

A Formalization of the First Order Theory of Rewriting (FORT) *

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Abstract

The first-order theory of rewriting (FORT) is a decidable theory for linear variable-separated rewrite systems. The decision procedure is based on tree automata technique and an inference system presented in [4]. This AFP entry provides a formalization of the underlying decision procedure. Moreover it allows to generate a function that can verify each inference step via the code generation facility of Isabelle/HOL.

Additionally it contains the specification of a certificate language (that allows to state proofs in FORT) and a formalized function that allows to verify the validity of the proof. This gives software tool authors, that implement the decision procedure, the possibility to verify their output.

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1 Introduction

The first-order theory of rewriting (FORT) is a fragment of first-order predicate logic with predefined predicates. The language allows to state many interesting properties of term rewrite systems and is decidable for left-linear right-ground systems. This was proven by Dauchet and Tison [2].

In this AFP entry we provide a formalized proof of an improved decision procedure for the first-order theory of rewriting. We introduce basic definitions to represent the rewrite semantics and connect FORT to first-order logic via the AFP entry "First-Order Logic According to Fitting" by Stefan Berghofer [1]. To prove the decidability and more importantly to allow code generation a relation between formulas in FORT and regular tree language is constructed. The tree language contains all witnesses of free variables satisfying the formula, details can be found in [3].

Moreover we present a certificate language which is rich enough to express the various automata operations in decision procedures for the first-order theory of rewriting as well as numerous predicate symbols that may appear in formulas in this theory, for more details see [4].

```
theory Utils
  imports Regular-Tree-Relations.Term-Context
         Regular-Tree-Relations.FSet-Utils
begin
```

1.1 Misc

definition *funas-trs* $\mathcal{R} = \bigcup ((\lambda (s, t). \text{funas-term } s \cup \text{funas-term } t) \text{ ` } \mathcal{R})$

fun *linear-term* :: ('f, 'v) term ⇒ bool **where**
linear-term (Var -) = True |
linear-term (Fun - ts) = (is-partition (map vars-term ts) ∧ (∀ t ∈ set ts. linear-term t))

fun *vars-term-list* :: ('f, 'v) term ⇒ 'v list **where**
vars-term-list (Var x) = [x] |
vars-term-list (Fun - ts) = concat (map vars-term-list ts)

fun *varposs* :: ('f, 'v) term ⇒ pos set **where**
varposs (Var x) = {[]} |
varposs (Fun f ts) = (⋃ i < length ts. {i # p | p. p ∈ varposs (ts ! i)})

abbreviation *poss-args* f ts ≡ map2 (λ i t. map ((#) i) (f t)) ([0 ..< length ts]) ts

fun *varposs-list* :: ('f, 'v) term ⇒ pos list **where**
varposs-list (Var x) = [[]] |
varposs-list (Fun f ts) = concat (poss-args varposs-list ts)

fun *concat-index-split* **where**
concat-index-split (o-idx, i-idx) (x # xs) =
 (if i-idx < length x
 then (o-idx, i-idx)
 else *concat-index-split* (Suc o-idx, i-idx - length x) xs)

inductive-set *trancl-list* for \mathcal{R} **where**
base[intro, Pure.intro] : length xs = length ys ⇒
 (∀ i < length ys. (xs ! i, ys ! i) ∈ \mathcal{R}) ⇒ (xs, ys) ∈ *trancl-list* \mathcal{R}
| *list-trancl* [Pure.intro]: (xs, ys) ∈ *trancl-list* \mathcal{R} ⇒ i < length ys ⇒ (ys ! i, z) ∈ \mathcal{R} ⇒
 (xs, ys[i := z]) ∈ *trancl-list* \mathcal{R}

lemma *sorted-append-bigger*:
sorted xs ⇒ ∀ x ∈ set xs. x ≤ y ⇒ *sorted* (xs @ [y])
⟨proof⟩

lemma *find-SomeD*:
List.find P xs = Some x ⇒ P x
List.find P xs = Some x ⇒ x ∈ set xs
⟨proof⟩

lemma *sum-list-replicate-length'* [simp]:
sum-list (replicate n (Suc 0)) = n
⟨proof⟩

lemma *arg-subteq* [simp]:
assumes t ∈ set ts **shows** Fun f ts ≥ t

<proof>

lemma *finite-funas-term*: *finite (funas-term s)*
<proof>

lemma *finite-funas-trs*:
finite $\mathcal{R} \implies \text{finite (funas-trs } \mathcal{R})$
<proof>

fun *subterms where*
subterms (Var x) = {Var x}|
subterms (Fun f ts) = {Fun f ts} \cup (\bigcup (subterms ‘ set ts))

lemma *finite-subterms-fun*: *finite (subterms s)*
<proof>

lemma *subterms-supteq-conv*: *$t \in \text{subterms } s \iff s \supseteq t$*
<proof>

lemma *set-all-subteq-subterms*:
subterms s = {t. s \supseteq t}
<proof>

lemma *finite-subterms*: *finite {t. s \supseteq t}*
<proof>

lemma *finite-strict-subterms*: *finite {t. s \supset t}*
<proof>

lemma *finite-UN-I2*:
finite A \implies ($\forall B \in A. \text{finite } B) \implies \text{finite } (\bigcup A)$
<proof>

lemma *root-substerns-funas-term*:
the ‘ (root ‘ (subterms s) – {None}) = funas-term s (is ?Ls = ?Rs)
<proof>

lemma *root-substerns-funas-term-set*:
the ‘ (root ‘ (\bigcup (subterms ‘ R) – {None}) = \bigcup (funas-term ‘ R)
<proof>

lemma *subst-merge*:
assumes *part*: *is-partition (map vars-term ts)*
shows $\exists \sigma. \forall i < \text{length } ts. \forall x \in \text{vars-term } (ts ! i). \sigma x = \tau i x$
<proof>

lemma *rel-comp-empty-trancl-simp*: *$R \circ R = \{\} \implies R^+ = R$*

$\langle proof \rangle$

lemma *choice-nat*:

assumes $\forall i < n. \exists x. P x i$

shows $\exists f. \forall x < n. P (f x) x$ $\langle proof \rangle$

lemma *subsetq-set-conv-nth*:

$(\forall i < \text{length } ss. ss ! i \in T) \longleftrightarrow \text{set } ss \subseteq T$

$\langle proof \rangle$

lemma *singleton-trancl [simp]*: $\{a\}^+ = \{a\}$

$\langle proof \rangle$

context

includes *fset.lifting*

begin

lemmas *frelcomp-empty-ftrancl-simp = rel-comp-empty-trancl-simp* [*Transfer.transferred*]

lemmas *in-fset-idx = in-set-idx* [*Transfer.transferred*]

lemmas *fsubsetq-fset-conv-nth = subsetq-set-conv-nth* [*Transfer.transferred*]

lemmas *singleton-ftrancl [simp] = singleton-trancl* [*Transfer.transferred*]

end

lemma *set-take-nth*:

assumes $x \in \text{set } (take\ i\ xs)$

shows $\exists j < \text{length } xs. j < i \wedge xs ! j = x$ $\langle proof \rangle$

lemma *nth-sum-listI*:

assumes $\text{length } xs = \text{length } ys$

and $\forall i < \text{length } xs. xs ! i = ys ! i$

shows $\text{sum-list } xs = \text{sum-list } ys$

$\langle proof \rangle$

lemma *concat-nth-length*:

$i < \text{length } uss \implies j < \text{length } (uss ! i) \implies$

$\text{sum-list } (\text{map } \text{length } (\text{take } i\ uss)) + j < \text{length } (\text{concat } uss)$

$\langle proof \rangle$

lemma *sum-list-1-E [elim]*:

assumes $\text{sum-list } xs = \text{Suc } 0$

obtains i **where** $i < \text{length } xs$ $xs ! i = \text{Suc } 0$ $\forall j < \text{length } xs. j \neq i \implies xs ! j = 0$

$\langle proof \rangle$

lemma *nth-equalityE*:

$xs = ys \implies (\text{length } xs = \text{length } ys \implies (\bigwedge i. i < \text{length } xs \implies xs ! i = ys ! i) \implies P) \implies P$

$\langle proof \rangle$

lemma *map-cons-presv-distinct*:

$distinct\ t \implies distinct\ (map\ ((\#)\ i)\ t)$
<proof>

lemma *concat-nth-nthI*:

assumes $length\ ss = length\ ts \ \forall\ i < length\ ts. length\ (ss\ !\ i) = length\ (ts\ !\ i)$
and $\forall\ i < length\ ts. \forall\ j < length\ (ts\ !\ i). P\ (ss\ !\ i\ !\ j)\ (ts\ !\ i\ !\ j)$
shows $\forall\ i < length\ (concat\ ts). P\ (concat\ ss\ !\ i)\ (concat\ ts\ !\ i)$
<proof>

lemma *last-nthI*:

assumes $i < length\ ts \ \neg\ i < length\ ts - Suc\ 0$
shows $ts\ !\ i = last\ ts$ *<proof>*

lemma *trancl-list-appendI* [*simp, intro*]:

$(xs, ys) \in trancl\ list\ \mathcal{R} \implies (x, y) \in \mathcal{R} \implies (x\ \#\ xs, y\ \#\ ys) \in trancl\ list\ \mathcal{R}$
<proof>

lemma *trancl-list-append-tranclI* [*intro*]:

$(x, y) \in \mathcal{R}^+ \implies (xs, ys) \in trancl\ list\ \mathcal{R} \implies (x\ \#\ xs, y\ \#\ ys) \in trancl\ list\ \mathcal{R}$
<proof>

lemma *trancl-list-conv*:

$(xs, ys) \in trancl\ list\ \mathcal{R} \iff length\ xs = length\ ys \wedge (\forall\ i < length\ ys. (xs\ !\ i, ys\ !\ i) \in \mathcal{R}^+)$ (**is** $?Ls \iff ?Rs$)
<proof>

lemma *trancl-list-induct* [*consumes 2, case-names base step*]:

assumes $length\ ss = length\ ts \ \forall\ i < length\ ts. (ss\ !\ i, ts\ !\ i) \in \mathcal{R}^+$
and $\bigwedge xs\ ys. length\ xs = length\ ys \implies \forall\ i < length\ ys. (xs\ !\ i, ys\ !\ i) \in \mathcal{R} \implies P\ xs\ ys$
and $\bigwedge xs\ ys\ i\ z. length\ xs = length\ ys \implies \forall\ i < length\ ys. (xs\ !\ i, ys\ !\ i) \in \mathcal{R}^+ \implies P\ xs\ ys$
 $\implies i < length\ ys \implies (ys\ !\ i, z) \in \mathcal{R} \implies P\ xs\ (ys[i := z])$
shows $P\ ss\ ts$ *<proof>*

lemma *swap-trancl*:

$(prod.swap\ 'R)^+ = prod.swap\ '(R^+)$
<proof>

lemma *swap-rtrancl*:

$(prod.swap\ 'R)^* = prod.swap\ '(R^*)$
<proof>

lemma *Restr-simps*:

$$\begin{aligned}
R \subseteq X \times X &\implies \text{Restr } (R^+) X = R^+ \\
R \subseteq X \times X &\implies \text{Restr Id } X O R = R \\
R \subseteq X \times X &\implies R O \text{Restr Id } X = R \\
R \subseteq X \times X &\implies S \subseteq X \times X \implies \text{Restr } (R O S) X = R O S \\
R \subseteq X \times X &\implies R^+ \subseteq X \times X \\
&\langle \text{proof} \rangle
\end{aligned}$$

lemma *Restr-tracl-comp-simps*:

$$\begin{aligned}
\mathcal{R} \subseteq X \times X &\implies \mathcal{L} \subseteq X \times X \implies \mathcal{L}^+ O \mathcal{R} \subseteq X \times X \\
\mathcal{R} \subseteq X \times X &\implies \mathcal{L} \subseteq X \times X \implies \mathcal{L} O \mathcal{R}^+ \subseteq X \times X \\
\mathcal{R} \subseteq X \times X &\implies \mathcal{L} \subseteq X \times X \implies \mathcal{L}^+ O \mathcal{R} O \mathcal{L}^+ \subseteq X \times X \\
&\langle \text{proof} \rangle
\end{aligned}$$

Conversions of the Nth function between lists and a splitting of the list into lists of lists

lemma *concat-index-split-mono-first-arg*:

$$i < \text{length } (\text{concat } xs) \implies o\text{-idx} \leq \text{fst } (\text{concat-index-split } (o\text{-idx}, i) xs)$$

<proof>

lemma *concat-index-split-sound-fst-arg-aux*:

$$i < \text{length } (\text{concat } xs) \implies \text{fst } (\text{concat-index-split } (o\text{-idx}, i) xs) < \text{length } xs + o\text{-idx}$$

<proof>

lemma *concat-index-split-sound-fst-arg*:

$$i < \text{length } (\text{concat } xs) \implies \text{fst } (\text{concat-index-split } (0, i) xs) < \text{length } xs$$

<proof>

lemma *concat-index-split-sound-snd-arg-aux*:

assumes $i < \text{length } (\text{concat } xs)$
shows $\text{snd } (\text{concat-index-split } (n, i) xs) < \text{length } (xs ! (\text{fst } (\text{concat-index-split } (n, i) xs) - n))$ *<proof>*

lemma *concat-index-split-sound-snd-arg*:

assumes $i < \text{length } (\text{concat } xs)$
shows $\text{snd } (\text{concat-index-split } (0, i) xs) < \text{length } (xs ! \text{fst } (\text{concat-index-split } (0, i) xs))$
<proof>

lemma *reconstr-1d-concat-index-split*:

assumes $i < \text{length } (\text{concat } xs)$
shows $i = (\lambda (m, j). \text{sum-list } (\text{map length } (\text{take } (m - n) xs)) + j) (\text{concat-index-split } (n, i) xs)$ *<proof>*

lemma *concat-index-split-larger-lists [simp]*:

assumes $i < \text{length } (\text{concat } xs)$
shows $\text{concat-index-split } (n, i) (xs @ ys) = \text{concat-index-split } (n, i) xs$ *<proof>*

lemma *concat-index-split-split-sound-aux*:

assumes $i < \text{length} (\text{concat } xs)$
shows $\text{concat } xs ! i = (\lambda (k, j). xs ! (k - n) ! j) (\text{concat-index-split } (n, i) xs)$
 $\langle \text{proof} \rangle$

lemma *concat-index-split-sound*:
assumes $i < \text{length} (\text{concat } xs)$
shows $\text{concat } xs ! i = (\lambda (k, j). xs ! k ! j) (\text{concat-index-split } (0, i) xs)$
 $\langle \text{proof} \rangle$

lemma *concat-index-split-sound-bounds*:
assumes $i < \text{length} (\text{concat } xs)$ **and** $\text{concat-index-split } (0, i) xs = (m, n)$
shows $m < \text{length } xs$ $n < \text{length } (xs ! m)$
 $\langle \text{proof} \rangle$

lemma *concat-index-split-less-length-concat*:
assumes $i < \text{length} (\text{concat } xs)$ **and** $\text{concat-index-split } (0, i) xs = (m, n)$
shows $i = \text{sum-list } (\text{map length } (\text{take } m \ xs)) + n$ $m < \text{length } xs$ $n < \text{length } (xs ! m)$
 $\text{concat } xs ! i = xs ! m ! n$
 $\langle \text{proof} \rangle$

lemma *nth-concat-split'*:
assumes $i < \text{length} (\text{concat } xs)$
obtains $j \ k$ **where** $j < \text{length } xs$ $k < \text{length } (xs ! j)$ $\text{concat } xs ! i = xs ! j ! k$ $i = \text{sum-list } (\text{map length } (\text{take } j \ xs)) + k$
 $\langle \text{proof} \rangle$

lemma *sum-list-split* [*dest!*, *consumes* l]:
assumes $\text{sum-list } (\text{map length } (\text{take } i \ xs)) + j = \text{sum-list } (\text{map length } (\text{take } k \ xs)) + l$
and $i < \text{length } xs$ $k < \text{length } xs$
and $j < \text{length } (xs ! i)$ $l < \text{length } (xs ! k)$
shows $i = k \wedge j = l$ $\langle \text{proof} \rangle$

lemma *concat-index-split-unique*:
assumes $i < \text{length} (\text{concat } xs)$ **and** $\text{length } xs = \text{length } ys$
and $\forall i < \text{length } xs. \text{length } (xs ! i) = \text{length } (ys ! i)$
shows $\text{concat-index-split } (n, i) xs = \text{concat-index-split } (n, i) ys$ $\langle \text{proof} \rangle$

lemma *set-vars-term-list* [*simp*]:
 $\text{set } (\text{vars-term-list } t) = \text{vars-term } t$
 $\langle \text{proof} \rangle$

lemma *vars-term-list-empty-ground* [*simp*]:
 $\text{vars-term-list } t = [] \iff \text{ground } t$
 $\langle \text{proof} \rangle$

lemma *varposs-imp-poss*:
assumes $p \in \text{varposs } t$

shows $p \in \text{poss } t$
 $\langle \text{proof} \rangle$

lemma *varposs-list-fun*:
assumes $p \in \text{set } (\text{varposs-list } (\text{Fun } f \text{ } ts))$
obtains $i \text{ } ps$ **where** $i < \text{length } ts$ $p = i \# ps$
 $\langle \text{proof} \rangle$

lemma *varposs-list-distinct*:
 $\text{distinct } (\text{varposs-list } t)$
 $\langle \text{proof} \rangle$

lemma *varposs-append*:
 $\text{varposs } (\text{Fun } f \text{ } (ts @ [t])) = \text{varposs } (\text{Fun } f \text{ } ts) \cup ((\#) (\text{length } ts)) \text{ ` } \text{varposs } t$
 $\langle \text{proof} \rangle$

lemma *varposs-eq-varposs-list*:
 $\text{set } (\text{varposs-list } t) = \text{varposs } t$
 $\langle \text{proof} \rangle$

lemma *varposs-list-var-terms-length*:
 $\text{length } (\text{varposs-list } t) = \text{length } (\text{vars-term-list } t)$
 $\langle \text{proof} \rangle$

lemma *vars-term-list-nth*:
assumes $i < \text{length } (\text{vars-term-list } (\text{Fun } f \text{ } ts))$
and $\text{concat-index-split } (0, i) (\text{map } \text{vars-term-list } ts) = (k, j)$
shows $k < \text{length } ts \wedge j < \text{length } (\text{vars-term-list } (ts ! k)) \wedge$
 $\text{vars-term-list } (\text{Fun } f \text{ } ts) ! i = \text{map } \text{vars-term-list } ts ! k ! j \wedge$
 $i = \text{sum-list } (\text{map } \text{length } (\text{map } \text{vars-term-list } (\text{take } k \text{ } ts))) + j$
 $\langle \text{proof} \rangle$

lemma *varposs-list-nth*:
assumes $i < \text{length } (\text{varposs-list } (\text{Fun } f \text{ } ts))$
and $\text{concat-index-split } (0, i) (\text{poss-args } \text{varposs-list } ts) = (k, j)$
shows $k < \text{length } ts \wedge j < \text{length } (\text{varposs-list } (ts ! k)) \wedge$
 $\text{varposs-list } (\text{Fun } f \text{ } ts) ! i = k \# (\text{map } \text{varposs-list } ts) ! k ! j \wedge$
 $i = \text{sum-list } (\text{map } \text{length } (\text{map } \text{varposs-list } (\text{take } k \text{ } ts))) + j$
 $\langle \text{proof} \rangle$

lemma *varposs-list-to-var-term-list*:
assumes $i < \text{length } (\text{varposs-list } t)$
shows $\text{the-Var } (t \text{ |- } (\text{varposs-list } t ! i)) = (\text{vars-term-list } t) ! i$ $\langle \text{proof} \rangle$

end

2 Preliminaries

2.1 Multihole Contexts

```
theory Multihole-Context
imports
  Utils
begin
```

```
unbundle lattice-syntax
```

2.1.1 Partitioning lists into chunks of given length

```
lemma concat-nth:
```

```
  assumes  $m < \text{length } xs$  and  $n < \text{length } (xs ! m)$ 
    and  $i = \text{sum-list } (\text{map } \text{length } (\text{take } m \text{ } xs)) + n$ 
  shows  $\text{concat } xs ! i = xs ! m ! n$ 
  <proof>
```

```
lemma sum-list-take-eq:
```

```
  fixes  $xs :: \text{nat list}$ 
  shows  $k < i \implies i < \text{length } xs \implies \text{sum-list } (\text{take } i \text{ } xs) =$ 
     $\text{sum-list } (\text{take } k \text{ } xs) + xs ! k + \text{sum-list } (\text{take } (i - \text{Suc } k) \text{ } (\text{drop } (\text{Suc } k) \text{ } xs))$ 
  <proof>
```

```
fun partition-by where
```

```
  partition-by  $xs [] = []$  |
  partition-by  $xs (y\#ys) = \text{take } y \text{ } xs \# \text{partition-by } (\text{drop } y \text{ } xs) \text{ } ys$ 
```

```
lemma partition-by-map0-append [simp]:
```

```
  partition-by  $xs (\text{map } (\lambda x. 0) \text{ } ys @ zs) = \text{replicate } (\text{length } ys) [] @ \text{partition-by } xs$ 
   $zs$ 
  <proof>
```

```
lemma concat-partition-by [simp]:
```

```
   $\text{sum-list } ys = \text{length } xs \implies \text{concat } (\text{partition-by } xs \text{ } ys) = xs$ 
  <proof>
```

```
definition partition-by-idx where
```

```
  partition-by-idx  $l \text{ } ys \text{ } i \text{ } j = \text{partition-by } [0..<l] \text{ } ys ! i ! j$ 
```

```
lemma partition-by-nth-nth-old:
```

```
  assumes  $i < \text{length } (\text{partition-by } xs \text{ } ys)$ 
    and  $j < \text{length } (\text{partition-by } xs \text{ } ys ! i)$ 
    and  $\text{sum-list } ys = \text{length } xs$ 
  shows  $\text{partition-by } xs \text{ } ys ! i ! j = xs ! (\text{sum-list } (\text{map } \text{length } (\text{take } i \text{ } (\text{partition-by } xs \text{ } ys)))) + j$ 
  <proof>
```

```
lemma map-map-partition-by:
```

$\text{map } (\text{map } f) (\text{partition-by } xs \ ys) = \text{partition-by } (\text{map } f \ xs) \ ys$
(proof)

lemma *length-partition-by* [simp]:
 $\text{length } (\text{partition-by } xs \ ys) = \text{length } ys$
(proof)

lemma *partition-by-Nil* [simp]:
 $\text{partition-by } [] \ ys = \text{replicate } (\text{length } ys) \ []$
(proof)

lemma *partition-by-concat-id* [simp]:
assumes $\text{length } xss = \text{length } ys$
and $\bigwedge i. i < \text{length } ys \implies \text{length } (xss \ ! \ i) = ys \ ! \ i$
shows $\text{partition-by } (\text{concat } xss) \ ys = xss$
(proof)

lemma *partition-by-nth*:
 $i < \text{length } ys \implies \text{partition-by } xs \ ys \ ! \ i = \text{take } (ys \ ! \ i) \ (\text{drop } (\text{sum-list } (\text{take } i \ ys)) \ xs)$
(proof)

lemma *partition-by-nth-less*:
assumes $k < i$ and $i < \text{length } zs$
and $\text{length } xs = \text{sum-list } (\text{take } i \ zs) + j$
shows $\text{partition-by } (xs \ @ \ y \ \# \ ys) \ zs \ ! \ k = \text{take } (zs \ ! \ k) \ (\text{drop } (\text{sum-list } (\text{take } k \ zs)) \ xs)$
(proof)

lemma *partition-by-nth-greater*:
assumes $i < k$ and $k < \text{length } zs$ and $j < zs \ ! \ i$
and $\text{length } xs = \text{sum-list } (\text{take } i \ zs) + j$
shows $\text{partition-by } (xs \ @ \ y \ \# \ ys) \ zs \ ! \ k = \text{take } (zs \ ! \ k) \ (\text{drop } (\text{sum-list } (\text{take } k \ zs) - 1) \ (xs \ @ \ ys))$
(proof)

lemma *length-partition-by-nth*:
 $\text{sum-list } ys = \text{length } xs \implies i < \text{length } ys \implies \text{length } (\text{partition-by } xs \ ys \ ! \ i) = ys \ ! \ i$
(proof)

lemma *partition-by-nth-nth-elem*:
assumes $\text{sum-list } ys = \text{length } xs$ $i < \text{length } ys$ $j < ys \ ! \ i$
shows $\text{partition-by } xs \ ys \ ! \ i \ ! \ j \in \text{set } xs$
(proof)

lemma *partition-by-nth-nth*:
assumes $\text{sum-list } ys = \text{length } xs$ $i < \text{length } ys$ $j < ys \ ! \ i$
shows $\text{partition-by } xs \ ys \ ! \ i \ ! \ j = xs \ ! \ \text{partition-by-idx } (\text{length } xs) \ ys \ i \ j$

partition-by-idx (*length xs*) *ys* *i* *j* < *length xs*
 ⟨*proof*⟩

lemma *map-length-partition-by* [*simp*]:
 $sum-list\ ys = length\ xs \implies map\ length\ (partition-by\ xs\ ys) = ys$
 ⟨*proof*⟩

lemma *map-partition-by-nth* [*simp*]:
 $i < length\ ys \implies map\ f\ (partition-by\ xs\ ys\ !\ i) = partition-by\ (map\ f\ xs)\ ys\ !\ i$
 ⟨*proof*⟩

lemma *sum-list-partition-by* [*simp*]:
 $sum-list\ ys = length\ xs \implies$
 $sum-list\ (map\ (\lambda x. sum-list\ (map\ f\ x))\ (partition-by\ xs\ ys)) = sum-list\ (map\ f\ xs)$
 ⟨*proof*⟩

lemma *partition-by-map-conv*:
 $partition-by\ xs\ ys = map\ (\lambda i. take\ (ys\ !\ i)\ (drop\ (sum-list\ (take\ i\ ys))\ xs))\ [0..<length\ ys]$
 ⟨*proof*⟩

lemma *UN-set-partition-by-map*:
 $sum-list\ ys = length\ xs \implies (\bigcup_{x \in set\ (partition-by\ (map\ f\ xs)\ ys)} set\ x) = \bigcup_{x \in set\ (map\ f\ xs)} set\ x$
 ⟨*proof*⟩

lemma *UN-set-partition-by*:
 $sum-list\ ys = length\ xs \implies (\bigcup_{zs \in set\ (partition-by\ xs\ ys)} \bigcup_{x \in set\ zs} f\ x) = \bigcup_{x \in set\ xs} f\ x$
 ⟨*proof*⟩

lemma *Ball-atLeast0LessThan-partition-by-conv*:
 $(\forall i \in \{0..<length\ ys\}. \forall x \in set\ (partition-by\ xs\ ys\ !\ i). P\ x) = (\forall x \in \bigcup_{i \in \{0..<length\ ys\}} set\ (partition-by\ xs\ ys\ !\ i). P\ x)$
 ⟨*proof*⟩

lemma *Ball-set-partition-by*:
 $sum-list\ ys = length\ xs \implies (\forall x \in set\ (partition-by\ xs\ ys). \forall y \in set\ x. P\ y) = (\forall x \in set\ xs. P\ x)$
 ⟨*proof*⟩

lemma *partition-by-append2*:
 $partition-by\ xs\ (ys\ @\ zs) = partition-by\ (take\ (sum-list\ ys)\ xs)\ ys\ @\ partition-by\ (drop\ (sum-list\ ys)\ xs)\ zs$
 ⟨*proof*⟩

lemma *partition-by-concat2*:
 $partition-by\ xs\ (concat\ ys) =$

```

concat (map (λi . partition-by (partition-by xs (map sum-list ys) ! i) (ys ! i))
[0..<length ys])
⟨proof⟩

```

lemma *partition-by-partition-by*:

```

length xs = sum-list (map sum-list ys) ==>
partition-by (partition-by xs (concat ys)) (map length ys) =
map (λi. partition-by (partition-by xs (map sum-list ys) ! i) (ys ! i)) [0..<length
ys]
⟨proof⟩

```

2.1.2 Multihole contexts definition and functionalities

datatype (*f*, *vars-mctxt* : *'v*) *mctxt* = *MVar 'v* | *MHole* | *MFun 'f ('f, 'v) mctxt list*

2.1.3 Conversions from and to multihole contexts

primrec *mctxt-of-term* :: (*f*, *'v*) *term* ⇒ (*f*, *'v*) *mctxt* **where**
mctxt-of-term (*Var x*) = *MVar x* |
mctxt-of-term (*Fun f ts*) = *MFun f (map mctxt-of-term ts)*

primrec *term-of-mctxt* :: (*f*, *'v*) *mctxt* ⇒ (*f*, *'v*) *term* **where**
term-of-mctxt (*MVar x*) = *Var x* |
term-of-mctxt (*MFun f Cs*) = *Fun f (map term-of-mctxt Cs)*

fun *num-holes* :: (*f*, *'v*) *mctxt* ⇒ *nat* **where**
num-holes (*MVar -*) = 0 |
num-holes *MHole* = 1 |
num-holes (*MFun - ctxts*) = *sum-list (map num-holes ctxts)*

fun *ground-mctxt* :: (*f*, *'v*) *mctxt* ⇒ *bool* **where**
ground-mctxt (*MVar -*) = *False* |
ground-mctxt *MHole* = *True* |
ground-mctxt (*MFun f Cs*) = *Ball (set Cs) ground-mctxt*

fun *map-mctxt* :: (*f* ⇒ *'g*) ⇒ (*f*, *'v*) *mctxt* ⇒ (*'g*, *'v*) *mctxt*
where
map-mctxt - (*MVar x*) = (*MVar x*) |
map-mctxt - (*MHole*) = *MHole* |
map-mctxt *fg* (*MFun f Cs*) = *MFun (fg f) (map (map-mctxt fg) Cs)*

abbreviation *partition-holes xs Cs* ≡ *partition-by xs (map num-holes Cs)*

abbreviation *partition-holes-idx l Cs* ≡ *partition-by-idx l (map num-holes Cs)*

fun *fill-holes* :: (*f*, *'v*) *mctxt* ⇒ (*f*, *'v*) *term list* ⇒ (*f*, *'v*) *term* **where**
fill-holes (*MVar x*) - = *Var x* |
fill-holes *MHole* [*t*] = *t* |
fill-holes (*MFun f cs*) *ts* = *Fun f (map (λ i. fill-holes (cs ! i) (partition-holes ts cs ! i)) [0 ..< length cs])*

```

fun fill-holes-mctxt :: ('f, 'v) mctxt ⇒ ('f, 'v) mctxt list ⇒ ('f, 'v) mctxt where
  fill-holes-mctxt (MVar x) - = MVar x |
  fill-holes-mctxt MHole [] = MHole |
  fill-holes-mctxt MHole [t] = t |
  fill-holes-mctxt (MFun f cs) ts = (MFun f (map (λ i. fill-holes-mctxt (cs ! i)
    (partition-holes ts cs ! i)) [0 ..< length cs]))

```

```

fun unfill-holes :: ('f, 'v) mctxt ⇒ ('f, 'v) term ⇒ ('f, 'v) term list where
  unfill-holes MHole t = [t]
| unfill-holes (MVar w) (Var v) = (if v = w then [] else undefined)
| unfill-holes (MFun g Cs) (Fun f ts) = (if f = g ∧ length ts = length Cs then
  concat (map (λi. unfill-holes (Cs ! i) (ts ! i)) [0..<length ts]) else undefined)

```

```

fun funas-mctxt where
  funas-mctxt (MFun f Cs) = {(f, length Cs)} ∪ ∪ (funas-mctxt ' set Cs) |
  funas-mctxt - = {}

```

```

fun split-vars :: ('f, 'v) term ⇒ (('f, 'v) mctxt × 'v list) where
  split-vars (Var x) = (MHole, [x]) |
  split-vars (Fun f ts) = (MFun f (map (fst ∘ split-vars) ts), concat (map (snd ∘
    split-vars) ts))

```

```

fun hole-poss-list :: ('f, 'v) mctxt ⇒ pos list where
  hole-poss-list (MVar x) = [] |
  hole-poss-list MHole = [[]] |
  hole-poss-list (MFun f cs) = concat (poss-args hole-poss-list cs)

```

```

fun map-vars-mctxt :: ('v ⇒ 'w) ⇒ ('f, 'v) mctxt ⇒ ('f, 'w) mctxt
where
  map-vars-mctxt vw MHole = MHole |
  map-vars-mctxt vw (MVar v) = (MVar (vw v)) |
  map-vars-mctxt vw (MFun f Cs) = MFun f (map (map-vars-mctxt vw) Cs)

```

```

inductive eq-fill :: ('f, 'v) term ⇒ ('f, 'v) mctxt × ('f, 'v) term list ⇒ bool ((-/
=ₓ -) [51, 51] 50)
where
  eqfI [intro]: t = fill-holes D ss ⇒ num-holes D = length ss ⇒ t =ₓ (D, ss)

```

2.1.4 Semilattice Structures

```

instantiation mctxt :: (type, type) inf

```

```

begin

```

```

fun inf-mctxt :: ('a, 'b) mctxt ⇒ ('a, 'b) mctxt ⇒ ('a, 'b) mctxt
where

```



```

MHole  $\sqcap$  D = MHole |
C  $\sqcap$  MHole = MHole |
MVar x  $\sqcap$  MVar y = (if x = y then MVar x else MHole) |
MFun f Cs  $\sqcap$  MFun g Ds =
  (if f = g  $\wedge$  length Cs = length Ds then MFun f (map (case-prod ( $\sqcap$ )) (zip Cs
Ds))
  else MHole) |
C  $\sqcap$  D = MHole

```

instance \langle proof \rangle

end

lemma *inf-mctxt-idem* [*simp*]:

fixes C :: ('f, 'v) mctxt

shows C \sqcap C = C

\langle proof \rangle

lemma *inf-mctxt-MHole2* [*simp*]:

C \sqcap MHole = MHole

\langle proof \rangle

lemma *inf-mctxt-comm* [*ac-simps*]:

(C :: ('f, 'v) mctxt) \sqcap D = D \sqcap C

\langle proof \rangle

lemma *inf-mctxt-assoc* [*ac-simps*]:

fixes C :: ('f, 'v) mctxt

shows C \sqcap D \sqcap E = C \sqcap (D \sqcap E)

\langle proof \rangle

instantiation mctxt :: (type, type) order

begin

definition (C :: ('a, 'b) mctxt) \leq D \iff C \sqcap D = C

definition (C :: ('a, 'b) mctxt) $<$ D \iff C \leq D \wedge \neg D \leq C

instance

\langle proof \rangle

end

inductive *less-eq-mctxt'* :: ('f, 'v) mctxt \Rightarrow ('f, 'v) mctxt \Rightarrow bool **where**

less-eq-mctxt' MHole u

| *less-eq-mctxt'* (MVar v) (MVar v)

| length cs = length ds \implies (\bigwedge i. i < length cs \implies *less-eq-mctxt'* (cs ! i) (ds ! i))

\implies *less-eq-mctxt'* (MFun f cs) (MFun f ds)

2.1.5 Lemmata

lemma *partition-holes-fill-holes-conv*:

fill-holes (*MFun* *f* *cs*) *ts* =
Fun *f* [*fill-holes* (*cs* ! *i*) (*partition-holes* *ts* *cs* ! *i*). *i* ← [0 ..< length *cs*]]
 ⟨*proof*⟩

lemma *partition-holes-fill-holes-mctxt-conv*:

fill-holes-mctxt (*MFun* *f* *Cs*) *ts* =
MFun *f* [*fill-holes-mctxt* (*Cs* ! *i*) (*partition-holes* *ts* *Cs* ! *i*). *i* ← [0 ..< length *Cs*]]
 ⟨*proof*⟩

The following induction scheme provides the *MFun* case with the list argument split according to the argument contexts. This feature is quite delicate: its benefit can be destroyed by premature simplification using the *sum-list* $?ys = \text{length } ?xs \implies \text{concat } (\text{partition-by } ?xs ?ys) = ?xs$ simplification rule.

lemma *fill-holes-induct2*[*consumes 2, case-names MHole MVar MFun*]:

fixes *P* :: ('*f*, '*v*) *mctxt* \Rightarrow '*a* list \Rightarrow '*b* list \Rightarrow bool
assumes *len1*: *num-holes* *C* = *length* *xs* **and** *len2*: *num-holes* *C* = *length* *ys*
and *Hole*: $\bigwedge x y. P \text{ MHole } [x] [y]$
and *Var*: $\bigwedge v. P (\text{MVar } v) [] []$
and *Fun*: $\bigwedge f Cs xs ys. \text{sum-list } (\text{map } \text{num-holes } Cs) = \text{length } xs \implies$
 $\text{sum-list } (\text{map } \text{num-holes } Cs) = \text{length } ys \implies$
 $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-holes } xs Cs ! i) (\text{partition-holes } ys Cs ! i)) \implies$
 $P (\text{MFun } f Cs) (\text{concat } (\text{partition-holes } xs Cs)) (\text{concat } (\text{partition-holes } ys Cs))$
shows *P* *C* *xs* *ys*
 ⟨*proof*⟩

lemma *fill-holes-induct*[*consumes 1, case-names MHole MVar MFun*]:

fixes *P* :: ('*f*, '*v*) *mctxt* \Rightarrow '*a* list \Rightarrow bool
assumes *len*: *num-holes* *C* = *length* *xs*
and *Hole*: $\bigwedge x. P \text{ MHole } [x]$
and *Var*: $\bigwedge v. P (\text{MVar } v) [] []$
and *Fun*: $\bigwedge f Cs xs. \text{sum-list } (\text{map } \text{num-holes } Cs) = \text{length } xs \implies$
 $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-holes } xs Cs ! i)) \implies$
 $P (\text{MFun } f Cs) (\text{concat } (\text{partition-holes } xs Cs))$
shows *P* *C* *xs*
 ⟨*proof*⟩

lemma *length-partition-holes-nth* [*simp*]:

assumes *sum-list* (*map* *num-holes* *cs*) = *length* *ts*
and *i* < *length* *cs*
shows *length* (*partition-holes* *ts* *cs* ! *i*) = *num-holes* (*cs* ! *i*)
 ⟨*proof*⟩

lemmas

$\text{map-partition-holes-nth } [simp] =$
 $\text{map-partition-by-nth } [of - \text{map num-holes } Cs \text{ for } Cs, \text{ unfolded length-map}]$ **and**
 $\text{length-partition-holes } [simp] =$
 $\text{length-partition-by } [of - \text{map num-holes } Cs \text{ for } Cs, \text{ unfolded length-map}]$

lemma *fill-holes-term-of-mctxt*:

$\text{num-holes } C = 0 \implies \text{fill-holes } C [] = \text{term-of-mctxt } C$
 $\langle \text{proof} \rangle$

lemma *fill-holes-MHole*:

$\text{length } ts = \text{Suc } 0 \implies ts ! 0 = u \implies \text{fill-holes } MHole \ ts = u$
 $\langle \text{proof} \rangle$

lemma *fill-holes-arbitrary*:

assumes $lCs: \text{length } Cs = \text{length } ts$
and $lss: \text{length } ss = \text{length } ts$
and $\text{rec}: \bigwedge i. i < \text{length } ts \implies \text{num-holes } (Cs ! i) = \text{length } (ss ! i) \wedge f (Cs ! i) (ss ! i) = ts ! i$
shows $\text{map } (\lambda i. f (Cs ! i) (\text{partition-holes } (\text{concat } ss) \ Cs ! i)) [0 ..< \text{length } Cs] = ts$
 $\langle \text{proof} \rangle$

lemma *fill-holes-MFun*:

assumes $lCs: \text{length } Cs = \text{length } ts$
and $lss: \text{length } ss = \text{length } ts$
and $\text{rec}: \bigwedge i. i < \text{length } ts \implies \text{num-holes } (Cs ! i) = \text{length } (ss ! i) \wedge \text{fill-holes } (Cs ! i) (ss ! i) = ts ! i$
shows $\text{fill-holes } (MFun \ f \ Cs) (\text{concat } ss) = Fun \ f \ ts$
 $\langle \text{proof} \rangle$

lemma *eqfE*:

assumes $t =_f (D, ss)$ **shows** $t = \text{fill-holes } D \ ss \ \text{num-holes } D = \text{length } ss$
 $\langle \text{proof} \rangle$

lemma *eqf-MFunE*:

assumes $s =_f (MFun \ f \ Cs, ss)$
obtains $ts \ sss$ **where** $s = Fun \ f \ ts \ \text{length } ts = \text{length } Cs \ \text{length } sss = \text{length } Cs$
 $\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, sss ! i)$
 $ss = \text{concat } sss$
 $\langle \text{proof} \rangle$

lemma *eqf-MFunI*:

assumes $\text{length } sss = \text{length } Cs$
and $\text{length } ts = \text{length } Cs$
and $\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, sss ! i)$
shows $Fun \ f \ ts =_f (MFun \ f \ Cs, \text{concat } sss)$
 $\langle \text{proof} \rangle$

lemma *split-vars-ground-vars*:

assumes *ground-mctxt* C **and** *num-holes* $C = \text{length } xs$

shows *split-vars* (*fill-holes* C (*map* *Var* xs)) = (C, xs) *<proof>*

lemma *split-vars-vars-term-list*: *snd* (*split-vars* t) = *vars-term-list* t

<proof>

lemma *split-vars-num-holes*: *num-holes* (*fst* (*split-vars* t)) = *length* (*snd* (*split-vars* t))

<proof>

lemma *ground-eq-fill*: $t =_f (C, ss) \implies \text{ground } t = (\text{ground-mctxt } C \wedge (\forall s \in \text{set } ss. \text{ground } s))$

<proof>

lemma *ground-fill-holes*:

assumes *nh*: *num-holes* $C = \text{length } ss$

shows *ground* (*fill-holes* C ss) = (*ground-mctxt* $C \wedge (\forall s \in \text{set } ss. \text{ground } s)$)

<proof>

lemma *split-vars-ground'* [*simp*]:

ground-mctxt (*fst* (*split-vars* t))

<proof>

lemma *split-vars-funas-mctxt* [*simp*]:

funas-mctxt (*fst* (*split-vars* t)) = *funas-term* t

<proof>

lemma *less-eq-mctxt-prime*: $C \leq D \iff \text{less-eq-mctxt}' C D$

<proof>

lemmas *less-eq-mctxt-induct* = *less-eq-mctxt'.induct*[*folded less-eq-mctxt-prime*, *consumes 1*]

lemmas *less-eq-mctxt-intros* = *less-eq-mctxt'.intros*[*folded less-eq-mctxt-prime*]

lemma *less-eq-mctxt-MHoleE2*:

assumes $C \leq \text{MHole}$

obtains (*MHole*) $C = \text{MHole}$

<proof>

lemma *less-eq-mctxt-MVarE2*:

assumes $C \leq \text{MVar } v$

obtains (*MHole*) $C = \text{MHole} \mid (\text{MVar}) C = \text{MVar } v$

<proof>

lemma *less-eq-mctxt-MFunE2*:

assumes $C \leq MFun\ f\ ds$
obtains $(MHole)\ C = MHole$
 $\quad | (MFun)\ cs$ **where** $C = MFun\ f\ cs$ $length\ cs = length\ ds \wedge i. i < length\ cs \implies$
 $cs\ !\ i \leq ds\ !\ i$
 $\langle proof \rangle$

lemmas $less-eq-mctxtE2 = less-eq-mctxt-MHoleE2\ less-eq-mctxt-MVarE2\ less-eq-mctxt-MFunE2$

lemma $less-eq-mctxt-MVarE1$:
assumes $MVar\ v \leq D$
obtains $(MVar)\ D = MVar\ v$
 $\langle proof \rangle$

lemma $MHole-Bot$ $[simp]$: $MHole \leq D$
 $\langle proof \rangle$

lemma $less-eq-mctxt-MFunE1$:
assumes $MFun\ f\ cs \leq D$
obtains $(MFun)\ ds$ **where** $D = MFun\ f\ ds$ $length\ cs = length\ ds \wedge i. i < length$
 $cs \implies cs\ !\ i \leq ds\ !\ i$
 $\langle proof \rangle$

lemma $length-unfill-holes$ $[simp]$:
assumes $C \leq mctxt-of-term\ t$
shows $length\ (unfill-holes\ C\ t) = num-holes\ C$
 $\langle proof \rangle$

lemma $map-vars-mctxt-id$ $[simp]$:
 $map-vars-mctxt\ (\lambda\ x.\ x)\ C = C$
 $\langle proof \rangle$

lemma $split-vars-egf-subst-map-vars-term$:
 $t \cdot \sigma =_f (map-vars-mctxt\ vw\ (fst\ (split-vars\ t)),\ map\ \sigma\ (snd\ (split-vars\ t)))$
 $\langle proof \rangle$

lemma $split-vars-egf-subst$: $t \cdot \sigma =_f (fst\ (split-vars\ t),\ (map\ \sigma\ (snd\ (split-vars\ t))))$
 $\langle proof \rangle$

lemma $split-vars-fill-holes$:
assumes $C = fst\ (split-vars\ s)$ **and** $ss = map\ Var\ (snd\ (split-vars\ s))$
shows $fill-holes\ C\ ss = s$ $\langle proof \rangle$

lemma $fill-unfill-holes$:
assumes $C \leq mctxt-of-term\ t$
shows $fill-holes\ C\ (unfill-holes\ C\ t) = t$

$\langle \text{proof} \rangle$

lemma *hole-poss-list-length*:

$\text{length } (\text{hole-poss-list } D) = \text{num-holes } D$

$\langle \text{proof} \rangle$

lemma *unfill-holes-hole-poss-list-length*:

assumes $C \leq \text{mctxt-of-term } t$

shows $\text{length } (\text{unfill-holes } C t) = \text{length } (\text{hole-poss-list } C) \langle \text{proof} \rangle$

lemma *unfill-holes-to-subst-at-hole-poss*:

assumes $C \leq \text{mctxt-of-term } t$

shows $\text{unfill-holes } C t = \text{map } ([-] t) (\text{hole-poss-list } C) \langle \text{proof} \rangle$

lemma *hole-poss-split-varposs-list-length* [*simp*]:

$\text{length } (\text{hole-poss-list } (\text{fst } (\text{split-vars } t))) = \text{length } (\text{varposs-list } t)$

$\langle \text{proof} \rangle$

lemma *hole-poss-split-vars-varposs-list*:

$\text{hole-poss-list } (\text{fst } (\text{split-vars } t)) = \text{varposs-list } t$

$\langle \text{proof} \rangle$

lemma *funas-term-fill-holes-iff*: $\text{num-holes } C = \text{length } ts \implies$

$g \in \text{funas-term } (\text{fill-holes } C ts) \iff g \in \text{funas-mctxt } C \vee (\exists t \in \text{set } ts. g \in \text{funas-term } t)$

$\langle \text{proof} \rangle$

lemma *vars-term-fill-holes* [*simp*]:

$\text{num-holes } C = \text{length } ts \implies \text{ground-mctxt } C \implies$

$\text{vars-term } (\text{fill-holes } C ts) = \bigcup (\text{vars-term } ` \text{set } ts)$

$\langle \text{proof} \rangle$

lemma *funas-mctxt-fill-holes* [*simp*]:

assumes $\text{num-holes } C = \text{length } ts$

shows $\text{funas-term } (\text{fill-holes } C ts) = \text{funas-mctxt } C \cup \bigcup (\text{set } (\text{map } \text{funas-term } ts))$

$\langle \text{proof} \rangle$

lemma *funas-mctxt-fill-holes-mctxt* [*simp*]:

assumes $\text{num-holes } C = \text{length } Ds$

shows $\text{funas-mctxt } (\text{fill-holes-mctxt } C Ds) = \text{funas-mctxt } C \cup \bigcup (\text{set } (\text{map } \text{funas-mctxt } Ds))$

(is ?f C Ds = ?g C Ds)

$\langle \text{proof} \rangle$

```

end
theory Ground-MCtxt
  imports
    Multihole-Context
    Regular-Tree-Relations.Ground-Terms
    Regular-Tree-Relations.Ground-Ctxt
begin

```

2.2 Ground multihole context

```

datatype (gfun<math>-m</math>ctxt: 'f) gmctxt = GMHole | GMFun 'f 'f gmctxt list

```

2.2.1 Basic function on ground multihole contexts

```

primrec gmctxt-of-gterm :: 'f gterm  $\Rightarrow$  'f gmctxt where
  gmctxt-of-gterm (GFun f ts) = GMFun f (map gmctxt-of-gterm ts)

```

```

fun num-gholes :: 'f gmctxt  $\Rightarrow$  nat where
  num-gholes GMHole = Suc 0
| num-gholes (GMFun - ctxts) = sum-list (map num-gholes ctxts)

```

```

primrec gterm-of-gmctxt :: 'f gmctxt  $\Rightarrow$  'f gterm where
  gterm-of-gmctxt (GMFun f Cs) = GFun f (map gterm-of-gmctxt Cs)

```

```

primrec term-of-gmctxt :: 'f gmctxt  $\Rightarrow$  ('f, 'v) term where
  term-of-gmctxt (GMFun f Cs) = Fun f (map term-of-gmctxt Cs)

```

```

primrec gmctxt-of-gctxt :: 'f gctxt  $\Rightarrow$  'f gmctxt where
  gmctxt-of-gctxt  $\square_G$  = GMHole
| gmctxt-of-gctxt (GMore f ss C ts) =
  GMFun f (map gmctxt-of-gterm ss @ gmctxt-of-gctxt C # map gmctxt-of-gterm
ts)

```

```

fun gctxt-of-gmctxt :: 'f gmctxt  $\Rightarrow$  'f gctxt where
  gctxt-of-gmctxt GMHole =  $\square_G$ 
| gctxt-of-gmctxt (GMFun f Cs) = (let n = length (takeWhile ( $\lambda$  C. num-gholes C
= 0) Cs) in
  (if n < length Cs then
    GMore f (map gterm-of-gmctxt (take n Cs)) (gctxt-of-gmctxt (Cs ! n)) (map
gterm-of-gmctxt (drop (Suc n) Cs))
  else undefined))

```

```

primrec gmctxt-of-mctxt :: ('f, 'v) mctxt  $\Rightarrow$  'f gmctxt where
  gmctxt-of-mctxt MHole = GMHole
| gmctxt-of-mctxt (MFun f Cs) = GMFun f (map gmctxt-of-mctxt Cs)

```

```

primrec mctxt-of-gmctxt :: 'f gmctxt  $\Rightarrow$  ('f, 'v) mctxt where
  mctxt-of-gmctxt GMHole = MHole
| mctxt-of-gmctxt (GMFun f Cs) = MFun f (map mctxt-of-gmctxt Cs)

```

fun *funas-gmctxt* **where**

funas-gmctxt (*GMFun* *f* *Cs*) = {(*f*, *length Cs*)} ∪ ∪ (*funas-gmctxt* ‘ *set Cs*) |
funas-gmctxt - = {}

abbreviation *partition-gholes xs Cs* ≡ *partition-by xs (map num-gholes Cs)*

fun *fill-gholes* :: '*f gmctxt* ⇒ '*f gterm list* ⇒ '*f gterm* **where**

fill-gholes *GMHole* [*t*] = *t*
| *fill-gholes* (*GMFun* *f cs*) *ts* = *GFun* *f* (*map* (λ *i*. *fill-gholes* (*cs* ! *i*)
(*partition-gholes ts cs* ! *i*)) [0 ..< *length cs*])

fun *fill-gholes-gmctxt* :: '*f gmctxt* ⇒ '*f gmctxt list* ⇒ '*f gmctxt* **where**

fill-gholes-gmctxt *GMHole* [] = *GMHole* |
fill-gholes-gmctxt *GMHole* [*t*] = *t* |
fill-gholes-gmctxt (*GMFun* *f cs*) *ts* = (*GMFun* *f* (*map* (λ *i*. *fill-gholes-gmctxt* (*cs*
! *i*)
(*partition-gholes ts cs* ! *i*)) [0 ..< *length cs*]))

2.2.2 An inverse of *fill-gholes*

fun *unfill-gholes* :: '*f gmctxt* ⇒ '*f gterm* ⇒ '*f gterm list* **where**

unfill-gholes *GMHole* *t* = [*t*]
| *unfill-gholes* (*GMFun* *g Cs*) (*GFun* *f ts*) = (*if* *f* = *g* ∧ *length ts* = *length Cs* *then*
concat (*map* (λ *i*. *unfill-gholes* (*Cs* ! *i*) (*ts* ! *i*)) [0..<*length ts*]) *else undefined*)

fun *sup-gmctxt-args* :: '*f gmctxt* ⇒ '*f gmctxt list* **where**

sup-gmctxt-args *GMHole* *D* = [*D*] |
sup-gmctxt-args *C* *GMHole* = *replicate* (*num-gholes C*) *GMHole* |
sup-gmctxt-args (*GMFun* *f Cs*) (*GMFun* *g Ds*) =
(*if* *f* = *g* ∧ *length Cs* = *length Ds* *then* *concat* (*map* (*case-prod sup-gmctxt-args*)
(*zip Cs Ds*))
else undefined)

fun *ghole-poss* :: '*f gmctxt* ⇒ *pos set* **where**

ghole-poss *GMHole* = {} |
ghole-poss (*GMFun* *f cs*) = ∪ (*set* (*map* (λ *i*. (λ *p*. *i* # *p*) ‘ *ghole-poss* (*cs* ! *i*))
[0 ..< *length cs*]))

abbreviation *poss-rec f ts* ≡ *map2* (λ *i t*. *map* ((#) *i*) (*f t*)) ([0 ..< *length ts*]) *ts*

fun *ghole-poss-list* :: '*f gmctxt* ⇒ *pos list* **where**

ghole-poss-list *GMHole* = [[]]
| *ghole-poss-list* (*GMFun* *f cs*) = *concat* (*poss-rec* *ghole-poss-list cs*)

fun *poss-gmctxt* :: '*f gmctxt* ⇒ *pos set* **where**

poss-gmctxt *GMHole* = {} |
poss-gmctxt (*GMFun* *f cs*) = {} ∪ ∪ (*set* (*map* (λ *i*. (λ *p*. *i* # *p*) ‘ *poss-gmctxt*
(*cs* ! *i*)) [0 ..< *length cs*]))

lemma *poss-simps* [*simp*]:
 $ghole\text{-}poss\ (GMFun\ f\ Cs) = \{i\ \#\ p \mid i\ p.\ i < length\ Cs \wedge p \in ghole\text{-}poss\ (Cs\ !\ i)\}$
 $poss\text{-}gmctxt\ (GMFun\ f\ Cs) = \{\square\} \cup \{i\ \#\ p \mid i\ p.\ i < length\ Cs \wedge p \in poss\text{-}gmctxt\ (Cs\ !\ i)\}$
 ⟨*proof*⟩

fun *ghole-num-bef-pos* **where**
 $ghole\text{-}num\text{-}bef\text{-}pos\ \square = 0 \mid$
 $ghole\text{-}num\text{-}bef\text{-}pos\ (i\ \#\ q)\ (GMFun\ f\ Cs) = sum\text{-}list\ (map\ num\text{-}gholes\ (take\ i\ Cs)) + ghole\text{-}num\text{-}bef\text{-}pos\ q\ (Cs\ !\ i)$

fun *ghole-num-at-pos* **where**
 $ghole\text{-}num\text{-}at\text{-}pos\ \square\ C = num\text{-}gholes\ C \mid$
 $ghole\text{-}num\text{-}at\text{-}pos\ (i\ \#\ q)\ (GMFun\ f\ Cs) = ghole\text{-}num\text{-}at\text{-}pos\ q\ (Cs\ !\ i)$

fun *subgm-at* :: '*f gmctxt* ⇒ *pos* ⇒ '*f gmctxt* **where**
 $subgm\text{-}at\ C\ \square = C$
 $\mid subgm\text{-}at\ (GMFun\ f\ Cs)\ (i\ \#\ p) = subgm\text{-}at\ (Cs\ !\ i)\ p$

definition *gmctxt-subtgm-at-fill-args* **where**
 $gmctxt\text{-}subtgm\text{-}at\text{-}fill\text{-}args\ p\ C\ ts = take\ (ghole\text{-}num\text{-}at\text{-}pos\ p\ C)\ (drop\ (ghole\text{-}num\text{-}bef\text{-}pos\ p\ C)\ ts)$

instantiation *gmctxt* :: (*type*) *inf*
begin

fun *inf-gmctxt* :: '*a gmctxt* ⇒ '*a gmctxt* ⇒ '*a gmctxt* **where**
 $GMHole\ \sqcap\ D = GMHole \mid$
 $C\ \sqcap\ GMHole = GMHole \mid$
 $GMFun\ f\ Cs\ \sqcap\ GMFun\ g\ Ds =$
 (*if* $f = g \wedge length\ Cs = length\ Ds$ *then* $GMFun\ f\ (map\ (case\text{-}prod\ (\sqcap))\ (zip\ Cs\ Ds))$
else $GMHole$)

instance ⟨*proof*⟩
end

instantiation *gmctxt* :: (*type*) *sup*
begin

fun *sup-gmctxt* :: '*a gmctxt* ⇒ '*a gmctxt* ⇒ '*a gmctxt* **where**
 $GMHole\ \sqcup\ D = D \mid$
 $C\ \sqcup\ GMHole = C \mid$
 $GMFun\ f\ Cs\ \sqcup\ GMFun\ g\ Ds =$
 (*if* $f = g \wedge length\ Cs = length\ Ds$ *then* $GMFun\ f\ (map\ (case\text{-}prod\ (\sqcup))\ (zip\ Cs\ Ds))$
else $GMHole$)

else undefined)

instance $\langle \text{proof} \rangle$
end

2.2.3 Orderings and compatibility of ground multihole contexts

inductive *less-eq-gmctxt* :: '*f gmctxt* \Rightarrow '*f gmctxt* \Rightarrow *bool* **where**

base [*simp*]: *less-eq-gmctxt* *GMHole* *u*
| *ind*[*intro*]: $\text{length } cs = \text{length } ds \Longrightarrow (\bigwedge i. i < \text{length } cs \Longrightarrow \text{less-eq-gmctxt } (cs ! i) (ds ! i)) \Longrightarrow$
 $\text{less-eq-gmctxt } (GMFun f cs) (GMFun f ds)$

inductive-set *comp-gmctxt* :: ('*f gmctxt* \times '*f gmctxt*) *set* **where**

GMHole1 [*simp*]: (*GMHole*, *D*) \in *comp-gmctxt* |
GMHole2 [*simp*]: (*C*, *GMHole*) \in *comp-gmctxt* |
GMFun [*intro*]: $f = g \Longrightarrow \text{length } Cs = \text{length } Ds \Longrightarrow \forall i < \text{length } Ds. (Cs ! i, Ds ! i) \in \text{comp-gmctxt} \Longrightarrow$
 $(GMFun f Cs, GMFun g Ds) \in \text{comp-gmctxt}$

definition *gmctxt-closing* **where**

$\text{gmctxt-closing } C D \longleftrightarrow \text{less-eq-gmctxt } C D \wedge \text{ghole-poss } D \subseteq \text{ghole-poss } C$

inductive *eq-gfill* ((*/ =_{Gf} -*) [*51*, *51*] *50*) **where**

eqfI [*intro*]: $t = \text{fill-gholes } D ss \Longrightarrow \text{num-gholes } D = \text{length } ss \Longrightarrow t =_{Gf} (D, ss)$

2.2.4 Conversions from and to ground multihole contexts

lemma *num-gholes-o-gmctxt-of-gterm* [*simp*]:

$\text{num-gholes} \circ \text{gmctxt-of-gterm} = (\lambda x. 0)$
 $\langle \text{proof} \rangle$

lemma *mctxt-of-term-term-of-mctxt-id* [*simp*]:

$\text{num-gholes } C = 0 \Longrightarrow \text{gmctxt-of-gterm } (\text{gterm-of-gmctxt } C) = C$
 $\langle \text{proof} \rangle$

lemma *num-gholes-mctxt-of-term* [*simp*]:

$\text{num-gholes } (\text{gmctxt-of-gterm } t) = 0$
 $\langle \text{proof} \rangle$

lemma *num-gholes-gmctxt-of-mctxt* [*simp*]:

$\text{ground-mctxt } C \Longrightarrow \text{num-gholes } (\text{gmctxt-of-mctxt } C) = \text{num-gholes } C$
 $\langle \text{proof} \rangle$

lemma *num-gholes-mctxt-of-gmctxt* [*simp*]:

$\text{num-gholes } (\text{mctxt-of-gmctxt } C) = \text{num-gholes } C$
 $\langle \text{proof} \rangle$

lemma *num-gholes-mctxt-of-gmctxt-fun-comp* [*simp*]:

$num\text{-}holes \circ mctxt\text{-}of\text{-}gmctxt = num\text{-}gholes$
 $\langle proof \rangle$

lemma $gmctxt\text{-}of\text{-}gctxt\text{-}num\text{-}gholes$ [simp]:
 $num\text{-}gholes (gmctxt\text{-}of\text{-}gctxt C) = Suc\ 0$
 $\langle proof \rangle$

lemma $ground\text{-}mctxt\text{-}list\text{-}num\text{-}gholes\text{-}gmctxt\text{-}of\text{-}mctxt\text{-}conv$ [simp]:
 $\forall x \in set\ Cs. ground\text{-}mctxt\ x \implies map (num\text{-}gholes \circ gmctxt\text{-}of\text{-}mctxt) Cs = map$
 $num\text{-}holes Cs$
 $\langle proof \rangle$

lemma $num\text{-}gholes\text{-}map\text{-}gmctxt$ [simp]:
 $num\text{-}gholes (map\text{-}gmctxt f C) = num\text{-}gholes C$
 $\langle proof \rangle$

lemma $map\text{-}num\text{-}gholes\text{-}map\text{-}gmctxt$ [simp]:
 $map (num\text{-}gholes \circ map\text{-}gmctxt f) Cs = map\ num\text{-}holes Cs$
 $\langle proof \rangle$

lemma $gterm\text{-}of\text{-}gmctxt\text{-}gmctxt\text{-}of\text{-}gterm\text{-}id$ [simp]:
 $gterm\text{-}of\text{-}gmctxt (gmctxt\text{-}of\text{-}gterm t) = t$
 $\langle proof \rangle$

lemma $no\text{-}gholes\text{-}gmctxt\text{-}of\text{-}gterm\text{-}gterm\text{-}of\text{-}gmctxt\text{-}id$ [simp]:
 $num\text{-}gholes C = 0 \implies gmctxt\text{-}of\text{-}gterm (gterm\text{-}of\text{-}gmctxt C) = C$
 $\langle proof \rangle$

lemma $no\text{-}gholes\text{-}term\text{-}of\text{-}gterm\text{-}gterm\text{-}of\text{-}gmctxt$ [simp]:
 $num\text{-}gholes C = 0 \implies term\text{-}of\text{-}gterm (gterm\text{-}of\text{-}gmctxt C) = term\text{-}of\text{-}gmctxt C$
 $\langle proof \rangle$

lemma $no\text{-}gholes\text{-}term\text{-}of\text{-}mctxt\text{-}mctxt\text{-}of\text{-}gmctxt$ [simp]:
 $num\text{-}gholes C = 0 \implies term\text{-}of\text{-}mctxt (mctxt\text{-}of\text{-}gmctxt C) = term\text{-}of\text{-}gmctxt C$
 $\langle proof \rangle$

lemma $nth\text{While}\text{-}gmctxt\text{-}of\text{-}gctxt$ [simp]:
 $length (take\text{While} (\lambda C. num\text{-}gholes C = 0) (map\ gmctxt\text{-}of\text{-}gterm ss @ gmctxt\text{-}of\text{-}gctxt C \# ts)) = length\ ss$
 $\langle proof \rangle$

lemma $sum\text{-}list\text{-}nth\text{While}\text{-}length$ [simp]:
 $sum\text{-}list (map\ num\text{-}gholes Cs) = Suc\ 0 \implies length (take\text{While} (\lambda C. num\text{-}gholes$
 $C = 0) Cs) < length\ Cs$
 $\langle proof \rangle$

lemma $gctxt\text{-}of\text{-}gmctxt\text{-}gmctxt\text{-}of\text{-}gctxt$ [simp]:
 $gctxt\text{-}of\text{-}gmctxt (gmctxt\text{-}of\text{-}gctxt C) = C$

<proof>

lemma *gmctxt-of-gctxt-GMHole-Hole*:

gmctxt-of-gctxt C = GMHole \implies C = \square_G

<proof>

lemma *gmctxt-of-gctxt-gctxt-of-gmctxt*:

num-gholes C = Suc 0 \implies gmctxt-of-gctxt (gctxt-of-gmctxt C) = C

<proof>

lemma *inj-gmctxt-of-gctxt: inj gmctxt-of-gctxt*

<proof>

lemma *inj-gctxt-of-gmctxt-on-single-hole*:

inj-on gctxt-of-gmctxt (Collect (λ C. num-gholes C = Suc 0))

<proof>

lemma *gctxt-of-gmctxt-hole-dest*:

num-gholes C = Suc 0 \implies gctxt-of-gmctxt C = $\square_G \implies$ C = GMHole

<proof>

lemma *mctxt-of-gmctxt-inv [simp]*:

gmctxt-of-mctxt (mctxt-of-gmctxt C) = C

<proof>

lemma *ground-mctxt-of-gmctxt [simp]*:

ground-mctxt (mctxt-of-gmctxt C)

<proof>

lemma *ground-mctxt-of-gmctxt' [simp]*:

mctxt-of-gmctxt C = MFun f D \implies ground-mctxt (MFun f D)

<proof>

lemma *gmctxt-of-mctxt-inv [simp]*:

ground-mctxt C \implies mctxt-of-gmctxt (gmctxt-of-mctxt C) = C

<proof>

lemma *ground-mctxt-of-gmctxtD*:

ground-mctxt C \implies \exists D. C = mctxt-of-gmctxt D

<proof>

lemma *inj-mctxt-of-gmctxt: inj-on mctxt-of-gmctxt X*

<proof>

lemma *inj-gmctxt-of-mctxt-ground*:

inj-on gmctxt-of-mctxt (Collect ground-mctxt)

<proof>

lemma *map-gmctxt-comp [simp]*:

$map-gmctxt\ f\ (map-gmctxt\ g\ C) = map-gmctxt\ (f \circ g)\ C$
 ⟨proof⟩

lemma *map-mctxt-of-gmctxt*:
 $map-mctxt\ f\ (mctxt-of-gmctxt\ C) = mctxt-of-gmctxt\ (map-gmctxt\ f\ C)$
 ⟨proof⟩

lemma *map-gmctxt-of-mctxt*:
 $ground-mctxt\ C \implies map-gmctxt\ f\ (gmctxt-of-mctxt\ C) = gmctxt-of-mctxt\ (map-mctxt\ f\ C)$
 ⟨proof⟩

lemma *map-gmctxt-nempty* [simp]:
 $C \neq GMHole \implies map-gmctxt\ f\ C \neq GMHole$
 ⟨proof⟩

lemma *vars-mctxt-of-gmctxt* [simp]:
 $vars-mctxt\ (mctxt-of-gmctxt\ C) = \{\}$
 ⟨proof⟩

lemma *vars-mctxt-of-gmctxt-subseteq* [simp]:
 $vars-mctxt\ (mctxt-of-gmctxt\ C) \subseteq Q \longleftrightarrow True$
 ⟨proof⟩

2.2.5 Equivalences and simplification rules

lemma *eqgfE*:
assumes $t =_{Gf}\ (D, ss)$ **shows** $t = fill-gholes\ D\ ss\ num-gholes\ D = length\ ss$
 ⟨proof⟩

lemma *eqgf-GMHoleE*:
assumes $t =_{Gf}\ (GMHole, ss)$ **shows** $ss = [t]$ ⟨proof⟩

lemma *eqgf-GMFunE*:
assumes $s =_{Gf}\ (GMFun\ f\ Cs, ss)$
obtains $ts\ sss$ **where** $s = GFun\ f\ ts\ length\ ts = length\ Cs\ length\ sss = length\ Cs$
 $\bigwedge i. i < length\ Cs \implies ts\ !\ i =_{Gf}\ (Cs\ !\ i, sss\ !\ i)\ ss = concat\ sss$
 ⟨proof⟩

lemma *partition-holes-subseteq* [simp]:
assumes $sum-list\ (map\ num-holes\ Cs) = length\ xs\ i < length\ Cs$
and $x \in set\ (partition-holes\ xs\ Cs\ !\ i)$
shows $x \in set\ xs$
 ⟨proof⟩

lemma *partition-gholes-subseteq* [simp]:
assumes $sum-list\ (map\ num-gholes\ Cs) = length\ xs\ i < length\ Cs$

and $x \in \text{set } (\text{partition-gholes } xs \text{ } Cs ! i)$
shows $x \in \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *list-elem-to-partition-nth* [elim]:
assumes $\text{sum-list } (\text{map } \text{num-gholes } Cs) = \text{length } xs \text{ } x \in \text{set } xs$
obtains $i \text{ where } i < \text{length } Cs \text{ } x \in \text{set } (\text{partition-gholes } xs \text{ } Cs ! i)$ $\langle \text{proof} \rangle$

lemma *partition-gholes-fill-gholes-conv'*:
 $\text{fill-gholes } (GMFun \text{ } f \text{ } Cs) \text{ } ts =$
 $GMFun \text{ } f \text{ } (\text{map } (\text{case-prod } \text{fill-gholes}) (\text{zip } Cs \text{ } (\text{partition-gholes } ts \text{ } Cs)))$
 $\langle \text{proof} \rangle$

lemma *unfill-gholes-conv*:
assumes $\text{length } Cs = \text{length } ts$
shows $\text{unfill-gholes } (GMFun \text{ } f \text{ } Cs) \text{ } (GMFun \text{ } f \text{ } ts) =$
 $\text{concat } (\text{map } (\text{case-prod } \text{unfill-gholes}) (\text{zip } Cs \text{ } ts))$ $\langle \text{proof} \rangle$

lemma *partition-gholes-fill-gholes-gmctxt-conv*:
 $\text{fill-gholes-gmctxt } (GMFun \text{ } f \text{ } Cs) \text{ } ts =$
 $GMFun \text{ } f \text{ } [\text{fill-gholes-gmctxt } (Cs ! i) \text{ } (\text{partition-gholes } ts \text{ } Cs ! i). i \leftarrow [0 .. <$
 $\text{length } Cs]]$
 $\langle \text{proof} \rangle$

lemma *partition-gholes-fill-gholes-gmctxt-conv'*:
 $\text{fill-gholes-gmctxt } (GMFun \text{ } f \text{ } Cs) \text{ } ts =$
 $GMFun \text{ } f \text{ } (\text{map } (\text{case-prod } \text{fill-gholes-gmctxt}) (\text{zip } Cs \text{ } (\text{partition-gholes } ts \text{ } Cs)))$
 $\langle \text{proof} \rangle$

lemma *fill-gholes-no-holes* [simp]:
 $\text{num-gholes } C = 0 \implies \text{fill-gholes } C \text{ } [] = \text{gterm-of-gmctxt } C$
 $\langle \text{proof} \rangle$

lemma *fill-gholes-gmctxt-no-holes* [simp]:
 $\text{num-gholes } C = 0 \implies \text{fill-gholes-gmctxt } C \text{ } [] = C$
 $\langle \text{proof} \rangle$

lemma *eqgf-GMFunI*:
assumes $\bigwedge i. i < \text{length } Cs \implies ss ! i =_{Gf} (Cs ! i, ts ! i)$
and $\text{length } Cs = \text{length } ss \text{ } \text{length } ss = \text{length } ts$
shows $GMFun \text{ } f \text{ } ss =_{Gf} (GMFun \text{ } f \text{ } Cs, \text{concat } ts)$ $\langle \text{proof} \rangle$

lemma *length-partition-gholes-nth*:
assumes $\text{sum-list } (\text{map } \text{num-gholes } cs) = \text{length } ts$
and $i < \text{length } cs$
shows $\text{length } (\text{partition-gholes } ts \text{ } cs ! i) = \text{num-gholes } (cs ! i)$
 $\langle \text{proof} \rangle$

lemma *fill-gholes-induct2*[consumes 2, case-names GMHole GMFun]:

fixes $P :: 'f \text{ gmctxt} \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow \text{bool}$
assumes $\text{len1}: \text{num-gholes } C = \text{length } xs$ **and** $\text{len2}: \text{num-gholes } C = \text{length } ys$
and $\text{Hole}: \bigwedge x y. P \text{ GMHole } [x] [y]$
and $\text{Fun}: \bigwedge f Cs xs ys. \text{sum-list } (\text{map num-gholes } Cs) = \text{length } xs \implies$
 $\text{sum-list } (\text{map num-gholes } Cs) = \text{length } ys \implies$
 $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-gholes } xs \text{ } Cs ! i) (\text{partition-gholes } ys \text{ } Cs ! i)) \implies$
 $P (\text{GMFun } f \text{ } Cs) (\text{concat } (\text{partition-gholes } xs \text{ } Cs)) (\text{concat } (\text{partition-gholes } ys \text{ } Cs))$
shows $P \text{ } C \text{ } xs \text{ } ys$
 $\langle \text{proof} \rangle$

lemma $\text{fill-gholes-induct}[\text{consumes } 1, \text{case-names } \text{GMHole } \text{GMFun}]$:
fixes $P :: 'f \text{ gmctxt} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$
assumes $\text{len}: \text{num-gholes } C = \text{length } xs$
and $\text{Hole}: \bigwedge x. P \text{ GMHole } [x]$
and $\text{Fun}: \bigwedge f Cs xs. \text{sum-list } (\text{map num-gholes } Cs) = \text{length } xs \implies$
 $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-gholes } xs \text{ } Cs ! i)) \implies$
 $P (\text{GMFun } f \text{ } Cs) (\text{concat } (\text{partition-gholes } xs \text{ } Cs))$
shows $P \text{ } C \text{ } xs$
 $\langle \text{proof} \rangle$

lemma $\text{eq-gfill-induct} [\text{consumes } 1, \text{case-names } \text{GMHole } \text{GMFun}]$:
assumes $t =_{Gf} (C, ts)$
and $\bigwedge t. P \text{ } t \text{ GMHole } [t]$
and $\bigwedge f ss Cs ts. \llbracket \text{length } Cs = \text{length } ss; \text{sum-list } (\text{map num-gholes } Cs) = \text{length } ts; \forall i < \text{length } ss. ss ! i =_{Gf} (Cs ! i, \text{partition-gholes } ts \text{ } Cs ! i) \wedge P (ss ! i) (Cs ! i) (\text{partition-gholes } ts \text{ } Cs ! i) \rrbracket \implies P (\text{GFun } f \text{ } ss) (\text{GMFun } f \text{ } Cs) \text{ } ts$
shows $P \text{ } t \text{ } C \text{ } ts \langle \text{proof} \rangle$

lemma $\text{nempty-ground-mctxt-gmctxt} [\text{simp}]$:
 $C \neq \text{MHole} \implies \text{ground-mctxt } C \implies \text{gmctxt-of-mctxt } C \neq \text{GMHole}$
 $\langle \text{proof} \rangle$

lemma $\text{mctxt-of-gmctxt-fill-holes} [\text{simp}]$:
assumes $\text{num-gholes } C = \text{length } ss$
shows $\text{gterm-of-term } (\text{fill-holes } (\text{mctxt-of-gmctxt } C) (\text{map term-of-gterm } ss)) = \text{fill-gholes } C \text{ } ss \langle \text{proof} \rangle$

lemma $\text{mctxt-of-gmctxt-terms-fill-holes}$:
assumes $\text{num-gholes } C = \text{length } ss$
shows $\text{gterm-of-term } (\text{fill-holes } (\text{mctxt-of-gmctxt } C) \text{ } ss) = \text{fill-gholes } C (\text{map } \text{gterm-of-term } \text{ } ss) \langle \text{proof} \rangle$

lemma $\text{ground-gmctxt-of-mctxt-gterm-fill-holes}$:
assumes $\text{num-gholes } C = \text{length } ss$ **and** $\text{ground-mctxt } C$
shows $\text{term-of-gterm } (\text{fill-gholes } (\text{gmctxt-of-mctxt } C) \text{ } ss) = \text{fill-gholes } C (\text{map } \text{term-of-gterm } \text{ } ss) \langle \text{proof} \rangle$

term-of-gterm ss \langle proof \rangle

lemma *ground-gmctxt-of-gterm-of-term*:

assumes *num-holes C = length ss and ground-mctxt C*

shows *gterm-of-term (fill-holes C (map term-of-gterm ss)) = fill-gholes (gmctxt-of-mctxt C) ss* \langle proof \rangle

lemma *ground-gmctxt-of-mctxt-fill-holes* [simp]:

assumes *num-holes C = length ss and ground-mctxt C $\forall s \in$ set ss. ground s*

shows *term-of-gterm (fill-gholes (gmctxt-of-mctxt C) (map gterm-of-term ss)) = fill-holes C ss* \langle proof \rangle

lemma *fill-holes-mctxt-of-gmctxt-to-fill-gholes*:

assumes *num-gholes C = length ss*

shows *fill-holes (mctxt-of-gmctxt C) (map term-of-gterm ss) = term-of-gterm (fill-gholes C ss)* \langle proof \rangle

lemma *fill-gholes-gmctxt-of-gterm* [simp]:

fill-gholes (gmctxt-of-gterm s) [] = s

\langle proof \rangle

lemma *fill-gholes-GMHole* [simp]:

length ss = Suc 0 \implies fill-gholes GMHole ss = ss ! 0

\langle proof \rangle

lemma *apply-gctxt-fill-gholes*:

C \langle s \rangle_G = fill-gholes (gmctxt-of-gctxt C) [s]

\langle proof \rangle

lemma *fill-gholes-apply-gctxt*:

num-gholes C = Suc 0 \implies fill-gholes C [s] = (gctxt-of-gmctxt C) \langle s \rangle_G

\langle proof \rangle

lemma *ctxt-of-gctxt-gctxt-of-gmctxt-apply*:

num-gholes C = Suc 0 \implies fill-holes (mctxt-of-gmctxt C) [s] = (ctxt-of-gctxt (gctxt-of-gmctxt C)) \langle s \rangle

\langle proof \rangle

lemma *fill-gholes-replicate* [simp]:

n = length ss \implies fill-gholes (GMFun f (replicate n GMHole)) ss = GFun f ss

\langle proof \rangle

lemma *fill-gholes-gmctxt-replicate-MHole* [simp]:

fill-gholes-gmctxt C (replicate (num-gholes C) GMHole) = C

\langle proof \rangle

lemma *fill-gholes-gmctxt-GMFun-replicate-length* [simp]:
fill-gholes-gmctxt (GMFun f (replicate (length Cs) GMHole)) Cs = GMFun f Cs
 ⟨proof⟩

lemma *fill-gholes-gmctxt-MFun*:
assumes *lCs: length Cs = length ts*
and *lss: length ss = length ts*
and *rec: $\bigwedge i. i < \text{length } ts \implies \text{num-gholes } (Cs ! i) = \text{length } (ss ! i) \wedge$*
fill-gholes-gmctxt (Cs ! i) (ss ! i) = ts ! i
shows *fill-gholes-gmctxt (GMFun f Cs) (concat ss) = GMFun f ts*
 ⟨proof⟩

lemma *fill-gholes-gmctxt-nHole* [simp]:
C \neq GMHole $\implies \text{num-gholes } C = \text{length } Ds \implies \text{fill-gholes-gmctxt } C Ds \neq$
GMHole
 ⟨proof⟩

lemma *num-gholes-fill-gholes-gmctxt* [simp]:
assumes *num-gholes C = length Ds*
shows *num-gholes (fill-gholes-gmctxt C Ds) = sum-list (map num-gholes Ds)*
 ⟨proof⟩

lemma *num-gholes-greater0-fill-gholes-gmctxt* [intro!]:
assumes *num-gholes C = length Ds*
and $\exists D \in \text{set } Ds. 0 < \text{num-gholes } D$
shows $0 < \text{sum-list (map num-gholes Ds)}$
 ⟨proof⟩

lemma *fill-gholes-gmctxt-fill-gholes*:
assumes *len-ds: length Ds = num-gholes C*
and *nh: num-gholes (fill-gholes-gmctxt C Ds) = length ss*
shows *fill-gholes (fill-gholes-gmctxt C Ds) ss =*
fill-gholes C [fill-gholes (Ds ! i) (partition-gholes ss Ds ! i). i \leftarrow [0 ..< num-gholes
C]]
 ⟨proof⟩

lemma *fill-gholes-gmctxt-sound*:
assumes *len-ds: length Ds = num-gholes C*
and *len-sss: length sss = num-gholes C*
and *len-ts: length ts = num-gholes C*
and *insts: $\bigwedge i. i < \text{length } Ds \implies ts ! i =_{Gf} (Ds ! i, sss ! i)$*
shows *fill-gholes C ts =_{Gf} (fill-gholes-gmctxt C Ds, concat sss)*
 ⟨proof⟩

2.2.6 Semilattice Structures

lemma *inf-gmctxt-idem* [simp]:
(C :: 'f gmctxt) \sqcap C = C
 ⟨proof⟩

lemma *inf-gmctxt-GMHole2* [simp]:

$$C \sqcap \text{GMHole} = \text{GMHole}$$

<proof>

lemma *inf-gmctxt-comm* [ac-simps]:

$$(C :: 'f \text{ gmctxt}) \sqcap D = D \sqcap C$$

<proof>

lemma *inf-gmctxt-assoc* [ac-simps]:

fixes $C :: 'f \text{ gmctxt}$

shows $C \sqcap D \sqcap E = C \sqcap (D \sqcap E)$

<proof>

instantiation *gmctxt* :: (type) order

begin

definition $(C :: 'a \text{ gmctxt}) \leq D \iff C \sqcap D = C$

definition $(C :: 'a \text{ gmctxt}) < D \iff C \leq D \wedge \neg D \leq C$

instance

<proof>

end

lemma *less-eq-gmctxt-prime*: $C \leq D \iff \text{less-eq-gmctxt } C \ D$

<proof>

lemmas *less-eq-gmctxt-induct* = *less-eq-gmctxt.induct*[folded *less-eq-gmctxt-prime*,
consumes 1]

lemmas *less-eq-gmctxt-intros* = *less-eq-gmctxt.intros*[folded *less-eq-gmctxt-prime*]

lemma *less-eq-gmctxt-Hole*:

$$\text{less-eq-gmctxt } C \ \text{GMHole} \implies C = \text{GMHole}$$

<proof>

lemma *num-gholes-at-least1*:

$$0 < \text{num-gholes } C \implies 0 < \text{num-gholes } (C \sqcap D)$$

<proof>

(\sqcup) is defined on compatible multihole contexts. Note that compatibility is not transitive.

instance *gmctxt* :: (type) *semilattice-inf*

<proof>

lemma *sup-gmctxt-idem* [simp]:

fixes $C :: 'f \text{ gmctxt}$

shows $C \sqcup C = C$

<proof>

lemma *sup-gmctxt-MHole* [*simp*]: $C \sqcup GMHole = C$
<proof>

lemma *sup-gmctxt-comm* [*ac-simps*]:
fixes $C :: 'f\ gmctxt$
shows $C \sqcup D = D \sqcup C$
<proof>

lemma *comp-gmctxt-refl*:
 $(C, C) \in comp-gmctxt$
<proof>

lemma *comp-gmctxt-sym*:
assumes $(C, D) \in comp-gmctxt$
shows $(D, C) \in comp-gmctxt$
<proof>

lemma *sup-gmctxt-assoc* [*ac-simps*]:
assumes $(C, D) \in comp-gmctxt$ **and** $(D, E) \in comp-gmctxt$
shows $C \sqcup D \sqcup E = C \sqcup (D \sqcup E)$
<proof>

No instantiation to *semilattice-sup* possible, since (\sqcup) is only partially defined on terms (e.g., it is not associative in general).

interpretation *gmctxt-order-bot*: *order-bot GMHole* (\leq) ($<$)
<proof>

lemma *sup-gmctxt-ge1* [*simp*]:
assumes $(C, D) \in comp-gmctxt$
shows $C \leq C \sqcup D$
<proof>

lemma *sup-gmctxt-ge2* [*simp*]:
assumes $(C, D) \in comp-gmctxt$
shows $D \leq C \sqcup D$
<proof>

lemma *sup-gmctxt-least*:
assumes $(D, E) \in comp-gmctxt$
and $D \leq C$ **and** $E \leq C$
shows $D \sqcup E \leq C$
<proof>

lemma *sup-gmctxt-args-MHole2* [*simp*]:
sup-gmctxt-args C GMHole = replicate (num-gholes C) GMHole
<proof>

lemma *num-gholes-sup-gmctxt-args*:

assumes $(C, D) \in \text{comp-gmctxt}$

shows $\text{num-gholes } C = \text{length } (\text{sup-gmctxt-args } C D)$

$\langle \text{proof} \rangle$

lemma *sup-gmctxt-sup-gmctxt-args*:

assumes $(C, D) \in \text{comp-gmctxt}$

shows $\text{fill-gholes-gmctxt } C (\text{sup-gmctxt-args } C D) = C \sqcup D \langle \text{proof} \rangle$

lemma *eqgf-comp-gmctxt*:

assumes $s =_{Gf} (C, ss)$ **and** $s =_{Gf} (D, ts)$

shows $(C, D) \in \text{comp-gmctxt} \langle \text{proof} \rangle$

lemma *eqgf-less-eq [simp]*:

assumes $s =_{Gf} (C, ss)$

shows $C \leq \text{gmctxt-of-gterm } s \langle \text{proof} \rangle$

lemma *less-eq-comp-gmctxt [simp]*:

$C \leq D \implies (C, D) \in \text{comp-gmctxt}$

$\langle \text{proof} \rangle$

lemma *gmctxt-less-eq-sup*:

$(C :: 'f \text{ gmctxt}) \leq D \implies C \sqcup D = D$

$\langle \text{proof} \rangle$

lemma *fill-gholes-gmctxt-less-eq*:

assumes $\text{num-gholes } C = \text{length } Ds$

shows $C \leq \text{fill-gholes-gmctxt } C Ds \langle \text{proof} \rangle$

lemma *less-eq-to-sup-mctxt-args [elim]*:

assumes $C \leq D$

obtains Ds **where** $\text{num-gholes } C = \text{length } Ds$ $D = \text{fill-gholes-gmctxt } C Ds$

$\langle \text{proof} \rangle$

lemma *fill-gholes-gmctxt-sup-mctxt-args [simp]*:

assumes $\text{num-gholes } C = \text{length } Ds$

shows $\text{sup-gmctxt-args } C (\text{fill-gholes-gmctxt } C Ds) = Ds \langle \text{proof} \rangle$

lemma *map2-fill-gholes-gmctxt-id [simp]*:

assumes $\bigwedge i. i < \text{length } Ds \implies \text{num-gholes } (Ds ! i) = 0$

shows $\text{map2 fill-gholes-gmctxt } Ds (\text{replicate } (\text{length } Ds) []) = Ds$

$\langle \text{proof} \rangle$

lemma *fill-gholes-gmctxt-GMFun-replicate-append [simp]*:

assumes $\text{length } Cs = n$ **and** $\bigwedge t. t \in \text{set } Ds \implies \text{num-gholes } t = 0$

shows $\text{fill-gholes-gmctxt } (\text{GMFun } f ((\text{replicate } n \text{ GMHole}) @ Ds)) Cs = \text{GMFun } f (Cs @ Ds) \langle \text{proof} \rangle$

lemma *finite-ghole-poss*:

finite (*ghole-poss* *C*)
<proof>

lemma *ghole-poss-simp* [*simp*]:

ghole-poss (*GMFun* *f* *cs*) = {*i* # *p* | *i* *p*. *i* < *length cs* ∧ *p* ∈ *ghole-poss* (*cs* ! *i*)}

<proof>
declare *ghole-poss.simps*(2)[*simp del*]

lemma *num-gholes-zero-ghole-poss*:

num-gholes *D* = 0 ⇒ *ghole-poss* *D* = {}
<proof>

lemma *ghole-poss-num-gholes-zero*:

ghole-poss *D* = {} ⇒ *num-gholes* *D* = 0
<proof>

lemma *num-gholes-nzero-ghole-poss-nempty*:

num-gholes *D* ≠ 0 ⇒ *ghole-poss* *D* ≠ {}
<proof>

lemma *ghole-poss-epsE* [*elim*]:

ghole-poss *D* = {} ⇒ *D* = *GMHole*
<proof>

lemma *ghole-poss-gmctxt-of-gterm* [*simp*]:

ghole-poss (*gmctxt-of-gterm* *t*) = {}
<proof>

lemma *ghole-poss-subseteq-args* [*simp*]:

assumes *ghole-poss* (*GMFun* *f* *Ds*) ⊆ *ghole-poss* (*GMFun* *g* *Cs*)
shows ∀ *i* < *min* (*length* *Ds*) (*length* *Cs*). *ghole-poss* (*Ds* ! *i*) ⊆ *ghole-poss* (*Cs* !
i) *<proof>*

lemma *factor-ghole-pos-by-prefix*:

assumes *C* ≤ *D* *p* ∈ *ghole-poss* *D*
obtains *q* **where** *q* ≤_{*p*} *p* *q* ∈ *ghole-poss* *C*
<proof>

lemma *prefix-and-fewer-gholes-implies-equal-gmctxt*:

C ≤ *D* ⇒ *ghole-poss* *C* ⊆ *ghole-poss* *D* ⇒ *C* = *D*
<proof>

lemma *set-sup-gmctxt-args-split*:

length *Cs* = *length* *Ds* ⇒ *set* (*sup-gmctxt-args* (*GMFun* *f* *Cs*) (*GMFun* *f* *Ds*)) =
(⋃ *i* ∈ {0..< *length* *Ds*}. *set* (*sup-gmctxt-args* (*Cs* ! *i*) (*Ds* ! *i*)))
<proof>

lemma *gmctxt-closing-trans*:

$gmctxt-closing\ C\ D \implies gmctxt-closing\ D\ E \implies gmctxt-closing\ C\ E$
<proof>

lemma *gmctxt-closing-sup-args-ghole-or-gterm*:

assumes $gmctxt-closing\ C\ D$

shows $\forall E \in set\ (sup-gmctxt-args\ C\ D). E = GMHole \vee num-gholes\ E = 0$
<proof>

lemma *inv-imples-ghole-poss-subseteq*:

$C \leq D \implies \forall E \in set\ (sup-gmctxt-args\ C\ D). E = GMHole \vee num-gholes\ E = 0 \implies ghole-poss\ D \subseteq ghole-poss\ C$
<proof>

lemma *fill-gholes-gmctxt-ghole-poss-subseteq*:

assumes $num-gholes\ C = length\ Ds \ \forall\ i < length\ Ds. Ds\ !\ i = GMHole \vee num-gholes\ (Ds\ !\ i) = 0$
shows $ghole-poss\ (fill-gholes-gmctxt\ C\ Ds) \subseteq ghole-poss\ C$ *<proof>*

lemma *ghole-poss-not-in-poss-gmctxt*:

assumes $p \in ghole-poss\ C$

shows $p \notin poss-gmctxt\ C$ *<proof>*

lemma *comp-gmctxt-inf-ghole-poss-cases*:

assumes $(C, D) \in comp-gmctxt\ p \in ghole-poss\ (C \sqcap D)$

shows $p \in ghole-poss\ C \wedge p \in ghole-poss\ D \vee$

$p \in ghole-poss\ C \wedge p \in poss-gmctxt\ D \vee$

$p \in ghole-poss\ D \wedge p \in poss-gmctxt\ C$ *<proof>*

lemma *length-ghole-poss-list-num-gholes*:

$num-gholes\ C = length\ (ghole-poss-list\ C)$

<proof>

lemma *ghole-poss-list-distinct*:

$distinct\ (ghole-poss-list\ C)$

<proof>

lemma *ghole-poss-ghole-poss-list-conv*:

$ghole-poss\ C = set\ (ghole-poss-list\ C)$

<proof>

lemma *card-ghole-poss-num-gholes*:

$card\ (ghole-poss\ C) = num-gholes\ C$

<proof>

lemma *subgm-at-hole-poss [simp]*:

$p \in ghole-poss\ C \implies subgm-at\ C\ p = GMHole$

<proof>

lemma *subgm-at-mctxt-of-term*:

$p \in gposs\ t \implies subgm-at\ (gmctxt-of-gterm\ t)\ p = gmctxt-of-gterm\ (gsubt-at\ t\ p)$
<proof>

lemma *num-gholes-subgm-at*:

assumes $p \in poss-gmctxt\ C$

shows $num-gholes\ (subgm-at\ C\ p) = ghole-num-at-pos\ p\ C$ *<proof>*

lemma *gmctxt-subtgm-at-fill-args-empty-pos [simp]*:

assumes $num-gholes\ C = length\ ts$

shows $gmctxt-subtgm-at-fill-args\ []\ C\ ts = ts$

<proof>

lemma *ghole-num-bef-at-pos-num-gholes-less-eq*:

assumes $p \in poss-gmctxt\ C$

shows $ghole-num-bef-pos\ p\ C + ghole-num-at-pos\ p\ C \leq num-gholes\ C$ *<proof>*

lemma *ghole-num-at-pos-fill-args-length*:

assumes $p \in poss-gmctxt\ C\ num-gholes\ C = length\ ts$

shows $ghole-num-at-pos\ p\ C = length\ (gmctxt-subtgm-at-fill-args\ p\ C\ ts)$

<proof>

lemma *ghole-poss-nth-subt-at*:

assumes $t =_{Gf}\ (C,\ ts)$ **and** $p \in ghole-poss\ C$

shows $ghole-num-bef-pos\ p\ C < length\ ts \wedge gsubt-at\ t\ p = ts\ !\ ghole-num-bef-pos\ p\ C$ *<proof>*

lemma *poss-gmctxt-fill-gholes-split*:

assumes $t =_{Gf}\ (C,\ ts)$ **and** $p \in poss-gmctxt\ C$

shows $gsubt-at\ t\ p =_{Gf}\ (subgm-at\ C\ p,\ gmctxt-subtgm-at-fill-args\ p\ C\ ts)$

<proof>

lemma *fill-gholes-ghole-poss*:

assumes $t =_{Gf}\ (C,\ ts)$ **and** $i < length\ ts$

shows $gsubt-at\ t\ (ghole-poss-list\ C\ !\ i) = ts\ !\ i$ *<proof>*

lemma *length-unfill-gholes [simp]*:

assumes $C \leq gmctxt-of-gterm\ t$

shows $length\ (unfill-gholes\ C\ t) = num-gholes\ C$

<proof>

lemma *fill-gholes-arbitrary*:

assumes $lCs: length\ Cs = length\ ts$

and $lss: length\ ss = length\ ts$

and $rec: \bigwedge i. i < length\ ts \implies num-gholes\ (Cs\ !\ i) = length\ (ss\ !\ i) \wedge f\ (Cs\ !\ i)$

$(ss\ !\ i) = ts\ !\ i$

shows $map\ (\lambda i. f\ (Cs\ !\ i))\ (partition-gholes\ (concat\ ss)\ Cs\ !\ i)\ [0\ ..<\ length\ Cs]$
 $= ts$

<proof>

lemma *fill-unfill-gholes*:

assumes $C \leq \text{gmctxt-of-gterm } t$

shows $\text{fill-gholes } C (\text{unfill-gholes } C t) = t$

<proof>

lemma *funas-gmctxt-of-mctxt [simp]*:

$\text{ground-mctxt } C \implies \text{funas-gmctxt } (\text{gmctxt-of-mctxt } C) = \text{funas-mctxt } C$

<proof>

lemma *funas-mctxt-of-gmctxt-conv*:

$\text{funas-mctxt } (\text{mctxt-of-gmctxt } C) = \text{funas-gmctxt } C$

<proof>

lemma *funas-gterm-ctxt-apply [simp]*:

assumes $\text{num-gholes } C = \text{length } ss$

shows $\text{funas-gterm } (\text{fill-gholes } C ss) = \text{funas-gmctxt } C \cup \bigcup (\text{set } (\text{map } \text{funas-gterm } ss))$ *<proof>*

lemma *funas-gmctxt-gmctxt-of-gterm [simp]*:

$\text{funas-gmctxt } (\text{gmctxt-of-gterm } s) = \text{funas-gterm } s$

<proof>

lemma *funas-gmctxt-replicate-GMHole [simp]*:

$\text{funas-gmctxt } (\text{GMFun } f (\text{replicate } n \text{ GMHole})) = \{(f, n)\}$

<proof>

lemma *funas-gmctxt-gmctxt-of-gctxt [simp]*:

$\text{funas-gmctxt } (\text{gmctxt-of-gctxt } C) = \text{funas-gctxt } C$

<proof>

lemma *funas-gmctxt-fill-gholes-gmctxt [simp]*:

assumes $\text{num-gholes } C = \text{length } Ds$

shows $\text{funas-gmctxt } (\text{fill-gholes-gmctxt } C Ds) = \text{funas-gmctxt } C \cup \bigcup (\text{set } (\text{map } \text{funas-gmctxt } Ds))$

(is ?f C Ds = ?g C Ds) *<proof>*

lemma *funas-supremum*:

$C \leq D \implies \text{funas-gmctxt } D = \text{funas-gmctxt } C \cup \bigcup (\text{set } (\text{map } \text{funas-gmctxt } (\text{sup-gmctxt-args } C D)))$

<proof>

lemma *funas-gctxt-gctxt-of-gmctxt [simp]*:

$\text{num-gholes } D = \text{Suc } 0 \implies \text{funas-gctxt } (\text{gctxt-of-gmctxt } D) = \text{funas-gmctxt } D$

<proof>

lemma *funas-gterm-gterm-of-gmctxt [simp]*:

$\text{num-gholes } C = 0 \implies \text{funas-gterm } (\text{gterm-of-gmctxt } C) = \text{funas-gmctxt } C$

<proof>

lemma *less-sup-gmctxt-args-funas-gmctxt*:

$C \leq D \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \implies \forall Ds \in \text{set } (\text{sup-gmctxt-args } C D). \text{funas-gmctxt } Ds \subseteq \mathcal{F} \implies \text{funas-gmctxt } D \subseteq \mathcal{F}$
<proof>

lemma *funas-gmctxt-poss-gmctxt-subgm-at-funas*:

assumes $\text{funas-gmctxt } C \subseteq \mathcal{F} \quad p \in \text{poss-gmctxt } C$
shows $\text{funas-gmctxt } (\text{subgm-at } C p) \subseteq \mathcal{F}$
<proof>

lemma *inf-funas-gmctxt-subset1*:

$\text{funas-gmctxt } (C \sqcap D) \subseteq \text{funas-gmctxt } C$
<proof>

lemma *inf-funas-gmctxt-subset2*:

$\text{funas-gmctxt } (C \sqcap D) \subseteq \text{funas-gmctxt } D$
<proof>

end

theory *Bot-Terms*

imports *Utils*

begin

2.3 Bottom terms

datatype *'f bot-term* = *Bot* | *BFun* *'f* (*args*: *'f bot-term list*)

fun *term-to-bot-term* :: (*'f*, *'v*) *term* \Rightarrow *'f bot-term* $(^{-\perp} [80] 80)$ **where**

$(\text{Var } -)^{\perp} = \text{Bot}$
 $| (\text{Fun } f \text{ ts})^{\perp} = \text{BFun } f (\text{map } \text{term-to-bot-term } \text{ts})$

fun *root-bot* **where**

$\text{root-bot } \text{Bot} = \text{None}$ |
 $\text{root-bot } (\text{BFun } f \text{ ts}) = \text{Some } (f, \text{length } \text{ts})$

fun *funas-bot-term* **where**

$\text{funas-bot-term } \text{Bot} = \{\}$
 $| \text{funas-bot-term } (\text{BFun } f \text{ ss}) = \{(f, \text{length } \text{ss})\} \cup (\bigcup (\text{funas-bot-term } \text{' set } \text{ss}))$

lemma *finite-funas-bot-term*:

$\text{finite } (\text{funas-bot-term } t)$
<proof>

lemma *funas-bot-term-funas-term*:

$\text{funas-bot-term } (t^{\perp}) = \text{funas-term } t$
<proof>

lemma *term-to-bot-term-root-bot* [*simp*]:

$root\text{-}bot (t^\perp) = root\ t$

$\langle proof \rangle$

lemma *term-to-bot-term-root-bot-comp* [*simp*]:

$root\text{-}bot \circ term\text{-}to\text{-}bot\text{-}term = root$

$\langle proof \rangle$

inductive-set *mergeP* **where**

base-l [*simp*]: $(Bot, t) \in mergeP$

| *base-r* [*simp*]: $(t, Bot) \in mergeP$

| *step* [*intro*]: $length\ ss = length\ ts \implies (\forall i < length\ ts. (ss ! i, ts ! i) \in mergeP)$

\implies

$(BFun\ f\ ss, BFun\ f\ ts) \in mergeP$

lemma *merge-refl*:

$(s, s) \in mergeP$

$\langle proof \rangle$

lemma *merge-symmetric*:

assumes $(s, t) \in mergeP$

shows $(t, s) \in mergeP$

$\langle proof \rangle$

fun *merge-terms* :: '*f bot-term* \Rightarrow '*f bot-term* \Rightarrow '*f bot-term* (**infixr** \uparrow 67) **where**

$Bot\ \uparrow\ s = s$

| $s\ \uparrow\ Bot = s$

| $(BFun\ f\ ss)\ \uparrow\ (BFun\ g\ ts) = (if\ f = g \wedge length\ ss = length\ ts$
 $then\ BFun\ f\ (map\ (case\text{-}prod\ (\uparrow))\ (zip\ ss\ ts))$
 $else\ undefined)$

lemma *merge-terms-bot-rhs*[*simp*]:

$s\ \uparrow\ Bot = s$ $\langle proof \rangle$

lemma *merge-terms-idem*: $s\ \uparrow\ s = s$

$\langle proof \rangle$

lemma *merge-terms-assoc* [*ac-simps*]:

assumes $(s, t) \in mergeP$ **and** $(t, u) \in mergeP$

shows $(s\ \uparrow\ t)\ \uparrow\ u = s\ \uparrow\ t\ \uparrow\ u$

$\langle proof \rangle$

lemma *merge-terms-commutative* [*ac-simps*]:

shows $s\ \uparrow\ t = t\ \uparrow\ s$

$\langle proof \rangle$

lemma *merge-dist*:

assumes $(s, t\ \uparrow\ u) \in mergeP$ **and** $(t, u) \in mergeP$

shows $(s, t) \in mergeP$ $\langle proof \rangle$

lemma *mergeP-ass*:

$(s, t \uparrow u) \in \text{mergeP} \implies (t, u) \in \text{mergeP} \implies (s \uparrow t, u) \in \text{mergeP}$
 $\langle \text{proof} \rangle$

inductive-set *bless-eq* **where**

base-l [*simp*]: $(\text{Bot}, t) \in \text{bless-eq}$
| *step* [*intro*]: $\text{length } ss = \text{length } ts \implies (\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{bless-eq})$
 \implies
 $(\text{BFun } f \text{ } ss, \text{BFun } f \text{ } ts) \in \text{bless-eq}$

Infix syntax.

abbreviation *bless-eq-pred* $s \ t \equiv (s, t) \in \text{bless-eq}$

notation

bless-eq $(\{\leq_b\})$ **and**
bless-eq-pred $((-/ \leq_b -)$ [56, 56] 55)

lemma *BFun-leq-Bot-False* [*simp*]:

$\text{BFun } f \text{ } ts \leq_b \text{Bot} \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

lemma *BFun-lesseqE* [*elim*]:

assumes $\text{BFun } f \text{ } ts \leq_b t$
obtains *us* **where** $\text{length } ts = \text{length } us \ t = \text{BFun } f \text{ } us$
 $\langle \text{proof} \rangle$

lemma *bless-eq-refl*: $s \leq_b s$

$\langle \text{proof} \rangle$

lemma *bless-eq-trans* [*trans*]:

assumes $s \leq_b t$ **and** $t \leq_b u$
shows $s \leq_b u$ $\langle \text{proof} \rangle$

lemma *bless-eq-anti-sym*:

$s \leq_b t \implies t \leq_b s \implies s = t$
 $\langle \text{proof} \rangle$

lemma *bless-eq-mergeP*:

$s \leq_b t \implies (s, t) \in \text{mergeP}$
 $\langle \text{proof} \rangle$

lemma *merge-bot-args-bless-eq-merge*:

assumes $(s, t) \in \text{mergeP}$
shows $s \leq_b s \uparrow t$ $\langle \text{proof} \rangle$

lemma *bless-eq-closed-under-merge*:

assumes $(s, t) \in \text{mergeP}$ $(u, v) \in \text{mergeP}$ $s \leq_b u$ $t \leq_b v$
shows $s \uparrow t \leq_b u \uparrow v$ $\langle \text{proof} \rangle$

lemma *blees-eq-closed-under-supremum*:

assumes $s \leq_b u$ $t \leq_b u$

shows $s \uparrow t \leq_b u$ *<proof>*

lemma *linear-term-comb-subst*:

assumes *linear-term* (*Fun f ss*)

and $\text{length } ss = \text{length } ts$

and $\bigwedge i. i < \text{length } ts \implies ss ! i \cdot \sigma i = ts ! i$

shows $\exists \sigma. \text{Fun } f \ ss \cdot \sigma = \text{Fun } f \ ts$

<proof>

lemma *blees-eq-to-instance*:

assumes $s^\perp \leq_b t^\perp$ **and** *linear-term s*

shows $\exists \sigma. s \cdot \sigma = t$ *<proof>*

lemma *instance-to-blees-eq*:

assumes $s \cdot \sigma = t$

shows $s^\perp \leq_b t^\perp$ *<proof>*

end

theory *Saturation*

imports *Main*

begin

2.4 Set operation closure for idempotent, associative, and commutative functions

lemma *inv-to-set*:

$(\forall i < \text{length } ss. ss ! i \in S) \longleftrightarrow \text{set } ss \subseteq S$

<proof>

lemma *ac-comp-fun-commute*:

assumes $\bigwedge x y. f x y = f y x$ **and** $\bigwedge x y z. f x (f y z) = f (f x y) z$

shows *comp-fun-commute f* *<proof>*

lemma (**in** *comp-fun-commute*) *fold-list-swap*:

$\text{fold } f \ xs \ (\text{fold } f \ ys \ y) = \text{fold } f \ ys \ (\text{fold } f \ xs \ y)$

<proof>

lemma (**in** *comp-fun-commute*) *foldr-list-swap*:

$\text{foldr } f \ xs \ (\text{foldr } f \ ys \ y) = \text{foldr } f \ ys \ (\text{foldr } f \ xs \ y)$

<proof>

lemma (**in** *comp-fun-commute*) *foldr-to-fold*:

$\text{foldr } f \ xs = \text{fold } f \ xs$

<proof>

lemma (**in** *comp-fun-commute*) *fold-commute-f*:

$f x (\text{foldr } f \ xs \ y) = \text{foldr } f \ xs \ (f x y)$

$\langle proof \rangle$

lemma *closure-sound*:

assumes *cl*: $\bigwedge s t. s \in S \implies t \in S \implies f s t \in S$
and *com*: $\bigwedge x y. f x y = f y x$ **and** *ass*: $\bigwedge x y z. f x (f y z) = f (f x y) z$
and *fin*: $set\ ss \subseteq S\ ss \neq []$
shows $fold\ f\ (tl\ ss)\ (hd\ ss) \in S$ $\langle proof \rangle$

locale *set-closure-operator* =

fixes *f*
assumes *com* [*ac-simps*]: $\bigwedge x y. f x y = f y x$
and *ass* [*ac-simps*]: $\bigwedge x y z. f x (f y z) = f (f x y) z$
and *idem*: $\bigwedge x. f x x = x$

sublocale *set-closure-operator* \subseteq *comp-fun-idem*

$\langle proof \rangle$

context *set-closure-operator*

begin

inductive-set *closure* **for** *S* **where**

base [*simp*]: $s \in S \implies s \in closure\ S$
step [*intro*]: $s \in closure\ S \implies t \in closure\ S \implies f s t \in closure\ S$

lemma *closure-idem* [*simp*]:

$closure\ (closure\ S) = closure\ S$ (**is** $?LS = ?RS$)
 $\langle proof \rangle$

lemma *fold-dist*:

assumes $xs \neq []$
shows $f\ (fold\ f\ (tl\ xs)\ (hd\ xs))\ t = fold\ f\ xs\ t$ $\langle proof \rangle$

lemma *closure-to-cons-list*:

assumes $s \in closure\ S$
shows $\exists ss \neq []. fold\ f\ (tl\ ss)\ (hd\ ss) = s \wedge (\forall i < length\ ss. ss ! i \in S)$ $\langle proof \rangle$

lemma *sound-fold*:

assumes $set\ ss \subseteq closure\ S$ **and** $ss \neq []$
shows $fold\ f\ (tl\ ss)\ (hd\ ss) \in closure\ S$ $\langle proof \rangle$

lemma *closure-empty* [*simp*]: $closure\ \{\} = \{\}$

$\langle proof \rangle$

lemma *closure-mono*:

$S \subseteq T \implies closure\ S \subseteq closure\ T$
 $\langle proof \rangle$

lemma *closure-insert*:

$closure (insert\ x\ S) = \{x\} \cup closure\ S \cup \{f\ x\ s \mid s.\ s \in closure\ S\}$
 <proof>

lemma *finite-S-finite-closure* [intro]:
 $finite\ S \implies finite\ (closure\ S)$
 <proof>

end

locale *semilattice-closure-operator* =
cl: set-closure-operator *f* for *f* :: 'a \Rightarrow 'a \Rightarrow 'a +
fixes *less-eq* *e*
assumes *neut-fun* [simp]: $\bigwedge x.\ f\ e\ x = x$
and *neut-less* [simp]: $\bigwedge x.\ less-eq\ e\ x$
and *sup-l*: $\bigwedge x\ y.\ less-eq\ x\ (f\ x\ y)$
and *sup-r*: $\bigwedge x\ y.\ less-eq\ y\ (f\ x\ y)$
and *upper-bound*: $\bigwedge x\ y\ z.\ less-eq\ x\ z \implies less-eq\ y\ z \implies less-eq\ (f\ x\ y)\ z$
and *trans*: $\bigwedge x\ y\ z.\ less-eq\ x\ y \implies less-eq\ y\ z \implies less-eq\ x\ z$
and *anti-sym*: $\bigwedge x\ y.\ less-eq\ x\ y \implies less-eq\ y\ x \implies x = y$
begin

lemma *unique-neut-elem* [simp]:
 $f\ x\ y = e \iff x = e \wedge y = e$
 <proof>

abbreviation $closure\ S \equiv cl.closure\ S$

lemma *closure-to-cons-listE*:
assumes $s \in closure\ S$
obtains *ss* **where** $ss \neq []$ $fold\ f\ ss\ e = s$ $set\ ss \subseteq S$
 <proof>

lemma *sound-fold*:
assumes $set\ ss \subseteq closure\ S$ $ss \neq []$
shows $fold\ f\ ss\ e \in closure\ S$
 <proof>

abbreviation $supremum\ S \equiv Finite-Set.fold\ f\ e\ S$

definition $smaller-subset\ x\ S \equiv \{y.\ less-eq\ y\ x \wedge y \in S\}$

lemma *smaller-subset-empty* [simp]:
 $smaller-subset\ x\ \{\} = \{\}$
 <proof>

lemma *finite-smaller-subset* [simp, intro]:
 $finite\ S \implies finite\ (smaller-subset\ x\ S)$
 <proof>

lemma *smaller-subset-mono*:

smaller-subset $x S \subseteq S$

<proof>

lemma *sound-set-fold*:

assumes *set* $ss \subseteq \text{closure } S$ **and** $ss \neq []$

shows $\text{supremum } (set\ ss) \in \text{closure } S$

<proof>

lemma *supremum-neutral* [*simp*]:

assumes *finite* S **and** $\text{supremum } S = e$

shows $S \subseteq \{e\}$ *<proof>*

lemma *supremum-in-closure*:

assumes *finite* S **and** $R \subseteq \text{closure } S$ **and** $R \neq \{\}$

shows $\text{supremum } R \in \text{closure } S$

<proof>

lemma *supremum-sound*:

assumes *finite* S

shows $\bigwedge t. t \in S \implies \text{less-eq } t (\text{supremum } S)$

<proof>

lemma *supremum-sound-list*:

$\forall i < \text{length } ss. \text{less-eq } (ss\ !\ i) (\text{fold } f\ ss\ e)$

<proof>

lemma *smaller-subset-insert* [*simp*]:

$\text{less-eq } y\ x \implies \text{smaller-subset } x (\text{insert } y\ S) = \text{insert } y (\text{smaller-subset } x\ S)$

$\neg \text{less-eq } y\ x \implies \text{smaller-subset } x (\text{insert } y\ S) = \text{smaller-subset } x\ S$

<proof>

lemma *supremum-smaller-subset*:

assumes *finite* S

shows $\text{less-eq } (\text{supremum } (\text{smaller-subset } x\ S))\ x$ *<proof>*

lemma *pre-subset-eq-pos-subset* [*simp*]:

shows $\text{smaller-subset } x (\text{closure } S) = \text{closure } (\text{smaller-subset } x\ S)$ (**is** $?LS = ?RS$)

<proof>

lemma *supremum-in-smaller-closure*:

assumes *finite* S

shows $\text{supremum } (\text{smaller-subset } x\ S) \in \{e\} \cup (\text{closure } S)$

<proof>

lemma *supremum-subset-less-eq*:

assumes *finite S and $R \subseteq S$*
shows *less-eq (supremum R) (supremum S) <proof>*

lemma *supremum-smaller-closure [simp]:*

assumes *finite S*
shows *supremum (smaller-subset x (closure S)) = supremum (smaller-subset x S)*
<proof>

end

fun *lift-f-total where*

lift-f-total P f None = None
| *lift-f-total P f - None = None*
| *lift-f-total P f (Some s) (Some t) = (if P s t then Some (f s t) else None)*

fun *lift-less-eq-total where*

lift-less-eq-total f - None = True
| *lift-less-eq-total f None - = False*
| *lift-less-eq-total f (Some s) (Some t) = (f s t)*

locale *set-closure-partial-operator =*

fixes *P f*

assumes *refl: $\bigwedge x. P x x$*

and *sym: $\bigwedge x y. P x y \implies P y x$*

and *dist: $\bigwedge x y z. P y z \implies P x (f y z) \implies P x y$*

and *assP: $\bigwedge x y z. P x (f y z) \implies P y z \implies P (f x y) z$*

and *com [ac-simps]: $\bigwedge x y. P x y \implies f x y = f y x$*

and *ass [ac-simps]: $\bigwedge x y z. P x y \implies P y z \implies f x (f y z) = f (f x y) z$*

and *idem: $\bigwedge x. f x x = x$*

begin

lemma *lift-f-total-com:*

lift-f-total P f x y = lift-f-total P f y x
<proof>

lemma *lift-f-total-ass:*

lift-f-total P f x (lift-f-total P f y z) = lift-f-total P f (lift-f-total P f x y) z
<proof>

lemma *lift-f-total-idem:*

lift-f-total P f x x = x
<proof>

lemma *lift-f-totalE[elim]:*

assumes *lift-f-total P f s u = Some t*
obtains *v w where s = Some v u = Some w*

<proof>

lemma *lift-set-closure-operator*:
 set-closure-operator (lift-f-total P f)
 <proof>

end

sublocale *set-closure-partial-operator* \subseteq *lift-fun*: *set-closure-operator lift-f-total P f*
 <proof>

context *set-closure-partial-operator* **begin**

abbreviation *lift-closure S* \equiv *lift-fun.closure (Some ‘ S)*

inductive-set *pred-closure* **for** *S* **where**
 base [simp]: s ∈ S \implies s ∈ pred-closure S
 | *step [intro]: s ∈ pred-closure S \implies t ∈ pred-closure S \implies P s t \implies f s t ∈ pred-closure S*

lemma *pred-closure-to-some-lift-closure*:
 assumes *s ∈ pred-closure S*
 shows *Some s ∈ lift-closure S* *<proof>*

lemma *some-lift-closure-pred-closure*:
 fixes *t* **defines** *s* \equiv *Some t*
 assumes *Some t ∈ lift-closure S*
 shows *t ∈ pred-closure S* *<proof>*

lemma *pred-closure-lift-closure*:
 pred-closure S = the ‘ (lift-closure S - {None}) (is ?LS = ?RS)
 <proof>

lemma *finite-S-finite-closure* [*simp, intro*]:
 finite S \implies finite (pred-closure S)
 <proof>

lemma *closure-mono*:
 assumes *S \subseteq T*
 shows *pred-closure S \subseteq pred-closure T*
 <proof>

lemma *pred-closure-empty* [*simp*]:
 pred-closure {} = {}
 <proof>

end

locale *semilattice-closure-partial-operator* =

cl: set-closure-partial-operator $P f$ for P and $f :: 'a \Rightarrow 'a \Rightarrow 'a +$
fixes *less-eq* e
assumes *neut-elm* : $\bigwedge x. f e x = x$
and *neut-pred*: $\bigwedge x. P e x$
and *neut-less*: $\bigwedge x. \text{less-eq } e x$
and *pred-less*: $\bigwedge x y z. \text{less-eq } x y \implies \text{less-eq } z y \implies P x z$
and *sup-l*: $\bigwedge x y. P x y \implies \text{less-eq } x (f x y)$
and *sup-r*: $\bigwedge x y. P x y \implies \text{less-eq } y (f x y)$
and *upper-bound*: $\bigwedge x y z. \text{less-eq } x z \implies \text{less-eq } y z \implies \text{less-eq } (f x y) z$
and *trans*: $\bigwedge x y z. \text{less-eq } x y \implies \text{less-eq } y z \implies \text{less-eq } x z$
and *anti-sym*: $\bigwedge x y. \text{less-eq } x y \implies \text{less-eq } y x \implies x = y$
begin

abbreviation *lifted-less-eq* $\equiv \text{lift-less-eq-total less-eq}$

abbreviation *lifted-fun* $\equiv \text{lift-f-total } P f$

lemma *lift-less-eq-None* [*simp*]:
lifted-less-eq *None* $y \longleftrightarrow y = \text{None}$
 ⟨*proof*⟩

lemma *lift-less-eq-neut-elm* [*simp*]:
lifted-fun (*Some* e) $s = s$
 ⟨*proof*⟩

lemma *lift-less-eq-neut-less* [*simp*]:
lifted-less-eq (*Some* e) $s \longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *lift-less-eq-sup-l* [*simp*]:
lifted-less-eq x (*lifted-fun* $x y$) $\longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *lift-less-eq-sup-r* [*simp*]:
lifted-less-eq y (*lifted-fun* $x y$) $\longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *lifted-less-eq-trans* [*trans*]:
lifted-less-eq $x y \implies \text{lifted-less-eq } y z \implies \text{lifted-less-eq } x z$
 ⟨*proof*⟩

lemma *lifted-less-eq-anti-sym* [*trans*]:
lifted-less-eq $x y \implies \text{lifted-less-eq } y x \implies x = y$
 ⟨*proof*⟩

lemma *lifted-less-eq-upper*:
lifted-less-eq $x z \implies \text{lifted-less-eq } y z \implies \text{lifted-less-eq } (\text{lifted-fun } x y) z$
 ⟨*proof*⟩

lemma *semilattice-closure-operator-axioms*:

semilattice-closure-operator-axioms (*lift-f-total P f*) (*lift-less-eq-total less-eq*) (*Some e*)
 ⟨*proof*⟩

end

sublocale *semilattice-closure-partial-operator* \subseteq *lift-ord: semilattice-closure-operator*
lift-f-total P f lift-less-eq-total less-eq Some e
 ⟨*proof*⟩

context *semilattice-closure-partial-operator*
begin

abbreviation *supremum* \equiv *lift-ord.supremum*

abbreviation *smaller-subset* \equiv *lift-ord.smaller-subset*

lemma *supremum-impl*:

assumes *supremum* (*set* (*map Some ss*)) = *Some t*

shows *foldr f ss e = t* ⟨*proof*⟩

lemma *supremum-smaller-exists-unique*:

assumes *finite S*

shows $\exists!$ *p. supremum* (*smaller-subset* (*Some t*) (*Some ' S*)) = *Some p* ⟨*proof*⟩

lemma *supremum-neut-or-in-closure*:

assumes *finite S*

shows *the* (*supremum* (*smaller-subset* (*Some t*) (*Some ' S*))) \in $\{e\} \cup$ *cl.pred-closure S*
 ⟨*proof*⟩

end

fun *closure-impl* **where**

closure-impl f [] = []

| *closure-impl f* (*x # S*) = (*let cS = closure-impl f S in remdups* (*x # cS @ map* (*f x*) *cS*))

lemma (**in** *set-closure-operator*) *closure-impl [simp]*:

set (*closure-impl f S*) = *closure* (*set S*)

⟨*proof*⟩

lemma (**in** *set-closure-partial-operator*) *closure-impl [simp]*:

set (*map the* (*removeAll None* (*closure-impl* (*lift-f-total P f*) (*map Some S*)))) = *pred-closure* (*set S*)

⟨*proof*⟩

end

3 Rewriting

theory *Rewriting*

imports *Regular-Tree-Relations.Term-Context*

Regular-Tree-Relations.Ground-Terms

Utils

begin

3.1 Type definitions and rewrite relation definitions

type-synonym $'f$ *sig* = ($'f \times \text{nat}$) *set*

type-synonym ($'f, 'v$) *rule* = ($'f, 'v$) *term* \times ($'f, 'v$) *term*

type-synonym ($'f, 'v$) *trs* = ($'f, 'v$) *rule set*

definition *sig-step* $\mathcal{F} \mathcal{R} = \{(s, t). \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F} \wedge (s, t) \in \mathcal{R}\}$

inductive-set *rstep* :: $- \Rightarrow ('f, 'v)$ *term rel* **for** $R :: ('f, 'v)$ *trs*

where

rstep: $\bigwedge C \sigma l r. (l, r) \in R \implies s = C(l \cdot \sigma) \implies t = C(r \cdot \sigma) \implies (s, t) \in \text{rstep } R$

definition *rstep-r-p-s* :: ($'f, 'v$) *trs* \Rightarrow ($'f, 'v$) *rule* \Rightarrow *pos* \Rightarrow ($'f, 'v$) *subst* \Rightarrow ($'f, 'v$) *trs* **where**

rstep-r-p-s $R r p \sigma = \{(s, t). p \in \text{poss } s \wedge p \in \text{poss } t \wedge r \in R \wedge \text{ctxt-at-pos } s p = \text{ctxt-at-pos } t p \wedge$

$s[p \leftarrow (\text{fst } r \cdot \sigma)] = s \wedge t[p \leftarrow (\text{snd } r \cdot \sigma)] = t\}$

Rewriting steps below the root position.

definition *nrrstep* :: ($'f, 'v$) *trs* \Rightarrow ($'f, 'v$) *trs* **where**

nrrstep $R = \{(s, t). \exists r i ps \sigma. (s, t) \in \text{rstep-r-p-s } R r (i\#ps) \sigma\}$

Rewriting step at the root position.

definition *rrstep* :: ($'f, 'v$) *trs* \Rightarrow ($'f, 'v$) *trs* **where**

rrstep $R = \{(s, t). \exists r \sigma. (s, t) \in \text{rstep-r-p-s } R r [] \sigma\}$

the parallel rewrite relation

inductive-set *par-rstep* :: ($'f, 'v$) *trs* \Rightarrow ($'f, 'v$) *trs* **for** $R :: ('f, 'v)$ *trs*

where *root-step[intro]*: $(s, t) \in R \implies (s \cdot \sigma, t \cdot \sigma) \in \text{par-rstep } R$

| *par-step-fun[intro]*: $\llbracket \bigwedge i. i < \text{length } ts \implies (ss ! i, ts ! i) \in \text{par-rstep } R \rrbracket \implies$

$\text{length } ss = \text{length } ts$
 $\implies (\text{Fun } f \text{ } ss, \text{Fun } f \text{ } ts) \in \text{par-rstep } R$

| *par-step-var[intro]*: $(\text{Var } x, \text{Var } x) \in \text{par-rstep } R$

3.2 Ground variants connecting to FORT

definition *grrstep* :: ($'f, 'v$) *trs* \Rightarrow $'f$ *gterm rel* **where**

$grstep \mathcal{R} = \text{inv-image } (rrstep \mathcal{R}) \text{ term-of-gterm}$

definition $gnrrstep :: ('f, 'v) \text{ trs} \Rightarrow 'f \text{ gterm rel}$ **where**
 $gnrrstep \mathcal{R} = \text{inv-image } (nrrstep \mathcal{R}) \text{ term-of-gterm}$

definition $grstep :: ('f, 'v) \text{ trs} \Rightarrow 'f \text{ gterm rel}$ **where**
 $grstep \mathcal{R} = \text{inv-image } (rstep \mathcal{R}) \text{ term-of-gterm}$

definition $gpar-rstep :: ('f, 'v) \text{ trs} \Rightarrow 'f \text{ gterm rel}$ **where**
 $gpar-rstep \mathcal{R} = \text{inv-image } (\text{par-rstep } \mathcal{R}) \text{ term-of-gterm}$

An alternative induction scheme that treats the rule-case, the substitution-case, and the context-case separately.

lemma $rstep\text{-induct}$ [consumes 1, case-names rule subst ctxt]:

assumes $(s, t) \in rstep R$
and rule: $\bigwedge l r. (l, r) \in R \Longrightarrow P l r$
and subst: $\bigwedge s t \sigma. P s t \Longrightarrow P (s \cdot \sigma) (t \cdot \sigma)$
and ctxt: $\bigwedge s t C. P s t \Longrightarrow P (C\langle s \rangle) (C\langle t \rangle)$
shows $P s t$
 $\langle \text{proof} \rangle$

lemmas $rstepI = rstep.intros$ [intro]

lemmas $rstepE = rstep.cases$ [elim]

lemma $rstep\text{-ctxt}$ [intro]: $(s, t) \in rstep R \Longrightarrow (C\langle s \rangle, C\langle t \rangle) \in rstep R$
 $\langle \text{proof} \rangle$

lemma $rstep\text{-rule}$ [intro]: $(l, r) \in R \Longrightarrow (l, r) \in rstep R$
 $\langle \text{proof} \rangle$

lemma $rstep\text{-subst}$ [intro]: $(s, t) \in rstep R \Longrightarrow (s \cdot \sigma, t \cdot \sigma) \in rstep R$
 $\langle \text{proof} \rangle$

lemma $nrrstep\text{-def}'$:

$nrrstep R = \{(s, t). \exists l r C \sigma. (l, r) \in R \wedge C \neq \square \wedge s = C\langle l \cdot \sigma \rangle \wedge t = C\langle r \cdot \sigma \rangle\}$
(is ?Ls = ?Rs)
 $\langle \text{proof} \rangle$

lemma $rrstep\text{-def}'$: $rrstep R = \{(s, t). \exists l r \sigma. (l, r) \in R \wedge s = l \cdot \sigma \wedge t = r \cdot \sigma\}$
 $\langle \text{proof} \rangle$

lemma $rstep\text{-imp-C-s-r}$:

assumes $(s, t) \in rstep R$
shows $\exists C \sigma l r. (l, r) \in R \wedge s = C\langle l \cdot \sigma \rangle \wedge t = C\langle r \cdot \sigma \rangle$ $\langle \text{proof} \rangle$

lemma $rhs\text{-wf}$:

assumes $R: (l, r) \in R$ **and** $\text{funas-trs } R \subseteq F$
shows $\text{funas-term } r \subseteq F$
 $\langle \text{proof} \rangle$

abbreviation $\text{linear-sys } \mathcal{R} \equiv (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{linear-term } r)$
abbreviation $\text{const-subt } c \equiv \lambda x. \text{Fun } c \square$

end

4 Primitive constructions

theory *LV-to-GTT*

imports *Regular-Tree-Relations.Pair-Automaton*

Bot-Terms

Rewriting

begin

4.1 Recognizing subterms of linear terms

abbreviation $\text{ffunas-terms where}$

$\text{ffunas-terms } R \equiv |\bigcup| (\text{ffunas-term } |\cdot| R)$

definition $\text{states } R \equiv \{t^\perp \mid s t. s \in R \wedge s \supseteq t\}$

lemma *states-conv:*

$\text{states } R = \text{term-to-bot-term } '(\bigcup s \in R. \text{subterms } s)$
 $\langle \text{proof} \rangle$

lemma *finite-states:*

assumes *finite* R **shows** *finite* $(\text{states } R)$

$\langle \text{proof} \rangle$

lemma *root-bot-diff:*

$\text{root-bot } ' (R - \{\text{Bot}\}) = (\text{root-bot } ' R) - \{\text{None}\}$
 $\langle \text{proof} \rangle$

lemma *root-bot-states-root-subterms:*

$\text{the } ' (\text{root-bot } ' (\text{states } R - \{\text{Bot}\})) = \text{the } ' (\text{root } ' (\bigcup s \in R. \text{subterms } s) - \{\text{None}\})$

$\langle \text{proof} \rangle$

context

includes *fset.lifting*

begin

lift-definition $\text{fstates} :: ('f, 'v) \text{ term fset} \Rightarrow 'f \text{ bot-term fset is states}$

$\langle \text{proof} \rangle$

lift-definition *fsubterms* :: ('f, 'v) term \Rightarrow ('f, 'v) term fset **is** subterms
 ⟨proof⟩

lemmas *fsubterms* [code] = *subterms.simps*[*Transfer.transferred*]

lift-definition *ffunas-trs* :: (('f, 'v) term \times ('f, 'v) term) fset \Rightarrow ('f \times nat) fset
is *funas-trs*
 ⟨proof⟩

lemma *fstates-def'*:
 $t \in | fstates\ R \iff (\exists\ s\ u.\ s \in | R \wedge s \supseteq u \wedge u^\perp = t)$
 ⟨proof⟩

lemma *fstates-fmemberE* [*elim!*]:
assumes $t \in | fstates\ R$
obtains $s\ u$ **where** $s \in | R \wedge s \supseteq u \wedge u^\perp = t$
 ⟨proof⟩

lemma *fstates-fmemberI* [*intro*]:
 $s \in | R \implies s \supseteq u \implies u^\perp \in | fstates\ R$
 ⟨proof⟩

lemmas *root-bot-states-root-subterms* = *root-bot-states-root-subterms*[*Transfer.transferred*]
lemmas *root-fsubterms-ffunas-term-fset* = *root-subterms-funas-term-set*[*Transfer.transferred*]

lemma *fstates*[code]:
 $fstates\ R = term\ to\ bot\ term\ |\ \bigcup\ (fsubterms\ |\ R)$
 ⟨proof⟩

end

definition *ta-rule-sig* **where**
 $ta\ rule\ sig = (\lambda\ r.\ (r\ root\ r,\ length\ (r\ lhs\ states\ r)))$

primrec *term-to-ta-rule* **where**
 $term\ to\ ta\ rule\ (BFun\ f\ ts) = TA\ rule\ f\ ts\ (BFun\ f\ ts)$

lemma *ta-rule-sig-term-to-ta-rule-root*:
 $t \neq Bot \implies ta\ rule\ sig\ (term\ to\ ta\ rule\ t) = the\ (root\ bot\ t)$
 ⟨proof⟩

lemma *ta-rule-sig-term-to-ta-rule-root-set*:
assumes $Bot \notin | R$
shows $ta\ rule\ sig\ |\ (term\ to\ ta\ rule\ |\ R) = the\ |\ (root\ bot\ |\ R)$
 ⟨proof⟩

definition *pattern-automaton-rules* **where**

pattern-automaton-rules $\mathcal{F} R =$
 (let states = (fstates R) - $\{|Bot|\}$) in
 term-to-ta-rule $|^{\dagger}$ states \cup $(\lambda (f, n). TA\text{-rule } f \text{ (replicate } n \text{ Bot) Bot}) |^{\dagger} \mathcal{F}$

lemma *pattern-automaton-rules-BotD*:

assumes *TA-rule* f *ss* *Bot* $|\in|$ *pattern-automaton-rules* $\mathcal{F} R$

shows *TA-rule* f *ss* *Bot* $|\in|$ $(\lambda (f, n). TA\text{-rule } f \text{ (replicate } n \text{ Bot) Bot}) |^{\dagger} \mathcal{F}$
 $\langle proof \rangle$

lemma *pattern-automaton-rules-FunD*:

assumes *TA-rule* f *ss* (*BFun* g *ts*) $|\in|$ *pattern-automaton-rules* $\mathcal{F} R$

shows $g = f \wedge ts = ss \wedge$

TA-rule f *ss* (*BFun* g *ts*) $|\in|$ *term-to-ta-rule* $|^{\dagger}$ ((fstates R) - $\{|Bot|\}$) $\langle proof \rangle$

definition *pattern-automaton where*

pattern-automaton $\mathcal{F} R = TA \text{ (pattern-automaton-rules } \mathcal{F} R) \{\|\}$

lemma *ta-sig-pattern-automaton [simp]*:

ta-sig (*pattern-automaton* $\mathcal{F} R$) = $\mathcal{F} \cup$ *ffunas-terms* R

$\langle proof \rangle$

lemma *terms-reach-Bot*:

assumes *ffunas-gterm* $t \subseteq$ \mathcal{F}

shows *Bot* $|\in|$ *ta-der* (*pattern-automaton* $\mathcal{F} R$) (*term-of-gterm* t) $\langle proof \rangle$

lemma *pattern-automaton-reach-smaller-term*:

assumes $l \in$ R $l \supseteq s$ $s^{\perp} \leq_b$ (*term-of-gterm* t) $^{\perp}$ *ffunas-gterm* $t \subseteq$ \mathcal{F}

shows $s^{\perp} \in$ *ta-der* (*pattern-automaton* $\mathcal{F} R$) (*term-of-gterm* t) $\langle proof \rangle$

lemma *bot-term-of-gterm-conv*:

term-of-gterm $s^{\perp} =$ *term-of-gterm* s^{\perp}

$\langle proof \rangle$

lemma *pattern-automaton-ground-instance-reach*:

assumes $l \in$ R $l \cdot \sigma =$ (*term-of-gterm* t) *ffunas-gterm* $t \subseteq$ \mathcal{F}

shows $l^{\perp} \in$ *ta-der* (*pattern-automaton* $\mathcal{F} R$) (*term-of-gterm* t)

$\langle proof \rangle$

lemma *pattern-automaton-reach-smallest-term*:

assumes $l^{\perp} \in$ *ta-der* (*pattern-automaton* $\mathcal{F} R$) t *ground* t

shows $l^{\perp} \leq_b t^{\perp}$ $\langle proof \rangle$

4.2 Recognizing root step relation of LV-TRSs

definition *lv-trs* :: ($'f, 'v$) *trs* \Rightarrow *bool* **where**

lv-trs $R \equiv \forall (l, r) \in R. \text{linear-term } l \wedge \text{linear-term } r \wedge (\text{vars-term } l \cap \text{vars-term } r = \{\})$

lemma *subst-unification*:

assumes *vars-term* $s \cap \text{vars-term } t = \{\}$
obtains μ **where** $s \cdot \sigma = s \cdot \mu \ t \cdot \tau = t \cdot \mu$
 $\langle \text{proof} \rangle$

lemma *lv-trs-subst-unification*:

assumes *lv-trs* $R \ (l, r) \in R \ s = l \cdot \sigma \ t = r \cdot \tau$
obtains μ **where** $s = l \cdot \mu \wedge t = r \cdot \mu$
 $\langle \text{proof} \rangle$

definition Rel_f **where**

$Rel_f \ R = \text{map-both term-to-bot-term } |\uparrow| \ R$

definition *root-pair-automaton* **where**

root-pair-automaton $\mathcal{F} \ R = (\text{pattern-automaton } \mathcal{F} \ (\text{fst } |\uparrow| \ R),$
pattern-automaton $\mathcal{F} \ (\text{snd } |\uparrow| \ R))$

definition *agtt-grrstep* **where**

agtt-grrstep $\mathcal{R} \ \mathcal{F} = \text{pair-at-to-agtt}' (\text{root-pair-automaton } \mathcal{F} \ \mathcal{R}) \ (Rel_f \ \mathcal{R})$

lemma *agtt-grrstep-eps-trancl* [*simp*]:

$(\text{eps } (\text{fst } (\text{agtt-grrstep } \mathcal{R} \ \mathcal{F})))^{+} = \text{eps } (\text{fst } (\text{agtt-grrstep } \mathcal{R} \ \mathcal{F}))$
 $(\text{eps } (\text{snd } (\text{agtt-grrstep } \mathcal{R} \ \mathcal{F}))) = \{\|\}$
 $\langle \text{proof} \rangle$

lemma *root-pair-automaton-grrstep*:

fixes $R :: ('f, 'v) \text{ rule fset}$
assumes *lv-trs* $(\text{fset } R) \ \text{ffun-as-trs } R \ |\subseteq| \ \mathcal{F}$
shows *pair-at-lang* $(\text{root-pair-automaton } \mathcal{F} \ R) \ (Rel_f \ R) = \text{Restr } (\text{grrstep } (\text{fset } R)) \ (\mathcal{T}_G \ (\text{fset } \mathcal{F}))$ (**is** $?Ls = ?Rs$)
 $\langle \text{proof} \rangle$

lemma *agtt-grrstep*:

fixes $R :: ('f, 'v) \text{ rule fset}$
assumes *lv-trs* $(\text{fset } R) \ \text{ffun-as-trs } R \ |\subseteq| \ \mathcal{F}$
shows *agtt-lang* $(\text{agtt-grrstep } R \ \mathcal{F}) = \text{Restr } (\text{grrstep } (\text{fset } R)) \ (\mathcal{T}_G \ (\text{fset } \mathcal{F}))$
 $\langle \text{proof} \rangle$

lemma *root-pair-automaton-grrstep-set*:

fixes $R :: ('f, 'v) \text{ rule set}$
assumes *finite* $R \ \text{finite } \mathcal{F} \ \text{lv-trs } R \ \text{fun-as-trs } R \ \subseteq \ \mathcal{F}$
shows *pair-at-lang* $(\text{root-pair-automaton } (\text{Abs-fset } \mathcal{F}) \ (\text{Abs-fset } R)) \ (Rel_f \ (\text{Abs-fset } R)) = \text{Restr } (\text{grrstep } R) \ (\mathcal{T}_G \ \mathcal{F})$
 $\langle \text{proof} \rangle$

lemma *agtt-grrstep-set*:

fixes $R :: ('f, 'v) \text{ rule set}$

assumes *finite R finite F lv-trs R funas-trs R* $\subseteq \mathcal{F}$
shows *agtt-lang (agtt-grrstep (Abs-fset R) (Abs-fset F)) = Restr (grrstep R) (\mathcal{T}_G F)*
 ⟨*proof*⟩

end
theory *NF*
imports
 Saturation
 Bot-Terms
 Regular-Tree-Relations.Tree-Automata
begin

4.3 Recognizing normal forms of left linear TRSs

interpretation *lift-total: semilattice-closure-partial-operator* $\lambda x y. (x, y) \in \text{merge}P$
 (\uparrow) $\lambda x y. x \leq_b y$ *Bot*
 ⟨*proof*⟩

abbreviation *psubt-lhs-bot* $R \equiv \{t^\perp \mid s t. s \in R \wedge s \triangleright t\}$
abbreviation *closure* $S \equiv \text{lift-total.cl.pred-closure } S$

definition *states where*
states R = insert Bot (closure (psubt-lhs-bot R))

lemma *psubt-mono:*
 $R \subseteq S \implies \text{psubt-lhs-bot } R \subseteq \text{psubt-lhs-bot } S$ ⟨*proof*⟩

lemma *states-mono:*
 $R \subseteq S \implies \text{states } R \subseteq \text{states } S$
 ⟨*proof*⟩

lemma *finite-lhs-subt [simp, intro]:*
assumes *finite R*
shows *finite (psubt-lhs-bot R)*
 ⟨*proof*⟩

lemma *states-ref-closure:*
 $\text{states } R \subseteq \text{insert Bot (closure (psubt-lhs-bot R))}$
 ⟨*proof*⟩

lemma *finite-R-finite-states [simp, intro]:*
 $\text{finite } R \implies \text{finite (states } R)$
 ⟨*proof*⟩

abbreviation *lift-sup-small* $s S \equiv \text{lift-total.supremum (lift-total.smaller-subset (Some s) (Some 'S))}$
abbreviation *bound-max* $s S \equiv \text{the (lift-sup-small s S)}$

lemma *bound-max-state-set*:

assumes *finite R*

shows $\text{bound-max } t \text{ (psubt-lhs-bot } R) \in \text{states } R$

<proof>

context

includes *fset.lifting*

begin

lift-definition $\text{fstates} :: ('a, 'b) \text{ term fset} \Rightarrow 'a \text{ bot-term fset}$ **is** *states*

<proof>

lemma *bound-max-state-fset*:

$\text{bound-max } t \text{ (psubt-lhs-bot (fset } R)) \in \text{fstates } R$

<proof>

end

definition *nf-rules where*

$\text{nf-rules } R \mathcal{F} = \{ | \text{TA-rule } f \text{ qs } q \mid f \text{ qs } q. (f, \text{length } \text{qs}) \in \mathcal{F} \wedge \text{fset-of-list } \text{qs} \subseteq | \text{fstates } R \wedge$

$\neg(\exists l \in | R. l^\perp \leq_b \text{BFun } f \text{ qs}) \wedge q = \text{bound-max } (\text{BFun } f \text{ qs}) \text{ (psubt-lhs-bot (fset } R)) | \}$

lemma *nf-rules-fmember*:

$\text{TA-rule } f \text{ qs } q \in \text{nf-rules } R \mathcal{F} \iff (f, \text{length } \text{qs}) \in \mathcal{F} \wedge \text{fset-of-list } \text{qs} \subseteq | \text{fstates } R \wedge$

$\neg(\exists l \in | R. l^\perp \leq_b \text{BFun } f \text{ qs}) \wedge q = \text{bound-max } (\text{BFun } f \text{ qs}) \text{ (psubt-lhs-bot (fset } R))$

<proof>

definition *nf-ta where*

$\text{nf-ta } R \mathcal{F} = \text{TA } (\text{nf-rules } R \mathcal{F}) \{ | \}$

definition *nf-reg where*

$\text{nf-reg } R \mathcal{F} = \text{Reg } (\text{fstates } R) (\text{nf-ta } R \mathcal{F})$

lemma *bound-max-sound*:

assumes *finite R*

shows $\text{bound-max } t \text{ (psubt-lhs-bot } R) \leq_b t$

<proof>

lemma *Bot-in-filter*:

$\text{Bot} \in \text{Set.filter } (\lambda s. s \leq_b t) \text{ (states } R)$

<proof>

lemma *bound-max-exists*:

$\exists p. p = \text{bound-max } t \text{ (psubt-lhs-bot } R)$

<proof>

lemma *bound-max-unique*:
assumes $p = \text{bound-max } t \text{ (psubt-lhs-bot } R)$ **and** $q = \text{bound-max } t \text{ (psubt-lhs-bot } R)$
shows $p = q$ *<proof>*

lemma *nf-rule-to-bound-max*:
 $f \text{ qs} \rightarrow q \mid \in \mid \text{nf-rules } R \mathcal{F} \implies q = \text{bound-max (BFun } f \text{ qs) (psubt-lhs-bot (fset } R))$
<proof>

lemma *nf-rules-unique*:
assumes $f \text{ qs} \rightarrow q \mid \in \mid \text{nf-rules } R \mathcal{F}$ **and** $f \text{ qs} \rightarrow q' \mid \in \mid \text{nf-rules } R \mathcal{F}$
shows $q = q'$ *<proof>*

lemma *nf-ta-det*:
shows *ta-det* (*nf-ta* $R \mathcal{F}$)
<proof>

lemma *term-instance-of-reach-state*:
assumes $q \mid \in \mid \text{ta-der (nf-ta } R \mathcal{F}) \text{ (adapt-vars } t)$ **and** *ground* t
shows $q \leq_b t^\perp$ *<proof>*

lemma [*simp*]: $i < \text{length } ss \implies l \triangleright \text{Fun } f \text{ ss} \implies l \triangleright ss ! i$
<proof>

lemma *subt-less-eq-res-less-eq*:
assumes *ground*: *ground* t **and** $l \mid \in \mid R$ **and** $l \triangleright s$ **and** $s^\perp \leq_b t^\perp$
and $q \mid \in \mid \text{ta-der (nf-ta } R \mathcal{F}) \text{ (adapt-vars } t)$
shows $s^\perp \leq_b q$ *<proof>*

lemma *ta-nf-sound1*:
assumes *ground*: *ground* t **and** *lhs*: $l \mid \in \mid R$ **and** *inst*: $l^\perp \leq_b t^\perp$
shows *ta-der* (*nf-ta* $R \mathcal{F}$) (*adapt-vars* t) = $\{\{\}\}$
<proof>

lemma *ta-nf-tr-to-state*:
assumes *ground* t **and** $q \mid \in \mid \text{ta-der (nf-ta } R \mathcal{F}) \text{ (adapt-vars } t)$
shows $q \mid \in \mid \text{fstates } R$ *<proof>*

lemma *ta-nf-sound2*:
assumes *linear*: $\forall l \mid \in \mid R. \text{linear-term } l$
and *ground* ($t :: ('f, 'v) \text{ term}$) **and** *funas-term* $t \subseteq \text{fset } \mathcal{F}$
and *NF*: $\bigwedge l s. l \mid \in \mid R \implies t \triangleright s \implies \neg l^\perp \leq_b s^\perp$
shows $\exists q. q \mid \in \mid \text{ta-der (nf-ta } R \mathcal{F}) \text{ (adapt-vars } t)$ *<proof>*

lemma *ta-nf-lang-sound*:
assumes $l \mid \in \mid R$
shows $C(l \cdot \sigma) \notin \text{ta-lang (fstates } R) \text{ (nf-ta } R \mathcal{F})$
<proof>

lemma *ta-nf-lang-complete*:

assumes *linear*: $\forall l \mid \in \mid R. \text{linear-term } l$

and *ground*: $\text{ground } (t :: ('f, 'v) \text{ term})$ **and** *sig*: $\text{funas-term } t \subseteq \text{fset } \mathcal{F}$

and *nf*: $\bigwedge C \sigma l. l \mid \in \mid R \implies C \langle l \cdot \sigma \rangle \neq t$

shows $t \in \text{ta-lang } (\text{fstates } R) (\text{nf-ta } R \mathcal{F})$

<proof>

lemma *ta-nf-L-complete*:

assumes *linear*: $\forall l \mid \in \mid R. \text{linear-term } l$

and *sig*: $\text{funas-gterm } t \subseteq \text{fset } \mathcal{F}$

and *nf*: $\bigwedge C \sigma l. l \mid \in \mid R \implies C \langle l \cdot \sigma \rangle \neq (\text{term-of-gterm } t)$

shows $t \in \mathcal{L} (\text{nf-reg } R \mathcal{F})$

<proof>

lemma *nf-ta-funas*:

assumes $\text{ground } t \ q \mid \in \mid \text{ta-der } (\text{nf-ta } R \mathcal{F}) \ t$

shows $\text{funas-term } t \subseteq \text{fset } \mathcal{F}$ *<proof>*

lemma *gta-lang-nf-ta-funas*:

assumes $t \in \mathcal{L} (\text{nf-reg } R \mathcal{F})$

shows $\text{funas-gterm } t \subseteq \text{fset } \mathcal{F}$ *<proof>*

end

theory *Tree-Automata-Derivation-Split*

imports *Regular-Tree-Relations.Tree-Automata*

Ground-MCtxt

begin

lemma *ta-der'-inf-mctxt*:

assumes $t \mid \in \mid \text{ta-der}' \mathcal{A} \ s$

shows $\text{fst } (\text{split-vars } t) \leq (\text{mctxt-of-term } s)$ *<proof>*

lemma *ta-der'-poss-subt-at-ta-der'*:

assumes $t \mid \in \mid \text{ta-der}' \mathcal{A} \ s$ **and** $p \in \text{poss } t$

shows $t \mid - \ p \mid \in \mid \text{ta-der}' \mathcal{A} \ (s \mid - \ p)$ *<proof>*

lemma *ta-der'-varposs-to-ta-der*:

assumes $t \mid \in \mid \text{ta-der}' \mathcal{A} \ s$ **and** $p \in \text{varposs } t$

shows $\text{the-Var } (t \mid - \ p) \mid \in \mid \text{ta-der } \mathcal{A} \ (s \mid - \ p)$ *<proof>*

definition *ta-der'-target-mctxt* $t \equiv \text{fst } (\text{split-vars } t)$

definition *ta-der'-target-args* $t \equiv \text{snd } (\text{split-vars } t)$

definition *ta-der'-source-args* $t \ s \equiv \text{unfill-holes } (\text{fst } (\text{split-vars } t)) \ s$

lemmas *ta-der'-mctxt-simps* = *ta-der'-target-mctxt-def ta-der'-target-args-def ta-der'-source-args-def*

lemma *ta-der'-target-mctxt-funas* [*simp*]:

$\text{funas-mctxt } (\text{ta-der'-target-mctxt } u) = \text{funas-term } u$

<proof>

lemma *ta-der'-target-mctxt-ground* [*simp*]:
ground-mctxt (ta-der'-target-mctxt t)
<proof>

lemma *ta-der'-source-args-ground*:
 $t \in | \mathcal{A} s \implies \text{ground } s \implies \forall u \in \text{set } (ta\text{-der}'\text{-source-args } t s). \text{ground } u$
<proof>

lemma *ta-der'-source-args-term-of-gterm*:
 $t \in | \mathcal{A} (\text{term-of-gterm } s) \implies \forall u \in \text{set } (ta\text{-der}'\text{-source-args } t (\text{term-of-gterm } s)). \text{ground } u$
<proof>

lemma *ta-der'-source-args-length*:
 $t \in | \mathcal{A} s \implies \text{num-holes } (ta\text{-der}'\text{-target-mctxt } t) = \text{length } (ta\text{-der}'\text{-source-args } t s)$
<proof>

lemma *ta-der'-target-args-length*:
 $\text{num-holes } (ta\text{-der}'\text{-target-mctxt } t) = \text{length } (ta\text{-der}'\text{-target-args } t)$
<proof>

lemma *ta-der'-target-args-vars-term-conv*:
 $\text{vars-term } t = \text{set } (ta\text{-der}'\text{-target-args } t)$
<proof>

lemma *ta-der'-target-args-vars-term-list-conv*:
 $ta\text{-der}'\text{-target-args } t = \text{vars-term-list } t$
<proof>

lemma *mctxt-args-ta-der'*:

assumes $\text{num-holes } C = \text{length } qs \text{ num-holes } C = \text{length } ss$

and $\forall i < \text{length } ss. qs ! i \in | \mathcal{A} (ss ! i)$

shows $(\text{fill-holes } C (\text{map } \text{Var } qs)) \in | \mathcal{A} (\text{fill-holes } C ss)$ *<proof>*

lemma *ta-der'-mctxt-structure*:

assumes $t \in | \mathcal{A} s$

shows $t = \text{fill-holes } (ta\text{-der}'\text{-target-mctxt } t) (\text{map } \text{Var } (ta\text{-der}'\text{-target-args } t))$ (**is** *?G1*)

$s = \text{fill-holes } (ta\text{-der}'\text{-target-mctxt } t) (ta\text{-der}'\text{-source-args } t s)$ (**is** *?G2*)

$\text{num-holes } (ta\text{-der}'\text{-target-mctxt } t) = \text{length } (ta\text{-der}'\text{-source-args } t s) \wedge$

$\text{length } (ta\text{-der}'\text{-source-args } t s) = \text{length } (ta\text{-der}'\text{-target-args } t)$ (**is** *?G3*)

$i < \text{length } (ta\text{-der}'\text{-source-args } t s) \implies ta\text{-der}'\text{-target-args } t ! i \in | \mathcal{A} (ta\text{-der}'\text{-source-args } t s ! i)$
<proof>

lemma *ta-der'-ground-mctxt-structure*:

assumes $t \in | \mathcal{A} \text{ (term-of-gterm } s)$
shows $t = \text{fill-holes (ta-der'-target-mctxt } t) \text{ (map Var (ta-der'-target-args } t))$
 $\text{term-of-gterm } s = \text{fill-holes (ta-der'-target-mctxt } t) \text{ (ta-der'-source-args } t \text{ (term-of-gterm } s))$
 $\text{num-holes (ta-der'-target-mctxt } t) = \text{length (ta-der'-source-args } t \text{ (term-of-gterm } s)) \wedge$
 $\text{length (ta-der'-source-args } t \text{ (term-of-gterm } s)) = \text{length (ta-der'-target-args } t)$
 $i < \text{length (ta-der'-target-args } t) \implies \text{ta-der'-target-args } t ! i \in | \mathcal{A}$
 $\text{(ta-der'-source-args } t \text{ (term-of-gterm } s) ! i)$
 $\langle \text{proof} \rangle$

definition $\text{ta-der'-gctxt } t \equiv \text{gctxt-of-gmctxt (gmctxt-of-mctxt (fst (split-vars } t)))$

abbreviation $\text{ta-der'-ctxt } t \equiv \text{ctxt-of-gctxt (ta-der'-gctxt } t)$

definition $\text{ta-der'-source-ctxt-arg } t \ s \equiv \text{hd (unfill-holes (fst (split-vars } t)) \ s)$

abbreviation $\text{ta-der'-source-gctxt-arg } t \ s \equiv \text{gterm-of-term (ta-der'-source-ctxt-arg } t \text{ (term-of-gterm } s))$

lemma $\text{ta-der'-ctxt-structure}$:

assumes $t \in | \mathcal{A} \ s \ \text{vars-term-list } t = [q]$
shows $t = \text{(ta-der'-ctxt } t) \langle \text{Var } q \rangle \text{ (is ?G1)}$
 $s = \text{(ta-der'-ctxt } t) \langle \text{ta-der'-source-ctxt-arg } t \ s \rangle \text{ (is ?G2)}$
 $\text{ground-ctxt (ta-der'-ctxt } t) \text{ (is ?G3)}$
 $q \in | \mathcal{A} \ \text{(ta-der'-source-ctxt-arg } t \ s) \text{ (is ?G4)}$
 $\langle \text{proof} \rangle$

lemma $\text{ta-der'-ground-ctxt-structure}$:

assumes $t \in | \mathcal{A} \ \text{(term-of-gterm } s) \ \text{vars-term-list } t = [q]$
shows $t = \text{(ta-der'-ctxt } t) \langle \text{Var } q \rangle$
 $s = \text{(ta-der'-gctxt } t) \langle \text{ta-der'-source-gctxt-arg } t \ s \rangle_{\mathcal{G}}$
 $\text{ground (ta-der'-source-ctxt-arg } t \ \text{(term-of-gterm } s))$
 $q \in | \mathcal{A} \ \text{(ta-der'-source-ctxt-arg } t \ \text{(term-of-gterm } s))$
 $\langle \text{proof} \rangle$

4.4 Sufficient condition for splitting the reachability relation induced by a tree automaton

locale $\text{derivation-split} =$

fixes $A :: ('q, 'f) \ \text{ta and } \mathcal{A} \ \text{and } \mathcal{B}$

assumes $\text{rule-split: rules } A = \text{rules } \mathcal{A} \ |\cup| \ \text{rules } \mathcal{B}$

and $\text{eps-split: eps } A = \text{eps } \mathcal{A} \ |\cup| \ \text{eps } \mathcal{B}$

and $\text{B-target-states: rule-target-states (rules } \mathcal{B}) \ |\cup| \ (\text{snd } | \uparrow \ (\text{eps } \mathcal{B})) \ |\cap|$

$(\text{rule-arg-states (rules } \mathcal{A}) \ |\cup| \ (\text{fst } | \uparrow \ (\text{eps } \mathcal{A}))) = \{\ |\}$

begin

abbreviation $\Delta_A \equiv \text{rules } \mathcal{A}$

abbreviation $\Delta_{\mathcal{E}A} \equiv \text{eps } \mathcal{A}$

abbreviation $\Delta_B \equiv \text{rules } \mathcal{B}$

abbreviation $\Delta_{\mathcal{E}B} \equiv \text{eps } \mathcal{B}$

abbreviation $\mathcal{Q}_A \equiv \mathcal{Q} \mathcal{A}$

definition $\mathcal{Q}_B \equiv \text{rule-target-states } \Delta_B \mid \cup \mid (\text{snd } \mid \uparrow \mid \Delta_{\mathcal{E}B})$

lemmas $B\text{-target-states}' = B\text{-target-states}[\text{folded } \mathcal{Q}_B\text{-def}]$

lemma *states-split* [*simp*]: $\mathcal{Q} \mathcal{A} = \mathcal{Q} \mathcal{A} \mid \cup \mid \mathcal{Q} \mathcal{B}$

<proof>

lemma *A-args-states-not-B*:

TA-rule $f \text{ } qs \text{ } q \mid \in \mid \Delta_A \implies p \mid \in \mid \text{fset-of-list } qs \implies p \mid \notin \mid \mathcal{Q}_B$

<proof>

lemma *rule-statesD*:

$r \mid \in \mid \Delta_A \implies r\text{-rhs } r \mid \in \mid \mathcal{Q}_A$

$r \mid \in \mid \Delta_B \implies r\text{-rhs } r \mid \in \mid \mathcal{Q}_B$

$r \mid \in \mid \Delta_A \implies p \mid \in \mid \text{fset-of-list } (r\text{-lhs-states } r) \implies p \mid \in \mid \mathcal{Q}_A$

TA-rule $f \text{ } qs \text{ } q \mid \in \mid \Delta_A \implies q \mid \in \mid \mathcal{Q}_A$

TA-rule $f \text{ } qs \text{ } q \mid \in \mid \Delta_B \implies q \mid \in \mid \mathcal{Q}_B$

TA-rule $f \text{ } qs \text{ } q \mid \in \mid \Delta_A \implies p \mid \in \mid \text{fset-of-list } qs \implies p \mid \in \mid \mathcal{Q}_A$

<proof>

lemma *eps-states-dest*:

$(p, q) \mid \in \mid \Delta_{\mathcal{E}A} \implies p \mid \in \mid \mathcal{Q}_A$

$(p, q) \mid \in \mid \Delta_{\mathcal{E}A} \implies q \mid \in \mid \mathcal{Q}_A$

$(p, q) \mid \in \mid \Delta_{\mathcal{E}A}^+ \implies p \mid \in \mid \mathcal{Q}_A$

$(p, q) \mid \in \mid \Delta_{\mathcal{E}A}^+ \implies q \mid \in \mid \mathcal{Q}_A$

$(p, q) \mid \in \mid \Delta_{\mathcal{E}B} \implies q \mid \in \mid \mathcal{Q}_B$

$(p, q) \mid \in \mid \Delta_{\mathcal{E}B}^+ \implies q \mid \in \mid \mathcal{Q}_B$

<proof>

lemma *transcl-eps-simp*:

$(\text{eps } A)^+ \mid \in \mid \Delta_{\mathcal{E}A}^+ \mid \cup \mid \Delta_{\mathcal{E}B}^+ \mid \cup \mid (\Delta_{\mathcal{E}A}^+ \mid \circ \mid \Delta_{\mathcal{E}B}^+)$

<proof>

lemma *B-rule-eps-A-False*:

$f \text{ } qs \text{ } \rightarrow \text{ } q \mid \in \mid \Delta_B \implies (q, p) \mid \in \mid \Delta_{\mathcal{E}A}^+ \implies \text{False}$

<proof>

lemma *to-A-rule-set*:

assumes *TA-rule* $f \text{ } qs \text{ } q \mid \in \mid \text{rules } A$ **and** $q = p \vee (q, p) \mid \in \mid (\text{eps } A)^+ \mid$ **and** $p \mid \notin \mid \mathcal{Q}_B$

shows *TA-rule* $f \text{ } qs \text{ } q \mid \in \mid \Delta_A$ $q = p \vee (q, p) \mid \in \mid \Delta_{\mathcal{E}A}^+ \mid$ *<proof>*

lemma *to-B-rule-set*:

assumes *TA-rule* $f \text{ } qs \text{ } q \mid \in \mid \text{rules } A$ **and** $q \mid \notin \mid \mathcal{Q}_A$

shows *TA-rule* $f \text{ } qs \text{ } q \mid \in \mid \Delta_B$ *<proof>*

declare *fsubsetI*[*rule del*]
lemma *ta-der-monos*:
ta-der $\mathcal{A} \ t \mid\sqsubseteq \mid$ *ta-der* $A \ t \ ta\text{-der} \ \mathcal{B} \ t \mid\sqsubseteq \mid$ *ta-der* $A \ t$
 \langle *proof* \rangle
declare *fsubsetI*[*intro!*]

lemma *ta-der-from- Δ_A* :
assumes $q \mid\in \mid$ *ta-der* $A \ (term\text{-of-gterm} \ t)$ **and** $q \not\mid\in \mid$ \mathcal{Q}_B
shows $q \mid\in \mid$ *ta-der* $\mathcal{A} \ (term\text{-of-gterm} \ t)$ \langle *proof* \rangle

lemma *ta-state*:
assumes $q \mid\in \mid$ *ta-der* $A \ (term\text{-of-gterm} \ s)$
shows $q \mid\in \mid$ $\mathcal{Q}_A \ \vee \ q \mid\in \mid$ \mathcal{Q}_B \langle *proof* \rangle

lemma *ta-der-split*:
assumes $q \mid\in \mid$ *ta-der* $A \ (term\text{-of-gterm} \ s)$ **and** $q \mid\in \mid$ \mathcal{Q}_B
shows $\exists \ t. \ t \mid\in \mid$ *ta-der'* $\mathcal{A} \ (term\text{-of-gterm} \ s) \wedge q \mid\in \mid$ *ta-der* $\mathcal{B} \ t$
(is $\exists \ t. \ ?P \ s \ q \ t$) \langle *proof* \rangle

lemma *ta-der'-split*:
assumes $t \mid\in \mid$ *ta-der'* $A \ (term\text{-of-gterm} \ s)$
shows $\exists \ u. \ u \mid\in \mid$ *ta-der'* $\mathcal{A} \ (term\text{-of-gterm} \ s) \wedge t \mid\in \mid$ *ta-der'* $\mathcal{B} \ u$
(is $\exists \ u. \ ?P \ s \ t \ u$) \langle *proof* \rangle

lemma *ta-der-to-mctxt*:
assumes $q \mid\in \mid$ *ta-der* $A \ (term\text{-of-gterm} \ s)$ **and** $q \mid\in \mid$ \mathcal{Q}_B
shows $\exists \ C \ ss \ qs. \ length \ qs = length \ ss \wedge num\text{-holes} \ C = length \ ss \wedge$
 $(\forall \ i < length \ ss. \ qs \ ! \ i \mid\in \mid$ *ta-der* $\mathcal{A} \ (term\text{-of-gterm} \ (ss \ ! \ i))) \wedge$
 $q \mid\in \mid$ *ta-der* $\mathcal{B} \ (fill\text{-holes} \ C \ (map \ Var \ qs)) \wedge$
 $ground\text{-mctxt} \ C \wedge fill\text{-holes} \ C \ (map \ term\text{-of-gterm} \ ss) = term\text{-of-gterm} \ s$
(is $\exists \ C \ ss \ qs. \ ?P \ s \ q \ C \ ss \ qs$)
 \langle *proof* \rangle

lemma *ta-der-to-gmctxt*:
assumes $q \mid\in \mid$ *ta-der* $A \ (term\text{-of-gterm} \ s)$ **and** $q \mid\in \mid$ \mathcal{Q}_B
shows $\exists \ C \ ss \ qs \ qs'. \ length \ qs' = length \ qs \wedge length \ qs = length \ ss \wedge num\text{-gholes}$
 $C = length \ ss \wedge$
 $(\forall \ i < length \ ss. \ qs \ ! \ i \mid\in \mid$ *ta-der* $\mathcal{A} \ (term\text{-of-gterm} \ (ss \ ! \ i))) \wedge$
 $q \mid\in \mid$ *ta-der* $\mathcal{B} \ (fill\text{-holes} \ (mctxt\text{-of-gmctxt} \ C) \ (map \ Var \ qs')) \wedge$
 $fill\text{-gholes} \ C \ ss = s$
 \langle *proof* \rangle

lemma *mctxt-const-to-ta-der*:
assumes *num-holes C = length ss length ss = length qs*
and $\forall i < \text{length } qs. qs ! i \in | \text{ta-der } \mathcal{A} (ss ! i)$
and $q \in | \text{ta-der } \mathcal{B} (\text{fill-holes } C (\text{map } \text{Var } qs))$
shows $q \in | \text{ta-der } \mathcal{A} (\text{fill-holes } C ss)$
 $\langle \text{proof} \rangle$

lemma *ctxt-const-to-ta-der*:
assumes $q \in | \text{ta-der } \mathcal{A} s$
and $p \in | \text{ta-der } \mathcal{B} C \langle \text{Var } q \rangle$
shows $p \in | \text{ta-der } \mathcal{A} C \langle s \rangle \langle \text{proof} \rangle$

lemma *gctxt-const-to-ta-der*:
assumes $q \in | \text{ta-der } \mathcal{A} (\text{term-of-gterm } s)$
and $p \in | \text{ta-der } \mathcal{B} (\text{ctxt-of-gctxt } C) \langle \text{Var } q \rangle$
shows $p \in | \text{ta-der } \mathcal{A} (\text{term-of-gterm } C \langle s \rangle_G) \langle \text{proof} \rangle$

end
end

5 (Multihole)Context closure of recognized tree languages

theory *TA-Clousure-Const*
imports *Tree-Automata-Derivation-Split*
begin

5.1 Tree Automata closure constructions

declare *ta-union-def* [*simp*]

5.1.1 Reflexive closure over a given signature

definition *reflcl-rules* $\mathcal{F} q \equiv (\lambda (f, n). \text{TA-rule } f (\text{replicate } n q) q) \mid \mathcal{F}$

definition *refl-ta* $\mathcal{F} q = \text{TA} (\text{reflcl-rules } \mathcal{F} q) \{\mid\}$

definition *gen-reflcl-automaton* $:: ('f \times \text{nat}) \text{fset} \Rightarrow ('q, 'f) \text{ta} \Rightarrow 'q \Rightarrow ('q, 'f) \text{ta}$
where

gen-reflcl-automaton $\mathcal{F} \mathcal{A} q = \text{ta-union } \mathcal{A} (\text{refl-ta } \mathcal{F} q)$

definition *reflcl-automaton* $\mathcal{F} \mathcal{A} = (\text{let } \mathcal{B} = \text{fmap-states-ta } \text{Some } \mathcal{A} \text{ in } \text{gen-reflcl-automaton } \mathcal{F} \mathcal{B} \text{None})$

definition *reflcl-reg* $\mathcal{F} \mathcal{A} = \text{Reg} (\text{finsert } \text{None} (\text{Some } \mid \mathcal{F} \text{in } \mathcal{A})) (\text{reflcl-automaton } \mathcal{F} (\text{ta } \mathcal{A}))$

5.1.2 Multihole context closure over a given signature

definition *refl-over-states-ta* $Q \mathcal{F} \mathcal{A} q = TA (\text{reflcl-rules } \mathcal{F} q) ((\lambda p. (p, q)) \mid^\dagger (Q \mid \cap \mathcal{Q} \mathcal{A}))$

definition *gen-parallel-closure-automaton* $:: 'q \text{ fset} \Rightarrow ('f \times \text{nat}) \text{ fset} \Rightarrow ('q, 'f) \text{ ta} \Rightarrow 'q \Rightarrow ('q, 'f) \text{ ta}$ **where**

gen-parallel-closure-automaton $Q \mathcal{F} \mathcal{A} q = \text{ta-union } \mathcal{A} (\text{refl-over-states-ta } Q \mathcal{F} \mathcal{A} q)$

definition *parallel-closure-reg* **where**

parallel-closure-reg $\mathcal{F} \mathcal{A} = (\text{let } \mathcal{B} = \text{fmap-states-reg } \text{Some } \mathcal{A} \text{ in } \text{Reg } \{\text{None}\} (\text{gen-parallel-closure-automaton } (\text{fin } \mathcal{B}) \mathcal{F} (\text{ta } \mathcal{B}) \text{None}))$

5.1.3 Context closure of regular tree language

definition *semantic-path-rules* $\mathcal{F} q_c q_i q_f \equiv$

$|\cup| ((\lambda (f, n). \text{fset-of-list } (\text{map } (\lambda i. \text{TA-rule } f ((\text{replicate } n \text{ } q_c)[i := q_i]) q_f) [0..< n])) \mid^\dagger \mathcal{F}$

definition *reflcl-over-single-ta* $Q \mathcal{F} q_c q_f \equiv$

$TA (\text{reflcl-rules } \mathcal{F} q_c |\cup| \text{semantic-path-rules } \mathcal{F} q_c q_f q_f) ((\lambda p. (p, q_f)) \mid^\dagger Q)$

definition *gen-ctxt-closure-automaton* $Q \mathcal{F} \mathcal{A} q_c q_f = \text{ta-union } \mathcal{A} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$

definition *gen-ctxt-closure-reg* $\mathcal{F} \mathcal{A} q_c q_f =$

$\text{Reg } \{q_f\} (\text{gen-ctxt-closure-automaton } (\text{fin } \mathcal{A}) \mathcal{F} (\text{ta } \mathcal{A}) q_c q_f)$

definition *ctxt-closure-reg* $\mathcal{F} \mathcal{A} =$

$(\text{let } \mathcal{B} = \text{fmap-states-reg } \text{Inl } (\text{reg-Restr-}Q_f \mathcal{A}) \text{ in } \text{gen-ctxt-closure-reg } \mathcal{F} \mathcal{B} (\text{Inr } \text{False}) (\text{Inr } \text{True}))$

5.1.4 Not empty context closure of regular tree language

datatype *cl-states* $= \text{cl-state} \mid \text{tr-state} \mid \text{fin-state} \mid \text{fin-clstate}$

definition *reflcl-over-nhole-ctxt-ta* $Q \mathcal{F} q_c q_i q_f \equiv$

$TA (\text{reflcl-rules } \mathcal{F} q_c |\cup| \text{semantic-path-rules } \mathcal{F} q_c q_i q_f |\cup| \text{semantic-path-rules } \mathcal{F} q_c q_f q_f) ((\lambda p. (p, q_i)) \mid^\dagger Q)$

definition *gen-nhole-ctxt-closure-automaton* $Q \mathcal{F} \mathcal{A} q_c q_i q_f =$

$\text{ta-union } \mathcal{A} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f)$

definition *gen-nhole-ctxt-closure-reg* $\mathcal{F} \mathcal{A} q_c q_i q_f =$

$\text{Reg } \{q_f\} (\text{gen-nhole-ctxt-closure-automaton } (\text{fin } \mathcal{A}) \mathcal{F} (\text{ta } \mathcal{A}) q_c q_i q_f)$

definition *nhole-ctxt-closure-reg* $\mathcal{F} \mathcal{A} =$

$(\text{let } \mathcal{B} = \text{fmap-states-reg } \text{Inl } (\text{reg-Restr-}Q_f \mathcal{A}) \text{ in } (\text{gen-nhole-ctxt-closure-reg } \mathcal{F} \mathcal{B} (\text{Inr } \text{cl-state}) (\text{Inr } \text{tr-state}) (\text{Inr } \text{fin-state})))$

5.1.5 Non empty multihole context closure of regular tree language

abbreviation *add-eps* $\mathcal{A} e \equiv TA$ (rules \mathcal{A}) (eps $\mathcal{A} \mid \cup \mid e$)

definition *reflcl-over-nhole-mctxt-ta* $Q \mathcal{F} q_c q_i q_f \equiv$
add-eps (*reflcl-over-nhole-ctxt-ta* $Q \mathcal{F} q_c q_i q_f$) $\{[(q_i, q_c)]\}$

definition *gen-nhole-mctxt-closure-automaton* $Q \mathcal{F} \mathcal{A} q_c q_i q_f =$
ta-union \mathcal{A} (*reflcl-over-nhole-mctxt-ta* $Q \mathcal{F} q_c q_i q_f$)

definition *gen-nhole-mctxt-closure-reg* $\mathcal{F} \mathcal{A} q_c q_i q_f =$
Reg $\{[q_f]\}$ (*gen-nhole-mctxt-closure-automaton* (*fin* \mathcal{A}) \mathcal{F} (*ta* \mathcal{A}) $q_c q_i q_f$)

definition *nhole-mctxt-closure-reg* $\mathcal{F} \mathcal{A} =$
(let $\mathcal{B} = \text{fmap-states-reg Inl (reg-Restr-}Q_f \mathcal{A})$ *in*
(gen-nhole-mctxt-closure-reg $\mathcal{F} \mathcal{B}$ (*Inr cl-state*) (*Inr tr-state*) (*Inr fin-state*)))

5.1.6 Not empty multihole context closure of regular tree language

definition *gen-mctxt-closure-reg* $\mathcal{F} \mathcal{A} q_c q_i q_f =$
Reg $\{[q_f, q_i]\}$ (*gen-nhole-mctxt-closure-automaton* (*fin* \mathcal{A}) \mathcal{F} (*ta* \mathcal{A}) $q_c q_i q_f$)

definition *mctxt-closure-reg* $\mathcal{F} \mathcal{A} =$
(let $\mathcal{B} = \text{fmap-states-reg Inl (reg-Restr-}Q_f \mathcal{A})$ *in*
(gen-mctxt-closure-reg $\mathcal{F} \mathcal{B}$ (*Inr cl-state*) (*Inr tr-state*) (*Inr fin-state*)))

5.1.7 Multihole context closure of regular tree language

definition *nhole-mctxt-reflcl-reg* $\mathcal{F} \mathcal{A} =$
reg-union (*nhole-mctxt-closure-reg* $\mathcal{F} \mathcal{A}$) (*Reg* $\{[fin-clstate]\}$ (*refl-ta* \mathcal{F} (*fin-clstate*)))

5.1.8 Lemmas about *ta-der'*

lemma *ta-det'-ground-id:*

$t \mid \in \mid ta\text{-der}' \mathcal{A} s \implies \text{ground } t \implies t = s$
 $\langle \text{proof} \rangle$

lemma *ta-det'-vars-term-id:*

$t \mid \in \mid ta\text{-der}' \mathcal{A} s \implies \text{vars-term } t \cap \text{fset } (\mathcal{Q} \mathcal{A}) = \{\} \implies t = s$
 $\langle \text{proof} \rangle$

lemma *fresh-states-ta-der'-pres:*

assumes $st: q \in \text{vars-term } s \mid q \notin \mathcal{Q} \mathcal{A}$
and reach: $t \mid \in \mid ta\text{-der}' \mathcal{A} s$
shows $q \in \text{vars-term } t$ $\langle \text{proof} \rangle$

lemma *ta-der'-states:*

$t \mid \in \mid ta\text{-der}' \mathcal{A} s \implies \text{vars-term } t \subseteq \text{vars-term } s \cup \text{fset } (\mathcal{Q} \mathcal{A})$
 $\langle \text{proof} \rangle$

lemma *ta-der'-gterm-states*:

$t \in | \text{ta-der}' \mathcal{A} \text{ (term-of-gterm } s) \implies \text{vars-term } t \subseteq \text{fset } (\mathcal{Q} \mathcal{A})$
 $\langle \text{proof} \rangle$

lemma *ta-der'-Var-funas*:

$\text{Var } q \in | \text{ta-der}' \mathcal{A} \text{ } s \implies \text{funas-term } s \subseteq \text{fset } (\text{ta-sig } \mathcal{A})$
 $\langle \text{proof} \rangle$

lemma *ta-sig-fsubsetI*:

assumes $\bigwedge r. r \in | \text{rules } \mathcal{A} \implies (r\text{-root } r, \text{length } (r\text{-lhs-states } r)) \in | \mathcal{F}$
shows $\text{ta-sig } \mathcal{A} \subseteq | \mathcal{F} \langle \text{proof} \rangle$

5.1.9 Signature induced by *refl-ta* and *refl-over-states-ta*

lemma *refl-ta-sig [simp]*:

$\text{ta-sig } (\text{refl-ta } \mathcal{F} \ q) = \mathcal{F}$
 $\text{ta-sig } (\text{refl-over-states-ta } \ Q \ \mathcal{F} \ \mathcal{A} \ q) = \mathcal{F}$
 $\langle \text{proof} \rangle$

5.1.10 Correctness of *refl-ta*, *gen-reflcl-automaton*, and *reflcl-automaton*

lemma *refl-ta-eps [simp]*: $\text{eps } (\text{refl-ta } \mathcal{F} \ q) = \{|\}$

$\langle \text{proof} \rangle$

lemma *refl-ta-sound*:

$s \in \mathcal{T}_G (\text{fset } \mathcal{F}) \implies q \in | \text{ta-der } (\text{refl-ta } \mathcal{F} \ q) \text{ (term-of-gterm } s)$
 $\langle \text{proof} \rangle$

lemma *reflcl-rules-args*:

$\text{length } ps = n \implies f \ ps \rightarrow p \in | \text{reflcl-rules } \mathcal{F} \ q \implies ps = \text{replicate } n \ q$
 $\langle \text{proof} \rangle$

lemma *Q-refl-ta*:

$\mathcal{Q} (\text{refl-ta } \mathcal{F} \ q) \subseteq | \{|q|\}$
 $\langle \text{proof} \rangle$

lemma *refl-ta-complete1*:

$\text{Var } p \in | \text{ta-der}' (\text{refl-ta } \mathcal{F} \ q) \text{ } s \implies p \neq q \implies s = \text{Var } p$
 $\langle \text{proof} \rangle$

lemma *refl-ta-complete2*:

$\text{Var } q \in | \text{ta-der}' (\text{refl-ta } \mathcal{F} \ q) \text{ } s \implies \text{funas-term } s \subseteq \text{fset } \mathcal{F} \wedge \text{vars-term } s \subseteq \{q\}$
 $\langle \text{proof} \rangle$

lemma *gen-reflcl-lang*:

assumes $q \notin \mathcal{Q} \ \mathcal{A}$
shows $\text{gta-lang } (\text{finsert } q \ \mathcal{Q}) (\text{gen-reflcl-automaton } \mathcal{F} \ \mathcal{A} \ q) = \text{gta-lang } \mathcal{Q} \ \mathcal{A} \cup \mathcal{T}_G (\text{fset } \mathcal{F})$

(is ?Ls = ?Rs)
 ⟨proof⟩

lemma *reflcl-lang*:

$gta\text{-}lang (finsert\ None\ (Some\ |\ |\ Q))\ (reflcl\text{-}automaton\ \mathcal{F}\ \mathcal{A}) = gta\text{-}lang\ Q\ \mathcal{A} \cup \mathcal{T}_G (fset\ \mathcal{F})$
 ⟨proof⟩

lemma *L-reflcl-reg*:

$\mathcal{L}\ (reflcl\text{-}reg\ \mathcal{F}\ \mathcal{A}) = \mathcal{L}\ \mathcal{A} \cup \mathcal{T}_G (fset\ \mathcal{F})$
 ⟨proof⟩

5.1.11 Correctness of *gen-parallel-closure-automaton* and *parallel-closure-reg*

lemma *set-list-subset-nth-conv*:

$set\ xs \subseteq A \implies i < length\ xs \implies xs\ !\ i \in A$
 ⟨proof⟩

lemma *ground-gmctxt-of-mctxt-fill-holes'*:

$num\text{-}holes\ C = length\ ss \implies ground\text{-}mctxt\ C \implies \forall s \in set\ ss.\ ground\ s \implies fill\text{-}gholes\ (gmctxt\text{-}of\text{-}mctxt\ C)\ (map\ gterm\text{-}of\text{-}term\ ss) = gterm\text{-}of\text{-}term\ (fill\text{-}holes\ C\ ss)$
 ⟨proof⟩

lemma *refl-over-states-ta-eps-trancl [simp]*:

$(eps\ (refl\text{-}over\text{-}states\text{-}ta\ Q\ \mathcal{F}\ \mathcal{A}\ q))\ |^+| = eps\ (refl\text{-}over\text{-}states\text{-}ta\ Q\ \mathcal{F}\ \mathcal{A}\ q)$
 ⟨proof⟩

lemma *refl-over-states-ta-epsD*:

$(p, q) \in | (eps\ (refl\text{-}over\text{-}states\text{-}ta\ Q\ \mathcal{F}\ \mathcal{A}\ q)) \implies p \in | Q$
 ⟨proof⟩

lemma *refl-over-states-ta-vars-term*:

$q \in | ta\text{-}der\ (refl\text{-}over\text{-}states\text{-}ta\ Q\ \mathcal{F}\ \mathcal{A}\ q)\ u \implies vars\text{-}term\ u \subseteq insert\ q\ (fset\ Q)$
 ⟨proof⟩

lemmas *refl-over-states-ta-vars-term'* =

$refl\text{-}over\text{-}states\text{-}ta\text{-}vars\text{-}term[unfolding\ ta\text{-}der\text{-}to\text{-}ta\text{-}der'\ ta\text{-}der'\text{-}target\text{-}args\text{-}vars\text{-}term\text{-}conv,$
THEN set-list-subset-nth-conv, unfolding finsert.rep-eq[symmetric]]

lemma *refl-over-states-ta-sound*:

$funas\text{-}term\ u \subseteq fset\ \mathcal{F} \implies vars\text{-}term\ u \subseteq insert\ q\ (fset\ (Q\ |\cap|\ Q\ \mathcal{A})) \implies q \in | ta\text{-}der\ (refl\text{-}over\text{-}states\text{-}ta\ Q\ \mathcal{F}\ \mathcal{A}\ q)\ u$
 ⟨proof⟩

lemma *gen-parallelcl-lang*:

fixes $\mathcal{A} :: ('q, 'f)\ ta$
assumes $q \notin Q\ \mathcal{A}$

shows $\text{gta-lang } \{|q|\}$ (*gen-parallel-closure-automaton* $Q \mathcal{F} \mathcal{A} q$) =
 $\{\text{fill-gholes } C \text{ ss} \mid C \text{ ss. num-gholes } C = \text{length ss} \wedge \text{funas-gmctxt } C \subseteq (\text{fset } \mathcal{F})$
 $\wedge (\forall i < \text{length ss. ss ! } i \in \text{gta-lang } Q \mathcal{A})\}$
 (is ?Ls = ?Rs)
 <proof>

lemma *parallelcl-gmctxt-lang*:

fixes $\mathcal{A} :: ('q, 'f) \text{ reg}$
shows \mathcal{L} (*parallel-closure-reg* $\mathcal{F} \mathcal{A}$) =
 $\{\text{fill-gholes } C \text{ ss} \mid$
 $C \text{ ss. num-gholes } C = \text{length ss} \wedge \text{funas-gmctxt } C \subseteq \text{fset } \mathcal{F} \wedge (\forall i < \text{length}$
 $\text{ss. ss ! } i \in \mathcal{L} \mathcal{A})\}$
 <proof>

lemma *parallelcl-mctxt-lang*:

shows \mathcal{L} (*parallel-closure-reg* $\mathcal{F} \mathcal{A}$) =
 $\{(gterm\text{-of-term} :: ('f, 'q \text{ option}) \text{ term} \Rightarrow 'f \text{ gterm}) (\text{fill-holes } C (\text{map term-of-gterm}$
 $\text{ss})) \mid$
 $C \text{ ss. num-holes } C = \text{length ss} \wedge \text{ground-mctxt } C \wedge \text{funas-mctxt } C \subseteq \text{fset } \mathcal{F}$
 $\wedge (\forall i < \text{length ss. ss ! } i \in \mathcal{L} \mathcal{A})\}$
 <proof>

5.1.12 Correctness of *gen-ctxt-closure-reg* and *ctxt-closure-reg*

lemma *semantic-path-rules-rhs*:

$r \mid \in \mid \text{semantic-path-rules } Q q_c q_i q_f \Longrightarrow r\text{-rhs } r = q_f$
 <proof>

lemma *reflcl-over-single-ta-transl [simp]*:

$(\text{eps } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)) \mid^+ \mid = \text{eps } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$
 <proof>

lemma *reflcl-over-single-ta-epsD*:

$(p, q_f) \mid \in \mid \text{eps } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \Longrightarrow p \mid \in \mid Q$
 $(p, q) \mid \in \mid \text{eps } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \Longrightarrow q = q_f$
 <proof>

lemma *reflcl-over-single-ta-rules-split*:

$r \mid \in \mid \text{rules } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \Longrightarrow$
 $r \mid \in \mid \text{reflcl-rules } \mathcal{F} q_c \vee r \mid \in \mid \text{semantic-path-rules } \mathcal{F} q_c q_f q_f$
 <proof>

lemma *reflcl-over-single-ta-rules-semantic-path-rulesI*:

$r \mid \in \mid \text{semantic-path-rules } \mathcal{F} q_c q_f q_f \Longrightarrow r \mid \in \mid \text{rules } (\text{reflcl-over-single-ta } Q \mathcal{F} q_c$
 $q_f)$
 <proof>

lemma *semantic-path-rules-fmember [intro]*:

$\text{TA-rule } f \text{ qs } q \mid \in \mid \text{semantic-path-rules } \mathcal{F} q_c q_i q_f \longleftrightarrow (\exists n \ i. (f, n) \mid \in \mid \mathcal{F} \wedge i <$

$n \wedge q = q_f \wedge$
 $(qs = (\text{replicate } n \ q_c)[i := q_i])$ (is ?Ls \longleftrightarrow ?Rs)
 ⟨proof⟩

lemma *semantic-path-rules-fmmemberD*:

$r \mid \in \mid \text{semantic-path-rules } \mathcal{F} \ q_c \ q_i \ q_f \implies (\exists \ n \ i. (r\text{-root } r, \ n) \mid \in \mid \mathcal{F} \wedge i < n \wedge$
 $r\text{-rhs } r = q_f \wedge$
 $(r\text{-lhs-states } r = (\text{replicate } n \ q_c)[i := q_i]))$
 ⟨proof⟩

lemma *reflcl-over-single-ta-vars-term-qc*:

$q_c \neq q_f \implies q_c \mid \in \mid \text{ta-der } (\text{reflcl-over-single-ta } Q \ \mathcal{F} \ q_c \ q_f) \ u \implies$
 $\text{vars-term-list } u = \text{replicate } (\text{length } (\text{vars-term-list } u)) \ q_c$
 ⟨proof⟩

lemma *reflcl-over-single-ta-vars-term*:

$q_c \mid \notin \mid Q \implies q_c \neq q_f \implies q_f \mid \in \mid \text{ta-der } (\text{reflcl-over-single-ta } Q \ \mathcal{F} \ q_c \ q_f) \ u \implies$
 $\text{length } (\text{vars-term-list } u) = n \implies (\exists \ i \ q. i < n \wedge q \mid \in \mid \text{finsert } q_f \ Q \wedge \text{vars-term-list}$
 $u = (\text{replicate } n \ q_c)[i := q])$
 ⟨proof⟩

lemma *refl-ta-reflcl-over-single-ta-mono*:

$q \mid \in \mid \text{ta-der } (\text{refl-ta } \mathcal{F} \ q) \ t \implies q \mid \in \mid \text{ta-der } (\text{reflcl-over-single-ta } Q \ \mathcal{F} \ q \ q_f) \ t$
 ⟨proof⟩

lemma *reflcl-over-single-ta-sound*:

assumes $\text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \ q \mid \in \mid Q$
shows $q_f \mid \in \mid \text{ta-der } (\text{reflcl-over-single-ta } Q \ \mathcal{F} \ q_c \ q_f) \ (\text{ctxt-of-gctxt } C) \langle \text{Var } q \rangle$
 ⟨proof⟩

lemma *reflcl-over-single-ta-sig*: $\text{ta-sig } (\text{reflcl-over-single-ta } Q \ \mathcal{F} \ q_c \ q_f) \mid \subseteq \mid \mathcal{F}$
 ⟨proof⟩

lemma *gen-gctxtcl-lang*:

assumes $q_c \mid \notin \mid Q \ \mathcal{A}$ **and** $q_f \mid \notin \mid Q \ \mathcal{A}$ **and** $q_c \mid \notin \mid Q$ **and** $q_c \neq q_f$
shows $\text{gta-lang } \{|q_f|\}$ ($\text{gen-ctxt-closure-automaton } Q \ \mathcal{F} \ \mathcal{A} \ q_c \ q_f$) =
 $\{C \langle s \rangle_G \mid C \ s. \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \text{gta-lang } Q \ \mathcal{A}\}$
 (is ?Ls = ?Rs)
 ⟨proof⟩

lemma *gen-gctxt-closure-sound*:

fixes $\mathcal{A} :: ('q, 'f) \text{reg}$
assumes $q_c \mid \notin \mid Q_r \ \mathcal{A}$ **and** $q_f \mid \notin \mid Q_r \ \mathcal{A}$ **and** $q_c \mid \notin \mid \text{fin } \mathcal{A}$ **and** $q_c \neq q_f$
shows $\mathcal{L} (\text{gen-ctxt-closure-reg } \mathcal{F} \ \mathcal{A} \ q_c \ q_f) = \{C \langle s \rangle_G \mid C \ s. \text{funas-gctxt } C \subseteq \text{fset}$
 $\mathcal{F} \wedge s \in \mathcal{L} \ \mathcal{A}\}$
 ⟨proof⟩

lemma *gen-ctxt-closure-sound*:

fixes $\mathcal{A} :: ('q, 'f) \text{ reg}$
assumes $q_c \notin \mathcal{Q}_r \mathcal{A}$ **and** $q_f \notin \mathcal{Q}_r \mathcal{A}$ **and** $q_c \notin \text{fin } \mathcal{A}$ **and** $q_c \neq q_f$
shows $\mathcal{L} (\text{gen-ctxt-closure-reg } \mathcal{F} \mathcal{A} q_c q_f) =$
 $\{(gterm\text{-of-term} :: ('f, 'q) \text{ term} \Rightarrow 'f \text{ gterm}) C \langle \text{term-of-gterm } s \rangle \mid C \text{ s. ground-ctxt}$
 $C \wedge \text{funas-ctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A}\}$
 $\langle \text{proof} \rangle$

lemma *gctxt-closure-lang*:
shows $\mathcal{L} (\text{ctxt-closure-reg } \mathcal{F} \mathcal{A}) =$
 $\{C \langle s \rangle_G \mid C \text{ s. funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A}\}$
 $\langle \text{proof} \rangle$

lemma *ctxt-closure-lang*:
shows $\mathcal{L} (\text{ctxt-closure-reg } \mathcal{F} \mathcal{A}) =$
 $\{(gterm\text{-of-term} :: ('f, 'q + \text{bool}) \text{ term} \Rightarrow 'f \text{ gterm}) C \langle \text{term-of-gterm } s \rangle \mid$
 $C \text{ s. ground-ctxt } C \wedge \text{funas-ctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A}\}$
 $\langle \text{proof} \rangle$

5.1.13 Correctness of gen-nhole-ctxt-closure-automaton and nhole-ctxt-closure-reg

lemma *reflcl-over-nhole-ctxt-ta-vars-term-qc*:
 $q_c \neq q_f \implies q_c \neq q_i \implies q_c \in \text{ta-der} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f) u$
 \implies
 $\text{vars-term-list } u = \text{replicate} (\text{length} (\text{vars-term-list } u)) q_c$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-ctxt-ta-vars-term-Var*:
assumes *disj*: $q_c \notin Q$ $q_f \notin Q$ $q_c \neq q_f$ $q_i \neq q_f$ $q_c \neq q_i$
and *reach*: $q_i \in \text{ta-der} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f) u$
shows $(\exists q. q \in \text{finsert } q_i Q \wedge u = \text{Var } q) \langle \text{proof} \rangle$

lemma *reflcl-over-nhole-ctxt-ta-vars-term*:
assumes *disj*: $q_c \notin Q$ $q_f \notin Q$ $q_c \neq q_f$ $q_i \neq q_f$ $q_c \neq q_i$
and *reach*: $q_f \in \text{ta-der} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f) u$
shows $(\exists i q. i < \text{length} (\text{vars-term-list } u) \wedge q \in \{|q_i, q_f|\} \cup Q \wedge \text{vars-term-list}$
 $u = (\text{replicate} (\text{length} (\text{vars-term-list } u)) q_c)[i := q])$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-ctxt-ta-mono*:
 $q \in \text{ta-der} (\text{refl-ta } \mathcal{F} q) t \implies q \in \text{ta-der} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q q_i$
 $q_f) t$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-ctxt-ta-sound*:
assumes *funas-gctxt* $C \subseteq \text{fset } \mathcal{F}$ $C \neq \text{GHole } q \in Q$
shows $q_f \in \text{ta-der} (\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f) (\text{ctxt-of-gctxt } C) \langle \text{Var}$
 $q \rangle \langle \text{proof} \rangle$

lemma *reflcl-over-nhole-ctxt-ta-sig*: *ta-sig* (*reflcl-over-nhole-ctxt-ta* $Q \mathcal{F} q_c q_i q_f$)
 $|\subseteq| \mathcal{F}$
 $\langle \text{proof} \rangle$

lemma *gen-nhole-gctxt-closure-lang*:
assumes $q_c \notin Q \mathcal{A} \quad q_i \notin Q \mathcal{A} \quad q_f \notin Q \mathcal{A}$
and $q_c \notin Q \quad q_f \notin Q$
and $q_c \neq q_i \quad q_c \neq q_f \quad q_i \neq q_f$
shows *gta-lang* $\{|q_f|\}$ (*gen-nhole-ctxt-closure-automaton* $Q \mathcal{F} \mathcal{A} q_c q_i q_f$) =
 $\{C\langle s \rangle_G \mid C \text{ s. } C \neq \text{GHole} \wedge \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \text{gta-lang } Q \mathcal{A}\}$
(is $?Ls = ?Rs$)
 $\langle \text{proof} \rangle$

lemma *gen-nhole-gctxt-closure-sound*:
assumes $q_c \notin Q_r \mathcal{A} \quad q_i \notin Q_r \mathcal{A} \quad q_f \notin Q_r \mathcal{A}$
and $q_c \notin (\text{fin } \mathcal{A}) \quad q_f \notin (\text{fin } \mathcal{A})$
and $q_c \neq q_i \quad q_c \neq q_f \quad q_i \neq q_f$
shows \mathcal{L} (*gen-nhole-ctxt-closure-reg* $\mathcal{F} \mathcal{A} q_c q_i q_f$) =
 $\{C\langle s \rangle_G \mid C \text{ s. } C \neq \text{GHole} \wedge \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A}\}$
 $\langle \text{proof} \rangle$

lemma *nhole-ctxtcl-lang*:
 \mathcal{L} (*nhole-ctxt-closure-reg* $\mathcal{F} \mathcal{A}$) =
 $\{C\langle s \rangle_G \mid C \text{ s. } C \neq \text{GHole} \wedge \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A}\}$
 $\langle \text{proof} \rangle$

5.1.14 Correctness of *gen-nhole-mctxt-closure-automaton*

lemmas *reflcl-over-nhole-mctxt-ta-simp* = *reflcl-over-nhole-mctxt-ta-def* *reflcl-over-nhole-ctxt-ta-def*

lemma *reflcl-rules-rhsD*:
 $f \text{ ps} \rightarrow q \in | \text{reflcl-rules } \mathcal{F} q_c \implies q = q_c$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-mctxt-ta-vars-term*:
assumes $q \in | \text{ta-der} (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f) t$
and $q_c \notin Q \quad q \neq q_c \quad q_f \neq q_c \quad q_i \neq q_c$
shows *vars-term* $t \neq \{\}$ $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-mctxt-ta-Fun*:
assumes $q_f \in | \text{ta-der} (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f) t \quad t \neq \text{Var } q_f$
and $q_f \neq q_c \quad q_f \neq q_i$
shows *is-Fun* t $\langle \text{proof} \rangle$

lemma *rule-states-reflcl-rulesD*:
 $p \in | \text{rule-states} (\text{reflcl-rules } \mathcal{F} q) \implies p = q$
 $\langle \text{proof} \rangle$

lemma *rule-states-semantic-path-rulesD*:

$p \in \text{rule-states (semantic-path-rules } \mathcal{F} \ q_c \ q_i \ q_f) \implies p = q_c \vee p = q_i \vee p = q_f$
 $\langle \text{proof} \rangle$

lemma *Q-reflcl-over-nhole-mctxt-ta*:

$\mathcal{Q} (\text{reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) \subseteq \mathcal{Q} \cup \{|q_c, q_i, q_f|\}$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-mctxt-ta-vars-term-subset-eq*:

assumes $q \in \text{ta-der (reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) \ t \ q = q_f \vee q = q_i$
shows $\text{vars-term } t \subseteq \{q_c, q_i, q_f\} \cup \text{fset } Q$
 $\langle \text{proof} \rangle$

lemma *sig-reflcl-over-nhole-mctxt-ta [simp]*:

$\text{ta-sig (reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) = \mathcal{F}$
 $\langle \text{proof} \rangle$

lemma *reflcl-over-nhole-mctxt-ta-aux-sound*:

assumes $\text{funas-term } t \subseteq \text{fset } \mathcal{F} \ \text{vars-term } t \subseteq \text{fset } Q$
shows $q_c \in \text{ta-der (reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) \ t \ \langle \text{proof} \rangle$

lemma *reflcl-over-nhole-mctxt-ta-sound*:

assumes $\text{funas-term } t \subseteq \text{fset } \mathcal{F} \ \text{vars-term } t \subseteq \text{fset } Q \ \text{vars-term } t \neq \{\}$
shows $(\text{is-Var } t \longrightarrow q_i \in \text{ta-der (reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) \ t) \wedge$
 $(\text{is-Fun } t \longrightarrow q_f \in \text{ta-der (reflcl-over-nhole-mctxt-ta } Q \ \mathcal{F} \ q_c \ q_i \ q_f) \ t) \ \langle \text{proof} \rangle$

lemma *gen-nhole-gmctxt-closure-lang*:

assumes $q_c \notin \mathcal{Q} \ \mathcal{A} \ \text{and } q_i \notin \mathcal{Q} \ \mathcal{A} \ q_f \notin \mathcal{Q} \ \mathcal{A}$
and $q_c \notin Q \ q_f \neq q_c \ q_f \neq q_i \ q_i \neq q_c$
shows $\text{gta-lang } \{|q_f|\} (\text{gen-nhole-mctxt-closure-automaton } Q \ \mathcal{F} \ \mathcal{A} \ q_c \ q_i \ q_f) =$
 $\{ \text{fill-gholes } C \ ss \mid$
 $C \ ss. \ 0 < \text{num-gholes } C \wedge \text{num-gholes } C = \text{length } ss \wedge C \neq \text{GMHole} \wedge$
 $\text{funas-gmctxt } C \subseteq \text{fset } \mathcal{F} \wedge (\forall i < \text{length } ss. \ ss ! i \in \text{gta-lang } Q \ \mathcal{A}) \}$
(is ?Ls = ?Rs)
 $\langle \text{proof} \rangle$

lemma *nhole-gmctxt-closure-lang*:

$\mathcal{L} (\text{nhole-mctxt-closure-reg } \mathcal{F} \ \mathcal{A}) =$
 $\{ \text{fill-gholes } C \ ss \mid C \ ss. \ \text{num-gholes } C = \text{length } ss \wedge 0 < \text{num-gholes } C \wedge C \neq$
 $\text{GMHole} \wedge$
 $\text{funas-gmctxt } C \subseteq \text{fset } \mathcal{F} \wedge (\forall i < \text{length } ss. \ ss ! i \in \mathcal{L} \ \mathcal{A}) \}$
(is ?Ls = ?Rs)
 $\langle \text{proof} \rangle$

5.1.15 Correctness of *gen-mctxt-closure-reg* and *mctxt-closure-reg*

lemma *gen-gmctxt-closure-lang*:

```

assumes  $q_c \notin Q \mathcal{A}$  and  $q_i \notin Q \mathcal{A}$   $q_f \notin Q \mathcal{A}$ 
and  $disj: q_c \notin Q$   $q_f \neq q_c$   $q_f \neq q_i$   $q_i \neq q_c$ 
shows  $gta-lang \{|q_f, q_i|\}$  (gen-nhole-mctxt-closure-automaton  $Q \mathcal{F} \mathcal{A} q_c q_i q_f$ )
=
{ fill-gholes  $C ss$  |
   $C ss. 0 < num-gholes C \wedge num-gholes C = length ss \wedge$ 
   $funas-gmctxt C \subseteq fset \mathcal{F} \wedge (\forall i < length ss. ss ! i \in gta-lang Q \mathcal{A})$  }
(is  $?Ls = ?Rs$ )
<proof>

```

```

lemma gmctxt-closure-lang:
 $\mathcal{L} (mctxt-closure-reg \mathcal{F} \mathcal{A}) =$ 
{ fill-gholes  $C ss$  |  $C ss. num-gholes C = length ss \wedge 0 < num-gholes C \wedge$ 
   $funas-gmctxt C \subseteq fset \mathcal{F} \wedge (\forall i < length ss. ss ! i \in \mathcal{L} \mathcal{A})$  }
(is  $?Ls = ?Rs$ )
<proof>

```

5.1.16 Correctness of *nhole-mctxt-reflcl-reg*

```

lemma nhole-mctxt-reflcl-lang:
 $\mathcal{L} (nhole-mctxt-reflcl-reg \mathcal{F} \mathcal{A}) = \mathcal{L} (nhole-mctxt-closure-reg \mathcal{F} \mathcal{A}) \cup \mathcal{T}_G (fset \mathcal{F})$ 
<proof>
declare ta-union-def [simp del]
end
theory Type-Instances-Impl
imports Bot-Terms
TA-Clousure-Const
Regular-Tree-Relations.Tree-Automata-Class-Instances-Impl
begin

```

6 Type class instantiations for the implementation

```

derive linorder sum
derive linorder bot-term
derive linorder cl-states

derive compare bot-term
derive compare cl-states

derive (eq) ceq bot-term mctxt cl-states

derive (compare) ccompare bot-term cl-states

derive (rbt) set-impl bot-term cl-states

derive (no) cenum bot-term

instantiation cl-states :: cenum

```

```

begin
abbreviation cl-all-list  $\equiv$  [cl-state, tr-state, fin-state, fin-clstate]
definition cEnum-cl-states :: (cl-states list  $\times$  ((cl-states  $\Rightarrow$  bool)  $\Rightarrow$  bool)  $\times$ 
((cl-states  $\Rightarrow$  bool)  $\Rightarrow$  bool)) option
  where cEnum-cl-states = Some (cl-all-list, ( $\lambda$  P. list-all P cl-all-list), ( $\lambda$  P.
list-ex P cl-all-list))
instance
   $\langle$ proof $\rangle$ 
end

lemma infinite-bot-term-UNIV[simp, intro]: infinite (UNIV :: 'f bot-term set)
   $\langle$ proof $\rangle$ 

lemma finite-cl-states: (UNIV :: cl-states set) = {cl-state, tr-state, fin-state, fin-clstate}
   $\langle$ proof $\rangle$ 

instantiation cl-states :: card-UNIV begin
definition finite-UNIV = Phantom(cl-states) True
definition card-UNIV = Phantom(cl-states) 4
instance
   $\langle$ proof $\rangle$ 
end

instantiation bot-term :: (type) finite-UNIV
begin
definition finite-UNIV = Phantom('a bot-term) False
instance
   $\langle$ proof $\rangle$ 
end

instantiation bot-term :: (compare) cproper-interval
begin
definition cproper-interval = ( $\lambda$  ( - :: 'a bot-term option) - . False)
instance  $\langle$ proof $\rangle$ 
end

instantiation cl-states :: cproper-interval
begin

definition cproper-interval-cl-states :: cl-states option  $\Rightarrow$  cl-states option  $\Rightarrow$  bool
  where cproper-interval-cl-states x y =
    (case ID CCOMPARE(cl-states) of Some f  $\Rightarrow$ 
      (case x of None  $\Rightarrow$ 
        (case y of None  $\Rightarrow$  True | Some c  $\Rightarrow$  list-ex ( $\lambda$  x. (lt-of-comp f) x c) cl-all-list)
      | Some c  $\Rightarrow$ 
        (case y of None  $\Rightarrow$  list-ex ( $\lambda$  x. (lt-of-comp f) c x) cl-all-list
        | Some d  $\Rightarrow$  (filter ( $\lambda$  x. (lt-of-comp f) x d  $\wedge$  (lt-of-comp f) c x) cl-all-list)  $\neq$ 

```

()))

instance

<proof>

end

derive (*rbt*) *mapping-impl cl-states*

derive (*rbt*) *mapping-impl bot-term*

end

theory *NF-Impl*

imports *NF*

Type-Instances-Impl

begin

6.0.1 Implementation of normal form construction

fun *supteq-list* :: (*'f*, *'v*) *Term.term* \Rightarrow (*'f*, *'v*) *Term.term list*

where

supteq-list (*Var x*) = [*Var x*] |

supteq-list (*Fun f ts*) = *Fun f ts* # *concat* (*map supteq-list ts*)

fun *supt-list* :: (*'f*, *'v*) *Term.term* \Rightarrow (*'f*, *'v*) *Term.term list*

where

supt-list (*Var x*) = [] |

supt-list (*Fun f ts*) = *concat* (*map supteq-list ts*)

lemma *supteq-list [simp]*:

set (*supteq-list t*) = {*s*. *t* \geq *s*}

<proof>

lemma *supt-list-sound [simp]*:

set (*supt-list t*) = {*s*. *t* \triangleright *s*}

<proof>

fun *mergeP-impl* **where**

mergeP-impl Bot t = *True*

| *mergeP-impl t Bot* = *True*

| *mergeP-impl* (*BFun f ss*) (*BFun g ts*) =

(*if f = g* \wedge *length ss = length ts* then *list-all* (λ (*x*, *y*). *mergeP-impl x y*) (*zip ss ts*) else *False*)

lemma [*simp*]: *mergeP-impl s Bot* = *True* *<proof>*

lemma [*simp*]: *mergeP-impl s t* \longleftrightarrow (*s*, *t*) \in *mergeP* (**is** ?*LS* = ?*RS*)

<proof>

fun *bless-eq-impl* **where**

bless-eq-impl Bot t = *True*

| *bless-eq-impl* (BFun *f* *ss*) (BFun *g* *ts*) =
 (if *f* = *g* ∧ *length* *ss* = *length* *ts* then *list-all* (λ (*x*, *y*). *bless-eq-impl* *x* *y*) (*zip* *ss* *ts*) else *False*)
 | *bless-eq-impl* - - = *False*

lemma [*simp*]: *bless-eq-impl* *s* *t* \longleftrightarrow (*s*, *t*) ∈ *bless-eq* (**is** ?*RS* = ?*LS*)
 ⟨*proof*⟩

definition *psubt-bot-impl* *R* ≡ *remdups* (*map* *term-to-bot-term* (*concat* (*map* *supt-list* *R*)))

lemma *psubt-bot-impl*[*simp*]: *set* (*psubt-bot-impl* *R*) = *psubt-lhs-bot* (*set* *R*)
 ⟨*proof*⟩

definition *states-impl* *R* = *List.insert* *Bot* (*map* the (*removeAll* *None* (*closure-impl* (*lift-f-total* *mergeP-impl* (↑)) (*map* *Some* (*psubt-bot-impl* *R*))))))

lemma *states-impl* [*simp*]: *set* (*states-impl* *R*) = *states* (*set* *R*)
 ⟨*proof*⟩

abbreviation *check-istance-lhs* **where**

check-istance-lhs *qs* *f* *R* ≡ *list-all* (λ *u*. ¬ *bless-eq-impl* *u* (BFun *f* *qs*)) *R*

definition *min-elem* **where**

min-elem *s* *ss* = (*let* *ts* = *filter* (λ *x*. *bless-eq-impl* *x* *s*) *ss* in
foldr (↑) *ts* *Bot*)

lemma *bound-impl* [*simp*, *code*]:

bound-max *s* (*set* *ss*) = *min-elem* *s* *ss*
 ⟨*proof*⟩

definition *nf-rule-impl* **where**

nf-rule-impl *S* *R* *SR* *h* = (*let* (*f*, *n*) = *h* in
let *states* = *List.n-lists* *n* *S* in
let *nlhs-inst* = *filter* (λ *qs*. *check-istance-lhs* *qs* *f* *R*) *states* in
map (λ *qs*. *TA-rule* *f* *qs* (*min-elem* (BFun *f* *qs*) *SR*)) *nlhs-inst*)

abbreviation *nf-rules-impl* **where**

nf-rules-impl *R* *F* ≡ *concat* (*map* (*nf-rule-impl* (*states-impl* *R*) (*map* *term-to-bot-term* *R*) (*psubt-bot-impl* *R*)) *F*)

lemma *nf-rules-in-impl*:

assumes *TA-rule* *f* *qs* *q* |∈| *nf-rules* (*fset-of-list* *R*) (*fset-of-list* *F*)

shows *TA-rule* *f* *qs* *q* |∈| *fset-of-list* (*nf-rules-impl* *R* *F*)

⟨*proof*⟩

lemma *nf-rules-impl-in-rules*:

assumes *TA-rule f qs q |∈| fset-of-list (nf-rules-impl R \mathcal{F})*

shows *TA-rule f qs q |∈| nf-rules (fset-of-list R) (fset-of-list \mathcal{F})*

<proof>

lemma *rule-set-eq*:

shows *nf-rules (fset-of-list R) (fset-of-list \mathcal{F}) = fset-of-list (nf-rules-impl R \mathcal{F})*

(is ?Ls = ?Rs)

<proof>

lemma *fstates-code*[*code*]:

fstates R = fset-of-list (states-impl (sorted-list-of-fset R))

<proof>

lemma *nf-ta-code* [*code*]:

nf-ta R \mathcal{F} = TA (fset-of-list (nf-rules-impl (sorted-list-of-fset R) (sorted-list-of-fset \mathcal{F}))) {||}

<proof>

end

theory *Context-Extensions*

imports *Regular-Tree-Relations.Ground-Ctxt*

Regular-Tree-Relations.Ground-Closure

Ground-MCtxt

begin

7 Multihole context and context closures over predicates

definition *gctxtex-onp* **where**

gctxtex-onp P \mathcal{R} = \{(C\langle s \rangle_G, C\langle t \rangle_G) \mid C s t. P C \wedge (s, t) \in \mathcal{R}\}

definition *gmctxtex-onp* **where**

gmctxtex-onp P \mathcal{R} = \{(fill-gholes C ss, fill-gholes C ts) \mid C ss ts.

num-gholes C = length ss \wedge length ss = length ts \wedge P C \wedge (\forall i < length ts. (ss ! i, ts ! i) \in \mathcal{R})\}

definition *compatible-p* **where**

compatible-p P Q \equiv (\forall C. P C \longrightarrow Q (gmctxtex-of-gctxtex C))

7.1 Elimination and introduction rules for the extensions

lemma *gctxtex-onpE* [*elim*]:

assumes $(s, t) \in gctxtex-onp P \mathcal{R}$
obtains $C u v$ **where** $s = C\langle u \rangle_G t = C\langle v \rangle_G P C (u, v) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gctxtex-onp-neq-rootE* [elim]:
assumes $(GFun f ss, GFun g ts) \in gctxtex-onp P \mathcal{R}$ **and** $f \neq g$
shows $(GFun f ss, GFun g ts) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gctxtex-onp-neq-lengthE* [elim]:
assumes $(GFun f ss, GFun g ts) \in gctxtex-onp P \mathcal{R}$ **and** $length ss \neq length ts$
shows $(GFun f ss, GFun g ts) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onpE* [elim]:
assumes $(s, t) \in gmctxtex-onp P \mathcal{R}$
obtains $C us vs$ **where** $s = fill-gholes C us t = fill-gholes C vs num-gholes C =$
 $length us$
 $length us = length vs P C \forall i < length vs. (us ! i, vs ! i) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onpE2* [elim]:
assumes $(s, t) \in gmctxtex-onp P \mathcal{R}$
obtains $C us vs$ **where** $s =_{Gf} (C, us) t =_{Gf} (C, vs)$
 $P C \forall i < length vs. (us ! i, vs ! i) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onp-neq-rootE* [elim]:
assumes $(GFun f ss, GFun g ts) \in gmctxtex-onp P \mathcal{R}$ **and** $f \neq g$
shows $(GFun f ss, GFun g ts) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onp-neq-lengthE* [elim]:
assumes $(GFun f ss, GFun g ts) \in gmctxtex-onp P \mathcal{R}$ **and** $length ss \neq length ts$
shows $(GFun f ss, GFun g ts) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onp-listE*:
assumes $\forall i < length ts. (ss ! i, ts ! i) \in gmctxtex-onp Q \mathcal{R}$ $length ss = length$
 ts
obtains $Ds sss tss$ **where** $length ts = length Ds$ $length Ds = length sss$ $length sss$
 $= length tss$
 $\forall i < length tss. length (sss ! i) = length (tss ! i) \forall D \in set Ds. Q D$
 $\forall i < length tss. ss ! i =_{Gf} (Ds ! i, sss ! i) \forall i < length tss. ts ! i =_{Gf} (Ds !$
 $i, tss ! i)$
 $\forall i < length (concat tss). (concat sss ! i, concat tss ! i) \in \mathcal{R}$
 $\langle proof \rangle$

lemma *gmctxtex-onp-doubleE* [elim]:

assumes $(s, t) \in \text{gmctxtex-onp } P \text{ (gmctxtex-onp } Q \mathcal{R})$
obtains $C \ Ds \ ss \ ts \ us \ vs$ **where** $s =_{Gf} (C, ss) \ t =_{Gf} (C, ts) \ P \ C \ \forall \ D \in \text{set } Ds. \ Q \ D$
 $\text{num-gholes } C = \text{length } Ds \ \text{length } Ds = \text{length } ss \ \text{length } ss = \text{length } ts \ \text{length } ts$
 $= \text{length } us \ \text{length } us = \text{length } vs$
 $\forall \ i < \text{length } Ds. \ ss \ ! \ i =_{Gf} (Ds \ ! \ i, us \ ! \ i) \wedge \ ts \ ! \ i =_{Gf} (Ds \ ! \ i, vs \ ! \ i)$
 $\forall \ i < \text{length } Ds. \ \forall \ j < \text{length } (vs \ ! \ i). \ (us \ ! \ i \ ! \ j, vs \ ! \ i \ ! \ j) \in \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gctxtex-onpI* [intro]:
assumes $P \ C$ **and** $(s, t) \in \mathcal{R}$
shows $(C \langle s \rangle_G, C \langle t \rangle_G) \in \text{gctxtex-onp } P \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gmctxtex-onpI* [intro]:
assumes $P \ C$ **and** $\text{num-gholes } C = \text{length } us$ **and** $\text{length } us = \text{length } vs$
and $\forall \ i < \text{length } vs. \ (us \ ! \ i, vs \ ! \ i) \in \mathcal{R}$
shows $(\text{fill-gholes } C \ us, \text{fill-gholes } C \ vs) \in \text{gmctxtex-onp } P \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gmctxtex-onp-arg-monoI*:
assumes $P \ \text{GMHole}$
shows $\mathcal{R} \subseteq \text{gmctxtex-onp } P \ \mathcal{R} \ \langle \text{proof} \rangle$

lemma *gmctxtex-onpI2* [intro]:
assumes $P \ C$ **and** $s =_{Gf} (C, ss) \ t =_{Gf} (C, ts)$
and $\forall \ i < \text{length } ts. \ (ss \ ! \ i, ts \ ! \ i) \in \mathcal{R}$
shows $(s, t) \in \text{gmctxtex-onp } P \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gctxtex-onp-hold-cond* [simp]:
 $(s, t) \in \text{gctxtex-onp } P \ \mathcal{R} \implies \text{groot } s \neq \text{groot } t \implies P \ \square_G$
 $(s, t) \in \text{gctxtex-onp } P \ \mathcal{R} \implies \text{length } (\text{gargs } s) \neq \text{length } (\text{gargs } t) \implies P \ \square_G$
 $\langle \text{proof} \rangle$

7.2 Monotonicity rules for the extensions

lemma *gctxtex-onp-rel-mono*:
 $\mathcal{L} \subseteq \mathcal{R} \implies \text{gctxtex-onp } P \ \mathcal{L} \subseteq \text{gctxtex-onp } P \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gmctxtex-onp-rel-mono*:
 $\mathcal{L} \subseteq \mathcal{R} \implies \text{gmctxtex-onp } P \ \mathcal{L} \subseteq \text{gmctxtex-onp } P \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *compatible-p-gctxtex-gmctxtex-subseteq* [dest]:
 $\text{compatible-p } P \ Q \implies \text{gctxtex-onp } P \ \mathcal{R} \subseteq \text{gmctxtex-onp } Q \ \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *compatible-p-mono1*:

$$P \leq R \implies \text{compatible-p } R \ Q \implies \text{compatible-p } P \ Q$$

<proof>

lemma *compatible-p-mono2*:

$$Q \leq R \implies \text{compatible-p } P \ Q \implies \text{compatible-p } P \ R$$

<proof>

lemma *gctxtex-onp-mono* [intro]:

$$P \leq Q \implies \text{gctxtex-onp } P \ \mathcal{R} \subseteq \text{gctxtex-onp } Q \ \mathcal{R}$$

<proof>

lemma *gctxtex-onp-mem*:

$$P \leq Q \implies (s, t) \in \text{gctxtex-onp } P \ \mathcal{R} \implies (s, t) \in \text{gctxtex-onp } Q \ \mathcal{R}$$

<proof>

lemma *gmctxtex-onp-mono* [intro]:

$$P \leq Q \implies \text{gmctxtex-onp } P \ \mathcal{R} \subseteq \text{gmctxtex-onp } Q \ \mathcal{R}$$

<proof>

lemma *gmctxtex-onp-mem*:

$$P \leq Q \implies (s, t) \in \text{gmctxtex-onp } P \ \mathcal{R} \implies (s, t) \in \text{gmctxtex-onp } Q \ \mathcal{R}$$

<proof>

lemma *gctxtex-eqI* [intro]:

$$P = Q \implies \mathcal{R} = \mathcal{L} \implies \text{gctxtex-onp } P \ \mathcal{R} = \text{gctxtex-onp } Q \ \mathcal{L}$$

<proof>

lemma *gmctxtex-eqI* [intro]:

$$P = Q \implies \mathcal{R} = \mathcal{L} \implies \text{gmctxtex-onp } P \ \mathcal{R} = \text{gmctxtex-onp } Q \ \mathcal{L}$$

<proof>

7.3 Relation swap and converse

lemma *swap-gctxtex-onp*:

$$\text{gctxtex-onp } P \ (\text{prod.swap } \mathcal{R}) = \text{prod.swap } \mathcal{R} \ (\text{gctxtex-onp } P \ \mathcal{R})$$

<proof>

lemma *swap-gmctxtex-onp*:

$$\text{gmctxtex-onp } P \ (\text{prod.swap } \mathcal{R}) = \text{prod.swap } \mathcal{R} \ (\text{gmctxtex-onp } P \ \mathcal{R})$$

<proof>

lemma *converse-gctxtex-onp*:

$$(\text{gctxtex-onp } P \ \mathcal{R})^{-1} = \text{gctxtex-onp } P \ (\mathcal{R}^{-1})$$

<proof>

lemma *converse-gmctxtex-onp*:

$$(\text{gmctxtex-onp } P \ \mathcal{R})^{-1} = \text{gmctxtex-onp } P \ (\mathcal{R}^{-1})$$

<proof>

7.4 Subset equivalence for context extensions over predicates

lemma *gctxtex-onp-closure-predI*:

assumes $\bigwedge C s t. P C \implies (s, t) \in \mathcal{R} \implies (C\langle s \rangle_G, C\langle t \rangle_G) \in \mathcal{R}$

shows *gctxtex-onp* $P \mathcal{R} \subseteq \mathcal{R}$

<proof>

lemma *gmctxtex-onp-closure-predI*:

assumes $\bigwedge C ss ts. P C \implies \text{num-gholes } C = \text{length } ss \implies \text{length } ss = \text{length } ts \implies$

$(\forall i < \text{length } ts. (ss ! i, ts ! i) \in \mathcal{R}) \implies (\text{fill-gholes } C ss, \text{fill-gholes } C ts) \in \mathcal{R}$

shows *gmctxtex-onp* $P \mathcal{R} \subseteq \mathcal{R}$

<proof>

lemma *gctxtex-onp-closure-predE*:

assumes *gctxtex-onp* $P \mathcal{R} \subseteq \mathcal{R}$

shows $\bigwedge C s t. P C \implies (s, t) \in \mathcal{R} \implies (C\langle s \rangle_G, C\langle t \rangle_G) \in \mathcal{R}$

<proof>

lemma *gctxtex-closure [intro]*:

$P \sqsubseteq_G \implies \mathcal{R} \subseteq \text{gctxtex-onp } P \mathcal{R}$

<proof>

lemma *gmctxtex-closure [intro]*:

assumes $P \text{ GMHole}$

shows $\mathcal{R} \subseteq (\text{gmctxtex-onp } P \mathcal{R})$

<proof>

lemma *gctxtex-pred-cmp-subseteq*:

assumes $\bigwedge C D. P C \implies Q D \implies Q (C \circ_{Gc} D)$

shows *gctxtex-onp* $P (\text{gctxtex-onp } Q \mathcal{R}) \subseteq \text{gctxtex-onp } Q \mathcal{R}$

<proof>

lemma *gctxtex-pred-cmp-subseteq2*:

assumes $\bigwedge C D. P C \implies Q D \implies P (C \circ_{Gc} D)$

shows *gctxtex-onp* $P (\text{gctxtex-onp } Q \mathcal{R}) \subseteq \text{gctxtex-onp } P \mathcal{R}$

<proof>

lemma *gmctxtex-pred-cmp-subseteq*:

assumes $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in \text{set } (\text{sup-gmctxt-args } C D). Q Ds) \implies Q D$

shows *gmctxtex-onp* $P (\text{gmctxtex-onp } Q \mathcal{R}) \subseteq \text{gmctxtex-onp } Q \mathcal{R}$ (**is** $?Ls \subseteq ?Rs$)

<proof>

lemma *gmctxtex-pred-cmp-subseteq2*:

assumes $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in \text{set } (\text{sup-gmctxt-args } C D). Q Ds) \implies P D$

shows *gmctxtex-onp* $P (\text{gmctxtex-onp } Q \mathcal{R}) \subseteq \text{gmctxtex-onp } P \mathcal{R}$ (**is** $?Ls \subseteq ?Rs$)

<proof>

lemma *gctxtex-onp-idem* [simp]:

assumes $P \sqsubseteq_G$ and $\bigwedge C D. P C \implies Q D \implies Q (C \circ_{Gc} D)$

shows $gctxtex\text{-onp } P (gctxtex\text{-onp } Q \mathcal{R}) = gctxtex\text{-onp } Q \mathcal{R}$ (is ?Ls = ?Rs)
 ⟨proof⟩

lemma *gctxtex-onp-idem2* [simp]:

assumes $Q \sqsubseteq_G$ and $\bigwedge C D. P C \implies Q D \implies P (C \circ_{Gc} D)$

shows $gctxtex\text{-onp } P (gctxtex\text{-onp } Q \mathcal{R}) = gctxtex\text{-onp } P \mathcal{R}$ (is ?Ls = ?Rs)
 ⟨proof⟩

lemma *gmctxtex-onp-idem* [simp]:

assumes $P \text{ GMHole}$

and $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in \text{set } (sup\text{-gmctxt-args } C D). Q Ds) \implies Q D$

shows $gmctxtex\text{-onp } P (gmctxtex\text{-onp } Q \mathcal{R}) = gmctxtex\text{-onp } Q \mathcal{R}$
 ⟨proof⟩

7.5 *gmctxtex-onp* subset equivalence *gctxtex-onp* transitive closure

The following definition demands that if we arbitrarily fill a multihole context C with terms induced by signature F such that one hole remains then the predicate Q holds

definition *gmctxt-p-inv* $C \mathcal{F} Q \equiv (\forall D. gmctxt\text{-closing } C D \implies num\text{-gholes } D = 1 \implies funas\text{-gmctxt } D \subseteq \mathcal{F} \implies Q (gctxt\text{-of-gmctxt } D))$

lemma *gmctxt-p-invE*:

$gmctxt\text{-p-inv } C \mathcal{F} Q \implies C \leq D \implies ghole\text{-poss } D \subseteq ghole\text{-poss } C \implies num\text{-gholes } D = 1 \implies$

$funas\text{-gmctxt } D \subseteq \mathcal{F} \implies Q (gctxt\text{-of-gmctxt } D)$
 ⟨proof⟩

lemma *gmctxt-closing-gmctxt-p-inv-comp*:

$gmctxt\text{-closing } C D \implies gmctxt\text{-p-inv } C \mathcal{F} Q \implies gmctxt\text{-p-inv } D \mathcal{F} Q$
 ⟨proof⟩

lemma *GMHole-gmctxt-p-inv-GHole* [simp]:

$gmctxt\text{-p-inv } GMHole \mathcal{F} Q \implies Q \sqsubseteq_G$
 ⟨proof⟩

lemma *gmctxtex-onp-gctxtex-onp-trancl*:

assumes $sig: \bigwedge C. P C \implies 0 < num\text{-gholes } C \wedge funas\text{-gmctxt } C \subseteq \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$

and $\bigwedge C. P C \implies gmctxt\text{-p-inv } C \mathcal{F} Q$

shows $gmctxtex\text{-onp } P \mathcal{R} \subseteq (gctxtex\text{-onp } Q \mathcal{R})^+$
 ⟨proof⟩

lemma *gmctxtex-onp-gctxtex-onp-rtrancl*:
assumes *sig*: $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
and $\bigwedge C D. P C \implies \text{gmctxt-p-inv } C \mathcal{F} Q$
shows $\text{gmctxtex-onp } P \mathcal{R} \subseteq (\text{gctxtex-onp } Q \mathcal{R})^*$
<proof>

lemma *rtrancl-gmctxtex-onp-rtrancl-gctxtex-onp-eq*:
assumes *sig*: $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
and $\bigwedge C D. P C \implies \text{gmctxt-p-inv } C \mathcal{F} Q$
and *compatible-p* $Q P$
shows $(\text{gmctxtex-onp } P \mathcal{R})^* = (\text{gctxtex-onp } Q \mathcal{R})^*$ (**is** $?Ls^* = ?Rs^*$)
<proof>

7.6 Extensions to reflexive transitive closures

lemma *gctxtex-onp-substep-trancl*:
assumes $\text{gctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$
shows $\text{gctxtex-onp } P (\mathcal{R}^+) \subseteq \mathcal{R}^+$
<proof>

lemma *gctxtex-onp-substep-rtrancl*:
assumes $\text{gctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$
shows $\text{gctxtex-onp } P (\mathcal{R}^*) \subseteq \mathcal{R}^*$
<proof>

lemma *gctxtex-onp-substep-trancl-diff-pred* [intro]:
assumes $\bigwedge C D. P C \implies Q D \implies Q (D \circ_{Gc} C)$
shows $\text{gctxtex-onp } Q ((\text{gctxtex-onp } P \mathcal{R})^+) \subseteq (\text{gctxtex-onp } Q \mathcal{R})^+$
<proof>

lemma *gctxtcl-pres-trancl*:
assumes $(s, t) \in \mathcal{R}^+$ **and** $\text{gctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$ **and** $P C$
shows $(C \langle s \rangle_G, C \langle t \rangle_G) \in \mathcal{R}^+$
<proof>

lemma *gctxtcl-pres-rtrancl*:
assumes $(s, t) \in \mathcal{R}^*$ **and** $\text{gctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$ **and** $P C$
shows $(C \langle s \rangle_G, C \langle t \rangle_G) \in \mathcal{R}^*$
<proof>

lemma *gmctxtex-onp-substep-trancl*:
assumes $\text{gmctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$
and *Id-on* $(\text{snd } \cdot \mathcal{R}) \subseteq \mathcal{R}$
shows $\text{gmctxtex-onp } P (\mathcal{R}^+) \subseteq \mathcal{R}^+$
<proof>

lemma *gmctxtex-onp-substep-tranclE*:
assumes *trans* \mathcal{R} **and** $\text{gmctxtex-onp } Q \mathcal{R} O \mathcal{R} \subseteq \mathcal{R}$ **and** $\mathcal{R} O \text{gmctxtex-onp } Q$

$\mathcal{R} \subseteq \mathcal{R}$
and $\bigwedge p C. P C \implies p \in \text{poss-gmctxt } C \implies Q (\text{subgm-at } C p)$
and $\bigwedge C D. P C \implies P D \implies (C, D) \in \text{comp-gmctxt} \implies P (C \sqcap D)$
shows $(\text{gmctxtex-onp } P \mathcal{R})^+ = \text{gmctxtex-onp } P \mathcal{R}$ (is ?Ls = ?Rs)
 ⟨proof⟩

7.7 Restr to set, union and predicate distribution

lemma *Restr-gctxtex-onp-dist* [simp]:

$\text{Restr } (\text{gctxtex-onp } P \mathcal{R}) (\mathcal{T}_G \mathcal{F}) =$
 $\text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge P C) (\text{Restr } \mathcal{R} (\mathcal{T}_G \mathcal{F}))$
 ⟨proof⟩

lemma *Restr-gmctxtex-onp-dist* [simp]:

$\text{Restr } (\text{gmctxtex-onp } P \mathcal{R}) (\mathcal{T}_G \mathcal{F}) =$
 $\text{gmctxtex-onp } (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge P C) (\text{Restr } \mathcal{R} (\mathcal{T}_G \mathcal{F}))$
 ⟨proof⟩

lemma *Restr-id-subset-gmctxtex-onp* [intro]:

assumes $\bigwedge C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F} \implies P C$
shows $\text{Restr Id } (\mathcal{T}_G \mathcal{F}) \subseteq \text{gmctxtex-onp } P \mathcal{R}$
 ⟨proof⟩

lemma *Restr-id-subset-gmctxtex-onp2* [intro]:

assumes $\bigwedge f n. (f, n) \in \mathcal{F} \implies P (\text{GMFun } f (\text{replicate } n \text{ GMHole}))$
and $\bigwedge C Ds. \text{num-gholes } C = \text{length } Ds \implies P C \implies \forall D \in \text{set } Ds. P D \implies$
 $P (\text{fill-gholes-gmctxt } C Ds)$
shows $\text{Restr Id } (\mathcal{T}_G \mathcal{F}) \subseteq \text{gmctxtex-onp } P \mathcal{R}$
 ⟨proof⟩

lemma *gctxtex-onp-union* [simp]:

$\text{gctxtex-onp } P (\mathcal{R} \cup \mathcal{L}) = \text{gctxtex-onp } P \mathcal{R} \cup \text{gctxtex-onp } P \mathcal{L}$
 ⟨proof⟩

lemma *gctxtex-onp-pred-dist*:

assumes $\bigwedge C. P C \longleftrightarrow Q C \vee R C$
shows $\text{gctxtex-onp } P \mathcal{R} = \text{gctxtex-onp } Q \mathcal{R} \cup \text{gctxtex-onp } R \mathcal{R}$
 ⟨proof⟩

lemma *gmctxtex-onp-pred-dist*:

assumes $\bigwedge C. P C \longleftrightarrow Q C \vee R C$
shows $\text{gmctxtex-onp } P \mathcal{R} = \text{gmctxtex-onp } Q \mathcal{R} \cup \text{gmctxtex-onp } R \mathcal{R}$
 ⟨proof⟩

lemma *trivial-gctxtex-onp* [simp]: $\text{gctxtex-onp } (\lambda C. C = \square_G) \mathcal{R} = \mathcal{R}$
 ⟨proof⟩

lemma *trivial-gmctxtex-onp* [simp]: $gmctxtex\text{-onp } (\lambda C. C = GMHole) \mathcal{R} = \mathcal{R}$
 ⟨proof⟩

7.8 Distribution of context closures over relation composition

lemma *gctxtex-onp-relcomp-inner*:
 $gctxtex\text{-onp } P (\mathcal{R} \circ \mathcal{L}) \subseteq gctxtex\text{-onp } P \mathcal{R} \circ gctxtex\text{-onp } P \mathcal{L}$
 ⟨proof⟩

lemma *gmctxtex-onp-relcomp-inner*:
 $gmctxtex\text{-onp } P (\mathcal{R} \circ \mathcal{L}) \subseteq gmctxtex\text{-onp } P \mathcal{R} \circ gmctxtex\text{-onp } P \mathcal{L}$
 ⟨proof⟩

7.9 Signature preserving and signature closed

definition *function-closed where*
 $function\text{-closed } \mathcal{F} \mathcal{R} \iff (\forall f \ ss \ ts. (f, length \ ts) \in \mathcal{F} \implies 0 \neq length \ ts \implies$
 $length \ ss = length \ ts \implies (\forall i. i < length \ ts \implies (ss ! i, ts ! i) \in \mathcal{R}) \implies$
 $(GFun \ f \ ss, GFun \ f \ ts) \in \mathcal{R})$

lemma *function-closedD*: $function\text{-closed } \mathcal{F} \mathcal{R} \implies$
 $(f, length \ ts) \in \mathcal{F} \implies 0 \neq length \ ts \implies length \ ss = length \ ts \implies$
 $\llbracket \bigwedge i. i < length \ ts \implies (ss ! i, ts ! i) \in \mathcal{R} \rrbracket \implies$
 $(GFun \ f \ ss, GFun \ f \ ts) \in \mathcal{R}$
 ⟨proof⟩

lemma *all-ctxt-closed-imp-function-closed*:
 $all\text{-ctxt-closed } \mathcal{F} \mathcal{R} \implies function\text{-closed } \mathcal{F} \mathcal{R}$
 ⟨proof⟩

lemma *all-ctxt-closed-imp-refl-on-sig*:
assumes $all\text{-ctxt-closed } \mathcal{F} \mathcal{R}$
shows $Restr \ Id (\mathcal{T}_G \ \mathcal{F}) \subseteq \mathcal{R}$
 ⟨proof⟩

lemma *function-closed-un-id-all-ctxt-closed*:
 $function\text{-closed } \mathcal{F} \mathcal{R} \implies Restr \ Id (\mathcal{T}_G \ \mathcal{F}) \subseteq \mathcal{R} \implies all\text{-ctxt-closed } \mathcal{F} \mathcal{R}$
 ⟨proof⟩

lemma *gctxtex-onp-in-signature* [intro]:
assumes $\bigwedge C. P \ C \implies funas\text{-gctxt } C \subseteq \mathcal{F} \bigwedge C. P \ C \implies funas\text{-gctxt } C \subseteq \mathcal{G}$
and $\mathcal{R} \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{G}$
shows $gctxtex\text{-onp } P \ \mathcal{R} \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{G}$ ⟨proof⟩

lemma *gmctxtex-onp-in-signature* [intro]:
assumes $\bigwedge C. P \ C \implies funas\text{-gmctxt } C \subseteq \mathcal{F} \bigwedge C. P \ C \implies funas\text{-gmctxt } C \subseteq \mathcal{G}$
and $\mathcal{R} \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{G}$

shows $gmctxtex-onp\ P\ \mathcal{R} \subseteq \mathcal{T}_G\ \mathcal{F} \times \mathcal{T}_G\ \mathcal{G}$ *<proof>*

lemma *gctxtex-onp-in-signature-tranc* [intro]:
 $gctxtex-onp\ P\ \mathcal{R} \subseteq \mathcal{T}_G\ \mathcal{F} \times \mathcal{T}_G\ \mathcal{F} \implies (gctxtex-onp\ P\ \mathcal{R})^+ \subseteq \mathcal{T}_G\ \mathcal{F} \times \mathcal{T}_G\ \mathcal{F}$
<proof>

lemma *gmctxtex-onp-in-signature-tranc* [intro]:
 $gmctxtex-onp\ P\ \mathcal{R} \subseteq \mathcal{T}_G\ \mathcal{F} \times \mathcal{T}_G\ \mathcal{F} \implies (gmctxtex-onp\ P\ \mathcal{R})^+ \subseteq \mathcal{T}_G\ \mathcal{F} \times \mathcal{T}_G\ \mathcal{F}$
<proof>

lemma *gmctxtex-onp-fun-closed* [intro!]:
assumes $\bigwedge f\ n. (f, n) \in \mathcal{F} \implies n \neq 0 \implies P\ (GMFun\ f\ (replicate\ n\ GMHole))$
and $\bigwedge C\ Ds. P\ C \implies num-gholes\ C = length\ Ds \implies 0 < num-gholes\ C \implies$
 $\forall D \in set\ Ds. P\ D \implies P\ (fill-gholes-gmctxt\ C\ Ds)$
shows *function-closed* $\mathcal{F}\ (gmctxtex-onp\ P\ \mathcal{R})$ *<proof>*

declare *subsetI*[rule del]
lemma *gmctxtex-onp-sig-closed* [intro]:
assumes $\bigwedge f\ n. (f, n) \in \mathcal{F} \implies P\ (GMFun\ f\ (replicate\ n\ GMHole))$
and $\bigwedge C\ Ds. num-gholes\ C = length\ Ds \implies P\ C \implies \forall D \in set\ Ds. P\ D \implies$
 $P\ (fill-gholes-gmctxt\ C\ Ds)$
shows *all-ctxt-closed* $\mathcal{F}\ (gmctxtex-onp\ P\ \mathcal{R})$ *<proof>*
declare *subsetI*[intro!]

lemma *gmctxt-cl-gmctxtex-onp-conv*:
 $gmctxt-cl\ \mathcal{F}\ \mathcal{R} = gmctxtex-onp\ (\lambda C. funas-gmctxt\ C \subseteq \mathcal{F})\ \mathcal{R}$ (**is** $?Ls = ?Rs$)
<proof>

end
theory *FOR-Certificate*
imports *Rewriting*
begin

8 Certificate syntax and type declarations

type-alias $fvar = nat$ — variable id
datatype $ftrs = Fwd\ nat \mid Bwd\ nat$ — TRS id and direction

definition *map-ftrs* **where**
 $map-ftrs\ f = case-ftrs\ (Fwd \circ f)\ (Bwd \circ f)$

8.1 GTT relations

datatype $'trs\ gtt-rel$ — GTT relations
 $= ARoot\ 'trs\ list$ — root steps
 $\mid GInv\ 'trs\ gtt-rel$ — inverse of anchored or ordinary GTT relation
 $\mid AUnion\ 'trs\ gtt-rel\ 'trs\ gtt-rel$ — union of anchored GTT relation
 $\mid ATrancl\ 'trs\ gtt-rel$ — transitive closure of anchored GTT relation

- | $GTrancl$ 'trs gtt-rel — transitive closure of ordinary GTT relation
- | $AComp$ 'trs gtt-rel 'trs gtt-rel — composition of anchored GTT relations
- | $GComp$ 'trs gtt-rel 'trs gtt-rel — composition of ordinary GTT relations

definition $GSteps$ **where** $GSteps$ $trss = GTrancl (ARoot$ $trss)$

8.2 RR1 and RR2 relations

datatype pos -step — position specification for lifting anchored GTT relation
 = $PRoot$ — allow only root steps
 | $PNonRoot$ — allow only non-root steps
 | $PAny$ — allow any position

datatype ext -step — kind of rewrite steps for lifting anchored GTT relation
 = $ESingle$ — single steps
 | $EParallel$ — parallel steps, allowing the empty step
 | $EStrictParallel$ — parallel steps, no allowing the empty step

datatype 'trs rr1-rel — RR1 relations, aka regular tree languages
 = $R1Terms$ — all terms as RR1 relation (regular tree languages)

| $R1NF$ 'trs list — direct normal form construction wrt. single steps
 | $R1Inf$ 'trs rr2-rel — infiniteness predicate
 | $R1Proj$ nat 'trs rr2-rel — projection of RR2 relation
 | $R1Union$ 'trs rr1-rel 'trs rr1-rel — union of RR1 relations
 | $R1Inter$ 'trs rr1-rel 'trs rr1-rel — intersection of RR1 relations
 | $R1Diff$ 'trs rr1-rel 'trs rr1-rel — difference of RR1 relations

and 'trs rr2-rel — RR2 relations
 = $R2GTT$ -Rel 'trs gtt-rel pos -step ext -step — lifted GTT relations
 | $R2Diag$ 'trs rr1-rel — diagonal relation
 | $R2Prod$ 'trs rr1-rel 'trs rr1-rel — Cartesian product
 | $R2Inv$ 'trs rr2-rel — inverse of RR2 relation
 | $R2Union$ 'trs rr2-rel 'trs rr2-rel — union of RR2 relations
 | $R2Inter$ 'trs rr2-rel 'trs rr2-rel — intersection of RR2 relations
 | $R2Diff$ 'trs rr2-rel 'trs rr2-rel — difference of RR2 relations
 | $R2Comp$ 'trs rr2-rel 'trs rr2-rel — composition of RR2 relations

definition $R1Fin$ **where** — finiteness predicate
 $R1Fin$ $r = R1Diff$ $R1Terms$ ($R1Inf$ r)

definition $R2Eq$ **where** — equality
 $R2Eq = R2Diag$ $R1Terms$

definition $R2Reflc$ **where** — reflexive closure
 $R2Reflc$ $r = R2Union$ r $R2Eq$

definition $R2Step$ **where** — single step \rightarrow
 $R2Step$ $trss = R2GTT$ -Rel ($ARoot$ $trss$) $PAny$ $ESingle$

definition $R2StepEq$ **where** — at most one step $\rightarrow^=$

$R2StepEq\ trss = R2Refc\ (R2Step\ trss)$
definition $R2Steps$ **where** — at least one step \rightarrow^+
 $R2Steps\ trss = R2GTT-Rel\ (GSteps\ trss)\ PAny\ EStrictParallel$
definition $R2StepsEq$ **where** — many steps \rightarrow^*
 $R2StepsEq\ trss = R2GTT-Rel\ (GSteps\ trss)\ PAny\ EParallel$
definition $R2StepsNF$ **where** — rewrite to normal form $\rightarrow^!$
 $R2StepsNF\ trss = R2Inter\ (R2StepsEq\ trss)\ (R2Prod\ R1Terms\ (R1NF\ trss))$
definition $R2ParStep$ **where** — parallel step
 $R2ParStep\ trss = R2GTT-Rel\ (ARoot\ trss)\ PAny\ EParallel$
definition $R2RootStep$ **where** — root step \rightarrow_ϵ
 $R2RootStep\ trss = R2GTT-Rel\ (ARoot\ trss)\ PRoot\ ESingle$
definition $R2RootStepEq$ **where** — at most one root step $\rightarrow_\epsilon^=$
 $R2RootStepEq\ trss = R2Refc\ (R2RootStep\ trss)$

definition $R2RootSteps$ **where** — at least one root step \rightarrow_ϵ^+
 $R2RootSteps\ trss = R2GTT-Rel\ (ATrancl\ (ARoot\ trss))\ PRoot\ ESingle$
definition $R2RootStepsEq$ **where** — many root steps \rightarrow_ϵ^*
 $R2RootStepsEq\ trss = R2Refc\ (R2RootSteps\ trss)$
definition $R2NonRootStep$ **where** — non-root step $\rightarrow_{>\epsilon}$
 $R2NonRootStep\ trss = R2GTT-Rel\ (ARoot\ trss)\ PNonRoot\ ESingle$
definition $R2NonRootStepEq$ **where** — at most one non-root step $\rightarrow_{>\epsilon}^=$
 $R2NonRootStepEq\ trss = R2Refc\ (R2NonRootStep\ trss)$
definition $R2NonRootSteps$ **where** — at least one non-root step $\rightarrow_{>\epsilon}^+$
 $R2NonRootSteps\ trss = R2GTT-Rel\ (GSteps\ trss)\ PNonRoot\ EStrictParallel$
definition $R2NonRootStepsEq$ **where** — many non-root steps $\rightarrow_{>\epsilon}^*$
 $R2NonRootStepsEq\ trss = R2GTT-Rel\ (GSteps\ trss)\ PNonRoot\ EParallel$
definition $R2Meet$ **where** — meet \uparrow
 $R2Meet\ trss = R2GTT-Rel\ (GComp\ (GInv\ (GSteps\ trss))\ (GSteps\ trss))\ PAny\ EParallel$
definition $R2Join$ **where** — join \downarrow
 $R2Join\ trss = R2GTT-Rel\ (GComp\ (GSteps\ trss)\ (GInv\ (GSteps\ trss)))\ PAny\ EParallel$

8.3 Formulas

datatype $'trs\ formula$ — formulas
 $=\ FRR1\ 'trs\ rr1-rel\ fvar$ — application of RR1 relation
 $|$ $FRR2\ 'trs\ rr2-rel\ fvar\ fvar$ — application of RR2 relation
 $|$ $FAnd\ ('trs\ formula)\ list$ — conjunction
 $|$ $FOr\ ('trs\ formula)\ list$ — disjunction
 $|$ $FNot\ 'trs\ formula$ — negation
 $|$ $FExists\ 'trs\ formula$ — existential quantification
 $|$ $FForall\ 'trs\ formula$ — universal quantification

definition $FTrue$ **where** — true

$FTrue \equiv FAnd\ []$

definition $FFalse$ **where** — false

$FFalse \equiv FOr\ []$

definition *FRestrict* **where** — reorder/rename/restrict TRSs for subformula
FRestrict f $trss \equiv \text{map-formula } (\text{map-ftrs } (\lambda n. \text{if } n \geq \text{length } trss \text{ then } 0 \text{ else } trss$
 $! n)) f$

8.4 Signatures and Problems

datatype $(f, 'v, 't)$ *many-sorted-sig*
 $= \text{Many-Sorted-Sig } (ms\text{-functions: } ('f \times 't \text{ list} \times 't) \text{ list}) (ms\text{-variables: } ('v \times 't)$
 $\text{list})$

datatype $(f, 'v, 't)$ *problem*
 $= \text{Problem } (p\text{-signature: } ('f, 'v, 't) \text{ many-sorted-sig})$
 $(p\text{-trss: } ('f, 'v) \text{ trs list})$
 $(p\text{-formula: } ftrs \text{ formula})$

8.5 Proofs

datatype *equivalence* — formula equivalences
 $= \text{EDistribAndOr}$ — distributivity: conjunction over disjunction
 $| \text{EDistribOrAnd}$ — distributivity: disjunction over conjunction

datatype $'trs$ *inference* — inference rules for formula creation
 $= \text{IRR1 } 'trs \text{ rr1-rel } fvar$ — formula from RR1 relation
 $| \text{IRR2 } 'trs \text{ rr2-rel } fvar \text{ fvar}$ — formula from RR2 relation
 $| \text{IAnd } nat \text{ list}$ — conjunction
 $| \text{IOr } nat \text{ list}$ — disjunction
 $| \text{INot } nat$ — negation
 $| \text{IExists } nat$ — existential quantification
 $| \text{IRename } nat \text{ fvar list}$ — permute variables
 $| \text{INNFPlus } nat$ — equivalence modulo negation normal form plus
ACIU0 for \wedge and \vee
 $| \text{IRepl equivalence } nat \text{ list } nat$ — replacement according to given equivalence

datatype *claim* = *Empty* | *Nonempty*

datatype *info* = *Size* $nat \text{ nat } nat$

datatype $'trs$ *certificate*
 $= \text{Certificate } (nat \times 'trs \text{ inference} \times 'trs \text{ formula} \times \text{info list}) \text{ list claim } nat$

8.6 Example

definition *no-normal-forms-cert* :: *ftrs certificate* **where**
no-normal-forms-cert = *Certificate*
 $[(0, (\text{IRR2 } (\text{R2Step } [\text{Fwd } 0]) 1 0),$
 $(\text{FRR2 } (\text{R2Step } [\text{Fwd } 0]) 1 0), [])$
 $, (1, (\text{IExists } 0),$
 $(\text{FExists } (\text{FRR2 } (\text{R2Step } [\text{Fwd } 0]) 1 0)), [])$
 $, (2, (\text{INot } 1),$

```

      (FNot (FExists (FRR2 (R2Step [Fwd 0] 1 0))), [])
, (3, (IExists 2),
      (FExists (FNot (FExists (FRR2 (R2Step [Fwd 0] 1 0))))) , [])
, (4, (INot 3),
      (FNot (FExists (FNot (FExists (FRR2 (R2Step [Fwd 0] 1 0))))) , [])
, (5, (INNPlus 4),
      (FForall (FExists (FRR2 (R2Step [Fwd 0] 1 0))), [])
] Nonempty 5

```

definition *no-normal-forms-problem* :: (string, string, unit) problem where
no-normal-forms-problem = Problem
 (Many-Sorted-Sig [{"f",[],()}, {"a",[],()}] [{"x",()}])
 [{"(Fun "f" [Var "x"], Fun "a" [])}]
 (FForall (FExists (FRR2 (R2Step [Fwd 0] 1 0)))

end

9 Lifting root steps to single/parallel root/non-root steps

theory *Lift-Root-Step*

imports

Rewriting
FOR-Certificate
Context-Extensions
Multihole-Context

begin

Closure under all contexts

abbreviation *gctxtcl* $\mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. \text{True}) \mathcal{R}$

abbreviation *gmctxtcl* $\mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. \text{True}) \mathcal{R}$

Extension under all non empty contexts

abbreviation *gctxtex-nempty* $\mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. C \neq \square_G) \mathcal{R}$

abbreviation *gmctxtex-nempty* $\mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. C \neq \text{GMHole}) \mathcal{R}$

Closure under all contexts respecting the signature

abbreviation *gctxtcl-fun* $\mathcal{F} \mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F}) \mathcal{R}$

abbreviation *gmctxtcl-fun* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$

Closure under all multihole contexts with at least one hole respecting the signature

abbreviation *gmctxtcl-fun* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. 0 < \text{num-gholes } C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$

Extension under all non empty contexts respecting the signature

abbreviation *gctxtex-fun* $\mathcal{F} \mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge C \neq \square_G) \mathcal{R}$

abbreviation $gmctxtex\text{-funas}\text{-nroot } \mathcal{F} \mathcal{R} \equiv gmctxtex\text{-onp } (\lambda C. \text{funas}\text{-gmctxt } C \subseteq \mathcal{F} \wedge C \neq GMHole) \mathcal{R}$

Extension under all non empty contexts respecting the signature

abbreviation $gmctxtex\text{-funas}\text{-nroot}\text{-strict } \mathcal{F} \mathcal{R} \equiv gmctxtex\text{-onp } (\lambda C. 0 < \text{num}\text{-gholes } C \wedge \text{funas}\text{-gmctxt } C \subseteq \mathcal{F} \wedge C \neq GMHole) \mathcal{R}$

9.1 Rewrite steps equivalent definitions

definition $gsubst\text{-cl} :: ('f, 'v) \text{trs} \Rightarrow 'f \text{gterm rel}$ **where**
 $gsubst\text{-cl } \mathcal{R} = \{(gterm\text{-of}\text{-term } (l \cdot \sigma), gterm\text{-of}\text{-term } (r \cdot \sigma)) \mid$
 $l r (\sigma :: 'v \Rightarrow ('f, 'v) \text{Term.term}). (l, r) \in \mathcal{R} \wedge \text{ground } (l \cdot \sigma) \wedge \text{ground } (r \cdot \sigma)\}$

definition $gnrrstepD :: 'f \text{sig} \Rightarrow 'f \text{gterm rel} \Rightarrow 'f \text{gterm rel}$ **where**
 $gnrrstepD \mathcal{F} \mathcal{R} = gctxtex\text{-funas}\text{-nroot } \mathcal{F} \mathcal{R}$

definition $grstepD :: 'f \text{sig} \Rightarrow 'f \text{gterm rel} \Rightarrow 'f \text{gterm rel}$ **where**
 $grstepD \mathcal{F} \mathcal{R} = gctxtcl\text{-funas } \mathcal{F} \mathcal{R}$

definition $gpar\text{-rstepD} :: 'f \text{sig} \Rightarrow 'f \text{gterm rel} \Rightarrow 'f \text{gterm rel}$ **where**
 $gpar\text{-rstepD } \mathcal{F} \mathcal{R} = gmctxtcl\text{-funas } \mathcal{F} \mathcal{R}$

inductive-set $gpar\text{-rstepD}' :: 'f \text{sig} \Rightarrow 'f \text{gterm rel} \Rightarrow 'f \text{gterm rel}$ **for** $\mathcal{F} :: 'f \text{sig}$
and $\mathcal{R} :: 'f \text{gterm rel}$
where $groot\text{-step [intro]: (s, t) \in \mathcal{R} \Longrightarrow (s, t) \in gpar\text{-rstepD}' \mathcal{F} \mathcal{R}$
 $| gpar\text{-step}\text{-fun [intro]: \llbracket \bigwedge i. i < \text{length } ts \Longrightarrow (ss ! i, ts ! i) \in gpar\text{-rstepD}' \mathcal{F} \mathcal{R} \rrbracket \Longrightarrow \text{length } ss = \text{length } ts$
 $\Longrightarrow (f, \text{length } ts) \in \mathcal{F} \Longrightarrow (GFun f ss, GFun f ts) \in gpar\text{-rstepD}' \mathcal{F} \mathcal{R}$

9.2 Interface between rewrite step definitions and sets

fun $lift\text{-root}\text{-step} :: ('f \times \text{nat}) \text{set} \Rightarrow \text{pos}\text{-step} \Rightarrow \text{ext}\text{-step} \Rightarrow 'f \text{gterm rel} \Rightarrow 'f \text{gterm rel}$ **where**

$lift\text{-root}\text{-step } \mathcal{F} PAny ESingle \mathcal{R} = gctxtcl\text{-funas } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PAny EStrictParallel \mathcal{R} = gmctxtcl\text{-funas}\text{-strict } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PAny EParallel \mathcal{R} = gmctxtcl\text{-funas } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PNonRoot ESingle \mathcal{R} = gctxtex\text{-funas}\text{-nroot } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PNonRoot EStrictParallel \mathcal{R} = gmctxtex\text{-funas}\text{-nroot}\text{-strict } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PNonRoot EParallel \mathcal{R} = gmctxtex\text{-funas}\text{-nroot } \mathcal{F} \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PRoot ESingle \mathcal{R} = \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PRoot EStrictParallel \mathcal{R} = \mathcal{R}$
 $| lift\text{-root}\text{-step } \mathcal{F} PRoot EParallel \mathcal{R} = \mathcal{R} \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F})$

9.3 Compatibility of used predicate extensions and signature closure

lemma $compatible\text{-p [simp]:$
 $compatible\text{-p } (\lambda C. C \neq \square_G) (\lambda C. C \neq GMHole)$
 $compatible\text{-p } (\lambda C. \text{funas}\text{-gctxt } C \subseteq \mathcal{F}) (\lambda C. \text{funas}\text{-gmctxt } C \subseteq \mathcal{F})$

compatible-p $(\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge C \neq \square_G) (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge C \neq \text{GMHole})$
 ⟨proof⟩

lemma *gmctxtcl-fun-as-sigcl*:
all-ctxt-closed \mathcal{F} (*gmctxtcl-fun-as* \mathcal{F} \mathcal{R})
 ⟨proof⟩

lemma *gctxtex-fun-as-nroot-sigcl*:
all-ctxt-closed \mathcal{F} (*gmctxtex-fun-as-nroot* \mathcal{F} \mathcal{R})
 ⟨proof⟩

lemma *gmctxtcl-fun-as-strict-funcl*:
function-closed \mathcal{F} (*gmctxtcl-fun-as-strict* \mathcal{F} \mathcal{R})
 ⟨proof⟩

lemma *gmctxtex-fun-as-nroot-strict-funcl*:
function-closed \mathcal{F} (*gmctxtex-fun-as-nroot-strict* \mathcal{F} \mathcal{R})
 ⟨proof⟩

lemma *gctxtcl-fun-as-dist*:
gctxtcl-fun-as \mathcal{F} $\mathcal{R} = \text{gctxtex-onp } (\lambda C. C = \square_G) \mathcal{R} \cup \text{gctxtex-fun-as-nroot } \mathcal{F}$ \mathcal{R}
 ⟨proof⟩

lemma *gmctxtex-fun-as-nroot-dist*:
gmctxtex-fun-as-nroot \mathcal{F} $\mathcal{R} = \text{gmctxtex-fun-as-nroot-strict } \mathcal{F}$ $\mathcal{R} \cup$
gmctxtex-onp $(\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$
 ⟨proof⟩

lemma *gmctxtcl-fun-as-dist*:
gmctxtcl-fun-as \mathcal{F} $\mathcal{R} = \text{gmctxtex-onp } (\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C$
 $\subseteq \mathcal{F}) \mathcal{R} \cup$
gmctxtex-onp $(\lambda C. 0 < \text{num-gholes } C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$
 ⟨proof⟩

lemma *gmctxtcl-fun-as-strict-dist*:
gmctxtcl-fun-as-strict \mathcal{F} $\mathcal{R} = \text{gmctxtex-fun-as-nroot-strict } \mathcal{F}$ $\mathcal{R} \cup \text{gmctxtex-onp } (\lambda$
 $C. C = \text{GMHole}) \mathcal{R}$
 ⟨proof⟩

lemma *gmctxtex-onpzero-num-gholes-id* [*simp*]:
gmctxtex-onp $(\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R} = \text{Restr Id}$
 $(\mathcal{T}_G \mathcal{F})$ (**is** $?Ls = ?Rs$)
 ⟨proof⟩

lemma *gctxtex-onp-sign-trans-fst*:
assumes $(s, t) \in \text{gctxtex-onp } P$ R **and** $s \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge P$ $C) R$
 ⟨proof⟩

lemma *gctxtex-onp-sign-trans-snd*:

assumes $(s, t) \in \text{gctxtex-onp } P R$ **and** $t \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge P C) R$
 $\langle \text{proof} \rangle$

lemma *gmctxtex-onp-sign-trans-fst*:

assumes $(s, t) \in \text{gmctxtex-onp } P R$ **and** $s \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gmctxtex-onp } (\lambda C. P C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) R$
 $\langle \text{proof} \rangle$

lemma *gmctxtex-onp-sign-trans-snd*:

assumes $(s, t) \in \text{gmctxtex-onp } P R$ **and** $t \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gmctxtex-onp } (\lambda C. P C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) R$
 $\langle \text{proof} \rangle$

9.4 Basic lemmas

lemma *gsubst-cl*:

fixes $\mathcal{R} :: ('f, 'v) \text{ trs}$ **and** $\sigma :: 'v \Rightarrow ('f, 'v) \text{ term}$
assumes $(l, r) \in \mathcal{R}$ **and** $\text{ground } (l \cdot \sigma) \text{ ground } (r \cdot \sigma)$
shows $(\text{gterm-of-term } (l \cdot \sigma), \text{gterm-of-term } (r \cdot \sigma)) \in \text{gsubst-cl } \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *grstepD [simp]*:

$(s, t) \in \mathcal{R} \implies (s, t) \in \text{grstepD } \mathcal{F} \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *grstepD-ctxtI [intro]*:

$(l, r) \in \mathcal{R} \implies \text{funas-gctxt } C \subseteq \mathcal{F} \implies (C\langle l \rangle_G, C\langle r \rangle_G) \in \text{grstepD } \mathcal{F} \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *gctxtex-fun-as-nroot-gctxtcl-fun-as-subseteq*:

$\text{gctxtex-fun-as-nroot } \mathcal{F} (\text{grstepD } \mathcal{F} \mathcal{R}) \subseteq \text{grstepD } \mathcal{F} \mathcal{R}$
 $\langle \text{proof} \rangle$

lemma *Restr-gnrrstepD-dist [simp]*:

$\text{Restr } (\text{gnrrstepD } \mathcal{F} \mathcal{R}) (\mathcal{T}_G \mathcal{G}) = \text{gnrrstepD } (\mathcal{F} \cap \mathcal{G}) (\text{Restr } \mathcal{R} (\mathcal{T}_G \mathcal{G}))$
 $\langle \text{proof} \rangle$

lemma *Restr-grstepD-dist [simp]*:

$\text{Restr } (\text{grstepD } \mathcal{F} \mathcal{R}) (\mathcal{T}_G \mathcal{G}) = \text{grstepD } (\mathcal{F} \cap \mathcal{G}) (\text{Restr } \mathcal{R} (\mathcal{T}_G \mathcal{G}))$
 $\langle \text{proof} \rangle$

lemma *Restr-gpar-rstepD-dist [simp]*:

$\text{Restr } (\text{gpar-rstepD } \mathcal{F} \mathcal{R}) (\mathcal{T}_G \mathcal{G}) = \text{gpar-rstepD } (\mathcal{F} \cap \mathcal{G}) (\text{Restr } \mathcal{R} (\mathcal{T}_G \mathcal{G}))$ (is
 $?Ls = ?Rs$)
 $\langle \text{proof} \rangle$

9.5 Equivalence lemmas

lemma *grrstep-subst-cl-conv*:

$$\text{grrstep } \mathcal{R} = \text{gsubst-cl } \mathcal{R}$$

<proof>

lemma *gnrrstepD-gnrrstep-conv*:

$$\text{gnrrstep } \mathcal{R} = \text{gnrrstepD UNIV (gsubst-cl } \mathcal{R}) \text{ (is ?Ls = ?Rs)}$$

<proof>

lemma *grstepD-grstep-conv*:

$$\text{grstep } \mathcal{R} = \text{grstepD UNIV (gsubst-cl } \mathcal{R}) \text{ (is ?Ls = ?Rs)}$$

<proof>

lemma *gpar-rstep-gpar-rstepD-conv*:

$$\text{gpar-rstep } \mathcal{R} = \text{gpar-rstepD' UNIV (gsubst-cl } \mathcal{R}) \text{ (is ?Ls = ?Rs)}$$

<proof>

lemma *gmctxtcl-fun-as-idem*:

$$\text{gmctxtcl-fun-as } \mathcal{F} \text{ (gmctxtcl-fun-as } \mathcal{F} \mathcal{R}) \subseteq \text{gmctxtcl-fun-as } \mathcal{F} \mathcal{R}$$

<proof>

lemma *gpar-rstepD-gpar-rstepD'-conv*:

$$\text{gpar-rstepD } \mathcal{F} \mathcal{R} = \text{gpar-rstepD' } \mathcal{F} \mathcal{R} \text{ (is ?Ls = ?Rs)}$$

<proof>

9.6 Signature preserving lemmas

lemma *T_G-trans-closure-id [simp]*:

$$(\mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F})^+ = \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$$

<proof>

lemma *signature-pres-fun-as-cl [simp]*:

$$\begin{aligned} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} &\implies \text{gctxtcl-fun-as } \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \\ \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} &\implies \text{gmctxtcl-fun-as } \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \end{aligned}$$

<proof>

lemma *refl-on-gmctxtcl-fun-as*:

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
shows *refl-on* $(\mathcal{T}_G \mathcal{F})$ $(\text{gmctxtcl-fun-as } \mathcal{F} \mathcal{R})$

<proof>

lemma *gtrancl-rel-sound*:

$$\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies \text{gtrancl-rel } \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$$

<proof>

9.7 gcomp-rel and gtrancl-rel lemmas

lemma *gcomp-rel*:

$$\text{lift-root-step } \mathcal{F} \text{ PAny EParallel (gcomp-rel } \mathcal{F} \mathcal{R} \mathcal{S}) = \text{lift-root-step } \mathcal{F} \text{ PAny}$$

EParallel \mathcal{R} *O lift-root-step* \mathcal{F} *PAny EParallel* \mathcal{S} (**is** $?Ls = ?Rs$)
 ⟨proof⟩

lemma *gmctxtcl-funas-in-rtrancl-gctxtcl-funas*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
 shows *gmctxtcl-funas* \mathcal{F} $\mathcal{R} \subseteq (\textit{gctxtcl-funas } \mathcal{F} \mathcal{R})^*$ ⟨proof⟩

lemma *R-in-gtrancl-rel*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
 shows $\mathcal{R} \subseteq \textit{gtrancl-rel } \mathcal{F} \mathcal{R}$
 ⟨proof⟩

lemma *trans-gtrancl-rel [simp]*:
trans (*gtrancl-rel* \mathcal{F} \mathcal{R})
 ⟨proof⟩

lemma *gtrancl-rel-cl*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
 shows *gmctxtcl-funas* \mathcal{F} (*gtrancl-rel* \mathcal{F} \mathcal{R}) $\subseteq (\textit{gmctxtcl-funas } \mathcal{F} \mathcal{R})^+$
 ⟨proof⟩

lemma *gtrancl-rel-aux*:
 $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies \textit{gmctxtcl-funas } \mathcal{F} (\textit{gtrancl-rel } \mathcal{F} \mathcal{R}) \textit{ O } \textit{gtrancl-rel } \mathcal{F} \mathcal{R}$
 $\subseteq \textit{gtrancl-rel } \mathcal{F} \mathcal{R}$
 $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies \textit{gtrancl-rel } \mathcal{F} \mathcal{R} \textit{ O } \textit{gmctxtcl-funas } \mathcal{F} (\textit{gtrancl-rel } \mathcal{F} \mathcal{R})$
 $\subseteq \textit{gtrancl-rel } \mathcal{F} \mathcal{R}$
 ⟨proof⟩

declare *subsetI* [rule del]

lemma *gtrancl-rel*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ *compatible-p* Q P
 and $\bigwedge C. P C \implies \textit{funas-gmctxt } C \subseteq \mathcal{F}$
 and $\bigwedge C D. P C \implies P D \implies (C, D) \in \textit{comp-gmctxt} \implies P (C \sqcap D)$
 shows (*gctxtex-onp* Q \mathcal{R})⁺ $\subseteq \textit{gmctxtex-onp } P (\textit{gtrancl-rel } \mathcal{F} \mathcal{R})$
 ⟨proof⟩

lemma *gtrancl-rel-subseteq-trancl-gctxtcl-funas*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
 shows *gtrancl-rel* \mathcal{F} $\mathcal{R} \subseteq (\textit{gctxtcl-funas } \mathcal{F} \mathcal{R})^+$
 ⟨proof⟩

lemma *gmctxtex-onp-gtrancl-rel*:
 assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ and $\bigwedge C D. Q C \implies \textit{funas-gctxt } D \subseteq \mathcal{F} \implies Q$
 ($C \circ_{Gc} D$)
 and $\bigwedge C. P C \implies 0 < \textit{num-gholes } C \wedge \textit{funas-gmctxt } C \subseteq \mathcal{F}$
 and $\bigwedge C. P C \implies \textit{gmctxt-p-inv } C \mathcal{F} Q$
 shows *gmctxtex-onp* $P (\textit{gtrancl-rel } \mathcal{F} \mathcal{R}) \subseteq (\textit{gctxtex-onp } Q \mathcal{R})^+$
 ⟨proof⟩

lemma *gmctxtcl-funas-strict-grancl-rel:*

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$

shows $\text{gmctxtcl-funas-strict } \mathcal{F} (\text{grancl-rel } \mathcal{F} \mathcal{R}) = (\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+ (\text{is } ?Ls = ?Rs)$

<proof>

lemma *gmctxtex-funas-nroot-strict-grancl-rel:*

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$

shows $\text{gmctxtex-funas-nroot-strict } \mathcal{F} (\text{grancl-rel } \mathcal{F} \mathcal{R}) = (\text{gctxtex-funas-nroot } \mathcal{F} \mathcal{R})^+$

(is ?Ls = ?Rs)

<proof>

lemma *lift-root-step-sig':*

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{G} \times \mathcal{T}_G \mathcal{H} \mathcal{F} \subseteq \mathcal{G} \mathcal{F} \subseteq \mathcal{H}$

shows $\text{lift-root-step } \mathcal{F} W X \mathcal{R} \subseteq \mathcal{T}_G \mathcal{G} \times \mathcal{T}_G \mathcal{H}$

<proof>

lemmas $\text{lift-root-step-sig} = \text{lift-root-step-sig}'[\text{OF} - \text{subset-refl subset-refl}]$

lemma *lift-root-step-incr:*

$\mathcal{R} \subseteq \mathcal{S} \implies \text{lift-root-step } \mathcal{F} W X \mathcal{R} \subseteq \text{lift-root-step } \mathcal{F} W X \mathcal{S}$

<proof>

lemma *Restr-id-mono:*

$\mathcal{F} \subseteq \mathcal{G} \implies \text{Restr Id } (\mathcal{T}_G \mathcal{F}) \subseteq \text{Restr Id } (\mathcal{T}_G \mathcal{G})$

<proof>

lemma *lift-root-step-mono:*

$\mathcal{F} \subseteq \mathcal{G} \implies \text{lift-root-step } \mathcal{F} W X \mathcal{R} \subseteq \text{lift-root-step } \mathcal{G} W X \mathcal{R}$

<proof>

lemma *grstep-lift-root-step:*

$\text{lift-root-step } \mathcal{F} PAny ESingl (\text{Restr } (\text{grstep } \mathcal{R}) (\mathcal{T}_G \mathcal{F})) = \text{Restr } (\text{grstep } \mathcal{R}) (\mathcal{T}_G \mathcal{F})$

<proof>

lemma *prod-swap-id-on-refl [simp]:*

$\text{Restr Id } (\mathcal{T}_G \mathcal{F}) \subseteq \text{prod.swap } ' (\mathcal{R} \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F}))$

<proof>

lemma *swap-lift-root-step:*

$\text{lift-root-step } \mathcal{F} W X (\text{prod.swap } ' \mathcal{R}) = \text{prod.swap } ' \text{lift-root-step } \mathcal{F} W X \mathcal{R}$

<proof>

lemma *converse-lift-root-step:*

$(\text{lift-root-step } \mathcal{F} W X \mathcal{R})^{-1} = \text{lift-root-step } \mathcal{F} W X (\mathcal{R}^{-1})$

<proof>

lemma *lift-root-step-sig-transfer*:

assumes $p \in \text{lift-root-step } \mathcal{F} \ W \ X \ R \ \text{snd} \ ' \ R \subseteq \mathcal{T}_G \ \mathcal{F} \ \text{funas-gterm} \ (\text{fst } p) \subseteq \mathcal{G}$
shows $p \in \text{lift-root-step } \mathcal{G} \ W \ X \ R \ \langle \text{proof} \rangle$

lemma *lift-root-step-sig-transfer2*:

assumes $p \in \text{lift-root-step } \mathcal{F} \ W \ X \ R \ \text{snd} \ ' \ R \subseteq \mathcal{T}_G \ \mathcal{G} \ \text{funas-gterm} \ (\text{fst } p) \subseteq \mathcal{G}$
shows $p \in \text{lift-root-step } \mathcal{G} \ W \ X \ R$
<proof>

lemma *lift-root-steps-sig-transfer*:

assumes $(s, t) \in (\text{lift-root-step } \mathcal{F} \ W \ X \ R)^+ \ \text{snd} \ ' \ R \subseteq \mathcal{T}_G \ \mathcal{G} \ \text{funas-gterm} \ s \subseteq \mathcal{G}$
shows $(s, t) \in (\text{lift-root-step } \mathcal{G} \ W \ X \ R)^+$
<proof>

lemma *lift-root-stepseq-sig-transfer*:

assumes $(s, t) \in (\text{lift-root-step } \mathcal{F} \ W \ X \ R)^* \ \text{snd} \ ' \ R \subseteq \mathcal{T}_G \ \mathcal{G} \ \text{funas-gterm} \ s \subseteq \mathcal{G}$
shows $(s, t) \in (\text{lift-root-step } \mathcal{G} \ W \ X \ R)^*$
<proof>

lemmas *lift-root-step-sig-transfer' = lift-root-step-sig-transfer*[of *prod.swap* $p \ \mathcal{F} \ W \ X \ \text{prod.swap} \ ' \ R \ \mathcal{G}$ **for** $p \ \mathcal{F} \ W \ X \ \mathcal{G} \ R$,

unfolded swap-lift-root-step, *OF imageI*, *THEN imageI* [of - - *prod.swap*],
unfolded image-comp comp-def fst-swap snd-swap swap-swap image-ident]

lemmas *lift-root-steps-sig-transfer' = lift-root-steps-sig-transfer*[of $t \ s \ \mathcal{F} \ W \ X \ \text{prod.swap} \ ' \ R \ \mathcal{G}$ **for** $t \ s \ \mathcal{F} \ W \ X \ \mathcal{G} \ R$,

THEN imageI [of - - *prod.swap*], *unfolded swap-lift-root-step swap-trancl pair-in-swap-image image-comp comp-def snd-swap swap-swap swap-simp image-ident*]

lemmas *lift-root-stepseq-sig-transfer' = lift-root-stepseq-sig-transfer*[of $t \ s \ \mathcal{F} \ W \ X \ \text{prod.swap} \ ' \ R \ \mathcal{G}$ **for** $t \ s \ \mathcal{F} \ W \ X \ \mathcal{G} \ R$,

THEN imageI [of - - *prod.swap*], *unfolded swap-lift-root-step swap-rtrancl pair-in-swap-image image-comp comp-def snd-swap swap-swap swap-simp image-ident*]

lemma *lift-root-step-PRoot-ESingle* [*simp*]:

lift-root-step $\mathcal{F} \ \text{PRoot} \ \text{ESingle} \ \mathcal{R} = \mathcal{R}$
<proof>

lemma *lift-root-step-PRoot-EStrictParallel* [*simp*]:

lift-root-step $\mathcal{F} \ \text{PRoot} \ \text{EStrictParallel} \ \mathcal{R} = \mathcal{R}$
<proof>

lemma *lift-root-step-Parallel-conv*:

shows *lift-root-step* $\mathcal{F} \ W \ \text{EParallel} \ \mathcal{R} = \text{lift-root-step } \mathcal{F} \ W \ \text{EStrictParallel} \ \mathcal{R} \cup \text{Restr Id } (\mathcal{T}_G \ \mathcal{F})$

<proof>

lemma *relax-pos-lift-root-step*:

lift-root-step \mathcal{F} W X $R \subseteq$ *lift-root-step* \mathcal{F} $PAny$ X R

<proof>

lemma *relax-pos-lift-root-steps*:

$(\textit{lift-root-step } \mathcal{F} \ W \ X \ R)^+ \subseteq (\textit{lift-root-step } \mathcal{F} \ PAny \ X \ R)^+$

<proof>

lemma *relax-ext-lift-root-step*:

lift-root-step \mathcal{F} W X $R \subseteq$ *lift-root-step* \mathcal{F} W $EParallel$ R

<proof>

lemma *lift-root-step-StrictParallel-seq*:

assumes $R \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{F}$

shows *lift-root-step* \mathcal{F} $PAny$ $EStrictParallel$ $R \subseteq (\textit{lift-root-step } \mathcal{F} \ PAny \ ESingle \ R)^+$

<proof>

lemma *lift-root-step-Parallel-seq*:

assumes $R \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{F}$

shows *lift-root-step* \mathcal{F} $PAny$ $EParallel$ $R \subseteq (\textit{lift-root-step } \mathcal{F} \ PAny \ ESingle \ R)^+ \cup \textit{Restr Id } (\mathcal{T}_G \ \mathcal{F})$

<proof>

lemma *lift-root-step-Single-to-Parallel*:

shows *lift-root-step* \mathcal{F} $PAny$ $ESingle$ $R \subseteq$ *lift-root-step* \mathcal{F} $PAny$ $EParallel$ R

<proof>

lemma *trancl-partial-reflcl*:

$(X \cup \textit{Restr Id } Y)^+ = X^+ \cup \textit{Restr Id } Y$

<proof>

lemma *lift-root-step-Parallels-single*:

assumes $R \subseteq \mathcal{T}_G \ \mathcal{F} \times \mathcal{T}_G \ \mathcal{F}$

shows $(\textit{lift-root-step } \mathcal{F} \ PAny \ EParallel \ R)^+ = (\textit{lift-root-step } \mathcal{F} \ PAny \ ESingle \ R)^+ \cup \textit{Restr Id } (\mathcal{T}_G \ \mathcal{F})$

<proof>

lemma *lift-root-Any-Single-eq*:

shows *lift-root-step* \mathcal{F} $PAny$ $ESingle$ $R = R \cup$ *lift-root-step* \mathcal{F} $PNonRoot$ $ESingle$ R

<proof>

lemma *lift-root-Any-EStrict-eq* [*simp*]:

shows *lift-root-step* \mathcal{F} $PAny$ $EStrictParallel$ $R = R \cup$ *lift-root-step* \mathcal{F} $PNonRoot$ $EStrictParallel$ R

<proof>

lemma *gar-rstep-lift-root-step*:

lift-root-step \mathcal{F} *PAny EParallel* (*Restr* (*grrstep* \mathcal{R}) ($\mathcal{T}_G \mathcal{F}$)) = *Restr* (*gpar-rstep* \mathcal{R}) ($\mathcal{T}_G \mathcal{F}$)
<proof>

lemma *grrstep-lift-root-gnrrstep*:

lift-root-step \mathcal{F} *PNonRoot ESingle* (*Restr* (*grrstep* \mathcal{R}) ($\mathcal{T}_G \mathcal{F}$)) = *Restr* (*gnrrstep* \mathcal{R}) ($\mathcal{T}_G \mathcal{F}$)
<proof>

declare *subsetI* [*intro!*]

declare *lift-root-step.simps*[*simp del*]

lemma *gpar-rstepD-grstepD-rtrancl-subseteq*:

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
shows *gpar-rstepD* \mathcal{F} $\mathcal{R} \subseteq$ (*grstepD* \mathcal{F} \mathcal{R})^{*}
<proof>

end

theory *Context-RR2*

imports *Context-Extensions*

Ground-MCtxt

Regular-Tree-Relations.RRn-Automata

begin

9.8 Auxiliary lemmas

lemma *gpair-gctxt*:

assumes *gpair* $s t = u$

shows (*map-gctxt* ($\lambda f . (Some f, Some f)$) C) $\langle u \rangle_G =$ *gpair* $C\langle s \rangle_G C\langle t \rangle_G$ *<proof>*

lemma *gpair-gctxt'*:

assumes *gpair* $C\langle v \rangle_G C\langle w \rangle_G = u$

shows $u =$ (*map-gctxt* ($\lambda f . (Some f, Some f)$) C) \langle *gpair* $v w \rangle_G$
<proof>

lemma *gpair-gmctxt*:

assumes $\forall i < \text{length } us. \text{gpair } (ss ! i) (ts ! i) = us ! i$

and $\text{num-gholes } C = \text{length } ss \text{ length } ss = \text{length } ts \text{ length } ts = \text{length } us$

shows *fill-gholes* (*map-gmctxt* ($\lambda f . (Some f, Some f)$) C) $us =$ *gpair* (*fill-gholes* $C ss$) (*fill-gholes* $C ts$)
<proof>

lemma *gctxtex-onp-gpair-set-conv*:

$\{ \text{gpair } t u \mid t u. (t, u) \in \text{gctxtex-onp } P \mathcal{R} \} =$

$\{(map-gctxt (\lambda f .(Some f, Some f)) C)\langle s \rangle_G \mid C s. P C \wedge s \in \{gpair\ t\ u \mid t\ u. (t, u) \in \mathcal{R}\}\}$ (is ?Ls = ?Rs)
 <proof>

lemma *gmctxtex-onp-gpair-set-conv*:

$\{gpair\ t\ u \mid t\ u. (t, u) \in gmctxtex-onp\ P\ \mathcal{R}\} =$
 $\{fill-gholes\ (map-gmctxt\ (\lambda f .(Some\ f,\ Some\ f))\ C)\ ss \mid C\ ss.\ num-gholes\ C =$
 $length\ ss \wedge P\ C \wedge$
 $(\forall\ i < length\ ss.\ ss\ !\ i \in \{gpair\ t\ u \mid t\ u. (t, u) \in \mathcal{R}\})\}$ (is ?Ls = ?Rs)
 <proof>

abbreviation *lift-sig-RR2* $\equiv \lambda (f, n). ((Some\ f,\ Some\ f), n)$

abbreviation *lift-fun* $\equiv (\lambda f. (Some\ f,\ Some\ f))$

abbreviation *unlift-fst* $\equiv (\lambda f. the\ (fst\ f))$

abbreviation *unlift-snd* $\equiv (\lambda f. the\ (snd\ f))$

lemma *RR2-gterm-unlift-lift-id* [*simp*]:

$funas-gterm\ t \subseteq lift-sig-RR2\ 'F \implies map-gterm\ (lift-fun \circ unlift-fst)\ t = t$
 <proof>

lemma *RR2-gterm-unlift-funas* [*simp*]:

$funas-gterm\ t \subseteq lift-sig-RR2\ 'F \implies funas-gterm\ (map-gterm\ unlift-fst\ t) \subseteq \mathcal{F}$
 <proof>

lemma *gterm-funas-lift-RR2-funas* [*simp*]:

$funas-gterm\ t \subseteq \mathcal{F} \implies funas-gterm\ (map-gterm\ lift-fun\ t) \subseteq lift-sig-RR2\ 'F$
 <proof>

lemma *RR2-gctxt-unlift-lift-id* [*simp, intro*]:

$funas-gctxt\ C \subseteq lift-sig-RR2\ 'F \implies (map-gctxt\ (lift-fun \circ unlift-fst)\ C) = C$
 <proof>

lemma *RR2-gctxt-unlift-funas* [*simp, intro*]:

$funas-gctxt\ C \subseteq lift-sig-RR2\ 'F \implies funas-gctxt\ (map-gctxt\ unlift-fst\ C) \subseteq \mathcal{F}$
 <proof>

lemma *gctxt-funas-lift-RR2-funas* [*simp, intro*]:

$funas-gctxt\ C \subseteq \mathcal{F} \implies funas-gctxt\ (map-gctxt\ lift-fun\ C) \subseteq lift-sig-RR2\ 'F$
 <proof>

lemma *RR2-gmctxt-unlift-lift-id* [*simp, intro*]:

$funas-gmctxt\ C \subseteq lift-sig-RR2\ 'F \implies (map-gmctxt\ (lift-fun \circ unlift-fst)\ C) = C$
 <proof>

lemma *RR2-gmctxt-unlift-funas* [*simp, intro*]:

$funas-gmctxt\ C \subseteq lift-sig-RR2\ 'F \implies funas-gmctxt\ (map-gmctxt\ unlift-fst\ C) \subseteq$

\mathcal{F}
 ⟨proof⟩

lemma *gmctxt-funas-lift-RR2-funas* [simp, intro]:
 $\text{funas-gmctxt } C \subseteq \mathcal{F} \implies \text{funas-gmctxt } (\text{map-gmctxt lift-fun } C) \subseteq \text{lift-sig-RR2 } \mathcal{F}$
 ⟨proof⟩

lemma *RR2-gctxt-cl-to-gctxt*:
 assumes $\bigwedge C. P C \implies \text{funas-gctxt } C \subseteq \text{lift-sig-RR2 } \mathcal{F}$
 and $\bigwedge C. P C \implies R (\text{map-gctxt unlift-fst } C)$
 and $\bigwedge C. R C \implies P (\text{map-gctxt lift-fun } C)$
 shows $\{C\langle s \rangle_G \mid C s. P C \wedge Q s\} = \{(\text{map-gctxt lift-fun } C)\langle s \rangle_G \mid C s. R C \wedge Q s\}$ (is ?Ls = ?Rs)
 ⟨proof⟩

lemma *RR2-gmctxt-cl-to-gmctxt*:
 assumes $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \text{lift-sig-RR2 } \mathcal{F}$
 and $\bigwedge C. P C \implies R (\text{map-gmctxt } (\lambda f. \text{the } (\text{fst } f)) C)$
 and $\bigwedge C. R C \implies P (\text{map-gmctxt } (\lambda f. (\text{Some } f, \text{Some } f)) C)$
 shows $\{\text{fill-gholes } C ss \mid C ss. \text{num-gholes } C = \text{length } ss \wedge P C \wedge (\forall i < \text{length } ss. Q (ss ! i))\} =$
 $\{\text{fill-gholes } (\text{map-gmctxt } (\lambda f. (\text{Some } f, \text{Some } f)) C) ss \mid C ss. \text{num-gholes } C = \text{length } ss \wedge$
 $R C \wedge (\forall i < \text{length } ss. Q (ss ! i))\}$ (is ?Ls = ?Rs)
 ⟨proof⟩

lemma *RR2-id-terms-gpair-set* [simp]:
 $\mathcal{T}_G (\text{lift-sig-RR2 } \mathcal{F}) = \{\text{gpair } t u \mid t u. (t, u) \in \text{Restr Id } (\mathcal{T}_G \mathcal{F})\}$
 ⟨proof⟩

end
theory *GTT-RRn*
 imports *Regular-Tree-Relations.GTT*
 TA-Clousure-Const
 Context-RR2
 Lift-Root-Step
begin

10 Connecting regular tree languages to set/relation specifications

abbreviation *ggtt-lang* where
 $\text{ggtt-lang } F G \equiv \text{map-both } \text{gterm-of-term } \mathcal{F} (\text{Restr } (\text{gtt-lang-terms } G) \{t. \text{funas-term } t \subseteq \text{fset } F\})$

lemma *ground-mctxt-map-vars-mctxt* [simp]:
 $\text{ground-mctxt } (\text{map-vars-mctxt } f C) = \text{ground-mctxt } C$

<proof>

lemma *root-single-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec A (lift-root-step F PRoot ESingle R)*

<proof>

lemma *root-strictparallel-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec A (lift-root-step F PRoot EStrictParallel R)*

<proof>

lemma *reflcl-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (reflcl-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PRoot EParallel R)*

<proof>

lemma *parallel-closure-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (parallel-closure-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PAny EParallel R)*

<proof>

lemma *ctxt-closure-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (ctxt-closure-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PAny ESingle R)*

<proof>

lemma *mctxt-closure-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (mctxt-closure-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PAny EStrictParallel R)*

<proof>

lemma *nhole-ctxt-closure-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (nhole-ctxt-closure-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PNonRoot ESingle R)*

<proof>

lemma *nhole-mctxt-closure-automaton:*

assumes *RR2-spec A R*

shows *RR2-spec (nhole-mctxt-closure-reg (lift-sig-RR2 |[!] F) A) (lift-root-step (fset F) PNonRoot EStrictParallel R)*

<proof>

lemma *nhole-mctxt-reflcl-automaton:*

assumes $RR2\text{-spec } A R$
shows $RR2\text{-spec } (nhole\text{-mctxt-reflcl-reg } (lift\text{-sig-}RR2 \mid \mathcal{F}) A) (lift\text{-root-step } (fset \mathcal{F}) PNonRoot EParallel R)$
 $\langle proof \rangle$

definition $GTT\text{-to-}RR2\text{-root} :: ('q, 'f) gtt \Rightarrow (-, 'f\ option \times 'f\ option) ta$ **where**
 $GTT\text{-to-}RR2\text{-root } \mathcal{G} = pair\text{-automaton } (fst \mathcal{G}) (snd \mathcal{G})$

definition $GTT\text{-to-}RR2\text{-root-reg}$ **where**
 $GTT\text{-to-}RR2\text{-root-reg } \mathcal{G} = Reg (map\text{-both } Some \mid \mathcal{F} \mid Id\text{-on } (gtt\text{-states } \mathcal{G})) (GTT\text{-to-}RR2\text{-root } \mathcal{G})$

lemma $GTT\text{-to-}RR2\text{-root}$:
 $RR2\text{-spec } (GTT\text{-to-}RR2\text{-root-reg } \mathcal{G}) (agtt\text{-lang } \mathcal{G})$
 $\langle proof \rangle$

lemma $swap\text{-}GTT\text{-to-}RR2\text{-root}$:
 $gpair\ s\ t \in \mathcal{L} (GTT\text{-to-}RR2\text{-root-reg } (prod.swap \mathcal{G})) \longleftrightarrow$
 $gpair\ t\ s \in \mathcal{L} (GTT\text{-to-}RR2\text{-root-reg } \mathcal{G})$
 $\langle proof \rangle$

lemma $funas\text{-mctxt-map-vars-mctxt}$ [simp]:
 $funas\text{-mctxt } (map\text{-vars-mctxt } f\ C) = funas\text{-mctxt } C$
 $\langle proof \rangle$

definition $GTT\text{-to-}RR2\text{-reg} :: ('f \times nat) fset \Rightarrow ('q, 'f) gtt \Rightarrow (-, 'f\ option \times 'f\ option) reg$ **where**
 $GTT\text{-to-}RR2\text{-reg } F\ G = parallel\text{-closure-reg } (lift\text{-sig-}RR2 \mid \mathcal{F}) (GTT\text{-to-}RR2\text{-root-reg } G)$

lemma $agtt\text{-lang-syms}$:
 $gtt\text{-syms } \mathcal{G} \mid \subseteq \mathcal{F} \Longrightarrow agtt\text{-lang } \mathcal{G} \subseteq \{t. funas\text{-gterm } t \subseteq fset \mathcal{F}\} \times \{t. funas\text{-gterm } t \subseteq fset \mathcal{F}\}$
 $\langle proof \rangle$

lemma $gtt\text{-lang-from-agtt-lang}$:
 $gtt\text{-lang } \mathcal{G} = lift\text{-root-step } UNIV PAny EParallel (agtt\text{-lang } \mathcal{G})$
 $\langle proof \rangle$

lemma $GTT\text{-to-}RR2$:
assumes $gtt\text{-syms } \mathcal{G} \mid \subseteq \mathcal{F}$
shows $RR2\text{-spec } (GTT\text{-to-}RR2\text{-reg } \mathcal{F} \mathcal{G}) (ggtt\text{-lang } \mathcal{F} \mathcal{G})$
 $\langle proof \rangle$

end
theory $FOL\text{-Extra}$
imports

Type-Instances-Impl
FOL-Fitting.FOL-Fitting
HOL-Library.FSet
begin

11 Additional support for FOL-Fitting

11.1 Iff

definition *Iff where*

$Iff\ p\ q = And\ (Impl\ p\ q)\ (Impl\ q\ p)$

lemma *eval-Iff:*

$eval\ e\ f\ g\ (Iff\ p\ q) \longleftrightarrow (eval\ e\ f\ g\ p \longleftrightarrow eval\ e\ f\ g\ q)$
<proof>

11.2 Replacement of subformulas

datatype $('a, 'b)\ ctxt$

$= Hole$

| $And1\ ('a, 'b)\ ctxt\ ('a, 'b)\ form$

| $And2\ ('a, 'b)\ form\ ('a, 'b)\ ctxt$

| $Or1\ ('a, 'b)\ ctxt\ ('a, 'b)\ form$

| $Or2\ ('a, 'b)\ form\ ('a, 'b)\ ctxt$

| $Impl1\ ('a, 'b)\ ctxt\ ('a, 'b)\ form$

| $Impl2\ ('a, 'b)\ form\ ('a, 'b)\ ctxt$

| $Neg1\ ('a, 'b)\ ctxt$

| $Forall1\ ('a, 'b)\ ctxt$

| $Exists1\ ('a, 'b)\ ctxt$

primrec $apply-ctxt :: ('a, 'b)\ ctxt \Rightarrow ('a, 'b)\ form \Rightarrow ('a, 'b)\ form$ **where**

$apply-ctxt\ Hole\ p = p$

| $apply-ctxt\ (And1\ c\ v)\ p = And\ (apply-ctxt\ c\ p)\ v$

| $apply-ctxt\ (And2\ u\ c)\ p = And\ u\ (apply-ctxt\ c\ p)$

| $apply-ctxt\ (Or1\ c\ v)\ p = Or\ (apply-ctxt\ c\ p)\ v$

| $apply-ctxt\ (Or2\ u\ c)\ p = Or\ u\ (apply-ctxt\ c\ p)$

| $apply-ctxt\ (Impl1\ c\ v)\ p = Impl\ (apply-ctxt\ c\ p)\ v$

| $apply-ctxt\ (Impl2\ u\ c)\ p = Impl\ u\ (apply-ctxt\ c\ p)$

| $apply-ctxt\ (Neg1\ c)\ p = Neg\ (apply-ctxt\ c\ p)$

| $apply-ctxt\ (Forall1\ c)\ p = Forall\ (apply-ctxt\ c\ p)$

| $apply-ctxt\ (Exists1\ c)\ p = Exists\ (apply-ctxt\ c\ p)$

lemma *replace-subformula:*

assumes $\bigwedge e. eval\ e\ f\ g\ (Iff\ p\ q)$

shows $eval\ e\ f\ g\ (Iff\ (apply-ctxt\ c\ p)\ (apply-ctxt\ c\ q))$

<proof>

11.3 Propositional identities

lemma *prop-ids*:

$eval\ e\ f\ g\ (Iff\ (And\ p\ q)\ (And\ q\ p))$
 $eval\ e\ f\ g\ (Iff\ (Or\ p\ q)\ (Or\ q\ p))$
 $eval\ e\ f\ g\ (Iff\ (Or\ p\ (Or\ q\ r))\ (Or\ (Or\ p\ q)\ r))$
 $eval\ e\ f\ g\ (Iff\ (And\ p\ (And\ q\ r))\ (And\ (And\ p\ q)\ r))$
 $eval\ e\ f\ g\ (Iff\ (Neg\ (Or\ p\ q))\ (And\ (Neg\ p)\ (Neg\ q)))$
 $eval\ e\ f\ g\ (Iff\ (Neg\ (And\ p\ q))\ (Or\ (Neg\ p)\ (Neg\ q)))$

$\langle proof \rangle$

11.4 de Bruijn index manipulation for formulas; cf. *liftt*

primrec *liftti* :: $nat \Rightarrow 'a\ term \Rightarrow 'a\ term$ **where**

$liftti\ i\ (Var\ j) = (if\ i > j\ then\ Var\ j\ else\ Var\ (Suc\ j))$
 $| liftti\ i\ (App\ f\ ts) = App\ f\ (map\ (liftti\ i)\ ts)$

lemma *liftts-def'*:

$liftts\ ts = map\ liftt\ ts$
 $\langle proof \rangle$

liftt is a special case of *liftti*

lemma *lifttti-0*:

$liftti\ 0\ t = liftt\ t$
 $\langle proof \rangle$

primrec *lifti* :: $nat \Rightarrow ('a, 'b)\ form \Rightarrow ('a, 'b)\ form$ **where**

$lifti\ i\ FF = FF$
 $| lifti\ i\ TT = TT$
 $| lifti\ i\ (Pred\ b\ ts) = Pred\ b\ (map\ (liftti\ i)\ ts)$
 $| lifti\ i\ (And\ p\ q) = And\ (lifti\ i\ p)\ (lifti\ i\ q)$
 $| lifti\ i\ (Or\ p\ q) = Or\ (lifti\ i\ p)\ (lifti\ i\ q)$
 $| lifti\ i\ (Impl\ p\ q) = Impl\ (lifti\ i\ p)\ (lifti\ i\ q)$
 $| lifti\ i\ (Neg\ p) = Neg\ (lifti\ i\ p)$
 $| lifti\ i\ (Forall\ p) = Forall\ (lifti\ (Suc\ i)\ p)$
 $| lifti\ i\ (Exists\ p) = Exists\ (lifti\ (Suc\ i)\ p)$

abbreviation *lift* **where**

$lift \equiv lifti\ 0$

interaction of *lifti* and *eval*

lemma *evalts-def'*:

$evalts\ e\ f\ ts = map\ (evalt\ e\ f)\ ts$
 $\langle proof \rangle$

lemma *evalt-liftti*:

$evalt\ (e\langle i:z \rangle)\ f\ (liftti\ i\ t) = evalt\ e\ f\ t$
 $\langle proof \rangle$

lemma *eval-lifti* [*simp*]:

$$\text{eval } (e\langle i:z \rangle) f g (\text{lifti } i p) = \text{eval } e f g p$$

$\langle \text{proof} \rangle$

11.5 Quantifier Identities

lemma *quant-ids*:

$$\text{eval } e f g (\text{Iff } (\text{Neg } (\text{Exists } p)) (\text{Forall } (\text{Neg } p)))$$

$$\text{eval } e f g (\text{Iff } (\text{Neg } (\text{Forall } p)) (\text{Exists } (\text{Neg } p)))$$

$$\text{eval } e f g (\text{Iff } (\text{And } p (\text{Forall } q)) (\text{Forall } (\text{And } (\text{lift } p) q)))$$

$$\text{eval } e f g (\text{Iff } (\text{And } p (\text{Exists } q)) (\text{Exists } (\text{And } (\text{lift } p) q)))$$

$$\text{eval } e f g (\text{Iff } (\text{Or } p (\text{Forall } q)) (\text{Forall } (\text{Or } (\text{lift } p) q)))$$

$$\text{eval } e f g (\text{Iff } (\text{Or } p (\text{Exists } q)) (\text{Exists } (\text{Or } (\text{lift } p) q)))$$

$\langle \text{proof} \rangle$

11.6 Function symbols and predicates, with arities.

primrec *predas-form* :: ('a, 'b) form \Rightarrow ('b \times nat) set **where**

$$\text{predas-form } FF = \{\}$$

$$| \text{predas-form } TT = \{\}$$

$$| \text{predas-form } (\text{Pred } b \text{ ts}) = \{(b, \text{length } ts)\}$$

$$| \text{predas-form } (\text{And } p q) = \text{predas-form } p \cup \text{predas-form } q$$

$$| \text{predas-form } (\text{Or } p q) = \text{predas-form } p \cup \text{predas-form } q$$

$$| \text{predas-form } (\text{Impl } p q) = \text{predas-form } p \cup \text{predas-form } q$$

$$| \text{predas-form } (\text{Neg } p) = \text{predas-form } p$$

$$| \text{predas-form } (\text{Forall } p) = \text{predas-form } p$$

$$| \text{predas-form } (\text{Exists } p) = \text{predas-form } p$$

primrec *funas-term* :: 'a term \Rightarrow ('a \times nat) set **where**

$$\text{funas-term } (\text{Var } x) = \{\}$$

$$| \text{funas-term } (\text{App } f \text{ ts}) = \{(f, \text{length } ts)\} \cup \bigcup (\text{set } (\text{map } \text{funas-term } \text{ts}))$$

primrec *terms-form* :: ('a, 'b) form \Rightarrow 'a term set **where**

$$\text{terms-form } FF = \{\}$$

$$| \text{terms-form } TT = \{\}$$

$$| \text{terms-form } (\text{Pred } b \text{ ts}) = \text{set } \text{ts}$$

$$| \text{terms-form } (\text{And } p q) = \text{terms-form } p \cup \text{terms-form } q$$

$$| \text{terms-form } (\text{Or } p q) = \text{terms-form } p \cup \text{terms-form } q$$

$$| \text{terms-form } (\text{Impl } p q) = \text{terms-form } p \cup \text{terms-form } q$$

$$| \text{terms-form } (\text{Neg } p) = \text{terms-form } p$$

$$| \text{terms-form } (\text{Forall } p) = \text{terms-form } p$$

$$| \text{terms-form } (\text{Exists } p) = \text{terms-form } p$$

definition *funas-form* :: ('a, 'b) form \Rightarrow ('a \times nat) set **where**

$$\text{funas-form } f \equiv \bigcup (\text{funas-term } \text{' terms-form } f)$$

11.7 Negation Normal Form

inductive *is-nnf* :: ('a, 'b) form \Rightarrow bool **where**

```

  is-nnf TT
| is-nnf FF
| is-nnf (Pred p ts)
| is-nnf (Neg (Pred p ts))
| is-nnf p  $\implies$  is-nnf q  $\implies$  is-nnf (And p q)
| is-nnf p  $\implies$  is-nnf q  $\implies$  is-nnf (Or p q)
| is-nnf p  $\implies$  is-nnf (Forall p)
| is-nnf p  $\implies$  is-nnf (Exists p)

```

primrec *nnf'* :: bool \Rightarrow ('a, 'b) form \Rightarrow ('a, 'b) form **where**

```

  nnf' b TT          = (if b then TT else FF)
| nnf' b FF          = (if b then FF else TT)
| nnf' b (Pred p ts) = (if b then id else Neg) (Pred p ts)
| nnf' b (And p q)   = (if b then And else Or) (nnf' b p) (nnf' b q)
| nnf' b (Or p q)    = (if b then Or else And) (nnf' b p) (nnf' b q)
| nnf' b (Impl p q)  = (if b then Or else And) (nnf' ( $\neg$  b) p) (nnf' b q)
| nnf' b (Neg p)     = nnf' ( $\neg$  b) p
| nnf' b (Forall p)  = (if b then Forall else Exists) (nnf' b p)
| nnf' b (Exists p)  = (if b then Exists else Forall) (nnf' b p)

```

lemma *eval-nnf'*:

```

  eval e f g (nnf' b p)  $\longleftrightarrow$  (eval e f g p  $\longleftrightarrow$  b)
  <proof>

```

lemma *is-nnf-nnf'*:

```

  is-nnf (nnf' b p)
  <proof>

```

abbreviation *nnf where*

```

  nnf  $\equiv$  nnf' True

```

lemmas *nnf-simpls* [*simp*] = *eval-nnf'*[**where** b = True, *unfolded eq-True*] *is-nnf-nnf'*[**where** b = True]

11.8 Reasoning modulo ACI01

datatype ('a, 'b) form-aci

```

  = TT-aci
  | FF-aci
  | Pred-aci bool 'b 'a term list
  | And-aci ('a, 'b) form-aci fset
  | Or-aci ('a, 'b) form-aci fset
  | Forall-aci ('a, 'b) form-aci
  | Exists-aci ('a, 'b) form-aci

```

evaluation, see *eval*

primrec *eval-aci* :: \langle (nat \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'c list \Rightarrow 'c) \Rightarrow

('b \Rightarrow 'c list \Rightarrow bool) \Rightarrow ('a, 'b) form-aci \Rightarrow bool **where**

```

  eval-aci e f g FF-aci       $\longleftrightarrow$  False
| eval-aci e f g TT-aci      $\longleftrightarrow$  True

```

```

| eval-aci e f g (Pred-aci b a ts)  $\longleftrightarrow$  (g a (evalts e f ts)  $\longleftrightarrow$  b)
| eval-aci e f g (And-aci ps)  $\longleftrightarrow$  fBall (fimage (eval-aci e f g) ps) id
| eval-aci e f g (Or-aci ps)  $\longleftrightarrow$  fBex (fimage (eval-aci e f g) ps) id
| eval-aci e f g (Forall-aci p)  $\longleftrightarrow$  ( $\forall z$ . eval-aci (e⟨0:z⟩) f g p)
| eval-aci e f g (Exists-aci p)  $\longleftrightarrow$  ( $\exists z$ . eval-aci (e⟨0:z⟩) f g p)

```

smart constructor: conjunction

fun and-aci **where**

```

and-aci FF-aci - = FF-aci
| and-aci - FF-aci = FF-aci
| and-aci TT-aci q = q
| and-aci p TT-aci = p
| and-aci (And-aci ps) (And-aci qs) = And-aci (ps | $\cup$ | qs)
| and-aci (And-aci ps) q = And-aci (ps | $\cup$ | {|q|})
| and-aci p (And-aci qs) = And-aci ({|p|} | $\cup$ | qs)
| and-aci p q = (if p = q then p else And-aci {|p,q|})

```

lemma eval-and-aci [simp]:

```

eval-aci e f g (and-aci p q)  $\longleftrightarrow$  eval-aci e f g p  $\wedge$  eval-aci e f g q
⟨proof⟩

```

declare and-aci.simps [simp del]

smart constructor: disjunction

fun or-aci **where**

```

or-aci TT-aci - = TT-aci
| or-aci - TT-aci = TT-aci
| or-aci FF-aci q = q
| or-aci p FF-aci = p
| or-aci (Or-aci ps) (Or-aci qs) = Or-aci (ps | $\cup$ | qs)
| or-aci (Or-aci ps) q = Or-aci (ps | $\cup$ | {|q|})
| or-aci p (Or-aci qs) = Or-aci ({|p|} | $\cup$ | qs)
| or-aci p q = (if p = q then p else Or-aci {|p,q|})

```

lemma eval-or-aci [simp]:

```

eval-aci e f g (or-aci p q)  $\longleftrightarrow$  eval-aci e f g p  $\vee$  eval-aci e f g q
⟨proof⟩

```

declare or-aci.simps [simp del]

convert negation normal form to ACIU01 normal form

fun nnf-to-aci :: ('a, 'b) form \Rightarrow ('a, 'b) form-aci **where**

```

nnf-to-aci FF = FF-aci
| nnf-to-aci TT = TT-aci
| nnf-to-aci (Pred b ts) = Pred-aci True b ts
| nnf-to-aci (Neg (Pred b ts)) = Pred-aci False b ts
| nnf-to-aci (And p q) = and-aci (nnf-to-aci p) (nnf-to-aci q)
| nnf-to-aci (Or p q) = or-aci (nnf-to-aci p) (nnf-to-aci q)
| nnf-to-aci (Forall p) = Forall-aci (nnf-to-aci p)

```

```

| nnf-to-aci (Exists p)      = Exists-aci (nnf-to-aci p)
| nnf-to-aci -                = undefined

```

lemma *eval-nnf-to-aci*:

```

is-nnf p  $\implies$  eval-aci e f g (nnf-to-aci p)  $\longleftrightarrow$  eval e f g p
<proof>

```

11.9 A (mostly) Propositional Equivalence Check

We reason modulo $\forall = \neg\exists\neg$, de Morgan, double negation, and ACUI01 of \vee and \wedge , by converting to negation normal form, and then collapsing conjunctions and disjunctions taking units, absorption, commutativity, associativity, and idempotence into account. We only need soundness for a certifier.

lemma *check-equivalence-by-nnf-aci*:

```

nnf-to-aci (nnf p) = nnf-to-aci (nnf q)  $\implies$  eval e f g p  $\longleftrightarrow$  eval e f g q
<proof>

```

11.10 Reasoning modulo ACI01

datatype ('a, 'b) *form-list-aci*

```

= TT-aci
| FF-aci
| Pred-aci bool 'b 'a term list
| And-aci ('a, 'b) form-list-aci list
| Or-aci ('a, 'b) form-list-aci list
| Forall-aci ('a, 'b) form-list-aci
| Exists-aci ('a, 'b) form-list-aci

```

evaluation, see *eval*

fun *eval-list-aci* :: $\langle \text{nat} \Rightarrow 'c \rangle \Rightarrow ('a \Rightarrow 'c \text{ list} \Rightarrow 'c) \Rightarrow$

$('b \Rightarrow 'c \text{ list} \Rightarrow \text{bool}) \Rightarrow ('a, 'b) \text{ form-list-aci} \Rightarrow \text{bool}$ **where**

```

eval-list-aci e f g FF-aci       $\longleftrightarrow$  False
| eval-list-aci e f g TT-aci     $\longleftrightarrow$  True
| eval-list-aci e f g (Pred-aci b a ts)  $\longleftrightarrow$  (g a (evalts e f ts)  $\longleftrightarrow$  b)
| eval-list-aci e f g (And-aci ps)   $\longleftrightarrow$  list-all ( $\lambda$  fm. eval-list-aci e f g fm) ps
| eval-list-aci e f g (Or-aci ps)    $\longleftrightarrow$  list-ex ( $\lambda$  fm. eval-list-aci e f g fm) ps
| eval-list-aci e f g (Forall-aci p)  $\longleftrightarrow$  ( $\forall z$ . eval-list-aci (e(0:z)) f g p)
| eval-list-aci e f g (Exists-aci p)  $\longleftrightarrow$  ( $\exists z$ . eval-list-aci (e(0:z)) f g p)

```

smart constructor: conjunction

fun *and-list-aci* **where**

```

and-list-aci FF-aci -          = FF-aci
| and-list-aci - FF-aci       = FF-aci
| and-list-aci TT-aci q       = q
| and-list-aci p TT-aci       = p
| and-list-aci (And-aci ps) (And-aci qs) = And-aci (remdups (ps @ qs))
| and-list-aci (And-aci ps) q      = And-aci (List.insert q ps)
| and-list-aci p (And-aci qs)     = And-aci (List.insert p qs)
| and-list-aci p q                 = (if p = q then p else And-aci [p,q])

```


lemma *eval-and-list-aci* [*simp*]:
 $eval-list-aci\ e\ f\ g\ (and-list-aci\ p\ q) \longleftrightarrow eval-list-aci\ e\ f\ g\ p \wedge eval-list-aci\ e\ f\ g\ q$
 ⟨*proof*⟩

declare *and-list-aci.simps* [*simp del*]

smart constructor: disjunction

fun *or-list-aci* **where**

or-list-aci *TT-aci* - = *TT-aci*
 | *or-list-aci* - *TT-aci* = *TT-aci*
 | *or-list-aci* *FF-aci* *q* = *q*
 | *or-list-aci* *p* *FF-aci* = *p*
 | *or-list-aci* (*Or-aci* *ps*) (*Or-aci* *qs*) = *Or-aci* (*remdups* (*ps* @ *qs*))
 | *or-list-aci* (*Or-aci* *ps*) *q* = *Or-aci* (*List.insert* *q* *ps*)
 | *or-list-aci* *p* (*Or-aci* *qs*) = *Or-aci* (*List.insert* *p* *qs*)
 | *or-list-aci* *p* *q* = (*if* *p* = *q* *then* *p* *else* *Or-aci* [*p*,*q*])

lemma *eval-or-list-aci* [*simp*]:

$eval-list-aci\ e\ f\ g\ (or-list-aci\ p\ q) \longleftrightarrow eval-list-aci\ e\ f\ g\ p \vee eval-list-aci\ e\ f\ g\ q$
 ⟨*proof*⟩

declare *or-list-aci.simps* [*simp del*]

convert negation normal form to ACIU01 normal form

fun *nnf-to-list-aci* :: ('a, 'b) *form* \Rightarrow ('a, 'b) *form-list-aci* **where**

nnf-to-list-aci *FF* = *FF-aci*
 | *nnf-to-list-aci* *TT* = *TT-aci*
 | *nnf-to-list-aci* (*Pred* *b* *ts*) = *Pred-aci* *True* *b* *ts*
 | *nnf-to-list-aci* (*Neg* (*Pred* *b* *ts*)) = *Pred-aci* *False* *b* *ts*
 | *nnf-to-list-aci* (*And* *p* *q*) = *and-list-aci* (*nnf-to-list-aci* *p*) (*nnf-to-list-aci* *q*)
 | *nnf-to-list-aci* (*Or* *p* *q*) = *or-list-aci* (*nnf-to-list-aci* *p*) (*nnf-to-list-aci* *q*)
 | *nnf-to-list-aci* (*Forall* *p*) = *Forall-aci* (*nnf-to-list-aci* *p*)
 | *nnf-to-list-aci* (*Exists* *p*) = *Exists-aci* (*nnf-to-list-aci* *p*)
 | *nnf-to-list-aci* - = *undefined*

lemma *eval-nnf-to-list-aci*:

$is-nnf\ p \Longrightarrow eval-list-aci\ e\ f\ g\ (nnf-to-list-aci\ p) \longleftrightarrow eval\ e\ f\ g\ p$
 ⟨*proof*⟩

11.11 A (mostly) Propositional Equivalence Check

We reason modulo $\forall = \neg\exists\neg$, de Morgan, double negation, and ACUI01 of \vee and \wedge , by converting to negation normal form, and then collapsing conjunctions and disjunctions taking units, absorption, commutativity, associativity, and idempotence into account. We only need soundness for a certifier.

derive *linorder term*

derive *compare term*

derive *linorder form-list-aci*
derive *compare form-list-aci*

fun *ord-form-list-aci* **where**
 ord-form-list-aci TT-aci = *TT-aci*
 | *ord-form-list-aci FF-aci* = *FF-aci*
 | *ord-form-list-aci (Pred-aci bool b ts)* = *Pred-aci bool b ts*
 | *ord-form-list-aci (And-aci fm)* = (*And-aci (sort (map ord-form-list-aci fm))*)
 | *ord-form-list-aci (Or-aci fm)* = (*Or-aci (sort (map ord-form-list-aci fm))*)
 | *ord-form-list-aci (Forall-aci fm)* = (*Forall-aci (ord-form-list-aci fm)*)
 | *ord-form-list-aci (Exists-aci fm)* = *Exists-aci (ord-form-list-aci fm)*

lemma *and-list-aci-simps*:
and-list-aci TT-aci q = *q*
and-list-aci q FF-aci = *FF-aci*
 ⟨*proof*⟩

lemma *ord-form-list-idemp*:
ord-form-list-aci (ord-form-list-aci q) = *ord-form-list-aci q*
 ⟨*proof*⟩

lemma *eval-lsit-aci-ord-form-list-aci*:
eval-list-aci e f g (ord-form-list-aci p) \longleftrightarrow *eval-list-aci e f g p*
 ⟨*proof*⟩

lemma *check-equivalence-by-nnf-sortedlist-aci*:
ord-form-list-aci (nnf-to-list-aci (nnf p)) = *ord-form-list-aci (nnf-to-list-aci (nnf q))* \implies *eval e f g p* \longleftrightarrow *eval e f g q*
 ⟨*proof*⟩

hide-type (open) *term*
hide-const (open) *Var*
hide-type (open) *ctxt*

end
theory *FOR-Semantics*
 imports *FOR-Certificate*
 Lift-Root-Step
 FOL-Fitting.FOL-Fitting
begin

12 Semantics of Relations

definition *is-to-trs* :: (*'f, 'v*) *trs list* \Rightarrow *ftrs list* \Rightarrow (*'f, 'v*) *trs* **where**
is-to-trs Rs is = \bigcup (*set (map (case-ftrs (!) Rs) ((\cdot) prod.swap \circ (!) Rs)) is*)

primrec *eval-gtt-rel* :: (*'f \times nat*) *set* \Rightarrow (*'f, 'v*) *trs list* \Rightarrow *ftrs gtt-rel* \Rightarrow *'f gterm*
rel **where**
eval-gtt-rel \mathcal{F} Rs (ARoot is) = *Restr (grrstep (is-to-trs Rs is)) ($\mathcal{T}_G \mathcal{F}$)*

$| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (GInv \ g) = \text{prod.swap } \text{' } (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g)$
 $| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (AUnion \ g1 \ g2) = (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g1) \cup (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g2)$
 $| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (ATrancl \ g) = (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g)^+$
 $| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (AComp \ g1 \ g2) = (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g1) \ O \ (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g2)$
 $| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (GTrancl \ g) = \text{gtrancl-rel } \mathcal{F} \ (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g)$
 $| \text{eval-gtt-rel } \mathcal{F} \text{ Rs } (GComp \ g1 \ g2) = \text{gcomp-rel } \mathcal{F} \ (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g1) \ (\text{eval-gtt-rel } \mathcal{F} \text{ Rs } \ g2)$

primrec $\text{eval-rr1-rel} :: ('f \times \text{nat}) \text{ set} \Rightarrow ('f, 'v) \text{ trs list} \Rightarrow \text{ftrs rr1-rel} \Rightarrow 'f \text{ gterm set}$

and $\text{eval-rr2-rel} :: ('f \times \text{nat}) \text{ set} \Rightarrow ('f, 'v) \text{ trs list} \Rightarrow \text{ftrs rr2-rel} \Rightarrow 'f \text{ gterm rel}$
where

$\text{eval-rr1-rel } \mathcal{F} \text{ Rs } R1Terms = (\mathcal{T}_G \ \mathcal{F})$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Union \ R \ S) = (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ R) \cup (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Inter \ R \ S) = (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ R) \cap (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Diff \ R \ S) = (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ R) - (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Proj \ n \ R) = (\text{case } n \ \text{of } 0 \Rightarrow \text{fst } \text{' } (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R)$
 $\quad \quad \quad | - \Rightarrow \text{snd } \text{' } (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R))$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1NF \ is) = NF \ (\text{Restr } (\text{grstep } (\text{is-to-trs } \ \text{Rs } \ \text{is})) \ (\mathcal{T}_G \ \mathcal{F})) \cap$
 $\quad (\mathcal{T}_G \ \mathcal{F})$
 $| \text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Inf \ R) = \{s. \text{infinite } (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R \ \text{" } \{s\})\}$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2GTT-Rel \ A \ W \ X) = \text{lift-root-step } \mathcal{F} \ W \ X \ (\text{eval-gtt-rel } \mathcal{F} \ \text{Rs } \ A)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Inv \ R) = \text{prod.swap } \text{' } (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Union \ R \ S) = (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R) \cup (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Inter \ R \ S) = (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R) \cap (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Diff \ R \ S) = (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R) - (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Comp \ R \ S) = (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ R) \ O \ (\text{eval-rr2-rel } \mathcal{F} \text{ Rs } \ S)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Diag \ R) = \text{Id-on } (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ R)$
 $| \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Prod \ R \ S) = (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ R) \times (\text{eval-rr1-rel } \mathcal{F} \text{ Rs } \ S)$

12.1 Semantics of Formulas

fun $\text{eval-formula} :: ('f \times \text{nat}) \text{ set} \Rightarrow ('f, 'v) \text{ trs list} \Rightarrow (\text{nat} \Rightarrow 'f \text{ gterm}) \Rightarrow$
 $\text{ftrs formula} \Rightarrow \text{bool}$ **where**

$\text{eval-formula } \mathcal{F} \text{ Rs } \alpha \ (FRR1 \ r1 \ x) \longleftrightarrow \alpha \ x \in \text{eval-rr1-rel } \mathcal{F} \ \text{Rs } \ r1$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FRR2 \ r2 \ x \ y) \longleftrightarrow (\alpha \ x, \alpha \ y) \in \text{eval-rr2-rel } \mathcal{F} \ \text{Rs } \ r2$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FAnd \ fs) \longleftrightarrow (\forall f \in \text{set } fs. \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ f)$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FOr \ fs) \longleftrightarrow (\exists f \in \text{set } fs. \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ f)$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FNot \ f) \longleftrightarrow \neg \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ f$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FExists \ f) \longleftrightarrow (\exists z \in \mathcal{T}_G \ \mathcal{F}. \text{eval-formula } \mathcal{F} \ \text{Rs } \ (\alpha \langle 0 : z \rangle))$
 $f)$
 $| \text{eval-formula } \mathcal{F} \ \text{Rs } \ \alpha \ (FForall \ f) \longleftrightarrow (\forall z \in \mathcal{T}_G \ \mathcal{F}. \text{eval-formula } \mathcal{F} \ \text{Rs } \ (\alpha \langle 0 : z \rangle))$
 $f)$

```

fun formula-arity :: 'trs formula  $\Rightarrow$  nat where
  formula-arity (FRR1 r1 x) = Suc x
| formula-arity (FRR2 r2 x y) = max (Suc x) (Suc y)
| formula-arity (FAnd fs) = max-list (map formula-arity fs)
| formula-arity (FOr fs) = max-list (map formula-arity fs)
| formula-arity (FNot f) = formula-arity f
| formula-arity (FExists f) = formula-arity f - 1
| formula-arity (FForall f) = formula-arity f - 1

```

lemma R1NF-reps:

```

assumes funas-trs  $R \subseteq \mathcal{F} \forall t. (\text{term-of-gterm } s, \text{term-of-gterm } t) \in \text{rstep } R \longrightarrow$ 
 $\neg \text{funas-gterm } t \subseteq \mathcal{F}$ 
and funas-gterm  $s \subseteq \mathcal{F} (l, r) \in R \text{ term-of-gterm } s = C(l \cdot (\sigma :: 'b \Rightarrow ('a, 'b)$ 
Term.term))
shows False
<proof>

```

The central property we are interested in is satisfiability

definition formula-satisfiable **where**

```

formula-satisfiable  $\mathcal{F} R s f \longleftrightarrow (\exists \alpha. \text{range } \alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge \text{eval-formula } \mathcal{F} R s \alpha f)$ 

```

12.2 Validation

12.3 Defining properties of *gcomp-rel* and *gtrancl-rel*

lemma *gcomp-rel-sig*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$  and  $S \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{gcomp-rel } \mathcal{F} R S \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
<proof>

```

lemma *gtrancl-rel-sig*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{gtrancl-rel } \mathcal{F} R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
<proof>

```

lemma *gtrancl-rel*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{lift-root-step } \mathcal{F} \text{ PAny EStrictParallel } (\text{gtrancl-rel } \mathcal{F} R) = (\text{lift-root-step } \mathcal{F}$ 
PAny ESingle R)+
<proof>

```

lemma *gtrancl-rel'*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{lift-root-step } \mathcal{F} \text{ PAny EParallel } (\text{gtrancl-rel } \mathcal{F} R) = \text{Restr } ((\text{lift-root-step}$ 
 $\mathcal{F} \text{ PAny ESingle } R)^*) (\mathcal{T}_G \mathcal{F})$ 
<proof>

```

GTT relation semantics respects the signature

lemma *eval-gtt-rel-sig*:

$$\text{eval-gtt-rel } \mathcal{F} \text{ Rs } g \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$$

<proof>

RR1 and RR2 relation semantics respect the signature

lemma *eval-rr12-rel-sig*:

$$\text{eval-rr1-rel } \mathcal{F} \text{ Rs } r1 \subseteq \mathcal{T}_G \mathcal{F}$$

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } r2 \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$$

<proof>

12.4 Correctness of derived constructions

lemma *R1Fin*:

$$\text{eval-rr1-rel } \mathcal{F} \text{ Rs } (R1Fin \ r) = \{t \in \mathcal{T}_G \mathcal{F}. \text{finite } \{s. (t, s) \in \text{eval-rr2-rel } \mathcal{F} \text{ Rs } r\}\}$$

<proof>

lemma *R2Eq*:

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } R2Eq = \text{Id-on } (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2Reflc*:

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Reflc \ r) = \text{eval-rr2-rel } \mathcal{F} \text{ Rs } r \cup \text{Id-on } (\mathcal{T}_G \mathcal{F})$$

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Reflc \ r) = \text{Restr } ((\text{eval-rr2-rel } \mathcal{F} \text{ Rs } r)^=) (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2Step*:

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Step \ ts) = \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2StepEq*:

$$\text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2StepEq \ ts) = \text{Restr } ((\text{grstep } (\text{is-to-trs } \text{Rs } ts))^=) (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2Steps*:

$$\text{fixes } \mathcal{F} \text{ Rs } ts \text{ defines } R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$$

$$\text{shows } \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2Steps \ ts) = R^+$$

<proof>

lemma *R2StepsEq*:

$$\text{fixes } \mathcal{F} \text{ Rs } ts \text{ defines } R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$$

$$\text{shows } \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2StepsEq \ ts) = \text{Restr } (R^*) (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2StepsNF*:

$$\text{fixes } \mathcal{F} \text{ Rs } ts \text{ defines } R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$$

$$\text{shows } \text{eval-rr2-rel } \mathcal{F} \text{ Rs } (R2StepsNF \ ts) = \text{Restr } (R^* \cap \text{UNIV} \times \text{NF } R) (\mathcal{T}_G \mathcal{F})$$

<proof>

lemma *R2ParStep*:

$eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2ParStep ts) = Restr (gpar\text{-}rstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2RootStep*:

$eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2RootStep ts) = Restr (grrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2RootStepEq*:

$eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2RootStepEq ts) = Restr ((grrstep (is\text{-}to\text{-}trs Rs ts))^=) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2RootSteps*:

fixes $\mathcal{F} Rs ts$ **defines** $R \equiv Restr (grrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
shows $eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2RootSteps ts) = R^+$
<proof>

lemma *R2RootStepsEq*:

fixes $\mathcal{F} Rs ts$ **defines** $R \equiv Restr (grrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
shows $eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2RootStepsEq ts) = Restr (R^*) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2NonRootStep*:

$eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2NonRootStep ts) = Restr (gnrrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2NonRootStepEq*:

$eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2NonRootStepEq ts) = Restr ((gnrrstep (is\text{-}to\text{-}trs Rs ts))^=) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *R2NonRootSteps*:

fixes $\mathcal{F} Rs ts$ **defines** $R \equiv Restr (gnrrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
shows $eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2NonRootSteps ts) = R^+$
<proof>

lemma *R2NonRootStepsEq*:

fixes $\mathcal{F} Rs ts$ **defines** $R \equiv Restr (gnrrstep (is\text{-}to\text{-}trs Rs ts)) (\mathcal{T}_G \mathcal{F})$
shows $eval\text{-}rr2\text{-}rel \mathcal{F} Rs (R2NonRootStepsEq ts) = Restr (R^*) (\mathcal{T}_G \mathcal{F})$
<proof>

lemma *converse-to-prod-swap*:

$R^{-1} = prod.swap \text{ ` } R$
<proof>

lemma *R2Meet*:
fixes \mathcal{F} R s ts **defines** $R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } R s ts)) (\mathcal{T}_G \mathcal{F})$
shows $\text{eval-rr2-rel } \mathcal{F} R s (R2Meet ts) = \text{Restr } ((R^{-1})^* O R^*) (\mathcal{T}_G \mathcal{F})$
 $\langle \text{proof} \rangle$

lemma *R2Join*:
fixes \mathcal{F} R s ts **defines** $R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } R s ts)) (\mathcal{T}_G \mathcal{F})$
shows $\text{eval-rr2-rel } \mathcal{F} R s (R2Join ts) = \text{Restr } (R^* O (R^{-1})^*) (\mathcal{T}_G \mathcal{F})$
 $\langle \text{proof} \rangle$

end

theory *FOR-Check*

imports

FOR-Semantics

FOL-Extra

GTT-RRn

First-Order-Terms.Option-Monad

LV-to-GTT

NF

Regular-Tree-Relations.GTT-Transitive-Closure

Regular-Tree-Relations.AGTT

Regular-Tree-Relations.RR2-Infinite-Q-infinity

Regular-Tree-Relations.RRn-Automata

begin

13 Check inference steps

type-synonym $(f, v) \text{ fin-trs} = (f, v) \text{ rule fset}$

lemma *tl-drop-conv*:

$tl xs = \text{drop } 1 xs$

$\langle \text{proof} \rangle$

definition *rrn-drop-fst where*

$\text{rrn-drop-fst } \mathcal{A} = \text{relabel-reg } (\text{trim-reg } (\text{collapse-automaton-reg } (\text{fmap-funs-reg } (\text{drop-none-rule } 1) (\text{trim-reg } \mathcal{A}))))$

lemma *rrn-drop-fst-lang*:

assumes $RRn\text{-spec } n A T 1 < n$

shows $RRn\text{-spec } (n - 1) (\text{rrn-drop-fst } A) (\text{drop } 1 ' T)$

$\langle \text{proof} \rangle$

definition *liftO1* :: $(a \Rightarrow b) \Rightarrow 'a \text{ option} \Rightarrow 'b \text{ option}$ **where**

$\text{liftO1} = \text{map-option}$

definition *liftO2* :: $(a \Rightarrow b \Rightarrow c) \Rightarrow 'a \text{ option} \Rightarrow 'b \text{ option} \Rightarrow 'c \text{ option}$ **where**

$\text{liftO2 } f a b = \text{case-option None } (\lambda a'. \text{liftO1 } (f a') b) a$

lemma *liftO1-Some* [simp]:
 $\text{liftO1 } f \ x = \text{Some } y \iff (\exists x'. x = \text{Some } x') \wedge y = f \ (\text{the } x)$
 ⟨proof⟩

lemma *liftO2-Some* [simp]:
 $\text{liftO2 } f \ x \ y = \text{Some } z \iff (\exists x' \ y'. x = \text{Some } x' \wedge y = \text{Some } y') \wedge z = f \ (\text{the } x) \ (\text{the } y)$
 ⟨proof⟩

13.1 Computing TRSs

lemma *is-to-trs-props*:
assumes $\forall R \in \text{set } Rs. \text{finite } R \wedge \text{lv-trs } R \wedge \text{funas-trs } R \subseteq \mathcal{F} \ \forall i \in \text{set } is. \text{case-ftrs id id } i < \text{length } Rs$
shows $\text{funas-trs } (\text{is-to-trs } Rs \ is) \subseteq \mathcal{F} \ \text{lv-trs } (\text{is-to-trs } Rs \ is) \ \text{finite } (\text{is-to-trs } Rs \ is)$
 ⟨proof⟩

definition *is-to-fin-trs* :: $(f, 'v)$ *fin-trs list* \Rightarrow *ftrs list* \Rightarrow $(f, 'v)$ *fin-trs* **where**
 $\text{is-to-fin-trs } Rs \ is = |\bigcup| \ (\text{fset-of-list } (\text{map } (\text{case-ftrs } (!) \ Rs) \ (|!|) \ \text{prod.swap } \circ \ (!) \ Rs)) \ is)$

lemma *is-to-fin-trs-conv*:
assumes $\forall i \in \text{set } is. \text{case-ftrs id id } i < \text{length } Rs$
shows $\text{is-to-trs } (\text{map } \text{fset } Rs) \ is = \text{fset } (\text{is-to-fin-trs } Rs \ is)$
 ⟨proof⟩

definition *is-to-trs'* :: $(f, 'v)$ *fin-trs list* \Rightarrow *ftrs list* \Rightarrow $(f, 'v)$ *fin-trs option* **where**
 $\text{is-to-trs}' \ Rs \ is = \text{do } \{$
 $\quad \text{guard } (\forall i \in \text{set } is. \text{case-ftrs id id } i < \text{length } Rs);$
 $\quad \text{Some } (\text{is-to-fin-trs } Rs \ is)$
 $\}$

lemma *is-to-trs-conv*:
 $\text{is-to-trs}' \ Rs \ is = \text{Some } S \implies \text{is-to-trs } (\text{map } \text{fset } Rs) \ is = \text{fset } S$
 ⟨proof⟩

lemma *is-to-trs'-props*:
assumes $\forall R \in \text{set } Rs. \text{lv-trs } (\text{fset } R) \wedge \text{ffunas-trs } R \subseteq \mathcal{F}$ **and** $\text{is-to-trs}' \ Rs \ is = \text{Some } S$
shows $\text{ffunas-trs } S \subseteq \mathcal{F} \ \text{lv-trs } (\text{fset } S)$
 ⟨proof⟩

13.2 Computing GTTs

fun *gtt-of-gtt-rel* :: $(f \times \text{nat}) \text{fset} \Rightarrow (f :: \text{linorder}, 'v) \text{fin-trs list} \Rightarrow \text{ftrs gtt-rel} \Rightarrow (\text{nat}, f) \text{gtt option}$ **where**

$gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (ARoot \text{ } is) = liftO1 \text{ } (\lambda R. \text{ relabel-gtt } (agtt\text{-grrstep } R \text{ } \mathcal{F}))$
 $(is\text{-to-trs}' \text{ } Rs \text{ } is)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (GInv \text{ } g) = liftO1 \text{ } prod.swap \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (AUnion \text{ } g1 \text{ } g2) = liftO2 \text{ } (\lambda g1 \text{ } g2. \text{ relabel-gtt } (AGTT\text{-union}'$
 $g1 \text{ } g2)) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g1) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g2)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (ATrancl \text{ } g) = liftO1 \text{ } (relabel-gtt \text{ } \circ \text{ } AGTT\text{-trancl}) \text{ } (gtt\text{-of-gtt-rel}$
 $\mathcal{F} \text{ } Rs \text{ } g)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (GTrancl \text{ } g) = liftO1 \text{ } GTT\text{-trancl} \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (AComp \text{ } g1 \text{ } g2) = liftO2 \text{ } (\lambda g1 \text{ } g2. \text{ relabel-gtt } (AGTT\text{-comp}' \text{ } g1$
 $g2)) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g1) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g2)$
 $| \text{ } gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } (GComp \text{ } g1 \text{ } g2) = liftO2 \text{ } (\lambda g1 \text{ } g2. \text{ relabel-gtt } (GTT\text{-comp}' \text{ } g1$
 $g2)) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g1) \text{ } (gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g2)$

lemma *gtt-of-gtt-rel-correct*:

assumes $\forall R \in \text{set } Rs. \text{ lv-trs } (fset \text{ } R) \wedge \text{ ffunas-trs } R \text{ } |\subseteq| \text{ } \mathcal{F}$
shows $gtt\text{-of-gtt-rel } \mathcal{F} \text{ } Rs \text{ } g = \text{Some } g' \implies agtt\text{-lang } g' = \text{eval-gtt-rel } (fset \text{ } \mathcal{F})$
 $(\text{map } fset \text{ } Rs) \text{ } g$
 $\langle \text{proof} \rangle$

13.3 Computing RR1 and RR2 relations

definition *simplify-reg* $\mathcal{A} = (\text{relabel-reg } (\text{trim-reg } \mathcal{A}))$

lemma *L-simplify-reg [simp]*: $\mathcal{L} \text{ } (simplify\text{-reg } \mathcal{A}) = \mathcal{L} \text{ } \mathcal{A}$
 $\langle \text{proof} \rangle$

lemma *RR1-spec-simplify-reg [simp]*:

$RR1\text{-spec } (simplify\text{-reg } \mathcal{A}) \text{ } R = RR1\text{-spec } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

lemma *RR2-spec-simplify-reg [simp]*:

$RR2\text{-spec } (simplify\text{-reg } \mathcal{A}) \text{ } R = RR2\text{-spec } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

lemma *RRn-spec-simplify-reg [simp]*:

$RRn\text{-spec } n \text{ } (simplify\text{-reg } \mathcal{A}) \text{ } R = RRn\text{-spec } n \text{ } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

lemma *RR1-spec-eps-free-reg [simp]*:

$RR1\text{-spec } (eps\text{-free-reg } \mathcal{A}) \text{ } R = RR1\text{-spec } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

lemma *RR2-spec-eps-free-reg [simp]*:

$RR2\text{-spec } (eps\text{-free-reg } \mathcal{A}) \text{ } R = RR2\text{-spec } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

lemma *RRn-spec-eps-free-reg [simp]*:

$RRn\text{-spec } n \text{ } (eps\text{-free-reg } \mathcal{A}) \text{ } R = RRn\text{-spec } n \text{ } \mathcal{A} \text{ } R$
 $\langle \text{proof} \rangle$

fun *rr1-of-rr1-rel* :: $('f \times \text{nat}) \text{ } fset \implies ('f :: \text{linorder}, 'v) \text{ } fin\text{-trs } list \implies \text{ftrs } rr1\text{-rel}$
 $\implies (\text{nat}, 'f) \text{ } reg \text{ } option$

and $rr2\text{-of-}rr2\text{-rel} :: ('f \times nat) \text{ fset} \Rightarrow ('f, 'v) \text{ fin-trs list} \Rightarrow \text{ftrs } rr2\text{-rel} \Rightarrow (nat, 'f \text{ option} \times 'f \text{ option}) \text{ reg option}$ **where**

$rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } R1Terms = \text{Some } (\text{relabel-reg } (\text{term-reg } \mathcal{F}))$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1NF \text{ is}) = \text{liftO1 } (\lambda R. (\text{simplify-reg } (\text{nf-reg } (\text{fst } |\uparrow| R) \mathcal{F})))$
 $(\text{is-to-trs}' \text{ Rs is})$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1Inf r) = \text{liftO1 } (\lambda R.$
 $\quad \text{let } \mathcal{A} = \text{trim-reg } R \text{ in}$
 $\quad \text{simplify-reg } (\text{proj-1-reg } (\text{Inf-reg-impl } \mathcal{A}))$
 $\quad) (rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } r)$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1Proj i r) = (\text{case } i \text{ of } 0 \Rightarrow$
 $\quad \text{liftO1 } (\text{trim-reg } \circ \text{proj-1-reg}) (rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } r)$
 $\quad | - \Rightarrow \text{liftO1 } (\text{trim-reg } \circ \text{proj-2-reg}) (rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } r))$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1Union s1 s2) =$
 $\quad \text{liftO2 } (\lambda x y. \text{relabel-reg } (\text{reg-union } x y)) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s1) (rr1\text{-of-}rr1\text{-rel}$
 $\mathcal{F} \text{ Rs } s2)$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1Inter s1 s2) =$
 $\quad \text{liftO2 } (\lambda x y. \text{simplify-reg } (\text{reg-intersect } x y)) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s1) (rr1\text{-of-}rr1\text{-rel}$
 $\mathcal{F} \text{ Rs } s2)$
 $| rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } (R1Diff s1 s2) = \text{liftO2 } (\lambda x y. \text{relabel-reg } (\text{trim-reg } (\text{difference-reg}$
 $x y))) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s1) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s2)$

$| rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } (R2GTT\text{-Rel } g w x) =$
 $\quad (\text{case } w \text{ of } PRoot \Rightarrow$
 $\quad \quad (\text{case } x \text{ of } ESingl e \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{GTT-to-RR2-root-reg})$
 $\quad \quad (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad | EParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{reflcl-reg } (\text{lift-sig-RR2 } |\uparrow|$
 $\mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad | EStrictParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{GTT-to-RR2-root-reg})$
 $\quad \quad (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g))$
 $\quad \quad | PNonRoot \Rightarrow$
 $\quad \quad \quad (\text{case } x \text{ of } ESingl e \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{nhole-ctxt-closure-reg}$
 $\quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad \quad | EParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{nhole-mctxt-reflcl-reg}$
 $\quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad \quad | EStrictParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{nhole-mctxt-closure-reg}$
 $\quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g))$
 $\quad \quad \quad | PAny \Rightarrow$
 $\quad \quad \quad \quad (\text{case } x \text{ of } ESingl e \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{ctxt-closure-reg}$
 $\quad \quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad \quad \quad | EParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{parallel-closure-reg}$
 $\quad \quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)$
 $\quad \quad \quad \quad | EStrictParallel \Rightarrow \text{liftO1 } (\text{simplify-reg } \circ \text{eps-free-reg } \circ \text{mctxt-closure-reg}$
 $\quad \quad \quad \quad (\text{lift-sig-RR2 } |\uparrow| \mathcal{F}) \circ \text{GTT-to-RR2-root-reg}) (\text{gtt-of-gtt-rel } \mathcal{F} \text{ Rs } g)))$
 $| rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } (R2Diag s) =$
 $\quad \text{liftO1 } (\lambda x. \text{fmap-funs-reg } (\lambda f. (\text{Some } f, \text{Some } f)) x) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s)$
 $| rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } (R2Prod s1 s2) =$
 $\quad \text{liftO2 } (\lambda x y. \text{simplify-reg } (\text{pair-automaton-reg } x y)) (rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s1)$
 $(rr1\text{-of-}rr1\text{-rel } \mathcal{F} \text{ Rs } s2)$
 $| rr2\text{-of-}rr2\text{-rel } \mathcal{F} \text{ Rs } (R2Inv r) = \text{liftO1 } (\text{fmap-funs-reg } \text{prod.swap}) (rr2\text{-of-}rr2\text{-rel}$

$\mathcal{F} \text{ Rs } r$
 $| \text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } (R2\text{Union } r1 \ r2) =$
 $\text{ liftO2 } (\lambda x \ y. \text{ relabel-reg } (\text{reg-union } x \ y)) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r1) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r2)$
 $| \text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } (R2\text{Inter } r1 \ r2) =$
 $\text{ liftO2 } (\lambda x \ y. \text{ simplify-reg } (\text{reg-intersect } x \ y)) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r1) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r2)$
 $| \text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } (R2\text{Diff } r1 \ r2) = \text{ liftO2 } (\lambda x \ y. \text{ simplify-reg } (\text{difference-reg } x \ y)) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r1) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r2)$
 $| \text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } (R2\text{Comp } r1 \ r2) = \text{ liftO2 } (\lambda x \ y. \text{ simplify-reg } (\text{rr2-compositon } \mathcal{F} \ x \ y)) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r1) (\text{ rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r2)$

abbreviation *lhss* **where**

$lhss \ R \equiv fst \ |^{\dagger} \ R$

lemma *rr12-of-rr12-rel-correct*:

fixes $\text{ Rs} :: (('f :: \text{linorder}, 'v) \text{Term.term} \times ('f, 'v) \text{Term.term}) \text{fset list}$

assumes $\forall R \in \text{set Rs}. \text{lv-trs } (\text{fset } R) \wedge \text{ffunas-trs } R \subseteq \mathcal{F}$

shows $\forall ta1. \text{rr1-of-rr1-rel } \mathcal{F} \text{ Rs } r1 = \text{Some } ta1 \longrightarrow \text{RR1-spec } ta1 (\text{eval-rr1-rel } (\text{fset } \mathcal{F}) (\text{map } \text{fset } \text{Rs}) \ r1)$

$\forall ta2. \text{rr2-of-rr2-rel } \mathcal{F} \text{ Rs } r2 = \text{Some } ta2 \longrightarrow \text{RR2-spec } ta2 (\text{eval-rr2-rel } (\text{fset } \mathcal{F}) (\text{map } \text{fset } \text{Rs}) \ r2)$

<proof>

13.4 Misc

lemma *eval-formula-arity-cong*:

assumes $\bigwedge i. i < \text{formula-arity } f \implies \alpha' \ i = \alpha \ i$

shows $\text{eval-formula } \mathcal{F} \ \text{Rs} \ \alpha' \ f = \text{eval-formula } \mathcal{F} \ \text{Rs} \ \alpha \ f$

<proof>

13.5 Connect semantics to FOL-Fitting

primrec *form-of-formula* $:: 'trs \text{ formula} \Rightarrow (\text{unit}, 'trs \ \text{rr1-rel} + 'trs \ \text{rr2-rel}) \text{ form}$

where

$\text{form-of-formula } (FRR1 \ r1 \ x) = \text{Pred } (\text{Inl } r1) \ [\text{Var } x]$

$| \text{form-of-formula } (FRR2 \ r2 \ x \ y) = \text{Pred } (\text{Inr } r2) \ [\text{Var } x, \ \text{Var } y]$

$| \text{form-of-formula } (FAnd \ fs) = \text{foldr } \text{And} \ (\text{map } \text{form-of-formula } fs) \ \text{TT}$

$| \text{form-of-formula } (FOr \ fs) = \text{foldr } \text{Or} \ (\text{map } \text{form-of-formula } fs) \ \text{FF}$

$| \text{form-of-formula } (FNot \ f) = \text{Neg } (\text{form-of-formula } f)$

$| \text{form-of-formula } (FExists \ f) = \text{Exists } (\text{And } (\text{Pred } (\text{Inl } R1\text{Terms}) \ [\text{Var } 0]) (\text{form-of-formula } f))$

$| \text{form-of-formula } (FForall \ f) = \text{Forall } (\text{Impl } (\text{Pred } (\text{Inl } R1\text{Terms}) \ [\text{Var } 0]) (\text{form-of-formula } f))$

fun *for-eval-rel* $:: ('f \times \text{nat}) \ \text{set} \Rightarrow ('f, 'v) \ \text{trs list} \Rightarrow \text{ftrs } \ \text{rr1-rel} + \text{ftrs } \ \text{rr2-rel} \Rightarrow 'f \ \text{gterm list} \Rightarrow \text{bool}$ **where**

for-eval-rel \mathcal{F} Rs (Inl r1) [t] \longleftrightarrow $t \in \text{eval-rr1-rel } \mathcal{F}$ Rs r1
 | for-eval-rel \mathcal{F} Rs (Inr r2) [t, u] \longleftrightarrow $(t, u) \in \text{eval-rr2-rel } \mathcal{F}$ Rs r2

lemma eval-formula-conv:

eval-formula \mathcal{F} Rs α f = eval α undefined (for-eval-rel \mathcal{F} Rs) (form-of-formula f)
 ⟨proof⟩

13.6 RRn relations and formulas

lemma shift-rangeI [intro!]:

range $\alpha \subseteq T \implies x \in T \implies \text{range } (\text{shift } \alpha \ i \ x) \subseteq T$
 ⟨proof⟩

definition formula-relevant where

formula-relevant \mathcal{F} Rs vs fm \longleftrightarrow
 $(\forall \alpha \ \alpha'. \text{range } \alpha \subseteq \mathcal{T}_G \ \mathcal{F} \implies \text{range } \alpha' \subseteq \mathcal{T}_G \ \mathcal{F} \implies \text{map } \alpha \ \text{vs} = \text{map } \alpha' \ \text{vs} \implies \text{eval-formula } \mathcal{F} \ \text{Rs } \alpha \ \text{fm} \implies \text{eval-formula } \mathcal{F} \ \text{Rs } \alpha' \ \text{fm})$

lemma formula-relevant-mono:

set vs \subseteq set ws \implies formula-relevant \mathcal{F} Rs vs fm \implies formula-relevant \mathcal{F} Rs ws fm
 ⟨proof⟩

lemma formula-relevantD:

formula-relevant \mathcal{F} Rs vs fm \implies
 range $\alpha \subseteq \mathcal{T}_G \ \mathcal{F} \implies \text{range } \alpha' \subseteq \mathcal{T}_G \ \mathcal{F} \implies \text{map } \alpha \ \text{vs} = \text{map } \alpha' \ \text{vs} \implies$
 eval-formula \mathcal{F} Rs α fm \implies eval-formula \mathcal{F} Rs α' fm
 ⟨proof⟩

lemma trivial-formula-relevant:

assumes $\bigwedge \alpha. \text{range } \alpha \subseteq \mathcal{T}_G \ \mathcal{F} \implies \neg \text{eval-formula } \mathcal{F} \ \text{Rs } \alpha \ \text{fm}$
shows formula-relevant \mathcal{F} Rs vs fm
 ⟨proof⟩

lemma formula-relevant-0-FExists:

assumes formula-relevant \mathcal{F} Rs [0] fm
shows formula-relevant \mathcal{F} Rs [] (FExists fm)
 ⟨proof⟩

definition formula-spec where

formula-spec \mathcal{F} Rs vs A fm \longleftrightarrow sorted vs \wedge distinct vs \wedge
 formula-relevant \mathcal{F} Rs vs fm \wedge
 RRn-spec (length vs) A {map α vs | $\alpha. \text{range } \alpha \subseteq \mathcal{T}_G \ \mathcal{F} \wedge \text{eval-formula } \mathcal{F} \ \text{Rs } \alpha \ \text{fm}$ }

lemma formula-spec-RRn-spec:

formula-spec \mathcal{F} Rs vs A fm \implies RRn-spec (length vs) A {map α vs | $\alpha. \text{range } \alpha \subseteq \mathcal{T}_G \ \mathcal{F} \wedge \text{eval-formula } \mathcal{F} \ \text{Rs } \alpha \ \text{fm}$ }

<proof>

lemma *formula-spec-nt-empty-form-sat*:

$\neg \text{reg-empty } A \implies \text{formula-spec } \mathcal{F} \text{ Rs vs } A \text{ fm} \implies \exists \alpha. \text{range } \alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge \text{eval-formula } \mathcal{F} \text{ Rs } \alpha \text{ fm}$

<proof>

lemma *formula-spec-empty*:

$\text{reg-empty } A \implies \text{formula-spec } \mathcal{F} \text{ Rs vs } A \text{ fm} \implies \text{range } \alpha \subseteq \mathcal{T}_G \mathcal{F} \implies \text{eval-formula } \mathcal{F} \text{ Rs } \alpha \text{ fm} \longleftrightarrow \text{False}$

<proof>

In each inference step, we obtain a triple consisting of a formula *fm*, a list of relevant variables *vs* (typically a sublist of $[0..<formula-arity \text{ fm}]$), and an RRn automaton *A*, such that the property *formula-spec* \mathcal{F} *Rs vs A fm* holds.

lemma *false-formula-spec*:

$\text{sorted } vs \implies \text{distinct } vs \implies \text{formula-spec } \mathcal{F} \text{ Rs vs empty-reg } \text{False}$

<proof>

lemma *true-formula-spec*:

assumes $vs \neq [] \vee \mathcal{T}_G (\text{fset } \mathcal{F}) \neq \{\}$ *sorted vs distinct vs*

shows $\text{formula-spec } (\text{fset } \mathcal{F}) \text{ Rs vs } (\text{true-RRn } \mathcal{F} (\text{length } vs)) \text{ True}$

<proof>

lemma *relabel-formula-spec*:

$\text{formula-spec } \mathcal{F} \text{ Rs vs } A \text{ fm} \implies \text{formula-spec } \mathcal{F} \text{ Rs vs } (\text{relabel-reg } A) \text{ fm}$

<proof>

lemma *trim-formula-spec*:

$\text{formula-spec } \mathcal{F} \text{ Rs vs } A \text{ fm} \implies \text{formula-spec } \mathcal{F} \text{ Rs vs } (\text{trim-reg } A) \text{ fm}$

<proof>

definition *fit-permute* :: $\text{nat list} \Rightarrow \text{nat list} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$ **where**

$\text{fit-permute } vs \text{ vs}' \text{ vs}'' = \text{map } (\lambda v. \text{if } v \in \text{set } vs \text{ then the } (\text{mem-idx } v \text{ vs}) \text{ else length } vs + \text{the } (\text{mem-idx } v \text{ vs}'')) \text{ vs}'$

definition *fit-rrn* :: $(\text{'f} \times \text{nat}) \text{ fset} \Rightarrow \text{nat list} \Rightarrow \text{nat list} \Rightarrow (\text{nat}, \text{'f option list}) \text{ reg} \Rightarrow (-, \text{'f option list}) \text{ reg}$ **where**

$\text{fit-rrn } \mathcal{F} \text{ vs } \text{vs}' \text{ A} = (\text{let } \text{vs}'' = \text{subtract-list-sorted } \text{vs}' \text{ vs in}$

$\text{fmap-funs-reg } (\lambda \text{fs}. \text{map } (!) \text{ fs}) (\text{fit-permute } \text{vs } \text{vs}' \text{ vs}'')$

$(\text{fmap-funs-reg } (\text{pad-with-Nones } (\text{length } \text{vs}) (\text{length } \text{vs}'')) (\text{pair-automaton-reg } A (\text{true-RRn } \mathcal{F} (\text{length } \text{vs}''))))$

lemma *the-mem-idx-simp* [*simp*]:

$\text{distinct } xs \implies i < \text{length } xs \implies \text{the } (\text{mem-idx } (xs ! i) xs) = i$

<proof>

lemma *fit-rrn*:

assumes *spec*: formula-spec (fset \mathcal{F}) R_s vs A *fm* **and** vs : sorted vs' distinct vs'
set $vs \subseteq$ *set* vs'
shows formula-spec (fset \mathcal{F}) R_s vs' (fit-rrn \mathcal{F} vs vs' A) *fm*
 ⟨*proof*⟩

definition *fit-rrns* :: ('f × nat) fset ⇒ (ftrs formula × nat list × (nat, 'f option list) reg) list ⇒
 nat list × ((nat, 'f option list) reg) list **where**
fit-rrns \mathcal{F} $rrns$ = (let $vs' =$ fold union-list-sorted (map (fst ∘ snd) $rrns$) [] in
 (vs' , map (λ(fm , vs , ta). relabel-reg (trim-reg (fit-rrn \mathcal{F} vs vs' ta))) $rrns$))

lemma *sorted-union-list-sortedI* [*simp*]:
 sorted $xs \implies$ sorted $ys \implies$ sorted (union-list-sorted xs ys)
 ⟨*proof*⟩

lemma *distinct-union-list-sortedI* [*simp*]:
 sorted $xs \implies$ sorted $ys \implies$ distinct $xs \implies$ distinct $ys \implies$ distinct (union-list-sorted
 xs ys)
 ⟨*proof*⟩

lemma *fit-rrns*:
assumes *infs*: $\bigwedge fvA. fvA \in$ *set* $rrns \implies$ formula-spec (fset \mathcal{F}) R_s (fst (snd fvA))
 (snd (snd fvA)) (fst fvA)
assumes (vs' , tas') = *fit-rrns* \mathcal{F} $rrns$
shows length $tas' =$ length $rrns \bigwedge i. i <$ length $rrns \implies$ formula-spec (fset \mathcal{F})
 R_s vs' ($tas' ! i$) (fst ($rrns ! i$))
 distinct vs' sorted vs'
 ⟨*proof*⟩

13.7 Building blocks

definition *for-rrn* **where**
for-rrn $tas =$ fold (λ A B . relabel-reg (reg-union A B)) tas (Reg {||} (TA {||} {||}))

lemma *for-rrn*:
assumes length $tas =$ length $fs \bigwedge i. i <$ length $fs \implies$ formula-spec \mathcal{F} R_s vs (tas
 ! i) ($fs ! i$)
and vs : sorted vs distinct vs
shows formula-spec \mathcal{F} R_s vs (*for-rrn* tas) (FOr fs)
 ⟨*proof*⟩

fun *fand-rrn* **where**
fand-rrn \mathcal{F} n [] = true-RRn \mathcal{F} n
 | *fand-rrn* \mathcal{F} n ($A \#$ tas) = fold (λ A B . simplify-reg (reg-intersect A B)) tas A

lemma *fand-rrn*:
assumes \mathcal{T}_G (fset \mathcal{F}) \neq {} length $tas =$ length $fs \bigwedge i. i <$ length $fs \implies$ for-
 mula-spec (fset \mathcal{F}) R_s vs ($tas ! i$) ($fs ! i$)
and vs : sorted vs distinct vs

shows *formula-spec* (*fset* \mathcal{F}) Rs *vs* (*fand-rrn* \mathcal{F} (*length vs*) *tas*) (*FAnd fs*)
 ⟨*proof*⟩

13.7.1 IExists inference rule

lemma *lift-fun-gpairD*:

map-gterm lift-fun s = gpair t u $\implies t = s$
map-gterm lift-fun s = gpair t u $\implies u = s$
 ⟨*proof*⟩

definition *upd-bruijn* :: *nat list* \Rightarrow *nat list* **where**

upd-bruijn vs = tl (map ($\lambda x. x - 1$) vs)

lemma *upd-bruijn-length[simp]*:

length (upd-bruijn vs) = length vs - 1
 ⟨*proof*⟩

lemma *pres-sorted-dec*:

sorted xs \implies *sorted (map ($\lambda x. x - \text{Suc } 0$) xs)*
 ⟨*proof*⟩

lemma *upd-bruijn-pres-sorted*:

sorted xs \implies *sorted (upd-bruijn xs)*
 ⟨*proof*⟩

lemma *pres-distinct-not-0-list-dec*:

distinct xs $\implies 0 \notin \text{set } xs \implies$ *distinct (map ($\lambda x. x - \text{Suc } 0$) xs)*
 ⟨*proof*⟩

lemma *upd-bruijn-pres-distinct*:

assumes *sorted xs distinct xs*
shows *distinct (upd-bruijn xs)*
 ⟨*proof*⟩

lemma *upd-bruijn-relevant-inv*:

assumes *sorted vs distinct vs* $0 \in \text{set } vs$
and $\bigwedge x. x \in \text{set } (\text{upd-bruijn } vs) \implies \alpha x = \alpha' x$
shows $\bigwedge x. x \in \text{set } vs \implies (\text{shift } \alpha \ 0 \ z) x = (\text{shift } \alpha' \ 0 \ z) x$
 ⟨*proof*⟩

lemma *ExistsI-upd-bruijn-0*:

assumes *sorted vs distinct vs* $0 \in \text{set } vs$ *formula-relevant* \mathcal{F} Rs *vs fm*
shows *formula-relevant* \mathcal{F} Rs (*upd-bruijn vs*) (*FExists fm*)
 ⟨*proof*⟩

declare *subsetI[rule del]*

lemma *ExistsI-upd-bruijn-no-0*:

assumes $0 \notin \text{set } vs$ **and** *formula-relevant* \mathcal{F} Rs *vs fm*
shows *formula-relevant* \mathcal{F} Rs (*map ($\lambda x. x - \text{Suc } 0$) vs*) (*FExists fm*)

<proof>

definition *shift-right where*

$shift\text{-}right\ \alpha \equiv \lambda\ i.\ \alpha\ (i + 1)$

lemma *shift-right-nt-0:*

$i \neq 0 \implies \alpha\ i = shift\text{-}right\ \alpha\ (i - Suc\ 0)$

<proof>

lemma *shift-shift-right-id [simp]:*

$shift\ (shift\text{-}right\ \alpha)\ 0\ (\alpha\ 0) = \alpha$

<proof>

lemma *shift-right-rangeI [intro]:*

$range\ \alpha \subseteq T \implies range\ (shift\text{-}right\ \alpha) \subseteq T$

<proof>

lemma *eval-formula-shift-right-eval:*

$eval\text{-}formula\ \mathcal{F}\ Rs\ \alpha\ fm \implies eval\text{-}formula\ \mathcal{F}\ Rs\ (shift\ (shift\text{-}right\ \alpha)\ 0\ (\alpha\ 0))\ fm$

$eval\text{-}formula\ \mathcal{F}\ Rs\ (shift\ (shift\text{-}right\ \alpha)\ 0\ (\alpha\ 0))\ fm \implies eval\text{-}formula\ \mathcal{F}\ Rs\ \alpha\ fm$

<proof>

declare *subsetI[intro!]*

lemma *nt-rel-0-trivial-shift:*

assumes $0 \notin set\ vs$

shows $\{map\ \alpha\ vs\ |\ \alpha.\ range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \wedge eval\text{-}formula\ \mathcal{F}\ Rs\ \alpha\ fm\} =$

$\{map\ (\lambda x.\ \alpha\ (x - Suc\ 0))\ vs\ |\ \alpha.\ range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \wedge (\exists z \in \mathcal{T}_G\ \mathcal{F}.\ eval\text{-}formula\ \mathcal{F}\ Rs\ (\alpha\ \langle 0:z \rangle)\ fm)\}$

(**is** $?Ls = ?Rs$)

<proof>

lemma *relevant-vars-upd-bruijn-tl:*

assumes *sorted vs distinct vs*

shows $map\ (shift\text{-}right\ \alpha)\ (upd\text{-}bruijn\ vs) = tl\ (map\ \alpha\ vs)$ *<proof>*

lemma *drop-upd-bruijn-set:*

assumes *sorted vs distinct vs*

shows $drop\ 1\ ' \{map\ \alpha\ vs\ |\ \alpha.\ range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \wedge eval\text{-}formula\ \mathcal{F}\ Rs\ \alpha\ fm\} =$

$\{map\ \alpha\ (upd\text{-}bruijn\ vs)\ |\ \alpha.\ range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \wedge (\exists z \in \mathcal{T}_G\ \mathcal{F}.\ eval\text{-}formula\ \mathcal{F}\ Rs\ (\alpha\ \langle 0:z \rangle)\ fm)\}$

(**is** $?Ls = ?Rs$)

<proof>

lemma *closed-sat-form-env-dom:*

assumes *formula-relevant $\mathcal{F}\ Rs\ []$ (FExists fm) range $\alpha \subseteq \mathcal{T}_G\ \mathcal{F}$ eval-formula $\mathcal{F}\ Rs\ \alpha\ fm$*

shows $\{[\alpha\ 0]\ |\ \alpha.\ range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \wedge (\exists z \in \mathcal{T}_G\ \mathcal{F}.\ eval\text{-}formula\ \mathcal{F}\ Rs\ (\alpha\ \langle 0:z \rangle)\ fm)\} = \{[t]\ |\ t. t \in \mathcal{T}_G\ \mathcal{F}\}$

<proof>

lemma *find-append*:

find P (xs @ ys) = (if find P xs ≠ None then find P xs else find P ys)
<proof>

13.8 Checking inferences

derive *linorder ext-step pos-step gtt-rel rr1-rel rr2-rel ftrs*

derive *compare ext-step pos-step gtt-rel rr1-rel rr2-rel ftrs*

fun *check-inference* :: (('f × nat) fset ⇒ ('f, 'v) fin-trs list ⇒ ftrs rr1-rel ⇒ (nat, 'f) reg option)
⇒ (('f × nat) fset ⇒ ('f, 'v) fin-trs list ⇒ ftrs rr2-rel ⇒ (nat, 'f option × 'f option) reg option)
⇒ ('f × nat) fset ⇒ ('f :: compare, 'v) fin-trs list
⇒ (ftrs formula × nat list × (nat, 'f option list) reg) list
⇒ (nat × ftrs inference × ftrs formula × info list)
⇒ (ftrs formula × nat list × (nat, 'f option list) reg) option **where**
check-inference rr1c rr2c F Rs infs (l, step, fm, is) = do {
 guard (l = length infs);
 case step of
 IRR1 s x ⇒ do {
 guard (fm = FRR1 s x);
 liftO1 (λta. (FRR1 s x, [x], fmap-funs-reg (λf. [Some f]) ta)) (rr1c F Rs s)
 }
 | IRR2 r x y ⇒ do {
 guard (fm = FRR2 r x y);
 case compare x y of
 Lt ⇒ liftO1 (λta. (FRR2 r x y, [x, y], fmap-funs-reg (λ(f, g). [f, g]) ta))
 (rr2c F Rs r)
 | Eq ⇒ liftO1 (λta. (FRR2 r x y, [x], fmap-funs-reg (λf. [Some f]) ta))
 (liftO1 (simplify-reg ∘ proj-1-reg)
 (liftO2 (λ t1 t2. simplify-reg (reg-intersect t1 t2)) (rr2c F Rs r) (rr2c F
 Rs (R2Diag R1Terms))))
 | Gt ⇒ liftO1 (λta. (FRR2 r x y, [y, x], fmap-funs-reg (λ(f, g). [g, f]) ta))
 (rr2c F Rs r)
 }
 | IAnd ls ⇒ do {
 guard (∀ l' ∈ set ls. l' < l);
 guard (fm = FAnd (map (λl'. fst (infs ! l')) ls));
 let (vs', tas') = fit-rrns F (map (!) infs) ls in
 Some (fm, vs', fand-rrn F (length vs') tas')
 }
 | IOr ls ⇒ do {
 guard (∀ l' ∈ set ls. l' < l);
 guard (fm = FOR (map (λl'. fst (infs ! l')) ls));
 let (vs', tas') = fit-rrns F (map (!) infs) ls in
 }
}

```

    Some (fm, vs', for-rrn tas')
  }
  | INot l' ⇒ do {
    guard (l' < l);
    guard (fm = FNot (fst (infs ! l')));
    let (vs', tas') = snd (infs ! l');
    Some (fm, vs', simplify-reg (difference-reg (true-RRn  $\mathcal{F}$  (length vs')) tas'))
  }
  | IExists l' ⇒ do {
    guard (l' < l);
    guard (fm = FExists (fst (infs ! l')));
    let (vs', tas') = snd (infs ! l');
    if length vs' = 0 then Some (fm, [], tas') else
      if reg-empty tas' then Some (fm, [], empty-reg)
      else if 0 ∉ set vs' then Some (fm, map (λ x. x - 1) vs', tas')
      else if 1 = length vs' then Some (fm, [], true-RRn  $\mathcal{F}$  0)
      else Some (fm, upd-bruijn vs', rrn-drop-fst tas')
  }
  | IRename l' vs ⇒ guard (l' < l) ≫ None
  | INNFPlus l' ⇒ do {
    guard (l' < l);
    let fm' = fst (infs ! l');
    guard (ord-form-list-aci (nnf-to-list-aci (nnf (form-of-formula fm')))) =
ord-form-list-aci (nnf-to-list-aci (nnf (form-of-formula fm))));
    Some (fm, snd (infs ! l'))
  }
  | IRepl eq pos l' ⇒ guard (l' < l) ≫ None
  }

```

lemma *RRn-spec-true-RRn*:

RRn-spec (Suc 0) (true-RRn \mathcal{F} (Suc 0)) {[t] | t. t ∈ \mathcal{T}_G (fset \mathcal{F})}

⟨proof⟩

lemma *check-inference-correct*:

assumes sig: \mathcal{T}_G (fset \mathcal{F}) ≠ {} **and** Rs: ∀ R ∈ set Rs. lv-trs (fset R) ∧ ffunas-trs R |⊆| \mathcal{F}

assumes infs: ∧fvA. fvA ∈ set infs ⇒ formula-spec (fset \mathcal{F}) (map fset Rs) (fst (snd fvA)) (snd (snd fvA)) (fst fvA)

assumes inf: check-inference rr1c rr2c \mathcal{F} Rs infs (l, step, fm, is) = Some (fm', vs, A')

assumes rr1: ∧r1. ∀ ta1. rr1c \mathcal{F} Rs r1 = Some ta1 → RR1-spec ta1 (eval-rr1-rel (fset \mathcal{F}) (map fset Rs) r1)

assumes rr2: ∧r2. ∀ ta2. rr2c \mathcal{F} Rs r2 = Some ta2 → RR2-spec ta2 (eval-rr2-rel (fset \mathcal{F}) (map fset Rs) r2)

shows l = length infs ∧ fm = fm' ∧ formula-spec (fset \mathcal{F}) (map fset Rs) vs A'

fm'

⟨proof⟩

end
theory *FOR-Check-Impl*
imports *FOR-Check*
Regular-Tree-Relations.Regular-Relation-Impl
NF-Impl
begin

14 Inference checking implementation

definition *ftrancl-eps-free-closures* $\mathcal{A} = \text{eps-free-automata } (\text{eps } \mathcal{A}) \mathcal{A}$

abbreviation *ftrancl-eps-free-reg* $\mathcal{A} \equiv \text{Reg } (\text{fin } \mathcal{A}) (\text{ftrancl-eps-free-closures } (\text{ta } \mathcal{A}))$

lemma *ftrancl-eps-free-ta-derI*:

$(\text{eps } \mathcal{A})|^{+}| = \text{eps } \mathcal{A} \implies \text{ta-der } (\text{ftrancl-eps-free-closures } \mathcal{A}) (\text{term-of-gterm } t) =$
 $\text{ta-der } \mathcal{A} (\text{term-of-gterm } t)$
 ⟨proof⟩

lemma *L-ftrancl-eps-free-closuresI*:

$(\text{eps } (\text{ta } \mathcal{A}))|^{+}| = \text{eps } (\text{ta } \mathcal{A}) \implies \mathcal{L} (\text{ftrancl-eps-free-reg } \mathcal{A}) = \mathcal{L } \mathcal{A}$
 ⟨proof⟩

definition *root-step* $R \mathcal{F} \equiv (\text{let } (\text{TA1}, \text{TA2}) = \text{agtt-grrstep } R \mathcal{F} \text{ in } (\text{ftrancl-eps-free-closures } \text{TA1}, \text{TA2}))$

definition *AGTT-trancl-eps-free* $:: ('q, 'f) \text{ gtt} \Rightarrow ('q + 'q, 'f) \text{ gtt}$ **where**
AGTT-trancl-eps-free $\mathcal{G} = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{AGTT-trancl } \mathcal{G} \text{ in } (\text{ftrancl-eps-free-closures } \mathcal{A}, \mathcal{B}))$

definition *GTT-trancl-eps-free* **where**

GTT-trancl-eps-free $\mathcal{G} = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{GTT-trancl } \mathcal{G} \text{ in } (\text{ftrancl-eps-free-closures } \mathcal{A}, \text{ftrancl-eps-free-closures } \mathcal{B}))$

definition *AGTT-comp-eps-free* **where**

AGTT-comp-eps-free $\mathcal{G}_1 \mathcal{G}_2 = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{AGTT-comp}' \mathcal{G}_1 \mathcal{G}_2 \text{ in } (\text{ftrancl-eps-free-closures } \mathcal{A}, \mathcal{B}))$

definition *GTT-comp-eps-free* **where**

GTT-comp-eps-free $\mathcal{G}_1 \mathcal{G}_2 = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{GTT-comp}' \mathcal{G}_1 \mathcal{G}_2 \text{ in } (\text{ftrancl-eps-free-closures } \mathcal{A}, \text{ftrancl-eps-free-closures } \mathcal{B}))$

lemma *eps-free-relabel* [*simp*]:

is-gtt-eps-free (*relabel-gtt* \mathcal{G}) = *is-gtt-eps-free* \mathcal{G}
 ⟨proof⟩

lemma *eps-free-prod-swap*:

is-gtt-eps-free (\mathcal{A}, \mathcal{B}) \implies *is-gtt-eps-free* (\mathcal{B}, \mathcal{A})

<proof>

lemma *eps-free-root-step:*

is-gtt-eps-free (root-step R F)

<proof>

lemma *eps-free-AGTT-trancl-eps-free:*

is-gtt-eps-free G \implies is-gtt-eps-free (AGTT-trancl-eps-free G)

<proof>

lemma *eps-free-GTT-trancl-eps-free:*

is-gtt-eps-free G \implies is-gtt-eps-free (GTT-trancl-eps-free G)

<proof>

lemma *eps-free-AGTT-comp-eps-free:*

is-gtt-eps-free G₂ \implies is-gtt-eps-free (AGTT-comp-eps-free G₁ G₂)

<proof>

lemma *eps-free-GTT-comp-eps-free:*

is-gtt-eps-free (GTT-comp-eps-free G₁ G₂)

<proof>

lemmas *eps-free-const =*

eps-free-prod-swap

eps-free-root-step

eps-free-AGTT-trancl-eps-free

eps-free-GTT-trancl-eps-free

eps-free-AGTT-comp-eps-free

eps-free-GTT-comp-eps-free

lemma *agtt-lang-derI:*

assumes $\bigwedge t. ta\text{-der } (fst \mathcal{A}) (term\text{-of-gterm } t) = ta\text{-der } (fst \mathcal{B}) (term\text{-of-gterm } t)$

and $\bigwedge t. ta\text{-der } (snd \mathcal{A}) (term\text{-of-gterm } t) = ta\text{-der } (snd \mathcal{B}) (term\text{-of-gterm } t)$

shows *agtt-lang A = agtt-lang B* *<proof>*

lemma *agtt-lang-root-step-conv:*

agtt-lang (root-step R F) = agtt-lang (agtt-grrstep R F)

<proof>

lemma *agtt-lang-AGTT-trancl-eps-free-conv:*

assumes *is-gtt-eps-free G*

shows *agtt-lang (AGTT-trancl-eps-free G) = agtt-lang (AGTT-trancl G)*

<proof>

lemma *agtt-lang-GTT-trancl-eps-free-conv:*

assumes *is-gtt-eps-free G*

shows *agtt-lang (GTT-trancl-eps-free G) = agtt-lang (GTT-trancl G)*

<proof>

lemma *agtt-lang-AGTT-comp-eps-free-conv:*

assumes *is-gtt-eps-free* \mathcal{G}_1 *is-gtt-eps-free* \mathcal{G}_2

shows *agtt-lang* (*AGTT-comp-eps-free* \mathcal{G}_1 \mathcal{G}_2) = *agtt-lang* (*AGTT-comp'* \mathcal{G}_1 \mathcal{G}_2)

<proof>

lemma *agtt-lang-GTT-comp-eps-free-conv:*

assumes *is-gtt-eps-free* \mathcal{G}_1 *is-gtt-eps-free* \mathcal{G}_2

shows *agtt-lang* (*GTT-comp-eps-free* \mathcal{G}_1 \mathcal{G}_2) = *agtt-lang* (*GTT-comp'* \mathcal{G}_1 \mathcal{G}_2)

<proof>

fun *gtt-of-gtt-rel-impl* :: (*'f* × *nat*) *fset* ⇒ (*'f* :: *linorder*, *'v*) *fin-trs list* ⇒ *ftrs*
gtt-rel ⇒ (*nat*, *'f*) *gtt option* **where**

gtt-of-gtt-rel-impl \mathcal{F} *Rs* (*A**Root* *is*) = *liftO1* ($\lambda R. \text{relabel-gtt} (\text{root-step } R \mathcal{F})$)
(*is-to-trs'* *Rs is*)

| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*G**Inv* *g*) = *liftO1* *prod.swap* (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g*)
| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*A**Union* *g1 g2*) = *liftO2* ($\lambda g1 g2. \text{relabel-gtt} (\text{AGTT-union}'$
g1 g2) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g1*) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g2*)

| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*A**Trancl* *g*) = *liftO1* (*relabel-gtt* ∘ *AGTT-trancl-eps-free*)
(*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g*)

| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*G**Trancl* *g*) = *liftO1* *GTT-trancl-eps-free* (*gtt-of-gtt-rel-impl*
 \mathcal{F} *Rs* *g*)

| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*A**Comp* *g1 g2*) = *liftO2* ($\lambda g1 g2. \text{relabel-gtt} (\text{AGTT-comp-eps-free}$
g1 g2) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g1*) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g2*)

| *gtt-of-gtt-rel-impl* \mathcal{F} *Rs* (*G**Comp* *g1 g2*) = *liftO2* ($\lambda g1 g2. \text{relabel-gtt} (\text{GTT-comp-eps-free}$
g1 g2) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g1*) (*gtt-of-gtt-rel-impl* \mathcal{F} *Rs* *g2*)

lemma *gtt-of-gtt-rel-impl-is-gtt-eps-free:*

gtt-of-gtt-rel-impl \mathcal{F} *Rs* *g* = *Some* *g'* ⇒ *is-gtt-eps-free* *g'*

<proof>

lemma *gtt-of-gtt-rel-impl-gtt-of-gtt-rel:*

gtt-of-gtt-rel-impl \mathcal{F} *Rs* *g* ≠ *None* ⇔ *gtt-of-gtt-rel* \mathcal{F} *Rs* *g* ≠ *None* (**is** *?Ls* ⇔
?Rs)

<proof>

lemma *gtt-of-gtt-rel-impl-sound:*

gtt-of-gtt-rel-impl \mathcal{F} *Rs* *g* = *Some* *g'* ⇒ *gtt-of-gtt-rel* \mathcal{F} *Rs* *g* = *Some* *g''* ⇒
agtt-lang *g'* = *agtt-lang* *g''*

<proof>

lemma *L-eps-free-nhole-ctxt-closure-reg:*

assumes *is-ta-eps-free* (*ta* *A*)

shows $\mathcal{L} (\text{ftrancl-eps-free-reg} (\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A})) = \mathcal{L} (\text{nhole-ctxt-closure-reg}$
 $\mathcal{F} \mathcal{A})$

<proof>

lemma \mathcal{L} -eps-free-ctxt-closure-reg:

assumes $is\text{-}ta\text{-}eps\text{-}free\ (ta\ \mathcal{A})$

shows $\mathcal{L}\ (ftrancl\text{-}eps\text{-}free\text{-}reg\ (ctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})) = \mathcal{L}\ (ctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})$

$\langle proof \rangle$

lemma \mathcal{L} -eps-free-parallel-closure-reg:

assumes $is\text{-}ta\text{-}eps\text{-}free\ (ta\ \mathcal{A})$

shows $\mathcal{L}\ (ftrancl\text{-}eps\text{-}free\text{-}reg\ (parallel\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})) = \mathcal{L}\ (parallel\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})$

$\langle proof \rangle$

abbreviation $eps\text{-}free\text{-}reg'\ S\ R \equiv Reg\ (fin\ R)\ (eps\text{-}free\text{-}automata\ S\ (ta\ R))$

definition $eps\text{-}free\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A} =$

$(let\ \mathcal{B} = mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A}\ in$

$eps\text{-}free\text{-}reg'\ ((\lambda\ p.\ (fst\ p,\ Inr\ cl\text{-}state))\ |\uparrow|\ (eps\ (ta\ \mathcal{B}))\ |\cup|\ eps\ (ta\ \mathcal{B}))\ \mathcal{B})$

definition $eps\text{-}free\text{-}nhole\text{-}mctxt\text{-}reflcl\text{-}reg\ \mathcal{F}\ \mathcal{A} =$

$(let\ \mathcal{B} = nhole\text{-}mctxt\text{-}reflcl\text{-}reg\ \mathcal{F}\ \mathcal{A}\ in$

$eps\text{-}free\text{-}reg'\ ((\lambda\ p.\ (fst\ p,\ Inl\ (Inr\ cl\text{-}state)))\ |\uparrow|\ (eps\ (ta\ \mathcal{B}))\ |\cup|\ eps\ (ta\ \mathcal{B}))\ \mathcal{B})$

definition $eps\text{-}free\text{-}nhole\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A} =$

$(let\ \mathcal{B} = nhole\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A}\ in$

$eps\text{-}free\text{-}reg'\ ((\lambda\ p.\ (fst\ p,\ (Inr\ cl\text{-}state)))\ |\uparrow|\ (eps\ (ta\ \mathcal{B}))\ |\cup|\ eps\ (ta\ \mathcal{B}))\ \mathcal{B})$

lemma \mathcal{L} -eps-free-reg'I:

$(eps\ (ta\ \mathcal{A}))\ |\uparrow|\ = S \implies \mathcal{L}\ (eps\text{-}free\text{-}reg'\ S\ \mathcal{A}) = \mathcal{L}\ \mathcal{A}$

$\langle proof \rangle$

lemma \mathcal{L} -eps-free-mctxt-closure-reg:

assumes $is\text{-}ta\text{-}eps\text{-}free\ (ta\ \mathcal{A})$

shows $\mathcal{L}\ (eps\text{-}free\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A}) = \mathcal{L}\ (mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})\ \langle proof \rangle$

lemma \mathcal{L} -eps-free-nhole-mctxt-reflcl-reg:

assumes $is\text{-}ta\text{-}eps\text{-}free\ (ta\ \mathcal{A})$

shows $\mathcal{L}\ (eps\text{-}free\text{-}nhole\text{-}mctxt\text{-}reflcl\text{-}reg\ \mathcal{F}\ \mathcal{A}) = \mathcal{L}\ (nhole\text{-}mctxt\text{-}reflcl\text{-}reg\ \mathcal{F}\ \mathcal{A})\ \langle proof \rangle$

lemma \mathcal{L} -eps-free-nhole-mctxt-closure-reg:

assumes $is\text{-}ta\text{-}eps\text{-}free\ (ta\ \mathcal{A})$

shows $\mathcal{L}\ (eps\text{-}free\text{-}nhole\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A}) = \mathcal{L}\ (nhole\text{-}mctxt\text{-}closure\text{-}reg\ \mathcal{F}\ \mathcal{A})\ \langle proof \rangle$

fun $rr1\text{-}of\text{-}rr1\text{-}rel\text{-}impl :: ('f \times nat)\ fset \Rightarrow ('f :: linorder, 'v)\ fin\text{-}trs\ list \Rightarrow ftrs\ rr1\text{-}rel \Rightarrow (nat, 'f)\ reg\ option$

and $rr2\text{-}of\text{-}rr2\text{-}rel\text{-}impl :: ('f \times nat)\ fset \Rightarrow ('f, 'v)\ fin\text{-}trs\ list \Rightarrow ftrs\ rr2\text{-}rel \Rightarrow (nat, 'f\ option \times 'f\ option)\ reg\ option$ **where**

$rr1\text{-}of\text{-}rr1\text{-}rel\text{-}impl\ \mathcal{F}\ Rs\ R1Terms = Some\ (relabel\text{-}reg\ (term\text{-}reg\ \mathcal{F}))$

$| rr1\text{-}of\text{-}rr1\text{-}rel\text{-}impl\ \mathcal{F}\ Rs\ (R1NF\ is) = liftO1\ (\lambda R.\ (simplify\text{-}reg\ (nf\text{-}reg\ (fst\ |\uparrow|\ R)))$

$\mathcal{F}))$ (*is-to-trs'* R_s *is*)
 $|$ *rr1-of-rr1-rel-impl* \mathcal{F} R_s (*R1Inf* r) = *liftO1* ($\lambda R.$
 \quad *let* \mathcal{A} = *trim-reg* R *in*
 \quad *simplify-reg* (*proj-1-reg* (*Inf-reg-impl* \mathcal{A}))
 \quad) (*rr2-of-rr2-rel-impl* \mathcal{F} R_s r)
 $|$ *rr1-of-rr1-rel-impl* \mathcal{F} R_s (*R1Proj* i r) = (*case* i *of* $0 \Rightarrow$
 \quad *liftO1* (*trim-reg* \circ *proj-1-reg*) (*rr2-of-rr2-rel-impl* \mathcal{F} R_s r)
 \quad $|$ $- \Rightarrow$ *liftO1* (*trim-reg* \circ *proj-2-reg*) (*rr2-of-rr2-rel-impl* \mathcal{F} R_s r)
 $|$ *rr1-of-rr1-rel-impl* \mathcal{F} R_s (*R1Union* s_1 s_2) =
 \quad *liftO2* ($\lambda x y.$ *relabel-reg* (*reg-union* x y)) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_1) (*rr1-of-rr1-rel-impl*
 \mathcal{F} R_s s_2)
 $|$ *rr1-of-rr1-rel-impl* \mathcal{F} R_s (*R1Inter* s_1 s_2) =
 \quad *liftO2* ($\lambda x y.$ *simplify-reg* (*reg-intersect* x y)) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_1)
(*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_2)
 $|$ *rr1-of-rr1-rel-impl* \mathcal{F} R_s (*R1Diff* s_1 s_2) = *liftO2* ($\lambda x y.$ *relabel-reg* (*trim-reg*
(*difference-reg* x y)) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_1) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_2)

 $|$ *rr2-of-rr2-rel-impl* \mathcal{F} R_s (*R2GTT-Rel* g w x) =
 \quad (*case* w *of* *PRoot* \Rightarrow
 \quad (*case* x *of* *ESingle* \Rightarrow *liftO1* (*simplify-reg* \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl*
 \mathcal{F} R_s g)
 \quad $|$ *EParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *reflcl-reg* (*lift-sig-RR2* $| \uparrow \mathcal{F}$) \circ
GTT-to-RR2-root-reg) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)
 \quad $|$ *EStrictParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl*
 \mathcal{F} R_s g))
 \quad $|$ *PNonRoot* \Rightarrow
 \quad (*case* x *of* *ESingle* \Rightarrow *liftO1* (*simplify-reg* \circ *ftrancl-eps-free-reg* \circ *nhole-ctxt-closure-reg*
(*lift-sig-RR2* $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)
 \quad $|$ *EParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *eps-free-nhole-mctxt-reflcl-reg* (*lift-sig-RR2*
 $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)
 \quad $|$ *EStrictParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *eps-free-nhole-mctxt-closure-reg*
(*lift-sig-RR2* $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g))
 \quad $|$ *PAny* \Rightarrow
 \quad (*case* x *of* *ESingle* \Rightarrow *liftO1* (*simplify-reg* \circ *ftrancl-eps-free-reg* \circ *ctxt-closure-reg*
(*lift-sig-RR2* $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)
 \quad $|$ *EParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *ftrancl-eps-free-reg* \circ *parallel-closure-reg*
(*lift-sig-RR2* $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)
 \quad $|$ *EStrictParallel* \Rightarrow *liftO1* (*simplify-reg* \circ *eps-free-mctxt-closure-reg* (*lift-sig-RR2*
 $| \uparrow \mathcal{F}$) \circ *GTT-to-RR2-root-reg*) (*gtt-of-gtt-rel-impl* \mathcal{F} R_s g)))
 $|$ *rr2-of-rr2-rel-impl* \mathcal{F} R_s (*R2Diag* s) =
 \quad *liftO1* ($\lambda x.$ *fmap-funs-reg* ($\lambda f.$ (*Some* f , *Some* f)) x) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s
 s)
 $|$ *rr2-of-rr2-rel-impl* \mathcal{F} R_s (*R2Prod* s_1 s_2) =
 \quad *liftO2* ($\lambda x y.$ *simplify-reg* (*pair-automaton-reg* x y)) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s
 s_1) (*rr1-of-rr1-rel-impl* \mathcal{F} R_s s_2)
 $|$ *rr2-of-rr2-rel-impl* \mathcal{F} R_s (*R2Inv* r) = *liftO1* (*fmap-funs-reg* *prod.swap*) (*rr2-of-rr2-rel-impl*
 \mathcal{F} R_s r)
 $|$ *rr2-of-rr2-rel-impl* \mathcal{F} R_s (*R2Union* r_1 r_2) =
 \quad *liftO2* ($\lambda x y.$ *relabel-reg* (*reg-union* x y)) (*rr2-of-rr2-rel-impl* \mathcal{F} R_s r_1) (*rr2-of-rr2-rel-impl*

$\mathcal{F} R s r2)$
 $| rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s (R2Inter\ r1\ r2) =$
 $\quad liftO2\ (\lambda\ x\ y.\ simplify\text{-reg}\ (reg\text{-intersect}\ x\ y))\ (rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r1)$
 $(rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r2)$
 $| rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s (R2Diff\ r1\ r2) = liftO2\ (\lambda\ x\ y.\ simplify\text{-reg}\ (difference\text{-reg}$
 $x\ y))\ (rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r1)\ (rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r2)$
 $| rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s (R2Comp\ r1\ r2) = liftO2\ (\lambda\ x\ y.\ simplify\text{-reg}\ (rr2\text{-compositon}$
 $\mathcal{F}\ x\ y))$
 $(rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r1)\ (rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} R s\ r2)$

lemmas $ta\text{-simp-unfold} = simplify\text{-reg-def}\ relabel\text{-reg-def}\ trim\text{-reg-def}\ relabel\text{-ta-def}\ term\text{-reg-def}$

lemma $is\text{-ta-eps-free-trim-reg}$ [intro!]:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (trim\text{-reg}\ R))$
 $\langle proof \rangle$

lemma $is\text{-ta-eps-free-relabel-reg}$ [intro!]:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (relabel\text{-reg}\ R))$
 $\langle proof \rangle$

lemma $is\text{-ta-eps-free-simplify-reg}$ [intro!]:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (simplify\text{-reg}\ R))$
 $\langle proof \rangle$

lemma $is\text{-ta-emptyI}$ [simp]:

$is\text{-ta-eps-free}\ (TA\ R\ \{\|\}) \longleftrightarrow True$
 $\langle proof \rangle$

lemma $is\text{-ta-empty-trim-reg}$:

$is\text{-ta-eps-free}\ (ta\ A) \implies eps\ (ta\ (trim\text{-reg}\ A)) = \{\|\}$
 $\langle proof \rangle$

lemma $is\text{-proj-ta-eps-empty}$:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (proj\text{-1-reg}\ R))$
 $is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (proj\text{-2-reg}\ R))$
 $\langle proof \rangle$

lemma $is\text{-pod-ta-eps-empty}$:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ L) \implies is\text{-ta-eps-free}\ (ta\ (reg\text{-intersect}$
 $R\ L))$
 $\langle proof \rangle$

lemma $is\text{-fmap-funs-reg-eps-empty}$:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (fmap\text{-funs-reg}\ f\ R))$
 $\langle proof \rangle$

lemma $is\text{-collapse-automaton-reg-eps-empty}$:

$is\text{-ta-eps-free}\ (ta\ R) \implies is\text{-ta-eps-free}\ (ta\ (collapse\text{-automaton-reg}\ R))$
 $\langle proof \rangle$

lemma *is-pair-automaton-reg-eps-empty:*

$is-ta-eps-free (ta R) \implies is-ta-eps-free (ta L) \implies is-ta-eps-free (ta (pair-automaton-reg R L))$
<proof>

lemma *is-reflcl-automaton-eps-free:*

$is-ta-eps-free A \implies is-ta-eps-free (reflcl-automaton (lift-sig-RR2 | \cdot | \mathcal{F}) A)$
<proof>

lemma *is-GTT-to-RR2-root-eps-empty:*

$is-gtt-eps-free \mathcal{G} \implies is-ta-eps-free (GTT-to-RR2-root \mathcal{G})$
<proof>

lemma *is-term-automata-eps-empty:*

$is-ta-eps-free (ta (term-reg \mathcal{F})) \longleftrightarrow True$
<proof>

lemma *is-ta-eps-free-eps-free-automata [simp]:*

$is-ta-eps-free (eps-free-automata S R) \longleftrightarrow True$
<proof>

lemma *rr2-of-rr2-rel-impl-eps-free:*

shows $\forall A. rr1-of-rr1-rel-impl \mathcal{F} Rs r1 = Some A \longrightarrow is-ta-eps-free (ta A)$
 $\forall A. rr2-of-rr2-rel-impl \mathcal{F} Rs r2 = Some A \longrightarrow is-ta-eps-free (ta A)$
<proof>

lemma *rr-of-rr-rel-impl-complete:*

$rr1-of-rr1-rel-impl \mathcal{F} Rs r1 \neq None \longleftrightarrow rr1-of-rr1-rel \mathcal{F} Rs r1 \neq None$
 $rr2-of-rr2-rel-impl \mathcal{F} Rs r2 \neq None \longleftrightarrow rr2-of-rr2-rel \mathcal{F} Rs r2 \neq None$
<proof>

lemma *Q-fmap-funs-reg [simp]:*

$Q_r (fmap-funs-reg f \mathcal{A}) = Q_r \mathcal{A}$
<proof>

lemma *ta-reachable-fmap-funs-reg [simp]:*

$ta-reachable (ta (fmap-funs-reg f \mathcal{A})) = ta-reachable (ta \mathcal{A})$
<proof>

lemma *collapse-reg-cong:*

$Q_r \mathcal{A} \mid \subseteq \mid ta-reachable (ta \mathcal{A}) \implies Q_r \mathcal{B} \mid \subseteq \mid ta-reachable (ta \mathcal{B}) \implies \mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B}$
 $\implies \mathcal{L} (collapse-automaton-reg \mathcal{A}) = \mathcal{L} (collapse-automaton-reg \mathcal{B})$
<proof>

lemma *L-fmap-funs-reg-cong:*

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} (fmap-funs-reg h \mathcal{A}) = \mathcal{L} (fmap-funs-reg h \mathcal{B})$
<proof>

lemma \mathcal{L} -pair-automaton-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} \mathcal{C} = \mathcal{L} \mathcal{D} \implies \mathcal{L} (\text{pair-automaton-reg } \mathcal{A} \mathcal{C}) = \mathcal{L} (\text{pair-automaton-reg } \mathcal{B} \mathcal{D})$
(proof)

lemma \mathcal{L} -nhole-ctxt-closure-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-ctxt-closure-reg } \mathcal{G} \mathcal{B})$
(proof)

lemma \mathcal{L} -nhole-mctxt-closure-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-mctxt-closure-reg } \mathcal{G} \mathcal{B})$
(proof)

lemma \mathcal{L} -ctxt-closure-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{ctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{ctxt-closure-reg } \mathcal{G} \mathcal{B})$
(proof)

lemma \mathcal{L} -parallel-closure-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{parallel-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{parallel-closure-reg } \mathcal{G} \mathcal{B})$
(proof)

lemma \mathcal{L} -mctxt-closure-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{mctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{mctxt-closure-reg } \mathcal{G} \mathcal{B})$
(proof)

lemma \mathcal{L} -nhole-mctxt-reflcl-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-mctxt-reflcl-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-mctxt-reflcl-reg } \mathcal{G} \mathcal{B})$
(proof)

declare equalityI[rule del]

declare fsubsetI[rule del]

lemma \mathcal{L} -proj-1-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} (\text{proj-1-reg } \mathcal{A}) = \mathcal{L} (\text{proj-1-reg } \mathcal{B})$
(proof)

lemma \mathcal{L} -proj-2-reg-cong:

$\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} (\text{proj-2-reg } \mathcal{A}) = \mathcal{L} (\text{proj-2-reg } \mathcal{B})$
(proof)

lemma rr2-of-rr2-rel-impl-sound:

assumes $\forall R \in \text{set } Rs. \text{lv-trs } (fset R) \wedge \text{ffunas-trs } R \mid \subseteq \mathcal{F}$

shows $\bigwedge A B. \text{rr1-of-rr1-rel-impl } \mathcal{F} Rs r1 = \text{Some } A \implies \text{rr1-of-rr1-rel } \mathcal{F} Rs r1 = \text{Some } B \implies \mathcal{L} A = \mathcal{L} B$

$\bigwedge A B. \text{rr2-of-rr2-rel-impl } \mathcal{F} Rs r2 = \text{Some } A \implies \text{rr2-of-rr2-rel } \mathcal{F} Rs r2 =$

Some B $\implies \mathcal{L} A = \mathcal{L} B$
 <proof>

declare *equalityI*[intro!]
declare *fsubsetI*[intro!]

lemma *rr12-of-rr12-rel-impl-correct*:

assumes $\forall R \in \text{set } Rs. \text{lv-trs } (fset R) \wedge \text{ffunas-trs } R \mid\subseteq \mathcal{F}$
shows $\forall ta1. \text{rr1-of-rr1-rel-impl } \mathcal{F} Rs r1 = \text{Some } ta1 \implies \text{RR1-spec } ta1 (\text{eval-rr1-rel } (fset \mathcal{F}) (\text{map } fset Rs) r1)$
 $\forall ta2. \text{rr2-of-rr2-rel-impl } \mathcal{F} Rs r2 = \text{Some } ta2 \implies \text{RR2-spec } ta2 (\text{eval-rr2-rel } (fset \mathcal{F}) (\text{map } fset Rs) r2)$
 <proof>

lemma *check-inference-rrn-impl-correct*:

assumes *sig*: $\mathcal{T}_G (fset \mathcal{F}) \neq \{\}$ **and** *Rs*: $\forall R \in \text{set } Rs. \text{lv-trs } (fset R) \wedge \text{ffunas-trs } R \mid\subseteq \mathcal{F}$
assumes *infs*: $\bigwedge fvA. fvA \in \text{set } infs \implies \text{formula-spec } (fset \mathcal{F}) (\text{map } fset Rs) (fst (snd fvA)) (snd (snd fvA)) (fst fvA)$
assumes *inf*: *check-inference rr1-of-rr1-rel-impl rr2-of-rr2-rel-impl* $\mathcal{F} Rs infs (l, \text{step}, fm, is) = \text{Some } (fm', vs, A')$
shows $l = \text{length } infs \wedge fm = fm' \wedge \text{formula-spec } (fset \mathcal{F}) (\text{map } fset Rs) vs A' fm'$
 <proof>

definition *check-sig-nempty where*

check-sig-nempty $\mathcal{F} = (0 \mid\subseteq \text{snd } \mathcal{F})$

definition *check-trss where*

check-trss $\mathcal{R} \mathcal{F} = \text{list-all } (\lambda R. \text{lv-trs } (fset R) \wedge \text{funas-trs } (fset R) \subseteq fset \mathcal{F}) \mathcal{R}$

lemma *check-sig-nempty*:

check-sig-nempty $\mathcal{F} \longleftrightarrow \mathcal{T}_G (fset \mathcal{F}) \neq \{\}$ (**is** $?Ls \longleftrightarrow ?Rs$)
 <proof>

lemma *check-trss*:

check-trss $\mathcal{R} \mathcal{F} \longleftrightarrow (\forall R \in \text{set } \mathcal{R}. \text{lv-trs } (fset R) \wedge \text{ffunas-trs } R \mid\subseteq \mathcal{F})$
 <proof>

fun *check-inference-list* :: $(f \times \text{nat}) fset \Rightarrow (f :: \{\text{compare}, \text{linorder}\}, 'v) \text{fin-trs list}$

$\Rightarrow (\text{nat} \times \text{ftrs inference} \times \text{ftrs formula} \times \text{info list}) \text{list}$

$\Rightarrow (\text{ftrs formula} \times \text{nat list} \times (\text{nat}, 'f \text{option list}) \text{reg}) \text{list option where}$

check-inference-list $\mathcal{F} Rs infs = \text{do } \{$

guard (*check-sig-nempty* \mathcal{F});

guard (*check-trss* $Rs \mathcal{F}$);

foldl $(\lambda \text{tas } inf. \text{do } \{$

tas' $\leftarrow \text{tas};$

r $\leftarrow \text{check-inference rr1-of-rr1-rel-impl rr2-of-rr2-rel-impl } \mathcal{F} Rs \text{tas}' inf;$

Some (*tas'* @ [*r*])

```

    })
  (Some []) infs
}

```

lemma *check-inference-list-correct*:

```

assumes check-inference-list  $\mathcal{F}$   $R_s$   $infs = \text{Some } fvAs$ 
shows  $\text{length } infs = \text{length } fvAs \wedge (\forall i < \text{length } fvAs. \text{fst } (snd (snd (infs ! i)))$ 
 $= \text{fst } (fvAs ! i)) \wedge$ 
 $(\forall i < \text{length } fvAs. \text{formula-spec } (fset \mathcal{F}) (map fset R_s) (\text{fst } (snd (fvAs ! i)))$ 
 $(snd (snd (fvAs ! i))) (\text{fst } (fvAs ! i)))$ 
 $\langle \text{proof} \rangle$ 

```

fun *check-certificate where*

```

check-certificate  $\mathcal{F}$   $R_s$   $A$   $fm$  (Certificate  $infs$   $claim$   $n$ ) = do {
  guard ( $n < \text{length } infs$ );
  guard ( $A \longleftrightarrow \text{claim} = \text{Nonempty}$ );
  guard ( $fm = \text{fst } (snd (snd (infs ! n)))$ );
   $fvA \leftarrow \text{check-inference-list } \mathcal{F} R_s (\text{take } (Suc\ n) infs)$ ;
  (let  $E = \text{reg-empty } (snd (snd (last\ fvA)))$ ) in
  case  $claim$  of Empty  $\Rightarrow$  Some  $E$ 
    | -  $\Rightarrow$  Some ( $\neg E$ )
}

```

definition *formula-unsatisfiable where*

```

formula-unsatisfiable  $\mathcal{F}$   $R_s$   $fm \longleftrightarrow (\text{formula-satisfiable } \mathcal{F} R_s fm = \text{False})$ 

```

definition *correct-certificate where*

```

correct-certificate  $\mathcal{F}$   $R_s$   $claim$   $infs$   $n \equiv$ 
 $(\text{claim} = \text{Empty} \longleftrightarrow (\text{formula-unsatisfiable } (fset \mathcal{F}) (map fset R_s) (\text{fst } (snd$ 
 $(snd (infs ! n)))))) \wedge$ 
 $\text{claim} = \text{Nonempty} \longleftrightarrow \text{formula-satisfiable } (fset \mathcal{F}) (map fset R_s) (\text{fst } (snd$ 
 $(snd (infs ! n))))$ 

```

lemma *check-certificate-sound*:

```

assumes check-certificate  $\mathcal{F}$   $R_s$   $A$   $fm$  (Certificate  $infs$   $claim$   $n$ ) = Some  $B$ 
shows  $fm = \text{fst } (snd (snd (infs ! n))) \wedge A \longleftrightarrow \text{claim} = \text{Nonempty}$ 
 $\langle \text{proof} \rangle$ 

```

lemma *check-certificate-correct*:

```

assumes check-certificate  $\mathcal{F}$   $R_s$   $A$   $fm$  (Certificate  $infs$   $claim$   $n$ ) = Some  $B$ 
shows ( $B = \text{True} \longrightarrow \text{correct-certificate } \mathcal{F} R_s \text{ claim } infs\ n$ )  $\wedge$ 
 $(B = \text{False} \longrightarrow \text{correct-certificate } \mathcal{F} R_s (\text{case-claim } \text{Nonempty } \text{Empty } \text{claim})$ 
 $infs\ n)$ 
 $\langle \text{proof} \rangle$ 

```

definition *check-certificate-string ::*

```

(integer list  $\times$   $fvar$ )  $fset \Rightarrow$ 
 $((integer\ list, integer\ list) \text{Term.term} \times (integer\ list, integer\ list) \text{Term.term})$ 

```

```

fset list ⇒
  bool ⇒ ftrs formula ⇒ ftrs certificate ⇒ bool option
where check-certificate-string = check-certificate

```

```

export-code check-certificate-string Var Fun fset-of-list nat-of-integer Certificate
  R2GTT-Rel R2Eq R2Reflc R2Step R2StepEq R2Steps R2StepsEq R2StepsNF
R2ParStep R2RootStep
  R2RootStepEq R2RootSteps R2RootStepsEq R2NonRootStep R2NonRootStepEq
R2NonRootSteps
  R2NonRootStepsEq R2Meet R2Join
ARoot GSteps PRoot ESingle Empty Size EDistribAndOr
R1Terms R1Fin
FRR1 FRestrict FTrue FFalse
IRR1 Fwd in Haskell module-name FOR

```

```

end

```

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