

Vosper’s Theorem and the Cauchy–Davenport Theorem via the Polynomial Method

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Abstract

This entry formalizes the Cauchy–Davenport lower bound and Vosper’s theorem for sumsets over finite fields of prime cardinality. It combines a polynomial-method proof of Cauchy–Davenport with a Davenport-transform proof of Vosper’s classification of the nontrivial equality case, and it provides modular-representative lemmas connecting the abstract prime-field results to normalized representatives of $\mathbb{Z}/p\mathbb{Z}$. AI assistance was used for proof engineering. The final definitions, statements, and proofs are checked by Isabelle.

1 Overview

For nonempty finite subsets A and B of a prime field \mathbb{F}_p , the Cauchy–Davenport theorem gives the lower bound

$$|A + B| \geq \min(p, |A| + |B| - 1).$$

Vosper’s theorem refines the extremal case: if $|A|, |B| \geq 2$, the sumset is not all of \mathbb{F}_p , and equality holds in the Cauchy–Davenport bound, then both sets are arithmetic progressions with a common difference. These results are standard cornerstones of additive combinatorics.

2 Formalization

The Isabelle/HOL development first establishes generic finite-sumset facts for translations, complements, and cardinalities. The proof of Cauchy–Davenport is then carried out by the polynomial method, using ordinary Isabelle polynomials to package the bivariate degree argument over finite fields of prime cardinality. Vosper’s theorem is proved via Davenport transforms: the formalization derives one-sided progression results under normalization hypotheses and combines them to obtain the final shared-difference classification. The entry also supplies concrete modular-translation facts over normalized representatives of $\mathbb{Z}/p\mathbb{Z}$.

3 Relation to existing AFP entries

The Cauchy–Davenport bound has been formalized in the AFP before. The entry *Kneser’s Theorem and the Cauchy–Davenport Theorem* [3] derives it as a corollary of Kneser’s addition theorem for abelian groups, and *A Generalization of the Cauchy–Davenport Theorem* [2] formalizes DeVos’s generalization to (possibly non-abelian) groups. Both developments are set in an abstract group structure and build on further AFP material.

The present entry is intended to complement, not duplicate, this work. Its principal new contribution is *Vosper’s theorem*, the critical-pair (inverse) theorem characterizing the equality case of the Cauchy–Davenport bound, which neither of the entries above provides. The Cauchy–Davenport bound itself is established here by a different route, the *polynomial method*

(Combinatorial Nullstellensatz) following Alon, Nathanson, and Ruzsa [1]: a single explicit polynomial is shown to be non-vanishing on a grid built from A and B . To the best of our knowledge this proof of Cauchy–Davenport is not otherwise present in the AFP. It is carried out directly for finite fields of prime cardinality, modelled by the `finite_field` type class together with a primality hypothesis on the field’s cardinality, and it depends only on the Isabelle/HOL distribution (`HOL-Computational_Algebra` and `HOL-Number_Theory`). Keeping this self-contained prime-field bound as the base case lets the Vosper development proceed without translating between the type-class and locale-based group representations.

4 Sources

The Cauchy–Davenport proof follows the polynomial-method perspective of Alon, Nathanson, and Ruzsa [1]. The additive-combinatorics background follows standard sources such as Nathanson [4] and Tao and Vu [5]. The inverse theorem formalized here is Vosper’s critical-pair theorem for groups of prime order [6].

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9 Overview 51

theory *Sumset-Basics*

imports *Main*

begin

definition *sumset* :: (*'a::comm-monoid-add*) *set* => *'a set* => *'a set* **where**
sumset A B = {*x*. $\exists a \in A. \exists b \in B. x = a + b$ }

lemma *sumset-iff*:

x \in *sumset A B* \longleftrightarrow ($\exists a \in A. \exists b \in B. x = a + b$)

by (*auto simp: sumset-def*)

lemma *sumsetI* [*intro*]:

assumes $a \in A \ b \in B$
shows $a + b \in \text{sumset } A \ B$
using *assms* **by** (*auto simp: sumset-def*)

lemma *sumsetE [elim]*:
assumes $x \in \text{sumset } A \ B$
obtains $a \ b$ **where** $a \in A \ b \in B \ x = a + b$
using *assms* **by** (*auto simp: sumset-def*)

lemma *sumset-as-image*:
 $\text{sumset } A \ B = \text{case-prod } (+) \ ' (A \times B)$
by (*auto simp: sumset-def*)

lemma *sumset-commute*:
 $\text{sumset } A \ B = \text{sumset } B \ A$
unfolding *sumset-def* **using** *add.commute* **by** *blast*

lemma *empty-sumset-left [simp]*:
 $\text{sumset } \{\} \ B = \{\}$
by (*auto simp: sumset-def*)

lemma *empty-sumset-right [simp]*:
 $\text{sumset } A \ \{\} = \{\}$
by (*auto simp: sumset-def*)

lemma *sumset-assoc*:
fixes $A \ B \ C :: ('a::\text{comm-monoid-add}) \ \text{set}$
shows $\text{sumset } (\text{sumset } A \ B) \ C = \text{sumset } A \ (\text{sumset } B \ C)$
unfolding *sumset-def* **using** *add.assoc* **by** *force*

lemma *sumset-zero-right [simp]*:
fixes $A :: ('a::\text{comm-monoid-add}) \ \text{set}$
shows $\text{sumset } A \ \{0\} = A$
by (*auto simp: sumset-def*)

lemma *sumset-zero-left [simp]*:
fixes $A :: ('a::\text{comm-monoid-add}) \ \text{set}$
shows $\text{sumset } \{0\} \ A = A$
by (*auto simp: sumset-def*)

definition *translate* $:: 'a::\text{comm-monoid-add} \Rightarrow 'a \ \text{set} \Rightarrow 'a \ \text{set}$ **where**
 $\text{translate } c \ A = ((+) \ c) \ ' A$

lemma *translate-iff*:
 $x \in \text{translate } c \ A \longleftrightarrow (\exists a \in A. x = c + a)$
by (*auto simp: translate-def*)

lemma *translate-empty [simp]*:
 $\text{translate } c \ \{\} = \{\}$
by (*simp add: translate-def*)

lemma *translate-compose*:
 $\text{translate } c \ (\text{translate } d \ A) = \text{translate } (c + d) \ A$
by (*simp add: translate-def image-image add-ac*)

lemma *translate-as-sumset*:
 $\text{translate } c \ A = \text{sumset } \{c\} \ A$
by (*auto simp: translate-def sumset-def*)

```

lemma finite-sumset [intro]:
  assumes finite A finite B
  shows finite (sumset A B)
  unfolding sumset-as-image
  using assms by (intro finite-imageI finite-cartesian-product)

lemma card-sumset-le:
  assumes finite A finite B
  shows card (sumset A B) ≤ card A * card B
proof -
  have card (sumset A B) = card (case-prod (+) ‘(A × B))
    by (simp add: sumset-as-image)
  also have ... ≤ card (A × B)
    using assms by (intro card-image-le finite-cartesian-product)
  also have ... = card A * card B
    using assms by simp
  finally show ?thesis .
qed

lemma card-translate-eq:
  fixes A :: ('a::cancel-comm-monoid-add) set
  assumes finite A
  shows card (translate c A) = card A
proof -
  have inj-on ((+) c) A
    by (rule inj-onI) simp
  with assms show ?thesis
    by (simp add: translate-def card-image)
qed

lemma translate-Compl:
  fixes A :: ('a::ab-group-add) set
  shows translate c (UNIV - A) = UNIV - translate c A
  by (simp add: translate-def translation-Compl flip: Compl-eq-Diff-UNIV)

lemma card-complement-translate-eq:
  fixes A :: ('a::{finite,ab-group-add}) set
  shows card (UNIV - translate c A) = card (UNIV - A)
proof -
  have card (translate c (UNIV - A)) = card (UNIV - A)
    by (simp add: card-translate-eq)
  then show ?thesis
    using translate-Compl[of c A] by simp
qed

lemma sumset-pair-zero:
  fixes A :: ('a::comm-monoid-add) set
  shows sumset A {0, d} = A ∪ translate d A
proof
  show sumset A {0, d} ⊆ A ∪ translate d A
  proof
    fix x
    assume x ∈ sumset A {0, d}
    then obtain a where a-in: a ∈ A and x-cases: x = a ∨ x = a + d
      by (auto simp: sumset-def)
    then consider x = a | x = a + d
      by blast
    then show x ∈ A ∪ translate d A
  end
end

```

```

proof cases
  case 1
  then show ?thesis
    using a-in by auto
next
  case 2
  have x = d + a
    using 2 by (simp add: add.commute)
  then show ?thesis
    using a-in by (auto simp: translate-def)
qed
qed
next
show  $A \cup \text{translate } d A \subseteq \text{sumset } A \{0, d\}$ 
proof
  fix x
  assume  $x \in A \cup \text{translate } d A$ 
  then show  $x \in \text{sumset } A \{0, d\}$ 
  proof
    assume  $x \in A$ 
    then show ?thesis
    proof -
      have  $0 \in \{0, d\}$ 
        by simp
      have  $x + 0 \in \text{sumset } A \{0, d\}$ 
        using  $\langle x \in A \rangle \langle 0 \in \{0, d\} \rangle$  by (rule sumsetI)
      then show ?thesis
        by simp
    qed
  qed
next
  assume  $x \in \text{translate } d A$ 
  then obtain a where a-in:  $a \in A$  and x-eq:  $x = d + a$ 
    by (auto simp: translate-def)
  then show ?thesis
    by (auto intro!: sumsetI simp: add.commute)
qed
qed
qed

```

```

end
theory Modular-Sumsets
imports
  Sumset-Basics
  HOL-Number-Theory.Number-Theory
begin

```

5 Modular sumsets over integer representatives of $\mathbb{Z}/p\mathbb{Z}$

This theory provides a concrete, self-contained companion to the abstract prime-field development. It works with the canonical integer representatives $0, \dots, p - 1$ of $\mathbb{Z}/p\mathbb{Z}$ and records the basic cardinality behaviour of modular translations and singleton sumsets in that representation.

definition *residues* :: $\text{nat} \Rightarrow \text{int set}$ **where**
residues $p = \{0..<\text{int } p\}$

definition *mod-translate* :: $\text{nat} \Rightarrow \text{int} \Rightarrow \text{int set} \Rightarrow \text{int set}$ **where**
mod-translate $p c A = ((\lambda x. (x + c) \text{ mod } \text{int } p) ' A)$

definition *mod-sumset* :: *nat* => *int set* => *int set* => *int set* **where**
mod-sumset *p A B* = {*x*. $\exists a \in A. \exists b \in B. x = (a + b) \bmod \text{int } p$ }

lemma *residues-finite* [*simp*]:
finite (*residues* *p*)
by (*simp add: residues-def*)

lemma *card-residues* [*simp*]:
assumes $0 < p$
shows *card* (*residues* *p*) = *p*
using *assms* **by** (*simp add: residues-def*)

lemma *mod-translate-iff*:
 $x \in \text{mod-translate } p \ c \ A \longleftrightarrow (\exists a \in A. x = (a + c) \bmod \text{int } p)$
by (*auto simp: mod-translate-def*)

lemma *mod-sumset-iff*:
 $x \in \text{mod-sumset } p \ A \ B \longleftrightarrow (\exists a \in A. \exists b \in B. x = (a + b) \bmod \text{int } p)$
by (*auto simp: mod-sumset-def*)

lemma *mod-sumset-as-image*:
 $\text{mod-sumset } p \ A \ B = ((\lambda x. x \bmod \text{int } p) ` \text{sumset } A \ B)$
by (*auto simp: mod-sumset-def sumset-def*)

lemma *mod-translate-subset-residues*:
assumes $0 < p$
shows $\text{mod-translate } p \ c \ A \subseteq \text{residues } p$
using *assms* **by** (*auto simp: mod-translate-def residues-def*)

lemma *mod-sumset-subset-residues*:
assumes $0 < p$
shows $\text{mod-sumset } p \ A \ B \subseteq \text{residues } p$
using *assms* **by** (*auto simp: mod-sumset-def residues-def*)

lemma *mod-sumset-singleton-left*:
 $\text{mod-sumset } p \ \{a\} \ B = \text{mod-translate } p \ a \ B$
proof
show $\text{mod-sumset } p \ \{a\} \ B \subseteq \text{mod-translate } p \ a \ B$
proof
fix *x*
assume $x \in \text{mod-sumset } p \ \{a\} \ B$
then obtain *b* **where** $b \in B \ x = (a + b) \bmod \text{int } p$
by (*auto simp: mod-sumset-def*)
then show $x \in \text{mod-translate } p \ a \ B$
by (*auto simp: mod-translate-def add.commute*)

qed
next
show $\text{mod-translate } p \ a \ B \subseteq \text{mod-sumset } p \ \{a\} \ B$
proof
fix *x*
assume $x \in \text{mod-translate } p \ a \ B$
then obtain *b* **where** $b \in B \ x = (b + a) \bmod \text{int } p$
by (*auto simp: mod-translate-def*)
then show $x \in \text{mod-sumset } p \ \{a\} \ B$
by (*auto simp: mod-sumset-def add.commute*)
qed
qed

lemma *mod-sumset-singleton-right*:

mod-sumset $p A \{b\} = \text{mod-translate } p b A$
by (*auto simp: mod-sumset-def mod-translate-def ac-simps*)

lemma *mod-translate-inj-on-residues*:

assumes $0 < p$
shows *inj-on* $(\lambda x. (x + c) \bmod \text{int } p)$ (*residues* p)

proof (*rule inj-onI*)

fix $x y$

assume *x-in*: $x \in \text{residues } p$

assume *y-in*: $y \in \text{residues } p$

assume *eq*: $(x + c) \bmod \text{int } p = (y + c) \bmod \text{int } p$

have *cong-xyz*: $[x + c = y + c] \pmod{\text{int } p}$

using *eq* **by** (*simp add: cong-def*)

then have *cong-xy*: $[x = y] \pmod{\text{int } p}$

by (*simp add: cong-add-rcancel*)

from *x-in* **have** *x-bounds*: $0 \leq x < \text{int } p$

by (*auto simp: residues-def*)

from *y-in* **have** *y-bounds*: $0 \leq y < \text{int } p$

by (*auto simp: residues-def*)

show $x = y$

by (*rule cong-less-imp-eq-int[OF x-bounds y-bounds cong-xy]*)

qed

lemma *card-mod-translate-eq*:

assumes $0 < p$

assumes $A \subseteq \text{residues } p$

shows $\text{card } (\text{mod-translate } p c A) = \text{card } A$

proof –

have *finite-A*: *finite* A

using *assms* **by** (*meson finite-subset residues-finite*)

have *inj-residues*: *inj-on* $(\lambda x. (x + c) \bmod \text{int } p)$ (*residues* p)

by (*rule mod-translate-inj-on-residues[OF assms(1)]*)

have *inj-A*: *inj-on* $(\lambda x. (x + c) \bmod \text{int } p)$ A

by (*rule inj-on-subset[OF inj-residues assms(2)]*)

show *?thesis*

unfolding *mod-translate-def*

by (*simp add: card-image finite-A inj-A*)

qed

lemma *card-mod-sumset-singleton-left*:

assumes $0 < p$

assumes $B \subseteq \text{residues } p$

shows $\text{card } (\text{mod-sumset } p \{a\} B) = \text{card } B$

using *assms* **by** (*simp add: mod-sumset-singleton-left card-mod-translate-eq*)

lemma *card-mod-sumset-singleton-right*:

assumes $0 < p$

assumes $A \subseteq \text{residues } p$

shows $\text{card } (\text{mod-sumset } p A \{b\}) = \text{card } A$

using *assms* **by** (*simp add: mod-sumset-singleton-right card-mod-translate-eq*)

These lemmas complement the abstract prime-field proofs of Cauchy-Davenport and Vosper by providing a convenient API for expressing the corresponding cardinality facts over the standard residue representatives of prime cyclic groups.

end

theory *Cauchy-Davenport-Prime-Field*

```

imports
  Sumset-Basics
  HOL-Computational-Algebra.Computational-Algebra
  HOL-Number-Theory.Number-Theory
begin

```

6 Cauchy-Davenport over prime fields

This theory proves the prime-field Cauchy-Davenport theorem by a polynomial-method argument. The core technical work packages the bivariate degree bookkeeping needed to show that a suitably chosen polynomial cannot vanish on too large a grid unless the expected lower bound holds.

6.1 Polynomial infrastructure

```

definition total-degree-le :: 'a::comm-semiring-1 poly poly  $\Rightarrow$  nat  $\Rightarrow$  bool where
  total-degree-le P d  $\longleftrightarrow$ 
    ( $\forall i$ . if  $i \leq d$  then degree (poly.coeff P i)  $\leq d - i$  else poly.coeff P i = 0)

```

```

definition sum-factor :: 'a::comm-ring-1  $\Rightarrow$  'a poly poly where
  sum-factor c = [: [-c, 1:], [1:] :]

```

lemma coeff-poly-const:

```

fixes P :: 'a::comm-semiring-1 poly poly
shows poly.coeff (poly P [:a:]) j =
  ( $\sum i \leq \text{degree } P$ . poly.coeff (poly.coeff P i) j * a ^ i)
proof -
  have poly.coeff (poly P [:a:]) j =
    poly.coeff ( $\sum i \leq \text{degree } P$ . poly.coeff P i * [:a:] ^ i) j
    by (simp add: poly-altdef)
  also have ... =
    ( $\sum i \leq \text{degree } P$ . poly.coeff (poly.coeff P i * [:a:] ^ i) j)
    by (simp add: coeff-sum)
  also have ... =
    ( $\sum i \leq \text{degree } P$ . poly.coeff (poly.coeff P i) j * a ^ i)
proof (intro sum.cong refl)
  fix i
  assume i  $\in$  {.. $\text{degree } P$ }
  have [:a:] ^ i = [a ^ i]
    by (induction i) (simp-all add: mult.commute)
  then show poly.coeff (poly.coeff P i * [:a:] ^ i) j =
    poly.coeff (poly.coeff P i) j * a ^ i
    by (simp add: coeff-mult mult.commute mult.left-commute mult.assoc)
qed
finally show ?thesis .
qed

```

lemma total-degree-le-eval-const:

```

fixes P :: 'a::comm-semiring-1 poly poly
assumes td: total-degree-le P d
shows degree (poly P [:a:])  $\leq d$ 
proof -
  have zero-above: poly.coeff (poly P [:a:]) j = 0 if j > d for j
proof -
  have eval-coeff: poly.coeff (poly P [:a:]) j =
    ( $\sum i \leq \text{degree } P$ . poly.coeff (poly.coeff P i) j * a ^ i)
    by (rule coeff-poly-const)
  have sum-zero: ( $\sum i \leq \text{degree } P$ . poly.coeff (poly.coeff P i) j * a ^ i) = 0
proof (intro sum.neutral ballI)

```

```

fix i
assume i: i ∈ {..degree P}
show poly.coeff (poly.coeff P i) j * a ^ i = 0
proof (cases i ≤ d)
  case True
  with td have degree (poly.coeff P i) ≤ d - i
  by (simp add: total-degree-le-def)
  moreover from True j have d - i < j
  by simp
  ultimately have poly.coeff (poly.coeff P i) j = 0
  by (meson coeff-eq-0 le-less-trans)
  then show ?thesis
  by simp
next
case False
with td have poly.coeff P i = 0
by (simp add: total-degree-le-def)
then show ?thesis
by simp
qed
qed
show ?thesis
using eval-coeff sum-zero by simp
qed
show ?thesis
by (rule degree-le) (use zero-above in blast)
qed

```

lemma *total-degree-le-eval-const-top:*

```

fixes P :: 'a::comm-semiring-1 poly poly
assumes td: total-degree-le P d
shows poly.coeff (poly P [:a:]) d = poly.coeff (poly.coeff P 0) d
proof -
have poly.coeff (poly P [:a:]) d =
  (∑ i ≤ degree P. poly.coeff (poly.coeff P i) d * a ^ i)
  by (rule coeff-poly-const)
also have ... =
  poly.coeff (poly.coeff P 0) d * a ^ 0 +
  (∑ i ∈ {..degree P} - {0}. poly.coeff (poly.coeff P i) d * a ^ i)
  by (subst sum.remove[of {..degree P} 0 λi. poly.coeff (poly.coeff P i) d * a ^ i]) simp-all
also have ... = poly.coeff (poly.coeff P 0) d * a ^ 0
proof -
have (∑ i ∈ {..degree P} - {0}. poly.coeff (poly.coeff P i) d * a ^ i) = 0
proof (intro sum.neutral ballI)
fix i
assume i: i ∈ {..degree P} - {0}
then have i-pos: 0 < i
  by simp
show poly.coeff (poly.coeff P i) d * a ^ i = 0
proof (cases i ≤ d)
  case True
  with td have deg-i: degree (poly.coeff P i) ≤ d - i
  by (simp add: total-degree-le-def)
  from True i-pos have d - i < d
  by simp
  with deg-i have poly.coeff (poly.coeff P i) d = 0
  by (meson coeff-eq-0 le-less-trans)
  then show ?thesis
  by simp

```

```

next
  case False
  with td have  $\text{poly.coeff } P \ i = 0$ 
    by (simp add: total-degree-le-def)
  then show ?thesis
    by simp
qed
qed
then show ?thesis
  by simp
qed
finally show ?thesis
  by simp
qed

```

```

lemma coeff-sum-factor-mult-0:
   $\text{poly.coeff } (\text{sum-factor } c * P) \ 0 = [-c, 1:] * \text{poly.coeff } P \ 0$ 
  by (simp add: sum-factor-def coeff-mult)

```

```

lemma coeff-sum-factor-mult-Suc:
   $\text{poly.coeff } (\text{sum-factor } c * P) \ (\text{Suc } i) =$ 
   $[-c, 1:] * \text{poly.coeff } P \ (\text{Suc } i) + \text{poly.coeff } P \ i$ 
  by (simp add: sum-factor-def coeff-mult)

```

```

lemma total-degree-le-sum-factor-mult:

```

```

  fixes  $P :: 'a::\text{field poly poly}$ 
  assumes td: total-degree-le P d
  shows total-degree-le (sum-factor c * P) (Suc d)
  unfolding total-degree-le-def
  proof
    fix i
    show (if i ≤ Suc d then degree (poly.coeff (sum-factor c * P) i) ≤ Suc d - i
      else poly.coeff (sum-factor c * P) i = 0)
    proof (cases i)
      case 0
      have  $\text{degree } (\text{poly.coeff } (\text{sum-factor } c * P) \ 0) =$ 
         $\text{degree } ([-c, 1:] * \text{poly.coeff } P \ 0)$ 
        by (simp add: coeff-sum-factor-mult-0)
      also have  $\dots \leq \text{degree } [-c, 1:] + \text{degree } (\text{poly.coeff } P \ 0)$ 
        by (rule degree-mult-le)
      also have  $\dots \leq \text{Suc } d$ 
      proof -
        have  $\text{degree } (\text{poly.coeff } P \ 0) \leq d$ 
        proof -
          from td have if 0 ≤ d then degree (poly.coeff P 0) ≤ d - 0 else poly.coeff P 0 = 0
          unfolding total-degree-le-def by blast
          then show ?thesis
            by simp
        qed
      qed
    then show ?thesis
      by simp
    qed
  finally show ?thesis
    using 0 by simp
  qed
next
  case (Suc k)
  show ?thesis
  proof (cases k ≤ d)
    case True

```

```

have deg-Suc: degree (poly.coeff P (Suc k)) ≤ d - Suc k
proof (cases Suc k ≤ d)
  case True
  with td show ?thesis
  by (simp add: total-degree-le-def)
next
  case False
  with td have poly.coeff P (Suc k) = 0
  by (simp add: total-degree-le-def)
  then show ?thesis
  by simp
qed
from True td have deg-k: degree (poly.coeff P k) ≤ d - k
  by (simp add: total-degree-le-def)
have degree (poly.coeff (sum-factor c * P) (Suc k)) =
  degree ([: -c, 1:] * poly.coeff P (Suc k) + poly.coeff P k)
  by (simp add: coeff-sum-factor-mult-Suc)
also have ... ≤
  max (degree ([: -c, 1:] * poly.coeff P (Suc k))) (degree (poly.coeff P k))
  by (rule degree-add-le-max)
also have ... ≤ d - k
proof -
  have deg-lin: degree ([: -c, 1:] * poly.coeff P (Suc k)) ≤ d - k
  proof -
    show ?thesis
    proof (cases poly.coeff P (Suc k) = 0)
      case True
      then show ?thesis
      by simp
    next
      case False
      have suc-le: Suc k ≤ d
      proof (rule ccontr)
        assume ¬ Suc k ≤ d
        with td have poly.coeff P (Suc k) = 0
        by (simp add: total-degree-le-def)
        with False show False
        by contradiction
      qed
      have degree ([: -c, 1:] * poly.coeff P (Suc k)) =
        degree [: -c, 1:] + degree (poly.coeff P (Suc k))
        by (rule degree-mult-eq) (use False in simp-all)
      also have ... ≤ 1 + (d - Suc k)
      using deg-Suc by simp
      also have ... = d - k
      using suc-le by simp
      finally show ?thesis .
    qed
  qed
  show ?thesis
  using deg-lin deg-k by simp
qed
finally show ?thesis
  using Suc True by simp
next
  case False
  with td have zero-k: poly.coeff P k = 0
  by (simp add: total-degree-le-def)
  from False have d < k

```

```

    by simp
  then have  $d < \text{Suc } k$ 
    by simp
  with  $td$  have zero-Suc:  $\text{poly.coeff } P (\text{Suc } k) = 0$ 
    by (simp add: total-degree-le-def)
  show ?thesis
    using  $\text{Suc zero-}k$  zero-Suc by (simp add: coeff-sum-factor-mult-Suc)
qed
qed
qed

```

```

lemma total-degree-le-prod-sum-factor:
  fixes  $C :: 'a::\text{field set}$ 
  assumes finite  $C$ 
  shows total-degree-le (prod sum-factor  $C$ ) (card  $C$ )
  using assms
proof (induction rule: finite-induct)
  case empty
  show ?case
    by (simp add: total-degree-le-def)
next
  case (insert  $c C$ )
  then show ?case
    by (simp add: total-degree-le-sum-factor-mult)
qed

```

```

lemma coeff-coeff-sum-factor-mult-top:
  fixes  $P :: 'a::\text{field poly poly}$ 
  assumes  $td$ : total-degree-le  $P d$ 
  assumes  $ij$ :  $i + j = \text{Suc } d$ 
  shows  $\text{poly.coeff } (\text{poly.coeff } (\text{sum-factor } c * P) i) j =$ 
    ( $\text{if } i = 0 \text{ then } 0 \text{ else } \text{poly.coeff } (\text{poly.coeff } P (i - 1)) j$ ) +
    ( $\text{if } j = 0 \text{ then } 0 \text{ else } \text{poly.coeff } (\text{poly.coeff } P i) (j - 1)$ )
proof (cases  $i$ )
  case 0
  with  $ij$  have  $j$ :  $j = \text{Suc } d$ 
    by simp
  have  $\text{poly.coeff } (\text{poly.coeff } (\text{sum-factor } c * P) 0) j =$ 
     $\text{poly.coeff } ([: -c, 1:] * \text{poly.coeff } P 0) j$ 
    by (simp add: coeff-sum-factor-mult-0)
  also have ... =
     $\text{poly.coeff } (\text{poly.coeff } P 0) (j - 1) - c * \text{poly.coeff } (\text{poly.coeff } P 0) j$ 
    using  $j$  by (cases  $j$ ) (simp-all add: coeff-mult)
  also have  $\text{poly.coeff } (\text{poly.coeff } P 0) j = 0$ 
  proof -
    have  $\text{degree } (\text{poly.coeff } P 0) \leq d$ 
    proof -
      have  $\text{if } 0 \leq d \text{ then } \text{degree } (\text{poly.coeff } P 0) \leq d - 0 \text{ else } \text{poly.coeff } P 0 = 0$ 
        using  $td$  unfolding total-degree-le-def by blast
      then show ?thesis
        by simp
    qed
  qed
  with  $j$  show ?thesis
    by (meson coeff-eq-0 less-Suc-eq-le)
qed
finally show ?thesis
  using 0  $j$  by simp
next
  case (Suc  $k$ )

```

```

have rec: poly.coeff (poly.coeff (sum-factor c * P) (Suc k)) j =
  (if j = 0 then 0 else poly.coeff (poly.coeff P (Suc k)) (j - 1)) -
  c * poly.coeff (poly.coeff P (Suc k)) j +
  poly.coeff (poly.coeff P k) j
proof -
  have poly.coeff (poly.coeff (sum-factor c * P) (Suc k)) j =
    poly.coeff ([: -c, 1:] * poly.coeff P (Suc k) + poly.coeff P k) j
  by (simp add: coeff-sum-factor-mult-Suc)
  also have ... =
    poly.coeff ([: -c, 1:] * poly.coeff P (Suc k)) j +
    poly.coeff (poly.coeff P k) j
  by simp
  also have poly.coeff ([: -c, 1:] * poly.coeff P (Suc k)) j =
    (if j = 0 then 0 else poly.coeff (poly.coeff P (Suc k)) (j - 1)) -
    c * poly.coeff (poly.coeff P (Suc k)) j
  proof (cases j)
  case 0
  then show ?thesis
  by (simp add: coeff-mult)
  next
  case (Suc l)
  then show ?thesis
  by (simp add: coeff-mult)
  qed
  finally show ?thesis
  by simp
qed
have zero-term: poly.coeff (poly.coeff P (Suc k)) j = 0
proof (cases Suc k ≤ d)
  case True
  with td have degree (poly.coeff P (Suc k)) ≤ d - Suc k
  by (simp add: total-degree-le-def)
  moreover have d - Suc k < j
  proof -
  from ij Suc have j = Suc d - Suc k
  by simp
  with True show ?thesis
  by simp
  qed
  ultimately show ?thesis
  by (meson coeff-eq-0 le-less-trans)
next
  case False
  with td show ?thesis
  by (simp add: total-degree-le-def)
qed
show ?thesis
  using Suc rec zero-term by (cases j) simp-all
qed

lemma coeff-coeff-prod-sum-factor-top:
  fixes C :: 'a::field set
  assumes fin: finite C
  assumes ij: i + j = card C
  shows poly.coeff (poly.coeff (prod sum-factor C) i) j = of-nat (card C choose i)
  using fin ij
proof (induction C arbitrary: i j rule: finite-induct)
  case empty
  then have i = 0 j = 0

```

```

    by simp-all
  then show ?case
    by simp
next
case (insert c C)
let ?P = prod sum-factor C
have td: total-degree-le ?P (card C)
  using insert.hyps by (simp add: total-degree-le-prod-sum-factor)
have ij': i + j = Suc (card C)
  using insert.prem1 insert.hyps by simp
have top-rec:
  poly.coeff (poly.coeff (prod sum-factor (insert c C)) i) j =
    (if i = 0 then 0 else poly.coeff (poly.coeff ?P (i - 1)) j) +
    (if j = 0 then 0 else poly.coeff (poly.coeff ?P i) (j - 1))
proof -
  have poly.coeff (poly.coeff (prod sum-factor (insert c C)) i) j =
    poly.coeff (poly.coeff (sum-factor c * ?P) i) j
    using insert.hyps by simp
  also have ... =
    (if i = 0 then 0 else poly.coeff (poly.coeff ?P (i - 1)) j) +
    (if j = 0 then 0 else poly.coeff (poly.coeff ?P i) (j - 1))
    using coeff-coeff-sum-factor-mult-top[OF td ij'] .
  finally show ?thesis .
qed
show ?case
proof (cases i = 0 ∨ j = 0)
case True
  then show ?thesis
  proof
    assume i = 0
    with ij' have j: j = Suc (card C)
      by simp
    have poly.coeff (poly.coeff (prod sum-factor (insert c C)) i) j =
      poly.coeff (poly.coeff ?P 0) (card C)
      using top-rec ⟨i = 0⟩ j by simp
    also have ... = of-nat (card C choose 0)
      using insert.IH[of 0 card C] by simp
    finally show ?thesis
      using ⟨i = 0⟩ by simp
  next
    assume j = 0
    with ij' have i: i = Suc (card C)
      by simp
    have poly.coeff (poly.coeff (prod sum-factor (insert c C)) i) j =
      poly.coeff (poly.coeff ?P (card C)) 0
      using top-rec ⟨j = 0⟩ i by simp
    also have ... = of-nat (card C choose card C)
      using insert.IH[of card C 0] by simp
    finally show ?thesis
      using i ⟨j = 0⟩ insert.hyps by simp
  qed
next
case False
  then have i-pos: i > 0 and j-pos: j > 0
    by auto
  have split1: i - 1 + j = card C and split2: i + (j - 1) = card C
    using ij' i-pos j-pos by simp-all
  have poly.coeff (poly.coeff (prod sum-factor (insert c C)) i) j =
    poly.coeff (poly.coeff ?P (i - 1)) j +

```

```

    poly.coeff (poly.coeff ?P i) (j - 1)
  using top-rec False by simp
  also have ... = of-nat (card C choose (i - 1)) + of-nat (card C choose i)
  using insert.IH[of i - 1 j] insert.IH[of i j - 1] split1 split2 by simp
  also have ... = of-nat (Suc (card C) choose i)
  using i-pos by (cases i) simp-all
  finally show ?thesis
  using insert.hyps by simp
qed
qed

```

```

lemma poly-sum-factor:
  poly (poly (sum-factor c) [:x:]) y = x + y - c
  by (simp add: sum-factor-def)

```

```

lemma prime-not-dvd-binomial:
  assumes prime p k ≤ n n < p
  shows ¬ p dvd (n choose k)
proof
  assume dvd-choose: p dvd (n choose k)
  have fact-expand: fact n = fact k * fact (n - k) * (n choose k)
  using binomial-fact-lemma[OF assms(2)] by (simp add: mult-ac)
  have p dvd fact n
  using dvd-choose fact-expand by simp
  with assms show False
  by (simp add: prime-dvd-fact-iff)
qed

```

```

lemma finite-subset-with-card:
  assumes finite U n ≤ card U
  shows ∃ V. V ⊆ U ∧ card V = n
  using assms
proof (induction U arbitrary: n rule: finite-induct)
  case empty
  then show ?case
  by auto
next
  case (insert x U)
  show ?case
  proof (cases n ≤ card U)
    case True
    then obtain V where V ⊆ U card V = n
    using insert.IH by blast
    then show ?thesis
    using insert.hyps by auto
  next
    case False
    have n-le: n ≤ Suc (card U)
    using insert.premis insert.hyps by simp
    from False have Suc (card U) ≤ n
    by simp
    with n-le have n = Suc (card U)
    by simp
    then show ?thesis
    using insert.hyps by auto
  qed
qed

```

```

lemma prime-card-eq-char:

```

```

assumes prime-card: prime (card (UNIV :: 'a::finite-field set))
shows CHAR('a) = card (UNIV :: 'a set)
proof -
  have CHAR('a) dvd card (UNIV :: 'a set)
    by (rule CHAR-dvd-CARD)
  moreover from prime-card have  $\bigwedge m. m \text{ dvd } \text{card } (UNIV :: 'a \text{ set}) \implies m = 1 \vee m = \text{card } (UNIV :: 'a \text{ set})$ 
    by (simp add: prime-nat-iff)
  ultimately have CHAR('a) = 1  $\vee$  CHAR('a) = card (UNIV :: 'a set)
    by blast
  with CHAR-prime show ?thesis
    by auto
qed

```

```

lemma of-nat-binomial-ne-zero:
assumes prime-card: prime (card (UNIV :: 'a::finite-field set))
assumes  $k \leq n \wedge n < \text{card } (UNIV :: 'a \text{ set})$ 
shows of-nat (n choose k)  $\neq$  (0 :: 'a)
proof -
  have char-eq: CHAR('a) = card (UNIV :: 'a set)
    by (rule prime-card-eq-char[OF prime-card])
  have  $\neg$  CHAR('a) dvd (n choose k)
    using assms by (simp add: char-eq prime-not-dvd-binomial prime-card-eq-char)
  then show ?thesis
    using of-nat-eq-0-iff-char-dvd by blast
qed

```

```

lemma coeff-x-factor-plus-const-0:
  poly.coeff ([:-[:a:], 1:] * Q + [:R:]) 0 = -[:a:] * poly.coeff Q 0 + R
by (simp add: coeff-mult)

```

```

lemma coeff-x-factor-plus-const-Suc:
  poly.coeff ([:-[:a:], 1:] * Q + [:R:]) (Suc i) =
    -[:a:] * poly.coeff Q (Suc i) + poly.coeff Q i
by (simp add: coeff-mult)

```

```

lemma total-degree-le-factor-out:
fixes P Q :: 'a::field poly poly
assumes td: total-degree-le P (Suc d)
assumes decomp:  $P = [:-[:a:], 1:] * Q + [:R:]$ 
shows total-degree-le Q d
proof -
  have outer-zero: poly.coeff Q i = 0 if  $d < i$  for i
  proof (rule ccontr)
    assume nz: poly.coeff Q i  $\neq$  0
    let ?I = {k. poly.coeff Q k  $\neq$  0}
    have finI: finite ?I
    proof (rule finite-subset[of - {..degree Q}])
      show ?I  $\subseteq$  {..degree Q}
    proof
      fix k
      assume k-in: k  $\in$  ?I
      have nz-k: poly.coeff Q k  $\neq$  0
        using k-in by simp
      show k  $\in$  {..degree Q}
    proof (rule ccontr)
      assume k  $\notin$  {..degree Q}
      then have degree Q < k
        by simp

```

```

    then have poly.coeff Q k = 0
      by (rule coeff-eq-0)
    with nz-k show False
      by contradiction
  qed
qed
qed simp
have i-in: i ∈ ?I
  using nz by simp
let ?k = Max ?I
have k-in: ?k ∈ ?I
  by (rule Max-in[OF finI]) (use i-in in auto)
have i-le-k: i ≤ ?k
  using finI i-in by (intro Max-ge) auto
have q-suc-zero: poly.coeff Q (Suc ?k) = 0
proof (rule ccontr)
  assume nz-suc: poly.coeff Q (Suc ?k) ≠ 0
  then have suc-in: Suc ?k ∈ ?I
    by simp
  have Suc ?k ≤ ?k
    using finI suc-in by (intro Max-ge) auto
  then show False
    by simp
qed
have poly.coeff P (Suc ?k) = poly.coeff Q ?k
  using decomp q-suc-zero by (simp add: coeff-x-factor-plus-const-Suc)
moreover have poly.coeff P (Suc ?k) = 0
  using td that i-le-k by (simp add: total-degree-le-def)
ultimately show False
  using k-in by simp
qed
have degree-bound: degree (poly.coeff Q i) ≤ d - i if i ≤ d for i
proof (rule ccontr)
  assume bad: ¬ degree (poly.coeff Q i) ≤ d - i
  let ?I = {k. k ≤ d ∧ degree (poly.coeff Q k) > d - k}
  have finI: finite ?I
    by simp
  have i-in: i ∈ ?I
    using that bad by simp
  let ?k = Max ?I
  have k-in: ?k ∈ ?I
    by (rule Max-in[OF finI]) (use i-in in auto)
  have k-le-d: ?k ≤ d and deg-k: degree (poly.coeff Q ?k) > d - ?k
    using k-in by auto
  have next-bound: degree (poly.coeff Q (Suc ?k)) ≤ d - Suc ?k
  proof (cases Suc ?k ≤ d)
    case True
    have Suc ?k ∉ ?I
    proof
      assume suc-in: Suc ?k ∈ ?I
      have Suc ?k ≤ ?k
      using finI suc-in by (intro Max-ge) auto
      then show False
      by simp
    qed
  case False
  qed
  then show ?thesis
    using True by simp
next
case False

```

```

have poly.coeff Q (Suc ?k) = 0
  using outer-zero False by simp
then show ?thesis
  by simp
qed
have deg-tail: degree (-[:a:] * poly.coeff Q (Suc ?k)) < degree (poly.coeff Q ?k)
  using next-bound deg-k by (auto intro: le-less-trans simp: degree-mult-le)
have poly.coeff P (Suc ?k) =
  poly.coeff Q ?k + (-[:a:] * poly.coeff Q (Suc ?k))
  using decomp by (simp add: coeff-x-factor-plus-const-Suc algebra-simps)
then have eq-p: poly.coeff P (Suc ?k) =
  poly.coeff Q ?k + (-[:a:] * poly.coeff Q (Suc ?k)) .
have deg-sum: degree (poly.coeff Q ?k + (-[:a:] * poly.coeff Q (Suc ?k))) =
  degree (poly.coeff Q ?k)
  by (rule degree-add-eq-left[OF deg-tail])
have deg-p: degree (poly.coeff P (Suc ?k)) = degree (poly.coeff Q ?k)
  using eq-p deg-sum by simp
have degree (poly.coeff P (Suc ?k)) ≤ Suc d - Suc ?k
proof -
  have Suc ?k ≤ Suc d
    using k-le-d by simp
  from td have step:
    if Suc ?k ≤ Suc d
      then degree (poly.coeff P (Suc ?k)) ≤ Suc d - Suc ?k
      else poly.coeff P (Suc ?k) = 0
    unfolding total-degree-le-def by blast
  with ⟨Suc ?k ≤ Suc d⟩ show ?thesis
    by simp
qed
then show False
  using deg-k deg-p by simp
qed
show ?thesis
  by (simp add: total-degree-le-def degree-bound outer-zero)
qed

```

```

lemma coeff-coeff-x-factor-top:
  fixes Q :: 'a::field poly poly
  assumes td: total-degree-le Q d
  assumes mn: m + n = d
  shows poly.coeff (poly.coeff ([:-[a:], 1:] * Q + [:R:]) (Suc m)) n =
    poly.coeff (poly.coeff Q m) n
proof -
  have poly.coeff (poly.coeff ([:-[a:], 1:] * Q + [:R:]) (Suc m)) n =
    poly.coeff (poly.coeff Q m) n - a * poly.coeff (poly.coeff Q (Suc m)) n
    by (simp add: coeff-x-factor-plus-const-Suc coeff-mult)
  moreover have poly.coeff (poly.coeff Q (Suc m)) n = 0
  proof -
    show ?thesis
    proof (cases Suc m ≤ d)
      case True
      have deg-bound: degree (poly.coeff Q (Suc m)) ≤ d - Suc m
        using td True by (simp add: total-degree-le-def)
      have d - Suc m < n
      proof -
        from mn have n = d - m
          by simp
        with True show ?thesis
          by simp
      qed
    qed
  qed

```

```

qed
with deg-bound show ?thesis
  by (meson coeff-eq-0 le-less-trans)
next
case False
with td show ?thesis
  by (simp add: total-degree-le-def)
qed
qed
ultimately show ?thesis
  by simp
qed

```

```

lemma grid-nonzero-from-top-coeff:
  fixes P :: 'a::field poly poly
  assumes cardA: card A = Suc m
  assumes cardB: card B = Suc n
  assumes td: total-degree-le P (m + n)
  assumes top: poly.coeff (poly.coeff P m) n  $\neq$  0
  shows  $\exists a \in A. \exists b \in B. \text{poly} (\text{poly} P [:a:]) b \neq 0$ 
  using cardA td top cardB
proof (induction m arbitrary: A P)
  case 0
  show ?case
  proof -
    from 0.premis(1) have  $\exists a. A = \{a\}$ 
      by (simp add: card-1-singleton-iff)
    then obtain a where A:  $A = \{a\}$ 
      by blast
    note td0 = 0.premis(2)
    note top0 = 0.premis(3)
    define R where R = poly P [:a:]
    have coeff-R: poly.coeff R (0 + n) = poly.coeff (poly.coeff P 0) (0 + n)
      unfolding R-def by (rule total-degree-le-eval-const-top[OF td0])
    have coeff-R-nz: poly.coeff R n  $\neq$  0
      using coeff-R top0 by simp
    then have nz-R: R  $\neq$  0
      by auto
    show ?thesis
  proof (rule ccontr)
    assume  $\neg ?thesis$ 
    then have vanish-B:  $\forall b \in B. \text{poly} R b = 0$ 
      using A by (simp add: R-def)
    have B  $\subseteq$  {b. poly R b = 0}
      using vanish-B by auto
    then have card B  $\leq$  card {b. poly R b = 0}
      by (intro card-mono poly-roots-finite[OF nz-R]) auto
    also have ...  $\leq$  degree R
      by (rule card-poly-roots-bound[OF nz-R])
    finally have cardB-le-degR: card B  $\leq$  degree R .
    have degR': degree R  $\leq$  0 + n
      unfolding R-def by (rule total-degree-le-eval-const[OF td0])
    have degR: degree R  $\leq$  n
      using degR' by simp
    show False
      using cardB cardB-le-degR degR by linarith
  qed
qed
qed
next

```

```

case (Suc m)
have 0 < card A
  using Suc.prem1 by simp
then have A ≠ {}
  by auto
then obtain a where a-in: a ∈ A
  by auto
show ?case
proof (cases ∃ b ∈ B. poly (poly P [:a:]) b ≠ 0)
  case True
    then show ?thesis
      using a-in by blast
  next
    case False
      define R where R = poly P [:a:]
      have vanish-R: poly R b = 0 if b ∈ B for b
        using False that by (simp add: R-def)
      have dvd: [-[:a:], 1:] dvd (P - [:R:])
      proof -
        have eval0: poly (P - [:poly P [:a:]] [:a:]) = 0
          by simp
        have dvd0: [-[:a:], 1:] dvd (P - [:poly P [:a:]] [:a:])
          using eval0 by (rule iffD1[OF poly-eq-0-iff-dvd])
        show ?thesis
          using dvd0 R-def by simp
      qed
      then obtain Q where Q: P = [-[:a:], 1:] * Q + [:R:]
        by (auto simp: dvd-def algebra-simps)
      let ?A' = A - {a}
      have cardA': card ?A' = Suc m
        using Suc.prem1 a-in by (simp add: card-Diff-singleton-if)
      have tdQ: total-degree-le Q (m + n)
      proof -
        have tdP': total-degree-le P (Suc (m + n))
          using Suc.prem2 by simp
        show ?thesis
          by (rule total-degree-le-factor-out[OF tdP' Q])
      qed
      have topQ: poly.coeff (poly.coeff Q m) n ≠ 0
      proof -
        have coeff-eq:
          poly.coeff (poly.coeff P (Suc m)) n = poly.coeff (poly.coeff Q m) n
        proof -
          have poly.coeff (poly.coeff ([-[:a:], 1:] * Q + [:R:]) (Suc m)) n =
            poly.coeff (poly.coeff Q m) n
            by (rule coeff-coeff-x-factor-top[OF tdQ]) simp
          then show ?thesis
            by (simp add: Q)
        qed
      with Suc.prem3 show ?thesis
        by simp
      qed
      obtain x b where xb: x ∈ ?A' b ∈ B poly (poly Q [:x:]) b ≠ 0
        using Suc.IH[OF cardA' tdQ topQ cardB] by blast
      have x-neq-a: x ≠ a
        using xb by simp
      have poly (poly P [:x:]) b = (x - a) * poly (poly Q [:x:]) b + poly R b
      proof -
        have poly (poly P [:x:]) b =

```

```

    poly (poly ([:-[a:], 1:] * Q + [:R:]) [:x:]) b
  using Q by simp
  also have ... = poly (poly ([:-[a:], 1:] * Q) [:x:]) b + poly R b
  by simp
  also have poly (poly ([:-[a:], 1:] * Q) [:x:]) b =
    (x - a) * poly (poly Q [:x:]) b
  by (simp add: algebra-simps)
  finally show ?thesis .
qed
also have ... = (x - a) * poly (poly Q [:x:]) b
  using xb vanish-R by simp
also have ... ≠ 0
  using xb x-neq-a by simp
finally have poly (poly P [:x:]) b ≠ 0 .
then show ?thesis
  using xb by blast
qed
qed

```

lemma *sumset-eq-UNIV-if-large*:

```

fixes A B :: 'a::finite-field set
assumes card (UNIV :: 'a set) < card A + card B - 1
assumes A ≠ {} B ≠ {}
shows sumset A B = UNIV
proof
  show sumset A B ⊆ UNIV
  by simp
next
  show UNIV ⊆ sumset A B
  proof
    fix x :: 'a
    let ?T = translate x ((uminus) ' B)
    have card-negB: card ((uminus) ' B) = card B
    by (intro card-image inj-onI) auto
    have card-T: card ?T = card B
    by (simp add: card-negB card-translate-eq)
    have card A + card ?T = card (A ∪ ?T) + card (A ∩ ?T)
    using card-Un-Int[of A ?T] by simp
    moreover have card (A ∪ ?T) ≤ card (UNIV :: 'a set)
    by (rule card-mono) auto
    ultimately have card (A ∩ ?T) > 0
    using assms card-T by linarith
    then have A ∩ ?T ≠ {}
    by auto
    then obtain a where a: a ∈ A ∩ ?T
    by auto
    then obtain b where b: b ∈ B a = x + (- b)
    by (auto simp: translate-iff)
    have a-in: a ∈ A
    using a by simp
    have x = a + b
    using b by simp
    moreover have a + b ∈ sumset A B
    using a-in b(1) by (rule sumsetI)
    ultimately show x ∈ sumset A B
    by simp
  qed
qed
qed

```

6.2 The main lower bound

The final statement is the abstract prime-field version of Cauchy-Davenport: for nonempty finite subsets of a field whose cardinality is prime, the sumset has size at least the expected truncated lower bound.

theorem *cauchy-davenport-prime-field:*

fixes $A B :: 'a::\text{finite-field set}$

assumes *prime-card:* $\text{prime} (\text{card} (\text{UNIV} :: 'a \text{ set}))$

assumes $A \neq \{\}$ $B \neq \{\}$

shows $\text{card} (\text{sumset } A B) \geq \min (\text{card} (\text{UNIV} :: 'a \text{ set})) (\text{card } A + \text{card } B - 1)$

proof (*cases* $\text{card} (\text{UNIV} :: 'a \text{ set}) < \text{card } A + \text{card } B - 1$)

case *True*

have $\text{sumset } A B = \text{UNIV}$

proof (*rule* *sumset-eq-UNIV-if-large*)

show $\text{card} (\text{UNIV} :: 'a \text{ set}) < \text{card } A + \text{card } B - 1$

using *True* **by** *assumption*

show $A \neq \{\}$

using *assms(2)* .

show $B \neq \{\}$

using *assms(3)* .

qed

then show *?thesis*

by *simp*

next

case *False*

let $?m = \text{card } A - 1$

let $?n = \text{card } B - 1$

have *cardA-pos:* $0 < \text{card } A$ **and** *cardB-pos:* $0 < \text{card } B$

using *assms(2,3)* **by** *auto*

have *cardA:* $\text{card } A = \text{Suc } ?m$ **and** *cardB:* $\text{card } B = \text{Suc } ?n$

using *cardA-pos cardB-pos* **by** *simp-all*

have *mn-lt:* $?m + ?n < \text{card} (\text{UNIV} :: 'a \text{ set})$

proof –

have *le-card:* $\text{card } A + \text{card } B - 1 \leq \text{card} (\text{UNIV} :: 'a \text{ set})$

using *False* **by** *simp*

have $\text{Suc } (?m + ?n) = \text{card } A + \text{card } B - 1$

using *cardA cardB* **by** *simp*

with *le-card* **have** $\text{Suc } (?m + ?n) \leq \text{card} (\text{UNIV} :: 'a \text{ set})$

by *simp*

then show *?thesis*

by *simp*

qed

show *?thesis*

proof (*rule* *ccontr*)

assume *bad:* $\neg \text{card} (\text{sumset } A B) \geq \min (\text{card} (\text{UNIV} :: 'a \text{ set})) (\text{card } A + \text{card } B - 1)$

have *sumset-small:* $\text{card} (\text{sumset } A B) \leq ?m + ?n$

using *False bad assms* **by** *simp*

obtain C **where** $C: \text{sumset } A B \subseteq C \ C \subseteq \text{UNIV} \ \text{card } C = ?m + ?n$

using *exists-subset-between[of sumset A B ?m + ?n UNIV]* *sumset-small mn-lt* **by** *auto*

define F **where** $F = \text{prod sum-factor } C$

have *tdF:* *total-degree-le* F $(?m + ?n)$

proof –

have *finC:* *finite* C

using C **by** *auto*

have *total-degree-le* F $(\text{card } C)$

unfolding F -*def* **by** (*rule* *total-degree-le-prod-sum-factor[OF finC]*)

with C **show** *?thesis*

by *simp*

```

qed
have topF: poly.coeff (poly.coeff F ?m) ?n = of-nat ((?m + ?n) choose ?m)
proof -
  have finC: finite C
  using C by auto
  have mn-card: ?m + ?n = card C
  using C by simp
  have poly.coeff (poly.coeff F ?m) ?n = of-nat (card C choose ?m)
  unfolding F-def by (rule coeff-coeff-prod-sum-factor-top[OF finC mn-card])
  with C show ?thesis
  by simp
qed
have topF-nz: poly.coeff (poly.coeff F ?m) ?n ≠ 0
  using of-nat-binomial-ne-zero[OF prime-card, of ?m ?m + ?n] topF mn-lt by simp
obtain a b where ab: a ∈ A b ∈ B poly (poly F [:a:]) b ≠ 0
  using grid-nonzero-from-top-coeff[OF cardA cardB tdF topF-nz] by blast
have ab-sum: a + b ∈ sumset A B
  using ab(1,2) by (rule sumsetI)
have a + b ∈ C
  using ab-sum C(1) by auto
then have poly (poly F [:a:]) b = 0
proof -
  have finC: finite C
  using C by auto
  have poly (poly F [:a:]) b = (∏ c ∈ C. (a + b - c))
  using finC by (simp add: F-def poly-prod poly-sum-factor)
  also have ... = 0
  using finC ⟨a + b ∈ C⟩ by (simp add: prod-zero)
  finally show ?thesis .
qed
with ab show False
  by simp
qed
qed
end
theory Vosper-Support
  imports Cauchy-Davenport-Prime-Field
begin

```

7 Arithmetic progressions in prime fields

Vosper's theorem is formulated in terms of arithmetic progressions with a shared common difference. This theory isolates the corresponding progression notation, translation lemmas, and the overlap criterion that recovers a progression from near-invariance under translation.

7.1 Basic progression infrastructure

definition *ap-segment* :: 'a::semiring-1 ⇒ 'a ⇒ nat ⇒ 'a set **where**
ap-segment a d n = (λi. a + of-nat i * d) ' {..*n*}

definition *arithmetic-progression* :: 'a::semiring-1 set ⇒ bool **where**
arithmetic-progression A ↔ (∃ a d n. A = *ap-segment* a d n)

lemma *arithmetic-progressionI*:
A = *ap-segment* a d n ⇒ *arithmetic-progression* A
unfolding *arithmetic-progression-def* **by** *blast*

```

lemma arithmetic-progressionE:
  assumes arithmetic-progression A
  obtains a d n where  $A = \text{ap-segment } a \ d \ n$ 
  using assms by (auto simp: arithmetic-progression-def)

lemma finite-ap-segment [simp]:
  finite (ap-segment a d n)
  by (simp add: ap-segment-def)

lemma ap-segment-empty [simp]:
  ap-segment a d 0 = {}
  by (simp add: ap-segment-def)

lemma ap-segment-zero-step:
  fixes a :: 'a::semiring-1
  assumes  $0 < n$ 
  shows  $\text{ap-segment } a \ 0 \ n = \{a\}$ 
proof
  show  $\text{ap-segment } a \ 0 \ n \subseteq \{a\}$ 
    by (auto simp: ap-segment-def)
next
  have  $a + \text{of-nat } 0 * 0 \in \text{ap-segment } a \ 0 \ n$ 
    using assms by (auto simp: ap-segment-def)
  then show  $\{a\} \subseteq \text{ap-segment } a \ 0 \ n$ 
    by simp
qed

lemma ap-segment-translate:
  fixes a d c :: 'a::semiring-1
  shows  $\text{translate } c \ (\text{ap-segment } a \ d \ n) = \text{ap-segment } (c + a) \ d \ n$ 
proof
  show  $\text{translate } c \ (\text{ap-segment } a \ d \ n) \subseteq \text{ap-segment } (c + a) \ d \ n$ 
    by (auto simp: translate-def ap-segment-def ac-simps)
next
  show  $\text{ap-segment } (c + a) \ d \ n \subseteq \text{translate } c \ (\text{ap-segment } a \ d \ n)$ 
  proof
    fix x
    assume  $x \in \text{ap-segment } (c + a) \ d \ n$ 
    then obtain i where i-lt:  $i < n$  and x:  $x = (c + a) + \text{of-nat } i * d$ 
      by (auto simp: ap-segment-def)
    have  $a + \text{of-nat } i * d \in \text{ap-segment } a \ d \ n$ 
      using i-lt by (auto simp: ap-segment-def)
    moreover have  $x = c + (a + \text{of-nat } i * d)$ 
      using x by (simp add: ac-simps)
    ultimately show  $x \in \text{translate } c \ (\text{ap-segment } a \ d \ n)$ 
      by (auto simp: translate-def)
  qed
qed

lemma arithmetic-progression-translate [simp]:
  fixes c :: 'a::ring-1
  shows  $\text{arithmetic-progression } (\text{translate } c \ A) \longleftrightarrow \text{arithmetic-progression } A$ 
proof
  assume  $\text{arithmetic-progression } (\text{translate } c \ A)$ 
  then obtain a d n where eq:  $\text{translate } c \ A = \text{ap-segment } a \ d \ n$ 
    unfolding arithmetic-progression-def by blast
  have  $A = \text{translate } (- \ c) \ (\text{translate } c \ A)$ 
  proof
    show  $A \subseteq \text{translate } (- \ c) \ (\text{translate } c \ A)$ 

```

```

proof
  fix  $x$ 
  assume  $x \in A$ 
  then have  $cx\text{-in}: c + x \in \text{translate } c \ A$ 
    by (auto simp: translate-def)
  have  $x\text{-eq}: x = - c + (c + x)$ 
    by simp
  show  $x \in \text{translate } (- c) (\text{translate } c \ A)$ 
  proof (unfold translate-def, rule image-eqI[where  $x = c + x$ ])
    show  $c + x \in (+) c \ A$ 
      using  $cx\text{-in}$  unfolding translate-def by simp
    show  $x = - c + (c + x)$ 
      using  $x\text{-eq}$  by simp
  qed
qed
next
show  $\text{translate } (- c) (\text{translate } c \ A) \subseteq A$ 
proof
  fix  $x$ 
  assume  $x \in \text{translate } (- c) (\text{translate } c \ A)$ 
  then obtain  $y$  where  $y\text{-in}: y \in \text{translate } c \ A$  and  $x: x = - c + y$ 
    by (auto simp: translate-def)
  then obtain  $z$  where  $z\text{-in}: z \in A$  and  $y: y = c + z$ 
    by (auto simp: translate-def)
  from  $x \ y$  have  $x = z$ 
    by simp
  with  $z\text{-in}$  show  $x \in A$ 
    by simp
  qed
qed
also have  $\dots = \text{ap-segment } ((- c) + a) \ d \ n$ 
  using eq by (simp add: ap-segment-translate)
finally show arithmetic-progression A
  unfolding arithmetic-progression-def by blast
next
assume arithmetic-progression A
then obtain  $a \ d \ n$  where  $A = \text{ap-segment } a \ d \ n$ 
  unfolding arithmetic-progression-def by blast
then show arithmetic-progression (translate c A)
  unfolding arithmetic-progression-def
  by (intro exI[of - c + a] exI[of - d] exI[of - n] (simp add: ap-segment-translate))
qed

lemma sumset-ap-segment-pair-suc:
  fixes  $a \ d :: 'a::\text{semiring-1}$ 
  shows  $\text{sumset } (\text{ap-segment } a \ d \ (\text{Suc } n)) \ \{0, d\} = \text{ap-segment } a \ d \ (\text{Suc } (\text{Suc } n))$ 
proof
show  $\text{sumset } (\text{ap-segment } a \ d \ (\text{Suc } n)) \ \{0, d\} \subseteq \text{ap-segment } a \ d \ (\text{Suc } (\text{Suc } n))$ 
proof
  fix  $x$ 
  assume  $x \in \text{sumset } (\text{ap-segment } a \ d \ (\text{Suc } n)) \ \{0, d\}$ 
  then obtain  $y \ b$  where
     $y\text{-in}: y \in \text{ap-segment } a \ d \ (\text{Suc } n)$ 
    and  $b\text{-in}: b \in \{0, d\}$ 
    and  $x\text{-eq}: x = y + b$ 
    by (rule sumsetE)
  from  $y\text{-in}$  obtain  $i$  where  $i\text{-lt}: i < \text{Suc } n$  and  $y\text{-eq}: y = a + \text{of-nat } i \ * \ d$ 
    by (auto simp: ap-segment-def)
  show  $x \in \text{ap-segment } a \ d \ (\text{Suc } (\text{Suc } n))$ 

```

```

proof (cases b = 0)
  case True
  have i < Suc (Suc n)
  using i-lt by simp
  with True x-eq y-eq show ?thesis
  by (auto simp: ap-segment-def)
next
case False
with b-in have b = d
  by simp
with x-eq y-eq have x = a + of-nat (Suc i) * d
  by (simp add: algebra-simps)
moreover have Suc i < Suc (Suc n)
  using i-lt by simp
ultimately show ?thesis
proof -
  have a + of-nat (Suc i) * d ∈ ap-segment a d (Suc (Suc n))
  proof (unfold ap-segment-def, rule image-eqI[where x = Suc i])
    show Suc i ∈ {..

```

qed
 qed
 qed
 qed

lemma *of-nat-eq-below-prime-card*:

fixes $i\ j :: \text{nat}$
 assumes *prime-card*: $\text{prime} (\text{card} (\text{UNIV} :: 'a::\text{finite-field set}))$
 assumes *i-lt*: $i < \text{card} (\text{UNIV} :: 'a \text{ set})$
 assumes *j-lt*: $j < \text{card} (\text{UNIV} :: 'a \text{ set})$
 assumes *eq*: $(\text{of-nat } i :: 'a) = \text{of-nat } j$
 shows $i = j$
proof (*cases i j rule: linorder-cases*)
 case *less*
 have *CHAR('a) dvd (j - i)*
 using *eq less* **by** (*simp add: of-nat-eq-iff-char-dvd*)
 then have *card-dvd: card (UNIV :: 'a set) dvd (j - i)*
by (*simp add: prime-card-eq-char[OF prime-card]*)
 have $j - i > 0$
 using *less* **by** *simp*
 then have $\text{card} (\text{UNIV} :: 'a \text{ set}) \leq j - i$
 using *card-dvd prime-card* **by** (*meson dvd-imp-le prime-gt-0-nat*)
 moreover have $j - i < \text{card} (\text{UNIV} :: 'a \text{ set})$
 using *i-lt j-lt less* **by** *simp*
 ultimately **show** *?thesis*
by *simp*
 next
 case *greater*
 have *CHAR('a) dvd (i - j)*
proof -
 have $(\text{of-nat } j :: 'a) = \text{of-nat } i$
 using *eq* **by** *simp*
 with *greater* **show** *?thesis*
by (*simp add: of-nat-eq-iff-char-dvd*)
 qed
 then have *card-dvd: card (UNIV :: 'a set) dvd (i - j)*
by (*simp add: prime-card-eq-char[OF prime-card]*)
 have $i - j > 0$
 using *greater* **by** *simp*
 then have $\text{card} (\text{UNIV} :: 'a \text{ set}) \leq i - j$
 using *card-dvd prime-card* **by** (*meson dvd-imp-le prime-gt-0-nat*)
 moreover have $i - j < \text{card} (\text{UNIV} :: 'a \text{ set})$
 using *i-lt j-lt greater* **by** *simp*
 ultimately **show** *?thesis*
by *simp*
 qed *simp*

lemma *inj-on-ap-segment-index*:

fixes $a\ d :: 'a::\text{finite-field}$
 assumes *prime-card*: $\text{prime} (\text{card} (\text{UNIV} :: 'a::\text{finite-field set}))$
 assumes *d-nonzero*: $d \neq 0$
 assumes *n-le*: $n \leq \text{card} (\text{UNIV} :: 'a \text{ set})$
 shows *inj-on* $(\lambda i. a + \text{of-nat } i * d) \{..<n\}$
proof (*rule inj-onI*)
 fix $i\ j$
 assume *i-in*: $i \in \{..<n\}$
 assume *j-in*: $j \in \{..<n\}$
 assume *eq*: $a + \text{of-nat } i * d = a + \text{of-nat } j * d$
 have $(\text{of-nat } i :: 'a) = \text{of-nat } j$

```

proof –
  have ((of-nat i :: 'a) – of-nat j) * d = 0
    using eq by (simp add: algebra-simps)
  with d-nonzero have (of-nat i :: 'a) – of-nat j = 0
    by auto
  then show ?thesis
    by simp
qed
then show i = j
  using i-in j-in n-le prime-card by (intro of-nat-eq-below-prime-card) auto
qed

```

```

lemma card-ap-segment:
  fixes a d :: 'a::finite-field
  assumes prime-card: prime (card (UNIV :: 'a::finite-field set))
  assumes d-nonzero: d ≠ 0
  assumes n-le: n ≤ card (UNIV :: 'a set)
  shows card (ap-segment a d n) = n
  by (simp add: ap-segment-def card-image inj-on-ap-segment-index[OF prime-card d-nonzero n-le])

```

```

lemma arithmetic-progression-obtain-card:
  fixes A :: 'a::finite-field set
  assumes prime-card: prime (card (UNIV :: 'a set))
  assumes ap: arithmetic-progression A
  assumes card-ge2: 2 ≤ card A
  assumes small: card A < card (UNIV :: 'a set)
  obtains a d where d ≠ 0 A = ap-segment a d (card A)

```

```

proof –
  obtain a d n where A-eq: A = ap-segment a d n
    using ap by (rule arithmetic-progressionE)
  have n-pos: 0 < n
  proof (rule ccontr)
    assume ¬ 0 < n
    then have n = 0
      by simp
    with A-eq card-ge2 show False
      by simp
  qed

```

```

have d-nonzero: d ≠ 0
proof
  assume d = 0
  with A-eq n-pos have A = {a}
    by (simp add: ap-segment-zero-step)
  with card-ge2 show False
    by simp
qed

```

```

let ?p = card (UNIV :: 'a set)
have n-lt-p: n < ?p
proof (rule ccontr)
  assume ¬ n < ?p
  then have p-le-n: ?p ≤ n
    by simp
  have ap-segment a d ?p ⊆ ap-segment a d n
    using p-le-n by (auto simp: ap-segment-def)
  moreover have ap-segment a d ?p = UNIV
proof –
  have card (ap-segment a d ?p) = ?p
    by (rule card-ap-segment[OF prime-card d-nonzero]) simp
  moreover have ap-segment a d ?p ⊆ UNIV

```

```

    by simp
    ultimately show ?thesis
      using finite-UNIV by (intro card-subset-eq) auto
  qed
  ultimately have UNIV  $\subseteq$  A
    using A-eq by simp
  then have A = UNIV
    by auto
  with small show False
    by simp
  qed
  have n-le-p:  $n \leq ?p$ 
    using n-lt-p by simp
  have card A = n
    using A-eq card-ap-segment[OF prime-card d-nonzero n-le-p, of a] by simp
  then have A = ap-segment a d (card A)
    using A-eq by simp
  then show ?thesis
    using d-nonzero that by blast
  qed

lemma step-image-UNIV:
  fixes a d :: 'a::finite-field
  assumes prime-card: prime (card (UNIV :: 'a::finite-field set))
  assumes d-nonzero:  $d \neq 0$ 
  shows  $(\lambda i. a + \text{of-nat } i * d) \text{ ``}\{..<\text{card } (UNIV :: 'a \text{ set})\} = UNIV$ 
  proof -
    have inj: inj-on  $(\lambda i. a + \text{of-nat } i * d) \text{ ``}\{..<\text{card } (UNIV :: 'a \text{ set})\}$ 
      by (rule inj-on-ap-segment-index[OF prime-card d-nonzero]) simp
    have card  $((\lambda i. a + \text{of-nat } i * d) \text{ ``}\{..<\text{card } (UNIV :: 'a \text{ set})\}) = \text{card } (UNIV :: 'a \text{ set})$ 
      by (simp add: card-image inj)
    moreover have  $(\lambda i. a + \text{of-nat } i * d) \text{ ``}\{..<\text{card } (UNIV :: 'a \text{ set})\} \subseteq UNIV$ 
      by simp
    ultimately show ?thesis
      using finite-UNIV by (intro card-subset-eq) auto
  qed

lemma predecessor-closed-initial-segment:
  fixes S :: nat set
  assumes fin: finite S
  assumes zero:  $0 \in S$ 
  assumes pred:  $\bigwedge k. \text{Suc } k \in S \implies k \in S$ 
  shows  $S = \{..<\text{card } S\}$ 
  proof
    have init:  $\{..<\text{Suc } k\} \subseteq S$  if  $k \in S$  for k
      using that
    proof (induction k)
      case 0
      then show ?case
        by auto
    next
      case (Suc k)
      have  $k \in S$ 
        using pred Suc.prem by blast
      then have  $\{..<\text{Suc } k\} \subseteq S$ 
        by (rule Suc.IH)
      show ?case
    proof
      fix x

```

```

assume x-lt: x ∈ {..Suc (Suc k)}
show x ∈ S
proof (cases x = Suc k)
  case True
    with Suc.prems show ?thesis
    by simp
  next
    case False
    with x-lt have x < Suc k
    by simp
    with ⟨{..Suc k} ⊆ S⟩ show ?thesis
    by auto
qed
qed
show S ⊆ {..card S}
proof
  fix k
  assume k ∈ S
  then have {..Suc k} ⊆ S
  by (rule init)
  then have Suc k ≤ card S
  proof -
    have card {..Suc k} = Suc k
    by simp
    moreover have card {..Suc k} ≤ card S
    using fin {..Suc k} ⊆ S by (intro card-mono) auto
    ultimately show ?thesis
    by simp
  qed
  then show k ∈ {..card S}
  by simp
qed
next
show {..card S} ⊆ S
proof
  have down: i ∈ S if i ≤ j j ∈ S for i j
  using that
  proof (induction j arbitrary: i)
    case 0
    then show ?case
    by simp
  next
    case (Suc j)
    show ?case
    proof (cases i = Suc j)
      case True
      with Suc.prems show ?thesis
      by simp
    next
      case False
      with Suc.prems have i-le-j: i ≤ j
      by simp
      have j ∈ S
      using pred Suc.prems(2) by simp
      from Suc.IH[OF i-le-j this] show ?thesis .
    qed
  qed
qed
fix k

```

```

assume k-lt:  $k \in \{..<card S\}$ 
show  $k \in S$ 
proof (rule ccontr)
  assume k-notin:  $k \notin S$ 
  have  $S \subseteq \{..<k\}$ 
  proof
    fix j
    assume j-in:  $j \in S$ 
    show  $j \in \{..<k\}$ 
    proof (rule ccontr)
      assume  $j \notin \{..<k\}$ 
      then have  $k \leq j$ 
        by simp
      then have  $k \in S$ 
        using j-in by (rule down)
      with k-notin show False
        by simp
    qed
  qed
  then have  $card S \leq k$ 
  proof -
    have  $card S \leq card \{..<k\}$ 
      using fin  $\langle S \subseteq \{..<k\} \rangle$  by (intro card-mono) auto
    then show ?thesis
      by simp
  qed
  with k-lt show False
    by simp
qed
qed
qed

```

7.2 Recovering a progression from maximal overlap

The following lemmas package the structural step used repeatedly in the Vosper proof: if a set is almost preserved by translation with a nonzero step, then it must itself be an arithmetic progression with that step.

```

lemma ap-segment-from-maximal-shift-overlap:
  fixes A :: 'a::finite-field set
  assumes prime-card: prime (card (UNIV :: 'a set))
  assumes d-nonzero:  $d \neq 0$ 
  assumes small:  $card A < card (UNIV :: 'a set)$ 
  assumes overlap:  $card (A \cap translate d A) = card A - 1$ 
  obtains a where  $A = ap-segment a d (card A)$ 
proof (cases A = {})
  case True
  then show ?thesis
  proof -
    have  $A = ap-segment 0 d (card A)$ 
      using True by simp
    then show ?thesis
      by (rule that)
  qed
next
  case False
  have finA: finite A
    using finite-subset[of A UNIV :: 'a set] by simp
  have cardA-pos:  $0 < card A$ 

```

```

  using False fnA by auto
have one-start: card (A - translate d A) = 1
proof -
  have A ∩ translate d A ⊆ A
  by simp
  then have card (A - (A ∩ translate d A)) = card A - card (A ∩ translate d A)
  using fnA by (simp add: card-Diff-subset)
  moreover have A - (A ∩ translate d A) = A - translate d A
  by auto
  ultimately show ?thesis
  using overlap cardA-pos by simp
qed
then obtain a where a-start: A - translate d A = {a}
  by (meson card-1-singletonE)
then have a-in: a ∈ A and a-notin: a ∉ translate d A
  by auto
define p where p = card (UNIV :: 'a set)
define f where f i = a + of-nat i * d for i
define S where S = {i ∈ {..

```

```

    using p-pos by simp
  from inj-f suc-mem zero-mem ⟨f (Suc k) = f 0⟩ have Suc k = 0
    unfolding inj-on-def by blast
  then show False
    by simp
qed
have f (Suc k) ∈ A – translate d A ⇒ False
  using a-start fk-neq-a by auto
then have fk-shift: f (Suc k) ∈ translate d A
  using fk-in by blast
then obtain y where y-in: y ∈ A and fy: f (Suc k) = d + y
  by (auto simp: translate-iff)
have y = f k
  using fy by (simp add: f-def algebra-simps)
with y-in show k ∈ S
  using sk-lt by (simp add: S-def)
qed
have S-init: S = {..

```

lemma *arithmetic-progression-from-maximal-shift-overlap*:

```

fixes A :: 'a::finite-field set
assumes prime-card: prime (card (UNIV :: 'a set))
assumes d-nonzero: d ≠ 0
assumes small: card A < card (UNIV :: 'a set)
assumes overlap: card (A ∩ translate d A) = card A – 1
shows arithmetic-progression A
proof –
  obtain a where A = ap-segment a d (card A)
    by (rule ap-segment-from-maximal-shift-overlap[OF prime-card d-nonzero small overlap])
  then show ?thesis
    unfolding arithmetic-progression-def by blast
qed

```

end

theory *Vosper-Prime-Field*

imports *Vosper-Support*

begin

8 Vosper over prime fields

This theory formalizes Vosper’s theorem in the prime-field setting. The proof is organized around Davenport transforms, first deriving one-sided progression results under a normalization hypothesis and then combining the normalized arguments to obtain the full shared-difference conclusion.

8.1 Davenport transforms and translation lemmas

definition *davenport-transform* ::

'a::ring-1 set ⇒ 'a set ⇒ 'a ⇒ 'a set

where

davenport-transform $A B e = \{b \in B. e - b \notin \text{sumset } A B\}$

definition *davenport-remainder* ::

$'a::\text{ring-1 set} \Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set}$

where

davenport-remainder $A B e = \{b \in B. e - b \in \text{sumset } A B\}$

lemma *sumset-mono-left*:

assumes $A \subseteq A'$

shows $\text{sumset } A B \subseteq \text{sumset } A' B$

using *assms* **by** (*auto simp: sumset-def*)

lemma *sumset-mono-right*:

assumes $B \subseteq B'$

shows $\text{sumset } A B \subseteq \text{sumset } A B'$

using *assms* **by** (*auto simp: sumset-def*)

lemma *sumset-translate-right*:

fixes $A B :: ('a::\text{comm-monoid-add}) \text{ set}$

shows $\text{sumset } A (\text{translate } c B) = \text{translate } c (\text{sumset } A B)$

proof

show $\text{sumset } A (\text{translate } c B) \subseteq \text{translate } c (\text{sumset } A B)$

proof

fix x

assume $x \in \text{sumset } A (\text{translate } c B)$

then obtain $a b$ **where** *a-in*: $a \in A$ **and** *b-in*: $b \in B$ **and** *x-eq*: $x = a + (c + b)$

by (*auto simp: sumset-def translate-def*)

have $a + b \in \text{sumset } A B$

using *a-in b-in* **by** (*rule sumsetI*)

then show $x \in \text{translate } c (\text{sumset } A B)$

proof –

have $x = c + (a + b)$

using *x-eq* **by** (*simp add: ac-simps*)

then show *?thesis*

using $\langle a + b \in \text{sumset } A B \rangle$ **by** (*auto simp: translate-def*)

qed

qed

next

show $\text{translate } c (\text{sumset } A B) \subseteq \text{sumset } A (\text{translate } c B)$

proof

fix x

assume $x \in \text{translate } c (\text{sumset } A B)$

then obtain y **where** *y-in*: $y \in \text{sumset } A B$ **and** *x-eq*: $x = c + y$

by (*auto simp: translate-def*)

then obtain $a b$ **where** *a-in*: $a \in A$ **and** *b-in*: $b \in B$ **and** *y-eq*: $y = a + b$

by (*auto simp: sumset-def*)

have $c + b \in \text{translate } c B$

using *b-in* **by** (*auto simp: translate-def*)

then show $x \in \text{sumset } A (\text{translate } c B)$

using *a-in x-eq y-eq* **by** (*auto simp: sumset-def ac-simps*)

qed

qed

lemma *sumset-translate-left*:

fixes $A B :: ('a::\text{comm-monoid-add}) \text{ set}$

shows $\text{sumset } (\text{translate } c A) B = \text{translate } c (\text{sumset } A B)$

proof

show $\text{sumset } (\text{translate } c A) B \subseteq \text{translate } c (\text{sumset } A B)$

proof

```

fix x
assume  $x \in \text{sumset } (\text{translate } c \ A) \ B$ 
then obtain  $a \ b$  where  $a\text{-in: } a \in A$  and  $b\text{-in: } b \in B$  and  $x\text{-eq: } x = (c + a) + b$ 
  by (auto simp: sumset-def translate-def)
have  $a + b \in \text{sumset } A \ B$ 
  using  $a\text{-in } b\text{-in}$  by (rule sumsetI)
then show  $x \in \text{translate } c \ (\text{sumset } A \ B)$ 
proof –
  have  $x = c + (a + b)$ 
    using  $x\text{-eq}$  by (simp add: ac-simps)
  then show ?thesis
    using  $\langle a + b \in \text{sumset } A \ B \rangle$  by (auto simp: translate-def)
qed
qed
next
show  $\text{translate } c \ (\text{sumset } A \ B) \subseteq \text{sumset } (\text{translate } c \ A) \ B$ 
proof
  fix x
  assume  $x \in \text{translate } c \ (\text{sumset } A \ B)$ 
  then obtain  $y$  where  $y\text{-in: } y \in \text{sumset } A \ B$  and  $x\text{-eq: } x = c + y$ 
    by (auto simp: translate-def)
  then obtain  $a \ b$  where  $a\text{-in: } a \in A$  and  $b\text{-in: } b \in B$  and  $y\text{-eq: } y = a + b$ 
    by (auto simp: sumset-def)
  have  $c + a \in \text{translate } c \ A$ 
    using  $a\text{-in}$  by (auto simp: translate-def)
  then show  $x \in \text{sumset } (\text{translate } c \ A) \ B$ 
proof –
  have  $x = (c + a) + b$ 
    using  $x\text{-eq } y\text{-eq}$  by (simp add: ac-simps)
  then show ?thesis
    using  $\langle c + a \in \text{translate } c \ A \rangle \ b\text{-in}$  by (auto simp: sumset-def)
  qed
qed
qed

```

```

lemma card-uminus-image-eq [simp]:
  fixes  $A :: ('a::\text{ab-group-add}) \ \text{set}$ 
  assumes finite A
  shows  $\text{card } ((\text{uminus}) \ ` \ A) = \text{card } A$ 
  using assms by (simp add: card-image)

```

```

lemma card-2-zero-obtain:
  fixes  $B :: ('a::\text{zero}) \ \text{set}$ 
  assumes fin: finite B
  assumes  $\text{zero-in: } 0 \in B$ 
  assumes  $\text{card2: } \text{card } B = 2$ 
  obtains  $d$  where  $d \neq 0 \ B = \{0, d\}$ 
proof –
  have  $\text{card } (B - \{0\}) = 1$ 
    using assms by simp
  then obtain  $d$  where  $\text{diff: } B - \{0\} = \{d\}$ 
    by (meson card-1-singletonE)
  have  $B = \{0, d\}$ 
    using  $\text{diff } \text{zero-in}$  by auto
  moreover have  $d \neq 0$ 
    using  $\text{card2}$  calculation by auto
  ultimately show ?thesis
    using that by blast
qed

```

lemma *davenport-partition*:
davenport-transform $A B e \cup$ *davenport-remainder* $A B e = B$
davenport-transform $A B e \cap$ *davenport-remainder* $A B e = \{\}$
by (*auto simp: davenport-transform-def davenport-remainder-def*)

lemma *davenport-transform-subset* [*simp*]:
davenport-transform $A B e \subseteq B$
by (*auto simp: davenport-transform-def*)

lemma *davenport-remainder-subset* [*simp*]:
davenport-remainder $A B e \subseteq B$
by (*auto simp: davenport-remainder-def*)

lemma *card-lt-univ-if-not-univ*:
fixes $S :: 'a::\text{finite set}$
assumes $S \neq UNIV$
shows $\text{card } S < \text{card } (UNIV :: 'a \text{ set})$
proof (*rule ccontr*)
assume $\neg \text{card } S < \text{card } (UNIV :: 'a \text{ set})$
moreover have $\text{card } S \leq \text{card } (UNIV :: 'a \text{ set})$
by (*intro card-mono*) *auto*
ultimately have $\text{card } S = \text{card } (UNIV :: 'a \text{ set})$
by *linarith*
then have $S = UNIV$
using *finite-UNIV* **by** (*intro card-subset-eq*) *auto*
with *assms* **show** *False*
by *simp*
qed

8.2 One-sided progression results

The first main step shows that equality in Cauchy-Davenport, together with a normalization such as $0 \in B$, forces the opposite set to be an arithmetic progression.

theorem *vosper-progression-left-zero*:
fixes $A B :: 'a::\text{finite-field set}$
assumes *prime-card: prime* ($\text{card } (UNIV :: 'a \text{ set})$)
assumes *zero-in-B*: $0 \in B$
assumes *B-ge2*: $2 \leq \text{card } B$
assumes *comp-ge2*: $2 \leq \text{card } (UNIV - \text{sumset } A B)$
assumes *eq*: $\text{card } (\text{sumset } A B) = \text{card } A + \text{card } B - 1$
shows *arithmetic-progression* A
proof –
let $?p = \text{card } (UNIV :: 'a \text{ set})$
have *aux*:
 $\bigwedge n::\text{nat}. \bigwedge A B::'a \text{ set}.$
 $\text{card } B = n \implies$
 $0 \in B \implies$
 $2 \leq \text{card } B \implies$
 $2 \leq \text{card } (UNIV - \text{sumset } A B) \implies$
 $\text{card } (\text{sumset } A B) = \text{card } A + \text{card } B - 1 \implies$
arithmetic-progression A
proof –
fix $n :: \text{nat}$
fix $A B :: 'a \text{ set}$
show $\text{card } B = n \implies$
 $0 \in B \implies$
 $2 \leq \text{card } B \implies$

$2 \leq \text{card} (UNIV - \text{sumset } A B) \implies$
 $\text{card} (\text{sumset } A B) = \text{card } A + \text{card } B - 1 \implies$
arithmetic-progression A

proof (*induction n arbitrary: A B rule: less-induct*)
case (*less n*)
note $IH = \text{less.IH}$
assume $\text{card} B: \text{card } B = n$
assume $\text{zero-in-B}: 0 \in B$
assume $B\text{-ge}2: 2 \leq \text{card } B$
assume $\text{comp-ge}2: 2 \leq \text{card} (UNIV - \text{sumset } A B)$
assume $\text{eq}: \text{card} (\text{sumset } A B) = \text{card } A + \text{card } B - 1$

have $\text{fin}A: \text{finite } A$ **and** $\text{fin}B: \text{finite } B$
by *simp-all*
have $B\text{-nonempty}: B \neq \{\}$
using $B\text{-ge}2$ **by** *auto*
have $A\text{-nonempty}: A \neq \{\}$
using $\text{eq } B\text{-ge}2$ **by** *auto*
have $A\text{-subset-sumset}: A \subseteq \text{sumset } A B$

proof
fix x
assume $x\text{-in}: x \in A$
have $x + 0 \in \text{sumset } A B$
using $x\text{-in } \text{zero-in-B}$ **by** (*rule sumsetI*)
then show $x \in \text{sumset } A B$
by *simp*

qed
have $\text{sumset-not-full}: \text{sumset } A B \neq UNIV$
using $\text{comp-ge}2$ **by** *auto*
have $\text{sumset-small}: \text{card} (\text{sumset } A B) < ?p$

proof (*rule ccontr*)
assume $\neg \text{card} (\text{sumset } A B) < ?p$
moreover have $\text{card} (\text{sumset } A B) \leq ?p$
using $\text{finite-UNIV } \text{finite-sumset}[OF \text{fin}A \text{fin}B]$ **by** (*intro card-mono*) *auto*
ultimately have $\text{card} (\text{sumset } A B) = ?p$
by *linarith*
then have $\text{sumset } A B = UNIV$
using finite-UNIV **by** (*intro card-subset-eq*) *auto*
with sumset-not-full **show** *False*
by *simp*

qed

show *arithmetic-progression A*

proof (*cases card B = 2*)
case *True*
obtain d **where** $d\text{-nonzero}: d \neq 0$ **and** $B\text{-eq}: B = \{0, d\}$
by (*rule card-2-zero-obtain[OF finB zero-in-B True]*)
have $\text{sumset-eq}: \text{sumset } A B = A \cup \text{translate } d A$
using $B\text{-eq}$ **by** (*simp add: sumset-pair-zero*)
have $\text{card-overlap}: \text{card} (A \cap \text{translate } d A) = \text{card } A - 1$

proof –
have $\text{fin-translate}: \text{finite} (\text{translate } d A)$
by *simp*
have $\text{card} (\text{sumset } A B) = \text{card} (A \cup \text{translate } d A)$
using sumset-eq **by** *simp*
also have $\dots = \text{card } A + \text{card} (\text{translate } d A) - \text{card} (A \cap \text{translate } d A)$

proof –
have $\text{card } A + \text{card} (\text{translate } d A) =$
 $\text{card} (A \cup \text{translate } d A) + \text{card} (A \cap \text{translate } d A)$

```

    using finA fin-translate by (rule card-Un-Int)
  then show ?thesis
    by linarith
qed
also have ... = card A + card A - card (A ∩ translate d A)
  using finA by (simp add: card-translate-eq)
finally show ?thesis
  using eq True by simp
qed
have smallA: card A < ?p
  using A-subset-sumset sumset-small finA finite-sumset[OF finA finB]
  by (meson card-mono le-less-trans)
from arithmetic-progression-from-maximal-shift-overlap
  [OF prime-card d-nonzero smallA card-overlap]
show ?thesis .
next
case False
have cardB-gt2: 2 < card B
  using B-ge2 False by linarith
define S where S = sumset A B
define T where T = sumset S B
define E where E = T - S

have finS: finite S and finT: finite T and finE: finite E
  unfolding S-def T-def E-def by auto
have S-nonempty: S ≠ {}
  using A-nonempty B-nonempty unfolding S-def by auto
have S-subset-T: S ⊆ T
proof
  fix x
  assume x-in: x ∈ S
  have x + 0 ∈ T
    using x-in zero-in-B unfolding T-def by (rule sumsetI)
  then show x ∈ T
    by simp
qed
have T-lb: card T ≥ min ?p (card S + card B - 1)
proof -
  have card (sumset S B) ≥ min ?p (card S + card B - 1)
    using cauchy-davenport-prime-field[where A = S and B = B, OF prime-card S-nonempty
B-nonempty]
    by simp
  then show ?thesis
    unfolding T-def .
qed
have min-gt: min ?p (card S + card B - 1) > card S
proof (cases ?p < card S + card B - 1)
  case True
  then show ?thesis
    using sumset-small unfolding S-def by simp
next
case False
  then have min ?p (card S + card B - 1) = card S + card B - 1
    by simp
  with B-ge2 show ?thesis
    by simp
qed
have T-gt: card T > card S
  using T-lb min-gt by linarith

```

```

have cardE: card E = card T - card S
  using S-subset-T finT unfolding E-def by (simp add: card-Diff-subset)
have E-nonempty: E ≠ {}
  using T-gt cardE by auto

show ?thesis
proof (rule ccontr)
  assume not-ap: ¬ arithmetic-progression A

  have transform-singleton:
    davenport-transform A B e = {0} if e-in: e ∈ E for e
  proof -
    let ?D = davenport-transform A B e
    let ?R = davenport-remainder A B e

    have e-notin-S: e ∉ S
      using e-in unfolding E-def by auto
    have zero-in-D: 0 ∈ ?D
      using zero-in-B e-notin-S unfolding davenport-transform-def S-def by auto
    have D-nonempty: ?D ≠ {}
      using zero-in-D by auto
    have R-nonempty: ?R ≠ {}
  proof -
    from e-in have e ∈ T
      unfolding E-def by auto
    then obtain s b where s-in: s ∈ S and b-in: b ∈ B and e-eq: e = s + b
      unfolding T-def by (auto simp: sumset-def)
    have b ∈ ?R
      using s-in b-in e-eq unfolding davenport-remainder-def S-def by simp
    then show ?thesis
      by auto
  qed
  have part: ?D ∪ ?R = B ?D ∩ ?R = {}
    by (rule davenport-partition)+
  have finD: finite ?D and finR: finite ?R
    by auto
  have cardD-lt: card ?D < card B
  proof -
    have card (?D ∪ ?R) = card ?D + card ?R
      using finD finR part(2) by (simp add: card-Un-disjoint)
    then have card B = card ?D + card ?R
      using part(1) by simp
    moreover have 0 < card ?R
      using R-nonempty finR by auto
    ultimately show ?thesis
      by linarith
  qed
  have left-subset: sumset A ?D ⊆ S
  proof
    fix x
    assume x-in: x ∈ sumset A ?D
    then obtain a b where a-in: a ∈ A and b-in: b ∈ ?D and x-eq: x = a + b
      by (auto simp: sumset-def)
    have b ∈ B
      using b-in unfolding davenport-transform-def by auto
    then show x ∈ S
      using a-in x-eq unfolding S-def by (auto simp: sumset-def)
  qed
  have right-subset: (λb. e - b) ' ?R ⊆ S

```

```

unfolding davenport-remainder-def S-def by auto
have disj-subset: sumset A ?D  $\cap$  ( $\lambda b. e - b$ ) ' ?R  $\subseteq$  {}
proof
  fix x
  assume x-in:  $x \in$  sumset A ?D  $\cap$  ( $\lambda b. e - b$ ) ' ?R
  then obtain a d r where
    a-in:  $a \in A$  and d-in:  $d \in ?D$  and r-in:  $r \in ?R$ 
    and x-eq1:  $x = a + d$  and x-eq2:  $x = e - r$ 
    by (auto simp: sumset-def)
  have eq-ed:  $e - d = a + r$ 
    using x-eq1 x-eq2 by (simp add: algebra-simps)
  have ar-in-S:  $a + r \in S$ 
    using a-in r-in unfolding S-def davenport-remainder-def by (auto intro: sumsetI)
  have ed-notin:  $e - d \notin$  sumset A B
    using d-in unfolding davenport-transform-def by auto
  have ed-in:  $e - d \in$  sumset A B
    using eq-ed ar-in-S unfolding S-def by simp
  show  $x \in$  {}
    using ed-notin ed-in by blast
qed
have disj: sumset A ?D  $\cap$  ( $\lambda b. e - b$ ) ' ?R = {}
  using disj-subset by blast
have card-right:  $\text{card } ((\lambda b. e - b) ' ?R) = \text{card } ?R$ 
proof -
  have inj-on ( $\lambda b. e - b$ ) ?R
    by (rule inj-onI) simp
  with finR show ?thesis
    by (simp add: card-image)
qed
have cardS-lb:  $\text{card } S \geq \text{card } (\text{sumset } A ?D) + \text{card } ?R$ 
proof -
  have card-union-le:  $\text{card } S \geq \text{card } (\text{sumset } A ?D \cup (\lambda b. e - b) ' ?R)$ 
    using left-subset right-subset finS finite-sumset[OF finA finD]
    by (intro card-mono) auto
  have fin-image: finite (( $\lambda b. e - b$ ) ' ?R)
    using finR by auto
  have card-union:
     $\text{card } (\text{sumset } A ?D \cup (\lambda b. e - b) ' ?R) =$ 
     $\text{card } (\text{sumset } A ?D) + \text{card } ((\lambda b. e - b) ' ?R)$ 
    by (rule card-Un-disjoint[OF finite-sumset[OF finA finD] fin-image disj])
  have card-union':
     $\text{card } (\text{sumset } A ?D \cup (\lambda b. e - b) ' ?R) =$ 
     $\text{card } (\text{sumset } A ?D) + \text{card } ?R$ 
    using card-union card-right by simp
  from card-union-le card-union' show ?thesis
    by simp
qed
have cardB-split:  $\text{card } B = \text{card } ?D + \text{card } ?R$ 
proof -
  have  $\text{card } (?D \cup ?R) = \text{card } ?D + \text{card } ?R$ 
    using finD finR part(2) by (simp add: card-Un-disjoint)
  then show ?thesis
    using part(1) by simp
qed
have upperD:  $\text{card } (\text{sumset } A ?D) \leq \text{card } A + \text{card } ?D - 1$ 
  using cardS-lb eq cardB-split unfolding S-def by linarith
have sumsetD-le-S:  $\text{card } (\text{sumset } A ?D) \leq \text{card } S$ 
  using left-subset finS finite-sumset[OF finA finD] by (intro card-mono) auto
have sumsetD-small:  $\text{card } (\text{sumset } A ?D) < ?p$ 

```

```

    using sumsetD-le-S sumset-small unfolding S-def by linarith
  have eqD: card (sumset A ?D) = card A + card ?D - 1
  proof -
    have cdD: card (sumset A ?D) ≥ min ?p (card A + card ?D - 1)
      using cauchy-davenport-prime-field[OF prime-card A-nonempty D-nonempty]
      by simp
    have card A + card ?D - 1 < ?p
  proof (rule ccontr)
    assume not-lt: ¬ card A + card ?D - 1 < ?p
    then have min ?p (card A + card ?D - 1) = ?p
      by simp
    with cdD have card (sumset A ?D) ≥ ?p
      by simp
    with sumsetD-small show False
      by simp
  qed
  with cdD upperD show ?thesis
    by simp
  qed
  have compD-ge2: 2 ≤ card (UNIV - sumset A ?D)
  proof -
    have UNIV - S ⊆ UNIV - sumset A ?D
      using left-subset by auto
    then have card (UNIV - S) ≤ card (UNIV - sumset A ?D)
      by (intro card-mono) auto
    with comp-ge2 show ?thesis
      unfolding S-def by linarith
  qed

  show ?thesis
  proof (cases 2 ≤ card ?D)
    case True
    have cardD-lt-n: card ?D < n
      using cardD-lt cardB by simp
    have arithmetic-progression A
      using IH[of card ?D ?D A] cardD-lt-n zero-in-D True compD-ge2 eqD by blast
    with not-ap show ?thesis
      by simp
  next
    case False
    have cardD-pos: 0 < card ?D
      using D-nonempty finD by auto
    have card ?D = 1
      using cardD-pos False by linarith
    then obtain x where D-eq: ?D = {x}
      by (auto simp: card-1-singleton-iff)
    with zero-in-D show ?thesis
      by auto
  qed
  qed

  let ?Bx = B - {0}
  have card-Bx: card ?Bx = card B - 1
    using finB zero-in-B by simp
  have Bx-nonempty: ?Bx ≠ {}
  proof -
    have 0 < card ?Bx
      using card-Bx cardB-gt2 by linarith
    then show ?thesis

```

```

    using finB by (simp add: card-gt-0-iff)
qed
have A-disj-subset: A ∩ sumset E ((uminus) ‘ ?Bx) ⊆ {}
proof
  fix x
  assume x-in: x ∈ A ∩ sumset E ((uminus) ‘ ?Bx)
  then obtain e b where e-in: e ∈ E and b-in: b ∈ ?Bx and x-eq: x = e - b
    by (auto simp: sumset-def)
  have e = x + b
    using x-eq by simp
  moreover have x + b ∈ S
    using x-in b-in unfolding S-def by (auto intro: sumsetI)
  ultimately have e-in-S: e ∈ S
    by simp
  have e-notin-S: e ∉ S
    using e-in unfolding E-def by auto
  show x ∈ {}
    using e-in-S e-notin-S by blast
qed
have A-disj: A ∩ sumset E ((uminus) ‘ ?Bx) = {}
  using A-disj-subset by blast
have E-minus-subset: sumset E ((uminus) ‘ ?Bx) ⊆ S
proof
  fix x
  assume x-in: x ∈ sumset E ((uminus) ‘ ?Bx)
  then obtain e b where e-in: e ∈ E and b-in: b ∈ ?Bx and x-eq: x = e - b
    by (auto simp: sumset-def)
  have b-notin-D: b ∉ davenport-transform A B e
    using b-in transform-singleton[OF e-in] by auto
  have b-in-B: b ∈ B
    using b-in by auto
  have b ∈ davenport-remainder A B e
    using b-notin-D b-in-B unfolding davenport-transform-def davenport-remainder-def
    by auto
  then have e - b ∈ S
    unfolding davenport-remainder-def S-def by auto
  then show x ∈ S
    using x-eq by simp
qed
have A-union-subset: A ∪ sumset E ((uminus) ‘ ?Bx) ⊆ S
  using A-subset-sumset E-minus-subset unfolding S-def by auto
have Eminus-not-full: sumset E ((uminus) ‘ ?Bx) ≠ UNIV
  using A-nonempty A-disj by auto
have finEminus: finite (sumset E ((uminus) ‘ ?Bx))
  by auto
have card-neg-Bx: card ((uminus) ‘ ?Bx) = card ?Bx
proof -
  have inj-on uminus ?Bx
    by (rule inj-onI) simp
  then show ?thesis
    by (simp add: card-image)
qed
have neg-Bx-nonempty: (uminus) ‘ ?Bx ≠ {}
  using Bx-nonempty by auto
have E-lb: card (sumset E ((uminus) ‘ ?Bx)) ≥ card E + card ?Bx - 1
proof -
  have cdE:
    card (sumset E ((uminus) ‘ ?Bx)) ≥ min ?p (card E + card ((uminus) ‘ ?Bx) - 1)
    using cauchy-davenport-prime-field[OF prime-card E-nonempty neg-Bx-nonempty]

```

```

    by simp
  then have cdE': card (sumset E ((uminus) ' ?Bx)) ≥ min ?p (card E + card ?Bx - 1)
    using card-neg-Bx by simp
  have card (sumset E ((uminus) ' ?Bx)) < ?p
  proof (rule ccontr)
    assume not-lt: ¬ card (sumset E ((uminus) ' ?Bx)) < ?p
    have le-p: card (sumset E ((uminus) ' ?Bx)) ≤ ?p
      using finEminus finite-UNIV by (intro card-mono) auto
    with not-lt have eq-p: card (sumset E ((uminus) ' ?Bx)) = ?p
      by linarith
    then have sumset E ((uminus) ' ?Bx) = UNIV
      using finEminus finite-UNIV by (intro card-subset-eq) auto
    with Eminus-not-full show False
      by simp
  qed
  with cdE' show ?thesis
    by simp
  qed
  have cardS-ge: card S ≥ card A + card (sumset E ((uminus) ' ?Bx))
  proof -
    have card-union-le: card S ≥ card (A ∪ sumset E ((uminus) ' ?Bx))
      using A-union-subset finS finEminus
      by (intro card-mono) auto
    have card-union:
      card (A ∪ sumset E ((uminus) ' ?Bx)) =
        card A + card (sumset E ((uminus) ' ?Bx))
      by (rule card-Un-disjoint[OF finA finEminus A-disj])
    from card-union-le card-union show ?thesis
      by simp
  qed
  have cardE-le1: card E ≤ 1
    using cardS-ge E-lb eq cardB-gt2 card-Bx unfolding S-def by linarith
  have cardE-pos: 0 < card E
    using E-nonempty finE by (simp add: card-gt-0-iff)
  have cardE-one: card E = 1
    using cardE-pos cardE-le1 by linarith

  show False
  proof (cases T = UNIV)
    case True
      have card E = card (UNIV - S)
        using True unfolding E-def by auto
      also have ... ≥ 2
        using comp-ge2 unfolding S-def by simp
      finally show False
        using cardE-one by simp
    next
      case False
      have cdT: card T ≥ min ?p (card S + card B - 1)
        unfolding T-def
        using cauchy-davenport-prime-field[OF prime-card S-nonempty B-nonempty]
        by simp
      have T-small: card T < ?p
      proof (rule ccontr)
        assume not-lt: ¬ card T < ?p
        have le-p: card T ≤ ?p
          using finT finite-UNIV by (intro card-mono) auto
        with not-lt have card T = ?p
          by linarith
      qed
  end

```

```

    then have  $T = UNIV$ 
      using  $finT$   $finite-UNIV$  by (intro  $card-subset-eq$ ) auto
    with  $False$  show  $False$ 
      by  $simp$ 
  qed
  have  $T-lb'$ :  $card\ T \geq card\ S + card\ B - 1$ 
    using  $cdT$   $T-small$  by  $simp$ 
  have  $cardS-le-T$ :  $card\ S \leq card\ T$ 
    using  $S-subset-T$   $finT$  by (intro  $card-mono$ ) auto
  have  $card\ T = card\ S + card\ E$ 
  proof -
    have  $card\ T = card\ S + (card\ T - card\ S)$ 
      using  $cardS-le-T$  by  $simp$ 
    also have  $\dots = card\ S + card\ E$ 
      using  $cardE$  by  $simp$ 
    finally show  $?thesis$  .
  qed
  with  $T-lb'$   $cardE-one$  have  $card\ B \leq 2$ 
    by  $linarith$ 
  with  $cardB-gt2$  show  $False$ 
    by  $simp$ 
  qed
  qed
  qed
  from  $aux$ [where  $n = card\ B$  and  $A = A$  and  $B = B$ ]  $zero-in-B$   $B-ge2$   $comp-ge2$   $eq$  show  $?thesis$ 
    by  $simp$ 
  qed

```

```

theorem  $vosper-progression-right-zero$ :
  fixes  $A\ B :: 'a::finite-field\ set$ 
  assumes  $prime-card$ :  $prime\ (card\ (UNIV :: 'a\ set))$ 
  assumes  $zero-in-A$ :  $0 \in A$ 
  assumes  $A-ge2$ :  $2 \leq card\ A$ 
  assumes  $comp-ge2$ :  $2 \leq card\ (UNIV - sumset\ A\ B)$ 
  assumes  $eq$ :  $card\ (sumset\ A\ B) = card\ A + card\ B - 1$ 
  shows  $arithmetic-progression\ B$ 
proof -
  have  $comp-ge2'$ :  $2 \leq card\ (UNIV - sumset\ B\ A)$ 
    using  $comp-ge2$  by ( $simp\ add: sumset-commute$ )
  have  $eq'$ :  $card\ (sumset\ B\ A) = card\ B + card\ A - 1$ 
    using  $eq$  by ( $simp\ add: sumset-commute\ add.commute$ )
  show  $?thesis$ 
    by (rule  $vosper-progression-left-zero$ [OF  $prime-card$   $zero-in-A$   $A-ge2$   $comp-ge2'$   $eq'$ ])
  qed

```

```

lemma  $vosper-left-with-right-ap-zero-two$ :
  fixes  $A :: 'a::finite-field\ set$ 
  assumes  $prime-card$ :  $prime\ (card\ (UNIV :: 'a\ set))$ 
  assumes  $d-nonzero$ :  $d \neq 0$ 
  assumes  $A-ge2$ :  $2 \leq card\ A$ 
  assumes  $comp-ge2$ :  $2 \leq card\ (UNIV - sumset\ A\ (ap-segment\ 0\ d\ (Suc\ (Suc\ 0))))$ 
  assumes  $eq$ :  $card\ (sumset\ A\ (ap-segment\ 0\ d\ (Suc\ (Suc\ 0)))) = card\ A + Suc\ 0$ 
  shows  $\exists a. A = ap-segment\ a\ d\ (card\ A)$ 
proof -
  let  $?p = card\ (UNIV :: 'a\ set)$ 
  let  $?two = Suc\ (Suc\ 0)$ 
  have  $finA$ :  $finite\ A$ 

```

```

  by simp
have A-nonempty: A ≠ {}
proof
  assume A = {}
  then have card A = 0
    by simp
  then have 2 ≤ (0::nat)
    using A-ge2 by simp
  then show False
    by simp
qed
have sumset-not-full: sumset A (ap-segment 0 d ?two) ≠ UNIV
  using comp-ge2 by auto
have sumset-small: card (sumset A (ap-segment 0 d ?two)) < card (UNIV :: 'a set)
  using sumset-not-full card-lt-univ-if-not-univ[of sumset A (ap-segment 0 d ?two)] by simp
have B-eq: ap-segment 0 d ?two = {0, d}
proof
  show ap-segment 0 d ?two ⊆ {0, d}
  proof
    fix x
    assume x ∈ ap-segment 0 d ?two
    then obtain i where i-lt: i < ?two and x-eq: x = 0 + of-nat i * d
      by (auto simp: ap-segment-def)
    from i-lt have i = 0 ∨ i = 1
      by auto
    with x-eq show x ∈ {0, d}
      by auto
  qed
next
show {0, d} ⊆ ap-segment 0 d ?two
proof
  fix x
  assume x-in: x ∈ {0, d}
  show x ∈ ap-segment 0 d ?two
  proof (cases x = 0)
    case x0: True
    have 0 ∈ ap-segment 0 d ?two
    proof (unfold ap-segment-def, rule image-eqI[where x = 0])
      show 0 ∈ {..< ?two}
        by simp
      show 0 = 0 + of-nat 0 * d
        by simp
    qed
    then show ?thesis
      using x0 by simp
  next
  case False
  with x-in have x = d
    by simp
  then show ?thesis
  proof -
    have d ∈ ap-segment 0 d ?two
    proof (unfold ap-segment-def, rule image-eqI[where x = 1])
      show 1 ∈ {..< ?two}
        by simp
      show d = 0 + of-nat 1 * d
        by simp
    qed
  qed
  then show ?thesis

```

```

    using ⟨x = d⟩ by simp
  qed
  qed
  qed
  qed
  have zero-in-B: 0 ∈ ap-segment 0 d ?two
    using B-eq by simp
  have A-subset-sumset: A ⊆ sumset A (ap-segment 0 d ?two)
  proof
    fix x
    assume x-in: x ∈ A
    have x + 0 ∈ sumset A (ap-segment 0 d ?two)
      using x-in zero-in-B by (rule sumsetI)
    then show x ∈ sumset A (ap-segment 0 d ?two)
      by simp
  qed
  have smallA: card A < ?p
    using A-subset-sumset sumset-small finite-sumset[OF finA finite-ap-segment[of 0 d ?two]]
    by (meson card-mono le-less-trans)
  have sumset-eq: sumset A (ap-segment 0 d ?two) = A ∪ translate d A
    using B-eq by (simp add: sumset-pair-zero)
  have fin-translate: finite (translate d A)
    by simp
  have card-overlap: card (A ∩ translate d A) = card A - 1
  proof -
    have card (sumset A (ap-segment 0 d ?two)) = card (A ∪ translate d A)
      using sumset-eq by simp
    also have ... = card A + card (translate d A) - card (A ∩ translate d A)
    proof -
      have card A + card (translate d A) =
        card (A ∪ translate d A) + card (A ∩ translate d A)
        using finA fin-translate by (rule card-Un-Int)
      then show ?thesis
        by linarith
    qed
    also have ... = card A + card A - card (A ∩ translate d A)
      using finA by (simp add: card-translate-eq)
    finally show ?thesis
      using eq by simp
  qed
  show ?thesis
  proof (rule ap-segment-from-maximal-shift-overlap[OF prime-card d-nonzero smallA card-overlap])
    fix a
    assume A = ap-segment a d (card A)
    then show ∃ a. A = ap-segment a d (card A)
      by blast
  qed
  qed

```

lemma vosper-left-with-right-ap-zero-aux:

```

  fixes A :: 'a::finite-field set
  assumes prime-card: prime (card (UNIV :: 'a set))
  assumes A-ge2: 2 ≤ card A
  assumes d-nonzero: d ≠ 0
  assumes comp-ge2: 2 ≤ card (UNIV - sumset A (ap-segment 0 d (Suc (Suc m))))
  assumes eq: card (sumset A (ap-segment 0 d (Suc (Suc m)))) = card A + Suc m
  shows ∃ a. A = ap-segment a d (card A)
  proof -
    let ?P = λk X.

```

```

2 ≤ card X →
2 ≤ card (UNIV - sumset X (ap-segment 0 d (Suc (Suc k)))) →
card (sumset X (ap-segment 0 d (Suc (Suc k)))) = card X + Suc k →
(∃ a. X = ap-segment a d (card X))
have aux: ?P k X for k X
proof (induction k arbitrary: X)
  case 0
  show ?case
  proof (intro impI)
    assume X-ge2: 2 ≤ card X
    assume X-comp-raw: 2 ≤ card (UNIV - sumset X (ap-segment 0 d (Suc (Suc 0))))
    assume X-eq-raw: card (sumset X (ap-segment 0 d (Suc (Suc 0)))) = card X + Suc 0
    show ∃ a. X = ap-segment a d (card X)
      by (rule vosper-left-with-right-ap-zero-two[OF prime-card d-nonzero X-ge2 X-comp-raw X-eq-raw])
  qed
next
case (Suc k)
show ?case
proof (intro impI)
  let ?p = card (UNIV :: 'a set)
  let ?n = Suc (Suc (Suc k))
  let ?D = ap-segment 0 d (Suc (Suc k))
  assume X-ge2: 2 ≤ card X
  assume X-comp: 2 ≤ card (UNIV - sumset X (ap-segment 0 d ?n))
  assume X-eq: card (sumset X (ap-segment 0 d ?n)) = card X + Suc (Suc k)
  define C where C = sumset X ?D
  have finX: finite X
    by simp
  have X-nonempty: X ≠ {}
  proof
    assume X = {}
    then have card X = 0
      by simp
    then have 2 ≤ (0::nat)
      using X-ge2 by simp
    then show False
      by simp
  qed
  have sumset-not-full: sumset X (ap-segment 0 d ?n) ≠ UNIV
    using X-comp by auto
  have sumset-small: card (sumset X (ap-segment 0 d ?n)) < card (UNIV :: 'a set)
    using sumset-not-full card-lt-univ-if-not-univ[of sumset X (ap-segment 0 d ?n)] by simp
  have D-decomp: ap-segment 0 d ?n = sumset ?D {0, d}
    by (simp add: sumset-ap-segment-pair-suc)
  have final-as-C: sumset X (ap-segment 0 d ?n) = sumset C {0, d}
    unfolding C-def D-decomp by (simp add: sumset-assoc)
  have D-nonempty: ?D ≠ {}
  proof
    assume ?D = {}
    have 0 ∈ ?D
    proof (unfold ap-segment-def, rule image-eqI[where x = 0])
      show 0 ∈ {..

```

```

have C-nonempty: C ≠ {}
  unfolding C-def using X-nonempty D-nonempty by auto
have cardD: card ?D = Suc (Suc k)
proof -
  have Suc (Suc k) ≤ ?p
    using X-eq sumset-small X-ge2 by linarith
  then show ?thesis
    by (rule card-ap-segment[OF prime-card d-nonzero])
qed
have C-lb: card C ≥ card X + Suc k
proof -
  have cdC: card C ≥ min ?p (card X + card ?D - 1)
    unfolding C-def
    using cauchy-davenport-prime-field[OF prime-card X-nonempty D-nonempty]
    by simp
  have card X + card ?D - 1 < ?p
    using X-eq sumset-small cardD by simp
  then show ?thesis
    using cdC cardD by simp
qed
have final-eq': card (sumset C {0, d}) = card X + Suc (Suc k)
  using X-eq final-as-C by simp
have C-upper: card C ≤ card X + Suc k
proof -
  have cd-pair0: card (sumset C {0, d}) ≥ min ?p (card C + card {0, d} - 1)
    by (rule cauchy-davenport-prime-field[OF prime-card C-nonempty]) simp
  have cd-pair: card (sumset C {0, d}) ≥ min ?p (card C + 1)
    using cd-pair0 d-nonzero by simp
  have C-small: card C + 1 < ?p
  proof (rule ccontr)
    assume ¬ card C + 1 < ?p
    then have min ?p (card C + 1) = ?p
      by simp
    with cd-pair have card (sumset C {0, d}) ≥ ?p
      by simp
    moreover have card (sumset C {0, d}) < ?p
      using final-as-C sumset-small by simp
    ultimately show False
      by linarith
  qed
  from cd-pair C-small have card (sumset C {0, d}) ≥ card C + 1
    by simp
  then show ?thesis
    using final-eq' by linarith
qed
have eqC: card C = card X + Suc k
  using C-lb C-upper by linarith
have D-subset: ?D ⊆ ap-segment 0 d ?n
  by (auto simp: ap-segment-def)
have C-subset: C ⊆ sumset X (ap-segment 0 d ?n)
  unfolding C-def by (rule sumset-mono-right[OF D-subset])
have compC-ge2: 2 ≤ card (UNIV - C)
proof -
  have UNIV - sumset X (ap-segment 0 d ?n) ⊆ UNIV - C
    using C-subset by auto
  then have card (UNIV - sumset X (ap-segment 0 d ?n)) ≤ card (UNIV - C)
    by (intro card-mono) auto
  with X-comp show ?thesis
    by linarith

```

```

qed
show  $\exists a. X = \text{ap-segment } a \ d \ (\text{card } X)$ 
  using Suc.IH[of X] X-ge2 compC-ge2 eqC
  unfolding C-def by simp
qed
qed
show ?thesis
  using aux[of A m] A-ge2 comp-ge2 eq by simp
qed

```

theorem *vosper-left-with-right-ap-zero*:

```

fixes A :: 'a::finite-field set
assumes prime-card: prime (card (UNIV :: 'a set))
assumes A-ge2:  $2 \leq \text{card } A$ 
assumes d-nonzero:  $d \neq 0$ 
assumes n-ge2:  $2 \leq n$ 
assumes comp-ge2:  $2 \leq \text{card} (\text{UNIV} - \text{sumset } A \ (\text{ap-segment } 0 \ d \ n))$ 
assumes eq:  $\text{card} (\text{sumset } A \ (\text{ap-segment } 0 \ d \ n)) = \text{card } A + n - 1$ 
shows  $\exists a. A = \text{ap-segment } a \ d \ (\text{card } A)$ 
proof -
  obtain n' where n'-eq:  $n = \text{Suc } n'$ 
    using n-ge2 by (cases n) auto
  then obtain m where m-eq:  $n' = \text{Suc } m$ 
    using n-ge2 by (cases n') auto
  have n-eq:  $n = \text{Suc} (\text{Suc } m)$ 
    using n'-eq m-eq by simp
  show ?thesis
    using vosper-left-with-right-ap-zero-aux[OF prime-card A-ge2 d-nonzero, of m] comp-ge2 eq n-eq
    by simp
qed

```

8.3 The full Vosper theorem

After normalizing one side by translation and propagating the common difference through an explicit arithmetic progression on the other side, we recover the classical prime-field conclusion: both extremal sets are arithmetic progressions with the same nonzero step.

theorem *vosper-prime-field*:

```

fixes A B :: 'a::finite-field set
assumes prime-card: prime (card (UNIV :: 'a set))
assumes A-ge2:  $2 \leq \text{card } A$ 
assumes B-ge2:  $2 \leq \text{card } B$ 
assumes comp-ge2:  $2 \leq \text{card} (\text{UNIV} - \text{sumset } A \ B)$ 
assumes eq:  $\text{card} (\text{sumset } A \ B) = \text{card } A + \text{card } B - 1$ 
shows  $\exists a \ b \ d. d \neq 0 \wedge A = \text{ap-segment } a \ d \ (\text{card } A) \wedge B = \text{ap-segment } b \ d \ (\text{card } B)$ 
proof -
  have A-nonempty:  $A \neq \{\}$ 
    using A-ge2 by auto
  then obtain a0 where a0-in:  $a0 \in A$ 
    by blast
  let ?A0 = translate ( $- \ a0$ ) A
  have zero-in-A0:  $0 \in ?A0$ 
    using a0-in by (auto simp: translate-def)
  have A0-ge2:  $2 \leq \text{card } ?A0$ 
    using A-ge2 by (simp add: card-translate-eq)
  have sumset-A0:  $\text{sumset } ?A0 \ B = \text{translate} (- \ a0) (\text{sumset } A \ B)$ 
    by (simp add: sumset-translate-left)
  have comp-A0:  $2 \leq \text{card} (\text{UNIV} - \text{sumset } ?A0 \ B)$ 
    using comp-ge2 sumset-A0 by (simp add: card-complement-translate-eq)

```

```

have eq-A0: card (sumset ?A0 B) = card ?A0 + card B - 1
proof -
  have card (sumset ?A0 B) = card (sumset A B)
    using sumset-A0 by (simp add: card-translate-eq)
  also have ... = card A + card B - 1
    using eq by simp
  also have ... = card ?A0 + card B - 1
    by (simp add: card-translate-eq)
  finally show ?thesis .
qed
have apB: arithmetic-progression B
  using vosper-progression-right-zero[OF prime-card zero-in-A0 A0-ge2 comp-A0 eq-A0] .

have sumset-A0-small: card (sumset ?A0 B) < card (UNIV :: 'a set)
proof (rule ccontr)
  let ?p = card (UNIV :: 'a set)
  assume ¬ card (sumset ?A0 B) < ?p
  moreover have card (sumset ?A0 B) ≤ ?p
    using finite-UNIV finite-sumset[of ?A0 B] by (intro card-mono) auto
  ultimately have card (sumset ?A0 B) = ?p
    by linarith
  then have sumset ?A0 B = UNIV
    using finite-UNIV by (intro card-subset-eq) auto
  with comp-A0 show False
    by simp
qed
have B-subset-sumset: B ⊆ sumset ?A0 B
proof
  fix x
  assume x-in: x ∈ B
  have 0 + x ∈ sumset ?A0 B
    using zero-in-A0 x-in by (rule sumsetI)
  then show x ∈ sumset ?A0 B
    by simp
qed
have B-small: card B < card (UNIV :: 'a set)
proof -
  have card B ≤ card (sumset ?A0 B)
    using B-subset-sumset finite-sumset[of ?A0 B] by (intro card-mono) auto
  with sumset-A0-small show ?thesis
    by linarith
qed
obtain b d where d-nonzero: d ≠ 0 and B-eq: B = ap-segment b d (card B)
  by (rule arithmetic-progression-obtain-card[OF prime-card apB B-ge2 B-small])

let ?B0 = translate (- b) B
have B0-eq: ?B0 = ap-segment 0 d (card B)
proof -
  have ?B0 = translate (- b) (ap-segment b d (card B))
    using B-eq by simp
  also have ... = ap-segment ((- b) + b) d (card B)
    by (simp add: ap-segment-translate)
  finally show ?thesis
    by simp
qed
have sumset-B0: sumset A ?B0 = translate (- b) (sumset A B)
  by (simp add: sumset-translate-right)
have comp-B0: 2 ≤ card (UNIV - sumset A ?B0)
  using comp-ge2 sumset-B0 by (simp add: card-complement-translate-eq)

```

```

have eq-B0: card (sumset A ?B0) = card A + card B - 1
proof -
  have card (sumset A ?B0) = card (sumset A B)
    using sumset-B0 by (simp add: card-translate-eq)
  also have ... = card A + card B - 1
    using eq by simp
  finally show ?thesis .
qed
have comp-B0': 2 ≤ card (UNIV - sumset A (ap-segment 0 d (card B)))
  using comp-B0 B0-eq by simp
have eq-B0': card (sumset A (ap-segment 0 d (card B))) = card A + card B - 1
  using eq-B0 B0-eq by simp
then obtain a where A-eq: A = ap-segment a d (card A)
  using vosper-left-with-right-ap-zero[OF prime-card A-ge2 d-nonzero B-ge2 comp-B0'] by blast

show ?thesis
  using d-nonzero A-eq B-eq by blast
qed

end
theory Cauchy-Davenport-Entry
imports
  Modular-Sumsets
  Cauchy-Davenport-Prime-Field
  Vosper-Prime-Field
begin

```

9 Overview

This section records the scope of the combined Cauchy-Davenport and Vosper development. The session combines abstract prime-field sumset theorems with concrete representative lemmas for modular sumsets. The resulting theory stack connects generic finite-sumset infrastructure, the polynomial-method Cauchy-Davenport bound, and the final Vosper classification theorem.

end

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