

# Impossibility of the Dissection of a Cube

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## Abstract

This entry formalizes Littlewood’s argument [2], demonstrating that a 3-dimensional cube cannot be dissected into a finite collection of smaller cubes, each of a different size. The formalization addresses theorem #82, “Dissection of Cubes (J.E. Littlewood’s ‘elegant’ proof)” from Freek Wiedijk’s “100 Mathematical Theorems” list [1], and is based upon a prior formalization in Lean [3].

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## 7 The main result

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**theory** *Cube-Dissection*

**imports** *Complex-Main HOL-Library.Disjoint-Sets HOL-Library.Infinite-Set*  
**begin**

Proof that a cube can't be dissected into a finite number of subcubes of different size This formalization is heavily inspired by the Lean proof of the same fact, [3]. One goal of this project, is that by restricting to cubes of dimension 3 the logic will be easier to follow

# 1 Basic definitions

## 1.1 point and cube definitions

```
record point = px::real py::real pz::real
record cube = point::point width::real
datatype axis = x | y | z
abbreviation coordinate ≡ case-axis px py pz
```

**abbreviation** *is-valid* :: cube  $\Rightarrow$  bool **where** *is-valid*  $c \equiv (\text{width } c > 0)$

Min value of cube along given axis

**hide-const (open)** *min*

**abbreviation** *min* :: axis  $\Rightarrow$  cube  $\Rightarrow$  real **where** *min*  $ax\ c \equiv \text{coordinate } ax\ (point\ c)$

Max value (supremum) along given axis

**hide-const (open)** *max*

**abbreviation** *max* :: axis  $\Rightarrow$  cube  $\Rightarrow$  real **where** *max*  $ax\ c \equiv \text{min } ax\ c + \text{width } c$

Sides of a cube. Half-open intervals, so that a dissection both is a cover, and consists of disjoint cubes

**abbreviation** *side* :: axis  $\Rightarrow$  cube  $\Rightarrow$  real set **where**  
*side*  $ax\ c \equiv \{\text{min } ax\ c .. < \text{max } ax\ c\}$

Sets of points generated from cubes

**definition** *to-set* :: cube  $\Rightarrow$  point set **where**  
*to-set*  $c = \{p. px\ p \in \text{side } x\ c \wedge py\ p \in \text{side } y\ c \wedge pz\ p \in \text{side } z\ c\}$   
**definition** *bot* :: cube  $\Rightarrow$  point set **where**  
*bot*  $c = \{p. px\ p \in \text{side } x\ c \wedge py\ p \in \text{side } y\ c \wedge pz\ p = \text{min } z\ c\}$   
**definition** *top* :: cube  $\Rightarrow$  point set **where**  
*top*  $c = \{p. px\ p \in \text{side } x\ c \wedge py\ p \in \text{side } y\ c \wedge pz\ p = \text{max } z\ c\}$

Moves a cube its width down (so top face to bottom face)

**definition** *shift-down* :: cube  $\Rightarrow$  cube **where**  
*shift-down*  $c = c \langle \text{point} := \text{point } c \langle \text{pz} := \text{min } z\ c - \text{width } c \rangle \rangle$

## 1.2 Calculations with sets from cubes

A bunch of statements we need about how cubes can be compared by *side*

**lemma** *top-shift-down-eq-bot*:  $\text{top}(\text{shift-down } c) = \text{bot } c$   
**unfolding** *top-def* *bot-def* *shift-down-def* **by** *simp*

Sets not empty

**lemma** *non-empty*:  $\text{is-valid } c \implies \text{to-set } c \neq \{\}$   
**unfolding** *to-set-def* **by** *force*

**lemma** *top-non-empty*:  $\text{is-valid } c \implies \text{top } c \neq \{\}$   
**unfolding** *top-def* **by** (*auto intro!*:  $\text{exI}[\text{of - point } c \ (\| \text{pz} := \max z c \|)]$ )

*min* of a cube is in corresponding *side*

**lemma** *min-in-side*:  $\text{is-valid } c \implies \text{min ax } c \in \text{side ax } c$   
**by** *simp*

**lemma** *min-ne-max*:  $\text{is-valid } c \implies \text{min ax } c \neq \text{max ax } c$   
**by** *simp*

**lemma** *min-lt-max*:  $\text{is-valid } c \implies \text{min ax } c < \text{max ax } c$   
**by** *simp*

**lemma** *bot-subset*:  $\text{bot } c \subseteq \text{to-set } c$   
**unfolding** *bot-def* *to-set-def* **by** (*auto*)

### 1.2.1 Point membership

Points in a cube's set, by looking at membership of *side*

**lemma** *in-set-by-side*:  $p \in \text{to-set } c \iff$   
 $px \in \text{side } x \ c \wedge py \in \text{side } y \ c \wedge pz \in \text{side } z \ c$   
**by** (*cases p, simp add: to-set-def*)

**lemma** *in-set-by-side-2*:  $(px=x0, py=y0, pz=z0) \in \text{to-set } c \iff$   
 $x0 \in \text{side } x \ c \wedge y0 \in \text{side } y \ c \wedge z0 \in \text{side } z \ c$   
**by** (*simp add: to-set-def*)

**lemma** *in-bot-by-side*:  $p \in \text{bot } c \iff$   
 $px \in \text{side } x \ c \wedge py \in \text{side } y \ c \wedge pz = \text{min } z \ c$   
**by** (*simp add: bot-def*)

**lemma** *in-bot-by-side-2*:  $(px=x0, py=y0, pz=z0) \in \text{bot } c \iff$   
 $x0 \in \text{side } x \ c \wedge y0 \in \text{side } y \ c \wedge z0 = \text{min } z \ c$   
**by** (*simp add: in-bot-by-side*)

**lemma** *in-top-by-side*:  $p \in \text{top } c \iff$   
 $px \in \text{side } x \ c \wedge py \in \text{side } y \ c \wedge pz = \text{max } z \ c$   
**by** (*cases p, auto simp add: top-def*)

**lemma** *in-top-by-side-2*:  $(px=x0, py=y0, pz=z0) \in \text{top } c \iff$   
 $x0 \in \text{side } x \ c \wedge y0 \in \text{side } y \ c \wedge z0 = \text{max } z \ c$   
**by** (*simp add: top-def*)

**lemma** *all-point-iff*:  $(\forall p. P p) \iff (\forall x1 y1 z1. P (px = x1, py = y1, pz = z1))$

**by** (*metis point.cases*)

Intersection by *side*

**lemma** *set-intersect-by-side*:  $\text{to-set } c1 \cap \text{to-set } c2 \neq \{\} \longleftrightarrow \text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \text{side } z \text{ } c1 \cap \text{side } z \text{ } c2 \neq \{\}$   
**unfold** *set-eq-iff all-point-iff* **using** *in-set-by-side-2* **by** *blast*

**lemma** *bot-intersect-by-side*:  $\text{bot } c1 \cap \text{bot } c2 \neq \{\} \longleftrightarrow \text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \min z \text{ } c2$   
**proof**(*intro iffI*)  
**assume**  $\text{bot } c1 \cap \text{bot } c2 \neq \{\}$   
**thus**  $\text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \min z \text{ } c2$   
**using** *in-bot-by-side* **by** *fastforce*  
**next**  
**assume**  $\text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \min z \text{ } c2$   
**thus**  $\text{bot } c1 \cap \text{bot } c2 \neq \{\}$  **using** *in-bot-by-side-2* **by** *blast*  
**qed**

**lemma** *bot-top-intersect-by-side*:  $\text{bot } c1 \cap \text{top } c2 \neq \{\} \longleftrightarrow \text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \max z \text{ } c2$   
**proof**(*intro iffI*)  
**assume**  $\text{bot } c1 \cap \text{top } c2 \neq \{\}$   
**thus**  $\text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \max z \text{ } c2$   
**using** *in-bot-by-side in-top-by-side* **by** *fastforce*  
**next**  
**assume**  $\text{side } x \text{ } c1 \cap \text{side } x \text{ } c2 \neq \{\} \wedge \text{side } y \text{ } c1 \cap \text{side } y \text{ } c2 \neq \{\} \wedge \min z \text{ } c1 = \max z \text{ } c2$   
**thus**  $\text{bot } c1 \cap \text{top } c2 \neq \{\}$  **using** *in-bot-by-side-2 in-top-by-side-2* **by** *blast*  
**qed**

### 1.2.2 Cubes subset of each other, by *side*

**lemma** *set-subset-by-side*:  $\text{to-set } c1 \subseteq \text{to-set } c2 \longleftrightarrow \text{side } x \text{ } c1 \subseteq \text{side } x \text{ } c2 \wedge \text{side } y \text{ } c1 \subseteq \text{side } y \text{ } c2 \wedge \text{side } z \text{ } c1 \subseteq \text{side } z \text{ } c2$   
**unfold** *subset-eq Ball-def all-point-iff in-set-by-side* **by** *fastforce*  
**lemma** *set-eq-by-side*:  $\text{to-set } c1 = \text{to-set } c2 \longleftrightarrow \text{side } x \text{ } c1 = \text{side } x \text{ } c2 \wedge \text{side } y \text{ } c1 = \text{side } y \text{ } c2 \wedge \text{side } z \text{ } c1 = \text{side } z \text{ } c2$   
**using** *set-subset-by-side* **by** *fast*

**lemma** *bot-eq-by-side*:  $\text{is-valid } c1 \implies \text{bot } c1 = \text{bot } c2 \longleftrightarrow \text{side } x \text{ } c1 = \text{side } x \text{ } c2 \wedge \text{side } y \text{ } c1 = \text{side } y \text{ } c2 \wedge \min z \text{ } c1 = \min z \text{ } c2$   
**unfold** *bot-def set-eq-iff all-point-iff* **by** *fastforce*

```

lemma bot-top-subset-by-side: is-valid c1  $\implies$  bot c1  $\subseteq$  top c2  $\longleftrightarrow$ 
side x c1  $\subseteq$  side x c2  $\wedge$  side y c1  $\subseteq$  side y c2  $\wedge$  min z c1 = max z c2
  unfolding top-def bot-def subset-eq Ball-def all-point-iff by fastforce
lemma bot-top-eq-by-side: is-valid c1  $\implies$  bot c1 = top c2  $\longleftrightarrow$ 
side x c1 = side x c2  $\wedge$  side y c1 = side y c2  $\wedge$  min z c1 = max z c2
  unfolding top-def bot-def set-eq-iff Ball-def all-point-iff by fastforce

lemma width-eq-if-side-eq:  $\llbracket \text{is-valid } c1; \text{side } ax \text{ } c1 = \text{side } ax \text{ } c2 \rrbracket \implies \text{width } c1 =$ 
width c2
  by(simp add:Ico-eq-Ico)
    to-set is injective

lemma to-set-inj:
  assumes is-valid c1 to-set c1 = to-set c2
  shows c1 = c2
  proof -
    from assms(2) have *: side x c1 = side x c2 side y c1 = side y c2 side z c1 =
side z c2
      by(metis set-eq-by-side)+
    hence min x c1 = min x c2 min y c1 = min y c2 min z c1 = min z c2
      by(meson assms(1) Ico-eq-Ico less-add-same-cancel1)+
    hence point c1 = point c2 by simp
    moreover from * have width c1 = width c2 by(metis assms(1) width-eq-if-side-eq)
    ultimately show c1 = c2 by simp
  qed

Cube-Dissection.bot is also injective

lemma bot-inj: assumes is-valid c1 bot c1 = bot c2 shows c1 = c2
  proof -
    from assms have width c1 = width c2 by(metis assms bot-eq-by-side width-eq-if-side-eq)
    hence to-set c1 = to-set c2 using assms bot-eq-by-side set-eq-by-side by auto
    thus c1 = c2 by(metis assms(1) to-set-inj)
  qed

```

## 2 Cubing

We in this section introduce a dissection C of the unit cube

The cube we show there is no dissection of

**definition** unit-cube **where** unit-cube = () point=(px=0, py=0, pz=0), width=1()

```

lemma min-unit-cube-0: min ax unit-cube = 0
  by(metis unit-cube-def axis.exhaust axis.simps(7–9) cube.select-convs(1) point.select-convs(1–3))

lemma unit-cube-valid[simp]: is-valid unit-cube
  by(simp add: unit-cube-def)

```

What we want to show doesn't exist. C is a set of cubes which satisfy:

1. All cubes are valid ( $\text{width} > 0$ )
2. All cubes are disjoint
3. The union of the cubes in  $C$  equal *unit-cube* (hence, all cubes are contained in *unit-cube*)
4. All cubes in  $C$  have different width
5. There are at least two cubes in  $C$
6. There are a finite number of cubes in  $C$

```
definition is-dissection :: cube set  $\Rightarrow$  bool where
  is-dissection  $C \longleftrightarrow$ 
     $(\forall c \in C. \text{is-valid } c)$ 
     $\wedge \text{disjoint } (\text{image to-set } C)$ 
     $\wedge \bigcup (\text{image to-set } C) = \text{to-set unit-cube}$ 
     $\wedge \text{inj-on width } C$  — All cubes are of different size
     $\wedge \text{card } C \geq 2$  — At least two cubes
     $\wedge \text{finite } C$ 
```

From now on,  $C$  is some fixed dissection of *unit-cube*, and 'dissection' refers to this fact

```
context fixes  $C$  assumes dissection: is-dissection  $C$ 
begin
```

## 2.1 Properties of is-dissection

```
lemma valid-if-dissection[simp]:  $c \in C \implies \text{is-valid } c$ 
  using dissection unfolding is-dissection-def by fast

lemma side-unit-cube:
   $\text{side ax unit-cube} = \{0..<1\}$ 
  by (metis add.commute add.right_neutral cube.select_convs(2) min-unit-cube-0 unit-cube-def)

lemma subset-unit-cube-if-dissection:  $c \in C \implies \text{to-set } c \subseteq \text{to-set unit-cube}$ 
  using dissection unfolding is-dissection-def to-set-def by fast

lemma subset-unit-cube-by-side:
   $c \in C \implies \text{side ax } c \subseteq \{0..<1\}$ 
  by (metis(full-types)subset-unit-cube-if-dissection set-subset-by-side side-unit-cube axis.exhaust)

lemma eq-iff-intersect:  $\llbracket c1 \in C; c2 \in C \rrbracket \implies c1 = c2 \longleftrightarrow \text{to-set } c1 \cap \text{to-set } c2 \neq \{\}$ 
  using dissection unfolding is-dissection-def disjoint-def
  by (metis image-eqI inf.idem non-empty to-set-inj)
```

Whenever we have a point in *unit-cube*, there exists a (unique) cube in  $C$  containing that point

**lemma** *obtain-cube*:  $p \in \text{to-set } \text{unit-cube} \implies \exists c \in C. p \in \text{to-set } c$   
**using** *dissection unfolding* *is-dissection-def* **by** *blast*

If the top of  $c$  doesn't touch the top of *unit-cube*, then top of  $c$  must be covered by bottoms of cubes in  $C$

```
lemma top-cover-by-bot:
assumes  $c \in C \max z c < 1$ 
shows  $\text{top } c \subseteq \bigcup (\text{image } \text{bot } C)$ 
proof(intro subsetI)
  fix  $p$  assume p-in-top:  $p \in \text{top } c$ 
  from assms(1) have  $\text{side } x c \subseteq \{0..<1\}$   $\text{side } y c \subseteq \{0..<1\}$   $\text{side } z c \subseteq \{0..<1\}$ 

  using subset-unit-cube-by-side by blast+
  with assms(2) p-in-top have  $\text{px } p \in \{0..<1\}$   $\text{py } p \in \{0..<1\}$   $\text{pz } p \in \{0..<1\}$ 
    by(simp add: in-top-by-side)+
  hence  $p \in \text{to-set } \text{unit-cube}$  using in-set-by-side side-unit-cube by blast
  then obtain  $c'$  where  $c' \in C$   $p \in \text{to-set } c'$  by(metis obtain-cube)
  moreover have  $p \notin \text{to-set } c$  using p-in-top in-top-by-side in-set-by-side by simp
  ultimately have  $c' \neq c$  by metis
  hence  $\text{to-set } c' \cap \text{to-set } c = \{\}$  by(metis assms(1) c' ∈ C eq-iff-intersect)
  have  $p \in \text{bot } c'$ 
  proof(rule ccontr)
    assume  $p \notin \text{bot } c'$ 
    hence  $\min z c' < \text{pz } p$   $\text{pz } p < \max z c'$  using  $\langle p \in \text{to-set } c' \rangle$ 
      by(simp-all add: in-set-by-side in-bot-by-side)
    hence  $\text{side } z c' \cap \text{side } z c \neq \{\}$  using  $\langle p \in \text{top } c \rangle$  by(simp add: in-top-by-side)
    moreover have  $\text{side } x c \cap \text{side } x c' \neq \{\}$   $\text{side } y c \cap \text{side } y c' \neq \{\}$ 
      using  $\langle p \in \text{top } c \rangle \langle p \in \text{to-set } c' \rangle$  by(simp-all add: in-top-by-side in-set-by-side assms)
    ultimately have  $\text{to-set } c' \cap \text{to-set } c \neq \{\}$  using set-intersect-by-side by simp
    thus False using  $\langle \text{to-set } c' \cap \text{to-set } c = \{\} \rangle$  by metis
  qed
  thus  $p \in \bigcup (\text{image } \text{bot } C)$  using  $\langle c' \in C \rangle$  by blast
qed
```

### 3 Hole

A hole  $h$  is a special kind of cube, where any cube whose bottom 'touches' the top of  $v$  must in fact have its bottom contained in the top of  $v$ . If  $h \in C$ , then this happens because all the other cubes surrounding  $h$  go up taller, forming a hole on top of  $v$ . Note that we don't require that  $h \in C$ , but this is only so we can prove that *unit-cube* shifted down by 1 is a hole - all other holes will in fact lie in  $C$ . The concept of a hole is inspired by the 'Valley' definition from [3]

### 3.1 Definitions

```

definition is-hole :: cube  $\Rightarrow$  bool where
  is-hole h  $\longleftrightarrow$ 
    is-valid h
     $\wedge$  top h  $\subseteq \bigcup (\text{image bot } C)$ 
     $\wedge (\forall c \in C . \text{bot } c \cap \text{top } h \neq \{\} \longrightarrow \text{bot } c \subseteq \text{top } h)$ 
    — v could be a cube in C (and most often is), but any other cube must be
    different width. Also, this assumption is not actually needed (as it follows from v,
     $c \in C$ ), but without it we have to do a special-case proof for the bottom of the
    unit-cube
     $\wedge (\forall c \in C . c \neq h \longrightarrow \text{width } c \neq \text{width } h)$ 
    Subset of C which are on a given hole h
definition is-on-hole :: cube  $\Rightarrow$  cube  $\Rightarrow$  bool where
  is-on-hole h c  $\longleftrightarrow$  bot c  $\subseteq$  top h
definition filter-on-hole :: cube  $\Rightarrow$  cube set where
  filter-on-hole h = Set.filter (is-on-hole h) C

```

### 3.2 Properties of a hole

Terminology: 'on hole' means cube  $c$  with: *Cube-Dissection.bot*  $c \subseteq$  *Cube-Dissection.top*  $h$ . 'in hole' means point  $p$  with:  $p \in$  *Cube-Dissection.top*  $h$

```

local.filter-on-hole h  $\subseteq$  C
lemma dissection-if-on-hole[simp]:  $c \in \text{filter-on-hole } h \implies c \in C$ 
  unfolding filter-on-hole-def by simp
  Holes, and cubes on them, are valid
lemma valid-if-hole[simp]: is-hole h  $\implies$  is-valid h
  by (metis is-hole-def)
lemma valid-if-on-hole[simp]:  $c \in \text{filter-on-hole } h \implies \text{is-valid } c$ 
  by simp
lemma on-hole-finite: is-hole h  $\implies$  finite (filter-on-hole h)
  by (metis dissection is-dissection-def filter-on-hole-def finite-filter )
lemma on-hole-if-in-filter-on-hole:  $c \in \text{filter-on-hole } h \implies \text{is-on-hole } h$ 
  unfolding filter-on-hole-def by simp
lemma on-hole-cover: assumes is-hole h shows top h  $\subseteq \bigcup (\text{image bot } (\text{filter-on-hole } h))$ 
proof
  fix p assume  $p \in \text{top } h$ 
  then obtain c where  $c \in C$   $p \in \text{bot } c$  using assms unfolding is-hole-def by
  blast
  with  $\langle p \in \text{top } h \rangle$  have  $\text{bot } c \subseteq \text{top } h$  using assms unfolding is-hole-def by
  blast
  hence  $c \in \text{filter-on-hole } h$ 
  by (metis  $\langle c \in C \rangle$  filter-on-hole-def is-on-hole-def member-filter)

```

```

with ‹ $p \in \text{bot } c$ › show  $p \in \bigcup(\text{image } \text{bot}(\text{filter-on-hole } h))$  by blast
qed

```

Whenever we have a point  $p$  in the top of a hole  $h$ , there exists a (unique) cube  $c \in \text{local.filter-on-hole } h$ , such that  $p \in \text{Cube-Dissection.bot } c$

```

lemma obtain-cube-if-in-hole: ‹is-hole  $h$ ;  $p \in \text{top } h$ ›
   $\implies \exists c \in \text{filter-on-hole } h . p \in \text{bot } c$ 
  using on-hole-cover by blast

```

```

lemma on-hole-inj-on-width: is-hole  $h \implies \text{inj-on width } (\text{filter-on-hole } h)$ 
  using dissection-if-on-hole inj-on-subset dissection
    is-dissection-def subset-iff by metis

```

### 3.3 Properties of cubes on a hole

```

lemma neg-hole-if-on-hole:  $c \in \text{filter-on-hole } h \implies c \neq h$ 
  using valid-if-on-hole min-ne-max bot-top-subset-by-side is-on-hole-def
    on-hole-if-in-filter-on-hole by blast

```

```

lemma subset-if-on-hole:  $c \in \text{filter-on-hole } h \implies \text{bot } c \subseteq \text{top } h$ 
  unfolding is-hole-def filter-on-hole-def is-on-hole-def by simp

```

```

lemma side-subset-if-on-hole: ‹ $c \in \text{filter-on-hole } h; ax \in \{x,y\}$ ›  $\implies \text{side } ax c \subseteq$ 
  side  $ax h$ 
  using bot-top-subset-by-side subset-if-on-hole by auto

```

```

lemma min-z-eq-max-z-hole-if-on-hole:
   $c \in \text{filter-on-hole } h \implies \min z c = \max z h$ 
  using subset-if-on-hole by(simp add: bot-top-subset-by-side)

```

```

lemma z-eq-if-on-hole:
  ‹ $c1 \in \text{filter-on-hole } h; c2 \in \text{filter-on-hole } h$ ›  $\implies \min z c1 = \min z c2$ 
  using min-z-eq-max-z-hole-if-on-hole by simp

```

Do not need to care about  $z$ -coordinate

```

lemma eq-iff-side-eq-if-on-hole: ‹ $c1 \in \text{filter-on-hole } h; c2 \in \text{filter-on-hole } h$ ›
   $\implies c1 = c2 \longleftrightarrow \text{side } x c1 = \text{side } x c2 \wedge \text{side } y c1 = \text{side } y c2$ 
  by(meson valid-if-on-hole z-eq-if-on-hole bot-eq-by-side bot-inj)

```

Disjointness-lemmas:

```

lemma eq-iff-bot-intersect-if-on-hole:
  assumes  $c1 \in \text{filter-on-hole } h$   $c2 \in \text{filter-on-hole } h$ 
  shows  $c1 = c2 \longleftrightarrow \text{bot } c1 \cap \text{bot } c2 \neq \{\}$ 
proof –
  from assms have  $c1 = c2 \longleftrightarrow \text{to-set } c1 \cap \text{to-set } c2 \neq \{\}$  by(simp add:
    eq-iff-intersect)
  moreover have  $\min z c1 = \min z c2$  by(metis assms z-eq-if-on-hole)
  hence  $\text{to-set } c1 \cap \text{to-set } c2 \neq \{\} \longleftrightarrow \text{bot } c1 \cap \text{bot } c2 \neq \{\}$ 
  using set-intersect-by-side bot-intersect-by-side bot-subset subsetD by blast

```

```

ultimately show  $c1 = c2 \longleftrightarrow \text{bot } c1 \cap \text{bot } c2 \neq \{\}$  by blast
qed

lemma eq-iff-side-intersect-if-on-hole:
   $\llbracket c1 \in \text{filter-on-hole } h; c2 \in \text{filter-on-hole } h \rrbracket$ 
   $\implies c1 = c2 \longleftrightarrow \text{side } x c1 \cap \text{side } x c2 \neq \{\} \wedge \text{side } y c1 \cap \text{side } y c2 \neq \{\}$ 
  by(meson z-eq-if-on-hole eq-iff-bot-intersect-if-on-hole bot-intersect-by-side)

lemma width-on-hole-lt-width-hole:
  assumes is-hole  $h$   $c \in \text{filter-on-hole } h$  shows width  $c < \text{width } h$ 
proof(rule ccontr)
  assume  $\neg \text{width } c < \text{width } h$ 
  hence width  $c \geq \text{width } h$  by simp
  moreover have  $c \neq h$  by (metis assms(2) neq-hole-if-on-hole)
  hence width  $c \neq \text{width } h$  using assms unfolding is-hole-def by simp
  ultimately have width  $c > \text{width } h$  by simp
  hence  $\neg(\text{side } x c \subseteq \text{side } x h)$  using assms less-le-not-le by fastforce
  hence  $\neg(\text{bot } c \subseteq \text{top } h)$  using assms by(auto simp add: bot-top-subset-by-side)
  moreover have bot  $c \subseteq \text{top } h$  by(metis assms(2) subset-if-on-hole)
  ultimately show False by metis
qed

lemma strict-subset-if-on-hole: assumes is-hole  $h$   $c \in \text{filter-on-hole } h$ 
  shows bot  $c \subset \text{top } h$ 
proof
  show bot  $c \subseteq \text{top } h$  by(metis assms(2) subset-if-on-hole)
next
  have width  $c \neq \text{width } h$  using assms width-on-hole-lt-width-hole by fastforce
  hence side  $x c \neq \text{side } x h$  by(metis assms(1) valid-if-hole width-eq-if-side-eq)
  thus bot  $c \neq \text{top } h$  using assms bot-top-eq-by-side by simp
qed

lemma on-hole-non-empty: is-hole  $h \implies \text{filter-on-hole } h \neq \{\}$ 
  using on-hole-cover
  by (metis Sup-empty image-empty subset-empty top-non-empty valid-if-hole)

```

## 4 Bottom of unit-cube is a hole

```

lemma bot-unit-cube-cover-by-bot: bot unit-cube  $\subseteq \bigcup (\text{image } \text{bot } C)$ 
proof
  fix  $p$ 
  assume  $p \in \text{bot unit-cube}$ 
  hence  $p \in \text{to-set unit-cube}$  using bot-subset by auto
  then obtain  $c$  where  $c \in C$   $p \in \text{to-set } c$  by(metis obtain-cube)
  from  $\langle p \in \text{bot unit-cube} \rangle$  have  $pz p = 0$  by(metis min-unit-cube-0 in-bot-by-side)
  hence  $\min z c \leq 0$  using  $\langle p \in \text{to-set } c \rangle$  by(simp add: in-set-by-side)
  moreover have side  $z c \subseteq \{0..<1\}$  by(metis  $\langle c \in C \rangle$  subset-unit-cube-by-side)
  hence  $\min z c \geq 0$  using  $\langle c \in C \rangle$  by fastforce
  ultimately have  $\min z c = 0$  by simp

```

**hence**  $p \in \text{bot } c$  **using**  $\langle p \in \text{to-set } c \rangle \langle \text{pz } p = 0 \rangle$  **by**(simp add: in-set-by-side in-bot-by-side)

**thus**  $p \in \bigcup (\text{image bot } C)$  **using**  $\langle c \in C \rangle$  **by** auto  
**qed**

**lemma** eq-if-width-eq-if-subset:

**assumes** width  $c1 = \text{width } c2$  to-set  $c1 \subseteq \text{to-set } c2$

**shows** to-set  $c1 = \text{to-set } c2$

**proof**–

**from** assms(2) **have** side  $x \ c1 \subseteq \text{side } x \ c2$  side  $y \ c1 \subseteq \text{side } y \ c2$  side  $z \ c1 \subseteq \text{side } z \ c2$

**using** set-subset-by-side **by** blast+

**hence** side  $x \ c1 = \text{side } x \ c2$  side  $y \ c1 = \text{side } y \ c2$  side  $z \ c1 = \text{side } z \ c2$

**using** assms(1) **by** fastforce+

**thus** to-set  $c1 = \text{to-set } c2$  **using** set-eq-by-side **by** blast

**qed**

**lemma** width-ne-one:

**assumes**  $c \in C$

**shows** width  $c \neq 1$

**proof**(rule econtr)

**obtain**  $c'$  **where**  $c' \in C \ c \neq c'$  **using** assms dissection

**unfolding** is-dissection-def **by**(auto simp add: card-le-Suc-iff numeral-eq-Suc)

**hence** to-set  $c' \cap \text{to-set } c = \{\}$  **using** assms **by**(metis eq-iff-intersect)

**assume**  $\neg \text{width } c \neq 1$

**hence** width  $c = 1$  **by** blast

**moreover have** to-set  $c \subseteq \text{to-set unit-cube}$  **by**(metis assms subset-unit-cube-if-dissection)

**ultimately have** to-set  $c = \text{to-set unit-cube}$  **using** eq-if-width-eq-if-subset

**unfolding** unit-cube-def **by** auto

**with**  $\langle c' \in C \rangle$  **have** to-set  $c' \subseteq \text{to-set } c$  **by**(metis subset-unit-cube-if-dissection)

**hence** to-set  $c' \cap \text{to-set } c \neq \{\}$  **using**  $\langle c' \in C \rangle$  **by**(simp add: non-empty Int-absorb2)

**moreover have** to-set  $c' \cap \text{to-set } c = \{\}$  **by**(metis assms  $\langle c' \in C \rangle \langle c \neq c' \rangle$  eq-iff-intersect)

**ultimately show** False **by** metis

**qed**

Combines the previous lemmas, to show that the bottom of unit-cube is a hole

**proposition** hole-unit-cube: is-hole (shift-down unit-cube)

**proof**–

**let** ?b = shift-down unit-cube — cube with point (0,0,-1) and width 1

**show** ?thesis **unfolding** is-hole-def

**proof**(intro conjI subsetI ballI impI)

**show** is-valid ?b **by**(simp add: shift-down-def)

**next**

**fix**  $p$  **assume**  $p \in \text{top } ?b$

**hence**  $p \in \text{bot unit-cube}$  **by**(simp add: top-shift-down-eq-bot)

**thus**  $p \in \bigcup (\text{image bot } C)$  **using** bot-unit-cube-cover-by-bot **by** blast

```

next
  fix c p assume c ∈ C bot c ∩ top ?b ≠ {} p ∈ bot c
  with ⟨bot c ∩ top ?b ≠ {}⟩ have min z c = 0
    by(metis top-shift-down-eq-bot bot-intersect-by-side min-unit-cube-0)
  hence pz p = 0 by(metis ⟨p ∈ bot c⟩ in-bot-by-side)
  moreover from ⟨p ∈ bot c⟩ have px p ∈ {0..<1} py p ∈ {0..<1}
    using ⟨c ∈ C⟩ subset-unit-cube-by-side in-bot-by-side by blast+
    ultimately have p ∈ bot unit-cube by(metis min-unit-cube-0 in-bot-by-side
side-unit-cube)
  thus p ∈ top ?b by(metis top-shift-down-eq-bot)
next
  fix c assume c ∈ C c ≠ ?b
  hence width c ≠ 1 by(metis width-ne-one)
  thus width c ≠ width ?b by(simp add: unit-cube-def shift-down-def)
  qed
qed

```

## 5 Minimum cube on hole is interior

**context**

**fixes** h **assumes** hole: is-hole h  
**begin**

For this section, we fix a hole  $h$ , and define  $c_{min}$  to be the smallest cube on this hole. Theorem  $hole$  refers to this fact. The goal of this section is then to show that  $c_{min}$  itself is a hole.

### 5.1 Definition: Minimum cube on $h$

$c_{min}$  is the smallest cube on the hole  $h$

**definition**  $c_{min}:: cube$   
**where**  $c_{min} = (\text{ARG-MIN } \text{width } c . c \in \text{filter-on-hole } h)$

**lemma** arg-min-exist:  $\llbracket \text{finite } C'; C' \neq \{\} \rrbracket \implies (\text{ARG-MIN } \text{width } c . c \in C') \in C'$   
**by**(metis arg-min-on-def arg-min-if-finite(1))

This lemma also shows that  $local.c_{min}$  exists

**lemma**  $c_{min}\text{-on-}h: c_{min} \in \text{filter-on-hole } h$   
**unfolding**  $c_{min}\text{-def}$  **by**(metis hole arg-min-exist on-hole-finite on-hole-non-empty )

**lemma**  $c_{min}\text{-valid}[\text{simp}]: \text{is-valid } c_{min}$   
**by**(metis  $c_{min}\text{-on-}h$  valid-if-on-hole)

**lemma** arg-min-minimal:  $\llbracket \text{finite } C'; c \in C' \rrbracket \implies \text{width } (\text{ARG-MIN } \text{width } c . c \in C') \leq \text{width } c$   
**by**(metis arg-min-least arg-min-on-def empty-iff)

```

lemma cmin-minimal:  $c \in \text{filter-on-hole } h \implies \text{width } c_{\min} \leq \text{width } c$ 
  unfolding cmin-def by(metis hole arg-min-minimal on-hole-finite)

lemma cmin-minimal-strict:
  assumes  $c \in \text{filter-on-hole } h \quad c \neq c_{\min}$ 
  shows  $\text{width } c_{\min} < \text{width } c$ 
proof -
  have  $\text{width } c_{\min} \leq \text{width } c$  using assms(1) by(rule cmin-minimal)
  moreover have  $\text{width } c_{\min} \neq \text{width } c$ 
    by (metis assms on-hole-inj-on-width assms(2) cmin-on-h hole inj-on-contrad)
  ultimately show  $\text{width } c_{\min} < \text{width } c$  by simp
qed

lemma cmin-max-z-neq-one:  $\max z c_{\min} < 1$ 
proof -
  let ?On-h = filter-on-hole h
  have bot cmin ⊂ top h by(metis hole cmin-on-h strict-subset-if-on-hole)
  then obtain c where  $c \in ?\text{On}-h \quad c \neq c_{\min}$  using hole obtain-cube-if-in-hole by
    blast
  hence  $\min z c_{\min} = \min z c$  by(metis cmin-on-h ⟨c ∈ ?On-h⟩ z-eq-if-on-hole)
  hence  $\max z c_{\min} < \max z c$  by(simp add: ⟨c ∈ ?On-h⟩ ⟨c ≠ cmin⟩ cmin-minimal-strict)
  moreover have side z c ⊆ {0..<1}
    by(metis ⟨c ∈ ?On-h⟩ dissection-if-on-hole subset-unit-cube-by-side)
  hence  $\max z c \leq 1$  using ⟨c ∈ ?On-h⟩ valid-if-on-hole by (simp add: less-le-not-le)
  ultimately show  $\max z c_{\min} < 1$  by simp
qed

```

## 5.2 Minimum cube on hole is interior

All squares on the boundary of  $h$

```

definition is-on-boundary :: axis ⇒ cube ⇒ bool where
  is-on-boundary ax c ←→ min ax h = min ax c ∨ max ax h = max ax c

```

Shows that IF  $local.cmin$  is on a boundary  $ax$ , then we find some  $ax$ -coordinate  $r$ , which is further from the boundary than the edge of  $local.cmin$ , but closer than the edge of any other cube sufficiently close to the boundary.

```

lemma cmin-on-boundary:
  assumes is-on-boundary ax cmin  $ax \in \{x, y\}$ 
  shows  $\exists r .$ 
     $r \in (\text{side } ax h - (\text{side } ax c_{\min})) \wedge$ 
     $(\forall c \in \text{filter-on-hole } h . \quad c \neq c_{\min} \longrightarrow \text{side } ax c_{\min} \cap \text{side } ax c \neq \{\}) \longrightarrow r \in$ 
     $\text{side } ax c$ 
proof -
  let ?On-h = filter-on-hole h
  let ?c-2nd-min = ARG-MIN width c .  $c \in (\text{Set.remove } c_{\min} ?\text{On}-h)$ 
  have bot cmin ⊂ top h by(metis hole cmin-on-h strict-subset-if-on-hole)
  hence Set.remove cmin ?On-h ≠ {} using hole obtain-cube-if-in-hole by fastforce
  moreover have finite (Set.remove cmin ?On-h)
  by (metis hole on-hole-finite remove-def finite-Diff)

```

```

ultimately have ?c-2nd-min ∈ Set.remove cmin ?On-h by(metis arg-min-exist)
hence ?c-2nd-min ∈ ?On-h and width cmin < width ?c-2nd-min
  by(simp, simp add: cmin-minimal-strict)
then obtain ε where ε > 0 width cmin + ε < width ?c-2nd-min
  by(metis field-le-epsilon less-le-not-le nle-le)
have ε-prop: ∀ c ∈ ?On-h . cmin ≠ c → width cmin + ε < width c
proof(intro ballI impI)
  fix c assume c ∈ ?On-h cmin ≠ c
  hence c ∈ Set.remove cmin ?On-h by auto
  hence width ?c-2nd-min ≤ width c
    using ⟨Set.remove cmin ?On-h ≠ {}⟩ ⟨finite (Set.remove cmin ?On-h) ⟩
    arg-min-minimal
    by blast
  thus width cmin + ε < width c using ⟨width cmin + ε < width ?c-2nd-min⟩
by simp
qed
then show ?thesis using assms(1) unfolding is-on-boundary-def
proof(elim disjE)
  assume min ax h = min ax cmin
  let ?r = max ax cmin + ε
  show ?thesis
  proof(intro exI conjI ballI impI)
    have ?r < min ax cmin + width ?c-2nd-min using ⟨width cmin + ε < width
    ?c-2nd-min⟩ by auto
    also have ... = min ax h + width ?c-2nd-min using ⟨min ax h = min ax
    cmin⟩ by simp
    finally have ?r < max ax h
      using ⟨?c-2nd-min ∈ ?On-h⟩ hole width-on-hole-lt-width-hole by fastforce
    moreover have min ax cmin < max ax cmin using assms(1) by(simp add:
    min-lt-max)
    hence min ax h < ?r using ⟨ε > 0⟩ ⟨min ax h = min ax cmin⟩ by linarith
    ultimately have ?r ∈ side ax h by simp
    moreover from ⟨ε > 0⟩ have ?r ∉ side ax cmin by simp
    ultimately show ?r ∈ side ax h - side ax cmin by simp
next
fix c assume c ∈ ?On-h c ≠ cmin side ax cmin ∩ side ax c ≠ {}
from ⟨side ax cmin ∩ side ax c ≠ {}⟩ have min ax c < max ax cmin by auto
also have ... < ?r using ⟨ε > 0⟩ by simp
finally have min ax c ≤ ?r by simp
moreover have bot c ⊆ top h by(metis ⟨c ∈ ?On-h⟩ subset-if-on-hole)
hence side ax c ⊆ side ax h using ⟨c ∈ ?On-h⟩ assms(2) bot-top-subset-by-side
by fastforce
hence min ax h ≤ min ax c using ⟨c ∈ ?On-h⟩ by fastforce
hence min ax cmin ≤ min ax c using ⟨min ax h = min ax cmin⟩ by simp
hence ?r < max ax c using ⟨c ∈ ?On-h⟩ ⟨c ≠ cmin⟩ ε-prop by auto
ultimately show ?r ∈ side ax c by simp
qed
next
assume max ax h = max ax cmin

```

```

let ?r = min ax cmin - ε
show ?thesis
proof(intro exI conjI ballI impI)
  have min ax h = max ax h - width h by simp
  also have ... < max ax h - width ?c-2nd-min
    using hole width-on-hole-lt-width-hole ‹?c-2nd-min ∈ ?On-h› by simp
  also have ... = max ax cmin - width ?c-2nd-min using ‹max ax h = max
ax cmin› by simp
  also have ... < ?r using ‹width cmin + ε < width ?c-2nd-min› by argo
  finally have min ax h < ?r by simp
  moreover have min ax cmin < max ax cmin using assms(1) by(simp add:
min-lt-max)
  hence ?r < max ax h using ‹max ax h = max ax cmin› ‹ε > 0› by argo
  ultimately have ?r ∈ side ax h by simp
  moreover have ?r ∉ side ax cmin using ‹ε > 0› by simp
  ultimately show ?r ∈ side ax h - side ax cmin by simp
next
fix c assume c ∈ ?On-h c ≠ cmin side ax cmin ∩ side ax c ≠ {}
have ?r < min ax cmin using ‹ε>0 by simp
also have ... < max ax c using ‹side ax cmin ∩ side ax c ≠ {}› by simp
finally have ?r < max ax c by simp
moreover have bot c ⊆ top h by(metis ‹c ∈ ?On-h› subset-if-on-hole)
hence side ax c ⊆ side ax h
  using ‹c ∈ ?On-h› assms(2) bot-top-subset-by-side by fastforce
hence max ax c ≤ max ax h using ‹c ∈ ?On-h› valid-if-on-hole by fastforce
hence max ax c ≤ max ax cmin using ‹max ax h = max ax cmin› by simp
hence min ax c ≤ ?r using ‹c ∈ ?On-h› ‹c ≠ cmin› ε-prop by auto
ultimately show ?r ∈ side ax c by simp
qed
qed
qed

```

Using the previous lemma, we show that *local.cmin* being on the boundary leads to a contradiction

```

lemma cmin-not-on-boundary-by-axis:
assumes ax ∈ {x, y}
shows ¬is-on-boundary ax cmin
proof(rule ccontr, unfold not-not)
let ?On-h = filter-on-hole h
assume is-on-boundary ax cmin
then obtain r where r ∈ (side ax h - side ax cmin) and
r-prop: (∀ c ∈ ?On-h . c ≠ cmin → side ax cmin ∩ side ax c ≠ {} → r ∈
side ax c)
  by(metis assms cmin-on-boundary)
hence r ∈ side ax h and r ∉ side ax cmin by blast+
let ?ax2 = if ax = x then y else x
let ?p1 = if ax = x then (px=r, py=min y cmin, pz=max z h) else (px=min x
cmin, py=r, pz=max z h)
have side ?ax2 cmin ⊆ side ?ax2 h using cmin-on-h side-subset-if-on-hole by

```

```

simp
hence min ?ax2 cmin ∈ side ?ax2 h using min-in-side by fastforce
hence ?p1 ∈ top h using ⟨r ∈ side ax h⟩ in-top-by-side assms by (simp split:
if-splits)
then obtain c1 where ?p1 ∈ bot c1 and c1-on-h: c1 ∈ ?On-h
using hole obtain-cube-if-in-hole by blast
hence cmin ≠ c1 using ⟨r ∉ side ax cmin⟩ assms in-bot-by-side by (auto split:
if-splits)
hence width cmin < width c1 by (metis ⟨c1 ∈ ?On-h⟩ cmin-minimal-strict)
hence ¬ side ?ax2 c1 ⊆ side ?ax2 cmin using c1-on-h valid-if-on-hole by fast-
force
then obtain s where s ∈ side ?ax2 c1 s ∉ side ?ax2 cmin by blast
let ?p2=if ax = x then (px=min x cmin, py=s, pz=max z h) else (px=s, py=min
y cmin, pz=max z h)
have side ax cmin ⊆ side ax h using assms cmin-on-h side-subset-if-on-hole by
blast
hence min ax cmin ∈ side ax h using min-in-side by fastforce
moreover have side ?ax2 c1 ⊆ side ?ax2 h using ⟨c1 ∈ ?On-h⟩ side-subset-if-on-hole
by simp
hence s ∈ side ?ax2 h using ⟨s ∈ side ?ax2 c1⟩ by blast
ultimately have ?p2 ∈ top h using in-top-by-side assms by (simp split: if-splits)
then obtain c2 where ?p2 ∈ bot c2 and c2-on-h: c2 ∈ ?On-h
using hole obtain-cube-if-in-hole by blast
let ?p3 = if ax = x then (px=r, py=s, pz=max z h) else (px=s, py=r, pz=max
z h)
— We show that if ax = x then (px = r, py = s, pz = max z h) else (px =
s, py = r, pz = max z h) is in the intersection of c1 and c2, but p2 is only in c2,
contradicting disjointness
have ?p2 ∉ bot cmin using ⟨s ∉ side ?ax2 cmin⟩ in-bot-by-side by (auto split:
if-splits)
hence cmin ≠ c2 using ⟨?p2 ∈ bot c2⟩ by blast
hence r ∈ side ax c2 using r-prop c2-on-h min-in-side ⟨?p2 ∈ bot c2⟩ in-bot-by-side
assms
by fastforce
hence ?p3 ∈ bot c2 using ⟨?p2 ∈ bot c2⟩ in-bot-by-side assms by (simp split:
if-splits)
moreover have ?p3 ∈ bot c1 using ⟨?p1 ∈ bot c1⟩ ⟨s ∈ side ?ax2 c1⟩ in-bot-by-side
by auto
ultimately have c1 = c2 using c1-on-h c2-on-h eq-iff-bot-intersect-if-on-hole
by blast
moreover from ⟨s ∉ side ?ax2 cmin⟩ have ?p2 ∉ to-set cmin using in-set-by-side
by auto
hence cmin ≠ c2 using eq-iff-side-eq-if-on-hole c2-on-h ⟨?p2 ∈ bot c2⟩ bot-subset
by blast
hence to-set cmin ∩ to-set c2 = {} using cmin-on-h c2-on-h eq-iff-intersect by
simp
hence side ?ax2 cmin ∩ side ?ax2 c2 = {} using ⟨?p2 ∈ bot c2⟩ ⟨cmin ≠ c2⟩
c2-on-h assms cmin-on-h in-bot-by-side eq-iff-side-intersect-if-on-hole by fast-

```

```

force
  hence min ?ax2 cmin ≠ side ?ax2 c2 using cmin-valid min-in-side by blast
  hence ?p1 ≠ bot c2 using assms in-bot-by-side by fastforce
  hence c1 ≠ c2 using ‹?p1 ∈ bot c1› by blast
  ultimately show False by blast
qed

```

Previous result, written as inequalities instead

```

proposition cmin-not-on-boundary:
  min x h < min x cmin ∧ max x cmin < max x h
  ∧ min y h < min y cmin ∧ max y cmin < max y h
proof-
  have side x cmin ⊆ side x h ∧ side y cmin ⊆ side y h
  using cmin-on-h side-subset-if-on-hole by blast
  hence min x h ≤ min x cmin ∧ max x cmin ≤ max x h ∧
    min y h ≤ min y cmin ∧ max y cmin ≤ max y h using cmin-valid by auto
  thus min x h < min x cmin ∧ max x cmin < max x h ∧
    min y h < min y cmin ∧ max y cmin < max y h
  using cmin-not-on-boundary-by-axis unfolding is-on-boundary-def by force
qed

```

## 6 Minimum cube of hole induces hole on top

The main result of this proof - the minimum cube on a hole is itself a hole!

```

proposition hole-cmin:
  shows is-hole cmin
proof-
  let ?On-cmin = filter-on-hole cmin
  show ?thesis unfolding is-hole-def
  proof(intro conjI ballI impI)
    show is-valid cmin by simp
  next
    show top cmin ⊆ ∪(image bot C)
    by(metis top-cover-by-bot cmin-max-z-neq-one cmin-on-h dissection-if-on-hole)
  next
    fix c assume c ∈ C c ≠ cmin
    thus width c ≠ width cmin
    by(metis dissection dissection-if-on-hole cmin-on-h inj-onD is-dissection-def)
  next
    fix c assume c ∈ C bot c ∩ top cmin ≠ {}
    hence c-valid: is-valid c by simp
    show bot c ⊆ top cmin
    proof(rule ccontr)
      assume ¬ bot c ⊆ top cmin
      from ‹bot c ∩ top cmin ≠ {}› have min z c = max z cmin using bot-top-intersect-by-side
      by blast
      with ‹¬ bot c ⊆ top cmin› obtain ax where ax: ax ∈ {x,y} ¬side ax c ⊆

```

```

side ax cmin
  using bot-top-subset-by-side c-valid by (meson insert-iff)
  let ?ax2 = if ax=x then y else x
  have ?ax2 ∈ {x,y} by simp
  have min ax h < min ax cmin max ax cmin < max ax h
    using ax(1) cmin-not-on-boundary by blast+
  moreover have side ax c ∩ side ax cmin ≠ {}
    using ‹bot c ∩ top cmin ≠ {}› ax(1) bot-top-intersect-by-side by blast
  ultimately have side ax c ∩ side ax h – side ax cmin ≠ {} using ax(2) by
fastforce
  then obtain a where a-prop: a ∈ side ax c ∩ side ax h a ∉ side ax cmin by
blast
  moreover from ‹bot c ∩ top cmin ≠ {}› have side ?ax2 c ∩ side ?ax2 cmin
≠ {}
    using bot-top-intersect-by-side ‹?ax2 ∈ {x,y}› by simp
  then obtain b where b-prop: b ∈ side ?ax2 c ∩ side ?ax2 cmin by blast
  hence b-prop-2: b ∈ side ?ax2 c ∩ side ?ax2 h
    using ‹?ax2 ∈ {x,y}› cmin-on-h side-subset-if-on-hole by blast
  let ?x0 = if ax=x then a else b and ?y0 = if ax=y then a else b
  have xy0-props: ?x0 ∈ side x c ∩ side x h ?y0 ∈ side y c ∩ side y h
    ?x0 ∉ side x cmin ∨ ?y0 ∉ side y cmin
  proof –
    show ?x0 ∈ side x c ∩ side x h using a-prop b-prop ax(1) ‹?ax2 ∈ {x,y}›
      using b-prop-2 by presburger
    show ?y0 ∈ side y c ∩ side y h using a-prop b-prop-2 ax(1) by fastforce
    show ?x0 ∉ side x cmin ∨ ?y0 ∉ side y cmin using a-prop ax(1) by
fastforce
  qed
  let ?pd = (px=?x0, py=?y0, pz=max z h)
  let ?pu = (px=?x0, py=?y0, pz=min z c)
  have max z h = min z cmin by (metis cmin-on-h min-z-eq-max-z-hole-if-on-hole)
  hence ?pd = (px=?x0, py=?y0, pz=min z cmin) by simp
  moreover have min z cmin < min z c using min-lt-max ‹min z c = max z
cmin› by simp
  hence min z cmin ∉ side z c using in-set-by-side by simp
  ultimately have ?pd ∉ to-set c by (simp add: in-set-by-side)
  have ?pu ∈ bot c using c-valid xy0-props in-bot-by-side by simp
  hence ?pu ∈ to-set c using bot-subset by blast
  have ?pd ∉ bot cmin using ‹?x0 ∉ side x cmin ∨ ?y0 ∉ side y cmin›
in-bot-by-side by auto
  have ?pd ∈ top h using valid-if-hole xy0-props in-top-by-side by simp
  then obtain c' where c' ∈ filter-on-hole h ?pd ∈ bot c'
    using hole obtain-cube-if-in-hole by blast
  hence c' ≠ cmin using ‹?pd ∉ bot cmin› by blast
  hence width cmin < width c'
    using ‹c' ∈ filter-on-hole h› cmin-minimal-strict by simp
  moreover have min z cmin = min z c'
    by (metis cmin-on-h ‹c' ∈ filter-on-hole h› z-eq-if-on-hole)
  ultimately have max z cmin < max z c' by simp

```

```

hence  $\min z c < \max z c'$  by(metis ⟨ $\min z c = \max z c_{\min}$ ⟩)
hence  $\min z c \in \text{side } z c'$  using ⟨ $\min z c_{\min} < \min z c$ ⟩ ⟨ $\min z c_{\min} = \min z c'$ ⟩ by auto
hence  $?pu \in \text{to-set } c'$  using ⟨ $c' \in \text{filter-on-hole } h$ ⟩ ⟨ $?pd \in \text{bot } c'$ ⟩
      in-bot-by-side in-set-by-side ⟨ $\min z c = \max z c_{\min}$ ⟩ by simp
hence to-set  $c \cap \text{to-set } c' \neq \{\}$  using ⟨ $?pu \in \text{to-set } c$ ⟩ by blast
hence  $c = c'$  using ⟨ $c' \in \text{filter-on-hole } h$ ⟩ ⟨ $c \in C$ ⟩ eq-iff-intersect by auto
moreover have  $c \neq c'$  using ⟨ $?pd \notin \text{to-set } c$ ⟩ ⟨ $?pd \in \text{bot } c'$ ⟩ using bot-subset
by blast
ultimately show False by blast
qed
qed
qed

```

The main purpose of the previous result: From the proposition, *hole-cmin* when given the hole  $h$  induce another hole  $h'$  (i.e., *local.cmin*), which is in  $C$  and is strictly smaller.

```

lemma recursive-step:  $\exists h'. h' \in C \wedge \text{is-hole } h' \wedge \text{width } h' < \text{width } h$ 
proof-
show ?thesis
proof(intro exI conjI)
show  $c_{\min} \in C$  using cmin-on-h by force
show is-hole  $c_{\min}$  by(simp add: hole-cmin)
show width  $c_{\min} < \text{width } h$  by(simp add: hole cmin-on-h width-on-hole-lt-width-hole)
qed
qed

```

Here we end the context in which  $h$  is some fixed hole (and hence also the specific *local.cmin*)

end

## 7 The main result

We combine the previous lemmas inductively as follows: 0: Start with the bottom of *unit-cube*, which we showed is a hole. n: For each hole, take the minimum cube on this hole, which is then a new hole, strictly smaller, and in  $C$ . Hence,  $C$  is infinite.

```

definition next-hole:: cube  $\Rightarrow$  cube where
next-hole  $h = (\text{SOME } h'. h' \in C \wedge \text{is-hole } h' \wedge \text{width } h' < \text{width } h)$ 

```

```

lemma next-hole-exist: is-hole  $h$ 
 $\implies$  next-hole  $h \in C \wedge \text{is-hole } (\text{next-hole } h) \wedge \text{width } (\text{next-hole } h) < \text{width } h$ 
unfolding next-hole-def by (rule someI-ex) (rule recursive-step)

```

For following proof, we want the image of *nth-hole* to be contained in  $C$ , hence we start at  $1::'a$  (= *Suc 0*). *nth-hole* is a function from  $\mathbb{N}$  to  $C$

```

definition nth-hole :: nat  $\Rightarrow$  cube where

```

*nth-hole*  $n = (\text{next-hole} \wedge \text{Suc } n)$  (*shift-down unit-cube*)

Each cube in the image of *local.nth-hole* is a hole

```

lemma nth-hole-is-hole: is-hole (nth-hole  $n$ )
proof(induction  $n$ )
  case 0
    have nth-hole 0 = next-hole (shift-down unit-cube) by(simp add: nth-hole-def)
    moreover have is-hole (shift-down unit-cube) by(rule hole-unit-cube)
    ultimately show ?case by(simp add: next-hole-exist)
  next
    case (Suc n)
      then show ?case by(simp add: nth-hole-def next-hole-exist)
  qed
```

*uminus* is *uminus*, and *strict-mono* means strictly increasing (not strictly monotonous, as the name might suggest)

```

lemma nth-hole-strict-decreasing: strict-mono (uminus  $\circ$  width  $\circ$  nth-hole)
proof(rule iffD2, rule strict-mono-Suc-iff, intro allI)
  fix  $n$ 
  have is-hole (nth-hole  $n$ ) by (rule nth-hole-is-hole)
  thus (uminus  $\circ$  width  $\circ$  nth-hole)  $n < (\text{uminus} \circ \text{width} \circ \text{nth-hole}) (\text{Suc } n)$ 
    by(simp add: nth-hole-def next-hole-exist)
  qed
```

*local.nth-hole* is injective

```

lemma nth-hole-inj : inj nth-hole
proof –
  have strict-mono (uminus  $\circ$  width  $\circ$  nth-hole) by(rule nth-hole-strict-decreasing)
  hence inj (uminus  $\circ$  width  $\circ$  nth-hole) by(simp add: strict-mono-imp-inj-on)
  thus inj nth-hole by(simp add: inj-on-imageI2)
  qed
```

The image (range) of *local.nth-hole* is contained in  $C$

```

lemma nth-hole-in: nth-hole  $n \in C$ 
proof(cases n)
  case 0
    then show ?thesis by(simp add: hole-unit-cube nth-hole-def next-hole-exist)
  next
    case (Suc nat)
      then obtain  $m$  where  $n = \text{Suc } m$  by simp
      have is-hole (nth-hole  $m$ ) by(simp add: nth-hole-is-hole)
      hence next-hole (nth-hole  $m$ )  $\in C$  by(simp add: next-hole-exist)
      thus nth-hole  $n \in C$  by(simp add: nth-hole-def  $\langle n = \text{Suc } m \rangle$ )
  qed
```

Same as previous lemma, but written with a quantifier

```

lemma nth-hole-in-forall:  $\forall n . \text{nth-hole } n \in C$ 
by(simp add: nth-hole-in)
```

The assumption made in this context (*is-dissection C*) leads to *False* (since *local.nth-hole* generates an infinite subset of *C*)

**theorem** *false-if-dissection: False*

**proof**—

**have** *inj nth-hole by(rule nth-hole-inj)*

**moreover have**  $\forall n . \text{nth-hole } n \in C \text{ by(rule nth-hole-in-forall)}$

    — *local.nth-hole* injective, with infinite domain  $\mathbb{N}$ , and image contained in *C* together implies that *C* is infinite

**ultimately have** *infinite C by(metis finite-subset image-subset-iff range-inj-infinite)*

**moreover have** *finite C using dissection unfolding is-dissection-def by metis*

**ultimately show** *False by metis*

**qed**

**end** — Here we end the *is-dissection C* context

Main result (spelling out the definition of *is-dissection*).

**theorem** *dissection-does-not-exist:*

$\nexists C . (\forall c \in C . \text{is-valid } c)$

$\wedge \text{disjoint}(\text{image to-set } C)$

$\wedge \bigcup(\text{image to-set } C) = \text{to-set unit-cube}$

$\wedge \text{inj-on width } C$

$\wedge \text{card } C \geq 2$

$\wedge \text{finite } C$

**by**(*metis is-dissection-def false-if-dissection*)

**end**

## References

- [1] Formalizing 100 Theorems. <https://www.cs.ru.nl/~freek/100/>, accessed 2024-11-22.
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