### Enriched Category Basics

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#### Abstract

The notion of an enriched category generalizes the concept of category by replacing the hom-sets of an ordinary category by objects of an arbitrary monoidal category. In this article we give a formal definition of enriched categories and we give formal proofs of a relatively narrow selection of facts about them. One of the main results is a proof that a closed monoidal category can be regarded as a category "enriched in itself". The other main result is a proof of a version of the Yoneda Lemma for enriched categories.

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### Introduction

The notion of an enriched category [1] generalizes the concept of category by replacing the hom-sets of an ordinary category by objects of an arbitrary monoidal category  $\mathcal{V}$ . The choice, for each object a, of a distinguished element  $id\ a:a\to a$  as an identity, is replaced by an arrow  $Id\ a:\mathcal{I}\to Hom\ a\ a$  of  $\mathcal{V}$ . The composition operation is similarly replaced by a family of arrows  $Comp\ a\ b\ c:Hom\ B\ C\otimes Hom\ A\ B\to Hom\ A\ C$  of  $\mathcal{V}$ . The identity and composition are required to satisfy unit and associativity laws which are expressed as commutative diagrams in  $\mathcal{V}$ . Of particular interest is the case in which  $\mathcal{V}$  is symmetric monoidal and closed; in that case, as Kelly states ([1], Section 1.6): "The structure of  $\mathcal{V}$ -CAT then becomes rich enough to permit of Yoneda-lemma arguments formally identical with those in CAT."

The goal of this article is to formalize the basic definition of enriched category and some related notions, and to prove a relatively narrow selection of facts about these definitions. For reference and inspiration, we follow the early sections of the book by Kelly [1]; however a comprehensive formalization of the material in that book is explicitly not our objective here. Rather, beyond the basic definitions we are primarily interested in the following two results: (1) that a closed monoidal category can be regarded as a category "enriched in itself"; and (2) the Yoneda Lemma for enriched categories (specifically, the weak form considered in Section 1.9 of [1]). We needed the basic definitions and result (1) for use in a separate article [4]. Although this material could have been included as part of that other article, as it is general material that does not depend on the specific application considered there, it seemed best to present it as a stand-alone development that would be more readily accessible for use by others. As far as result (2) is concerned, we originally formalized and proved it as part of our exploration leading up to [4]. Ultimately, we did not find result (2) to be necessary for the satisfactory development of that work, but as it is a result of general interest whose formalization did involve some struggle to achieve, it seems worthwhile to include it here.

This article is organized as follows: In Chapter 1 we give formal definitions for the notions "closed monoidal category" and "cartesian closed monoidal category" and prove some facts about them. This builds on the

formal development of the theory of monoidal categories in our previous article [3]. The main goals of this section are to prove some general facts about exponentials that are used in [4], and to do most of the preliminary work (the parts that do not specifically depend on the definition of enriched category) involved in showing that a closed monoidal category is "enriched in itself". In Chapter 2 we give definitions for "enriched category" and the related notions "enriched functor," "enriched natural transformation," and "underlying category," and we complete the formal statement and proof of "self-enrichment." We then continue with the definition of the opposite of an enriched category, give definitions for the notions of covariant and contravariant enriched hom functors, and prove corresponding covariant and contravariant versions of the Yoneda Lemma.

### Chapter 1

# **Closed Monoidal Categories**

A closed monoidal category is a monoidal category such that for every object b, the functor  $-\otimes b$  is a left adjoint functor. A right adjoint to this functor takes each object c to the exponential exp b c. The adjunction yields a natural bijection between  $hom~(-\otimes b)~c$  and hom~-(exp~b~c). In enriched category theory, the notion of "hom-set" from classical category theory is generalized to that of "hom-object" in a monoidal category. When the monoidal category in question is closed, much of the theory of set-based categories can be reproduced in the more general enriched setting. The main purpose of this section is to prepare the way for such a development; in particular we do the main work required to show that a closed monoidal category is "enriched in itself."

 ${\bf theory}\ Closed Monoidal Category \\ {\bf imports}\ Monoidal Category. Cartesian Monoidal Category \\ {\bf begin}$ 

#### 1.1 Definition and Basic Facts

As is pointed out in [2], unless symmetry is assumed as part of the definition, there are in fact two notions of closed monoidal category: *left*-closed monoidal category and *right*-closed monoidal category. Here we define versions with and without symmetry, so that we can identify the places where symmetry is actually required.

Similarly to what we have done in previous work, besides the definition of closed-monoidal-category, which adds an assumed property to monoidal-category

but not any additional structure, we find it convenient also to define *elementary-closed-monoidal-category*, which assumes particular exponential structure to have been chosen, and uses this given structure to express the properties of a closed monoidal category in a more elementary way.

```
locale elementary-closed-monoidal-category =
  monoidal-category +
fixes exp :: 'a \Rightarrow 'a \Rightarrow 'a
and eval :: 'a \Rightarrow 'a \Rightarrow 'a
and Curry :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a
assumes eval-in-hom-ax: \llbracket ide\ b; ide\ c\ \rrbracket \Longrightarrow \&eval\ b\ c: exp\ b\ c\otimes b\to c >
and ide-exp [intro, simp]: [ide b; ide c] \implies ide (exp b c)
and Curry-in-hom-ax: \llbracket ide\ a;\ ide\ b;\ ide\ c;\ \langle g:a\otimes b\to c\rangle \rrbracket
                          \implies «Curry a \ b \ c \ g : a \rightarrow exp \ b \ c»
and Uncurry-Curry: \llbracket ide\ a;\ ide\ b;\ ide\ c;\ \langle g:a\otimes b\to c\rangle \rrbracket
                          \implies eval b c \cdot (Curry\ a\ b\ c\ g \otimes b) = g
and Curry-Uncurry: \llbracket ide\ a;\ ide\ b;\ ide\ c;\ \&h:a\rightarrow exp\ b\ c \& \rrbracket
                          \implies Curry\ a\ b\ c\ (eval\ b\ c\cdot (h\otimes b))=h
locale\ elementary-closed-symmetric-monoidal-category=
  symmetric-monoidal-category +
  elementary-closed-monoidal-category
begin
  sublocale elementary-symmetric-monoidal-category
               C tensor \mathcal{I} lunit runit assoc sym
    \langle proof \rangle
end
```

We now show that, except for the fact that a particular choice of structure has been made, closed monoidal categories and elementary closed monoidal categories amount to the same thing.

#### 1.1.1 An ECMC is a CMC

```
lemma Curry-simps [simp]:
assumes ide a and ide b and \langle g : a \otimes b \rightarrow c \rangle
shows arr (Curry[a, b, c] g)
and dom (Curry[a, b, c] g) = a
and cod\ (Curry[a, b, c]\ g) = exp\ b\ c
  \langle proof \rangle
lemma eval-in-hom_{ECMC} [intro]:
assumes ide b and ide c and x = exp \ b \ c \otimes b
shows «eval b c: x \rightarrow c»
  \langle proof \rangle
lemma eval-simps [simp]:
assumes ide \ b and ide \ c
shows arr (eval b c) and dom (eval b c) = exp b c \otimes b and cod (eval b c) = c
  \langle proof \rangle
lemma Uncurry-in-hom [intro]:
assumes ide b and ide c and \langle f: a \rightarrow exp \ b \ c \rangle and x = a \otimes b
shows « Uncurry[b, c] f : x \rightarrow c»
  \langle proof \rangle
lemma Uncurry-simps [simp]:
assumes ide\ b and ide\ c and \langle f: a \rightarrow exp\ b\ c \rangle
shows arr (Uncurry[b, c] f)
and dom (Uncurry[b, c] f) = a \otimes b
and cod\ (Uncurry[b, c]\ f) = c
  \langle proof \rangle
lemma Uncurry-exp:
assumes ide \ a and ide \ b
shows Uncurry[a, b] (exp \ a \ b) = eval \ a \ b
  \langle proof \rangle
lemma comp-Curry-arr:
assumes ide\ b and \langle f: x \rightarrow a \rangle and \langle g: a \otimes b \rightarrow c \rangle
shows Curry[a, b, c] g \cdot f = Curry[x, b, c] (g \cdot (f \otimes b))
\langle proof \rangle
lemma terminal-arrow-from-functor-eval:
assumes ide \ b and ide \ c
shows terminal-arrow-from-functor C C (\lambda x. T (x, b)) (exp \ b \ c) c (eval \ b \ c)
\langle proof \rangle
\mathbf{lemma}\ \textit{is-closed-monoidal-category}:
shows closed-monoidal-category C T \alpha \iota
  \langle proof \rangle
\mathbf{lemma}\ retraction\text{-}eval\text{-}ide\text{-}self\text{:}
```

end

#### 1.1.2 A CMC Extends to an ECMC

context closed-monoidal-category
begin

```
lemma has-exponentials:
assumes ide \ b and ide \ c
shows \exists x \ e. \ ide \ x \land «e : x \otimes b \rightarrow c» \land
                 (\forall a \ g. \ ide \ a \ \land)
                           \langle g: a \otimes b \rightarrow c \rangle \longrightarrow (\exists ! f. \langle f: a \rightarrow x \rangle \land g = e \cdot (f \otimes b))
\langle proof \rangle
definition some\text{-}exp (exp?)
where exp? b \ c \equiv SOME \ x. ide \ x \land
                                     (\exists e. \ \langle e: x \otimes b \rightarrow c \rangle \land)
                                        (\forall a \ g. \ ide \ a \land \langle g: a \otimes b \rightarrow c \rangle)
                                                   \longrightarrow (\exists ! f. \ \langle f : a \rightarrow x \rangle \land g = e \cdot (f \otimes b)))
definition some-eval (eval?)
where eval? b \ c \equiv SOME \ e. \ \langle e : exp? \ b \ c \otimes b \rightarrow c \rangle \wedge
                                      (\forall a \ g. \ ide \ a \land \langle g: a \otimes b \rightarrow c \rangle)
                                                 \longrightarrow (\exists ! f. \ \langle f : a \rightarrow exp? \ b \ c \rangle \land g = e \cdot (f \otimes b)))
definition some-Curry (Curry?[-, -, -])
where Curry^{?}[a, b, c] g \equiv
          THE f. «f: a \rightarrow exp? b c » \land g = eval? b c \cdot (f \otimes b)
abbreviation some-Uncurry (Uncurry?[-, -])
where Uncurry^{?}[b, c] f \equiv eval^{?} b c \cdot (f \otimes b)
lemma Curry-uniqueness:
assumes ide b and ide c
```

```
shows ide (exp? b c) and «eval? b c : exp? b c \otimes b \rightarrow c»
 and \llbracket ide \ a; \langle \langle g : a \otimes b \rightarrow c \rangle \rrbracket \rrbracket
            \implies \exists \, !f. \,\, \textit{``f} : \, a \, \rightarrow \, \exp^? \bar{\ } b \,\, c \textit{``} \, \wedge \, g \, = \, \mathit{Uncurry}? [b, \, c] \,\, f
 lemma some-eval-in-hom [intro]:
 assumes ide b and ide c and x = exp^2 b c \otimes b
 shows \langle eval^? \ b \ c : x \rightarrow c \rangle
    \langle proof \rangle
 lemma some-Uncurry-some-Curry:
 assumes ide a and ide b and \langle g : a \otimes b \rightarrow c \rangle
 shows «Curry?[a, b, c] g : a \rightarrow exp?b c»
 and Uncurry^{?}[b, c] (Curry^{?}[a, b, c] g) = g
  \langle proof \rangle
 lemma some-Curry-some-Uncurry:
 assumes ide b and ide c and \langle h : a \rightarrow exp^? b c \rangle
 shows Curry^{?}[a, b, c] (Uncurry^{?}[b, c] h) = h
  \langle proof \rangle
 lemma extends-to-elementary-closed-monoidal-category_{CMC}:
 shows elementary-closed-monoidal-category
            C\ T\ \alpha\ \iota\ some\text{-}exp\ some\text{-}eval\ some\text{-}Curry
    \langle proof \rangle
end
context closed-symmetric-monoidal-category
 lemma extends-to-elementary-closed-symmetric-monoidal-category_{CMC}:
 shows elementary-closed-symmetric-monoidal-category
            C\ T\ \alpha\ \iota\ \sigma\ some\text{-}exp\ some\text{-}eval\ some\text{-}Curry
    \langle proof \rangle
```

#### 1.2 Internal Hom Functors

end

For each object x of a closed monoidal category C, we can define a covariant endofunctor  $Exp^{\to} x - \text{of } C$ , which takes each arrow g to an arrow  $(Exp^{\to} x \ g : exp \ x \ (dom \ g) \to exp \ x \ (cod \ g)$ ». Similarly, for each object y, we can define a contravariant endofunctor  $Exp^{\leftarrow} - y$  of C, which takes each arrow f of  $C^{op}$  to an arrow  $(Exp^{\leftarrow} f \ y : exp \ (cod \ f) \ y \to exp \ (dom \ f) \ y)$  of C. These two endofunctors commute with each other and compose to form a single binary "internal hom" functor Exp from  $C^{op} \times C$  to C.

```
begin
  abbreviation cov\text{-}Exp (Exp^{\rightarrow})
  where Exp^{\rightarrow} x g \equiv if arr g
                         then Curry[exp \ x \ (dom \ g), \ x, \ cod \ g] \ (g \cdot eval \ x \ (dom \ g))
                         else null
  abbreviation cnt-Exp (Exp^{\leftarrow})
  where Exp^{\leftarrow} f y \equiv if arr f
                         then Curry[exp\ (cod\ f)\ y,\ dom\ f,\ y]
                                  (eval\ (cod\ f)\ y\cdot (exp\ (cod\ f)\ y\otimes f))
  lemma cov-Exp-in-hom:
  assumes ide x and arr q
  shows \&Exp^{\rightarrow} x \ g : exp \ x \ (dom \ g) \rightarrow exp \ x \ (cod \ g) >
    \langle proof \rangle
  lemma cnt-Exp-in-hom:
  assumes arr f and ide y
  shows \&Exp \leftarrow f \ y : exp \ (cod \ f) \ y \rightarrow exp \ (dom \ f) \ y >
    \langle proof \rangle
  lemma cov-Exp-ide:
  assumes ide \ a and ide \ b
  shows Exp^{\rightarrow} a b = exp a b
    \langle proof \rangle
  \mathbf{lemma} \ \mathit{cnt-Exp-ide} \colon
  assumes ide \ a and ide \ b
  \mathbf{shows} \ \mathit{Exp}^{\leftarrow} \ \mathit{a} \ \mathit{b} = \mathit{exp} \ \mathit{a} \ \mathit{b}
    \langle proof \rangle
  \mathbf{lemma}\ \mathit{cov-Exp-comp} \colon
  assumes ide \ x and seq \ g \ f
  shows Exp^{\rightarrow} x (g \cdot f) = Exp^{\rightarrow} x g \cdot Exp^{\rightarrow} x f
  \langle proof \rangle
  lemma cnt-Exp-comp:
  assumes seq g f and ide y
  shows Exp^{\leftarrow} (g \cdot f) \ y = Exp^{\leftarrow} f \ y \cdot Exp^{\leftarrow} \ g \ y
  \langle proof \rangle
  lemma functor-cov-Exp:
  assumes ide x
  shows functor C C (Exp^{\rightarrow} x)
    \langle proof \rangle
```

context elementary-closed-monoidal-category

```
interpretation Cop: dual-category C \langle proof \rangle
\mathbf{lemma}\ \mathit{functor\text{-}cnt\text{-}Exp}\colon
assumes ide x
shows functor Cop.comp C (\lambda f. Exp \leftarrow f x)
  \langle proof \rangle
lemma cov-cnt-Exp-commute:
assumes arr f and arr g
shows Exp^{\rightarrow} (dom \ f) \ g \cdot Exp^{\leftarrow} \ f (dom \ g) =
        Exp^{\leftarrow} f \ (cod \ g) \cdot Exp^{\rightarrow} \ (cod \ f) \ g
\langle proof \rangle
definition Exp
where Exp f g \equiv Exp^{\rightarrow} (dom f) g \cdot Exp^{\leftarrow} f (dom g)
lemma Exp-in-hom:
assumes arr f and arr g
shows «Exp \ f \ g : Exp \ (cod \ f) \ (dom \ g) \rightarrow Exp \ (dom \ f) \ (cod \ g)»
  \langle proof \rangle
lemma Exp-ide:
assumes ide \ a and ide \ b
shows Exp \ a \ b = exp \ a \ b
  \langle proof \rangle
lemma Exp-comp:
assumes seq g f and seq k h
shows Exp (g \cdot f) (k \cdot h) = Exp f k \cdot Exp g h
\langle proof \rangle
interpretation CopxC: product-category Cop.comp C \langle proof \rangle
lemma functor-Exp:
shows binary-functor Cop.comp C C (\lambda fg. Exp (fst fg) (snd fg))
  \langle proof \rangle
lemma Exp-x-ide:
assumes ide y
shows (\lambda x. \ Exp \ x \ y) = (\lambda x. \ Exp \leftarrow x \ y)
  \langle proof \rangle
lemma Exp-ide-y:
assumes ide x
shows (\lambda y. \ Exp \ x \ y) = (\lambda y. \ Exp^{\rightarrow} \ x \ y)
  \langle proof \rangle
\mathbf{lemma}\ \mathit{Uncurry-Exp-dom} \colon
assumes arr f
```

```
shows Uncurry (dom \ f) \ (cod \ f) \ (Exp \ (dom \ f) \ f) = f \cdot eval \ (dom \ f) \ (dom \ f) \langle proof \rangle
```

#### 1.2.1 Exponentiation by Unity

In this section we define and develop the properties of inverse arrows  $Up\ a$ :  $a \to exp\ \mathcal{I}\ a$  and  $Dn\ a$ :  $exp\ \mathcal{I}\ a \to a$ , which exist in any closed monoidal category.

**interpretation** elementary-monoidal-category C tensor unity lunit runit assoc  $\langle proof \rangle$ 

```
abbreviation Up
where Up \ a \equiv Curry[a, \mathcal{I}, a] \ r[a]
abbreviation Dn
where Dn \ a \equiv eval \ \mathcal{I} \ a \cdot r^{-1}[exp \ \mathcal{I} \ a]
{f lemma}\ isomorphic-exp-unity:
assumes ide a
\mathbf{shows} \, \, \langle \mathit{Up} \, \, a : a \, \rightarrow \, \mathit{exp} \, \, \mathcal{I} \, \, a \rangle \,
and «Dn \ a : exp \ \mathcal{I} \ a \rightarrow a»
and inverse-arrows (Up a) (Dn a)
and isomorphic (exp \mathcal{I} a) a
\langle proof \rangle
 The maps Up and Dn are natural in a.
lemma Up-Dn-naturality:
assumes arr f
shows Exp^{\rightarrow} \mathcal{I} f \cdot Up \ (dom \ f) = Up \ (cod \ f) \cdot f
and Dn \ (cod \ f) \cdot Exp^{\rightarrow} \ \mathcal{I} \ f = f \cdot Dn \ (dom \ f)
\langle proof \rangle
```

#### 1.2.2 Internal Currying

**lemma** internal-curry:

Currying internalizes to an isomorphism between  $exp\ (x \otimes a)\ b$  and  $exp\ x$   $(exp\ a\ b)$ .

```
abbreviation curry where curry x b c \equiv
Curry[exp (x \otimes b) c, x, exp b c]
(Curry[exp (x \otimes b) c \otimes x, b, c]
(eval (x \otimes b) c \cdot a[exp (x \otimes b) c, x, b]))
abbreviation uncurry where uncurry x b c \equiv
Curry[exp x (exp b c), x \otimes b, c]
(eval b c \cdot (eval x (exp b c) \otimes b) \cdot a^{-1}[exp x (exp b c), x, b])
```

```
assumes ide x and ide a and ide b shows «curry x a b : exp (x \otimes a) b \to exp x (exp a b) > and «uncurry <math>x a b : exp x (exp a b) \to exp (x \otimes a) b > and inverse-arrows (curry <math>x a b) (uncurry <math>x a b) \langle proof \rangle
```

Internal currying and uncurrying are the components of natural isomorphisms between the contravariant functors  $Exp^{\leftarrow}$  (-  $\otimes$  b) c and  $Exp^{\leftarrow}$  - (exp b c).

```
lemma uncurry-naturality:
assumes ide \ b and ide \ c and Cop.arr \ f
\mathbf{shows} \ \mathit{uncurry} \ (\mathit{Cop.cod} \ f) \ \mathit{b} \ \mathit{c} \cdot \mathit{Exp} \vdash \mathit{f} \ (\mathit{exp} \ \mathit{b} \ \mathit{c}) =
        Curry[exp\ (Cop.dom\ f)\ (exp\ b\ c),\ Cop.cod\ f\ \otimes\ b,\ c]
           (eval (Cop.dom f \otimes b) c \cdot (uncurry (Cop.dom f) b c \otimes f \otimes b))
and Exp^{\leftarrow} (f \otimes b) c \cdot uncurry (Cop.dom f) b c =
      Curry[exp\ (Cop.dom\ f)\ (exp\ b\ c),\ Cop.cod\ f\ \otimes\ b,\ c]
              (eval\ (Cop.dom\ f\otimes b)\ c\cdot (uncurry\ (Cop.dom\ f)\ b\ c\otimes f\otimes b))
and uncurry (Cop.cod f) b c \cdot Exp^{\leftarrow} f (exp b c) =
      Exp \leftarrow (f \otimes b) \ c \cdot uncurry \ (Cop.dom \ f) \ b \ c
\langle proof \rangle
lemma natural-isomorphism-uncurry:
assumes ide \ b and ide \ c
shows natural-isomorphism Cop.comp C
           (\lambda x. \ Exp \leftarrow x \ (exp \ b \ c)) \ (\lambda x. \ Exp \leftarrow (x \otimes b) \ c)
           (\lambda f. \ uncurry \ (Cop.cod \ f) \ b \ c \cdot Exp \leftarrow f \ (exp \ b \ c))
\langle proof \rangle
lemma natural-isomorphism-curry:
assumes ide b and ide c
shows natural-isomorphism Cop.comp C
           (\lambda x. \ Exp^{\leftarrow} \ (x \otimes b) \ c) \ (\lambda x. \ Exp^{\leftarrow} \ x \ (exp \ b \ c))
           (\lambda f. \ curry \ (Cop.cod \ f) \ b \ c \cdot Exp^{\leftarrow} \ (f \otimes b) \ c)
\langle proof \rangle
```

#### 1.2.3 Yoneda Embedding

The internal hom provides a closed monoidal category C with a "Yoneda embedding", which is a mapping that takes each arrow g of C to a natural transformation from the contravariant functor  $Exp^{\leftarrow}$  -  $(dom\ g)$  to the contravariant functor  $Exp^{\leftarrow}$  -  $(cod\ g)$ . Note that here the target category is C itself, not a category of sets and functions as in the classical case. Note also that we are talking here about ordinary functors and natural transformations. We can easily prove from general considerations that the Yoneda embedding (so-defined) is faithful. However, to obtain a fullness result requires the development of a certain amount of enriched category theory, which we do elsewhere.

**lemma** yoneda-embedding:

```
assumes \langle g: a \rightarrow b \rangle

shows natural-transformation Cop.comp C

(\lambda x. \ Exp \leftarrow x \ a) \ (\lambda x. \ Exp \leftarrow x \ b) \ (\lambda x. \ Exp \ x \ g)

and Uncurry[a, b] \ (Exp \ a \ g \cdot Curry[\mathcal{I}, \ a, \ a] \ l[a]) \cdot l^{-1}[a] = g

\langle proof \rangle

lemma yoneda-embedding-is-faithful:

assumes par \ g \ g' and (\lambda x. \ Exp \ x \ g) = (\lambda x. \ Exp \ x \ g')

shows g = g'

\langle proof \rangle
```

The following is a version of the key fact underlying the classical Yoneda Lemma: for any natural transformation  $\tau$  from  $Exp^{\leftarrow}$  - a to  $Exp^{\leftarrow}$  - b, there is a fixed arrow  $g: a \to b$ , depending only on the single component  $\tau$  a, such that the compositions  $\tau$   $x \cdot e$  of an arbitrary component  $\tau$  x with arbitrary global elements  $e: \mathcal{I} \to exp \ x \ a$  depend on  $\tau$  only via g, and hence only via  $\tau$  a.

```
lemma hom-transformation-expansion: assumes natural-transformation  \begin{array}{c} Cop.comp \ C \ (\lambda x. \ Exp^{\leftarrow} \ x \ a) \ (\lambda x. \ Exp^{\leftarrow} \ x \ b) \ \tau \\ \text{and } ide \ a \ \text{and } ide \ b \\ \text{shows} \ « Uncurry[a, b] \ (\tau \ a \cdot Curry[\mathcal{I}, \ a, \ a] \ l[a]) \cdot l^{-1}[a] : a \rightarrow b \text{``} \\ \text{and } \bigwedge x \ e. \ [ide \ x; \ «e : \mathcal{I} \rightarrow exp \ x \ a \text{``}] \Longrightarrow \\ \tau \ x \cdot e = Exp \ x \ (Uncurry[a, b] \ (\tau \ a \cdot Curry[\mathcal{I}, \ a, \ a] \ l[a]) \cdot l^{-1}[a]) \cdot e \\ \langle proof \rangle \end{array}
```

#### 1.3 Enriched Structure

In this section we do the main work involved in showing that a closed monoidal category is "enriched in itself". For this, we need to define, for each object a, an arrow  $Id\ a: \mathcal{I} \to exp\ a\ a$  to serve as the "identity at a", and for every three objects a, b, and c, a "compositor"  $Comp\ a\ b\ c: exp\ b\ c\otimes exp\ a\ b\to exp\ a\ c$ . We also need to prove that these satisfy the appropriate unit and associativity laws. Although essentially all the work is done here, the statement and proof of the the final result is deferred to a separate theory EnrichedCategory so that a mutual dependence between that theory and the present one is not introduced.

**interpretation** elementary-monoidal-category C tensor unity lunit runit assoc  $\langle proof \rangle$ 

```
definition Id
where Id \ a \equiv Curry[\mathcal{I}, \ a, \ a] \ l[a]
lemma Id\text{-}in\text{-}hom \ [intro]:
assumes ide \ a
shows \ll Id \ a : \mathcal{I} \rightarrow exp \ a \ a \gg \langle proof \rangle
```

```
lemma Id-simps [simp]:
  assumes ide a
  shows arr (Id a)
  and dom (Id a) = \mathcal{I}
  and cod (Id \ a) = exp \ a \ a
    \langle proof \rangle
  The next definition follows Kelly [1], section 1.6.
  definition Comp
  where Comp \ a \ b \ c \equiv
         Curry[exp \ b \ c \otimes exp \ a \ b, \ a, \ c]
           (eval\ b\ c\cdot (exp\ b\ c\otimes eval\ a\ b)\cdot a[exp\ b\ c,\ exp\ a\ b,\ a])
  lemma Comp-in-hom [intro]:
  assumes ide \ a and ide \ b and ide \ c
  shows «Comp a b c : exp b c \otimes exp a b \rightarrow exp a c»
    \langle proof \rangle
  lemma Comp-simps [simp]:
  assumes ide a and ide b and ide c
  shows arr (Comp a b c)
  and dom (Comp \ a \ b \ c) = exp \ b \ c \otimes exp \ a \ b
  and cod (Comp \ a \ b \ c) = exp \ a \ c
    \langle proof \rangle
  {f lemma} Comp-unit-right:
  assumes ide \ a and ide \ b and ide \ c
  shows «Comp a a b \cdot (exp \ a \ b \otimes Id \ a) : exp \ a \ b \otimes \mathcal{I} \rightarrow exp \ a \ b»
  and Comp a a b \cdot (exp \ a \ b \otimes Id \ a) = r[exp \ a \ b]
  \langle proof \rangle
  lemma Comp-unit-left:
  assumes ide \ a and ide \ b and ide \ c
  shows « Comp a b b · (Id b \otimes exp a b) : \mathcal{I} \otimes exp a b \rightarrow exp a b»
  and Comp \ a \ b \ b \cdot (Id \ b \otimes exp \ a \ b) = \mathbb{I}[exp \ a \ b]
  \langle proof \rangle
 lemma Comp-assoc_{ECMC}:
  assumes ide a and ide b and ide c and ide d
  shows «Comp a b d · (Comp b c d \otimes exp a b) :
            (exp \ c \ d \otimes exp \ b \ c) \otimes exp \ a \ b \rightarrow exp \ a \ d»
  and Comp \ a \ b \ d \cdot (Comp \ b \ c \ d \otimes exp \ a \ b) =
       Comp a \ c \ d \cdot (exp \ c \ d \otimes Comp \ a \ b \ c) \cdot a[exp \ c \ d, exp \ b \ c, exp \ a \ b]
  \langle proof \rangle
end
```

end

#### 1.4 Cartesian Closed Monoidal Categories

A cartesian closed monoidal category is a cartesian monoidal category that is a closed monoidal category with respect to a chosen product. This is not quite the same thing as a cartesian closed category, because a cartesian monoidal category (being a monoidal category) has chosen structure (the tensor, associators, and unitors), whereas we have defined a cartesian closed category to be an abstract category satisfying certain properties that are expressed without assuming any chosen structure.

```
{\bf theory} \ {\it Cartesian Closed Monoidal Category}
imports Category 3. Cartesian Closed Category Monoidal Category. Cartesian Monoidal Category
       Closed Monoidal Category
begin
 locale cartesian-closed-monoidal-category =
   cartesian-monoidal-category +
   closed-monoidal-category
 locale elementary-cartesian-closed-monoidal-category = 1
   cartesian-monoidal-category +
   elementary-closed-monoidal-category
 begin
   lemmas prod-eq-tensor [simp]
 end
    The following is the main purpose for the current theory: to show that
a cartesian closed category with chosen structure determines a cartesian
closed monoidal category.
 context elementary-cartesian-closed-category
 begin
   interpretation CMC: cartesian-monoidal-category C Prod \alpha \iota
     \langle proof \rangle
   interpretation CMC: closed-monoidal-category C Prod \alpha \iota
   lemma extends-to-closed-monoidal-category_{ECCC}:
   shows closed-monoidal-category C Prod \alpha \iota
     \langle proof \rangle
   \mathbf{lemma}\ extends-to-cartesian-closed-monoidal-category_{ECCC}:
   shows cartesian-closed-monoidal-category C Prod \alpha \iota
     \langle proof \rangle
   interpretation CMC: elementary-monoidal-category
```

```
C\ CMC.tensor\ CMC.unity\ CMC.lunit\ CMC.runit\ CMC.assoc \langle proof \rangle \mathbf{interpretation}\ CMC:\ elementary\text{-}closed\text{-}monoidal\text{-}category C\ Prod\ \alpha\ \iota\ exp\ eval\ curry
```

lemma extends-to-elementary-closed-monoidal-category $_{ECCC}$ : shows elementary-closed-monoidal-category C  $Prod \alpha \iota$  exp eval  $curry \langle proof \rangle$ 

lemma extends-to-elementary-cartesian-closed-monoidal-category ECCC: shows elementary-cartesian-closed-monoidal-category C  $Prod \alpha \iota$  exp eval curry  $\langle proof \rangle$ 

end

 $\langle proof \rangle$ 

context elementary-cartesian-closed-monoidal-category
begin

 $\begin{tabular}{ll} \textbf{interpretation} & elementary-monoidal-category $C$ tensor unity lunit runit assoc \\ & \langle proof \rangle \end{tabular}$ 

The following fact is not used in the present article, but it is a natural and likely useful lemma for which I constructed a proof at one point. The proof requires cartesianness; I suspect this is essential, but I am not absolutely certain of it.

```
{f lemma}\ isomorphic\mbox{-}exp\mbox{-}prod:
assumes ide \ a and ide \ b and ide \ x
shows \langle\langle Curry[exp\ x\ (a\otimes b),\ x,\ a]\ (\mathfrak{p}_1[a,\ b]\cdot eval\ x\ (a\otimes b)),
                Curry[exp \ x \ (a \otimes b), \ x, \ b] \ (\mathfrak{p}_0[a, \ b] \cdot eval \ x \ (a \otimes b))\rangle
                  : exp \ x \ (a \otimes b) \rightarrow exp \ x \ a \otimes exp \ x \ b
       (is \langle\langle ?C, ?D \rangle\rangle: exp \ x \ (a \otimes b) \rightarrow exp \ x \ a \otimes exp \ x \ b \rangle)
and «Curry[exp \ x \ a \otimes exp \ x \ b, \ x, \ a \otimes b]
                   \langle eval \ x \ a \cdot \langle \mathfrak{p}_1[exp \ x \ a, \ exp \ x \ b] \cdot \mathfrak{p}_1[exp \ x \ a \otimes exp \ x \ b, \ x],
                                       \mathfrak{p}_0[\exp x \ a \otimes \exp x \ b, \ x]\rangle,
                    eval \ x \ b \cdot \langle \mathfrak{p}_0[exp \ x \ a, \ exp \ x \ b] \cdot \mathfrak{p}_1[exp \ x \ a \otimes exp \ x \ b, \ x],
                                      \mathfrak{p}_0[\exp x \ a \otimes \exp x \ b, \ x]\rangle\rangle
               : \mathit{exp} \ \mathit{x} \ \mathit{a} \ \otimes \ \mathit{exp} \ \mathit{x} \ \mathit{b} \ \rightarrow \ \mathit{exp} \ \mathit{x} \ (\mathit{a} \ \otimes \ \mathit{b}) \text{"}
       (is \langle Curry[exp \ x \ a \otimes exp \ x \ b, \ x, \ a \otimes b] \ \langle ?A, \ ?B \rangle
                    : exp \ x \ a \otimes exp \ x \ b \rightarrow exp \ x \ (a \otimes b)»)
and inverse-arrows
            (Curry[exp \ x \ a \otimes exp \ x \ b, \ x, \ a \otimes b]
                 \langle eval \ x \ a \cdot \langle \mathfrak{p}_1[exp \ x \ a, \ exp \ x \ b] \cdot \mathfrak{p}_1[exp \ x \ a \otimes exp \ x \ b, \ x],
                                     \mathfrak{p}_0[exp\ x\ a\ \otimes\ exp\ x\ b,\ x]\rangle,
                   eval \ x \ b \cdot \langle \mathfrak{p}_0[exp \ x \ a, \ exp \ x \ b] \cdot \mathfrak{p}_1[exp \ x \ a \otimes exp \ x \ b, \ x],
                                     \mathfrak{p}_0[exp\ x\ a\ \otimes\ exp\ x\ b,\ x]\rangle\rangle)
            \langle Curry[exp \ x \ (a \otimes b), \ x, \ a] \ (\mathfrak{p}_1[a, \ b] \cdot eval \ x \ (a \otimes b)),
              Curry[exp \ x \ (a \otimes b), \ x, \ b] \ (\mathfrak{p}_0[a, \ b] \cdot eval \ x \ (a \otimes b))\rangle
```

```
\begin{array}{c} \textbf{and} \ isomorphic \ (exp \ x \ (a \otimes b)) \ (exp \ x \ a \otimes exp \ x \ b) \\ \langle proof \rangle \\ \\ \textbf{end} \\ \\ \textbf{end} \end{array}
```

## Chapter 2

theory EnrichedCategory

# **Enriched Categories**

The notion of an enriched category [1] generalizes the concept of category by replacing the hom-sets of an ordinary category by objects of an arbitrary monoidal category M. The choice, for each object a, of a distinguished element  $id\ a:a\to a$  as an identity, is replaced by an arrow  $Id\ a:\mathcal{I}\to Hom\ a\ a$  of M. The composition operation is similarly replaced by a family of arrows  $Comp\ a\ b\ c:Hom\ B\ C\otimes Hom\ A\ B\to Hom\ A\ C$  of M. The identity and composition are required to satisfy unit and associativity laws which are expressed as commutative diagrams in M.

```
\begin{array}{l} \textbf{imports} \ \textit{ClosedMonoidalCategory} \\ \textbf{begin} \\ \\ \textbf{abbreviation} \ \iota' \ (\iota^{-1}) \\ \textbf{where} \ \iota' \equiv inv \ \iota \\ \\ \textbf{end} \\ \\ \textbf{context} \ elementary\text{-}symmetric\text{-}monoidal\text{-}category} \\ \textbf{begin} \\ \\ \textbf{lemma} \ sym\text{-}unit\text{:} \\ \textbf{shows} \ \iota \cdot \textbf{s}[\mathcal{I}, \ \mathcal{I}] = \iota \\ \langle proof \rangle \\ \\ \textbf{lemma} \ sym\text{-}inv\text{-}unit\text{:} \\ \textbf{shows} \ \textbf{s}[\mathcal{I}, \ \mathcal{I}] \cdot inv \ \iota = inv \ \iota \\ \langle proof \rangle \\ \end{array}
```

#### 2.1 Basic Definitions

```
locale enriched-category =
  monoidal-category +
fixes Obj :: 'o set
and Hom :: 'o \Rightarrow 'o \Rightarrow 'a
and Id :: 'o \Rightarrow 'a
and Comp :: 'o \Rightarrow 'o \Rightarrow 'o \Rightarrow 'a
assumes ide-Hom [intro, simp]: [a \in Obj; b \in Obj] \implies ide (Hom a b)
  and Id\text{-}in\text{-}hom\ [intro]:\ a\in Obj\Longrightarrow «Id\ a:\mathcal{I}\to Hom\ a\ a»
  and Comp\text{-}in\text{-}hom [intro]: [a \in Obj; b \in Obj; c \in Obj] \Longrightarrow
                                    \langle\!\langle Comp\ a\ b\ c: Hom\ b\ c\otimes Hom\ a\ b\rightarrow Hom\ a\ c\rangle\!\rangle
 and Comp	ext{-}Hom	ext{-}Id: [a \in Obj; b \in Obj] \Longrightarrow
                          Comp \ a \ a \ b \cdot (Hom \ a \ b \otimes Id \ a) = r[Hom \ a \ b]
  and Comp\text{-}Id\text{-}Hom: [a \in Obj; b \in Obj] \Longrightarrow
                          Comp \ a \ b \ b \cdot (Id \ b \otimes Hom \ a \ b) = 1[Hom \ a \ b]
  and Comp-assoc: [a \in Obj; b \in Obj; c \in Obj; d \in Obj] \Longrightarrow
                        Comp \ a \ b \ d \cdot (Comp \ b \ c \ d \otimes Hom \ a \ b) =
                        Comp\ a\ c\ d\cdot (Hom\ c\ d\otimes Comp\ a\ b\ c)\cdot
                          a[Hom\ c\ d,\ Hom\ b\ c,\ Hom\ a\ b]
```

A functor from an enriched category A to an enriched category B consists of an object map  $F_o: Obj_A \to Obj_B$  and a map  $F_a$  that assigns to each pair of objects a b in  $Obj_A$  an arrow  $F_a$  a  $b: Hom_A$  a  $b \to Hom_B$   $(F_o a)$   $(F_o b)$  of the underlying monoidal category, subject to equations expressing that identities and composition are preserved.

```
locale enriched-functor =
  monoidal-category C T \alpha \iota +
  A: enriched-category C T \alpha \iota Obj_A Hom_A Id_A Comp_A +
  B: enriched-category C T \alpha \iota Obj_B Hom_B Id_B Comp_B
for C :: 'm \Rightarrow 'm \Rightarrow 'm \text{ (infixr } \leftrightarrow 55)
and T :: 'm \times 'm \Rightarrow 'm
and \alpha :: 'm \times 'm \times 'm \Rightarrow 'm
and \iota :: 'm
and Obj_A :: 'a \ set
and Hom_A :: 'a \Rightarrow 'a \Rightarrow 'm
and Id_A :: 'a \Rightarrow 'm
and Comp_A :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm
and Obj_B :: 'b \ set
and Hom_B :: 'b \Rightarrow 'b \Rightarrow 'm
and Id_B :: 'b \Rightarrow 'm
and Comp_B :: 'b \Rightarrow 'b \Rightarrow 'm
and F_o :: 'a \Rightarrow 'b
and F_a :: 'a \Rightarrow 'a \Rightarrow 'm +
assumes extensionality: a \notin Obj_A \lor b \notin Obj_A \Longrightarrow F_a \ a \ b = null
assumes preserves-Obj [intro]: a \in Obj_A \Longrightarrow F_o \ a \in Obj_B
```

```
and preserves-Hom: [a \in Obj_A; b \in Obj_A] \implies
(F_a \ a \ b : Hom_A \ a \ b \rightarrow Hom_B \ (F_o \ a) \ (F_o \ b))
and preserves-Id: a \in Obj_A \implies F_a \ a \ a \cdot Id_A \ a = Id_B \ (F_o \ a)
and preserves-Comp: [a \in Obj_A; b \in Obj_A; c \in Obj_A] \implies
Comp_B \ (F_o \ a) \ (F_o \ b) \ (F_o \ c) \cdot T \ (F_a \ b \ c, F_a \ a \ b) =
F_a \ a \ c \cdot Comp_A \ a \ b \ c

locale fully-faithful-enriched-functor =
enriched-functor +
assumes locally-iso: [a \in Obj_A; b \in Obj_A] \implies iso \ (F_a \ a \ b)
```

A natural transformation from an an enriched functor  $F = (F_o, F_a)$  to an enriched functor  $G = (G_o, G_a)$  consists of a map  $\tau$  that assigns to each object  $a \in Obj_A$  a "component at a", which is an arrow  $\tau$   $a : \mathcal{I} \to Hom_B$   $(F_o \ a)$   $(G_o \ a)$ , subject to an equation that expresses the naturality condition.

```
\mathbf{locale}\ enriched-natural-transformation =
  monoidal-category C T \alpha \iota +
  A: enriched-category C T \alpha \iota Obj_A Hom_A Id_A Comp_A +
  B: enriched-category C T \alpha \iota Obj_B Hom_B Id_B Comp_B +
  F: enriched-functor C T \alpha \iota
        Obj_A Hom_A Id_A Comp_A Obj_B Hom_B Id_B Comp_B F_o F_a +
  G: enriched-functor C T \alpha \iota
        Obj_A Hom_A Id_A Comp_A Obj_B Hom_B Id_B Comp_B G_o G_a
for C :: 'm \Rightarrow 'm \Rightarrow 'm \text{ (infixr } \leftrightarrow 55)
and T :: 'm \times 'm \Rightarrow 'm
and \alpha :: 'm \times 'm \times 'm \Rightarrow 'm
and \iota :: 'm
and Obj_A :: 'a \ set
and Hom_A :: 'a \Rightarrow 'a \Rightarrow 'm
and Id_A :: 'a \Rightarrow 'm
and Comp_A :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm
and Obj_B :: 'b \ set
and Hom_B :: 'b \Rightarrow 'b \Rightarrow 'm
and Id_B :: 'b \Rightarrow 'm
and Comp_B :: 'b \Rightarrow 'b \Rightarrow 'b \Rightarrow 'm
and F_o :: 'a \Rightarrow 'b
and F_a :: 'a \Rightarrow 'a \Rightarrow 'm
and G_o :: 'a \Rightarrow 'b
and G_a :: 'a \Rightarrow 'a \Rightarrow 'm
and \tau :: 'a \Rightarrow 'm +
assumes extensionality: a \notin Obj_A \Longrightarrow \tau \ a = null
and component-in-hom [intro]: a \in Obj_A \Longrightarrow \langle \tau \ a : \mathcal{I} \to Hom_B \ (F_o \ a) \ (G_o \ a) \rangle
and naturality: [a \in Obj_A; b \in Obj_A] \Longrightarrow
                     Comp_B (F_o \ a) (F_o \ b) (G_o \ b) \cdot (\tau \ b \otimes F_a \ a \ b) \cdot l^{-1}[Hom_A \ a \ b] =
                      Comp_B (F_o \ a) (G_o \ a) (G_o \ b) \cdot (G_a \ a \ b \otimes \tau \ a) \cdot r^{-1}[Hom_A \ a \ b]
```

#### 2.1.1 Self-Enrichment

lemma UP-ide:

context elementary-closed-monoidal-category
begin

Every closed monoidal category M admits a structure of enriched category, where the exponentials in M itself serve as the "hom-objects" (cf. [1] Section 1.6). Essentially all the work in proving this theorem has already been done in EnrichedCategoryBasics.ClosedMonoidalCategory.

The following mappings define a bijection between  $hom\ a\ b$  and  $hom\ \mathcal{I}$  ( $exp\ a\ b$ ). These have functorial properties which are encountered repeatedly.

```
definition UP (-\(^{\dagger} [100] 100)
where t^{\uparrow} \equiv if \ arr \ t \ then \ Curry[\mathcal{I}, \ dom \ t, \ cod \ t] \ (t \cdot l[dom \ t]) \ else \ null
definition DN
where DN a b t \equiv if arr t then Uncurry[a, b] t · l<sup>-1</sup>[a] else null
abbreviation DN' (-\downarrow[-, -] [100] 99)
where t^{\downarrow}[a, b] \equiv DN \ a \ b \ t
lemma UP-DN:
shows [intro]: arr\ t \Longrightarrow \langle t^{\uparrow}: \mathcal{I} \to exp\ (dom\ t)\ (cod\ t) \rangle
and [intro]: [ide a; ide b; \langle t: \mathcal{I} \rightarrow exp \ a \ b \rangle] \Longrightarrow \langle t^{\downarrow}[a, b]: a \rightarrow b \rangle
and [simp]: arr \ t \Longrightarrow (t^{\uparrow})^{\downarrow} [dom \ t, \ cod \ t] = t
and [simp]: [ide\ a;\ ide\ b;\ \langle t:\mathcal{I}\to exp\ a\ b\rangle] \Longrightarrow (t^{\downarrow}[a,\ b])^{\uparrow}=t
  \langle proof \rangle
lemma UP-simps [simp]:
assumes arr t
shows arr(t^{\uparrow}) and dom(t^{\uparrow}) = \mathcal{I} and cod(t^{\uparrow}) = exp(dom t)(cod t)
  \langle proof \rangle
lemma DN-simps [simp]:
assumes ide a and ide b and arr t and dom t = \mathcal{I} and cod t = exp \ a \ b
shows arr (t^{\downarrow}[a, b]) and dom(t^{\downarrow}[a, b]) = a and cod(t^{\downarrow}[a, b]) = b
  \langle proof \rangle
```

```
assumes ide\ a shows a^{\uparrow} = Id\ a \langle proof \rangle
\begin{array}{l} \mathbf{lemma}\ DN\text{-}Id: \\ \mathbf{assumes}\ ide\ a \\ \mathbf{shows}\ (Id\ a)^{\downarrow}[a,\ a] = a \\ \langle proof \rangle \end{array}
\begin{array}{l} \mathbf{lemma}\ UP\text{-}comp: \\ \mathbf{assumes}\ seq\ t\ u \\ \mathbf{shows}\ (t\cdot u)^{\uparrow} = Comp\ (dom\ u)\ (cod\ u)\ (cod\ t)\cdot (t^{\uparrow}\otimes u^{\uparrow})\cdot \iota^{-1} \\ \langle proof \rangle \end{array}
\mathbf{end}
```

# 2.2 Underlying Category, Functor, and Natural Transformation

#### 2.2.1 Underlying Category

The underlying category (cf. [1] Section 1.3) of an enriched category has as its arrows from a to b the arrows  $\mathcal{I} \to Hom\ a\ b$  of M (i.e. the points of  $Hom\ a\ b$ ). The identity at a is  $Id\ a$ . The composition of arrows f and g is given by the formula:  $Comp\ a\ b\ c\cdot (g\otimes f)\cdot \iota^{-1}$ .

#### 2.2.2 Underlying Functor

The underlying functor of an enriched functor  $F: A \longrightarrow B$  takes an arrow  $\langle f: a \rightarrow a' \rangle$  of the underlying category  $A_0$  (i.e. an arrow  $\langle \mathcal{I} \rightarrow Hom \ a \ a' \rangle$ 

```
of M) to the arrow (F_a \ a \ a' \cdot f : F_o \ a \rightarrow F_o \ a') of B_0 (i.e. the arrow (F_a \ a )
a \ a' \cdot f : \mathcal{I} \to Hom \ (F_o \ a) \ (F_o \ a')  of M).
  locale underlying-functor =
    enriched-functor
  begin
   sublocale A_0: underlying-category C T \alpha \iota Obj_A Hom_A Id_A Comp_A \langle proof \rangle
   sublocale B_0: underlying-category C T \alpha \iota Obj_B Hom_B Id_B Comp_B \langle proof \rangle
   notation A_0.comp (infixr \cdot_{A0} 55)
   notation B_0.comp (infixr \cdot_{B0} 55)
   definition map_0
   where map_0 f = (if A_0.arr f)
                    then B_0.MkArr (F_o (A_0.Dom f)) (F_o (A_0.Cod f))
                           (F_a (A_0.Dom f) (A_0.Cod f) \cdot A_0.Map f)
                    else B_0.null)
   sublocale functor A_0.comp \ B_0.comp \ map_0
    \langle proof \rangle
   proposition is-functor:
   shows functor A_0.comp \ B_0.comp \ map_0
     \langle proof \rangle
  end
```

#### 2.2.3 Underlying Natural Transformation

The natural transformation underlying an enriched natural transformation  $\tau$  has components that are essentially those of  $\tau$ , except that we have to bother ourselves about coercions between types.

```
 \begin{aligned} & \textbf{locale} \ \ underlying\text{-}natural\text{-}transformation} = \\ & enriched\text{-}natural\text{-}transformation} \\ & \textbf{begin} \\ & \textbf{sublocale} \ A_0 \colon underlying\text{-}category \ C \ T \ \alpha \ \iota \ Obj_A \ Hom_A \ Id_A \ Comp_A \ \langle proof \rangle \\ & \textbf{sublocale} \ B_0 \colon underlying\text{-}category \ C \ T \ \alpha \ \iota \ Obj_B \ Hom_B \ Id_B \ Comp_B \ \langle proof \rangle \\ & \textbf{sublocale} \ F_0 \colon underlying\text{-}functor \ C \ T \ \alpha \ \iota \\ & Obj_A \ Hom_A \ Id_A \ Comp_A \ Obj_B \ Hom_B \ Id_B \ Comp_B \ F_o \ F_a \ \langle proof \rangle \\ & \textbf{sublocale} \ G_0 \colon underlying\text{-}functor \ C \ T \ \alpha \ \iota \\ & Obj_A \ Hom_A \ Id_A \ Comp_A \ Obj_B \ Hom_B \ Id_B \ Comp_B \ G_o \ G_a \ \langle proof \rangle \\ & \textbf{definition} \ map_{obj} \\ & \textbf{where} \ map_{obj} \ a \equiv \\ & B_0.MkArr \ (B_0.Dom \ (F_0.map_0 \ a)) \ (B_0.Dom \ (G_0.map_0 \ a)) \\ & (\tau \ (A_0.Dom \ a)) \end{aligned}
```

```
sublocale \tau: Natural Transformation. transformation-by-components A_0.comp\ B_0.comp\ F_0.map_0\ G_0.map_0\ map_{obj} \langle proof \rangle proposition is-natural-transformation: shows natural-transformation A_0.comp\ B_0.comp\ F_0.map_0\ G_0.map_0\ \tau.map \langle proof \rangle
```

end

#### 2.2.4 Self-Enriched Case

Here we show that a closed monoidal category C, regarded as a category enriched in itself, it is isomorphic to its own underlying category. This is useful, because it is somewhat less cumbersome to work directly in the category C than in the higher-type version that results from the underlying category construction. Kelly often regards these two categories as identical.

```
locale self-enriched-category =
  elementary-closed-monoidal-category +
  enriched-category C T \alpha \iota \langle Collect\ ide \rangle exp\ Id\ Comp
begin
 sublocale UC: underlying-category C T \alpha \iota \langle Collect ide\rangle exp Id Comp \langle proof\rangle
 abbreviation to UC
 where toUC \ q \equiv if \ arr \ q
                  then UC.MkArr (dom g) (cod g) (g^{\uparrow})
                  else\ UC.null
 lemma to UC-simps [simp]:
 assumes arr f
 shows UC.arr(toUCf)
 and UC.dom\ (to\ UC\ f) = to\ UC\ (dom\ f)
 and UC.cod\ (to\ UC\ f) = to\ UC\ (cod\ f)
   \langle proof \rangle
 lemma to UC-in-hom [intro]:
 assumes arr f
 shows UC.in-hom\ (to\ UC\ f)\ (UC.MkIde\ (dom\ f))\ (UC.MkIde\ (cod\ f))
   \langle proof \rangle
 sublocale to UC: functor C UC.comp to UC
     \langle proof \rangle
 abbreviation frmUC
 where frmUC g \equiv if \ UC.arr \ g
                   then (UC.Map\ g)^{\downarrow}[UC.Dom\ g,\ UC.Cod\ g]
                   else null
```

```
lemma frmUC-simps [simp]:
assumes UC.arr f
shows arr (frmUC f)
and dom (frmUC f) = frmUC (UC.dom f)
and cod (frmUC f) = frmUC (UC.cod f)
  \langle proof \rangle
lemma frmUC-in-hom [intro]:
assumes UC.in-hom\ f\ a\ b
\langle proof \rangle
lemma DN-Map-comp:
assumes UC.seq q f
shows (UC.Map\ (UC.comp\ q\ f))^{\downarrow}[UC.Dom\ f,\ UC.Cod\ q] =
      (UC.Map\ g)^{\downarrow}[UC.Dom\ g,\ UC.Cod\ g]
        (UC.Map\ f)^{\downarrow}[UC.Dom\ f,\ UC.Cod\ f]
\langle proof \rangle
sublocale frmUC: functor UC.comp C frmUC
\langle proof \rangle
{\bf sublocale}\ inverse-functors\ UC.comp\ C\ to UC\ frm UC
\langle proof \rangle
\mathbf{lemma}\ inverse-functors-to UC-frm UC:
shows inverse-functors UC.comp C to UC frm UC
  \langle proof \rangle
corollary enriched-category-isomorphic-to-underlying-category:
shows isomorphic-categories UC.comp C
  \langle proof \rangle
```

end

### 2.3 Opposite of an Enriched Category

Construction of the opposite of an enriched category (cf. [1] (1.19)) requires that the underlying monoidal category be symmetric, in order to introduce the required "twist" in the definition of composition.

```
 \begin{array}{l} \textbf{locale} \ opposite-enriched-category = \\ symmetric-monoidal-category + \\ EC: \ enriched-category \\ \textbf{begin} \end{array}
```

 $\begin{tabular}{ll} \textbf{interpretation} & elementary-symmetric-monoidal-category\\ & C & tensor & unity & lunit & runit & assoc & sym \end{tabular}$ 

```
\langle proof \rangle
abbreviation (input) Hom_{op}
where Hom_{op} a b \equiv Hom b a

abbreviation Comp_{op}
where Comp_{op} a b c \equiv Comp c b a · s[Hom c b, Hom b a]

sublocale enriched-category C T \alpha \iota Obj Hom_{op} Id Comp_{op}
\langle proof \rangle
end
```

#### **2.3.1** Relation between $(-^{op})_0$ and $(-_0)^{op}$

Kelly (comment before (1.22)) claims, for a category A enriched in a symmetric monoidal category, that we have  $(A^{op})_0 = (A_0)^{op}$ . This point becomes somewhat confusing, as it depends on the particular formalization one adopts for the notion of "category".

As we can see from the next two facts (Op-UC-hom-char) and UC-Op-hom-char), the hom-sets Op.UC.hom a b and UC.Op.hom a b are both obtained by using UC.MkArr to "tag" elements of hom  $\mathcal{I}$  (Hom  $(UC.Dom\ b)$   $(UC.Dom\ a)$  with  $UC.Dom\ a$  and  $UC.Dom\ b$ . These two hom-sets are formally distinct if (as is the case for us), the arrows of a category are regarded as containing information about their domain and codomain, so that the hom-sets are disjoint. On the other hand, if one regards a category as a collection of mappings that assign to each pair of objects a and b a corresponding set  $hom\ a\ b$ , then the hom-sets Op.UC.hom  $a\ b$  and  $UC.Op.hom\ a\ b$  could be arranged to be equal, as Kelly suggests.

```
locale category-enriched-in-symmetric-monoidal-category = symmetric-monoidal-category + enriched-category |
begin

interpretation elementary-symmetric-monoidal-category |
| C tensor unity lunit runit assoc sym |
| \langle proof \rangle |

interpretation Op: opposite-enriched-category C T \alpha \iota \sigma Obj Hom Id Comp |
| \langle proof \rangle |
interpretation Op<sub>0</sub>: underlying-category C T \alpha \iota Obj Op.Hom<sub>op</sub> Id Op.Comp<sub>op</sub> |
| \langle proof \rangle |
interpretation UC: underlying-category C T \alpha \iota Obj Hom Id Comp |
| \langle proof \rangle |
interpretation UC: underlying-category C T \alpha \iota Obj Hom Id Comp |
| \langle proof \rangle |
interpretation UC: underlying-category UC.comp |
| \langle proof \rangle |
```

```
lemma Op-UC-hom-char:
assumes UC.ide \ a and UC.ide \ b
shows Op_0.hom\ a\ b =
      UC.MkArr (UC.Dom a) (UC.Dom b) '
        hom \mathcal{I} (Hom (UC.Dom b) (UC.Dom a))
 \langle proof \rangle
lemma UC-Op-hom-char:
assumes UC.ide \ a and UC.ide \ b
shows UC.Op.hom \ a \ b =
      UC.MkArr\ (UC.Dom\ b)\ (UC.Dom\ a) '
        hom \mathcal{I} (Hom (UC.Dom b) (UC.Dom a))
 \langle proof \rangle
abbreviation to UCOp
where toUCOp\ f \equiv if\ Op_0.arr\ f
                then UC.MkArr (Op_0.Cod f) (Op_0.Dom f) (Op_0.Map f)
                else\ UC.Op.null
sublocale to UCOp: functor Op_0.comp\ UC.Op.comp\ to\ UCOp
\langle proof \rangle
lemma functor-to UCOp:
shows functor Op_0.comp\ UC.Op.comp\ to\ UCOp
 \langle proof \rangle
abbreviation to Op_0
 where toOp_0 f \equiv if \ UC.Op.arr f
                  then Op_0.MkArr (UC.Cod f) (UC.Dom f) (UC.Map f)
                  else Op_0.null
sublocale toOp_0: functor\ UC.Op.comp\ Op_0.comp\ toOp_0
\langle proof \rangle
lemma functor-toOp_0:
shows functor UC.Op.comp \ Op_0.comp \ to Op_0
 \langle proof \rangle
sublocale inverse-functors UC.Op.comp \ Op_0.comp \ to UCOp \ to Op_0
 \langle proof \rangle
lemma inverse-functors-to UCOp-to Op_0:
shows inverse-functors UC.Op.comp \ Op_0.comp \ to UCOp \ to Op_0
 \langle proof \rangle
```

end

#### 2.4 Enriched Hom Functors

Here we exhibit covariant and contravariant hom functors as enriched functors, as in [1] Section 1.6. We don't bother to exhibit them as partial functors of a single two-argument functor, as to do so would require us to define the tensor product of enriched categories; something that would require more technology for proving coherence conditions than we have developed at present.

#### 2.4.1 Covariant Case

```
locale covariant-Hom =
    monoidal-category +
    C: elementary-closed-monoidal-category +
    enriched-category +
  fixes x :: 'o
 assumes x: x \in Obj
 begin
   interpretation C: enriched-category C T \alpha \iota \langle Collect\ ide \rangle exp C.Id\ C.Comp
   interpretation C: self-enriched-category C T \alpha \iota exp eval Curry \langle proof \rangle
   abbreviation homo
   where hom_o \equiv Hom \ x
   abbreviation hom_a
   where hom_a \equiv \lambda b c. if b \in Obj \wedge c \in Obj
                      then Curry[Hom\ b\ c,\ Hom\ x\ b,\ Hom\ x\ c]\ (Comp\ x\ b\ c)
   sublocale enriched-functor C T \alpha \iota
               Obj Hom Id Comp
               ⟨Collect ide⟩ exp C.Id C.Comp
               homo homa
    \langle proof \rangle
   lemma is-enriched-functor:
   shows enriched-functor C T \alpha \iota
            Obj Hom Id Comp
            (Collect ide) exp C.Id C.Comp
            hom_o hom_a
     \langle proof \rangle
    sublocale C_0: underlying-category C T \alpha \iota \langle Collect\ ide \rangle exp\ C.Id\ C.Comp
\langle proof \rangle
   sublocale UC: underlying-category C T \alpha \iota Obj Hom Id Comp \langle proof \rangle
```

```
sublocale UF: underlying-functor C T \alpha \iota Obj Hom Id Comp \langle Collect\ ide \rangle\ exp\ C.Id\ C.Comp hom_o\ hom_a \langle proof \rangle
```

The following is Kelly's formula (1.31), for the result of applying the ordinary functor underlying the covariant hom functor, to an arrow  $g: \mathcal{I} \to Hom\ b\ c$  of  $C_0$ , resulting in an arrow  $Hom^{\to}\ x\ g: Hom\ x\ b \to Hom\ x$  c of C. The point of the result is that this can be expressed explicitly as  $Comp\ x\ b\ c\cdot (g\otimes hom_o\ b)\cdot l^{-1}[hom_o\ b]$ . This is all very confusing at first, because Kelly identifies C with the underlying category  $C_0$  of C regarded as a self-enriched category, whereas here we cannot ignore the fact that they are merely isomorphic via  $C.frmUC:\ UC.comp\ \to\ C_0.comp$ . There is also the bother that, for an arrow  $g:\mathcal{I}\to Hom\ b\ c$  of C, the corresponding arrow of the underlying category UC has to be formally constructed using UC.MkArr, i.e. as  $UC.MkArr\ b\ c\ g$ .

```
lemma Kelly-1-31:
 assumes b \in Obj and c \in Obj and \langle q : \mathcal{I} \rightarrow Hom \ b \ c \rangle
 shows C.frmUC (UF.map_0 (UC.MkArr b c g)) =
          Comp x \ b \ c \cdot (g \otimes hom_o \ b) \cdot l^{-1}[hom_o \ b]
  \langle proof \rangle
 abbreviation map_0
 where map_0 \ b \ c \ g \equiv Comp \ x \ b \ c \cdot (g \otimes Hom \ x \ b) \cdot l^{-1}[hom_o \ b]
end
context elementary-closed-monoidal-category
begin
 lemma cov-Exp-DN:
 assumes \langle q: \mathcal{I} \rightarrow exp \ a \ b \rangle
 and ide \ a and ide \ b and ide \ x
 shows Exp^{\rightarrow} x (g^{\downarrow}[a, b]) =
          (Curry[exp \ a \ b, exp \ x \ a, exp \ x \ b] \ (Comp \ x \ a \ b) \cdot g) \downarrow [exp \ x \ a, exp \ x \ b]
  \langle proof \rangle
```

end

#### 2.4.2 Contravariant Case

```
locale contravariant-Hom =
   symmetric-monoidal-category +
   C: elementary-closed-symmetric-monoidal-category +
   enriched-category +
```

```
fixes y :: 'o
  assumes y: y \in Obj
  begin
   interpretation C: enriched-category C T \alpha \iota \langle Collect\ ide \rangle exp\ C.Id\ C.Comp
   interpretation C: self-enriched-category C T \alpha \iota exp eval Curry \langle proof \rangle
   sublocale Op: opposite-enriched-category C T \alpha \iota \sigma Obj Hom Id Comp \langle proof \rangle
   abbreviation hom_o
   where hom_o \equiv \lambda a. Hom a y
   abbreviation hom_a
   where hom_a \equiv \lambda b c. if b \in Obj \land c \in Obj
                       then Curry[Hom\ c\ b,\ Hom\ b\ y,\ Hom\ c\ y]\ (Op.Comp_{op}\ y\ b\ c)
   sublocale enriched-functor C T \alpha \iota
                Obj Op.Hom_{op} Id Op.Comp_{op}
                \langle Collect\ ide \rangle\ exp\ C.Id\ C.Comp
                hom_o hom_a
    \langle proof \rangle
   lemma is-enriched-functor:
   shows enriched-functor C T \alpha \iota
             Obj Op.Hom_{op} Id Op.Comp_{op}
             (Collect ide) exp C.Id C.Comp
             hom_o hom_a
      \langle proof \rangle
     sublocale C_0: underlying-category C T \alpha \iota \langle Collect\ ide \rangle exp\ C.Id\ C.Comp
\langle proof \rangle
     sublocale Op_0: underlying-category C T \alpha \iota Obj Op.Hom_{op} Id Op.Comp_{op}
\langle proof \rangle
   sublocale UF: underlying-functor C T \alpha \iota
                    Obj Op. Homop Id Op. Compop
                    ⟨Collect ide⟩ exp C.Id C.Comp
                   hom_o hom_a
      \langle proof \rangle
    The following is Kelly's formula (1.32) for Hom^{\leftarrow} fy : Hom \ by \rightarrow Hom
a y.
     lemma Kelly-1-32:
     assumes a \in Obj and b \in Obj and (f : \mathcal{I} \to Hom \ a \ b)
     shows C.frmUC (UF.map_0 (Op_0.MkArr \ b \ a \ f)) =
            Comp a b y \cdot (Hom \ b \ y \otimes f) \cdot r^{-1}[hom_o \ b]
     \langle proof \rangle
```

```
abbreviation map_0
   where map_0 a b f \equiv Comp a b y \cdot (Hom \ b \ y \otimes f) \cdot r^{-1}[hom_o \ b]
end
context elementary-closed-symmetric-monoidal-category
begin
  interpretation enriched-category C T \alpha \iota \langle Collect ide \rangle exp Id Comp
  interpretation self-enriched-category C T \alpha \iota exp eval Curry \langle proof \rangle
  sublocale Op: opposite-enriched-category C T \alpha \iota \sigma \langle Collect\ ide \rangle exp Id\ Comp
    \langle proof \rangle
  lemma cnt-Exp-DN:
  assumes \langle f : \mathcal{I} \rightarrow exp \ a \ b \rangle
  and ide a and ide b and ide y
  shows Exp^{\leftarrow} (f \downarrow [a, b]) y =
         (Curry[exp \ a \ b, exp \ b \ y, exp \ a \ y] \ (Op.Comp_{op} \ y \ b \ a) \cdot f)
             \downarrow [exp \ b \ y, \ exp \ a \ y]
  \langle proof \rangle
end
```

#### 2.5 Enriched Yoneda Lemma

context elementary-closed-monoidal-category

In this section we prove the (weak) Yoneda lemma for enriched categories, as in Kelly, Section 1.9. The weakness is due to the fact that the lemma asserts only a bijection between sets, rather than an isomorphism of objects of the underlying base category.

#### 2.5.1 Preliminaries

The following gives conditions under which  $\tau$  defined as  $\tau x = (\mathcal{T} x)^{\uparrow}$  yields an enriched natural transformation between enriched functors F and G to the self-enriched base category.

```
begin

lemma transformation-lam-UP:
assumes enriched-functor C T \alpha \iota

Obj_A Hom_A Id_A Comp_A (Collect ide) exp Id Comp F_o F_a
assumes enriched-functor C T \alpha \iota

Obj_A Hom_A Id_A Comp_A (Collect ide) exp Id Comp G_o G_a
and \bigwedge x. x \notin Obj_A \Longrightarrow \mathcal{T} x = null
and \bigwedge x. x \in Obj_A \Longrightarrow \mathscr{T} x: F_o x \to G_o x»
```

```
and \bigwedge a \ b. [a \in Obj_A; b \in Obj_A] \Longrightarrow
                  \mathcal{T} \ b \cdot Uncurry[F_o \ a, F_o \ b] \ (F_a \ a \ b) =
                  eval (G_o \ a) \ (G_o \ b) \cdot (G_a \ a \ b \otimes \mathcal{T} \ a)
    shows enriched-natural-transformation C T \alpha \iota
             Obj_A \ Hom_A \ Id_A \ Comp_A \ (Collect \ ide) \ exp \ Id \ Comp
             F_o F_a G_o G_a (\lambda x. (\mathcal{T} x)^{\uparrow})
    \langle proof \rangle
  end
    Kelly (1.39) expresses enriched naturality in an alternate form, using
the underlying functors of the covariant and contravariant enriched hom
functors.
  locale Kelly-1-39 =
    symmetric-monoidal-category +
    elementary\text{-}closed\text{-}monoidal\text{-}category +
    enriched-natural-transformation
    for a :: 'a
    and b :: 'a +
    assumes a: a \in Obj_A
    and b: b \in Obj_A
  begin
    interpretation enriched-category C T \alpha \iota \langle Collect ide \rangle exp Id Comp
    interpretation self-enriched-category C T \alpha \iota exp eval Curry
      \langle proof \rangle
    sublocale cov-Hom: covariant-Hom C T \alpha \iota
                         exp\ eval\ Curry\ Obj_B\ Hom_B\ Id_B\ Comp_B\ \langle F_o\ a
angle
      \langle proof \rangle
    sublocale cnt-Hom: contravariant-Hom C T \alpha \iota \sigma
                         exp \ eval \ Curry \ Obj_B \ Hom_B \ Id_B \ Comp_B \ \langle G_o \ b \rangle
      \langle proof \rangle
    lemma Kelly-1-39:
    shows cov-Hom.map<sub>0</sub> (F_o \ b) \ (G_o \ b) \ (\tau \ b) \cdot F_a \ a \ b =
           cnt-Hom.map_0 (F_o \ a) (G_o \ a) (\tau \ a) \cdot G_a \ a \ b
    \langle proof \rangle
  end
2.5.2
           Covariant Case
  locale covariant-yoneda-lemma =
    symmetric-monoidal-category +
    C: closed-symmetric-monoidal-category +
    covariant-Hom +
```

F: enriched-functor C T  $\alpha$   $\iota$  Obj Hom Id Comp  $\langle Collect ide \rangle$  exp C.Id C.Comp

begin

interpretation C: elementary-closed-symmetric-monoidal-category C T  $\alpha$   $\iota$   $\sigma$  $exp \ eval \ Curry \ \langle proof \rangle$ 

**interpretation** C: self-enriched-category C T  $\alpha$   $\iota$  exp eval  $Curry \langle proof \rangle$ 

Every element  $e: \mathcal{I} \to F_o x$  of  $F_o x$  determines an enriched natural transformation  $\tau_e$ : hom  $x \to F$ . The formula here is Kelly (1.47):  $\tau_e$  y: hom  $x y \to F y$  is obtained as the composite:

$$hom \ x \ y \xrightarrow{F_a \ x} {}^y exp \ (F \ x) \ (F \ y) \xrightarrow{Exp \leftarrow} {}^c e^{(F \ y)} exp \ \mathcal{I} \ (F \ y) \longrightarrow F \ y$$

where the third component is a canonical isomorphism. This basically amounts to evaluating  $F_a x y$  on element e of  $F_o x$  to obtain an element of

Note that the above composite gives an arrow  $\tau_e$  y: hom  $x y \to F y$ , whereas the definition of enriched natural transformation formally requires  $\tau_e y: \mathcal{I} \to exp \ (hom \ x \ y) \ (F \ y)$ . So we need to transform the composite to achieve that.

abbreviation generated-transformation where generated-transformation  $e \equiv$  $\lambda y. \ (eval \ \mathcal{I} \ (F_o \ y) \cdot r^{-1} [exp \ \mathcal{I} \ (F_o \ y)] \cdot Exp \leftarrow e \ (F_o \ y) \cdot F_a \ x \ y)^{\uparrow}$ 

 ${\bf lemma}\ enriched-natural-transformation-generated-transformation:$ 

assumes  $\langle e : \mathcal{I} \rightarrow F_o x \rangle$ 

shows enriched-natural-transformation C T  $\alpha$   $\iota$ 

Obj Hom Id Comp (Collect ide) exp C.Id C.Comp  $hom_o hom_a F_o F_a (generated-transformation e)$ 

 $\langle proof \rangle$ 

If  $\tau$ : hom  $x \to F$  is an enriched natural transformation, then there exists an element  $e_{\tau}: \mathcal{I} \to F$  x that generates  $\tau$  via the preceding formula. The idea (Kelly 1.46) is to take:

$$e_{\tau} = \mathcal{I} \xrightarrow{Id \ x} hom_o \ x \xrightarrow{\tau \ x} F \ x$$

This amounts to the "evaluation of  $\tau$  x at the identity on x".

However, note once again that, according to the formal definition of enriched natural transformation, we have  $\tau x : \mathcal{I} \to exp\ (hom_o\ x)\ (F_o\ x)$ , so it is necessary to transform this to an arrow:  $(\tau x)$   $\downarrow$   $[hom_o x, F_o x]$ :  $hom_o$  $x \to F x$ .

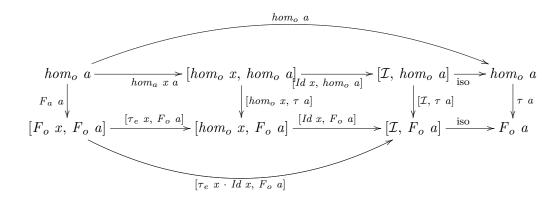
abbreviation generating-elem where generating-elem  $\tau \equiv (\tau \ x) \downarrow [hom_o \ x, \ F_o \ x] \cdot Id \ x$ 

**lemma** *generating-elem-in-hom*:

assumes enriched-natural-transformation C T  $\alpha$   $\iota$ Obj Hom Id Comp (Collect ide) exp C.Id C.Comp

```
hom<sub>o</sub> hom<sub>a</sub> F_o F_a \tau shows «generating-elem \tau: \mathcal{I} \to F_o x» \langle proof \rangle
```

Now we have to verify the elements of the diagram after Kelly (1.47):



The left square is enriched naturality of  $\tau$  (Kelly (1.39)). The middle square commutes trivially. The right square commutes by the naturality of the canonical isomorphismm from  $[\mathcal{I}, hom_o \ a]$  to  $hom_o \ a$ . The top edge composes to  $hom_o \ a$  (an identity). The commutativity of the entire diagram shows that  $\tau \ a$  is recovered from  $e_{\tau}$ . Note that where  $\tau \ a$  appears, what is actually meant formally is  $(\tau \ a) \ | [hom_o \ a, \ F_o \ a]$ .

```
lemma center-square:
assumes enriched-natural-transformation C T \alpha \iota
           Obj Hom Id Comp (Collect ide) exp C.Id C.Comp
           hom_o hom_a F_o F_a \tau
and a \in Obj
shows C.Exp \ \mathcal{I} \ (\tau \ a \ ^{\downarrow}[hom_o \ a, \ F_o \ a]) \cdot C.Exp \ (Id \ x) \ (hom_o \ a) =
       C.Exp\ (Id\ x)\ (F_o\ a)\cdot C.Exp\ (hom_o\ x)\ (\tau\ a\ \downarrow [hom_o\ a,\ F_o\ a])
\langle proof \rangle
lemma right-square:
assumes enriched-natural-transformation C T \alpha \iota
            Obj Hom Id Comp (Collect ide) exp C.Id C.Comp
           hom_o hom_a F_o F_a \tau
shows \tau \ a \downarrow [hom_o \ a, \ F_o \ a] \cdot C.Dn \ (hom_o \ a) =
       C.Dn (F_o \ a) \cdot C.Exp \ \mathcal{I} (\tau \ a \downarrow [hom_o \ a, F_o \ a])
\langle proof \rangle
lemma top-path:
assumes a \in Obj
```

```
shows eval \mathcal{I} (hom<sub>o</sub> a) · r<sup>-1</sup>[exp \mathcal{I} (hom<sub>o</sub> a)] · C.Exp (Id x) (hom<sub>o</sub> a) · hom<sub>a</sub> x a = hom<sub>o</sub> a \langle proof \rangle
```

The left square is an instance of Kelly (1.39), so we can get that by instantiating that result. The confusing business is that the target enriched category is the base category C.

```
lemma left-square:
   assumes enriched-natural-transformation C T \alpha \iota
              Obj Hom Id Comp (Collect ide) exp C.Id C.Comp
              hom_o\ hom_a\ F_o\ F_a\ 	au
   and a \in Obj
   shows Exp^{\rightarrow} (hom_o \ x) ((\tau \ a) \downarrow [hom_o \ a, F_o \ a]) \cdot hom_a \ x \ a =
          Exp^{\leftarrow} ((\tau \ x) \downarrow [hom_o \ x, \ F_o \ x]) (F_o \ a) \cdot F_a \ x \ a
    \langle proof \rangle
   lemma transformation-generated-by-element:
   assumes enriched-natural-transformation C T \alpha \iota
              Obj Hom Id Comp (Collect ide) exp C.Id C.Comp
              hom_o hom_a F_o F_a \tau
   and a \in Obj
   shows \tau a = generated-transformation (generating-elem \tau) a
    \langle proof \rangle
   \mathbf{lemma}\ element-of\text{-}generated\text{-}transformation:
   assumes e \in hom \mathcal{I} (F_o x)
   shows generating-elem (generated-transformation e) = e
    \langle proof \rangle
    We can now state and prove the (weak) covariant Yoneda lemma (Kelly,
Section 1.9) for enriched categories.
   theorem covariant-yoneda:
   {f shows}\ bij\mbox{-}betw\ generated\mbox{-}transformation
            (hom \ \mathcal{I} \ (F_o \ x))
             (Collect (enriched-natural-transformation C T \alpha \iota
                        Obj Hom Id Comp (Collect ide) exp C.Id C.Comp
                        hom_o hom_a F_o F_a)
    \langle proof \rangle
  end
```

#### 2.5.3 Contravariant Case

The (weak) contravariant Yoneda lemma is obtained by just replacing the enriched category by its opposite in the covariant version.

```
locale contravariant-yoneda-lemma = opposite-enriched-category C\ T\ \alpha\ \iota\ \sigma\ Obj\ Hom\ Id\ Comp\ +
```

```
covariant-yoneda-lemma~C~T~\alpha~\iota~\sigma~exp~eval~Curry~Obj~Hom_{op}~Id~Comp_{op}~y~F_o
F_a
  for C :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr} \leftrightarrow 55)
  and T :: 'a \times 'a \Rightarrow 'a
  and \alpha :: 'a \times 'a \times 'a \Rightarrow 'a
  and \iota :: 'a
  and \sigma :: 'a \times 'a \Rightarrow 'a
  and exp :: 'a \Rightarrow 'a \Rightarrow 'a
 and eval :: 'a \Rightarrow 'a \Rightarrow 'a
  and Curry :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a
  and Obj :: 'b \ set
  and Hom :: 'b \Rightarrow 'b \Rightarrow 'a
  and Id :: 'b \Rightarrow 'a
  and Comp :: 'b \Rightarrow 'b \Rightarrow 'a
  and y :: 'b
  and F_o :: 'b \Rightarrow 'a
  and F_a :: b \Rightarrow b \Rightarrow a
  begin
    corollary contravariant-yoneda:
    shows bij-betw generated-transformation
               (hom \ \mathcal{I} \ (F_o \ y))
               (Collect
                  (\it enriched-natural-transformation
                      C\ T\ \alpha\ \iota\ Obj\ Hom_{op}\ Id\ Comp_{op}\ (Collect\ ide)\ exp\ C.Id\ C.Comp
                      hom_o\ hom_a\ F_o\ F_a))
      \langle proof \rangle
  end
```

end

# **Bibliography**

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