } (a \text{ } o_{CL} \text{ } b) \rangle$ **if** $\langle \text{compact-op } a \rangle$
 $\text{for } a b :: \langle -::\text{chilbert-space} \Rightarrow_{CL} -::\text{chilbert-space} \rangle$
proof –
from *that* **have** $\langle a \in \text{closure } (\text{Collect } \text{finite-rank}) \rangle$
using *compact-op-finite-rank* **by** *blast*


```

then have ⟨a oCL b ∈ (λa. a oCL b) ‘ closure (Collect finite-rank)⟩
  by blast
also have ⟨... ⊆ closure ((λa. a oCL b) ‘ Collect finite-rank)⟩
  by (auto intro!: closure-bounded-linear-image-subset bounded-clinear.bounded-linear bounded-clinear-cblinfun-compo)
also have ⟨... ⊆ closure (Collect finite-rank)⟩
  by (auto intro!: closure-mono finite-rank-comp-left)
finally show ⟨compact-op (a oCL b)⟩
  using compact-op-finite-rank by blast
qed

```

lemma compact-op-eigenspace-finite-dim:

```

fixes a :: ⟨'a ⇒CL 'a::hilbert-space⟩
assumes ⟨compact-op a⟩
assumes ⟨e ≠ 0⟩
shows ⟨finite-dim-ccsubspace (eigenspace e a)⟩
proof -
define S where ⟨S = space-as-set (eigenspace e a)⟩
obtain B where ⟨ccspan B = eigenspace e a⟩ and ⟨is-ortho-set B⟩
  and norm-B: ⟨x ∈ B ⇒ norm x = 1⟩ for x
  using orthonormal-subspace-basis-exists[where S=⟨{⟩ and V=⟨eigenspace e a⟩]
  by (auto simp: S-def)
then have span-BS: ⟨closure (cspan B) = S⟩
  by (metis S-def ccspan.rep-eq)
have ⟨finite B⟩
proof (rule ccontr)
  assume ⟨infinite B⟩
  then obtain b :: ⟨nat ⇒ 'a⟩ where range-b: ⟨range b ⊆ B⟩ and ⟨inj b⟩
    by (meson infinite-countable-subset)
  define f where ⟨f n = a (b n)⟩ for n
  have range-f: ⟨range f ⊆ closure (a ‘ cball 0 1)⟩
    using norm-B range-b
    by (auto intro!: closure-subset[THEN subsetD] imageI simp: f-def)
  from ⟨compact-op a⟩ have compact: ⟨compact (closure (a ‘ cball 0 1))⟩
    using compact-op-def2 by blast
  obtain l r where ⟨strict-mono r⟩ and fr-lim: ⟨(f o r) ⟶ l⟩
    using range-f compact[unfolded compact-def, rule-format, of f]
    by fast
  define d :: real where ⟨d = cmod e * sqrt 2⟩
  from ⟨e ≠ 0⟩ have ⟨d > 0⟩
    by (auto intro!: Rings.linordered-semiring-strict-class.mult-pos-pos simp: d-def)
  have aux: ⟨∃ n ≥ N. P n⟩ if ⟨P (Suc N)⟩ for P N
    using Suc-n-not-le-n nat-le-linear that by blast
  have ⟨dist (f (r n)) (f (r (Suc n))) = d⟩ for n
  proof -
    have ortho: ⟨is-orthogonal (b (r n)) (b (r (Suc n)))⟩
    proof -
      have ⟨b (r n) ≠ b (r (Suc n))⟩
        by (metis Suc-n-not-n ⟨inj b⟩ ⟨strict-mono r⟩ injD strict-mono-eq)

```

```

moreover from range-b have  $\langle b (r n) \in B \rangle$  and  $\langle b (r (Suc n)) \in B \rangle$ 
  by fast+
ultimately show ?thesis
  using  $\langle is-ortho-set B \rangle$ 
  by (auto intro!: simp: is-ortho-set-def)
qed
have normb:  $\langle norm (b n) = 1 \rangle$  for n
  by (metis  $\langle inj b \rangle$  image-subset-iff inj-image-mem-iff norm-B range-b range-eqI)
have  $\langle f (r n) = e *_C b (r n) \rangle$  for n
proof –
  from range-b span-BS
  have  $\langle b (r n) \in S \rangle$ 
    using complex-vector.span-superset closure-subset
    by (blast dest: range-subsetD[where  $i = \langle b n \rangle$ ])
  then show ?thesis
    by (auto intro!: dest!: eigenspace-memberD simp: S-def f-def)
qed
then have  $\langle (dist (f (r n)) (f (r (Suc n))))^2 = (cmod e * dist (b (r n)) (b (r (Suc n))))^2 \rangle$ 
  by (simp add: dist-norm flip: scaleC-diff-right)
also from ortho have  $\langle \dots = (cmod e * sqrt 2)^2 \rangle$ 
  by (simp add: dist-norm polar-identity-minus power-mult-distrib normb)
finally show ?thesis
  by (simp add: d-def)
qed
with  $\langle d > 0 \rangle$  have  $\langle \neg Cauchy (f o r) \rangle$ 
  by (auto intro!: exI[of -  $\langle d/2 \rangle$ ] aux
    simp: Cauchy-altdef2 dist-commute simp del: less-divide-eq-numeral1)
with fr-lim show False
  using LIMSEQ-imp-Cauchy by blast
qed
with span-BS show ?thesis
  using S-def cspan-finite-dim finite-dim-ccsubspace.rep-eq by fastforce
qed

lemma eigenvalue-in-the-limit-compact-op:
  – [2], Proposition II.4.14
  assumes  $\langle compact-op T \rangle$ 
  assumes  $\langle l \neq 0 \rangle$ 
  assumes normh:  $\langle \bigwedge n. norm (h n) = 1 \rangle$ 
  assumes Tl-lim:  $\langle (\lambda n. (T - l *_C id-cblinfun) (h n)) \longrightarrow 0 \rangle$ 
  shows  $\langle l \in eigenvalues T \rangle$ 
proof –
  from assms(1)
  have compact-Tball:  $\langle compact (closure (T ` cball 0 1)) \rangle$ 
    using compact-op-def2 by blast
  have  $\langle T (h n) \in closure (T ` cball 0 1) \rangle$  for n
    by (smt (z3) assms(3) closure-subset image-subset-iff mem-cball-0)
  then obtain n f where lim-Thn:  $\langle (\lambda k. T (h (n k))) \longrightarrow f \rangle$  and  $\langle strict-mono n \rangle$ 
    using compact-Tball[unfolded compact-def, rule-format, where  $f = \langle T o h \rangle$ , unfolded o-def]

```

by *fast*
 have $\langle lThk\text{-}lim: \langle (\lambda k. (l *_C id\text{-}cblinfun - T) (h (n k))) \longrightarrow 0 \rangle$
 proof –
 have $\langle (\lambda n. (l *_C id\text{-}cblinfun - T) (h n)) \longrightarrow 0 \rangle$
 using *Tl-lim[THEN tendsto-minus]*
 by (*simp add: cblinfun.diff-left*)
 with $\langle strict\text{-}mono\ n \rangle$ show *?thesis*
 by (*rule LIMSEQ-subseq-LIMSEQ[unfolded o-def, rotated]*)
 qed
 have $\langle h (n k) = inverse\ l *_C ((l *_C id\text{-}cblinfun - T) (h (n k)) + T (h (n k))) \rangle$ for *k*
 by (*metis assms(2) cblinfun.real.add-left cblinfun.scaleC-left diff-add-cancel divideC-field-splits-simps-1(5) id-cblinfun.rep-eq scaleC-zero-right*)
 moreover have $\langle \dots \longrightarrow inverse\ l *_C (0 + f) \rangle$
 by (*intro tendsto-intros lThk-lim lim-Thn*)
 ultimately have $\langle lim\text{-}hn: \langle (\lambda k. h (n k)) \longrightarrow inverse\ l *_C f \rangle$
 by *simp*
 have $\langle f \neq 0 \rangle$
 proof –
 from *lim-hn* have $\langle (\lambda k. norm (h (n k))) \longrightarrow norm (inverse\ l *_C f) \rangle$
 by (*rule isCont-tendsto-compose[unfolded o-def, rotated]*) *fastforce*
 moreover have $\langle (\lambda-. 1) \longrightarrow 1 \rangle$
 by *simp*
 ultimately have $\langle norm (inverse\ l *_C f) = 1 \rangle$
 unfolding normh
 using *LIMSEQ-unique* by *blast*
 then show $\langle f \neq 0 \rangle$
 by *force*
 qed
 from *lim-hn* have $\langle (\lambda k. T (h (n k))) \longrightarrow T (inverse\ l *_C f) \rangle$
 by (*rule isCont-tendsto-compose[rotated]*) *force*
 with *lim-Thn* have $\langle f = T (inverse\ l *_C f) \rangle$
 using *LIMSEQ-unique* by *blast*
 with $\langle l \neq 0 \rangle$ have $\langle l *_C f = T f \rangle$
 by (*metis cblinfun.scaleC-right divideC-field-simps(2)*)
 with $\langle f \neq 0 \rangle$ show $\langle l \in eigenvalues\ T \rangle$
 by (*auto intro!: eigenvaluesI[where h=f]*)
 qed

lemma *norm-is-eigenvalue:*

— [2], Proposition II.5.9

fixes $a :: \langle 'a \Rightarrow_{CL} 'a :: \{not\text{-}singleton, hilbert\text{-}space\} \rangle$

assumes $\langle compact\text{-}op\ a \rangle$

assumes $\langle selfadjoint\ a \rangle$

shows $\langle norm\ a \in eigenvalues\ a \vee -\ norm\ a \in eigenvalues\ a \rangle$

proof –

wlog $\langle a \neq 0 \rangle$

using *negation* by *auto*

obtain $h\ e$ where *h-lim*: $\langle (\lambda i. h\ i \cdot_C a (h\ i)) \longrightarrow e \rangle$ and *normh*: $\langle norm (h\ i) = 1 \rangle$

```

and norme: ⟨cmod e = norm a⟩ for i
proof atomize-elim
have sgn-cmod: ⟨sgn x * cmod x = x⟩ for x
  by (simp add: complex-of-real-cmod sgn-mult-abs)
from cblinfun-norm-is-Sup-cinner[OF ⟨selfadjoint a⟩]
obtain f where range-f: ⟨range f ⊆ ((λψ. cmod (ψ •C (a *V ψ))) ‘ {ψ. norm ψ = 1})⟩
  and f-lim: ⟨f ⟶ norm a⟩
  by (atomize-elim, rule is-Sup-imp-ex-tendsto) (auto simp: ex-norm1-not-singleton)
obtain h0 where normh0: ⟨norm (h0 i) = 1⟩ and f-h0: ⟨f i = cmod (h0 i •C a (h0 i))⟩
for i
  by (atomize-elim, rule choice2) (use range-f in auto)
from f-h0 f-lim have h0lim-cmod: ⟨(λi. cmod (h0 i •C a (h0 i))) ⟶ norm a⟩
  by presburger
have sgn-sphere: ⟨sgn (h0 i •C a (h0 i)) ∈ insert 0 (sphere 0 1)⟩ for i
  using normh0 by (auto intro!: left-inverse simp: sgn-div-norm)
have compact: ⟨compact (insert 0 (sphere (0::complex) 1))⟩
  by simp
obtain r l where ⟨strict-mono r⟩ and l-sphere: ⟨l ∈ insert 0 (sphere 0 1)⟩
  and h0lim-sgn: ⟨(λi. sgn (h0 i •C a (h0 i))) ∘ r ⟶ l⟩
  using compact[unfolded compact-def, rule-format, OF sgn-sphere]
  by fast
define h and e where ⟨h i = h0 (r i)⟩ and ⟨e = l * norm a⟩ for i
have hlim-cmod: ⟨(λi. cmod (h i •C a (h i))) ⟶ norm a⟩
  using LIMSEQ-subseq-LIMSEQ[OF h0lim-cmod ⟨strict-mono r⟩]
  unfolding h-def o-def by auto
with h0lim-sgn have ⟨(λi. sgn (h i •C a (h i))) * cmod (h i •C a (h i)) ⟶ e⟩
  by (auto intro!: tendsto-mult tendsto-of-real simp: o-def h-def e-def)
then have hlim: ⟨(λi. h i •C a (h i)) ⟶ e⟩
  by (simp add: sgn-cmod)
have ⟨e ≠ 0⟩
proof (rule ccontr, unfold not-not)
  assume ⟨e = 0⟩
  from hlim have ⟨(λi. cmod (h i •C a (h i))) ⟶ cmod e⟩
    by (rule tendsto-compose[where g=cmod, rotated])
    (smt (verit, del-Insts) ⟨e = 0⟩ diff-zero dist-norm metric-LIM-imp-LIM
      norm-ge-zero norm-zero real-norm-def tendsto-ident-at)
  with ⟨e = 0⟩ hlim-cmod have ⟨norm a = 0⟩
    using LIMSEQ-unique by fastforce
  with ⟨a ≠ 0⟩ show False
    by simp
qed
then have norme: ⟨norm e = norm a⟩
  using l-sphere by (simp add: e-def norm-mult)
show ⟨∃ h e. (λi. h i •C (a *V h i)) ⟶ e ∧ (∀ i. norm (h i) = 1) ∧ cmod e = norm a⟩
  using norme normh0 hlim
  by (auto intro!: exI[of - h] exI[of - e] simp: h-def)
qed
have ⟨e ∈ ℝ⟩
proof -

```

```

from h-lim[THEN tendsto-Im]
have *: ⟨(λi. Im (h i •C a (h i))) ⟶ Im e⟩
  by -
have **: ⟨Im (h i •C a (h i)) = 0⟩ for i
  using assms(2) selfadjoint-def cinner-hermitian-real complex-is-Real-iff by auto
have ⟨Im e = 0⟩
  using - * by (rule tendsto-unique) (use ** in auto)
then show ?thesis
  using complex-is-Real-iff by presburger
qed
define e' where ⟨e' = Re e⟩
with ⟨e ∈ ℝ⟩ have ee': ⟨e = of-real e'⟩
  by simp
have ⟨e' ∈ eigenvalues a⟩
proof -
  have [trans]: ⟨f ⟶ 0⟩ if ⟨∧x. f x ≤ g x⟩ and ⟨g ⟶ 0⟩ and ⟨∧x. f x ≥ 0⟩ for f g
  :: ⟨nat ⇒ real⟩
  by (rule real-tendsto-sandwich[where h=g and f=⟨λ-. 0⟩]) (use that in auto)
  have [simp]: a* = a
  using assms(2) by (simp add: selfadjoint-def)
  have [simp]: Re (h x •C h x) = 1 for x
  using normh[of x] by (simp flip: power2-norm-eq-cinner')
  have ⟨(norm ((a - e' *R id-cblinfun) (h n)))2 = (norm (a (h n)))2 - 2 * e' * Re (h n •C
a (h n)) + e'2⟩ for n
  by (simp add: power2-norm-eq-cinner' algebra-simps cblinfun.cbilinear-simps
cblinfun.scaleR-left power2-eq-square[of e'] flip: cinner-adj-right)
  also have ⟨... n ≤ e'2 - 2 * e' * Re (h n •C a (h n)) + e'2⟩ for n
  proof -
    from norme have ⟨e'2 = (norm a)2⟩
    by (auto simp: ee' power2-eq-iff abs-if-split: if-splits)
    then have ⟨(norm (a *V h n))2 ≤ e'2⟩
    using norm-cblinfun[of a h n] by (simp add: normh)
    then show ?thesis
    by auto
  qed
  also have ⟨... ⟶ 0⟩
  apply (subst asm-rl[of ⟨(λn. e'2 - 2 * e' * Re (h n •C a (h n)) + e'2) = (λn. 2 * e' * (e'
- Re (h n •C (a *V h n))))⟩])
  subgoal
  by (auto simp: fun-eq-iff right-diff-distrib power2-eq-square)[1]
  subgoal
  using h-lim[THEN tendsto-Re]
  by (auto intro!: tendsto-mult-right-zero tendsto-diff-const-left-rewrite simp: ee')
  done
  finally have ⟨(λn. (a - e' *R id-cblinfun) (h n)) ⟶ 0⟩
  by (simp add: tendsto-norm-zero-iff)
  then show ⟨e' ∈ eigenvalues a⟩
  unfolding scaleR-scaleC
  by (rule eigenvalue-in-the-limit-compact-op[rotated -1])

```

```

      (use ⟨a ≠ 0⟩ norme in ⟨auto intro!: normh simp: assms ee'⟩)
qed
from ⟨e ∈ ℝ⟩ norme
have ⟨e = norm a ∨ e = - norm a⟩
  by (smt (verit, best) in-Reals-norm of-real-Re)
with ⟨e' ∈ eigenvalues a⟩ show ?thesis
  using ee' by presburger
qed

lemma
  fixes a :: ⟨'a ⇒CL 'a::{not-singleton, hilbert-space}⟩
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  shows largest-eigenvalue-norm-aux: ⟨largest-eigenvalue a ∈ {norm a, - norm a}⟩
    and largest-eigenvalue-ex: ⟨largest-eigenvalue a ∈ eigenvalues a⟩
proof -
  define l where ⟨l = (SOME x. x ∈ eigenvalues a ∧ (∀ y ∈ eigenvalues a. cmod x ≥ cmod y))⟩
  from norm-is-eigenvalue[OF assms]
  obtain e where ⟨e ∈ {of-real (norm a), - of-real (norm a)}⟩ and ⟨e ∈ eigenvalues a⟩
    by auto
  then have norme: ⟨norm e = norm a⟩
    by auto
  have ⟨e ∈ eigenvalues a ∧ (∀ y ∈ eigenvalues a. cmod y ≤ cmod e)⟩ (is ⟨?P e⟩)
    by (auto intro!: ⟨e ∈ eigenvalues a⟩ simp: eigenvalue-norm-bound norme)
  then have *: ⟨l ∈ eigenvalues a ∧ (∀ y ∈ eigenvalues a. cmod y ≤ cmod l)⟩
    unfolding l-def largest-eigenvalue-def by (rule someI)
  then have l-def': ⟨l = largest-eigenvalue a⟩
    by (metis (mono-tags, lifting) l-def largest-eigenvalue-def)
  from * have ⟨l ∈ eigenvalues a⟩
    by (simp add: l-def)
  then show ⟨largest-eigenvalue a ∈ eigenvalues a⟩
    by (simp add: l-def')
  have ⟨norm l ≥ norm a⟩
    using * norme ⟨e ∈ eigenvalues a⟩ by auto
  moreover have ⟨norm l ≤ norm a⟩
    using * eigenvalue-norm-bound by blast
  ultimately have ⟨norm l = norm a⟩
    by linarith
  moreover have ⟨l ∈ ℝ⟩
    using ⟨l ∈ eigenvalues a⟩ assms(2) eigenvalue-selfadj-real by blast
  ultimately have ⟨l ∈ {norm a, - norm a}⟩
    by (smt (verit, ccfv-SIG) in-Reals-norm insertCI l-def of-real-Re)
  then show ⟨largest-eigenvalue a ∈ {norm a, - norm a}⟩
    by (simp add: l-def')
qed

```

```

lemma largest-eigenvalue-norm:
  fixes a :: ⟨'a ⇒CL 'a::hilbert-space⟩
  assumes ⟨compact-op a⟩

```

```

    assumes ‹selfadjoint a›
    shows ‹largest-eigenvalue a ∈ {norm a, - norm a}›
  proof (cases ‹class.not-singleton TYPE('a)›)
    case True
    show ?thesis
      using hilbert-space-class.hilbert-space-axioms True assms
      by (rule largest-eigenvalue-norm-aux[internalize-sort 'a])
  next
    case False
    then have ‹a = 0›
      by (rule not-not-singleton-cblinfun-zero)
    then show ?thesis
      by simp
  qed

```

hide-fact *largest-eigenvalue-norm-aux*

```

lemma cmod-largest-eigenvalue:
  fixes a :: ‹'a ⇒CL 'a::hilbert-space›
  assumes ‹compact-op a›
  assumes ‹selfadjoint a›
  shows ‹cmod (largest-eigenvalue a) = norm a›
  using largest-eigenvalue-norm[OF assms] by auto

```

```

lemma compact-op-comp-right: ‹compact-op (a oCL b)› if ‹compact-op b›
  for a b :: ‹-::hilbert-space ⇒CL -::hilbert-space›

```

```

proof -
  from that have ‹b ∈ closure (Collect finite-rank)›
  using compact-op-finite-rank by blast
  then have ‹a oCL b ∈ cblinfun-compose a ‘ closure (Collect finite-rank)›
    by blast
  also have ‹... ⊆ closure (cblinfun-compose a ‘ Collect finite-rank)›
    by (auto intro!: closure-bounded-linear-image-subset bounded-clinear.bounded-linear bounded-clinear-cblinfun-comp)
  also have ‹... ⊆ closure (Collect finite-rank)›
    by (auto intro!: closure-mono finite-rank-comp-right)
  finally show ‹compact-op (a oCL b)›
    using compact-op-finite-rank by blast
qed

```

end

11 Spectral-Theorem – The spectral theorem for compact operators

theory *Spectral-Theorem*

imports *Compact-Operators Positive-Operators Eigenvalues*
begin

11.1 Spectral decomp, compact op

```

fun spectral-dec-val :: ⟨('a::hilbert-space ⇒CL 'a) ⇒ nat ⇒ complex⟩
  — The eigenvalues in the spectral decomposition
  and spectral-dec-space :: ⟨('a ⇒CL 'a) ⇒ nat ⇒ 'a ccspace⟩
  — The eigenspaces in the spectral decomposition
  and spectral-dec-op :: ⟨('a ⇒CL 'a) ⇒ nat ⇒ ('a ⇒CL 'a)⟩
  — A sequence of operators mostly for the proof of spectral composition. But see also spectral-dec-op-spectral-dec-proj below.
  where ⟨spectral-dec-val a n = largest-eigenvalue (spectral-dec-op a n)⟩
  | ⟨spectral-dec-space a n = (if spectral-dec-val a n = 0 then 0 else eigenspace (spectral-dec-val a n) (spectral-dec-op a n))⟩
  | ⟨spectral-dec-op a (Suc n) = spectral-dec-op a n oCL Proj (– spectral-dec-space a n)⟩
  | ⟨spectral-dec-op a 0 = a⟩

```

```

definition spectral-dec-proj :: ⟨('a::hilbert-space ⇒CL 'a) ⇒ nat ⇒ ('a ⇒CL 'a)⟩ where
  — Projectors in the spectral decomposition
  ⟨spectral-dec-proj a n = Proj (spectral-dec-space a n)⟩

```

```

declare spectral-dec-val.simps[simp del]
declare spectral-dec-space.simps[simp del]

```

```

lemmas spectral-dec-def = spectral-dec-val.simps
lemmas spectral-dec-space-def = spectral-dec-space.simps

```

```

lemma spectral-dec-op-selfadj:
  assumes ⟨selfadjoint a⟩
  shows ⟨selfadjoint (spectral-dec-op a n)⟩
proof (induction n)
  case 0
  with assms show ?case
  by simp
next
  case (Suc n)
  define E T where ⟨E = spectral-dec-space a n⟩ and ⟨T = spectral-dec-op a n⟩
  from Suc have ⟨normal-op T⟩
  by (auto intro!: selfadjoint-imp-normal simp: T-def)
  then have ⟨reducing-subspace E T⟩
  by (auto intro!: eigenspace-is-reducing simp: spectral-dec-space-def E-def T-def)
  then have ⟨reducing-subspace (– E) T⟩
  by simp
  then have *: ⟨Proj (– E) oCL T oCL Proj (– E) = T oCL Proj (– E)⟩
  by (simp add: invariant-subspace-iff-PAP reducing-subspace-def)
  show ?case
  using Suc
  apply (simp add: flip: T-def E-def *)
  by (simp add: selfadjoint-def adj-Proj cblinfun-compose-assoc)
qed

```


lemma *spectral-dec-op-compact*:
assumes $\langle \text{compact-op } a \rangle$
shows $\langle \text{compact-op } (\text{spectral-dec-op } a \ n) \rangle$
apply (*induction n*)
by (*auto intro!: compact-op-comp-left assms*)

lemma *spectral-dec-val-eigenvalue-of-spectral-dec-op*:
fixes $a :: \langle 'a :: \{\text{chilbert-space, not-singleton}\} \Rightarrow_{CL} 'a \rangle$
assumes $\langle \text{compact-op } a \rangle$
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{spectral-dec-val } a \ n \in \text{eigenvalues } (\text{spectral-dec-op } a \ n) \rangle$
by (*auto intro!: largest-eigenvalue-ex spectral-dec-op-compact spectral-dec-op-selfadj assms simp: spectral-dec-def*)

lemma *spectral-dec-proj-finite-rank*:
assumes $\langle \text{compact-op } a \rangle$
shows $\langle \text{finite-rank } (\text{spectral-dec-proj } a \ n) \rangle$
apply (*cases* $\langle \text{spectral-dec-val } a \ n = 0 \rangle$)
by (*auto intro!: finite-rank-Proj-finite-dim compact-op-eigenspace-finite-dim spectral-dec-op-compact assms simp: spectral-dec-proj-def spectral-dec-space-def*)

lemma *norm-spectral-dec-op*:
assumes $\langle \text{compact-op } a \rangle$
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{norm } (\text{spectral-dec-op } a \ n) = \text{cmod } (\text{spectral-dec-val } a \ n) \rangle$
by (*simp add: spectral-dec-def cmod-largest-eigenvalue spectral-dec-op-compact spectral-dec-op-selfadj assms*)

lemma *spectral-dec-op-decreasing-eigenspaces*:
assumes $\langle n \geq m \rangle$ **and** $\langle e \neq 0 \rangle$
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle \text{eigenspace } e \ (\text{spectral-dec-op } a \ n) \leq \text{eigenspace } e \ (\text{spectral-dec-op } a \ m) \rangle$
proof –
have *: $\langle \text{eigenspace } e \ (\text{spectral-dec-op } a \ (\text{Suc } n)) \leq \text{eigenspace } e \ (\text{spectral-dec-op } a \ n) \rangle$ **for** n
proof (*intro csubspace-leI subsetI*)
fix h
assume $asm: \langle h \in \text{space-as-set } (\text{eigenspace } e \ (\text{spectral-dec-op } a \ (\text{Suc } n))) \rangle$
have $\langle \text{orthogonal-spaces } (\text{eigenspace } e \ (\text{spectral-dec-op } a \ (\text{Suc } n))) \ (\text{kernel } (\text{spectral-dec-op } a \ (\text{Suc } n))) \rangle$
using *spectral-dec-op-selfadj*[of $a \ \langle \text{Suc } n \rangle \ \langle e \neq 0 \rangle \ \langle \text{selfadjoint } a \rangle$]
by (*auto intro!: eigenspaces-orthogonal selfadjoint-imp-normal spectral-dec-op-selfadj simp: spectral-dec-space-def simp flip: eigenspace-0*)
then have $\langle \text{eigenspace } e \ (\text{spectral-dec-op } a \ (\text{Suc } n)) \leq - \text{kernel } (\text{spectral-dec-op } a \ (\text{Suc } n)) \rangle$
using *orthogonal-spaces-leq-compl* **by** *blast*
also have $\langle \dots \leq - \text{spectral-dec-space } a \ n \rangle$
by (*auto intro!: csubspace-leI kernel-memberI simp: Proj-0-compl*)
finally have $\langle h \in \text{space-as-set } (- \text{spectral-dec-space } a \ n) \rangle$

```

    using asm by (simp add: Set.basic-monos(γ) less-eq-ccsubspace.rep-eq)
  then have ⟨spectral-dec-op a n h = spectral-dec-op a (Suc n) h⟩
    by (simp add: Proj-fixes-image)
  also have ⟨... = e *C h⟩
    using asm eigenspace-memberD by blast
  finally show ⟨h ∈ space-as-set (eigenspace e (spectral-dec-op a n))⟩
    by (simp add: eigenspace-memberI)
qed
define k where ⟨k = n - m⟩
from * have ⟨eigenspace e (spectral-dec-op a (m + k)) ≤ eigenspace e (spectral-dec-op a m)⟩
  by (induction k) (auto simp del: spectral-dec-op.simps intro: order.trans)
then show ?thesis
  using ⟨n ≥ m⟩ by (simp add: k-def)
qed

lemma spectral-dec-val-not-not-singleton:
  fixes a :: ⟨'a::hilbert-space ⇒CL 'a⟩
  assumes ⟨¬ class.not-singleton TYPE('a)⟩
  shows ⟨spectral-dec-val a n = 0⟩
proof -
  from assms have ⟨spectral-dec-op a n = 0⟩
    by (rule not-not-singleton-cblinfun-zero)
  then have ⟨largest-eigenvalue (spectral-dec-op a n) = 0⟩
    by simp
  then show ?thesis
    by (simp add: spectral-dec-def)
qed

lemma spectral-dec-val-eigenvalue-aux:
  — [2], Theorem II.5.1
  fixes a :: ⟨'a::{hilbert-space, not-singleton} ⇒CL 'a⟩
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  assumes eigen-neq0: ⟨spectral-dec-val a n ≠ 0⟩
  shows ⟨spectral-dec-val a n ∈ eigenvalues a⟩
proof -
  define e where ⟨e = spectral-dec-val a n⟩
  with assms have ⟨e ≠ 0⟩
    by fastforce

  from spectral-dec-op-decreasing-eigenspaces[where m=0 and a=a and n=n, OF - ⟨e ≠ 0⟩
  ⟨selfadjoint a⟩]
  have 1: ⟨eigenspace e (spectral-dec-op a n) ≤ eigenspace e a⟩
    by simp
  have 2: ⟨spectral-dec-space a n ≠ ⊥⟩
  proof -
    have ⟨spectral-dec-val a n ∈ eigenvalues (spectral-dec-op a n)⟩
      by (simp add: assms(1) assms(2) spectral-dec-val.simps spectral-dec-op-compact spec-
      tral-dec-op-selfadj largest-eigenvalue-ex)

```

```

    then show ?thesis
      using ⟨e ≠ 0⟩ by (simp add: eigenvalues-def spectral-dec-space.simps e-def)
  qed
  from 1 2 have ⟨eigenspace e a ≠ ⊥⟩
  by (auto simp: spectral-dec-space-def bot-unique simp flip: e-def simp: ⟨e ≠ 0⟩)
  then show ⟨e ∈ eigenvalues a⟩
  by (simp add: eigenvalues-def)
qed

```

```

lemma spectral-dec-val-eigenvalue:
  — [2], Theorem II.5.1
  fixes a :: ⟨'a::hilbert-space ⇒CL 'a⟩
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  assumes eigen-neq0: ⟨spectral-dec-val a n ≠ 0⟩
  shows ⟨spectral-dec-val a n ∈ eigenvalues a⟩
proof (cases ⟨class.not-singleton TYPE('a)⟩)
  case True
  show ?thesis
    using hilbert-space-axioms True assms
    by (rule spectral-dec-val-eigenvalue-aux[internalize-sort' 'a])
  next
  case False
  then have ⟨spectral-dec-val a n = 0⟩
  by (rule spectral-dec-val-not-not-singleton)
  with assms show ?thesis
  by simp
qed

```

hide-fact *spectral-dec-val-eigenvalue-aux*

```

lemma spectral-dec-val-decreasing:
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  assumes ⟨n ≥ m⟩
  shows ⟨cmod (spectral-dec-val a n) ≤ cmod (spectral-dec-val a m)⟩
proof —
  have ⟨norm (spectral-dec-op a (Suc n)) ≤ norm (spectral-dec-op a n)⟩ for n
  apply simp
  by (smt (verit) Proj-partial-isometry cblinfun-compose-zero-right mult-cancel-left2 norm-cblinfun-compose
  norm-le-zero-iff norm-partial-isometry)
  then have *: ⟨cmod (spectral-dec-val a (Suc n)) ≤ cmod (spectral-dec-val a n)⟩ for n
  by (simp add: cmod-largest-eigenvalue spectral-dec-op-compact assms spectral-dec-op-selfadj
  spectral-dec-def
  del: spectral-dec-op.simps)
  define k where ⟨k = n - m⟩
  have ⟨cmod (spectral-dec-val a (m + k)) ≤ cmod (spectral-dec-val a m)⟩
  apply (induction k arbitrary: m)
  apply simp

```

```

    by (metis * add-Suc-right order-trans-rules(23))
  with ⟨ $n \geq m$ ⟩ show ?thesis
    by (simp add: k-def)
qed

lemma spectral-dec-val-distinct-aux:
  fixes a :: ⟨('a::{hilbert-space, not-singleton}) ⇒CL 'a⟩
  assumes ⟨ $n \neq m$ ⟩
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  assumes neq0: ⟨spectral-dec-val a n ≠ 0⟩
  shows ⟨spectral-dec-val a n ≠ spectral-dec-val a m⟩
proof (rule ccontr)
  assume ⟨¬ spectral-dec-val a n ≠ spectral-dec-val a m⟩
  then have eq: ⟨spectral-dec-val a n = spectral-dec-val a m⟩
    by blast
  wlog nm: ⟨ $n > m$ ⟩ goal False generalizing n m keeping eq neq0
    using hypothesis[of n m] negation assms eq neq0
    by auto
  define e where ⟨ $e = \text{spectral-dec-val } a \ n$ ⟩
  with neq0 have ⟨ $e \neq 0$ ⟩
    by simp

  have ⟨spectral-dec-space a n ≠ ⊥⟩
  proof -
    have ⟨ $e \in \text{eigenvalues } (\text{spectral-dec-op } a \ n)$ ⟩
      by (auto intro!: spectral-dec-val-eigenvalue-of-spectral-dec-op assms simp: e-def)
    then show ?thesis
      by (simp add: spectral-dec-space-def eigenvalues-def e-def neq0)
  qed
  then obtain h where ⟨norm h = 1⟩ and h-En: ⟨ $h \in \text{space-as-set } (\text{spectral-dec-space } a \ n)$ ⟩
    using cccsubspace-contains-unit by blast
  have T-Sucm-h: ⟨spectral-dec-op a (Suc m) h = 0⟩
  proof -
    have ⟨spectral-dec-space a n = eigenspace e (spectral-dec-op a n)⟩
      by (simp add: spectral-dec-space-def e-def neq0)
    also have ⟨... ≤ eigenspace e (spectral-dec-op a m)⟩
      using ⟨ $n > m$ ⟩ ⟨ $e \neq 0$ ⟩ assms
      by (auto intro!: spectral-dec-op-decreasing-eigenspaces simp: )
    also have ⟨... = spectral-dec-space a m⟩
      using neq0 by (simp add: spectral-dec-space-def e-def eq)
    finally have ⟨ $h \in \text{space-as-set } (\text{spectral-dec-space } a \ m)$ ⟩
      using h-En
      by (simp add: basic-trans-rules(31) less-eq-cccsubspace.rep-eq)
    then show ⟨spectral-dec-op a (Suc m) h = 0⟩
      by (simp add: Proj-0-compl)
  qed
  have ⟨spectral-dec-op a (Suc m + k) h = 0⟩ if ⟨ $k \leq n - m - 1$ ⟩ for k

```

```

proof (insert that, induction k)
  case 0
  from T-Sucm-h show ?case
    by simp
next
  case (Suc k)
  define mk1 where ⟨mk1 = Suc (m + k)⟩
  from Suc.prem1 have ⟨mk1 ≤ n⟩
    using mk1-def by linarith
  have ⟨eigenspace e (spectral-dec-op a n) ≤ eigenspace e (spectral-dec-op a mk1)⟩
    using ⟨mk1 ≤ n⟩ ⟨e ≠ 0⟩ ⟨selfadjoint a⟩
    by (rule spectral-dec-op-decreasing-eigenspaces)
  with h-En have h-mk1: ⟨h ∈ space-as-set (eigenspace e (spectral-dec-op a mk1))⟩
    by (auto simp: e-def spectral-dec-space-def less-eq-ccsubspace.rep-eq neq0)
  have ⟨Proj (− spectral-dec-space a mk1) *V h = 0 ∨ Proj (− spectral-dec-space a mk1) *V
h = h⟩
  proof (cases ⟨e = spectral-dec-val a mk1⟩)
    case True
    from h-mk1 have ⟨Proj (− spectral-dec-space a mk1) h = 0⟩
      using ⟨e ≠ 0⟩ by (simp add: Proj-0-compl True spectral-dec-space-def)
    then show ?thesis
      by simp
    next
    case False
    have ⟨orthogonal-spaces (eigenspace e (spectral-dec-op a mk1)) (spectral-dec-space a mk1)⟩
      by (simp add: False assms eigenspaces-orthogonal spectral-dec-space.simps spectral-dec-op-selfadj
selfadjoint-imp-normal)
    with h-mk1 have ⟨h ∈ space-as-set (− spectral-dec-space a mk1)⟩
      using less-eq-ccsubspace.rep-eq orthogonal-spaces-leq-compl by blast
    then have ⟨Proj (− spectral-dec-space a mk1) h = h⟩
      by (rule Proj-fixes-image)
    then show ?thesis
      by simp
  qed
  with Suc show ?case
    by (auto simp: mk1-def)
qed
from this[where k=⟨n − m − 1⟩]
have ⟨spectral-dec-op a n h = 0⟩
  using ⟨n > m⟩
  by (simp del: spectral-dec-op.simps)
moreover from h-En have ⟨spectral-dec-op a n h = e *C h⟩
  by (simp add: neq0 e-def eigenspace-memberD spectral-dec-space-def)
ultimately show False
  using ⟨norm h = 1⟩ ⟨e ≠ 0⟩
  by force
qed

```

lemma spectral-dec-val-distinct:

```

fixes  $a :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'a \rangle$ 
assumes  $\langle n \neq m \rangle$ 
assumes  $\langle \text{compact-op } a \rangle$ 
assumes  $\langle \text{selfadjoint } a \rangle$ 
assumes  $\text{neq0}: \langle \text{spectral-dec-val } a \ n \neq 0 \rangle$ 
shows  $\langle \text{spectral-dec-val } a \ n \neq \text{spectral-dec-val } a \ m \rangle$ 
proof (cases  $\langle \text{class.not-singleton TYPE('a)} \rangle$ )
  case True
    show ?thesis
      using hilbert-space-axioms True assms
      by (rule spectral-dec-val-distinct-aux[internalize-sort' 'a])
  next
    case False
      then have  $\langle \text{spectral-dec-val } a \ n = 0 \rangle$ 
        by (rule spectral-dec-val-not-not-singleton)
      with assms show ?thesis
        by simp
qed

hide-fact spectral-dec-val-distinct-aux

lemma spectral-dec-val-tendsto-0:

  assumes  $\langle \text{compact-op } a \rangle$ 
  assumes  $\langle \text{selfadjoint } a \rangle$ 
  shows  $\langle \text{spectral-dec-val } a \ \longrightarrow 0 \rangle$ 
proof (cases  $\langle \exists n. \text{spectral-dec-val } a \ n = 0 \rangle$ )
  case True
    then obtain  $n$  where  $\langle \text{spectral-dec-val } a \ n = 0 \rangle$ 
      by auto
    then have  $\langle \text{spectral-dec-val } a \ m = 0 \rangle$  if  $\langle m \geq n \rangle$  for  $m$ 
      using spectral-dec-val-decreasing[OF assms that]
      by simp
    then show  $\langle \text{spectral-dec-val } a \ \longrightarrow 0 \rangle$ 
      by (auto intro!: tendsto-eventually eventually-sequentiallyI)
  next
    case False
      define  $E$  where  $\langle E = \text{spectral-dec-val } a \rangle$ 
      from False have  $\langle E \ n \in \text{eigenvalues } a \rangle$  for  $n$ 
        by (simp add: spectral-dec-val-eigenvalue assms E-def)
      then have  $\langle \text{eigenspace } (E \ n) \ a \neq 0 \rangle$  for  $n$ 
        by (simp add: eigenvalues-def)
      then obtain  $e$  where  $e \in E: \langle e \ n \in \text{space-as-set } (\text{eigenspace } (E \ n) \ a) \rangle$ 
        and  $\text{norm-}e: \langle \text{norm } (e \ n) = 1 \rangle$  for  $n$ 
        apply atomize-elim
        using ccsubspace-contains-unit
        by (auto intro!: choice2)
      then obtain  $h \ n$  where  $\langle \text{strict-mono } n \rangle$  and  $\text{aen-lim}: \langle (\lambda j. a \ (e \ (n \ j))) \ \longrightarrow h \rangle$ 
      proof atomize-elim

```

```

from ⟨compact-op a⟩
have compact:⟨compact (closure (a ‘ cball 0 1))⟩
  by (simp add: compact-op-def2)
from norm-e have ⟨a (e n) ∈ closure (a ‘ cball 0 1)⟩ for n
  using closure-subset[of ⟨a ‘ cball 0 1⟩] by auto
with compact[unfolded compact-def, rule-format, of ⟨λn. a (e n)⟩]
show ⟨∃ n h. strict-mono n ∧ (λj. a (e (n j))) ⟶ h⟩
  by (auto simp: o-def)
qed
have ortho-en: ⟨is-orthogonal (e (n j)) (e (n k))⟩ if ⟨j ≠ k⟩ for j k
proof –
  have ⟨n j ≠ n k⟩
    by (simp add: ⟨strict-mono n⟩ strict-mono-eq that)
  then have ⟨E (n j) ≠ E (n k)⟩
    unfolding E-def
    apply (rule spectral-dec-val-distinct)
    using False assms by auto
  then have ⟨orthogonal-spaces (eigenspace (E (n j)) a) (eigenspace (E (n k)) a)⟩
    apply (rule eigenspaces-orthogonal)
    by (simp add: assms(2) selfadjoint-imp-normal)
  with e-E show ?thesis
    using orthogonal-spaces-def by blast
qed
have aEe: ⟨a (e n) = E n *C e n⟩ for n
  by (simp add: e-E eigenspace-memberD)
obtain α where E-lim: ⟨(λn. norm (E n)) ⟶ α⟩
  by (rule decseq-convergent[where X=⟨λn. cmod (E n)⟩ and B=0])
  (use spectral-dec-val-decreasing[OF assms] in ⟨auto intro!: simp: decseq-def E-def⟩)
then have ⟨α ≥ 0⟩
  apply (rule LIMSEQ-le-const)
  by simp
have aen-diff: ⟨norm (a (e (n j)) - a (e (n k))) ≥ α * sqrt 2⟩ if ⟨j ≠ k⟩ for j k
proof –
  from E-lim and spectral-dec-val-decreasing[OF assms, folded E-def]
  have E-geq-α: ⟨cmod (E n) ≥ α⟩ for n
    apply (rule-tac decseq-ge[unfolded decseq-def, rotated])
    by auto
  have ⟨(norm (a (e (n j)) - a (e (n k))))2 = (cmod (E (n j)))2 + (cmod (E (n k)))2⟩
    by (simp add: polar-identity-minus aEe that ortho-en norm-e)
  also have ⟨... ≥ α2 + α2⟩ (is ⟨- ≥ ...⟩)
    apply (rule add-mono)
    using E-geq-α ⟨α ≥ 0⟩ by auto
  also have ⟨... = (α * sqrt 2)2⟩
    by (simp add: algebra-simps)
  finally show ?thesis
    apply (rule power2-le-imp-le)
    by simp
qed
have ⟨α = 0⟩

```

```

proof –
  have  $\langle \alpha * \text{sqrt } 2 < \varepsilon \rangle$  if  $\langle \varepsilon > 0 \rangle$  for  $\varepsilon$ 
  proof –
    from  $\langle \text{strict-mono } n \rangle$  have cauchy:  $\langle \text{Cauchy } (\lambda k. a (e (n k))) \rangle$ 
      using LIMSEQ-imp-Cauchy aen-lim by blast
    obtain  $k$  where  $k$ :  $\langle \forall m \geq k. \forall na \geq k. \text{dist } (a *_{\mathcal{V}} e (n m)) (a *_{\mathcal{V}} e (n na)) < \varepsilon \rangle$ 
      apply atomize-elim
      using cauchy[unfolded Cauchy-def, rule-format, OF  $\langle \varepsilon > 0 \rangle$ ]
      by simp
    define  $j$  where  $\langle j = \text{Suc } k \rangle$ 
    then have  $\langle j \neq k \rangle$ 
      by simp
    from  $k$  have  $\langle \text{dist } (a (e (n j))) (a (e (n k))) < \varepsilon \rangle$ 
      by (simp add: j-def)
    with aen-diff[OF  $\langle j \neq k \rangle$ ] show  $\langle \alpha * \text{sqrt } 2 < \varepsilon \rangle$ 
      by (simp add: Cauchy-def dist-norm)
  qed
  with  $\langle \alpha \geq 0 \rangle$  show  $\langle \alpha = 0 \rangle$ 
    by (smt (verit) linordered-semiring-strict-class.mult-pos-pos real-sqrt-le-0-iff)
  qed
  with E-lim show ?thesis
    by (auto intro!: tendsto-norm-zero-cancel simp: E-def)
  qed

lemma spectral-dec-op-tendsto:
  assumes  $\langle \text{compact-op } a \rangle$ 
  assumes  $\langle \text{selfadjoint } a \rangle$ 
  shows  $\langle \text{spectral-dec-op } a \longrightarrow 0 \rangle$ 
  apply (rule tendsto-norm-zero-cancel)
  using spectral-dec-val-tendsto-0[OF assms]
  apply (simp add: norm-spectral-dec-op assms)
  using tendsto-norm-zero by blast

lemma spectral-dec-op-spectral-dec-proj:
   $\langle \text{spectral-dec-op } a \ n = a - (\sum i < n. \text{spectral-dec-val } a \ i *_{\mathcal{C}} \text{spectral-dec-proj } a \ i) \rangle$ 
  proof (induction n)
  case 0
  show ?case
    by simp
  next
  case (Suc n)
  have  $\langle \text{spectral-dec-op } a \ (\text{Suc } n) = \text{spectral-dec-op } a \ n \ o_{\mathcal{C}L} \text{Proj } (- \text{spectral-dec-space } a \ n) \rangle$ 
    by simp
  also have  $\langle \dots = \text{spectral-dec-op } a \ n - \text{spectral-dec-val } a \ n *_{\mathcal{C}} \text{spectral-dec-proj } a \ n \rangle$  (is  $\langle ?lhs = ?rhs \rangle$ )
  proof –
  have  $\langle ?lhs \ h = ?rhs \ h \rangle$  if  $\langle h \in \text{space-as-set } (\text{spectral-dec-space } a \ n) \rangle$  for  $h$ 
  proof –
  have  $\langle ?lhs \ h = 0 \rangle$ 

```



```

    by (simp add: Proj-0-compl that)
    have ⟨spectral-dec-op a n *V h = spectral-dec-val a n *C h⟩
    by (smt (verit, best) Proj-fixes-image ⟨(spectral-dec-op a n oCL Proj (– spectral-dec-space a
n)) *V h = 0⟩ cblinfun-apply-cblinfun-compose complex-vector.scale-eq-0-iff eigenspace-memberD
spectral-dec-space.elims kernel-Proj kernel-cblinfun-compose kernel-memberD kernel-memberI or-
tho-involution that)
    also have ⟨... = spectral-dec-val a n *C (spectral-dec-proj a n *V h)⟩
    by (simp add: Proj-fixes-image spectral-dec-proj-def that)
    finally
    have ⟨?rhs h = 0⟩
    by (simp add: cblinfun.diff-left)
    with ⟨?lhs h = 0⟩ show ?thesis
    by simp
qed
moreover have ⟨?lhs h = ?rhs h⟩ if ⟨h ∈ space-as-set (– spectral-dec-space a n)⟩ for h
    by (simp add: Proj-0-compl Proj-fixes-image cblinfun.diff-left spectral-dec-proj-def that)
ultimately have ⟨?lhs h = ?rhs h⟩
    if ⟨h ∈ space-as-set (spectral-dec-space a n ⊔ – spectral-dec-space a n)⟩ for h
    using that by (rule eq-on-ccsubspaces-sup)
then show ⟨?lhs = ?rhs⟩
    by (auto intro!: cblinfun-eqI simp add: )
qed
also have ⟨... = a – (∑ i<Suc n. spectral-dec-val a i *C spectral-dec-proj a i)⟩
    by (simp add: Suc.IH)
finally show ?case
    by –
qed

```

lemma sequential-tendsto-reorder:

```

    assumes ⟨inj g⟩
    assumes ⟨f ⟶ l⟩
    shows ⟨(f o g) ⟶ l⟩
proof (intro lim-explicit[THEN iffD2] impI allI)
    fix S assume ⟨open S⟩ and ⟨l ∈ S⟩
    with ⟨f ⟶ l⟩
    obtain M where M: ⟨f m ∈ S⟩ if ⟨m ≥ M⟩ for m
    using tendsto-obtains-N by blast
    define N where ⟨N = Max (g – ‘{..

```

```

    using linorder-not-less by blast
  qed
  from N M show ⟨ $\exists N. \forall n \geq N. (f \circ g) n \in S$ ⟩
    by auto
  qed

```

```

lemma spectral-dec-sums:
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  shows ⟨ $(\lambda n. \text{spectral-dec-val } a \ n *_{\mathbb{C}} \text{spectral-dec-proj } a \ n) \text{ sums } a$ ⟩
proof -
  from spectral-dec-op-tendsto[OF assms]
  have ⟨ $(\lambda n. a - \text{spectral-dec-op } a \ n) \longrightarrow a$ ⟩
    by (simp add: tendsto-diff-const-left-rewrite)
  moreover from spectral-dec-op-spectral-dec-proj[of a]
  have ⟨ $a - \text{spectral-dec-op } a \ n = (\sum_{i < n. \text{spectral-dec-val } a \ i *_{\mathbb{C}} \text{spectral-dec-proj } a \ i)$ ⟩ for n
    by simp
  ultimately show ?thesis
    by (simp add: sums-def)
  qed

```

```

lemma spectral-dec-val-real:
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  shows ⟨spectral-dec-val a n ∈ ℝ⟩
  by (metis Reals-0 assms(1) assms(2) eigenvalue-selfadj-real spectral-dec-val-eigenvalue)

```

```

lemma spectral-dec-space-orthogonal:
  assumes ⟨compact-op a⟩
  assumes ⟨selfadjoint a⟩
  assumes ⟨ $n \neq m$ ⟩
  shows ⟨orthogonal-spaces (spectral-dec-space a n) (spectral-dec-space a m)⟩
proof (cases ⟨spectral-dec-val a n = 0 ∨ spectral-dec-val a m = 0⟩)
  case True
  then show ?thesis
    by (auto intro!: simp: spectral-dec-space-def)
next
  case False
  have ⟨spectral-dec-space a n ≤ eigenspace (spectral-dec-val a n) a⟩
    using ⟨selfadjoint a⟩
    by (metis False spectral-dec-space.elims spectral-dec-op.simps(2) spectral-dec-op-decreasing-eigenspaces
    zero-le)
  moreover have ⟨spectral-dec-space a m ≤ eigenspace (spectral-dec-val a m) a⟩
    using ⟨selfadjoint a⟩

```

by (*metis False spectral-dec-space.elims spectral-dec-op.simps(2) spectral-dec-op-decreasing-eigenspaces zero-le*)
moreover have $\langle \text{orthogonal-spaces (eigenspace (spectral-dec-val a n) a) (eigenspace (spectral-dec-val a m) a)} \rangle$
apply (*intro eigenspaces-orthogonal selfadjoint-imp-normal assms spectral-dec-val-distinct*)
using False by simp
ultimately show *?thesis*
by (*meson order.trans orthocomplemented-lattice-class.compl-mono orthogonal-spaces-leq-compl*)

qed

lemma spectral-dec-proj-pos: $\langle \text{spectral-dec-proj a n} \geq 0 \rangle$
by (*auto intro!: simp: spectral-dec-proj-def*)

lemma

assumes $\langle \text{compact-op a} \rangle$
assumes $\langle \text{selfadjoint a} \rangle$
shows *spectral-dec-tendsto-pos-op:* $\langle (\lambda n. \max 0 (\text{spectral-dec-val a n}) *_{\mathbb{C}} \text{spectral-dec-proj a n}) \text{ sums pos-op a} \rangle$ (**is** *?thesis1*)
and *spectral-dec-tendsto-neg-op:* $\langle (\lambda n. - \min (\text{spectral-dec-val a n}) 0 *_{\mathbb{C}} \text{spectral-dec-proj a n}) \text{ sums neg-op a} \rangle$ (**is** *?thesis2*)
proof –
define *I J* **where** $\langle I = \{n. \text{spectral-dec-val a n} \geq 0\} \rangle$
and $\langle J = \{n. \text{spectral-dec-val a n} \leq 0\} \rangle$
define *R S* **where** $\langle R = (\bigsqcup_{n \in I}. \text{spectral-dec-space a n}) \rangle$
and $\langle S = (\bigsqcup_{n \in J}. \text{spectral-dec-space a n}) \rangle$
define *aR aS* **where** $\langle aR = a \circ_{\mathbb{C}L} \text{Proj } R \rangle$ **and** $\langle aS = - a \circ_{\mathbb{C}L} \text{Proj } S \rangle$
have *spectral-dec-cases:* $\langle (0 < \text{spectral-dec-val a n} \implies P) \implies (\text{spectral-dec-val a n} < 0 \implies P) \implies (\text{spectral-dec-val a n} = 0 \implies P) \implies P \rangle$ **for** *n P*
apply *atomize-elim*
using *reals-zero-comparable[OF spectral-dec-val-real[OF assms, of n]]*
by *auto*
have *PRP:* $\langle \text{spectral-dec-proj a n} \circ_{\mathbb{C}L} \text{Proj } R = \text{spectral-dec-proj a n} \rangle$ **if** $\langle n \in I \rangle$ **for** *n*
by (*auto intro!: Proj-o-Subspace-left simp add: R-def SUP-upper that spectral-dec-proj-def*)
have *PR0:* $\langle \text{spectral-dec-proj a n} \circ_{\mathbb{C}L} \text{Proj } R = 0 \rangle$ **if** $\langle n \notin I \rangle$ **for** *n*
apply (*cases rule: spectral-dec-cases[of n]*)
using *that*
by (*auto intro!: orthogonal-spaces-SUP-right spectral-dec-space-orthogonal assms simp: spectral-dec-proj-def R-def I-def simp flip: orthogonal-projectors-orthogonal-spaces*)
have *PSP:* $\langle \text{spectral-dec-proj a n} \circ_{\mathbb{C}L} \text{Proj } S = \text{spectral-dec-proj a n} \rangle$ **if** $\langle n \in J \rangle$ **for** *n*
by (*auto intro!: Proj-o-Subspace-left simp add: S-def SUP-upper that spectral-dec-proj-def*)
have *PS0:* $\langle \text{spectral-dec-proj a n} \circ_{\mathbb{C}L} \text{Proj } S = 0 \rangle$ **if** $\langle n \notin J \rangle$ **for** *n*
apply (*cases rule: spectral-dec-cases[of n]*)
using *that*

by (*auto intro!*: *orthogonal-spaces-SUP-right spectral-dec-space-orthogonal assms*
simp: *spectral-dec-proj-def S-def J-def*
simp flip: *orthogonal-projectors-orthogonal-spaces*)
from *spectral-dec-sums[OF assms]*
have $\langle \lambda n. (\text{spectral-dec-val } a \ n \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ o_{\mathcal{C}L} \ \text{Proj } R) \ \text{sums } aR \rangle$
unfolding *aR-def*
apply (*rule bounded-linear.sums[rotated]*)
by (*intro bounded-clinear.bounded-linear bounded-clinear-cblinfun-compose-left*)
then have *sum-aR*: $\langle \lambda n. \max 0 \ (\text{spectral-dec-val } a \ n) \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ \text{sums } aR \rangle$
apply (*rule sums-cong[THEN iffD1, rotated]*)
by (*simp add*: *I-def PR0 PRP max-def*)
from *sum-aR* **have** $\langle aR \geq 0 \rangle$
apply (*rule sums-pos-cblinfun*)
by (*auto intro!*: *spectral-dec-proj-pos scaleC-nonneg-nonneg simp: max-def*)
from *spectral-dec-sums[OF assms]*
have $\langle \lambda n. \text{spectral-dec-val } a \ n \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n \ o_{\mathcal{C}L} \ \text{Proj } S) \ \text{sums } - aS \rangle$
unfolding *aS-def minus-minus cblinfun-compose-uminus-left*
apply (*rule bounded-linear.sums[rotated]*)
by (*intro bounded-clinear.bounded-linear bounded-clinear-cblinfun-compose-left*)
then have *sum-aS'*: $\langle \lambda n. \min (\text{spectral-dec-val } a \ n) \ 0 \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ \text{sums } - aS \rangle$
apply (*rule sums-cong[THEN iffD1, rotated]*)
by (*simp add*: *J-def PS0 PSP min-def*)
then have *sum-aS*: $\langle \lambda n. - \min (\text{spectral-dec-val } a \ n) \ 0 \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ \text{sums } aS \rangle$
using *sums-minus* **by** *fastforce*
from *sum-aS* **have** $\langle aS \geq 0 \rangle$
by (*rule sums-pos-cblinfun*)
(*auto intro!*: *spectral-dec-proj-pos scaleC-nonpos-nonneg simp: max-def min-def*)
from *sum-aR* *sum-aS'*
have $\langle \lambda n. \max 0 \ (\text{spectral-dec-val } a \ n) \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n$
 $\quad + \min (\text{spectral-dec-val } a \ n) \ 0 \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ \text{sums } (aR - aS) \rangle$
using *sums-add* **by** *fastforce*
then have $\langle \lambda n. \text{spectral-dec-val } a \ n \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n) \ \text{sums } (aR - aS) \rangle$
proof (*rule sums-cong[THEN iffD1, rotated]*)
fix *n*
have $\langle \max 0 \ (\text{spectral-dec-val } a \ n) + \min (\text{spectral-dec-val } a \ n) \ 0$
 $\quad = \text{spectral-dec-val } a \ n \rangle$
apply (*cases rule: spectral-dec-cases[of n]*)
by (*auto intro!*: *simp: max-def min-def*)
then
show $\langle \max 0 \ (\text{spectral-dec-val } a \ n) \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n +$
 $\quad \min (\text{spectral-dec-val } a \ n) \ 0 \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n =$
 $\quad \text{spectral-dec-val } a \ n \ *_{\mathcal{C}} \ \text{spectral-dec-proj } a \ n \rangle$
by (*metis scaleC-left.add*)
qed
with *spectral-dec-sums[OF assms]*
have $\langle aR - aS = a \rangle$
using *sums-unique2* **by** *blast*
have $\langle aR \ o_{\mathcal{C}L} \ aS = 0 \rangle$
by (*metis (no-types, opaque-lifting) Proj-idempotent* $\langle 0 \leq aR \rangle \langle aR - aS = a \rangle$ *aR-def*)

add-cancel-left-left add-minus-cancel adj-0 adj-Proj adj-cblinfun-compose assms(2) cblinfun-compose-minus-right comparable-hermitean lift-cblinfun-comp(2) selfadjoint-def uminus-add-conv-diff)

have $\langle aR = \text{pos-op } a \rangle$ **and** $\langle aS = \text{neg-op } a \rangle$
by (*intro pos-op-neg-op-unique*[**where** $b=aR$ **and** $c=aS$]
 $\langle aR - aS = a \rangle \langle 0 \leq aR \rangle \langle 0 \leq aS \rangle \langle aR \text{ } o_{CL} \text{ } aS = 0 \rangle$)+
with *sum-aR* **and** *sum-aS*
show *?thesis1* **and** *?thesis2*
by *auto*

qed

lemma *spectral-dec-tendsto-abs-op:*

assumes $\langle \text{compact-op } a \rangle$
assumes $\langle \text{selfadjoint } a \rangle$
shows $\langle (\lambda n. \text{cmod } (\text{spectral-dec-val } a \ n) \ *R \ \text{spectral-dec-proj } a \ n) \ \text{sums } \text{abs-op } a \rangle$
proof –
from *spectral-dec-tendsto-pos-op*[*OF assms*] *spectral-dec-tendsto-neg-op*[*OF assms*]
have $\langle (\lambda n. \text{max } 0 \ (\text{spectral-dec-val } a \ n) \ *C \ \text{spectral-dec-proj } a \ n$
 $+ - \text{min } (\text{spectral-dec-val } a \ n) \ 0 \ *C \ \text{spectral-dec-proj } a \ n) \ \text{sums } (\text{pos-op } a + \text{neg-op } a) \rangle$
using *sums-add* **by** *blast*
then have $\langle (\lambda n. \text{cmod } (\text{spectral-dec-val } a \ n) \ *R \ \text{spectral-dec-proj } a \ n) \ \text{sums } (\text{pos-op } a +$
 $\text{neg-op } a) \rangle$
apply (*rule sums-cong*[*THEN iffD1, rotated*])
using *spectral-dec-val-real*[*OF assms*]
apply (*simp add: complex-is-Real-iff cmod-def max-def min-def less-eq-complex-def scaleR-scaleC*
flip: scaleC-add-right)
by (*metis complex-surj zero-complex.code*)
then show *?thesis*
by (*simp add: pos-op-plus-neg-op*)

qed

definition *spectral-dec-vecs* :: $\langle ('a \Rightarrow_{CL} 'a) \Rightarrow 'a::\text{hilbert-space set} \rangle$ **where**
 $\langle \text{spectral-dec-vecs } a = (\bigcup n. \text{scaleC } (\text{csqrt } (\text{spectral-dec-val } a \ n))) \ \text{'some-onb-of } (\text{spectral-dec-space } a \ n) \rangle$

lemma *spectral-dec-vecs-ortho:*

assumes $\langle \text{selfadjoint } a \rangle$ **and** $\langle \text{compact-op } a \rangle$
shows $\langle \text{is-ortho-set } (\text{spectral-dec-vecs } a) \rangle$
proof (*unfold is-ortho-set-def, intro conjI ballI impI*)
show $\langle 0 \notin \text{spectral-dec-vecs } a \rangle$
proof (*rule notI*)
assume $\langle 0 \in \text{spectral-dec-vecs } a \rangle$
then obtain $n \ v$ **where** $v0: \langle 0 = \text{csqrt } (\text{spectral-dec-val } a \ n) \ *C \ v \rangle$ **and** $v\text{-in: } \langle v \in \text{some-onb-of } (\text{spectral-dec-space } a \ n) \rangle$
by (*auto simp: spectral-dec-vecs-def*)
from $v\text{-in}$ **have** $\langle v \neq 0 \rangle$
using *some-onb-of-norm1* **by** *fastforce*
from $v\text{-in}$ **have** $\langle \text{spectral-dec-space } a \ n \neq 0 \rangle$
using *some-onb-of-0* **by** *force*
then have $\langle \text{spectral-dec-val } a \ n \neq 0 \rangle$

```

    by (meson spectral-dec-space.elims)
  with v0 ⟨v ≠ 0⟩ show False
    by force
qed
fix g h assume g: ⟨g ∈ spectral-dec-vecs a⟩ and h: ⟨h ∈ spectral-dec-vecs a⟩ and ⟨g ≠ h⟩
from g obtain ng g' where gg': ⟨g = csqrt (spectral-dec-val a ng) *C g'⟩ and g'-in: ⟨g' ∈
some-onb-of (spectral-dec-space a ng)⟩
  by (auto simp: spectral-dec-vecs-def)
from h obtain nh h' where hh': ⟨h = csqrt (spectral-dec-val a nh) *C h'⟩ and h'-in: ⟨h' ∈
some-onb-of (spectral-dec-space a nh)⟩
  by (auto simp: spectral-dec-vecs-def)
have ⟨is-orthogonal g' h'⟩
proof (cases ⟨ng = nh⟩)
case True
  with h'-in have ⟨h' ∈ some-onb-of (spectral-dec-space a nh)⟩
    by simp
  with g'-in True ⟨g ≠ h⟩ gg' hh'
  show ?thesis
    using is-ortho-set-def by fastforce
next
case False
  then have ⟨orthogonal-spaces (spectral-dec-space a ng) (spectral-dec-space a nh)⟩
    by (auto intro!: spectral-dec-space-orthogonal assms simp: )
  with h'-in g'-in show ⟨is-orthogonal g' h'⟩
    using orthogonal-spaces-ccspan by force
qed
then show ⟨is-orthogonal g h⟩
  by (simp add: gg' hh')
qed

lemma spectral-dec-val-nonneg:
  assumes ⟨a ≥ 0⟩
  assumes ⟨compact-op a⟩
  shows ⟨spectral-dec-val a n ≥ 0⟩
proof -
  define v where ⟨v = spectral-dec-val a n⟩
  wlog non0: ⟨spectral-dec-val a n ≠ 0⟩ generalizing v keeping v-def
    using negation by force
  have [simp]: ⟨selfadjoint a⟩
    using adj-0 assms(1) comparable-hermitean selfadjoint-def by blast
  have ⟨v ∈ eigenvalues a⟩
    by (auto intro!: non0 spectral-dec-val-eigenvalue assms simp: v-def)
  then show ⟨spectral-dec-val a n ≥ 0⟩
    using assms(1) eigenvalues-nonneg v-def by blast
qed

lemma spectral-dec-space-finite-dim[intro]:
  assumes ⟨compact-op a⟩
  shows ⟨finite-dim-ccsubspace (spectral-dec-space a n)⟩

```

by (*auto intro!*: *compact-op-eigenspace-finite-dim spectral-dec-op-compact assms simp: spectral-dec-space-def*)

lemma *spectral-dec-space-0*:
assumes $\langle \text{spectral-dec-val } a \ n = 0 \rangle$
shows $\langle \text{spectral-dec-space } a \ n = 0 \rangle$
by (*simp add: assms spectral-dec-space-def*)

end

12 Trace-Class – Trace-class operators

theory *Trace-Class*
imports *Complex-Bounded-Operators.Complex-L2 HS2Ell2*
Weak-Operator-Topology Positive-Operators Compact-Operators
Spectral-Theorem
begin

hide-fact (**open**) *Infinite-Set-Sum.abs-summable-on-Sigma-iff*
hide-fact (**open**) *Infinite-Set-Sum.abs-summable-on-comparison-test*
hide-const (**open**) *Determinants.trace*
hide-fact (**open**) *Determinants.trace-def*

unbundle *cblinfun-notation*

12.1 Auxiliary lemmas

lemma
fixes $h :: \langle 'a::\{\text{hilbert-space}\} \rangle$
assumes $\langle \text{is-onb } E \rangle$
shows *parseval-abs-summable*: $\langle (\lambda e. (\text{cmod } (e \cdot_C h))^2) \text{ abs-summable-on } E \rangle$
proof (*cases* $\langle h = 0 \rangle$)
case *True*
then show *?thesis* **by** *simp*
next
case *False*
then have $\langle (\sum_{e \in E} \text{cmod } (e \cdot_C h))^2 \neq 0 \rangle$
using *assms* **by** (*simp add: parseval-identity is-onb-def*)
then show *?thesis*
using *infsun-not-exists* **by** *auto*
qed

lemma *basis-image-square-has-sum1*:
— Half of [1, Proposition 18.1], other half in *basis-image-square-has-sum1*.
fixes $E :: \langle 'a::\text{complex-inner set} \rangle$ **and** $F :: \langle 'b::\text{hilbert-space set} \rangle$
assumes $\langle \text{is-onb } E \rangle$ **and** $\langle \text{is-onb } F \rangle$

shows $\langle ((\lambda e. (\text{norm } (A *_{\mathcal{V}} e))^2) \text{ has-sum } t) E \longleftrightarrow ((\lambda(e,f). (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \text{ has-sum } t) (E \times F) \rangle$

proof (*rule iffI*)

assume *asm*: $\langle ((\lambda e. (\text{norm } (A *_{\mathcal{V}} e))^2) \text{ has-sum } t) E \rangle$

have *sum1*: $\langle t = (\sum_{\infty} e \in E. (\text{norm } (A *_{\mathcal{V}} e))^2) \rangle$

using *asm infsumI* **by** *blast*

have *abs1*: $\langle (\lambda e. (\text{norm } (A *_{\mathcal{V}} e))^2) \text{ abs-summable-on } E \rangle$

using *asm summable-on-def* **by** *auto*

have *sum2*: $\langle t = (\sum_{\infty} e \in E. \sum_{\infty} f \in F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \rangle$

apply (*subst sum1*)

apply (*rule infsum-cong*)

using *assms(2)*

by (*simp add: is-onb-def flip: parseval-identity*)

have *abs2*: $\langle (\lambda e. \sum_{\infty} f \in F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \text{ abs-summable-on } E \rangle$

using - *abs1* **apply** (*rule summable-on-cong[THEN iffD2]*)

apply (*subst parseval-identity*)

using *assms(2)* **by** (*auto simp: is-onb-def*)

have *abs3*: $\langle (\lambda(x, y). (\text{cmod } (y \cdot_C (A *_{\mathcal{V}} x)))^2) \text{ abs-summable-on } E \times F \rangle$

thm *abs-summable-on-Sigma-iff*

apply (*rule abs-summable-on-Sigma-iff[THEN iffD2]*, *rule conjI*)

using *abs2* **apply** (*auto simp del: real-norm-def*)

using *assms(2) parseval-abs-summable* **apply** *blast*

by *auto*

have *sum3*: $\langle t = (\sum_{\infty} (e,f) \in E \times F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \rangle$

apply (*subst sum2*)

apply (*subst infsum-Sigma'-banach[symmetric]*)

using *abs3 abs-summable-summable* **apply** *blast*

by *auto*

then show $\langle ((\lambda(e,f). (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \text{ has-sum } t) (E \times F) \rangle$

using *abs3 abs-summable-summable has-sum-infsum* **by** *blast*

next

assume *asm*: $\langle ((\lambda(e,f). (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \text{ has-sum } t) (E \times F) \rangle$

have *abs3*: $\langle (\lambda(x, y). (\text{cmod } (y \cdot_C (A *_{\mathcal{V}} x)))^2) \text{ abs-summable-on } E \times F \rangle$

using *asm summable-on-def summable-on-iff-abs-summable-on-real*

by *blast*

have *sum3*: $\langle t = (\sum_{\infty} (e,f) \in E \times F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \rangle$

using *asm infsumI* **by** *blast*

have *sum2*: $\langle t = (\sum_{\infty} e \in E. \sum_{\infty} f \in F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \rangle$

by (*metis (mono-tags, lifting) asm infsum-Sigma'-banach infsum-cong sum3 summable-iff-has-sum-infsum*)

have *abs2*: $\langle (\lambda e. \sum_{\infty} f \in F. (\text{cmod } (f \cdot_C (A *_{\mathcal{V}} e)))^2) \text{ abs-summable-on } E \rangle$

by (*smt (verit, del-insts) abs3 summable-on-Sigma-banach summable-on-cong summable-on-iff-abs-summable-on-real*)

have *sum1*: $\langle t = (\sum_{\infty} e \in E. (\text{norm } (A *_{\mathcal{V}} e))^2) \rangle$

apply (*subst sum2*)

apply (*rule infsum-cong*)

using *assms*

by (*auto intro!: simp: parseval-identity is-onb-def*)

have *abs1*: $\langle (\lambda e. (\text{norm } (A *_{\mathcal{V}} e))^2) \text{ abs-summable-on } E \rangle$

using *assms abs2*

by (*auto intro!: simp: parseval-identity is-onb-def*)


```

  show ⟨((λe. (norm (A *V e))2) has-sum t) E⟩
    using abs1 sum1 by auto
qed

lemma basis-image-square-has-sum2:
  — Half of [1, Proposition 18.1], other half in basis-image-square-has-sum1.
  fixes E :: ⟨'a::hilbert-space set⟩ and F :: ⟨'b::hilbert-space set⟩
  assumes ⟨is-onb E⟩ and ⟨is-onb F⟩
  shows ⟨((λe. (norm (A *V e))2) has-sum t) E ⟷ ((λf. (norm (A* *V f))2) has-sum t) F⟩
proof —
  have ⟨((λe. (norm (A *V e))2) has-sum t) E ⟷ ((λ(e,f). (cmod (f •C (A *V e)))2) has-sum
t) (E×F)⟩
    using basis-image-square-has-sum1 assms by blast
  also have ⟨... ⟷ ((λ(e,f). (cmod ((A* *V f) •C e))2) has-sum t) (E×F)⟩
    apply (subst cinner-adj-left)
    by (rule refl)
  also have ⟨... ⟷ ((λ(f,e). (cmod ((A* *V f) •C e))2) has-sum t) (F×E)⟩
    apply (subst asm-rl[of ⟨F×E = prod.swap ' (E×F)⟩])
    apply force
    apply (subst has-sum-reindex)
    by (auto simp: o-def)
  also have ⟨... ⟷ ((λf. (norm (A* *V f))2) has-sum t) F⟩
    apply (subst cinner-commute, subst complex-mod-cnj)
    using basis-image-square-has-sum1 assms
    by blast
  finally show ?thesis
    by —
qed

```

12.2 Trace-norm and trace-class

```

lemma trace-norm-basis-invariance:
  assumes ⟨is-onb E⟩ and ⟨is-onb F⟩
  shows ⟨((λe. cmod (e •C (abs-op A *V e))) has-sum t) E ⟷ ((λf. cmod (f •C (abs-op A *V
f))) has-sum t) F⟩
  — [1], Corollary 18.2
proof —
  define B where ⟨B = sqrt-op (abs-op A)⟩
  have ⟨complex-of-real (cmod (e •C (abs-op A *V e))) = (B* *V B*V e) •C e⟩ for e
    apply (simp add: B-def positive-hermitianI flip: cblinfun-apply-cblinfun-compose)
    by (metis abs-op-pos abs-pos cinner-commute cinner-pos-if-pos complex-cnj-complex-of-real
complex-of-real-cmod)
  also have ⟨... e = complex-of-real ((norm (B *V e))2)⟩ for e
    apply (subst cmod-square-norm[symmetric])
    apply (subst cinner-adj-left[symmetric])
    by (simp add: B-def)
  finally have *: ⟨cmod (e •C (abs-op A *V e)) = (norm (B *V e))2⟩ for e
    by (metis Re-complex-of-real)

```

have $\langle (\lambda e. \text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e))) \text{ has-sum } t \rangle E \longleftrightarrow \langle (\lambda e. (\text{norm } (B *_{\mathcal{V}} e))^2) \text{ has-sum } t \rangle E \rangle$
by (*simp add: **)
also have $\langle \dots = ((\lambda f. (\text{norm } (B *_{\mathcal{V}} f))^2) \text{ has-sum } t) F \rangle$
apply (*subst basis-image-square-has-sum2 [where F=F]*)
by (*simp-all add: assms*)
also have $\langle \dots = ((\lambda f. (\text{norm } (B *_{\mathcal{V}} f))^2) \text{ has-sum } t) F \rangle$
using *basis-image-square-has-sum2 assms(2) by blast*
also have $\langle \dots = ((\lambda e. \text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e))) \text{ has-sum } t) F \rangle$
by (*simp add: **)
finally show *?thesis*
by *simp*
qed

definition *trace-class* :: $\langle ('a::\text{chilbert-space} \Rightarrow_{CL} 'b::\text{complex-inner}) \Rightarrow \text{bool} \rangle$
where $\langle \text{trace-class } A \longleftrightarrow (\exists E. \text{is-onb } E \wedge (\lambda e. e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)) \text{ abs-summable-on } E) \rangle$

lemma *trace-classI*:
assumes $\langle \text{is-onb } E \rangle$ **and** $\langle (\lambda e. e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)) \text{ abs-summable-on } E \rangle$
shows $\langle \text{trace-class } A \rangle$
using *assms(1) assms(2) trace-class-def by blast*

lemma *trace-class-iff-summable*:
assumes $\langle \text{is-onb } E \rangle$
shows $\langle \text{trace-class } A \longleftrightarrow (\lambda e. e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)) \text{ abs-summable-on } E \rangle$
apply (*auto intro!: trace-classI assms simp: trace-class-def*)
using *assms summable-on-def trace-norm-basis-invariance by blast*

lemma *trace-class-0[simp]*: $\langle \text{trace-class } 0 \rangle$
unfolding *trace-class-def*
by (*auto intro!: exI [of - some-chilbert-basis] simp: is-onb-def is-normal-some-chilbert-basis*)

lemma *trace-class-uminus*: $\langle \text{trace-class } t \implies \text{trace-class } (-t) \rangle$
by (*auto simp add: trace-class-def*)

lemma *trace-class-uminus-iff[simp]*: $\langle \text{trace-class } (-a) = \text{trace-class } a \rangle$
by (*auto simp add: trace-class-def*)

definition *trace-norm* **where** $\langle \text{trace-norm } A = (\text{if } \text{trace-class } A \text{ then } (\sum_{\infty e \in \text{some-chilbert-basis.}} \text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e))) \text{ else } 0) \rangle$

definition *trace* **where** $\langle \text{trace } A = (\text{if } \text{trace-class } A \text{ then } (\sum_{\infty e \in \text{some-chilbert-basis.}} e \cdot_C (A *_{\mathcal{V}} e)) \text{ else } 0) \rangle$

lemma *trace-0[simp]*: $\langle \text{trace } 0 = 0 \rangle$
unfolding *trace-def* **by** *simp*

lemma *trace-class-abs-op*[simp]: $\langle \text{trace-class } (\text{abs-op } A) = \text{trace-class } A \rangle$
unfolding *trace-class-def*
by *simp*

lemma *trace-abs-op*[simp]: $\langle \text{trace } (\text{abs-op } A) = \text{trace-norm } A \rangle$
proof (*cases* $\langle \text{trace-class } A \rangle$)

case *True*

have *pos*: $\langle e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e) \geq 0 \rangle$ **for** *e*

by (*simp add: cinner-pos-if-pos*)

then have *abs*: $\langle e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e) = \text{abs } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)) \rangle$ **for** *e*

by (*simp add: abs-pos*)

have $\langle \text{trace } (\text{abs-op } A) = (\sum_{\infty} e \in \text{some-chilbert-basis}. e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)) \rangle$

by (*simp add: trace-def True*)

also have $\langle \dots = (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{complex-of-real } (\text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e)))) \rangle$

using *pos abs complex-of-real-cmod* **by** *presburger*

also have $\langle \dots = \text{complex-of-real } (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e))) \rangle$

by (*simp add: infsum-of-real*)

also have $\langle \dots = \text{trace-norm } A \rangle$

by (*simp add: trace-norm-def True*)

finally show *?thesis*

by $-$

next

case *False*

then show *?thesis*

by (*simp add: trace-def trace-norm-def*)

qed

lemma *trace-norm-pos*: $\langle \text{trace-norm } A = \text{trace } A \rangle$ **if** $\langle A \geq 0 \rangle$

by (*metis abs-op-id-on-pos that trace-abs-op*)

lemma *trace-norm-alt-def*:

assumes $\langle \text{is-onb } B \rangle$

shows $\langle \text{trace-norm } A = (\text{if } \text{trace-class } A \text{ then } (\sum_{\infty} e \in B. \text{cmod } (e \cdot_C (\text{abs-op } A *_{\mathcal{V}} e))) \text{ else } 0) \rangle$

by (*metis (mono-tags, lifting) assms infsum-eqI' is-onb-some-chilbert-basis trace-norm-basis-invariance trace-norm-def*)

lemma *trace-class-finite-dim*[simp]: $\langle \text{trace-class } A \rangle$ **for** $A :: \langle 'a :: \{ \text{cfinite-dim}, \text{chilbert-space} \} \Rightarrow_{CL} 'b :: \text{complex-inner} \rangle$

apply (*subst trace-class-iff-summable[of some-chilbert-basis]*)

by (*auto intro: summable-on-finite*)

lemma *trace-class-scaleC*: $\langle \text{trace-class } (c *_{\mathcal{C}} a) \rangle$ **if** $\langle \text{trace-class } a \rangle$

proof $-$

from that obtain *B* **where** $\langle \text{is-onb } B \rangle$ **and** $\langle (\lambda x. x \cdot_C (\text{abs-op } a *_{\mathcal{V}} x)) \text{ abs-summable-on } B \rangle$

by (*auto simp: trace-class-def*)

then show *?thesis*

by (auto intro!: exI[of - B] summable-on-cmult-right simp: trace-class-def ‹is-onb B› abs-op-scaleC norm-mult)

qed

lemma trace-scaleC: ‹trace (c *_C a) = c * trace a›

proof –

consider (trace-class) ‹trace-class a› | (c0) ‹c = 0› | (non-trace-class) ‹¬ trace-class a› ‹c ≠ 0›

by auto

then show ?thesis

proof cases

case trace-class

then have ‹trace-class (c *_C a)›

by (rule trace-class-scaleC)

then have ‹trace (c *_C a) = (∑_{∞ e ∈ some-chilbert-basis. e •_C (c *_C a *_V e))›}

unfolding trace-def by simp

also have ‹... = c * (∑_{∞ e ∈ some-chilbert-basis. e •_C (a *_V e))›}

by (auto simp: infsum-cmult-right')

also from trace-class have ‹... = c * trace a›

by (simp add: Trace-Class.trace-def)

finally show ?thesis

by –

next

case c0

then show ?thesis

by simp

next

case non-trace-class

then have ‹¬ trace-class (c *_C a)›

by (metis divideC-field-simps(2) trace-class-scaleC)

with non-trace-class show ?thesis

by (simp add: trace-def)

qed

qed

lemma trace-uminus: ‹trace (– a) = – trace a›

by (metis mult-minus1 scaleC-minus1-left trace-scaleC)

lemma trace-norm-0[simp]: ‹trace-norm 0 = 0›

by (auto simp: trace-norm-def)

lemma trace-norm-nneg[simp]: ‹trace-norm a ≥ 0›

apply (cases ‹trace-class a›)

by (auto simp: trace-norm-def infsum-nonneg)

lemma trace-norm-scaleC: ‹trace-norm (c *_C a) = norm c * trace-norm a›

proof –

consider (trace-class) ‹trace-class a› | (c0) ‹c = 0› | (non-trace-class) ‹¬ trace-class a› ‹c ≠ 0›

```

  by auto
then show ?thesis
proof cases
  case trace-class
  then have ⟨trace-class (c *C a)⟩
    by (rule trace-class-scaleC)
  then have ⟨trace-norm (c *C a) = (∑∞ e ∈ some-chilbert-basis. norm (e •C (abs-op (c *C
a) *V e)))⟩
    unfolding trace-norm-def by simp
  also have ⟨... = norm c * (∑∞ e ∈ some-chilbert-basis. norm (e •C (abs-op a *V e)))⟩
    by (auto simp: infsum-cmult-right' abs-op-scaleC norm-mult)
  also from trace-class have ⟨... = norm c * trace-norm a⟩
    by (simp add: trace-norm-def)
  finally show ?thesis
    by -
next
  case c0
  then show ?thesis
    by simp
next
  case non-trace-class
  then have ⟨¬ trace-class (c *C a)⟩
    by (metis divideC-field-simps(2) trace-class-scaleC)
  with non-trace-class show ?thesis
    by (simp add: trace-norm-def)
qed
qed

```

lemma trace-norm-nondegenerate: ⟨a = 0⟩ **if** ⟨trace-class a⟩ **and** ⟨trace-norm a = 0⟩

proof (rule ccontr)

assume ⟨a ≠ 0⟩

then have ⟨abs-op a ≠ 0⟩

using abs-op-nondegenerate by blast

then obtain x where xax: ⟨x •_C (abs-op a *_V x) ≠ 0⟩

by (metis cblinfun.zero-left cblinfun-cinner-eqI cinner-zero-right)

then have ⟨norm x ≠ 0⟩

by auto

then have xax': ⟨sgn x •_C (abs-op a *_V sgn x) ≠ 0⟩ **and** [simp]: ⟨norm (sgn x) = 1⟩

unfolding sgn-div-norm using xax by (auto simp: cblinfun.scaleR-right)

obtain B where sgnx-B: ⟨{sgn x} ⊆ B⟩ **and** ⟨is-onb B⟩

apply atomize-elim apply (rule orthonormal-basis-exists)

using ⟨norm x ≠ 0⟩ by (auto simp: is-ortho-set-def sgn-div-norm)

from ⟨is-onb B⟩ that

have summable: ⟨(λe. e •_C (abs-op a *_V e)) abs-summable-on B⟩

using trace-class-iff-summable by fastforce

from that have ⟨0 = trace-norm a⟩

```

  by simp
  also from ‹is-onb B› have ‹trace-norm a = (∑∞ e∈B. cmod (e •C (abs-op a *V e)))›
  by (smt (verit, ccfv-SIG) abs-norm-cancel infsum-cong infsum-not-exists real-norm-def trace-class-def
  trace-norm-alt-def)
  also have ‹... ≥ (∑∞ e∈{sgn x}. cmod (e •C (abs-op a *V e)))› (is ‹- ≥ ...›)
  apply (rule infsum-mono2)
  using summable sgnx-B by auto
  also from ‹xax'› have ‹... > 0›
  by (simp add: is-orthogonal-sym xax')
  finally show False
  by simp
qed

```

```

typedef (overloaded) ('a::hilbert-space, 'b::hilbert-space) trace-class = ‹Collect trace-class ::
('a ⇒CL 'b) set›
  morphisms from-trace-class Abs-trace-class
  by (auto intro: exI[of - 0])
setup-lifting type-definition-trace-class

```

```

lemma trace-class-from-trace-class[simp]: ‹trace-class (from-trace-class t)›
  using from-trace-class by blast

```

```

lemma trace-pos: ‹trace a ≥ 0› if ‹a ≥ 0›
  by (metis abs-op-def complex-of-real-nn-iff sqrt-op-unique that trace-abs-op trace-norm-nneg)

```

```

lemma trace-adj-prelim: ‹trace (a*) = cnj (trace a)› if ‹trace-class a› and ‹trace-class (a*)›
  — We will later strengthen this as trace-adj and then hide this fact.
  by (simp add: trace-def that flip: cinner-adj-right infsum-cnj)

```

12.3 Hilbert-Schmidt operators

```

definition hilbert-schmidt where ‹hilbert-schmidt a ⟷ trace-class (a* oCL a)›

```

```

definition hilbert-schmidt-norm where ‹hilbert-schmidt-norm a = sqrt (trace-norm (a* oCL
a))›

```

```

lemma hilbert-schmidtI: ‹hilbert-schmidt a› if ‹trace-class (a* oCL a)›
  using that unfolding hilbert-schmidt-def by simp

```

```

lemma hilbert-schmidt-0[simp]: ‹hilbert-schmidt 0›
  unfolding hilbert-schmidt-def by simp

```

```

lemma hilbert-schmidt-norm-pos[simp]: ‹hilbert-schmidt-norm a ≥ 0›
  by (auto simp: hilbert-schmidt-norm-def)

```

```

lemma has-sum-hilbert-schmidt-norm-square:
  — [1], Proposition 18.6 (a)
  assumes ‹is-onb B› and ‹hilbert-schmidt a›
  shows ‹((λx. (norm (a *V x))2) has-sum (hilbert-schmidt-norm a)2) B›

```

proof –
from $\langle \text{hilbert-schmidt } a \rangle$
have $\langle \text{trace-class } (a *_{OCL} a) \rangle$
using *hilbert-schmidt-def* **by** *blast*
with $\langle \text{is-onb } B \rangle$ **have** $\langle ((\lambda x. \text{cmod } (x \cdot_C ((a *_{OCL} a) *_{OV} x))) \text{ has-sum trace-norm } (a *_{OCL} a)) B \rangle$
by (*metis* (*no-types*, *lifting*) *abs-op-def* *has-sum-cong* *has-sum-infsum* *positive-cblinfun-squareI* *sqrt-op-unique* *trace-class-def* *trace-norm-alt-def* *trace-norm-basis-invariance*)
then show *?thesis*
by (*auto simp: cinner-adj-right* *cdot-square-norm of-real-power* *norm-power* *hilbert-schmidt-norm-def*)
qed

lemma *summable-hilbert-schmidt-norm-square*:

– [1], Proposition 18.6 (a)
assumes $\langle \text{is-onb } B \rangle$ **and** $\langle \text{hilbert-schmidt } a \rangle$
shows $\langle (\lambda x. (\text{norm } (a *_{OV} x))^2) \text{ summable-on } B \rangle$
using *assms(1)* *assms(2)* *has-sum-hilbert-schmidt-norm-square* *summable-on-def* **by** *blast*

lemma *summable-hilbert-schmidt-norm-square-converse*:

assumes $\langle \text{is-onb } B \rangle$
assumes $\langle (\lambda x. (\text{norm } (a *_{OV} x))^2) \text{ summable-on } B \rangle$
shows $\langle \text{hilbert-schmidt } a \rangle$

proof –

from *assms(2)*
have $\langle (\lambda x. \text{cmod } (x \cdot_C ((a *_{OCL} a) *_{OV} x))) \text{ summable-on } B \rangle$
by (*metis* (*no-types*, *lifting*) *cblinfun-apply-cblinfun-compose* *cinner-adj-right* *cinner-pos-if-pos* *cmod-Re* *positive-cblinfun-squareI* *power2-norm-eq-cinner'* *summable-on-cong*)
then have $\langle \text{trace-class } (a *_{OCL} a) \rangle$
by (*metis* (*no-types*, *lifting*) *abs-op-def* *assms(1)* *positive-cblinfun-squareI* *sqrt-op-unique* *summable-on-cong* *trace-class-def*)
then show *?thesis*
using *hilbert-schmidtI* **by** *blast*

qed

lemma *infsum-hilbert-schmidt-norm-square*:

– [1], Proposition 18.6 (a)
assumes $\langle \text{is-onb } B \rangle$ **and** $\langle \text{hilbert-schmidt } a \rangle$
shows $\langle (\sum_{\infty} x \in B. (\text{norm } (a *_{OV} x))^2) = ((\text{hilbert-schmidt-norm } a)^2) \rangle$
using *assms* *has-sum-hilbert-schmidt-norm-square* *infsumI* **by** *blast*

lemma

– [1], Proposition 18.6 (d)
assumes $\langle \text{hilbert-schmidt } b \rangle$
shows *hilbert-schmidt-comp-right*: $\langle \text{hilbert-schmidt } (a \circ_{CL} b) \rangle$
and *hilbert-schmidt-norm-comp-right*: $\langle \text{hilbert-schmidt-norm } (a \circ_{CL} b) \leq \text{norm } a * \text{hilbert-schmidt-norm } b \rangle$

proof –

define $B :: \langle 'a \text{ set} \rangle$ **where** $\langle B = \text{some-chilbert-basis} \rangle$

```

have [simp]: ⟨is-onb B⟩
  by (simp add: B-def)

have leq: ⟨(norm ((a oCL b) *V x))2 ≤ (norm a)2 * (norm (b *V x))2⟩ for x
  by (metis cblinfun-apply-cblinfun-compose norm-cblinfun norm-ge-zero power-mono power-mult-distrib)

have ⟨(λx. (norm (b *V x))2) summable-on B⟩
  using ⟨is-onb B⟩ summable-hilbert-schmidt-norm-square assms by blast
then have sum2: ⟨(λx. (norm a)2 * (norm (b *V x))2) summable-on B⟩
  using summable-on-cmult-right by blast
then have ⟨(λx. ((norm a)2 * (norm (b *V x))2)) abs-summable-on B⟩
  by auto
then have ⟨(λx. (norm ((a oCL b) *V x))2) abs-summable-on B⟩
  apply (rule abs-summable-on-comparison-test)
  using leq by force
then have sum5: ⟨(λx. (norm ((a oCL b) *V x))2) summable-on B⟩
  by auto
then show [simp]: ⟨hilbert-schmidt (a oCL b)⟩
  using ⟨is-onb B⟩
  by (rule summable-hilbert-schmidt-norm-square-converse[rotated])

have ⟨(hilbert-schmidt-norm (a oCL b))2 = (∑x∈B. (norm ((a oCL b) *V x))2)⟩
  apply (rule infsum-hilbert-schmidt-norm-square[symmetric])
  by simp-all
also have ⟨... ≤ (∑x∈B. (norm a)2 * (norm (b *V x))2)⟩
  using sum5 sum2 leq by (rule infsum-mono)
also have ⟨... = (norm a)2 * (∑x∈B. (norm (b *V x))2)⟩
  by (simp add: infsum-cmult-right')
also have ⟨... = (norm a)2 * (hilbert-schmidt-norm b)2⟩
  by (simp add: assms infsum-hilbert-schmidt-norm-square)
finally show ⟨hilbert-schmidt-norm (a oCL b) ≤ norm a * hilbert-schmidt-norm b⟩
  apply (rule-tac power2-le-imp-le)
  by (auto intro!: mult-nonneg-nonneg simp: power-mult-distrib)
qed

lemma hilbert-schmidt-adj[simp]:
  — Implicit in [1], Proposition 18.6 (b)
  assumes ⟨hilbert-schmidt a⟩
  shows ⟨hilbert-schmidt (a*)⟩
proof —
  from assms
  have ⟨(λe. (norm (a *V e))2) summable-on some-chilbert-basis⟩
    using is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square by blast
  then have ⟨(λe. (norm (a *V e))2) summable-on some-chilbert-basis⟩
    by (metis basis-image-square-has-sum2 is-onb-some-chilbert-basis summable-on-def)
  then show ?thesis
    using is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square-converse by blast
qed

```



```

lemma hilbert-schmidt-norm-adj[simp]:
  — [1], Proposition 18.6 (b)
  shows ⟨hilbert-schmidt-norm (a*) = hilbert-schmidt-norm a⟩
proof (cases ⟨hilbert-schmidt a⟩)
  case True
  then have ⟨((λx. (norm (a *V x))2) has-sum (hilbert-schmidt-norm a)2) some-chilbert-basis⟩
    by (simp add: has-sum-hilbert-schmidt-norm-square)
  then have 1: ⟨((λx. (norm (a* *V x))2) has-sum (hilbert-schmidt-norm a)2) some-chilbert-basis⟩
    by (metis basis-image-square-has-sum2 is-onb-some-chilbert-basis)

  from True
  have ⟨hilbert-schmidt (a*)⟩
    by simp
  then have 2: ⟨((λx. (norm (a* *V x))2) has-sum (hilbert-schmidt-norm (a*))2) some-chilbert-basis⟩
    by (simp add: has-sum-hilbert-schmidt-norm-square)

  from 1 2 show ?thesis
  by (metis abs-of-nonneg hilbert-schmidt-norm-pos infsumI real-sqrt-abs)
next
  case False
  then have ⟨¬ hilbert-schmidt (a*)⟩
    using hilbert-schmidt-adj by fastforce

  then show ?thesis
  by (metis False hilbert-schmidt-def hilbert-schmidt-norm-def trace-norm-def)
qed

```

```

lemma
  — [1], Proposition 18.6 (d)
  fixes a :: ⟨'a::chilbert-space ⇒CL 'b::chilbert-space⟩ and b
  assumes ⟨hilbert-schmidt a⟩
  shows hilbert-schmidt-comp-left: ⟨hilbert-schmidt (a oCL b)⟩
  apply (subst asm-rl[of ⟨a oCL b = (b* oCL a*)*⟩], simp)
  by (auto intro!: assms hilbert-schmidt-comp-right hilbert-schmidt-adj simp del: adj-cblinfun-compose)

```

```

lemma
  — [1], Proposition 18.6 (d)
  fixes a :: ⟨'a::chilbert-space ⇒CL 'b::chilbert-space⟩ and b
  assumes ⟨hilbert-schmidt a⟩
  shows hilbert-schmidt-norm-comp-left: ⟨hilbert-schmidt-norm (a oCL b) ≤ norm b * hilbert-schmidt-norm a⟩
  apply (subst asm-rl[of ⟨a oCL b = (b* oCL a*)*⟩], simp)
  using hilbert-schmidt-norm-comp-right[of ⟨a*⟩ ⟨b*⟩]
  by (auto intro!: assms hilbert-schmidt-adj simp del: adj-cblinfun-compose)

```

```

lemma hilbert-schmidt-scaleC: ⟨hilbert-schmidt (c *C a)⟩ if ⟨hilbert-schmidt a⟩
  using hilbert-schmidt-def that trace-class-scaleC by fastforce

```

lemma *hilbert-schmidt-scaleR*: $\langle \text{hilbert-schmidt } (r *_{\mathbb{R}} a) \rangle$ **if** $\langle \text{hilbert-schmidt } a \rangle$
by (*simp add: hilbert-schmidt-scaleC scaleR-scaleC that*)

lemma *hilbert-schmidt-uminus*: $\langle \text{hilbert-schmidt } (- a) \rangle$ **if** $\langle \text{hilbert-schmidt } a \rangle$
by (*metis hilbert-schmidt-scaleC scaleC-minus1-left that*)

lemma *hilbert-schmidt-plus*: $\langle \text{hilbert-schmidt } (t + u) \rangle$ **if** $\langle \text{hilbert-schmidt } t \rangle$ **and** $\langle \text{hilbert-schmidt } u \rangle$

for $t u :: \langle 'a :: \text{chilbert-space} \Rightarrow_{\text{CL}} 'b :: \text{chilbert-space} \rangle$

— [1], Proposition 18.6 (e). We use a different proof than Conway: Our proof of *trace-class-plus* below was easy to adapt to Hilbert-Schmidt operators, so we adapted that one. However, Conway’s proof would most likely work as well, and possibly additionally allow us to weaken the sort of *'b* to *complex-inner*.

proof —

define *II* :: $\langle 'a \Rightarrow_{\text{CL}} ('a \times 'a) \rangle$ **where** $\langle II = \text{cblinfun-left} + \text{cblinfun-right} \rangle$

define *JJ* :: $\langle ('b \times 'b) \Rightarrow_{\text{CL}} 'b \rangle$ **where** $\langle JJ = \text{cblinfun-left}^* + \text{cblinfun-right}^* \rangle$

define *t2* *u2* **where** $\langle t2 = t^* \circ_{\text{CL}} t \rangle$ **and** $\langle u2 = u^* \circ_{\text{CL}} u \rangle$

define *tu* :: $\langle ('a \times 'a) \Rightarrow_{\text{CL}} ('b \times 'b) \rangle$ **where** $\langle tu = (\text{cblinfun-left} \circ_{\text{CL}} t \circ_{\text{CL}} \text{cblinfun-left}^*) + (\text{cblinfun-right} \circ_{\text{CL}} u \circ_{\text{CL}} \text{cblinfun-right}^*) \rangle$

define *tu2* :: $\langle ('a \times 'a) \Rightarrow_{\text{CL}} ('a \times 'a) \rangle$ **where** $\langle tu2 = (\text{cblinfun-left} \circ_{\text{CL}} t2 \circ_{\text{CL}} \text{cblinfun-left}^*) + (\text{cblinfun-right} \circ_{\text{CL}} u2 \circ_{\text{CL}} \text{cblinfun-right}^*) \rangle$

have *t-plus-u*: $\langle t + u = JJ \circ_{\text{CL}} tu \circ_{\text{CL}} II \rangle$

apply (*simp add: II-def JJ-def tu-def cblinfun-compose-add-left cblinfun-compose-add-right cblinfun-compose-assoc*)

by (*simp flip: cblinfun-compose-assoc*)

have *tu-tu2*: $\langle tu^* \circ_{\text{CL}} tu = tu2 \rangle$

by (*simp add: tu-def tu2-def t2-def u2-def cblinfun-compose-add-left*

cblinfun-compose-add-right cblinfun-compose-assoc adj-plus

isometryD[THEN simp-a-oCL-b] cblinfun-right-left-ortho[THEN simp-a-oCL-b]

cblinfun-left-right-ortho[THEN simp-a-oCL-b])

have $\langle \text{trace-class } tu2 \rangle$

proof (*rule trace-classI*)

define *BL* *BR* *B* :: $\langle ('a \times 'a) \text{ set} \rangle$ **where** $\langle BL = \text{some-chilbert-basis} \times \{0\} \rangle$

and $\langle BR = \{0\} \times \text{some-chilbert-basis} \rangle$

and $\langle B = BL \cup BR \rangle$

have $\langle BL \cap BR = \{ \} \rangle$

using *is-ortho-set-some-chilbert-basis*

by (*auto simp: BL-def BR-def is-ortho-set-def*)

show $\langle \text{is-onb } B \rangle$

by (*simp add: BL-def BR-def B-def is-onb-prod*)

have $\langle tu2 \geq 0 \rangle$

by (*auto intro!: positive-cblinfunI simp: t2-def u2-def cinner-adj-right tu2-def cblinfun.add-left cinner-pos-if-pos*)

then have *abs-tu2*: $\langle \text{abs-op } tu2 = tu2 \rangle$

by (*metis abs-opI*)

have *abs-t2*: $\langle \text{abs-op } t2 = t2 \rangle$

by (*metis abs-opI positive-cblinfun-squareI t2-def*)

have *abs-u2*: $\langle \text{abs-op } u2 = u2 \rangle$

by (*metis abs-opI positive-cblinfun-squareI u2-def*)

```

from that(1)
have  $\langle (\lambda x. x \cdot_C (\text{abs-op } t2 *_{\mathcal{V}} x)) \text{ abs-summable-on some-chilbert-basis} \rangle$ 
by (simp add: hilbert-schmidt-def t2-def trace-class-iff-summable[OF is-onb-some-chilbert-basis])
then have  $\langle (\lambda x. x \cdot_C (t2 *_{\mathcal{V}} x)) \text{ abs-summable-on some-chilbert-basis} \rangle$ 
by (simp add: abs-t2)
then have sum-BL:  $\langle (\lambda x. x \cdot_C (tu2 *_{\mathcal{V}} x)) \text{ abs-summable-on BL} \rangle$ 
apply (subst asm-rl[of  $\langle BL = (\lambda x. (x,0)) \text{ 'some-chilbert-basis} \rangle]$ )
by (auto simp: BL-def summable-on-reindex inj-on-def o-def tu2-def cblinfun.add-left)
from that(2)
have  $\langle (\lambda x. x \cdot_C (\text{abs-op } u2 *_{\mathcal{V}} x)) \text{ abs-summable-on some-chilbert-basis} \rangle$ 
by (simp add: hilbert-schmidt-def u2-def trace-class-iff-summable[OF is-onb-some-chilbert-basis])
then have  $\langle (\lambda x. x \cdot_C (u2 *_{\mathcal{V}} x)) \text{ abs-summable-on some-chilbert-basis} \rangle$ 
by (simp add: abs-u2)
then have sum-BR:  $\langle (\lambda x. x \cdot_C (tu2 *_{\mathcal{V}} x)) \text{ abs-summable-on BR} \rangle$ 
apply (subst asm-rl[of  $\langle BR = (\lambda x. (0,x)) \text{ 'some-chilbert-basis} \rangle]$ )
by (auto simp: BR-def summable-on-reindex inj-on-def o-def tu2-def cblinfun.add-left)
from sum-BL sum-BR
show  $\langle (\lambda x. x \cdot_C (\text{abs-op } tu2 *_{\mathcal{V}} x)) \text{ abs-summable-on } B \rangle$ 
using  $\langle BL \cap BR = \{\} \rangle$ 
by (auto intro!: summable-on-Un-disjoint simp: B-def abs-tu2)
qed
then have  $\langle \text{hilbert-schmidt } tu \rangle$ 
by (auto simp flip: tu-tu2 intro!: hilbert-schmidtI)
with t-plus-u
show  $\langle \text{hilbert-schmidt } (t + u) \rangle$ 
by (auto intro: hilbert-schmidt-comp-left hilbert-schmidt-comp-right)
qed

```

lemma *hilbert-schmidt-minus*: $\langle \text{hilbert-schmidt } (a - b) \rangle$ **if** $\langle \text{hilbert-schmidt } a \rangle$ **and** $\langle \text{hilbert-schmidt } b \rangle$

```

for  $a \ b :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$ 
using hilbert-schmidt-plus hilbert-schmidt-uminus that(1) that(2) by fastforce

```

typedef (**overloaded**) $('a :: \text{chilbert-space}, 'b :: \text{complex-inner}) \text{ hilbert-schmidt} = \langle \text{Collect } \text{hilbert-schmidt} :: ('a \Rightarrow_{CL} 'b) \text{ set} \rangle$

```

by (auto intro!: exI[of - 0])

```

setup-lifting *type-definition-hilbert-schmidt*

instantiation *hilbert-schmidt* :: $(\text{chilbert-space}, \text{chilbert-space})$

```

{ zero, scaleC, uminus, plus, minus, dist-norm, sgn-div-norm, uniformity-dist, open-uniformity } begin

```

lift-definition *zero-hilbert-schmidt* :: $\langle ('a, 'b) \text{ hilbert-schmidt} \rangle$ **is** 0 **by** *auto*

lift-definition *norm-hilbert-schmidt* :: $\langle ('a, 'b) \text{ hilbert-schmidt} \Rightarrow \text{real} \rangle$ **is** *hilbert-schmidt-norm*

.

lift-definition *scaleC-hilbert-schmidt* :: $\langle \text{complex} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \rangle$ **is** *scaleC*

```

by (simp add: hilbert-schmidt-scaleC)

```

lift-definition *scaleR-hilbert-schmidt* :: $\langle \text{real} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \rangle$

is scaleR
by (*simp add: hilbert-schmidt-scaleR*)
lift-definition *uminus-hilbert-schmidt* :: $\langle ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \rangle$ **is**
uminus
by (*simp add: hilbert-schmidt-uminus*)
lift-definition *minus-hilbert-schmidt* :: $\langle ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \rangle$ **is**
minus
by (*simp add: hilbert-schmidt-minus*)
lift-definition *plus-hilbert-schmidt* :: $\langle ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'b) \text{ hilbert-schmidt} \rangle$ **is**
plus
by (*simp add: hilbert-schmidt-plus*)
definition $\langle \text{dist } a \ b = \text{norm } (a - b) \rangle$ **for** $a \ b :: \langle ('a, 'b) \text{ hilbert-schmidt} \rangle$
definition $\langle \text{sgn } x = \text{inverse } (\text{norm } x) *_{\mathbb{R}} x \rangle$ **for** $x :: \langle ('a, 'b) \text{ hilbert-schmidt} \rangle$
definition $\langle \text{uniformity} = (\text{INF } e \in \{0 < ..\}). \text{principal } \{(x :: ('a, 'b) \text{ hilbert-schmidt}, y). \text{dist } x \ y < e\} \rangle$
definition $\langle \text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{INF } e \in \{0 < ..\}). \text{principal } \{(x, y). \text{norm } (x - y) < e\}. x' = x \longrightarrow y \in U \rangle$ **for** $U :: \langle ('a, 'b) \text{ hilbert-schmidt set} \rangle$
instance
proof *intro-classes*
show $\langle (*_{\mathbb{R}}) \ r = ((*_{\mathbb{C}}) \ (\text{complex-of-real } r)) :: ('a, 'b) \text{ hilbert-schmidt} \Rightarrow - \rangle$ **for** $r :: \text{real}$
apply (*rule ext*)
apply *transfer*
by (*auto simp: scaleR-scaleC*)
show $\langle \text{dist } x \ y = \text{norm } (x - y) \rangle$ **for** $x \ y :: \langle ('a, 'b) \text{ hilbert-schmidt} \rangle$
by (*simp add: dist-hilbert-schmidt-def*)
show $\langle \text{sgn } x = \text{inverse } (\text{norm } x) *_{\mathbb{R}} x \rangle$ **for** $x :: \langle ('a, 'b) \text{ hilbert-schmidt} \rangle$
by (*simp add: Trace-Class.sgn-hilbert-schmidt-def*)
show $\langle \text{uniformity} = (\text{INF } e \in \{0 < ..\}). \text{principal } \{(x :: ('a, 'b) \text{ hilbert-schmidt}, y). \text{dist } x \ y < e\} \rangle$
using *Trace-Class.uniformity-hilbert-schmidt-def* **by** *blast*
show $\langle \text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{uniformity}. x' = x \longrightarrow y \in U) \rangle$ **for** $U :: \langle ('a, 'b) \text{ hilbert-schmidt set} \rangle$
by (*simp add: uniformity-hilbert-schmidt-def open-hilbert-schmidt-def dist-hilbert-schmidt-def*)
qed
end

lift-definition *hs-compose* :: $\langle ('b :: \text{hilbert-space}, 'c :: \text{complex-inner}) \text{ hilbert-schmidt} \Rightarrow ('a :: \text{hilbert-space}, 'b) \text{ hilbert-schmidt} \Rightarrow ('a, 'c) \text{ hilbert-schmidt} \rangle$ **is**
cblinfun-compose
by (*simp add: hilbert-schmidt-comp-right*)

lemma
— [1], 18.8 Proposition
fixes $A :: \langle 'a :: \text{hilbert-space} \Rightarrow_{\text{CL}} 'b :: \text{hilbert-space} \rangle$
shows *trace-class-iff-sqrt-hs*: $\langle \text{trace-class } A \iff \text{hilbert-schmidt } (\text{sqrt-op } (\text{abs-op } A)) \rangle$ (**is** *?thesis1*)
and *trace-class-iff-hs-times-hs*: $\langle \text{trace-class } A \iff (\exists B (C :: 'a \Rightarrow_{\text{CL}} 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge A = B \circ_{\text{CL}} C) \rangle$ (**is** *?thesis2*)
and *trace-class-iff-abs-hs-times-hs*: $\langle \text{trace-class } A \iff (\exists B (C :: 'a \Rightarrow_{\text{CL}} 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge \text{abs-op } A = B \circ_{\text{CL}} C) \rangle$ (**is** *?thesis3*)

```

proof –
  define  $Sq\ W$  where  $\langle Sq = \text{sqrt-op } (abs-op\ A) \rangle$  and  $\langle W = \text{polar-decomposition } A \rangle$ 
  have  $\text{trace-class-sqrt-hs}$ :  $\langle \text{hilbert-schmidt } Sq \rangle$  if  $\langle \text{trace-class } A \rangle$ 
  proof (rule hilbert-schmidtI)
    from that
    have  $\langle \text{trace-class } (abs-op\ A) \rangle$ 
      by simp
    then show  $\langle \text{trace-class } (Sq* o_{CL}\ Sq) \rangle$ 
      by (auto simp: Sq-def positive-hermitianI)
  qed
  have  $\text{sqrt-hs-hs-times-hs}$ :  $\langle \exists B\ (C :: 'a \Rightarrow_{CL}\ 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge A = B\ o_{CL}\ C \rangle$ 
    if  $\langle \text{hilbert-schmidt } Sq \rangle$ 
  proof –
    have  $\langle A = W\ o_{CL}\ abs-op\ A \rangle$ 
      by (simp add: polar-decomposition-correct W-def)
    also have  $\langle \dots = (W\ o_{CL}\ Sq)\ o_{CL}\ Sq \rangle$ 
      by (metis Sq-def abs-op-pos cblinfun-compose-assoc positive-hermitianI sqrt-op-pos sqrt-op-square)
    finally have  $\langle A = (W\ o_{CL}\ Sq)\ o_{CL}\ Sq \rangle$ 
      by –
    then show ?thesis
      apply (rule-tac exI[of -  $\langle W\ o_{CL}\ Sq \rangle$ ], rule-tac exI[of -  $Sq$ ])
      using that by (auto simp add: hilbert-schmidt-comp-right)
  qed
  have  $\text{hs-times-hs-abs-hs-times-hs}$ :  $\langle \exists B\ (C :: 'a \Rightarrow_{CL}\ 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge abs-op\ A = B\ o_{CL}\ C \rangle$ 
    if  $\langle \exists B\ (C :: 'a \Rightarrow_{CL}\ 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge A = B\ o_{CL}\ C \rangle$ 
  proof –
    from that obtain  $B$  and  $C :: 'a \Rightarrow_{CL}\ 'a$  where  $\langle \text{hilbert-schmidt } B \rangle$  and  $\langle \text{hilbert-schmidt } C \rangle$  and  $ABC$ :  $\langle A = B\ o_{CL}\ C \rangle$ 
      by auto
    from  $\langle \text{hilbert-schmidt } B \rangle$ 
    have  $\text{hs-WB}$ :  $\langle \text{hilbert-schmidt } (W* o_{CL}\ B) \rangle$ 
      by (simp add: hilbert-schmidt-comp-right)
    have  $\langle abs-op\ A = W* o_{CL}\ A \rangle$ 
      by (simp add: W-def polar-decomposition-correct)
    also have  $\langle \dots = (W* o_{CL}\ B)\ o_{CL}\ C \rangle$ 
      by (metis ABC cblinfun-compose-assoc)
    finally have  $\langle abs-op\ A = (W* o_{CL}\ B)\ o_{CL}\ C \rangle$ 
      by –
    with  $\text{hs-WB}$   $\langle \text{hilbert-schmidt } C \rangle$ 
    show ?thesis
      by auto
  qed
  have  $\text{abs-hs-times-hs-trace-class}$ :  $\langle \text{trace-class } A \rangle$ 
    if  $\langle \exists B\ (C :: 'a \Rightarrow_{CL}\ 'a). \text{hilbert-schmidt } B \wedge \text{hilbert-schmidt } C \wedge abs-op\ A = B\ o_{CL}\ C \rangle$ 
  proof –
    from that obtain  $B$  and  $C :: 'a \Rightarrow_{CL}\ 'a$  where  $\langle \text{hilbert-schmidt } B \rangle$  and  $\langle \text{hilbert-schmidt } C \rangle$  and  $ABC$ :  $\langle abs-op\ A = B\ o_{CL}\ C \rangle$ 

```

```

    by auto
  from ⟨hilbert-schmidt B⟩
  have ⟨hilbert-schmidt (B*)⟩
    by simp
  then have ⟨(λe. (norm (B* *V e))2) abs-summable-on some-chilbert-basis⟩
  by (metis is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square summable-on-iff-abs-summable-on-real)
  moreover
  from ⟨hilbert-schmidt C⟩
  have ⟨(λe. (norm (C *V e))2) abs-summable-on some-chilbert-basis⟩
  by (metis is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square summable-on-iff-abs-summable-on-real)
  ultimately have ⟨(λe. norm (B* *V e) * norm (C *V e)) abs-summable-on some-chilbert-basis⟩
    apply (rule-tac abs-summable-product)
    by (metis (no-types, lifting) power2-eq-square summable-on-cong)+
  then have ⟨(λe. cinner e (abs-op A *V e)) abs-summable-on some-chilbert-basis⟩
  proof (rule Infinite-Sum.abs-summable-on-comparison-test)
    fix e :: 'a assume ⟨e ∈ some-chilbert-basis⟩
    have ⟨norm (e •C (abs-op A *V e)) = norm ((B* *V e) •C (C *V e))⟩
      by (simp add: ABC cinner-adj-left)
    also have ⟨... ≤ norm (B* *V e) * norm (C *V e)⟩
      by (rule Cauchy-Schwarz-ineq2)
    also have ⟨... = norm (norm (B* *V e) * norm (C *V e))⟩
      by simp
    finally show ⟨cmod (e •C (abs-op A *V e)) ≤ norm (norm (B* *V e) * norm (C *V e))⟩
      by -
  qed
  then show ⟨trace-class A⟩
    apply (rule trace-classI[rotated]) by simp
  qed
  from trace-class-sqrt-hs sqrt-hs-hs-times-hs hs-times-hs-abs-hs-times-hs abs-hs-times-hs-trace-class
  show ?thesis1 and ?thesis2 and ?thesis3
    unfolding Sq-def by metis+
  qed

```

lemma *trace-exists:*

— [1], Proposition 18.9

assumes ⟨is-onb B⟩ **and** ⟨trace-class A⟩

shows ⟨(λe. e •_C (A *_V e)) summable-on B⟩

proof —

obtain $b\ c :: \langle 'a \Rightarrow_{CL} 'a \rangle$ **where** ⟨hilbert-schmidt b⟩ ⟨hilbert-schmidt c⟩ **and** $Abc: \langle A = c *_{o_{CL}} b \rangle$

by (metis abs-op-pos adj-cblinfun-compose assms(2) double-adj hilbert-schmidt-comp-left hilbert-schmidt-comp-right polar-decomposition-correct polar-decomposition-correct' positive-hermitianI trace-class-iff-hs-times-hs)

have ⟨(λe. (norm (b *_V e))²) summable-on B⟩

using ⟨hilbert-schmidt b⟩ assms(1) summable-hilbert-schmidt-norm-square **by** auto

moreover have ⟨(λe. (norm (c *_V e))²) summable-on B⟩

using $\langle \text{hilbert-schmidt } c \rangle$ *assms(1) summable-hilbert-schmidt-norm-square* **by** *auto*
ultimately have $\langle (\lambda e. (((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (c *_{\mathbb{V}} e))^2)) / 2) \text{ summable-on } B \rangle$
by *(auto intro!: summable-on-cdivide summable-on-add)*

then have $\langle (\lambda e. (((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (c *_{\mathbb{V}} e))^2)) / 2) \text{ abs-summable-on } B \rangle$
by *simp*

then have $\langle (\lambda e. e \cdot_{\mathbb{C}} (A *_{\mathbb{V}} e)) \text{ abs-summable-on } B \rangle$
proof *(rule abs-summable-on-comparison-test)*
fix e **assume** $\langle e \in B \rangle$
obtain γ **where** $\langle \text{cmod } \gamma = 1 \rangle$ **and** $\gamma: \langle \gamma * ((b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (c *_{\mathbb{V}} e)) = \text{abs } ((b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (c *_{\mathbb{V}} e)) \rangle$
apply *atomize-elim*
apply *(cases $\langle (b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (c *_{\mathbb{V}} e) \neq 0 \rangle$)*
apply *(rule exI[of - $\langle \text{cnj } (\text{sgn } ((b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (c *_{\mathbb{V}} e))) \rangle$])*
apply *(auto simp add: norm-sgn intro!: norm-one)*
by *(metis (no-types, lifting) abs-mult-sgn cblinfun.scaleC-right cblinfun-mult-right.rep-eq cdot-square-norm complex-norm-square complex-scaleC-def mult.comm-neutral norm-one norm-sgn one-cinner-one)*

have $\langle \text{cmod } (e \cdot_{\mathbb{C}} (A *_{\mathbb{V}} e)) = \text{Re } (\text{abs } (e \cdot_{\mathbb{C}} (A *_{\mathbb{V}} e))) \rangle$
by *(metis abs-nn cmod-Re norm-abs)*
also have $\langle \dots = \text{Re } (\text{abs } ((b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (c *_{\mathbb{V}} e))) \rangle$
by *(metis (mono-tags, lifting) Abs abs-nn cblinfun-apply-cblinfun-compose cinner-adj-left cinner-commute' complex-mod-cnj complex-of-real-cmod norm-abs)*
also have $\langle \dots = \text{Re } (((b *_{\mathbb{V}} e) \cdot_{\mathbb{C}} (\gamma *_{\mathbb{C}} (c *_{\mathbb{V}} e))) \rangle$
by *(simp add: γ)*
also have $\langle \dots \leq ((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (\gamma *_{\mathbb{C}} (c *_{\mathbb{V}} e)))^2) / 2 \rangle$
by *(smt (z3) field-sum-of-halves norm-ge-zero polar-identity-minus zero-le-power-eq-numeral)*
also have $\langle \dots = ((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (c *_{\mathbb{V}} e))^2) / 2 \rangle$
by *(simp add: $\langle \text{cmod } \gamma = 1 \rangle$)*
also have $\langle \dots \leq \text{norm } (((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (c *_{\mathbb{V}} e))^2) / 2) \rangle$
by *simp*
finally show $\langle \text{cmod } (e \cdot_{\mathbb{C}} (A *_{\mathbb{V}} e)) \leq \text{norm } (((\text{norm } (b *_{\mathbb{V}} e))^2 + (\text{norm } (c *_{\mathbb{V}} e))^2) / 2) \rangle$
by $-$

qed

then show *?thesis*
by *(metis abs-summable-summable)*

qed

lemma *trace-plus-prelim:*

assumes $\langle \text{trace-class } a \rangle \langle \text{trace-class } b \rangle \langle \text{trace-class } (a+b) \rangle$

$-$ We will later strengthen this as *trace-plus* and then hide this fact.

shows $\langle \text{trace } (a + b) = \text{trace } a + \text{trace } b \rangle$

by *(auto simp add: assms infsum-add trace-def cblinfun.add-left cinner-add-right intro!: infsum-add trace-exists)*

lemma *hs-times-hs-trace-class*:

fixes $B :: \langle 'b::\text{hilbert-space} \Rightarrow_{CL} 'c::\text{hilbert-space} \rangle$ **and** $C :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$
assumes $\langle \text{hilbert-schmidt } B \rangle$ **and** $\langle \text{hilbert-schmidt } C \rangle$
shows $\langle \text{trace-class } (B \circ_{CL} C) \rangle$

— Not an immediate consequence of *trace-class-iff-hs-times-hs* because here the types of B , C are more general.

proof —

define $A \text{ Sq } W$ **where** $\langle A = B \circ_{CL} C \rangle$ **and** $\langle \text{Sq} = \text{sqrt-op } (\text{abs-op } A) \rangle$ **and** $\langle W = \text{polar-decomposition } A \rangle$

from $\langle \text{hilbert-schmidt } B \rangle$

have $\text{hs-WB} :: \langle \text{hilbert-schmidt } (W^* \circ_{CL} B) \rangle$

by (*simp add: hilbert-schmidt-comp-right*)

have $\langle \text{abs-op } A = W^* \circ_{CL} A \rangle$

by (*simp add: W-def polar-decomposition-correct'*)

also have $\langle \dots = (W^* \circ_{CL} B) \circ_{CL} C \rangle$

by (*metis A-def cblinfun-compose-assoc*)

finally have $\text{abs-op-A} :: \langle \text{abs-op } A = (W^* \circ_{CL} B) \circ_{CL} C \rangle$

by —

from $\langle \text{hilbert-schmidt } (W^* \circ_{CL} B) \rangle$

have $\langle \text{hilbert-schmidt } (B^* \circ_{CL} W) \rangle$

by (*simp add: assms(1) hilbert-schmidt-comp-left*)

then have $\langle (\lambda e. (\text{norm } ((B^* \circ_{CL} W) *_V e))^2) \text{ abs-summable-on some-chilbert-basis} \rangle$

by (*metis is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square summable-on-iff-abs-summable-on-real*)

moreover from $\langle \text{hilbert-schmidt } C \rangle$

have $\langle (\lambda e. (\text{norm } (C *_V e))^2) \text{ abs-summable-on some-chilbert-basis} \rangle$

by (*metis is-onb-some-chilbert-basis summable-hilbert-schmidt-norm-square summable-on-iff-abs-summable-on-real*)

ultimately have $\langle (\lambda e. \text{norm } ((B^* \circ_{CL} W) *_V e) * \text{norm } (C *_V e)) \text{ abs-summable-on some-chilbert-basis} \rangle$

apply (*rule-tac abs-summable-product*)

by (*metis (no-types, lifting) power2-eq-square summable-on-cong*)+

then have $\langle (\lambda e. \text{cinner } e (\text{abs-op } A *_V e)) \text{ abs-summable-on some-chilbert-basis} \rangle$

proof (*rule Infinite-Sum.abs-summable-on-comparison-test*)

fix $e :: 'a$ **assume** $\langle e \in \text{some-chilbert-basis} \rangle$

have $\langle \text{norm } (e \cdot_C (\text{abs-op } A *_V e)) = \text{norm } (((B^* \circ_{CL} W) *_V e) \cdot_C (C *_V e)) \rangle$

by (*simp add: abs-op-A cinner-adj-left cinner-adj-right*)

also have $\langle \dots \leq \text{norm } ((B^* \circ_{CL} W) *_V e) * \text{norm } (C *_V e) \rangle$

by (*rule Cauchy-Schwarz-ineq2*)

also have $\langle \dots = \text{norm } (\text{norm } ((B^* \circ_{CL} W) *_V e) * \text{norm } (C *_V e)) \rangle$

by *simp*

finally show $\langle \text{cmod } (e \cdot_C (\text{abs-op } A *_V e)) \leq \text{norm } (\text{norm } ((B^* \circ_{CL} W) *_V e) * \text{norm } (C *_V e)) \rangle$

by —

qed

then show $\langle \text{trace-class } A \rangle$

apply (*rule trace-classI[rotated]*) **by** *simp*

qed


```

instantiation hilbert-schmidt :: (hilbert-space, hilbert-space) complex-vector begin
instance
proof intro-classes
  fix a b c :: ⟨('a,'b) hilbert-schmidt⟩
  show ⟨a + b + c = a + (b + c)⟩
    apply transfer by auto
  show ⟨a + b = b + a⟩
    apply transfer by auto
  show ⟨0 + a = a⟩
    apply transfer by auto
  show ⟨- a + a = 0⟩
    apply transfer by auto
  show ⟨a - b = a + - b⟩
    apply transfer by auto
  show ⟨r *C (a + b) = r *C a + r *C b⟩ for r :: complex
    apply transfer
    using scaleC-add-right
    by auto
  show ⟨(r + r') *C a = r *C a + r' *C a⟩ for r r' :: complex
    apply transfer
    by (simp add: scaleC-add-left)
  show ⟨r *C r' *C a = (r * r') *C a⟩ for r r'
    apply transfer by auto
  show ⟨1 *C a = a⟩
    apply transfer by auto
qed
end

```

```

instantiation hilbert-schmidt :: (hilbert-space, hilbert-space) complex-inner begin
lift-definition cinner-hilbert-schmidt :: ⟨('a,'b) hilbert-schmidt ⇒ ('a,'b) hilbert-schmidt ⇒ complex⟩ is
  ⟨λb c. trace (b* oCL c)⟩ .
instance
proof intro-classes
  fix x y z :: ⟨('a,'b) hilbert-schmidt⟩
  show ⟨x ·C y = cnj (y ·C x)⟩
  proof (transfer; unfold mem-Collect-eq)
    fix x y :: ⟨'a ⇒CL 'b⟩
    assume hs-xy: ⟨hilbert-schmidt x⟩ ⟨hilbert-schmidt y⟩
    then have tc: ⟨trace-class ((y* oCL x)*)⟩ ⟨trace-class (y* oCL x)⟩
      by (auto intro!: hs-times-hs-trace-class)
    have ⟨trace (x* oCL y) = trace ((y* oCL x)*)⟩
      by simp
    also have ⟨... = cnj (trace (y* oCL x))⟩
      using tc trace-adj-prelim by blast
    finally show ⟨trace (x* oCL y) = cnj (trace (y* oCL x))⟩
      by -
  qed

```

```

show ⟨(x + y) ·C z = x ·C z + y ·C z⟩
proof (transfer; unfold mem-Collect-eq)
  fix x y z :: ⟨'a ⇒CL 'b⟩
  assume [simp]: ⟨hilbert-schmidt x⟩ ⟨hilbert-schmidt y⟩ ⟨hilbert-schmidt z⟩
  have [simp]: ⟨trace-class ((x + y)* oCL z)⟩ ⟨trace-class (x* oCL z)⟩ ⟨trace-class (y* oCL z)⟩
    by (auto intro!: hs-times-hs-trace-class hilbert-schmidt-adj hilbert-schmidt-plus)
  then have [simp]: ⟨trace-class ((x* oCL z) + (y* oCL z))⟩
    by (simp add: adj-plus cblinfun-compose-add-left)
  show ⟨trace ((x + y)* oCL z) = trace (x* oCL z) + trace (y* oCL z)⟩
    by (simp add: trace-plus-prelim adj-plus cblinfun-compose-add-left hs-times-hs-trace-class)
qed
show ⟨r *C x ·C y = cnj r * (x ·C y)⟩ for r
  apply transfer
  by (simp add: trace-scaleC)
show ⟨0 ≤ x ·C x⟩
  apply transfer
  by (simp add: positive-cblinfun-squareI trace-pos)
show ⟨(x ·C x = 0) = (x = 0)⟩
proof (transfer; unfold mem-Collect-eq)
  fix x :: ⟨'a ⇒CL 'b⟩
  assume [simp]: ⟨hilbert-schmidt x⟩
  have ⟨trace (x* oCL x) = 0 ⟷ trace (abs-op (x* oCL x)) = 0⟩
    by (metis abs-op-def positive-cblinfun-squareI sqrt-op-unique)
  also have ⟨... ⟷ trace-norm (x* oCL x) = 0⟩
    by simp
  also have ⟨... ⟷ x* oCL x = 0⟩
    by (metis ⟨hilbert-schmidt x⟩ hilbert-schmidt-def trace-norm-0 trace-norm-nondegenerate)
  also have ⟨... ⟷ x = 0⟩
    using cblinfun-compose-zero-right op-square-nondegenerate by blast
  finally show ⟨trace (x* oCL x) = 0 ⟷ x = 0⟩
    by -
qed
show ⟨norm x = sqrt (cmod (x ·C x))⟩
  apply transfer
  apply (auto simp: hilbert-schmidt-norm-def)
  by (metis Re-complex-of-real cmod-Re positive-cblinfun-squareI trace-norm-pos trace-pos)
qed
end

```

lemma *hilbert-schmidt-norm-triangle-ineq*:

— [1], Proposition 18.6 (e). We do not use their proof but get it as a simple corollary of the instantiation of *hilbert-schmidt* as an inner product space. The proof by Conway would probably allow us to weaken the sort of *'b* to *complex-inner*.

```

fixes a b :: ⟨'a::hilbert-space ⇒CL 'b::hilbert-space⟩
assumes ⟨hilbert-schmidt a⟩ ⟨hilbert-schmidt b⟩
shows ⟨hilbert-schmidt-norm (a + b) ≤ hilbert-schmidt-norm a + hilbert-schmidt-norm b⟩
proof -
  define a' b' where ⟨a' = Abs-hilbert-schmidt a⟩ and ⟨b' = Abs-hilbert-schmidt b⟩
  have [transfer-rule]: ⟨cr-hilbert-schmidt a a'⟩

```

by (simp add: Abs-hilbert-schmidt-inverse a'-def assms(1) cr-hilbert-schmidt-def)
 have [transfer-rule]: ⟨cr-hilbert-schmidt b b'⟩
 by (simp add: Abs-hilbert-schmidt-inverse assms(2) b'-def cr-hilbert-schmidt-def)
 have ⟨norm (a' + b') ≤ norm a' + norm b'⟩
 by (rule norm-triangle-ineq)
 then show ?thesis
 apply transfer
 by –
qed

lift-definition adj-hs :: ⟨('a::hilbert-space, 'b::hilbert-space) hilbert-schmidt ⇒ ('b, 'a) hilbert-schmidt⟩
 is adj
 by auto

lemma adj-hs-plus: ⟨adj-hs (x + y) = adj-hs x + adj-hs y⟩
 apply transfer
 by (simp add: adj-plus)

lemma adj-hs-minus: ⟨adj-hs (x - y) = adj-hs x - adj-hs y⟩
 apply transfer
 by (simp add: adj-minus)

lemma norm-adj-hs[simp]: ⟨norm (adj-hs x) = norm x⟩
 apply transfer
 by simp

lemma hilbert-schmidt-norm-geq-norm:

— [1], Proposition 18.6 (c)
 assumes ⟨hilbert-schmidt a⟩
 shows ⟨norm a ≤ hilbert-schmidt-norm a⟩

proof –

have ⟨norm (a x) ≤ hilbert-schmidt-norm a⟩ if ⟨norm x = 1⟩ for x

proof –

obtain B where ⟨x ∈ B⟩ and ⟨is-onb B⟩

using orthonormal-basis-exists[of {x}] ⟨norm x = 1⟩

by force

have ⟨(norm (a x))² = (∑_{∞ x ∈ {x}. (norm (a x))²)⟩}

by simp

also have ⟨... ≤ (∑_{∞ x ∈ B. (norm (a x))²)⟩}

apply (rule infsum-mono-neutral)

by (auto intro!: summable-hilbert-schmidt-norm-square ⟨is-onb B⟩ assms ⟨x ∈ B⟩)

also have ⟨... = (hilbert-schmidt-norm a)²⟩

using infsum-hilbert-schmidt-norm-square[OF ⟨is-onb B⟩ assms]

by –

finally show ?thesis

by force

qed

then show ?thesis

by (auto intro!: norm-cblinfun-bound-unit)

qed

12.4 Trace-norm and trace-class, continued

lemma *trace-class-comp-left*: $\langle \text{trace-class } (a \circ_{CL} b) \rangle$ **if** $\langle \text{trace-class } a \rangle$ **for** $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$

— [1], Theorem 18.11 (a)

proof —

from $\langle \text{trace-class } a \rangle$

obtain $C :: \langle 'a \Rightarrow_{CL} 'b \rangle$ **and** B **where** $\langle \text{hilbert-schmidt } C \rangle$ **and** $\langle \text{hilbert-schmidt } B \rangle$ **and** aCB :
 $\langle a = C \circ_{CL} B \rangle$

by (*auto simp: trace-class-iff-hs-times-hs*)

from $\langle \text{hilbert-schmidt } B \rangle$ **have** $\langle \text{hilbert-schmidt } (B \circ_{CL} b) \rangle$

by (*simp add: hilbert-schmidt-comp-left*)

with $\langle \text{hilbert-schmidt } C \rangle$ **have** $\langle \text{trace-class } (C \circ_{CL} (B \circ_{CL} b)) \rangle$

using *hs-times-hs-trace-class* **by** *blast*

then show *?thesis*

by (*simp flip: aCB cblinfun-compose-assoc*)

qed

lemma *trace-class-comp-right*: $\langle \text{trace-class } (a \circ_{CL} b) \rangle$ **if** $\langle \text{trace-class } b \rangle$ **for** $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$

— [1], Theorem 18.11 (a)

proof —

from $\langle \text{trace-class } b \rangle$

obtain $C :: \langle 'c \Rightarrow_{CL} 'a \rangle$ **and** B **where** $\langle \text{hilbert-schmidt } C \rangle$ **and** $\langle \text{hilbert-schmidt } B \rangle$ **and** aCB :
 $\langle b = C \circ_{CL} B \rangle$

by (*auto simp: trace-class-iff-hs-times-hs*)

from $\langle \text{hilbert-schmidt } C \rangle$ **have** $\langle \text{hilbert-schmidt } (a \circ_{CL} C) \rangle$

by (*simp add: hilbert-schmidt-comp-right*)

with $\langle \text{hilbert-schmidt } B \rangle$ **have** $\langle \text{trace-class } ((a \circ_{CL} C) \circ_{CL} B) \rangle$

using *hs-times-hs-trace-class* **by** *blast*

then show *?thesis*

by (*simp flip: aCB add: cblinfun-compose-assoc*)

qed

lemma

fixes $B :: \langle 'a::\text{hilbert-space set} \rangle$ **and** $A :: \langle 'a \Rightarrow_{CL} 'a \rangle$ **and** $b :: \langle 'b::\text{hilbert-space} \Rightarrow_{CL} 'c::\text{hilbert-space} \rangle$ **and** $c :: \langle 'c \Rightarrow_{CL} 'b \rangle$

shows *trace-alt-def*:

— [1], Proposition 18.9

$\langle \text{is-onb } B \implies \text{trace } A = (\text{if } \text{trace-class } A \text{ then } (\sum_{e \in B} e \cdot_C (A *_{\mathcal{V}} e)) \text{ else } 0) \rangle$

and *trace-hs-times-hs*: $\langle \text{hilbert-schmidt } c \implies \text{hilbert-schmidt } b \implies \text{trace } (c \circ_{CL} b) =$

$((\text{of-real } (\text{hilbert-schmidt-norm } ((c*) + b)))^2 - (\text{of-real } (\text{hilbert-schmidt-norm } ((c*) - b)))^2 -$

$i * (\text{of-real } (\text{hilbert-schmidt-norm } (((c*) + i *_{\mathcal{C}} b))))^2 +$

$i * (\text{of-real } (\text{hilbert-schmidt-norm } (((c*) - i *_{\mathcal{C}} b))))^2) / 4 \rangle$

proof —

have *ecbe-has-sum*: $\langle ((\lambda e. e \cdot_C ((c \circ_{CL} b) *_{\mathcal{V}} e)) \text{ has-sum}$

```

    ((of-real (hilbert-schmidt-norm ((c*) + b)))2 - (of-real (hilbert-schmidt-norm ((c*) -
b)))2 -
      i * (of-real (hilbert-schmidt-norm ((c*) + i *C b)))2 +
      i * (of-real (hilbert-schmidt-norm ((c*) - i *C b)))2 / 4) B)
  if <is-onb B> and <hilbert-schmidt c> and <hilbert-schmidt b> for B :: <'y::hilbert-space set>
and c :: <'x::hilbert-space =>CL 'y> and b
  apply (simp flip: cinner-adj-left[of c])
  apply (subst cdot-norm)
  using that by (auto simp add: field-class.field-divide-inverse infsum-cmult-left'
    simp del: Num.inverse-eq-divide-numeral
    simp flip: cblinfun.add-left cblinfun.diff-left cblinfun.scaleC-left of-real-power
    intro!: has-sum-cmult-left has-sum-cmult-right has-sum-add has-sum-diff has-sum-of-real
    has-sum-hilbert-schmidt-norm-square hilbert-schmidt-plus hilbert-schmidt-minus hilbert-schmidt-scaleC)

  then have ecbe-infsum: <(∑∞ e∈B. e •C ((c oCL b) *V e)) =
    ((of-real (hilbert-schmidt-norm ((c*) + b)))2 - (of-real (hilbert-schmidt-norm ((c*) -
b)))2 -
      i * (of-real (hilbert-schmidt-norm ((c*) + i *C b)))2 +
      i * (of-real (hilbert-schmidt-norm ((c*) - i *C b)))2 / 4)>
  if <is-onb B> and <hilbert-schmidt c> and <hilbert-schmidt b> for B :: <'y::hilbert-space set>
and c :: <'x::hilbert-space =>CL 'y> and b
  using infsumI that(1) that(2) that(3) by blast

  show <trace (c oCL b) =
    ((of-real (hilbert-schmidt-norm ((c*) + b)))2 - (of-real (hilbert-schmidt-norm ((c*) -
b)))2 -
      i * (of-real (hilbert-schmidt-norm ((c*) + i *C b)))2 +
      i * (of-real (hilbert-schmidt-norm ((c*) - i *C b)))2 / 4)>
  if <hilbert-schmidt c> and <hilbert-schmidt b>
  proof -
  from that have tc-cb[simp]: <trace-class (c oCL b)>
  by (rule hs-times-hs-trace-class)
  show ?thesis
  using ecbe-infsum[OF is-onb-some-hilbert-basis <hilbert-schmidt c> <hilbert-schmidt b>]
  apply (simp only: trace-def)
  by simp
qed

  show <trace A = (if trace-class A then (∑∞ e∈B. e •C (A *V e)) else 0)> if <is-onb B>
  proof (cases <trace-class A>)
  case True
  with that
  obtain b c :: <'a =>CL 'a> where hs-b: <hilbert-schmidt b> and hs-c: <hilbert-schmidt c> and
  Acb: <A = c oCL b>
  by (metis trace-class-iff-hs-times-hs)
  have [simp]: <trace-class (c oCL b)>
  using Acb True by auto

  show <trace A = (if trace-class A then (∑∞ e∈B. e •C (A *V e)) else 0)>

```

```

    using ecbe-infsum[OF is-onb-some-chilbert-basis hs-c hs-b]
    using ecbe-infsum[OF ‹is-onb B› hs-c hs-b]
    by (simp only: Acb trace-def)
next
  case False
  then show ?thesis
    by (simp add: trace-def)
qed
qed

```

```

lemma trace-ket-sum:
  fixes A :: ‹'a ell2 ⇒CL 'a ell2›
  assumes ‹trace-class A›
  shows ‹trace A = (∑∞ e. ket e •C (A *V ket e))›
  apply (subst infsum-reindex[where h=ket, unfolded o-def, symmetric])
  by (auto simp: ‹trace-class A› trace-alt-def[OF is-onb-ket] is-onb-ket)

```

```

lemma trace-one-dim[simp]: ‹trace A = one-dim-iso A› for A :: ‹'a::one-dim ⇒CL 'a›
proof –
  have onb: ‹is-onb {1 :: 'a}›
    by auto
  have ‹trace A = 1 •C (A *V 1)›
    apply (subst trace-alt-def)
    apply (fact onb)
    by simp
  also have ‹... = one-dim-iso A›
    by (simp add: cinner-cblinfun-def one-dim-iso-def)
  finally show ?thesis
    by –
qed

```

```

lemma trace-has-sum:
  assumes ‹is-onb E›
  assumes ‹trace-class t›
  shows ‹((λe. e •C (t *V e)) has-sum trace t) E›
  using assms(1) assms(2) trace-alt-def trace-exists by fastforce

```

```

lemma trace-sandwich-isometry[simp]: ‹trace (sandwich U A) = trace A› if ‹isometry U›
proof (cases ‹trace-class A›)
  case True
  note True[simp]
  have ‹is-ortho-set ((*V) U ‹some-chilbert-basis›)›
    unfolding is-ortho-set-def
    apply auto
    apply (metis (no-types, opaque-lifting) cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply
      cinner-adj-right is-ortho-set-def is-ortho-set-some-chilbert-basis isometry-def that)
    by (metis is-normal-some-chilbert-basis isometry-preserves-norm norm-zero that zero-neq-one)

```

moreover have $\langle x \in (*_V) U \text{ 'some-chilbert-basis} \implies \text{norm } x = 1 \rangle$ for x
 using *is-normal-some-chilbert-basis isometry-preserves-norm* that by *fastforce*
 ultimately obtain B where $BU: \langle B \supseteq U \text{ 'some-chilbert-basis} \rangle$ and $\langle \text{is-onb } B \rangle$
 apply *atomize-elim*
 by (rule *orthonormal-basis-exists*)

have $xUy: \langle x \cdot_C U y = 0 \rangle$ if $xBU: \langle x \in B - U \text{ 'some-chilbert-basis} \rangle$ for $x y$

proof –

from that $\langle \text{is-onb } B \rangle \langle \text{isometry } U \rangle$

have $\langle x \cdot_C z = 0 \rangle$ if $\langle z \in U \text{ 'some-chilbert-basis} \rangle$ for z

using that by (*metis BU Diff-iff in-mono is-onb-def is-ortho-set-def*)

then have $\langle x \in \text{orthogonal-complement} (\text{closure} (\text{cspan} (U \text{ 'some-chilbert-basis}))) \rangle$

by (*metis orthogonal-complementI orthogonal-complement-of-closure orthogonal-complement-of-cspan*)

then have $\langle x \in \text{space-as-set} (- \text{ccspan} (U \text{ 'some-chilbert-basis})) \rangle$

by (*simp add: ccspan.rep-eq uminus-ccsubspace.rep-eq*)

then have $\langle x \in \text{space-as-set} (- (U *_S \text{top})) \rangle$

by (*metis cblinfun-image-ccspan ccspan-some-chilbert-basis*)

moreover have $\langle U y \in \text{space-as-set} (U *_S \text{top}) \rangle$

by *simp*

ultimately show *?thesis*

apply (*transfer fixing: x y*)

using *orthogonal-complement-orthoI* by *blast*

qed

have [*simp*]: $\langle \text{trace-class} (\text{sandwich } U A) \rangle$

by (*simp add: sandwich.rep-eq trace-class-comp-left trace-class-comp-right*)

have $\langle \text{trace} (\text{sandwich } U A) = (\sum_{\infty} e \in B. e \cdot_C ((\text{sandwich } U *_V A) *_V e)) \rangle$

using $\langle \text{is-onb } B \rangle$ *trace-alt-def* by *fastforce*

also have $\langle \dots = (\sum_{\infty} e \in U \text{ 'some-chilbert-basis}. e \cdot_C ((\text{sandwich } U *_V A) *_V e)) \rangle$

apply (rule *infsum-cong-neutral*)

using *BU xUy* by (*auto simp: sandwich-apply*)

also have $\langle \dots = (\sum_{\infty} e \in \text{some-chilbert-basis}. U e \cdot_C ((\text{sandwich } U *_V A) *_V U e)) \rangle$

apply (*subst infsum-reindex*)

apply (*metis cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply inj-on-inverseI isometry-def that*)

by (*auto simp: o-def*)

also have $\langle \dots = (\sum_{\infty} e \in \text{some-chilbert-basis}. e \cdot_C A e) \rangle$

apply (rule *infsum-cong*)

apply (*simp add: sandwich-apply flip: cinner-adj-right*)

by (*metis cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply isometry-def that*)

also have $\langle \dots = \text{trace } A \rangle$

by (*simp add: trace-def*)

finally show *?thesis*

by –

next

case *False*

note *False[simp]*

then have [*simp*]: $\langle \neg \text{trace-class} (\text{sandwich } U A) \rangle$

by (*smt (verit, ccfv-SIG) cblinfun-assoc-left(1) cblinfun-compose-id-left cblinfun-compose-id-right*)

isometryD sandwich.rep-eq that trace-class-comp-left trace-class-comp-right
show *?thesis*
by (*simp add: trace-def*)
qed

lemma *circularity-of-trace:*

— [1], Theorem 18.11 (e)

fixes $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$ **and** $b :: \langle 'b \Rightarrow_{CL} 'a \rangle$

— The proof from [1] only work for square operators, we generalize it

assumes $\langle \text{trace-class } a \rangle$

— Actually, $\text{trace-class } (a \circ_{CL} b) \wedge \text{trace-class } (b \circ_{CL} a)$ is sufficient here, see [3] but the proof is more involved. Only $\text{trace-class } (a \circ_{CL} b)$ is not sufficient, see [4].

shows $\langle \text{trace } (a \circ_{CL} b) = \text{trace } (b \circ_{CL} a) \rangle$

proof —

define $a' b' :: \langle ('a \times 'b) \Rightarrow_{CL} ('a \times 'b) \rangle$

where $\langle a' = \text{cblinfun-right } o_{CL} a \circ_{CL} \text{cblinfun-left} \rangle$

and $\langle b' = \text{cblinfun-left } o_{CL} b \circ_{CL} \text{cblinfun-right} \rangle$

have $\langle \text{trace-class } a' \rangle$

by (*simp add: a'-def assms trace-class-comp-left trace-class-comp-right*)

have *circ'*: $\langle \text{trace } (a' \circ_{CL} b') = \text{trace } (b' \circ_{CL} a') \rangle$

proof —

from $\langle \text{trace-class } a' \rangle$

obtain $B C :: \langle ('a \times 'b) \Rightarrow_{CL} ('a \times 'b) \rangle$ **where** $\langle \text{hilbert-schmidt } B \rangle$ **and** $\langle \text{hilbert-schmidt } C \rangle$
and $aCB: \langle a' = C * o_{CL} B \rangle$

by (*metis abs-op-pos adj-cblinfun-compose double-adj hilbert-schmidt-comp-left hilbert-schmidt-comp-right polar-decomposition-correct polar-decomposition-correct' positive-hermitianI trace-class-iff-hs-times-hs*)

have $hs-iB: \langle \text{hilbert-schmidt } (i *_{CL} B) \rangle$

by (*metis Abs-hilbert-schmidt-inverse Rep-hilbert-schmidt hilbert-schmidt B mem-Collect-eq scaleC-hilbert-schmidt.rep-eq*)

have $*$: $\langle \text{Re } (\text{trace } (C * o_{CL} B)) = \text{Re } (\text{trace } (C \circ_{CL} B*)) \rangle$ **if** $\langle \text{hilbert-schmidt } B \rangle \langle \text{hilbert-schmidt } C \rangle$ **for** $B C :: \langle ('a \times 'b) \Rightarrow_{CL} ('a \times 'b) \rangle$

proof —

from *that*

obtain $B' C'$ **where** $\langle B = \text{Rep-hilbert-schmidt } B' \rangle$ **and** $\langle C = \text{Rep-hilbert-schmidt } C' \rangle$

by (*meson Rep-hilbert-schmidt-cases mem-Collect-eq*)

then have [*transfer-rule*]: $\langle \text{cr-hilbert-schmidt } B B' \rangle \langle \text{cr-hilbert-schmidt } C C' \rangle$

by (*simp-all add: cr-hilbert-schmidt-def*)

have $\langle \text{Re } (\text{trace } (C * o_{CL} B)) = \text{Re } (C' \cdot_{CL} B') \rangle$

apply transfer by simp

also have $\langle \dots = (1/4) * ((\text{norm } (C' + B'))^2 - (\text{norm } (C' - B'))^2) \rangle$

by (*simp add: cdot-norm*)

also have $\langle \dots = (1/4) * ((\text{norm } (\text{adj-hs } C' + \text{adj-hs } B'))^2 - (\text{norm } (\text{adj-hs } C' - \text{adj-hs } B'))^2) \rangle$

by (*simp add: flip: adj-hs-plus adj-hs-minus*)


```

    also have ⟨... = Re (adj-hs C' ·C adj-hs B')⟩
      by (simp add: cdot-norm)
    also have ⟨... = Re (trace (C oCL B*))⟩
      apply transfer by simp
    finally show ?thesis
      by -
  qed
  have **: ⟨trace (C* oCL B) = cnj (trace (C oCL B*))⟩ if ⟨hilbert-schmidt B⟩ ⟨hilbert-schmidt
C⟩ for B C :: ⟨('a×'b) ⇒CL ('a×'b)⟩
    using *[OF ⟨hilbert-schmidt B⟩ ⟨hilbert-schmidt C⟩]
    using *[OF hilbert-schmidt-scaleC[of - i, OF ⟨hilbert-schmidt B⟩] ⟨hilbert-schmidt C⟩]
    apply (auto simp: trace-scaleC cblinfun-compose-uminus-right trace-uminus)
    by (smt (verit, best) cnj.code complex.collapse)

  have ⟨trace (b' oCL a') = trace ((b' oCL C*) oCL B)⟩
    by (simp add: aCB cblinfun-assoc-left(1))
  also from ** ⟨hilbert-schmidt B⟩ ⟨hilbert-schmidt C⟩ have ⟨... = cnj (trace ((C oCL b')*
oCL B*))⟩
    by (metis adj-cblinfun-compose double-adj hilbert-schmidt-comp-left)
  also have ⟨... = cnj (trace (C oCL (B oCL b')*))⟩
    by (simp add: cblinfun-assoc-left(1))
  also from ** ⟨hilbert-schmidt B⟩ ⟨hilbert-schmidt C⟩ have ⟨... = trace (C* oCL (B oCL
b'))⟩
    by (simp add: hilbert-schmidt-comp-left)
  also have ⟨... = trace (a' oCL b')⟩
    by (simp add: aCB cblinfun-compose-assoc)
  finally show ?thesis
    by simp
  qed

  have ⟨trace (a oCL b) = trace (sandwich cblinfun-right (a oCL b) :: ('a×'b) ⇒CL ('a×'b))⟩
    by simp
  also have ⟨... = trace (sandwich cblinfun-right (a oCL (cblinfun-left* oCL (cblinfun-left ::
⇒CL('a×'b))) oCL b) :: ('a×'b) ⇒CL ('a×'b))⟩
    by simp
  also have ⟨... = trace (a' oCL b')⟩
    by (simp only: a'-def b'-def sandwich-apply cblinfun-compose-assoc)
  also have ⟨... = trace (b' oCL a')⟩
    by (rule circ')
  also have ⟨... = trace (sandwich cblinfun-left (b oCL (cblinfun-right* oCL (cblinfun-right ::
⇒CL('a×'b))) oCL a) :: ('a×'b) ⇒CL ('a×'b))⟩
    by (simp only: a'-def b'-def sandwich-apply cblinfun-compose-assoc)
  also have ⟨... = trace (sandwich cblinfun-left (b oCL a) :: ('a×'b) ⇒CL ('a×'b))⟩
    by simp
  also have ⟨... = trace (b oCL a)⟩
    by simp
  finally show ⟨trace (a oCL b) = trace (b oCL a)⟩
    by -
  qed

```

lemma *trace-butterfly-comp*: $\langle \text{trace} (\text{butterfly } x \ y \ o_{CL} \ a) = y \cdot_C (a \ *_{V} \ x) \rangle$
proof –
have $\langle \text{trace} (\text{butterfly } x \ y \ o_{CL} \ a) = \text{trace} (\text{vector-to-cblinfun } y^* \ o_{CL} \ (a \ o_{CL} \ (\text{vector-to-cblinfun } x \ :: \ \text{complex} \Rightarrow_{CL} \ -))) \rangle$
unfolding *butterfly-def*
by (*metis cblinfun-compose-assoc circularity-of-trace trace-class-finite-dim*)
also have $\langle \dots = y \cdot_C (a \ *_{V} \ x) \rangle$
by (*simp add: one-dim-iso-cblinfun-comp*)
finally show *?thesis*
by –
qed

lemma *trace-butterfly*: $\langle \text{trace} (\text{butterfly } x \ y) = y \cdot_C \ x \rangle$
using *trace-butterfly-comp* [**where** *a=id-cblinfun*] **by** *auto*

lemma *trace-butterfly-comp'*: $\langle \text{trace} (a \ o_{CL} \ \text{butterfly } x \ y) = y \cdot_C (a \ *_{V} \ x) \rangle$
by (*simp add: cblinfun-comp-butterfly trace-butterfly*)

lemma *trace-norm-adj*[*simp*]: $\langle \text{trace-norm} (a^*) = \text{trace-norm } a \rangle$
– [1], Theorem 18.11 (f)
proof –
have $\langle \text{of-real} (\text{trace-norm} (a^*)) = \text{trace} (\text{sandwich} (\text{polar-decomposition } a) \ *_{V} \ \text{abs-op } a) \rangle$
by (*metis abs-op-adj trace-abs-op*)
also have $\langle \dots = \text{trace} ((\text{polar-decomposition } a)^* \ o_{CL} \ (\text{polar-decomposition } a) \ o_{CL} \ \text{abs-op } a) \rangle$
by (*metis (no-types, lifting) abs-op-adj cblinfun-compose-assoc circularity-of-trace double-adj polar-decomposition-correct polar-decomposition-correct' sandwich.rep-eq trace-class-abs-op trace-def*)
also have $\langle \dots = \text{trace} (\text{abs-op } a) \rangle$
by (*simp add: cblinfun-compose-assoc polar-decomposition-correct polar-decomposition-correct'*)
also have $\langle \dots = \text{of-real} (\text{trace-norm } a) \rangle$
by *simp*
finally show *?thesis*
by *simp*
qed

lemma *trace-class-adj*[*simp*]: $\langle \text{trace-class} (a^*) \rangle$ **if** $\langle \text{trace-class } a \rangle$
proof (*rule ccontr*)
assume *asm*: $\langle \neg \text{trace-class} (a^*) \rangle$
then have $\langle \text{trace-norm} (a^*) = 0 \rangle$
by (*simp add: trace-norm-def*)
then have $\langle \text{trace-norm } a = 0 \rangle$
by (*metis trace-norm-adj*)
then have $\langle a = 0 \rangle$
using *that trace-norm-nondegenerate* **by** *blast*
then have $\langle \text{trace-class} (a^*) \rangle$
by *simp*
with *asm* **show** *False*

by *simp*
qed

lift-definition *adj-tc* :: $\langle 'a::\text{chilbert-space}, 'b::\text{chilbert-space} \rangle \text{trace-class} \Rightarrow ('b, 'a) \text{trace-class}$
is *adj*
by *simp*

lift-definition *selfadjoint-tc* :: $\langle 'a::\text{chilbert-space}, 'a \rangle \text{trace-class} \Rightarrow \text{bool}$ is *selfadjoint*.

lemma *selfadjoint-tc-def'*: $\langle \text{selfadjoint-tc } a \longleftrightarrow \text{adj-tc } a = a \rangle$
apply *transfer*
using *selfadjoint-def* by *blast*

lemma *trace-class-finite-dim'*[*simp*]: $\langle \text{trace-class } A \rangle$ for $A :: 'a::\text{chilbert-space} \Rightarrow_{CL} 'b::\{\text{cfinite-dim}, \text{chilbert-space}\}$
by (*metis double-adj trace-class-adj trace-class-finite-dim*)

lemma *trace-class-plus*[*simp*]:

fixes $t\ u :: 'a::\text{chilbert-space} \Rightarrow_{CL} 'b::\text{chilbert-space}$

assumes $\langle \text{trace-class } t \rangle$ and $\langle \text{trace-class } u \rangle$

shows $\langle \text{trace-class } (t + u) \rangle$

— [1], Theorem 18.11 (a). However, we use a completely different proof that does not need the fact that trace class operators can be diagonalized with countably many diagonal elements.

proof —

define $II :: 'a \Rightarrow_{CL} ('a \times 'a)$ where $\langle II = \text{cblinfun-left} + \text{cblinfun-right} \rangle$

define $JJ :: ('b \times 'b) \Rightarrow_{CL} 'b$ where $\langle JJ = \text{cblinfun-left}^* + \text{cblinfun-right}^* \rangle$

define $tu :: ('a \times 'a) \Rightarrow_{CL} ('b \times 'b)$ where $\langle tu = (\text{cblinfun-left } o_{CL} t o_{CL} \text{cblinfun-left}^*) + (\text{cblinfun-right } o_{CL} u o_{CL} \text{cblinfun-right}^*) \rangle$

have $t\text{-plus-}u$: $\langle t + u = JJ o_{CL} tu o_{CL} II \rangle$

apply (*simp add: II-def JJ-def tu-def cblinfun-compose-add-left cblinfun-compose-add-right cblinfun-compose-assoc*)

by (*simp flip: cblinfun-compose-assoc*)

have $\langle \text{trace-class } tu \rangle$

proof (*rule trace-classI*)

define $BL\ BR\ B :: ('a \times 'a) \text{set}$ where $\langle BL = \text{some-chilbert-basis} \times \{0\} \rangle$

and $\langle BR = \{0\} \times \text{some-chilbert-basis} \rangle$

and $\langle B = BL \cup BR \rangle$

have $\langle BL \cap BR = \{ \} \rangle$

using *is-ortho-set-some-chilbert-basis*

by (*auto simp: BL-def BR-def is-ortho-set-def*)

show $\langle \text{is-onb } B \rangle$

by (*simp add: BL-def BR-def B-def is-onb-prod*)

have abs-tu : $\langle \text{abs-op } tu = (\text{cblinfun-left } o_{CL} \text{abs-op } t o_{CL} \text{cblinfun-left}^*) + (\text{cblinfun-right } o_{CL} \text{abs-op } u o_{CL} \text{cblinfun-right}^*) \rangle$

using [[*show-consts*]]

proof —

have $\langle ((\text{cblinfun-left } o_{CL} \text{abs-op } t o_{CL} \text{cblinfun-left}^*) + (\text{cblinfun-right } o_{CL} \text{abs-op } u o_{CL} \text{cblinfun-right}^*))^* \rangle$

$o_{CL} ((\text{cblinfun-left } o_{CL} \text{abs-op } t o_{CL} \text{cblinfun-left}^*) + (\text{cblinfun-right } o_{CL} \text{abs-op } u o_{CL} \text{cblinfun-right}^*))$

```

    = tu* oCL tu
  proof -
    have tt[THEN simp-a-oCL-b, simp]: ⟨(abs-op t)* oCL abs-op t = t* oCL t⟩
      by (simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI)
    have uu[THEN simp-a-oCL-b, simp]: ⟨(abs-op u)* oCL abs-op u = u* oCL u⟩
      by (simp add: abs-op-def positive-cblinfun-squareI positive-hermitianI)
    note isometryD[THEN simp-a-oCL-b, simp]
    note cblinfun-right-left-ortho[THEN simp-a-oCL-b, simp]
    note cblinfun-left-right-ortho[THEN simp-a-oCL-b, simp]
    show ?thesis
      using tt[of ⟨cblinfun-left* :: ('a×'a) ⇒CL 'a⟩] uu[of ⟨cblinfun-right* :: ('a×'a) ⇒CL
'a⟩]
      by (simp add: tu-def cblinfun-compose-add-right cblinfun-compose-add-left adj-plus
cblinfun-compose-assoc)
  qed
  moreover have ⟨(cblinfun-left oCL abs-op t oCL cblinfun-left*) + (cblinfun-right oCL
abs-op u oCL cblinfun-right*) ≥ 0⟩
    apply (rule positive-cblinfunI)
    by (auto simp: cblinfun.add-left cinner-pos-if-pos)
  ultimately show ?thesis
    by (rule abs-opI[symmetric])
  qed
  from assms(1)
  have ⟨(λx. x •C (abs-op t •V x)) abs-summable-on some-chilbert-basis⟩
    by (metis is-onb-some-chilbert-basis summable-on-iff-abs-summable-on-complex trace-class-abs-op
trace-exists)
  then have sum-BL: ⟨(λx. x •C (abs-op tu •V x)) abs-summable-on BL⟩
    apply (subst asm-rl[of ⟨BL = (λx. (x,0)) 'some-chilbert-basis⟩])
    by (auto simp: BL-def summable-on-reindex inj-on-def o-def abs-tu cblinfun.add-left)
  from assms(2)
  have ⟨(λx. x •C (abs-op u •V x)) abs-summable-on some-chilbert-basis⟩
    by (metis is-onb-some-chilbert-basis summable-on-iff-abs-summable-on-complex trace-class-abs-op
trace-exists)
  then have sum-BR: ⟨(λx. x •C (abs-op tu •V x)) abs-summable-on BR⟩
    apply (subst asm-rl[of ⟨BR = (λx. (0,x)) 'some-chilbert-basis⟩])
    by (auto simp: BR-def summable-on-reindex inj-on-def o-def abs-tu cblinfun.add-left)
  from sum-BL sum-BR
  show ⟨(λx. x •C (abs-op tu •V x)) abs-summable-on B⟩
    using ⟨BL ∩ BR = {}⟩
    by (auto intro!: summable-on-Un-disjoint simp: B-def)
  qed
  with t-plus-u
  show ⟨trace-class (t + u)⟩
    by (simp add: trace-class-comp-left trace-class-comp-right)
  qed

lemma trace-class-minus[simp]: ⟨trace-class t ⇒ trace-class u ⇒ trace-class (t - u)⟩
  for t u :: ⟨'a::chilbert-space ⇒CL 'b::chilbert-space⟩
  by (metis trace-class-plus trace-class-uminus uminus-add-conv-diff)

```

lemma *trace-plus*:

assumes $\langle \text{trace-class } a \rangle \langle \text{trace-class } b \rangle$
shows $\langle \text{trace } (a + b) = \text{trace } a + \text{trace } b \rangle$
by (*simp add: assms(1) assms(2) trace-plus-prelim*)
hide-fact *trace-plus-prelim*

lemma *trace-class-sum*:

fixes $a :: \langle 'a \Rightarrow 'b::\text{hilbert-space} \Rightarrow_{CL} 'c::\text{hilbert-space} \rangle$
assumes $\langle \bigwedge i. i \in I \Longrightarrow \text{trace-class } (a \ i) \rangle$
shows $\langle \text{trace-class } (\sum i \in I. a \ i) \rangle$
using *assms* **apply** (*induction I rule:infinite-finite-induct*)
by *auto*

lemma

assumes $\langle \bigwedge i. i \in I \Longrightarrow \text{trace-class } (a \ i) \rangle$
shows *trace-sum*: $\langle \text{trace } (\sum i \in I. a \ i) = (\sum i \in I. \text{trace } (a \ i)) \rangle$
using *assms* **apply** (*induction I rule:infinite-finite-induct*)
by (*auto simp: trace-plus trace-class-sum*)

lemma *cmod-trace-times*: $\langle \text{cmod } (\text{trace } (a \ o_{CL} \ b)) \leq \text{norm } a * \text{trace-norm } b \rangle$ **if** $\langle \text{trace-class } b \rangle$

for $b :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$
— [1], Theorem 18.11 (e)

proof —

define W **where** $\langle W = \text{polar-decomposition } b \rangle$

have $\langle \text{norm } W \leq 1 \rangle$

by (*metis W-def norm-partial-isometry norm-zero order-refl polar-decomposition-partial-isometry zero-less-one-class.zero-le-one*)

have $hs1: \langle \text{hilbert-schmidt } (\text{sqrt-op } (abs-op \ b)) \rangle$

using *that trace-class-iff-sqrt-hs* **by** *blast*

then have $hs2: \langle \text{hilbert-schmidt } (\text{sqrt-op } (abs-op \ b) \ o_{CL} \ W^* \ o_{CL} \ a^*) \rangle$

by (*simp add: hilbert-schmidt-comp-left*)

from $\langle \text{trace-class } b \rangle$

have $\langle \text{trace-class } (a \ o_{CL} \ b) \rangle$

using *trace-class-comp-right* **by** *blast*

then have $sum1: \langle (\lambda e. e \cdot_C ((a \ o_{CL} \ b) *_V e)) \text{ abs-summable-on some-hilbert-basis} \rangle$

by (*metis is-onb-some-hilbert-basis summable-on-iff-abs-summable-on-complex trace-exists*)

have $sum5: \langle (\lambda x. (\text{norm } (\text{sqrt-op } (abs-op \ b) *_V x))^2) \text{ summable-on some-hilbert-basis} \rangle$

using *summable-hilbert-schmidt-norm-square[OF is-onb-some-hilbert-basis hs1]*

by (*simp add: power2-eq-square*)

have $sum4: \langle (\lambda x. (\text{norm } ((\text{sqrt-op } (abs-op \ b) \ o_{CL} \ W^* \ o_{CL} \ a^*) *_V x))^2) \text{ summable-on some-hilbert-basis} \rangle$

using *summable-hilbert-schmidt-norm-square[OF is-onb-some-hilbert-basis hs2]*

by (*simp add: power2-eq-square*)

have $sum3: \langle (\lambda e. \text{norm } ((\text{sqrt-op } (abs-op \ b) \ o_{CL} \ W^* \ o_{CL} \ a^*) *_V e) * \text{norm } (\text{sqrt-op } (abs-op$

b) $\ast_V e$) *summable-on some-chilbert-basis*
apply (rule *abs-summable-summable*)
apply (rule *abs-summable-product*)
by (intro *sum4 sum5 summable-on-iff-abs-summable-on-real*[*THEN iffD1*])+

have *sum2*: $\langle (\lambda e. ((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL} a \ast) \ast_V e) \cdot_C (\text{sqrt-op } (\text{abs-op } b) \ast_V e))$
abs-summable-on some-chilbert-basis
using *sum3*[*THEN summable-on-iff-abs-summable-on-real*[*THEN iffD1*]]
apply (rule *abs-summable-on-comparison-test*)
by (*simp add: complex-inner-class.Cauchy-Schwarz-ineq2*)

from *trace-class b*
have $\langle \text{cmod } (\text{trace } (a \text{ } o_{CL} b)) = \text{cmod } (\sum_{\infty} e \in \text{some-chilbert-basis}. e \cdot_C ((a \text{ } o_{CL} b) \ast_V e)) \rangle$
by (*simp add: trace-class-comp-right trace-def*)
also have $\langle \dots \leq (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{cmod } (e \cdot_C ((a \text{ } o_{CL} b) \ast_V e))) \rangle$
using *sum1* **by** (rule *norm-infsum-bound*)
also have $\langle \dots = (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{cmod } (((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL} a \ast)$
 $\ast_V e) \cdot_C (\text{sqrt-op } (\text{abs-op } b) \ast_V e))) \rangle$
apply (*simp add: positive-hermitianI flip: cinner-adj-right cblinfun-apply-cblinfun-compose*)
by (*metis (full-types) W-def abs-op-def cblinfun-compose-assoc polar-decomposition-correct*
sqrt-op-pos sqrt-op-square)

also have $\langle \dots \leq (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{norm } ((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL} a \ast)$
 $\ast_V e) \ast \text{norm } (\text{sqrt-op } (\text{abs-op } b) \ast_V e)) \rangle$
using *sum2 sum3* **apply** (rule *infsum-mono*)
using *complex-inner-class.Cauchy-Schwarz-ineq2* **by** *blast*
also have $\langle \dots = (\sum_{\infty} e \in \text{some-chilbert-basis}. \text{norm } (\text{norm } ((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL}$
 $a \ast) \ast_V e) \ast \text{norm } (\text{sqrt-op } (\text{abs-op } b) \ast_V e))) \rangle$
by *simp*
also have $\langle \dots \leq \text{sqrt } (\sum_{\infty} e \in \text{some-chilbert-basis}. (\text{norm } (\text{norm } ((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL}$
 $a \ast) \ast_V e))^2)$
 $\ast \text{sqrt } (\sum_{\infty} e \in \text{some-chilbert-basis}. (\text{norm } (\text{norm } (\text{sqrt-op } (\text{abs-op } b) \ast_V e))^2)) \rangle$
apply (rule *Cauchy-Schwarz-ineq-infsum*)
using *sum4 sum5* **by** *auto*
also have $\langle \dots = \text{sqrt } (\sum_{\infty} e \in \text{some-chilbert-basis}. (\text{norm } ((\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL}$
 $a \ast) \ast_V e))^2)$
 $\ast \text{sqrt } (\sum_{\infty} e \in \text{some-chilbert-basis}. (\text{norm } (\text{sqrt-op } (\text{abs-op } b) \ast_V e))^2) \rangle$
by *simp*
also have $\langle \dots = \text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b) \text{ } o_{CL} W \ast o_{CL} a \ast) \ast \text{hilbert-schmidt-norm}$
 $(\text{sqrt-op } (\text{abs-op } b)) \rangle$
apply (*subst infsum-hilbert-schmidt-norm-square, simp, fact hs2*)
apply (*subst infsum-hilbert-schmidt-norm-square, simp, fact hs1*)
by *simp*
also have $\langle \dots \leq \text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)) \ast \text{norm } (W \ast o_{CL} a \ast) \ast \text{hilbert-schmidt-norm}$
 $(\text{sqrt-op } (\text{abs-op } b)) \rangle$
by (*metis cblinfun-assoc-left(1) hilbert-schmidt-norm-comp-left hilbert-schmidt-norm-pos mult commute*
mult-right-mono that trace-class-iff-sqrt-hs)
also have $\langle \dots \leq \text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)) \ast \text{norm } (W \ast) \ast \text{norm } (a \ast) \ast$
 $\text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)) \rangle$
by (*metis (no-types, lifting) ab-semigroup-mult-class.mult-ac(1) hilbert-schmidt-norm-pos*)

```

mult-right-mono norm-cblinfun-compose ordered-comm-semiring-class.comm-mult-left-mono
also have  $\langle \dots \leq \text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)) * \text{norm } (a*) * \text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)) \rangle$ 
by (metis  $\langle \text{norm } W \leq 1 \rangle$  hilbert-schmidt-norm-pos mult.right-neutral mult-left-mono mult-right-mono norm-adj norm-ge-zero)
also have  $\langle \dots = \text{norm } a * (\text{hilbert-schmidt-norm } (\text{sqrt-op } (\text{abs-op } b)))^2 \rangle$ 
by (simp add: power2-eq-square)
also have  $\langle \dots = \text{norm } a * \text{trace-norm } b \rangle$ 
apply (simp add: hilbert-schmidt-norm-def positive-hermitianI)
by (metis abs-op-idem of-real-eq-iff trace-abs-op)
finally show ?thesis
by –
qed

```

```

lemma trace-leq-trace-norm[simp]:  $\langle \text{cmod } (\text{trace } a) \leq \text{trace-norm } a \rangle$ 
proof (cases  $\langle \text{trace-class } a \rangle$ )
  case True
    then have  $\langle \text{cmod } (\text{trace } a) \leq \text{norm } (\text{id-cblinfun} :: 'a \Rightarrow_{CL} 'a) * \text{trace-norm } a \rangle$ 
      using cmod-trace-times[where  $a = \langle \text{id-cblinfun} :: 'a \Rightarrow_{CL} 'a \rangle$  and  $b = a$ ]
      by simp
    also have  $\langle \dots \leq \text{trace-norm } a \rangle$ 
      apply (rule mult-left-le-one-le)
      by (auto intro!: mult-left-le-one-le simp: norm-cblinfun-id-le)
    finally show ?thesis
      by –
  next
    case False
      then show ?thesis
        by (simp add: trace-def)
qed

```

```

lemma trace-norm-triangle:
  fixes  $a b :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$ 
  assumes [simp]:  $\langle \text{trace-class } a \rangle \langle \text{trace-class } b \rangle$ 
  shows  $\langle \text{trace-norm } (a + b) \leq \text{trace-norm } a + \text{trace-norm } b \rangle$ 
  — [1], Theorem 18.11 (a)
proof –
  define  $w$  where  $\langle w = \text{polar-decomposition } (a + b) \rangle$ 
  have  $\langle \text{norm } (w*) \leq 1 \rangle$ 
  by (metis dual-order.refl norm-adj norm-partial-isometry norm-zero polar-decomposition-partial-isometry w-def zero-less-one-class.zero-le-one)
  have  $\langle \text{trace-norm } (a + b) = \text{cmod } (\text{trace } (\text{abs-op } (a + b))) \rangle$ 
  by simp
  also have  $\langle \dots = \text{cmod } (\text{trace } (w* \circ_{CL} (a + b))) \rangle$ 
  by (simp add: polar-decomposition-correct' w-def)
  also have  $\langle \dots \leq \text{cmod } (\text{trace } (w* \circ_{CL} a)) + \text{cmod } (\text{trace } (w* \circ_{CL} b)) \rangle$ 
  by (simp add: cblinfun-compose-add-right norm-triangle-ineq trace-class-comp-right trace-plus)
  also have  $\langle \dots \leq (\text{norm } (w*) * \text{trace-norm } a) + (\text{norm } (w*) * \text{trace-norm } b) \rangle$ 
  by (smt (verit, best) assms(1) assms(2) cmod-trace-times)

```

```

also have ⟨... ≤ trace-norm a + trace-norm b⟩
  using ⟨norm (w*) ≤ 1⟩
  by (smt (verit, ccfv-SIG) mult-le-cancel-right2 trace-norm-nneg)
finally show ?thesis
  by -
qed

instantiation trace-class :: (chilbert-space, chilbert-space) {complex-vector} begin

lift-definition zero-trace-class :: ⟨('a,'b) trace-class⟩ is 0 by auto
lift-definition minus-trace-class :: ⟨('a,'b) trace-class ⇒ ('a,'b) trace-class ⇒ ('a,'b) trace-class⟩
is minus by auto
lift-definition uminus-trace-class :: ⟨('a,'b) trace-class ⇒ ('a,'b) trace-class⟩ is uminus by simp
lift-definition plus-trace-class :: ⟨('a,'b) trace-class ⇒ ('a,'b) trace-class ⇒ ('a,'b) trace-class⟩
is plus by auto
lift-definition scaleC-trace-class :: ⟨complex ⇒ ('a,'b) trace-class ⇒ ('a,'b) trace-class⟩ is scaleC
  by (metis (no-types, opaque-lifting) cblinfun-compose-id-right cblinfun-compose-scaleC-right
mem-Collect-eq trace-class-comp-left)
lift-definition scaleR-trace-class :: ⟨real ⇒ ('a,'b) trace-class ⇒ ('a,'b) trace-class⟩ is scaleR
  by (metis (no-types, opaque-lifting) cblinfun-compose-id-right cblinfun-compose-scaleC-right
mem-Collect-eq scaleR-scaleC trace-class-comp-left)
instance
proof standard
  fix a b c :: ⟨('a,'b) trace-class⟩
  show ⟨a + b + c = a + (b + c)⟩
    apply transfer by auto
  show ⟨a + b = b + a⟩
    apply transfer by auto
  show ⟨0 + a = a⟩
    apply transfer by auto
  show ⟨- a + a = 0⟩
    apply transfer by auto
  show ⟨a - b = a + - b⟩
    apply transfer by auto
  show ⟨(*R) r = ((*C) (complex-of-real r)) :: - ⇒ ('a,'b) trace-class⟩ for r :: real
    by (metis (mono-tags, opaque-lifting) Trace-Class.scaleC-trace-class-def Trace-Class.scaleR-trace-class-def
id-apply map-fun-def o-def scaleR-scaleC)
  show ⟨r *C (a + b) = r *C a + r *C b⟩ for r :: complex
    apply transfer
    by (metis (no-types, lifting) scaleC-add-right)
  show ⟨(r + r') *C a = r *C a + r' *C a⟩ for r r' :: complex
    apply transfer
    by (metis (no-types, lifting) scaleC-add-left)
  show ⟨r *C r' *C a = (r * r') *C a⟩ for r r' :: complex
    apply transfer by auto
  show ⟨1 *C a = a⟩
    apply transfer by auto
qed
end

```


lemma *from-trace-class-0*[*simp*]: $\langle \text{from-trace-class } 0 = 0 \rangle$
 by (*simp add: zero-trace-class.rep-eq*)

instantiation *trace-class* :: (*chilbert-space*, *chilbert-space*) {*complex-normed-vector*} **begin**

lift-definition *norm-trace-class* :: $\langle ('a, 'b) \text{ trace-class} \Rightarrow \text{real} \rangle$ **is** *trace-norm* .

definition *sgn-trace-class* :: $\langle ('a, 'b) \text{ trace-class} \Rightarrow ('a, 'b) \text{ trace-class} \rangle$ **where** $\langle \text{sgn-trace-class } a = a /_{\mathbb{R}} \text{ norm } a \rangle$

definition *dist-trace-class* :: $\langle ('a, 'b) \text{ trace-class} \Rightarrow - \Rightarrow - \rangle$ **where** $\langle \text{dist-trace-class } a \ b = \text{norm } (a - b) \rangle$

definition [*code del*]: *uniformity-trace-class* = (*INF* $e \in \{0 < ..\}$. *principal* $\{(x :: ('a, 'b) \text{ trace-class}, y). \text{dist } x \ y < e\}$)

definition [*code del*]: *open-trace-class* *U* = ($\forall x \in U. \forall_F (x', y) \text{ in } \text{INF } e \in \{0 < ..\}. \text{principal } \{(x, y). \text{dist } x \ y < e\}. x' = x \longrightarrow y \in U$) **for** *U* :: $\langle ('a, 'b) \text{ trace-class set} \rangle$

instance

proof *standard*

fix *a b* :: $\langle ('a, 'b) \text{ trace-class} \rangle$

show $\langle \text{dist } a \ b = \text{norm } (a - b) \rangle$

by (*metis* (*no-types*, *lifting*) *Trace-Class.dist-trace-class-def*)

show $\langle \text{uniformity} = (\text{INF } e \in \{0 < ..\}. \text{principal } \{(x :: ('a, 'b) \text{ trace-class}, y). \text{dist } x \ y < e\}) \rangle$

by (*simp add: uniformity-trace-class-def*)

show $\langle \text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{uniformity}. x' = x \longrightarrow y \in U) \rangle$ **for** *U* :: $\langle ('a, 'b) \text{ trace-class set} \rangle$

by (*smt* (*verit*, *del-insts*) *case-prod-beta' eventually-mono open-trace-class-def uniformity-trace-class-def*)

show $\langle (\text{norm } a = 0) = (a = 0) \rangle$

apply *transfer*

by (*auto simp add: trace-norm-nondegenerate*)

show $\langle \text{norm } (a + b) \leq \text{norm } a + \text{norm } b \rangle$

apply *transfer*

by (*auto simp: trace-norm-triangle*)

show $\langle \text{norm } (r *_C a) = \text{cmod } r * \text{norm } a \rangle$ **for** *r*

apply *transfer*

by (*auto simp: trace-norm-scaleC*)

then show $\langle \text{norm } (r *_R a) = |r| * \text{norm } a \rangle$ **for** *r*

by (*metis norm-of-real scaleR-scaleC*)

show $\langle \text{sgn } a = a /_{\mathbb{R}} \text{norm } a \rangle$

by (*simp add: sgn-trace-class-def*)

qed

end

lemma *trace-norm-comp-right*:

fixes *a* :: $\langle 'b :: \text{chilbert-space} \Rightarrow_{CL} 'c :: \text{chilbert-space} \rangle$ **and** *b* :: $\langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b \rangle$

assumes $\langle \text{trace-class } b \rangle$

shows $\langle \text{trace-norm } (a \text{ } o_{CL} \ b) \leq \text{norm } a * \text{trace-norm } b \rangle$
 — [1], Theorem 18.11 (g)

proof —

define $w \ w1 \ s$ **where** $\langle w = \text{polar-decomposition } b \rangle$ **and** $\langle w1 = \text{polar-decomposition } (a \text{ } o_{CL} \ b) \rangle$

and $\langle s = w1 * o_{CL} \ a \text{ } o_{CL} \ w \rangle$

have $\text{abs-ab}: \langle \text{abs-op } (a \text{ } o_{CL} \ b) = s \text{ } o_{CL} \ \text{abs-op } b \rangle$

by (*auto simp: w1-def w-def s-def cblinfun-compose-assoc polar-decomposition-correct polar-decomposition-correct'*)

have $\text{norm-s-t}: \langle \text{norm } s \leq \text{norm } a \rangle$

proof —

have $\langle \text{norm } s \leq \text{norm } (w1 * o_{CL} \ a) * \text{norm } w \rangle$

by (*simp add: norm-cblinfun-compose s-def*)

also have $\langle \dots \leq \text{norm } (w1 *) * \text{norm } a * \text{norm } w \rangle$

by (*metis mult.commute mult-left-mono norm-cblinfun-compose norm-ge-zero*)

also have $\langle \dots \leq \text{norm } a \rangle$

by (*metis (no-types, opaque-lifting) dual-order.refl mult.commute mult.right-neutral mult-zero-left norm-adj norm-ge-zero norm-partial-isometry norm-zero polar-decomposition-partial-isometry w1-def w-def*)

finally show *?thesis*

by —

qed

have $\langle \text{trace-norm } (a \text{ } o_{CL} \ b) = \text{cmod } (\text{trace } (\text{abs-op } (a \text{ } o_{CL} \ b))) \rangle$

by *simp*

also have $\langle \dots = \text{cmod } (\text{trace } (s \text{ } o_{CL} \ \text{abs-op } b)) \rangle$

using *abs-ab* **by** *presburger*

also have $\langle \dots \leq \text{norm } s * \text{trace-norm } (\text{abs-op } b) \rangle$

using *assms* **by** (*simp add: cmod-trace-times*)

also from *norm-s-t* **have** $\langle \dots \leq \text{norm } a * \text{trace-norm } b \rangle$

by (*metis abs-op-idem mult-right-mono of-real-eq-iff trace-abs-op trace-norm-nneg*)

finally show *?thesis*

by —

qed

lemma *trace-norm-comp-left*:

— [1], Theorem 18.11 (g)

fixes $a :: \langle 'b::\text{hilbert-space} \Rightarrow_{CL} 'c::\text{hilbert-space} \rangle$ **and** $b :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b \rangle$

assumes [*simp*]: $\langle \text{trace-class } a \rangle$

shows $\langle \text{trace-norm } (a \text{ } o_{CL} \ b) \leq \text{trace-norm } a * \text{norm } b \rangle$

proof —

have $\langle \text{trace-norm } (b * o_{CL} \ a *) \leq \text{norm } (b *) * \text{trace-norm } (a *) \rangle$

apply (*rule trace-norm-comp-right*)

by *simp*

then have $\langle \text{trace-norm } ((b * o_{CL} \ a *) *) \leq \text{norm } b * \text{trace-norm } a \rangle$

by (*simp del: adj-cblinfun-compose*)

then show *?thesis*

by (*simp add: mult.commute*)

qed

lemma *bounded-clinear-trace-duality*: $\langle \text{trace-class } t \implies \text{bounded-clinear } (\lambda a. \text{trace } (t \circ_{CL} a)) \rangle$
apply (rule *bounded-clinearI*[**where** $K = \langle \text{trace-norm } t \rangle$])
apply (auto simp add: *cblinfun-compose-add-right trace-class-comp-left trace-plus trace-scaleC*)[2]
by (*metis circularity-of-trace order-trans trace-leq-trace-norm trace-norm-comp-right*)

lemma *trace-class-butterfly*[*simp*]: $\langle \text{trace-class } (\text{butterfly } x \ y) \rangle$ **for** $x :: \langle 'a :: \text{chilbert-space} \rangle$ **and** $y :: \langle 'b :: \text{chilbert-space} \rangle$
unfolding *butterfly-def*
apply (rule *trace-class-comp-left*)
by *simp*

lemma *trace-adj*: $\langle \text{trace } (a^*) = \text{cnj } (\text{trace } a) \rangle$
by (*metis Complex-Inner-Product0.complex-inner-1-right cinner-zero-right double-adj is-onb-some-chilbert-basis is-orthogonal-sym trace-adj-prelim trace-alt-def trace-class-adj*)
hide-fact *trace-adj-prelim*

lemma *cmod-trace-times'*: $\langle \text{cmod } (\text{trace } (a \circ_{CL} b)) \leq \text{norm } b * \text{trace-norm } a \rangle$ **if** $\langle \text{trace-class } a \rangle$
— [1], Theorem 18.11 (e)
apply (subst *asm-rl*[of $\langle a \circ_{CL} b = (b^* \circ_{CL} a^*)^* \rangle$], *simp*)
apply (subst *trace-adj*)
using *cmod-trace-times*[of $\langle a^* \rangle \langle b^* \rangle$]
by (auto intro!: *that trace-class-adj hilbert-schmidt-comp-right hilbert-schmidt-adj simp del: adj-cblinfun-compose*)

lift-definition *iso-trace-class-compact-op-dual'*: $\langle ('a :: \text{chilbert-space}, 'b :: \text{chilbert-space}) \text{trace-class} \Rightarrow ('b, 'a) \text{compact-op} \Rightarrow_{CL} \text{complex} \rangle$ **is**
 $\langle \lambda t c. \text{trace } (\text{from-compact-op } c \circ_{CL} t) \rangle$
proof (*rename-tac t*)
include *lifting-syntax*
fix $t :: \langle 'a \Rightarrow_{CL} 'b \rangle$
assume $\langle t \in \text{Collect } \text{trace-class} \rangle$
then have [*simp*]: $\langle \text{trace-class } t \rangle$
by *simp*
have $\langle \text{cmod } (\text{trace } (\text{from-compact-op } x \circ_{CL} t)) \leq \text{norm } x * \text{trace-norm } t \rangle$ **for** x
by (*metis* $\langle \text{trace-class } t \rangle$ *cmod-trace-times from-compact-op-norm*)
then show $\langle \text{bounded-clinear } (\lambda c. \text{trace } (\text{from-compact-op } c \circ_{CL} t)) \rangle$
apply (rule-tac *bounded-clinearI*[**where** $K = \langle \text{trace-norm } t \rangle$])
by (auto simp: *from-compact-op-plus from-compact-op-scaleC cblinfun-compose-add-right cblinfun-compose-add-left trace-plus trace-class-comp-right trace-scaleC*)
qed

lemma *iso-trace-class-compact-op-dual'-apply*: $\langle \text{iso-trace-class-compact-op-dual}' \ t \ c = \text{trace } (\text{from-compact-op } c \circ_{CL} \text{from-trace-class } t) \rangle$
by (*simp add: iso-trace-class-compact-op-dual'.rep-eq*)

lemma *iso-trace-class-compact-op-dual'-plus*: $\langle \text{iso-trace-class-compact-op-dual}' \ (a + b) = \text{iso-trace-class-compact-op-dual}' \ a + \text{iso-trace-class-compact-op-dual}' \ b \rangle$
apply *transfer*

by (simp add: cblinfun-compose-add-right trace-class-comp-right trace-plus)

lemma iso-trace-class-compact-op-dual'-scaleC: $\langle iso-trace-class-compact-op-dual' (c *_C a) = c *_C iso-trace-class-compact-op-dual' a \rangle$
 apply transfer
 by (simp add: trace-scaleC)

lemma iso-trace-class-compact-op-dual'-bounded-clinear[bounded-clinear, simp]:

— [1], Theorem 19.1

$\langle bounded-clinear (iso-trace-class-compact-op-dual' :: ('a::chilbert-space, 'b::chilbert-space) trace-class \Rightarrow -) \rangle$

proof —

let ?iso = $\langle iso-trace-class-compact-op-dual' :: ('a, 'b) trace-class \Rightarrow - \rangle$

have $\langle norm (?iso t) \leq norm t \rangle$ for t

proof (rule norm-cblinfun-bound)

show $\langle norm t \geq 0 \rangle$ by simp

fix c

show $\langle cmod (iso-trace-class-compact-op-dual' t *_V c) \leq norm t * norm c \rangle$

apply (transfer fixing: c)

apply simp

by (metis cmod-trace-times from-compact-op-norm ordered-field-class.sign-simps(5))

qed

then show $\langle bounded-clinear ?iso \rangle$

apply (rule-tac bounded-clinearI[where K=1])

by (auto simp: iso-trace-class-compact-op-dual'-plus iso-trace-class-compact-op-dual'-scaleC)

qed

lemma iso-trace-class-compact-op-dual'-surjective[simp]:

$\langle surj (iso-trace-class-compact-op-dual' :: ('a::chilbert-space, 'b::chilbert-space) trace-class \Rightarrow -) \rangle$

proof —

let ?iso = $\langle iso-trace-class-compact-op-dual' :: ('a, 'b) trace-class \Rightarrow - \rangle$

have $\langle \exists A. \Phi = ?iso A \rangle$ for $\Phi :: \langle ('b, 'a) compact-op \Rightarrow_{CL} complex \rangle$

proof —

define p where $\langle p x y = \Phi (butterfly-co y x) \rangle$ for x y

have norm-p: $\langle norm (p x y) \leq norm \Phi * norm x * norm y \rangle$ for x y

proof —

have $\langle norm (p x y) \leq norm \Phi * norm (butterfly-co y x) \rangle$

by (auto simp: p-def norm-cblinfun)

also have $\langle \dots = norm \Phi * norm (butterfly y x) \rangle$

apply transfer by simp

also have $\langle \dots = norm \Phi * norm x * norm y \rangle$

by (simp add: norm-butterfly)

finally show ?thesis

by —

qed

have [simp]: $\langle bounded-sesquilinear p \rangle$

apply (rule bounded-sesquilinear.intro)

using norm-p

by (auto
 intro!: exI[of - ⟨norm Φ⟩]
 simp add: p-def butterfly-co-add-left butterfly-co-add-right complex-vector.linear-add
 cblinfun.scaleC-right cblinfun.scaleC-left ab-semigroup-mult-class.mult-ac)
 define A where ⟨A = (the-riesz-rep-sesqui p)*⟩
 then have xAy: ⟨x •_C (A y) = p x y⟩ for x y
 by (simp add: cinner-adj-right the-riesz-rep-sesqui-apply)
 have ΦC: ⟨Φ C = trace (from-compact-op C o_{CL} A)⟩ if ⟨finite-rank (from-compact-op C)⟩
 for C
 proof –
 from that
 obtain x y and n :: nat where C-sum: ⟨from-compact-op C = (∑ i<n. butterfly (y i) (x i))⟩
 apply atomize-elim by (rule finite-rank-sum-butterfly)
 then have ⟨C = (∑ i<n. butterfly-co (y i) (x i))⟩
 apply transfer by simp
 then have ⟨Φ C = (∑ i<n. Φ *_V butterfly-co (y i) (x i))⟩
 using cblinfun.sum-right by blast
 also have ⟨... = (∑ i<n. p (x i) (y i))⟩
 using p-def by presburger
 also have ⟨... = (∑ i<n. (x i) •_C (A (y i)))⟩
 using xAy by presburger
 also have ⟨... = (∑ i<n. trace (butterfly (y i) (x i) o_{CL} A))⟩
 by (simp add: trace-butterfly-comp)
 also have ⟨... = trace ((∑ i<n. butterfly (y i) (x i)) o_{CL} A)⟩
 by (metis (mono-tags, lifting) cblinfun.compose-sum-left sum.cong trace-class-butterfly
 trace-class-comp-left trace-sum)
 also have ⟨... = trace (from-compact-op C o_{CL} A)⟩
 using C-sum by presburger
 finally show ?thesis
 by –
 qed
 have ⟨trace-class A⟩
 proof (rule trace-classI)
 show ⟨is-onb some-chilbert-basis⟩
 by simp
 define W where ⟨W = polar-decomposition A⟩
 have ⟨norm (W*) ≤ 1⟩
 by (metis W-def nle-le norm-adj norm-partial-isometry norm-zero not-one-le-zero polar-decomposition-partial-isometry)
 have ⟨(∑ x∈E. cmod (x •_C (abs-op A *_V x))) ≤ norm Φ⟩ if ⟨finite E⟩ and ⟨E ⊆ some-chilbert-basis⟩ for E
 proof –
 define CE where ⟨CE = (∑ x∈E. (butterfly x x))⟩
 from ⟨E ⊆ some-chilbert-basis⟩
 have ⟨norm CE ≤ 1⟩
 by (auto intro!: sum-butterfly-is-Proj norm-is-Proj is-normal-some-chilbert-basis simp: CE-def is-ortho-set-antimonotone)
 have ⟨(∑ x∈E. cmod (x •_C (abs-op A *_V x))) = cmod (∑ x∈E. x •_C (abs-op A *_V x))⟩

```

    apply (rule sum-cmod-pos)
    by (simp add: cinner-pos-if-pos)
  also have  $\langle \dots = \text{cmod} (\sum_{x \in E}. (W *_{\mathcal{V}} x) \cdot_C (A *_{\mathcal{V}} x)) \rangle$ 
    apply (rule arg-cong, rule sum.cong, simp)
  by (metis W-def cblinfun-apply-cblinfun-compose cinner-adj-right polar-decomposition-correct')
  also have  $\langle \dots = \text{cmod} (\sum_{x \in E}. \Phi (\text{butterfly-co } x (W x))) \rangle$ 
    apply (rule arg-cong, rule sum.cong, simp)
    by (simp flip: p-def xAy)
  also have  $\langle \dots = \text{cmod} (\Phi (\sum_{x \in E}. \text{butterfly-co } x (W x))) \rangle$ 
    by (simp add: cblinfun.sum-right)
  also have  $\langle \dots \leq \text{norm } \Phi * \text{norm} (\sum_{x \in E}. \text{butterfly-co } x (W x)) \rangle$ 
    using norm-cblinfun by blast
  also have  $\langle \dots = \text{norm } \Phi * \text{norm} (\sum_{x \in E}. \text{butterfly } x (W x)) \rangle$ 
    apply transfer by simp
  also have  $\langle \dots = \text{norm } \Phi * \text{norm} (\sum_{x \in E}. (\text{butterfly } x x \circ_{CL} W*)) \rangle$ 
    apply (rule arg-cong, rule sum.cong, simp)
    by (simp add: butterfly-comp-cblinfun)
  also have  $\langle \dots = \text{norm } \Phi * \text{norm} (CE \circ_{CL} W*) \rangle$ 
    by (simp add: CE-def cblinfun-compose-sum-left)
  also have  $\langle \dots \leq \text{norm } \Phi \rangle$ 
    apply (rule mult-left-le, simp-all)
    using  $\langle \text{norm } CE \leq 1 \rangle \langle \text{norm } (W*) \leq 1 \rangle$ 
    by (metis mult-le-one norm-cblinfun-compose norm-ge-zero order-trans)
  finally show ?thesis
    by -
qed
then show  $\langle (\lambda x. x \cdot_C (\text{abs-op } A *_{\mathcal{V}} x)) \text{ abs-summable-on some-hilbert-basis} \rangle$ 
  apply (rule-tac nonneg-bdd-above-summable-on)
  by (auto intro!: bdd-aboveI2)
qed
then obtain A' where A':  $\langle A = \text{from-trace-class } A' \rangle$ 
  using from-trace-class-cases by blast
from  $\Phi C$  have  $\Phi C'$ :  $\langle \Phi C = ?iso A' C \rangle$  if  $\langle \text{finite-rank} (\text{from-compact-op } C) \rangle$  for  $C$ 
  by (simp add: that iso-trace-class-compact-op-dual'-apply A')
have  $\langle \Phi = ?iso A' \rangle$ 
  apply (unfold cblinfun-apply-inject[symmetric])
  apply (rule finite-rank-separating-on-compact-op)
  using  $\Phi C'$  by (auto intro!: cblinfun.bounded-clinear-right)
then show ?thesis
  by auto
qed
then show ?thesis
  by auto
qed

lemma iso-trace-class-compact-op-dual'-isometric[simp]:
  — [1], Theorem 19.1
   $\langle \text{norm} (\text{iso-trace-class-compact-op-dual}' t) = \text{norm } t \rangle$  for  $t :: \langle ('a::\text{hilbert-space}, 'b::\text{hilbert-space})$ 
  trace-class
```

```

proof –
  let  $?iso = \langle iso\text{-trace-class-compact-op-dual}' :: ('a,'b) \text{ trace-class} \Rightarrow \rightarrow \rangle$ 
  have  $\langle norm (?iso\ t) \leq norm\ t \rangle$  for  $t$ 
  proof (rule norm-cblinfun-bound)
    show  $\langle norm\ t \geq 0 \rangle$  by simp
    fix  $c$ 
    show  $\langle cmod (iso\text{-trace-class-compact-op-dual}'\ t *_{\mathcal{V}} c) \leq norm\ t * norm\ c \rangle$ 
    apply (transfer fixing: c)
    apply simp
    by (metis cmod-trace-times from-compact-op-norm ordered-field-class.sign-simps(5))
  qed
  moreover have  $\langle norm (?iso\ t) \geq norm\ t \rangle$  for  $t$ 
  proof –
    define  $s$  where  $\langle s\ E = (\sum e \in E. cmod (e \cdot_C (abs\text{-op} (from\text{-trace-class}\ t) *_{\mathcal{V}} e))) \rangle$  for  $E$ 
    have bound:  $\langle norm (?iso\ t) \geq s\ E \rangle$  if  $\langle finite\ E \rangle$  and  $\langle E \subseteq some\text{-chilbert-basis} \rangle$  for  $E$ 
    proof –

```

Partial duplication from the proof of *iso-trace-class-compact-op-dual'-surjective*. In Conway's text, this subproof occurs only once. However, it did not become clear to use how this works: It seems that Conway's proof only implies that *iso-trace-class-compact-op-dual'* is isometric on the subset of trace-class operators A constructed in that proof, but not necessarily on others (if *iso-trace-class-compact-op-dual'* were non-injective, there might be others)

```

  define  $A\ \Phi$  where  $\langle A = from\text{-trace-class}\ t \rangle$  and  $\langle \Phi = ?iso\ t \rangle$ 
  define  $W$  where  $\langle W = polar\text{-decomposition}\ A \rangle$ 
  have  $\langle norm (W*) \leq 1 \rangle$ 
    by (metis W-def nle-le norm-adj norm-partial-isometry norm-zero not-one-le-zero polar-decomposition-partial-isometry)
  define  $CE$  where  $\langle CE = (\sum x \in E. (butterfly\ x\ x)) \rangle$ 
  from  $\langle E \subseteq some\text{-chilbert-basis} \rangle$ 
  have  $\langle norm\ CE \leq 1 \rangle$ 
    by (auto intro!: sum-butterfly-is-Proj norm-is-Proj is-normal-some-chilbert-basis simp: CE-def is-ortho-set-antimono)
  have  $\langle s\ E = (\sum x \in E. cmod (x \cdot_C (abs\text{-op}\ A *_{\mathcal{V}} x))) \rangle$ 
    using A-def s-def by blast
  also have  $\langle \dots = cmod (\sum x \in E. x \cdot_C (abs\text{-op}\ A *_{\mathcal{V}} x)) \rangle$ 
    apply (rule sum-cmod-pos)
    by (simp add: cinner-pos-if-pos)
  also have  $\langle \dots = cmod (\sum x \in E. (W *_{\mathcal{V}} x) \cdot_C (A *_{\mathcal{V}} x)) \rangle$ 
    apply (rule arg-cong, rule sum.cong, simp)
  by (metis W-def cblinfun-apply-cblinfun-compose cinner-adj-right polar-decomposition-correct')
  also have  $\langle \dots = cmod (\sum x \in E. \Phi (butterfly\text{-co}\ x\ (W\ x))) \rangle$ 
    apply (rule arg-cong, rule sum.cong, simp)
  by (auto simp: \Phi-def iso-trace-class-compact-op-dual'-apply butterfly-co.rep-eq trace-butterfly-comp simp flip: A-def)
  also have  $\langle \dots = cmod (\Phi (\sum x \in E. butterfly\text{-co}\ x\ (W\ x))) \rangle$ 
    by (simp add: cblinfun.sum-right)
  also have  $\langle \dots \leq norm\ \Phi * norm (\sum x \in E. butterfly\text{-co}\ x\ (W\ x)) \rangle$ 

```

```

    using norm-cblinfun by blast
  also have ⟨... = norm Φ * norm (∑ x∈E. butterfly x (W x))⟩
    apply transfer by simp
  also have ⟨... = norm Φ * norm (∑ x∈E. (butterfly x x oCL W*))⟩
    apply (rule arg-cong, rule sum.cong, simp)
    by (simp add: butterfly-comp-cblinfun)
  also have ⟨... = norm Φ * norm (CE oCL W*)⟩
    by (simp add: CE-def cblinfun-compose-sum-left)
  also have ⟨... ≤ norm Φ⟩
    apply (rule mult-left-le, simp-all)
    using ⟨norm CE ≤ 1⟩ ⟨norm (W*) ≤ 1⟩
    by (metis mult-le-one norm-cblinfun-compose norm-ge-zero order-trans)
  finally show ?thesis
    by (simp add: Φ-def)
qed
have ⟨trace-class (from-trace-class t)⟩ and ⟨norm t = trace-norm (from-trace-class t)⟩
  using from-trace-class
  by (auto simp add: norm-trace-class.rep-eq)
then have ⟨((λe. cmod (e •C (abs-op (from-trace-class t) *V e))) has-sum norm t) some-chilbert-basis)⟩

  by (metis (no-types, lifting) has-sum-cong has-sum-infsum is-onb-some-chilbert-basis trace-class-def
    trace-norm-alt-def trace-norm-basis-invariance)
  then have lim: ⟨(s → norm t) (finite-subsets-at-top some-chilbert-basis)⟩
    by (simp add: filterlim-iff has-sum-def s-def)
  show ?thesis
    using - - lim apply (rule tendsto-le)
    by (auto intro!: tendsto-const eventually-finite-subsets-at-top-weakI bound)
qed
ultimately show ?thesis
  using nle-le by blast
qed

instance trace-class :: (chilbert-space, chilbert-space) cbanach
proof
  let ?UNIVc = ⟨UNIV :: ((b, a) compact-op ⇒CL complex) set⟩
  let ?UNIVt = ⟨UNIV :: (a, b) trace-class set⟩
  let ?iso = ⟨iso-trace-class-compact-op-dual' :: (a, b) trace-class ⇒ -⟩
  have lin-inv[simp]: ⟨bounded-clinear (inv ?iso)⟩
    apply (rule bounded-clinear-inv[where b=1])
    by auto
  have [simp]: ⟨inj ?iso⟩
  proof (rule injI)
    fix x y assume ⟨?iso x = ?iso y⟩
    then have ⟨norm (?iso (x - y)) = 0⟩
      by (metis (no-types, opaque-lifting) add-diff-cancel-left diff-self iso-trace-class-compact-op-dual'-isometric
        iso-trace-class-compact-op-dual'-plus norm-eq-zero ordered-field-class.sign-simps(12))
    then have ⟨norm (x - y) = 0⟩
      by simp
  end
end

```



```

    then show ⟨x = y⟩
      by simp
  qed
  have norm-inv[simp]: ⟨norm (inv ?iso x) = norm x⟩ for x
    by (metis iso-trace-class-compact-op-dual'-isometric iso-trace-class-compact-op-dual'-surjective
surj-f-inv-f)
  have ⟨complete ?UNIVc⟩
    by (simp add: complete-UNIV)
  then have ⟨complete (inv ?iso ' ?UNIVc)⟩
    apply (rule complete-isometric-image[rotated 4, where e=1])
    by (auto simp: bounded-clinear.bounded-linear)
  then have ⟨complete ?UNIVt⟩
    by (simp add: inj-imp-surj-inv)
  then show ⟨Cauchy X ⟹ convergent X⟩ for X :: ⟨nat ⇒ ('a, 'b) trace-class⟩
    by (simp add: complete-def convergent-def)
  qed

```

lemma trace-norm-geq-cinner-abs-op: $\langle \psi \cdot_C (\text{abs-op } t *_{\mathcal{V}} \psi) \leq \text{trace-norm } t \rangle$ **if** $\langle \text{trace-class } t \rangle$
and $\langle \text{norm } \psi = 1 \rangle$

```

proof –
  have ⟨∃ B. {ψ} ⊆ B ∧ is-onb B⟩
    apply (rule orthonormal-basis-exists)
    using ⟨norm ψ = 1⟩
    by auto
  then obtain B where ⟨is-onb B⟩ and ⟨ψ ∈ B⟩
    by auto

  have ⟨ψ ·C (abs-op t *V ψ) = (∑∞ ψ ∈ {ψ}. ψ ·C (abs-op t *V ψ))⟩
    by simp
  also have ⟨... ≤ (∑∞ ψ ∈ B. ψ ·C (abs-op t *V ψ))⟩
    apply (rule infsum-mono-neutral-complex)
    using ⟨ψ ∈ B⟩ ⟨is-onb B⟩ that
    by (auto simp add: trace-exists cinner-pos-if-pos)
  also have ⟨... = trace-norm t⟩
    using ⟨is-onb B⟩ that
    by (metis trace-abs-op trace-alt-def trace-class-abs-op)
  finally show ?thesis
    by –
  qed

```

lemma norm-leq-trace-norm: $\langle \text{norm } t \leq \text{trace-norm } t \rangle$ **if** $\langle \text{trace-class } t \rangle$
for $t :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$

```

proof –
  wlog not-singleton: ⟨class.not-singleton TYPE('a)⟩
    using not-not-singleton-cblinfun-zero[of t] negation by simp
  note cblinfun-norm-approx-witness' = cblinfun-norm-approx-witness[internalize-sort' 'a, OF
complex-normed-vector-axioms not-singleton]

```

```

show ?thesis
proof (rule field-le-epsilon)
  fix  $\varepsilon :: \text{real}$  assume  $\langle \varepsilon > 0 \rangle$ 

  define  $\delta :: \text{real}$  where
     $\langle \delta = \min (\text{sqrt} (\varepsilon / 2)) (\varepsilon / (4 * (\text{norm} (\text{sqrt-op} (\text{abs-op } t)) + 1))) \rangle$ 
  have  $\langle \delta > 0 \rangle$ 
  using  $\langle \varepsilon > 0 \rangle$  apply (auto simp add:  $\delta$ -def)
  by (smt (verit) norm-not-less-zero zero-less-divide-iff)
  have  $\delta$ -small:  $\langle \delta^2 + 2 * \text{norm} (\text{sqrt-op} (\text{abs-op } t)) * \delta \leq \varepsilon \rangle$ 
  proof -
    define  $n$  where  $\langle n = \text{norm} (\text{sqrt-op} (\text{abs-op } t)) \rangle$ 
    then have  $\langle n \geq 0 \rangle$ 
    by simp
    have  $\delta$ :  $\langle \delta = \min (\text{sqrt} (\varepsilon / 2)) (\varepsilon / (4 * (n + 1))) \rangle$ 
    by (simp add:  $\delta$ -def  $n$ -def)

    have  $\langle \delta^2 + 2 * n * \delta \leq \varepsilon / 2 + 2 * n * \delta \rangle$ 
    apply (rule add-right-mono)
    apply (subst  $\delta$ ) apply (subst min-power-distrib-left)
    using  $\langle \varepsilon > 0 \rangle \langle n \geq 0 \rangle$  by auto
    also have  $\langle \dots \leq \varepsilon / 2 + 2 * n * (\varepsilon / (4 * (n + 1))) \rangle$ 
    apply (intro add-left-mono mult-left-mono)
    by (simp-all add:  $\delta \langle n \geq 0 \rangle$ )
    also have  $\langle \dots = \varepsilon / 2 + 2 * (n / (n + 1)) * (\varepsilon / 4) \rangle$ 
    by simp
    also have  $\langle \dots \leq \varepsilon / 2 + 2 * 1 * (\varepsilon / 4) \rangle$ 
    apply (intro add-left-mono mult-left-mono mult-right-mono)
    using  $\langle n \geq 0 \rangle \langle \varepsilon > 0 \rangle$  by auto
    also have  $\langle \dots = \varepsilon \rangle$ 
    by simp
    finally show  $\langle \delta^2 + 2 * n * \delta \leq \varepsilon \rangle$ 
    by -
  qed

  from  $\langle \delta > 0 \rangle$  obtain  $\psi$  where  $\psi\varepsilon$ :  $\langle \text{norm} (\text{sqrt-op} (\text{abs-op } t)) - \delta \leq \text{norm} (\text{sqrt-op} (\text{abs-op } t)) *_{\mathbb{V}} \psi \rangle$  and  $\langle \text{norm } \psi = 1 \rangle$ 
  apply atomize-elim by (rule cblinfun-norm-approx-witness')

  have aux1:  $\langle 2 * \text{complex-of-real } x = \text{complex-of-real } (2 * x) \rangle$  for  $x$ 
  by simp

  have  $\langle \text{complex-of-real} (\text{norm } t) = \text{norm} (\text{abs-op } t) \rangle$ 
  by simp
  also have  $\langle \dots = (\text{norm} (\text{sqrt-op} (\text{abs-op } t)))^2 \rangle$ 
  by (simp add: positive-hermitianI flip: norm-AadjA)
  also have  $\langle \dots \leq (\text{norm} (\text{sqrt-op} (\text{abs-op } t)) *_{\mathbb{V}} \psi + \delta)^2 \rangle$ 
  by (smt (verit)  $\psi\varepsilon$  complex-of-real-mono norm-triangle-ineq4 norm-triangle-sub pos2)

```

```

power-strict-mono)
  also have ⟨... = (norm (sqrt-op (abs-op t) *V ψ))2 + δ2 + 2 * norm (sqrt-op (abs-op t)
*V ψ) * δ⟩
  by (simp add: power2-sum)
  also have ⟨... ≤ (norm (sqrt-op (abs-op t) *V ψ))2 + δ2 + 2 * norm (sqrt-op (abs-op t)
* δ)⟩
  apply (rule complex-of-real-mono-iff[THEN iffD2])
  by (smt (z3) ⟨0 < δ⟩ ⟨norm ψ = 1⟩ more-arith-simps(11) mult-less-cancel-right-disj
norm-cblinfun one-power2 power2-eq-square)
  also have ⟨... ≤ (norm (sqrt-op (abs-op t) *V ψ))2 + ε⟩
  apply (rule complex-of-real-mono-iff[THEN iffD2])
  using δ-small by auto
  also have ⟨... = ((sqrt-op (abs-op t) *V ψ) •C (sqrt-op (abs-op t) *V ψ)) + ε⟩
  by (simp add: cdot-square-norm)
  also have ⟨... = (ψ •C (abs-op t *V ψ)) + ε⟩
  by (simp add: positive-hermitianI flip: cinner-adj-right cblinfun-apply-cblinfun-compose)
  also have ⟨... ≤ trace-norm t + ε⟩
  using ⟨norm ψ = 1⟩ ⟨trace-class t⟩ by (auto simp add: trace-norm-geq-cinner-abs-op)
  finally show ⟨norm t ≤ trace-norm t + ε⟩
  using complex-of-real-mono-iff by blast
qed
qed

```

```

lemma clinear-from-trace-class[iff]: ⟨clinear from-trace-class⟩
  apply (rule clinearI; transfer)
  by auto

```

```

lemma bounded-clinear-from-trace-class[bounded-clinear]:
  ⟨bounded-clinear (from-trace-class :: ('a::chilbert-space, 'b::chilbert-space) trace-class ⇒ -)⟩
proof (cases ⟨class.not-singleton TYPE('a)⟩)
  case True
  show ?thesis
  apply (rule bounded-clinearI[where K=1]; transfer)
  by (auto intro!: norm-leq-trace-norm[internalize-sort' 'a] chilbert-space-axioms True)
next
  case False
  then have zero: ⟨A = 0⟩ for A :: ⟨'a ⇒CL 'b⟩
  by (rule not-not-singleton-cblinfun-zero)
  show ?thesis
  apply (rule bounded-clinearI[where K=1])
  by (subst zero, simp)+
qed

```

instantiation trace-class :: (chilbert-space, chilbert-space) order **begin**

lift-definition less-eq-trace-class :: ⟨('a, 'b) trace-class ⇒ ('a, 'b) trace-class ⇒ bool⟩ **is**
less-eq.

lift-definition less-trace-class :: ⟨('a, 'b) trace-class ⇒ ('a, 'b) trace-class ⇒ bool⟩ **is**
less.

instance

apply *intro-classes*

apply (*auto simp add: less-eq-trace-class.rep-eq less-trace-class.rep-eq*)

by (*simp add: from-trace-class-inject*)

end

lift-definition *compose-tcl* :: $\langle 'a::\text{hilbert-space}, 'b::\text{hilbert-space} \rangle \text{ trace-class} \Rightarrow ('c::\text{hilbert-space} \Rightarrow_{CL} 'a) \Rightarrow ('c, 'b) \text{ trace-class}$ **is**
 $\langle \text{cblinfun-compose} :: 'a \Rightarrow_{CL} 'b \Rightarrow 'c \Rightarrow_{CL} 'a \Rightarrow 'c \Rightarrow_{CL} 'b \rangle$
by (*simp add: trace-class-comp-left*)

lift-definition *compose-tcr* :: $\langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle \Rightarrow ('c::\text{hilbert-space}, 'a) \text{ trace-class} \Rightarrow ('c, 'b) \text{ trace-class}$ **is**
 $\langle \text{cblinfun-compose} :: 'a \Rightarrow_{CL} 'b \Rightarrow 'c \Rightarrow_{CL} 'a \Rightarrow 'c \Rightarrow_{CL} 'b \rangle$
by (*simp add: trace-class-comp-right*)

lemma *norm-compose-tcl*: $\langle \text{norm} (\text{compose-tcl } a \ b) \leq \text{norm } a * \text{norm } b \rangle$

by (*auto intro!: trace-norm-comp-left simp: norm-trace-class.rep-eq compose-tcl.rep-eq*)

lemma *norm-compose-tcr*: $\langle \text{norm} (\text{compose-tcr } a \ b) \leq \text{norm } a * \text{norm } b \rangle$

by (*auto intro!: trace-norm-comp-right simp: norm-trace-class.rep-eq compose-tcr.rep-eq*)

interpretation *compose-tcl*: *bounded-cbilinear compose-tcl*

proof (*intro bounded-cbilinear.intro exI[of - 1] allI*)

fix $a \ a' :: \langle 'a, 'b \rangle \text{ trace-class}$ **and** $b \ b' :: \langle 'c \Rightarrow_{CL} 'a \rangle$ **and** $r :: \text{complex}$

show $\langle \text{compose-tcl } (a + a') \ b = \text{compose-tcl } a \ b + \text{compose-tcl } a' \ b \rangle$

apply *transfer*

by (*simp add: cblinfun-compose-add-left*)

show $\langle \text{compose-tcl } a \ (b + b') = \text{compose-tcl } a \ b + \text{compose-tcl } a \ b' \rangle$

apply *transfer*

by (*simp add: cblinfun-compose-add-right*)

show $\langle \text{compose-tcl } (r *_{\mathbb{C}} a) \ b = r *_{\mathbb{C}} \text{compose-tcl } a \ b \rangle$

apply *transfer*

by *simp*

show $\langle \text{compose-tcl } a \ (r *_{\mathbb{C}} b) = r *_{\mathbb{C}} \text{compose-tcl } a \ b \rangle$

apply *transfer*

by *simp*

show $\langle \text{norm} (\text{compose-tcl } a \ b) \leq \text{norm } a * \text{norm } b * 1 \rangle$

by (*simp add: norm-compose-tcl*)

qed

interpretation *compose-tcr*: *bounded-cbilinear compose-tcr*

proof (*intro bounded-cbilinear.intro exI[of - 1] allI*)

fix $a \ a' :: \langle 'a \Rightarrow_{CL} 'b \rangle$ **and** $b \ b' :: \langle 'c, 'a \rangle \text{ trace-class}$ **and** $r :: \text{complex}$

show $\langle \text{compose-tcr } (a + a') \ b = \text{compose-tcr } a \ b + \text{compose-tcr } a' \ b \rangle$

apply *transfer*

by (*simp add: cblinfun-compose-add-left*)

show $\langle \text{compose-tcr } a \ (b + b') = \text{compose-tcr } a \ b + \text{compose-tcr } a \ b' \rangle$

apply *transfer*
by (*simp add: cblinfun-compose-add-right*)
show $\langle \text{compose-tcr } (r *_C a) b = r *_C \text{compose-tcr } a b \rangle$
apply *transfer*
by *simp*
show $\langle \text{compose-tcr } a (r *_C b) = r *_C \text{compose-tcr } a b \rangle$
apply *transfer*
by *simp*
show $\langle \text{norm } (\text{compose-tcr } a b) \leq \text{norm } a * \text{norm } b * 1 \rangle$
by (*simp add: norm-compose-tcr*)
qed

lemma *trace-norm-sandwich*: $\langle \text{trace-norm } (\text{sandwich } e t) \leq (\text{norm } e)^2 * \text{trace-norm } t \rangle$ **if**
 $\langle \text{trace-class } t \rangle$

apply (*simp add: sandwich-apply*)
by (*smt (z3) Groups.mult-ac(2) more-arith-simps(11) mult-left-mono norm-adj norm-ge-zero power2-eq-square that trace-class-comp-right trace-norm-comp-left trace-norm-comp-right*)

lemma *trace-class-sandwich*: $\langle \text{trace-class } b \implies \text{trace-class } (\text{sandwich } a b) \rangle$
by (*simp add: sandwich-apply trace-class-comp-right trace-class-comp-left*)

definition $\langle \text{sandwich-tc } e t = \text{compose-tcl } (\text{compose-tcr } e t) (e*) \rangle$

lemma *sandwich-tc-transfer*[*transfer-rule*]:

includes *lifting-syntax*
shows $\langle ((=) \implies \text{cr-trace-class} \implies \text{cr-trace-class}) (\lambda e. (*_V) (\text{sandwich } e)) \text{sandwich-tc} \rangle$
by (*auto intro!: rel-funI simp: sandwich-tc-def cr-trace-class-def compose-tcl.rep-eq compose-tcr.rep-eq sandwich-apply*)

lemma *from-trace-class-sandwich-tc*:

$\langle \text{from-trace-class } (\text{sandwich-tc } e t) = \text{sandwich } e (\text{from-trace-class } t) \rangle$
apply *transfer*
by (*rule sandwich-apply*)

lemma *norm-sandwich-tc*: $\langle \text{norm } (\text{sandwich-tc } e t) \leq (\text{norm } e)^2 * \text{norm } t \rangle$

by (*simp add: norm-trace-class.rep-eq from-trace-class-sandwich-tc trace-norm-sandwich*)

lemma *sandwich-tc-pos*: $\langle \text{sandwich-tc } e t \geq 0 \rangle$ **if** $\langle t \geq 0 \rangle$

using that **apply** (*transfer fixing: e*)
by (*simp add: sandwich-pos*)

lemma *sandwich-tc-scaleC-right*: $\langle \text{sandwich-tc } e (c *_C t) = c *_C \text{sandwich-tc } e t \rangle$

apply (*transfer fixing: e c*)
by (*simp add: cblinfun.scaleC-right*)

lemma *sandwich-tc-plus*: $\langle \text{sandwich-tc } e (t + u) = \text{sandwich-tc } e t + \text{sandwich-tc } e u \rangle$

by (*simp add: sandwich-tc-def compose-tcr.add-right compose-tcl.add-left*)

lemma sandwich-tc-minus: $\langle \text{sandwich-tc } e (t - u) = \text{sandwich-tc } e t - \text{sandwich-tc } e u \rangle$
by (*simp add: sandwich-tc-def compose-tcr.diff-right compose-tcl.diff-left*)

lemma sandwich-tc-uminus-right: $\langle \text{sandwich-tc } e (-t) = - \text{sandwich-tc } e t \rangle$
by (*metis (no-types, lifting) add.right-inverse arith-simps(50) diff-0 group-cancel.sub1 sandwich-tc-minus*)

lemma trace-comp-pos:

fixes $a b :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$

assumes $\langle \text{trace-class } b \rangle$

assumes $\langle a \geq 0 \rangle$ **and** $\langle b \geq 0 \rangle$

shows $\langle \text{trace } (a \circ_{CL} b) \geq 0 \rangle$

proof –

obtain $c :: \langle 'a \Rightarrow_{CL} 'a \rangle$ **where** $\langle a = c * \circ_{CL} c \rangle$

by (*metis assms(2) positive-hermitianI sqrt-op-pos sqrt-op-square*)

then have $\langle \text{trace } (a \circ_{CL} b) = \text{trace } (\text{sandwich } c b) \rangle$

by (*simp add: sandwich-apply assms(1) cblinfun-assoc-left(1) circularity-of-trace trace-class-comp-right*)

also have $\langle \dots \geq 0 \rangle$

by (*auto intro!: trace-pos sandwich-pos assms*)

finally show *?thesis*

by –

qed

lemma trace-norm-one-dim: $\langle \text{trace-norm } x = \text{cmod } (\text{one-dim-iso } x) \rangle$

apply (*rule of-real-eq-iff[where 'a=complex, THEN iffD1]*)

apply (*simp add: abs-op-one-dim flip: trace-abs-op*)

by (*simp add: abs-complex-def*)

lemma trace-norm-bounded:

fixes $A B :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$

assumes $\langle A \geq 0 \rangle$ **and** $\langle \text{trace-class } B \rangle$

assumes $\langle A \leq B \rangle$

shows $\langle \text{trace-class } A \rangle$

proof –

have $\langle (\lambda x. x \cdot_C (B *_V x)) \text{ abs-summable-on some-chilbert-basis} \rangle$

by (*metis assms(2) is-onb-some-chilbert-basis summable-on-iff-abs-summable-on-complex trace-exists*)

then have $\langle (\lambda x. x \cdot_C (A *_V x)) \text{ abs-summable-on some-chilbert-basis} \rangle$

apply (*rule abs-summable-on-comparison-test*)

using $\langle A \geq 0 \rangle \langle A \leq B \rangle$

by (*auto intro!: cmod-mono cinner-pos-if-pos simp: abs-op-id-on-pos less-eq-cblinfun-def*)

then show *?thesis*

by (*auto intro!: trace-classI[OF is-onb-some-chilbert-basis] simp: abs-op-id-on-pos \langle A \geq 0 \rangle*)

qed

lemma trace-norm-cblinfun-mono:

fixes $A B :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$

```

assumes  $\langle A \geq 0 \rangle$  and  $\langle \text{trace-class } B \rangle$ 
assumes  $\langle A \leq B \rangle$ 
shows  $\langle \text{trace-norm } A \leq \text{trace-norm } B \rangle$ 
proof –
  from assms have  $\langle \text{trace-class } A \rangle$ 
    by (rule trace-norm-bounded)
  from  $\langle A \leq B \rangle$  and  $\langle A \geq 0 \rangle$ 
  have  $\langle B \geq 0 \rangle$ 
    by simp
  have  $\langle \text{cmod } (x \cdot_C (\text{abs-op } A *_{\mathcal{V}} x)) \leq \text{cmod } (x \cdot_C (\text{abs-op } B *_{\mathcal{V}} x)) \rangle$  for  $x$ 
    using  $\langle A \leq B \rangle$ 
    unfolding less-eq-cblinfun-def
    using  $\langle A \geq 0 \rangle$   $\langle B \geq 0 \rangle$ 
    by (auto intro!: cmod-mono cinner-pos-if-pos simp: abs-op-id-on-pos)
  then show  $\langle \text{trace-norm } A \leq \text{trace-norm } B \rangle$ 
    using  $\langle \text{trace-class } A \rangle$   $\langle \text{trace-class } B \rangle$ 
    by (auto intro!: infsum-mono
      simp add: trace-norm-def trace-class-iff-summable[OF is-onb-some-chilbert-basis])
qed

```

```

lemma norm-cblinfun-mono-trace-class:
  fixes  $A B :: \langle 'a :: \text{chilbert-space}, 'a \rangle \text{trace-class}$ 
  assumes  $\langle A \geq 0 \rangle$ 
  assumes  $\langle A \leq B \rangle$ 
  shows  $\langle \text{norm } A \leq \text{norm } B \rangle$ 
  using assms
  apply transfer
  apply (rule trace-norm-cblinfun-mono)
  by auto

```

```

lemma trace-norm-butterfly:  $\langle \text{trace-norm } (\text{butterfly } a \ b) = (\text{norm } a) * (\text{norm } b) \rangle$ 
  for  $a \ b :: \langle - :: \text{chilbert-space} \rangle$ 
proof –
  have  $\langle \text{trace-norm } (\text{butterfly } a \ b) = \text{trace } (\text{abs-op } (\text{butterfly } a \ b)) \rangle$ 
    by (simp flip: trace-abs-op)
  also have  $\langle \dots = (\text{norm } a / \text{norm } b) * \text{trace } (\text{selfbutter } b) \rangle$ 
    by (simp add: abs-op-butterfly scaleR-scaleC trace-scaleC del: trace-abs-op)
  also have  $\langle \dots = (\text{norm } a / \text{norm } b) * \text{trace } ((\text{vector-to-cblinfun } b :: \text{complex} \Rightarrow_{CL} -) * o_{CL} \text{vector-to-cblinfun } b) \rangle$ 
    apply (subst butterfly-def)
    apply (subst circularity-of-trace)
    by simp-all
  also have  $\langle \dots = (\text{norm } a / \text{norm } b) * (b \cdot_C b) \rangle$ 
    by simp
  also have  $\langle \dots = (\text{norm } a) * (\text{norm } b) \rangle$ 
    by (simp add: cdot-square-norm power2-eq-square)
  finally show ?thesis

```

using of-real-eq-iff by blast
qed

lemma *from-trace-class-sum*:

shows $\langle \text{from-trace-class } (\sum x \in M. f x) = (\sum x \in M. \text{from-trace-class } (f x)) \rangle$
apply (*induction M rule:infinite-finite-induct*)
by (*simp-all add: plus-trace-class.rep-eq*)

lemma *has-sum-mono-neutral-traceclass*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space}, 'b) \text{trace-class}$
assumes $\langle f \text{ has-sum } a \rangle A$ **and** $\langle g \text{ has-sum } b \rangle B$
assumes $\langle \bigwedge x. x \in A \cap B \implies f x \leq g x \rangle$
assumes $\langle \bigwedge x. x \in A - B \implies f x \leq 0 \rangle$
assumes $\langle \bigwedge x. x \in B - A \implies g x \geq 0 \rangle$
shows $a \leq b$

proof –

from *assms(1)*
have $\langle (\lambda x. \text{from-trace-class } (f x)) \text{ has-sum from-trace-class } a \rangle A$
apply (*rule Infinite-Sum.has-sum-bounded-linear[rotated]*)
by (*intro bounded-clinear-from-trace-class bounded-clinear.bounded-linear*)
moreover
from *assms(2)*
have $\langle (\lambda x. \text{from-trace-class } (g x)) \text{ has-sum from-trace-class } b \rangle B$
apply (*rule Infinite-Sum.has-sum-bounded-linear[rotated]*)
by (*intro bounded-clinear-from-trace-class bounded-clinear.bounded-linear*)
ultimately have $\langle \text{from-trace-class } a \leq \text{from-trace-class } b \rangle$
apply (*rule has-sum-mono-neutral-cblinfun*)
using *assms* **by** (*auto simp: less-eq-trace-class.rep-eq*)
then show *?thesis*
by (*auto simp: less-eq-trace-class.rep-eq*)

qed

lemma *has-sum-mono-traceclass*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space}, 'b) \text{trace-class}$
assumes $\langle f \text{ has-sum } x \rangle A$ **and** $\langle g \text{ has-sum } y \rangle A$
assumes $\langle \bigwedge x. x \in A \implies f x \leq g x \rangle$
shows $x \leq y$
using *assms has-sum-mono-neutral-traceclass* **by** *force*

lemma *infsun-mono-traceclass*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space}, 'b) \text{trace-class}$
assumes $f \text{ summable-on } A$ **and** $g \text{ summable-on } A$
assumes $\langle \bigwedge x. x \in A \implies f x \leq g x \rangle$
shows $\text{infsun } f A \leq \text{infsun } g A$
by (*meson assms has-sum-infsun has-sum-mono-traceclass*)

lemma *infsun-mono-neutral-traceclass*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space}, 'b) \text{trace-class}$
assumes $f \text{ summable-on } A$ **and** $g \text{ summable-on } B$
assumes $\langle \bigwedge x. x \in A \cap B \implies f x \leq g x \rangle$
assumes $\langle \bigwedge x. x \in A - B \implies f x \leq 0 \rangle$
assumes $\langle \bigwedge x. x \in B - A \implies g x \geq 0 \rangle$
shows $\text{infsum } f A \leq \text{infsum } g B$
using $\text{assms}(1) \text{ assms}(2) \text{ assms}(3) \text{ assms}(4) \text{ assms}(5) \text{ has-sum-mono-neutral-traceclass summable-iff-has-sum-infsum}$
by blast

instance $\text{trace-class} :: (\text{chilbert-space}, \text{chilbert-space}) \text{ordered-complex-vector}$
apply $(\text{intro-classes}; \text{transfer})$
by $(\text{auto intro!}; \text{scaleC-left-mono scaleC-right-mono})$

lemma $\text{Abs-trace-class-geq0I}: \langle 0 \leq \text{Abs-trace-class } t \rangle$ **if** $\langle \text{trace-class } t \rangle$ **and** $\langle t \geq 0 \rangle$
using that by $(\text{simp add}; \text{zero-trace-class.abs-eq less-eq-trace-class.abs-eq eq-onp-def})$

lift-definition $\text{tc-compose} :: \langle ('b::\text{chilbert-space}, 'c::\text{chilbert-space}) \text{trace-class} \Rightarrow ('a::\text{chilbert-space}, 'b) \text{trace-class} \Rightarrow ('a, 'c) \text{trace-class} \rangle$ **is**
 cblinfun-compose
by $(\text{simp add}; \text{trace-class-comp-left})$

lemma $\text{norm-tc-compose}: \langle \text{norm } (\text{tc-compose } a b) \leq \text{norm } a * \text{norm } b \rangle$
proof transfer
fix $a :: \langle 'c \Rightarrow_{CL} 'b \rangle$ **and** $b :: \langle 'a \Rightarrow_{CL} 'c \rangle$
assume $\langle a \in \text{Collect trace-class} \rangle$ **and** $\text{tc-b}: \langle b \in \text{Collect trace-class} \rangle$
then have $\langle \text{trace-norm } (a \circ_{CL} b) \leq \text{trace-norm } a * \text{norm } b \rangle$
by $(\text{simp add}; \text{trace-norm-comp-left})$
also have $\langle \dots \leq \text{trace-norm } a * \text{trace-norm } b \rangle$
using tc-b **by** $(\text{auto intro!}; \text{mult-left-mono norm-leq-trace-norm})$
finally show $\langle \text{trace-norm } (a \circ_{CL} b) \leq \text{trace-norm } a * \text{trace-norm } b \rangle$
by $-$
qed

lift-definition $\text{trace-tc} :: \langle ('a::\text{chilbert-space}, 'a) \text{trace-class} \Rightarrow \text{complex} \rangle$ **is** trace.

lemma $\text{trace-tc-plus}: \langle \text{trace-tc } (a + b) = \text{trace-tc } a + \text{trace-tc } b \rangle$
apply transfer **by** $(\text{simp add}; \text{trace-plus})$

lemma $\text{trace-tc-scaleC}: \langle \text{trace-tc } (c *_C a) = c *_C \text{trace-tc } a \rangle$
apply transfer **by** $(\text{simp add}; \text{trace-scaleC})$

lemma $\text{trace-tc-norm}: \langle \text{norm } (\text{trace-tc } a) \leq \text{norm } a \rangle$
apply transfer **by** auto

lemma $\text{bounded-clinear-trace-tc}[\text{bounded-clinear}, \text{simp}]: \langle \text{bounded-clinear trace-tc} \rangle$
apply $(\text{rule bounded-clinearI}[\text{where } K=I])$
by $(\text{auto simp}; \text{trace-tc-scaleC trace-tc-plus intro!}; \text{trace-tc-norm})$

```

lemma norm-tc-pos: ⟨norm A = trace-tc A⟩ if ⟨A ≥ 0⟩
  using that apply transfer by (simp add: trace-norm-pos)

lemma norm-tc-pos-Re: ⟨norm A = Re (trace-tc A)⟩ if ⟨A ≥ 0⟩
  using norm-tc-pos[OF that]
  by (metis Re-complex-of-real)

lemma from-trace-class-pos: ⟨from-trace-class A ≥ 0 ⟷ A ≥ 0⟩
  by (simp add: less-eq-trace-class.rep-eq)

lemma infsum-tc-norm-bounded-abs-summable:
  fixes A :: ⟨'a ⇒ ('b::chilbert-space, 'b::chilbert-space) trace-class⟩
  assumes pos: ⟨∧x. x ∈ M ⇒ A x ≥ 0⟩
  assumes bound-B: ⟨∧F. finite F ⇒ F ⊆ M ⇒ norm (∑ x∈F. A x) ≤ B⟩
  shows ⟨A abs-summable-on M⟩
proof –
  have ⟨(∑ x∈F. norm (A x)) = norm (∑ x∈F. A x)⟩ if ⟨F ⊆ M⟩ for F
  proof –
  have ⟨complex-of-real (∑ x∈F. norm (A x)) = (∑ x∈F. complex-of-real (trace-norm (from-trace-class
(A x))))⟩
    by (simp add: norm-trace-class.rep-eq trace-norm-pos)
  also have ⟨... = (∑ x∈F. trace (from-trace-class (A x)))⟩
    using that pos by (auto intro!: sum.cong simp add: trace-norm-pos less-eq-trace-class.rep-eq)
  also have ⟨... = trace (from-trace-class (∑ x∈F. A x))⟩
    by (simp add: from-trace-class-sum trace-sum)
  also have ⟨... = norm (∑ x∈F. A x)⟩
    by (smt (verit, ccfv-threshold) calculation norm-of-real norm-trace-class.rep-eq sum-norm-le
trace-leq-trace-norm)
  finally show ?thesis
    using of-real-eq-iff by blast
  qed
with bound-B have bound-B': ⟨(∑ x∈F. norm (A x)) ≤ B⟩ if ⟨finite F⟩ and ⟨F ⊆ M⟩ for F
  by (metis that(1) that(2))
then show ⟨A abs-summable-on M⟩
  apply (rule-tac nonneg-bdd-above-summable-on)
  by (auto intro!: bdd-aboveI)
qed

lemma trace-norm-uminus[simp]: ⟨trace-norm (–a) = trace-norm a⟩
  by (metis abs-op-uminus of-real-eq-iff trace-abs-op)

lemma trace-norm-triangle-minus:
  fixes a b :: ⟨'a::chilbert-space ⇒CL 'b::chilbert-space⟩
  assumes [simp]: ⟨trace-class a⟩ ⟨trace-class b⟩
  shows ⟨trace-norm (a – b) ≤ trace-norm a + trace-norm b⟩
  using trace-norm-triangle[of a ⟨–b⟩]
  by auto

```

lemma *trace-norm-abs-op*[simp]: $\langle \text{trace-norm } (\text{abs-op } t) = \text{trace-norm } t \rangle$
by (*simp add: trace-norm-def*)

lemma

fixes $t :: \langle 'a \Rightarrow_{CL} 'a :: \text{chilbert-space} \rangle$

shows *cblinfun-decomp-4pos*: \langle

$\exists t1\ t2\ t3\ t4.$

$t = t1 - t2 + i *_{C} t3 - i *_{C} t4$

$\wedge t1 \geq 0 \wedge t2 \geq 0 \wedge t3 \geq 0 \wedge t4 \geq 0 \rangle$ (**is** *?thesis1*)

and *trace-class-decomp-4pos*: $\langle \text{trace-class } t \implies$

$\exists t1\ t2\ t3\ t4.$

$t = t1 - t2 + i *_{C} t3 - i *_{C} t4$

$\wedge \text{trace-class } t1 \wedge \text{trace-class } t2 \wedge \text{trace-class } t3 \wedge \text{trace-class } t4$

$\wedge \text{trace-norm } t1 \leq \text{trace-norm } t \wedge \text{trace-norm } t2 \leq \text{trace-norm } t \wedge \text{trace-norm } t3$

$\leq \text{trace-norm } t \wedge \text{trace-norm } t4 \leq \text{trace-norm } t$

$\wedge t1 \geq 0 \wedge t2 \geq 0 \wedge t3 \geq 0 \wedge t4 \geq 0 \rangle$ (**is** $\langle - \implies ?thesis2 \rangle$)

proof –

define $th\ ta$ **where** $\langle th = (1/2) *_{C} (t + t*) \rangle$ **and** $\langle ta = (-i/2) *_{C} (t - t*) \rangle$

have $th\text{-herm}$: $\langle th* = th \rangle$

by (*simp add: adj-plus th-def*)

have $\langle ta* = ta \rangle$

by (*simp add: adj-minus ta-def scaleC-diff-right adj-uminus*)

have $\langle t = th + i *_{C} ta \rangle$

by (*smt (verit, ccfv-SIG) add.commute add.inverse-inverse complex-i-mult-minus complex-vector.vector-space-assms(1) complex-vector.vector-space-assms(3) diff-add-cancel group-cancel.add2 i-squared scaleC-half-double ta-def th-def times-divide-eq-right*)

define $t1\ t2$ **where** $\langle t1 = (\text{abs-op } th + th) /_{R} 2 \rangle$ **and** $\langle t2 = (\text{abs-op } th - th) /_{R} 2 \rangle$

have $\langle t1 \geq 0 \rangle$

using *abs-op-geq-neq[unfolded selfadjoint-def, OF $\langle th* = th \rangle$]* *ordered-field-class.sign-simps(15)*

by (*fastforce simp add: t1-def intro!: scaleR-nonneg-nonneg*)

have $\langle t2 \geq 0 \rangle$

using *abs-op-geq-neq[unfolded selfadjoint-def, OF $\langle th* = th \rangle$]* *ordered-field-class.sign-simps(15)*

by (*fastforce simp add: t2-def intro!: scaleR-nonneg-nonneg*)

have $\langle th = t1 - t2 \rangle$

apply (*simp add: t1-def t2-def*)

by (*metis (no-types, opaque-lifting) Extra-Ordered-Fields.sign-simps(8) diff-add-cancel ordered-field-class.sign-simps(2) ordered-field-class.sign-simps(27) scaleR-half-double*)

define $t3\ t4$ **where** $\langle t3 = (\text{abs-op } ta + ta) /_{R} 2 \rangle$ **and** $\langle t4 = (\text{abs-op } ta - ta) /_{R} 2 \rangle$

have $\langle t3 \geq 0 \rangle$

using *abs-op-geq-neq[unfolded selfadjoint-def, OF $\langle ta* = ta \rangle$]* *ordered-field-class.sign-simps(15)*

by (*fastforce simp add: t3-def intro!: scaleR-nonneg-nonneg*)

have $\langle t4 \geq 0 \rangle$

using *abs-op-geq-neq[unfolded selfadjoint-def, OF $\langle ta* = ta \rangle$]* *ordered-field-class.sign-simps(15)*

by (*fastforce simp add: t4-def intro!: scaleR-nonneg-nonneg*)

have $\langle ta = t3 - t4 \rangle$

apply (*simp add: t3-def t4-def*)

by (*metis (no-types, opaque-lifting) Extra-Ordered-Fields.sign-simps(8) diff-add-cancel ordered-field-class.sign-simps(2) ordered-field-class.sign-simps(27) scaleR-half-double*)

```

have decomp: ⟨ $t = t1 - t2 + i *_{\mathbb{C}} t3 - i *_{\mathbb{C}} t4$ ⟩
  by (simp add: ⟨ $t = th + i *_{\mathbb{C}} ta$ ⟩ ⟨ $th = t1 - t2$ ⟩ ⟨ $ta = t3 - t4$ ⟩ scaleC-diff-right)
from decomp ⟨ $t1 \geq 0$ ⟩ ⟨ $t2 \geq 0$ ⟩ ⟨ $t3 \geq 0$ ⟩ ⟨ $t4 \geq 0$ ⟩
show ?thesis1
  by auto
show ?thesis2 if ⟨trace-class  $t$ ⟩
proof -
  have ⟨trace-class  $th$ ⟩ ⟨trace-class  $ta$ ⟩
    by (auto simp add: th-def ta-def
      intro!: ⟨trace-class  $t$ ⟩ trace-class-scaleC trace-class-plus trace-class-minus trace-class-uminus
trace-class-adj)
  then have tc: ⟨trace-class  $t1$ ⟩ ⟨trace-class  $t2$ ⟩ ⟨trace-class  $t3$ ⟩ ⟨trace-class  $t4$ ⟩
    by (auto simp add: t1-def t2-def t3-def t4-def scaleR-scaleC intro!: trace-class-scaleC)
  have tn-th: ⟨trace-norm  $th \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle[of  $t$   $\langle t^* \rangle$ ]
    by (auto simp add: that th-def trace-norm-scaleC)
  have tn-ta: ⟨trace-norm  $ta \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle-minus[of  $t$   $\langle t^* \rangle$ ]
    by (auto simp add: that ta-def trace-norm-scaleC)
  have tn1: ⟨trace-norm  $t1 \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle[of ⟨abs-op  $th$ ⟩  $th$ ] tn-th
    by (auto simp add: ⟨trace-class  $th$ ⟩ t1-def trace-norm-scaleC scaleR-scaleC)
  have tn2: ⟨trace-norm  $t2 \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle-minus[of ⟨abs-op  $th$ ⟩  $th$ ] tn-th
    by (auto simp add: ⟨trace-class  $th$ ⟩ t2-def trace-norm-scaleC scaleR-scaleC)
  have tn3: ⟨trace-norm  $t3 \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle[of ⟨abs-op  $ta$ ⟩  $ta$ ] tn-ta
    by (auto simp add: ⟨trace-class  $ta$ ⟩ t3-def trace-norm-scaleC scaleR-scaleC)
  have tn4: ⟨trace-norm  $t4 \leq \text{trace-norm } t$ ⟩
    using trace-norm-triangle-minus[of ⟨abs-op  $ta$ ⟩  $ta$ ] tn-ta
    by (auto simp add: ⟨trace-class  $ta$ ⟩ t4-def trace-norm-scaleC scaleR-scaleC)
  from decomp tc ⟨ $t1 \geq 0$ ⟩ ⟨ $t2 \geq 0$ ⟩ ⟨ $t3 \geq 0$ ⟩ ⟨ $t4 \geq 0$ ⟩ tn1 tn2 tn3 tn4
  show ?thesis2
    by auto
qed
qed

```

lemma *trace-class-decomp-4pos'*:

fixes $t :: \langle 'a :: \text{chilbert-space}, 'a \rangle \text{ trace-class}$

shows $\langle \exists t1 t2 t3 t4.$

$$t = t1 - t2 + i *_{\mathbb{C}} t3 - i *_{\mathbb{C}} t4$$

$$\wedge \text{norm } t1 \leq \text{norm } t \wedge \text{norm } t2 \leq \text{norm } t \wedge \text{norm } t3 \leq \text{norm } t \wedge \text{norm } t4 \leq \text{norm } t$$

t

$$\wedge t1 \geq 0 \wedge t2 \geq 0 \wedge t3 \geq 0 \wedge t4 \geq 0 \rangle$$

proof -

from *trace-class-decomp-4pos*[of ⟨*from-trace-class* t ⟩, *OF trace-class-from-trace-class*]

obtain $t1' t2' t3' t4'$

where $*$: ⟨*from-trace-class* $t = t1' - t2' + i *_{\mathbb{C}} t3' - i *_{\mathbb{C}} t4'$

$$\wedge \text{trace-class } t1' \wedge \text{trace-class } t2' \wedge \text{trace-class } t3' \wedge \text{trace-class } t4'$$

$\wedge \text{trace-norm } t1' \leq \text{trace-norm } (\text{from-trace-class } t) \wedge \text{trace-norm } t2' \leq \text{trace-norm } (\text{from-trace-class } t) \wedge \text{trace-norm } t3' \leq \text{trace-norm } (\text{from-trace-class } t) \wedge \text{trace-norm } t4' \leq \text{trace-norm } (\text{from-trace-class } t)$
 $\wedge t1' \geq 0 \wedge t2' \geq 0 \wedge t3' \geq 0 \wedge t4' \geq 0$

by *auto*
then obtain $t1\ t2\ t3\ t4$ **where**
 $t1234: \langle t1' = \text{from-trace-class } t1 \rangle \langle t2' = \text{from-trace-class } t2 \rangle \langle t3' = \text{from-trace-class } t3 \rangle \langle t4' = \text{from-trace-class } t4 \rangle$
 $= \text{from-trace-class } t4$
by (*metis from-trace-class-cases mem-Collect-eq*)
with * have $n1234: \langle \text{norm } t1 \leq \text{norm } t \rangle \langle \text{norm } t2 \leq \text{norm } t \rangle \langle \text{norm } t3 \leq \text{norm } t \rangle \langle \text{norm } t4 \leq \text{norm } t \rangle$
by (*metis norm-trace-class.rep-eq*)
have $t\text{-decomp}: \langle t = t1 - t2 + i *_{\mathbb{C}} t3 - i *_{\mathbb{C}} t4 \rangle$
using * unfolding $t1234$
by (*auto simp: from-trace-class-inject*
simp flip: scaleC-trace-class.rep-eq plus-trace-class.rep-eq minus-trace-class.rep-eq)
have $pos1234: \langle t1 \geq 0 \rangle \langle t2 \geq 0 \rangle \langle t3 \geq 0 \rangle \langle t4 \geq 0 \rangle$
using * unfolding $t1234$
by (*auto simp: less-eq-trace-class-def*)
from $t\text{-decomp } pos1234\ n1234$
show *?thesis*
by *blast*
qed

thm *bounded-clinear-trace-duality*

lemma *bounded-clinear-trace-duality'*: $\langle \text{trace-class } t \implies \text{bounded-clinear } (\lambda a. \text{trace } (a \ o_{\mathbb{C}L} \ t)) \rangle$
for $t :: \langle \text{::chilbert-space } \Rightarrow_{\mathbb{C}L} \text{::chilbert-space} \rangle$

apply (*rule bounded-clinearI[where K= $\langle \text{trace-norm } t \rangle$]*)
apply (*auto simp add: cblinfun-compose-add-left trace-class-comp-right trace-plus trace-scaleC*)[2]
by (*metis circularity-of-trace order-trans trace-leq-trace-norm trace-norm-comp-right*)

lemma *infsum-nonneg-traceclass*:

fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space}, 'b) \text{trace-class}$
assumes $\bigwedge x. x \in M \implies 0 \leq f\ x$
shows $\text{infsum } f\ M \geq 0$
apply (*cases* $\langle f \text{ summable-on } M \rangle$)
apply (*subst infsum-0-simp[symmetric]*)
apply (*rule infsum-mono-neutral-traceclass*)
using *assms* **by** (*auto simp: infsum-not-exists*)

lemma *sandwich-tc-compose*: $\langle \text{sandwich-tc } (A \ o_{\mathbb{C}L} \ B) = \text{sandwich-tc } A \ o \ \text{sandwich-tc } B \rangle$

apply (*rule ext*)
apply (*rule from-trace-class-inject[THEN iffD1]*)
apply (*transfer fixing: A B*)
by (*simp add: sandwich-compose*)

lemma *sandwich-tc-0-left[simp]*: $\langle \text{sandwich-tc } 0 = 0 \rangle$

by (*auto intro: ext simp add: sandwich-tc-def compose-tcl.zero-left compose-tcr.zero-left*)

lemma *sandwich-tc-0-right*[simp]: $\langle \text{sandwich-tc } e \ 0 = 0 \rangle$
by (*auto intro!*: *ext simp add: sandwich-tc-def compose-tcl.zero-left compose-tcr.zero-right*)

lemma *sandwich-tc-scaleC-left*: $\langle \text{sandwich-tc } (c *_{\mathbb{C}} e) \ t = (\text{cmod } c)^{\wedge} 2 *_{\mathbb{C}} \text{sandwich-tc } e \ t \rangle$
apply (*rule from-trace-class-inject*[*THEN iffD1*])
by (*simp add: from-trace-class-sandwich-tc scaleC-trace-class.rep-eq sandwich-scaleC-left*)

lemma *sandwich-tc-scaleR-left*: $\langle \text{sandwich-tc } (r *_{\mathbb{R}} e) \ t = r^{\wedge} 2 *_{\mathbb{R}} \text{sandwich-tc } e \ t \rangle$
by (*simp add: scaleR-scaleC sandwich-tc-scaleC-left flip: of-real-power*)

lemma *bounded-cbilinear-tc-compose*: $\langle \text{bounded-cbilinear } \text{tc-compose} \rangle$
unfolding *bounded-cbilinear-def*
apply *transfer*
apply (*auto intro!*: *exI*[*of - 1*] *simp: cblinfun-compose-add-left cblinfun-compose-add-right*)
by (*meson norm-leq-trace-norm dual-order.trans mult-right-mono trace-norm-comp-right trace-norm-nneg*)
lemmas *bounded-clinear-tc-compose-left*[*bounded-clinear*] = *bounded-cbilinear.bounded-clinear-left*[*OF bounded-cbilinear-tc-compose*]
lemmas *bounded-clinear-tc-compose-right*[*bounded-clinear*] = *bounded-cbilinear.bounded-clinear-right*[*OF bounded-cbilinear-tc-compose*]

lift-definition *tc-butterfly* :: $\langle 'a::\text{hilbert-space} \Rightarrow 'b::\text{hilbert-space} \Rightarrow ('b, 'a) \text{ trace-class} \rangle$
is *butterfly*
by *simp*

lemma *norm-tc-butterfly*: $\langle \text{norm } (\text{tc-butterfly } \psi \ \varphi) = \text{norm } \psi * \text{norm } \varphi \rangle$
apply (*transfer fixing: \psi \varphi*)
by (*simp add: trace-norm-butterfly*)

lemma *comp-tc-butterfly*[simp]: $\langle \text{tc-compose } (\text{tc-butterfly } a \ b) \ (\text{tc-butterfly } c \ d) = (b \cdot_{\mathbb{C}} c) *_{\mathbb{C}} \text{tc-butterfly } a \ d \rangle$
apply *transfer'*
by *simp*

lemma *tc-butterfly-pos*[simp]: $\langle 0 \leq \text{tc-butterfly } \psi \ \psi \rangle$
apply *transfer*
by *simp*

lift-definition *rank1-tc* :: $\langle ('a::\text{hilbert-space}, 'b::\text{hilbert-space}) \text{ trace-class} \Rightarrow \text{bool} \rangle$ **is** *rank1*.
lift-definition *finite-rank-tc* :: $\langle ('a::\text{hilbert-space}, 'b::\text{hilbert-space}) \text{ trace-class} \Rightarrow \text{bool} \rangle$ **is** *finite-rank*.

lemma *finite-rank-tc-0*[iff]: $\langle \text{finite-rank-tc } 0 \rangle$
apply *transfer* **by** *simp*

lemma *finite-rank-tc-plus*: $\langle \text{finite-rank-tc } (a + b) \rangle$
if $\langle \text{finite-rank-tc } a \rangle$ **and** $\langle \text{finite-rank-tc } b \rangle$
using that **apply** *transfer*
by *simp*

lemma *finite-rank-tc-scale*: $\langle \text{finite-rank-tc } (c \cdot_C a) \rangle$ **if** $\langle \text{finite-rank-tc } a \rangle$
using that apply transfer by simp

lemma *csubspace-finite-rank-tc*: $\langle \text{csubspace } (Collect \text{ finite-rank-tc}) \rangle$
apply *(rule complex-vector.subspaceI)*
by *(auto intro!: finite-rank-tc-plus finite-rank-tc-scale)*

lemma *rank1-trace-class*: $\langle \text{trace-class } a \rangle$ **if** $\langle \text{rank1 } a \rangle$
for $a \ b :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$
using that by *(auto intro!: simp: rank1-iff-butterfly)*

lemma *finite-rank-trace-class*: $\langle \text{trace-class } a \rangle$ **if** $\langle \text{finite-rank } a \rangle$
for $a :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$

proof –

from $\langle \text{finite-rank } a \rangle$ **obtain** $F \ f$ **where** $\langle \text{finite } F \rangle$ **and** $\langle F \subseteq Collect \text{ rank1} \rangle$
and $a\text{-def}$: $\langle a = (\sum_{x \in F}. f \ x \cdot_C x) \rangle$
by *(smt (verit, ccfv-threshold) complex-vector.span-explicit finite-rank-def mem-Collect-eq)*
then show $\langle \text{trace-class } a \rangle$
unfolding $a\text{-def}$
apply *induction*
by *(auto intro!: trace-class-plus trace-class-scaleC intro: rank1-trace-class)*

qed

lemma *trace-minus*:

assumes $\langle \text{trace-class } a \rangle$ $\langle \text{trace-class } b \rangle$
shows $\langle \text{trace } (a - b) = \text{trace } a - \text{trace } b \rangle$
by *(metis (no-types, lifting) add-uminus-conv-diff assms(1) assms(2) trace-class-uminus trace-plus trace-uminus)*

lemma *trace-cblinfun-mono*:

fixes $A \ B :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'a \rangle$
assumes $\langle \text{trace-class } A \rangle$ **and** $\langle \text{trace-class } B \rangle$
assumes $\langle A \leq B \rangle$
shows $\langle \text{trace } A \leq \text{trace } B \rangle$

proof –

have $sumA$: $\langle (\lambda e. e \cdot_C (A *_{\mathcal{V}} e)) \text{ summable-on some-hilbert-basis} \rangle$
by *(auto intro!: trace-exists assms)*
moreover have $sumB$: $\langle (\lambda e. e \cdot_C (B *_{\mathcal{V}} e)) \text{ summable-on some-hilbert-basis} \rangle$
by *(auto intro!: trace-exists assms)*
moreover have $\langle x \cdot_C (A *_{\mathcal{V}} x) \leq x \cdot_C (B *_{\mathcal{V}} x) \rangle$ **for** x
using $assms(3)$ *less-eq-cblinfun-def* **by** *blast*
ultimately have $\langle (\sum_{\infty} e \in \text{some-hilbert-basis}. e \cdot_C (A *_{\mathcal{V}} e)) \leq (\sum_{\infty} e \in \text{some-hilbert-basis}. e \cdot_C (B *_{\mathcal{V}} e)) \rangle$

by *(rule infsum-mono-complex)*

then show *?thesis*

by *(metis assms(1) assms(2) assms(3) diff-ge-0-iff-ge trace-minus trace-pos)*

qed

```

lemma trace-tc-mono:
  assumes ‹A ≤ B›
  shows ‹trace-tc A ≤ trace-tc B›
  using assms
  apply transfer
  by (simp add: trace-cblinfun-mono)

lemma trace-tc-0[simp]: ‹trace-tc 0 = 0›
  apply transfer' by simp

lemma cspan-tc-transfer[transfer-rule]:
  includes lifting-syntax
  shows ‹(rel-set cr-trace-class == => rel-set cr-trace-class) cspan cspan›
proof (intro rel-funI rel-setI)
  fix B :: ‹('a ⇒CL 'b) set› and C
  assume ‹rel-set cr-trace-class B C›
  then have BC: ‹B = from-trace-class ‘ C›
    by (auto intro!: simp: cr-trace-class-def image-iff rel-set-def)

  show ‹∃ t ∈ cspan C. cr-trace-class a t› if ‹a ∈ cspan B› for a
  proof –
    from that obtain F f where ‹finite F› and ‹F ⊆ B› and a-sum: ‹a = (∑ x ∈ F. f x *C x)›
      by (auto simp: complex-vector.span-explicit)
    from ‹F ⊆ B›
    obtain F' where ‹F' ⊆ C› and FF': ‹F = from-trace-class ‘ F'›
      by (auto elim!: subset-imageE simp: BC)
    define t where ‹t = (∑ x ∈ F'. f (from-trace-class x) *C x)›
    have ‹a = from-trace-class t›
      by (simp add: a-sum t-def from-trace-class-sum scaleC-trace-class.rep-eq FF'
        sum.reindex o-def from-trace-class-inject inj-on-def)
    moreover have ‹t ∈ cspan C›
      by (metis (no-types, lifting) ‹F' ⊆ C› complex-vector.span-clauses(4) complex-vector.span-sum
        complex-vector.span-superset subsetD t-def)
    ultimately show ‹∃ t ∈ cspan C. cr-trace-class a t›
      by (auto simp: cr-trace-class-def)
  qed

  show ‹∃ a ∈ cspan B. cr-trace-class a t› if ‹t ∈ cspan C› for t
  proof –
    from that obtain F f where ‹finite F› and ‹F ⊆ C› and t-sum: ‹t = (∑ x ∈ F. f x *C x)›
      by (auto simp: complex-vector.span-explicit)
    define a where ‹a = (∑ x ∈ F. f x *C from-trace-class x)›
    then have ‹a = from-trace-class t›
      by (simp add: t-sum a-def from-trace-class-sum scaleC-trace-class.rep-eq)
    moreover have ‹a ∈ cspan B›
      using BC ‹F ⊆ C›
      by (auto intro!: complex-vector.span-base complex-vector.span-sum complex-vector.span-scale
        simp: a-def)
    ultimately show ?thesis

```


by (auto simp: cr-trace-class-def)
 qed
 qed

lemma *finite-rank-tc-def'*: $\langle \text{finite-rank-tc } A \longleftrightarrow A \in \text{cspan } (\text{Collect rank1-tc}) \rangle$
 apply transfer'
 apply (auto simp: finite-rank-def)
 apply (metis (no-types, lifting) Collect-cong rank1-trace-class)
 by (metis (no-types, lifting) Collect-cong rank1-trace-class)

lemma *tc-butterfly-add-left*: $\langle \text{tc-butterfly } (a + a') b = \text{tc-butterfly } a b + \text{tc-butterfly } a' b \rangle$
 apply transfer
 by (rule butterfly-add-left)

lemma *tc-butterfly-add-right*: $\langle \text{tc-butterfly } a (b + b') = \text{tc-butterfly } a b + \text{tc-butterfly } a b' \rangle$
 apply transfer
 by (rule butterfly-add-right)

lemma *tc-butterfly-sum-left*: $\langle \text{tc-butterfly } (\sum i \in M. \psi i) \varphi = (\sum i \in M. \text{tc-butterfly } (\psi i) \varphi) \rangle$
 apply transfer
 by (rule butterfly-sum-left)

lemma *tc-butterfly-sum-right*: $\langle \text{tc-butterfly } \psi (\sum i \in M. \varphi i) = (\sum i \in M. \text{tc-butterfly } \psi (\varphi i)) \rangle$
 apply transfer
 by (rule butterfly-sum-right)

lemma *tc-butterfly-scaleC-left[simp]*: $\text{tc-butterfly } (c *_C \psi) \varphi = c *_C \text{tc-butterfly } \psi \varphi$
 apply transfer by simp

lemma *tc-butterfly-scaleC-right[simp]*: $\text{tc-butterfly } \psi (c *_C \varphi) = \text{cnj } c *_C \text{tc-butterfly } \psi \varphi$
 apply transfer by simp

lemma *bounded-sesquilinear-tc-butterfly[iff]*: $\langle \text{bounded-sesquilinear } (\lambda a b. \text{tc-butterfly } b a) \rangle$
 by (auto intro!: bounded-sesquilinear.intro exI[of - 1]
 simp: tc-butterfly-add-left tc-butterfly-add-right norm-tc-butterfly)

lemma *trace-norm-plus-orthogonal*:
 assumes $\langle \text{trace-class } a \rangle$ and $\langle \text{trace-class } b \rangle$
 assumes $\langle a *_C b = 0 \rangle$ and $\langle a *_C b^* = 0 \rangle$
 shows $\langle \text{trace-norm } (a + b) = \text{trace-norm } a + \text{trace-norm } b \rangle$
 proof –
 have $\langle \text{trace-norm } (a + b) = \text{trace } (\text{abs-op } (a + b)) \rangle$
 by simp
 also have $\langle \dots = \text{trace } (\text{abs-op } a + \text{abs-op } b) \rangle$
 by (simp add: abs-op-plus-orthogonal-assms)
 also have $\langle \dots = \text{trace } (\text{abs-op } a) + \text{trace } (\text{abs-op } b) \rangle$
 by (simp add: assms trace-plus)

also have $\langle \dots = \text{trace-norm } a + \text{trace-norm } b \rangle$
by *simp*
finally show *?thesis*
using *of-real-eq-iff* **by** *blast*
qed

lemma *norm-tc-plus-orthogonal*:
assumes $\langle \text{tc-compose } (\text{adj-tc } a) \ b = 0 \rangle$ **and** $\langle \text{tc-compose } a \ (\text{adj-tc } b) = 0 \rangle$
shows $\langle \text{norm } (a + b) = \text{norm } a + \text{norm } b \rangle$
using *assms* **apply** *transfer*
by (*auto intro!*: *trace-norm-plus-orthogonal*)

lemma *trace-norm-sum-exchange*:
fixes $t :: \langle - \Rightarrow (-::\text{chilbert-space} \Rightarrow_{CL} -::\text{chilbert-space}) \rangle$
assumes $\langle \bigwedge i. i \in F \Longrightarrow \text{trace-class } (t \ i) \rangle$
assumes $\langle \bigwedge i \ j. i \in F \Longrightarrow j \in F \Longrightarrow i \neq j \Longrightarrow (t \ i)^* \ o_{CL} \ t \ j = 0 \rangle$
assumes $\langle \bigwedge i \ j. i \in F \Longrightarrow j \in F \Longrightarrow i \neq j \Longrightarrow t \ i \ o_{CL} \ (t \ j)^* = 0 \rangle$
shows $\langle \text{trace-norm } (\sum_{i \in F}. t \ i) = (\sum_{i \in F}. \text{trace-norm } (t \ i)) \rangle$
proof (*insert assms, induction F rule:infinite-finite-induct*)
case (*infinite A*)
then show *?case*
by *simp*
next
case *empty*
show *?case*
by *simp*
next
case (*insert x F*)
have $\langle \text{trace-norm } (\sum_{i \in \text{insert } x \ F}. t \ i) = \text{trace-norm } (t \ x + (\sum_{x \in F}. t \ x)) \rangle$
by (*simp add: insert*)
also have $\langle \dots = \text{trace-norm } (t \ x) + \text{trace-norm } (\sum_{x \in F}. t \ x) \rangle$
proof (*rule trace-norm-plus-orthogonal*)
show $\langle \text{trace-class } (t \ x) \rangle$
by (*simp add: insert.premis*)
show $\langle \text{trace-class } (\sum_{x \in F}. t \ x) \rangle$
by (*simp add: trace-class-sum insert.premis*)
show $\langle t \ x^* \ o_{CL} \ (\sum_{x \in F}. t \ x) = 0 \rangle$
by (*auto intro!*: *sum.neutral insert.premis simp: cblinfun-compose-sum-right sum-adj insert.hyps*)
show $\langle t \ x \ o_{CL} \ (\sum_{x \in F}. t \ x)^* = 0 \rangle$
by (*auto intro!*: *sum.neutral insert.premis simp: cblinfun-compose-sum-right sum-adj insert.hyps*)
qed
also have $\langle \dots = \text{trace-norm } (t \ x) + (\sum_{x \in F}. \text{trace-norm } (t \ x)) \rangle$
apply (*subst insert.IH*)
by (*simp-all add: insert.premis*)
also have $\langle \dots = (\sum_{i \in \text{insert } x \ F}. \text{trace-norm } (t \ i)) \rangle$
by (*simp add: insert*)

finally show $?case$
by $-$
qed

lemma *norm-tc-sum-exchange*:

assumes $\langle \bigwedge i j. i \in F \implies j \in F \implies i \neq j \implies tc\text{-compose } (adj\text{-tc } (t i)) (t j) = 0 \rangle$
assumes $\langle \bigwedge i j. i \in F \implies j \in F \implies i \neq j \implies tc\text{-compose } (t i) (adj\text{-tc } (t j)) = 0 \rangle$
shows $\langle norm (\sum i \in F. t i) = (\sum i \in F. norm (t i)) \rangle$
using *assms* **apply** *transfer*
by (*auto intro!*: *trace-norm-sum-exchange*)

instantiation *trace-class* :: (*one-dim*, *one-dim*) *complex-inner* **begin**

lift-definition *cinner-trace-class* :: $\langle ('a, 'b) \text{ trace-class} \Rightarrow ('a, 'b) \text{ trace-class} \Rightarrow \text{complex} \rangle$ **is**
 $\langle (\cdot_C) \rangle$.

instance

proof *intro-classes*

fix $x y z :: \langle ('a, 'b) \text{ trace-class} \rangle$

show $\langle x \cdot_C y = cnj (y \cdot_C x) \rangle$

apply *transfer'*

by *simp*

show $\langle (x + y) \cdot_C z = x \cdot_C z + y \cdot_C z \rangle$

apply *transfer'*

by (*simp add*: *cinner-simps*)

show $\langle r *_{C} x \cdot_C y = cnj r * (x \cdot_C y) \rangle$ **for** r

apply (*transfer' fixing*: r)

using *cinner-simps* **by** *blast*

show $\langle 0 \leq x \cdot_C x \rangle$

apply *transfer'*

by *simp*

show $\langle (x \cdot_C x = 0) = (x = 0) \rangle$

apply *transfer'*

by *auto*

show $\langle norm x = sqrt (cmod (x \cdot_C x)) \rangle$

proof *transfer'*

fix $x :: \langle 'a \Rightarrow_{CL} 'b \rangle$

define $c :: \text{complex}$ **where** $\langle c = \text{one-dim-iso } x \rangle$

then have $xc: \langle x = c *_{C} 1 \rangle$

by *simp*

have $\langle \text{trace-norm } x = norm c \rangle$

by (*simp add*: *trace-norm-one-dim xc*)

also have $\langle norm c = sqrt (cmod (x \cdot_C x)) \rangle$

by (*metis inner-real-def norm-eq-sqrt-cinner norm-one norm-scaleC real-inner-1-right xc*)

finally show $\langle \text{trace-norm } x = sqrt (cmod (x \cdot_C x)) \rangle$

by (*simp add*: *cinner-cblinfun-def*)

qed

qed

end

```

instantiation trace-class :: (one-dim, one-dim) one-dim begin
lift-definition one-trace-class :: ⟨('a, 'b) trace-class⟩ is 1
  by auto
lift-definition times-trace-class :: ⟨('a, 'b) trace-class ⇒ ('a, 'b) trace-class ⇒ ('a, 'b) trace-class⟩
is ⟨(*)⟩
  by auto
lift-definition divide-trace-class :: ⟨('a, 'b) trace-class ⇒ ('a, 'b) trace-class ⇒ ('a, 'b) trace-class⟩
is ⟨(/)⟩
  by auto
lift-definition inverse-trace-class :: ⟨('a, 'b) trace-class ⇒ ('a, 'b) trace-class⟩ is ⟨Fields.inverse⟩
  by auto
definition canonical-basis-trace-class :: ⟨('a, 'b) trace-class list⟩ where ⟨canonical-basis-trace-class
= [1]⟩
definition canonical-basis-length-trace-class :: ⟨('a, 'b) trace-class itself ⇒ nat⟩ where ⟨canonical-
basis-length-trace-class = 1⟩
instance
proof intro-classes
  fix x y z :: ⟨('a, 'b) trace-class⟩
  have [simp]: ⟨1 ≠ (0 :: ('a, 'b) trace-class)⟩
    using one-trace-class.rep-eq by force
  then have [simp]: ⟨0 ≠ (1 :: ('a, 'b) trace-class)⟩
    by force
  show ⟨distinct (canonical-basis :: (-, -) trace-class list)⟩
    by (simp add: canonical-basis-trace-class-def)
  show ⟨cindependent (set canonical-basis :: (-, -) trace-class set)⟩
    by (simp add: canonical-basis-trace-class-def)
  show ⟨canonical-basis-length TYPE(('a, 'b) trace-class) = length (canonical-basis :: (-, -) trace-class
list)⟩
    by (simp add: canonical-basis-length-trace-class-def canonical-basis-trace-class-def)
  show ⟨x ∈ set canonical-basis ⇒ norm x = 1⟩
    apply (simp add: canonical-basis-trace-class-def)
  by (smt (verit, ccfv-threshold) one-trace-class-def cinner-trace-class.abs-eq cnorm-eq-1 one-cinner-one
one-trace-class.rsp)
  show ⟨canonical-basis = [1 :: ('a, 'b) trace-class]⟩
    by (simp add: canonical-basis-trace-class-def)
  show ⟨a *C 1 * b *C 1 = (a * b) *C (1 :: ('a, 'b) trace-class)⟩ for a b :: complex
    apply (transfer' fixing: a b)
    by simp
  show ⟨x div y = x * inverse y⟩
    apply transfer'
    by (simp add: divide-cblinfun-def)
  show ⟨inverse (a *C 1 :: ('a, 'b) trace-class) = 1 /C a⟩ for a :: complex
    apply transfer'
    by simp
  show ⟨is-ortho-set (set canonical-basis :: ('a, 'b) trace-class set)⟩
    by (simp add: is-ortho-set-def canonical-basis-trace-class-def)
  show ⟨cspan (set canonical-basis :: ('a, 'b) trace-class set) = UNIV⟩
  proof (intro Set.set-eqI iffI UNIV-I)

```

```

fix x :: ⟨('a, 'b) trace-class⟩
have ⟨∃ c. y = c *C 1⟩ for y :: ⟨'a ⇒CL 'b⟩
  apply (rule ext[where x=⟨one-dim-iso y⟩])
  by simp
then obtain c where ⟨x = c *C 1⟩
  apply transfer'
  by auto
then show ⟨x ∈ cspan (set canonical-basis)⟩
  by (auto intro!: complex-vector.span-base complex-vector.span-clauses
      simp: canonical-basis-trace-class-def)
qed
qed
end

lemma from-trace-class-one-dim-iso[simp]: ⟨from-trace-class = one-dim-iso⟩
proof (rule ext)
fix x:: ⟨('a, 'b) trace-class⟩
have ⟨from-trace-class x = from-trace-class (one-dim-iso x *C 1)⟩
  by simp
also have ⟨... = one-dim-iso x *C from-trace-class 1⟩
  using scaleC-trace-class.rep-eq by blast
also have ⟨... = one-dim-iso x *C 1⟩
  by (simp add: one-trace-class.rep-eq)
also have ⟨... = one-dim-iso x⟩
  by simp
finally show ⟨from-trace-class x = one-dim-iso x⟩
  by -
qed

lemma trace-tc-one-dim-iso[simp]: ⟨trace-tc = one-dim-iso⟩
  by (simp add: trace-tc.rep-eq[abs-def])

lemma compose-tcr-id-left[simp]: ⟨compose-tcr id-cblinfun t = t⟩
  by (auto intro!: from-trace-class-inject[THEN iffD1] simp: compose-tcr.rep-eq)

lemma compose-tcl-id-right[simp]: ⟨compose-tcl t id-cblinfun = t⟩
  by (auto intro!: from-trace-class-inject[THEN iffD1] simp: compose-tcl.rep-eq)

lemma sandwich-tc-id-cblinfun[simp]: ⟨sandwich-tc id-cblinfun t = t⟩
  by (simp add: from-trace-class-inverse sandwich-tc-def)

lemma bounded-clinear-sandwich-tc[bounded-clinear]: ⟨bounded-clinear (sandwich-tc e)⟩
  using norm-sandwich-tc[of e]
  by (auto intro!: bounded-clinearI[where K=⟨(norm e)2⟩]
      simp: sandwich-tc-plus sandwich-tc-scaleC-right cross3-simps)

lemma trace-class-Proj: ⟨trace-class (Proj S) ⟷ finite-dim-ccsubspace S⟩
proof -

```

```

define C where ⟨C = some-onb-of S⟩
then obtain B where ⟨is-onb B⟩ and ⟨C ⊆ B⟩
  using orthonormal-basis-exists some-onb-of-norm1 by blast
have card-C: ⟨card C = cdim (space-as-set S)⟩
  by (simp add: C-def some-onb-of-card)
have S-C: ⟨S = ccspan C⟩
  by (simp add: C-def)

from ⟨is-onb B⟩
have ⟨trace-class (Proj S) ⟷ ((λx. x •C (abs-op (Proj S) *V x)) abs-summable-on B)⟩
  by (rule trace-class-iff-summable)
also have ⟨... ⟷ ((λx. cmod (x •C (Proj S *V x))) abs-summable-on B)⟩
  by simp
also have ⟨... ⟷ ((λx. 1::real) abs-summable-on C)⟩
proof (rule summable-on-cong-neutral)
  fix x :: 'a
  show ⟨norm 1 = 0⟩ if ⟨x ∈ C - B⟩
    using that ⟨C ⊆ B⟩ by auto
  show ⟨norm (cmod (x •C (Proj S *V x))) = norm (1::real)⟩ if ⟨x ∈ B ∩ C⟩
    apply (subst Proj-fixes-image)
    using C-def Int-absorb1 that ⟨is-onb B⟩
    by (auto simp: is-onb-def cnorm-eq-1)
  show ⟨norm (cmod (x •C (Proj S *V x))) = 0⟩ if ⟨x ∈ B - C⟩
    apply (subst Proj-0-compl)
    apply (subst S-C)
    apply (rule mem-ortho-ccspanI)
    using that ⟨is-onb B⟩ ⟨C ⊆ B⟩
    by (force simp: is-onb-def is-ortho-set-def)+
qed
also have ⟨... ⟷ finite C⟩
  using infsum-diverge-constant[where A=C and c=1::real]
  by auto
also have ⟨... ⟷ finite-dim-ccsubspace S⟩
  by (metis C-def S-C ccspan-finite-dim some-onb-of-finite-dim)
finally show ?thesis
  by -
qed

lemma not-trace-class-trace0: ⟨trace a = 0⟩ if ⟨¬ trace-class a⟩
  using that by (simp add: trace-def)

lemma trace-Proj: ⟨trace (Proj S) = cdim (space-as-set S)⟩
proof (cases ⟨finite-dim-ccsubspace S⟩)
  case True
  define C where ⟨C = some-onb-of S⟩
  then obtain B where ⟨is-onb B⟩ and ⟨C ⊆ B⟩
    using orthonormal-basis-exists some-onb-of-norm1 by blast
  have [simp]: ⟨finite C⟩

```

```

    using C-def True some-onb-of-finite-dim by blast
  have card-C: ⟨card C = cdim (space-as-set S)⟩
    by (simp add: C-def some-onb-of-card)
  have S-C: ⟨S = ccspan C⟩
    by (simp add: C-def)

from True have ⟨trace-class (Proj S)⟩
  by (simp add: trace-class-Proj)
with ⟨is-onb B⟩ have ⟨((λe. e •C (Proj S *V e)) has-sum trace (Proj S)) B⟩
  by (rule trace-has-sum)
then have ⟨((λe. 1) has-sum trace (Proj S)) C⟩
proof (rule has-sum-cong-neutral[THEN iffD1, rotated -1])
  fix x :: 'a
  show ⟨1 = 0⟩ if ⟨x ∈ C - B⟩
    using that ⟨C ⊆ B⟩ by auto
  show ⟨x •C (Proj S *V x) = 1⟩ if ⟨x ∈ B ∩ C⟩
    apply (subst Proj-fixes-image)
    using C-def Int-absorb1 that ⟨is-onb B⟩
    by (auto simp: is-onb-def cnorm-eq-1)
  show ⟨is-orthogonal x (Proj S *V x)⟩ if ⟨x ∈ B - C⟩
    apply (subst Proj-0-compl)
    apply (subst S-C)
    apply (rule mem-ortho-ccspanI)
    using that ⟨is-onb B⟩ ⟨C ⊆ B⟩
    by (force simp: is-onb-def is-ortho-set-def)+
qed
then have ⟨trace (Proj S) = card C⟩
  using has-sum-constant[OF ⟨finite C⟩, of 1]
  apply simp
  using has-sum-unique by blast
also have ⟨... = cdim (space-as-set S)⟩
  using card-C by presburger
finally show ?thesis
  by -
next
case False
then have ⟨¬ trace-class (Proj S)⟩
  using trace-class-Proj by blast
then have ⟨trace (Proj S) = 0⟩
  by (rule not-trace-class-trace0)
moreover from False have ⟨cdim (space-as-set S) = 0⟩
  apply transfer
  by (simp add: cdim-infinite-0)
ultimately show ?thesis
  by simp
qed

lemma trace-tc-pos: ⟨t ≥ 0 ⟹ trace-tc t ≥ 0⟩
  using trace-tc-mono by fastforce

```

lift-definition *tc-apply* :: $\langle 'a :: \text{chilbert-space}, 'b :: \text{chilbert-space} \rangle \text{ trace-class} \Rightarrow 'a \Rightarrow 'b$ is *cblin-fun-apply*.

lemma *bounded-cbilinear-tc-apply*: $\langle \text{bounded-cbilinear } \text{tc-apply} \rangle$
apply (rule *bounded-cbilinear.intro*; transfer)
apply (auto intro!: *exI*[of - 1] *cblinfun.add-left cblinfun.add-right cblinfun.scaleC-right*)
by (smt (verit, del-insts) *mult-right-mono norm-cblinfun norm-ge-zero norm-leq-trace-norm*)

lift-definition *diagonal-operator-tc* :: $\langle 'a \Rightarrow \text{complex} \rangle \Rightarrow ('a \text{ ell2}, 'a \text{ ell2}) \text{ trace-class}$ is
 $\langle \lambda f. \text{if } f \text{ abs-summable-on UNIV then diagonal-operator } f \text{ else } 0 \rangle$

proof (rule *CollectI*)
fix *f* :: $\langle 'a \Rightarrow \text{complex} \rangle$
show $\langle \text{trace-class (if } f \text{ abs-summable-on UNIV then diagonal-operator } f \text{ else } 0) \rangle$
proof (cases $\langle f \text{ abs-summable-on UNIV} \rangle$)
case *True*
have *bdd*: $\langle \text{bdd-above (range } (\lambda x. \text{cmod } (f x))) \rangle$
proof (rule *bdd-aboveI2*)
fix *x*
have $\langle \text{cmod } (f x) = (\sum_{\infty x \in \{x\}. \text{cmod } (f x)} \rangle$
by *simp*
also have $\langle \dots \leq (\sum_{\infty x. \text{cmod } (f x)} \rangle$
apply (rule *infsun-mono-neutral*)
by (auto intro!: *True*)
finally show $\langle \text{cmod } (f x) \leq (\sum_{\infty x. \text{cmod } (f x)} \rangle$
by –
qed
have $\langle \text{trace-class (diagonal-operator } f) \rangle$
by (auto intro!: *trace-classI*[OF *is-onb-ket*] *summable-on-reindex*[THEN *iffD2*] *True*
simp: *abs-op-diagonal-operator o-def diagonal-operator-ket bdd*)
with *True* **show** *?thesis*
by *simp*
next
case *False*
then show *?thesis*
by *simp*
qed
qed

lemma *from-trace-class-diagonal-operator-tc*:
assumes $\langle f \text{ abs-summable-on UNIV} \rangle$
shows $\langle \text{from-trace-class (diagonal-operator-tc } f) = \text{diagonal-operator } f \rangle$
apply (transfer *fixing*: *f*)
using *assms* **by** *simp*

lemma *tc-butterfly-scaleC-summable*:
fixes *f* :: $\langle 'a \Rightarrow \text{complex} \rangle$
assumes $\langle f \text{ abs-summable-on } A \rangle$
shows $\langle (\lambda x. f x *_C \text{tc-butterfly (ket } x) (ket } x)) \text{ summable-on } A \rangle$

proof –
define M **where** $\langle M = (\sum_{\infty x \in A}. \text{norm } (f x)) \rangle$
have $\langle (\sum_{x \in F}. \text{cmod } (f x) * \text{norm } (\text{tc-butterfly } (\text{ket } x) (\text{ket } x))) \leq M \rangle$ **if** $\langle \text{finite } F \rangle$ **and** $\langle F \subseteq A \rangle$ **for** F
proof –
have $\langle (\sum_{x \in F}. \text{norm } (f x) * \text{norm } (\text{tc-butterfly } (\text{ket } x) (\text{ket } x))) = (\sum_{x \in F}. \text{norm } (f x)) \rangle$
by $(\text{simp add: norm-tc-butterfly})$
also have $\langle \dots \leq (\sum_{\infty x \in A}. \text{norm } (f x)) \rangle$
using $\text{assms finite-sum-le-infsum norm-ge-zero that(1) that(2)}$ **by** blast
also have $\langle \dots = M \rangle$
by (simp add: M-def)
finally show $?thesis$
by –
qed
then have $\langle (\lambda x. \text{norm } (f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x))) \text{abs-summable-on } A \rangle$
apply $(\text{intro nonneg-bdd-above-summable-on bdd-aboveI})$
by auto
then show $?thesis$
by $(\text{auto intro: abs-summable-summable})$
qed

lemma $\text{tc-butterfly-scale}\mathcal{C}\text{-has-sum}$:

fixes $f :: \langle 'a \Rightarrow \text{complex} \rangle$
assumes $\langle f \text{abs-summable-on UNIV} \rangle$
shows $\langle ((\lambda x. f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \text{has-sum diagonal-operator-tc } f) \text{UNIV} \rangle$
proof –
define D **where** $\langle D = (\sum_{\infty x}. f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \rangle$
have $\text{bdd-f: } \langle \text{bdd-above } (\text{range } (\lambda x. \text{cmod } (f x))) \rangle$
by $(\text{metis assms summable-on-bdd-above-real})$

have $\langle \text{ket } y \cdot_{\mathcal{C}} \text{from-trace-class } D (\text{ket } z) = \text{ket } y \cdot_{\mathcal{C}} \text{from-trace-class } (\text{diagonal-operator-tc } f) (\text{ket } z) \rangle$ **for** $y z$
proof –
have $\text{blin-tc-apply: } \langle \text{bounded-linear } (\lambda a. \text{tc-apply } a (\text{ket } z)) \rangle$
by $(\text{intro bounded-clinear.bounded-linear bounded-cbilinear.bounded-clinear-left bounded-cbilinear-tc-apply})$
have $\text{summ: } \langle (\lambda x. f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \text{summable-on UNIV} \rangle$
by $(\text{intro tc-butterfly-scale}\mathcal{C}\text{-summable assms})$

have $\langle ((\lambda x. f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \text{has-sum } D) \text{UNIV} \rangle$
by $(\text{simp add: D-def summ})$
with blin-tc-apply **have** $\langle ((\lambda x. \text{tc-apply } (f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) (\text{ket } z)) \text{has-sum tc-apply } D (\text{ket } z)) \text{UNIV} \rangle$
by $(\text{rule Infinite-Sum.has-sum-bounded-linear})$
then have $\langle ((\lambda x. \text{ket } y \cdot_{\mathcal{C}} \text{tc-apply } (f x *_{\mathcal{C}} \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) (\text{ket } z)) \text{has-sum ket } y \cdot_{\mathcal{C}} \text{tc-apply } D (\text{ket } z)) \text{UNIV} \rangle$
by $(\text{smt (verit, best) has-sum-cong has-sum-imp-summable has-sum-infsum infsumI inf-sum-cinner-left summable-on-cinner-left})$

```

then have  $\langle (\lambda x. \text{of-bool } (x=y) * \text{of-bool } (y=z) * f y) \text{ has-sum } \text{ket } y \cdot_C \text{ tc-apply } D (\text{ket } z) \rangle$ 
UNIV
apply (rule has-sum-cong[THEN iffD2, rotated])
by (auto intro!: simp: tc-apply.rep-eq scaleC-trace-class.rep-eq tc-butterfly.rep-eq)
then have  $\langle (\lambda x. \text{of-bool } (y=z) * f y) \text{ has-sum } \text{ket } y \cdot_C \text{ tc-apply } D (\text{ket } z) \rangle \{y\}$ 
apply (rule has-sum-cong-neutral[THEN iffD2, rotated -1])
by auto
then have  $\langle \text{ket } y \cdot_C \text{ tc-apply } D (\text{ket } z) = \text{of-bool } (y=z) * f y \rangle$ 
by simp
also have  $\langle \dots = \text{ket } y \cdot_C \text{ from-trace-class } (\text{diagonal-operator-tc } f) (\text{ket } z) \rangle$ 
by (simp add: diagonal-operator-tc.rep-eq assms diagonal-operator-ket bdd-f)
finally show ?thesis
by (simp add: tc-apply.rep-eq)
qed
then have  $\langle \text{from-trace-class } D = \text{from-trace-class } (\text{diagonal-operator-tc } f) \rangle$ 
by (auto intro!: equal-ket cinner-ket-eqI)
then have  $\langle D = \text{diagonal-operator-tc } f \rangle$ 
by (simp add: from-trace-class-inject)
with tc-butterfly-scaleC-summable[OF assms]
show ?thesis
using D-def by force
qed

```

lemma *diagonal-operator-tc-invalid*: $\langle \neg f \text{ abs-summable-on } UNIV \implies \text{diagonal-operator-tc } f = 0 \rangle$

```

apply (transfer fixing: f) by simp

```

lemma *tc-butterfly-scaleC-infsum*:

```

fixes f ::  $\langle 'a \Rightarrow \text{complex} \rangle$ 

```

```

shows  $\langle (\sum_{\infty} x. f x *_C \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) = \text{diagonal-operator-tc } f \rangle$ 

```

proof (cases $\langle f \text{ abs-summable-on } UNIV \rangle$)

```

case True

```

```

then show ?thesis

```

```

using infsumI tc-butterfly-scaleC-has-sum by fastforce

```

next

```

case False

```

```

then have [simp]:  $\langle \text{diagonal-operator-tc } f = 0 \rangle$ 

```

```

apply (transfer fixing: f) by simp

```

```

have  $\langle \neg (\lambda x. f x *_C \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \text{ summable-on } UNIV \rangle$ 

```

```

proof (rule notI)

```

```

assume  $\langle (\lambda x. f x *_C \text{tc-butterfly } (\text{ket } x) (\text{ket } x)) \text{ summable-on } UNIV \rangle$ 

```

```

then have  $\langle (\lambda x. \text{trace-tc } (f x *_C \text{tc-butterfly } (\text{ket } x) (\text{ket } x))) \text{ summable-on } UNIV \rangle$ 

```

```

apply (rule summable-on-bounded-linear[rotated])

```

```

by (simp add: bounded-clinear.bounded-linear)

```

```

then have  $\langle f \text{ summable-on } UNIV \rangle$ 

```

```

apply (rule summable-on-cong[THEN iffD1, rotated])

```

```

apply (transfer' fixing: f)

```

```

    by (simp add: trace-scaleC trace-butterfly)
  with False
  show False
    by (metis summable-on-iff-abs-summable-on-complex)
qed
then have [simp]:  $\langle (\sum_{\infty} x. f x *_C tc\text{-butterfly } (ket\ x) (ket\ x)) = 0 \rangle$ 
  using infsum-not-exists by blast
show ?thesis
  by simp
qed

lemma from-trace-class-abs-summable:  $\langle f \text{ abs-summable-on } X \implies (\lambda x. \text{from-trace-class } (f\ x)) \text{ abs-summable-on } X \rangle$ 
  apply (rule abs-summable-on-comparison-test[where g= $\langle f \rangle$ ])
  by (simp-all add: norm-leq-trace-norm norm-trace-class.rep-eq)

lemma from-trace-class-summable:  $\langle f \text{ summable-on } X \implies (\lambda x. \text{from-trace-class } (f\ x)) \text{ summable-on } X \rangle$ 
  apply (rule Infinite-Sum.summable-on-bounded-linear[where h= $\text{from-trace-class}$ ])
  by (simp-all add: bounded-clinear.bounded-linear bounded-clinear-from-trace-class)

lemma from-trace-class-infsum:
  assumes  $\langle f \text{ summable-on } UNIV \rangle$ 
  shows  $\langle \text{from-trace-class } (\sum_{\infty} x. f\ x) = (\sum_{\infty} x. \text{from-trace-class } (f\ x)) \rangle$ 
  apply (rule infsum-bounded-linear-strong[symmetric])
  using assms
  by (auto intro!: bounded-clinear.bounded-linear bounded-clinear-from-trace-class from-trace-class-summable)

lemma cspan-trace-class:
   $\langle \text{cspan } (\text{Collect trace-class } :: ('a::\text{chilbert-space} \Rightarrow_{CL} 'b::\text{chilbert-space}) \text{ set}) = \text{Collect trace-class} \rangle$ 
proof (intro Set.set-eqI iffI)
  fix x ::  $\langle 'a \Rightarrow_{CL} 'b \rangle$ 
  show  $\langle x \in \text{Collect trace-class} \implies x \in \text{cspan } (\text{Collect trace-class}) \rangle$ 
    by (simp add: complex-vector.span-clauses)
  assume  $\langle x \in \text{cspan } (\text{Collect trace-class}) \rangle$ 
  then obtain F f where x-def:  $\langle x = (\sum_{a \in F} f\ a *_C a) \rangle$  and  $\langle F \subseteq \text{Collect trace-class} \rangle$ 
    by (auto intro!: simp: complex-vector.span-explicit)
  then have  $\langle \text{trace-class } x \rangle$ 
    by (auto intro!: trace-class-sum trace-class-scaleC simp: x-def)
  then show  $\langle x \in \text{Collect trace-class} \rangle$ 
    by simp
qed

lemma monotone-convergence-tc:
  fixes f ::  $\langle 'b \Rightarrow ('a, 'a::\text{chilbert-space}) \text{ trace-class} \rangle$ 
  assumes bounded:  $\langle \forall_F x \text{ in } F. \text{trace-tc } (f\ x) \leq B \rangle$ 
  assumes pos:  $\langle \forall_F x \text{ in } F. f\ x \geq 0 \rangle$ 
  assumes increasing:  $\langle \text{increasing-filter } (\text{filtermap } f\ F) \rangle$ 
  shows  $\langle \exists L. (f \longrightarrow L)\ F \rangle$ 

```

```

proof –
  wlog  $\langle F \neq \perp \rangle$ 
  using negation by simp
  then have  $\langle \text{filtermap } f F \neq \perp \rangle$ 
  by (simp add: filtermap-bot-iff)
  have  $\langle \text{mono-on } \{t::('a,'a) \text{ trace-class. } t \geq 0\} \text{ trace-tc} \rangle$ 
  by (simp add: ord.mono-onI trace-tc-mono)
  with increasing
  have  $\langle \text{increasing-filter } (\text{filtermap } (\text{trace-tc } o f) F) \rangle$ 
  unfolding filtermap-compose
  apply (rule increasing-filtermap)
  by (auto intro!: pos simp: eventually-filtermap)
  then obtain  $l$  where  $l: \langle ((\lambda x. \text{trace-tc } (f x)) \longrightarrow l) F \rangle$ 
  apply atomize-elim
  apply (rule monotone-convergence-complex)
  using bounded by (simp-all add: o-def)
  have  $\langle \text{cauchy-filter } (\text{filtermap } f F) \rangle$ 
  proof (rule cauchy-filter-metricI)
    fix  $e :: \text{real}$  assume  $\langle e > 0 \rangle$ 
    define  $d$  where  $\langle d = e/4 \rangle$ 
    have  $\langle \forall_F x \text{ in filtermap } f F. \text{dist } (\text{trace-tc } x) l < d \rangle$ 
    unfolding eventually-filtermap
    using  $l$  apply (rule tendstoD)
    using  $\langle e > 0 \rangle$  by (simp add: d-def)
    then obtain  $P1$  where  $ev\text{-}P1: \langle \text{eventually } P1 (\text{filtermap } f F) \rangle$  and  $P1: \langle P1 x \implies \text{dist } (\text{trace-tc } x) l < d \rangle$  for  $x$ 
    by blast
    from increasing obtain  $P2$  where  $ev\text{-}P2: \langle \text{eventually } P2 (\text{filtermap } f F) \rangle$  and
     $P2: \langle P2 x \implies (\forall_F z \text{ in filtermap } f F. z \geq x) \rangle$  for  $x$ 
    using increasing-filter-def by blast
    define  $P$  where  $\langle P x \iff P1 x \wedge P2 x \rangle$  for  $x$ 
    with  $ev\text{-}P1$   $ev\text{-}P2$  have  $ev\text{-}P: \langle \text{eventually } P (\text{filtermap } f F) \rangle$ 
    by (auto intro!: eventually-conj simp: P-def[abs-def])
    have  $\langle \text{dist } x y < e \rangle$  if  $\langle P x \rangle$  and  $\langle P y \rangle$  for  $x y$ 
    proof –
      from  $\langle P x \rangle$  have  $\langle \forall_F z \text{ in filtermap } f F. z \geq x \rangle$ 
      by (simp add: P-def P2)
      moreover from  $\langle P y \rangle$  have  $\langle \forall_F z \text{ in filtermap } f F. z \geq y \rangle$ 
      by (simp add: P-def P2)
      ultimately have  $\langle \forall_F z \text{ in filtermap } f F. z \geq x \wedge z \geq y \wedge P1 z \rangle$ 
      using  $ev\text{-}P1$  by (auto intro!: eventually-conj)
      from eventually-happens'[OF  $\langle \text{filtermap } f F \neq \perp \rangle$  this]
      obtain  $z$  where  $\langle z \geq x \rangle$  and  $\langle z \geq y \rangle$  and  $\langle P1 z \rangle$ 
      by auto
      have  $\langle \text{dist } x y \leq \text{norm } (z - x) + \text{norm } (z - y) \rangle$ 
      by (metis (no-types, lifting) diff-add-cancel diff-add-eq-diff-diff-swap dist-trace-class-def norm-minus-commute norm-triangle-sub)
      also from  $\langle x \leq z \rangle$   $\langle y \leq z \rangle$  have  $\langle \dots = (\text{trace-tc } z - \text{trace-tc } x) + (\text{trace-tc } z - \text{trace-tc } y) \rangle$ 

```

```

    by (metis (no-types, lifting) cross3-simps(16) diff-left-mono diff-self norm-tc-pos of-real-add
trace-tc-plus)
    also from ⟨x ≤ z⟩ ⟨y ≤ z⟩ have ⟨... = norm (trace-tc z - trace-tc x) + norm (trace-tc z
- trace-tc y)⟩
    by (simp add: Extra-Ordered-Fields.complex-of-real-cmod trace-tc-mono abs-pos)
    also have ⟨... = dist (trace-tc z) (trace-tc x) + dist (trace-tc z) (trace-tc y)⟩
    using dist-complex-def by presburger
    also have ⟨... ≤ (dist (trace-tc z) l + dist (trace-tc x) l) + (dist (trace-tc z) l + dist
(trace-tc y) l)⟩
    apply (intro complex-of-real-mono add-mono)
    by (simp-all add: dist-triangle2)
    also from P1 ⟨P1 z⟩ that have ⟨... < 4 * d⟩
    by (smt (verit, best) P-def complex-of-real-strict-mono-iff)
    also have ⟨... = e⟩
    by (simp add: d-def)
    finally show ?thesis
    by simp
qed
with ev-P show ⟨∃ P. eventually P (filtermap f F) ∧ (∀ x y. P x ∧ P y ⟶ dist x y < e)⟩
by blast
qed
then have ⟨convergent-filter (filtermap f F)⟩
using cauchy-filter-convergent by fastforce
then show ⟨∃ L. (f ⟶ L) F⟩
by (simp add: convergent-filter-iff filterlim-def)
qed

```

lemma *nonneg-bdd-above-summable-on-tc*:

```

fixes f :: ⟨'a ⇒ ('c::chilbert-space, 'c) trace-class⟩
assumes pos: ⟨∧x. x∈A ⟹ f x ≥ 0⟩
assumes bdd: ⟨bdd-above (trace-tc ' sum f ' {F. F⊆A ∧ finite F})⟩
shows ⟨f summable-on A⟩

```

proof –

```

have pos': ⟨(∑ x∈F. f x) ≥ 0⟩ if ⟨finite F⟩ and ⟨F ⊆ A⟩ for F
using that pos
by (simp add: basic-trans-rules(31) sum-nonneg)
from pos have incr: ⟨increasing-filter (filtermap (sum f) (finite-subsets-at-top A))⟩
by (auto intro!: increasing-filtermap[where X=⟨{F. finite F ∧ F ⊆ A}⟩] mono-onI sum-mono2)
from bdd obtain B where B: ⟨trace-tc (sum f X) ≤ B⟩ if ⟨finite X⟩ and ⟨X ⊆ A⟩ for X
apply atomize-elim
by (auto simp: bdd-above-def)
show ?thesis
apply (simp add: summable-on-def has-sum-def)
by (safe intro!: pos' incr monotone-convergence-tc[where B=B] B
eventually-finite-subsets-at-top-weakI)

```

qed

lemma *summable-Sigma-positive-tc*:

```

fixes  $f :: \langle 'a \Rightarrow 'b \Rightarrow ('c, 'c::\text{chilbert-space}) \text{trace-class} \rangle$ 
assumes  $\langle \bigwedge x. x \in X \Longrightarrow f \ x \ \text{summable-on } Y \ x \rangle$ 
assumes  $\langle (\lambda x. \sum_{\infty} y \in Y \ x. f \ x \ y) \ \text{summable-on } X \rangle$ 
assumes  $\langle \bigwedge x \ y. x \in X \Longrightarrow y \in Y \ x \Longrightarrow f \ x \ y \geq 0 \rangle$ 
shows  $\langle (\lambda(x, y). f \ x \ y) \ \text{summable-on } (\text{SIGMA } x:X. Y \ x) \rangle$ 
proof –
  have  $\langle \text{trace-tc } (\sum_{(x,y) \in F} f \ x \ y) \leq \text{trace-tc } (\sum_{\infty} x \in X. \sum_{\infty} y \in Y \ x. f \ x \ y) \rangle$  if  $\langle F \subseteq \text{Sigma } X \ Y \rangle$  and  $\langle \text{finite } F \rangle$  for  $F$ 
  proof –
    define  $g$  where  $\langle g \ x \ y = (\text{if } (x, y) \in \text{Sigma } X \ Y \ \text{then } f \ x \ y \ \text{else } 0) \rangle$  for  $x \ y$ 
    have  $g\text{-pos}[\text{iff}]$ :  $\langle g \ x \ y \geq 0 \rangle$  for  $x \ y$ 
      using  $\text{assms}$  by  $(\text{auto intro!} : \text{simp} : g\text{-def})$ 
    have  $g\text{-summable}$ :  $\langle g \ x \ \text{summable-on } Y \ x \rangle$  for  $x$ 
      by  $(\text{metis } \text{assms}(1) \ g\text{-def } \text{mem-Sigma-iff } \text{summable-on-0 } \text{summable-on-cong})$ 
    have  $\text{sum-}g\text{-summable}$ :  $\langle (\lambda x. \sum_{\infty} y \in Y \ x. g \ x \ y) \ \text{summable-on } X \rangle$ 
      by  $(\text{metis } (\text{mono-tags}, \text{lifting}) \ \text{SigmaI } g\text{-def } \text{assms}(2) \ \text{infsum-cong } \text{summable-on-cong})$ 
    have  $\langle (\sum_{(x,y) \in F} f \ x \ y) = (\sum_{(x,y) \in F} g \ x \ y) \rangle$ 
      by  $(\text{smt } (\text{verit}, \text{ccfv-SIG}) \ g\text{-def } \text{split-cong } \text{subsetD } \text{sum.cong } \text{that}(1))$ 
    also have  $\langle (\sum_{(x,y) \in F} g \ x \ y) \leq (\sum_{(x,y) \in \text{fst } 'F \times \text{snd } 'F} g \ x \ y) \rangle$ 
      using  $\text{that } \text{assms}$  apply  $(\text{auto intro!} : \text{sum-mono2 } \text{assms } \text{simp} : \text{image-iff})$ 
      by  $\text{force+}$ 
    also have  $\langle \dots = (\sum_{x \in \text{fst } 'F} \sum_{y \in \text{snd } 'F} g \ x \ y) \rangle$ 
      by  $(\text{metis } (\text{no-types}, \text{lifting}) \ \text{finite-imageI } \text{sum.Sigma } \text{sum.cong } \text{that}(2))$ 
    also have  $\langle \dots = (\sum_{x \in \text{fst } 'F} \sum_{\infty} y \in \text{snd } 'F. g \ x \ y) \rangle$ 
      by  $(\text{metis } \text{finite-imageI } \ \text{infsum-finite } \text{that}(2))$ 
    also have  $\langle \dots \leq (\sum_{x \in \text{fst } 'F} \sum_{\infty} y \in Y \ x. g \ x \ y) \rangle$ 
      apply  $(\text{intro } \text{sum-mono } \ \text{infsum-mono-neutral-traceclass})$ 
      using  $\text{assms}$  that
      apply  $(\text{auto intro!} : g\text{-summable})$ 
      by  $(\text{simp } \text{add} : g\text{-def})$ 
    also have  $\langle \dots = (\sum_{\infty} x \in \text{fst } 'F. \sum_{\infty} y \in Y \ x. g \ x \ y) \rangle$ 
      using  $\text{that } \text{by } (\text{auto intro!} : \text{infsum-finite}[\text{symmetric}] \ \text{simp} : )$ 
    also have  $\langle \dots \leq (\sum_{\infty} x \in X. \sum_{\infty} y \in Y \ x. g \ x \ y) \rangle$ 
      apply  $(\text{rule } \text{infsum-mono-neutral-traceclass})$ 
      using  $\text{that } \text{assms}$  by  $(\text{auto intro!} : \text{infsum-nonneg-traceclass } \text{sum-}g\text{-summable})$ 
    also have  $\langle \dots = (\sum_{\infty} x \in X. \sum_{\infty} y \in Y \ x. f \ x \ y) \rangle$ 
      by  $(\text{smt } (\text{verit}, \text{ccfv-threshold}) \ g\text{-def } \ \text{infsum-cong } \ \text{mem-Sigma-iff})$ 
    finally show  $?thesis$ 
      using  $\text{trace-tc-mono}$  by  $\text{blast}$ 
  qed
then show  $?thesis$ 
  apply  $(\text{rule-tac } \text{nonneg-bdd-above-summable-on-tc})$ 
  by  $(\text{auto intro!} : \text{assms } \text{bdd-aboveI2})$ 
qed

```

```

lemma  $\text{infsum-Sigma-positive-tc}$ :
fixes  $f :: \langle 'a \Rightarrow 'b \Rightarrow ('c::\text{chilbert-space}, 'c) \text{trace-class} \rangle$ 
assumes  $\langle \bigwedge x. x \in X \Longrightarrow f \ x \ \text{summable-on } Y \ x \rangle$ 

```

```

assumes  $\langle \bigwedge x y. x \in X \implies y \in Y \ x \implies f x y \geq 0 \rangle$ 
shows  $\langle (\sum_{\infty} x \in X. \sum_{\infty} y \in Y x. f x y) = (\sum_{\infty} (x,y) \in \text{Sigma } X Y. f x y) \rangle$ 
proof (cases  $\langle (\lambda x. \sum_{\infty} y \in Y x. f x y) \text{ summable-on } X \rangle$ )
  case True
    show ?thesis
      apply (rule infsum-Sigma'-banach)
      apply (rule summable-Sigma-positive-tc)
      using assms True by auto
  next
    case False
      then have 1:  $\langle (\sum_{\infty} x \in X. \sum_{\infty} y \in Y x. f x y) = 0 \rangle$ 
        using infsum-not-exists by blast
      from False have  $\langle \neg (\lambda(x,y). f x y) \text{ summable-on Sigma } X Y \rangle$ 
        using summable-on-Sigma-banach by blast
      then have 2:  $\langle (\sum_{\infty} (x,y) \in \text{Sigma } X Y. f x y) = 0 \rangle$ 
        using infsum-not-exists by blast
      from 1 2 show ?thesis
        by simp
qed

```

```

lemma infsum-swap-positive-tc:
  fixes f ::  $\langle 'a \Rightarrow 'b \Rightarrow ('c::\text{chilbert-space}, 'c) \text{ trace-class} \rangle$ 
  assumes  $\langle \bigwedge x. x \in X \implies f x \text{ summable-on } Y \rangle$ 
  assumes  $\langle \bigwedge y. y \in Y \implies (\lambda x. f x y) \text{ summable-on } X \rangle$ 
  assumes  $\langle \bigwedge x y. x \in X \implies y \in Y \implies f x y \geq 0 \rangle$ 
  shows  $\langle (\sum_{\infty} x \in X. \sum_{\infty} y \in Y. f x y) = (\sum_{\infty} y \in Y. \sum_{\infty} x \in X. f x y) \rangle$ 
proof –
  have  $\langle (\sum_{\infty} x \in X. \sum_{\infty} y \in Y. f x y) = (\sum_{\infty} (x,y) \in X \times Y. f x y) \rangle$ 
    apply (rule infsum-Sigma-positive-tc)
    using assms by auto
  also have  $\langle \dots = (\sum_{\infty} (y,x) \in Y \times X. f x y) \rangle$ 
    apply (subst product-swap[symmetric])
    by (simp add: infsum-reindex o-def)
  also have  $\langle \dots = (\sum_{\infty} y \in Y. \sum_{\infty} x \in X. f x y) \rangle$ 
    apply (rule infsum-Sigma-positive-tc[symmetric])
    using assms by auto
  finally show ?thesis
    by –
qed

```

```

lemma separating-density-ops:
  assumes  $\langle B > 0 \rangle$ 
  shows  $\langle \text{separating-set clinear } \{t :: ('a::\text{chilbert-space}, 'a) \text{ trace-class. } 0 \leq t \wedge \text{norm } t \leq B\} \rangle$ 
proof –
  define S where  $\langle S = \{t :: ('a, 'a) \text{ trace-class. } 0 \leq t \wedge \text{norm } t \leq B\} \rangle$ 
  have  $\langle \text{cspan } S = \text{UNIV} \rangle$ 
  proof (intro Set.set-eqI iffI UNIV-I)

```

```

fix t :: ⟨'a, 'a⟩ trace-class
from trace-class-decomp-4pos'
obtain t1 t2 t3 t4 where t-decomp: ⟨t = t1 - t2 + i *C t3 - i *C t4⟩
  and pos: ⟨t1 ≥ 0⟩ ⟨t2 ≥ 0⟩ ⟨t3 ≥ 0⟩ ⟨t4 ≥ 0⟩
  by fast
have ⟨t' ∈ cspan S⟩ if ⟨t' ≥ 0⟩ for t'
proof -
  define t'' where ⟨t'' = (B / norm t') *R t'⟩
  have ⟨t'' ∈ S⟩
    using ⟨B > 0⟩
    by (simp add: S-def that zero-le-scaleR-iff t''-def)
  have t'-t'': ⟨t' = (norm t' / B) *R t''⟩
    using ⟨B > 0⟩ t''-def by auto
  show ⟨t' ∈ cspan S⟩
    apply (subst t'-t'')
    using ⟨t'' ∈ S⟩
    by (simp add: scaleR-scaleC complex-vector.span-clauses)
qed
with pos have ⟨t1 ∈ cspan S⟩ and ⟨t2 ∈ cspan S⟩ and ⟨t3 ∈ cspan S⟩ and ⟨t4 ∈ cspan S⟩
  by auto
then show ⟨t ∈ cspan S⟩
  by (auto intro!: complex-vector.span-diff complex-vector.span-add complex-vector.span-scale
      intro: complex-vector.span-base simp: t-decomp)
qed
then show ⟨separating-set clinear S⟩
  by (rule separating-set-clinear-cspan)
qed

```

```

lemma summable-abs-summable-tc:
  fixes f :: ⟨'a ⇒ ('b::hilbert-space,'b) trace-class⟩
  assumes ⟨f summable-on X⟩
  assumes ⟨ $\bigwedge x. x \in X \implies f x \geq 0$ ⟩
  shows ⟨f abs-summable-on X⟩
proof -
  from assms(1) have ⟨ $(\lambda x. \text{trace-}tc (f x))$  summable-on X⟩
  apply (rule summable-on-bounded-linear[rotated])
  by (simp add: bounded-clinear.bounded-linear)
  then have ⟨ $(\lambda x. \text{Re } (\text{trace-}tc (f x)))$  summable-on X⟩
  using summable-on-Re by blast
  then show ⟨ $(\lambda x. \text{norm } (f x))$  summable-on X⟩
  by (metis (mono-tags, lifting) assms(2) norm-tc-pos-Re summable-on-cong)
qed

```

12.5 More Hilbert-Schmidt

```

lemma trace-class-hilbert-schmidt: ⟨hilbert-schmidt a⟩ if ⟨trace-class a⟩
for a :: ⟨'a::hilbert-space ⇒CL 'b::hilbert-space⟩
by (auto intro!: trace-class-comp-right that simp: hilbert-schmidt-def)

```


lemma *finite-rank-hilbert-schmidt*: $\langle \text{hilbert-schmidt } a \rangle$ **if** $\langle \text{finite-rank } a \rangle$
for $a :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{hilbert-space} \rangle$
using *finite-rank-comp-right finite-rank-trace-class hilbert-schmidtI* **that by blast**

lemma *hilbert-schmidt-compact*: $\langle \text{compact-op } a \rangle$ **if** $\langle \text{hilbert-schmidt } a \rangle$
for $a :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{hilbert-space} \rangle$
— [1], Corollary 18.7. (Only the second part. The first part is stated inside this proof though.)

proof –
have $\langle \exists b. \text{finite-rank } b \wedge \text{hilbert-schmidt-norm } (b - a) < \varepsilon \rangle$ **if** $\langle \varepsilon > 0 \rangle$ **for** ε
proof –
have $\langle \varepsilon^2 > 0 \rangle$
using *that by force*
obtain $B :: \langle 'a \text{ set} \rangle$ **where** $\langle \text{is-onb } B \rangle$
using *is-onb-some-hilbert-basis by blast*
with $\langle \text{hilbert-schmidt } a \rangle$ **have** $a\text{-sum-}B: \langle (\lambda x. (\text{norm } (a *_{\mathcal{V}} x))^2) \text{ summable-on } B \rangle$
by *(auto intro!: summable-hilbert-schmidt-norm-square)*
then have $\langle (\lambda x. (\text{norm } (a *_{\mathcal{V}} x))^2) \text{ has-sum } (\sum_{\infty x \in B. (\text{norm } (a *_{\mathcal{V}} x))^2}) B \rangle$
using *has-sum-infsum by blast*
from *tendsto-iff[THEN iffD1, rule-format, OF this[unfolded has-sum-def] $\langle \varepsilon^2 > 0 \rangle$]*
obtain F **where** $[simp]: \langle \text{finite } F \rangle$ **and** $\langle F \subseteq B \rangle$
and $F\text{bound}: \langle \text{dist } (\sum_{x \in F. (\text{norm } (a *_{\mathcal{V}} x))^2}) (\sum_{\infty x \in B. (\text{norm } (a *_{\mathcal{V}} x))^2}) < \varepsilon^2 \rangle$
apply *atomize-elim*
by *(auto intro!: simp: eventually-finite-subsets-at-top)*
define p b **where** $\langle p = (\sum_{x \in F. \text{selfbutter } x}) \rangle$ **and** $\langle b = a \circ_{CL} p \rangle$
have $[simp]: \langle p \ x = x \rangle$ **if** $\langle x \in F \rangle$ **for** x
apply *(simp add: p-def cblinfun.sum-left)*
apply *(subst sum-single[where i=x])*
using $\langle F \subseteq B \rangle$ **that** $\langle \text{is-onb } B \rangle$
by *(auto intro!: simp: cnorm-eq-1 is-onb-def is-ortho-set-def)*
have $[simp]: \langle p \ x = 0 \rangle$ **if** $\langle x \in B - F \rangle$ **for** x
using $\langle F \subseteq B \rangle$ **that** $\langle \text{is-onb } B \rangle$
apply *(auto intro!: sum.neutral simp add: p-def cblinfun.sum-left is-onb-def is-ortho-set-def)*
by auto
have $\langle \text{finite-rank } p \rangle$
by *(simp add: finite-rank-sum p-def)*
then have $\langle \text{finite-rank } b \rangle$
by *(simp add: b-def finite-rank-comp-right)*
with $\langle \text{hilbert-schmidt } a \rangle$ **have** $\langle \text{hilbert-schmidt } (b - a) \rangle$
by *(auto intro!: hilbert-schmidt-minus intro: finite-rank-hilbert-schmidt)*
then have $\langle (\text{hilbert-schmidt-norm } (b - a))^2 = (\sum_{\infty x \in B. (\text{norm } ((b - a) *_{\mathcal{V}} x))^2}) \rangle$
by *(simp add: infsum-hilbert-schmidt-norm-square $\langle \text{is-onb } B \rangle$)*
also have $\langle \dots = (\sum_{\infty x \in B - F. (\text{norm } (a *_{\mathcal{V}} x))^2}) \rangle$
by *(auto intro!: infsum-cong-neutral simp: b-def cblinfun.diff-left)*
also have $\langle \dots = (\sum_{\infty x \in B. (\text{norm } (a *_{\mathcal{V}} x))^2}) - (\sum_{x \in F. (\text{norm } (a *_{\mathcal{V}} x))^2}) \rangle$
apply *(subst infsum-Diff)*
using $\langle F \subseteq B \rangle$ $a\text{-sum-}B$ **by auto**
also have $\langle \dots < \varepsilon^2 \rangle$
using $F\text{bound}$

```

    by (simp add: dist-norm)
  finally show ?thesis
    using ⟨finite-rank b⟩
    using power-less-imp-less-base that by fastforce
qed
then have ⟨ $\exists b. \text{finite-rank } b \wedge \text{dist } b \ a < \varepsilon$ ⟩ if ⟨ $\varepsilon > 0$ ⟩ for  $\varepsilon$ 
  apply (rule ex-mono[rule-format, rotated])
  apply (auto intro!: that simp: dist-norm)
  using hilbert-schmidt-minus ⟨hilbert-schmidt a⟩ finite-rank-hilbert-schmidt hilbert-schmidt-norm-geq-norm
  by fastforce
then show ?thesis
  by (simp add: compact-op-finite-rank closure-approachable)
qed

```

```

lemma trace-class-compact: ⟨compact-op a⟩ if ⟨trace-class a⟩
  for a :: ⟨'a::hilbert-space  $\Rightarrow_{CL}$  'b::hilbert-space⟩
  by (simp add: hilbert-schmidt-compact that trace-class-hilbert-schmidt)

```

12.6 Spectral Theorem

The spectral theorem for trace class operators. A corollary of the one for compact operators (*Hilbert-Space-Tensor-Product.Spectral-Theorem*) but not an immediate one.

lift-definition *spectral-dec-proj-tc* :: ⟨'a::hilbert-space, 'a⟩ trace-class \Rightarrow nat \Rightarrow ('a, 'a) trace-class
is

```

spectral-dec-proj
using finite-rank-trace-class spectral-dec-proj-finite-rank trace-class-compact by blast

```

lift-definition *spectral-dec-val-tc* :: ⟨'a::hilbert-space, 'a⟩ trace-class \Rightarrow nat \Rightarrow complex is
spectral-dec-val.

```

lemma spectral-dec-proj-tc-finite-rank:
  assumes ⟨adj-tc a = a⟩
  shows ⟨finite-rank-tc (spectral-dec-proj-tc a n)⟩
  using assms apply transfer
  by (simp add: spectral-dec-proj-finite-rank trace-class-compact)

```

```

lemma spectral-dec-summable-tc:
  assumes ⟨selfadjoint-tc a⟩
  shows ⟨ $(\lambda n. \text{spectral-dec-val-tc } a \ n *_{\mathbb{C}} \text{spectral-dec-proj-tc } a \ n)$  abs-summable-on UNIV⟩
proof (intro nonneg-bounded-partial-sums-imp-summable-on norm-ge-zero eventually-finite-subsets-at-top-weakI)
  define a' where ⟨a' = from-trace-class a⟩
  then have [transfer-rule]: ⟨cr-trace-class a' a⟩
    by (simp add: cr-trace-class-def)

  have ⟨compact-op a'⟩
    by (auto intro!: trace-class-compact simp: a'-def)
  have ⟨selfadjoint a'⟩
    using a'-def assms selfadjoint-tc.rep-eq by blast

```

```

fix F :: ⟨nat set⟩ assume ⟨finite F⟩
define R where ⟨R = (⊔ n∈F. spectral-dec-space a' n)⟩
have ⟨(∑ x∈F. norm (spectral-dec-val-tc a x *C spectral-dec-proj-tc a x))
    = norm (∑ x∈F. spectral-dec-val-tc a x *C spectral-dec-proj-tc a x)⟩
proof (rule norm-tc-sum-exchange[symmetric]; transfer; rename-tac n m F)
  fix n m :: nat assume ⟨n ≠ m⟩
  then have *: ⟨Proj (spectral-dec-space a' n) oCL Proj (spectral-dec-space a' m) = 0⟩ if
    ⟨spectral-dec-val a' n ≠ 0⟩ and ⟨spectral-dec-val a' m ≠ 0⟩
  by (auto intro!: orthogonal-projectors-orthogonal-spaces[THEN iffD1] spectral-dec-space-orthogonal
    ⟨compact-op a'⟩ ⟨selfadjoint a'⟩ simp:)
  show ⟨(spectral-dec-val a' n *C spectral-dec-proj a' n)* oCL spectral-dec-val a' m *C spec-
    tral-dec-proj a' m = 0⟩
  by (auto intro!: * simp: spectral-dec-proj-def adj-Proj)
  show ⟨spectral-dec-val a' n *C spectral-dec-proj a' n oCL (spectral-dec-val a' m *C spec-
    tral-dec-proj a' m)* = 0⟩
  by (auto intro!: * simp: spectral-dec-proj-def adj-Proj)
qed
also have ⟨... = trace-norm (∑ x∈F. spectral-dec-val a' x *C spectral-dec-proj a' x)⟩
  by (metis (no-types, lifting) a'-def spectral-dec-proj-tc.rep-eq spectral-dec-val-tc.rep-eq from-trace-class-sum
    norm-trace-class.rep-eq scaleC-trace-class.rep-eq sum.cong)
  also have ⟨... = trace-norm (∑ x. if x∈F then spectral-dec-val a' x *C spectral-dec-proj a' x
    else 0)⟩
  by (simp add: ⟨finite F⟩ suminf-If-finite-set)
  also have ⟨... = trace-norm (∑ x. (spectral-dec-val a' x *C spectral-dec-proj a' x) oCL Proj
    R)⟩
proof -
  have ⟨spectral-dec-proj a' n = spectral-dec-proj a' n oCL Proj R⟩ if ⟨n ∈ F⟩ for n
  by (auto intro!: Proj-o-Proj-subspace-left[symmetric] SUP-upper that simp: spectral-dec-proj-def
    R-def)
  moreover have ⟨spectral-dec-proj a' n oCL Proj R = 0⟩ if ⟨n ∉ F⟩ for n
  using that
  by (auto intro!: orthogonal-spaces-SUP-right spectral-dec-space-orthogonal ⟨compact-op a'⟩
    ⟨selfadjoint a'⟩
    simp: spectral-dec-proj-def R-def
    simp flip: orthogonal-projectors-orthogonal-spaces)
  ultimately show ?thesis
  by (auto intro!: arg-cong[where f=trace-norm] suminf-cong)
qed
also have ⟨... = trace-norm ((∑ x. spectral-dec-val a' x *C spectral-dec-proj a' x) oCL Proj
    R)⟩
  apply (intro arg-cong[where f=trace-norm] bounded-linear.suminf[symmetric]
    bounded-clinear.bounded-linear bounded-clinear-cblinfun-compose-left sums-summable)
  using ⟨compact-op a'⟩ ⟨selfadjoint a'⟩ spectral-dec-sums by blast
  also have ⟨... = trace-norm (a' oCL Proj R)⟩
  using spectral-dec-sums[OF ⟨compact-op a'⟩ ⟨selfadjoint a'⟩] sums-unique by fastforce
  also have ⟨... ≤ trace-norm a' * norm (Proj R)⟩
  by (auto intro!: trace-norm-comp-left simp: a'-def)
  also have ⟨... ≤ trace-norm a'⟩
  by (simp add: mult-left-le norm-Proj-leq1)

```

finally show $\langle (\sum_{x \in F}. \text{norm} (\text{spectral-dec-val-tc } a \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ x)) \leq \text{trace-norm } a' \rangle$
by –
qed

lemma *spectral-dec-has-sum-tc*:

assumes $\langle \text{selfadjoint-tc } a \rangle$

shows $\langle ((\lambda n. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) \text{ has-sum } a) \text{ UNIV} \rangle$

proof –

define $a' \ b \ b'$ **where** $\langle a' = \text{from-trace-class } a \rangle$

and $\langle b = (\sum_{\infty} n. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) \rangle$ **and** $\langle b' = \text{from-trace-class } b \rangle$

have $[\text{simp}]$: $\langle \text{compact-op } a' \rangle$

by $(\text{auto intro!} : \text{trace-class-compact simp} : a'\text{-def})$

have $[\text{simp}]$: $\langle \text{selfadjoint } a' \rangle$

using $a'\text{-def assms selfadjoint-tc.rep-eq}$ **by** *blast*

have $[\text{simp}]$: $\langle \text{trace-class } b' \rangle$

by $(\text{simp add} : b'\text{-def})$

from *spectral-dec-summable-tc*[*OF assms*]

have *has-sum-b*: $\langle ((\lambda n. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) \text{ has-sum } b) \text{ UNIV} \rangle$

by $(\text{metis abs-summable-summable } b\text{-def summable-iff-has-sum-infsum})$

then have $\langle ((\lambda F. \sum_{n \in F}. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) \longrightarrow b) (\text{finite-subsets-at-top UNIV}) \rangle$

by $(\text{simp add} : \text{has-sum-def})$

then have $\langle ((\lambda F. \text{norm} ((\sum_{n \in F}. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) - b)) \longrightarrow 0) (\text{finite-subsets-at-top UNIV}) \rangle$

using *LIM-zero tendsto-norm-zero* **by** *blast*

then have $\langle ((\lambda F. \text{norm} ((\sum_{n \in F}. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) - b)) \longrightarrow 0) (\text{filtermap } (\lambda n. \{..<n\}) \text{ sequentially}) \rangle$

by $(\text{meson filterlim-compose filterlim-filtermap filterlim-lessThan-at-top})$

then have $\langle ((\lambda m. \text{norm} ((\sum_{n \in \{..<m\}}. \text{spectral-dec-val-tc } a \ n \ *_{\mathcal{C}} \text{spectral-dec-proj-tc } a \ n) - b)) \longrightarrow 0) \text{ sequentially} \rangle$

by $(\text{simp add} : \text{filterlim-filtermap})$

then have $\langle ((\lambda m. \text{trace-norm} ((\sum_{n \in \{..<m\}}. \text{spectral-dec-val } a' \ n \ *_{\mathcal{C}} \text{spectral-dec-proj } a' \ n) - b')) \longrightarrow 0) \text{ sequentially} \rangle$

unfolding $a'\text{-def } b'\text{-def}$

by *transfer*

then have $\langle ((\lambda m. \text{norm} ((\sum_{n \in \{..<m\}}. \text{spectral-dec-val } a' \ n \ *_{\mathcal{C}} \text{spectral-dec-proj } a' \ n) - b')) \longrightarrow 0) \text{ sequentially} \rangle$

apply $(\text{rule tendsto-0-le}[\text{where } K=1])$

by $(\text{auto intro!} : \text{eventually-sequentiallyI norm-leq-trace-norm trace-class-minus trace-class-sum trace-class-scaleC spectral-dec-proj-finite-rank intro} : \text{finite-rank-trace-class})$

then have $\langle (\lambda n. \text{spectral-dec-val } a' \ n \ *_{\mathcal{C}} \text{spectral-dec-proj } a' \ n) \text{ sums } b' \rangle$

using *LIM-zero-cancel sums-def tendsto-norm-zero-iff* **by** *blast*

moreover have $\langle (\lambda n. \text{spectral-dec-val } a' \ n \ *_{\mathcal{C}} \text{spectral-dec-proj } a' \ n) \text{ sums } a' \rangle$

using $\langle \text{compact-op } a' \rangle \langle \text{selfadjoint } a' \rangle$ **by** $(\text{rule spectral-dec-sums})$

ultimately have $\langle a = b \rangle$

using *a'-def b'-def from-trace-class-inject sums-unique2* **by** *blast*
with *has-sum-b* **show** *?thesis*
by *simp*
qed

lemma *spectral-dec-sums-tc*:
assumes $\langle \text{selfadjoint-tc } a \rangle$
shows $\langle (\lambda n. \text{spectral-dec-val-tc } a \ n \ *_C \ \text{spectral-dec-proj-tc } a \ n) \ \text{sums } a \rangle$
using *assms has-sum-imp-sums spectral-dec-has-sum-tc* **by** *blast*

lift-definition *spectral-dec-vecs-tc* :: $\langle ('a, 'a) \ \text{trace-class} \Rightarrow 'a::\text{hilbert-space set} \rangle$ **is**
spectral-dec-vecs.

lemma *compact-from-trace-class[iff]*: $\langle \text{compact-op } (\text{from-trace-class } t) \rangle$
by *(auto intro!: simp: trace-class-compact)*

lemma *sum-some-onb-of-tc-butterfly*:
assumes $\langle \text{finite-dim-ccsubspace } S \rangle$
shows $\langle (\sum x \in \text{some-onb-of } S. \ \text{tc-butterfly } x \ x) = \text{Abs-trace-class } (\text{Proj } S) \rangle$
by *(metis (mono-tags, lifting) assms from-trace-class-inverse from-trace-class-sum sum.cong sum-some-onb-of-butterfly tc-butterfly.rep-eq)*

lemma *butterfly-spectral-dec-vec-tc-has-sum*:
assumes $\langle t \geq 0 \rangle$
shows $\langle ((\lambda v. \ \text{tc-butterfly } v \ v) \ \text{has-sum } t) \ (\text{spectral-dec-vecs-tc } t) \rangle$

proof –

define *t'* **where** $\langle t' = \text{from-trace-class } t \rangle$
note *power2-csqrt[unfolded power2-eq-square, simp]*
note *Reals-cnj-iff[simp]*
have *[simp]:* $\langle \text{compact-op } t' \rangle$
by *(simp add: t'-def)*
from *assms* **have** $\langle \text{selfadjoint-tc } t \rangle$
apply *transfer*
apply *(rule comparable-hermitean[of 0])*
by *simp-all*
have *spectral-real[simp]:* $\langle \text{spectral-dec-val } t' \ n \in \mathbb{R} \rangle$ **for** *n*
apply *(rule spectral-dec-val-real)*
using $\langle \text{selfadjoint-tc } t \rangle$ **by** *(auto intro!: trace-class-compact simp: selfadjoint-tc.rep-eq t'-def)*

have \ast : $\langle ((\lambda(n,v). \ \text{tc-butterfly } v \ v) \ \text{has-sum } t) \ (\text{SIGMA } n:\text{UNIV}. \ (*_C) \ (\text{csqrt } (\text{spectral-dec-val } t' \ n)) \ \text{some-onb-of } (\text{spectral-dec-space } t' \ n)) \rangle$

proof *(rule has-sum-SigmaI[where g= $\lambda n. \ \text{spectral-dec-val } t' \ n \ *_C \ \text{spectral-dec-proj-tc } t \ n$])*

have $\langle \text{spectral-dec-val } t' \ n \geq 0 \rangle$ **for** *n*

by *(simp add: assms from-trace-class-pos spectral-dec-val-nonneg t'-def)*

then have *[simp]:* $\langle \text{cnj } (\text{csqrt } (\text{spectral-dec-val } t' \ n)) \ * \ \text{csqrt } (\text{spectral-dec-val } t' \ n) = \text{spectral-dec-val } t' \ n \rangle$ **for** *n*

apply *(auto simp add: csqrt-of-real-nonneg less-eq-complex-def)*

by *(metis of-real-Re of-real-mult spectral-real sqrt-sqrt)*

have $sum: \langle (\sum_{y \in (\lambda x. csqrt (spectral-dec-val t' n) *_C x)} \text{some-onb-of } (spectral-dec-space t' n). tc-butterfly y y) = spectral-dec-val t' n *_C spectral-dec-proj-tc t n \rangle$ **for** n
proof (cases $\langle spectral-dec-val t' n = 0 \rangle$)
 case *True*
 then show *?thesis*
 by (metis (mono-tags, lifting) *csqrt-0 imageE scaleC-eq-0-iff sum.neutral tc-butterfly-scaleC-left*)
next
 case *False*
 then have $\langle inj-on (\lambda x. csqrt (spectral-dec-val t' n) *_C x) X \rangle$ **for** $X :: \langle 'a set \rangle$
 by (meson *csqrt-eq-0 inj-scaleC*)
 then show *?thesis*
 by (simp add: *sum.reindex False spectral-dec-space-finite-dim sum-some-onb-of-tc-butterfly spectral-dec-proj-def spectral-dec-proj-tc-def flip: scaleC-sum-right t'-def*)
qed
then show $\langle ((\lambda y. case (n, y) of (n, v) \Rightarrow tc-butterfly v v) has-sum spectral-dec-val t' n *_C spectral-dec-proj-tc t n)$
 $((*_C) (csqrt (spectral-dec-val t' n)) \text{some-onb-of } (spectral-dec-space t' n)) \rangle$ **for** n
 by (auto intro!: *has-sum-finiteI finite-imageI some-onb-of-finite-dim spectral-dec-space-finite-dim simp: t'-def*)
 show $\langle ((\lambda n. spectral-dec-val t' n *_C spectral-dec-proj-tc t n) has-sum t) UNIV \rangle$
 by (auto intro!: *spectral-dec-has-sum-tc selfadjoint-tc t simp: t'-def simp flip: spectral-dec-val-tc.rep-eq*)
 show $\langle (\lambda (n, v). tc-butterfly v v) summable-on (SIGMA n:UNIV. (*_C) (csqrt (spectral-dec-val t' n)) \text{some-onb-of } (spectral-dec-space t' n)) \rangle$
 proof –
 have $inj: \langle inj-on ((*_C) (csqrt (spectral-dec-val t' n))) (some-onb-of (spectral-dec-space t' n)) \rangle$ **for** n
 proof (cases $\langle spectral-dec-val t' n = 0 \rangle$)
 case *True*
 then have $\langle spectral-dec-space t' n = 0 \rangle$
 using *spectral-dec-space-0* **by** *blast*
 then have $\langle some-onb-of (spectral-dec-space t' n) = \{\} \rangle$
 using *some-onb-of-0* **by** *auto*
 then show *?thesis*
 by *simp*
 next
 case *False*
 then show *?thesis*
 by (auto intro!: *inj-scaleC*)
qed
have $1: \langle (\lambda x. tc-butterfly x x) abs-summable-on (\lambda xa. csqrt (spectral-dec-val t' n) *_C xa) \text{some-onb-of } (spectral-dec-space t' n) \rangle$ **for** n
 by (auto intro!: *summable-on-finite some-onb-of-finite-dim spectral-dec-space-finite-dim simp: t'-def*)

 have $\langle (\lambda n. cmod (spectral-dec-val t' n) * (\sum_{h \in some-onb-of (spectral-dec-space t' n). norm (tc-butterfly h h)}) abs-summable-on UNIV \rangle$
 proof –
 have $*$: $\langle (\sum_{h \in some-onb-of (spectral-dec-space t' n). norm (tc-butterfly h h)} = norm$

```

(spectral-dec-proj-tc t n)› for n
  proof –
    have ‹(∑ ∞ h∈some-onb-of (spectral-dec-space t' n). norm (tc-butterfly h h))
      = (∑ ∞ h∈some-onb-of (spectral-dec-space t' n). 1)›
    by (simp add: infsum-cong norm-tc-butterfly some-onb-of-norm1)
    also have ‹... = card (some-onb-of (spectral-dec-space t' n))›
    by simp
    also have ‹... = cdim (space-as-set (spectral-dec-space t' n))›
    by (simp add: some-onb-of-card)
    also have ‹... = norm (spectral-dec-proj-tc t n)›
    unfolding t'-def
    apply transfer
    by (metis of-real-eq-iff of-real-of-nat-eq spectral-dec-proj-def spectral-dec-proj-pos
      trace-Proj trace-norm-pos)
    finally show ?thesis
    by –
  qed
  show ?thesis
  apply (simp add: *)
  by (metis (no-types, lifting) ‹selfadjoint-tc t› norm-scaleC spectral-dec-summable-tc
    spectral-dec-val-tc.rep-eq summable-on-cong t'-def)
  qed
  then have 2: ‹(λn. ∑ ∞ v∈(*C) (csqrt (spectral-dec-val t' n)) ‹some-onb-of (spectral-dec-space
    t' n).
      norm (tc-butterfly v v)› abs-summable-on UNIV)›
  apply (subst infsum-reindex)
  by (auto intro!: inj simp: o-def infsum-cmult-right' norm-mult simp del: real-norm-def)
  show ?thesis
  apply (rule abs-summable-summable)
  apply (rule abs-summable-on-Sigma-iff[THEN iffD2])
  using 1 2 by auto
  qed
  qed
  have ‹((λv. tc-butterfly v v) has-sum t) (∪ n. (*C) (csqrt (spectral-dec-val t' n)) ‹some-onb-of
    (spectral-dec-space t' n)›)›
  proof –
    have **: ‹(∪ n. (*C) (csqrt (spectral-dec-val t' n)) ‹some-onb-of (spectral-dec-space t' n)› =
      snd ‹(SIGMA n:UNIV. (*C) (csqrt (spectral-dec-val t' n)) ‹some-onb-of (spectral-dec-space
    t' n)›)›
    by force
    have inj: ‹inj-on snd (SIGMA n:UNIV. (λx. csqrt (spectral-dec-val t' n) *C x) ‹some-onb-of
    (spectral-dec-space t' n)›)›
    proof (rule inj-onI)
      fix nh assume nh: ‹nh ∈ (SIGMA n:UNIV. (λx. csqrt (spectral-dec-val t' n) *C x) ‹
    some-onb-of (spectral-dec-space t' n)›)›
      fix mg assume mg: ‹mg ∈ (SIGMA m:UNIV. (λx. csqrt (spectral-dec-val t' m) *C x) ‹
    some-onb-of (spectral-dec-space t' m)›)›
      assume ‹snd nh = snd mg›
      from nh obtain n h where nh': ‹nh = (n, csqrt (spectral-dec-val t' n) *C h)› and h: ‹h

```

```

∈ some-onb-of (spectral-dec-space t' n)
  by blast
  from mg obtain m g where mg': ⟨mg = (m, csqrt (spectral-dec-val t' m) *C g)⟩ and g:
  ⟨g ∈ some-onb-of (spectral-dec-space t' m)⟩
  by blast
  have ⟨n = m⟩
  proof (rule ccontr)
    assume [simp]: ⟨n ≠ m⟩
    from h have val-not-0: ⟨spectral-dec-val t' n ≠ 0⟩
    using some-onb-of-0 spectral-dec-space-0 by fastforce
    from ⟨snd nh = snd mg⟩ nh' mg' have eq: ⟨csqrt (spectral-dec-val t' n) *C h = csqrt
    (spectral-dec-val t' m) *C g⟩
    by simp
    from ⟨n ≠ m⟩ have ⟨orthogonal-spaces (spectral-dec-space t' n) (spectral-dec-space t' m)⟩
    apply (rule spectral-dec-space-orthogonal[rotated -1])
    using ⟨selfadjoint-tc t⟩ by (auto intro!: trace-class-compact simp: t'-def selfad-
    joint-tc.rep-eq)
    with h g have ⟨is-orthogonal h g⟩
    using orthogonal-spaces-ccspan by fastforce
    then have ⟨is-orthogonal (csqrt (spectral-dec-val t' n) *C h) (csqrt (spectral-dec-val t' m)
    *C g)⟩
    by force
    with eq have val-h-0: ⟨csqrt (spectral-dec-val t' n) *C h = 0⟩
    by simp
    with val-not-0 have ⟨h = 0⟩
    by fastforce
    with h show False
    using some-onb-of-is-ortho-set
    by (auto simp: is-ortho-set-def)
  qed
  with ⟨snd nh = snd mg⟩ nh' mg' show ⟨nh = mg⟩
  by simp
  qed
  from * show ?thesis
  apply (subst **)
  apply (rule has-sum-reindex[THEN iffD2, rotated])
  by (auto intro!: inj simp: o-def case-prod-unfold)
  qed
  then show ?thesis
  by (simp add: spectral-dec-vecs-tc.rep-eq spectral-dec-vecs-def flip: t'-def)
  qed

```

lemma *spectral-dec-vec-tc-norm-summable*:

assumes $\langle t \geq 0 \rangle$

shows $\langle (\lambda v. (\text{norm } v)^2) \text{ summable-on } (\text{spectral-dec-vecs-tc } t) \rangle$

proof –

from *butterfly-spectral-dec-vec-tc-has-sum[OF assms]*

have $\langle (\lambda v. \text{tc-butterfly } v \ v) \text{ summable-on } (\text{spectral-dec-vecs-tc } t) \rangle$


```

using has-sum-imp-summable by blast
then have  $\langle (\lambda v. \text{trace-}tc \text{ (} tc\text{-butterfly } v \text{ )}) \text{ summable-on (spectral-dec-vecs-}tc \text{ } t) \rangle$ 
apply (rule summable-on-bounded-linear[rotated])
by (simp add: bounded-clinear.bounded-linear)
moreover have  $\ast: \langle \text{trace-}tc \text{ (} tc\text{-butterfly } v \text{ )} = \text{of-real } ((\text{norm } v)^2) \rangle$  for  $v :: 'a$ 
by (metis norm-}tc\text{-butterfly norm-}tc\text{-pos power2-eq-square } tc\text{-butterfly-pos})
ultimately have  $\langle (\lambda v. \text{complex-of-real } ((\text{norm } v)^2)) \text{ summable-on (spectral-dec-vecs-}tc \text{ } t) \rangle$ 
by simp
then show ?thesis
by (smt (verit, ccfv-SIG) norm-of-real summable-on-cong summable-on-iff-abs-summable-on-complex
zero-le-power2)
qed

```

12.7 More Trace-Class

lemma *finite-rank-}tc\text{-dense-aux}*: $\langle \text{closure (Collect finite-rank-}tc :: ('a::\text{chilbert-space, 'a) trace-class set) = UNIV} \rangle$

proof (*intro order-top-class.top-le subsetI*)

fix $a :: \langle ('a, 'a) \text{ trace-class} \rangle$

wlog *selfadj*: $\langle \text{selfadjoint-}tc \text{ } a \rangle$ **goal** $\langle a \in \text{closure (Collect finite-rank-}tc) \rangle$ **generalizing** a

proof –

define $b \ c$ **where** $\langle b = a + \text{adj-}tc \text{ } a \rangle$ **and** $\langle c = i *_C (a - \text{adj-}tc \text{ } a) \rangle$

have $\langle \text{adj-}tc \text{ } b = b \rangle$

unfolding *b-def*

apply *transfer*

by (*simp add: adj-plus*)

have $\langle \text{adj-}tc \text{ } c = c \rangle$

unfolding *c-def*

apply *transfer*

apply (*simp add: adj-minus*)

by (*metis minus-diff-eq scaleC-right.minus*)

have *abc*: $\langle a = (1/2) *_C b + (-i/2) *_C c \rangle$

apply (*simp add: b-def c-def*)

by (*metis (no-types, lifting) cross3-simps(8) diff-add-cancel group-cancel.add2 scaleC-add-right scaleC-half-double*)

have $\langle b \in \text{closure (Collect finite-rank-}tc) \rangle$ **and** $\langle c \in \text{closure (Collect finite-rank-}tc) \rangle$

using $\langle \text{adj-}tc \text{ } b = b \rangle \langle \text{adj-}tc \text{ } c = c \rangle$ *hypothesis selfadjoint-}tc\text{-def'}* **by** *auto*

with *abc* **have** $\langle a \in \text{cspan (closure (Collect finite-rank-}tc)) \rangle$

by (*metis complex-vector.span-add complex-vector.span-clauses(1) complex-vector.span-clauses(4)*)

also have $\langle \dots \subseteq \text{closure (cspan (Collect finite-rank-}tc)) \rangle$

by (*simp add: closure-mono complex-vector.span-minimal complex-vector.span-superset*)

also have $\langle \dots = \text{closure (Collect finite-rank-}tc) \rangle$

by (*metis Set.basic-monos(1) complex-vector.span-minimal complex-vector.span-superset csubspace-finite-rank-}tc \text{ subset-antisym}*)

finally show *?thesis*

by –

qed

then have $\langle (\lambda n. \text{spectral-dec-}val\text{-}tc \text{ } a \text{ } n *_C \text{ spectral-dec-}proj\text{-}tc \text{ } a \text{ } n) \text{ sums } a \rangle$

by (*simp add: spectral-dec-sums-}tc*)

```

moreover from selfadj
have ⟨finite-rank-tc (∑ i < n. spectral-dec-val-tc a i *C spectral-dec-proj-tc a i)⟩ for n
apply (induction n)
  by (auto intro!: finite-rank-tc-plus spectral-dec-proj-tc-finite-rank finite-rank-tc-scale
    simp: selfadjoint-tc-def')
ultimately show ⟨a ∈ closure (Collect finite-rank-tc)⟩
  unfolding sums-def closure-sequential
  apply (auto intro!: simp: sums-def closure-sequential)
  by meson
qed

lemma finite-rank-tc-dense: ⟨closure (Collect finite-rank-tc :: ('a::chilbert-space, 'b::chilbert-space)
  trace-class set) = UNIV⟩
proof –
  have ⟨UNIV = closure (Collect finite-rank-tc :: ('a×'b, 'a×'b) trace-class set)⟩
  by (rule finite-rank-tc-dense-aux[symmetric])
  define l r and corner :: ⟨('a×'b, 'a×'b) trace-class ⇒ -⟩ where
    ⟨l = cblinfun-left⟩ and ⟨r = cblinfun-right⟩ and
    ⟨corner t = compose-tcl (compose-tcr (r*) t) l⟩ for t
  have [iff]: ⟨bounded-clinear corner⟩
  by (auto intro: bounded-clinear-compose compose-tcl.bounded-clinear-left compose-tcr.bounded-clinear-right

    simp: corner-def[abs-def])
  have ⟨UNIV = corner ‘ UNIV⟩
  proof (intro UNIV-eq-I range-eqI)
    fix t
    have ⟨from-trace-class (corner (compose-tcl (compose-tcr r t) (l*)))
      = (r* oCL r) oCL from-trace-class t oCL (l* oCL l)⟩
    by (simp add: corner-def compose-tcl.rep-eq compose-tcr.rep-eq cblinfun-compose-assoc)
    also have ⟨... = from-trace-class t⟩
    by (simp add: l-def r-def)
    finally show ⟨t = corner (compose-tcl (compose-tcr r t) (l*))⟩
    by (metis from-trace-class-inject)
  qed
  also have ⟨... = corner ‘ closure (Collect finite-rank-tc)⟩
  by (simp add: finite-rank-tc-dense-aux)
  also have ⟨... ⊆ closure (corner ‘ Collect finite-rank-tc)⟩
  by (auto intro!: bounded-clinear.bounded-linear closure-bounded-linear-image-subset)
  also have ⟨... ⊆ closure (Collect finite-rank-tc)⟩
  proof (intro closure-mono subsetI CollectI)
    fix t assume ⟨t ∈ corner ‘ Collect finite-rank-tc⟩
    then obtain u where ⟨finite-rank-tc u⟩ and tu: ⟨t = corner u⟩
    by blast
    show ⟨finite-rank-tc t⟩
    using ⟨finite-rank-tc u⟩
    by (auto intro!: finite-rank-compose-right[of - l] finite-rank-compose-left[of - ⟨r*⟩]
      simp add: corner-def tu finite-rank-tc.rep-eq compose-tcl.rep-eq compose-tcr.rep-eq)
  qed

```

finally show ?thesis
by blast
qed

hide-fact finite-rank-tc-dense-aux

lemma onb-butterflies-span-trace-class:

fixes $A :: \langle 'a::\text{chilbert-space set} \rangle$ **and** $B :: \langle 'b::\text{chilbert-space set} \rangle$

assumes $\langle \text{is-onb } A \rangle$ **and** $\langle \text{is-onb } B \rangle$

shows $\langle \text{ccspan } ((\lambda(x, y). \text{tc-butterfly } x \ y) \ ' (A \times B)) = \top \rangle$

proof –

have $\langle \text{closure } (\text{cspan } ((\lambda(x, y). \text{tc-butterfly } x \ y) \ ' (A \times B))) \supseteq \text{Collect rank1-tc} \rangle$

proof (rule subsetI)

— This subproof is almost identical to the corresponding one in *finite-rank-dense-compact*, and lengthy. Can they be merged into one subproof?

fix $x :: \langle ('b, 'a) \text{ trace-class} \rangle$ **assume** $\langle x \in \text{Collect rank1-tc} \rangle$

then obtain $a \ b$ **where** $xab: \langle x = \text{tc-butterfly } a \ b \rangle$

apply transfer using rank1-iff-butterfly **by** fastforce

define f **where** $\langle f \ F \ G = \text{tc-butterfly } (\text{Proj } (\text{ccspan } F) \ a) \ (\text{Proj } (\text{ccspan } G) \ b) \rangle$ **for** $F \ G$

have $\text{lim}: \langle \text{case-prod } f \longrightarrow x \rangle$ (finite-subsets-at-top $A \times_F$ finite-subsets-at-top B)

proof (rule tendstoI, subst dist-norm)

fix $e :: \text{real}$ **assume** $\langle e > 0 \rangle$

define d **where** $\langle d = (\text{if } \text{norm } a = 0 \ \wedge \ \text{norm } b = 0 \ \text{then } 1$
 $\quad \text{else } e / (\max (\text{norm } a) (\text{norm } b)) / 4) \rangle$

have $d: \langle \text{norm } a * d + \text{norm } a * d + \text{norm } b * d < e \rangle$

proof –

have $\langle \text{norm } a * d \leq e/4 \rangle$

using $\langle e > 0 \rangle$ **apply** (auto simp: d-def)

apply (simp add: divide-le-eq)

by (smt (z3) Extra-Ordered-Fields.mult-sign-intros(3) $\langle 0 < e \rangle$ antisym-conv divide-le-eq less-imp-le linordered-field-class.mult-imp-div-pos-le mult-left-mono nice-ordered-field-class.dense-le nice-ordered-field-class.divide-nonneg-neg nice-ordered-field-class.divide-nonpos-pos nle-le nonzero-mult-div-cancel-left norm-imp-pos-and-ge ordered-field-class.sign-simps(5) split-mult-pos-le)

moreover have $\langle \text{norm } b * d \leq e/4 \rangle$

using $\langle e > 0 \rangle$ **apply** (auto simp: d-def)

apply (simp add: divide-le-eq)

by (smt (verit) linordered-field-class.mult-imp-div-pos-le mult-left-mono norm-le-zero-iff ordered-field-class.sign-simps(5))

ultimately have $\langle \text{norm } a * d + \text{norm } a * d + \text{norm } b * d \leq 3 * e / 4 \rangle$

by linarith

also have $\langle \dots < e \rangle$

by (simp add: $\langle 0 < e \rangle$)

finally show ?thesis

by –

qed

have [simp]: $\langle d > 0 \rangle$

using $\langle e > 0 \rangle$ **apply** (auto simp: d-def)

```

apply (smt (verit, best) nice-ordered-field-class.divide-pos-pos norm-eq-zero norm-not-less-zero)
by (smt (verit) linordered-field-class.divide-pos-pos zero-less-norm-iff)
from Proj-onb-limit[where  $\psi=a$ ,  $OF$  assms(1)]
have  $\langle \forall F$   $F$  in finite-subsets-at-top  $A$ .  $\text{norm} (\text{Proj} (\text{ccspan } F) a - a) < d \rangle$ 
by (metis Lim-null  $\langle 0 < d \rangle$  order-tendstoD(2) tendsto-norm-zero-iff)
moreover from Proj-onb-limit[where  $\psi=b$ ,  $OF$  assms(2)]
have  $\langle \forall F$   $G$  in finite-subsets-at-top  $B$ .  $\text{norm} (\text{Proj} (\text{ccspan } G) b - b) < d \rangle$ 
by (metis Lim-null  $\langle 0 < d \rangle$  order-tendstoD(2) tendsto-norm-zero-iff)
ultimately have  $FG\text{-close}$ :  $\langle \forall F$   $(F, G)$  in finite-subsets-at-top  $A \times_F$  finite-subsets-at-top
B.
     $\text{norm} (\text{Proj} (\text{ccspan } F) a - a) < d \wedge \text{norm} (\text{Proj} (\text{ccspan } G) b - b) < d$ 
unfolding case-prod-beta
by (rule eventually-prodI)
have  $fFG\text{-dist}$ :  $\langle \text{norm} (f F G - x) < e \rangle$ 
if  $\langle \text{norm} (\text{Proj} (\text{ccspan } F) a - a) < d \rangle$  and  $\langle \text{norm} (\text{Proj} (\text{ccspan } G) b - b) < d \rangle$ 
and  $\langle F \subseteq A \rangle$  and  $\langle G \subseteq B \rangle$  for  $F G$ 
proof -
have  $a\text{-split}$ :  $\langle a = \text{Proj} (\text{ccspan } F) a + \text{Proj} (\text{ccspan} (A-F)) a \rangle$ 
using assms apply (simp add: is-onb-def is-ortho-set-def that Proj-orthog-ccspan-union
flip: cblinfun.add-left)
apply (subst Proj-orthog-ccspan-union[symmetric])
apply (metis DiffD1 DiffD2 in-mono that(3))
using  $\langle F \subseteq A \rangle$  by (auto intro!: simp: Un-absorb1)
have  $b\text{-split}$ :  $\langle b = \text{Proj} (\text{ccspan } G) b + \text{Proj} (\text{ccspan} (B-G)) b \rangle$ 
using assms apply (simp add: is-onb-def is-ortho-set-def that Proj-orthog-ccspan-union
flip: cblinfun.add-left)
apply (subst Proj-orthog-ccspan-union[symmetric])
apply (metis DiffD1 DiffD2 in-mono that(4))
using  $\langle G \subseteq B \rangle$  by (auto intro!: simp: Un-absorb1)
have  $n1$ :  $\langle \text{norm} (f F (B-G)) \leq \text{norm } a * d \rangle$  for  $F$ 
proof -
have  $\langle \text{norm} (f F (B-G)) \leq \text{norm } a * \text{norm} (\text{Proj} (\text{ccspan} (B-G)) b) \rangle$ 
by (auto intro!: mult-right-mono is-Proj-reduces-norm simp add: f-def norm-tc-butterfly)
also have  $\langle \dots \leq \text{norm } a * \text{norm} (\text{Proj} (\text{ccspan } G) b - b) \rangle$ 
by (metis add-diff-cancel-left' b-split less-eq-real-def norm-minus-commute)
also have  $\langle \dots \leq \text{norm } a * d \rangle$ 
by (meson less-eq-real-def mult-left-mono norm-ge-zero that(2))
finally show ?thesis
by -
qed
have  $n2$ :  $\langle \text{norm} (f (A-F) G) \leq \text{norm } b * d \rangle$  for  $G$ 
proof -
have  $\langle \text{norm} (f (A-F) G) \leq \text{norm } b * \text{norm} (\text{Proj} (\text{ccspan} (A-F)) a) \rangle$ 
by (auto intro!: mult-right-mono is-Proj-reduces-norm simp add: f-def norm-tc-butterfly
mult.commute)
also have  $\langle \dots \leq \text{norm } b * \text{norm} (\text{Proj} (\text{ccspan } F) a - a) \rangle$ 
by (smt (verit, best) a-split add-diff-cancel-left' minus-diff-eq norm-minus-cancel)
also have  $\langle \dots \leq \text{norm } b * d \rangle$ 
by (meson less-eq-real-def mult-left-mono norm-ge-zero that(1))

```

```

    finally show ?thesis
      by -
    qed
  have ⟨norm (f F G - x) = norm (- f F (B-G) - f (A-F) (B-G) - f (A-F) G)⟩
    unfolding xab
    apply (subst a-split, subst b-split)
    by (simp add: f-def tc-butterfly-add-right tc-butterfly-add-left)
  also have ⟨... ≤ norm (f F (B-G)) + norm (f (A-F) (B-G)) + norm (f (A-F) G)⟩
    by (smt (verit, best) norm-minus-cancel norm-triangle-ineq4)
  also have ⟨... ≤ norm a * d + norm a * d + norm b * d⟩
    using n1 n2
    by (meson add-mono-thms-linordered-semiring(1))
  also have ⟨... < e⟩
    by (fact d)
  finally show ?thesis
    by -
  qed
  show ⟨∀ F FG in finite-subsets-at-top A ×F finite-subsets-at-top B. norm (case-prod f FG
- x) < e⟩
    apply (rule eventually-elim2)
    apply (rule eventually-prodI[where P=⟨λF. finite F ∧ F ⊆ A⟩ and Q=⟨λG. finite G
∧ G ⊆ B⟩])
    apply auto[2]
    apply (rule FG-close)
    using fFG-dist by fastforce
  qed
  have nontriv: ⟨finite-subsets-at-top A ×F finite-subsets-at-top B ≠ ⊥⟩
    by (simp add: prod-filter-eq-bot)
  have inside: ⟨∀ F x in finite-subsets-at-top A ×F finite-subsets-at-top B.
case-prod f x ∈ cspan ((λ(ξ,η). tc-butterfly ξ η) ‘(A × B)’)⟩
  proof (rule eventually-mp[where P=⟨λ(F,G). finite F ∧ finite G⟩])
    show ⟨∀ F (F,G) in finite-subsets-at-top A ×F finite-subsets-at-top B. finite F ∧ finite G⟩
      by (smt (verit) case-prod-conv eventually-finite-subsets-at-top-weakI eventually-prod-filter)
    have f-in-span: ⟨f F G ∈ cspan ((λ(ξ,η). tc-butterfly ξ η) ‘(A × B)’)⟩ if [simp]: ⟨finite F⟩
    have finite G and ⟨F ⊆ A⟩ ⟨G ⊆ B⟩ for F G
  proof -
    have ⟨Proj (ccspan F) a ∈ cspan F⟩
      by (metis Proj-range cblinfun-apply-in-image ccspan-finite that(1))
    then obtain r where ProjFsum: ⟨Proj (ccspan F) a = (∑ x∈F. r x *C x)⟩
    apply atomize-elim
    using complex-vector.span-finite[OF ⟨finite F⟩]
    by auto
    have ⟨Proj (ccspan G) b ∈ cspan G⟩
      by (metis Proj-range cblinfun-apply-in-image ccspan-finite that(2))
    then obtain s where ProjGsum: ⟨Proj (ccspan G) b = (∑ x∈G. s x *C x)⟩
    apply atomize-elim
    using complex-vector.span-finite[OF ⟨finite G⟩]
    by auto
    have ⟨tc-butterfly ξ η ∈ (λ(ξ, η). tc-butterfly ξ η) ‘(A × B)’)⟩

```

if $\langle \eta \in G \rangle$ **and** $\langle \xi \in F \rangle$ **for** $\eta \xi$
using $\langle F \subseteq A \rangle \langle G \subseteq B \rangle$ **that** **by** (*auto intro!*: *pair-imageI*)
then show *?thesis*
by (*auto intro!*: *complex-vector.span-sum complex-vector.span-scale*
intro: complex-vector.span-base[where a= $\langle tc-butterfly - \rightarrow \rangle$]
simp add: f-def ProjFsum ProjGsum tc-butterfly-sum-left tc-butterfly-sum-right)
qed
show $\langle \forall F x \text{ in } \text{finite-subsets-at-top } A \times_F \text{ finite-subsets-at-top } B.$
 $(\text{case } x \text{ of } (F, G) \Rightarrow \text{finite } F \wedge \text{finite } G) \longrightarrow (\text{case } x \text{ of } (F, G) \Rightarrow f F G) \in$
 $\text{cspan } ((\lambda(\xi, \eta). \text{tc-butterfly } \xi \eta) ' (A \times B)) \rangle$
apply (*rule eventually-mono*)
apply (*rule eventually-prodI[where P= $\langle \lambda F. \text{finite } F \wedge F \subseteq A \rangle$ and Q= $\langle \lambda G. \text{finite } G \wedge$*
 $G \subseteq B \rangle$])
by (*auto intro!*: *f-in-span*)
qed
show $\langle x \in \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{tc-butterfly } \xi \eta) ' (A \times B))) \rangle$
using *lim nontriv inside* **by** (*rule limit-in-closure*)
qed
moreover have $\langle \text{cspan } (\text{Collect rank1-tc} :: ('b, 'a) \text{ trace-class set}) = \text{Collect finite-rank-tc}$
using *finite-rank-tc-def'* **by** *fastforce*
moreover have $\langle \text{closure } (\text{Collect finite-rank-tc} :: ('b, 'a) \text{ trace-class set}) = \text{UNIV} \rangle$
by (*rule finite-rank-tc-dense*)
ultimately have $\langle \text{closure } (\text{cspan } ((\lambda(x, y). \text{tc-butterfly } x y) ' (A \times B))) \supseteq \text{UNIV} \rangle$
by (*smt (verit, del-insts) Un-UNIV-left closed-sum-closure-left closed-sum-cspan closure-closure*
closure-is-csubspace complex-vector.span-eq-iff complex-vector.subspace-span subset-Un-eq)
then show *?thesis*
by (*metis ccspan.abs-eq ccspan-UNIV closure-UNIV complex-vector.span-UNIV top.extremum-uniqueI*)
qed

lemma *separating-set-tc-butterfly*: $\langle \text{separating-set bounded-clinear } ((\lambda(g, h). \text{tc-butterfly } g h) ' (UNIV \times UNIV)) \rangle$
apply (*rule separating-set-mono[where S= $\langle \lambda(g, h). \text{tc-butterfly } g h \rangle ' (\text{some-chilbert-basis} \times$*
*some-chilbert-basis)])
by (*auto intro!*: *separating-set-bounded-clinear-dense onb-butterflies-span-trace-class*)*

lemma *separating-set-tc-butterfly-nested*:
assumes $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'c :: \text{complex-normed-vector}) \Rightarrow -) A \rangle$
assumes $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'c \text{ conjugate-space}) \Rightarrow -) B \rangle$
shows $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'c) \Rightarrow -) ((\lambda(g, h). \text{tc-butterfly } g h) ' (A \times B)) \rangle$
proof –
from *separating-set-tc-butterfly*
have $\langle \text{separating-set bounded-clinear } ((\lambda(g, h). \text{tc-butterfly } g h) ' \text{prod.swap } ' (UNIV \times UNIV)) \rangle$
by *simp*
then have $\langle \text{separating-set bounded-clinear } ((\lambda(g, h). \text{tc-butterfly } h g) ' (UNIV \times UNIV)) \rangle$
unfolding *image-image* **by** *simp*
then have $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'c) \Rightarrow -) ((\lambda(g, h). \text{tc-butterfly } h g) ' (B \times$
 $A)) \rangle$
apply (*rule separating-set-bounded-sesquilinear-nested*)
apply (*rule bounded-sesquilinear-tc-butterfly*)

```

using assms by auto
then have ⟨separating-set (bounded-clinear :: (- ⇒ 'c) ⇒ -) ((λ(g,h). tc-butterfly h g) '
prod.swap ' (A × B))⟩
  by (smt (verit, del-insts) SigmaE SigmaI eq-from-separatingI image-iff pair-in-swap-image
separating-setI)
  then show ?thesis
  unfolding image-image by simp
qed

```

end

13 Weak-Star-Topology – Weak* topology on complex bounded operators

theory *Weak-Star-Topology*

imports *Trace-Class Weak-Operator-Topology Misc-Tensor-Product-TTS*

begin

definition *weak-star-topology* :: ⟨('a::*chilbert-space* ⇒_{CL} 'b::*chilbert-space*) *topology*⟩

where ⟨*weak-star-topology* = *pullback-topology UNIV* (λ*x*. λ*t*∈*Collect trace-class*. *trace* (*t* *o*_{CL} *x*))

(*product-topology* (λ-. *euclidean*) (*Collect trace-class*))⟩

lemma *open-map-product-topology-reindex*:

fixes *π* :: ⟨'b ⇒ 'a⟩

assumes *bij-π*: ⟨*bij-betw* *π B A*⟩ **and** *ST*: ⟨λ*x*. *x*∈*B* ⇒ *S x* = *T (π x)*⟩

assumes *g-def*: ⟨λ*f*. *g f* = *restrict (f o π) B*⟩

shows ⟨*open-map* (*product-topology T A*) (*product-topology S B*) *g*⟩

proof –

define *π' g'* **where** ⟨*π'* = *inv-into B π*⟩ **and** ⟨*g'* *f* = *restrict (f o π')* *A*⟩ **for** *f* :: ⟨'b ⇒ 'c⟩

have *bij-g*: ⟨*bij-betw* *g (PiE A V) (PiE B (V o π))*⟩ **for** *V*

apply (*rule bij-betw-byWitness*[**where** *f'=g'*])

subgoal

unfolding *g'-def g-def π'-def*

by (*smt* (*verit*, *best*) *PiE-restrict bij-π bij-betw-imp-surj-on bij-betw-inv-into-right comp-eq-dest-lhs* *inv-into-into restrict-def restrict-ext*)

subgoal

unfolding *g'-def g-def π'-def*

by (*smt* (*verit*, *ccfv-SIG*) *PiE-restrict bij-π bij-betwE bij-betw-inv-into-left comp-apply* *restrict-apply restrict-ext*)

subgoal

unfolding *g'-def g-def π'-def*

using *PiE-mem bij-π bij-betw-imp-surj-on* **by** *fastforce*

subgoal

unfolding *g'-def g-def π'-def*

by (smt (verit, best) PiE-mem bij- π bij-betw-iff-bijections bij-betw-inv-into-left comp-def
 image-subset-iff restrict-PiE-iff)
 done
 have open-gU: $\langle \text{openin (product-topology } S \ B) (g \ ' \ U) \rangle$ if $\langle \text{openin (product-topology } T \ A) \ U \rangle$
 for U
 proof –
 from product-topology-open-contains-basis[OF that]
 obtain V where xAV: $\langle x \in \text{PiE } A \ (V \ x) \rangle$ and openV: $\langle \text{openin } (T \ a) \ (V \ x \ a) \rangle$ and finiteV:
 $\langle \text{finite } \{a. \ V \ x \ a \neq \text{topspace } (T \ a)\} \rangle$
 and AVU: $\langle \text{PiE } A \ (V \ x) \subseteq U \rangle$ if $\langle x \in U \rangle$ for x a
 apply atomize-elim
 apply (rule choice4)
 by meson
 define V' where $\langle V' \ x \ b = (\text{if } b \in B \ \text{then } V \ x \ (\pi \ b) \ \text{else } \text{topspace } (S \ b)) \rangle$ for b x
 have PiEV': $\langle \text{PiE } B \ (V \ x \circ \pi) = \text{PiE } B \ (V' \ x) \rangle$ for x
 by (metis (mono-tags, opaque-lifting) PiE-cong V'-def comp-def)
 from xAV AVU have AVU': $\langle \bigcup_{x \in U}. \text{PiE } A \ (V \ x) = U \rangle$
 by blast
 have openVb: $\langle \text{openin } (S \ b) \ (V' \ x \ b) \rangle$ if [simp]: $\langle x \in U \rangle$ for x b
 by (auto simp: ST V'-def intro!: openV)
 have $\langle \text{bij-betw } \pi' \ \{a \in A. \ V \ x \ a \neq \text{topspace } (T \ a)\} \ \{b \in B. \ (V \ x \circ \pi) \ b \neq \text{topspace } (S \ b)\} \rangle$ for
 x
 apply (rule bij-betw-byWitness[where f'= π])
 apply simp
 apply (metis π' -def bij- π bij-betw-inv-into-right)
 using π' -def bij- π bij-betw-imp-inj-on apply fastforce
 apply (smt (verit, best) ST π' -def bij- π bij-betw-imp-surj-on comp-apply f-inv-into-f
 image-Collect-subsetI inv-into-into mem-Collect-eq)
 using ST bij- π bij-betwE by fastforce

 then have $\langle \text{finite } \{b \in B. \ (V \ x \circ \pi) \ b \neq \text{topspace } (S \ b)\} \rangle$ if $\langle x \in U \rangle$ for x
 apply (rule bij-betw-finite[THEN iffD1])
 using that finiteV
 by simp
 also have $\langle \{b \in B. \ (V \ x \circ \pi) \ b \neq \text{topspace } (S \ b)\} = \{b. \ V' \ x \ b \neq \text{topspace } (S \ b)\} \rangle$ if $\langle x \in$
 U \rangle for x
 by (auto simp: V'-def)
 finally have finiteV π : $\langle \text{finite } \{b. \ V' \ x \ b \neq \text{topspace } (S \ b)\} \rangle$ if $\langle x \in U \rangle$ for x
 using that by –
 from openVb finiteV π
 have $\langle \text{openin (product-topology } S \ B) (\text{PiE } B \ (V' \ x)) \rangle$ if [simp]: $\langle x \in U \rangle$ for x
 by (auto intro!: product-topology-basis)
 with bij-g PiEV' have $\langle \text{openin (product-topology } S \ B) (g \ ' \ (\text{PiE } A \ (V \ x))) \rangle$ if $\langle x \in U \rangle$ for x
 by (metis bij-betw-imp-surj-on that)
 then have $\langle \text{openin (product-topology } S \ B) (\bigcup_{x \in U}. (g \ ' \ (\text{PiE } A \ (V \ x)))) \rangle$
 by blast
 with AVU' show $\langle \text{openin (product-topology } S \ B) (g \ ' \ U) \rangle$
 by (metis image-UN)
 qed

show $\langle \text{open-map } (\text{product-topology } T A) (\text{product-topology } S B) g \rangle$
by (*simp add: open-gU open-map-def*)
qed

lemma *homeomorphic-map-product-topology-reindex*:
fixes $\pi :: \langle 'b \Rightarrow 'a \rangle$
assumes *big- π* : $\langle \text{bij-betw } \pi B A \rangle$ **and** *ST*: $\langle \bigwedge x. x \in B \implies S x = T (\pi x) \rangle$
assumes *g-def*: $\langle \bigwedge f. g f = \text{restrict } (f \circ \pi) B \rangle$
shows $\langle \text{homeomorphic-map } (\text{product-topology } T A) (\text{product-topology } S B) g \rangle$
proof (*rule bijective-open-imp-homeomorphic-map*)
show *open-map*: $\langle \text{open-map } (\text{product-topology } T A) (\text{product-topology } S B) g \rangle$
using *assms* **by** (*rule open-map-product-topology-reindex*)
define $\pi' g'$ **where** $\langle \pi' = \text{inv-into } B \pi \rangle$ **and** $\langle g' f = \text{restrict } (f \circ \pi') A \rangle$ **for** $f :: \langle 'b \Rightarrow 'c \rangle$
have $\langle \text{bij-betw } \pi' A B \rangle$
by (*simp add: π' -def big- π bij-betw-inv-into*)

have *l1*: $\langle x \in (\lambda x. \text{restrict } (x \circ \pi) B) \text{ ' } (\prod_E i \in A. \text{topspace } (T i)) \rangle$ **if** $\langle x \in (\prod_E i \in B. \text{topspace } (S i)) \rangle$ **for** x
proof –
have $\langle g' x \in (\prod_E i \in A. \text{topspace } (T i)) \rangle$
by (*smt (z3) g'-def PiE-mem π' -def assms(1) assms(2) bij-betw-imp-surj-on bij-betw-inv-into-right comp-apply inv-into-into restrict-PiE-iff that*)
moreover **have** $\langle x = \text{restrict } (g' x \circ \pi) B \rangle$
by (*smt (verit) PiE-restrict π' -def assms(1) bij-betwE bij-betw-inv-into-left comp-apply restrict-apply restrict-ext that g'-def*)
ultimately show *?thesis*
by (*intro rev-image-eqI*)
qed

show *topspace*: $\langle g \text{ ' } \text{topspace } (\text{product-topology } T A) = \text{topspace } (\text{product-topology } S B) \rangle$
using *l1 assms unfolding g-def [abs-def] topspace-product-topology*
by (*auto simp: bij-betw-def*)

show $\langle \text{inj-on } g (\text{topspace } (\text{product-topology } T A)) \rangle$
apply (*simp add: g-def [abs-def]*)
by (*smt (verit) PiE-ext assms(1) bij-betw-iff-bijections comp-apply inj-on-def restrict-apply'*)

have *open-map-g'*: $\langle \text{open-map } (\text{product-topology } S B) (\text{product-topology } T A) g' \rangle$
using $\langle \text{bij-betw } \pi' A B \rangle$ **apply** (*rule open-map-product-topology-reindex*)
apply (*metis ST π' -def big- π bij-betw-imp-surj-on bij-betw-inv-into-right inv-into-into*)
using *g'-def* **by** *blast*

have *g'g*: $\langle g' (g x) = x \rangle$ **if** $\langle x \in \text{topspace } (\text{product-topology } T A) \rangle$ **for** x
using *that unfolding g'-def g-def topspace-product-topology*
by (*smt (verit) PiE-restrict $\langle \text{bij-betw } \pi' A B \rangle$ π' -def big- π bij-betwE bij-betw-inv-into-right comp-def restrict-apply' restrict-ext*)

have *gg'*: $\langle g (g' x) = x \rangle$ **if** $\langle x \in \text{topspace } (\text{product-topology } S B) \rangle$ **for** x
unfolding *g'-def g-def*
by (*metis (no-types, lifting) g'-def f-inv-into-f g'g g-def inv-into-into that topspace*)

```

from open-map-g'
have ⟨openin (product-topology T A) (g' ' U)⟩ if ⟨openin (product-topology S B) U⟩ for U
  using open-map-def that by blast
also have ⟨g' ' U = (g - ' U) ∩ (topspace (product-topology T A))⟩ if ⟨openin (product-topology
S B) U⟩ for U
proof –
  from that
  have U-top: ⟨U ⊆ topspace (product-topology S B)⟩
    using openin-subset by blast
  from topspace
  have topspace': ⟨topspace (product-topology T A) = g' ' topspace (product-topology S B)⟩
    by (metis bij-betw-byWitness bij-betw-def calculation g'g gg' openin-subset openin-topspace)
  show ?thesis
    unfolding topspace'
    using U-top gg'
    by auto
qed
finally have open-gU2: ⟨openin (product-topology T A) ((g - ' U) ∩ (topspace (product-topology
T A)))⟩
  if ⟨openin (product-topology S B) U⟩ for U
  using that by blast

then show ⟨continuous-map (product-topology T A) (product-topology S B) g⟩
  by (smt (verit, best) g'g image-iff open-eq-continuous-inverse-map open-map-g' topspace)
qed

```

lemma weak-star-topology-def':

```

⟨weak-star-topology = pullback-topology UNIV (λx t. trace (from-trace-class t oCL x)) eu-
clidean⟩

```

proof –

```

define f g where ⟨f x = (λt ∈ Collect trace-class. trace (t oCL x))⟩ and ⟨g f' = f' o from-trace-class⟩
for x :: ⟨'a ⇒CL 'b⟩ and f' :: ⟨'b ⇒CL 'a ⇒ complex⟩

```

```

have ⟨homeomorphic-map (product-topology (λ-. euclidean) (Collect trace-class)) (product-topology
(λ-. euclidean) UNIV) g⟩

```

```

  unfolding g-def[abs-def]

```

```

  apply (rule homeomorphic-map-product-topology-reindex[where π = from-trace-class])

```

subgoal

```

  by (smt (verit, best) UNIV-I bij-betwI' from-trace-class from-trace-class-cases from-trace-class-inject)

```

by auto

```

then have homeo-g: ⟨homeomorphic-map (product-topology (λ-. euclidean) (Collect trace-class))
euclidean g⟩

```

```

  by (simp add: euclidean-product-topology)

```

```

have ⟨weak-star-topology = pullback-topology UNIV f (product-topology (λ-. euclidean) (Collect
trace-class))⟩

```

```

  by (simp add: weak-star-topology-def pullback-topology-homeo-cong homeo-g f-def[abs-def])

```

```

also have ⟨... = pullback-topology UNIV (g o f) euclidean⟩

```

```

  by (subst pullback-topology-homeo-cong)

```

```

  (auto simp add: homeo-g f-def[abs-def] split: if-splits)

```

```

also have ⟨... = pullback-topology UNIV (λx t. trace (from-trace-class t oCL x)) euclidean⟩

```

by (auto simp: f-def[abs-def] g-def[abs-def] o-def)
 finally show ?thesis
 by –
qed

lemma weak-star-topology-topospace[simp]:
 topspace weak-star-topology = UNIV
unfolding weak-star-topology-def topspace-pullback-topology topspace-euclidean by auto

lemma weak-star-topology-basis':
fixes f::('a::hilbert-space \Rightarrow_{CL} 'b::hilbert-space) **and** U::'i \Rightarrow complex set **and** t::'i \Rightarrow ('b,'a)
 trace-class
assumes finite I $\wedge i. i \in I \implies \text{open } (U\ i)$
shows openin weak-star-topology {f. $\forall i \in I. \text{trace } (\text{from-trace-class } (t\ i)\ o_{CL}\ f) \in U\ i$ }
proof –
have 1: open {g. $\forall i \in I. g\ (t\ i) \in U\ i$ }
using assms by (rule product-topology-basis')
show ?thesis
unfolding weak-star-topology-def'
apply (subst openin-pullback-topology)
apply (intro exI conjI)
using 1 by auto
qed

lemma weak-star-topology-basis:
fixes f::('a::hilbert-space \Rightarrow_{CL} 'b::hilbert-space) **and** U::'i \Rightarrow complex set **and** t::'i \Rightarrow ('b
 \Rightarrow_{CL} 'a)
assumes finite I $\wedge i. i \in I \implies \text{open } (U\ i)$
assumes tc: $\langle \wedge i. i \in I \implies \text{trace-class } (t\ i) \rangle$
shows openin weak-star-topology {f. $\forall i \in I. \text{trace } (t\ i\ o_{CL}\ f) \in U\ i$ }
proof –
obtain t' where tt': $\langle t\ i = \text{from-trace-class } (t'\ i) \rangle$ **if** $\langle i \in I \rangle$ **for** i
by (atomize-elim, rule choice) (use tc from-trace-class-cases in blast)
show ?thesis
using assms by (auto simp: tt' o-def intro!: weak-star-topology-basis')
qed

lemma wot-weaker-than-weak-star:
 continuous-map weak-star-topology cweak-operator-topology ($\lambda f. f$)
unfolding weak-star-topology-def cweak-operator-topology-def
proof (rule continuous-map-pullback-both)
define g' :: $\langle ('b \Rightarrow_{CL} 'a \Rightarrow \text{complex}) \Rightarrow 'b \times 'a \Rightarrow \text{complex} \rangle$ **where**
 $\langle g'\ f = (\lambda(x,y). f\ (\text{butterfly}\ y\ x)) \rangle$ **for** f
show $\langle (\lambda x. \lambda t \in \text{Collect trace-class. trace } (t\ o_{CL}\ x)) - ' \text{topspace } (\text{product-topology } (\lambda-. \text{euclidean})) (\text{Collect trace-class})) \cap \text{UNIV} \subseteq (\lambda f. f) - ' \text{UNIV} \rangle$
by simp
show $\langle g' (\lambda t \in \text{Collect trace-class. trace } (t\ o_{CL}\ x)) = (\lambda(xa, y). xa \cdot_C (x *_V y)) \rangle$
if $\langle (\lambda t \in \text{Collect trace-class. trace } (t\ o_{CL}\ x)) \in \text{topspace } (\text{product-topology } (\lambda-. \text{euclidean})) \rangle$

```

(Collect trace-class)›
  for x
  by (auto intro!: ext simp: g'-def trace-butterfly-comp)
show ‹continuous-map (product-topology (λ-. euclidean) (Collect trace-class)) euclidean g'›
  apply (subst euclidean-product-topology[symmetric])
  apply (rule continuous-map-coordinatewise-then-product)
  subgoal for i
    unfolding g'-def case-prod-unfold
    by (metis continuous-map-product-projection mem-Collect-eq trace-class-butterfly)
  subgoal
    by (auto simp: g'-def[abs-def])
  done
qed

lemma wot-weaker-than-weak-star':
  ‹openin cweak-operator-topology U  $\implies$  openin weak-star-topology U›
  using wot-weaker-than-weak-star[where 'a='a and 'b='b]
  by (auto simp: continuous-map-def weak-star-topology-topospace)

lemma weak-star-topology-continuous-duality':
  shows continuous-map weak-star-topology euclidean (λx. trace (from-trace-class t oCL x))
  proof -
    have continuous-map weak-star-topology euclidean ((λf. f t) o (λx t. trace (from-trace-class t oCL x)))
    unfolding weak-star-topology-def' apply (rule continuous-map-pullback)
    using continuous-on-product-coordinates by fastforce
    then show ?thesis unfolding comp-def by simp
  qed

lemma weak-star-topology-continuous-duality:
  assumes ‹trace-class t›
  shows continuous-map weak-star-topology euclidean (λx. trace (t oCL x))
  by (metis assms from-trace-class-cases mem-Collect-eq weak-star-topology-continuous-duality')

lemma continuous-on-weak-star-topo-iff-coordinatewise:
  fixes f :: ‹'a  $\implies$  'b::chilbert-space  $\implies_{CL}$  'c::chilbert-space›
  shows continuous-map T weak-star-topology f
     $\iff$  (∀ t. trace-class t  $\implies$  continuous-map T euclidean (λx. trace (t oCL f x)))
  proof (intro iffI allI impI)
    fix t :: ‹'c  $\implies_{CL}$  'b›
    assume ‹trace-class t›
    assume continuous-map T weak-star-topology f
    with continuous-map-compose[OF this weak-star-topology-continuous-duality, OF ‹trace-class t›]
    have continuous-map T euclidean ((λx. trace (t oCL x)) o f)
      by simp
    then show continuous-map T euclidean (λx. trace (t oCL f x))
      unfolding comp-def by auto
  next

```

```

assume  $\langle \forall t. \text{trace-class } t \longrightarrow \text{continuous-map } T \text{ euclidean } (\lambda x. \text{trace } (t \text{ } o_{CL} f x)) \rangle$ 
then have  $\langle \text{continuous-map } T \text{ euclidean } (\lambda x. \text{trace } (\text{from-trace-class } t \text{ } o_{CL} f x)) \rangle$  for  $t$ 
by auto
then have  $*$ :  $\text{continuous-map } T \text{ euclidean } ((\lambda x t. \text{trace } (\text{from-trace-class } t \text{ } o_{CL} x)) \circ f)$ 
by (auto simp flip: euclidean-product-topology simp: o-def)
show  $\text{continuous-map } T \text{ weak-star-topology } f$ 
unfolding weak-star-topology-def'
apply (rule continuous-map-pullback')
by (auto simp add: *)
qed

```

```

lemma weak-star-topology-weaker-than-euclidean:
   $\text{continuous-map euclidean weak-star-topology } (\lambda f. f)$ 
apply (subst continuous-on-weak-star-topo-iff-coordinatewise)
by (auto intro!: linear-continuous-on bounded-clinear.bounded-linear bounded-clinear-trace-duality)

```

```

typedef (overloaded)  $( 'a, 'b ) \text{ cblinfun-weak-star} = \langle UNIV :: ( 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} ) \text{ set} \rangle$ 
morphisms from-weak-star to-weak-star ..
setup-lifting type-definition-cblinfun-weak-star

```

```

lift-definition id-weak-star ::  $\langle ( 'a :: \text{complex-normed-vector}, 'a ) \text{ cblinfun-weak-star} \rangle$  is id-cblinfun
.

```

```

instantiation cblinfun-weak-star ::  $( \text{complex-normed-vector}, \text{complex-normed-vector} ) \text{ complex-vector}$ 
begin

```

```

lift-definition scaleC-cblinfun-weak-star ::  $\langle \text{complex} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$ 
is  $\langle \text{scaleC} \rangle$  .

```

```

lift-definition uminus-cblinfun-weak-star ::  $\langle ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$ 
is uminus .

```

```

lift-definition zero-cblinfun-weak-star ::  $\langle ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$  is  $0$  .

```

```

lift-definition minus-cblinfun-weak-star ::  $\langle ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$ 
is minus .

```

```

lift-definition plus-cblinfun-weak-star ::  $\langle ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$ 
is plus .

```

```

lift-definition scaleR-cblinfun-weak-star ::  $\langle \text{real} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \Rightarrow ( 'a, 'b ) \text{ cblinfun-weak-star} \rangle$ 
is scaleR .

```

```

instance

```

```

by (intro-classes; transfer) (auto simp add: scaleR-scaleC scaleC-add-right scaleC-add-left)
end

```

```

instantiation cblinfun-weak-star ::  $( \text{hilbert-space}, \text{hilbert-space} ) \text{ topological-space}$  begin

```

```

lift-definition open-cblinfun-weak-star ::  $\langle ( 'a, 'b ) \text{ cblinfun-weak-star set} \Rightarrow \text{bool} \rangle$  is  $\langle \text{open in weak-star-topology} \rangle$  .

```

```

instance

```

```

proof intro-classes

```

```

show  $\langle \text{open } ( UNIV :: ( 'a, 'b ) \text{ cblinfun-weak-star set} ) \rangle$ 

```

```

  by transfer (metis weak-star-topology-topospace openin-topospace)
  show ⟨open S ⟹ open T ⟹ open (S ∩ T)⟩ for S T :: ⟨('a,'b) cblinfun-weak-star set⟩
  by transfer auto
  show ⟨∀ S ∈ K. open S ⟹ open (⋃ K)⟩ for K :: ⟨('a,'b) cblinfun-weak-star set set⟩
  by transfer auto
qed
end

lemma transfer-nhds-weak-star-topology[transfer-rule]:
  includes lifting-syntax
  shows ⟨(cr-cblinfun-weak-star == => rel-filter cr-cblinfun-weak-star) (nhdsin weak-star-topology)
nhds⟩
proof -
  have (cr-cblinfun-weak-star == => rel-filter cr-cblinfun-weak-star)
    (λa. ⋀ (principal ‘ {S. openin weak-star-topology S ∧ a ∈ S}))
    (λa. ⋀ (principal ‘ {S. open S ∧ a ∈ S}))
  by transfer-prover
  thus ?thesis
  unfolding nhds-def nhdsin-def weak-star-topology-topospace by simp
qed

lemma limitin-weak-star-topology':
  ⟨limitin weak-star-topology f l F ⟷ (∀ t. ((λj. trace (from-trace-class t oCL f j)) ⟶ trace
(from-trace-class t oCL l)) F)⟩
  by (simp add: weak-star-topology-def' limitin-pullback-topology tendsto-coordinatewise)

lemma limitin-weak-star-topology:
  ⟨limitin weak-star-topology f l F ⟷ (∀ t. trace-class t ⟶ ((λj. trace (t oCL f j)) ⟶ trace
(t oCL l)) F)⟩
  by (smt (z3) eventually-mono from-trace-class from-trace-class-cases limitin-weak-star-topology'
mem-Collect-eq tendsto-def)

lemma filterlim-weak-star-topology:
  ⟨filterlim f (nhdsin weak-star-topology l) = limitin weak-star-topology f l⟩
  by (auto simp: weak-star-topology-topospace simp flip: filterlim-nhdsin-iff-limitin)

lemma openin-weak-star-topology': ⟨openin weak-star-topology U ⟷ (∃ V. open V ∧ U = (λx
t. trace (from-trace-class t oCL x)) - ' V)⟩
  by (simp add: weak-star-topology-def' openin-pullback-topology)

lemma hausdorff-weak-star[simp]: ⟨Hausdorff-space weak-star-topology⟩
  by (metis cweak-operator-topology-topospace hausdorff-cweak-operator-topology
Hausdorff-space-def weak-star-topology-topospace wot-weaker-than-weak-star')

lemma Domainp-cr-cblinfun-weak-star[simp]: ⟨Domainp cr-cblinfun-weak-star = (λ-. True)⟩

```

```

by (metis (no-types, opaque-lifting) DomainPI cblinfun-weak-star.left-total left-totalE)

lemma Rangep-cr-cblinfun-weak-star[simp]: ⟨Rangep cr-cblinfun-weak-star = (λ-. True)⟩
  by (meson RangePI cr-cblinfun-weak-star-def)

lemma transfer-euclidean-weak-star-topology[transfer-rule]:
  includes lifting-syntax
  shows ⟨(rel-topology cr-cblinfun-weak-star) weak-star-topology euclidean⟩
proof (unfold rel-topology-def, intro conjI allI impI)
  show ⟨(rel-set cr-cblinfun-weak-star == => (=)) (openin weak-star-topology) (openin euclidean)⟩
    unfolding rel-fun-def rel-set-def open-openin [symmetric] cr-cblinfun-weak-star-def
    by (transfer, intro allI impI arg-cong[of - - openin x for x]) blast
next
  fix U :: ⟨('a ⇒CL 'b) set⟩
  assume ⟨openin weak-star-topology U⟩
  show ⟨Domainp (rel-set cr-cblinfun-weak-star) U⟩
    by (simp add: Domainp-set)
next
  fix U :: ⟨('a, 'b) cblinfun-weak-star set⟩
  assume ⟨openin euclidean U⟩
  show ⟨Rangep (rel-set cr-cblinfun-weak-star) U⟩
    by (simp add: Rangep-set)
qed

instance cblinfun-weak-star :: (chilbert-space, chilbert-space) t2-space
  apply (rule hausdorff-OFCLASS-t2-space)
  apply transfer
  by (rule hausdorff-weak-star)

lemma weak-star-topology-plus-cont: ⟨LIM (x,y) nhdsin weak-star-topology a ×F nhdsin weak-star-topology b.
  x + y :> nhdsin weak-star-topology (a + b)⟩
proof -
  have trace-plus: ⟨trace (t oCL (a + b)) = trace (t oCL a) + trace (t oCL b)⟩ if ⟨trace-class t⟩
for t :: ⟨'b ⇒CL 'a⟩ and a b
  by (auto simp: cblinfun-compose-add-right trace-plus that trace-class-comp-left)
  show ?thesis
    unfolding weak-star-topology-def'
    by (rule pullback-topology-bi-cont[where f'=plus])
      (auto simp: trace-plus case-prod-unfold tendsto-add-Pair)
qed

instance cblinfun-weak-star :: (chilbert-space, chilbert-space) topological-group-add
proof intro-classes

```

```

show ⟨((λx. fst x + snd x) → a + b) (nhds a ×F nhds b)⟩ for a b :: ⟨('a,'b) cblin-
fun-weak-star⟩
  apply transfer
  using weak-star-topology-plus-cont
  by (auto simp: case-prod-unfold)

have ⟨continuous-map weak-star-topology euclidean (λx. trace (t oCL - x))⟩ if ⟨trace-class t⟩
for t :: ⟨'b ⇒CL 'a⟩
  using weak-star-topology-continuous-duality[of ⟨-t⟩]
  by (auto simp: cblinfun-compose-uminus-left cblinfun-compose-uminus-right intro!: that trace-class-uminus)
then have *: ⟨continuous-map weak-star-topology weak-star-topology (uminus :: ('a ⇒CL 'b)
⇒ -)⟩
  by (auto simp: continuous-on-weak-star-topo-iff-coordinatewise)
show ⟨(uminus → - a) (nhds a)⟩ for a :: ⟨('a,'b) cblinfun-weak-star⟩
  apply (subst tendsto-at-iff-tendsto-nhds[symmetric])
  apply (subst isCont-def[symmetric])
  apply (rule continuous-on-interior[where S=UNIV])
  apply (subst continuous-map-iff-continuous2[symmetric])
  apply transfer
  using * by auto
qed

```

lemma continuous-map-left-comp-weak-star:

```

⟨continuous-map weak-star-topology weak-star-topology (λa::'a::hilbert-space ⇒CL -. b oCL a)⟩

for b :: ⟨'b::hilbert-space ⇒CL 'c::hilbert-space⟩
proof (unfold weak-star-topology-def, rule continuous-map-pullback-both)
  define g' :: ⟨('b ⇒CL 'a ⇒ complex) ⇒ ('c ⇒CL 'a ⇒ complex)⟩ where
  ⟨g' f = (λt∈Collect trace-class. f (t oCL b))⟩ for f
  show ⟨(λx. λt∈Collect trace-class. trace (t oCL x)) -' topspace (product-topology (λ-. eu-
clidean) (Collect trace-class)) ∩ UNIV⟩
  ⊆ (oCL b -' UNIV)
  by simp
  show ⟨g' (λt∈Collect trace-class. trace (t oCL x)) = (λt∈Collect trace-class. trace (t oCL (b
oCL x)))⟩ for x
  by (auto intro!: ext simp: g'-def[abs-def] cblinfun-compose-assoc trace-class-comp-left)
  show ⟨continuous-map (product-topology (λ-. euclidean) (Collect trace-class))
(product-topology (λ-. euclidean) (Collect trace-class)) g'⟩
  apply (rule continuous-map-coordinatewise-then-product)
  subgoal for i
    unfolding g'-def
    apply (subst restrict-apply')
    subgoal by simp
  subgoal by (metis continuous-map-product-projection mem-Collect-eq trace-class-comp-left)
  done
  subgoal by (auto simp: g'-def[abs-def])
  done
qed

```


lemma *continuous-map-right-comp-weak-star*: $\langle \text{continuous-map weak-star-topology weak-star-topology } (\lambda b :: 'b :: \text{hilbert-space} \Rightarrow_{CL} -. b \text{ } o_{CL} \ a) \rangle$

for $a :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{hilbert-space} \rangle$

proof (*subst weak-star-topology-def, subst weak-star-topology-def, rule continuous-map-pullback-both*)

define $g' :: \langle ('c \Rightarrow_{CL} 'b \Rightarrow \text{complex}) \Rightarrow ('c \Rightarrow_{CL} 'a \Rightarrow \text{complex}) \rangle$ **where**

$\langle g' \ f = (\lambda t \in \text{Collect trace-class. } f \ (a \ o_{CL} \ t)) \rangle$ **for** f

show $\langle (\lambda x. \lambda t \in \text{Collect trace-class. } \text{trace} \ (t \ o_{CL} \ x)) - ' \ \text{topspace} \ (\text{product-topology} \ (\lambda -. \ \text{euclidean}) \ (\text{Collect trace-class})) \cap \text{UNIV} \subseteq (\lambda b. b \ o_{CL} \ a) - ' \ \text{UNIV} \rangle$

by *simp*

have $*$: $\text{trace} \ (a \ o_{CL} \ y \ o_{CL} \ x) = \text{trace} \ (y \ o_{CL} \ (x \ o_{CL} \ a))$ **if** *trace-class* y **for** $x :: 'b \Rightarrow_{CL} 'c$

and $y :: 'c \Rightarrow_{CL} 'a$

by (*simp add: circularity-of-trace simp-a-oCL-b that trace-class-comp-left*)

show $\langle g' \ (\lambda t \in \text{Collect trace-class. } \text{trace} \ (t \ o_{CL} \ x)) = (\lambda t \in \text{Collect trace-class. } \text{trace} \ (t \ o_{CL} \ (x \ o_{CL} \ a))) \rangle$ **for** x

by (*auto intro!: ext simp: g'-def[abs-def] trace-class-comp-right **)

show $\langle \text{continuous-map} \ (\text{product-topology} \ (\lambda -. \ \text{euclidean}) \ (\text{Collect trace-class})) \ (\text{product-topology} \ (\lambda -. \ \text{euclidean}) \ (\text{Collect trace-class})) \ g' \rangle$

apply (*rule continuous-map-coordinatewise-then-product*)

subgoal for i

unfolding g' -*def mem-Collect-eq*

apply (*subst restrict-apply'*)

subgoal by *simp*

subgoal

by (*metis continuous-map-product-projection mem-Collect-eq trace-class-comp-right*)

done

subgoal by (*auto simp: g'-def[abs-def]*)

done

qed

lemma *continuous-map-scaleC-weak-star*: $\langle \text{continuous-map weak-star-topology weak-star-topology} \ (\text{scaleC} \ c) \rangle$

apply (*subst asm-rl[of $\langle \text{scaleC} \ c = (o_{CL}) \ (c \ *_C \ \text{id-cblinfun}) \rangle$]*)

subgoal by *auto*

subgoal by (*rule continuous-map-left-comp-weak-star*)

done

lemma *continuous-scaleC-weak-star*: $\langle \text{continuous-on } X \ (\text{scaleC} \ c :: (-, -) \ \text{cblinfun-weak-star} \Rightarrow -) \rangle$

apply (*rule continuous-on-subset[rotated, where s=UNIV]*)

subgoal by *simp*

subgoal

apply (*subst continuous-map-iff-continuous2[symmetric]*)

apply *transfer*

by (*rule continuous-map-scaleC-weak-star*)

done

lemma *weak-star-closure-is-csubspace[simp]*:

```

fixes A:('a::chilbert-space, 'b::chilbert-space) cblinfun-weak-star set
assumes ‹csubspace A›
shows ‹csubspace (closure A)›
proof (rule complex-vector.subspaceI)
include lattice-syntax
show 0: ‹0 ∈ closure A›
  by (simp add: assms closure-def complex-vector.subspace-0)
show ‹x + y ∈ closure A› if ‹x ∈ closure A› ‹y ∈ closure A› for x y
proof –
  define FF where ‹FF = ((nhds x ∩ principal A) ×F (nhds y ∩ principal A))›
  have nt: ‹FF ≠ bot›
    by (simp add: prod-filter-eq-bot that(1) that(2) FF-def flip: closure-nhds-principal)
  have ‹∀F x in FF. fst x ∈ A›
    unfolding FF-def
    by (smt (verit, ccfv-SIG) eventually-prod-filter fst-conv inf-sup-ord(2) le-principal)
  moreover have ‹∀F x in FF. snd x ∈ A›
    unfolding FF-def
    by (smt (verit, ccfv-SIG) eventually-prod-filter snd-conv inf-sup-ord(2) le-principal)
  ultimately have FF-plus: ‹∀F x in FF. fst x + snd x ∈ A›
    by (smt (verit, best) assms complex-vector.subspace-add eventually-elim2)

  have ‹(fst ⟶ x) ((nhds x ∩ principal A) ×F (nhds y ∩ principal A))›
    apply (simp add: filterlim-def)
    using filtermap-fst-prod-filter
    using le-inf-iff by blast
  moreover have ‹(snd ⟶ y) ((nhds x ∩ principal A) ×F (nhds y ∩ principal A))›
    apply (simp add: filterlim-def)
    using filtermap-snd-prod-filter
    using le-inf-iff by blast
  ultimately have ‹(id ⟶ (x,y)) FF›
    by (simp add: filterlim-def nhds-prod prod-filter-mono FF-def)

  moreover note tendsto-add-Pair[of x y]
  ultimately have ‹(((λx. fst x + snd x) o id) ⟶ (λx. fst x + snd x) (x,y)) FF›
    unfolding filterlim-def nhds-prod
    by (smt (verit, best) filterlim-compose filterlim-def filterlim-filtermap fst-conv snd-conv
tendsto-compose-filtermap)

  then have ‹((λx. fst x + snd x) ⟶ (x+y)) FF›
    by simp
  then show ‹x + y ∈ closure A›
    using nt FF-plus by (rule limit-in-closure)
qed
show ‹c *C x ∈ closure A› if ‹x ∈ closure A› for x c
proof (cases c = 0)
  case False
  have (*C) c ‘ closure A ⊆ closure A
    using csubspace-scaleC-invariant[of c A] ‹csubspace A› False closure-subset[of A]
    by (intro image-closure-subset continuous-scaleC-weak-star closed-closure) auto

```

```

thus ?thesis
using that by blast
qed (use 0 in auto)
qed

```

```

lemma transfer-csubspace-cblinfun-weak-star[transfer-rule]:
includes lifting-syntax
shows  $\langle \text{rel-set cr-cblinfun-weak-star} \implies (=) \rangle$  csubspace csubspace
unfolding complex-vector.subspace-def
by transfer-prover

```

```

lemma transfer-closed-cblinfun-weak-star[transfer-rule]:
includes lifting-syntax
shows  $\langle \text{rel-set cr-cblinfun-weak-star} \implies (=) \rangle$  (closedin weak-star-topology) closed
proof –
have (rel-set cr-cblinfun-weak-star  $\implies$  (=))
  ( $\lambda S$ . openin weak-star-topology (UNIV – S))
  ( $\lambda S$ . open (UNIV – S))
by transfer-prover
thus ?thesis
by (simp add: closed-def[abs-def] closedin-def[abs-def] Compl-eq-Diff-UNIV)
qed

```

```

lemma transfer-closure-cblinfun-weak-star[transfer-rule]:
includes lifting-syntax
shows  $\langle \text{rel-set cr-cblinfun-weak-star} \implies \text{rel-set cr-cblinfun-weak-star} \rangle$  (Abstract-Topology.closure-of
weak-star-topology) closure
apply (subst closure-of-hull[where X=weak-star-topology, unfolded weak-star-topology-topospace,
simplified, abs-def])
apply (subst closure-hull[abs-def])
unfolding hull-def
by transfer-prover

```

```

lemma weak-star-closure-is-csubspace'[simp]:
fixes A:('a::chilbert-space  $\Rightarrow_{CL}$  'b::chilbert-space) set
assumes  $\langle \text{csubspace } A \rangle$ 
shows  $\langle \text{csubspace (weak-star-topology closure-of } A) \rangle$ 
using weak-star-closure-is-csubspace[of  $\langle \text{to-weak-star ' } A \rangle$ ] assms
apply (transfer fixing: A)
by simp

```

```

lemma has-sum-closed-weak-star-topology:
assumes aA:  $\langle \bigwedge i. a \ i \in A \rangle$ 
assumes closed:  $\langle \text{closedin weak-star-topology } A \rangle$ 
assumes subspace:  $\langle \text{csubspace } A \rangle$ 
assumes has-sum:  $\langle \bigwedge t. \text{trace-class } t \implies ((\lambda i. \text{trace } (t \ o_{CL} \ a \ i)) \text{ has-sum trace } (t \ o_{CL} \ b)) \ I \rangle$ 
shows  $\langle b \in A \rangle$ 
proof –

```

```

have 1: ⟨range (sum a) ⊆ A⟩
proof –
  have ⟨sum a X ∈ A⟩ for X
    apply (induction X rule:infinite-finite-induct)
    by (auto simp add: subspace complex-vector.subspace-0 aA complex-vector.subspace-add)
  then show ?thesis
    by auto
qed

from has-sum
have ⟨((λF. ∑ i∈F. trace (t oCL a i)) → trace (t oCL b)) (finite-subsets-at-top I)⟩ if
⟨trace-class t⟩ for t
  by (auto intro: that simp: has-sum-def)
then have ⟨limitin weak-star-topology (λF. ∑ i∈F. a i) b (finite-subsets-at-top I)⟩
  by (auto simp add: limitin-weak-star-topology cblinfun-compose-sum-right trace-sum trace-class-comp-left)
then show ⟨b ∈ A⟩
  using 1 closed apply (rule limitin-closedin)
  by simp
qed

lemma has-sum-in-weak-star:
  ⟨has-sum-in weak-star-topology f A l ↔
  (∀t. trace-class t → ((λi. trace (t oCL f i)) has-sum trace (t oCL l)) A)⟩
proof –
  have *: ⟨trace (t oCL sum f F) = sum (λi. trace (t oCL f i)) F⟩ if ⟨trace-class t⟩
  for t F
  by (simp-all add: cblinfun-compose-sum-right that trace-class-comp-left trace-sum)
show ?thesis
  by (simp add: * has-sum-def has-sum-in-def limitin-weak-star-topology)
qed

lemma has-sum-butterfly-ket: ⟨has-sum-in weak-star-topology (λi. butterfly (ket i) (ket i)) UNIV
id-cblinfun⟩
proof (rule has-sum-in-weak-star[THEN iffD2, rule-format])
  fix t :: ⟨'a ell2 ⇒CL 'a ell2⟩
  assume [simp]: ⟨trace-class t⟩
  from trace-has-sum[OF is-onb-ket ⟨trace-class t⟩]
  have ⟨((λi. ket i •C (t *V ket i)) has-sum trace t) UNIV⟩
  apply (subst (asm) has-sum-reindex)
  by (auto simp: o-def)
  then show ⟨((λi. trace (t oCL butterfly (ket i) (ket i))) has-sum trace (t oCL id-cblinfun))
UNIV⟩
  by (simp add: trace-butterfly-comp')
qed

lemma sandwich-weak-star-cont[simp]:
  ⟨continuous-map weak-star-topology weak-star-topology (sandwich A)⟩
using continuous-map-compose[OF continuous-map-left-comp-weak-star continuous-map-right-comp-weak-star]
by (auto simp: o-def sandwich-apply[abs-def])

```

lemma *has-sum-butterfly-ket-a*: $\langle \text{has-sum-in weak-star-topology } (\lambda i. \text{butterfly } (a *_V \text{ket } i) (\text{ket } i)) \text{ UNIV } a \rangle$
proof –
have $\langle \text{has-sum-in weak-star-topology } ((\lambda b. a \circ_{CL} b) \circ (\lambda i. \text{butterfly } (\text{ket } i) (\text{ket } i))) \text{ UNIV } (a \circ_{CL} \text{id-cblinfun}) \rangle$
apply (rule *has-sum-in-comm-additive*)
by (auto intro!: *has-sum-butterfly-ket continuous-map-is-continuous-at-point limitin-continuous-map continuous-map-left-comp-weak-star cblinfun-compose-add-right simp: Modules.additive-def*)
then show ?thesis
by (auto simp: *o-def cblinfun-comp-butterfly*)
qed

lemma *finite-rank-weak-star-dense[simp]*: $\langle \text{weak-star-topology closure-of } (\text{Collect finite-rank}) = (\text{UNIV} :: ('a \text{ell2} \Rightarrow_{CL} 'b :: \text{chilbert-space}) \text{set}) \rangle$
proof –
have $\langle x \in \text{weak-star-topology closure-of } (\text{Collect finite-rank}) \rangle$ **for** $x :: 'a \text{ell2} \Rightarrow_{CL} 'b$
proof (rule *limitin-closure-of*)
define $f :: 'a \Rightarrow 'a \text{ell2} \Rightarrow_{CL} 'b$ **where** $\langle f = (\lambda i. \text{butterfly } (x *_V \text{ket } i) (\text{ket } i)) \rangle$
have $\langle \text{has-sum-in weak-star-topology } f \text{ UNIV } x \rangle$
using *f-def has-sum-butterfly-ket-a* **by** blast
then show $\langle \text{limitin weak-star-topology } (\text{sum } f) x (\text{finite-subsets-at-top UNIV}) \rangle$
using *has-sum-in-def* **by** blast
show $\langle \forall F \text{ in finite-subsets-at-top UNIV. } (\sum_{i \in F} \text{butterfly } (x *_V \text{ket } i) (\text{ket } i)) \in \text{Collect finite-rank} \rangle$
by (auto intro!: *finite-rank-sum simp: f-def*)
show $\langle \text{finite-subsets-at-top UNIV} \neq \perp \rangle$
by *simp*
qed
then show ?thesis
by auto
qed

lemma *butterkets-weak-star-dense[simp]*:
 $\langle \text{weak-star-topology closure-of } \text{cspan } ((\lambda(\xi, \eta). \text{butterfly } (\text{ket } \xi) (\text{ket } \eta)) ' \text{UNIV}) = \text{UNIV} \rangle$
proof –
from *continuous-map-image-closure-subset[OF weak-star-topology-weaker-than-euclidean]*
have $\langle \text{weak-star-topology closure-of } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } (\text{ket } \xi) (\text{ket } \eta)) ' \text{UNIV})) \supseteq \text{closure } (\text{cspan } ((\lambda(\xi, \eta). \text{butterfly } (\text{ket } \xi) (\text{ket } \eta)) ' \text{UNIV})) \rangle$ (**is** $\langle - \supseteq \dots \rangle$)
by auto
moreover
have $\langle \dots = \text{Collect compact-op} \rangle$
unfolding *finite-rank-dense-compact[OF is-onb-ket is-onb-ket, symmetric]*
by (*simp add: image-image case-prod-beta flip: map-prod-image*)
moreover have $\langle \dots \supseteq \text{Collect finite-rank} \rangle$

```

  by (metis closure-subset compact-op-finite-rank mem-Collect-eq subsetI subset-antisym)
  ultimately have *: ⟨weak-star-topology closure-of (cspan ((λ(ξ,η). butterfly (ket ξ) (ket η)) ‘
UNIV)) ⊇ Collect finite-rank⟩
  by blast
  have ⟨weak-star-topology closure-of cspan ((λ(ξ,η). butterfly (ket ξ) (ket η)) ‘ UNIV)
    = weak-star-topology closure-of (weak-star-topology closure-of cspan ((λ(ξ,η). butterfly
(ket ξ) (ket η)) ‘ UNIV))⟩
  by simp
  also have ⟨... ⊇ weak-star-topology closure-of Collect finite-rank⟩ (is ⟨- ⊇ ...⟩)
  using * closure-of-mono by blast
  also have ⟨... = UNIV⟩
  by simp
  finally show ?thesis
  by auto
qed

```

```

lemma weak-star-clinear-eq-butterfly-ketI:
  fixes F G :: ⟨'a ell2 ⇒CL 'b ell2⟩ ⇒ 'c::complex-vector⟩
  assumes clinear F and clinear G
  and ⟨continuous-map weak-star-topology T F⟩ and ⟨continuous-map weak-star-topology T G⟩
  and ⟨Hausdorff-space T⟩
  assumes ∧i j. F (butterfly (ket i) (ket j)) = G (butterfly (ket i) (ket j))
  shows F = G
proof -
  have FG: ⟨F x = G x⟩ if ⟨x ∈ cspan ((λ(ξ,η). butterfly (ket ξ) (ket η)) ‘ UNIV)⟩ for x
  by (smt (verit) assms(1) assms(2) assms(6) complex-vector.linear-eq-on imageE split-def
that)
  show ?thesis
  apply (rule ext)
  using ⟨Hausdorff-space T⟩ FG
  apply (rule closure-of-eqI[where f=F and g=G and S=⟨cspan ((λ(ξ,η). butterfly (ket ξ)
(ket η)) ‘ UNIV)⟩])
  using assms butterkets-weak-star-dense by auto
qed

```

```

lemma continuous-map-scaleC-weak-star'[continuous-intros]:
  assumes ⟨continuous-map T weak-star-topology f⟩
  shows ⟨continuous-map T weak-star-topology (λx. scaleC c (f x))⟩
  using continuous-map-compose[OF assms continuous-map-scaleC-weak-star]
  by (simp add: o-def)

```

```

lemma continuous-map-uminus-weak-star[continuous-intros]:
  assumes ⟨continuous-map T weak-star-topology f⟩
  shows ⟨continuous-map T weak-star-topology (λx. - f x)⟩
  apply (subst scaleC-minus1-left[abs-def,symmetric])
  by (intro continuous-map-scaleC-weak-star' assms)

```

lemma *continuous-map-add-weak-star*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ weak-star-topology } f \rangle$
assumes $\langle \text{continuous-map } T \text{ weak-star-topology } g \rangle$
shows $\langle \text{continuous-map } T \text{ weak-star-topology } (\lambda x. f x + g x) \rangle$
proof –
have $\langle \text{continuous-map } T \text{ euclidean } (\lambda x. \text{trace } (t \circ_{CL} f x)) \rangle$ **if** $\langle \text{trace-class } t \rangle$ **for** t
using *assms(1) continuous-on-weak-star-topo-iff-coordinatewise that by auto*
moreover have $\langle \text{continuous-map } T \text{ euclidean } (\lambda x. \text{trace } (t \circ_{CL} g x)) \rangle$ **if** $\langle \text{trace-class } t \rangle$ **for** t
using *assms(2) continuous-on-weak-star-topo-iff-coordinatewise that by auto*
ultimately show *?thesis*
by (*auto intro!*; *continuous-map-add simp add: continuous-on-weak-star-topo-iff-coordinatewise cblinfun-compose-add-right trace-class-comp-left trace-plus*)
qed

lemma *continuous-map-minus-weak-star*[*continuous-intros*]:
assumes $\langle \text{continuous-map } T \text{ weak-star-topology } f \rangle$
assumes $\langle \text{continuous-map } T \text{ weak-star-topology } g \rangle$
shows $\langle \text{continuous-map } T \text{ weak-star-topology } (\lambda x. f x - g x) \rangle$
by (*subst diff-conv-add-uminus*) (*intro assms continuous-intros*)

lemma *weak-star-topology-is-norm-topology-fin-dim*[*simp*]:
 $\langle (\text{weak-star-topology} :: ('a::\{\text{cfinite-dim}, \text{chilbert-space}\} \Rightarrow_{CL} 'b::\{\text{cfinite-dim}, \text{chilbert-space}\}) \text{ topology}) = \text{euclidean} \rangle$
proof –
have 1: $\langle \text{continuous-map euclidean weak-star-topology } (id :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$
by (*simp add: id-def weak-star-topology-weaker-than-euclidean*)
have $\langle \text{continuous-map weak-star-topology cweak-operator-topology } (id :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$
by (*simp only: id-def wot-weaker-than-weak-star*)
then have 2: $\langle \text{continuous-map weak-star-topology euclidean } (id :: 'a \Rightarrow_{CL} 'b \Rightarrow -) \rangle$
by (*simp only: wot-is-norm-topology-findim*)
from 1 2
show *?thesis*
by (*auto simp: topology-finer-continuous-id[symmetric] simp flip: openin-inject*)
qed

lemma *infsun-mono-wot*:
fixes $f :: 'a \Rightarrow ('b::\text{chilbert-space} \Rightarrow_{CL} 'b)$
assumes *summable-on-in cweak-operator-topology f A and summable-on-in cweak-operator-topology g A*
assumes $\langle \bigwedge x. x \in A \implies f x \leq g x \rangle$
shows *infsun-in cweak-operator-topology f A \leq infsun-in cweak-operator-topology g A*
by (*meson assms has-sum-in-infsun-in has-sum-mono-wot hausdorff-cweak-operator-topology*)

unbundle *no-cblinfun-notation*

end

14 Hilbert-Space-Tensor-Product – Tensor product of Hilbert Spaces

```
theory Hilbert-Space-Tensor-Product
  imports Complex-Bounded-Operators.Complex-L2 Misc-Tensor-Product
         Strong-Operator-Topology Polynomial-Interpolation.Ring-Hom
         Positive-Operators Weak-Star-Topology Spectral-Theorem Trace-Class
begin
```

```
unbundle cblinfun-notation
hide-const (open) Determinants.trace
hide-fact (open) Determinants.trace-def
```

14.1 Tensor product on - ell2

```
lift-definition tensor-ell2 :: ‹'a ell2 ⇒ 'b ell2 ⇒ ('a×'b) ell2› (infixr ⊗s 70) is
  ‹λψ φ (i,j). ψ i * φ j›
```

proof –

```
  fix ψ :: ‹'a ⇒ complex› and φ :: ‹'b ⇒ complex›
  assume ‹has-ell2-norm ψ› ‹has-ell2-norm φ›
  from ‹has-ell2-norm φ› have φ-sum: ‹(λj. (ψ i * φ j)2) abs-summable-on UNIV› for i
  by (metis ell2-norm-smult(1) has-ell2-norm-def)
  have double-sum: ‹(λi. ∑∞j. cmod ((ψ i * φ j)2)) abs-summable-on UNIV›
  unfolding norm-mult power-mult-distrib infsum-cmult-right'
  by (rule summable-on-cmult-left) (use ‹has-ell2-norm ψ› in ‹auto simp: has-ell2-norm-def›)
  have ‹(λ(i,j). (ψ i * φ j)2) abs-summable-on UNIV × UNIV›
  by (rule abs-summable-on-Sigma-iff[THEN iffD2]) (use φ-sum double-sum in auto)
  then show ‹has-ell2-norm (λ(i, j). ψ i * φ j)›
  by (auto simp add: has-ell2-norm-def case-prod-beta)
```

qed

```
lemma tensor-ell2-add1: ‹tensor-ell2 (a + b) c = tensor-ell2 a c + tensor-ell2 b c›
  by transfer (auto simp: case-prod-beta vector-space-over-itself.scale-left-distrib)
```

```
lemma tensor-ell2-add2: ‹tensor-ell2 a (b + c) = tensor-ell2 a b + tensor-ell2 a c›
  by transfer (auto simp: case-prod-beta algebra-simps)
```

```
lemma tensor-ell2-scaleC1: ‹tensor-ell2 (c *C a) b = c *C tensor-ell2 a b›
  by transfer (auto simp: case-prod-beta)
```

```
lemma tensor-ell2-scaleC2: ‹tensor-ell2 a (c *C b) = c *C tensor-ell2 a b›
  by transfer (auto simp: case-prod-beta)
```

```
lemma tensor-ell2-diff1: ‹tensor-ell2 (a - b) c = tensor-ell2 a c - tensor-ell2 b c›
  by transfer (auto simp: case-prod-beta ordered-field-class.sign-simps)
```


lemma *tensor-ell2-diff2*: $\langle \text{tensor-ell2 } a (b - c) = \text{tensor-ell2 } a b - \text{tensor-ell2 } a c \rangle$
by *transfer (auto simp: case-prod-beta ordered-field-class.sign-simps)*

lemma *tensor-ell2-inner-prod*[*simp*]: $\langle \text{tensor-ell2 } a b \cdot_C \text{tensor-ell2 } c d = (a \cdot_C c) * (b \cdot_C d) \rangle$
apply (*rule local-defE*[**where** $y = \langle \text{tensor-ell2 } a b \rangle$], *rename-tac ab*)
apply (*rule local-defE*[**where** $y = \langle \text{tensor-ell2 } c d \rangle$], *rename-tac cd*)
proof (*transfer, hypsubst-thin*)
fix $a c :: \langle 'a \Rightarrow \text{complex} \rangle$ **and** $b d :: \langle 'b \Rightarrow \text{complex} \rangle$

assume *assms*: $\langle \text{has-ell2-norm } (\lambda(i, j). a i * b j) \rangle \langle \text{has-ell2-norm } (\lambda(i, j). c i * d j) \rangle$

have $*$: $\langle (\lambda xy. \text{cnj } (a (\text{fst } xy) * b (\text{snd } xy)) * (c (\text{fst } xy) * d (\text{snd } xy))) \text{abs-summable-on } UNIV \rangle$
apply (*rule abs-summable-product*)
subgoal
by (*metis (mono-tags, lifting) assms(1) complex-mod-cnj has-ell2-norm-def norm-power split-def summable-on-cong*)
subgoal
by (*metis (mono-tags, lifting) assms(2) case-prod-unfold has-ell2-norm-def summable-on-cong*)
done

then have $*$: $\langle (\lambda(x, y). \text{cnj } (a x * b y) * (c x * d y)) \text{summable-on } UNIV \times UNIV \rangle$
using *abs-summable-summable* **by** (*auto simp: case-prod-unfold*)

have $\langle (\sum_{\infty} i. \text{cnj } (\text{case } i \text{ of } (i, j) \Rightarrow a i * b j) * (\text{case } i \text{ of } (i, j) \Rightarrow c i * d j)) = (\sum_{\infty} (i, j) \in UNIV \times UNIV. \text{cnj } (a i * b j) * (c i * d j)) \rangle$ (**is** $\langle ?lhs = - \rangle$)
by (*simp add: case-prod-unfold*)
also have $\langle \dots = (\sum_{\infty} i. \sum_{\infty} j. \text{cnj } (a i * b j) * (c i * d j)) \rangle$
by (*subst infsum-Sigma'-banach[symmetric]*) (*use * in auto*)
also have $\langle \dots = (\sum_{\infty} i. \text{cnj } (a i) * c i) * (\sum_{\infty} j. \text{cnj } (b j) * (d j)) \rangle$ (**is** $\langle - = ?rhs \rangle$)
by (*subst infsum-cmult-left'[symmetric]*)
(auto intro!: infsum-cong simp flip: infsum-cmult-right')
finally show $\langle ?lhs = ?rhs \rangle$.

qed

lemma *norm-tensor-ell2*: $\langle \text{norm } (a \otimes_s b) = \text{norm } a * \text{norm } b \rangle$
by (*simp add: norm-eq-sqrt-cinner*[**where** $'a = \langle (-::\text{type}) \text{ell2} \rangle$] *norm-mult real-sqrt-mult*)

lemma *clinear-tensor-ell21*: *clinear* $(\lambda b. a \otimes_s b)$
by (*rule clinearI; transfer*)
(auto simp add: case-prod-beta cond-case-prod-eta algebra-simps fun-eq-iff)

lemma *bounded-clinear-tensor-ell21*: *bounded-clinear* $(\lambda b. a \otimes_s b)$
by (*auto intro!: bounded-clinear.intro clinear-tensor-ell21*
simp: bounded-clinear-axioms-def norm-tensor-ell2 mult.commute[*of norm a*])

lemma *clinear-tensor-ell22*: *clinear* $(\lambda a. a \otimes_s b)$
by (*rule clinearI; transfer*) (*auto simp: case-prod-beta algebra-simps*)

lemma *bounded-clinear-tensor-ell2*: *bounded-clinear* $(\lambda a. \text{tensor-ell2 } a \ b)$
by (*auto intro!*: *bounded-clinear.intro clinear-tensor-ell2*
simp: *bounded-clinear-axioms-def norm-tensor-ell2*)

lemma *tensor-ell2-ket*: *tensor-ell2* $(\text{ket } i) (\text{ket } j) = \text{ket } (i,j)$
by *transfer auto*

lemma *tensor-ell2-0-left*[*simp*]: $\langle 0 \otimes_s x = 0 \rangle$
by *transfer auto*

lemma *tensor-ell2-0-right*[*simp*]: $\langle x \otimes_s 0 = 0 \rangle$
by *transfer auto*

lemma *tensor-ell2-sum-left*: $\langle (\sum x \in X. a \ x) \otimes_s b = (\sum x \in X. a \ x \otimes_s b) \rangle$
by (*induction X rule:infinite-finite-induct*) (*auto simp: tensor-ell2-add1*)

lemma *tensor-ell2-sum-right*: $\langle a \otimes_s (\sum x \in X. b \ x) = (\sum x \in X. a \otimes_s b \ x) \rangle$
by (*induction X rule:infinite-finite-induct*) (*auto simp: tensor-ell2-add2*)

lemma *tensor-ell2-dense*:
fixes $S :: \langle 'a \ \text{ell2 set} \rangle$ **and** $T :: \langle 'b \ \text{ell2 set} \rangle$
assumes $\langle \text{closure } (\text{cspan } S) = \text{UNIV} \rangle$ **and** $\langle \text{closure } (\text{cspan } T) = \text{UNIV} \rangle$
shows $\langle \text{closure } (\text{cspan } \{a \otimes_s b \mid a \ b. \ a \in S \wedge b \in T\}) = \text{UNIV} \rangle$
proof –
define ST **where** $\langle ST = \{a \otimes_s b \mid a \ b. \ a \in S \wedge b \in T\} \rangle$
from *assms* **have** 1: $\langle \text{bounded-clinear } F \implies \text{bounded-clinear } G \implies (\forall x \in S. \ F \ x = G \ x) \implies F = G \rangle$ **for** $F \ G :: \langle 'a \ \text{ell2} \implies \text{complex} \rangle$
using *bounded-clinear-eq-on-closure*[*of F G S*] **by** *auto*
from *assms* **have** 2: $\langle \text{bounded-clinear } F \implies \text{bounded-clinear } G \implies (\forall x \in T. \ F \ x = G \ x) \implies F = G \rangle$ **for** $F \ G :: \langle 'b \ \text{ell2} \implies \text{complex} \rangle$
using *bounded-clinear-eq-on-closure*[*of F G T*] **by** *auto*
have $\langle F = G \rangle$
if [*simp*]: $\langle \text{bounded-clinear } F \rangle \langle \text{bounded-clinear } G \rangle$ **and** *eq*: $\langle \forall x \in ST. \ F \ x = G \ x \rangle$
for $F \ G :: \langle ('a \times 'b) \ \text{ell2} \implies \text{complex} \rangle$
proof –
from *eq* **have** *eq'*: $\langle F \ (s \otimes_s t) = G \ (s \otimes_s t) \rangle$ **if** $\langle s \in S \rangle$ **and** $\langle t \in T \rangle$ **for** $s \ t$
using *ST-def* **that** **by** *blast*
have *eq''*: $\langle F \ (s \otimes_s \text{ket } t) = G \ (s \otimes_s \text{ket } t) \rangle$ **if** $\langle s \in S \rangle$ **for** $s \ t$
by (*rule fun-cong*[*where x=ket t*], *rule 2*)
(use eq' that in auto simp: bounded-clinear-compose bounded-clinear-tensor-ell21)
have *eq'''*: $\langle F \ (\text{ket } s \otimes_s \text{ket } t) = G \ (\text{ket } s \otimes_s \text{ket } t) \rangle$ **for** $s \ t$
by (*rule fun-cong*[*where x=ket s*], *rule 1*)
(use eq'' in auto simp: bounded-clinear-compose bounded-clinear-tensor-ell21 intro: bounded-clinear-compose[OF - bounded-clinear-tensor-ell22])
show $F = G$
by (*rule bounded-clinear-equal-ket*) (*use eq''' in auto simp: tensor-ell2-ket*)
qed
then **show** $\langle \text{closure } (\text{cspan } ST) = \text{UNIV} \rangle$
using *separating-dense-span* **by** *blast*

qed

definition *assoc-ell2* :: $\langle ('a \times 'b) \times 'c \rangle \text{ ell2} \Rightarrow_{CL} \langle 'a \times ('b \times 'c) \rangle \text{ ell2}$ **where**
 $\langle \text{assoc-ell2} = \text{classical-operator } (\text{Some } o \ (\lambda((a,b),c). (a,(b,c)))) \rangle$

lemma *unitary-assoc-ell2[simp]*: $\langle \text{unitary } \text{assoc-ell2} \rangle$
unfolding *assoc-ell2-def*
by (*rule unitary-classical-operator, rule o-bij[of $\langle (\lambda(a,(b,c)). ((a,b),c)) \rangle]$*) *auto*

lemma *assoc-ell2-tensor*: $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ ((a \otimes_s b) \otimes_s c) = (a \otimes_s (b \otimes_s c)) \rangle$

proof –

note [*simp*] = *bounded-clinear-compose[OF bounded-clinear-tensor-ell21]*
bounded-clinear-compose[OF bounded-clinear-tensor-ell22]
bounded-clinear-cblinfun-apply

have $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ ((\text{ket } a \otimes_s \text{ket } b) \otimes_s \text{ket } c) = (\text{ket } a \otimes_s (\text{ket } b \otimes_s \text{ket } c)) \rangle$ **for** $a :: 'a$ **and**
 $b :: 'b$ **and** $c :: 'c$

by (*simp add: inj-def assoc-ell2-def classical-operator-ket classical-operator-exists-inj tensor-ell2-ket*)

then have $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ ((\text{ket } a \otimes_s \text{ket } b) \otimes_s c) = (\text{ket } a \otimes_s (\text{ket } b \otimes_s c)) \rangle$ **for** $a :: 'a$ **and**
 $b :: 'b$

apply –
apply (*rule fun-cong[where x=c]*)
apply (*rule bounded-clinear-equal-ket*)
by *auto*

then have $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ ((\text{ket } a \otimes_s b) \otimes_s c) = (\text{ket } a \otimes_s (b \otimes_s c)) \rangle$ **for** $a :: 'a$

apply –
apply (*rule fun-cong[where x=b]*)
apply (*rule bounded-clinear-equal-ket*)
by *auto*

then show $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ ((a \otimes_s b) \otimes_s c) = (a \otimes_s (b \otimes_s c)) \rangle$

apply –
apply (*rule fun-cong[where x=a]*)
apply (*rule bounded-clinear-equal-ket*)
by *auto*

qed

lemma *assoc-ell2'-tensor*: $\langle \text{assoc-ell2} \ *_{\mathcal{V}} \ \text{tensor-ell2 } a \ (\text{tensor-ell2 } b \ c) = \text{tensor-ell2} \ (\text{tensor-ell2 } a \ b) \ c \rangle$

by (*metis (no-types, opaque-lifting) assoc-ell2-tensor cblinfun-apply-cblinfun-compose id-cblinfun.rep-eq unitaryD1 unitary-assoc-ell2*)

lemma *assoc-ell2'-inv*: $\text{assoc-ell2} \ o_{CL} \ \text{assoc-ell2} \ * = \text{id-cblinfun}$
by (*auto intro: equal-ket*)

lemma *assoc-ell2-inv*: $\text{assoc-ell2} \ * \ o_{CL} \ \text{assoc-ell2} = \text{id-cblinfun}$
by (*auto intro: equal-ket*)

definition *swap-ell2* :: $\langle ('a \times 'b) \rangle \text{ ell2} \Rightarrow_{CL} \langle ('b \times 'a) \rangle \text{ ell2}$ **where**

```

⟨swap-ell2 = classical-operator (Some o prod.swap)⟩

lemma unitary-swap-ell2[simp]: ⟨unitary swap-ell2⟩
  unfolding swap-ell2-def by (rule unitary-classical-operator) auto

lemma swap-ell2-tensor[simp]: ⟨swap-ell2 *V (a ⊗s b) = b ⊗s a⟩ for a :: ⟨'a ell2⟩ and b :: ⟨'b ell2⟩
proof -
  note [simp] = bounded-clinear-compose[OF bounded-clinear-tensor-ell21]
    bounded-clinear-compose[OF bounded-clinear-tensor-ell22]
    bounded-clinear-cblinfun-apply
  have ⟨swap-ell2 *V (ket a ⊗s ket b) = (ket b ⊗s ket a)⟩ for a :: 'a and b :: 'b
    by (simp add: inj-def swap-ell2-def classical-operator-ket classical-operator-exists-inj tensor-ell2-ket)
  then have ⟨swap-ell2 *V (ket a ⊗s b) = (b ⊗s ket a)⟩ for a :: 'a
    apply -
    apply (rule fun-cong[where x=b])
    apply (rule bounded-clinear-equal-ket)
    by auto
  then show ⟨swap-ell2 *V (a ⊗s b) = (b ⊗s a)⟩
    apply -
    apply (rule fun-cong[where x=a])
    apply (rule bounded-clinear-equal-ket)
    by auto
qed

lemma swap-ell2-ket[simp]: ⟨(swap-ell2 :: ('a × 'b) ell2 ⇒CL -)*V ket (x,y) = ket (y,x)⟩
  by (metis swap-ell2-tensor tensor-ell2-ket)

lemma adjoint-swap-ell2[simp]: ⟨swap-ell2* = swap-ell2⟩
  by (simp add: swap-ell2-def inv-map-total)

lemma tensor-ell2-extensionality:
  assumes (∧ s t. a *V (s ⊗s t) = b *V (s ⊗s t))
  shows a = b
  using assms by (auto intro: equal-ket simp flip: tensor-ell2-ket)

lemma tensor-ell2-nonzero: ⟨a ⊗s b ≠ 0⟩ if ⟨a ≠ 0⟩ and ⟨b ≠ 0⟩
  by (use that in transfer) (auto simp: fun-eq-iff)

lemma swap-ell2-selfinv[simp]: ⟨swap-ell2 oCL swap-ell2 = id-cblinfun⟩
  by (metis adjoint-swap-ell2 unitary-def unitary-swap-ell2)

lemma bounded-cbilinear-tensor-ell2[bounded-cbilinear]: ⟨bounded-cbilinear (⊗s)⟩
proof standard
  fix a a' :: 'a ell2 and b b' :: 'b ell2 and r :: complex
  show ⟨tensor-ell2 (a + a') b = tensor-ell2 a b + tensor-ell2 a' b⟩
    by (meson tensor-ell2-add1)
  show ⟨tensor-ell2 a (b + b') = tensor-ell2 a b + tensor-ell2 a b'⟩

```

by (simp add: tensor-ell2-add2)
 show $\langle \text{tensor-ell2 } (r *_C a) b = r *_C \text{ tensor-ell2 } a b \rangle$
 by (simp add: tensor-ell2-scaleC1)
 show $\langle \text{tensor-ell2 } a (r *_C b) = r *_C \text{ tensor-ell2 } a b \rangle$
 by (simp add: tensor-ell2-scaleC2)
 show $\langle \exists K. \forall a b. \text{norm } (\text{tensor-ell2 } a b) \leq \text{norm } a * \text{norm } b * K \rangle$
 by (rule exI[of - 1]) (simp add: norm-tensor-ell2)
qed

lemma ket-pair-split: $\langle \text{ket } x = \text{tensor-ell2 } (\text{ket } (\text{fst } x)) (\text{ket } (\text{snd } x)) \rangle$
 by (simp add: tensor-ell2-ket)

lemma tensor-ell2-is-ortho-set:

assumes $\langle \text{is-ortho-set } A \rangle \langle \text{is-ortho-set } B \rangle$
 shows $\langle \text{is-ortho-set } \{a \otimes_s b \mid a \in A \wedge b \in B\} \rangle$
 unfolding is-ortho-set-def

proof safe

fix $a a' b b'$

assume $ab: a \in A a' \in A b \in B b' \in B a \otimes_s b \neq a' \otimes_s b'$

hence $a \neq a' \vee b \neq b'$

by auto

hence is-orthogonal $a a' \vee$ is-orthogonal $b b'$

using assms is-ortho-setD ab by metis

thus is-orthogonal $(a \otimes_s b) (a' \otimes_s b')$

by auto

next

fix $a b$

assume $ab: a \in A b \in B 0 = a \otimes_s b$

hence $a \neq 0 b \neq 0$

using assms unfolding is-ortho-set-def by blast+

thus False using ab

using tensor-ell2-nonzero[of a b] by simp

qed

lemma tensor-ell2-dense': $\langle \text{ccspan } \{a \otimes_s b \mid a \in A \wedge b \in B\} = \top \rangle$ if $\langle \text{ccspan } A = \top \rangle$ and $\langle \text{ccspan } B = \top \rangle$

proof –

from that have Adense: $\langle \text{closure } (\text{cspan } A) = \text{UNIV} \rangle$

by (transfer' fixing: A) simp

from that have Bdense: $\langle \text{closure } (\text{cspan } B) = \text{UNIV} \rangle$

by (transfer' fixing: B) simp

show $\langle \text{ccspan } \{a \otimes_s b \mid a \in A \wedge b \in B\} = \top \rangle$

by (transfer fixing: A B) (use Adense Bdense in $\langle \text{rule tensor-ell2-dense} \rangle$)

qed

lemma tensor-ell2-is-onb:

assumes $\langle \text{is-onb } A \rangle \langle \text{is-onb } B \rangle$

shows $\langle \text{is-onb } \{a \otimes_s b \mid a, b. a \in A \wedge b \in B\} \rangle$
proof (*subst is-onb-def, intro conjI ballI*)
show $\langle \text{is-ortho-set } \{a \otimes_s b \mid a, b. a \in A \wedge b \in B\} \rangle$
by (*rule tensor-ell2-is-ortho-set*) (*use assms in <auto simp: is-onb-def>*)
show $\langle \text{ccspan } \{a \otimes_s b \mid a, b. a \in A \wedge b \in B\} = \top \rangle$
by (*rule tensor-ell2-dense'*) (*use <is-onb A> <is-onb B> in <simp-all add: is-onb-def>*)
show $\langle ab \in \{a \otimes_s b \mid a, b. a \in A \wedge b \in B\} \implies \text{norm } ab = 1 \rangle$ **for** ab
using $\langle \text{is-onb } A \rangle \langle \text{is-onb } B \rangle$ **by** (*auto simp: is-onb-def norm-tensor-ell2*)
qed

lemma *continuous-tensor-ell2*: $\langle \text{continuous-on } UNIV (\lambda(x::'a \text{ ell2}, y::'b \text{ ell2}). x \otimes_s y) \rangle$

proof –

have *cont*: $\langle \text{continuous-on } UNIV (\lambda t. t \otimes_s x) \rangle$ **for** $x :: \langle 'b \text{ ell2} \rangle$
by (*intro linear-continuous-on bounded-clinear.bounded-linear bounded-clinear-tensor-ell22*)
have *lip*: $\langle \text{local-lipschitz } (UNIV :: 'a \text{ ell2 set}) (UNIV :: 'b \text{ ell2 set}) (\otimes_s) \rangle$
proof (*rule local-lipschitzI*)
fix $t :: \langle 'a \text{ ell2} \rangle$ **and** $x :: \langle 'b \text{ ell2} \rangle$
define $u L :: \text{real}$ **where** $\langle u = 1 \rangle$ **and** $\langle L = \text{norm } t + u \rangle$
have $\langle u > 0 \rangle$
by (*simp add: u-def*)
have [*simp*]: $\langle L \geq 0 \rangle$
by (*simp add: L-def u-def*)
have $*$: $\langle \text{norm } s \leq L \rangle$ **if** $\langle s \in \text{cball } t \ u \rangle$ **for** $s :: \langle 'a \text{ ell2} \rangle$
using *that unfolding L-def mem-cball by norm*
have $\langle L\text{-lipschitz-on } (\text{cball } x \ u) ((\otimes_s) s) \rangle$ **if** $\langle s \in \text{cball } t \ u \rangle$ **for** $s :: \langle 'a \text{ ell2} \rangle$
by (*rule lipschitz-onI*)
*(auto intro!: mult-right-mono *[OF that]*
simp add: dist-norm norm-tensor-ell2 simp flip: tensor-ell2-diff2)
with $\langle u > 0 \rangle$ **show** $\langle \exists u > 0. \exists L. \forall s \in \text{cball } t \ u \cap UNIV. L\text{-lipschitz-on } (\text{cball } x \ u \cap UNIV) ((\otimes_s) s) \rangle$
by *force*
qed
show *?thesis*
by (*subst UNIV-Times-UNIV[symmetric]*) (*use lip cont in <rule Lipschitz.continuous-on-TimesI>*)
qed

lemma *summable-on-tensor-ell2-right*: $\langle \varphi \text{ summable-on } A \implies (\lambda x. \psi \otimes_s \varphi x) \text{ summable-on } A \rangle$
by (*rule summable-on-bounded-linear[where h= $\lambda x. \psi \otimes_s x$]*) (*intro bounded-linear-intros*)

lemma *summable-on-tensor-ell2-left*: $\langle \varphi \text{ summable-on } A \implies (\lambda x. \varphi x \otimes_s \psi) \text{ summable-on } A \rangle$
by (*rule summable-on-bounded-linear[where h= $\lambda x. x \otimes_s \psi$]*) (*intro bounded-linear-intros*)

lift-definition *tensor-ell2-left* :: $\langle 'a \text{ ell2} \Rightarrow ('b \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2}) \rangle$ **is**

$\langle \lambda \psi \varphi. \psi \otimes_s \varphi \rangle$

by (*simp add: bounded-cbilinear.bounded-clinear-right bounded-cbilinear-tensor-ell2*)

lemma *tensor-ell2-left-apply[simp]*: $\langle \text{tensor-ell2-left } \psi *_V \varphi = \psi \otimes_s \varphi \rangle$

by (*transfer fixing: $\psi \varphi$ simp*)

lift-definition *tensor-ell2-right* :: $\langle 'a \text{ ell2} \Rightarrow ('b \text{ ell2} \Rightarrow_{CL} ('b \times 'a) \text{ ell2}) \rangle$ **is**
 $\langle \lambda \psi \varphi. \varphi \otimes_s \psi \rangle$
by (*simp add: bounded-clinear-tensor-ell22*)

lemma *tensor-ell2-right-apply*[*simp*]: $\langle \text{tensor-ell2-right } \psi *_V \varphi = \varphi \otimes_s \psi \rangle$
by (*transfer fixing: \psi \varphi simp*)

lemma *isometry-tensor-ell2-right*: $\langle \text{isometry } (\text{tensor-ell2-right } \psi) \rangle$ **if** $\langle \text{norm } \psi = 1 \rangle$
by (*rule norm-preserving-isometry (simp add: norm-tensor-ell2 that)*)

lemma *isometry-tensor-ell2-left*: $\langle \text{isometry } (\text{tensor-ell2-left } \psi) \rangle$ **if** $\langle \text{norm } \psi = 1 \rangle$
by (*rule norm-preserving-isometry (simp add: norm-tensor-ell2 that)*)

lemma *tensor-ell2-right-scale*: $\langle \text{tensor-ell2-right } (a *_C \psi) = a *_C \text{tensor-ell2-right } \psi \rangle$
by *transfer (auto simp: tensor-ell2-scaleC2)*

lemma *tensor-ell2-left-scale*: $\langle \text{tensor-ell2-left } (a *_C \psi) = a *_C \text{tensor-ell2-left } \psi \rangle$
by *transfer (auto simp: tensor-ell2-scaleC1)*

lemma *tensor-ell2-right-0*[*simp*]: $\langle \text{tensor-ell2-right } 0 = 0 \rangle$
by (*auto intro!: cblinfun-eqI*)

lemma *tensor-ell2-left-0*[*simp*]: $\langle \text{tensor-ell2-left } 0 = 0 \rangle$
by (*auto intro!: cblinfun-eqI*)

lemma *tensor-ell2-right-adj-apply*[*simp*]: $\langle (\text{tensor-ell2-right } \psi^*) *_V (\alpha \otimes_s \beta) = (\psi \cdot_C \beta) *_C \alpha \rangle$
by (*rule cinner-extensionality (simp add: cinner-adj-right)*)

lemma *tensor-ell2-left-adj-apply*[*simp*]: $\langle (\text{tensor-ell2-left } \psi^*) *_V (\alpha \otimes_s \beta) = (\psi \cdot_C \alpha) *_C \beta \rangle$
by (*rule cinner-extensionality (simp add: cinner-adj-right)*)

lemma *infsun-tensor-ell2-right*: $\langle \psi \otimes_s (\sum_{\infty x \in A} \varphi x) = (\sum_{\infty x \in A} \psi \otimes_s \varphi x) \rangle$
proof –
consider (*summable*) $\langle \varphi \text{ summable-on } A \rangle$ | (*summable'*) $\langle \psi \neq 0 \rangle$ $\langle (\lambda x. \psi \otimes_s \varphi x) \text{ summable-on } A \rangle$
| ($\psi 0$) $\langle \psi = 0 \rangle$
| (*not-summable*) $\langle \neg \varphi \text{ summable-on } A \rangle$ $\langle \neg (\lambda x. \psi \otimes_s \varphi x) \text{ summable-on } A \rangle$
by *auto*
then show *?thesis*
proof *cases*
case *summable*
then show *?thesis*
by (*rule infsun-bounded-linear[symmetric, unfolded o-def, rotated]*)
(*intro bounded-linear-intros*)
next
case *summable'*
then have *: $\langle (\psi /_R (\text{norm } \psi)^2) \cdot_C \psi = 1 \rangle$
by (*simp add: scaleR-scaleC cdot-square-norm*)
from *summable'(2)* **have** $\langle (\lambda x. (\text{tensor-ell2-left } (\psi /_R (\text{norm } \psi)^2))^* *_V (\psi \otimes_s \varphi x)) \text{ summable-on } A \rangle$
by (*rule summable-on-bounded-linear[unfolded o-def, rotated]*)

```

      (intro bounded-linear-intros)
    with * have ⟨ $\varphi$  summable-on  $A$ ⟩
      by simp
    then show ?thesis
      by (rule infsum-bounded-linear[symmetric, unfolded o-def, rotated])
        (intro bounded-linear-intros)
  next
    case  $\psi 0$ 
    then show ?thesis
      by simp
  next
    case not-summable
    then show ?thesis
      by (simp add: infsum-not-exists)
  qed
qed

lemma infsum-tensor-ell2-left: ⟨ $(\sum_{\infty x \in A} \varphi x) \otimes_s \psi = (\sum_{\infty x \in A} \varphi x \otimes_s \psi)$ ⟩
proof -
  from infsum-tensor-ell2-right
  have ⟨swap-ell2  $*_V (\psi \otimes_s (\sum_{\infty x \in A} \varphi x)) = \text{swap-ell2 } *_V (\sum_{\infty x \in A} \psi \otimes_s \varphi x)$ ⟩
    by metis
  then show ?thesis
    by (simp add: invertible-cblinfun-isometry flip: infsum-cblinfun-apply-invertible)
qed

lemma tensor-ell2-extensionality3:
  assumes ⟨ $\bigwedge s t u. a *_V (s \otimes_s t \otimes_s u) = b *_V (s \otimes_s t \otimes_s u)$ ⟩
  shows  $a = b$ 
  by (rule equal-ket) (use assms in ⟨auto simp flip: tensor-ell2-ket⟩)

lemma cblinfun-cinner-tensor-eqI:
  assumes ⟨ $\bigwedge \psi \varphi. (\psi \otimes_s \varphi) \cdot_C (A *_V (\psi \otimes_s \varphi)) = (\psi \otimes_s \varphi) \cdot_C (B *_V (\psi \otimes_s \varphi))$ ⟩
  shows ⟨ $A = B$ ⟩
proof -
  define  $C$  where ⟨ $C = A - B$ ⟩
  from assms have  $\text{assm}C: \langle (\psi \otimes_s \varphi) \cdot_C (C *_V (\psi \otimes_s \varphi)) = 0 \rangle$  for  $\psi \varphi$ 
    by (simp add: C-def cblinfun.diff-left cinner-simps(3))

  have ⟨ $(x \otimes_s y) \cdot_C (C *_V (z \otimes_s w)) = 0$ ⟩ for  $x y z w$ 

proof -
  define  $d e f g h j k l m n p q$ 
  where  $\text{defs: } \langle d = (x \otimes_s y) \cdot_C (C *_V z \otimes_s w) \rangle$ 
    ⟨ $e = (z \otimes_s y) \cdot_C (C *_V x \otimes_s y) \rangle$ 
    ⟨ $f = (x \otimes_s w) \cdot_C (C *_V x \otimes_s y) \rangle$ 
    ⟨ $g = (z \otimes_s w) \cdot_C (C *_V x \otimes_s y) \rangle$ 
    ⟨ $h = (x \otimes_s y) \cdot_C (C *_V z \otimes_s y) \rangle$ 
    ⟨ $j = (x \otimes_s w) \cdot_C (C *_V z \otimes_s y) \rangle$ 

```


$\langle k = (z \otimes_s w) \cdot_C (C *_V z \otimes_s y) \rangle$
 $\langle l = (z \otimes_s w) \cdot_C (C *_V x \otimes_s w) \rangle$
 $\langle m = (x \otimes_s y) \cdot_C (C *_V x \otimes_s w) \rangle$
 $\langle n = (z \otimes_s y) \cdot_C (C *_V x \otimes_s w) \rangle$
 $\langle p = (z \otimes_s y) \cdot_C (C *_V z \otimes_s w) \rangle$
 $\langle q = (x \otimes_s w) \cdot_C (C *_V z \otimes_s w) \rangle$

have constraint: $\langle \text{cnj } \alpha * e + \text{cnj } \beta * f + \text{cnj } \beta * \text{cnj } \alpha * g + \alpha * h + \alpha * \text{cnj } \beta * j +$
 $\alpha * \text{cnj } \beta * \text{cnj } \alpha * k + \beta * m + \beta * \text{cnj } \alpha * n + \beta * \text{cnj } \beta * \text{cnj } \alpha * l +$
 $\beta * \alpha * d + \beta * \alpha * \text{cnj } \alpha * p + \beta * \alpha * \text{cnj } \beta * q = 0 \rangle$
(is $\langle ?lhs = - \rangle$ **for** $\alpha \beta$

proof –

from *assms*

have $\langle 0 = ((x + \alpha *_C z) \otimes_s (y + \beta *_C w)) \cdot_C (C *_V ((x + \alpha *_C z) \otimes_s (y + \beta *_C w))) \rangle$
by (*simp add: assmC*)

also have $\langle \dots = ?lhs \rangle$

by (*simp add: tensor-ell2-add1 tensor-ell2-add2 cinner-add-right cinner-add-left*
cblinfun.add-right tensor-ell2-scaleC1 tensor-ell2-scaleC2 semiring-class.distrib-left
cblinfun.scaleC-right assmC defs flip: add.assoc mult.assoc)

finally show *?thesis*

by *simp*

qed

have *aux1*: $\langle a = 0 \implies b = 0 \implies a + b = 0 \rangle$ **for** $a \ b :: \text{complex}$
by *auto*

have *aux2*: $\langle a = 0 \implies b = 0 \implies a - b = 0 \rangle$ **for** $a \ b :: \text{complex}$
by *auto*

have *aux4*: $\langle 2 * a = 0 \iff a = 0 \rangle$ **for** $a :: \text{complex}$
by *auto*

have *aux5*: $\langle 8 = 2 * 2 * (2 :: \text{complex}) \rangle$
by *simp*

from *constraint[of 1 0]*

have *1*: $\langle e + h = 0 \rangle$

by *simp*

from *constraint[of i 0]*

have *2*: $\langle h = e \rangle$

by *simp*

from *1 2*

have [*simp*]: $\langle e = 0 \rangle \langle h = 0 \rangle$

by *auto*

from *constraint[of 0 1]*

have *3*: $\langle f + m = 0 \rangle$

by *simp*

from *constraint[of 0 i]*

have *4*: $\langle m = f \rangle$

by *simp*

from *3 4*

have [*simp*]: $\langle m = 0 \rangle \langle f = 0 \rangle$

```

    by auto
  from constraint[of 1 1]
  have 5:  $\langle g + j + k + n + l + d + p + q = 0 \rangle$ 
    by simp
  from constraint[of 1  $\langle -1 \rangle$ ]
  have 6:  $\langle -g - j - k - n + l - d - p + q = 0 \rangle$ 
    by simp
  from aux1[OF 5 6]
  have 7:  $\langle l + q = 0 \rangle$ 
    by algebra
  from aux2[OF 5 7]
  have 8:  $\langle g + j + k + n + d + p = 0 \rangle$ 
    by (simp add: algebra-simps)
  from constraint[of 1 i]
  have 9:  $\langle -(i * g) - i * j - i * k + i * n + l + i * d + i * p + q = 0 \rangle$ 
    by simp
  from constraint[of 1  $\langle -i \rangle$ ]
  have 10:  $\langle i * g + i * j + i * k - i * n + l - i * d - i * p + q = 0 \rangle$ 
    by simp
  from aux2[OF 9 10]
  have 11:  $\langle n + d + p - k - j - g = 0 \rangle$ 
    using i-squared by algebra
  from aux2[OF 8 11]
  have 12:  $\langle g + j + k = 0 \rangle$ 
    by algebra
  from aux1[OF 8 11]
  have 13:  $\langle n + d + p = 0 \rangle$ 
    by algebra
  from constraint[of i 1]
  have 14:  $\langle i * j - i * g + k - i * n - i * l + i * d + p + i * q = 0 \rangle$ 
    by simp
  from constraint[of i  $\langle -1 \rangle$ ]
  have 15:  $\langle i * g - i * j - k + i * n - i * l - i * d - p + i * q = 0 \rangle$ 
    by simp
  from aux1[OF 14 15]
  have [simp]:  $\langle q = l \rangle$ 
    by simp
  from 7
  have [simp]:  $\langle q = 0 \rangle \langle l = 0 \rangle$ 
    by auto
  from 14
  have 16:  $\langle i * j - i * g + k - i * n + i * d + p = 0 \rangle$ 
    by simp
  from constraint[of  $\langle -i \rangle$  1]
  have 17:  $\langle i * g - i * j + k + i * n - i * d + p = 0 \rangle$ 
    by simp
  from aux1[OF 16 17]
  have [simp]:  $\langle k = -p \rangle$ 
    by algebra

```

```

from aux2[OF 16 17]
have 18: ⟨j + d - n - g = 0⟩
  using i-squared by algebra
from constraint[of ⟨-i⟩ 1]
have 19: ⟨i * g - i * j + i * n - i * d = 0⟩
  by (simp add: algebra-simps)
from constraint[of ⟨-i⟩ ⟨-1⟩]
have 20: ⟨i * j - i * g - i * n + i * d = 0⟩
  by (simp add: algebra-simps)
from constraint[of i i]
have 21: ⟨j - g + n - d + 2 * i * p = 0⟩
  by (simp add: algebra-simps)
from constraint[of i ⟨-i⟩]
have 22: ⟨g - j - n + d - 2 * i * p = 0⟩
  by (simp add: algebra-simps)
from constraint[of 2 1]
have 23: ⟨g + j + n + d = 0⟩
  using 12 13 ⟨k = -p⟩ by algebra
from aux2[OF 23 18]
have [simp]: ⟨g = - n⟩
  by algebra
from 23
have [simp]: ⟨j = - d⟩
  by (simp add: add-eq-0-iff2)
have 8 * (i * p) + (4 * (i * d) + 4 * (i * n)) = 0
  using constraint[of 2 i] by simp
hence 24: ⟨2 * p + d + n = 0⟩
  using complex-i-not-zero by algebra
from aux2[OF 24 13]
have [simp]: ⟨p = 0⟩
  by simp
then have [simp]: ⟨k = 0⟩
  by auto
from 12
have ⟨g = - j⟩
  by simp
from 21
have ⟨d = - g⟩
  by auto

show ⟨d = 0⟩
  using refl[of d]
  apply (subst (asm) ⟨d = - g⟩)
  apply (subst (asm) ⟨g = - j⟩)
  apply (subst (asm) ⟨j = - d⟩)
  by simp
qed
then show ?thesis
  by (auto intro!: equal-ket cinner-ket-eqI

```

simp: $C\text{-def cblinfun.diff-left cinner-diff-right}$
simp flip: tensor-ell2-ket

qed

lemma *unitary-tensor-ell2-right-CARD-1*:

fixes $\psi :: \langle 'a :: \{CARD-1, enum\} \text{ell2} \rangle$

assumes $\langle \text{norm } \psi = 1 \rangle$

shows $\langle \text{unitary } (\text{tensor-ell2-right } \psi) \rangle$

proof (*rule unitaryI*)

show $\langle \text{tensor-ell2-right } \psi *_{o_{CL}} \text{tensor-ell2-right } \psi = \text{id-cblinfun} \rangle$

by (*simp add: assms isometry-tensor-ell2-right*)

have $*$: $\langle (\psi \cdot_C \varphi) * (\varphi \cdot_C \psi) = \varphi \cdot_C \varphi \rangle$ **for** φ

proof –

define $\psi' \varphi'$ **where** $\langle \psi' = 1 \cdot_C \psi \rangle$ **and** $\langle \varphi' = 1 \cdot_C \varphi \rangle$

have ψ : $\langle \psi = \psi' *_{C} 1 \rangle$

by (*metis ψ' -def one-cinner-a-scaleC-one*)

have φ : $\langle \varphi = \varphi' *_{C} 1 \rangle$

by (*metis φ' -def one-cinner-a-scaleC-one*)

show *?thesis*

unfolding $\psi \varphi$

by (*metis (no-types, lifting) Groups.mult-ac(1) ψ assms cinner-simps(5) cinner-simps(6) norm-one of-complex-def of-complex-inner-1 power2-norm-eq-cinner*)

qed

show $\langle \text{tensor-ell2-right } \psi *_{o_{CL}} \text{tensor-ell2-right } \psi * = \text{id-cblinfun} \rangle$

by (*rule cblinfun-cinner-tensor-eqI*) (*simp add: **)

qed

14.2 Tensor product of operators on - ell2

definition *tensor-op* :: $\langle ('a \text{ ell2}, 'b \text{ ell2}) \text{cblinfun} \Rightarrow ('c \text{ ell2}, 'd \text{ ell2}) \text{cblinfun}$

$\Rightarrow (('a \times 'c) \text{ ell2}, ('b \times 'd) \text{ ell2}) \text{cblinfun} \rangle$ (**infixr** \otimes_o 70) **where**

$\langle \text{tensor-op } M \ N = \text{cblinfun-extension } (\text{range ket}) (\lambda k. \text{case } (\text{inv ket } k) \text{ of } (x, y) \Rightarrow \text{tensor-ell2 } (M *_{V} \text{ket } x) (N *_{V} \text{ket } y)) \rangle$

lemma

— Loosely following [7, Section IV.1]

fixes $a :: \langle 'a \rangle$ **and** $b :: \langle 'b \rangle$ **and** $c :: \langle 'c \rangle$ **and** $d :: \langle 'd \rangle$ **and** $M :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$ **and** $N :: \langle 'c \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$

shows *tensor-op-ell2*: $\langle (M \otimes_o N) *_{V} (\psi \otimes_s \varphi) = (M *_{V} \psi) \otimes_s (N *_{V} \varphi) \rangle$

and *tensor-op-norm*: $\langle \text{norm } (M \otimes_o N) = \text{norm } M * \text{norm } N \rangle$

proof –

define $S1 :: \langle ('a \times 'd) \text{ ell2 set} \rangle$ **and** $f1 \ g1 \ \text{extg1}$

where $\langle S1 = \text{range ket} \rangle$

and $\langle f1 \ k = (\text{case } (\text{inv ket } k) \text{ of } (x, y) \Rightarrow \text{tensor-ell2 } (M *_{V} \text{ket } x) (\text{ket } y)) \rangle$

and $\langle g1 = \text{cconstruct } S1 \ f1 \rangle$ **and** $\langle \text{extg1} = \text{cblinfun-extension } (\text{cspan } S1) \ g1 \rangle$

for k

define $S2 :: \langle ('a \times 'c) \text{ ell2 set} \rangle$ **and** $f2 \ g2 \ \text{extg2}$

where $\langle S2 = \text{range ket} \rangle$

and $\langle f2 \ k = (\text{case } (\text{inv ket } k) \text{ of } (x, y) \Rightarrow \text{tensor-ell2 } (\text{ket } x) (N *_{V} \text{ket } y)) \rangle$

and $\langle g2 = cconstruct\ S2\ f2 \rangle$ **and** $\langle extg2 = cblinfun-extension\ (cspan\ S2)\ g2 \rangle$
for k
define $tensorMN$ **where** $\langle tensorMN = extg1\ o_{CL}\ extg2 \rangle$

have $extg1-ket$: $\langle extg1\ *_V\ ket\ (x,y) = (M\ *_V\ ket\ x) \otimes_s\ ket\ y \rangle$
and $norm-extg1$: $\langle norm\ extg1 \leq norm\ M \rangle$ **for** $x\ y$

proof –
have $[simp]$: $\langle cindependent\ S1 \rangle$
using $S1-def\ cindependent-ket$ **by** $blast$
have $[simp]$: $\langle closure\ (cspan\ S1) = UNIV \rangle$
by $(simp\ add:\ S1-def)$
have $[simp]$: $\langle ket\ (x,\ y) \in cspan\ S1 \rangle$ **for** $x\ y$
by $(simp\ add:\ S1-def\ complex-vector.span-base)$
have $g1-f1$: $\langle g1\ (ket\ (x,y)) = f1\ (ket\ (x,y)) \rangle$ **for** $x\ y$
by $(metis\ S1-def\ \langle cindependent\ S1 \rangle\ complex-vector.construct-basis\ g1-def\ rangeI)$
have $[simp]$: $\langle clinear\ g1 \rangle$
unfolding $g1-def$ **using** $\langle cindependent\ S1 \rangle$ **by** $(rule\ complex-vector.linear-construct)$
then **have** $g1-add$: $\langle g1\ (x + y) = g1\ x + g1\ y \rangle$ **if** $\langle x \in cspan\ S1 \rangle$ **and** $\langle y \in cspan\ S1 \rangle$ **for**
 $x\ y$
using $clinear-iff$ **by** $blast$
from $\langle clinear\ g1 \rangle$ **have** $g1-scale$: $\langle g1\ (c\ *_C\ x) = c\ *_C\ g1\ x \rangle$ **if** $\langle x \in cspan\ S1 \rangle$ **for** $x\ c$
by $(simp\ add:\ complex-vector.linear-scale)$

have $g1-bounded$: $\langle norm\ (g1\ \psi) \leq norm\ M\ * norm\ \psi \rangle$ **if** $\langle \psi \in cspan\ S1 \rangle$ **for** ψ

proof –
from $that$ **obtain** $t\ r$ **where** $\langle finite\ t \rangle$ **and** $\langle t \subseteq range\ ket \rangle$ **and** $\psi-tr$: $\langle \psi = (\sum a \in t.\ r\ a\ *_C\ a) \rangle$
by $(smt\ (verit)\ complex-vector.span-explicit\ mem-Collect-eq\ S1-def)$
define $X\ Y$ **where** $\langle X = fst\ 'inv\ ket'\ t \rangle$ **and** $\langle Y = snd\ 'inv\ ket'\ t \rangle$
have $g1-ket$: $\langle g1\ (ket\ (x,y)) = (M\ *_V\ ket\ x) \otimes_s\ ket\ y \rangle$ **for** $x\ y$
by $(simp\ add:\ g1-def\ S1-def\ complex-vector.construct-basis\ f1-def)$
define ξ **where** $\langle \xi\ y = (\sum x \in X.\ if\ (ket\ (x,y) \in t)\ then\ r\ (ket\ (x,y))\ *_C\ ket\ x\ else\ 0) \rangle$ **for**
 y
have $\psi\xi$: $\langle \psi = (\sum y \in Y.\ \xi\ y \otimes_s\ ket\ y) \rangle$

proof –
have $\langle (\sum y \in Y.\ \xi\ y \otimes_s\ ket\ y) = (\sum xy \in X \times Y.\ if\ ket\ xy \in t\ then\ r\ (ket\ xy)\ *_C\ ket\ xy\ else\ 0) \rangle$
unfolding $\xi-def\ tensor-ell2-sum-left$
by $(subst\ sum.swap)$
 $(auto\ simp:\ sum.cartesian-product\ tensor-ell2-scaleC1\ tensor-ell2-ket\ intro!:\ sum.cong)$
also **have** $\langle \dots = (\sum xy \in ket\ '(X \times Y).\ if\ xy \in t\ then\ r\ xy\ *_C\ xy\ else\ 0) \rangle$
by $(subst\ sum.reindex)\ (auto\ simp\ add:\ inj-on-def)$
also **have** $\langle \dots = \psi \rangle$
unfolding $\psi-tr$

proof $(rule\ sum.mono-neutral-cong-right,\ goal-cases)$
case 2
show $t \subseteq ket\ '(X \times Y)$
proof
fix x **assume** $x \in t$

```

with ⟨t ⊆ range ket⟩ obtain a b where ab: x = ket (a, b)
  by fast
also have ket (a, b) ∈ ket ‘(X × Y)
  by (metis X-def Y-def ⟨x ∈ t⟩ ab f-inv-into-f fst-conv image-eqI
      ket-injective mem-Sigma-iff rangeI snd-conv)
finally show x ∈ ket ‘(X × Y) .
qed
qed (auto simp add: X-def Y-def ⟨finite t⟩)
finally show ?thesis
  by simp
qed
have ⟨(norm (g1 ψ))2 = (norm (∑ y∈Y. (M *V ξ y) ⊗s ket y))2⟩
  by (auto simp: ψξ complex-vector.linear-sum ξ-def tensor-ell2-sum-left
      complex-vector.linear-scale g1-ket tensor-ell2-scaleC1
      complex-vector.linear-0 tensor-ell2-ket
      intro!: sum.cong arg-cong[where f=norm])
also have ⟨... = (∑ y∈Y. (norm ((M *V ξ y) ⊗s ket y))2)⟩
  unfolding Y-def by (rule pythagorean-theorem-sum) (use ⟨finite t⟩ in auto)
also have ⟨... = (∑ y∈Y. (norm (M *V ξ y))2)⟩
  by (simp add: norm-tensor-ell2)
also have ⟨... ≤ (∑ y∈Y. (norm M * norm (ξ y))2)⟩
  by (meson norm-cblinfun norm-ge-zero power-mono sum-mono)
also have ⟨... = (norm M)2 * (∑ y∈Y. (norm (ξ y ⊗s ket y))2)⟩
  by (simp add: power-mult-distrib norm-tensor-ell2 flip: sum-distrib-left)
also have ⟨... = (norm M)2 * (norm (∑ y∈Y. ξ y ⊗s ket y))2⟩
  unfolding Y-def
  by (subst pythagorean-theorem-sum) (use ⟨finite t⟩ in auto)
also have ⟨... = (norm M)2 * (norm ψ)2⟩
  using ψξ by fastforce
finally show ⟨norm (g1 ψ) ≤ norm M * norm ψ⟩
  by (metis mult-nonneg-nonneg norm-ge-zero power2-le-imp-le power-mult-distrib)
qed

have extg1-exists: ⟨cblinfun-extension-exists (cspan S1) g1⟩
  by (rule cblinfun-extension-exists-bounded-dense[where B=⟨norm M⟩])
  (use g1-add g1-scale g1-bounded in auto)

then show ⟨extg1 *V ket (x,y) = (M *V ket x) ⊗s ket y⟩ for x y
  by (simp add: extg1-def cblinfun-extension-apply g1-f1 f1-def)

from g1-add g1-scale g1-bounded
show ⟨norm extg1 ≤ norm M⟩
  by (auto simp: extg1-def intro!: cblinfun-extension-norm-bounded-dense)
qed

have extg1-apply: ⟨extg1 *V (ψ ⊗s φ) = (M *V ψ) ⊗s φ⟩ for ψ φ
proof -
  have 1: ⟨bounded-clinear (λa. extg1 *V (a ⊗s ket y))⟩ for y
    by (intro bounded-clinear-cblinfun-apply bounded-clinear-tensor-ell22)

```

```

have 2: ⟨bounded-clinear (λa. (M *V a) ⊗s ket y)⟩ for y :: 'd
by (auto intro!: bounded-clinear-tensor-ell22 [THEN bounded-clinear-compose] bounded-clinear-cblinfun-apply)
have 3: ⟨bounded-clinear (λa. extg1 *V (ψ ⊗s a))⟩
  by (intro bounded-clinear-cblinfun-apply bounded-clinear-tensor-ell21)
have 4: ⟨bounded-clinear ((⊗s) (M *V ψ))⟩
by (auto intro!: bounded-clinear-tensor-ell21 [THEN bounded-clinear-compose] bounded-clinear-cblinfun-apply)

have eq-ket: ⟨extg1 *V tensor-ell2 ψ (ket y) = tensor-ell2 (M *V ψ) (ket y)⟩ for y
  by (rule bounded-clinear-eq-on-closure [where t=ψ and G=⟨range ket⟩])
  (use 1 2 extg1-ket in ⟨auto simp: tensor-ell2-ket⟩)
show ?thesis
  by (rule bounded-clinear-eq-on-closure [where t=φ and G=⟨range ket⟩])
  (use 3 4 eq-ket in auto)
qed

have extg2-ket: ⟨extg2 *V ket (x,y) = ket x ⊗s (N *V ket y)⟩
and norm-extg2: ⟨norm extg2 ≤ norm N⟩ for x y
proof -
  have [simp]: ⟨cindependent S2⟩
    using S2-def cindependent-ket by blast
  have [simp]: ⟨closure (cspan S2) = UNIV⟩
    by (simp add: S2-def)
  have [simp]: ⟨ket (x, y) ∈ cspan S2⟩ for x y
    by (simp add: S2-def complex-vector.span-base)
  have g2-f2: ⟨g2 (ket (x,y)) = f2 (ket (x,y))⟩ for x y
    by (metis S2-def ⟨cindependent S2⟩ complex-vector.construct-basis g2-def rangeI)
  have [simp]: ⟨clinear g2⟩
    unfolding g2-def using ⟨cindependent S2⟩ by (rule complex-vector.linear-construct)
  then have g2-add: ⟨g2 (x + y) = g2 x + g2 y⟩ if ⟨x ∈ cspan S2⟩ and ⟨y ∈ cspan S2⟩ for
x y
    using clinear-iff by blast
  from ⟨clinear g2⟩ have g2-scale: ⟨g2 (c *C x) = c *C g2 x⟩ if ⟨x ∈ cspan S2⟩ for x c
    by (simp add: complex-vector.linear-scale)

  have g2-bounded: ⟨norm (g2 ψ) ≤ norm N * norm ψ⟩ if ⟨ψ ∈ cspan S2⟩ for ψ
  proof -
    from that obtain t r where ⟨finite t⟩ and ⟨t ⊆ range ket⟩ and ψ-tr: ⟨ψ = (∑ a ∈ t. r a
*C a)⟩
    by (smt (verit) complex-vector.span-explicit mem-Collect-eq S2-def)
    define X Y where ⟨X = fst 'inv ket ' t⟩ and ⟨Y = snd 'inv ket ' t⟩
    have g2-ket: ⟨g2 (ket (x,y)) = ket x ⊗s (N *V ket y)⟩ for x y
      by (auto simp add: f2-def complex-vector.construct-basis g2-def S2-def)
    define ξ where ⟨ξ x = (∑ y ∈ Y. if (ket (x,y) ∈ t) then r (ket (x,y)) *C ket y else 0)⟩ for
x
    have ψξ: ⟨ψ = (∑ x ∈ X. ket x ⊗s ξ x)⟩
    proof -
      have ⟨(∑ x ∈ X. ket x ⊗s ξ x) = (∑ xy ∈ X × Y. if ket xy ∈ t then r (ket xy) *C ket xy
else 0)⟩
      by (auto simp: ξ-def tensor-ell2-sum-right sum.cartesian-product tensor-ell2-scaleC2)
    qed
  qed

```

tensor-ell2-ket intro!: sum.cong
also have $\langle \dots = (\sum_{xy \in \text{ket}} (X \times Y). \text{if } xy \in t \text{ then } r \text{ } xy *_{\mathbb{C}} xy \text{ else } 0) \rangle$
by (*subst sum.reindex*) (*auto simp add: inj-on-def*)
also have $\langle \dots = \psi \rangle$
unfolding $\psi\text{-tr}$
proof (*rule sum.mono-neutral-cong-right, goal-cases*)
case 2
show $t \subseteq \text{ket} (X \times Y)$
proof
fix x **assume** $x \in t$
with $\langle t \subseteq \text{range ket} \rangle$ **obtain** $a \ b$ **where** $ab: x = \text{ket} (a, b)$
by *fast*
also have $\text{ket} (a, b) \in \text{ket} (X \times Y)$
by (*metis X-def Y-def*) $\langle x \in t \rangle$ *ab f-inv-into-f fst-conv image-eqI*
ket-injective mem-Sigma-iff rangeI snd-conv)
finally show $x \in \text{ket} (X \times Y)$.
qed
qed (*auto simp add: X-def Y-def*) $\langle \text{finite } t \rangle$
finally show *?thesis*
by *simp*
qed
have $\langle (\text{norm } (g2 \ \psi))^2 = (\text{norm } (\sum_{x \in X}. \text{ket } x \otimes_s (N *_{\mathbb{V}} \xi x)))^2 \rangle$
by (*auto simp:* $\psi\xi$ *complex-vector.linear-sum* $\xi\text{-def}$ *tensor-ell2-sum-right*
complex-vector.linear-scale $g2\text{-ket}$ *tensor-ell2-scaleC2*
complex-vector.linear-0 *tensor-ell2-ket*
intro!: sum.cong arg-cong[**where** $f = \text{norm}$])
also have $\langle \dots = (\sum_{x \in X}. (\text{norm } (\text{ket } x \otimes_s (N *_{\mathbb{V}} \xi x)))^2) \rangle$
unfolding $X\text{-def}$ **by** (*rule pythagorean-theorem-sum*) (*use* $\langle \text{finite } t \rangle$ **in** *auto*)
also have $\langle \dots = (\sum_{x \in X}. (\text{norm } (N *_{\mathbb{V}} \xi x))^2) \rangle$
by (*simp add: norm-tensor-ell2*)
also have $\langle \dots \leq (\sum_{x \in X}. (\text{norm } N * \text{norm } (\xi x))^2) \rangle$
by (*meson norm-cblinfun norm-ge-zero power-mono sum-mono*)
also have $\langle \dots = (\text{norm } N)^2 * (\sum_{x \in X}. (\text{norm } (\text{ket } x \otimes_s \xi x))^2) \rangle$
by (*simp add: power-mult-distrib norm-tensor-ell2 flip: sum-distrib-left*)
also have $\langle \dots = (\text{norm } N)^2 * (\text{norm } (\sum_{x \in X}. \text{ket } x \otimes_s \xi x))^2 \rangle$
unfolding $X\text{-def}$ **by** (*subst pythagorean-theorem-sum*) (*use* $\langle \text{finite } t \rangle$ **in** *auto*)
also have $\langle \dots = (\text{norm } N)^2 * (\text{norm } \psi)^2 \rangle$
using $\psi\xi$ **by** *fastforce*
finally show $\langle \text{norm } (g2 \ \psi) \leq \text{norm } N * \text{norm } \psi \rangle$
by (*metis mult-nonneg-nonneg norm-ge-zero power2-le-imp-le power-mult-distrib*)
qed
have *extg2-exists*: $\langle \text{cblinfun-extension-exists } (cspan \ S2) \ g2 \rangle$
by (*rule cblinfun-extension-exists-bounded-dense*[**where** $B = \langle \text{norm } N \rangle$])
(use $g2\text{-add}$ $g2\text{-scale}$ $g2\text{-bounded}$ **in** *auto*)
then show $\langle \text{extg2 } *_{\mathbb{V}} \text{ket } (x, y) = \text{ket } x \otimes_s N *_{\mathbb{V}} \text{ket } y \rangle$ **for** $x \ y$
by (*simp add: extg2-def cblinfun-extension-apply* $g2\text{-f2}$ $f2\text{-def}$)

from $g2\text{-add } g2\text{-scale } g2\text{-bounded}$
show $\langle \text{norm extg2} \leq \text{norm } N \rangle$
by (*auto simp: extg2-def intro!: cblinfun-extension-norm-bounded-dense*)
qed

have $\text{extg2-apply: } \langle \text{extg2 } *V (\psi \otimes_s \varphi) = \psi \otimes_s (N *V \varphi) \rangle$ **for** $\psi \varphi$
proof –
have 1: $\langle \text{bounded-clinear } (\lambda a. \text{extg2 } *V (\text{ket } x \otimes_s a)) \rangle$ **for** x
by (*intro bounded-clinear-cblinfun-apply bounded-clinear-tensor-ell21*)
have 2: $\langle \text{bounded-clinear } (\lambda a. \text{ket } x \otimes_s (N *V a)) \rangle$ **for** $x :: 'a$
by (*auto intro!: bounded-clinear-tensor-ell21 [THEN bounded-clinear-compose] bounded-clinear-cblinfun-apply*)
have 3: $\langle \text{bounded-clinear } (\lambda a. \text{extg2 } *V (a \otimes_s \varphi)) \rangle$
by (*intro bounded-clinear-cblinfun-apply bounded-clinear-tensor-ell22*)
have 4: $\langle \text{bounded-clinear } (\lambda a. a \otimes_s (N *V \varphi)) \rangle$
by (*auto intro!: bounded-clinear-tensor-ell22 [THEN bounded-clinear-compose] bounded-clinear-cblinfun-apply*)

have $\text{eq-ket: } \langle \text{extg2 } *V (\text{ket } x \otimes_s \varphi) = \text{ket } x \otimes_s (N *V \varphi) \rangle$ **for** x
by (*rule bounded-clinear-eq-on-closure [where t= φ and $G = \langle \text{range ket} \rangle$] (use 1 2 extg2-ket in $\langle \text{auto simp: tensor-ell2-ket} \rangle$)*)
show *?thesis*
by (*rule bounded-clinear-eq-on-closure [where t= ψ and $G = \langle \text{range ket} \rangle$] (use 3 4 eq-ket in auto)*)
qed

have $\text{tensorMN-apply: } \langle \text{tensorMN } *V (\psi \otimes_s \varphi) = (M *V \psi) \otimes_s (N *V \varphi) \rangle$ **for** $\psi \varphi$
by (*simp add: extg1-apply extg2-apply tensorMN-def*)

have $\langle \text{cblinfun-extension-exists } (\text{range ket}) (\lambda k. \text{case inv ket } k \text{ of } (x, y) \Rightarrow (M *V \text{ket } x) \otimes_s (N *V \text{ket } y)) \rangle$
by (*rule cblinfun-extension-existsI [where B=tensorMN] (use tensorMN-apply [of $\langle \text{ket } \rightarrow \langle \text{ket } \rightarrow \rangle$ in $\langle \text{auto simp: tensor-ell2-ket} \rangle$)*)

then have $\text{otimes-ket: } \langle (M \otimes_o N) *V (\text{ket } (a, c)) = (M *V \text{ket } a) \otimes_s (N *V \text{ket } c) \rangle$ **for** $a c$
by (*simp add: tensor-op-def cblinfun-extension-apply*)

have $\text{tensorMN-otimes: } \langle M \otimes_o N = \text{tensorMN} \rangle$
by (*rule equal-ket (use tensorMN-apply [of $\langle \text{ket } \rightarrow \langle \text{ket } \rightarrow \rangle$ in $\langle \text{auto simp: otimes-ket tensor-ell2-ket} \rangle$)*)

show $\text{otimes-apply: } \langle (M \otimes_o N) *V (\psi \otimes_s \varphi) = (M *V \psi) \otimes_s (N *V \varphi) \rangle$ **for** $\psi \varphi$
by (*simp add: tensorMN-apply tensorMN-otimes*)

show $\langle \text{norm } (M \otimes_o N) = \text{norm } M * \text{norm } N \rangle$
proof (*rule order.antisym*)
show $\langle \text{norm } (M \otimes_o N) \leq \text{norm } M * \text{norm } N \rangle$
unfolding *tensorMN-otimes tensorMN-def*
by (*smt (verit, best) mult-mono norm-cblinfun-compose norm-extg1 norm-extg2 norm-ge-zero*)
have $\langle \text{norm } (M \otimes_o N) \geq \text{norm } M * \text{norm } N * \varepsilon \rangle$ **if** $\langle \varepsilon < 1 \rangle$ **and** $\langle \varepsilon > 0 \rangle$ **for** ε
proof –

```

obtain  $\psi a$  where 1:  $\langle \text{norm } (M *_V \psi a) \geq \text{norm } M * \text{sqrt } \varepsilon \rangle$  and  $\langle \text{norm } \psi a = 1 \rangle$ 
  by (atomize-elim, rule cblinfun-norm-approx-witness-mult[where  $\varepsilon = \langle \text{sqrt } \varepsilon \rangle$  and  $A = M$ ])
    (use  $\langle \varepsilon < 1 \rangle$  in auto)
obtain  $\psi b$  where 2:  $\langle \text{norm } (N *_V \psi b) \geq \text{norm } N * \text{sqrt } \varepsilon \rangle$  and  $\langle \text{norm } \psi b = 1 \rangle$ 
  by (atomize-elim, rule cblinfun-norm-approx-witness-mult[where  $\varepsilon = \langle \text{sqrt } \varepsilon \rangle$  and  $A = N$ ])
    (use  $\langle \varepsilon < 1 \rangle$  in auto)
have  $\langle \text{norm } ((M \otimes_o N) *_V (\psi a \otimes_s \psi b)) / \text{norm } (\psi a \otimes_s \psi b) = \text{norm } ((M \otimes_o N) *_V (\psi a$ 
 $\otimes_s \psi b)) \rangle$ 
  using  $\langle \text{norm } \psi a = 1 \rangle \langle \text{norm } \psi b = 1 \rangle$ 
  by (simp add: norm-tensor-ell2)
also have  $\langle \dots = \text{norm } (M *_V \psi a) * \text{norm } (N *_V \psi b) \rangle$ 
  by (simp add: norm-tensor-ell2 otimes-apply)
also from 1 2 have  $\langle \dots \geq (\text{norm } M * \text{sqrt } \varepsilon) * (\text{norm } N * \text{sqrt } \varepsilon) \rangle$  (is  $\langle - \geq \dots \rangle$ )
  by (rule mult-mono') (use  $\langle \varepsilon > 0 \rangle$  in auto)
also have  $\langle \dots = \text{norm } M * \text{norm } N * \varepsilon \rangle$ 
  using  $\langle \varepsilon > 0 \rangle$  by force
finally show ?thesis
  using cblinfun-norm-geqI by blast
qed
then show  $\langle \text{norm } (M \otimes_o N) \geq \text{norm } M * \text{norm } N \rangle$ 
  by (metis field-le-mult-one-interval mult.commute)
qed
qed

```

lemma tensor-op-ket: $\langle \text{tensor-op } M N *_V (\text{ket } (a, c)) = \text{tensor-ell2 } (M *_V \text{ket } a) (N *_V \text{ket } c) \rangle$
by (metis tensor-ell2-ket tensor-op-ell2)

lemma comp-tensor-op: $(\text{tensor-op } a b) o_{CL} (\text{tensor-op } c d) = \text{tensor-op } (a o_{CL} c) (b o_{CL} d)$
for $a :: 'e \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2}$ **and** $b :: 'f \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2}$ **and**
 $c :: 'a \text{ ell2} \Rightarrow_{CL} 'e \text{ ell2}$ **and** $d :: 'b \text{ ell2} \Rightarrow_{CL} 'f \text{ ell2}$
by (rule equal-ket) (auto simp flip: tensor-ell2-ket simp: tensor-op-ell2)

lemma tensor-op-left-add: $\langle (x + y) \otimes_o b = x \otimes_o b + y \otimes_o b \rangle$
for $x y :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$ **and** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$
by (auto intro!: equal-ket simp: tensor-op-ket plus-cblinfun.rep-eq tensor-ell2-add1)

lemma tensor-op-right-add: $\langle b \otimes_o (x + y) = b \otimes_o x + b \otimes_o y \rangle$
for $x y :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$ **and** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$
by (auto intro!: equal-ket simp: tensor-op-ket plus-cblinfun.rep-eq tensor-ell2-add2)

lemma tensor-op-scaleC-left: $\langle (c *_C x) \otimes_o b = c *_C (x \otimes_o b) \rangle$
for $x :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$ **and** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$
by (auto intro!: equal-ket simp: tensor-op-ket tensor-ell2-scaleC1)

lemma tensor-op-scaleC-right: $\langle b \otimes_o (c *_C x) = c *_C (b \otimes_o x) \rangle$
for $x :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$ **and** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$
by (auto intro!: equal-ket simp: tensor-op-ket tensor-ell2-scaleC2)

lemma tensor-op-bounded-cbilinear[simp]: $\langle \text{bounded-cbilinear tensor-op} \rangle$

by (*auto intro!*: *bounded-cbilinear.intro exI[of - 1]*
simp: *tensor-op-left-add tensor-op-right-add tensor-op-scaleC-left tensor-op-scaleC-right tensor-op-norm*)

lemma *tensor-op-cbilinear[simp]*: $\langle \text{cbilinear tensor-op} \rangle$

by (*simp add*: *bounded-cbilinear.add-left bounded-cbilinear.add-right cbilinear-def clinearI tensor-op-scaleC-left tensor-op-scaleC-right*)

lemma *tensor-butter*: $\langle \text{butterfly (ket } i \text{) (ket } j \text{)} \otimes_o \text{ butterfly (ket } k \text{) (ket } l \text{)} = \text{butterfly (ket } (i,k) \text{) (ket } (j,l) \text{)} \rangle$

by (*rule equal-ket*)

(*auto simp flip*: *tensor-ell2-ket simp*: *tensor-op-ell2 butterfly-def tensor-ell2-scaleC1 tensor-ell2-scaleC2*)

lemma *cspan-tensor-op-butter*: $\langle \text{cspan } \{ \text{tensor-op (butterfly (ket } i \text{) (ket } j \text{)) (butterfly (ket } k \text{) (ket } l \text{))} \mid (i::\text{finite}) (j::\text{finite}) (k::\text{finite}) (l::\text{finite}). \text{True} \} = \text{UNIV} \rangle$

unfolding *tensor-butter*

by (*subst cspan-butterfly-ket[symmetric]*) (*metis surj-pair*)

lemma *cindependent-tensor-op-butter*: $\langle \text{cindependent } \{ \text{tensor-op (butterfly (ket } i \text{) (ket } j \text{)) (butterfly (ket } k \text{) (ket } l \text{))} \mid i \ j \ k \ l. \text{True} \} \rangle$

unfolding *tensor-butter*

using *cindependent-butterfly-ket*

by (*smt (z3) Collect-mono-iff complex-vector.independent-mono*)

lift-definition *right-amplification* :: $\langle ('a \ \text{ell2} \Rightarrow_{CL} 'b \ \text{ell2}) \Rightarrow_{CL} (('a \times 'c) \ \text{ell2} \Rightarrow_{CL} ('b \times 'c) \ \text{ell2}) \rangle$ **is**

$\langle \lambda a. a \otimes_o \text{id-cblinfun} \rangle$

by (*simp add*: *bounded-cbilinear.bounded-clinear-left*)

lift-definition *left-amplification* :: $\langle ('a \ \text{ell2} \Rightarrow_{CL} 'b \ \text{ell2}) \Rightarrow_{CL} (('c \times 'a) \ \text{ell2} \Rightarrow_{CL} ('c \times 'b) \ \text{ell2}) \rangle$ **is**

$\langle \lambda a. \text{id-cblinfun} \otimes_o a \rangle$

by (*simp add*: *bounded-cbilinear.bounded-clinear-right*)

lemma *sandwich-tensor-ell2-right*: $\langle \text{sandwich (tensor-ell2-right } \psi^*) *_V a \otimes_o b = (\psi \cdot_C (b *_V \psi)) *_C a \rangle$

by (*rule cblinfun-eqI*) (*simp add*: *sandwich-apply tensor-op-ell2*)

lemma *sandwich-tensor-ell2-left*: $\langle \text{sandwich (tensor-ell2-left } \psi^*) *_V a \otimes_o b = (\psi \cdot_C (a *_V \psi)) *_C b \rangle$

by (*rule cblinfun-eqI*) (*simp add*: *sandwich-apply tensor-op-ell2*)

lemma *tensor-op-adjoint*: $\langle (\text{tensor-op } a \ b)^* = \text{tensor-op } (a^*) \ (b^*) \rangle$

by (*rule cinner-ket-adjointI[symmetric]*)

(*auto simp flip*: *tensor-ell2-ket simp*: *tensor-op-ell2 cinner-adj-left*)

lemma *has-sum-id-tensor-butterfly-ket*: $\langle ((\lambda i. (\text{id-cblinfun} \otimes_o \text{butterfly (ket } i \text{) (ket } i \text{)})) *_V \psi) \rangle$

$has-sum \psi \rangle UNIV \rangle$
proof —
have $*$: $\langle (\sum i \in F. (id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi) = trunc-ell2 (UNIV \times F) \psi \rangle$
if $\langle finite F \rangle$ **for** F
proof (rule *Rep-ell2-inject*[*THEN iffD1*], rule *ext*, rename-tac *xy*)
fix $xy :: \langle 'b \times 'a \rangle$
obtain $x y$ **where** $xy: \langle xy = (x,y) \rangle$
by *fastforce*
have $\langle Rep-ell2 (\sum i \in F. (id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi) xy = ket xy \cdot_C (\sum i \in F. (id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi) \rangle$
by (*simp add: cinner-ket-left*)
also have $\langle \dots = (\sum i \in F. ket xy \cdot_C ((id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi)) \rangle$
using *cinner-sum-right* **by** *blast*
also have $\langle \dots = (\sum i \in F. ket xy \cdot_C ((id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi)) \rangle$
by (*simp add: tensor-op-adjoint*)
also have $\langle \dots = (\sum i \in F. ((id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V ket xy) \cdot_C \psi) \rangle$
by (*meson cinner-adj-right*)
also have $\langle \dots = of-bool (y \in F) * (ket xy \cdot_C \psi) \rangle$
by (*subst sum-single*[**where** $i=y$])
(auto simp: xy tensor-op-ell2 cinner-ket that simp flip: tensor-ell2-ket)
also have $\langle \dots = of-bool (y \in F) * (Rep-ell2 \psi xy) \rangle$
by (*simp add: cinner-ket-left*)
also have $\langle \dots = Rep-ell2 (trunc-ell2 (UNIV \times F) \psi) xy \rangle$
by (*simp add: trunc-ell2.rep-eq xy*)
finally show $\langle Rep-ell2 (\sum i \in F. (id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi) xy = \dots \rangle$
by —
qed

have $\langle ((\lambda F. trunc-ell2 F \psi) \longrightarrow trunc-ell2 UNIV \psi) (filtermap ((\times) UNIV) (finite-subsets-at-top UNIV)) \rangle$
by (rule *trunc-ell2-lim-general*)
*(auto simp add: filterlim-def le-filter-def eventually-finite-subsets-at-top eventually-filtermap intro!: exI[**where** $x = \langle snd ' - \rangle$])*
then have $\langle ((\lambda F. trunc-ell2 (UNIV \times F) \psi) \longrightarrow \psi) (finite-subsets-at-top UNIV) \rangle$
by (*simp add: filterlim-def filtermap-filtermap*)
then have $\langle ((\lambda F. (\sum i \in F. (id-cblinfun \otimes_o butterfly (ket i) (ket i)) *_V \psi)) \longrightarrow \psi) (finite-subsets-at-top UNIV) \rangle$
by (rule *Lim-transform-eventually*)
*(simp add: * eventually-finite-subsets-at-top-weakI)*
then show *?thesis*
by (*simp add: has-sum-def*)
qed

lemma *tensor-op-dense*: $\langle cstrong-operator-topology \ closure-of (cspan \{a \otimes_o b \mid a b. True\}) = UNIV \rangle$

— [7, p.185 (10)], but we prove it directly.

proof (*intro order.antisym subset-UNIV subsetI*)

fix $c :: \langle ('a \times 'b) ell2 \Rightarrow_{CL} ('c \times 'd) ell2 \rangle$

```

define c' where ⟨c' i j = (tensor-ell2-right (ket i))* oCL c oCL tensor-ell2-right (ket j)⟩ for
i j
define AB :: ⟨('a × 'b) ell2 ⇒CL ('c × 'd) ell2) set⟩ where
  ⟨AB = cstrong-operator-topology closure-of (cspan {a ⊗o b | a b. True})⟩

have [simp]: ⟨closedin cstrong-operator-topology AB⟩
by (simp add: AB-def)
have [simp]: ⟨csubspace AB⟩
using AB-def sot-closure-is-csubspace' by blast

have *: ⟨c' i j ⊗o butterfly (ket i) (ket j) = (id-cblinfun ⊗o butterfly (ket i) (ket i)) oCL c
oCL (id-cblinfun ⊗o butterfly (ket j) (ket j))⟩ for i j
proof (rule equal-ket, rule cinner-ket-eqI, rename-tac a b)
  fix a :: ⟨'a × 'b⟩ and b :: ⟨'c × 'd⟩
  obtain bi bj ai aj where b: ⟨b = (bi,bj)⟩ and a: ⟨a = (ai,aj)⟩
  by (meson surj-pair)
  have ⟨ket b •C ((c' i j ⊗o butterfly (ket i) (ket j)) *V ket a) = of-bool (j = aj ∧ bj = i) *
((ket bi ⊗s ket i) •C (c *V ket ai ⊗s ket aj))⟩
  by (auto simp add: a b tensor-op-ell2 cinner-ket c'-def cinner-adj-right
simp flip: tensor-ell2-ket)
  also have ⟨... = ket b •C ((id-cblinfun ⊗o butterfly (ket i) (ket i)) oCL c oCL id-cblinfun ⊗o
butterfly (ket j) (ket j)) *V ket a)⟩
  apply (subst asm-rl[of ⟨id-cblinfun ⊗o butterfly (ket i) (ket i) = (id-cblinfun ⊗o butterfly
(ket i) (ket i))*⟩])
  subgoal
  by (simp add: tensor-op-adjoint)
  subgoal
  by (auto simp: a b tensor-op-ell2 cinner-adj-right cinner-ket
simp flip: tensor-ell2-ket)
  done
  finally show ⟨ket b •C ((c' i j ⊗o butterfly (ket i) (ket j)) *V ket a) =
ket b •C ((id-cblinfun ⊗o butterfly (ket i) (ket i)) oCL c oCL id-cblinfun ⊗o butterfly
(ket j) (ket j)) *V ket a)⟩
  by –
qed

have ⟨c' i j ⊗o butterfly (ket i) (ket j) ∈ AB⟩ for i j
proof –
  have ⟨c' i j ⊗o butterfly (ket i) (ket j) ∈ {a ⊗o b | a b. True}⟩
  by auto
  also have ⟨... ⊆ cspan ...⟩
  by (simp add: complex-vector.span-superset)
  also have ⟨... ⊆ cstrong-operator-topology closure-of ...⟩
  by (rule closure-of-subset) simp
  also have ⟨... = AB⟩
  by (simp add: AB-def)
  finally show ?thesis
  by simp
qed

```

with * have $AB1: \langle (id\text{-}cblinfun \otimes_o butterfly (ket\ i) (ket\ i))\ o_{CL}\ c\ o_{CL}\ (id\text{-}cblinfun \otimes_o butterfly (ket\ j) (ket\ j)) \in AB \rangle$ **for** $i\ j$
by *simp*
have $\langle (\lambda i. ((id\text{-}cblinfun \otimes_o butterfly (ket\ i) (ket\ i))\ o_{CL}\ c\ o_{CL}\ (id\text{-}cblinfun \otimes_o butterfly (ket\ j) (ket\ j))))\ *_{\mathcal{V}}\ \psi \rangle$
 $has\text{-}sum\ (c\ o_{CL}\ (id\text{-}cblinfun \otimes_o butterfly (ket\ j) (ket\ j)))\ *_{\mathcal{V}}\ \psi\ UNIV$ **for** $j\ \psi$
by (*simp add: has-sum-id-tensor-butterfly-ket*)
then have $AB2: \langle (c\ o_{CL}\ (id\text{-}cblinfun \otimes_o butterfly (ket\ j) (ket\ j))) \in AB \rangle$ **for** j
by (*rule has-sum-closed-cstrong-operator-topology[rotated -1]*) (*use AB1 in auto*)

have $\langle (\lambda j. (c\ o_{CL}\ (id\text{-}cblinfun \otimes_o butterfly (ket\ j) (ket\ j)))\ *_{\mathcal{V}}\ \psi)\ has\text{-}sum\ c\ *_{\mathcal{V}}\ \psi\ UNIV \rangle$
for ψ
by (*simp add: has-sum-cblinfun-apply has-sum-id-tensor-butterfly-ket*)
then show $AB3: \langle c \in AB \rangle$
by (*rule has-sum-closed-cstrong-operator-topology[rotated -1]*) (*use AB2 in auto*)
qed

lemma *tensor-extensionality-finite*:

fixes $F\ G :: \langle ((('a::finite \times 'b::finite)\ ell2) \Rightarrow_{CL} (('c::finite \times 'd::finite)\ ell2)) \Rightarrow 'e::complex\ vector \rangle$
assumes [*simp*]: *clinear F clinear G*
assumes *tensor-eq*: $(\bigwedge a\ b. F\ (tensor\text{-}op\ a\ b) = G\ (tensor\text{-}op\ a\ b))$
shows $F = G$

proof (*rule ext, rule complex-vector.linear-eq-on-span[where f=F and g=G]*)

show $\langle clinear\ F \rangle$ **and** $\langle clinear\ G \rangle$
using *assms* **by** (*simp-all add: cbilinear-def*)
show $\langle x \in cspan\ \{tensor\text{-}op\ (butterfly\ (ket\ i) (ket\ j))\ (butterfly\ (ket\ k) (ket\ l))\} \mid i\ j\ k\ l.\ True \rangle$

for $x :: \langle ('a \times 'b)\ ell2 \Rightarrow_{CL} ('c \times 'd)\ ell2 \rangle$
using *cspan-tensor-op-butter* **by** *auto*
show $\langle F\ x = G\ x \rangle$ **if** $\langle x \in \{tensor\text{-}op\ (butterfly\ (ket\ i) (ket\ j))\ (butterfly\ (ket\ k) (ket\ l))\} \mid i\ j\ k\ l.\ True \rangle$ **for** x
using *that* **by** (*auto simp: tensor-eq*)

qed

lemma *tensor-id[*simp*]*: $\langle tensor\text{-}op\ id\text{-}cblinfun\ id\text{-}cblinfun = id\text{-}cblinfun \rangle$

by (*rule equal-ket*) (*auto simp flip: tensor-ell2-ket simp: tensor-op-ell2*)

lemma *tensor-butterfly*: $tensor\text{-}op\ (butterfly\ \psi\ \psi')\ (butterfly\ \varphi\ \varphi') = butterfly\ (tensor\text{-}ell2\ \psi\ \varphi)\ (tensor\text{-}ell2\ \psi'\ \varphi')$

by (*rule equal-ket*)
(*auto simp flip: tensor-ell2-ket simp: tensor-op-ell2 butterfly-def tensor-ell2-scaleC1 tensor-ell2-scaleC2*)

definition *tensor-lift* :: $\langle (('a1::finite\ ell2 \Rightarrow_{CL} 'a2::finite\ ell2) \Rightarrow ('b1::finite\ ell2 \Rightarrow_{CL} 'b2::finite\ ell2)) \Rightarrow 'c \rangle$

$\Rightarrow ((('a1 \times 'b1)\ ell2 \Rightarrow_{CL} ('a2 \times 'b2)\ ell2) \Rightarrow 'c::complex\ normed\ vector)$

where

$tensor\text{-}lift\ F2 = (SOME\ G. clinear\ G \wedge (\forall a\ b. G\ (tensor\text{-}op\ a\ b) = F2\ a\ b))$

```

lemma
  fixes F2 :: 'a::finite ell2  $\Rightarrow_{CL}$  'b::finite ell2
     $\Rightarrow$  'c::finite ell2  $\Rightarrow_{CL}$  'd::finite ell2
     $\Rightarrow$  'e::complex-normed-vector
  assumes cilinear F2
  shows tensor-lift-clinear: clinear (tensor-lift F2)
    and tensor-lift-correct:  $\langle (\lambda a b. \text{tensor-lift } F2 (a \otimes_o b)) = F2 \rangle$ 
proof -
  define F2' t4  $\varphi$  where
     $\langle F2' = \text{tensor-lift } F2 \rangle$  and
     $\langle t4 = (\lambda(i,j,k,l). \text{tensor-op } (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l))) \rangle$  and
     $\langle \varphi m = (\text{let } (i,j,k,l) = \text{inv } t4 \text{ m in } F2 (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l))) \rangle$ 
  for m
  have t4inj:  $x = y$  if  $t4 \ x = t4 \ y$  for  $x \ y$ 
  proof (rule ccontr)
    obtain i j k l where  $x = (i,j,k,l)$  by (meson prod-cases4)
    obtain i' j' k' l' where  $y = (i',j',k',l')$  by (meson prod-cases4)
    have 1:  $\text{bra } (i,k) *_V t4 \ x *_V \text{ket } (j,l) = 1$ 
      by (auto simp: t4-def x tensor-op-ell2 butterfly-def cinner-ket simp flip: tensor-ell2-ket)
    assume  $\langle x \neq y \rangle$ 
    then have 2:  $\text{bra } (i,k) *_V t4 \ y *_V \text{ket } (j,l) = 0$ 
      by (auto simp: t4-def x y tensor-op-ell2 butterfly-def cinner-ket simp flip: tensor-ell2-ket)
    from 1 2 that
    show False
      by auto
  qed
  have  $\langle \varphi (\text{tensor-op } (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l))) = F2 (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l)) \rangle$  for  $i \ j \ k \ l$ 
  apply (subst asm-rl[ $\text{of } \langle \text{tensor-op } (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l)) = t4 (i,j,k,l) \rangle$ ])
  subgoal by (simp add: t4-def)
  subgoal by (auto simp add: injI t4inj inv-f-f  $\varphi$ -def)
  done

  have *:  $\langle \text{range } t4 = \{ \text{tensor-op } (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l)) \mid i \ j \ k \ l. \text{True} \} \rangle$ 
  by (force simp: case-prod-beta t4-def image-iff)

  have cblinfun-extension-exists (range t4)  $\varphi$ 
  by (rule cblinfun-extension-exists-finite-dim)
  (use * cindpendent-tensor-op-butter cspan-tensor-op-butter in auto)

  then obtain G where  $G: \langle G *_V (t4 (i,j,k,l)) = F2 (\text{butterfly } (\text{ket } i) (\text{ket } j)) (\text{butterfly } (\text{ket } k) (\text{ket } l)) \rangle$  for  $i \ j \ k \ l$ 
  unfolding cblinfun-extension-exists-def
  by (metis (no-types, lifting) t4inj  $\varphi$ -def f-inv-into-f rangeI split-conv)

```

```

have *: ⟨G *V tensor-op (butterfly (ket i) (ket j)) (butterfly (ket k) (ket l)) = F2 (butterfly
(ket i) (ket j)) (butterfly (ket k) (ket l))⟩ for i j k l
  using G by (auto simp: t4-def)
have *: ⟨G *V tensor-op a (butterfly (ket k) (ket l)) = F2 a (butterfly (ket k) (ket l))⟩ for a
k l
  apply (rule complex-vector.linear-eq-on-span[where g=⟨λa. F2 a → and B=⟨{butterfly (ket
k) (ket l)|k l. True}⟩])
  unfolding cspan-butterfly-ket
  using * apply (auto intro!: clinear-compose[unfolded o-def, where f=⟨λa. tensor-op a →
and g=⟨(*V) G⟩])
  apply (metis cbilinear-def tensor-op-cbilinear)
  using assms unfolding cbilinear-def by blast
have G-F2: ⟨G *V tensor-op a b = F2 a b⟩ for a b
  apply (rule complex-vector.linear-eq-on-span[where g=⟨F2 a⟩ and B=⟨{butterfly (ket k)
(ket l)|k l. True}⟩])
  unfolding cspan-butterfly-ket
  using * apply (auto simp: cblinfun.add-right clinearI
intro!: clinear-compose[unfolded o-def, where f=⟨tensor-op a⟩ and g=⟨(*V)
G⟩])
  apply (meson cbilinear-def tensor-op-cbilinear)
  using assms unfolding cbilinear-def by blast

have ⟨clinear F2' ∧ (∀ a b. F2' (tensor-op a b) = F2 a b)⟩
  unfolding F2'-def tensor-lift-def
  apply (rule someI[where x=⟨(*V) G⟩ and P=⟨λG. clinear G ∧ (∀ a b. G (tensor-op a b)
= F2 a b)⟩])
  using G-F2 by (simp add: cblinfun.add-right clinearI)

then show ⟨clinear F2'⟩ and ⟨(λa b. tensor-lift F2 (tensor-op a b)) = F2⟩
  unfolding F2'-def by auto
qed

```

lemma tensor-op-nonzero:

```

fixes a :: ⟨'a ell2 ⇒CL 'c ell2⟩ and b :: ⟨'b ell2 ⇒CL 'd ell2⟩
assumes ⟨a ≠ 0⟩ and ⟨b ≠ 0⟩
shows ⟨a ⊗o b ≠ 0⟩
proof –
  from ⟨a ≠ 0⟩ obtain i where i: ⟨a *V ket i ≠ 0⟩
    by (metis cblinfun.zero-left equal-ket)
  from ⟨b ≠ 0⟩ obtain j where j: ⟨b *V ket j ≠ 0⟩
    by (metis cblinfun.zero-left equal-ket)
  from i j have ijneq0: ⟨(a *V ket i) ⊗s (b *V ket j) ≠ 0⟩
    by (simp add: tensor-ell2-nonzero)
  have ⟨(a *V ket i) ⊗s (b *V ket j) = (a ⊗o b) *V ket (i,j)⟩
    by (simp add: tensor-op-ket)
  with ijneq0 show ⟨a ⊗o b ≠ 0⟩
    by force
qed

```


lemma *inj-tensor-ell2-left*: $\langle \text{inj } (\lambda a::'a \text{ ell2}. a \otimes_s b) \rangle$ **if** $\langle b \neq 0 \rangle$ **for** $b :: \langle 'b \text{ ell2} \rangle$
proof (*rule injI, rule ccontr*)
fix $x y :: \langle 'a \text{ ell2} \rangle$
assume $eq: \langle x \otimes_s b = y \otimes_s b \rangle$
assume $neg: \langle x \neq y \rangle$
define a **where** $\langle a = x - y \rangle$
from neg a -*def* **have** $neg0: \langle a \neq 0 \rangle$
by *auto*
with $\langle b \neq 0 \rangle$ **have** $\langle a \otimes_s b \neq 0 \rangle$
by (*simp add: tensor-ell2-nonzero*)
then **have** $\langle x \otimes_s b \neq y \otimes_s b \rangle$
unfolding a -*def*
by (*metis add-cancel-left-left diff-add-cancel tensor-ell2-add1*)
with eq **show** *False*
by *auto*
qed

lemma *inj-tensor-ell2-right*: $\langle \text{inj } (\lambda b::'b \text{ ell2}. a \otimes_s b) \rangle$ **if** $\langle a \neq 0 \rangle$ **for** $a :: \langle 'a \text{ ell2} \rangle$
proof (*rule injI, rule ccontr*)
fix $x y :: \langle 'b \text{ ell2} \rangle$
assume $eq: \langle a \otimes_s x = a \otimes_s y \rangle$
assume $neg: \langle x \neq y \rangle$
define b **where** $\langle b = x - y \rangle$
from neg b -*def* **have** $neg0: \langle b \neq 0 \rangle$
by *auto*
with $\langle a \neq 0 \rangle$ **have** $\langle a \otimes_s b \neq 0 \rangle$
by (*simp add: tensor-ell2-nonzero*)
then **have** $\langle a \otimes_s x \neq a \otimes_s y \rangle$
unfolding b -*def*
by (*metis add-cancel-left-left diff-add-cancel tensor-ell2-add2*)
with eq **show** *False*
by *auto*
qed

lemma *inj-tensor-left*: $\langle \text{inj } (\lambda a::'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2}. a \otimes_o b) \rangle$ **if** $\langle b \neq 0 \rangle$ **for** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$
proof (*rule injI, rule ccontr*)
fix $x y :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$
assume $eq: \langle x \otimes_o b = y \otimes_o b \rangle$
assume $neg: \langle x \neq y \rangle$
define a **where** $\langle a = x - y \rangle$
from neg a -*def* **have** $neg0: \langle a \neq 0 \rangle$
by *auto*
with $\langle b \neq 0 \rangle$ **have** $\langle a \otimes_o b \neq 0 \rangle$
by (*simp add: tensor-op-nonzero*)
then **have** $\langle x \otimes_o b \neq y \otimes_o b \rangle$
unfolding a -*def*
by (*metis add-cancel-left-left diff-add-cancel tensor-op-left-add*)

with *eq* show *False*
 by *auto*
 qed

lemma *inj-tensor-right*: $\langle \text{inj } (\lambda b::'b \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2}. a \otimes_o b) \rangle$ if $\langle a \neq 0 \rangle$ for $a :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'd \text{ ell2} \rangle$

proof (*rule injI*, *rule ccontr*)
 fix $x y :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'c \text{ ell2} \rangle$
 assume *eq*: $\langle a \otimes_o x = a \otimes_o y \rangle$
 assume *neq*: $\langle x \neq y \rangle$
 define *b* where $\langle b = x - y \rangle$
 from *neq b-def* have *neq0*: $\langle b \neq 0 \rangle$
 by *auto*
 with $\langle a \neq 0 \rangle$ have $\langle a \otimes_o b \neq 0 \rangle$
 by (*simp add: tensor-op-nonzero*)
 then have $\langle a \otimes_o x \neq a \otimes_o y \rangle$
 unfolding *b-def*
 by (*metis add-cancel-left-left diff-add-cancel tensor-op-right-add*)
 with *eq* show *False*
 by *auto*
 qed

lemma *tensor-ell2-almost-injective*:

assumes $\langle \text{tensor-ell2 } a \ b = \text{tensor-ell2 } c \ d \rangle$
 assumes $\langle a \neq 0 \rangle$
 shows $\langle \exists \gamma. b = \gamma *_C d \rangle$
proof –
 from $\langle a \neq 0 \rangle$ obtain *i* where $\langle \text{cinner } (\text{ket } i) \ a \neq 0 \rangle$
 by (*metis cinner-eq-zero-iff cinner-ket-left ell2-pointwise-ortho*)
 have $\langle \text{cinner } (\text{ket } i \otimes_s \text{ket } j) \ (a \otimes_s b) = \text{cinner } (\text{ket } i \otimes_s \text{ket } j) \ (c \otimes_s d) \rangle$ for *j*
 using *assms* by *simp*
 then have *eq2*: $\langle (\text{cinner } (\text{ket } i) \ a) * (\text{cinner } (\text{ket } j) \ b) = (\text{cinner } (\text{ket } i) \ c) * (\text{cinner } (\text{ket } j) \ d) \rangle$ for *j*
 by (*metis tensor-ell2-inner-prod*)
 then obtain γ where $\langle \text{cinner } (\text{ket } i) \ c = \gamma * \text{cinner } (\text{ket } i) \ a \rangle$
 by (*metis i eq-divide-eq*)
 with *eq2* have $\langle (\text{cinner } (\text{ket } i) \ a) * (\text{cinner } (\text{ket } j) \ b) = (\text{cinner } (\text{ket } i) \ a) * (\gamma * \text{cinner } (\text{ket } j) \ d) \rangle$ for *j*
 by *simp*
 then have $\langle \text{cinner } (\text{ket } j) \ b = \text{cinner } (\text{ket } j) \ (\gamma *_C d) \rangle$ for *j*
 using *i* by *force*
 then have $\langle b = \gamma *_C d \rangle$
 by (*simp add: cinner-ket-eqI*)
 then show *thesis*
 by *auto*
 qed

lemma *tensor-op-almost-injective*:

```

fixes  $a\ c :: \langle 'a\ \text{ell2} \Rightarrow_{CL} 'b\ \text{ell2} \rangle$ 
  and  $b\ d :: \langle 'c\ \text{ell2} \Rightarrow_{CL} 'd\ \text{ell2} \rangle$ 
assumes  $\langle \text{tensor-op } a\ b = \text{tensor-op } c\ d \rangle$ 
assumes  $\langle a \neq 0 \rangle$ 
shows  $\langle \exists \gamma. b = \gamma *_C d \rangle$ 
proof (cases  $\langle d = 0 \rangle$ )
  case False
    from  $\langle a \neq 0 \rangle$  obtain  $\psi$  where  $\psi: \langle a *_V \psi \neq 0 \rangle$ 
      by (metis cblinfun.zero-left cblinfun-eqI)
    have  $\langle (a \otimes_o b) (\psi \otimes_s \varphi) = (c \otimes_o d) (\psi \otimes_s \varphi) \rangle$  for  $\varphi$ 
      using assms by simp
    then have  $\text{eq2}: \langle (a \psi) \otimes_s (b \varphi) = (c \psi) \otimes_s (d \varphi) \rangle$  for  $\varphi$ 
      by (simp add: tensor-op-ell2)
    then have  $\text{eq2}': \langle (d \varphi) \otimes_s (c \psi) = (b \varphi) \otimes_s (a \psi) \rangle$  for  $\varphi$ 
      by (metis swap-ell2-tensor)
    from False obtain  $\varphi 0$  where  $\varphi 0: \langle d \varphi 0 \neq 0 \rangle$ 
      by (metis cblinfun.zero-left cblinfun-eqI)
    obtain  $\gamma$  where  $\langle c \psi = \gamma *_C a \psi \rangle$ 
      apply atomize-elim
      using  $\text{eq2}'\ \varphi 0$  by (rule tensor-ell2-almost-injective)
    with  $\text{eq2}$  have  $\langle (a \psi) \otimes_s (b \varphi) = (a \psi) \otimes_s (\gamma *_C d \varphi) \rangle$  for  $\varphi$ 
      by (simp add: tensor-ell2-scaleC1 tensor-ell2-scaleC2)
    then have  $\langle b \varphi = \gamma *_C d \varphi \rangle$  for  $\varphi$ 
      by (smt (verit, best)  $\psi$  complex-vector.scale-cancel-right tensor-ell2-almost-injective tensor-ell2-nonzero tensor-ell2-scaleC2)
    then have  $\langle b = \gamma *_C d \rangle$ 
      by (simp add: cblinfun-eqI)
    then show ?thesis
      by auto
  next
    case True
    then have  $\langle c \otimes_o d = 0 \rangle$ 
      by (metis add-cancel-right-left tensor-op-right-add)
    then have  $\langle a \otimes_o b = 0 \rangle$ 
      using assms(1) by presburger
    with  $\langle a \neq 0 \rangle$  have  $\langle b = 0 \rangle$ 
      by (meson tensor-op-nonzero)
    then show ?thesis
      by auto
qed

```

```

lemma clinear-tensor-left[simp]:  $\langle \text{clinear } (\lambda a. a \otimes_o b :: -\ \text{ell2} \Rightarrow_{CL} -\ \text{ell2}) \rangle$ 
  apply (rule clinearI)
  apply (rule tensor-op-left-add)
  by (rule tensor-op-scaleC-left)

```

```

lemma clinear-tensor-right[simp]:  $\langle \text{clinear } (\lambda b. a \otimes_o b :: -\ \text{ell2} \Rightarrow_{CL} -\ \text{ell2}) \rangle$ 
  apply (rule clinearI)
  apply (rule tensor-op-right-add)

```

by (rule tensor-op-scaleC-right)

lemma *tensor-op-0-left*[simp]: $\langle \text{tensor-op } 0 \ x = (0 :: ('a*'b) \ \text{ell2} \Rightarrow_{CL} ('c*'d) \ \text{ell2}) \rangle$
apply (rule equal-ket)
by (auto simp flip: tensor-ell2-ket simp: tensor-op-ell2)

lemma *tensor-op-0-right*[simp]: $\langle \text{tensor-op } x \ 0 = (0 :: ('a*'b) \ \text{ell2} \Rightarrow_{CL} ('c*'d) \ \text{ell2}) \rangle$
apply (rule equal-ket)
by (auto simp flip: tensor-ell2-ket simp: tensor-op-ell2)

lemma *bij-tensor-ell2-one-dim-left*:

assumes $\langle \psi \neq 0 \rangle$

shows $\langle \text{bij } (\lambda x :: 'b \ \text{ell2}. (\psi :: 'a :: \text{CARD-1} \ \text{ell2}) \otimes_s x) \rangle$

proof (rule bijI)

show $\langle \text{inj } (\lambda x :: 'b \ \text{ell2}. (\psi :: 'a :: \text{CARD-1} \ \text{ell2}) \otimes_s x) \rangle$

using *assms* **by** (rule inj-tensor-ell2-right)

have $\langle \exists x. \psi \otimes_s x = \varphi \rangle$ **for** $\varphi :: \langle ('a*'b) \ \text{ell2} \rangle$

proof (use *assms* **in** *transfer*)

fix $\psi :: \langle 'a \Rightarrow \text{complex} \rangle$ **and** $\varphi :: \langle 'a*'b \Rightarrow \text{complex} \rangle$

assume $\langle \text{has-ell2-norm } \varphi \rangle$ **and** $\langle \psi \neq (\lambda-. 0) \rangle$

define c **where** $\langle c = \psi \ \text{undefined} \rangle$

then have $\langle \psi \ a = c \rangle$ **for** a

by (*subst everything-the-same*[of - *undefined*]) *simp*

with $\langle \psi \neq (\lambda-. 0) \rangle$ **have** $\langle c \neq 0 \rangle$

by *auto*

define x **where** $\langle x \ j = \varphi \ (\text{undefined}, j) / c \rangle$ **for** j

have $\langle (\lambda(i, j). \psi \ i * x \ j) = \varphi \rangle$

proof (rule *ext*, *safe*)

fix $a :: 'a$ **and** $b :: 'b$

show $\psi \ a * x \ b = \varphi \ (a, b)$

using $\langle c \neq 0 \rangle$ **by** (*simp add: c-def x-def everything-the-same*[of a *undefined*])

qed

moreover have $\langle \text{has-ell2-norm } x \rangle$

proof –

have $\langle (\lambda(i, j). (\varphi \ (i, j))^2) \ \text{abs-summable-on UNIV} \rangle$

using $\langle \text{has-ell2-norm } \varphi \rangle$ *has-ell2-norm-def* **by** *auto*

then have $\langle (\lambda(i, j). (\varphi \ (i, j))^2) \ \text{abs-summable-on Pair undefined ' UNIV} \rangle$

using *summable-on-subset-banach* **by** *blast*

then have $\langle (\lambda j. (\varphi \ (\text{undefined}, j))^2) \ \text{abs-summable-on UNIV} \rangle$

by (*subst (asm) summable-on-reindex*) (*auto simp: o-def inj-def*)

then have $\langle (\lambda j. (\varphi \ (\text{undefined}, j) / c)^2) \ \text{abs-summable-on UNIV} \rangle$

by (*simp add: divide-inverse power-mult-distrib norm-mult summable-on-cmult-left*)

then have $\langle (\lambda j. (x \ j)^2) \ \text{abs-summable-on UNIV} \rangle$

by (*simp add: x-def*)

then show *?thesis*

using *has-ell2-norm-def* **by** *blast*

qed

ultimately show $\langle \exists x \in \text{Collect } \text{has-ell2-norm}. (\lambda(i, j). \psi \ i * x \ j) = \varphi \rangle$

```

    by (intro bexI[where x=x]) auto
qed

then show ⟨surj (λx::'b ell2. (ψ :: 'a::CARD-1 ell2) ⊗s x)⟩
  by (metis surj-def)
qed

lemma bij-tensor-op-one-dim-left:
  fixes a :: ⟨'a::{CARD-1,enum} ell2 ⇒CL 'b::{CARD-1,enum} ell2⟩
  assumes ⟨a ≠ 0⟩
  shows ⟨bij (λx::'c ell2 ⇒CL 'd ell2. a ⊗o x)⟩
proof -
  have [simp]: ⟨bij (Pair (undefined::'a))⟩
  by (rule o-bij[of snd]) auto
  have [simp]: ⟨bij (Pair (undefined::'b))⟩
  by (rule o-bij[of snd]) auto
  define t where ⟨t x = a ⊗o x⟩ for x :: ⟨'c ell2 ⇒CL 'd ell2⟩
  define u :: ⟨'c ell2 ⇒CL ('a×'c) ell2⟩ where ⟨u = classical-operator (Some o Pair undefined)⟩
  define v :: ⟨'d ell2 ⇒CL ('b×'d) ell2⟩ where ⟨v = classical-operator (Some o Pair undefined)⟩
  have [simp]: ⟨unitary u⟩ ⟨unitary v⟩
  by (simp-all add: u-def v-def)
  have u-ket[simp]: ⟨u *V ket x = ket (undefined, x)⟩ for x
  by (simp add: u-def classical-operator-ket classical-operator-exists-inj inj-def)
  have uadj-ket[simp]: ⟨u* *V ket (z, x) = ket x⟩ for x z
  by (subst everything-the-same[of - undefined])
    (metis (no-types, opaque-lifting) u-ket cinner-adj-right cinner-ket-eqI cinner-ket-same
    orthogonal-ket prod.inject)
  have v-ket[simp]: ⟨v *V ket x = ket (undefined, x)⟩ for x
  by (simp add: v-def classical-operator-ket classical-operator-exists-inj inj-def)
  have [simp]: ⟨v *V x = ket undefined ⊗s x⟩ for x
  by (rule fun-cong[where x=x], rule bounded-clinear-equal-ket)
    (auto simp add: bounded-clinear-tensor-ell21 cblinfun.bounded-clinear-right tensor-ell2-ket)
  define a' :: complex where ⟨a' = one-dim-iso a⟩
  from assms have ⟨a' ≠ 0⟩
  using a'-def one-dim-iso-of-zero' by auto
  have a-a': ⟨a = of-complex a'⟩
  by (simp add: a'-def)
  have ⟨t x *V ket (i,j) = (a' *C v oCL x oCL u*) *V ket (i,j)⟩ for x i j
  apply (simp add: t-def)
  apply (simp add: ket-CARD-1-is-1 tensor-op-ell2 flip: tensor-ell2-ket)
  by (metis a'-def one-cblinfun-apply-one one-dim-scaleC-1 scaleC-cblinfun.rep-eq tensor-ell2-scaleC1)

then have t: ⟨t x = (a' *C v oCL x oCL u*)⟩ for x
  by (intro equal-ket) auto
  define s where ⟨s y = (inverse a' *C (v)* oCL y oCL u)⟩ for y
  have ⟨s (t x) = (a' * inverse a') *C (((v)* oCL v) oCL x oCL (u* oCL u))⟩ for x
  apply (simp add: s-def t cblinfun-compose-assoc)
  by (simp flip: cblinfun-compose-assoc)?
  also have ⟨... x = x⟩ for x

```

```

    using ⟨a' ≠ 0⟩ by simp
  finally have ⟨s o t = id⟩
    by auto
  have ⟨t (s y) = (a' * inverse a') *C ((v oCL (v*)) oCL y oCL (u oCL u*))⟩ for y
    apply (simp add: s-def t cblinfun-compose-assoc)
    by (simp flip: cblinfun-compose-assoc)?
  also have ⟨... y = y⟩ for y
    using ⟨a' ≠ 0⟩ by simp
  finally have ⟨t o s = id⟩
    by auto
  from ⟨s o t = id⟩ ⟨t o s = id⟩
  show ⟨bij t⟩
    using o-bij by blast
qed

```

lemma *bij-tensor-op-one-dim-right*:

```

  assumes ⟨b ≠ 0⟩
  shows ⟨bij (λx::'c ell2 ⇒CL 'd ell2. x ⊗o (b :: 'a::{CARD-1,enum} ell2 ⇒CL 'b::{CARD-1,enum} ell2))⟩
    (is ⟨bij ?f⟩)

```

proof –

```

  let ?sf = ⟨(λx. swap-ell2 oCL (?f x) oCL swap-ell2)⟩
  let ?s = ⟨(λx. swap-ell2 oCL x oCL swap-ell2)⟩
  let ?g = ⟨(λx::'c ell2 ⇒CL 'd ell2. (b :: 'a::{CARD-1,enum} ell2 ⇒CL 'b::{CARD-1,enum} ell2) ⊗o x)⟩
  have ⟨?sf = ?g⟩
    by (auto intro!: ext tensor-ell2-extensionality simp add: swap-ell2-tensor tensor-op-ell2)
  have ⟨bij ?g⟩
    using assms by (rule bij-tensor-op-one-dim-left)
  have ⟨?s o ?sf = ?f⟩
    apply (auto intro!: ext simp: cblinfun-assoc-left)
    by (auto simp: cblinfun-assoc-right)?
  also have ⟨bij ?s⟩
    apply (rule o-bij[where g=⟨(λx. swap-ell2 oCL x oCL swap-ell2)⟩])
    apply (auto intro!: ext simp: cblinfun-assoc-left)
    by (auto simp: cblinfun-assoc-right)?
  show ⟨bij ?f⟩
    apply (subst ⟨?s o ?sf = ?f⟩[symmetric], subst ⟨?sf = ?g⟩)
    using ⟨bij ?g⟩ ⟨bij ?s⟩ by (rule bij-comp)

```

qed

lemma *overlapping-tensor*:

```

  fixes a23 :: ⟨('a2*'a3) ell2 ⇒CL ('b2*'b3) ell2⟩
    and b12 :: ⟨('a1*'a2) ell2 ⇒CL ('b1*'b2) ell2⟩
  assumes eq: ⟨butterfly ψ ψ' ⊗o a23 = assoc-ell2 oCL (b12 ⊗o butterfly φ φ') oCL assoc-ell2*⟩
  assumes ⟨ψ ≠ 0⟩ ⟨ψ' ≠ 0⟩ ⟨φ ≠ 0⟩ ⟨φ' ≠ 0⟩
  shows ⟨∃ c. butterfly ψ ψ' ⊗o a23 = butterfly ψ ψ' ⊗o c ⊗o butterfly φ φ'⟩

```

proof –

```

  let ?id1 = ⟨id-cblinfun :: unit ell2 ⇒CL unit ell2⟩

```

```

note id-cblinfun-eq-1 [simp del]
define d where ⟨d = butterfly ψ ψ' ⊗o a23⟩

define ψn ψ'n a23n where ⟨ψn = ψ /C norm ψ⟩ and ⟨ψ'n = ψ' /C norm ψ'⟩ and ⟨a23n =
norm ψ *C norm ψ' *C a23⟩
have [simp]: ⟨norm ψn = 1⟩ ⟨norm ψ'n = 1⟩
  using ⟨ψ ≠ 0⟩ ⟨ψ' ≠ 0⟩ by (auto simp: ψn-def ψ'n'-def norm-inverse)
have n1: ⟨butterfly ψn ψ'n' ⊗o a23n = butterfly ψ ψ' ⊗o a23⟩
  by (auto simp: ψn-def ψ'n'-def a23n-def tensor-op-scaleC-left tensor-op-scaleC-right field-simps)

define φn φ'n b12n where ⟨φn = φ /C norm φ⟩ and ⟨φ'n = φ' /C norm φ'⟩ and ⟨b12n =
norm φ *C norm φ' *C b12⟩
have [simp]: ⟨norm φn = 1⟩ ⟨norm φ'n = 1⟩
  using ⟨φ ≠ 0⟩ ⟨φ' ≠ 0⟩ by (auto simp: φn-def φ'n'-def norm-inverse)
have n2: ⟨b12n ⊗o butterfly φn φ'n' = b12 ⊗o butterfly φ φ'⟩
  by (auto simp: φn-def φ'n'-def b12n-def tensor-op-scaleC-left tensor-op-scaleC-right field-simps)

define c' :: ⟨(unit*'a2*unit) ell2 ⇒CL (unit*'b2*unit) ell2⟩
  where ⟨c' = (vector-to-cblinfun ψn ⊗o id-cblinfun ⊗o vector-to-cblinfun φn)* oCL d
  oCL (vector-to-cblinfun ψ'n' ⊗o id-cblinfun ⊗o vector-to-cblinfun φn')⟩

define c'' :: ⟨'a2 ell2 ⇒CL 'b2 ell2⟩
  where ⟨c'' = inv (λc''. id-cblinfun ⊗o c'' ⊗o id-cblinfun) c'⟩

have *: ⟨bij (λc'::'a2 ell2 ⇒CL 'b2 ell2. ?id1 ⊗o c'' ⊗o ?id1)⟩
  by (subst asm-rl[of <- = (λx. id-cblinfun ⊗o x) o (λc''. c'' ⊗o id-cblinfun)]
  (auto intro!: bij-comp bij-tensor-op-one-dim-left bij-tensor-op-one-dim-right))

have c'-c'': ⟨c' = ?id1 ⊗o c'' ⊗o ?id1⟩
  unfolding c''-def
  by (rule surj-f-inv-f[where y=c', symmetric]) (use * in ⟨rule bij-is-surj⟩)

define c :: ⟨'a2 ell2 ⇒CL 'b2 ell2⟩
  where ⟨c = c'' /C norm ψ /C norm ψ' /C norm φ /C norm φ'⟩

have aux: ⟨assoc-ell2* oCL (assoc-ell2 oCL x oCL assoc-ell2*) oCL assoc-ell2 = x⟩ for x
  apply (simp add: cblinfun-assoc-left)
  by (simp add: cblinfun-assoc-right)?
have aux2: ⟨(assoc-ell2 oCL ((x ⊗o y) ⊗o z) oCL assoc-ell2*) = x ⊗o (y ⊗o z)⟩ for x y z
  by (intro equal-ket) (auto simp flip: tensor-ell2-ket simp: assoc-ell2'-tensor assoc-ell2-tensor
  tensor-op-ell2)

have ⟨d = (butterfly ψn ψn ⊗o id-cblinfun) oCL d oCL (butterfly ψ'n' ψ'n' ⊗o id-cblinfun)⟩
  by (auto simp: d-def n1[symmetric] comp-tensor-op cnorm-eq-1[THEN iffD1])
also have ⟨... = (butterfly ψn ψn ⊗o id-cblinfun) oCL assoc-ell2 oCL (b12n ⊗o butterfly φn
  φn')
  oCL assoc-ell2* oCL (butterfly ψ'n' ψ'n' ⊗o id-cblinfun)⟩
  by (auto simp: d-def eq n2 cblinfun-assoc-left)
also have ⟨... = (butterfly ψn ψn ⊗o id-cblinfun) oCL assoc-ell2 oCL

```

$((id\text{-}cblinfun \otimes_o butterfly \varphi_n \varphi_n) o_{CL} (b12_n \otimes_o butterfly \varphi_n \varphi_n') o_{CL} (id\text{-}cblinfun \otimes_o butterfly \varphi_n' \varphi_n'))$
 $o_{CL} assoc\text{-}ell2* o_{CL} (butterfly \psi_n' \psi_n' \otimes_o id\text{-}cblinfun)$
by *(auto simp: comp-tensor-op cnorm-eq-1[THEN iffD1])*
also have $\langle \dots = (butterfly \psi_n \psi_n \otimes_o id\text{-}cblinfun) o_{CL} assoc\text{-}ell2 o_{CL} ((id\text{-}cblinfun \otimes_o butterfly \varphi_n \varphi_n) o_{CL} (assoc\text{-}ell2* o_{CL} d o_{CL} assoc\text{-}ell2) o_{CL} (id\text{-}cblinfun \otimes_o butterfly \varphi_n' \varphi_n'))$
 $o_{CL} assoc\text{-}ell2* o_{CL} (butterfly \psi_n' \psi_n' \otimes_o id\text{-}cblinfun)$
by *(auto simp: d-def n2 eq aux)*
also have $\langle \dots = ((butterfly \psi_n \psi_n \otimes_o id\text{-}cblinfun) o_{CL} (assoc\text{-}ell2 o_{CL} (id\text{-}cblinfun \otimes_o butterfly \varphi_n \varphi_n) o_{CL} assoc\text{-}ell2*))$
 $o_{CL} d o_{CL} ((assoc\text{-}ell2 o_{CL} (id\text{-}cblinfun \otimes_o butterfly \varphi_n' \varphi_n') o_{CL} assoc\text{-}ell2*) o_{CL} (butterfly \psi_n' \psi_n' \otimes_o id\text{-}cblinfun))$
by *(auto simp: sandwich-def cblinfun-assoc-left)*
also have $\langle \dots = (butterfly \psi_n \psi_n \otimes_o id\text{-}cblinfun \otimes_o butterfly \varphi_n \varphi_n) o_{CL} d o_{CL} (butterfly \psi_n' \psi_n' \otimes_o id\text{-}cblinfun \otimes_o butterfly \varphi_n' \varphi_n')$
apply *(simp only: tensor-id[symmetric] comp-tensor-op aux2)*
by *(simp add: cnorm-eq-1[THEN iffD1])*
also have $\langle \dots = (vector\text{-}to\text{-}cblinfun \psi_n \otimes_o id\text{-}cblinfun \otimes_o vector\text{-}to\text{-}cblinfun \varphi_n) o_{CL} c' o_{CL} (vector\text{-}to\text{-}cblinfun \psi_n' \otimes_o id\text{-}cblinfun \otimes_o vector\text{-}to\text{-}cblinfun \varphi_n')^*$
apply *(simp add: c'-def butterfly-def-one-dim[where 'c=unit ell2] cblinfun-assoc-left comp-tensor-op tensor-op-adjoint cnorm-eq-1[THEN iffD1])*
by *(simp add: cblinfun-assoc-right comp-tensor-op)*
also have $\langle \dots = butterfly \psi_n \psi_n' \otimes_o c'' \otimes_o butterfly \varphi_n \varphi_n' \rangle$
by *(simp add: c'-c'' comp-tensor-op tensor-op-adjoint butterfly-def-one-dim[symmetric])*
also have $\langle \dots = butterfly \psi \psi' \otimes_o c \otimes_o butterfly \varphi \varphi' \rangle$
by *(simp add: $\psi_n\text{-}def \psi_n'\text{-}def \varphi_n\text{-}def \varphi_n'\text{-}def c\text{-}def tensor\text{-}op\text{-}scaleC\text{-}left tensor\text{-}op\text{-}scaleC\text{-}right$)*
finally have $d\text{-}c: \langle d = butterfly \psi \psi' \otimes_o c \otimes_o butterfly \varphi \varphi' \rangle$
by –
then show *?thesis*
by *(auto simp: d-def)*
qed

lemma *tensor-op-pos*: $\langle a \otimes_o b \geq 0 \rangle$ **if** *[simp]*: $\langle a \geq 0 \rangle \langle b \geq 0 \rangle$
for $a :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2} \rangle$ **and** $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$
– [8, Lemma 18]

proof –

have $\langle (sqrt\text{-}op a \otimes_o sqrt\text{-}op b)^* o_{CL} (sqrt\text{-}op a \otimes_o sqrt\text{-}op b) = a \otimes_o b \rangle$
by *(simp add: tensor-op-adjoint comp-tensor-op positive-hermitianI)*
then show $\langle a \otimes_o b \geq 0 \rangle$
by *(metis positive-cblinfun-squareI)*

qed

lemma *abs-op-tensor*: $\langle abs\text{-}op (a \otimes_o b) = abs\text{-}op a \otimes_o abs\text{-}op b \rangle$
– [8, Lemma 18]

proof –

have $\langle (abs\text{-}op a \otimes_o abs\text{-}op b)^* o_{CL} (abs\text{-}op a \otimes_o abs\text{-}op b) = (a \otimes_o b)^* o_{CL} (a \otimes_o b) \rangle$
by *(simp add: tensor-op-adjoint comp-tensor-op abs-op-def positive-cblinfun-squareI posi-*

tive-hermitianI
then show *?thesis*
by (*metis abs-opI abs-op-pos tensor-op-pos*)
qed

lemma *trace-class-tensor*: $\langle \text{trace-class } (a \otimes_o b) \rangle$ **if** $\langle \text{trace-class } a \rangle$ **and** $\langle \text{trace-class } b \rangle$
— [8, Lemma 32]
proof —
from $\langle \text{trace-class } a \rangle$
have a : $\langle (\lambda x. \text{ket } x \cdot_C (\text{abs-op } a *_{\mathcal{V}} \text{ket } x)) \text{ abs-summable-on } UNIV \rangle$
by (*auto simp add: trace-class-iff-summable[OF is-onb-ket] summable-on-reindex o-def*)
from $\langle \text{trace-class } b \rangle$
have b : $\langle (\lambda y. \text{ket } y \cdot_C (\text{abs-op } b *_{\mathcal{V}} \text{ket } y)) \text{ abs-summable-on } UNIV \rangle$
by (*auto simp add: trace-class-iff-summable[OF is-onb-ket] summable-on-reindex o-def*)
from a b **have** $\langle (\lambda(x,y). (\text{ket } x \cdot_C (\text{abs-op } a *_{\mathcal{V}} \text{ket } x)) * (\text{ket } y \cdot_C (\text{abs-op } b *_{\mathcal{V}} \text{ket } y))) \text{ abs-summable-on } UNIV \times UNIV \rangle$
by (*rule abs-summable-times*)
then have $\langle (\lambda(x,y). (\text{ket } x \otimes_s \text{ket } y) \cdot_C ((\text{abs-op } a \otimes_o \text{abs-op } b) *_{\mathcal{V}} (\text{ket } x \otimes_s \text{ket } y))) \text{ abs-summable-on } UNIV \times UNIV \rangle$
by (*simp add: tensor-op-ell2 case-prod-unfold flip: tensor-ell2-ket*)
then have $\langle (\lambda xy. \text{ket } xy \cdot_C ((\text{abs-op } a \otimes_o \text{abs-op } b) *_{\mathcal{V}} \text{ket } xy)) \text{ abs-summable-on } UNIV \rangle$
by (*simp add: case-prod-beta tensor-ell2-ket*)
then have $\langle (\lambda xy. \text{ket } xy \cdot_C (\text{abs-op } (a \otimes_o b) *_{\mathcal{V}} \text{ket } xy)) \text{ abs-summable-on } UNIV \rangle$
by (*simp add: abs-op-tensor*)
then show $\langle \text{trace-class } (a \otimes_o b) \rangle$
by (*auto simp add: trace-class-iff-summable[OF is-onb-ket] summable-on-reindex o-def*)
qed

lemma *swap-tensor-op[simp]*: $\langle \text{swap-ell2 } o_{CL} (a \otimes_o b) o_{CL} \text{swap-ell2} = b \otimes_o a \rangle$
by (*auto intro!: equal-ket simp add: tensor-op-ell2 simp flip: tensor-ell2-ket*)

lemma *swap-tensor-op-sandwich[simp]*: $\langle \text{sandwich swap-ell2 } (a \otimes_o b) = b \otimes_o a \rangle$
by (*simp add: sandwich-apply*)

lemma *swap-ell2-commute-tensor-op*:
 $\langle \text{swap-ell2 } o_{CL} (a \otimes_o b) = (b \otimes_o a) o_{CL} \text{swap-ell2} \rangle$
by (*auto intro!: tensor-ell2-extensionality simp: tensor-op-ell2*)

lemma *trace-class-tensor-op-swap*: $\langle \text{trace-class } (a \otimes_o b) \iff \text{trace-class } (b \otimes_o a) \rangle$
proof (*rule iffI*)
assume $\langle \text{trace-class } (a \otimes_o b) \rangle$
then have $\langle \text{trace-class } (\text{swap-ell2 } o_{CL} (a \otimes_o b) o_{CL} \text{swap-ell2}) \rangle$
using *trace-class-comp-left trace-class-comp-right* **by** *blast*
then show $\langle \text{trace-class } (b \otimes_o a) \rangle$
by *simp*
next
assume $\langle \text{trace-class } (b \otimes_o a) \rangle$
then have $\langle \text{trace-class } (\text{swap-ell2 } o_{CL} (b \otimes_o a) o_{CL} \text{swap-ell2}) \rangle$
using *trace-class-comp-left trace-class-comp-right* **by** *blast*

then show $\langle \text{trace-class } (a \otimes_o b) \rangle$
by simp
qed

lemma trace-class-tensor-iff: $\langle \text{trace-class } (a \otimes_o b) \longleftrightarrow (\text{trace-class } a \wedge \text{trace-class } b) \vee a = 0 \vee b = 0 \rangle$
proof (*intro iffI*)
show $\langle \text{trace-class } a \wedge \text{trace-class } b \vee a = 0 \vee b = 0 \implies \text{trace-class } (a \otimes_o b) \rangle$
by (*auto simp add: trace-class-tensor*)
show $\langle \text{trace-class } a \wedge \text{trace-class } b \vee a = 0 \vee b = 0 \rangle$ **if** $\langle \text{trace-class } (a \otimes_o b) \rangle$
proof (*cases* $\langle a = 0 \vee b = 0 \rangle$)
case True
then show *?thesis*
by simp
next
case False
then have $\langle a \neq 0 \rangle$ **and** $\langle b \neq 0 \rangle$
by auto
have $*$: $\langle \text{trace-class } a \rangle$ **if** $\langle \text{trace-class } (a \otimes_o b) \rangle$ **and** $\langle b \neq 0 \rangle$ **for** $a :: \langle 'e \text{ ell2} \Rightarrow_{CL} 'g \text{ ell2} \rangle$
and $b :: \langle 'f \text{ ell2} \Rightarrow_{CL} 'h \text{ ell2} \rangle$
proof –
from $\langle b \neq 0 \rangle$ **have** $\langle \text{abs-op } b \neq 0 \rangle$
using *abs-op-nondegenerate* **by** *blast*
then obtain $\psi 0$ **where** $\psi 0: \langle \psi 0 \cdot_C (\text{abs-op } b *_V \psi 0) \neq 0 \rangle$
by (*metis cblinfun.zero-left cblinfun-cinner-eqI cinner-zero-right*)
define ψ **where** $\langle \psi = \text{sgn } \psi 0 \rangle$
with $\psi 0$ **have** $\langle \psi \cdot_C (\text{abs-op } b *_V \psi) \neq 0 \rangle$ **and** $\langle \text{norm } \psi = 1 \rangle$
by (*auto simp add: ψ -def norm-sgn sgn-div-norm cblinfun.scaleR-right field-simps*)
then have $\langle \exists B. \{\psi\} \subseteq B \wedge \text{is-onb } B \rangle$
by (*intro orthonormal-basis-exists*) *auto*
then obtain B **where** [*simp*]: $\langle \text{is-onb } B \rangle$ **and** $\langle \psi \in B \rangle$
by auto
define $A :: \langle 'e \text{ ell2 set} \rangle$ **where** $\langle A = \text{range } \text{ket} \rangle$
then have [*simp*]: $\langle \text{is-onb } A \rangle$ **by** *simp*
with $\langle \text{is-onb } B \rangle$ **have** $\langle \text{is-onb } \{\alpha \otimes_s \beta \mid \alpha \beta. \alpha \in A \wedge \beta \in B\} \rangle$
by (*simp add: tensor-ell2-is-onb*)
with $\langle \text{trace-class } (a \otimes_o b) \rangle$
have $\langle (\lambda \gamma. \gamma \cdot_C (\text{abs-op } (a \otimes_o b) *_V \gamma)) \text{ abs-summable-on } \{\alpha \otimes_s \beta \mid \alpha \beta. \alpha \in A \wedge \beta \in B\} \rangle$
using *trace-class-iff-summable* **by** *auto*
then have $\langle (\lambda \gamma. \gamma \cdot_C (\text{abs-op } (a \otimes_o b) *_V \gamma)) \text{ abs-summable-on } (\lambda \alpha. \alpha \otimes_s \psi) \text{ ' } A \rangle$
by (*rule summable-on-subset-banach*) (*use* $\langle \psi \in B \rangle$) **in** *blast*
then have $\langle (\lambda \alpha. (\alpha \otimes_s \psi) \cdot_C (\text{abs-op } (a \otimes_o b) *_V (\alpha \otimes_s \psi))) \text{ abs-summable-on } A \rangle$
proof (*subst (asm) summable-on-reindex*)
show *inj-on* $(\lambda \alpha. \alpha \otimes_s \psi) A$
by (*metis UNIV-I*) $\langle \text{norm } \psi = 1 \rangle$ *inj-on-subset inj-tensor-ell2-left norm-le-zero-iff not-one-le-zero subset-iff*)
qed (*simp-all add: o-def*)
then have $\langle (\lambda \alpha. \text{norm } (\alpha \cdot_C (\text{abs-op } a *_V \alpha)) * \text{norm } (\psi \cdot_C (\text{abs-op } b *_V \psi))) \text{ summable-on}$

A)

```

by (simp add: abs-op-tensor tensor-op-ell2 norm-mult)
then have  $\langle (\lambda \alpha. \alpha \cdot_C (\text{abs-op } a *_V \alpha)) \text{ abs-summable-on } A \rangle$ 
by (rule summable-on-cmult-left'[THEN iffD1, rotated])
      (use  $\langle \psi \cdot_C (\text{abs-op } b *_V \psi) \neq 0 \rangle \text{ norm-eq-zero in } \langle \text{blast} \rangle$ )
then show  $\langle \text{trace-class } a \rangle$ 
      using  $\langle \text{is-onb } A \rangle \text{ trace-classI by blast}$ 
qed
from  $*[\text{of } a \ b] \langle b \neq 0 \rangle \langle \text{trace-class } (a \otimes_o b) \rangle$  have  $\langle \text{trace-class } a \rangle$ 
by simp
have  $\langle \text{trace-class } (b \otimes_o a) \rangle$ 
      using that trace-class-tensor-op-swap by blast
from  $*[\text{of } b \ a] \langle a \neq 0 \rangle \langle \text{trace-class } (b \otimes_o a) \rangle$  have  $\langle \text{trace-class } b \rangle$ 
by simp
from  $\langle \text{trace-class } a \rangle \langle \text{trace-class } b \rangle$  show ?thesis
by simp
qed
qed

```

lemma trace-tensor: $\langle \text{trace } (a \otimes_o b) = \text{trace } a * \text{trace } b \rangle$

— [8, Lemma 32]

proof —

consider (tc) $\langle \text{trace-class } a \rangle \langle \text{trace-class } b \rangle \mid (\text{zero}) \langle a = 0 \vee b = 0 \rangle \mid (\text{nota}) \langle a \neq 0 \rangle \langle b \neq 0 \rangle$
 $\langle \neg \text{trace-class } a \rangle \mid (\text{notb}) \langle a \neq 0 \rangle \langle b \neq 0 \rangle \langle \neg \text{trace-class } b \rangle$

by blast

then show ?thesis

proof cases

case tc

then have $*$: $\langle \text{trace-class } (a \otimes_o b) \rangle$

by (simp add: trace-class-tensor)

have sum: $\langle (\lambda(x, y). \text{ket } (x, y) \cdot_C ((a \otimes_o b) *_V \text{ket } (x, y))) \text{ summable-on } UNIV \rangle$

using trace-exists[OF is-onb-ket $*$]

by (simp-all add: o-def case-prod-unfold summable-on-reindex)

have $\langle \text{trace } a * \text{trace } b = (\sum_{\infty x}. \sum_{\infty y}. \text{ket } x \cdot_C (a *_V \text{ket } x) * (\text{ket } y \cdot_C (b *_V \text{ket } y))) \rangle$

apply (simp add: trace-ket-sum tc flip: infsum-cmult-left')

by (simp flip: infsum-cmult-right')

also have $\langle \dots = (\sum_{\infty x}. \sum_{\infty y}. \text{ket } (x, y) \cdot_C ((a \otimes_o b) *_V \text{ket } (x, y))) \rangle$

by (simp add: tensor-op-ell2 flip: tensor-ell2-ket)

also have $\langle \dots = (\sum_{\infty xy \in UNIV}. \text{ket } xy \cdot_C ((a \otimes_o b) *_V \text{ket } xy)) \rangle$

apply (simp add: sum infsum-Sigma'-banach)

by (simp add: case-prod-unfold)

also have $\langle \dots = \text{trace } (a \otimes_o b) \rangle$

by (simp add: $*$ trace-ket-sum)

finally show ?thesis

by simp

next

case zero

```

    then show ?thesis by auto
  next
  case nota
  then have [simp]: ⟨trace a = 0⟩
    unfolding trace-def by simp
  from nota have ⟨¬ trace-class (a ⊗o b)⟩
    by (simp add: trace-class-tensor-iff)
  then have [simp]: ⟨trace (a ⊗o b) = 0⟩
    unfolding trace-def by simp
  show ?thesis
    by simp
  next
  case notb
  then have [simp]: ⟨trace b = 0⟩
    unfolding trace-def by simp
  from notb have ⟨¬ trace-class (a ⊗o b)⟩
    by (simp add: trace-class-tensor-iff)
  then have [simp]: ⟨trace (a ⊗o b) = 0⟩
    unfolding trace-def by simp
  show ?thesis
    by simp
qed
qed

```

lemma isometry-tensor-op: $\langle \text{isometry } (U \otimes_o V) \rangle$ **if** $\langle \text{isometry } U \rangle$ **and** $\langle \text{isometry } V \rangle$
unfolding isometry-def using that by (simp add: tensor-op-adjoint comp-tensor-op)

lemma is-Proj-tensor-op: $\langle \text{is-Proj } a \implies \text{is-Proj } b \implies \text{is-Proj } (a \otimes_o b) \rangle$
by (simp add: comp-tensor-op is-Proj-algebraic tensor-op-adjoint)

```

lemma isometry-tensor-id-right[simp]:
  fixes U :: ⟨'a ell2 ⇒CL 'b ell2⟩
  shows ⟨isometry (U ⊗o (id-cblinfun :: 'c ell2 ⇒CL -)) ⟷ isometry U⟩
proof (rule iffI)
  assume ⟨isometry U⟩
  then show ⟨isometry (U ⊗o id-cblinfun)⟩
    unfolding isometry-def
    by (auto simp add: tensor-op-adjoint comp-tensor-op)
next
  let ?id = ⟨id-cblinfun :: 'c ell2 ⇒CL -⟩
  assume asm: ⟨isometry (U ⊗o ?id)⟩
  then have ⟨(U* oCL U) ⊗o ?id = id-cblinfun ⊗o ?id⟩
    by (simp add: isometry-def tensor-op-adjoint comp-tensor-op)
  then have ⟨U* oCL U = id-cblinfun⟩
    by (rule inj-tensor-left[of ?id, unfolded inj-def, rule-format, rotated]) simp
  then show ⟨isometry U⟩
    by (simp add: isometry-def)
qed

```

```

lemma isometry-tensor-id-left[simp]:
  fixes  $U :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$ 
  shows  $\langle \text{isometry } ((\text{id-cblinfun} :: 'c \text{ ell2} \Rightarrow_{CL} -) \otimes_o U) \longleftrightarrow \text{isometry } U \rangle$ 
proof (rule iffI)
  assume  $\langle \text{isometry } U \rangle$ 
  then show  $\langle \text{isometry } (\text{id-cblinfun} \otimes_o U) \rangle$ 
    unfolding isometry-def
    by (auto simp add: tensor-op-adjoint comp-tensor-op)
next
  let  $?id = \langle \text{id-cblinfun} :: 'c \text{ ell2} \Rightarrow_{CL} - \rangle$ 
  assume asm:  $\langle \text{isometry } (?id \otimes_o U) \rangle$ 
  then have  $\langle ?id \otimes_o (U * o_{CL} U) = ?id \otimes_o \text{id-cblinfun} \rangle$ 
    by (simp add: isometry-def tensor-op-adjoint comp-tensor-op)
  then have  $\langle U * o_{CL} U = \text{id-cblinfun} \rangle$ 
    by (rule inj-tensor-right[of ?id, unfolded inj-def, rule-format, rotated]) simp
  then show  $\langle \text{isometry } U \rangle$ 
    by (simp add: isometry-def)
qed

lemma unitary-tensor-id-right[simp]:  $\langle \text{unitary } (U \otimes_o \text{id-cblinfun}) \longleftrightarrow \text{unitary } U \rangle$ 
  unfolding unitary-twosided-isometry
  by (simp add: tensor-op-adjoint)

lemma unitary-tensor-id-left[simp]:  $\langle \text{unitary } (\text{id-cblinfun} \otimes_o U) \longleftrightarrow \text{unitary } U \rangle$ 
  unfolding unitary-twosided-isometry
  by (simp add: tensor-op-adjoint)

lemma sandwich-tensor-op:  $\langle \text{sandwich } (a \otimes_o b) (c \otimes_o d) = \text{sandwich } a \ c \otimes_o \text{sandwich } b \ d \rangle$ 
  by (simp add: sandwich-apply tensor-op-adjoint flip: cblinfun-compose-assoc comp-tensor-op)

lemma sandwich-assoc-ell2-tensor-op[simp]:  $\langle \text{sandwich } \text{assoc-ell2 } ((a \otimes_o b) \otimes_o c) = a \otimes_o (b \otimes_o c) \rangle$ 
  by (auto intro!: tensor-ell2-extensionality3)
  simp: sandwich-apply assoc-ell2'-tensor assoc-ell2-tensor tensor-op-ell2)

lemma unitary-tensor-op:  $\langle \text{unitary } (a \otimes_o b) \rangle$  if [simp]:  $\langle \text{unitary } a \rangle \langle \text{unitary } b \rangle$ 
  by (auto intro!: unitaryI simp add: tensor-op-adjoint comp-tensor-op)

lemma tensor-ell2-right-butterfly:  $\langle \text{tensor-ell2-right } \psi \ o_{CL} \text{ tensor-ell2-right } \varphi * = \text{id-cblinfun} \otimes_o \text{butterfly } \psi \ \varphi \rangle$ 
  by (auto intro!: equal-ket cinner-ket-eqI simp: tensor-op-ell2 simp flip: tensor-ell2-ket)
lemma tensor-ell2-left-butterfly:  $\langle \text{tensor-ell2-left } \psi \ o_{CL} \text{ tensor-ell2-left } \varphi * = \text{butterfly } \psi \ \varphi \otimes_o \text{id-cblinfun} \rangle$ 
  by (auto intro!: equal-ket cinner-ket-eqI simp: tensor-op-ell2 simp flip: tensor-ell2-ket)

lift-definition tc-tensor ::  $\langle ('a \text{ ell2}, 'b \text{ ell2}) \text{ trace-class} \Rightarrow ('c \text{ ell2}, 'd \text{ ell2}) \text{ trace-class} \Rightarrow (('a \times 'c) \text{ ell2}, ('b \times 'd) \text{ ell2}) \text{ trace-class} \rangle$  is
  tensor-op

```

by (auto intro!: trace-class-tensor)

lemma trace-norm-tensor: $\langle \text{trace-norm } (a \otimes_o b) = \text{trace-norm } a * \text{trace-norm } b \rangle$
by (rule of-real-hom.injectivity[where 'a=complex])
(simp add: abs-op-tensor trace-tensor flip: trace-abs-op)

lemma bounded-cbilinear-tc-tensor: $\langle \text{bounded-cbilinear tc-tensor} \rangle$

unfolding bounded-cbilinear-def

by transfer

(auto intro!: exI[of - 1])

simp: trace-norm-tensor tensor-op-left-add tensor-op-right-add tensor-op-scaleC-left

tensor-op-scaleC-right)

lemmas bounded-clinear-tc-tensor-left[bounded-clinear] = bounded-cbilinear.bounded-clinear-left[OF bounded-cbilinear-tc-tensor]

lemmas bounded-clinear-tc-tensor-right[bounded-clinear] = bounded-cbilinear.bounded-clinear-right[OF bounded-cbilinear-tc-tensor]

lemma tc-tensor-scaleC-left: $\langle \text{tc-tensor } (c *_C a) b = c *_C \text{tc-tensor } a b \rangle$

by transfer' (simp add: tensor-op-scaleC-left)

lemma tc-tensor-scaleC-right: $\langle \text{tc-tensor } a (c *_C b) = c *_C \text{tc-tensor } a b \rangle$

by transfer' (simp add: tensor-op-scaleC-right)

lemma comp-tc-tensor: $\langle \text{tc-compose } (\text{tc-tensor } a b) (\text{tc-tensor } c d) = \text{tc-tensor } (\text{tc-compose } a c) (\text{tc-compose } b d) \rangle$

by transfer' (rule comp-tensor-op)

lemma norm-tc-tensor: $\langle \text{norm } (\text{tc-tensor } a b) = \text{norm } a * \text{norm } b \rangle$

by (transfer', rule of-real-hom.injectivity[where 'a=complex])

(simp add: abs-op-tensor trace-tensor flip: trace-abs-op)

lemma tc-tensor-pos: $\langle \text{tc-tensor } a b \geq 0 \rangle$ if $\langle a \geq 0 \rangle$ and $\langle b \geq 0 \rangle$

for $a :: \langle ('a \text{ ell2}, 'a \text{ ell2}) \text{ trace-class} \rangle$ and $b :: \langle ('b \text{ ell2}, 'b \text{ ell2}) \text{ trace-class} \rangle$

using that by transfer' (rule tensor-op-pos)

interpretation tensor-op-cbilinear: bounded-cbilinear tensor-op

by simp

lemma tensor-op-mono-left:

fixes $a :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2} \rangle$ and $c :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$

assumes $\langle a \leq b \rangle$ and $\langle c \geq 0 \rangle$

shows $\langle a \otimes_o c \leq b \otimes_o c \rangle$

proof –

have $\langle b - a \geq 0 \rangle$

by (simp add: assms(1))

with $\langle c \geq 0 \rangle$ have $\langle (b - a) \otimes_o c \geq 0 \rangle$

by (intro tensor-op-pos)

then have $\langle b \otimes_o c - a \otimes_o c \geq 0 \rangle$

by (simp add: tensor-op-cbilinear.diff-left)
 then show ?thesis
 by simp
 qed

lemma tensor-op-mono-right:

fixes $a :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2} \rangle$ and $b :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$
 assumes $\langle b \leq c \rangle$ and $\langle a \geq 0 \rangle$
 shows $\langle a \otimes_o b \leq a \otimes_o c \rangle$

proof –

have $\langle c - b \geq 0 \rangle$
 by (simp add: assms(1))
 with $\langle a \geq 0 \rangle$ have $\langle a \otimes_o (c - b) \geq 0 \rangle$
 by (intro tensor-op-pos)
 then have $\langle a \otimes_o c - a \otimes_o b \geq 0 \rangle$
 by (simp add: tensor-op-cbilinear.diff-right)
 then show ?thesis
 by simp

qed

lemma tensor-op-mono:

fixes $a :: \langle 'a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2} \rangle$ and $c :: \langle 'b \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2} \rangle$
 assumes $\langle a \leq b \rangle$ and $\langle c \leq d \rangle$ and $\langle b \geq 0 \rangle$ and $\langle c \geq 0 \rangle$
 shows $\langle a \otimes_o c \leq b \otimes_o d \rangle$

proof –

have $\langle a \otimes_o c \leq b \otimes_o c \rangle$
 using $\langle a \leq b \rangle$ and $\langle c \geq 0 \rangle$
 by (rule tensor-op-mono-left)
 also have $\langle \dots \leq b \otimes_o d \rangle$
 using $\langle c \leq d \rangle$ and $\langle b \geq 0 \rangle$
 by (rule tensor-op-mono-right)
 finally show ?thesis
 by –

qed

lemma sandwich-tc-tensor: $\langle \text{sandwich-tc } (E \otimes_o F) (tc\text{-tensor } t \ u) = tc\text{-tensor } (\text{sandwich-tc } E \ t) (\text{sandwich-tc } F \ u) \rangle$

by (transfer fixing: E F) (simp add: sandwich-tensor-op)

lemma tensor-tc-butterfly: $tc\text{-tensor } (tc\text{-butterfly } \psi \ \psi') (tc\text{-butterfly } \varphi \ \varphi') = tc\text{-butterfly } (tensor\text{-ell2 } \psi \ \varphi) (tensor\text{-ell2 } \psi' \ \varphi')$

by (transfer fixing: $\varphi \ \varphi' \ \psi \ \psi'$) (simp add: tensor-butterfly)

lemma separating-set-bounded-clinear-tc-tensor:

shows $\langle \text{separating-set bounded-clinear } ((\lambda(\varrho, \sigma). \text{tc-tensor } \varrho \sigma) ' (UNIV \times UNIV)) \rangle$
proof –
have $\langle \top = \text{ccspan } ((\lambda(x, y). \text{tc-butterfly } x y) ' (\text{range } \text{ket} \times \text{range } \text{ket})) \rangle$
by (*simp add: onb-butterflies-span-trace-class*)
also have $\langle \dots = \text{ccspan } ((\lambda(x, y, z, w). \text{tc-butterfly } (x \otimes_s y) (z \otimes_s w)) ' (\text{range } \text{ket} \times \text{range } \text{ket} \times \text{range } \text{ket} \times \text{range } \text{ket})) \rangle$
by (*auto intro!: arg-cong[where f=ccspan] image-eqI simp: tensor-ell2-ket*)
also have $\langle \dots = \text{ccspan } ((\lambda(x, y, z, w). \text{tc-tensor } (\text{tc-butterfly } x z) (\text{tc-butterfly } y w)) ' (\text{range } \text{ket} \times \text{range } \text{ket} \times \text{range } \text{ket} \times \text{range } \text{ket})) \rangle$
by (*simp add: tensor-tc-butterfly*)
also have $\langle \dots \leq \text{ccspan } ((\lambda(\varrho, \sigma). \text{tc-tensor } \varrho \sigma) ' (UNIV \times UNIV)) \rangle$
by (*auto intro!: ccspan-mono*)
finally show *?thesis*
by (*intro separating-set-bounded-clinear-dense*) (*use top-le in blast*)
qed

lemma *separating-set-bounded-clinear-tc-tensor-nested*:
assumes $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e::\text{complex-normed-vector}) \Rightarrow -) A \rangle$
assumes $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e::\text{complex-normed-vector}) \Rightarrow -) B \rangle$
shows $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e::\text{complex-normed-vector}) \Rightarrow -) ((\lambda(\varrho, \sigma). \text{tc-tensor } \varrho \sigma) ' (A \times B)) \rangle$
using *separating-set-bounded-clinear-tc-tensor bounded-cbilinear-tc-tensor assms*
by (*rule separating-set-bounded-cbilinear-nested*)

lemma *tc-tensor-0-left[simp]*: $\langle \text{tc-tensor } 0 x = 0 \rangle$
by *transfer' simp*

lemma *tc-tensor-0-right[simp]*: $\langle \text{tc-tensor } x 0 = 0 \rangle$
by *transfer' simp*

14.3 Tensor product of subspaces

definition *tensor-ccsubspace* (**infixr** \otimes_S 70) **where**
 $\langle \text{tensor-ccsubspace } A B = \text{ccspan } \{ \psi \otimes_s \varphi \mid \psi \varphi. \psi \in \text{space-as-set } A \wedge \varphi \in \text{space-as-set } B \} \rangle$

lemma *tensor-ccsubspace-via-Proj*: $\langle A \otimes_S B = (\text{Proj } A \otimes_o \text{Proj } B) *_S \top \rangle$

proof (*rule antisym*)

have $\langle \psi \otimes_s \varphi \in \text{space-as-set } ((\text{Proj } A \otimes_o \text{Proj } B) *_S \top) \rangle$ **if** $\langle \psi \in \text{space-as-set } A \rangle$ **and** $\langle \varphi \in \text{space-as-set } B \rangle$ **for** $\psi \varphi$

by (*metis Proj-fixes-image cblinfun-apply-in-image tensor-op-ell2 that(1) that(2)*)

then show $\langle A \otimes_S B \leq (\text{Proj } A \otimes_o \text{Proj } B) *_S \top \rangle$

by (*auto intro!: ccspan-leqI simp: tensor-ccsubspace-def*)

have $\ast: \langle \text{ccspan } \{ \psi \otimes_s \varphi \mid (\psi::'a \text{ ell2}) (\varphi::'b \text{ ell2}). \text{True} \} = \top \rangle$

using *tensor-ell2-dense'[where A= $\langle UNIV :: 'a \text{ ell2 set} \rangle$ and B= $\langle UNIV :: 'b \text{ ell2 set} \rangle$]*

by *auto*

have $\langle (\text{Proj } A \otimes_o \text{Proj } B) *_V \psi \otimes_s \varphi \in \text{space-as-set } (A \otimes_S B) \rangle$ **for** $\psi \varphi$

unfolding *tensor-op-ell2 tensor-ccsubspace-def*

by (*smt (verit) Proj-range cblinfun-apply-in-image ccspan-superset mem-Collect-eq subsetD*)

then show $\langle (\text{Proj } A \otimes_o \text{Proj } B) *_S \top \leq A \otimes_S B \rangle$

by (auto intro!: ccspan-leqI simp: cblinfun-image-ccspan simp flip: *)
qed

lemma *tensor-ccsubspace-top*[simp]: $\langle \top \otimes_S \top = \top \rangle$
by (simp add: tensor-ccsubspace-via-Proj)

lemma *tensor-ccsubspace-0-left*[simp]: $\langle 0 \otimes_S X = 0 \rangle$
by (simp add: tensor-ccsubspace-via-Proj)

lemma *tensor-ccsubspace-0-right*[simp]: $\langle X \otimes_S 0 = 0 \rangle$
by (simp add: tensor-ccsubspace-via-Proj)

lemma *tensor-ccsubspace-image*: $\langle (A *_S T) \otimes_S (B *_S U) = (A \otimes_o B) *_S (T \otimes_S U) \rangle$

proof –

have $\langle (A *_S T) \otimes_S (B *_S U) = \text{ccspan} ((\lambda(\psi, \varphi). \psi \otimes_s \varphi) \text{ ‘ } (\text{space-as-set } (A *_S T) \times \text{space-as-set } (B *_S U)))) \rangle$

by (simp add: tensor-ccsubspace-def set-compr-2-image-collect ccspan.rep-eq)

also have $\langle \dots = \text{ccspan} ((\lambda(\psi, \varphi). \psi \otimes_s \varphi) \text{ ‘ } \text{closure} ((A \text{ ‘ } \text{space-as-set } T) \times (B \text{ ‘ } \text{space-as-set } U)))) \rangle$

by (simp add: cblinfun-image.rep-eq closure-Times)

also have $\langle \dots = \text{ccspan} (\text{closure} ((\lambda(\psi, \varphi). \psi \otimes_s \varphi) \text{ ‘ } ((A \text{ ‘ } \text{space-as-set } T) \times (B \text{ ‘ } \text{space-as-set } U)))) \rangle$

by (subst closure-image-closure[symmetric])

(use continuous-on-subset continuous-tensor-ell2 in auto)

also have $\langle \dots = \text{ccspan} ((\lambda(\psi, \varphi). \psi \otimes_s \varphi) \text{ ‘ } ((A \text{ ‘ } \text{space-as-set } T) \times (B \text{ ‘ } \text{space-as-set } U))) \rangle$

by simp

also have $\langle \dots = (A \otimes_o B) *_S \text{ccspan} ((\lambda(\psi, \varphi). \psi \otimes_s \varphi) \text{ ‘ } (\text{space-as-set } T \times \text{space-as-set } U)) \rangle$

by (simp add: cblinfun-image-ccspan image-image tensor-op-ell2 case-prod-beta flip: map-prod-image)

also have $\langle \dots = (A \otimes_o B) *_S (T \otimes_S U) \rangle$

by (simp add: tensor-ccsubspace-def set-compr-2-image-collect)

finally show ?thesis

by –

qed

lemma *tensor-ccsubspace-bot-left*[simp]: $\langle \perp \otimes_S S = \perp \rangle$

by (simp add: tensor-ccsubspace-via-Proj)

lemma *tensor-ccsubspace-bot-right*[simp]: $\langle S \otimes_S \perp = \perp \rangle$

by (simp add: tensor-ccsubspace-via-Proj)

lemma *swap-ell2-tensor-ccsubspace*: $\langle \text{swap-ell2} *_S (S \otimes_S T) = T \otimes_S S \rangle$

by (force intro!: arg-cong[where f=ccspan])

simp add: tensor-ccsubspace-def cblinfun-image-ccspan image-image set-compr-2-image-collect)

lemma *tensor-ccsubspace-right1dim-member*:

assumes $\langle \psi \in \text{space-as-set } (S \otimes_S \text{ccspan}\{\varphi\}) \rangle$

shows $\langle \exists \psi'. \psi = \psi' \otimes_s \varphi \rangle$

```

proof (cases ⟨ $\varphi = 0$ ⟩)
  case True
  with assms show ?thesis
  by simp
next
  case False
  have ⟨ $\{\psi \otimes_s \varphi' \mid \psi \varphi'. \psi \in \text{space-as-set } S \wedge \varphi' \in \text{space-as-set } (\text{ccspan } \{\varphi\})\}$ 
    =  $\{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
  proof –
  have ⟨ $\psi \in \text{space-as-set } S \implies \exists \psi'. \psi \otimes_s c *_C \varphi = \psi' \otimes_s \varphi \wedge \psi' \in \text{space-as-set } S$ ⟩ for  $c \psi$ 
    by (rule exI[where  $x = \langle c *_C \psi \rangle$ ])
      (auto simp: tensor-ell2-scaleC2 tensor-ell2-scaleC1
        complex-vector.subspace-scale)
  moreover have ⟨ $\psi \in \text{space-as-set } S \implies$ 
     $\exists \psi' \varphi'. \psi \otimes_s \varphi = \psi' \otimes_s \varphi' \wedge \psi' \in \text{space-as-set } S \wedge \varphi' \in \text{range } (\lambda k. k *_C \varphi)$ ⟩ for  $\psi$ 
    by (rule exI[where  $x = \psi$ ], rule exI[where  $x = \varphi$ ])
      (auto intro!: range-eqI[where  $x = \langle 1 :: \text{complex} \rangle$ ])
  ultimately show ?thesis
    by (auto simp: ccspan-finite complex-vector.span-singleton)
qed
moreover have ⟨csubspace  $\{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
proof (rule complex-vector.subspaceI)
  show ⟨ $0 \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
    by (auto intro!: exI[where  $x = 0$ ])
  show ⟨ $x + y \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
    if  $x: \langle x \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\} \rangle$ 
    and  $y: \langle y \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\} \rangle$  for  $x y$ 
    using that complex-vector.subspace-add tensor-ell2-add1
    unfolding mem-Collect-eq by (metis csubspace-space-as-set)
  show ⟨ $c *_C x \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
    if  $x: \langle x \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\} \rangle$  for  $c x$ 
    using that complex-vector.subspace-scale tensor-ell2-scaleC2 tensor-ell2-scaleC1
    unfolding mem-Collect-eq by (metis csubspace-space-as-set)
qed
moreover have ⟨closed  $\{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}$ ⟩
proof (rule closed-sequential-limits[THEN iffD2, rule-format])
  fix  $x l$ 
  assume asm: ⟨ $(\forall n. x n \in \{\psi \otimes_s \varphi \mid \psi. \psi \in \text{space-as-set } S\}) \wedge x \longrightarrow l$ ⟩
  then obtain  $\psi'$  where  $x\text{-def}: \langle x n = \psi' n \otimes_s \varphi \rangle$  and  $\psi'\text{-S}: \langle \psi' n \in \text{space-as-set } S \rangle$  for  $n$ 
    unfolding mem-Collect-eq by metis
  from asm have ⟨ $x \longrightarrow l$ ⟩
    by simp
  have ⟨Cauchy  $\psi'$ ⟩
  proof (rule CauchyI)
    fix  $e :: \text{real}$  assume ⟨ $e > 0$ ⟩
    define  $d$  where ⟨ $d = e * \text{norm } \varphi$ ⟩
    with False ⟨ $e > 0$ ⟩ have ⟨ $d > 0$ ⟩
      by auto
    from ⟨ $x \longrightarrow l$ ⟩

```

```

have ⟨Cauchy x⟩
  using LIMSEQ-imp-Cauchy by blast
then obtain M where ⟨∀ m ≥ M. ∀ n ≥ M. norm (x m - x n) < d⟩
  using Cauchy-iff ⟨0 < d⟩ by blast
then show ⟨∃ M. ∀ m ≥ M. ∀ n ≥ M. norm (ψ' m - ψ' n) < e⟩
  by (intro exI[of - M])
    (use False in ⟨auto simp add: x-def norm-tensor-ell2 d-def simp flip: tensor-ell2-diff1⟩)
qed
then obtain l' where ⟨ψ' ⟶ l'⟩
  using convergent-eq-Cauchy by blast
with ψ'-S have l'-S: ⟨l' ∈ space-as-set S⟩
  by (metis ⟨Cauchy ψ'⟩ completeE complete-space-as-set limI)
from ⟨ψ' ⟶ l'⟩ have ⟨x ⟶ l' ⊗s φ⟩
  by (auto intro: tendsto-eq-intros simp: x-def[abs-def])
with ⟨x ⟶ l'⟩ have ⟨l = l' ⊗s φ⟩
  using LIMSEQ-unique by blast
then show ⟨l ∈ {ψ ⊗s φ | ψ. ψ ∈ space-as-set S}⟩
  using l'-S by auto
qed
ultimately have ⟨space-as-set (ccspan {ψ ⊗s φ' | ψ φ'. ψ ∈ space-as-set S ∧ φ' ∈ space-as-set
(ccspan {φ})})
  = {ψ ⊗s φ | ψ. ψ ∈ space-as-set S}⟩
  by (simp add: ccspan.rep-eq complex-vector.span-eq-iff[THEN iffD2])
with assms have ⟨ψ ∈ {ψ ⊗s φ | ψ. ψ ∈ space-as-set S}⟩
  by (simp add: tensor-ccsubspace-def)
then show ⟨∃ ψ'. ψ = ψ' ⊗s φ⟩
  by auto
qed

lemma tensor-ccsubspace-left1dim-member:
  assumes ⟨ψ ∈ space-as-set (ccspan{φ} ⊗S S)⟩
  shows ⟨∃ ψ'. ψ = φ ⊗s ψ'⟩
proof -
  from assms
  have ⟨swap-ell2 *V ψ ∈ space-as-set (swap-ell2 *S (ccspan {φ} ⊗S S))⟩
  by (metis rev-image-eqI space-as-set-image-commute swap-ell2-selfinv)
  then have ⟨swap-ell2 ψ ∈ space-as-set (S ⊗S ccspan{φ})⟩
  by (simp add: swap-ell2-tensor-ccsubspace)
  then obtain ψ' where ψ': ⟨swap-ell2 ψ = ψ' ⊗s φ⟩
  using tensor-ccsubspace-right1dim-member by blast
  have ⟨ψ = swap-ell2 *V swap-ell2 *V ψ⟩
  by (simp flip: cblinfun-apply-cblinfun-compose)
  also have ⟨... = swap-ell2 *V (ψ' ⊗s φ)⟩
  by (simp add: ψ')
  also have ⟨... = φ ⊗s ψ'⟩
  by simp
  finally show ?thesis
  by auto
qed

```

lemma *tensor-ell2-mem-tensor-ccsubspace-left*:
assumes $\langle a \otimes_s b \in \text{space-as-set } (S \otimes_S T) \rangle$ **and** $\langle b \neq 0 \rangle$
shows $\langle a \in \text{space-as-set } S \rangle$
proof (*cases* $\langle a = 0 \rangle$)
case *True*
then show *?thesis*
by *simp*
next
case *False*
have $\langle \text{norm } (\text{Proj } S \ a) * \text{norm } (\text{Proj } T \ b) = \text{norm } ((\text{Proj } S \ a) \otimes_s (\text{Proj } T \ b)) \rangle$
by (*simp add: norm-tensor-ell2*)
also have $\langle \dots = \text{norm } (\text{Proj } (S \otimes_S T) \ (a \otimes_s b)) \rangle$
by (*simp add: tensor-ccsubspace-via-Proj Proj-on-own-range is-Proj-tensor-op tensor-op-ell2*)
also from *assms* **have** $\langle \dots = \text{norm } (a \otimes_s b) \rangle$
by (*simp add: Proj-fixes-image*)
also have $\langle \dots = \text{norm } a * \text{norm } b \rangle$
by (*simp add: norm-tensor-ell2*)
finally have *prod-eq*: $\langle \text{norm } (\text{Proj } S \ *_{\mathcal{V}} \ a) * \text{norm } (\text{Proj } T \ *_{\mathcal{V}} \ b) = \text{norm } a * \text{norm } b \rangle$
by $-$
with *False* $\langle b \neq 0 \rangle$ **have** *Tb-non0*: $\langle \text{norm } (\text{Proj } T \ *_{\mathcal{V}} \ b) \neq 0 \rangle$
by *fastforce*
have $\langle \text{norm } (\text{Proj } S \ a) = \text{norm } a \rangle$
proof (*rule ccontr*)
assume *asm*: $\langle \text{norm } (\text{Proj } S \ *_{\mathcal{V}} \ a) \neq \text{norm } a \rangle$
have *Sa-leq*: $\langle \text{norm } (\text{Proj } S \ *_{\mathcal{V}} \ a) \leq \text{norm } a \rangle$
by (*simp add: is-Proj-reduces-norm*)
have *Tb-leq*: $\langle \text{norm } (\text{Proj } T \ *_{\mathcal{V}} \ b) \leq \text{norm } b \rangle$
by (*simp add: is-Proj-reduces-norm*)
from *asm Sa-leq* **have** $\langle \text{norm } (\text{Proj } S \ *_{\mathcal{V}} \ a) < \text{norm } a \rangle$
by *simp*
then have $\langle \text{norm } (\text{Proj } S \ *_{\mathcal{V}} \ a) * \text{norm } (\text{Proj } T \ *_{\mathcal{V}} \ b) < \text{norm } a * \text{norm } (\text{Proj } T \ *_{\mathcal{V}} \ b) \rangle$
using *Tb-non0* **by** *auto*
also from *Tb-leq* **have** $\langle \dots \leq \text{norm } a * \text{norm } b \rangle$
using *False* **by** *force*
also note *prod-eq*
finally show *False*
by *simp*
qed
then show $\langle a \in \text{space-as-set } S \rangle$
using *norm-Proj-apply* **by** *blast*
qed

lemma *tensor-ell2-mem-tensor-ccsubspace-right*:
assumes $\langle a \otimes_s b \in \text{space-as-set } (S \otimes_S T) \rangle$ **and** $\langle a \neq 0 \rangle$
shows $\langle b \in \text{space-as-set } T \rangle$
proof $-$
have $\langle \text{swap-ell2 } *_{\mathcal{V}} \ (a \otimes_s b) \in \text{space-as-set } (\text{swap-ell2 } *_{\mathcal{S}} \ (S \otimes_S T)) \rangle$

using *assms(1) cblinfun-apply-in-image'* **by** *blast*
then have $\langle b \otimes_s a \in \text{space-as-set } (T \otimes_S S) \rangle$
by (*simp add: swap-ell2-tensor-ccsubspace*)
then show $\langle b \in \text{space-as-set } T \rangle$
using $\langle a \neq 0 \rangle$ **by** (*rule tensor-ell2-mem-tensor-ccsubspace-left*)
qed

lemma *tensor-ell2-in-tensor-ccsubspace*: $\langle a \otimes_s b \in \text{space-as-set } (A \otimes_S B) \rangle$ **if** $\langle a \in \text{space-as-set } A \rangle$ **and** $\langle b \in \text{space-as-set } B \rangle$
— Converse is *tensor-ell2-mem-tensor-ccsubspace-left* and *...-right*.
using that by (*auto intro!: ccspan-superset[THEN subsetD] simp add: tensor-ccsubspace-def*)

lemma *tensor-ccsubspace-INF-left-top*:
fixes $S :: \langle 'a \Rightarrow 'b \text{ ell2 ccsubspace} \rangle$
shows $\langle (\text{INF } x \in X. S x) \otimes_S (\top :: 'c \text{ ell2 ccsubspace}) = (\text{INF } x \in X. S x \otimes_S \top) \rangle$
proof (*rule antisym[rotated]*)
let $?top = \langle \top :: 'c \text{ ell2 ccsubspace} \rangle$
have $*$: $\langle \psi \otimes_s \varphi \in \text{space-as-set } (\prod x \in X. S x \otimes_S ?top) \rangle$
if $\langle \psi \in \text{space-as-set } (\prod x \in X. S x) \rangle$ **for** $\psi \varphi$
proof —
from *that(1)* **have** $\langle \psi \in \text{space-as-set } (S x) \rangle$ **if** $\langle x \in X \rangle$ **for** x
using that by (*simp add: Inf-ccsubspace.rep-eq*)
then have $\langle \psi \otimes_s \varphi \in \text{space-as-set } (S x \otimes_S \top) \rangle$ **if** $\langle x \in X \rangle$ **for** x
using *ccspan-superset that by (force simp: tensor-ccsubspace-def)*
then show *?thesis*
by (*simp add: Inf-ccsubspace.rep-eq*)
qed
show $\langle (\text{INF } x \in X. S x) \otimes_S ?top \leq (\text{INF } x \in X. S x \otimes_S ?top) \rangle$
by (*subst tensor-ccsubspace-def, rule ccspan-leqI (use * in auto)*)

show $\langle (\prod x \in X. S x \otimes_S ?top) \leq (\prod x \in X. S x) \otimes_S ?top \rangle$
proof (*rule ccsubspace-leI-unit*)
fix ψ
assume *asm*: $\langle \psi \in \text{space-as-set } (\prod x \in X. S x \otimes_S ?top) \rangle$
obtain ψ' **where** $\psi' b$ - b : $\langle \psi' b \otimes_s \text{ket } b = (\text{id-cblinfun} \otimes_o \text{butterfly } (\text{ket } b) (\text{ket } b)) *_V \psi \rangle$ **for** b
proof (*atomize-elim, rule choice, intro allI*)
fix $b :: 'c$
have $\langle (\text{id-cblinfun} \otimes_o \text{butterfly } (\text{ket } b) (\text{ket } b)) *_V \psi \in \text{space-as-set } (\top \otimes_S \text{ccspan } \{\text{ket } b\}) \rangle$
by (*simp add: butterfly-eq-proj tensor-ccsubspace-via-Proj*)
then show $\langle \exists \psi'. \psi' \otimes_s \text{ket } b = (\text{id-cblinfun} \otimes_o \text{butterfly } (\text{ket } b) (\text{ket } b)) *_V \psi \rangle$
by (*metis tensor-ccsubspace-right1dim-member*)
qed

have $\langle \psi' b \in \text{space-as-set } (S x) \rangle$ **if** $\langle x \in X \rangle$ **for** $x b$
proof —
from *asm* **have** ψ -*ST*: $\langle \psi \in \text{space-as-set } (S x \otimes_S ?top) \rangle$
by (*meson INF-lower Set.basic-monos(?) less-eq-ccsubspace.rep-eq that*)
have $\langle \psi' b \otimes_s \text{ket } b = (\text{id-cblinfun} \otimes_o \text{butterfly } (\text{ket } b) (\text{ket } b)) *_V \psi \rangle$

```

    by (simp add:  $\psi' b$ -b)
  also from  $\psi$ -ST
  have  $\langle \dots \in \text{space-as-set } (((\text{id-cblinfun } \otimes_o \text{ butterfly } (\text{ket } b) (\text{ket } b))) *_S (S x \otimes_S ?top)) \rangle$ 
    by (meson cblinfun-apply-in-image')
  also have  $\langle \dots = \text{space-as-set } (((\text{id-cblinfun } \otimes_o \text{ butterfly } (\text{ket } b) (\text{ket } b)) o_{CL} (\text{Proj } (S x) \otimes_o \text{id-cblinfun})) *_S \top) \rangle$ 
    by (simp add: cblinfun-compose-image tensor-ccsubspace-via-Proj)
  also have  $\langle \dots = \text{space-as-set } ((\text{Proj } (S x) \otimes_o (\text{butterfly } (\text{ket } b) (\text{ket } b) o_{CL} \text{id-cblinfun})) *_S \top) \rangle$ 
    by (simp add: comp-tensor-op)
  also have  $\langle \dots = \text{space-as-set } ((\text{Proj } (S x) \otimes_o (\text{id-cblinfun } o_{CL} \text{ butterfly } (\text{ket } b) (\text{ket } b))) *_S \top) \rangle$ 
    by simp
  also have  $\langle \dots = \text{space-as-set } (((\text{Proj } (S x) \otimes_o \text{id-cblinfun}) o_{CL} (\text{id-cblinfun } \otimes_o \text{ butterfly } (\text{ket } b) (\text{ket } b))) *_S \top) \rangle$ 
    by (simp add: comp-tensor-op)
  also have  $\langle \dots \subseteq \text{space-as-set } ((\text{Proj } (S x) \otimes_o \text{id-cblinfun}) *_S \top) \rangle$ 
    by (metis cblinfun-compose-image cblinfun-image-mono less-eq-ccsubspace.rep-eq top-greatest)
  also have  $\langle \dots = \text{space-as-set } (S x \otimes_S ?top) \rangle$ 
    by (simp add: tensor-ccsubspace-via-Proj)
  finally have  $\langle \psi' b \otimes_s \text{ket } b \in \text{space-as-set } (S x \otimes_S ?top) \rangle$ 
    by -
  then show  $\langle \psi' b \in \text{space-as-set } (S x) \rangle$ 
    using tensor-ell2-mem-tensor-ccsubspace-left
    by (metis ket-nonzero)
qed

then have  $\langle \psi' b \in \text{space-as-set } (\prod_{x \in X}. S x) \rangle$  if  $\langle x \in X \rangle$  for  $x b$ 
  using that by (simp add: Inf-ccsubspace.rep-eq)

then have *:  $\langle \psi' b \otimes_s \text{ket } b \in \text{space-as-set } ((\prod_{x \in X}. S x) \otimes_S ?top) \rangle$  for  $b$ 
  by (auto intro!: ccspan-superset[THEN set-mp]
      simp add: tensor-ccsubspace-def Inf-ccsubspace.rep-eq)

have  $\langle \psi \in \text{space-as-set } (\text{ccspan } (\text{range } (\lambda b. \psi' b \otimes_s \text{ket } b))) \rangle$  (is  $\langle \psi \in ?rhs \rangle$ )
proof -
  define  $\gamma$  where  $\langle \gamma F = (\sum_{b \in F}. (\text{id-cblinfun } \otimes_o \text{ butterfly } (\text{ket } b) (\text{ket } b)) *_V \psi) \rangle$  for  $F$ 
  have  $\gamma$ -rhs:  $\langle \gamma F \in ?rhs \rangle$  for  $F$ 
    using ccspan-superset by (force intro!: complex-vector.subspace-sum simp add:  $\gamma$ -def  $\psi' b$ -b)
  have  $\gamma$ -trunc:  $\langle \gamma F = \text{trunc-ell2 } (UNIV \times F) \psi \rangle$  if  $\langle \text{finite } F \rangle$  for  $F$ 
proof (rule cinner-ket-eqI)
  fix  $x :: \langle 'b \times 'c \rangle$  obtain  $x1 x2$  where  $x$ -def:  $\langle x = (x1, x2) \rangle$ 
  by force
  have *:  $\langle \text{ket } x \cdot_C (((\text{id-cblinfun } \otimes_o \text{ butterfly } (\text{ket } j) (\text{ket } j)) *_V \psi) = \text{of-bool } (j=x2) * \text{Rep-ell2 } \psi x) \rangle$  for  $j$ 
  apply (simp add:  $x$ -def tensor-op-ell2 tensor-op-adjoint cinner-ket
      flip: tensor-ell2-ket cinner-adj-left)
  by (simp add: tensor-ell2-ket cinner-ket-left)

```

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have ⟨ket x •C γ F = of-bool (x2∈F) *C Rep-ell2 ψ x⟩
using that
apply (simp add: x-def γ-def complex-vector.linear-sum[of ⟨cinner -⟩] bounded-clinear-cinner-right
        bounded-clinear.clinear sum-single[where i=x2] tensor-op-adjoint tensor-op-ell2
cinner-ket
        flip: tensor-ell2-ket cinner-adj-left)
by (simp add: tensor-ell2-ket cinner-ket-left)
moreover have ⟨ket x •C trunc-ell2 (UNIV × F) ψ = of-bool (x2∈F) *C Rep-ell2 ψ x⟩
by (simp add: trunc-ell2.rep-eq cinner-ket-left x-def)
ultimately show ⟨ket x •C γ F = ket x •C trunc-ell2 (UNIV × F) ψ⟩
by simp
qed
have ⟨(γ → ψ) (finite-subsets-at-top UNIV)⟩
proof (rule tendsto-iff[THEN iffD2, rule-format])
fix e :: real assume ⟨e > 0⟩
from trunc-ell2-lim-at-UNIV[of ψ]
have ⟨∀ F F in finite-subsets-at-top UNIV. dist (trunc-ell2 F ψ) ψ < e⟩
by (simp add: ⟨0 < e⟩ tendstoD)
then obtain M where ⟨finite M⟩ and less-e: ⟨finite F ⇒ F ⊇ M ⇒ dist (trunc-ell2
F ψ) ψ < e⟩ for F
by (metis (mono-tags, lifting) eventually-finite-subsets-at-top subset-UNIV)
define M' where ⟨M' = snd ' M⟩
have ⟨finite M'⟩
using M'-def ⟨finite M⟩ by blast
have ⟨dist (γ F') ψ < e⟩ if ⟨finite F'⟩ and ⟨F' ⊇ M'⟩ for F'
proof -
have ⟨dist (γ F') ψ = norm (trunc-ell2 (- (UNIV × F')) ψ)⟩
using that by (simp only: γ-trunc dist-norm trunc-ell2-uminus norm-minus-commute)
also have ⟨... ≤ norm (trunc-ell2 (- ((fst ' M) × F')) ψ)⟩
by (meson Compl-anti-mono Set.basic-monos(1) Sigma-mono subset-UNIV trunc-ell2-norm-mono)
also have ⟨... = dist (trunc-ell2 ((fst ' M) × F') ψ) ψ⟩
apply (simp add: trunc-ell2-uminus dist-norm)
using norm-minus-commute by blast
also have ⟨... < e⟩
apply (rule less-e)
subgoal
using ⟨finite F'⟩ ⟨finite M⟩ by force
subgoal
using ⟨F' ⊇ M'⟩ M'-def by force
done
finally show ?thesis
by -
qed
then show ⟨∀ F F' in finite-subsets-at-top UNIV. dist (γ F') ψ < e⟩
using ⟨finite M'⟩ by (auto simp add: eventually-finite-subsets-at-top)
qed
then show ⟨ψ ∈ ?rhs⟩
by (rule Lim-in-closed-set[rotated -1]) (use γ-rhs in auto)

```

qed
also from * have $\langle \dots \subseteq \text{space-as-set } ((\prod x \in X. S x) \otimes_S ?top) \rangle$
by (*meson ccspace-leqI image-subset-iff less-eq-ccsubspace.rep-eq*)

finally show $\langle \psi \in \text{space-as-set } ((\prod x \in X. S x) \otimes_S ?top) \rangle$
by –

qed
qed

lemma tensor-ccsubspace-INF-right-top:
fixes $S :: \langle 'a \Rightarrow 'b \text{ ell2 ccspace} \rangle$
shows $\langle (\top :: 'c \text{ ell2 ccspace}) \otimes_S (INF x \in X. S x) = (INF x \in X. \top \otimes_S S x) \rangle$
proof –
have $\langle (INF x \in X. S x) \otimes_S (\top :: 'c \text{ ell2 ccspace}) = (INF x \in X. S x \otimes_S \top) \rangle$
by (*rule tensor-ccsubspace-INF-left-top*)
then have $\langle \text{swap-ell2 } *_S ((INF x \in X. S x) \otimes_S (\top :: 'c \text{ ell2 ccspace})) = \text{swap-ell2 } *_S (INF x \in X. S x \otimes_S \top) \rangle$
by *simp*
then show *?thesis*
by (*cases* $\langle X = \{\} \rangle$)
(simp-all add: swap-ell2-tensor-ccsubspace)

qed

lemma tensor-ccsubspace-INF-left: $\langle (INF x \in X. S x) \otimes_S T = (INF x \in X. S x \otimes_S T) \rangle$ **if** $\langle X \neq \{\} \rangle$
proof (*cases* $\langle T = 0 \rangle$)
case *True*
then show *?thesis*
using that by *simp*

next
case *False*
from *ccsubspace-as-whole-type[OF False]*
have $\langle \text{let } 't::\text{type} = \text{some-onb-of } T \text{ in } (INF x \in X. S x) \otimes_S T = (INF x \in X. S x \otimes_S T) \rangle$
proof *with-type-mp*
with-type-case
from *with-type-mp.premise*
obtain $U :: \langle 't \text{ ell2 } \Rightarrow_{CL} 'c \text{ ell2} \rangle$ **where** [*simp*]: $\langle \text{isometry } U \rangle$ **and** $imU: \langle U *_S \top = T \rangle$
by *auto*
have $\langle (\text{id-cblinfun } \otimes_o U) *_S ((\prod x \in X. S x) \otimes_S \top) = (\text{id-cblinfun } \otimes_o U) *_S (\prod x \in X. S x \otimes_S \top) \rangle$
by (*rule arg-cong[where f= $\lambda x. - *_S x$], rule tensor-ccsubspace-INF-left-top*)
then show $\langle (\prod x \in X. S x) \otimes_S T = (\prod x \in X. S x \otimes_S T) \rangle$
using that by (*simp add: imU flip: tensor-ccsubspace-image*)

qed
from *this[cancel-with-type]*
show *?thesis*
by –

qed

lemma *tensor-ccsubspace-INF-right*: $\langle (INF\ x \in X. T \otimes_S S\ x) = (INF\ x \in X. T \otimes_S S\ x) \rangle$ **if** $\langle X \neq \{\} \rangle$

proof –

from *that have* $\langle (INF\ x \in X. S\ x) \otimes_S T = (INF\ x \in X. S\ x \otimes_S T) \rangle$

by (*rule tensor-ccsubspace-INF-left*)

then have $\langle swap-ell2 *_S ((INF\ x \in X. S\ x) \otimes_S T) = swap-ell2 *_S (INF\ x \in X. S\ x \otimes_S T) \rangle$

by *simp*

then show *?thesis*

by (*cases* $\langle X = \{\} \rangle$)

(*simp-all add: swap-ell2-tensor-ccsubspace*)

qed

lemma *tensor-ccsubspace-ccspan*: $\langle ccspan\ X \otimes_S ccspan\ Y = ccspan\ \{x \otimes_s y \mid x\ y. x \in X \wedge y \in Y\} \rangle$

proof (*rule antisym*)

show $\langle ccspan\ \{x \otimes_s y \mid x\ y. x \in X \wedge y \in Y\} \leq ccspan\ X \otimes_S ccspan\ Y \rangle$

using *ccspan-superset[of X] ccspan-superset[of Y]*

by (*auto intro!: ccspan-mono Collect-mono ex-mono simp add: tensor-ccsubspace-def*)

next

have $\langle \{\psi \otimes_s \varphi \mid \psi\ \varphi. \psi \in space-as-set\ (ccspan\ X) \wedge \varphi \in space-as-set\ (ccspan\ Y)\} \rangle$

$\subseteq closure\ \{x \otimes_s y \mid x\ y. x \in cspan\ X \wedge y \in cspan\ Y\} \rangle$

proof (*rule subsetI*)

fix γ

assume $\langle \gamma \in \{\psi \otimes_s \varphi \mid \psi\ \varphi. \psi \in space-as-set\ (ccspan\ X) \wedge \varphi \in space-as-set\ (ccspan\ Y)\} \rangle$

then obtain $\psi\ \varphi$ **where** $\psi: \langle \psi \in space-as-set\ (ccspan\ X) \rangle$ **and** $\varphi: \langle \varphi \in space-as-set\ (ccspan\ Y) \rangle$ **and** γ -def: $\langle \gamma = \psi \otimes_s \varphi \rangle$

by *blast*

from ψ

obtain ψ' **where** $lim1: \langle \psi' \longrightarrow \psi \rangle$ **and** $\psi'X: \langle \psi' n \in cspan\ X \rangle$ **for** n

using *closure-sequential unfolding ccspan.rep-eq* **by** *blast*

from φ

obtain φ' **where** $lim2: \langle \varphi' \longrightarrow \varphi \rangle$ **and** $\varphi'Y: \langle \varphi' n \in cspan\ Y \rangle$ **for** n

using *closure-sequential unfolding ccspan.rep-eq* **by** *blast*

interpret *tensor: bounded-cbilinear tensor-ell2*

by (*rule bounded-cbilinear-tensor-ell2*)

from $lim1\ lim2$ **have** $\langle (\lambda n. \psi' n \otimes_s \varphi' n) \longrightarrow \psi \otimes_s \varphi \rangle$

by (*rule tensor.tendsto*)

moreover have $\langle \psi' n \otimes_s \varphi' n \in \{x \otimes_s y \mid x\ y. x \in cspan\ X \wedge y \in cspan\ Y\} \rangle$ **for** n

using $\psi'X\ \varphi'Y$ **by** *auto*

ultimately show $\langle \gamma \in closure\ \{x \otimes_s y \mid x\ y. x \in cspan\ X \wedge y \in cspan\ Y\} \rangle$

unfolding γ -def

by (*meson closure-sequential*)

qed

also have $\langle closure\ \{x \otimes_s y \mid x\ y. x \in cspan\ X \wedge y \in cspan\ Y\} \rangle$

$\subseteq closure\ (cspan\ \{x \otimes_s y \mid x\ y. x \in X \wedge y \in Y\}) \rangle$

proof (*intro closure-mono subsetI*)

fix γ

assume $\langle \gamma \in \{x \otimes_s y \mid x\ y. x \in cspan\ X \wedge y \in cspan\ Y\} \rangle$

then obtain $x\ y$ **where** $\langle \gamma\text{-def}: \langle \gamma = x \otimes_s y \rangle$ **and** $\langle x \in \text{cspan } X \rangle$ **and** $\langle y \in \text{cspan } Y \rangle$
by *blast*
from $\langle x \in \text{cspan } X \rangle$
obtain $X' x'$ **where** $\langle \text{finite } X' \rangle$ **and** $\langle X' \subseteq X \rangle$ **and** $x\text{-def}: \langle x = (\sum i \in X'. x' i *_C i) \rangle$
using *complex-vector.span-explicit[of X]* **by** *auto*
from $\langle y \in \text{cspan } Y \rangle$
obtain $Y' y'$ **where** $\langle \text{finite } Y' \rangle$ **and** $\langle Y' \subseteq Y \rangle$ **and** $y\text{-def}: \langle y = (\sum j \in Y'. y' j *_C j) \rangle$
using *complex-vector.span-explicit[of Y]* **by** *auto*
from $x\text{-def } y\text{-def } \gamma\text{-def}$
have $\langle \gamma = (\sum i \in X'. x' i *_C i) \otimes_s (\sum j \in Y'. y' j *_C j) \rangle$
by *simp*
also have $\langle \dots = (\sum i \in X'. \sum j \in Y'. (x' i *_C i) \otimes_s (y' j *_C j)) \rangle$
by (*smt (verit) sum.cong tensor-ell2-sum-left tensor-ell2-sum-right*)
also have $\langle \dots = (\sum i \in X'. \sum j \in Y'. (x' i *_C y' j) *_C (i \otimes_s j)) \rangle$
by (*metis (no-types, lifting) scaleC-scaleC sum.cong tensor-ell2-scaleC1 tensor-ell2-scaleC2*)
also have $\langle \dots \in \text{cspan } \{x \otimes_s y \mid x y. x \in X \wedge y \in Y\} \rangle$
using $\langle X' \subseteq X \rangle \langle Y' \subseteq Y \rangle$
by (*auto intro!: complex-vector.span-sum complex-vector.span-scale complex-vector.span-base[of '- \otimes_s -]*)
finally show $\langle \gamma \in \text{cspan } \{x \otimes_s y \mid x y. x \in X \wedge y \in Y\} \rangle$
by –
qed
also have $\langle \dots = \text{space-as-set } (\text{ccspan } \{x \otimes_s y \mid x y. x \in X \wedge y \in Y\}) \rangle$
using *ccspan.rep-eq* **by** *blast*
finally show $\langle \text{ccspan } X \otimes_S \text{ccspan } Y \leq \text{ccspan } \{x \otimes_s y \mid x y. x \in X \wedge y \in Y\} \rangle$
by (*auto intro!: ccspan-leqI simp add: tensor-ccsubspace-def*)
qed

lemma *tensor-ccsubspace-mono*: $\langle A \otimes_S B \leq C \otimes_S D \rangle$ **if** $\langle A \leq C \rangle$ **and** $\langle B \leq D \rangle$
apply (*auto intro!: ccspan-mono simp add: tensor-ccsubspace-def*)
using *that*
by (*auto simp add: less-eq-ccsubspace-def*)

lemma *tensor-ccsubspace-element-as-infsum*:
fixes $A :: \langle 'a \text{ ell2 ccsubspace} \rangle$ **and** $B :: \langle 'b \text{ ell2 ccsubspace} \rangle$
assumes $\langle \psi \in \text{space-as-set } (A \otimes_S B) \rangle$
shows $\langle \exists \varphi \delta. (\forall n :: \text{nat}. \varphi n \in \text{space-as-set } A) \wedge (\forall n. \delta n \in \text{space-as-set } B)$
 $\wedge ((\lambda n. \varphi n \otimes_s \delta n) \text{ has-sum } \psi) \text{ UNIV} \rangle$

proof –
obtain A' **where** $\text{span } A': \langle \text{ccspan } A' = A \rangle$ **and** $\text{ortho } A': \langle \text{is-ortho-set } A' \rangle$ **and** $\text{norm } A': \langle a \in A' \implies \text{norm } a = 1 \rangle$ **for** a
using *some-onb-of-ccspan some-onb-of-is-ortho-set some-onb-of-norm1*
by *blast*
obtain B' **where** $\text{span } B': \langle \text{ccspan } B' = B \rangle$ **and** $\text{ortho } B': \langle \text{is-ortho-set } B' \rangle$ **and** $\text{norm } B': \langle b \in B' \implies \text{norm } b = 1 \rangle$ **for** b
using *some-onb-of-ccspan some-onb-of-is-ortho-set some-onb-of-norm1*
by *blast*
define AB' **where** $\langle AB' = \{a \otimes_s b \mid a b. a \in A' \wedge b \in B'\} \rangle$
define $AB\text{non}0$ **where** $\langle AB\text{non}0 = \{ab \in AB'. (ab \cdot_C \psi) *_C ab \neq 0\} \rangle$

```

have ABnon0-def': ⟨ABnon0 = {ab ∈ AB'. (norm (ab •C ψ))2 ≠ 0}⟩
  by (auto simp: ABnon0-def)
have ⟨is-ortho-set AB'⟩
  by (simp add: AB'-def orthoA' orthoB' tensor-ell2-is-ortho-set)
have normAB': ⟨ab ∈ AB' ⇒ norm ab = 1⟩ for ab
  by (auto simp add: AB'-def norm-tensor-ell2 normA' normB')
have spanAB': ⟨ccspan AB' = A ⊗S B⟩
  by (simp add: tensor-ccsubspace-ccspan AB'-def flip: spanA' spanB')
have sum1: ⟨((λab. (ab •C ψ) *C ab) has-sum ψ) AB'⟩
  apply (rule basis-projections-reconstruct-has-sum)
  by (simp-all add: spanAB' ⟨is-ortho-set AB'⟩ normAB' assms)
have ⟨(λab. (norm (ab •C ψ))2) summable-on AB'⟩
  by (rule parseval-identity-summable)
  (simp-all add: spanAB' ⟨is-ortho-set AB'⟩ normAB' assms)
then have ⟨countable ABnon0⟩
  using ABnon0-def' summable-countable-real by blast
obtain f and N :: ⟨nat set⟩ where bij-f: ⟨bij-betw f N ABnon0⟩
  using ⟨countable ABnon0⟩ countableE-bij by blast
then obtain φ0 δ0 where f-def: ⟨f n = φ0 n ⊗s δ0 n⟩ and φ0A': ⟨φ0 n ∈ A'⟩ and δ0B':
⟨δ0 n ∈ B'⟩ if ⟨n ∈ N⟩ for n
  apply atomize-elim
  apply (subst all-conj-distrib[symmetric] choice-iff[symmetric])+
  apply (simp add: bij-betw-def ABnon0-def)
  using AB'-def ⟨bij-betw f N ABnon0⟩ bij-betwE mem-Collect-eq by blast
define c where ⟨c n = (φ0 n ⊗s δ0 n) •C ψ⟩ for n
from sum1 have ⟨((λab. (ab •C ψ) *C ab) has-sum ψ) ABnon0⟩
  by (rule has-sum-cong-neutral[THEN iffD1, rotated -1]) (auto simp: ABnon0-def)
then have ⟨((λn. (f n •C ψ) *C f n) has-sum ψ) N⟩
  by (rule has-sum-reindex-bij-betw[OF bij-f, THEN iffD2])
then have sum2: ⟨((λn. c n *C (φ0 n ⊗s δ0 n)) has-sum ψ) N⟩
  by (rule has-sum-cong[THEN iffD1, rotated]) (simp add: f-def c-def)
define φ δ where ⟨φ n = (if n ∈ N then c n *C φ0 n else 0)⟩ and ⟨δ n = (if n ∈ N then δ0 n
else 0)⟩ for n
then have 1: ⟨φ n ∈ space-as-set A⟩ and 2: ⟨δ n ∈ space-as-set B⟩ for n
  using φ0A' δ0B' spanA' spanB' ccspan-superset
  by (auto intro!: complex-vector.subspace-scale simp: φ-def δ-def)
from sum2 have sum3: ⟨((λn. φ n ⊗s δ n) has-sum ψ) UNIV⟩
  by (rule has-sum-cong-neutral[THEN iffD2, rotated -1])
  (auto simp: φ-def δ-def tensor-ell2-scaleC1)
from 1 2 sum3 show ?thesis
  by auto
qed

```

lemma ortho-tensor-ccsubspace-right: ⟨- (T ⊗_S A) = T ⊗_S (- A)⟩

proof -

```

have [simp]: ⟨is-Proj (id-cblinfun ⊗o Proj X)⟩ for X
  by (metis Proj-is-Proj Proj-top is-Proj-tensor-op)

```

```

have ⟨Proj (- (T ⊗S A)) = id-cblinfun - Proj (T ⊗S A)⟩

```

by (simp add: Proj-ortho-compl)
 also have $\langle \dots = id-cblinfun - (id-cblinfun \otimes_o Proj A) \rangle$
 by (simp add: tensor-ccsubspace-via-Proj Proj-on-own-range)
 also have $\langle \dots = id-cblinfun \otimes_o (id-cblinfun - Proj A) \rangle$
 by (metis cblinfun.diff-right left-amplification.rep-eq tensor-id)
 also have $\langle \dots = Proj \top \otimes_o Proj (- A) \rangle$
 by (simp add: Proj-ortho-compl)
 also have $\langle \dots = Proj (\top \otimes_S (- A)) \rangle$
 by (simp add: tensor-ccsubspace-via-Proj Proj-on-own-range)
 finally show ?thesis
 using Proj-inj by blast

qed

lemma ortho-tensor-ccsubspace-left: $\langle - (A \otimes_S \top) = (- A) \otimes_S \top \rangle$

proof -
 have $\langle - (A \otimes_S \top) = swap-ell2 *_S (- (\top \otimes_S A)) \rangle$
 by (simp add: unitary-image-ortho-compl swap-ell2-tensor-ccsubspace)
 also have $\langle \dots = swap-ell2 *_S (\top \otimes_S (- A)) \rangle$
 by (simp add: ortho-tensor-ccsubspace-right)
 also have $\langle \dots = (- A) \otimes_S \top \rangle$
 by (simp add: swap-ell2-tensor-ccsubspace)
 finally show ?thesis
 by -

qed

lemma kernel-tensor-id-left: $\langle kernel (id-cblinfun \otimes_o A) = \top \otimes_S kernel A \rangle$

proof -
 have $\langle kernel (id-cblinfun \otimes_o A) = - ((id-cblinfun \otimes_o A)* *_S \top) \rangle$
 by (rule kernel-compl-adj-range)
 also have $\langle \dots = - (id-cblinfun *_S \top \otimes_S A* *_S \top) \rangle$
 by (metis cblinfun-image-id id-cblinfun-adjoint tensor-ccsubspace-image tensor-ccsubspace-top tensor-op-adjoint)
 also have $\langle \dots = \top \otimes_S (- (A* *_S \top)) \rangle$
 by (simp add: ortho-tensor-ccsubspace-right)
 also have $\langle \dots = \top \otimes_S kernel A \rangle$
 by (simp add: kernel-compl-adj-range)
 finally show ?thesis
 by -

qed

lemma kernel-tensor-id-right: $\langle kernel (A \otimes_o id-cblinfun) = kernel A \otimes_S \top \rangle$

proof -
 have ker-swap: $\langle kernel swap-ell2 = 0 \rangle$
 by (simp add: kernel-isometry)
 have $\langle kernel (id-cblinfun \otimes_o A) = \top \otimes_S kernel A \rangle$
 by (rule kernel-tensor-id-left)
 from this[THEN arg-cong, of $\langle cblinfun-image swap-ell2 \rangle$]
 show ?thesis
 by (simp add: swap-ell2-tensor-ccsubspace cblinfun-image-kernel-unitary)

flip: swap-ell2-commute-tensor-op kernel-cblinfun-compose[OF ker-swap]
qed

lemma *eigenspace-tensor-id-left*: $\langle \text{eigenspace } c \text{ (id-cblinfun } \otimes_o A) = \top \otimes_S \text{ eigenspace } c \ A \rangle$
proof —
have $\langle \text{eigenspace } c \text{ (id-cblinfun } \otimes_o A) = \text{kernel (id-cblinfun } \otimes_o (A - c *_C \text{id-cblinfun})) \rangle$
unfolding *eigenspace-def*
by (*metis (no-types, lifting) complex-vector.scale-minus-left tensor-id tensor-op-right-add tensor-op-scaleC-right uminus-add-conv-diff*)
also have $\langle \text{kernel (id-cblinfun } \otimes_o (A - c *_C \text{id-cblinfun})) = \top \otimes_S \text{kernel (A - c *_C id-cblinfun)} \rangle$
by (*simp add: kernel-tensor-id-left*)
also have $\langle \dots = \top \otimes_S \text{eigenspace } c \ A \rangle$
by (*simp add: eigenspace-def*)
finally show *?thesis*
by —
qed

lemma *eigenspace-tensor-id-right*: $\langle \text{eigenspace } c \text{ (A } \otimes_o \text{id-cblinfun)} = \text{eigenspace } c \ A \otimes_S \top \rangle$
proof —
have $\langle \text{eigenspace } c \text{ (id-cblinfun } \otimes_o A) = \top \otimes_S \text{eigenspace } c \ A \rangle$
by (*rule eigenspace-tensor-id-left*)
from *this[THEN arg-cong, of <cblinfun-image swap-ell2>]*
show *?thesis*
by (*simp add: swap-ell2-commute-tensor-op cblinfun-image-eigenspace-unitary swap-ell2-tensor-ccsubspace*)
qed

end

15 Partial-Trace – The partial trace

theory *Partial-Trace*

imports *Trace-Class Hilbert-Space-Tensor-Product*
begin

hide-fact (**open**) *Infinite-Set-Sum.abs-summable-on-Sigma-iff*

hide-fact (**open**) *Infinite-Set-Sum.abs-summable-on-comparison-test*

hide-const (**open**) *Determinants.trace*

hide-fact (**open**) *Determinants.trace-def*

definition *partial-trace* :: $\langle (('a \times 'c) \text{ ell2}, ('b \times 'c) \text{ ell2}) \text{ trace-class} \Rightarrow ('a \text{ ell2}, 'b \text{ ell2}) \text{ trace-class} \rangle$ **where**

$\langle \text{partial-trace } t = (\sum_{\infty} j. \text{compose-tcl (compose-tcr ((tensor-ell2-right (ket j))* t) (tensor-ell2-right (ket j)))) \rangle$

lemma *partial-trace-def'*: $\langle \text{partial-trace } t = (\sum_{\infty} j. \text{sandwich-tc ((tensor-ell2-right (ket j))* t) \rangle$
— We cannot use this as the definition of *partial-trace* because this definition has a more restricted

type (t is a square operator).

by (*auto intro!*: *simp: partial-trace-def sandwich-tc-def*)

lemma *partial-trace-abs-summable*:

$\langle (\lambda j. \text{compose-tcl } (\text{compose-tcr } ((\text{tensor-ell2-right } (\text{ket } j))^*) t) (\text{tensor-ell2-right } (\text{ket } j))) \text{ abs-summable-on } UNIV \rangle$

and *partial-trace-has-sum*:

$\langle (\lambda j. \text{compose-tcl } (\text{compose-tcr } ((\text{tensor-ell2-right } (\text{ket } j))^*) t) (\text{tensor-ell2-right } (\text{ket } j))) \text{ has-sum } \text{partial-trace } t \rangle UNIV$

and *partial-trace-norm-reducing*: $\langle \text{norm } (\text{partial-trace } t) \leq \text{norm } t \rangle$

proof –

define t' **where** $\langle t' = \text{from-trace-class } t \rangle$

define s **where** $\langle s k = \text{compose-tcl } (\text{compose-tcr } ((\text{tensor-ell2-right } (\text{ket } k))^*) t) (\text{tensor-ell2-right } (\text{ket } k)) \rangle$ **for** k

have bound : $\langle (\sum k \in F. \text{norm } (s k)) \leq \text{norm } t \rangle$

if $\langle F \in \{F. F \subseteq UNIV \wedge \text{finite } F\} \rangle$

for $F :: \langle 'a \text{ set} \rangle$

proof –

from *that* **have** [*simp*]: $\langle \text{finite } F \rangle$

by *force*

define tk **where** $\langle tk k = \text{tensor-ell2-right } (\text{ket } k)^* o_{CL} t' o_{CL} \text{tensor-ell2-right } (\text{ket } k) \rangle$ **for** k

have $tc-t'$ [*simp*]: $\langle \text{trace-class } t' \rangle$

by (*simp add*: t' -*def*)

then **have** $tc-tk$ [*simp*]: $\langle \text{trace-class } (tk k) \rangle$ **for** k

by (*simp add*: tk -*def* *trace-class-comp-left* *trace-class-comp-right*)

define uk **where** $\langle uk k = (\text{polar-decomposition } (tk k))^* \rangle$ **for** k

define u **where** $\langle u = (\sum k \in F. uk k \otimes_o \text{butterfly } (\text{ket } k) (\text{ket } k)) \rangle$

define $B :: \langle 'b \text{ ell2 set} \rangle$ **where** $\langle B = \text{range } ket \rangle$

have $aux1$: $\langle \text{tensor-ell2-right } (\text{ket } x)^* *_V u *_V a = 0 \rangle$ **if** $\langle x \notin F \rangle$ **for** $x a$

proof –

have $*$: $\langle u *_V o_{CL} \text{tensor-ell2-right } (\text{ket } x) = 0 \rangle$

by (*auto intro!*: *equal-ket simp: u-def sum-adj tensor-op-adjoint tensor-ell2-right-apply cblinfun.sum-left tensor-op-ell2 cinner-ket sum-single*[**where** $i=x$] $\langle x \notin F \rangle$)

have $\langle \text{tensor-ell2-right } (\text{ket } x)^* o_{CL} u = 0 \rangle$

by (*rule adj-inject*[*THEN iffD1*]) (*use* $*$ **in** *simp*)

then **show** *?thesis*

by (*simp flip: cblinfun-apply-cblinfun-compose*)

qed

have $aux2$: $\langle uk x *_V \text{tensor-ell2-right } (\text{ket } x)^* *_V a = \text{tensor-ell2-right } (\text{ket } x)^* *_V u *_V a \rangle$ **if** $\langle x \in F \rangle$ **for** $x a$

proof –

have $*$: $\langle \text{tensor-ell2-right } (\text{ket } x) o_{CL} (uk x)^* = u *_V o_{CL} \text{tensor-ell2-right } (\text{ket } x) \rangle$

by (*auto intro!*: *equal-ket simp: u-def sum-adj tensor-op-adjoint tensor-ell2-right-apply cblinfun.sum-left tensor-op-ell2* $\langle x \in F \rangle$ *cinner-ket sum-single*[**where** $i=x$])

have $\langle uk x o_{CL} \text{tensor-ell2-right } (\text{ket } x)^* = \text{tensor-ell2-right } (\text{ket } x)^* o_{CL} u \rangle$

```

    by (rule adj-inject[THEN iffD1]) (use * in simp)
  then show ?thesis
    by (simp flip: cblinfun-apply-cblinfun-compose)
qed

have sum1: ⟨(λ(x, y). ket (y, x) •C (u *V t' *V ket (y, x))) summable-on UNIV⟩
proof -
  have ⟨trace-class (u oCL t')⟩
  by (simp add: trace-class-comp-right)
  then have ⟨(λyx. yx •C ((u oCL t') *V yx)) summable-on (range ket)⟩
  using is-onb-ket trace-exists by blast
  then have ⟨(λyx. ket yx •C ((u oCL t') *V ket yx)) summable-on UNIV⟩
  apply (subst summable-on-reindex-bij-betw[where g=ket and A=UNIV and B=⟨range
ket⟩])
    using bij-betw-def inj-ket by blast
  then show ?thesis
    by (subst summable-on-reindex-bij-betw[where g=prod.swap and A=UNIV, symmetric])
auto
qed

have norm-u: ⟨norm u ≤ 1⟩
proof -
  define u2 uk2 where ⟨u2 = u* oCL u⟩ and ⟨uk2 k = (uk k)* oCL uk k⟩ for k
  have *: ⟨(∑ i∈F. (uk i* oCL uk k) ⊗o (ket i •C ket k) *C butterfly (ket i) (ket k))
    = (uk k* oCL uk k) ⊗o butterfly (ket k) (ket k)⟩ if [simp]: ⟨k ∈ F⟩ for k
  apply (subst sum-single[where i=k])
  by (auto simp: cinner-ket)
  have **: ⟨(∑ ka∈F. (uk2 ka oCL uk2 k) ⊗o (ket ka •C ket k) *C butterfly (ket ka) (ket k))
    = (uk2 k oCL uk2 k) ⊗o butterfly (ket k) (ket k)⟩ if [simp]: ⟨k ∈ F⟩ for k
  apply (subst sum-single[where i=k])
  by (auto simp: cinner-ket)
  have proj-uk2: ⟨is-Proj (uk2 k)⟩ for k
  unfolding uk2-def
  apply (rule partial-isometry-square-proj)
  by (auto intro!: partial-isometry-square-proj partial-isometry-adj simp: uk-def)
  have u2-explicit: ⟨u2 = (∑ k∈F. uk2 k ⊗o butterfly (ket k) (ket k))⟩
  by (simp add: u2-def u-def sum-adj tensor-op-adjoint cblinfun-compose-sum-right
    cblinfun-compose-sum-left tensor-butter comp-tensor-op * uk2-def)
  have ⟨u2* = u2⟩
  by (simp add: u2-def)
  moreover have ⟨u2 oCL u2 = u2⟩
  by (simp add: u2-explicit cblinfun-compose-sum-right cblinfun-compose-sum-left
    comp-tensor-op ** proj-uk2 is-Proj-idempotent)
  ultimately have ⟨is-Proj u2⟩
  by (simp add: is-Proj-I)
  then have ⟨norm u2 ≤ 1⟩
  using norm-is-Proj by blast
  then show ⟨norm u ≤ 1⟩
  by (simp add: power-le-one-iff norm-AAadj u2-def)

```

qed

have $\langle (\sum_{k \in F}. \text{norm } (s \ k))$
 $= (\sum_{k \in F}. \text{trace-norm } (tk \ k)) \rangle$
 by (simp add: s-def tk-def norm-trace-class.rep-eq compose-tcl.rep-eq compose-tcr.rep-eq t'-def)

also have $\langle \dots = \text{cmod } (\sum_{k \in F}. \text{trace } (uk \ k \ o_{CL} \ tk \ k)) \rangle$
 by (smt (verit, best) norm-of-real of-real-hom.hom-sum polar-decomposition-correct' sum.cong sum-nonneg trace-abs-op trace-norm-nneg uk-def)

also have $\langle \dots = \text{cmod } (\sum_{k \in F}. \text{trace } (\text{tensor-ell2-right } (ket \ k)^* \ o_{CL} \ u \ o_{CL} \ t' \ o_{CL} \ \text{tensor-ell2-right } (ket \ k))) \rangle$
 apply (rule arg-cong[where f=cmod], rule sum.cong[OF refl], rule arg-cong[where f=trace])
 by (auto intro!: equal-ket simp: tk-def aux2)

also have $\langle \dots = \text{cmod } (\sum_{k \in F}. \sum_{\infty j}. ket \ j \ \cdot_C ((\text{tensor-ell2-right } (ket \ k)^* \ o_{CL} \ u \ o_{CL} \ t' \ o_{CL} \ \text{tensor-ell2-right } (ket \ k)) \ *_{\mathcal{V}} \ ket \ j)) \rangle$
 by (auto intro!: sum.cong simp: trace-ket-sum trace-class-comp-left trace-class-comp-right)

also have $\langle \dots = \text{cmod } (\sum_{\infty k \in F}. \sum_{\infty j}. ket \ j \ \cdot_C ((\text{tensor-ell2-right } (ket \ k)^* \ o_{CL} \ u \ o_{CL} \ t' \ o_{CL} \ \text{tensor-ell2-right } (ket \ k)) \ *_{\mathcal{V}} \ ket \ j)) \rangle$
 by (simp add: $\langle \text{finite } F \rangle$)

also have $\langle \dots = \text{cmod } (\sum_{\infty k}. \sum_{\infty j}. ket \ j \ \cdot_C ((\text{tensor-ell2-right } (ket \ k)^* \ o_{CL} \ u \ o_{CL} \ t' \ o_{CL} \ \text{tensor-ell2-right } (ket \ k)) \ *_{\mathcal{V}} \ ket \ j)) \rangle$
 apply (rule arg-cong[where f=cmod])
 apply (rule infsum-cong-neutral)
 by (auto simp: aux1)

also have $\langle \dots = \text{cmod } (\sum_{\infty k}. \sum_{\infty j}. ket \ (j,k) \ \cdot_C ((u \ o_{CL} \ t') \ *_{\mathcal{V}} \ ket \ (j,k))) \rangle$
 apply (rule arg-cong[where f=cmod], rule infsum-cong, rule infsum-cong)
 by (simp add: tensor-ell2-right-apply cinner-adj-right tensor-ell2-ket)

also have $\langle \dots = \text{cmod } (\sum_{\infty (k,j)}. ket \ (j,k) \ \cdot_C ((u \ o_{CL} \ t') \ *_{\mathcal{V}} \ ket \ (j,k))) \rangle$
 apply (rule arg-cong[where f=cmod])
 apply (subst infsum-Sigma'-banach)
 using sum1 by auto

also have $\langle \dots = \text{cmod } (\sum_{\infty jk}. ket \ jk \ \cdot_C ((u \ o_{CL} \ t') \ *_{\mathcal{V}} \ ket \ jk)) \rangle$
 apply (subst infsum-reindex-bij-betw[where g=prod.swap and A=UNIV, symmetric])
 by auto

also have $\langle \dots = \text{cmod } (\text{trace } (u \ o_{CL} \ t')) \rangle$
 by (simp add: trace-ket-sum trace-class-comp-right)

also have $\langle \dots \leq \text{trace-norm } (u \ o_{CL} \ t') \rangle$
 using trace-leq-trace-norm by blast

also have $\langle \dots \leq \text{norm } u \ * \ \text{trace-norm } t' \rangle$
 by (simp add: trace-norm-comp-right)

also have $\langle \dots \leq \text{trace-norm } t' \rangle$
 using norm-u
 by (metis more-arith-simps(5) mult-right-mono trace-norm-nneg)

also have $\langle \dots = \text{norm } t \rangle$
 by (simp add: norm-trace-class.rep-eq t'-def)

finally show $\langle (\sum_{k \in F}. \text{norm } (s \ k)) \leq \text{norm } t \rangle$
 by –

qed

show *abs-summable*: $\langle s \text{ abs-summable-on } UNIV \rangle$
by (*intro nonneg-bdd-above-summable-on bdd-aboveI2*[**where** $M = \langle norm \ t \rangle$] *norm-ge-zero bound*)

from *abs-summable*

show *has-sum*: $\langle (s \text{ has-sum partial-trace } t) \text{ UNIV} \rangle$

by (*simp add: abs-summable-summable partial-trace-def s-def*[*abs-def*] *t'-def*)

show $\langle norm \ (partial-trace \ t) \leq norm \ t \rangle$

proof –

have $\langle norm \ (partial-trace \ t) \leq (\sum_{\infty} k. norm \ (s \ k)) \rangle$

using - *has-sum apply* (*rule norm-has-sum-bound*)

using *abs-summable has-sum-infsum* **by** *blast*

also from *bound* **have** $\langle (\sum_{\infty} k. norm \ (s \ k)) \leq norm \ t \rangle$

by (*simp add: abs-summable infsum-le-finite-sums*)

finally show *?thesis*

by –

qed

qed

lemma *partial-trace-abs-summable'*:

$\langle (\lambda j. sandwich-tc \ ((tensor-ell2-right \ (ket \ j))^*) \ t) \text{ abs-summable-on } UNIV \rangle$

and *partial-trace-has-sum'*:

$\langle ((\lambda j. sandwich-tc \ ((tensor-ell2-right \ (ket \ j))^*) \ t) \text{ has-sum partial-trace } t) \text{ UNIV} \rangle$

using *partial-trace-abs-summable partial-trace-has-sum*

by (*auto intro!: simp: sandwich-tc-def sandwich-apply*)

lemma *trace-partial-trace-compose-eq-trace-compose-tensor-id*:

$\langle trace \ (from-trace-class \ (partial-trace \ t) \ o_{CL} \ x) = trace \ (from-trace-class \ t \ o_{CL} \ (x \otimes_o \ id-cblinfun)) \rangle$

proof –

define *s* **where** $\langle s = trace \ (from-trace-class \ (partial-trace \ t) \ o_{CL} \ x) \rangle$

define *s'* **where** $\langle s' \ e = ket \ e \cdot_C \ ((from-trace-class \ (partial-trace \ t) \ o_{CL} \ x) \ *_{V} \ ket \ e) \rangle$ **for** *e*

define *u* **where** $\langle u \ j = compose-tcl \ (compose-tcr \ ((tensor-ell2-right \ (ket \ j))^*) \ t) \ (tensor-ell2-right \ (ket \ j)) \rangle$ **for** *j*

define *u'* **where** $\langle u' \ e \ j = ket \ e \cdot_C \ (from-trace-class \ (u \ j) \ *_{V} \ x \ *_{V} \ ket \ e) \rangle$ **for** *e j*

have $\langle (u \text{ has-sum } partial-trace \ t) \text{ UNIV} \rangle$

using *partial-trace-has-sum*[*of t*]

by (*simp add: u-def*[*abs-def*])

then have $\langle ((\lambda u. from-trace-class \ u \ *_{V} \ x \ *_{V} \ ket \ e) \ o \ u \text{ has-sum } from-trace-class \ (partial-trace \ t) \ *_{V} \ x \ *_{V} \ ket \ e) \text{ UNIV} \rangle$ **for** *e*

proof (*rule has-sum-comm-additive*[*rotated -1*])

show $\langle Modules.additive \ (\lambda u. from-trace-class \ u \ *_{V} \ x \ *_{V} \ ket \ e) \rangle$

by (*simp add: Modules.additive-def cblinfun.add-left plus-trace-class.rep-eq*)

have *bounded-clinear*: $\langle bounded-clinear \ (\lambda u. from-trace-class \ u \ *_{V} \ x \ *_{V} \ ket \ e) \rangle$

proof (*rule bounded-clinearI*[**where** $K = \langle norm \ (x \ *_{V} \ ket \ e) \rangle$])

show $\langle from-trace-class \ (b1 + b2) \ *_{V} \ x \ *_{V} \ ket \ e = from-trace-class \ b1 \ *_{V} \ x \ *_{V} \ ket \ e +$

from-trace-class $b_2 *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e$ **for** $b_1 \ b_2$
by (*simp add: plus-cblinfun.rep-eq plus-trace-class.rep-eq*)
show $\langle \text{from-trace-class } (r *_{\mathcal{C}} b) *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e = r *_{\mathcal{C}} (\text{from-trace-class } b *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) \rangle$
for $b \ r$
by (*simp add: scaleC-trace-class.rep-eq*)
show $\langle \text{norm } (\text{from-trace-class } t *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) \leq \text{norm } t * \text{norm } (x *_{\mathcal{V}} \text{ket } e) \rangle$ **for** t
proof –
have $\langle \text{norm } (\text{from-trace-class } t *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) \leq \text{norm } (\text{from-trace-class } t) * \text{norm } (x *_{\mathcal{V}} \text{ket } e) \rangle$
by (*simp add: norm-cblinfun*)
also have $\langle \dots \leq \text{norm } t * \text{norm } (x *_{\mathcal{V}} \text{ket } e) \rangle$
by (*auto intro!: mult-right-mono simp add: norm-leq-trace-norm norm-trace-class.rep-eq*)
finally show *?thesis*
by –
qed
qed
have $\langle \text{isCont } (\lambda u. \text{from-trace-class } u *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) (\text{partial-trace } t) \rangle$
using *bounded-clinear clinear-continuous-at* **by** *auto*
then show $\langle (\lambda u. \text{from-trace-class } u *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) \text{--partial-trace } t \rightarrow \text{from-trace-class } (\text{partial-trace } t) *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e \rangle$
by (*simp add: isCont-def*)
qed
then have $\langle ((\lambda v. \text{ket } e \cdot_{\mathcal{C}} v) \circ ((\lambda u. \text{from-trace-class } u *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e) \circ u)) \text{has-sum } \text{ket } e \cdot_{\mathcal{C}} (\text{from-trace-class } (\text{partial-trace } t) *_{\mathcal{V}} x *_{\mathcal{V}} \text{ket } e)) \text{UNIV} \rangle$ **for** e
proof (*rule has-sum-comm-additive[rotated -1]*)
show $\langle \text{Modules.additive } (\lambda v. \text{ket } e \cdot_{\mathcal{C}} v) \rangle$
by (*simp add: Modules.additive-def cinner-simps(2)*)
have *bounded-clinear*: $\langle \text{bounded-clinear } (\lambda v. \text{ket } e \cdot_{\mathcal{C}} v) \rangle$
using *bounded-clinear-cinner-right* **by** *auto*
then have $\langle \text{isCont } (\lambda v. \text{ket } e \cdot_{\mathcal{C}} v) \ \text{l} \rangle$ **for** l
by *simp*
then show $\langle (\lambda v. \text{ket } e \cdot_{\mathcal{C}} v) \text{--l} \rightarrow \text{ket } e \cdot_{\mathcal{C}} \ \text{l} \rangle$ **for** l
by (*simp add: isContD*)
qed
then have *has-sum-u'*: $\langle ((\lambda j. u' \ e \ j) \text{has-sum } s' \ e) \text{UNIV} \rangle$ **for** e
by (*simp add: o-def u'-def s'-def*)
then have *infsum-u'*: $\langle s' \ e = \text{infsum } (u' \ e) \text{UNIV} \rangle$ **for** e
by (*metis infsumI*)
have *tc-u-x[simp]*: $\langle \text{trace-class } (\text{from-trace-class } (u \ j) \ o_{\mathcal{C}L} \ x) \rangle$ **for** j
by (*simp add: trace-class-comp-left*)
have *summable-u'-pairs*: $\langle (\lambda(e, j). u' \ e \ j) \text{summable-on } \text{UNIV} \times \text{UNIV} \rangle$
proof –
have $\langle \text{trace-class } (\text{from-trace-class } t \ o_{\mathcal{C}L} (x \otimes_{\circ} \text{id-cblinfun})) \rangle$
by (*simp add: trace-class-comp-left*)
from *trace-exists[OF is-onb-ket this]*
have $\langle (\lambda e j. \text{ket } e j \cdot_{\mathcal{C}} (\text{from-trace-class } t *_{\mathcal{V}} (x \otimes_{\circ} \text{id-cblinfun}) *_{\mathcal{V}} \text{ket } e j)) \text{summable-on } \text{UNIV} \rangle$
by (*simp-all add: summable-on-reindex o-def*)

then show *?thesis*
by (*simp-all add: o-def u'-def[abs-def] u-def*
trace-class-comp-left trace-class-comp-right Abs-trace-class-inverse tensor-ell2-right-apply

ket-pair-split tensor-op-ell2 case-prod-unfold cinner-adj-right
compose-tcl.rep-eq compose-tcr.rep-eq)
qed

have $u'\text{-tensor}: \langle u' e j = \text{ket } (e,j) \cdot_C ((\text{from-trace-class } t \text{ } o_{CL} (x \otimes_o \text{id-cblinfun})) *_V \text{ket } (e,j)) \rangle$
for $e j$
by (*simp add: u'-def u-def tensor-op-ell2 tensor-ell2-right-apply Abs-trace-class-inverse*
trace-class-comp-left trace-class-comp-right cinner-adj-right compose-tcl.rep-eq compose-tcr.rep-eq
flip: tensor-ell2-ket)

have $\langle (\lambda e. e \cdot_C ((\text{from-trace-class } (\text{partial-trace } t) \text{ } o_{CL} x) *_V e)) \text{has-sum } s) (\text{range } \text{ket}) \rangle$
unfolding *s-def*
apply (*rule trace-has-sum*)
by (*auto simp: trace-class-comp-left*)
then have $\langle s' \text{has-sum } s \rangle \text{UNIV}$
apply (*subst (asm) has-sum-reindex*)
by (*auto simp: o-def s'-def[abs-def]*)
then have $\langle s = \text{infsum } s' \rangle \text{UNIV}$
by (*simp add: infsumI*)
also have $\langle \dots = \text{infsum } (\lambda e. \text{infsum } (u' e) \text{UNIV}) \text{UNIV} \rangle$
using *infsum-u'* **by** *presburger*
also have $\langle \dots = (\sum_{\infty (e,j) \in \text{UNIV}. u' e j} \rangle$
apply (*subst infsum-Sigma'-banach*)
apply (*rule summable-u'-pairs*)
by *simp*
also have $\langle \dots = \text{trace } (\text{from-trace-class } t \text{ } o_{CL} (x \otimes_o \text{id-cblinfun})) \rangle$
unfolding *u'-tensor*
by (*simp add: trace-ket-sum cond-case-prod-eta trace-class-comp-left*)
finally show *?thesis*
by (*simp add: s-def*)
qed

lemma *right-amplification-weak-star-cont[simp]:*

$\langle \text{continuous-map weak-star-topology weak-star-topology } (\lambda a. a \otimes_o \text{id-cblinfun}) \rangle$
— Logically does not belong in this theory but uses the partial trace in the proof.
proof (*unfold weak-star-topology-def', rule continuous-map-pullback-both*)
show $\langle S \subseteq f - ' \text{UNIV} \rangle$ **for** $S :: \langle 'x \text{ set} \rangle$ **and** $f :: \langle 'x \Rightarrow 'y \rangle$
by *simp*
define $g' :: \langle (('b \text{ ell2}, 'a \text{ ell2}) \text{trace-class} \Rightarrow \text{complex}) \Rightarrow (('b \times 'c) \text{ ell2}, ('a \times 'c) \text{ ell2})$
 $\text{trace-class} \Rightarrow \text{complex} \rangle$ **where**
 $\langle g' \tau t = \tau (\text{partial-trace } t) \rangle$ **for** τt
have $\langle \text{continuous-on } \text{UNIV } g' \rangle$
by (*simp add: continuous-on-coordinatewise-then-product g'-def*)

then show $\langle \text{continuous-map euclidean euclidean } g' \rangle$
using *continuous-map-iff-continuous2* **by** *blast*
show $\langle g' (\lambda t. \text{trace} (\text{from-trace-class } t \text{ } o_{CL} x)) =$
 $(\lambda t. \text{trace} (\text{from-trace-class } t \text{ } o_{CL} x \otimes_o \text{id-cblinfun})) \rangle$ **for** x
by (*auto intro!*; *ext simp*; *g'-def trace-partial-trace-compose-eq-trace-compose-tensor-id*)
qed

lemma *left-amplification-weak-star-cont*[*simp*]:
 $\langle \text{continuous-map weak-star-topology weak-star-topology} (\lambda b. \text{id-cblinfun} \otimes_o b :: ('c \times 'a) \text{ ell2}$
 $\Rightarrow_{CL} ('c \times 'b) \text{ ell2}) \rangle$
— Logically does not belong in this theory but uses the partial trace in the proof.
proof —
have $\langle \text{continuous-map weak-star-topology weak-star-topology} ($
 $(\lambda x. x \text{ } o_{CL} \text{ swap-ell2}) \circ (\lambda x. \text{swap-ell2 } o_{CL} x) \circ (\lambda a. a \otimes_o \text{id-cblinfun} :: ('a \times 'c) \text{ ell2}$
 $\Rightarrow_{CL} ('b \times 'c) \text{ ell2}) \rangle$
by (*auto intro!*; *continuous-map-compose*[**where** $X' = \text{weak-star-topology}$]
continuous-map-left-comp-weak-star continuous-map-right-comp-weak-star)
then show *?thesis*
by (*auto simp*; *o-def*)
qed

lemma *partial-trace-plus*: $\langle \text{partial-trace} (t + u) = \text{partial-trace } t + \text{partial-trace } u \rangle$
proof —
from *partial-trace-has-sum*[*of t*] **and** *partial-trace-has-sum*[*of u*]
have $\langle ((\lambda j. \text{compose-tcl} (\text{compose-tcr} ((\text{tensor-ell2-right} (\text{ket } j))^* t) (\text{tensor-ell2-right} (\text{ket } j)))$
 $+ \text{compose-tcl} (\text{compose-tcr} ((\text{tensor-ell2-right} (\text{ket } j))^* u) (\text{tensor-ell2-right} (\text{ket } j))))$
has-sum
 $\text{partial-trace } t + \text{partial-trace } u) \text{ UNIV} \rangle$ (**is** $\langle (?f \text{ has-sum } -) - \rangle$)
by (*rule has-sum-add*)
moreover have $\langle ?f j = \text{compose-tcl} (\text{compose-tcr} ((\text{tensor-ell2-right} (\text{ket } j))^* (t + u))$
 $(\text{tensor-ell2-right} (\text{ket } j))) \rangle$ (**is** $\langle ?f j = ?g j \rangle$) **for** j
by (*simp add*; *compose-tcl.add-left compose-tcr.add-right*)
ultimately have $\langle (?g \text{ has-sum } \text{partial-trace } t + \text{partial-trace } u) \text{ UNIV} \rangle$
by *simp*
moreover have $\langle (?g \text{ has-sum } \text{partial-trace} (t + u)) \text{ UNIV} \rangle$
by (*simp add*; *partial-trace-has-sum*)
ultimately show *?thesis*
using *has-sum-unique* **by** *blast*
qed

lemma *partial-trace-scaleC*: $\langle \text{partial-trace} (c *_{CL} t) = c *_{CL} \text{partial-trace } t \rangle$
by (*simp add*; *partial-trace-def infsum-scaleC-right compose-tcr.scaleC-right compose-tcl.scaleC-left*)

lemma *partial-trace-tensor*: $\langle \text{partial-trace} (\text{tc-tensor } t u) = \text{trace-tc } u *_{CL} t \rangle$
proof —
define $t' u'$ **where** $\langle t' = \text{from-trace-class } t \rangle$ **and** $\langle u' = \text{from-trace-class } u \rangle$
have $1: \langle (\lambda j. \text{ket } j \cdot_{CL} (\text{from-trace-class } u *_{CL} \text{ket } j)) \text{ summable-on UNIV} \rangle$
using *trace-exists*[**where** $B = \langle \text{range ket} \rangle$ **and** $A = \langle \text{from-trace-class } u \rangle$]

by (*simp add: summable-on-reindex o-def*)
have $\langle \text{partial-trace } (tc\text{-tensor } t \ u) =$
 $(\sum_{\infty} j. \text{compose-tcl } (\text{compose-tcr } (\text{tensor-ell2-right } (ket \ j)^*)) (tc\text{-tensor } t \ u)) (\text{tensor-ell2-right } (ket \ j)) \rangle$
by (*simp add: partial-trace-def*)
also have $\langle \dots = (\sum_{\infty} j. (ket \ j \cdot_C (\text{from-trace-class } u \ *_V \ ket \ j)) *_C \ t) \rangle$
proof –
have *: $\langle \text{tensor-ell2-right } (ket \ j)^* \ o_{CL} \ t' \otimes_o \ u' \ o_{CL} \ \text{tensor-ell2-right } (ket \ j) =$
 $(ket \ j \cdot_C (u' \ *_V \ ket \ j)) *_C \ t' \rangle$ **for** j
by (*auto intro!: cblinfun-eqI simp: tensor-op-ell2*)
show ?thesis
apply (*rule infsum-cong*)
by (*auto intro!: from-trace-class-inject[THEN iffD1] simp flip: t'-def u'-def*
*simp: * compose-tcl.rep-eq compose-tcr.rep-eq tc-tensor.rep-eq scaleC-trace-class.rep-eq*)
qed
also have $\langle \dots = \text{trace-tc } u \ *_C \ t \rangle$
by (*auto intro!: infsum-scaleC-left simp: trace-tc-def trace-alt-def[OF is-onb-ket] infsum-reindex*
o-def 1)
finally show ?thesis
by –
qed

lemma *bounded-clinear-partial-trace*[*bounded-clinear, iff*]: $\langle \text{bounded-clinear partial-trace} \rangle$
apply (*rule bounded-clinearI[where K=1]*)
by (*auto simp add: partial-trace-plus partial-trace-scaleC partial-trace-norm-reducing*)

lemma *vector-sandwich-partial-trace-has-sum*:
 $\langle ((\lambda z. ((x \otimes_s \ ket \ z) \cdot_C (\text{from-trace-class } \varrho \ *_V (y \otimes_s \ ket \ z))))$
 $\text{has-sum } x \cdot_C (\text{from-trace-class } (\text{partial-trace } \varrho) \ *_V \ y)) \ UNIV \rangle$
proof –
define $x \varrho y$ **where** $\langle x \varrho y = x \cdot_C (\text{from-trace-class } (\text{partial-trace } \varrho) \ *_V \ y) \rangle$
have $\langle ((\lambda j. \text{compose-tcl } (\text{compose-tcr } ((\text{tensor-ell2-right } (ket \ j)^*) \ \varrho) (\text{tensor-ell2-right } (ket \ j))))$
 $\text{has-sum } \text{partial-trace } \varrho) \ UNIV \rangle$
using *partial-trace-has-sum* **by** *force*
then have $\langle ((\lambda j. x \cdot_C (\text{from-trace-class } (\text{compose-tcl } (\text{compose-tcr } ((\text{tensor-ell2-right } (ket \ j)^*) \ \varrho) (\text{tensor-ell2-right } (ket \ j)))) \ *_V \ y))$
 $\text{has-sum } x \varrho y) \ UNIV \rangle$
unfolding $x \varrho y\text{-def}$
apply (*rule Infinite-Sum.has-sum-bounded-linear[rotated]*)
by (*intro bounded-clinear.bounded-linear bounded-linear-intros*)
then have $\langle ((\lambda j. x \cdot_C (\text{tensor-ell2-right } (ket \ j)^* \ *_V \ \text{from-trace-class } \varrho \ *_V \ y \otimes_s \ ket \ j)) \text{has-sum } x \varrho y) \ UNIV \rangle$
by (*simp add: compose-tcl.rep-eq compose-tcr.rep-eq*)
then show ?thesis
by (*metis (no-types, lifting) cinner-adj-right has-sum-cong tensor-ell2-right-apply x \varrho y-def*)
qed

lemma *vector-sandwich-partial-trace*:

$\langle x \cdot_C (\text{from-trace-class } (\text{partial-trace } \varrho) *_{\mathcal{V}} y) =$
 $(\sum_{\infty z}. ((x \otimes_s \text{ket } z) \cdot_C (\text{from-trace-class } \varrho *_{\mathcal{V}} (y \otimes_s \text{ket } z)))) \rangle$
by (*metis (mono-tags, lifting) infsumI vector-sandwich-partial-trace-has-sum*)

end

16 Von-Neumann-Algebras – Von Neumann algebras and the double commutant theorem

theory *Von-Neumann-Algebras*
imports *Hilbert-Space-Tensor-Product*
begin

16.1 Commutants

definition $\langle \text{commutant } F = \{x. \forall y \in F. x \circ_{CL} y = y \circ_{CL} x\} \rangle$

lemma *sandwich-unitary-commutant*:

fixes $U :: \langle a :: \text{chilbert-space} \Rightarrow_{CL} b :: \text{chilbert-space} \rangle$

assumes [*simp*]: $\langle \text{unitary } U \rangle$

shows $\langle \text{sandwich } U \text{ 'commutant } X = \text{commutant } (\text{sandwich } U \text{ ' } X) \rangle$

proof (*rule Set.set-eqI*)

fix x

let $?comm = \langle \lambda a. b. a \circ_{CL} b = b \circ_{CL} a \rangle$

have $\langle x \in \text{sandwich } U \text{ 'commutant } X \longleftrightarrow \text{sandwich } (U^*) x \in \text{commutant } X \rangle$

apply (*subst inj-image-mem-iff[symmetric, where f= $\langle \text{sandwich } (U^*) \rangle$]*)

by (*auto intro!: inj-sandwich-isometry simp: image-image simp flip: cblinfun-apply-cblinfun-compose sandwich-compose*)

also have $\langle \dots \longleftrightarrow (\forall y \in X. ?comm (\text{sandwich } (U^*) x) y) \rangle$

by (*simp add: commutant-def*)

also have $\langle \dots \longleftrightarrow (\forall y \in X. ?comm x (\text{sandwich } U y)) \rangle$

apply (*rule ball-cong, simp*)

apply (*simp add: sandwich-apply*)

by (*smt (verit) assms cblinfun-assoc-left(1) cblinfun-compose-id-left cblinfun-compose-id-right unitaryD1 unitaryD2*)

also have $\langle \dots \longleftrightarrow (\forall y \in \text{sandwich } U \text{ ' } X. ?comm x y) \rangle$

by *fast*

also have $\langle \dots \longleftrightarrow x \in \text{commutant } (\text{sandwich } U \text{ ' } X) \rangle$

by (*simp add: commutant-def*)

finally show $\langle (x \in (*_{\mathcal{V}}) (\text{sandwich } U) \text{ 'commutant } X) \longleftrightarrow (x \in \text{commutant } ((*_{\mathcal{V}}) (\text{sandwich } U) \text{ ' } X)) \rangle$

by $-$

qed

lemma *commutant-tensor1*: $\langle \text{commutant } (\text{range } (\lambda a. a \otimes_o \text{id-cblinfun})) = \text{range } (\lambda b. \text{id-cblinfun } \otimes_o b) \rangle$

```

proof (rule Set.set-eqI, rule iffI)
  fix  $x :: \langle ('a \times 'b) \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2} \rangle$ 
  fix  $\gamma :: 'a$ 
  assume  $\langle x \in \text{commutant} (\text{range} (\lambda a. a \otimes_{\circ} \text{id-cblinfun})) \rangle$ 
  then have  $\text{comm}: \langle (a \otimes_{\circ} \text{id-cblinfun}) *_{V} x *_{V} \psi = x *_{V} (a \otimes_{\circ} \text{id-cblinfun}) *_{V} \psi \rangle$  for  $a \psi$ 
  by (metis (mono-tags, lifting) commutant-def mem-Collect-eq rangeI cblinfun-apply-cblinfun-compose)

  define  $op$  where  $\langle op = \text{classical-operator} (\lambda i. \text{Some} (\gamma, i :: 'b)) \rangle$ 
  have [simp]:  $\langle \text{classical-operator-exists} (\lambda i. \text{Some} (\gamma, i)) \rangle$ 
  apply (rule classical-operator-exists-inj)
  using inj-map-def by blast
  define  $x'$  where  $\langle x' = op *_{OCL} x_{OCL} op \rangle$ 
  have  $x'$ :  $\langle \text{cinner} (\text{ket } j) (x' *_{V} \text{ket } l) = \text{cinner} (\text{ket } (\gamma, j)) (x *_{V} \text{ket } (\gamma, l)) \rangle$  for  $j \ l$ 
  by (simp add: x'-def op-def classical-operator-ket cinner-adj-right)

  have  $\langle \text{cinner} (\text{ket } (i, j)) (x *_{V} \text{ket } (k, l)) = \text{cinner} (\text{ket } (i, j)) ((\text{id-cblinfun} \otimes_{\circ} x') *_{V} \text{ket } (k, l)) \rangle$ 
for  $i \ j \ k \ l$ 
  proof –
    have  $\langle \text{cinner} (\text{ket } (i, j)) (x *_{V} \text{ket } (k, l))$ 
       $= \text{cinner} ((\text{butterfly} (\text{ket } i) (\text{ket } \gamma) \otimes_{\circ} \text{id-cblinfun}) *_{V} \text{ket } (\gamma, j)) (x *_{V} (\text{butterfly} (\text{ket } k)$ 
       $(\text{ket } \gamma) \otimes_{\circ} \text{id-cblinfun}) *_{V} \text{ket } (\gamma, l)) \rangle$ 
    by (auto simp: tensor-op-ket tensor-ell2-ket)
    also have  $\langle \dots = \text{cinner} (\text{ket } (\gamma, j)) ((\text{butterfly} (\text{ket } \gamma) (\text{ket } i) \otimes_{\circ} \text{id-cblinfun}) *_{V} x *_{V}$ 
       $(\text{butterfly} (\text{ket } k) (\text{ket } \gamma) \otimes_{\circ} \text{id-cblinfun}) *_{V} \text{ket } (\gamma, l)) \rangle$ 
    by (metis (no-types, lifting) cinner-adj-left butterfly-adjoint id-cblinfun-adjoint tensor-op-adjoint)
    also have  $\langle \dots = \text{cinner} (\text{ket } (\gamma, j)) (x *_{V} (\text{butterfly} (\text{ket } \gamma) (\text{ket } i) \otimes_{\circ} \text{id-cblinfun} \text{ }_{OCL}$ 
       $\text{butterfly} (\text{ket } k) (\text{ket } \gamma) \otimes_{\circ} \text{id-cblinfun}) *_{V} \text{ket } (\gamma, l)) \rangle$ 
    unfolding  $\text{comm}$  by (simp add: cblinfun-apply-cblinfun-compose)
    also have  $\langle \dots = \text{cinner} (\text{ket } i) (\text{ket } k) * \text{cinner} (\text{ket } (\gamma, j)) (x *_{V} \text{ket } (\gamma, l)) \rangle$ 
    by (simp add: comp-tensor-op tensor-op-ket tensor-op-scaleC-left cinner-ket tensor-ell2-ket)
    also have  $\langle \dots = \text{cinner} (\text{ket } i) (\text{ket } k) * \text{cinner} (\text{ket } j) (x' *_{V} \text{ket } l) \rangle$ 
    by (simp add: x')
    also have  $\langle \dots = \text{cinner} (\text{ket } (i, j)) ((\text{id-cblinfun} \otimes_{\circ} x') *_{V} \text{ket } (k, l)) \rangle$ 
    apply (simp add: tensor-op-ket)
    by (simp flip: tensor-ell2-ket)
    finally show ?thesis by –
  qed
  then have  $\langle x = (\text{id-cblinfun} \otimes_{\circ} x') \rangle$ 
  by (auto intro!: equal-ket cinner-ket-eqI)
  then show  $\langle x \in \text{range} (\lambda b. \text{id-cblinfun} \otimes_{\circ} b) \rangle$ 
  by auto
next
  fix  $x :: \langle ('a \times 'b) \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2} \rangle$ 
  assume  $\langle x \in \text{range} (\lambda b. \text{id-cblinfun} \otimes_{\circ} b) \rangle$ 
  then obtain  $b$  where  $x: \langle x = \text{id-cblinfun} \otimes_{\circ} b \rangle$ 
  by auto
  then show  $\langle x \in \text{commutant} (\text{range} (\lambda a. a \otimes_{\circ} \text{id-cblinfun})) \rangle$ 
  by (auto simp: x commutant-def comp-tensor-op)
qed

```

lemma *csubspace-commutant*[simp]: $\langle \text{csubspace } (\text{commutant } X) \rangle$
by (*auto simp add: complex-vector.subspace-def commutant-def cblinfun-compose-add-right cblinfun-compose-add-left*)

lemma *closed-commutant*[simp]: $\langle \text{closed } (\text{commutant } X) \rangle$
proof (*subst closed-sequential-limits, intro allI impI, erule conjE*)
fix $s :: \langle \text{nat} \Rightarrow \rightarrow \rangle$ **and** l
assume $s\text{-comm}: \langle \forall n. s\ n \in \text{commutant } X \rangle$
assume $\langle s \longrightarrow l \rangle$
have $\langle l\ o_{CL}\ x - x\ o_{CL}\ l = 0 \rangle$ **if** $\langle x \in X \rangle$ **for** x
proof $-$
from $\langle s \longrightarrow l \rangle$
have $\langle (\lambda n. s\ n\ o_{CL}\ x - x\ o_{CL}\ s\ n) \longrightarrow l\ o_{CL}\ x - x\ o_{CL}\ l \rangle$
apply (*rule isCont-tendsto-compose[rotated]*)
by (*intro continuous-intros*)
then have $\langle (\lambda-. 0) \longrightarrow l\ o_{CL}\ x - x\ o_{CL}\ l \rangle$
using $s\text{-comm}$ **that** **by** (*auto simp add: commutant-def*)
then show *?thesis*
by (*simp add: LIMSEQ-const-iff that*)
qed
then show $\langle l \in \text{commutant } X \rangle$
by (*simp add: commutant-def*)
qed

lemma *closed-csubspace-commutant*[simp]: $\langle \text{closed-csubspace } (\text{commutant } X) \rangle$
apply (*rule closed-csubspace.intro*) **by** *simp-all*

lemma *commutant-mult*: $\langle a\ o_{CL}\ b \in \text{commutant } X \rangle$ **if** $\langle a \in \text{commutant } X \rangle$ **and** $\langle b \in \text{commutant } X \rangle$
using *that*
apply (*auto simp: commutant-def cblinfun-compose-assoc*)
by (*simp flip: cblinfun-compose-assoc*)

lemma *double-commutant-grows*[simp]: $\langle X \subseteq \text{commutant } (\text{commutant } X) \rangle$
by (*auto simp add: commutant-def*)

lemma *commutant-antimono*: $\langle X \subseteq Y \implies \text{commutant } X \supseteq \text{commutant } Y \rangle$
by (*auto simp add: commutant-def*)

lemma *triple-commutant*[simp]: $\langle \text{commutant } (\text{commutant } (\text{commutant } X)) = \text{commutant } X \rangle$
by (*auto simp: commutant-def*)

lemma *commutant-adj*: $\langle \text{adj } ' \text{commutant } X = \text{commutant } (\text{adj } ' X) \rangle$
apply (*auto intro!: image-eqI double-adj[symmetric] simp: commutant-def simp flip: adj-cblinfun-compose*)
by (*metis adj-cblinfun-compose double-adj*)

lemma *commutant-empty*[simp]: $\langle \text{commutant } \{\} = \text{UNIV} \rangle$
by (*simp add: commutant-def*)

lemma *commutant-weak-star-closed*[simp]: $\langle \text{closedin weak-star-topology } (\text{commutant } X) \rangle$
proof –

have *comm-inter*: $\langle \text{commutant } X = (\bigcap x \in X. \text{commutant } \{x\}) \rangle$
by (*auto simp: commutant-def*)
have *comm-x*: $\langle \text{commutant } \{x\} = (\lambda y. x \circ_{CL} y - y \circ_{CL} x) - \{0\} \rangle$ **for** $x :: \langle 'a \Rightarrow_{CL} 'a \rangle$
by (*auto simp add: commutant-def vimage-def*)
have *cont*: $\langle \text{continuous-map weak-star-topology weak-star-topology } (\lambda y. x \circ_{CL} y - y \circ_{CL} x) \rangle$
for $x :: \langle 'a \Rightarrow_{CL} 'a \rangle$
apply (*rule continuous-intros*)
by (*simp-all add: continuous-map-left-comp-weak-star continuous-map-right-comp-weak-star*)
have $\langle \text{closedin weak-star-topology } ((\lambda y. x \circ_{CL} y - y \circ_{CL} x) - \{0\}) \rangle$ **for** $x :: \langle 'a \Rightarrow_{CL} 'a \rangle$
using *closedin-vimage*[**where** $U = \langle \text{weak-star-topology} \rangle$ **and** $S = \langle \{0\} \rangle$ **and** $T = \langle \text{weak-star-topology} \rangle$]
using *cont* **by** (*auto simp add: closedin-Hausdorff-singleton*)
then show *?thesis*
apply (*cases* $\langle X = \{\} \rangle$)
using *closedin-topospace*[*of weak-star-topology*]
by (*auto simp add: comm-inter comm-x*)

qed

lemma *cspan-in-double-commutant*: $\langle \text{cspan } X \subseteq \text{commutant } (\text{commutant } X) \rangle$
by (*simp add: complex-vector.span-minimal*)

lemma *weak-star-closure-in-double-commutant*: $\langle \text{weak-star-topology closure-of } X \subseteq \text{commutant } (\text{commutant } X) \rangle$
by (*simp add: closure-of-minimal*)

lemma *weak-star-closure-cspan-in-double-commutant*: $\langle \text{weak-star-topology closure-of cspan } X \subseteq \text{commutant } (\text{commutant } X) \rangle$
by (*simp add: closure-of-minimal cspan-in-double-commutant*)

lemma *commutant-memberI*:
assumes $\langle \bigwedge y. y \in X \implies x \circ_{CL} y = y \circ_{CL} x \rangle$
shows $\langle x \in \text{commutant } X \rangle$
using *assms* **by** (*simp add: commutant-def*)

lemma *commutant-sot-closed*: $\langle \text{closedin cstrong-operator-topology } (\text{commutant } A) \rangle$
– [2], Exercise IX.6.2

proof (*cases* $\langle A = \{\} \rangle$)
case *True*
then show *?thesis*
apply *simp*
by (*metis closedin-topospace cstrong-operator-topology-topospace*)
next

```

case False
have closed-a: ⟨closedin cstrong-operator-topology (commutant {a})⟩ for a :: ⟨'a ⇒CL 'a⟩
proof –
  have comm-a: ⟨commutant {a} = (λb. a oCL b – b oCL a) – '{0}⟩
    by (auto simp: commutant-def)
  have closed-0: ⟨closedin cstrong-operator-topology {0}⟩
    apply (rule closedin-Hausdorff-singleton)
    by simp-all
  have cont: ⟨continuous-map cstrong-operator-topology cstrong-operator-topology (λb. a oCL
b – b oCL a)⟩
    by (intro continuous-intros continuous-map-left-comp-sot continuous-map-right-comp-sot)
  show ?thesis
    using closedin-vimage[OF closed-0 cont]
    by (simp add: comm-a)
qed
have *: ⟨commutant A = (∩ a ∈ A. commutant {a})⟩
  by (auto simp add: commutant-def)
show ?thesis
  by (auto intro!: closedin-Inter simp: * False closed-a)
qed

```

```

lemma commutant-tensor1': ⟨commutant (range (λa. id-cblinfun ⊗o a)) = range (λb. b ⊗o
id-cblinfun)⟩
proof –
  have ⟨commutant (range (λa. id-cblinfun ⊗o a)) = commutant (sandwich swap-ell2 ' range
(λa. a ⊗o id-cblinfun))⟩
    by (metis (no-types, lifting) image-cong range-composition swap-tensor-op-sandwich)
  also have ⟨... = sandwich swap-ell2 ' commutant (range (λa. a ⊗o id-cblinfun))⟩
    by (simp add: sandwich-unitary-commutant)
  also have ⟨... = sandwich swap-ell2 ' range (λa. id-cblinfun ⊗o a)⟩
    by (simp add: commutant-tensor1)
  also have ⟨... = range (λb. b ⊗o id-cblinfun)⟩
    by force
  finally show ?thesis
    by –
qed

```

```

lemma closed-map-sot-tensor-op-id-right:
  ⟨closed-map cstrong-operator-topology cstrong-operator-topology (λa. a ⊗o id-cblinfun :: ('a ×
'b) ell2 ⇒CL ('a × 'b) ell2)⟩
proof (unfold closed-map-def, intro allI impI)
  fix U :: ⟨('a ell2 ⇒CL 'a ell2) set⟩
  assume closed-U: ⟨closedin cstrong-operator-topology U⟩

  have aux1: ⟨range f ⊆ X ⟷ (∀ x. f x ∈ X)⟩ for f :: ⟨'x ⇒ 'y⟩ and X
    by blast

  have ⟨l ∈ (λa. a ⊗o id-cblinfun) ' U⟩ if range: ⟨range (λx. f x) ⊆ (λa. a ⊗o id-cblinfun) ' U⟩

```

```

and limit: ⟨limitin cstrong-operator-topology f l F⟩ and ⟨ $F \neq \perp$ ⟩
for f and l :: ⟨('a × 'b) ell2 ⇒CL ('a × 'b) ell2⟩ and F :: ⟨(('a × 'b) ell2 ⇒CL ('a × 'b)
ell2) filter⟩
proof –
from range obtain f' where f'U: ⟨range f' ⊆ U⟩ and f-def: ⟨f y = f' y ⊗o id-cblinfun⟩
for y
  apply atomize-elim
  apply (subst aux1)
  apply (rule choice2)
  by auto
have ⟨l ∈ commutant (range (λa. id-cblinfun ⊗o a))⟩
proof (rule commutant-memberI)
  fix c :: ⟨('a × 'b) ell2 ⇒CL ('a × 'b) ell2⟩
  assume ⟨c ∈ range (λa. id-cblinfun ⊗o a)⟩
  then obtain c' where c-def: ⟨c = id-cblinfun ⊗o c'⟩
  by blast
from limit have 1: ⟨limitin cstrong-operator-topology ((λz. z oCL c) o f) (l oCL c) F⟩
  apply (rule continuous-map-limit[rotated])
  by (simp add: continuous-map-right-comp-sot)
from limit have 2: ⟨limitin cstrong-operator-topology ((λz. c oCL z) o f) (c oCL l) F⟩
  apply (rule continuous-map-limit[rotated])
  by (simp add: continuous-map-left-comp-sot)
have 3: ⟨f x oCL c = c oCL f x⟩ for x
  by (simp add: f-def c-def comp-tensor-op)
from 1 2 show ⟨l oCL c = c oCL l⟩
  unfolding 3 o-def
  by (meson hausdorff-sot limitin-Hausdorff-unique that(3))
qed
then have ⟨l ∈ range (λa. a ⊗o id-cblinfun)⟩
  by (simp add: commutant-tensor1')
then obtain l' where l-def: ⟨l = l' ⊗o id-cblinfun⟩
  by blast
have ⟨limitin cstrong-operator-topology f' l' F⟩
proof (rule limitin-cstrong-operator-topology[THEN iffD2], rule allI)
  fix ψ fix b :: 'b
  have ⟨((λx. f x *V (ψ ⊗s ket b)) ⟶ l *V (ψ ⊗s ket b)) F⟩
  using limitin-cstrong-operator-topology that(2) by auto
  then have ⟨((λx. (f' x *V ψ) ⊗s ket b) ⟶ (l' *V ψ) ⊗s ket b) F⟩
  by (simp add: f-def l-def tensor-op-ell2)
  then have ⟨((λx. (tensor-ell2-right (ket b))* *V ((f' x *V ψ) ⊗s ket b))
    ⟶ (tensor-ell2-right (ket b))* *V ((l' *V ψ) ⊗s ket b)) F⟩
  apply (rule cblinfun.tendsto[rotated])
  by simp
  then show ⟨((λx. f' x *V ψ) ⟶ l' *V ψ) F⟩
  by (simp add: tensor-ell2-right-adj-apply)
qed
with closed-U f'U ⟨ $F \neq \perp$ ⟩ have ⟨l' ∈ U⟩
  by (simp add: Misc-Tensor-Product.limitin-closedin)
then show ⟨l ∈ (λa. a ⊗o id-cblinfun) 'U⟩

```

by (*simp add: l-def*)
qed
then show $\langle \text{closedin cstrong-operator-topology } ((\lambda a. a \otimes_o \text{id-cblinfun}) :: ('a \times 'b) \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2}) 'U \rangle$
apply (*rule-tac closedin-if-converge-inside*)
by *simp-all*
qed

lemma *id-in-commutant[iff]*: $\langle \text{id-cblinfun} \in \text{commutant } A \rangle$
by (*simp add: commutant-memberI*)

lemma *double-commutant-hull*: $\langle \text{commutant } (\text{commutant } X) = (\lambda X. \text{commutant } (\text{commutant } X) = X) \text{ hull } X \rangle$
by (*smt (verit) commutant-antimono double-commutant-grows hull-unique triple-commutant*)

lemma *commutant-adj-closed*: $\langle (\bigwedge x. x \in X \Rightarrow x^* \in X) \Rightarrow x \in \text{commutant } X \Rightarrow x^* \in \text{commutant } X \rangle$
by (*metis (no-types, opaque-lifting) commutant-adj commutant-antimono double-adj imageI subset-iff*)

lemma *double-commutant-Un-left*: $\langle \text{commutant } (\text{commutant } (\text{commutant } (\text{commutant } X) \cup Y)) = \text{commutant } (\text{commutant } (X \cup Y)) \rangle$
apply (*simp add: double-commutant-hull cong: arg-cong[where f= $\langle \text{Hull.hull } - \rangle$]*)
using *hull-Un-left by fastforce*

lemma *double-commutant-Un-right*: $\langle \text{commutant } (\text{commutant } (X \cup \text{commutant } (\text{commutant } Y))) = \text{commutant } (\text{commutant } (X \cup Y)) \rangle$
by (*metis Un-ac(3) double-commutant-Un-left*)

lemma *amplification-double-commutant-commute*:
 $\langle \text{commutant } (\text{commutant } ((\lambda a. a \otimes_o \text{id-cblinfun}) 'X)) = (\lambda a. a \otimes_o \text{id-cblinfun}) ' \text{commutant } (\text{commutant } X) \rangle$
— [7], Corollary IV.1.5

proof —

define $\pi :: \langle ('a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2}) \Rightarrow (('a \times 'b) \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2}) \rangle$ **where**
 $\langle \pi a = a \otimes_o \text{id-cblinfun} \rangle$ **for** a
define $U :: \langle 'b \Rightarrow 'a \text{ ell2} \Rightarrow_{CL} ('a \times 'b) \text{ ell2} \rangle$ **where** $\langle U i = \text{tensor-ell2-right } (\text{ket } i) \rangle$ **for** $i :: 'b$
write *commutant* ($\langle - \rangle''$) [120] 120)
— Notation X' for X'
write *id-cblinfun* ($\langle 1 \rangle$)
have $*$: $\langle (\pi 'X)'' \subseteq \text{range } \pi \rangle$ **for** X
proof (*rule subsetI*)
fix x **assume** *asm*: $\langle x \in (\pi 'X)'' \rangle$
fix t
define y **where** $\langle y = U t *_{oCL} x o_{CL} U t \rangle$
have $\langle \text{ket } (k,l) \cdot_C (x *_V \text{ket } (m,n)) = \text{ket } (k,l) \cdot_C (\pi y *_V \text{ket } (m,n)) \rangle$ **for** $k l m n$
proof —

have $\langle x \circ_{CL} (U i \circ_{CL} U j^*) = (U i \circ_{CL} U j^*) \circ_{CL} x \rangle$ **for** $i j$
proof –
have $\langle U i \circ_{CL} U j^* = id\text{-cblinfun} \otimes_o \text{butterfly} (ket i) (ket j) \rangle$
by (*simp add: U-def tensor-ell2-right-butterfly*)
also have $\langle \dots \in (\pi ' X) \rangle$
by (*simp add: π -def commutant-def comp-tensor-op*)
finally show *?thesis*
using *asm*
by (*simp add: commutant-def*)
qed
have $\langle ket (k,l) \cdot_C (x *_V ket (m,n)) = ket k \cdot_C (U l^* *_V x *_V U n *_V ket m) \rangle$
by (*simp add: cinner-adj-right U-def tensor-ell2-ket*)
also have $\langle \dots = ket k \cdot_C (U l^* *_V x *_V U n *_V U t^* *_V U t *_V ket m) \rangle$
using *U-def by fastforce*
also have $\langle \dots = ket k \cdot_C (U l^* *_V U n *_V U t^* *_V x *_V U t *_V ket m) \rangle$
using *simp-a-oCL-b'[OF comm]*
by *simp*
also have $\langle \dots = of\text{-bool} (l=n) * (ket k \cdot_C (U t^* *_V x *_V U t *_V ket m)) \rangle$
using *U-def by fastforce*
also have $\langle \dots = of\text{-bool} (l=n) * (ket k \cdot_C (y *_V ket m)) \rangle$
using *y-def by force*
also have $\langle \dots = ket (k,l) \cdot_C (\pi y *_V ket (m,n)) \rangle$
by (*simp add: π -def tensor-op-ell2 flip: tensor-ell2-ket*)
finally show *?thesis*
by –
qed
then have $\langle x = \pi y \rangle$
by (*metis cinner-ket-eqI equal-ket surj-pair*)
then show $\langle x \in range \pi \rangle$
by *simp*
qed
have $\langle \pi '(Y') = (\pi ' Y)' \cap range \pi \rangle$ **for** Y
using *inj-tensor-left[of id-cblinfun]*
apply (*auto simp add: commutant-def π -def comp-tensor-op*
intro!: image-eqI)
using *injD by fastforce*
have $1: \langle (\pi ' X)'' \subseteq \pi '(X'') \rangle$ **for** X
proof –
have $\langle (\pi ' X)'' \subseteq (\pi ' X)'' \cap range \pi \rangle$
by (*simp add: **)
also have $\langle \dots \subseteq ((\pi ' X)' \cap range \pi)' \cap range \pi \rangle$
by (*simp add: commutant-antimono inf.coboundedI1*)
also have $\langle \dots = \pi '(X'') \rangle$
by (*simp add: ***)
finally show *?thesis*
by –
qed
have $\langle x \circ_{CL} y = y \circ_{CL} x \rangle$ **if** $\langle x \in \pi '(X'') \rangle$ **and** $\langle y \in (\pi ' X)'\rangle$ **for** $x y$

proof (*intro equal-ket cinner-ket-eqI*)
fix $i\ j :: \langle 'a \times 'b \rangle$
from that obtain w **where** $\langle w \in X'' \rangle$ **and** x -*def*: $\langle x = w \otimes_o \mathbf{1} \rangle$
by (*auto simp: π -def*)
obtain $i1\ i2$ **where** i -*def*: $\langle i = (i1, i2) \rangle$ **by force**
obtain $j1\ j2$ **where** j -*def*: $\langle j = (j1, j2) \rangle$ **by force**
define y_0 **where** $\langle y_0 = U\ i2^* \circ_{CL}\ y \circ_{CL}\ U\ j2 \rangle$

have $\langle y_0 \in X' \rangle$
proof (*rule commutant-memberI*)
fix z **assume** $\langle z \in X \rangle$
then have $\langle z \otimes_o \mathbf{1} \in \pi' X \rangle$
by (*auto simp: π -def*)
have $\langle y_0 \circ_{CL}\ z = U\ i2^* \circ_{CL}\ y \circ_{CL}\ (z \otimes_o \mathbf{1}) \circ_{CL}\ U\ j2 \rangle$
by (*auto intro!: equal-ket simp add: y_0 -def U -def tensor-op-ell2*)
also have $\langle \dots = U\ i2^* \circ_{CL}\ (z \otimes_o \mathbf{1}) \circ_{CL}\ y \circ_{CL}\ U\ j2 \rangle$
using $\langle z \otimes_o \mathbf{1} \in \pi' X \rangle$ **and** $\langle y \in (\pi' X)' \rangle$
apply (*auto simp add: commutant-def*)
by (*simp add: cblinfun-compose-assoc*)
also have $\langle \dots = z \circ_{CL}\ y_0 \rangle$
by (*auto intro!: equal-ket cinner-ket-eqI*
simp add: y_0 -def U -def tensor-op-ell2 tensor-op-adjoint simp flip: cinner-adj-left)
finally show $\langle y_0 \circ_{CL}\ z = z \circ_{CL}\ y_0 \rangle$
by –

qed
have $\langle ket\ i \cdot_C ((x \circ_{CL}\ y) *_V\ ket\ j) = ket\ i1 \cdot_C (U\ i2^* *_V\ (w \otimes_o \mathbf{1}) *_V\ y *_V\ U\ j2 *_V\ ket\ j1) \rangle$
by (*simp add: U -def i -def j -def tensor-ell2-ket cinner-adj-right x -def*)
also have $\langle \dots = ket\ i1 \cdot_C (U\ i2^* *_V\ (w \otimes_o \mathbf{1}) *_V\ (U\ i2 \circ_{CL}\ U\ i2^*) *_V\ y *_V\ U\ j2 *_V\ ket\ j1) \rangle$
by (*simp add: U -def tensor-ell2-right-butterfly tensor-op-adjoint tensor-op-ell2 flip: cinner-adj-left*)
also have $\langle \dots = ket\ i1 \cdot_C (w *_V\ y_0 *_V\ ket\ j1) \rangle$
by (*simp add: y_0 -def tensor-op-adjoint tensor-op-ell2 U -def flip: cinner-adj-left*)
also have $\langle \dots = ket\ i1 \cdot_C (y_0 *_V\ w *_V\ ket\ j1) \rangle$
using $\langle y_0 \in X' \rangle$ $\langle w \in X'' \rangle$
apply (*subst (asm) (2) commutant-def*)
using *lift-cblinfun-comp(4)* **by force**
also have $\langle \dots = ket\ i1 \cdot_C (U\ i2^* *_V\ y *_V\ (U\ j2 \circ_{CL}\ U\ j2^*) *_V\ (w \otimes_o \mathbf{1}) *_V\ U\ j2 *_V\ ket\ j1) \rangle$
by (*simp add: y_0 -def tensor-op-adjoint tensor-op-ell2 U -def flip: cinner-adj-left*)
also have $\langle \dots = ket\ i1 \cdot_C (U\ i2^* *_V\ y *_V\ (w \otimes_o \mathbf{1}) *_V\ U\ j2 *_V\ ket\ j1) \rangle$
by (*simp add: U -def tensor-ell2-right-butterfly tensor-op-adjoint tensor-op-ell2 flip: cinner-adj-left*)
also have $\langle \dots = ket\ i \cdot_C ((y \circ_{CL}\ x) *_V\ ket\ j) \rangle$
by (*simp add: U -def i -def j -def tensor-ell2-ket cinner-adj-right x -def*)
finally show $\langle ket\ i \cdot_C ((x \circ_{CL}\ y) *_V\ ket\ j) = ket\ i \cdot_C ((y \circ_{CL}\ x) *_V\ ket\ j) \rangle$
by –

qed

then have 2: $\langle (\pi \text{ ' } X)'' \supseteq \pi \text{ ' } (X \text{ ''}) \rangle$
by (*auto intro!*: *commutant-memberI*)
from 1 2 **show** ?thesis
by (*auto simp flip*: π -def)
qed

lemma *amplification-double-commutant-commute'*:
 $\langle \text{commutant} (\text{commutant} ((\lambda a. \text{id-cblinfun} \otimes_o a) \text{ ' } X))$
 $= (\lambda a. \text{id-cblinfun} \otimes_o a) \text{ ' } \text{commutant} (\text{commutant } X) \rangle$
proof –
have $\langle \text{commutant} (\text{commutant} ((\lambda a. \text{id-cblinfun} \otimes_o a) \text{ ' } X))$
 $= \text{commutant} (\text{commutant} (\text{sandwich swap-ell2 ' } (\lambda a. a \otimes_o \text{id-cblinfun}) \text{ ' } X)) \rangle$
by (*simp add*: *swap-tensor-op-sandwich image-image*)
also have $\langle \dots = \text{sandwich swap-ell2 ' } \text{commutant} (\text{commutant} ((\lambda a. a \otimes_o \text{id-cblinfun}) \text{ ' } X)) \rangle$
by (*simp add*: *sandwich-unitary-commutant*)
also have $\langle \dots = \text{sandwich swap-ell2 ' } (\lambda a. a \otimes_o \text{id-cblinfun}) \text{ ' } \text{commutant} (\text{commutant } X) \rangle$
by (*simp add*: *amplification-double-commutant-commute*)
also have $\langle \dots = (\lambda a. \text{id-cblinfun} \otimes_o a) \text{ ' } \text{commutant} (\text{commutant } X) \rangle$
by (*simp add*: *swap-tensor-op-sandwich image-image*)
finally show ?thesis
by –
qed

lemma *commutant-cspan*: $\langle \text{commutant} (\text{cspan } A) = \text{commutant } A \rangle$
by (*meson basic-trans-rules(24)* *commutant-antimono complex-vector.span-superset cspan-in-double-commutant dual-order.trans*)

lemma *double-commutant-grows'*: $\langle x \in X \implies x \in \text{commutant} (\text{commutant } X) \rangle$
using *double-commutant-grows* **by** *blast*

16.2 Double commutant theorem

fun *inflation-op'* :: $\langle \text{nat} \Rightarrow ('a \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2}) \text{ list} \Rightarrow ('a \times \text{nat}) \text{ ell2} \Rightarrow_{CL} ('b \times \text{nat}) \text{ ell2} \rangle$
where

$\langle \text{inflation-op}' n \text{ Nil} = 0 \rangle$
 $| \langle \text{inflation-op}' n (a \# as) = (a \otimes_o \text{butterfly} (\text{ket } n) (\text{ket } n)) + \text{inflation-op}' (n+1) as \rangle$

abbreviation $\langle \text{inflation-op} \equiv \text{inflation-op}' 0 \rangle$

fun *inflation-state'* :: $\langle \text{nat} \Rightarrow 'a \text{ ell2} \text{ list} \Rightarrow ('a \times \text{nat}) \text{ ell2} \rangle$ **where**
 $\langle \text{inflation-state}' n \text{ Nil} = 0 \rangle$
 $| \langle \text{inflation-state}' n (a \# as) = (a \otimes_s \text{ket } n) + \text{inflation-state}' (n+1) as \rangle$

abbreviation $\langle \text{inflation-state} \equiv \text{inflation-state}' 0 \rangle$

fun *inflation-space'* :: $\langle \text{nat} \Rightarrow 'a \text{ ell2} \text{ ccspace} \text{ list} \Rightarrow ('a \times \text{nat}) \text{ ell2} \text{ ccspace} \rangle$ **where**
 $\langle \text{inflation-space}' n \text{ Nil} = 0 \rangle$
 $| \langle \text{inflation-space}' n (S \# Ss) = (S \otimes_S \text{ccspan} \{\text{ket } n\}) + \text{inflation-space}' (n+1) Ss \rangle$

abbreviation $\langle \text{inflation-space} \equiv \text{inflation-space}' 0 \rangle$

definition $\text{inflation-carrier} :: \langle \text{nat} \Rightarrow ('a \times \text{nat}) \text{ ell2 ccspace} \rangle$ **where**
 $\langle \text{inflation-carrier } n = \text{inflation-space} (\text{replicate } n \top) \rangle$

definition $\text{inflation-op-carrier} :: \langle \text{nat} \Rightarrow (('a \times \text{nat}) \text{ ell2} \Rightarrow_{CL} ('b \times \text{nat}) \text{ ell2}) \text{ set} \rangle$ **where**
 $\langle \text{inflation-op-carrier } n = \{ \text{Proj} (\text{inflation-carrier } n) \circ_{CL} a \circ_{CL} \text{Proj} (\text{inflation-carrier } n) \mid a. \text{True} \} \rangle$

lemma $\text{inflation-op-compose-outside}$: $\langle \text{inflation-op}' m \text{ ops } \circ_{CL} (a \otimes_o \text{butterfly} (\text{ket } n) (\text{ket } n)) = 0 \rangle$ **if** $\langle n < m \rangle$

using that apply (induction ops arbitrary: m)
by (auto simp: cblinfun-compose-add-left comp-tensor-op cinner-ket)

lemma $\text{inflation-op-compose-outside-rev}$: $\langle (a \otimes_o \text{butterfly} (\text{ket } n) (\text{ket } n)) \circ_{CL} \text{inflation-op}' m \text{ ops} = 0 \rangle$ **if** $\langle n < m \rangle$

using that apply (induction ops arbitrary: m)
by (auto simp: cblinfun-compose-add-right comp-tensor-op cinner-ket)

lemma $\text{Proj-inflation-carrier}$: $\langle \text{Proj} (\text{inflation-carrier } n) = \text{inflation-op} (\text{replicate } n \text{id-cblinfun}) \rangle$

proof –

have $\langle \text{Proj} (\text{inflation-space}' m (\text{replicate } n \top)) = \text{inflation-op}' m (\text{replicate } n \text{id-cblinfun}) \rangle$ **for** m

proof (induction n arbitrary: m)

case 0

then show ?case

by simp

next

case (Suc n)

have *: $\langle \text{orthogonal-spaces} ((\top :: 'b \text{ ell2 ccspace}) \otimes_S \text{ccspan} \{\text{ket } m\}) (\text{inflation-space}' (\text{Suc } m) (\text{replicate } n \top)) \rangle$

by (auto simp add: orthogonal-projectors-orthogonal-spaces Suc tensor-ccsubspace-via-Proj Proj-on-own-range is-Proj-tensor-op inflation-op-compose-outside-rev butterfly-is-Proj simp flip: butterfly-eq-proj)

show ?case

apply (simp add: Suc * Proj-sup)

by (metis (no-types, opaque-lifting) Proj-is-Proj Proj-on-own-range Proj-top butterfly-eq-proj is-Proj-tensor-op norm-ket tensor-ccsubspace-via-Proj)

qed

then show ?thesis

by (force simp add: inflation-carrier-def)

qed

lemma $\text{inflation-op-carrierI}$:

assumes $\langle \text{Proj} (\text{inflation-carrier } n) \circ_{CL} a \circ_{CL} \text{Proj} (\text{inflation-carrier } n) = a \rangle$

shows $\langle a \in \text{inflation-op-carrier } n \rangle$

using *assms* **by** (auto intro!: exI[of - a] simp add: inflation-op-carrier-def)

lemma *inflation-op-compose*: $\langle \text{inflation-op}' n \text{ ops1 } o_{CL} \text{ inflation-op}' n \text{ ops2} = \text{inflation-op}' n (\text{map2 } \text{cblinfun-compose } \text{ops1 } \text{ops2}) \rangle$
proof (*induction ops2 arbitrary: ops1 n*)
 case *Nil*
 then show *?case by simp*
next
 case (*Cons op ops2*)
 note *IH = this*
 fix *ops1 :: ⟨('c ell2 ⇒_{CL} 'b ell2) list⟩*
 show $\langle \text{inflation-op}' n \text{ ops1 } o_{CL} \text{ inflation-op}' n (\text{op} \# \text{ops2}) = \text{inflation-op}' n (\text{map2 } (o_{CL}) \text{ops1 } (\text{op} \# \text{ops2})) \rangle$
 proof (*cases ops1*)
 case *Nil*
 then show *?thesis*
 by *simp*
 next
 case (*Cons a list*)
 then show *?thesis*
 by (*simp add: cblinfun-compose-add-right cblinfun-compose-add-left tensor-op-ell2 inflation-op-compose-outside comp-tensor-op inflation-op-compose-outside-rev flip: IH*)
 qed
qed

lemma *inflation-op-in-carrier*: $\langle \text{inflation-op } \text{ops} \in \text{inflation-op-carrier } n \rangle$ **if** $\langle \text{length } \text{ops} \leq n \rangle$
apply (*rule inflation-op-carrierI*)
using *that*
by (*simp add: Proj-inflation-carrier inflation-op-carrier-def inflation-op-compose zip-replicate1 zip-replicate2 o-def*)

lemma *inflation-op'-apply-tensor-outside*: $\langle n < m \implies \text{inflation-op}' m \text{ as } *_V (v \otimes_s \text{ket } n) = 0 \rangle$
apply (*induction as arbitrary: m*)
by (*auto simp: cblinfun.add-left tensor-op-ell2 cinner-ket*)

lemma *inflation-op'-compose-tensor-outside*: $\langle n < m \implies \text{inflation-op}' m \text{ as } o_{CL} \text{ tensor-ell2-right } (\text{ket } n) = 0 \rangle$
apply (*rule cblinfun-eqI*)
by (*simp add: inflation-op'-apply-tensor-outside*)

lemma *inflation-state'-apply-tensor-outside*: $\langle n < m \implies (a \otimes_o \text{butterfly } \psi (\text{ket } n)) *_V \text{inflation-state}' m \text{ vs} = 0 \rangle$
apply (*induction vs arbitrary: m*)
by (*auto simp: cblinfun.add-right tensor-op-ell2 cinner-ket*)

lemma *inflation-op-apply-inflation-state*: $\langle \text{inflation-op}' n \text{ ops } *_V \text{inflation-state}' n \text{ vecs} = \text{inflation-state}' n (\text{map2 } \text{cblinfun-apply } \text{ops } \text{vecs}) \rangle$
proof (*induction vecs arbitrary: ops n*)
 case *Nil*
 then show *?case by simp*

```

next
  case (Cons v vecs)
  note IH = this
  fix ops :: ⟨('b ell2 ⇒CL 'a ell2) list⟩
  show ⟨inflation-op' n ops *V inflation-state' n (v # vecs) =
        inflation-state' n (map2 (*V) ops (v # vecs))⟩
  proof (cases ops)
  case Nil
  then show ?thesis
  by simp
  next
  case (Cons a list)
  then show ?thesis
  by (simp add: cblinfun.add-right cblinfun.add-left tensor-op-ell2
        inflation-op'-apply-tensor-outside inflation-state'-apply-tensor-outside
        flip: IH)
qed
qed

lemma inflation-state-in-carrier: ⟨inflation-state vecs ∈ space-as-set (inflation-carrier n)⟩ if
⟨length vecs + m ≤ n⟩
  apply (rule space-as-setI-via-Proj)
  using that
  by (simp add: Proj-inflation-carrier inflation-op-apply-inflation-state zip-replicate1 o-def)

lemma inflation-op'-apply-tensor-outside': ⟨n ≥ length as + m ⇒ inflation-op' m as *V (v ⊗s
ket n) = 0⟩
  apply (induction as arbitrary: m)
  by (auto simp: cblinfun.add-left tensor-op-ell2 cinner-ket)

lemma Proj-inflation-carrier-outside: ⟨Proj (inflation-carrier n) *V (ψ ⊗s ket i) = 0⟩ if ⟨i ≥
n⟩
  by (simp add: Proj-inflation-carrier inflation-op'-apply-tensor-outside' that)

lemma inflation-state'-is-orthogonal-outside: ⟨n < m ⇒ is-orthogonal (a ⊗s ket n) (inflation-state'
m vs)⟩
  apply (induction vs arbitrary: m)
  by (auto simp: cinner-add-right)

lemma inflation-op-adj: ⟨(inflation-op' n ops)* = inflation-op' n (map adj ops)⟩
  apply (induction ops arbitrary: n)
  by (simp-all add: adj-plus tensor-op-adjoint)

lemma inflation-state0:
  assumes ⟨∧ v. v ∈ set f ⇒ v = 0⟩
  shows ⟨inflation-state' n f = 0⟩
  using assms apply (induction f arbitrary: n)
  apply simp

```

```

using tensor-ell2-0-left by force

lemma inflation-state-plus:
  assumes ⟨length f = length g⟩
  shows ⟨inflation-state' n f + inflation-state' n g = inflation-state' n (map2 plus f g)⟩
  using assms apply (induction f g arbitrary: n rule: list-induct2)
  by (auto simp: algebra-simps tensor-ell2-add1)

lemma inflation-state-minus:
  assumes ⟨length f = length g⟩
  shows ⟨inflation-state' n f - inflation-state' n g = inflation-state' n (map2 minus f g)⟩
  using assms apply (induction f g arbitrary: n rule: list-induct2)
  by (auto simp: algebra-simps tensor-ell2-diff1)

lemma inflation-state-scaleC:
  shows ⟨c *C inflation-state' n f = inflation-state' n (map (scaleC c) f)⟩
  apply (induction f arbitrary: n)
  by (auto simp: algebra-simps tensor-ell2-scaleC1)

lemma inflation-op-compose-tensor-ell2-right:
  assumes ⟨i ≥ n⟩ and ⟨i < n + length f⟩
  shows ⟨inflation-op' n f oCL tensor-ell2-right (ket i) = tensor-ell2-right (ket i) oCL (f!(i-n))⟩
  proof (insert assms, induction f arbitrary: n)
    case Nil
    then show ?case
      by simp
  next
    case (Cons a f)
    show ?case
    proof (cases ⟨i = n⟩)
      case True
      have ⟨a ⊗o butterfly (ket n) (ket n) oCL tensor-ell2-right (ket n) = tensor-ell2-right (ket n)
oCL a⟩
      apply (rule cblinfun-eqI)
      by (simp add: tensor-op-ell2 cinner-ket)
      with True show ?thesis
      by (simp add: cblinfun-compose-add-left inflation-op'-compose-tensor-outside)
    next
      case False
      with Cons.prem1 have 1: ⟨Suc n ≤ i⟩
      by presburger
      have 2: ⟨a ⊗o butterfly (ket n) (ket n) oCL tensor-ell2-right (ket i) = 0⟩
      apply (rule cblinfun-eqI)
      using False by (simp add: tensor-op-ell2 cinner-ket)
      show ?thesis
      using Cons.prem1
      by (simp add: cblinfun-compose-add-left Cons.IH[where n=⟨Suc n⟩] 2)
    qed
  qed
qed

```

lemma *inflation-op-apply*:

assumes $\langle i \geq n \rangle$ **and** $\langle i < n + \text{length } f \rangle$

shows $\langle \text{inflation-op}' n f *_V (\psi \otimes_s \text{ket } i) = (f!(i-n) *_V \psi) \otimes_s \text{ket } i \rangle$

by (*simp add: inflation-op-compose-tensor-ell2-right assms*

flip: tensor-ell2-right-apply cblinfun-apply-cblinfun-compose)

lemma *norm-inflation-state*:

$\langle \text{norm } (\text{inflation-state}' n f) = \text{sqrt } (\sum v \leftarrow f. (\text{norm } v)^2) \rangle$

proof –

have $\langle (\text{norm } (\text{inflation-state}' n f))^2 = (\sum v \leftarrow f. (\text{norm } v)^2) \rangle$

proof (*induction f arbitrary: n*)

case *Nil*

then show *?case by simp*

next

case (*Cons v f*)

have $\langle (\text{norm } (\text{inflation-state}' n (v \# f)))^2 = (\text{norm } (v \otimes_s \text{ket } n + \text{inflation-state}' (\text{Suc } n) f))^2 \rangle$

by *simp*

also have $\langle \dots = (\text{norm } (v \otimes_s \text{ket } n))^2 + (\text{norm } (\text{inflation-state}' (\text{Suc } n) f))^2 \rangle$

apply (*rule pythagorean-theorem*)

apply (*rule inflation-state'-is-orthogonal-outside*)

by *simp*

also have $\langle \dots = (\text{norm } (v \otimes_s \text{ket } n))^2 + (\sum v \leftarrow f. (\text{norm } v)^2) \rangle$

by (*simp add: Cons.IH*)

also have $\langle \dots = (\text{norm } v)^2 + (\sum v \leftarrow f. (\text{norm } v)^2) \rangle$

by (*simp add: norm-tensor-ell2*)

also have $\langle \dots = (\sum v \leftarrow v \# f. (\text{norm } v)^2) \rangle$

by *simp*

finally show *?case*

by –

qed

then show *?thesis*

by (*simp add: real-sqrt-unique*)

qed

lemma *cstrong-operator-topology-in-closure-algebraicI*:

– [2], Proposition IX.5.3

assumes *space*: $\langle \text{csubspace } A \rangle$

assumes *mult*: $\langle \bigwedge a a'. a \in A \implies a' \in A \implies a \text{ o}_{CL} a' \in A \rangle$

assumes *one*: $\langle \text{id-cblinfun} \in A \rangle$

assumes *main*: $\langle \bigwedge n S. S \leq \text{inflation-carrier } n \implies (\bigwedge a. a \in A \implies \text{inflation-op } (\text{replicate } n a) *_S S \leq S) \implies$

$\text{inflation-op } (\text{replicate } n b) *_S S \leq S \rangle$

shows $\langle b \in \text{cstrong-operator-topology closure-of } A \rangle$

proof (*rule cstrong-operator-topology-in-closureI*)

fix *F* :: $\langle 'a \text{ ell2 set} \rangle$ **and** ε :: *real*

assume $\langle \text{finite } F \rangle$ **and** $\langle \varepsilon > 0 \rangle$

```

obtain  $f$  where  $\langle \text{set } f = F \rangle$  and  $\langle \text{distinct } f \rangle$ 
  using  $\langle \text{finite } F \rangle$   $\text{finite-distinct-list}$  by  $\text{blast}$ 
define  $n$   $M'$   $M$  where  $\langle n = \text{length } f \rangle$ 
  and  $\langle M' = ((\lambda a. \text{inflation-state } (\text{map } (\text{cblinfun-apply } a) f)) \text{ ` } A) \rangle$ 
  and  $\langle M = \text{ccspan } M' \rangle$ 
have  $M$ -carrier:  $\langle M \leq \text{inflation-carrier } n \rangle$ 
proof –
  have  $\langle M' \subseteq \text{space-as-set } (\text{inflation-carrier } n) \rangle$ 
    by  $(\text{auto intro!}: \text{inflation-state-in-carrier simp add: } M'\text{-def } n\text{-def})$ 
  then show  $?thesis$ 
    by  $(\text{simp add: } M\text{-def ccspan-leqI})$ 
qed

have  $\langle \text{inflation-op } (\text{replicate } n \ a) \ *_S \ M \leq M \rangle$  if  $\langle a \in A \rangle$  for  $a$ 
proof  $(\text{unfold } M\text{-def, rule cblinfun-image-ccspan-leqI})$ 
  fix  $v$  assume  $\langle v \in M' \rangle$ 
  then obtain  $a'$  where  $\langle a' \in A \rangle$  and  $v$ -def:  $\langle v = \text{inflation-state } (\text{map } (\text{cblinfun-apply } a') f) \rangle$ 
    using  $M'\text{-def}$  by  $\text{blast}$ 
  then have  $\langle \text{inflation-op } (\text{replicate } n \ a) \ *_V \ v = \text{inflation-state } (\text{map } ((*_V) (a \ o_{CL} \ a')) f) \rangle$ 
    by  $(\text{simp add: } v\text{-def } n\text{-def inflation-op-apply-inflation-state map2-map-map flip: cblinfun-apply-cblinfun-compose map-replicate-const})$ 
  also have  $\langle \dots \in M' \rangle$ 
    using  $M'\text{-def}$   $\langle a' \in A \rangle$   $\langle a \in A \rangle$   $\text{mult}$ 
    by  $\text{simp}$ 
  also have  $\langle \dots \subseteq \text{space-as-set } (\text{ccspan } M') \rangle$ 
    by  $(\text{simp add: ccspan-superset})$ 
  finally show  $\langle \text{inflation-op } (\text{replicate } n \ a) \ *_V \ v \in \text{space-as-set } (\text{ccspan } M') \rangle$ 
    by –
qed
then have  $b$ -invariant:  $\langle \text{inflation-op } (\text{replicate } n \ b) \ *_S \ M \leq M \rangle$ 
  using  $M$ -carrier by  $(\text{simp add: main})$ 
have  $f$ - $M$ :  $\langle \text{inflation-state } f \in \text{space-as-set } M \rangle$ 
proof –
  have  $\langle \text{inflation-state } f = \text{inflation-state } (\text{map } (\text{cblinfun-apply } \text{id-cblinfun}) f) \rangle$ 
    by  $\text{simp}$ 
  also have  $\langle \dots \in M' \rangle$ 
    using  $M'\text{-def one}$  by  $\text{blast}$ 
  also have  $\langle \dots \subseteq \text{space-as-set } M \rangle$ 
    by  $(\text{simp add: } M\text{-def ccspan-superset})$ 
  finally show  $?thesis$ 
    by –
qed
have  $\langle \text{csubspace } M' \rangle$ 
proof  $(\text{rule complex-vector.subspaceI})$ 
  fix  $c$   $x$   $y$ 
  show  $\langle 0 \in M' \rangle$ 
    apply  $(\text{auto intro!}: \text{image-eqI}[\text{where } x=0] \text{ simp add: } M'\text{-def})$ 
    apply  $(\text{subst inflation-state0})$ 
    by  $(\text{auto simp add: space complex-vector.subspace-0})$ 

```

```

show ⟨x ∈ M' ⇒ y ∈ M' ⇒ x + y ∈ M'⟩
  by (auto intro!: image-eqI[where x=⟨+ -⟩]
      simp add: M'-def inflation-state-plus map2-map-map
      cblinfun.add-left[abs-def] space complex-vector.subspace-add)
show ⟨c *C x ∈ M'⟩ if ⟨x ∈ M'⟩
proof -
  from that
  obtain a where ⟨a ∈ A⟩ and ⟨x = inflation-state (map ((*V) a) f)⟩
    by (auto simp add: M'-def)
  then have ⟨c *C x = inflation-state (map ((*V) (c *C a)) f)⟩
    by (simp add: inflation-state-scaleC o-def scaleC-cblinfun.rep-eq)
  moreover have ⟨c *C a ∈ A⟩
    by (simp add: ⟨a ∈ A⟩ space complex-vector.subspace-scale)
  ultimately show ?thesis
    unfolding M'-def
    by (rule image-eqI)
qed
qed
then have M-closure-M': ⟨space-as-set M = closure M'⟩
  by (metis M-def ccspan.rep-eq complex-vector.span-eq-iff)
have ⟨inflation-state (map (cblinfun-apply b) f) ∈ space-as-set M⟩
proof -
  have ⟨map2 (*V) (replicate n b) f = map ((*V) b) f⟩
    using map2-map-map[where h=cblinfun-apply and g=id and f=⟨λ-. b⟩ and xs=f]
    by (simp add: n-def flip: map-replicate-const)
  then have ⟨inflation-state (map (cblinfun-apply b) f) = inflation-op (replicate n b) *V
inflation-state f⟩
    by (simp add: inflation-op-apply-inflation-state)
  also have ⟨... ∈ space-as-set (inflation-op (replicate n b) *S M)⟩
    by (simp add: f-M cblinfun-apply-in-image')
  also have ⟨... ⊆ space-as-set M⟩
    using b-invariant less-eq-ccsubspace.rep-eq by blast
  finally show ?thesis
    by -
qed
then obtain m where ⟨m ∈ M'⟩ and m-close: ⟨norm (m - inflation-state (map (cblinfun-apply
b) f)) ≤ ε⟩
  apply atomize-elim
  apply (simp add: M-closure-M' closure-approachable dist-norm)
  using ⟨ε > 0⟩ by fastforce
from ⟨m ∈ M'⟩
obtain a where ⟨a ∈ A⟩ and m-def: ⟨m = inflation-state (map (cblinfun-apply a) f)⟩
  by (auto simp add: M'-def)
have ⟨(∑ v←f. (norm ((a - b) *V v))2) ≤ ε2⟩
proof -
  have ⟨(∑ v←f. (norm ((a - b) *V v))2) = (norm (inflation-state (map (cblinfun-apply (a
- b)) f))2)⟩
  apply (simp add: norm-inflation-state o-def)
  apply (subst real-sqrt-pow2)

```

apply (*rule sum-list-nonneg*)
by (*auto simp: sum-list-nonneg*)
also have $\langle \dots = (\text{norm } (m - \text{inflation-state } (\text{map } (\text{cblinfun-apply } b) f)))^2 \rangle$
by (*simp add: m-def inflation-state-minus map2-map-map cblinfun.diff-left[abs-def]*)
also have $\langle \dots \leq \varepsilon^2 \rangle$
by (*simp add: m-close power-mono*)
finally show *?thesis*
by –
qed
then have $\langle (\text{norm } ((a - b) *_V v))^2 \leq \varepsilon^2 \rangle$ **if** $\langle v \in F \rangle$ **for** v
using that **apply** (*simp flip: sum.distinct-set-conv-list add: distinct f*)
by (*smt (verit) finite F set f = F sum-nonneg-leq-bound zero-le-power2*)
then show $\langle \exists a \in A. \forall f \in F. \text{norm } ((b - a) *_V f) \leq \varepsilon \rangle$
using $\langle 0 < \varepsilon \rangle \langle a \in A \rangle$
by (*metis cblinfun.real.diff-left norm-minus-commute power2-le-imp-le power-eq-0-iff power-zero-numeral realpow-pos-nth-unique zero-compare-simps(12)*)
qed

lemma *commutant-inflation:*

— One direction of [2], Proposition IX.6.2.

fixes n
defines $\langle \bigwedge X. \text{commutant}' X \equiv \text{commutant } X \cap \text{inflation-op-carrier } n \rangle$
shows $\langle (\lambda a. \text{inflation-op } (\text{replicate } n a)) ' \text{commutant } (\text{commutant } A) \subseteq \text{commutant}' (\text{commutant}' ((\lambda a. \text{inflation-op } (\text{replicate } n a)) ' A)) \rangle$
proof (*unfold commutant'-def, rule subsetI, rule IntI*)
fix b
assume $\langle b \in (\lambda a. \text{inflation-op } (\text{replicate } n a)) ' \text{commutant } (\text{commutant } A) \rangle$
then obtain $b0$ **where** $b\text{-def: } \langle b = \text{inflation-op } (\text{replicate } n b0) \rangle$ **and** $b0\text{-A}'$: $\langle b0 \in \text{commutant } (\text{commutant } A) \rangle$
by *auto*
show $\langle b \in \text{inflation-op-carrier } n \rangle$
by (*simp add: b-def inflation-op-in-carrier*)
show $\langle b \in \text{commutant } (\text{commutant } ((\lambda a. \text{inflation-op } (\text{replicate } n a)) ' A) \cap \text{inflation-op-carrier } n) \rangle$
proof (*rule commutant-memberI*)
fix c
assume $\langle c \in \text{commutant } ((\lambda a. \text{inflation-op } (\text{replicate } n a)) ' A) \cap \text{inflation-op-carrier } n \rangle$
then have $c\text{-comm: } \langle c \in \text{commutant } ((\lambda a. \text{inflation-op } (\text{replicate } n a)) ' A) \rangle$
and $c\text{-carr: } \langle c \in \text{inflation-op-carrier } n \rangle$
by *auto*
define c' **where** $\langle c' i j = (\text{tensor-ell2-right } (\text{ket } i)) *_O_{CL} c *_O_{CL} \text{tensor-ell2-right } (\text{ket } j) \rangle$
for $i j$
have $\langle c' i j *_O_{CL} a = a *_O_{CL} c' i j \rangle$ **if** $\langle a \in A \rangle$ **and** $\langle i < n \rangle$ **and** $\langle j < n \rangle$ **for** $a i j$
proof –
from $c\text{-comm}$ **have** $\langle c *_O_{CL} \text{inflation-op } (\text{replicate } n a) = \text{inflation-op } (\text{replicate } n a) *_O_{CL} c \rangle$
using that **by** (*auto simp: commutant-def*)
then have $\langle (\text{tensor-ell2-right } (\text{ket } i)) *_O_{CL} c *_O_{CL} (\text{inflation-op } (\text{replicate } n a) *_O_{CL} \text{tensor-ell2-right } (\text{ket } j)) \rangle$

```

      = (inflation-op (replicate n (a*)) oCL (tensor-ell2-right (ket i))) * oCL c oCL
tensor-ell2-right (ket j)
  apply (simp add: inflation-op-adj)
  by (metis (no-types, lifting) lift-cblinfun-comp(2))
then show ?thesis
  apply (subst (asm) inflation-op-compose-tensor-ell2-right)
  apply (simp, simp add: that)
  apply (subst (asm) inflation-op-compose-tensor-ell2-right)
  apply (simp, simp add: that)
  by (simp add: that c'-def cblinfun-compose-assoc)
qed
then have ⟨c' i j ∈ commutant A⟩ if ⟨i < n⟩ and ⟨j < n⟩ for i j
  using that by (simp add: commutant-memberI)
with b0-A'' have b0-c': ⟨b0 oCL c' i j = c' i j oCL b0⟩ if ⟨i < n⟩ and ⟨j < n⟩ for i j
  using that by (simp add: commutant-def)

  from c-carr obtain c'' where c'': ⟨c = Proj (inflation-carrier n) oCL c'' oCL Proj
(inflation-carrier n)⟩
  by (auto simp add: inflation-op-carrier-def)

  have c0: ⟨c *V (ψ ⊗s ket i) = 0⟩ if ⟨i ≥ n⟩ for i ψ
    using that by (simp add: c'' Proj-inflation-carrier-outside)
  have cadj0: ⟨c* *V (ψ ⊗s ket j) = 0⟩ if ⟨j ≥ n⟩ for j ψ
    using that by (simp add: c'' adj-Proj Proj-inflation-carrier-outside)

  have ⟨inflation-op (replicate n b0) oCL c = c oCL inflation-op (replicate n b0)⟩
  proof (rule equal-ket, rule cinner-ket-eqI)
    fix ii jj
    obtain i' j' :: 'a and i j :: nat where ii-def: ⟨ii = (i',i)⟩ and jj-def: ⟨jj = (j',j)⟩
      by force
    show ⟨ket ii •C ((inflation-op (replicate n b0) oCL c) *V ket jj) =
      ket ii •C ((c oCL inflation-op (replicate n b0)) *V ket jj)⟩
    proof (cases ⟨i < n ∧ j < n⟩)
      case True
        have ⟨ket ii •C ((inflation-op (replicate n b0) oCL c) *V ket jj) = ((b0* *V ket i') ⊗s
ket i) •C (c *V ket j' ⊗s ket j)⟩
          using True by (simp add: ii-def jj-def inflation-op-adj inflation-op-apply flip: ten-
sor-ell2-inner-prod
            flip: tensor-ell2-ket cinner-adj-left[where G=⟨inflation-op -⟩])
        also have ⟨... = (ket i' ⊗s ket i) •C (c *V (b0 *V ket j') ⊗s ket j)⟩
          using b0-c' apply (simp add: c'-def flip: tensor-ell2-right-apply cinner-adj-right)
          by (metis (no-types, lifting) True simp-a-oCL-b')
        also have ⟨... = ket ii •C ((c oCL inflation-op (replicate n b0)) *V ket jj)⟩
      by (simp add: True ii-def jj-def inflation-op-adj inflation-op-apply flip: tensor-ell2-inner-prod
        flip: tensor-ell2-ket cinner-adj-left[where G=⟨inflation-op -⟩])
    finally show ?thesis
      by -
  next
  case False

```



```

then show ?thesis
  apply (auto simp add: ii-def jj-def inflation-op-adj c0 inflation-op'-apply-tensor-outside'
    simp flip: tensor-ell2-ket cinner-adj-left[where G= $\langle$ inflation-op  $\rightarrow$  $\rangle$ ])
  by (simp add: cadj0 flip: cinner-adj-left[where G=c])
qed
qed
then show  $\langle b \circ_{CL} c = c \circ_{CL} b \rangle$ 
  by (simp add: b-def)
qed
qed

```

lemma *double-commutant-theorem-aux*:

— Basically the double commutant theorem, except that we restricted to spaces of the form '*a ell2*

— [2], Proposition IX.6.4

fixes *A* :: $\langle ('a \text{ ell2} \Rightarrow_{CL} 'a \text{ ell2}) \text{ set} \rangle$

assumes $\langle \text{csubspace } A \rangle$

assumes $\langle \bigwedge a \ a'. \ a \in A \implies a' \in A \implies a \circ_{CL} a' \in A \rangle$

assumes $\langle \text{id-cblinfun} \in A \rangle$

assumes $\langle \bigwedge a. \ a \in A \implies a^* \in A \rangle$

shows $\langle \text{commutant} (\text{commutant } A) = \text{cstrong-operator-topology closure-of } A \rangle$

proof (*intro Set.set-eqI iffI*)

show $\langle x \in \text{commutant} (\text{commutant } A) \rangle$ **if** $\langle x \in \text{cstrong-operator-topology closure-of } A \rangle$ **for** *x*

using *closure-of-minimal commutant-sot-closed double-commutant-grows that* **by** *blast*

next

show $\langle b \in \text{cstrong-operator-topology closure-of } A \rangle$ **if** $\langle b \in \text{commutant} (\text{commutant } A) \rangle$

for *b*

proof (*rule cstrong-operator-topology-in-closure-algebraicI*)

show $\langle \text{csubspace } A \rangle$ **and** $\langle a \in A \implies a' \in A \implies a \circ_{CL} a' \in A \rangle$ **and** $\langle \text{id-cblinfun} \in A \rangle$ **for** *a a'*

using *assms* **by** *auto*

fix *n M*

assume *asm*: $\langle a \in A \implies \text{inflation-op} (\text{replicate } n \ a) \ *_S \ M \leq M \rangle$ **for** *a*

assume *M-carrier*: $\langle M \leq \text{inflation-carrier } n \rangle$

define *commutant'* **where** $\langle \text{commutant}' \ X = \text{commutant } X \cap \text{inflation-op-carrier } n \rangle$ **for** *X*
 :: $\langle (('a \times \text{nat}) \text{ ell2} \Rightarrow_{CL} ('a \times \text{nat}) \text{ ell2}) \text{ set} \rangle$

define *An* **where** $\langle An = (\lambda a. \ \text{inflation-op} (\text{replicate } n \ a)) \ 'A \rangle$

have $\langle * : \langle \text{Proj } M \circ_{CL} (\text{inflation-op} (\text{replicate } n \ a) \circ_{CL} \text{Proj } M) = \text{inflation-op} (\text{replicate } n \ a) \circ_{CL} \text{Proj } M \rangle$ **if** $\langle a \in A \rangle$ **for** *a*

apply (*rule Proj-compose-cancelI*)

using *asm* **that** **by** (*simp add: cblinfun-compose-image*)

have $\langle \text{Proj } M \circ_{CL} \text{inflation-op} (\text{replicate } n \ a) = \text{inflation-op} (\text{replicate } n \ a) \circ_{CL} \text{Proj } M \rangle$ **if** $\langle a \in A \rangle$ **for** *a*

proof —

have $\langle \text{Proj } M \circ_{CL} \text{inflation-op} (\text{replicate } n \ a) = (\text{inflation-op} (\text{replicate } n \ (a^*)) \circ_{CL} \text{Proj } M)^* \rangle$

by (*simp add: inflation-op-adj adj-Proj*)

also have $\langle \dots = (\text{Proj } M \circ_{CL} \text{inflation-op} (\text{replicate } n \ (a^*)) \circ_{CL} \text{Proj } M)^* \rangle$

apply (*subst *[symmetric]*)

```

    by (simp-all add: that assms flip: cblinfun-compose-assoc)
  also have ⟨... = Proj M oCL inflation-op (replicate n a) oCL Proj M⟩
    by (simp add: inflation-op-adj adj-Proj cblinfun-compose-assoc)
  also have ⟨... = inflation-op (replicate n a) oCL Proj M⟩
    apply (subst *[symmetric])
    by (simp-all add: that flip: cblinfun-compose-assoc)
  finally show ?thesis
    by –
qed
then have ⟨Proj M ∈ commutant' An⟩
  using M-carrier
  apply (auto intro!: inflation-op-carrierI simp add: An-def commutant-def commutant'-def)
  by (metis Proj-compose-cancelI Proj-range adj-Proj adj-cblinfun-compose)
from b-A'' have ⟨inflation-op (replicate n b) ∈ commutant' (commutant' An)⟩
  using commutant-inflation[of n A, folded commutant'-def]
  by (auto simp add: An-def commutant'-def)
with ⟨Proj M ∈ commutant' An⟩
have *: ⟨inflation-op (replicate n b) oCL Proj M = Proj M oCL inflation-op (replicate n b)⟩
  by (simp add: commutant-def commutant'-def)
show ⟨inflation-op (replicate n b) *S M ≤ M⟩
proof –
  have ⟨inflation-op (replicate n b) *S M = (inflation-op (replicate n b) oCL Proj M) *S ⊤⟩
    by (metis lift-cblinfun-comp(3) Proj-range)
  also have ⟨... = (Proj M oCL inflation-op (replicate n b)) *S ⊤⟩
    by (simp add: *)
  also have ⟨... ≤ M⟩
    by (metis lift-cblinfun-comp(3) Proj-image-leq)
  finally show ?thesis
    by –
qed
qed
qed

```

lemma *double-commutant-theorem-aux2*:

— Basically the double commutant theorem, except that we restricted to spaces of typeclass *not-singleton*

— [2], Proposition IX.6.4

fixes $A :: \langle 'a :: \{ \text{hilbert-space}, \text{not-singleton} \} \Rightarrow_{CL} 'a \text{ set} \rangle$

assumes *subspace*: ⟨csubspace A⟩

assumes *mult*: ⟨ $\bigwedge a a'. a \in A \implies a' \in A \implies a \text{ o}_{CL} a' \in A$ ⟩

assumes *id*: ⟨id-cblinfun ∈ A⟩

assumes *adj*: ⟨ $\bigwedge a. a \in A \implies a* \in A$ ⟩

shows ⟨commutant (commutant A) = cstrong-operator-topology closure-of A⟩

proof –

define $A' :: \langle ('a \text{ hilbert2ell2 ell2} \Rightarrow_{CL} 'a \text{ hilbert2ell2 ell2}) \text{ set} \rangle$

where ⟨ $A' = \text{sandwich} (\text{ell2-to-hilbert}*) 'A$ ⟩

have *subspace*: ⟨csubspace A'⟩

using *subspace* **by** (auto intro!: complex-vector.linear-subspace-image simp: A'-def)

have *mult*: ⟨ $\bigwedge a a'. a \in A' \implies a' \in A' \implies a \text{ o}_{CL} a' \in A'$ ⟩

```

using mult by (auto simp add: A'-def sandwich-arg-compose unitary-ell2-to-hilbert)
have id: ⟨id-cblinfun ∈ A'⟩
using id by (auto intro!: image-eqI simp add: A'-def sandwich-isometry-id unitary-ell2-to-hilbert)
have adj: ⟨ $\bigwedge a. a \in A' \implies a^* \in A'$ ⟩
using adj by (auto intro!: image-eqI simp: A'-def simp flip: sandwich-apply-adj)
have homeo: ⟨homeomorphic-map cstrong-operator-topology cstrong-operator-topology
  ((*V) (sandwich ell2-to-hilbert))⟩
by (auto intro!: continuous-intros homeomorphic-maps-imp-map[where g=⟨sandwich (ell2-to-hilbert*)⟩]
  simp: homeomorphic-maps-def unitary-ell2-to-hilbert
  simp flip: cblinfun-apply-cblinfun-compose sandwich-compose)
have ⟨commutant (commutant A') = cstrong-operator-topology closure-of A'⟩
using subspace mult id adj by (rule double-commutant-theorem-aux)
then have ⟨sandwich ell2-to-hilbert ' commutant (commutant A') = sandwich ell2-to-hilbert '
  (cstrong-operator-topology closure-of A')⟩
by simp
then show ?thesis
by (simp add: A'-def unitary-ell2-to-hilbert sandwich-unitary-commutant image-image homeo
  flip: cblinfun-apply-cblinfun-compose sandwich-compose
  homeomorphic-map-closure-of[where Y=cstrong-operator-topology])
qed

```

lemma double-commutant-theorem:

```

— [2], Proposition IX.6.4
fixes A :: ⟨'a::{chilbert-space}  $\Rightarrow_{CL}$  'a⟩ set⟩
assumes subspace: ⟨csubspace A⟩
assumes mult: ⟨ $\bigwedge a a'. a \in A \implies a' \in A \implies a \circ_{CL} a' \in A$ ⟩
assumes id: ⟨id-cblinfun ∈ A⟩
assumes adj: ⟨ $\bigwedge a. a \in A \implies a^* \in A$ ⟩
shows ⟨commutant (commutant A) = cstrong-operator-topology closure-of A⟩
proof (cases ⟨UNIV = {0::'a}⟩)
case True
then have ⟨(x :: 'a) = 0⟩ for x
by auto
then have UNIV-0: ⟨UNIV = {0 :: 'a  $\Rightarrow_{CL}$  'a}⟩
by (auto intro!: cblinfun-eqI)
have ⟨0 ∈ commutant (commutant A)⟩
using complex-vector.subspace-0 csubspace-commutant by blast
then have 1: ⟨commutant (commutant A) = UNIV⟩
using UNIV-0
by force
have ⟨0 ∈ A⟩
by (simp add: assms(1) complex-vector.subspace-0)
then have ⟨0 ∈ cstrong-operator-topology closure-of A⟩
by (simp add: assms(1) complex-vector.subspace-0)
then have 2: ⟨cstrong-operator-topology closure-of A = UNIV⟩
using UNIV-0
by force
from 1 2 show ?thesis
by simp

```

```

next
  case False
  note aux2 = double-commutant-theorem-aux2[where 'a=⟨'z::{chilbert-space,not-singleton}⟩,
rule-format, internalize-sort ⟨'z::{chilbert-space,not-singleton}⟩]
  have hilbert: ⟨class.chilbert-space (*R) (*C) (+) (0::'a) (-) uminus dist norm sgn uniformity
open (·C)⟩
  by (rule chilbert-space-class.chilbert-space-axioms)
  from False
  have not-singleton: ⟨class.not-singleton TYPE('a)⟩
  by (rule class-not-singletonI-monoid-add)
  show ?thesis
  apply (rule aux2)
  using assms hilbert not-singleton by auto
qed

```

hide-fact *double-commutant-theorem-aux double-commutant-theorem-aux2*

```

lemma double-commutant-theorem-span:
  fixes A :: ⟨('a::{chilbert-space} ⇒CL 'a) set⟩
  assumes mult: ⟨∧ a a'. a ∈ A ⇒ a' ∈ A ⇒ a oCL a' ∈ A⟩
  assumes id: ⟨id-cblinfun ∈ A⟩
  assumes adj: ⟨∧ a. a ∈ A ⇒ a* ∈ A⟩
  shows ⟨commutant (commutant A) = cstrong-operator-topology closure-of (cspan A)⟩
proof -
  have ⟨commutant (commutant A) = commutant (commutant (cspan A))⟩
  by (simp add: commutant-cspan)
  also have ⟨... = cstrong-operator-topology closure-of (cspan A)⟩
  apply (rule double-commutant-theorem)
  using assms
  apply (auto simp: cspan-compose-closed cspan-adj-closed)
  using complex-vector.span-clauses(1) by blast
  finally show ?thesis
  by -
qed

```

16.3 Von Neumann Algebras

definition *one-algebra* :: ⟨('a ⇒_{CL} 'a::chilbert-space) set⟩ **where**
 ⟨one-algebra = range (λc. c *_C id-cblinfun)⟩

definition *von-neumann-algebra* **where** ⟨von-neumann-algebra A ⇔ (∀ a∈A. a* ∈ A) ∧ com-
mutant (commutant A) = A⟩

definition *von-neumann-factor* **where** ⟨von-neumann-factor A ⇔ von-neumann-algebra A ∧
A ∩ commutant A = one-algebra⟩

lemma *von-neumann-algebraI*: ⟨(∧ a. a ∈ A ⇒ a* ∈ A) ⇒ commutant (commutant A) ⊆ A
⇒ von-neumann-algebra A⟩ **for** \mathfrak{F}
 apply (auto simp: von-neumann-algebra-def)

using *double-commutant-grows* by *blast*

lemma *von-neumann-factorI*:

assumes $\langle \text{von-neumann-algebra } A \rangle$

assumes $\langle A \cap \text{commutant } A \subseteq \text{one-algebra} \rangle$

shows $\langle \text{von-neumann-factor } A \rangle$

proof –

have 1: $\langle A \supseteq \text{one-algebra} \rangle$

apply (*subst asm-rl*[*of* $\langle A = \text{commutant } (\text{commutant } A) \rangle$])

using *assms*(1) *von-neumann-algebra-def* **apply** *blast*

by (*auto simp: commutant-def one-algebra-def*)

have 2: $\langle \text{commutant } A \supseteq \text{one-algebra} \rangle$

by (*auto simp: commutant-def one-algebra-def*)

from 1 2 *assms* **show** *?thesis*

by (*auto simp add: von-neumann-factor-def*)

qed

lemma *commutant-UNIV*: $\langle \text{commutant } (\text{UNIV} :: ('a \Rightarrow_{CL} 'a :: \text{chilbert-space}) \text{ set}) = \text{one-algebra} \rangle$

proof –

have 1: $\langle c *_C \text{id-cblinfun} \in \text{commutant UNIV} \rangle$ **for** *c*

by (*simp add: commutant-def*)

moreover **have** 2: $\langle x \in \text{range } (\lambda c. c *_C \text{id-cblinfun}) \rangle$ **if** *x-comm*: $\langle x \in \text{commutant UNIV} \rangle$ **for**
x :: $\langle 'a \Rightarrow_{CL} 'a \rangle$

proof –

obtain *B* :: $\langle 'a \text{ set} \rangle$ **where** $\langle \text{is-onb } B \rangle$

using *is-onb-some-chilbert-basis* **by** *blast*

have $\langle \exists c. x *_V \psi = c *_C \psi \rangle$ **for** ψ

proof –

have $\langle \text{norm } (x *_V \psi) = \text{norm } ((x \circ_{CL} \text{selfbutter } (\text{sgn } \psi)) *_V \psi) \rangle$

by (*simp add: cnorm-eq-1*)

also **have** $\langle \dots = \text{norm } ((\text{selfbutter } (\text{sgn } \psi) \circ_{CL} x) *_V \psi) \rangle$

using *x-comm* **by** (*simp add: commutant-def del: butterfly-apply*)

also **have** $\langle \dots = \text{norm } (\text{proj } \psi *_V (x *_V \psi)) \rangle$

by (*simp add: butterfly-sgn-eq-proj*)

finally **have** $\langle x *_V \psi \in \text{space-as-set } (\text{ccspan } \{\psi\}) \rangle$

by (*metis norm-Proj-apply*)

then **show** *?thesis*

by (*auto simp add: ccspan-finite complex-vector.span-singleton*)

qed

then **obtain** *f* **where** $\langle x *_V \psi = f \psi *_C \psi \rangle$ **for** ψ

apply *atomize-elim* **apply** (*rule choice*) **by** *auto*

have $\langle f \psi = f \varphi \rangle$ **if** $\langle \psi \in B \rangle$ **and** $\langle \varphi \in B \rangle$ **for** $\psi \varphi$

proof (*cases* $\langle \psi = \varphi \rangle$)

case *True*

then **show** *?thesis* **by** *simp*

next

case *False*

with *that* $\langle is-onb\ B \rangle$
have $[simp]: \langle \psi \cdot_C \varphi = 0 \rangle$
by *(auto simp: is-onb-def is-ortho-set-def)*
then have $[simp]: \langle \varphi \cdot_C \psi = 0 \rangle$
using *is-orthogonal-sym by blast*
from *that* $\langle is-onb\ B \rangle$ **have** $[simp]: \langle \psi \neq 0 \rangle$
by *(auto simp: is-onb-def)*
from *that* $\langle is-onb\ B \rangle$ **have** $[simp]: \langle \varphi \neq 0 \rangle$
by *(auto simp: is-onb-def)*

have $\langle f(\psi + \varphi) *_{\mathbb{C}} \psi + f(\psi + \varphi) *_{\mathbb{C}} \varphi = f(\psi + \varphi) *_{\mathbb{C}} (\psi + \varphi) \rangle$
by *(simp add: complex-vector.vector-space-assms(1))*
also have $\langle \dots = x *_{\mathbb{V}} (\psi + \varphi) \rangle$
by *(simp add: f)*
also have $\langle \dots = x *_{\mathbb{V}} \psi + x *_{\mathbb{V}} \varphi \rangle$
by *(simp add: cblinfun.add-right)*
also have $\langle \dots = f \psi *_{\mathbb{C}} \psi + f \varphi *_{\mathbb{C}} \varphi \rangle$
by *(simp add: f)*
finally have $*$: $\langle f(\psi + \varphi) *_{\mathbb{C}} \psi + f(\psi + \varphi) *_{\mathbb{C}} \varphi = f \psi *_{\mathbb{C}} \psi + f \varphi *_{\mathbb{C}} \varphi \rangle$
by $-$
have $\langle f(\psi + \varphi) = f \psi \rangle$
using $*$ *[THEN arg-cong[where f= $\langle cinner\ \psi \rangle$]]*
by *(simp add: cinner-add-right)*
moreover have $\langle f(\psi + \varphi) = f \varphi \rangle$
using $*$ *[THEN arg-cong[where f= $\langle cinner\ \varphi \rangle$]]*
by *(simp add: cinner-add-right)*
ultimately show $\langle f \psi = f \varphi \rangle$
by *simp*

qed

then obtain c **where** $\langle f \psi = c \rangle$ **if** $\langle \psi \in B \rangle$ **for** ψ
by *meson*
then have $\langle x *_{\mathbb{V}} \psi = (c *_{\mathbb{C}} id-cblinfun) *_{\mathbb{V}} \psi \rangle$ **if** $\langle \psi \in B \rangle$ **for** ψ
by *(simp add: f that)*
then have $\langle x = c *_{\mathbb{C}} id-cblinfun \rangle$
apply *(rule cblinfun-eq-gen-eqI[where G=B])*
using $\langle is-onb\ B \rangle$ **by** *(auto simp: is-onb-def)*
then show $\langle x \in range(\lambda c. c *_{\mathbb{C}} id-cblinfun) \rangle$
by *(auto)*

qed

from 1 2 **show** *?thesis*
by *(auto simp: one-algebra-def)*

qed

lemma *von-neumann-algebra-UNIV*: $\langle von-neumann-algebra\ UNIV \rangle$
by *(auto simp: von-neumann-algebra-def commutant-def)*

lemma *von-neumann-factor-UNIV*: $\langle von-neumann-factor\ UNIV \rangle$

by (simp add: von-neumann-factor-def commutant-UNIV von-neumann-algebra-UNIV)

lemma von-neumann-algebra-UNION:

assumes $\langle \bigwedge x. x \in X \implies \text{von-neumann-algebra } (A \ x) \rangle$

shows $\langle \text{von-neumann-algebra } (\text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x))) \rangle$

proof (rule von-neumann-algebraI)

show $\langle \text{commutant } (\text{commutant } (\text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x))) \rangle$

$\subseteq \text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x)) \rangle$

by (meson commutant-antimono double-commutant-grows)

next

fix a

assume $\langle a \in \text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x)) \rangle$

then have $\langle a^* \in \text{adj } ' \text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x)) \rangle$

by simp

also have $\langle \dots = \text{commutant } (\text{commutant } (\bigcup_{x \in X}. \text{adj } ' A \ x)) \rangle$

by (simp add: commutant-adj image-UN)

also have $\langle \dots \subseteq \text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x)) \rangle$

using assms by (auto simp: von-neumann-algebra-def intro!: commutant-antimono)

finally show $\langle a^* \in \text{commutant } (\text{commutant } (\bigcup_{x \in X}. A \ x)) \rangle$

by –

qed

lemma von-neumann-algebra-union:

assumes $\langle \text{von-neumann-algebra } A \rangle$

assumes $\langle \text{von-neumann-algebra } B \rangle$

shows $\langle \text{von-neumann-algebra } (\text{commutant } (\text{commutant } (A \cup B))) \rangle$

using von-neumann-algebra-UNION[**where** $X = \langle \{ \text{True}, \text{False} \} \rangle$ **and** $A = \langle \lambda x. \text{if } x \text{ then } A \text{ else } B \rangle$]

by (auto simp: assms Un-ac(3))

lemma von-neumann-algebra-commutant: $\langle \text{von-neumann-algebra } (\text{commutant } A) \rangle$ **if** $\langle \text{von-neumann-algebra } A \rangle$

proof (rule von-neumann-algebraI)

show $\langle a^* \in \text{commutant } A \rangle$ **if** $\langle a \in \text{commutant } A \rangle$ **for** a

by (smt (verit) Set.basic-monos(7) $\langle \text{von-neumann-algebra } A \rangle$ commutant-adj commutant-antimono double-adj image-iff image-subsetI that von-neumann-algebra-def)

show $\langle \text{commutant } (\text{commutant } (\text{commutant } A)) \subseteq \text{commutant } A \rangle$

by simp

qed

lemma von-neumann-algebra-def-sot:

$\langle \text{von-neumann-algebra } \mathfrak{F} \longleftrightarrow$

$(\forall a \in \mathfrak{F}. a^* \in \mathfrak{F}) \wedge \text{csubspace } \mathfrak{F} \wedge (\forall a \in \mathfrak{F}. \forall b \in \mathfrak{F}. a \ o_{CL} \ b \in \mathfrak{F}) \wedge \text{id-cblinfun} \in \mathfrak{F} \wedge$
 $\text{closedin } \text{cstrong-operator-topology } \mathfrak{F} \rangle$

proof (unfold von-neumann-algebra-def, intro iffI conjI; elim conjE; assumption?)

assume $\text{comm}: \langle \text{commutant } (\text{commutant } \mathfrak{F}) = \mathfrak{F} \rangle$

from comm **show** $\langle \text{closedin } \text{cstrong-operator-topology } \mathfrak{F} \rangle$

by (metis commutant-sot-closed)

```

from comm show ⟨csubspace  $\mathfrak{F}$ ⟩
  by (metis csubspace-commutant)
from comm show ⟨ $\forall a \in \mathfrak{F}. \forall b \in \mathfrak{F}. a \circ_{CL} b \in \mathfrak{F}$ ⟩
  using commutant-mult by blast
from comm show ⟨id-cblinfun  $\in \mathfrak{F}$ ⟩
  by blast
next
  assume adj: ⟨ $\forall a \in \mathfrak{F}. a^* \in \mathfrak{F}$ ⟩
  assume subspace: ⟨csubspace  $\mathfrak{F}$ ⟩
  assume closed: ⟨closedin cstrong-operator-topology  $\mathfrak{F}$ ⟩
  assume mult: ⟨ $\forall a \in \mathfrak{F}. \forall b \in \mathfrak{F}. a \circ_{CL} b \in \mathfrak{F}$ ⟩
  assume id: ⟨id-cblinfun  $\in \mathfrak{F}$ ⟩
  have ⟨commutant (commutant  $\mathfrak{F}$ ) = cstrong-operator-topology closure-of  $\mathfrak{F}$ ⟩
    apply (rule double-commutant-theorem)
    thm double-commutant-theorem[of  $\mathfrak{F}$ ]
    using subspace subspace mult id adj
    by simp-all
  also from closed have ⟨ $\dots = \mathfrak{F}$ ⟩
    by (simp add: closure-of-eq)
  finally show ⟨commutant (commutant  $\mathfrak{F}$ ) =  $\mathfrak{F}$ ⟩
    by –
qed

```

```

lemma double-commutant-hull':
  assumes ⟨ $\bigwedge x. x \in X \implies x^* \in X$ ⟩
  shows ⟨commutant (commutant  $X$ ) = von-neumann-algebra hull  $X$ ⟩
proof (rule antisym)
  show ⟨commutant (commutant  $X$ )  $\subseteq$  von-neumann-algebra hull  $X$ ⟩
    apply (subst double-commutant-hull)
    apply (rule hull-antimono)
    by (simp add: von-neumann-algebra-def)
  show ⟨von-neumann-algebra hull  $X \subseteq$  commutant (commutant  $X$ )⟩
    apply (rule hull-minimal)
    by (simp-all add: von-neumann-algebra-def assms commutant-adj-closed)
qed

```

```

lemma commutant-one-algebra: ⟨commutant one-algebra = UNIV⟩
  by (metis commutant-UNIV commutant-empty triple-commutant)

```

```

definition tensor-vn (infixr  $\otimes_{vN}$   $\gamma 0$ ) where
  ⟨tensor-vn  $X Y =$  commutant (commutant ( $(\lambda a. a \otimes_{\circ} \text{id-cblinfun}) ' X \cup (\lambda a. \text{id-cblinfun} \otimes_{\circ} a) ' Y$ ))⟩

```

```

lemma von-neumann-algebra-adj-image: ⟨von-neumann-algebra  $X \implies$  adj '  $X = X$ ⟩
  by (auto simp: von-neumann-algebra-def intro!: image-eqI[where  $x = \langle \cdot \rangle$ ])

```

```

lemma von-neumann-algebra-tensor-vn:

```


assumes $\langle \text{von-neumann-algebra } X \rangle$
assumes $\langle \text{von-neumann-algebra } Y \rangle$
shows $\langle \text{von-neumann-algebra } (X \otimes_{vN} Y) \rangle$
proof (*rule von-neumann-algebraI*)
have $\langle \text{adj } \langle (X \otimes_{vN} Y) = \text{commutant } (\text{commutant } ((\lambda a. a \otimes_{\circ} \text{id-cblinfun}) \langle \text{adj } \langle X \cup (\lambda a. \text{id-cblinfun } \otimes_{\circ} a) \langle \text{adj } \langle Y \rangle \rangle) \rangle$
by (*simp add: tensor-vn-def commutant-adj image-image image-Un tensor-op-adjoint*)
also have $\langle \dots = \text{commutant } (\text{commutant } ((\lambda a. a \otimes_{\circ} \text{id-cblinfun}) \langle X \cup (\lambda a. \text{id-cblinfun } \otimes_{\circ} a) \langle Y \rangle) \rangle$
using *assms* **by** (*simp add: von-neumann-algebra-adj-image*)
also have $\langle \dots = X \otimes_{vN} Y \rangle$
by (*simp add: tensor-vn-def*)
finally show $\langle a^* \in X \otimes_{vN} Y \rangle$ **if** $\langle a \in X \otimes_{vN} Y \rangle$ **for** a
using *that* **by** *blast*
show $\langle \text{commutant } (\text{commutant } (X \otimes_{vN} Y)) \subseteq X \otimes_{vN} Y \rangle$
by (*simp add: tensor-vn-def*)
qed

lemma *tensor-vn-one-one*[*simp*]: $\langle \text{one-algebra } \otimes_{vN} \text{one-algebra} = \text{one-algebra} \rangle$
apply (*simp add: tensor-vn-def one-algebra-def image-image tensor-op-scaleC-left tensor-op-scaleC-right*)
by (*simp add: commutant-one-algebra commutant-UNIV flip: one-algebra-def*)

lemma *sandwich-swap-tensor-vn*: $\langle \text{sandwich swap-ell2 } \langle (X \otimes_{vN} Y) = Y \otimes_{vN} X \rangle$
by (*simp add: tensor-vn-def sandwich-unitary-commutant image-Un image-image Un-commute*)

lemma *tensor-vn-one-left*: $\langle \text{one-algebra } \otimes_{vN} X = (\lambda x. \text{id-cblinfun } \otimes_{\circ} x) \langle X \rangle$ **if** $\langle \text{von-neumann-algebra } X \rangle$

proof –
have $\langle \text{one-algebra } \otimes_{vN} X = \text{commutant } (\text{commutant } ((\lambda a. \text{id-cblinfun } \otimes_{\circ} a) \langle X \rangle) \rangle$
apply (*simp add: tensor-vn-def one-algebra-def image-image*)
by (*metis (no-types, lifting) Un-commute Un-empty-right commutant-UNIV commutant-empty double-commutant-Un-right image-cong one-algebra-def tensor-id tensor-op-scaleC-left*)
also have $\langle \dots = (\lambda a. \text{id-cblinfun } \otimes_{\circ} a) \langle \text{commutant } (X) \rangle$
by (*simp add: amplification-double-commutant-commute*)
also have $\langle \dots = (\lambda a. \text{id-cblinfun } \otimes_{\circ} a) \langle X \rangle$
using *that von-neumann-algebra-def* **by** *blast*
finally show *?thesis*
by –

qed
lemma *tensor-vn-one-right*: $\langle X \otimes_{vN} \text{one-algebra} = (\lambda x. x \otimes_{\circ} \text{id-cblinfun}) \langle X \rangle$ **if** $\langle \text{von-neumann-algebra } X \rangle$

proof –
have $\langle X \otimes_{vN} \text{one-algebra} = \text{sandwich swap-ell2 } \langle (\text{one-algebra } \otimes_{vN} X) \rangle$
by (*simp add: sandwich-swap-tensor-vn*)
also have $\langle \dots = \text{sandwich swap-ell2 } \langle (\lambda x. \text{id-cblinfun } \otimes_{\circ} x) \langle X \rangle \rangle$
by (*simp add: tensor-vn-one-left that*)
also have $\langle \dots = (\lambda x. x \otimes_{\circ} \text{id-cblinfun}) \langle X \rangle$

by (simp add: image-image)
 finally show ?thesis
 by –
 qed

lemma double-commutant-in-vn-algI: $\langle \text{commutant} (\text{commutant } X) \subseteq Y \rangle$
if $\langle \text{von-neumann-algebra } Y \rangle$ **and** $\langle X \subseteq Y \rangle$
by (metis commutant-antimono that(1) that(2) von-neumann-algebra-def)

lemma von-neumann-algebra-compose:
assumes $\langle \text{von-neumann-algebra } M \rangle$
assumes $\langle x \in M \rangle$ **and** $\langle y \in M \rangle$
shows $\langle x \circ_{CL} y \in M \rangle$
using assms **apply** (auto simp: von-neumann-algebra-def commutant-def)
by (metis (no-types, lifting) assms(1) commutant-mult von-neumann-algebra-def)

lemma von-neumann-algebra-id:
assumes $\langle \text{von-neumann-algebra } M \rangle$
shows $\langle \text{id-cblinfun} \in M \rangle$
using assms **by** (auto simp: von-neumann-algebra-def)

lemma tensor-vn-UNIV[simp]: $\langle \text{UNIV} \otimes_{vN} \text{UNIV} = (\text{UNIV} :: ((\text{'a} \times \text{'b}) \text{ ell2} \Rightarrow_{CL} \text{-}) \text{ set}) \rangle$
proof –
have $\langle (\text{UNIV} \otimes_{vN} \text{UNIV} :: ((\text{'a} \times \text{'b}) \text{ ell2} \Rightarrow_{CL} \text{-}) \text{ set}) =$
 $\text{commutant} (\text{commutant} (\text{range} (\lambda a. a \otimes_o \text{id-cblinfun}) \cup \text{range} (\lambda a. \text{id-cblinfun} \otimes_o a))) \rangle$
 (is $\langle - = ?rhs \rangle$)
by (simp add: tensor-vn-def commutant-cspan)
also have $\langle \dots \supseteq \text{commutant} \{a \otimes_o b \mid a b. \text{True}\} \rangle$ (is $\langle - \supseteq \dots \rangle$)
proof (rule double-commutant-in-vn-algI)
show vn: $\langle \text{von-neumann-algebra } ?rhs \rangle$
by (metis calculation von-neumann-algebra-UNIV von-neumann-algebra-tensor-vn)
show $\langle \{a \otimes_o b \mid (a :: \text{'a} \text{ ell2} \Rightarrow_{CL} \text{-}) (b :: \text{'b} \text{ ell2} \Rightarrow_{CL} \text{-}). \text{True}\} \subseteq ?rhs \rangle$
proof (rule subsetI)
fix $x :: \langle (\text{'a} \times \text{'b}) \text{ ell2} \Rightarrow_{CL} (\text{'a} \times \text{'b}) \text{ ell2} \rangle$
assume $\langle x \in \{a \otimes_o b \mid a b. \text{True}\} \rangle$
then obtain $a b$ **where** $\langle x = a \otimes_o b \rangle$
by auto
then have $\langle x = (a \otimes_o \text{id-cblinfun}) \circ_{CL} (\text{id-cblinfun} \otimes_o b) \rangle$
by (simp add: comp-tensor-op)
also have $\langle \dots \in ?rhs \rangle$
proof –
have $\langle a \otimes_o \text{id-cblinfun} \in ?rhs \rangle$
by (auto intro!: double-commutant-grows')
moreover have $\langle \text{id-cblinfun} \otimes_o b \in ?rhs \rangle$
by (auto intro!: double-commutant-grows')
ultimately show ?thesis
using commutant-mult **by** blast
 qed
finally show $\langle x \in ?rhs \rangle$

```

    by –
  qed
qed
also have ⟨... = cstrong-operator-topology closure-of (cspan {a ⊗o b | a b. True})⟩
  apply (rule double-commutant-theorem-span)
  apply (auto simp: comp-tensor-op tensor-op-adjoint)
  using tensor-id[symmetric] by blast+
also have ⟨... = UNIV⟩
  using tensor-op-dense by blast
finally show ?thesis
  by auto
qed

end

```

17 Tensor-Product-Code – Support for code generation

```

theory Tensor-Product-Code
  imports Hilbert-Space-Tensor-Product
    Complex-Bounded-Operators.Cblinfun-Code
begin

```

Automatic evaluation of formulas involving finite dimensional tensor products. Builds upon *Complex-Bounded-Operators.Cblinfun-Code* and reduces computations to the existing procedures from *Jordan_Normal_Form*.

```

unbundle cblinfun-notation Finite-Cartesian-Product.no-vec-syntax jnf-notation
hide-const (open) Finite-Cartesian-Product.vec
hide-const (open) Finite-Cartesian-Product.mat

```

```

definition tensor-pack :: nat ⇒ nat ⇒ (nat × nat) ⇒ nat
  where tensor-pack X Y = (λ(x, y). x * Y + y)

```

```

definition tensor-unpack :: nat ⇒ nat ⇒ nat ⇒ (nat × nat)
  where tensor-unpack X Y xy = (xy div Y, xy mod Y)

```

```

lemma tensor-unpack-inj:
  assumes i < A * B and j < A * B
  shows tensor-unpack A B i = tensor-unpack A B j ⟷ i = j
  by (metis div-mult-mod-eq prod.sel(1) prod.sel(2) tensor-unpack-def)

```

```

lemma tensor-unpack-bound1[simp]: i < A * B ⟹ fst (tensor-unpack A B i) < A
  unfolding tensor-unpack-def
  by (auto intro!: less-mult-imp-div-less)
lemma tensor-unpack-bound2[simp]: i < A * B ⟹ snd (tensor-unpack A B i) < B
  unfolding tensor-unpack-def
  by (auto intro!: mod-less-divisor Nat.gr0I)

```

lemma *tensor-unpack-fstfst*: $\langle \text{fst} (\text{tensor-unpack } A \ B \ (\text{fst} (\text{tensor-unpack} (A * B) \ C \ i)))$
 $= \text{fst} (\text{tensor-unpack } A \ (B * C) \ i) \rangle$
unfolding *tensor-unpack-def* **by** (*auto simp flip: div-mult2-eq simp: mult.commute*)

lemma *tensor-unpack-sndsnd*: $\langle \text{snd} (\text{tensor-unpack } B \ C \ (\text{snd} (\text{tensor-unpack } A \ (B * C) \ i)))$
 $= \text{snd} (\text{tensor-unpack} (A * B) \ C \ i) \rangle$
unfolding *tensor-unpack-def* **by** (*auto simp: mod-mod-cancel*)

lemma *tensor-unpack-fstsnd*: $\langle \text{fst} (\text{tensor-unpack } B \ C \ (\text{snd} (\text{tensor-unpack } A \ (B * C) \ i)))$
 $= \text{snd} (\text{tensor-unpack } A \ B \ (\text{fst} (\text{tensor-unpack} (A * B) \ C \ i))) \rangle$
unfolding *tensor-unpack-def*
by (*cases* $\langle C = 0 \rangle$) (*simp-all add: mult.commute [of B C] mod-mult2-eq [of i C B]*)

definition *tensor-state-jnf* $\psi \ \varphi = (\text{let } d1 = \text{dim-vec } \psi \text{ in let } d2 = \text{dim-vec } \varphi \text{ in}$
 $\text{vec} (d1 * d2) (\lambda i. \text{let } (i1, i2) = \text{tensor-unpack } d1 \ d2 \ i \text{ in } (\text{vec-index } \psi \ i1) * (\text{vec-index } \varphi \ i2)))$

lemma *tensor-state-jnf-dim*[*simp*]: $\langle \text{dim-vec} (\text{tensor-state-jnf } \psi \ \varphi) = \text{dim-vec } \psi * \text{dim-vec } \varphi \rangle$
unfolding *tensor-state-jnf-def* **Let-def** **by** *simp*

lemma *enum-prod-nth-tensor-unpack*:
assumes $\langle i < \text{CARD}('a) * \text{CARD}('b) \rangle$
shows $(\text{Enum.enum} ! i :: 'a :: \text{enum} \times 'b :: \text{enum}) =$
 $(\text{let } (i1, i2) = \text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('b) \ i \text{ in}$
 $(\text{Enum.enum} ! i1, \text{Enum.enum} ! i2))$
using *assms*
by (*simp add: enum-prod-def product-nth tensor-unpack-def*)

lemma *vec-of-basis-enum-tensor-state-index*:
fixes $\psi :: \langle 'a :: \text{enum } \text{ell2} \rangle$ **and** $\varphi :: \langle 'b :: \text{enum } \text{ell2} \rangle$
assumes [*simp*]: $\langle i < \text{CARD}('a) * \text{CARD}('b) \rangle$
shows $\langle \text{vec-of-basis-enum} (\psi \otimes_s \varphi) \ \$ \ i = (\text{let } (i1, i2) = \text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('b)$
 $i \text{ in}$
 $\text{vec-of-basis-enum } \psi \ \$ \ i1 * \text{vec-of-basis-enum } \varphi \ \$ \ i2) \rangle$

proof –
define *i1 i2* **where** $i1 = \text{fst} (\text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('b) \ i)$
and $i2 = \text{snd} (\text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('b) \ i)$
have [*simp*]: $i1 < \text{CARD}('a) \ i2 < \text{CARD}('b)$
using *assms i1-def tensor-unpack-bound1* **apply** *presburger*
using *assms i2-def tensor-unpack-bound2* **by** *presburger*

have $\langle \text{vec-of-basis-enum} (\psi \otimes_s \varphi) \ \$ \ i = \text{Rep-ell2} (\psi \otimes_s \varphi) (\text{enum-class.enum} ! i) \rangle$
by (*simp add: vec-of-basis-enum-ell2-component*)

also have $\langle \dots = \text{Rep-ell2 } \psi \ (\text{Enum.enum} ! i1) * \text{Rep-ell2 } \varphi \ (\text{Enum.enum} ! i2) \rangle$
apply (*transfer fixing: i i1 i2*)
by (*simp add: enum-prod-nth-tensor-unpack case-prod-beta i1-def i2-def*)

also have $\langle \dots = \text{vec-of-basis-enum } \psi \ \$ \ i1 * \text{vec-of-basis-enum } \varphi \ \$ \ i2 \rangle$
by (*simp add: vec-of-basis-enum-ell2-component*)

finally show *?thesis*
by (*simp add: case-prod-beta i1-def i2-def*)
qed

lemma *vec-of-basis-enum-tensor-state*:
fixes $\psi :: \langle 'a::\text{enum } \text{ell2} \rangle$ **and** $\varphi :: \langle 'b::\text{enum } \text{ell2} \rangle$
shows $\langle \text{vec-of-basis-enum } (\psi \otimes_s \varphi) = \text{tensor-state-jnf } (\text{vec-of-basis-enum } \psi) (\text{vec-of-basis-enum } \varphi) \rangle$
apply (*rule eq-vecI, simp-all*)
apply (*subst vec-of-basis-enum-tensor-state-index, simp-all*)
by (*simp add: tensor-state-jnf-def case-prod-beta Let-def*)

lemma *mat-of-cblinfun-tensor-op-index*:
fixes $a :: \langle 'a::\text{enum } \text{ell2} \Rightarrow_{CL} 'b::\text{enum } \text{ell2} \rangle$ **and** $b :: \langle 'c::\text{enum } \text{ell2} \Rightarrow_{CL} 'd::\text{enum } \text{ell2} \rangle$
assumes [*simp*]: $\langle i < \text{CARD}('b) * \text{CARD}('d) \rangle$
assumes [*simp*]: $\langle j < \text{CARD}('a) * \text{CARD}('c) \rangle$
shows $\langle \text{mat-of-cblinfun } (\text{tensor-op } a \ b) \ \S\ \langle i, j \rangle =$
 $(\text{let } (i1, i2) = \text{tensor-unpack } \text{CARD}('b) \ \text{CARD}('d) \ i \ \text{in}$
 $\text{let } (j1, j2) = \text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('c) \ j \ \text{in}$
 $\text{mat-of-cblinfun } a \ \S\ \langle i1, j1 \rangle * \text{mat-of-cblinfun } b \ \S\ \langle i2, j2 \rangle) \rangle$

proof –

define $i1 \ i2 \ j1 \ j2$
where $i1 = \text{fst } (\text{tensor-unpack } \text{CARD}('b) \ \text{CARD}('d) \ i)$
and $i2 = \text{snd } (\text{tensor-unpack } \text{CARD}('b) \ \text{CARD}('d) \ i)$
and $j1 = \text{fst } (\text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('c) \ j)$
and $j2 = \text{snd } (\text{tensor-unpack } \text{CARD}('a) \ \text{CARD}('c) \ j)$
have [*simp*]: $i1 < \text{CARD}('b) \ i2 < \text{CARD}('d) \ j1 < \text{CARD}('a) \ j2 < \text{CARD}('c)$
using *assms i1-def tensor-unpack-bound1* **apply** *presburger*
using *assms i2-def tensor-unpack-bound2* **apply** *blast*
using *assms(2) j1-def tensor-unpack-bound1* **apply** *blast*
using *assms(2) j2-def tensor-unpack-bound2* **by** *presburger*

have $\langle \text{mat-of-cblinfun } (\text{tensor-op } a \ b) \ \S\ \langle i, j \rangle$
 $= \text{Rep-ell2 } (\text{tensor-op } a \ b \ *_{\mathbb{V}} \ \text{ket } (\text{Enum.enum!}j)) \ (\text{Enum.enum!}i) \rangle$
by (*simp add: mat-of-cblinfun-ell2-component*)
also have $\langle \dots = \text{Rep-ell2 } ((a \ *_{\mathbb{V}} \ \text{ket } (\text{Enum.enum!}j1)) \otimes_s (b \ *_{\mathbb{V}} \ \text{ket } (\text{Enum.enum!}j2)))$
 $(\text{Enum.enum!}i) \rangle$
by (*simp add: tensor-op-ell2 enum-prod-nth-tensor-unpack[where i=j] Let-def case-prod-beta*
j1-def[symmetric] j2-def[symmetric] flip: tensor-ell2-ket)
also have $\langle \dots = \text{vec-of-basis-enum } ((a \ *_{\mathbb{V}} \ \text{ket } (\text{Enum.enum!}j1)) \otimes_s b \ *_{\mathbb{V}} \ \text{ket } (\text{Enum.enum!}j2))$
 $\ \$ \ i \rangle$
by (*simp add: vec-of-basis-enum-ell2-component*)
also have $\langle \dots = \text{vec-of-basis-enum } (a \ *_{\mathbb{V}} \ \text{ket } (\text{enum-class.enum!}j1)) \ \$ \ i1 \ *_{\mathbb{V}}$
 $\ \text{vec-of-basis-enum } (b \ *_{\mathbb{V}} \ \text{ket } (\text{enum-class.enum!}j2)) \ \$ \ i2 \rangle$
by (*simp add: case-prod-beta vec-of-basis-enum-tensor-state-index i1-def[symmetric] i2-def[symmetric]*)
also have $\langle \dots = \text{Rep-ell2 } (a \ *_{\mathbb{V}} \ \text{ket } (\text{enum-class.enum!}j1)) \ (\text{enum-class.enum!}i1) \ *_{\mathbb{V}}$
 $\ \text{Rep-ell2 } (b \ *_{\mathbb{V}} \ \text{ket } (\text{enum-class.enum!}j2)) \ (\text{enum-class.enum!}i2) \rangle$

by (*simp add: vec-of-basis-enum-ell2-component*)
also have $\langle \dots = \text{mat-of-cblinfun } a \ \$\$ (i1, j1) * \text{mat-of-cblinfun } b \ \$\$ (i2, j2) \rangle$
by (*simp add: mat-of-cblinfun-ell2-component*)
finally show *?thesis*
by (*simp add: i1-def[symmetric] i2-def[symmetric] j1-def[symmetric] j2-def[symmetric]*
case-prod-beta)
qed

definition *tensor-op-jnf* $A \ B =$
(let $r1 = \text{dim-row } A$ *in*
let $c1 = \text{dim-col } A$ *in*
let $r2 = \text{dim-row } B$ *in*
let $c2 = \text{dim-col } B$ *in*
 $\text{mat } (r1 * r2) (c1 * c2)$
 $(\lambda(i,j). \text{let } (i1,i2) = \text{tensor-unpack } r1 \ r2 \ i \ \text{in}$
 $\text{let } (j1,j2) = \text{tensor-unpack } c1 \ c2 \ j \ \text{in}$
 $(A \ \$\$ (i1,j1)) * (B \ \$\$ (i2,j2))))$

lemma *tensor-op-jnf-dim[simp]*:
 $\langle \text{dim-row } (\text{tensor-op-jnf } a \ b) = \text{dim-row } a * \text{dim-row } b \rangle$
 $\langle \text{dim-col } (\text{tensor-op-jnf } a \ b) = \text{dim-col } a * \text{dim-col } b \rangle$
unfolding *tensor-op-jnf-def Let-def by simp-all*

lemma *mat-of-cblinfun-tensor-op*:
fixes $a :: \langle 'a::\text{enum } \text{ell2} \Rightarrow_{CL} 'b::\text{enum } \text{ell2} \rangle$ **and** $b :: \langle 'c::\text{enum } \text{ell2} \Rightarrow_{CL} 'd::\text{enum } \text{ell2} \rangle$
shows $\langle \text{mat-of-cblinfun } (\text{tensor-op } a \ b) = \text{tensor-op-jnf } (\text{mat-of-cblinfun } a) (\text{mat-of-cblinfun } b) \rangle$
apply (*rule eq-matI, simp-all add: canonical-basis-length*)
apply (*subst mat-of-cblinfun-tensor-op-index, simp-all*)
by (*simp add: tensor-op-jnf-def case-prod-beta Let-def canonical-basis-length*)

lemma *mat-of-cblinfun-assoc-ell2'[simp]*:
 $\langle \text{mat-of-cblinfun } (\text{assoc-ell2} * :: (('a::\text{enum} \times ('b::\text{enum} \times 'c::\text{enum})) \ \text{ell2} \Rightarrow_{CL} -)) = \text{one-mat}$
 $(\text{CARD}'a * \text{CARD}'b * \text{CARD}'c) \rangle$
(is mat-of-cblinfun ?assoc = -)
proof (*rule mat-eq-iff[THEN iffD2], intro conjI allI impI*)

show $\langle \text{dim-row } (\text{mat-of-cblinfun } ?\text{assoc}) =$
 $\text{dim-row } (1_m (\text{CARD}'a * \text{CARD}'b * \text{CARD}'c)) \rangle$
by (*simp add: canonical-basis-length*)
show $\langle \text{dim-col } (\text{mat-of-cblinfun } ?\text{assoc}) =$
 $\text{dim-col } (1_m (\text{CARD}'a * \text{CARD}'b * \text{CARD}'c)) \rangle$
by (*simp add: canonical-basis-length*)

fix $i \ j$
let $?i = \text{Enum.enum} ! i :: (('a \times 'b) \times 'c)$ **and** $?j = \text{Enum.enum} ! j :: ('a \times ('b \times 'c))$

```

assume  $\langle i < \text{dim-row } (1_m \text{ (CARD('a) * CARD('b) * CARD('c))) \rangle$ 
then have  $iB[\text{simp}]$ :  $\langle i < \text{CARD('a) * CARD('b) * CARD('c)} \rangle$  by simp
then have  $iB'[\text{simp}]$ :  $\langle i < \text{CARD('a) * (CARD('b) * CARD('c))} \rangle$  by linarith
assume  $\langle j < \text{dim-col } (1_m \text{ (CARD('a) * CARD('b) * CARD('c))) \rangle$ 
then have  $jB[\text{simp}]$ :  $\langle j < \text{CARD('a) * CARD('b) * CARD('c)} \rangle$  by simp
then have  $jB'[\text{simp}]$ :  $\langle j < \text{CARD('a) * (CARD('b) * CARD('c))} \rangle$  by linarith

define  $i1\ i23\ i2\ i3$ 
  where  $i1 = \text{fst } (\text{tensor-unpack } \text{CARD('a)} \text{ (CARD('b)*CARD('c)) } i)$ 
    and  $i23 = \text{snd } (\text{tensor-unpack } \text{CARD('a)} \text{ (CARD('b)*CARD('c)) } i)$ 
    and  $i2 = \text{fst } (\text{tensor-unpack } \text{CARD('b)} \text{ CARD('c)}\ i23)$ 
    and  $i3 = \text{snd } (\text{tensor-unpack } \text{CARD('b)} \text{ CARD('c)}\ i23)$ 
define  $j12\ j1\ j2\ j3$ 
  where  $j12 = \text{fst } (\text{tensor-unpack } (\text{CARD('a)*CARD('b)}) \text{ CARD('c)}\ j)$ 
    and  $j1 = \text{fst } (\text{tensor-unpack } \text{CARD('a)} \text{ CARD('b)}\ j12)$ 
    and  $j2 = \text{snd } (\text{tensor-unpack } \text{CARD('a)} \text{ CARD('b)}\ j12)$ 
    and  $j3 = \text{snd } (\text{tensor-unpack } (\text{CARD('a)*CARD('b)}) \text{ CARD('c)}\ j)$ 

have  $[\text{simp}]$ :  $j12 < \text{CARD('a)*CARD('b)}\ i23 < \text{CARD('b)*CARD('c)}$ 
  using  $j12\text{-def } jB\ \text{tensor-unpack-bound1}$  apply presburger
  using  $i23\text{-def } iB'\ \text{tensor-unpack-bound2}$  by blast

have  $j1'$ :  $\langle \text{fst } (\text{tensor-unpack } \text{CARD('a)} \text{ (CARD('b) * CARD('c)) } j) = j1 \rangle$ 
  by (simp add: j1-def j12-def tensor-unpack-fstfst)

let  $?i1 = \text{Enum.enum ! } i1 :: 'a$  and  $?i2 = \text{Enum.enum ! } i2 :: 'b$  and  $?i3 = \text{Enum.enum ! } i3$ 
   $:: 'c$ 
let  $?j1 = \text{Enum.enum ! } j1 :: 'a$  and  $?j2 = \text{Enum.enum ! } j2 :: 'b$  and  $?j3 = \text{Enum.enum ! } j3$ 
   $:: 'c$ 

have  $i$ :  $\langle ?i = ((?i1, ?i2), ?i3) \rangle$ 
  by (auto simp add: enum-prod-nth-tensor-unpack case-prod-beta
    tensor-unpack-fstfst tensor-unpack-fstsnd tensor-unpack-sndsnd i1-def i2-def i23-def
i3-def)
have  $j$ :  $\langle ?j = (?j1, (?j2, ?j3)) \rangle$ 
  by (auto simp add: enum-prod-nth-tensor-unpack case-prod-beta
    tensor-unpack-fstfst tensor-unpack-fstsnd tensor-unpack-sndsnd j1-def j2-def j12-def j3-def)
have  $i\text{jeq}$ :  $\langle (?i1, ?i2, ?i3) = (?j1, ?j2, ?j3) \longleftrightarrow i = j \rangle$ 
unfolding  $i1\text{-def } i2\text{-def } i3\text{-def } j1\text{-def } j2\text{-def } j3\text{-def}$  apply simp
apply (subst enum-inj, simp, simp)
apply (subst enum-inj, simp, simp)
apply (subst enum-inj, simp, simp)
apply (subst tensor-unpack-inj[symmetric, where i=i and j=j and A=CARD('a) and
B=CARD('b)*CARD('c)], simp, simp)
unfolding prod-eq-iff
apply (subst tensor-unpack-inj[symmetric, where i=(snd (tensor-unpack CARD('a) (CARD('b)
* CARD('c)) i) and A=CARD('b) and B=CARD('c)], simp, simp)
by (simp add: i1-def[symmetric] j1-def[symmetric] i2-def[symmetric] j2-def[symmetric])

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i3-def[symmetric] j3-def[symmetric]
  i23-def[symmetric] j12-def[symmetric] j1'
  prod-eq-iff tensor-unpack-fstsnd tensor-unpack-sndsnd)

have ⟨mat-of-cblinfun ?assoc $$ (i, j) = Rep-ell2 (assoc-ell2* *V ket ?j) ?i⟩
  by (subst mat-of-cblinfun-ell2-component, auto)
also have ⟨... = Rep-ell2 ((ket ?j1 ⊗s ket ?j2) ⊗s ket ?j3) ?i⟩
  by (simp add: j assoc-ell2'-tensor flip: tensor-ell2-ket)
also have ⟨... = (if (?i1, ?i2, ?i3) = (?j1, ?j2, ?j3) then 1 else 0)⟩
  by (auto simp add: ket.rep-eq i tensor-ell2-ket)
also have ⟨... = (if i=j then 1 else 0)⟩
  using ijeq by simp
finally
show ⟨mat-of-cblinfun ?assoc $$ (i, j) =
  1m (CARD('a) * CARD('b) * CARD('c)) $$ (i, j)⟩
  by auto
qed

```

```

lemma mat-of-cblinfun-assoc-ell2[simp]:
  ⟨mat-of-cblinfun (assoc-ell2 :: (('a::enum × 'b::enum) × 'c::enum) ell2 ⇒CL -) = one-mat
  (CARD('a)*CARD('b)*CARD('c))⟩
  (is mat-of-cblinfun ?assoc = -)
proof -
let ?assoc' = assoc-ell2* :: (('a::enum × 'b::enum × 'c::enum) ell2 ⇒CL -)
have one-mat (CARD('a)*CARD('b)*CARD('c)) = mat-of-cblinfun (?assoc oCL ?assoc')
  by (simp add: mult.assoc mat-of-cblinfun-id)
also have ⟨... = mat-of-cblinfun ?assoc * mat-of-cblinfun ?assoc'⟩
  using mat-of-cblinfun-compose by blast
also have ⟨... = mat-of-cblinfun ?assoc * one-mat (CARD('a)*CARD('b)*CARD('c))⟩
  by simp
also have ⟨... = mat-of-cblinfun ?assoc⟩
  apply (rule right-mult-one-mat')
  by (simp add: canonical-basis-length)
finally show ?thesis
  by simp
qed

```

end

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