

# Completeness of Decreasing Diagrams for the Least Uncountable Cardinality

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## Abstract

In [8] it was formally proved that the decreasing diagrams method [7] is sound for proving confluence: if a binary relation  $r$  has *LD* property defined in [8], then it has *CR* property defined in [6].

In this formal theory it is proved that if the cardinality of  $r$  does not exceed the first uncountable cardinal, then  $r$  has *CR* property if and only if  $r$  has *LD* property. As a consequence, the decreasing diagrams method is complete for proving confluence of relations of the least uncountable cardinality.

A paper that describes details of this proof has been submitted to the FSCD 2025 conference. This formalization extends formalizations [1, 5, 4, 2] and the paper [3].

## Contents

<b>1 Preliminaries</b>	<b>2</b>
1.1 Formal definition of finite levels of the DCR hierarchy . . . . .	2
1.1.1 Auxiliary definitions . . . . .	2
1.1.2 Result . . . . .	4
1.2 Completeness of the DCR3 method for proving confluence of relations of the least uncountable cardinality . . . . .	4
1.2.1 Auxiliary definitions . . . . .	4
1.2.2 Auxiliary lemmas . . . . .	9
1.2.3 Result . . . . .	40
1.3 Optimality of the DCR3 method for proving confluence of relations of the least uncountable cardinality . . . . .	40
1.3.1 Auxiliary definitions . . . . .	41
1.3.2 Auxiliary lemmas . . . . .	42
1.3.3 Result . . . . .	47
1.4 DCR implies LD Property . . . . .	48
1.4.1 Auxiliary definitions . . . . .	49
1.4.2 Auxiliary lemmas . . . . .	49
1.4.3 Result . . . . .	50

# 1 Preliminaries

## 1.1 Formal definition of finite levels of the DCR hierarchy

```
theory Finite-DCR-Hierarchy
imports Main
begin
```

### 1.1.1 Auxiliary definitions

**definition** *confl-rel*

where *confl-rel r*  $\equiv$   $(\forall a b c. (a,b) \in r^* \wedge (a,c) \in r^* \longrightarrow (\exists d. (b,d) \in r^* \wedge (c,d) \in r^*))$

**definition** *jn00 :: 'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn00 r0 b c*  $\equiv$   $(\exists d. (b,d) \in r0^= \wedge (c,d) \in r0^=)$

**definition** *jn01 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn01 r0 r1 b c*  $\equiv$   $(\exists b' d. (b,b') \in r1^= \wedge (b',d) \in r0^* \wedge (c,d) \in r0^*)$

**definition** *jn10 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn10 r0 r1 b c*  $\equiv$   $(\exists c' d. (b,d) \in r0^* \wedge (c,c') \in r1^= \wedge (c',d) \in r0^*)$

**definition** *jn11 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn11 r0 r1 b c*  $\equiv$   $(\exists b' b'' c' c'' d. (b,b') \in r0^* \wedge (b',b'') \in r1^= \wedge (b'',d) \in r0^*$   
 $\wedge (c,c') \in r0^* \wedge (c',c'') \in r1^= \wedge (c'',d) \in r0^*)$

**definition** *jn02 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn02 r0 r1 r2 b c*  $\equiv$   $(\exists b' d. (b,b') \in r2^= \wedge (b',d) \in (r0 \cup r1)^* \wedge (c,d) \in (r0 \cup r1)^*)$

**definition** *jn12 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn12 r0 r1 r2 b c*  $\equiv$   $(\exists b' b'' d. (b,b') \in (r0)^* \wedge (b',b'') \in r2^= \wedge (b'',d) \in (r0 \cup r1)^*$   
 $\wedge (c,d) \in (r0 \cup r1)^*)$

**definition** *jn22 :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool*

**where**

*jn22 r0 r1 r2 b c*  $\equiv$   $(\exists b' b'' c' c'' d. (b,b') \in (r0 \cup r1)^* \wedge (b',b'') \in r2^= \wedge (b'',d) \in (r0 \cup r1)^*)$

$$\wedge (c,c') \in (r0 \cup r1) \hat{*} \wedge (c',c'') \in r2 \hat{=} \wedge (c'',d) \\ \in (r0 \cup r1) \hat{*})$$

**definition**  $LD2 :: 'a rel \Rightarrow 'a rel \Rightarrow 'a rel \Rightarrow bool$   
**where**

$$LD2 r r0 r1 \equiv ( r = r0 \cup r1 \\ \wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r0 \longrightarrow jn00 r0 b c) \\ \wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r1 \longrightarrow jn01 r0 r1 b c) \\ \wedge (\forall a b c. (a,b) \in r1 \wedge (a,c) \in r1 \longrightarrow jn11 r0 r1 b c) )$$

**definition**  $LD3 :: 'a rel \Rightarrow 'a rel \Rightarrow 'a rel \Rightarrow 'a rel \Rightarrow bool$   
**where**

$$LD3 r r0 r1 r2 \equiv ( r = r0 \cup r1 \cup r2 \\ \wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r0 \longrightarrow jn00 r0 b c) \\ \wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r1 \longrightarrow jn01 r0 r1 b c) \\ \wedge (\forall a b c. (a,b) \in r1 \wedge (a,c) \in r1 \longrightarrow jn11 r0 r1 b c) \\ \wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r2 \longrightarrow jn02 r0 r1 r2 b c) \\ \wedge (\forall a b c. (a,b) \in r1 \wedge (a,c) \in r2 \longrightarrow jn12 r0 r1 r2 b c) \\ \wedge (\forall a b c. (a,b) \in r2 \wedge (a,c) \in r2 \longrightarrow jn22 r0 r1 r2 b c) )$$

**definition**  $DCR2 :: 'a rel \Rightarrow bool$   
**where**

$$DCR2 r \equiv ( \exists r0 r1. LD2 r r0 r1 )$$

**definition**  $DCR3 :: 'a rel \Rightarrow bool$   
**where**

$$DCR3 r \equiv ( \exists r0 r1 r2. LD3 r r0 r1 r2 )$$

**definition**  $\mathfrak{L}1 :: (nat \Rightarrow 'U rel) \Rightarrow nat \Rightarrow 'U rel$   
**where**

$$\mathfrak{L}1 g \alpha \equiv \bigcup \{A. \exists \alpha'. (\alpha' < \alpha) \wedge A = g \alpha'\}$$

**definition**  $\mathfrak{L}v :: (nat \Rightarrow 'U rel) \Rightarrow nat \Rightarrow nat \Rightarrow 'U rel$   
**where**

$$\mathfrak{L}v g \alpha \beta \equiv \bigcup \{A. \exists \alpha'. (\alpha' < \alpha \vee \alpha' < \beta) \wedge A = g \alpha'\}$$

**definition**  $\mathfrak{D} :: (nat \Rightarrow 'U rel) \Rightarrow nat \Rightarrow nat \Rightarrow ('U \times 'U \times 'U \times 'U) set$   
**where**

$$\mathfrak{D} g \alpha \beta = \{(b,b',b'',d). (b,b') \in (\mathfrak{L}1 g \alpha) \hat{*} \wedge (b',b'') \in (g \beta) \hat{=} \wedge (b'',d) \in (\mathfrak{L}v g \alpha \beta) \hat{*}\}$$

**definition**  $DCR\text{-generating} :: (nat \Rightarrow 'U rel) \Rightarrow bool$   
**where**

$$DCR\text{-generating } g \equiv (\forall \alpha \beta a b c. (a,b) \in (g \alpha) \wedge (a,c) \in (g \beta) \\ \longrightarrow (\exists b' b'' c' c'' d. (b,b',b'',d) \in (\mathfrak{D} g \alpha \beta) \wedge (c,c',c'',d) \in (\mathfrak{D} g \beta \alpha)))$$

### 1.1.2 Result

The next definition formalizes the condition “an ARS with a reduction relation  $r$  belongs to the class  $DCR_n$ ”, where  $n$  is a natural number.

```
definition DCR :: nat  $\Rightarrow$  'U rel  $\Rightarrow$  bool
where
  DCR n r  $\equiv$  ( $\exists$  g::(nat  $\Rightarrow$  'U rel). DCR-generating g  $\wedge$  r =  $\bigcup$  { r'.  $\exists$   $\alpha'$ .  $\alpha' < n \wedge r' = g \alpha'$  })
end
```

## 1.2 Completeness of the DCR3 method for proving confluence of relations of the least uncountable cardinality

```
theory DCR3-Method
```

```
imports
```

```
HOL-Cardinals.Cardinals
```

```
Abstract-Rewriting.Abstract-Rewriting
```

```
Finite-DCR-Hierarchy
```

```
begin
```

### 1.2.1 Auxiliary definitions

```
abbreviation  $\omega\text{-ord}$  where  $\omega\text{-ord} \equiv \text{natLeq}$ 
```

```
definition sc-ord::'U rel  $\Rightarrow$  'U rel  $\Rightarrow$  bool
where sc-ord  $\alpha \alpha' \equiv (\alpha <_o \alpha' \wedge (\forall \beta :: 'U rel. \alpha <_o \beta \longrightarrow \alpha' \leq_o \beta))$ 
```

```
definition lm-ord::'U rel  $\Rightarrow$  bool
where lm-ord  $\alpha \equiv \text{Well-order } \alpha \wedge \neg (\alpha = \{\} \vee \text{isSuccOrd } \alpha)$ 
```

```
definition nord :: 'U rel  $\Rightarrow$  'U rel where nord  $\alpha = (\text{SOME } \alpha' :: 'U rel. \alpha' =_o \alpha)$ 
```

```
definition O::'U rel set where O  $\equiv$  nord ` { $\alpha$ . Well-order  $\alpha$ }
```

```
definition oord::'U rel rel where oord  $\equiv (\text{Restr ordLeq } O)$ 
```

```
definition CCR :: 'U rel  $\Rightarrow$  bool
```

```
where
```

```
CCR r = ( $\forall a \in \text{Field } r. \forall b \in \text{Field } r. \exists c \in \text{Field } r. (a,c) \in r^* \wedge (b,c) \in r^*$ )
```

```
definition Conelike :: 'U rel  $\Rightarrow$  bool
```

```
where
```

```
Conelike r = ( $r = \{\} \vee (\exists m \in \text{Field } r. \forall a \in \text{Field } r. (a,m) \in r^*)$ )
```

```
definition dncl :: 'U rel  $\Rightarrow$  'U set  $\Rightarrow$  'U set
```

```
where
```

```
dncl r A = ((r^*)^{\neg 1}) `` A
```

```

definition Inv :: ' $U$  rel  $\Rightarrow$  ' $U$  set set
where
  Inv  $r = \{ A :: 'U \text{ set} . r `` A \subseteq A \}$ 

definition SF :: ' $U$  rel  $\Rightarrow$  ' $U$  set set
where
  SF  $r = \{ A :: 'U \text{ set}. \text{Field}(\text{Restr } r A) = A \}$ 

definition SCF::' $U$  rel  $\Rightarrow$  (' $U$  set) set where
  SCF  $r \equiv \{ B::('U \text{ set}) . B \subseteq \text{Field } r \wedge (\forall a \in \text{Field } r. \exists b \in B. (a,b) \in r^*) \}$ 

definition cfseq :: ' $U$  rel  $\Rightarrow$  (nat  $\Rightarrow$  ' $U$ )  $\Rightarrow$  bool
where
  cfseq  $r xi \equiv ((\forall a \in \text{Field } r. \exists i. (a, xi i) \in r^*) \wedge (\forall i. (xi i, xi (\text{Suc } i)) \in r))$ 

definition rpth :: ' $U$  rel  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$   $\Rightarrow$  nat  $\Rightarrow$  (nat  $\Rightarrow$  ' $U$ ) set
where
  rpth  $r a b n \equiv \{ f::(nat \Rightarrow 'U). f 0 = a \wedge f n = b \wedge (\forall i < n. (f i, f(\text{Suc } i)) \in r) \}$ 

definition F :: ' $U$  rel  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$  set set
where
  F  $r a b \equiv \{ F::'U \text{ set}. \exists n::nat. \exists f \in \text{rpth } r a b n. F = f``\{i. i \leq n\} \}$ 

definition f :: ' $U$  rel  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$  set
where
  f  $r a b \equiv (\text{if } (F r a b \neq \{\}) \text{ then } (\text{SOME } F. F \in \text{F } r a b) \text{ else } \{\})$ 

definition dnEsc :: ' $U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$  set set
where
  dnEsc  $r A a \equiv \{ F. \exists b. ((b \notin \text{dncl } r A) \wedge (F \in \text{F } r a b) \wedge (F \cap A = \{\})) \}$ 

definition dnesc :: ' $U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$  set
where
  dnesc  $r A a = (\text{if } (dnEsc r A a \neq \{\}) \text{ then } (\text{SOME } F. F \in dnEsc r A a) \text{ else } \{ a \})$ 

definition escl :: ' $U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set
where
  escl  $r A B = \bigcup ((dnesc r A) `` B)$ 

definition clterm where clterm  $s' r \equiv (\text{Conelike } s' \longrightarrow \text{Conelike } r)$ 

definition spthlen::' $U$  rel  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$   $\Rightarrow$  nat
where
  spthlen  $r a b \equiv (\text{LEAST } n::nat. (a,b) \in r^{\sim n})$ 

definition spth :: ' $U$  rel  $\Rightarrow$  ' $U$   $\Rightarrow$  ' $U$   $\Rightarrow$  (nat  $\Rightarrow$  ' $U$ ) set
where

```

*sptth r a b = rpth r a b (spthlen r a b)*

**definition**  $\mathfrak{U} :: 'U \text{ rel} \Rightarrow ('U \text{ rel}) \text{ set}$  **where**

$\mathfrak{U} r \equiv \{ s :: ('U \text{ rel}) . CCR s \wedge s \subseteq r \wedge (\forall a \in \text{Field } r. \exists b \in \text{Field } s. (a, b) \in r^*) \}$

**definition**  $RCC\text{-rel} :: 'U \text{ rel} \Rightarrow 'U \text{ rel} \Rightarrow \text{bool}$  **where**

$RCC\text{-rel } r \alpha \equiv (\mathfrak{U} r = \{ \} \wedge \alpha = \{ \}) \vee (\exists s \in \mathfrak{U} r. |s| = o \alpha \wedge (\forall s' \in \mathfrak{U} r. |s| \leq o |s'|))$

**definition**  $RCC :: 'U \text{ rel} \Rightarrow 'U \text{ rel} (\|\cdot\|)$

**where**  $\|r\| \equiv (\text{SOME } \alpha. RCC\text{-rel } r \alpha)$

**definition**  $Den :: 'U \text{ rel} \Rightarrow ('U \text{ set}) \text{ set}$  **where**

$Den r \equiv \{ B :: ('U \text{ set}) . B \subseteq \text{Field } r \wedge (\forall a \in \text{Field } r. \exists b \in B. (a, b) \in r^=) \}$

**definition**  $Span :: 'U \text{ rel} \Rightarrow ('U \text{ rel}) \text{ set}$  **where**

$Span r \equiv \{ s. s \subseteq r \wedge \text{Field } s = \text{Field } r \}$

**definition**  $scf\text{-rel} :: 'U \text{ rel} \Rightarrow 'U \text{ rel} \Rightarrow \text{bool}$  **where**

$scf\text{-rel } r \alpha \equiv (\exists B \in SCF r. |B| = o \alpha \wedge (\forall B' \in SCF r. |B| \leq o |B'|))$

**definition**  $scf :: 'U \text{ rel} \Rightarrow 'U \text{ rel}$

**where**  $scf r \equiv (\text{SOME } \alpha. scf\text{-rel } r \alpha)$

**definition**  $w\text{-dncl} :: 'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set}$

**where**

$w\text{-dncl } r A = \{ a \in dncl r A. \forall b. \forall F \in \mathcal{F} r a b. (b \notin dncl r A \longrightarrow F \cap A \neq \{ \}) \}$

**definition**  $\mathfrak{L} :: ('U \text{ rel} \Rightarrow 'U \text{ set}) \Rightarrow 'U \text{ rel} \Rightarrow 'U \text{ set}$

**where**

$\mathfrak{L} f \alpha \equiv \bigcup \{ A. \exists \alpha'. \alpha' < o \alpha \wedge A = f \alpha' \}$

**definition**  $Dbk :: ('U \text{ rel} \Rightarrow 'U \text{ set}) \Rightarrow 'U \text{ rel} \Rightarrow 'U \text{ set} (\nabla \dots)$

**where**

$\nabla f \alpha \equiv f \alpha - (\mathfrak{L} f \alpha)$

**definition**  $\mathcal{Q} :: 'U \text{ rel} \Rightarrow ('U \text{ rel} \Rightarrow 'U \text{ set}) \Rightarrow 'U \text{ rel} \Rightarrow 'U \text{ set}$

**where**

$\mathcal{Q} r f \alpha \equiv (f \alpha - (dncl r (\mathfrak{L} f \alpha)))$

**definition**  $\mathcal{W} :: 'U \text{ rel} \Rightarrow ('U \text{ rel} \Rightarrow 'U \text{ set}) \Rightarrow 'U \text{ rel} \Rightarrow 'U \text{ set}$

**where**

$\mathcal{W} r f \alpha \equiv (f \alpha - (w\text{-dncl } r (\mathfrak{L} f \alpha)))$

**definition**  $\mathcal{N}1 :: 'U \text{ rel} \Rightarrow 'U \text{ rel} \Rightarrow ('U \text{ rel} \Rightarrow 'U \text{ set}) \text{ set}$

**where**

$\mathcal{N}1 r \alpha 0 \equiv \{ f . \forall \alpha \alpha'. (\alpha \leq o \alpha 0 \wedge \alpha' \leq o \alpha) \longrightarrow (f \alpha') \subseteq (f \alpha) \}$

**definition**  $\mathcal{N}2:: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}2 r \alpha 0 \equiv \{ f . \forall \alpha. (\alpha \leq_o \alpha 0 \wedge \neg(\alpha = \{\}) \vee isSuccOrd \alpha) \longrightarrow (\nabla f \alpha) = \{\} \}$

**definition**  $\mathcal{N}3:: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}3 r \alpha 0 \equiv \{ f . \forall \alpha. (\alpha \leq_o \alpha 0 \wedge (\alpha = \{\}) \vee isSuccOrd \alpha) \longrightarrow (\omega\text{-ord} \leq_o |\mathfrak{L} f \alpha| \longrightarrow ((escl r (\mathfrak{L} f \alpha)) (f \alpha) \subseteq (f \alpha)) \wedge (clterm (Restr r (f \alpha)) r))) \}$

**definition**  $\mathcal{N}4:: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}4 r \alpha 0 \equiv \{ f . \forall \alpha. (\alpha \leq_o \alpha 0 \wedge (\alpha = \{\}) \vee isSuccOrd \alpha) \longrightarrow (\forall a \in (\mathfrak{L} f \alpha). (r^{\omega\text{-ord}}[a] \subseteq w\text{-dncl } r (\mathfrak{L} f \alpha)) \vee (r^{\omega\text{-ord}}[a] \cap (\mathcal{W} r f \alpha) \neq \{\})) \}$

**definition**  $\mathcal{N}5 :: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}5 r \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq_o \alpha 0 \longrightarrow (f \alpha) \in SF r \}$

**definition**  $\mathcal{N}6 :: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}6 r \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq_o \alpha 0 \longrightarrow CCR (Restr r (f \alpha)) \}$

**definition**  $\mathcal{N}7 :: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}7 r \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq_o \alpha 0 \longrightarrow (\alpha <_o \omega\text{-ord} \longrightarrow |f \alpha| <_o \omega\text{-ord}) \wedge (\omega\text{-ord} \leq_o \alpha \longrightarrow |f \alpha| \leq_o \alpha) \}$

**definition**  $\mathcal{N}8 :: 'U rel \Rightarrow 'U set set \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}8 r Ps \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq_o \alpha 0 \wedge (\alpha = \{\}) \vee isSuccOrd \alpha \wedge ((\exists P. Ps = \{P\}) \vee (\neg finite Ps \wedge |Ps| \leq_o |f \alpha|)) \longrightarrow (\forall P \in Ps. ((f \alpha) \cap P) \in SCF (Restr r (f \alpha))) \}$

**definition**  $\mathcal{N}9 :: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}9 r \alpha 0 \equiv \{ f . \omega\text{-ord} \leq_o \alpha 0 \longrightarrow Field r \subseteq (f \alpha 0) \}$

**definition**  $\mathcal{N}10 :: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}10 r \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq_o \alpha 0 \longrightarrow ((\exists y : 'U. \mathcal{Q} r f \alpha = \{y\}) \longrightarrow (Field r \subseteq dncl r (f \alpha))) \}$

**definition**  $\mathcal{N}11:: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**  
 $\mathcal{N}11 r \alpha 0 \equiv \{ f . \forall \alpha. (\alpha \leq_o \alpha 0 \wedge isSuccOrd \alpha) \longrightarrow \mathcal{Q} r f \alpha = \{\} \longrightarrow (Field$

$r \subseteq dncl r (f \alpha)) \}$

**definition**  $\mathcal{N}12:: 'U rel \Rightarrow 'U rel \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**

$$\mathcal{N}12 r \alpha 0 \equiv \{ f . \forall \alpha. \alpha \leq o \alpha 0 \rightarrow \omega\text{-}ord \leq o \alpha \rightarrow \omega\text{-}ord \leq o |\mathfrak{L} f \alpha| \}$$

**definition**  $\mathcal{N} :: 'U rel \Rightarrow 'U set set \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**

$$\begin{aligned} \mathcal{N} r Ps \equiv & \{ f \in (\mathcal{N}1 r |Field r|) \cap (\mathcal{N}2 r |Field r|) \cap (\mathcal{N}3 r |Field r|) \cap (\mathcal{N}4 \\ & r |Field r|) \\ & \cap (\mathcal{N}5 r |Field r|) \cap (\mathcal{N}6 r |Field r|) \cap (\mathcal{N}7 r |Field r|) \cap (\mathcal{N}8 r Ps \\ & |Field r|) \\ & \cap (\mathcal{N}9 r |Field r| \cap \mathcal{N}10 r |Field r| \cap \mathcal{N}11 r |Field r| \cap \mathcal{N}12 r |Field r|). \\ & (\forall \alpha \beta. \alpha = o \beta \rightarrow f \alpha = f \beta) \} \end{aligned}$$

**definition**  $\mathcal{T} :: ('U rel \Rightarrow 'U set \Rightarrow 'U set) \Rightarrow ('U rel \Rightarrow 'U set) set$   
**where**

$$\begin{aligned} \mathcal{T} F \equiv & \{ f :: 'U rel \Rightarrow 'U set . \\ & f \{\} = \{\} \\ & \wedge (\forall \alpha 0 \alpha :: 'U rel. (sc\text{-}ord \alpha 0 \alpha \rightarrow f \alpha = F \alpha 0 (f \alpha 0))) \\ & \wedge (\forall \alpha. (lm\text{-}ord \alpha \rightarrow f \alpha = \bigcup \{ D. \exists \beta. \beta < o \alpha \wedge D = f \beta \})) \\ & \wedge (\forall \alpha \beta. \alpha = o \beta \rightarrow f \alpha = f \beta) \} \end{aligned}$$

**definition**  $\mathcal{E}p$  **where**  $\mathcal{E}p r Ps A A' \equiv$

$$((\exists P. Ps = \{P\}) \vee ((\neg finite Ps) \wedge |Ps| \leq o |A|)) \rightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r A'))$$

**definition**  $\mathcal{E} :: 'U rel \Rightarrow 'U \Rightarrow 'U set \Rightarrow 'U set set \Rightarrow 'U set set$   
**where**

$$\begin{aligned} \mathcal{E} r a A Ps \equiv & \{ A' . \\ & (a \in Field r \rightarrow a \in A') \wedge A \subseteq A' \\ & \wedge (|A| < o \omega\text{-}ord \rightarrow |A'| < o \omega\text{-}ord) \wedge (\omega\text{-}ord \leq o |A| \rightarrow |A'| \leq o |A|) \\ & \wedge (A \in SF r \rightarrow ( \\ & \quad A' \in SF r \\ & \quad \wedge CCR (Restr r A') \\ & \quad \wedge (\forall a \in A. (r''\{a\} \subseteq w\text{-}dncl r A) \vee (r''\{a\} \cap (A' - w\text{-}dncl r A) \neq \{\})) \\ & ) \\ & \wedge ((\exists y. A' - dncl r A \subseteq \{y\}) \rightarrow (Field r \subseteq (dncl r A'))) \\ & \wedge \mathcal{E}p r Ps A A' \\ & \wedge (\omega\text{-}ord \leq o |A| \rightarrow escl r A A' \subseteq A' \wedge clterm (Restr r A') r)) \} \end{aligned}$$

**definition**  $wbase:: 'U rel \Rightarrow 'U set \Rightarrow ('U set) set$  **where**  
 $wbase r A \equiv \{ B :: 'U set. A \subseteq w\text{-}dncl r B \}$

**definition**  $wrank-rel :: 'U rel \Rightarrow 'U set \Rightarrow 'U rel \Rightarrow bool$  **where**

$$wrank-rel r A \alpha \equiv (\exists B \in wbase r A. |B| = o \alpha \wedge (\forall B' \in wbase r A. |B| \leq o |B'|))$$

**definition**  $wrank :: 'U rel \Rightarrow 'U set \Rightarrow 'U rel$

**where**  $wrank\ r\ A \equiv (\text{SOME } \alpha. wrank\text{-rel}\ r\ A\ \alpha)$

**definition**  $Mwn :: 'U\ rel \Rightarrow 'U\ rel \Rightarrow 'U\ set$

**where**

$$Mwn\ r\ \alpha = \{ a \in \text{Field}\ r. \alpha <_o wrank\ r\ (r\ ``\{a\}) \}$$

**definition**  $Mwnm :: 'U\ rel \Rightarrow 'U\ set$

**where**

$$Mwnm\ r = \{ a \in \text{Field}\ r. \|r\| \leq_o wrank\ r\ (r\ ``\{a\}) \}$$

**definition**  $wesc\text{-rel} :: 'U\ rel \Rightarrow ('U\ rel \Rightarrow 'U\ set) \Rightarrow 'U\ rel \Rightarrow 'U \Rightarrow 'U \Rightarrow \text{bool}$

**where**

$$\begin{aligned} wesc\text{-rel}\ r\ f\ \alpha\ a\ b &\equiv (b \in \mathcal{W}\ r\ f\ \alpha \wedge (a, b) \in (\text{Restr}\ r\ (\mathcal{W}\ r\ f\ \alpha))^{\widehat{*}} \\ &\quad \wedge (\forall \beta. \alpha <_o \beta \wedge \beta <_o |\text{Field}\ r| \wedge (\beta = \{\} \vee \text{isSuccOrd}\ \beta) \longrightarrow (r``\{b\} \cap (\mathcal{W}\ r\ f\ \beta) \neq \{\})) ) \end{aligned}$$

**definition**  $wesc :: 'U\ rel \Rightarrow ('U\ rel \Rightarrow 'U\ set) \Rightarrow 'U\ rel \Rightarrow 'U \Rightarrow 'U$

**where**

$$wesc\ r\ f\ \alpha\ a \equiv (\text{SOME } b. wesc\text{-rel}\ r\ f\ \alpha\ a\ b)$$

**definition**  $cardLeN1 :: 'a\ set \Rightarrow \text{bool}$

**where**

$$\begin{aligned} cardLeN1\ A &\equiv (\forall B \subseteq A. \\ &\quad (\forall C \subseteq B. ((\exists D. f. D \subset C \wedge C \subseteq f`D) \longrightarrow (\exists f. B \subseteq f`C))) \\ &\quad \vee (\exists g. A \subseteq g`B)) ) \end{aligned}$$

### 1.2.2 Auxiliary lemmas

**lemma**  $\text{lem-Ldo-loden-ord}:$

**assumes**  $\forall \alpha\ \beta\ a\ b\ c. \alpha \leq \beta \longrightarrow (a, b) \in g\ \alpha \wedge (a, c) \in g\ \beta \longrightarrow$   
 $(\exists b'\ b''\ c'\ c''. d. (b, b', b'', d) \in \mathfrak{D}\ g\ \alpha\ \beta \wedge (c, c', c'', d) \in \mathfrak{D}\ g\ \beta\ \alpha)$

**shows**  $DCR\text{-generating } g$

$\langle \text{proof} \rangle$

**lemma**  $\text{lem-rtr-field}: (x, y) \in r^{\widehat{*}} \implies (x = y) \vee (x \in \text{Field}\ r \wedge y \in \text{Field}\ r)$

$\langle \text{proof} \rangle$

**lemma**  $\text{lem-fin-fl-rel}: \text{finite } (\text{Field}\ r) = \text{finite } r$

$\langle \text{proof} \rangle$

**lemma**  $\text{lem-Relprop-fld-sat}:$

**fixes**  $r\ s :: 'U\ rel$

**assumes**  $a1: s \subseteq r \text{ and } a2: s' = \text{Restr}\ r\ (\text{Field}\ s)$

**shows**  $s \subseteq s' \wedge \text{Field}\ s' = \text{Field}\ s$

$\langle \text{proof} \rangle$

**lemma**  $\text{lem-Relprop-sat-un}:$

**fixes**  $r :: 'U\ rel \text{ and } S :: 'U\ set \text{ set and } A' :: 'U\ set$

**assumes**  $a1: \forall A \in S. \text{Field}\ (\text{Restr}\ r\ A) = A \text{ and } a2: A' = \bigcup S$

**shows** *Field* (*Restr r A'*) = *A'*  
 $\langle proof \rangle$

**lemma** *lem-nord-r*: *Well-order*  $\alpha \implies \text{nord } \alpha =_o \alpha$   $\langle proof \rangle$

**lemma** *lem-nord-l*: *Well-order*  $\alpha \implies \alpha =_o \text{nord } \alpha$   $\langle proof \rangle$

**lemma** *lem-nord-eq*:  $\alpha =_o \beta \implies \text{nord } \alpha = \text{nord } \beta$   $\langle proof \rangle$

**lemma** *lem-nord-req*: *Well-order*  $\alpha \implies \text{Well-order } \beta \implies \text{nord } \alpha = \text{nord } \beta \implies \alpha =_o \beta$   
 $\langle proof \rangle$

**lemma** *lem-Onord*:  $\alpha \in \mathcal{O} \implies \alpha = \text{nord } \alpha$   $\langle proof \rangle$

**lemma** *lem-Oeq*:  $\alpha \in \mathcal{O} \implies \beta \in \mathcal{O} \implies \alpha =_o \beta \implies \alpha = \beta$   $\langle proof \rangle$

**lemma** *lem-Owo*:  $\alpha \in \mathcal{O} \implies \text{Well-order } \alpha$   $\langle proof \rangle$

**lemma** *lem-fld-oord*: *Field* *oord* =  $\mathcal{O}$   $\langle proof \rangle$

**lemma** *lem-nord-less*:  $\alpha <_o \beta \implies \text{nord } \beta \neq \text{nord } \alpha \wedge (\text{nord } \alpha, \text{nord } \beta) \in \text{oord}$   
 $\langle proof \rangle$

**lemma** *lem-nord-ls*:  $\alpha <_o \beta \implies \text{nord } \alpha <_o \text{nord } \beta$   
 $\langle proof \rangle$

**lemma** *lem-nord-le*:  $\alpha \leq_o \beta \implies \text{nord } \alpha \leq_o \text{nord } \beta$   
 $\langle proof \rangle$

**lemma** *lem-nordO-ls-l*:  $\alpha <_o \beta \implies \text{nord } \alpha \in \mathcal{O}$   $\langle proof \rangle$

**lemma** *lem-nordO-ls-r*:  $\alpha <_o \beta \implies \text{nord } \beta \in \mathcal{O}$   $\langle proof \rangle$

**lemma** *lem-nordO-le-l*:  $\alpha \leq_o \beta \implies \text{nord } \alpha \in \mathcal{O}$   $\langle proof \rangle$

**lemma** *lem-nordO-le-r*:  $\alpha \leq_o \beta \implies \text{nord } \beta \in \mathcal{O}$   $\langle proof \rangle$

**lemma** *lem-nord-ls-r*:  $\alpha <_o \beta \implies \alpha <_o \text{nord } \beta$   
 $\langle proof \rangle$

**lemma** *lem-nord-ls-l*:  $\alpha <_o \beta \implies \text{nord } \alpha <_o \beta$   
 $\langle proof \rangle$

**lemma** *lem-nord-le-r*:  $\alpha \leq_o \beta \implies \alpha \leq_o \text{nord } \beta$   
 $\langle proof \rangle$

**lemma** *lem-nord-le-l*:  $\alpha \leq_o \beta \implies \text{nord } \alpha \leq_o \beta$   
 $\langle proof \rangle$

```

lemma lem-oord-wo: Well-order oord
⟨proof⟩

lemma lem-lmord-inf:
fixes α::'U rel
assumes lm-ord α
shows ¬ finite (Field α)
⟨proof⟩

lemma lem-sucord-ex:
fixes α β::'U rel
assumes α <o β
shows ∃ α'::'U rel. sc-ord α α'
⟨proof⟩

lemma lem-osucc-eq: isSuccOrd α ⇒ α =o β ⇒ isSuccOrd β
⟨proof⟩

lemma lem-ord-subemp: (α::'a rel) ≤o ({}::'b rel) ⇒ α = {}
⟨proof⟩

lemma lem-ordint-sucord:
fixes α0::'a rel and α::'b rel
assumes α0 <o α ∧ (∀ γ::'b rel. α0 <o γ → α ≤o γ)
shows isSuccOrd α
⟨proof⟩

lemma lem-sucord-ordint:
fixes α::'U rel
assumes Well-order α ∧ isSuccOrd α
shows ∃ α0::'U rel. α0 <o α ∧ (∀ γ::'U rel. α0 <o γ → α ≤o γ)
⟨proof⟩

lemma lem-sclm-ordind:
fixes P::'U rel ⇒ bool
assumes a1: P {}
and a2: ∀ α0 α::'U rel. (sc-ord α0 α ∧ P α0 → P α)
and a3: ∀ α. ((lm-ord α ∧ (∀ β. β <o α → P β)) → P α)
shows ∀ α. Well-order α → P α
⟨proof⟩

lemma lem-ordseq-rec-sets:
fixes E::'U set and F::'U rel ⇒ 'U set ⇒ 'U set
assumes ∀ α β. α =o β → F α = F β
shows ∃ f::('U rel ⇒ 'U set).
    f {} = E
    ∧ (∀ α0 α::'U rel. (sc-ord α0 α → f α = F α0 (f α0)))
    ∧ (∀ α. lm-ord α → f α = ∪ { D. ∃ β. β <o α ∧ D = f β })

```

$\wedge (\forall \alpha \beta. \alpha =o \beta \longrightarrow f \alpha = f \beta)$   
 $\langle proof \rangle$

**lemma** *lem-lmord-prec*:  
**fixes**  $\alpha::'a$  rel **and**  $\alpha'::'b$  rel  
**assumes**  $a1: \alpha' <_o \alpha$  **and**  $a2: isLimOrd \alpha$   
**shows**  $\exists \beta::('a rel). \alpha' <_o \beta \wedge \beta <_o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-inford-ge-w*:  
**fixes**  $\alpha::'U$  rel  
**assumes** Well-order  $\alpha$  **and**  $\neg finite(Field \alpha)$   
**shows**  $\omega\text{-ord} \leq_o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-ge-w-inford*:  
**fixes**  $\alpha::'U$  rel  
**assumes**  $\omega\text{-ord} \leq_o \alpha$   
**shows**  $\neg finite(Field \alpha)$   
 $\langle proof \rangle$

**lemma** *lem-fin-card*:  $finite |A| = finite A$   
 $\langle proof \rangle$

**lemma** *lem-cardord-emp*: Card-order  $(\{\}::'U$  rel)  
 $\langle proof \rangle$

**lemma** *lem-card-emprel*:  $|\{\}::'U$  rel $| =o |\{\}::'U$  rel $|$   
 $\langle proof \rangle$

**lemma** *lem-cord-lin*: Card-order  $\alpha \implies$  Card-order  $\beta \implies (\alpha \leq_o \beta) = (\neg (\beta <_o \alpha))$   
 $\langle proof \rangle$

**lemma** *lem-co-one-ne-min*:  
**fixes**  $\alpha::'U$  rel **and**  $a::'a$   
**assumes** Well-order  $\alpha$  **and**  $\alpha \neq \{\}$   
**shows**  $|\{a\}| \leq_o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-rel-inf-fld-card*:  
**fixes**  $r::'U$  rel  
**assumes**  $\neg finite r$   
**shows**  $|Field r| =o |r|$   
 $\langle proof \rangle$

**lemma** *lem-cardreleq-cardflddeg-inf*:  
**fixes**  $r1 r2::'U$  rel  
**assumes**  $a1: |r1| =o |r2|$  **and**  $a2: \neg finite r1 \vee \neg finite r2$   
**shows**  $|Field r1| =o |Field r2|$

$\langle proof \rangle$

**lemma** *lem-card-un-bnd*:  
  **fixes**  $S::'a set set$  **and**  $\alpha::'U rel$   
  **assumes**  $a3: \forall A \in S. |A| \leq o \alpha$  **and**  $a4: |S| \leq o \alpha$  **and**  $a5: \omega\text{-ord} \leq o \alpha$   
  **shows**  $|\bigcup S| \leq o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-ord-suc-ge-w*:  
  **fixes**  $\alpha@ \alpha::'U rel$   
  **assumes**  $a1: \omega\text{-ord} \leq o \alpha$  **and**  $a2: sc\text{-ord} \alpha@ \alpha$   
  **shows**  $\omega\text{-ord} \leq o \alpha@$   
 $\langle proof \rangle$

**lemma** *lem-restr-ordbnd*:  
  **fixes**  $r::'U rel$  **and**  $A::'U set$  **and**  $\alpha::'U rel$   
  **assumes**  $a1: \omega\text{-ord} \leq o \alpha$  **and**  $a2: |A| \leq o \alpha$   
  **shows**  $|Restr r A| \leq o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-card-inf-lim*:  
  **fixes**  $r::'U rel$   
  **assumes**  $a1: Card\text{-order} \alpha$  **and**  $a2: \omega\text{-ord} \leq o \alpha$   
  **shows**  $\neg(\alpha = \{\}) \vee isSuccOrd \alpha$   
 $\langle proof \rangle$

**lemma** *lem-card-nreg-inf-osetlm*:  
  **fixes**  $\alpha::'U rel$   
  **assumes**  $a1: Card\text{-order} \alpha$  **and**  $a2: \neg regularCard \alpha$  **and**  $a3: \neg finite(Field \alpha)$   
  **shows**  $\exists S::'U rel set. |S| < o \alpha \wedge (\forall \alpha' \in S. \alpha' < o \alpha) \wedge (\forall \alpha'::'U rel. \alpha' < o \alpha \rightarrow (\exists \beta \in S. \alpha' \leq o \beta))$   
 $\langle proof \rangle$

**lemma** *lem-card-un-bnd-stab*:  
  **fixes**  $S::'a set set$  **and**  $\alpha::'U rel$   
  **assumes**  $stable \alpha$  **and**  $\forall A \in S. |A| < o \alpha$  **and**  $|S| < o \alpha$   
  **shows**  $|\bigcup S| < o \alpha$   
 $\langle proof \rangle$

**lemma** *lem-finwo-cardord*:  $finite \alpha \implies Well\text{-order} \alpha \implies Card\text{-order} \alpha$   
 $\langle proof \rangle$

**lemma** *lem-finwo-le-w*:  $finite \alpha \implies Well\text{-order} \alpha \implies \alpha < o natLeq$   
 $\langle proof \rangle$

**lemma** *lem-wolew-fin*:  $\alpha < o natLeq \implies finite \alpha$   
 $\langle proof \rangle$

**lemma** *lem-wolew-nat*:

**assumes**  $a1: \alpha <_o \text{natLeq}$  **and**  $a2: n = \text{card}(\text{Field } \alpha)$   
**shows**  $\alpha =_o (\text{natLeq-on } n)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-cntset-enum}: |A| =_o \text{natLeq} \implies (\exists f. A = f`(\text{UNIV}:\text{nat set}))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-oord-int-card-le-inf}:$   
**fixes**  $\alpha:U \text{ rel}$   
**assumes**  $\omega\text{-ord} \leq_o \alpha$   
**shows**  $|\{\gamma \in \mathcal{O}:U \text{ rel set}. \gamma <_o \alpha\}| \leq_o \alpha$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-oord-card-le-int-inf}:$   
**fixes**  $\alpha:U \text{ rel}$   
**assumes**  $a1: \text{Card-order } \alpha$  **and**  $a2: \omega\text{-ord} \leq_o \alpha$   
**shows**  $\alpha \leq_o |\{\gamma \in \mathcal{O}:U \text{ rel set}. \gamma <_o \alpha\}|$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-ord-int-card-le-inf}:$   
**fixes**  $\alpha:U \text{ rel}$  **and**  $f: U \text{ rel} \Rightarrow 'a$   
**assumes**  $\forall \alpha \beta. \alpha =_o \beta \implies f \alpha = f \beta$  **and**  $\omega\text{-ord} \leq_o \alpha$   
**shows**  $|f`|\{\gamma:U \text{ rel}. \gamma <_o \alpha\}| \leq_o \alpha$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-card-setcv-inf-stab}:$   
**fixes**  $\alpha:U \text{ rel}$  **and**  $A:U \text{ set}$   
**assumes**  $a1: \text{Card-order } \alpha$  **and**  $a2: \omega\text{-ord} \leq_o \alpha$  **and**  $a3: |A| \leq_o \alpha$   
**shows**  $\exists f:(U \text{ rel} \Rightarrow 'U). A \subseteq f`|\{\gamma:U \text{ rel}. \gamma <_o \alpha\}| \wedge (\forall \gamma_1 \gamma_2. \gamma_1 =_o \gamma_2 \implies f \gamma_1 = f \gamma_2)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-jnfix-gen}:$   
**fixes**  $I:i \text{ set}$  **and**  $leI:i \text{ rel}$  **and**  $L:l \text{ set}$   
**and**  $t:i \times l \Rightarrow 'i \Rightarrow 'n$  **and**  $jN:n \Rightarrow 'n \Rightarrow 'n$   
**assumes**  $a1: \neg \text{finite } L$   
**and**  $a2: |L| <_o |I|$   
**and**  $a3: \forall \alpha \in I. (\alpha, \alpha) \in leI$   
**and**  $a4: \forall \alpha \in I. \forall \beta \in I. \forall \gamma \in I. (\alpha, \beta) \in leI \wedge (\beta, \gamma) \in leI \implies (\alpha, \gamma) \in leI$   
**and**  $a5: \forall \alpha \in I. \forall \beta \in I. (\alpha, \beta) \in leI \vee (\beta, \alpha) \in leI$   
**and**  $a6: \forall \beta \in I. |\{\alpha \in I. (\alpha, \beta) \in leI\}| \leq_o |L|$   
**and**  $a7: \forall \alpha \in I. \exists \alpha' \in I. (\alpha, \alpha') \in leI \wedge (\alpha', \alpha) \notin leI$   
**shows**  $\exists h. \forall \alpha \in I. \forall \beta \in I. \forall i \in L. \forall j \in L. \exists \gamma \in I. (\alpha, \gamma) \in leI \wedge (\beta, \gamma) \in leI \wedge (\gamma, \alpha) \notin leI$   
 $\wedge (\gamma, \beta) \notin leI$   
 $\wedge h \gamma = jN(t(\alpha, i) \gamma) (t(\beta, j) \gamma)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lem-jnfix-card}:$   
**fixes**  $\kappa:U \text{ rel}$  **and**  $L:l \text{ set}$  **and**  $t:(U \text{ rel}) \times l \Rightarrow 'U \text{ rel} \Rightarrow 'n$  **and**  $jN:n \Rightarrow 'n$

```

 $\Rightarrow 'n$ 
and  $S::'U$  rel set
assumes a1: Card-order  $\kappa$  and a2:  $\neg$  finite  $L$  and a3:  $|L| <_o \kappa$ 
and a4:  $\forall \alpha \in S. |\text{Field } \alpha| \leq_o |L|$ 
and a5:  $S \subseteq \mathcal{O}$  and a6:  $|\{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha <_o \kappa\}| \leq_o |S|$ 
and a7:  $\forall \alpha \in S. \exists \beta \in S. \alpha <_o \beta$ 
shows  $\exists h. \forall \alpha \in S. \forall \beta \in S. \forall i \in L. \forall j \in L.$ 
 $(\exists \gamma \in S. \alpha <_o \gamma \wedge \beta <_o \gamma \wedge h \gamma = jnN(t(\alpha, i) \gamma) (t(\beta, j) \gamma))$ 
⟨proof⟩

lemma lem-cardsuc-ls-fldcard:
fixes  $\kappa::'a$  rel and  $\alpha::'b$  rel
assumes a1: Card-order  $\kappa$  and a2:  $\alpha <_o \text{cardSuc } \kappa$ 
shows  $|\text{Field } \alpha| \leq_o \kappa$ 
⟨proof⟩

lemma lem-jnfix-cardsuc:
fixes  $L::'l$  set and  $\kappa::'U$  rel and  $t::('U \text{ rel}) \times 'l \Rightarrow 'U \text{ rel} \Rightarrow 'n$  and  $jnN::'n \Rightarrow 'n$ 
 $\Rightarrow 'n$ 
and  $S::'U$  rel set
assumes a1:  $\neg$  finite  $L$  and a2:  $\kappa =_o \text{cardSuc } |L|$ 
and a3:  $S \subseteq \{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha <_o \kappa\}$  and a4:  $|\{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha <_o \kappa\}| \leq_o |S|$ 
and a5:  $\forall \alpha \in S. \exists \beta \in S. \alpha <_o \beta$ 
shows  $\exists h. \forall \alpha \in S. \forall \beta \in S. \forall i \in L. \forall j \in L.$ 
 $(\exists \gamma \in S. \alpha <_o \gamma \wedge \beta <_o \gamma \wedge h \gamma = jnN(t(\alpha, i) \gamma) (t(\beta, j) \gamma))$ 
⟨proof⟩

lemma lem-Relprop-cl-ccr:
fixes  $r::'U$  rel
shows Conelike  $r \implies CCR r$ 
⟨proof⟩

lemma lem-Relprop-ccr-conf:
fixes  $r::'U$  rel
shows  $CCR r \implies \text{conf-rel } r$ 
⟨proof⟩

lemma lem-Relprop-fin-ccr:
fixes  $r::'U$  rel
shows finite  $r \implies CCR r = \text{Conelike } r$ 
⟨proof⟩

lemma lem-Relprop-ccr-ch-un:
fixes  $S::'U$  rel set
assumes a1:  $\forall s \in S. CCR s$  and a2:  $\forall s_1 \in S. \forall s_2 \in S. s_1 \subseteq s_2 \vee s_2 \subseteq s_1$ 
shows  $CCR (\bigcup S)$ 
⟨proof⟩

```

```

lemma lem-Relprop-restr-ch-un:
fixes C::'U set set and r::'U rel
assumes  $\forall A1 \in C. \forall A2 \in C. A1 \subseteq A2 \vee A2 \subseteq A1$ 
shows Restr r ( $\bigcup C$ ) =  $\bigcup \{ s. \exists A \in C. s = \text{Restr } r A \}$ 
⟨proof⟩

lemma lem-Inv-restr-rtr:
fixes r::'U rel and A::'U set
assumes A ∈ Inv r
shows  $r^* \cap (A \times (\text{UNIV}::'U \text{ set})) \subseteq (\text{Restr } r A)^*$ 
⟨proof⟩

lemma lem-Inv-restr-rtr2:
fixes r::'U rel and A::'U set
assumes A ∈ Inv r
shows  $r^* \cap (A \times (\text{UNIV}::'U \text{ set})) \subseteq (\text{Restr } r A)^* \cap ((\text{UNIV}::'U \text{ set}) \times A)$ 
⟨proof⟩

lemma lem-inv-rtr-mem:
fixes r::'U rel and A::'U set and a b::'U
assumes A ∈ Inv r and a ∈ A and (a,b) ∈ r^*
shows b ∈ A
⟨proof⟩

lemma lem-Inv-ccr-restr:
fixes r::'U rel and A::'U set
assumes CCR r and A ∈ Inv r
shows CCR (Restr r A)
⟨proof⟩

lemma lem-Inv-cl-restr:
fixes r::'U rel and A::'U set
assumes Conelike r and A ∈ Inv r
shows Conelike (Restr r A)
⟨proof⟩

lemma lem-Inv-ccr-restr-invdif:
fixes r::'U rel and A B::'U set
assumes a1: CCR (Restr r A) and a2: B ∈ Inv (r^−1)
shows CCR (Restr r (A − B))
⟨proof⟩

lemma lem-Inv-dncl-invbk: dncl r A ∈ Inv (r^−1)
⟨proof⟩

lemma lem-inv-sf-ext:
fixes r::'U rel and A::'U set
assumes A ⊆ Field r
shows  $\exists A' \in SF r. A \subseteq A' \wedge (\text{finite } A \longrightarrow \text{finite } A') \wedge ((\neg \text{finite } A) \longrightarrow |A'| = o$ 

```

$|A|$ )  
 $\langle proof \rangle$

**lemma** lem-inv-sf-un:  
**assumes**  $S \subseteq SF r$   
**shows**  $(\bigcup S) \in SF r$   
 $\langle proof \rangle$

**lemma** lem-Inv-ccr-sf-inv-diff:  
**fixes**  $r::'U$  rel **and**  $A B::'U$  set  
**assumes** a1:  $A \in SF r$  **and** a2: CCR (Restr  $r A$ ) **and** a3:  $B \in Inv (r^{\wedge -1})$   
**shows**  $(A - B) \in SF r \vee (\exists y::'U. (A - B) = \{y\})$   
 $\langle proof \rangle$

**lemma** lem-Inv-ccr-sf-dn-diff:  
**fixes**  $r::'U$  rel **and**  $A D A'::'U$  set  
**assumes** a1:  $A \in SF r$  **and** a2: CCR (Restr  $r A$ ) **and** a3:  $A' = (A - (dncl r D))$   
**shows**  $((A' \in SF r) \wedge CCR (Restr r A')) \vee (\exists y::'U. A' = \{y\})$   
 $\langle proof \rangle$

**lemma** lem-rseq-tr:  
**fixes**  $r::'U$  rel **and**  $xi::nat \Rightarrow 'U$   
**assumes**  $\forall i. (xi i, xi (Suc i)) \in r$   
**shows**  $\forall i j. i < j \longrightarrow (xi i \in Field r \wedge (xi i, xi j) \in r^{\wedge +})$   
 $\langle proof \rangle$

**lemma** lem-rseq-rtr:  
**fixes**  $r::'U$  rel **and**  $xi::nat \Rightarrow 'U$   
**assumes**  $\forall i. (xi i, xi (Suc i)) \in r$   
**shows**  $\forall i j. i \leq j \longrightarrow (xi i \in Field r \wedge (xi i, xi j) \in r^{\wedge *})$   
 $\langle proof \rangle$

**lemma** lem-rseq-svacyc-inv-tr:  
**fixes**  $r::'U$  rel **and**  $xi::nat \Rightarrow 'U$  **and**  $a::'U$   
**assumes** a1: single-valued  $r$  **and** a2:  $\forall i. (xi i, xi (Suc i)) \in r$   
**shows**  $\bigwedge i. (xi i, a) \in r^{\wedge +} \implies (\exists j. i < j \wedge a = xi j)$   
 $\langle proof \rangle$

**lemma** lem-rseq-svacyc-inv-rtr:  
**fixes**  $r::'U$  rel **and**  $xi::nat \Rightarrow 'U$  **and**  $a::'U$   
**assumes** a1: single-valued  $r$  **and** a2:  $\forall i. (xi i, xi (Suc i)) \in r$   
**shows**  $\bigwedge i. (xi i, a) \in r^{\wedge *} \implies (\exists j. i \leq j \wedge a = xi j)$   
 $\langle proof \rangle$

**lemma** lem-ccrsrv-cfseq:  
**fixes**  $r::'U$  rel  
**assumes** a1:  $r \neq \{\}$  **and** a2: CCR  $r$  **and** a3: single-valued  $r$  **and** a4:  $\forall x \in Field r. r^{“\{x\}} \neq \{\}$   
**shows**  $\exists xi. cfseq r xi$

$\langle proof \rangle$

**lemma** *lem-cfseq-fld*:  $cfseq r xi \implies xi \cdot UNIV \subseteq Field r$   
 $\langle proof \rangle$

**lemma** *lem-cfseq-inv*:  $cfseq r xi \implies single-valued r \implies xi \cdot UNIV \in Inv r$   
 $\langle proof \rangle$

**lemma** *lem-scfinv-scf-int*:  $A \in SCF r \cap Inv r \implies B \in SCF r \implies (A \cap B) \in SCF r$   
 $\langle proof \rangle$

**lemma** *lem-scf-minr*:  $a \in Field r \implies B \in SCF r \implies \exists b \in B. (a, b) \in (r \cap ((UNIV - B) \times UNIV))^{\hat{*}}$   
 $\langle proof \rangle$

**lemma** *lem-cfseq-ncl*:  
fixes  $r::'U$  rel and  $xi::nat \Rightarrow 'U$   
assumes  $a1: cfseq r xi$  and  $a2: \neg Conelike r$   
shows  $\forall n. \exists k. n \leq k \wedge (xi(Suc k), xi k) \notin r^{\hat{*}}$   
 $\langle proof \rangle$

**lemma** *lem-cfseq-inj*:  
fixes  $r::'U$  rel and  $xi::nat \Rightarrow 'U$   
assumes  $a1: cfseq r xi$  and  $a2: acyclic r$   
shows  $inj xi$   
 $\langle proof \rangle$

**lemma** *lem-cfseq-rmon*:  
fixes  $r::'U$  rel and  $xi::nat \Rightarrow 'U$   
assumes  $a1: cfseq r xi$  and  $a2: single-valued r$  and  $a3: acyclic r$   
shows  $\forall i j. (xi i, xi j) \in r^{\hat{+}} \longrightarrow i < j$   
 $\langle proof \rangle$

**lemma** *lem-rseq-hd*:  
assumes  $\forall i < n. (f i, f (Suc i)) \in r$   
shows  $\forall i \leq n. (f 0, f i) \in r^{\hat{*}}$   
 $\langle proof \rangle$

**lemma** *lem-rseq-tl*:  
assumes  $\forall i < n. (f i, f (Suc i)) \in r$   
shows  $\forall i \leq n. (f i, f n) \in r^{\hat{*}}$   
 $\langle proof \rangle$

**lemma** *lem-ccext-ntr-rpth*:  $(a, b) \in r^{\hat{n}} = (rpth r a b n \neq \{\})$   
 $\langle proof \rangle$

**lemma** *lem-ccext-rtr-rpth*:  $(a, b) \in r^{\hat{*}} \implies \exists n. rpth r a b n \neq \{\}$   
 $\langle proof \rangle$

```

lemma lem-ccext-rpth-rtr: rpth r a b n ≠ {}  $\Rightarrow$  (a,b) ∈ r $^*$ 
  ⟨proof⟩

lemma lem-ccext-rtr-Fne:
  fixes r::'U rel and a b::'U
  shows (a,b) ∈ r $^*$  = (F r a b ≠ {})
  ⟨proof⟩

lemma lem-ccext-fprop: F r a b ≠ {}  $\Rightarrow$  f r a b ∈ F r a b ⟨proof⟩

lemma lem-ccext-ffin: finite (f r a b)
  ⟨proof⟩

lemma lem-ccr-fin-subr-ext:
  fixes r s::'U rel
  assumes a1: CCR r and a2: s ⊆ r and a3: finite s
  shows ∃ s'::('U rel). finite s'  $\wedge$  CCR s'  $\wedge$  s ⊆ s'  $\wedge$  s' ⊆ r
  ⟨proof⟩

lemma lem-Ccext-fint:
  fixes r s::'U rel and a b::'U
  assumes a1: Restr r (f r a b) ⊆ s and a2: (a,b) ∈ r $^*$ 
  shows {a, b} ⊆ f r a b  $\wedge$  (∀ c ∈ f r a b. (a,c) ∈ s $^*$   $\wedge$  (c,b) ∈ s $^*$ )
  ⟨proof⟩

lemma lem-Ccext-subccr-eqfld:
  fixes r r'::'U rel
  assumes CCR r and r ⊆ r' and Field r' = Field r
  shows CCR r'
  ⟨proof⟩

lemma lem-Ccext-finsubccr-pext:
  fixes r s::'U rel and x::'U
  assumes a1: CCR r and a2: s ⊆ r and a3: finite s and a5: x ∈ Field r
  shows ∃ s'::('U rel). finite s'  $\wedge$  CCR s'  $\wedge$  s ⊆ s'  $\wedge$  s' ⊆ r  $\wedge$  x ∈ Field s'
  ⟨proof⟩

lemma lem-Ccext-finsubccr-dext:
  fixes r::'U rel and A::'U set
  assumes a1: CCR r and a2: A ⊆ Field r and a3: finite A
  shows ∃ s::('U rel). finite s  $\wedge$  CCR s  $\wedge$  s ⊆ r  $\wedge$  A ⊆ Field s
  ⟨proof⟩

lemma lem-Ccext-infsubccr-pext:
  fixes r s::'U rel and x::'U
  assumes a1: CCR r and a2: s ⊆ r and a3:  $\neg$  finite s and a5: x ∈ Field r
  shows ∃ s'::('U rel). CCR s'  $\wedge$  s ⊆ s'  $\wedge$  s' ⊆ r  $\wedge$  |s'| =o |s|  $\wedge$  x ∈ Field s'
  ⟨proof⟩

```

```

lemma lem-Ccext-finsubccr-set-ext:
fixes r s::'U rel and A::'U set
assumes a1: CCR r and a2:  $s \subseteq r$  and a3: finite s and a4:  $A \subseteq \text{Field } r$  and
a5: finite A
shows  $\exists s'::('U \text{ rel}). \text{CCR } s' \wedge s \subseteq s' \wedge s' \subseteq r \wedge \text{finite } s' \wedge A \subseteq \text{Field } s'$ 
⟨proof⟩

lemma lem-Ccext-infsubccr-set-ext:
fixes r s::'U rel and A::'U set
assumes a1: CCR r and a2:  $s \subseteq r$  and a3:  $\neg \text{finite } s$  and a4:  $A \subseteq \text{Field } r$  and
a5:  $|A| \leq o |\text{Field } s|$ 
shows  $\exists s'::('U \text{ rel}). \text{CCR } s' \wedge s \subseteq s' \wedge s' \subseteq r \wedge |s'| = o |s| \wedge A \subseteq \text{Field } s'$ 
⟨proof⟩

lemma lem-Ccext-finsubccr-pext5:
fixes r::'U rel and A B::'U set and x::'U
assumes a1: CCR r and a2: finite A and a3:  $A \in SF r$ 
shows  $\exists A'::('U \text{ set}). (x \in \text{Field } r \longrightarrow x \in A') \wedge A \subseteq A' \wedge \text{CCR}(\text{Restr } r A') \wedge$ 
finite A'
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$ 
 $\wedge ((\exists y::'U. A' - B = \{y\}) \longrightarrow \text{Field } r \subseteq (A' \cup B))$ 
⟨proof⟩

lemma lem-Ccext-infsubccr-pext5:
fixes r::'U rel and A B::'U set and x::'U
assumes a1: CCR r and a2:  $\neg \text{finite } A$  and a3:  $A \in SF r$ 
shows  $\exists A'::('U \text{ set}). (x \in \text{Field } r \longrightarrow x \in A') \wedge A \subseteq A' \wedge \text{CCR}(\text{Restr } r A') \wedge$ 
 $|A'| = o |A|$ 
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$ 
 $\wedge ((\exists y::'U. A' - B = \{y\}) \longrightarrow \text{Field } r \subseteq (A' \cup B))$ 
⟨proof⟩

lemma lem-Ccext-subccr-pext5:
fixes r::'U rel and A B::'U set and x::'U
assumes CCR r and  $A \in SF r$ 
shows  $\exists A'::('U \text{ set}). (x \in \text{Field } r \longrightarrow x \in A')$ 
 $\wedge A \subseteq A'$ 
 $\wedge A' \in SF r$ 
 $\wedge (\forall a \in A. ((r``\{a\} \subseteq B) \vee (r``\{a\} \cap (A' - B) \neq \{\})))$ 
 $\wedge ((\exists y::'U. A' - B = \{y\}) \longrightarrow \text{Field } r \subseteq (A' \cup B))$ 
 $\wedge \text{CCR}(\text{Restr } r A')$ 
 $\wedge ((\text{finite } A \longrightarrow \text{finite } A') \wedge ((\neg \text{finite } A) \longrightarrow |A'| = o |A|))$ 
⟨proof⟩

lemma lem-Ccext-finsubccr-set-ext-scf:
fixes r s::'U rel and A P::'U set
assumes a1: CCR r and a2:  $s \subseteq r$  and a3: finite s and a4:  $A \subseteq \text{Field } r$  and
a5: finite A

```

**and**  $a6: P \in SCF r$   
**shows**  $\exists s'::('U rel). CCR s' \wedge s \subseteq s' \wedge s' \subseteq r \wedge finite s' \wedge A \subseteq Field s'$   
 $\wedge ((Field s' \cap P) \in SCF s')$   
 $\langle proof \rangle$

**lemma** *lem-ccext-scf-sat*:  
**assumes**  $s \subseteq r$  **and**  $Field s = Field r$   
**shows**  $SCF s \subseteq SCF r$   
 $\langle proof \rangle$

**lemma** *lem-Ccext-infsubCCR-set-ext-scf2*:  
**fixes**  $r s::'U rel$  **and**  $A::'U set$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: s \subseteq r$  **and**  $a3: \neg finite s$  **and**  $a4: A \subseteq Field r$   
**and**  $a5: |A| \leq o |Field s|$  **and**  $a6: Ps \subseteq SCF r \wedge |Ps| \leq o |Field s|$   
**shows**  $\exists s'::('U rel). CCR s' \wedge s \subseteq s' \wedge s' \subseteq r \wedge |s'| = o |s| \wedge A \subseteq Field s'$   
 $\wedge (\forall P \in Ps. (Field s' \cap P) \in SCF s')$   
 $\langle proof \rangle$

**lemma** *lem-Ccext-finsubCCR-pext5-scf2*:  
**fixes**  $r::'U rel$  **and**  $A B B'::'U set$  **and**  $x::'U$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: finite A$  **and**  $a3: A \in SF r$  **and**  $a4: Ps \subseteq SCF r$   
**shows**  $\exists A'::('U set). (x \in Field r \rightarrow x \in A') \wedge A \subseteq A' \wedge CCR (Restr r A') \wedge$   
 $finite A'$   
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$   
 $\wedge ((\exists y::'U. A' - B' = \{y\}) \rightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge ((\exists P. Ps = \{P\}) \rightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r$   
 $A')))$   
 $\langle proof \rangle$

**lemma** *lem-Ccext-infsubCCR-pext5-scf2*:  
**fixes**  $r::'U rel$  **and**  $A B B'::'U set$  **and**  $x::'U$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: \neg finite A$  **and**  $a3: A \in SF r$  **and**  $a4: Ps \subseteq SCF r$   
**shows**  $\exists A'::('U set). (x \in Field r \rightarrow x \in A') \wedge A \subseteq A' \wedge CCR (Restr r A') \wedge$   
 $|A'| = o |A|$   
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$   
 $\wedge ((\exists y::'U. A' - B' = \{y\}) \rightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge (|Ps| \leq o |A| \rightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r A')))$   
 $\langle proof \rangle$

**lemma** *lem-Ccext-subCCR-pext5-scf2*:  
**fixes**  $r::'U rel$  **and**  $A B B'::'U set$  **and**  $x::'U$  **and**  $Ps::'U set set$   
**assumes**  $CCR r$  **and**  $A \in SF r$  **and**  $Ps \subseteq SCF r$   
**shows**  $\exists A'::('U set). (x \in Field r \rightarrow x \in A')$   
 $\wedge A \subseteq A'$   
 $\wedge A' \in SF r$   
 $\wedge (\forall a \in A. ((r``\{a\} \subseteq B) \vee (r``\{a\} \cap (A' - B) \neq \{\})))$   
 $\wedge ((\exists y::'U. A' - B' = \{y\}) \rightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge CCR (Restr r A')$   
 $\wedge ((finite A \rightarrow finite A') \wedge ((\neg finite A) \rightarrow |A'| = o |A|))$

$$\wedge ((\exists P. Ps = \{P\}) \vee ((\neg finite Ps) \wedge |Ps| \leq_o |A|)) \longrightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r A'))$$

*(proof)*

**lemma** *lem-dnEsc-el*:  $F \in dnEsc r A a \implies a \in F \wedge finite F$  *(proof)*

**lemma** *lem-dnEsc-emp*:  $dnEsc r A a = \{\} \implies dnesc r A a = \{a\}$  *(proof)*

**lemma** *lem-dnEsc-ne*:  $dnEsc r A a \neq \{\} \implies dnesc r A a \in dnEsc r A a$   
*(proof)*

**lemma** *lem-dnesc-in*:  $a \in dnesc r A a \wedge finite (dnesc r A a)$   
*(proof)*

**lemma** *lem-escl-incr*:  $B \subseteq escl r A B$  *(proof)*

**lemma** *lem-escl-card*:  $(finite B \longrightarrow finite (escl r A B)) \wedge (\neg finite B \longrightarrow |escl r A B| \leq_o |B|)$   
*(proof)*

**lemma** *lem-Ccext-infsubccr-set-ext-scf3*:  
**fixes**  $r s::'U rel$  **and**  $A A0::'U set$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: s \subseteq r$  **and**  $a3: \neg finite s$  **and**  $a4: A \subseteq Field r$   
**and**  $a5: |A| \leq_o |Field s|$  **and**  $a6: Ps \subseteq SCF r \wedge |Ps| \leq_o |Field s|$   
**shows**  $\exists s'::('U rel). CCR s' \wedge s \subseteq s' \wedge s' \subseteq r \wedge |s'| = o |s| \wedge A \subseteq Field s'$   
 $\wedge (\forall P \in Ps. (Field s' \cap P) \in SCF s') \wedge (escl r A0 (Field s') \subseteq Field s')$   
 $\wedge (\exists D. s' = Restr r D) \wedge (Conelike s' \longrightarrow Conelike r)$   
*(proof)*

**lemma** *lem-Ccext-infsubccr-pext5-scf3*:  
**fixes**  $r::'U rel$  **and**  $A B B'::'U set$  **and**  $x::'U$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: \neg finite A$  **and**  $a3: A \in SF r$  **and**  $a4: Ps \subseteq SCF r$   
**shows**  $\exists A'::('U set). (x \in Field r \longrightarrow x \in A') \wedge A \subseteq A' \wedge CCR (Restr r A') \wedge |A'| = o |A|$   
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$   
 $\wedge ((\exists y::'U. A' - B' \subseteq \{y\}) \longrightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge (|Ps| \leq_o |A| \longrightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r A'))) \wedge$   
 $(escl r A A' \subseteq A') \wedge clterm (Restr r A') r$   
*(proof)*

**lemma** *lem-Ccext-finsubccr-pext5-scf3*:  
**fixes**  $r::'U rel$  **and**  $A B B'::'U set$  **and**  $x::'U$  **and**  $Ps::'U set set$   
**assumes**  $a1: CCR r$  **and**  $a2: finite A$  **and**  $a3: A \in SF r$  **and**  $a4: Ps \subseteq SCF r$   
**shows**  $\exists A'::('U set). (x \in Field r \longrightarrow x \in A') \wedge A \subseteq A' \wedge CCR (Restr r A') \wedge finite A'$   
 $\wedge (\forall a \in A. r``\{a\} \subseteq B \vee r``\{a\} \cap (A' - B) \neq \{\}) \wedge A' \in SF r$   
 $\wedge ((\exists y::'U. A' - B' \subseteq \{y\}) \longrightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge ((\exists P. Ps = \{P\}) \longrightarrow (\forall P \in Ps. (A' \cap P) \in SCF (Restr r A')))$   
*(proof)*

$\langle proof \rangle$

**lemma** *lem-Ccext-subccr-pext5-scf3*:  
**fixes**  $r::'U$  rel **and**  $A B B'::'U$  set **and**  $x::'U$  **and**  $Ps::'U$  set set **and**  $C::'U$  set  $\Rightarrow$  bool  
**assumes**  $a1: CCR r$  **and**  $a2: A \in SF r$  **and**  $a3: Ps \subseteq SCF r$   
**and**  $a4: C = (\lambda A'::'U$  set.  $(x \in Field r \rightarrow x \in A')$   
 $\wedge A \subseteq A'$   
 $\wedge A' \in SF r$   
 $\wedge (\forall a \in A. ((r `` \{a\} \subseteq B) \vee (r `` \{a\} \cap (A' - B) \neq \{\})))$   
 $\wedge ((\exists y :: 'U. A' - B' \subseteq \{y\}) \rightarrow Field r \subseteq (A' \cup B'))$   
 $\wedge CCR (Restr r A')$   
 $\wedge ((finite A \rightarrow finite A') \wedge ((\neg finite A) \rightarrow |A'| =o |A|))$   
 $\wedge ((\exists P. Ps = \{P\}) \vee ((\neg finite Ps) \wedge |Ps| \leq o |A|)) \rightarrow$   
 $(\forall P \in Ps. (A' \cap P) \in SCF (Restr r A'))$   
 $\wedge ((\neg finite A) \rightarrow ((escl r A A' \subseteq A') \wedge (clterm (Restr r A')$   
 $r))) )$   
**shows**  $\exists A'::('U$  set).  $C A'$   
 $\langle proof \rangle$

**lemma** *lem-acyc-un-emprd*:  
**fixes**  $r s:: 'U$  rel  
**assumes**  $a1: acyclic r \wedge acyclic s$  **and**  $a2: (Range r) \cap (Domain s) = \{\}$   
**shows** *acyclic* ( $r \cup s$ )  
 $\langle proof \rangle$

**lemma** *lem-spthlen-rtr*:  $(a, b) \in r^{\hat{*}} \Rightarrow (a, b) \in r^{\hat{\wedge}}(spthlen r a b)$   
 $\langle proof \rangle$

**lemma** *lem-spthlen-tr*:  $(a, b) \in r^{\hat{*}} \wedge a \neq b \Rightarrow (a, b) \in r^{\hat{\wedge}}(spthlen r a b) \wedge spthlen r a b > 0$   
 $\langle proof \rangle$

**lemma** *lem-spthlen-min*:  $(a, b) \in r^{\hat{\wedge}} n \Rightarrow spthlen r a b \leq n$   
 $\langle proof \rangle$

**lemma** *lem-spth-inj*:  
**fixes**  $r::'U$  rel **and**  $a b::'U$  **and**  $f::nat \Rightarrow 'U$  **and**  $n::nat$   
**assumes**  $a1: f \in spth r a b$  **and**  $a2: n = spthlen r a b$   
**shows** *inj-on*  $f \{i. i \leq n\}$   
 $\langle proof \rangle$

**lemma** *lem-rtn-rpth-inj*:  $(a, b) \in r^{\hat{\wedge}} n \Rightarrow n = spthlen r a b \Rightarrow \exists f . f \in rpth r a b n \wedge inj-on f \{i. i \leq n\}$   
 $\langle proof \rangle$

**lemma** *lem-rtr-rpth-inj*:  $(a, b) \in r^{\hat{*}} \Rightarrow \exists f n . f \in rpth r a b n \wedge inj-on f \{i. i \leq n\}$

$\langle proof \rangle$

**lemma** *lem-sum-ind-ex*:

**assumes** *a1*:  $g = (\lambda n::nat. \sum i < n. f i)$

**and** *a2*:  $\forall i::nat. f i > 0$

**shows**  $\exists n k. (m::nat) = g n + k \wedge k < f n$

$\langle proof \rangle$

**lemma** *lem-sum-ind-un*:

**assumes** *a1*:  $g = (\lambda n::nat. \sum i < n. f i)$

**and** *a2*:  $\forall i::nat. f i > 0$

**and** *a3*:  $(m::nat) = g n + k \wedge k < f n$

**and** *a4*:  $m = g n' + k' \wedge k' < f n'$

**shows**  $n = n' \wedge k = k'$

$\langle proof \rangle$

**lemma** *lem-flatseq*:

**fixes**  $r::'U rel$  **and**  $xi::nat \Rightarrow 'U$

**assumes**  $\forall n. (xi n, xi (Suc n)) \in r^* \wedge (xi n \neq xi (Suc n))$

**shows**  $\exists g yi. (\forall n. (yi n, yi (Suc n)) \in r)$

$\wedge (\forall i::nat. \forall j::nat. i < j \longleftrightarrow g i < g j)$

$\wedge (\forall i::nat. yi(g i) = xi i)$

$\wedge (\forall i::nat. inj-on yi \{ k. g i \leq k \wedge k \leq g (Suc i) \})$

$\wedge (\forall k::nat. \exists i::nat. g i \leq k \wedge Suc k \leq g (Suc i))$

$\wedge (\forall k i i'. g i \leq k \wedge Suc k \leq g (Suc i) \wedge g i' \leq k \wedge Suc k \leq g (Suc i')) \longrightarrow i = i')$

$\langle proof \rangle$

**lemma** *lem-sv-un3*:

**fixes**  $r1 r2 r3::'U rel$

**assumes** *single-valued* ( $r1 \cup r3$ ) **and** *single-valued* ( $r2 \cup r3$ ) **and** *Field*  $r1 \cap Field r2 = \{\}$

**shows** *single-valued* ( $r1 \cup r2 \cup r3$ )

$\langle proof \rangle$

**lemma** *lem-cfcomp-d2uset*:

**fixes**  $\kappa::'U rel$  **and**  $r::'U rel$  **and**  $W::'U rel \Rightarrow 'U set$  **and**  $R::'U rel \Rightarrow 'U rel$

**and**  $S::'U rel$  **set**

**assumes** *a1*:  $\kappa = o cardSuc |UNIV::nat set|$

**and** *a3*:  $T = \{ t::'U rel. t \neq \{\} \wedge CCR t \wedge single-valued t \wedge acyclic t \wedge (\forall x \in Field t. t``\{x\} \neq \{\})\}$

**and** *a4*: *Refl*  $r$

**and** *a5*:  $S \subseteq \{\alpha \in \mathcal{O}::'U rel set. \alpha <_o \kappa\}$

**and** *a6*:  $|\{\alpha \in \mathcal{O}::'U rel set. \alpha <_o \kappa\}| \leq o |S|$

**and** *a7*:  $\forall \alpha \in S. \exists \beta \in S. \alpha <_o \beta$

**and** *a8*: *Field*  $r = (\bigcup_{\alpha \in S} W \alpha)$  **and** *a9*:  $\forall \alpha \in S. \forall \beta \in S. \alpha \neq \beta \longrightarrow W \alpha \cap W \beta = \{\}$

**and**  $a10: \bigwedge \alpha. \alpha \in S \implies R \alpha \in T \wedge R \alpha \subseteq r \wedge |W \alpha| \leq_o |\text{UNIV}::\text{nat set}|$   
 $\wedge \text{Field}(R \alpha) = W \alpha \wedge \neg \text{Conelike}(\text{Restr } r (W \alpha))$   
**and**  $a11: \bigwedge \alpha x. \alpha \in S \implies x \in W \alpha \implies \exists a.$   
 $((x, a) \in (\text{Restr } r (W \alpha))^{\widehat{*}} \wedge (\forall \beta \in S. \alpha <_o \beta \longrightarrow (r``\{a\} \cap W \beta) \neq \{\}))$   
**shows**  $\exists r'. \text{CCR } r' \wedge \text{DCR } 2 r' \wedge r' \subseteq r \wedge (\forall a \in \text{Field } r. \exists b \in \text{Field } r'. (a, b) \in r^{\widehat{*}})$   
 $\langle \text{proof} \rangle$

**lemma** *lem-uset-cl-ext*:  
**fixes**  $r::'U \text{ rel}$  **and**  $s::'U \text{ rel}$   
**assumes**  $s \in \mathfrak{U} r$  **and**  $\text{Conelike } s$   
**shows**  $\text{Conelike } r$   
 $\langle \text{proof} \rangle$

**lemma** *lem-uset-cl-singleton*:  
**fixes**  $r::'U \text{ rel}$   
**assumes**  $\text{Conelike } r$  **and**  $r \neq \{\}$   
**shows**  $\exists m::'U. \exists m'::'U. \{(m', m)\} \in \mathfrak{U} r$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-emp*:  $\|\{\}\| = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-rcrrel*:  
**fixes**  $r::'U \text{ rel}$   
**shows**  $\text{RCC-rel } r \parallel r \parallel$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-uset-ne*:  
**assumes**  $\mathfrak{U} r \neq \{\}$   
**shows**  $\exists s \in \mathfrak{U} r. |s| = o \parallel r \parallel \wedge (\forall s' \in \mathfrak{U} r. |s| \leq_o |s'|)$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-uset-emp*:  
**assumes**  $\mathfrak{U} r = \{\}$   
**shows**  $\parallel r \parallel = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-uset-mem-bnd*:  
**assumes**  $s \in \mathfrak{U} r$   
**shows**  $\parallel r \parallel \leq_o |s|$   
 $\langle \text{proof} \rangle$

**lemma** *lem-rcc-cardord*: *Card-order*  $\parallel r \parallel$   
 $\langle \text{proof} \rangle$

**lemma** *lem-uset-ne-rcc-inf*:  
**fixes**  $r::'U \text{ rel}$

```

assumes  $\neg (\|r\| <_o \omega\text{-}ord)$ 
shows  $\mathfrak{U} r \neq \{\}$ 
(proof)

lemma lem-rcc-inf:  $(\omega\text{-}ord \leq_o \|r\|) = (\neg (\|r\| <_o \omega\text{-}ord))$ 
(proof)

lemma lem-Rcc-eq1-12:
fixes  $r::'U$  rel
shows CCR  $r \implies r \in \mathfrak{U} r$ 
(proof)

lemma lem-Rcc-eq1-23:
fixes  $r::'U$  rel
assumes  $r \in \mathfrak{U} r$ 
shows  $(r = (\{\}::'U \text{ rel})) \vee ((\{\}::'U \text{ rel}) <_o \|r\|)$ 
(proof)

lemma lem-Rcc-eq1-31:
fixes  $r::'U$  rel
assumes  $(r = (\{\}::'U \text{ rel})) \vee ((\{\}::'U \text{ rel}) <_o \|r\|)$ 
shows CCR  $r$ 
(proof)

lemma lem-Rcc-eq2-12:
fixes  $r::'U$  rel and  $a::'a$ 
assumes Conelike  $r$ 
shows  $\|r\| \leq_o |\{a\}|$ 
(proof)

lemma lem-Rcc-eq2-23:
fixes  $r::'U$  rel and  $a::'a$ 
assumes  $\|r\| \leq_o |\{a\}|$ 
shows  $\|r\| <_o \omega\text{-}ord$ 
(proof)

lemma lem-Rcc-eq2-31:
fixes  $r::'U$  rel
assumes CCR  $r$  and  $\|r\| <_o \omega\text{-}ord$ 
shows Conelike  $r$ 
(proof)

lemma lem-Rcc-range:
fixes  $r::'U$  rel
shows  $\|r\| \leq_o |\text{UNIV}::('U \text{ set})|$ 
(proof)

lemma lem-rcc-nccr:
fixes  $r::'U$  rel

```

```

assumes  $\neg (CCR\ r)$ 
shows  $\|r\| = \{\}$ 
<proof>

lemma lem-Rcc-relcard-bnd:
fixes  $r::'U\ rel$ 
shows  $\|r\| \leq_o |r|$ 
<proof>

lemma lem-Rcc-inf-lim:
fixes  $r::'U\ rel$ 
assumes  $\omega\text{-ord} \leq_o \|r\|$ 
shows  $\neg (\|r\| = \{\} \vee isSuccOrd\ \|r\|)$ 
<proof>

lemma lem-rcc-uset-ne-ccr:
fixes  $r::'U\ rel$ 
assumes  $\mathfrak{U}\ r \neq \{\}$ 
shows  $CCR\ r$ 
<proof>

lemma lem-rcc-uset-tr:
fixes  $r\ s\ t::'U\ rel$ 
assumes  $a1: s \in \mathfrak{U}\ r$  and  $a2: t \in \mathfrak{U}\ s$ 
shows  $t \in \mathfrak{U}\ r$ 
<proof>

lemma lem-scf-emp:  $scf\ \{\} = \{\}$ 
<proof>

lemma lem-scf-scfrel:
fixes  $r::'U\ rel$ 
shows  $scf\text{-rel } r\ (scf\ r)$ 
<proof>

lemma lem-scf-uset:
shows  $\exists\ A \in SCF\ r.\ |A| = o\ scf\ r \wedge (\forall\ B \in SCF\ r.\ |A| \leq_o |B|)$ 
<proof>

lemma lem-scf-uset-mem-bnd:
assumes  $B \in SCF\ r$ 
shows  $scf\ r \leq_o |B|$ 
<proof>

lemma lem-scf-cardord: Card-order ( $scf\ r$ )
<proof>

lemma lem-scf-inf:  $(\omega\text{-ord} \leq_o (scf\ r)) = (\neg ((scf\ r) <_o \omega\text{-ord}))$ 
<proof>

```

```

lemma lem-scf-eq1-12:
fixes r::'U rel
shows Field r ∈ SCF r
⟨proof⟩

lemma lem-scf-range:
fixes r::'U rel
shows (scf r) ≤o |UNIV::('U set)|
⟨proof⟩

lemma lem-scf-relfldcard-bnd:
fixes r::'U rel
shows (scf r) ≤o |Field r|
⟨proof⟩

lemma lem-scf-ccr-scf-rcc-eq:
fixes r::'U rel
assumes CCR r
shows ||r|| =o (scf r)
⟨proof⟩

lemma lem-scf-ccr-scf-uset:
fixes r::'U rel
assumes CCR r and ¬ ConeLike r
shows ∃ s ∈ ℙ r. (¬ finite s) ∧ |Field s| =o (scf r)
⟨proof⟩

lemma lem-Scf-scfprops:
fixes r::'U rel
shows ( (scf r) ≤o |UNIV::('U set)| ) ∧ ( (scf r) ≤o |Field r| )
⟨proof⟩

lemma lem-scf-ccr-finscf-cl:
assumes CCR r
shows finite (Field (scf r)) = ConeLike r
⟨proof⟩

lemma lem-sv-uset-sv-span:
fixes r s::'U rel
assumes a1: s ∈ ℙ r and a2: single-valued s
shows ∃ r1. r1 ∈ Span r ∧ CCR r1 ∧ single-valued r1 ∧ s ⊆ r1 ∧ (acyclic s →
acyclic r1)
⟨proof⟩

lemma lem-incrfun-nat: ∀ i::nat. f i < f (Suc i) ⇒ ∀ i j. i ≤ j → f i + (j - i)
≤ f j
⟨proof⟩

```

```

lemma lem-sv-uset-rcceqw:
fixes r::'U rel
assumes a1:  $\|r\| =_o \omega\text{-ord}$ 
shows  $\exists r_1 \in \mathfrak{U} r. \text{single-valued } r_1 \wedge \text{acyclic } r_1 \wedge (\forall x \in \text{Field } r_1. r_1``\{x\} \neq \{\})$ 
⟨proof⟩

lemma lem-sv-span-scflew:
fixes r::'U rel
assumes CCR r and scf r  $\leq_o \omega\text{-ord}$ 
shows  $\exists r_1. r_1 \in \text{Span } r \wedge \text{CCR } r_1 \wedge \text{single-valued } r_1$ 
⟨proof⟩

lemma lem-sv-span-scfew:
fixes r::'U rel
assumes CCR r and scf r  $=_o \omega\text{-ord}$ 
shows  $\exists r_1. r_1 \in \text{Span } r \wedge r_1 \neq \{\} \wedge \text{CCR } r_1 \wedge \text{single-valued } r_1 \wedge \text{acyclic } r_1 \wedge (\forall x \in \text{Field } r_1. r_1``\{x\} \neq \{\})$ 
⟨proof⟩

lemma lem-Ldo-den-ccr-uset:
fixes r s::'U rel
assumes CCR s and s ⊆ r  $\wedge$  Field s ∈ Den r
shows s ∈  $\mathfrak{U} r$ 
⟨proof⟩

lemma lem-Ldo-ds-reduc:
fixes r s::'U rel and n0::nat
assumes a1: CCR s  $\wedge$  DCR n0 s and a2: s ⊆ r and a3: Field s ∈ Den r and a4: Field s ∈ Inv (r - s)
shows CCR r  $\wedge$  DCR (Suc n0) r
⟨proof⟩

lemma lem-Ldo-sat-reduc:
fixes r s::'U rel and n::nat
assumes a1: s ∈ Span r and a2: CCR s  $\wedge$  DCR n s
shows CCR r  $\wedge$  DCR (Suc n) r
⟨proof⟩

lemma lem-Ldo-uset-reduc:
fixes r s::'U rel and n0::nat
assumes a1: s ∈  $\mathfrak{U} r$  and a2: DCR n0 s and a3: n0 ≠ 0
shows DCR (Suc n0) r
⟨proof⟩

lemma lem-Ldo-addid:
fixes r::'U rel and r'::'U rel and n0::nat and A::'U set
assumes a1: DCR n0 r and a2: r' = r ∪ {(a,b). a = b  $\wedge$  a ∈ A} and a3: n0 ≠ 0
shows DCR n0 r'
```

$\langle proof \rangle$

```

lemma lem-Ldo-removeid:
  fixes r::'U rel and r'::'U rel and n0::nat
  assumes a1: DCR n0 r and a2: r' = r - {(a,b). a = b}
  shows DCR n0 r'
  ⟨proof⟩

lemma lem-Ldo-eqid:
  fixes r::'U rel and r'::'U rel and n::nat
  assumes a1: DCR n r and a2: r' - {(a,b). a = b} = r - {(a,b). a = b} and
    a3: n ≠ 0
  shows DCR n r'
  ⟨proof⟩

lemma lem-wdn-range-lb: A ⊆ w-dncl r A
  ⟨proof⟩

lemma lem-wdn-range-ub: w-dncl r A ⊆ dncl r A ⟨proof⟩

lemma lem-wdn-mon: A ⊆ A'  $\implies$  w-dncl r A ⊆ w-dncl r A' ⟨proof⟩

lemma lem-wdn-compl:
  fixes r::'U rel and A::'U set
  shows UNIV - w-dncl r A = {a. ∃ b. b ∉ dncl r A  $\wedge$  (a,b) ∈ (Restr r (UNIV - A)) $^*$ }
  ⟨proof⟩

lemma lem-cowdn-uset:
  fixes r::'U rel and A A' W::'U set
  assumes a1: CCR (Restr r A') and a2: escl r A A' ⊆ A'
    and a3: Q = A' - dncl r A and a4: W = A' - w-dncl r A and a5: Q ∈ SF r
  shows Restr r Q ∈ Λ (Restr r W)
  ⟨proof⟩

lemma lem-shrel-L-eq:
  fixes f::'U rel  $\Rightarrow$  'U set and α::'U rel and β::'U rel
  assumes α =o β
  shows ℒ f α = ℒ f β
  ⟨proof⟩

lemma lem-shrel-dbk-eq:
  fixes f::'U rel  $\Rightarrow$  'U set and Ps::'U set set and α::'U rel and β::'U rel
  assumes f ∈ N r Ps and α =o β and α ≤o |Field r| and β ≤o |Field r|
  shows (∇ f α) = (∇ f β)
  ⟨proof⟩

lemma lem-L-emp: α =o ({}::'U rel)  $\implies$  ℒ f α = {}
  ⟨proof⟩

```

```

lemma lem-der-qinv1:
fixes r::'U rel and α::'U rel and x y::'U
assumes a1:  $x \in \mathcal{Q} r f \alpha$  and a2:  $(x,y) \in r^*$  and a3:  $y \in (f \alpha)$ 
shows  $y \in \mathcal{Q} r f \alpha$ 
⟨proof⟩

lemma lem-der-qinv2:
fixes r::'U rel and α::'U rel and x y::'U
assumes a1:  $x \in \mathcal{Q} r f \alpha$  and a2:  $(x,y) \in (\text{Restr } r (f \alpha))^*$  and a3:  $y \in (f \alpha)$ 
shows  $(x,y) \in (\text{Restr } r (\mathcal{Q} r f \alpha))^*$ 
⟨proof⟩

lemma lem-der-qinv3:
fixes r::'U rel and α::'U rel
assumes a1:  $A \subseteq (f \alpha)$  and a2:  $\forall x \in (f \alpha). \exists y \in A. (x,y) \in (\text{Restr } r (f \alpha))^*$ 
shows  $\forall x \in (\mathcal{Q} r f \alpha). \exists y \in (A \cap (\mathcal{Q} r f \alpha)). (x,y) \in (\text{Restr } r (\mathcal{Q} r f \alpha))^*$ 
⟨proof⟩

lemma lem-der-inf-qrestr-ccr1:
fixes r::'U rel and Ps::'U set set and α::'U rel
assumes f ∈ N r Ps and α ≤o |Field r|
shows CCR (Restr r (Q r f α))
⟨proof⟩

lemma lem-Nfdn-aemp:
fixes r::'U rel and Ps::'U set set and f::'U rel ⇒ 'U set and α::'U rel
assumes a1: CCR r and a2: f ∈ N r Ps and a3: α <o scf r and a4: Field r ⊆ dncl r (f α)
shows α = {}
⟨proof⟩

lemma lem-der-qccr-lscf-sf:
fixes r::'U rel and Ps::'U set set and f::'U rel ⇒ 'U set and α::'U rel
assumes a1: CCR r and a2: f ∈ N r Ps and a3: α <o scf r
shows (Q r f α) ∈ SF r
⟨proof⟩

lemma lem-der-quset:
fixes r::'U rel and Ps::'U set set and α::'U rel
assumes a1: CCR r and a2: f ∈ N r Ps and a3: α <o scf r and a4: isSuccOrd α
shows Restr r (Q r f α) ∈ Λ (Restr r (f α))
⟨proof⟩

lemma lem-qw-range: f ∈ N r Ps ⇒ α ≤o |Field r| ⇒ W r f α ⊆ Field r
⟨proof⟩

lemma lem-der-qw-eq:
fixes r::'U rel and Ps::'U set set and α β::'U rel

```

**assumes**  $f \in \mathcal{N} r Ps$  **and**  $\alpha =o \beta$   
**shows**  $\mathcal{W} r f \alpha = \mathcal{W} r f \beta$   
 $\langle proof \rangle$

**lemma** *lem-Der-inf-qw-disj*:  
**fixes**  $r::'U rel$  **and**  $\alpha \beta::'U rel$   
**assumes** Well-order  $\alpha$  **and** Well-order  $\beta$   
**shows**  $(\neg (\alpha =o \beta)) \rightarrow (\mathcal{W} r f \alpha) \cap (\mathcal{W} r f \beta) = \{\}$   
 $\langle proof \rangle$

**lemma** *lem-der-inf-qw-restr-card*:  
**fixes**  $r::'U rel$  **and**  $Ps::'U set set$  **and**  $\alpha::'U rel$   
**assumes**  $a1: \neg finite r$  **and**  $a2: f \in \mathcal{N} r Ps$  **and**  $a3: \alpha <_o |Field r|$   
**shows**  $|Restr r (\mathcal{W} r f \alpha)| <_o |Field r|$   
 $\langle proof \rangle$

**lemma** *lem-QS-subs-WS*:  $\mathcal{Q} r f \alpha \subseteq \mathcal{W} r f \alpha$   
 $\langle proof \rangle$

**lemma** *lem-WS-limord*:  
**fixes**  $r::'U rel$  **and**  $Ps::'U set set$  **and**  $f::'U rel \Rightarrow 'U set$  **and**  $\alpha::'U rel$   
**assumes**  $a1: \neg finite r$  **and**  $a2: f \in \mathcal{N} r Ps$  **and**  $a3: \alpha <_o |Field r|$   
**and**  $a4: \neg (\alpha = \{\}) \vee isSuccOrd \alpha$   
**shows**  $\mathcal{W} r f \alpha = \{\}$   
 $\langle proof \rangle$

**lemma** *lem-der-inf-qw-restr-uset*:  
**fixes**  $r::'U rel$  **and**  $Ps::'U set set$  **and**  $f::'U rel \Rightarrow 'U set$  **and**  $\alpha::'U rel$   
**assumes**  $a1: Refl r \wedge \neg finite r$  **and**  $a2: f \in \mathcal{N} r Ps$   
**and**  $a3: \alpha <_o |Field r|$  **and**  $a4: \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha|$   
**shows**  $Restr r (\mathcal{Q} r f \alpha) \in \mathfrak{U} (Restr r (\mathcal{W} r f \alpha))$   
 $\langle proof \rangle$

**lemma** *lem-der-inf-qw-restr-ccr*:  
**fixes**  $r::'U rel$  **and**  $Ps::'U set set$  **and**  $f::'U rel \Rightarrow 'U set$  **and**  $\alpha::'U rel$   
**assumes**  $a1: Refl r \wedge \neg finite r$  **and**  $a2: f \in \mathcal{N} r Ps$   
**and**  $a3: \alpha <_o |Field r|$  **and**  $a4: \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha|$   
**shows**  $CCR (Restr r (\mathcal{W} r f \alpha))$   
 $\langle proof \rangle$

**lemma** *lem-der-qw-uset*:  
**fixes**  $r::'U rel$  **and**  $Ps::'U set set$  **and**  $f::'U rel \Rightarrow 'U set$  **and**  $\alpha::'U rel$   
**assumes**  $a1: CCR r \wedge Refl r \wedge \neg finite r$  **and**  $a2: f \in \mathcal{N} r Ps$   
**and**  $a3: \alpha <_o scf r$  **and**  $a4: \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha|$  **and**  $a5: isSuccOrd \alpha$   
**shows**  $Restr r (\mathcal{W} r f \alpha) \in \mathfrak{U} (Restr r (f \alpha))$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N1*:  
**fixes**  $r::'U rel$  **and**  $F::'U rel \Rightarrow 'U set \Rightarrow 'U set$  **and**  $f::'U rel \Rightarrow 'U set$

**assumes**  $a0: f \in \mathcal{T} F$   
**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}1 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N2*:  
**fixes**  $r::'U \text{ rel and } F::'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set and } f::'U \text{ rel} \Rightarrow 'U \text{ set}$   
**assumes**  $a0: f \in \mathcal{T} F$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}2 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N3*:  
**fixes**  $r::'U \text{ rel and } F::'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set and } f::'U \text{ rel} \Rightarrow 'U \text{ set}$   
**assumes**  $a0: f \in \mathcal{T} F$   
**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}5 r \alpha$   
**and**  $a3: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \in SF r \rightarrow (\omega\text{-ord} \leq_o |A| \rightarrow escl r A (F \alpha A) \subseteq (F \alpha A) \wedge \text{clterm} (\text{Restr } r (F \alpha A)) r)$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}3 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N4*:  
**fixes**  $r::'U \text{ rel and } F::'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set and } f::'U \text{ rel} \Rightarrow 'U \text{ set}$   
**assumes**  $a0: f \in \mathcal{T} F$   
**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}5 r \alpha$   
**and**  $a4: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \in SF r \rightarrow (\forall a \in A. r``\{a\} \subseteq w\text{-dncl } r A \vee r``\{a\} \cap (F \alpha A - w\text{-dncl } r A) \neq \{\})$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}4 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N5*:  
**fixes**  $r::'U \text{ rel and } F::'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set and } f::'U \text{ rel} \Rightarrow 'U \text{ set}$   
**assumes**  $a0: f \in \mathcal{T} F$   
**assumes**  $a5: \forall \alpha A. (\text{Well-order } \alpha \wedge A \in SF r) \rightarrow (F \alpha A) \in SF r$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}5 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N6*:  
**fixes**  $r::'U \text{ rel and } F::'U \text{ rel} \Rightarrow 'U \text{ set} \Rightarrow 'U \text{ set and } f::'U \text{ rel} \Rightarrow 'U \text{ set}$   
**assumes**  $a0: f \in \mathcal{T} F$   
**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}5 r \alpha$   
**and**  $a6: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \in SF r \rightarrow CCR (\text{Restr } r (F \alpha A))$   
**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}6 r \alpha$   
 $\langle proof \rangle$

**lemma** *lem-Shinf-N7*:

**fixes**  $r::'U$  rel **and**  $F::'U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set **and**  $f::'U$  rel  $\Rightarrow$  ' $U$  set  
**assumes**  $a0: f \in \mathcal{T} F$

**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a7: \forall \alpha A. (|A| <_o \omega\text{-ord} \rightarrow |F \alpha A| <_o \omega\text{-ord})$   
 $\wedge (\omega\text{-ord} \leq_o |A| \rightarrow |F \alpha A| \leq_o |A|)$

**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^7 r \alpha$

$\langle proof \rangle$

**lemma** *lem-Shinf-N8*:

**fixes**  $r::'U$  rel **and**  $F::'U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set **and**  $f::'U$  rel  $\Rightarrow$  ' $U$  set **and**  $Ps::'U$

**set set**

**assumes**  $a0: f \in \mathcal{T} F$

**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^5 r \alpha$   
**and**  $a7: \forall \alpha A. (|A| <_o \omega\text{-ord} \rightarrow |F \alpha A| <_o \omega\text{-ord})$   
 $\wedge (\omega\text{-ord} \leq_o |A| \rightarrow |F \alpha A| \leq_o |A|)$

**and**  $a8: \forall \alpha A. A \in SF r \rightarrow \mathcal{E}p r Ps A (F \alpha A)$

**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^8 r Ps \alpha$

$\langle proof \rangle$

**lemma** *lem-Shinf-N9*:

**fixes**  $r::'U$  rel **and**  $g::'U$  rel  $\Rightarrow$  ' $U$

**and**  $F::'U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set **and**  $f::'U$  rel  $\Rightarrow$  ' $U$  set

**assumes**  $a0: f \in \mathcal{T} F$

**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a2: \forall \alpha A. \text{Well-order } \alpha \rightarrow g \alpha \in \text{Field } r \rightarrow g \alpha \in F \alpha A$   
**and**  $a11: \omega\text{-ord} \leq_o |\text{Field } r| \rightarrow \text{Field } r \subseteq g \{ \gamma::'U \text{ rel}. \gamma <_o |\text{Field } r| \}$

**shows**  $f \in \mathcal{N}^9 r |\text{Field } r|$

$\langle proof \rangle$

**lemma** *lem-Shinf-N10*:

**fixes**  $r::'U$  rel **and**  $F::'U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set **and**  $f::'U$  rel  $\Rightarrow$  ' $U$  set

**assumes**  $a0: f \in \mathcal{T} F$

**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^5 r \alpha$   
**and**  $a10: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \in SF r \rightarrow$   
 $((\exists y. (F \alpha A) - dncl r A \subseteq \{y\}) \rightarrow (\text{Field } r \subseteq dncl r (F \alpha A)))$

**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^{10} r \alpha$

$\langle proof \rangle$

**lemma** *lem-Shinf-N11*:

**fixes**  $r::'U$  rel **and**  $F::'U$  rel  $\Rightarrow$  ' $U$  set  $\Rightarrow$  ' $U$  set **and**  $f::'U$  rel  $\Rightarrow$  ' $U$  set

**assumes**  $a0: f \in \mathcal{T} F$

**and**  $a1: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \subseteq F \alpha A$   
**and**  $a5: \forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^5 r \alpha$   
**and**  $a10: \forall \alpha A. \text{Well-order } \alpha \rightarrow A \in SF r \rightarrow$   
 $((\exists y. (F \alpha A) - dncl r A \subseteq \{y\}) \rightarrow (\text{Field } r \subseteq dncl r (F \alpha A)))$

**shows**  $\forall \alpha. \text{Well-order } \alpha \rightarrow f \in \mathcal{N}^{11} r \alpha$

$\langle proof \rangle$

```

lemma lem-Shinf-N12:
fixes r::'U rel and g::'U rel  $\Rightarrow$  'U
and F::'U rel  $\Rightarrow$  'U set  $\Rightarrow$  'U set and f::'U rel  $\Rightarrow$  'U set
assumes a0:  $f \in \mathcal{T} F$ 
and a1:  $\forall \alpha. \text{Well-order } \alpha \longrightarrow f \in \mathcal{N}1 r \alpha$ 
and a2:  $\forall \alpha A. \text{Well-order } \alpha \longrightarrow g \alpha \in \text{Field } r \longrightarrow g \alpha \in F \alpha A$ 
and a11:  $\omega\text{-ord} \leq_o |\text{Field } r| \longrightarrow \text{Field } r = g` \{ \gamma::'U \text{ rel. } \gamma <_o |\text{Field } r| \}$ 
and a2':  $\forall \alpha::'U \text{ rel. } \omega\text{-ord} \leq_o \alpha \wedge \alpha \leq_o |\text{Field } r| \longrightarrow \omega\text{-ord} \leq_o |g` \{ \gamma. \gamma <_o \alpha \}|$ 
shows  $f \in \mathcal{N}12 r |\text{Field } r|$ 
⟨proof⟩

lemma lem-Shinf-E-ne:
fixes r::'U rel and a0::'U and A::'U set and Ps::'U set set
assumes a2: CCR r and a3:  $Ps \subseteq \text{SCF } r$ 
shows  $\mathcal{E} r a0 A Ps \neq \{ \}$ 
⟨proof⟩

lemma lem-oseq-fin-inj:
fixes g::'U rel  $\Rightarrow$  'a and I::'U rel  $\Rightarrow$  'U rel set and A::'a set
assumes a1:  $I = (\lambda \alpha'. \{ \alpha::'U \text{ rel. } \alpha <_o \alpha' \})$ 
and a2:  $\omega\text{-ord} \leq_o |A|$ 
and a3:  $\forall \alpha \beta. \alpha =_o \beta \longrightarrow g \alpha = g \beta$ 
shows  $\exists h. (\forall \alpha'. g`(\text{I } \alpha') \subseteq h`(\text{I } \alpha') \wedge h`(\text{I } \alpha') \subseteq g`(\text{I } \alpha') \cup A)$ 
 $\wedge (\forall \alpha'. \omega\text{-ord} \leq_o \alpha' \longrightarrow \omega\text{-ord} \leq_o |h`(\text{I } \alpha')|)$ 
 $\wedge (\forall \alpha \beta. \alpha =_o \beta \longrightarrow h \alpha = h \beta)$ 
⟨proof⟩

lemma lem-Shinf-N-ne:
fixes r::'U rel and Ps::'U set set
assumes CCR r and  $Ps \subseteq \text{SCF } r$ 
shows  $\mathcal{N} r Ps \neq \{ \}$ 
⟨proof⟩

lemma lem-wrankrel-eq: wrank-rel r A0  $\alpha \implies \alpha =_o \beta \implies \text{wrank-rel } r A0 \beta$ 
⟨proof⟩

lemma lem-wrank-wrankrel:
fixes r::'U rel and A0::'U set
shows wrank-rel r A0  $(\text{wrank } r A0)$ 
⟨proof⟩

lemma lem-wrank-uset:
fixes r::'U rel and A0::'U set
shows  $\exists A \in \text{wbase } r A0. |A| =_o \text{wrank } r A0 \wedge (\forall B \in \text{wbase } r A0. |A| \leq_o |B|)$ 
)
⟨proof⟩

```

```

lemma lem-wrank-uset-mem-bnd:
  fixes r::'U rel and A0 B::'U set
  assumes B ∈ wbase r A0
  shows wrank r A0 ≤o |B|
  ⟨proof⟩

lemma lem-wrank-cardord: Card-order (wrank r A0)
  ⟨proof⟩

lemma lem-wrank-ub: wrank r A0 ≤o |A0|
  ⟨proof⟩

lemma lem-card-un2-bnd: ω-ord ≤o α ==> |A| ≤o α ==> |B| ≤o α ==> |A ∪ B|
  ≤o α
  ⟨proof⟩

lemma lem-card-un2-lsbnd: ω-ord ≤o α ==> |A| <o α ==> |B| <o α ==> |A ∪ B|
  <o α
  ⟨proof⟩

lemma lem-wrank-un-bnd:
  fixes r::'U rel and S::'U set set and α::'U rel
  assumes a1: ∀ A∈S. wrank r A ≤o α and a2: |S| ≤o α and a3: ω-ord ≤o α
  shows wrank r (⋃ S) ≤o α
  ⟨proof⟩

lemma lem-wrank-un-bnd-stab:
  fixes r::'U rel and S::'U set set and α::'U rel
  assumes a1: ∀ A∈S. wrank r A <o α and a2: |S| <o α and a3: stable α
  shows wrank r (⋃ S) <o α
  ⟨proof⟩

lemma lem-wrank-fw:
  fixes r::'U rel and K::'U set and α::'U rel
  assumes a1: ω-ord ≤o α and a2: wrank r K ≤o α and a3: ∀ b∈K. wrank r
  (r“{b}) ≤o α
  shows wrank r (⋃ b∈K. (r“{b})) ≤o α
  ⟨proof⟩

lemma lem-wrank-fw-stab:
  fixes r::'U rel and K::'U set and α::'U rel
  assumes a1: ω-ord ≤o α ∧ stable α and a2: wrank r K <o α and a3: ∀ b∈K.
  wrank r (r“{b}) <o α
  shows wrank r (⋃ b∈K. (r“{b})) <o α
  ⟨proof⟩

lemma lem-wnb-neib:
  fixes r::'U rel and α::'U rel
  assumes a1: ω-ord ≤o α and a2: α <o ||r||

```

```

shows  $\forall a \in \text{Field } r. \exists b \in \text{Mwn } r \alpha. (a,b) \in r^{\hat{*}}$ 
 $\langle proof \rangle$ 

lemma lem-wnb-neib3:
fixes  $r::'U \text{ rel}$ 
assumes  $a1: \omega\text{-ord} <_o \|r\| \text{ and } a2: \text{stable } \|r\|$ 
shows  $\forall a \in \text{Field } r. \exists b \in \text{Mwnm } r. (a,b) \in r^{\hat{*}}$ 
 $\langle proof \rangle$ 

lemma lem-scf gew-ncl:  $\omega\text{-ord} \leq_o \text{scf } r \implies \neg \text{Conelike } r$ 
 $\langle proof \rangle$ 

lemma lem-wnb-P-ncl-reg-grw:
fixes  $r::'U \text{ rel}$ 
assumes  $a1: CCR r \text{ and } a2: \omega\text{-ord} <_o \text{scf } r \text{ and } a3: \text{regularCard } (\text{scf } r)$ 
shows  $\exists P \in SCF r. (\forall \alpha::'U \text{ rel}. \alpha <_o \text{scf } r \longrightarrow (\forall a \in P. \alpha <_o \text{wrank } r (r^{\hat{*}}\{a\})) )$ 
 $\langle proof \rangle$ 

lemma lem-wnb-P-ncl-nreg:
fixes  $r::'U \text{ rel}$ 
assumes  $a1: CCR r \text{ and } a2: \omega\text{-ord} \leq_o \text{scf } r \text{ and } a3: \neg \text{regularCard } (\text{scf } r)$ 
shows  $\exists Ps::'U \text{ set set}. Ps \subseteq SCF r \wedge |Ps| <_o \text{scf } r$ 
 $\wedge (\forall \alpha::'U \text{ rel}. \alpha <_o \text{scf } r \longrightarrow (\exists P \in Ps. \forall a \in P. \alpha <_o \text{wrank } r (r^{\hat{*}}\{a\})))$ 
 $\langle proof \rangle$ 

lemma lem-Wf-ext-arc:
fixes  $r::'U \text{ rel and } Ps::'U \text{ set set and } f::'U \text{ rel} \Rightarrow 'U \text{ set and } \alpha::'U \text{ rel and } a::'U$ 
assumes  $a1: \text{scf } r =_o |\text{Field } r| \text{ and } a2: f \in \mathcal{N} r Ps$ 
and  $a3: \forall \gamma::'U \text{ rel}. \gamma <_o \text{scf } r \longrightarrow (\forall a \in P. \gamma <_o \text{wrank } r (r^{\hat{*}}\{a\}))$ 
and  $a4: \omega\text{-ord} \leq_o \alpha \text{ and } a5: a \in f \alpha \cap P$ 
shows  $\bigwedge \beta. \alpha <_o \beta \wedge \beta <_o |\text{Field } r| \wedge (\beta = \{\} \vee \text{isSuccOrd } \beta) \implies (r^{\hat{*}}\{a\} \cap (\mathcal{W} r f \beta) \neq \{\})$ 
 $\langle proof \rangle$ 

lemma lem-Wf-esc-pth:
fixes  $r::'U \text{ rel and } Ps::'U \text{ set set and } f::'U \text{ rel} \Rightarrow 'U \text{ set and } \alpha::'U \text{ rel}$ 
assumes  $a1: \text{Refl } r \wedge \neg \text{finite } r \text{ and } a2: f \in \mathcal{N} r Ps$ 
and  $a3: \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha| \text{ and } a4: \alpha <_o |\text{Field } r|$ 
shows  $\bigwedge F. F \in SCF (\text{Restr } r (f \alpha)) \implies$ 
 $\forall a \in \mathcal{W} r f \alpha. \exists b \in (F \cap (\mathcal{W} r f \alpha)). (a,b) \in (\text{Restr } r (\mathcal{W} r f \alpha))^{\hat{*}}$ 
 $\langle proof \rangle$ 

lemma lem-Nf-lewfbnd:
assumes  $a1: f \in \mathcal{N} r Ps \text{ and } a2: \alpha \leq_o |\text{Field } r| \text{ and } a3: \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha|$ 
shows  $\omega\text{-ord} \leq_o \alpha$ 
 $\langle proof \rangle$ 

```

**lemma** *lem-regcard-iso*:  $\kappa =_o \kappa' \implies \text{regularCard } \kappa' \implies \text{regularCard } \kappa$   
*(proof)*

**lemma** *lem-cardsuc-inf-gwreg*:  $\neg \text{finite } A \implies \kappa =_o \text{cardSuc } |A| \implies \omega\text{-ord} <_o \kappa$   
 $\wedge \text{regularCard } \kappa$   
*(proof)*

**lemma** *lem-ccr-rcscf-struct*:  
**fixes**  $r::'U \text{ rel}$   
**assumes**  $a1: \text{Refl } r \text{ and } a2: CCR r \text{ and } a3: \omega\text{-ord} <_o \text{scf } r \text{ and } a4: \text{regularCard } (\text{scf } r)$   
 $\text{and } a5: \text{scf } r =_o |\text{Field } r|$   
**shows**  $\exists Ps. \exists f \in \mathcal{N} r Ps.$   
 $\forall \alpha. \omega\text{-ord} \leq_o |\mathfrak{L} f \alpha| \wedge \alpha <_o |\text{Field } r| \wedge \text{isSuccOrd } \alpha \longrightarrow$   
 $CCR(\text{Restr } r (\mathcal{W} r f \alpha)) \wedge |\text{Restr } r (\mathcal{W} r f \alpha)| <_o |\text{Field } r|$   
 $\wedge (\forall a \in \mathcal{W} r f \alpha. \text{wesc-rel } r f \alpha a (\text{wesc } r f \alpha a))$   
*(proof)*

**lemma** *lem-oint-infcard-sc-cf*:  
**fixes**  $\alpha0::'a \text{ rel and } \kappa::'U \text{ rel and } S::'U \text{ rel set}$   
**assumes**  $a1: \text{Card-order } \kappa \text{ and } a2: \omega\text{-ord} \leq_o \kappa$   
 $\text{and } a3: S = \{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha0 \leq_o \alpha \wedge \text{isSuccOrd } \alpha \wedge \alpha <_o \kappa\}$   
**shows**  $\forall \alpha \in S. \exists \beta \in S. \alpha <_o \beta$   
*(proof)*

**lemma** *lem-oint-infcard-gew-sc-cfbnd*:  
**fixes**  $\alpha0::'a \text{ rel and } \kappa::'U \text{ rel and } S::'U \text{ rel set}$   
**assumes**  $a1: \text{Card-order } \kappa \text{ and } a2: \omega\text{-ord} \leq_o \kappa \text{ and } a3: \alpha0 <_o \kappa \text{ and } a4: \alpha0 =_o \omega\text{-ord}$   
 $\text{and } a5: S = \{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha0 \leq_o \alpha \wedge \text{isSuccOrd } \alpha \wedge \alpha <_o \kappa\}$   
**shows**  $|\{\alpha \in \mathcal{O}::'U \text{ rel set}. \alpha <_o \kappa\}| \leq_o |S|$   
 $\wedge (\exists f. (\forall \alpha \in \mathcal{O}::'U \text{ rel set}. \alpha0 \leq_o \alpha \wedge \alpha <_o \kappa \longrightarrow \alpha \leq_o f \alpha \wedge f \alpha \in S))$   
*(proof)*

**lemma** *lem-rcc-uset-rcc-bnd*:  
**assumes**  $s \in \mathfrak{U} r$   
**shows**  $\|r\| \leq_o \|s\|$   
*(proof)*

**lemma** *lem-dc2-ccr-scf-lew*:  
**fixes**  $r::'U \text{ rel}$   
**assumes**  $a1: CCR r \text{ and } a2: \text{scf } r \leq_o \omega\text{-ord}$   
**shows**  $DCR 2 r$   
*(proof)*

**lemma** *lem-dc3-ccr-refl-scf-wsuc*:  
**fixes**  $r::'U \text{ rel}$   
**assumes**  $a1: \text{Refl } r \text{ and } a2: CCR r$   
 $\text{and } a3: |\text{Field } r| =_o \text{cardSuc } |\text{UNIV}:nat \text{ set}| \text{ and } a4: \text{scf } r =_o |\text{Field } r|$

```

shows DCR 3 r
⟨proof⟩

lemma lem-dc3-ccr-scf-lewsuc:
fixes r::'U rel
assumes a1: CCR r and a2: |Field r| ≤o cardSuc |UNIV::nat set|
shows DCR 3 r
⟨proof⟩

lemma lem-Cprf-conf-ccr-decomp:
fixes r::'U rel
assumes confl-rel r
shows ∃ S::('U rel set). (∀ s∈S. CCR s) ∧ (r = ∪ S) ∧ (∀ s1∈S. ∀ s2∈S. s1 ≠ s2 → Field s1 ∩ Field s2 = {} )
⟨proof⟩

lemma lem-Cprf-dc-disj-fld-un:
fixes S::'U rel set and n::nat
assumes a1: ∀ s1∈S. ∀ s2∈S. s1≠s2 → Field s1 ∩ Field s2 = {}
and a2: ∀ s∈S. DCR n s
shows DCR n (∪ S)
⟨proof⟩

lemma lem-dc3-to-d3:
fixes r::'U rel
assumes DCR 3 r
shows DCR3 r
⟨proof⟩

lemma lem-dc3-conf-lewsuc:
fixes r::'U rel
assumes a1: confl-rel r and a2: |Field r| ≤o cardSuc |UNIV::nat set|
shows DCR 3 r
⟨proof⟩

lemma lem-cle-eqdef: |A| ≤o |B| = (exists g . A ⊆ g'B)
⟨proof⟩

lemma lem-cardLeN1-eqdef:
fixes A::'a set
shows cardLeN1 A = ( |A| ≤o cardSuc |{n::nat . True}| )
⟨proof⟩

lemma lem-cleN1-eqdef:
fixes r::('U×'U) set
shows ( |r| ≤o cardSuc |{n::nat . True}| )
 $\longleftrightarrow$  ( ∀ s ⊆ r. ( ( ∀ t ⊆ s . ((exists t' f. t' ⊂ t ∧ t ⊆ f't') → (exists f. s ⊆ f't)) ) )
 $\vee$  ( exists g . r ⊆ g's )
)
)

```

$\langle proof \rangle$

### 1.2.3 Result

The next theorem has the following meaning: if the cardinality of a confluent binary relation  $r$  does not exceed the first uncountable cardinal, then confluence of  $r$  can be proved with the help of the decreasing diagrams method using no more than 3 labels (e.g. 0, 1, 2 ordered in the usual way).

**theorem** *thm-main*:

**fixes**  $r:('U \times 'U)$  set

**assumes**  $\forall a b c. (a,b) \in r^* \wedge (a,c) \in r^* \rightarrow (\exists d. (b,d) \in r^* \wedge (c,d) \in r^*)$

**and**  $|r| \leq o \text{ cardSuc } |\{n:\text{nat} . \text{ True}\}|$

**shows**  $\exists r0 r1 r2.$

$(r = (r0 \cup r1 \cup r2))$

$\wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r0$

$\rightarrow (\exists d.$

$(b,d) \in r0^=$

$\wedge (c,d) \in r0^=))$

$\wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r1$

$\rightarrow (\exists b' d.$

$(b,b') \in r1^= \wedge (b',d) \in r0^*$

$\wedge (c,d) \in r0^*))$

$\wedge (\forall a b c. (a,b) \in r1 \wedge (a,c) \in r1$

$\rightarrow (\exists b' b'' c' c'' d.$

$(b,b') \in r1^= \wedge (b',b'') \in r1^= \wedge (b'',d) \in r0^*$

$\wedge (c,c') \in r0^* \wedge (c',c'') \in r1^= \wedge (c'',d) \in r0^*)$

$\wedge (\forall a b c. (a,b) \in r0 \wedge (a,c) \in r2$

$\rightarrow (\exists b' d.$

$(b,b') \in r2^= \wedge (b',d) \in (r0 \cup r1)^*$

$\wedge (c,d) \in (r0 \cup r1)^*))$

$\wedge (\forall a b c. (a,b) \in r1 \wedge (a,c) \in r2$

$\rightarrow (\exists b' b'' d.$

$(b,b') \in r0^* \wedge (b',b'') \in r2^= \wedge (b'',d) \in (r0 \cup r1)^*$

$\wedge (c,d) \in (r0 \cup r1)^*))$

$\wedge (\forall a b c. (a,b) \in r2 \wedge (a,c) \in r2$

$\rightarrow (\exists b' b'' c' c'' d.$

$(b,b') \in (r0 \cup r1)^* \wedge (b',b'') \in r2^= \wedge (b'',d) \in (r0 \cup r1)^*$

$\wedge (c,c') \in (r0 \cup r1)^* \wedge (c',c'') \in r2^= \wedge (c'',d) \in (r0 \cup r1)^*)$

)

)

$\langle proof \rangle$

end

### 1.3 Optimality of the DCR3 method for proving confluence of relations of the least uncountable cardinality

**theory** *DCR3-Optimality*

**imports**

*HOL–Cardinals.Cardinals*  
*Finite-DCR-Hierarchy*

**begin**

### 1.3.1 Auxiliary definitions

**datatype**  $Lev = 10 \mid 11 \mid 12 \mid 13 \mid 14 \mid 15 \mid 16 \mid 17 \mid 18$

**type-synonym**  $'U rD = Lev \times 'U set \times 'U set \times 'U set$

**fun**  $rP :: Lev \Rightarrow 'U set \Rightarrow 'U set \Rightarrow 'U set \Rightarrow Lev \Rightarrow 'U set \Rightarrow 'U set \Rightarrow 'U set \Rightarrow 'U set \Rightarrow bool$

**where**

$| rP 10 A B C n' A' B' C' = (A = \{\} \wedge B = \{\} \wedge C = \{\} \wedge n' = 11 \wedge finite A'$   
 $\wedge B' = \{\} \wedge C' = \{\})$   
 $| rP 11 A B C n' A' B' C' = (finite A \wedge B = \{\} \wedge C = \{\} \wedge n' = 12 \wedge A' = A$   
 $\wedge B' = \{\} \wedge C' = \{\})$   
 $| rP 12 A B C n' A' B' C' = (finite A \wedge B = \{\} \wedge C = \{\} \wedge n' = 13 \wedge A' = A$   
 $\wedge finite B' \wedge C' = \{\})$   
 $| rP 13 A B C n' A' B' C' = (finite A \wedge finite B \wedge C = \{\} \wedge n' = 14 \wedge A' = A$   
 $\wedge B' = B \wedge C' = \{\})$   
 $| rP 14 A B C n' A' B' C' = (finite A \wedge finite B \wedge C = \{\} \wedge n' = 15 \wedge A' = A$   
 $\wedge B' = B \wedge finite C')$   
 $| rP 15 A B C n' A' B' C' = (finite A \wedge finite B \wedge finite C \wedge n' = 16 \wedge A' = A$   
 $\wedge B' = B \wedge C' = C)$   
 $| rP 16 A B C n' A' B' C' = (finite A \wedge finite B \wedge finite C \wedge n' = 17 \wedge A' = A$   
 $\cup B \cup C \wedge B' = A' \wedge C' = A')$   
 $| rP 17 A B C n' A' B' C' = (finite A \wedge B = A \wedge C = A \wedge n' = 18 \wedge A' = A \wedge$   
 $B' = A' \wedge C' = A')$   
 $| rP 18 A B C n' A' B' C' = (finite A \wedge B = A \wedge C = A \wedge n' = 17 \wedge A \subset A' \wedge$   
 $finite A' \wedge B' = A' \wedge C' = A')$

**definition**  $rC :: 'U set \Rightarrow 'U set \Rightarrow 'U set \Rightarrow 'U set \Rightarrow bool$

**where**

$rC S A B C = (A \subseteq S \wedge B \subseteq S \wedge C \subseteq S)$

**definition**  $rE :: 'U set \Rightarrow ('U rD) rel$

**where**

$rE S = \{ ((n1, A1, B1, C1), (n2, A2, B2, C2)). rP n1 A1 B1 C1 n2 A2 B2$   
 $C2 \wedge rC S A1 B1 C1 \wedge rC S A2 B2 C2 \}$

**fun**  $lev\text{-}next :: Lev \Rightarrow Lev$

**where**

$| lev\text{-}next 10 = 11$   
 $| lev\text{-}next 11 = 12$   
 $| lev\text{-}next 12 = 13$   
 $| lev\text{-}next 13 = 14$   
 $| lev\text{-}next 14 = 15$   
 $| lev\text{-}next 15 = 16$

```

| lev-next 16 = 17
| lev-next 17 = 18
| lev-next 18 = 17

fun levrd :: 'U rD  $\Rightarrow$  Lev
where
  levrd (n, A, B, C) = n

fun wrd :: 'U rD  $\Rightarrow$  'U set
where
  wrd (n, A, B, C) = A  $\cup$  B  $\cup$  C

definition Wrd :: 'U rD set  $\Rightarrow$  'U set
where
  Wrd S = ( $\bigcup$  (wrd ' S))

```

**definition** bkset :: 'a rel  $\Rightarrow$  'a set  $\Rightarrow$  'a set  
**where**  
 bkset r A = ((r<sup>\*</sup>)<sup>-1</sup>)<sup>‘</sup>A

### 1.3.2 Auxiliary lemmas

**lemma** lem-rtr-field:  $(x,y) \in r^*$   $\implies (x = y) \vee (x \in \text{Field } r \wedge y \in \text{Field } r)$   
*⟨proof⟩*

**lemma** lem-fin-fl-rel:  $\text{finite}(\text{Field } r) = \text{finite } r$   
*⟨proof⟩*

**lemma** lem-rel-inf fld-card:  
**fixes** r::'*U* rel  
**assumes**  $\neg \text{finite } r$   
**shows**  $|\text{Field } r| = o |r|$   
*⟨proof⟩*

**lemma** lem-confl-field:  $\text{confl-rel } r = (\forall a \in \text{Field } r. \forall b \in \text{Field } r. \forall c \in \text{Field } r.$   
 $(a,b) \in r^* \wedge (a,c) \in r^* \longrightarrow$   
 $(\exists d \in \text{Field } r. (b,d) \in r^* \wedge (c,d) \in r^*))$   
*⟨proof⟩*

**lemma** lem-d2-to-dc2:  
**fixes** r::'*U* rel  
**assumes** DCR2 r  
**shows** DCR 2 r  
*⟨proof⟩*

**lemma** lem-dc2-to-d2:  
**fixes** r::'*U* rel  
**assumes** DCR 2 r  
**shows** DCR2 r

$\langle proof \rangle$

**lemma** *lem-rP-inv*:  $rP n A B C n' A' B' C' \implies (A \subseteq A' \wedge B \subseteq B' \wedge C \subseteq C' \wedge \text{finite } A \wedge \text{finite } B \wedge \text{finite } C \wedge \text{finite } A' \wedge \text{finite } B' \wedge \text{finite } C')$   
 $\langle proof \rangle$

**lemma** *lem-infset-finext*:  
fixes  $S::'U$  set and  $A::'U$  set  
assumes  $\neg \text{finite } S$  and  $\text{finite } A$  and  $A \subseteq S$   
shows  $\exists B. B \subseteq S \wedge A \subset B \wedge \text{finite } B$   
 $\langle proof \rangle$

**lemma** *lem-rE-df*:  
fixes  $S::'U$  set  
shows  $(u,v) \in rE S \implies (u,w) \in rE S \implies (v,t) \in (rE S)^{\hat{=}} \implies (w,t) \in (rE S)^{\hat{=}}$   
 $v = w$   
 $\langle proof \rangle$

**lemma** *lem-rE-succ-lev*:  
fixes  $S::'U$  set  
assumes  $(u,v) \in rE S$   
shows  $\text{levrd } v = (\text{lev-next} (\text{levrd } u))$   
 $\langle proof \rangle$

**lemma** *lem-rE-levset-inv*:  
fixes  $S::'U$  set and  $L u v$   
assumes  $a1: (u,v) \in (rE S)^{\hat{*}}$  and  $a2: \text{levrd } u \in L$  and  $a3: \text{lev-next}^* L \subseteq L$   
shows  $\text{levrd } v \in L$   
 $\langle proof \rangle$

**lemma** *lem-rE-levun*:  
fixes  $S::'U$  set  
shows  $u \in \text{Domain } (rE S) \implies \text{levrd } u \in \{11, 13, 15\} \implies \exists v. (rE S)^{\hat{=}} \{u\} \subseteq \{v\}$   
 $\langle proof \rangle$

**lemma** *lem-rE-domfield*:  
fixes  $S::'U$  set  
assumes  $\neg \text{finite } S$   
shows  $\text{Domain } (rE S) = \text{Field } (rE S)$   
 $\langle proof \rangle$

**lemma** *lem-wrd-fin-field-rE*:  
fixes  $S::'U$  set  
assumes  $\neg \text{finite } S$   
shows  $u \in \text{Field } (rE S) \implies \text{finite } (\text{wrd } u)$   
 $\langle proof \rangle$

**lemma** *lem-rE-rtr-wrd-mon*:  
fixes  $S::'U$  set and  $u v::'U rD$

```

shows  $(u,v) \in (rE S)^{\hat{*}} \implies wrd u \subseteq wrd v$ 
<proof>

lemma lem-Wrd-bkset-rE:  $Wrd(bkset(rE S) U) = Wrd U$ 
<proof>

lemma lem-Wrd-rE-field-subs-cnt:
fixes  $S::'U$  set and  $U::('U rD)$  set
assumes  $\neg finite S$ 
shows  $U \subseteq Field(rE S) \implies |U| \leq o |UNIV::nat set| \implies |Wrd U| \leq o |UNIV::nat set|$ 
<proof>

lemma lem-rE-dn-cnt:
fixes  $S::'U$  set and  $U::('U rD)$  set
assumes  $\neg finite S$ 
shows  $U \subseteq Field(rE S) \implies |U| \leq o |UNIV::nat set| \implies V \subseteq bkset(rE S) U$ 
 $\implies |Wrd V| \leq o |UNIV::nat set|$ 
<proof>

lemma lem-rE-succ-Wrd-univ:  $(u,w) \in (rE S) \implies levrd u \in \{10, 12, 14\} \implies S - wrd w \subseteq Wrd(((rE S)^{\{u\}}) - \{w\})$ 
<proof>

lemma lem-rE-succ-nocntbnd:
fixes  $S::'U$  set and  $u0::'U rD$  and  $v0::'U rD$  and  $U::('U rD)$  set
assumes  $a0: \neg |S| \leq o |UNIV::nat set|$  and  $a1: (u0, v0) \in (rE S)$  and  $a2: levrd u0 \in \{10, 12, 14\}$ 
and  $a3: U \subseteq Field(rE S)$  and  $a4: ((rE S)^{\{u0\}}) - \{v0\} \subseteq bkset(rE S) U$ 
shows  $\neg |U| \leq o |UNIV::nat set|$ 
<proof>

lemma lem-rE-succ-nocntbnd2:
fixes  $S::'U$  set and  $u0::'U rD$  and  $v0::'U rD$ 
assumes  $a0: \neg |S| \leq o |UNIV::nat set|$ 
and  $a1: (u0, v0) \in (rE S)$  and  $a2: levrd u0 \in \{10, 12, 14\}$ 
and  $a3: r \subseteq (rE S)$  and  $a4: \forall u. |r^{\{u\}}| \leq o |UNIV::nat set|$ 
and  $a5: ((rE S)^{\{u0\}}) - \{v0\} \subseteq bkset(rE S) ((r^*)^{\{u0\}})$ 
shows False
<proof>

lemma lem-rE-diamsubr-un:
fixes  $S::'U$  set
assumes  $a1: r0 \subseteq (rE S)$  and  $a2: \forall a b c. (a,b) \in r0 \wedge (a,c) \in r0 \longrightarrow (\exists d. (b,d) \in r0^{\hat{=}} \wedge (c,d) \in r0^{\hat{=}})$ 
shows  $\forall u. \exists v. r0^{\{u\}} \subseteq \{v\}$ 
<proof>

lemma lem-rE-succ-nocntbnd3:

```

```

fixes S::'U set and u0::'U rD and v0::'U rD
assumes a0:  $\neg |S| \leq_o |\text{UNIV}::\text{nat set}|$ 
    and a1: LD2 (rE S) r0 r1
    and a2:  $(u0, v0) \in (rE S)$  and a3: levrd u0  $\in \{10, 12, 14\}$ 
    and a4:  $r = \{(u, v) \in rE S. u = v0\} \cup r0$ 
    and a5:  $((rE S) `` \{u0\}) - \{v0\} \subseteq \text{bkset } (rE S) ((r^*) `` \{u0\})$ 
shows False
⟨proof⟩

lemma lem-rE-one:
fixes S::'U set and u0::'U rD and v0::'U rD
assumes a0:  $\neg |S| \leq_o |\text{UNIV}::\text{nat set}|$  and a1: LD2 (rE S) r0 r1
    and a2:  $(u0, v0) \in r0$  and a3: levrd u0  $\in \{10, 12, 14\}$ 
shows False
⟨proof⟩

lemma lem-rE-jn0:
fixes S::'U set and u1::'U rD and u2::'U rD and v::'U rD
assumes a1:  $(u1, v) \in (rE S)$  and a2:  $(u2, v) \in (rE S)$  and a3:  $u1 \neq u2$ 
shows levrd v  $\in \{17, 18\}$ 
⟨proof⟩

lemma lem-rE-jn1:
fixes S::'U set and u1::'U rD and u2::'U rD and v::'U rD
assumes a1:  $(u1, v) \in (rE S)$  and a2:  $(u2, v) \in (rE S)^*$  and a3:  $(u1, u2) \notin (rE S) \wedge (u2, u1) \notin (rE S)^*$ 
shows levrd v  $\in \{17, 18\}$ 
⟨proof⟩

lemma lem-rE-jn2:
fixes S::'U set and u1::'U rD and u2::'U rD and v::'U rD
assumes a1:  $(u1, v) \in (rE S)^*$  and a2:  $(u2, v) \in (rE S)^*$  and a3:  $(u1, u2) \notin (rE S)^* \wedge (u2, u1) \notin (rE S)^*$ 
shows levrd v  $\in \{17, 18\}$ 
⟨proof⟩

lemma lem-rel-pow2fw:  $(u, u1) \in r \wedge (u1, v) \in r \longrightarrow (u, v) \in r^{\wedge 2}$ 
⟨proof⟩

lemma lem-rel-pow3fw:  $(u, u1) \in r \wedge (u1, u2) \in r \wedge (u2, v) \in r \longrightarrow (u, v) \in r^{\wedge 3}$ 
⟨proof⟩

lemma lem-rel-pow3:  $(u, v) \in r^{\wedge 3} \implies \exists u1 u2. (u, u1) \in r \wedge (u1, u2) \in r \wedge (u2, v) \in r$ 
⟨proof⟩

lemma lem-rel-pow4:  $(u, v) \in r^{\wedge 4} \implies \exists u1 u2 u3. (u, u1) \in r \wedge (u1, u2) \in r \wedge (u2, u3) \in r \wedge (u3, v) \in r$ 
⟨proof⟩

```

```

lemma lem-rel-pow5:  $(u,v) \in r^{\wedge\wedge} 5 \implies \exists u1 u2 u3 u4. (u,u1) \in r \wedge (u1,u2) \in r \wedge (u2,u3) \in r \wedge (u3,u4) \in r \wedge (u4,v) \in r$ 
<proof>

lemma lem-rE-l1-l78-dist:
fixes S::'U set
assumes a1: levrd u = 11 and a2: levrd v ∈ {17, 18} and a3: n ≤ 5
shows  $(u,v) \notin (rE S)^{\wedge\wedge} n$ 
<proof>

lemma lem-rE-notLD2:
fixes S::'U set and r0 r1::('U rD) rel
assumes a0:  $\neg |S| \leq_o |\text{UNIV}:\text{nat set}|$  and a1: LD2 (rE S) r0 r1
shows False
<proof>

lemma lem-rE-dominv:
fixes S::'U set
assumes  $\neg \text{finite } S$ 
shows  $u \in \text{Domain } (rE S) \implies (u,v) \in (rE S)^{\wedge\wedge} \implies v \in \text{Domain } (rE S)$ 
<proof>

lemma lem-rE-next:
fixes S::'U set
assumes  $\neg \text{finite } S$  and  $u \in \text{Domain } (rE S)$ 
shows  $\exists v. (u,v) \in (rE S) \wedge v \in \text{Domain } (rE S) \wedge \text{levrd } v = (\text{lev-next } (\text{levrd } u))$ 
<proof>

lemma lem-rE-reachl8:
fixes S::'U set
assumes  $\neg \text{finite } S$  and  $u \in \text{Domain } (rE S)$ 
shows  $\exists v. (u,v) \in (rE S)^{\wedge\wedge} \wedge v \in \text{Domain } (rE S) \wedge \text{levrd } v = 18$ 
<proof>

lemma lem-rE-jn:
fixes S::'U set
assumes a0:  $\neg \text{finite } S$  and a1:  $u1 \in \text{Domain } (rE S)$  and a2:  $u2 \in \text{Domain } (rE S)$ 
shows  $\exists t. (u1,t) \in (rE S)^{\wedge\wedge} \wedge (u2,t) \in (rE S)^{\wedge\wedge}$ 
<proof>

lemma lem-rE-conft:
fixes S::'U set
assumes  $\neg \text{finite } S$ 
shows confl-rel (rE S)
<proof>

lemma lem-rE-dc3dc2:

```

```

fixes S::'U set
assumes  $\neg |S| \leq_o |\text{UNIV}::\text{nat set}|$ 
shows confl-rel (rE S)  $\wedge (\neg DCR2 (\text{rE } S))$ 
⟨proof⟩

lemma lem-rE-cardbnd:
fixes S::'U set
assumes finite S
shows  $|\text{rE } S| \leq_o |S|$ 
⟨proof⟩

lemma lem-fmap-rel:
fixes f r s a0 b0
assumes a1:  $(a0, b0) \in r^*$  and a2:  $\forall a b. (a, b) \in r \longrightarrow (f a, f b) \in s$ 
shows  $(f a0, f b0) \in s^*$ 
⟨proof⟩

lemma lem-fmap-confl:
fixes r::'a rel and f::'a  $\Rightarrow$  'b
assumes a1: inj-on f (Field r) and a2: confl-rel r
shows confl-rel { $(u, v). \exists a b. u = f a \wedge v = f b \wedge (a, b) \in r$ }
⟨proof⟩

lemma lem-fmap-dcn:
fixes r::'a rel and f::'a  $\Rightarrow$  'b
assumes a1: inj-on f (Field r) and a2: DCR n r
shows DCR n { $(u, v). \exists a b. u = f a \wedge v = f b \wedge (a, b) \in r$ }
⟨proof⟩

lemma lem-not-dcr2:
assumes cardSuc |UNIV::nat set|  $\leq_o |\text{UNIV}::'\text{U set}|$ 
shows  $\exists r::'\text{U rel. confl-rel } r \wedge |r| \leq_o \text{cardSuc } |\text{UNIV}::\text{nat set}| \wedge (\neg DCR2 r)$ 
⟨proof⟩

```

### 1.3.3 Result

The next theorem has the following meaning: if the set of elements of type '*U*' is uncountable, then there exists a confluent binary relation *r* on '*U*' such that the cardinality of *r* does not exceed the first uncountable cardinal and confluence of *r* cannot be proved using the decreasing diagrams method with 2 labels.

```

theorem thm-example-not-dcr2:
assumes cardSuc |{n::nat. True}|  $\leq_o |\{x::'\text{U}. \text{True}\}|$ 
shows  $\exists r::'\text{U rel. } (\forall a b c. (a, b) \in r^* \wedge (a, c) \in r^* \longrightarrow (\exists d. (b, d) \in r^* \wedge (c, d) \in r^*))$ 
 $\wedge |r| \leq_o \text{cardSuc } |\{n::\text{nat. True}\}|$ 
 $\wedge (\neg (\exists r0 r1. ($ 

```

```

( r = (r0 ∪ r1) )
∧ (∀ a b c. (a,b) ∈ r0 ∧ (a,c) ∈ r0
    → (∃ d.
        (b,d) ∈ r0^=
        ∧ (c,d) ∈ r0^=) )
∧ (∀ a b c. (a,b) ∈ r0 ∧ (a,c) ∈ r1
    → (∃ b' d.
        (b,b') ∈ r1^= ∧ (b',d) ∈ r0^*
        ∧ (c,d) ∈ r0^*) )
∧ (∀ a b c. (a,b) ∈ r1 ∧ (a,c) ∈ r1
    → (∃ b' b'' c' c'' d.
        (b,b') ∈ r0^* ∧ (b',b'') ∈ r1^= ∧ (b'',d) ∈ r0^*
        ∧ (c,c') ∈ r0^* ∧ (c',c'') ∈ r1^= ∧ (c'',d) ∈ r0^*) ) ) )
) )
⟨proof⟩

```

**corollary** cor-example-not-dcr2:

```

shows ∃ r::(nat set) rel. (
    ( ∀ a b c. (a,b) ∈ r^* ∧ (a,c) ∈ r^* → (∃ d. (b,d) ∈ r^* ∧ (c,d) ∈ r^*) )
)
    ∧ |r| ≤o cardSuc |{n::nat. True}|
    ∧ (¬ ( ∃ r0 r1. (
        ( r = (r0 ∪ r1) )
        ∧ (∀ a b c. (a,b) ∈ r0 ∧ (a,c) ∈ r0
            → (∃ d.
                (b,d) ∈ r0^=
                ∧ (c,d) ∈ r0^=) )
        ∧ (∀ a b c. (a,b) ∈ r0 ∧ (a,c) ∈ r1
            → (∃ b' d.
                (b,b') ∈ r1^= ∧ (b',d) ∈ r0^*
                ∧ (c,d) ∈ r0^*) )
        ∧ (∀ a b c. (a,b) ∈ r1 ∧ (a,c) ∈ r1
            → (∃ b' b'' c' c'' d.
                (b,b') ∈ r0^* ∧ (b',b'') ∈ r1^= ∧ (b'',d) ∈ r0^*
                ∧ (c,c') ∈ r0^* ∧ (c',c'') ∈ r1^= ∧ (c'',d) ∈ r0^*) ) ) )
    ) )
    ⟨proof⟩

```

end

## 1.4 DCR implies LD Property

```

theory Main-Result-DCR-N1
imports
  DCR3-Method
  Decreasing-Diagrams.Decreasing-Diagrams
begin

```

### 1.4.1 Auxiliary definitions

```

definition map-seq-labels :: ('b ⇒ 'c) ⇒ ('a,'b) seq ⇒ ('a,'c) seq
where
  map-seq-labels f σ = (fst σ, map (λ(α,a). (f α, a)) (snd σ))

fun map-diag-labels :: ('b ⇒ 'c) ⇒
  ('a,'b) seq × ('a,'b) seq × ('a,'b) seq × ('a,'b) seq ⇒
  ('a,'c) seq × ('a,'c) seq × ('a,'c) seq × ('a,'c) seq
where
  map-diag-labels f (τ,σ,σ',τ') = ((map-seq-labels f τ), (map-seq-labels f σ), (map-seq-labels f σ'), (map-seq-labels f τ'))

fun f-to-ls :: (nat ⇒ 'a) ⇒ nat ⇒ 'a list
where
  f-to-ls f 0 = []
  | f-to-ls f (Suc n) = (f-to-ls f n) @ [(f n)]

```

### 1.4.2 Auxiliary lemmas

**lemma** lem-ftofs-len: length (f-to-ls f n) = n *<proof>*

**lemma** lem-irr-inj-im-irr:  
**fixes** r::'a rel **and** r'::'b rel **and** f::'a ⇒ 'b  
**assumes** irrefl r **and** inj-on f (Field r)  
**and** r' = {(a',b'). ∃ a b. a' = f a ∧ b' = f b ∧ (a,b) ∈ r}  
**shows** irrefl r'  
*<proof>*

**lemma** lem-tr-inj-im-tr:  
**fixes** r::'a rel **and** r'::'b rel **and** f::'a ⇒ 'b  
**assumes** trans r **and** inj-on f (Field r)  
**and** r' = {(a',b'). ∃ a b. a' = f a ∧ b' = f b ∧ (a,b) ∈ r}  
**shows** trans r'  
*<proof>*

**lemma** lem-lpeak-expr: local-peak lrs (τ, σ) = (exists a b c α β. (a,α,b) ∈ lrs ∧ (a,β,c) ∈ lrs ∧ τ = (a,[(α,b)]) ∧ σ = (a,[(β,c)]))  
*<proof>*

**lemma** lem-map-seq:  
**fixes** lrs::('a,'b) lars **and** f::'b ⇒ 'c **and** lrs'::('a,'c) lars **and** σ::('a,'b) seq  
**assumes** a1: lrs' = {(a,l',b). ∃ l. l' = f l ∧ (a,l,b) ∈ lrs }  
**and** a2: σ ∈ Decreasing-Diagrams.seq lrs'  
**shows** (map-seq-labels f σ) ∈ Decreasing-Diagrams.seq lrs'  
*<proof>*

**lemma** lem-map-diag:  
**fixes** lrs::('a,'b) lars **and** f::'b ⇒ 'c **and** lrs'::('a,'c) lars  
**and** d::('a,'b) seq × ('a,'b) seq × ('a,'b) seq × ('a,'b) seq

```

assumes a1:  $lrs' = \{(a, l', b). \exists l. l' = f l \wedge (a, l, b) \in lrs\}$ 
    and a2: diagram  $lrs d$ 
shows diagram  $lrs'$  (map-diag-labels  $f d$ )
⟨proof⟩

lemma lem-map-D-loc:
fixes cmp cmp' s1 s2 s3 s4 f
assumes a1: Decreasing-Diagrams.D cmp s1 s2 s3 s4
    and a2: trans cmp and a3: irrefl cmp and a4: inj-on f (Field cmp)
    and a5:  $cmp' = \{(a', b'). \exists a b. a' = f a \wedge b' = f b \wedge (a, b) \in cmp\}$ 
    and a6: length s1 = 1 and a7: length s2 = 1
shows Decreasing-Diagrams.D cmp' (map f s1) (map f s2) (map f s3) (map f s4)
⟨proof⟩

lemma lem-map-DD-loc:
fixes lrs::('a, 'b) lars and cmp::'b rel and lrs'::('a, 'c) lars and cmp'::'c rel and
f::'b ⇒ 'c
assumes a1: trans cmp and a2: irrefl cmp and a3: inj-on f (Field cmp)
    and a4:  $cmp' = \{(a', b'). \exists a b. a' = f a \wedge b' = f b \wedge (a, b) \in cmp\}$ 
    and a5:  $lrs' = \{(a, l', b). \exists l. l' = f l \wedge (a, l, b) \in lrs\}$ 
    and a6: length (snd (fst d)) = 1 and a7: length (snd (fst (snd d))) = 1
    and a8: DD lrs cmp d
shows DD lrs' cmp' (map-diag-labels f d)
⟨proof⟩

lemma lem-ddseq-mon:  $lrs1 \subseteq lrs2 \implies$  Decreasing-Diagrams.seq lrs1 ⊆ Decreasing-Diagrams.seq lrs2
⟨proof⟩

lemma lem-dd-D-mon:
fixes cmp1 cmp2 α β s1 s2
assumes a1: trans cmp1 ∧ irrefl cmp1 and a2: trans cmp2 ∧ irrefl cmp2 and
a3:  $cmp1 \subseteq cmp2$ 
    and a4: Decreasing-Diagrams.D cmp1 [α] [β] s1 s2
shows Decreasing-Diagrams.D cmp2 [α] [β] s1 s2
⟨proof⟩

```

### 1.4.3 Result

The next lemma has the following meaning: every ARS in the finite DCR hierarchy has the LD property.

```

lemma lem-dcr-to-ls:
fixes n::nat and r::'U rel
assumes DCR n r
shows LD (UNIV::nat set) r
⟨proof⟩

```

## 2 Main theorem

The next theorem has the following meaning: if the cardinality of a binary relation  $r$  does not exceed the first uncountable cardinal ( $\text{cardSuc} \mid \text{UNIV}::\text{nat set}$ ), then the following two conditions are equivalent:

1.  $r$  is confluent (*Abstract-Rewriting.CR r*)
2.  $r$  can be proven confluent using the decreasing diagrams method with natural numbers as labels (*Decreasing-Diagrams.LD (UNIV::nat set) r*).

**theorem N1-completeness:**

**fixes**  $r::'a rel$

**assumes**  $|r| \leq o \text{ cardSuc} \mid \text{UNIV}::\text{nat set}$

**shows** *Abstract-Rewriting.CR r = Decreasing-Diagrams.LD (UNIV::nat set) r*  
 $\langle proof \rangle$

**end**

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