

# Abstract Substitutions as Monoid Actions

Martin Desharnais

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## Abstract

This entry provides a small, reusable, theory that specifies the abstract concept of substitution as monoid action. Both the substitution type and the object type are kept abstract. The theory provides multiple useful definitions and lemmas. Two example usages are provided for first order terms: one for terms from the AFP/First\_Order\_Terms session and one for terms from the Isabelle/HOL-ex session.

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begin

## 1 General Results on Groups

**lemma** (in *monoid*) *right-inverse-idem*:  
fixes *inv*  
assumes *right-inverse*:  $\bigwedge a. a * \text{inv } a = \mathbf{1}$   
shows  $\bigwedge a. \text{inv } (\text{inv } a) = a$   
*<proof>*

**lemma** (in *monoid*) *left-inverse-if-right-inverse*:  
fixes *inv*  
assumes  
  *right-inverse*:  $\bigwedge a. a * \text{inv } a = \mathbf{1}$   
shows  $\text{inv } a * a = \mathbf{1}$   
*<proof>*

**lemma** (in *monoid*) *group-wrt-right-inverse*:  
fixes *inv*  
assumes *right-inverse*:  $\bigwedge a. a * \text{inv } a = \mathbf{1}$   
shows *group* (\*)  $\mathbf{1}$  *inv*  
*<proof>*

## 2 Semigroup Action

We define both left and right semigroup actions. Left semigroup actions seem to be prevalent in algebra, but right semigroup actions directly uses the usual notation of term/atom/literal/clause substitution.

**locale** *left-semigroup-action* = *semigroup* +  
fixes *action* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b (**infix** · 70)  
assumes *action-compatibility[simp]*:  $\bigwedge a b x. (a * b) \cdot x = a \cdot (b \cdot x)$

**locale** *right-semigroup-action* = *semigroup* +  
fixes *action* :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b (**infix** · 70)  
assumes *action-compatibility[simp]*:  $\bigwedge x a b. x \cdot (a * b) = (x \cdot a) \cdot b$

We then instantiate the right action in the context of the left action in order to get access to any lemma proven in the context of the other locale. We do analogously in the context of the right locale.

**sublocale** *left-semigroup-action*  $\subseteq$  *right-semigroup-action* **where**  
  *f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. \text{action } y x$   
*<proof>*

**sublocale** *right-semigroup-action*  $\subseteq$  *left-semigroup-action* **where**  
  *f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. \text{action } y x$   
*<proof>*

**lemma** (in *right-semigroup-action*) *lifting-semigroup-action-to-set*:  
*right-semigroup-action* (\*) ( $\lambda X a. (\lambda x. \text{action } x a) \text{ ' } X$ )  
 ⟨*proof*⟩

**lemma** (in *right-semigroup-action*) *lifting-semigroup-action-to-list*:  
*right-semigroup-action* (\*) ( $\lambda xs a. \text{map } (\lambda x. \text{action } x a) xs$ )  
 ⟨*proof*⟩

### 3 Monoid Action

**locale** *left-monoid-action* = *monoid* +  
**fixes** *action* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b (**infix** · 70)  
**assumes**  
*monoid-action-compatibility*:  $\bigwedge a b x. (a * b) \cdot x = a \cdot (b \cdot x)$  **and**  
*action-neutral[simp]*:  $\bigwedge x. \mathbf{1} \cdot x = x$

**locale** *right-monoid-action* = *monoid* +  
**fixes** *action* :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b (**infix** · 70)  
**assumes**  
*monoid-action-compatibility*:  $\bigwedge x a b. x \cdot (a * b) = (x \cdot a) \cdot b$  **and**  
*action-neutral[simp]*:  $\bigwedge x. x \cdot \mathbf{1} = x$

**sublocale** *left-monoid-action*  $\subseteq$  *left-semigroup-action*  
 ⟨*proof*⟩

**sublocale** *right-monoid-action*  $\subseteq$  *right-semigroup-action*  
 ⟨*proof*⟩

**sublocale** *left-monoid-action*  $\subseteq$  *right: right-monoid-action* **where**  
*f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. \text{action } y x$   
 ⟨*proof*⟩

**sublocale** *right-monoid-action*  $\subseteq$  *left: left-monoid-action* **where**  
*f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. \text{action } y x$   
 ⟨*proof*⟩

**lemma** (in *right-monoid-action*) *lifting-monoid-action-to-set*:  
*right-monoid-action* (\*) **1** ( $\lambda X a. (\lambda x. \text{action } x a) \text{ ' } X$ )  
 ⟨*proof*⟩

**lemma** (in *right-monoid-action*) *lifting-monoid-action-to-list*:  
*right-monoid-action* (\*) **1** ( $\lambda xs a. \text{map } (\lambda x. \text{action } x a) xs$ )  
 ⟨*proof*⟩

### 4 Group Action

**locale** *left-group-action* = *group* +  
**fixes** *action* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b (**infix** · 70)

**assumes**

*group-action-compatibility*:  $\bigwedge a b x. (a * b) \cdot x = a \cdot (b \cdot x)$  **and**

*group-action-neutral*:  $\bigwedge x. \mathbf{1} \cdot x = x$

**locale** *right-group-action* = *group* +

**fixes** *action* :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b (**infixl** · 70)

**assumes**

*group-action-compatibility*:  $\bigwedge x a b. x \cdot (a * b) = (x \cdot a) \cdot b$  **and**

*group-action-neutral*:  $\bigwedge x. x \cdot \mathbf{1} = x$

**sublocale** *left-group-action*  $\subseteq$  *left-monoid-action*

*<proof>*

**sublocale** *right-group-action*  $\subseteq$  *right-monoid-action*

*<proof>*

**sublocale** *left-group-action*  $\subseteq$  *right: right-group-action* **where**

*f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. action y x$

*<proof>*

**sublocale** *right-group-action*  $\subseteq$  *left: left-group-action* **where**

*f* =  $\lambda x y. f y x$  **and** *action* =  $\lambda x y. action y x$

*<proof>*

## 5 Assumption-free Substitution

**locale** *substitution-ops* =

**fixes**

*subst* :: 'x  $\Rightarrow$  's  $\Rightarrow$  'x (**infixl** · 67) **and**

*id-subst* :: 's **and**

*comp-subst* :: 's  $\Rightarrow$  's  $\Rightarrow$  's (**infixl**  $\odot$  67) **and**

*is-ground* :: 'x  $\Rightarrow$  *bool*

**begin**

**definition** *subst-set* :: 'x *set*  $\Rightarrow$  's  $\Rightarrow$  'x *set* **where**

*subst-set* X  $\sigma = (\lambda x. subst x \sigma) ` X$

**definition** *subst-list* :: 'x *list*  $\Rightarrow$  's  $\Rightarrow$  'x *list* **where**

*subst-list* xs  $\sigma = map (\lambda x. subst x \sigma) xs$

**definition** *is-ground-set* :: 'x *set*  $\Rightarrow$  *bool* **where**

*is-ground-set* X  $\longleftrightarrow (\forall x \in X. is-ground x)$

**definition** *is-ground-subst* :: 's  $\Rightarrow$  *bool* **where**

*is-ground-subst*  $\gamma \longleftrightarrow (\forall x. is-ground (x \cdot \gamma))$

**definition** *generalizes* :: 'x  $\Rightarrow$  'x  $\Rightarrow$  *bool* **where**

*generalizes* x y  $\longleftrightarrow (\exists \sigma. x \cdot \sigma = y)$

**definition** *specializes* :: 'x ⇒ 'x ⇒ bool **where**  
*specializes* x y ≡ generalizes y x

**definition** *strictly-generalizes* :: 'x ⇒ 'x ⇒ bool **where**  
*strictly-generalizes* x y ←→ generalizes x y ∧ ¬ generalizes y x

**definition** *strictly-specializes* :: 'x ⇒ 'x ⇒ bool **where**  
*strictly-specializes* x y ≡ strictly-generalizes y x

**definition** *instances* :: 'x ⇒ 'x set **where**  
*instances* x = {y. generalizes x y}

**definition** *instances-set* :: 'x set ⇒ 'x set **where**  
*instances-set* X = (⋃ x ∈ X. instances x)

**definition** *ground-instances* :: 'x ⇒ 'x set **where**  
*ground-instances* x = {x<sub>G</sub> ∈ instances x. is-ground x<sub>G</sub>}

**definition** *ground-instances-set* :: 'x set ⇒ 'x set **where**  
*ground-instances-set* X = {x<sub>G</sub> ∈ instances-set X. is-ground x<sub>G</sub>}

**lemma** *ground-instances-set-eq-Union-ground-instances*:  
*ground-instances-set* X = (⋃ x ∈ X. ground-instances x)  
 ⟨proof⟩

**lemma** *ground-instances-eq-Collect-subst-grounding*:  
*ground-instances* x = {x · γ | γ. is-ground (x · γ)}  
 ⟨proof⟩

**definition** *is-renaming* :: 's ⇒ bool **where**  
*is-renaming* ρ ←→ (∃ ρ-inv. ρ ⊙ ρ-inv = id-subst)

**definition** *renaming-inverse* **where**  
*is-renaming* ρ ⇒ renaming-inverse ρ = (SOME ρ-inv. ρ ⊙ ρ-inv = id-subst)

**lemma** *renaming-comp-renaming-inverse[simp]*:  
*is-renaming* ρ ⇒ ρ ⊙ renaming-inverse ρ = id-subst  
 ⟨proof⟩

**definition** *is-unifier* :: 's ⇒ 'x set ⇒ bool **where**  
*is-unifier* v X ←→ card (subst-set X v) ≤ 1

**definition** *is-unifier-set* :: 's ⇒ 'x set set ⇒ bool **where**  
*is-unifier-set* v XX ←→ (∀ X ∈ XX. is-unifier v X)

**definition** *is-mgu* :: 's ⇒ 'x set set ⇒ bool **where**  
*is-mgu* μ XX ←→ is-unifier-set μ XX ∧ (∀ v. is-unifier-set v XX → (∃ σ. μ ⊙ σ = v))

**definition** *is-imgu* :: 's ⇒ 'x set set ⇒ bool **where**

*is-imgu* μ XX ⟷ *is-unifier-set* μ XX ∧ (∀ τ. *is-unifier-set* τ XX ⟶ μ ⊙ τ = τ)

**definition** *is-idem* :: 's ⇒ bool **where**

*is-idem* σ ⟷ σ ⊙ σ = σ

**lemma** *is-unifier-iff-if-finite*:

**assumes** *finite* X

**shows** *is-unifier* σ X ⟷ (∀ x∈X. ∀ y∈X. x · σ = y · σ)

⟨*proof*⟩

**lemma** *is-unifier-singleton[simp]*: *is-unifier* v {x}

⟨*proof*⟩

**lemma** *is-unifier-set-insert-singleton[simp]*:

*is-unifier-set* σ (insert {x} XX) ⟷ *is-unifier-set* σ XX

⟨*proof*⟩

**lemma** *is-mgu-insert-singleton[simp]*: *is-mgu* μ (insert {x} XX) ⟷ *is-mgu* μ XX

⟨*proof*⟩

**lemma** *is-imgu-insert-singleton[simp]*: *is-imgu* μ (insert {x} XX) ⟷ *is-imgu* μ XX

⟨*proof*⟩

**lemma** *subst-set-empty[simp]*: *subst-set* {} σ = {}

⟨*proof*⟩

**lemma** *subst-set-insert[simp]*: *subst-set* (insert x X) σ = insert (x · σ) (*subst-set* X σ)

⟨*proof*⟩

**lemma** *subst-set-union[simp]*: *subst-set* (X1 ∪ X2) σ = *subst-set* X1 σ ∪ *subst-set* X2 σ

⟨*proof*⟩

**lemma** *subst-list-Nil[simp]*: *subst-list* [] σ = []

⟨*proof*⟩

**lemma** *subst-list-insert[simp]*: *subst-list* (x # xs) σ = (x · σ) # (*subst-list* xs σ)

⟨*proof*⟩

**lemma** *subst-list-append[simp]*: *subst-list* (xs1 @ xs2) σ = *subst-list* xs1 σ @ *subst-list* xs2 σ

⟨*proof*⟩

**lemma** *is-unifier-set-union*:

*is-unifier-set*  $v$  ( $XX_1 \cup XX_2$ )  $\longleftrightarrow$  *is-unifier-set*  $v$   $XX_1 \wedge$  *is-unifier-set*  $v$   $XX_2$   
 ⟨*proof*⟩

**lemma** *is-unifier-subset*: *is-unifier*  $v$   $A \implies$  *finite*  $A \implies B \subseteq A \implies$  *is-unifier*  $v$   $B$   
 ⟨*proof*⟩

**lemma** *is-ground-set-subset*: *is-ground-set*  $A \implies B \subseteq A \implies$  *is-ground-set*  $B$   
 ⟨*proof*⟩

**lemma** *is-ground-set-ground-instances[simp]*: *is-ground-set* (*ground-instances*  $x$ )  
 ⟨*proof*⟩

**lemma** *is-ground-set-ground-instances-set[simp]*: *is-ground-set* (*ground-instances-set*  $x$ )  
 ⟨*proof*⟩

**end**

## 6 Basic Substitution

**locale** *substitution* =

*comp-subst*: *right-monoid-action* *comp-subst* *id-subst* *subst* +  
*substitution-ops* *subst* *id-subst* *comp-subst* *is-ground*

**for**

*comp-subst* ::  $'s \Rightarrow 's \Rightarrow 's$  (**infixl**  $\odot$  70) **and**

*id-subst* ::  $'s$  **and**

*subst* ::  $'x \Rightarrow 's \Rightarrow 'x$  (**infixl**  $\cdot$  70) **and**

— Predicate identifying the fixed elements w.r.t. the monoid action

*is-ground* ::  $'x \Rightarrow$  *bool* +

**assumes**

*all-subst-ident-if-ground*: *is-ground*  $x \implies (\forall \sigma. x \cdot \sigma = x)$

**begin**

**sublocale** *comp-subst-set*: *right-monoid-action* *comp-subst* *id-subst* *subst-set*  
 ⟨*proof*⟩

**sublocale** *comp-subst-list*: *right-monoid-action* *comp-subst* *id-subst* *subst-list*  
 ⟨*proof*⟩

### 6.1 Substitution Composition

**lemmas** *subst-comp-subst* = *comp-subst.action-compatibility*

**lemmas** *subst-set-comp-subst* = *comp-subst-set.action-compatibility*

**lemmas** *subst-list-comp-subst* = *comp-subst-list.action-compatibility*

## 6.2 Substitution Identity

**lemmas** *subst-id-subst = comp-subst.action-neutral*

**lemmas** *subst-set-id-subst = comp-subst-set.action-neutral*

**lemmas** *subst-list-id-subst = comp-subst-list.action-neutral*

**lemma** *is-renaming-id-subst[simp]: is-renaming id-subst*  
*<proof>*

**lemma** *is-unifier-id-subst-empty[simp]: is-unifier id-subst {}*  
*<proof>*

**lemma** *is-unifier-set-id-subst-empty[simp]: is-unifier-set id-subst {}*  
*<proof>*

**lemma** *is-mgu-id-subst-empty[simp]: is-mgu id-subst {}*  
*<proof>*

**lemma** *is-imgu-id-subst-empty[simp]: is-imgu id-subst {}*  
*<proof>*

**lemma** *is-idem-id-subst[simp]: is-idem id-subst*  
*<proof>*

**lemma** *is-unifier-id-subst: is-unifier id-subst X  $\longleftrightarrow$  card X  $\leq$  1*  
*<proof>*

**lemma** *is-unifier-set-id-subst: is-unifier-set id-subst XX  $\longleftrightarrow$  ( $\forall X \in XX. \text{card } X \leq 1$ )*  
*<proof>*

**lemma** *is-mgu-id-subst: is-mgu id-subst XX  $\longleftrightarrow$  ( $\forall X \in XX. \text{card } X \leq 1$ )*  
*<proof>*

**lemma** *is-imgu-id-subst: is-imgu id-subst XX  $\longleftrightarrow$  ( $\forall X \in XX. \text{card } X \leq 1$ )*  
*<proof>*

## 6.3 Generalization

**sublocale** *generalizes: preorder generalizes strictly-generalizes*  
*<proof>*

## 6.4 Substituting on Ground Expressions

**lemma** *subst-ident-if-ground[simp]: is-ground x  $\implies$  x  $\cdot$   $\sigma$  = x*  
*<proof>*

**lemma** *subst-set-ident-if-ground[simp]: is-ground-set X  $\implies$  subst-set X  $\sigma$  = X*  
*<proof>*



## 6.5 Instances of Ground Expressions

**lemma** *instances-ident-if-ground[simp]*: *is-ground*  $x \implies$  *instances*  $x = \{x\}$   
*<proof>*

**lemma** *instances-set-ident-if-ground[simp]*: *is-ground-set*  $X \implies$  *instances-set*  $X = X$   
*<proof>*

**lemma** *ground-instances-ident-if-ground[simp]*: *is-ground*  $x \implies$  *ground-instances*  $x = \{x\}$   
*<proof>*

**lemma** *ground-instances-set-ident-if-ground[simp]*: *is-ground-set*  $X \implies$  *ground-instances-set*  $X = X$   
*<proof>*

## 6.6 Unifier of Ground Expressions

**lemma** *ground-eq-ground-if-unifiable*:  
**assumes** *is-unifier*  $v$   $\{t_1, t_2\}$  **and** *is-ground*  $t_1$  **and** *is-ground*  $t_2$   
**shows**  $t_1 = t_2$   
*<proof>*

**lemma** *ball-eq-constant-if-unifier*:  
**assumes** *finite*  $X$  **and**  $x \in X$  **and** *is-unifier*  $v$   $X$  **and** *is-ground-set*  $X$   
**shows**  $\forall y \in X. y = x$   
*<proof>*

**lemma** *subst-mgu-eq-subst-mgu*:  
**assumes** *is-mgu*  $\mu$   $\{\{t_1, t_2\}\}$   
**shows**  $t_1 \cdot \mu = t_2 \cdot \mu$   
*<proof>*

**lemma** *subst-imgu-eq-subst-imgu*:  
**assumes** *is-imgu*  $\mu$   $\{\{t_1, t_2\}\}$   
**shows**  $t_1 \cdot \mu = t_2 \cdot \mu$   
*<proof>*

## 6.7 Ground Substitutions

**lemma** *is-ground-subst-comp-left*: *is-ground-subst*  $\sigma \implies$  *is-ground-subst*  $(\sigma \odot \tau)$   
*<proof>*

**lemma** *is-ground-subst-comp-right*: *is-ground-subst*  $\tau \implies$  *is-ground-subst*  $(\sigma \odot \tau)$   
*<proof>*

**lemma** *is-ground-subst-is-ground*:  
**assumes** *is-ground-subst*  $\gamma$   
**shows** *is-ground*  $(t \cdot \gamma)$

*<proof>*

## 6.8 IMGU is Idempotent and an MGU

**lemma** *is-imgu-iff-is-idem-and-is-mgu*:  $is\text{-imgu } \mu \text{ } XX \longleftrightarrow is\text{-idem } \mu \wedge is\text{-mgu } \mu \text{ } XX$

*<proof>*

## 6.9 IMGU can be used before unification

**lemma** *subst-imgu-subst-unifier*:

**assumes** *unif*: *is-unifier*  $v \text{ } X$  and *imgu*: *is-imgu*  $\mu \text{ } \{X\}$  and  $x \in X$

**shows**  $x \cdot \mu \cdot v = x \cdot v$

*<proof>*

## 6.10 Groundings Idempotence

**lemma** *image-ground-instances-ground-instances*:

*ground-instances* ' *ground-instances*  $x = (\lambda x. \{x\})$  ' *ground-instances*  $x$

*<proof>*

**lemma** *grounding-of-set-grounding-of-set-idem[simp]*:

*ground-instances-set* (*ground-instances-set*  $X$ ) = *ground-instances-set*  $X$

*<proof>*

## 6.11 Instances of Substitution

**lemma** *instances-subst*:

*instances*  $(x \cdot \sigma) \subseteq instances \ x$

*<proof>*

**lemma** *instances-set-subst-set*:

*instances-set* (*subst-set*  $X \ \sigma$ )  $\subseteq instances\text{-set } X$

*<proof>*

**lemma** *ground-instances-subst*:

*ground-instances*  $(x \cdot \sigma) \subseteq ground\text{-instances } x$

*<proof>*

**lemma** *ground-instances-set-subst-set*:

*ground-instances-set* (*subst-set*  $X \ \sigma$ )  $\subseteq ground\text{-instances-set } X$

*<proof>*

## 6.12 Instances of Renamed Expressions

**lemma** *instances-subst-ident-if-renaming[simp]*:

*is-renaming*  $\varrho \implies instances \ (x \cdot \varrho) = instances \ x$

*<proof>*

**lemma** *instances-set-subst-set-ident-if-renaming[simp]*:

*is-renaming*  $\varrho \implies \text{instances-set } (\text{subst-set } X \ \varrho) = \text{instances-set } X$   
*<proof>*

**lemma** *ground-instances-subst-ident-if-renaming[simp]*:

*is-renaming*  $\varrho \implies \text{ground-instances } (x \cdot \varrho) = \text{ground-instances } x$   
*<proof>*

**lemma** *ground-instances-set-subst-set-ident-if-renaming[simp]*:

*is-renaming*  $\varrho \implies \text{ground-instances-set } (\text{subst-set } X \ \varrho) = \text{ground-instances-set } X$   
*<proof>*

**end**

**end**

**theory** *Substitution-First-Order-Term*

**imports**

*Substitution*

*First-Order-Terms.Unification*

**begin**

**abbreviation** *is-ground-trm where*

*is-ground-trm*  $t \equiv \text{vars-term } t = \{\}$

**lemma** *is-ground-iff: is-ground-trm*  $(t \cdot \gamma) \longleftrightarrow (\forall x \in \text{vars-term } t. \text{is-ground-trm } (\gamma \ x))$

*<proof>*

**lemma** *is-ground-trm-iff-ident-forall-subst: is-ground-trm*  $t \longleftrightarrow (\forall \sigma. t \cdot \sigma = t)$

*<proof>*

**global-interpretation** *term-subst: substitution where*

*subst* = *subst-apply-term* **and** *id-subst* = *Var* **and** *comp-subst* = *subst-compose*

**and**

*is-ground* = *is-ground-trm*

*<proof>*

**lemma** *term-subst-is-unifier-iff-unifiers:*

**assumes** *finite*  $X$

**shows** *term-subst.is-unifier*  $\mu \ X \longleftrightarrow \mu \in \text{unifiers } (X \times X)$

*<proof>*

**lemma** *term-subst-is-unifier-set-iff-unifiers:*

**assumes**  $\forall X \in XX. \text{finite } X$

**shows** *term-subst.is-unifier-set*  $\mu \ XX \longleftrightarrow \mu \in \text{unifiers } (\bigcup X \in XX. X \times X)$

*<proof>*

**lemma** *term-subst-is-imgu-iff-is-imgu:*

**assumes**  $\forall X \in XX. \text{finite } X$

**shows** *term-subst.is-imgu*  $\mu \ XX \longleftrightarrow \text{is-imgu } \mu \ (\bigcup X \in XX. X \times X)$

*<proof>*

**lemma** *range-vars-subset-if-is-imgu:*

**assumes** *term-subst.is-imgu*  $\mu$  *XX*  $\forall X \in XX. \text{finite } X \text{ finite } XX$

**shows** *range-vars*  $\mu \subseteq (\bigcup t \in \bigcup XX. \text{vars-term } t)$

*<proof>*

**lemma** *term-subst-is-renaming-iff:*

*term-subst.is-renaming*  $\varrho \longleftrightarrow \text{inj } \varrho \wedge (\forall x. \text{is-Var } (\varrho x))$

*<proof>*

**lemma** *term-subst-is-renaming-iff-ex-inj-fun-on-vars:*

*term-subst.is-renaming*  $\varrho \longleftrightarrow (\exists f. \text{inj } f \wedge \varrho = \text{Var} \circ f)$

*<proof>*

**lemma** *ground-imgu-equals:*

**assumes** *is-ground-trm*  $t_1$  **and** *is-ground-trm*  $t_2$  **and** *term-subst.is-imgu*  $\mu \{\{t_1, t_2\}\}$

**shows**  $t_1 = t_2$

*<proof>*

**lemma** *the-mgu-is-unifier:*

**assumes** *term*  $\cdot$  *the-mgu* *term* *term'*  $= \text{term}' \cdot \text{the-mgu } \text{term } \text{term}'$

**shows** *term-subst.is-unifier* (*the-mgu* *term* *term'*)  $\{\text{term}, \text{term}'\}$

*<proof>*

**lemma** *imgu-exists-extendable:*

**fixes**  $v :: ('f, 'v) \text{subst}$

**assumes** *term*  $\cdot v = \text{term}' \cdot v$  *P* *term* *term'* (*the-mgu* *term* *term'*)

**obtains**  $\mu :: ('f, 'v) \text{subst}$

**where**  $v = \mu \circ_s v$  *term-subst.is-imgu*  $\mu \{\{\text{term}, \text{term}'\}\}$  *P* *term* *term'*  $\mu$

*<proof>*

**lemma** *imgu-exists:*

**fixes**  $v :: ('f, 'v) \text{subst}$

**assumes** *term*  $\cdot v = \text{term}' \cdot v$

**obtains**  $\mu :: ('f, 'v) \text{subst}$

**where**  $v = \mu \circ_s v$  *term-subst.is-imgu*  $\mu \{\{\text{term}, \text{term}'\}\}$

*<proof>*

**lemma** *is-renaming-if-term-subst-is-renaming:*

**assumes** *term-subst.is-renaming*  $\varrho$

**shows** *is-renaming*  $\varrho$

*<proof>*

**end**

**theory** *Substitution-HOL-ex-Unification*

**imports**

*Substitution*  
*HOL-ex.Unification*

**begin**

**no-notation** *Comb* (**infix** · 60)

**quotient-type** *'a subst* = (*'a × 'a trm*) *list* / ( $\doteq$ )  
 ⟨*proof*⟩

**lift-definition** *subst-comp* :: *'a subst*  $\Rightarrow$  *'a subst*  $\Rightarrow$  *'a subst* (**infixl**  $\odot$  67)  
**is** *Unification.comp*  
 ⟨*proof*⟩

**definition** *subst-id* :: *'a subst* **where**  
*subst-id* = *abs-subst* []

**global-interpretation** *subst-comp*: *monoid subst-comp subst-id*  
 ⟨*proof*⟩

**lift-definition** *subst-apply* :: *'a trm*  $\Rightarrow$  *'a subst*  $\Rightarrow$  *'a trm*  
**is** *Unification.subst*  
 ⟨*proof*⟩

**abbreviation** *is-ground-trm* **where**  
*is-ground-trm* *t*  $\equiv$  *vars-of* *t* = {}

**global-interpretation** *term-subst*: *substitution* **where**  
*subst* = *subst-apply* **and** *id-subst* = *subst-id* **and** *comp-subst* = *subst-comp* **and**  
*is-ground* = *is-ground-trm*  
 ⟨*proof*⟩

**end**