

# First Order Clause

Balazs Toth

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## Abstract

This entry provides reusable theories that lift properties of first-order (ground and nonground) terms to atoms, literals, and clauses. These properties include substitutions, orders, entailment, and typing. The sessions `AFP/First_Order_Terms` and `AFP/Abstract_Substitution` are the basis of this entry.

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<b>9 Nonground Order</b>	<b>120</b>
theory <i>Ground-Term-Extra</i>	
imports <i>Regular-Tree-Relations.Ground-Terms</i>	
begin	
lemma <i>gterm-is-fun</i> : <i>is-Fun</i> ( <i>term-of-gterm</i> <i>t</i> )	
by (cases <i>t</i> ) simp	
no-notation <i>subst-compose</i> ( <i>infixl</i> $\circ_s$ 75)	
no-notation <i>subst-apply-term</i> ( <i>infixl</i> $\cdot$ 67)	
end	
theory <i>Ground-Context</i>	
imports <i>Ground-Term-Extra</i>	
begin	
type-synonym ' <i>f</i> ground-context = (' <i>f</i> , ' <i>f</i> <i>gterm</i> ) <i>actxt</i>	
abbreviation ( <i>input</i> ) <i>GHole</i> ( $\langle \Box_G \rangle$ ) where	
$\Box_G \equiv \Box$	
abbreviation <i>ctxt-apply-gterm</i> ( $\langle \cdot \langle \cdot \rangle_G \rangle$ [1000, 0] 1000) where	
$C\langle s \rangle_G \equiv GFun(C; s)$	
lemma <i>le-size-gctxt</i> : <i>size</i> <i>t</i> $\leq$ <i>size</i> ( $c\langle t \rangle_G$ )	
by (induction <i>c</i> ) simp-all	
lemma <i>lt-size-gctxt</i> : $c \neq \Box \implies \text{size } t < \text{size } c\langle t \rangle_G$	
by (induction <i>c</i> ) force+	
lemma <i>gctxt-ident-iff-eq-GHole</i> [simp]: $c\langle t \rangle_G = t \longleftrightarrow c = \Box$	
proof (rule iffI)	
assume $c\langle t \rangle_G = t$	
hence <i>size</i> ( $c\langle t \rangle_G$ ) = <i>size</i> <i>t</i>	
by argo	
thus $c = \Box$	
using <i>lt-size-gctxt</i> [of <i>c t</i> ]	
by linarith	
next	

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show  $c = \square \implies c\langle t \rangle_G = t$ 
  by simp
qed

end
theory Multiset-Extra
imports
  HOL-Library.Multiset
  HOL-Library.Multiset-Order
  Nested-Multisets-Ordinals.Multiset-More
  Abstract-Substitution.Natural-Magma-Functor
begin

lemma exists-multiset [intro]:  $\exists M. x \in \text{set-mset } M$ 
  by (meson union-single-eq-member)

global-interpretation muliset-magma: natural-magma-with-empty where
  to-set = set-mset and plus = (+) and wrap =  $\lambda l. \{\#l\# \}$  and add = add-mset
  and empty = {#}
  by unfold-locales simp-all

global-interpretation multiset-functor: finite-natural-functor where
  map = image-mset and to-set = set-mset
  by unfold-locales auto

global-interpretation multiset-functor: natural-functor-conversion where
  map = image-mset and to-set = set-mset and map-to = image-mset and
  map-from = image-mset and
  map' = image-mset and to-set' = set-mset
  by unfold-locales simp-all

global-interpretation muliset-functor: natural-magma-functor where
  map = image-mset and to-set = set-mset and plus = (+) and wrap =  $\lambda l. \{\#l\# \}$ 
  and add = add-mset
  by unfold-locales simp-all

lemma one-le-countE:
  assumes  $1 \leq \text{count } M x$ 
  obtains  $M'$  where  $M = \text{add-mset } x M'$ 
  using assms by (meson count-greater-eq-one-iff multi-member-split)

lemma two-le-countE:
  assumes  $2 \leq \text{count } M x$ 
  obtains  $M'$  where  $M = \text{add-mset } x (\text{add-mset } x M')$ 
  using assms
  by (metis Suc-1 Suc-eq-plus1-left Suc-leD add.right-neutral count-add-mset multi-member-split
       not-in-iff not-less-eq-eq)

lemma three-le-countE:

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assumes  $\beta \leq \text{count } M x$ 
obtains  $M'$  where  $M = \text{add-mset } x (\text{add-mset } x (\text{add-mset } x M'))$ 
using assms
by (metis One-nat-def Suc-1 Suc-leD add-le-cancel-left count-add-mset numeral-3-eq-3
plus-1-eq-Suc
two-le-countE)

lemma one-step-implies-multpHO-strong:
fixes  $A B J K :: -\text{multiset}$ 
defines  $J \equiv B - A$  and  $K \equiv A - B$ 
assumes  $J \neq \{\#\}$  and  $\forall k \in \# K. \exists x \in \# J. R k x$ 
shows multpHO R A B
unfolding multpHO-def
proof (intro conjI allI impI)
show  $A \neq B$ 
using assms
by force
next
fix  $y$ 
assume  $\text{count } B y < \text{count } A y$ 

then show  $\exists x. R y x \wedge \text{count } A x < \text{count } B x$ 
using assms
by (metis in-diff-count)
qed

lemma Uniq-antimono:  $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$ 
unfolding le-fun-def le-bool-def
by (rule impI) (simp only: Uniq-I Uniq-D)

lemma Uniq-antimono':  $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$ 
by (fact Uniq-antimono[unfolded le-fun-def le-bool-def, rule-format])

lemma multp-singleton-right[simp]:
assumes transp R
shows multp R M {#x#}  $\longleftrightarrow (\forall y \in \# M. R y x)$ 
proof (rule iffI)
show  $\forall y \in \# M. R y x \implies \text{multp } R M {#x#}$ 
using one-step-implies-multp[of {#x#} - R {#}, simplified].
next
show multp R M {#x#}  $\implies \forall y \in \# M. R y x$ 
using multp-implies-one-step[OF `transp R`]
by (smt (verit, del-insts) add-0 set-mset-add-mset-insert set-mset-empty single-is-union
singletonD)
qed

lemma multp-singleton-left[simp]:
assumes transp R

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shows multp R {#x#} M  $\longleftrightarrow$  ({#x#}  $\subset\#$  M  $\vee$  ( $\exists y \in\# M$ . R x y))
proof (rule iffI)
  show {#x#}  $\subset\#$  M  $\vee$  ( $\exists y \in\# M$ . R x y)  $\Longrightarrow$  multp R {#x#} M
  proof (elim disjE bexE)
    show {#x#}  $\subset\#$  M  $\Longrightarrow$  multp R {#x#} M
    by (simp add: subset-implies-multp)
  next
    show  $\bigwedge y. y \in\# M \Longrightarrow R x y \Longrightarrow$  multp R {#x#} M
    using one-step-implies-multp[of M {#x#} R {#}, simplified] by force
  qed
next
show multp R {#x#} M  $\Longrightarrow$  {#x#}  $\subset\#$  M  $\vee$  ( $\exists y \in\# M$ . R x y)
using multp-implies-one-step[OF `transp R`, of {#x#} M]
by (metis (no-types, opaque-lifting) add-cancel-right-left subset-mset.gr-zeroI
     subset-mset.less-add-same-cancel2 union-commute union-is-single union-single-eq-member)
qed

lemma multp-singleton-singleton[simp]: transp R  $\Longrightarrow$  multp R {#x#} {#y#}  $\longleftrightarrow$ 
R x y
using multp-singleton-right[of R {#x#} y] by simp

lemma multp-subset-supersetI: transp R  $\Longrightarrow$  multp R A B  $\Longrightarrow$  C  $\subseteq\#$  A  $\Longrightarrow$  B
 $\subseteq\#$  D  $\Longrightarrow$  multp R C D
by (metis subset-implies-multp subset-mset.antisym-conv2 transpE transp-multp)

lemma multp-double-doubleI:
assumes transp R multp R A B
shows multp R (A + A) (B + B)
using multp-repeat-mset-repeat-msetI[OF `transp R` `multp R A B`, of 2]
by (simp add: numeral-Bit0)

lemma multp-implies-one-step-strong:
fixes A B I J K :: - multiset
assumes transp R and asymp R and multp R A B
defines J ≡ B - A and K ≡ A - B
shows J ≠ {} and  $\forall k \in\# K. \exists x \in\# J. R k x$ 
proof -
  from assms have multpHO R A B
  by (simp add: multp-eq-multpHO)
thus J ≠ {} and  $\forall k \in\# K. \exists x \in\# J. R k x$ 
  using multpHO-implies-one-step-strong[OF `multpHO R A B`]
  by (simp-all add: J-def K-def)
qed

lemma multp-double-doubleD:
assumes transp R and asymp R and multp R (A + A) (B + B)
shows multp R A B
proof -

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from assms have
   $B + B - (A + A) \neq \{\#\}$  and
   $\forall k \in \#A + A - (B + B). \exists x \in \#B + B - (A + A). R k x$ 
  using multp-implies-one-step-strong[OF assms] by simp-all

have multp R (A ∩# B + (A - B)) (A ∩# B + (B - A))
proof (rule one-step-implies-multp[of B - A A - B R A ∩# B])
  show B - A ≠ {#}
  using ⟨B + B - (A + A) ≠ {#}⟩
  by (meson Diff-eq-empty-iff-mset mset-subset-eq-mono-add)
next
  show  $\forall k \in \#A - B. \exists j \in \#B - A. R k j$ 
  proof (intro ballI)
    fix x assume  $x \in \#A - B$ 
    hence  $x \in \#A + A - (B + B)$ 
    by (simp add: in-diff-count)
    then obtain y where  $y \in \#B + B - (A + A)$  and R x y
    using ⟨ $\forall k \in \#A + A - (B + B). \exists x \in \#B + B - (A + A). R k x$ ⟩ by auto
    then show  $\exists j \in \#B - A. R x j$ 
    by (auto simp add: in-diff-count)
  qed
qed

moreover have A = A ∩# B + (A - B)
by (simp add: inter-mset-def)

moreover have B = A ∩# B + (B - A)
by (metis diff-intersect-right-idem subset-mset.add-diff-inverse subset-mset.inf.cobounded2)

ultimately show ?thesis
  by argo
qed

lemma multp-double-double:
  transp R  $\implies$  asymp R  $\implies$  multp R (A + A) (B + B)  $\longleftrightarrow$  multp R A B
  using multp-double-doubleD multp-double-doubleI by metis

lemma multp-doubleton-doubleton[simp]:
  transp R  $\implies$  asymp R  $\implies$  multp R {#x, x#} {#y, y#}  $\longleftrightarrow$  R x y
  using multp-double-double[of R {#x#} {#y#}, simplified] by simp

lemma multp-single-doubleI: M ≠ {#}  $\implies$  multp R M (M + M)
  using one-step-implies-multp[of M {#} - M, simplified] by simp

lemma mult1-implies-one-step-strong:
  assumes trans r and asym r and (A, B) ∈ mult1 r
  shows B - A ≠ {#} and  $\forall k \in \#A - B. \exists j \in \#B - A. (k, j) \in r$ 
proof –
  from ⟨(A, B) ∈ mult1 r⟩ obtain b B' A' where

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B-def:  $B = \text{add-mset } b \ B'$  and
A-def:  $A = B' + A'$  and
 $\forall a. a \in\# A' \longrightarrow (a, b) \in r$ 
unfolding mult1-def by auto

have  $b \notin\# A'$ 
by (meson ‹ $\forall a. a \in\# A' \longrightarrow (a, b) \in r$ › assms(2) asym-onD iso-tuple-UNIV-I)
then have  $b \in\# B - A$ 
by (simp add: A-def B-def)
thus  $B - A \neq \{\#\}$ 
by auto

show  $\forall k \in\# A - B. \exists j \in\# B - A. (k, j) \in r$ 
by (metis A-def B-def ‹ $\forall a. a \in\# A' \longrightarrow (a, b) \in r$ › ‹ $b \in\# B - A$ › ‹ $b \notin\# A'$ ›
add-diff-cancel-left'
add-mset-add-single diff-diff-add-mset diff-single-trivial)
qed

lemma asymp-multp:
assumes asymp R and transp R
shows asymp (multp R)
using asymp-multpHO[OF assms]
unfolding multp-eq-multpHO[OF assms].

lemma multp-doubleton-singleton: transp R  $\implies$  multp R {# x, x #} {# y #}
 $\longleftarrow R x y$ 
by (cases x = y) auto

lemma image-mset-remove1-mset:
assumes inj f
shows remove1-mset (f a) (image-mset f X) = image-mset f (remove1-mset a X)
using image-mset-remove1-mset-if
unfolding image-mset-remove1-mset-if inj-image-mem-iff[OF assms, symmetric]
by simp

lemma multpDM-map-strong:
assumes
  f-mono: monotone-on (set-mset (M1 + M2)) R S f and
  M1-lt-M2: multpDM R M1 M2
shows multpDM S (image-mset f M1) (image-mset f M2)
proof –
obtain Y X where
  Y  $\neq \{\#\}$  and  $Y \subseteq\# M2$  and M1-eq:  $M1 = M2 - Y + X$  and
  ex-y:  $\forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge R x y)$ 
using M1-lt-M2[unfolded multpDM-def Let-def mset-map] by blast

let ?fY = image-mset f Y

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let ?fX = image-mset f X

show ?thesis
  unfolding multp_DM-def
proof (intro exI conjI)
  show image-mset f Y ≠ {#}
    using ‹Y ≠ {#}› unfolding image-mset-is-empty-iff .
next
  show image-mset f Y ⊆# image-mset f M2
    using ‹Y ⊆# M2› image-mset-subseteq-mono by metis
next
  show image-mset f M1 = image-mset f M2 - ?fY + ?fX
    using M1-eq[THEN arg-cong, of image-mset f] ‹Y ⊆# M2›
    by (metis image-mset-Diff image-mset-union)
next
  obtain g where y: ∀x. x ∈# X → g x ∈# Y ∧ R x (g x)
    using ex-y by moura

  show ∀fx. fx ∈# ?fX → (∃fy. fy ∈# ?fY ∧ S fx fy)
  proof (intro allI impI)
    fix x' assume x' ∈# ?fX
    then obtain x where x': x' = fx and x-in: x ∈# X
      by auto
    hence y-in: g x ∈# Y and y-gt: R x (g x)
      using y[rule-format, OF x-in] by blast+
  moreover have X ⊆# M1
    using M1-eq by simp

  ultimately have f (g x) ∈# ?fY ∧ S (fx)(f (g x))
    using f-mono[THEN monotone-onD, of x g x] ‹Y ⊆# M2› ‹X ⊆# M1›
    x-in
      by (metis imageI in-image-mset mset-subset-eqD union-iff)
    thus ∃fy. fy ∈# ?fY ∧ S x' fy
      unfolding x' by auto
    qed
  qed
qed

lemma multp-map-strong:
assumes
  transp: transp R and
  f-mono: monotone-on (set-mset (M1 + M2)) R S f and
  M1-lt-M2: multp R M1 M2
shows multp S (image-mset f M1) (image-mset f M2)
using monotone-on-multp-multp-image-mset[THEN monotone-onD, OF f-mono
transp - - M1-lt-M2]
by simp

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lemma multpHO-add-mset:
  assumes asymp R transp R R x y multpHO R X Y
  shows multpHO R (add-mset x X) (add-mset y Y)
  unfolding multpHO-def
  proof(intro allI conjI impI)
    show add-mset x X ≠ add-mset y Y
      using assms(1, 3, 4)
      unfolding multpHO-def
      by (metis asympD count-add-mset lessI less-not-refl)
  next
    fix x'
    assume count-x': count (add-mset y Y) x' < count (add-mset x X) x'
    show ∃y'. R x' y' ∧ count (add-mset x X) y' < count (add-mset y Y) y'
    proof(cases x' = x)
      case True
      then show ?thesis
        using assms
        unfolding multpHO-def
        by (metis count-add-mset irreflpD irreflp-on-if-asymp-on not-less-eq transpE)
    next
      case x'-neq-x: False
      show ?thesis
      proof(cases y = x')
        case True
        then show ?thesis
          using assms(1, 3, 4) count-x' x'-neq-x
          unfolding multpHO-def count-add-mset
          by (smt (verit) Suc-lessD asympD)
    next
      case False
      then show ?thesis
        using assms count-x' x'-neq-x
        unfolding multpHO-def count-add-mset
        by (smt (verit, del-insts) irreflpD irreflp-on-if-asymp-on not-less-eq transpE)
    qed
  qed

```

```

lemma multp-add-mset:
  assumes asymp R transp R R x y multp R X Y
  shows multp R (add-mset x X) (add-mset y Y)
  using multpHO-add-mset[OF assms(1–3)] assms(4)
  unfolding multp-eq-multpHO[OF assms(1, 2)]
  by simp

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lemma multp-add-mset':
  assumes R x y
  shows multp R (add-mset x X) (add-mset y X)

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using assms
by (metis add-mset-add-single empty-iff insert-iff one-step-implies-multp set-mset-add-mset-insert
      set-mset-empty)

lemma multp-add-mset-reflclp:
assumes asymp R transp R R x y (multp R) == X Y
shows multp R (add-mset x X) (add-mset y Y)
using
  assms(4)
  multp-add-mset'[of R, OF assms(3)]
  multp-add-mset[OF assms(1-3)]
by blast

lemma multp-add-same [simp]:
assumes asymp R transp R
shows multp R (add-mset x X) (add-mset x Y)  $\longleftrightarrow$  multp R X Y
by (meson assms asymp-on-subset irreflp-on-if-asymp-on multp-cancel-add-mset
      top-greatest)

lemma inj-mset-plus-same: inj ( $\lambda X :: 'a multiset . X + X$ )
proof(unfold inj-def, intro allI impI)
  fix X Y :: 'a multiset
  assume X + X = Y + Y

  then show X = Y
  proof(induction X arbitrary: Y)
    case empty
    then show ?case
      by simp
    next
      case (add x X)
      then show ?case
        by (metis diff-single-eq-union diff-union-single-conv single-subset-iff
            subset-mset.add-diff-assoc2 union-iff union-single-eq-member)
    qed
  qed

```

```

lemma multp-image-lesseq-if-all-lesseq:
assumes
  asymp: asymp R and
  transp: transp R and
  all-lesseq:  $\forall x \in \#X. R == (f x) (g x)$ 
shows (multp R) == (image-mset f X) (image-mset g X)
using assms
by(induction X) (auto simp: multp-add-mset multp-add-mset')

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lemma multp-image-less-if-all-lesseq-ex-less:
assumes
  asymp: asymp R and
  transp: transp R and
  all-less-eq:  $\forall x \in \#X. R^{==} (f x) (g x)$  and
  ex-less:  $\exists x \in \#X. R (f x) (g x)$ 
shows multp R {# f x. x  $\in \# X$  #} {# g x. x  $\in \# X$  #}
using all-less-eq ex-less
proof(induction X)
  case empty
  then show ?case
    by simp
next
  case (add x X)

  show ?case
  proof(cases  $\exists x \in \#X. R (f x) (g x)$ )
    case True

    then have  $\forall x \in \#X. R^{==} (f x) (g x) \exists x \in \#X. R (f x) (g x)$ 
    using add.prem
    by auto

    then have multp R (image-mset f X) (image-mset g X)
    using add.IH
    by blast

    then show ?thesis
    using add.prem(1) multp-add-mset[OF asymp transp] multp-add-same[OF
    asymp transp]
    by auto
next
  case False

  then have R (f x) (g x)
  using add.prem(2) by fastforce

  moreover have  $\forall x \in \#X. f x = g x$ 
  using False add.prem(1) by auto

  ultimately show ?thesis
  by (metis image-mset-add-mset multiset.map-cong0 multp-add-mset')
qed
qed

lemma not-reflp-multpDM:  $\neg \text{reflp} (\text{multp}_{DM} R)$ 
unfolding multpDM-def reflp-def
by force

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lemma not-less-empty-multpDM:  $\neg \text{multp}_{DM} R X \{\#\}$ 
  by (simp add: multpDM-def)

lemma not-reflp-multpHO:  $\neg \text{reflp} (\text{multp}_{HO} R)$ 
  unfolding multpHO-def reflp-def
  by simp

lemma not-less-empty-multpHO:  $\neg \text{multp}_{HO} R X \{\#\}$ 
  by (simp add: multpHO-def)

lemma not-refl-mult:  $\neg \text{refl} (\text{mult } R)$ 
  unfolding refl-on-def mult-def
  by (meson UNIV-I not-less-empty trancl.cases)

lemma not-less-empty-mult:  $(X, \{\#\}) \notin \text{mult } R$ 
  by (metis mult-def not-less-empty tranclD2)

lemma empty-less-mult:  $X \neq \{\#\} \implies (\{\#\}, X) \in \text{mult } R$ 
  using subset-implies-mult
  by force

lemma not-reflp-multp:  $\neg \text{reflp} (\text{multp } R)$ 
  using not-refl-mult
  unfolding multp-def reflp-refl-eq
  by blast

lemma empty-less-multp:  $X \neq \{\#\} \implies \text{multp } R \{\#\} X$ 
  by (simp add: subset-implies-multp subset-mset.not-eq-extremum)

lemma not-less-empty-multp:  $\neg \text{multp } R X \{\#\}$ 
  using not-less-empty-mult
  unfolding multp-def
  by blast

end
theory Uprod-Extra
imports
  HOL-Library.Uprod
  Multiset-Extra
  Abstract-Substitution.Natural-Functor
begin

abbreviation upair where
  upair  $\equiv \lambda(x, y). \text{Upair } x y$ 

lemma Upair-sym:  $\text{Upair } x y = \text{Upair } y x$ 
  by (metis Upair-inject)

lemma upair-in-sym [simp]:

```

```

assumes sym I
shows Upair a b ∈ upair ‘ I  $\longleftrightarrow$  (a, b) ∈ I ∧ (b, a) ∈ I
using assms
by (auto dest: symD)

lemma ex-ordered-Upair:
assumes tot: totalp-on (set-uprod p) R
shows ∃ x y. p = Upair x y ∧ R== x y
proof –
obtain x y where p = Upair x y
by (metis uprod-exhaust)

show ?thesis
proof (cases R== x y)
case True
show ?thesis
proof (intro exI conjI)
show p = Upair x y
using `p = Upair x y` .
next
show R== x y
using True by simp
qed
next
case False
then show ?thesis
proof (intro exI conjI)
show p = Upair y x
using `p = Upair x y` by simp
next
from tot have R y x
using False
by (simp add: `p = Upair x y` totalp-on-def)
thus R== y x
by simp
qed
qed
qed

definition mset-uprod :: 'a uprod ⇒ 'a multiset where
mset-uprod = case-uprod (Abs-commute (λx y. {#x, y#}))

lemma Abs-commute-inverse-mset[simp]:
apply-commute (Abs-commute (λx y. {#x, y#})) = (λx y. {#x, y#})
by (simp add: Abs-commute-inverse)

lemma set-mset-mset-uprod[simp]: set-mset (mset-uprod up) = set-uprod up
by (simp add: mset-uprod-def case-uprod.rep-eq set-uprod.rep-eq case-prod-beta)

```

```

lemma mset-uprod-Upair[simp]: mset-uprod (Upair x y) = {#x, y#}
  by (simp add: mset-uprod-def)

lemma map-uprod-inverse: ( $\wedge x. f(g x) = x \implies (\wedge y. map-uprod f (map-uprod g y) = y)$ 
  by (simp add: uprod.map-comp uprod.map-ident-strong)

lemma mset-uprod-image-mset: mset-uprod (map-uprod f p) = image-mset f (mset-uprod p)
  proof-
    obtain x y where [simp]: p = Upair x y
      using uprod-exhaust by blast

    have mset-uprod (map-uprod f p) = {# f x, f y #}
      by simp

    then show mset-uprod (map-uprod f p) = image-mset f (mset-uprod p)
      by simp
  qed

lemma ball-set-uprod [simp]: ( $\forall t \in set-uprod (Upair t_1 t_2). P t \longleftrightarrow P t_1 \wedge P t_2$ )
  by auto

lemma inj-mset-uprod: inj mset-uprod
  proof(unfold inj-def, intro allI impI)
    fix a b :: 'a uprod
    assume mset-uprod a = mset-uprod b
    then show a = b
      by(cases a; cases b)(auto simp: add-mset-eq-add-mset)
  qed

lemma mset-uprod-plus-neq: mset-uprod a  $\neq$  mset-uprod b + mset-uprod b
  by(cases a; cases b)(auto simp: add-mset-eq-add-mset)

lemma set-uprod-not-empty: set-uprod a  $\neq \{\}$ 
  by(cases a) simp

lemma exists-uprod [intro]:  $\exists a. x \in set-uprod a$ 
  by (metis insertI1 set-uprod-simps)

global-interpreter uprod-functor: finite-natural-functor where map = map-uprod
and to-set = set-uprod
  by
    unfold-locales
    (auto simp: uprod.map-comp uprod.map-ident uprod.set-map intro: uprod.map-cong)

global-interpreter uprod-functor: natural-functor-conversion where
  map = map-uprod and to-set = set-uprod and map-to = map-uprod and map-from
  = map-uprod and

```

```

map' = map-uprod and to-set' = set-uprod
by unfold-locales (auto simp: uprod.set-map uprod.map-comp)

end

theory Ground-Clause
imports
  Saturation-Framework-Extensions.Clausal-Calculus
  Ground-Term-Extra
  Ground-Context
  Uprod-Extra
begin

type-synonym 'f gatom = 'f gterm uprod

end

theory Typing
imports Main
begin

locale predicate-typed =
  fixes typed :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool
  assumes right-unique: right-unique typed
begin

abbreviation is-typed where
  is-typed expr  $\equiv$   $\exists \tau.$  typed expr  $\tau$ 

lemmas right-uniqueD [dest] = right-uniqueD[OF right-unique]

end

definition uniform-typed-lifting where
  uniform-typed-lifting to-set sub-typed expr  $\equiv$   $\exists \tau.$   $\forall sub \in$  to-set expr. sub-typed
  sub  $\tau$ 

definition is-typed-lifting where
  is-typed-lifting to-set sub-is-typed expr  $\equiv$   $\forall sub \in$  to-set expr. sub-is-typed sub

locale typing =
  fixes is-typed is-welltyped
  assumes is-typed-if-is-welltyped:
     $\bigwedge$ expr. is-welltyped expr  $\implies$  is-typed expr

locale explicit-typing =
  typed: predicate-typed where typed = typed +
  welltyped: predicate-typed where typed = welltyped
  for typed welltyped :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool +
  assumes typed-if-welltyped:  $\bigwedge$ expr  $\tau.$  welltyped expr  $\tau \implies$  typed expr  $\tau$ 
begin

```

```

abbreviation is-typed where
  is-typed ≡ typed.is-typed

abbreviation is-welltyped where
  is-welltyped ≡ welltyped.is-typed

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
  using typed-if-welltyped
  by unfold-locales auto

lemma typed-welltyped-same-type:
  assumes typed expr  $\tau$  welltyped expr  $\tau'$ 
  shows  $\tau = \tau'$ 
  using assms typed-if-welltyped
  by blast

end

locale uniform-typing-lifting =
  sub: explicit-typing where typed = sub-typed and welltyped = sub-welltyped
  for sub-typed sub-welltyped :: 'sub ⇒ 'ty ⇒ bool +
  fixes to-set :: 'expr ⇒ 'sub set
begin

abbreviation is-typed where
  is-typed ≡ uniform-typed-lifting to-set sub-typed

lemmas is-typed-def = uniform-typed-lifting-def[of to-set sub-typed]

abbreviation is-welltyped where
  is-welltyped ≡ uniform-typed-lifting to-set sub-welltyped

lemmas is-welltyped-def = uniform-typed-lifting-def[of to-set sub-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
proof unfold-locales
  fix expr
  assume is-welltyped expr
  then show is-typed expr
    using sub.typed-if-welltyped
    unfolding is-typed-def is-welltyped-def
    by auto
qed

end

locale typing-lifting =
  sub: typing where is-typed = sub-is-typed and is-welltyped = sub-is-welltyped

```

```

for sub-is-typed sub-is-welltyped :: 'sub  $\Rightarrow$  bool +
fixes
  to-set :: 'expr  $\Rightarrow$  'sub set
begin

abbreviation is-typed where
  is-typed  $\equiv$  is-typed-lifting to-set sub-is-typed

lemmas is-typed-def = is-typed-lifting-def[of to-set sub-is-typed]

abbreviation is-welltyped where
  is-welltyped  $\equiv$  is-typed-lifting to-set sub-is-welltyped

lemmas is-welltyped-def = is-typed-lifting-def[of to-set sub-is-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
proof unfold-locales
  fix expr
  assume is-welltyped expr
  then show is-typed expr
    using sub.is-typed-if-is-welltyped
    unfolding is-typed-def is-welltyped-def
    by simp
  qed

end

end
theory Natural-Magma-Typing-Lifting
imports
  Abstract-Substitution.Natural-Magma
  Typing
begin

locale natural-magma-is-typed-lifting = natural-magma where to-set = to-set
  for to-set :: 'expr  $\Rightarrow$  'sub set +
  fixes sub-is-typed :: 'sub  $\Rightarrow$  bool
begin

abbreviation (input) is-typed where
  is-typed  $\equiv$  is-typed-lifting to-set sub-is-typed

lemma add [simp]:
  is-typed (add sub M)  $\longleftrightarrow$  sub-is-typed sub  $\wedge$  is-typed M
  using to-set-add
  unfolding is-typed-lifting-def
  by auto

lemma plus [simp]:

```

```

is-typed (plus M M')  $\longleftrightarrow$  is-typed M  $\wedge$  is-typed M'
unfolding is-typed-lifting-def
by auto

end

locale natural-magma-with-empty-is-typed-lifting =
  natural-magma-is-typed-lifting + natural-magma-with-empty
begin

lemma empty [intro]: is-typed empty
  by (simp add: is-typed-lifting-def)

end

locale natural-magma-typing-lifting = typing-lifting + natural-magma
begin

sublocale is-typed: natural-magma-is-typed-lifting where sub-is-typed = sub-is-typed
  by unfold-locales

sublocale is-welltyped: natural-magma-is-typed-lifting where sub-is-typed = sub-is-welltyped
  by unfold-locales

end

locale natural-magma-with-empty-typing-lifting =
  natural-magma-typing-lifting + natural-magma-with-empty
begin

sublocale is-typed: natural-magma-with-empty-is-typed-lifting where sub-is-typed
  = sub-is-typed
  by unfold-locales

sublocale is-welltyped: natural-magma-with-empty-is-typed-lifting where
  sub-is-typed = sub-is-welltyped
  by unfold-locales

end

end
theory Multiset-Typing-Lifting
imports
  Natural-Magma-Typing-Lifting
  Multiset-Extra
  Abstract-Substitution.Functional-Substitution-Lifting
begin

locale multiset-typing-lifting = typing-lifting where to-set = set-mset

```

```

begin

sublocale natural-magma-with-empty-typing-lifting where
  to-set = set-mset and plus = (+) and wrap =  $\lambda l. \{\#l\#\}$  and add = add-mset
  and empty = {#}
  by unfold-locales simp

end

end
theory Clausal-Calculus-Extra
imports
  Saturation-Framework-Extensions.Clausal-Calculus
  Uprod-Extra
begin

lemma literal-cases:  $[\mathcal{P} \in \{Pos, Neg\}; \mathcal{P} = Pos \Rightarrow P; \mathcal{P} = Neg \Rightarrow P] \Rightarrow P$ 
  by blast

lemma map-literal-inverse:
   $(\bigwedge x. f(gx) = x) \Rightarrow (\bigwedge l. map\text{-literal } f (map\text{-literal } g l) = l)$ 
  by (simp add: literal.map-comp literal.map-ident-strong)

lemma map-literal-comp:
   $map\text{-literal } f (map\text{-literal } g l) = map\text{-literal } (\lambda a. f(ga)) l$ 
  using literal.map-comp
  unfolding comp-def.

lemma literals-distinct [simp]:  $Pos \neq Neg$   $Neg \neq Pos$ 
  by (metis literal.distinct(1))+

primrec mset-lit :: "'a uprod literal  $\Rightarrow$  'a multiset" where
  mset-lit (Pos a) = mset-uprod a |
  mset-lit (Neg a) = mset-uprod a + mset-uprod a

lemma mset-lit-image-mset:  $mset\text{-lit } (map\text{-literal } (map\text{-uprod } f) l) = image\text{-mset } f (mset\text{-lit } l)$ 
  by(induction l) (simp-all add: mset-uprod-image-mset)

lemma uprod-mem-image-iff-prod-mem[simp]:
  assumes sym I
  shows  $(Upair t t') \in (\lambda(t_1, t_2). Upair t_1 t_2) ` I \longleftrightarrow (t, t') \in I$ 
  using ⟨sym I⟩[THEN symD] by auto

lemma true-lit-uprod-iff-true-lit-prod[simp]:
  assumes sym I
  shows
     $upair ` I \Vdash_l Pos (Upair t t') \longleftrightarrow I \Vdash_l Pos (t, t')$ 
     $upair ` I \Vdash_l Neg (Upair t t') \longleftrightarrow I \Vdash_l Neg (t, t')$ 

```

```

unfolding true-lit-simps uprod-mem-image-iff-prod-mem[OF <sym I>]
by simp-all

abbreviation Pos-Upair (infix  $\approx 66$ ) where
  Pos-Upair t t'  $\equiv$  Pos (Upair t t')

abbreviation Neg-Upair (infix  $\approx 66$ ) where
  Neg-Upair t t'  $\equiv$  Neg (Upair t t')

lemma exists-literal-for-atom [intro]:  $\exists l. a \in \text{set-literal } l$ 
by (meson literal.set-intros(1))

lemma exists-literal-for-term [intro]:  $\exists l. t \in \# \text{mset-lit } l$ 
by (metis exists-uprod mset-lit.simps(1) set-mset-mset-uprod)

lemma finite-set-literal [intro]: finite (set-literal l)
unfolding set-literal-atm-of
by simp

lemma map-literal-map-uprod-cong:
assumes  $\bigwedge t. t \in \# \text{mset-lit } l \implies f t = g t$ 
shows map-literal (map-uprod f) l = map-literal (map-uprod g) l
using assms
by(cases l)(auto cong: uprod.map-cong0)

lemma set-mset-set-uprod: set-mset (mset-lit l) = set-uprod (atm-of l)
by(cases l) simp-all

lemma mset-lit-set-literal:  $t \in \# \text{mset-lit } l \longleftrightarrow t \in \bigcup (\text{set-uprod} ` \text{set-literal } l)$ 
unfolding set-literal-atm-of
by(simp add: set-mset-set-uprod)

lemma inj-mset-lit: inj mset-lit
proof(unfold inj-def, intro allI impI)
  fix l l' :: 'a uprod literal
  assume mset-lit: mset-lit l = mset-lit l'

  show l = l'
  proof(cases l)
    case l: (Pos a)
    show ?thesis
    proof(cases l')
      case l': (Pos a')
        show ?thesis
        using mset-lit inj-mset-uprod
        unfolding l l' inj-def
        by auto
  next

```

```

case l': (Neg a')

show ?thesis
  using mset-lit mset-uprod-plus-neq
  unfolding l l'
  by auto
qed

next
case l: (Neg a)
then show ?thesis
proof(cases l')
case l': (Pos a')

show ?thesis
  using mset-lit mset-uprod-plus-neq
  unfolding l l'
  by (metis mset-lit.simps)
next
case l': (Neg a')

show ?thesis
  using mset-lit inj-mset-plus-same inj-mset-uprod
  unfolding l l' inj-def
  by auto
qed
qed
qed

global-interpretation literal-functor: finite-natural-functor where
  map = map-literal and to-set = set-literal
  by
    unfold-locales
  (auto simp: literal.map-comp literal.map-ident literal.set-map intro: literal.map-cong)

global-interpretation literal-functor: natural-functor-conversion where
  map = map-literal and to-set = set-literal and map-to = map-literal and
  map-from = map-literal and
  map' = map-literal and to-set' = set-literal
  by unfold-locales
  (auto simp: literal.set-map literal.map-comp)

abbreviation uprod-literal-to-set where uprod-literal-to-set l ≡ set-mset (mset-lit l)

abbreviation map-uprod-literal where map-uprod-literal f ≡ map-literal (map-uprod f)

global-interpretation uprod-literal-functor: finite-natural-functor where
  map = map-uprod-literal and to-set = uprod-literal-to-set

```

```

by unfold-locales (auto simp: mset-lit-image-mset intro: map-literal-map-uprod-cong)

global-interpretation uprod-literal-functor: natural-functor-conversion where
  map = map-uprod-literal and to-set = uprod-literal-to-set and map-to = map-uprod-literal
  and
    map-from = map-uprod-literal and map' = map-uprod-literal and to-set' = up-
    rod-literal-to-set
  by unfold-locales (auto simp: mset-lit-image-mset)

lemma exists-inference [intro]:  $\exists \iota. f \in \text{set-inference } \iota$ 
  by (metis inference.set-intros(2))

lemma finite-set-inference [intro]: finite (set-inference  $\iota$ )
  by (metis inference.exhaust_inference.set List.finite-set finite.simps finite-Un)

global-interpretation inference-functor: finite-natural-functor where
  map = map-inference and to-set = set-inference
  by
    unfold-locales
    (auto simp: inference.map-comp inference.map-ident inference.set-map intro:
    inference.map-cong)

global-interpretation inference-functor: natural-functor-conversion where
  map = map-inference and to-set = set-inference and map-to = map-inference
  and
    map-from = map-inference and map' = map-inference and to-set' = set-inference
  by unfold-locales
    (auto simp: inference.set-map inference.map-comp)

end

theory Clause-Typing
imports
  Multiset-Typing-Lifting

  Clausal-Calculus-Extra
  Multiset-Extra
  Uprod-Extra

begin

locale clause-typing =
  term: explicit-typing term-typed term-welltyped
  for term-typed term-welltyped
begin

sublocale atom: uniform-typing-lifting where
  sub-typed = term-typed and
  sub-welltyped = term-welltyped and
  to-set = set-uprod
  by unfold-locales

```

```

lemma atom-is-typed-iff [simp]:
  atom.is-typed (Upair t t')  $\longleftrightarrow$  ( $\exists \tau$ . term-typed t  $\tau$   $\wedge$  term-typed t'  $\tau$ )
  unfolding atom.is-typed-def
  by auto

lemma atom-is-welltyped-iff [simp]:
  atom.is-welltyped (Upair t t')  $\longleftrightarrow$  ( $\exists \tau$ . term-welltyped t  $\tau$   $\wedge$  term-welltyped t'  $\tau$ )
  unfolding atom.is-welltyped-def
  by auto

sublocale literal: typing-lifting where
  sub-is-typed = atom.is-typed and
  sub-is-welltyped = atom.is-welltyped and
  to-set = set-literal
  by unfold-locales

lemma literal-is-typed-iff [simp]:
  literal.is-typed (t  $\approx$  t')  $\longleftrightarrow$  atom.is-typed (Upair t t')
  literal.is-typed (t ! $\approx$  t')  $\longleftrightarrow$  atom.is-typed (Upair t t')
  unfolding literal.is-typed-def
  by (simp-all add: set-literal-atm-of)

lemma literal-is-welltyped-iff [simp]:
  literal.is-welltyped (t  $\approx$  t')  $\longleftrightarrow$  atom.is-welltyped (Upair t t')
  literal.is-welltyped (t ! $\approx$  t')  $\longleftrightarrow$  atom.is-welltyped (Upair t t')
  unfolding literal.is-welltyped-def
  by simp-all

lemma literal-is-typed-iff-atm-of: literal.is-typed l  $\longleftrightarrow$  atom.is-typed (atm-of l)
  unfolding literal.is-typed-def
  by (simp add: set-literal-atm-of)

lemma literal-is-welltyped-iff-atm-of:
  literal.is-welltyped l  $\longleftrightarrow$  atom.is-welltyped (atm-of l)
  unfolding literal.is-welltyped-def
  by (simp add: set-literal-atm-of)

sublocale clause: multiset-typing-lifting where
  sub-is-typed = literal.is-typed and
  sub-is-welltyped = literal.is-welltyped
  by unfold-locales

end

end
theory Context-Extra
  imports First-Order-Terms.Subterm-and-Context
begin

```

```

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Term-Typing
imports Typing Context-Extra
begin

type-synonym ('f, 'ty) fun-types = 'f  $\Rightarrow$  'ty list  $\times$  'ty

locale context-compatible-typing =
  fixes Fun typed
  assumes
    context-compatible [intro]:
     $\bigwedge t t' c \tau \tau'$ .
      typed  $t \tau' \implies$ 
      typed  $t' \tau' \implies$ 
      typed  $(\text{Fun}\langle c; t \rangle) \tau \implies$ 
      typed  $(\text{Fun}\langle c; t' \rangle) \tau$ 

locale subterm-typing =
  fixes Fun typed
  assumes
    subterm':  $\bigwedge f ts \tau . \text{typed} (Fun f ts) \tau \implies \forall t \in \text{set } ts. \exists \tau'. \text{typed} t \tau'$ 
begin

lemma subterm: typed  $(\text{Fun}\langle c; t \rangle) \tau \implies \exists \tau. \text{typed} t \tau$ 
proof(induction c arbitrary:  $\tau$ )
  case Hole
  then show ?case
    by auto
  next
  case (More f ss1 c ss2)
    then have typed  $(\text{Fun} f (ss1 @ \text{Fun}\langle c; t \rangle \# ss2)) \tau$ 
      by simp

    then have  $\exists \tau. \text{typed} (\text{Fun}\langle c; t \rangle) \tau$ 
      using subterm'
      by simp

    then obtain  $\tau'$  where typed  $(\text{Fun}\langle c; t \rangle) \tau'$ 
      by blast

    then show ?case
      using More.IH
      by simp
qed

```

```

end

locale term-typing =
  explicit-typing +
  typed: context-compatible-typing where typed = typed +
  welltyped: context-compatible-typing where typed = welltyped +
  welltyped: subterm-typing where typed = welltyped +
  assumes all-terms-are-typed:  $\bigwedge t. \text{is-typed } t$ 
begin

  sublocale typed: subterm-typing
    by unfold-locales (auto intro: all-terms-are-typed)

  end

end

theory Ground-Typing
imports
  Ground-Clause
  Clause-Typing
  Term-Typing
begin

  inductive typed for  $\mathcal{F}$  where
    GFun:  $\mathcal{F} f = (\tau s, \tau) \implies \text{typed } \mathcal{F} (\text{GFun } f ts) \tau$ 

  inductive welltyped for  $\mathcal{F}$  where
    GFun:  $\mathcal{F} f = (\tau s, \tau) \implies \text{list-all2 } (\text{welltyped } \mathcal{F}) ts \tau s \implies \text{welltyped } \mathcal{F} (\text{GFun } f ts) \tau$ 

  locale ground-term-typing =
    fixes  $\mathcal{F} :: ('f, 'ty) \text{ fun-types}$ 
  begin

    abbreviation typed where typed  $\equiv$  Ground-Typing.typed  $\mathcal{F}$ 
    abbreviation welltyped where welltyped  $\equiv$  Ground-Typing.welltyped  $\mathcal{F}$ 

    sublocale explicit-typing where typed = typed and welltyped = welltyped
    proof unfold-locales

      show right-unique typed
      proof (rule right-uniqueI)
        fix  $t \tau_1 \tau_2$ 

        assume typed  $t \tau_1$  and typed  $t \tau_2$ 

        thus  $\tau_1 = \tau_2$ 
          by (auto elim!: typed.cases)
    end
  end
end

```

```

qed
next

show right-unique welltyped
proof (rule right-uniqueI)
fix t τ₁ τ₂

assume welltyped t τ₁ and welltyped t τ₂

thus τ₁ = τ₂
  by (auto elim!: welltyped.cases)
qed
next
fix t τ

assume welltyped t τ

then show typed t τ
  by (metis typed.intros welltyped.cases)
qed

sublocale term-typing where typed = typed and welltyped = welltyped and Fun
= GFun
proof unfold-locales
fix t t' c τ τ'

assume
t-type: welltyped t τ' and
t'-type: welltyped t' τ' and
c-type: welltyped c⟨t⟩G τ

from c-type show welltyped c⟨t⟩G τ
proof (induction c arbitrary: τ)
  case Hole

    then show ?case
      using t-type t'-type
      by auto
  next
  case (More f ss1 c ss2)

    have welltyped (GFun f (ss1 @ c⟨t⟩G # ss2)) τ
      using More.preds
      by simp

    then have welltyped (GFun f (ss1 @ c⟨t⟩G # ss2)) τ
  proof (cases F GFun f (ss1 @ c⟨t⟩G # ss2) τ rule: welltyped.cases)
    case (GFun τs)

```

```

show ?thesis
proof (rule welltyped.GFun)
  show  $\mathcal{F} f = (\tau s, \tau)$ 
    using  $\langle \mathcal{F} f = (\tau s, \tau) \rangle$  .
next
  show list-all2 welltyped  $(ss1 @ c\langle t \rangle_G \# ss2) \tau s$ 
    using  $\langle list-all2 welltyped (ss1 @ c\langle t \rangle_G \# ss2) \tau s \rangle$ 
    using More.IH
    by (smt (verit, del-insts) list-all2-Cons1 list-all2-append1 list-all2-lengthD)
qed
qed

thus ?case
  by simp
qed
next
fix t t' c  $\tau \tau'$ 

assume typed t  $\tau'$  typed t'  $\tau'$  typed  $c\langle t \rangle_G \tau$ 

then show typed  $c\langle t' \rangle_G \tau$ 
  by(induction c arbitrary:  $\tau$ ) (auto simp: typed.simps)
next
fix f ts  $\tau$ 

assume welltyped (GFun f ts)  $\tau$ 

then show  $\forall t \in set ts. is-welltyped t$ 
  by (metis gterm.inject in-set-conv-nth list-all2-conv-all-nth welltyped.simps)
next
fix t

show is-typed t
  by (cases t) (meson surj-pair typed.intros)
qed

end

locale ground-typing = term: ground-term-typing
begin

sublocale clause-typing where term-typed = term.typed and term-welltyped =
term.welltyped
  by unfold-locales

end

end
theory Nonground-Term

```

```

imports
  Abstract-Substitution.Substitution-First-Order-Term
  Abstract-Substitution.Functional-Substitution-Lifting
  Ground-Term-Extra
begin

no-notation subst-compose (infixl  $\circ_s$  75)
notation subst-compose (infixl  $\odot$  75)

no-notiation subst-apply-term (infixl  $\cdot$  67)
notation subst-apply-term (infixl  $\cdot t$  67)

Prefer term-subst.subst-id-subst to subst-apply-term-empty.
declare subst-apply-term-empty[no-atp]

```

## 1 Nonground Terms and Substitutions

**type-synonym**  $'f \text{ ground-term} = 'f \text{ gterm}$

### 1.1 Unified naming

```

locale vars-def =
  fixes vars-def :: 'expr  $\Rightarrow$  'var
begin

abbreviation vars  $\equiv$  vars-def

end

locale grounding-def =
  fixes
    to-ground-def :: 'expr  $\Rightarrow$  'exprG and
    from-ground-def :: 'exprG  $\Rightarrow$  'expr
begin

abbreviation to-ground  $\equiv$  to-ground-def
abbreviation from-ground  $\equiv$  from-ground-def

end

```

### 1.2 Term

```

locale nonground-term-properties =
  base-functional-substitution +
  finite-variables +
  all-subst-ident-iff-ground

locale term-grounding =

```

*variables-in-base-imgu* where  $\text{base-}vars = vars$  and  $\text{base-}subst = subst + grounding$

```

locale nonground-term
begin

sublocale vars-def where vars-def = vars-term .

sublocale grounding-def where
  to-ground-def = gterm-of-term and from-ground-def = term-of-gterm .

lemma infinite-terms [intro]: infinite (UNIV :: ('f, 'v) term set)
proof-
  have infinite (UNIV :: ('f, 'v) term list set)
    using infinite-UNIV-listI.

  then have  $\bigwedge f :: 'f. \text{infinite} ((\text{Fun } f) ` (\text{UNIV} :: ('f, 'v) term list set))$ 
  by (meson finite-imageD injI term.inject(2))

  then show infinite (UNIV :: ('f, 'v) term set)
    using infinite-super top-greatest by blast
qed

sublocale nonground-term-properties where
  subst = ( $\cdot t$ ) and id-subst = Var and comp-subst = ( $\odot$ ) and
  vars = vars :: ('f, 'v) term  $\Rightarrow$  'v set
proof unfold-locales
  fix t :: ('f, 'v) term and  $\sigma, \tau :: ('f, 'v)$  subst
  assume  $\bigwedge x. x \in \text{vars } t \implies \sigma x = \tau x$ 
  then show  $t \cdot t \sigma = t \cdot t \tau$ 
    by(rule term-subst-eq)
next
  fix t :: ('f, 'v) term
  show finite (vars t)
    by simp
next
  fix t :: ('f, 'v) term
  show (vars t = {}) = ( $\forall \sigma. t \cdot t \sigma = t$ )
    using is-ground-trm-iff-ident-forall-subst.
next
  fix t :: ('f, 'v) term and ts :: ('f, 'v) term set

  assume finite ts vars t  $\neq \{\}$ 
  then show  $\exists \sigma. t \cdot t \sigma \neq t \wedge t \cdot t \sigma \notin ts$ 
  proof(induction t arbitrary: ts)
    case (Var x)

    obtain t' where t': t'  $\notin$  ts is-Fun t'

```

```

using Var.prem(1) finite-list by blast

define σ :: ('f, 'v) subst where ∀x. σ x = t'

have Var x ·t σ ≠ Var x
  using t'
  unfolding σ-def
  by auto

moreover have Var x ·t σ ∉ ts
  using t'
  unfolding σ-def
  by simp

ultimately show ?case
  using Var
  by blast
next
  case (Fun f args)
    obtain a where a: a ∈ set args and a-vars: vars a ≠ {}
      using Fun.prem
      by fastforce

    then obtain σ where
      σ: a ·t σ ≠ a and
      a-σ-not-in-args: a ·t σ ∉ ∪ (set ` term.args ` ts)
      by (metis Fun.IH Fun.prem(1) List.finite-set finite-UN finite-imageI)

    then have Fun f args ·t σ ≠ Fun f args
      by (metis a subsetI term.set-intros(4) term-subst.comp-subst.left.action-neutral
           vars-term-subset-subst-eq)

    moreover have Fun f args ·t σ ∉ ts
      using a a-σ-not-in-args
      by auto

    ultimately show ?case
      using Fun
      by blast
qed
next
  fix t :: ('f, 'v) term and ρ :: ('f, 'v) subst
    show vars (t ·t ρ) = ∪ (vars ` ρ ` vars t)
      using vars-term-subst.

next
  show ∃t. vars t = {}
    using vars-term-of-gterm

```

```

    by metis
next
fix x :: 'v
show vars (Var x) = {x}
by simp
next
fix σ σ' :: ('f, 'v) subst and x
show (σ ⊕ σ') x = σ x · t σ'
unfolding subst-compose-def ..
qed

sublocale renaming-variables where
vars = vars :: ('f, 'v) term ⇒ 'v set and subst = (·t) and id-subst = Var and
comp-subst = (⊕)
proof unfold-locales
fix ρ :: ('f, 'v) subst

show term-subst.is-renaming ρ ↔ inj ρ ∧ (∀ x. ∃ x'. ρ x = Var x')
using term-subst-is-renaming-iff
unfolding is-Var-def.

next
fix ρ :: ('f, 'v) subst and t
assume ρ: term-subst.is-renaming ρ
show vars (t · t ρ) = rename ρ ` vars t
proof(induction t)
case (Var x)
have ρ x = Var (rename ρ x)
using ρ
unfolding rename-def[OF ρ] term-subst-is-renaming-iff is-Var-def
by (meson someI-ex)

then show ?case
by auto
next
case (Fun f ts)
then show ?case
by auto
qed
qed

sublocale term-grounding where
subst = (·t) and id-subst = Var and comp-subst = (⊕) and
vars = vars :: ('f, 'v) term ⇒ 'v set and from-ground = from-ground and
to-ground = to-ground
proof unfold-locales
fix t :: ('f, 'v) term and μ :: ('f, 'v) subst and unifications

assume imgu:
term-subst.is-imgu μ unifications

```

$\forall unification \in unifications. \text{finite unification}$   
 $\text{finite unifications}$

```

show vars  $(t \cdot t \mu) \subseteq \text{vars } t \cup \bigcup (\text{vars} \cdot \bigcup unifications)$ 
  using range-vars-subset-if-is-imgu[OF imgu] vars-term-subst-apply-term-subset
  by fastforce
next
{
  fix  $t :: ('f, 'v) \text{ term}$ 
  assume  $t\text{-is-ground}: \text{is-ground } t$ 

  have  $\exists g. \text{from-ground } g = t$ 
  proof(intro exI)

    from  $t\text{-is-ground}$ 
    show  $\text{from-ground} (\text{to-ground } t) = t$ 
    by(induction t)(simp-all add: map-idI)

  qed
}

then show  $\{t :: ('f, 'v) \text{ term. is-ground } t\} = \text{range from-ground}$ 
  by fastforce
next
  fix  $t_G :: ('f) \text{ ground-term}$ 
  show  $\text{to-ground} (\text{from-ground } t_G) = t_G$ 
  by simp
qed

lemma term-context-ground-iff-term-is-ground [simp]:  $\text{Term-Context.ground } t =$   

 $\text{is-ground } t$ 
  by(induction t) simp-all

declare Term-Context.ground-vars-term-empty [simp del]

lemma obtain-ground-fun:
  assumes  $\text{is-ground } t$ 
  obtains  $f \text{ ts where } t = \text{Fun } f \text{ ts}$ 
  using assms
  by(cases t) auto

end

```

### 1.3 Setup for lifting from terms

```

locale lifting =
  based-functional-substitution-lifting +
  all-subst-ident-iff-ground-lifting +
  grounding-lifting +

```

*renaming-variables-lifting +  
variables-in-base-imgu-lifting*

```

locale term-based-lifting =
  term: nonground-term +
  lifting where
    comp-subst = ( $\odot$ ) and id-subst = Var and base-subst = ( $\cdot t$ ) and base-vars =
    term.vars

end
theory Nonground-Context
  imports
    Nonground-Term
    Ground-Context
  begin

```

## 2 Nonground Contexts and Substitutions

```

type-synonym ('f, 'v) context = ('f, 'v) ctxt

abbreviation subst-apply-ctxt :: ('f, 'v) context  $\Rightarrow$  ('f, 'v) subst  $\Rightarrow$  ('f, 'v) context (infixl  $\cdot t_c$  67) where
  subst-apply-ctxt  $\equiv$  subst-apply-actxt

global-interpretation context: finite-natural-functor where
  map = map-args-actxt and to-set = set2-actxt
proof unfold-locales
  fix t :: 't

  show  $\exists c. t \in \text{set2-actxt } c$ 
    by (metis actxt.set-intros(5) list.set-intros(1))
next
  fix c :: ('f, 't) actxt

  show finite (set2-actxt c)
    by(induction c) auto
qed (auto)
  simp: actxt.set-map(2) actxt.map-comp fun.map-ident actxt.map-ident-strong
  cong: actxt.map-cong)

global-interpretation context: natural-functor-conversion where
  map = map-args-actxt and to-set = set2-actxt and map-to = map-args-actxt
  and
  map-from = map-args-actxt and map' = map-args-actxt and to-set' = set2-actxt
  by unfold-locales
  (auto simp: actxt.set-map(2) actxt.map-comp cong: actxt.map-cong)

locale nonground-context =

```

```

term: nonground-term
begin

sublocale term-based-lifting where
  sub-subst = ( $\cdot t$ ) and sub-vars = term.vars and
  to-set = set2-actxt :: ('f, 'v) context  $\Rightarrow$  ('f, 'v) term set and map = map-args-actxt
  and
  sub-to-ground = term.to-ground and sub-from-ground = term.from-ground and
  to-ground-map = map-args-actxt and from-ground-map = map-args-actxt and
  ground-map = map-args-actxt and to-set-ground = set2-actxt
  rewrites
     $\lambda c \sigma. subst c \sigma = c \cdot t_c \sigma$  and
     $\lambda c. vars c = vars\_ctxt c$ 
  proof unfold-locales
    interpret term-based-lifting where
      sub-vars = term.vars and sub-subst = ( $\cdot t$ ) and map = map-args-actxt and
      to-set = set2-actxt and
      sub-to-ground = term.to-ground and sub-from-ground = term.from-ground and
      ground-map = map-args-actxt and to-ground-map = map-args-actxt and
      from-ground-map = map-args-actxt and to-set-ground = set2-actxt
      by unfold-locales

    fix c :: ('f, 'v) context
    show vars c = vars_ctxt c
    by(induction c) (auto simp: vars-def)

    fix  $\sigma$ 
    show subst c  $\sigma$  =  $c \cdot t_c \sigma$ 
    unfolding subst-def
    by blast
  qed

lemma ground ctxt iff context is ground [simp]: ground ctxt c  $\longleftrightarrow$  is-ground c
  by(induction c) simp-all

lemma term-to-ground ctxt to ground [simp]:
  shows term.to-ground  $c\langle t \rangle$  = (to-ground c)(term.to-ground t) $_G$ 
  unfolding to-ground-def
  by(induction c) simp-all

lemma term-from-ground ctxt from ground [simp]:
  shows term.from-ground  $c_G\langle t_G \rangle_G$  = (from-ground  $c_G$ )(term.from-ground  $t_G$ )
  unfolding from-ground-def
  by(induction  $c_G$ ) simp-all

lemma term-from-ground ctxt to ground:
  assumes is-ground c
  shows term.from-ground (to-ground c) $\langle t_G \rangle_G$  =  $c\langle term.from-ground t_G \rangle$ 
  unfolding to-ground-def

```

```

by (metis assms term-from-ground-context-from-ground to-ground-def to-ground-inverse)

lemmas safe-unfolds =
  eval ctxt
  term-to-ground-context-to-ground
  term-from-ground-context-from-ground

lemma composed-context-is-ground [simp]:
  is-ground (c oc c')  $\longleftrightarrow$  is-ground c ∧ is-ground c'
  by(induction c) auto

lemma ground-context-subst:
  assumes
    is-ground cG
    cG = (c · tc σ) oc c'
  shows
    cG = c oc c' · tc σ
  using assms
  by(induction c) simp-all

lemma from-ground-hole [simp]: from-ground cG = □  $\longleftrightarrow$  cG = □
  by(cases cG) (simp-all add: from-ground-def)

lemma hole-simps [simp]: from-ground □ = □ to-ground □ = □
  by (auto simp: to-ground-def)

lemma term-with-context-is-ground [simp]:
  term.is-ground c(t)  $\longleftrightarrow$  is-ground c ∧ term.is-ground t
  by simp

lemma map-args-actxt-compose [simp]:
  map-args-actxt f (c oc c') = map-args-actxt f c oc map-args-actxt f c'
  by(induction c) auto

lemma from-ground-compose [simp]: from-ground (c oc c') = from-ground c oc
from-ground c'
  unfolding from-ground-def
  by simp

lemma to-ground-compose [simp]: to-ground (c oc c') = to-ground c oc to-ground
c'
  unfolding to-ground-def
  by simp

end

locale nonground-term-with-context =
  term: nonground-term +

```

```

context: nonground-context

end
theory Multiset-Grounding-Lifting
imports
  HOL-Library.Multiset
  Abstract-Substitution.Functional-Substitution-Lifting
begin

locale multiset-grounding-lifting =
  functional-substitution-lifting where to-set = set-mset and map = image-mset
+
  grounding-lifting where
    to-set = set-mset and map = image-mset and to-ground-map = image-mset and
    from-ground-map = image-mset and ground-map = image-mset and to-set-ground
    = set-mset
begin

sublocale natural-magma-with-empty-grounding-lifting where
  plus = (+) and wrap =  $\lambda l. \{ \#l \# \}$  and plus-ground = (+) and wrap-ground =
 $\lambda l. \{ \#l \# \}$  and
  empty = {#} and empty-ground = {#} and to-set = set-mset and map =
image-mset and
  to-ground-map = image-mset and from-ground-map = image-mset and ground-map
= image-mset and
  to-set-ground = set-mset and add = add-mset and add-ground = add-mset
  by unfold-locales (simp-all add: to-ground-def from-ground-def)

sublocale natural-magma-functor-functional-substitution-lifting where
  plus = (+) and wrap =  $\lambda l. \{ \#l \# \}$  and to-set = set-mset and map = image-mset
and add = add-mset
  by unfold-locales simp-all

end

end
theory Nonground-Clause
imports
  Ground-Clause
  Nonground-Term
  Nonground-Context
  Clausal-Calculus-Extra
  Multiset-Extra
  Multiset-Grounding-Lifting
begin

```

### 3 Nonground Clauses and Substitutions

type-synonym ' $f$  ground-atom = ' $f$  gatom

```

type-synonym ('f, 'v) atom = ('f, 'v) term uprod

locale term-based-multiset-lifting =
  term-based-lifting where
    map = image-mset and to-set = set-mset and to-ground-map = image-mset and
    from-ground-map = image-mset and ground-map = image-mset and to-set-ground
    = set-mset
  begin

    sublocale multiset-grounding-lifting where
      id-subst = Var and comp-subst = (○)
      by unfold-locales

  end

locale nonground-clause = nonground-term-with-context
  begin

```

### 3.1 Nonground Atoms

```

    sublocale atom: term-based-lifting where
      sub-subst = (·t) and sub-vars = term.vars and map = map-uprod and to-set =
      set-uprod and
      sub-to-ground = term.to-ground and sub-from-ground = term.from-ground and
      to-ground-map = map-uprod and from-ground-map = map-uprod and ground-map
      = map-uprod and
      to-set-ground = set-uprod
      by unfold-locales

    notation atom.subst (infixl ·a 67)

    lemma vars-atom [simp]: atom.vars (Upair t1 t2) = term.vars t1 ∪ term.vars t2
      by (simp-all add: atom.vars-def)

    lemma subst-atom [simp]:
      Upair t1 t2 ·a σ = Upair (t1 ·t σ) (t2 ·t σ)
      unfolding atom.subst-def
      by simp-all

    lemma atom-from-ground-term-from-ground [simp]:
      atom.from-ground (Upair tG1 tG2) = Upair (term.from-ground tG1) (term.from-ground
      tG2)
      by (simp add: atom.from-ground-def)

    lemma atom-to-ground-term-to-ground [simp]:
      atom.to-ground (Upair t1 t2) = Upair (term.to-ground t1) (term.to-ground t2)
      by (simp add: atom.to-ground-def)

    lemma atom-is-ground-term-is-ground [simp]:

```

```
atom.is-ground (Upair t1 t2)  $\longleftrightarrow$  term.is-ground t1  $\wedge$  term.is-ground t2
by simp
```

```
lemma obtain-from-atom-subst:
assumes Upair t1' t2' = a · a σ
obtains t1 t2
where a = Upair t1 t2 t1' = t1 · t σ t2' = t2 · t σ
using assms
unfolding atom.subst-def
by(cases a) force
```

## 3.2 Nonground Literals

```
sublocale literal: term-based-lifting where
sub-subst = atom.subst and sub-vars = atom.vars and map = map-literal and
to-set = set-literal and sub-to-ground = atom.to-ground and
sub-from-ground = atom.from-ground and to-ground-map = map-literal and
from-ground-map = map-literal and ground-map = map-literal and to-set-ground
= set-literal
by unfold-locales
```

```
notation literal.subst (infixl · l 66)
```

```
lemma vars-literal [simp]:
literal.vars (Pos a) = atom.vars a
literal.vars (Neg a) = atom.vars a
literal.vars ((if b then Pos else Neg) a) = atom.vars a
by (simp-all add: literal.vars-def)
```

```
lemma subst-literal [simp]:
Pos a · l σ = Pos (a · a σ)
Neg a · l σ = Neg (a · a σ)
atm-of (l · l σ) = atm-of l · a σ
unfolding literal.subst-def
using literal.mapsel
by auto
```

```
lemma subst-literal-if [simp]:
(if b then Pos else Neg) a · l ρ = (if b then Pos else Neg) (a · a ρ)
by simp
```

```
lemma subst-polarity-stable:
shows
subst-neg-stable [simp]: is-neg (l · l σ)  $\longleftrightarrow$  is-neg l and
subst-pos-stable [simp]: is-pos (l · l σ)  $\longleftrightarrow$  is-pos l
by (simp-all add: literal.subst-def)
```

```
declare literal.discI [intro]
```

```

lemma literal-from-ground-atom-from-ground [simp]:
literal.from-ground (Neg aG) = Neg (atom.from-ground aG)
literal.from-ground (Pos aG) = Pos (atom.from-ground aG)
by (simp-all add: literal.from-ground-def)

lemma literal-from-ground-polarity-stable [simp]:
shows
neg-literal-from-ground-stable: is-neg (literal.from-ground lG)  $\longleftrightarrow$  is-neg lG and
pos-literal-from-ground-stable: is-pos (literal.from-ground lG)  $\longleftrightarrow$  is-pos lG
by (simp-all add: literal.from-ground-def)

lemma literal-to-ground-atom-to-ground [simp]:
literal.to-ground (Pos a) = Pos (atom.to-ground a)
literal.to-ground (Neg a) = Neg (atom.to-ground a)
by (simp-all add: literal.to-ground-def)

lemma literal-is-ground-atom-is-ground [intro]:
literal.is-ground l  $\longleftrightarrow$  atom.is-ground (atm-of l)
by (simp add: literal.vars-def set-literal-atm-of)

lemma obtain-from-pos-literal-subst:
assumes l · l σ = t1'  $\approx$  t2'
obtains t1 t2
where l = t1  $\approx$  t2 t1' = t1 · t σ t2' = t2 · t σ
using assms obtain-from-atom-subst subst-pos-stable
by (metis is-pos-def literal.sel(1) subst-literal(3))

lemma obtain-from-neg-literal-subst:
assumes l · l σ = t1' ! $\approx$  t2'
obtains t1 t2
where l = t1 ! $\approx$  t2 t1 · t σ = t1' t2 · t σ = t2'
using assms obtain-from-atom-subst subst-neg-stable
by (metis literal.collapse(2) literal.disc(2) literal.sel(2) subst-literal(3))

lemmas obtain-from-literal-subst = obtain-from-pos-literal-subst obtain-from-neg-literal-subst

```

### 3.3 Nonground Literals - Alternative

```

lemma uprod-literal [simp]:
fixes l
shows
functional-substitution-lifting.subst (·t) map-uprod-literal l σ = l · l σ
functional-substitution-lifting.vars term.vars uprod-literal-to-set l = literal.vars l
grounding-lifting.from-ground term.from-ground map-uprod-literal lG = literal.from-ground
lG
grounding-lifting.to-ground term.to-ground map-uprod-literal l = literal.to-ground
l
proof -
interpret term-based-lifting where

```

```

sub-vars = term.vars and sub-subst = ( $\cdot t$ ) and map = map-uprod-literal and
to-set = uprod-literal-to-set and sub-to-ground = term.to-ground and
sub-from-ground = term.from-ground and to-ground-map = map-uprod-literal
and
from-ground-map = map-uprod-literal and ground-map = map-uprod-literal and
to-set-ground = uprod-literal-to-set
by unfold-locales

fix l :: ('f, 'v) atom literal and  $\sigma$ 

show subst l  $\sigma$  = l  $\cdot$  l  $\sigma$ 
unfolding subst-def literal.subst-def atom.subst-def
by simp

show vars l = literal.vars l
unfolding atom.vars-def vars-def literal.vars-def
by(cases l) simp-all

fix  $l_G$ :: 'f ground-atom literal
show from-ground  $l_G$  = literal.from-ground  $l_G$ 
unfolding from-ground-def literal.from-ground-def atom.from-ground-def..

fix l :: ('f, 'v) atom literal
show to-ground l = literal.to-ground l
unfolding to-ground-def literal.to-ground-def atom.to-ground-def..
qed

lemma uprod-literal-subst-eq-literal-subst: map-uprod-literal ( $\lambda t. t \cdot t \sigma$ ) l = l  $\cdot$  l  $\sigma$ 
unfolding atom.subst-def literal.subst-def
by auto

lemma uprod-literal-vars-eq-literal-vars:  $\bigcup$  (term.vars ` uprod-literal-to-set l) =
literal.vars l
unfolding literal.vars-def atom.vars-def
by(cases l) simp-all

lemma uprod-literal-from-ground-eq-literal-from-ground:
map-uprod-literal term.from-ground  $l_G$  = literal.from-ground  $l_G$ 
unfolding literal.from-ground-def atom.from-ground-def ..

lemma uprod-literal-to-ground-eq-literal-to-ground:
map-uprod-literal term.to-ground l = literal.to-ground l
unfolding literal.to-ground-def atom.to-ground-def ..

sublocale uprod-literal: term-based-lifting where
sub-subst = ( $\cdot t$ ) and sub-vars = term.vars and map = map-uprod-literal and
to-set = uprod-literal-to-set and sub-to-ground = term.to-ground and
sub-from-ground = term.from-ground and to-ground-map = map-uprod-literal
and

```

```

from-ground-map = map-uprod-literal and ground-map = map-uprod-literal and
to-set-ground = uprod-literal-to-set
rewrites
   $\bigwedge l \sigma. \text{uprod-literal}.subst l \sigma = \text{literal}.subst l \sigma \text{ and}$ 
   $\bigwedge l. \text{uprod-literal}.vars l = \text{literal}.vars l \text{ and}$ 
   $\bigwedge l_G. \text{uprod-literal}.from-ground l_G = \text{literal}.from-ground l_G \text{ and}$ 
   $\bigwedge l. \text{uprod-literal}.to-ground l = \text{literal}.to-ground l$ 
  by unfold-locales simp-all

lemma mset-literal-from-ground:
  mset-lit (literal.from-ground l) = image-mset term.from-ground (mset-lit l)
  by (simp add: uprod-literal.from-ground-def mset-lit-image-mset)

```

### 3.4 Nonground Clauses

```

sublocale clause: term-based-multiset-lifting where
  sub-subst = literal.subst and sub-vars = literal.vars and sub-to-ground = literal.to-ground and
  sub-from-ground = literal.from-ground
  by unfold-locales

```

```
notation clause.subst (infixl · 67)
```

```

lemmas clause-submset-vars-clause-subset [intro] =
  clause.to-set-subset-vars-subset[OF set-mset-mono]

lemmas sub-ground-clause = clause.to-set-subset-is-ground[OF set-mset-mono]

lemma subst-clause-remove1-mset [simp]:
  assumes  $l \in \# C$ 
  shows remove1-mset  $l C \cdot \sigma = \text{remove1-mset} (l \cdot l \sigma) (C \cdot \sigma)$ 
  unfolding clause.subst-def image-mset-remove1-mset-if
  using assms
  by simp

lemma clause-from-ground-remove1-mset [simp]:
  clause.from-ground (remove1-mset  $l_G C_G$ ) =
    remove1-mset (literal.from-ground  $l_G$ ) (clause.from-ground  $C_G$ )
  unfolding clause.from-ground-def image-mset-remove1-mset[OF literal.inj-from-ground]..

lemmas clause-safe-unfolds =
  atom-to-ground-term-to-ground
  literal-to-ground-atom-to-ground
  atom-from-ground-term-from-ground
  literal-from-ground-atom-from-ground
  literal-from-ground-polarity-stable
  subst-atom
  subst-literal
  vars-atom

```

```

vars-literal

end

end
theory Selection-Function
  imports Ordered-Resolution-Prover.Clausal-Logic
begin

  locale selection-function =
    fixes select :: 'a clause  $\Rightarrow$  'a clause
    assumes
      select-subset:  $\bigwedge C. \text{select } C \subseteq \# C$  and
      select-negative-literals:  $\bigwedge C l. l \in \# \text{select } C \implies \text{is-neg } l$ 

  end
  theory Nonground-Selection-Function
    imports
      Nonground-Clause
      Selection-Function
  begin

    type-synonym 'f ground-select = 'f ground-atom clause  $\Rightarrow$  'f ground-atom clause
    type-synonym ('f, 'v) select = ('f, 'v) atom clause  $\Rightarrow$  ('f, 'v) atom clause

    context nonground-clause
    begin

      definition is-select-grounding :: ('f, 'v) select  $\Rightarrow$  'f ground-select  $\Rightarrow$  bool where
        is-select-grounding select selectG  $\equiv \forall C_G. \exists C \gamma.$ 
          clause.is-ground (C  $\cdot$   $\gamma$ )  $\wedge$ 
          CG = clause.to-ground (C  $\cdot$   $\gamma$ )  $\wedge$ 
          selectG CG = clause.to-ground ((select C)  $\cdot$   $\gamma$ )

    end

    locale nonground-selection-function =
      nonground-clause +
      selection-function select
      for select :: ('f, 'v) atom clause  $\Rightarrow$  ('f, 'v) atom clause
    begin

      abbreviation is-grounding :: 'f ground-select  $\Rightarrow$  bool where
        is-grounding selectG  $\equiv$  is-select-grounding select selectG

      definition selectGs where
        selectGs = { selectG. is-grounding selectG }

      definition selectG-simple where

```

```

selectG-simple  $C = \text{clause.to-ground} (\text{select} (\text{clause.from-ground} C))$ 

lemma selectG-simple: is-grounding selectG-simple
  unfolding is-select-grounding-def selectG-simple-def
  by (metis clause.from-ground-inverse clause.ground-is-ground clause.subst-id-subst)

lemma select-is-ground:
  assumes clause.is-ground  $C$ 
  shows clause.is-ground (select  $C$ )
  using select-subset sub-ground-clause assms
  by metis

lemma is-ground-in-selection:
  assumes  $l \in \# \text{select} (\text{clause.from-ground} C)$ 
  shows literal.is-ground  $l$ 
  using assms clause.sub-in-ground-is-ground select-subset
  by blast

lemma ground-literal-in-selection:
  assumes clause.is-ground  $C$   $l_G \in \# \text{clause.to-ground} C$ 
  shows literal.from-ground  $l_G \in \# C$ 
  using assms
  by (metis clause.to-ground-inverse clause.ground-sub-in-ground)

lemma select-ground-subst:
  assumes clause.is-ground ( $C \cdot \gamma$ )
  shows clause.is-ground (select  $C \cdot \gamma$ )
  using assms
  by (metis image-mset-subseteq-mono select-subset sub-ground-clause clause.subst-def)

lemma select-neg-subst:
  assumes  $l \in \# \text{select} C \cdot \gamma$ 
  shows is-neg  $l$ 
  using assms subst-neg-stable select-negative-literals
  unfolding clause.subst-def
  by blast

lemma select-vars-subset:  $\bigwedge C. \text{clause.vars} (\text{select} C) \subseteq \text{clause.vars} C$ 
  by (simp add: clause-submset-vars-clause-subset select-subset)

end

end
theory Infinite-Variables-Per-Type
  imports
    HOL-Library.Countable-Set
    HOL-Cardinals.Cardinals
    Fresh-Identifiers.Fresh
begin

```

```

lemma infinite-prods:
  fixes x :: 'a :: infinite
  shows infinite {p :: 'a × 'a. fst p = x}
proof -
  have {p :: 'a × 'a . fst p = x} = {x} × UNIV
    by auto

  then show ?thesis
    using finite-cartesian-productD2 infinite-UNIV
    by auto
qed

lemma surj-infinite-set: surj g ==> infinite {x. f x = ty} ==> infinite {x. f (g x) = ty}
  by (smt (verit) UNIV-I finite-imageI image-iff mem-Collect-eq rev-finite-subset subset-eq)

definition infinite-variables-per-type :: ('v ⇒ 'ty) ⇒ bool where
  infinite-variables-per-type V ≡ ∀ ty. infinite {x. V x = ty}

lemma obtain-type-preserving-inj:
  fixes V :: 'v ⇒ 'ty
  assumes
    finite-X: finite X and
    V: infinite-variables-per-type V
  obtains f :: 'v ⇒ 'v where
    inj f
    X ∩ f ` Y = {}
    ∀ x ∈ Y. V (f x) = V x
proof
  {
    fix ty

    have |{x. V x = ty}| =o |{x. V x = ty } - X|
      using V finite-X card-of-infinite-diff-finite ordIso-symmetric
      unfolding infinite-variables-per-type-def
      by blast

    then have |{x. V x = ty}| =o |{x. V x = ty ∧ x ∉ X}|
      using set-diff-eq[of - X]
      by auto

    then have ∃ g. bij-betw g {x. V x = ty} {x. V x = ty ∧ x ∉ X}
      using card-of-ordIso someI
      by blast
  }
  note exists-g = this

```

```

define get-g where
   $\lambda ty. \text{get-g } ty \equiv \text{SOME } g. \text{bij-betw } g \{x. \mathcal{V} x = ty\} \{x. \mathcal{V} x = ty \wedge x \notin X\}$ 

define f where
   $\lambda x. f x \equiv \text{get-g } (\mathcal{V} x) x$ 

  {
    fix y

    have  $\lambda g. \text{bij-betw } g \{x. \mathcal{V} x = \mathcal{V} y\} \{x. \mathcal{V} x = \mathcal{V} y \wedge x \notin X\} \implies g y \in \{x. \mathcal{V} x = \mathcal{V} y \wedge x \notin X\}$ 
    using exists-g bij-betwE
    by blast

    then have  $f y \in \{x. \mathcal{V} x = \mathcal{V} y \wedge x \notin X\}$ 
    using exists-g[of  $\mathcal{V} y$ ]
    unfolding f-def get-g-def
    by (smt (verit, ccfv-threshold) someI)
  }

then show  $X \cap f`Y = \{\} \quad \forall y \in Y. \mathcal{V}(f y) = \mathcal{V} y$ 
by auto

show inj f
proof (unfold inj-def, intro allI impI)
  fix x y
  assume  $f x = f y$ 

  then show  $x = y$ 
  using get-g-def f-def exists-g
  unfolding some-eq-ex[symmetric]
  by (smt (verit, ccfv-threshold) someI mem-Collect-eq bij-betw-iff-bijections)
  qed
qed

lemma obtain-type-preserving-injs:
  fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 
  assumes
    finite-X: finite X and
     $\mathcal{V}_2$ : infinite-variables-per-type  $\mathcal{V}_2$ 
  obtains ff' :: ' $v \Rightarrow 'v$  where
    inj f inj f'
     $f`X \cap f`Y = \{\}$ 
     $\forall x \in X. \mathcal{V}_1(f x) = \mathcal{V}_1 x$ 
     $\forall x \in Y. \mathcal{V}_2(f' x) = \mathcal{V}_2 x$ 
proof-

  obtain f' where f':

```

```

inj f'
X ∩ f' ` Y = {}
∀ x ∈ Y. V2 (f' x) = V2 x
using obtain-type-preserving-inj[OF assms] .

show ?thesis
by (rule that[of id f]) (auto simp: f')
qed

lemma obtain-type-preserving-injs':
fixes V1 V2 :: 'v ⇒ 'ty
assumes
finite-Y: finite Y and
V1: infinite-variables-per-type V1
obtains ff' :: 'v ⇒ 'v where
inj f inj f'
f ` X ∩ f' ` Y = {}
∀ x ∈ X. V1 (f x) = V1 x
∀ x ∈ Y. V2 (f' x) = V2 x
using obtain-type-preserving-injs[OF assms]
by (metis inf-commute)

lemma exists-infinite-variables-per-type:
assumes |UNIV :: 'ty set| ≤o |UNIV :: ('v :: infinite) set|
shows ∃ V :: 'v ⇒ 'ty. infinite-variables-per-type V
proof –
obtain g :: 'v ⇒ 'v × 'v where bij-g: bij g
using Times-same-infinite-bij-betw-types bij-betw-inv infinite-UNIV
by blast

define f :: 'v ⇒ 'v where
λx. f x ≡ fst (g x)

{
fix y

have {x. fst (g x) = y} = inv g ` {p. fst p = y}
by (smt (verit, ccfv-SIG) Collect-cong bij-g bij-image Collect-eq bij-imp-bij-inv
inv-inv-eq)

then have infinite {x. f x = y}
unfolding f-def
using infinite-prods
by (metis bij-g bij-is-surj finite-imageI image-f-inv-f)
}

moreover obtain f' :: 'v ⇒ 'ty where surj f'
using assms
by (metis card-of-ordLeq2 empty-not-UNIV)

```

```

ultimately have  $\bigwedge y. \text{infinite } \{x. f' (f x) = y\}$ 
  by (smt (verit, ccfv-SIG) Collect-mono finite-subset surjD)

then show ?thesis
  unfolding infinite-variables-per-type-def
  by meson
qed

lemma obtain-infinite-variables-per-type:
assumes |UNIV :: 'ty set|  $\leq_o$  |UNIV :: 'v set|
obtains V :: 'v :: infinite  $\Rightarrow$  'ty where infinite-variables-per-type V
using exists-infinite-variables-per-type[OF assms]
by blast

end
theory Collect-Extra
imports Main
begin

lemma Collect-if-eq: {x. if b x then P x else Q x} = {x. b x  $\wedge$  P x}  $\cup$  {x.  $\neg$ b x  $\wedge$  Q x}
  by auto

lemma Collect-not-mem-conj-eq: {x. x  $\notin$  X  $\wedge$  P x} = {x. P x} - X
  by auto

end
theory Typed-Functional-Substitution
imports
  Typing
  Abstract-Substitution.Functional-Substitution
  Infinite-Variables-Per-Type
  Collect-Extra
begin

type-synonym ('var, 'ty) var-types = 'var  $\Rightarrow$  'ty

locale explicitly-typed-functional-substitution =
  base-functional-substitution where vars = vars and id-subst = id-subst
for
  id-subst :: 'var  $\Rightarrow$  'base and
  vars :: 'base  $\Rightarrow$  'var set and
  typed :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool +
assumes
  predicate-typed:  $\bigwedge \mathcal{V}. \text{predicate-typed } (\text{typed } \mathcal{V}) \text{ and}$ 
  typed-id-subst [intro]:  $\bigwedge \mathcal{V} x. \text{typed } \mathcal{V} (\text{id-subst } x) (\mathcal{V} x)$ 
begin

```

```

sublocale predicate-typed typed  $\mathcal{V}$ 
  using predicate-typed .

abbreviation is-typed-on :: 'var set  $\Rightarrow$  ('var, 'ty) var-types  $\Rightarrow$  ('var  $\Rightarrow$  'base)  $\Rightarrow$ 
  bool where
   $\bigwedge \mathcal{V}. \text{is-typed-on } X \mathcal{V} \sigma \equiv \forall x \in X. \text{typed } \mathcal{V} (\sigma x) (\mathcal{V} x)$ 

lemma subst-update:
  assumes typed  $\mathcal{V}$  (id-subst var)  $\tau$  typed  $\mathcal{V}$  update  $\tau$  is-typed-on  $X \mathcal{V} \gamma$ 
  shows is-typed-on  $X \mathcal{V} (\gamma(\text{var} := \text{update}))$ 
  using assms typed-id-subst
  by fastforce

lemma is-typed-on-subset:
  assumes is-typed-on  $Y \mathcal{V} \sigma X \subseteq Y$ 
  shows is-typed-on  $X \mathcal{V} \sigma$ 
  using assms
  by blast

lemma is-typed-id-subst [intro]: is-typed-on  $X \mathcal{V}$  id-subst
  using typed-id-subst
  by auto

end

locale inhabited-explicitly-typed-functional-substitution =
  explicitly-typed-functional-substitution +
  assumes types-inhabited:  $\bigwedge \tau. \exists b. \text{is-ground } b \wedge \text{typed } \mathcal{V} b \tau$ 

locale typed-functional-substitution =
  base: explicitly-typed-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed +
  based-functional-substitution where vars = vars
for
  vars :: 'expr  $\Rightarrow$  'var set and
  is-typed :: ('var, 'ty) var-types  $\Rightarrow$  'expr  $\Rightarrow$  bool and
  base-typed :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool
begin

abbreviation is-typed-ground-instance where
  is-typed-ground-instance expr  $\mathcal{V} \gamma \equiv$ 
  is-ground (expr  $\cdot$   $\gamma$ )  $\wedge$ 
  is-typed  $\mathcal{V}$  expr  $\wedge$ 
  base.is-typed-on (vars expr)  $\mathcal{V} \gamma \wedge$ 
  infinite-variables-per-type  $\mathcal{V}$ 

end

```

```

sublocale explicitly-typed-functional-substitution  $\subseteq$  typed-functional-substitution where
  base-subst = subst and base-vars = vars and is-typed = is-typed and
  base-typed = typed
  by unfold-locales

locale typed-grounding-functional-substitution =
  typed-functional-substitution + grounding
begin

  definition typed-ground-instances where
    typed-ground-instances typed-expr =
    { to-ground (fst typed-expr ·  $\gamma$ ) |  $\gamma$ .
      is-typed-ground-instance (fst typed-expr) (snd typed-expr)  $\gamma$  }

  lemma typed-ground-instances-ground-instances':
    typed-ground-instances (expr,  $\mathcal{V}$ )  $\subseteq$  ground-instances' expr
    unfolding typed-ground-instances-def ground-instances'-def
    by auto

end

locale explicitly-typed-grounding-functional-substitution =
  explicitly-typed-functional-substitution + grounding
begin

  sublocale typed-grounding-functional-substitution where
    base-subst = subst and base-vars = vars and is-typed = is-typed and
    base-typed = typed
    by unfold-locales

end

locale inhabited-typed-functional-substitution =
  typed-functional-substitution +
  base: inhabited-explicitly-typed-functional-substitution where
  subst = base-subst and vars = base-vars and typed = base-typed
begin

  lemma ground-subst-extension:
    assumes
      grounding: is-ground (expr ·  $\gamma$ ) and
       $\gamma$ -is-typed-on: base.is-typed-on (vars expr)  $\mathcal{V}$   $\gamma$ 
    obtains  $\gamma'$ 
    where
      base.is-ground-subst  $\gamma'$ 
      base.is-typed-on UNIV  $\mathcal{V}$   $\gamma'$ 
       $\forall x \in \text{vars expr}. \gamma x = \gamma' x$ 
    proof (rule that)

```

```

define  $\gamma'$  where
   $\bigwedge x. \gamma' x \equiv$ 
    if  $x \in \text{vars expr}$ 
    then  $\gamma x$ 
    else SOME  $\text{base.}$   $\text{base.is-ground base} \wedge \text{base-typed } \mathcal{V} \text{ base } (\mathcal{V} x)$ 

show  $\text{base.is-ground-subst } \gamma'$ 
proof(unfold  $\text{base.is-ground-subst-def}$ , intro  $\text{allI}$ )
  fix  $b$ 

  {
    fix  $x$ 

    have  $\text{base.is-ground } (\gamma' x)$ 
    proof(cases  $x \in \text{vars expr}$ )
      case True
        then show  $?thesis$ 
          unfolding  $\gamma'\text{-def}$ 
          using variable-grounding[OF grounding]
          by auto
      next
        case False
        then show  $?thesis$ 
          unfolding  $\gamma'\text{-def}$ 
          by (smt (verit)  $\text{base.types-inhabited tfl-some}$ )
      qed
    }

    then show  $\text{base.is-ground } (\text{base-subst } b \gamma')$ 
    using base.is-grounding-iff-vars-grounded
    by auto
  qed

show  $\text{base.is-typed-on UNIV } \mathcal{V} \gamma'$ 
  unfolding  $\gamma'\text{-def}$ 
  using  $\gamma\text{-is-typed-on base.types-inhabited}$ 
  by (simp add: verit-sko-ex-indirect)

show  $\forall x \in \text{vars expr}. \gamma x = \gamma' x$ 
  by (simp add:  $\gamma'\text{-def}$ )
qed

lemma grounding-extension:
assumes
  grounding: is-ground (expr ·  $\gamma$ ) and
   $\gamma$ -is-typed-on: base.is-typed-on (vars expr)  $\mathcal{V} \gamma$ 
obtains  $\gamma'$ 
where
  is-ground (expr' ·  $\gamma'$ )

```

```

base.is-typed-on (vars expr') V γ'
  ∀ x ∈ vars expr. γ x = γ' x
using ground-subst-extension[OF grounding γ-is-typed-on]
unfolding base.is-ground-subst-def is-grounding-iff-vars-grounded
by (metis UNIV-I base.comp-subst-iff base.left-neutral)

end

sublocale explicitly-typed-functional-substitution ⊆ typed-functional-substitution where
  base-subst = subst and base-vars = vars and is-typed = is-typed and
  base-typed = typed
  by unfold-locales

locale typed-subst-stability = typed-functional-substitution +
assumes
  subst-stability [simp]:
    ⋀ V expr σ. base.is-typed-on (vars expr) V σ ==> is-typed V (expr · σ) ↔
  is-typed V expr
begin

lemma subst-stability-UNIV [simp]:
  ⋀ V expr σ. base.is-typed-on UNIV V σ ==> is-typed V (expr · σ) ↔ is-typed V
  expr
  by simp

end

locale explicitly-typed-subst-stability = explicitly-typed-functional-substitution +
assumes
  explicit-subst-stability [simp]:
    ⋀ V expr σ τ. is-typed-on (vars expr) V σ ==> typed V (expr · σ) τ ↔ typed
  V expr τ
begin

lemma explicit-subst-stability-UNIV [simp]:
  ⋀ V expr σ. is-typed-on UNIV V σ ==> typed V (expr · σ) τ ↔ typed V expr τ
  by simp

sublocale typed-subst-stability where
  base-vars = vars and base-subst = subst and base-typed = typed and is-typed =
  is-typed
  using explicit-subst-stability
  by unfold-locales blast

lemma typed-subst-compose [intro]:
assumes
  is-typed-on X V σ
  is-typed-on (⋃(vars ‘σ ‘X)) V σ'
shows is-typed-on X V (σ ⊕ σ')

```

```

using assms
unfolding comp-subst-iff
by auto

lemma typed-subst-compose-UNIV [intro]:
assumes
  is-typed-on UNIV  $\mathcal{V}$   $\sigma$ 
  is-typed-on UNIV  $\mathcal{V}$   $\sigma'$ 
shows is-typed-on UNIV  $\mathcal{V}$  ( $\sigma \odot \sigma'$ )
using assms
unfolding comp-subst-iff
by auto

end

locale replaceable- $\mathcal{V}$  = typed-functional-substitution +
assumes replace- $\mathcal{V}$ :
   $\bigwedge \text{expr } \mathcal{V} \mathcal{V}' \tau. \forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{is-typed } \mathcal{V} \text{ expr} \implies \text{is-typed } \mathcal{V}' \text{ expr}$ 
begin

lemma replace- $\mathcal{V}$ -iff:
assumes  $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x$ 
shows is-typed  $\mathcal{V}$  expr  $\longleftrightarrow$  is-typed  $\mathcal{V}'$  expr
using assms
by (metis replace- $\mathcal{V}$ )

lemma is-ground-typed:
assumes is-ground expr
shows is-typed  $\mathcal{V}$  expr  $\longleftrightarrow$  is-typed  $\mathcal{V}'$  expr
using replace- $\mathcal{V}$ -iff assms
by blast

end

locale explicitly-replaceable- $\mathcal{V}$  = explicitly-typed-functional-substitution +
assumes explicit-replace- $\mathcal{V}$ :
   $\bigwedge \text{expr } \mathcal{V} \mathcal{V}' \tau. \forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{typed } \mathcal{V} \text{ expr } \tau \implies \text{typed } \mathcal{V}' \text{ expr } \tau$ 
begin

lemma explicit-replace- $\mathcal{V}$ -iff:
assumes  $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x$ 
shows typed  $\mathcal{V}$  expr  $\tau \longleftrightarrow$  typed  $\mathcal{V}'$  expr  $\tau$ 
using assms
by (metis explicit-replace- $\mathcal{V}$ )

lemma explicit-is-ground-typed:
assumes is-ground expr

```

```

shows typed  $\mathcal{V}$  expr  $\tau \longleftrightarrow$  typed  $\mathcal{V}'$  expr  $\tau$ 
using explicit-replace- $\mathcal{V}$ -iff assms
by blast

sublocale replaceable- $\mathcal{V}$  where
  base-vars = vars and base-subst = subst and base-typed = typed and is-typed =
  is-typed
  using explicit-replace- $\mathcal{V}$ 
  by unfold-locales blast

end

locale typed-renaming = typed-functional-substitution + renaming-variables +
assumes
  typed-renaming [simp]:
   $\bigwedge \mathcal{V} \mathcal{V}' \text{expr } \varrho. \text{base.is-renaming } \varrho \implies$ 
   $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$ 
  is-typed  $\mathcal{V}' (\text{expr} \cdot \varrho) \longleftrightarrow$  is-typed  $\mathcal{V}$  expr

locale explicitly-typed-renaming =
  explicitly-typed-functional-substitution where typed = typed +
  renaming-variables +
  explicitly-replaceable- $\mathcal{V}$  where typed = typed
for typed :: ('var  $\Rightarrow$  'ty)  $\Rightarrow$  'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool +
assumes
  explicit-typed-renaming [simp]:
   $\bigwedge \mathcal{V} \mathcal{V}' \text{expr } \varrho \tau. \text{is-renaming } \varrho \implies$ 
   $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$ 
  typed  $\mathcal{V}' (\text{expr} \cdot \varrho) \tau \longleftrightarrow$  typed  $\mathcal{V}$  expr  $\tau$ 

begin

sublocale typed-renaming
  where base-vars = vars and base-subst = subst and base-typed = typed and
  is-typed = is-typed
  using explicit-typed-renaming
  by unfold-locales blast

lemma renaming-ground-subst:
assumes
  is-renaming  $\varrho$ 
  is-typed-on ( $\bigcup (\text{vars } ' \varrho ' X)$ )  $\mathcal{V}' \gamma$ 
  is-typed-on  $X \mathcal{V} \varrho$ 
  is-ground-subst  $\gamma$ 
   $\forall x \in X. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$ 
shows is-typed-on  $X \mathcal{V} (\varrho \odot \gamma)$ 
proof(intro ballI)
  fix  $x$ 
  assume x-in-X:  $x \in X$ 

```

```

then have typed  $\mathcal{V}(\varrho x) (\mathcal{V} x)$   

by (simp add: assms(3))

define  $y$  where  $y \equiv (\text{rename } \varrho x)$ 

have  $y \in \bigcup(\text{vars} ` \varrho ` X)$   

using  $x\text{-in-}X$   

unfolding  $y\text{-def}$   

by (metis UN-iff assms(1) id-subst-rename image-eqI singletonI vars-id-subst)

moreover then have typed  $\mathcal{V}(\gamma y) (\mathcal{V}' y)$   

using explicit-replace- $\mathcal{V}$   

by (metis assms(2,4) left-neutral emptyE is-ground-subst-is-ground comp-subst-iff)

ultimately have typed  $\mathcal{V}(\gamma y) (\mathcal{V} x)$   

unfolding  $y\text{-def}$   

using assms(5)  $x\text{-in-}X$   

by fastforce

moreover have  $\gamma y = (\varrho \odot \gamma) x$   

unfolding  $y\text{-def}$   

by (metis assms(1) comp-subst-iff id-subst-rename left-neutral)

ultimately show typed  $\mathcal{V}((\varrho \odot \gamma) x) (\mathcal{V} x)$   

by argo
qed

lemma inj-id-subst: inj id-subst
using is-renaming-id-subst is-renaming-iff
by blast

lemma obtain-typed-renaming:
fixes  $\mathcal{V} :: 'var \Rightarrow 'ty$ 
assumes
  finite  $X$ 
  infinite-variables-per-type  $\mathcal{V}$ 
obtains  $\varrho :: 'var \Rightarrow 'expr$  where
  is-renaming  $\varrho$ 
  id-subst `  $X \cap \varrho ` Y = \{\}$ 
  is-typed-on  $Y \mathcal{V} \varrho$ 
proof-

obtain renaming ::  $'var \Rightarrow 'var$  where
  inj: inj renaming and
  rename-apart:  $X \cap \text{renaming} ` Y = \{\}$  and
  preserve-type:  $\forall x \in Y. \mathcal{V}(\text{renaming } x) = \mathcal{V} x$ 
using obtain-type-preserving-inj[OF assms].

define  $\varrho :: 'var \Rightarrow 'expr$  where

```

```

 $\bigwedge x. \varrho x \equiv id\text{-}subst (renaming x)$ 

show ?thesis
proof (rule that)

show is-renaming  $\varrho$ 
  using inj inj-id-subst
  unfolding  $\varrho\text{-def}$  is-renaming-iff inj-def
  by blast
next

show id-subst ' $X \cap \varrho$ '  $Y = \{\}$ 
  using rename-apart inj-id-subst
  unfolding  $\varrho\text{-def}$  inj-def
  by blast
next

show is-typed-on  $Y \mathcal{V} \varrho$ 
  using preserve-type
  unfolding  $\varrho\text{-def}$ 
  by (metis typed-id-subst)
qed
qed

lemma obtain-typed-renamings:
fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'var \Rightarrow 'ty$ 
assumes
  finite  $X$ 
  infinite-variables-per-type  $\mathcal{V}_2$ 
obtains  $\varrho_1 \varrho_2 :: 'var \Rightarrow 'expr$  where
  is-renaming  $\varrho_1$ 
  is-renaming  $\varrho_2$ 
   $\varrho_1 'X \cap \varrho_2 'Y = \{\}$ 
  is-typed-on  $X \mathcal{V}_1 \varrho_1$ 
  is-typed-on  $Y \mathcal{V}_2 \varrho_2$ 
using obtain-typed-renaming[OF assms] is-renaming-id-subst typed-id-subst
by metis

lemma obtain-typed-renamings':
fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'var \Rightarrow 'ty$ 
assumes
  finite  $Y$ 
  infinite-variables-per-type  $\mathcal{V}_1$ 
obtains  $\varrho_1 \varrho_2 :: 'var \Rightarrow 'expr$  where
  is-renaming  $\varrho_1$ 
  is-renaming  $\varrho_2$ 
   $\varrho_1 'X \cap \varrho_2 'Y = \{\}$ 
  is-typed-on  $X \mathcal{V}_1 \varrho_1$ 
  is-typed-on  $Y \mathcal{V}_2 \varrho_2$ 

```

```

using obtain-typed-renamings[OF assms]
by (metis inf-commute)

lemma renaming-subst-compose:
assumes
  is-renaming  $\varrho$ 
  is-typed-on  $X \mathcal{V}$  ( $\varrho \odot \sigma$ )
  is-typed-on  $X \mathcal{V} \varrho$ 
shows is-typed-on ( $\bigcup (\text{vars} ` \varrho ` X)$ )  $\mathcal{V} \sigma$ 
using assms
unfolding is-renaming-iff
by (smt (verit) UN-E comp-subst-iff image-iff is-typed-id-subst left-neutral right-uniqueD
      singletonD vars-id-subst)

end

lemma (in renaming-variables) obtain-merged- $\mathcal{V}$ :
assumes
   $\varrho_1$ : is-renaming  $\varrho_1$  and
   $\varrho_2$ : is-renaming  $\varrho_2$  and
  rename-apart:  $\text{vars}(\text{expr} \cdot \varrho_1) \cap \text{vars}(\text{expr}' \cdot \varrho_2) = \{\}$  and
   $\mathcal{V}_2$ : infinite-variables-per-type  $\mathcal{V}_2$  and
  finite-vars: finite (vars expr)
obtains  $\mathcal{V}_3$  where
   $\forall x \in \text{vars expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$ 
   $\forall x \in \text{vars expr}'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$ 
  infinite-variables-per-type  $\mathcal{V}_3$ 
proof (rule that)

define  $\mathcal{V}_3$  where
   $\bigwedge x. \mathcal{V}_3 x \equiv$ 
    if  $x \in \text{vars}(\text{expr} \cdot \varrho_1)$ 
    then  $\mathcal{V}_1 (\text{inv } \varrho_1 (\text{id-subst } x))$ 
    else  $\mathcal{V}_2 (\text{inv } \varrho_2 (\text{id-subst } x))$ 

show  $\forall x \in \text{vars expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$ 
proof (intro ballI)
  fix  $x$ 
  assume  $x \in \text{vars expr}$ 

  then have  $\text{rename } \varrho_1 x \in \text{vars}(\text{expr} \cdot \varrho_1)$ 
  using rename-variables[OF  $\varrho_1$ ]
  by blast

  then show  $\mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$ 
  unfolding  $\mathcal{V}_3\text{-def}$ 
  by (simp add:  $\varrho_1$  inv-renaming)
qed

```

```

show  $\forall x \in vars\ expr'. \mathcal{V}_2\ x = \mathcal{V}_3\ (rename\ \varrho_2\ x)$ 
proof (intro ballI)
  fix  $x$ 
  assume  $x \in vars\ expr'$ 

  then have  $rename\ \varrho_2\ x \in vars\ (expr' \cdot \varrho_2)$ 
    using rename-variables[OF  $\varrho_2$ ]
    by blast

  then show  $\mathcal{V}_2\ x = \mathcal{V}_3\ (rename\ \varrho_2\ x)$ 
    unfolding  $\mathcal{V}_3\text{-def}$ 
    using  $\varrho_2\ inv\text{-renaming}\ rename\text{-apart}$ 
    by (metis (mono-tags, lifting) disjoint-iff id-subst-rename)
  qed

  have finite  $\{x. x \in vars\ (expr \cdot \varrho_1)\}$ 
    using finite-vars
    by (simp add:  $\varrho_1\ rename\text{-variables}$ )

  moreover {
    fix  $\tau$ 

    have infinite  $\{x. \mathcal{V}_2\ (inv\ \varrho_2\ (id\text{-subst}\ x)) = \tau\}$ 
    proof (rule surj-infinite-set[OF surj-inv-renaming, OF  $\varrho_2$ ])

      show infinite  $\{x. \mathcal{V}_2\ x = \tau\}$ 
        using  $\mathcal{V}_2$ 
        unfolding infinite-variables-per-type-def
        by blast
      qed
    }

  ultimately show infinite-variables-per-type  $\mathcal{V}_3$ 
  unfolding infinite-variables-per-type-def  $\mathcal{V}_3\text{-def}$  if-distrib if-distribR Collect-if-eq
    Collect-not-mem-conj-eq
    by auto
  qed

lemma (in renaming-variables) obtain-merged- $\mathcal{V}'$ :
assumes
   $\varrho_1: is\text{-renaming}\ \varrho_1$  and
   $\varrho_2: is\text{-renaming}\ \varrho_2$  and
   $rename\text{-apart}: vars\ (expr \cdot \varrho_1) \cap vars\ (expr' \cdot \varrho_2) = \{\}$  and
   $\mathcal{V}_1: infinite\text{-variables-per-type}\ \mathcal{V}_1$  and
   $finite\text{-vars}: finite\ (vars\ expr')$ 
obtains  $\mathcal{V}_3$  where
   $\forall x \in vars\ expr. \mathcal{V}_1\ x = \mathcal{V}_3\ (rename\ \varrho_1\ x)$ 
   $\forall x \in vars\ expr'. \mathcal{V}_2\ x = \mathcal{V}_3\ (rename\ \varrho_2\ x)$ 
   $infinite\text{-variables-per-type}\ \mathcal{V}_3$ 

```

```

using obtain-merged- $\mathcal{V}$ [OF  $\varrho_2 \varrho_1 - \mathcal{V}_1$  finite-vars] rename-apart
by (metis disjoint-iff)

locale based-typed-renaming =
  base: explicitly-typed-renaming where
    subst = base-subst and vars = base-vars :: 'base  $\Rightarrow$  'v set and
    typed = typed :: ('v  $\Rightarrow$  'ty)  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool +
  base: explicitly-typed-functional-substitution where
    vars = base-vars and subst = base-subst +
    based-functional-substitution +
    renaming-variables
begin

lemma renaming-grounding:
assumes
  renaming: base.is-renaming  $\varrho$  and
   $\varrho\gamma$ -is-welltyped: base.is-typed-on (vars expr)  $\mathcal{V}$  ( $\varrho \odot \gamma$ ) and
  grounding: is-ground (expr  $\cdot$   $\varrho \odot \gamma$ ) and
   $\mathcal{V}\mathcal{V}'$ :  $\forall x \in$  vars expr.  $\mathcal{V} x = \mathcal{V}'$  (rename  $\varrho x$ )
  shows base.is-typed-on (vars (expr  $\cdot$   $\varrho$ ))  $\mathcal{V}' \gamma$ 
proof(intro ballI)
  fix x

  define y where y  $\equiv$  inv  $\varrho$  (id-subst x)

  assume x-in-expr:  $x \in$  vars (expr  $\cdot$   $\varrho$ )

  then have y-in-vars: y  $\in$  vars expr
  using base.renaming-inv-in-vars[OF renaming] base.vars-id-subst
  unfolding y-def base.vars-subst-vars vars-subst
  by fastforce

  then have base.is-ground (base-subst (id-subst y) ( $\varrho \odot \gamma$ ))
  using variable-grounding[OF grounding y-in-vars]
  by (metis base.comp-subst-iff base.left-neutral)

  moreover have typed  $\mathcal{V}$  (base-subst (id-subst y) ( $\varrho \odot \gamma$ )) ( $\mathcal{V} y$ )
  using  $\varrho\gamma$ -is-welltyped y-in-vars
  unfolding y-def
  by (metis base.comp-subst-iff base.left-neutral)

  ultimately have typed  $\mathcal{V}'$  (base-subst (id-subst y) ( $\varrho \odot \gamma$ )) ( $\mathcal{V} y$ )
  by (meson base.explicit-is-ground-typed)

  moreover have base-subst (id-subst y) ( $\varrho \odot \gamma$ ) =  $\gamma x$ 
  using x-in-expr base.renaming-inv-into[OF renaming] base.left-neutral
  unfolding y-def vars-subst base.comp-subst-iff
  by (metis (no-types, lifting) UN-E f-inv-into-f)

```

```

ultimately show typed  $\mathcal{V}'(\gamma x)(\mathcal{V}'x)$ 
  using  $\mathcal{V}\text{-}\mathcal{V}'[\text{rule-format}]$ 
  by (metis base.right-uniqueD base.typed-id-subst id-subst-rename renaming re-
naming-inv-into
      x-in-expr y-def y-in-vars)
qed

```

**lemma** obtain-merged-grounding:

```

fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 
assumes
  base.is-typed-on (vars expr)  $\mathcal{V}_1 \gamma_1$ 
  base.is-typed-on (vars expr')  $\mathcal{V}_2 \gamma_2$ 
  is-ground (expr ·  $\gamma_1$ )
  is-ground (expr' ·  $\gamma_2$ ) and
   $\mathcal{V}_2$ : infinite-variables-per-type  $\mathcal{V}_2$  and
  finite-vars: finite (vars expr)
obtains  $\varrho_1 \varrho_2 \gamma$  where
  base.is-renaming  $\varrho_1$ 
  base.is-renaming  $\varrho_2$ 
  vars (expr ·  $\varrho_1$ ) ∩ vars (expr' ·  $\varrho_2$ ) = {}
  base.is-typed-on (vars expr)  $\mathcal{V}_1 \varrho_1$ 
  base.is-typed-on (vars expr')  $\mathcal{V}_2 \varrho_2$ 
   $\forall X \subseteq \text{vars expr}. \forall x \in X. \gamma_1 x = (\varrho_1 \odot \gamma) x$ 
   $\forall X \subseteq \text{vars expr}'. \forall x \in X. \gamma_2 x = (\varrho_2 \odot \gamma) x$ 

```

**proof** –

```

obtain  $\varrho_1 \varrho_2$  where
   $\varrho_1$ : base.is-renaming  $\varrho_1$  and
   $\varrho_2$ : base.is-renaming  $\varrho_2$  and
  rename-apart:  $\varrho_1`(\text{vars expr}) \cap \varrho_2`(\text{vars expr}') = {}$  and
   $\varrho_1$ -is-welltyped: base.is-typed-on (vars expr)  $\mathcal{V}_1 \varrho_1$  and
   $\varrho_2$ -is-welltyped: base.is-typed-on (vars expr')  $\mathcal{V}_2 \varrho_2$ 
  using base.obtain-typed-renamings[OF finite-vars  $\mathcal{V}_2$ ].

```

```

have rename-apart: vars (expr ·  $\varrho_1$ ) ∩ vars (expr' ·  $\varrho_2$ ) = {}
  using rename-apart rename-variables-id-subst[OF  $\varrho_1$ ] rename-variables-id-subst[OF
 $\varrho_2$ ]
  by blast

```

```

from  $\varrho_1 \varrho_2$  obtain  $\varrho_1\text{-inv } \varrho_2\text{-inv}$  where
   $\varrho_1\text{-inv}$ :  $\varrho_1 \odot \varrho_1\text{-inv} = \text{id-subst}$  and
   $\varrho_2\text{-inv}$ :  $\varrho_2 \odot \varrho_2\text{-inv} = \text{id-subst}$ 
  unfolding base.is-renaming-def
  by blast

```

```

define  $\gamma$  where
 $\bigwedge x. \gamma x \equiv$ 
  if  $x \in \text{vars (expr} \cdot \varrho_1\text{)}$ 
  then  $(\varrho_1\text{-inv} \odot \gamma_1) x$ 

```

```

else ( $\varrho_2$ -inv  $\odot \gamma_2$ )  $x$ 

show ?thesis
proof(rule that[ $OF \varrho_1 \varrho_2$  rename-apart  $\varrho_1$ -is-welltyped  $\varrho_2$ -is-welltyped])

have  $\forall x \in vars\ expr. \gamma_1 x = (\varrho_1 \odot \gamma) x$ 
proof(intro ballI)
  fix  $x$ 
  assume  $x$ -in-vars:  $x \in vars\ expr$ 

  obtain  $y$  where  $y: \varrho_1 x = id\text{-subst } y$ 
    using obtain-renamed-variable[ $OF \varrho_1$ ].

  then have  $y \in vars\ (expr \cdot \varrho_1)$ 
  using  $x$ -in-vars  $\varrho_1$  rename-variables-id-subst
  by (metis base.inj-id-subst image-eqI inj-image-mem-iff)

  then have  $\gamma y = base\text{-subst } (\varrho_1\text{-inv } y) \gamma_1$ 
  unfolding  $\gamma\text{-def}$ 
  using base.comp-subst-iff
  by presburger

  then show  $\gamma_1 x = (\varrho_1 \odot \gamma) x$ 
  by (metis  $\varrho_1$ -inv base.comp-subst-iff base.left-neutral  $y$ )
qed

then show  $\forall X \subseteq vars\ expr. \forall x \in X. \gamma_1 x = (\varrho_1 \odot \gamma) x$ 
by auto

next

have  $\forall x \in vars\ expr'. \gamma_2 x = (\varrho_2 \odot \gamma) x$ 
proof(intro ballI)
  fix  $x$ 
  assume  $x$ -in-vars:  $x \in vars\ expr'$ 

  obtain  $y$  where  $y: \varrho_2 x = id\text{-subst } y$ 
    using obtain-renamed-variable[ $OF \varrho_2$ ].

  then have  $y \in vars\ (expr' \cdot \varrho_2)$ 
  using  $x$ -in-vars  $\varrho_2$  rename-variables-id-subst
  by (metis base.inj-id-subst imageI inj-image-mem-iff)

  then have  $\gamma y = base\text{-subst } (\varrho_2\text{-inv } y) \gamma_2$ 
  unfolding  $\gamma\text{-def}$ 
  using base.comp-subst-iff rename-apart
  by auto

  then show  $\gamma_2 x = (\varrho_2 \odot \gamma) x$ 

```

```

    by (metis  $\varrho_2$ -inv base.comp-subst-iff base.left-neutral y)
qed

then show  $\forall X \subseteq \text{vars } \text{expr}' . \forall x \in X . \gamma_2 x = (\varrho_2 \odot \gamma) x$ 
  by auto
qed
qed

lemma obtain-merged-grounding':
  fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 
  assumes
    typed- $\gamma_1$ : base.is-typed-on (vars expr)  $\mathcal{V}_1 \gamma_1$  and
    typed- $\gamma_2$ : base.is-typed-on (vars expr')  $\mathcal{V}_2 \gamma_2$  and
    expr-grounding: is-ground (expr ·  $\gamma_1$ ) and
    expr'-grounding: is-ground (expr' ·  $\gamma_2$ ) and
     $\mathcal{V}_1$ : infinite-variables-per-type  $\mathcal{V}_1$  and
    finite-vars: finite (vars expr')
  obtains  $\varrho_1 \varrho_2 \gamma$  where
    base.is-renaming  $\varrho_1$ 
    base.is-renaming  $\varrho_2$ 
    vars (expr ·  $\varrho_1$ )  $\cap$  vars (expr' ·  $\varrho_2$ ) = {}
    base.is-typed-on (vars expr)  $\mathcal{V}_1 \varrho_1$ 
    base.is-typed-on (vars expr')  $\mathcal{V}_2 \varrho_2$ 
     $\forall X \subseteq \text{vars } \text{expr} . \forall x \in X . \gamma_1 x = (\varrho_1 \odot \gamma) x$ 
     $\forall X \subseteq \text{vars } \text{expr}' . \forall x \in X . \gamma_2 x = (\varrho_2 \odot \gamma) x$ 
  using obtain-merged-grounding[OF typed- $\gamma_2$  typed- $\gamma_1$  expr'-grounding expr-grounding
 $\mathcal{V}_1$  finite-vars]
  by (smt (verit, ccfv-threshold) inf-commute)

end

sublocale explicitly-typed-renaming  $\subseteq$ 
  based-typed-renaming where base-vars = vars and base-subst = subst
  by unfold-locales

end
theory Functional-Substitution-Typing
  imports Typed-Functional-Substitution
begin

locale subst-is-typed-abbreviations =
  is-typed: typed-functional-substitution where
  base-typed = base-typed and is-typed = expr-is-typed +
  is-welltyped: typed-functional-substitution where
  base-typed = base-welltyped and is-typed = expr-is-welltyped
for
  base-typed base-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool and
  expr-is-typed expr-is-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'expr  $\Rightarrow$  'base  $\Rightarrow$  bool
begin

```

```

abbreviation is-typed-on where
  is-typed-on ≡ is-typed.base.is-typed-on

abbreviation is-welltyped-on where
  is-welltyped-on ≡ is-welltyped.base.is-typed-on

abbreviation is-typed where
  is-typed ≡ is-typed.base.is-typed-on UNIV

abbreviation is-welltyped where
  is-welltyped ≡ is-welltyped.base.is-typed-on UNIV

end

locale functional-substitution-typing =
  is-typed: typed-functional-substitution where
    base-typed = base-typed and is-typed = is-typed +
    is-welltyped: typed-functional-substitution where
      base-typed = base-welltyped and is-typed = is-welltyped
for
  base-typed base-welltyped :: ('var, 'ty) var-types ⇒ 'base ⇒ 'ty ⇒ bool and
  is-typed is-welltyped :: ('var, 'ty) var-types ⇒ 'expr ⇒ bool +
assumes typing:  $\bigwedge \mathcal{V}. \text{typing} (\text{is-typed } \mathcal{V}) (\text{is-welltyped } \mathcal{V})$ 
begin

  sublocale base: typing is-typed  $\mathcal{V}$  is-welltyped  $\mathcal{V}$ 
    by (rule typing)

  sublocale subst: subst-is-typed-abbreviations
    where expr-is-typed = is-typed and expr-is-welltyped = is-welltyped
    by unfold-locales

end

locale base-functional-substitution-typing =
  typed: explicitly-typed-functional-substitution where typed = typed +
  welltyped: explicitly-typed-functional-substitution where typed = welltyped
for
  welltyped typed :: ('var, 'ty) var-types ⇒ 'expr ⇒ 'ty ⇒ bool +
assumes
  explicit-typing:  $\bigwedge \mathcal{V}. \text{explicit-typing} (\text{typed } \mathcal{V}) (\text{welltyped } \mathcal{V})$ 
begin

  sublocale base: explicit-typing typed  $\mathcal{V}$  welltyped  $\mathcal{V}$ 
    using explicit-typing .

lemmas typed-id-subst = typed.typed-id-subst

```

```

lemmas welltyped-id-subst = welltyped.typed-id-subst

lemmas is-typed-id-subst = typed.is-typed-id-subst

lemmas is-welltyped-id-subst = welltyped.is-typed-id-subst

lemmas is-typed-on-subset = typed.is-typed-on-subset

lemmas is-welltyped-on-subset = welltyped.is-typed-on-subset

sublocale functional-substitution-typing where
  is-typed = base.is-typed and is-welltyped = base.is-welltyped and base-typed =
  typed and
  base-welltyped = welltyped and base-vars = vars and base-subst = subst
  by unfold-locales

sublocale subst: typing subst.is-typed-on X V subst.is-welltyped-on X V
  using base.typed-if-welltyped
  by unfold-locales blast

end

end

theory Typed-Functional-Substitution-Lifting
imports
  Typed-Functional-Substitution
  Abstract-Substitution.Functional-Substitution-Lifting
begin

lemma ext-equiv: ( $\lambda x. f x \equiv g x$ )  $\implies f \equiv g$ 
  by presburger

locale typed-functional-substitution-lifting =
  sub: typed-functional-substitution where
    vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
    base-vars = base-vars +
    based-functional-substitution-lifting where to-set = to-set and base-vars = base-vars
  for
    sub-is-typed :: ('var, 'ty) var-types  $\Rightarrow$  'sub  $\Rightarrow$  bool and
    to-set :: 'expr  $\Rightarrow$  'sub set and
    base-vars :: 'base  $\Rightarrow$  'var set
  begin

abbreviation (input) lifted-is-typed where
  lifted-is-typed V  $\equiv$  is-typed-lifting to-set (sub-is-typed V)

lemmas lifted-is-typed-def = is-typed-lifting-def[of to-set, THEN ext-equiv, of sub-is-typed]

```

```

sublocale typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  by unfold-locales

end

locale uniform-typed-functional-substitution-lifting =
  base: explicitly-typed-functional-substitution where
    vars = base-vars and subst = base-subst and typed = base-typed +
    based-functional-substitution-lifting where
      to-set = to-set and sub-subst = base-subst and sub-vars = base-vars
  for
    base-typed :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool and
    to-set :: 'expr  $\Rightarrow$  'base set
  begin

    abbreviation (input) lifted-is-typed where
      lifted-is-typed  $\mathcal{V}$   $\equiv$  uniform-typed-lifting to-set (base-typed  $\mathcal{V}$ )

    lemmas lifted-is-typed-def = uniform-typed-lifting-def[of to-set, THEN ext-equiv,
      of base-typed]

    sublocale typed-functional-substitution where
      vars = vars and subst = subst and is-typed = lifted-is-typed
      by unfold-locales

    end

    locale uniform-typed-grounding-functional-substitution-lifting =
      uniform-typed-functional-substitution-lifting +
      grounding-lifting where sub-subst = base-subst and sub-vars = base-vars +
      base: explicitly-typed-grounding-functional-substitution where
        vars = base-vars and subst = base-subst and typed = base-typed and
        to-ground = sub-to-ground and from-ground = sub-from-ground
    begin

      sublocale typed-grounding-functional-substitution where
        vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
        to-ground and
        from-ground = from-ground
        by unfold-locales

      end

      locale typed-grounding-functional-substitution-lifting =
        typed-functional-substitution-lifting +
        grounding-lifting +
        sub: typed-grounding-functional-substitution where

```

```

vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
to-ground = sub-to-ground and from-ground = sub-from-ground
begin

sublocale typed-grounding-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
  to-ground and
  from-ground = from-ground
  by unfold-locales

end

locale uniform-inhabited-typed-functional-substitution-lifting =
  uniform-typed-functional-substitution-lifting +
  base: inhabited-explicitly-typed-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed
begin

sublocale inhabited-typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  by unfold-locales

end

locale inhabited-typed-functional-substitution-lifting =
  typed-functional-substitution-lifting +
  sub: inhabited-typed-functional-substitution where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed
begin

sublocale inhabited-typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  by unfold-locales

end

locale typed-subst-stability-lifting =
  typed-functional-substitution-lifting +
  sub: typed-subst-stability where is-typed = sub-is-typed and vars = sub-vars and
  subst = sub-subst
begin

sublocale typed-subst-stability where
  is-typed = lifted-is-typed and subst = subst and vars = vars
  proof unfold-locales
    fix expr V σ
    assume sub.base.is-typed-on (vars expr) V σ

    then show lifted-is-typed V (expr · σ)  $\longleftrightarrow$  lifted-is-typed V expr

```

```

unfolding vars-def is-typed-lifting-def
using sub.subst-stability to-set-image
by fastforce

qed

end

locale uniform-typed-subst-stability-lifting =
  uniform-typed-functional-substitution-lifting +
  base: explicitly-typed-subst-stability where
    typed = base-typed and vars = base-vars and subst = base-subst
begin

  sublocale typed-subst-stability where
    is-typed = lifted-is-typed and subst = subst and vars = vars
  proof unfold-locales
    fix expr  $\mathcal{V}$   $\sigma$ 
    assume base.is-typed-on (vars expr)  $\mathcal{V}$   $\sigma$ 

    then show lifted-is-typed  $\mathcal{V}$  (subst expr  $\sigma$ )  $\longleftrightarrow$  lifted-is-typed  $\mathcal{V}$  expr
    unfolding vars-def uniform-typed-lifting-def
    using base.subst-stability to-set-image
    by force
  qed

end

locale replaceable- $\mathcal{V}$ -lifting =
  typed-functional-substitution-lifting +
  sub: replaceable- $\mathcal{V}$  where
    subst = sub-subst and vars = sub-vars and is-typed = sub-is-typed
begin

  sublocale replaceable- $\mathcal{V}$  where
    subst = subst and vars = vars and is-typed = lifted-is-typed
    by unfold-locales (auto simp: sub.replace- $\mathcal{V}$  vars-def is-typed-lifting-def)

end

locale uniform-replaceable- $\mathcal{V}$ -lifting =
  uniform-typed-functional-substitution-lifting +
  sub: explicitly-replaceable- $\mathcal{V}$  where
    typed = base-typed and vars = base-vars and subst = base-subst
begin

  sublocale replaceable- $\mathcal{V}$  where
    is-typed = lifted-is-typed and subst = subst and vars = vars
    by

```

```

unfold-locales
(auto 4 4 simp: vars-def uniform-typed-lifting-def intro: sub.explicit-replace- $\mathcal{V}$ )
end

locale based-typed-renaming-lifting =
  based-functional-substitution-lifting +
  renaming-variables-lifting +
  based-typed-renaming where subst = sub-subst and vars = sub-vars
begin

sublocale based-typed-renaming where subst = subst and vars = vars
  by unfold-locales

end

locale typed-renaming-lifting =
  typed-functional-substitution-lifting where
  base-typed = base-typed :: ('v  $\Rightarrow$  'ty)  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool +
  based-typed-renaming-lifting where typed = base-typed +
  sub: typed-renaming where
  subst = sub-subst and vars = sub-vars and is-typed = sub-is-typed
begin

sublocale typed-renaming where
  subst = subst and vars = vars and is-typed = lifted-is-typed
proof unfold-locales
  fix  $\varrho$  expr and  $\mathcal{V} \mathcal{V}'$  :: 'v  $\Rightarrow$  'ty
  assume sub.base.is-renaming  $\varrho$   $\forall x \in vars$  expr.  $\mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$ 

  then show lifted-is-typed  $\mathcal{V}' (\text{expr} \cdot \varrho) = \text{lifted-is-typed } \mathcal{V} \text{ expr}$ 
    using sub.typed-renaming
    unfolding vars-def subst-def is-typed-lifting-def
    by force
qed

end

locale uniform-typed-renaming-lifting =
  uniform-typed-functional-substitution-lifting where base-typed = base-typed +
  based-typed-renaming-lifting where
  typed = base-typed and sub-vars = base-vars and sub-subst = base-subst
  for base-typed :: ('v  $\Rightarrow$  'ty)  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool
begin

sublocale typed-renaming where
  is-typed = lifted-is-typed and subst = subst and vars = vars
proof unfold-locales
  fix  $\varrho$  expr and  $\mathcal{V} \mathcal{V}'$  :: 'v  $\Rightarrow$  'ty

```

```

assume base.is-renaming  $\varrho \forall x \in vars \ expr. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$ 

then show lifted-is-typed  $\mathcal{V}' (\text{subst } expr \varrho) = \text{lifted-is-typed } \mathcal{V} \ expr$ 
  using base.typed-renaming
  unfolding vars-def subst-def uniform-typed-lifting-def
  by force
qed

end

end
theory Functional-Substitution-Typing-Lifting
imports
  Functional-Substitution-Typing
  Typed-Functional-Substitution-Lifting
begin

locale functional-substitution-typing-lifting =
  sub: functional-substitution-typing where
    vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
    is-welltyped = sub-is-welltyped +
      based-functional-substitution-lifting where to-set = to-set
for
  to-set :: 'expr  $\Rightarrow$  'sub set and
  sub-is-typed sub-is-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'sub  $\Rightarrow$  bool
begin

sublocale typing-lifting where
  sub-is-typed = sub-is-typed  $\mathcal{V}$  and sub-is-welltyped = sub-is-welltyped  $\mathcal{V}$ 
  by unfold-locales

sublocale functional-substitution-typing where
  is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
  = subst
  by unfold-locales

end

locale functional-substitution-uniform-typing-lifting =
  base: base-functional-substitution-typing where
    vars = base-vars and subst = base-subst and typed = base-typed and welltyped
    = base-welltyped +
      based-functional-substitution-lifting where
        to-set = to-set and sub-vars = base-vars and sub-subst = base-subst
for
  to-set :: 'expr  $\Rightarrow$  'base set and
  base-typed base-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool
begin

```

```

sublocale uniform-typing-lifting where
  sub-typed = base-typed  $\mathcal{V}$  and sub-welltyped = base-welltyped  $\mathcal{V}$ 
  by unfold-locales

sublocale functional-substitution-typing where
  is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
  = subst
  by unfold-locales

end

end
theory Nonground-Term-Typing
imports
  Term-Typing
  Typed-Functional-Substitution
  Functional-Substitution-Typing
  Nonground-Term
begin

locale base-typed-properties =
  explicitly-typed-subst-stability +
  explicitly-replaceable- $\mathcal{V}$  +
  explicitly-typed-renaming +
  explicitly-typed-grounding-functional-substitution

locale base-typing-properties =
  base-functional-substitution-typing +
  typed: base-typed-properties +
  welltyped: base-typed-properties where typed = welltyped

locale base-inhabited-typing-properties =
  base-typing-properties +
  typed: inhabited-explicitly-typed-functional-substitution +
  welltyped: inhabited-explicitly-typed-functional-substitution where typed = welltyped

locale nonground-term-typing =
  term: nonground-term +
  fixes  $\mathcal{F} :: ('f, 'ty)$  fun-types
begin

inductive typed :: ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  'ty  $\Rightarrow$  bool
  for  $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \implies$  typed  $\mathcal{V} (Var x) \tau$ 
    | Fun:  $\mathcal{F} f = (\tau s, \tau) \implies$  typed  $\mathcal{V} (Fun f ts) \tau$ 

```

Note: Implicitly implies that every function symbol has a fixed arity

```
inductive welltyped :: ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  'ty  $\Rightarrow$  bool
```

```

for  $\mathcal{V}$  where
  Var:  $\mathcal{V} x = \tau \implies \text{welltyped } \mathcal{V} (\text{Var } x) \tau$ 
  | Fun:  $\mathcal{F} f = (\tau s, \tau) \implies \text{list-all2 } (\text{welltyped } \mathcal{V}) ts \tau s \implies \text{welltyped } \mathcal{V} (\text{Fun } f ts)$ 
 $\tau$ 

sublocale term: explicit-typing typed ( $\mathcal{V} :: ('v, 'ty) \text{ var-types}$ ) welltyped  $\mathcal{V}$ 
proof unfold-locales
  show right-unique (typed  $\mathcal{V}$ )
  proof (rule right-uniqueI)
    fix  $t \tau_1 \tau_2$ 
    assume typed  $\mathcal{V} t \tau_1$  and typed  $\mathcal{V} t \tau_2$ 
    thus  $\tau_1 = \tau_2$ 
      by (auto elim!: typed.cases)
  qed
next
  show right-unique (welltyped  $\mathcal{V}$ )
  proof (rule right-uniqueI)
    fix  $t \tau_1 \tau_2$ 
    assume welltyped  $\mathcal{V} t \tau_1$  and welltyped  $\mathcal{V} t \tau_2$ 
    thus  $\tau_1 = \tau_2$ 
      by (auto elim!: welltyped.cases)
  qed
next
  fix  $t \tau$ 
  assume welltyped  $\mathcal{V} t \tau$ 
  then show typed  $\mathcal{V} t \tau$ 
    by (metis (full-types) typed.simps welltyped.cases)
  qed

sublocale term: term-typing where
  typed = typed ( $\mathcal{V} :: 'v \Rightarrow 'ty$ ) and welltyped = welltyped  $\mathcal{V}$  and Fun = Fun
proof unfold-locales
  fix  $t t' c \tau \tau'$ 

  assume
    t-type: welltyped  $\mathcal{V} t \tau'$  and
    t'-type: welltyped  $\mathcal{V} t' \tau'$  and
    c-type: welltyped  $\mathcal{V} c\langle t \rangle \tau$ 

  from c-type show welltyped  $\mathcal{V} c\langle t' \rangle \tau$ 
  proof (induction c arbitrary:  $\tau$ )
    case Hole
    then show ?case
      using t-type t'-type
      by auto
  next
    case (More f ss1 c ss2)

    have welltyped  $\mathcal{V} (\text{Fun } f (ss1 @ c\langle t \rangle \# ss2)) \tau$ 

```

```

using More.preds
by simp

hence welltyped V (Fun f (ss1 @ c(t) # ss2)) τ
proof (cases V Fun f (ss1 @ c(t) # ss2) τ rule: welltyped.cases)
  case (Fun τs)

  show ?thesis
  proof (rule welltyped.Fun)
    show F f = (τs, τ)
    using <F f = (τs, τ)> .
  next
    show list-all2 (welltyped V) (ss1 @ c(t) # ss2) τs
    using <list-all2 (welltyped V) (ss1 @ c(t) # ss2) τs>
    using More.IH
    by (smt (verit, del-insts) list-all2-Cons1 list-all2-append1 list-all2-lengthD)
  qed
qed

thus ?case
  by simp
qed

next
fix t t' c τ τ'
assume
  t-type: typed V t τ' and
  t'-type: typed V t' τ' and
  c-type: typed V c(t) τ

from c-type show typed V c(t) τ
proof (induction c arbitrary: τ)
  case Hole
  then show ?case
    using t'-type t-type
    by auto
  next
  case (More f ss1 c ss2)

  have typed V (Fun f (ss1 @ c(t) # ss2)) τ
  using More.preds
  by simp

  hence typed V (Fun f (ss1 @ c(t) # ss2)) τ
  proof (cases V Fun f (ss1 @ c(t) # ss2) τ rule: typed.cases)
    case (Fun τs)

    then show ?thesis
    by (simp add: typed.simps)
  qed

```

```

thus ?case
  by simp
qed
next
  fix f ts τ
  assume welltyped V (Fun f ts) τ
  then show ∀ t∈set ts. term.is-welltyped V t
    by (cases rule: welltyped.cases) (metis in-set-conv-nth list-all2-conv-all-nth)
next
  fix t
  show term.is-typed V t
    by (metis term.exhaust prod.exhaust typed.simps)
qed

sublocale term: base-typing-properties where
  id-subst = Var :: 'v ⇒ ('f, 'v) term and comp-subst = (⊙) and subst = (·t) and
  vars = term.vars and welltyped = welltyped and typed = typed and to-ground
  = term.to-ground and
  from-ground = term.from-ground
proof(unfold-locales; (intro typed.Var welltyped.Var refl) ?)
  fix τ and V and t :: ('f, 'v) term and σ
  assume is-typed-on: ∀ x ∈ term.vars t. typed V (σ x) (V x)

  show typed V (t ·t σ) τ ↔ typed V t τ
  proof(rule iffI)
    assume typed V t τ

    then show typed V (t ·t σ) τ
      using is-typed-on
      by(induction rule: typed.induct)(auto simp: typed.Fun)
  next
    assume typed V (t ·t σ) τ

    then show typed V t τ
      using is-typed-on
      by(induction t)(auto simp: typed.simps)
  qed
next
  fix τ and V and t :: ('f, 'v) term and σ
  assume is-welltyped-on: ∀ x ∈ term.vars t. welltyped V (σ x) (V x)

  show welltyped V (t ·t σ) τ ↔ welltyped V t τ
  proof(rule iffI)
    assume welltyped V t τ

    then show welltyped V (t ·t σ) τ

```

```

using is-welltyped-on
by(induction rule: welltyped.induct)
  (auto simp: list.rel-mono-strong list-all2-map1 welltyped.simps)
next

assume welltyped V (t · t σ) τ

then show welltyped V t τ
  using is-welltyped-on
proof(induction t · t σ τ arbitrary: t rule: welltyped.induct)
  case (Var x τ)

  then obtain x' where t = Var x'
    by (metis subst-apply-eq-Var)

  have welltyped V t (V x')
    unfolding t
    by (simp add: welltyped.Var)

  moreover have welltyped V t (V x)
    using Var
    unfolding t
    by (simp add: welltyped.simps)

  ultimately have V-x': τ = V x'
    using Var.hyps
    by blast

  show ?case
    unfolding t V-x'
    by (simp add: welltyped.Var)
next

case (Fun f τ s τ ts)

then show ?case
  by (cases t) (simp-all add: list.rel-mono-strong list-all2-map1 welltyped.simps)
qed
qed
next
fix t :: ('f, 'v) term and V V' τ

assume typed V t τ ∀ x∈term.vars t. V x = V' x

then show typed V' t τ
  by (cases rule: typed.cases) (simp-all add: typed.simps)
next
fix t :: ('f, 'v) term and V V' τ

assume welltyped V t τ ∀ x∈term.vars t. V x = V' x

```

```

then show welltyped  $\mathcal{V}' t \tau$ 
by (induction rule: welltyped.induct) (simp-all add: welltyped.simps list.rel-mono-strong)
next
fix  $\mathcal{V} \mathcal{V}' :: ('v, 'ty) var\text{-}types$  and  $t :: ('f, 'v) term$  and  $\varrho :: ('f, 'v) subst$  and  $\tau$ 

assume renaming: term-subst.is-renaming  $\varrho$  and  $\mathcal{V}: \forall x \in term.vars t. \mathcal{V} x = \mathcal{V}'(term.rename \varrho x)$ 

show typed  $\mathcal{V}'(t \cdot t \varrho) \tau \longleftrightarrow typed \mathcal{V} t \tau$ 
proof(intro iffI)
assume typed  $\mathcal{V}'(t \cdot t \varrho) \tau$ 
with  $\mathcal{V}$  show typed  $\mathcal{V} t \tau$ 
proof(induction t arbitrary:  $\tau$ )
case ( $Var x$ )
have  $\mathcal{V}'(term.rename \varrho x) = \tau$ 
using Var term.id-subst-rename[OF renaming]
by (metis eval-term.simps(1) term.typed.right-uniqueD typed.Var)

then have  $\mathcal{V} x = \tau$ 
by (simp add: renaming Var.premises)

then show ?case
by (rule typed.Var)
next
case ( $Fun f ts$ )
then show ?case
by (simp add: typed.simps)
qed
next
assume typed  $\mathcal{V} t \tau$ 
then show typed  $\mathcal{V}'(t \cdot t \varrho) \tau$ 
using  $\mathcal{V}$ 
proof(induction rule: typed.induct)
case ( $Var x \tau$ )
have  $\mathcal{V}'(term.rename \varrho x) = \tau$ 
using Var.hyps Var.premises
by auto

then show ?case
by (metis eval-term.simps(1) renaming term.id-subst-rename typed.Var)
next
case ( $Fun f \tau s \tau ts$ )
then show ?case
by (simp add: typed.simps)
qed

```

```

qed
next
fix  $\mathcal{V} \mathcal{V}' :: ('v, 'ty) var\text{-}types$  and  $t :: ('f, 'v) term$  and  $\varrho :: ('f, 'v) subst$  and  $\tau$ 

assume
renaming: term-subst.is-renaming  $\varrho$  and
 $\mathcal{V}: \forall x \in term.vars t. \mathcal{V} x = \mathcal{V}' (term.rename \varrho x)$ 

then show welltyped  $\mathcal{V}' (t \cdot t \varrho) \tau \longleftrightarrow$  welltyped  $\mathcal{V} t \tau$ 
proof(induction t arbitrary:  $\tau$ )
  assume welltyped  $\mathcal{V}' (t \cdot t \varrho) \tau$ 

  with  $\mathcal{V}$  show welltyped  $\mathcal{V} t \tau$ 
  proof(induction t arbitrary:  $\tau$ )
    case (Var x)

    then have  $\mathcal{V}' (term.rename \varrho x) = \tau$ 
    using renaming term.id-subst-rename[OF renaming]
    by (metis eval-term.simps(1) term.typed.right-uniqueD term.typed-if-welltyped
        typed.Var)

    then have  $\mathcal{V} x = \tau$ 
    by (simp add: Var.preds(1))

    then show ?case
    by(rule welltyped.Var)
  next
  case (Fun f ts)

  then have welltyped  $\mathcal{V}' (Fun f (map (\lambda s. s \cdot t \varrho) ts)) \tau$ 
  by auto

  then obtain  $\tau s$  where  $\tau s$ :
  list-all2 (welltyped  $\mathcal{V}'$ ) (map ( $\lambda s. s \cdot t \varrho$ ) ts)  $\tau s$   $\mathcal{F} f = (\tau s, \tau)$ 
  using welltyped.simps
  by blast

  show ?case
  proof(rule welltyped.Fun[OF  $\tau s(2)$ ])

    show list-all2 (welltyped  $\mathcal{V}$ ) ts  $\tau s$ 
    using  $\tau s(1)$  Fun.IH
    by (smt (verit, ccfv-SIG) Fun.preds(1) eval-term.simps(2) in-set-conv-nth
        length-map
        list-all2-conv-all-nth nth-map term.set-intros(4))
  qed
  qed
next

```

```

assume welltyped  $\mathcal{V}$   $t \tau$ 
then show welltyped  $\mathcal{V}'(t \cdot t \varrho) \tau$ 
  using  $\mathcal{V}$ 
proof(induction rule: welltyped.induct)
  case ( $\text{Var } x \tau$ )
    then have  $\mathcal{V}'(\text{term.rename } \varrho x) = \tau$ 
      by simp
    then show ?case
      using term.id-subst-rename[OF renaming]
      by (metis eval-term.simps(1) welltyped.Var)
next
  case ( $\text{Fun } f \tau s \tau ts$ )
    have list-all2 (welltyped  $\mathcal{V}'$ ) ( $\text{map } (\lambda s. s \cdot t \varrho) ts$ )  $\tau s$ 
      using Fun
      by (auto simp: list.rel-mono-strong list-all2-map1)
    then show ?case
      by (simp add: Fun.hyps welltyped.simps)
    qed
  qed
qed
end

locale nonground-term-inhabited-typing =
  nonground-term-typing where  $\mathcal{F} = \mathcal{F}$  for  $\mathcal{F} :: ('f, 'ty)$  fun-types +
  assumes types-inhabited:  $\bigwedge \tau. \exists f. \mathcal{F} f = ([] , \tau)$ 
begin

sublocale base-inhabited-typing-properties where
  id-subst = Var :: ' $v \Rightarrow ('f, 'v)$ ' term and comp-subst =  $(\odot)$  and subst =  $(\cdot t)$  and
  vars = term.vars and welltyped = welltyped and typed = typed and to-ground =
  = term.to-ground and
  from-ground = term.from-ground
proof unfold-locales
  fix  $\mathcal{V} :: ('v, 'ty)$  var-types and  $\tau$ 

  obtain  $f$  where  $f: \mathcal{F} f = ([] , \tau)$ 
    using types-inhabited
    by blast

  show  $\exists t. \text{term.is-ground } t \wedge \text{welltyped } \mathcal{V} t \tau$ 
  proof(rule exI[of - "Fun f []"], intro conjI welltyped.Fun)

  show term.is-ground (Fun f [])
    by simp

```

```

next

show  $\mathcal{F} f = ([] , \tau)$ 
      by(rule  $f$ )
next

show  $list\text{-}all2 (\text{welltyped } \mathcal{V}) [] []$ 
      by simp
qed

then show  $\exists t. term.\text{is-ground } t \wedge typed \mathcal{V} t \tau$ 
      using  $term.\text{typed-if-welltyped}$ 
      by blast
qed

end

end
theory Nonground-Typing
imports
  Clause-Typing
  Functional-Substitution-Typing-Lifting
  Nonground-Term-Typing
  Nonground-Clause
begin

type-synonym ('f, 'v, 'ty) typed-clause = ('f, 'v) atom clause  $\times$  ('v, 'ty) var-types

locale nonground-uniform-typed-lifting =
  uniform-typed-subst-stability-lifting +
  uniform-replaceable- $\mathcal{V}$ -lifting +
  uniform-typed-renaming-lifting +
  uniform-typed-grounding-functional-substitution-lifting

locale nonground-typed-lifting =
  typed-subst-stability-lifting +
  replaceable- $\mathcal{V}$ -lifting +
  typed-renaming-lifting +
  typed-grounding-functional-substitution-lifting

locale nonground-uniform-typing-lifting =
  functional-substitution-uniform-typing-lifting +
  is-typed: nonground-uniform-typed-lifting where base-typed = base-typed +
  is-welltyped: nonground-uniform-typed-lifting where base-typed = base-welltyped
begin

abbreviation is-typed-ground-instance  $\equiv$  is-typed.is-typed-ground-instance

abbreviation is-welltyped-ground-instance  $\equiv$  is-welltyped.is-typed-ground-instance

```

```

abbreviation typed-ground-instances ≡ is-typed.typed-ground-instances

abbreviation welltyped-ground-instances ≡ is-welltyped.typed-ground-instances

lemmas typed-ground-instances-def = is-typed.typed-ground-instances-def

lemmas welltyped-ground-instances-def = is-welltyped.typed-ground-instances-def

end

locale nonground-typing-lifting =
  functional-substitution-typing-lifting +
  is-typed: nonground-typed-lifting +
  is-welltyped: nonground-typed-lifting where
    sub-is-typed = sub-is-welltyped and base-typed = base-welltyped
begin

abbreviation is-typed-ground-instance ≡ is-typed.is-typed-ground-instance

abbreviation is-welltyped-ground-instance ≡ is-welltyped.is-typed-ground-instance

abbreviation typed-ground-instances ≡ is-typed.typed-ground-instances

abbreviation welltyped-ground-instances ≡ is-welltyped.typed-ground-instances

lemmas typed-ground-instances-def = is-typed.typed-ground-instances-def

lemmas welltyped-ground-instances-def = is-welltyped.typed-ground-instances-def

end

locale nonground-uniform-inhabited-typing-lifting =
  nonground-uniform-typing-lifting +
  is-typed: uniform-inhabited-typed-functional-substitution-lifting where base-typed
  = base-typed +
  is-welltyped: uniform-inhabited-typed-functional-substitution-lifting where
  base-typed = base-welltyped

locale nonground-inhabited-typing-lifting =
  nonground-typing-lifting +
  is-typed: inhabited-typed-functional-substitution-lifting where base-typed = base-typed
+
  is-welltyped: inhabited-typed-functional-substitution-lifting where
  sub-is-typed = sub-is-welltyped and base-typed = base-welltyped

locale term-based-nonground-typing-lifting =
  term: nonground-term +

```

```

nonground-typing-lifting where
  id-subst = Var and comp-subst = ( $\odot$ ) and base-subst = ( $\cdot t$ ) and base-vars =
  term.vars

locale term-based-nonground-inhabited-typing-lifting =
  term: nonground-term +
  nonground-inhabited-typing-lifting where
    id-subst = Var and comp-subst = ( $\odot$ ) and base-subst = ( $\cdot t$ ) and base-vars =
    term.vars

locale term-based-nonground-uniform-typing-lifting =
  term: nonground-term +
  nonground-uniform-typing-lifting where
    id-subst = Var and comp-subst = ( $\odot$ ) and map = map-uprod and to-set =
    set-uprod and
    base-vars = term.vars and base-subst = ( $\cdot t$ ) and sub-to-ground = term.to-ground
    and
    sub-from-ground = term.from-ground and to-ground-map = map-uprod and
    from-ground-map = map-uprod and ground-map = map-uprod and to-set-ground =
    set-uprod

locale term-based-nonground-uniform-inhabited-typing-lifting =
  term: nonground-term +
  nonground-uniform-inhabited-typing-lifting where
    id-subst = Var and comp-subst = ( $\odot$ ) and map = map-uprod and to-set =
    set-uprod and
    base-vars = term.vars and base-subst = ( $\cdot t$ ) and sub-to-ground = term.to-ground
    and
    sub-from-ground = term.from-ground and to-ground-map = map-uprod and
    from-ground-map = map-uprod and ground-map = map-uprod and to-set-ground =
    set-uprod

locale nonground-typing =
  nonground-clause +
  nonground-term-typing  $\mathcal{F}$ 
  for  $\mathcal{F} :: ('f, 'ty)$  fun-types
begin

sublocale clause-typing typed ( $\mathcal{V} :: ('v, 'ty)$  var-types) welltyped  $\mathcal{V}$ 
  by unfold-locales

sublocale atom: term-based-nonground-uniform-typing-lifting where
  base-typed = typed :: ( $'v \Rightarrow 'ty \Rightarrow ('f, 'v)$ ) Term.term  $\Rightarrow 'ty \Rightarrow \text{bool}$  and
  base-welltyped = welltyped
  by unfold-locales

sublocale literal: term-based-nonground-typing-lifting where
  base-typed = typed :: ( $'v \Rightarrow 'ty \Rightarrow ('f, 'v)$ ) Term.term  $\Rightarrow 'ty \Rightarrow \text{bool}$  and

```

```

base-welltyped = welltyped and sub-vars = atom.vars and sub-subst = ( $\cdot a$ ) and
map = map-literal and to-set = set-literal and sub-is-typed = atom.is-typed and
sub-is-welltyped = atom.is-welltyped and sub-to-ground = atom.to-ground and
sub-from-ground = atom.from-ground and to-ground-map = map-literal and
from-ground-map = map-literal and ground-map = map-literal and to-set-ground
= set-literal
by unfold-locales

sublocale clause: term-based-nonground-typing-lifting where
  base-typed = typed and base-welltyped = welltyped and
    sub-vars = literal.vars and sub-subst = ( $\cdot l$ ) and map = image-mset and to-set
    = set-mset and
      sub-is-typed = literal.is-typed and sub-is-welltyped = literal.is-welltyped and
        sub-to-ground = literal.to-ground and sub-from-ground = literal.from-ground and
          to-ground-map = image-mset and from-ground-map = image-mset and ground-map
          = image-mset and
            to-set-ground = set-mset
by unfold-locales

end

locale nonground-inhabited-typing =
  nonground-typing  $\mathcal{F}$  +
  nonground-term-inhabited-typing  $\mathcal{F}$ 
  for  $\mathcal{F} :: ('f, 'ty)$  fun-types
begin

sublocale atom: term-based-nonground-uniform-inhabited-typing-lifting where
  base-typed = typed :: ( $'v \Rightarrow 'ty \Rightarrow ('f, 'v)$ ) Term.term  $\Rightarrow 'ty \Rightarrow \text{bool}$  and
  base-welltyped = welltyped
by unfold-locales

sublocale literal: term-based-nonground-inhabited-typing-lifting where
  base-typed = typed :: ( $'v \Rightarrow 'ty \Rightarrow ('f, 'v)$ ) Term.term  $\Rightarrow 'ty \Rightarrow \text{bool}$  and
  base-welltyped = welltyped and sub-vars = atom.vars and sub-subst = ( $\cdot a$ ) and
  map = map-literal and to-set = set-literal and sub-is-typed = atom.is-typed and
  sub-is-welltyped = atom.is-welltyped and sub-to-ground = atom.to-ground and
  sub-from-ground = atom.from-ground and to-ground-map = map-literal and
  from-ground-map = map-literal and ground-map = map-literal and to-set-ground
  = set-literal
by unfold-locales

sublocale clause: term-based-nonground-inhabited-typing-lifting where
  base-typed = typed and base-welltyped = welltyped and
    sub-vars = literal.vars and sub-subst = ( $\cdot l$ ) and map = image-mset and to-set
    = set-mset and
      sub-is-typed = literal.is-typed and sub-is-welltyped = literal.is-welltyped and
        sub-to-ground = literal.to-ground and sub-from-ground = literal.from-ground and
          to-ground-map = image-mset and from-ground-map = image-mset and ground-map

```

```

= image-mset and
  to-set-ground = set-mset
  by unfold-locales

end

end
theory HOL-Extra
  imports Main
begin

lemmas UniqI = Uniq-I

lemma Uniq-prodI:
  assumes  $\bigwedge x_1 y_1 x_2 y_2. P x_1 y_1 \implies P x_2 y_2 \implies (x_1, y_1) = (x_2, y_2)$ 
  shows  $\exists_{\leq 1}(x, y). P x y$ 
  using assms
  by (metis UniqI case-prodE)

lemma Uniq-implies-ex1:  $\exists_{\leq 1} x. P x \implies P y \implies \exists !x. P x$ 
  by (iprover intro: ex1I dest: Uniq-D)

lemma Uniq-antimono:  $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$ 
  unfolding le-fun-def le-bool-def
  by (rule impI) (simp only: Uniq-I Uniq-D)

lemma Uniq-antimono':  $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$ 
  by (fact Uniq-antimono[unfolded le-fun-def le-bool-def, rule-format])

lemma Collect-eq-if-Uniq:  $(\exists_{\leq 1} x. P x) \implies \{x. P x\} = \{\} \vee (\exists x. \{x. P x\} = \{x\})$ 
  using Uniq-D by fastforce

lemma Collect-eq-if-Uniq-prod:
   $(\exists_{\leq 1}(x, y). P x y) \implies \{(x, y). P x y\} = \{\} \vee (\exists x y. \{(x, y). P x y\} = \{(x, y)\})$ 
  using Collect-eq-if-Uniq by fastforce

lemma Ball-Ex-comm:
   $(\forall x \in X. \exists f. P(f x) x) \implies (\exists f. \forall x \in X. P(f x) x)$ 
   $(\exists f. \forall x \in X. P(f x) x) \implies (\forall x \in X. \exists f. P(f x) x)$ 
  by meson+

lemma set-map-id:
  assumes  $x \in \text{set } X$   $f x \notin \text{set } X$   $\text{map } f X = X$ 
  shows False
  using assms
  by (induction X) auto

lemma Ball-singleton:  $(\forall x \in \{x\}. P x) \longleftrightarrow P x$ 
  by simp

```

```

end
theory Grounded-Selection-Function
imports
  Nonground-Selection-Function
  Nonground-Typing
  HOL-Extra
begin

context nonground-typing
begin

abbreviation select-subst-stability-on-clause where
  select-subst-stability-on-clause select selectG CG C V γ ≡
    C · γ = clause.from-ground CG ∧
    selectG CG = clause.to-ground ((select C) · γ) ∧
    clause.is-welltyped-ground-instance C V γ

abbreviation select-subst-stability-on where
  select-subst-stability-on select selectG N ≡
    ∀ CG ∈ ∪ (clause.welltyped-ground-instances ` N). ∃ (C, V) ∈ N. ∃ γ.
      select-subst-stability-on-clause select selectG CG C V γ

lemma obtain-subst-stable-on-select-grounding:
  fixes select :: ('f, 'v) select
  obtains selectG where
    select-subst-stability-on select selectG N
    is-select-grounding select selectG
  proof-
  let ?NG = ∪ (clause.welltyped-ground-instances ` N)

  {
    fix C V γ
    assume
      (C, V) ∈ N
      clause.is-welltyped-ground-instance C V γ

    then have
      ∃ γ'. ∃ (C', V') ∈ N. ∃ selectG.
        select-subst-stability-on-clause select selectG (clause.to-ground (C · γ)) C'
        V' γ'
        by(intro exI[of - γ], intro bexI[of - (C, V)]) auto
  }

  then have
    ∀ CG ∈ ?NG. ∃ γ. ∃ (C, V) ∈ N. ∃ selectG.
      select-subst-stability-on-clause select selectG CG C V γ
    unfolding clause.welltyped-ground-instances-def
    by auto

```

```

then have selectG-exists-for-premises:
   $\forall C_G \in ?N_G. \exists select_G \gamma. \exists (C, V) \in N.$ 
    select-subst-stability-on-clause select selectG CG C V γ
  by blast

obtain selectG-on-groundings where
selectG-on-groundings: select-subst-stability-on select selectG-on-groundings N
using Ball-Ex-comm(1)[OF selectG-exists-for-premises]
unfolding prod.case-eq-if
by fast

define selectG where
 $\bigwedge C_G. select_G C_G = ($ 
  if CG ∈ ?NG
  then selectG-on-groundings CG
  else clause.to-ground (select (clause.from-ground CG))
 $)$ 

have grounding: is-select-grounding select selectG
using selectG-on-groundings
unfolding is-select-grounding-def selectG-def prod.case-eq-if
by (metis (no-types, lifting) clause.from-ground-inverse clause.ground-is-ground
clause.subst-id-subst)

show ?thesis
using that[OF - grounding] selectG-on-groundings
unfolding selectG-def
by fastforce
qed

end

locale grounded-selection-function =
nonground-selection-function select +
nonground-typing F
for
select :: ('f, 'v :: infinite) atom clause ⇒ ('f, 'v) atom clause and
F :: ('f, 'ty) fun-types +
fixes selectG
assumes selectG: is-select-grounding select selectG
begin

abbreviation subst-stability-on where
subst-stability-on N ≡ select-subst-stability-on select selectG N

lemma selectG-subset: selectG C ⊆# C
using selectG
unfolding is-select-grounding-def

```

```

by (metis select-subset clause.to-ground-def image-mset-subseteq-mono clause.subst-def)

lemma selectG-negative-literals:
  assumes lG ∈# selectG CG
  shows is-neg lG
proof -
  obtain C γ where
    is-ground: clause.is-ground (C · γ) and
    selectG: selectG CG = clause.to-ground (select C · γ)
  using selectG
  unfolding is-select-grounding-def
  by blast

show ?thesis
using
  ground-literal-in-selection[
    OF select-ground-subst[OF is-ground] assms[unfolded selectG],
    THEN select-neg-subst
  ]
  by simp

qed

sublocale ground: selection-function selectG
  by unfold-locales (simp-all add: selectG-subset selectG-negative-literals)

end

end
theory Term-Rewrite-System
  imports Ground-Context
begin

definition compatible-with-gctxt :: 'f gterm rel ⇒ bool where
  compatible-with-gctxt I ←→ (forall t t' ctxt. (t, t') ∈ I → (ctxt⟨t⟩G, ctxt⟨t'⟩G) ∈ I)

lemma compatible-with-gctxtD:
  compatible-with-gctxt I → (t, t') ∈ I → (ctxt⟨t⟩G, ctxt⟨t'⟩G) ∈ I
  by (simp add: compatible-with-gctxt-def)

lemma compatible-with-gctxt-converse:
  assumes compatible-with-gctxt I
  shows compatible-with-gctxt (I-1)
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t' ctxt
  assume (t, t') ∈ I-1
  thus (ctxt⟨t⟩G, ctxt⟨t'⟩G) ∈ I-1
  by (simp add: assms compatible-with-gctxtD)

```

qed

```
lemma compatible-with-gctxt-symcl:
  assumes compatible-with-gctxt I
  shows compatible-with-gctxt ( $I^{\leftrightarrow}$ )
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t' ctxt
  assume (t, t')  $\in I^{\leftrightarrow}$ 
  thus (ctxt(t) $_G$ , ctxt(t') $_G$ )  $\in I^{\leftrightarrow}$ 
    proof (induction ctxt arbitrary: t t')
      case Hole
      thus ?case by simp
    next
      case (More f ts1 ctxt ts2)
      thus ?case
        using assms[unfolded compatible-with-gctxt-def, rule-format]
        by blast
    qed
qed

lemma compatible-with-gctxt-rtrancl:
  assumes compatible-with-gctxt I
  shows compatible-with-gctxt ( $I^*$ )
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t' ctxt
  assume (t, t')  $\in I^*$ 
  thus (ctxt(t) $_G$ , ctxt(t') $_G$ )  $\in I^*$ 
    proof (induction t' rule: rtrancl-induct)
      case base
      show ?case
        by simp
    next
      case (step y z)
      thus ?case
        using assms[unfolded compatible-with-gctxt-def, rule-format]
        by (meson rtrancl.rtrancl-into-rtrancl)
    qed
qed

lemma compatible-with-gctxt-relcomp:
  assumes compatible-with-gctxt I1 and compatible-with-gctxt I2
  shows compatible-with-gctxt (I1 O I2)
  unfolding compatible-with-gctxt-def
proof (intro allI impI)
  fix t t'' ctxt
  assume (t, t'')  $\in I1 O I2$ 
  then obtain t' where (t, t')  $\in I1$  and (t', t'')  $\in I2$ 
```

```

by auto

have ctxt(t)G, ctxt(t')G ∈ I1
  using ⟨(t, t') ∈ I1⟩ assms(1) compatible-with-gctxtD by blast
moreover have ctxt(t')G, ctxt(t'')G ∈ I2
  using ⟨(t', t'') ∈ I2⟩ assms(2) compatible-with-gctxtD by blast
ultimately show ctxt(t)G, ctxt(t'')G ∈ I1 ∪ I2
  by auto
qed

lemma compatible-with-gctxt-join:
assumes compatible-with-gctxt I
shows compatible-with-gctxt (I↓)
using assms
by (simp-all add: join-def compatible-with-gctxt-relcomp compatible-with-gctxt-rtranc
compatible-with-gctxt-converse)

lemma compatible-with-gctxt-conversion:
assumes compatible-with-gctxt I
shows compatible-with-gctxt (I↔*)
by (simp add: assms compatible-with-gctxt-rtranc compatible-with-gctxt-symcl
conversion-def)

definition rewrite-inside-gctxt :: 'f gterm rel ⇒ 'f gterm rel where
rewrite-inside-gctxt R = {(ctxt(t1)G, ctxt(t2)G) | ctxt t1 t2. (t1, t2) ∈ R}

lemma mem-rewrite-inside-gctxt-if-mem-rewrite-rules[intro]:
(l, r) ∈ R ⇒ (l, r) ∈ rewrite-inside-gctxt R
by (metis (mono-tags, lifting) intp-actxt.simps(1) mem-Collect-eq rewrite-inside-gctxt-def)

lemma ctxt-mem-rewrite-inside-gctxt-if-mem-rewrite-rules[intro]:
(l, r) ∈ R ⇒ (ctxt(l)G, ctxt(r)G) ∈ rewrite-inside-gctxt R
by (auto simp: rewrite-inside-gctxt-def)

lemma rewrite-inside-gctxt-mono: R ⊆ S ⇒ rewrite-inside-gctxt R ⊆ rewrite-inside-gctxt S
by (auto simp add: rewrite-inside-gctxt-def)

lemma rewrite-inside-gctxt-union:
rewrite-inside-gctxt (R ∪ S) = rewrite-inside-gctxt R ∪ rewrite-inside-gctxt S
by (auto simp add: rewrite-inside-gctxt-def)

lemma rewrite-inside-gctxt-insert:
rewrite-inside-gctxt (insert r R) = rewrite-inside-gctxt {r} ∪ rewrite-inside-gctxt R
using rewrite-inside-gctxt-union[of {r} R, simplified] .

lemma converse-rewrite-steps: (rewrite-inside-gctxt R)⁻¹ = rewrite-inside-gctxt (R⁻¹)
by (auto simp: rewrite-inside-gctxt-def)

```

```

lemma rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt:
  fixes less-trm :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool (infix  $\prec_t$  50)
  assumes
    rule-in:  $(t_1, t_2) \in \text{rewrite-inside-gctxt } R \text{ and}$ 
    ball-R-rhs-lt-lhs:  $\bigwedge t_1 t_2. (t_1, t_2) \in R \implies t_2 \prec_t t_1 \text{ and}$ 
    compatible-with-gctxt:  $\bigwedge t_1 t_2 \text{ ctxt}. t_2 \prec_t t_1 \implies \text{ctxt}\langle t_2 \rangle_G \prec_t \text{ctxt}\langle t_1 \rangle_G$ 
    shows  $t_2 \prec_t t_1$ 
  proof -
    from rule-in obtain  $t_1' t_2' \text{ ctxt}$  where
       $(t_1', t_2') \in R \text{ and}$ 
       $t_1 = \text{ctxt}\langle t_1 \rangle_G \text{ and}$ 
       $t_2 = \text{ctxt}\langle t_2 \rangle_G$ 
    by (auto simp: rewrite-inside-gctxt-def)

    from ball-R-rhs-lt-lhs have  $t_2' \prec_t t_1'$ 
    using  $\langle t_1', t_2' \rangle \in R$  by simp

    with compatible-with-gctxt have  $\text{ctxt}\langle t_2' \rangle_G \prec_t \text{ctxt}\langle t_1' \rangle_G$ 
    by metis

    thus ?thesis
    using  $\langle t_1 = \text{ctxt}\langle t_1 \rangle_G, t_2 = \text{ctxt}\langle t_2 \rangle_G \rangle$  by metis
  qed

lemma mem-rewrite-step-union-NF:
  assumes  $(t, t') \in \text{rewrite-inside-gctxt } (R1 \cup R2)$ 
   $t \in NF \text{ (rewrite-inside-gctxt } R2)$ 
  shows  $(t, t') \in \text{rewrite-inside-gctxt } R1$ 
  using assms
  unfolding rewrite-inside-gctxt-union
  by blast

lemma predicate-holds-of-mem-rewrite-inside-gctxt:
  assumes rule-in:  $(t_1, t_2) \in \text{rewrite-inside-gctxt } R \text{ and}$ 
  ball-P:  $\bigwedge t_1 t_2. (t_1, t_2) \in R \implies P t_1 t_2 \text{ and}$ 
  preservation:  $\bigwedge t_1 t_2 \text{ ctxt } \sigma. (t_1, t_2) \in R \implies P t_1 t_2 \implies P \text{ ctxt}\langle t_1 \rangle_G \text{ ctxt}\langle t_2 \rangle_G$ 
  shows  $P t_1 t_2$ 
  proof -
    from rule-in obtain  $t_1' t_2' \text{ ctxt } \sigma$  where
       $(t_1', t_2') \in R \text{ and}$ 
       $t_1 = \text{ctxt}\langle t_1 \rangle_G \text{ and}$ 
       $t_2 = \text{ctxt}\langle t_2 \rangle_G$ 
    by (auto simp: rewrite-inside-gctxt-def)
    thus ?thesis
    using ball-P[ $OF \langle t_1', t_2' \rangle \in R$ ]
    using preservation[ $OF \langle t_1', t_2' \rangle \in R$ , of ctxt]
    by simp
  qed

```

```

lemma compatible-with-gctxt-rewrite-inside-gctxt[simp]: compatible-with-gctxt (rewrite-inside-gctxt E)
  unfolding compatible-with-gctxt-def rewrite-inside-gctxt-def
  unfolding mem-Collect-eq
  by (metis Pair-inject intp-actxt-compose)

lemma subset-rewrite-inside-gctxt[simp]:  $E \subseteq \text{rewrite-inside-gctxt } E$ 
proof (rule Set.subsetI)
  fix e assume e-in:  $e \in E$ 
  moreover obtain s t where e-def:  $e = (s, t)$ 
    by fastforce
  show e  $\in \text{rewrite-inside-gctxt } E$ 
    unfolding rewrite-inside-gctxt-def
    unfolding mem-Collect-eq
    proof (intro exI conjI)
      show e = ( $\square\langle s \rangle_G, \square\langle t \rangle_G$ )
        unfolding e-def
        by simp
    next
      show (s, t)  $\in E$ 
        using e-in
        unfolding e-def .
    qed
  qed

lemma wf-converse-rewrite-inside-gctxt:
  fixes E :: 'f gterm rel
  assumes
    wfP-R: wfP R and
    R-compatible-with-gctxt:  $\bigwedge \text{ctxt } t \ t'. R \ t \ t' \implies R \ \text{ctxt}\langle t \rangle_G \ \text{ctxt}\langle t' \rangle_G$  and
    equations-subset-R:  $\bigwedge x \ y. (x, y) \in E \implies R \ y \ x$ 
  shows wf ((rewrite-inside-gctxt E) $^{-1}$ )
  proof (rule wf-subset)
    from wfP-R show wf {(x, y). R x y}
      by (simp add: wfp-def)
    next
      show (rewrite-inside-gctxt E) $^{-1} \subseteq \{(x, y). R \ x \ y\}$ 
      proof (rule Set.subsetI)
        fix e assume e  $\in (\text{rewrite-inside-gctxt } E)^{-1}$ 
        then obtain ctxt s t where e-def:  $e = (\text{ctxt}\langle s \rangle_G, \text{ctxt}\langle t \rangle_G)$  and (t, s)  $\in E$ 
          by (smt (verit) Pair-inject converseE mem-Collect-eq rewrite-inside-gctxt-def)
        hence R s t
          using equations-subset-R by simp
        hence R ctxt(s)_G ctxt(t)_G
          using R-compatible-with-gctxt by simp
        then show e  $\in \{(x, y). R \ x \ y\}$ 
          by (simp add: e-def)
    qed

```

```

qed

end
theory Entailment-Lifting
imports Abstract-Substitution.Functional-Substitution-Lifting
begin

locale entailment =
  based: based-functional-substitution where base-subst = base-subst and vars =
  vars +
  base: grounding where subst = base-subst and vars = base-vars and to-ground =
  base-to-ground and
  from-ground = base-from-ground for
  vars :: 'expr ⇒ 'var set and
  base-subst :: 'base ⇒ ('var ⇒ 'base) ⇒ 'base and
  base-to-ground :: 'base ⇒ 'baseG and
  base-from-ground +
fixes entails-def :: 'expr ⇒ bool and I :: ('baseG × 'baseG) set
assumes
  congruence: ⋀ expr γ var update.
  based.base.is-ground update ⇒
  based.base.is-ground (γ var) ⇒
  (base-to-ground (γ var), base-to-ground update) ∈ I ⇒
  based.is-ground (subst expr γ) ⇒
  entails-def (subst expr (γ(var := update))) ⇒
  entails-def (subst expr γ)
begin

abbreviation entails ≡ entails-def

end

locale symmetric-entailment = entailment +
assumes sym: sym I
begin

lemma symmetric-congruence:
assumes
  update-is-ground: based.base.is-ground update and
  var-grounding: based.base.is-ground (γ var) and
  var-update: (base-to-ground (γ var), base-to-ground update) ∈ I and
  expr-grounding: based.is-ground (subst expr γ)
shows
  entails (subst expr (γ(var := update))) ↔ entails (subst expr γ)
using congruence[OF var-grounding, of γ(var := update)] assms
by (metis based.ground-subst-update congruence fun-upd-same fun-upd-triv fun-upd-upd
sym symD)

end

```

```

locale symmetric-base-entailment =
base-functional-substitution where subst = subst +
grounding where subst = subst and to-ground = to-ground for
subst :: 'base ⇒ ('var ⇒ 'base) ⇒ 'base (infixl · 70) and
to-ground :: 'base ⇒ 'baseG +
fixes I :: ('baseG × 'baseG) set
assumes
sym: sym I and
congruence: ⋀expr expr' update γ var.
is-ground update ==>
is-ground (γ var) ==>
(to-ground (γ var), to-ground update) ∈ I ==>
is-ground (expr · γ) ==>
(to-ground (expr · (γ(var := update))), expr') ∈ I ==>
(to-ground (expr · γ), expr') ∈ I
begin
lemma symmetric-congruence:
assumes
update-is-ground: is-ground update and
var-grounding: is-ground (γ var) and
expr-grounding: is-ground (expr · γ) and
var-update: (to-ground (γ var), to-ground update) ∈ I
shows (to-ground (expr · (γ(var := update))), expr') ∈ I ↔ (to-ground (expr · γ), expr') ∈ I
using assms congruence[OF var-grounding, of γ(var := update) var] congruence
by (metis fun-upd-same fun-upd-triv fun-upd-upd ground-subst-update sym symD)

lemma simultaneous-congruence:
assumes
update-is-ground: is-ground update and
var-grounding: is-ground (γ var) and
var-update: (to-ground (γ var), to-ground update) ∈ I and
expr-grounding: is-ground (expr · γ) is-ground (expr' · γ)
shows
(to-ground (expr · (γ(var := update))), to-ground (expr' · (γ(var := update)))) ∈ I ↔
(to-ground (expr · γ), to-ground (expr' · γ)) ∈ I
using assms
by (meson sym symD symmetric-congruence)

end

locale entailment-lifting =
based-functional-substitution-lifting +
finite-variables-lifting +
sub: symmetric-entailment
where subst = sub-subst and vars = sub-vars and entails-def = sub-entails

```

```

for sub-entails +
fixes
  is-negated :: 'd ⇒ bool and
  empty :: bool and
  connective :: bool ⇒ bool ⇒ bool and
  entails-def
assumes
  is-negated-subst:  $\bigwedge \text{expr } \sigma. \text{is-negated } (\text{subst expr } \sigma) \longleftrightarrow \text{is-negated expr}$  and
  entails-def:  $\bigwedge \text{expr}. \text{entails-def expr} \longleftrightarrow$ 
    (if is-negated expr then Not else (λx. x))
    (Finite-Set.fold connective empty (sub-entails ` to-set expr))
begin

notation sub-entails ((|=s -) [50] 50)
notation entails-def ((|= -) [50] 50)

sublocale symmetric-entailment where subst = subst and vars = vars and entails-def = entails-def
proof unfold-locales
  fix expr γ var update P
  assume
    base.is-ground update
    base.is-ground (γ var)
    is-ground (expr · γ)
    (base-to-ground (γ var), base-to-ground update) ∈ I
    |= expr · γ(var := update)

  moreover then have ∀ sub ∈ to-set expr. (|=s sub ·s γ(var := update))  $\longleftrightarrow$  |=s
  sub ·s γ
    using sub.symmetric-congruence[of update γ] to-set-is-ground-subst
    by blast

  ultimately show |= expr · γ
    unfolding is-negated-subst entails-def
    by(auto simp: image-image subst-def)

  qed (simp-all add: is-grounding-iff-vars-grounded sub.sym )

end

locale entailment-lifting-conj = entailment-lifting
  where connective = ( ∧ ) and empty = True

locale entailment-lifting-disj = entailment-lifting
  where connective = ( ∨ ) and empty = False

end
theory Fold-Extra
  imports Main

```

```

begin

lemma comp-fun-idem-conj: comp-fun-idem-on X ( $\wedge$ )
  by unfold-locales fastforce+

lemma comp-fun-idem-disj: comp-fun-idem-on X ( $\vee$ )
  by unfold-locales fastforce+

lemma fold-conj-insert [simp]:
  Finite-Set.fold ( $\wedge$ ) True (insert b B)  $\longleftrightarrow$  b  $\wedge$  Finite-Set.fold ( $\wedge$ ) True B
  using comp-fun-idem-on.fold-insert-idem[OF comp-fun-idem-conj]
  by (metis finite top-greatest)

lemma fold-disj-insert [simp]:
  Finite-Set.fold ( $\vee$ ) False (insert b B)  $\longleftrightarrow$  b  $\vee$  Finite-Set.fold ( $\vee$ ) False B
  using comp-fun-idem-on.fold-insert-idem[OF comp-fun-idem-disj]
  by (metis finite top-greatest)

end
theory Nonground-Entailment
imports
  Nonground-Context
  Nonground-Clause
  Term-Rewrite-System
  Entailment-Lifting
  Fold-Extra
begin

```

## 4 Entailment

```

context nonground-term
begin

lemma var-in-term:
  assumes x ∈ vars t
  obtains c where t = c⟨Var x⟩
  using assms
proof(induction t)
  case Var
  then show ?case
    by (meson supteq-Var supteq-ctxtE)
next
  case (Fun f args)
  then obtain t' where t' ∈ set args x ∈ vars t'
    by (metis term.distinct(1) term.sel(4) term.set-cases(2))

moreover then obtain args1 args2 where
  args1 @ [t'] @ args2 = args
  by (metis append-Cons append-Nil split-list)

```

```

moreover then have (More f args1 □ args2)⟨t'⟩ = Fun f args
  by simp

ultimately show ?case
  using Fun(1)
  by (meson assms supteq ctxtE that vars-term-supteq)
qed

lemma vars-term-ms-count:
  assumes is-ground t
  shows size {#x' ∈# vars-term-ms c⟨Var x⟩. x' = x#} = Suc (size {#x' ∈# vars-term-ms
c⟨t⟩. x' = x#})
  by(induction c)(auto simp: assms filter-mset-empty-conv)

end

context nonground-clause
begin

lemma not-literal-entails [simp]:
  ¬ upair ‘I ≈l Neg a ↔ upair ‘I ≈l Pos a
  ¬ upair ‘I ≈l Pos a ↔ upair ‘I ≈l Neg a
  by auto

lemmas literal-entails-unfolds =
not-literal-entails true-lit-simps

end

locale clause-entailment = nonground-clause +
fixes I :: ('f gterm × 'f gterm) set
assumes
  trans: trans I and
  sym: sym I and
  compatible-with-gctxt: compatible-with-gctxt I
begin

lemma symmetric-context-congruence:
  assumes (t, t') ∈ I
  shows (c⟨t⟩G, t'') ∈ I ↔ (c⟨t'⟩G, t'') ∈ I
  by (meson assms compatible-with-gctxt compatible-with-gctxtD sym trans symD
transE)

lemma symmetric-upair-context-congruence:
  assumes Upair t t' ∈ upair ‘I
  shows Upair c⟨t⟩G t'' ∈ upair ‘I ↔ Upair c⟨t'⟩G t'' ∈ upair ‘I
  using assms uprod-mem-image-iff-prod-mem[OF sym] symmetric-context-congruence

```

by *simp*

```

lemma upair-compatible-with-gctxtI [intro]:
  Upair t t' ∈ upair ‘ I  $\implies$  Upair c⟨t⟩G c⟨t'⟩G ∈ upair ‘ I
  using compatible-with-gctxt
  unfolding compatible-with-gctxt-def
  by (simp add: sym)

sublocale term: symmetric-base-entailment where vars = term.vars :: ('f, 'v)
term  $\Rightarrow$  'v set and
  id-subst = Var and comp-subst = (⊙) and subst = (·t) and to-ground =
term.to-ground and
  from-ground = term.from-ground
proof unfold-locales
fix γ :: ('f, 'v) subst and t t' update var

assume
  update-is-ground: term.is-ground update and
  var-grounding: term.is-ground (γ var) and
  var-update: (term.to-ground (γ var), term.to-ground update) ∈ I and
  term-grounding: term.is-ground (t · t γ) and
  updated-term: (term.to-ground (t · t γ(var := update)), t') ∈ I

from term-grounding updated-term
show (term.to-ground (t · t γ), t') ∈ I
proof(induction size (filter-mset (λvar'. var' = var) (vars-term-ms t)) arbitrary:
t)
case 0

then have var ∉ term.vars t
by (metis (mono-tags, lifting) filter-mset-empty-conv set-mset-vars-term-ms
size-eq-0-iff-empty)

then have t · t γ(var := update) = t · t γ
using term.subst-redundant-upd
by (simp add: eval-with-fresh-var)

with 0 show ?case
by argo
next
case (Suc n)

let ?context-to-ground = map-args-actxt term.to-ground

have var ∈ term.vars t
using Suc.hyps(2)
by (metis (full-types) filter-mset-empty-conv nonempty-has-size set-mset-vars-term-ms
zero-less-Suc)

```

```

then obtain c where t [simp]:  $t = c \langle Var \ var \rangle$ 
  by (meson term.var-in-term)

have [simp]:
  (?context-to-ground (c · tc γ))⟨term.to-ground (γ var)⟩G = term.to-ground
  (c⟨Var var⟩ · t γ)
  using Suc
  by(induction c) simp-all

have context-update [simp]:
  (?context-to-ground (c · tc γ))⟨term.to-ground update⟩G = term.to-ground
  (c⟨update⟩ · t γ)
  using Suc update-is-ground
  by(induction c) auto

have n = size {#var' ∈ # vars-term-ms c⟨update⟩. var' = var#}
  using Suc term.vars-term-ms-count[OF update-is-ground, of var c]
  by auto

moreover have term.is-ground (c⟨update⟩ · t γ)
  using Suc.preds update-is-ground
  by auto

moreover have (term.to-ground (c⟨update⟩ · t γ(var := update)), t') ∈ I
  using Suc.preds update-is-ground
  by auto

moreover have (term.to-ground update, term.to-ground (γ var)) ∈ I
  using var-update sym
  by (metis symD)

moreover have (term.to-ground (c⟨update⟩ · t γ), t') ∈ I
  using Suc calculation
  by blast

ultimately have ((?context-to-ground (c · tc γ))⟨term.to-ground (γ var)⟩G, t')
  in I
  using symmetric-context-congruence context-update
  by metis

then show ?case
  by simp
qed
qed (rule sym)

sublocale atom: symmetric-entailment
  where comp-subst = (⊙) and id-subst = Var
  and base-subst = (·t) and base-vars = term.vars and subst = (·a) and vars
  = atom.vars

```

```

and base-to-ground = term.to-ground and base-from-ground = term.from-ground
and I = I
and entails-def =  $\lambda a. \text{atom.to-ground } a \in \text{upair} ` I$ 
proof unfold-locales
fix a :: ('f, 'v) atom and  $\gamma$  var update P

assume assms:
term.is-ground update
term.is-ground ( $\gamma$  var)
(term.to-ground ( $\gamma$  var), term.to-ground update)  $\in I$ 
atom.is-ground (a · a  $\gamma$ )
(atom.to-ground (a · a  $\gamma$  (var := update))  $\in$  upair ` I)

show (atom.to-ground (a · a  $\gamma$ )  $\in$  upair ` I)
proof(cases a)
case (Upair t t')

moreover have
(term.to-ground (t' · t  $\gamma$ ), term.to-ground (t · t  $\gamma$ ))  $\in I \longleftrightarrow$ 
(term.to-ground (t · t  $\gamma$ ), term.to-ground (t' · t  $\gamma$ ))  $\in I$ 
by (metis local.sym symD)

ultimately show ?thesis
using assms
unfolding atom.to-ground-def atom.subst-def atom.vars-def
by(auto simp: sym term.simultaneous-congruence)
qed
qed (simp-all add: sym)

sublocale literal: entailment-lifting-conj
where comp-subst = ( $\odot$ ) and id-subst = Var
and base-subst = (·t) and base-vars = term.vars and sub-subst = (·a) and
sub-vars = atom.vars
and base-to-ground = term.to-ground and base-from-ground = term.from-ground
and I = I
and sub-entails = atom.entails and map = map-literal and to-set = set-literal
and is-negated = is-neg and entails-def =  $\lambda l. \text{upair} ` I \models l \text{literal.to-ground } l$ 
proof unfold-locales
fix l :: ('f, 'v) atom literal

show (upair ` I  $\models l \text{literal.to-ground } l$ ) =
(if is-neg l then Not else ( $\lambda x. x$ ))
(Finite-Set.fold ( $\wedge$ ) True (( $\lambda a. \text{atom.to-ground } a \in \text{upair} ` I$ ) ` set-literal l))
unfolding literal.vars-def literal.to-ground-def
by(cases l)(auto)

qed auto

sublocale clause: entailment-lifting-disj

```

```

where comp-subst = ( $\odot$ ) and id-subst = Var
  and base-subst = ( $\cdot t$ ) and base-vars = term.vars
  and base-to-ground = term.to-ground and base-from-ground = term.from-ground
and I = I
  and sub-subst = ( $\cdot l$ ) and sub-vars = literal.vars and sub-entails = literal.entails
  and map = image-mset and to-set = set-mset and is-negated =  $\lambda\_. \text{False}$ 
  and entails-def =  $\lambda C. \text{upair} ` I \models \text{clause.to-ground } C$ 
proof unfold-locales
fix C :: ('f, 'v) atom clause

show upair ` I \models \text{clause.to-ground } C \longleftrightarrow
  (\text{if } \text{False} \text{ then } \text{Not } \text{else } (\lambda x. x)) (\text{Finite-Set.fold } (\vee) \text{ False } (\text{literal.entails} ` \text{set-mset } C))
  unfolding \text{clause.to-ground-def}
  by(induction C) auto

qed auto

lemma literal-compatible-with-gctxtI [intro]:
literal.entails (t ≈ t') ==> literal.entails (c⟨t⟩ ≈ c⟨t'⟩)
by (simp add: upair-compatible-with-gctxtI)

lemma symmetric-literal-context-congruence:
assumes Upair t t' ∈ upair ` I
shows
  upair ` I \models_l c⟨t⟩_G ≈ t'' \longleftrightarrow upair ` I \models_l c⟨t'⟩_G ≈ t''
  upair ` I \models_l c⟨t⟩_G !≈ t'' \longleftrightarrow upair ` I \models_l c⟨t'⟩_G !≈ t''
using assms symmetric-upair-context-congruence
by auto

end

end
theory Nonground-Inference
imports Nonground-Clause Nonground-Typing
begin

locale nonground-inference = nonground-clause
begin

sublocale inference: term-based-lifting where
  sub-subst = clause.subst and sub-vars = clause.vars and map = map-inference
  and
  to-set = set-inference and sub-to-ground = clause.to-ground and
  sub-from-ground = clause.from-ground and to-ground-map = map-inference and
  from-ground-map = map-inference and ground-map = map-inference and to-set-ground
  = set-inference
  by unfold-locales

```

```

notation inference.subst (infixl ·· 67)

lemma vars-inference [simp]:
  inference.vars (Infer Ps C) = ⋃ (clause.vars ` set Ps) ∪ clause.vars C
  unfolding inference.vars-def
  by auto

lemma subst-inference [simp]:
  Infer Ps C ·· σ = Infer (map (λP. P · σ) Ps) (C · σ)
  unfolding inference.subst-def
  by simp-all

lemma inference-from-ground-clause-from-ground [simp]:
  inference.from-ground (Infer Ps C) = Infer (map clause.from-ground Ps) (clause.from-ground
C)
  by (simp add: inference.from-ground-def)

lemma inference-to-ground-clause-to-ground [simp]:
  inference.to-ground (Infer Ps C) = Infer (map clause.to-ground Ps) (clause.to-ground
C)
  by (simp add: inference.to-ground-def)

lemma inference-is-ground-clause-is-ground [simp]:
  inference.is-ground (Infer Ps C) ←→ list-all clause.is-ground Ps ∧ clause.is-ground
C
  by (auto simp: Ball-set)

end

end
theory Restricted-Order
  imports Main
begin

```

## 5 Restricted Orders

```

locale relation-restriction =
  fixes R :: 'a ⇒ 'a ⇒ bool and lift :: 'b ⇒ 'a
  assumes inj-lift [intro]: inj lift
begin

  definition Rr :: 'b ⇒ 'b ⇒ bool where
    Rr b b' ≡ R (lift b) (lift b')

end

```

### 5.1 Strict Orders

```

locale strict-order =

```

```

fixes
  less :: 'a ⇒ 'a ⇒ bool (infix  $\prec$  50)
assumes
  transp [intro]: transp ( $\prec$ ) and
  asymp [intro]: asymp ( $\prec$ )
begin

abbreviation less-eq where less-eq ≡ ( $\prec$ )==

notation less-eq (infix  $\preceq$  50)

sublocale order ( $\preceq$ ) ( $\prec$ )
  by(rule order-reflclp-if-transp-and-asymp[OF transp asymp])

end

locale strict-order-restriction =
  strict-order +
  relation-restriction where R = ( $\prec$ )
begin

abbreviation lessr ≡ Rr

lemmas lessr-def = Rr-def

notation lessr (infix  $\prec_r$  50)

sublocale restriction: strict-order ( $\prec_r$ )
  by unfold-locales (auto simp: Rr-def transp-def)

abbreviation less-eqr ≡ restriction.less-eq
notation less-eqr (infix  $\preceq_r$  50)

end

```

## 5.2 Wellfounded Strict Orders

```

locale restricted-wellfounded-strict-order = strict-order +
  fixes restriction
  assumes wfp [intro]: wfp-on restriction ( $\prec$ )

locale wellfounded-strict-order =
  restricted-wellfounded-strict-order where restriction = UNIV

locale wellfounded-strict-order-restriction =
  strict-order-restriction +
  restricted-wellfounded-strict-order where restriction = range lift and less = ( $\prec$ )
begin

```

```

sublocale wellfounded-strict-order ( $\prec_r$ )
proof unfold-locales
  show wfp ( $\prec_r$ )
    using wfp-on-if-convertible-to-wfp-on[OF wfp]
    unfolding Rr-def
    by simp
qed

end

```

### 5.3 Total Strict Orders

```

locale restricted-total-strict-order = strict-order +
  fixes restriction
  assumes totalp [intro]: totalp-on restriction ( $\prec$ )
begin

lemma restricted-not-le:
  assumes a ∈ restriction b ∈ restriction  $\neg b \prec a$ 
  shows a  $\preceq$  b
  using assms
  by (metis less-le local.order-refl totalp totalp-on-def)

end

locale total-strict-order =
  restricted-total-strict-order where restriction = UNIV
begin

sublocale linorder ( $\preceq$ ) ( $\prec$ )
  using totalpD
  by unfold-locales fastforce

end

locale total-strict-order-restriction =
  strict-order-restriction +
  restricted-total-strict-order where restriction = range lift and less = ( $\prec$ )
begin

sublocale total-strict-order ( $\prec_r$ )
proof unfold-locales
  show totalp ( $\prec_r$ )
    using totalp inj-lift
    unfolding Rr-def totalp-on-def inj-def
    by blast
qed

end

```

```

locale restricted-wellfounded-total-strict-order =
  restricted-wellfounded-strict-order + restricted-total-strict-order

end
theory Context-Compatible-Order
imports
  Ground-Context
  Restricted-Order
begin

locale restriction-restricted =
  fixes restriction context-restriction restricted restricted-context
  assumes
    restricted:
       $\bigwedge t. t \in \text{restriction} \longleftrightarrow \text{restricted } t$ 
       $\bigwedge c. c \in \text{context-restriction} \longleftrightarrow \text{restricted-context } c$ 

locale restricted-context-compatibility =
  restriction-restricted +
  fixes R Fun
  assumes
    context-compatible [simp]:
       $\bigwedge c t_1 t_2.$ 
       $\text{restricted } t_1 \implies$ 
       $\text{restricted } t_2 \implies$ 
       $\text{restricted-context } c \implies$ 
       $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 

locale context-compatibility = restricted-context-compatibility where
  restriction = UNIV and context-restriction = UNIV and restricted =  $\lambda\_. \text{True}$ 
and
  restricted-context =  $\lambda\_. \text{True}$ 
begin

lemma context-compatibility [simp]:  $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 
  by simp

end

locale context-compatible-restricted-order =
  restricted-total-strict-order +
  restriction-restricted +
  fixes Fun
  assumes less-context-compatible:
     $\bigwedge c t_1 t_2.$ 
     $\text{restricted } t_1 \implies$ 
     $\text{restricted } t_2 \implies$ 
     $\text{restricted-context } c \implies$ 

```

```

 $t_1 \prec t_2 \implies$ 
 $\text{Fun}\langle c; t_1 \rangle \prec \text{Fun}\langle c; t_2 \rangle$ 
begin

sublocale restricted-context-compatibility where  $R = (\prec)$ 
  using less-context-compatible restricted
  by unfold-locales (metis dual-order.asym totalp totalp-onD)

sublocale less-eq: restricted-context-compatibility where  $R = (\preceq)$ 
  using context-compatible restricted-not-le dual-order.order-iff-strict restricted
  by unfold-locales metis

lemma context-less-term-lesseq:
  assumes
    restricted  $t$ 
    restricted  $t'$ 
    restricted-context  $c$ 
    restricted-context  $c'$ 
     $\bigwedge t. \text{restricted } t \implies \text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t \rangle$ 
     $t \preceq t'$ 
  shows  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$ 
  using assms context-compatible dual-order.strict-trans
  by blast

lemma context-lesseq-term-less:
  assumes
    restricted  $t$ 
    restricted  $t'$ 
    restricted-context  $c$ 
    restricted-context  $c'$ 
     $\bigwedge t. \text{restricted } t \implies \text{Fun}\langle c; t \rangle \preceq \text{Fun}\langle c'; t \rangle$ 
     $t \prec t'$ 
  shows  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$ 
  using assms context-compatible dual-order.strict-trans1
  by meson

end

locale context-compatible-order =
  total-strict-order +
  fixes Fun
  assumes less-context-compatible:  $t_1 \prec t_2 \implies \text{Fun}\langle c; t_1 \rangle \prec \text{Fun}\langle c; t_2 \rangle$ 
begin

sublocale restricted: context-compatible-restricted-order where
  restriction = UNIV and context-restriction = UNIV and restricted =  $\lambda t. \text{True}$ 
  and
  restricted-context =  $\lambda t. \text{True}$ 
  using less-context-compatible

```

```

by unfold-locales simp-all

sublocale context-compatibility ( $\prec$ )
  by unfold-locales

sublocale less-eq: context-compatibility ( $\preceq$ )
  by unfold-locales

lemma context-less-term-lesseq:
  assumes
     $\bigwedge t. \text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t \rangle$ 
     $t \preceq t'$ 
  shows  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$ 
  using assms restricted.context-less-term-lesseq
  by blast

lemma context-lesseq-term-less:
  assumes
     $\bigwedge t. \text{Fun}\langle c; t \rangle \preceq \text{Fun}\langle c'; t \rangle$ 
     $t \prec t'$ 
  shows  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$ 
  using assms restricted.context-lesseq-term-less
  by blast

end

end
theory Term-Order-Notation
  imports Main
begin

locale term-order-notation =
  fixes lesst :: "'t ⇒ 't ⇒ bool"
begin

notation lesst (infix  $\prec_t$  50)
abbreviation less-eqt ≡ ( $\prec_t$ )==
notation less-eqt (infix  $\preceq_t$  50)

end

end
theory Transitive-Closure-Extra
  imports Main
begin

lemma reflcp-iff:  $\bigwedge R x y. R^{==} x y \longleftrightarrow R x y \vee x = y$ 

```

```

by (metis (full-types) sup2CI sup2E)

lemma reflclp-refl:  $R^{==} x x$ 
  by simp

lemma transpD-strict-non-strict:
  assumes transp R
  shows  $\bigwedge x y z. R x y \implies R^{==} y z \implies R x z$ 
  using ‹transp R›[THEN transpD] by blast

lemma transpD-non-strict-strict:
  assumes transp R
  shows  $\bigwedge x y z. R^{==} x y \implies R y z \implies R x z$ 
  using ‹transp R›[THEN transpD] by blast

lemma mem-rtrancl-union-iff-mem-rtrancl-lhs:
  assumes  $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$ 
  shows  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in A^*$ 
  using assms
  by (meson Domain.DomainI in-rtrancl-UnI rtrancl-Un-separatorE)

lemma mem-rtrancl-union-iff-mem-rtrancl-rhs:
  assumes
     $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$ 
  shows  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in B^*$ 
  using assms
  by (metis mem-rtrancl-union-iff-mem-rtrancl-lhs sup-commute)

end
theory Ground-Term-Order
imports
  Ground-Context
  Context-Compatible-Order
  Term-Order-Notation
  Transitive-Closure-Extra
begin

locale context-compatible-ground-order = context-compatible-order where Fun =
GFun

locale subterm-property =
  strict-order where less = lesst
  for lesst :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool +
  assumes
    subterm-property [simp]:  $\bigwedge t c. c \neq \square \implies \text{less}_t t c \langle t \rangle_G$ 
begin

interpretation term-order-notation.

```

```

lemma less-eq-subterm-property:  $t \preceq_t c\langle t \rangle_G$ 
  using subterm-property
  by (metis gctxt-ident-iff-eq-GHole reflclp-iff)

end

locale ground-term-order =
  wellfounded-strict-order lesst +
  total-strict-order lesst +
  context-compatible-ground-order lesst +
  subterm-property lesst
  for lesst :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool
begin

interpretation term-order-notation.

end

end
theory Grounded-Order
imports
  Restricted-Order
  Abstract-Substitution.Functional-Substitution-Lifting
begin

```

## 6 Orders with ground restrictions

```

locale grounded-order =
  strict-order where less = less +
  grounding where vars = vars
for
  less :: 'expr  $\Rightarrow$  'expr  $\Rightarrow$  bool (infix  $\prec$  50) and
  vars :: 'expr  $\Rightarrow$  'var set
begin

sublocale strict-order-restriction where lift = from-ground
  by unfold-locales (rule inj-from-ground)

abbreviation lessG  $\equiv$  lessr
lemmas lessG-def = lessr-def
notation lessG (infix  $\prec_G$  50)

abbreviation less-eqG  $\equiv$  less-eqr
notation less-eqG (infix  $\preceq_G$  50)

lemma to-ground-lessr [simp]:
  assumes is-ground e and is-ground e'
  shows to-ground e  $\prec_G$  to-ground e'  $\longleftrightarrow$  e  $\prec$  e'

```

```

by (simp add: assms lessr-def)

lemma to-ground-less-eqr [simp]:
assumes is-ground e and is-ground e'
shows to-ground e  $\preceq_G$  to-ground e'  $\longleftrightarrow$  e  $\preceq$  e'
using assms obtain-grounding
by fastforce

lemma less-eqr-from-ground [simp]:
eG  $\preceq_G$  eG'  $\longleftrightarrow$  from-ground eG  $\preceq$  from-ground eG'
unfolding Rr-def
by (simp add: inj-eq inj-lift)

end

locale grounded-restricted-total-strict-order =
order: restricted-total-strict-order where restriction = range from-ground +
grounded-order
begin

sublocale total-strict-order-restriction where lift = from-ground
by unfold-locales

lemma not-less-eq [simp]:
assumes is-ground expr and is-ground expr'
shows  $\neg$  order.less-eq expr' expr  $\longleftrightarrow$  expr  $\prec$  expr'
using assms order.totalp order.less-le-not-le
unfolding totalp-on-def is-ground-iff-range-from-ground
by blast

end

locale grounded-restricted-wellfounded-strict-order =
restricted-wellfounded-strict-order where restriction = range from-ground +
grounded-order
begin

sublocale wellfounded-strict-order-restriction where lift = from-ground
by unfold-locales

end

```

## 6.1 Ground substitution stability

```

locale ground-subst-stability = grounding +
fixes R
assumes
  ground-subst-stability:
     $\bigwedge \text{expr}_1 \text{expr}_2 \gamma$ .

```

```

is-ground (expr1 · γ) ⇒
is-ground (expr2 · γ) ⇒
R expr1 expr2 ⇒
R (expr1 · γ) (expr2 · γ)

locale ground-subst-stable-grounded-order =
grounded-order +
ground-subst-stability where R = (⊲)
begin

sublocale less-eq: ground-subst-stability where R = (⊴)
using ground-subst-stability
by unfold-locales blast

lemma ground-less-not-less-eq:
assumes
grounding: is-ground (expr1 · γ) is-ground (expr2 · γ) and
less: expr1 · γ ⊲ expr2 · γ
shows
¬ expr2 ⊲̄ expr1
using less ground-subst-stability[OF grounding(2, 1)] dual-order.asym
by blast

end

```

## 6.2 Substitution update stability

```

locale subst-update-stability =
based-functional-substitution +
fixes base-R R
assumes
subst-update-stability:
 $\bigwedge \text{update } x \gamma \text{ expr.}$ 
base.is-ground update ⇒
base-R update (γ x) ⇒
is-ground (expr · γ) ⇒
x ∈ vars expr ⇒
R (expr · γ(x := update)) (expr · γ)

locale base-subst-update-stability =
base-functional-substitution +
subst-update-stability where base-R = R and base-subst = subst and base-vars
= vars

locale subst-update-stable-grounded-order =
grounded-order + subst-update-stability where R = less and base-R = base-less
for base-less
begin

```

```

sublocale less-eq: subst-update-stability
  where base-R = base-less== and R = less==
  using subst-update-stability
  by unfold-locales auto

end

locale base-subst-update-stable-grounded-order =
  base-subst-update-stability where R = less +
  subst-update-stable-grounded-order where
  base-less = less and base-subst = subst and base-vars = vars

end
theory Multiset-Extension
imports
  Restricted-Order
  Multiset-Extra
begin

```

## 7 Multiset Extensions

```

locale multiset-extension = order: strict-order +
  fixes to-mset :: 'b ⇒ 'a multiset
begin

definition multiset-extension :: 'b ⇒ 'b ⇒ bool where
  multiset-extension b1 b2 ≡ multp (≺) (to-mset b1) (to-mset b2)

notation multiset-extension (infix ≺_m 50)

sublocale strict-order (≺_m)
proof unfold-locales
  show transp (≺_m)
    using transp-multp[OF order.transp]
    unfolding multiset-extension-def transp-on-def
    by blast
next
  show asymp (≺_m)
    unfolding multiset-extension-def
    by (simp add: asympD asymp-multp_HO asymp-onI multp_eq_multp_HO)
qed

notation less-eq (infix ≼_m 50)

end

```

## 7.1 Wellfounded Multiset Extensions

```
locale wellfounded-multiset-extension =
  order: wellfounded-strict-order +
  multiset-extension
begin

  sublocale wellfounded-strict-order ( $\prec_m$ )
  proof unfold-locales
    show wfp ( $\prec_m$ )
    unfolding multiset-extension-def
    using wfp-ifConvertible-to-wfp[OF wfp-multp[OF order.wfp]]
    by meson
  qed

end
```

## 7.2 Total Multiset Extensions

```
locale restricted-total-multiset-extension =
  base: restricted-total-strict-order +
  multiset-extension +
  assumes inj-on-to-mset: inj-on to-mset {b. set-mset (to-mset b) ⊆ restriction}
begin

  sublocale restricted-total-strict-order ( $\prec_m$ ) {b. set-mset (to-mset b) ⊆ restriction}
  proof unfold-locales
    have totalp-on {b. set-mset b ⊆ restriction} (multp ( $\prec$ ))
    using totalp-on-multp[OF base.totalp base.transp]
    by fastforce

    then show totalp-on {b. set-mset (to-mset b) ⊆ restriction} ( $\prec_m$ )
    using inj-on-to-mset
    unfolding multiset-extension-def totalp-on-def inj-on-def
    by auto
  qed

end

locale total-multiset-extension =
  order: total-strict-order +
  multiset-extension +
  assumes inj-to-mset: inj to-mset
begin

  sublocale restricted-total-multiset-extension where restriction = UNIV
  by unfold-locales (simp add: inj-to-mset)

  sublocale total-strict-order ( $\prec_m$ )
  using totalp
```

```

by unfold-locales simp
end

locale total-wellfounded-multiset-extension =
  wellfounded-multiset-extension + total-multiset-extension

end
theory Grounded-Multiset-Extension
  imports Grounded-Order Multiset-Extension
begin

```

## 8 Grounded Multiset Extensions

```

locale functional-substitution-multiset-extension =
  sub: strict-order where less = ( $\prec$ ) :: 'sub  $\Rightarrow$  'sub  $\Rightarrow$  bool +
  multiset-extension where to-mset = to-mset +
  functional-substitution-lifting where id-subst = id-subst and to-set = to-set
for
  to-mset :: 'expr  $\Rightarrow$  'sub multiset and
  id-subst :: 'var  $\Rightarrow$  'base and
  to-set :: 'expr  $\Rightarrow$  'sub set +
assumes
  to-mset-to-set:  $\bigwedge$  expr. set-mset (to-mset expr) = to-set expr and
  to-mset-map:  $\bigwedge$  f b. to-mset (map f b) = image-mset f (to-mset b) and
  inj-to-mset: inj to-mset
begin

no-notation less-eq (infix  $\preceq$  50)
notation sub.less-eq (infix  $\preceq$  50)

lemma lesseq-if-all-lesseq:
  assumes  $\forall$  sub  $\in$  # to-mset expr. sub  $\cdot_s \sigma'$   $\preceq$  sub  $\cdot_s \sigma$ 
  shows expr  $\cdot \sigma'$   $\preceq_m$  expr  $\cdot \sigma$ 
  using multp-image-lesseq-if-all-lesseq[OF sub.asymp sub.transp assms] inj-to-mset
  unfolding multiset-extension-def subst-def inj-def
  by (auto simp: to-mset-map)

lemma less-if-all-lesseq-ex-less:
  assumes
     $\forall$  sub  $\in$  # to-mset expr. sub  $\cdot_s \sigma'$   $\preceq$  sub  $\cdot_s \sigma$ 
     $\exists$  sub  $\in$  # to-mset expr. sub  $\cdot_s \sigma'$   $\prec$  sub  $\cdot_s \sigma$ 
  shows
    expr  $\cdot \sigma'$   $\prec_m$  expr  $\cdot \sigma$ 
  using multp-image-less-if-all-lesseq-ex-less[OF sub.asymp sub.transp assms]
  unfolding multiset-extension-def subst-def to-mset-map.

```

```

end

locale grounded-multiset-extension =
  grounding-lifting where
    id-subst = id-subst :: 'var ⇒ 'base and to-set = to-set :: 'expr ⇒ 'sub set and
    to-set-ground = to-set-ground +
    functional-substitution-multiset-extension where to-mset = to-mset
for
  to-mset :: 'expr ⇒ 'sub multiset and
  to-set-ground :: 'expr_G ⇒ 'sub_G set
begin

  sublocale strict-order-restriction ( $\prec_m$ ) from-ground
    by unfold-locales (rule inj-from-ground)

end

locale total-grounded-multiset-extension =
  grounded-multiset-extension +
  sub: total-strict-order-restriction where lift = sub-from-ground
begin

  sublocale total-strict-order-restriction ( $\prec_m$ ) from-ground
  proof unfold-locales
    have totalp-on {expr. set-mset expr ⊆ range sub-from-ground} (multp ( $\prec$ ))
      using sub.totalp totalp-on-multip
      by force

    then have totalp-on {expr. set-mset (to-mset expr) ⊆ range sub-from-ground}
      ( $\prec_m$ )
      using inj-to-mset
      unfolding inj-def multiset-extension-def totalp-on-def
      by blast

    then show totalp-on (range from-ground) ( $\prec_m$ )
      unfolding multiset-extension-def totalp-on-def from-ground-def
      by (simp add: image-mono to-mset-to-set)
  qed

end

locale based-grounded-multiset-extension =
  based-functional-substitution-lifting where base-vars = base-vars +
  grounded-multiset-extension +
  base: strict-order where less = base-less
for
  base-vars :: 'base ⇒ 'var set and
  base-less :: 'base ⇒ 'base ⇒ bool

```

## 8.1 Ground substitution stability

```

locale ground-subst-stable-total-multiset-extension =
  grounded-multiset-extension +
  sub: ground-subst-stable-grounded-order where
    less = less and subst = sub-subst and vars = sub-vars and from-ground =
    sub-from-ground and
      to-ground = sub-to-ground
begin

sublocale ground-subst-stable-grounded-order where
  less = ( $\prec_m$ ) and subst = subst and vars = vars and from-ground = from-ground
  and
    to-ground = to-ground
proof unfold-locales

  fix expr1 expr2  $\gamma$ 

  assume grounding: is-ground (expr1  $\cdot$   $\gamma$ ) is-ground (expr2  $\cdot$   $\gamma$ ) and less: expr1
   $\prec_m$  expr2

  show expr1  $\cdot$   $\gamma$   $\prec_m$  expr2  $\cdot$   $\gamma$ 
  proof(
    unfold multiset-extension-def subst-def to-mset-map,
    rule multp-map-strong[OF sub.transp - less[unfolded multiset-extension-def]])

    show monotone-on (set-mset (to-mset expr1 + to-mset expr2)) ( $\prec$ ) ( $\prec$ ) ( $\lambda$ sub.
    sub  $\cdot_s$   $\gamma$ )
      using grounding monotone-onI sub.ground-subst-stability
      by (metis (mono-tags, lifting) to-mset-to-set to-set-is-ground-subst union-iff)
    qed
  qed

end

```

## 8.2 Substitution update stability

```

locale subst-update-stable-multiset-extension =
  based-grounded-multiset-extension +
  sub: subst-update-stable-grounded-order where
    vars = sub-vars and subst = sub-subst and to-ground = sub-to-ground and
    from-ground = sub-from-ground
begin

  no-notation less-eq (infix  $\preceq$  50)

sublocale subst-update-stable-grounded-order where
  less = ( $\prec_m$ ) and vars = vars and subst = subst and from-ground = from-ground
  and

```

$to\text{-}ground = to\text{-}ground$   
**proof** *unfold-locales*  
**fix**  $x \gamma \text{expr}$   
  
**assume** *assms*:  
 $\text{base.is-ground update base-less update } (\gamma x) \text{ is-ground } (\text{expr} \cdot \gamma) \quad x \in \text{vars expr}$   
  
**moreover then have**  $\forall \text{sub} \in \# \text{ to-mset expr. sub} \cdot_s \gamma(x := \text{update}) \preceq \text{sub} \cdot_s \gamma$   
**using**  
 $\text{sub.subst-update-stability}$   
 $\text{sub.subst-redundant-upd}$   
 $\text{to-mset-to-set}$   
 $\text{to-set-is-ground-subst}$   
**by** *blast*  
  
**moreover have**  $\exists \text{sub} \in \# \text{ to-mset expr. sub} \cdot_s \gamma(x := \text{update}) \prec (\text{sub} \cdot_s \gamma)$   
**using** *sub.subst-update-stability assms*  
**unfolding** *vars-def subst-def to-mset-to-set*  
**by** *fastforce*  
  
**ultimately show**  $\text{expr} \cdot \gamma(x := \text{update}) \prec_m \text{expr} \cdot \gamma$   
**using** *less-if-all-lesseq-ex-less*  
**by** *blast*  
**qed**  
  
**end**  
  
**end**  
**theory** *Maximal-Literal*  
**imports**  
*Clausal-Calculus-Extra*  
*Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset*  
*Restricted-Order*  
**begin**  
  
**locale** *maximal-literal* = *order: strict-order* **where** *less = less*  
**for** *less :: 'a literal  $\Rightarrow$  'a literal  $\Rightarrow$  bool*  
**begin**  
  
**abbreviation** *is-maximal :: 'a literal  $\Rightarrow$  'a clause  $\Rightarrow$  bool* **where**  
 $\text{is-maximal } l C \equiv \text{order.is-maximal-in-mset } C l$   
  
**abbreviation** *is-strictly-maximal :: 'a literal  $\Rightarrow$  'a clause  $\Rightarrow$  bool* **where**  
 $\text{is-strictly-maximal } l C \equiv \text{order.is-strictly-maximal-in-mset } C l$   
  
**lemmas** *is-maximal-def = order.is-maximal-in-mset-iff*  
  
**lemmas** *is-strictly-maximal-def = order.is-strictly-maximal-in-mset-iff*

```

lemmas is-maximal-if-is-strictly-maximal = order.is-maximal-in-mset-if-is-strictly-maximal-in-mset

lemma maximal-in-clause:
assumes is-maximal l C
shows l ∈# C
using assms
unfolding is-maximal-def
by(rule conjunct1)

lemma strictly-maximal-in-clause:
assumes is-strictly-maximal l C
shows l ∈# C
using assms
unfolding is-strictly-maximal-def
by(rule conjunct1)

lemma is-maximal-not-empty [intro]: is-maximal l C ==> C ≠ {#}
using maximal-in-clause
by fastforce

lemma is-strictly-maximal-not-empty [intro]: is-strictly-maximal l C ==> C ≠ {#}
using strictly-maximal-in-clause
by fastforce

end

end
theory Term-Order-Lifting
imports
  Grounded-Multiset-Extension
  Maximal-Literal
  Term-Order-Notation
begin

locale restricted-term-order-lifting =
  term.order: restricted-wellfounded-total-strict-order where less = lesst
for lesst :: 't ⇒ 't ⇒ bool +
fixes literal-to-mset :: 'a literal ⇒ 't multiset
assumes inj-literal-to-mset: inj literal-to-mset
begin

sublocale term-order-notation.

abbreviation literal-order-restriction where
literal-order-restriction ≡ {b. set-mset (literal-to-mset b) ⊆ restriction}

sublocale literal.order: restricted-total-multiset-extension where
less = (≺t) and to-mset = literal-to-mset

```

```

using inj-literal-to-mset
by unfold-locales (auto simp: inj-on-def)

notation literal.order.multiset-extension (infix  $\prec_l$  50)
notation literal.order.less-eq (infix  $\preceq_l$  50)

lemmas lessl-def = literal.order.multiset-extension-def

sublocale maximal-literal ( $\prec_l$ )
by unfold-locales

sublocale clause.order: restricted-total-multiset-extension where
less = ( $\prec_l$ ) and to-mset =  $\lambda x. x$  and restriction = literal-order-restriction
by unfold-locales auto

notation clause.order.multiset-extension (infix  $\prec_c$  50)
notation clause.order.less-eq (infix  $\preceq_c$  50)

lemmas lessc-def = clause.order.multiset-extension-def

end

locale term-order-lifting =
restricted-term-order-lifting where restriction = UNIV +
term.order: wellfounded-strict-order lesst +
term.order: total-strict-order lesst
begin

sublocale literal.order: total-wellfounded-multiset-extension where
less = ( $\prec_t$ ) and to-mset = literal-to-mset
by unfold-locales (simp add: inj-literal-to-mset)

sublocale clause.order: total-wellfounded-multiset-extension where
less = ( $\prec_l$ ) and to-mset =  $\lambda x. x$ 
by unfold-locales simp

end

end
theory Ground-Order
imports Ground-Term-Order Term-Order-Lifting
begin

locale ground-order =
term.order: ground-term-order +
term-order-lifting

locale ground-order-with-equality =

```

```

term.order: ground-term-order
begin

  sublocale ground-order
    where literal-to-mset = mset-lit
    by unfold-locales (rule inj-mset-lit)

  end

end
theory Nonground-Term-Order
imports
  Nonground-Term
  Nonground-Context
  Ground-Order
begin

  locale ground-context-compatible-order =
    nonground-term-with-context +
    restricted-total-strict-order where restriction = range term.from-ground +
  assumes ground-context-compatibility:
     $\bigwedge c t_1 t_2.$ 
      term.is-ground  $t_1 \Rightarrow$ 
      term.is-ground  $t_2 \Rightarrow$ 
      context.is-ground  $c \Rightarrow$ 
       $t_1 \prec t_2 \Rightarrow$ 
       $c\langle t_1 \rangle \prec c\langle t_2 \rangle$ 

  begin

    sublocale context-compatible-restricted-order where
      restriction = range term.from-ground and context-restriction = range context.from-ground
    and
      Fun = Fun and restricted = term.is-ground and restricted-context = context.is-ground
      using ground-context-compatibility
      by unfold-locales
      (auto simp: term.is-ground-iff-range-from-ground context.is-ground-iff-range-from-ground)

    end

  locale ground-subterm-property =
    nonground-term-with-context +
    fixes R
  assumes ground-subterm-property:
     $\bigwedge t_G c_G.$ 
      term.is-ground  $t_G \Rightarrow$ 
      context.is-ground  $c_G \Rightarrow$ 
       $c_G \neq \square \Rightarrow$ 
      R  $t_G c_G\langle t_G \rangle$ 


```

```

locale base-grounded-order =
  order: base-subst-update-stable-grounded-order +
  order: grounded-restricted-total-strict-order +
  order: grounded-restricted-wellfounded-strict-order +
  order: ground-subst-stable-grounded-order +
  grounding

locale nonground-term-order =
  nonground-term-with-context +
  order: restricted-wellfounded-total-strict-order where
    less = lesst and restriction = range term.from-ground +
  order: ground-subst-stability where R = lesst and comp-subst = (○) and subst
  = (·t) and
    vars = term.vars and id-subst = Var and to-ground = term.to-ground and
    from-ground = term.from-ground +
  order: ground-context-compatible-order where less = lesst +
  order: ground-subterm-property where R = lesst
for lesst :: ('f, 'v) Term.term  $\Rightarrow$  ('f, 'v) Term.term  $\Rightarrow$  bool
begin

```

**interpretation** term-order-notation.

```

sublocale base-grounded-order where
  comp-subst = (○) and subst = (·t) and vars = term.vars and id-subst = Var
  and
    to-ground = term.to-ground and from-ground = term.from-ground and less = (·t)
proof unfold-locales
fix update x γ and t :: ('f, 'v) term
assume
  update-is-ground: term.is-ground update and
  update-less: update ·t γ x and
  term-grounding: term.is-ground (t ·t γ) and
  var: x ∈ term.vars t

from term-grounding var
show t ·t γ(x := update) ·t t ·t γ
proof(induction t)
case Var
then show ?case
  using update-is-ground update-less
  by simp
next
case (Fun f subs)

then have  $\forall sub \in set\ subs. sub \cdot t \gamma(x := update) \preceq_t sub \cdot t \gamma$ 
  by (metis eval-with-fresh-var is-ground-iff reflclp-iff term.set-intros(4))

moreover then have  $\exists sub \in set\ subs. sub \cdot t \gamma(x := update) \prec_t sub \cdot t \gamma$ 

```

```

using Fun update-less
by (metis (full-types) fun-upd-same term.distinct(1) term.sel(4) term.set-cases(2)
      order.dual-order.strict-iff-order term-subst-eq-rev)

ultimately show ?case
  using Fun(2, 3)
  proof(induction filter ( $\lambda sub. sub \cdot t \gamma(x := update) \prec_t sub \cdot t \gamma$ ) subs arbitrary:
         subs)
    case Nil
    then show ?case
      unfolding empty-filter-conv
      by blast
  next
    case first: (Cons s ss)
      have groundings [simp]: term.is-ground ( $s \cdot t \gamma(x := update)$ ) term.is-ground
      ( $s \cdot t \gamma$ )
      using term.ground-subst-update update-is-ground
      by (metis (lifting) filter-eq-ConsD first.hyps(2) first.preds(3) in-set-conv-decomp
            is-ground-iff term.set-intros(4))+

      show ?case
      proof(cases ss)
        case Nil
        then obtain ss1 ss2 where subs: subs = ss1 @ s # ss2
        using filter-eq-ConsD[OF first.hyps(2)[symmetric]]
        by blast

        have ss1:  $\forall s \in set ss1. s \cdot t \gamma(x := update) = s \cdot t \gamma$ 
        using first.hyps(2) first.preds(1)
        unfolding Nil subs
        by (smt (verit, del-insts) Un-iff append-Cons-eq-iff filter-empty-conv
              filter-eq-ConsD
              set-append order.antisym-conv2)

        have ss2:  $\forall s \in set ss2. s \cdot t \gamma(x := update) = s \cdot t \gamma$ 
        using first.hyps(2) first.preds(1)
        unfolding Nil subs
        by (smt (verit, ccfv-SIG) Un-iff append-Cons-eq-iff filter-empty-conv
              filter-eq-ConsD
              list.set-intros(2) set-append order.antisym-conv2)

      let ?c = More f ss1 □ ss2 ·tc γ

      have context.is-ground ?c
      using subs first(5)
      by auto

      moreover have s ·t γ(x := update)  $\prec_t s \cdot t \gamma$ 

```

```

using first.hyps(2)
by (meson Cons-eq-filterD)

ultimately have ?c⟨s · t γ(x := update)⟩ ⊲t ?c⟨s · t γ⟩
  using order.ground-context-compatibility groundings
  by blast

moreover have Fun f subs · t γ(x := update) = ?c⟨s · t γ(x := update)⟩
  unfolding subs
  using ss1 ss2
  by simp

moreover have Fun f subs · t γ = ?c⟨s · t γ⟩
  unfolding subs
  by auto

ultimately show ?thesis
  by argo
next
  case (Cons t' ts')
    from first(2)
    obtain ss1 ss2 where
      subs: subs = ss1 @ s # ss2 and
      ss1: ∀s∈set ss1. ¬s · t γ(x := update) ⊲t s · t γ and
      less: s · t γ(x := update) ⊲t s · t γ and
      ss: ss = filter (λterm. term · t γ(x := update) ⊲t term · t γ) ss2
      using Cons-eq-filter-iff[of s ss (λs. s · t γ(x := update) ⊲t s · t γ)]
      by blast

    let ?subs' = ss1 @ (s · t γ(x := update)) # ss2

    have [simp]: s · t γ(x := update) · t γ = s · t γ(x := update)
      using first.prems(3) update-is-ground
      unfolding subs
      by (simp add: is-ground-iff)

    have [simp]: s · t γ(x := update) · t γ(x := update) = s · t γ(x := update)
      using first.prems(3) update-is-ground
      unfolding subs
      by (simp add: is-ground-iff)

    have ss: ss = filter (λsub. sub · t γ(x := update) ⊲t sub · t γ) ?subs'
      using ss1 ss
      by auto

    moreover have ∀sub ∈ set ?subs'. sub · t γ(x := update) ⊣t sub · t γ
      using first.prems(1)
      unfolding subs

```

```

by simp

moreover have ex-less:  $\exists sub \in set ?subs'. sub \cdot t \gamma(x := update) \prec_t sub \cdot t$ 
γ
  using ss Cons neq-Nil-conv
  by force

moreover have subs'-grounding: term.is-ground (Fun f ?subs' · t γ)
  using first.prems(3)
  unfolding subs
  by simp

moreover have  $x \in term.vars (Fun f ?subs')$ 
  by (metis ex-less eval-with-fresh-var term.set-intros(4) order.less-irrefl)

ultimately have less-subs': Fun f ?subs' · t γ(x := update)  $\prec_t$  Fun f ?subs'
· t γ
  using first.hyps(1) first.prems(3)
  by blast

have context-grounding: context.is-ground (More f ss1  $\square$  ss2 · tc γ)
  using subs'-grounding
  by auto

have Fun f (ss1 @ s · t γ(x := update) # ss2) · t γ  $\prec_t$  Fun f subs · t γ
  unfolding subs
  using order.ground-context-compatibility[OF -- context-grounding less]
  by simp

with less-subs' show ?thesis
  unfolding subs
  by simp
qed
qed
qed
qed

notation order.lessG (infix  $\prec_{tG}$  50)
notation order.less-eqG (infix  $\preceq_{tG}$  50)

sublocale restriction: ground-term-order ( $\prec_{tG}$ )
proof unfold-locales
  fix c t t'
  assume t  $\prec_{tG}$  t'
  then show c⟨t⟩G  $\prec_{tG}$  c⟨t'⟩G
  using order.ground-context-compatibility[OF
    term.ground-is-ground term.ground-is-ground context.ground-is-ground]
  unfolding order.lessG-def

```

```

    by simp
next
fix t :: 'f gterm and c :: 'f ground-context
assume c ≠ □
then show t ≲tG c⟨t⟩G
using order.ground-subterm-property[OF term.ground-is-ground context.ground-is-ground]
  unfolding order.lessG-def
  by simp
qed

end

end
theory Nonground-Order
imports
  Nonground-Clause
  Nonground-Term-Order
  Term-Order-Lifting
begin

```

## 9 Nonground Order

```

locale nonground-order-lifting =
  grounding-lifting +
  order: total-grounded-multiset-extension +
  order: ground-subst-stable-total-multiset-extension +
  order: subst-update-stable-multiset-extension
begin

sublocale order: grounded-restricted-total-strict-order where
  less = order.multiset-extension and subst = subst and vars = vars and to-ground
  = to-ground and
  from-ground = from-ground
  by unfold-locales

end

locale nonground-term-based-order-lifting =
  term: nonground-term +
  nonground-order-lifting where
  id-subst = Var and comp-subst = (⊙) and base-vars = term.vars and base-less
  = lesst and
  base-subst = (·t)
  for lesst

locale nonground-equality-order =
  nonground-clause +
  term: nonground-term-order

```

```

begin

sublocale restricted-term-order-lifting where
  restriction = range term.from-ground and literal-to-mset = mset-lit
  by unfold-locales (rule inj-mset-lit)

notation term.order.lessG (infix  $\prec_{tG} 50$ )
notation term.order.less-eqG (infix  $\preceq_{tG} 50$ )

sublocale literal: nonground-term-based-order-lifting where
  less = lesst and sub-subst = ( $\cdot t$ ) and sub-vars = term.vars and sub-to-ground
  = term.to-ground and
  sub-from-ground = term.from-ground and map = map-uprod-literal and to-set
  = uprod-literal-to-set and
  to-ground-map = map-uprod-literal and from-ground-map = map-uprod-literal
  and
  ground-map = map-uprod-literal and to-set-ground = uprod-literal-to-set and
  to-mset = mset-lit
rewrites
   $\bigwedge l \sigma. \text{functional-substitution-lifting}.subst(\cdot t) \text{map-uprod-literal } l \sigma = \text{literal}.subst$ 
   $l \sigma$  and
   $\bigwedge l. \text{functional-substitution-lifting}.vars \text{term.vars uprod-literal-to-set } l = \text{literal}.vars$ 
   $l$  and
   $\bigwedge l_G. \text{grounding-lifting}.from-ground \text{term.from-ground map-uprod-literal } l_G$ 
   $= \text{literal}.from-ground l_G$  and
   $\bigwedge l. \text{grounding-lifting}.to-ground \text{term.to-ground map-uprod-literal } l = \text{literal}.to-ground$ 
   $l$ 
by unfold-locales (auto simp: inj-mset-lit mset-lit-image-mset)

notation literal.order.lessG (infix  $\prec_{lG} 50$ )
notation literal.order.less-eqG (infix  $\preceq_{lG} 50$ )

sublocale clause: nonground-term-based-order-lifting where
  less = ( $\prec_l$ ) and sub-subst = literal.subst and sub-vars = literal.vars and
  sub-to-ground = literal.to-ground and sub-from-ground = literal.from-ground and
  map = image-mset and to-set = set-mset and to-ground-map = image-mset and
  from-ground-map = image-mset and ground-map = image-mset and to-set-ground
  = set-mset and
  to-mset =  $\lambda x. x$ 
  by unfold-locales simp-all

notation clause.order.lessG (infix  $\prec_{cG} 50$ )
notation clause.order.less-eqG (infix  $\preceq_{cG} 50$ )

lemma obtain-maximal-literal:
assumes
  not-empty:  $C \neq \{\#\}$  and
  grounding: clause.is-ground ( $C \cdot \gamma$ )

```

```

obtains l
where is-maximal l C is-maximal (l · l γ) (C · γ)
proof-
have grounding-not-empty: C · γ ≠ {#}
  using not-empty
  by simp

obtain l where
  l-in-C: l ∈# C and
  l-grounding-is-maximal: is-maximal (l · l γ) (C · γ)
  using
    ex-maximal-in-mset-wrt[OF
      literal.order.transp-on-less literal.order.asymp-on-less grounding-not-empty]
    maximal-in-clause
  unfolding clause.subst-def
  by (metis (mono-tags, lifting) image-iff multiset.set-map)

show ?thesis
proof(cases is-maximal l C)
  case True

  with l-grounding-is-maximal that
  show ?thesis
    by blast
next
  case False
  then obtain l' where
    l'-in-C: l' ∈# C and
    l-less-l': l ≺l l'
    unfolding is-maximal-def
    using l-in-C
    by blast

  note literals-in-C = l-in-C l'-in-C
  note literals-grounding = literals-in-C[THEN clause.to-set-is-ground-subst[OF
    - grounding]]

  have l · l γ ≺l l' · l γ
    using literal.order.ground-subst-stability[OF literals-grounding l-less-l'].

  then have False
  using
    l-grounding-is-maximal
    clause.subst-in-to-set-subst[OF l'-in-C]
  unfolding is-maximal-def
  by force

  then show ?thesis..

```

```

qed
qed

lemma obtain-strictly-maximal-literal:
assumes
  grounding: clause.is-ground ( $C \cdot \gamma$ ) and
  ground-strictly-maximal: is-strictly-maximal  $l_G$  ( $C \cdot \gamma$ )
obtains  $l$  where
  is-strictly-maximal  $l$   $C$   $l_G = l \cdot l \gamma$ 
proof-
have grounding-not-empty:  $C \cdot \gamma \neq \{\#\}$ 
  using is-strictly-maximal-not-empty[OF ground-strictly-maximal].
have  $l_G$ -in-grounding:  $l_G \in\# C \cdot \gamma$ 
  using strictly-maximal-in-clause[OF ground-strictly-maximal].
obtain  $l$  where
  l-in-C:  $l \in\# C$  and
   $l_G$  [simp]:  $l_G = l \cdot l \gamma$ 
  using  $l_G$ -in-grounding
  unfolding clause.subst-def
  by blast
show ?thesis
proof(cases is-strictly-maximal  $l$   $C$ )
  case True
    show ?thesis
    using that[OF True  $l_G$ ].
next
  case False
then obtain  $l'$  where
  l'-in-C:  $l' \in\# C - \{\#\}$  and
  l-less-eq-l':  $l \preceq_l l'$ 
  unfolding is-strictly-maximal-def
  using l-in-C
  by blast
note l-grounding =
  clause.to-set-is-ground-subst[OF l-in-C grounding]
have l'-grounding: literal.is-ground ( $l' \cdot l \gamma$ )
  using l'-in-C grounding
  by (meson clause.to-set-is-ground-subst in-diffD)
have  $l \cdot l \gamma \preceq_l l' \cdot l \gamma$ 
  using literal.order.less-eq.ground-subst-stability[OF l-grounding l'-grounding
  l-less-eq-l'].

```

```

then have False
  using clause.subst-in-to-set-subst[OF l'-in-C] ground-strictly-maximal
  unfolding is-strictly-maximal-def subst-clause-remove1-mset[OF l-in-C]
  by simp

then show ?thesis..
qed
qed

lemma is-maximal-if-grounding-is-maximal:
assumes
l-in-C: l ∈# C and
C-grounding: clause.is-ground (C · γ) and
l-grounding-is-maximal: is-maximal (l · l γ) (C · γ)
shows
is-maximal l C
proof(rule ccontr)
assume ¬ is-maximal l C

then obtain l' where l-less-l': l <_l l' and l'-in-C: l' ∈# C
  using l-in-C
  unfolding is-maximal-def
  by blast

have l'-grounding: literal.is-ground (l' · l γ)
  using clause.to-set-is-ground-subst[OF l'-in-C C-grounding].

have l-grounding: literal.is-ground (l · l γ)
  using clause.to-set-is-ground-subst[OF l-in-C C-grounding].

have l'-γ-in-C-γ: l' · l γ ∈# C · γ
  using clause.subst-in-to-set-subst[OF l'-in-C].

have l · l γ <_l l' · l γ
  using literal.order.ground-subst-stability[OF l-grounding l'-grounding l-less-l'].

then have ¬ is-maximal (l · l γ) (C · γ)
  using l'-γ-in-C-γ
  unfolding is-maximal-def literal.subst-comp-subst
  by fastforce

then show False
  using l-grounding-is-maximal..
qed

lemma is-strictly-maximal-if-grounding-is-strictly-maximal:
assumes
l-in-C: l ∈# C and

```

*grounding*: *clause.is-ground* ( $C \cdot \gamma$ ) **and**  
*grounding-strictly-maximal*: *is-strictly-maximal* ( $l \cdot l \gamma$ ) ( $C \cdot \gamma$ )  
**shows**  
*is-strictly-maximal*  $l C$   
**using**  
*is-maximal-if-grounding-is-maximal*[*OF*  
*l-in-C*  
*grounding*  
*is-maximal-if-is-strictly-maximal*[*OF grounding-strictly-maximal*]  
]  
*grounding-strictly-maximal*  
**unfolding**  
*is-strictly-maximal-def* *is-maximal-def*  
*subst-clause-remove1-mset*[*OF l-in-C, symmetric*]  
*reflclp-iff*  
**by** (*metis in-diffD clause.subst-in-to-set-subst*)

**lemma** *unique-maximal-in-ground-clause*:  
**assumes**  
*clause.is-ground*  $C$   
*is-maximal*  $l C$   
*is-maximal*  $l' C$   
**shows**  
 $l = l'$   
**using** *assms clause.to-set-is-ground literal.order.not-less-eq*  
**unfolding** *is-maximal-def reflclp-iff*  
**by** *meson*

**lemma** *unique-strictly-maximal-in-ground-clause*:  
**assumes**  
*clause.is-ground*  $C$   
*is-strictly-maximal*  $l C$   
*is-strictly-maximal*  $l' C$   
**shows**  
 $l = l'$   
**using** *assms unique-maximal-in-ground-clause*  
**by** *blast*

**lemma** *less<sub>IG</sub>-rewrite [simp]: multiset-extension.multiset-extension* ( $\prec_{tG}$ ) *mset-lit*  
 $= (\prec_{IG})$   
**proof-**  
**interpret** *multiset-extension* ( $\prec_{tG}$ ) *mset-lit*  
**by** *unfold-locales*  
  
**interpret** *relation-restriction*  
 $(\lambda b1 b2. \text{multp } (\prec_t) (\text{mset-lit } b1) (\text{mset-lit } b2)) \text{ literal.from-ground}$   
**by** *unfold-locales*  
  
**show** *?thesis*

```

unfolding multiset-extension-def literal.order.multiset-extension-def R_r-def
unfolding term.order.less_G-def literal.from-ground-def atom.from-ground-def
by (metis term.inj-from-ground mset-lit-image-mset multp-image-mset-image-msetD
      multp-image-mset-image-msetI term.order.transp-on-less)
qed

lemma less_cG-rewrite [simp]:
  multiset-extension.multiset-extension ( $\prec_{lG}$ ) ( $\lambda x. x$ ) = ( $\prec_{cG}$ )
unfolding less_lG-rewrite
proof-
  interpret multiset-extension ( $\prec_{lG}$ )  $\lambda x. x$ 
    by unfold-locales

  interpret relation-restriction multp ( $\prec_l$ ) clause.from-ground
    by unfold-locales

  show ?thesis
    unfolding multiset-extension-def clause.order.multiset-extension-def R_r-def
    unfolding literal.order.less_G-def clause.from-ground-def
    by (metis literal.inj-from-ground literal.order.transp multp-image-mset-image-msetD
          multp-image-mset-image-msetI)
qed

lemma is-maximal-rewrite [simp]:
  is-maximal-in-mset-wrt ( $\prec_{lG}$ ) C l = is-maximal (literal.from-ground l) (clause.from-ground
  C)
unfolding literal.order.less_G-def is-maximal-def literal.order.restriction.is-maximal-in-mset-iff
by (metis clause.ground-sub-in-ground clause.sub-in-ground-is-ground
      literal.order.order.strict-iff-order literal.to-ground-inverse)

thm literal.order.order.strict-iff-order

lemma is-strictly-maximal-rewrite [simp]:
  is-strictly-maximal-in-mset-wrt ( $\prec_{lG}$ ) C l =
  is-strictly-maximal (literal.from-ground l) (clause.from-ground C)
unfolding
  literal.order.less_G-def is-strictly-maximal-def
  literal.order.restriction.is-strictly-maximal-in-mset-iff
  reflclp-iff
by (metis (lifting) clause.ground-sub-in-ground clause.sub-in-ground-is-ground
      literal.obtain-grounding clause-from-ground-remove1-mset)

sublocale ground: ground-order-with-equality where
  less_t = ( $\prec_{tG}$ )
rewrites
  multiset-extension.multiset-extension ( $\prec_{tG}$ ) mset-lit = ( $\prec_{lG}$ ) and
  multiset-extension.multiset-extension ( $\prec_{lG}$ ) ( $\lambda x. x$ ) = ( $\prec_{cG}$ ) and
   $\bigwedge l. C.\text{ground}.is\text{-maximal} l \leftrightarrow is\text{-maximal} (\text{literal}.from\text{-ground} l) (clause.from\text{-ground} C)$  and

```

```

 $\bigwedge l\ C.\ ground.is-strictly-maximal\ l\ C \longleftrightarrow is-strictly-maximal\ (literal.from-ground\ l)\ (clause.from-ground\ C)$ 
by unfold-locales auto

abbreviation ground-is-maximal where
  ground-is-maximal l_G C_G ≡ is-maximal (literal.from-ground l_G) (clause.from-ground C_G)

abbreviation ground-is-strictly-maximal where
  ground-is-strictly-maximal l_G C_G ≡
    is-strictly-maximal (literal.from-ground l_G) (clause.from-ground C_G)

lemma less_t-less_l:
assumes t_1 ≲_t t_2
shows
  less_t-less_l-pos: t_1 ≈ t_3 ≲_l t_2 ≈ t_3 and
  less_t-less_l-neg: t_1 !≈ t_3 ≲_l t_2 !≈ t_3
using assms
unfolding less_l-def
by (auto simp: multp-add-mset multp-add-mset')

lemma literal-order-less-if-all-lesseq-ex-less-set:
assumes
  ∀ t ∈ set-uprod (atm-of l). t · t σ' ≲_t t · t σ
  ∃ t ∈ set-uprod (atm-of l). t · t σ' ≲_t t · t σ
shows l · l σ' ≲_l l · l σ
using literal.order.less-if-all-lesseq-ex-less[OF assms[folded set-mset-set-uprod]].

lemma less_c-add-mset:
assumes l ≲_l l' C ≲_c C'
shows add-mset l C ≲_c add-mset l' C'
using assms multp-add-mset-reflclp[OF literal.order.asymp literal.order.transp]
unfolding less_c-def
by blast

lemmas less_c-add-same [simp] =
  multp-add-same[OF literal.order.asymp literal.order.transp, folded less_c-def]

end

end
theory Typed-Functional-Substitution-Example
imports
  Functional-Substitution-Typing
  Typed-Functional-Substitution
  Abstract-Substitution.Functional-Substitution-Example
begin

```

```

type-synonym ('f, 'ty) fun-types = 'f ⇒ 'ty list × 'ty

Inductive predicates defining well-typed terms.

inductive typed :: ('f, 'ty) fun-types ⇒ ('v, 'ty) var-types ⇒ ('f, 'v) term ⇒ 'ty ⇒
bool
for F V where
  Var: V x = τ ⇒ typed F V (Var x) τ
  | Fun: F f = (τs, τ) ⇒ typed F V (Fun f ts) τ

inductive welltyped :: ('f, 'ty) fun-types ⇒ ('v, 'ty) var-types ⇒ ('f, 'v) term ⇒
'ty ⇒ bool
for F V where
  Var: V x = τ ⇒ welltyped F V (Var x) τ
  | Fun: F f = (τs, τ) ⇒ list-all2 (welltyped F V) ts τs ⇒ welltyped F V (Fun
f ts) τ

global-interpretation term: explicit-typing typed F V welltyped F V
proof unfold-locales
  show right-unique (typed F V)
  proof (rule right-uniqueI)
    fix t τ1 τ2
    assume typed F V t τ1 and typed F V t τ2
    thus τ1 = τ2
      by (auto elim!: typed.cases)
    qed
  next
    show right-unique (welltyped F V)
    proof (rule right-uniqueI)
      fix t τ1 τ2
      assume welltyped F V t τ1 and welltyped F V t τ2
      thus τ1 = τ2
        by (auto elim!: welltyped.cases)
      qed
  next
    fix t τ
    assume welltyped F V t τ
    then show typed F V t τ
      by (metis (full-types) typed.simps welltyped.cases)
  qed

global-interpretation term: base-functional-substitution-typing where
  typed = typed (F :: ('f, 'ty) fun-types) and welltyped = welltyped F and
  subst = subst-apply-term and id-subst = Var and comp-subst = subst-compose
  and
  vars = vars-term :: ('f, 'v) term ⇒ 'v set
  by (unfold-locales; intro typed.Var welltyped.Var refl)

```

A selection of substitution properties for typed terms.

**locale** typed-term-subst-properties =

*typed*: explicitly-typed-subst-stability **where** typed = typed  $\mathcal{F}$  +  
*welltyped*: explicitly-typed-subst-stability **where** typed = welltyped  $\mathcal{F}$   
**for**  $\mathcal{F} :: ('f, 'ty)$  fun-types

**global-interpretation** term: typed-term-subst-properties **where**  
 subst = subst-apply-term **and** id-subst = Var **and** comp-subst = subst-compose  
**and**  
 vars = vars-term :: ('f, 'v) term  $\Rightarrow$  'v set **and**  $\mathcal{F} = \mathcal{F}$   
**for**  $\mathcal{F} :: 'f \Rightarrow 'ty$  list  $\times$  'ty  
**proof** (unfold-locales)  
 fix  $\tau$  **and**  $\mathcal{V}$  **and**  $t :: ('f, 'v)$  term **and**  $\sigma$   
**assume** is-typed-on:  $\forall x \in \text{vars-term } t.$  typed  $\mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x)$

**show** typed  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau \longleftrightarrow$  typed  $\mathcal{F} \mathcal{V} t \tau$   
**proof**(rule iffI)  
 assume typed  $\mathcal{F} \mathcal{V} t \tau$   
 then **show** typed  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau$   
 using is-typed-on  
 by(induction rule: typed.induct)(auto simp: typed.Fun)

**next**  
 assume typed  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau$   
 then **show** typed  $\mathcal{F} \mathcal{V} t \tau$   
 using is-typed-on  
 by(induction t)(auto simp: typed.simps)

**qed**  
**next**  
 fix  $\mathcal{V} :: ('v, 'ty)$  var-types **and**  $t :: ('f, 'v)$  term **and**  $\sigma \tau$   
**assume** is-welltyped-on:  $\forall x \in \text{vars-term } t.$  welltyped  $\mathcal{F} \mathcal{V} (\sigma x) (\mathcal{V} x)$

**show** welltyped  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau \longleftrightarrow$  welltyped  $\mathcal{F} \mathcal{V} t \tau$   
**proof**(rule iffI)  
 assume welltyped  $\mathcal{F} \mathcal{V} t \tau$   
 then **show** welltyped  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau$   
 using is-welltyped-on  
 by(induction rule: welltyped.induct)  
 (auto simp: list.rel-mono-strong list-all2-map1 welltyped.simps)

**next**  
 assume welltyped  $\mathcal{F} \mathcal{V} (t \cdot \sigma) \tau$   
 then **show** welltyped  $\mathcal{F} \mathcal{V} t \tau$   
 using is-welltyped-on  
**proof**(induction t  $\cdot$   $\sigma \tau$  arbitrary: t rule: welltyped.induct)  
 case (Var x  $\tau$ )

**then obtain**  $x'$  **where** t:  $t = \text{Var } x'$   
 by (metis subst-apply-eq-Var)

**have** welltyped  $\mathcal{F} \mathcal{V} t (\mathcal{V} x')$   
**unfolding** t  
 by (simp add: welltyped.Var)

```

moreover have welltyped  $\mathcal{F} \mathcal{V} t (\mathcal{V} x)$ 
  using Var
  unfolding t
  by (simp add: welltyped.simps)

ultimately have  $\mathcal{V}\text{-}x' : \tau = \mathcal{V} x'$ 
  using Var.hyps
  by (simp add: t welltyped.simps)

show ?case
  unfolding t  $\mathcal{V}\text{-}x'$ 
  by (simp add: welltyped.Var)
next
  case (Fun f  $\tau s \tau ts$ )
    then show ?case
      by (cases t) (simp-all add: list.rel-mono-strong list-all2-map1 welltyped.simps)
qed
qed
qed

```

Examples of generated lemmas and definitions

**thm**

- term.welltyped.right-unique*
- term.welltyped.explicit-subst-stability*
- term.welltyped.subst-stability*
- term.welltyped.subst-update*

- term.typed.right-unique*
- term.typed.explicit-subst-stability*
- term.typed.subst-stability*
- term.typed.subst-update*

- term.is-welltyped-on-subset*
- term.is-typed-on-subset*
- term.is-welltyped-id-subst*
- term.is-typed-id-subst*

- term** *term.is-welltyped*
- term** *term.subst.is-welltyped-on*
- term** *term.subst.is-welltyped*
- term** *term.is-typed*
- term** *term.subst.is-typed-on*
- term** *term.subst.is-typed*

**end**

**theory** *Typed-Functional-Substitution-Lifting-Example*  
**imports**

*Functional-Substitution-Typing-Lifting*  
*Typed-Functional-Substitution-Lifting*  
*Typed-Functional-Substitution-Example*  
*Abstract-Substitution.Functional-Substitution-Lifting-Example*

**begin**

All property locales have corresponding lifting locales

**locale** *nonground-uniform-typing-lifting* =  
*functional-substitution-uniform-typing-lifting* **where**  
*base-typed* = *typed*  $\mathcal{F}$  **and** *base-welltyped* = *welltyped*  $\mathcal{F}$  +

*is-typed*: *uniform-typed-subst-stability-lifting* **where**  
*base-typed* = *typed*  $\mathcal{F}$  +

*is-welltyped*: *uniform-typed-subst-stability-lifting* **where**  
*base-typed* = *welltyped*  $\mathcal{F}$   
**for**  $\mathcal{F} :: ('f, 'ty)$  *fun-types*

**locale** *nonground-typing-lifting* =  
*functional-substitution-typing-lifting* **where**  
*base-typed* = *typed*  $\mathcal{F}$  **and** *base-welltyped* = *welltyped*  $\mathcal{F}$  +

*is-typed*: *typed-subst-stability-lifting* **where** *base-typed* = *typed*  $\mathcal{F}$  +

*is-welltyped*: *typed-subst-stability-lifting* **where**  
*sub-is-typed* = *sub-is-welltyped* **and** *base-typed* = *welltyped*  $\mathcal{F}$   
**for**  $\mathcal{F} :: ('f, 'ty)$  *fun-types*

**locale** *example-typing-lifting* =  
*fixes*  $\mathcal{F} :: ('f, 'ty)$  *fun-types*  
**begin**

**sublocale** *equation*:  
*uniform-typing-lifting* **where**  
*sub-typed* = *typed*  $\mathcal{F} \mathcal{V}$  **and** *sub-welltyped* = *welltyped*  $\mathcal{F} \mathcal{V}$  **and**  
*to-set* = *set-prod*  
**by** *unfold-locales*

**sublocale** *equation*:  
*nonground-uniform-typing-lifting* **where**  
*base-vars* = *vars-term* **and** *base-subst* = *subst-apply-term* **and** *map* =  $\lambda f. map\text{-prod}$   
 $f f$  **and**  
*to-set* = *set-prod* **and** *comp-subst* = *subst-compose* **and** *id-subst* = *Var*  
**by** *unfold-locales*

Lifted lemmas and definitions

**thm**

*equation.is-welltyped-def*

```
equation.is-typed-def
```

```
equation.is-welltyped.subst-stability  
equation.is-typed.subst-stability  
equation.is-typed-if-is-welltyped
```

We can lift multiple levels

```
sublocale equation-set:  
  typing-lifting where  
    sub-is-typed = equation.is-typed V and sub-is-welltyped = equation.is-welltyped  
    V and  
    to-set = fset  
    by unfold-locales  
  
sublocale equation-set:  
  nonground-typing-lifting where  
    base-vars = vars-term and base-subst = subst-apply-term and map = fimage  
    and  
    to-set = fset and comp-subst = subst-compose and id-subst = Var and  
    sub-vars = equation-subst.vars and sub-subst = equation-subst.subst and  
    sub-is-welltyped = equation.is-welltyped and sub-is-typed = equation.is-typed  
    by unfold-locales
```

Lifted lemmas and definitions

```
thm  
  equation-set.is-welltyped-def  
  equation-set.is-typed-def  
  
  equation-set.is-welltyped.subst-stability  
  equation-set.is-typed.subst-stability  
  equation-set.is-typed-if-is-welltyped
```

end

Interpretation with Unit-Typing

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global-interpretation example-typing-lifting λ-. ([]), ()).
```

end