

# Universal Pairs for Diophantine Equations

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## Abstract

We formalize a universal construction of Diophantine equations with bounded complexity. This is a formalization of our own work in number theory [2].

Hilbert’s Tenth Problem was answered negatively by Yuri Matiyasevich, who showed that there is no general algorithm to decide whether an arbitrary Diophantine equation has a solution[4]. However, the problem remains open when generalized to the field of rational numbers, or contrarily, when restricted to Diophantine equations with bounded complexity, characterized by the number of variables  $\nu$  and the degree  $\delta$ . If every Diophantine set can be represented within the bounds  $(\nu, \delta)$ , we say that this pair is *universal*, and it follows that the corresponding class of equations is undecidable. In a separate mathematics article, we have determined the first non-trivial universal pair for the case of integer unknowns.

This AFP entry contributes the main construction required to establish said universal pair. In doing so, we markedly extend previous work on multivariate polynomials [6], and develop classical theory on Diophantine equations [5]. Additionally, our work includes metaprogramming infrastructure designed to efficiently handle complex definitions of multivariate polynomials. Our mathematical draft has been formalized while the mathematical research was ongoing, and benefited largely from the help of the theorem prover.

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**Overview** We provide a detailed high-level overview of this formal proof in a forthcoming paper [1]. Here we just reference the various mathematical sources that we have formalized.

The main mathematical text is our preprint “Diophantine Equations over  $\mathbb{Z}$ : Universal Bounds and Parallel Formalization” [2]. It contains the majority of the proofs verified here. A lot of it is based on ideas by Zhi-Wei Sun [8, 7]. Moreover, we formalize classical theory on Diophantine Equations following an article by Matiyasevich and Robinson [5]. This material can be found in the section on relation combining.

We also formalize a variety of statements on multivariate polynomials adding to the current entry on multivariate polynomials [6]. Finally, our proof relies on the Three Squares Theorem [3] which we import.

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**theory** *Notation*  
**imports** *Polynomials.More-MPoly-Type Complex-Main HOL-Library.Rewrite*  
**begin**

## 1 Multivariate Polynomials

### 1.1 Elementary properties

#### 1.1.1 Notation

**notation** *smult* (**infixl**  $*_s$  70)

**definition** *max-coeff* :: 'a::{zero,abs,linorder} mpoly  $\Rightarrow$  'a **where**  
*max-coeff* P  $\equiv$  Max (abs ' MPoly-Type.coeffs P)

**lemma** *coeffs-empty-iff*: coeffs P = {}  $\longleftrightarrow$  P = 0  
 <proof>

**lemma** *coeff-minus*: coeff p m - coeff q m = coeff (p-q) m  
 <proof>

**definition** *nth0* :: 'a::zero list  $\Rightarrow$  nat  $\Rightarrow$  'a (**infixl** !<sub>0</sub> 100) **where**  
*xs* !<sub>0</sub> i = (xs ! i when i < length xs)

**lemma** *nth0-nth* : i < length xs  $\Longrightarrow$  xs !<sub>0</sub> i = xs ! i  
 <proof>

**lemma** *nth0-0*: i  $\geq$  length xs  $\Longrightarrow$  xs !<sub>0</sub> i = 0  
 <proof>

**lemma** *nth0-Cons*: (x # xs') !<sub>0</sub> i = (case i of 0  $\Rightarrow$  x | Suc i'  $\Rightarrow$  xs' !<sub>0</sub> i')  
 <proof>

**lemma** *nth0-Cons-0* [*simp, code*]: (x # xs) !<sub>0</sub> 0 = x  
 <proof>

**lemma** *nth0-Cons-Suc* [*simp, code*]: (x # xs) !<sub>0</sub> (Suc n) = xs !<sub>0</sub> n  
 <proof>

**lemma** *nth0-finite*[*simp*]: finite {i. xs !<sub>0</sub> i  $\neq$  0}  
 <proof>

**lemma** *nth0-inj*: length xs = length ys  $\Longrightarrow$  (!<sub>0</sub>) xs = (!<sub>0</sub>) ys  $\Longrightarrow$  xs = ys  
 <proof>

**lemma** *nth0-sum-list*: sum-list i = ( $\sum$  v | i !<sub>0</sub> v  $\neq$  0. i !<sub>0</sub> v)  
 <proof>

**lemma** *lookup-Abs-poly-mapping-nth0*[*simp*]:

*lookup* (*Abs-poly-mapping* (!<sub>0</sub>) *xs*) = (!<sub>0</sub>) *xs*  
⟨*proof*⟩

**lemma** *Abs-poly-mapping-nth0-single*[*simp*]:  
*Abs-poly-mapping* (!<sub>0</sub>) [*x*] = *Poly-Mapping.single* 0 *x*  
⟨*proof*⟩

**lemma** *Abs-poly-mapping-nth0-append-single*[*simp*]:  
*Abs-poly-mapping* (!<sub>0</sub>) (*xs* @ [*x*]) =  
*Abs-poly-mapping* (!<sub>0</sub>) *xs* + *Poly-Mapping.single* (length *xs*) *x*  
⟨*proof*⟩

**lemma** *Sum-any-rev-image*:  
**assumes** *finite* {*x*. *f x* ≠ 0}  
**shows** *Sum-any* (λ*m*. *Sum-any* (λ*x*. *f x* when *g x* = *m*)) = *Sum-any* *f*  
⟨*proof*⟩

**lemma** *Sum-any-rev-image-add*:  
**assumes** *finite* {(*m*<sub>1</sub>, *m*<sub>2</sub>). *f m*<sub>1</sub> *m*<sub>2</sub> ≠ 0}  
**shows** *Sum-any* (λ*m*. (*Sum-any* (λ*m*<sub>1</sub>. *Sum-any* (λ*m*<sub>2</sub>. *f m*<sub>1</sub> *m*<sub>2</sub> when *m* = *m*<sub>1</sub>  
+ *m*<sub>2</sub>))))  
= *Sum-any* (λ*m*<sub>1</sub>. (*Sum-any* (λ*m*<sub>2</sub>. *f m*<sub>1</sub> *m*<sub>2</sub>)))  
⟨*proof*⟩

**lemma** *of-int-Sum-any*:  
**fixes** *f* :: 'b ⇒ int  
**assumes** *finite* {*a*. *f a* ≠ 0}  
**shows** (*of-int* :: int ⇒ 'a::ring-1) (*Sum-any* *f*) = *Sum-any* (*of-int* ∘ *f*)  
⟨*proof*⟩

**lemma** *of-int-Prod-any*:  
**fixes** *f* :: 'b ⇒ int  
**assumes** *finite* {*a*. *f a* ≠ 1}  
**shows** (*of-int* :: int ⇒ 'a::comm-ring-1) (*Prod-any* *f*) = *Prod-any* (*of-int* ∘ *f*)  
⟨*proof*⟩

### 1.1.2 The constant polynomial

**lemma** *Const-zero*: *Const* 0 = 0  
⟨*proof*⟩

**lemma** *Const-one*: *Const* 1 = 1  
⟨*proof*⟩

**lemma** *Const-add*: *Const* *a* + *Const* *b* = *Const* (*a* + *b*)  
⟨*proof*⟩

**lemma** *Const-mult*: *Const* *a* \* *Const* *b* = *Const* (*a* \* *b*)  
⟨*proof*⟩

**lemma** *Const-power*: *Const* *c* ^ *i* = *Const* (*c* ^ *i*)  
⟨*proof*⟩

**lemma** *Const-sub*: *Const* *a* - *Const* *b* = *Const* (*a* - *b*)

*<proof>*

**lemma** *Const-when*:  $Const (a \text{ when } P) = (Const a \text{ when } P)$   
*<proof>*

**lemma** *coeff-Const-zero*:  $MPoly\text{-Type}.coeff (Const c) 0 = c$   
*<proof>*

**lemma** *Const-sum-Any*:  $Const (Sum\text{-any } f) = Sum\text{-any } (Const \circ f)$   
*<proof>*

**lemma** *Const-numeral*:  $Const (numeral x) = numeral x$   
*<proof>*

**lemma** *union-subset*:  
fixes  $A :: 'a \text{ set}$   
and  $B :: 'b \text{ set}$   
and  $f :: 'a \Rightarrow 'b \text{ set}$   
assumes  $\bigwedge x. f x \subseteq B$   
shows  $\bigcup (f'A) \subseteq B$   
*<proof>*

**lemma** *of-nat-Const*:  $of\text{-nat } n = Const (int n)$   
*<proof>*

**lemma** *of-int-Const*:  $of\text{-int } x = Const x$   
*<proof>*

### 1.1.3 Finite sums and products

**lemma** *add-to-finite-sum*:  
fixes  $f :: 'b :: comm\text{-monoid}\text{-add} \Rightarrow 'a :: comm\text{-monoid}\text{-add}$  and  $g :: 'c \Rightarrow 'b$   
assumes  $\bigwedge x y. f (x+y) = f x + f y$  and  $f 0 = 0$   
shows  $finite S \Longrightarrow (\sum x \in S. f (g x)) = f (\sum x \in S. g x)$   
*<proof>*

**lemma** *mult-to-finite-prod*:  
fixes  $f :: 'b :: comm\text{-monoid}\text{-mult} \Rightarrow 'a :: comm\text{-monoid}\text{-mult}$  and  $g :: 'c \Rightarrow 'b$   
assumes  $\bigwedge x y. f (x*y) = f x * f y$  and  $f 1 = 1$   
shows  $finite S \Longrightarrow (\prod x \in S. f (g x)) = f (\prod x \in S. g x)$   
*<proof>*

**lemma** *nat-sum-distrib*:  
fixes  $f :: nat \Rightarrow int$   
assumes  $S\text{-fin}$ :  $finite S$  and  $nonneg$ :  $\bigwedge i. i \in S \Longrightarrow f i \geq 0$   
shows  $nat (\sum i \in S. f i) = (\sum i \in S. nat (f i))$   
*<proof>*

### 1.1.4 Insertion

**named-theorems** *insertion-simps* *Lemmas about insertion*

**lemma** *pow-when*:  $b \neq 0 \implies a \wedge (b \text{ when } P) = (\text{if } P \text{ then } a \wedge b \text{ else } 1)$   
*<proof>*

**declare** *insertion-add*[*simp*, *insertion-simps*]

**declare** *insertion-mult*[*simp*, *insertion-simps*]

**lemma** *insertion-Var*[*simp*, *insertion-simps*]: *insertion f (Var n) = f n*  
*<proof>*

**lemma** *insertion-Const*[*simp*, *insertion-simps*]: *insertion f (Const c) = c*  
*<proof>*

**lemma** *insertion-numeral*[*simp*, *insertion-simps*]: *insertion f (numeral n) = numeral n*  
*<proof>*

**lemma** *Sum-any-neg*:

**fixes** *f* :: -  $\Rightarrow$  'a::ring-1

**shows** *Sum-any* ( $\lambda a. - f a$ ) = - *Sum-any* ( $\lambda a. f a$ )

*<proof>*

**lemma** *insertion-neg*[*simp*, *insertion-simps*]:

**fixes** *f* :: -  $\Rightarrow$  'a::comm-ring-1

**shows** *insertion f* (- *p*) = - *insertion f p*

*<proof>*

**lemma** *insertion-diff*[*simp*, *insertion-simps*]:

**fixes** *f* :: -  $\Rightarrow$  'a::comm-ring-1

**shows** *insertion f* (*p* - *q*) = *insertion f p* - *insertion f q*

*<proof>*

**lemma** *insertion-of-int*[*simp*, *insertion-simps*]:

**fixes** *f*::nat  $\Rightarrow$  int **and** *c*::int

**shows** *insertion f* (*of-int c*) = *c*

*<proof>*

**lemma** *insertion-of-nat*[*simp*, *insertion-simps*]:

**fixes** *f*::nat  $\Rightarrow$  int **and** *n*::nat

**shows** *insertion f* (*of-nat n*) = int *n*

*<proof>*

**lemma** *insertion-pow*[*simp*, *insertion-simps*]: *insertion f (P^n) = (insertion f P)^n*

*<proof>*

**lemma** *insertion-sum*[*simp*, *insertion-simps*]:

*finite S*  $\implies$  *insertion f* ( $\sum_{i \in S}. P\ i$ ) = ( $\sum_{i \in S}. \text{insertion f } (P\ i)$ )  
<proof>

**lemma** *insertion-prod*[*simp*, *insertion-simps*]:  
*finite S*  $\implies$  *insertion f* ( $\prod_{i \in S}. P\ i$ ) = ( $\prod_{i \in S}. \text{insertion f } (P\ i)$ )  
<proof>

**lemma** *insertion-monom*[*simp*, *insertion-simps*]:  
*insertion f* (*monom m a*) = *a* \* ( $\prod x. f\ x \ ^ \text{lookup m } x$ )  
<proof>

**lemma** *insertion-of-int-times* : *insertion f* (*of-int n \* P*) = *n* \* *insertion f P*  
<proof>

**lemma** *pow-positive*:  
**fixes** *a* :: 'a::idom  
**assumes** *a*  $\neq 0$   
**assumes** *n* > 0  
**shows** *a* ^ *n*  $\neq 0$   
<proof>

One more typeclasses

**instance** *mpoly* :: (*semiring-no-zero-divisors*) *semiring-no-zero-divisors*  
<proof>

**end**  
**theory** *Degree*  
**imports** *Notation*  
**begin**

## 1.2 Degree of a given variable

**lemma** *degree-Const* [*simp*]: *degree* (*Const x*) *v* = 0  
<proof>

**lemma** *degree-Var* [*simp*]:  
*degree* ((*Var v*):: 'a::comm-semiring-1 *mpoly*) *v'* = (if *v* = *v'* then 1 else 0)  
<proof>

**lemma** *degree-neg*:  
**fixes** *P* :: 'a::ab-group-add *mpoly*  
**shows** *degree* ( $- P$ ) = *degree P*  
<proof>

**lemma** *degree-add*:  
**fixes** *P Q* :: 'a::ab-group-add *mpoly*  
**shows** *degree* ( $P + Q$ ) *v*  $\leq \max$  (*degree P v*) (*degree Q v*)

*<proof>*

**lemma** *degree-add'*:

**fixes**  $P Q :: 'a::\text{ab-group-add mpoly}$

**shows**  $\text{degree } (P + Q) v \leq \text{degree } P v + \text{degree } Q v$

*<proof>*

**lemma** *degree-add-different-degree*:

**fixes**  $P :: 'a::\text{ab-group-add mpoly}$

**assumes**  $\text{degree } P v \neq \text{degree } Q v$

**shows**  $\text{degree } (P + Q) v = \max (\text{degree } P v) (\text{degree } Q v)$

*<proof>*

**lemma** *degree-diff*:

**fixes**  $P Q :: 'a::\text{ab-group-add mpoly}$

**shows**  $\text{degree } (P - Q) v \leq \max (\text{degree } P v) (\text{degree } Q v)$

*<proof>*

**lemma** *degree-diff'*:

**fixes**  $P Q :: 'a::\text{ab-group-add mpoly}$

**shows**  $\text{degree } (P - Q) v \leq \text{degree } P v + \text{degree } Q v$

*<proof>*

**lemma** *degree-diff-different-degree*:

**fixes**  $P :: 'a::\text{ab-group-add mpoly}$

**assumes**  $\text{degree } P v \neq \text{degree } Q v$

**shows**  $\text{degree } (P - Q) v = \max (\text{degree } P v) (\text{degree } Q v)$

*<proof>*

**lemma** *degree-sum*:

**fixes**  $P :: 'a \Rightarrow 'b::\text{ab-group-add mpoly}$

**assumes**  $S\text{-fin: finite } S$

**shows**  $\text{degree } (\text{sum } P S) v \leq \text{Max } (\text{insert } 0 ((\lambda i. \text{degree } (P i) v) ` S))$

*<proof>*

**lemma** *degree-mult*:  $\text{degree } (P * Q) v \leq \text{degree } P v + \text{degree } Q v$

*<proof>*

**lemma** *degree-mult-non-zero*:

**fixes**  $P Q :: 'a::\text{idom mpoly}$

**assumes**  $P \neq 0 \ Q \neq 0$

**shows**  $\text{degree } (P * Q) v = \text{degree } P v + \text{degree } Q v$

*<proof>*

**lemma** *degree-pow*:  $\text{degree } (P \wedge n) v \leq n * \text{degree } P v$

*<proof>*

**lemma** *degree-pow-positive*:

**fixes**  $P :: 'a::\text{idom mpoly}$

**assumes**  $n > 0$   
**shows**  $\text{degree } (P \wedge n) v = n * \text{degree } P v$   
 $\langle \text{proof} \rangle$

**lemma** *degree-prod*:  
**assumes**  $S\text{-fin}$ : *finite*  $S$   
**shows**  $\text{degree } (\text{prod } P S) v \leq \text{sum } (\lambda i. \text{degree } (P i) v) S$   
 $\langle \text{proof} \rangle$

**end**  
**theory** *Variables*  
**imports** *Degree HOL-Eisbach.Eisbach*  
**begin**

### 1.3 Variables

**lemma** *Var-neq-zero*:  $(\text{Var } v :: 'a::\text{zero-neq-one } \text{mpoly}) \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *in-vars-non-zero-degree*:  $v \in \text{vars } P \longleftrightarrow \text{degree } P v \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *vars-non-zero-degree*:  $\text{vars } P = \{v. \text{degree } P v \neq 0\}$   
 $\langle \text{proof} \rangle$

**lemma** *vars-Const [simp]*:  $\text{vars } (\text{Const } x) = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *vars-zero [simp]*:  $\text{vars } 0 = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *vars-Var [simp]*:  $\text{vars } ((\text{Var } v) :: ('a::\text{zero-neq-one}) \text{mpoly}) = \{v\}$   
 $\langle \text{proof} \rangle$

**lemma** *vars-neg*:  
**fixes**  $P :: 'a::\text{ab-group-add } \text{mpoly}$   
**shows**  $\text{vars } (- P) = \text{vars } P$   
 $\langle \text{proof} \rangle$

**lemma** *vars-add-different-degree*:  
**fixes**  $P :: 'a::\text{ab-group-add } \text{mpoly}$   
**assumes**  $\forall u \in \text{vars } P \cap \text{vars } Q. \text{degree } P u \neq \text{degree } Q u$   
**shows**  $\text{vars } (P + Q) = \text{vars } P \cup \text{vars } Q$   
 $\langle \text{proof} \rangle$

**lemma** *vars-diff*:  
**fixes**  $P :: 'a::\text{ab-group-add } \text{mpoly}$   
**shows**  $\text{vars } (P - Q) \subseteq \text{vars } P \cup \text{vars } Q$

$\langle proof \rangle$

**lemma** *vars-diff-different-degree*:

**fixes**  $P :: 'a::ab-group-add mpoly$

**assumes**  $\forall u \in vars P \cap vars Q. degree P u \neq degree Q u$

**shows**  $vars (P - Q) = vars P \cup vars Q$

$\langle proof \rangle$

**lemma** *vars-mult-non-zero*:

**fixes**  $P Q :: 'a::idom mpoly$

**shows**  $P \neq 0 \implies Q \neq 0 \implies vars (P * Q) = vars P \cup vars Q$

$\langle proof \rangle$

**lemma** *vars-pow*:  $vars (P^n) \subseteq vars P$

$\langle proof \rangle$

**lemma** *vars-pow-positive*:

**fixes**  $P :: 'a::idom mpoly$

**assumes**  $n > 0$

**shows**  $vars (P^n) = vars P$

$\langle proof \rangle$

**lemma** *vars-prod*:

**fixes**  $S :: 'a set$

**and**  $f :: - \Rightarrow (- :: zero-neq-one) mpoly$

**shows**  $vars (prod f S) \subseteq \bigcup (vars 'f' S)$

$\langle proof \rangle$

**lemma** *vars-empty*:

**assumes**  $vars P = \{\}$

**shows**  $\exists c. P = Const c$

$\langle proof \rangle$

### 1.3.1 Maximum variable index

**definition** *max-vars where*  $max-vars P \equiv Max (insert 0 (vars P))$

**lemma** *after-max-vars*:

$lookup (mapping-of P) m \neq 0 \implies \forall v \geq max-vars P + 1. lookup m v = 0$

$\langle proof \rangle$

**lemma** *max-vars-of-nonempty*:  $vars P \neq \{\} \implies max-vars P = Max (vars P)$

$\langle proof \rangle$

### 1.3.2 Simplification rules for maximum variable index

**lemma** *max-vars-Const [simp]*:  $max-vars (Const x) = 0$

$\langle proof \rangle$

**lemma** *max-vars-one [simp]*:  $max-vars 1 = 0$

*<proof>*

**lemma** *max-vars-Var* [*simp*]:  $\text{max-vars } ((\text{Var } v) :: ('a::\text{zero-neq-one}) \text{ mpoly}) = v$   
*<proof>*

**lemma** *max-vars-add*:  $\text{max-vars } (P + Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$   
*<proof>*

**lemma** *max-vars-neg*:  $\text{max-vars } (- P) = \text{max-vars } P$   
*<proof>*

**lemma** *max-vars-diff*:  
**fixes**  $P :: 'a::\text{ab-group-add} \text{ mpoly}$   
**shows**  $\text{max-vars } (P - Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$   
*<proof>*

**lemma** *max-vars-diff'*:  
**fixes**  $P :: \text{int} \text{ mpoly}$   
**shows**  $\text{max-vars } (P - Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$   
*<proof>*

**lemma** *max-vars-pow*:  $\text{max-vars } (P \wedge n) \leq \text{max-vars } P$   
*<proof>*

**lemma** *max-vars-pow-positive*:  
**fixes**  $P :: 'a::\text{idom} \text{ mpoly}$   
**assumes**  $n > 0$   
**shows**  $\text{max-vars } (P \wedge n) = \text{max-vars } P$   
*<proof>*

**lemma** *max-vars-mult*:  $\text{max-vars } (P * Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$   
*<proof>*

**lemmas** *max-vars-simps* = *max-vars-add max-vars-neg max-vars-diff max-vars-pow*  
*max-vars-pow-positive max-vars-mult*

**method** *mpoly-vars* =  
( *rule subset-trans*[*OF vars-pow*]  
| *rule subset-trans*[*OF vars-add Un-least*]  
| *rule subset-trans*[*OF vars-diff Un-least*]  
| *rule subset-trans*[*OF vars-mult Un-least*]  
| *rule Set.empty-subsetI*  
| *unfold vars-neg*  
| *unfold Const-numeral*[*symmetric*]  
| *unfold vars-Var*  
| *unfold vars-Const*  
| *simp-all* )+

```

end
theory Total-Degree
  imports Variables
begin

```

## 1.4 Total degree

**named-theorems** *total-degree-simps* *Lemmas about the total-degree function*

```

lemma total-degree-Const [simp]: total-degree (Const x) = 0
  ⟨proof⟩

```

```

lemma total-degree-Var [simp]:
  total-degree ((Var v):: 'a::comm-semiring-1 mpoly) = 1
  ⟨proof⟩

```

```

lemma total-degree-zero-degree-zero:
  assumes total-degree P = 0
  shows degree P v = 0
  ⟨proof⟩

```

```

lemma total-degree-zero:
  assumes total-degree P = 0
  shows  $\exists c. P = \text{Const } c$ 
  ⟨proof⟩

```

```

lemma total-degree-neg[total-degree-simps]:
  fixes P :: 'a::ab-group-add mpoly
  shows total-degree (- P) = total-degree P
  ⟨proof⟩

```

```

lemma total-degree-add[total-degree-simps]:
  shows total-degree (P + Q)  $\leq \max$  (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-add-different-total-degree:
  fixes P :: 'a::ab-group-add mpoly
  assumes total-degree P  $\neq$  total-degree Q
  shows total-degree (P + Q) =  $\max$  (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-diff[total-degree-simps]:
  fixes P :: 'a::ab-group-add mpoly
  shows total-degree (P - Q)  $\leq \max$  (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-diff-different-total-degree:
  fixes P :: 'a::ab-group-add mpoly

```

**assumes**  $\text{total-degree } P \neq \text{total-degree } Q$   
**shows**  $\text{total-degree } (P - Q) = \max (\text{total-degree } P) (\text{total-degree } Q)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-mult}[\text{total-degree-simps}]$ :  
 $\text{total-degree } (P * Q) \leq \text{total-degree } P + \text{total-degree } Q$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-mult-non-zero}$ :  
**fixes**  $P Q :: 'a::\text{idom } \text{mpoly}$   
**assumes**  $P \neq 0 \ Q \neq 0$   
**shows**  $\text{total-degree } (P * Q) = \text{total-degree } P + \text{total-degree } Q$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-pow}$ :  $\text{total-degree } (P \wedge n) \leq n * \text{total-degree } P$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-pow-positive}[\text{total-degree-simps}]$ :  
**fixes**  $P :: 'a::\text{idom } \text{mpoly}$   
**assumes**  $n > 0$   
**shows**  $\text{total-degree } (P \wedge n) = n * \text{total-degree } P$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-sum}$ :  
**fixes**  $P :: 'a \Rightarrow 'b::\text{comm-monoid-add } \text{mpoly}$   
**assumes**  $S\text{-fin}: \text{finite } S$   
**shows**  $\text{total-degree } (\text{sum } P S) \leq \text{Max } (\text{insert } 0 ((\lambda i. \text{total-degree } (P i)) ' S))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-prod}$ :  
**assumes**  $S\text{-fin}: \text{finite } S$   
**shows**  $\text{total-degree } (\text{prod } P S) \leq \text{sum } (\lambda i. \text{total-degree } (P i)) S$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Max-function-mono}$ :  
**fixes**  $f g :: 'a \Rightarrow \text{nat}$   
**assumes**  $\text{finite } A$   
**assumes**  $A \neq \{\}$   
**assumes**  $\forall a \in A. f a \leq g a$   
**shows**  $\text{Max } (f ' A) \leq \text{Max } (g ' A)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{degree-total-degree-bound}$ :  
 $\text{degree } P v \leq \text{total-degree } P$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{total-degree-bound}$ :  
 $\text{total-degree } P \leq \text{sum } (\text{degree } P) (\text{vars } P)$   
 $\langle \text{proof} \rangle$

**end**  
**theory** *Poly-Expansions*  
**imports** *Total-Degree*  
**begin**

## 1.5 Explicit expansions

**lemma** *mpoly-keys-subset*:  $keys (mapping-of P) \subseteq Abs-poly-mapping \text{ ‘ (!}_0 \text{) ‘}$   
 $\{i. length i = max-vars P + 1 \wedge sum-list i \leq total-degree P\}$   
 $\langle proof \rangle$

**lemma** *monom-single*:  $monom (Poly-Mapping.single v p) a = Const a * (Var v) \wedge p$   
 $\langle proof \rangle$

**lemma** *coeff-monom* :  
 $coeff (monom m a) m' = (if m=m' then a else 0)$   
 $\langle proof \rangle$

**lemma** *monom-eq-var*:  
 $monom (Abs-poly-mapping (\lambda v'. (Suc 0) when v=v')) 1 = MPoly (Var_0 v)$   
 $\langle proof \rangle$

**lemma** *monom-eq-power-var*:  
 $monom (Abs-poly-mapping (\lambda v'. n when v = v')) 1 = MPoly (Var_0 v) \wedge n$   
 $\langle proof \rangle$

**lemma** *coeff-prod-monom-not-enough*:  
**fixes**  $m m' a$   
**assumes**  $\exists k. lookup m k < lookup m' k$   
**shows**  $coeff (monom m' a * Q) m = 0$   
 $\langle proof \rangle$

**lemma** *finite-sum-mpoly-commute*:  
 $finite S \implies (\sum m \in S. MPoly (f m)) = MPoly (\sum m \in S. f m)$   
 $\langle proof \rangle$

**lemma** *finite-prod-mpoly-commute*:  
 $finite S \implies (\prod m \in S. MPoly (f m)) = MPoly (\prod m \in S. f m)$   
 $\langle proof \rangle$

**lemma** *power-mpoly-commute*:  $MPoly a \wedge p = MPoly (a \wedge p)$   
 $\langle proof \rangle$

**lemma** *finite-sum-poly-mapping-commute* :  
 $finite S \implies (\bigwedge m. finite \{x. f m x \neq 0\}) \implies$   
 $(\sum m \in S. Abs-poly-mapping (f m)) = Abs-poly-mapping (\lambda x. \sum m \in S. f m x)$   
 $\langle proof \rangle$

**lemma** *coeff-sum-monom*:

**assumes** *finite* {*m. f m* ≠ 0}

**shows** *coeff* (*Sum-any* ( $\lambda m. \text{monom } m (f m)$ )) = *f*

⟨*proof*⟩

**lemma** *coeff-sum-monom-bis*:

**assumes** *finite* {*m. f m* ≠ 0} **and** *finite S*

**shows** *coeff* ( $\sum m \in S. \text{monom } m (f m)$ ) *m'* = (if *m' ∈ S* then *f m'* else 0)

⟨*proof*⟩

**lemma** *cst-poly-times-monom*: *MPoly* (*Const*<sub>0</sub> (*a::('a::semiring-1)*)) \* *monom m*  
*b* = *monom m* (*a\*b*)

⟨*proof*⟩

**lemma** *cst-poly-times-monom-one*: *MPoly* (*Const*<sub>0</sub> (*a::('a::semiring-1)*)) \* *monom*  
*m 1* = *monom m a*

⟨*proof*⟩

**lemma** *poly-eq-sum-monom*: *P* = *Sum-any* ( $\lambda m. \text{monom } m (\text{coeff } P m)$ )

⟨*proof*⟩

**lemma** *poly-eq-sum-monom-alt*: *P* = ( $\sum m \in (\text{keys } (\text{mapping-of } P)). \text{monom } m$   
(*coeff P m*))

⟨*proof*⟩

**lemma** *coeff-sum*:

**fixes** *P::- ⇒ 'a::comm-monoid-add mpoly*

**assumes** *S-fin*: *finite S*

**shows** *coeff* (*sum P S*) *m* = ( $\sum i \in S. \text{coeff } (P i) m$ )

⟨*proof*⟩

**lemma** *coeff-var-power-le*:

$j \leq i \implies \text{MPoly-Type.coeff } (\text{Var } v \wedge j * P) (\text{Poly-Mapping.single } v i)$   
= *MPoly-Type.coeff P* (*Poly-Mapping.single v* (*i - j*))

⟨*proof*⟩

**lemma** *coeff-var-power-eq*: *MPoly-Type.coeff* (*Var v*  $\wedge$  *i*) (*Poly-Mapping.single v*  
*i*) = 1

⟨*proof*⟩

**lemma** *coeff-const*:  $i > 0 \implies \text{MPoly-Type.coeff } (\text{Const } c) (\text{Poly-Mapping.single}$   
*v i*) = 0

⟨*proof*⟩

**lemma** *mpoly-univariate-expansion*:

**fixes** *P::'a::comm-semiring-1 mpoly* **and** *v::nat*

**assumes** *univariate*: *vars P*  $\subseteq$  {*v*}

**shows**  $P = \text{Sum-any } (\lambda i. \text{monom } (\text{Poly-Mapping.single } v \ i) \ (\text{coeff } P \ (\text{Poly-Mapping.single } v \ i)))$   
 <proof>

**lemma** *term-expansion-lemma-1*:  $i \neq [] \implies$   
 $\text{Poly-Mapping.single } (\text{Abs-poly-mapping } (!_0 \ i)) \ (1 :: 'a::\text{comm-semiring-1}) =$   
 $(\prod s = 0..(\text{length } i - 1). \text{Var}_0 \ s \ ^{(i \ ! \ s)})$   
 <proof>

**lemma** *term-expansion-lemma-2*:  $i \neq [] \implies$   
 $\text{monom } (\text{Abs-poly-mapping } (!_0 \ i)) \ c =$   
 $\text{MPoly } (\text{Const}_0 \ c) * \text{MPoly } (\prod s = 0..\text{length } i - 1. \text{Var}_0 \ s \ ^{(i \ ! \ s)})$   
 <proof>

**lemma** *term-expansion*:  $i \neq [] \implies$   
 $\text{monom } (\text{Abs-poly-mapping } (!_0 \ i)) \ c =$   
 $\text{Const } c * (\prod s = 0..\text{length } i - 1. \text{Var } s \ ^{(i \ ! \ s)})$   
 <proof>

**fun** *sample-prefix* ::  $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow 'a \ \text{list}$  **where**  
 $\text{sample-prefix } 0 \ f = [] \ |$   
 $\text{sample-prefix } (\text{Suc } l) \ f = \text{sample-prefix } l \ f \ @ \ [f \ l]$

**lemma** *sample-prefix-length[simp]*:  $\text{length } (\text{sample-prefix } l \ f) = l$   
 <proof>

**lemma** *sample-prefix-cong*:  
 $(\forall x < n. f \ x = g \ x) \implies \text{sample-prefix } n \ f = \text{sample-prefix } n \ g$   
 <proof>

**lemma** *sample-prefix-inv-nth0*:  $(\forall i \geq n. f \ i = 0) \implies f = (!_0) \ (\text{sample-prefix } n \ f)$   
 <proof>

**lemma** *sample-prefix-inj*:  
 $\text{inj-on } (\lambda f. \text{sample-prefix } n \ f) \ \{f. \forall i \geq n. (f \ i :: 'a::\text{zero}) = 0\}$   
 <proof>

**lemma** *lookup-nth0-total-degree*:  
 $\text{lookup } (\text{mapping-of } P) \ (\text{Abs-poly-mapping } (!_0 \ i)) \ \neq 0 \implies$   
 $\text{sum-list } i \leq \text{total-degree } P$   
 <proof>

**lemma** *prod-monom*:  $\text{finite } S \implies \text{prod } (\lambda s. \text{monom } (x \ s) \ (a \ s)) \ S = \text{monom } (\text{sum } x \ S) \ (\text{prod } a \ S)$   
 <proof>

**lemma** *poly-mapping-expansion*:  $x = (\sum s \in \text{keys } x. \text{Abs-poly-mapping } (\lambda v'. \text{lookup } x \ s \ \text{when } s = v'))$

*<proof>*

**lemma** *monom-expansion*:

**shows**  $\text{monom } x \ c = \text{Const } c * (\prod s \in \text{keys } x. \text{Var } s \wedge (\text{lookup } x \ s))$   
*<proof>*

**lemma** *monom-expansion'*:

**fixes**  $P :: 'a :: \{\text{ring-no-zero-divisors, comm-semiring-1}\} \text{mpoly}$   
**assumes**  $x \in \text{keys } (\text{mapping-of } P)$   
**shows**  $\text{monom } x \ (\text{coeff } P \ x) = \text{Const } (\text{coeff } P \ x) * (\prod s = 0.. \text{max-vars } P. \text{Var } s \wedge (\text{lookup } x \ s))$   
*<proof>*

**lemma** *mpoly-multivariate-expansion'*:

**fixes**  $P :: 'a :: \{\text{ring-no-zero-divisors, comm-semiring-1}\} \text{mpoly}$   
**shows**  $P = (\sum m \in \text{keys } (\text{mapping-of } P). \text{Const } (\text{coeff } P \ m) * (\prod s = 0.. \text{max-vars } P. (\text{Var } s) \wedge (\text{lookup } m \ s)))$   
*<proof>*

**lemma** *mpoly-multivariate-expansion*:

**fixes**  $P :: 'a :: \text{comm-semiring-1} \text{mpoly}$   
**shows**  $P = (\sum i \mid \text{length } i = \text{max-vars } P + 1 \wedge \text{sum-list } i \leq \text{total-degree } P. \text{Const } (\text{coeff } P \ (\text{Abs-poly-mapping } (!_0 \ i))) * (\prod s = 0.. \text{max-vars } P. (\text{Var } s) \wedge (i \ ! \ s)))$   
*<proof>*

**lemma** *mpoly-univariate-expansion-sum*:

**fixes**  $P :: ('a :: \text{comm-ring-1}) \text{mpoly}$   
**assumes**  $\text{vars } P \subseteq \{v\}$   
**defines**  $q \equiv \text{MPoly-Type.degree } P \ v$   
**defines**  $\text{coeff-P} \equiv (\lambda d. \text{coeff } P \ (\text{Poly-Mapping.single } v \ d))$   
**shows**  $P = (\sum d = 0..q. \text{Const } (\text{coeff-P } d) * (\text{Var } v) \wedge d)$   
*<proof>*

**end**

**theory** *Substitutions*

**imports** *Poly-Expansions*

**begin**

## 1.6 Substitution

The following definitions allow substituting polynomials into the variables of the given polynomial  $p$ . They correspond to  $\{\text{@const subst-pp}\}$  and  $\{\text{@const poly-subst}$  in the AFP entry  $\{\text{@afp Polynomials.Poly-PM}\}$

**definition** *poly-subst-monom*  $:: (\text{nat} \Rightarrow 'a :: \text{comm-semiring-1} \text{mpoly}) \Rightarrow (\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a \text{mpoly}$

**where**  $\text{poly-subst-monom } f \ t = (\prod x. (f \ x) \wedge (\text{lookup } t \ x))$

**definition** *poly-subst* :: (nat  $\Rightarrow$  'a::comm-semiring-1 mpoly)  $\Rightarrow$  'a mpoly  $\Rightarrow$  'a mpoly

**where** *poly-subst* f p = Sum-any ( $\lambda t$ . (Const (coeff p t)) \* (poly-subst-monom f t))

**definition** *poly-subst-list* **where** *poly-subst-list*  $\equiv$  *poly-subst*  $\circ$  (!<sub>0</sub>)

**abbreviation** *insertion-list* **where** *insertion-list*  $\equiv$  *insertion*  $\circ$  (!<sub>0</sub>)

**lemma** *poly-subst-monom-alt*: *poly-subst-monom* f t = ( $\prod x \in \text{keys } t$ . (f x)  $\wedge$  (lookup t x))  
 <proof>

**lemma** *poly-subst-alt*: *poly-subst* f p = ( $\sum t \in \text{keys } (\text{mapping-of } p)$ . (Const (coeff p t)) \* (poly-subst-monom f t))  
 <proof>

**lemma** *poly-subst-list-id*:

**fixes** p :: 'a::{comm-semiring-1,ring-no-zero-divisors} mpoly

**assumes** k  $\geq$  max-vars p

**shows** *poly-subst-list* (map Var [0..*Suc* k]) p = p

<proof>

**lemma** *insertion-poly-subst-monom*:

*insertion* g (poly-subst-monom f t) = ( $\prod x$ . (*insertion* g (f x))  $\wedge$  (lookup t x))

<proof>

**lemma** *insertion-poly-subst*:

*insertion* g (poly-subst f p) = *insertion* ((*insertion* g)  $\circ$  f) p

<proof>

**lemma** *insertion-nth0*: *insertion* f (l !<sub>0</sub> x) = (map (*insertion* f) l) !<sub>0</sub> x

<proof>

**lemma** *poly-subst-monom-zero* [*simp*]:

*poly-subst-monom* f 0 = 1

<proof>

**lemma** *poly-subst-monom-single* [*simp*]:

*poly-subst-monom* f (Poly-Mapping.single v 1) = f v

<proof>

**lemma** *poly-subst-monom-add*: *poly-subst-monom* f (m<sub>1</sub> + m<sub>2</sub>) = *poly-subst-monom* f m<sub>1</sub> \* *poly-subst-monom* f m<sub>2</sub>

<proof>

**lemma** *poly-subst-zero* [*simp*]:

*poly-subst* f 0 = 0

<proof>

**lemma** *poly-subst-one* [simp]:

$$\text{poly-subst } f \ 1 = 1$$

*<proof>*

**lemma** *poly-subst-Var* [simp]:

$$\text{poly-subst } f \ (\text{Var } v) = f \ v$$

*<proof>*

**lemma** *poly-subst-Const* [simp]:

$$\text{poly-subst } f \ (\text{Const } c) = (\text{Const } c)$$

*<proof>*

**lemma** *poly-subst-numeral*[simp]:

$$\text{poly-subst } f \ (\text{numeral } n) = (\text{numeral } n)$$

*<proof>*

**lemma** *poly-subst-add* [simp]:

$$\text{poly-subst } f \ (P + Q) = \text{poly-subst } f \ P + \text{poly-subst } f \ Q$$

*<proof>*

**lemma** *poly-subst-uminus* [simp]:

$$\text{poly-subst } f \ (- P) = - \text{poly-subst } f \ P$$

*<proof>*

**lemma** *poly-subst-diff* [simp]:

$$\text{poly-subst } f \ ((P::('a::\{ab-group-add,comm-semiring-1\}) \text{mpoly}) - Q) = \text{poly-subst } f \ P - \text{poly-subst } f \ Q$$

*<proof>*

**lemma** *poly-subst-sum*:

$$\text{poly-subst } f \ (\text{sum } P \ A) = \text{sum } (\text{poly-subst } f \ \circ P) \ A$$

*<proof>*

**lemma** *poly-subst-mult* [simp]:

$$\text{poly-subst } f \ (P * Q) = \text{poly-subst } f \ P * \text{poly-subst } f \ Q$$

*<proof>*

**lemma** *poly-subst-prod*:

$$\text{poly-subst } f \ (\text{prod } P \ A) = \text{prod } (\text{poly-subst } f \ \circ P) \ A$$

*<proof>*

**lemma** *poly-subst-monom-id*: *poly-subst-monom* (Var) *t* = *monom t 1*

*<proof>*

**lemma** *poly-subst-id*: *poly-subst* (Var) *p* = *p*

*<proof>*

Vars of substitutions

**lemma** *vars-poly-subst-monom*:  $\text{vars } (\text{poly-subst-monom } f t) \subseteq \bigcup (\text{vars } ' (f ' \text{keys } t))$

*<proof>*

**lemma** *vars-poly-subst-monom'*:  $\text{vars } (\text{poly-subst-monom } (!_0 \text{ } ls) t) \subseteq \bigcup (\text{vars } ' \text{ set } ls)$

*<proof>*

**lemma** *vars-poly-subst-list*:  $\text{vars } (\text{poly-subst-list } ls p) \subseteq \bigcup (\text{vars } ' \text{ set } ls)$

*<proof>*

**lemma** *vars-poly-subst-monom-bounded*:

$\forall v \in (\text{keys } t). v \leq \text{bound} \implies \text{vars } (\text{poly-subst-monom } (!_0 \text{ } ls) t) \subseteq \bigcup (\text{vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

*<proof>*

**lemma** *aux0*:  $\text{max-vars } p \leq \text{bound} \implies m \in \text{keys } (\text{mapping-of } p) \implies \forall v \in (\text{keys } m). v \leq \text{bound}$

*<proof>*

**lemma** *vars-poly-subst-list-bounded*:

**assumes**  $\text{max-vars } p \leq \text{bound}$

**shows**  $\text{vars } (\text{poly-subst-list } ls p) \subseteq \bigcup (\text{vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

*<proof>*

**lemma** *vars-poly-subst*:

$\text{vars } (\text{poly-subst } f p) \subseteq (\bigcup t \in \text{vars } p. \text{vars } (f t))$

*<proof>*

**lemma** *max-vars-poly-subst-list-general*:

**shows**  $\text{max-vars } (\text{poly-subst-list } ls p) \leq \text{Max } (\text{max-vars } ' \text{ set } ls)$

*<proof>*

**lemma** *max-vars-poly-subst-list-bounded*:

$\text{max-vars } p \leq \text{bound} \implies \text{max-vars } (\text{poly-subst-list } ls p) \leq \text{Max } (\text{max-vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

*<proof>*

**lemma** *max-vars-id*:

**fixes**  $p :: 'a :: \{\text{comm-semiring-1, ring-no-zero-divisors}\} \text{ mpoly}$

**shows**  $\text{max-vars } (\text{poly-subst-list } (\text{map } \text{Var } [0..<\text{Suc } k]) p) \leq k$

*<proof>*

Degrees of substitutions

**lemma** *degree-poly-subst-monom*:

**fixes**  $f$

**assumes**  $\text{finite } \{k. f k \neq 0\}$

**defines**  $\text{degree-monom} \equiv (\lambda m t. (\text{lookup } m) t)$

**shows**  $\text{degree } (\text{poly-subst-monom } f m) v$   
 $\leq (\sum t \mid v \in \text{vars } (f t)). \text{degree-monom } m t * \text{degree } (f t) v$   
 $\langle \text{proof} \rangle$

**lemma** *degree-poly-subst*:  
**fixes**  $p :: 'a::\text{comm-ring-1 } \text{mpoly}$   
**fixes**  $f :: \text{nat} \Rightarrow 'a \text{ mpoly}$   
**assumes**  $\text{finite } \{k. f k \neq 0\}$   
**shows**  $\text{degree } (\text{poly-subst } f p) v \leq (\sum t \mid v \in \text{vars } (f t)). \text{degree } p t * \text{degree } (f t) v$   
 $\langle \text{proof} \rangle$

**lemma** *degree-poly-subst'*:  
**fixes**  $p :: 'a::\text{comm-ring-1 } \text{mpoly}$   
**fixes**  $f :: \text{nat} \Rightarrow 'a \text{ mpoly}$   
**assumes**  $\text{finite } \{k. f k \neq 0\}$   
**shows**  $\text{degree } (\text{poly-subst } f p) v \leq (\sum t \in \text{vars } p. \text{degree } p t * \text{degree } (f t) v)$   
 $\langle \text{proof} \rangle$

**lemma** *degree-poly-subst-list*:  
**fixes**  $p :: 'a::\text{comm-ring-1 } \text{mpoly}$   
**shows**  $\text{degree } (\text{poly-subst-list } ls p) v \leq (\sum t \mid v \in \text{vars } (ls !_0 t)). \text{degree } p t * \text{degree } (ls !_0 t) v$   
 $\langle \text{proof} \rangle$

**lemma** *degree-poly-subst-list'*:  
**fixes**  $p :: 'a::\text{comm-ring-1 } \text{mpoly}$   
**shows**  $\text{degree } (\text{poly-subst-list } ls p) v \leq (\sum t \leq \text{length } ls. \text{degree } p t * \text{degree } (ls !_0 t) v)$   
 $\langle \text{proof} \rangle$

Total degree of substitutions

**lemma** *deg-imp-tot-deg-zero*:  $(\forall v \in \text{vars } P. \text{degree } P v = 0) \implies \text{total-degree } P = 0$   
 $\langle \text{proof} \rangle$

**lemma** *total-degree-poly-subst-monom*:  
**fixes**  $f$   
**defines**  $\text{degree-monom} \equiv (\lambda m t. (\text{lookup } m) t)$   
**shows**  $\text{total-degree } (\text{poly-subst-monom } f m)$   
 $\leq (\sum t \in \text{keys } m. \text{degree-monom } m t * \text{total-degree } (f t))$   
 $\langle \text{proof} \rangle$

**lemma** *total-degree-poly-subst*:  
**shows**  $\text{total-degree } (\text{poly-subst } f p) \leq (\sum t \in \text{vars } p. \text{degree } p t * \text{total-degree } (f t))$   
 $\langle \text{proof} \rangle$

**lemma** *total-degree-poly-subst-list*:  
**fixes**  $p :: 'a::\text{comm-ring-1 } \text{mpoly}$

**shows**  $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq (\sum t \in \text{vars } p. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$   
 (ls !<sub>0</sub> t)  
 ⟨proof⟩

**lemma** *total-degree-poly-subst-list'*:  
**fixes**  $p :: 'a::\text{comm-ring-1} \ \text{mpoly}$   
**assumes**  $\text{max-vars } p \leq \text{length } ls$   
**shows**  $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq (\sum t \leq \text{length } ls. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$   
 (ls !<sub>0</sub> t)  
 ⟨proof⟩

**lemma** *total-degree-poly-subst-list''*:  
**fixes**  $p :: 'a::\text{comm-ring-1} \ \text{mpoly}$   
**assumes**  $\forall i \leq \text{length } ls. \text{card } (\text{vars } (ls \ ! \ i)) \leq 1$   
**shows**  $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq \text{length } ls * (\sum t \leq \text{length } ls. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$   
 ⟨proof⟩

**end**  
**theory** *Type-Casting*  
**imports** *Substitutions*  
**begin**

## 1.7 Type casting for polynomials

**named-theorems** *of-int-mpoly-simps* *Lemmas about of-int-mpoly*

**definition** *of-int-mpoly* ::  $\text{int } \text{mpoly} \Rightarrow 'a::\text{ring-1} \ \text{mpoly}$  **where**  
 $\text{of-int-mpoly } P = \text{MPoly } (\text{Abs-poly-mapping } (\text{of-int} \circ \text{lookup } (\text{mapping-of } P)))$

**lemma** *of-int-mpoly-coeff* [*simp*, *of-int-mpoly-simps*]:  
 $\text{coeff } (\text{of-int-mpoly } P) \ a = \text{of-int } (\text{coeff } P \ a)$   
 ⟨proof⟩

**lemma** *of-int-mpoly-zero* [*of-int-mpoly-simps*]:  
 $\text{of-int-mpoly } 0 = 0$   
 ⟨proof⟩

**lemma** *eq-onp-intF*:  
**fixes**  $\mathcal{F} :: \text{int } \text{mpoly}$   
**shows**  $\text{eq-onp } (\lambda f. \text{finite } \{x. f \ x \neq 0\}) \ (\lambda x. \text{of-int } (\text{lookup } (\text{mapping-of } \mathcal{F}) \ x)) \ (\lambda x. \text{of-int } (\text{lookup } (\text{mapping-of } \mathcal{F}) \ x))$   
 ⟨proof⟩

**lemma** *of-int-mpoly-one* [*of-int-mpoly-simps*]:  
 $\text{of-int-mpoly } 1 = 1$   
 ⟨proof⟩

**lemma** *of-int-mpoly-Var* [*of-int-mpoly-simps*]:

*of-int-mpoly* (Var  $n$ ) = Var  $n$

*<proof>*

**lemma** *of-int-mpoly-Const* [*of-int-mpoly-simps*]:

*of-int-mpoly* (Const  $c$ ) = Const (*of-int*  $c$ )

*<proof>*

**lemma** *of-int-mpoly-numeral* [*of-int-mpoly-simps*]:

*of-int-mpoly* (numeral  $n$ ) = numeral  $n$

*<proof>*

**lemma** *of-int-mpoly-add* [*of-int-mpoly-simps*]:

fixes  $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ( $\mathcal{F} + \mathcal{G}$ ) = *of-int-mpoly*  $\mathcal{F}$  + *of-int-mpoly*  $\mathcal{G}$

*<proof>*

**lemma** *of-int-mpoly-neg* [*of-int-mpoly-simps*]:

fixes  $\mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ( $-\mathcal{G}$ ) =  $-$  *of-int-mpoly*  $\mathcal{G}$

*<proof>*

**lemma** *of-int-mpoly-diff* [*of-int-mpoly-simps*]:

fixes  $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ( $\mathcal{F} - \mathcal{G}$ ) = *of-int-mpoly*  $\mathcal{F}$  - *of-int-mpoly*  $\mathcal{G}$

*<proof>*

**lemma** *of-int-mpoly-mult* [*of-int-mpoly-simps*]:

fixes  $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows (*of-int-mpoly* ( $\mathcal{F} * \mathcal{G}$ )) :: ( $'a::\text{ring-1}$ ) mpoly) = *of-int-mpoly*  $\mathcal{F}$  \* *of-int-mpoly*  $\mathcal{G}$

*<proof>*

**lemma** *of-int-mpoly-power* [*of-int-mpoly-simps*]:

fixes  $\mathcal{F} :: \text{int mpoly}$

shows *of-int-mpoly* ( $\mathcal{F} \wedge n$ ) = (*of-int-mpoly*  $\mathcal{F}$ )  $\wedge n$

*<proof>*

**lemma** *of-int-mpoly-sum* [*of-int-mpoly-simps*]:

fixes  $f :: 'a \Rightarrow \text{int mpoly}$  and  $S$

shows *of-int-mpoly* (sum  $f S$ ) = sum (*of-int-mpoly*  $\circ f$ )  $S$

*<proof>*

**lemma** *of-int-mpoly-prod* [*of-int-mpoly-simps*]:

fixes  $f :: 'a \Rightarrow \text{int mpoly}$  and  $S$

shows *of-int-mpoly* (prod  $f S$ ) = prod (*of-int-mpoly*  $\circ f$ )  $S$

*<proof>*

**lemma** *of-int-mpoly-Sum-any*:

```

fixes f :: 'a ⇒ int mpoly
assumes finite {a. f a ≠ 0}
shows (of-int-mpoly (Sum-any f) :: 'b::ring-1 mpoly) = Sum-any (of-int-mpoly
  ◦ f)
⟨proof⟩

lemma of-int-mpoly-Prod-any:
fixes f :: 'a ⇒ int mpoly
assumes finite {a. f a ≠ 1}
shows (of-int-mpoly (Prod-any f) :: 'b::comm-ring-1 mpoly) = Prod-any (of-int-mpoly
  ◦ f)
⟨proof⟩

lemma insertion-of-int-mpoly:
  insertion (of-int ◦ α) ((of-int-mpoly P) :: 'a::comm-ring-1 mpoly) = of-int (insertion
  α P)
⟨proof⟩

lemma of-int-mpoly-poly-subst-monom [of-int-mpoly-simps]:
  of-int-mpoly (poly-subst-monom f a) = poly-subst-monom (of-int-mpoly ◦ f :: nat
  ⇒ 'a::comm-ring-1 mpoly) a
  ⟨proof⟩

lemma of-int-mpoly-poly-subst [of-int-mpoly-simps]:
  of-int-mpoly (poly-subst f P) = poly-subst (of-int-mpoly ◦ f :: nat ⇒ 'a::comm-ring-1
  mpoly) (of-int-mpoly P)
  ⟨proof⟩

lemma of-int-general-Const: (of-int x :: ('a::ring-1) mpoly) = of-int-mpoly (Const
  x)
  ⟨proof⟩

lemma of-int-mpoly-degree[simp]:
  MPoly-Type.degree (of-int-mpoly P :: ('a::ring-1) mpoly) ≤ MPoly-Type.degree P
  ⟨proof⟩

lemma vars-of-int-mpoly:
  vars (of-int-mpoly P :: ('a :: {comm-semiring-1, ring-1}) mpoly) ⊆ vars P
  ⟨proof⟩

end
theory More-More-MPoly-Type
  imports Type-Casting Substitutions Poly-Expansions Total-Degree
    Variables Degree Notation
begin

end
theory Poly-Extract

```

```

imports More-More-MPoly-Type
keywords poly-extract :: thy-defn
begin

```

## 1.8 Automatic generation of polynomials from Isabelle terms

*<ML>*

```

end
theory Bit-Counting
imports Digit-Expansions.Binary-Operations HOL-Computational-Algebra.Primes
begin

```

## 2 The Coding Technique

### 2.1 Counting bits and number of carries

Count the number of bits in the binary expansion of  $n$

**definition** *bit-set* ::  $\text{nat} \Rightarrow \text{nat set}$  **where**  
*bit-set*  $n = \{i. n \text{ j } i = 1\}$

**definition** *count-bits* ::  $\text{nat} \Rightarrow \text{nat}$  **where**  
*count-bits*  $n = \text{card } (\text{bit-set } n)$

Count the number of carries in the binary addition of  $a$  and  $b$

**definition** *carry-set* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat set}$  **where**  
*carry-set*  $a b = \{i. \text{bin-carry } a b i = 1\}$

**definition** *count-carries* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**  
*count-carries*  $a b = \text{card } (\text{carry-set } a b)$

This shows that  $\{\text{@const count-bits}\}$  is well defined

**lemma** *bit-set-subset*:  $\text{bit-set } n \subseteq \{..<n\}$   
*<proof>*

**corollary** *bit-set-finite*: *finite* (*bit-set*  $n$ )  
*<proof>*

We can be more precise when we know how many bits  $n$  requires

**lemma** *bit-set-subset-variant*:  $n < 2^k \implies \text{bit-set } n \subseteq \{..<k\}$   
*<proof>*

**corollary** *count-bits-def-sum*:  $n < 2^k \implies \text{count-bits } n = (\sum i < k. n \text{ j } i)$   
*<proof>*

**corollary** *count-bits-bounded*:  $n < 2^k \implies \text{count-bits } n \leq k$   
*<proof>*

The following lemma shows that  $\{\text{@const count-carries}\}$  is well defined

**lemma** *carry-set-subset*:  $\text{carry-set } a \ b \subseteq \{\text{..max } a \ b\}$   
 $\langle \text{proof} \rangle$

**corollary** *carry-set-finite*:  $\text{finite } (\text{carry-set } a \ b)$   
 $\langle \text{proof} \rangle$

We can be more precise when we know how many bits  $a + b$  requires

**lemma** *carry-set-subset-variant*:  $a + b < 2^k \implies \text{carry-set } a \ b \subseteq \{\text{..<}k\}$   
 $\langle \text{proof} \rangle$

**corollary** *count-carries-def-sum*:  $a + b < 2^k \implies \text{count-carries } a \ b = (\sum_{i < k} \text{bin-carry } a \ b \ i)$   
 $\langle \text{proof} \rangle$

Some elementary properties of  $\{\text{@const count-bits}\}$  and  $\{\text{@const count-carries}\}$

**lemma** *bit-set-0[simp]*:  $\text{bit-set } 0 = \{\}$   
 $\langle \text{proof} \rangle$

**corollary** *count-bits-0[simp]*:  $\text{count-bits } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *bit-set-1[simp]*:  $\text{bit-set } 1 = \{0\}$   
 $\langle \text{proof} \rangle$

**corollary** *count-bits-1[simp]*:  $\text{count-bits } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *carry-set-n0[simp]*:  $\text{carry-set } n \ 0 = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *carry-set-0n[simp]*:  $\text{carry-set } 0 \ n = \{\}$   
 $\langle \text{proof} \rangle$

**corollary** *count-carries-n0[simp]*:  $\text{count-carries } n \ 0 = 0$   
 $\langle \text{proof} \rangle$

**corollary** *count-carries-0n[simp]*:  $\text{count-carries } 0 \ n = 0$   
 $\langle \text{proof} \rangle$

**lemma** *carry-set-sym*:  $\text{carry-set } a \ b = \text{carry-set } b \ a$   
 $\langle \text{proof} \rangle$

**corollary** *count-carries-sym*:  $\text{count-carries } a \ b = \text{count-carries } b \ a$   
 $\langle \text{proof} \rangle$

**lemma** *aux-geometric-sum*:  
 $(x::\text{nat}) > 1 \implies (x-1) * (\sum_{i < n} x^i) = x^n - 1$   
 $\langle \text{proof} \rangle$

**lemma** *aux-digit-sum-bound*:

**assumes**  $1 < (b::nat)$  **and**  $\forall i < q. f\ i < b$   
**shows**  $(\sum_{i < q}. f\ i * b^i) < b^q$   
 <proof>

**lemma** *carry-set-same*[simp]: *carry-set a a = Suc ' bit-set a*  
 (is ?A = ?B)  
 <proof>

**corollary** *count-carries-same*[simp]: *count-carries a a = count-bits a*  
 <proof>

**lemma** *bit-set-pow2*[simp]: *bit-set (2^k) = {k}*  
 <proof>

**corollary** *count-bits-pow2*[simp]: *count-bits (2^k) = 1*  
 <proof>

**lemma** *bit-set-block-ones*[simp]: *bit-set (2^k - 1) = {..<k}*  
 <proof>

**corollary** *count-bits-block-ones*[simp]: *count-bits (2^k-1) = k*  
 <proof>

The binary complement of a number with k bits

**lemma** *nth-bit-complement*:  
 $a < 2^k \implies (2^{k-1}-a) \text{ } i = (\text{if } i < k \text{ then } 1 - (a \text{ } i) \text{ else } 0)$   
 <proof>

**lemma** *bit-set-complement*:  
 $a < 2^k \implies \text{bit-set } (2^{k-1}-a) = \{..<k\} - \text{bit-set } a$   
 <proof>

**corollary** *count-bits-complement*:  
 $a < 2^k \implies \text{count-bits } (2^{k-1}-a) = k - \text{count-bits } a$   
 <proof>

**lemma** *carry-set-pow2-block-ones*[simp]: *carry-set (2^k) (2^k-1) = {}*  
 <proof>

**corollary** *count-carries-pow2-block-ones*[simp]: *count-carries (2^k) (2^k-1) = 0*  
 <proof>

**lemma** *bit-set-add-shift*:  
 $a < 2^k \implies \text{bit-set } (a + b * 2^k) = \text{bit-set } a \cup ((+) k) \text{ ' bit-set } b$   
 (is -  $\implies ?A = ?B$ )  
 <proof>

**corollary** *count-bits-add-shift*:  
 $a < 2^k \implies \text{count-bits } (a + b * 2^k) = \text{count-bits } a + \text{count-bits } b$

*<proof>*

**corollary** *count-bits-even[simp]*:  $\text{count-bits } (2*n) = \text{count-bits } n$   
*<proof>*

**corollary** *count-bits-odd[simp]*:  $\text{count-bits } (2*n+1) = 1 + \text{count-bits } n$   
*<proof>*

**lemma** *count-bits-digitwise*:

**assumes**  $1 \leq k$  **and**  $\forall i < q. f\ i < 2^k$

**shows**  $\text{count-bits } (\sum i < q. f\ i * (2^k)^i) = (\sum i < q. \text{count-bits } (f\ i))$

*<proof>*

**lemma** *count-carries-count-bits*:

$\text{count-bits } (a+b) + \text{count-carries } a\ b = \text{count-bits } a + \text{count-bits } b$

*<proof>*

**corollary** *count-bits-add-le*:  $\text{count-bits } (a+b) \leq \text{count-bits } a + \text{count-bits } b$   
*<proof>*

{@const count-carries} can be defined in term of {@const count-bits}

**corollary** *count-carries-def-alt*:

$\text{count-carries } a\ b = \text{count-bits } a + \text{count-bits } b - \text{count-bits } (a+b)$

*<proof>*

**lemma** *count-bits-sum-le*:

**assumes** *S-fin*: finite *S*

**shows**  $\text{count-bits } (\text{sum } f\ S) \leq (\sum i \in S. \text{count-bits } (f\ i))$

*<proof>*

**lemma** *aux1-carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies i \leq k \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i = \text{bin-carry } a\ c\ i$

*<proof>*

**lemma** *aux2-carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies k \leq i \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i \geq \text{bin-carry } b\ d\ (i-k)$

*<proof>*

**lemma** *aux3-carry-set-add-shift*:

$a + c < 2^k \implies k \leq i \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i = \text{bin-carry } b\ d\ (i-k)$

*<proof>*

**lemma** *carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies \text{carry-set } a\ c \cup ((+) k) \text{ 'carry-set } b\ d \subseteq \text{carry-set } (a+b*2^k) (c+d*2^k)$

(is  $- \implies - \implies ?A1 \cup ?A2 \subseteq ?B$ )

*<proof>*

**corollary** *count-carries-add-shift:*

$$a < 2^k \implies c < 2^k \implies \text{count-carries } (a + b \cdot 2^k) (c + d \cdot 2^k) \\ \geq \text{count-carries } a \ c + \text{count-carries } b \ d$$

*<proof>*

**lemma** *carry-set-add-shift-no-overflow:*

$$a + c < 2^k \implies \text{carry-set } (a + b \cdot 2^k) (c + d \cdot 2^k) = \text{carry-set } a \ c \cup ((+ \ k) \ ' \\ \text{carry-set } b \ d \\ (\text{is } - \implies ?A = ?B)$$

*<proof>*

**corollary** *count-carries-add-shift-no-overflow:*

$$a + c < 2^k \implies \text{count-carries } (a + b \cdot 2^k) (c + d \cdot 2^k) = \text{count-carries } a \ c + \\ \text{count-carries } b \ d$$

*<proof>*

**corollary** *count-carries-even-even: count-carries (2\*a) (2\*b) = count-carries a b*

*<proof>*

**lemma** *count-carries-digitwise:*

**assumes**  $1 \leq k$  **and**  $\forall i < q. f \ i < 2^k \wedge g \ i < 2^k$

**shows**  $\text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) \geq \\ (\sum_{i < q}. \text{count-carries } (f \ i) (g \ i))$

*<proof>*

**corollary** *count-carries-digitwise-specific:*

**assumes**  $1 \leq k$  **and**  $\forall i < q. f \ i < 2^k \wedge g \ i < 2^k$

$$\text{shows } i < q \implies \text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) \\ \geq \\ \text{count-carries } (f \ i) (g \ i)$$

*<proof>*

**lemma** *count-carries-digitwise-no-overflow:*

**assumes**  $k \geq 1$  **and**  $\forall i < q. f \ i + g \ i < 2^k$

**shows**  $\text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) = \\ (\sum_{i < q}. \text{count-carries } (f \ i) (g \ i))$

*<proof>*

**lemma** *carry-set-empty-iff:*

$$\text{carry-set } a \ b = \{\} \iff (\forall i. a \ i + b \ i \leq 1)$$

*<proof>*

**corollary** *count-carries-zero-iff:*

$$\text{count-carries } a \ b = 0 \iff (\forall i. a \ i + b \ i \leq 1)$$

*<proof>*

**lemma** *no-carry-no-overflow:*

**assumes**  $a < 2^k$  **and**  $b < 2^k$  **and** *count-carries*  $a\ b = 0$   
**shows**  $a + b < 2^k$   
 ⟨*proof*⟩

**lemma** *count-carries-divisibility-pow2*: *count-carries*  $(2^k - 1)\ x = 0 \iff 2^k\ \text{dvd}\ x$   
 ⟨*proof*⟩

**lemma** *nth-digit-gen-power-series-general*:  
**assumes**  $1 < b$  **and**  $\forall k \leq q. f\ k < b$   
**shows** *nth-digit*  $(\sum_{k=0..q} f\ k * b^k)\ i\ b = (\text{if } i \leq q \text{ then } f\ i \text{ else } 0)$   
 (**is** *nth-digit* ?X - - = -)  
 ⟨*proof*⟩

**lemma** *aux-count-bits-multiplicity*:  
*count-bits*  $(\text{Suc } x) + \text{multiplicity } 2\ (\text{Suc } x) = \text{count-bits } x + 1$   
 ⟨*proof*⟩

**lemma** *count-bits-multiplicity*:  
*count-bits*  $x = \text{multiplicity } 2\ (2 * x\ \text{choose } x)$   
 ⟨*proof*⟩

**corollary** *count-bits-divisibility-binomial*:  
 $2^k\ \text{dvd}\ (2 * x\ \text{choose } x) \iff k \leq \text{count-bits } x$   
 ⟨*proof*⟩

**end**  
**theory** *Utils*  
**imports** *Main*  
**begin**

**definition** *is-square*::*int*⇒*bool* **where**  
*is-square*  $n = (\exists k. n = k^2)$

**definition** *is-power2*::*int*⇒*bool* **where**  
*is-power2*  $x \equiv (\exists n::\text{nat}. x = 2^n)$

**lemma** *is-power2-ge1*: *is-power2*  $x \implies 1 \leq x$   
 ⟨*proof*⟩

**lemma** *is-power2-mult[simp]*: *is-power2*  $x \implies \text{is-power2 } y \implies \text{is-power2 } (x * y)$   
 ⟨*proof*⟩

**lemma** *is-power2-pow[simp]*: *is-power2*  $x \implies \text{is-power2 } (x^n)$   
 ⟨*proof*⟩

**lemma** *is-power2-1[simp]*: *is-power2*  $1$

```

    <proof>
lemma is-power2-2[simp]: is-power2 2
    <proof>
lemma is-power2-4[simp]: is-power2 4
    <proof>

lemma is-power2-div2: is-power2 x  $\implies$  2  $\leq$  x  $\implies$  is-power2 (x div 2)
    <proof>

lemma digit-repr-lt:
  fixes q :: nat
  fixes b :: int
  assumes b > 1
  assumes  $\forall k. f\ k < b$ 
  shows ( $\sum k = 0..q. f\ k * b^k$ ) <  $b^{Suc\ q}$ 
    <proof>

end
theory Tau-Reduction
  imports Bit-Counting Utils
begin

```

## 2.2 Expressing the bit counting function with a binomial coefficient

```

locale Tau-Reduction =
  fixes N::nat and S::nat and T::nat
  assumes HN: is-power2 (int N)
    and HS: S < N
    and HT: T < N
begin

```

```

abbreviation  $\sigma$  where  $\sigma \equiv$  count-bits
abbreviation  $\tau$  where  $\tau \equiv$  count-carries

```

```

definition R where  $R \equiv (S+T+1)*N + T + 1$ 

```

We prove an identity on natural numbers. To make it more tractable we transpose it to integers.

```

lemma rewrite-R:
   $N^2 * (S+T) + N * (N-1-S) + (N-1-T) = (N-1) * R$ 
    <proof>

```

This is a direct consequence of the properties of sigma and tau.

Lemma 1.4 in the article

```

lemma tau-as-binomial-coefficient:
   $\tau\ S\ T = 0 \iff N^2\ dvd\ 2 * (N-1) * R\ choose\ (N-1) * R$ 

```

*<proof>*

**end**

**end**

**theory** *Masking*

**imports** *Complex-Main Bit-Counting Utils*

**begin**

## 2.3 Masking

**abbreviation**  $\sigma$  **where**  $\sigma \equiv \text{count-bits}$

**abbreviation**  $\tau$  **where**  $\tau \equiv \text{count-carries}$

**locale** *masking-lemma* =

**fixes**  $\delta \ \nu \ \mathcal{B} \ b \ C :: \text{nat}$

**assumes**  $\delta\text{-pos}$ :  $\delta > 0$

**and**  $\mathcal{B}\text{-power2}$ : *is-power2* (int  $\mathcal{B}$ )

**and**  $b\text{-power2}$ : *is-power2* (int  $b$ )

**and**  $\mathcal{B}\text{-ge-2}$ :  $2 \leq \mathcal{B}$

**and**  $b\text{-le-}\mathcal{B}$ :  $b \leq \mathcal{B}$

**and**  $C\text{-lower-bound}$ :  $C \geq b * \mathcal{B}^{(\delta+1) \wedge \nu}$

**and**  $C\text{-upper-bound}$ :  $C \leq \mathcal{B} * \mathcal{B}^{(\delta+1) \wedge \nu}$

**begin**

**definition**  $n :: \text{nat} \Rightarrow \text{nat}$  **where**  $n \ j = (\delta+1) \wedge j$

**definition**  $m :: \text{nat} \Rightarrow \text{nat}$  **where**

$m \ j = (\text{if } j \in n \ \{1.. \nu\} \ \text{then } \mathcal{B} - b \ \text{else } \mathcal{B} - 1)$

*mask*( $b, \mathcal{B}, \delta, \nu$ )

**definition**  $M :: \text{nat}$  **where**  $M = (\sum_{j=0..n} \nu. m \ j * \mathcal{B}^j)$

**lemma**  $b\text{-ge-1}$ :  $1 \leq b$

*<proof>*

**lemma**  $b\text{-dvd-}\mathcal{B}$ :  $b \ \text{dvd} \ \mathcal{B}$

*<proof>*

**lemma**  $n\text{-inj-on}$ : *inj-on*  $n \ A$

*<proof>*

**lemma** *direct-g-bound*:

**assumes**  $z\text{-bound}$ :  $\forall i. z \ i < b$

**and**  $g\text{-code}$ :  $g = (\sum_{i=1.. \nu} z \ i * \mathcal{B}^{(n \ i)})$

**shows**  $g < C$

*<proof>*

**lemma** *direct-tau-zero*:

**assumes** *z-bound*:  $\forall i. z\ i < b$   
**and** *g-code*:  $g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})$   
**shows**  $\tau\ g\ M = 0$   
 $\langle proof \rangle$

**lemma** *reverse-impl*:  
**assumes** *tau-zero*:  $\tau\ g\ M = 0$   
**and** *g-bound-C*:  $g < C$   
**shows**  $\exists z. (\forall i. z\ i < b) \wedge g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})$   
 $\langle proof \rangle$

**lemma** *masking-lemma*:  
 $(\exists z. (\forall i. z\ i < b) \wedge g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})) \longleftrightarrow (g < C \wedge \tau\ g\ M = 0)$   
 $\langle proof \rangle$

**end**

**end**

**theory** *Multinomial*

**imports** *Main HOL-Library.Disjoint-Sets*

**begin**

The factorial of a list of natural numbers is the product of all factorials

**fun** *mfact'* :: *nat list*  $\Rightarrow$  *nat* **where**  
*mfact'* [] = 1 |  
*mfact'* (i # is) = (*fact* i :: *nat*) \* *mfact'* is

**definition** *mfact* :: *nat list*  $\Rightarrow$  *nat* **where**  
*mfact* i = ( $\prod_{s < \text{length } i. \text{fact } (i\ !\ s)}$  :: *nat*)

**lemma** *mfact-Nil[simp]*: *mfact* [] = 1  
 $\langle proof \rangle$

**lemma** *mfact-Cons[simp]*: *mfact* (i # is) = *fact* i \* *mfact* is  
 $\langle proof \rangle$

**lemma** *mfact'-equiv*: *mfact'* = *mfact*  $\langle proof \rangle$

The "multi-power" of a list of natural numbers.

**fun** *mpow'* :: '*a*::*comm-semiring-1 list*  $\Rightarrow$  *nat list*  $\Rightarrow$  '*a* **where**  
*mpow'* [] ns = 1 |  
*mpow'* ns [] = 1 |  
*mpow'* (x # xs) (n # ns) =  $x^{\wedge n} * \text{mpow}'\ xs\ ns$

**definition** *mpow* :: '*a*::*comm-semiring-1 list*  $\Rightarrow$  *nat list*  $\Rightarrow$  '*a* **where**  
*mpow* xs ns = ( $\prod_{i < \min(\text{length } xs, \text{length } ns).} (xs\ !\ i)^{\wedge (ns\ !\ i)}$ )

**lemma** *mpow-Nil-any[simp]*: *mpow* [] ns = 1  
 $\langle proof \rangle$

**lemma** *mpow-any-Nil[simp]*:  $mpow\ xs\ [] = 1$   
 ⟨proof⟩

**lemma** *mpow-Cons[simp]*:  $mpow\ (x\ \#\ xs)\ (n\ \#\ ns) = (x\ \wedge\ n) * (mpow\ xs\ ns)$   
 ⟨proof⟩

**lemma** *mpow'-equiv*:  $mpow' = mpow$  ⟨proof⟩

**lemma** *multinomial'-dvd*:  $mfact\ ks\ dvd\ fact\ (sum-list\ ks)$   
 ⟨proof⟩

**lemma** *mchoose-dvd*:  $sum-list\ ks \leq n \implies$   
 $mfact\ ks * fact\ (n - sum-list\ ks)\ dvd\ fact\ n$   
 ⟨proof⟩

**lemma** *mchoose-le*:  
 $sum-list\ ks \leq n \implies mfact\ ks * fact\ (n - sum-list\ ks) \leq fact\ n$   
 ⟨proof⟩

The multinomial coefficient.

**definition** *multinomial'* ::  $nat\ list \Rightarrow nat$  **where**  
 $multinomial'\ ks = fact\ (sum-list\ ks)\ div\ mfact\ ks$

**lemma** *multinomial'-Nil[simp]*:  $multinomial'\ [] = 1$   
 ⟨proof⟩

**lemma** *multinomial'-Cons[simp]*:  $multinomial'\ (k\ \#\ ks) =$   
 $((k + sum-list\ ks)\ choose\ k) * multinomial'\ ks$   
 ⟨proof⟩

**definition** *multinomial* ::  $nat \Rightarrow nat\ list \Rightarrow nat$  (**infixl** *mchoose* 65) **where**  
 $n\ mchoose\ ks = multinomial'\ ((n - sum-list\ ks)\ \#\ ks)$

**lemma** *sum-exists*:  
**fixes**  $n :: nat$   
**assumes**  $0: inj\ f$   
**shows**  $(\sum s \mid \exists m \leq n. s = f\ m. v\ s) = (\sum m \leq n. v\ (f\ m))$   
 ⟨proof⟩

The proof is by induction on  $xs$ , and using the standard binomial theorem  
 $((?a + ?b)^?n = (\sum k \leq ?n. of-nat\ (?n\ choose\ k) * ?a^k * ?b^{?n - k}))$  See the  
 Wikipedia article ([https://en.wikipedia.org/wiki/Multinomial\\_theorem](https://en.wikipedia.org/wiki/Multinomial_theorem)) for  
 reference.

**theorem** *multinomial-ring*:  
**fixes**  $xs :: 'a::comm-semiring-1\ list$   
**shows**  $(sum-list\ xs)^\wedge n = (\sum ks \mid length\ ks = length\ xs \wedge sum-list\ ks = n.$   
 $of-nat\ (multinomial'\ ks) * mpow\ xs\ ks)$   
**(is**  $- = (\sum ks \in ?indices\ xs\ n. ?v\ xs\ ks))$

*<proof>*

This version of the multinomial theorem is also useful.

**corollary** *multinomial-ring-alt:*

**fixes**  $xs :: 'a::comm-semiring-1$  list

**shows**  $(1 + \text{sum-list } xs)^\wedge n = (\sum ks \mid \text{length } ks = \text{length } xs \wedge \text{sum-list } ks \leq n.$   
*of-nat*  $(n \text{ mchoose } ks) * \text{mpow } xs \text{ } ks)$

*<proof>*

**end**

**theory** *Lemma-1-8-Defs*

**imports** *Main ../MPoly-Utils/More-More-MPoly-Type Bit-Counting*  
*Utils Multinomial*

**begin**

## 2.4 Expressing polynomial solutions in terms of carry counting

### 2.4.1 Preliminary definitions

**locale** *Lemma-1-8-Defs* =

**fixes**  $P :: \text{int mpolynomial}$

**and**  $\mathcal{B} :: \text{nat}$

**and**  $L :: \text{int}$

**and**  $z :: \text{nat list}$

**begin**

**abbreviation**  $\sigma$  **where**  $\sigma \equiv \text{count-bits}$

**abbreviation**  $\tau$  **where**  $\tau \equiv \text{count-carries}$

**definition**  $\delta::\text{nat}$  **where**  $\delta = \text{total-degree } P$

**definition**  $\nu::\text{nat}$  **where**  $\nu = \text{max-vars } P$

**definition**  $b::\text{nat}$  **where**  $b \equiv \mathcal{B} \text{ div } 2$

**definition**  $n::\text{nat} \Rightarrow \text{nat}$  **where**  $n \ j = (\delta+1)^\wedge j$

**definition**  $X::\text{int mpolynomial}$  **where**  $X = \text{Var } 0$

The are assignments of variables, used to evaluate (multivariable) polynomials.

**definition** *z-assign* **where**  $z\text{-assign} = (!_0) (\text{map int } z)$

**definition** *B-assign* **where**  $\mathcal{B}\text{-assign} = (\lambda i. (\text{int } \mathcal{B}) \text{ when } i = 0)$

We will often use this set as indices of sums.

**definition**  $\delta\text{-tuples} :: (\text{nat list}) \text{ set}$  **where**

$\delta\text{-tuples} \equiv \{i. \text{length } i = \nu + 1 \wedge \text{sum-list } i \leq \delta\}$

**definition**  $P\text{-coeff} :: \text{nat list} \Rightarrow \text{int}$  **where**

$P\text{-coeff } i \equiv \text{coeff } P (\text{Abs-poly-mapping } (!_0) i)$

**definition**  $D$ -exponent  $:: \text{nat list} \Rightarrow \text{nat}$  **where**

$$D\text{-exponent } i \equiv n (\nu+1) - (\sum_{s \leq \nu} i!s * n s)$$

**definition**  $D$ -precoeff  $:: \text{nat list} \Rightarrow \text{int}$  **where**

$$D\text{-precoeff } i \equiv \text{int } (m\text{fact } i * \text{fact } (\delta - \text{sum-list } i))$$

This is really a univariate polynomial

**definition**  $D::\text{int}$   $mpoly$  **where**

$$D \equiv \sum_{i \in \delta\text{-tuples.}} \text{of-int } (D\text{-precoeff } i * P\text{-coeff } i) * X^{(D\text{-exponent } i)}$$

This is really a univariate polynomial

**definition**  $c::\text{int}$   $mpoly$  **where**

$$c \equiv (\sum_{i \leq \nu} \text{of-nat } (z!i) * X^{(n i)})$$

Definition of the constant K

**definition**  $R::\text{int}$   $mpoly$  **where**  $R \equiv (1+c)^{\delta} * D$

**definition**  $S::\text{nat}$  **where**  $S \equiv (\sum_{i \leq (2*\delta+1)} * n \nu. b * \mathcal{B}^i)$

**definition**  $K::\text{int}$  **where**  $K \equiv \text{insertion } \mathcal{B}\text{-assign } R + \text{int } S$

Some more notation used in the proofs :  $(e j)$  is the coefficient of  $X^j$  in R

**definition**  $e::\text{nat} \Rightarrow \text{int}$

$$\text{where } e j = \text{coeff } R (\text{Poly-Mapping.single } 0 j)$$

**end**

**end**

**theory** *Lemma-1-8-Coding*

**imports** *Lemma-1-8-Defs*

**begin**

## 2.4.2 Bounds on the defined variables

**locale**  $K$ -Nonnegative = *Lemma-1-8-Defs* +

**assumes**  $\delta$ -pos:  $\delta > 0$

**and**  $L$ -pos:  $L > 0$

**and**  $L$ -lower-bound:  $L \geq \text{max-coeff } P$

**and**  $\text{len-z}$ :  $\text{length } z = \nu+1$

**and**  $\mathcal{B}$ -even:  $2 \text{ dvd } \mathcal{B}$

**and**  $\mathcal{B}$ -lower-bound:  $\mathcal{B} > 2 * \text{fact } \delta * (\text{nat } L) * (1 + \text{sum-list } z)^{\delta}$

**begin**

This is for convenience in proofs, but the following lemma is strictly stronger.

**lemma**  $\mathcal{B}$ -ge-1[*simp*]:  $\mathcal{B} \geq 1$

*<proof>*

**lemma**  $\mathcal{B}$ -gt-2[*simp*]:  $\mathcal{B} > 2$

*<proof>*

Also for convenience.

**lemma**  $\mathcal{B}$ -ge-2[simp]:  $\mathcal{B} \geq 2$   
 ⟨proof⟩

**lemma**  $b$ -def-reverse:  $2 * b = \mathcal{B}$  ⟨proof⟩

**lemma**  $b$ -ge-1[simp]:  $b \geq 1$   
 ⟨proof⟩

**lemma**  $n$ -ge-1[simp]:  $n \geq 1$   
 ⟨proof⟩

**lemma**  $L$ -lower-bound-specialize:  $L \geq \text{abs } (P\text{-coeff } i)$   
 ⟨proof⟩

**lemma**  $\delta$ -tuples-finite[simp]: finite  $\delta$ -tuples  
 ⟨proof⟩

**lemma**  $P$ -z-insertion: insertion  $z$ -assign  $P = (\sum_{i \in \delta\text{-tuples}} P\text{-coeff } i * \text{mpow } z \ i)$   
 ⟨proof⟩

This is essentially an instance of the multinomial theorem.

**lemma**  $c$ -delta-expansion:  
 $(1+c)^\delta = (\sum_{i \in \delta\text{-tuples}} \text{of-nat } ((\delta \text{ mchoose } i) * \text{mpow } z \ i) * X^{(\sum_{s \leq \nu} i!s * n \ s)})$   
 ⟨proof⟩

**lemma**  $n$ - $\nu$ p1-ge-sum:  $i \in \delta\text{-tuples} \implies n (\nu+1) \geq (\sum_{s \leq \nu} i!s * n \ s)$   
 ⟨proof⟩

**lemma**  $D$ -exponent-inj: inj-on  $D$ -exponent  $\delta$ -tuples  
 ⟨proof⟩

**definition**  $R$ -exponent :: nat list  $\Rightarrow$  nat list  $\Rightarrow$  nat **where**  
 $R\text{-exponent } i \ j = D\text{-exponent } j + (\sum_{s \leq \nu} i!s * n \ s)$

**lemma**  $R$ -expansion:  
 $R = (\sum_{i \in \delta\text{-tuples}} (\sum_{j \in \delta\text{-tuples}} \text{of-int } ((\delta \text{ mchoose } i) * \text{mfact } j * \text{fact } (\delta - \text{sum-list } j) * \text{mpow } z \ i * P\text{-coeff } j) * X^{(R\text{-exponent } i \ j)}))$   
 ⟨proof⟩

**lemma**  $c$ -degree-bound: degree  $c \ 0 \leq n \ \nu$   
 ⟨proof⟩

**lemma**  $D$ -degree-bound: degree  $D \ 0 \leq n (\nu+1)$   
 ⟨proof⟩

**lemma**  $R$ -degree-bound: degree  $R \ 0 \leq (2*\delta+1) * n \ \nu$   
 ⟨proof⟩

**lemma** *R-univariate*: vars  $R \subseteq \{0\}$   
 ⟨proof⟩

**lemma** *R-sum-e*:  $R = (\sum_{i \leq (2*\delta+1)} * n \nu. (of-int (e i)) * X^i)$   
 ⟨proof⟩

**lemma** *e-expression*:  
 $e p = (\sum_{i \in \delta\text{-tuples}. (\sum_{j \in \delta\text{-tuples} \cap \{j. R\text{-exponent } i j = p\}. (\delta \text{ mchoose } i) * mfact j * fact (\delta - \text{sum-list } j) * P\text{-coeff } j * mpow z i))}$   
 ⟨proof⟩

This is a key step of the proof.

**lemma** *e-n-ν1-expression*:  $e (n (\nu+1)) = fact \delta * insertion z\text{-assign } P$   
 ⟨proof⟩

**lemma** *abs-int[simp]*:  $abs (int x) = int x$   
 ⟨proof⟩

This is a key step of the proof.

**lemma** *e-upper-bound*:  
 $abs (e p) \leq fact \delta * L * (1 + \text{sum-list } z)^\delta$   
 ⟨proof⟩

**lemma** *e-b-bound*: shows  $0 < e j + b$  and  $e j + b < \mathcal{B}$   
 ⟨proof⟩

This is a key step of the proof.

**lemma** *K-expression*:  $K = (\sum_{i \leq (2*\delta+1)} * n \nu. (e i + int b) * \mathcal{B}^i)$   
 ⟨proof⟩

**lemma** *δ-n-positive*:  $0 < (2*\delta+1) * n \nu$   
 ⟨proof⟩

**lemma** *K-lower-bound*:  $K > int \mathcal{B}^{((2*\delta+1) * n \nu)}$   
 ⟨proof⟩

**corollary** *K-gt-0*:  $K > 0$   
 ⟨proof⟩

**end**

**end**

**theory** *Lemma-1-8*

**imports** *Lemma-1-8-Coding*

**begin**

### 2.4.3 Proof of the equivalence

**locale** *Lemma-1-8* = *K-Nonnegative* +  
**assumes** *B-power2*: *is-power2* (*int B*)  
**begin**

**lemma** *b-power2*: *is-power2* (*int b*)  
 ⟨*proof*⟩

**lemma** *K-upper-bound*:  $K < \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu + 1)}$   
 ⟨*proof*⟩

**lemma** *direct-implication*:  
 $\text{insertion } z\text{-assign } P = 0 \implies \tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0$   
 ⟨*proof*⟩

**lemma** *reverse-implication*:  
 $\tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0 \implies \text{insertion } z\text{-assign } P = 0$   
 ⟨*proof*⟩

**lemma** *tau-rewrite*:  
 $\tau (2 * \text{nat } K) ((\mathcal{B}-2) * \mathcal{B}^{\wedge(n (\nu+1))}) = \tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))})$   
 ⟨*proof*⟩

**lemma** *lemma-1-8*:  
**shows**  $\text{insertion } z\text{-assign } P = 0 \iff \tau (2 * \text{nat } K) ((\mathcal{B}-2) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0$   
**and**  $K > \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu)}$   
**and**  $K < \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu + 1)}$   
 ⟨*proof*⟩

**end**

**end**

**theory** *Diophantine-Definition*

**imports** *MPoly-Utills/More-More-MPoly-Type*

**begin**

**definition** *is-nonnegative* :: (*nat*  $\Rightarrow$  *int*)  $\Rightarrow$  *bool* **where**  
 $\text{is-nonnegative } f \equiv \forall i. f i \geq 0$

**definition** *is-diophantine-over-Z* :: *nat set*  $\Rightarrow$  *bool* **where**  
 $\text{is-diophantine-over-Z } A = (\exists P. (\forall a. (a \in A) \iff (\exists f. \text{insertion } (f(0) := \text{int } a)) P = 0))$

**definition** *is-diophantine-over-Z-with* :: *nat set*  $\Rightarrow$  *int mpoly*  $\Rightarrow$  *bool* **where**  
 $\text{is-diophantine-over-Z-with } A P =$

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0))$$

**definition** *is-diophantine-over-N* :: *nat set*  $\Rightarrow$  *bool* **where**

*is-diophantine-over-N* A =  $(\exists P.$

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f)))$$

**definition** *is-diophantine-over-N-with* :: *nat set*  $\Rightarrow$  *int mpoly*  $\Rightarrow$  *bool* **where**

*is-diophantine-over-N-with* A P =

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f))$$

**lemma** *is-diophantine-finite-vars*:

**assumes** *is-diophantine-over-N-with* A P

**shows**  $a \in A \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f \wedge$   
 $(\forall i > \text{max-vars } P. f i = 0))$

*<proof>*

**end**

**theory** *Total-Degree-Env*

**imports** *Total-Degree Substitutions*

**begin**

### 3 Bottom-up total \_ degree under a substitution-degree environment

**lift-definition** *total-degree-env*

::  $(\text{nat} \Rightarrow \text{nat}) \Rightarrow 'a::\text{zero-neg-one mpoly} \Rightarrow \text{nat}$

**is**  $\lambda \text{env } p. \text{Max } (\text{insert } 0$

$$((\lambda m. \text{sum } (\lambda i. \text{env } i * \text{lookup } m i) (\text{keys } m)) \text{ '}$$
  
 $(\text{keys } p))) \text{ <proof>}$

*total-degree-env* env p walks over the monomial representation of p, and whenever it sees  $(\text{Var } i)^m$ , it contributes  $m * \text{env } i$  instead of m.

**lemma** *total-degree-env-id*:

*total-degree-env*  $(\lambda-. 1) p = \text{total-degree } p$

*<proof>*

**lemma** *total-degree-env-zero[simp]*: *total-degree-env* f 0 = 0

*<proof>*

**lemma** *total-degree-env-one[simp]*: *total-degree-env* f 1 = 0

*<proof>*

**lemma** *total-degree-env-Const[simp]*: *total-degree-env* f (Const c) = 0

*<proof>*

**lemma** *total-degree-env-Const-le*: *total-degree-env* f (Const c)  $\leq 0$

*<proof>*

**lemma** *total-degree-env-Var*[simp]:

*total-degree-env f (Var i) = f i*

*<proof>*

**lemma** *total-degree-env-Var-le*: *total-degree-env f (Var i) ≤ f i*

*<proof>*

**lemma** *total-degree-env-neg*: *total-degree-env f (-P) = total-degree-env f P*

*<proof>*

**lemma** *total-degree-env-mult*: *total-degree-env f (P \* Q) ≤ total-degree-env f P + total-degree-env f Q*

*<proof>*

**lemma** *total-degree-env-pow*: *total-degree-env f (P ^ n) ≤ n \* total-degree-env f P*

*<proof>*

**lemma** *total-degree-env-add*: *total-degree-env f (P + Q) ≤ max (total-degree-env f P) (total-degree-env f Q)*

*<proof>*

**lemma** *total-degree-env-diff*:

**fixes** *P :: 'a::{ab-group-add,zero-neq-one} mpoly*

**shows** *total-degree-env f (P - Q) ≤ max (total-degree-env f P) (total-degree-env f Q)*

*<proof>*

**lemma** *total-degree-env-sum*:

**fixes** *P :: 'a ⇒ 'b::{ab-group-add,zero-neq-one} mpoly*

**assumes** *S-fin: finite S*

**shows** *total-degree-env ctxt (sum P S) ≤ Max (insert 0 ((λi. total-degree-env ctxt (P i)) ' S))*

*<proof>*

**lemma** *total-degree-env-prod*:

**assumes** *S-fin: finite S*

**shows** *total-degree-env ctxt (prod P S) ≤ sum (λi. total-degree-env ctxt (P i)) S*

*<proof>*

**lemma** *total-degree-env-poly-subst-monom*:

**defines** *degree-monom ≡ (λm t. (lookup m) t)*

**shows** *total-degree-env ctxt (poly-subst-monom f m)*

*≤ (∑ t∈keys m. degree-monom m t \* total-degree-env ctxt (f t))*

*<proof>*

**lemma** *total-degree-env-poly-subst-list*:

**fixes** *p :: 'a::comm-ring-1 mpoly*

**shows** *total-degree-env ctxt (poly-subst-list fs p)*

*≤ total-degree-env (λm. total-degree-env ctxt (fs !<sub>0</sub> m)) p*

*<proof>*

**lemma** *total-degree-poly-subst-list-env:*

**fixes**  $p :: 'a::comm-ring-1$  *mpoly*

**shows**  $total-degree (poly-subst-list fs p)$

$\leq total-degree-env (\lambda m. total-degree (fs !_0 m)) p$

*<proof>*

**lemma** *total-degree-env-Var-list-bound:*  $total-degree-env (\lambda-. 1) ((map Var ls) !_0 i) \leq 1$

*<proof>*

**lemma** *total-degree-env-Var-list:*

$total-degree-env (\lambda-. 1) ((map Var ls) !_0 i) = (if i < length ls then 1 else 0)$

*<proof>*

**lemma** *total-degree-map-Var:*

$total-degree ((map Var ls) !_0 j :: 'a::comm-semiring-1$  *mpoly*)  $\leq 1$

*<proof>*

**lemma** *total-degree-map-Var-int:*

$total-degree ((map Var ls) !_0 j :: int$  *mpoly*)  $\leq Suc 0$

*<proof>*

**lemma** *total-degree-env-mono3-map-Var:*

$(\bigwedge i. env i \leq 1) \implies total-degree-env env ((map Var ls) !_0 j) \leq 1$

*<proof>*

**lemma** *total-degree-env-reduce:*  $i < length ls$

$\implies total-degree-env env ((ls @ xs) !_0 i) = total-degree-env env (ls !_0 i)$

*<proof>*

**lemma** *total-degree-env-mono:*

**fixes**  $P :: int$  *mpoly*

**assumes**  $\forall i \leq max-vars P. env1 i \leq env2 i$

**shows**  $total-degree-env env1 P \leq total-degree-env env2 P$

*<proof>*

**lemma** *total-degree-env-mono2:*

**fixes**  $P :: int$  *mpoly*

**shows**  $total-degree P \leq rhs1 \implies (\bigwedge i. i \leq max-vars P \implies env i \leq 1) \implies rhs1 = rhs2$

$\implies total-degree-env env P \leq rhs2$

*<proof>*

**lemma** *total-degree-env-mono3-bounded*:

**fixes** *ls* :: *int mpoly list*

**shows**  $j \leq \text{bound} \implies (\bigwedge i. i \leq \text{bound} \implies \text{env } i \leq 1) \implies \text{max-vars } (ls \ !_0 j) \leq \text{bound}$

$\implies \text{total-degree } (ls \ !_0 j) \leq \text{Suc } 0 \implies \text{total-degree-env env } (ls \ !_0 j) \leq \text{Suc } 0$

*<proof>*

**lemma** *total-degree-env-mono3*:

$(\bigwedge i. \text{env } i \leq 1) \implies \text{total-degree } (ls \ !_0 j) \leq 1$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq 1$

*<proof>*

**lemma** *total-degree-env-mono3'*:

$(\bigwedge i. \text{env } i \leq \text{Suc } 0) \implies \text{total-degree } (ls \ !_0 j) \leq \text{Suc } 0$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq \text{Suc } 0$

*<proof>*

**lemma** *total-degree-env-mono4*:

$(\bigwedge i. \text{env } i \leq 1) \implies \text{total-degree-env } (\lambda-. 1) (ls \ !_0 j) \leq 1$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq 1$

*<proof>*

**end**

**theory** *Suitable-For-Coding*

**imports** *../Diophantine-Definition HOL-Library.Rewrite MPoly-Utils/Total-Degree-Env*

**begin**

### 3.1 Polynomials suitable for coding

**definition** *fresh-var* :: *int mpoly*  $\Rightarrow$  *nat* **where**

*fresh-var* *P* = (if *vars P* = {} then 1 else *max-vars P* + 1)

**definition** *suitable-for-coding* :: *int mpoly*  $\Rightarrow$  *int mpoly* **where**

*suitable-for-coding* *P*  $\equiv P^2 + (\text{Var } (\text{fresh-var } P) - 1)^2$

**lemma** *suitable-for-coding-degree-vars*:

**shows** *degree* (*suitable-for-coding P*) (*fresh-var P*) > 0

*vars* (*suitable-for-coding P*) = *insert* (*fresh-var P*) (*vars P*)

*<proof>*

**lemma** *suitable-for-coding-coeff0*:

**fixes** *P*

**defines** *n*  $\equiv \text{max-vars } (\text{suitable-for-coding } P)$

**defines** *m0*  $\equiv \text{Abs-poly-mapping } (!_0) (\text{replicate } (n+1) 0)$

**shows** *coeff* (*suitable-for-coding P*) *m0* > 0

*<proof>*

```

lemma suitable-for-coding-max-vars:
  assumes vars  $P \neq \{\}$ 
  shows  $\text{max-vars } (\text{suitable-for-coding } P) = \text{max-vars } P + 1$ 
  <proof>

lemma suitable-for-coding-diophantine-equivalent:
  fixes  $P :: \text{int mpoly}$ 
  assumes insertion  $(z(0 := a)) (\text{suitable-for-coding } P) = 0$  and is-nonnegative
   $z$ 
  shows  $\exists y. \text{insertion } (y(0 := a)) P = 0 \wedge \text{is-nonnegative } y$ 
  <proof>

lemma suitable-for-coding-exists-positive-unknown:
  fixes  $P :: \text{int mpoly}$ 
  assumes dioph: is-diophantine-over-N-with  $A P$ 
  assumes  $a: a \in A$ 
  assumes insertion  $(y(0 := a)) P = 0$  and is-nonnegative  $y$ 
  shows  $\exists z. \text{insertion } (z(0 := a)) (\text{suitable-for-coding } P) = 0$ 
     $\wedge (\exists i \in \{1.. \text{fresh-var } P\}. z\ i > 0)$ 
     $\wedge (\forall i > \text{fresh-var } P. z\ i = 0)$ 
     $\wedge \text{is-nonnegative } z$ 
  <proof>

lemma suitable-for-coding-total-degree:
  shows  $\text{total-degree } (\text{suitable-for-coding } P) > 0$ 
  <proof>

lemma suitable-for-coding-total-degree-bound:
  assumes  $\text{total-degree } P > 0$ 
  shows  $\text{total-degree } (\text{suitable-for-coding } P) \leq 2 * \text{total-degree } P$ 
  <proof>

end
theory Poly-Degree
  imports More-More-MPoly-Type Total-Degree-Env Poly-Extract
  keywords poly-degree :: thy-defn and |
begin

  <ML>

end
theory Coding-Theorem-Definitions
  imports ../Coding/Multinomial ../Coding/Bit-Counting Digit-Expansions.Bits-Digits
    ../MPoly-Utils/More-More-MPoly-Type ../MPoly-Utils/Poly-Extract

```

../MPoly-Utils/Total-Degree-Env ../MPoly-Utils/Poly-Degree  
**begin**

## 4 The Coding Theorem

**lemma** *series-bound*:

**fixes**  $b :: int$   
**assumes**  $b \geq 2$   
**shows**  $(\forall k \leq q. f k < b) \implies (\sum k = 0..q. f k * b ^ k) < b ^ (Suc q)$   
*<proof>*

### 4.1 Definition of polynomials required in the Coding Theorem

**locale** *coding-variables* =

**fixes**  $P :: int$  *mpoly*  
**and**  $a :: int$   
**and**  $f :: int$   
**begin**

Notation for working with P

**definition**  $\delta :: nat$  **where**  $\delta \equiv total-degree P$

**definition**  $\nu :: nat$  **where**  $\nu \equiv max-vars P$

**definition**  $P-coeff :: nat list \Rightarrow int$  **where**  
 $P-coeff i \equiv coeff P (Abs-poly-mapping (!_0 i))$

Notation used in the proofs

**definition**  $n :: nat \Rightarrow nat$  **where**  $n i \equiv (\delta + 1) ^ i$

**definition**  $\delta-tuples :: (nat list) set$  **where**  
 $\delta-tuples \equiv \{i. length i = \nu + 1 \wedge sum-list i \leq \delta\}$

**lemma**  $\delta-tuples-finite[simp]$ : *finite  $\delta-tuples$*   
*<proof>*

**abbreviation**  $\sigma$  **where**  $\sigma \equiv count-bits$

**abbreviation**  $\tau$  **where**  $\tau \equiv count-carries$

The variables of Definition 2.2

This is not the same  $\mathcal{L}$  as in Lemma 1.8

**definition**  $\mathcal{L} :: nat$  **where**  $\mathcal{L} \equiv nat (\sum i \in \delta-tuples. abs (P-coeff i))$

We have to use Inf instead of Min to define r because the set is infinite

**definition**  $r :: nat$  **where**  $r \equiv Inf \{y. 4 ^ y > 2 * fact \delta * \mathcal{L} * (\nu + 3) ^ \delta\}$

**definition**  $\beta :: nat$  **where**  $\beta \equiv 4 ^ r$

**definition**  $\gamma :: nat$  **where**  $\gamma \equiv \beta ^ (n \nu)$

**definition**  $\alpha :: nat$  **where**  $\alpha \equiv \delta * n \nu + 1$

**lemma**  $\alpha$ -gt-0:  $\alpha > 0$  *<proof>*

**lemma**  $\gamma$ -gt-0:  $\gamma > 0$  *<proof>*

**definition**  $b :: int$  **where**

$$b \equiv 1 + 3*(2*a + 1) * f$$

**definition**  $\mathcal{B} :: int$  **where**

$$\mathcal{B} \equiv (of\text{-nat } \beta) * b^\delta$$

**definition**  $N0 :: int$  **where**

$$N0 \equiv \mathcal{B}^{(\delta+1)} \wedge \nu + 1$$

**definition**  $N1 :: int$  **where**

$$N1 \equiv 4 * \mathcal{B}^{(2*\delta+1)} * (\delta+1) \wedge \nu + 1$$

**definition**  $N :: int$  **where**

$$N \equiv N0 * N1$$

**definition**  $c :: int \Rightarrow int$  **where**

$$c \ g \equiv 1 + a*\mathcal{B} + g$$

**poly-extract**  $b$

**poly-degree**  $b$ -poly

**poly-extract**  $\mathcal{B}$

**poly-degree**  $\mathcal{B}$ -poly

**poly-extract**  $N0$

**poly-extract**  $N1$

**poly-extract**  $N$

**poly-extract**  $c$

**poly-degree**  $c$ -poly

The M polynomial.

**definition**  $m :: nat \Rightarrow int$  **where**

$$m \ j \equiv (if \ j \in n \ ' \ {1..\nu} \ then \ \mathcal{B} - b \ else \ \mathcal{B} - 1)$$

**definition**  $M :: int$  **where**

$$M \equiv (\sum \ j=0..n \ \nu. \ m \ j * \mathcal{B}^\wedge j)$$

**definition**  $m$ -poly  $:: nat \Rightarrow int$  **mpoly** **where**

$$m\text{-poly } j = (if \ j \in n \ ' \ {1..\nu} \ then \ \mathcal{B}\text{-poly} - b\text{-poly} \ else \ \mathcal{B}\text{-poly} - 1)$$

**definition**  $M$ -poly  $:: int$  **mpoly** **where**

$$M\text{-poly} \equiv (\sum \ j=0..n \ \nu. \ m\text{-poly } j * \mathcal{B}\text{-poly}^\wedge j)$$

**lemma**  $m$ -correct: *insertion*  $fn \ (m\text{-poly } j) = coding\text{-variables}.m \ P \ (fn \ 0) \ (fn \ (Suc \ 0)) \ j$

*<proof>*

**lemma**  $m$ -poly-degree-env-correct: *total-degree-env*  $ctxt \ (m\text{-poly } j)$

$$\leq \max \ (total\text{-degree-env } ctxt \ \mathcal{B}\text{-poly}) \ (total\text{-degree-env } ctxt \ b\text{-poly})$$

*<proof>*

**lemma** *M-correct*:

*insertion fn (coding-variables.M-poly P) = coding-variables.M P (fn 0) (fn 1)*  
 ⟨proof⟩

**lemma** *m-poly-degree-correct*:

*shows  $\delta > 0 \implies \text{total-degree } (m\text{-poly } j) \leq 2 * \delta$*   
 ⟨proof⟩

**lemma** *M-poly-degree-correct*:

*assumes asm:  $\delta > 0$*   
*shows  $\text{total-degree } M\text{-poly} \leq (1 + (\delta + 1) \wedge \nu) * 2 * \delta$*   
 ⟨proof⟩

**definition** *D-exponent* :: *nat list*  $\Rightarrow$  *nat* **where**

*D-exponent i*  $\equiv n (\nu + 1) - (\sum_{s \leq \nu} i!s * n s)$

**definition** *D-precoeff* :: *nat list*  $\Rightarrow$  *int* **where**

*D-precoeff i*  $\equiv \text{int } (m\text{fact } i * \text{fact } (\delta - \text{sum-list } i))$

**definition** *D* :: *int* **where**

*D*  $\equiv \sum_{i \in \delta\text{-tuples}} \text{of-int } (D\text{-precoeff } i * P\text{-coeff } i) * \mathcal{B}^{(D\text{-exponent } i)}$

**definition** *D-poly* :: *int mpoly* **where**

*D-poly*  $\equiv \sum_{i \in \delta\text{-tuples}} \text{Const } (D\text{-precoeff } i * P\text{-coeff } i) * \mathcal{B}\text{-poly } ^ (D\text{-exponent } i)$

**lemma** *D-correct*:

*insertion fn (coding-variables.D-poly P) = coding-variables.D P (fn 0) (fn 1)*  
 ⟨proof⟩

**lemma** *D-poly-degree-env-correct*:

*shows  $\text{total-degree-env } \text{fv } D\text{-poly} \leq n (\nu + 1) * \text{total-degree-env } \text{fv } \mathcal{B}\text{-poly}$*   
 ⟨proof⟩

**lemma** *D-poly-degree-correct*: *total-degree (coding-variables.D-poly P)  $\leq (\delta + 1) \wedge (\nu + 1)$*

*\* (2 \*  $\delta$ )*  
 ⟨proof⟩

**definition** *K* :: *int*  $\Rightarrow$  *int* **where**

*K g*  $\equiv (c g) \wedge \delta * D + (\sum_{i=0..(2*\delta+1)*n} \nu. \text{of-nat } (\beta \text{ div } 2) * b \wedge \delta * \mathcal{B} \wedge i)$

**definition** *K-poly* :: *int mpoly* **where**

*K-poly*  $\equiv c\text{-poly} \wedge \delta * D\text{-poly} + (\sum_{i=0..(2*\delta+1)*n} \nu. \text{of-nat } (\beta \text{ div } 2) * b\text{-poly} \wedge \delta * \mathcal{B}\text{-poly} \wedge i)$

**lemma** *K-correct*:

*insertion fn (coding-variables.K-poly P) = coding-variables.K P (fn 0) (fn 1) (fn 2)*  
 ⟨proof⟩

**lemma** *K-poly-degree-correct:*

**shows** *total-degree (coding-variables.K-poly P)*  
 $\leq \max (\delta*(1+2*\delta) + (\delta+1)^\wedge(\nu+1) * 2*\delta) ((1 + (2*\delta+1)*(\delta+1)^\wedge\nu) * 2*\delta)$   
 ⟨proof⟩

**definition** *T where*  $T \equiv M + (\mathcal{B}-2) * \mathcal{B}^\wedge((\delta+1)^\wedge(\nu+1)) * N0$

**definition** *S :: int ⇒ int where*  $S g \equiv g + 2 * K g * N0$

**definition** *R :: int ⇒ int where*  $R g \equiv (S g + T + 1)*N + T + 1$

**definition** *X :: int ⇒ int where*  $X g \equiv (N-1) * R g$

**definition** *Y :: int where*  $Y \equiv N^\wedge 2$

**poly-extract** *T*  
**poly-extract** *S*  
**poly-extract** *R*  
**poly-extract** *X*  
**poly-extract** *Y*

These are the statements that make up theorem I.

**definition** *statement1-weak where*

*statement1-weak y ≡ (y 0 = a) ∧ (∀ i. 0 ≤ y i ∧ y i < b) ∧ insertion y P = 0*

**definition** *statement1-strong where*

*statement1-strong y ≡ statement1-weak y ∧ (∃ i ∈ {1..ν}. y i ≠ 0)*

We evaluate Y in 0 because it doesn't depend on g.

**definition** *statement2-strong where*

*statement2-strong g ≡ b ≤ g ∧ g < (int γ) \* b<sup>α</sup> ∧ Y dvd (2 \* nat (X g) choose nat (X g))*

**definition** *statement2-weak where*

*statement2-weak g ≡ 0 ≤ g ∧ g < 2 \* (int γ) \* b<sup>α</sup> ∧ Y dvd (2 \* nat (X g) choose nat (X g))*

**lemma** *δ-tuples-nonempty: δ-tuples ≠ {}*

⟨proof⟩

**corollary** *x-series-bound:*

**assumes**  $0 < \delta$

**assumes**  $x \in \delta\text{-tuples}$

**shows**  $(\sum s \leq \nu. x ! s * \text{Suc } \delta^\wedge s) \leq (\delta+1)^\wedge(\nu+1)$

⟨proof⟩

**lemma** *D-exponent-injective:*

**assumes**  $0 < \delta$

**shows** *inj-on D-exponent δ-tuples*

⟨proof⟩

**corollary** *D-exponent-injective'*:  $0 < \delta \implies \text{inj-on } D\text{-exponent } (\delta\text{-tuples} - \{x\})$   
*<proof>*

**lemma** *D-precoeff-bound*:  
 **assumes**  $0 < \text{sum-list } i$  **and**  $\text{sum-list } i \leq \delta$   
 **shows**  $|D\text{-precoeff } i| \leq \text{fact } \delta$   
*<proof>*

We later assume that  $\delta > 0$  i.e.  $P$  is not the zero polynomial

**lemma** *P-coeffs-not-all-zero*:  
 **assumes**  $\delta > 0$   
 **shows**  $\exists i \in \delta\text{-tuples}. P\text{-coeff } i \neq 0$   
*<proof>*

**lemma** *L-pos*:  
 **assumes**  $\delta > 0$   
 **shows**  $\mathcal{L} > 0$   
*<proof>*

**lemma** *L-ge-P-coeff*:  $i \in \delta\text{-tuples} \implies \text{abs } (P\text{-coeff } i) \leq \text{int } \mathcal{L}$  **for**  $i$   
*<proof>*

**lemma** *L-ge-max-coeff*:  
 **assumes**  $\delta > 0$   
 **shows**  $\text{max-coeff } P \leq \text{int } \mathcal{L}$   
*<proof>*

**lemma** *beta-lower-bound*:  $\beta > 2 * \text{fact } \delta * \mathcal{L} * (\nu+3)^\delta$   
*<proof>*

**corollary** *r-pos*:  
 **assumes**  $\delta > 0$   
 **shows**  $r > 0$   
*<proof>*

**lemma** *marcos-state*:  
 **fixes**  $i$   
 **shows** *total-degree-env*  
  $(\lambda m. \text{total-degree-env } (\lambda-. \text{Suc } 0) ([\text{Var } 0, \text{Var } 1, \text{Var } 2] !_0 m))$   
  $([\text{Var } 0, \text{Var } 1, \text{Var } 2] !_0 i) \leq 1$   
*<proof>*

**end**

**end**

**theory** *Lemma-2-2*

**imports** *HOL-Number-Theory.Number-Theory ../Coding/Utils*

begin

## 4.2 Increasing the base $b$ appropriately

**lemma** *exp-grows*:  
fixes  $a b k :: int$   
assumes  $H: a \geq 2 \wedge k \geq 0$   
shows  $\exists (s::nat). a^s \geq b \wedge s \geq k$   
(proof)

**lemma** *little-fermat*:  
fixes  $a m :: int$   
assumes *gcd*: *coprime*  $a m$  and *posit*:  $a \geq 1 \wedge m \geq 2$   
shows  $\exists k l. a^{k-1} = m * l \wedge k \geq 1$   
(proof)

**lemma** *lemma-2-2*:  
fixes  $a Z :: int$   
assumes *posit*:  $Z > 0 \wedge a \geq 0$   
shows  $\exists f r. f \geq Z \wedge 1 + 3 * (2 * a + 1) * f = 4^r$   
(proof)

**lemma** *lemma-2-2-useful*:  
fixes  $a min-b :: int$   
assumes  $min-b \geq 0 \wedge a \geq 0$   
defines  $b \equiv \lambda f. 1 + 3 * (2 * a + 1) * f$   
shows  $\exists f. f > 0 \wedge is-square (b f) \wedge is-power2 (b f) \wedge b f > min-b$   
(proof)

end

**theory** *Lower-Bounds*

imports *Coding-Theorem-Definitions* ../Coding/*Lemma-1-8-Coding Digit-Expansions.Bits-Digits*  
*HOL-Library.Rewrite* ../Coding/*Suitable-For-Coding*

begin

## 4.3 Lower bounds on the defined variables

**lemma** (in *coding-variables*) *defs-non-negative*:  
fixes  $g :: int$   
assumes  $a \geq 0$   
assumes  $f > 0$   
assumes  $g \geq 0$   
assumes  $\delta > 0$   
assumes *P-coeff* (*replicate*  $(\nu+1)$   $0$ )  $> 0$   
shows  $B > 0$  and  $N \geq 2$  and  $R g > 0$  and  $S g \geq 0$  and  $T \geq 0$  and  $N0 \geq 1$   
(proof)

```

lemma (in coding-variables) lower-bounds:
  fixes  $g :: int$ 
  assumes  $a \geq 0$ 
  assumes  $f > 0$ 
  assumes  $g \geq 0$ 
  assumes  $\delta > 0$ 
  assumes  $p0: P\text{-coeff } (\text{replicate } (\nu+1) 0) > 0$ 
  shows  $X g \geq 3 * b$  and  $Y \geq 2^{\delta}$  and  $Y \geq b$ 
  <proof>

end
theory Coding-Theorem
  imports Coding-Theorem-Definitions ../Coding/Tau-Reduction ../Coding/Masking

  ../Coding/Lemma-1-8
begin

```

```

lemma digit-sum-bound-int:
  fixes  $f :: nat \Rightarrow int$ 
  assumes  $1 < b$  and  $\forall i \in \{0..q\}. f i < b$ 
  shows  $(\sum_{i=0..q}. f i * b^i) < b^{(Suc\ q)}$ 
  <proof>

```

#### 4.4 Proof

```

locale coding-theorem = coding-variables +
  assumes a-nonneg:  $a \geq 0$ 
  and f-pos:  $f > 0$ 
  and b-power2: is-power2  $b$ 
  and delta-pos:  $\delta > 0$ 
begin

```

$b$  being a power of 2 implies that the following are also powers of 2:

```

lemma B-power2: is-power2  $\mathcal{B}$ 
  <proof>
lemma N0-power2: is-power2  $N0$ 
  <proof>
lemma N1-power2: is-power2  $N1$ 
  <proof>
lemma N-power2: is-power2  $N$ 
  <proof>
lemma Ng-power2: is-power2  $N$ 
  <proof>

lemma B-ge-2:  $\mathcal{B} \geq 2$ 
  <proof>

```

```

lemma B-even:  $2 \text{ dvd } \mathcal{B}$ 

```

*<proof>*

**lemma** *b-le-B*:  $b \leq \mathcal{B}$

*<proof>*

**lemma** *M-bound*:  $0 \leq M \wedge M < N0$

*<proof>*

**context**

**fixes**  $g::int$

**assumes** *g-lower-bound*:  $0 \leq g$

**and** *g-upper-bound*:  $g < 2 * b * \mathcal{B}^{(n \ \nu)}$

**begin**

**lemma** *g-lt-N0*:  $g < N0$

*<proof>*

**lemma** *c-bound*:  $abs \ (c \ g) < 3 * b * \mathcal{B}^{(n \ \nu)}$

*<proof>*

**lemma** *D-bound*:  $abs \ D \leq fact \ \delta * \mathcal{L} * \mathcal{B}^{(n \ (\nu+1))}$

*<proof>*

**lemma** *c- $\delta$ -D-bound*:  $2 * abs \ ((c \ g) \wedge^\delta * D) \leq \mathcal{B}^{((2*\delta+1)*n \ \nu + 1)}$

*<proof>*

**lemma** *K-bound*:  $0 \leq K \ g \wedge 2 * K \ g < 3 * \mathcal{B}^{((2*\delta+1)*n \ \nu + 1)}$

*<proof>*

technical condition 2.7, first part

**lemma** *T-bound*:  $0 \leq T \wedge T < N$

*<proof>*

technical condition 2.7, second part

**lemma** *S-bound*:  $0 \leq S \ g \wedge S \ g < N$

*<proof>*

Technical condition 2.8

**lemma** *tau-S-T-decomp*:  $\tau \ (nat \ (S \ g)) \ (nat \ (T)) =$

$\tau \ (nat \ g) \ (nat \ (M)) + \tau \ (nat \ (2 * K \ g)) \ (nat \ ((\mathcal{B} - 2) * \mathcal{B}^{(n \ (\nu+1))}))$

*<proof>*

**end**

Helper lemmas for masking

**lemma** *n-masking-lemma[simp]*:

**assumes** *masking-lemma*  $\delta \ \nu \ (nat \ \mathcal{B}) \ (nat \ b) \ C$

**shows** *masking-lemma.n*  $\delta = n$

*<proof>*

**lemma** *m-masking-lemma*[simp]:  
  **assumes** *masking-lemma*  $\delta \nu$  (*nat*  $\mathcal{B}$ ) (*nat*  $b$ )  $C$   
  **shows** *masking-lemma.m*  $\delta \nu$  (*nat*  $\mathcal{B}$ ) (*nat*  $b$ )  $j = m j$   
*<proof>*

**lemma** *M-masking-lemma*[simp]:  
  **assumes** *masking-lemma*  $\delta \nu$  (*nat*  $\mathcal{B}$ ) (*nat*  $b$ )  $C$   
  **shows** *masking-lemma.M*  $\delta \nu$  (*nat*  $\mathcal{B}$ ) (*nat*  $b$ ) = *nat*  $M$   
*<proof>*

Helper lemmas to apply Lemma 1.8

We can only apply Lemma 1.8 when  $g$  can be decomposed in base  $\mathcal{B}$  with digits  $< b$

**context**  
  **fixes**  $g :: int$   
  **and**  $z :: nat \Rightarrow int$   
  **assumes** *g-sum*:  $g = (\sum_{i=1..v} z i * \mathcal{B}^{(n i)})$   
  **and** *z-bound*:  $\forall i. 0 \leq z i \wedge z i < b$   
**begin**

This is quite verbose but justified by the following lemma

**definition** *z-list* :: *nat list* **where**  
   $z\text{-list} \equiv \text{nat } a \# \text{map } (\text{nat} \circ z \circ \text{nat}) [1..v]$

**lemma** *z-list-nth-head*:  $z\text{-list}!0 = \text{nat } a$   
*<proof>*

**lemma** *z-list-nth-tail*:  $1 \leq i \implies i \leq v \implies z\text{-list}!i = \text{nat } (z i)$   
*<proof>*

**lemma** *length-z-list*[simp]:  $\text{length } z\text{-list} = v+1$   
*<proof>*

**lemma**  *$\delta$ -lemma-1-8*[simp]: *Lemma-1-8-Defs.* $\delta P = \delta$   
*<proof>*

**lemma**  *$\nu$ -lemma-1-8*[simp]: *Lemma-1-8-Defs.* $\nu P = \nu$   
*<proof>*

**lemma**  *$n$ -lemma-1-8*[simp]: *Lemma-1-8-Defs.* $n P = n$   
*<proof>*

**lemma** *insertion-z-assign*[simp]:  
  *insertion* (*Lemma-1-8-Defs.z-assign*  $z\text{-list}$ )  $P = \text{insertion } (z(0 := a)) P$   
*<proof>*

**lemma** *S-lemma-1-8[simp]*:

$\text{int } (\text{Lemma-1-8-Defs.S } P \text{ (nat } \mathcal{B})) = (\sum_{i=0..(2*\delta+1)*n} \nu. \text{int } (\beta \text{ div } 2) * b^{\wedge \delta} * \mathcal{B}^{\wedge i})$   
 $\langle \text{proof} \rangle$

**lemma** *c-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.c } P \text{ z-list}) = a * \mathcal{B} + g$   
 $(\text{is ?lhs} = \text{?rhs})$   
 $\langle \text{proof} \rangle$

**lemma** *D-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.D } P) = D$   
 $(\text{is ?lhs} = \text{?rhs})$   
 $\langle \text{proof} \rangle$

**lemma** *R-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.R } P \text{ z-list}) = (c g)^{\wedge \delta} * D$   
 $(\text{is ?lhs} = \text{?rhs})$   
 $\langle \text{proof} \rangle$

**lemma** *K-lemma-1-8[simp]*:

$\text{Lemma-1-8-Defs.K } P \text{ (nat } \mathcal{B}) \text{ z-list} = K g$   
 $\langle \text{proof} \rangle$

**lemma** *lemma-1-8-helper*:

**shows**  $\text{insertion } (z(0 := a)) P = 0 \iff \tau (\text{nat } (2 * K g)) (\text{nat } ((\mathcal{B} - 2) * \mathcal{B}^{\wedge (n (\nu+1))})) = 0$   
**and**  $K g > \mathcal{B}^{\wedge ((2*\delta+1) * n \nu)}$   
**and**  $K g < \mathcal{B}^{\wedge ((2*\delta+1) * n \nu + 1)}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *aux-sum-bound-reindex-n*:

$0 \leq (x :: \text{int}) \implies (\sum_{i=0..q} x^{\wedge (n i)}) \leq (\sum_{i=0..n} q. x^{\wedge i})$   
 $\langle \text{proof} \rangle$

**lemma** *coding-theorem-direct*:

$\text{statement1-strong } y \implies (\exists g. \text{statement2-strong } g)$   
 $\langle \text{proof} \rangle$

**lemma** *coding-theorem-reverse*:

$\text{statement2-weak } g \implies (\exists y. \text{statement1-weak } y)$   
 $\langle \text{proof} \rangle$

**lemma** *coding-theorem-reverse'*:

**assumes**  $\exists g. 0 \leq g \wedge g < 2 * (\text{int } \gamma) * b^{\wedge \alpha} \wedge Y \text{ dvd } (2 * \text{nat } (X g)) \text{ choose}$

```

nat (X g))
  shows  $\exists z. (z = 0) \wedge (\forall i. 0 \leq z i \wedge z i < b) \wedge \text{insertion } z P = 0$ 
  <proof>

end

end
theory Lucas-Sequences
  imports Main HOL.Parity
begin

```

## 5 Lucas Sequences

```

fun  $\psi :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$  where
 $\psi A 0 = 0$ 
 $\psi A (\text{Suc } 0) = 1$ 
 $\psi A (\text{Suc } (\text{Suc } n)) = A * (\psi A (\text{Suc } n)) - (\psi A n)$ 

```

```

fun  $\chi :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$  where
 $\chi A 0 = 2$ 
 $\chi A (\text{Suc } 0) = A$ 
 $\chi A (\text{Suc } (\text{Suc } n)) = A * (\chi A (\text{Suc } n)) - (\chi A n)$ 

```

### 5.1 Elementary properties

```

theorem  $\psi$ -induct [consumes 0, case-names 0 1 sucsuc]:
   $P 0 \Longrightarrow P 1 \Longrightarrow (\bigwedge n. P (n + 1) \Longrightarrow P n \Longrightarrow P (n + 2)) \Longrightarrow P (n::\text{nat})$ 
  <proof>

```

```

theorem  $\psi$ -induct-strict [consumes 0, case-names 0 1 2 sucsuc]:
   $P 0 \Longrightarrow P 1 \Longrightarrow P 2 \Longrightarrow (\bigwedge n. n > 0 \Longrightarrow P (n + 1) \Longrightarrow P n \Longrightarrow P (n + 2))$ 
 $\Longrightarrow P (n::\text{nat})$ 
  <proof>

```

```

lemma lem0:  $n \geq 2 \Longrightarrow \exists m. n = \text{Suc } (\text{Suc } m)$ 
  <proof>

```

```

lemma  $\psi$ -reverse:
  assumes  $n \geq 1$ 
  shows  $\psi A (n - 1) = A * (\psi A n) - (\psi A (n + 1))$ 
  <proof>

```

Strict monotonicity

```

lemma lucas-strict-monotonicity:  $A > 1 \Longrightarrow \psi A (\text{Suc } n) > \psi A n \wedge \psi A (\text{Suc } n) > 0$ 
  <proof>

```

```

lemma lucas-monotone1:
  fixes A

```

**assumes**  $A > 1$   
**shows**  $n \geq 2 \longrightarrow \psi A n \geq A$   
 <proof>

**lemma** *lucas-monotone2*:  
**fixes**  $A n m$   
**assumes**  $A > 1$   
**shows**  $\psi A n \leq \psi A (n+m)$   
 <proof>

**lemma** *lucas-monotone3*:  
**fixes**  $A n$   
**assumes**  $A > 1$   
**shows**  $\psi A n \geq \text{int } n$   
 <proof>

**lemma** *lucas-monotone4*:  
**fixes**  $A n m$   
**assumes**  $A > 1$  **and**  $n \leq m$   
**shows**  $\psi A n \leq \psi A m$   
 <proof>

**lemma** *lucas-exp-growth-lt*:  
**fixes**  $A::\text{int}$  **and**  $n::\text{nat}$   
**assumes**  $A > 1$   
**shows**  $\psi A (\text{Suc } (\text{Suc } (\text{Suc } n))) < A^{(n+2)}$   
 <proof>

**lemma** *lucas-exp-growth-le*:  
**fixes**  $A::\text{int}$  **and**  $n::\text{nat}$   
**assumes**  $A > 1$   
**shows**  $\psi A (\text{Suc } (\text{Suc } n)) \leq A^{(n+1)}$   
 <proof>

**lemma** *lucas-exp-growth-gt*:  
**fixes**  $A::\text{int}$  **and**  $n::\text{nat}$   
**assumes**  $A > 1$   
**shows**  $\psi A (\text{Suc } (\text{Suc } n)) > (A-1)^{(n+1)}$   
 <proof>

**lemma** *lucas-symmetry-A*:  
**fixes**  $A::\text{int}$  **and**  $n::\text{nat}$   
**assumes**  $A \geq 2$   
**shows**  $(\psi A n) = (\text{if } (\text{odd } n) \text{ then } \psi (-A) n \text{ else } -\psi (-A) n)$   
 <proof>

**lemma** *lucas-symmetry-A2*:  $-\psi A n = (-1::int) ^ n * \psi (-A) n$   
<proof>

**lemma** *lucas-symmetry-A-abs*: **assumes**  $abs A > 1$  **shows**  $abs (\psi A n) = \psi (abs A) n$   
<proof>

**lemma** *lucas-A-eq-2*:  
  **fixes**  $n::nat$   
  **shows**  $(\psi 2 n) = (int n)$   
<proof>

**lemma** *lucas-periodic-modN*:  
  **fixes**  $N::int$   
  **assumes**  $N > 0$   
  **shows**  $\exists T \geq 1. \forall n. (\psi A (T + n)) \bmod N = (\psi A n) \bmod N$   
<proof>

**lemma** *lucas-modN*:  
  **fixes**  $N::int$   
  **assumes**  $N > 0$   
  **shows**  $\forall n. \exists k \geq n. \psi A k \bmod N = 0$   
<proof>

**lemma** *lucas-parity*:  
  **fixes**  $A::int$  **and**  $B::nat$   
  **assumes** *even A*  
  **shows**  $even (\psi A B) = even B$   
<proof>

**corollary** *lucas-parity2*:  
  **fixes**  $A::int$  **and**  $B::nat$   
  **assumes** *even A*  
  **shows**  $even (\psi A B - int B)$   
<proof>

**lemma** *lucas-monotone-A*:  
  **assumes**  $1 < A \ A \leq A'$   
  **shows**  $\psi A n \leq \psi A' n$   
<proof>

**lemma** *lucas-congruence*:

**fixes**  $A::int$  **and**  $B::int$  **and**  $n::int$   
**assumes**  $n=n \wedge A \bmod n = B \bmod n$   
**shows**  $(\psi A m) \bmod n = (\psi B m) \bmod n$   
 $\langle proof \rangle$

**corollary** *lucas-congruence2*:  
**fixes**  $\alpha::int$  **and**  $m::nat$   
**shows**  $\psi \alpha m \bmod (\alpha - 2) = int m \bmod (\alpha - 2)$   
 $\langle proof \rangle$

**end**  
**theory** *Pell-Equation*  
**imports** *Lucas-Sequences Complex-Main ../Coding/Utils*  
**begin**

## 5.2 The Pell Equation

### 5.2.1 Auxiliary facts

**named-theorems** *real-of-int*

**lemma** *floor-of-real-of-int*[*real-of-int*]:  $\lfloor real-of-int\ x \rfloor = x$   
 $\langle proof \rangle$

**lemma** *floor-of-real-of-int-sub2*[*real-of-int*]:  $\lfloor x - real-of-int\ y \rfloor = \lfloor x \rfloor - y$   
 $\langle proof \rangle$

**lemma** *floor-of-real-of-int-mult*[*real-of-int*]:  $\lfloor real-of-int\ x * real-of-int\ y \rfloor = x * y$   
 $\langle proof \rangle$

**lemma** *real-of-int-inequality*:  $X \leq Y \longleftrightarrow real-of-int\ X \leq real-of-int\ Y$   $\langle proof \rangle$

**lemma** *real-of-int-strict-inequality*:  $X < Y \longleftrightarrow real-of-int\ X < real-of-int\ Y$   
 $\langle proof \rangle$

**lemma** *evenX2k*:  
**fixes**  $X::int$   
**assumes**  $evenX:even\ X$   
**shows**  $\exists k. X = 2*k$   
 $\langle proof \rangle$

**lemma** *distrib-add-diff*:  
**fixes**  $a\ b\ c\ d::real$   
**shows**  $(a+b)*(c-d) = a*c - a*d + b*c - b*d$   
 $\langle proof \rangle$

**lemma** *floor-even*:  
**fixes**  $X::int$   
**assumes**  $Xeven:even\ X$   
**shows**  $real-of-int\ \lfloor (real-of-int\ X)/2 \rfloor = (real-of-int\ X)/2$

*<proof>*

**lemma** *even-to-mod2*:

**fixes**  $X Y :: \text{int}$

**assumes**  $\text{even } X = \text{even } Y$

**shows**  $X \bmod 2 = Y \bmod 2$

*<proof>*

**lemma** *oddA-to-mod*:

**fixes**  $X Y A :: \text{int}$

**assumes**  $\text{odd } A$

**shows**  $A^2 \bmod 4 = 1$

*<proof>*

**lemma** *sol-non-zero*:

**fixes**  $X Y A :: \text{int}$

**assumes**  $\text{sol}: X^2 - (A^2 - 4) * Y^2 = 4$  **and**  $\text{Alarge}: A^2 > 4$

**shows**  $X + \text{sqrt}(A^2 - 4) * Y \neq 0$

*<proof>*

**lemma** *conj-inversion*:

**fixes**  $X :: \text{int}$  **and**  $Y :: \text{int}$  **and**  $A :: \text{int}$

**assumes**  $A4: A^2 > 4$  **and**  $\text{sol}: X^2 - (A^2 - 4) * Y^2 = 4$

**shows**  $1/2 * (X - \text{sqrt}(A^2 - 4) * Y) = 2 * \text{inverse}(X + \text{sqrt}(A^2 - 4) * Y)$

*<proof>*

## 5.2.2 Group structure of the solutions

**lemma** *group-structure*:

**fixes**  $X1 X2 Y1 Y2 A :: \text{int}$

**assumes**  $A4: A^2 > 4$

**shows**  $(X1^2 - (A^2 - 4) * Y1^2 = 4) \wedge (X2^2 - (A^2 - 4) * Y2^2 = 4)$

$\implies (X1 * X2 + (A^2 - 4) * Y1 * Y2)^2 - (A^2 - 4) * (X1 * Y2 + X2 * Y1)^2$

$= 16$

*<proof>*

**lemma** *group-structure-evenXi*:

**fixes**  $X Y A :: \text{int}$

**assumes**  $\text{sol}: (X^2 - (A^2 - 4) * Y^2 = 4)$  **and**  $\text{even } A$

**shows**  $\text{even } X$

*<proof>*

**lemma** *XimodYi*:

**fixes**  $X Y A :: \text{int}$

**assumes**  $A4: A^2 > 4$  **and**  $\text{sol}: (X^2 - (A^2 - 4) * Y^2 = 4)$  **and**  $\text{odd } A$

**shows**  $X \bmod 2 = Y \bmod 2$

*<proof>*

**lemma** *group-structure-int*:  
**fixes**  $X1\ X2\ Y1\ Y2\ A::int$   
**assumes**  $A^2 > 4$  **and**  $sol1:(X1^2 - (A^2-4)*Y1^2 = 4)$   
**and**  $sol2:(X2^2 - (A^2-4)*Y2^2 = 4)$   
**shows**  $even(X1*X2 + (A^2-4)*Y1*Y2) \wedge even(X1*Y2 + X2*Y1)$   
 $\langle proof \rangle$

**lemma** *group-structure-sol4*:  
**fixes**  $X1\ X2\ Y1\ Y2\ A::int$   
**assumes**  $A^2 > 4$  **and**  $sol1:(X1^2 - (A^2-4)*Y1^2 = 4)$   
**and**  $sol2:(X2^2 - (A^2-4)*Y2^2 = 4)$   
**defines**  $X3 \equiv X1*X2 + (A^2-4)*Y1*Y2$  **and**  $Y3 \equiv X1*Y2 + X2*Y1$   
**shows**  $(floor\ (X3/2))^2 - (A^2-4)*(floor\ (Y3/2))^2 = 4$   
 $\langle proof \rangle$

### 5.2.3 Smallest solution

**lemma** *smallest-sol-sublemma*:  
**fixes**  $X\ Y\ A::int$   
**assumes**  $A^2 > 4$  **and**  $XYsol: X^2 - (A^2-4)*Y^2 = 4$   
**and**  $X \geq 0$  **and**  $Y > 0$   
**shows**  $X + Y*sqrt(A^2-4) \geq A + sqrt(A^2-4)$   
 $\langle proof \rangle$

**lemma** *binomial-form-sol*:  
**fixes**  $X\ Y\ A::int$   
**assumes**  $A^2 > 4$  **and**  $XYsol: X^2 - (A^2-4)*Y^2 = 4$   
**shows**  $(X + Y*sqrt(A^2-4))*(X - Y*sqrt(A^2-4)) = 4$   
 $\langle proof \rangle$

**lemma** *smallest-sol*:  
**fixes**  $X\ Y\ A::int$   
**assumes**  $A^2 > 4$  **and**  $XYsol: X^2 - (A^2-4)*Y^2 = 4$   
**and** *lowerbound*:  $2 < X + Y*sqrt(A^2-4)$   
**and** *upperbound*:  $X + Y*sqrt(A^2-4) < A + sqrt(A^2-4)$   
**shows** *False*  
 $\langle proof \rangle$

### 5.2.4 Finite generation of solutions

**lemma** *finite-generation-nat*:  
**fixes**  $X\ Y\ A::int$  **and**  $n::nat$   
**assumes**  $sol: X^2 - (A^2-4)*Y^2 = 4$  **and**  $A^2 > 4$   
**shows**  $\exists X3. \exists Y3. 2*((X + sqrt(A^2-4)*Y)/2)^n = X3 + sqrt(A^2-4)*Y3$   
 $\wedge$   
 $X3^2 - (A^2-4)*Y3^2 = 4$  (**is ?P n**)  
 $\langle proof \rangle$

**lemma** *finite-generation*:

**fixes**  $X Y A::int$  **and**  $n::nat$   
**assumes**  $sol: X^2 - (A^2-4)*Y^2 = 4$  **and**  $A^2 > 4$   
**shows**  $\exists X1. \exists Y1. 2 * (inverse ((X + sqrt(A^2-4)*Y)/2) ^n) = X1 + sqrt(A^2-4)*Y1$   
 $\wedge$   
 $X1^2 - (A^2-4)*Y1^2 = 4$   
 $\langle proof \rangle$

**lemma** *real-arch-power*:  
**fixes**  $x::real$  **and**  $y::real$   
**assumes**  $x1: x > 1$  **and**  $y1: y \geq 1$   
**shows**  $\exists n. x^n \leq y \wedge y < x^{(n+1)}$   
 $\langle proof \rangle$

**lemma** *finite-gen-all-sol*:  
**fixes**  $X::int$  **and**  $Y::int$  **and**  $A::int$   
**defines**  $\rho \equiv |A| + sqrt(A^2-4)$   
**and**  $Z \equiv X + sqrt(A^2-4)*Y$  **and**  $D \equiv A^2-4$   
**assumes**  $A_{large}: A^2 > 4$  **and**  $XY_{sol}: X^2 - (A^2-4)*Y^2 = 4$   
**shows**  $\exists n. Z \in \{2*(\rho/2)^n, -2*(\rho/2)^n, 2*inverse(\rho/2)^n, -2*inverse(\rho/2)^n\}$   
 $\wedge$   
 $Y \in \{1/sqrt(D)*(\rho/2)^n - inverse(\rho/2)^n, -1/sqrt(D)*(\rho/2)^n - inverse(\rho/2)^n\}$   
 $\langle proof \rangle$

## 5.2.5 Link between Pell equation and Lucas sequences

**lemma** *link-to-lucas*:  
**fixes**  $A::int$  **and**  $n::nat$   
**assumes**  $A_4: A^2 > 4$   
**shows**  $inverse(sqrt(A^2-4))*((1/2*((real-of-int A)+sqrt(A^2-4)))^n - (2*inverse((real-of-int A)+sqrt(A^2-4)))^n) = \psi A n$   
 $\langle proof \rangle$

## 5.2.6 Special cases

**lemma** *lucas-pell-sublemmaA2*:  
**fixes**  $Y::int$   
**shows**  $\exists m. Y = \psi 2 m \vee Y = -\psi 2 m$   
 $\langle proof \rangle$

**lemma** *lucas-pell-sublemmaAmin2*:  
**fixes**  $Y::int$   
**shows**  $\exists m. Y = \psi (-2) m \vee Y = -\psi (-2) m$   
 $\langle proof \rangle$

**lemma** *lucas-pell-sublemmaA0*:  
**fixes**  $Y::int$   
**assumes**  $assm: \exists k. (-4)*Y^2 + 4 = k^2$   
**shows**  $\exists m. Y = \psi 0 m \vee Y = -\psi 0 m$   
 $\langle proof \rangle$

**lemma** *lucas-pell-sublemmaA1*:  
**fixes**  $Y::int$   
**assumes** *assm*:  $\exists k. (1^2-4)*Y^2 + 4 = k^2$   
**shows**  $\exists m. Y = \psi\ 1\ m \vee Y = -\psi\ 1\ m$   
 $\langle proof \rangle$

**lemma** *lucas-pell-sublemmaAmin1*:  
**fixes**  $Y::int$   
**assumes** *assm*:  $\exists k. ((-1)^2-4)*Y^2 + 4 = k^2$   
**shows**  $\exists m. Y = \psi\ (-1)\ m \vee Y = -\psi\ (-1)\ m$   
 $\langle proof \rangle$

### 5.2.7 The main equivalence

**lemma** *lucas-pell-part1*:  
**fixes**  $A\ Y::int$   
**shows**  $(\exists k. (A^2-4)*Y^2 + 4 = k^2) \implies (\exists m. Y = \psi\ A\ m \vee Y = -\psi\ A\ m)$   
 $\langle proof \rangle$

**lemma** *lucas-pell-part3*:  
**fixes**  $A::int$  **and**  $m::nat$   
**shows**  $(A^2-4)*(\psi\ A\ m)^2+4 = (\chi\ A\ m)^2$   
 $\langle proof \rangle$

**lemma** *lucas-pell-part2*:  
**fixes**  $A\ X::int$   
**shows**  $(\exists m. X = \psi\ A\ m \vee X = -\psi\ A\ m) \implies (\exists k. (A^2-4)*X^2 + 4 = k^2)$   
 $\langle proof \rangle$

**lemma** *lucas-pell-nat*:  
**fixes**  $A\ Y::int$   
**shows**  $(\exists k. (A^2-4)*Y^2 + 4 = k^2) = (\exists m. Y = \psi\ A\ m \vee Y = -\psi\ A\ m)$   
**and**  $(A^2-4)*(\psi\ A\ m)^2 + 4 = (\chi\ A\ m)^2$   
 $\langle proof \rangle$

**corollary** *lucas-pell-corollary*:  
**fixes**  $A::int$  **and**  $X::int$   
**shows** *is-square*  $((A^2-1)*X^2+1) = (\exists m. X = \psi\ (2*A)\ m \vee X = -\psi\ (2*A)\ m)$   
 $\langle proof \rangle$

**end**  
**theory** *Lucas-Diophantine*  
**imports** *Lucas-Sequences*

begin

### 5.3 Lucas Sequences and Exponentiation

Direct implication of lemma 3.12

**lemma** *lucas-diophantine-dir*:

**fixes**  $A::int$  **and**  $B::nat$

**shows**  $(3 * 2^B * \psi A B) \bmod (2*A-5) = (2 * (2^{(2*B)} - 1)) \bmod (2*A - 5)$

*<proof>*

A few lemmas helping variable changes in sums

**lemma** *translation-var-0-to-1*:

**fixes**  $f::nat \Rightarrow int$  **and**  $n::nat$

**shows**  $(\sum_{i=0..n}. f (i+1)) = (\sum_{i=1..n+1}. f i)$

*<proof>*

**lemma** *chang-var2*:

**fixes**  $f::nat \Rightarrow nat \Rightarrow int$  **and**  $n::nat$

**shows**  $(\sum_{i=0..n}. f (i+1) (n-i)) = (\sum_{i=1..n+1}. f i (n+1-i))$

*<proof>*

**lemma** *chang-var3*:

**fixes**  $f::nat \Rightarrow nat \Rightarrow int$  **and**  $n::nat$

**assumes**  $n \geq 1$

**shows**  $(\sum_{i=0..n-1}. f (i+1) (n-i)) = (\sum_{i=1..n}. f i (n+1-i))$

*<proof>*

Lemma 3.11, requiring no other result, but necessary to the proof of the reciprocal implication

**definition** *f-38*::  $int \Rightarrow int \Rightarrow nat \Rightarrow nat \Rightarrow int$

**where** *f-38*  $U V a b = U^{(2*a)} * V^{(2*b)}$

**lemma** *lucas-exponential-diophantine*:

**fixes**  $A::int$  **and**  $B::nat$  **and**  $U::int$  **and**  $V::int$

**assumes**  $B > 0$

**shows**  $(U * V)^{(B-1)} * \psi A B \bmod (U^2 - A * U * V + V^2)$

$= (\sum_{r=0..(B-1)}. (U^{(2*r)} * (V^{(2*(B-1-r))})) \bmod (U^2 - A * U * V + V^2)$

*<proof>*

**corollary** *lucas-diophantine-aux*:

**fixes**  $B::nat$  **and**  $A::int$

**assumes**  $B > 0$

**shows**  $2^{(B-1)} * \psi A B \bmod (2*A-5) = (\sum_{r=0..B-1}. 2^{(2*r)}) \bmod (2*A-5)$

*<proof>*

Reciprocal implication of lemma 3.12

```

lemma lucas-diophantine-rec:
  fixes  $B::nat$  and  $A::int$  and  $W::int$ 
    assumes  $B > 0 \wedge abs\ A > W^4 \wedge abs\ A > 2^{(4*B)} \wedge \exists W*\psi\ A\ B\ mod\ (2*A-5) = 2*(W^2-1)\ mod\ (2*A-5)$ 
    shows  $W = 2^B$ 
  <proof>

end
theory Lemma-4-4
  imports Lucas-Sequences HOL.Real
begin

```

## 5.4 Bounds on expressions involving Lucas Sequences

```

lemma bernoulli-ineq:
  fixes  $a::int$  and  $n::nat$ 
    assumes  $a \geq 1$ 
    shows  $(a-1)^{(Suc\ n)} \geq a^{(Suc\ n)} - int\ (n+1)*a^n$ 
  <proof>

```

```

lemma lemma-4-4:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $-2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
       $\leq U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\wedge U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\leq 2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
  <proof>

```

Corollaries of lemma 3.9 easier to handle for the proof of Theorem 2

```

lemma lemma-4-4-cor:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $abs\ (U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X+1)))$ 
       $\leq 2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
  <proof>

```

This version condenses all inequalities using absolute values

```

lemma lemma-4-4-abs:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $abs\ U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $-2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
       $\leq abs\ U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\wedge abs\ U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 

```

$\leq 2 * \text{int } X * (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1)$   
 <proof>

**lemma** *lemma-4-4-cor-abs*:

**fixes**  $U::\text{int}$  **and**  $V::\text{int}$  **and**  $X::\text{nat}$   
**assumes**  $abs \ U \geq 2 * \text{int } X$  **and**  $V \geq 1$  **and**  $X \geq 1$   
**shows**  $abs (U * V * (V \wedge X * \psi (U * (V+1))) (2 * X + 1) - (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1))$   
 $\leq 2 * \text{int } X * (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1)$   
 <proof>

This version uses  $\varrho$  (defined in the lemma)

**lemma** *lemma-4-4-cor-rho*:

**fixes**  $U::\text{int}$  **and**  $V::\text{int}$  **and**  $X::\text{nat}$  **and**  $\varrho::\text{real}$   
**assumes**  $U \geq 2 * \text{int } X$  **and**  $V \geq 1$  **and**  $X \geq 1$   
**defines**  $\varrho \equiv (\text{real-of-int } (V+1) \wedge (2 * X)) / (\text{real-of-int } V \wedge X)$   
**shows**  $abs (\psi (U * (V+1)) (2 * X + 1) / \psi (U \wedge 2 * V) (X + 1) - \varrho) \leq 2 * \text{int } X * \varrho$   
 $/ (U * V)$   
 <proof>

This version condenses all inequalities using absolute values, and uses  $\varrho$

**lemma** *lemma-4-4-cor-rho-abs*:

**fixes**  $U::\text{int}$  **and**  $V::\text{int}$  **and**  $X::\text{nat}$  **and**  $\varrho::\text{real}$   
**assumes**  $abs \ U \geq 2 * \text{int } X$  **and**  $V \geq 1$  **and**  $X \geq 1$   
**assumes**  $\varrho \equiv (\text{real-of-int } (V+1) \wedge (2 * X)) / (\text{real-of-int } V \wedge X)$   
**shows**  $abs (\psi (U * (V+1)) (2 * X + 1) / \psi (U \wedge 2 * V) (X+1) - \varrho) \leq 2 * \text{int } X * \varrho$   
 $/ (abs \ U * V)$   
 <proof>

**end**

**theory** *DFI-square-0*

**imports** *Pell-Equation*

**begin**

## 5.5 Square Criterion for Exponentiation

**locale** *bridge-variables*

**begin**

**definition** *D-f*::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**

$D\text{-f } A \ C = (A \wedge 2 - 4) * C \wedge 2 + 4$

**definition** *E-f*::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**

$E\text{-f } C \ D \ x = C \wedge 2 * D * x$

**definition** *F-f*::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**

$F\text{-f } A \ E = 4 * (A \wedge 2 - 4) * E \wedge 2 + 1$

**definition**  $G\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $G\text{-}f A C D E F = 1 + C * D * F - 2 * (A + 2) * (A - 2)^{\wedge} 2 * E^{\wedge} 2$

**definition**  $H\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $H\text{-}f B C F y = C + B * F + (2 * y - 1) * C * F$

**definition**  $I\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $I\text{-}f G H = (G^{\wedge} 2 - 1) * H^{\wedge} 2 + 1$

**definition**  $E\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $E\text{-}ACx A C x = E\text{-}f C (D\text{-}f A C) x$

**definition**  $F\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $F\text{-}ACx A C x = F\text{-}f A (E\text{-}ACx A C x)$

**definition**  $G\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $G\text{-}ACx A C x = G\text{-}f A C (D\text{-}f A C) (E\text{-}ACx A C x) (F\text{-}ACx A C x)$

**definition**  $H\text{-}ABCxy:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $H\text{-}ABCxy A B C x y = H\text{-}f B C (F\text{-}ACx A C x) y$

**definition**  $I\text{-}ABCxy:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $I\text{-}ABCxy A B C x y = I\text{-}f (G\text{-}ACx A C x) (H\text{-}ABCxy A B C x y)$

**lemma** *lemma-4-2-part-DF*:  
**fixes**  $A B$   
**defines**  $C \equiv \psi A (\text{nat } B)$   
**assumes**  $evA: \text{even } A \ A \geq 4 \ B \geq 3$   
**shows**  $\forall n. \exists x \geq n. \text{is-square } (D\text{-}f A C) \wedge \text{is-square } (F\text{-}ACx A C x)$   
*<proof>*

**definition**  $y\text{-num-}ABCx :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $y\text{-num-}ABCx A B C x = \psi (2 * (G\text{-}ACx A C x)) (\text{nat } B) - C + (C - B) * F\text{-}ACx A C x$

**definition**  $y\text{-den-}ABCx :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $y\text{-den-}ABCx A B C x = 2 * C * F\text{-}ACx A C x$

**lemma** *lemma-4-2-y-grows*:  
**fixes**  $A B$   
**defines**  $C \equiv \psi A (\text{nat } B)$   
**defines**  $y\text{-num} \equiv y\text{-num-}ABCx A B C$  **and**  $y\text{-den} \equiv y\text{-den-}ABCx A B C$   
**assumes**  $evA: \text{even } A \ A \geq 4 \ B \geq 3$   
**shows**  $\forall m. \exists n. \forall x. x \geq n \longrightarrow y\text{-num } x \geq m * y\text{-den } x \wedge y\text{-den } x > 0$   
*<proof>*

**lemma** *mod-mult*:

**fixes** *a::int and b::int and c::int and d::int*

**assumes**  $a \bmod c = b \bmod c \wedge a \bmod d = b \bmod d \wedge \text{coprime } c \ d$

**shows**  $a \bmod (c*d) = b \bmod (c*d)$

*<proof>*

**lemma** *lemma-4-2-y-int*:

**fixes** *A B x*

**defines**  $C \equiv \psi \ A \ (\text{nat } B)$

**defines**  $y\text{-num} \equiv y\text{-num-ABCx } A \ B \ C$  **and**  $y\text{-den} \equiv y\text{-den-ABCx } A \ B \ C$

**assumes** *evA: even A A ≥ 4 B ≥ 3*

**shows**  $y\text{-den } x \ \text{dvd} \ y\text{-num } x$

*<proof>*

**lemma** *lemma-4-2*:

**fixes** *A B n*

**defines**  $C \equiv \psi \ A \ (\text{nat } B)$

**assumes** *evA: even A A ≥ 4 B ≥ 3*

**shows**  $\exists x \geq n. \exists y \geq n. \text{is-square } (D\text{-f } A \ C) \wedge \text{is-square } (F\text{-ACx } A \ C \ x) \wedge \text{is-square } (I\text{-ABCxy } A \ B \ C \ x \ y)$

*<proof>*

**lemma** *lemma-4-2-cor*:

**fixes** *A B*

**defines**  $C \equiv \psi \ A \ (\text{nat } B)$

**assumes** *evA: even A A ≥ 4 B ≥ 3*

**shows**  $\forall n. \exists x \geq n. \exists y \geq n. \text{is-square } ((D\text{-f } A \ C) * (F\text{-ACx } A \ C \ x) * (I\text{-ABCxy } A \ B \ C \ x \ y))$

*<proof>*

**end**

**end**

**theory** *DFI-square-1*

**imports** *DFI-square-0 Lucas-Diophantine*

**begin**

**fun** *rec-forte-init012::nat ⇒ nat where*

*rec-forte-init012 0 = 0 |*

*rec-forte-init012 (Suc 0) = 0 |*

*rec-forte-init012 (Suc (Suc 0)) = 0 |*

*rec-forte-init012 (Suc (Suc (Suc n))) = (∑ i ≤ Suc (Suc n). rec-forte-init012 i)*

**theorem** *strong-induct-init012* [*consumes 0, case-names 0 1 2 sucsucsuc*]:

$P\ 0 \implies P\ (\text{Suc}\ 0) \implies P\ (\text{Suc}\ (\text{Suc}\ 0)) \implies (\bigwedge n. (\forall i \leq \text{Suc}\ (\text{Suc}\ n). P\ i) \implies P\ (\text{Suc}\ (\text{Suc}\ (\text{Suc}\ n))))$   
 $\implies P\ (n::\text{nat})$   
 <proof>

**lemma sun-lemma2:**  $\bigwedge n\ k\ r. \psi\ A\ (k*n+r) =$   
 $(\sum_{i \leq n. \text{int}\ (n\ \text{choose}\ i) * (\psi\ A\ (\text{Suc}\ k) - A * \psi\ A\ k)^{\wedge(n-i)} * (\psi\ A\ k)^{\wedge i} * \psi\ A\ (r+i))$   
 <proof>

**lemma lucas-consec-coprime:**  $\text{coprime}\ (\psi\ A\ k)\ (\psi\ A\ (\text{Suc}\ k))$   
 <proof>

**lemma eq-mod-power:** **fixes**  $a::\text{int}$  **and**  $b::\text{int}$  **assumes**  $a\ \text{mod}\ n = b\ \text{mod}\ n$  **shows**  
 $a^k\ \text{mod}\ n = b^k\ \text{mod}\ n$   
 <proof>

**lemma euclids-lemma:**  $(\text{coprime}\ (a::\text{int})\ b) \wedge a\ \text{dvd}\ (b*c) \longrightarrow a\ \text{dvd}\ c$   
 <proof>

**lemma coprime-power:** **fixes**  $a::\text{int}$  **and**  $b::\text{int}$  **assumes**  $\text{coprime}\ a\ b$  **shows**  $\text{coprime}\ (a^k)\ b$   
 <proof>

**lemma dvd-remove-psi:**  
**fixes**  $A::\text{int}$  **and**  $k::\text{nat}$  **and**  $m::\text{nat}$   
**assumes**  $(\psi\ A\ k)\ \text{dvd}\ (\psi\ A\ m)$  **and**  $A^2-4 \geq 0$  **and**  $k > 0$   
**shows**  $(\text{int}\ k)\ \text{dvd}\ (\text{int}\ m)$   
 <proof>

**lemma sun-lemma7:**  
**fixes**  $A::\text{int}$  **and**  $k::\text{nat}$  **and**  $m::\text{nat}$   
**assumes**  $A^2-4 \geq 0$  **and**  $(\psi\ A\ k)^2\ \text{dvd}\ \psi\ A\ m$  **and**  $k > 0$   
**shows**  $\psi\ A\ k\ \text{dvd}\ (\text{int}\ m)$   
 <proof>

Introducing  $\psi$  and  $\chi$  with both interger parameters. It is a broader definition but induction is harder, that's why a lot of properties for these vesion are proved in the following lemmas

**definition**  $\psi\text{-int}::\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $\psi\text{-int}\ A\ n = (-1)^{\text{if}\ n \geq 0\ \text{then}\ 0\ \text{else}\ 1} * \psi\ A\ (\text{nat}\ (\text{abs}\ n))$

**definition**  $\chi\text{-int}::\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $\chi\text{-int}\ A\ n = \chi\ A\ (\text{nat}\ (\text{abs}\ n))$

**lemma**  $\psi\text{-int}\text{-eq:}$   $\psi\text{-int}\ A\ n = (\text{if}\ n \geq 0\ \text{then}\ 1\ \text{else}\ -1) * \psi\ A\ (\text{nat}\ (\text{abs}\ n))$

*<proof>*

**lemma** *ψ-int-ind*:

**fixes** *A::int and n::int*

**shows**  $\psi\text{-int } A (n+2) = A * \psi\text{-int } A (n+1) - \psi\text{-int } A n$

*<proof>*

**lemma** *χ-int-ind*:

**fixes** *A::int and n::int*

**shows**  $\chi\text{-int } A (n+2) = A * \chi\text{-int } A (n+1) - \chi\text{-int } A n$

*<proof>*

**lemma** *ψ-int-odd*:

**fixes** *A::int and n::int*

**shows**  $\psi\text{-int } A (-n) = -\psi\text{-int } A n$

*<proof>*

**lemma** *χ-int-even*:

**fixes** *A::int and n::int*

**shows**  $\chi\text{-int } A (-n) = \chi\text{-int } A n$

*<proof>*

**lemma** *technical-lemma1*:

**fixes** *k::int and r::int and A::int*

**shows**  $\psi\text{-int } A (k+r) = \psi\text{-int } A r * \chi\text{-int } A k + \psi\text{-int } A (k-r)$

*<proof>*

It is now much easier to state the following lemma

**lemma** *technical-lemma2*:

**fixes** *r::int and A::int and n::int and q::int and k::int*

**assumes**  $n \neq 0$  **and**  $\chi\text{-int } A n = 2*k$

**shows**  $\psi\text{-int } A (2*n+r) \bmod (\chi\text{-int } A n) = (-\psi\text{-int } A r) \bmod (\chi\text{-int } A n)$

**and**  $\psi\text{-int } A (4*n*q+r) \bmod k = \psi\text{-int } A r \bmod k$

*<proof>*

**lemma** *lucas-solves-pell*:

**fixes** *A :: int*

**shows**  $(A^2-4)*(\psi\text{-int } A m)^2 + 4 = (\chi\text{-int } A m)^2$

*<proof>*

**lemma** *pell-yields-lucas*:

**fixes** *A Y :: int*

**shows**  $(\exists k. (A^2-4)*Y^2 + 4 = k^2) = (\exists m. Y = \psi\text{-int } A m)$

*<proof>*

**corollary** *technical-lemma2-part2*:

**fixes**  $r::int$  **and**  $A::int$  **and**  $n::int$  **and**  $q::int$  **and**  $k::int$   
**assumes**  $n \neq 0$  **and**  $\chi\text{-int } A \ n = 2*k$   
**shows**  $\psi\text{-int } A \ (4*n*q+r) \ \text{mod } k = \psi\text{-int } A \ r \ \text{mod } k$   
 $\langle\text{proof}\rangle$

**corollary** *technical-cor3*:

**fixes**  $r::int$  **and**  $A::int$  **and**  $n::int$  **and**  $k::int$   
**assumes**  $n \neq 0$  **and**  $\chi\text{-int } A \ n = 2*k$   
**shows**  $\psi\text{-int } A \ (2*n+r) \ \text{mod } k = (-\psi\text{-int } A \ r) \ \text{mod } k$   
 $\langle\text{proof}\rangle$

**end**

**theory** *DFI-square-2*

**imports** *DFI-square-1 HOL.NthRoot*

**begin**

**lemma** *sun-lemma10-rec*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $t::int$  **and**  $k::int$   
**assumes**  $A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } A \ n = 2*k$   
**shows**  $(s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$   
 $\implies (\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$   
 $\langle\text{proof}\rangle$

Some results about Lucas sequences seen as real numbers

**lemma** *expr-of-psi-and-chi*:

**fixes**  $A::int$  **and**  $n::nat$  **and**  $\alpha::real$   
**assumes**  $A > 2$  **and**  $\alpha^2 = A^2 - 4$  **and**  $\alpha > 0$   
**defines**  $\beta p \equiv (A + \alpha) / 2$  **and**  $\beta m \equiv (A - \alpha) / 2$   
**shows**  $\text{real-of-int } (\psi \ A \ n) = (\beta p^{n-1} - \beta m^{n-1}) / \alpha \wedge$   
 $\text{real-of-int } (\chi \ A \ n) = \beta p^n + \beta m^n$   
 $\langle\text{proof}\rangle$

**lemma** *chi-is-Bigger-sqrt5psi*:  $A > 2 \implies \chi \ A \ n > \text{sqrt } 5 * \psi \ A \ n$   
 $\langle\text{proof}\rangle$

**lemma** *chi-is-Bigger-2psi*:  $A > 2 \implies \chi \ A \ n > 2 * \psi \ A \ n$   
 $\langle\text{proof}\rangle$

**lemma** *psi-ineq-opti*:

**fixes**  $A::int$  **and**  $n::nat$   
**assumes**  $A > 2$   
**shows**  $5 * \psi \ A \ n < 2 * \psi \ A \ (n+1)$   
 $\langle\text{proof}\rangle$

**lemma** *psi-doubles*:

**fixes**  $A::int$  **and**  $n::nat$   
**assumes**  $A > 2$   
**shows**  $2 * \psi \ A \ n < \psi \ A \ (n+1)$   
 $\langle\text{proof}\rangle$

**lemma** *distinct-residus*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $k::int$  **and**  $i::int$  **and**  $j::int$   
**assumes**  $A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } A \ n = 2*k$  **and**  $i \in \{-n..n\}$  **and**  $j \in \{-n..n\}$   
**and**  $i \neq j$   
**shows**  $\psi\text{-int } A \ i \ \text{mod } k \neq \psi\text{-int } A \ j \ \text{mod } k$   
(*proof*)

**lemma** *case-lesser-than-4n*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $s::int$  **and**  $t::int$  **and**  $k::int$   
**assumes**  $A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } A \ n = 2*k$  **and**  $0 \leq s \wedge s < 4*n \wedge 0 \leq t \wedge t < 4*n$   
**shows**  $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$   
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$   
(*proof*)

**lemma** *mod-pos*:

**fixes**  $k::int$  **and**  $n::int$   
**assumes**  $n > 0$   
**shows**  $0 \leq k \ \text{mod } n \wedge k \ \text{mod } n < n$   
(*proof*)

**lemma** *lesser-4n-to-all*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $s::int$  **and**  $t::int$  **and**  $k::int$   
**assumes**  $A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } A \ n = 2*k$   
**shows**  $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$   
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$   
(*proof*)

**lemma** *sun-lemma10-dir*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $s::int$  **and**  $t::int$  **and**  $k::int$   
**assumes**  $A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } A \ n = 2*k$   
**shows**  $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$   
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$   
(*proof*)

**lemma** (*in bridge-variables*) *sun-lemma24*:

**fixes**  $A::int$  **and**  $B::int$  **and**  $C::int$  **and**  $x::int$  **and**  $y::int$   
**assumes**  $\text{abs } A \geq 2$   
**shows**  $\text{is-square } (D\text{-f } A \ C * F\text{-ACx } A \ C \ x * I\text{-ABCxy } A \ B \ C \ x \ y) = (\text{is-square } (D\text{-f } A \ C) \wedge \text{is-square } (F\text{-ACx } A \ C \ x) \wedge \text{is-square } (I\text{-ABCxy } A \ B \ C \ x \ y))$   
(*proof*)

**end**

**theory** *DFI-square-3*

**imports** *DFI-square-2*

**begin**

A few lemmas before the proof

**lemma** *lucas-pell-corollary-int*:

**fixes**  $A::int$  **and**  $X::int$

**shows**  $(\exists k. (A^2-4)*X^2 + 4 = k^2) \implies (\exists m. X = \psi\text{-int } A\ m)$

*<proof>*

**lemma** *lucas-modN-int*:

**fixes**  $A::int$  **and**  $B::int$  **and**  $n::int$

**assumes**  $A \bmod n = B \bmod n$

**shows**  $(\psi\text{-int } A\ m) \bmod n = (\psi\text{-int } B\ m) \bmod n$

*<proof>*

**lemma** *div-mod*:  $(n::int) \text{ dvd } m \implies k \bmod m = l \bmod m \implies k \bmod n = l \bmod n$

*<proof>*

**lemma**  *$\psi\text{-int-minus}$* :  $\psi\text{-int } (-X)\ n = (-1)^{\text{nat } (\text{abs } n) + 1} * \psi\text{-int } X\ n$  **for**  $n$   
 $X$

*<proof>*

**lemma** *eq- $\psi\text{-int}$* :  $\text{abs } X > 1 \implies \text{abs } (\psi\text{-int } X\ n) = \psi (\text{abs } X) (\text{nat } (\text{abs } n))$  **for**  
 $X\ n$

*<proof>*

Lemma 10 in Sun

**lemma** *sun-lemma10-dir-int*:

**fixes**  $A::int$  **and**  $n::int$  **and**  $s::int$  **and**  $t::int$  **and**  $k::int$

**assumes**  $\text{abs } A > 2$  **and**  $n > 3$  **and**  $\chi\text{-int } (\text{abs } A)\ n = 2*k$

**shows**  $(\psi\text{-int } A\ s \bmod k = \psi\text{-int } A\ t \bmod k)$

$\implies (s \bmod (2*n) = t \bmod (2*n) \vee (s+t) \bmod (2*n) = 0 \bmod (2*n))$

*<proof>*

Theorem in Sun

**theorem** (in *bridge-variables*) *sun-theorem*:

**fixes**  $A::int$  **and**  $B::int$  **and**  $C::int$  **and**  $x::int$  **and**  $y::int$

**assumes**  $\text{abs } B > 1$  **and**  $2*\text{abs } B < \text{abs } A - 2$  **and**  $(A-2) \text{ dvd } (C-B)$  **and**  $x$   
 $\neq 0$

**and** *is-square*  $(D\text{-f } A\ C * F\text{-ACx } A\ C\ x * I\text{-ABCxy } A\ B\ C\ x\ y)$

**shows**  $C = \psi\text{-int } A\ B$

*<proof>*

**end**

**theory** *Bridge-Theorem-Imp*

**imports** *HOL.Binomial*

*../MPoly-Utills/Poly-Extract*

*../Lucas-Sequences/DFI-square-0*

*../Lucas-Sequences/Lucas-Diophantine*

*../Lucas-Sequences/Lemma-4-4*

begin

## 6 The Bridge Theorem

### 6.1 Constructing polynomials

context *bridge-variables*

begin

**definition**  $L :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $L l Y = l * Y$

**definition**  $U :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $U l X Y = 2 * X * L l Y$

**definition**  $V :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $V w g Y = 4 * w * g * Y$

**definition**  $A :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $A l w g Y X = U l X Y * (V w g Y + 1)$

**definition**  $B :: \text{int} \Rightarrow \text{int}$

where  $B X = 2 * X + 1$

**definition**  $C :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $C l w h g Y X = B X + (A l w g Y X - 2) * h$

**definition**  $D :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $D l w h g Y X = ((A l w g Y X)^2 - 4) * (C l w h g Y X)^2 + 4$

**definition**  $E :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $E l w h x g Y X = (C l w h g Y X)^2 * D l w h g Y X * x$

**definition**  $F :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $F l w h x g Y X = 4 * ((A l w g Y X)^2 - 4) * (E l w h x g Y X)^2 + 1$

**definition**  $G :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $G l w h x g Y X = 1 + C l w h g Y X * D l w h g Y X * F l w h x g Y X -$

$Y X)^2$

$2 * (A l w g Y X + 2) * (A l w g Y X - 2)^2 * (E l w h x g$

**definition**  $H :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $H l w h x y g Y X = C l w h g Y X + B X * F l w h x g Y X + (2 * y - 1) *$

$C l w h g Y X * F l w h x g Y X$

**definition**  $I :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $I l w h x y g Y X = ((G l w h x g Y X)^2 - 1) * (H l w h x y g Y X)^2 + 1$

**definition**  $W :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $W w b = b * w$

**definition**  $K :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $K k l w g Y X = X + 1 + k * ((U l X Y)^2 * V w g Y - 2)$

**definition**  $J :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where  $J k l w g Y X = K k l w g Y X$

**definition**  $S :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \text{ where}$

$S l w g Y X = 2 * A l w g Y X - 5$

**definition**  $T :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**  
 $T l w h g Y X b = 3 * (W w b) * (C l w h g Y X) - 2 * ((W w b)^2 - 1)$

**poly-extract**  $L$   
**poly-extract**  $U$   
**poly-extract**  $V$   
**poly-extract**  $A$   
**poly-extract**  $B$   
**poly-extract**  $C$   
**poly-extract**  $D$   
**poly-extract**  $E$   
**poly-extract**  $F$   
**poly-extract**  $G$   
**poly-extract**  $H$   
**poly-extract**  $I$   
**poly-extract**  $W$   
**poly-extract**  $K$   
**poly-extract**  $S$   
**poly-extract**  $T$

**definition**  $d2a$  **where**  $d2a l w h x y g Y X = is-square(D l w h g Y X * F l w h x g Y X$

$* I l w h x y g Y X)$

**definition**  $d2b$  **where**  $d2b k l w x g Y X = is-square((U l X Y^4 * V w g Y^2 - 4) * K k l w g Y X^2 + 4)$

**definition**  $d2c$  **where**

$d2c l w h b g Y X \equiv S l w g Y X dvd T l w h g Y X b$

**definition**  $d2d$  **where**  $d2d b w X = (b * w = 2^{\wedge} nat(B X))$

**definition**  $d2e$  **where**  $d2e k l w h g Y X = ((4 * g * (C l w h g Y X) - 4 * g * (L l Y) * (K k l w g Y X))^2 < (K k l w g Y X)^2)$

**definition**  $d2f$  **where**  $d2f k l w h g Y X = ((2 * (C l w h g Y X) - 2 * (L l Y) * (K k l w g Y X))^2 < (K k l w g Y X)^2)$

**definition**  $statement1$  **where**

$statement1 b Y X \equiv is-power2 b$

$\wedge Y dvd int (2 * nat X choose nat X)$

**definition**  $statement2$  **where**

$statement2 b Y X g = (\exists h k l w x y :: int. (l * x \neq 0) \wedge (d2a l w h x y g Y X) \wedge$

$(d2b k l w x g Y X) \wedge (d2c l w h b g Y X) \wedge (d2f k l w h g Y$

$X))$

**definition**  $statement2a$  **where**

$statement2a b Y X g = (\exists h k l w x y :: int. (d2a l w h x y g Y X) \wedge$

$(d2b k l w x g Y X) \wedge (d2c l w h b g Y X) \wedge (d2e k l w h g Y X)$

$\wedge (h \geq b) \wedge (k \geq b) \wedge (l \geq b) \wedge (w \geq b) \wedge (x \geq b) \wedge (y \geq b))$

**end**

**lemma** *min-power*:  
**fixes**  $a::int$  **and**  $n::nat$   
**assumes**  $a \geq 3$   
**shows**  $(a-1)^{(n+2)} \geq 3 + int\ n + (a-2)^2$   
 $\langle proof \rangle$

**lemma** *change-sum*:  
**fixes**  $f::int \Rightarrow int$  **and**  $n::nat$   
**shows**  $(\sum_{i \leq n}. (f\ (int\ i))) = sum\ (\lambda i. f\ i)\ (set[0..int\ n])$   
 $\langle proof \rangle$

**lemma** *chang-var1*:  
**fixes**  $f::int \Rightarrow int$  **and**  $n::nat$   
**shows**  $sum\ (\lambda i. f\ (i+1))\ (set[0..int\ n]) = sum\ (\lambda i. f\ i)\ (set[1..int\ (Suc\ n)])$   
 $\langle proof \rangle$

**lemma** *chang-var*:  
**fixes**  $f::int \Rightarrow int$  **and**  $n::nat$  **and**  $m::nat$   
**shows**  $sum\ (\lambda i. f\ i)\ (set[int\ n..int\ (n+m)]) = sum\ (\lambda i. f\ (int\ (n+m) - i))\ (set[0..int\ m])$   
 $\langle proof \rangle$

## 6.2 Proof of implication (1) $\implies$ (3)

**context** *bridge-variables*  
**begin**

**lemma** *theorem-II-1-3*:  
**assumes**  $b-def1:(b::int) \geq 0$  **and**  $Y-def:(Y::int) \geq b \wedge Y \geq 2^8$  **and**  $X-def:(X::int) \geq 3*b$   
**and**  $g-def:(g::int) \geq 1$   
**shows**  $(statement1\ b\ Y\ X) \implies (statement2a\ b\ Y\ X\ g)$   
 $\langle proof \rangle$

**end**

**end**

**theory** *Bridge-Theorem-Rev*  
**imports** *../Lucas-Sequences/DFI-square-3*  
*Bridge-Theorem-Imp*  
*HOL-Computational-Algebra.Primes*  
**begin**

**lemma** *div-pow'*:  
**fixes**  $a::real$  **and**  $n::nat$  **and**  $p::nat$   
**assumes**  $n \geq p$  **and**  $a \neq 0$   
**shows**  $a^n / a^p = a^{(n-p)}$   
 $\langle proof \rangle$

**lemma** *inv-decr*:

**fixes**  $a::real$  **and**  $b::real$   
**assumes**  $a \geq b$  **and**  $b > 0$   
**shows**  $1/a \leq 1/b$   
 <proof>

**lemma** *div-pow*:  
**fixes**  $a::real$  **and**  $n::nat$  **and**  $m::nat$   
**assumes**  $m < n$  **and**  $a \neq 0$   
**shows**  $a^n/a^m = a * a^{(n-m-1)}$   
 <proof>

**lemma** *power-majoration*:  
**fixes**  $a::real$  **and**  $n::nat$   
**assumes**  $0 < a$  **and**  $a \leq 1$   
**shows**  $(1+a)^n \leq 1 + (2^n-1)*a$   
 <proof>

**lemma** *div-reg*:  
**fixes**  $a::int$  **and**  $b::int$  **and**  $c::int$  **and**  $d::int$   
**assumes**  $a \leq b$  **and**  $c \geq d$  **and**  $d > 0$  **and**  $a \geq 0$   
**shows**  $a/c \leq b/d$   
 <proof>

**lemma** *lucas-modN-int*:  
**fixes**  $\alpha::int$  **and**  $m::int$   
**shows**  $\psi\text{-int } \alpha \ m \ \text{mod } (\alpha - 2) = m \ \text{mod } (\alpha - 2)$   
 <proof>

### 6.3 Proof of implication (2) $\implies$ (1)

**lemma** (in *bridge-variables*) *theorem-II-2-1*:  
**assumes**  $b\text{-def}:(b::int) \geq 0$  **and**  $Y\text{-def}:(Y::int) \geq b \wedge Y \geq 2^8$  **and**  $X\text{-def}:(X::int) \geq 3*b$   
**and**  $g\text{-def}:(g::int) \geq 1$   
**shows**  $(\text{statement2 } b \ Y \ X \ g) \implies (\text{statement1 } b \ Y \ X)$   
 <proof>

### 6.4 Proof of implication (2a) $\implies$ (2)

**lemma** (in *bridge-variables*) *theorem-II-3-2*:  
**assumes**  $b\text{-def}:(b::int) \geq 0$  **and**  $Y\text{-def}:(Y::int) \geq b \wedge Y \geq 2^8$  **and**  $X\text{-def}:(X::int) \geq 3*b$   
**and**  $g\text{-def}:(g::int) \geq 1$   
**shows**  $(\text{statement2a } b \ Y \ X \ g) \implies (\text{statement2 } b \ Y \ X \ g)$   
 <proof>

**end**  
**theory** *Bridge-Theorem*  
**imports** *Bridge-Theorem-Rev*  
**begin**

**theorem** (in *bridge-variables*) *theorem-II*:

**fixes**  $b \ Y \ X \ g :: \text{int}$

**assumes**  $b \geq 0$  **and**  $Y \geq b \wedge Y \geq 2^8$  **and**  $X \geq 3*b$  **and**  $g \geq 1$

**shows**  $\text{statement2 } b \ Y \ X \ g = \text{statement1 } b \ Y \ X$

**and**  $\text{statement2a } b \ Y \ X \ g = \text{statement1 } b \ Y \ X$

*<proof>*

**definition** (in *bridge-variables*) *bridge-relations*

**where** *bridge-relations*  $k \ l \ w \ h \ x \ y \ b \ g \ Y \ X \equiv$

*is-square*  $(D \ l \ w \ h \ g \ Y \ X * F \ l \ w \ h \ x \ g \ Y \ X * I \ l \ w \ h \ x \ y \ g \ Y \ X)$

$\wedge$  *is-square*  $((U \ l \ X \ Y^4 * V \ w \ g \ Y^2 - 4) * J \ k \ l \ w \ g \ Y \ X^{2+4})$

$\wedge S \ l \ w \ g \ Y \ X \ \text{dvd} \ T \ l \ w \ h \ g \ Y \ X \ b$

$\wedge ((4*g*(C \ l \ w \ h \ g \ Y \ X) - 4*g*l*Y*(J \ k \ l \ w \ g \ Y \ X))^2 < (J \ k \ l \ w \ g \ Y \ X)^2)$

**theorem** (in *bridge-variables*) *bridge-theorem-simplified*:

**fixes**  $b \ Y \ X \ g :: \text{int}$

**assumes**  $b \geq 0$  **and**  $Y \geq b$  **and**  $Y \geq 2^8$  **and**  $X \geq 3*b$  **and**  $g \geq 1$

**shows** (*is-power2*  $b \wedge Y \ \text{dvd} \ \text{int} \ (2 * \text{nat } X \ \text{choose} \ \text{nat } X)$ )

$= (\exists h \ k \ l \ w \ x \ y :: \text{int. } \text{bridge-relations } k \ l \ w \ h \ x \ y \ b \ g \ Y \ X$

$\wedge (h \geq b) \wedge (k \geq b) \wedge (l \geq b) \wedge (w \geq b) \wedge (x \geq b) \wedge (y \geq b))$

*<proof>*

**end**

**theory** *Algebra-Basics*

**imports** *Main ../Lucas-Sequences/Lucas-Sequences*

*HOL-Computational-Algebra.Primes Complex-Main HOL.NthRoot*

**begin**

## 7 Relation Combining

In this section the Matiyasevich-Robinson polynomial is formalized. The formal proof follows their paper [5]: first, auxiliary polynomials  $J_3$  and  $\Pi$  are defined and then  $M_3$  can be constructed from them.

### 7.1 Algebra Preliminaries

**lemma** *coercion-coherent*:  $\text{complex-of-real } (\text{of-rat } q) = \text{of-rat } q$

*<proof>*

**definition**  $C :: \text{complex set}$  **where**  $C = \{x. \text{True}\}$

**definition**  $Q :: \text{complex set}$  **where**  $Q = \{x. \exists q :: \text{rat. } x = \text{of-rat } q\}$

**definition**  $Qi :: \text{complex set}$  **where**  $Qi = \{x. \text{Re } x \in Q \wedge \text{Im } x \in Q\}$

**definition**  $\text{cpx-sqrt} :: \text{int} \Rightarrow \text{complex}$  **where**

$\text{cpx-sqrt } n = (\text{if } (n \geq 0) \text{ then } (\text{sqrt } n) \text{ else } (i * \text{sqrt } (-n)))$

**lemma** *norm-cpx-sqrt*:  $\text{norm } (\text{cpx-sqrt } x) = \text{sqrt } (\text{norm } x)$   
*<proof>*

**lemma** *square-sqrt*:  $(\text{cpx-sqrt } n)^2 = n$   
*<proof>*

**fun** *field*:: *int list*  $\Rightarrow$  *complex set* **where**  
*field* [] =  $\mathbb{Q}$  |  
*field* (a # l) =  $\{x. \exists q \in (\text{field } l). \exists r \in (\text{field } l). x = q + r * \text{cpx-sqrt } a\}$

**lemma** *Qi-is-m1*:  $Q_i = \text{field } [-1]$   
*<proof>*

**lemma** *Zero-in-field*:  $0 \in \text{field } l$   
*<proof>*

**lemma** *field-incr*:  $\text{field } l \subseteq \text{field } (a \# l)$   
*<proof>*

**lemma** *int-in-field*:  $\bigwedge x :: \text{int}. \text{of-int } x \in \text{field } l$   
*<proof>*

**lemma** *field-add-mult*:  $\bigwedge x y. x \in \text{field } l \wedge y \in \text{field } l \implies (x + y \in \text{field } l \wedge x * y \in \text{field } l)$   
*<proof>*

**lemma** *field-square*:  $x \in \text{field } l \implies x^2 \in \text{field } l$  *<proof>*

**lemma** *field-opp*:  $x \in \text{field } l \implies -x \in \text{field } l$   
*<proof>*

**lemma** *field-inv-div*:  $\bigwedge x y. x \in \text{field } l \wedge y \in \text{field } l \implies (1/x \in \text{field } l \wedge y/x \in \text{field } l)$   
*<proof>*

**lemma** *field-sum*:  $(\forall x \in S. f x \in \text{field } l) \implies \text{finite } S \implies (\sum_{x \in S} f x) \in \text{field } l$   
*<proof>*

**lemma** *field-comm*:  $\text{field } (a \# b \# l) = \text{field } (b \# a \# l)$   
*<proof>*

**lemma** *field-idempot1*:  $\text{field } (a \# a \# l) = \text{field } (a \# l)$   
*<proof>*

**lemma** *field-idempot*:  $a \in \text{set } l \implies \text{field } (a \# l) = \text{field } l$   
*<proof>*

**lemma** *disjoint-field-extensions-no-prime-roots*:

**fixes**  $p\text{-}l::\text{int list}$   
**shows**  $\bigwedge(q\text{-}s::\text{int set}). ((\text{finite } q\text{-}s \wedge q\text{-}s \neq \{\}) \wedge q\text{-}s \cap (\text{set } p\text{-}l) = \{\}) \wedge (\forall q \in q\text{-}s. \text{prime } q)$   
 $\wedge (\forall p \in (\text{set } p\text{-}l). \text{prime } p)) \implies \text{prod } (\lambda x. \text{cpx-sqrt } x) \text{ } q\text{-}s \notin \text{field } (p\text{-}l@[ -1])$   
 $\langle \text{proof} \rangle$

**definition**  $\text{prime-list}::\text{int} \Rightarrow \text{int list}$   
**where**  $\text{prime-list } n = \text{sorted-list-of-set } (\{p. \text{prime } p \wedge p \text{ dvd } n\})$

**lemma**  $\text{correct-prime-list}: n \neq 0 \implies \text{set } (\text{prime-list } n) = \{p. \text{prime } p \wedge p \text{ dvd } n\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{field-prod}: \text{field } ((a*b)\#l) \subseteq \text{field } (a\#b\#l)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{field-add-in}: \text{cpx-sqrt } a \in \text{field } l \implies \text{field } (a\#l) = \text{field } l$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{field-add-0}: \text{field } (0\#l) = \text{field } l$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{field-remove-zeros}: \exists l'::\text{int list}. \text{set } l' = \text{set } l - \{0\} \wedge \text{field } l' = \text{field } l$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sqrt-in-field}: x \in \text{set } l \implies \text{cpx-sqrt } x \in \text{field } l$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{field-incr2}: \text{field } l \subseteq \text{field } m \implies \text{field } (a\#l) \subseteq \text{field } (a\#m)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{min-set-induct}: (P::\text{int set} \Rightarrow \text{bool}) \{\}$   
 $\implies (\bigwedge X. \bigwedge x. \text{finite } X \implies x = \text{Min } (X \cup \{x\}) \implies P X \implies P (X \cup \{x\})) \implies$   
 $(\bigwedge X. \text{finite } X \implies P X)$   
 $\langle \text{proof} \rangle$

Sorting sets

**lemma**  $\text{sorting-set1}$ :  
**fixes**  $b::\text{int}$  **and**  $C::\text{int set}$   
**assumes**  $\forall c \in C. b < c$  **and**  $\text{finite } C$   
**shows**  $\text{sorted-list-of-set } (\{b\} \cup C) = b\#(\text{sorted-list-of-set } C)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sorting-set2}$ :  
**fixes**  $B::\text{int set}$  **and**  $C::\text{int set}$   
**assumes**  $\text{finite } B$   
**shows**  $(\forall b \in B. \forall c \in C. b < c) \wedge \text{finite } B \wedge \text{finite } C$   
 $\implies \text{sorted-list-of-set } (B \cup C) = (\text{sorted-list-of-set } B) \# (\text{sorted-list-of-set } C)$   
 $\langle \text{proof} \rangle$

**corollary** *sorting-set3*:

**fixes**  $B::int\ set$  **and**  $C::int\ set$

**assumes**  $\forall b \in B. \forall c \in C. b < c$  **and** *finite B and finite C*

**shows**  $sorted\text{-}list\text{-}of\text{-}set\ (B \cup C) = (sorted\text{-}list\text{-}of\text{-}set\ B) @ (sorted\text{-}list\text{-}of\text{-}set\ C)$

$\langle proof \rangle$

**lemma** *min-mset-induct*:  $(P::int\ multiset \Rightarrow bool)\ \{\#\}$

$\Rightarrow (\bigwedge X. \bigwedge x. x = Min\text{-}mset\ (X + \{\#x\}) \Rightarrow P\ X \Rightarrow P\ (X + \{\#x\}))$

$\Rightarrow (\bigwedge X. P\ X)$

$\langle proof \rangle$

**lemma** *field-prod2*:  $field\ ((prod\text{-}mset\ A)\#l) \subseteq field\ ((sorted\text{-}list\text{-}of\text{-}set\ (set\text{-}mset\ A))@l)$

$\langle proof \rangle$

**lemma** *field-n-in-field-prime*:

**fixes**  $n::int$  **and**  $l::int\ list$

**assumes**  $n \neq 0$

**shows**  $field\ (n\#l) \subseteq field\ ((-1)\#(prime\text{-}list\ n)@l)$

$\langle proof \rangle$

**lemma** *field-n-in-field-prime2*:

**fixes**  $n::int$  **and**  $l::int\ list$

**shows**  $field\ (n\#l) \subseteq field\ ((-1)\#(prime\text{-}list\ n)@l)$

$\langle proof \rangle$

**fun** *prime-list-list*:: $int\ list \Rightarrow int\ list$  **where**

*prime-list-list*  $[] = []$  |

*prime-list-list*  $(a\#l) = (prime\text{-}list\ a) @ (prime\text{-}list\text{-}list\ l)$

**lemma** *field-list-in-field-primes*:

**fixes**  $l::int\ list$

**shows**  $field\ (l) \subseteq field\ ((prime\text{-}list\text{-}list\ l) @ [-1])$

$\langle proof \rangle$

Corollary

**corollary** *root-p-not-in-field-extension*:

**fixes**  $B::int\ list$  **and**  $p::int$

**assumes** *prime p* **and**  $\forall b \in (set\ B). \neg p\ dvd\ b$

**shows**  $cp\text{-}x\text{-}sqrt\ p \notin field\ B$

$\langle proof \rangle$

**lemma** *sqrt-int-smaller*:

**fixes**  $a::int$  **assumes**  $a \geq 0$

**shows**  $sqrt\ a \leq a$

$\langle proof \rangle$

**end**

```

theory J3-Polynomial
  imports Main Algebra-Basics Polynomials.More-MPoly-Type ../MPoly-Utils/More-More-MPoly-Type
  ../Coding/Utils
  abbrevs  $pA1 = \mathcal{A}_1$ 
    and  $pA2 = \mathcal{A}_2$ 
    and  $pA3 = \mathcal{A}_3$ 
    and  $pX3 = \mathcal{X}_3$ 
begin

```

## 7.2 The $J_3$ polynomial

```

locale section5-given
begin

```

```

definition  $x :: \text{int mpoly where } x \equiv \text{Var } 0$ 

```

```

definition  $\mathcal{A}_1 :: \text{int mpoly where } \mathcal{A}_1 \equiv \text{Var } 1$ 

```

```

definition  $\mathcal{A}_2 :: \text{int mpoly where } \mathcal{A}_2 \equiv \text{Var } 2$ 

```

```

definition  $\mathcal{A}_3 :: \text{int mpoly where } \mathcal{A}_3 \equiv \text{Var } 3$ 

```

```

definition  $\mathcal{X}_3 :: \text{int mpoly where } \mathcal{X}_3 \equiv \text{Const } 1 + \mathcal{A}_1^{\wedge} 2 + \mathcal{A}_2^{\wedge} 2 + \mathcal{A}_3^{\wedge} 2$ 

```

```

lemmas defs = x-def \mathcal{A}_1-def \mathcal{A}_2-def \mathcal{A}_3-def \mathcal{X}_3-def

```

Functions on triples

```

fun  $\text{fst3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{fst3 } (a, b, c) = a$ 

```

```

fun  $\text{snd3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{snd3 } (a, b, c) = b$ 

```

```

fun  $\text{trd3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{trd3 } (a, b, c) = c$ 

```

```

fun  $\text{fun3} :: 'a \times 'a \times 'a \Rightarrow \text{nat} \Rightarrow 'a :: \text{zero}$  where

```

```

   $\text{fun3 } (a, b, c) \ k = (\text{if } k=1 \text{ then } a \text{ else } (\text{if } k=2 \text{ then } b \text{ else } (\text{if } k=3 \text{ then } c \text{ else } 0)))$ 

```

```

lemma fun3-1-eq-fst3:  $\text{fun3 } a \ 1 = \text{fst3 } a$  <proof>

```

```

lemma fun3-2-eq-snd3:  $\text{fun3 } a \ 2 = \text{snd3 } a$  <proof>

```

```

lemma fun3-3-eq-trd3:  $\text{fun3 } a \ 3 = \text{trd3 } a$ 
<proof>

```

```

lemmas fun3-def = fun3-1-eq-fst3 fun3-2-eq-snd3 fun3-3-eq-trd3

```

```

definition  $J3 :: \text{int mpoly where}$ 

```

```

   $J3 = ((x^{\wedge} 2 + \mathcal{A}_1 + \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 - \mathcal{A}_3 * \mathcal{X}_3^{\wedge} 4)^{\wedge} 2 + 4 * x^{\wedge} 2 * \mathcal{A}_1 - 4 * x^{\wedge} 2 * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 -$ 
   $4 * \mathcal{A}_1 * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2)^{\wedge} 2$ 
   $- \mathcal{A}_1 * ((4 * x * (x^{\wedge} 2 + \mathcal{A}_1 + \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 - \mathcal{A}_3 * \mathcal{X}_3^{\wedge} 4) - 8 * x * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2))^{\wedge} 2$ 

```

```

definition  $r$  where  $r = \text{MPoly-Type.degree } J3 \ 0$ 

```

```

lemma J3-vars:  $\text{vars } J3 \subseteq \{0, 1, 2, 3\}$ 
<proof>

```

Key lemma about J3

**definition**  $\mathcal{E} \equiv \{-1, 1::int\} \times \{-1, 1::int\} \times \{-1, 1::int\}$

**lemma** *J3-fonction-eq-polynomial*:

**fixes**  $f::nat \Rightarrow int$

**defines**  $X \equiv 1 + (f\ 1)^2 + (f\ 2)^2 + (f\ 3)^2$

**shows**  $of-int\ (insertion\ f\ J3) =$

$$\begin{aligned} & (\prod_{\varepsilon \in \mathcal{E}} of-int\ (f\ 0) \\ & + fst3\ \varepsilon * cpx-sqrt(f\ 1) \\ & + snd3\ \varepsilon * cpx-sqrt(f\ 2) * of-int\ X \\ & + trd3\ \varepsilon * cpx-sqrt(f\ 3) * of-int\ (X^2)) \end{aligned}$$

*<proof>*

**lemma** *J3-cancels-iff*:

**fixes**  $f::nat \Rightarrow int$

**defines**  $X \equiv 1 + (f\ 1)^2 + (f\ 2)^2 + (f\ 3)^2$

**shows**  $(insertion\ f\ J3 = 0) = (\exists \varepsilon \in \mathcal{E}.$

$$\begin{aligned} & of-int(f\ 0) + of-int\ (fst3\ \varepsilon) * cpx-sqrt(f\ 1) + of-int\ (snd3\ \varepsilon) * cpx-sqrt(f\ 2) * \\ & of-int(X) \\ & + of-int\ (trd3\ \varepsilon) * cpx-sqrt(f\ 3) * of-int\ (X^2) = 0) \end{aligned}$$

*<proof>*

**lemma** *J3-zeros-bound*:

**fixes**  $A1\ A2\ A3$

**defines**  $X \equiv 1 + A1^2 + A2^2 + A3^2$

**defines**  $I \equiv X^3$

**shows**  $(\forall x. insertion\ ((\lambda-. 0)(0:=x, 1:=A1, 2:=A2, 3:=A3))\ J3 = 0 \longrightarrow x > -I)$

*<proof>*

**declare** *single-numeral[simp del]*

**end**

**end**

**theory** *J3-Relations*

**imports** *J3-Polynomial ../Coding/Utils*

**begin**

### 7.3 Properties of the $J_3$ polynomial

**context** *section5-given*

**begin**

Helper lemmas

**lemma** *cpx-sqrt-of-square*:

$cpx-sqrt\ (k^2) = of-int\ (abs\ k)$

*<proof>*

**lemma** *sqrt-is-int-iff-square*:

$(\exists k::int. \text{cpx-sqrt } n = \text{of-int } k) \longleftrightarrow (\exists a. n = a^2)$   
*<proof>*

**abbreviation** *divd* (**infixl** *divd* 70) **where** (*divd*)  $\equiv$  *Rings.divide-class.divide*

**lemma** *square-odd-mult-prime*:

**assumes**  $b \geq 0$   
**shows**  $\neg \text{is-square } b \implies \exists p. \text{prime } p \wedge \text{odd}(\text{multiplicity } p \ b)$   
*<proof>*

**lemma** *square-even-multiplicity*:  $\text{prime } p \wedge \text{is-square } a \implies \text{even}(\text{multiplicity } p \ a)$

*<proof>*

J3 correctly encodes the three squares

**lemma** *J3-encodes-three-squares*:

**fixes**  $a1::int$  **and**  $a2::int$  **and**  $a3::int$   
**defines**  $f \equiv (\lambda y. (\lambda-. 0)(0:=y, 1:=a1, 2:=a2, 3:=a3))$   
**shows**  $(\text{is-square } a1 \wedge \text{is-square } a2 \wedge \text{is-square } a3) \longleftrightarrow (\exists y::int. \text{insertion } (f \ y) \ J3 = 0)$   
*<proof>*

**end**

**end**

**theory** *Pi-Relations*

**imports** *J3-Relations*

**begin**

## 7.4 The $\Pi$ polynomial

**lemma** *finite-when*:  $\text{finite } \{x. (f \ x \ \text{when } x = c) \neq 0\}$   
*<proof>*

**locale** *section5-variables*

**begin**

**definition**  $\mathcal{S} :: int \ \text{mpoly}$  **where**  $\mathcal{S} \equiv \text{Var } 4$

**definition**  $\mathcal{T} :: int \ \text{mpoly}$  **where**  $\mathcal{T} \equiv \text{Var } 5$

**definition**  $\mathcal{R} :: int \ \text{mpoly}$  **where**  $\mathcal{R} \equiv \text{Var } 6$

**definition**  $\mathcal{I} :: int \ \text{mpoly}$  **where**  $\mathcal{I} \equiv \text{Var } 7$

**definition**  $\mathcal{Y} :: int \ \text{mpoly}$  **where**  $\mathcal{Y} \equiv \text{Var } 8$

**definition**  $\mathfrak{J} :: int \ \text{mpoly}$  **where**  $\mathfrak{J} \equiv \text{Var } 9$

**definition**  $\mathcal{J} :: int \ \text{mpoly}$  **where**  $\mathcal{J} \equiv \text{Var } 10$

**definition**  $n :: int \ \text{mpoly}$  **where**  $n \equiv \text{Var } 11$

**definition**  $U :: int \ \text{mpoly}$  **where**  $U = \mathcal{S}^2 * (2*\mathcal{R}-1)$

**definition**  $V :: int \ \text{mpoly}$  **where**  $V = \mathcal{T}^2 + \mathcal{S}^2 * n$

**lemmas** *defs* =  $\mathcal{S}$ -def  $\mathcal{T}$ -def  $\mathcal{R}$ -def  $\mathcal{I}$ -def  $\mathcal{Y}$ -def  $\mathfrak{Z}$ -def  $\mathcal{J}$ -def  $n$ -def  $\mathcal{U}$ -def  $\mathcal{V}$ -def

**end**

**locale** *pi-relations* = *section5-variables* +

**fixes**  $\mathcal{F} :: \text{int mpoly}$

**and**  $v :: \text{nat}$

**assumes** *F-monom-over-v: vars*  $\mathcal{F} \subseteq \{v\}$

**and** *F-one: coeff*  $\mathcal{F}$  (*Abs-poly-mapping* ( $\lambda k. (\text{degree } \mathcal{F} \ v \ \text{when } k = v)$ )) = 1

**begin**

**definition** *coeff-F* **where**

*coeff-F*  $d = \text{coeff } \mathcal{F}$  (*Abs-poly-mapping* ( $\lambda k. (d \ \text{when } k = v)$ ))

**definition**  $q :: \text{nat}$  **where**

$q = \text{degree } \mathcal{F} \ v$

**definition**  $G :: \text{int mpoly}$  **where**

$G = (\sum_{i=0..q} \text{of-int } (\text{coeff-F } i) * (\mathcal{Y} - \mathcal{I} - \mathcal{T}^2)^i)$

**definition** *G-sub*  $:: \text{nat} \Rightarrow \text{int mpoly}$  **where**

*G-sub*  $j = (\sum_{i=j..q} \text{of-int } (\text{coeff-F } i) * \text{of-nat } (i \ \text{choose } j) * (-\mathcal{I} - \mathcal{T}^2)^{(i-j)})$

**definition**  $H :: \text{int mpoly}$  **where**

$H = (\sum_{j=0..q} \text{G-sub } j * \mathfrak{Z}^j * \mathcal{J}^{(q-j)})$

**definition**  $\Pi :: \text{int mpoly}$  **where**

$\Pi = (\sum_{j=0..q} \text{G-sub } j * (\mathcal{S}^{2*n} + \mathcal{T}^2)^j * (\mathcal{S}^{2*(2*R-1)})^{(q-j)})$

**lemma** *eval-F*:

*insertion*  $f \ \mathcal{F} = (\sum_{d=0..q} \text{coeff-F } d * (f \ v \ ^d))$

*<proof>*

**lemma** *triangular-sum*:  $(\sum_{k=0..(n::\text{nat})} (\sum_{j=0..k} f \ j \ k)) = (\sum_{j=0..(n::\text{nat})} (\sum_{k=j..n} f \ j \ k))$

*<proof>*

**lemma** *G-expr*:

$G = (\sum_{j=0..q} \mathcal{Y}^j * (\sum_{i=j..q} \text{of-int } (\text{coeff-F } i) * \text{of-nat } (i \ \text{choose } j) * (-\mathcal{I} - \mathcal{T}^2)^{(i-j)}))$

*<proof>*

**lemma** *G-in-Y*:  $G = (\sum_{j=0..q} \mathcal{Y}^j * \text{G-sub } j)$

*<proof>*

**lemma** *Gq-eq-1*:  $\text{G-sub } q = 1$

*<proof>*

**lemma** *lemma-J-div-Z* :

$(\sum_{j'=0..q} \text{insertion } f \ (\text{G-sub } j') * z^{j'} * j'^{(q-j')}) = 0 \implies j \ \text{dvd } z \ \text{for } f \ z \ j$

*<proof>*

**lemma** *lemma-pos*:

**assumes**  $(\sum_{j'=0..q} \text{insertion } f (G\text{-sub } j') * z^{j'} * j^{(q-j')}) = 0$   
 $j \neq 0 \implies z = z' * j$

**shows**  $\text{insertion } (\lambda-. z' - f \ 7 - (f \ 5)^{\wedge} 2) \ \mathcal{F} = 0$

*<proof>*

**lemma** *II-encodes-correctly*:

**fixes**  $S \ T \ R \ I :: \text{int}$

**assumes**  $S \neq 0$

$(\forall x. \text{insertion } (\lambda-. x) \ \mathcal{F} = 0 \implies x > -I)$

**and**  $S \ \text{dvd} \ T$

$R > 0$

$\text{insertion } (\lambda-. y) \ \mathcal{F} = 0$

**defines**  $\varphi \ n \equiv (\lambda-. 0)(4:=S, 5:=T, 6:=R, 7:=I, 11:=\text{int } n)$

**shows**  $\exists n :: \text{nat. insertion } (\varphi \ n) \ \Pi = 0 \wedge n = (2 * R - 1) * (y + I + T^{\wedge} 2) - (T \ \text{div} \ S)^{\wedge} 2$

*<proof>*

**lemma** *II-encodes-correctly-2*:

**fixes**  $S \ T \ R \ I :: \text{int}$

**assumes**  $S \neq 0$

$(\forall x. \text{insertion } (\lambda-. x) \ \mathcal{F} = 0 \implies x > -I)$

**defines**  $\varphi \ n \equiv (\lambda-. 0)(4:=S, 5:=T, 6:=R, 7:=I, 11:=\text{int } n)$

**assumes**  $\exists n :: \text{nat. insertion } (\varphi \ n) \ \Pi = 0$

**shows**  $S \ \text{dvd} \ T \wedge R > 0 \wedge (\exists x :: \text{int. insertion } (\lambda-. x) \ \mathcal{F} = 0)$

*<proof>*

**end**

**end**

**theory** *M3-Polynomial*

**imports** *Pi-Relations Polynomials.MPoly-Type-Univariate*

*../MPoly-Utils/Poly-Extract ../MPoly-Utils/Poly-Degree*

**begin**

## 7.5 The Matiyasevich-Robinson-Polynomial

For any appropriately typed function  $\text{fn}$ , we introduce the syntax  $\text{fn } \pi \equiv \text{fn } A1 \ A2 \ A3 \ S \ T \ R \ n, \text{ as well as } (\lambda\pi. e) \equiv (\lambda A1 \ A2 \ A3 \ S \ T \ R \ n. e)$ .

**syntax**  $\text{pi} :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \ (- \ \pi \ [1000] \ 1000)$

**syntax**  $\text{pi-fn} :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \ (\lambda\pi. - \ [0] \ 0)$

*<ML>*

**locale** *section5* = *section5-given* + *section5-variables*

begin

**definition**  $X_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**

$$X_0 \pi = 1 + A_1^2 + A_2^2 + A_3^2$$

**definition**  $I_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**

$$I_0 \pi = (X_0 \pi)^3$$

**definition**  $U_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**

$$U_0 \pi = S^2 * (2 * R - 1)$$

**definition**  $V_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**

$$V_0 \pi = S^2 * n + T^2$$

**poly-extract**  $X_0$

**poly-extract**  $I_0$

**poly-extract**  $U_0$

**poly-extract**  $V_0$

**definition**  $M3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$  **where**

$$M3 A_1 A_2 A_3 S T R n =$$

$$\begin{aligned} & ( \\ & \quad ( ( \\ & \quad \quad ( \\ & \quad \quad \quad ((V_0 \pi) - (U_0 \pi) * (I_0 \pi) - (U_0 \pi) * T^2)^2 \\ & \quad \quad \quad + (U_0 \pi)^2 * A_1 \\ & \quad \quad \quad + (U_0 \pi)^2 * A_2 * (X_0 \pi)^2 \\ & \quad \quad \quad - (U_0 \pi)^2 * A_3 * (X_0 \pi)^4 \\ & \quad \quad \quad ) \\ & \quad \quad )^2 \\ & \quad + 4 * (U_0 \pi)^2 * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2)^2 * A_1 \\ & \quad - 4 * (U_0 \pi)^2 * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2)^2 * A_2 * (X_0 \\ \pi)^2 \\ & \quad - 4 * (U_0 \pi)^4 * A_1 * A_2 * (X_0 \pi)^2 \\ & \quad )^2) \\ & - A_1 * (U_0 \pi * ( \\ & \quad 4 \\ & \quad * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2) \\ & \quad * ( \\ & \quad \quad ((V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2))^2 \\ & \quad \quad + (U_0 \pi)^2 * A_1 \\ & \quad \quad + (U_0 \pi)^2 * A_2 * (X_0 \pi)^2 \\ & \quad \quad - (U_0 \pi)^2 * A_3 * (X_0 \pi)^4 \\ & \quad \quad ) \\ & \quad - 8 \\ & \quad * (U_0 \pi)^2 \\ & \quad * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2) \\ & \quad * A_2 \\ & \quad * (X_0 \pi)^2 \\ & \quad ))^2 \end{aligned}$$

)

**poly-extract**  $M3$

**end**

**end**

**theory** *Pi-to-M3-rat*

**imports** *Pi-Relations J3-Relations M3-Polynomial*

**begin**

## 7.6 Relation between $M_3$ and $\Pi$

$\langle ML \rangle$

**locale** *matiyasevich-polynomial = section5*

**begin**

**type-synonym**  $\tau = \text{real}$

**definition**  $x' :: \tau \text{ mpoly}$  **where**  $x' \equiv \text{of-int-mpoly } x$

**definition**  $A_1' :: \tau \text{ mpoly}$  **where**  $A_1' \equiv \text{of-int-mpoly } A_1$

**definition**  $A_2' :: \tau \text{ mpoly}$  **where**  $A_2' \equiv \text{of-int-mpoly } A_2$

**definition**  $A_3' :: \tau \text{ mpoly}$  **where**  $A_3' \equiv \text{of-int-mpoly } A_3$

**definition**  $\mathcal{X}_3' :: \tau \text{ mpoly}$  **where**  $\mathcal{X}_3' \equiv \text{of-int-mpoly } \mathcal{X}_3$

**definition**  $S' :: \tau \text{ mpoly}$  **where**  $S' \equiv \text{of-int-mpoly } S$

**definition**  $\mathcal{T}' :: \tau \text{ mpoly}$  **where**  $\mathcal{T}' \equiv \text{of-int-mpoly } \mathcal{T}$

**definition**  $\mathcal{R}' :: \tau \text{ mpoly}$  **where**  $\mathcal{R}' \equiv \text{of-int-mpoly } \mathcal{R}$

**definition**  $\mathcal{I}' :: \tau \text{ mpoly}$  **where**  $\mathcal{I}' \equiv \text{of-int-mpoly } \mathcal{I}$

**definition**  $\mathcal{Y}' :: \tau \text{ mpoly}$  **where**  $\mathcal{Y}' \equiv \text{of-int-mpoly } \mathcal{Y}$

**definition**  $\mathcal{Z}' :: \tau \text{ mpoly}$  **where**  $\mathcal{Z}' \equiv \text{of-int-mpoly } \mathcal{Z}$

**definition**  $\mathcal{J}' :: \tau \text{ mpoly}$  **where**  $\mathcal{J}' \equiv \text{of-int-mpoly } \mathcal{J}$

**definition**  $n' :: \tau \text{ mpoly}$  **where**  $n' \equiv \text{of-int-mpoly } n$

**definition**  $\mathcal{U}' :: \tau \text{ mpoly}$  **where**  $\mathcal{U}' = \text{of-int-mpoly } \mathcal{U}$

**definition**  $\mathcal{V}' :: \tau \text{ mpoly}$  **where**  $\mathcal{V}' = \text{of-int-mpoly } \mathcal{V}$

**definition**  $J3' :: \tau \text{ mpoly}$  **where**  $J3' = \text{of-int-mpoly } J3$

**definition**  $\psi' :: \text{nat} \Rightarrow \tau \text{ mpoly}$  **where**  $\psi' = \text{of-int-mpoly} \circ ((\lambda -. 0)(0 := \mathcal{T}^{\wedge 2} + \mathcal{S}^{\wedge 2} * n - \mathcal{T}^{\wedge 2} * \mathcal{U} - \mathcal{X}_3^{\wedge 3} * \mathcal{U}, 1 := \mathcal{U}^{\wedge 2} * A_1, 2 := \mathcal{U}^{\wedge 2} * A_2, 3 := \mathcal{U}^{\wedge 2} * A_3))$

**definition**  $M3\text{-poly}' :: \tau \text{ mpoly}$  **where**  $M3\text{-poly}' \equiv \text{of-int-mpoly } M3\text{-poly}$

**lemma** *Pi-equals-M3-rationals:*

**fixes**  $A_1 A_2 A_3 R S T n :: \text{int}$

**defines**  $X \equiv X_0 \pi$

**defines**  $I \equiv I_0 \pi$

```

defines  $U \equiv U_0 \pi$ 
defines  $V \equiv V_0 \pi$ 

defines  $\varphi \equiv (\lambda-. 0)(0 := \text{Var } 0, 1 := \text{Const } A_1, 2 := \text{Const } A_2, 3 := \text{Const } A_3)$ 

defines  $\varphi' \equiv \text{of-int-mpoly } \circ \varphi$ 
defines  $\mathcal{F} \equiv \text{poly-subst } \varphi J3$ 
defines  $\mathcal{F}' \equiv \text{of-int-mpoly } \mathcal{F} :: \tau \text{ mpoly}$ 
defines  $q \equiv \text{MPoly-Type.degree } \mathcal{F} 0$ 
defines  $\Pi \equiv \text{pi-relations.}\Pi \mathcal{F} 0$ 

defines  $G\text{-sub} \equiv \text{pi-relations.}G\text{-sub } \mathcal{F} 0$ 

defines  $G\text{-sub}' \equiv \text{of-int-mpoly } \circ (\text{pi-relations.}G\text{-sub } \mathcal{F} 0)$ 

defines  $\xi \equiv ((\lambda-. 0)(4 := S, 5 := T, 6 := R, 7 := X^3, 11 := n))$ 

defines  $\xi' \equiv \text{of-int } \circ \xi$ 

assumes  $U \neq 0$ 
assumes  $q = 8$ 
assumes  $F\text{-monom-over-0: vars } \mathcal{F} \subseteq \{0\}$ 
and  $F\text{-one-0: MPoly-Type.coeff } \mathcal{F} (\text{Abs-poly-mapping } (\lambda k. (\text{MPoly-Type.degree } \mathcal{F} 0 \text{ when } k = 0))) = 1$ 

shows  $\text{insertion } \xi \Pi = M3 \pi$ 
 $\langle \text{proof} \rangle$ 

end

end
theory Matiyasevich-Polynomial
imports M3-Polynomial Digit-Expansions.Binary-Operations Pi-to-M3-rat
begin

```

## 7.7 The key property of $M_3$

```

context matiyasevich-polynomial
begin

```

**lemma** *relation-combining'*:

```

fixes  $R S T A_1 A_2 A_3 :: \text{int}$ 
assumes  $S \neq 0$ 

```

```

defines  $\gamma' \equiv \lambda(n :: \text{int}) \text{fn. fn } A_1 A_2 A_3 S T R n :: \text{int}$ 

```

```

shows  $(S \text{ dvd } T \wedge R > 0 \wedge \text{is-square } A_1 \wedge \text{is-square } A_2 \wedge \text{is-square } A_3)$ 
 $= (\exists n. n \geq 0 \wedge (\gamma' n) M3 = 0) \text{ (is ?LHS = ?RHS)}$ 

```

*<proof>*

**lemma** *relation-combining*:

**assumes**  $S \neq 0$

**shows**  $(S \text{ dvd } T \wedge R > 0 \wedge \text{is-square } A_1 \wedge \text{is-square } A_2 \wedge \text{is-square } A_3)$   
 $= (\exists n \geq 0. M \exists A_1 A_2 A_3 S T R n = 0)$

*<proof>*

**end**

**end**

**theory** *Nine-Unknowns-N-Z-Definitions*

**imports** *../Coding-Theorem/Coding-Theorem-Definitions ../Bridge-Theorem/Bridge-Theorem-Imp*  
*M3-Polynomial ../Coding/Suitable-For-Coding ../MPoly-Utils/Poly-Degree*  
*HOL-Eisbach.Eisbach*

**begin**

## 8 Nine Unknowns over $\mathbb{N}$ and $\mathbb{Z}$

### 8.1 Combining all previous constructions

For any appropriately typed function  $F$ , we introduce the syntax  $fn \tau \equiv fn \ a \ f \ g \ h \ k \ l \ w \ x \ y \ n,$  as well as  $(\lambda\tau. e) \equiv (\lambda f \ a \ f \ g \ h \ k \ l \ w \ x \ y \ n. e).$

**syntax**  $tau :: (int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int) \Rightarrow int \ (- \ \tau \ [1000] \ 1000)$

**syntax**  $tau-fn :: (int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int) \Rightarrow int \ (\lambda\tau. \ - \ [0] \ 0)$

*<ML>*

**locale** *combined-variables* =

**fixes**  $P :: int \ mpoly$

**begin**

Copy of the polynomials from Theorem I

**definition**  $P_1 :: int \ mpoly$  **where**

$P_1 \equiv \text{suitable-for-coding } P$

**abbreviation**  $\delta \equiv \text{coding-variables}.\delta \ P_1$

**abbreviation**  $\nu \equiv \text{coding-variables}.\nu \ P_1$

**definition**  $b :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$

**where**  $b \ \tau = \text{coding-variables}.b \ a \ f$

**definition**  $X :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$

**where**  $X \ \tau = \text{coding-variables}.X \ P_1 \ a \ f \ g$

**definition**  $Y :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $Y \tau = \text{coding-variables}.Y P_1 a f$

**poly-extract**  $b$

**definition**  $Y\text{-poly} :: \text{int mpoly}$  **where**

$Y\text{-poly} \equiv \text{coding-variables}.Y\text{-poly} P_1$

**lemma**  $Y\text{-correct}$ :  $\text{insertion } f Y\text{-poly} = Y (f 0) (f 1) (f 2) (f 3) (f 4) (f 5) (f 6)$   
 $(f 7) (f 8) (f 9)$

$\langle \text{proof} \rangle$

**definition**  $X\text{-poly} :: \text{int mpoly}$  **where**

$X\text{-poly} \equiv \text{coding-variables}.X\text{-poly} P_1$

**lemma**  $X\text{-correct}$ :  $\text{insertion } f X\text{-poly} = X (f 0) (f 1) (f 2) (f 3) (f 4) (f 5) (f 6)$   
 $(f 7) (f 8) (f 9)$

$\langle \text{proof} \rangle$

**lemma**  $\delta\text{-gt}0$ :  $\delta > 0$

$\langle \text{proof} \rangle$

Polynomials from Theorem II

**definition**  $L :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $L \tau = \text{bridge-variables}.L l (Y \tau)$

**definition**  $U :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $U \tau = \text{bridge-variables}.U l (X \tau) (Y \tau)$

**definition**  $V :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $V \tau = \text{bridge-variables}.V w g (Y \tau)$

**definition**  $A :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $A \tau = \text{bridge-variables}.A l w g (Y \tau) (X \tau)$

**definition**  $C :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $C \tau = \text{bridge-variables}.C l w h g (Y \tau) (X \tau)$

**definition**  $D :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $D \tau = \text{bridge-variables}.D l w h g (Y \tau) (X \tau)$

**definition**  $F :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

**where**  $F \tau = \text{bridge-variables}.F l w h x g (Y \tau) (X \tau)$

**definition**  $I :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

$\Rightarrow int$   
**where**  $I \tau = \text{bridge-variables}.I l w h x y g (Y \tau) (X \tau)$   
**definition**  $W :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$   
**where**  $W \tau = \text{bridge-variables}.W w (\text{coding-variables}.b a f)$   
**definition**  $K :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$   
**where**  $K \tau = \text{bridge-variables}.K k l w g (Y \tau) (X \tau)$

**poly-extract**  $L$   
**poly-extract**  $U$   
**poly-extract**  $V$   
**poly-extract**  $A$   
**poly-extract**  $C$   
**poly-extract**  $D$   
**poly-extract**  $F$   
**poly-extract**  $I$   
**poly-extract**  $W$   
**poly-extract**  $K$

Variables in the proof of Theorem III

**definition**  $M3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
**where**  $M3 A_1 A_2 A_3 S T Q' m = \text{insertion-list } [A_1, A_2, A_3, S, T, Q', m]$   
 $\text{section5}.M3\text{-poly}$

**poly-extract**  $M3$

**lemma**  $\text{max-vars-}M3$ :  $\text{max-vars } M3\text{-poly} \leq 6$   
 $\langle \text{proof} \rangle$

**definition**  $\mu :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$   
**where**  $\mu \tau = (\text{coding-variables}.\gamma P_1) * (b \tau) \wedge (\text{coding-variables}.\alpha P_1)$

**poly-extract**  $\mu$

**definition**  $A_1 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$

**where**  $A_1 \tau = b \tau$

**definition**  $A_2 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$

**where**  $A_2 \tau = (D \tau) * (F \tau) * (I \tau)$

**definition**  $A_3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$

**where**  $A_3 \tau = ((U \tau) \wedge 4 * (V \tau)^2 - 4) * (K \tau)^2 + 4$

**definition**  $S :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$   
 $\Rightarrow int$

**where**  $S \tau = \text{bridge-variables.S l w g } (Y \tau) (X \tau)$   
**definition**  $T :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $T \tau = \text{bridge-variables.T l w h g } (Y \tau) (X \tau) (b \tau)$   
**definition**  $R :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $R \tau = f^2 * l^2 * x^2 * (\delta * (\mu \tau)^{\wedge 3} * g * (K \tau)^2 - g^2 * (32 * ((C \tau) - (K \tau) * (L \tau))^{\wedge 2} * (\mu \tau)^{\wedge 3} + g^2 * (K \tau)^2))$   
**definition**  $m :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $m \tau = n$

**poly-extract**  $A_1$   
**poly-extract**  $A_2$   
**poly-extract**  $A_3$   
**poly-extract**  $S$   
**poly-extract**  $T$   
**poly-extract**  $R$   
**poly-extract**  $m$

**definition**  $\sigma :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $(\sigma \text{ fn}) \tau = \text{fn } (A_1 \tau) (A_2 \tau) (A_3 \tau) (S \tau) (T \tau) (R \tau) (m \tau)$

**definition**  $Q :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $Q \tau = M3 (A_1 \tau) (A_2 \tau) (A_3 \tau) (S \tau) (T \tau) (R \tau) (m \tau)$

**lemma** *M-poly-degree-correct: total-degree (coding-variables.M-poly  $P_1$ )  $\leq (1 + (\delta + 1) \wedge \nu) * 2 * \delta$*   
*<proof>*

**lemma** *D-poly-degree-correct: total-degree (coding-variables.D-poly  $P_1$ )  $\leq (\delta + 1) \wedge (\nu + 1) * (2 * \delta)$*   
*<proof>*

**lemma** *K-poly-degree-correct: total-degree (coding-variables.K-poly  $P_1$ )  $\leq \max (\delta * (1 + 2 * \delta) + (\delta + 1) \wedge (\nu + 1) * 2 * \delta) ((1 + (2 * \delta + 1) * (\delta + 1) \wedge \nu) * 2 * \delta)$*   
*<proof>*

**poly-degree** *X-poly*  
**poly-degree** *Y-poly*

**lemma** *X-poly-degree-alt: X-poly-degree =  $12 * \delta + 12 * \delta * \text{Suc } \delta \wedge \nu + 12 * \delta^2 * \text{Suc } \delta \wedge \nu$*   
**if**  $\delta > 0$

*<proof>*

**poly-degree** *A<sub>1</sub>-poly*

**poly-degree** *A<sub>2</sub>-poly*

**poly-degree** *A<sub>3</sub>-poly*

**poly-degree** *S-poly*

**poly-degree** *T-poly*

**poly-degree** *R-poly*

**lemma** *A<sub>1</sub>-vars: max-vars A<sub>1</sub>-poly ≤ 8*

*<proof>*

**lemma** *h0: max-vars (4::int mpoly) ≤ 8*

*<proof>*

**lemma** *h1: max-vars (8::int mpoly) ≤ 8*

*<proof>*

**lemma** *h2: max-vars (32::int mpoly) ≤ 8*

*<proof>*

**lemma** *A<sub>2</sub>-vars: max-vars A<sub>2</sub>-poly ≤ 8*

*<proof>*

**lemma** *A<sub>3</sub>-vars: max-vars A<sub>3</sub>-poly ≤ 8*

*<proof>*

**lemma** *S-vars: max-vars S-poly ≤ 8*

*<proof>*

**lemma** *T-vars: max-vars T-poly ≤ 8*

*<proof>*

**lemma** *K-vars: max-vars K-poly ≤ 8*

*<proof>*

**lemma** *L-vars: max-vars L-poly ≤ 8*

*<proof>*

**lemma** *C-vars: max-vars C-poly ≤ 8*

*<proof>*

**lemma** *μ-vars:*

*max-vars (poly-subst-list [Var 0, Var (Suc 0), Var 2, Var 3, Var 4, Var 5, Var 6, Var 7, Var 8, Var 9] μ-poly) ≤ 8*

*<proof>*

**lemma** *R-vars: max-vars R-poly ≤ 8*

*<proof>*

**lemma** *list-vars*:  $i \leq 8 \implies \text{max-vars}$

([Var 0::int mpoly, Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),  
 Var (Suc (Suc (Suc (Suc 0)))), Var (Suc (Suc (Suc (Suc (Suc 0))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0))))))] !<sub>0</sub>  
 $\stackrel{i}{\leq} 8$   
 ⟨proof⟩

**lemmas** *aux-vars* =  $A_1$ -vars  $A_2$ -vars  $A_3$ -vars  $S$ -vars  $T$ -vars  $R$ -vars

**lemma** *total-degree-three-squares-rw*:

**fixes**  $Pextra :: 'a::\text{comm-semiring-1}$  mpoly

**shows**  $ia \leq 8 \implies$

*total-degree-env env*  
 ([Var 0, Var (Suc 0), Var (Suc (Suc 0)),  
 Var (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc 0)))),  
 Var (Suc (Suc (Suc (Suc (Suc 0))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0))))))] !<sub>0</sub>  
 $\stackrel{ia}{=}$  *total-degree-env env*  
 ([Var 0 :: 'a mpoly , Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),  
 Var (Suc (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc (Suc 0))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0))))))] !<sub>0</sub>  
 $\stackrel{ia}{\langle \text{proof} \rangle}$

**lemma** *final*:  $\bigwedge ia. ia \leq 8 \implies$

*total-degree-env* ( $\lambda-. \text{Suc } 0$ )  
 ([Var 0::int mpoly, Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),  
 Var (Suc (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc (Suc 0))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),  
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0))))))] !<sub>0</sub>)

```

+
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) +
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) +
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) !0
  (ia)
  ≤ Suc 0
  ⟨proof⟩

```

**poly-extract**  $Q$

**lemma**  $Q$ -alt:  $Q = \sigma M3$   
 ⟨proof⟩

**lemma**  $R$ -gt-0-consequences:  
 fixes  $a :: nat$  and  $f g h k l w n :: int$   
 assumes  $R \tau > 0$  and  $b \tau \geq 0$  and  $f > 0$   
 shows  $g \geq 1$  and  $g < 2 * \mu \tau$  and  $K \tau \neq 0$   
 and  $bridge\text{-variables}.d2f k l w h g (Y \tau) (X \tau)$   
 ⟨proof⟩

**lemma**  $R$ -gt-0-necessary-condition:  
 fixes  $a :: nat$  and  $f g h k l w x y :: int$   
 assumes  $f > 0$  and  $x > 0$  and  $l > 0$  and  $g > 0$  and  $g < \mu \tau$  and  
 $bridge\text{-variables}.d2e k l w h g (Y \tau) (X \tau)$   
 shows  $R \tau > 0$   
 ⟨proof⟩

**end**

**end**

**theory**  $Nine\text{-Unknowns}\text{-N}\text{-Z}$   
 imports  $../Coding\text{-Theorem}/Coding\text{-Theorem} ../Bridge\text{-Theorem}/Bridge\text{-Theorem}$   
 $../Coding\text{-Theorem}/Lemma\text{-2}\text{-2} ../Coding\text{-Theorem}/Lower\text{-Bounds}$   
 $Nine\text{-Unknowns}\text{-N}\text{-Z}\text{-Definitions} Matiyasevich\text{-Polynomial}$

**begin**

## 8.2 Proof of the Nine Unknowns Theorem

**theorem**  $theorem\text{-III}\text{-new}\text{-statement}$ :  
 fixes  $A :: nat\ set$   
 and  $P$   
 defines  $\varphi a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 \equiv \lambda fn. fn (int a) z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8$

$z_9$   
**assumes** *is-diophantine-over-N-with A P*  
**shows**  $a \in A = (\exists z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9. z_9 \geq 0 \wedge$   
 $\varphi a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 \text{ (combined-variables.Q P)} = 0)$   
**(is - = ?Pa-zero)**  
 ⟨proof⟩

**theorem** *theorem-III:*  
**fixes**  $A :: \text{nat set}$  **and**  $P :: \text{int mpoly}$   
**assumes** *is-diophantine-over-N-with A P*  
**shows**  $a \in A = (\exists f g h k l w x y n. n \geq 0 \wedge$   
 $\text{insertion } (!) [\text{int } a, f, g, h, k, l, w, x, y, n])$   
 $\text{(combined-variables.Q-poly P)} = 0)$   
 ⟨proof⟩

**theorem** *nine-unknowns-over-Z-and-N:*  
**fixes**  $P :: \text{int mpoly}$   
**shows**  $(\exists z :: \text{nat} \Rightarrow \text{int. is-nonnegative } z \wedge$   
 $\text{insertion } (z(0 := \text{int } a)) P = 0)$   
 $= (\exists f g h k l w x y n. n \geq 0 \wedge$   
 $\text{insertion } (!) [\text{int } a, f, g, h, k, l, w, x, y, n])$   
 $\text{(combined-variables.Q-poly P)} = 0)$   
 ⟨proof⟩

**end**  
**theory** *Eleven-Unknowns-Z*  
**imports** *Nine-Unknowns-N-Z / Nine-Unknowns-N-Z Three-Squares.Three-Squares*  
**begin**

## 9 Eleven Unknowns over $\mathbb{Z}$

**context**  
**fixes**  $P :: \text{int mpoly}$   
**begin**

**definition**  $Q\text{-tilde} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow$   
 $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
**where**  $Q\text{-tilde } a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 z_{10} z_{11} =$   
 $\text{(combined-variables.Q P)} a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 (z_9^2 + z_{10}^2 + z_{11}^2 +$   
 $z_{11})$

**poly-extract**  $Q\text{-tilde}$   
**poly-degree**  $Q\text{-tilde-poly} \mid \text{combined-variables.aux-vars combined-variables.final}$   
 $\text{combined-variables.list-vars}$

**lemma**  $Q\text{-tilde-degree-in-X-Y}:$   
 $Q\text{-tilde-poly-degree} = 8352 * \text{combined-variables.Y-poly-degree } P$   
 $+ (15568 + (4176 * \text{combined-variables.X-poly-degree } P$

+ 48 \* coding-variables.alpha (combined-variables.P<sub>1</sub> P)))  
 ⟨proof⟩

**definition** *delta-P-suitable* (δ<sub>s</sub>) **where**  
*delta-P-suitable* ≡ total-degree (suitable-for-coding P)

**definition** *nu-P-suitable* (ν<sub>s</sub>) **where**  
*nu-P-suitable* ≡ max-vars (suitable-for-coding P)

**definition** *eta<sub>s</sub>* **where**  
*eta<sub>s</sub>* ≡ 15616 + 116928 \* δ<sub>s</sub> + 116976 \* δ<sub>s</sub> \* Suc δ<sub>s</sub> ^ ν<sub>s</sub> + 116928 \* δ<sub>s</sub> ^ 2 \*  
 Suc δ<sub>s</sub> ^ ν<sub>s</sub>

**lemma** *Q-tilde-degree-eta<sub>s</sub>*: *Q-tilde-poly-degree* = *eta<sub>s</sub>*  
 ⟨proof⟩

**definition** *η* **where**  
 η ν δ ≡ 15616 + 233856 \* δ + 233952 \* δ \* (2 \* δ + 1) ^ (ν + 1)  
 + 467712 \* δ ^ 2 \* (2 \* δ + 1) ^ (ν + 1)

**lemma** *Q-tilde-degree*:  
 assumes 0 < total-degree P  
 assumes total-degree P ≤ δ  
 assumes max-vars P ≤ ν  
 shows *Q-tilde-poly-degree* ≤ η ν δ  
 ⟨proof⟩

**lemma** *max-vars-Q-tilde*: max-vars *Q-tilde-poly* ≤ 11  
 ⟨proof⟩

**lemma** *eleven-unknowns-over-Z*:  
 fixes A :: nat set  
 assumes *is-diophantine-over-N-with* A P  
 shows a ∈ A = (∃ z<sub>1</sub> z<sub>2</sub> z<sub>3</sub> z<sub>4</sub> z<sub>5</sub> z<sub>6</sub> z<sub>7</sub> z<sub>8</sub> z<sub>9</sub> z<sub>10</sub> z<sub>11</sub>.  
*Q-tilde* (int a) z<sub>1</sub> z<sub>2</sub> z<sub>3</sub> z<sub>4</sub> z<sub>5</sub> z<sub>6</sub> z<sub>7</sub> z<sub>8</sub> z<sub>9</sub> z<sub>10</sub> z<sub>11</sub> = 0)  
 ⟨proof⟩

end

**lemma** *eleven-unknowns-over-Z-polynomial*:  
 fixes A :: nat set and P :: int mpoly  
 assumes *is-diophantine-over-N-with* A P  
 shows a ∈ A = (∃ z<sub>1</sub> z<sub>2</sub> z<sub>3</sub> z<sub>4</sub> z<sub>5</sub> z<sub>6</sub> z<sub>7</sub> z<sub>8</sub> z<sub>9</sub> z<sub>10</sub> z<sub>11</sub>.  
 insertion (!) [int a, z<sub>1</sub>, z<sub>2</sub>, z<sub>3</sub>, z<sub>4</sub>, z<sub>5</sub>, z<sub>6</sub>, z<sub>7</sub>, z<sub>8</sub>, z<sub>9</sub>, z<sub>10</sub>, z<sub>11</sub>])  
 (*Q-tilde-poly* P) = 0)  
 ⟨proof⟩

end

**theory** *Universal-Pairs*  
**imports** *Eleven-Unknowns-Z*  
**begin**

**definition** *universal-pair-N* ('(-, -')<sub>N</sub> [1000]) **where**  
*universal-pair-N*  $\nu$   $\delta \equiv (\forall A::\text{nat set. is-diophantine-over-}N A \longrightarrow$   
 $(\exists P::\text{int mpoly. max-vars } P \leq \nu \wedge \text{total-degree } P \leq \delta \wedge$   
 $\text{is-diophantine-over-}N\text{-with } A P))$

**definition** *universal-pair-Z* ('(-, -')<sub>Z</sub> [1000]) **where**  
*universal-pair-Z*  $\nu$   $\delta \equiv (\forall A::\text{nat set. is-diophantine-over-}N A \longrightarrow$   
 $(\exists P::\text{int mpoly. max-vars } P \leq \nu \wedge \text{total-degree } P \leq \delta \wedge$   
 $\text{is-diophantine-over-}Z\text{-with } A P))$

**theorem** *universal-pairs-Z-from-N*:  
**assumes**  $(\nu, \delta)_N$   
**shows**  $(11, \eta \nu \delta)_Z$   
 $\langle \text{proof} \rangle$

**theorem** *universal-pair-1*:  
**assumes**  $(58, 4)_N$   
**shows**  $(11, 1681043235226619916301182624511918527834137733707408448335539840)_Z$   
 $\langle \text{proof} \rangle$

**theorem** *universal-pair-2*:  
**assumes**  $(32, 12)_N$   
**shows**  $(11, 950817549694171759711025515571236610412597656252821888)_Z$   
 $\langle \text{proof} \rangle$

**end**

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